

More Examples of Creating Loops

1. Create a program for binary search $BS(b, x)$ that searches for x (where $b[0] \leq x \leq b[n-1]$) in sorted array b (with no duplicated numbers) whose $size(b) = n > 0$. In the postcondition, let L be the index of x if and only if x is in b , $0 \leq L < n$.

- o How does binary search work? $[L, R)$

We let L and R be the left (inclusive) and right (exclusive) boundary of the “search range”: elements in this range are candidate for target x . We know that the idea of binary search is to “halve” the search range after each iteration (by letting mid-point m be the new L or R in the next iteration) until the target x is found or the search range is shrunk to size 0 (or 1). We need to be careful while guaranteeing that the search range shrinks after each iteration: because when close to the end of the loop, it is possible that $R = L + 1$, then $m = \frac{L+R}{2} = L$ which might lead to divergence. Here let’s look one way to solve this problem (we terminate the loop when the search range has size 1):

We assign $L := m$ or $R := m$ in each iteration, then we need to terminate with $R = L + 1$ (which means there is only $b[L]$ in the search range) if x is not found. Because R can be $L + 1$ and L can be $n - 1$, we need to artificially define $b[n] = b[n - 1] + 1$.

(As an aside, another design can be let $L := m + 1$ or $R := m$ after each iteration. Think about how to create a loop using this design.)

- The precondition of the program can be $Sorted(b) \wedge size(b) = n > 0 \wedge b[0] \leq x \leq b[n-1]$, where $Sorted(b) \equiv \forall 0 \leq k < size(b) - 1. b[k] < b[k + 1]$. Since $Sorted(b)$ is always true during the program and our searching procedure doesn’t change it; we will only show it in the precondition and omit it everywhere else.
- In the postcondition, to show whether we find x , we introduce a Boolean variable *found*. We say *found* only if we have $b[L] = x$ at the end. The postcondition of the program can be written as $q \equiv (0 \leq L < n) \wedge (b[L] \leq x < b[L + 1]) \wedge (found \rightarrow b[L] = x)$.
- For loop invariant:
 - ❖ Dropping off either conjunct doesn’t look promising. (The dropped conjunct will be $\neg B$.)
 - ❖ Replacing $L + 1$ by a variable R can be a good idea, and we have $R \neq L + 1$ while looping. The range of R should be $L + 1 \leq R \leq n$, and we can end the loop with either $R = L + 1$ or *found*.
 - ❖ In the end, we can try to use loop invariant $p \equiv (0 \leq L < R \leq n) \wedge (b[L] \leq x < b[R]) \wedge (found \rightarrow b[L] = x)$
 - ❖ At the same time, we find that we should try to use loop condition $B \equiv \neg found \wedge R \neq L + 1$.
- For the bound expression: we will increase L or decrease R in each iteration, and loop invariant implies $R > L$, so we can use $R - L$ as the bound expression.
- Then we can come up with the following partial program:

$\{Sorted(b) \wedge size(b) = n > 0 \wedge b[0] \leq x \leq b[n-1] < b[n]\}$

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...
{inv  $p \equiv (0 \leq L < R \leq n) \wedge (b[L] \leq x < b[R]) \wedge (found \rightarrow b[L] = x)$ } {bd  $R - L$ }
while  $\neg found \wedge R \neq L + 1$  do
  { $p \wedge \neg found \wedge R \neq L + 1 \wedge R - L = t_0$ }
   $m := (L + R) \div 2$ ;
  { $p_1 \equiv p \wedge \neg found \wedge R \neq L + 1 \wedge R - L = t_0 \wedge m = (L + R) \div 2$ } # forward assignment
  if  $b[m] = x$  then
    { $p_1 \wedge b[m] = x$ }  $found := T$ ;  $L := m$  { $p \wedge R - L < t_0$ }
  else
    { $p_1 \wedge b[m] \neq x$ } ... { $p \wedge R - L < t_0$ }
  fi
  { $p \wedge R - L < t_0$ }
od
{ $0 \leq L < R \leq n \wedge (b[L] \leq x < b[R]) \wedge (found \rightarrow b[L] = x) \wedge (found \vee R = L + 1)$ }
{ $q \equiv 0 \leq L < n \wedge (b[L] \leq x < b[L + 1]) \wedge (found \rightarrow b[L] = x)$ }

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- There are still gaps in the program and we don't have a full proof outline yet.
 - ❖ To get **inv** p from the precondition, we need to initialize values of L, R and $found$.
 - ❖ The true branch lacks proof: so, we need to add forward or backward assignments between statements. We omit the proof here.
- ❖ For the false branch: we can use another conditional statement to assign $L := m$ or $R := m$. Then we have the following partial proof outline under total correctness:

