

# Variational Auto-Encoders (Part 2)

# What we'll learn about Variational Auto-Encoders:

Part 1 (previous): The “simple” explanation of a VAE, as a regularized AE

- What is a VAE
- How to train VAEs
- How do different terms of training loss influence what VAE learns
- How does a VAE relate to the basic AE

Part 2 (this video): Applications of VAE for...

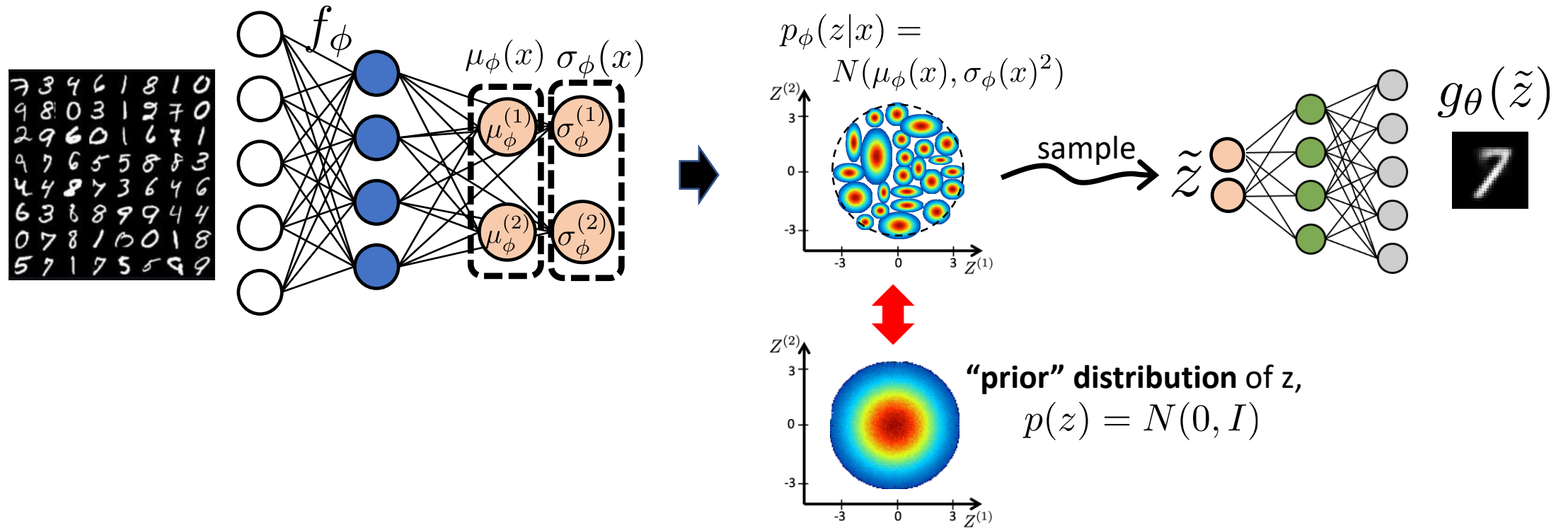
- Generation of new data points
- Modifying data via interpolating between 2 inputs
- Modifying specific feature of an input
- Compression => Reconstruction

Part 3: The probabilistic derivation of a VAE

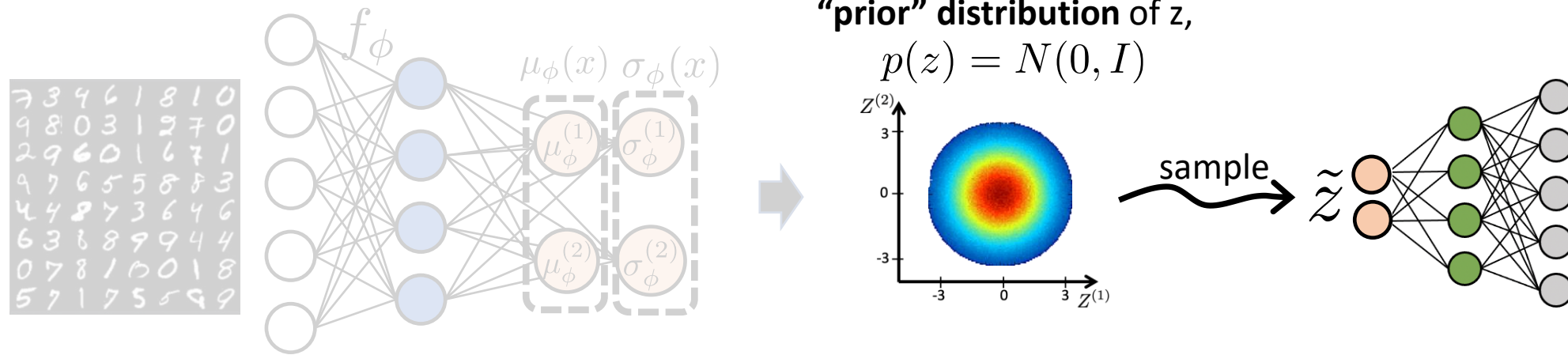
(Optional, non-assessed. To be published at a later date)

