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# Federated Learning

## Predicting the Next Node In Action Flows

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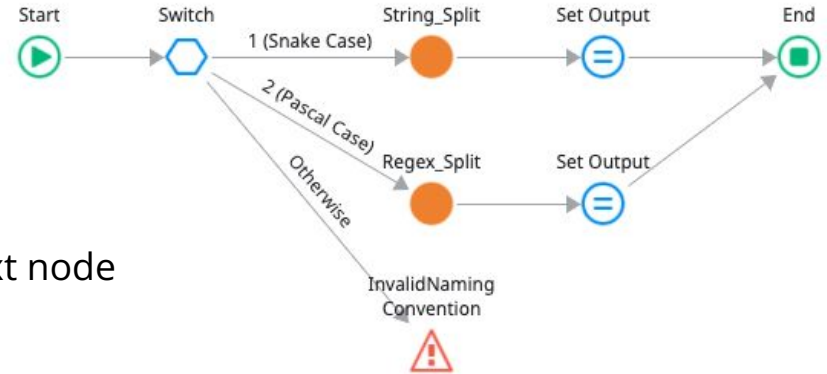


# Overview

- Context and Motivation
- Federated Learning
- Personalized Federated Learning
- Experimental Study

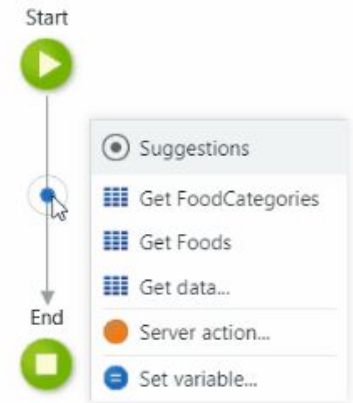
# OutSystems Service Studio - Action Flows

- Action Flows represent the application logic
- Action Flows modelled as graphs:
  - Nodes -> actions
  - Edges -> flow
- Objective -> Predict the attribute “kind” of the next node
  - For recommendation of next actions



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# Local vs Centralized Models

- Model based in Graph Neural Networks (GNNs)
- Local Model -> data of a single client
  - Clients might not have enough data
- Centralized Model -> data of all clients
  - Model is the same for all clients -> **Predictions not personalized**
  - Clients share data -> **Privacy concerns**

	Number of Action Flows	Accuracy (%) Local Model	Accuracy (%) Centralized Model
Client A	47,711	75.41	65.79
Client B	60	24.14	58.62

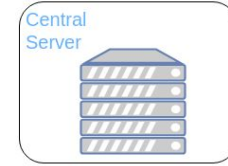
# Motivation

- Use data from several clients
- Personalize the models for each client
- Maintain the same level of performance
- Ensure privacy of the client data

# Federated Learning

# Federated Learning

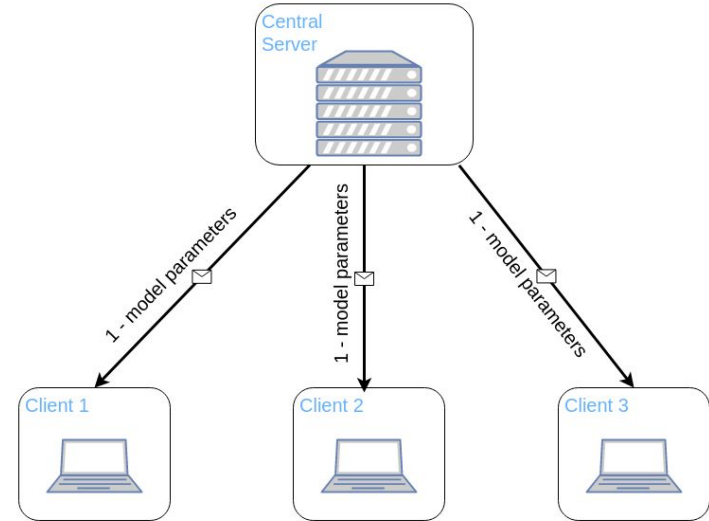
- Machine Learning decentralized approach
- FedAvg is the base algorithm





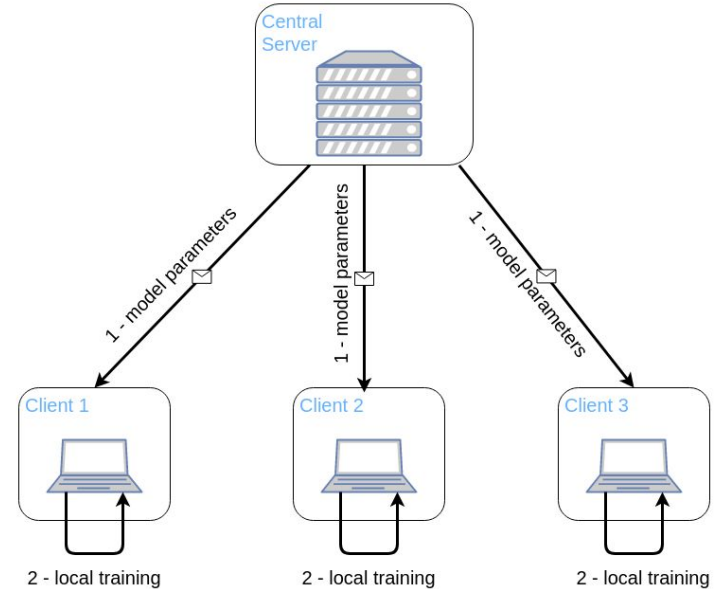
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- Server sends the global model to the clients



