

Acronym: 1 on 1

Stands For: One on One

Definition: One on one meeting between a manager and their employee

Category: Human Resources

Acronym: 40 Act

Stands For: Investment Company Act of 1940

Definition: Regulation that established the modern mutual fund, hedge fund, and exchange traded fund (ETF)

Category: Regulatory & Legal

Acronym: A CLEARING HOUSE

Stands For: Key Term

Definition: A clearing house approaches the problem of handling high trade volumes by acting as a settlement

Category: Industry

Acronym: A.I.B.D.

Stands For: The Association of International Bond Dealers.

Definition: The Association of International Bond Dealers.

Category: Industry

Acronym: A/M/Cs

Stands For: Adds/Moves/Changes

Definition: Adds, Moves and/or Changes within IT Change Management

Category: Technology

Acronym: A/P

Stands For: Acronym needed

Definition: Imaging MIS

Category: Technology

Acronym: AAIT

Stands For: Advanced Auctions Information Technology

Definition: A service unit under Business Technology Services.

Category: Technology

Acronym: AANA

Stands For: Average Aggregate Notional Amount

Definition: Measurement of the Average Aggregate Notional Amount

Category: Finance

Acronym: AAR

Stands For: Automatic Alternate Routing

Definition: Automatic Alternate Routing for PBX.

Category: Technology

Acronym: AAS

Stands For: Application Acceptance Services

Definition: AAS' primary objective is to provide a bridge for system development access to IPS resources. AAS is a service that provides a bridge for system development access to IPS resources. AAS is a service that provides a bridge for system development access to IPS resources.

Category: Technology

Acronym: AB

Stands For: Asset Backed

Definition: Asset backed security holding

Category: Finance

Acronym: ABA

Stands For: American Bankers Association

Definition: Specifies banking industry standards for check handling

Category: Institution or Association

Acronym: ABA Number

Stands For: American Bankers Association Number

Definition: A numbering system devised by the ABA to provide unique identification of banks. The code structure is as follows:

Category: Industry

Acronym: ABC

Stands For: Activity Based Costing

Definition: An accounting method that identifies the activities that a firm performs and then assigns indirect

Category: Finance

Acronym: ABCP

Stands For: Asset-backed Commercial Paper

Definition: Asset-backed Commercial Paper, Investment Product

Category: Finance

Acronym: Abend

Stands For: Key Term

Definition: A condition that occurs when a program experiences a logic error, a system failure or is prevented

Category: Industry

Acronym: ABI

Stands For: Association of British Insurers

Definition: Extended definition needed

Category: Institution or Association

Acronym: ABMI

Stands For: Asian Bond Markets Initiative

Definition: Created to develop efficient and liquid bond markets in Asia, enabling better utilization of Asian s

Category: Regulatory & Legal

Acronym: ABO

Stands For: Agent Bank Operations

Definition: Extended definition needed

Category: Industry

Acronym: ABOR

Stands For: Accounting Book of Records

Definition: Record of transactions maintained for accounting purposes

Category: Accounting

Acronym: ABR

Stands For: Application Backup and Restore

Definition: Innovation Data Processing backup recovery software for MVS main.

Category: Technology

Acronym: ABS

Stands For: Asset Backed Securities

Definition: Securities backed by notes or receivables against an underlying pool of assets such as loans, le

Category: Finance

Acronym: ACA

Stands For: Automated Credit Approval

Definition: Automated Credit Approval for wire activity execution, GFAS Portfolio Accounting.

Category: Accounting

Acronym: ACBS

Stands For: Advanced Commercial Banking System

Definition: Advanced Commercial Banking System developed by AIR, commercial loans, AKA CLASS.

Category: Technology

Acronym: ACCC

Stands For: Capital Stock Cash Transactions

Definition: MCH Code For FX Function Capital Stock Cash Transactions

Category: Accounting

Acronym: ACCE

Stands For: Capital Stock Estimate Transactions

Definition: MCH Code For FX Function Capital Stock Estimate Transactions

Category: Accounting

Acronym: Acceleration Factor

Stands For: Key Term

Definition: For sinking funds, the factor used to calculate the maximum amount of the issue that the issuer

Category: Industry

Acronym: Access Protection

Stands For: Key Term

Definition: An in-house written Horizon security application that protects resources by access group, fund, a

Category: Technology

Acronym: ACCO

Stands For: Account/Accounting

Definition: Account/Accounting

Category: Corporate

Acronym: ACCORD

Stands For: Key Term

Definition: S.W.I.F.T.'s matching and netting service. It provides financial institutions with a complete foreign

Category: Industry

Acronym: Account Number field

Stands For: Key Term

Definition: A MICR encoded field on a document that represents the number of the account on which a doc

Category: Technology

Acronym: Account Recon(cilement)

Stands For: Key Term

Definition: See Check Reconcilement

Category: Technology

Acronym: Accounts Payable

Stands For: Key Term

Definition: Money owed to suppliers for purchases made on credit.

Category: Industry

Acronym: Accounts Receivable

Stands For: Key Term

Definition: Money owed by customers for goods or services purchased on credit.

Category: Industry

Acronym: ACCRADJ

Stands For: As of Accrual Adjustment

Definition: MCH Post Type For As of Accrual Adjustment

Category: Accounting

Acronym: Accretion

Stands For: Key Term

Definition: Systematic increase in the book value of securities purchased at a discount to par value, over th

Category: Industry

Acronym: Accrual Basis

Stands For: Key Term

Definition: Identifies the day count logic to be used for accruing interest on fixed income securities. US Gov

Category: Industry

Acronym: Accrued Interest

Stands For: Key Term

Definition: Interest on an investment (usually a bond) which has earned to the current date but not yet paid

Category: Industry

Acronym: ACCS

Stands For: Capital Stock Share Transactions

Definition: MCH Code For FX Function Capital Stock Share Transactions

Category: Accounting

Acronym: Accumulators

Stands For: Key Term

Definition: Investors who try to acquire as much of an issue as possible. Accumulators may control the sup

Category: Industry

Acronym: ACDs

Stands For: Automatic Call Distributors

Definition: Hardware/ software to equally distribute calls among two or more phones.

Category: Technology

Acronym: ACF2

Stands For: Acronym needed

Definition: Computer Associates Access Control software used only on the VM Operating System.

Category: Technology

Acronym: ACH

Stands For: Automated Clearing House

Definition: Electronic payment and collection network

Category: Industry

Acronym: ACK

Stands For: Acknowledgement

Definition: Positive Acknowledgment

Category: Corporate

Acronym: ACK / NAK

Stands For:

Definition: Positive or negative acknowledgment of receipt of transaction or transmission.

Category:

Acronym: ACL

Stands For: Audit Control Language

Definition: Data collection, analysis, and reporting software.

Category: Technology

Acronym: ACLAIM

Stands For: Acronym needed

Definition: ACLAIM is a product defined within the Hogan system that enables clients to maximize their inv

Category: Technology

Acronym: ACLS

Stands For: Automated Cash Letter System

Definition: This is an obsolete system that was executed when SSC used the CPCS check processing system

Category: Industry

Acronym: Acquisition Yield

Stands For: Key Term

Definition: The percentage return that a debt instrument would provide based on purchase price if held to m

Category: Industry

Acronym: Acquisitions

Stands For: Key Term

Definition: Purchase of another company with cash or stock.

Category: Industry

Acronym: ACSA

Stands For: Australian Custodial Services Association

Definition: Body representing the custodial and investment administration industries in Australia.

Category: Institution or Association

Acronym: ACT

Stands For: Association of Corporate Treasurers

Definition: The only British professional body specialising in the profession of corporate treasury.

Category: Institution or Association

Acronym: Active Management

Stands For: Key Term

Definition: An investment management style where the portfolio manager performs significant analysis and

Category: Industry

Acronym: Activity Ratio

Stands For: Key Term

Definition: A ratio which measures how effectively a corporation is using its assets. Also referred to as a turn

Category: Industry

Acronym: Actual / 360

Stands For: Key Term

Definition: The actual/360 day-count method is used mainly for money-market securities: medium-term CD

Category: Industry

Acronym: Actual / 365

Stands For: Key Term

Definition: The actual/365 day-count method is used primarily to calculate Treasury bond equivalent yields

Category: Industry

Acronym: Actual / Actual

Stands For: Key Term

Definition: The actual/actual day-count method is used primarily to calculate U.S. government notes and bonds.

Category: Industry

Acronym: Actual Delay

Stands For: Key Term

Definition: For mortgage pools and CMOs, the number of days from the date on which the investor would e

Category: Industry

Acronym: Actual Settlement Date

Stands For: Key Term

Definition: The date which the cash on an executed trade is given to the seller. This is often the same as co

Category: Industry

Acronym: ACU (Asian Currency Unit)

Stands For: Key Term

Definition: A department within some foreign banks with branches in Singapore, which is permitted to enga

Category: Industry

Acronym: ADAPT

Stands For: Acronym needed

Definition: Corporate Trust

Category: Technology

Acronym: ADB

Stands For: Asian Development Bank

Definition: Based in Manila, a multilateral finance institution promoting economic and social progress in AP.

Category: Institution or Association

Acronym: ADJI

Stands For: Interest Adjustment

Definition: MCH Code For Accrual Function Interest Adjustment

Category: Accounting

Acronym: Adjustable Rate Mortgage

Stands For: Key Term

Definition: Abbreviated "ARM." A type of mortgage in which the interest rate floats against an index such as

Category: Industry

Acronym: Adjustable Rate Mortgage Fund

Stands For: Key Term

Definition: A fund that invests in adjustable rate mortgage (ARM) securities issued guaranteed by the U.S.

Category: Industry

Acronym: Adjustable Rate Mortgage Pool

Stands For: Key Term

Definition: A pool composed of adjustable-rate mortgages.

Category: Industry

Acronym: Administrator/Sponsor

Stands For: Key Term

Definition: The legal entity that establishes and maintains a plan or a fund. [Source: Barron's]

Category: Industry

Acronym: ADO

Stands For: Alpha Data Ops

Definition:

Category:

Acronym: ADP

Stands For:

Definition: Alpha Data Platform

Category:

Acronym: ADR

Stands For: Alternative Dispute Resolution

Definition: Extended definition needed

Category: Corporate

Acronym: ADSM

Stands For: ADSTAR Distributed Storage Management

Definition: ADSTAR Distributed Storage Management; back-up facility; latest in the evolution of IOC backu

Category: Technology

Acronym: ADSO

Stands For: Area Data Security Officer

Definition: Area Data Security Officer - GTS Role

Category: Technology

Acronym: ADT

Stands For: Average Daily Turnover

Definition: Measurement of Average Daily Turnover

Category: Finance

Acronym: Advanced Auctions IT

Stands For: Key Term

Definition: A service unit under Business Technology Services.

Category: Technology

Acronym: Advertising Expense

Stands For: Key Term

Definition: Money spent on advertising.

Category: Industry

Acronym: Advisor

Stands For: Key Term

Definition: The individual or organization employed by a mutual fund or other investors to direct the investm

Category: Industry

Acronym: AEXR

Stands For: Add Exchange Rate

Definition: MCH Code For Exchange Rate Function Add Exchange Rate

Category: Accounting

Acronym: AF

Stands For: Alpha Frontier

Definition: Extended definition needed

Category: Corporate

Acronym: AFB

Stands For: Association of Foreign Banks

Definition: A trade body which represents the interests of the foreign banking sector in the UK to industry s

Category: Institution or Association

Acronym: AFCL

Stands For: FX Activity Adjustment

Definition: MCH Code For FX Function FX Activity Adjustment

Category: Accounting

Acronym: Affirmation

Stands For: Key Term

Definition: Response to a broker's confirmation, acknowledging that the records of the affirming party (investor)

Category: Industry

Acronym: AFME

Stands For: Association for Financial Markets in Europe

Definition: Association defining itself as the voice of Europe's wholesale financial markets

Category: Institution or Association

Acronym: AFN

Stands For: Afghanistan/Afghani

Definition: Currency - Afghanistan Afghani

Category: Currency

Acronym: AFT

Stands For: Agent Fund Trading

Definition: Extended definition needed

Category: Corporate

Acronym: After Tax Income

Stands For: Key Term

Definition: An analysis which focuses on what is left after income tax is paid.

Category: Industry

Acronym: Agencies

Stands For: Key Term

Definition: Debt securities issued by federal government agencies or federally sponsored, but privately owned

Category: Industry

Acronym: Agency Deposits (Fiduciary Deposits)

Stands For: Key Term

Definition: See NOMINEE DEPOSITS

Category: Industry

Acronym: Aggregated Prepayments for Pools

Stands For: Key Term

Definition: A set of prepayment data calculated regularly from mortgage pool prepayment histories. The pre

Category: Industry

Acronym: Aggressive Growth Fund

Stands For: Key Term

Definition: A mutual fund whose objective is maximum capital appreciation through investment techniques

Category: Industry

Acronym: Aging

Stands For: Key Term

Definition: A schedule of monthly receivables shown by maturity and classified as current or delinquent.

Category: Industry

Acronym: AGO

Stands For: Advocate General's Opinion

Definition: Extended definition needed

Category: Corporate

Acronym: AICPA

Stands For: American Institute of Certified Public Accountants

Definition: The national professional organization of Certified Public Accountants (CPAs) in the United States

Category: Institution or Association

Acronym: AIF

Stands For: Alternative Investment Fund

Definition: Extended definition needed

Category: Corporate

Acronym: AIFM

Stands For: Alternative Investment Fund Manager

Definition: Manager for an Alternative Investment Fund

Category: Corporate

Acronym: AIFMD

Stands For: Alternative Investment Fund Managers Directive

Definition: EU legislation harmonizing the oversight of alternative investment managers.

Category: Regulatory & Legal

Acronym: All

Stands For: Alternative Instrument Identifier

Definition: Extended definition needed

Category: Corporate

Acronym: AIMR

Stands For: Key Term

Definition: Association of Investment Management and Research. An industry group active in proposing pe

Category: Industry

Acronym: A-IOI

Stands For: Actionable Indication of Interest

Definition: Extended definition needed

Category: Corporate

Acronym: AIP

Stands For: Automatic Investment Program

Definition: The predecessor to LMCS. The old AIP application was used as the foundation for the current C

Category: Technology

Acronym: AIR

Stands For: Advanced Information Resources, Inc

Definition: Software vendor and consulting for ACBS.

Category: Technology

Acronym: AIS

Stands For: Accounting Information Services

Definition: A service unit under Business Technology Services.

Category: Technology

Acronym: AIST

Stands For: Australian Institute of Superannuation Trustees

Definition: Organization that promotes the interests of Australia's non-profit superannuation sector and incl

Category: Institution or Association

Acronym: ALCCO

Stands For: Asset Liability and Capital Committee

Definition: Extended definition needed

Category: Corporate

Acronym: Alert

Stands For: Key Term

Definition: Event triggered by an out of tolerance condition. This event is identified with a bulb icon. The col

Category: Industry

Acronym: Alert Dialogue box

Stands For: Key Term

Definition: The notification of an out of tolerance condition containing alert details. This is presented to the

Category: Industry

Acronym: Alert Escalation

Stands For: Key Term

Definition: Process of elevating an alert to the applicable workflow participant resulting from an alert not be

Category: Industry

Acronym: Alert Log

Stands For: Key Term

Definition: Current day list of alerts and resolution messages for a particular fund. To open an alert log, right

Category: Industry

Acronym: Alert Message

Stands For: Key Term

Definition: Message describing the out of tolerance condition that caused the alert to be fired. The alert me

Category: Industry

Acronym: ALL

Stands For: Albania Lek

Definition: Currency - Albania Lek

Category: Currency

Acronym: All-Weather Fund

Stands For: Key Term

Definition: A fund that long-term investors can hold safely throughout a complete market cycle. Market timing

Category: Industry

Acronym: ALM

Stands For: Asset Liability Management

Definition: One of two components in the Oracle Financial Services Applications (OFSA) that will be utilized

Category: Corporate

Acronym: ALP

Stands For: Advanced Leadership Program

Definition: Leadership program for Associate-Officer level employees at State Street

Category: Human Resources

Acronym: Alpha

Stands For: Key Term

Definition: A statistical measure representing the difference between the actual and expected performance

Category: Industry

Acronym: AMA

Stands For: Advanced Measurement Approaches

Definition: Extended definition needed

Category: Corporate

Acronym: AMAP

Stands For: North America and Asia Pacific

Definition: North America and Asia Pacific Region

Category: Industry

Acronym: American Depositary Receipt (ADR)

Stands For: Key Term

Definition: A negotiable receipt representing a specific number of equity shares in a foreign corporation. AD

Category: Industry

Acronym: American Depositary Shares (ADS)

Stands For: Key Term

Definition: A trading unit for the issuer in the U.S. which may represent more or less than one underlying sh

Category: Industry

Acronym: American Option

Stands For: Key Term

Definition: A futures option that can be exercised any day up to and including the expiration date.

Category: Industry

Acronym: AMEX

Stands For: Key Term

Definition: American Exchange. A national exchange which has less stringent listing requirements.

Category: Industry

Acronym: AMEX Major Market Index

Stands For: Key Term

Definition: An index of 20 blue chip industrial stocks that closely tracks the changes in the Dow Jones Indu

Category: Industry

Acronym: AMF

Stands For: Autorite Des Marches Financiers

Definition: Autorite Des Marches Financiers (French regulator)

Category: Regulatory & Legal

Acronym: AML

Stands For: Anti-money laundering

Definition: FSA controls put in place to control money laundering.

Category: Regulatory & Legal

Acronym: Amortisation

Stands For: Key Term

Definition: 1. Systematic decrease in the book value of securities purchased at a premium to par value, over

Category: Industry

Acronym: Amortising Term Loan

Stands For: Key Term

Definition: These can be mortgages or installment loans, where there is a number of payments due, with a

Category: Industry

Acronym: Amortization to date

Stands For: Key Term

Definition: An accounting procedure that gradually reduces a premium or increases a discount over the life

Category: Industry

Acronym: Amortized Cost

Stands For: Key Term

Definition: The current book value of a security. Amortized cost is calculated by adding (or subtracting) am

Category: Industry

Acronym: Amount Field

Stands For: Key Term

Definition: A MICR encoded field on a document that represents the amount of that document.

Category: Technology

Acronym: Amount Issued to the Public

Stands For: Key Term

Definition: The part of the total issued amount which was not privately placed. Usually stated in millions of d

Category: Industry

Acronym: Amount Outstanding

Stands For: Key Term

Definition: Par amount in millions (MM) of dollars for a security still held by investors. The value is as accurate as possible.

Category: Industry

Acronym: AMP

Stands For: Accepted Market Practice

Definition: General Term for accepted market practices

Category: Industry

Acronym: AMT Eligibility

Stands For: Key Term

Definition: This identifies securities that are subject to an Alternative Minimum Tax (AMT). AMT is targeted

Category: Industry

Acronym: Analytics

Stands For: Key Term

Definition: Measures the impact of portfolio structure on performance relative to a benchmark. (Also refers

Category: Technology

Acronym: ANG

Stands For: Netherlands Antilles Guilder

Definition: Currency - Netherlands Antilles Guilder

Category: Currency

Acronym: Annual Report

Stands For: Key Term

Definition: The formal financial statement issued yearly by a corporation. The annual report shows assets,

Category: Industry

Acronym: Annualize

Stands For: Key Term

Definition: A formula used to divide a nominal amount or rate of return into an annual amount or rate. In other words, it is a way to convert a nominal amount or rate of return into an annual amount or rate.

Category: Industry

Acronym: Annualized Rate of Return

Stands For: Key Term

Definition: The interest rate required to generate the present value of a future cash flow, annualized. Same

Category: Industry

Acronym: ANS

Stands For: Advanced Network Services

Definition: Service provided by Cisco formerly known as Network Service Accounting

Category: Technology

Acronym: AOR

Stands For: Application Owning Region

Definition: Extended definition needed

Category: Technology

Acronym: APA

Stands For: Account Position Appraisal

Definition: Account Position Appraisal Report for MCH (Alpha or SIC order). Shows holdings.

Category: Accounting

Acronym: APAC

Stands For: Asia-Pacific region

Definition: Asia-Pacific region

Category: Corporate

Acronym: Apache

Stands For: Key Term

Definition: A type of Web server

Category: Technology

Acronym: APAPS

Stands For: Accounts Payable and Purchasing System

Definition: Mainframe system to be replaced by Geac SmartStream suite

Category: Technology

Acronym: APAR

Stands For: Account Position Appraisal Report

Definition: Account Position Appraisal Report for MCH (Alpha or SIC order). Shows holdings.

Category: Accounting

Acronym: APAS

Stands For: Aggregate Partnership Allocation System

Definition: An accounting system for a SS mutual fund that is sold by other banks under different names from

Category: Corporate

Acronym: APEC

Stands For: Asia-Pacific Economic Cooperation

Definition: Forum that promotes open trade and practical economic cooperation in Asia Pacific.

Category: Institution or Association

Acronym: APF

Stands For: Authorised Professional Firms

Definition: Extended definition needed

Category: Corporate

Acronym: API

Stands For: Application Program Interface

Definition: Extended definition needed

Category: Technology

Acronym: Application Availability

Stands For: Key Term

Definition: Uninterrupted on-line access to production application data during a pre-defined schedule.

Category: Technology

Acronym: Application Form

Stands For: Key Term

Definition: in respect to Fund of Funds, usually attached to Fund Prospectus

Category: Industry

Acronym: Applications

Stands For: Key Term

Definition: The series of programs used to perform a business function on computers.

Category: Technology

Acronym: APPR

Stands For: Acronym needed

Definition: Failover software for the Lattice software boxes

Category: Technology

Acronym: Approved Facilities

Stands For: Key Term

Definition: The amount or amounts connected with a single client or group of clients represented by the app

Category: Industry

Acronym: APRA

Stands For: Australian Prudential Regulatory Authority

Definition: Responsible for bank supervision in Australia

Category: Regulatory & Legal

Acronym: APUS

Stands For: Browse User Identification

Definition: MCH Code For Browse User Identification

Category: Accounting

Acronym: Arbitrage

Stands For: Key Term

Definition: The process of buying and selling similar securities in different markets to take advantage of price differences.

Category: Industry

Acronym: ArchServe

Stands For: Key Term

Definition: backup software used to replace SM-Arch in remote locations, where ADSM cannot be used

Category: Technology

Acronym: ARIADD

Stands For: Action, Risk, Issue, Assumption, Decision, Dependency

Definition: Internal State Street project management term used to evaluate and track project status

Category: Corporate

Acronym: ARM

Stands For: Approved Reporting Mechanism

Definition: Approved Reporting Mechanism (for regulatory transaction reports)

Category: Regulatory & Legal

Acronym: ARP

Stands For: Account Reconciliation Package

Definition: See Check Reconcilement, Positive Pay, ARP/QMS, ARP/SMS. Various codes associated with

Category: Technology

Acronym: ARP/QMS

Stands For: Account Reconciliation Package/Quality Management System

Definition: CheckFree Corporations ARP/Quality Management System (ARP/QMS) is a companion system

Category: Technology

Acronym: ARP/SMS

Stands For: Account Reconciliation Packinge/Service Management System

Definition: Deposit Operations has purchased CheckFree Corporation's Account Reconciliation Package/S

Category: Technology

Acronym: ARS

Stands For: Argentina Peso

Definition: Currency - Argentina Peso

Category: Currency

Acronym: Articles of Association

Stands For: Key Term

Definition: Regulations for governing the rights and duties of the members of a company among themselves

Category: Industry

Acronym: As Agent

Stands For: Key Term

Definition: Acting on someone else's behalf when purchasing and selling securities.

Category: Industry

Acronym: As of Date, Portfolios

Stands For: Key Term

Definition: The date on which the owner of the portfolio either created the portfolio or changed it. The as-of

Category: Industry

Acronym: As Principal

Stands For: Key Term

Definition: Acting on one's own behalf when buying and selling securities.

Category: Industry

Acronym: AS400

Stands For: Key Term

Definition: Midrange IBM computer.

Category: Technology

Acronym: ASB

Stands For: Auditing Standards Board

Definition: Extended definition needed

Category: Corporate

Acronym: ASEAN

Stands For: Association of Southeast Asian Nations

Definition: Regional association promoting economic cooperation

Category: Institution or Association

Acronym: ASEAN 5

Stands For: Association of Southeast Asian Nations 5

Definition: Indonesia, Malaysia, Thailand, the Philippines and Singapore

Category: Institution or Association

Acronym: ASEAN AEC

Stands For: ASEAN Economic Community

Definition: Being developed to facilitate free movement of goods, services, investment and labor and freer t

Category: Institution or Association

Acronym: ASFA

Stands For: Australian Superannuation Fund Association

Definition: Association of Superannuation Funds Owners and Managers

Category: Institution or Association

Acronym: ASG

Stands For: Application Secure Gateway

Definition: Remote access tool for employees

Category: Corporate

Acronym: ASIC

Stands For: Australian Securities and Investments Commission

Definition: The Australian Securities and Investments Commission (ASIC) is an independent government b

Category: Regulatory & Legal

Acronym: ASIFMA

Stands For: Asia Securities Industry and Financial Markets Association

Definition: Professional advocacy organization that promotes growth and development of Asia's debt capital markets

Category: Institution or Association

Acronym: Ask Price

Stands For: Key Term

Definition: A potential sellers lowest declared price for a security

Category: Industry

Acronym: Asked Price

Stands For: Key Term

Definition: In load funds, the NAV (net asset value or price per share) plus sales charge. This is the price p

Category: Industry

Acronym: ASL

Stands For: Authorised Signature List

Definition: List of individuals authorized to approve a transaction or agreement

Category: Corporate

Acronym: ASM

Stands For: Applications Software Management

Definition: Audit specific module (part of CSW processing)

Category: Technology

Acronym: ASP

Stands For: Application service provider

Definition: Extended definition needed

Category: Technology

Acronym: Asset Allocation

Stands For: Key Term

Definition: The mix of stocks, bonds, cash equivalents and other assets in which your capital is invested.

Category: Industry

Acronym: Asset Allocation Funds

Stands For: Key Term

Definition: Asset allocation funds have the ability to shift assets among asset classes (for example equities

Category: Industry

Acronym: Asset Backed Security

Stands For: Key Term

Definition: A debt instrument collateralised by credit card receivables, car loans or other assets.

Category: Industry

Acronym: Asset Class

Stands For: Key Term

Definition: The highest level of security classification. This is utilized on MCH for reporting securities and po

Category: Industry

Acronym: Asset ID

Stands For: Key Term

Definition: A text string used to uniquely identify a security held in a financial portfolio, such as a CUSIP or

Category: Industry

Acronym: Asset Status

Stands For: Key Term

Definition: A code that indicates the status (active, called, matured, and so on) of the asset.

Category: Industry

Acronym: Asset Swap

Stands For: Key Term

Definition: A swap in which a counterparty exchanges a stream of cash flows from an asset into a different

Category: Industry

Acronym: Asset Transfer

Stands For: Key Term

Definition: Movement of shares from one account to another (See conversion).

Category: Industry

Acronym: Asset Turnover

Stands For: Key Term

Definition: Ratio of net sales to average assets.

Category: Industry

Acronym: Asset-backed Securities

Stands For: Key Term

Definition: Bonds or notes backed by loan paper or accounts receivable originated by banks, credit card co

Category: Industry

Acronym: Assets

Stands For: Key Term

Definition: Total resources of a corporation, such as cash, fixtures, real estate, etc.

Category: Industry

Acronym: ASV

Stands For: Automatic Signature Verification

Definition: See Fraudguard; A software product licensed from ImageSoft Technologies starting in 2003 that

Category: Technology

Acronym: ASX

Stands For: Australian Securities Exchange

Definition: Created through the merger of the Australian Stock Exchange and Sydney Futures Exchange in

Category: Industry

Acronym: Asymmetric

Stands For: Key Term

Definition: A high availability set-up with two machines and one application that fails from one machine to a

Category: Technology

Acronym: At Horizon

Stands For: Key Term

Definition: A shift of the U.S. Treasury yield curve at a specified future day.

Category: Industry

Acronym: ATL

Stands For: Automated Tape Library

Definition: Automated Tape Library (i.e. robotics).

Category: Technology

Acronym: ATM

Stands For: Asynchronous Transfer Mode

Definition: Asynchronous Transfer Mode, high-speed data transfer.

Category: Technology

Acronym: ATRAK

Stands For: Activity tracking system

Definition: A Web-based activity tracking system: <http://atrack.statestr.com/>

Category: Technology

Acronym: ATS

Stands For: Alternative Trading System

Definition: Extended definition needed

Category: Corporate

Acronym: Attribution Analysis

Stands For: Key Term

Definition: Determining how much of a portfolio's return can be attributed to specific investment segments.

Category: Industry

Acronym: Auction Market

Stands For: Key Term

Definition: A market for securities, typically found on a national securities exchange, in which trading in a p

Category: Industry

Acronym: AUD

Stands For: Australia Dollar

Definition: Currency - Australia Dollar

Category: Currency

Acronym: Audit

Stands For: Key Term

Definition: The periodic examinations of the records of a fund in order to determine the fairness of the finan

Category: Industry

Acronym: Audit Trail

Stands For: Key Term

Definition: A reporting or recordkeeping method which presents a clear path for auditors to follow.

Category: Industry

Acronym: Auditor

Stands For: Key Term

Definition: One who performs or assists in an audit.

Category: Industry

Acronym: AUDIX

Stands For: Acronym needed

Definition: Voice mail software, also see Intuity.

Category: Technology

Acronym: AUM

Stands For: Assets Under Management

Definition: The total value of assets that a mutual fund, hedge fund or other investment firm manages and a

Category: Finance

Acronym: Austraclear

Stands For: Key Term

Definition: Australian domestic securities clearance and settlement system.

Category: Industry

Acronym: Authentication

Stands For: Key Term

Definition: Process used to validate the origin and integrity of certain SWIFT messages. Messages that ins

Category: Industry

Acronym: Authenticator Keys

Stands For: Key Term

Definition: An electronic security vehicle established between two institutions to ensure that all possible risk

Category: Industry

Acronym: Autofunding

Stands For: Automatic funding

Definition: An automatic process to move funds between accounts.

Category: Industry

Acronym: Automatic Reinvestment

Stands For: Key Term

Definition: The agreed upon use of income dividends or capital gains distributions for the purchase of additional shares of the same security.

Category: Industry

Acronym: AutoSig

Stands For: Automatic Signature

Definition: Signature verification application

Category: Technology

Acronym: AutoSys

Stands For: Automated Sybase

Definition: Tool for scheduling Sybase maintenance jobs; runs on clustered machines sdva0083 & 4; from I

Category: Technology

Acronym: Average Annual Total Return

Stands For: Key Term

Definition: Average annual total return is a hypothetical rate of return that, if achieved annually, would have

Category: Industry

Acronym: Average Cost

Stands For: Key Term

Definition: A method used in portfolio record keeping to record the cost of security inventory. In this method

Category: Industry

Acronym: Average Duration

Stands For: Key Term

Definition: The market-weighted Macaulay duration of the specified portfolio.

Category: Industry

Acronym: Average Life

Stands For: Key Term

Definition: A measure of how long it takes, on average, for the security to repay its principal. For a Treasury

Category: Industry

Acronym: Average Yield

Stands For: Key Term

Definition: The market-weighted yield to maturity of the specified portfolio.

Category: Industry

Acronym: AVP

Stands For: Asisstant Vice President

Definition: Asisstant Vice President - Role at State Street

Category: Corporate

Acronym: AVT

Stands For: Average Value of Transactions

Definition: Measurement of Average Value of Transactions

Category: Finance

Acronym: AWD

Stands For: Automated Work Distributor

Definition: AWD is a workflow and imaging system provided by DST and can be used alongside iFAST.

Category: Industry

Acronym: AWG

Stands For: Aruba Guilder

Definition: Currency - Aruba Guilder

Category: Currency

Acronym: awk

Stands For: Awkprogramming language

Definition: awkprogramming language developed by Aho, Weinburger, and Kennigan (developers of UNIX)

Category: Technology

Acronym: AZN

Stands For: Azerbaijan New Manat

Definition: Currency - Azerbaijan New Manat

Category: Currency

Acronym: B/S

Stands For: Balance Sheet

Definition: Financial report displaying assets and liabilities

Category: Finance

Acronym: B105

Stands For: Key Term

Definition: Mutual Fund Services Portfolio Accounting records for unidentified dividends and interest. GFAS

Category: Technology

Acronym: BA

Stands For: Business Architecture

Definition: A blueprint of the enterprise that provides a common understanding of the organization and is used

Category: Technology

Acronym: Babson

Stands For: Babson Capital Management (a client)

Definition:

Category:

Acronym: Baby Bond

Stands For: Key Term

Definition: A bond with a principal amount under \$1,000. Baby bonds may be issued by firms hoping to attract

Category: Industry

Acronym: Back Valuing

Stands For: Key Term

Definition: Back Valuing refers to the resultant processing when a backdated change is made. When a back

Category: Industry

Acronym: Back-end Load

Stands For: Key Term

Definition: A redemption charge paid when withdrawing money from a fund. In the case of a 12b-1 fund, it is

Category: Industry

Acronym: Back-end Loan

Stands For: Key Term

Definition: A sales charge levied at the time of redemption

Category: Industry

Acronym: BACS

Stands For: Key Term

Definition: Within the UK, BACS Limited was first established as Bankers Automated Clearing Services Lim

Category: Industry

Acronym: BADJ

Stands For: Browse Interest Adjustments

Definition: AcronymBrowse Interest Adjustments

Category: Accounting

Acronym: BaFIN

Stands For: Bundesanstalt für Finanzdienstleistungsaufsicht

Definition: The Federal Financial Supervisory Authority (German Regulator)

Category: Regulatory & Legal

Acronym: BAK

Stands For: Bundesauficahtsamt fr das Kreditwesen

Definition: Bundesauficahtsamt fr das Kreditwesen (German Federal Banking Supervisory Office, Berlin).

Category: Technology

Acronym: Balance Sheet

Stands For: Key Term

Definition: The statement of financial position that shows total assets equal to total liabilities plus owners' e

Category: Industry

Acronym: Balanced Fund

Stands For: Key Term

Definition: A mutual fund which balances its portfolio by holding a predetermined mix of bonds, common stocks, and other securities.

Category: Industry

Acronym: Balloons

Stands For: Key Term

Definition: Final payments on a debt that are substantially larger than the preceding payments. Loans or m

Category: Industry

Acronym: BAM

Stands For: Bosnia and Herzegovina Convertible Marka

Definition: Currency - Bosnia and Herzegovina Convertible Marka

Category: Currency

Acronym: Bank (Hogan)

Stands For: Key Term

Definition: A 2 digit numeric code representing the type of account: 52 - Normal DDA accounts 51 - Saving

Category: Technology

Acronym: Bank Identifier Code

Stands For: Key Term

Definition: See BIC

Category: Technology

Acronym: Bank Loans

Stands For: Key Term

Definition: Money borrowed from the bank, usually of a short-term nature.

Category: Industry

Acronym: Bank of England

Stands For: Key Term

Definition: Central bank of the United Kingdom.

Category: Technology

Acronym: Bankruptcy

Stands For: Key Term

Definition: In the legal sense, the condition of a firm when its debts (i.e., what it owes) exceed its assets (i.e., what it owns).

Category: Industry

Acronym: Bargain

Stands For: Key Term

Definition: A trade/transaction of securities between two counterparties.

Category: Industry

Acronym: BAs

Stands For: Key Term

Definition: Banker Acceptances. A draft or bill of exchange accepted and guaranteed by a bank.

Category: Industry

Acronym: Base

Stands For: Key Term

Definition: A transaction that occurs in the reporting currency of the fund. See also Local.

Category: Industry

Acronym: Base Currency

Stands For: Key Term

Definition: The currency against which exchange rates are normally quoted in a given jurisdiction. See also

Category: Industry

Acronym: Base Currency (Equivalent Currency)

Stands For: Key Term

Definition: A principal currency to which all local currency values in a portfolio are converted. Local currency

Category: Industry

Acronym: Basel

Stands For: Key Terms

Definition: A set of standards for assessing capital adequacy and better aligning capital with risk

Category: Regulatory & Legal

Acronym: Basis

Stands For: Key Term

Definition: The difference between the bond's price and its delivery price (conversion factor times futures price)

Category: Industry

Acronym: Basis Point

Stands For: Key Term

Definition: One one-hundredth (1/100 or 0.01) of one percent. Yield differences among fixed income securi

Category: Industry

Acronym: Basket Trades

Stands For: Key Term

Definition: Term used to define multiple trades placed through a broker.

Category: Industry

Acronym: BAT

Stands For: Business Advisory Team

Definition: Extended definition needed

Category: Corporate

Acronym: Batch

Stands For: Key Term

Definition: The lowest control level of documents that has amount control. A batch normally consists of 500

Category: Technology

Acronym: BAU

Stands For: Business As Usual

Definition: Business continuing in normal state

Category: Industry

Acronym: BBA

Stands For: British Bankers Association

Definition: A trade association for the UK banking and financial services sector. From 1st July 2017, it was

Category: Institution or Association

Acronym: BBD

Stands For: Barbados Dollar

Definition: Currency - Barbados Dollar

Category: Currency

Acronym: BBO

Stands For: Best Bid and Offer

Definition: Negotiation Term for the best offer

Category: Industry

Acronym: BCAG

Stands For: Business Controls Advisory Group

Definition: Working group to advise on Business Controls

Category: Corporate

Acronym: BCAR

Stands For: Browse Corporate Action Reorganization

Definition: MCH Code For Function Browse Corporate Action Reorganization

Category: Accounting

Acronym: BCBS

Stands For: Basel Committee on Banking Supervision

Definition: Forum for regular cooperation on banking supervisory matters

Category: Regulatory & Legal

Acronym: BCCC

Stands For: Browse Capstock Cash

Definition: MCH Code For Capstock Function Browse Capstock Cash

Category: Accounting

Acronym: BCCS

Stands For: Browse Capstock Share Transaction

Definition: MCH Code For Capstock Function Browse Capstock Share Transaction

Category: Accounting

Acronym: BCDL

Stands For: Browse Cash Lot

Definition: AcronymBrowse Cash Lot

Category: Accounting

Acronym: BCE

Stands For: Business Control Executive

Definition: Extended definition needed

Category: Corporate

Acronym: BCLS

Stands For: Browse Dual Pricing Class

Definition: MCH Code For Function Browse Dual Pricing Class

Category: Accounting

Acronym: BCM

Stands For: Business Capabilities Model

Definition: Extended definition needed

Category: Corporate

Acronym: BCMC

Stands For: Multi-Currency Header

Definition: MCH Code For Report Function Multi-Currency Header

Category: Accounting

Acronym: BCP

Stands For: Business Continuity Plan

Definition: Plan for business continuity in the event of a disruption

Category: Corporate

Acronym: BCPL

Stands For: Cash Position

Definition: MCH Code For Position Function Cash Position

Category: Accounting

Acronym: BCRC

Stands For: Business Conduct Risk Committee

Definition: Committee for Business Conduct & Risk

Category: Corporate

Acronym: BCSL

Stands For: Cash Record

Definition: MCH Code For Report Function Cash Record

Category: Accounting

Acronym: BCSM

Stands For: Browse Capital Stock

Definition: MCH Code For Capstock Function Browse Capital Stock

Category: Accounting

Acronym: BDAY

Stands For: Corp Reference Holiday Display

Definition: MCH Code For Report Function Corp Reference Holiday Display

Category: Accounting

Acronym: BE (Book Entry)

Stands For: Key Term

Definition: An online method of recordkeeping for securities via terminals. Purchases and sales 30 securities

Category: Industry

Acronym: BEACON

Stands For: Best in class, End to End, Agile, Client Centric, One View, Next Generation Technology

Definition: State Street Digitization Initiative/Division

Category: Technology

Acronym: Bear Market

Stands For: Key Term

Definition: A market, in which prices of a certain group of securities are falling or are expected to fall

Category: Industry

Acronym: Bearer Bonds

Stands For: Key Term

Definition: An instrument that does not indicate any registration of ownership. The bearer owns the bond and

Category: Industry

Acronym: Bearer Form

Stands For: Key Term

Definition: A certificate not registered in the name of any investor. Generally, anyone possessing such a ce

Category: Industry

Acronym: BEI

Stands For: Key Term

Definition: A BEI is a S.W.I.F.T. Business Entity Identifier. The purpose of the BEI is to uniformly identify no

Category: Industry

Acronym: BEID

Stands For: Earned Income

Definition: MCH Code For Report Function Earned Income

Category: Accounting

Acronym: BEL

Stands For: Bank Europe Limited

Definition: State Street Bank Europe Limited (State Street Entity)

Category: Corporate

Acronym: Benchmark

Stands For: Key Term

Definition: A standard; a set of information used for comparisons. Indexes are often used as benchmarks, a

Category: Industry

Acronym: Beneficial Owner

Stands For: Key Term

Definition: The actual owner of the securities, as opposed to the broker or the custodian in whose name the securities are held

Category: Industry

Acronym: Beneficiary Bank

Stands For: Key Term

Definition: The bank that holds the Beneficiary Account. The Beneficiary Bank is identified in field 57 of a S

Category: Industry

Acronym: BEPS

Stands For: Base Erosion & Profit Shifting

Definition: Refers to tax avoidance strategies that exploit gaps and mismatches in tax rules to artificially shift

Category: Industry

Acronym: BESS

Stands For: Bank Electronic Support System

Definition: BESS software fully integrates domestic and international funds transfer and automated wire roo

Category: Technology

Acronym: BESS (Bank Electronic Support System)

Stands For: Key Term

Definition: BESS is the software product on the Tandem System that provides a secure multi-office, environ

Category: Industry

Acronym: Best Efforts

Stands For: Key Term

Definition: A designation that a certain financial result is not guaranteed, but that a good faith effort will be made.

Category: Industry

Acronym: BEST/1

Stands For: Acronym needed

Definition: Monitoring application being used for NT servers

Category: Technology

Acronym: Beta

Stands For: Key Term

Definition: A measurement of a portfolio's sensitivity to market movements. It is a ratio of the portfolio's pas

Category: Industry

Acronym: BEXR

Stands For: Browse Exchange Rate

Definition: MCH Code For Exchange Rate Function Browse Exchange Rate

Category: Accounting

Acronym: BFCL

Stands For: Browse FX Activity

Definition: MCH Code For FX Function Browse FX Activity

Category: Accounting

Acronym: BFDS

Stands For: Boston Financial Data Services

Definition: Transfer agent; corporate joint venture of State Street Corporation [50%] and DST Systems, Inc

Category: Corporate

Acronym: BFSD

Stands For: Business and Functional Specifications Document

Definition: Extended definition needed

Category: Corporate

Acronym: BFSE

Stands For: Browse Foreign Security Master File

Definition: MCH Code For FX Function Browse Foreign Security Master File

Category: Accounting

Acronym: BFWD

Stands For: Browse Forward Databse

Definition: MCH Code For FX Function Browse Forward Databse

Category: Accounting

Acronym: BGAA

Stands For: Browse Accrual and Amortization Activity

Definition: AcronymBrowse Accrual and Amortization Activity

Category: Accounting

Acronym: BGCP

Stands For: Global Class Parameter Browse

Definition: MCH Code For Accrual Function Global Class Parameter Browse

Category: Accounting

Acronym: BGIR

Stands For: Multi-Currency Income File

Definition: AcronymMulti-Currency Income File

Category: Accounting

Acronym: BGLM

Stands For: Trial Balance Selection

Definition: MCH Code For Trial Balance Selection

Category: Accounting

Acronym: BGMM

Stands For: Fixed Income Portfolio Selection Criteria

Definition: MCH Code For Accrual Function Fixed Income Portfolio Selection Criteria

Category: Accounting

Acronym: BGN

Stands For: Bulgaria Lev

Definition: Currency - Bulgaria Lev

Category: Currency

Acronym: BGOV

Stands For: Factor

Definition: AcronymFactor

Category: Accounting

Acronym: BGS

Stands For: Acronym needed

Definition: Developer of proprietary monitoring software; purchased by BMC

Category: Technology

Acronym: BGSA

Stands For: Settlement File

Definition: MCH Code For Trade Function Settlement File

Category: Accounting

Acronym: BGTT

Stands For: Browse Global Tax Table

Definition: MCH Code For Function Browse Global Tax Table

Category: Accounting

Acronym: BHC

Stands For: Bank Holding Company

Definition: A company that controls one or more banks, but does not necessarily engage in banking itself.

Category: Industry

Acronym: BI

Stands For: Central Bank of Indonesia

Definition: Indonesia's Central Bank

Category: Industry

Acronym: BIC

Stands For: Bank Identifier Code

Definition: A unique address that identifies precisely the financial institutions involved in international finance

Category: Industry

Acronym: BID

Stands For: Bank Institutional Delivery System

Definition: State Street's BID System which accepts the confirms from Institutional Interactive Delivery System

Category: Corporate

Acronym: Bid Price

Stands For: Key Term

Definition: In funds, the net asset value (NAV) or price before commission or load. In both load and no-load

Category: Industry

Acronym: Bilateral Netting

Stands For: Key Term

Definition: When all trades executed on the same day and in the same security are netted between two co

Category: Industry

Acronym: Bill

Stands For: Key Term

Definition: A short term, discounted debt obligation. Denominations are generally \$10,000 - \$1,000,000 with

Category: Industry

Acronym: Bill (Treasury or T-Bill)

Stands For: Key Term

Definition: A government security with a maturity of a year or less.

Category: Industry

Acronym: BIOC

Stands For: Basel ICAAP Oversight Committee

Definition: Oversight committee for Basel

Category: Compliance & Risk

Acronym: BIS

Stands For: Bank for International Settlements

Definition: An international financial organisation owned by 60 member central banks

Category: Industry

Acronym: BJLH

Stands For: JLH Browse

Definition: MCH Code For Report Function JLH Browse

Category: Accounting

Acronym: BKE

Stands For: Key Term

Definition: Bilateral Key Exchange

Category: Industry

Acronym: BLA

Stands For: Business Level Agreement

Definition: Direct business-outcome-based commitments and ensure IT service partners understand business

Category: Industry

Acronym: Blackout Periods

Stands For: Key Term

Definition: The time period prior to the release of financial information during which certain employees are p

Category: Finance

Acronym: Block

Stands For: Key Term

Definition: A prime pass control level comprising one or more batches. In CPCS this control level is used to

Category: Technology

Acronym: Bloomberg

Stands For: Key Term

Definition: A computer system that provides real-time financial market data, including prices, corporate acti

Category: Industry

Acronym: Blue Sky Laws

Stands For: Key Term

Definition: State regulations governing the registration and eligibility for sale of securities, including mutual

Category: Industry

Acronym: Blue-chip Stocks

Stands For: Key Term

Definition: Stocks of well-established companies that have had a history of earnings and dividend payment

Category: Industry

Acronym: BM

Stands For: Bursa Malaysia

Definition: Malaysia's main stock exchange

Category: Industry

Acronym: BMC

Stands For: Key Term

Definition: The company that puts out Patrol; comparable to Tivoli (company); does not have a product con

Category: Technology

Acronym: BMC Patrol

Stands For: Key Term

Definition: Monitoring product; possible replacement for MaestroVision; roughly equivalent to Tivolis Sentry

Category: Technology

Acronym: BMCA

Stands For: Browse Master Chart of Accounts

Definition: MCH Code For Function Browse Master Chart of Accounts

Category: Accounting

Acronym: BMD

Stands For: Bermuda Dollar

Definition: Currency - Bermuda Dollar

Category: Currency

Acronym: BMEA

Stands For: Browse Expense Accrual

Definition: MCH Code For Expenses Function Browse Expense Accrual

Category: Accounting

Acronym: BMEF

Stands For: Browse Setup Expense Formula

Definition: MCH Code For Expenses Function Browse Setup Expense Formula

Category: Accounting

Acronym: BMEI

Stands For: Browse Base/Method Setup

Definition: AcronymBrowse Base/Method Setup

Category: Accounting

Acronym: BMEP

Stands For: Browse Expense Payment File

Definition: MCH Code For Expenses Function Browse Expense Payment File

Category: Accounting

Acronym: BMES

Stands For: Browse Expense Group

Definition: MCH Code For Expenses Function Browse Expense Group

Category: Accounting

Acronym: BMTM

Stands For: Browse Marks to Market

Definition: MCH Code For Function Browse Marks to Market

Category: Accounting

Acronym: BNAV

Stands For: Browse Variable Expense Nav File Setup

Definition: MCH Code For Function Browse Variable Expense Nav File Setup

Category: Accounting

Acronym: BND

Stands For: Brunei Darussalam Dollar

Definition: Currency - Brunei Darussalam Dollar

Category: Currency

Acronym: BNM

Stands For: Bank Negara Malaysia

Definition: Malaysia's Central Bank

Category: Industry

Acronym: Board Lot

Stands For: Key Term

Definition: The smallest possible lot of shares commonly traded in the market. Lots smaller than board lots

Category: Industry

Acronym: BOB

Stands For: Bolivia Boliviano

Definition: Currency - Bolivia Boliviano

Category: Currency

Acronym: BOC

Stands For: Broker of Credit

Definition: Extended definition needed

Category: Corporate

Acronym: BoD

Stands For: Board of Directors

Definition: A group of individuals elected to represent stockholders.

Category: Industry

Acronym: BoE

Stands For: Bank of England

Definition: UK Central Bank

Category: Industry

Acronym: BOJ

Stands For: Bank of Japan

Definition: Japan's Central Bank

Category: Industry

Acronym: BOK

Stands For: Bank of Korea

Definition: Korea's Central Bank

Category: Industry

Acronym: Bolero

Stands For: Key Term

Definition: A cross-industry initiative to provide a platform for the secure electronic transfer of all commercial

Category: Industry

Acronym: Bond

Stands For: Key Term

Definition: A loan, in the form of debt security, made to a government or private entity, who agrees to pay b

Category: Industry

Acronym: Bond discount

Stands For: Key Term

Definition: The difference between the face amount of a bond and the lower price paid by the buyer

Category: Industry

Acronym: Bond Equivalent Yield

Stands For: Key Term

Definition: The yield of a security assuming semi-annual compounding. Used to standardize the yields of s

Category: Industry

Acronym: Bond Fund

Stands For: Key Term

Definition: A mutual fund that is income oriented and holds a portfolio consisting primarily of bonds.

Category: Industry

Acronym: Bond premium

Stands For: Key Term

Definition: The difference between the face amount of a bond and the higher price paid by the buyer

Category: Industry

Acronym: Bond Unit

Stands For: Key Term

Definition: A combination of a debt issue and another security, usually warrants, which are packaged together

Category: Industry

Acronym: Bond Yield

Stands For: Key Term

Definition: The rate of return on a bond expressed as a percentage of its price. The most commonly used y

Category: Industry

Acronym: BondMaster

Stands For: Key Term

Definition: A National Computer System software application that tracks Bond activity for banks.

Category: Technology

Acronym: Bond-Value Deal

Stands For: Key Term

Definition: A CMO deal in which the difference between the present value of the collateral's last period and

Category: Industry

Acronym: Bonus

Stands For: Key Term

Definition: The British equivalent of a stock split.

Category: Industry

Acronym: Book Entry

Stands For: Key Term

Definition: When securities are maintained by computerized records and there is no physical document.

Category: Industry

Acronym: Book Entry System

Stands For: Key Term

Definition: An accounting system which facilitates the change of ownership of securities electronically. Sec

Category: Industry

Acronym: Book Price

Stands For: Key Term

Definition: The amortized value the user has assigned to the bond on the book date.

Category: Industry

Acronym: Book Shares

Stands For: Key Term

Definition: Shares in a mutual fund owned by the shareholder but held in unissued form by the custodian. F

Category: Industry

Acronym: Book Value

Stands For: Key Term

Definition: 1.Value computed from historical costs and expenses using accounting rules rather than current

Category: Industry

Acronym: Book-Closure

Stands For: Key Term

Definition: The action of closing the issuer's register prior to a dividend payment or general meeting for the

Category: Industry

Acronym: Book-Entry Security

Stands For: Key Term

Definition: A security for which the purchaser receives a receipt rather than an engraved certificate. Although

Category: Industry

Acronym: Boston

Stands For: Key Term

Definition: Birth place of State Street and location of our head office in the US.

Category: Industry

Acronym: Boston Financial Data Services

Stands For: Key Term

Definition: See BFDS

Category: Technology

Acronym: BOT

Stands For: Bank of Thailand

Definition: Thailand's Central Bank

Category: Industry

Acronym: Bourse

Stands For: Key Term

Definition: French term for stock exchange, for example, Bourse de Brussels for Brussels Stock Exchange.

Category: Industry

Acronym: BP

Stands For: Basis Point

Definition: One hundredth of one percent, used chiefly in expressing differences of interest rates.

Category: Finance

Acronym: BPAR

Stands For: BSLP Mapping

Definition: MCH Code For Report Function BSLP Mapping

Category: Accounting

Acronym: BPC

Stands For: Business Policy and Control Team

Definition: Extended definition needed

Category: Corporate

Acronym: BPM

Stands For: Business Performance Measurement

Definition: Consists of a set of management and analytic processes, supported by technology, that enable

Category: Industry

Acronym: BPOP

Stands For: Browse Position of Portfolio

Definition: Browse Position (holdings of securities) of Portfolio (client account).

Category: Accounting

Acronym: BPOS

Stands For: Position File

Definition: MCH Code For Position Function Position File

Category: Accounting

Acronym: BPS

Stands For: Basis Points

Definition: One hundredth of one percent, used chiefly in expressing differences of interest rates.

Category: Finance

Acronym: BRAP

Stands For: Record Keeping Archive File

Definition: MCH Code For Report Function Record Keeping Archive File

Category: Accounting

Acronym: BRC

Stands For: Business Risk and Control

Definition: Extended definition needed

Category: Corporate

Acronym: BRD

Stands For: Business Requirement Document

Definition: The emphasis in a BRD is on what is required, rather than on how to achieve it; this is usually done

Category: Industry

Acronym: BRDM

Stands For: Derivatives Browse

Definition: MCH Code For Position Function Derivatives Browse

Category: Accounting

Acronym: Break Even Analysis

Stands For: Key Term

Definition: Analysis of the level (volume) of sales at which a project's revenues equal its expenses.

Category: Industry

Acronym: Break Even Point

Stands For: Key Term

Definition: Activity or volume level at which net income equals zero. Volume level at which a corporation co

Category: Industry

Acronym: Breakeven Volume

Stands For: Key Term

Definition: Volume level at which a corporation reaches its breakeven point, measured by the total fixed costs

Category: Industry

Acronym: BRF

Stands For: Business Recovery Facility

Definition: Facility assigned to be the recovery location in the event of a business disruption

Category: Technology

Acronym: BRFA

Stands For: Daily Accrual Position

Definition: MCH Code For Accrual Function Daily Accrual Position

Category: Accounting

Acronym: BRFD

Stands For: Check Holdings/Cost

Definition: MCH Code For Position Function Check Holdings/Cost

Category: Accounting

Acronym: BRFX

Stands For: Browse Foreign Exchanges Requests

Definition: MCH Code For FX Function Browse Foreign Exchanges Requests

Category: Accounting

Acronym: BRG

Stands For: Barings (Client)

Definition:

Category:

Acronym: BRHD

Stands For: RHD Reference Header

Definition: MCH Code For Report Function RHD Reference Header

Category: Accounting

Acronym: BRIC

Stands For: Brazil, Russia, India, China

Definition: Refers to the fast-developing economies of Brazil, Russia, India and China. The four countries c

Category: Industry

Acronym: Bridge

Stands For: Key Term

Definition: Part of a WAN (Wide Area Network) router. Primary provider for pricing US equity securities has

Category: Technology

Acronym: BRKP

Stands For: Record Keeping File Selection

Definition: MCH Code For Record Keeping File Selection

Category: Accounting

Acronym: BRL

Stands For: Brazil Real

Definition: Currency - Brazil Real

Category: Currency

Acronym: BRMA

Stands For: Month End Amortization/Acrual Browse

Definition: AcronymMonth End Amortization/Acrual Browse

Category: Accounting

Acronym: BRMG

Stands For: Broker Matching Groups

Definition: Extended definition needed

Category: Corporate

Acronym: BRMP

Stands For: Month End Position Select

Definition: MCH Code For Month End Position Select

Category: Accounting

Acronym: Broken Period Deposit

Stands For: Key Term

Definition: A broken period deposit is a deposit for a non-standard period. For example a fixed deposit for t

Category: Industry

Acronym: Broker

Stands For: Key Term

Definition: An agent, often a member of a stock exchange firm or an exchange member himself, who execu

Category: Industry

Acronym: Broker Confirmation

Stands For: Key Term

Definition: A receipt sent to customer by broker after trade execution. The "confirm" lists essential data such as

Category: Industry

Acronym: Broker Reason

Stands For: Key Term

Definition: A code that can be input at trade entry to specify why a particular broker was used for a specific

Category: Industry

Acronym: Broker/Dealer

Stands For: Key Term

Definition: A firm which acts "as agent" and brings buyers and sellers together (broker) and "as principal" b

Category: Industry

Acronym: BRRD

Stands For: Bank Recovery and Resolution Directive

Definition: Extended definition needed

Category: Corporate

Acronym: BRS

Stands For: Business Recovery Service

Definition: Service assigned to assist with the recovery of business in the event of a disruption

Category: Technology

Acronym: BRUCE

Stands For: Business Unit Recovery Coordinator

Definition: Business Unit Recovery Coordinator - Role

Category: Technology

Acronym: BS

Stands For: Balance Sheet

Definition: Financial report displaying assets and liabilities

Category: Finance

Acronym: BSA

Stands For: Bank Secrecy Act

Definition: Requires financial institutions in the United States to assist U.S. government agencies to detect

Category: Regulatory & Legal

Acronym: BSD

Stands For: Bahamas Dollar

Definition: Currency - Bahamas Dollar

Category: Currency

Acronym: BSE

Stands For: Boston Stock Exchange

Definition: Stock Exchange located in Boston

Category: Industry

Acronym: BSEC

Stands For: Security Master File Selection Criteria

Definition: MCH Code For Security Master File Selection Criteria

Category: Accounting

Acronym: BSG

Stands For: Business Services Group

Definition: Unit focused on Business Services

Category: Technology

Acronym: BSLP

Stands For: Subsidiary Ledger Proof Browse

Definition: MCH Code For Subsidiary Ledger Proof Browse

Category: Accounting

Acronym: BSP

Stands For: Bangko Sentral ng Pilipinas

Definition: Philippines Central Bank

Category: Industry

Acronym: BSS

Stands For: Business Support Services

Definition: Services provided in relation to business or commerce and includes evaluation of prospective cu

Category: Industry

Acronym: BTAN

Stands For: Key Term

Definition: French treasury notes (eligible for settlement through Banque of France).

Category: Industry

Acronym: BTBP

Stands For: Trial Mapping

Definition: MCH Code For Report Function Trial Mapping

Category: Accounting

Acronym: BTCO

Stands For: State Street Bank and Trust Company

Definition: Extended definition needed

Category: Industry

Acronym: BTF

Stands For: Key Term

Definition: French treasury bills (eligible for settlement through Banque of France).

Category: Industry

Acronym: BTM

Stands For: Buiness Technology Manager

Definition: Buiness Technology Manager - Role

Category: Technology

Acronym: BTRH

Stands For: Browse Trade History

Definition: MCH Code For Trade Function Browse Trade History

Category: Accounting

Acronym: BTS

Stands For: Business Technology Services

Definition: Units within NMS responsible for defining and implementing technology solutions to business pr

Category: Technology

Acronym: BU

Stands For: Business Unit

Definition: General term for a Business unit /Units that make up State Street, e.g., Global Services America

Category: Industry

Acronym: BUAT

Stands For: Business User Acceptance Testing

Definition: Testing with respect to user needs, requirements, and business processes to determine whether

Category: Industry

Acronym: BUF

Stands For: Business Unit Finance

Definition: Extended definition needed

Category: Finance

Acronym: Building Codes

Stands For: Key Term

Definition: Three-letter codes representing a State Street office location, e.g., SFC refers to One Lincoln St

Category: Finance

Acronym: Bulb Icon

Stands For: Key Term

Definition: The symbol used to identify the status of an alert. (see alert status for more details)

Category: Industry

Acronym: Bull market

Stands For: Key Term

Definition: A market, in which prices of a certain group of securities are rising or are expected to rise.