- Derivation of VAE as a probabilistic model for Density Estimation

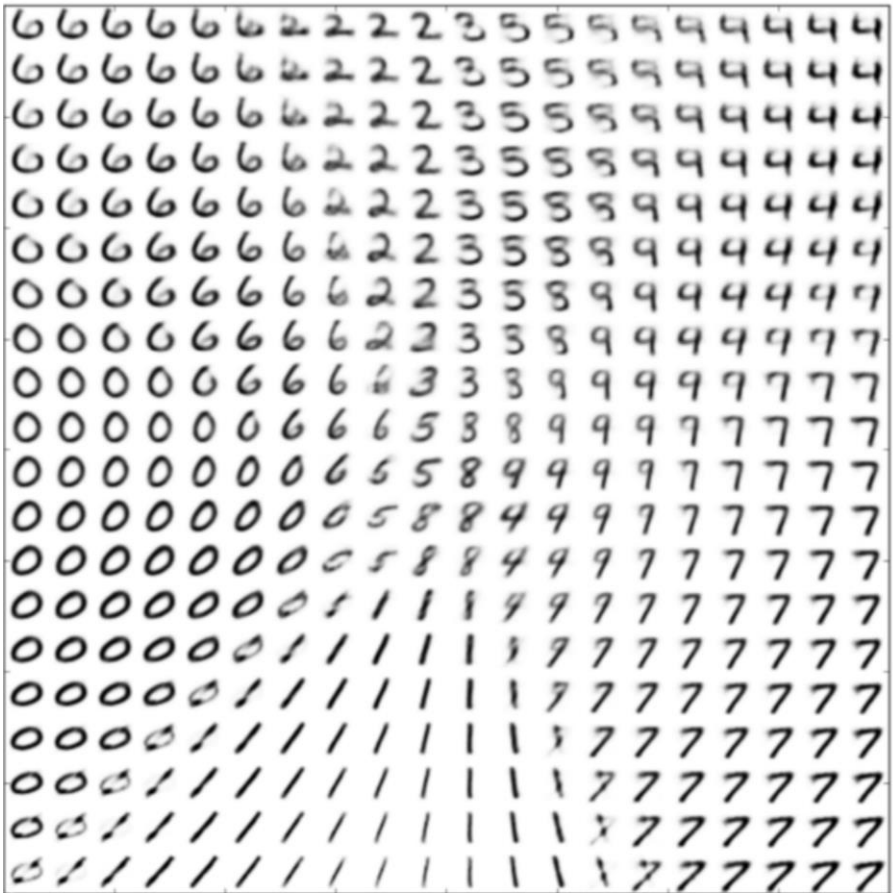
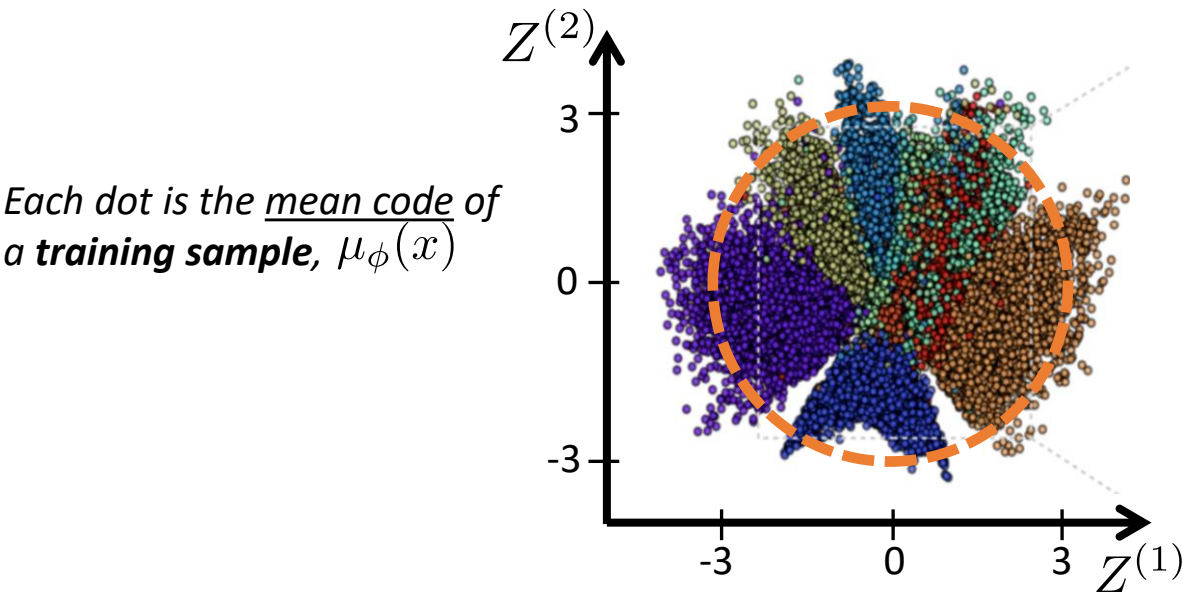
# VAE: Training