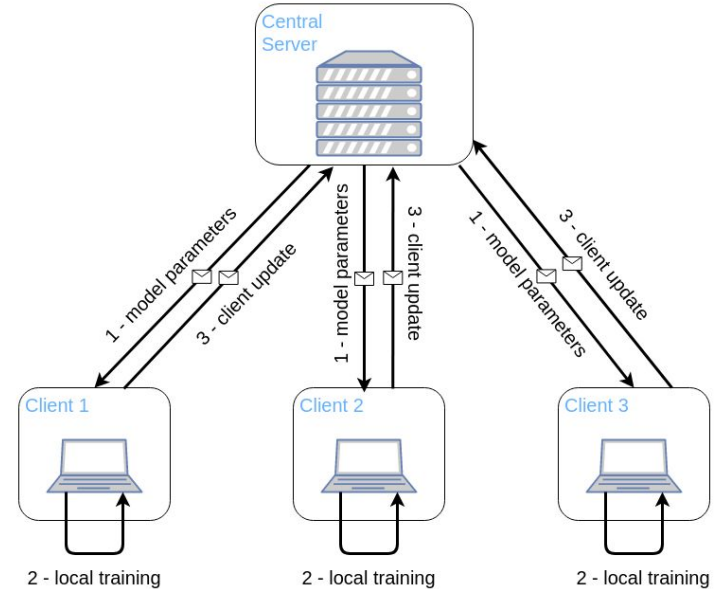
# Federated Learning

- Machine Learning decentralized approach
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- Server sends the global model to the clients
- Clients calculate the updates to the global model



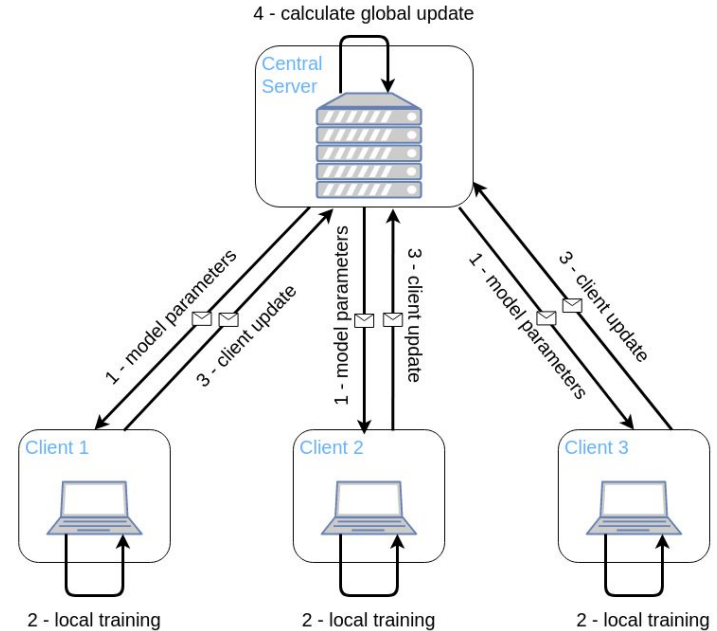
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# Federated Learning

- Machine Learning decentralized approach
- FedAvg is the base algorithm
- Server sends the global model to the clients
- Clients calculate the updates to the global model
- Clients send the updates to the server
- Server aggregates the updates
  - Obtain the new global model



# Federated Learning - Types

- Data Partitioning:

## Horizontal



Club A  
(in Portugal)



Club B  
(in Portugal)

**Data Subject**

Different

**Data Features**

Common

## Vertical



Bakery A  
(in Portugal)



Hospital A  
(in Portugal)

Common

Different

## Hybrid



Bakery A  
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Hospital B  
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Different

Different

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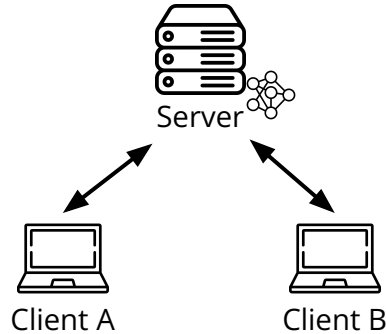
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# Federated Learning - Types

- Communication Architecture:

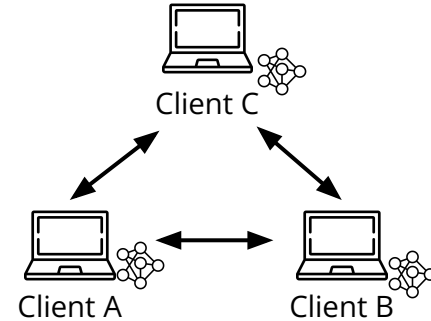
## Centralized



**Global Model**

Maintained by the server

## Decentralized

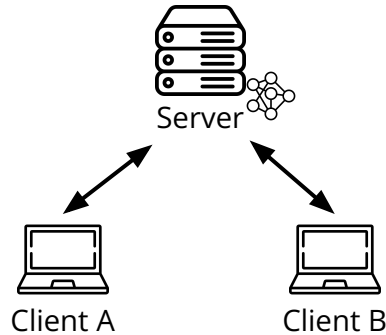


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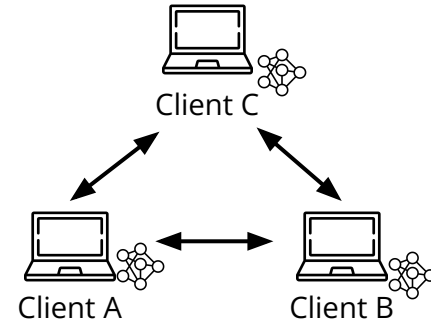
## Centralized



Maintained by the server

**Global Model**

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Manipulated by the clients



# Federated Learning - Types

- Scale of the federation:

## Cross-silo



Client A



Client B

**Number of Clients**

Few

**Computational Power**

Large

**Communications**

Reliable

## Cross-Device



Client A



Client B

Many

Scarce

Not always reliable

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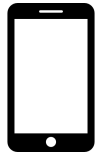
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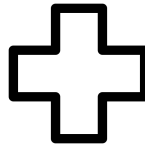
Not always reliable

