

# EQUINUMERABILITY

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Now we present some basic results of equinumerability. We will later apply some of those results to computability.

**Definition 1.** Two sets  $A$  and  $B$  are **equivalent** or **equinumerable** if there exists a correspondence (bijection) between them. This is denoted  $A \sim B$ .

**Proposition 2.** *The relation of equivalence is an equivalence relation.*

**Theorem 3** (Schröder-Bernstein). *If  $X \preceq Y$  and  $X \succeq Y$  then  $X \sim Y$ .*

**Lemma 4.** *Let  $f$  be a total function from  $X$  to  $Y$  and  $g$  be a total function from  $Y$  to  $X$ . Then there exists  $A \subset X$  and  $B \subset Y$  such that  $f(A) = B$  and  $g(Y - B) = X - A$ .*

*Proof Sketch of lemma 1.* The lemma is equivalent to the affirmation of the existence of a fixed point of the equation  $A = X - g(Y - f(A))$ .

Consider the chain  $C = \{X, X - g(Y - f(X)), \dots, h(n), X - g(Y - f(h(n)))\}$ .

This chain is ordered by inclusion  $\supset$ .

We can check that  $A = \cap C$  is the desired fixed point. ■

*Proof Sketch of Schröder-Bernstein.* From the hypothesis we have two one to one functions,  $f$  from  $X$  to  $Y$  and  $g$  from  $Y$  to  $X$ . Using lemma 1, there exists  $A \subset X$  and  $B \subset Y$  such that  $f(A) = B$  and  $g(Y - B) = X - A$ . Now we define  $h : X \rightarrow Y$  as

$$h(z) = \begin{cases} f(z) & \text{if } z \in A \\ g^{-1}(z) & \text{if } z \notin A \end{cases}$$

It can be shown that  $h$  is a correspondence. ■

**Definition 5.** A set is **enumerable** if it is equivalent with the set of natural numbers  $\mathbb{N}$ .

**Proposition 6.** *The following sets are all enumerable:*

- (1) *The set of integers  $\mathbb{Z}$ .*
- (2) *The set of rational numbers  $\mathbb{Q}$ .*
- (3) *The set of  $n$ -uples of natural numbers for all  $n \in \mathbb{N}$ .*
- (4) *The set of finite words over an enumerable alphabet  $\Sigma^*$ .*
- (5) *The set of finite subsets of an enumerable set.*

**Proposition 7.** *The set  $\mathcal{P}(\mathbb{N})$  is not enumerable.*

**Proposition 8.** *The interval  $(0, 1)$  is not enumerable.*

**Proposition 9.** *The following sets are all equivalent:*

- (1) *The set of subsets of natural numbers  $\mathcal{P}(\mathbb{N})$ .*

- (2) *The set of boolean functions over the natural numbers,  $2^{\mathbb{N}}$ .*
- (3) *The interval  $(0, 1)$ .*
- (4) *The set of real numbers  $\mathbb{R}$ .*
- (5) *The interval  $[0, 1]$ .*
- (6)  $\mathbb{R}^2$
- (7)  $\mathbb{R}^n$  for all  $n$ .
- (8)  $\mathbb{R} \times \mathbb{N}$
- (9)  $\mathbb{R}^{\mathbb{N}}$

*Proof of  $2^{\mathbb{N}} \sim (0, 1)$ .* Every real number in  $(0, 1)$  can be represented in binary as  $0.1010\dots a_n a_{n+1} \dots$ , and therefore as a function  $f : \mathbb{N} \rightarrow 2$  such that  $f(n) = 1$  iff  $a_n = 1$ . This is not an equivalence, since for example  $0.1111\dots \notin (0, 1)$ . Therefore we have shown that  $(0, 1) \preceq 2^{\mathbb{N}}$ .

Conversely, every function  $f : \mathbb{N} \rightarrow 2$  can be represented as a real number  $a = 0.f(0)0f(1)1f(2)0\dots$ . Thus  $(0, 1) \succeq 2^{\mathbb{N}}$ .

We conclude by Schöder-Bernstein that  $2^{\mathbb{N}} \sim (0, 1)$ . ■

### EXERCISES

- (1) Show that the set of cofinite subsets of an enumerable set is enumerable.
- (2) Show that the points inside of the inferior semicircle of radius 1 and center (1,1) are equivalent to  $\mathbb{R}$ .

### REFERENCES

- [1] George S. Boolos, John P. Burgess, Richard C. Jeffrey, *Computability and Logic*
- [2] Paul Halmos, *Naive Set Theory*