

# Design choices

During validation process, when choosing the best hyper-parameter of fully-connected feed-forward neural networks, we cannot experiment with all the possible choices of hyper-parameters due to time constraints. Hence, we sequentially select the hyper-parameters based on the following model-building process.

- 1) Preprocess: input scale( $c$ )
- 2) Building the model: number of hidden layers( $nL$ ), number of hidden units( $nU$ )
- 3) Compiling the model: learning rate( $\eta$ ), momentum( $\alpha$ )
- 4) Training the model: batch size( $B$ )

That is, we experiment with different input scales, while fixing all the other hyper-parameters( $nL = 1, nU = 100, \eta = 0.01, \alpha = 0, B = 32$ ). Then, with the best scale-hyper parameter, we choose the best  $nL, nU$ , while fixing  $\eta = 0.01, \alpha = 0, B = 32$ , and so on.

The hyper-parameters are selected from following sets:

$$\begin{aligned}c &\in \{1/100, 1/10, 1, 10, 100\} \\nL &\in \{0, 1, 2\} \\nU &\in \{1, 5, 10, 50, 100, 500, 1000\} \\\eta &\in \{0.001, 0.01, 0.1, 1\} \\\alpha &\in \{0, 0.001, 0.01, 0.1, 1\} \\B &\in \{8, 16, 32, 64, 128\}\end{aligned}$$

Most of all, we select the best hyper-parameters based on overall accuracy of validation data. We repeat computing the accuracy of validation data for five times and test the value based on its mean and 95% confidence interval. (Assuming that the mean accuracy of five repetition is normally distributed.)

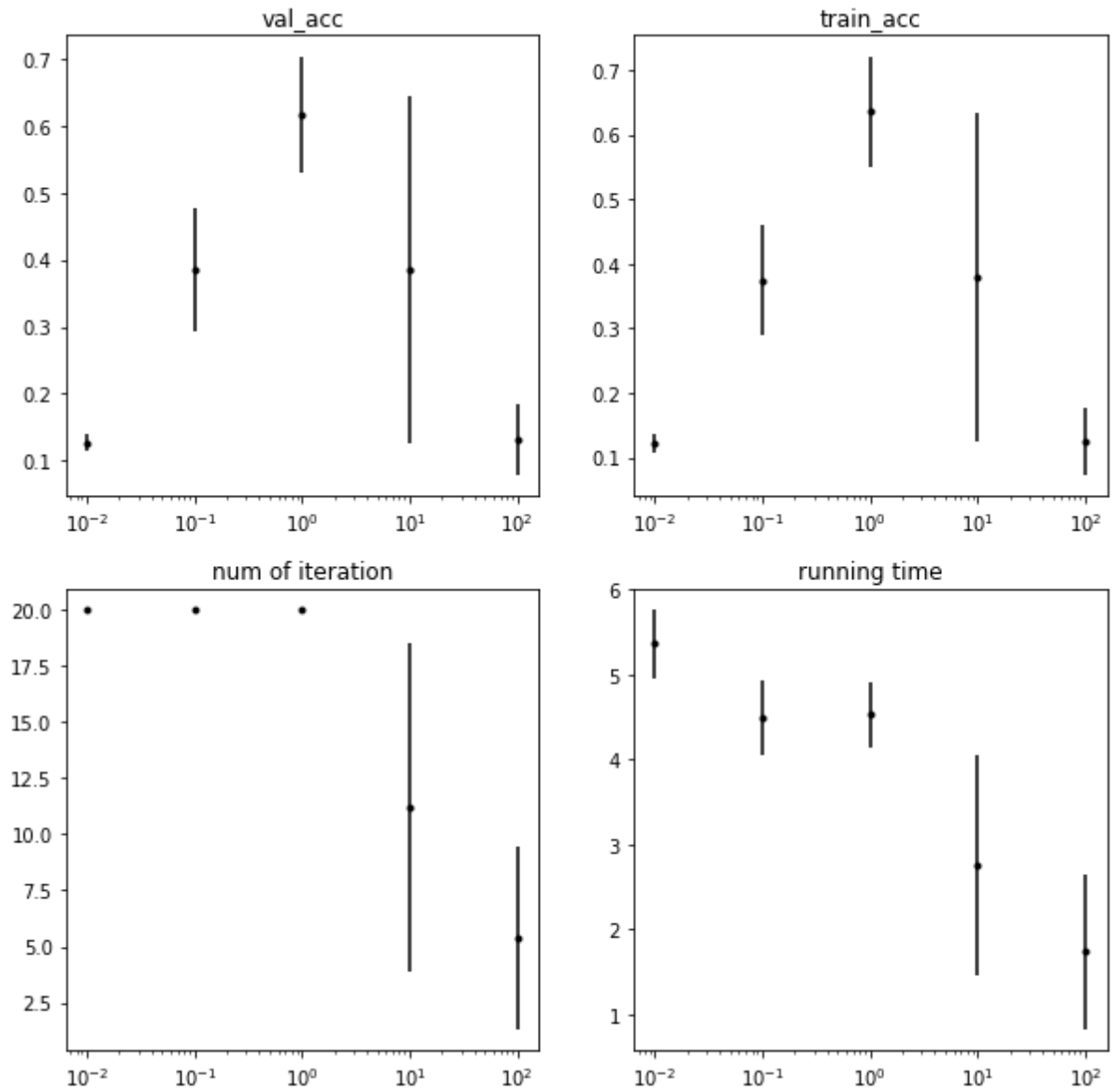
Experimenting with convolutional network, a series of hyper parameters were selected at the same time, not sequentially, and we choose the best hyper-parameter based on the overall classification accuracy of validation data without replication to save time.

## Fully-connected feed-forward neural network

### Sum-of-square error vs. cross-entropy error

#### Hyperparameter for sum-of-square error; ReLU

```
In [4]: M = 5
scale = [1/100, 1/10, 1, 10, 100]
nHiddenlayers = [0, 1, 2]
nHiddenunits = [1, 5, 10, 50, 100, 500, 1000]
lr = [0.001, 0.01, 0.1, 1]
momentum = [0, 0.001, 0.01, 0.1, 1]
batch_size = [8, 16, 32, 64, 128]
scale1, nHiddenlayers1, nHiddenunits1, lr1, momentum1, batch_size1 = hyperpara
(
    M = M, loss = "mse", activation = "relu",
    scale = scale, nHiddenlayers = nHiddenlayers, nHiddenunits = nHiddenunits,
    lr = lr, momentum = momentum, batch_size = batch_size
)
```



The best scale hyper parameter is scale = 1  
Accuracy of validation set

	1	5	10	50	100	500	1000
<b>0</b>	0.3137 (0.1465, 0.481)	0.3733 (0.312, 0.4347)	0.4068 (0.2608, 0.5528)	0.383 (0.2939, 0.4721)	0.4193 (0.337, 0.5017)	0.4058 (0.3155, 0.496)	0.4586 (0.4342, 0.4829)
<b>1</b>	0.1127 (0.0629, 0.1625)	0.268 (0.1978, 0.3382)	0.2918 (0.1849, 0.3986)	0.5127 (0.3805, 0.6448)	0.7409 (0.6861, 0.7957)	0.8497 (0.7484, 0.9509)	0.9621 (0.9538, 0.9704)
<b>2</b>	0.0988 (0.0887, 0.1089)	0.2146 (0.0902, 0.3391)	0.2871 (0.2358, 0.3383)	0.6562 (0.5002, 0.8122)	0.7791 (0.7174, 0.8408)	0.9284 (0.8671, 0.9897)	0.9697 (0.9653, 0.974)

The best accuracy of validation set is attained when # of hidden layers = 2 ,  
# of hidden units = 1000

Accuracy of training set

	1	5	10	50	100	500	1000
0	0.3211 (0.1775, 0.4648)	0.3731 (0.3214, 0.4248)	0.4017 (0.2495, 0.5539)	0.3844 (0.3021, 0.4667)	0.4249 (0.332, 0.5178)	0.4015 (0.3279, 0.4752)	0.4608 (0.4407, 0.4808)
1	0.1222 (0.0727, 0.1717)	0.2663 (0.1954, 0.3372)	0.2889 (0.1956, 0.3823)	0.5277 (0.3811, 0.6744)	0.7443 (0.6758, 0.8129)	0.8585 (0.7569, 0.9602)	0.9772 (0.9741, 0.9802)
2	0.1023 (0.0991, 0.1055)	0.2162 (0.0881, 0.3442)	0.2929 (0.2583, 0.3276)	0.6604 (0.5068, 0.814)	0.7922 (0.7356, 0.8487)	0.9397 (0.8737, 1.0057)	0.9825 (0.9806, 0.9844)

The best accuracy of training set is attained when # of hidden layers = 2 , # of hidden units = 1000

Number of iteration

	1	5	10	50	100	500	1000
0	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)
1	19.6 (18.4894, 20.7106)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)
2	13.2 (1.6385, 24.7615)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)

The best number of iteration is attained when # of hidden layers = 2 , # of hidden units = 1

Running time

	1	5	10	50	100	500	1000
0	4.8483 (3.7397, 5.9568)	4.936 (3.6262, 6.2457)	4.2386 (3.6518, 4.8254)	4.1315 (3.6236, 4.6393)	4.2627 (3.8658, 4.6595)	4.4446 (4.0107, 4.8785)	4.1836 (3.9625, 4.4046)
1	4.2021 (3.6386, 4.7657)	4.7875 (4.1744, 5.4005)	4.4798 (3.9958, 4.9639)	4.5196 (4.0604, 4.9787)	4.4486 (3.8622, 5.0349)	5.1474 (4.8221, 5.4728)	6.0417 (5.5014, 6.5819)
2	4.1231 (1.2625, 6.9836)	4.5684 (4.0676, 5.0693)	4.4961 (3.8515, 5.1406)	4.7277 (4.2084, 5.247)	4.8911 (4.5439, 5.2383)	12.4546 (11.9509, 12.9582)	34.3712 (31.0611, 37.6814)

The best running time is attained when # of hidden layers = 2 , # of hidden units = 1

The best number of hidden layers is nHiddenlayers = 2

The best number of hidden units is nHiddenunits = 1000

Accuracy of validation set

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	0.7914 (0.7413, 0.8414)	0.8711 (0.8157, 0.9265)	0.7895 (0.7314, 0.8476)	0.7848 (0.722, 0.8477)	0.8784 (0.7896, 0.9673)
<b>0.01</b>	0.9689 (0.9665, 0.9713)	0.9503 (0.9049, 0.9957)	0.9686 (0.9647, 0.9726)	0.9715 (0.9667, 0.9763)	0.6112 (0.4991, 0.7234)
<b>0.1</b>	0.9786 (0.972, 0.9851)	0.9757 (0.9672, 0.9842)	0.9697 (0.9609, 0.9784)	0.9749 (0.9698, 0.98)	0.0993 (0.0912, 0.1075)
<b>1.0</b>	0.274 (-0.2191, 0.767)	0.0975 (0.0933, 0.1017)	0.0957 (0.09, 0.1014)	0.1007 (0.0898, 0.1115)	0.1035 (0.0917, 0.1153)

Accuracy of training set

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	0.791 (0.7395, 0.8425)	0.8731 (0.8046, 0.9416)	0.781 (0.7179, 0.844)	0.7886 (0.7364, 0.8407)	0.8776 (0.7774, 0.9779)
<b>0.01</b>	0.9829 (0.9811, 0.9846)	0.9631 (0.9092, 1.017)	0.9836 (0.9827, 0.9846)	0.9844 (0.9822, 0.9867)	0.6037 (0.4812, 0.7262)
<b>0.1</b>	0.9945 (0.9903, 0.9987)	0.9917 (0.9858, 0.9976)	0.9878 (0.979, 0.9965)	0.9928 (0.9858, 0.9998)	0.1012 (0.0985, 0.104)
<b>1.0</b>	0.2806 (-0.2181, 0.7793)	0.1012 (0.1003, 0.1021)	0.1027 (0.1004, 0.105)	0.0992 (0.0952, 0.1032)	0.0993 (0.0952, 0.1034)