# Federated Learning Applications

Smartphones



Healthcare



IoT



Autonomous  
Vehicles



Financial  
Fraud



Industry



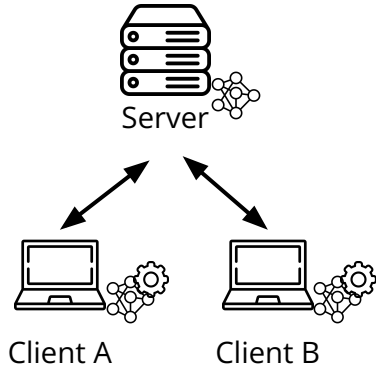
# Federated Learning - Challenges

- Client Heterogeneity
- Security Concerns
  - Ensure no attacker can bias the model
- Privacy Concerns
  - Ensure no sensitive information can be obtained from updates
- Data Heterogeneity
  - How to personalize the model to each client

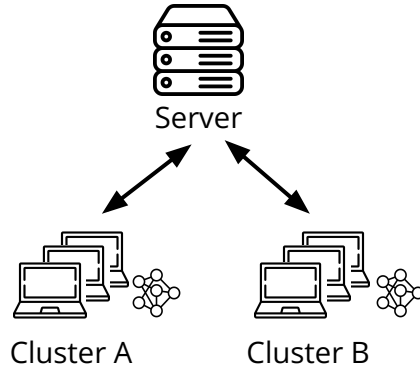
# Personalized Federated Learning

# Personalization Techniques

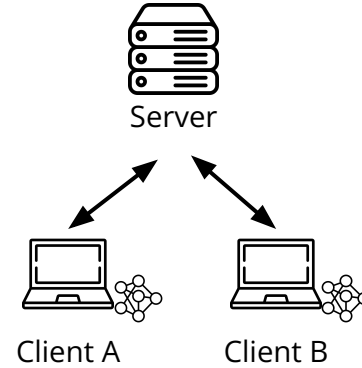
## Meta-Learning



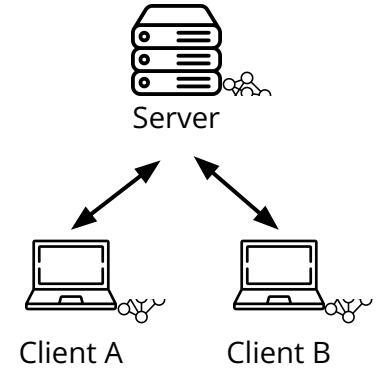
## Clustering



## Multi-task Learning

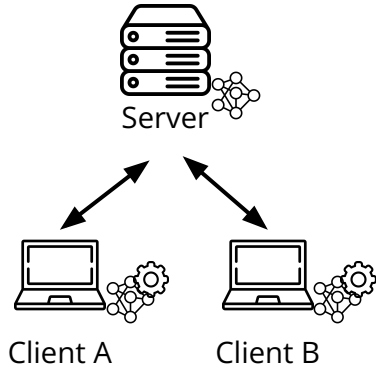


## Parameter Decoupling

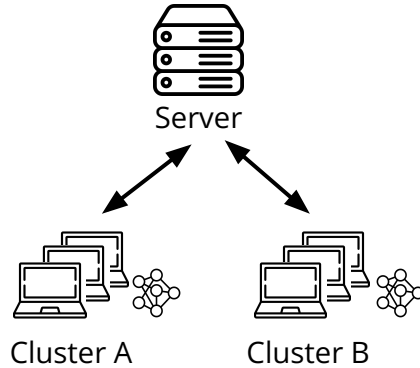


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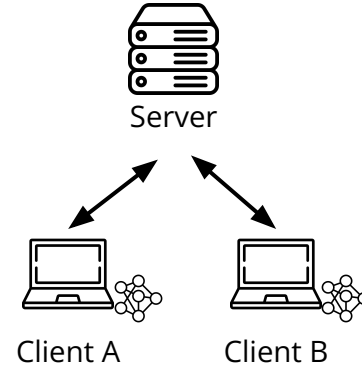
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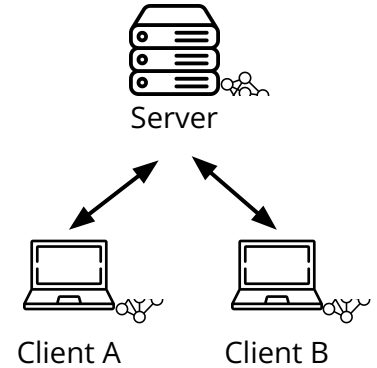
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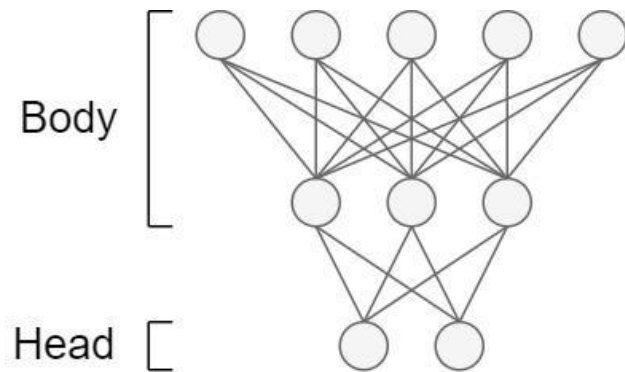


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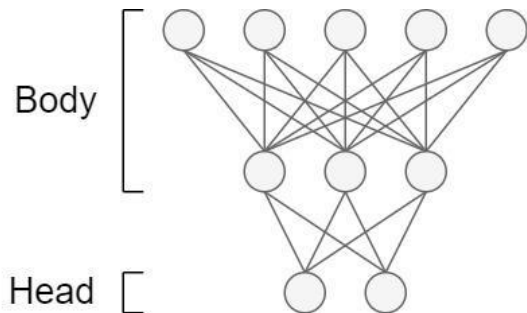
- Body or representation:
  - Extracts the properties of the data
- Head or classifier:
  - Classifies data from its properties



