Generative AI and LLMs in Search and Recommendation engines

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What is this deck about

In this presentation, we will explore the benefits of generative AI for search and recommender engines.

We'll start with an overview and then delve deeper into specific approaches that have proven valuable in the industry, such as FreshLLMs/FreshPrompts and LLMRank.

Additionally, we will discuss LLM evaluation methods that are particularly useful for search and recommender engines.

What is this deck about

Strategic Focus

This discussion extends beyond immediate business metrics such as conversion rates, transaction volumes, and cross-merchandising value—which, as evidenced by industry practices, are comparatively straightforward to enhance.

Instead, our focus is on cultivating long-term value. For customers, this means enabling better, more impactful decisions that save time and money, and enhance value post-purchase. For the company, it involves fostering sustained engagement with customers, ensuring a lasting relationship that extends well beyond initial transactions.

Current State of Al in Search

Generative AI has demonstrated tremendous value for search, as evidenced by recent launches from OpenAI, Google, and Microsoft. In this presentation, we will explore the fundamental technologies behind these advancements and discuss how generative AI can enhance the search and recommender engines within your company.

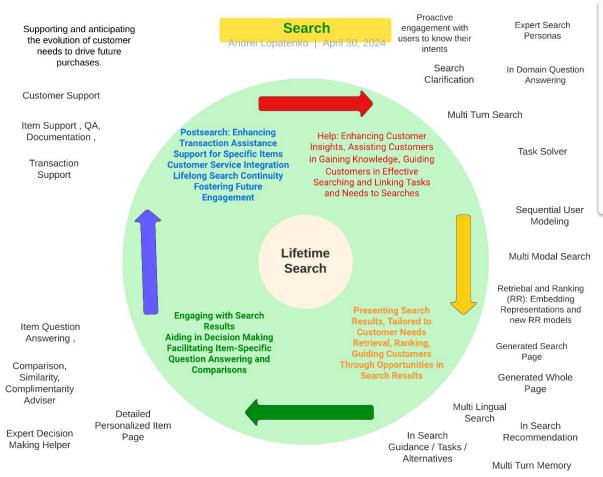
In this presentation, we will explore the potential contributions of Large Language Models (LLMs) and Generative AI to enhance value for customers looking to make purchases, bookings, or rentals. We'll focus on how these technologies can not only refine the search process but also fundamentally improve the decision-making experience for users.

About Andrei Lopatenko

- I bring over two decades of expertise in developing search and recommendation engines that have served billions of users at major corporations including Google, Apple (specifically Maps, App Store, iTunes), Walmart, eBay, and Zillow.
- Since 2008, I have been involved with language models, expanding my expertise to the BERT family in 2019 and more recently to large language models (LLMs).
- PhD in Computer Science (The University of Manchester, UK), 1600 citations to my publications, 29 patents
- And please, check my LLM Evaluation Compendium https://github.com/alopatenko/LLMEvaluation

About Srijan Kumar

- Co-founder and CEO of Lighthouz AI, a multiagent AI platform for Gen AI development and evaluations
- Assistant Professor at Georgia Tech working on AI, NLP, Graph Neural Networks, Recommender Systems
- 60+ publications, 5500+ citations
- 10+ years in AI/ML
- Previously worked at Stanford, Google, Univ. Maryland



Generative AI in Search

- We prioritize tasks to deliver substantial customer and business value and have been identified as important to address across various search engines.
- 2. We filter tasks that are now feasible to be solved using generative Al, or where generative Al can significantly enhance the solution
- 3.
- 4.