Number of iteration

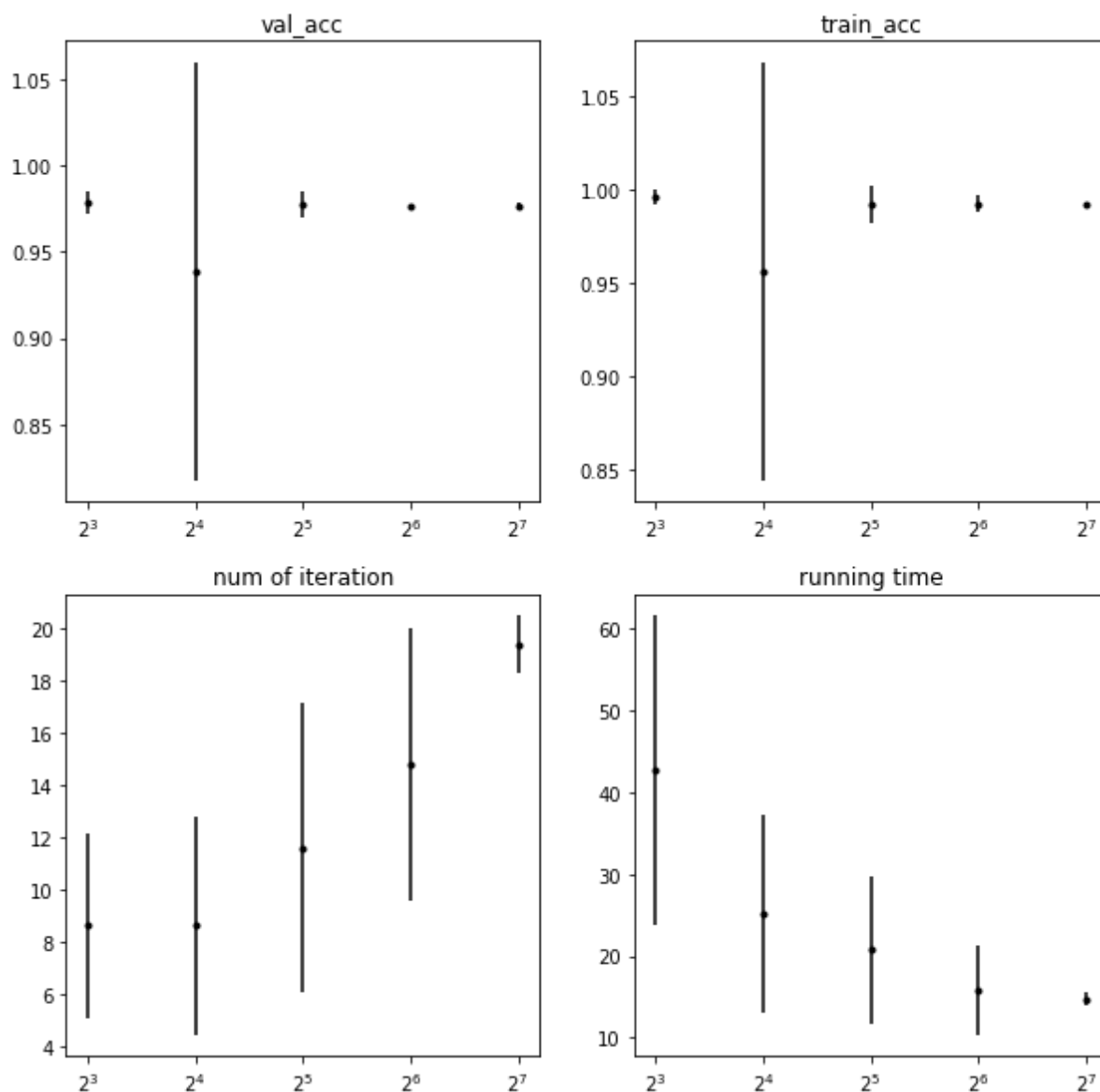
	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	5.8 (2.7083, 8.8917)
<b>0.01</b>	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	4.4 (2.3223, 6.4777)
<b>0.1</b>	11.6 (7.6151, 15.5849)	8.8 (5.4683, 12.1317)	10.4 (5.7874, 15.0126)	10.2 (7.2356, 13.1644)	3.0 (3.0, 3.0)
<b>1.0</b>	4.4 (0.513, 8.287)	3.6 (1.9341, 5.2659)	3.0 (3.0, 3.0)	3.4 (2.2894, 4.5106)	3.0 (3.0, 3.0)

Running time

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	35.8612 (34.692, 37.0305)	38.7949 (36.4439, 41.1459)	37.8698 (37.1626, 38.5769)	38.3274 (34.8935, 41.7613)	11.3099 (5.8704, 16.7493)
<b>0.01</b>	36.8232 (32.6254, 41.0209)	38.2655 (37.467, 39.0639)	39.3911 (36.0127, 42.7695)	35.8582 (35.3977, 36.3188)	9.8816 (5.822, 13.9411)
<b>0.1</b>	19.8715 (13.3599, 26.383)	16.65 (9.9745, 23.3255)	20.3154 (11.9734, 28.6575)	19.5185 (14.4659, 24.5711)	6.23 (5.6493, 6.8107)
<b>1.0</b>	8.5353 (1.2297, 15.841)	7.1028 (4.1091, 10.0966)	6.1477 (5.4858, 6.8095)	6.8892 (4.756, 9.0225)	6.3643 (5.2068, 7.5218)

The best number of learning rate is eta = 0.1

The best number of momentum is alpha = 0



The best batch size hyper parameter is batch\_size = 8

The hyper parameters are as follows:

```
In [5]: scale1, nHiddenlayers1, nHiddenunits1, lr1, momentum1, batch_size1
```

```
Out[5]: (1, 2, 1000, 0.1, 0.0, 8)
```

## Performance of sum-of-square error; ReLU

```
In [29]: overallacc_test1, classacc_test1, con_mat_test1, overallacc_train1, classacc_train1, con_mat_train1, model_summary = perform(
    loss = "mse", activation = "relu", scale = scale1,
    nHiddenlayers = nHiddenlayers1, nHiddenunits = nHiddenunits1,
    lr = lr1, momentum = momentum1, batch_size = batch_size1)
print(model_summary)
```

Model: "sequential\_774"

Layer (type)	Output Shape	Param #
dense_1929 (Dense)	multiple	65000
dense_1930 (Dense)	multiple	1001000
dense_1931 (Dense)	multiple	10010
Total params: 1,076,010		
Trainable params: 1,076,010		
Non-trainable params: 0		
None		

```
In [30]: print("Overall classification Accuracy for training data = %.4f\n" % overallacc_train1)
print("Class Accuracy for training data")
print(classacc_train1)
print("Confusion matrix for training data")
print(con_mat_train1)

print("-----")

print("Overall classification Accuracy for test data = %.4f\n" % overallacc_test1)
print("Class Accuracy for test data")
print(classacc_test1)
print("Confusion matrix for test data")
print(con_mat_test1)
```



Overall classification Accuracy for training data = 0.9937

Class Accuracy for training data

	precision	recall	f1-score	support
0	1.00	1.00	1.00	376
1	1.00	0.97	0.99	389
2	0.99	0.99	0.99	380
3	0.98	0.99	0.99	389
4	0.99	1.00	1.00	387
5	1.00	0.99	0.99	376
6	0.99	1.00	1.00	377
7	1.00	1.00	1.00	387
8	0.99	1.00	0.99	380
9	0.98	0.99	0.99	382
accuracy			0.99	3823
macro avg	0.99	0.99	0.99	3823
weighted avg	0.99	0.99	0.99	3823

Confusion matrix for training data

```
[[1.  0.  0.  0.  0.  0.  0.  0.  0.  0. ]
 [0.  0.97 0.01 0.01 0.  0.  0.  0.  0.01 0.01]
 [0.  0.  0.99 0.  0.  0.  0.  0.  0.  0. ]
 [0.  0.  0.  0.99 0.  0.  0.  0.  0.  0. ]
 [0.  0.  0.  0.  1.  0.  0.  0.  0.  0. ]
 [0.  0.  0.  0.  0.  0.99 0.  0.  0.  0.01]
 [0.  0.  0.  0.  0.  0.  1.  0.  0.  0. ]
 [0.  0.  0.  0.  0.  0.  0.  1.  0.  0. ]
 [0.  0.  0.  0.  0.  0.  0.  0.  1.  0. ]
 [0.  0.  0.  0.01 0.  0.  0.  0.  0.  0.99]]
```

-----  
Overall classification Accuracy for test data = 0.9649

Class Accuracy for test data

	precision	recall	f1-score	support
0	1.00	0.99	1.00	178
1	0.97	0.95	0.96	182
2	0.99	0.98	0.99	177
3	0.96	0.98	0.97	183
4	0.97	0.98	0.98	181
5	0.98	0.98	0.98	182
6	0.99	0.98	0.98	181
7	0.99	0.92	0.95	179
8	0.94	0.93	0.94	174
9	0.86	0.97	0.91	180
accuracy			0.96	1797
macro avg	0.97	0.96	0.97	1797
weighted avg	0.97	0.96	0.97	1797

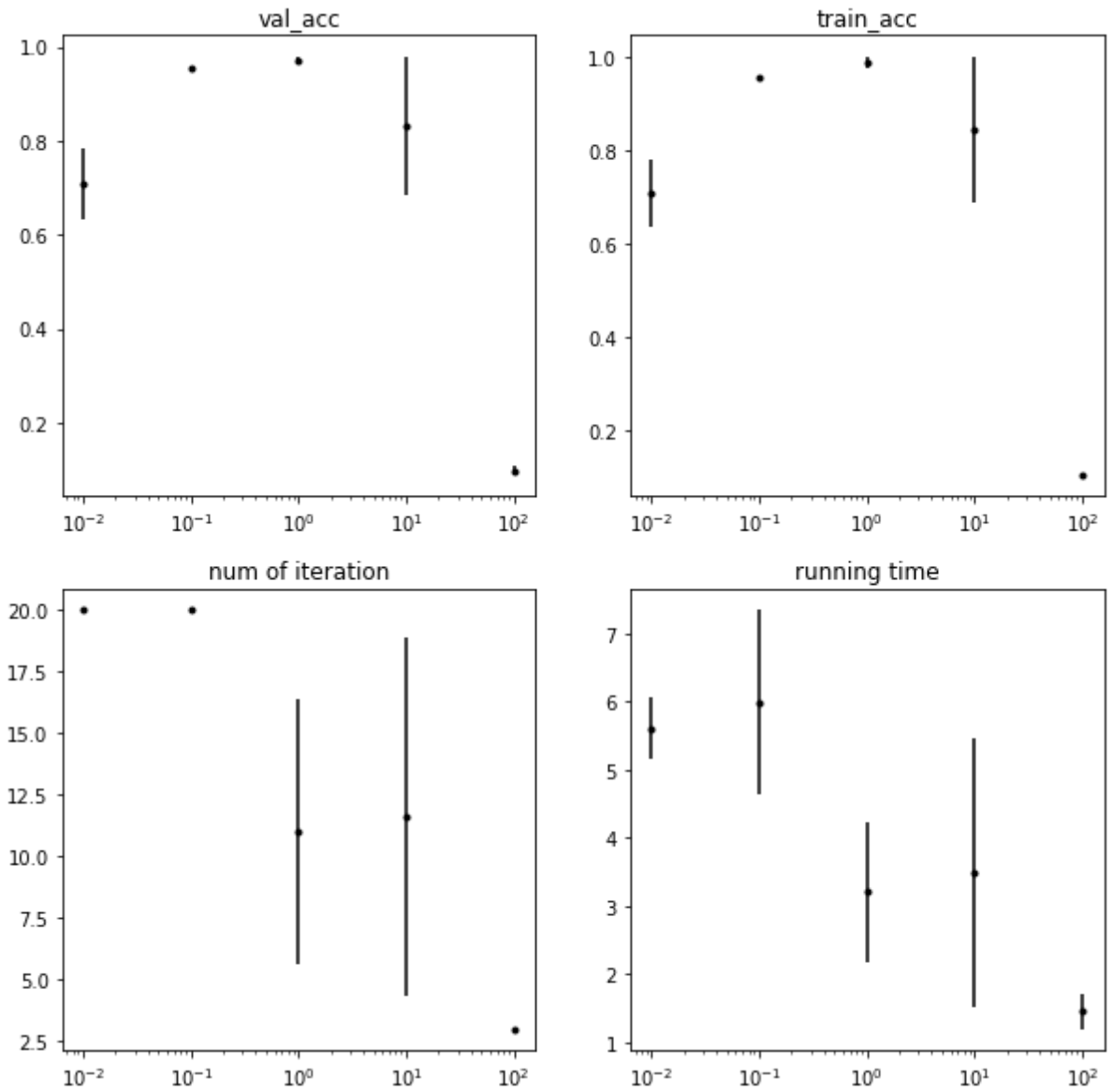
Confusion matrix for test data

```
[[0.99 0.  0.  0.  0.01 0.  0.  0.  0.  0. ]
 [0.  0.95 0.01 0.  0.  0.  0.01 0.  0.02 0.02]
 [0.  0.01 0.98 0.01 0.  0.  0.  0.01 0.  0. ]
 [0.  0.  0.  0.98 0.  0.01 0.  0.  0.01 0.01]]
```

```
[0.  0.  0.  0.  0.98 0.  0.  0.  0.01 0.02]
[0.  0.  0.  0.01 0.  0.98 0.  0.  0.  0.02]
[0.  0.  0.  0.  0.02 0.  0.98 0.  0.01 0. ]
[0.  0.  0.  0.  0.  0.01 0.  0.92 0.01 0.07]
[0.  0.03 0.  0.01 0.  0.  0.01 0.  0.93 0.02]
[0.  0.  0.  0.01 0.01 0.01 0.  0.  0.01 0.97]]
```