Category: Industry

Acronym: Bullet

Stands For: Key Term

Definition: A security with one principal payment on the workout date.

Category: Industry

Acronym: Bullet Loan

Stands For: Key Term

Definition: A loan (usually short-term) for which the customer pays principal and interest all at once at the o

Category: Industry

Acronym: Bullet Yield

Stands For: Key Term

Definition: A yield that assumes one principal payment on the workout date (no prepayments). A bullet yield

Category: Industry

Acronym: Bundesbank

Stands For: Central Bank in Germany

Definition: Central Bank in Germany

Category: Industry

Acronym: BURC

Stands For: Business Unit Recovery Coordinator

Definition: Business Unit Recovery Coordinator - Role

Category: Corporate

Acronym: Business Project Services

Stands For: Key Term

Definition: See BPS

Category: Technology

Acronym: Business Recovery

Stands For: Key Term

Definition: The plans developed by the business unit, with the guidance of IPS, to maintain critical business

Category: Technology

Acronym: Business Unit Recovery Coordinator

Stands For: Key Term

Definition: Staff member assigned by management to perform tasks related to business recovery planning,

Category: Technology

Acronym: Buy

Stands For: Key Term

Definition: The act of completing a transaction in which an individual takes title to a security, or other negotiable instrument.

Category: Industry

Acronym: BUY-ADJ

Stands For: Adjust Buy Settlement Currency

Definition: MCH Post Type For Adjust Buy Settlement Currency

Category: Accounting

Acronym: Buying In

Stands For: Key Term

Definition: When a broker purchases securities on the open market because their counterparty failed to ma

Category: Industry

Acronym: BWP

Stands For: Botswana Pula

Definition: Currency - Botswana Pula

Category: Currency

Acronym: BYR

Stands For: Belarus Ruble

Definition: Currency - Belarus Ruble

Category: Currency

Acronym: BZD

Stands For: Belize Dollar

Definition: Currency - Belize Dollar

Category: Currency

Acronym: C&CM

Stands For: Credit and Capital Markets

Definition: Credit and Capital Markets [Formerly included in Financial Markets Group (FMG).]

Category: Corporate

Acronym: C2E

Stands For: Commit to Excellence

Definition: Service term related to committing to top notch service

Category: Corporate

Acronym: C3OP

Stands For: Customer Contract Compliance Oversight Policy

Definition: Policy to ensure consistent recordkeeping of customer contracts and to document instances of non-compliance

Category: Regulatory & Legal

Acronym: CA

Stands For: Clearing Agency

Definition: Clearing Agency (a CCP under the SEC registration regime)

Category: Industry

Acronym: CABR

Stands For: Cross Asset Business Requirement

Definition: Extended definition needed

Category: Industry

Acronym: CAC

Stands For: Customer Assistance Center

Definition: Customer Assistance Center, MFIS Help Desk.

Category: Technology

Acronym: Cache

Stands For: Key Term

Definition: When a user closes an application with the Close button, the program removes data from the wi

Category: Industry

Acronym: CAD

Stands For: Canada Dollar

Definition: Currency - Canada Dollar

Category: Currency

Acronym: CADJ

Stands For: Cancel Interest Adjustments

Definition: AcronymCancel Interest Adjustments

Category: Accounting

Acronym: CAE

Stands For: Commercial Account Analysis

Definition: Extended definition needed

Category: Technology

Acronym: CAF/CAS

Stands For: Credit Approval Form/Credit Approval Summary.

Definition: Form/Summary for Credit Approval

Category: Corporate

Acronym: Cage

Stands For: Key Term

Definition: The area in a Bank or Brokerage house where the securities are physically delivered and checked

Category: Industry

Acronym: CAGR

Stands For: Compound Annual Growth Rate

Definition: Measurement of Compound Annual Growth Rate

Category: Finance

Acronym: CAIA

Stands For: Chartered Alternative Investments Analyst

Definition: Professional designation offered by the CAIA Association to investment professionals who comp

Category: Industry

Acronym: CAIL Tandem Terminal

Stands For: Key Term

Definition: System Emulation which emulates a Tandem terminal in the Tandem environment.

Category: Technology

Acronym: Call

Stands For: Key Term

Definition: The right that some bond issuers have to redeem a bond at stated times prior to maturity for a s

Category: Industry

Acronym: Call Date

Stands For: Key Term

Definition: The date(s) on which an issue can redeem a bond prior to maturity. This date can be used in an

Category: Industry

Acronym: Call Feature

Stands For: Key Term

Definition: The terms of the bond contract giving the issuer the right or requiring the issuer to redeem or "call" the bond before maturity.

Category: Industry

Acronym: Call Option

Stands For: Key Term

Definition: A financial instrument that allows the owner the right to buy an underlying security at a specified

Category: Industry

Acronym: Call Price

Stands For: Key Term

Definition: The price(s) at which an issue can redeem a bond prior to maturity. To compensate for the loss

Category: Industry

Acronym: Call Protection

Stands For: Key Term

Definition: The aspects of the call provisions of an issue of callable securities which partially protect an investor

Category: Industry

Acronym: Call Schedule

Stands For: Key Term

Definition: The schedule of call dates and redemption prices for callable securities.

Category: Industry

Acronym: Callable Bond

Stands For: Key Term

Definition: A bond which the issuer is permitted or required to redeem before the stated maturity date at a s

Category: Industry

Acronym: Callable Security

Stands For: Key Term

Definition: Security that the issuer can redeem before maturity on a specific date or set of dates, at specific

Category: Industry

Acronym: Calls

Stands For: Key Term

Definition: Bonds and preferred shares may have a provision which permits the issuer to call them for rede

Category: Industry

Acronym: CalPERS

Stands For: California Public Employees Retirement System

Definition: Serviced by State Street and is the largest public pension system in the nation and provides retirement

Category: Industry

Acronym: CalSTRS

Stands For: California State Teachers Retirement System

Definition: California State Teachers Retirement System- Serviced by State Street

Category: Industry

Acronym: CAM

Stands For: Charitable Asset Management

Definition: Charitable Asset Management [division of State Street Global Advisors (SSgA)].

Category: Corporate

Acronym: CAMS

Stands For: Corporate Action Monitoring System

Definition: The Corporate Action Monitoring System receives data both electronically and manually regarding

Category: Corporate

Acronym: CAMU

Stands For: Corporate Action Monitoring Unit

Definition: State Street's Corporate Action Monitoring Unit.

Category: Corporate

Acronym: Capital

Stands For: Key Term

Definition: Permanent money invested in a business. Can also refer to the long-term assets of a business.

Category: Industry

Acronym: Capital Adequacy

Stands For: Key Term

Definition: This refers to the ratio of capital to lending commitments.

Category: Industry

Acronym: Capital Appreciation

Stands For: Key Term

Definition: As the value of the securities in a portfolio increases, a fund's share price increases, meaning th

Category: Industry

Acronym: Capital Appreciation Fund

Stands For: Key Term

Definition: A mutual fund that seeks maximum capital appreciation through investment in common stocks, o

Category: Industry

Acronym: Capital Gain

Stands For: Key Term

Definition: The difference between an asset's purchased price and selling price, when the difference is pos

Category: Industry

Acronym: Capital Gains Distributions

Stands For: Key Term

Definition: Payments to mutual funds shareholders of their proportionate interests in realized gains from the

Category: Industry

Acronym: Capital Growth

Stands For: Key Term

Definition: An increase in the market value of securities held.

Category: Industry

Acronym: Capital Loss

Stands For: Key Term

Definition: A loss realized when an instrument or asset is sold at a price below its cost.

Category: Industry

Acronym: Capital Market

Stands For: Key Term

Definition: The market where capital funds -- debt (bonds) and equity (stocks) -- are traded.

Category: Industry

Acronym: Capital Shares

Stands For: Key Term

Definition: Capital shares are fund shares, which have been authorized and issued. This includes outstand

Category: Industry

Acronym: Capital Turnover

Stands For: Key Term

Definition: The productivity of capital or sales divided by invested capital.

Category: Industry

Acronym: Capitalization

Stands For: Key Term

Definition: A term used by investment analysts to indicate stockholders' equity plus bonds outstanding. Can

Category: Industry

Acronym: Capstock

Stands For: Key Term

Definition: A State Street term for creations and liquidations of stock in a unitised vehicle (e.g. UT).

Category: Corporate

Acronym: Captain

Stands For: Corporate Action Tracking and Interactive Network

Definition: Application to track notifications, responses, and instructions stemming from changes in valuation

Category: Corporate

Acronym: CAPU

Stands For: Corporate Action Processing Unit

Definition: State Street's Corporate Action Processing Unit

Category: Corporate

Acronym: CAR

Stands For: Acronym needed

Definition: GFAS Portfolio Accounting IBM 36 handles MF, MT, and RIS billing.

Category: Technology

Acronym: CARDS

Stands For: Key Term

Definition: Certificate for Amortizing Revolving Debt. Asset-backed securities which are backed by the pool

Category: Industry

Acronym: CARRA

Stands For: Customer Anti-Money Laundering Risk Rating Application

Definition: Customer Anti-Money Laundering Risk Rating Application

Category: Technology

Acronym: Carry

Stands For: Key Term

Definition: The term financing cost or benefit of the bond; the interest earned from the securities held less t

Category: Industry

Acronym: CARS

Stands For: Custody/Accounting Reconciliation Report

Definition: Custody/Accounting Reconciliation Report (MCH securities recon)

Category: Accounting

Acronym: CART

Stands For: Corporate Action Reasonablity Test

Definition: Assessment of the corporate action NAV impact on the fund

Category: Accounting

Acronym: CAS

Stands For: Corporate Advisory Services

Definition: Extended definition needed

Category: Finance

Acronym: Cash Callable

Stands For: Key Term

Definition: The condition whereby an issuer of a security may redeem the security for cash, as opposed to

Category: Industry

Acronym: Cash Dividend

Stands For: Key Term

Definition: Payment to stockholders of cash income from current or accumulated earnings of the corporation

Category: Industry

Acronym: Cash Equivalent

Stands For: Key Term

Definition: Short term bonds, notes, and repurchase agreements, usually U.S. government backed.

Category: Industry

Acronym: Cash Flow

Stands For: Key Term

Definition: Net cash produced by an asset, as opposed to earnings calculated by accounting rules. For a fix

Category: Industry

Acronym: Cash Inflow

Stands For: Key Term

Definition: Cash coming into the company as the result of a previous investment.

Category: Industry

Acronym: Cash Management Date

Stands For: Key Term

Definition: This means the date, as determined by the Bank from time to time, on which an instruction in re

Category: Industry

Acronym: Cash Movement and Control

Stands For: Key Term

Definition: See CMAC

Category: Technology

Acronym: Cash on Hand

Stands For: Key Term

Definition: Cash from payment of principal, interest, sinking funds, and so on, that has not yet been invested

Category: Industry

Acronym: Cash Outflow

Stands For: Key Term

Definition: Cash invested in a project.

Category: Industry

Acronym: Cash Projection

Stands For: Key Term

Definition: Determining cash availability.

Category: Industry

Acronym: Cash Sweep

Stands For: Key Term

Definition: USFAS cash availability reporting system.

Category: Technology

Acronym: CASS

Stands For: Client Assets Sourcebook

Definition: Client Assets Sourcebook (FCA handbook)

Category: Corporate

Acronym: CAT

Stands For: Complete, Accurate, and Timely

Definition: Complete, Accurate, and Timely- Audit Measurement

Category: Technology

Acronym: CATS

Stands For: Computerized Audit Tracking System

Definition: Computerized Audit Tracking System.

Category: Corporate

Acronym: CAVS

Stands For: Corporate Audio Visual Services

Definition: Corporate function to assist with AV devices and presentations

Category: Corporate

Acronym: CAWS

Stands For: Corporate Action Workflow System

Definition: Corporate Action Workflow System, AKA PEGA.

Category: Corporate

Acronym: CBA

Stands For: Cost Benefit Analysis

Definition: Sometimes called benefit costs analysis (BCA), is a systematic approach to estimating the stren

Category: Finance

Acronym: CBC

Stands For: Central Bank of China - Taiwan

Definition: Central Bank of China - Taiwan

Category: Industry

Acronym: CBDT

Stands For: Cross Border Data Transfers

Definition: The GDPR permits personal data transfers to a third country or international organization subject to certain conditions

Category: Regulatory & Legal

Acronym: CBG

Stands For: Central Billing Group

Definition: Department specialized in billing services.

Category: Corporate

Acronym: CBI

Stands For: Confederation of British Industry

Definition: A UK business organisation, which in total speaks for 190,000 businesses, made up of around 1

Category: Institution or Association

Acronym: CBO

Stands For: Collateralized Bond Obligation

Definition: Collateralized Bond Obligation - Investment Product

Category: Industry

Acronym: CBOE

Stands For: Chicago Board of Options Exchange

Definition: The world's largest options exchange.

Category: Industry

Acronym: CBOT

Stands For: Key Term

Definition: Chicago Board of Trade

Category: Industry

Acronym: CBRC

Stands For: China Banking Regulatory Commission

Definition: China's bank regulatory

Category: Regulatory & Legal

Acronym: CBS

Stands For: Corporate Billing System

Definition: Billing System

Category: Technology

Acronym: CBT

Stands For: Computer Based Training

Definition: Training program utilizing hands on computer training

Category: Technology

Acronym: CCAR

Stands For: Comprehensive Capital Review & Analysis

Definition: Extended definition needed

Category: Finance

Acronym: CCE

Stands For: Compliance & Ethics Committee

Definition: Committee focused on Compliance and Ethics issues

Category: Corporate

Acronym: CCF

Stands For: Computer to computer facility

Definition: Extended definition needed

Category: Technology

Acronym: CCH

Stands For: Commerce Clearing House

Definition: The leading provider of information services, software and workflow tools for tax, accounting, leg

Category: Industry

Acronym: CCM

Stands For: Corporate Continuity Manager

Definition: Corporate Continuity Manager; Business Contingency Planning role; consultant to business unit

Category: Corporate

Acronym: CCMR

Stands For: Committee on Capital Markets Regulation

Definition: Dedicated to developing efficient capital markets and ensuring the stability of the financial system

Category: Institution or Association

Acronym: CCMS

Stands For: Change control management system

Definition: change control management system (historically, Customer Custody Management System)

Category: Technology

Acronym: CCO

Stands For: Chief Compliance Officer

Definition: Chief Compliance Officer - Position

Category: Industry

Acronym: CCP

Stands For: Central Counterparty

Definition: A corporate entity that reduces counterparty, operational, settlement, market, legal and default r

Category: Industry

Acronym: CCR

Stands For: Change Control Request

Definition: A request to change something in the system

Category: Industry

Acronym: CCRUI

Stands For: Client Cross-Reference User Interface

Definition: Client Cross-Reference User Interface; what the customer (World Bank) sees

Category: Technology

Acronym: CCT

Stands For: Cash Custody Trades

Definition: Cash, Custody & Trades - separating into COEs - Cash, Trades with GTP

Category: Corporate

Acronym: CCW

Stands For: Custody Control Workstation (application)

Definition: Extended definition needed

Category: Technology

Acronym: CCX

Stands For: Currenex

Definition: A market-leading technology provider offering the FX community high-performance technology a

Category: Industry

Acronym: CD

Stands For: Key Term

Definition: Certificate of deposit. A form of time deposit that cannot be withdrawn before a specified maturity

Category: Industry

Acronym: CDD

Stands For: Customer Due Diligence

Definition: Customer or Client Due Diligence

Category: Industry

Acronym: CDIC

Stands For: Canadian Deposit Insurance Corporation

Definition: Canadian federal Crown corporation created by Parliament in 1967

Category: Industry

Acronym: CDO

Stands For: Collateralized Debt Obligation

Definition: Assets such as mortgage bonds and buyout loans that have been repackaged into new securities

Category: Industry

Acronym: CDP

Stands For: Circuit disconnect process

Definition: Extended definition needed

Category: Technology

Acronym: CDR

Stands For: Central Data Repository

Definition: Extended definition needed

Category: Technology

Acronym: CDS

Stands For: Client Destination Site

Definition: Client portal for access to State Street

Category: Corporate

Acronym: CE

Stands For: Continental Europe

Definition: Counties within continental Europe

Category: Industry

Acronym: CE (Core Week)

Stands For: Continuing Education

Definition: Core Week - first week of NET; financial basics, no system/platform specifics

Category: Human Resources

Acronym: CEA

Stands For: Commodity and Exchange Act

Definition: US Commodity and Exchange Act

Category: Regulatory & Legal

Acronym: CEBS

Stands For: Committee of European Banking Supervisors

Definition: Was an independent advisory group on banking supervision in the European Union (EU). Now E

Category: Institution or Association

Acronym: CEC

Stands For: Compliance & Ethics Committee

Definition: Committee focused on Compliance and Ethics

Category: Compliance & Risk

Acronym: CED

Stands For: Capital Equivalency Deposit

Definition: A deposit required for a federal branch or agency. A CED serves the public interest, protects de

Category: Industry

Acronym: Cedel

Stands For: Centrale de Livraison de Valeurs Mobilieres

Definition: (Centrale de Livraison de Valeurs Mobilieres) second major depository for international trading,

Category: Industry

Acronym: CEIOPS

Stands For: Committee of European Insurance and Occupational Pensions Supervisors

Definition: Extended definition needed

Category: Industry

Acronym: CEN

Stands For: Credit Event Notice

Definition: It is the defining trigger in a credit derivative contract, or credit default swap. If the borrower experiences a credit event, the contract is triggered.

Category: Industry

Acronym: Central Bank

Stands For: Key Term

Definition: A countries chief regulatory bank, which acts as the governments banker and which is usually g

Category: Industry

Acronym: CERT

Stands For: Computer Emergency Response Team

Definition: Extended definition needed

Category: Technology

Acronym: Certificate Numbers

Stands For: Key Term

Definition: Unique identification number of the security that is owned.

Category: Industry

Acronym: Certificates of Deposit

Stands For: Key Term

Definition: Negotiable certificates of indebtedness issued by a commercial bank to repay funds deposited w

Category: Industry

Acronym: CERTMASTER

Stands For: Certificate Master

Definition: A system which is similar to BONDMASTER but is used for short-term debt.

Category: Technology

Acronym: CESR

Stands For: Committee of European Securities Regulators

Definition: Was an independent committee of European Securities regulators in the Lamfalussy process es

Category: Industry

Acronym: CEXR

Stands For: Change Exchange Rate

Definition: MCH Code For Exchange Rate Function Change Exchange Rate

Category: Accounting

Acronym: CF1

Stands For: Control Function 1

Definition: Control Function 1 Director function (FCA Governing Function)

Category: Regulatory & Legal

Acronym: CF10

Stands For: Control Function 10

Definition: Controlled Function 10 Compliance oversight function (FCA required function)

Category: Regulatory & Legal

Acronym: CF10a

Stands For: Control Function 10a

Definition: Controlled Function 10a CASS operational oversight function (FCA required function)

Category: Regulatory & Legal

Acronym: CF11

Stands For: Control Function 11

Definition: Money Laundering Reporting Officer (FCA Approved Person- same as MLRO)

Category: Regulatory & Legal

Acronym: CF2

Stands For: Control Function 2

Definition: Control Function 2 Non-executive director function (FCA Governing Function)

Category: Regulatory & Legal

Acronym: CF29

Stands For: Control Function 29

Definition: Control Function 29 Significant management function (FCA Significant management function)

Category: Regulatory & Legal

Acronym: CF3

Stands For: Control Function 3

Definition: Controlled Function 3 Chief executive function (FCA Governing Functions)

Category: Regulatory & Legal

Acronym: CF30

Stands For: Control Function 30

Definition: Controlled Function 30 Customer function (FCA Customer-dealing functions)

Category: Regulatory & Legal

Acronym: CF4

Stands For: Controlled Function 4

Definition: Controlled Function 4 Partner function (FCA Governing Functions)

Category: Regulatory & Legal

Acronym: CFA

Stands For: Chartered Financial Analyst

Definition: A professional designation for someone who has passed a series of tests in economics, account

Category: Industry

Acronym: CFD

Stands For: Contract for Difference

Definition: A contract between two parties, typically described as "buyer" and "seller", stipulating that the se

Category: Industry

Acronym: CFDS

Stands For: Canadian Financial Data Services

Definition: Canadian Financial Data Services, Toronto, Canada - Subsidiary of BFDS.

Category: Industry

Acronym: CFI

Stands For: Classification of Financial Instruments

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: CFO

Stands For: Chief Financial Officer

Definition: The company executive responsible for financial planning and record-keeping.

Category: Industry

Acronym: CFT

Stands For: Countering the Financing of Terrorism

Definition: Regulatory action focused on ensuring terrorist financing is not transacted or enabled through the

Category: Regulatory & Legal

Acronym: CFTC

Stands For: Commodities Futures Trading Commission

Definition: U.S Commodities Futures Trading Commission. US futures and options regulator

Category: Industry

Acronym: CHAP

Stands For: Challenge handshake protocol

Definition: Extended definition needed

Category: Technology

Acronym: CHAPS

Stands For: Key Term

Definition: Within the UK, CHAPS (Clearing House Automated Payment System) is a system of same-day

Category: Industry

Acronym: Cheapest to Deliver

Stands For: Key Term

Definition: The bond from among the deliverable bonds which has the highest implied repo rate associated

Category: Industry

Acronym: Check

Stands For: Key Term

Definition: A draft drawn on a bank and payable on demand any time on or after the date indicated. (Debit

Category: Technology

Acronym: Check Reconcilement

Stands For: Key Term

Definition: The Check Reconcilement system provides account balance and issue status information at pre

Category: Technology

Acronym: CHF

Stands For: Switzerland Franc

Definition: Currency - Switzerland Franc

Category: Currency

Acronym: China, CTO & Global Markets (CCG)

Stands For: Key Term

Definition: Enterprise Information System.

Category: Technology

Acronym: China, CTO & Global Markets (CCG)/ESSBASE

Stands For: Key Term

Definition: SSC's Corporate Information Accounting System.

Category: Technology

Acronym: CHIPS

Stands For: ClearingHouse Inter-bank Payment System

Definition: An electronic payments system that transfers funds and settles transactions in U.S. dollars

Category: Technology

Acronym: CHIPS Participants

Stands For: Key Term

Definition: The 94 banks that actually participate in the clearing of CHIPS payments. They are identified us

Category: Industry

Acronym: CHIPS UID

Stands For: Key Term

Definition: CHIPS Universal Identifier Number is a unique six-digit number used to identify financial institutions

Category: Industry

Acronym: CIBC

Stands For: Canadian Imperial Bank of Commerce

Definition: Canadian Bank

Category: Industry

Acronym: CIC

Stands For: China Investment Corporation

Definition: Sovereign wealth fund responsible for managing part of China's foreign exchange reserves

Category: Industry

Acronym: CICI

Stands For: CFTC Interim Compliant Identifier

Definition: CFTC Interim Compliant Identifier (interim LEI)

Category: Industry

Acronym: CICS

Stands For: Customer Information Control System

Definition: IBM operating system software that supports on-line transaction processing. IBMs MVS online t

Category: Technology

Acronym: CID

Stands For: Customer Identification Documentation

Definition: Documentation to prove customers' identity

Category: Industry

Acronym: CIE

Stands For: Acronym needed

Definition: A server at Fiserv that sends image transmissions to the Atlanta image archive and to State Street

Category: Technology

Acronym: CIF

Stands For: Collective Investment Fund

Definition: Extended definition needed

Category: Industry

Acronym: CIO

Stands For: Chief Information Officer

Definition: The executive responsible for a firm's technology activities.

Category: Industry

Acronym: CIOC

Stands For: Compliance Issue Oversight Committee

Definition: Committee Focused on Compliance Issues

Category: Compliance & Risk

Acronym: CIR

Stands For: Committed information rate

Definition: Amount of bandwidth guaranteed to customer, w/ additional amounts possible on an availability

Category: Technology

Acronym: CIRC

Stands For: China Insurance Regulatory Commission

Definition: China's insurance regulator

Category: Regulatory & Legal

Acronym: CIS

Stands For: Client Information Services

Definition: Services unit focusing on Client Information

Category: Technology

Acronym: Cisco Router

Stands For: Key Term

Definition: A device that examines the destination address of a message and selects the most effective route

Category: Technology

Acronym: CISPO

Stands For: Corporate Information Security and Privacy Office

Definition: Function specializing in Corporate Information Security and Privacy

Category: Corporate

Acronym: CIT

Stands For: Collective Investment Trust

Definition: Extended definition needed

Category: Industry

Acronym: CLASS

Stands For: Commercial Loan Accounting Support System.

Definition: Extended definition needed

Category: Technology

Acronym: Class +

Stands For: Key Term

Definition: Class of OTC Derivatives subject to the clearing obligation

Category: Corporate

Acronym: Classes of Stock

Stands For: Key Term

Definition: A company may have several classes of stock such as common, preferred, cumulative preferred

Category: Industry

Acronym: Classified Common Stock

Stands For: Key Term

Definition: Division of common stock into classes to denote controlling or voting power.

Category: Industry

Acronym: Cleared

Stands For: Key Term

Definition: The state of an alert that has been resolved either through validation of a resolution or by the sy

Category: Industry

Acronym: Clearing

Stands For: Key Term

Definition: The post-trade and pre-settlement matching of trade details for purpose of confirming the trade a

Category: Industry

Acronym: Clearing Bank

Stands For: Key Term

Definition: A bank that is responsible for the transfer of cash and securities on behalf of dealer banks for se

Category: Industry

Acronym: Clearing Broker

Stands For: Key Term

Definition: A broker who clears and settles trades on behalf of an executing broker.

Category: Industry

Acronym: Clearing Broker/Institution

Stands For: Key Term

Definition: A legal entity that is assigned by the executing broker to settle a trade with the buyer and the se

Category: Industry

Acronym: Clearing House

Stands For: Key Term

Definition: An organization established by banks in the same locality, through which checks and other instr

Category: Technology

Acronym: CLEC

Stands For: Competitive Local Exchange Carrier

Definition: Extended definition needed

Category: Technology

Acronym: Client Firm

Stands For: Key Term

Definition: A firm that you have authorized to see your offerings, portfolios, or prices.

Category: Industry

Acronym: Client Service Workstation

Stands For: Key Term

Definition: See CSW

Category: Technology

Acronym: CLIO

Stands For: Key Term

Definition: TA price publication system. Prices are loaded from MCH (Fund Accounting System) into Clio and

Category: Industry

Acronym: CLO

Stands For: Collateralised Loan Obligation

Definition: Collateralised Loan Obligation - Investment Product

Category: Industry

Acronym: Closed-End Fund

Stands For: Key Term

Definition: Mutual funds where the number of shares available for trading is fixed.

Category: Industry

Acronym: Closed-end Investment Company

Stands For: Key Term

Definition: An investment company issuing a limited number of shares. Once these shares are sold, the fund

Category: Industry

Acronym: Closed-Up Fund

Stands For: Key Term

Definition: An open-end mutual fund that has (temporarily) suspended sale of shares to new accounts. Out

Category: Industry

Acronym: Closing Valuation

Stands For: Key Term

Definition: The value of a security as of a specified date. The value is expressed as a percentage of the se

Category: Industry

Acronym: CLP

Stands For: Chile Peso

Definition: Currency- Chile Peso

Category: Currency

Acronym: CLS

Stands For: Continuous Linked Settlement

Definition: Initiative was established by banks to eliminate the settlement risks associated with foreign exchange

Category: Industry

Acronym: CM

Stands For: Currency Management

Definition: The implementation of strategies to limit a company or institution's exposure to currency risk

Category: Industry

Acronym: CMAC

Stands For: Cash Movement and Control/Cash Movement and Control

Definition: Cash movement and control CMAC was developed to monitor and control daylight overdrafts. S

Category: Corporate

Acronym: CMBS

Stands For: Commercial Mortgage Backed Securities

Definition: Type of mortgage-backed security backed by mortgages on commercial rather than residential r

Category: Industry

Acronym: CMC

Stands For: Communication Management Complex

Definition: Extended definition needed

Category: Technology

Acronym: CME

Stands For: Chicago Mercantile Exchange

Definition: An American financial and commodity derivative exchange based in Chicago

Category: Industry

Acronym: CMO

Stands For: Collateralized Mortgage Obligation

Definition: A type of debt security- Investment Product

Category: Industry

Acronym: CMO Pricing Date

Stands For: Key Term

Definition: The date on which the structure and price of a CMO was determined. The pricing date appears

Category: Industry

Acronym: CMO Trustee

Stands For: Key Term

Definition: An organization, usually an investment bank, that holds the CMO's assets--for example, the cert

Category: Industry

Acronym: CMOD

Stands For: Content Manager on Demand

Definition: Application to retrieve stored accounting and custody reports

Category: Corporate

Acronym: CMOS

Stands For: Complimentary Metal Oxide Semiconductor

Definition: Extended definition needed

Category: Technology

Acronym: CMP

Stands For: Compliance Monitoring Programme

Definition: Extended definition needed

Category: Corporate

Acronym: CMRS

Stands For: Compliance Management and Reporting System

Definition: Extended definition needed

Category: Corporate

Acronym: CMS

Stands For: Cash Monitoring/Management System

Definition: System to track cash deposits

Category: Technology

Acronym: CMSF

Stands For: Conference of Major Superannuation Funds

Definition: Idea-sharing and networking event for Australia's not-for-profit superannuation sector.

Category: Industry

Acronym: CMT

Stands For: Canadian Management Team

Definition: An executive committee providing leadership to State Street employees in Canada, to oversee t

Category: Corporate

Acronym: CMW

Stands For:

Definition: Collateral Management Workstation (vendor product)

Category:

Acronym: CNAV

Stands For: Constant Net Asset Value

Definition: Measurement of Constant Net Asset Value

Category: Finance

Acronym: CNH

Stands For: "CN" for China; "H" for Hong Kong

Definition: Offshore bonds issued in local Chinese currency.

Category: Industry

Acronym: CNS

Stands For: Continuous Net Settlement

Definition: Extended definition needed

Category: Industry

Acronym: CNY

Stands For: China Yuan Renminbi

Definition: Currency - China Yuan Renminbi

Category: Currency

Acronym: CO

Stands For: Compliance Officer

Definition: Compliance Officer - Role

Category: Compliance & Risk

Acronym: COA

Stands For: Chart of Accounts

Definition: Account structure used to record transactions and maintain account balances (Global Chart of A

Category: Accounting

Acronym: CoAc

Stands For: Corporate Action (AKA CA)

Definition:

Category:

Acronym: COB

Stands For: Close of Business

Definition: End of Business Day

Category: Industry

Acronym: COBS

Stands For: Conduct Of Business Rules

Definition: Conduct Of Business Rules (FCA Handbook)

Category: Industry

Acronym: CoC

Stands For: Change of Control

Definition: Extended definition needed

Category: Technology

Acronym: COE

Stands For: Centers Of Excellence

Definition: Task focused team structure specializing in a core process

Category: Corporate

Acronym: COFI

Stands For: Key Term

Definition: "Cost of Funds Index." Two are in common use: The Monthly 11th District Cost of Funds Index i

Category: Industry

Acronym: COFIA

Stands For: Classes of Financial Instrument Approach

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: COLD

Stands For: Computer Output to Laser Disk

Definition: Mainframe based storage, retrieval and viewing system

Category: Technology

Acronym: Cold Fusion

Stands For: Key Term

Definition: A database access for the Apache web server

Category: Technology

Acronym: Collaborate

Stands For: Key Term

Definition: Media platform connecting employees worldwide

Category: Finance

Acronym: Collar

Stands For: Key Term

Definition: Also called "band." For a CMO, the highest and lowest prepayment speeds within which a PAC

Category: Industry

Acronym: Collateral

Stands For: Key Term

Definition: Any asset that is pledged by the debt issuer until a loan is repaid. If the debt issuer defaults on the

Category: Industry

Acronym: Collateral Amount

Stands For: Key Term

Definition: The required equivalent currency amount of the pledged assets that are segregated as collateral

Category: Industry

Acronym: Collateral Factor

Stands For: Key Term

Definition: The amount of collateral required per contract for a future or option.

Category: Industry

Acronym: Collateral haircut Percentage

Stands For: Key Term

Definition: Securities industry term referring to the formulas used in the valuation of securities for the purpose of determining the haircut to be applied to the collateral.

Category: Industry

Acronym: Collateral Required

Stands For: Key Term

Definition: Various types of securities require some sort of Collateral. For example, Currency Spots and Fo

Category: Industry

Acronym: Collateral Type

Stands For: Key Term

Definition: The required type of collateral being pledged by a fund. (e.g., cash, securities)

Category: Industry

Acronym: Collateralised Mortgage Agreement

Stands For: Key Term

Definition: A security collateralised with mortgages or mortgage backed securities.

Category: Industry

Acronym: Collateralized Mortgage Obligation

Stands For: Key Term

Definition: See CMO

Category: Technology

Acronym: Collateralized Mortgage Obligation (CMO)

Stands For: Key Term

Definition: A mortgage-backed bond that separates mortgage pools into different maturity classes called tranches

Category: Industry

Acronym: Collected/Available Balance

Stands For: Key Term

Definition: This is the balance available on an account incorporating all cleared items but not uncleared items

Category: Industry

Acronym: Co-Manager

Stands For: Key Term

Definition: For CMOs, a term used when there is more than one manager in the syndicate. Co-managers a

Category: Industry

Acronym: Commerce Clearing House

Stands For: Key Term

Definition: See CCH

Category: Technology

Acronym: Commercial Paper

Stands For: Key Term

Definition: Securities to finance short-term credit needs in the form of unsecured, short-term promissory no

Category: Industry

Acronym: Commission

Stands For: Key Term

Definition: A fee that is paid to the broker for executing a trade. Trades on fixed income securities do not in

Category: Industry

Acronym: COMMISSION CHARGE

Stands For: Key Term

Definition: Certain funds allow agents or brokers to charge commissions for investors whom they introduce

Category: Industry

Acronym: Commitment Expiry Date

Stands For: Key Term

Definition: The commitment expiry date occurs when the Bank's obligation to provide a facility under the te

Category: Industry

Acronym: Committed Facility/Commitment

Stands For: Key Term

Definition: A written agreement between the Bank and the client that establishes the terms and conditions of

Category: Industry

Acronym: Committee on Uniform Securities Identification Procedures.

Stands For: Key Term

Definition: See CUSIP

Category: Technology

Acronym: Commodities

Stands For: Key Term

Definition: Tangible assets such as pork bellies, wheat, gold, or silver. They are bought and sold in a cash

Category: Industry

Acronym: Commodities Futures Contracts

Stands For: Key Term

Definition: A document in which an investor agrees to buy or sell a specific amount of a commodity on a fut

Category: Industry

Acronym: Common

Stands For: Key Term

Definition: A multi-region operation (MRO) system containing Multi-currency Horizon general use, reference

Category: Technology

Acronym: Common Region

Stands For: Key Term

Definition: A multi-region operation system containing Multi-Currency Horizon for general use, reference, a

Category: Technology

Acronym: Common Shares Outstanding

Stands For: Key Term

Definition: The number of a corporation's authorized shares held by investors, including corporate officers.

Category: Industry

Acronym: Common Size Analysis

Stands For: Key Term

Definition: Analysis which expresses each expense on the income statement as a percentage of total revenue

Category: Industry

Acronym: Common Stock

Stands For: Key Term

Definition: A security representing (partial) ownership of a company's assets. Common stock shareholders

Category: Industry

Acronym: Common Stock Fund

Stands For: Key Term

Definition: A mutual fund whose portfolio consists primarily of common stocks. Also called "Growth Funds"

Category: Industry

Acronym: Common Stock Unit

Stands For: Key Term

Definition: A combination of more than one equity issue sold and traded as a package, usually a combination of common stock and preferred stock.

Category: Industry

Acronym: Common Stocks

Stands For: Key Term

Definition: Stocks represent a share in the ownership of a particular company. If the company does well, the

Category: Industry

Acronym: Communications Hub

Stands For: Key Term

Definition: Central device for communications lines. It may add nothing to the transmission or may contain

Category: Technology

Acronym: Company Location

Stands For: Key Term

Definition: General address and location of the security issuer that is used for reporting purposes

Category: Industry

Acronym: Company Name

Stands For: Key Term

Definition: The name of the company that issues a security. For any given security, there could be several

Category: Industry

Acronym: Comparison System

Stands For: Key Term

Definition: A system which automatically matches the details of trades between two counter-parties and co

Category: Industry

Acronym: COMPASS

Stands For: Complete Online Method of Portfolio Accounting & Safekeeping of Securities

Definition: An old, non-Y2K-compliant mainframe application that was replaced by SEIs Trust 3000. Person

Category: Technology

Acronym: Composite

Stands For: Key Term

Definition: A group of individual portfolios, retaining their original attributes, that are treated as a single port

Category: Industry

Acronym: Compound frequency

Stands For: Key Term

Definition: This determines how often interest is compounded.

Category: Industry

Acronym: Compound Interest

Stands For: Key Term

Definition: Interest earned on interest as well as on principal payments.

Category: Industry

Acronym: Computing Services

Stands For: Key Term

Definition: Operation of technological solutions used by customers.

Category: Technology

Acronym: Concentration

Stands For: Key Term

Definition: Number of firms in an industry, measured by the number of firms producing fifty percent of output

Category: Industry

Acronym: Conditional Call

Stands For: Key Term

Definition: Any condition described in the prospectus that allows the issuer to call a bond. Typical condition

Category: Industry

Acronym: Conditional Prepayment Rate

Stands For: Key Term

Definition: Also "CPR." For mortgage-backed securities, the annualized principal repayments in excess of n

Category: Industry

Acronym: Confirmation

Stands For: Key Term

Definition: The process of the broker confirming to the bank and DTC that a trade has taken place involving

Category: Industry

Acronym: Connect:Direct

Stands For: Key Term

Definition: formerly known as NDM (Network Data Mover)

Category: Technology

Acronym: Constant Maturity Treasury

Stands For: Key Term

Definition: Abbreviated "CMT." A set of indexes based on the average yields of the nine Treasury securities

Category: Industry

Acronym: Constant OAS

Stands For: Key Term

Definition: A horizon pricing method that assumes that the option-adjusted spread remains constant from th

Category: Industry

Acronym: Constant Spread

Stands For: Key Term

Definition: A horizon pricing method that assumes that the nominal spread remains constant from the trade

Category: Industry

Acronym: Consumer Price Index

Stands For: Key Term

Definition: Abbreviated "CPI." A measure of changes in consumer prices, as determined monthly by the U.

Category: Industry

Acronym: Contingent Deferred Sales Charge

Stands For: Key Term

Definition: A redemption charge imposed on shares redeemed within a specified period following their purchase

Category: Industry

Acronym: Continuous Linked Settlement (CLS)

Stands For: Key Term

Definition: An initiative to develop a foreign exchange settlement system to reduce bank and systemic risk.

Category: Industry

Acronym: Continuous Net Settlement

Stands For: Key Term

Definition: A settlement system where all pending settlements in a given security are continuously combined

Category: Industry

Acronym: Continuous Update Availability

Stands For: Key Term

Definition: The concept whereby new records or transactions continue to be accepted by the system while

Category: Industry

Acronym: Contract Note

Stands For: Key Term

Definition: An acknowledgement sent to a shareholder after each account transaction (purchase, sale etc)

Category: Industry

Acronym: Contract Unit

Stands For: Key Term

Definition: The quantity of an underlying asset that is represented by one contract. For example, an option

Category: Industry

Acronym: Contractual Settlement Date

Stands For: Key Term

Definition: The date which an executed trade must be settled, either by delivery of cash or securities. The s

Category: Industry

Acronym: Contrarian

Stands For: Key Term

Definition: An investor that buys out of favour or sells popular securities, in other words behaving contrary to

Category: Industry

Acronym: Contributed Capital

Stands For: Key Term

Definition: Direct method of raising equity by issuing stock. The amount of money raised from stockholders

Category: Industry

Acronym: Contribution Margin Per Unit

Stands For: Key Term

Definition: Equals revenue per unit minus variable costs per unit.

Category: Industry

Acronym: Control Slip

Stands For: Key Term

Definition: A MICR encoded document that contains control information. It contains the amount of checks o

Category: Technology

Acronym: Conversant

Stands For: Key Term

Definition: Add on announcement system used by ACDs.

Category: Technology

Acronym: Conversion

Stands For: Key Term

Definition: When one corporation's shares are converted for another.

Category: Industry

Acronym: Conversion Date

Stands For: Key Term

Definition: The date in which a holder of a convertible corporate bond may exchange the bond for the issuer's common stock.

Category: Industry

Acronym: Conversion Feature

Stands For: Key Term

Definition: A right given to an owner to exchange a bond or preferred stock for a company's underlying stock

Category: Industry

Acronym: Conversion Ratio/Factor

Stands For: Key Term

Definition: The amount of equity shares that may be received upon redemption of the bond or preferred stock

Category: Industry

Acronym: Convertible Bond

Stands For: Key Term

Definition: A corporate bond, usually a junior subordinated debenture, that can be exchanged for shares of

Category: Industry

Acronym: Convertible Preferred Stock

Stands For: Key Term

Definition: A type of preferred stock that is convertible to a given number of shares of common stock.

Category: Industry

Acronym: Convertible Security

Stands For: Key Term

Definition: A security that can be exchanged, at a specified price, for shares of the issuer's stock.

Category: Industry

Acronym: Convexity

Stands For: Key Term

Definition: Measure of the curvature of the price-yield relationship of a fixed-income security. Any fixed-income security with a non-linear relationship between price and yield is said to have convexity. Convexity is a measure of the curvature of the price-yield relationship of a fixed-income security. Any fixed-income security with a non-linear relationship between price and yield is said to have convexity.

Category: Industry

Acronym: Convexity Cost

Stands For: Key Term

Definition: For mortgage securities, an adjustment to the cash flow yield that takes into account any options

Category: Industry

Acronym: COO

Stands For: Chief Operating Officer

Definition: The executive responsible for day-to-day management of a company.

Category: Industry

Acronym: COP

Stands For: Colombia Peso

Definition: Currency - Colombia Peso

Category: Currency

Acronym: COPS

Stands For: Acronym needed

Definition: Security jobs that run across the environment.

Category: Technology

Acronym: CORE

Stands For: Key Term

Definition: Mainframe MVS systems.

Category: Technology

Acronym: CORE or Core

Stands For: Key Term

Definition: State Street business unit; not an acronym

Category: Technology

Acronym: COREPER

Stands For: Acronym needed

Definition: The Permanent Representatives Committee or COREPER (Art.240 TFEU)

Category: Corporate

Acronym: CORPORATE ACTION

Stands For: Key Term

Definition: A term used to refer to any action involving a company's issued security other than market trading

Category: Industry

Acronym: Corporate Actions

Stands For: Key Term

Definition: Restructuring the ownership of the company generally through an action such a stock split or co

Category: Industry

Acronym: Corporate Bond

Stands For: Key Term

Definition: A corporation-issued, long-term debt instrument as opposed to a bond issued by a government

Category: Industry

Acronym: Corporate Bond funds: general

Stands For: Key Term

Definition: Funds seek a high level of income by investing two thirds or more of the portfolio in corporate bo

Category: Industry

Acronym: Corporate Bond funds: intermediate term

Stands For: Key Term

Definition: Funds seek a high level of income with two thirds or more of the portfolio invested at all time in c

Category: Industry

Acronym: Corporate Bond funds: short term

Stands For: Key Term

Definition: Funds seek a high level of income with two thirds or more of the portfolio invested at all time in c

Category: Industry

Acronym: Correlation Measures

Stands For: Key Term

Definition: Measures that show the validity of a comparison to a benchmark index based on the historical re

Category: Industry

Acronym: CORRESPONDENT

Stands For: Key Term

Definition: A financial organization which offers services to another in a market or location to which the other

Category: Industry

Acronym: Correspondent Banking

Stands For: Key Term

Definition: A banking arrangement where one bank acts as a banker for another bank. Correspondent Bank

Category: Industry

Acronym: COS

Stands For: Currency Option System

Definition: System for Currency Options

Category: Technology

Acronym: COSO

Stands For: Committee of Sponsoring Organizations

Definition: Corporate Audit uses a risk-based audit approach based on the Framework developed by the C

Category: Institution or Association

Acronym: Cost

Stands For: Key Term

Definition: The amount of a trade that is defined as $\text{Quantity} \times \text{Price}$. see Identified Cost, Average Cost, An

Category: Industry

Acronym: Cost Leadership

Stands For: Key Term

Definition: A strategy which emphasizes low costs, low prices and high volumes to attract customers.

Category: Industry

Acronym: Cost of Goods Sold

Stands For: Key Term

Definition: The level of expenditure on the production of goods and services which were sold (not produced

Category: Industry

Acronym: COTS

Stands For: Commercial Off-The-Shelf

Definition: Satisfy the needs of the purchasing organization, without the need to commission custom-made

Category: Industry

Acronym: COU

Stands For: Central Operating Unit

Definition: Extended definition needed

Category: Industry

Acronym: COUNTERPARTY

Stands For: Key Term

Definition: One party to a trade or transaction. Each transaction has two counterparties, the deliverer and t

Category: Industry

Acronym: Counterparty Rating

Stands For: Key Term

Definition: A credit rating given to the counterparty of a trade (e.g., broker) to measure the amount of settle

Category: Industry

Acronym: Country Code

Stands For: Key Term

Definition: Identifies the country from which a security was issued.

Category: Industry

Acronym: Coupon

Stands For: Key Term

Definition: 1.The periodic interest payment on a security paid by the issuer to the holder. Coupon is quoted

Category: Industry

Acronym: Coupon Frequency

Stands For: Key Term

Definition: The number of interest payments made annually.

Category: Industry

Acronym: Coupon Index

Stands For: Key Term

Definition: Statistical composite that measures changes in the economy or in financial markets, often expressed as a percentage

Category: Industry

Acronym: Coupon Rate

Stands For: Key Term

Definition: The interest rate on a fixed income security that the issuer promises to pay the owner until matu

Category: Industry

Acronym: Coupon Reset Date

Stands For: Key Term

Definition: The date in which the coupon rate of a variable or floating rate security is adjusted.

Category: Industry

Acronym: Coupon Reset Frequency

Stands For: Key Term

Definition: The annual frequency indicating how often a variable or floating rate is adjusted.

Category: Industry

Acronym: Coupon Terms

Stands For: Key Term

Definition: The payment frequency (e.g., annual, semiannual, or monthly) and interest calculation convention

Category: Industry

Acronym: Coupon Type

Stands For: Key Term

Definition: This identifies if the security pays a fixed, floating or variable interest rate to owners.

Category: Industry

Acronym: Coupon Yield

Stands For: Key Term

Definition: (see Nominal Yield)

Category: Industry

Acronym: Courier

Stands For: Key Term

Definition: software distribution product; part of TME10 suite

Category: Technology

Acronym: COURT of PROTECTION

Stands For: Key Term

Definition: A court of protection is an order issued by a court if a person becomes mentally incapable of de

Category: Industry

Acronym: CP

Stands For: Consultation Paper

Definition: Consultant analysis

Category: Industry

Acronym: CPAB

Stands For: Corporate Portal Advisory Board

Definition: Extended definition needed

Category: Technology

Acronym: CPC

Stands For: Contingency Planning Coordinator

Definition: A designated role within each business unit; now known as Business Continuity Manager (BCM)

Category: Corporate

Acronym: CPCS

Stands For: Check Processing Control System

Definition: This is the proprietary IBM Check Processing/Item Processing System including software and hardware

Category: Technology

Acronym: CPCS Number

Stands For: Check Processing Control System Number

Definition: This is the old name for the DIN (Document Identification Number). See CPCS, DIN.

Category: Technology

Acronym: CPD

Stands For: Controller of Public Debt

Definition: Controller of Public Debt, US treasury instruments bills, notes, etc.

Category: Industry

Acronym: CPE (Core Week)

Stands For: Continuing Professional Education

Definition: Core Week - first week of NET; financial basics, no system/platform specifics

Category: Human Resources

Acronym: CPF

Stands For: CICS Print Facility

Definition: Extended definition needed

Category: Technology

Acronym: CPI

Stands For: Consumer Price Index

Definition: Published by the US Department of Labor, the CPI gauges inflation through a monthly measure

Category: Industry

Acronym: CPO

Stands For: Chief Privacy Officer

Definition: Chief Privacy Officer - Role

Category: Corporate

Acronym: CPR

Stands For: Capital Plan Review

Definition: Extended definition needed

Category: Finance

Acronym: CPSL

Stands For: Corporate Actions File by Cusip Number

Definition: MCH Code For Function Corporate Actions File by Cusip Number

Category: Accounting

Acronym: CPSTKCL

Stands For: Dividend Closeout/Payment

Definition: MCH Post Type For Dividend Closeout/Payment

Category: Accounting

Acronym: CPSTKCLC

Stands For: Dividend Closeout/Payment Reversal

Definition: MCH Post Type For Dividend Closeout/Payment Reversal

Category: Accounting

Acronym: CPSTKDB

Stands For: Capstock Cash Disbursement

Definition: MCH Post Type For Capstock Cash Disbursement

Category: Accounting

Acronym: CPSTKDBC

Stands For: Capstock Cash Disbursement Reversal

Definition: MCH Post Type For Capstock Cash Disbursement Reversal

Category: Accounting

Acronym: CPSTKDD

Stands For: Income/Capital Gain Dividend Distribution

Definition: MCH Post Type For Income/Capital Gain Dividend Distribution

Category: Accounting

Acronym: CPSTKDDC

Stands For: Income/Capital Gain Dividend Dist Reversal

Definition: MCH Post Type For Income/Capital Gain Dividend Dist Reversal

Category: Accounting

Acronym: CPSTKDV

Stands For: Dividend Reinvestment

Definition: MCH Post Type For Dividend Reinvestment

Category: Accounting

Acronym: CPSTKDVC

Stands For: Dividend Reinvestment Reversal

Definition: MCH Post Type For Dividend Reinvestment Reversal

Category: Accounting

Acronym: CPSTKER

Stands For: Capstock Estimate Redemption

Definition: MCH Post Type For Capstock Estimate Redemption

Category: Accounting

Acronym: CPSTKERC

Stands For: Capstock Estimate Redemption Reversal

Definition: MCH Post Type For Capstock Estimate Redemption Reversal

Category: Accounting

Acronym: CPSTKES

Stands For: Capstock Estimate Subscription

Definition: MCH Post Type For Capstock Estimate Subscription

Category: Accounting

Acronym: CPSTKESC

Stands For: Capstock Estimate Subscription Reversal

Definition: MCH Post Type For Capstock Estimate Subscription Reversal

Category: Accounting

Acronym: CPSTKRC

Stands For: Capstock Cash Receipt

Definition: MCH Post Type For Capstock Cash Receipt

Category: Accounting

Acronym: CPSTKRCC

Stands For: Capstock Cash Receipt Reversal

Definition: MCH Post Type For Capstock Cash Receipt Reversal

Category: Accounting

Acronym: CPSTKRD

Stands For: Capstock Redemption

Definition: MCH Post Type For Capstock Redemption

Category: Accounting

Acronym: CPSTKRDC

Stands For: Capstock Redemption Reversal

Definition: MCH Post Type For Capstock Redemption Reversal

Category: Accounting

Acronym: CPSTKSC

Stands For: Capstock Compound Entry

Definition: MCH Post Type For Capstock Compound Entry

Category: Accounting

Acronym: CPSTKSL

Stands For: Capstock Subscription

Definition: MCH Post Type For Capstock Subscription

Category: Accounting

Acronym: CPSTKSLCC

Stands For: Capstock Subscription Reversal

Definition: MCH Post Type For Capstock Subscription Reversal

Category: Accounting

Acronym: CPU

Stands For: Central Processing Unit

Definition: Central Processing Unit, computer hardware

Category: Technology

Acronym: CPXB

Stands For: Corproate Actions File by Ex-Date

Definition: MCH Code For Function Corproate Actions File by Ex-Date

Category: Accounting

Acronym: CR

Stands For:

Definition: Change Request

Category:

Acronym: CRA

Stands For: Acronym needed

Definition: Commercial Banking Credit Arrangement.

Category: Technology

Acronym: CRC

Stands For: Costa Rica Colon

Definition: Currency - Costa Rica Colon

Category: Currency

Acronym: CRD

Stands For: Capital Requirements Directive

Definition: The EU interpretation of Basel II; it will introduce a supervisory framework in the EU which reflects

Category: Regulatory & Legal

Acronym: CRD IV

Stands For: Acronym needed

Definition: Directive 2013/36/EU of 26th June 2013 on access to the activity of credit institutions, the pruden

Category: Regulatory & Legal

Acronym: Credit Commitment

Stands For: Key Term

Definition: See COMMITTED FACILITY/COMMITMENT.

Category: Industry

Acronym: Credit Enhancement

Stands For: Key Term

Definition: Techniques used by debt issuers (corporate or municipal) to raise the credit rating of the offering

Category: Industry

Acronym: Credit Enhancement Type

Stands For: Key Term

Definition: The type of instrument that is used to reduce the credit risk of a security. Common types of cred

Category: Industry

Acronym: Credit Enhancer

Stands For: Key Term

Definition: A legal entity that will guarantee the payments of a debt security for a fee. Credit enhancers provide

Category: Industry

Acronym: Credit Rating

Stands For: Key Term

Definition: Evaluation of a company by a rating agency, based on its credit history and ability to repay its ob

Category: Industry

Acronym: Credit Risk

Stands For: Key Term

Definition: The risk that a party will default on its settlement obligation for either full or partial value.

Category: Industry

Acronym: Credit Risk Content (CRC)

Stands For: Key Term

Definition: The amount of credit risk deemed by the bank to exist within an FX position. The CRC of a deal

Category: Industry

Acronym: Credits

Stands For: Key Term

Definition: Loans, bonds, charge-account obligations, and open-account balances with commercial firms.

Category: Industry

Acronym: CREST

Stands For: Certificateless Registry for Electronic Share Transfer

Definition: CREST is the real-time settlement system for UK and Irish equities and other corporate securities

Category: Technology

Acronym: CREU

Stands For: Cash Reconciliation Exception Update

Definition: Cash Reconciliation Exception Update receives currency exceptions from Recon Plus and allow

Category: Accounting

Acronym: CRF

Stands For: Cloud Reporting Framework

Definition: Extended definition needed

Category: Corporate

Acronym: CRIMS

Stands For: Charles River Investment Management System

Definition:

Category:

Acronym: CRISP

Stands For: Acronym needed

Definition: Management Information Systems, Customer Profitability System.

Category: Technology

Acronym: CRM

Stands For: Customer Relationship Management

Definition: Industry term referring to the practice of proactively managing client relationships.

Category: Industry

Acronym: CRMF

Stands For: Compliance Risk Management Framework

Definition: Extended definition needed

Category: Corporate

Acronym: CRMT

Stands For: Compliance Risk Monitoring Team

Definition: Extended definition needed

Category: Corporate

Acronym: CRO

Stands For: Credit Risk Officer

Definition: Credit Risk Officer- Role

Category: Industry

Acronym: cron

Stands For: Acronym needed

Definition: UNIX scheduling utility, phased out by AutoSys

Category: Technology

Acronym: Cross Border Trading

Stands For: Key Term

Definition: Trading which takes place between persons or entities from different countries.

Category: Industry

Acronym: Cross-Currency Rate

Stands For: Key Term

Definition: The exchange rate between two currencies. The rate is either a derivative of the exchange rates

Category: Industry

Acronym: Cross-Currency Settlement Risk

Stands For: Key Term

Definition: Currency risk associated with a cross-border transaction due to the timing difference between fo

Category: Industry

Acronym: Cross-Over Yield

Stands For: Key Term

Definition: Rate at which yield-to-maturity and yield-to-call of a security are equal.

Category: Industry

Acronym: CRP

Stands For: Credit Risk Policy

Definition: Credit Risk Policy, a department in SSB.

Category: Corporate

Acronym: CRR

Stands For: Acronym needed

Definition: Regulation no.575/2013 on prudential requirements for credit institutions and investment firms a

Category: Regulatory & Legal

Acronym: CRS

Stands For: Common Reporting Standards

Definition: An information standard for the automatic exchange of tax and financial information on a global

Category: Industry

Acronym: CRT

Stands For: Client Relationship Team

Definition: Function focused on acquiring, building and maintaining client relationships. Also called Relatio

Category: Corporate

Acronym: CS

Stands For: Critical Service

Definition: Extended definition needed

Category: Industry

Acronym: CSA

Stands For: Commission Sharing Agreement

Definition: A type of soft dollar arrangement that allows money managers to separately pay the executing b

Category: Industry

Acronym: CSD

Stands For: Central Securities Depository

Definition: An organization holding securities to enable book entry

Category: Regulatory & Legal

Acronym: CSDR

Stands For: Central Securities Depository Regulation

Definition: One of the key regulations adopted in the aftermath of the 2008 financial crisis. The CSDR is de

Category: Regulatory & Legal

Acronym: CSE

Stands For: Covered Swap Entity

Definition: Extended definition needed

Category: Industry

Acronym: CSF

Stands For: Cash Settled Forward

Definition: CSFs provide FX market participants with a clearable alternative to the predominant deliverable

Category: Industry

Acronym: CSG

Stands For: Committee Support Group Americas

Definition: Extended definition needed

Category: Corporate

Acronym: CSM

Stands For: Client Service Manager

Definition: Client Service Manager - Role

Category: Corporate

Acronym: CSO

Stands For: Client Service Officer

Definition: Client Service Officer - Role

Category: Corporate

Acronym: CSP

Stands For: Community Support Program

Definition: A community that manages grant-making and volunteer efforts by identifying local community ne

Category: Corporate

Acronym: CSR

Stands For: Client Service Requirement

Definition: General Term for a service requirement needed to satisfy a client

Category: Corporate

Acronym: CSRC

Stands For: China Securities Regulatory Commission

Definition: China's securities regulator

Category: Regulatory & Legal

Acronym: CSS

Stands For: Client Support Services

Definition: Client Support Services

Category: Corporate

Acronym: CSW

Stands For: Client Service Workstation

Definition: Client Service Workstation is an Institutional Investor Services Control System that automates s

Category: Corporate

Acronym: CT

Stands For: Consolidated Tape

Definition: An electronic program that provides continuous, real-time data on trading volume and price for e

Category: Corporate

Acronym: CTAS

Stands For: Corporate Trust Account System

Definition: Extended definition needed

Category: Technology

Acronym: CTBILL

Stands For: Corporate Trust bill

Definition: Corporate Trust bill

Category: Technology

Acronym: CTC

Stands For: Centralized Trading Center

Definition: Takes titular ownership of underlying goods from manufacturer to resell to a customer; this cent

Category: Industry

Acronym: CTF

Stands For: Common Trust Fund

Definition: A type of collective investment fund, generally maintained by a bank

Category: Finance

Acronym: CTFC

Stands For: Key Term

Definition: Commodity Futures Trading Commission of the United States

Category: Industry

Acronym: CTI

Stands For: Client Technology Integration

Definition: A service unit under Business Technology Services focusing on Tech integration.

Category: Technology

Acronym: CTM

Stands For: Central Trade Matcher

Definition: Extended definition needed

Category: Corporate

Acronym: CTN

Stands For: Custom Trade Notification

Definition: Extended definition needed

Category: Corporate

Acronym: CTP

Stands For: Consolidated Tape Provider

Definition: Provider of Consolidated Tape, see CT

Category: Corporate

Acronym: CUBES

Stands For: Key Term

Definition: "Coupons Under Book Entry Safekeeping." STRIPS, originally issued with paper certificates, the

Category: Industry

Acronym: CULPRIT

Stands For: Acronym needed

Definition: Mainframe report writer capable of producing files and reports for use by Auditors.

Category: Technology

Acronym: Cum Dividend

Stands For: Key Term

Definition: A security, that is purchased with the right to the next dividend.

Category: Industry

Acronym: Cumulative Preferred Stock

Stands For: Key Term

Definition: If dividends are not paid to the shareholders, they are accumulated and paid at a future date.

Category: Industry

Acronym: Cumulative Total Return

Stands For: Key Term

Definition: Cumulative total return reflects actual performance over a stated period of time.

Category: Industry

Acronym: CUP

Stands For: Cuba Peso

Definition: Currency - Cuba Peso

Category: Currency

Acronym: CUPs

Stands For:

Definition: Collateral Unified Processing

Category:

Acronym: Currency Bought/Currency Sold

Stands For: Key Term

Definition: This is the contracted currency amounts either purchased and sold in a foreign exchange deal.

