

# Adaptive Cross-Modal Few-shot Learning

Element AI, Montreal, Canada NIPS 2019

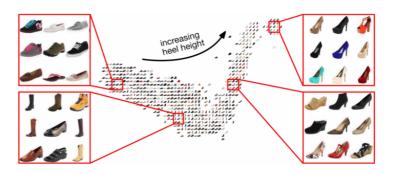
## 1. Introduction

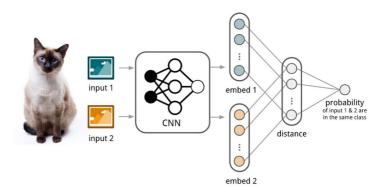
- Deep learning requires a large amount of labeled data ,but impractical or expensive to acquire.
- Limited labeled data lead to over-fitting and generalization issues
- Existing evidence: humans can learn new concepts from a very few samples
- Few Shot Learning (FSL): learning new concepts with small number of labeled data points
- Propose Adaptive Modality Mixture Mechanism (AM3), an approach that adaptively and selectively **combines information from two modalities, visual and semantic**, for few-shot learning

## 2. Related work

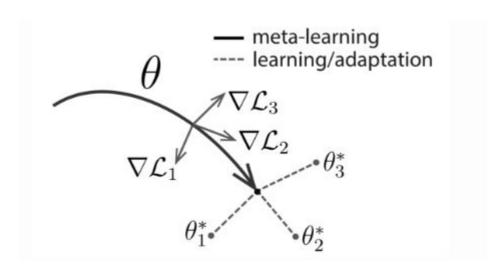
## **Meta Learning & Few-shot Learning**

1. metric-based approaches: aim at learning representations that minimize intra-class distances while maximizing the distance between different classes



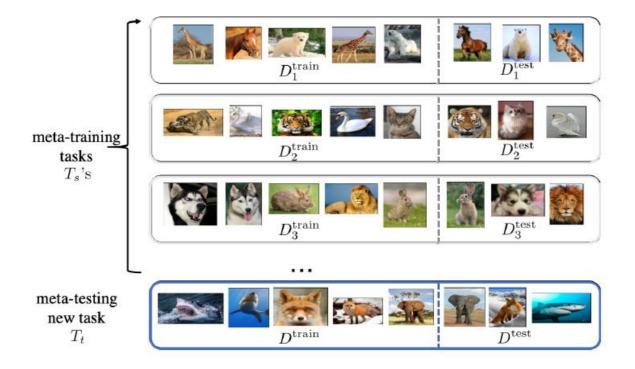


- **2. gradient-based approaches :** aim at training models that can generalize well to new tasks with only a few fine-tuning updates
  - Model-agnostic meta-learning for fast adaptation of deep networks, ICML 2017



#### 3. Method

## **Episodic Training**



Data set:

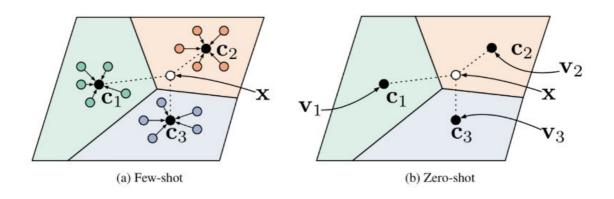
$$D_{=}\{D_{train}, D_{test}\}$$

Meta-learning: meta-training task Ts 와 meta-testing task Tt로 분리되고, 여러 개의 episode들이 합쳐서 task를 이룹니다. 하나의 episode에는 전체 데이터셋 D로부터 random으로 N개의 클래스를 선택하고 각 클래스에서 K 개의 이미지를 사용하여 총 N \* K 개의 이미지가 포함됩니다. 이 데이터를 support set이라고 하며, 위 그림에서 D\_train으로 되어 있는 episode를 보면 5개의 클래스가 있고 각 이미지는 1장씩 포함되어 있습니다. 이때 5-way 1-shot이라고 하며, 일반화하여 N-way K-shot이라고 합니다. Support set과의 중복없이 각 N개 클래스에서 Q개의 이미지를 더 추출하게 되는데 이를 query set이라고 합니다. Support set이 주어졌을 때, 모델에 query set을 이용하여 loss를 계산하고 이를 update하는 방식으로 학습이 진행됩니다.

meta-testing task에 속하는 data들의 클래스는 training task에 사용된 클래스들과 서로 중복되지 않습니다. meta-testing task에서는 meta-training 과정에서 한번도 학습이 되지 않은 새로운 데이터(unseen data)로 task를 testing해서 그 learner가 데이터들을 같은 클래스끼리 잘 분류를 하는지 확인합니다. 즉, Few shot learning 환경에서는 labeling 데이터가 많지 않기 때문에 Imagenet과 같은 대량의 label이 있는 데이터로 training을 한 뒤 meta-testing 단계에서 few shot data를 가지고 learner가 학습이 잘 되었는지 확인할 수 있게 하는 원리입니다.