# VAE: Generating new data by sampling from prior



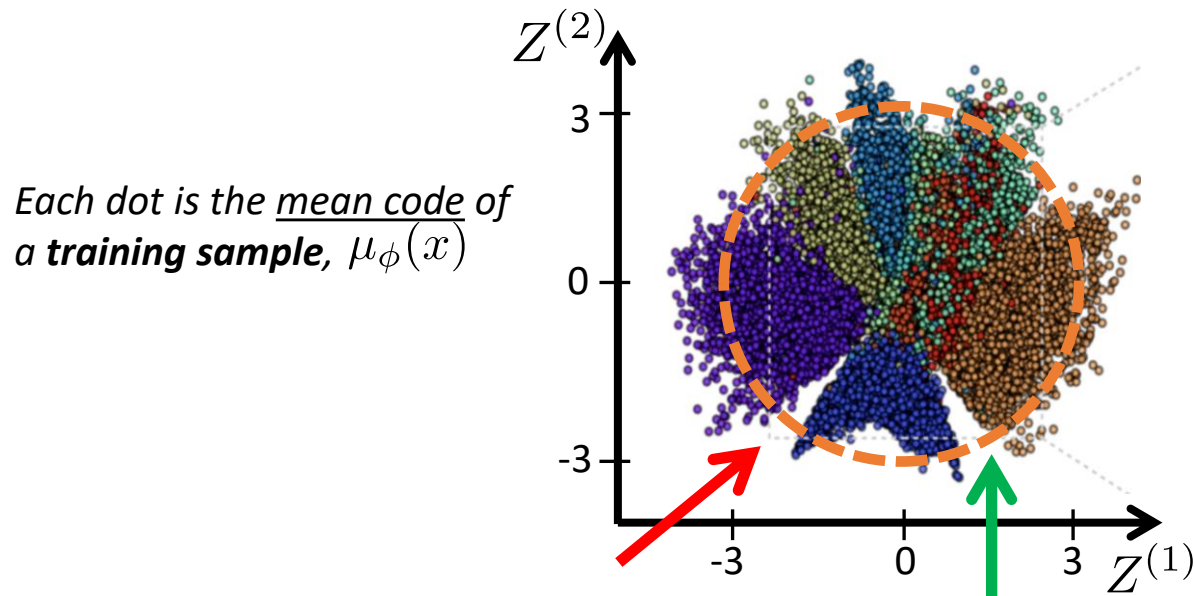
# Generating (synthesizing) new data with VAE



Values of  $z$  were sampled on a grid, under the area  $(-3,+3)$  covered by the prior.

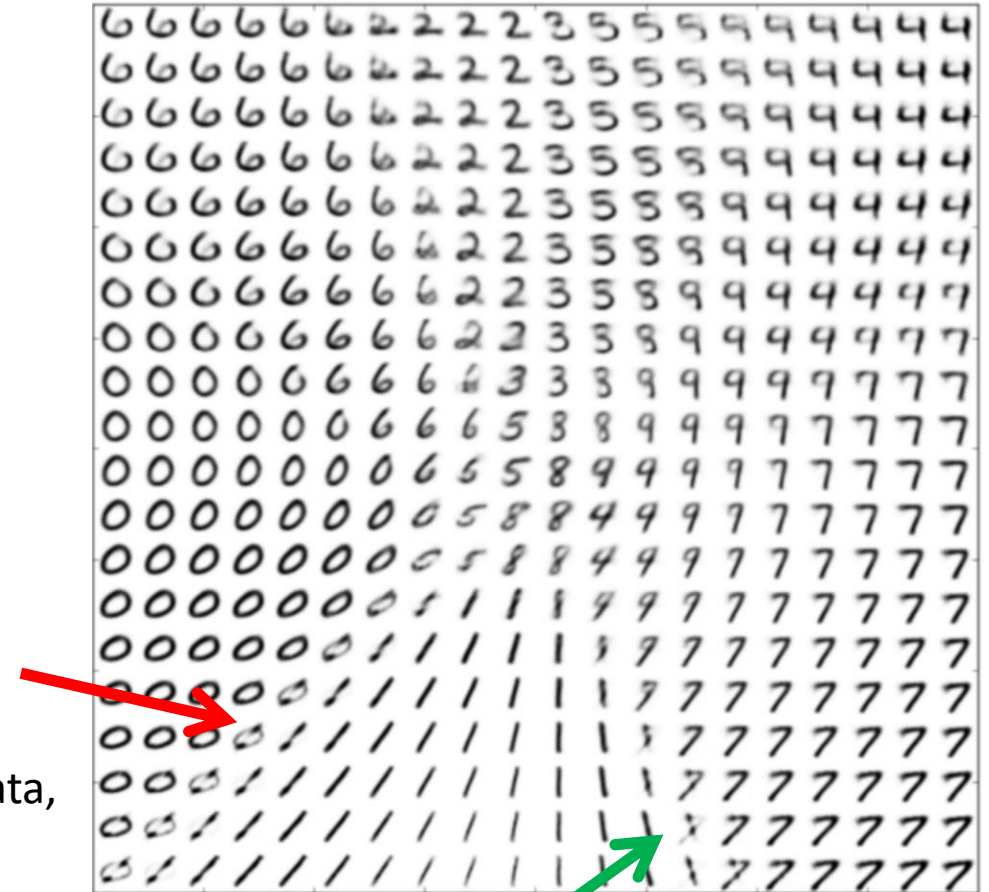
Images from: Cloudera Fast Forward ([link](#))

# Generating (synthesizing) new data with VAE



Even for VAE, some “gaps” can still be left in space of  $Z$ .  
**Why?**

1. Reconstruction loss encourages separation of dissimilar data, “opposing” the regularizer.
2. SGD optimization does not find global optimum.