**Hyperparameter for cross-entropy error; ReLU**

```
In [6]: scale2, nHiddenlayers2, nHiddenunits2, lr2, momentum2, batch_size2 = hyperpara
(
    M = M, loss = "categorical_crossentropy", activation = "relu",
    scale = scale, nHiddenlayers = nHiddenlayers, nHiddenunits = nHiddenunits,
    lr = lr, momentum = momentum, batch_size = batch_size
)
```



The best scale hyper parameter is scale = 1  
Accuracy of validation set

	1	5	10	50	100	500	1000
<b>0</b>	0.9519 (0.938, 0.9658)	0.9527 (0.9455, 0.9599)	0.9495 (0.9422, 0.9569)	0.9529 (0.9444, 0.9615)	0.9535 (0.9398, 0.9671)	0.9537 (0.9528, 0.9546)	0.948 (0.9293, 0.9666)
<b>1</b>	0.2055 (0.1914, 0.2196)	0.7169 (0.6067, 0.827)	0.9417 (0.9333, 0.9501)	0.9694 (0.9606, 0.9782)	0.9634 (0.9519, 0.9749)	0.9718 (0.9606, 0.9829)	0.9715 (0.958, 0.9851)
<b>2</b>	0.138 (0.061, 0.2151)	0.7827 (0.679, 0.8865)	0.9213 (0.9074, 0.9352)	0.9618 (0.9466, 0.977)	0.9668 (0.9534, 0.9802)	0.9757 (0.9679, 0.9834)	0.9791 (0.9706, 0.9876)

The best accuracy of validation set is attained when # of hidden layers = 2 ,  
# of hidden units = 1000

Accuracy of training set

	1	5	10	50	100	500	1000
0	0.9641 (0.9486, 0.9796)	0.9617 (0.9515, 0.9719)	0.9646 (0.9529, 0.9763)	0.9657 (0.955, 0.9764)	0.9659 (0.9517, 0.9801)	0.9677 (0.9643, 0.9711)	0.9595 (0.9373, 0.9817)
1	0.2166 (0.2023, 0.2309)	0.7173 (0.6055, 0.8291)	0.9506 (0.9413, 0.9599)	0.9872 (0.9792, 0.9951)	0.9835 (0.9654, 1.0017)	0.9872 (0.9662, 1.0081)	0.9915 (0.9789, 1.0041)
2	0.15 (0.0714, 0.2286)	0.7801 (0.6709, 0.8894)	0.934 (0.9154, 0.9526)	0.9757 (0.9552, 0.9962)	0.9854 (0.971, 0.9998)	0.9919 (0.9804, 1.0034)	0.9954 (0.9863, 1.0044)

The best accuracy of training set is attained when # of hidden layers = 2 , # of hidden units = 1000

Number of iteration

	1	5	10	50	100	500	1000
0	13.4 (7.6026, 19.1974)	10.0 (8.0368, 11.9632)	12.8 (6.2063, 19.3937)	11.6 (6.5107, 16.6893)	11.6 (7.2453, 15.9547)	12.8 (9.8356, 15.7644)	9.6 (5.713, 13.487)
1	18.2 (13.8631, 22.5369)	20.0 (20.0, 20.0)	17.4 (14.5415, 20.2585)	16.6 (13.0228, 20.1772)	11.4 (6.8718, 15.9282)	9.8 (5.5529, 14.0471)	11.6 (10.1843, 13.0157)
2	10.2 (-0.9197, 21.3197)	19.0 (16.8494, 21.1506)	19.0 (16.2236, 21.7764)	9.8 (5.6446, 13.9554)	10.2 (4.9761, 15.4239)	8.2 (2.9761, 13.4239)	9.0 (5.8344, 12.1656)

The best number of iteration is attained when # of hidden layers = 2 , # of hidden units = 500

Running time

	1	5	10	50	100	500	1000
0	3.7904 (2.7422, 4.8385)	3.1506 (2.6559, 3.6453)	2.995 (1.7801, 4.2098)	2.603 (1.7264, 3.4796)	2.667 (1.886, 3.4481)	2.9298 (2.4055, 3.4541)	2.5962 (1.3278, 3.8647)
1	3.9249 (3.0998, 4.75)	4.4529 (4.2281, 4.6778)	3.9624 (3.4186, 4.5062)	3.8128 (3.093, 4.5327)	3.196 (1.7217, 4.6703)	3.0366 (1.9872, 4.086)	4.4194 (3.1511, 5.6877)
2	3.1359 (0.5627, 5.7091)	4.6688 (4.1208, 5.2168)	4.7631 (3.6867, 5.8395)	3.0814 (1.9755, 4.1874)	3.0701 (1.806, 4.3343)	5.6746 (2.3124, 9.0368)	15.545 (10.4807, 20.6093)

The best running time is attained when # of hidden layers = 0 , # of hidden units = 1000

The best number of hidden layers is nHiddenlayers = 2

The best number of hidden units is nHiddenunits = 1000

[illegible]



	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	0.9778 (0.9736, 0.9819)	0.977 (0.9725, 0.9815)	0.9736 (0.9718, 0.9754)	0.977 (0.9743, 0.9797)	0.9331 (0.9166, 0.9495)
<b>0.01</b>	0.9775 (0.974, 0.981)	0.9812 (0.9782, 0.9841)	0.9807 (0.9771, 0.9842)	0.9783 (0.9715, 0.9851)	0.103 (0.0923, 0.1137)
<b>0.1</b>	0.0868 (0.0533, 0.1203)	0.1545 (-0.0042, 0.3132)	0.1294 (0.0505, 0.2084)	0.1001 (0.089, 0.1113)	0.0986 (0.0896, 0.1075)
<b>1.0</b>	0.1163 (0.1163, 0.1163)	0.1163 (0.1163, 0.1163)	0.1163 (0.1163, 0.1163)	0.1163 (0.1163, 0.1163)	0.1163 (0.1163, 0.1163)

Accuracy of training set

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	0.9889 (0.9874, 0.9904)	0.9884 (0.9879, 0.9888)	0.9874 (0.9854, 0.9895)	0.9897 (0.9879, 0.9914)	0.941 (0.9247, 0.9573)
<b>0.01</b>	0.9952 (0.9882, 1.0022)	0.9988 (0.9973, 1.0004)	0.9976 (0.9937, 1.0015)	0.9944 (0.983, 1.0057)	0.0994 (0.0952, 0.1036)
<b>0.1</b>	0.0908 (0.0565, 0.1252)	0.1631 (-0.0015, 0.3277)	0.1345 (0.0382, 0.2308)	0.1051 (0.099, 0.1112)	0.1005 (0.0979, 0.1031)
<b>1.0</b>	0.0939 (0.0939, 0.0939)	0.0939 (0.0939, 0.0939)	0.0939 (0.0939, 0.0939)	0.0939 (0.0939, 0.0939)	0.0939 (0.0939, 0.0939)

Number of iteration

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	20.0 (20.0, 20.0)	19.8 (19.2447, 20.3553)	19.2 (16.9788, 21.4212)	20.0 (20.0, 20.0)	3.2 (2.6447, 3.7553)
<b>0.01</b>	8.0 (5.366, 10.634)	11.6 (7.3347, 15.8653)	12.2 (7.9529, 16.4471)	8.0 (7.122, 8.878)	5.4 (2.9796, 7.8204)
<b>0.1</b>	5.6 (2.6097, 8.5903)	4.0 (2.7583, 5.2417)	4.8 (2.1081, 7.4919)	6.4 (1.6232, 11.1768)	3.6 (2.4894, 4.7106)
<b>1.0</b>	2.0 (2.0, 2.0)	2.0 (2.0, 2.0)	2.0 (2.0, 2.0)	2.0 (2.0, 2.0)	2.0 (2.0, 2.0)