Category: Industry

Acronym: Currency Denomination

Stands For: Key Term

Definition: The currency in which a security is valued.

Category: Industry

Acronym: Currency fluctuations

Stands For: Key Term

Definition: Changes in the value of a currency in relationship to other major currencies. Currency fluctuations

Category: Industry

Acronym: Currency Rate

Stands For: Key Term

Definition: The rates at which currencies are being quoted for purchase and sale.

Category: Industry

Acronym: Currency Risk

Stands For: Key Term

Definition: The risk that shifts in foreign exchange rates may undermine the dollar value of overseas invest

Category: Industry

Acronym: Currency Swap

Stands For: Key Term

Definition: A purchase (or sale) of a spot currency and a simultaneous sale (or purchase) in the forward market

Category: Industry

Acronym: Current Amount

Stands For: Key Term

Definition: This amount equals the value in the workbook at the time the alert dialogue box is opened. This

Category: Industry

Acronym: Current Balance

Stands For: Key Term

Definition: For mortgage pools, the sum of the remaining unpaid principal balances at the end of the prior m

Category: Industry

Acronym: Current Coupon FNMA

Stands For: Key Term

Definition: The FNMA current coupon is generally the coupon on the pass-through pool trading closest to p

Category: Industry

Acronym: Current Coupon GNMA

Stands For: Key Term

Definition: The GNMA current coupon is the maximum allowable pass-through Veteran's Administration mortgage-backed security.

Category: Industry

Acronym: Current Face

Stands For: Key Term

Definition: The current par value of an asset-backed security. This value is used for accounting purposes th

Category: Industry

Acronym: Current Holdings

Stands For: Key Term

Definition: For mortgage pools and mortgage-backed securities, original holdings multiplied by the factor.

Category: Industry

Acronym: Current Income

Stands For: Key Term

Definition: Monies paid during the period an investment is held. Examples include bond interest and stock c

Category: Industry

Acronym: Current Market Value

Stands For: Key Term

Definition: The amount a willing buyer will pay for a bond today, which may be at a premium (above face value)

Category: Industry

Acronym: Current Price

Stands For: Key Term

Definition: Current flat price of a security. Depending on the context, the current price can be the offering price

Category: Industry

Acronym: Current Ratio

Stands For: Key Term

Definition: Current assets divided by current liabilities. Measures liquidity.

Category: Industry

Acronym: Current Scenario

Stands For: Key Term

Definition: The most recently selected scenario file. Once you select a scenario file, it stays selected for ever

Category: Industry

Acronym: Currently Callable

Stands For: Key Term

Definition: The issuer's option to redeem a security at any time up until the final maturity.

Category: Industry

Acronym: CUSIP

Stands For: Committee on Uniform Securities Identification Procedures

Definition: An identifier that is used for most U.S. and Canadian securities. The first six characters represent

Category: Industry

Acronym: Custodian

Stands For: Key Term

Definition: A bank or trust company responsible for receipt, delivery and safe-keeping of an investment con

Category: Industry

Acronym: Custody

Stands For: Key Term

Definition: Safekeeping of securities for institutions and individuals generally including settlement of trades,

Category: Industry

Acronym: Custody Only

Stands For: Key Term

Definition: Providing custody services for accounts where the bank is not the trustee or fund manager.

Category: Industry

Acronym: CV

Stands For: Curriculum Vitae

Definition: Extended definition needed

Category: Corporate

Acronym: CVA

Stands For: Credit Valuation Adjustment

Definition: The difference between the risk-free portfolio value and the true portfolio value that takes into account the credit risk of the counterparty.

Category: Industry

Acronym: CVR

Stands For: Control Validation Reports

Definition: Extended definition needed

Category: Corporate

Acronym: CWP

Stands For: Check Writing Privilege

Definition: This refers to Money Market checks.

Category: Industry

Acronym: CWS

Stands For: Acronym needed

Definition: A product licensed from Fiserv Solutions in 2001 and will be licensed in 2004 that allows us to v

Category: Technology

Acronym: Cycle File

Stands For: Key Term

Definition: A file that identifies statement cycle codes for paid check items.

Category: Technology

Acronym: Cyclical

Stands For: Key Term

Definition: Stocks of companies whose business prospects are tied to economic cycles. For example, steel

Category: Industry

Acronym: CZK

Stands For: Czech Republic Koruna

Definition: Currency - Czech Republic Koruna

Category: Currency

Acronym: D&T

Stands For: Deloitte and Touche, LLP

Definition: Deloitte and Touche, LLP - Audit Firm

Category: Industry

Acronym: DA

Stands For: Delegated Act

Definition: Delegated act to be adopted by the European Commission

Category: Regulatory & Legal

Acronym: DAM

Stands For: Dynamic Account Manager

Definition:

Category:

Acronym: DASD

Stands For: Direct Access Storage Device

Definition: A secondary storage device in which "each physical record has a discrete location and a unique

Category: Technology

Acronym: Data Engine

Stands For: Key Term

Definition: An Interchange Server (e.g. UNIX) with a database for end user application access.

Category: Technology

Acronym: Data Processing Recovery

Stands For: Key Term

Definition: The re-establishment of data processing services in the event of a disaster. Services include con

Category: Technology

Acronym: Date of Record

Stands For: Key Term

Definition: The date on which shareholders entitled to receive interest, dividend or capital gains payments i

Category: Industry

Acronym: Dated Date

Stands For: Key Term

Definition: The date on which a new security begins accruing interest. See issue date.

Category: Industry

Acronym: DAX 100

Stands For: Key Term

Definition: The Deutscher Aktienindex (DAX) 100 is a market capitalization weighted index of the 100 most

Category: Industry

Acronym: Day Count Method

Stands For: Key Term

Definition: The method for counting the days in a month and the days in a year. The notation used is (days

Category: Industry

Acronym: Day Order

Stands For: Key Term

Definition: An order that expires at the end of the day.

Category: Industry

Acronym: Days to 1st Payment

Stands For: Key Term

Definition: The number of days from the first of the month to the payment date of the first installment of cou

Category: Industry

Acronym: DB

Stands For: Defined Benefit

Definition: A retirement plan in which as employer pays out a fixed amount to a retiree. The amount the em

Category: Industry

Acronym: DB Plan

Stands For: Defined Benefit Plan

Definition: A retirement plan in which as employer pays out a fixed amount to a retiree. The amount the em

Category: Industry

Acronym: DBM

Stands For: Database Management

Definition: Management and administration of a database

Category: Technology

Acronym: DBMX

Stands For: Acronym needed

Definition: database management product; part of TME10 suite

Category: Technology

Acronym: DC

Stands For: Defined Contribution

Definition: A defined-contribution plan is a retirement plan in which a certain amount or percentage of money

Category: Industry

Acronym: DC Plan

Stands For: Defined Contribution Plan

Definition: A plan where the employee and the employer contribute to his/her pension before retirement. U

Category: Technology

Acronym: DCC

Stands For: Disclosure & Communications Committee

Definition: Committee Focused on Disclosure and Communications

Category: Corporate

Acronym: DCM

Stands For: Debt Capital Markets

Definition: Where companies and governments issue debt (bonds) to raise long-term funds

Category: Industry

Acronym: DCO

Stands For: Data Center Operations

Definition: Data Center Operations

Category: Technology

Acronym: DCOR

Stands For: Domestic Custody Operations Reengineering

Definition: Extended definition needed

Category: Technology

Acronym: DCS

Stands For: Data Center Services

Definition: A unit within DCO, manages process improvement.

Category: Technology

Acronym: DDA

Stands For: Demand Deposit Account

Definition: Bank Account # (US). An account from which a depositor may withdraw funds immediately on o

Category: Industry

Acronym: DDO

Stands For: Key Term

Definition: Day Deliver Order. DTC settlement system offered as an alternative to DTC ID settlement.

Category: Industry

Acronym: DDRL

Stands For: DTCC Derivatives Repository Limited

Definition: A UK company regulated under the UK FSA

Category: Industry

Acronym: DEA

Stands For: Direct Electronic Access

Definition: A market participant directly accesses a trading venue by using the trading code of a member firm

Category: Industry

Acronym: Dealer

Stands For: Key Term

Definition: A firm which acts as principal in all transactions and buys and sells securities from their own acco

Category: Industry

Acronym: Debenture

Stands For: Key Term

Definition: General debt obligation backed only by the promise and credit of the borrower. Debt security tha

Category: Industry

Acronym: Debt

Stands For: Key Term

Definition: Short, informal term for liabilities.

Category: Industry

Acronym: Debt Ratio

Stands For: Key Term

Definition: Total debt to total assets.

Category: Industry

Acronym: Debt Security

Stands For: Key Term

Definition: A certificate of indebtedness issued by corporations or governments when they borrow money.

Category: Industry

Acronym: Debt/Equity Ratio

Stands For: Key Term

Definition: Total liabilities divided by total equity.

Category: Industry

Acronym: DEC

Stands For: Digital Equipment Corporation

Definition: Digital Equipment Corporation - Vendor

Category: Technology

Acronym: DEC NSR

Stands For: Digital Equipment Corporations Network Save & Recovery

Definition: Network Save & Recovery; Digital Equipment Corporations tape back up product; first in the evolution

Category: Technology

Acronym: DEC Polycenter

Stands For: Key Term

Definition: Scheduling system.

Category: Technology

Acronym: DecisionSource

Stands For: Key Term

Definition: portfolio investment analysis tool for General Electric Investment Corporation

Category: Technology

Acronym: Defeasance

Stands For: Key Term

Definition: A technique in which an issuer discharges old, low-rate debt by buying new securities paying high

Category: Industry

Acronym: Deferred Revenue

Stands For: Key Term

Definition: Money received from customers in advance of performance of revenue activities. This amount w

Category: Industry

Acronym: Define Name

Stands For: Key Term

Definition: Names of a cell, groups of cells, a value or a formula which are used to calculate or display information

Category: Industry

Acronym: Defined Tolerance

Stands For: Key Term

Definition: An amount identified by the fund group as acceptable according to the business rules of the fund

Category: Industry

Acronym: Definity G3R

Stands For: Key Term

Definition: PBX switch, Lucent.

Category: Technology

Acronym: Delay

Stands For: Key Term

Definition: For asset-backed securities, the period between issuance and the first payment of coupon and p

Category: Industry

Acronym: Delinquency Processing

Stands For: Key Term

Definition: This refers to the resultant processing and application of penalties, interest and fees where a cli

Category: Industry

Acronym: Delivery

Stands For: Key Term

Definition: The act of receiving securities in transfer from another individual or firm.

Category: Industry

Acronym: Delivery Price

Stands For: Key Term

Definition: The futures price multiplied by the conversion factor; the fair price of the bond given the futures price

Category: Industry

Acronym: Delta

Stands For: Key Term

Definition: Measures the relationship between an option price and the underlying security price. For example,

Category: Industry

Acronym: DeMinimus

Stands For: Key Term

Definition: Indicates that an Original Issue Discount (OID) is so small that it does not have to be amortized

Category: Industry

Acronym: Denomination

Stands For: Key Term

Definition: 1.The face amount or par value of a security which the issuer promises to pay on the maturity date

Category: Industry

Acronym: Denomination Currency

Stands For: Key Term

Definition: The currency in which the maturity value of a fixed income security will be paid.

Category: Industry

Acronym: Dep Ops

Stands For: Deposit Operations

Definition: Extended definition needed

Category: Corporate

Acronym: Deposit slip

Stands For: Key Term

Definition: A document that details a deposit. (Credit on our accounts).

Category: Technology

Acronym: Deposited Check Returned Unpaid

Stands For: Key Term

Definition: A check deposited to a State Street account, returned by the bank on which it was drawn, for an

Category: Technology

Acronym: Deposited securities

Stands For: Key Term

Definition: The class of shares being offered in the ADR program, for example, ordinary, non-voting, prefer

Category: Industry

Acronym: Depository Institution

Stands For: Key Term

Definition: Any institution regulated by the Federal Deposit Insurance Corporation (FDIC), the Federal Reserve

Category: Industry

Acronym: Depository Status/Eligibility

Stands For: Key Term

Definition: Most settlement locations only allow for the settlement of specific securities. This term indicates

Category: Industry

Acronym: Depreciation

Stands For: Key Term

Definition: 1.Reduction in the amortization describes the depreciation of an intangible asset (e.g., patents).

Category: Industry

Acronym: DEQ

Stands For: Data Enrichment and Query

Definition: Extended definition needed

Category: Technology

Acronym: Derivatives

Stands For: Key Term

Definition: Any financial product 'deriving' from another. For example, swaps, futures and options.

Category: Industry

Acronym: Description/Name

Stands For: Key Term

Definition: The name of a security, which includes company name and a security type description. For exam

Category: Industry

Acronym: DevelopmentWorks

Stands For: Key Term

Definition: an online tool for career management; www.developmentworks.com/StateStreet

Category: Technology

Acronym: DEXR

Stands For: Delete Exchange Rate

Definition: MCH Code For Exchange Rate Function Delete Exchange Rate

Category: Accounting

Acronym: DF

Stands For: Dodd Frank

Definition: Regulation, also known as The Dodd Frank Act

Category: Regulatory & Legal

Acronym: DFA

Stands For: Dodd Frank Act

Definition: U.S. Dodd-Frank Wall Street Reform and Consumer Protection Act

Category: Regulatory & Legal

Acronym: DFAST

Stands For: Dodd-Frank Act Stress Test

Definition: Dodd-Frank Act Stress Test - measurement of the financial health of institutions

Category: Regulatory & Legal

Acronym: DGS

Stands For: Deposit Guarantee Schemes

Definition: Reimburse a limited amount to compensate depositors whose bank has failed. A fundamental principle of the EU banking system.

Category: Industry

Acronym: DHCP

Stands For: Dynamic Host Configuration Program

Definition: Assigns IP addresses on the fly

Category: Technology

Acronym: DI Matrix

Stands For: Acronym needed

Definition: (audit reports)

Category: Compliance & Risk

Acronym: DIN

Stands For: Document Identification Number

Definition: A 9 digit sequence number identifying the sequence of the check within the work. This unique number is used to track the document throughout the project.

Category: Technology

Acronym: Direct Credit Payroll

Stands For: Key Term

Definition: Direct credit payroll allows instruction of payroll credits direct to the employees' accounts. This s

Category: Industry

Acronym: Direct Debit

Stands For: Key Term

Definition: Direct debit allows instruction of receivable debits directly to client accounts (transmitted as a file

Category: Industry

Acronym: DISA PBX

Stands For: Direct inbound system access PBX

Definition: PBX direct inbound system access for dial tone to dial back out.

Category: Technology

Acronym: Disbursement Account

Stands For: Key Term

Definition: A disbursement account is an account within a relationship, such as ACLAIM, where checks are

Category: Technology

Acronym: DISC

Stands For: Multi-Currency Miscellaneous Cash Disbursements

Definition: MCH Code For Booking Function Multi-Currency Miscellaneous Cash Disbursements

Category: Accounting

Acronym: Discount

Stands For: Key Term

Definition: 1.The difference between a bond's current market price and its face or redemption value.

Category: Industry

Acronym: Discount Bond

Stands For: Key Term

Definition: Bond selling below face amount.

Category: Industry

Acronym: Discount Broker

Stands For: Key Term

Definition: A broker providing trade execution services only and not providing research and investment adv

Category: Industry

Acronym: Discount Daily Factor

Stands For: Key Term

Definition: A daily calculation or simple or compound interest, the discount or present value, and the like, or

Category: Industry

Acronym: Discount Instruments

Stands For: Key Term

Definition: Securities that are issued at a discount and mature at face/par value. The difference, rather than

Category: Industry

Acronym: Discount Margin

Stands For: Key Term

Definition: Used to analyze floating-rate notes and floating-rate tranches. According to PSA Uniform Practices

Category: Industry

Acronym: Discount Method

Stands For: Key Term

Definition: A scientific amortization method that calculates a daily price by discounting all future cash flows

Category: Industry

Acronym: Discount Rate

Stands For: Key Term

Definition: 1. Interest rate used to determine the present value of a future cash flow. In other words, the interest rate that is used to discount the future cash flow to its present value.

Category: Industry

Acronym: Discount Yield

Stands For: Key Term

Definition: Yield on a security sold at a discount. This can also be referred to as a bank discount basis.

Category: Industry

Acronym: Dissemination

Stands For: Key Term

Definition: The process of distributing a fund's price-per-share information to a transfer agent or NASDAQ.

Category: Industry

Acronym: DistributedDirector

Stands For: Key Term

Definition: Cisco DistributedDirector distributes Internet services among globally dispersed Internet server s

Category: Technology

Acronym: Distributions

Stands For: Key Term

Definition: Dividends from investment income, and realized capital gains paid to mutual fund shareholders.

Category: Industry

Acronym: Distributor

Stands For: Key Term

Definition: The company that buys open-end investment company shares directly from the fund for the purp

Category: Industry

Acronym: Diversification

Stands For: Key Term

Definition: Diversification is the concept of spreading your money across different types of investments and

Category: Industry

Acronym: Dividend Ex-Date

Stands For: Key Term

Definition: The date that is used to determine eligibility for a dividend. The criteria for determining eligibility

Category: Industry

Acronym: Dividend Payout Ratio

Stands For: Key Term

Definition: Common stock dividend divided by net income. It is a measure of the percentage of income paid

Category: Industry

Acronym: Dividend Rate

Stands For: Key Term

Definition: The amount of earnings per share that are distributed to shareholders. The dividend rate is usual

Category: Industry

Acronym: Dividend Reinvestment

Stands For: Key Term

Definition: Automatic reinvestment of shareholder dividends in more shares of the company's stock. (source)

Category: Industry

Acronym: Dividends

Stands For: Key Term

Definition: A distribution of earnings from a fund to its shareholders paid in the form of cash or additional sh

Category: Industry

Acronym: Dividends Payable

Stands For: Key Term

Definition: Money owed to stockholders.

Category: Industry

Acronym: DJIA Dow Jones Industrial Average

Stands For: Key Term

Definition: This market 'index' represents the current averaged value of 30 industrial stocks which are traded

Category: Industry

Acronym: DK

Stands For: "Don't Know"

Definition: Stands for "Dont Know". A reject, not accepted.

Category: Industry

Acronym: DKK

Stands For: Denmark Krone

Definition: Currency - Denmark Krone

Category: Currency

Acronym: DM

Stands For: Data Management

Definition:

Category:

Acronym: DMA

Stands For: Direct Market Access

Definition: A term used in financial markets to describe electronic trading facilities that give investors wishing

Category: Industry

Acronym: DMADV

Stands For: Define, Measure, Analyze, Design, Verify/Validate

Definition: A Six Sigma framework that is focuses primarily on the development of a new service, product o

Category: Industry

Acronym: DMAIC

Stands For: Define, Measure, Analyze, Improve, Control

Definition: A six Sigma framework that is a data-driven quality strategy used to improve processes

Category: Industry

Acronym: DML

Stands For: Dan McLoone

Definition: State Street's securities lending system. SSB / FMG / GSLs securities lending system, Dan McL

Category: Technology

Acronym: DMP

Stands For: Default Management Process

Definition: Extended definition needed

Category: Industry

Acronym: DMS

Stands For: Data Management Services

Definition: An Enterprise Technology Services unit

Category: Technology

Acronym: DMT

Stands For: Decision Making Tool

Definition: Extended definition needed

Category: Corporate

Acronym: DNAf

Stands For: Acronym needed

Definition: Distributed Internet Architecture. Microsoft's attempt to provide straight-through processing for

Category: Technology

Acronym: Document Identification Number

Stands For: Key Term

Definition: See DIN.

Category: Technology

Acronym: DoL

Stands For: Department of Labour

Definition: U.S. Department of Labor

Category: Industry

Acronym: Dollar Cost Averaging

Stands For: Key Term

Definition: With dollar-cost averaging, you invest a fixed amount on a regular basis - regardless of the current price of the investment.

Category: Industry

Acronym: Dollar Duration

Stands For: Key Term

Definition: For a security or portfolio, DV01 multiplied by current holdings. (For mortgage pools and mortga

Category: Industry

Acronym: Dollar Weighted Rates of Return

Stands For: Key Term

Definition: A performance measurement calculation that allows comparison of portfolios with different assets

Category: Industry

Acronym: Dollar-Cost-Averaging (DCA)

Stands For: Key Term

Definition: An investment strategy calling for regular dollar or share investments on a specified time schedule

Category: Industry

Acronym: DOLLARS

Stands For: Key Term

Definition: Commercial Lending System, domestic loans.

Category: Technology

Acronym: Domestic bond

Stands For: Key Term

Definition: A bond denominated in the currency of the country in which it is issued, for example, a French b

Category: Industry

Acronym: Domestic Horizon

Stands For: Key Term

Definition: The system used to provide accounting services to our customers in US \$ only.

Category: Technology

Acronym: DOMICILE

Stands For: Key Term

Definition: Domicile is basically the nationality of the fund. The country in which the fund is authorized.

Category: Industry

Acronym: DOP

Stands For: Dominican Republic Peso

Definition: Currency - Dominican Republic Peso

Category: Currency

Acronym: DOTS

Stands For: Domestic Overdraft Tracking System.

Definition: Extended definition needed

Category: Technology

Acronym: DOU

Stands For: Document of understanding

Definition: document of understanding (between an application group and the group(s) that supports them)

Category: Technology

Acronym: Double and Triple Tax-Exempt Bond Funds

Stands For: Key Term

Definition: These bond funds invest in municipal bonds of a single state (and in the case of triple tax-exempt

Category: Industry

Acronym: Double taxation treaty

Stands For: Key Term

Definition: A treaty between two countries that recognizes payment of tax on income in the holder's home c

Category: Industry

Acronym: Dow Jones Industrial Average

Stands For: Key Term

Definition: The price weighted average of the current prices of 30 New York Stock Exchange large companies

Category: Industry

Acronym: Dow Jones Industrial Average (DJIA)

Stands For: Key Term

Definition: The Dow Jones Industrial Average is an unmanaged index of common stocks comprised of major

Category: Industry

Acronym: DP

Stands For: Discussion Paper

Definition: Research paper designed to serve as basis for discussion

Category: Industry

Acronym: DPC

Stands For: Derivatives Product Companies

Definition: Companies that offer derivatives products

Category: Industry

Acronym: DPF

Stands For: Development Process Framework

Definition: The DPF describes the systems development life cycle for State Street Corporation.

Category: Technology

Acronym: DPL

Stands For: Designated Privacy Liaison

Definition: Designated Privacy Liaison - Role

Category: Industry

Acronym: DPO

Stands For: Data Privacy Officer

Definition: Data Privacy Officer - Role

Category: Compliance & Risk

Acronym: DPRA

Stands For: Dual Pricing Class/Ratio

Definition: MCH Code For Dual Pricing Class/Ratio

Category: Accounting

Acronym: DPS

Stands For: Development Process Services

Definition: A service area under the Office of IT Governance.

Category: Corporate

Acronym: DPTR

Stands For: Dual Pricing Transfer

Definition: MCH Code For Dual Pricing Transfer

Category: Accounting

Acronym: DR

Stands For: Disaster Recovery

Definition: The DR team is responsible for procedures followed to restore service to the customer after a di

Category: Corporate

Acronym: DR Site

Stands For: Disaster Recovery Site

Definition: Disaster Recovery Site - Designated Location for business continuity

Category: Corporate

Acronym: Draft

Stands For: Key Term

Definition: Drafts are cheques issued by the Bank on behalf of a client and are used to make payment over

Category: Industry

Acronym: Drop Code (Money Market)

Stands For: Key Term

Definition: Drop Code (Money Market) The drop code is an abbreviated form of the DDA account number.

Category: Technology

Acronym: DRP

Stands For: Disaster Recovery Platform

Definition: Extended definition needed

Category: Technology

Acronym: DRs

Stands For: Key Term

Definition: Depositary Receipt. Receipt for the shares of a foreign-based corporation held in a local country

Category: Industry

Acronym: DRV

Stands For: Deutscher Rahmenvertrag

Definition: Deutscher Rahmenvertrag (German Master Agreement)

Category: Industry

Acronym: DSAR

Stands For: Data Subject Access Request

Definition: Extended definition needed

Category: Industry

Acronym: DSH

Stands For: Dynamic Strategic Hedging

Definition: An investing strategy to overcome currency volatility by adjusting hedge ratio

Category: Industry

Acronym: D-SIB

Stands For: Domestic Systemically Important Banks

Definition: Banks which have been deemed as systemically important in the event of a crisis

Category: Regulatory & Legal

Acronym: DSL

Stands For: Digital Service Line

Definition: A means of remote access

Category: Technology

Acronym: DSR

Stands For: Acronym needed

Definition: On line product which provides efficient ways to add, update or delete IDs on the VM system. D

Category: Technology

Acronym: DSS

Stands For: Data Security Services

Definition: DSS administers security access to computer-based systems.

Category: Technology

Acronym: DST

Stands For: Acronym needed

Definition: DST Systems, Inc. Kansas City, Missouri.

Category: Technology

Acronym: DSTL

Stands For: Domestic Settlements

Definition: MCH Code For Booking Function Domestic Settlements

Category: Accounting

Acronym: DSXL

Stands For: Cancel Settle

Definition: MCH Code For Trade Function Cancel Settle

Category: Accounting

Acronym: DTC

Stands For: Depository Trust Company

Definition: A US central repository or clearinghouse facility through which members electronically transfer s

Category: Industry

Acronym: DTC Eligible

Stands For: Key Term

Definition: This indicates if a security is eligible for settlement at the Depository Trust Company. Typical se

Category: Industry

Acronym: DTC ID

Stands For: DTCs Institutional Delivery system

Definition: DTCs Institutional Delivery Identification system

Category: Industry

Acronym: DTCC

Stands For: Depository Trust & Clearing Company

Definition: Depository Trust & Clearing Company. See DTC

Category: Industry

Acronym: DTD

Stands For: Documentation Technology and Delivery

Definition: SS organization headed up by Mark Fallon

Category: Corporate

Acronym: DTSS

Stands For: DEC Tandem Software Services

Definition: DEC Tandem Software Services unit within TS.

Category: Corporate

Acronym: Dual Purpose Fund

Stands For: Key Term

Definition: A closed-end fund in which two separate classes of shares are offered. Holders of "income shares"

Category: Industry

Acronym: Duplex

Stands For: Key Term

Definition: Two way, bi-directional.

Category: Technology

Acronym: Duration

Stands For: Key Term

Definition: Duration estimates how much a bond's price fluctuates with changes in comparable interest rate

Category: Industry

Acronym: Duration Dollars

Stands For: Key Term

Definition: A measure of the interest rate sensitivity of a portfolio computed as a market-value weighted sum

Category: Industry

Acronym: Duration to Call

Stands For: Key Term

Definition: Duration measured to the first call date.

Category: Industry

Acronym: Duration-Weighted Spread

Stands For: Key Term

Definition: For a portfolio, the overall spread to Treasuries weighted by the option-adjusted durations of the

Category: Industry

Acronym: Duration-Weighted Trade

Stands For: Key Term

Definition: A trade in which the weighted average duration of securities purchased is equal to that of the se

Category: Industry

Acronym: Duration-Weighted Yield

Stands For: Key Term

Definition: For portfolios, a close approximation of the internal rate of return. It is weighted by the option-ad

Category: Industry

Acronym: DV01

Stands For: Key Term

Definition: "Dollar value of .01." The approximate change in price (for \$100 face value) for a one basis-point change in interest rates.

Category: Industry

Acronym: DVA

Stands For: Debit Valuation Adjustment

Definition: DVA is the credit adjustment for a derivative that is "out-of-the-money" i.e. a liability, and reflects

Category: Industry

Acronym: DVD

Stands For: Dual Verification Database

Definition: Database used by TA as a verification method of manually keyed deals.

Category: Industry

Acronym: DVOP

Stands For: Dividend Option - i.e. Optional Stock Dividend

Definition: Optional dividends generally allow shareholders to choose between cash or stock for their dividends

Category: Corporate

Acronym: DVP

Stands For: Delivery Versus Payment

Definition: A securities industry settlement procedure in which the buyer's payment for securities is due at t

Category: Industry

Acronym: DWDM

Stands For: Dense wave division multiplexing

Definition: Extended definition needed

Category: Technology

Acronym: DWS

Stands For: Derivative Workstation

Definition: Derivatives Workstation is a product used to capture derivative trade information and pass that i

Category: Corporate

Acronym: E&Y

Stands For: Ernst & Young LLP.

Definition: Ernst & Young LLP- Audit Company

Category: Industry

Acronym: E10K

Stands For: Enterprise 10000

Definition: Enterprise 10000; a Sun machine

Category: Technology

Acronym: EAFE Index

Stands For: Europe, Australasia and Far East Index

Definition: From Morgan Stanley Capital International (MSCI), an unmanaged, market-value weighted index

Category: Industry

Acronym: EAI

Stands For: Enterprise Application Integration

Definition: Extended definition needed

Category: Technology

Acronym: EAMP

Stands For: Emission Allowances Market Participant

Definition: Extended definition needed

Category: Corporate

Acronym: EAP

Stands For: Employee Assistance Program

Definition: Extended definition needed

Category: Human Resources

Acronym: Earliest Cash Flow

Stands For: Key Term

Definition: Abbreviated "ECF." The schedule of principal repayments of a sinking fund bond assuming all o

Category: Industry

Acronym: Earnings of Issuer

Stands For: Key Term

Definition: General measure of total profitability (or loss) for a corporation. This can be used for compliance

Category: Industry

Acronym: EBA

Stands For: European Banking Authority

Definition: European financial services regulatory network

Category: Regulatory & Legal

Acronym: EBAS

Stands For: Employee Benefit Accounting System

Definition: Employee Benefit Accounting System

Category: Industry

Acronym: EBC

Stands For: External Business Conduct

Definition: External Business Conduct (State Street Database)

Category: Corporate

Acronym: EBC/GRD

Stands For: External Business Conduct/Global Reporting Database

Definition: SSGM system

Category: Corporate

Acronym: EBS

Stands For:

Definition: Enterprise Business Services

Category:

Acronym: eBusiness Incubator

Stands For: Key Term

Definition: eBusiness Incubator was formed to act as a focal point for the organizations strategic Internet in

Category: Technology

Acronym: EC

Stands For: European Commission

Definition: The EU's politically independent executive arm

Category: Industry

Acronym: ECB

Stands For: European Central Bank

Definition: European Central Bank

Category: Industry

Acronym: ECC

Stands For: Extended Collateral Control

Definition: Extended definition needed

Category: Technology

Acronym: ECFA

Stands For: Economic Cooperation Framework Agreement

Definition: Preferential trade agreement between China and Taiwan

Category: Industry

Acronym: ECL

Stands For: Emitter Coupled Logic

Definition: Extended definition needed

Category: Technology

Acronym: ECMS

Stands For: Enterprise Content Management Solutions

Definition: Extended definition needed

Category: Corporate

Acronym: ECN

Stands For: Electronic commerce networks

Definition: Extended definition needed

Category: Technology

Acronym: Economic Sector

Stands For: Key Term

Definition: The economic industry that a company derives its revenues. The sector is usually grouped at a l

Category: Industry

Acronym: ECR

Stands For: Enterprise Client Reporting

Definition:

Category:

Acronym: ECS

Stands For: Enterprise Consulting Services

Definition: Enterprise Consulting Services group w/in NMS

Category: Corporate

Acronym: EDD

Stands For: Enhanced Due Diligence

Definition: Extended definition needed

Category: Industry

Acronym: EDGM

Stands For: Enterprise Data Governance Platform

Definition: Extended definition needed

Category: Corporate

Acronym: EDI

Stands For: Electronic Data Interchange

Definition: The computer-to-computer exchange of business documents in a standard electronic format between

Category: Technology

Acronym: EDM

Stands For:

Definition: Enterprise Data Management

Category:

Acronym: EDP

Stands For: Electronic Data Processing

Definition: Can refer to the use of automated methods to process commercial data. Typically, this uses relational databases.

Category: Technology

Acronym: EDR

Stands For: Enterprise Data Repository

Definition: An Enterprise Information Systems data repository built on DB2 on the mainframe which contains

Category: Technology

Acronym: EDST

Stands For: Eastern Daylight Savings Time

Definition: Eastern Daylight Savings Time

Category: Industry

Acronym: EDV

Stands For:

Definition: Enterprise Data Views - An OSA System providing reports derived from RKS

Category:

Acronym: EDW

Stands For: Enterprise Data Warehouse (old data warehouse)

Definition:

Category:

Acronym: EE

Stands For: Extended Enterprise

Definition: Extended definition needed

Category: Industry

Acronym: EEA

Stands For: European Economic Area

Definition: The area in which the Agreement on the EEA provides for the free movement of persons, goods

Category: Industry

Acronym: EEC

Stands For: European Economic Community

Definition: Was a regional organisation which aimed to bring about economic integration among its member

Category: Institution or Association

Acronym: EEK

Stands For: Estonia Kroon

Definition: Currency - Estonia Kroon

Category: Currency

Acronym: EEP

Stands For: Economically Exposed Person

Definition: A term describing someone who has been entrusted with a prominent public function

Category: Regulatory & Legal

Acronym: EFAMA

Stands For: European Fund and Asset Management Association

Definition: European industry body

Category: Institution or Association

Acronym: EFC

Stands For: Employee Function Coding

Definition: Extended definition needed

Category: Corporate

Acronym: EFDS

Stands For: European Financial Data Services

Definition: European Financial Data Services, UK Subsidiary of BFDS.

Category: Industry

Acronym: Effective Convexity

Stands For: Key Term

Definition: Convexity, computed using an option-adjusted model, that incorporates the effect of embedded

Category: Industry

Acronym: Effective Duration

Stands For: Key Term

Definition: An indicator of price volatility and changes in cash flows when interest rates change. For securit

Category: Industry

Acronym: Effective DV01

Stands For: Key Term

Definition: The dollar value of a one basis point change in a security's yield, assuming the security is evaluated at par.

Category: Industry

Acronym: Effective Maturity Date

Stands For: Key Term

Definition: The date on which the principal amount of a note, draft, acceptance bond, or other debt instrument

Category: Industry

Acronym: Effective Redemption Benchmark

Stands For: Key Term

Definition: The benchmark used to calculate the spread at the effective redemption date. For example, if a

Category: Industry

Acronym: Effective Redemption Date

Stands For: Key Term

Definition: The date on which the security is expected to be redeemed. For yields to maturity, for example,

Category: Industry

Acronym: Effective Redemption Event

Stands For: Key Term

Definition: Maturity, call, put, sinking-fund payment, or other event that causes or might cause the issuer to

Category: Industry

Acronym: Effective Redemption Price

Stands For: Key Term

Definition: The expected price as of the effective redemption date.

Category: Industry

Acronym: Effective Redemption Spread

Stands For: Key Term

Definition: The expected nominal spread as of the effective redemption date.

Category: Industry

Acronym: Effective Redemption Yield

Stands For: Key Term

Definition: Also called "effective yield," "yield to effective redemption" and "yield to effective date." Effective

Category: Industry

Acronym: Effective Spread

Stands For: Key Term

Definition: A spread off the ARM pool's floating-rate index that makes the average present value equal to th

Category: Industry

Acronym: Efficient Market

Stands For: Key Term

Definition: Theory that market prices reflect the knowledge and expectations of all investors. Believers of th

Category: Industry

Acronym: EFSF

Stands For: European Financial Stability Facility

Definition: Provides financial assistance to EU member states

Category: Industry

Acronym: EFT

Stands For: Electronic Funds Transfer

Definition: The electronic transfer of money from one bank account to another, either within a single financial institution or between different institutions.

Category: Industry

Acronym: eFX

Stands For: Electronic Foreign Exchange

Definition: FX transacted through electronic systems

Category: Industry

Acronym: EGP

Stands For: Egypt Pound

Definition: Currency - Egypt Pound

Category: Currency

Acronym: EIA

Stands For: Excellence in Action

Definition: See more details at <http://sshome.statestr.com/technology/eia/index.htm>

Category: Technology

Acronym: Eicon Access

Stands For: Key Term

Definition: PC Emulation Software which emulates a 3270 mainframe terminal in the IBM environment.

Category: Technology

Acronym: EIN

Stands For: Employer Identification Number

Definition: A unique nine-digit number assigned by the Internal Revenue Service (IRS) to business entities

Category: Regulatory & Legal

Acronym: EIOPA

Stands For: European Insurance and Occupational Pensions Authority

Definition: A European supervisory body

Category: Regulatory & Legal

Acronym: EIP

Stands For: Exception item processing

Definition: Processing for Exception Items- a banking term used to describe a check that cannot be processed

Category: Technology

Acronym: ELC

Stands For: Employee Leadership Council

Definition: Extended definition needed

Category: Corporate

Acronym: Electra

Stands For:

Definition: A company that aggregates data to put the accounts on TLM/PLM

Category:

Acronym: Electronic Trade Authorization

Stands For: Key Term

Definition: See ETA

Category: Technology

Acronym: Electronic Trade Delivery

Stands For: Key Term

Definition: See ETD

Category: Technology

Acronym: Electronic Trade Settlement

Stands For: Electronic Trade Settlement

Definition: Trade Settled Electronically

Category: Industry

Acronym: Element Manager

Stands For: Key Term

Definition: A management system that is responsible for monitoring a sub-set of devices in the enterprise w

Category: Technology

Acronym: ELER

Stands For: European Legal Entity Review

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: Elliott Wave Theory

Stands For: Key Term

Definition: A pattern recognition technique published by Ralph N. Elliott in 1939, which holds that the stock

Category: Industry

Acronym: EMC

Stands For: Employee Management Council

Definition: A council that identifies opportunities for improving the overall work environment of employees.

Category: Corporate

Acronym: EMD

Stands For: Emerging Market Debt

Definition: Debt investment focused in Emerging Markets

Category: Industry

Acronym: EMEA

Stands For: Europe, Middle East & Africa

Definition: Europe, Middle East & Africa Regions

Category: Industry

Acronym: Emerging market

Stands For: Key Term

Definition: A securities market that is of smaller size or that has a short operating history, for example, Greece

Category: Industry

Acronym: EMERGING MARKETS

Stands For: Key Term

Definition: A term used to describe markets which are considered to be relatively under regulated with ineff

Category: Industry

Acronym: EMF

Stands For: Entity Master File

Definition: Extended definition needed

Category: Corporate

Acronym: EMG

Stands For: Executive Management Group

Definition: Senior executive groups that meet to discuss corporate strategy and execution

Category: Corporate

Acronym: EMI

Stands For: European Monetary Institute

Definition: The forerunner of the European Central Bank (ECB)

Category: Institution or Association

Acronym: EMIR

Stands For: European Market Infrastructures Regulation

Definition: European Market Infrastructure Regulation (EU 648/2012). Regulation on central counterparties

Category: Regulatory & Legal

Acronym: EMNA

Stands For: Eligible Master Netting Agreement

Definition: Extended definition needed

Category: Corporate

Acronym: Employee Self-Service

Stands For: Key Term

Definition: Actions/functions within PeopleSoft that can be performed by employees, including maintaining

Category: Finance

Acronym: EMS

Stands For: Enhanced Matching System

Definition: Enhanced Matching System, IBS/ ICASH/ ISMAC/ HOGAN USD/ MCH reconciliation.

Category: Technology

Acronym: EMTA

Stands For: Emerging Markets Traders Association

Definition: The principal trade group for the emerging markets trading and investment community and is de

Category: Institution or Association

Acronym: EMU

Stands For: Economic and Monetary Union

Definition: Group of policies aimed at converging the economies of members of the European Union

Category: Industry

Acronym: ENBF

Stands For: EMEA New Business Forum

Definition: Extended definition needed

Category: Corporate

Acronym: End of Month Payment Flag

Stands For: Key Term

Definition: The EOM payment flag tells the calculators whether payments will be made on the last day of the

Category: Industry

Acronym: End-of-Day Price

Stands For: Key Term

Definition: A security price that is established at the end of the day to value a portfolio. Usually the price us

Category: Industry

Acronym: Endpoint

Stands For: Key Term

Definition: The destination of a check. An endpoint may be a local bank, a Federal Reserve Bank, or another

Category: Technology

Acronym: Enterprise Manager

Stands For: Key Term

Definition: Management system that centrally monitors an enterprise wide network. This system has the ab

Category: Technology

Acronym: Entry

Stands For: Key Term

Definition: A variable number of tracer groups that are processed as an entity. This is also called a key-block

Category: Technology

Acronym: Entry number

Stands For: Key Term

Definition: The number of the first tracer group within an entry. This is also called a key-block number.

Category: Technology

Acronym: EOD

Stands For: End of Day

Definition: Term referring to the end of the day, generally end of business day

Category: Industry

Acronym: EOG

Stands For: Executive Operating Group

Definition: Executive Operating Group (of State Street)

Category: Corporate

Acronym: EPC

Stands For: European Product Committee

Definition: Extended definition needed

Category: Corporate

Acronym: EPS

Stands For: Earnings Per Share

Definition: A ratio required in the financial reports of publicly traded companies. Calculated by dividing the c

Category: Industry

Acronym: EPW

Stands For: Enterprise Pricing Warehouse

Definition:

Category:

Acronym: Equalisation

Stands For: Key Term

Definition: If an investor subscribes for shares at a time when the NAV of that class is other than the Peak

Category: Industry

Acronym: Equities (Stocks)

Stands For: Key Term

Definition: Securities representing shares of ownership in the issuing enterprise, as distinct from fixed-income

Category: Industry

Acronym: Equity

Stands For: Key Term

Definition: An ownership interest in a corporation. see Stock.

Category: Industry

Acronym: Equity Fund

Stands For: Key Term

Definition: One that invests primarily in common stocks.

Category: Industry

Acronym: Equity Investors

Stands For: Key Term

Definition: Investors who are owners of the business.

Category: Industry

Acronym: Equivalent

Stands For: Key Term

Definition: Foreign transaction converted into the funds reporting currency (e.g. Euro transaction converted

Category: Industry

Acronym: ERC

Stands For: Executive Risk Committee

Definition: Executive Committee focused on Risk

Category: Compliance & Risk

Acronym: ERD Options Clearing Corporation

Stands For: Electronic Receipt Delivery - OCC

Definition: OCCs Electronic Receipt Delivery system.

Category: Technology

Acronym: ERG

Stands For: Employee Resource Group

Definition: State Street term for Global Inclusion Networks

Category: Human Resources

Acronym: ERISA

Stands For: Employee Retirement Income Security Act of 1974

Definition: Fed law about retirement plan regulations. Regulates private pension plan administration and in

Category: Regulatory & Legal

Acronym: ERISA FUNDS

Stands For: Key Term

Definition: US domestic funds permitted under the Employee Retirement Income Security Act 1974 to diversify

Category: Industry

Acronym: ERK

Stands For: Expense Record Keeping

Definition: Expense Record Keeping, SSgA Finance, Alpha 4100. Computer.

Category: Corporate

Acronym: ERM

Stands For: Enterprise Risk Management

Definition: Extended definition needed

Category: Corporate

Acronym: ESA

Stands For: European Supervisory Authority

Definition: European Supervisory Authority (i.e EBA, EIOPA or ESMA)

Category: Regulatory & Legal

Acronym: Escalate ID

Stands For: Key Term

Definition: Fund participants who will received unresolved alerts that have not been cleared within a defined

Category: Industry

Acronym: Escalation Procedures

Stands For: Key Term

Definition: The procedures/actions taken to resolve a problem. The Help Desk determines the severity of e

Category: Technology

Acronym: ESCB

Stands For: European System of Central Banks

Definition: European System of Central Banks; a network made up the ECB and the 11 national central banks

Category: Industry

Acronym: ESDR

Stands For: Enhanced Service Description Requirement

Definition: Extended definition needed

Category: Corporate

Acronym: ESF

Stands For: Emergency Support Function

Definition: SSB emergency situation response organization & teams.

Category: Corporate

Acronym: ESG

Stands For: Employee Strategy Group

Definition: A a subset committee comprised of senior executives within the Canada Management Team (C

Category: Corporate

Acronym: ESM

Stands For: Enterprise Security Master

Definition: List of investable securities across the enterprise

Category: Corporate

Acronym: ESMA

Stands For: European Securities and Markets Authority

Definition: European Union financial regulatory institution and European Supervisory Authority

Category: Industry

Acronym: ESOP

Stands For: Employee Stock Ownership Plan

Definition: Employee Stock Ownership Plan allows employees to acquire an ownership stake

Category: Industry

Acronym: ESP

Stands For: Enterprise Servicing Platform

Definition: Enterprise Servicing Platform (State Street System)

Category: Corporate

Acronym: ESPP

Stands For: Employee Stock Purchase Plan

Definition: A program that allows employees to purchase company stock at a discount to its fair market value

Category: Corporate

Acronym: ESRB

Stands For: European Systemic Risk Board

Definition: Tasked with the macro-prudential oversight of the financial system within the European Union in

Category: Industry

Acronym: ESSbase

Stands For: Extended Spread Sheet data base

Definition: Extended Spread Sheet data base, dimensional / multi relational database software, is an add-in

Category: Corporate

Acronym: ETA

Stands For: Electronic Trade Authorization

Definition: Electronic Trade Authorization executed via Multicurrency Horizon (AKA MCH). Electronic Trade

Category: Accounting

Acronym: ETC

Stands For: Electronic Trade Confirmation

Definition: Trade confirmation delivered electronically

Category: Corporate

Acronym: ETD

Stands For: Electronic Trade Delivery

Definition: Electronic Trade Delivery instructions are electronically transmitted from the Investment Manager

Category: Corporate

Acronym: ETE

Stands For: Electronic Trade Entry

Definition: Electronic Trade Entry - automated (not manual) trade entry

Category: Technology

Acronym: ETF

Stands For: Exchange-Traded Fund

Definition: A basket of stocks similar to an index mutual fund, but traded continuously through the day, sho

Category: Industry

Acronym: ETI

Stands For: Enterprise Technology Integration

Definition: A service unit under Business Technology Services that serves as the link between the business

Category: Technology

Acronym: ETL

Stands For:

Definition: Extract, Transform, and Load (refers to data integration)

Category:

Acronym: ETN

Stands For: Electronic Trade Notification

Definition: Trade Notification sent electronically

Category: Corporate

Acronym: ETS

Stands For: Enterprise Technology Services

Definition: Units within NMS responsible for designing, building, leveraging, and supporting our corporate in

Category: Technology

Acronym: ETT

Stands For: Electronic Trade Transmission

Definition: Trade that is transmitted electronically

Category: Corporate

Acronym: EU

Stands For: European Union

Definition: European Union

Category: Industry

Acronym: EU SAVINGS DIRECTIVE

Stands For: Key Term

Definition: The EU taxation savings directive was adopted on 03-Jun-03. The objective of the directive is to

Category: Industry

Acronym: EUR

Stands For: Europe

Definition: Euro Member Countries

Category: Industry

Acronym: Euro

Stands For: Euro Currency

Definition: Currency - The single monetary unit that unifies the currencies of 11 countries in Europe.

Category: Currency

Acronym: Eurobond

Stands For: Key Term

Definition: An international bond issued and traded outside the country of the borrower and outside the reg

Category: Industry

Acronym: Eurobond Equivalent Yield

Stands For: Key Term

Definition: Yield to maturity calculated using a 30E/360 day-count basis.

Category: Industry

Acronym: EUROCLEAR

Stands For: European Clearing

Definition: Major international depository, in Brussels.

Category: Industry

Acronym: Euroclear Eligible

Stands For: Key Term

Definition: This indicates if a security is eligible for settlement at Euroclear, a European clearinghouse located in Belgium.

Category: Industry

Acronym: Eurodollar

Stands For: Key Term

Definition: U.S. dollars held by foreign institutions outside the United States. Commonly used for settlement

Category: Industry

Acronym: European Depositary Receipts (EDR)

Stands For: Key Term

Definition: Usually listed on a European exchange, represented by a single global certificate, and deposited

Category: Industry

Acronym: European Option

Stands For: Key Term

Definition: A futures option that can be exercised only on the expiration date.

Category: Industry

Acronym: EuroYen

Stands For: Key Term

Definition: Japanese yen invested in European markets. Current rates are available on the financial assum

Category: Industry

Acronym: EV

Stands For: Electronic Validation (reconciliation)

Definition:

Category:

Acronym: Eventor

Stands For: Event processor

Definition: Extended definition needed

Category: Technology

Acronym: EXAP

Stands For: Expense Accrual Posting

Definition: MCH Code For Expenses Function Expense Accrual Posting

Category: Accounting

Acronym: Exchange

Stands For: Key Term

Definition: The marketplace in which shares, options and futures on stocks, bonds, commodities and indices

Category: Industry

Acronym: Exchange Privilege

Stands For: Key Term

Definition: The right offered shareholders to exchange shares of one fund for shares of another in the same

Category: Industry

Acronym: Exchange rate

Stands For: Key Term

Definition: The price at which one currency trades for another.

Category: Industry

Acronym: Exchange Rate Source

Stands For: Key Term

Definition: This is a fund level policy that identifies that source of exchange rates to be used in pricing secu

Category: Industry

Acronym: Ex-Coupon

Stands For: Key Term

Definition: When a security trades without the coupon. The seller will continue to receive the next interest p

Category: Industry

Acronym: Ex-Dividend Date

Stands For: Key Term

Definition: The cutoff date after which the purchasers of stock will not be entitled to the dividend.

Category: Industry

Acronym: eXe

Stands For:

Definition: Extended Enterprise

Category:

Acronym: Executing Broker

Stands For: Key Term

Definition: The broker executing a trade on their own behalf (as principal) or on the behalf of a client (as agent)

Category: Industry

Acronym: Executing Broker/Counterparty

Stands For: Key Term

Definition: A legal entity that acts as an intermediary to arrange a trade between a buyer and a seller.

Category: Industry

Acronym: Exercise Style

Stands For: Key Term

Definition: Indicates the frequency of which an option may be exercised. Options can have two exercise styles.

Category: Industry

Acronym: Expected Return

Stands For: Key Term

Definition: A measure of the value of an investment, taking account of its current price and the estimated fu

Category: Industry

Acronym: Expense Ratio

Stands For: Key Term

Definition: The percentage of the fund's average net assets spent for the operation and management of the

Category: Industry

Acronym: Expiration Date

Stands For: Key Term

Definition: Options on futures generally expire on a specific date during the month preceding the futures co

Category: Industry

Acronym: Export(command button)

Stands For: Key Term

Definition: Process of saving the export worksheet from NAV Alert to an excel spreadsheet. To export, right

Category: Industry

Acronym: Exposures/Outstandings

Stands For: Key Term

Definition: Interchangeable banking terms indicating the existence of credit obligations connected to a sing

Category: Industry

Acronym: EXPP

Stands For: Payment of an Expense

Definition: MCH Code For Expenses Function Payment of an Expense

Category: Accounting

Acronym: EXRA

Stands For: Expense Accrual Reversal

Definition: MCH Code For Expenses Function Expense Accrual Reversal

Category: Accounting

Acronym: EXRP

Stands For: Reverse Payment of an Expense

Definition: MCH Code For Expenses Function Reverse Payment of an Expense

Category: Accounting

Acronym: EXRT

Stands For: MCH Expense Reports

Definition: MCH Code For Expenses Function MCH Expense Reports

Category: Accounting

Acronym: EXSA

Stands For: Setup Expense Accrual

Definition: MCH Code For Expenses Function Setup Expense Accrual

Category: Accounting

Acronym: EXSB

Stands For: Expense Base/Method Setup

Definition: MCH Code For Expenses Function Expense Base/Method Setup

Category: Accounting

Acronym: EXSF

Stands For: Setup Expense Formula

Definition: MCH Code For Expenses Function Setup Expense Formula

Category: Accounting

Acronym: EXSG

Stands For: Setup Expense Groups

Definition: MCH Code For Expenses Function Setup Expense Groups

Category: Accounting

Acronym: EXSN

Stands For: Variable Expense Nav File Set-up

Definition: MCH Code For Expenses Function Variable Expense Nav File Set-up

Category: Accounting

Acronym: Extendable Security

Stands For: Key Term

Definition: A security whose early maturity date or dates may be extended to the final maturity date, at the

Category: Industry

Acronym: Extendible Bond

Stands For: Key Term

Definition: A bond where the maturity date is extendible at the option of the holder.

Category: Industry

Acronym: Extension

Stands For: Key Term

Definition: A suffix on a file name that indicates the type of data stored in it: .doc = a text document; .dot = a

Category: Technology

Acronym: Extract

Stands For: Key Term

Definition: A term used to describe the files and processing files transmitted from FiServ to State Street. Th

Category: Technology

Acronym: F/X

Stands For: Foreign Exchange

Definition: Foreign Exchange Transaction

Category: Industry

Acronym: FA

Stands For: Fund Accountant/Admin

Definition: Fund Accountant - Role. Also known as a Portfolio Accountant or Fund Administrator

Category: Technology

Acronym: FAB

Stands For: Functional Advisory Board

Definition: Functional Advisory Board (individual area portal advisory groups)

Category: Corporate

Acronym: Face Amount

Stands For: Key Term

Definition: Also "face value," "nominal value" and "par value." The value of the security as it appears on the

Category: Industry

Acronym: Facility

Stands For: Key Term

Definition: A facility is a term used to denote a product.

Category: Industry

Acronym: FaCT

Stands For: Funding and Collateral Transformation

Definition: Extended definition needed

Category: Corporate

Acronym: FACTA

Stands For: Fair and Accurate Credit Transactions Act

Definition: US consumer protection law that seeks to reduce fraud and identity theft, among other things

Category: Regulatory & Legal

Acronym: Factor

Stands For: Key Term

Definition: For securities or mortgage pools in which some or all of the principal is paid down before the scheduled maturity date

Category: Industry

Acronym: Factor Date

Stands For: Key Term

Definition: For mortgage pools, the first day of the month following the homeowners' payments and prepay

Category: Industry

Acronym: Factor Information Dates

Stands For: Key Term

Definition: For mortgage pools, the first day of the month following the homeowners' payments and prepay

Category: Industry

Acronym: Facts Tab

Stands For: Key Term

Definition: A tab located in the alert dialogue box containing the following alert information: alert message,

Category: Industry

Acronym: Fail

Stands For: Key Term

Definition: A security transaction which does not settle per its contract terms because of a failure by one of

Category: Industry

Acronym: Fail back

Stands For: Key Term

Definition: A plan to allow intended user load to run on a failed node when its available to rejoin the cluster

Category: Technology

Acronym: Fail Float

Stands For: Key Term

Definition: The float given to the buyer or seller of securities by the bank when the other party in the trade f

Category: Industry

Acronym: Failover

Stands For: Key Term

Definition: A transfer of processing from one machine to another during failure; high availability software (s

Category: Technology

Acronym: FAQ

Stands For:

Definition: Frequently Asked Questions - subject of FAQ Sheet Series

Category:

Acronym: FAR Fund and Asset Reporting

Stands For: Fund and Asset Reporting

Definition: Fund and Asset Reporting. The FAR system (<http://far.statestr.com/>) is a database that holds information

Category: Corporate

Acronym: FAS 133

Stands For: Key Term

Definition: Financial Accounting Standard 133. This is a US standard relating to derivative instruments and

Category: Industry

Acronym: FASB

Stands For: Financial Accounting Standards Board

Definition: Independent agency that establishes generally accepted accounting principles (GAAP).

Category: Regulatory & Legal

Acronym: FATCA

Stands For: Foreign Account Tax Compliance Act

Definition: US legislation requiring foreign banks to report and disclose US interests in foreign financial inst

Category: Regulatory & Legal

Acronym: FAW

Stands For: Fund Admin Workstation

Definition: Fund Admin Workstation; an application

Category: Corporate

Acronym: FBE

Stands For: (FED) Book Entry

Definition: SSBs Federal Reserve Bank Boston (FED) Book Entry System

Category: Corporate

Acronym: FBSI

Stands For: FidelityBrokerage System Interface

Definition: Extended definition needed

Category: Industry

Acronym: FC

Stands For: Fiduciary Committee

Definition: Committee Focused on Fiduciary responsibilities

Category: Corporate

Acronym: FCA

Stands For: Financial Conduct Authority

Definition: The conduct regulator for 56000 financial services firms and financial markets in the UK and the

Category: Industry

Acronym: FCD

Stands For: Financial Collateral Directive

Definition: Financial Collateral Directive (directive 2002/47/EC)

Category: Industry

Acronym: FCM

Stands For: Futures Commission Merchant

Definition: A clearing broker that acts as an agent for client trading on derivatives exchanges

Category: Industry

Acronym: FCS

Stands For: Financial Control Services

Definition: Financial Control Services. The bank's general ledger. Financial Control System is States Street

Category: Corporate

Acronym: FDA

Stands For: Fidessa Data Architecture

Definition: Extended definition needed

Category: Technology

Acronym: FDDI

Stands For: Fiber Distributed Data Interface

Definition: Fiber Distributed Data Interface is a token-passing LAN that uses optical fiber cabling and transmits data at 100 Mbps.

Category: Technology

Acronym: FDI

Stands For: Foreign Direct Investment

Definition: Direct often physical investment (i.e.. for infrastructure or factories) in another country; often Chi

Category: Industry

Acronym: FDIC

Stands For: Federal Deposit Insurance Corporation

Definition: US government institution providing deposit insurance to banks

Category: Industry

Acronym: FDICIA

Stands For: The Federal Deposit Insurance Corporation Improvement Act of

Definition: Extended definition needed

Category: Technology

Acronym: FDR

Stands For: Fast Dump Restore

Definition: Innovation Data Processing backup recovery software for MVS main frame.

Category: Technology

Acronym: FDW

Stands For: Financial Data Warehouse

Definition: Extended definition needed

Category: Finance

Acronym: FED

Stands For: Federal Reserve Bank

Definition: A regional bank of the Federal Reserve System, the central banking system of the United States

Category: Industry

Acronym: Fed Eligible

Stands For: Key Term

Definition: This indicates if a security is eligible for settlement in the Federal Reserve Book Entry System. T

Category: Industry

Acronym: Fed Funds

Stands For: Key Term

Definition: (1) Deposits held by member banks at the Federal Reserve to maintain their reserve requirements

Category: Industry

Acronym: FED or FRB

Stands For: Key Term

Definition: Federal Reserve Bank. Acts as depository for treasury securities for member banks.

Category: Industry

Acronym: Federal Home Mortgage Corporation (FHLMC or "Freddie Mac")

Stands For: Key Term

Definition: A federally created corporation established to facilitate the financing of single-family residential h

Category: Industry

Acronym: Federal National Mortgage Association (FNMA or "Fannie Mae")

Stands For: Key Term

Definition: A government-sponsored private corporation authorized to purchase and sell mortgages and to

Category: Industry

Acronym: Federal Reserve System

Stands For: Key Term

Definition: The central bank of the United States consists of 12 regional Reserve Banks. All US national ba

Category: Industry

Acronym: Federated

Stands For: Key Term

Definition: financial company whith which State Street has a strategic alliance

Category: Technology

Acronym: Fedwire

Stands For: Key Term

Definition: The US Federal Reserve System's nationwide electronic communications network used primarily for wire transfers of funds.

Category: Industry

Acronym: FEEDER FUND

Stands For: Key Term

Definition: A feeder fund has as its principal objective investment in another single fund (the master fund).

Category: Industry

Acronym: FEP

Stands For: Front End Processor

Definition: Also known as a communications processor. A small-sized computer which interfaces to the ho

Category: Technology

Acronym: FEP Project

Stands For: Front-End Processor Project

Definition: Failover/DR/new data center initiative by NMS

Category: Technology

Acronym: FESCO

Stands For: Forum of European Securities Commissions

Definition: European organization founded in 1997 that brings together 17 supervisors in EU financial market

Category: Industry

Acronym: FFC

Stands For: Flexible Fund Cycle

Definition: Extended definition needed

Category: Accounting

Acronym: FFI

Stands For: Foreign Financial Institution

Definition: Under FATCA, a non-US financial institution

Category: Institution or Association

Acronym: FFIEC

Stands For: Federal Financial Institutions Examination Council

Definition: Under FATCA, Federal Financial Institutions Examination Council

Category: Institution or Association

Acronym: FGLB

Stands For: Multi-Currency Ledger Postings

Definition: MCH Code For Booking Function Multi-Currency Ledger Postings

Category: Accounting

Acronym: FGLU

Stands For: Multi-Currency Ledger Postings

Definition: MCH Code For Booking Function Multi-Currency Ledger Postings

Category: Accounting

Acronym: FGO

Stands For: Fenergo

Definition: Fenergo (Onboarding platform)

Category: Corporate

Acronym: FHLMC

Stands For: Federal Home Loan Mortgage Corporation

Definition: Federal Home Loan Mortgage Corporation

Category: Industry

Acronym: FI

Stands For: Financial Institution

Definition: A general term for a Financial Institution

Category: Institution or Association

Acronym: Fiduciary

Stands For: Key Term

Definition: Individual given the legal power, usually through a trust, to participate in the management of the

Category: Industry

Acronym: Fiduciary Deposit

Stands For: Key Term

Definition: See NOMINEE DEPOSIT.