Text

Gen AI for Recommender Systems

How Can Recommender Systems Benefit from Large Language Models: A Survey

Conference acronym 'XX, June 03-05, 2018, Woodstock, NY

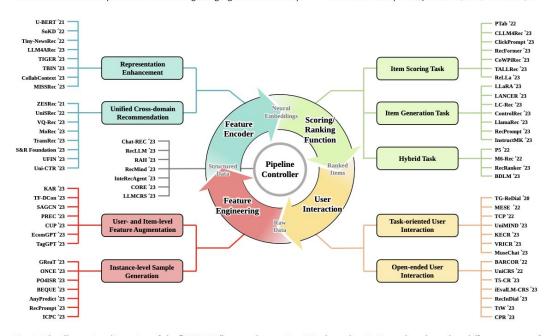


Fig. 3. The illustrative dissection of the "WHERE" research question. We show that LLM can be adapted to different stages of the recommender system pipeline as introduced in Section 2.1, *i.e.*, feature engineering, feature encoder, scoring/ranking function, user interaction, and pipeline controller. We provide finer-grained classification criteria for each stage, and list representative works denoted by different colors.

Fig 3 From

https://arxiv.org/abs/2306.05817

Search & LLMs

New paradigms

- Generating vs listing
- Conversational search (multi-turn)
- Search integrated into the whole customer journey
- Expert knowledge, question answering and decision making
- In-search task solving

Model improvements for better experience but within the existing paradigm (silent heroes)

- Better retrieval and ranking and query understanding
- Multi modality
- Deeper understanding of customers and items and queries
- Better navigation elements (facets, navigational panels)
- Evaluation

FreshLLMs / FreshPrompt

Google / OpenAl / Univ of Mass. Amherst Oct 2023

https://arxiv.org/abs/2310.03214

Perplexity Al launched the system inspired by FreshLLMs one month after

https://www.perplexity.ai/hub/blog/introducing-pplx-online-llms

Experiments were conducted in April 2023

Gemini, Perplexity.AI, You.com, Contextual.AI are inspired by this approach

FreshLLMs / FreshPrompt

There are multiple aspects of quality of answer for search (including search based on LLMs): Factuality, Authority, Helpfulness, Freshness etc

This approach is focused on Freshness

Different type of queries require different freshness of the answer: in FreshLLMs paper, the authors talk about never changing, slow changing, fast changing and false premise queries.

It's an over simplified approach, there are more types of temporal behavior in search, but this model helps to solve many freshness problems

Let's call it Freshness V0

FreshLLMs / FreshPrompt

From

FreshLLMs

Туре	Question	Answer (as of this writing)			
never-changing	Has Virginia Woolf's novel about the Ramsay family entered the public domain in the United States?	Yes , Virginia Woolf's 1927 novel To the Lighthouse entered the public domain in 2023.			
never-changing	What breed of dog was Queen Elizabeth II of England famous for keeping?	Pembroke Welsh Corgi dogs.			
slow-changing	How many vehicle models does Tesla offer?	Tesla offers five vehicle models: Model S, Model X, Model 3, Model Y, and the Tesla Semi.			
slow-changing	Which team holds the record for largest deficit overcome to win an NFL game?	The record for the largest NFL comeback is held by the Minnesota Vikings .			
fast-changing	Which game won the Spiel des Jahres award most recently?	Dorfromantik won the 2023 Spiel des Jahres.			
fast-changing	What is Brad Pitt's most recent movie as an actor	Brad Pitt recently starred in Babylon , directed by Damien Chazelle.			
false-premise	What was the text of Donald Trump's first tweet in 2022, made after his unbanning from Twitter by Elon Musk?	He did not tweet in 2022.			
false-premise	In which round did Novak Djokovic lose at the 2022 Australian Open?	He was not allowed to play at the tournament due to his vaccination status.			

Figure 1: FreshQA exemplars. Our questions are broadly divided into *four* main categories based on the nature of the answer: *never-changing*, in which the answer almost never changes; *slow-changing*, in which the answer typically changes over the course of several years; *fast-changing*, in which the answer typically changes within a year or less; and *false-premise*, which includes questions whose premises are factually incorrect and thus have to be rebutted.