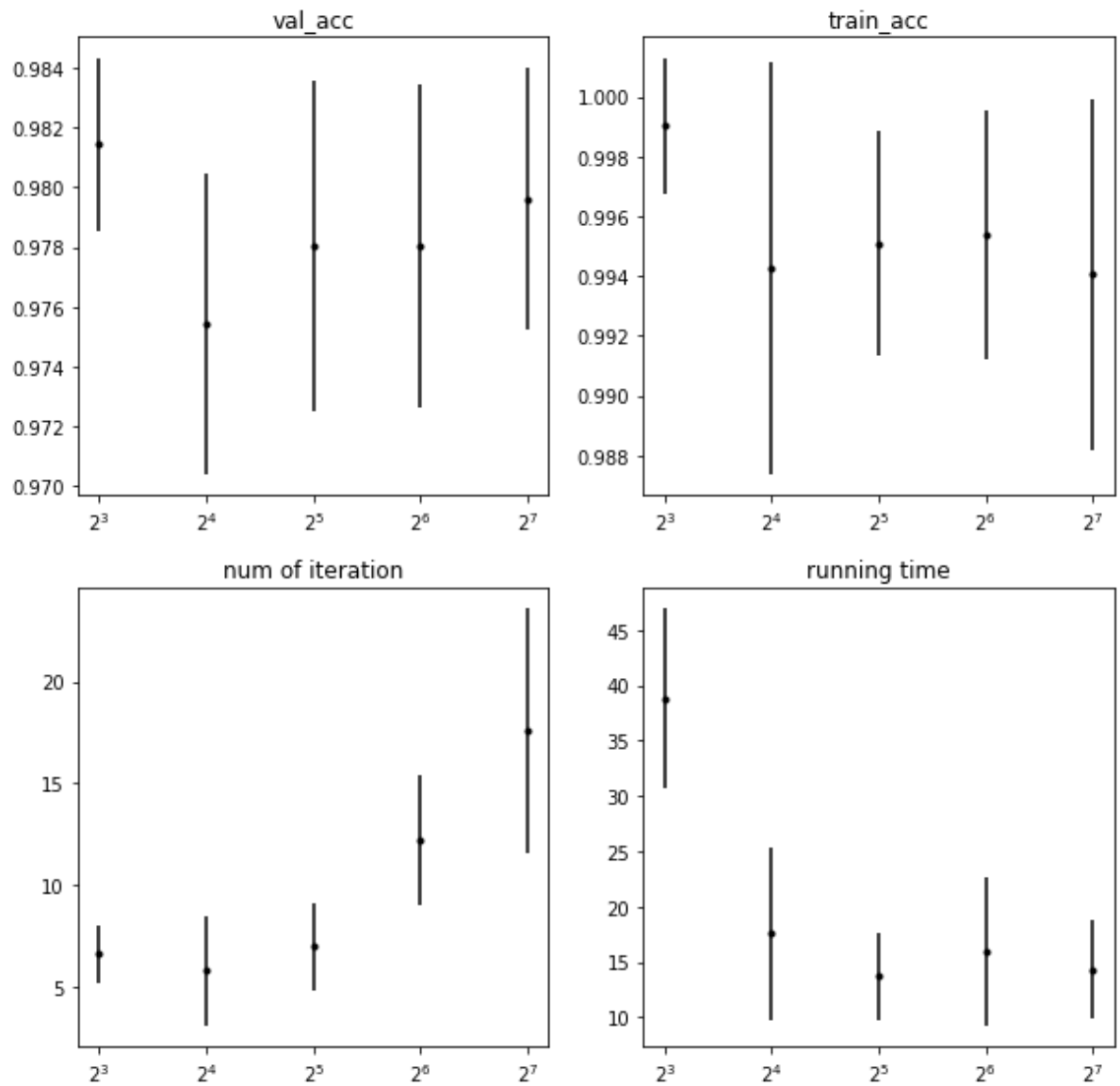
Running time

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	37.2381 (35.571, 38.9051)	37.5127 (36.1891, 38.8362)	37.7447 (36.6437, 38.8457)	38.0553 (36.6778, 39.4328)	7.1389 (4.7695, 9.5083)
<b>0.01</b>	15.124 (9.8695, 20.3786)	22.4873 (14.1709, 30.8037)	24.6693 (16.274, 33.0646)	15.5267 (14.046, 17.0074)	10.744 (6.4237, 15.0644)
<b>0.1</b>	10.256 (5.2182, 15.2938)	8.7716 (5.9519, 11.5914)	10.3525 (5.086, 15.6191)	13.2596 (5.1107, 21.4085)	7.3667 (5.3557, 9.3777)
<b>1.0</b>	4.1432 (3.725, 4.5613)	4.3917 (3.9316, 4.8519)	5.0448 (3.7513, 6.3383)	4.5745 (4.2409, 4.9081)	4.6572 (4.3282, 4.9862)

The best number of learning rate is eta = 0.01

The best number of momentum is alpha = 0.001





The best batch size hyper parameter is batch\_size = 8

The hyper parameters are as follows:

```
In [7]: scale2, nHiddenlayers2, nHiddenunits2, lr2, momentum2, batch_size2
```

```
Out[7]: (1, 2, 1000, 0.01, 0.001, 8)
```

## Performance of cross-entropy error; ReLU

```
In [31]: overallacc_test2, classacc_test2, con_mat_test2, overallacc_train2, classacc_train2, con_mat_train2, model_summary = perform(
    loss = "categorical_crossentropy", activation = "relu", scale = scale2,
    nHiddenlayers = nHiddenlayers2, nHiddenunits = nHiddenunits2,
    lr = lr2, momentum = momentum2, batch_size = batch_size2)
print(model_summary)
```

Model: "sequential\_775"

Layer (type)	Output Shape	Param #
dense_1932 (Dense)	multiple	65000
dense_1933 (Dense)	multiple	1001000
dense_1934 (Dense)	multiple	10010
Total params: 1,076,010		
Trainable params: 1,076,010		
Non-trainable params: 0		
None		

```
In [32]: print("Overall classification Accuracy for training data = %.4f\n" % overallacc_train2)
print("Class Accuracy for training data")
print(classacc_train2)
print("Confusion matrix for training data")
print(con_mat_train2)

print("-----")

print("Overall classification Accuracy for test data = %.4f\n" % overallacc_test2)
print("Class Accuracy for test data")
print(classacc_test2)
print("Confusion matrix for test data")
print(con_mat_test2)
```

Overall classification Accuracy for training data = 0.9801

Class Accuracy for training data

	precision	recall	f1-score	support
0	1.00	1.00	1.00	376
1	0.96	0.98	0.97	389
2	1.00	0.93	0.96	380
3	0.99	0.99	0.99	389
4	0.99	1.00	1.00	387
5	1.00	0.95	0.98	376
6	0.99	1.00	0.99	377
7	1.00	0.99	1.00	387
8	0.91	0.99	0.95	380
9	0.97	0.97	0.97	382
accuracy			0.98	3823
macro avg	0.98	0.98	0.98	3823
weighted avg	0.98	0.98	0.98	3823

Confusion matrix for training data

```
[[1.  0.  0.  0.  0.  0.  0.  0.  0.  0. ]
 [0.  0.98 0.  0.  0.  0.  0.  0.  0.01 0.01]
 [0.  0.02 0.93 0.  0.  0.  0.  0.  0.04 0. ]
 [0.  0.  0.  0.99 0.  0.  0.  0.  0.01 0. ]
 [0.  0.  0.  0.  1.  0.  0.  0.  0.  0. ]
 [0.  0.  0.  0.01 0.  0.95 0.01 0.  0.02 0.02]
 [0.  0.  0.  0.  0.  0.  1.  0.  0.  0. ]
 [0.  0.  0.  0.  0.  0.  0.  0.99 0.  0. ]
 [0.  0.01 0.  0.  0.  0.  0.  0.  0.99 0. ]
 [0.  0.01 0.  0.  0.01 0.  0.  0.  0.02 0.97]]
```

-----  
Overall classification Accuracy for test data = 0.9505

Class Accuracy for test data

	precision	recall	f1-score	support
0	1.00	0.99	1.00	178
1	0.92	0.98	0.95	182
2	1.00	0.92	0.96	177
3	0.98	0.92	0.95	183
4	0.96	0.98	0.97	181
5	0.98	0.96	0.97	182
6	0.99	0.98	0.99	181
7	1.00	0.90	0.95	179
8	0.80	0.96	0.87	174
9	0.91	0.92	0.91	180
accuracy			0.95	1797
macro avg	0.95	0.95	0.95	1797
weighted avg	0.95	0.95	0.95	1797

Confusion matrix for test data

```
[[0.99 0.  0.  0.  0.01 0.  0.  0.  0.  0. ]
 [0.  0.98 0.  0.  0.  0.  0.  0.  0.01 0.01]
 [0.  0.02 0.92 0.01 0.  0.  0.  0.  0.06 0. ]
 [0.  0.  0.  0.92 0.  0.01 0.  0.  0.07 0.01]]
```

```
[0.  0.01 0.  0.  0.98 0.  0.  0.  0.02 0.  ]
[0.  0.01 0.  0.01 0.01 0.96 0.01 0.  0.01 0.01]
[0.  0.01 0.  0.  0.01 0.  0.98 0.  0.01 0.  ]
[0.  0.  0.  0.  0.01 0.01 0.  0.9  0.02 0.07]
[0.  0.03 0.  0.  0.  0.  0.  0.  0.96 0.01]
[0.  0.01 0.  0.01 0.01 0.01 0.  0.  0.05 0.92]]
```

## Discussion

The hyper parameters with sum-of-square-error and cross-entropy error functions are(scale, nHiddenlayers, nHiddenunits, lr, momentum, batch\_size)

sum-of-square-error: (1, 2, 1000, 0.1, 0.0, 8)

cross-entropy error: (1, 2, 1000, 0.01, 0.001, 8)

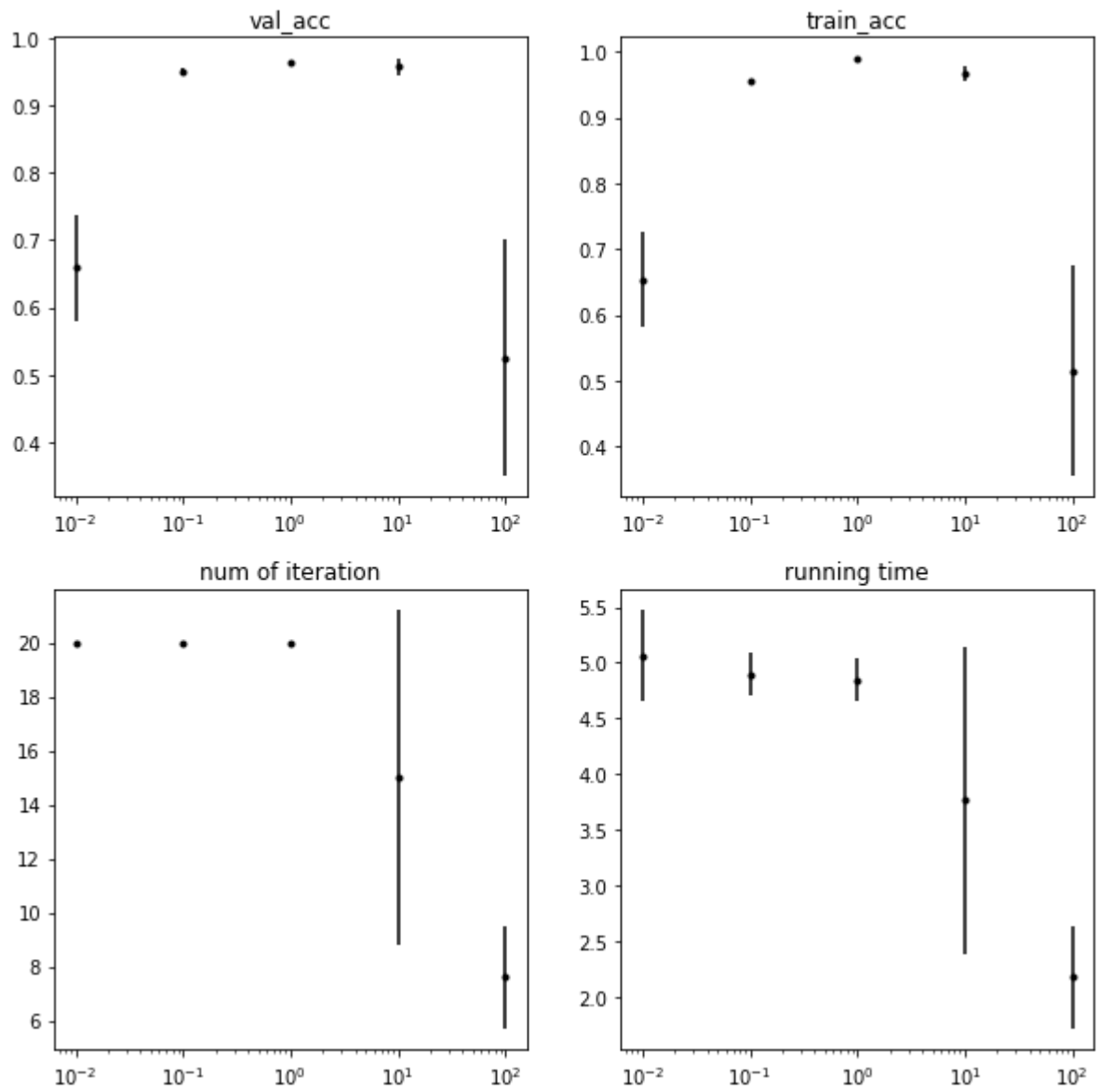
Both error functions share the same scale, number of hidden layers, number of hidden units batch\_size hyper parameter execept lr and momentum hyper parameters. While both sum-of-square and cross-entropy error functions performs best with number of hiddenlayers = 2, nuber of hidden units = 1000, it turns out that it takes about 34.37 seconds to fit the model with sum-of-square error functnion while it takes only 15.55 seconds to fit the model with cross-entropy error function.

The overall classification accuracies for test data of sum-of-square error function and cross-entropy error function are 0.9649 and 0.9505 respectively, which indicates that both loss function performs well in this problem.

