Charge Equilibration for Molecular Dynamics Simulations

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We report here an approach for predicting charge distributions in molecules for use in molecular dynamics simulations. The input data are experimental atomic ionization potentials, electron affinities, and atomic radii. An atomic chemical potential is constructed by using these quantities plus shielded electrostatic interactions between all charges. Requiring equal chemical potentials leads to equilibrium charges that depend upon geometry. This charge equilibration (QEq) approach leads to charges in excellent agreement with experimental dipole moments and with the atomic charges obtained from the electrostatic potentials of accurate ab initio calculations. QEq can be used to predict charges for any polymer, ceramic, semiconductor, or biological system, allowing extension of molecular dynamics studies to broad classes of new systems. The charges depend upon environment and change during molecular dynamics calculations. We indicate how this approach can also be used to predict infrared intensities, dielectric constants, and other charge-related properties.

I. Introduction

Knowledge of the charge distribution within molecules is essential for determining the electrostatic energies (including hydrogen bonding) in molecular mechanics and molecular dynamics calculations. ¹⁻⁴ Unfortunately, reliable charge distributions are known only for a few organic molecules. ^{5,6} Thus, currently there is no effective approach to estimate the charges for inorganic systems (ceramics, zeolites, high-T_c superconductors), and current estimates of charges for polymers and large organic systems are quite uncertain. For biological molecules, the 20 standard amino acids and four standard bases have been assigned charges²⁻⁴ that are expected to be reasonably accurate; however, charges are not available for nonstandard amino acids, unusual bases, and various cofactors and substrates.

An additional serious problem is that current approaches¹⁻⁴ to molecular mechanics and molecular dynamics use fixed charges that cannot readjust to match the electrostatic environment. Since the charges are not allowed to respond to the environment, the tradition is to incorporate a dielectric constant in the interaction potential, leading to additional uncertainties in the calculations.

We propose here a general scheme for predicting charges of large molecules based only on geometry and experimental atomic properties. The charge equilibration (QEq) approach allows the charges to respond to changes in the environment, including those in applied fields, and can be applied to any material (polymer, ceramic, semiconductor, biological, metallic).

In section II, we derive the basic equations for the charge equilibration approach. The scaling parameter λ relating atom size to crystal atomic radii is determined in section III by comparing theory and experiment for the alkali-metal halide diatomic molecules. In section IV, we discuss hydrogen atoms, which require an extension of the simple scheme of section II. Finally, in section V we apply the QEq method to a number of molecules and compare our results with experiment or ab initio theory.

The concepts involved in the QEq approach rest upon earlier ideas of Pauling, Mulliken, Margrave, Parr, Pearson, Mortier, and others. Section VI summarizes the relationship between QEq and some of these earlier ideas.

In section VII, we mention some possible extensions utilizing the ability of QEq to allow polarization of the charge distribution.

II. Charge Equilibration

A. Charge Dependence of Atomic Energy. In order to estimate the equilibrium charges in a molecule, we first consider how the energy of an isolated atom changes as a function of charge. Using a neutral reference point, we can write the energy of atom A as

$$E_{A}(Q) = E_{A0} + Q_{A} \left(\frac{\partial E}{\partial Q}\right)_{A0} + \frac{1}{2} Q_{A}^{2} \left(\frac{\partial^{2} E}{\partial Q^{2}}\right)_{A0} + \dots \quad (1)$$

Including only terms through second order in (1) leads to

$$E_{A}(+1) = E_{A0} + \left(\frac{\partial E}{\partial Q}\right)_{A0} + \frac{1}{2} \left(\frac{\partial^{2} E}{\partial Q^{2}}\right)_{A0}$$

$$E_{\mathsf{A}}(0) = E_{\mathsf{A}0}$$

$$E_{A}(-1) = E_{A0} - \left(\frac{\partial E}{\partial Q}\right)_{A0} + \frac{1}{2} \left(\frac{\partial^{2} E}{\partial Q^{2}}\right)_{A0}$$

so that

$$\left(\frac{\partial E}{\partial Q}\right)_{A0} = \frac{1}{2}(IP + EA) = \chi_A^0$$
 (2)

$$\left(\frac{\partial^2 E}{\partial Q}\right)_{A0} = IP - EA \tag{3}$$

where IP and EA denote the ionization potential and electron affinity and χ_A is referred to as the electronegativity.