FreshLLMs/ Plain LLM Question Answering

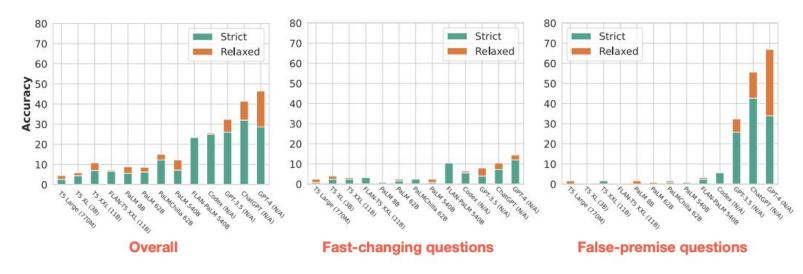


Figure 2: Accuracy of different LLMs on FRESHQA under RELAXED and STRICT (no hallucination) evaluations. Models benchmarked on the same date of April 26, 2023. All models (regardless of model size) struggle on questions that involve fast-changing knowledge and false premises.

FreshPrompt

Algorithm: (high level)

1 use search engine to retrieve relevant information

2 use LLM to extract the answer

1 in their case, retrieve all information boxes from Google, get all results as (source, date, title, snippet, highlighted words)

At this stage, there are several aggregation subroutines such as sort the responses from the oldest to newest (by date) to help the model to get more fresh results

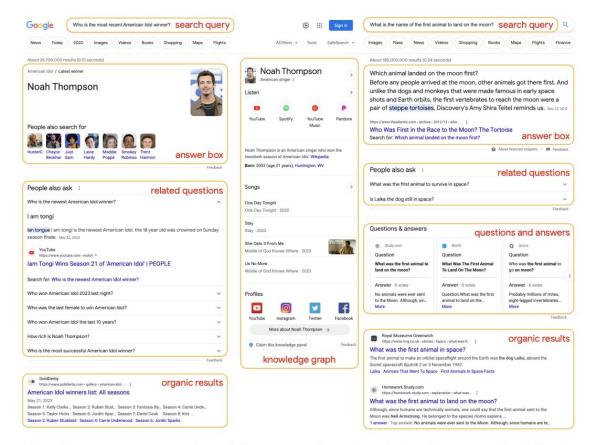


Figure 9: GOOGLE SEARCH produces different types of search results for given a query, including the answer box, organic results, and other useful information, such as the knowledge graph, questions and answers from crowdsourced QA platforms, and related questions that search users also ask. Each of these results contains an associated text snippet along with other information, such as source webpage, date, title, and highlighted words.

```
{other demonstrations} # omitted for brevity
query: When did Amazon become the first publicly traded company to exceed a market value of $3 trillion?
source: cnbc.com
date: Jan 03, 2022
title: Apple becomes first U.S. company to reach $3 trillion ...
snippet: Apple became the first publicly traded U.S. company to hit a $1 trillion market cap during intraday trading
on Aug. 2, 2018. It hit a $2 ...
highlight: Aug. 2, 2018
source: bloomberg.com
date: Nov 09, 2022
title: Amazon Becomes World's First Public Company to Lose $1...
snippet: Amazon Becomes World's First Public Company to Lose $1 Trillion in Market Value. Amazon market cap
shrinks to $879 billion from $1,88 trillion.
highlight: Amazon Becomes | First Public Company | Trillion | Market Value | Amazon market cap | billion | trillion
source: barrons.com
date: Nov 10, 2022
title: When did Amazon market cap hit $1 trillion?
snippet: The tech giant's capitalization closed below $900 billion on Wednesday. Amazon's market capitalization
topped out at roughly $1.9 trillion back in July 2021.
highlight: None
question: When did Amazon become the first publicly traded company to exceed a market value of $3 trillion?
answer: As of today {current date}, the most up-to-date and relevant information regarding this guery is as
follows. Amazon's market capitalization hit a peak of roughly $1.9 trillion in July 2021. In 2022, Amazon became the
first public company ever to lose $1 trillion in market value. Thus, Amazon's market value has never exceeded $3
trillion. In fact, Apple became the first publicly traded U.S. company to exceed a market value of $3 trillion in
January 2022.
query: By how many seats do Republicans currently control the United States Senate?
 {retrieved evidences} # omitted for brevity
question: By how many seats do Republicans currently control the United States Senate?
 [Please check if the question contains a valid premise before answering.]
 answer:
```