## tanh vs. ReLU hidden units

### Hyperparameter for tanh; cross-entropy

```
In [8]: scale3, nHiddenlayers3, nHiddenunits3, lr3, momentum3, batch_size3 = hyperpara
(
    M = M, loss = "categorical_crossentropy", activation = "tanh",
    scale = scale, nHiddenlayers = nHiddenlayers, nHiddenunits = nHiddenunits,
    lr = lr, momentum = momentum, batch_size = batch_size
)
```



The best scale hyper parameter is scale = 1  
Accuracy of validation set

	1	5	10	50	100	500	1000
0	0.9451 (0.9318, 0.9584)	0.9456 (0.9363, 0.9549)	0.9464 (0.9287, 0.9641)	0.9556 (0.9486, 0.9625)	0.9532 (0.9461, 0.9603)	0.9435 (0.9273, 0.9597)	0.9522 (0.9393, 0.965)
1	0.1856 (0.1799, 0.1914)	0.7354 (0.6181, 0.8527)	0.8426 (0.7563, 0.9289)	0.9579 (0.9513, 0.9645)	0.9684 (0.9613, 0.9755)	0.9812 (0.9785, 0.9839)	0.9799 (0.9758, 0.9839)
2	0.1658 (0.1164, 0.2151)	0.5694 (0.3729, 0.766)	0.8735 (0.8119, 0.935)	0.9626 (0.9602, 0.9651)	0.971 (0.9681, 0.9739)	0.9801 (0.9764, 0.9838)	0.9814 (0.9785, 0.9843)

The best accuracy of validation set is attained when # of hidden layers = 2 ,  
# of hidden units = 1000

Accuracy of training set

	1	5	10	50	100	500	1000
0	0.9553 (0.9394, 0.9712)	0.957 (0.9446, 0.9694)	0.9553 (0.9401, 0.9705)	0.9695 (0.9598, 0.9793)	0.9682 (0.9648, 0.9716)	0.9571 (0.9438, 0.9704)	0.96 (0.9464, 0.9737)
1	0.1961 (0.1891, 0.203)	0.7453 (0.6465, 0.8442)	0.8464 (0.7642, 0.9287)	0.9781 (0.9761, 0.9801)	0.9888 (0.9864, 0.9911)	0.9983 (0.9979, 0.9987)	0.998 (0.9959, 1.0002)
2	0.1772 (0.1239, 0.2305)	0.5759 (0.3836, 0.7682)	0.8892 (0.8367, 0.9417)	0.9839 (0.9811, 0.9867)	0.9925 (0.9916, 0.9935)	0.999 (0.9985, 0.9995)	0.9993 (0.9985, 1.0002)

The best accuracy of training set is attained when # of hidden layers = 2 , # of hidden units = 1000

Number of iteration

	1	5	10	50	100	500	1000
0	9.8 (6.2444, 13.3556)	9.0 (5.0735, 12.9265)	10.0 (6.275, 13.725)	13.6 (8.9046, 18.2954)	13.6 (9.8134, 17.3866)	9.2 (4.4394, 13.9606)	10.8 (6.3751, 15.2249)
1	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	17.2 (12.4394, 21.9606)
2	16.2 (8.6269, 23.7731)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	19.6 (18.4894, 20.7106)	16.8 (12.9329, 20.6671)

The best number of iteration is attained when # of hidden layers = 0 , # of hidden units = 5

Running time

	1	5	10	50	100	500	1000
0	2.9018 (1.5692, 4.2344)	2.1969 (1.5602, 2.8336)	2.3947 (1.6902, 3.0992)	2.9589 (2.2405, 3.6773)	3.0301 (2.4838, 3.5763)	2.2427 (1.45, 3.0354)	3.2723 (1.9645, 4.5801)
1	4.6154 (3.7463, 5.4845)	5.0047 (4.7121, 5.2972)	5.7053 (4.2846, 7.1259)	5.4271 (5.2689, 5.5853)	5.6397 (5.5408, 5.7386)	6.5808 (6.5193, 6.6423)	6.7889 (5.1585, 8.4192)
2	4.4141 (2.7882, 6.0399)	5.2838 (5.1783, 5.3894)	5.3122 (5.082, 5.5424)	6.9711 (5.8298, 8.1125)	6.6663 (5.9822, 7.3504)	16.3064 (15.6433, 16.9695)	36.1894 (28.4915, 43.8873)

The best running time is attained when # of hidden layers = 0 , # of hidden units = 5

The best number of hidden layers is nHiddenlayers = 2

The best number of hidden units is nHiddenunits = 1000

Accuracy of validation set



	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	0.9699 (0.9641, 0.9758)	0.9705 (0.968, 0.9729)	0.9692 (0.9664, 0.9719)	0.9736 (0.9718, 0.9754)	0.9315 (0.9021, 0.9609)
<b>0.01</b>	0.9833 (0.9825, 0.984)	0.9812 (0.9778, 0.9845)	0.9809 (0.9785, 0.9834)	0.9822 (0.9808, 0.9837)	0.5906 (0.2999, 0.8813)
<b>0.1</b>	0.9807 (0.9782, 0.9831)	0.9516 (0.9035, 0.9997)	0.977 (0.9745, 0.9795)	0.959 (0.9306, 0.9873)	0.1004 (0.0918, 0.1089)
<b>1.0</b>	0.0949 (0.0924, 0.0974)	0.0991 (0.0943, 0.1039)	0.0946 (0.0925, 0.0968)	0.0986 (0.0896, 0.1075)	0.0991 (0.0906, 0.1075)

Accuracy of training set

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	0.9802 (0.9781, 0.9823)	0.9806 (0.9793, 0.982)	0.9807 (0.9777, 0.9837)	0.9818 (0.98, 0.9836)	0.9408 (0.9163, 0.9653)
<b>0.01</b>	0.9994 (0.9989, 0.9999)	0.999 (0.9984, 0.9995)	0.9983 (0.996, 1.0006)	0.9995 (0.999, 0.9999)	0.5944 (0.3055, 0.8833)
<b>0.1</b>	0.9993 (0.9979, 1.0008)	0.965 (0.9123, 1.0177)	0.9986 (0.9971, 1.0)	0.9788 (0.9451, 1.0126)	0.1007 (0.099, 0.1023)
<b>1.0</b>	0.1016 (0.0987, 0.1044)	0.101 (0.0995, 0.1025)	0.1014 (0.0983, 0.1045)	0.1013 (0.0985, 0.1041)	0.1005 (0.0989, 0.1021)

Number of iteration

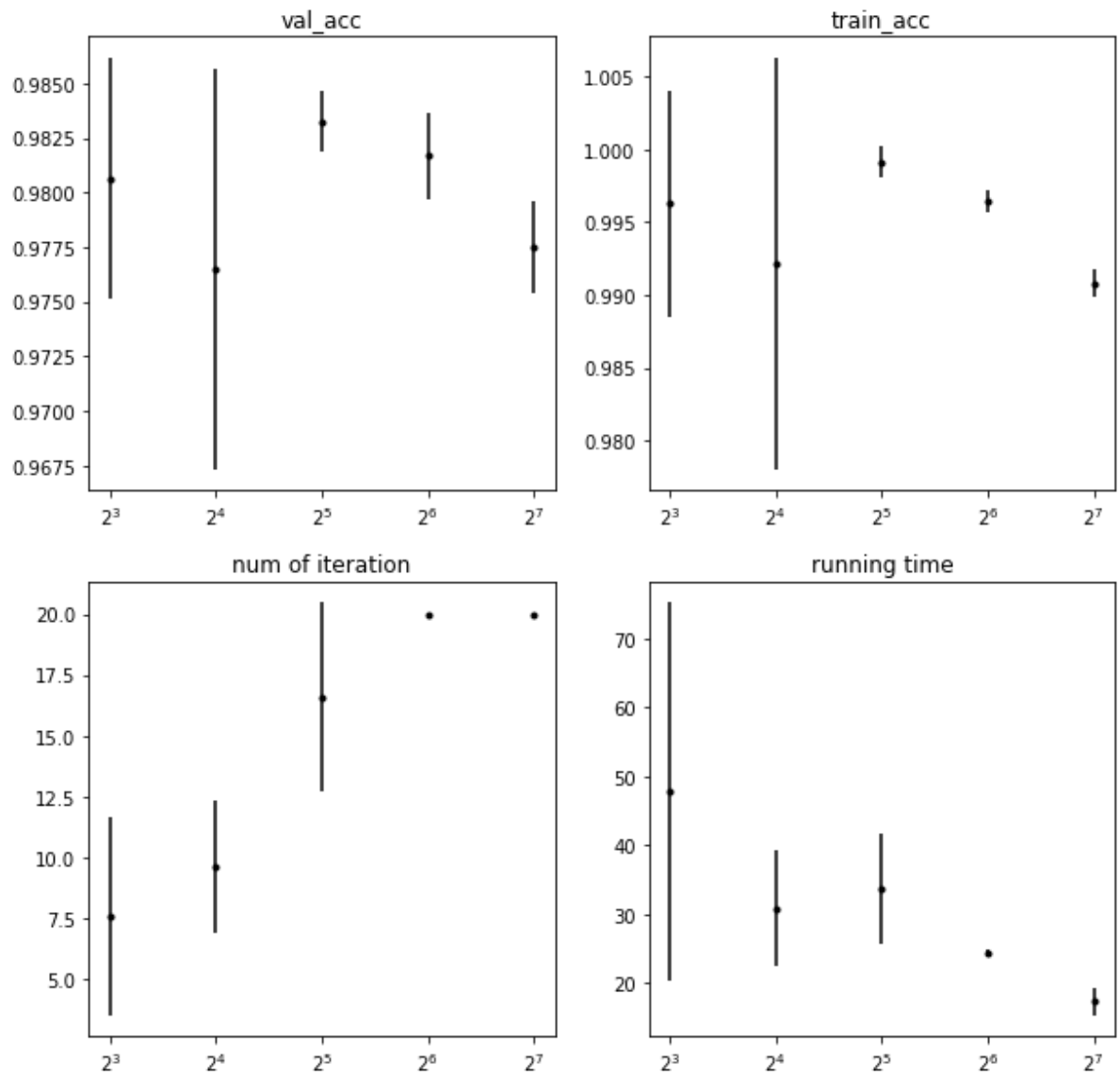
	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	20.0 (20.0, 20.0)	3.8 (2.7611, 4.8389)
<b>0.01</b>	18.6 (15.3621, 21.8379)	16.0 (13.6771, 18.3229)	14.4 (9.0883, 19.7117)	16.8 (13.2444, 20.3556)	3.4 (2.2894, 4.5106)
<b>0.1</b>	8.4 (7.2894, 9.5106)	5.8 (1.9329, 9.6671)	8.0 (5.5167, 10.4833)	7.0 (4.366, 9.634)	3.0 (3.0, 3.0)
<b>1.0</b>	4.4 (2.5169, 6.2831)	4.8 (2.2553, 7.3447)	4.4 (2.3223, 6.4777)	4.2 (1.9788, 6.4212)	3.2 (2.6447, 3.7553)

Running time

	0.0	0.001	0.01	0.1	1.0
<b>0.001</b>	44.5608 (39.3065, 49.8151)	40.7789 (37.6618, 43.8961)	39.2682 (38.3077, 40.2287)	38.3548 (35.1931, 41.5165)	7.8869 (5.8394, 9.9344)
<b>0.01</b>	33.9763 (31.4048, 36.5478)	31.326 (25.0502, 37.6018)	30.9453 (18.3613, 43.5292)	33.8614 (26.8954, 40.8274)	7.4286 (5.3887, 9.4686)
<b>0.1</b>	18.2347 (13.2328, 23.2367)	13.5977 (6.7779, 20.4174)	15.7629 (11.2347, 20.2911)	13.7705 (8.8854, 18.6557)	6.7206 (6.3831, 7.058)
<b>1.0</b>	9.4033 (5.4607, 13.3459)	11.4684 (6.3221, 16.6147)	10.1869 (5.9648, 14.4091)	9.3612 (4.9056, 13.8167)	7.133 (6.2115, 8.0544)

