CS583A: Quiz 2 (Sample Questions)

Name:

Policy: Books and printed materials are allowed. Do not use electronic divice, including phone, laptop, and tablet.

 $\textbf{Hint:} \quad \text{(i)} \ \ \frac{\partial e^a}{\partial a} = e^a \text{, (ii)} \ \ \frac{\partial \log_e(a)}{\partial a} = \frac{1}{a} \text{, (iii)} \ \ \frac{\partial \frac{1}{a}}{\partial a} = -\frac{1}{a^2} \text{, and (iv)} \ \ \frac{\partial \cos(a)}{\partial a} = -\sin(a).$

Q1 (2%). Let A be a real matrix and b be a real vector. To compute the multiplication Ab efficiently, which of the following libraries is the the best choice?

- A. Level 1 BLAS.
- B. Level 2 BLAS.
- C. Level 3 BLAS.
- D. LAPACK.

Q2 (2%). The input shape is 18×18 , the pool size is 3×3 , and the pooling has no overlap (equivalently, the stride is 3×3). Then what is the output shape of the pooling?

- A. 3×3 .
- B. 6×6 .
- C. 15×15 .
- D. 16×16 .
- E. 18×18 .

Q3 (3%). Let $f: \mathbb{R}^d \to \mathbb{R}$ be a convex function. A local minimum of $\min_{\mathbf{w}} f(\mathbf{w})$ is also a global minimum.

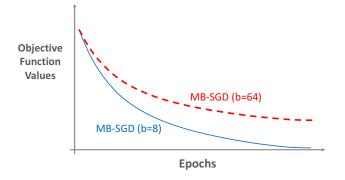
- A. The statement is true.
- B. The statement is false.

Q4 (2%). We want to train a deep convolutional neural network using the CIFAR10 dataset. Which of the following tricks cannot be applied to improve the test accuracy?

- A. Using dropout regularization.
- B. Using data augmentation.
- C. Using ensemble method.
- D. Using multi-task learning.

Q5 (2%). We use mini-batch SGD with momentum to solve a softmax classifier model. We use different settings of batch size, b, and plot the **objective function value** against **epochs** in the figure below. All the other hyper-parameters in the algorithms have been fine-tuned. The figure indicates that MB-SGD with b=8 is very likely better than b=64 in this case.

- A. The statement is true.
- B. The statement is false.



Q6 (2%). Suppose we seek to predict increment (either positive or negative) of a stock's price based on its prices in the past. Which of the followings is the best choice for the activation function of the output layer?

- A. No activation function (equivalently, the identity function).
- B. Rectified linear unit (ReLU).
- C. Sigmoid function.
- D. Softmax function.
- D. Tanh function.

Q7 (2%). The set $\{\mathbf{x} \in \mathbb{R}^{100} \mid ||\mathbf{x}||_1 = 5\}$ is a convex set.

- A. The statement is true.
- B. The statement is false.

Q8 (2%). The following implements a convolutional neural network. The code has a major problem. How can we make correction?

- A. Remove the second convolutional layer (Lines 10 to 12), because it is not useful.
- B. Put the batch normalization layers (Lines 8 and 11) after the activations (Lines 9 and 12).
- C. Put the batch normalization layers (Lines 8 and 11) before the convolutions (Lines 7 and 10).
- D. Put the dropout layer (Line 18) before the first dense layer (Line 16).
- E. Put the dropout layer (Line 18) before the second dense layer (Line 17).

```
1 from keras import models
  from keras.layers import Input, Conv2D, MaxPooling2D, Activation
  from keras.layers import BatchNormalization, Flatten, Dense, Dropout
  input_img = Input((128, 128, 3))
  x = Conv2D(32, (3, 3))(input_img)
|x| = BatchNormalization()(x)
9 | x = Activation('relu')(x)
|x| = \text{Conv2D}(32, (3, 3))(x)
|x| = BatchNormalization()(x)
|x| = Activation('relu')(x)
|x| = \text{MaxPooling2D}((2, 2))(x)
_{15}|x = Flatten()(x)
_{16}|x = Dense(128, activation='relu')(x)
 x = Dense(256, activation='relu')(x)
|x| = Dropout(0.5)(x)
|x| = Dense(10, activation='softmax')(x)
21 model = models. Model(input_img, x)
```

Q9 (2%). The following implements a convolutional neural network. The code is incorrect. How can we make correction?