To understand the physical significance of the second-derivative quantity $\partial^2 E/\partial Q^2$, consider the simple case of a neutral atom with a singly occupied orbital, ϕ_A , that is empty for the positive ion and doubly occupied for the negative ion. The difference between the IP and EA for this system is

$$IP - EA = J_{AA}^0 \tag{4}$$

where J_{AA}^0 is the Coulomb repulsion between two electrons in the ϕ_A orbital (the self-Coulomb integral). We refer to this atomic repulsion quantity J_{AA}^0 as the idempotential (self-Coulomb) for less awkward reference to it in later discussions. Of course, the

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TABLE I: Atomic Parametersa

element	χ, eV	J, eV	R, Å	ζ, au	
Li	3.006	4.772	1.557	0.4174	
C	5.343	10.126	0.759	0.8563	
N	6.899	11.760	0.715	0.9089	
0	8.741	13.364	0.669	0.9745	
F	10.874	14.948	0.706	0.9206	
Na	2.843	4.592	2.085	0.4364	
Si	4.168	6.974	1.176	0.7737	
P	5.463	8.000	1.102	0.8257	
S	6.928	8.972	1.047	0.8690	
Cl	8.564	9.892	0.994	0.9154	
K	2.421	3.84	2.586	0.4524	
Br	7.790	8.850	1.141	1.0253	
Rb	2.331	3.692	2.770	0.5162	
I	6.822	7.524	1.333	1.0726	
Cs	2.183	3.422	2.984	0.5663	
Н	4.5280 ^b	13.8904 ^b	0.371	1.0698	

^a Reference 9. ^b Values for $Q_H = 0$; see eqs 20 and 21.

optimum shape of the orbital changes upon adding an additional electron, and an accurate description of the electron affinity requires configuration interaction so that the J_{AA}^0 derived from (4) may differ somewhat from the J_{AA}^0 calculated with a Hartree-Fock wave function.

Using (2) and (4) leads to

$$E_{A}(Q) = E_{A0} + \chi_{A}^{0} Q_{A} + \frac{1}{2} J_{AA}^{0} Q_{A}^{2}$$
 (1')

where the χ_A^0 and J_{AA}^0 can be derived directly from atomic data. However, the atomic IP and EA must be corrected for exchange interactions present in atoms but absent in molecules.9 atomic states contain unpaired spins, whereas the molecules for which we will use χ_A and J_A generally have all spins paired.) This leads to the generalized Mulliken-Pauling electronegativities and idempotentials in Table I.

The idempotential is roughly proportional to the inverse size of the atom, and indeed, one can define a characteristic atomic size R^0_A by

$$J_{AA}^0 = 14.4/R_A^0$$
 or $R_A^0 = 14.4/J_{AA}^0$

where the conversion factor 14.4 allows R_A^0 to be in angstroms and J_{AA}^0 to be in electronvolts. This equation leads to $R_H^0 = 0.84$ Å, $R_C^0 = 1.42$ Å, $R_N^0 = 1.22$ Å, $R_O^0 = 1.08$ Å, $R_{Si}^0 = 2.06$ Å, $R_S^0 = 1.60$ Å, and $R_{Li}^0 = 3.01$ Å. Comparing with bond distances of diatomics $R_{HH}^0 = 0.74$ Å, $R_{CC}^0 = 1.23$ Å, $R_{NN}^0 = 1.10$ Å, $R_{OO}^0 = 1.21$ Å, $R_{Sisi}^0 = 2.20$ Å, $R_{SS}^0 = 1.63$ Å, and $R_{LiLi}^0 = 3.08$ Å, we see that this characteristic atomic distance corresponds roughly see that this characteristic atomic distance corresponds roughly with the homopolar bond distance.

Use of a quadratic relation such as (1') is expected to be valid only in a restricted region. In particular, the χ and J are clearly invalid outside the range corresponding to emptying or filling the valence shell of electrons. Thus we restrict the ranges to

$$-7 < Q_{Li} < +1$$
 $-4 < Q_{C} < +4$ $-2 < Q_{O} < +6$ (5)

etc. and take $E_A(Q) = \infty$ outside these ranges.

B. Electrostatic Balance. In order to calculate the optimum charge distribution, we need to evaluate the interatomic electrostatic energy, $\sum_{A < B} Q_A Q_B J_{AB}$, where J_{AB} is the Coulomb interaction between unit charges on centers A and B (J_{AB} depends on R_{AB} , the distance between A and B). This leads to a total electrostatic energy of

$$E(Q_1...Q_N) = \sum_{A} (E_{A0} + \chi_A^0 Q_A + \frac{1}{2} Q_A^2 J_{AA}^0) + \sum_{A \le B} Q_A Q_B J_{AB}$$
(6)

which we rewrite as

$$E_{Q}(Q_{1}...Q_{N}) = \sum_{A} (E_{A0} + \chi_{A}^{0}Q_{A}) + \frac{1}{2} \sum_{A,B} Q_{A}Q_{B}J_{AB}$$
 (6')