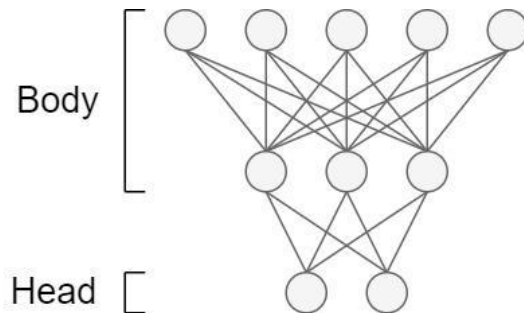


# Algorithms

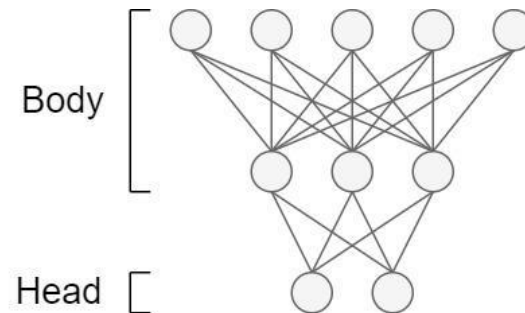
**FedAvg<sup>[1]</sup>**



**LG-FedAvg<sup>[2]</sup>**



**FedRep<sup>[3]</sup>**



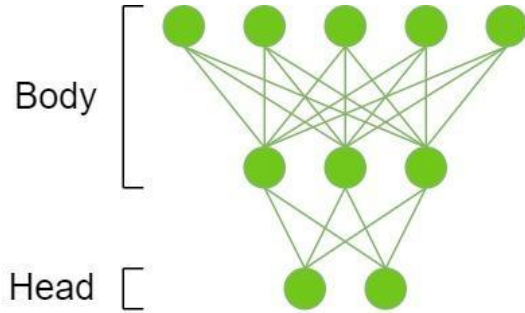
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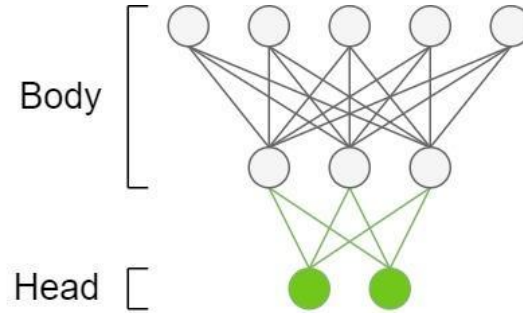
[3] Exploiting shared representations for personalized federated learning (Collins et. al. 2021)

# Algorithms - Global Part

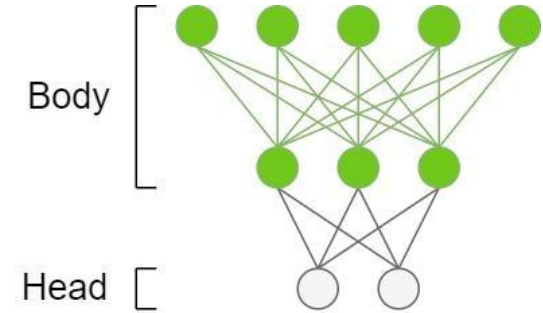
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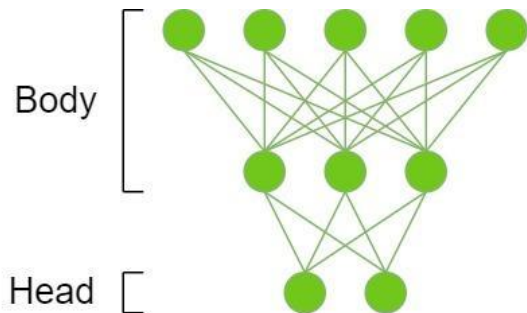


■ Global Part

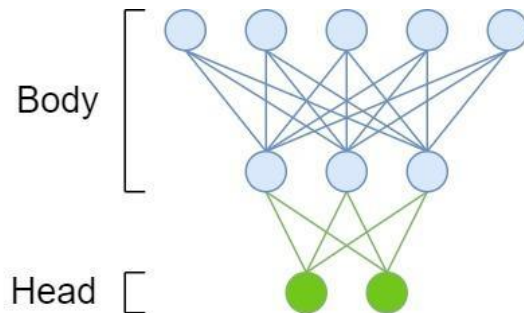
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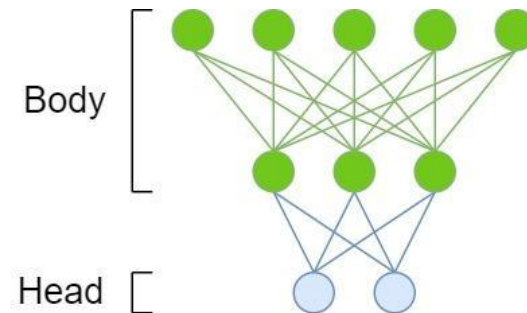
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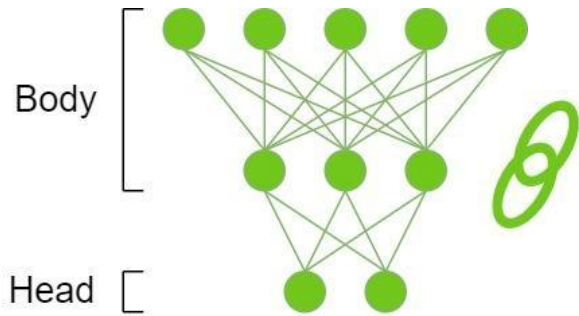


■ Global Part  
■ Local Part

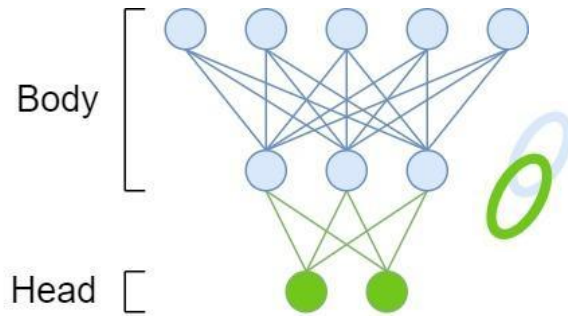
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# Algorithms - Local Training