Model (size)	knowl.	all	valid premise								false premise	
1170001 (5120)	cutoff		all	fast	slow	never	< 2022	≥ 2022	1-hop	m-hop	all	< 2022
comparison against baselines									5			
GOOGLE SEARCH (N/A)	UTD	39.6	48.9	32.0	46.4	68.3	67.4	37.9	55.6	32.4	11.3	9.7
GPT-3.5 (N/A)	2021	26.0	26.1	4.0	15.2	58.7	61.0	5.1	28.0	21.3	25.8	34.4
GPT-3.5 + SELF-ASK (N/A)	UTD	41.6	51.1	36.8	43.2	73.0	73.8	37.4	52.2	48.1	12.9	17.2
GPT-3.5 + FRESHPROMPT	UTD	56.0	62.5	46.4	60.8	80.2	71.6	57.0	68.7	47.2	36.3	43.0
PPLX.AI (N/A)	UTD	52.2	57.2	38.4	53.6	79.4	73.0	47.7	63.8	40.7	37.1	38.7
GPT-4 (N/A)	2021+	28.6	26.9	12.0	4.0	64.3	58.2	8.1	27.2	25.9	33.9	41.9
GPT-4 + SELF-ASK (N/A)	UTD	47.8	47.1	39.2	46.4	55.6	51.8	44.3	43.7	55.6	50.0	61.3
GPT-4 + FRESHPROMPT	UTD	75.6	77.1	59.2	77.6	94.4	88.7	70.2	81.3	66.7	71.0	77.4
sensitivity and ablation studies								1000001120			*******	
GPT-3.5 (N/A)	2021	26.0	26.1	4.0	15.2	58.7	61.0	5.1	28.0	21.3	25.8	34.4
GPT-3.5 + FRESHPROMPT	UTD	56.0	62.5	46.4	60.8	80.2	71.6	57.0	68.7	47.2	36.3	43.0
w/ PREMISE CHECK	UTD	35.2	27.1	14.4	28.0	38.9	36.2	21.7	31.0	17.6	59.7	67.7
GPT-4 (N/A)	2021^{+}	28.6	26.9	12.0	4.0	64.3	58.2	8.1	27.2	25.9	33.9	41.9
GPT-4 w/ snippets only & search order	UTD	74.0	75.5	56.8	75.2	94.4	87.9	68.1	79.9	64.8	69.4	77.4
GPT-4 w/ SNIPPETS ONLY & TIME ORDER	UTD	74.8	75.5	58.4	74.4	93.7	87.9	68.1	79.9	64.8	72.6	82.8
GPT-4 w/ snippets only & random order	UTD	72.4	73.7	56.8	69.6	94.4	87.9	65.1	78.4	62.0	68.5	76.3
GPT-4 + FRESHPROMPT	UTD	75.6	77.1	59.2	77.6	94.4	88.7	70.2	81.3	66.7	71.0	77.4
w/ PREMISE CHECK	UTD	75.0	74.2	56.8	76.0	89.7	85.1	67.7	79.5	61.1	77.4	79.6
w/o ANSWER BOX	UTD	74.2	74.7	57.6	74.4	92.1	88.7	66.4	79.1	63.9	72.6	78.5
w/o answer box & relevant info	UTD	72.4	72.9	54.4	71.2	92.9	87.2	64.3	78.0	60.2	71.0	78.5
w/ 1 EVIDENCE	UTD	61.4	60.9	40.0	55.2	87.3	79.4	49.8	66.8	46.3	62.9	75.3
w/ 5 EVIDENCES	UTD	70.6	72.1	56.0	69.6	90.5	81.6	66.4	78.0	57.4	66.1	73.1
w/ 15 evidences	UTD	77.6	78.5	60.8	78.4	96.0	88.7	72.3	81.7	70.4	75.0	80.6
w/ 15 demonstrations	UTD	74.6	75.5	56.8	76.0	93.7	87.9	68.1	79.9	64.8	71.8	76.3
w/ LONG DEMONSTRATION ANSWERS	UTD	73.0	72.6	55.2	71.2	91.3	83.7	66.0	77.6	60.2	74.2	81.7

LLMs and Recommender systems

Can LLMs be used for Recommender engines?