(suggesting that $J_{AA}(R) \to J_{AA}^0$ as $R \to 0$). Taking the derivative of E with respect to Q_A leads to an atomic-scale chemical potential of the form

$$\chi_{\mathbf{A}}(Q_1...Q_N) = \frac{\partial E}{\partial Q_{\mathbf{A}}} = \chi_{\mathbf{A}}^0 + \sum_{\mathbf{B}} J_{\mathbf{A}\mathbf{B}}Q_{\mathbf{B}}$$
 (7)

or

$$\chi_{A}(Q_{1}...Q_{N}) = \chi_{A}^{0} + J_{AA}^{0}Q_{A} + \sum_{B \neq A} J_{AB}Q_{B}$$
 (7')

where χ_A is a function of the charges on all the atoms. For equilibrium, we require that the atomic chemical potentials be equal, leading to N-1 conditions

$$\chi_1 = \chi_2 = \dots = \chi_N \tag{8}$$

Adding the condition on total charge

$$Q_{\text{tot}} = \sum_{i=1}^{N} Q_i \tag{9}$$

leads to a total of N simultaneous equations for the equilibrium self-consistent charges that are solved once for a given structure. These QEq equations can be written as

$$CD = -D \tag{10}$$

where

$$D_{1} = -Q_{\text{tot}}$$

$$D_{i} = \chi_{i}^{0} - \chi_{1}^{0} \text{ for } i \ge 2$$
(11)

and

$$C_{1i} = Q_i$$

$$C_{ii} = J_{ii} - J_{1i} \text{ for } i \ge 2$$
(12)

The inequalities in (5) are implemented in our programs as follows. We first solve (10)-(12) for the charges and check the inequalities in (5). If any atom is outside its range, we fix its charge at the boundary. Defining D for the nonfixed atoms as

$$D_i = \chi_i^{0F} - \chi_1^{0F} \quad \text{for } i \neq 1$$
$$D_1 = -(Q_{\text{tot}} - \sum_{B \text{ fixed}} Q_B)$$

where

$$\chi_{\rm A}^{\rm OF} = \chi_{\rm A}^{\rm 0} + \sum_{\rm B \, fixed} J_{\rm AB} Q_{\rm B} \tag{13}$$

we solve the reduced set of equations. We find that this procedure works reliably for all cases considered.

C. Shielding Corrections. In order to solve the QEq equations (10), we must first specify the form for the Coulomb potential J_{AB} between unit charges on centers A and B separated by a distance R. For large separations

$$J_{AB}(R) = 14.4/R$$
 (14)

(where 14.4 converts units so that R is in angstroms and J is in electronvolts). However, for distances where the charge distributions on centers A and B overlap, the simple Coulomb law (14) is no longer valid. Indeed, as $R \rightarrow 0$, (14) leads to

$$J_{AB}(R) \rightarrow \infty$$

whereas it should lead to a finite value related to J_{AA} and J_{BB} , as illustrated in Figure 1. This overlap or shielding correction to (14) will be quite large for bonded atoms.

There are a number of ways of evaluating the shielding of the two charge distributions. We have chosen to express the shielding as the Coulomb integral between atomic densities. We could obtain the atomic densities from accurate (spherically averaged) Hartree-Fock (HF) or local-density calculations on atoms. However, in the current implementation of QEq, we describe the atomic density in terms of a single Slater orbital. For an atom whose outer valence orbital is ns, np, or nd, we construct a normalized ns Slater orbital of the form

$$\phi_{n\zeta}^{\text{slat}} = N_n r^{n-1} e^{-\zeta r} \tag{15}$$

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TABLE II: Charge Equilibration Results

metal halide	$Q_{exp}{}^a$	Q_{QEq^b}	Q _{λ=0.5}	ε = 14	€ = 1	€ = 2	<i>ϵ</i> = 0
NaCl	0.792	0.766	0.776	0.420	2.504	0.682	0.395
NaBr	0.757	0.745	0.756	0.391	2.561	0.644	0.368
NaI	0.708	0.709	0.720	0.350	2.663	0.585	0.328
KCl	0.800	0.775	0.784	0.473	2.095	0.737	0.447
KBr	0.783	0.768	0.777	0.448	2.165	0.708	0.423
KI	0.740	0.754	0.764	0.411	2.299	0.663	0.387
RbCl	0.784	0.763	0.771	0.484	1.918	0.741	0.459
RbBr	0.768	0.757	0.766	0.460	1.977	0.713	0.435
RbI	0.753	0.747	0.757	0.424	2.088	0.672	0.400
CsCl	0.743	0.769	0.777	0.505	1.874	0.763	0.479
CsBr	0.734	0.767	0.776	0.482	1.935	0.739	0.457
CsI	0.735	0.763	0.773	0.449	2.054	0.703	0.424
LiF	0.837	0.791	0.803	0.427	6.033	0.748	0.399
LiCl	0.731	0.939	0.958	0.406	13.513	0.737	0.379
LiBr	0.694	0.902	0.921	0.377	13.563	0.685	0.351
LiI	0.647	0.841	0.860	0.333	14.936	0.608	0.310
NaF	0.879	0.665	0.671	0.434	1.751	0.666	0.411
KF	0.821	0.662	0.667	0.473	1.530	0.695	0.450
RbF	0.781	0.653	0.657	0.481	1.435	0.695	0.458
CsF	0.697	0.655	0.660	0.496	1.427	0.711	0.473

^a Reference 25. ^b From eq 17 with $\lambda_{opt} = 0.4913$.