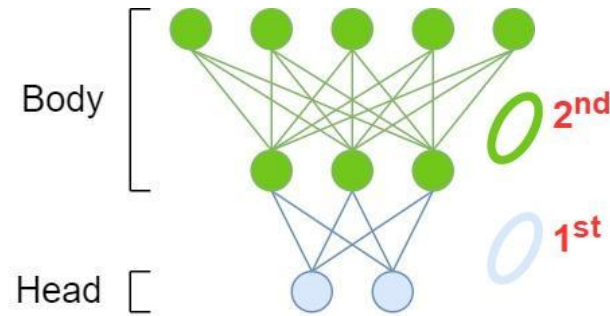
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# Experimental Study

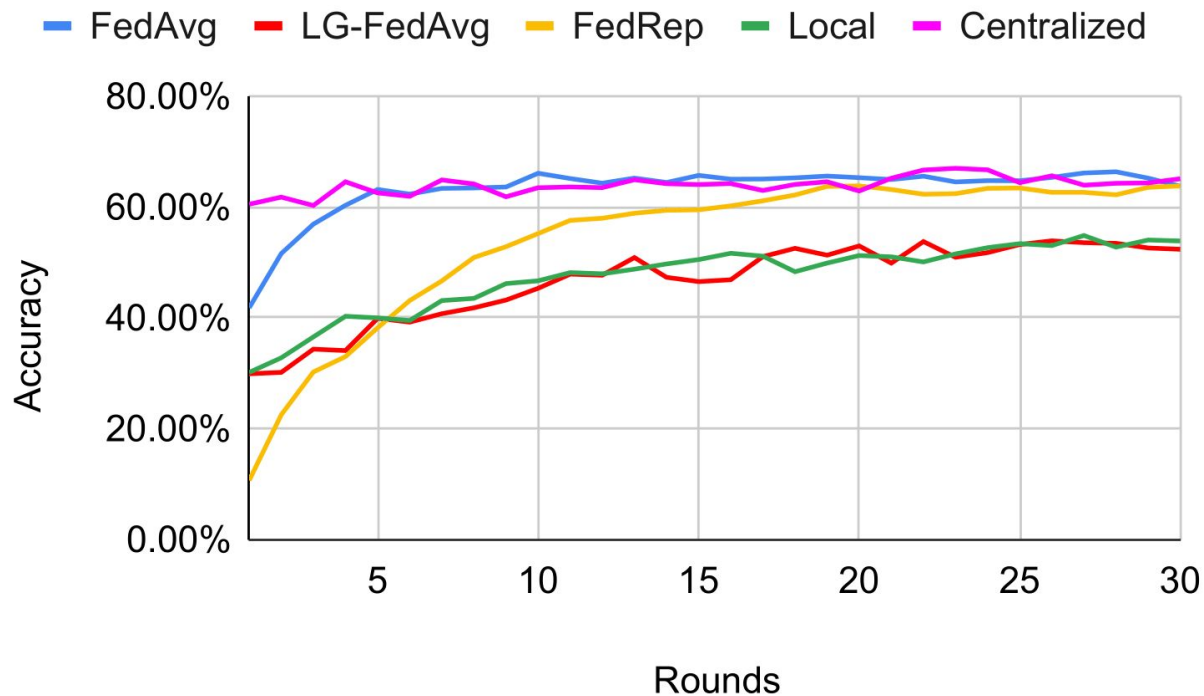
# Experimental Study

- Performance of the algorithm compared with:
  - Local Models
  - Centralized Model
- Accuracy is the performance metric
- Cloud AWS with 33 clients
  - Chosen based on the quantity of actions flows

# Experimental Study

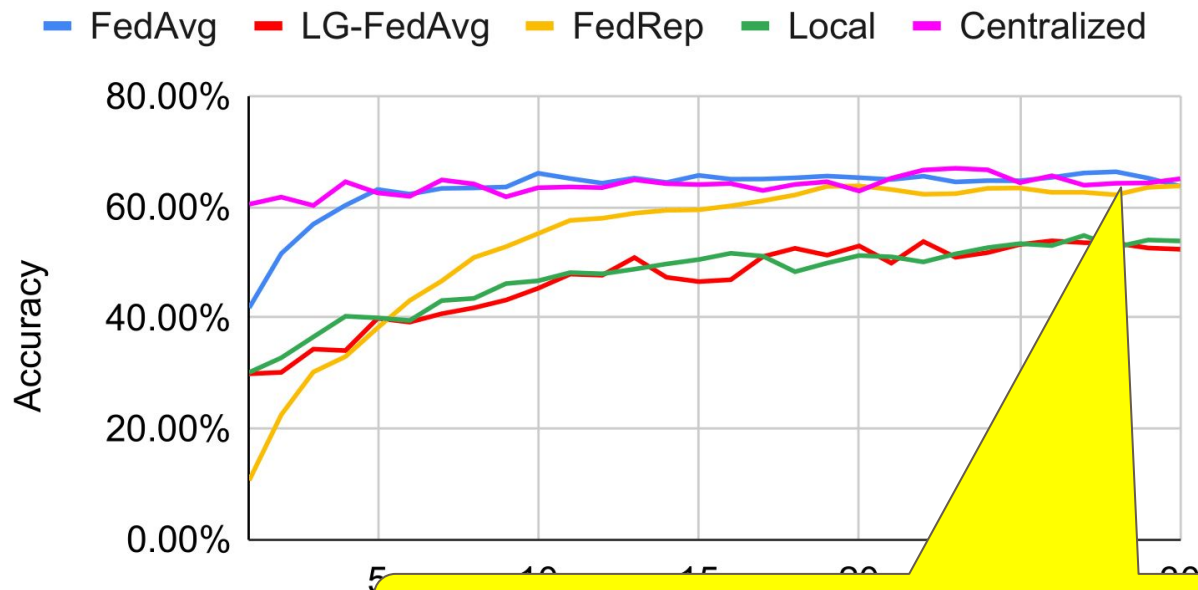
- 30 communication rounds:
  - Clients participate in every round
  - 1 local training round (FedAvg and LG-FedAvg)
  - 1 local training round for the body and 1 for the head (FedRep)
- 3 types of clients:
  - Small Clients - until 5300 data points (until the 25th-percentile)
  - Intermediate Clients - between 5300 and 31700 data points (from 25th to 75th-percentile)
  - Big Clients - above 31700 data points