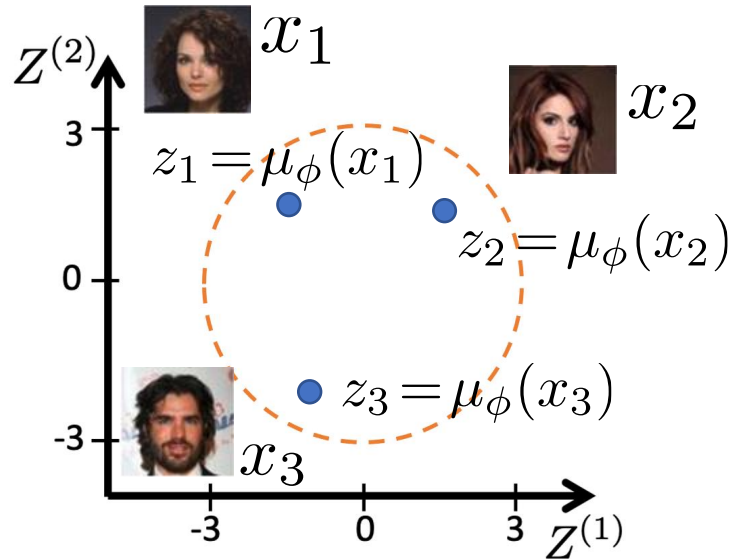


Values of  $z$  were sampled on a grid, under the area  $(-3,+3)$  covered by the prior.

Images from: Cloudera Fast Forward ([link](#))



# Interpolating between different inputs with VAE



## Algorithm:

1. **Encode** inputs  $x$  and get  $\mu_\phi(x)$  as  $z$ .  
E.g.  $z_1 = \mu_\phi(x_1)$ ,  $z_2 = \mu_\phi(x_2)$ ,  $z_3 = \mu_\phi(x_3)$
2. Create **new z code by interpolation**  
E.g.  $z = z_1 + \alpha(z_2 - z_1) + \beta(z_3 - z_1)$

3. **Decode**  $z$  with decoder.

Can be for 2 or more inputs.



Image from: Fathy Rashad ([link](#))

# Interpolating between inputs: basic AE vs VAE

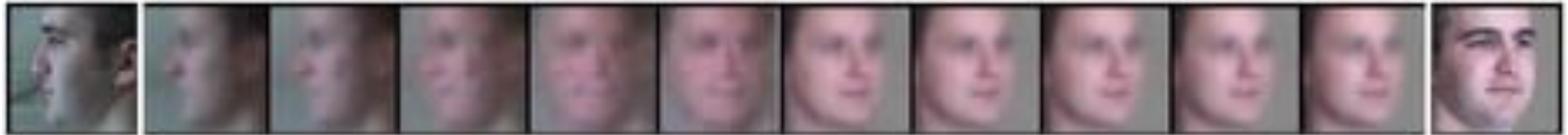
Problematic results, and a **sudden (non-smooth) change**