정리해보자면, 기존의 classification model이 주어진 데이터셋으로 학습을 하고 새로운 이미지가 어떤 클래스인지 잘 예측하는 것이라면, meta-learning의 목적은 task 자체에 목적을 두고 training을 잘 해서 새로운 task가 있을 때, 그 task가 기존 training 한 과정 처럼 그 task를 잘 수행할 수 있도록 learn-to-learn 하는 것이라고 생각할 수 있습니다(task를 잘 학습할 수 있도록 학습하는 것).

## **Prototypical Network**



Prototypical Network는 Metric 기반으로 학습되며, 기존에 우리가 알고 있는 nearest neighbor 알고리즘과 유사하다고 생각할 수 있습니다. Figure (a)에서 c1, c2, c3는 각 class에 대한 prototype을 나타내고 있습니다. 즉, 그 class를 대표 할 수 있는 값입니다. 이 그림에서 보이는 데이터들이 하나의 episode를 구성한다고 가정한다면 5 shot 3 way로 생각할 수 있겠습니다. 즉, c1에 대한 5개의 이미지를 이용하여 feature vector를 구하고 이를 평균내서 대표 값 한가지를 만드는 것입니다.

$$\mathbf{c}_k = \frac{1}{|S_k|} \sum_{(\mathbf{x}_i, y_i) \in S_k} f_{\phi}(\mathbf{x}_i)$$

Test 단계에서는 입력 값 x의 feature vector와 위 3개의 class prototype과 distance를 계산하여 어느 class에 속하는지 분류합니다.

$$p_{\phi}(y = k \mid \mathbf{x}) = \frac{\exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_k))}{\sum_{k'} \exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_{k'}))}$$

Loss function :  $J(oldsymbol{\phi}) = -\log p_{oldsymbol{\phi}}(y=k\,|\,\mathbf{x})$ 

#### Algorithm

**Algorithm 1** Training episode loss computation for prototypical networks. N is the number of examples in the training set, K is the number of classes in the training set,  $N_C \leq K$  is the number of classes per episode,  $N_S$  is the number of support examples per class,  $N_Q$  is the number of query examples per class. RandomSample(S, S) denotes a set of S0 elements chosen uniformly at random from set S1, without replacement.

```
Input: Training set \mathcal{D} = \{(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_N, y_N)\}, where each y_i \in \{1, \dots, K\}. \mathcal{D}_k denotes the
   subset of \mathcal{D} containing all elements (\mathbf{x}_i, y_i) such that y_i = k.
Output: The loss J for a randomly generated training episode.
                                                                                                > Select class indices for episode
   V \leftarrow \mathsf{RANDOMSAMPLE}(\{1,\ldots,K\},N_C)
   for k in \{1, ..., N_C\} do
       S_k \leftarrow \mathsf{RANDOMSAMPLE}(\mathcal{D}_{V_k}, N_S)

    Select support examples

       Q_k \leftarrow \mathsf{RANDOMSAMPLE}(\mathcal{D}_{V_k} \setminus S_k, N_Q)

    Select query examples

      \mathbf{c}_k \leftarrow \frac{1}{N_C} \sum_{(\mathbf{x}_i, y_i) \in S_L} f_{\phi}(\mathbf{x}_i)

    Compute prototype from support examples

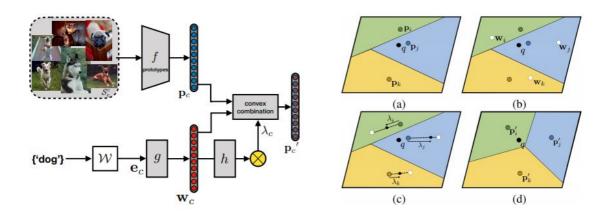
   end for
   J \leftarrow 0
                                                                                                                          ▶ Initialize loss
   for k in \{1, ..., N_C\} do
       for (\mathbf{x}, y) in Q_k do
          J \leftarrow J + \frac{1}{N_C N_O} \left[ d(f_{\phi}(\mathbf{x}), \mathbf{c}_k)) + \log \sum_{k} \exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_k)) \right]
                                                                                                                            ▶ Update loss
       end for
   end for
```

## **Adaptive Modality Mixture Mechanism (AM3)**

Augment metric-based FSL methods to incorporate language structure



Figure 1: Concepts have different visual and semantic feature space. (*Left*) Some categories may have similar visual features and dissimilar semantic features. (*Right*) Other can possess same semantic label but very distinct visual features. Our method adaptively exploits both modalities to improve classification performance in low-shot regime.