# Small Clients



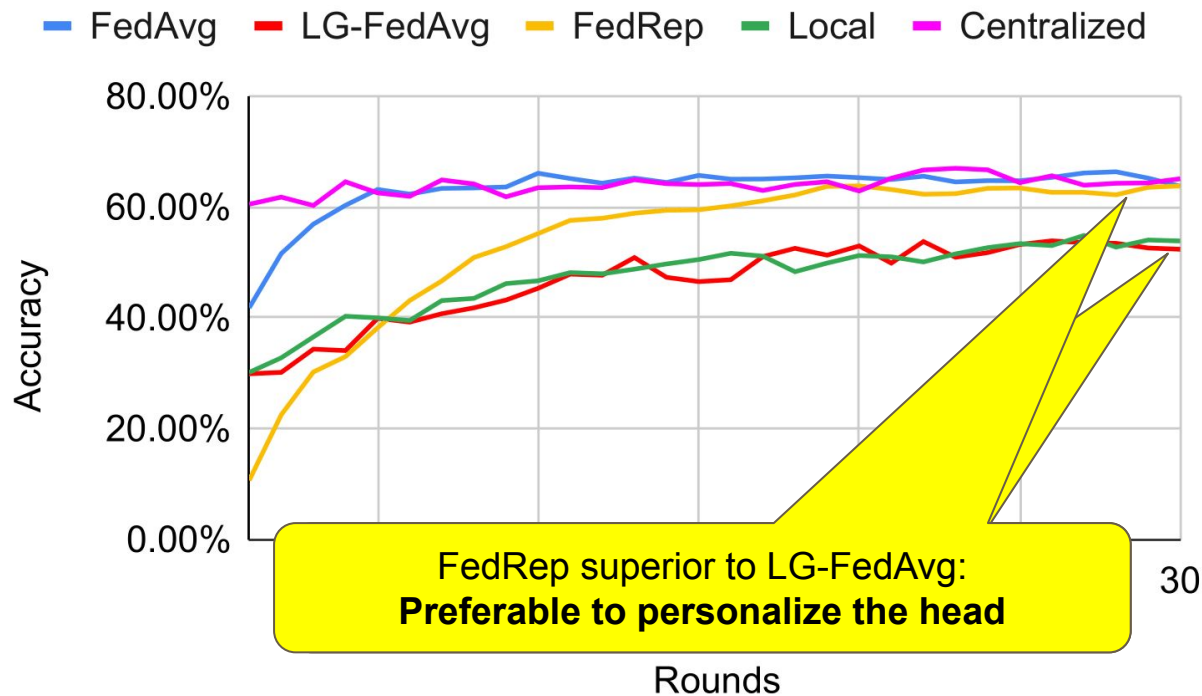


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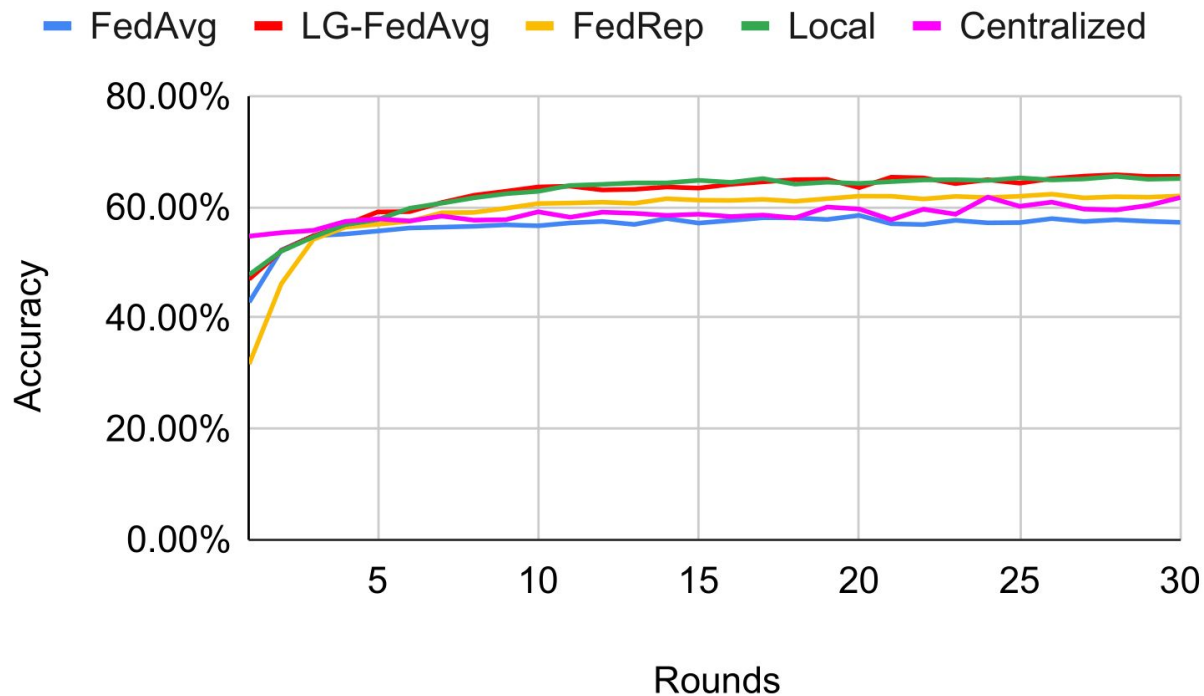