**AE**



**VAE**

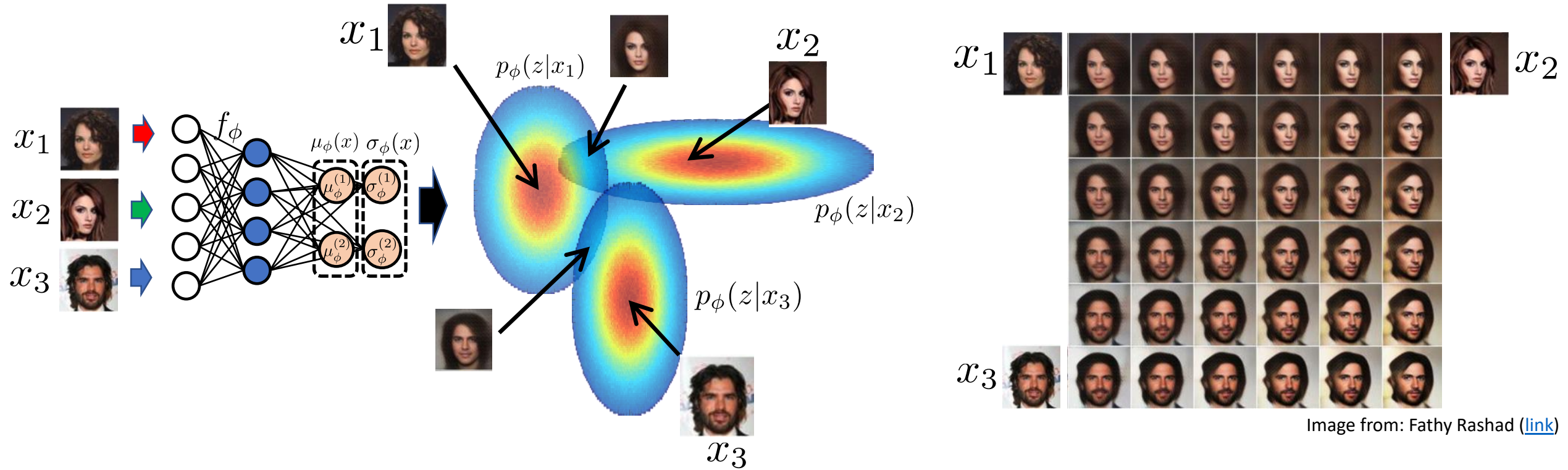


VAE tends to gives **smoother interpolation**

Image from: Yan et al, "Semantics-Guided Representation Learning with Applications to Visual Synthesis", 2020



# Interpolation with a VAE gives “smoothly” changing output



# Interpolation with a VAE gives “smoothly” changing output

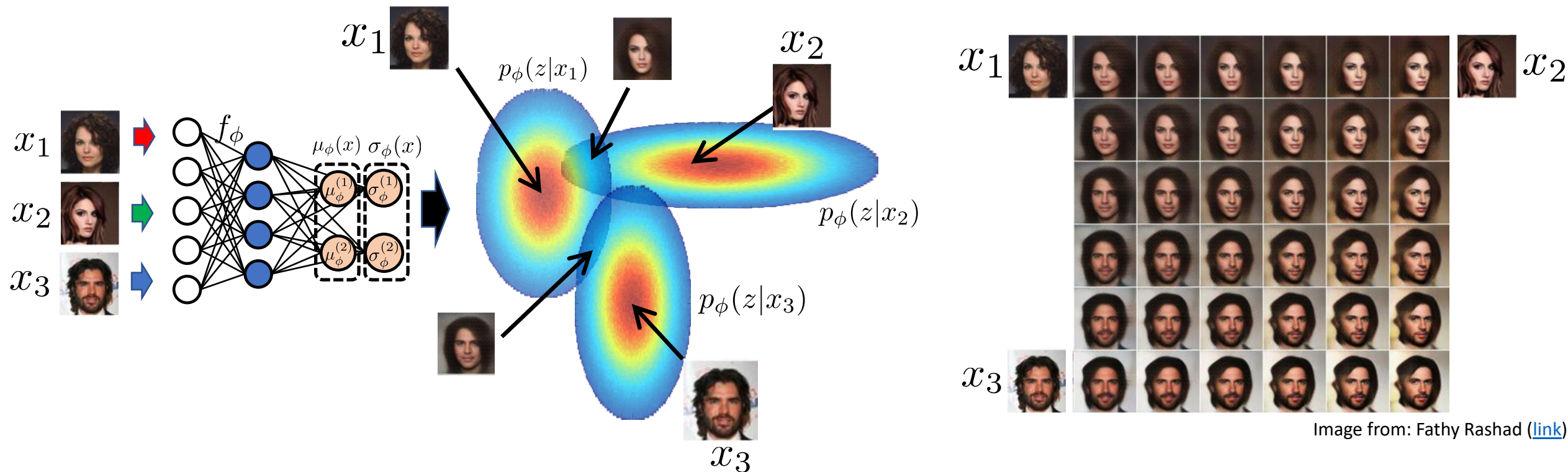
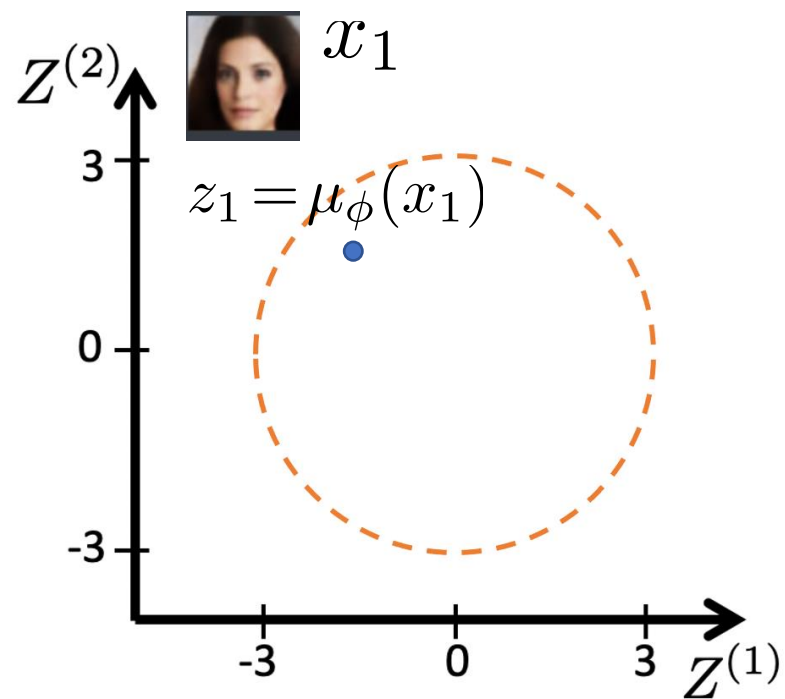