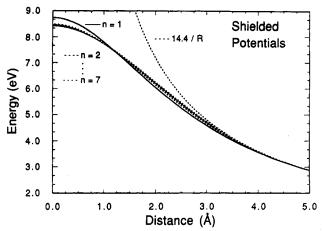


Figure 1. Shielded potentials for 1s-7s Slater orbitals. Here ζ_A was taken from eq 17 with $R_A = 0.759a_0$ (carbon). Also included is the unshielded Coulomb potential, 14.4/R.

where N_n is the normalization constant. From (15), the average size of the atom is

$$R_{A} \equiv \langle r \rangle = (2n+1)/(2\zeta_{A}) \tag{16}$$

Consequently, we choose the valence orbital exponent ζ_A for atom A by the relation

$$\zeta_{A} = \lambda(2n+1)/(2R_{A}) \tag{17}$$

where R_A is the covalent radius in atomic units ($a_0 = 0.52917$ A) for atom A, which we select from experimental crystal structure data (see Table I). An adjustable parameter λ is included in (17) to account for the difference between an average atom size as given by (16) and the crystal covalent radius R_A . We require that the same λ be used for all atom of the periodic table and in section III determine I by comparing the predicted and experimental dipole moments of the alkali-metal halide diatomics. The diatomic Coulomb integral J_{AB} involving these Slater functions is evaluated exactly for ζ_A and ζ_B at the various distances.

III. Alkali-Metal Halides

In order to determine the scaling factor λ that adjusts atomic radii to Coulomb shielding distance, we considered the 12 alkali-metal halide molecules MX, where M = Na, K, Rb, or Cs and X = Cl, Br, or I. For these systems, (8) and (9) reduce to

$$Q_{\rm M} = \frac{\chi_{\rm X}^0 - \chi_{\rm M}^0}{J_{\rm MM} + J_{\rm XX} - 2J_{\rm MX}}$$
(18)

We require that the calculated $Q_{\rm M}$ lead to the experimental dipole moment10

$$\mu_{MX} = (1/4.80324)Q_{M}R_{MX} \tag{19}$$

where $R_{\rm MX}$ is the experimental bond distance (the constant 4.803 24 allows Q to be in electron units, R in angstroms, and μ in debyes). The only variable here is the scaling parameter λ . The best value of λ is 0.4913, which leads to an average error of 0.0018 e (see Table II). Rounding off to $\lambda = 1/2$ leads also to an average error of 0.0018 e, and hence (17) becomes

$$\zeta_{\rm A} = (2n+1)/(4R_{\rm A})$$
 (17')

(with R_A in units of a_0).

We did not use M = Li and X = F in the fits because the errors were larger for these first-row elements. However, the results for these eight cases are also listed in Table II. Including these cases, the average error increases to 0.15 e.

IV. Hydrogen

The Mulliken-like definition¹¹ for electronegativity leads for hydrogen to $\chi_H^M = \frac{1}{2}(IP + EA) = 7.17 \text{ eV}$, which is not consistent with the Pauling¹² or other¹³ empirical values for electronegativities. With χ_H^M , the hydrogen is more electronegative than C ($\chi_C^{gmp} = 5.34$) or N ($\chi_L^{gmp} = 6.90$), whereas the Pauling scale (based on chemical experience) has hydrogen much more electropositive than C ($\chi_L^p = 2.1$, while $\chi_L^p = 2.5$) and slightly more electronegative than boron ($\chi_L^p = 2.0$). As discussed in ref 9, the problem with χ_L^M is that the effective EA for H is much smaller problem with χ_H^M is that the effective EA for H is much smaller than the atomic value because the H orbital involved in a bond cannot expand to the value achieved in a free H- ion. Consequently, we redefine $\chi_{
m H}^0$ and $J_{
m HH}^0$ for hydrogen, allowing EA_H to be a variable.