Category: Industry

Acronym: FIE

Stands For: An overnight extract for Fixed Income Extended

Definition:

Category:

Acronym: FIFO

Stands For: Key Term

Definition: First in, first out

Category: Industry

Acronym: FIFO Gain/Loss

Stands For: Key Term

Definition: First-in/First-out calculation of gain/loss on a security sale.

Category: Industry

Acronym: FIN

Stands For: Key Term

Definition: S.W.I.F.T.'s existing store-and-forward messaging service. It enables financial institutions to exco

Category: Industry

Acronym: FIN Copy

Stands For: Key Term

Definition: A function of the S.W.I.F.T. network that supports the clearing, netting and settlement of high-value payments

Category: Industry

Acronym: Financial Leverage

Stands For: Key Term

Definition: Use of debt to increase the expected return on equity, measured by the ratio of assets to equity.

Category: Industry

Acronym: Financial Statements

Stands For: Key Term

Definition: The balance sheet, income statement, statement of changes in financial position, statement of o

Category: Industry

Acronym: FinApps

Stands For: Financial applications

Definition: Financial applications used by State Street, e.g., Oracle General Ledger, Payables, Receivables

Category: Corporate

Acronym: FinCEN

Stands For: Financial Crimes Enforcement Network

Definition: A bureau of the United States Department of the Treasury that collects and analyzes information

Category: Industry

Acronym: Fine-sort

Stands For: Key Term

Definition: The sorting of checks into account number order for filing, sorting in a specially defined order for

Category: Technology

Acronym: FINRA

Stands For: Financial Industry Regulatory Authority

Definition: An independent, non-governmental regulator for all securities firms doing business with the public

Category: Regulatory & Legal

Acronym: FIRA

Stands For: Financial Institutions Regulatory and Interest Rate Control Act

Definition: An act to extend the authority for the flexible regulation of interest rates on deposits and accounts

Category: Regulatory & Legal

Acronym: Firecall ID

Stands For: Key Term

Definition: Temporary ID issued by security to allow someone access to a system who ordinarily doesnt ha

Category: Technology

Acronym: First Call Date

Stands For: Key Term

Definition: The earliest date specified in the prospectus supplement on which optional redemptions may take place.

Category: Industry

Acronym: First Coupon Date

Stands For: Key Term

Definition: The first date after settlement on which a coupon is paid to the buyer.

Category: Industry

Acronym: First Income Date

Stands For: Key Term

Definition: The first day that interest income will be paid to the owners of a fixed income or money market in

Category: Industry

Acronym: First Payment Date

Stands For: Key Term

Definition: For CMOs, the first date on which an interest payment is paid to any investor in any tranche. Also

Category: Industry

Acronym: FirstWatch

Stands For: Key Term

Definition: Failover software; used on servers with SOD/Cash Sweep, ILMS, Corporate Action, CSW Extra

Category: Technology

Acronym: FIS

Stands For: Financial Information Services

Definition: Business Unit for Financial Information Services

Category: Corporate

Acronym: Fiscal Year

Stands For: Key Term

Definition: The financial or tax year, not necessarily the same as the calendar year.

Category: Industry

Acronym: FiServ Sequence Number

Stands For: Key Term

Definition: See DIN.

Category: Technology

Acronym: FISERVE

Stands For: Key Term

Definition: In order to better service our customers, SSB opened accounts at correspondent banks in differ

Category: Technology

Acronym: FIX

Stands For: Financial Information eXchange

Definition: protocol is an electronic communications protocol initiated in 1992 for international real-time exchange of financial market data

Category: Technology

Acronym: FIX (Financial Information Exchange)

Stands For: Key Term

Definition: Messaging standard used in the securities market.

Category: Industry

Acronym: Fixed Coupon Rate

Stands For: Key Term

Definition: Coupon rate on a security that remains constant throughout the life of the bond.

Category: Industry

Acronym: Fixed Income

Stands For: Key Term

Definition: A security representing a loan that pays a fixed rate of return to the owner. Fixed income instrument

Category: Industry

Acronym: Fixed Production Cost

Stands For: Key Term

Definition: Production expense that does not vary with volume.

Category: Industry

Acronym: Fixed Rate Based Interest Indices

Stands For: Key Term

Definition: These are interest rates based on a fixed rate defined by the Bank plus or minus a certain percentage

Category: Industry

Acronym: Fixed-Income Fund

Stands For: Key Term

Definition: The goal of fixed income funds is to provide high current income consistent with the preservation

Category: Industry

Acronym: Fixed-Income Security

Stands For: Key Term

Definition: A security, including bonds and preferred stocks, providing a stated percent of return.

Category: Industry

Acronym: FJD

Stands For: Fiji Dollar

Definition: Currency - Fiji Dollar

Category: Currency

Acronym: FKP

Stands For: Falkland Islands (Malvinas) Pound

Definition: Currency - Falkland Islands (Malvinas) Pound

Category: Currency

Acronym: Flat Price

Stands For: Key Term

Definition: Price of a bond without accrued interest. Bond traders typically quote flat price, although purcha

Category: Industry

Acronym: Flexible Diversified Fund

Stands For: Key Term

Definition: One permitted by the prospectus to convert some or all of assets to cash at the discretion of the

Category: Industry

Acronym: Flexible Portfolio funds

Stands For: Key Term

Definition: These funds are designed to provide high total return by investing in common stocks, bonds and

Category: Industry

Acronym: FLM

Stands For: First Line Manager

Definition: First Line Manager - Role. Direct Manager of associates

Category: Corporate

Acronym: Float

Stands For: Key Term

Definition: The portion of a banks total deposits or of a depositors account that represents items (checks, c

Category: Technology

Acronym: Float Table

Stands For: Key Term

Definition: This is a partitioned data set file that contains members whose names are a combination of a co

Category: Technology

Acronym: Float, Assigned, Unassigned

Stands For: Key Term

Definition: Assigned float is the customers availability and the unassigned float is the \$\$\$ that we hold from

Category: Technology

Acronym: Floating Rate

Stands For: Key Term

Definition: A coupon rate that fluctuates based on the movements of an underlying index. For example, a b

Category: Industry

Acronym: Floating Rate Based Interest Indices

Stands For: Key Term

Definition: These are interest rates based on an internal Bank of Bermuda base rate, plus or minus a certain

Category: Industry

Acronym: Floating Rate Formula

Stands For: Key Term

Definition: An equation that is used to determine the coupon rate (interest income) of a fixed income securi

Category: Industry

Acronym: Floating Rate Note (FRN)

Stands For: Key Term

Definition: An instrument with a fluctuating interest rate as prescribed at the time of issue.

Category: Industry

Acronym: Floating Rate Spread

Stands For: Key Term

Definition: A static number of basis points representing the difference between the floating rate and the unc

Category: Industry

Acronym: Floating-Rate Coupon

Stands For: Key Term

Definition: Coupon rate that varies with ("floats against") a standard market benchmark or index.

Category: Industry

Acronym: Floating-Rate Note

Stands For: Key Term

Definition: Government or agency security with a floating coupon, reset periodically against a short-term in

Category: Industry

Acronym: Floating-Rate Tranche

Stands For: Key Term

Definition: A tranche with a coupon that floats against an index or a Treasury rate. The coupon is reset per

Category: Industry

Acronym: FLOD

Stands For: First Line of Defence

Definition: Generally refers to the operations teams that handle the first interaction with the client & data. F

Category: Corporate

Acronym: Flotation

Stands For: Key Term

Definition: The occasion on which a company's shares are offered on a market for the first time.

Category: Industry

Acronym: Flower Bonds

Stands For: Key Term

Definition: The oldest low-coupon U.S. Treasury securities. Flower bonds have a special provision that lets

Category: Industry

Acronym: FM

Stands For: Funds Management

Definition: Extended definition needed

Category: Corporate

Acronym: FMG

Stands For: Financial Markets Group

Definition: Extended definition needed

Category: Corporate

Acronym: FMM

Stands For: File Maintenance Menu

Definition: MCH Code For Function File Maintenance Menu

Category: Accounting

Acronym: FMR

Stands For: Fidelity Management & Research Company

Definition: The investment advisor to the Fidelity family of mutual funds

Category: Industry

Acronym: FNMA

Stands For: Federal National Mortgage Association

Definition: Federal National Mortgage Association

Category: Institution or Association

Acronym: Focus

Stands For: Key Term

Definition: A mode that provides access to a particular window, field, button, or list item. For windows , focu

Category: Industry

Acronym: FODC

Stands For:

Definition: Front Office Data Control

Category:

Acronym: FoHF

Stands For: Fund of Hedge Funds

Definition: A fund of funds that invests in a portfolio of hedge funds

Category: Industry

Acronym: FOK

Stands For: Fill Or Kill

Definition: "An order to buy or sell a stock that must be executed immediately"--a few seconds, customarily

Category: Industry

Acronym: FOP

Stands For: Free of Payment

Definition: Delivery is made "free of payment"

Category: Industry

Acronym: Forecasting

Stands For: Key Term

Definition: An estimating or projecting of costs or revenues or both. May also relate to estimating the trend

Category: Industry

Acronym: Foreign Bonds

Stands For: Key Term

Definition: Bonds issued in a domestic market in the domestic currency by a foreign borrower.

Category: Industry

Acronym: Foreign Currency

Stands For: Key Term

Definition: The currencies of individual countries other than the local currency.

Category: Industry

Acronym: Foreign Exchange

Stands For: Key Term

Definition: Currencies which are traded either spot or forward.

Category: Industry

Acronym: Forward Book

Stands For: Key Term

Definition: The total of net forward positions in various currencies, reflecting either current trading or the Ba

Category: Industry

Acronym: Forward FX

Stands For: Key Term

Definition: A Forward FX deal is an agreement between the bank and another party to exchange one currency for another at a predetermined rate.

Category: Industry

Acronym: Forward FX Contract

Stands For: Key Term

Definition: A foreign currency contract that will settle some specified time in the future.

Category: Industry

Acronym: Forward Points

Stands For: Key Term

Definition: Also called "implied forward rates." The return over a future holding period implicit in the current

Category: Industry

Acronym: Forward Rate

Stands For: Key Term

Definition: If immediate delivery is not required, the exchange is conducted at a Forward Rate. If a currency

Category: Industry

Acronym: Forward-Forward

Stands For: Key Term

Definition: A transaction for the purchase, at a future date, of an instrument maturing at a further future date

Category: Industry

Acronym: Forwards

Stands For: Key Term

Definition: Commit both buyer and seller to trade a fixed amount of a given asset at a specific price on a future date

Category: Industry

Acronym: FOS

Stands For: Financial Ombudsman Service

Definition: an ombudsman in the United Kingdom. It was established in 2000, and given statutory powers in

Category: Industry

Acronym: Fourth Market

Stands For: Key Term

Definition: The direct trading of large blocks of securities between institutional investors bypassing the broker

Category: Industry

Acronym: FPA

Stands For: Financial Planning Association of Australia

Definition: Trade group representing financial planners in Australia

Category: Institution or Association

Acronym: FPC

Stands For: Financial Policy Committee

Definition: An official committee of the Bank of England, modelled on the already well established Monetary

Category: Industry

Acronym: FpML

Stands For: Financial products Markup Language

Definition: A business information exchange standard based on Extensible Markup Language (XML) that

Category: Industry

Acronym: FPRC

Stands For: Automated Forward Pricing

Definition: MCH Code For FX Function Automated Forward Pricing

Category: Accounting

Acronym: FRA

Stands For: Forward Rate Agreement

Definition: An agreement between two parties who want to protect themselves against future movements in

Category: Industry

Acronym: FraudGuard

Stands For: Key Term

Definition: A software product licensed from ImageSoft Technologies starting in 2003 that will automate our

Category: Technology

Acronym: FRB

Stands For: Federal Reserve Bank

Definition: Federal Reserve Bank. See Fed

Category: Technology

Acronym: FRBB

Stands For: Federal Reserve Bank of Boston

Definition: Federal Reserve Bank of Boston

Category: Industry

Acronym: FRED

Stands For: Funds Risk Evaluation Database

Definition: Database tracking risk evaluation of investment funds

Category: Compliance & Risk

Acronym: Free Balance

Stands For: Key Term

Definition: This is a level of balance on an account that attracts no interest. It can favour the Bank where th

Category: Industry

Acronym: Frequency

Stands For: Key Term

Definition: Number of coupon installments paid annually. Zero-coupon bonds, which pay no coupons, have

Category: Industry

Acronym: Front-End Load (Initial Sales Commission)

Stands For: Key Term

Definition: A sales charge levied at the time of purchase. Most mutual fund share classes charge a fee at the

Category: Industry

Acronym: Frontier

Stands For: Key Term

Definition: A reconciliation tool used primarily by TA, that receives statement feeds from bank accounts and

Category: Corporate

Acronym: FSA

Stands For: Financial Services Authority

Definition: Name of UK and Japanese Regulatory authorities.

Category: Regulatory & Legal

Acronym: FSB

Stands For: Financial Stability Board

Definition: Coordinates national financial authorities and international standard setting bodies and develops

Category: Regulatory & Legal

Acronym: FSC

Stands For: Financial Services Council

Definition: Australian lobby organization representing wealth managers

Category: Institution or Association

Acronym: FSMA

Stands For: Financial Services and Markets Act 2000

Definition: An Act of the Parliament of the United Kingdom that created the Financial Services Authority (FS)

Category: Industry

Acronym: FSOM

Stands For: Future State Operating Model

Definition: General Term referring to a future operating model

Category: Corporate

Acronym: FSSC

Stands For: Finance Shared Services Center

Definition: Function within Finance which is utilized by multiple business units

Category: Finance

Acronym: FSTB

Stands For: Financial Service and Treasury Bureau

Definition: Develops government policy on finance and treasury in Hong Kong

Category: Regulatory & Legal

Acronym: FT-Actuaries World-Nordic Index

Stands For: Key Term

Definition: The FT-Actuaries World Nordic Index is a market capitalization weighted index of over 90 stocks

Category: Industry

Acronym: FT-All Shares Index

Stands For: Key Term

Definition: The FT-All Shares Index is a market capitalization weighted index of over 750 stocks traded in the

Category: Industry

Acronym: FTC

Stands For: Federal Trade Commission

Definition: Independent agency of the United States government, established in 1914 by the Federal Trade

Category: Industry

Acronym: FTE

Stands For: Full-Time Employee

Definition: An employee working standard full time work hours

Category: Industry

Acronym: FTK

Stands For: Financial Assessment Framework

Definition: Dutch regulatory pensions framework

Category: Industry

Acronym: FTM

Stands For: Financial Transaction Management

Definition: Financial Transaction Management; an application

Category: Technology

Acronym: FTOP

Stands For: Funds Transfer and Transaction Origination Policy

Definition: See FTTOP. Governs security for authorization of custody transactions

Category: Corporate

Acronym: FTP

Stands For: File Transfer Protocol

Definition: File Transfer Protocol- Data transmission method

Category: Technology

Acronym: FTS

Stands For: Fund Technology Services

Definition: Fund Technology Services. A service unit under Business Technology Services.

Category: Corporate

Acronym: FTSE

Stands For: Key Term

Definition: Index (sample) of stocks on the London Stock Exchange. Its performance provides an indication

Category: Industry

Acronym: FTSE 100

Stands For: Financial Times Stock Exchange

Definition: The "footsie 100" is an index of the 100 largest companies traded on the London Stock Exchange

Category: Industry

Acronym: FTT

Stands For: Financial Transaction Tax

Definition: A levy placed on a specific type of monetary transaction for a particular purpose. The concept ha

Category: Industry

Acronym: FTTOP

Stands For: Funds Transfer and Transaction Origination Policy

Definition: Funds Transfer and Transaction Origination Policy - Governs security for authorization of custod

Category: Corporate

Acronym: FTV

Stands For: Futures Trading and Valuation

Definition: Futures Trading and Valuation

Category: Industry

Acronym: Full Price

Stands For: Key Term

Definition: Bond's quoted price plus accrued interest. The buyer must pay the full price to the seller by the s

Category: Industry

Acronym: Fund

Stands For: Key Term

Definition: A legal entity that can be represented by a collection of securities or portfolios. There are many f

Category: Industry

Acronym: Fund Accountant

Stands For: Key Term

Definition: Fund accountants on the Assignment list of a workflow policy are responsible for researching the

Category: Industry

Acronym: Fund Country

Stands For: Key Term

Definition: Country in which the Fund is a taxed entity.

Category: Industry

Acronym: Fund Currency

Stands For: Key Term

Definition: The base currency in which the Financial Reports are reported.

Category: Industry

Acronym: Fund Manager

Stands For: Key Term

Definition: One of the roles appointed to a fund participant. Fund managers on the Review list of a workflow

Category: Industry

Acronym: Fund of Funds

Stands For: Key Term

Definition: A mutual fund that invests in other mutual funds. This structure allows investors to diversify and

Category: Industry

Acronym: Fund Participant

Stands For: Key Term

Definition: An individual who has access to a fund.

Category: Industry

Acronym: Fund Workbook

Stands For: Key Term

Definition: Comprised of a set of system and user worksheets in which fund activity and balances are calcu

Category: Industry

Acronym: Fundamental Analysis

Stands For: Key Term

Definition: Using the company's financial fundamentals, such as the income statement and balance sheet,

Category: Industry

Acronym: Funding Account

Stands For: Key Term

Definition: A funding account is the account within a relationship, such as ACLAIM, that provides the funding

Category: Technology

Acronym: Funds

Stands For: Key Term

Definition: Generally, working capital or current assets less current liabilities. Sometimes used to refer to ca

Category: Industry

Acronym: Funds Checking

Stands For: Key Term

Definition: Funds Checking is the process whereby a transaction is checked against available funds before

Category: Industry

Acronym: Funds Management

Stands For: Key Term

Definition: Cash position management which often includes daily automatic sweeping of excess cash into i

Category: Industry

Acronym: Fungible

Stands For: Key Term

Definition: Fungible means interchangeable. For example fungible loan payments refers to the ability to dir

Category: Industry

Acronym: Funnel Bond

Stands For: Key Term

Definition: A type of sinking-fund bond in which the issuer can redeem a specified amount of its total debt o

Category: Industry

Acronym: Fution

Stands For: Key Term

Definition: A Fution is a type of financial instrument (specifically a Listed Derivative product). These Option

Category: Technology

Acronym: Future

Stands For: Key Term

Definition: An exchange traded, standardised contract to purchase or sell an agreed amount of a commodity

Category: Industry

Acronym: Future Dated Payment

Stands For: Key Term

Definition: A future dated payment is a payment to be made at a future date. Payments may be future date

Category: Industry

Acronym: Future Value

Stands For: Key Term

Definition: Also "FV." Value of present dollars at a future time, given as $P(1 + R)^T$, where P is dollar amount

Category: Industry

Acronym: Futures

Stands For: Key Term

Definition: Standardized forward agreements traded on exchanges. They allow traders to lock in prices for

Category: Industry

Acronym: Futures Contract

Stands For: Key Term

Definition: A contract to buy or sell a specific amount of a particular grade of securities or commodities for a

Category: Industry

Acronym: Futures Delivery

Stands For: Key Term

Definition: The process of meeting an obligation to deliver or receive securities or commodities on a date a

Category: Industry

Acronym: FVA

Stands For: Funding Valuation Adjustment

Definition: A cost which generally cannot be passed on to the counterparty and knowing it is imperative for

Category: Industry

Acronym: FWA

Stands For: Flex Work Arrangements

Definition: State Street Term for allowing flexible working arrangements for location and hours worked

Category: Human Resources

Acronym: FWI

Stands For: Fed Wire In

Definition: Receipt of a Fed Wire

Category: Industry

Acronym: FWO

Stands For: Fed Wire Out

Definition: Outflow of a Fed Wire

Category: Industry

Acronym: FX

Stands For: Foreign Exchange

Definition: Foreign Exchange Transaction

Category: Industry

Acronym: FX Connect

Stands For: Key Term

Definition: Front end input processor for Global Link to OMS for FX transactions. See MultiBank FX.

Category: Technology

Acronym: FX Contracts

Stands For: Foreign Currency Exchange Contracts

Definition: Foreign Currency Exchange Contracts for transactions

Category: Industry

Acronym: FX Line of Credit

Stands For: Key Term

Definition: The FX Line of Credit is the means by which the Bank manages the credit risk exposure of forward

Category: Industry

Acronym: FX Margin Account

Stands For: Key Term

Definition: An FX Margin Account is similar to the FX Line of Credit. A client lodges cash and/or securities a

Category: Industry

Acronym: FX Query

Stands For: Key Term

Definition: System used by Global Advisors to account for foreign currency contracts.

Category: Technology

Acronym: FXC

Stands For: FX Connect

Definition: FX Connect - Platform enabling clients to trade FX

Category: Corporate

Acronym: FXIX

Stands For: Cancel Staged Release IBS Bound for Ex

Definition: MCH Code For FX Function Cancel Staged Release IBS Bound for Ex

Category: Accounting

Acronym: FXNU

Stands For: FX Gross and Netting

Definition: MCH Code For FX Function FX Gross and Netting

Category: Accounting

Acronym: FXOMS

Stands For: Foreign Exchange Order Management System

Definition: Foreign Exchange Order Management System (application)

Category: Corporate

Acronym: FXs

Stands For: Foreign Currency Exchange

Definition: Foreign Currency Exchange Transactions

Category: Industry

Acronym: FCTX

Stands For: FX Buy/Sell

Definition: MCH Code For FX Function FX Buy/Sell

Category: Accounting

Acronym: FYEO

Stands For: For Your Eyes Only

Definition: For Your Eyes Only (State Street's corporate information training)

Category: Corporate

Acronym: G-20

Stands For: Group of 20

Definition: A group of finance ministers and Central Bank governors from 20 of the world's industrial econo

Category: Institution or Association

Acronym: G-30

Stands For: Key Term

Definition: See Group of Thirty.

Category: Industry

Acronym: GA

Stands For: State Street Global Advisors

Definition: State Street Global Advisors - Division within State Street

Category: Corporate

Acronym: GAAP

Stands For: Generally Accepted Accounting Principles

Definition: Framework of accounting guidelines for financial statements

Category: Industry

Acronym: GABRIEL

Stands For: Gathering Better Regulatory Information Electronically

Definition: Gathering Better Regulatory Information Electronically (reg reporting Finance)

Category: Corporate

Acronym: GAIA

Stands For: Globally Aligned IT Architecture

Definition: The automated Global Desktop Build process used by Desktop engineers worldwide to build PC

Category: Technology

Acronym: Gain/Loss

Stands For: Key Term

Definition: Realized (on a sale); the difference between what security was bought and sold for. Unrealized

Category: Industry

Acronym: Gamma

Stands For: Key Term

Definition: Rate of change of an option's delta with respect to the price of the underlying security. Closely r

Category: Industry

Acronym: GAP

Stands For: Global Accounting Policy

Definition: Global Accounting Policy

Category: Corporate

Acronym: Gateway

Stands For: Key Term

Definition: A device that translates from one protocol to another.

Category: Technology

Acronym: GBFM

Stands For: Acronym needed

Definition: former designation for FMG

Category: Technology

Acronym: GBP

Stands For: United Kingdom Pound

Definition: Currency - United Kingdom Pound

Category: Currency

Acronym: GCAD

Stands For: Global Corporate Actions Department

Definition: Business Unit focused on Global Corporate Actions

Category: Corporate

Acronym: GCAS

Stands For: Global Corporate Action System

Definition: Extended definition needed

Category: Corporate

Acronym: GCCO

Stands For: Global Custody Cash Operations

Definition: Team focused on Cash Operations

Category: Corporate

Acronym: GCF

Stands For: Global Credit Finance

Definition: Extended definition needed

Category: Corporate

Acronym: GCM

Stands For: Global Cash Management

Definition: Extended definition needed

Category: Corporate

Acronym: GCS

Stands For: Global Confirmation System

Definition: Global Confirmation System, controls/tracks trades and creates ETD files sent to MCH. Global C

Category: Technology

Acronym: GCSO

Stands For: Global Chief Sanctions Officer

Definition: Global Chief Sanctions Officer - Role

Category: Corporate

Acronym: GCW

Stands For: Global Curriculum Website

Definition: Extended definition needed

Category: Corporate

Acronym: GDP

Stands For: Gross Domestic Product

Definition: The market value of complete goods and services produced in a country in a given year.

Category: Industry

Acronym: GDPS

Stands For: Global Data Processing Services

Definition: Now known as Information Processing Services; SSC division, formerly known as GNCS

Category: Technology

Acronym: GDR

Stands For: Global Depositary Receipt

Definition: A receipt that represents ownership of shares in a foreign-based corporation traded in numerous

Category: Industry

Acronym: GEAC

Stands For: Acronym needed

Definition: General Ledger system from Dun & Bradstreet software.

Category: Technology

Acronym: GEIC

Stands For: General Electric Investment Corporation

Definition: Branch of GE focused on asset investment.

Category: Industry

Acronym: GEM

Stands For: Global Enterprise Manager

Definition: Whole-application monitoring product from Tivoli

Category: Technology

Acronym: GEMS

Stands For: Global Entity Management System

Definition: Extended definition needed

Category: Corporate

Acronym: General Obligation Bond (GO)

Stands For: Key Term

Definition: A municipal bond which is backed by the full faith and credit (taxing and borrowing power) of a m

Category: Industry

Acronym: GEO

Stands For: Global Employee Onboarding

Definition: Extended Definition Needed

Category: Human Resources

Acronym: GEOFFREY

Stands For: Acronym needed

Definition: System magnetic card key physical access control and alarm monitoring software; SSC Card Ke

Category: Technology

Acronym: GETS

Stands For: Global Electronic Trading System

Definition: Replacing Lattice for order routing, crossing, and exchange connectivity; more scalable and offe

Category: Technology

Acronym: GFAS

Stands For: Global Financial Asset Services

Definition: State Street's Global Financial Asset Services area.

Category: Corporate

Acronym: GFBE

Stands For: Global Funds Business Engine

Definition: Extended definition needed

Category: Technology

Acronym: GFC

Stands For: Global Fiduciary Committee

Definition: Committee focused on Global Fiduciary responsibilities

Category: Corporate

Acronym: GFMA

Stands For: Global Financial Markets Association

Definition: Joins together some of the world's largest financial trade associations to develop strategies for g

Category: Institution or Association

Acronym: GFS

Stands For: Global Financial Services

Definition: Global Financial Services unit

Category: Corporate

Acronym: GFTS

Stands For: Global Financial Technology Services

Definition: Global Financial Technology Services (Willard)

Category: Technology

Acronym: GFXD

Stands For: Global Foreign Exchange Division

Definition: Global Foreign Exchange Division of GFMA (Global Financial Markets Association)

Category: Corporate

Acronym: GGP

Stands For: Guernsey Pound

Definition: Currency - Guernsey Pound

Category: Currency

Acronym: GHC

Stands For: Ghana Cedi

Definition: Currency - Ghana Cedi

Category: Currency

Acronym: GHR

Stands For: Global Human Resources

Definition: Global Human Resources Division

Category: Corporate

Acronym: GHRS

Stands For: Global Human Resources Services

Definition: Global Human Resources Services

Category: Corporate

Acronym: GI

Stands For: Global Inclusion

Definition: Global Inclusion is State Street's company-wide effort to ensure that every one of our employees

Category: Corporate

Acronym: GIC

Stands For: Global Income Control

Definition: This department supports the processing and collection of income for both US and international

Category: Technology

Acronym: GID

Stands For: Key Term

Definition: Global Identifier, is the 14-character reference number or TRN (see TRN) used by Citibank to un

Category: Industry

Acronym: Gilts

Stands For: Key Term

Definition: British government debt (treasury) securities.

Category: Industry

Acronym: GIMS

Stands For: Global Information Management System

Definition: Manages inquiries about corporate actions, income, etc.

Category: Corporate

Acronym: GIP

Stands For: Acronym needed

Definition: Guaranteed Insurance Contract accounting system used by State Street Global Advisors (SSGA)

Category: Technology

Acronym: GIPS

Stands For: Global Investment Performance Standards

Definition: Standardized global investment reporting principles, created by the CFA institute

Category: Industry

Acronym: GIS

Stands For: Global Infrastructure Services

Definition: General Term for Global Infrastructure Services

Category: Technology

Acronym: GISG

Stands For: Global Investor Services Group

Definition: Former Global Investor Services Group; headed by Ron Logue; includes Mutual Funds, Corporate

Category: Corporate

Acronym: GISGI

Stands For: Global Investor Services Group International

Definition: Extended definition needed

Category: Technology

Acronym: GIVE

Stands For: Giving, Investing, Volunteering by Employees

Definition: Corporate Citizenship employee engagement resources for paid volunteer release time and mat

Category: Corporate

Acronym: GL

Stands For: General Ledger

Definition: Part of MCH that records all accounting entries (e.g. DR and CR entries).

Category: Accounting

Acronym: GLC

Stands For: Green Light Criteria

Definition: Extended definition needed

Category: Corporate

Acronym: Global Alliance

Stands For: Key Term

Definition: Division of State Street Global Advisors (SSgA).

Category: Technology

Acronym: Global Bond

Stands For: Key Term

Definition: A bond issued in two or more countries' markets, usually by the World Bank. Coupon frequency

Category: Industry

Acronym: Global Bond funds, general

Stands For: Key Term

Definition: Funds invest in worldwide debt securities, with no stated average maturity or an average maturity

Category: Industry

Acronym: Global Bond funds, other

Stands For: Key Term

Definition: International Bond funds invest in foreign governments' and corporations' debt instruments. Two

Category: Industry

Acronym: Global Bond funds, short term

Stands For: Key Term

Definition: Funds invest in debt securities worldwide, with an average maturity of one to five years. Up to 25%

Category: Industry

Acronym: Global Custodian

Stands For: Key Term

Definition: Custodians who offer international services through a network of sub-custodian (agent) banks.

Category: Industry

Acronym: Global Custody

Stands For: Key Term

Definition: Providing custody services on an international basis. Generally, it involves setting up an agent b

Category: Industry

Acronym: Global Depositary Receipts (GDR)

Stands For: Key Term

Definition: Depositary receipts that are eligible for settlement outside the U.S. GDRs may be issued in New

Category: Industry

Acronym: Global Equity funds

Stands For: Key Term

Definition: These funds invest primarily in equity securities traded worldwide, including those of U.S. compa

Category: Industry

Acronym: Global Funds

Stands For: Key Term

Definition: These broadly diversified funds offer the highest level of diversification among international fund

Category: Industry

Acronym: Global Horizon

Stands For: Key Term

Definition: State Street's System architecture which integrates the accounting, custody, reconciliation, cash

Category: Technology

Acronym: Global IMS

Stands For: Global Investment Manager Services

Definition: State Street's Global Investment Manager Services Area.

Category: Corporate

Acronym: Global Investment Management

Stands For: Key Term

Definition: State Street Global Advisors (SSgA).

Category: Technology

Acronym: Global Link

Stands For: Key Term

Definition: Global Trading and Research (GTR) Global Link Products and Services (GLPS), Web-based, In

Category: Technology

Acronym: Global Multicurrency Horizon

Stands For: Key Term

Definition: SSB system architecture integrates accounting, custody, reconciliation, cash monitoring and de

Category: Technology

Acronym: Global Plus

Stands For: Key Term

Definition: Investment accounting system used primarily by Private Asset Management.

Category: Technology

Acronym: Global Quest

Stands For: Key Term

Definition: A VM based customer reporting application; A State Street product designed to give clients and

Category: Technology

Acronym: Global Straight Through Processing Association (GSTPA)

Stands For: Key Term

Definition: An organization of global banks, broker-dealers and fund managers whose purpose is to develop

Category: Industry

Acronym: Global Yield Curve

Stands For: Key Term

Definition: A nine-point curve updated overnight from the prior trading day's bid-side valuations for the on-t

Category: Industry

Acronym: GLOMS

Stands For: Global Limit Order Management System.

Definition: Extended definition needed

Category: Technology

Acronym: GM

Stands For: Global Markets

Definition: Global Markets division providing Investment research, trading & securities finance (lending)

Category: Corporate

Acronym: GM / SSGM

Stands For: State Street Global Markets

Definition: State Street Global Markets Division

Category: Corporate

Acronym: GMAS

Stands For: Global Macro Automation Services

Definition: Provide and create CIS approved macros for business units

Category: Corporate

Acronym: GmbH

Stands For: Acronym needed

Definition: State Street Bank GmbH (State Street Entity)

Category: Corporate

Acronym: GMERT

Stands For: Global Market Event Response Team

Definition: Extended definition needed

Category: Corporate

Acronym: GMI

Stands For: Global Markets Infrastructure

Definition: Global Markets Infrastructure; also known as "the COO"

Category: Industry

Acronym: GMIL

Stands For: Global Markets International Limited

Definition: Global Markets International Limited (State Street Entity)

Category: Corporate

Acronym: GMT

Stands For: Global Markets Technology

Definition: A service unit under Business Technology Services.

Category: Corporate

Acronym: GNCS

Stands For: Global Networking and Computing Services

Definition: Former name of GDPS; still appears in pathnames, machine names, etc.; now known as Inform

Category: Technology

Acronym: GNI

Stands For: Gross National Income

Definition: Gross National Income- Metric for measuring national wealth

Category: Industry

Acronym: GNMA

Stands For: Government National Mortgage Association

Definition: Government National Mortgage Association

Category: Institution or Association

Acronym: GNMA I

Stands For: Key Term

Definition: Pass-through mortgage-backed securities on which registered holders receive separate principal

Category: Industry

Acronym: GNMA II

Stands For: Key Term

Definition: Pass-through mortgage-backed securities on which registered holders receive an aggregate principal

Category: Industry

Acronym: GNP

Stands For: Global Network Planning

Definition: Global Network Planning, part of SSB GNCS.

Category: Technology

Acronym: GNP&M

Stands For: Global Network Planning & Management

Definition: Global Network Planning & Management; division of ITD, headed by Gary King; formerly NP&M

Category: Technology

Acronym: GO

Stands For: Global Outreach

Definition: A program that fosters engagement by connecting employees to one another during volunteer p

Category: Human Resources

Acronym: Goals

Stands For: Key Term

Definition: Specific objectives which relate to specific time periods, stated in terms of facts.

Category: Industry

Acronym: GOCC

Stands For: Global Operations & Compliance Committee

Definition: Committee focused on Global Ops and Compliance

Category: Corporate

Acronym: Gold File

Stands For: Key Term

Definition: Daily extract files produced by the Hogan system containing account demographics and balance

Category: Technology

Acronym: GOS

Stands For: Global Operations support

Definition: Global Operations GOS, a department within Data Center Operations, is responsible for the operation and maintenance of global data centers.

Category: Technology

Acronym: gospd.statestr.com

Stands For: Global Open Systems Planning & Deployment

Definition: Global Open Systems Planning & Deployment's Web site, formerly "mugsy," where server config

Category: Technology

Acronym: Government Bond

Stands For: Key Term

Definition: Debt security issued by a government.

Category: Industry

Acronym: Government Bond fund

Stands For: Key Term

Definition: A Government Bond fund pursues its objective of high current income by investing in taxable bo

Category: Industry

Acronym: Government Bond funds: general

Stands For: Key Term

Definition: Funds invest two-thirds or more of the portfolio in U.S. government securities with no stated ave

Category: Industry

Acronym: Government Bond funds: intermediate term

Stands For: Key Term

Definition: Funds invest two-thirds or more of its portfolio in U.S. government securities with an average ma

Category: Industry

Acronym: Government Bond funds: short term

Stands For: Key Term

Definition: Funds invest two-thirds or more of its portfolio in U.S. government securities with an average ma

Category: Industry

Acronym: Government Mortgage-Backed funds

Stands For: Key Term

Definition: Funds invest two-thirds or more of the portfolio in pooled mortgage-backed securities.

Category: Industry

Acronym: Government National Mortgage Association (GNMA or "Ginnie Mae")

Stands For: Key Term

Definition: An agency of the federal Department of Housing and Urban Development empowered to provide

Category: Industry

Acronym: GP

Stands For: General Partner(ship)

Definition: Owners of a partnership with unlimited liability - in private equity, the managing partner

Category: Industry

Acronym: GPA

Stands For: Global Performance Analytics

Definition:

Category:

Acronym: GPC

Stands For: Global Product Committee

Definition: Committee focused on Global Products

Category: Corporate

Acronym: GPD

Stands For:

Definition: Global Program Delivery - team within Global On-boarding Services

Category:

Acronym: GPS

Stands For: Global Payment Systems

Definition: Global Payment Systems

Category: Technology

Acronym: GQ

Stands For: Global Quest

Definition: Extended definition needed

Category: Technology

Acronym: G-RADS

Stands For: Global-Reporting Analysis Development & Support

Definition: Internal development and support organization for Global Payment Systems and Securities

Category: Technology

Acronym: Gradual Shift

Stands For: Key Term

Definition: A movement over time of the U.S. Treasury yield curve.

Category: Industry

Acronym: Green Book

Stands For: Key Term

Definition: The Green Book is a monthly document that details the profitability performance of each business

Category: Technology

Acronym: GREP

Stands For: Global regular expression print

Definition: Global regular expression print; UNIX command

Category: Technology

Acronym: Grey Book

Stands For: Key Term

Definition: The Grey Book documents initiatives to improve the Horizon operational environment.

Category: Technology

Acronym: Gross Coupon

Stands For: Key Term

Definition: For a mortgage pool, the weighted average of the interest rates paid by the homeowners on the

Category: Industry

Acronym: Gross Margin

Stands For: Key Term

Definition: Gross profit as a percentage of sales.

Category: Industry

Acronym: Gross Profit

Stands For: Key Term

Definition: Sales revenue minus the cost of goods sold.

Category: Industry

Acronym: Gross Spread

Stands For: Key Term

Definition: Difference between offering price on a security and price paid by an underwriter to the issuer.

Category: Industry

Acronym: Group of Thirty

Stands For: Key Term

Definition: An international economic consortium which studies world-wide economic situations and makes

Category: Industry

Acronym: Growing-Equity Mortgages

Stands For: Key Term

Definition: Payments for GEMs increase over the life of the mortgage. The increased payments are applied

Category: Industry

Acronym: Growth and Income Funds

Stands For: Key Term

Definition: Growth and income funds are designed to pursue long-term growth as well as regular dividend i

Category: Industry

Acronym: Growth Fund

Stands For: Key Term

Definition: Funds that invest primarily in common stocks of well established companies with the potential for

Category: Industry

Acronym: Growth Investing

Stands For: Key Term

Definition: Investing in securities that are considered "cheap" relative to their financial fundamentals.

Category: Industry

Acronym: Growth Stocks

Stands For: Key Term

Definition: Stocks of companies that have shown or are expected to show rapid earnings and revenue growth

Category: Industry

Acronym: Growth-Income Fund

Stands For: Key Term

Definition: One which offers the dual objectives of long-term capital appreciation and current income. Some

Category: Industry

Acronym: GRS

Stands For: Global Reference System

Definition: Global Reference System; an application

Category: Technology

Acronym: GS

Stands For: Global Services

Definition: Global Services division providing fund accounting, fund administration and other services to clients

Category: Corporate

Acronym: G-SIB

Stands For: Global Systemically Important Bank

Definition: Banks whose failure could trigger a global financial crisis, as per the Basel Committee

Category: Regulatory & Legal

Acronym: G-SIFI

Stands For: Global Systemically Important Financial Institution

Definition: Banks whose failure could trigger a global financial crisis, as per the Financial Stability Board; list

Category: Regulatory & Legal

Acronym: GSL

Stands For: Global Securities Lending

Definition: Extended definition needed

Category: Technology

Acronym: GSMAC

Stands For: Global Securities Movement and Control

Definition: Global Securities Movement and Control is the International Custody System which provides ac

Category: Corporate

Acronym: GSS

Stands For: Global Support Services

Definition: State Street Support Team

Category: Corporate

Acronym: GST

Stands For: Goods and Services Tax

Definition: Goods and Services Tax, Australia.

Category: Industry

Acronym: GSTIF

Stands For: Government Short-Term Investment Fund

Definition: Government Short-Term Investment Fund - Money Market Investment

Category: Corporate

Acronym: GSTPA

Stands For: Global Straight-Through Processing Association

Definition: An industry association of investment managers, broker/dealers, and global custodians involved

Category: Institution or Association

Acronym: GT

Stands For: Global Treasury

Definition: Treasury unit focused on a global scale

Category: Corporate

Acronym: GT&R

Stands For: Global Trading & Research

Definition: Global Trading & Research [Formerly several divisions within Financial Markets Group (FMG).]

Category: Technology

Acronym: GTB

Stands For: Global Trade Banking

Definition: Extended definition needed

Category: Industry

Acronym: GTC

Stands For: Good 'Til Cancelled

Definition: Order can be placed by an investor to buy or sell a security at a specified price that remains active

Category: Industry

Acronym: GTEC

Stands For: Global Technical Executive Council

Definition: High level business managers and ITSC; meet quarterly; Gary King servers in this group

Category: Technology

Acronym: GTIS

Stands For: Global Treasury & Investment Services

Definition: Services unit for Global Treasury and Investments

Category: Corporate

Acronym: GTM

Stands For: Global Transaction Manager

Definition: Extended definition needed

Category: Corporate

Acronym: GTOC

Stands For: Global Transitions Oversight Comittee

Definition: Extended definition needed

Category: Corporate

Acronym: GTP

Stands For: Global Trade Processing

Definition: A global entity that manages the core infrastructure of transaction processing and recordkeeping

Category: Corporate

Acronym: GTQ

Stands For: Guatemala Quetzal

Definition: Currency - Guatemala Quetzal

Category: Currency

Acronym: GTR

Stands For: Global Trade Repository

Definition: The industry's provider of choice for global OTC derivatives

Category: Industry

Acronym: GTRS

Stands For: Global Tax Reclamation System

Definition: Global Tax Reclamation System (application; Diane Rowland coordinating implementation)

Category: Technology

Acronym: GTS

Stands For: Global Technology Services

Definition: Global Technology Services is a group of IT professionals dedicated to meeting the development

Category: Corporate

Acronym: GTSS

Stands For: Global Trade Support Services

Definition: Servicing team supporting Global Trades

Category: Corporate

Acronym: GTT

Stands For: Global Tax Table

Definition: Extended definition needed

Category: Industry

Acronym: Guarantee

Stands For: Key Term

Definition: A three-party agreement involving a promise by one party (the guarantor) to fulfil the obligation of another party (the obligor) to a third party (the obligee).

Category: Industry

Acronym: Guaranteed investment contracts:

Stands For: Key Term

Definition: GICs are usually issued by insurance companies and sold to qualified investment plans, like 401

Category: Industry

Acronym: guardian2

Stands For: Key Term

Definition: Name of server where Spectrum and MaestroVision applications run; the Spectrum and Maestro

Category: Technology

Acronym: GUI

Stands For: Graphical User Interface

Definition: User Interface in an application

Category: Technology

Acronym: GVRO

Stands For: Multi-Currency Variable Rate Requests

Definition: MCH Code For Accrual Function Multi-Currency Variable Rate Requests

Category: Accounting

Acronym: GVRR

Stands For: Multi-Currency Variable Rate Requests

Definition: MCH Code For Accrual Function Multi-Currency Variable Rate Requests

Category: Accounting

Acronym: GWTR

Stands For: Global Withholding Tax Reporting

Definition: Extended definition needed

Category: Technology

Acronym: GX

Stands For: State Street Global Exchange

Definition: Extended definition needed

Category: Industry

Acronym: GYD

Stands For: Guyana Dollar

Definition: Currency - Guyana Dollar

Category: Currency

Acronym: HA

Stands For: High Availability

Definition: Configuration in which a back-up server automatically takes over for a failed primary server.

Category: Technology

Acronym: HACMP

Stands For: High Availability Cluster Management Protocol

Definition: Extended definition needed

Category: Technology

Acronym: Hang Seng Index

Stands For: Key Term

Definition: The Hang Seng Index is a market capitalization weighted index of the stocks of the 33 largest co

Category: Industry

Acronym: HAS

Stands For: High Availability Service

Definition: High Availability Service; an ongoing network assessment by Cisco

Category: Technology

Acronym: HBS

Stands For: Hogan Billing System

Definition: See Hogan Billing System

Category: Corporate

Acronym: HC1

Stands For: Key Term

Definition: Mailstop code for Hong Kong office

Category: Corporate

Acronym: HCL

Stands For: Hindustan Computers Limited

Definition: (Hindustan Computers Limited) Indian global IT services

Category: Technology

Acronym: Heathrow Group

Stands For: Key Term

Definition: A group of 30 banks formed to address common interbank issues created by the introduction of

Category: Industry

Acronym: Hedge

Stands For: Key Term

Definition: The technique of making offsetting commitments to minimize the impact of adverse movements

Category: Industry

Acronym: Hedge Fund

Stands For: Key Term

Definition: An aggressively managed fund that uses advanced investment strategies with the aim of genera

Category: Industry

Acronym: HEDGE FUNDS

Stands For: Key Term

Definition: The term refers to private investment vehicles that utilize a wide range of investment strategies a

Category: Industry

Acronym: Hedge Ratio

Stands For: Key Term

Definition: The number of futures or options contracts that are bought or sold to hedge a position in an und

Category: Industry

Acronym: Hedging

Stands For: Key Term

Definition: Any activity that reduces risk at the transaction, security, or portfolio level.

Category: Industry

Acronym: Hedging (Currencies)

Stands For: Key Term

Definition: Eliminating some or all of one's exposure to a foreign currency by trading that currency for U.S.

Category: Industry

Acronym: Help Desk

Stands For: Key Term

Definition: The area located within the data center which determines the severity of every problem. Each H

Category: Technology

Acronym: HFT

Stands For: High Frequency Trading

Definition: High Frequency Trading - Trading Methodology

Category: Industry

Acronym: High Bond Coupon

Stands For: Key Term

Definition: For CMOs, the discount rate used for bond value calculations. This rate is usually set to the coupon rate.

Category: Industry

Acronym: High Yield Bond funds

Stands For: Key Term

Definition: High Yield Bond funds seek current income by investing two thirds or more of the portfolio in low

Category: Industry

Acronym: High-Grade Bond

Stands For: Key Term

Definition: Bond rated triple-A or double-A by Standard & Poor's or Moody's.

Category: Industry

Acronym: HKD

Stands For: Hong Kong Dollar

Definition: Currency - Hong Kong Dollar

Category: Currency

Acronym: HKMA

Stands For: Hong Kong Monetary Authority

Definition: Responsible for HK's financial regulation and banking

Category: Regulatory & Legal

Acronym: HKSE

Stands For: Hong Kong Stock Exchange

Definition: Stock exchange in Hong Kong

Category: Industry

Acronym: HLA

Stands For: Higher Loss Absorbency

Definition: Ability of FI to absorb significant losses in a market disruption event

Category: Regulatory & Legal

Acronym: HNL

Stands For: Honduras Lempira

Definition: Currency - Honduras Lempira

Category: Currency

Acronym: HNWI

Stands For: High Net Worth Individuals

Definition: Often defined as having investable assets (financial assets not including primary residence) in e

Category: Industry

Acronym: HOGAN

Stands For: None

Definition: The Bank's time and demand deposit accounting application, tracks U.S. dollar Demand Deposits

Category: Technology

Acronym: Hogan Billing System

Stands For: HBS

Definition: A mechanism developed within Hogan to accumulate, service charge and report products or ser

Category: Technology

Acronym: Holdings, Current

Stands For: Key Term

Definition: For most securities, the face amounts of issues held in a portfolio. Current holdings and original

Category: Industry

Acronym: Holdings, Original

Stands For: Key Term

Definition: The face amounts of the issues held in a portfolio. Note that current holdings and original holding

Category: Industry

Acronym: Home Office Area

Stands For: Key Term

Definition: Boston, Quincy, Westborough office sites.

Category: Technology

Acronym: Horizon Balance

Stands For: Key Term

Definition: The principal balance of a security at the horizon date, expressed as a percentage of face amount

Category: Industry

Acronym: Horizon Curve

Stands For: Key Term

Definition: A yield curve used to forecast the effects, at a particular point in the future, of interest-rate changes

Category: Industry

Acronym: Horizon Date

Stands For: Key Term

Definition: In "what-if" analyses, the point at which you plan to sell the securities.

Category: Industry

Acronym: Horizon Period

Stands For: Key Term

Definition: Period over which an investment is to be evaluated.

Category: Industry

Acronym: Horizon Price

Stands For: Key Term

Definition: The flat price of the security at the horizon date.

Category: Industry

Acronym: Horizon Scenarios or Curves

Stands For: Key Term

Definition: The user's projection of the level of interest rates at a specified future date.

Category: Industry

Acronym: Hot Issues

Stands For: Key Term

Definition: "Hot Issues "are defined by the US National Association of Security Dealers, inc (NASD) as sec

Category: Industry

Acronym: HPS

Stands For: Hourly Pricing Foreign Exchange Service

Definition: Foreign Exchange Service- Pricing Hourly

Category: Industry

Acronym: HQLA

Stands For: High Quality Liquid Assets

Definition: Can be converted easily and immediately in private markets into cash to meet their liquidity need

Category: Industry

Acronym: HR

Stands For: Human Resources

Definition: Human Resources - General Term

Category: Industry

Acronym: HR&OP

Stands For: Human Resources & Organizational Performance

Definition: Extended definition needed

Category: Corporate

Acronym: HRIS

Stands For: Human Resource Information System

Definition: Human Resource Information System - SS GHR uses PeopleSoft to manage employee data

Category: Human Resources

Acronym: HRK

Stands For: Croatia Kuna

Definition: Currency - Croatia Kuna

Category: Currency

Acronym: HUF

Stands For: Hungary Forint

Definition: Currency - Hungary Forint

Category: Currency

Acronym: HYBRID

Stands For: Key Term

Definition: Hybrid funds invest in a mix of equity and debt securities as well as derivative instruments in ord

Category: Industry

Acronym: Hypothecated Monies

Stands For: Key Term

Definition: Instruments pledged as collateral, i.e. to secure the debit balance on a margin account.

Category: Industry

Acronym: HZ

Stands For: Hangzhou

Definition: Hangzhou, China

Category: Industry

Acronym: IACT

Stands For: Identity Access and Control Transformation

Definition: Extended definition needed

Category: Corporate

Acronym: IAD

Stands For: Internal Audit Department

Definition: Extended definition needed

Category: Technology

Acronym: IAM

Stands For: Identity and Access Management

Definition: Extended definition needed

Category: Corporate

Acronym: IAS

Stands For: Inter Agency Statement

Definition: Extended definition needed

Category: Industry

Acronym: IASB

Stands For: International Accounting Standards Board

Definition: Accounting standard-setting body, based in London with 15 members from 9 countries, including

Category: Industry

Acronym: IB

Stands For: Investment Business

Definition: General Term for an Investment Business

Category: Industry

Acronym: IBAN

Stands For: International Bank Account Number

Definition: This is an expanded version of the Basic Bank Account Number (BBAN) used internationally to

Category: Industry

Acronym: IBD

Stands For: Investment Banking Division

Definition: Extended definition needed

Category: Industry

Acronym: IBG

Stands For: Intermediary Business Group

Definition: SSgA's sales and relationship management team that caters to financial intermediaries

Category: Corporate

Acronym: IBIA

Stands For: Instrument by Instrument Approach

Definition: Extended definition needed

Category: Industry

Acronym: IBOR

Stands For: Investment Book of Record

Definition:

Category:

Acronym: IBS

Stands For: Integrated Banking System

Definition: Integrated Banking System (State Street GmbH FX Trading system)

Category: Technology

Acronym: IBT

Stands For: Inter-Bank Transfer

Definition: Extended definition needed

Category: Industry

Acronym: IC

Stands For: Investment Committee

Definition: Committee that focused on what investments are advisable and compliant

Category: Industry

Acronym: ICAAP

Stands For: Internal Capital Adequacy Assessment Process

Definition: Extended definition needed

Category: Corporate

Acronym: ICAMS

Stands For: Incident Capture and Management System

Definition: Extended definition needed

Category: Corporate

Acronym: ICASH

Stands For: International Cash

Definition: International Cash component of Horizon; International Cash System used for off-book currency

Category: Corporate

Acronym: ICAV

Stands For: Irish Collective Asset-Management Vehicle

Definition: The. ICAV is being introduced by the Irish Collective Asset-management Vehicles Act 2015 (the

Category: Industry

Acronym: ICBC

Stands For: Industrial and Commercial Bank of China

Definition: China's largest state-owned commercial bank with whom SSC has a strategic partnership; ICBC

Category: Industry

Acronym: ICC

Stands For: Independent Consultants Cooperative

Definition: Group of independent investment consulting firms with pooled resources

Category: Institution or Association

Acronym: ICDP

Stands For: Individual Career Development Plan

Definition: Extended definition needed

Category: Human Resources

Acronym: ICG

Stands For: Institutional Client Group

Definition: Extended definition needed

Category: Corporate

Acronym: ICMS

Stands For: Integrated Treasury Cash Management System

Definition: A non-mission critical application used by Deposit Operations/Analysis

Category: Corporate

Acronym: Icon

Stands For: Key Term

Definition: A pictorial symbol that stands for a window or application. Turn windows into icons ("iconize" the

Category: Industry

Acronym: ICOR

Stands For: International Custody Operations Reengineering

Definition: Extended definition needed

Category: Technology

Acronym: ICRE Record

Stands For: Acronym needed

Definition: Input string or I-string. This is a string of document images created by the MICR task. On each r

Category: Technology

Acronym: ICRESET

Stands For: Issue Class Reset

Definition: MCH Post Type For Issue Class Reset

Category: Accounting

Acronym: ICRS

Stands For: Multi-Currency Issue Class Reset

Definition: MCH Code For Accrual Function Multi-Currency Issue Class Reset

Category: Accounting

Acronym: ICSD

Stands For: Investor Compensation Scheme Directive

Definition: An overview of the Investor Compensation Schemes Directive (97/9/EC) (ICSD), which required

Category: Industry

Acronym: ICVC

Stands For: Investment Trust with Variable Capital

Definition: A type of open-ended investment company (not actually a trust)

Category: Industry

Acronym: ID (Institutional Delivery) System

Stands For: Key Term

Definition: A system used by all major participants of DTC to receive automated confirmation feeds, send a

Category: Industry

Acronym: ID GFAS

Stands For: Acronym needed

Definition: Portfolio Accounting, match/book trade activity from DTC's BID to MCH.

Category: Technology

Acronym: IDB

Stands For: Inter-Dealer Broker

Definition: A specialist financial intermediary that facilitates transactions between broker-dealers, dealer ba

Category: Industry

Acronym: IDC

Stands For: Interactive Data Corporation

Definition: Euro and Asian price feed network carrier.

Category: Industry

Acronym: IDD

Stands For: Inadvertent Data Disclosures

Definition: Unauthorized disclosure of information or data to an unauthorized source

Category: Industry

Acronym: Identified Cost

Stands For: Key Term

Definition: A method used in portfolio record keeping to record the cost of security inventory. In this method

Category: Industry

Acronym: IDR

Stands For: Indonesia Rupiah

Definition: Currency - Indonesia Rupiah

Category: Currency

Acronym: IDX

Stands For: Indonesia Stock Exchange

Definition: Stock Exchange for Indonesia

Category: Industry

Acronym: iFAST

Stands For: Key Term

Definition: Primary record-keeping system used by our TA Department .The Shareholders Register & Unit

Category: Corporate

Acronym: IFDS

Stands For: International Financial Data Services

Definition: Part of Global Services, this unit offers transfer agency services to clients

Category: Corporate

Acronym: IFDSIL

Stands For: International Financial Data Services (Ireland) Limited

Definition: Joint venture between State Street Corp and DST to provide TA Services in Ireland. This is the T

Category: Industry

Acronym: IFIA

Stands For: Key Term

Definition: The Irish Funds Industry Association (IFIA) is the representative body of the international investr

Category: Industry

Acronym: IFIN

Stands For: Investors Financial

Definition: Extended definition needed

Category: Industry

Acronym: IFRS

Stands For: International Financial Reporting Standards

Definition: Global standard for preparation of public company financial statements developed by the Interna

Category: Industry

Acronym: IFS

Stands For: International Fund Services

Definition: A global financial system solution that supports the local financial, corporate, and management

Category: Technology

Acronym: IFSRA

Stands For: Key Term

Definition: The Irish Financial Services Regulatory Authority ("the Financial Regulator") is responsible for s

Category: Industry

Acronym: IFTC

Stands For: Investor Fiduciary Trust Company

Definition: Investor Fiduciary Trust Company; a division of GISG; renamed to State Street Kansas City

Category: Corporate

Acronym: IID

Stands For: Interactive Institutional Delivery

Definition: Interactive Institutional Delivery System is Depository Trust Company's (DTC) Trade Confirmation

Category: Industry

Acronym: IIS

Stands For: Institutional Investment Services

Definition: United States Financial Assets Services (USFAS); Master Services; The clients supported are p

Category: Corporate

Acronym: iLearn

Stands For: Key Term

Definition: Vended learning management system State Street uses (a PeopleSoft app)

Category: Corporate

Acronym: ILEC

Stands For: Incumbent Local Exchange Center

Definition: Incumbent Local (phone) Exchange Center, usually the original phone company, AKALEC, RBO

Category: Technology

Acronym: ILMS

Stands For: Intraday Liquidity Management System

Definition: Intraday Liquidity Management System; an application

Category: Technology

Acronym: ILS

Stands For: Israel Shekel

Definition: Currency - Israel Shekel

Category: Currency

Acronym: IM

Stands For: Initial Margin

Definition: The percentage of the purchase price of securities (that can be purchased on margin) that the in

Category: Industry

Acronym: IMA

Stands For: Insured Money market Account

Definition: Money market Account with insured deposit

Category: Industry

Acronym: IMAD

Stands For: Key Term

Definition: Is more commonly known as a Fed reference number. The IMAD or Input Message Accounting

Category: Industry

Acronym: Image Remit

Stands For: Key Term

Definition: A vendor that processes State Street's lockbox deposits and performs payee capture - payee na

Category: Technology

Acronym: Image Soft

Stands For: Key Term

Definition: This is the vendor that created the signature verifications software that we use.

Category: Technology

Acronym: IMF

Stands For: International Monetary Fund

Definition: International organization for improving the economies of its members

Category: Institution or Association

Acronym: IMG

Stands For: Intelligent Modem Gateway

Definition: Intelligent Modem Gateway (svxw1003 & svxw1004 for Westboro fax servers)

Category: Technology

Acronym: IML

Stands For: International Monetary League

Definition: International Monetary League - Luxembourg

Category: Institution or Association

Acronym: Immediate Shift

Stands For: Key Term

Definition: An instantaneous shift of the U.S. Treasury yield curve.

Category: Industry

Acronym: IMP

Stands For: Isle of Man Pound

Definition: Currency - Isle of Man Pound

Category: Currency

Acronym: Impact

Stands For: Key Term

Definition: NAV Impact is calculated by taking the difference between the current and prior values on income

Category: Industry

Acronym: Implied Repo Rate

Stands For: Key Term

Definition: The financing rate for a bond determined by its current price and its delivery price; that is, the fin

Category: Industry

Acronym: Implied Volatility

Stands For: Key Term

Definition: The value of an option is a function of five variables: the price of the underlying security; time to

Category: Industry

Acronym: IMRO

Stands For: Investment Management Regulatory Organization

Definition: Investment Management Regulatory Organization - now part of the UK FSA, providing regulator

Category: Regulatory & Legal

Acronym: IMS

Stands For: Internal Message Switch Architecture

Definition: Extended definition needed

Category: Technology

Acronym: IMW

Stands For: Investment Manager Workstation

Definition: Extended definition needed

Category: Industry

Acronym: IMWS

Stands For: Investment Management WorkStation

Definition: Investment Management WorkStation, AKA IMW.