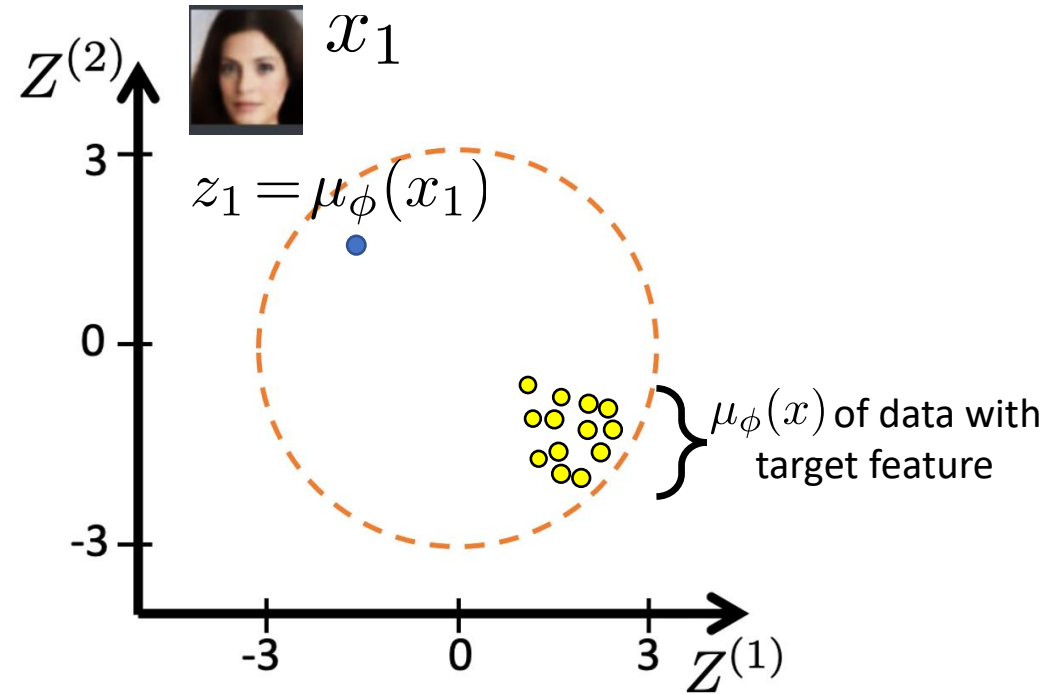
Image from: Fathy Rashad ([link](#))

**Smoothness:** No *sudden* changes between 2 nearby  $z$  values. Thanks to training with Gaussian distribution  $p_\phi(y|z)$  for encoding. In VAE, values of  $z$  between 2 training datapoints are associated with probability  $p_\phi(z|x_1)$  code of one training image  $x_1$ , and probability  $p(z|x_2)$  code of another training image  $x_2$ , where the 2 Gaussians predicted by encoder overlap. Therefore, decoding such intermediate values  $z$  leads to an image that has characteristics of both images that their  $p(z|x)$  overlap at that value of  $z$ . As we move in space of  $Z$ , Gaussians  $p(z|x)$  vary smoothly, and so characteristics in decoded images will vary smoothly.