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{Sorted( $b$ )  $\wedge 1 \leq n = size(b) \wedge b[0] \leq x \leq b[n - 1] < b[n]$ }
 $L := 0$ ;  $R := n$ ;  $found := F$ ;
{ $1 \leq n = size(b) \wedge b[0] \leq x \leq b[n - 1] < b[n] \wedge L = 0 \wedge R = n \wedge found = F$ }
{inv  $p \equiv 0 \leq L < R \leq n \wedge (b[L] \leq x < b[R]) \wedge (found \rightarrow b[L] = x)$ } {bd  $R - L$ }
while  $\neg found \wedge R \neq L + 1$  do
  { $p \wedge \neg found \wedge R \neq L + 1 \wedge R - L = t_0$ }
   $m := (L + R) \div 2$ ;
  { $p_1 \equiv p \wedge \neg found \wedge R \neq L + 1 \wedge R - L = t_0 \wedge m = (L + R) \div 2$ }
  if  $b[m] = x$  then
    { $p_1 \wedge b[m] = x$ }  $found := T$ ;  $L := m$ ; { $p \wedge R - L < t_0$ }
  else
    { $p_1 \wedge b[m] \neq x$ } if  $b[m] > x$  then  $R := m$  else  $L := m$  fi { $p \wedge R - L < t_0$ }
  fi
  { $p \wedge R - L < t_0$ }
od
{ $p \wedge (found \vee R = L + 1)$ }
{ $q \equiv 0 \leq L < n \wedge (b[L] \leq x < b[L + 1]) \wedge (found \rightarrow b[L] = x)$ }

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2. Given two non-empty sorted arrays b_1 and b_2 , find the least indices i and j such that $b_1[i] = b_2[j]$; if no such i and j exist, end with $i = n$ or $j = m$ such that $n = size(b_1)$ and $m = size(b_2)$.
 - We have seen the three-array version of this problem when we introduce nondeterministic statements: our algorithm starts with $i = j = 0$ then increase either i or j in each iteration. This time we focus on the loop invariant and termination.

- The precondition of the program can be: $\text{size}(b_1) = n > 0 \wedge \text{size}(b_2) = m > 0 \wedge \text{Sorted}(b_1) \wedge \text{Sorted}(b_2)$. Since $\text{Sorted}(b_1) \wedge \text{Sorted}(b_2)$ is always true during the program and our searching procedure doesn't change it; we will only show it in the precondition and omit it everywhere else.
- While discussing the three-array version, we mentioned that our algorithm will only return the left-most match. So, if the program ends with $b_1[i]$ and $b_2[j]$, then there is no match on the left of i and j . This is similar to the postcondition of the linear search, we can write postcondition $q \equiv 0 \leq i \leq n \wedge 0 \leq j \leq m \wedge \text{NoMatch}(b_1, b_2, i, j) \wedge (i < n \wedge j < m \rightarrow b_1[i] = b_2[j])$, where $\text{NoMatch}(b_1, b_2, i, j) \equiv \forall 0 \leq i' < i. \forall 0 \leq j' < j. b_1[i'] \neq b_2[j']$.
- Like linear search, we can also get invariant by dropping of the last conjunct, and $p \equiv 0 \leq i \leq n \wedge 0 \leq j \leq m \wedge \text{NoMatch}(b_1, b_2, i, j)$, then we have loop condition $B \equiv \neg(i < n \wedge j < m \rightarrow b_1[i] = b_2[j]) \Leftrightarrow i < n \wedge j < m \wedge b_1[i] \neq b_2[j]$.
- Since we are increase i and j , so we define bound function $t(i, j) \equiv (n - i) + (m - j)$. It is easy to see that $t(i, j) \geq 0$.
Then we can get the following partial program:

```

{size(b1) = n > 0 ∧ size(b2) = m > 0 ∧ Sorted(b1) ∧ Sorted(b2) }
{0 ≤ 0 ≤ n ∧ 0 ≤ 0 ≤ m ∧ NoMatch(b1, b2, 0, 0)}
i := 0; j := 0;
{inv p ≡ 0 ≤ i ≤ n ∧ 0 ≤ j ≤ m ∧ NoMatch(b1, b2, i, j)} {bd t(i, j) ≡ (n - i) + (m - j)}
while B ≡ i < n ∧ j < m ∧ b1[i] ≠ b2[j] do
    {p ∧ B ∧ t(i, j) = t0}
    ... increase i or j, and maybe something else ...
    {p ∧ t(i, j) < t0}
od
{p ∧ (i < n ∧ j < m → b1[i] = b2[j])}
{q ≡ 0 ≤ i ≤ n ∧ 0 ≤ j ≤ m ∧ NoMatch(b1, b2, i, j) ∧ (i < n ∧ j < m → b1[i] = b2[j])}