Category: Technology

Acronym: In Default

Stands For: Key Term

Definition: This indicates that a fixed income issuer has failed to make the required payments to the bondholders

Category: Industry

Acronym: INAJ

Stands For: Interst Receivable Adjustment

Definition: AcronymInterst Receivable Adjustment

Category: Accounting

Acronym: iNAV

Stands For: Indicative Net Asset Value

Definition: Intraday per-share value of a fund

Category: Corporate

Acronym: INC

Stands For: Instances of Noncompliance

Definition: Regulatory term definining non-compliance

Category: Compliance & Risk

Acronym: INCADJD

Stands For: Dividend Income Adjustment

Definition: MCH Post Type For Dividend Income Adjustment

Category: Accounting

Acronym: INCADJI

Stands For: Interest Income Adjustment

Definition: MCH Post Type For Interest Income Adjustment

Category: Accounting

Acronym: INCDIV

Stands For: Dividend Receipt

Definition: MCH Post Type For Dividend Receipt

Category: Accounting

Acronym: INCDIVC

Stands For: Dividend Receipt Cancel

Definition: MCH Post Type For Dividend Receipt Cancel

Category: Accounting

Acronym: Incentive Compensation

Stands For: Key Term

Definition: The fee paid to a fund advisor determined by fund performance in relation to specified market in

Category: Industry

Acronym: INCINT

Stands For: Interest Receipt

Definition: MCH Post Type For Interest Receipt

Category: Accounting

Acronym: INCINTC

Stands For: Interest Receipt Cancel

Definition: MCH Post Type For Interest Receipt Cancel

Category: Accounting

Acronym: INCINTN

Stands For: Paydown Receipt

Definition: MCH Post Type For Paydown Receipt

Category: Accounting

Acronym: INCINTNC

Stands For: Paydown Receipt Cancel

Definition: MCH Post Type For Paydown Receipt Cancel

Category: Accounting

Acronym: INCINTP

Stands For: Payup Receipt

Definition: MCH Post Type For Payup Receipt

Category: Accounting

Acronym: INCINTPC

Stands For: Payup Receipt Cancel

Definition: MCH Post Type For Payup Receipt Cancel

Category: Accounting

Acronym: Inclearing

Stands For: Key Term

Definition: Checks drawn on our bank, which is sent in for collection by another bank, the FED, or a clearing

Category: Technology

Acronym: Income

Stands For: Key Term

Definition: Interest payments for bonds, dividends for stocks. The most reliable portion of investment return

Category: Industry

Acronym: Income Accrual

Stands For: Key Term

Definition: Calculating earned income which has not been received.

Category: Industry

Acronym: Income Collection

Stands For: Key Term

Definition: Collecting interest on debt securities and dividend payments on equities.

Category: Industry

Acronym: Income Currency

Stands For: Key Term

Definition: Indicates in which currency the income will be paid.

Category: Industry

Acronym: Income Deferral

Stands For: Key Term

Definition: A fund level election to accrue income on 30/360 bonds for the actual number days in a month in

Category: Industry

Acronym: Income Equity funds

Stands For: Key Term

Definition: These funds seek income for shareholders by investing primarily in equity securities of companies

Category: Industry

Acronym: Income Fund

Stands For: Key Term

Definition: One whose objective is providing current income on a regular basis, by investing primarily in bonds

Category: Industry

Acronym: Income Holiday

Stands For: Key Term

Definition: Indicates the rate that is applied to a VRDN's on a holiday.

Category: Industry

Acronym: Income Method

Stands For: Key Term

Definition: A scientific amortization method that calculates a daily factor based on the acquisition yield. The

Category: Industry

Acronym: Income Mixed funds

Stands For: Key Term

Definition: This type of fund invests in a variety of income-producing securities, including equities and fixed

Category: Industry

Acronym: Income Rate Freeze

Stands For: Key Term

Definition: Indicates the rate that is applied between record date and payable date for a VRDN.

Category: Industry

Acronym: Income Statement

Stands For: Key Term

Definition: The statement of revenues, expenses, gains and losses for the period, ending with the net income

Category: Industry

Acronym: Income System

Stands For: Key Term

Definition: New Income System used by Global Income Control (GIC) specifically to monitor and reconcile

Category: Technology

Acronym: Income Type

Stands For: Key Term

Definition: See Coupon Type.

Category: Industry

Acronym: Income/Distributions

Stands For: Key Term

Definition: For tax purposes, a mutual fund generally passes along dividends and interest it receives from s

Category: Industry

Acronym: Incorporated Country of Issue

Stands For: Key Term

Definition: The country in which the issuer of a security is incorporated.

Category: Industry

Acronym: INCRFDD

Stands For: Dividend Tax Reclaim Receipt

Definition: MCH Post Type For Dividend Tax Reclaim Receipt

Category: Accounting

Acronym: INCRFDDC

Stands For: Dividend Tax Reclaim Receipt Reversal

Definition: MCH Post Type For Dividend Tax Reclaim Receipt Reversal

Category: Accounting

Acronym: INCRFDI

Stands For: Interest Tax Reclaim Receipt

Definition: MCH Post Type For Interest Tax Reclaim Receipt

Category: Accounting

Acronym: INCRFDIC

Stands For: Interest Tax Reclaim Receipt Reversal

Definition: MCH Post Type For Interest Tax Reclaim Receipt Reversal

Category: Accounting

Acronym: Indenture

Stands For: Key Term

Definition: A legal document that specifically states the conditions under which a bond has been issued, the

Category: Industry

Acronym: Index

Stands For: Key Term

Definition: A hypothetical investment portfolio, the returns of which are used as a benchmark to measure re

Category: Industry

Acronym: Index Fund

Stands For: Key Term

Definition: A type of fund. Hold identical stocks as a market index (e.g. FTSE). Therefore, its performance c

Category: Industry

Acronym: Index Funds

Stands For: Key Term

Definition: A passively managed, limited-expense (advisor fee no higher than 0.50%) fund designed to repl

Category: Industry

Acronym: Index Options

Stands For: Key Term

Definition: An option that is based on a stock index (performance measure of a group of stocks) . Such op

Category: Industry

Acronym: Index Rate

Stands For: Key Term

Definition: The annualized rate of interest for an index such as the LIBOR or the 11th District COFI. Index r

Category: Industry

Acronym: Index, Floating Rate

Stands For: Key Term

Definition: A basket of bonds, Treasuries, currencies, or other financial instruments used as a benchmark f

Category: Industry

Acronym: Indicative Data

Stands For: Key Term

Definition: The information about a security that doesn't change. CUSIP, ticker, maturity date, and issue da

Category: Industry

Acronym: Industry

Stands For: Key Term

Definition: For reporting and investment policy (diversification) purposes, the industrial classification of the

Category: Industry

Acronym: Industry Subtype

Stands For: Key Term

Definition: Also "industry sector detail." A subgroup of a industry type.

Category: Industry

Acronym: Inflation Risk

Stands For: Key Term

Definition: The chance that the value of assets or income will be diminished as inflation shrinks the value o

Category: Industry

Acronym: ING Americas

Stands For: Key Term

Definition: The US arm of Amsterdam-based financial services institution ING Group, aims to establish itse

Category: Technology

Acronym: INR

Stands For: India Rupee

Definition: Currency - India Rupee

Category: Currency

Acronym: INRC

Stands For: Cancel Cash Interest Receipt

Definition: AcronymCancel Cash Interest Receipt

Category: Accounting

Acronym: INRT

Stands For: Cash Interest Receipt

Definition: AcronymCash Interest Receipt

Category: Accounting

Acronym: INS

Stands For: International Network Service

Definition: International Network Service; purchased by Lucent and now known as Lucent Net Care

Category: Technology

Acronym: INSC

Stands For: Cancel Interest Receivable Setup

Definition: AcronymCancel Interest Receivable Setup

Category: Accounting

Acronym: In-Sight

Stands For: Key Term

Definition: decision-support application; mechanism to deliver data to clients; allows single sign-on to see a

Category: Technology

Acronym: In-Sight LITE

Stands For: Key Term

Definition: earlier name of web version of In-Sight

Category: Technology

Acronym: In-Sight WEB

Stands For: Key Term

Definition: current name of web version of In-Sight

Category: Technology

Acronym: IN-SPECIE DEAL

Stands For: Key Term

Definition: This is a less common deal type. It is a way of processing a buy or sell without any cash settlement

Category: Industry

Acronym: Institutional Investor

Stands For: Key Term

Definition: An organization such as a pension fund, mutual fund or insurance company, which invests in the

Category: Industry

Acronym: Institutional Trust

Stands For: Key Term

Definition: An organization with trustee responsibility for assets belonging to institutional trust accounts suc

Category: Industry

Acronym: Instruct

Stands For: Manual Transaction Capture System

Definition:

Category:

Acronym: INSU

Stands For: Interest Receivable Setup

Definition: AcronymInterest Receivable Setup

Category: Accounting

Acronym: Insurance

Stands For: Key Term

Definition: A policy written by a commercial insurance company that ensures prompt payment to the bondholder

Category: Industry

Acronym: Intangibles

Stands For: Key Term

Definition: Patents, goodwill and other non-physical, hard-to-measure costs.

Category: Industry

Acronym: Interactive ID System

Stands For: Key Term

Definition: Newest release of DTC trade matching system which is real-time and has additional enhancements

Category: Industry

Acronym: Interchange

Stands For: Key Term

Definition: UNIX based systems interface; State Street's proprietary client/server based architecture that all

Category: Technology

Acronym: Interchange Architecture

Stands For: Key Term

Definition: State Street's client/server based architecture that allows large volumes of data stored on the m

Category: Technology

Acronym: Interdependency Risk

Stands For: Key Term

Definition: The risk that one failed transaction will cause other transactions to fail.

Category: Industry

Acronym: Interest

Stands For: Key Term

Definition: The charge or cost for using money, expressed as a rate per period, usually one year, called the

Category: Industry

Acronym: Interest Bought/Sold

Stands For: Key Term

Definition: The amount of interest on a fixed income security that has accrued since the last cash payment

Category: Industry

Acronym: Interest Coverage

Stands For: Key Term

Definition: Earnings before interest and taxes divided by interest expense.

Category: Industry

Acronym: Interest Rate

Stands For: Key Term

Definition: The annual rate, expressed as a percentage of principal, payable for use of borrowed money.

Category: Industry

Acronym: Interest Rate Swap

Stands For: Key Term

Definition: An agreement between two parties to exchange one interest stream, such as floating rate, for another

Category: Industry

Acronym: Intermediary Bank

Stands For: Key Term

Definition: Is a bank used as a middleman when no settlement arrangement exists between our correspond

Category: Industry

Acronym: Internal Analysis

Stands For: Key Term

Definition: An analysis of the strengths and weaknesses of the company. Includes an analysis of the compo

Category: Industry

Acronym: Internal Rate of Return

Stands For: Key Term

Definition: The interest rate at which the present value equals the market value of the security. Synonymou

Category: Industry

Acronym: International Bank Account Number (IBAN)

Stands For: Key Term

Definition: This is an expanded version of the Basic Bank Account Number (BBAN) used internationally to

Category: Industry

Acronym: International Bond

Stands For: Key Term

Definition: Any bond denominated in a foreign (non-U.S.) currency but available to U.S. investors.

Category: Industry

Acronym: International Equity funds

Stands For: Key Term

Definition: An International Equity fund must invest two-thirds or more of its portfolio in equity securities of c

Category: Industry

Acronym: International Fund

Stands For: Key Term

Definition: A fund that invests in securities primarily traded in markets outside the U.S. "Global" funds also

Category: Industry

Acronym: International Monetary Fund (IMF)

Stands For: Key Term

Definition: An organization set up by the Bretton Woods Agreement in 1944. Unlike the World Bank, whose

Category: Industry

Acronym: International Securities Broker

Stands For: Key Term

Definition: A firm which acts "as agent" and brings buyers and sellers together to purchase and sell securities

Category: Industry

Acronym: Interpolated Rates

Stands For: Key Term

Definition: Foreign Exchange transactions with a value greater than spot require interpolated rates to be ap

Category: Industry

Acronym: InTrader

Stands For: Key Term

Definition: InTrader is a Client/Server Application that handles fixed income and money market securities tr

Category: Technology

Acronym: IntraNet

Stands For: Key Term

Definition: This is the Bank's Money Transfer System.

Category: Industry

Acronym: Intuity

Stands For: Key Term

Definition: Voice mail hardware/ software system, also see AUDIX.

Category: Technology

Acronym: Inventory

Stands For: Key Term

Definition: Assets held in stock for future use in production or sales. The amount of money already spent to

Category: Industry

Acronym: Inventory Days

Stands For: Key Term

Definition: Number of days of inventory on hand. It is inventory turnover ratio divided into the number of da

Category: Industry

Acronym: Inventory Turnover

Stands For: Key Term

Definition: Number of times the average inventory has been sold during a period, measured by the cost of

Category: Industry

Acronym: Inverse Floater

Stands For: Key Term

Definition: A CMO tranche with an interest rate that decreases as a specific market index increases, and in

Category: Industry

Acronym: Inverted Yield Curve

Stands For: Key Term

Definition: Yield curve in which short-term rates are higher than long-term rates.

Category: Industry

Acronym: Invested Capital

Stands For: Key Term

Definition: The money long-term investors have put into the business. It is equal to long-term liabilities plus

Category: Industry

Acronym: Investment Adviser

Stands For: Key Term

Definition: See Advisor, Investment Manager.

Category: Industry

Acronym: Investment Company

Stands For: Key Term

Definition: A generic term for mutual funds and other companies principally engaged in investing for their s

Category: Industry

Acronym: Investment Company Act of 1940

Stands For: Key Term

Definition: Federal laws regulating the registration and activities of investment companies.

Category: Industry

Acronym: Investment Fund

Stands For: Key Term

Definition: An entity that pools shareholders' funds to provide the shareholders with professional investment

Category: Industry

Acronym: Investment Grade

Stands For: Key Term

Definition: The broad credit designation given bonds which have a high probability of being paid and minor

Category: Industry

Acronym: Investment Grade Bonds

Stands For: Key Term

Definition: Corporate and municipal bonds given one of the top four ratings by independent agencies. Issued

Category: Industry

Acronym: Investment Management

Stands For: Key Term

Definition: Management of investment portfolios through decisions about security purchases and sales.

Category: Industry

Acronym: Investment Manager

Stands For: Key Term

Definition: An organization which provides investment management services.

Category: Industry

Acronym: Investment Objective

Stands For: Key Term

Definition: The identification of attributes associated with an investment or investment strategy, designed to

Category: Industry

Acronym: Investment Sweep

Stands For: Key Term

Definition: A facility whereby funds in excess of an agreed threshold are "swept", that is transferred into the

Category: Industry

Acronym: Investment Type

Stands For: Key Term

Definition: The second level of security classification located below asset class. This is utilized on MCH for

Category: Industry

Acronym: Investors

Stands For: Key Term

Definition: Generally refers to investors whose purpose is the of return on capital, primarily through interest

Category: Industry

Acronym: IOC

Stands For: Immediate Or Cancel

Definition: Also known as an "accept order", is a finance term used in investment banking or securities tran

Category: Industry

Acronym: IOPV

Stands For: Indicative Optimized Portfolio Value

Definition: intraday per-share value of a fund - also iNAV (indicative NAV)

Category: Finance

Acronym: IORP

Stands For: Institutions for Occupational Retirement Provision Directive

Definition: EU legislation designed to create an internal market for occupational retirement provision

Category: Regulatory & Legal

Acronym: IOS

Stands For: International Organisation for Standardisation

Definition: An international standard-setting body composed of representatives from various national stand

Category: Institution or Association

Acronym: IOSCO

Stands For: Independent Organization of Securities Commissions

Definition: Industry body of securities regulators

Category: Regulatory & Legal

Acronym: IP

Stands For: Internet Protocol

Definition: The principal communications protocol in the Internet protocol suite for relaying datagrams across

Category: Technology

Acronym: IPAJ

Stands For: Interest Payable Adjustment

Definition: AcronymInterest Payable Adjustment

Category: Accounting

Acronym: IPCN

Stands For: Cancel Interest Payable Setup

Definition: AcronymCancel Interest Payable Setup

Category: Accounting

Acronym: IPD

Stands For: Interchange Planning and Deployment

Definition: Interchange Planning and Deployment; currently known as Open Systems Deployment

Category: Technology

Acronym: IPM

Stands For: Investment Policy Monitor

Definition: Investment Policy Monitor (application); utilizes information from CSW; includes functional enhan

Category: Technology

Acronym: IPO

Stands For: Initial Public Offering

Definition: Initial Public Offering, company goes public with shares to sell.

Category: Industry

Acronym: IPO/Initial Public Offering

Stands For: Key Term

Definition: A company's first offering of stock to the public.

Category: Industry

Acronym: IPPC

Stands For: Cancel Cash Interest Disperse

Definition: AcronymCancel Cash Interest Disperse

Category: Accounting

Acronym: IPPT

Stands For: Cash Interst Disperse

Definition: AcronymCash Interst Disperse

Category: Accounting

Acronym: IPR

Stands For: Investment Policy Reporter

Definition: Investment Policy Reporter; forerunner of Investment Policy Monitor

Category: Technology

Acronym: IPS

Stands For: Information Processing Services

Definition: Information Processing Services; formerly known as Global Data Process Services. An Enterprise

Category: Corporate

Acronym: IPSU

Stands For: Interest Payable Setup

Definition: AcronymInterest Payable Setup

Category: Accounting

Acronym: IPX

Stands For: Internetwork Packet Exchange

Definition: Internetwork Packet Exchange

Category: Technology

Acronym: IRA

Stands For: Individual Retirement Account

Definition: General Term for a Retirement Account for an Individual

Category: Industry

Acronym: IRC

Stands For: Internal Revenue Code

Definition: The domestic portion of federal statutory tax law in the United States

Category: Regulatory & Legal

Acronym: IRCN

Stands For: Acronym needed

Definition: Automatic recon report on SWIFT 571 Recon

Category: Technology

Acronym: IRD

Stands For: Image Replacement Document

Definition: IRD's are a legal form of exchanging checks for forward collection or returns, as of 10/28/04 when

Category: Industry

Acronym: IRDA

Stands For: Insurance Regulatory and Development Authority of India

Definition: India's insurance regulator

Category: Regulatory & Legal

Acronym: IRES

Stands For: Internet REServations

Definition: Internet REServations for Verizon TeleConferencing

Category: Technology

Acronym: IRRM

Stands For: Interest Rate Risk Management

Definition: Proactive management for Interest Rate Risk

Category: Industry

Acronym: IRS

Stands For: Interest Rate Swap

Definition: Interest Rate Swap - Investment Product

Category: Industry

Acronym: IS

Stands For: Investment Services

Definition: General Term for servicing investment products

Category: Industry

Acronym: ISCC

Stands For: Key Term

Definition: International Securities Clearing Corporation. Provides clearing services for international securities.

Category: Industry

Acronym: ISDA

Stands For: International Swaps and Derivatives Association

Definition: A trade organization of participants in the market for over-the-counter derivatives. ISDA, based i

Category: Industry

Acronym: ISDN

Stands For: integrated services digital network

Definition: Extended definition needed

Category: Technology

Acronym: ISE

Stands For: Irish Stock Exchange

Definition: The Irish Stock Exchange was established in 1995. Most Irish public limited companies have the

Category: Industry

Acronym: ISG

Stands For: Integrated System Group

Definition: A unit within Data Center Operations, provides 24-hour support for router and hub network insta

Category: Technology

Acronym: ISIN

Stands For: International Security Identification Number

Definition: Defined by ISO code 6166. A code that uniquely identifies a specific securities issue.

Category: Industry

Acronym: ISITC

Stands For: Industry Standardization for Institutional Trade Communications

Definition: Industry Standardization for Institutional Trade Communications, i.e. SWIFT messages format.

Category: Industry

Acronym: ISMA

Stands For: Key Term

Definition: International Securities Market Association. Eurobond rule-making association.

Category: Industry

Acronym: ISMAC

Stands For: International Securities Movement and Control

Definition: Extended definition needed

Category: Industry

Acronym: ISO

Stands For: Information Security Officer

Definition: Information Security Officer - Role

Category: Corporate

Acronym: ISP

Stands For: Internet Service Provider

Definition: Internet Service Provider, AOL, etc.

Category: Industry

Acronym: iSport

Stands For: Key Term

Definition: In~Sight Support Tool; a WebSphere-based consolidated support tool for In~Sight

Category: Technology

Acronym: ISS

Stands For: Interchange Support Services

Definition: Interchange Support Services (unit of NP&M)

Category: Technology

Acronym: Issue Amount

Stands For: Key Term

Definition: The total amount of par that is sold to bondholders on issue date. This information is used for po

Category: Industry

Acronym: Issue Country

Stands For: Key Term

Definition: The country in which a security is issued.

Category: Industry

Acronym: Issue Date

Stands For: Key Term

Definition: 1.The date on which a security is deemed to be issued or originated.

Category: Industry

Acronym: Issue Price

Stands For: Key Term

Definition: The dollar value of a security when it first comes to the market. Issue prices are determined in th

Category: Industry

Acronym: Issued Amount

Stands For: Key Term

Definition: Par or face amount of a security issued by a corporation, often stated in millions of dollars.

Category: Industry

Acronym: Issued Capital

Stands For: Key Term

Definition: A company's stock that has been either sold publicly or traded for goods and services.

Category: Industry

Acronym: Issuer

Stands For: Key Term

Definition: A state, political subdivision, agency or authority that borrows money through the sale of bonds

Category: Industry

Acronym: Issuer Location

Stands For: Key Term

Definition: General address and location of the security issuer that is used for reporting purposes

Category: Industry

Acronym: Issuer Years of Continuous Operation

Stands For: Key Term

Definition: Length of time (years) that the legal entity issuing a security has been operating.

Category: Industry

Acronym: Issuer/Company Name

Stands For: Key Term

Definition: The legal entity that issues a security.

Category: Industry

Acronym: Issuers

Stands For: Key Term

Definition: Organizations who originate securities in the primary market in order to raise capital.

Category: Industry

Acronym: I-String

Stands For: Key Term

Definition: See ICRE.

Category: Technology

Acronym: ISV Image Signature Verification

Stands For: Key Term

Definition: Image Signature Verification; See Fraud Guard

Category: Technology

Acronym: IT

Stands For: Information Technology

Definition: The universal acronym for Information Technology.

Category: Industry

Acronym: IT IS

Stands For: Information Technology Infrastructure Services

Definition: Information Technology Infrastructure Services; Madge Meyer's organization that includes NMS

Category: Industry

Acronym: ITD

Stands For: Information Technology Division

Definition: Information Technology Division (now known as Information Technology)

Category: Corporate

Acronym: Item Number

Stands For: Key Term

Definition: See DIN.

Category: Technology

Acronym: Item Processing System

Stands For: Key Term

Definition: See IPS.

Category: Technology

Acronym: ITF

Stands For: Integrated test facility

Definition: A PW integrated test facility, on Multicurrency Horizon.

Category: Technology

Acronym: ITMB

Stands For: Information Technology Management Board

Definition: State Street's Information Technology Management Board

Category: Technology

Acronym: ITPAB

Stands For: Information Technology Portal Advisory Board

Definition: Extended definition needed

Category: Technology

Acronym: ITS

Stands For: Interchange Technical Support

Definition: Extended definition needed

Category: Technology

Acronym: ITSC

Stands For: Information Technology Steering Committee

Definition: Identifies communication needs of corporation at the strategic level

Category: Technology

Acronym: IVR

Stands For: Interactive Voice Response

Definition: Automated phone call based information and routing system.

Category: Technology

Acronym: IXC

Stands For: Interexchange Carrier

Definition: Long-distance Telephone Company services between local access and transport areas (LATAs)

Category: Technology

Acronym: J.P. Morgan Emerging Markets Bond Index Plus

Stands For: Key Term

Definition: The J.P. Emerging Markets Bond Index Plus is a market capitalization weighted total return index

Category: Industry

Acronym: J.P. Morgan Global Bond Index

Stands For: Key Term

Definition: The J.P. Morgan Global Bond Index is a total return index that tracks the traded sovereign issues

Category: Industry

Acronym: J.P. Morgan Global Government Bond Index

Stands For: Key Term

Definition: The J.P. Morgan Global Government Bond Index is a broad measure of bond performance in de

Category: Industry

Acronym: JASDEC

Stands For: Japan Securities Depository Inc

Definition: Engages in the depository and book-entry transfer businesses in Japan

Category: Industry

Acronym: JCL

Stands For: Job Control Language

Definition: A means of communicating with the IBM 3090 MVS Operating System. JCL statements provide

Category: Technology

Acronym: JCOA

Stands For: Jersey Compliance Officer Association

Definition: Formed in 1997 and its members comprise compliance officers, regulators and other professionals

Category: Institution or Association

Acronym: JEP

Stands For: Jersey Pound

Definition: Currency - Jersey Pound

Category: Currency

Acronym: JES2

Stands For: Job Entry Subsystem

Definition: Job Entry Subsystem that controls all IBM job processing activities.

Category: Technology

Acronym: JMLSG

Stands For: Joint Money Laundering Steering Group

Definition: Made up of the leading UK Trade Associations in the Financial Services Industry.

Category: Industry

Acronym: Jumbo Mortgage

Stands For: Key Term

Definition: Mortgages that exceed agency size guidelines (in 1995, \$151,725 for GNMA and \$203,150 for F

Category: Industry

Acronym: Junk Bond

Stands For: Key Term

Definition: A bond rated lower than Baa/BBB, also called a "high-yield" bond. Junk bonds are speculative c

Category: Industry

Acronym: Junk Bonds

Stands For: Key Term

Definition: Bonds of lower than investment grade quality (below the top four Moody or Standard & Poor bond ratings)

Category: Industry

Acronym: Jurisdiction

Stands For: Key Term

Definition: A jurisdiction is a geographical area where a particular system of laws has authority.

Category: Industry

Acronym: JV

Stands For: Joint Venture

Definition: A joint venture is an entity, less than less than 100% owned by State Street, that is controlled by

Category: Industry

Acronym: KAG

Stands For: Kapitalanlagegesellschaften

Definition: German Investment Management Company required to service a "special fund," or German Investment

Category: Industry

Acronym: KAGG

Stands For: Acronym needed

Definition: German law governing both the custodian bank and the KAG (investment manager).

Category: Technology

Acronym: KD

Stands For: Known Difference

Definition: Extended definition needed

Category: Corporate

Acronym: KEB

Stands For: Korea Exchange Bank

Definition: Korean Bank. Considered to be a subsidiary under the banking laws

Category: Industry

Acronym: Keogh Plan

Stands For: Key Term

Definition: A retirement program for self-employed individuals and their employees. Contributions, income a

Category: Industry

Acronym: Key Block

Stands For: Key Term

Definition: Another name for Entry. See Entry.

Category: Technology

Acronym: KGS

Stands For: Kyrgyzstan Som

Definition: Currency - Kyrgyzstan Som

Category: Currency

Acronym: KHR

Stands For: Cambodia Riel

Definition: Currency - Cambodia Riel

Category: Currency

Acronym: KID

Stands For: Key Information Document

Definition: Extended definition needed

Category: Industry

Acronym: KIID

Stands For: Key Investor Information Document

Definition: EU-mandated investor information document to improve information transparency

Category: Regulatory & Legal

Acronym: Kill

Stands For: Key Term

Definition: To distribute by endpoint.

Category: Technology

Acronym: Kill bundle

Stands For: Key Term

Definition: An outgoing batch of checks for a single destination.

Category: Technology

Acronym: Kill List

Stands For: Key Term

Definition: A document that accompanies a group of checks in a kill bundle, listing, details and controls for

Category: Technology

Acronym: Kill pass

Stands For: Key Term

Definition: A pass on which checks are killed, or are sorted to their ultimate endpoint.

Category: Technology

Acronym: Kill Pocket

Stands For: Key Term

Definition: The reader sorter pocket assigned to an endpoint.

Category: Technology

Acronym: KPERS

Stands For: Kansas Pension Employee Retirement System

Definition: Kansas Pension Employee Retirement System (KC)

Category: Industry

Acronym: KPI

Stands For: Key Performance Indicator

Definition: Metric for measuring performance

Category: Industry

Acronym: KPMB Recon Plus

Stands For: Acronym needed

Definition: Captures currency activity from IBS, ICASH, and MCH.

Category: Technology

Acronym: KPMG

Stands For: Klynveld Peat Marwick Goerdeler, LLP.

Definition: Extended definition needed

Category: Technology

Acronym: KPW

Stands For: Korea (North) Won

Definition: Currency - Korea (North) Won

Category: Currency

Acronym: KRI

Stands For: Key Risk Indicator

Definition: Extended definition needed

Category: Industry

Acronym: KRW

Stands For: Korea (South) Won

Definition: Currency - Korea (South) Won

Category: Currency

Acronym: KRX

Stands For: Korea Stock Exchange

Definition: Stock Exchange for Korea

Category: Industry

Acronym: KYB

Stands For: Know Your Business

Definition: Extended definition needed

Category: Industry

Acronym: KYC

Stands For: Know Your Customer

Definition: Industry Term for ensuring we understand our customer's business completely.

Category: Compliance & Risk

Acronym: KYD

Stands For: Cayman Islands Dollar

Definition: Currency - Cayman Islands Dollar

Category: Currency

Acronym: KYF

Stands For: Know Your Fund

Definition: General Term for knowing the details of the fund an employee is working on

Category: Corporate

Acronym: KZT

Stands For: Kazakhstan Tenge

Definition: Currency - Kazakhstan Tenge

Category: Currency

Acronym: L&D

Stands For: Learning & Development

Definition: A SSC department responsible for delivering and organising management and inter-personal sk

Category: Human Resources

Acronym: LAC

Stands For: Local Area Communications

Definition: Local Area Communications, part of SSB GNCS.

Category: Technology

Acronym: LAK

Stands For: Laos Kip

Definition: Currency - Laos Kip

Category: Currency

Acronym: LAN

Stands For: Local Area Network

Definition: Local Area Network, number of PCs connected to a server.

Category: Technology

Acronym: LAN ID

Stands For: Local Area Network & six-digit employee ID

Definition: Local Area Network login ID; six-digit employee ID number preceded by an e (for employee)

Category: Corporate

Acronym: Landmark

Stands For: Key Term

Definition: Front-office software used by Private Asset Management.

Category: Technology

Acronym: Last Coupon Date

Stands For: Key Term

Definition: The date on which the last coupon is paid prior to settlement. Although the issuer may pay a final coupon at maturity, this date is the date on which the last coupon is paid prior to settlement.

Category: Industry

Acronym: LATA

Stands For: Local Access and Transport Area

Definition: Local telephone network area operated by LEC, see ILEC.

Category: Technology

Acronym: Lattice

Stands For: Key Term

Definition: Electronic trading network system that integrates institutions, dealers and exchanges; Trade ma

Category: Technology

Acronym: Laundering

Stands For: Key Term

Definition: The process of "cleaning" illicitly gained money so that it appears to others to have come from, c

Category: Industry

Acronym: LBO

Stands For: Leveraged Buy Out

Definition: Leveraged Buy Out - Purchase of a company using leverage

Category: Industry

Acronym: LBP

Stands For: Lebanon Pound

Definition: Currency - Lebanon Pound

Category: Currency

Acronym: LC

Stands For: Leadership Challenge

Definition: The challenge involved in the planning and execution of a business goal

Category: Industry

Acronym: LCCS

Stands For: Local Custody Control System

Definition: Interchange application for local custody that receives and processes real time GSMAC trades.

Category: Technology

Acronym: LCH

Stands For: London Clearing House

Definition: Extended definition needed

Category: Industry

Acronym: LCP

Stands For: Key Term

Definition: Mailstop code for London location

Category: Corporate

Acronym: LCR

Stands For: Liquidity Coverage Ratio

Definition: Measurement of Liquidity Coverage Ratio

Category: Finance

Acronym: LD1

Stands For: Legal Day 1

Definition: Customer Legal Day 1

Category: Regulatory & Legal

Acronym: LDI

Stands For: Liability-driven Investment/ing

Definition: Investment strategy designed to ensure that cash flows meet future liabilities

Category: Finance

Acronym: LDP

Stands For: Leadership Development Program

Definition: 2 Year Rotational Talent Development program for AVPs at State Street

Category: Human Resources

Acronym: LDRPS

Stands For: Living Disaster Recovery Planning Software

Definition: Business Continuity tool from Strohl Software, King of Prussia, PA

Category: Technology

Acronym: Lead Manager

Stands For: Key Term

Definition: The leading member of the syndicate issuing a new security such as a corporate bond or a CMO

Category: Industry

Acronym: Lead Underwriter

Stands For: Key Term

Definition: The first insurance underwriter to accept a line on a risk.

Category: Industry

Acronym: LEC

Stands For: Local Exchange Carrier

Definition: See ILEC. Incumbent Local (phone) Exchange Center, usually the original phone company, AKA

Category: Technology

Acronym: Ledger/Book Balance

Stands For: Key Term

Definition: This is balance showing on an account incorporating uncleared items.

Category: Industry

Acronym: LEDR

Stands For: Loss Event Data Repository

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: Legal Risk

Stands For: Key Term

Definition: Uncertainty about the treatment of securities settlements, payments, or ownership rights in vario

Category: Industry

Acronym: LEI

Stands For: Legal Entity Identifier

Definition: Extended definition needed

Category: Industry

Acronym: LETA

Stands For: Loss Event Tracking Application

Definition: LETA is a tool used by State Street Finance to manage the process of paying / receiving monies

Category: Corporate

Acronym: Letters of Credit (L/C)

Stands For: Key Term

Definition: Letters of Credit act as a credit facility issued by the Bank. They guarantee payments on behalf

Category: Industry

Acronym: Leverage

Stands For: Key Term

Definition: The use of borrowed money in investing.

Category: Industry

Acronym: Liability

Stands For: Key Term

Definition: Total value of financial claims on a firm's assets equal to: 1.current liabilities plus long-term liabilities

Category: Industry

Acronym: LIBOR

Stands For: London-Interbank Offered Rate

Definition: The world's most widely used benchmark for short-term interest rates, reflecting the interest rate

Category: Industry

Acronym: LIFFE

Stands For: London International Financial Futures and Options Exchange

Definition: Extended definition needed

Category: Industry

Acronym: LIFO

Stands For: Key Term

Definition: Last in, first out.

Category: Industry

Acronym: LIFO Gain/Loss

Stands For: Key Term

Definition: Last-in/First-out calculation of gain/loss on a security disposal.

Category: Industry

Acronym: Limit Order

Stands For: Key Term

Definition: An order that has a maximum price (for a buy) or a minimum price (if a sell) at which it can be ex

Category: Industry

Acronym: Limited Partnership

Stands For: Key Term

Definition: A partnership with at least one of the partners holding only a limited liability.

Category: Industry

Acronym: LINK

Stands For: Option Adjust Proceeds/Cost Link

Definition: MCH Post Type For Option Adjust Proceeds/Cost Link

Category: Accounting

Acronym: LINKC

Stands For: Option Adjust Proceeds/Cost Link Cancel

Definition: MCH Post Type For Option Adjust Proceeds/Cost Link Cancel

Category: Accounting

Acronym: Lipper Funds Averages

Stands For: Key Term

Definition: Lipper Analytical Services, Inc., is a nationally recognized organization that reports on mutual fu

Category: Industry

Acronym: Lipper Ranking

Stands For: Key Term

Definition: Fund ranking calculated quarterly or annually by Lipper Analytical Services of New York. Each f

Category: Industry

Acronym: Liquid

Stands For: Key Term

Definition: That which is easily convertible to cash.

Category: Industry

Acronym: Liquidity

Stands For: Key Term

Definition: The ease with which an asset can be converted to cash.

Category: Industry

Acronym: Liquidity Ratios

Stands For: Key Term

Definition: Measures the firm's ability to meet maturing short-term obligations. Includes current ratio and qu

Category: Industry

Acronym: Liquidity Risk

Stands For: Key Term

Definition: Risk that a counterparty will not settle an obligation for full value when due.

Category: Industry

Acronym: LIS

Stands For: Large in Scale

Definition: Extended definition needed

Category: Industry

Acronym: Listed Security

Stands For: Key Term

Definition: A security listed and traded on a stock exchange.

Category: Industry

Acronym: Live Rates

Stands For: Key Term

Definition: These are current market rates used to feed a particular system.

Category: Industry

Acronym: Livewire

Stands For: Key Term

Definition: Livewire is an SSC in-house developed multi-client application that generates reports and disse

Category: Corporate

Acronym: LKR

Stands For: Sri Lanka Rupee

Definition: Currency - Sri Lanka Rupee

Category: Currency

Acronym: LLC

Stands For: Limited Liability Corporation

Definition: Limited Liability Corporation- Business incorporated as a LLC

Category: Industry

Acronym: LLP

Stands For: Limited Liability Partnership

Definition: Limited Liability Partnership- registered as a Partnership

Category: Industry

Acronym: LMCS

Stands For: Liquidity Management and Control System

Definition: A GM product that invests excess liquid assets overnight for better cash management. Subsystem

Category: Technology

Acronym: LMS

Stands For: Learning Management System

Definition: Training system

Category: Human Resources

Acronym: LOA

Stands For: Letter of agency

Definition: Extended definition needed

Category: Technology

Acronym: Load

Stands For: Key Term

Definition: A sales charge added to the price of the fund. Mutual funds that don't have any sales charges are called no-load funds.

Category: Industry

Acronym: Load Fund

Stands For: Key Term

Definition: One purchased from a salesman that imposes a sales charge (load) to purchasers of fund share

Category: Industry

Acronym: Loan Margin Adjustment

Stands For: Key Term

Definition: An adjustment to the variable rate applied to a loan for preferred customers.

Category: Industry

Acronym: Loan Yield

Stands For: Key Term

Definition: The loan yield is a reference to the profitability of a facility. It is the difference between the cost of funds and the interest rate on the loan.

Category: Industry

Acronym: Local Tax Status

Stands For: Key Term

Definition: An instrument or document prepared by a bank guaranteeing payment on a debt in case of a de

Category: Industry

Acronym: Local transaction

Stands For: Key Term

Definition: A transaction that occurred in a foreign currency other than the funds reporting currency and sh

Category: Industry

Acronym: LOCK in PERIOD

Stands For: Key Term

Definition: Those who invest in alternative investment products normally have to 'lock in' their investment for

Category: Industry

Acronym: Locked-in Trades

Stands For: Key Term

Definition: Matched trades which are guaranteed to settle as initially entered on trade date.

Category: Industry

Acronym: Lockout

Stands For: Key Term

Definition: Lockout period. A period during which prepayment is prohibited. Lockouts are common with fed

Category: Industry

Acronym: Lo-Load Fund

Stands For: Key Term

Definition: One in which the purchaser pays a sales charge up to 4%. The load usually goes to the fund ma

Category: Industry

Acronym: LOMS

Stands For: Limit Order Management System

Definition: Limit Order Management System is a part of the Order Management System (OMS).

Category: Technology

Acronym: Long

Stands For: Key Term

Definition: Denotes ownership or right to possession of securities.

Category: Industry

Acronym: Long Bond

Stands For: Key Term

Definition: 1. Bond that matures in more than 10 years

Category: Industry

Acronym: Lotus Notes

Stands For: Key Term

Definition: Previous email system used in SSC. May still be used to access various databases (e.g. leave r

Category: Industry

Acronym: LP

Stands For: Legal Partner(ship)

Definition: In private equity, the investor in the fund

Category: Industry

Acronym: LPAR

Stands For: Logical Partition

Definition: Logical Partition of a system (vs. physical). A logical MVS image within

Category: Technology

Acronym: LRD

Stands For: Liberia Dollar

Definition: Currency - Liberia Dollar

Category: Currency

Acronym: LRIC

Stands For: Long-Run Incremental Cost

Definition: Extended definition needed

Category: Finance

Acronym: LSE

Stands For: London Stock Exchange

Definition: Extended definition needed

Category: Industry

Acronym: LTL

Stands For: Lithuania Litas

Definition: Currency - Lithuania Litas

Category: Currency

Acronym: LTS

Stands For: Liberty Trading System

Definition: Extended definition needed

Category: Technology

Acronym: LVL

Stands For: Latvia Lat

Definition: Currency - Latvia Lat

Category: Currency

Acronym: MA/PA

Stands For: Market Analysis/ Performance Analysis

Definition: Analysis of Markets/Performance

Category: Technology

Acronym: Macaulay Duration

Stands For: Key Term

Definition: The weighted-average term to maturity, in years, that it takes for an investor to receive the cash

Category: Industry

Acronym: MACR

Stands For: Accrual Report

Definition: MCH Code For Report Function Accrual Report

Category: Accounting

Acronym: Macro

Stands For: Key Term

Definition: An automated Excel spreadsheet function that is frequently used to speed up input or browsing

Category: Industry

Acronym: MAD

Stands For: Market Abuse Directive

Definition: Extended definition needed

Category: Industry

Acronym: MAES

Stands For: Multi Asset Equities Service

Definition: Extended definition needed

Category: Industry

Acronym: MaestroVision

Stands For: Key Term

Definition: monitoring software; displays alerts generated by Spectrum and sent by MaestroVision Agent; S

Category: Technology

Acronym: Management Compliance

Stands For: Key Term

Definition: Investment guidelines dictated by an investment organization's management.

Category: Industry

Acronym: Management Fee

Stands For: Key Term

Definition: Fee paid by a mutual fund to its investment manager or advisor for overseeing the portfolio. A m

Category: Industry

Acronym: Manager Street

Stands For: Key Term

Definition: Onboarding program for new managers at SSKC

Category: Human Resources

Acronym: Manager's Cheque

Stands For: Key Term

Definition: Manager's Cheques are issued by the Bank to make payments locally within a jurisdiction. Rather

Category: Industry

Acronym: Managing Investment Bank

Stands For: Key Term

Definition: Intermediary between an issuer of securities and the investing public. The managing investment

Category: Industry

Acronym: MANCLS

Stands For: Prior Year Balanced/Unbalanced Entry

Definition: MCH Post Type For Prior Year Balanced/Unbalanced Entry

Category: Accounting

Acronym: ManCom

Stands For: Management Committee

Definition: Committee of senior managers driving stratgy and execution

Category: Industry

Acronym: Mantissa

Stands For: Key Term

Definition: Mainframe report management system used to distribute reports in multi-media format. On-line r

Category: Technology

Acronym: Manual NAV Adjustment (command Button)

Stands For: Key Term

Definition: Process of posting an amount to the NAVigator NAV sheet. To make a manual adjustment, right

Category: Industry

Acronym: MAPA

Stands For: Market Activity Portfolio Analysis

Definition: Extended definition needed

Category: Technology

Acronym: MAPS

Stands For: Monthly Automated Proof package

Definition: Monthly Automated Proof package - MCH automated proofs

Category: Technology

Acronym: MAR

Stands For: Market Abuse Regulation

Definition: Extended definition needed

Category: Industry

Acronym: Margin

Stands For: Key Term

Definition: Represents the amount of credit which a brokerage account will be allowed based on the current

Category: Industry

Acronym: Margin Call

Stands For: Key Term

Definition: A request to a customer to put up more cash or securities due to the fact that the existing collate

Category: Industry

Acronym: Margin Currency

Stands For: Key Term

Definition: The difference between the contract price when purchased and the current day closing price. M

Category: Industry

Acronym: Margin Variation

Stands For: Key Term

Definition: The difference between the contract price when purchased and the current day closing price. M

Category: Industry

Acronym: Marginal Cost

Stands For: Key Term

Definition: Cost incurred from manufacturing or providing each additional unit; includes normal profit.

Category: Industry

Acronym: Marginal Revenue

Stands For: Key Term

Definition: Revenue generated from selling each additional unit.

Category: Industry

Acronym: Mark to Market

Stands For: Key Term

Definition: A procedure to adjust the carrying value of a security, option, or futures contract to current value

Category: Industry

Acronym: Market

Stands For: Key Term

Definition: Public place where products or services are bought and sold, directly or through intermediaries.

Category: Industry

Acronym: Market Chat

Stands For: Key Term

Definition: Global Link broadcast of breaking investment-related news.

Category: Technology

Acronym: Market Exchange Rate

Stands For: Key Term

Definition: The Market price at which one currency can be converted into another. There is a slight change

Category: Industry

Acronym: Market Index

Stands For: Key Term

Definition: Also called "index." Statistical composite that measures changes in the economy or financial ma

Category: Industry

Acronym: Market Order

Stands For: Key Term

Definition: Most common of orders placed. A market order specifies that the trade be executed immediately

Category: Industry

Acronym: Market Price

Stands For: Key Term

Definition: Usually the last reported price at which a security has been sold, or if the security was not traded

Category: Industry

Acronym: Market Price (Forwards)

Stands For: Key Term

Definition: Condition wherein all transactions are made at the current market price, but with future delivery.

Category: Industry

Acronym: Market Risk

Stands For: Key Term

Definition: The risk that the price of a security will rise or fall due to changing economic, political, or market

Category: Industry

Acronym: Market Timing

Stands For: Key Term

Definition: Switching out of, or into, stocks or bonds according to one's prognostication of how the markets

Category: Industry

Acronym: Market Value

Stands For: Key Term

Definition: The current value of a security, which is calculated by multiplying the total number of shares tim

Category: Industry

Acronym: Market Yield

Stands For: Key Term

Definition: The percentage return that a debt instrument would provide based on market price if held to ma

Category: Industry

Acronym: Market/Book Ratio

Stands For: Key Term

Definition: Equal to the return on equity multiplied by the price/earnings ratio. It is the relationship between

Category: Industry

Acronym: Marketability

Stands For: Key Term

Definition: The ease or difficulty with which securities can be sold in the market.

Category: Industry

Acronym: Marketable Securities

Stands For: Key Term

Definition: Stocks and bonds that can be readily sold on stock exchanges or over-the-counter markets. Cla

Category: Industry

Acronym: Marketplace

Stands For: Key Term

Definition: A public place where securities are bought and sold, either directly or through intermediaries.

Category: Industry

Acronym: Mark-to-Market

Stands For: Key Term

Definition: The practice of re-pricing securities against the most recent market price.

Category: Industry

Acronym: MAS

Stands For: Monetary Authority of Singapore

Definition: Central Bank of Singapore (regulates monetary, financial and banking policies)

Category: Industry

Acronym: Master Account

Stands For: Key Term

Definition: A master account is an account that sits over several other accounts and may be a non-financial

Category: Industry

Acronym: Master Plan

Stands For: Key Term

Definition: RIS client server application. RIS, There are approximately 15 Master Plans.

Category: Technology

Acronym: Master Portfolio

Stands For: Key Term

Definition: A baseline version of a corporate portfolio. A portfolio with master status cannot be changed or c

Category: Industry

Acronym: Master Servicer

Stands For: Key Term

Definition: For mortgage pools used to back mortgage-backed securities (MBS), an organization that admin

Category: Industry

Acronym: Master Trusts

Stands For: Key Term

Definition: Institutional trusts which rely on several investment managers to invest the funds of the trust.

Category: Industry

Acronym: Matching

Stands For: Key Term

Definition: Comparison of the terms of a trade to ensure that the details from both counterparties match.

Category: Industry

Acronym: MATR

Stands For: Multi-Currency Short Term Maturity

Definition: MCH Code For Short Term Function Multi-Currency Short Term Maturity

Category: Accounting

Acronym: Maturity

Stands For: Key Term

Definition: The life span of an agreement. The maturity date of an investment is the date on which the agree

Category: Industry

Acronym: Maturity Option

Stands For: Key Term

Definition: This refers to the processing that takes place on a fixed term account when it reaches maturity.

Category: Industry

Acronym: Maturity or Maturity Date

Stands For: Key Term

Definition: The date upon which the principal of a security becomes due and payable to the security holder.

Category: Industry

Acronym: Maturity Value

Stands For: Key Term

Definition: The amount (other than periodic interest payment) that will be received at the time a security is r

Category: Industry

Acronym: Maximum Fluctuation

Stands For: Key Term

Definition: The largest amount that a future or option contract price can change before exchange trading is

Category: Industry

Acronym: MAXUS

Stands For: Acronym needed

Definition: Client initiated transactions then uploaded to PEP+.

Category: Technology

Acronym: MBL

Stands For: Measured business line

Definition: Measured business line (for phones)

Category: Technology

Acronym: MBS

Stands For: Mortgage Backed Securities

Definition: A type of asset class whereby the securities are created from the bundling or "pooling" of mortga

Category: Industry

Acronym: MCB

Stands For: Manual Confirmation Blotter

Definition: Extended definition needed

Category: Corporate

Acronym: MCCC

Stands For: Cancel Cash

Definition: MCH Code For Capstock Function Cancel Cash

Category: Accounting

Acronym: M CCS

Stands For: Cancel Shares

Definition: MCH Code For Capstock Function Cancel Shares

Category: Accounting

Acronym: MCH

Stands For: Multi Currency Horizon

Definition: Multi-currency HORIZON System, a computerized interactive system, designed to process trans

Category: Corporate

Acronym: MCIV

Stands For: Multi-Currency Investment Vehicle

Definition: Extended definition needed

Category: Industry

Acronym: MCML

Stands For: Fund Master Ledger

Definition: MCH Code For Function Fund Master Ledger

Category: Accounting

Acronym: MCRE Record

Stands For: Acronym needed

Definition: Merged string, or M-String. The M-String, Produced by the merge task. Closely approximates th

Category: Technology

Acronym: MCU

Stands For: Multipoint conferencing unit

Definition: multipoint conferencing unit; a bridge

Category: Technology

Acronym: MD

Stands For: Managing Director

Definition: Managing Director - Role

Category: Corporate

Acronym: MD&A

Stands For: Management Discussion and Analysis

Definition: Extended definition needed

Category: Industry

Acronym: MDA

Stands For: Multi-Dimensional Accounting (MDA)

Definition: One of two components in the Oracle Financial Services Applications (OFSA) that will be utilized

Category: Technology

Acronym: MDRPF

Stands For: MiFID Derivatives Report Processing Facility

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: MDRWG

Stands For: Market Data Reporting Working Group

Definition: Extended definition needed

Category: Industry

Acronym: MDS

Stands For: Market Data Services

Definition: A unit of Global Advisors. Measures the impact of portfolio structure on performance relative to a

Category: Technology

Acronym: ME

Stands For: Material Entity

Definition: Extended definition needed

Category: Corporate

Acronym: Medium-Term Note

Stands For: Key Term

Definition: Abbreviated "MTN." Security issued as part of a shelf registration. Publicly issued medium-term

Category: Industry

Acronym: MEMCC

Stands For: Month End Management & Control Command Center

Definition: Extended definition needed

Category: Technology

Acronym: Mergers

Stands For: Key Term

Definition: The voluntary combination of two corporations where both their stocks are merged into one.

Category: Industry

Acronym: Merrill Lynch High Yield Master Index

Stands For: Key Term

Definition: The Merrill Lynch High Yield Master Index is a market capitalization weighted index of all domes

Category: Industry

Acronym: Message Engine

Stands For: Key Term

Definition: A server (e.g. UNIX) that parses data from the mainframe into messages and sends them to the

Category: Technology

Acronym: METD

Stands For: FFI Pending Trade File Selection

Definition: MCH Code For Trade Function FFI Pending Trade File Selection

Category: Accounting

Acronym: MFIS

Stands For: Mutual Funds Information Services

Definition: Extended definition needed

Category: Industry

Acronym: MFS

Stands For: Mutual Fund Services

Definition: Extended definition needed

Category: Industry

Acronym: MGLB

Stands For: Multi-Currency General Ledger Balanced Entry

Definition: MCH Code For Booking Function Multi-Currency General Ledger Balanced Entry

Category: Accounting

Acronym: MGLU

Stands For: Multi-Currency General Ledger Unbalanced Entry

Definition: MCH Code For Booking Function Multi-Currency General Ledger Unbalanced Entry

Category: Accounting

Acronym: MICR

Stands For: Magnetic ink character recognition

Definition: Magnetic ink character recognition. Refers to the characters in the 5/8" clear band the runs along

Category: Technology

Acronym: Mid-cap Stocks

Stands For: Key Term

Definition: An investment categorization based on the market capitalization of a company.

Category: Industry

Acronym: MiFID

Stands For: Markets in Financial Instruments Directive

Definition: EU directive harmonizing investment services regulation across the EEA. Directive (2004/39/EC)

Category: Regulatory & Legal

Acronym: MiFID II

Stands For: Markets in Financial Instruments Directive II

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: MiFIR

Stands For: Markets in Financial Instruments Regulation

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: Minimum Fluctuation

Stands For: Key Term

Definition: The smallest amount that the price of a futures or options contract can move at one time. Also k

Category: Industry

Acronym: MIS

Stands For: Management Information Systems

Definition: A Business Technology Service area.

Category: Technology

Acronym: MISD

Stands For: Management Information Services Division

Definition: Management Information Services Division (of SS)

Category: Technology

Acronym: Misread

Stands For: Key Term

Definition: A condition that exists when a reader/sorter interprets a MICR character as a valid character other than the intended one.

Category: Technology

Acronym: MISSORT

Stands For: Acronym needed

Definition: An item that is found in a pocket other than the pocket to which it was directed.

Category: Technology

Acronym: MIT

Stands For: Message index table

Definition: Extended definition needed

Category: Technology

Acronym: MKD

Stands For: Macedonia Denar

Definition: Currency - Macedonia Denar

Category: Currency

Acronym: MLCO

Stands For: Money Laundering Compliance Officer

Definition: Money Laundering Compliance Officer - Role

Category: Regulatory & Legal

Acronym: MLRO

Stands For: Money Laundering Reporting Officer

Definition: Money Laundering Reporting Officer (FCA Approved Person-same as CF11)

Category: Regulatory & Legal

Acronym: MMAT

Stands For: Multi-Currency Short Term Maturity

Definition: MCH Code For Short Term Function Multi-Currency Short Term Maturity

Category: Accounting

Acronym: MMF

Stands For: Money Market Fund

Definition: Extended definition needed

Category: Industry

Acronym: MMOMS

Stands For: Money Market Order Management System

Definition: Money Market Order Management System (application); Internet-based Money Market Order M

Category: Technology

Acronym: Mnemonic

Stands For: Key Term

Definition: User online system which contains a 3 or 4 character function.

Category: Technology

Acronym: MNT

Stands For: Mongolia Tughrik

Definition: Currency - Mongolia Tughrik

Category: Currency

Acronym: MO

Stands For: Market Operator

Definition: Extended definition needed

Category: Industry

Acronym: Moderately Seasoned Mortgage

Stands For: Key Term

Definition: A 15-year or 30-year mortgage aged at least 30 months but less than 90 months (approximately

Category: Industry

Acronym: Modified Duration

Stands For: Key Term

Definition: A measure of the sensitivity of a bond's price to changes in yields, shown as a number of years

Category: Industry

Acronym: MoF - Japan

Stands For: Ministry of Finance - Japan

Definition: Ministry of Finance - Japan

Category: Industry

Acronym: MoF - Ontario

Stands For: Ministry of Finance - Ontario, Canada

Definition: Ministry of Finance - Ontario, Canada

Category: Industry

Acronym: MOMS

Stands For: Acronym needed

Definition: Capital Markets, allows clients to enter FX and money market transactions.

Category: Technology

Acronym: Money Center Bank -

Stands For: Key Term

Definition: The nations largest banks which are active in the large capital markets such as New York and C

Category: Industry

Acronym: Money Market Fund

Stands For: Key Term

Definition: A mutual fund investing in short-term money market instruments, such as certificates of deposit,

Category: Industry

Acronym: Money Market Funds

Stands For: Key Term

Definition: Invest in short-term securities, such as Treasury bills and commercial paper. Degree of investme

Category: Industry

Acronym: Money Market Instruments

Stands For: Key Term

Definition: Include the following types of short term investments: U.S. Government securities, certificates of deposit, money market funds, commercial paper, and Treasury bills.

Category: Industry

Acronym: Money Market Securities

Stands For: Key Term

Definition: Short-term debt securities that generally mature in one year or less.

Category: Industry

Acronym: Money Transfer (Wire Payment)

Stands For: Key Term

Definition: A means for the transfer and receipt of funds internationally. Settlement is achieved through a n

Category: Industry

Acronym: Monopoly

Stands For: Key Term

Definition: A company which is the only one in an industry and has exclusive control of the commodity or s

Category: Industry

Acronym: Moody's Investor Service

Stands For: Key Term

Definition: A U.S. organization that rates the quality of securities according to the credit-worthiness of their

Category: Industry

Acronym: Mortgage

Stands For: Key Term

Definition: A bond secured against plant and equipment.

Category: Industry

Acronym: Mortgage Backed Securities

Stands For: Key Term

Definition: Securities which are backed by underlying mortgages. Includes bankers acceptances, commercial paper, etc.

Category: Industry

Acronym: Mortgage-Backed Bond

Stands For: Key Term

Definition: A mortgage-backed security, backed by mortgage pools, in which interest and principal payments

Category: Industry

Acronym: Mortgage-Backed funds

Stands For: Key Term

Definition: Funds that invest two-thirds or more of the portfolio in pooled mortgage-backed securities.

Category: Industry

Acronym: Mortgage-Backed Securities (MBS)

Stands For: Key Term

Definition: Securities backed by mortgages. Such certificates are issued by the Federal Home Loan Mortgage Corporation.

Category: Industry

Acronym: MOSF

Stands For: Ministry of Strategy and Finance

Definition: Korean government agency responsible for non-market finance policy such as tax and debt

Category: Regulatory & Legal

Acronym: MPF

Stands For: Managed Pension Fund

Definition: Extended definition needed

Category: Industry

Acronym: mpp

Stands For: Message parser and processor

Definition: message parser and processor; an executable

Category: Technology

Acronym: MPPR

Stands For: Holdings

Definition: MCH Code For Report Function Holdings

Category: Accounting

Acronym: MPR

Stands For: Margin Period of Risk

Definition: Extended definition needed

Category: Industry

Acronym: MQSeries

Stands For: Key Term

Definition: MQSeries is an IBM software family whose components are used to tie together other software a

Category: Technology

Acronym: MR

Stands For: Material Requisition

Definition: Material Requisition (purchase order).

Category: Technology

Acronym: MREV

Stands For: Multi-Currency Manual Reverse Posting

Definition: MCH Code For Booking Function Multi-Currency Manual Reverse Posting

Category: Accounting

Acronym: MRLR

Stands For: Shows if Books are Opened

Definition: MCH Code For Report Function Shows if Books are Opened

Category: Accounting

Acronym: MRM

Stands For: Minimum Remaining Maturity

Definition: Minimum Remaining Maturity (Art 1(1)(b)(ii) EMIR)

Category: Regulatory & Legal

Acronym: MRPI

Stands For: MC Immediate Report Request

Definition: MCH Code For Report Function MC Immediate Report Request

Category: Accounting

Acronym: MS

Stands For: Member State

Definition: Extended definition needed

Category: Industry

Acronym: MSA

Stands For: Master Services Agreement

Definition: Extended definition needed

Category: Corporate

Acronym: MSBSP

Stands For: Major Security-Based Swap Participants

Definition: Major Security-Based Swap Participants within the meaning of SEC rules

Category: Industry

Acronym: MSCI

Stands For: Key Term

Definition: Financial information provider, including many indices

Category: Industry

Acronym: MSE

Stands For: Material Swaps Exposure

Definition: M-String/Material Swaps Exposure

Category: Industry

Acronym: MSP

Stands For: Major Swap Participant

Definition: Extended definition needed

Category: Industry

Acronym: MSTL

Stands For: Multi-Currency Security Settlement

Definition: MCH Code For Booking Function Multi-Currency Security Settlement

Category: Accounting

Acronym: MSXL

Stands For: Cancel Settle (Foreign)

Definition: MCH Code For Trade Function Cancel Settle (Foreign)

Category: Accounting

Acronym: MT 103

Stands For: Key Term

Definition: Single Customer Credit Transfer. This message type is sent by or on behalf of the financial institution.

Category: Industry

Acronym: MT 205

Stands For: Key Term

Definition: Financial Institution Transfer Execution. This message is sent by the Receiver of a category 2 transaction.

Category: Industry

Acronym: MT 210

Stands For: Key Term

Definition: Notice to Receive. This message type is sent by an account owner to one of its account servicing

Category: Industry

Acronym: MT 299

Stands For: Key Term

Definition: Free Format Message.

Category: Industry

Acronym: MT 595

Stands For: Key Term

Definition: Securities Markets Queries.