# Altering specific features of data with VAE



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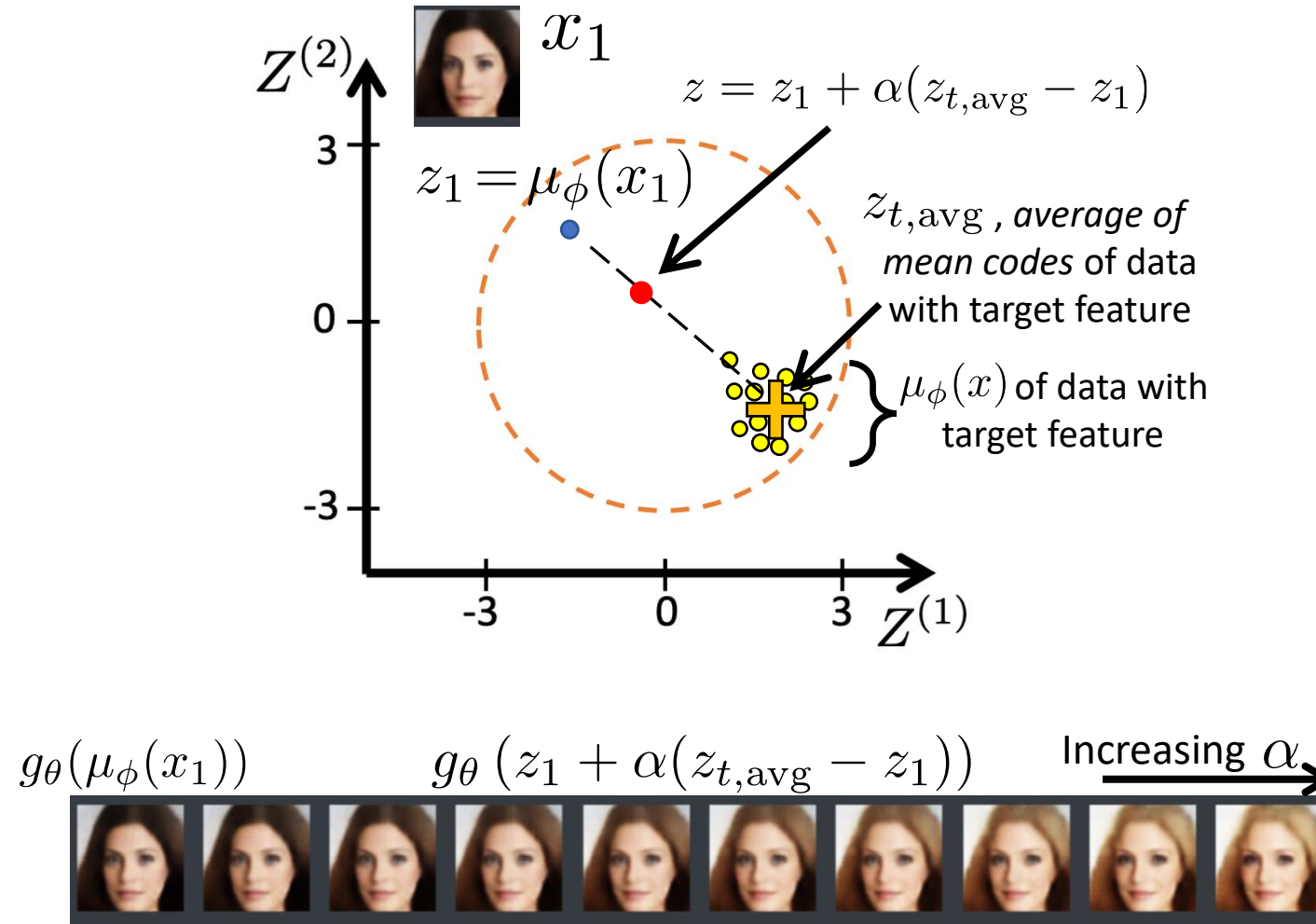
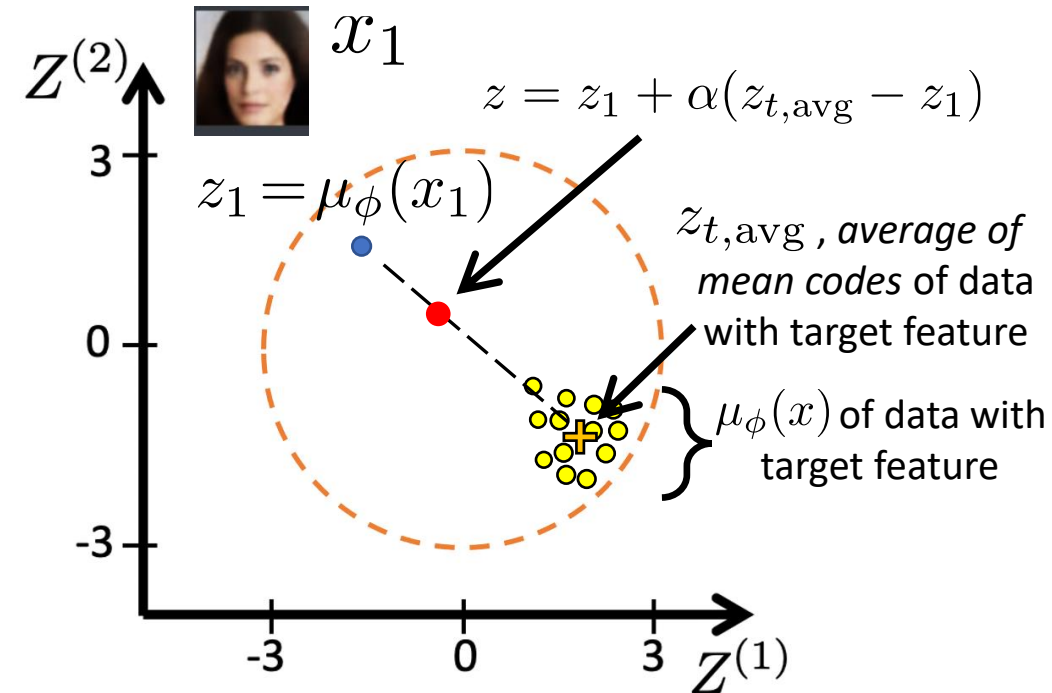


Image from: Steven Flores ([link](#))

# Altering specific features of data with VAE



## Algorithm:

1. **Encode** original input  $x$  and use predicted  $\mu_\phi(x)$  as its code:  
E.g.  $z_1 = \mu_\phi(x_1)$
2. Identify all training samples that have the desired "target" characteristic. E.g. blondes. Assume these are  $x_{t,1}, x_{t,2}, \dots$
3. **Encode** all training samples with the target characteristic. Use mean of the Gaussian predicted by encoder as the code.  
E.g.  $z_{t,1} = \mu_\phi(x_{t,1}), z_{t,2} = \mu_\phi(x_{t,2}), \dots$
4. Compute **average** value of codes of all samples with target characteristic:  $z_{t,avg} = average(z_{t,1}, z_{t,2}, \dots)$
5. Create **new z** code by **interpolation**  
E.g.  $z = z_1 + \alpha(z_{t,avg} - z_1)$
6. **Decode**  $z$  with decoder.