Retrieval, ranking, user understanding (sequential), item understanding (using text and images), explanations of recommendations

Can LLMs leveraging large amount of information from the pre training serve as ranking models

LLMs and Recommender systems

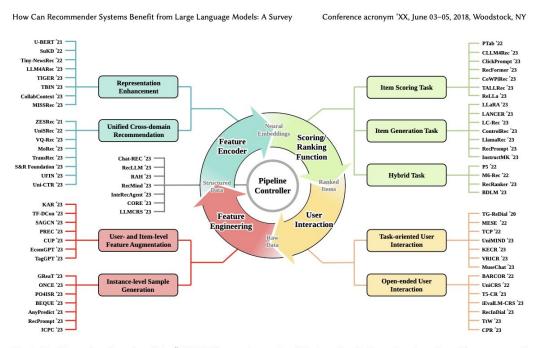


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LLM as a zero-shot ranker

We assume that we have a set of candidate items to be recommended, the retrieval is a separate system

The recommendations are conditions on user history, previous interactions with items

There are significantly better rankers, the target here is to make efficient zero-shot ranker. Also, there are other useful features of this framework that will be discussed below

Large Language Models are Zero-Shot Rankers for Recommender Systems

3

Retrieving candidates & Ranking w/ LLMs User Triggering LLMs to perceive order Bootstrapping to reduce position bias (e.g. ChatGPT) 1234 Parsing outputs Sequential prompting Candidate generation 1 3 2 1 Retrieve Recency-focused prompting ① ② ③ ④ Interaction histories Bootstrap In-context learning (ICL) 1234 2 1 3 1 2 3 0234 Pattern w/ sequential historical interactions H Pattern w/ retrieved candidate items C Instruction template T

Fig. 1: An overview of the proposed LLM-based zero-shot ranking method.

Several key features

- 1 Sequential prompting: encode user history of engagement with items into a sequential prompt that list recent items
- 2 Recently focused prompting: emphasize the most recent interactions so LLM can understand what items are the most recent (LLM does not do well with the order in the sequential prompting)
- 3 In-Context Learning: using previous interactions, create examples to be learned from aas a Context

The retrieval service returns small number of items (up to 20)

Retrieved items are encoded sequentially in the prompt for ranking

Encode the ranking task in the ranking template

Table 2: Performance comparison on randomly retrieved candidates. Ground-truth items are included in the candidate sets. "full" denotes models that are trained on the target dataset, and "zero-shot" denotes models that are not trained on the target dataset but could be pre-trained. We highlight the best performance among zero-shot recommendation methods in **bold**.

	M-41-1		ML	-1M		Games				
	Method	N@1	N@5	N@10	N@20	N@1	N@5	N@10	N@20	
full	Pop	22.91	45.16	52.33	55.36	28.35	47.42	52.96	57.45	
	BPRMF [49]	34.60	59.87	64.29	65.39	44.92	62.33	66.27	68.94	
	SASRec [33]	61.39	76.39	78.89	79.79	56.90	73.19	75.92	77.14	
zero-shot	BM25 [50]	4.70	12.68	17.88	33.19	13.92	28.81	34.61	44.35	
	UniSRec [30]	7.37	18.80	26.67	37.93	18.95	33.99	40.71	48.42	
	VQ-Rec [29]	5.98	15.48	23.74	35.85	7.28	18.28	26.21	37.62	
	Sequential	18.28	36.35	42.85	49.02	30.28	45.48	50.57	56.55	
	Recency-Focused	19.57	37.73	44.23	50.01	34.03	48.77	53.50	59.01	
	In-Context Learning	21.77	39.59	45.83	51.62	33.95	48.44	53.10	58.92	

Low sensitivity to the order in the history (negative feature): mitigation emphasize the most recent items by prompting

