

## Reduced Instruction Set Computers

### RISC Vs CISC

CISC	RISC
The original microprocessor ISA	Redesigned ISA that emerged in the early 1980s
Instructions can take several clock cycles	Single-cycle instructions
Hardware-centric design  – the ISA does as much as possible using hardware circuitry	Software-centric design  – High-level compilers take on most of the burden of coding many software steps from the programmer
More efficient use of RAM than RISC	Heavy use of RAM (can cause bottlenecks if RAM is limited)
Complex and variable length instructions	Simple, standardized instructions
May support microcode (micro-programming where instructions are treated like small programs)	Only one layer of instructions
Large number of instructions	Small number of fixed-length instructions
Compound addressing modes	Limited addressing modes

### RISC Pipelining

To improve the performance of a CPU we have two options:

1. Improve the hardware by introducing faster circuits.
2. Arrange the hardware such that more than one operation can be performed at the same time.

Since, there is a limit on the speed of hardware and the cost of faster circuits is quite high, we have to adopt the 2<sup>nd</sup> option.

**Pipelining:** Pipelining is a process of arrangement of hardware elements of the CPU such that its overall performance is increased. Simultaneous execution of more than one instruction takes place in a pipelined processor.

Let us see a real life example that works on the concept of pipelined operation. Consider a water bottle packaging plant. Let there be 3 stages that a bottle should pass through, Inserting the bottle(**I**), Filling water in the bottle(**F**), and Sealing the bottle(**S**). Let us consider these stages as stage 1, stage 2 and stage 3 respectively. Let each stage take 1 minute to complete its operation.

Now, in a non-pipelined operation, a bottle is first inserted in the plant, after 1 minute it is moved to stage 2 where water is filled. Now, in stage 1 nothing is happening. Similarly, when the bottle moves to stage 3, both stage 1 and stage 2 are idle. But in pipelined operation, when the bottle is in stage 2, another bottle can be loaded at stage 1. Similarly, when the bottle is in stage 3, there can be one bottle each in stage 1 and stage 2. So, after each minute, we get a new bottle at the end of stage 3. Hence, the average time taken to manufacture 1 bottle is :

**Without pipelining** =  $9/3$  minutes = 3m

| F S | | | | |

| | | | F S | | |

| | | | | | | F S (9 minutes)

**With pipelining** =  $5/3$  minutes = 1.67m

| F S | |

| | F S |

| | | F S (5 minutes)

Thus, pipelined operation increases the efficiency of a system.

### Design of a basic pipeline

- In a pipelined processor, a pipeline has two ends, the input end and the output end. Between these ends, there are multiple stages/segments such that output of one stage is connected to input of next stage and each stage performs a specific operation.
- Interface registers are used to hold the intermediate output between two stages. These interface registers are also called latch or buffer.
- All the stages in the pipeline along with the interface registers are controlled by a common clock.

### Execution in a pipelined processor

Execution sequence of instructions in a pipelined processor can be visualized using a space-time diagram. For example, consider a processor having 4 stages and let there be 2 instructions to be executed. We can visualize the execution sequence through the following space-time diagrams:

**Non overlapped execution:**

STAGE / CYCLE	1	2	3	4	5	6	7	8
S1	$l_1$				$l_2$			
S2		$l_1$				$l_2$		
S3			$l_1$				$l_2$	
S4				$l_1$				$l_2$

Total time = 8 Cycle

**Overlapped execution:**

STAGE / CYCLE	1	2	3	4	5	
S1	$l_1$	$l_2$				
S2		$l_1$	$l_2$			
S3			$l_1$	$l_2$		
S4					$l_1$	$l_2$

Total time = 5 Cycle

## Pipeline Stages

RISC processor has 5 stage instruction pipeline to execute all the instructions in the RISC instruction set. Following are the 5 stages of RISC pipeline with their respective operations:

- **Stage 1 (Instruction Fetch)** **Instruction pipeline**  
In this stage the CPU reads instructions from the address in the memory whose value is present in the program counter.
- **Stage 2 (Instruction Decode)**  
In this stage, instruction is decoded and the register file is accessed to get the values from the registers used in the instruction.
- **Stage 3 (Instruction Execute)**  
In this stage, ALU operations are performed.
- **Stage 4 (Memory Access)**  
In this stage, memory operands are read and written from/to the memory that is present in the instruction.
- **Stage 5 (Write Back)**  
In this stage, computed/fetched value is written back to the register present in the instructions.