Category: Industry

Acronym: MT 599

Stands For: Key Term

Definition: Securities Markets Queries Free Format Message.

Category: Industry

Acronym: MT 995

Stands For: Key Term

Definition: Cash Management & Customer Status Queries.

Category: Industry

Acronym: MT 999

Stands For: Key Term

Definition: Cash Management & Customer Free Format Message.

Category: Industry

Acronym: MT100

Stands For: Key Term

Definition: A SWIFT message used to order the movement between financial institutions for credit to a client

Category: Industry

Acronym: MT110

Stands For: Key Term

Definition: A SWIFT message used to advise the drawee bank or confirm to an enquiring bank, the details

Category: Industry

Acronym: MT200

Stands For: Key Term

Definition: A SWIFT message used to order the movement of funds from an account that the Receiver serv

Category: Industry

Acronym: MT202

Stands For: Key Term

Definition: A SWIFT message used to order the movement between financial institutions. It is not meant to

Category: Industry

Acronym: MT910

Stands For: Key Term

Definition: A SWIFT message used to advise an account owner of a credit to their account. It is not authentic

Category: Industry

Acronym: MT940

Stands For: Key Term

Definition: Customer Statement Message. It is used transmit detailed information about all entries booked t

Category: Industry

Acronym: MT950

Stands For: Key Term

Definition: Statement Message. It is used to transmit detailed information about all entries booked to an account.

Category: Industry

Acronym: MTA

Stands For: Minimum Transfer Amount

Definition: Extended definition needed

Category: Industry

Acronym: MTE

Stands For: Migration to Enterprise

Definition: Extended definition needed

Category: Corporate

Acronym: MTF

Stands For: Multilateral Trading Facility

Definition: Extended definition needed

Category: Industry

Acronym: MTM

Stands For: Mark-To-Market

Definition: Market to market transaction- Recognizing unrealized G/L

Category: Industry

Acronym: MTMA

Stands For: Marks to Market Autopost

Definition: MCH Code For Marks to Market Autopost

Category: Accounting

Acronym: MTMI

Stands For: Market to market transaction

Definition: Market to market transaction- Recognizing unrealized G/L

Category: Industry

Acronym: MTN

Stands For: Medium-Term Note

Definition: Extended definition needed

Category: Industry

Acronym: mugsy.statestr.com

Stands For: Key Term

Definition: Web site where server configuration, location, and performance information resides; now gospd

Category: Technology

Acronym: MultiBank FX Connect

Stands For: Key Term

Definition: Front end processor for buy and sell side FX transactions. See Global Link.

Category: Technology

Acronym: Multi-Currency Accounting

Stands For: Key Term

Definition: The translation of native currency denominated security amounts (currency of the country of iss

Category: Industry

Acronym: Multilateral Netting

Stands For: Key Term

Definition: When all trades in the same security are netted regardless of who the counterparty is and a net

Category: Industry

Acronym: Multiplier

Stands For: Key Term

Definition: 1.For a floating-rate tranche, the index coefficient used to calculate the current coupon. For stan

Category: Industry

Acronym: Mumbai

Stands For: Key Term

Definition: The largest city in India. Formally known as Bombay. Site of State Street joint venture office in India.

Category: Industry

Acronym: Municipal Bond Fund

Stands For: Key Term

Definition: One investing in tax exempt securities, producing income that is usually all federally tax exempt.

Category: Industry

Acronym: Municipal Securities (Munis)

Stands For: Key Term

Definition: A general term referring to securities issued by local governmental subdivisions such as cities, t

Category: Industry

Acronym: MUR

Stands For: Mauritius Rupee

Definition: Currecy - Mauritius Rupee

Category: Industry

Acronym: Mutual Fund

Stands For: Key Term

Definition: A professionally managed, diversified investment that enables you to pool your money with other

Category: Industry

Acronym: MV

Stands For: Market Value

Definition:

Category:

Acronym: MVS

Stands For: IBM's Multiple Virtual Storage Operating System.

Definition: Extended definition needed

Category: Technology

Acronym: MXN

Stands For: Mexico Peso

Definition: Currency - Mexico Peso

Category: Currency

Acronym: MYR

Stands For: Malaysia Ringgit

Definition: Currency - Malaysia Ringgit

Category: Currency

Acronym: MYSS

Stands For: My State Street

Definition: An online information delivery platform allowing both internal and external users to create output

Category: Technology

Acronym: MYX

Stands For: Bursa Malaysia (stock exchange)

Definition: Stock Exchange for Malaysia

Category: Industry

Acronym: MZN

Stands For: Mozambique Metical

Definition: Currency - Mozambique Metical

Category: Currency

Acronym: N.A.

Stands For: National Association

Definition: National Association subject to regulatory supervision by the Office of the Comptroller of the Currency

Category: Institution or Association

Acronym: NA1

Stands For: North America CICS region 1.

Definition: Region for Customer Information Control System

Category: Technology

Acronym: NA2

Stands For: North America CICS region 2.

Definition: Region for Customer Information Control System

Category: Technology

Acronym: NACHA

Stands For: National Automated ClearingHouse Association

Definition: A non-profit membership association charged with overseeing the Automated Clearing House (ACH)

Category: Institution or Association

Acronym: NACK

Stands For: Negative Acknowledgment/Not Acknowledged

Definition: Extended definition needed

Category: Industry

Acronym: NAD

Stands For: Namibia Dollar

Definition: Currency - Namibia Dollar

Category: Currency

Acronym: NAIC

Stands For: National Association of Insurance Commissioners

Definition: The U.S. standard-setting and regulatory support organization created and governed by the chief

Category: Institution or Association

Acronym: NAK

Stands For: Negative Acknowledgement

Definition: Extended definition needed

Category: Corporate

Acronym: NAPC

Stands For: North American Product Committee

Definition: Committee focused on North American Product releases

Category: Corporate

Acronym: NASD

Stands For: National Association of Securities Dealers

Definition: The self-regulatory organization of the securities industry responsible for the operation and regulation of the securities markets

Category: Institution or Association

Acronym: NASD (National Association of Securities Dealers Inc)

Stands For: Key Term

Definition: An association of brokers or dealers, registered as such under section 15A of the Securities Exo

Category: Industry

Acronym: NASDAQ

Stands For: National Association of Securities Dealers Automated Quotations

Definition: An American stock exchange. It is the second-largest stock exchange in the world by market cap.

Category: Industry

Acronym: NASDAQ Composite Index

Stands For: Key Term

Definition: An unmanaged index of over-the-counter stock prices that does not assume the reinvestment of

Category: Industry

Acronym: National Municipal Bond funds

Stands For: Key Term

Definition: National Municipal Bond funds invest in national municipal bonds with the objective of providing

Category: Industry

Acronym: National Municipal Bond funds: general

Stands For: Key Term

Definition: Funds predominantly invest in municipal bonds with an average maturity of one to five years. Th

Category: Industry

Acronym: National Municipal Bond funds: long term

Stands For: Key Term

Definition: Funds predominantly invest in municipal bonds with an average maturity of more than five years

Category: Industry

Acronym: National Tax-Exempt Money Market funds

Stands For: Key Term

Definition: Funds seek income that is not taxed by the federal government by investing in municipal securities

Category: Industry

Acronym: NATP

Stands For: North America Transaction Processing

Definition: Business Unit for Transaction Processing

Category: Corporate

Acronym: NAV

Stands For: Net Asset Value

Definition: Net Asset Value; calculation application; feeds Start of Day Cash; Net Asset Value, Mutual Fund

Category: Finance

Acronym: NAV (Net Asset Value) per share

Stands For: Key Term

Definition: It is the value of a single mutual fund share, which is based on the fund's underlying securities, n

Category: Industry

Acronym: NAV Alert

Stands For: Key Term

Definition: application; automates the calculation, validation, and dissemination of key daily fund data using

Category: Technology

Acronym: NAV Alert Terms

Stands For: Key Term

Definition: NAV Alert Implementation Terms

Category: Industry

Acronym: NAV per share

Stands For: Net Asset Value per share

Definition: The unit price of the fund. Calculated by dividing NAV by the number of units/shares on issue.

Category: Accounting

Acronym: NAValert

Stands For: Key Term

Definition: Mutual Fund's System for verifying accounting activity. Performs tolerance checks on a wide var

Category: Technology

Acronym: NAVigator

Stands For: Key Term

Definition: Net Asset Value Pricing System, Mutual Funds System for calculating the NAV on a daily basis.

Category: Technology

Acronym: NBES

Stands For: National Book Entry System

Definition: FED's National Book Entry System.

Category: Technology

Acronym: NBPRA

Stands For: New Business Product Review and Approval

Definition: New Business & Product Risk Committee

Category: Corporate

Acronym: NCA

Stands For: National Competent Authority

Definition: Extended definition needed

Category: Industry

Acronym: NCOI

Stands For:

Definition: Net Capital/Other Income

Category:

Acronym: NCS

Stands For: Network Client Services

Definition: Network Client Services, part of GNCS.

Category: Technology

Acronym: NCSSF

Stands For: National Council for Social Security Fund

Definition: A supplementary fund used by the Chinese government for social security; SSgA manages glob

Category: Industry

Acronym: NDA

Stands For: Non-Disclosure Agreement

Definition: Agreement to keep information confidential

Category: Industry

Acronym: NDF

Stands For: Non Deliverable Forward

Definition: A cash-settled, short-term forward contract on a thinly traded or non-convertible foreign currency

Category: Industry

Acronym: NDIP

Stands For: Non-Deposit Investment Products.

Definition: Extended definition needed

Category: Technology

Acronym: NDM

Stands For: Network Data Mover

Definition: Sterling Software, large volume data transfers, uses a RACF id to authenticate; Network Data Mover

Category: Technology

Acronym: NDS

Stands For: Network domain system

Definition: Extended definition needed

Category: Technology

Acronym: Near Real-Time

Stands For: Key Term

Definition: 'Real-time' refers to the timing of processing with respect to any relevant informational updates.

Category: Industry

Acronym: NED

Stands For: Non-Executive Director

Definition: Non-Executive Director - Role

Category: Industry

Acronym: NEDRIX

Stands For: New England Disaster Recovery Information Exchange

Definition: Extended definition needed

Category: Technology

Acronym: Negative Amortization

Stands For: Key Term

Definition: In general, negative amortization occurs whenever the scheduled monthly payment on a mortga

Category: Industry

Acronym: Negotiable Instrument

Stands For: Key Term

Definition: One that can be bought and sold in a secondary market.

Category: Industry

Acronym: NEO

Stands For: New Employee Orientation

Definition: New Employee Orientation; a program for new NMS employees

Category: Human Resources

Acronym: NEO StreetSmart

Stands For: Key Term

Definition: KC's full new employee onboarding training program

Category: Human Resources

Acronym: NET

Stands For: New Employee Training

Definition: New Employee Training (KC)

Category: Human Resources

Acronym: Net Asset Value (NAV)

Stands For: Key Term

Definition: The price per share of a portfolio in a Mutual Fund, also known as NAV. NAV is calculated by to

Category: Industry

Acronym: Net Assets

Stands For: Key Term

Definition: The total assets of a fund, less current liabilities, such as operating expenses.

Category: Industry

Acronym: Net Basis

Stands For: Key Term

Definition: Basis less carry; that portion of the basis which is not explained by the costs of financing the bor

Category: Industry

Acronym: Net Coupon

Stands For: Key Term

Definition: The homeowners' gross interest payments minus servicing, management, and guarantee fees. I

Category: Industry

Acronym: Net Income

Stands For: Key Term

Definition: The amount of money left over after all expenses have been subtracted from Revenue: "The Bo

Category: Industry

Acronym: Net Interest Income Allocation Model

Stands For: Key Term

Definition: In addition to the main General Ledger there is the Net Interest Income Allocation Model. This is

Category: Industry

Acronym: Net Investment Income Per Share

Stands For: Key Term

Definition: Dividends and interest earned during an accounting period (such as a year) on a fund's portfolio

Category: Industry

Acronym: Net Margin

Stands For: Key Term

Definition: For ARM pools, the gross margin minus the cost of mortgage insurance and the expense of sec

Category: Industry

Acronym: Net Realized Capital Gains Per Share

Stands For: Key Term

Definition: The amount of capital gain realized on sale of a fund's portfolio holdings during an accounting p

Category: Industry

Acronym: Net Settlement Amount

Stands For: Key Term

Definition: The actual monetary amount of a trade to be settled. This is defined as $(\text{Quantity} \times \text{Price}) + (\text{Interest})$

Category: Industry

Acronym: Net Value of Assets In Custody (N.V.A.C)

Stands For: Key Term

Definition: This is the net value of the client's security portfolio under custody at the Bank that is used to co

Category: Industry

Acronym: Net Worth

Stands For: Key Term

Definition: Book value of a company's common stock, surplus, and retained earnings. The same as stockholders' equity.

Category: Industry

Acronym: Net Yield

Stands For: Key Term

Definition: Rate of return on a security net of out-of-pocket costs associated with its purchase, such as com

Category: Industry

Acronym: NetBatch

Stands For: Key Term

Definition: Job control and scheduler for Tandem systems.

Category: Technology

Acronym: Netting

Stands For: Key Term

Definition: When security transactions are pooled and buys and sells are offset against each other for a net

Category: Industry

Acronym: NetView

Stands For: Key Term

Definition: Console event message viewer software for main frame; Monitoring tool for mainframes

Category: Technology

Acronym: Network Availability

Stands For: Key Term

Definition: Uninterrupted access to business applications, via the telecommunication network, during the ho

Category: Technology

Acronym: Network Data Mover

Stands For: Key Term

Definition: A vendor utility product that allows the transfer of datasets between various hardware platforms.

Category: Technology

Acronym: New Issued

Stands For: Key Term

Definition: A transaction made conditionally because a security, although authorized, has not yet been issued

Category: Industry

Acronym: New Mortgage

Stands For: Key Term

Definition: A 15-year or 30-year mortgage aged less than 30 months (approximately).

Category: Industry

Acronym: New York Stock Exchange (NYSE)

Stands For: Key Term

Definition: The largest stock exchange in the United States. It is a corporation, operated by a board of directors.

Category: Industry

Acronym: Next Amount

Stands For: Key Term

Definition: The principal amount to be retired at the next sinking fund date.

Category: Industry

Acronym: Next Call Date

Stands For: Key Term

Definition: The next date on which the issuer can call the bond (redeem it before maturity). If the bond is ca

Category: Industry

Acronym: Next Generation Programme

Stands For: Key Term

Definition: S.W.I.F.T.'s company-wide programme to develop new services based on a secure IP network.

Category: Industry

Acronym: Next Income Date

Stands For: Key Term

Definition: The next day that interest income is payable to the owners of a fixed income or money market in

Category: Industry

Acronym: Next Payment Date

Stands For: Key Term

Definition: The next day that interest income is actually paid to the owners of a fixed income or money market instrument

Category: Industry

Acronym: Next Sinking Date

Stands For: Key Term

Definition: The next scheduled date on which the issuer can or must make a sinking fund redemption.

Category: Industry

Acronym: NFA

Stands For: National Futures Association

Definition: The self-regulatory organization for the U.S. derivatives industry, including on-exchange traded f

Category: Institution or Association

Acronym: NFC

Stands For: Non -Financial Counterparty

Definition: Non -Financial Counterparty (can be + or - depending on whether or not they have exceeded the

Category: Industry

Acronym: NGN

Stands For: Nigeria Naira

Definition: Currency - Nigeria Naira

Category: Currency

Acronym: NIBT

Stands For: Net Income Before Tax

Definition: Measurement - Net Income Before Tax. Operating Income

Category: Finance

Acronym: NIC

Stands For: Network Information Center

Definition: Extended definition needed

Category: Technology

Acronym: Nikei Stock Average

Stands For: Key Term

Definition: An index of 225 leading stocks traded on the Tokyo stock exchange.

Category: Industry

Acronym: NIO

Stands For: Nicaragua Cordoba

Definition: Currency - Nicaragua Cordoba

Category: Currency

Acronym: NIS

Stands For: Network Information Services

Definition: Extended definition needed

Category: Technology

Acronym: NLG

Stands For: Non-Linear Dependencies

Definition: Extended definition needed

Category: Industry

Acronym: NMCS

Stands For: Network Management Control System

Definition: Network Management Control System; currently used by all of State Street (with the exception of

Category: Technology

Acronym: NMS

Stands For: Network Management Services

Definition: Network Management Services (formerly known as GNP&M or Global Network Planning & Man

Category: Technology

Acronym: NNX/NXX

Stands For: Key Term

Definition: First 3 digits of 7 digit phone #, N=29, X=09, used to id or select an ILEC central office switch.

Category: Technology

Acronym: No Net

Stands For: Key Term

Definition: MCH accounting business contingency training

Category: Human Resources

Acronym: NOC

Stands For: Network Operations Center

Definition: Network Operations Center (formerly known as the IOC or Interchange Operations Center)

Category: Technology

Acronym: NOK

Stands For: Norway Krone

Definition: Currency - Norway Krone

Category: Currency

Acronym: No-Load Fund

Stands For: Key Term

Definition: An open-ended mutual fund which does not charge a sales charge when an investor buys or sells

Category: Industry

Acronym: Nominal Convexity

Stands For: Key Term

Definition: A convexity that ignores the effect of embedded options.

Category: Industry

Acronym: Nominal Rate of Return

Stands For: Key Term

Definition: The total percentage increase in the value of an investment over the holding period. The annual

Category: Industry

Acronym: Nominal Spread

Stands For: Key Term

Definition: Also called "spread." The difference, in basis points, between the yield of a security and a point

Category: Industry

Acronym: Nominal Yield

Stands For: Key Term

Definition: The annual dollar amount of income from the security divided by the face amount of the security

Category: Industry

Acronym: Nominee Company

Stands For: Key Term

Definition: A company formed for the express purpose of holding securities and other assets in its name or

Category: Industry

Acronym: Nominee Deposits

Stands For: Key Term

Definition: Nominee deposits are fixed deposits placed on behalf of the client with an approved bank in the

Category: Industry

Acronym: Noncallable

Stands For: Key Term

Definition: A security that the issuer cannot redeem before maturity, except under unanticipated or unusual

Category: Industry

Acronym: Non-Diversified Investment Company

Stands For: Key Term

Definition: A fund which, as to 50% of its assets, may invest up to 25% in the securities of one company. C

Category: Industry

Acronym: Non-Revolving Commitment

Stands For: Key Term

Definition: This is a standard loan with a specified duration and repayment schedule.

Category: Industry

Acronym: Non-Security Asset -(Bond)

Stands For: Key Term

Definition: Long term instruments that do not have an actual certificate for them, but do have a rate and ma

Category: Industry

Acronym: Non-Security Asset -(Equity)

Stands For: Key Term

Definition: Long term instruments that do not have an actual certificate issued for them, but have equity character

Category: Industry

Acronym: Non-Settled FX

Stands For: Key Term

Definition: This refers to foreign exchange transactions, which have yet to be converted and settled at a sp

Category: Industry

Acronym: Non-Standard Securities

Stands For: Key Term

Definition: Securities that do not have a standard classification, such as equity or debt, because they are n

Category: Industry

Acronym: Non-US Securities

Stands For: Key Term

Definition: Securities issued outside of the United States.

Category: Industry

Acronym: NOP

Stands For: Net Open Position

Definition: Extended definition needed

Category: Industry

Acronym: Nostro Account

Stands For: Key Term

Definition: The name given a ledger account that reflects the activity and records the balance of a bank's a

Category: Industry

Acronym: Note

Stands For: Key Term

Definition: A debt obligation similar to a bond, but with a maturity date less than five years from date of issue

Category: Industry

Acronym: Notes Payable

Stands For: Key Term

Definition: Money owed to lenders. Can be short- or long-term.

Category: Industry

Acronym: Notification Days

Stands For: Key Term

Definition: The issuer or holder has a specific number of days before a call or a put date to give notice of an

Category: Industry

Acronym: Notional (Market) value

Stands For: Key Term

Definition: The Theoretical market value of a Futures contract defined as number of contracts held C multipli

Category: Industry

Acronym: Notional Cost

Stands For: Key Term

Definition: The theoretical cost of a Futures contract defined as number of contracts held C trade price C M

Category: Industry

Acronym: Notional Par

Stands For: Key Term

Definition: The amount of underlying security represented by open futures or options position which are eq

Category: Industry

Acronym: NP&M

Stands For: Network Planning and Management

Definition: Network Planning and Management; evolved to GNP&M; now known as NMS

Category: Technology

Acronym: NPA

Stands For: Network Planning and Architecture

Definition: Network Planning and Architect(ure?); unit of GNCS/GDPS/IPS

Category: Technology

Acronym: NPR

Stands For: Nepal Rupee

Definition: Currency - Nepal Rupee

Category: Currency

Acronym: NPV

Stands For: Net Present Value

Definition: Measurement of Net Present value

Category: Finance

Acronym: NRA Tax

Stands For: Non-Resident Alien Tax

Definition: Tax imposed upon non-residents

Category: Industry

Acronym: NRA Tax Country

Stands For: Key Term

Definition: The country of residence of a security that is used to calculate taxes. Owners of a security that h

Category: Industry

Acronym: NRA Tax Rate

Stands For: Key Term

Definition: A particular rate at which non resident will be taxed in a non market.

Category: Industry

Acronym: NRA Tax Reclaim Rate

Stands For: Key Term

Definition: A particular rate at which a non resident can collect the tax expense in a given market.

Category: Industry

Acronym: NSA

Stands For: Network Service Accounting

Definition: A service provided by Cisco now known as Advanced Network Services (ANS)

Category: Technology

Acronym: NSCC

Stands For: Key Term

Definition: National Securities Clearing Corporation. An organization providing multilateral, multi-issue netting

Category: Industry

Acronym: NSDR

Stands For: Non-Securities Reference Data

Definition: Extended definition needed

Category: Corporate

Acronym: NSFR

Stands For: Net Stable Funding Ratio

Definition: Measurement of Net Stable Funding Ratio

Category: Finance

Acronym: NSHR

Stands For: Non Standard High Risk

Definition: Term associated with the C3OP project referring to non standard and high risk contracts

Category: Corporate

Acronym: NSR

Stands For: Network service request

Definition: network service request; scheduling tool

Category: Technology

Acronym: NTAS

Stands For: Key Term

Definition: A record-keeping system used by our TA Department to support some non-standard products (i

Category: Industry

Acronym: NTS

Stands For: Network Technology Support

Definition: Network Technology Support; formerly known as ISG

Category: Technology

Acronym: NTW

Stands For: Negotiated Trade Waiver

Definition: Extended definition needed

Category: Industry

Acronym: NYSE

Stands For: New York Stock Exchange

Definition: The oldest and largest stock exchange in the United States. Also known as the Big Board or The

Category: Industry

Acronym: NZD

Stands For: New Zealand Dollar

Definition: Currency - New Zealand Dollar

Category: Currency

Acronym: OAM

Stands For: Officially Appointed Mechanism

Definition: Extended definition needed

Category: Industry

Acronym: OAS

Stands For: Key Term

Definition: "Option-adjusted spread." A measure of a security's extra return over the return of a comparable

Category: Industry

Acronym: OAS Spread

Stands For: Key Term

Definition: "Option-adjusted spread." A measure of a security's extra return over the return of a comparable

Category: Industry

Acronym: OASIS

Stands For: Operations Automated Subject Information System

Definition: Intranet web site; Operations Automated Subject Information System; includes Web sites for GC

Category: Technology

Acronym: OAT

Stands For: Order Audit Trail

Definition: Extended definition needed

Category: Industry

Acronym: OBO/NOBO

Stands For: Acronym Needed

Definition: Shareholder Disclosure

Category: Industry

Acronym: OCC

Stands For: Office of the Comptroller of the Currency

Definition: Extended definition needed

Category: Corporate

Acronym: OCCG

Stands For: Office of Culture, Controls and Governance

Definition: Corporate office focused on driving culture, controls and governance

Category: Compliance & Risk

Acronym: OCF

Stands For: Operational Control Framework

Definition: Extended definition needed

Category: Corporate

Acronym: OCIO

Stands For: Office of the Chief Information Officer

Definition: Extended definition needed

Category: Corporate

Acronym: OCMT

Stands For: Key Term

Definition: Is a code used in SWIFT messages to identify the "Original Currency and Amount". It is used to

Category: Industry

Acronym: ODA

Stands For: Online Demand Access

Definition: Dial in remote; on-demand access; for working remotely

Category: Technology

Acronym: ODB

Stands For: On Demand Batch

Definition: Oracle database

Category: Technology

Acronym: ODBC

Stands For: Open Database Connectivity

Definition: An open standard application-programming interface (API) for accessing a database. By using ODBC, a programmer can access a wide variety of database systems without having to write specific code for each system.

Category: Technology

Acronym: Odd Coupon

Stands For: Key Term

Definition: Identifies if a payment period (usually the first, but could be the last) is different from the set pay

Category: Industry

Acronym: ODIN

Stands For: Operations Database Interchange Network

Definition: Phone list part replaced by Remedy ARS

Category: Technology

Acronym: ODR

Stands For: Object Data Repository

Definition: Extended definition needed

Category: Technology

Acronym: ODSG

Stands For: OTC Derivatives Supervisors Group

Definition: Extended definition needed

Category: Industry

Acronym: ODT

Stands For: Open Data Transactions

Definition: Open Data Transactions/ Trust query, client specific input.

Category: Technology

Acronym: OECD

Stands For: Organisation for Economic Co-operation & Development

Definition: Global organization that promotes multinational approaches to economic and social improvement

Category: Institution or Association

Acronym: OEIC

Stands For: Open-Ended Investment Companies

Definition: Open-Ended Investment Companies; UK funds companies

Category: Industry

Acronym: OFA

Stands For: Office of Finance & Administration

Definition: The Office of Finance & Administration. A department within the Office of the CIO.

Category: Corporate

Acronym: OFAC

Stands For: Key Term

Definition: The Office of Foreign Asset Control is a division of the US Department of Treasury. It is respons

Category: Industry

Acronym: Offer

Stands For: Key Term

Definition: The price the seller is willing to sell their security holding at.

Category: Industry

Acronym: Offer Price

Stands For: Key Term

Definition: The price at which one share of a mutual fund may be purchased; it is net asset value per share

Category: Industry

Acronym: Offering Date

Stands For: Key Term

Definition: The date on which a distribution of stocks or bonds will first be available to the public.

Category: Industry

Acronym: Offering Price

Stands For: Key Term

Definition: See "asked price." Paid by the buyer of a load fund. Determined by adding the applicable sales

Category: Industry

Acronym: Offset

Stands For: Key Term

Definition: For a floating-rate tranche, the spread from the index. For example, if the prospectus says that t

Category: Industry

Acronym: Offshore Fund

Stands For: Key Term

Definition: An offshore fund is a fund which is marketed in a different country to the one in which it is authorized

Category: Industry

Acronym: Offshore Location

Stands For: Key Term

Definition: A place that attracts investment from investors not residing within its jurisdiction. Dublin is an off

Category: Industry

Acronym: OFSA

Stands For: Oracle Financial Services Applications

Definition: One of three Oracle components in the IFS environment that acts on data that is sourced by ext

Category: Technology

Acronym: OFT

Stands For: Office Of Fair Trading

Definition: Extended definition needed

Category: Corporate

Acronym: OID

Stands For: Online Information Delivery

Definition: Extended definition needed

Category: Technology

Acronym: OIF

Stands For: Official Institutions Group

Definition: Team within SSgA that advises public-sector institutions (central banks, monetary authorities, S

Category: Corporate

Acronym: OIPOP

Stands For: Originating Input Process/ Output Peripheral device

Definition: Extended definition needed

Category: Technology

Acronym: OIS

Stands For: Operations Information Services

Definition: A Business Technology Service unit.

Category: Corporate

Acronym: OITG

Stands For: Office of IT Governance

Definition: The Office of IT Governance. A department within the Office of the CIO.

Category: Corporate

Acronym: OJ

Stands For: Official Journal

Definition: The Official Journal of the European Union

Category: Industry

Acronym: OJT

Stands For: On the Job Training

Definition: Training where employees learn on site with the team they will be working with.

Category: Human Resources

Acronym: Oligopoly

Stands For: Key Term

Definition: An industry where a few (three or four) major firms produce a high (80%) proportion of industry output

Category: Industry

Acronym: OLS

Stands For: Order Loading System

Definition: SSgA Order Loading System, application which is the front end to Predator (the trading system v

Category: Technology

Acronym: OLSA

Stands For: On-Line Services Architecture

Definition: Extended definition needed

Category: Technology

Acronym: OLSB

Stands For: On-Line Services Broker

Definition: Extended definition needed

Category: Technology

Acronym: OMAC

Stands For: Overdraft Monitoring and Control

Definition: Extended definition needed

Category: Technology

Acronym: OMP

Stands For: Online Memo Post

Definition: On-line Memo Post (OMP) is a method to enter transactions or sets of transactions on Hogan, a

Category: Technology

Acronym: OMP+

Stands For: On Line Memo Post Plus

Definition: On Line Memo Post Plus, HOGAN transfers between DDAs.

Category: Technology

Acronym: OMR

Stands For: Oman Rial

Definition: Currency - Oman Rial

Category: Currency

Acronym: OMS

Stands For: Open Mail System

Definition: Extended definition needed

Category: Technology

Acronym: Online Call List

Stands For: Key Term

Definition: A vehicle giving contact phone numbers identifying developer & management responsibility for b

Category: Technology

Acronym: On-us checks

Stands For: Key Term

Definition: Checks are drawn on the bank that is processing them.

Category: Technology

Acronym: On-us Field

Stands For: Key Term

Definition: ? positions between the account number field and the amount field on the MICR line encoded on

Category: Technology

Acronym: Onyx

Stands For: Key Term

Definition: A sales force automation system to be used by SsgA

Category: Technology

Acronym: OoA

Stands For: Office of Architecture

Definition: The Office of Architecture. A department within the Office of the CIO.

Category: Corporate

Acronym: OOL

Stands For: Other Operational Loss

Definition: Other Operational Loss, dollars lost or paid out due to errors, accidents, or other mistakes made

Category: Finance

Acronym: OPC

Stands For: Operations Planning & Control

Definition: IBM Job scheduler used by the Quincy data center; Operations Planning & Control; mainframe s

Category: Technology

Acronym: Open-End Investment Company

Stands For: Key Term

Definition: A "mutual fund" that continuously offers new shares to investors and redeems (buys back) outst

Category: Industry

Acronym: Operating Income

Stands For: Key Term

Definition: The amount of revenue remaining after the Cost of Goods Sold and Selling, General and Admin

Category: Industry

Acronym: Operating Leverage

Stands For: Key Term

Definition: Fixed operating costs, so called because they accentuate variations in profits. Expressed as fixed

Category: Industry

Acronym: Operating Margin

Stands For: Key Term

Definition: Operating profit (before interest and taxes) divided by sales.

Category: Industry

Acronym: Operational Planning

Stands For: Key Term

Definition: Planning related to the near term which focuses on the implementation of the strategic plan.

Category: Industry

Acronym: Opportunity Risk

Stands For: Key Term

Definition: The risk that a better opportunity may present itself after you have already committed your money

Category: Industry

Acronym: Optimizer

Stands For: Key Term

Definition: (Chow - Kritzman) - Global Link modeling tool used by investment managers for portfolio asset c

Category: Technology

Acronym: Option

Stands For: Key Term

Definition: A contract that gives the holder the right to buy from or sell to the writer a specified amount of se

Category: Industry

Acronym: Option Adjusted Convexity

Stands For: Key Term

Definition: A measure of the curvature of the price-yield relationship of a fixed-income security, calculated u

Category: Industry

Acronym: Option Adjusted Dollar Duration

Stands For: Key Term

Definition: For a security or portfolio, a dollar duration that includes the effects of embedded options.

Category: Industry

Acronym: Option Adjusted Duration

Stands For: Key Term

Definition: Also "rate duration" and "OA duration." The modified duration of a security, calculated using a m

Category: Industry

Acronym: Option Adjusted DV01

Stands For: Key Term

Definition: The DV01 of a security, calculated from an option-based model, that accounts for any embedded

Category: Industry

Acronym: Option Adjusted Spread

Stands For: Key Term

Definition: Also "OAS." A measure of a security's extra return over the return of a comparable Treasury security.

Category: Industry

Acronym: Option Adjusted Spread Duration

Stands For: Key Term

Definition: The modified duration of a security, calculated using an option-based model, that accounts for e

Category: Industry

Acronym: Option Adjusted Yield

Stands For: Key Term

Definition: Yield to maturity adjusted for the value of embedded options (call, put, sinking fund, prepayment)

Category: Industry

Acronym: Option Adjusted Yield Duration

Stands For: Key Term

Definition: The value of a tranche, mortgage pool, or generic without prepayments. The yield duration is ca

Category: Industry

Acronym: Option Adjusted Yield, Mortgage Pools

Stands For: Key Term

Definition: The internal rate of return of the weighted average of the pool's simulated cash flows. This yield

Category: Industry

Acronym: Option Flag

Stands For: Key Term

Definition: A code indicating the type of option associated with a security: *C for call *P for put *S for sinking

Category: Industry

Acronym: Option Income Fund

Stands For: Key Term

Definition: A mutual fund whose intent is to increase present income from a continual option writing program

Category: Industry

Acronym: Option Value

Stands For: Key Term

Definition: The dollar value of a security's embedded options (call, put, sinking fund, prepayments, and so on)

Category: Industry

Acronym: Oracle FinApps

Stands For: Key Term

Definition: One of three Oracle components in the IFS environment that act on data that is sourced by exte

Category: Technology

Acronym: OREO

Stands For: Other Real Estate Owned

Definition: Extended definition needed

Category: Industry

Acronym: ORFP

Stands For: To Extend the Books

Definition: MCH Code For Report Function To Extend the Books

Category: Accounting

Acronym: ORI

Stands For: Office of Regulatory Initiatives

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: Original Balance

Stands For: Key Term

Definition: For mortgage pools, the unpaid principal dollar amount at pool issuance; the sum of the unpaid

Category: Industry

Acronym: Original Face

Stands For: Key Term

Definition: The par value of an asset-backed security at the time of issue. This value is used for custody pu

Category: Industry

Acronym: Original Holdings

Stands For: Key Term

Definition: The face amounts of the issues held in a portfolio. Note that current holdings and original holding

Category: Industry

Acronym: Original Issue Amount

Stands For: Key Term

Definition: The original amount of par that is sold to bondholders on issue date. This information is used for

Category: Industry

Acronym: Original Issue Discount (OID)

Stands For: Key Term

Definition: Discount from par value at the time of issue for a debt instrument. This discount represents an a

Category: Industry

Acronym: Original Issue Price

Stands For: Key Term

Definition: The discounted price that a security was issued at. This value is used at the starting point for am

Category: Industry

Acronym: Original Issue Yield

Stands For: Key Term

Definition: The percentage return that the Original Issue Discount would provide if the security is held to ma

Category: Industry

Acronym: Original Term

Stands For: Key Term

Definition: For mortgage pools, the term category to which the mortgages in the pool belong. The original t

Category: Industry

Acronym: OSA

Stands For: Open Systems Accounting

Definition: Extended definition needed

Category: Accounting

Acronym: OSA/MFA

Stands For: Open Systems Accounting Mainframe Access

Definition: Extended definition needed

Category: Technology

Acronym: OSD

Stands For: Open Systems Deployment

Definition: Open Systems Deployment; formerly known as Interchange Planning and Deployment

Category: Technology

Acronym: OSE

Stands For: Open Servlet Engine

Definition: OSE refers to Open Servlet Engine, a lightweight communications protocol developed by IBM for

Category: Technology

Acronym: OSFI

Stands For: Office of the Superintendent of Financial Institutions. - Canada

Definition: Office of the Superintendent of Financial Institutions - Canada

Category: Corporate

Acronym: OSI

Stands For: Open Systems Interconnect Model

Definition: Extended definition needed

Category: Technology

Acronym: OSI Model

Stands For: Open Systems Interconnect Model

Definition: An open network protocol architecture that could be supported by all vendors

Category: Technology

Acronym: OSPF

Stands For: Open shortest path first

Definition: A network protocol

Category: Technology

Acronym: OSR

Stands For: Open Systems Reference

Definition: Open Systems Reference; an application

Category: Technology

Acronym: OSS

Stands For: Online Systems Support

Definition: Extended definition needed

Category: Technology

Acronym: OTC

Stands For: Over The Counter

Definition: A security traded in some context other than on a formal exchange

Category: Industry

Acronym: OTC Hub

Stands For:

Definition: Over the Counter Hub

Category:

Acronym: OTF

Stands For: Organised Trading Facility

Definition: Extended definition needed

Category: Industry

Acronym: Other Fees

Stands For: Key Term

Definition: Any additional monetary amount that is added to the cost of a trade (or subtracted from the proc

Category: Industry

Acronym: Other Operating Loss

Stands For: Key Term

Definition: Dollars lost or paid out due to errors, accidents, or other mistakes made in the course of doing b

Category: Technology

Acronym: Other Quality

Stands For: Key Term

Definition: A quality rating for the security other than Moody's or S&P's.

Category: Industry

Acronym: OTIS

Stands For: Omnibus Trading Information System

Definition: Omnibus Trading Information System, IFTC SQL database, IFTC.

Category: Technology

Acronym: OTR Curve

Stands For: Key Term

Definition: Also "on-the-run" Treasury curve. A yield curve created from the yields to maturity of the most re

Category: Industry

Acronym: OTS

Stands For: Office of Thrift Supervision

Definition: Extended definition needed

Category: Corporate

Acronym: Outage

Stands For: Key Term

Definition: Period of time during which a scheduled service is out

Category: Technology

Acronym: OutsideView

Stands For: Key Term

Definition: Tandem.

Category: Technology

Acronym: Outstanding Issue Amount

Stands For: Key Term

Definition: The total quantity of a security issue that held by shareholders. This value is used in many comp

Category: Industry

Acronym: Outstanding Shares

Stands For: Key Term

Definition: Outstanding shares are those which are currently being held by shareholders. The number of ou

Category: Industry

Acronym: Overdraft Protection

Stands For: Key Term

Definition: A linked account sitting over several minor accounts can provide overdraft protection. When any

Category: Industry

Acronym: Overfunded

Stands For: Key Term

Definition: The amount of principal sunk ahead of schedule, if any. Calculated as (principal sunk) minus (pr

Category: Industry

Acronym: Overhead

Stands For: Key Term

Definition: Costs incurred in providing a capacity to carry on productive activities, but that are not directly a

Category: Industry

Acronym: Overrides

Stands For: Key Term

Definition: Overrides are manual alterations to system generated calculations made in response to certain

Category: Industry

Acronym: Over-the-Counter Market

Stands For: Key Term

Definition: Where those securities not listed on the regular exchanges are traded.

Category: Industry

Acronym: Owners' Equity

Stands For: Key Term

Definition: Stockholders' equity; assets minus liabilities; contributed capital plus retained earnings of a corp

Category: Industry

Acronym: P&A

Stands For: Performance & Availability

Definition: Performance & Availability meeting

Category: Technology

Acronym: P&L

Stands For: Profit & Loss

Definition: Measurement of profits and losses from Business Activities

Category: Finance

Acronym: P/C field

Stands For: Key Term

Definition: See On-us field.

Category: Technology

Acronym: PA

Stands For: Portfolio Accountant

Definition: Portfolio Accountant Role, also known as Fund Accountant

Category: Industry

Acronym: PAB

Stands For: Panama Balboa

Definition: Currency - Panama Balboa

Category: Currency

Acronym: PAC

Stands For: Key Term

Definition: "Planned Amortization Class." A CMO tranche with a planned amortization schedule similar to th

Category: Industry

Acronym: Package Post

Stands For: Key Term

Definition: See Shortlist

Category: Technology

Acronym: Packet PC

Stands For: Key Term

Definition: Dial-up access software.

Category: Technology

Acronym: PAI

Stands For: Price Alignment Interest

Definition: Measurement of Price Alignment Interest

Category: Finance

Acronym: Paid-In Surplus

Stands For: Key Term

Definition: Paid-in surplus is the amount over the par that makes up a fund's price per share. Like par, paid

Category: Industry

Acronym: PAIF

Stands For: Pan Asia (Bond) Index Fund

Definition: Asia Bond ETF managed by SSgA and cross listed in several locations

Category: Industry

Acronym: PAIRS

Stands For: Portfolio Approach to Interest Rate Scenarios

Definition: Extended definition needed

Category: Industry

Acronym: PAM

Stands For: Portfolio Accounting and Management

Definition: PAM software is a Global Services product that helps institutional investors with complex account

Category: Technology

Acronym: Paper

Stands For: Key Term

Definition: Any short-term debt security.

Category: Industry

Acronym: Par

Stands For: Key Term

Definition: The nominal or face value of a security as given on the certificate or instrument. The par value is

Category: Industry

Acronym: Par Amount

Stands For: Key Term

Definition: Face value of the bond multiplied by the number of bonds held, in dollars. For example, if you po

Category: Industry

Acronym: Par Bonds

Stands For: Key Term

Definition: Bonds selling at face value.

Category: Industry

Acronym: Par Currency

Stands For: Key Term

Definition: The currency in which proceeds will be paid upon maturity.

Category: Industry

Acronym: Par Value

Stands For: Key Term

Definition: Par value (par) is a nominal value assigned to a fund share. It is designated when the fund is ch

Category: Industry

Acronym: Par Yield

Stands For: Key Term

Definition: The discounted yield that results in the price of the security at par. Another way to describe the p

Category: Industry

Acronym: Par Yield Curve

Stands For: Key Term

Definition: A 54-point analytic curve derived from the par yields of recent U.S. Treasuries. (To create a par-

Category: Industry

Acronym: PARADOX

Stands For: Key Term

Definition: Tracks tax reclaims off MCH.

Category: Technology

Acronym: Parallel Port

Stands For: Key Term

Definition: A connection for the synchronous, high-speed flow of data.

Category: Technology

Acronym: Parallel Shift

Stands For: Key Term

Definition: A movement in a yield curve such that the shape of the curve remains unchanged.

Category: Industry

Acronym: Parallel SYSPLEX

Stands For: Key Term

Definition: An MVS software and hardware configuration that is able to distribute workload over multiple MVS systems

Category: Technology

Acronym: Pari Passu

Stands For: Key Term

Definition: From the Latin "on par", this indicates that new shares so described, rank the same as the old s

Category: Industry

Acronym: Parity Price

Stands For: Key Term

Definition: Price for a commodity that is pegged to another price or to a composite average of prices based

Category: Industry

Acronym: Part Paid Securities

Stands For: Key Term

Definition: Securities which are bought in installments. They are partially paid for on trade date and periodically

Category: Industry

Acronym: Participation Certificate

Stands For: Key Term

Definition: A FHLMC-issued security representing an undivided interest in a pool of conventional mortgage

Category: Industry

Acronym: PAS

Stands For: Portfolio Accounting System

Definition: IFTC; an integrated system that automates mutual fund accounting and investment management

Category: Technology

Acronym: PASLA

Stands For: Pan-Asia Securities Lending Association

Definition: An association of firms in the business of borrowing and lending securities of Asian markets

Category: Institution or Association

Acronym: Pass

Stands For: Key Term

Definition: A single reading and sorting of a group of checks and controlled documents on a reader/sorter.

Category: Technology

Acronym: Passive Management

Stands For: Key Term

Definition: Usually refers to index funds where the portfolio merely replicates a active investment decision m

Category: Industry

Acronym: Patriot

Stands For: Key Term

Definition: Project name for new data center

Category: Technology

Acronym: Patrol

Stands For: Key Term

Definition: BMC monitoring product; unable to generate consolidated alarm screen unless run on top of Sp

Category: Technology

Acronym: PATS

Stands For: Project Authorization and Tracking System

Definition: SSC business management tool

Category: Technology

Acronym: Payable Date

Stands For: Key Term

Definition: The date when the corporation will pay the actual dividend or interest to holders of record.

Category: Industry

Acronym: Payables

Stands For: Key Term

Definition: Money owed by a company. Money is typically owed to trade suppliers, employees, taxes, and b

Category: Industry

Acronym: Paydown

Stands For: Key Term

Definition: Repayment of outstanding principal on an asset-backed security by the issuer to the bondholder

Category: Industry

Acronym: Paydown Factors

Stands For: Key Term

Definition: A numerical value between 0 and 1 that states how much of an original issue remains outstanding

Category: Industry

Acronym: Paydown Schedule

Stands For: Key Term

Definition: The timetable of principal redemptions for an asset-backed security.

Category: Industry

Acronym: Paydown to date

Stands For: Key Term

Definition: The amount of principal returned to the holder over the horizon period expressed as a percentage

Category: Industry

Acronym: Paying Agent

Stands For: Key Term

Definition: A legal entity, usually a bank, that receives funds from an issuer of bonds or stock and in turn pa

Category: Industry

Acronym: Payment Cap

Stands For: Key Term

Definition: For ARMs, the maximum amount of interest and principal that the borrower can be asked to pay

Category: Industry

Acronym: Payment Date (Payable Date)

Stands For: Key Term

Definition: With mutual funds, the date on which the declared income dividend and/or capital gain distributi

Category: Industry

Acronym: Payment Frequency

Stands For: Key Term

Definition: This identifies how often a fixed income security will pay interest annually. The majority of asset-

Category: Industry

Acronym: Payment Window

Stands For: Key Term

Definition: For a tranche, the interval during which it pays principal.

Category: Industry

Acronym: Payments

Stands For: Key Term

Definition: financial application; actual name is Variation Margin Wire (VMW); upgraded in April of '98

Category: Technology

Acronym: Payments System

Stands For: Key Term

Definition: A system used to settle the cash (fund) side of a security trade.

Category: Industry

Acronym: Payout Ratio

Stands For: Key Term

Definition: See Dividend Payout Ratio.

Category: Industry

Acronym: Payup

Stands For: Key Term

Definition: Cash required of the buyer to settle a trade. In a securities swap, payup is required when the se

Category: Industry

Acronym: PB Week

Stands For: Processing Basics Week

Definition: Processing Basics week; wk 2 systems training of NET (KC)

Category: Human Resources

Acronym: PBGC

Stands For: Pension Benefit Guaranty Corporation

Definition: A United States agency that insures the vested benefits of pension plan participants.

Category: Regulatory & Legal

Acronym: PBI

Stands For: Pine Bridge (a client)

Definition:

Category:

Acronym: PBoC

Stands For: People's Bank of China

Definition: China's Central Bank

Category: Industry

Acronym: pbrun

Stands For: Key Term

Definition: PowerPak's executable command; to replace our in-house privileged user authorization software

Category: Technology

Acronym: PBS

Stands For: Key Term

Definition: A method within Hogan to post batch transaction files intraday.

Category: Technology

Acronym: PBSS

Stands For: Pitney Bowes System Software

Definition: Pitney Bowes System Software; mail automation application vendor

Category: Technology

Acronym: PBX

Stands For: Private Branch Exchange

Definition: Private or company owned telephone switch; (specialized computer managing voice communication)

Category: Technology

Acronym: PC

Stands For: Performance Culture

Definition: Business culture where performance is recognized and rewarded

Category: Human Resources

Acronym: PDA

Stands For: Personal Data Assistant

Definition: Personal Data Assistant, palm computer.

Category: Technology

Acronym: PDAN

Stands For: Personal Dealing Account Notice

Definition: Extended definition needed

Category: Technology

Acronym: PDF

Stands For: Portable document format

Definition: portable document format; common Web format for documents that retains original formatting; y

Category: Technology

Acronym: PDL

Stands For: Public distribution list

Definition: Extended definition needed

Category: Technology

Acronym: PDM

Stands For: Project Development Methodology

Definition: Project Development Methodology, IFTC.

Category: Technology

Acronym: PDMR

Stands For: Person Discharging Managerial Responsibilities

Definition: Extended definition needed

Category: Industry

Acronym: PDNA

Stands For: Prior Day Net Asset

Definition: Measurement of Prior Day Net Asset

Category: Finance

Acronym: PDP

Stands For: Professional Development Program

Definition: Extended definition needed

Category: Human Resources

Acronym: PDS File

Stands For: Partition Data Set

Definition: A Partition Data Set file is for storing things, such as job cards (JCL) and control cards

Category: Technology

Acronym: PDU

Stands For: Power Distribution Unit

Definition: Power Distribution Unit, electrical power connection for multiple destination or pieces of equipment

Category: Technology

Acronym: Peak Average

Stands For: Key Term

Definition: Highest hour of CPU activity or monthly average of the highest hour of CPU consumption.

Category: Technology

Acronym: PEG Ratio

Stands For: Prospective Earnings Growth Ratio

Definition: Projected one-year growth rate for public companies. It is calculated by taking the analysts' cons

Category: Finance

Acronym: PEGASYS

Stands For: Key Term

Definition: Securities Operations System that tracks, reconciles, and reports on Corporate Actions. the mai

Category: Technology

Acronym: PEN

Stands For: Peru Nuevo Sol

Definition: Currency - Peru Nuevo Sol

Category: Currency

Acronym: Pension Fund

Stands For: Key Term

Definition: A collection of assets set up by an employer to pay benefits to retired employees.

Category: Industry

Acronym: PeopleSoft

Stands For: Key Term

Definition: Open system, HR.

Category: Technology

Acronym: PEP

Stands For: Paperless Entry Program

Definition: Paperless Entry Program.

Category: Technology

Acronym: Percent Change Analysis

Stands For: Key Term

Definition: An analysis which focuses on the differences in year-to-year results. Allows a firm to track change

Category: Industry

Acronym: PERE

Stands For: Private Equity Real Estate Vehicle

Definition: Open-ended real estate investment trust

Category: Industry

Acronym: Performance Fee

Stands For: Key Term

Definition: Paid to fund manager, usually in addition to management fee, on the basis of fund performance

Category: Industry

Acronym: Performance Measurement

Stands For: Key Term

Definition: Calculation of time-weighted and dollar-weighted rates of return to determine how well a fund m

Category: Industry

Acronym: Period Re-Amortisation

Stands For: Key Term

Definition: This refers to re-calculating a repayment period on a particular product, which should only be do

Category: Industry

Acronym: Periodic Yield

Stands For: Key Term

Definition: The yield to maturity divided by the discounting frequency per year.

Category: Industry

Acronym: Personal Trust

Stands For: Key Term

Definition: A bank department which manages money for individual trusts and high net worth individuals.

Category: Industry

Acronym: PET

Stands For: Patrol Event Translator

Definition: Processor that's part of BMC Patrol suite; communicates with agents and translates messages

Category: Technology

Acronym: PFE

Stands For: Potential Future Exposure

Definition: Extended definition needed

Category: Industry

Acronym: PFIC Indicator

Stands For: Key Term

Definition: Indicates if a company is a Passive Foreign Investment Company, thus allowing owners to avoid

Category: Industry

Acronym: PFMI

Stands For: Principles for Financial Market Infrastructure

Definition: Extended definition needed

Category: Industry

Acronym: PFOF

Stands For: Payment For Order Flow

Definition: Extended definition needed

Category: Industry

Acronym: PFS

Stands For: Princeton Financial Systems

Definition: A team in Global Services that develops investment accounting software

Category: Technology

Acronym: PHP

Stands For: Philippines Peso

Definition: Currency - Philippines Peso

Category: Currency

Acronym: Physical Delivery

Stands For: Key Term

Definition: Actual delivery of a stock certificate.

Category: Industry

Acronym: PII

Stands For: Personally Identifiable Information

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: PIK

Stands For: Payment in Kind

Definition: The use of a good or service as payment instead of cash

Category: Corporate

Acronym: PIM

Stands For: Product Information Management

Definition: Extended definition needed

Category: Corporate

Acronym: PIMCO

Stands For: Pacific Investment Management Company

Definition: PIMCO is a global investment management firm headquartered in Newport Beach, California, in

Category: Industry

Acronym: PIMS

Stands For: Portfolio Investment Management System

Definition: An SSgA reconciliation application

Category: Technology

Acronym: PING

Stands For: Packet Internet Groper

Definition: Software that calls phone numbers to test network connectivity to remote systems.

Category: Technology

Acronym: PINS

Stands For: Pending Instructions Notification Errors.

Definition: Extended definition needed

Category: Technology

Acronym: PIP

Stands For: Performance Improvement Policy

Definition: Progressive disciplinary policy

Category: Human Resources

Acronym: Pipeline Liquidity Risk

Stands For: Key Term

Definition: The risk associated with delays in a settlement pipeline arising primarily from gaps in time between

Category: Industry

Acronym: PKI

Stands For: Public key infrastructure

Definition: Relates to encryption

Category: Technology

Acronym: PKR

Stands For: Pakistan Rupee

Definition: Currency - Pakistan Rupee

Category: Currency

Acronym: PLAC

Stands For: Plan Accounting System

Definition: Accounting system which enables the allocation of portfolio NAV/P&L for multiple stakeholders

Category: Accounting

Acronym: Plaid Book

Stands For: Key Term

Definition: The Plaid Book documents initiatives to improve the DEC environment.

Category: Technology

Acronym: Plant and Equipment

Stands For: Key Term

Definition: Factories and equipment recorded at their original cost less accumulated depreciation.

Category: Industry

Acronym: PLM

Stands For:

Definition: Post-Settlement Lifecycle Management

Category:

Acronym: PLN

Stands For: Poland Zloty

Definition: Currency - Poland Zloty

Category: Currency

Acronym: PMA

Stands For: Portfolio Manager Active

Definition: SSgA job title

Category: Corporate

Acronym: PMIS

Stands For: Portfolio Management Information System

Definition: Vendor ITS, user SSgA; Portfolio Management Information System - SSgA & Private Asset Man

Category: Technology

Acronym: PMO

Stands For: Project Management Office/Program Management Office

Definition: Business Unit specializing in management of special projects

Category: Corporate

Acronym: PnA

Stands For:

Definition: Performance and Analytics

Category:

Acronym: PO

Stands For: Purchase Order

Definition: Express PC based purchase order release system, IFTC.

Category: Technology

Acronym: POAJ

Stands For: Position Level Adjustment

Definition: MCH Code For Position Function Position Level Adjustment

Category: Accounting

Acronym: POC

Stands For: Product Oversight Committee

Definition: Extended definition needed

Category: Industry

Acronym: Poison Put

Stands For: Key Term

Definition: A provision in the indenture that lets the holders redeem securities at par in the case of such des

Category: Industry

Acronym: PONV

Stands For: Point Of Non-Viability

Definition: Extended definition needed

Category: Industry

Acronym: Pool

Stands For: Key Term

Definition: A collection of mortgages sharing similar characteristics in terms of class of property, interest rate

Category: Industry

Acronym: Pool Number

Stands For: Key Term

Definition: A unique alphanumeric code assigned to each mortgage pool. see Pool.

Category: Industry

Acronym: Pool Suffix

Stands For: Key Term

Definition: An alphanumeric identifier added on to the end of a pool number to identify the issuer and pool t

Category: Industry

Acronym: Pool Type

Stands For: Key Term

Definition: Identifies the type of mortgages pledged as the underlying collateral on a mortgage backed security

Category: Industry

Acronym: POP

Stands For: Point of Presence

Definition: A physical location for telephone/voice communications.

Category: Technology

Acronym: PORT

Stands For: PAM Online Resource Tool

Definition: PAM Online Resource Tool (KC)

Category: Corporate

Acronym: Portal

Stands For: Key Term

Definition: A website that functions as an access point to information. They offer services such as personal

Category: Technology

Acronym: Portfolio

Stands For: Key Term

Definition: The securities owned by a mutual fund or any investor.

Category: Industry

Acronym: Portfolio Accounting

Stands For: Key Term

Definition: General Ledger GFAS Portfolio Accounting.

Category: Technology

Acronym: Portfolio Manager

Stands For: Key Term

Definition: Persons who manage an investment portfolio.

Category: Industry

Acronym: Portfolio Status

Stands For: Key Term

Definition: There are three statuses: master portfolio, public portfolio, and user portfolio.

Category: Industry

Acronym: Portfolio Turnover Rate

Stands For: Key Term

Definition: The percentage of total assets which change during a year.

Category: Industry

Acronym: Portfolio Valuation

Stands For: Key Term

Definition: Pricing an account's investment to determine its market value.

Category: Industry

Acronym: PORTIA

Stands For: Key Term

Definition: Global Investment Management UNIX fixed income record keeping system; SSgA Fixed Income

Category: Technology

Acronym: POS

Stands For: Point of Service

Definition: Extended definition needed

Category: Industry

Acronym: Position

Stands For: Key Term

Definition: (Holdings of securities) of Portfolio (client account).

Category: Technology

Acronym: Positive Pay

Stands For: Key Term

Definition: The Same-Day Positive Pay system provides account balance and issue status information on a

Category: Technology

Acronym: POTS

Stands For: Plain Old Telephone Service

Definition: Traditional Telephone Service

Category: Technology

Acronym: POWER of ATTORNEY

Stands For: Key Term

Definition: A power of attorney is a legal document which grants control over an account to an individual or

Category: Industry

Acronym: PPP

Stands For: Point-to-Point Protocol

Definition: Point-to-Point Protocol permits unlike devices to talk to each other.

Category: Technology

Acronym: PPRS

Stands For: Project Priority Reporting System

Definition: Tracks major expenditures greater than \$250,000.

Category: Technology

Acronym: PPS

Stands For: Partner Provider Services

Definition: Extended definition needed

Category: Corporate

Acronym: PRA

Stands For: Prudential Regulation Authority

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: Praecip-m

Stands For: Key Term

Definition: A portion of the management fee, calculated on the full principal amount of an issue, taken by th

Category: Industry

Acronym: Pre-Authorised Payroll

Stands For: Key Term

Definition: Pre-authorised payroll deals with payroll credits of a fixed amount, the data for which can be ext

Category: Industry

Acronym: Predator

Stands For: Key Term

Definition: State Street Global Advisors Trading System.

Category: Technology

Acronym: Preferred Float Table

Stands For: Key Term

Definition: A table maintained by Deposit Services containing account numbers or ranges of account numbers

Category: Technology

Acronym: Preferred Stock

Stands For: Key Term

Definition: Equity ownership corporation's shares where the owners are entitled to a regular fixed dividend

Category: Industry

Acronym: Pre-Launch

Stands For: Key Term

Definition: A process that starts up to eight applications automatically whenever the main window itself is s

Category: Industry

Acronym: Premium

Stands For: Key Term

Definition: The amount by which a bond sells above its par (face) value.

Category: Industry

Acronym: Premium Bonds

Stands For: Key Term

Definition: Bonds selling above face value because their interest payments are higher than prevailing interest rates

Category: Industry

Acronym: Prepaid Life

Stands For: Key Term

Definition: Months to balloon maturity.

Category: Industry

Acronym: Prepayment

Stands For: Key Term

Definition: The act of paying the outstanding principal on a debt issue before it is due. see Prepayment Spe

Category: Industry

Acronym: Prepayment Assumption

Stands For: Key Term

Definition: For mortgage-backed securities, the excess amount of principal expected to be repaid over a de

Category: Industry

Acronym: Prepayment Model

Stands For: Key Term

Definition: For mortgage-backed securities, an assumption about the rate at which principal will be prepaid.

Category: Industry

Acronym: Prepayment Speed

Stands For: Key Term

Definition: The estimated rate at which the principal on a debt instrument will be prepaid based on the inter

Category: Industry

Acronym: Prepayments

Stands For: Key Term

Definition: Unscheduled mortgage principal payments over and above the regularly scheduled principal pay

Category: Industry

Acronym: Pre-refunded Date

Stands For: Key Term

Definition: A procedure in which a bond issuer floats a second issue in order to pay off the original issue or

Category: Industry

Acronym: Pre-refunded Price

Stands For: Key Term

Definition: The price which a bond issuer must pay to investors to pre-refund a bond issue; this price is equ

Category: Industry

Acronym: Prerefunding

Stands For: Key Term

Definition: Procedure called pre-re on Wall Street, in which a bond insurer floats a second bond in order to

Category: Industry

Acronym: Present Value

Stands For: Key Term

Definition: The value at the current time of a cash payment which is expected to be received in the future, o

Category: Industry

Acronym: Presentment (Controlled Disbursements)

Stands For: Key Term

Definition: These are files transmitted either by FiServ, or the Federal Reserve Bank, to State Street, for pr

Category: Technology

Acronym: Presentment (Money Market)

Stands For: Key Term

Definition: These are files transmitted by FiServ to State Street for processing in the Money Market system

Category: Technology

Acronym: Pretax Income

Stands For: Key Term

Definition: An analysis which focuses on operating results before income taxes have been deducted.