Sensitivity to the order of the candidates (negative feature): mitigation: bootstrapping

Too long history effects recommendation quality negatively (negative feature): mitigation: cut the history to the most recent

LLM Rank Possible Extensions:

Recommendation of categories

Conditional recommendations (by attributes, users preferences)

Explanations of recommendations

LLM Evaluation

Search and Recommender Engines

for

LLM Evaluation for Search and Recommender engine

There are many types of evaluation needed. We will focus on few of them, that from our experience are the most frequently needed

Hallucinations

RAGAs

LLM Embeddings

Hallucination Evaluation

HaluEval https://aclanthology.org/2023.emnlp-main.397.pdf

3 tasks: question answering, knowledge grounded dialog, summarization

Responses from the LLM labeled by human annotators

The set is focused on understanding what hallucinations can be produced by LLM and the LLM is asked to produce to wrong answer through hallucination patterns (one pass instruction and conversation schema)

- four types of hallucination patterns for question answering (i.e., comprehension, factualness, specificity, and inference)
- three types of hallucination patterns for knowledge grounded dialogue (i.e., extrinsic-soft, extrinsic-hard, and extrinsic-grouped)
- three types of hallucination patterns for text summarization (i.e., factual, non-factual, and intrinsic)

Focus: Understanding Hallucination patterns and understanding if LLM can detect hallucinations

Hallucination Evaluation

Search-Augmented Factuality Evaluator (SAFE). An evaluation framework from Google DeepMind and Stanford

Available in open source

- Send a request, get an answer
- Break answer into atomic facts
- Check each fact with the verifier (in their case Google Search, and it can be your system)

https://arxiv.org/pdf/2403.18802.pdf

Hallucination Evaluation

Hallucination Leaderboard https://arxiv.org/abs/2404.05904

Variety of tasks: close-book open-domain qa, summarization, reading comprehension, instruction following, fact checking, hallucination identification

Evaluation of RAG as example of LLM App evaluation

RAG architectures will be one of the most frequent industrial pattern of LLM usage

- Correctness
- Comprehensiveness
- Readability
- Novelty/Actuality
- Quality of information
- Factual answering correctness
- Depth
- Other metrics

Similar to a traditional search engine evaluation as we evaluate a requested information but there is a substantial difference as we evaluate generate response rather than external documents

Traditional IR architecture: retrieval -> ranker, RAG architecture : retrieval -> generator, different type of evaluation

Evaluation of RAG

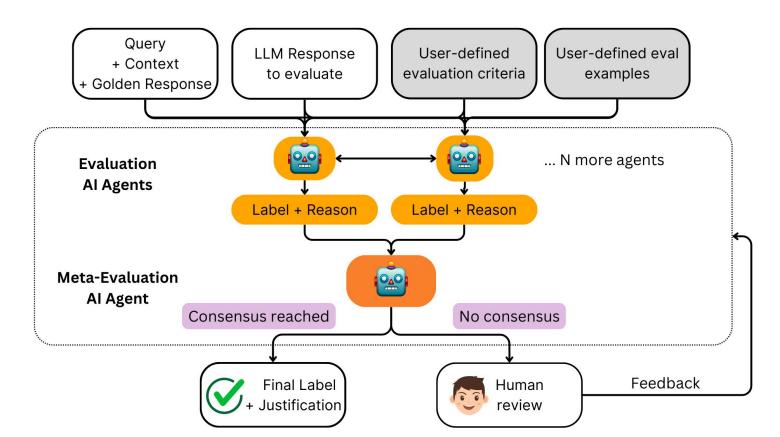
A problem, comparison of generated text (answer) with the reference answer. Semantic similarity problem

Old lexical metrics (BLEU, ROUGE) are easy to compute but give little usable answers