$$\mathbf{p}'_{c} = \lambda_{c} \cdot \mathbf{p}_{c} + (1 - \lambda_{c}) \cdot \mathbf{w}_{c}$$

$$\lambda_{c} = \frac{1}{1 + \exp(-h(\mathbf{w}_{c}))}$$

$$p_{\theta}(y = c | q_{t}, S_{e}, \mathcal{W}) = \frac{\exp(-d(f(q_{t}), \mathbf{p}'_{c}))}{\sum_{k} \exp(-d(f(q_{t}), \mathbf{p}'_{k}))}$$

#### A Algorithm for Episode Loss

Algorithm 1: Training episode loss computation for adaptive cross-modality few-shot learning. M is the total number of classes in the training set, N is the number of classes in every episode, K is the number of supports for each class,  $K_Q$  is the number of queries for each class, W is the pretrained label embedding dictionary.

```
Input: Training set \mathcal{D}_{\text{train}} = \{(\mathbf{x}_i, y_i)\}_i, y_i \in \{1, ..., M\}. \ \mathcal{D}^c_{\text{train}} = \{(\mathbf{x}_i, y_i) \in \mathcal{D}_{\text{train}} \mid y_i = c\}.

Output: Episodic loss \mathcal{L}(\theta) for sampled episode e.

{Select N classes for episode e}

C \leftarrow RandomSample(\{1, ..., M\}, N)
{Compute cross-modal prototypes}

for c in C do

S^c_e \leftarrow RandomSample(\mathcal{D}^c_{\text{train}}, K)
Q^c_e \leftarrow RandomSample(\mathcal{D}^c_{\text{train}} \setminus S^c_e, K_Q)
\mathbf{p}_c \leftarrow \frac{1}{|S^c_e|} \sum_{(s_i, y_i) \in S^c_e} f(s_i)
\mathbf{e}_c \leftarrow LookUp(c, \mathcal{W})
\mathbf{w}_c \leftarrow g(\mathbf{e}_c)
\lambda_c \leftarrow \frac{1}{1 + \exp(-h(\mathbf{w}_c))}
\mathbf{p}'_c \leftarrow \lambda_c \cdot \mathbf{p}_c + (1 - \lambda_c) \cdot \mathbf{w}_c
end for

{Compute loss}

\mathcal{L}(\theta) \leftarrow 0

for c in C do

for (q_t, y_t) in Q^c_e do

\mathcal{L}(\theta) \leftarrow \mathcal{L}(\theta) + \frac{1}{N \cdot K} [d(f(q_t), \mathbf{p}'_c)) + \log\sum_k \exp(-d(f(q_t), \mathbf{p}'_k))]
end for
end for
```

## 4. Experiments & Results

| Model   | Test Accuracy      |                    |                                       |  |
|---|--------------------|--------------------|---------------------------------------|--|
|   | 5-way 1-shot       | 5-way 5-shot       | 5-way 10-shot                         |  |
| Uni-modality few-shot learning baselines                            |                    |                    |                                       |  |
| Matching Network [53]   | $43.56 \pm 0.84\%$ | $55.31 \pm 0.73\%$ | -                                     |  |
| Prototypical Network [47]   | $49.42 \pm 0.78\%$ | $68.20 \pm 0.66\%$ | $74.30 \pm 0.52\%$                    |  |
| Discriminative k-shot [2]   | $56.30 \pm 0.40\%$ | $73.90 \pm 0.30\%$ | $78.50 \pm 0.00\%$                    |  |
| Meta-Learner LSTM [38]  | $43.44 \pm 0.77\%$ | $60.60 \pm 0.71\%$ | -                                     |  |
| MAML [7]  | $48.70 \pm 1.84\%$ | $63.11 \pm 0.92\%$ | -                                     |  |
| ProtoNets w Soft k-Means [39]                                       | $50.41 \pm 0.31\%$ | $69.88 \pm 0.20\%$ | -                                     |  |
| SNAIL [32]  | $55.71 \pm 0.99\%$ | $68.80 \pm 0.92\%$ | -                                     |  |
| CAML [16]   | $59.23 \pm 0.99\%$ | $72.35 \pm 0.71\%$ | -                                     |  |
| LEO [41]  | $61.76 \pm 0.08\%$ | $77.59 \pm 0.12\%$ | -                                     |  |
| Modality alignment baselines  |                    |                    |                                       |  |
| DeViSE [9]  | $37.43 \pm 0.42\%$ | $59.82 \pm 0.39\%$ | 66.50±0.28%                           |  |
| ReViSE [14]   | $43.20 \pm 0.87\%$ | $66.53 \pm 0.68\%$ | $72.60 \pm 0.66\%$                    |  |
| CBPL [29]   | $58.50 \pm 0.82\%$ | $75.62 \pm 0.61\%$ | -                                     |  |
| f-CLSWGAN [57]  | $53.29 \pm 0.82\%$ | $72.58 \pm 0.27\%$ | $73.49 \pm 0.29\%$                    |  |
| CADA-VAE 44   | 58.92±1.36%        | $73.46 \pm 1.08\%$ | $76.83 \pm 0.98\%$                    |  |
| Modality alignment baselines extended to metric-based FSL framework |                    |                    |                                       |  |
| DeViSE-FSL  | $56.99 \pm 1.33\%$ | $72.63 \pm 0.72\%$ | $76.70 \pm 0.53\%$                    |  |
| ReViSE-FSL  | $57.23 \pm 0.76\%$ | $73.85 \pm 0.63\%$ | $77.21 \pm 0.31\%$                    |  |
| f-CLSWGAN-FSL   | $58.47 \pm 0.71\%$ | $72.23 \pm 0.45\%$ | $76.90 \pm 0.38\%$                    |  |
| CADA-VAE-FSL  | $61.59 \pm 0.84\%$ | $75.63 \pm 0.52\%$ | $79.57 \pm 0.28\%$                    |  |
| AM3 and its backbones   |                    |                    |                                       |  |
| ProtoNets++   | $56.52 \pm 0.45\%$ | $74.28 \pm 0.20\%$ | $78.31 \pm 0.44\%$                    |  |
| AM3-ProtoNets++   | $65.21 \pm 0.30\%$ | $75.20 \pm 0.27\%$ | $78.52 \pm 0.28\%$                    |  |
| TADAM [35]  | $58.56 \pm 0.39\%$ | $76.65 \pm 0.38\%$ | $80.83 \pm 0.37\%$                    |  |
| AM3-TADAM   | $65.30 \pm 0.49\%$ | $78.10 \pm 0.36\%$ | $\textbf{81.57} \pm \textbf{0.47} \%$ |  |