From an examination of the charges on H in the molecules LiH, CH₄, NH₃, H₂O, and HF, we find that an accurate description of $Q_{\rm H}$ is obtained if the effective charge parameter $\zeta_{\rm H}$ is allowed to be charge-dependent:

$$\zeta_{\rm H}(Q_{\rm H}) = \zeta_{\rm H}^0 + Q_{\rm H} \tag{20}$$

Here $\zeta_{\rm H}^0=1.0698$ is based on (17) where $R_{\rm H}=0.371$ Å. The idempotential $J_{\rm HH}$ becomes charge-dependent:

$$J_{\rm HH}(Q_{\rm H}) = (1 + Q_{\rm H}/\zeta_{\rm H}^0)J_{\rm HH}^0 \tag{21}$$

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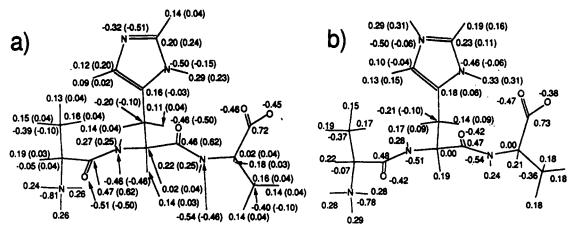


Figure 2. (a) Predicted charges for Ala-His-Ala. The N and O termini are charged as appropriate for a peptide. Comparisons with charges from AMBER² are given in parentheses. (b) Same as (a) except that His is protonated.

TABLE III: Charges on Hydrogen^a

compd	exptl	QEq ^b	HF¢	QEq ^{HF d}	
HF	0.415 ^f	0.462	0.462	0.457	
H ₂ O	0.325	0.353	0.398	0.346	
NH,	0.2678	0.243	0.338	0.233	
CH.	0.150	0.149	0.124	0.124	
LiH	-0.768	-0.767	-0.682°	-0.679	

^a From eq 23 for $\chi_H(Q)$ and eq 7 for other atoms. ^b Fitted to experimental charges; $\chi_{\rm H}^0 = 4.5280$, $J_{\rm H}^0 = 13.8904$. Reference 6. Fitted to HF charges; $\chi_{\rm H} = 4.7174$, $J_{\rm H}^0 = 13.4725$. Closlowski, J. Phys. Rev. Lett. 1989, 62, 1469. / Reference 25. Reference 26. Reference 27.

To determine the parameters $\chi_{\rm H}^0$ and $J_{\rm HH}^0$, we considered the five cases in Table III and compared with experiment (where the experimental charges are based on the lowest moments) or accurate theory. A least-squares fit leads to

$$\chi_{\rm H}^0 = 4.5280 \text{ eV}$$
 $J_{\rm HH}^0(0) = 13.8904 \text{ eV}$ (22)

and a good fit to the experimentally derived charges (see Table III, first two columns). Thus

$$E_{\rm H} + E_{\rm H0} + \chi_{\rm H}^0 Q_{\rm H} + \frac{1}{2} J_{\rm HH}^0 Q_{\rm H}^2 (1 + Q_{\rm H}/1.0698)$$
 (23)

Instead of determining the parameters in (20) with experimentally derived charges, we could use the charges calculated from electrostatic potentials of HF wave functions. This might be more appropriate for comparing with charges from HF calculations. This leads to

$$\chi_{\rm H}^0 = 4.7174 \text{ eV} \qquad J_{\rm HH}^0 = 13.4725 \text{ eV}$$
 (24)

and other results as in the last column of Table III.

Equations 20 and 21 are well-behaved in the range of $Q_{\rm H}$

$$-1.0 < Q_{\rm H} < +1.0$$
 (5')

corresponding to (5). (They would lead to unphysical results for sufficiently negative values of Q_{H} .)

In solving (10) using (21), we use (20) with an estimated Q_H and iterate until all Q_{H} 's are self-consistent. This converges rapidly for all cases tried (six to ten iterations for an initial guess of zero for all cases discussed).

V. Results

To test the utility of the charge equilibration approach, calculations were carried out on a representative set of molecules for which ab initio partial charges or experimentally derived charges are available. 5,6 The calculations were carried out at the experimental geometries, 14-16 using the electronegativities, idem-

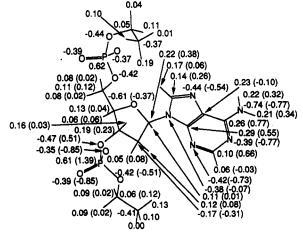


Figure 3. Predicted charges for an adenosine (deoxy) nucleotide. Charges from AMBER² are given in parentheses.

potentials, and atomic radii in Table I. The results are presented in Table IV, where we show both the values using hydrogen parameters (eq 21) based on experimental moments (denoted QEq) and the values based on HF charges (denoted QEqHF). The theoretical partial charges for ab initio HF calculations were obtained from fitting electrostatic potentials. Experimental charges are based on fitting to the lowest electrostatic moment from experiment.