BERTScore, BARTScore, BLEURT and other text similarity functions

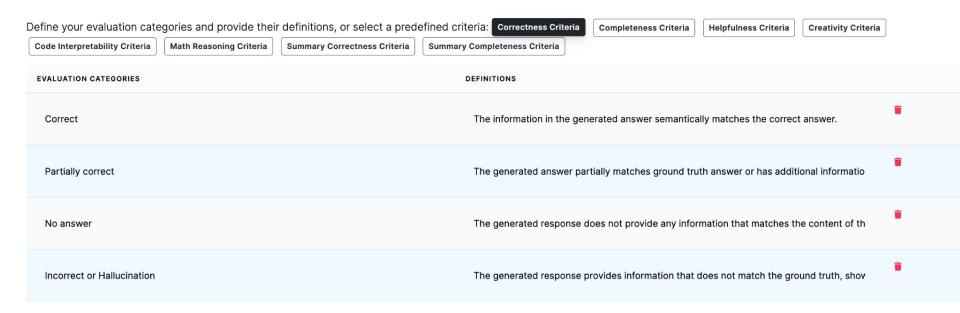
Calls to external LLMs

Lighthouz evaluations - via multiagent evaluators



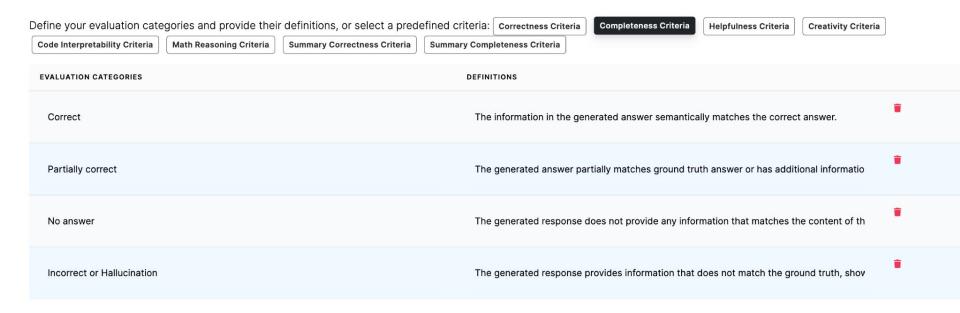
Eval criteria: hallucinations / correct responses

Set Up Evaluation Criteria



Eval criteria: completeness

Set Up Evaluation Criteria



Other evaluation criteria:

- Helpfulness
- Creativity
- Summary correctness
- Summary completeness
- Code interpretability
- Math reasoning

You can build any criteria you like!

Agents at work for evaluations

Quick demo

RAG Evaluation 3 typical metrics

Context Relevance

Answer Relevance

Groundedness (precision)

(common across multiple frameworks RAGAs, ARES, RAG Triad of metrics)

but

Evaluation of RAG - RAGAs

Zero Shot LLM Evaluation

4 metrics:

- Faithfulness,
- Answer relevancy
- Context precision
- Context recall

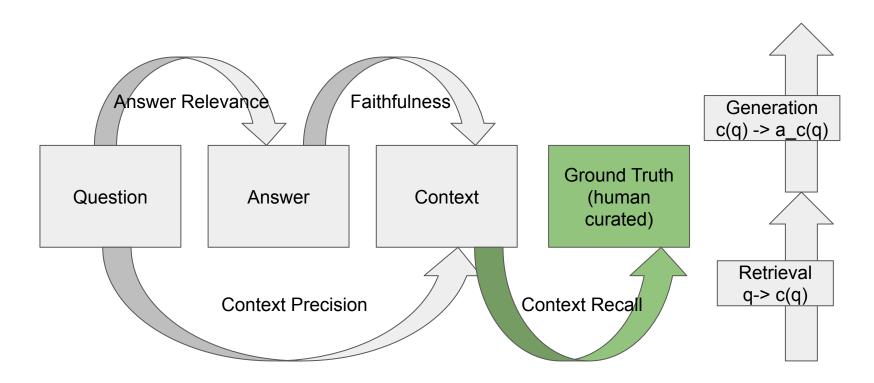
Important to enhance to what represents your RAG intents for your customers

https://arxiv.org/abs/2309.15217

https://docs.ragas.io/en/stable/

RAGAs framework integrated with llamaindex and LangChain

RAGAs



Evaluation of RAG - RAGAs

Faithfulness consistency of the answer with the context (but no query!)
Two LLM calls, get context that was used to derive to answer, check if the statement supported by the context