The best number of learning rate is eta = 0.01

The best number of momentum is alpha = 0



The best batch size hyper parameter is batch\_size = 32

The hyper parameters are as follows:

```
In [9]: scale3, nHiddenlayers3, nHiddenunits3, lr3, momentum3, batch_size3
```

```
Out[9]: (1, 2, 1000, 0.01, 0.0, 32)
```

## Performance of tanh; cross-entropy

```
In [90]: overallacc_test3, classacc_test3, con_mat_test3, overallacc_train3, classacc_train3, con_mat_train3, model_summary = perform(
    loss = "categorical_crossentropy", activation = "tanh", scale = scale3,
    nHiddenlayers = nHiddenlayers3, nHiddenunits = nHiddenunits3,
    lr = lr3, momentum = momentum3, batch_size = batch_size3)
print(model_summary)
```

Model: "sequential\_811"

Layer (type)	Output Shape	Param #
dense_1980 (Dense)	multiple	65000
dense_1981 (Dense)	multiple	1001000
dense_1982 (Dense)	multiple	10010
Total params: 1,076,010		
Trainable params: 1,076,010		
Non-trainable params: 0		
None		

```
In [91]: print("Overall classification Accuracy for training data = %.4f\n" % overallacc_train3)
print("Class Accuracy for training data")
print(classacc_train3)
print("Confusion matrix for training data")
print(con_mat_train3)

print("-----")

print("Overall classification Accuracy for test data = %.4f\n" % overallacc_test3)
print("Class Accuracy for test data")
print(classacc_test3)
print("Confusion matrix for test data")
print(con_mat_test3)
```

Overall classification Accuracy for training data = 0.9948

Class Accuracy for training data

	precision	recall	f1-score	support
0	1.00	0.99	1.00	376
1	0.99	0.99	0.99	389
2	1.00	1.00	1.00	380
3	0.99	0.99	0.99	389
4	0.99	0.99	0.99	387
5	1.00	0.99	0.99	376
6	0.99	0.99	0.99	377
7	1.00	1.00	1.00	387
8	1.00	0.99	1.00	380
9	0.98	0.99	0.99	382
accuracy			0.99	3823
macro avg	0.99	0.99	0.99	3823
weighted avg	0.99	0.99	0.99	3823

Confusion matrix for training data

```
[[0.99 0. 0. 0. 0. 0. 0. 0. 0. ]
 [0. 0.99 0. 0. 0. 0. 0. 0. 0.01]
 [0. 0. 1. 0. 0. 0. 0. 0. 0. ]
 [0. 0. 0. 0.99 0. 0. 0. 0. 0. ]
 [0. 0. 0. 0. 0.99 0. 0. 0. 0. ]
 [0. 0. 0. 0. 0. 0.99 0. 0. 0.01]
 [0. 0. 0. 0. 0. 0. 0.99 0. 0. ]
 [0. 0. 0. 0. 0. 0. 0. 1. 0. ]
 [0. 0.01 0. 0. 0. 0. 0. 0. 0.99 0. ]
 [0. 0. 0. 0. 0. 0. 0. 0. 0. 0.99]]
```

-----  
Overall classification Accuracy for test data = 0.9588

Class Accuracy for test data

	precision	recall	f1-score	support
0	1.00	0.99	0.99	178
1	0.92	0.98	0.95	182
2	0.99	0.97	0.98	177
3	1.00	0.93	0.96	183
4	0.97	0.97	0.97	181
5	0.95	0.99	0.97	182
6	1.00	0.98	0.99	181
7	0.98	0.91	0.94	179
8	0.94	0.89	0.91	174
9	0.86	0.99	0.92	180
accuracy			0.96	1797
macro avg	0.96	0.96	0.96	1797
weighted avg	0.96	0.96	0.96	1797

Confusion matrix for test data

```
[[0.99 0. 0. 0. 0.01 0.01 0. 0. 0. ]
 [0. 0.98 0. 0. 0. 0.01 0. 0. 0.01 0.01]
 [0. 0.03 0.97 0. 0. 0. 0. 0.01 0. 0. ]
 [0. 0. 0.01 0.93 0. 0.01 0. 0.01 0.02 0.02]]
```

```
[0.  0.01 0.  0.  0.97 0.  0.  0.01 0.02 0. ]
[0.  0.  0.  0.  0.  0.99 0.  0.  0.  0.01]
[0.  0.01 0.  0.  0.01 0.  0.98 0.  0.01 0. ]
[0.  0.  0.  0.  0.01 0.02 0.  0.91 0.  0.07]
[0.  0.05 0.  0.  0.  0.01 0.  0.  0.89 0.05]
[0.  0.  0.  0.  0.  0.01 0.  0.  0.01 0.99]]
```

## Hyperparameter for ReLU; cross-entropy error

The hyper parameters are as follows:

```
In [7]: scale2, nHiddenlayers2, nHiddenunits2, lr2, momentum2, batch_size2
```

```
Out[7]: (1, 2, 1000, 0.01, 0.001, 8)
```

## Performance of ReLU; cross-entropy error

```
In [35]: print("Overall classification Accuracy for training data = %.4f\n" % overallacc_train2)
print("Class Accuracy for training data")
print(classacc_train2)
print("Confusion matrix for training data")
print(con_mat_train2)

print("-----")

print("Overall classification Accuracy for test data = %.4f\n" % overallacc_test2)
print("Class Accuracy for test data")
print(classacc_test2)
print("Confusion matrix for test data")
print(con_mat_test2)
```

Overall classification Accuracy for training data = 0.9801

Class Accuracy for training data

	precision	recall	f1-score	support
0	1.00	1.00	1.00	376
1	0.96	0.98	0.97	389
2	1.00	0.93	0.96	380
3	0.99	0.99	0.99	389
4	0.99	1.00	1.00	387
5	1.00	0.95	0.98	376
6	0.99	1.00	0.99	377
7	1.00	0.99	1.00	387
8	0.91	0.99	0.95	380
9	0.97	0.97	0.97	382
accuracy			0.98	3823
macro avg	0.98	0.98	0.98	3823
weighted avg	0.98	0.98	0.98	3823

Confusion matrix for training data

```
[[1.  0.  0.  0.  0.  0.  0.  0.  0.  0. ]
 [0.  0.98 0.  0.  0.  0.  0.  0.  0.01 0.01]
 [0.  0.02 0.93 0.  0.  0.  0.  0.  0.04 0. ]
 [0.  0.  0.  0.99 0.  0.  0.  0.  0.01 0. ]
 [0.  0.  0.  0.  1.  0.  0.  0.  0.  0. ]
 [0.  0.  0.  0.01 0.  0.95 0.01 0.  0.02 0.02]
 [0.  0.  0.  0.  0.  0.  1.  0.  0.  0. ]
 [0.  0.  0.  0.  0.  0.  0.  0.99 0.  0. ]
 [0.  0.01 0.  0.  0.  0.  0.  0.  0.99 0. ]
 [0.  0.01 0.  0.  0.01 0.  0.  0.  0.02 0.97]]
```

-----  
Overall classification Accuracy for test data = 0.9505

Class Accuracy for test data

	precision	recall	f1-score	support
0	1.00	0.99	1.00	178
1	0.92	0.98	0.95	182
2	1.00	0.92	0.96	177
3	0.98	0.92	0.95	183
4	0.96	0.98	0.97	181
5	0.98	0.96	0.97	182
6	0.99	0.98	0.99	181
7	1.00	0.90	0.95	179
8	0.80	0.96	0.87	174
9	0.91	0.92	0.91	180
accuracy			0.95	1797
macro avg	0.95	0.95	0.95	1797
weighted avg	0.95	0.95	0.95	1797

Confusion matrix for test data

```
[[0.99 0.  0.  0.  0.01 0.  0.  0.  0.  0. ]
 [0.  0.98 0.  0.  0.  0.  0.  0.  0.01 0.01]
 [0.  0.02 0.92 0.01 0.  0.  0.  0.  0.06 0. ]
 [0.  0.  0.  0.92 0.  0.01 0.  0.  0.07 0.01]]
```



```
[0.    0.01 0.    0.    0.98 0.    0.    0.    0.02 0. ]
[0.    0.01 0.    0.01 0.01 0.96 0.01 0.    0.01 0.01]
[0.    0.01 0.    0.    0.01 0.    0.98 0.    0.01 0. ]
[0.    0.    0.    0.    0.01 0.01 0.    0.9  0.02 0.07]
[0.    0.03 0.    0.    0.    0.    0.    0.    0.96 0.01]
[0.    0.01 0.    0.01 0.01 0.01 0.    0.    0.05 0.92]]
```

## Discussion

The hyper parameters with tanh and ReLu hidden units are(scale, nHiddenlayers, nHiddenunits, lr, momentum, batch\_size)

tanh: (1, 2, 1000, 0.01, 0.0, 32)

ReLu: (1, 2, 1000, 0.01, 0.001, 8)

Both error functions share the same scale, number of hidden layers, number of hidden units, and lr hyper parameter except batch size and momentum hyper parameters. Observe that running time decreases as we increase the batch size regardless of loss function or activation function. Hence we might want to increase the batch size in order to same time in the other case.

The overall classification accuracies for test data of tanh activation function and ReLu activation function are 0.9588 and 0.9505 respectively, which indicates that both loss function performs well in this problem.

# Convolutional Neural Network

### Hyperparameter for ReLU; cross-entropy error

[illegible]

```
In [76]: res2 = pd.DataFrame(res, columns=["filtersize", "nconv", "height", "pool_size",  
    ,  
    "running time", "numofIter", "train_acc", "val  
_acc"]).round(4)  
print(res2.to_string(index=False))
```

	filtersize	nconv	height	pool_size	running time	numofIter	train_acc	va
l_acc	5.0	1.0	1.0	2.0	2.0940	5.0	0.7780	
0.8105	5.0	1.0	1.0	3.0	1.3882	3.0	0.1037	
0.0915	5.0	1.0	2.0	1.0	2.0687	5.0	0.9529	
0.9582	5.0	1.0	2.0	2.0	2.3915	5.0	0.8793	
0.8915	5.0	1.0	2.0	3.0	2.5264	5.0	0.4712	
0.4340	5.0	1.0	3.0	1.0	2.5608	5.0	0.9601	
0.9582	5.0	1.0	3.0	2.0	2.8243	5.0	0.8986	
0.9046	5.0	1.0	3.0	3.0	3.4226	5.0	0.4519	
0.4366	5.0	2.0	1.0	2.0	1.9732	3.0	0.1037	
0.0915	5.0	2.0	2.0	1.0	4.2099	5.0	0.9418	
0.9373	5.0	2.0	2.0	2.0	3.2883	5.0	0.2511	
0.2523	10.0	1.0	1.0	2.0	3.3738	5.0	0.7927	
0.7908	10.0	1.0	1.0	3.0	1.8855	4.0	0.2959	
0.3150	10.0	1.0	2.0	1.0	2.2950	5.0	0.9653	
0.9621	10.0	1.0	2.0	2.0	2.1693	5.0	0.9150	
0.9150	10.0	1.0	2.0	3.0	2.0852	5.0	0.8414	
0.8497	10.0	1.0	3.0	1.0	2.2445	5.0	0.9699	
0.9673	10.0	1.0	3.0	2.0	2.4035	5.0	0.9264	
0.9281	10.0	1.0	3.0	3.0	2.1170	5.0	0.8976	
0.9033	10.0	2.0	1.0	2.0	2.4173	5.0	0.1890	
0.2157	10.0	2.0	2.0	1.0	3.1567	5.0	0.9088	
0.9085	10.0	2.0	2.0	2.0	2.5151	5.0	0.3986	
0.3922	20.0	1.0	1.0	2.0	1.7813	4.0	0.7695	
0.7791	20.0	1.0	1.0	3.0	1.9241	5.0	0.2770	
0.3072	20.0	1.0	2.0	1.0	2.2834	5.0	0.9591	
0.9582	20.0	1.0	2.0	2.0	2.1296	5.0	0.9388	
0.9477	20.0	1.0	2.0	3.0	2.1658	5.0	0.8980	
0.9046	20.0	1.0	3.0	1.0	2.2115	5.0	0.9768	