When we compare the various theoretical and experimental charges, it is clear that there is no real standard for accuracy. Thus, for H_2CO , HF leads to $Q_C = 0.58$, MP2 (second-order Møller-Plesset theory) leads to $Q_C = 0.19$, and QEq leads to Q_C = 0.21. Similarly, for H_2O , HF leads to Q_H = 0.40, MP2 leads to $Q_{\rm H} = 0.47$, experiment leads to $Q_{\rm H} = 0.33$, and QEq leads to $Q_{\rm H}$ = 0.36. Nevertheless, we believe that the QEq charges are in reasonable agreement with both experiment and ab initio theory.

Figure 2 shows the charges for a typical peptide (histidine) in the neutral and protonated states. For these calculations, we used the tripeptide Ala-His-Ala in the extended configuration with the amino terminus protonated and the carboxy terminus in the carboxylate form (-COO-). For protonated His (Figure 2b), the net charge from QEq is distributed over the molecule, as would be expected for a vacuum. When these molecules are solvated with water, we would expect more localized charges. For comparison, we also show the charges on His from the AMBER com-

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Figure 4. Predicted charges for (top to bottom) polyethylene, poly(vinylidene difluoride), poly(tetrafluoroethylene), and poly(oxymethylene).

Figure 5. Predicted charges for (a) Nylon 66 and (b) PEEK. For each case, an additional unit was included on each end (to eliminate end effects).

puter program (in parentheses).2

Figure 3 shows the QEq charges for a typical nucleotide (adenosine) from DNA. This is compared with the charges from AMBER (in parentheses).²

In Figure 4, we give the charges for the repeat units for (a) polyethylene, (b) poly(vinylidene difluoride), (c) poly(tetra-fluoroethylene), and (d) orthorhombic poly(oxymethylene), while Figure 5 has those for (a) Nylon 66 and (b) PEEK (poly(ether-ether-ketone)) (poly(oxy-1,4-phenyleneoxy-1,4-phenylenecarbonyl-1,4-phenylene)). In all cases, we used the extended configuration with three such units for which only the charges of the middle fragment are shown. There is no standard of comparison for these systems; however, the charges are in reasonable agreement with analogous parts of Figures 2 and 3.

In Figure 6, we show charges for NaCl, $(NaCl)_2$, and $(NaCl)_4$, where $R_{NaCl} = 2.84$ Å and the bond angles are 90° as in an NaCl crystal. The charges show a reasonable trend.

VI. Comparison with Other Methods

The idea that the Mulliken electronegativity, $\chi = \frac{1}{2}(\text{IP} + \text{EA})$, is equal to the chemical potential $\mu = -\partial E/\partial Q$ was suggested by Iczkowsky and Margrave.⁷ The relation between electronegativity and quantum-mechanical wave functions was established by Parr

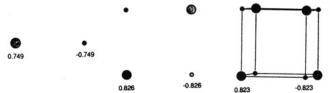


Figure 6. Clusters of sodium chloride, all with R = 2.84 Å and bond angles of 90°.

et al.,²² who showed that $\mu = \partial E(\rho)/\delta \rho$, where $E(\rho)$ is the density functional for the energy.

The charge expansion of the total energy (1) was suggested by Iczkowsky and Margrave.⁷

It was Parr and Pearson⁸ who identified the physical significance of the second-order coefficient IP – EA as an atomic hardness

$$\eta_{\rm A}^0 = \frac{1}{2}({\rm IP} - {\rm EA}) = \frac{1}{2}J_{\rm AA}^0$$

We agree with them that the quantity IP – EA is an important chemical quantity. However, the identification with hardness in acids and bases is less obvious. Consequently, we refer to this atomic Coulomb repulsion quantity $J_{AA}^0 = IP - EA = 2\pi_A^0$ as the idempotential (self-Coulomb interaction) for less awkward reference in discussions.

Popular approaches for estimating charges in molecules have been the partial equalization of orbital electronegativities (PEOE) of Gasteiger¹⁷ and the Del Re¹⁸ scheme. These methods depend upon the topology (bond connections) but not on geometry. From Table IV, we see that these schemes generally lead to the proper sign but underestimate the $Q_{\rm H}$ of alkanes by a factor of 3–6.

A simplification of QEq theory would be to replace the Coulomb interaction $J_{AB}(R)$ by a shielded Coulombic term

$$J_{AB}(R) = 14.4/(\epsilon R_{AB}) \tag{25}$$

We show in Table III the effect of using this approximation (using (21) with the fit of $\chi_{\rm H}$ and $J_{\rm HH}$ in experiment). Using $\epsilon=1.0$ often leads to net charges *opposite* those expected from electronegativities and hence to very unreasonable charge distributions. Using dielectric constants around $\epsilon=2.0$ leads to the best self-consistent charges. For $\epsilon=14$, we obtain values that are generally the right sign but a factor of 2–3 small. The results are very sensitive to geometry and to ϵ , resulting often in singular points in the variation of Q with R. Thus, we cannot recommend the simple Coulomb potential with dielectric constant approximation (25)