## Performance of a pipelined processor

Consider a 'k' segment pipeline with clock cycle time as ' $T_p$ '. Let there be 'n' tasks to be completed in the pipelined processor. Now, the first instruction is going to take 'k' cycles to come out of the pipeline but the other ' $n - 1$ ' instructions will take only '1' cycle each, i.e, a total of ' $n - 1$ ' cycles. So, time taken to execute 'n' instructions in a pipelined processor:

$$\begin{aligned} ET_{\text{pipeline}} &= k + n - 1 \text{ cycles} \\ &= (k + n - 1) T_p \end{aligned}$$

In the same case, for a non-pipelined processor, execution time of 'n' instructions will be:

$$ET_{\text{non-pipeline}} = n * k * T_p$$

So, speedup (S) of the pipelined processor over non-pipelined processor, when 'n' tasks are executed on the same processor is:

$$S = \frac{\text{Performance of pipelined processor}}{\text{Performance of Non-pipelined processor}}$$

As the performance of a processor is inversely proportional to the execution time, we have,

$$\begin{aligned} S &= \frac{ET_{\text{non-pipeline}}}{ET_{\text{pipeline}}} \\ \Rightarrow S &= \frac{[n * k * T_p]}{[(k + n - 1) * T_p]} \\ S &= [n * k] / [k + n - 1] \end{aligned}$$

When the number of tasks 'n' are significantly larger than k, that is,  $n \gg k$

$$S = n * k / n$$

$$S = k$$

Where 'k' are the number of stages in the pipeline.

Also, **Efficiency** = Given speed up / Max speed up =  $S / S_{\max}$

We know that,  $S_{\max} = k$

So, **Efficiency** =  $S / k$

**Throughput** = Number of instructions / Total time to complete the instructions

So, **Throughput** =  $n / (k + n - 1) * T_p$

Note: The cycles per instruction (CPI) value of an ideal pipelined processor is 1

### Conflicts in Instruction Pipelining and their Solutions

#### Dependencies in a pipelined processor

There are mainly three types of dependencies possible in a pipelined processor. These are:

- 1) Structural Dependency
- 2) Control Dependency
- 3) Data Dependency

These dependencies may introduce stalls in the pipeline.

**Stall:** A stall is a cycle in the pipeline without new input.

#### Structural dependency

This dependency arises due to the resource conflict in the pipeline. A resource conflict is a situation when more than one instruction tries to access the same resource in the same cycle. A resource can be a register, memory, or ALU.

Example:

INSTRUCTION / CYCLE	1	2	3	4	5
I <sub>1</sub>	IF(Mem)	ID	EX	Mem	
I <sub>2</sub>		IF(Mem)	ID	EX	
I <sub>3</sub>			IF(Mem)	ID	EX
I <sub>4</sub>				IF(Mem)	ID

In the above scenario, in cycle 4, instructions I<sub>1</sub> and I<sub>4</sub> are trying to access same resource (Memory) which introduces a resource conflict.

To avoid this problem, we have to keep the instruction on wait until the required resource (memory in our case) becomes available. This wait will introduce stalls in the pipeline as shown below:

CYCLE	1	2	3	4	5	6	7	8
I <sub>1</sub>	IF(Mem)	ID	EX	Mem	WB			
I <sub>2</sub>		IF(Mem)	ID	EX	Mem	WB		
I <sub>3</sub>			IF(Mem)	ID	EX	Mem	WB	
I <sub>4</sub>				—	—	—	IF(Mem)	

## Solution for structural dependency

To minimize structural dependency stalls in the pipeline, we use a hardware mechanism called Renaming.

**Renaming:** According to renaming, we divide the memory into two independent modules used to store the instruction and data separately called Code memory(CM) and Data memory(DM) respectively. CM will contain all the instructions and DM will contain all the operands that are required for the instructions.

### Control Dependency (Branch Hazards)

This type of dependency occurs during the transfer of control instructions such as BRANCH, CALL, JMP, etc. On many instruction architectures, the processor will not know the target address of these instructions when it needs to insert the new instruction into the pipeline. Due to this, unwanted instructions are fed to the pipeline.

Consider the following sequence of instructions in the program:

100:  $I_1$   
 101:  $I_2$  (JMP 250)  
 102:  $I_3$

.

.

250:  $BI_1$

Expected output:  $I_1 \rightarrow I_2 \rightarrow BI_1$

NOTE: Generally, the target address of the JMP instruction is known after ID stage only.

INSTRUCTION/ CYCLE	1	2	3	4	5	6
$I_1$	IF	ID	EX	MEM	WB	
$I_2$		IF	ID (PC:250)	EX	Mem	WB
$I_3$			IF	ID	EX	Mem
$BI_1$				IF	ID	EX

Output Sequence:  $I_1 \rightarrow I_2 \rightarrow I_3 \rightarrow BI_1$

So, the output sequence is not equal to the expected output that means the pipeline is not implemented correctly.

To correct the above problem we need to stop the Instruction fetch until we get target address of branch instruction. This can be implemented by introducing delay slot until we get the target address.

INSTRUCTION/ CYCLE	1	2	3	4	5	6
$I_1$	IF	ID	EX	MEM	WB	
$I_2$		IF	ID (PC:250)	EX	Mem	WB
Delay	-	-	-	-	-	-

INSTRUCTION/ CYCLE	1	2	3	4	5	6
BI <sub>1</sub>				IF	ID	EX

Output Sequence:  $I_1 \rightarrow I_2 \rightarrow \text{Delay (Stall)} \rightarrow BI_1$

As the delay slot performs no operation, this output sequence is equal to the expected output sequence. But this slot introduces stall in the pipeline.