Category: Industry

Acronym: Price

Stands For: Key Term

Definition: Current market price of a security; the current flat price. Depending on the context, the current p

Category: Industry

Acronym: Price Source

Stands For: Key Term

Definition: A fund level decision as to where the prices used in the security valuation process will be derived

Category: Industry

Acronym: Price Type

Stands For: Key Term

Definition: Many prices are available for an individual security, including high, low, close, bid, ask, or a com

Category: Industry

Acronym: Price/Book Value

Stands For: Key Term

Definition: The ratio of a stock's current price to the company's net worth (assets minus liabilities) per share

Category: Industry

Acronym: Price/Earnings Ratio

Stands For: Key Term

Definition: At a given time, the market value of a company's common stock, per share, divided by the earnings per share

Category: Industry

Acronym: Price/Yield Indicator

Stands For: Key Term

Definition: An indicator that states at what date and price a security will most likely be retired. This informat

Category: Industry

Acronym: Price-Earning Ratio (P/E)

Stands For: Key Term

Definition: Value derived by dividing a company's 12-month earnings per share into the current price of one

Category: Industry

Acronym: Pricing

Stands For: Key Term

Definition: Valuation of a portfolio's positions.

Category: Industry

Acronym: Pricing Date

Stands For: Key Term

Definition: The date on which the source of the pricing information received or computed the value appearing

Category: Industry

Acronym: Pricing Speed

Stands For: Key Term

Definition: For mortgage-backed securities, the assumption about prepayment speeds that the issuer used

Category: Industry

Acronym: PRIIPs

Stands For: Packaged Retail and Insurance based Investment Products

Definition: Extended definition needed

Category: Industry

Acronym: Primary Exchange

Stands For: Key Term

Definition: The market in which a security is most heavily traded. This applies only to securities that are tra

Category: Industry

Acronym: Primary Market

Stands For: Key Term

Definition: Where issuers first originate new issues of securities.

Category: Industry

Acronym: Primary Market (New Issue Market)

Stands For: Key Term

Definition: The market on which newly issued securities are sold, including government security auctions a

Category: Industry

Acronym: Prime Meridian

Stands For: Key Term

Definition: Cash Management Product, including multibank and multi-currency information delivery, transac

Category: Technology

Acronym: Prime Pass

Stands For: Key Term

Definition: The first time an ENTRY is processed through a sorter machine.

Category: Technology

Acronym: Prime Rate

Stands For: Key Term

Definition: According to the Wall Street Journal , "the base rate on corporate loans posted by at least 75 pe

Category: Industry

Acronym: PRIN

Stands For: Principles for Business

Definition: Principles for Business (FCA Handbook)

Category: Industry

Acronym: Principal

Stands For: Key Term

Definition: (a) The face amount or par value of a debt security. (b) A person or firm who trades securities fr

Category: Industry

Acronym: Principal Amount

Stands For: Key Term

Definition: Face amount of a security. The amount that the issuer must pay the holder at maturity.

Category: Industry

Acronym: Principal Paydown

Stands For: Key Term

Definition: The amount of principal returned to the holder over the horizon period expressed as a percentage

Category: Industry

Acronym: Principal Rate of Return

Stands For: Key Term

Definition: The rate of return of the principal component of a security, calculated on a nominal basis and de

Category: Industry

Acronym: Principal Risk

Stands For: Key Term

Definition: A type of credit risk associated with the possibility that the full value of a trade will be lost because

Category: Industry

Acronym: Prior Income Date

Stands For: Key Term

Definition: The last date that interest income was payable to the owners of a fixed income or money market

Category: Industry

Acronym: Prior Payment Date

Stands For: Key Term

Definition: The last date that interest income is actually paid to the owners of a fixed income or money market

Category: Industry

Acronym: Prior Price Override

Stands For: Key Term

Definition: The process of updating a prior price of a security transaction on the NAV Alert trade worksheet

Category: Industry

Acronym: Priority Code

Stands For: Key Term

Definition: A numeric identifier used to measure the time criticalness of an alert. An alert triggered with the

Category: Industry

Acronym: PRIP

Stands For: Packaged Retail Investment Products

Definition: Packaged retail investment products as defined under the RDR

Category: Industry

Acronym: Private Edge

Stands For: Key Term

Definition: System for accounting, asset management reporting, financial reporting, and performance reporting

Category: Technology

Acronym: Private Placement

Stands For: Key Term

Definition: Securities which are not registered with the SEC and generally are bought and sold directly thro

Category: Industry

Acronym: PRKS

Stands For: Participant Record Keeping System

Definition: Participant Record Keeping System, Global Investment Management.

Category: Industry

Acronym: Pro Rata Sinking Fund

Stands For: Key Term

Definition: A sinking fund in which each investor loses an equal percentage of holdings when the issuer ret

Category: Industry

Acronym: Problem Reporting & Problem Resolution

Stands For: Key Term

Definition: The procedure used for problem control and management and servicing, including interfacing with

Category: Technology

Acronym: Problem Reporting & Tracking

Stands For: Key Term

Definition: The procedure used for problem control and management and reporting, until resolution is attained

Category: Technology

Acronym: Process Control field

Stands For: Key Term

Definition: See On-us field.

Category: Technology

Acronym: Production Costs

Stands For: Key Term

Definition: Expenses incurred by production. Includes both fixed and variable costs of production.

Category: Industry

Acronym: Productivity

Stands For: Key Term

Definition: The process by which a greater amount of output is generated from a given amount of input. Me

Category: Industry

Acronym: Products

Stands For: Key Term

Definition: Systems tools used to develop, operate, and monitor applications.

Category: Technology

Acronym: Profit Margin

Stands For: Key Term

Definition: Net income as a percent of sales or the ratio of sales minus all expenses divided by sales.

Category: Industry

Acronym: Profitability

Stands For: Key Term

Definition: The extent to which a company earns the highest return possible for resources used or capital e

Category: Industry

Acronym: Program Trading

Stands For: Key Term

Definition: Computer-driven buying and selling of index futures contracts and individual stocks using formula

Category: Industry

Acronym: Project Loan

Stands For: Key Term

Definition: The term project loan refers to FHA-insured and HUD-guaranteed mortgages on multifamily housing.

Category: Industry

Acronym: Proof of Deposit

Stands For: Key Term

Definition: The act of zero balancing items at the deposit level.

Category: Technology

Acronym: Prospectus

Stands For: Key Term

Definition: For funds, an official publication which describes objectives, policies, services, management, res

Category: Industry

Acronym: Prospectus Compliance

Stands For: Key Term

Definition: Investment guidelines contained in a fund's prospectus that must be monitored and followed. If a

Category: Industry

Acronym: Protocol

Stands For: Key Term

Definition: Standard rules governing transmitting and receiving data.

Category: Technology

Acronym: Proxy

Stands For: Key Term

Definition: A person authorised to vote the shares of an absent shareholder at a meeting of shareholders. A

Category: Industry

Acronym: Proxy Statement

Stands For: Key Term

Definition: Voting power given by a stockholder to someone else in the form of a proxy.

Category: Industry

Acronym: PROXY VOTING

Stands For: Key Term

Definition: Voting on company issues, on behalf of and under authority from, the beneficial owner.

Category: Industry

Acronym: PS

Stands For: Portfolio Solutions

Definition: Extended definition needed

Category: Industry

Acronym: PSA

Stands For: Price Source Authorization

Definition: A legally binding contract between State Street and the Client that specifies pricing, market data

Category: Corporate

Acronym: PSA Model

Stands For: Key Term

Definition: A standard prepayment model, defined by the PSA, for mortgage-backed securities. The model

Category: Industry

Acronym: PSA Settlement Class

Stands For: Key Term

Definition: The Public Securities Association (PSA) currently publishes monthly settlement dates for five dif

Category: Industry

Acronym: PSD

Stands For: Performance Standard Document

Definition: Extended definition needed

Category: Industry

Acronym: PSE

Stands For: Philippines Stock Exchange

Definition: Stock Exchange for the Philippines

Category: Industry

Acronym: PSR

Stands For: Payment Risk Monitoring System

Definition: Extended definition needed

Category: Technology

Acronym: PSTH

Stands For: Short Term Pricing

Definition: MCH Code For Short Term Function Short Term Pricing

Category: Accounting

Acronym: PSTP

Stands For: Short Term Pricing

Definition: MCH Code For Short Term Function Short Term Pricing

Category: Accounting

Acronym: PTC

Stands For: Participant Trust Company

Definition: Extended definition needed

Category: Industry

Acronym: PTC Eligible

Stands For: Key Term

Definition: This indicates if a security is eligible for settlement at the Participatory Trust Company. The Fed

Category: Industry

Acronym: PTPL

Stands For: Problem Tracking & Prioritization Log

Definition: Extended definition needed

Category: Technology

Acronym: PTS

Stands For: Participant Terminal System

Definition: Extended definition needed

Category: Technology

Acronym: Public Key Infrastructure (PKI)

Stands For: Key Term

Definition: An infrastructure to provide digital signatures and the supporting certification services based on

Category: Industry

Acronym: Public Portfolio

Stands For: Key Term

Definition: Any member of the group that owns a public portfolio can view and change its holdings. Owners

Category: Industry

Acronym: Publicly-Traded Fund

Stands For: Key Term

Definition: An investment company whose shares are traded among investors, rather than continuously iss

Category: Industry

Acronym: Pune

Stands For: Key Term

Definition: The site of State Street joint venture office in India.

Category: Industry

Acronym: Purchase Date

Stands For: Key Term

Definition: The date on which the holder originally purchased the security.

Category: Industry

Acronym: Purchase Price

Stands For: Key Term

Definition: The flat price of the security paid when originally purchased by the holder.

Category: Industry

Acronym: Purchase Yield

Stands For: Key Term

Definition: See Acquisition Yield.

Category: Industry

Acronym: Put

Stands For: Key Term

Definition: When a portion of a debt security is expired by the holder prior to the maturity date.

Category: Industry

Acronym: Put Date

Stands For: Key Term

Definition: The date(s) on which a bondholder can redeem a bond prior to maturity. This date can be used

Category: Industry

Acronym: Put Feature

Stands For: Key Term

Definition: A bondholder's right to redeem outstanding bonds prior to their scheduled maturity. This feature

Category: Industry

Acronym: Put Option

Stands For: Key Term

Definition: An option that gives the option buyer the right, but not the obligation, to sell (go "short") the unde

Category: Industry

Acronym: Put Price

Stands For: Key Term

Definition: The price(s) at which a bondholder can redeem a bond prior to maturity. This price can be used

Category: Industry

Acronym: Put Schedule

Stands For: Key Term

Definition: A schedule of put dates and redemption prices for securities with put options.

Category: Industry

Acronym: Put/Call indicator

Stands For: Key Term

Definition: An indicator that states if a option is a put or call.

Category: Industry

Acronym: Putable Security

Stands For: Key Term

Definition: Security that allows its holder to redeem it, before maturity, at specified intervals at a specified p

Category: Industry

Acronym: PWC

Stands For: Password Courier

Definition: Self-service password reset application

Category: Technology

Acronym: PWN

Stands For: Professional Women's Network

Definition: Extended definition needed

Category: Human Resources

Acronym: PYCX

Stands For: MBS Cancellations

Definition: MCH Code For Paydown Function MBS Cancellations

Category: Accounting

Acronym: PYDN

Stands For: MBS Paydown

Definition: MCH Code For Paydown Function MBS Paydown

Category: Accounting

Acronym: PYG

Stands For: Paraguay Guarani

Definition: Currency - Paraguay Guarani

Category: Currency

Acronym: PYUP

Stands For: MBS Payup

Definition: MCH Code For Paydown Function MBS Payup

Category: Accounting

Acronym: Q&A

Stands For: Questions and Answers

Definition: Questions and Answers - Usually in the context of a presentation

Category: Industry

Acronym: QA

Stands For: Quality Assurance

Definition: General term referring to quality control management practices

Category: Industry

Acronym: QAR

Stands For: Qatar Riyal

Definition: Currency - Qatar Riyal

Category: Currency

Acronym: QBR

Stands For: Quarterly Business Review

Definition: Set of performance metrics to evaluate business unit performance in key areas

Category: Corporate

Acronym: QDII

Stands For: Qualified Domestic Institutional Investor Scheme

Definition: Allows Chinese institutions to buy non-Chinese assets/investments

Category: Industry

Acronym: QFII

Stands For: Qualified Foreign Institutional Investor Scheme

Definition: Allows non-Chinese institutions to buy Chinese assets/investments

Category: Industry

Acronym: QOS

Stands For: Quality of service

Definition: A benchmark

Category: Industry

Acronym: Quality Rating

Stands For: Key Term

Definition: An evaluation of the credit and investment risk of securities issues by a commercial rating agency

Category: Industry

Acronym: Quantitative Analysis

Stands For: Key Term

Definition: Using mathematical calculations and models to measure potential risk and return for a given security

Category: Industry

Acronym: Quantitative Funds

Stands For: Key Term

Definition: Mutual funds whose portfolio management decisions are based on quantitative analysis, which i

Category: Industry

Acronym: Quantity

Stands For: Key Term

Definition: A specified number of securities that applies to a trade or a position. A quantity of stocks is known as a lot.

Category: Industry

Acronym: Quick Ratio

Stands For: Key Term

Definition: Liquid assets divided by current liabilities. Measures liquidity. Liquid assets include cash, short-t

Category: Industry

Acronym: R&C

Stands For: Risk & Compliance Committee

Definition: Committee focused on steer Risk and Compliance across the organization

Category: Compliance & Risk

Acronym: R&P

Stands For: Research and Post (a level within shared services in India)

Definition:

Category:

Acronym: R^2

Stands For: Key Term

Definition: A measurement of how closely the portfolio's performance correlates with the performance of a l

Category: Industry

Acronym: RACF

Stands For: Resource Access Control Facility

Definition: IBM's Resource Access Control Facility is a Security software product used to protect mainframes

Category: Technology

Acronym: RAI

Stands For: Risk Analytics Infrastructure

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: RAID

Stands For: Redundant Array of Independent Disks.

Definition: Extended definition needed

Category: Technology

Acronym: RAMP

Stands For: Risk Assessment Management Process

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: Raptor

Stands For: Key Term

Definition: Internet firewall system, IFTC.

Category: Technology

Acronym: RAS

Stands For: Risk Assessment Survey

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: Rate

Stands For: Key Term

Definition: See Coupon Rate.

Category: Industry

Acronym: Rate Duration

Stands For: Key Term

Definition: "Interest rate duration." The price sensitivity of a security to interest-rate shifts in the Treasury m

Category: Industry

Acronym: Rates of Return

Stands For: Key Term

Definition: For a particular horizon period, the sum of coupon income, retired principal, reinvestment income

Category: Industry

Acronym: Ratings

Stands For: Key Term

Definition: Designations used by investors' services to give relative indications of credit quality.

Category: Industry

Acronym: Ratio

Stands For: Key Term

Definition: A number resulting when one number is divided by another. Used to assess aspects of profitability.

Category: Industry

Acronym: Ratio Analysis

Stands For: Key Term

Definition: Utilizes the data from all four financial statements and provides a broader perspective of the firm

Category: Industry

Acronym: Ratio Calculator

Stands For: Key Term

Definition: Functionality within NAV Alert used to compute the allocation ratios necessary to perform the du

Category: Industry

Acronym: Ratios

Stands For: Key Term

Definition: Asset and dividend ratios for multi-class funds that can be computed with the Calculate Ratios o

Category: Industry

Acronym: RATPAC

Stands For: Recovery Action Team Packet

Definition: Emergency lock box sent off site in the event of a disaster

Category: Technology

Acronym: RBA

Stands For: Reserve Bank of Australia

Definition: Australia's Central Bank

Category: Industry

Acronym: RBC

Stands For: Risk Based Capital

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: RBI

Stands For: Reserve Bank of India

Definition: India's Central Bank

Category: Industry

Acronym: RBT

Stands For: Reality Based Training

Definition: Model used for NET in KC

Category: Human Resources

Acronym: RBTC

Stands For: Short Buy

Definition: MCH Code For Trade Function Short Buy

Category: Accounting

Acronym: RCC

Stands For: Risk and Compliance Committee

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: RCN

Stands For: Rochester Cable Network

Definition: Alternative vendor to AT&T for cable modem access

Category: Technology

Acronym: RCSA

Stands For: Risk & Control Self-Assessment

Definition: Risk & Control Self-Assessment

Category: Compliance & Risk

Acronym: RDA

Stands For: Reference Deposit Account

Definition: Records that help MCH and IBS to match money movements.

Category: Technology

Acronym: RDB

Stands For: Reference Data Bridge

Definition: Extended definition needed

Category: Corporate

Acronym: RDBMS

Stands For: Relational database management system

Definition: Extended definition needed

Category: Technology

Acronym: RDM

Stands For:

Definition: Reference Derivatives Master

Category:

Acronym: RDO

Stands For: Reference Database Operations

Definition:

Category:

Acronym: RDR

Stands For: Retail Distribution Review

Definition: FSA program to increase consumer confidence in the Up financial system

Category: Regulatory & Legal

Acronym: RDS

Stands For: Reference Data System

Definition: Extended definition needed

Category: Industry

Acronym: RDWH

Stands For: Risk Data Warehouse

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: Reader/Sorter

Stands For: Key Term

Definition: A device the can read MICR encoded digits and control character from a document and sort the

Category: Technology

Acronym: Real-Time

Stands For: Key Term

Definition: 'Real-time' refers to the timing of processing with respect to any relevant informational updates.

Category: Industry

Acronym: Real-Time Price

Stands For: Key Term

Definition: The most recent price that a security has traded at.

Category: Industry

Acronym: Reason Code

Stands For: Key Term

Definition: See Broker Reason Code

Category: Industry

Acronym: RECC

Stands For: Multi-Currency Miscellaneous Cash Receipts

Definition: MCH Code For Booking Function Multi-Currency Miscellaneous Cash Receipts

Category: Accounting

Acronym: Receivables

Stands For: Key Term

Definition: Any monies owed to the corporation, whether or not they are currently due. Long-term receivabl

Category: Industry

Acronym: Receivables Turnover

Stands For: Key Term

Definition: An activity ratio measured by the ratio of credit sales to accounts receivable.

Category: Industry

Acronym: Recon Plus

Stands For: Key Term

Definition: Captures currency activity and balances from IBS, ICASH and MCH. Reconciles the activity and

Category: Technology

Acronym: Record Date

Stands For: Key Term

Definition: The date the fund determines who its shareholders are--for it is these "shareholders of record" w

Category: Industry

Acronym: Recovery

Stands For: Key Term

Definition: In the event of a disaster, services include computer application recovery, and standards and gu

Category: Technology

Acronym: Red Herring

Stands For: Key Term

Definition: A preliminary prospectus.

Category: Industry

Acronym: REDB

Stands For: Long Buy

Definition: MCH Code For Trade Function Long Buy

Category: Accounting

Acronym: REDC

Stands For: Equity/Debt Trade Cancel

Definition: MCH Code For Trade Function Equity/Debt Trade Cancel

Category: Accounting

Acronym: Redemption

Stands For: Key Term

Definition: How a shareholder sells his or her mutual fund shares back to the fund.

Category: Industry

Acronym: Redemption Date

Stands For: Key Term

Definition: The date when the cancellation or partial repayment of an outstanding debt occurs.

Category: Industry

Acronym: Redemption Fee

Stands For: Key Term

Definition: A fee you pay when you redeem, or sell, your shares. Not all funds charge redemption fees.

Category: Industry

Acronym: Redemption in Kind

Stands For: Key Term

Definition: Mutual funds may elect to be governed by Rule 18f-1, which permits a fund to redeem in cash u

Category: Industry

Acronym: Redemption Price

Stands For: Key Term

Definition: The price to be paid by the issuer to redeem the security at a call date, sinking-fund date, or maturity date.

Category: Industry

Acronym: Redemption Value

Stands For: Key Term

Definition: The price to be paid by the issuer, at maturity, to redeem the security.

Category: Industry

Acronym: REDEMPTION WINDOWS

Stands For: Key Term

Definition: Most alternative investment funds only allow their shares to be redeemed at fixed intervals during

Category: Industry

Acronym: REDS

Stands For: Long Sell

Definition: MCH Code For Trade Function Long Sell

Category: Accounting

Acronym: Reference Bond

Stands For: Key Term

Definition: The bond that serves as a benchmark against which the yield spreads to other deliverable bond

Category: Industry

Acronym: Reference Database

Stands For: Key Term

Definition: Series of reference files which allow users to browse security information, broker numbers, tax r

Category: Technology

Acronym: Reflections

Stands For: Key Term

Definition: System Emulation Software which emulates a DEC terminal in the DEC environment.

Category: Technology

Acronym: Refund Date

Stands For: Key Term

Definition: The first date on which callable securities can be redeemed using the proceeds from a new issue

Category: Industry

Acronym: Refunding

Stands For: Key Term

Definition: The replacement of a bond issue by a new bond issue at conditions generally more favorable to

Category: Industry

Acronym: Region Equity funds

Stands For: Key Term

Definition: These funds invest in companies that are based in a specific part of the world.

Category: Industry

Acronym: Regional Bank

Stands For: Key Term

Definition: Banks operating in a single region of the country.

Category: Industry

Acronym: Registered Bond

Stands For: Key Term

Definition: A bond with the registered owner recorded on the face of the certificate.

Category: Industry

Acronym: Registrar

Stands For: Key Term

Definition: An agency employed by the corporation to guard against over issuance of corporate stock. Can

Category: Industry

Acronym: Registration

Stands For: Key Term

Definition: The ownership, address, tax status, certified Social Security or tax identification number, and au

Category: Industry

Acronym: Regulatory Compliance

Stands For: Key Term

Definition: Federal or state government defined rules that portfolios must follow.

Category: Industry

Acronym: Reinvestment

Stands For: Key Term

Definition: An option whereby dividend and capital gains distributions automatically purchase new fund shares

Category: Industry

Acronym: Reinvestment Date

Stands For: Key Term

Definition: The date on which a shares dividend and/or capital gain distributions will be reinvested (if requ

Category: Industry

Acronym: Reinvestment Privilege

Stands For: Key Term

Definition: The optional service provided by most mutual funds for the automatic investment of income dividends

Category: Industry

Acronym: Reinvestment Rate

Stands For: Key Term

Definition: The rate used in total-return analysis applications to calculate the interest earned by reinvesting

Category: Industry

Acronym: REIT

Stands For: Real Estate Investment Trust

Definition: A tax-effective investment structure for real estate

Category: Industry

Acronym: Relative Volatility

Stands For: Key Term

Definition: A ratio of a portfolio's standard deviation to the standard deviation of a benchmark index. See V

Category: Industry

Acronym: Release ID

Stands For: Key Term

Definition: Fund participants who will receive the alert upon initial activation for priority 1 alerts.

Category: Industry

Acronym: Remaining Term

Stands For: Key Term

Definition: The remaining term of a mortgage is the number of months between the current month and the

Category: Industry

Acronym: Remedy ARS

Stands For: Action Request System

Definition: Problem tracking system

Category: Technology

Acronym: Remote Hot Standby

Stands For: Key Term

Definition: Remote Hot Standby is a data processing platform for immediate recovery of critical applications

Category: Technology

Acronym: Remote OSE

Stands For: Key Term

Definition: The use of the OSE protocol as a transport mechanism, to communicate between two separate

Category: Technology

Acronym: Repatriate

Stands For: Key Term

Definition: Conversion of foreign currency to an investor's base currency.

Category: Industry

Acronym: Replacement Cost Risk

Stands For: Key Term

Definition: Risk associated with a third party not meeting its settlement responsibilities and having to replace

Category: Industry

Acronym: Replacement Decisions

Stands For: Key Term

Definition: A class of capital budgeting decisions involving replacement of equipment.

Category: Industry

Acronym: Repo

Stands For: Repurchase Agreement

Definition: Repurchase Agreement - Investment Product

Category: Industry

Acronym: Repo or Repurchase Agreement

Stands For: Key Term

Definition: A contract to sell and then repurchase a security at a specified price and specified time. There a

Category: Industry

Acronym: Repo Rate

Stands For: Key Term

Definition: Repurchase agreement rate. The rate at which a holder of securities sells them to an investor w

Category: Industry

Acronym: Report Availability

Stands For: Key Term

Definition: The target time that reports will be available to the customer.

Category: Technology

Acronym: Report Date

Stands For: Key Term

Definition: The reporting period in which an event (e.g., trade, income) will be reflected on MCH month end

Category: Industry

Acronym: REQUEST for PROPOSAL (REP)

Stands For: Key Term

Definition: A questionnaire, issued to prospective custodians, as part of the process of selecting a suitable

Category: Industry

Acronym: Research and Development

Stands For: Key Term

Definition: Research is an activity aimed at discovering new knowledge in hopes that such activity will be u

Category: Industry

Acronym: Residual Flow

Stands For: Key Term

Definition: For mortgage-backed securities, cash flows in excess of what is remitted to investors. The resid

Category: Industry

Acronym: Resolution

Stands For: Key Term

Definition: The area in the alert dialogue box on the resolution tab where the user enters text to provide an

Category: Industry

Acronym: Resource Allocation

Stands For: Key Term

Definition: The process and decision of allocating money to a specific project or business unit.

Category: Industry

Acronym: Response Time

Stands For: Key Term

Definition: The elapsed time from the depression of the enter key to

Category: Technology

Acronym: REST

Stands For: Reportable Events System Tracking

Definition: Extended definition needed

Category: Corporate

Acronym: Restrict Date

Stands For: Key Term

Definition: The date on which any restrictions on the source of funds for a refunding call no longer apply.

Category: Industry

Acronym: Restricted

Stands For: Key Term

Definition: Indicates that a security is not eligible for sale.

Category: Industry

Acronym: Retail Investor

Stands For: Key Term

Definition: Individuals investing in the securities generally through a broker.

Category: Industry

Acronym: Retained Earnings

Stands For: Key Term

Definition: The cumulative amount of income not paid out as dividends but kept by the firm.

Category: Industry

Acronym: Retractable Securities

Stands For: Key Term

Definition: Securities where the maturity date can be retracted at the option of the holder if they elect to do

Category: Industry

Acronym: Return

Stands For: Key Term

Definition: The amount earned on an investment over a period of time.

Category: Industry

Acronym: Return Items

Stands For: Key Term

Definition: Handles items that are in the process of being returned to the original presenter due to insufficie

Category: Technology

Acronym: Return on Assets

Stands For: Key Term

Definition: A profitability ratio measured by net income divided by assets. This is equivalent to return on sale

Category: Industry

Acronym: Return on Capital

Stands For: Key Term

Definition: A profitability ratio measured by net income divided by invested capital. This is equivalent to return on equity.

Category: Industry

Acronym: Return on Equity

Stands For: Key Term

Definition: A profitability ratio measured as net income divided by equity. This is equivalent to return on assets.

Category: Industry

Acronym: Return on Sales

Stands For: Key Term

Definition: A profitability ratio measured by net profit relative to revenues. Also identified as profit margin.

Category: Industry

Acronym: Return per Unit of Risk

Stands For: Key Term

Definition: A ratio that compares the average annual total return of a fund to the average standard deviation

Category: Industry

Acronym: Revenue

Stands For: Key Term

Definition: The price of a product multiplied by the number of units sold. On long-term contracts, revenue is

Category: Industry

Acronym: Revenue Bond

Stands For: Key Term

Definition: A municipal bond payable solely from net or gross non-tax revenues derived from tolls, charges

Category: Industry

Acronym: Reverse Positive Pay

Stands For: Key Term

Definition: Within the S1 Recon system, daily paid files are sent to the customer, where the items are comp

Category: Technology

Acronym: Reverse Repo

Stands For: Key Term

Definition: Reverse Purchase Agreement. A borrowing of funds from a bank or security dealer using securi

Category: Industry

Acronym: Reverse Stock Split

Stands For: Key Term

Definition: The reduction of the number of shares outstanding and an increase in price to maintain the original value

Category: Industry

Acronym: Review ID

Stands For: Key Term

Definition: Fund participants who are responsible for validation of alert resolutions. This fund participant ca

Category: Industry

Acronym: Revolving Commitment

Stands For: Key Term

Definition: A revolving commitment is a facility that is renewed as it is repaid and may therefore be used repeatedly.

Category: Industry

Acronym: REX

Stands For: Rates of Exchange

Definition: MCH Exchange Rate files

Category: Technology

Acronym: REXX

Stands For: Restructured Extended Executer

Definition: Computer command Language.

Category: Technology

Acronym: RFI

Stands For: Request For Information

Definition: Extended definition needed

Category: Corporate

Acronym: RFP

Stands For: Request for Proposal

Definition: An invitation to suppliers to submit a product/service proposal

Category: Industry

Acronym: RFQ

Stands For: Request for Quote

Definition: General Industry Team referring to when client's solicit price quotes from vendors.

Category: Industry

Acronym: RGTI

Stands For: Browse User Identification

Definition: MCH Code For Browse User Identification

Category: Accounting

Acronym: RHS

Stands For: Remote Hot Standby

Definition: Remote Hot Standby is a data processing platform for immediate recovery of critical applications

Category: Technology

Acronym: RIA

Stands For: Registered Investment Advisor

Definition: Investment advisor registered with the US Securities and Exchange Commission. RIAs may pick

Category: Industry

Acronym: RICS

Stands For: Returned Item Control System

Definition: A non-mission critical application used by Deposit Operations/ Bookkeeping. The return Teller a

Category: Technology

Acronym: RIGA

Stands For: Regulatory, Industry & Government Affairs

Definition: Corporate division responsible for monitoring policy, legislative and regulatory developments globally

Category: Regulatory & Legal

Acronym: Right

Stands For: Key Term

Definition: The right to purchase newly issued securities in proportion to an investor's holdings of certain st

Category: Industry

Acronym: RIOT

Stands For: Acronym needed

Definition: Global Investment Management equity trade population and execution.

Category: Technology

Acronym: RIS

Stands For: Retirement Investment Services

Definition: Retirement Investment Services (application)

Category: Technology

Acronym: Risk

Stands For: Key Term

Definition: 1.Business risk includes technological obsolescence, foreign competition, price competition, sub

Category: Industry

Acronym: RITS

Stands For: Relational Index Tracking System

Definition: Relational Index Tracking System for SsgA.

Category: Technology

Acronym: RKS

Stands For: Record Keeping System

Definition: System for tracking records

Category: Corporate

Acronym: RM

Stands For: Regulated Market

Definition: A market that is regulated

Category: Regulatory & Legal

Acronym: RMB

Stands For: Renminbi

Definition: Currency - China's currency (renminbi means "people's currency"). Also CNY - Chinese Yuan

Category: Currency

Acronym: RMBS

Stands For: Residential Mortgage Backed Securities

Definition: Extended definition needed

Category: Industry

Acronym: RMS

Stands For: Report Management System.

Definition: Extended definition needed

Category: Technology

Acronym: RMSAP

Stands For: Risk Management Self-Assessment and Plan

Definition: Extended definition needed

Category: Technology

Acronym: ROC

Stands For: Return of Capital

Definition: Return of investment Capital. Can be used as an accounting term or a metric calculating financial

Category: Industry

Acronym: ROI

Stands For: Rate on Investment

Definition: ROI is a profit on an investment over a period of time, expressed as a proportion of the original i

Category: Finance

Acronym: Roll

Stands For: Key Term

Definition: The process of the fund workbook carrying balances forward, clearing current day activity and c

Category: Industry

Acronym: Rolling Settlement

Stands For: Key Term

Definition: A continuous settlement schedule where all trades settle within the same time frame, i.e. two, th

Category: Industry

Acronym: Rollover

Stands For: Key Term

Definition: A process that switches your holdings in a user-defined security to a newly issued or newly avai

Category: Industry

Acronym: RON

Stands For: Romania New Leu

Definition: Currency - Romania New Leu

Category: Currency

Acronym: ROR

Stands For: Return on Revenue

Definition: A measure of a corporation's profitability that compares net income to revenue. Return on reven

Category: Finance

Acronym: ROSCOE

Stands For: Acronym needed

Definition: MVS online access software tool.

Category: Technology

Acronym: ROU

Stands For: Regional Operating Unit

Definition: Extended definition needed

Category: Technology

Acronym: Route

Stands For: Key Term

Definition: Process forwarding an alert to another fund participant to assist in research and resolution. This

Category: Industry

Acronym: Routing and transit field

Stands For: Key Term

Definition: See ABA number.

Category: Technology

Acronym: Routing Codes

Stands For: Key Term

Definition: Codes used to identify financial institutions within various domestic and international payment sy

Category: Industry

Acronym: Royal Blue

Stands For: Key Term

Definition: A purchased trade order management system to replace Predator

Category: Technology

Acronym: RPMF

Stands For: MC Immediate Report Request

Definition: MCH Code For Report Function MC Immediate Report Request

Category: Accounting

Acronym: RPMS

Stands For: Request Project Management System

Definition:

Category:

Acronym: RPO

Stands For: Regional Privacy Officer

Definition: Regional Privacy Officer - Role

Category: Corporate

Acronym: RPRI

Stands For: MC Reports and Pricing Requests

Definition: MCH Code For Report Function MC Reports and Pricing Requests

Category: Accounting

Acronym: RPS

Stands For: Revenue Projection System

Definition: For SSgA does the billing for future periods, prior to period end for Corporate Audit. Basically, the

Category: Corporate

Acronym: RPW

Stands For: Reference Price Waiver

Definition: Extended definition needed

Category: Industry

Acronym: RRA

Stands For: Regulatory Risk Assessment

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: RRP

Stands For: Recovery & Resolution Planning (Dodd-Frank)

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: RSD

Stands For: Serbia Dinar

Definition: Currency - Serbia Dinar

Category: Currency

Acronym: RSM

Stands For: Reference Security Master

Definition:

Category:

Acronym: RSSL

Stands For: Short Sell

Definition: MCH Code For Trade Function Short Sell

Category: Accounting

Acronym: RSTR

Stands For: Short Term Pricing

Definition: MCH Code For Short Term Function Short Term Pricing

Category: Accounting

Acronym: RTF

Stands For: Rich text format

Definition: Extended definition needed

Category: Technology

Acronym: RTGS

Stands For: Key Term

Definition: Real Time Gross Settlement is the description of a clearing system where a payment settles at t

Category: Industry

Acronym: RTO

Stands For: Routing & Transmission of Orders

Definition: Extended definition needed

Category: Industry

Acronym: RTS

Stands For: Regulatory Technical Standards

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: RUB

Stands For: Russia Ruble

Definition: Currency - Russia Ruble

Category: Currency

Acronym: RUP

Stands For: Rational Unified Process

Definition: Rational Unified Process <http://rup.statestr.com>

Category: Technology

Acronym: RVP

Stands For: Receipt versus Payment

Definition: An instruction accompanying sell orders, stating that only cash will be accepted in exchange for

Category: Industry

Acronym: RWA

Stands For: Risk Weighted Asset

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: S1

Stands For: Key Term

Definition: See Check Reconciliation.

Category: Technology

Acronym: S2

Stands For: Key Term

Definition: See Positive Pay.

Category: Technology

Acronym: SA

Stands For: Sponsored Access

Definition: Extended definition needed

Category: Industry

Acronym: SAC

Stands For: Send all calls

Definition: A button on your telephone to transfer calls immediately to your voice mail; works as a toggle switch

Category: Technology

Acronym: SA-CCR

Stands For: Standardised Approach for Counterparty Credit Risk

Definition: Extended definition needed

Category: Industry

Acronym: SAFE

Stands For: State Administration of Foreign Exchange

Definition: (People's Republic of China) An administrative agency tasked with drafting rules and regulations

Category: Industry

Acronym: Safekeeping

Stands For: Key Term

Definition: Holding of a physical or dematerialized (book-entry) security by a depository or custodian.

Category: Industry

Acronym: SaFiRe

Stands For: System Automated Financial Reporting (application)

Definition: Extended definition needed

Category: Technology

Acronym: SAIC

Stands For: Shanghai Automotive Industry Corporation

Definition: Chinese government-owned car manufacturer; one of the largest manufacturers in China

Category: Industry

Acronym: Sale

Stands For: Key Term

Definition: The act of completing a transaction in which an individual gives up the title to a security or other

Category: Industry

Acronym: Sales (Load) Charge

Stands For: Key Term

Definition: The front-end charge for purchasing shares of a load fund. This amount is added to the "net ass

Category: Industry

Acronym: Sales Charge

Stands For: Key Term

Definition: Fee on the purchase of new shares of a mutual fund. A sales charge is similar to paying a premium

Category: Industry

Acronym: SAM

Stands For: Security Access Manager

Definition: System for requesting, removing, and approving access at State Street

Category: Corporate

Acronym: Same Day Positive Pay

Stands For: Key Term

Definition: The Same-Day Positive Pay system provides account balance and issue status information on a

Category: Technology

Acronym: Same Day Return

Stands For: Key Term

Definition: When Check Processing and Recon identify a stop payment hit, a 'Stop Payment Return Check

Category: Technology

Acronym: Same Day Settlement

Stands For: Key Term

Definition: Federally mandated program where we legally have to accept and settle same day for any work

Category: Technology

Acronym: SAOC

Stands For: Sub-Advisor Oversight Committee

Definition: Extended definition needed

Category: Corporate

Acronym: SAR

Stands For: Saudi Arabia Riyal

Definition: Currency - Saudi Arabia Riyal

Category: Currency

Acronym: SAS

Stands For: Security Administration Services

Definition: Extended definition needed

Category: Corporate

Acronym: SAS 70

Stands For: Statement of Auditing Standards 70

Definition: Statement on Auditing Standards (SAS) No. 70, Service Organizations, was a widely recognized

Category: Industry

Acronym: SBD

Stands For: Solomon Islands Dollar

Definition: Currency - Solomon Islands Dollar

Category: Currency

Acronym: SBS

Stands For: Security-Based Swaps

Definition: Extended definition needed

Category: Industry

Acronym: SBSD

Stands For: Security-Based Swap Dealer

Definition: Security-Based Swap Dealer within the meaning of SEC rules

Category: Industry

Acronym: Scan-Direct

Stands For: Key Term

Definition: A vendor that performs back-end check imaging on behalf of Global Cash Management.

Category: Technology

Acronym: SCCS

Stands For: Software change control system

Definition: Extended definition needed

Category: Technology

Acronym: SCD

Stands For:

Definition: SIMCORP Dimension

Category:

Acronym: Scientific Amortization

Stands For: Key Term

Definition: An amortization method that uses a yield to calculate the daily value of a security. This is more a

Category: Industry

Acronym: SCO

Stands For: Senior Compliance Officer

Definition: Senior Compliance Officer - Role

Category: Compliance & Risk

Acronym: Scorekeeping

Stands For: Key Term

Definition: Analysis to discover how well the firm is doing.

Category: Industry

Acronym: SCR

Stands For: Seychelles Rupee

Definition: Currency - Seychelles Rupee

Category: Currency

Acronym: Scroll Bar

Stands For: Key Term

Definition: If you have a mouse, a scroll bar lets you move up and down or from side to side on list panels.

Category: Industry

Acronym: SCSI

Stands For: Small computer systems interface

Definition: Small computer systems interface; standard for connecting peripherals to your computer; pronounced "scuzzy"

Category: Technology

Acronym: SD

Stands For: Settlement Date

Definition: The date by which an executed security trade must be settled. That is, the date by which a buyer

Category: Industry

Acronym: SDD

Stands For: Simplified Due Diligence

Definition: Extended definition needed

Category: Industry

Acronym: SDR

Stands For: Swap Data Repository

Definition: Central recordkeeping facility for swaps

Category: Industry

Acronym: SEAQ

Stands For: Stock Exchange Automated Quotation system

Definition: Extended definition needed

Category: Industry

Acronym: SEBI

Stands For: Securities and Exchange Board of India

Definition: India's securities regulatory body

Category: Regulatory & Legal

Acronym: SEC

Stands For: Securities and Exchange Commission

Definition: The federal agency that regulates the financial markets in the United States. It oversees the sec

Category: Regulatory & Legal

Acronym: Secondary Market

Stands For: Key Term

Definition: The market for securities previously offered or sold.

Category: Industry

Acronym: Sector

Stands For: Key Term

Definition: A description of a particular type of security--for example, "telephone utilities," "securities with co

Category: Industry

Acronym: Sector Break

Stands For: Key Term

Definition: On reports, a point at which the program stops the report, subtotals the data, then starts collecting

Category: Industry

Acronym: Sector Fund

Stands For: Key Term

Definition: One that restricts its holdings to stocks of companies in one market area or geographical sector,

Category: Industry

Acronym: Secured Facility

Stands For: Key Term

Definition: A secured facility is a discretionary lending limit with an acceptable form of security (collateral) a

Category: Industry

Acronym: Securities

Stands For: Key Term

Definition: General name for shares and bonds of all types. Shares produce a variable dividend and bonds

Category: Industry

Acronym: Securities and Exchange Commission (SEC)

Stands For: Key Term

Definition: Commission responsible for the regulation and enforcement of the country's investment laws.

Category: Industry

Acronym: Securities Borrow/Loan

Stands For: Key Term

Definition: A collateralized security loan/borrow for a fee.

Category: Industry

Acronym: Securities Lending

Stands For: Key Term

Definition: Lending and borrowing are methods whereby securities may be loaned by the owner to a borrower

Category: Industry

Acronym: Securities/Cash Margin Accounts

Stands For: Key Term

Definition: Securities margin accounts relate to trading securities with any settlement exposure being covered

Category: Industry

Acronym: Security

Stands For: Key Term

Definition: Common or preferred stock, a bond of a corporation, government, or quasi-government

Category: Industry

Acronym: Security Master

Stands For: Key Term

Definition: Security master file which includes a description of securities and their attributes.

Category: Industry

Acronym: Security Rating

Stands For: Key Term

Definition: An evaluation of a security's investment and credit risk as measured by services such as Moody

Category: Industry

Acronym: SEDOL

Stands For: Stock Exchange Daily Official List

Definition: Sedol is a list of security identifiers used in the United Kingdom and Ireland for clearing purposes

Category: Industry

Acronym: SEF

Stands For: Swap Execution Facility

Definition: A regulated platform for trading swaps introduced by the Dodd-Frank Act

Category: Industry

Acronym: SEK

Stands For: Sweden Krona

Definition: Currency - Sweden Krona

Category: Currency

Acronym: Selling Group

Stands For: Key Term

Definition: The firms in an underwriting syndicate who help sell the newly issued securities but do not com

Category: Industry

Acronym: Selling, General, and Administrative Expenses

Stands For: Key Term

Definition: Costs not specifically identifiable with or assigned to production. These include marketing, selling

Category: Industry

Acronym: Semi-Annual Return

Stands For: Key Term

Definition: The percentage gain or loss earned by the issue or portfolio over the horizon period, compounded

Category: Industry

Acronym: Sender (Authentication)

Stands For: Key Term

Definition: The party that sends the transaction.

Category: Industry

Acronym: Sentry

Stands For: Key Term

Definition: monitoring system by Tivoli; roughly equivalent to BMC's Patrol; part of the TME10 suite

Category: Technology

Acronym: SEP

Stands For: Simplified Employee Pension Plan

Definition: A pension plan in the United States in which both the employee and the employer contribute to a

Category: Industry

Acronym: Sequence Number

Stands For: Key Term

Definition: See DIN.

Category: Technology

Acronym: Serco

Stands For: Key Term

Definition: Independent company to which SSC has outsourced the maintenance of its buildings, vending m

Category: Industry

Acronym: Serial Number Field

Stands For: Key Term

Definition: Synonymous with the auxiliary on-us field. See MICR.

Category: Technology

Acronym: Series

Stands For: Key Term

Definition: For CMO deals, the code that distinguishes deals issued by the same organization from one other

Category: Industry

Acronym: Series Fund

Stands For: Key Term

Definition: A mutual fund whose prospectus allows for more than one portfolio. These portfolios may be sp

Category: Industry

Acronym: Service Center

Stands For: Key Term

Definition: our request tracking system

Category: Technology

Acronym: Service Fees

Stands For: Key Term

Definition: Number of basis points charged by the mortgage servicer to collect and distribute principal and interest

Category: Industry

Acronym: ServiceGuard

Stands For: Key Term

Definition: failover software used on the OpenMail servers

Category: Technology

Acronym: Servicing Agent

Stands For: Key Term

Definition: Also "servicer." For a mortgage pool, an organization that, for a fee, handles the administrative a

Category: Industry

Acronym: SES

Stands For: System Enabling Services

Definition: Organization within IT IS

Category: Corporate

Acronym: Session Indicator

Stands For: Key Term

Definition: Indicates if a contract trades during the morning or afternoon session. This value is used for night

Category: Industry

Acronym: SET

Stands For: Stock Exchange of Thailand

Definition: Thailand's stock exchange

Category: Industry

Acronym: Set Tolerance

Stands For: Key Term

Definition: The out of tolerance amount that initially caused the alert to trigger. The amount can be a dollar

Category: Industry

Acronym: SETC

Stands For: FX Settlement or Reversal of Settlement

Definition: MCH Code For FX Function FX Settlement or Reversal of Settlement

Category: Accounting

Acronym: SETS

Stands For: Stock Exchange Electronic Trading System

Definition: The London Stock Exchange's trading system; the system the Lattice software talks to

Category: Technology

Acronym: Settled FX

Stands For: Key Term

Definition: This refers to foreign exchange transactions, which have been settled on a particular date at a p

Category: Industry

Acronym: Settlement

Stands For: Key Term

Definition: The actual delivery of securities and cash for a transaction which has been previously cleared.

Category: Industry

Acronym: Settlement Currency

Stands For: Key Term

Definition: The currency in which a trade settles. This is almost always (but not 100%) the same as Trade C

Category: Industry

Acronym: Settlement Date

Stands For: Key Term

Definition: The date on which a transaction is settled, i.e. the date on which cash is received for a sale of st

Category: Industry

Acronym: SETTLEMENT DATE / VALUE DATE

Stands For: Key Term

Definition: This refers to when purchase monies must be received, and when redemption monies must be p

Category: Industry

Acronym: Settlement Exchange Rate

Stands For: Key Term

Definition: The exchange rate the is applicable at the time that a trade is settled. This value is used to calcu

Category: Industry

Acronym: Settlement Instruction

Stands For: Key Term

Definition: Information that supports the Settlement Location field that indicates where a physical delivery w

Category: Industry

Acronym: Settlement Location

Stands For: Key Term

Definition: A code that identifies the bank, clearinghouse, or book entry system where a security will settle.

Category: Industry

Acronym: Settlement Price

Stands For: Key Term

Definition: Expected valuation for the selected security on the settlement date.

Category: Industry

Acronym: Settlement Risk

Stands For: Key Term

Definition: Where one side of the deal pays cash or delivers securities, but where the other side delays con

Category: Industry

Acronym: SF

Stands For: Securities Finance

Definition: Extended definition needed

Category: Industry

Acronym: SFA

Stands For: Key Term

Definition: Securities and Futures Authority.

Category: Industry

Acronym: SFC

Stands For: State Street Financial Center

Definition: Mailstop code for Boston's State Street Financial Center - headquarters

Category: Corporate

Acronym: SFI

Stands For: Structured Finance Instrument

Definition: Extended definition needed

Category: Industry

Acronym: SFP

Stands For: Structured Finance Product

Definition: Extended definition needed

Category: Industry

Acronym: SFT

Stands For: Securities Financing Transactions

Definition: Extended definition needed

Category: Industry

Acronym: SFX

Stands For: Street FX

Definition: Extended definition needed

Category: Industry

Acronym: SGD

Stands For: Singapore Dollar

Definition: Currency - Singapore Dollar

Category: Currency

Acronym: SGX

Stands For: Singapore Stock Exchange

Definition: Singapore's stock exchange

Category: Industry

Acronym: Share Beneficial -Interest

Stands For: Key Term

Definition: A security that represents an undivided interest in a debt security pool.

Category: Industry

Acronym: Share Price

Stands For: Key Term

Definition: The value of one share in the fund. With most funds, the share price is calculated every day, be

Category: Industry

Acronym: Shareholder

Stands For: Key Term

Definition: a person recorded as a holder of shares in the Fund OR Companies register of shareholders

Category: Industry

Acronym: Shares

Stands For: Key Term

Definition: When a company incorporates they issue shares of stock to represent ownership in the company

Category: Industry

Acronym: Shares Outstanding

Stands For: Key Term

Definition: The number of shares issued by a corporation that have been issued and are outstanding. This

Category: Industry

Acronym: Shelf Registration

Stands For: Key Term

Definition: The sale of securities with various maturities and interest rates on a continuous basis, as allowed

Category: Industry

Acronym: Shift Timing

Stands For: Key Term

Definition: How quickly yields change from the base-curve level to the level shown in a scenario curve. This

Category: Industry

Acronym: Short Position

Stands For: Key Term

Definition: Sale of securities that the seller has borrowed. Within a fixed period of time, the seller must actu

Category: Industry

Acronym: Short Sale

Stands For: Key Term

Definition: A sale of securities not owned at the time of sale anticipating the price to fall and the securities t

Category: Industry

Acronym: Short Selling

Stands For: Key Term

Definition: The sale of a security which is not owned by the seller. The "short seller" borrows stock for delivery.

Category: Industry

Acronym: Short Term Instrument

Stands For: Key Term

Definition: Where the time to maturity on a security is less than one year.

Category: Industry

Acronym: Shortlist

Stands For: Key Term

Definition: Shortlist is the process used to summarize check transactions for selected accounts into a single

Category: Technology

Acronym: SHP

Stands For: Saint Helena Pound

Definition: Currency - Saint Helena Pound

Category: Currency

Acronym: SI

Stands For: Systematic Internaliser

Definition: Extended definition needed

Category: Industry

Acronym: SIA

Stands For: Securities Industry Association

Definition: Association striving to be a catalyst for success within the global security industry through inform

Category: Institution or Association

Acronym: SIC

Stands For: Key Term

Definition: "Standard Industrial Classification." The SIC code is a numbering system used by the federal go

Category: Industry

Acronym: SICAV

Stands For: Societe d'Investissement A Capital Variable

Definition: Open-ended collective investment in Europe

Category: Industry

Acronym: SIDCO

Stands For: Systemically Important Derivatives Clearing Organisation

Definition: Extended definition needed

Category: Industry

Acronym: SIF

Stands For: Significant Influence Function

Definition: Significant Influence Function (FCA Approved Person)

Category: Industry

Acronym: SIFI

Stands For: Systemically Important Financial Institution

Definition: Financial institutions whose failure could endanger the financial system as a whole; includes 17

Category: Regulatory & Legal

Acronym: SIFMA

Stands For: Securities Industry and Financial Markets Association

Definition: A United States industry trade group representing securities firms, banks, and asset management

Category: Institution or Association

Acronym: SIFMU

Stands For: Systemically Important Market Utility

Definition: Extended definition needed

Category: Industry

Acronym: Simple Interest

Stands For: Key Term

Definition: Interest calculated only on the original principal amount. In other words, accrued interest is ignored.

Category: Industry

Acronym: Single Monthly Mortality

Stands For: Key Term

Definition: Abbreviated as "SMM." A model in which the prepayment speed of a mortgage pool is the perce

Category: Industry

Acronym: Sinking Amount

Stands For: Key Term

Definition: Amount of money accumulated in a sinking fund account.

Category: Industry

Acronym: Sinking Fund

Stands For: Key Term

Definition: Money accumulated on a regular basis in a separate custodial account that is used to redeem d

Category: Industry

Acronym: Sinking Fund Date

Stands For: Key Term

Definition: A date prior to maturity that a portion of the outstanding debt will be retired.

Category: Industry

Acronym: Sinking-Fund Bond

Stands For: Key Term

Definition: An issue in which most or part of the issuer's long-term debt is redeemed, according to a schedule

Category: Industry

Acronym: Sinking-Fund Discounted Cash-Flow Yield

Stands For: Key Term

Definition: The annualized internal rate of return of the security, calculated by discounting multiple principal

Category: Industry

Acronym: Sinking-Fund Schedule

Stands For: Key Term

Definition: A schedule that specifies the amounts sunk on particular dates.

Category: Industry

Acronym: Siteminder

Stands For: Key Term

Definition: From Computer Associates; A management foundation for enterprise Web applications which pr

Category: Technology

Acronym: SITG

Stands For: Skin In The Game

Definition: Extended definition needed

Category: Industry

Acronym: SKAC

Stands For: Safe-keeping account

Definition: The storage of assets or other items of value in a protected area

Category: Industry

Acronym: SLA

Stands For: Service Level Agreement

Definition: Service Level Agreement; documents required thresholds that can be expected for Interchange

Category: Technology

Acronym: SLAA

Stands For: Securities Lending Authorisation Agreement

Definition: Agreement between a client and State Street to engage in Securities Lending

Category: Corporate

Acronym: SLD

Stands For: Securities Law Directive

Definition: EU legislation governing the post-trade treatment of securities

Category: Regulatory & Legal

Acronym: SLE

Stands For: Securities Lending Enterprise

Definition: Extended definition needed

Category: Corporate

Acronym: SLoD

Stands For: Second Line of Defense

Definition: Extended definition needed

Category: Corporate

Acronym: SLR

Stands For: Supplemental Leverage Ratio

Definition: Measurement of Supplemental Leverage Ratio

Category: Finance

Acronym: SLS

Stands For: Special Liquidity Scheme

Definition: Extended definition needed

Category: Industry

Acronym: SM

Stands For: Service Mark

Definition: Extended definition needed

Category: Technology

Acronym: SMA

Stands For: Separately Managed Account

Definition: A portfolio of securities and/or funds established for one client and not open to other parties.

Category: Industry

Acronym: SMAC

Stands For: Securities Movement & Control

Definition: One of State Street's custody systems. SMAC provides information regarding U.S. security posi

Category: Corporate

Acronym: Small-cap Stocks

Stands For: Key Term

Definition: An investment categorization based on the market capitalization of a company.

Category: Industry

Acronym: SM-Arch

Stands For: Software Mogul-Archiver

Definition: Software Mogul-Archiver; scheduling utility/tool/application for tape back-ups (pronounced both 'S' and 'M')

Category: Technology

Acronym: SMARTS

Stands For:

Definition: PIMCO Record-keeping (Irvine)

Category:

Acronym: SmartStream

Stands For: Key Term

Definition: an accounts payable/purchasing suite of applications; to replace APAPS

Category: Technology

Acronym: SMC

Stands For: Senior Management Committee

Definition: Management Committee of senior executives that discuss strategy and execution

Category: Corporate

Acronym: SMD

Stands For: Senior Managing Director

Definition: Senior Managing Director - Role

Category: Corporate

Acronym: SME

Stands For:

Definition: Subject matter expert

Category:

Acronym: SME

Stands For: Small and Medium Sized Enterprise

Definition: Extended definition needed

Category: Industry

Acronym: SME- GM

Stands For: Small and Medium Sized Enterprise- Growth Market

Definition: Extended definition needed

Category: Industry

Acronym: SMF

Stands For: Security Master File

Definition:

Category:

Acronym: SMPG

Stands For: Standard Market Practice Group

Definition: Extended definition needed

Category: Corporate

Acronym: SMS

Stands For: System Management Services

Definition: System Management Services; monitoring tool for NT

Category: Technology

Acronym: SMSF

Stands For: Self Managed Superannuation Funds

Definition: Extended definition needed

Category: Industry

Acronym: SMSG

Stands For: Securities and Markets Stakeholder Group

Definition: Extended definition needed

Category: Industry

Acronym: SMT

Stands For: Senior Management Team

Definition: Senior Management Team (of State Street); renamed to State Street Management Forum (SSM

Category: Technology

Acronym: SNA

Stands For: Systems network architecture

Definition: systems network architecture; a proprietary IBM protocol

Category: Technology

Acronym: SOA

Stands For: Service-Oriented Architectures

Definition: Extended definition needed

Category: Industry

Acronym: SOD

Stands For: Start of Day Cash

Definition: Start of Day Cash (application; back-up is SOD Cash, Cash Rapid Recovery)

Category: Technology

Acronym: Solvency (Long-Term)

Stands For: Key Term

Definition: Having sufficient cash and cash sources at times when long-term obligations and claims (bonds

Category: Industry

Acronym: Solvency or Leverage Ratios

Stands For: Key Term

Definition: Measure a firm's ability to meet long-term obligations. They include debt to assets, debt to equity,

Category: Industry

Acronym: SOP

Stands For: Standard Operating Procedure

Definition: SOP refers to a unit's unique procedures, which are not necessarily standard to another unit. It

Category: Industry

Acronym: SOR

Stands For: Smart Order Routing

Definition: Extended definition needed

Category: Industry

Acronym: Sort

Stands For: Key Term

Definition: Sorting lets you rearrange items on a list or table in low-to-high or high-to-low order--for example

Category: Industry

Acronym: Sort Pattern

Stands For: Key Term

Definition: A table used by the stacker select routine to determine the pocket to which a check is to be directed

Category: Technology

Acronym: Sort Type

Stands For: Key Term

Definition: A number assigned at item processing time to the particular rum of items. This number is passe

Category: Technology

Acronym: SOS

Stands For: Somalia Shilling

Definition: Currency - Somalia Shilling

Category: Currency

Acronym: SOW

Stands For: Statement of Work

Definition: Extended definition needed

Category: Industry

Acronym: SOX

Stands For: Sarbanes-Oxley Act of 2002

Definition: Extended definition needed

Category: Regulatory & Legal

Acronym: SP

Stands For: Simultaneous processor

Definition: Grouping servers that are controlled via one console

Category: Technology

Acronym: SP2

Stands For: Key Term

Definition: designation for IBM control stations; one monitor/multiple boxes; monitoring SP nodes

Category: Technology

Acronym: SPA

Stands For: Strategic Planning and Architecture

Definition: Strategic Planning and Architecture; a group within NMS.

Category: Technology

Acronym: SPAN

Stands For: Standard Portfolio Analysis of Risk

Definition: Extended definition needed

Category: Industry

Acronym: SPC

Stands For: Segregated Portfolio Companies

Definition: A company which segregates the assets and liabilities of different share classes from each other

Category: Industry

Acronym: Specialist

Stands For: Key Term

Definition: An exchange member who coordinates orders between exchange members and is responsible for

Category: Industry

Acronym: Specialized Assets

Stands For: Key Term

Definition: Assets which can only be used in a specific industry. The value of the asset depends upon the firm's ability to sell the asset outside the industry.

Category: Industry

Acronym: Specialty Fund

Stands For: Key Term

Definition: A mutual fund specializing in the securities of a particular industry or group of industries, special

Category: Industry

Acronym: Spectrum

Stands For: Key Term

Definition: monitoring software; gathers all the alerts; used to monitor the routers and hubs

Category: Technology

Acronym: SPG

Stands For: Securities Processing Group

Definition: Extended definition needed

Category: Corporate

Acronym: SPID

Stands For: Service profile identifier

Definition: service profile identifier; used to identify ISDN lines

Category: Technology

Acronym: Spin-off

Stands For: Key Term

Definition: A corporate action where part of a company is spun-off to form a separate company and holders

Category: Industry

Acronym: Split

Stands For: Key Term

Definition: A corporate action giving a stockowner more shares of stock at a lower price.

Category: Industry

Acronym: Spot Curve

Stands For: Key Term

Definition: A yield curve consisting of spot (zero-coupon) rates. A zero-coupon curve is the time value of m

Category: Industry

Acronym: Spot Date

Stands For: Key Term

Definition: This is the earliest date that a foreign exchange deal can be settled for a particular currency. It is

Category: Industry

Acronym: Spot FX Contract

Stands For: Key Term

Definition: A foreign currency contract that will settle in two business days.

Category: Industry

Acronym: Spot Rate

Stands For: Key Term

Definition: The spot rate is the exchange rate between any two currencies at any given point in time. An ex

Category: Industry

Acronym: Spread

Stands For: Key Term

Definition: 1.The difference between the offer and bid price.