Possible with more than 1 target features, similarly to algo on Slide 7.



Image from: Steven Flores ([link](#))

## Requirement:

\*Need\* to know which data have the target characteristic (e.g. blonde hair)



# Altering specific features of data with basic Auto-Encoder

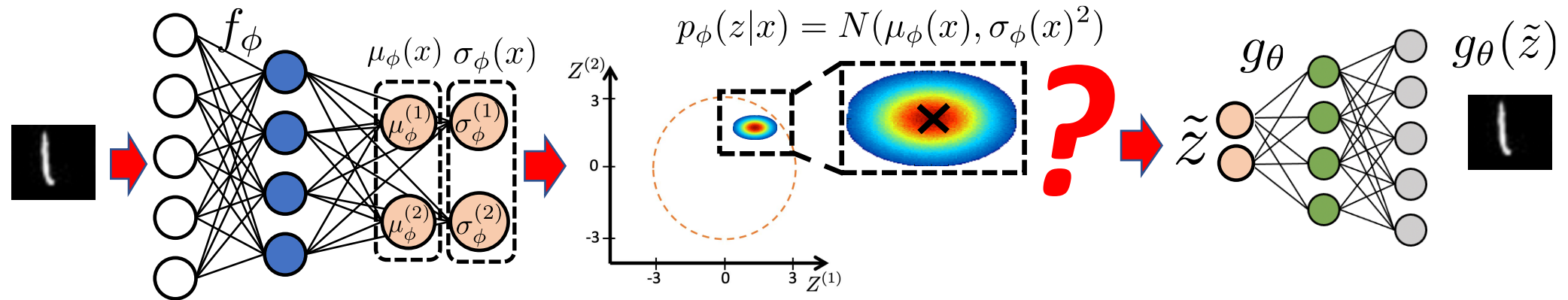
**The same procedure can be done via a basic (deterministic) Auto-Encoder.**

What issues do you expect and why?

# Using VAEs for Compression and Reconstruction

After a VAE is trained, we may consider using it for compression and reconstruction.

Q: If we want **the best possible reconstruction of  $x$** , which code  $z$  should we give as input to the decoder?

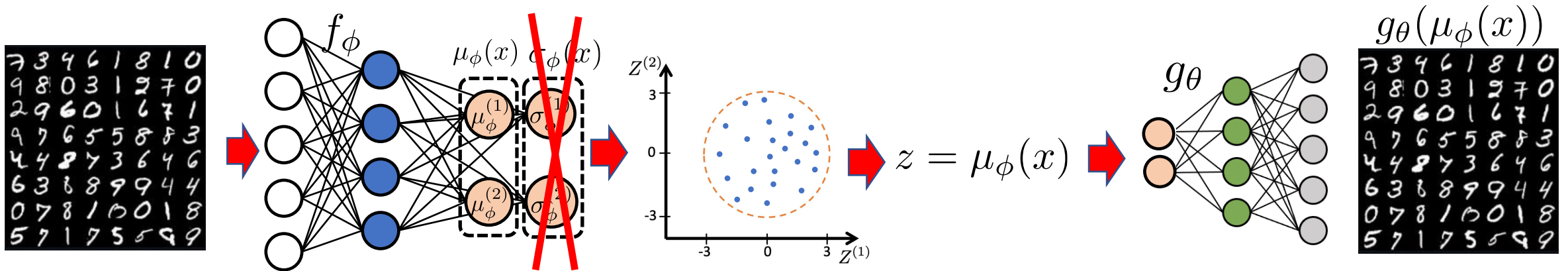


A: The predicted mean  $\mu_\phi(x)$  by the encoder. This is the value of  $z$  that is most likely to be the correct encoding of  $x$  according to encoder.

# Using VAEs for Compression and Reconstruction

After a VAE is trained, we may consider using it for compression and reconstruction.

To get the best possible reconstruction from a VAE, we simply decode  $z = \mu_\phi(x)$ .  
*We do not use the predicted standard deviation, nor sample.*



# Reconstruction with VAE vs basic AE

VAE's capacity is used to optimize **both reconstruction and regularization** losses.

These two losses have **competing** goals!

Therefore its reconstructions may not be as good as those from a basic AE of similar capacity.

It is not what VAE is made for!



From: Steven Flores, Variational Autoencoders for Image Generation

## In this video:

What we can use VAEs for:

- Generation of new data points
- Modifying data via interpolating between 2 inputs
- Modifying specific feature of an input

What VAE is not ideal for:

- Compression => Reconstruction

## Next weeks:

- Generative Adversarial Networks
- Probabilistic derivation of VAEs (non-assessed, optional, if time allows)

Thank you very much