0.9712	20.0	1.0	3.0	2.0	3.2488	5.0	0.9670
0.9686	20.0	1.0	3.0	3.0	2.1963	5.0	0.9441
0.9529	20.0	2.0	1.0	2.0	2.6008	5.0	0.1913
0.1673	20.0	2.0	2.0	1.0	3.5500	5.0	0.9738
0.9765	20.0	2.0	2.0	2.0	2.6146	5.0	0.8581
0.8627							

```
In [79]: print(res2.iloc[[res[:,7].argmax()]].to_string(index=False))
filtersize5, nconv5, height5, pool_size5 = res[res[:,7].argmax(),:4].reshape(4)
.astype("int")
```

	filtersize	nconv	height	pool_size	running time	numofIter	train_acc	val_acc
0.9765	20.0	2.0	2.0	1.0	3.55	5.0	0.9738	
20								

```
In [81]: batch_size = [16, 32]
lr = [0.01, 0.1]
momentum = [0, 0.01]

res = np.empty((0, 7))
for i in range(len(batch_size)):
    for j in range(len(lr)):
        for k in range(len(momentum)):
            tmp1, tmp2, tmp3, tmp4 = validation2(filtersize = filtersize5, nconv = nconv5,
                                                height = height5, pool_size = pool_size5,
                                                batch_size = batch_size[i], lr = lr[j], momentum = momentum[k])
            res = np.append(res, np.array([(batch_size[i], lr[j], momentum[k], tmp1, tmp2, tmp3, tmp4)]), axis = 0)
```

```
In [82]: res2 = pd.DataFrame(res, columns=["batch_size", "lr", "momentum", "running time", "numofIter", "train_acc", "val_acc"])
print(res2.to_string(index=False))
```

batch_size	lr	momentum	running time	numofIter	train_acc	val_acc
16.0	0.01	0.00	5.0396	5.0	0.9722	0.9725
16.0	0.01	0.01	4.9593	5.0	0.9823	0.9712
16.0	0.10	0.00	5.6145	5.0	0.9912	0.9778
16.0	0.10	0.01	5.0569	5.0	0.9716	0.9686
32.0	0.01	0.00	3.2989	5.0	0.9755	0.9752
32.0	0.01	0.01	3.6006	5.0	0.9768	0.9712
32.0	0.10	0.00	3.5622	5.0	0.9931	0.9856
32.0	0.10	0.01	3.5290	5.0	0.9513	0.9464

```
In [86]: print(res2.iloc[[res[:,6].argmax()]].to_string(index=False))
batch_size5, lr5, momentum5 = res[res[:,6].argmax(),:3].reshape(3)
batch_size5 = int(batch_size5)
```

batch_size	lr	momentum	running time	numofIter	train_acc	val_acc
32.0	0.1	0.0	3.5622	5.0	0.9931	0.9856

## Performance of ReLU; cross-entropy error

```
In [87]: overallacc_test5, classacc_test5, con_mat_test5, overallacc_train5, classacc_train5, con_mat_train5, model_summary = perform2(
    filtersize = filtersize5, height = height5, nconv = nconv5, pool_size = pool_size5,
    lr = lr5, epoch = 50,
    momentum = momentum5, batch_size = batch_size5
)
print(model_summary)
```

Model: "sequential\_249"

Layer (type)	Output Shape	Param #
conv2d_312 (Conv2D)	(None, 7, 7, 20)	100
max_pooling2d_307 (MaxPoolin	(None, 7, 7, 20)	0
conv2d_313 (Conv2D)	(None, 6, 6, 20)	1620
max_pooling2d_308 (MaxPoolin	(None, 6, 6, 20)	0
flatten_244 (Flatten)	(None, 720)	0
dense_244 (Dense)	(None, 10)	7210
Total params: 8,930		
Trainable params: 8,930		
Non-trainable params: 0		
None		

```
In [88]: print("Overall classification Accuracy for training data = %.4f\n" % overallacc_train5)
print("Class Accuracy for training data")
print(classacc_train5)
print("Confusion matrix for training data")
print(con_mat_train5)

print("-----")

print("Overall classification Accuracy for test data = %.4f\n" % overallacc_test5)
print("Class Accuracy for test data")
print(classacc_test5)
print("Confusion matrix for test data")
print(con_mat_test5)
```

Overall classification Accuracy for training data = 0.9812

Class Accuracy for training data

	precision	recall	f1-score	support
0	1.00	1.00	1.00	376
1	1.00	0.89	0.94	389
2	0.99	1.00	0.99	380
3	0.99	0.99	0.99	389
4	0.97	0.98	0.98	387
5	0.98	1.00	0.99	376
6	0.99	0.99	0.99	377
7	1.00	1.00	1.00	387
8	0.93	0.99	0.96	380
9	0.97	0.97	0.97	382
accuracy			0.98	3823
macro avg	0.98	0.98	0.98	3823
weighted avg	0.98	0.98	0.98	3823

Confusion matrix for training data

```
[[1.  0.  0.  0.  0.  0.  0.  0.  0.  0. ]
 [0.  0.89 0.01 0.01 0.01 0.  0.  0.  0.07 0.01]
 [0.  0.  1.  0.  0.  0.  0.  0.  0.  0. ]
 [0.  0.  0.  0.99 0.  0.  0.  0.  0.  0. ]
 [0.  0.  0.  0.  0.98 0.  0.  0.  0.  0.01]
 [0.  0.  0.  0.  0.  1.  0.  0.  0.  0. ]
 [0.  0.  0.  0.  0.  0.  0.99 0.  0.  0. ]
 [0.  0.  0.  0.  0.  0.  0.  1.  0.  0. ]
 [0.  0.  0.  0.  0.  0.  0.01 0.  0.99 0. ]
 [0.  0.  0.  0.  0.01 0.01 0.  0.  0.  0.97]]
```

-----  
Overall classification Accuracy for test data = 0.9482

Class Accuracy for test data

	precision	recall	f1-score	support
0	1.00	0.98	0.99	178
1	0.99	0.87	0.93	182
2	0.98	0.97	0.97	177
3	0.94	0.95	0.94	183
4	0.93	0.94	0.93	181
5	0.95	0.99	0.97	182
6	0.99	0.98	0.99	181
7	0.99	0.93	0.96	179
8	0.86	0.97	0.91	174
9	0.88	0.92	0.90	180
accuracy			0.95	1797
macro avg	0.95	0.95	0.95	1797
weighted avg	0.95	0.95	0.95	1797

Confusion matrix for test data

```
[[0.98 0.01 0.01 0.  0.  0.01 0.  0.  0.01 0. ]
 [0.  0.87 0.02 0.02 0.02 0.  0.  0.  0.08 0. ]
 [0.  0.  0.97 0.02 0.01 0.  0.  0.  0.01 0. ]
 [0.  0.  0.  0.95 0.  0.  0.  0.01 0.02 0.02]]
```

```
[0.  0.  0.  0.  0.94 0.  0.01 0.  0.01 0.05]
[0.  0.  0.  0.01 0.  0.99 0.  0.  0.  0.01]
[0.  0.  0.  0.  0.01 0.  0.98 0.  0.01 0. ]
[0.  0.  0.  0.  0.01 0.02 0.  0.93 0.01 0.04]
[0.  0.  0.  0.01 0.  0.01 0.01 0.  0.97 0.01]
[0.  0.  0.  0.02 0.03 0.02 0.  0.  0.02 0.92]]
```

## Discussion

For convolution network, the number of convolutional layout and height and pool\_size are limited because of the small input size( $8 \times 8$ ). Hence, we choosed the best hyper parameter based on limited hyper-parameter candidates as described above. Note thate the validation accuracies vary a lot depending on the hyper parameter for model structure(filtersize, number of convolutional layout, height, and pool\_size).

The best hyper-parameter based on validation accuracy is

filtersize = 20, number of convolutional layout = 2 height = 2 pool\_size = 1.

batch\_size = 32, lr = 0.1, momentum = 0.0

Overall classification accuracy for training data is 0.9812 and that for test data is 0.9482, which are acceptable. We can check that running time of convolutional networks is shorter compared to that of feed-forward network.

## Data import and functions defined

```
In [1]: from __future__ import absolute_import, division, print_function, unicode_literals
import functools

# TensorFlow and tf.keras
import tensorflow as tf
from tensorflow import keras
from keras.utils import to_categorical

# Helper Libraries
import numpy as np
import matplotlib.pyplot as plt
import csv

from sklearn.metrics import classification_report
from sklearn.metrics import accuracy_score
from sklearn.model_selection import train_test_split

import pandas as pd

from timeit import default_timer as timer

import scipy.stats
```

Using TensorFlow backend.



```
In [2]: print(tf.__version__)  
        print(keras.__version__)  
        print(pd.__version__)  
        print(np.__version__)
```

```
2.0.0  
2.2.4-tf  
0.25.1  
1.16.5
```

```

In [3]: TRAIN_DATA_URL = "http://archive.ics.uci.edu/ml/machine-learning-databases/opt
digits/optdigits.tra"
TEST_DATA_URL = "http://archive.ics.uci.edu/ml/machine-learning-databases/optd
igits/optdigits.tes"

train_file_path = tf.keras.utils.get_file("optdigits.tra", TRAIN_DATA_URL)
test_file_path = tf.keras.utils.get_file("optdigits.tes", TEST_DATA_URL)

train_labels = []
train_images = []
with open(train_file_path, "r") as f:
    rdr = csv.reader(f)
    for line in rdr:
        train_labels.append(int(line[64]))
        train_images.append([int(i) for i in line[0:64]])

test_labels = []
test_images = []
with open(test_file_path, "r") as f:
    rdr = csv.reader(f)
    for line in rdr:
        test_labels.append(int(line[64]))
        test_images.append([int(i) for i in line[0:64]])

train_labels = np.array(train_labels)
train_images = np.array(train_images)
test_labels = np.array(test_labels)
test_images = np.array(test_images)
train_labels_full = train_labels
train_images_full = train_images

train_images, val_images, train_labels, val_labels = train_test_split(train_im
ages, train_labels, test_size=0.20, shuffle= True)

train_cnn_full = train_images_full.reshape(train_images_full.shape[0], 8, 8, 1
).astype('float32')
test_cnn = test_images.reshape(test_images.shape[0], 8, 8, 1).astype('float32'
)

train_cnn, val_cnn, train_cnn_labels, val_cnn_labels = train_test_split(train_
cnn_full, train_labels_full,
                                                                    test_s
ize=0.20, shuffle= True)

encoded_train_labels = to_categorical(train_labels)
encoded_val_labels = to_categorical(val_labels)
encoded_test_labels = to_categorical(test_labels)
encoded_train_labels_full = to_categorical(train_labels_full)

encoded_train_labels_cnn = to_categorical(train_cnn_labels)
encoded_val_labels_cnn = to_categorical(val_cnn_labels)
encoded_test_labels_cnn = to_categorical(test_labels)
encoded_train_labels_full_cnn = to_categorical(train_labels_full)