Category: Industry

Acronym: Spread Duration

Stands For: Key Term

Definition: The sensitivity of a security's price to a small change in option-adjusted spread (OAS).Unlike rat

Category: Industry

Acronym: Spread to Call

Stands For: Key Term

Definition: The difference between the yield to call of a security and the nearest benchmark treasury yield a

Category: Industry

Acronym: Spread to Put

Stands For: Key Term

Definition: The difference between the yield to put of a security and the yield of the benchmark U.S. treasury

Category: Industry

Acronym: Spread to Weighted Average Life

Stands For: Key Term

Definition: A three-step process: First the application finds the security's weighted average life (WAL). Then

Category: Industry

Acronym: SPV

Stands For: Special Purpose Vehicle

Definition: Extended definition needed

Category: Industry

Acronym: SRD

Stands For: Suriname Dollar

Definition: Currency - Suriname Dollar

Category: Currency

Acronym: SRI

Stands For: Socially Responsible Investing

Definition: A strategy that considers the social and environmental impact of investments along with the potential for financial return

Category: Industry

Acronym: SRRI

Stands For: Synthetic Risk and Reward Indicator

Definition: A measure of risk of a UCITs investment

Category: Finance

Acronym: SS

Stands For: State Street

Definition: State Street(Bank, Bank & Trust Co. or Corp)

Category: Corporate

Acronym: SSA

Stands For: State Street Analytics

Definition: State Street Analytics

Category: Corporate

Acronym: SSB

Stands For: State Street Bank

Definition: State Street Bank; holding company is State Street Corporation)

Category: Corporate

Acronym: SSB&T

Stands For: State Street Bank & Trust

Definition: State Street(Bank, Bank & Trust Co. or Corp)

Category: Corporate

Acronym: SSBSI

Stands For: State Street Brokerage Services, Inc.

Definition: State Street Brokerage Services, Inc.

Category: Corporate

Acronym: SSBT

Stands For: State Street Bank & Trust

Definition: State Street Bank & Trust

Category: Corporate

Acronym: SSC

Stands For: State Street Corporation

Definition: State Street Corporation; the preferred corporate designation

Category: Corporate

Acronym: SSCD

Stands For: New ESP - A Data Warehouse

Definition:

Category:

Acronym: SSCFSJL

Stands For: State Street Fund Services (Jersey) Limited

Definition: A State Street entity

Category: Corporate

Acronym: SSCSGL

Stands For: State Street Custody Services (Guernsey) Limited

Definition: State Street Custody Services (Guernsey) Limited

Category: Corporate

Acronym: SSCSJL

Stands For: State Street Custodial Services (Jersey) Limited

Definition: State Street Custodial Services (Jersey) Limited

Category: Corporate

Acronym: SSFC

Stands For: State Street Financial Center

Definition: State Street Financial Center

Category: Corporate

Acronym: SSFSJL

Stands For: State Street Fund Services (Jersey) Limited

Definition: State Street Fund Services (Jersey) Limited

Category: Corporate

Acronym: SSGA

Stands For: State Street Global Advisors

Definition: State Street Global Advisors

Category: Corporate

Acronym: SSGE

Stands For: State Street Global Exchange

Definition: State Street Global Exchange

Category: Corporate

Acronym: SSGL

Stands For: State Street (Guernsey) Limited

Definition: State Street (Guernsey) Limited

Category: Corporate

Acronym: SSGM

Stands For: State Street Global Markets

Definition: State Street Global Markets

Category: Corporate

Acronym: SSGS

Stands For: State Street Global Services

Definition: State Street division focused on Global Services

Category: Corporate

Acronym: SSGX

Stands For: State Street Global Exchange

Definition: State Street Global Exchange

Category: Corporate

Acronym: SSH

Stands For: Security Settlements and Holdings Foreign Exchange Service

Definition: Extended definition needed

Category: Industry

Acronym: SSHS

Stands For: State Street HCL Services

Definition: Extended definition needed

Category: Corporate

Acronym: SSI

Stands For: Standing Settlement Instruction

Definition: Standing instruction which give State Street authority to settle transactions based upon an existi

Category: Corporate

Acronym: SSIA

Stands For: State Street Investment Analytics

Definition: A unit within Global Services that helps clients access and analyze data

Category: Corporate

Acronym: SSJL

Stands For: State Street (Jersey) Limited

Definition: State Street (Jersey) Limited

Category: Corporate

Acronym: SSKC

Stands For: State Street Kansas City

Definition: State Street Kansas City

Category: Corporate

Acronym: SSLAA

Stands For: Securities Lending Authorization Agreement

Definition: Extended definition needed

Category: Industry

Acronym: SSMF

Stands For: State Street Management Forum

Definition: State Street Management Forum; formerly known as SMT, Senior Management Team

Category: Corporate

Acronym: SSP

Stands For: Salary Savings Plan

Definition: Salary Savings Plan - Retirement Plan for employees

Category: Human Resources

Acronym: SSS

Stands For: System Software Services

Definition: Extended definition needed

Category: Technology

Acronym: SSSF

Stands For: State Street Securities Finance

Definition: Securities finance department at State Street

Category: Corporate

Acronym: SST

Stands For: State Street

Definition: State Street

Category: Corporate

Acronym: SSTP

Stands For: Short Term Pricing Setup

Definition: MCH Code For Short Term Function Short Term Pricing Setup

Category: Accounting

Acronym: Stability

Stands For: Key Term

Definition: The relatively low volatility of a security or fund compared to the "market."

Category: Industry

Acronym: STACR

Stands For: Structured Agency Credit Risk

Definition: Extended definition needed

Category: Compliance & Risk

Acronym: Standard & Poor's

Stands For: Key Term

Definition: Abbreviated as "S&P." A U.S. organization that rates the quality of securities according to the cr

Category: Industry

Acronym: Standard & Poor's 500 Index (S&P 500)(r)

Stands For: Key Term

Definition: The S&P 500 is a widely recognized, unmanaged index of common stocks.

Category: Industry

Acronym: Standard & Poor's Midcap 400 Index (S&P 400)

Stands For: Key Term

Definition: The Standard & Poor's MidCap 400 Index is a widely recognized, unmanaged index of 400 med

Category: Industry

Acronym: Standard Deviation

Stands For: Key Term

Definition: 1.Standard deviation is the measurement of average variation (dispersion) of actual values about

Category: Industry

Acronym: Standing Order

Stands For: Key Term

Definition: Standing Orders are issued on behalf of clients who repeatedly request cheques, drafts, money

Category: Industry

Acronym: STAT

Stands For: Check Accrual Status

Definition: MCH Code For Accrual Function Check Accrual Status

Category: Accounting

Acronym: State Code

Stands For: Key Term

Definition: The state of the security issuer for municipal bonds. This is used for reporting and investment po

Category: Industry

Acronym: State Municipal Bond funds

Stands For: Key Term

Definition: State Municipal Bond funds invest in the municipal bonds of a single state with the objective of p

Category: Industry

Acronym: State Municipal Bond funds: general

Stands For: Key Term

Definition: Funds invest primarily in municipal bonds of a single state with an average maturity of greater th

Category: Industry

Acronym: State Municipal Bond funds: short term

Stands For: Key Term

Definition: Funds predominantly invest in municipal bonds of a single state with an average maturity of one

Category: Industry

Acronym: State Tax-Exempt Money Market funds

Stands For: Key Term

Definition: Funds predominantly invest in short-term municipal bonds of a single state, which are exempt from

Category: Industry

Acronym: Stated Delay

Stands For: Key Term

Definition: For mortgage pools and CMOs, the time lag between the date on which the homeowners make

Category: Industry

Acronym: Stated Maturity

Stands For: Key Term

Definition: For mortgage-backed securities, the date of the final principal repayment as specified at issue. F

Category: Industry

Acronym: Statement Cycle Code HOGAN

Stands For: Key Term

Definition: A user assigned indicator of the Hogan System, that groups accounts for statement processing.

Category: Technology

Acronym: Statement of Additional Information

Stands For: Key Term

Definition: That publication of a fund constituting all legal "offering" data. Prospectuses are abbreviated form

Category: Industry

Acronym: Statement of Cash Flows

Stands For: Key Term

Definition: Statement summarizes activities that generate and consume cash (it illustrates net cash inflow a

Category: Industry

Acronym: Statement of Changes in Financial Position

Stands For: Key Term

Definition: Examines sources of funds and uses of funds during a period of operations.

Category: Industry

Acronym: Statement of Changes in Owners' Equity

Stands For: Key Term

Definition: Links the income statement to the balance sheet; compares the beginning and ending balances

Category: Industry

Acronym: Statement of Changes in Retained Earnings

Stands For: Key Term

Definition: A statement that reconciles the beginning-of-period and end-of-period balances in the retained e

Category: Industry

Acronym: StatPro

Stands For: ASP Service Model for Statistical Performance

Definition:

Category:

Acronym: STBC

Stands For: Short Term Buy

Definition: MCH Code For Short Term Function Short Term Buy

Category: Accounting

Acronym: STCX

Stands For: Cancel Trade

Definition: MCH Code For Trade Function Cancel Trade

Category: Accounting

Acronym: Step-Out Broker

Stands For: Key Term

Definition: When a customer has assigned a particular broker to be used by their investment manager and

Category: Industry

Acronym: Step-up

Stands For: Key Term

Definition: A variable-rate security with a coupon that rises according to a predetermined fixed schedule.

Category: Industry

Acronym: STF

Stands For: Secure File Transfer

Definition: Extended definition needed

Category: Industry

Acronym: STIF

Stands For: Short Term Investment Fund

Definition: A type of low-risk investment fund, typically used by investors to park funds temporarily.

Category: Industry

Acronym: Stock

Stands For: Key Term

Definition: Equity ownership of a corporation through purchase of shares. Owners have voting privileges and

Category: Industry

Acronym: Stock Dividend

Stands For: Key Term

Definition: An issuance of additional shares having the same par value and rights as the other shares of the

Category: Industry

Acronym: Stock Option

Stands For: Key Term

Definition: The right to purchase a specific number of shares of stock for a specified price at specified times

Category: Industry

Acronym: Stock Split

Stands For: Key Term

Definition: Additional shares which represent an unchanged proportionate ownership interest in a mutual fu

Category: Industry

Acronym: Stock Split Rate

Stands For: Key Term

Definition: The rate used for an increase in a corporations number of outstanding shares of stock without a

Category: Industry

Acronym: Stockout

Stands For: Key Term

Definition: The point at which inventory levels reach zero and inventory demand exceeds supply.

Category: Industry

Acronym: STOR

Stands For: Suspicious Transactions and Orders Report

Definition: Extended definition needed

Category: Industry

Acronym: Store and Forward

Stands For: Key Term

Definition: The concept whereby new records or transactions continue to be accepted by the system while

Category: Industry

Acronym: STP

Stands For: Straight-through processing

Definition: Whereby all stages of a trade are conducted electronically, without the need for manual interven

Category: Industry

Acronym: STP (Straight-Through Processing)

Stands For: Key Term

Definition: The handling of a message without resort to manual intervention or message repair except for re

Category: Industry

Acronym: STR

Stands For: Sales, Trading & Research

Definition: Extended definition needed

Category: Industry

Acronym: Straight - Line Amortization

Stands For: Key Term

Definition: An amortization method that adjusts the cost of the holding by an equal amount for each day th

Category: Industry

Acronym: Straight-Line Interest

Stands For: Key Term

Definition: Calculating interest at a daily constant rate evenly over time.

Category: Industry

Acronym: Strategic Financial Analysis

Stands For: Key Term

Definition: Analyzing competitors' financial statements with the goal of analyzing the strengths and weaknesses

Category: Industry

Acronym: Strategic Group

Stands For: Key Term

Definition: Business unit with a clearly identified and separate strategy.

Category: Industry

Acronym: Strategic Income funds

Stands For: Key Term

Definition: Strategic Income funds invest in a combination of domestic fixed income securities to provide hi

Category: Industry

Acronym: Strategic Planning

Stands For: Key Term

Definition: Planning which focuses on longer range objectives and goals. It is essentially direction-setting a

Category: Industry

Acronym: Strategy

Stands For: Key Term

Definition: The reason that an investment manager purchases a security.

Category: Industry

Acronym: Street Name

Stands For: Key Term

Definition: Meaning that the stock certificate is in the name of a brokerage house.

Category: Industry

Acronym: Street PSA

Stands For: Key Term

Definition: The median of the prepayment forecasts (shown as PSA speeds) .

Category: Industry

Acronym: Strike Price

Stands For: Key Term

Definition: Price at which the security underlying an option can be purchased (call option) or sold (put option)

Category: Industry

Acronym: Stripped Instrument

Stands For: Key Term

Definition: Securities, backed by Treasuries, agency bonds, municipal bonds, or other bonds, in which coupon

Category: Industry

Acronym: Stripped Mortgage-Backed Security

Stands For: Key Term

Definition: A mortgage-backed security in which interest cash-flows are separated from principal cash-flows

Category: Industry

Acronym: STRIPS

Stands For: Key Term

Definition: "Separate Trading of Registered Interest and Principal of Securities." A set of zero-coupon secu

Category: Industry

Acronym: STRS

Stands For: State Teachers' Retirement System (California teachers)

Definition: Extended definition needed

Category: Industry

Acronym: Structured Deposits

Stands For: Key Term

Definition: These are effectively Fixed Term Deposits with alternative interest structures driven from the pe

Category: Industry

Acronym: STS

Stands For: Specialized Trust Services

Definition: Unit focused on servicing specialized Trusts

Category: Corporate

Acronym: STSC

Stands For: Short Term Sell

Definition: MCH Code For Short Term Function Short Term Sell

Category: Accounting

Acronym: STT

Stands For: State Street Ticker

Definition: State Street's NYSE Ticker Symbol

Category: Industry

Acronym: Stub

Stands For: Key Term

Definition: The left-most column of a table, which contains the row headings. These headings are usually d

Category: Industry

Acronym: Style Spectrum

Stands For: Key Term

Definition: While "Style Spectrum" is not a term of art, it refers generally to the range of "growth vs. value" c

Category: Industry

Acronym: Sub

Stands For: Sub-custodian

Definition: Foreign bank hired by State Street to handle trades and hold assets in a specific country.

Category: Industry

Acronym: Sub Product Code

Stands For: Key Term

Definition: Every account that resides on Hogan is assigned a 'sub-product code', which determined the type of account

Category: Technology

Acronym: Sub-Custodian

Stands For: Key Term

Definition: An agent bank or subsidiary who provides local custody services for a global custodian.

Category: Industry

Acronym: sudo

Stands For: Super user do

Definition: super user do; a powerful ID used in IOC

Category: Technology

Acronym: Sunk Cost

Stands For: Key Term

Definition: Irreversible costs from past outlays of cash that have no impact on decision-making.

Category: Industry

Acronym: SUP

Stands For: Supervision

Definition: Supervision (FCA Handbook)

Category: Industry

Acronym: Super-Regional Banks

Stands For: Key Term

Definition: Banks which have a charter to operate in several regions of the country.

Category: Industry

Acronym: Supply

Stands For: Key Term

Definition: The amount on hand, or available for use.

Category: Industry

Acronym: SVC

Stands For: El Salvador Colon

Definition: Currency - El Salvador Colon

Category: Currency

Acronym: SVWAP

Stands For: Stylised Volume Weighted Average Price

Definition: Extended definition needed

Category: Industry

Acronym: Swap

Stands For: Key Term

Definition: The sale of one security to purchase another. A swap can change such characteristics of a portf

Category: Industry

Acronym: Swaps

Stands For: Key Term

Definition: Offspring of forwards, involving an exchange of streams of payments over time according to pre

Category: Industry

Acronym: Swaption

Stands For: Key Term

Definition: An option on a swap.

Category: Industry

Acronym: Sweep

Stands For: Key Term

Definition: Where excess cash is automatically transferred at the end of the day into interest bearing account

Category: Industry

Acronym: Sweep Facility

Stands For: Key Term

Definition: Sweeping is a facility between linked accounts that allows the automatic movement of funds bet

Category: Industry

Acronym: SWF

Stands For: Sovereign Wealth Fund

Definition: Government-owned, special purpose investment vehicles funded by a country's central bank reserves

Category: Industry

Acronym: SWIFT

Stands For: Society for Worldwide Interbank Financial Telecommunications

Definition: A dedicated computer network to support funds transfer messages internationally between more

Category: Institution or Association

Acronym: SWIFT Fields

Stands For: Key Term

Definition: SWIFT messages are divided into various fields, which are designed to be used for specific information.

Category: Industry

Acronym: SWIFTNet

Stands For: Key Term

Definition: S.W.I.F.T.'s brand name for all network and transaction processing services.

Category: Industry

Acronym: SWIFTNet Fileact

Stands For: Key Term

Definition: S.W.I.F.T.'s new interactive communication service supporting the exchange of files between pa

Category: Industry

Acronym: SWIFTNet Interact

Stands For: Key Term

Definition: S.W.I.F.T.'s new interactive communication service supporting the exchange of request / response

Category: Industry

Acronym: SWIFTNet Services

Stands For: Key Term

Definition: S.W.I.F.T.'s new IP-based communication services. SWIFTNet services include SWIFTNet Inter

Category: Industry

Acronym: SWIFTNet Transact

Stands For: Key Term

Definition: S.W.I.F.T.'s new store-and-forward messaging service and FIN replacement.

Category: Industry

Acronym: SWM

Stands For: Software Management

Definition: Software Management; software installs are part of the certification process

Category: Technology

Acronym: symmetric

Stands For: Key Term

Definition: a high availability set up with two machines and two applications; either machine can run both ap

Category: Technology

Acronym: Syndicated Loans

Stands For: Key Term

Definition: A syndicated loan occurs where a group of banks participate in providing credit to a counterparty

Category: Industry

Acronym: SYP

Stands For: Syria Pound

Definition: Currency - Syria Pound

Category: Currency

Acronym: SYSC

Stands For: Acronym needed

Definition: Senior Management Arrangements, Systems and Controls (FCA Handbook)

Category: Industry

Acronym: System Administrator

Stands For: Key Term

Definition: System Administrators are Bank employees responsible for the day to day control, administration

Category: Industry

Acronym: System Worksheets

Stands For: Key Term

Definition: Worksheets that display data pertaining to general ledger details, a trial balance, security transa

Category: Industry

Acronym: Systematic Withdrawal Plans

Stands For: Key Term

Definition: Programs in which fund shareholders receive regular payments from their investments, usually c

Category: Industry

Acronym: Systemic Risk

Stands For: Key Term

Definition: The risk that a sufficiently broad amount of interdependency risk can jeopardize the whole system

Category: Industry

Acronym: System-Reclaimed Alert

Stands For: Key Term

Definition: An out of tolerance condition that is resolved by processing activity on Multicurrency Horizon or

Category: Industry

Acronym: T&C

Stands For: Trading and Clearing

Definition: Extended definition needed

Category: Industry

Acronym: T/EC

Stands For: Tivoli/Enterprise Console

Definition: Tivoli/Enterprise Console; product roughly equivalent to Spectrum; used by IOC for monitoring; n

Category: Technology

Acronym: TA

Stands For: Transfer Agent

Definition: A company which cancels the name and certificate of the shareholder who sold the shares of stock

Category: Industry

Acronym: TAC Bond

Stands For: Key Term

Definition: "Targeted Amortization Class" bond. Like a PAC bond except that the principal-balance schedule

Category: Industry

Acronym: Takeout

Stands For: Key Term

Definition: The cash balance on hand as of the final settlement date. In a securities swap, takeout results w

Category: Industry

Acronym: TANDEM

Stands For: Key Term

Definition: A "fault tolerant computer", fully redundant midrange hardware platform.

Category: Technology

Acronym: TARGET

Stands For: Trans-European Automated Real-time Gross settlement Express Transfer

Definition: Trans-European Automated Real-time Gross settlement Express Transfer; meant to link the EM

Category: Technology

Acronym: Tax Factors

Stands For: Key Term

Definition: Factors that are submitted quarterly by mortgage servicers to the IRS that provide information re

Category: Industry

Acronym: Tax Lot

Stands For: Key Term

Definition: The identification of the individual 'lots' that a security position was acquired by. Tax lots are used

Category: Industry

Acronym: Tax Reclaim

Stands For: Key Term

Definition: The amount of tax withholding on an international investment's income which is 'reclaimable' be

Category: Industry

Acronym: Tax Trade Date

Stands For: Key Term

Definition: The date on which a sell or buy transaction is executed. The Tax trade date will differ from the p

Category: Industry

Acronym: Taxable Equivalent Yield

Stands For: Key Term

Definition: The interest rate which must be received on a taxable security to provide the holder the same af

Category: Industry

Acronym: Taxable Money Market funds

Stands For: Key Term

Definition: Taxable money market funds seek to maintain a stable net asset value, by investing in the short

Category: Industry

Acronym: Taxable Money Market funds: government

Stands For: Key Term

Definition: Funds invest principally in U.S. Treasury obligations and other financial instruments issued or gu

Category: Industry

Acronym: Taxable Money Market funds: non-government

Stands For: Key Term

Definition: Funds invest in a variety of money-market instruments, including certificates of deposit of large b

Category: Industry

Acronym: Tax-Deferred Income

Stands For: Key Term

Definition: Dividends, interest, and capital gains received on investments held in qualified retirement plans

Category: Industry

Acronym: Taxes

Stands For: Key Term

Definition: An occasion that can be taxed. For example, the issuing of dividend payments would be considered a taxable event.

Category: Industry

Acronym: Tax-Exempt Income

Stands For: Key Term

Definition: Dividends and/or interest not subject to federal income taxation and, in some cases, also exempt

Category: Industry

Acronym: Tax-Exempt Money Market Funds

Stands For: Key Term

Definition: Tax-exempt money market funds invest in securities that provide safety of principal, liquidity, and

Category: Industry

Acronym: TBAs

Stands For: Key Term

Definition: To Be Announced. Typically mortgage-backed securities which are bought prior to all details of t

Category: Industry

Acronym: T-Bills

Stands For: Key Term

Definition: Treasury Bills. Short term non-interest bearing discount instrument issued by the US Treasury. T

Category: Industry

Acronym: TBS

Stands For: Trade Banking System.

Definition: Extended definition needed

Category: Industry

Acronym: TCA

Stands For: Transaction Cost Analysis

Definition: Extended definition needed

Category: Industry

Acronym: TCAM

Stands For: TMIG vendor

Definition: Extended definition needed

Category: Technology

Acronym: TCB

Stands For: Trust Company Business

Definition: Business focused on servicing Trusts

Category: Corporate

Acronym: TCE

Stands For: Tangible Common Equity

Definition: A measure of a company's capital, which is used to evaluate a financial institution's ability to dea

Category: Finance

Acronym: TCF

Stands For: Treating Customers Fairly

Definition: Extended definition needed

Category: Industry

Acronym: TCP/IP

Stands For: Transmission Control Protocol/Internet Protocol

Definition: Transmission Control Protocol/Internet Protocol; developed by the Department of Defense and i

Category: Technology

Acronym: TD

Stands For: Trade Date

Definition: The date two parties legally agree to buy and sell an asset. Legal ownership of securities chang

Category: Industry

Acronym: TDBS

Stands For: Telekurs Database System

Definition: Extended definition needed

Category: Technology

Acronym: Teaser Rate

Stands For: Key Term

Definition: For ARMs, a below-market interest rate for the first year (or other period of time) of the mortgage

Category: Industry

Acronym: Teaser Rates

Stands For: Key Term

Definition: These are favourable interest rates, usually for a specified period, which are designed to attract

Category: Industry

Acronym: Technical Analysis

Stands For: Key Term

Definition: Also referred to as charting, using price and volume data to determine when to buy or sell.

Category: Industry

Acronym: Technicals

Stands For: Key Term

Definition: Short-term trends that technical analysts can sometimes identify as significant in the price move

Category: Industry

Acronym: Technology Services

Stands For: Key Term

Definition: TS is responsible for planning, implementing and supporting hardware and software technologies

Category: Technology

Acronym: Telnet

Stands For: Key Term

Definition: Remote login, similar to Display Station Pass Through

Category: Technology

Acronym: Tender Offer

Stands For: Key Term

Definition: A major stock purchase bid. Stockholders must respond whether they agree to sell within a pred

Category: Industry

Acronym: Tenor

Stands For: Key Term

Definition: The term or duration to maturity of a product.

Category: Industry

Acronym: TER

Stands For: Total Expense Ratio

Definition: Total Expense Ration - Financial Metric

Category: Finance

Acronym: Term

Stands For: Key Term

Definition: The time during which interest payments will be made on a bond or certificate of deposit.

Category: Industry

Acronym: Term Deposit

Stands For: Key Term

Definition: Deposit of money for a specified period, repaid with interest.

Category: Industry

Acronym: Term Premium

Stands For: Key Term

Definition: A premium (of higher yield) that bondholders expect to receive for securities with longer maturity

Category: Industry

Acronym: Tested Telex

Stands For: Key Term

Definition: A telex that includes a secret code included in its text. This code is used to confirm the validity o

Category: Industry

Acronym: TFEU

Stands For: Treaty on the Functioning of the European Union

Definition: Extended definition needed

Category: Industry

Acronym: TFM

Stands For:

Definition: Transaction Flow Manager

Category:

Acronym: TFTP

Stands For: Terrorist Finance Tracking Programme

Definition: Extended definition needed

Category: Industry

Acronym: TFTS

Stands For: Terrorist Finance Tracking System

Definition: Extended definition needed

Category: Industry

Acronym: THB

Stands For: Thailand Baht

Definition: Currency - Thailand Baht

Category: Currency

Acronym: Theta

Stands For: Key Term

Definition: The change in the price of an option with a decrease of one day in time to expiration.

Category: Industry

Acronym: Third Market

Stands For: Key Term

Definition: A set of brokers, dealers, and institutional investors, not members of exchanges, who trade large

Category: Industry

Acronym: Tick

Stands For: Key Term

Definition: The standard minimum pricing unit in a particular market.

Category: Industry

Acronym: Tick Value

Stands For: Key Term

Definition: A price change expressed in monetary terms if a contract's price changes by the minimum fluctu

Category: Industry

Acronym: Ticker

Stands For: Key Term

Definition: Also "ticker symbol." Letters that uniquely identify a security. For example, T is the ticker for AT&T.

Category: Industry

Acronym: ticket

Stands For: Key Term

Definition: problem report

Category: Technology

Acronym: Tie Rates

Stands For: Key Term

Definition: These are the market rates from which internal rates are derived

Category: Industry

Acronym: Tiered Payment Mortgages

Stands For: Key Term

Definition: Payments on TPMs (tiered payment mortgages), like those of GPMs and GEMs, rise over time.

Category: Industry

Acronym: TIGR

Stands For: Key Term

Definition: "Treasury Investment Growth Receipts," zero-coupon bonds introduced by Merrill Lynch in 1982

Category: Industry

Acronym: TIM

Stands For: Transaction Interaction Module

Definition:

Category:

Acronym: Time Deposit

Stands For: Key Term

Definition: A deposit at a depository institution that has a specific maturity and pays interest

Category: Industry

Acronym: Time Weighted Rates of Return

Stands For: Key Term

Definition: A performance measurement calculation that takes into account the cash flows.

Category: Industry

Acronym: TIMS

Stands For: Transaction Interface Management System

Definition: Extended definition needed

Category: Corporate

Acronym: Tivoli

Stands For: Key Term

Definition: the company that puts out Tivoli T/EC and Tivoli Sentry; comparable to BMC

Category: Technology

Acronym: Tivoli Sentry

Stands For: Key Term

Definition: IBM UNIX monitor; possible replacement of MaestroVision

Category: Technology

Acronym: TLM

Stands For: Transaction Lifecycle Management System (reconciliation system from SmartStream)

Definition:

Category:

Acronym: TM

Stands For: Transition Management

Definition: Extended definition needed

Category: Industry

Acronym: TME

Stands For: Tivoli Managed Enterprise

Definition: Extended definition needed

Category: Technology

Acronym: TMIG

Stands For: Acronym needed

Definition: software that supports a hot backup configuration; used on the SUNs running Lattice

Category: Technology

Acronym: TMU

Stands For: Transaction Monitoring Unit

Definition: Extended definition needed

Category: Industry

Acronym: TNA

Stands For: Total Net Assets

Definition: Measurement of Total Net Assets

Category: Finance

Acronym: ToB

Stands For: Terms of Business

Definition: Extended definition needed

Category: Industry

Acronym: TOFA

Stands For: Taxation of Financial Arrangements

Definition: Rules governing the tax treatment of gains and losses on financial arrangements

Category: Industry

Acronym: TOLAS

Stands For: Acronym needed

Definition: General Ledger System for the Integrated Banking System.

Category: Technology

Acronym: TOLUS

Stands For: Acronym needed

Definition: Tool that helps treasury professionals maximize efficiency, reduce risk and optimize returns.

Category: Technology

Acronym: TOM

Stands For: Target Operating Model

Definition: Extended definition needed

Category: Corporate

Acronym: TOMS

Stands For: Trade Order Management System

Definition: Extended definition needed

Category: Corporate

Acronym: TOP

Stands For: Transaction Origination Policy

Definition: Extended definition needed

Category: Technology

Acronym: TOPIX

Stands For: Tokyo Stock Price Index

Definition: Cap-weighted index of all companies listed on the 1st section of the Tokyo Stock Exchange

Category: Industry

Acronym: TOR

Stands For: Terminal Owning Region

Definition: Extended definition needed

Category: Technology

Acronym: TORC

Stands For: Technology & Operational Risk Committee

Definition: Committee at State Street focused on Technolgy and evaluating Operational Risks.

Category: Corporate

Acronym: Total Dollar Return

Stands For: Key Term

Definition: The actual dollar amounts gained or lost by the issue or portfolio over the horizon period.

Category: Industry

Acronym: Total Rate of Return

Stands For: Key Term

Definition: For a particular horizon period, the sum of coupon income, retired principal, reinvestment income

Category: Industry

Acronym: Total Return

Stands For: Key Term

Definition: Return on an investment, taking into account capital appreciation, dividends or interest, and indi

Category: Industry

Acronym: TPA

Stands For:

Definition: Tactical Pricing Application

Category:

Acronym: TPL

Stands For: Trade Problem Log (Lazard specific)

Definition:

Category:

Acronym: TPRM

Stands For: Third Party Risk Management

Definition: Extended definition needed

Category: Corporate

Acronym: TQM

Stands For: Total Quality Management

Definition: Extended definition needed

Category: Technology

Acronym: TR

Stands For: Trade Repository

Definition: Extended definition needed

Category: Industry

Acronym: TRA

Stands For: Targeted Risk Assessment

Definition: Extended definition needed

Category: Corporate

Acronym: Tracer

Stands For: Key Term

Definition: A document used to provide pass to pass control.

Category: Technology

Acronym: Tracer Group

Stands For: Key Term

Definition: A set of documents inserted into prime pass entries prior to prime pass which are used to identify

Category: Technology

Acronym: Tracking

Stands For: Key Term

Definition: Reporting, until resolution is attained.

Category: Technology

Acronym: Trade

Stands For: Key Term

Definition: The purchase or sale of a security.

Category: Industry

Acronym: Trade Authorization

Stands For: Key Term

Definition: GFAS-MFS Portfolio Accounting, authorize MCH entered Trades to Custody System.

Category: Technology

Acronym: Trade Country

Stands For: Key Term

Definition: The country in which security was transacted.

Category: Industry

Acronym: Trade Currency

Stands For: Key Term

Definition: The currency in which a security was transacted. Usually this is the same as Valuation Currency

Category: Industry

Acronym: Trade Date

Stands For: Key Term

Definition: The date on which a sell or buy transaction is executed. Trade date usually signifies the intended

Category: Industry

Acronym: Trade Entry

Stands For: Key Term

Definition: GFAS Portfolio Accounting.

Category: Technology

Acronym: Trade Exchange Rate

Stands For: Key Term

Definition: The exchange rate that is applicable at the time that a trade is executed. This value is used to calculate the value of a trade.

Category: Industry

Acronym: Trade Price

Stands For: Key Term

Definition: The contracted market value of one unit of a security. Also, see Exchange Rate.

Category: Industry

Acronym: Trade Settlement

Stands For: Key Term

Definition: Delivering securities in return for cash.

Category: Industry

Acronym: Traders

Stands For: Key Term

Definition: Persons who want to take advantage of small price changes in the market by quickly buying and

Category: Industry

Acronym: Trading Symbol

Stands For: Key Term

Definition: An abbreviation for fund names for use with Fidelity's automated brokerage trading and quote se

Category: Industry

Acronym: TraHK

Stands For: Tracker Fund of Hong Kong

Definition: Tracker Fund of Hong Kong; managed by SSgA

Category: Industry

Acronym: TRAM

Stands For: Acronym needed

Definition: (City Bank Network) picks up SWIFT confirms plus more, it is much cheaper than SWIFT Accor

Category: Technology

Acronym: Tranche

Stands For: Key Term

Definition: Also "class." Part of a CMO or asset-backed security. Each tranche has the same documentation

Category: Industry

Acronym: Transaction Receipt Date

Stands For: Key Term

Definition: The date that a transmission (transaction) is received at State Street.

Category: Industry

Acronym: Transaction Type

Stands For: Key Term

Definition: Identifies if a trade is a buy, sell, short sell, buy to cover, open, or close.

Category: Industry

Acronym: Transfer Agent

Stands For: Key Term

Definition: A financial institution contracted by a mutual fund company to prepare and maintain records rela

Category: Industry

Acronym: Transfer Pricing

Stands For: Key Term

Definition: The Bank will quote a client wishing to buy foreign currency a rate of exchange. The Bank itself

Category: Industry

Acronym: Transit

Stands For: Key Term

Definition: The sorting of checks to external destinations.

Category: Technology

Acronym: Treasury Bill (T-Bill)

Stands For: Key Term

Definition: A short term note issued by the US Treasury. T-Bills mature in 13, 26 or 52 weeks after the date

Category: Industry

Acronym: Treasury Bond

Stands For: Key Term

Definition: A fixed-interest U.S. government obligation with a maturity of more than ten years. Degree of risk

Category: Industry

Acronym: Treasury Note

Stands For: Key Term

Definition: A U.S. Treasury-issued security with a maturity of between 2 and 10 years. Treasury notes are i

Category: Industry

Acronym: Treasury Shares

Stands For: Key Term

Definition: Treasury shares are fund shares, which have been issued by the fund and later bought back. In

Category: Industry

Acronym: TREM

Stands For: Transaction Reporting Exchange Mechanism

Definition: Extended definition needed

Category: Industry

Acronym: Trial Balance

Stands For: Key Term

Definition: The main financial report showing Assets, Liabilities, Income, Expenses and Capital for a fund. A

Category: Accounting

Acronym: TRIAX

Stands For: Acronym needed

Definition: Fixed Income System (Bank Portfolio).

Category: Technology

Acronym: TRIPS

Stands For: Touchless Return Item Processing System

Definition: This is a software product that will be licensed at SSC. The vendor is DSS (Data Support Service)

Category: Technology

Acronym: TRL

Stands For: Turkey Lira

Definition: Currency - Turkey Lira

Category: Currency

Acronym: TRN

Stands For: Key Term

Definition: Transaction Reference Number (TRN)

Category: Industry

Acronym: TRP

Stands For: Tone receiver process

Definition: Dial tone indicating available circuit to call.

Category: Technology

Acronym: TRU

Stands For: Acronym needed

Definition: PBX recording software that collects call details and allows on line analysis.

Category: Technology

Acronym: Truncation

Stands For: Key Term

Definition: The act of destroying a check, converting a check into an electronic transaction, or storing it in a

Category: Technology

Acronym: TRUP

Stands For: Transaction Reporting User Pack (FCA)

Definition: Extended definition needed

Category: Industry

Acronym: Trust

Stands For: Key Term

Definition: An arrangement where an entity, such as a bank, acts as trustee and holds title to property for th

Category: Industry

Acronym: Trust 3000

Stands For: Key Term

Definition: SEI system to replace COMPASS processing.

Category: Technology

Acronym: TRUST DEED

Stands For: Key Term

Definition: Every unit trust is constituted by trust deed. This is the constitution document or rule book of the

Category: Industry

Acronym: Trust Officer

Stands For: Key Term

Definition: A person managing trust portfolios and making investment decisions.

Category: Industry

Acronym: Trustee

Stands For: Key Term

Definition: A legal entity that has a fiduciary relationship to ensure that the assets of the trust are invested p

Category: Industry

Acronym: TRY

Stands For: Turkey Lira

Definition: Currency - Turkey Lira

Category: Currency

Acronym: TS

Stands For: Technology Services

Definition: Extended definition needed

Category: Technology

Acronym: TSE 300

Stands For: Key Term

Definition: The Toronto Stock Exchange (TSE) 300 is a market capitalization weighted index of 300 stocks

Category: Industry

Acronym: TSO

Stands For: Time Sharing Option

Definition: Time Sharing Option for MVS, an IBM product, which shares mainframe address space used by

Category: Technology

Acronym: TSOM

Stands For: Target State Operating Model

Definition: Extended definition needed

Category: Industry

Acronym: TSX

Stands For: Tokyo Stock Exchange

Definition: Japan's main stock exchange

Category: Industry

Acronym: TTCA

Stands For: Title Transfer Collateral Arrangement

Definition: Extended definition needed

Category: Industry

Acronym: TTD

Stands For: Trinidad and Tobago Dollar

Definition: Currency - Trinidad and Tobago Dollar

Category: Currency

Acronym: TUCS

Stands For: Wilshire Trust Universe Comparison Service

Definition: Has performance data for 800+ funds; part of Global Services

Category: Industry

Acronym: TUI

Stands For: Telephone user interface

Definition: Extended definition needed

Category: Technology

Acronym: Turnover

Stands For: Key Term

Definition: The number of times that assets, such as inventory or accounts receivable, are replaced on ave

Category: Industry

Acronym: Turnover Rate

Stands For: Key Term

Definition: A measure of the fund's trading activity calculated by dividing total purchases or sales of portfolio

Category: Industry

Acronym: TV

Stands For: Trading Venue

Definition: Extended definition needed

Category: Industry

Acronym: TVD

Stands For: Tuvalu Dollar

Definition: Currency - Tuvalu Dollar

Category: Currency

Acronym: TWA

Stands For: The Way Ahead

Definition: Extended definition needed

Category: Industry

Acronym: TWAP

Stands For: Time Weighted Average Price

Definition: Extended definition needed

Category: Industry

Acronym: TWD

Stands For: Taiwan New Dollar

Definition: Currency - Taiwan New Dollar

Category: Currency

Acronym: U.S. Government Money Market Funds

Stands For: Key Term

Definition: These funds invest only in money market instruments whose timely payment of principal and interest is guaranteed by the U.S. government.

Category: Industry

Acronym: U.S. Government Obligations

Stands For: Key Term

Definition: Debt securities (including bills, notes, and bonds) issued by the U.S. Treasury or issued by an a

Category: Industry

Acronym: U.S. Government Short-Term Fund

Stands For: Key Term

Definition: A mutual fund that invests only in U.S. Treasury and government-agency issues (of a short-term

Category: Industry

Acronym: UAFS

Stands For: User Acceptance Financial Services

Definition: Extended definition needed

Category: Technology

Acronym: UAH

Stands For: Ukraine Hryvnia

Definition: Currency - Ukraine Hryvnia

Category: Currency

Acronym: UAT

Stands For: User Acceptance Testing

Definition: user acceptance testing; a non-production server

Category: Technology

Acronym: UCC

Stands For: Uniform Commercial Code

Definition: Uniform Commercial Code, US business and financial laws.

Category: Regulatory & Legal

Acronym: UCI

Stands For: Unique Counterparty Identifier

Definition: Extended definition needed

Category: Industry

Acronym: UCITS

Stands For: Undertakings For The Collective Investment Of Transferable Securities

Definition: Regulations that allow investment management companies to sell cross-border products. A directive

Category: Regulatory & Legal

Acronym: UFWD

Stands For: Update Forward Prices

Definition: MCH Code For FX Function Update Forward Prices

Category: Accounting

Acronym: UGM

Stands For: Uniform Gifts to Minors Act

Definition: US legislation that provides a tax-effective way of transferring property to minors without the con

Category: Regulatory & Legal

Acronym: UGMR

Stands For: Multi-Currency Variable Rates

Definition: MCH Code For Accrual Function Multi-Currency Variable Rates

Category: Accounting

Acronym: UIT

Stands For: Unit Investment Trust

Definition: Money invested in a portfolio whose composition is fixed for the life of a fund. Shares in a unit trust

Category: Industry

Acronym: UK SMAC

Stands For: UK Securities Movement and Control System.

Definition: UK Custody Reconciliation System

Category: Corporate

Acronym: UMB

Stands For: United Missouri Bank.

Definition: Fiduciary Trust Money Net System, United Missouri Bank.

Category: Industry

Acronym: Unauthorised Overdrafts

Stands For: Key Term

Definition: Unauthorised overdrafts occur where a client has not previously arranged to go into overdraft or

Category: Industry

Acronym: Uncertificated Shares

Stands For: Key Term

Definition: Those shares of a mutual fund which are maintained on the records of the Transfer Agent and th

Category: Industry

Acronym: Uncommitted Facility

Stands For: Key Term

Definition: A written agreement between the Bank and the client that establishes the terms and conditions r

Category: Industry

Acronym: Underlying Asset ID

Stands For: Key Term

Definition: The asset identifier of the security underlying an option, future, or convertible bond.

Category: Industry

Acronym: Underwrite

Stands For: Key Term

Definition: To purchase a bond or note issue from the issuing body to resell it to the general public.

Category: Industry

Acronym: Underwriter

Stands For: Key Term

Definition: 1.A legal entity (investment banker) that assumes the risk of buying securities from an issuer and

Category: Industry

Acronym: Underwriting

Stands For: Key Term

Definition: The process by which a new security issue is purchased by an investment banker on a fixed date

Category: Industry

Acronym: Underwriting Group

Stands For: Key Term

Definition: The firms in an underwriting syndicate that commit capital by buying a new issue for resale in the

Category: Industry

Acronym: Unit Investment Trust (UIT)

Stands For: Key Term

Definition: An SEC registered investment company that invests in a portfolio of securities on behalf of investors

Category: Industry

Acronym: Units

Stands For: Key Term

Definition: Refers to the amount of a holding in an account. It is the actual number of shares represented b

Category: Industry

Acronym: UNIX

Stands For: Acronym needed

Definition: A multi-threaded operating system originated as a research project at AT&T Bell labs in 1969.

Category: Technology

Acronym: Unscheduled Service Interruptions

Stands For: Key Term

Definition: An interruption, caused by an unforeseen application, system, hardware or communication prob

Category: Technology

Acronym: Unsecured Commitment

Stands For: Key Term

Definition: An unsecured commitment is a client limit that has no form of security or collateral against it. Un

Category: Industry

Acronym: Unsecured Debt

Stands For: Key Term

Definition: Debenture bonds that are not secured by a specific pledge of designated property.

Category: Industry

Acronym: Unspecified Seasoning

Stands For: Key Term

Definition: A category created for mortgage generics that fall into no clear seasoning range. Whenever a la

Category: Industry

Acronym: UPI

Stands For: Unique/Universal Product Identifier

Definition: Extended definition needed

Category: Industry

Acronym: UPRC

Stands For: Update Price

Definition: MCH Code For Position Function Update Price

Category: Accounting

Acronym: UPS

Stands For: Uninterrupted Power Supply

Definition: Uninterrupted Power Supply, back up electrical supply to computers and other equipment.

Category: Technology

Acronym: URL

Stands For: Uniform Resource Locator

Definition: Uniform Resource Locator; web pathname

Category: Technology

Acronym: US GAAP

Stands For: Key Term

Definition: Generally Accepted Accounting Practice in the United States.

Category: Industry

Acronym: USD

Stands For: United States Dollar

Definition: Currency - United States Dollar

Category: Currency

Acronym: Use of Funds/Facility Type

Stands For: Key Term

Definition: A category for bonds which states the underlying purpose for issuance of the bonds.

Category: Industry

Acronym: User

Stands For: Key Term

Definition: Customer/Client.

Category: Technology

Acronym: User Portfolio

Stands For: Key Term

Definition: A private portfolio used for testing and "what-if" experimentation. Although most user portfolios a

Category: Industry

Acronym: User-Defined Amortization (UDA)

Stands For: Key Term

Definition: An alternative amortization method that was developed to support amortization calculations not

Category: Industry

Acronym: USFAS

Stands For: United States Financial Assets Services

Definition: United States Financial Assets Services, synonymous with Institutional Investment Services (IIS)

Category: Corporate

Acronym: USI

Stands For: Unique Swap Identifier

Definition: Extended definition needed

Category: Industry

Acronym: USIS

Stands For: US Investor Services

Definition: US Investor Services Division

Category: Corporate

Acronym: UTC

Stands For: Coordinated Universal Time

Definition: The World's Time Standard

Category: Industry

Acronym: UTI

Stands For: Unique Trade Identifier

Definition: Extended definition needed

Category: Industry

Acronym: Uxcode

Stands For: Unique UNIX code

Definition: unique UNIX code assigned to each UNIX process (executable) used by an application by; requ

Category: Technology

Acronym: UYU

Stands For: Uruguay Peso

Definition: Currency - Uruguay Peso

Category: Currency

Acronym: UZS

Stands For: Uzbekistan Som

Definition: Currency - Uzbekistan Som

Category: Currency

Acronym: Validate (Command button)

Stands For: Key Term

Definition: Located in the alert dialogue box on the resolution tab it is used to clear an alert or forward a res

Category: Industry

Acronym: Validated Amount

Stands For: Key Term

Definition: The amount populated when an alert is validated and sent to a reviewer and/or when a reviewer

Category: Industry

Acronym: Valuation

Stands For: Key Term

Definition: Current market price of a security; the current flat price. Depending on the context, the current p

Category: Industry

Acronym: Valuation Currency

Stands For: Key Term

Definition: The currency in which a security is priced. The may be different than the currency in which a sec

Category: Industry

Acronym: Value Date

Stands For: Key Term

Definition: This is the actual date that a transaction should be reflected in the relevant account. The value of

Category: Industry

Acronym: Value Investing

Stands For: Key Term

Definition: Investing in securities that are believed to have significant potential earnings growth.

Category: Industry

Acronym: Value of One Point

Stands For: Key Term

Definition: See Multiplier.

Category: Industry

Acronym: Value Stocks

Stands For: Key Term

Definition: Stocks that are considered to be undervalued, either according to their book value or their current

Category: Industry

Acronym: VaR

Stands For: Value at Risk

Definition: Technique used to estimate the probability of portfolio losses (risk losses)

Category: Finance

Acronym: Variable rates

Stands For: Key Term

Definition: Interest rates that are not fixed. The rates change based on a predefined reset schedule or are '

Category: Industry

Acronym: Variable Selling Costs

Stands For: Key Term

Definition: Selling expenses that change as activity levels change.

Category: Industry

Acronym: Variable-Rate Bond

Stands For: Key Term

Definition: A security or tranche with interest rates that change over time, but for which the schedule of cha

Category: Industry

Acronym: VAT

Stands For: Value-added Tax

Definition: Government tax on the sale of goods and services

Category: Finance

Acronym: Vault

Stands For: Key Term

Definition: A safely guarded and secure place within a bank or brokerage firm where client assets are kept.

Category: Industry

Acronym: VAX

Stands For: Acronym needed

Definition: Digital Equipment Corporation midrange computer processor.

Category: Technology

Acronym: Vector Analysis

Stands For: Key Term

Definition: A user-definable prepayment model for mortgage-backed securities. The vector-analysis model

Category: Industry

Acronym: Vega

Stands For: Key Term

Definition: A measure of the sensitivity of an option's price to a change in volatility.

Category: Industry

Acronym: Veritas

Stands For: Key Term

Definition: vendor of FirstWatch high availability software

Category: Technology

Acronym: VEXR

Stands For: Verify Exchange Rate

Definition: MCH Code For Exchange Rate Function Verify Exchange Rate

Category: Accounting

Acronym: ViewPoint

Stands For: Key Term

Definition: Console event message viewer software for Tandem, also see CTT and OutsideView.

Category: Technology

Acronym: VM

Stands For: Virtual Machine

Definition: IBM's Virtual Machine Operating System.

Category: Technology

Acronym: VMU

Stands For: Virtual matching utility

Definition: virtual matching utility; to streamline the settlement process during T+1 processing; examples in

Category: Technology

Acronym: VMW

Stands For: Variation Margin Wire

Definition: Variation Margin Wire; application; familiarly known as Payments; upgraded April '98. Variation M

Category: Technology

Acronym: VoIP

Stands For: Voice over IP

Definition: voice over IP; convergence of voice, video, and data

Category: Technology

Acronym: Volatility

Stands For: Key Term

Definition: The relative rate at which prices move up and down, as compared to the movement of the DJIA,

Category: Industry

Acronym: VP

Stands For: Vice President

Definition: Vice President - Role

Category: Corporate

Acronym: VPN

Stands For: Virtual private network

Definition: virtual private network

Category: Technology

Acronym: VPS

Stands For: Virtual Print System

Definition: Extended definition needed

Category: Technology

Acronym: VR

Stands For: Vendor Repository

Definition: Extended definition needed

Category: Technology

Acronym: VRA

Stands For: Vanguard RACF Administrator

Definition: Extended definition needed

Category: Technology

Acronym: VRD

Stands For: Volunteer Release Day

Definition: Day where employees are allowed to miss work in order to participate in a volunteer event

Category: Human Resources

Acronym: VRU

Stands For: Voice Response Unit

Definition: Extended definition needed

Category: Technology

Acronym: VRU/CSR

Stands For: Voice Response Unit / Customer Service Representative

Definition: Voice Response Unit / Customer Service Representative, RIS automated telephone and SSB en

Category: Technology

Acronym: VSAM

Stands For: Virtual Storage Access Method (software)

Definition: Extended definition needed

Category: Technology

Acronym: VTAM

Stands For: Virtual Telecommunications Access Method (software)

Definition: Extended definition needed

Category: Technology

Acronym: VVR

Stands For: Veritas Volume Replicator

Definition: VVR is a data replication tool designed to maintain a consistent copy of application data at a remote location.

Category: Technology

Acronym: VWAP

Stands For: Volume-Weighted Average Price

Definition: A measure of the price at which the majority of a given day's trading in a given security took place

Category: Finance

Acronym: Wages Payable

Stands For: Key Term

Definition: Money owed to employees.

Category: Industry

Acronym: WAN

Stands For: Wide Area Network

Definition: Wide Area Network, a LAN of LANs.

Category: Technology

Acronym: WAP

Stands For: Wireless application protocol

Definition: Extended definition needed

Category: Technology

Acronym: War Dialing

Stands For: Key Term

Definition: An automated process of dialing large groups (exchanges) of telephone numbers to see which c

Category: Technology

Acronym: Warrant

Stands For: Key Term

Definition: The right to purchase one or more shares of stock. Warrants are usually attached to other issues

Category: Industry

Acronym: Warrants

Stands For: Key Term

Definition: Long-term call options issued by a company that allow the purchase of common stock at a price

Category: Industry

Acronym: WAS

Stands For: WebSphere Application Server

Definition: Extended definition needed

Category: Technology

Acronym: WATCH

Stands For: Key Term

Definition: The Worldwide Automated Transaction Clearing House is a banking industry-driven initiative to

Category: Industry

Acronym: Watch or WatchList

Stands For: Key Term

Definition: Commercial Banking. Risky customer ongoing status/ analysis/ exception reporting.

Category: Technology

Acronym: Waterfall

Stands For: Key Term

Definition: The effect of preparing a group of checks for further sorting.

Category: Technology

Acronym: WEI

Stands For: World Equity Indices

Definition: Indices for Global Equity Investments

Category: Industry

Acronym: Weighted Average Coupon

Stands For: Key Term

Definition: Abbreviated "WAC." The weighted average of the gross coupons for the mortgages underlying t

Category: Industry

Acronym: Weighted Average Life

Stands For: Key Term

Definition: Abbreviated "WAL." For a portfolio or mortgage pool, the dollar-weighted time (in years) to repay

Category: Industry

Acronym: Weighted Average Loan Age

Stands For: Key Term

Definition: Abbreviated as "WALA." For mortgage-backed securities, an estimate of the weighted average m

Category: Industry

Acronym: Weighted Average Maturity

Stands For: Key Term

Definition: Abbreviated "WAM." For mortgage pools, the weighted average of the remaining terms of the un-

Category: Industry

Acronym: Westborough

Stands For: Key Term

Definition: State Street's second, Class "A" Data Center, located in Westborough, MA.

Category: Technology

Acronym: When Issued

Stands For: Key Term

Definition: A term to describe a buy or sell of an un-issued security. The security is authorized but at the time

Category: Industry

Acronym: WHM

Stands For: Warehouse Manager

Definition: Warehouse Manager (software included in CSW for storing data)

Category: Technology

Acronym: WHS

Stands For: Web Hosting Services

Definition: Web Hosting Services; unit of NMS

Category: Technology

Acronym: WHT

Stands For: With Holding Tax

Definition: Tax deducted from dividends and interest on investments which are paid to foreign investors. The

Category: Industry

Acronym: WIM&CS

Stands For: Worldwide Investment Manager & Consultant Services

Definition: Business Unit

Category: Corporate

Acronym: WinFast

Stands For: Key Term

Definition: Customer Financial Statement information repository and reporting system, Financial Technology

Category: Technology

Acronym: Wintrade

Stands For: Key Term

Definition: Back office International Trade Banking System which incorporates General Ledger, Letters of C

Category: Technology

Acronym: Wire Staging

Stands For: Key Term

Definition: Corporate Trust Wire Transfer Feeder System.

Category: Technology

Acronym: Wire Transfer

Stands For: Key Term

Definition: An old term used to describe the transfer of funds using an electronic payment system.

Category: Industry

Acronym: WISP

Stands For: Written Information Security Procedure

Definition: Extended definition needed

Category: Industry

Acronym: WIT

Stands For: Wire Integrated Transfer

Definition: Extended definition needed

Category: Technology

Acronym: Withdrawal Plans

Stands For: Key Term

Definition: A mutual fund program in which shareholders elect to receive specified payments from their acco

Category: Industry

Acronym: WITHDRAWAL CHARGE

Stands For: Key Term

Definition: Some funds charge investors for redeeming their money although this is normally only for a cert

Category: Industry

Acronym: Withholding Tax (WHT)

Stands For: Key Term

Definition: Tax deducted from dividends and interest on investments which are paid to foreign investors. The

Category: Industry

Acronym: Withholding tax

Stands For: Key Term

Definition: A tax assessed by the relevant tax authority on dividends and interest. Tax rates can vary by ma

Category: Industry

Acronym: WITS

Stands For: Wire Integrated Transfer System

Definition: Wire Integrated Transfer System.

Category: Technology

Acronym: WM

Stands For: Key Term

Definition: A State Street company providing performance measurement, risk analytics, market data service

Category: Corporate

Acronym: WMS

Stands For: Wealth Management Services

Definition: Business Unit focused on high net work individuals

Category: Corporate

Acronym: Workflow Policy

Stands For: Key Term

Definition: The definition of the alerts that are activated for a fund or group of funds. The business rules of t

Category: Industry

Acronym: Workgroup Policy

Stands For: Key Term

Definition: A list of fund participants identified as Releasers, Reviewers and Escalators. Priority one alerts a

Category: Industry

Acronym: World Bond funds

Stands For: Key Term

Definition: World bond funds seek current income by investing in the debt securities of foreign companies a

Category: Industry

Acronym: World Equity funds

Stands For: Key Term

Definition: World Equity funds invest primarily in stocks of foreign companies. See Emerging Markets Funds

Category: Industry

Acronym:

Stands For:

Definition: Wall Street Office bank loan system (vendor product)

Category:

Acronym: WSS

Stands For: Wall Street System

Definition: Extended definition needed

Category: Corporate

Acronym: WTO

Stands For: World Trade Organization

Definition: An independent international agency that administers global trade agreements and resolves disputes

Category: Institution or Association

Acronym: XCAN

Stands For: FX Reversal

Definition: MCH Code For FX Function FX Reversal

Category: Accounting

Acronym: XCCS

Stands For: Capital Stock Share Transaction Setup

Definition: MCH Code For Capstock Function Capital Stock Share Transaction Setup

Category: Accounting

Acronym: Xentis

Stands For: Key Term

Definition: For reporting.

Category: Technology

Acronym: XLS

Stands For: Excel reporting

Definition:

Category:

Acronym: XPOP

Stands For: Original Face Adjustment

Definition: MCH Code For Position Function Original Face Adjustment

Category: Accounting

Acronym: XSP

Stands For:

Definition: XcitekSolutionsPlus Corporate Actions system (vendor product)

Category:

Acronym: Y2K

Stands For: Year 2000

Definition: Refers to the year 2000. Date change created numerous system issues due to date formatting c

Category: Technology

Acronym: Yankee Bonds (Yankee Credits)

Stands For: Key Term

Definition: Dollar-denominated bonds issued in the U.S. by foreign banks and corporations.

Category: Industry

Acronym: Years to Maturity

Stands For: Key Term

Definition: The remaining term of a security, excluding any options or prepayment features.

Category: Industry

Acronym: Year-to-Date Price Change

Stands For: Key Term

Definition: The price difference of a security between the end of last year and the price displayed.

Category: Industry

Acronym: Yield

Stands For: Key Term

Definition: The percentage of return an investor receives, based on the amount invested or on the current m

Category: Industry

Acronym: Yield Curve

Stands For: Key Term

Definition: The relationship at a given point in time between yields on a group of fixed-income securities with

Category: Industry

Acronym: Yield Duration

Stands For: Key Term

Definition: If the security has no options, the yield duration equals the modified duration. However, if the se

Category: Industry

Acronym: Yield Enhancement

Stands For: Key Term

Definition: Strategies designed to increase the returns on an investment.

Category: Industry

Acronym: Yield to Best Put

Stands For: Key Term

Definition: The highest yield to all possible put dates, including maturity. The list of valid put dates is determ

Category: Industry

Acronym: Yield to Call

Stands For: Key Term

Definition: Yield on a bond assuming the bond will be redeemed by the issuer at the first call date specified

Category: Industry

Acronym: Yield to Fastest Sink

Stands For: Key Term

Definition: A bullet yield to the average-life date. This yield type incorporates the exercise of all options (wh

Category: Industry

Acronym: Yield to Longest Average Life

Stands For: Key Term

Definition: A bullet yield to the longest average-life date. To find this date, the program compares the sink s

Category: Industry

Acronym: Yield To Maturity

Stands For: Key Term

Definition: Used to determine the rate of return an investor will receive if a long-term, interest-bearing inves

Category: Industry

Acronym: Yield to Maturity Spread

Stands For: Key Term

Definition: Also "YTM spread" and "index spread." A spread off an index-- LIBOR, COFI, etc.--rather than o

Category: Industry

Acronym: Yield to Next Call

Stands For: Key Term

Definition: The yield to the next possible call, refundable or otherwise (including continuous calls).If the bor

Category: Industry

Acronym: Yield to Next Put

Stands For: Key Term

Definition: The yield to the next put date.

Category: Industry

Acronym: Yield to Refund Date

Stands For: Key Term

Definition: The yield to the next refundable call (including continuous calls).If the refund date does not match

Category: Industry

Acronym: Yield to Scheduled Sink

Stands For: Key Term

Definition: A bullet yield to the average-life date. This yield type incorporates all scheduled mandatory sinks

Category: Industry

Acronym: Yield to Shortest Average Life

Stands For: Key Term

Definition: A bullet yield to the average-life date. This yield type incorporates the exercise of all sink options

Category: Industry

Acronym: Yield to Slowest Sink

Stands For: Key Term

Definition: A bullet yield to the average-life date. This yield type incorporates the sinking-fund schedule and

Category: Industry

Acronym: Yield to User Date

Stands For: Key Term

Definition: A yield to a user-specified date and redemption price.

Category: Industry

Acronym: Yield to Weighted Average Maturity

Stands For: Key Term

Definition: The rate, expressed as a percentage, that equates the net present value of all future cash flows

Category: Industry

Acronym: Yield to Worst

Stands For: Key Term

Definition: The lowest cash-flow yield that accounts for all calls and optional sinks.

Category: Industry

Acronym: Yield to Worst Call

Stands For: Key Term

Definition: The lowest yield to all possible call dates, including maturity and continuous-call notification date

Category: Industry

Acronym: Yield Value of a 32nd

Stands For: Key Term

Definition: YV32 is an estimate of the change in the yield of a bond for a $1/32$ change in the full price.

Category: Industry

Acronym: Yields

Stands For: Key Term

Definition: Return calculations that take into consideration principal payments and income streams.

Category: Industry

Acronym: Yields to Various Redemption Dates

Stands For: Key Term

Definition: Rate of return an investor will receive, taking into account purchase price, redemption value, cou

Category: Industry

Acronym: Zero-Coupon Bond

Stands For: Key Term

Definition: A bond where no periodic interest payments are made. The investor purchases the bond at a discount to its face value.

Category: Industry