Table 1: Few-shot classification accuracy on *test* split of *mini*ImageNet. Results in the top use only visual features. Modality alignment baselines are shown on the middle and our results (and their backbones) on the bottom part.

| Model   | Test Accuracy   |   |  |  |
|---|---|---|--|--|
|   | 5-way 1-shot  | 5-way 5-shot  |  |  |
| Uni-modality few-shot learning baselines  |   |   |  |  |
| MAML <sup>†</sup> [7]<br>Proto. Nets with Soft k-Means [39]<br>Relation Net <sup>†</sup> [50] | $51.67 \pm 1.81\%$<br>$53.31 \pm 0.89\%$<br>$54.48 \pm 0.93\%$                          | $70.30 \pm 0.08\%$<br>$72.69 \pm 0.74\%$<br>$71.32 \pm 0.78\%$              |  |  |
| Transductive Prop. Nets [28]<br>LEO [41]  | $54.48 \pm 0.93\% \\ 66.33 \pm 0.05\%$  | $71.32 \pm 0.78\% \\ 81.44 \pm 0.09\%$                                      |  |  |
| Modality alignment baselines  |   |   |  |  |
| DeViSE 9<br>ReViSE 14<br>CADA-VAE 44  | 49.05±0.92%<br>52.40±0.46%<br>58.92±1.36%   | 68.27±0.73%<br>69.92±0.59%<br>73.46±1.08%                                   |  |  |
| Modality alignment baselines extended to metric-based FSL framework                           |   |   |  |  |
| DeViSE-FSL<br>ReViSE-FSL<br>CADA-VAE-FSL  | $\begin{array}{c} 61.78 \pm 0.43\% \\ 62.77 \pm 0.31\% \\ 63.16 \pm 0.93\% \end{array}$ | $77.17 \pm 0.81\% 77.27 \pm 0.42\% 78.86 \pm 0.31\%$                        |  |  |
| AM3 and its backbones   |   |   |  |  |
| ProtoNets++<br>AM3-ProtoNets++<br>TADAM [35]<br>AM3-TADAM                                     | $58.47 \pm 0.64\%$<br>$67.23 \pm 0.34\%$<br>$62.13 \pm 0.31\%$<br>$69.08 \pm 0.47\%$    | $78.41 \pm 0.41\%$ $78.95 \pm 0.22\%$ $81.92 \pm 0.30\%$ $82.58 \pm 0.31\%$ |  |  |

Table 2: Few-shot classification accuracy on *test* split of *tiered*ImageNet. Results in the top use only visual features. Modality alignment baselines are shown in the middle and our results (and their backbones) in the bottom part. †deeper net, evaluated in [28].

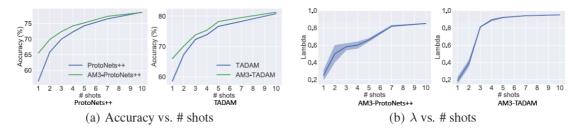


Figure 3: (a) Comparison of AM3 and its corresponding backbone for different number of shots (b) Average value of  $\lambda$  (over whole validation set) for different number of shot, considering both backbones.