Mortier et al. 19 derived equations analogous to (10)-(12) from density functional theory and suggested that these equations lead to reasonable charges if the standard atomic electronegativities are modified for the molecular environment. The major difference from QEq is that Mortier used the unshielded Coulomb potential (25) with $\epsilon = 14.4$. Mortier's electronegativity equalization method⁶ (EEM) starts with the Sanderson¹³ values for electronegativities (which are not in electronvolts) and the Parr-Pearson values8 values of the Mulliken hardnesses (in electronvolts) and modifies them so that the predicted charges best fit the Mulliken charges from STO-3G calculations on small molecules. Using the Mortier-modified Sanderson electronegativites ($\chi_H = 3.832$, $\chi_{\rm C} = 4.053, \chi_{\rm N} = 5.002, \chi_{\rm O} = 5.565)$ and Parr–Pearson hardnesses ($\eta_{\rm H} = 6.836, \eta_{\rm C} = 5.617, \eta_{\rm N} = 6.8158, \eta_{\rm O} = 6.777,$ where $\eta = {}^{1}/{}_{2}J$) with $\epsilon = 14.4$ leads to the EEM results in Table III. The correct sign is generally obtained, but the magnitudes are low by factors of 3-6. Mortier has applied this approach to the prediction of charges for a number of ceramic crystals.23

Allinger and co-workers²¹ have developed the induced dipole moment and energy (IDME) method for treating electrostatic effects in molecules in terms of bond dipole moments and induced bond dipole moments. This approach is geometry-dependent but

⁽²²⁾ Parr, R. G.; Donelly, R. A.; Levy, M.; Palke, W. E. J. Chem. Phys. 1978, 68, 3801.

⁽²³⁾ Uytterhoeven, L.; Mortier, W. J.; Geerling, P. J. Phys. Chem. Solids 1989, 50, 479.

TABLE IV: Charge Equilibration Results

compd	atom	QEq	QEq ^{HF}	exptl	HF	MP2	PEOE	Del Re	IDME	EEM	$\epsilon = 14^b$	$\epsilon = 1^b$	$\epsilon = 2^b$
HF	Н	0.46	0.46	0.41¢	0.46		0.27				0.22	-1.00	0.36
H ₂ O	Н	0.35	0.35	0.334	0.40	0.47	0.21	0.33	0.36	0.05	0.11	-1.00	0.23
NH,	Н	0.24	0.23	0.27d	0.34		0.11	0.24		0.02	0.05	-0.11	0.13
CH₄	Н	0.15	0.13	0.14	0.12	***	0.02	0.04	0.07	0.00	0.01	-0.02	0.05
C₂H ₆	Н	0.16	0.13	0.17 ^f	•••	0.23	0.02	0.04	0.07	0.01	0.02	-0.05	0.04
C₂H₂	Н	0.13	0.11		0.29		0.12	0.03		0.01	0.03	•••	0.06
C₂H₄	Н	0.15	0.13		0.17	0.22	0.05	0.03		0.01	0.02	-0.08	0.05
C ₆ H ₆	Н	0.10	0.09				0.06	0.03		0.01	0.03	0.04	0.05
CO ₂	0	-0.45	-0.45	− 0.33∕	-0.45		-0.19	-0.14		-0.05	-0.11	-0.15	-0.29
H ₂ CO	0	-0.43	-0.44		-0.50	-0.45	-0.31	-0.14	-0.41	-0.10	~0.22	-0.34	-0.31
	С	0.19	0.24		0.58	0.19	0.11	0.05	0.36	0.03	0.05	-0.11	0.14
	Н	0.12	0.10		-0.04	0.13	0.10	0.04	0.21	0.04	0.08	0.22	0.09
H₃COH	H(O)	0.36	0.34		0.39	•••	0.21	0.30	0.36	0.03	0.07	-0.51	0.20
	0	-0.66	-0.66		-0.63		-0.40	-0.45	-0.58	-0.11	-0.26	0.22	-0.42
	С	-0.15	-0.09		0.21	•••	0.63	-0.01	0.23	0.01	0.01	0.24	0.00
	$H_{\mathbf{z}}$	0.14	0.12		-0.01		0.05	0.06	-0.03	0.02	0.06	0.06	0.07
	H,	0.18	0.16		0.04		0.05	0.06	0.00	0.02	0.06	-0.06	0.08
H ₂ NC(O)H	oʻ	-0.42	-0.43		-0.57	-0.28	-0.13	-0.09		-0.22	-0.24	-0.33	
•	С	0.39	0.42		0.66	0.48	0.20	0.17		0.03	0.06	-0.20	0.27
	N	-0.63	-0.61		-0.92	-0.89	-0.37	-0.52		-0.05	-0.10	0.30	-0.35
	Hc	0.29	0.28		0.42	0.42	0.16	0.22		0.04	0.09	0.04	0.19
	H,	0.23	0.22		0.40	0.41	0.16	0.22		0.04	0.09	-0.21	0.17
HOC(O)H	ο'	-0.44	-0.44		-0.60	•••	-0.26	-0.12		-0.08	-0.18		-0.31
	Ċ	0.56	0.58		0.78	•••	0.29	0.22		0.05	0.12		0.35
	H(C)	0.16	0.14		0.03	•••	0.15	0.05		0.05	0.12	•••	0.10
	0`′	-0.65	-0.65		-0.67	•••	-0.48	-0.45		-0.08	-0.19	•••	-0.40
	H(O)	0.38	0.37		0.46	•••	0.30	0.30		0.06	0.13	•••	0.25
H₃CCN	N `	-0.24	-0.25		-0.43	•••	-0.20	-0.07		-0.07	-0.14	0.15	-0.21
,	C	0.22	0.22		0.43	•••	0.06	0.08		0.01	0.00	-0.36	0.13
	Č	-0.37	-0.31		-0.39	•••	0.02	-0.12		0.00	-0.01	0.06	-0.16
	H	0.13	0.11		0.13		0.04	0.04		0.02	0.05	0.05	0.08
1 ₂ C=C=O	Ö	-0.45	-0.45		-0.41		-0.23	-0.15		-0.10	-0.23		-0.34
	č	0.42	0.42		0.77		0.12	0.14		0.02	0.05		0.26
	č	-0.23	-0.19		-1.08	•••	-0.01	-0.06		0.02	0.03		-0.13
SiH₄	H	0.13	0.11		0.36		0.07	0.03		0.02	0.03	•••	0.11
PH,	H	0.08	0.07		0.06		0.01	0.04			0.07	-0.07	0.06
,	H	0.19	0.18		0.15	•••	0.10	0.04		•••	0.02	-0.07 -1.00	0.00
	H	0.12	0.10		0.15	•••	0.10	0.04		***	0.00	-1.00	0.13