Context Relevance how is the retrieved context "focused" on the answer, the amount of relevant info vs noise info, uses LLM to compute relevance of sentences / total number of retrieved sentences

Answer Relevancy is the answer relevant to the query , LLM call, get queries that may generate answer, verify if they are similar to the original query

Context Recall (ext, optional) if all relevant sentences are retrieved, assuming existence of ground_truth answer

RAGAs

Faithfulness: LLM prompt to decompose answer and context into statements
F = supported statements / total statements

Context Relevance: LLM Prompt to decompose contexts into sentences and evaluate if the sentences are relevant to the question CR = sentences in the context / relevant sentences

Answer Relevance: LLM prompt to generate questions for the answer. For each question generate embedding. Compute the average semantic similarity score between original query and all generated queries

AR = 1/n \sum sim(q, q_i)

Context Recall:

CR = GT sentences attributed to recall / GT sentences

Evaluation of RAGs - RAGAs

Prompts should be well tuned, hard to move to another context, or LLM, requires a lot of work on tuning of prompts

Each metrics: faithfulness, answer relevancy, context relevance, context recall can be dependent on your domain/business. It requires tuning to measure that your business depends upon

Available in open source : https://docs.ragas.io/en/stable/ integrated with key RAG frameworks

More metrics in new version (Aspect Critique, Answer Correctness etc.)

LLM Embedding evaluation

MTEB (Massive Text Embedding Benchmark) is a good example of the embedding evaluation benchmark

https://arxiv.org/pdf/2210.07316.pdf

https://huggingface.co/spaces/mteb/leaderboard

It's relatively comprehensive - 8 core embedding tasks: Bitext mining, classification, clustering, pair classification, reranking, retrieval, semantic text similarity (sts), summarization and open source

LLM Embedding Evaluation

MTEB evaluation - Learning: no clear winner model across all tasks, the same most probably will be in your case, you II find different model-winners for different tasks and may need to make your system multi-model

MTEB: Easy to plugin new models through a very simple API (mandatory requirement for model development)

MTEB: Easy to plugging new data set for existing tasks (mandatory requirement for model development, your items are very different from public dataset items)

LLM Embedding Evaluation

There are standard 'traditional IR' methods to evaluate embeddings such as recall @ k, precision @ k, ndcg @ k that are easy to implement on your own and create dataset representing your data

They are even supported by ML tools such as MLFlow LLM Evaluate

Important learning: find metrics that truly matches customer expectations (for ex NDCG is very popular but it's old and it was built in different setting, one most probably needs to turn it to their system, to represent the way users interact with their system)

LLM Embedding Evaluation

Another critical part of LLM evaluation for embedding evaluation is software performance/operations evaluation of the model

Cost, latency, throughput

In many cases, embeddings must be generate for every item on every update (ex: 100M+ updates per day), or for every query (1000000+ qps with latency limits such as 50ms)

The number of model calls and the latency/throughput requirements are different for embedding tasks rather than other LLM tasks. Most embedding tasks are high load tasks

LLM Embedding evaluation

All traditional search evaluation data set requirement are still valid

Your evaluation set must represent users queries or documents (what you measure) with similar distribution (proper sampling for documents, query logs), represent different topics, popular, tail queries, languages, types of queries (with proper metrics)

Queries and document are change over time, the evaluation set must reflect these changes

Take into account raters disagreement (typically high in retrieval and ranking and use techniques to diminish subjectivity (pairwise comparison, control of the number of raters etc))

LLM Embeddings

In most cases, core tasks are serving several tasks facing customers/business. For example, text similarity might be a part of discovery/recommendation engine (text similarity of items as a one of features for the similarity of items) or ranking (query similarity as if historical performance one of query is applicable as click signals for another query).

Evaluation is not only text similarity LLM output, but the whole end-to-end rank, recommendation etc output