**Solution for Control dependency** Branch Prediction is the method through which stalls due to control dependency can be eliminated. In this at 1st stage prediction is done about which branch will be taken. For branch prediction Branch penalty is zero.

**Branch penalty:** The number of stalls introduced during the branch operations in the pipelined processor is known as branch penalty.

**NOTE:** As we see that the target address is available after the ID stage, so the number of stalls introduced in the pipeline is 1. Suppose, the branch target address would have been present after the ALU stage, there would have been 2 stalls. Generally, if the target address is present after the  $k^{\text{th}}$  stage, then there will be  $(k - 1)$  stalls in the pipeline.

Total number of stalls introduced in the pipeline due to branch instructions = **Branch frequency \* Branch Penalty**

### Data Dependency (Data Hazard)

Let us consider an ADD instruction S, such that

$S : \text{ADD } R_1, R_2, R_3$

Addresses read by S =  $I(S) = \{R_2, R_3\}$

Addresses written by S =  $O(S) = \{R_1\}$

Now, we say that instruction S2 depends in instruction S1, when

$$[I(S_1) \cap O(S_2)] \cup [O(S_1) \cap I(S_2)] \cup [O(S_1) \cap O(S_2)] \neq \emptyset$$

This condition is called Bernstein condition.

Three cases exist:

- Flow (data) dependence:  $O(S_1) \cap I(S_2)$ ,  $S_1 \rightarrow S_2$  and S1 writes after something read by S2
- Anti-dependence:  $I(S_1) \cap O(S_2)$ ,  $S_1 \rightarrow S_2$  and S1 reads something before S2 overwrites it
- Output dependence:  $O(S_1) \cap O(S_2)$ ,  $S_1 \rightarrow S_2$  and both write the same memory location.

Example: Let there be two instructions I<sub>1</sub> and I<sub>2</sub> such that:

I<sub>1</sub> : ADD R1, R2, R3

I<sub>2</sub> : SUB R4, R1, R2

When the above instructions are executed in a pipelined processor, then data dependency condition will occur, which means that I<sub>2</sub> tries to read the data before I<sub>1</sub> writes it, therefore, I<sub>2</sub> incorrectly gets the old value from I<sub>1</sub>.

INSTRUCTION / CYCLE	1	2	3	4
I <sub>1</sub>	IF	ID	EX	DM
I <sub>2</sub>		IF	ID(Old value)	EX

To minimize data dependency stalls in the pipeline, **operand forwarding** is used.

**Operand Forwarding:** In operand forwarding, we use the interface registers present between the stages to hold intermediate output so that dependent instruction can access new value from the interface register directly.

Considering the same example:

I<sub>1</sub> : ADD R1, R2, R3

I<sub>2</sub> : SUB R4, R1, R2

INSTRUCTION / CYCLE	1	2	3	4
I <sub>1</sub>	IF	ID	EX	DM
I <sub>2</sub>		IF	ID	EX

### Data Hazards

Data hazards occur when instructions that exhibit data dependence, modify data in different stages of a pipeline. Hazard cause delays in the pipeline. There are mainly three types of data hazards:

- 1) RAW (Read after Write) [Flow/True data dependency]
- 2) WAR (Write after Read) [Anti-Data dependency]
- 3) WAW (Write after Write) [Output data dependency]

Let there be two instructions I and J, such that J follows I. Then,

- RAW hazard occurs when instruction J tries to read data before instruction I writes it.  
Eg:  
I:  $R2 \leftarrow R1 + R3$   
J:  $R4 \leftarrow R2 + R3$
- WAR hazard occurs when instruction J tries to write data before instruction I reads it.  
Eg:  
I:  $R2 \leftarrow R1 + R3$   
J:  $R3 \leftarrow R4 + R5$
- WAW hazard occurs when instruction J tries to write output before instruction I writes it.  
Eg:  
I:  $R2 \leftarrow R1 + R3$   
J:  $R2 \leftarrow R4 + R5$

WAR and WAW hazards occur during the out-of-order execution of the instructions.

## Register Window

In some RISC microarchitectures there are less registers shared between the program - “global registers” and each function gets a set of registers “window” that it has permission to use.

The idea is to restrict each scope of the program to certain registers - a certain “window”. The window includes registers for local use, registers to hold the parameters from the previous function, and registers to hold parameters for the next function.

Each scope is denied access to all but the global, local, and parent-child i/o registers. The parent and child input/output registers are the registers that “overlap”.

## Register Renaming

Register renaming is a form of pipelining that deals with data dependences between instructions by renaming their register operands. An assembly language programmer or a compiler specifies these operands using *architectural registers* - the registers that are explicit in the instruction set architecture. *Renaming* replaces architectural register names by, in effect, value names, with a new value name for each instruction destination operand. This eliminates the name dependences (output dependences and anti-dependences) between instructions and automatically recognizes true dependences.

The recognition of true data dependences between instructions permits a more flexible life cycle for instructions. By maintaining a status bit for each value indicating whether or not it has been computed yet, it allows the execution phase of two instruction operations to be performed out of order when there are no true data dependences between them. This is called *out-of-order execution*.