```

- What program should be the loop body? Let's use a deterministic program this time. We want to increase $i := i + 1$ when $b_1[i] < b_2[j]$, since $b_2[j]$ is already too large so increasing j won't help; symmetrically, we want to increase $j := j + 1$ when $b_1[i] > b_2[j]$. With a conditional statement, we have the following partial proof outline:

```

{size(b1) = n > 0 ∧ size(b2) = m > 0 ∧ Sorted(b1) ∧ Sorted(b2) }
{0 ≤ 0 ≤ n ∧ 0 ≤ 0 ≤ m ∧ NoMatch(b1, b2, 0, 0)}
i := 0; j := 0;
{inv p ≡ 0 ≤ i ≤ n ∧ 0 ≤ j ≤ m ∧ NoMatch(b1, b2, i, j)} {bd t(i, j) ≡ (n - i) + (m - j)}
while B ≡ i < n ∧ j < m ∧ b1[i] ≠ b2[j] do
    {p ∧ B ∧ t(i, j) = t0}
    if b1[i] < b2[j] then          # Conditional Rule 1
        {p ∧ B ∧ t(i, j) = t0 ∧ b1[i] < b2[j]} i := i + 1 {p ∧ t(i, j) < t0}
    else
        #b1[i] > b2[j]
        {p ∧ B ∧ t(i, j) = t0 ∧ b1[i] > b2[j]} j := j + 1 {p ∧ t(i, j) < t0}
    fi
    {p ∧ t(i, j) < t0}
od
{p ∧ (i < n ∧ j < m → b1[i] = b2[j])}
{q ≡ 0 ≤ i ≤ n ∧ 0 ≤ j ≤ m ∧ NoMatch(b1, b2, i, j) ∧ (i < n ∧ j < m → b1[i] = b2[j])}

```

3. Create a program that can find some x such that $x \leq \text{sqrt}(n) < x + 1$, where $n \geq 0$ is given in the question; or equivalently, $x^2 \leq n < (x + 1)^2$.

- 1) The postcondition here is straightforward: $x^2 \leq n < (x + 1)^2$.
The precondition can simply be: $n \geq 0$

- 2) How about replacing the “2” in the power with a variable k ?
If $p \equiv x^k \leq n < (x + 1)^2$, then the loop will end up with $k = 2$. What should be the other boundary of the range of k ? If the other boundary is larger than 2, then we probably won’t be able to find any k such that $x^k < (x + 1)^2$; if it is smaller than 2, then it is either 0 or 1, then this loop is trivial since there are only three possible values of k and I don’t see it is helpful for looking for x . So, this is not a good idea.

Replacing $(x + 1)^2$ with $(x + 1)^k$ also doesn’t help us much about looking for x and we omit the discussion here.

- 3) Replacing the constant 1 with k can be a good idea for loop invariant. The loop ends up with $k = 1$, and $k = n + 1$ can be large enough as an upper bound for k , so k can have range $1 \leq k \leq n + 1$.

In each iteration, to find such x , we need to either make x larger or make k smaller, this implies that $-x + k + n$ is a good bound expression.

```
{n ≥ 0} ...
{inv  $x^2 \leq n < (x + k)^2 \wedge 1 \leq k \leq n + 1$ }{bd  $-x + k + n$ }
while  $k \neq 1$  do
    ...increase  $x$  or decrease  $k$ , and maybe something else ...
od
{ $x^2 \leq n < (x + k)^2 \wedge 1 \leq k \leq n + 1 \wedge k = 1$ }          #  $p \wedge \neg B$ 
{ $x^2 \leq n < (x + 1)^2$ }
```

- 4) We can try to use the idea of binary search to shrink the range: In each iteration we can compare n with the middle point $(x + k \div 2)^2$ to decide whether we want to increase the lower bound or the upper bound of the searching range. In addition, to add a precondition, we can start the search with $x = 0$ and $k = n + 1$. We can get the following partial proof outline.

```
{n ≥ 0}  $x := 0; k := n + 1; \{n \geq 0 \wedge x = 0 \wedge k = n + 1\}$ 
{inv  $p \equiv x^2 \leq n < (x + k)^2 \wedge 1 \leq k \leq n + 1$ }{bd  $-x + k + n$ }
while  $k \neq 1$  do
    { $p \wedge k \neq 1 \wedge -x + k + n = t_0$ }
    if  $(x + k \div 2)^2 > n$  then
         $k := k \div 2$ 
    else
        #  $(x + k \div 2)^2 \leq n$ 
         $x := x + k \div 2; k := k - k \div 2$ 
    { $p \wedge -x + k + n < t_0$ }
od
{ $x^2 \leq n < (x + k)^2 \wedge 1 \leq k \leq n + 1 \wedge k = 1$ }
{ $x^2 \leq n < (x + 1)^2$ }
```

- 5) As an aside, we can see that k is decreasing in every iteration, we can simply use k instead of $-x + k + n$ as the bound expression.