Centralized, FedAvg superiors:  
**Preferable to train without personalization**

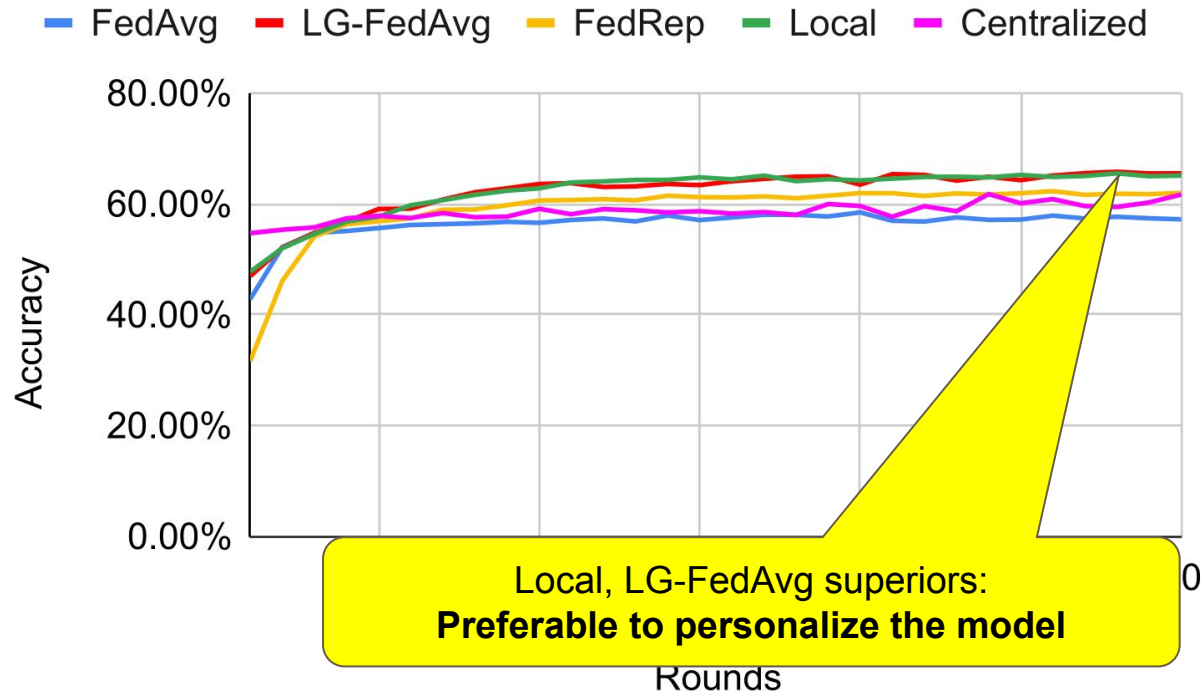
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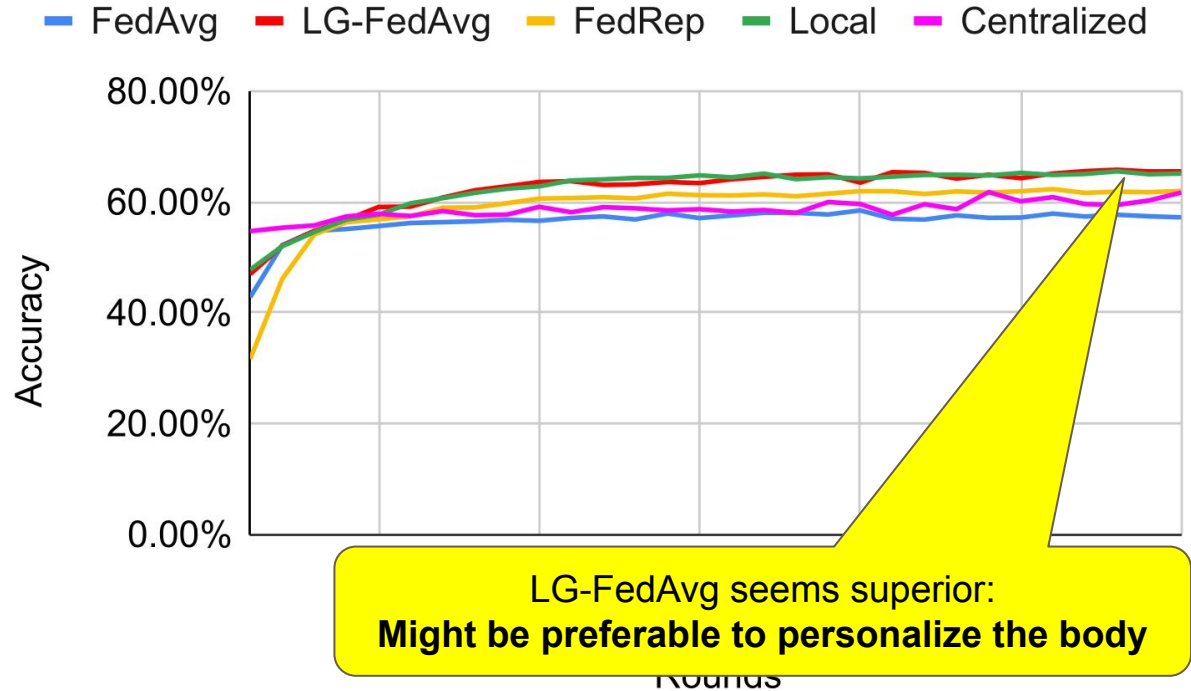
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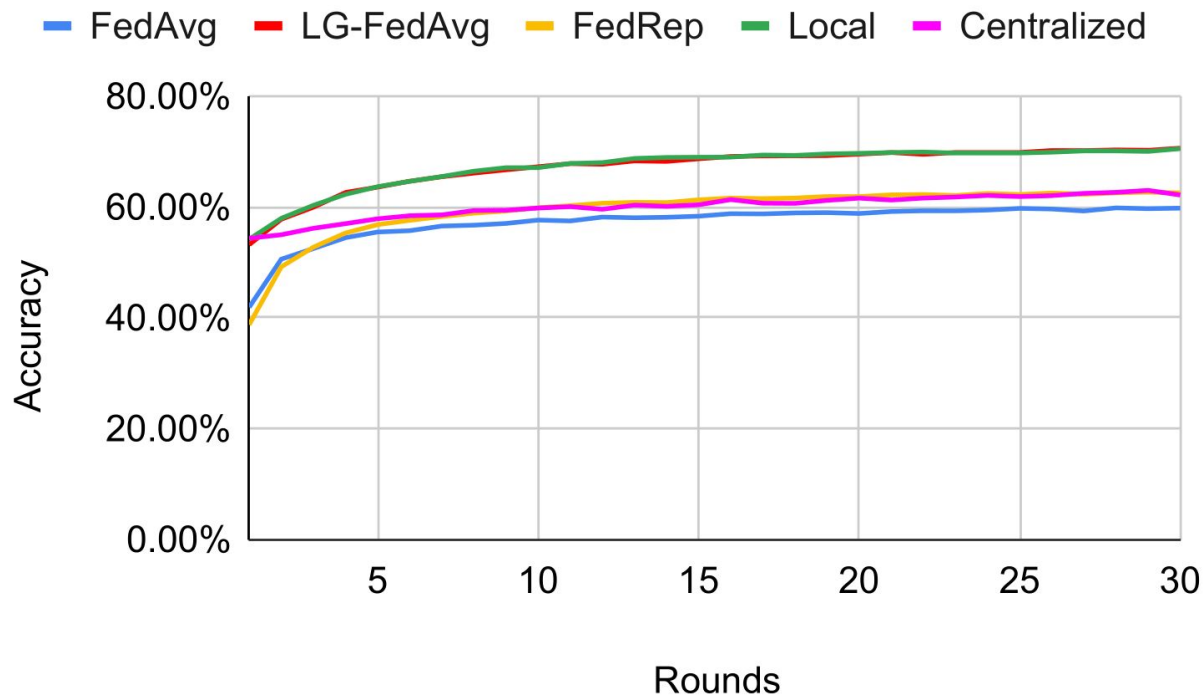
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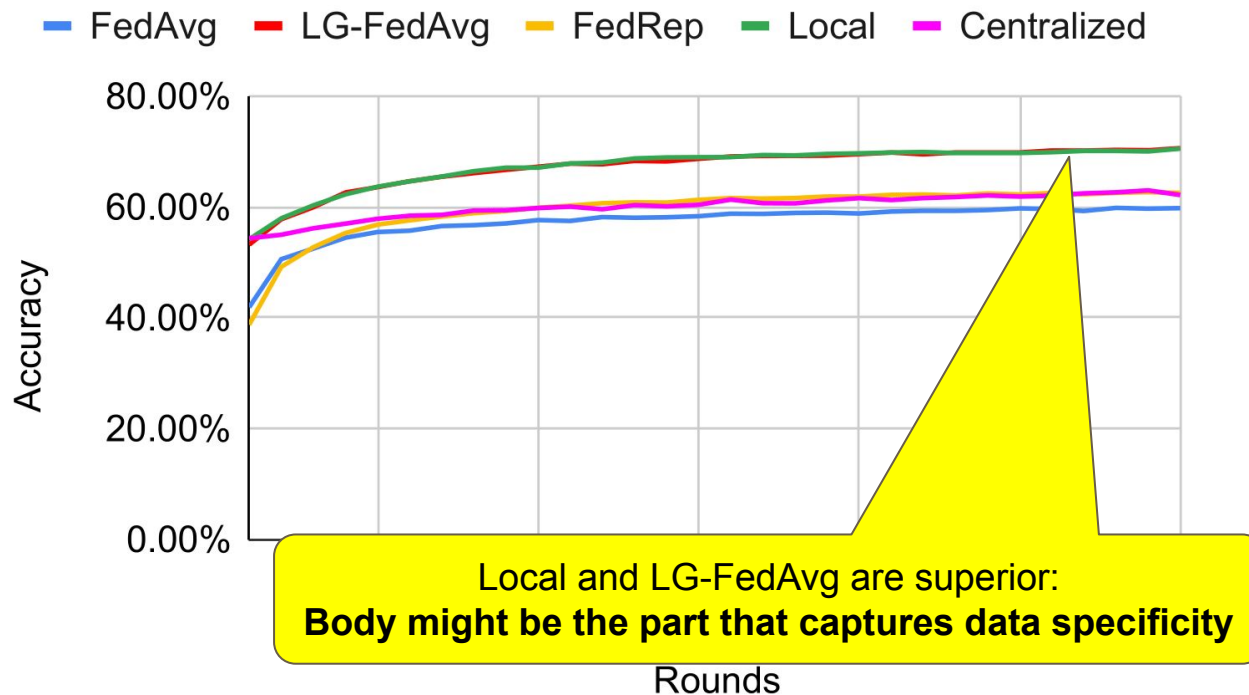
# Intermediate Clients



# Big Clients



# Big Clients



# Summary of Results

- Small Clients:
  - Best Federated Algorithm-> FedAvg
  - Best Models -> FedAvg, Centralized
  - Collaboration is key
- Intermediate and Big Clients:
  - Best Federated Algorithm -> LG-FedAvg
  - Best Models -> LG-FedAvg, Local
  - Personalizing the body preferable



# Conclusion

- FL is a Decentralized ML approach
  - Clients collaboratively train a model
  - Clients do not share their data
- No ideal strategy
  - Clients with less data -> no personalization
  - Clients with more data -> body personalization
- Future Work
  - Combine FedAvg and LG-FedAvg