Carpenter, J. E.; McGrath, M. P.; Hehre, W. H. J. Am. Chem. Soc. 1989, 111, 6154. From eq 25. Reference 25. Reference 26. Reference 26. 27. Buckingham, A. D.; Disch, R. L.; Dunmur, D. A. J. Am. Chem. Soc. 1968, 90, 3104.

assumes that electrostatic interactions can be built upon a molecular connectivity framework. Thus the extension to salts is not apparent. Partial charges reported by Allinger and co-workers are provided in Table IV in the column labeled IDME. The charges calculated by this approach appear to underestimate charge transfer from hydrogen by a factor of 2 (e.g., 0.07 on H in CH₄ and C₂H₆ compared with 0.15 and 0.17 for experimentally derived charges).

VII. Properties

In addition to calculating electrostatic energies and multipole moments, the self-consistent charges can be used to evaluate the other properties such as infrared or Raman intensities. For example, if we express the α component of the dipole moment as

$$\mu_{\alpha} = \sum_{i} Q_{i} R_{i\alpha} \tag{26}$$

then the dipole derivative can be written as

$$\frac{\partial \mu_{\alpha}}{\partial R_{j\beta}} = Q_{j} \delta_{\alpha\beta} + \sum_{i} \frac{\partial Q_{i}}{\partial R_{j\beta}}$$
 (27)

Using (10)

$$\sum_{k} A_{ik} Q_k = -B_i$$

we can write

$$\sum_{k} \frac{\partial A_{ik}}{\partial R_{j\beta}} Q_k + \sum_{k} A_{ik} \frac{\partial Q_k}{\partial R_{j\beta}} = 0$$
 (28)

where (for $i \neq 1$)

$$\frac{\partial A_{ik}}{\partial R_{j\beta}} = \frac{\partial J_{ik}}{\partial R_{j\beta}} - \frac{\partial J_{1k}}{\partial R_{j\beta}}$$
 (29)

Equation 28 is solved to obtain the $\partial Q_k/\partial R_{j\beta}$ that are substituted into (27), which is transformed to normal modes to yield the dipole intensity of each mode. Similar formulas can be derived for Raman intensities and other charge-related quantities.

For crystals, this approach could be used to predict dielectric constants and changes of polarization with temperature (pyroelectricity) and stress (piezoelectricity).

VIII. Summary

The charge distributions from charge equilibration (QEq) lead to good agreement with experiment. The QEq approach uses only readily available experimental data (atomic IP and EA, atomic radius) and thus can be applied to any combination of atoms. (The relevant χ and J values have been tabulated for all elements through Lw.^{9,24}) The results for simple examples of typical organic, inorganic, biological, and polymer systems seem reasonable, and we believe that this approach will prove valuable in simulating biological, polymer, and inorganic materials.

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