```

```

In [89]: def validation1(loss, activation, scale = 1, nHiddenlayers = 1, nHiddenunits =
100, lr = 0.01,
           momentum = 0.0, batch_size = 32, verbose = 0):
    #loss = "categorical_crossentropy"

    model = keras.Sequential()

    for i in range(int(nHiddenlayers)):
        model.add(keras.layers.Dense(nHiddenunits, activation=activation))

    model.add(keras.layers.Dense(10, activation='softmax'))

    model.compile(optimizer=keras.optimizers.SGD(lr = lr, momentum = momentum
),
                  loss= loss,
                  metrics=['accuracy'])

    callbacks = [
        # Interrupt training if `val_loss` stops improving for over 2 epochs
        tf.keras.callbacks.EarlyStopping(patience=2, monitor='val_loss')
    ]

    start = timer()
    modelFit = model.fit(train_images*scale, encoded_train_labels , epochs=20,
callbacks=callbacks,
                        validation_data=(val_images*scale, encoded_val_labels), verbose = ver
bose, batch_size = batch_size)
    end = timer()

    time = end - start
    num_iter = len(modelFit.history['loss'])

    train_acc = model.evaluate(train_images, encoded_train_labels, verbose=0)[
1]
    val_acc = model.evaluate(val_images, encoded_val_labels, verbose=0)[1]

    return time, num_iter, train_acc, val_acc

def mean_confidence_interval(data, confidence=0.95):
    a = 1.0 * np.array(data)
    n = len(a)
    m, se = np.mean(a), scipy.stats.sem(a)
    h = se * scipy.stats.t.ppf((1 + confidence) / 2., n-1)
    return m-h,m, m+h, h

def performance(M, loss, activation, scale = [1], nHiddenlayers = [1], nHidden
units = [100],
                lr = [0.01], momentum = [0.0], batch_size = [32]):
    res = np.empty((0, 8))
    for i1 in scale:
        for i2 in nHiddenlayers:
            for i3 in nHiddenunits:
                for i4 in lr:
                    for i5 in momentum:
                        for i6 in batch_size:
                            res2 = np.empty((0, 4))

```

```

        for i in range(M):
            res2 = np.append(
                res2, np.array([
                    validation1(scale = i1, nHiddenlayers
= i2,
                                nHiddenunits = i3, lr = i4,
                                momentum = i5, batch_size =
i6,
                                loss = loss, activation = a
ctivation)]), axis = 0)
            res = np.append(res, np.array([np.reshape(np.apply
_along_axis(mean_confidence_interval, 0, res2)[[1,3],:], 8, order = 'F')]), ax
is = 0)
        return(res)

def plotCI(res, x, xscale = "linear", basex = 2):
    plt.figure(figsize=(10,10))
    plotLabel = ["val_acc", "train_acc", "num of iteration", "running time"]
    for i in range(4):
        plt.subplot(2, 2, (i + 1))
        plt.errorbar(x, res[:,(6-2*i)], yerr=res[:,(7-2*i)], fmt='.k');
        plt.title(plotLabel[i])
        if(xscale == "linear"):
            plt.xscale("linear")
        elif(xscale == "log"):
            plt.xscale('log', basex=basex)

def TablePerf(res, row, col, val = 0):
    if(val == 0): attr = "Accuracy of validation set"
    elif(val == 1): attr = "Accuracy of training set"
    elif(val == 2): attr = "Number of iteration"
    elif(val == 3): attr = "Running time"
    elif(val == 4):
        mean1 = np.reshape(res, (len(row), len(col), 8))[:, :, 6-2*0].reshape(le
n(row), len(col))
        df = pd.DataFrame(mean1, columns = col, index = row).round(4)
        return df.stack().index[np.argmax(df.values)]
    mean = np.reshape(res, (len(row), len(col), 8))[:, :, 6-2*val].reshape(len(r
ow), len(col))
    size = np.reshape(res, (len(row), len(col), 8))[:, :, 7-2*val].reshape(len(r
ow), len(col))
    ui = mean + size
    li = mean - size

    df = pd.DataFrame(mean, columns=col, index=row).round(4)
    dfm = df.applymap(str)
    dfu = pd.DataFrame(ui, columns=col, index=row).round(4).applymap(str)
    df1 = pd.DataFrame(li, columns=col, index=row).round(4).applymap(str)

    dfm = dfm.apply(lambda x: x + " (" + df1[x.name] + ", " + dfu[x.name] + ")")

    if(val == 0 or val == 1):
        bool_matrix = df == df.max().max()
        tmp = df.stack().index[np.argmax(df.values)]
    elif(val == 2 or val == 3):
        bool_matrix = df == df.min().min()
        tmp = df.stack().index[np.argmin(df.values)]

```

```

def highlight(value):
    return bool_matrix.applymap(lambda x: 'background-color: yellow' if x
else '')

    #print("The best " + attr + " is attained when # of hidden layers = %g , #
of hidden units = %g\n" % tmp)

    print(attr)
    return(tmp, dfm.style.apply(highlight, axis=None))

def hyperpara(M, loss, activation, scale, nHiddenlayers, nHiddenunits,
              lr, momentum, batch_size):
    attr = ["accuracy of validation set", "accuracy of training set", "number
of iteration", "running time"]

    res = performance(M, loss = loss, activation = activation, scale = scale)
    plotCI(res, scale, xscale = "log", basex = scale[1] / scale[0])
    plt.show()
    scale1 = scale[np.argmax(res[:,6])]
    print("The best scale hyper parameter is scale = %g" % scale1)

    res = performance(M, loss = loss, activation = activation,
                      nHiddenlayers = nHiddenlayers, nHiddenunits = nHiddenuni
ts,
                      scale = [scale1])
    for i in range(4):
        a , b = TablePerf(res, val = i, row = nHiddenlayers, col = nHiddenunit
s); display(b)
        print("The best " + attr[i] + " is attained when # of hidden layers =
%g , # of hidden units = %g\n" % a)
        nHiddenlayers1, nHiddenunits1 = TablePerf(res, val = 4, row = nHiddenlayer
s, col = nHiddenunits);
        print("The best number of hidden layers is nHiddenlayers = %g" % nHiddenla
yers1)
        print("The best number of hidden units is nHiddenunits = %g" % nHiddenunit
s1)

    res = performance(M, loss = loss, activation = activation, scale = [scale1
],
                      nHiddenlayers = [nHiddenlayers1], nHiddenunits = [nHiddenuni
ts1],
                      lr = lr, momentum = momentum)
    for i in range(4):
        a , b = TablePerf(res, val = i, row = lr, col = momentum); display(b)
        lr1, momentum1 = TablePerf(res, val = 4, row = lr, col = momentum);
        print("The best number of learning rate is eta = %g" % lr1)
        print("The best number of momentum is alpha = %g" % momentum1)

    res = performance(M, loss = loss, activation = activation, scale = [scale1
],
                      nHiddenlayers = [nHiddenlayers1], nHiddenunits = [nHiddenuni
ts1],
                      lr = [lr1], momentum = [momentum1], batch_size = batch_size)

    plotCI(res, batch_size, xscale = "log", basex = batch_size[1] / batch_size
[0])
    plt.show()

```

```

        batch_size1 = batch_size[np.argmax(res[:,6])]
        print("The best batch size hyper parameter is batch_size = %g" % batch_size1)

        return scale1, nHiddenlayers1, nHiddenunits1, lr1, momentum1, batch_size1

def perform(loss, activation, scale, nHiddenlayers, nHiddenunits, lr ,
            momentum, batch_size, verbose = 0):
    model = keras.Sequential()

    for i in range(int(nHiddenlayers)):
        model.add(keras.layers.Dense(nHiddenunits, activation=activation))

    model.add(keras.layers.Dense(10, activation='softmax'))

    model.compile(optimizer=keras.optimizers.SGD(lr = lr, momentum = momentum
),
                loss= loss,
                metrics=['accuracy'])

    callbacks = [
        # Interrupt training if `val_loss` stops improving for over 2 epochs
        tf.keras.callbacks.EarlyStopping(patience=2, monitor='val_loss')
    ]

    modelFit = model.fit(train_images*scale, encoded_train_labels , epochs=100
, callbacks=callbacks,
        validation_data=(val_images*scale, encoded_val_labels), verbose = ver
bose, batch_size = batch_size)

    predictions=model.predict_classes(test_images)

    overallacc = accuracy_score(test_labels, predictions)
    classacc = classification_report(test_labels, predictions)
    con_mat = tf.math.confusion_matrix(labels=test_labels, predictions=predict
ions).numpy()
    con_mat = np.around(con_mat.astype('float') / con_mat.sum(axis=1)[: , np.ne
waxis], decimals=2)

    predictions2 = model.predict_classes(train_images_full)

    overallacc2 = accuracy_score(train_labels_full, predictions2)
    classacc2 = classification_report(train_labels_full, predictions2)
    con_mat2 = tf.math.confusion_matrix(labels=train_labels_full, predictions=p
redictions2).numpy()
    con_mat2 = np.around(con_mat2.astype('float') / con_mat2.sum(axis=1)[: , np
.newaxis], decimals=2)

    return overallacc, classacc, con_mat, overallacc2, classacc2, con_mat2, mo
del.summary()

def validation2(filtersize, height = 2, nconv = 1, pool_size = 2,
                lr = 0.01, epoch = 5,
                momentum = 0.0, batch_size = 32, verbose = 0):
    #loss = "categorical_crossentropy"

    model = keras.Sequential()

```

```

        model.add(keras.layers.Conv2D(filtersize, (height, height), activation='relu', input_shape=(8, 8, 1)))
        model.add(keras.layers.MaxPooling2D((pool_size, pool_size)))

        if(nconv == 2):
            model.add(keras.layers.Conv2D(filtersize, (height, height), activation='relu'))
            model.add(keras.layers.MaxPooling2D((pool_size, pool_size)))

        model.add(keras.layers.Flatten())
        model.add(keras.layers.Dense(10, activation='softmax'))

        model.compile(optimizer=keras.optimizers.SGD(lr = lr, momentum = momentum),
            loss="categorical_crossentropy",
            metrics=['accuracy'])

        callbacks = [
            # Interrupt training if `val_loss` stops improving for over 2 epochs
            tf.keras.callbacks.EarlyStopping(patience=2, monitor='val_loss')
        ]

        start = timer()
        modelFit = model.fit(train_cnn, encoded_train_labels_cnn , epochs=epoch, callbacks=callbacks,
            validation_data=(val_cnn, encoded_val_labels_cnn), verbose = verbose, batch_size = batch_size)
        end = timer()

        time = end - start
        num_iter = len(modelFit.history['loss'])

        train_acc = model.evaluate(train_cnn, encoded_train_labels_cnn, verbose=0)
        [1] val_acc = model.evaluate(val_cnn, encoded_val_labels_cnn, verbose=0)[1]

        return time, num_iter, train_acc, val_acc

def perform2(filtersize, height, nconv, pool_size,
            lr, epoch,
            momentum, batch_size, verbose = 0):
    model = keras.Sequential()

    model.add(keras.layers.Conv2D(filtersize, (height, height), activation='relu', input_shape=(8, 8, 1)))
    model.add(keras.layers.MaxPooling2D((pool_size, pool_size)))

    if(nconv == 2):
        model.add(keras.layers.Conv2D(filtersize, (height, height), activation='relu'))
        model.add(keras.layers.MaxPooling2D((pool_size, pool_size)))

    model.add(keras.layers.Flatten())
    model.add(keras.layers.Dense(10, activation='softmax'))

    model.compile(optimizer=keras.optimizers.SGD(lr = lr, momentum = momentum

```

```

),
    loss="categorical_crossentropy",
    metrics=['accuracy'])

callbacks = [
    # Interrupt training if `val_loss` stops improving for over 2 epochs
    tf.keras.callbacks.EarlyStopping(patience=2, monitor='val_loss')
]

modelFit = model.fit(train_cnn, encoded_train_labels_cnn, epochs=epoch, ca
llbacks=callbacks,
    validation_data=(val_cnn, encoded_val_labels_cnn), verbose = verbose, batc
h_size = batch_size)

predictions=model.predict_classes(test_cnn)

overallacc = accuracy_score(test_labels, predictions)
classacc = classification_report(test_labels, predictions)
con_mat = tf.math.confusion_matrix(labels=test_labels, predictions=predict
ions).numpy()
con_mat = np.around(con_mat.astype('float') / con_mat.sum(axis=1)[: , np.ne
waxis], decimals=2)

predictions2 = model.predict_classes(train_cnn_full)

overallacc2 = accuracy_score(train_labels_full, predictions2)
classacc2 = classification_report(train_labels_full, predictions2)
con_mat2 = tf.math.confusion_matrix(labels=train_labels_full, predictions=
predictions2).numpy()
con_mat2 = np.around(con_mat2.astype('float') / con_mat2.sum(axis=1)[: , np
.newaxis], decimals=2)

return overallacc, classacc, con_mat, overallacc2, classacc2, con_mat2, mo
del.summary()

```