- A. The activation in Line 7 should be removed.
- B. The activation layer (Line 9) should be removed.
- C. The batch normalization layer (Line 8) should be place after the pooling layer (Line 10).
- D. The dropout layer (Line 12) should be placed after Line 13 and before Line 14.

```
from keras import models
from keras.layers import Input, Conv2D, BatchNormalization, Activation
from keras.layers import MaxPooling2D, Flatten, Dropout, Dense

input_img = Input((28, 28, 3))
```

```
7 \mid x = \text{Conv2D}(32, (3, 3), \text{activation} = \text{'relu'})(\text{input}_{\text{img}})
|x| = BatchNormalization()(x)
9 | x = Activation('relu')(x)
10 \mid x = MaxPooling2D((2, 2))(x)
|x| = Flatten()(x)
|x| = Dropout(0.5)(x)
|x| = Dense(1000, activation='relu')(x)
|x| = Dense(10, activation='relu')(x)
model = models. Model(input_img, x)
```

Q10 (2%). (Fill in the blank.) The training set contains 1,000 samples. We train a neural network using mini-batch SGD. One epoch amounts to 50 iterations. What is the batch size? The batch size is .

Q11 (2%). (Fill in the blank.) What is the output of the following Python program? Answer:

1 import numpy

```
2 a = numpy.random.rand(3, 5) # generate a random matrix
|b| = \text{numpy.random.rand}(5, 10) \# \text{generate a random matrix}
4 \mid c = \text{numpy.dot}(a, b)
5 | print (c.shape [0])
```

Q12 (12%). (Fill in the blanks.) The following code builds a convolutional neural network.

- Line 5 is a convolutional layer with 1×1 convolutions. What is the output shape of this laver? Answer: _____
- What is (roughly) the number of parameters in the convolutional layer (Line 5)? Answer:
- Line 8 is a convolutional layer with 3×3 convolutions. What is the output shape of this layer? Answer: _____
- What is (roughly) the number of parameters in the convolutional layer (Line 8)? Answer: _____
- Line 13 is a pooling layer. What is the output shape of this layer? Answer:
- What is (roughly) the number of parameters in the pooling layer (Line 13)?

```
from keras.layers import Input, Conv2D, MaxPooling2D, concatenate

x_input = Input(shape=(100, 100, 40))

tower1 = Conv2D(10, (1,1), padding='same', activation='relu')(x_input)

tower2 = Conv2D(10, (1,1), padding='same', activation='relu')(x_input)

tower2 = Conv2D(10, (3,3), padding='same', activation='relu')(tower2)

tower3 = Conv2D(10, (1,1), padding='same', activation='relu')(x_input)

tower3 = Conv2D(10, (5,5), padding='same', activation='relu')(tower3)

tower4 = MaxPooling2D((3,3), strides=(1,1), padding='same')(x_input)

tower4 = Conv2D(10, (1,1), padding='same', activation='relu')(tower4)

tower4 = Conv2D(10, (1,1), padding='same', activation='relu')(tower4)

x_output = concatenate([tower1, tower2, tower3, tower4], axis = 3)
```

Q13 (12%). Let A be the 3×3 diagonal matrix:

$$\mathbf{A} = \mathsf{diag}\Big(\big[1,2,5\big]\Big) \triangleq \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 5 \end{bmatrix}$$

Caculate the following values:

1. the trace:

$$tr(\mathbf{A}) =$$

2. the squared Frobenius-norm of A:

$$\|{\bf A}\|_F^2 =$$

3. the condition number of **A**:

$$\kappa(\mathbf{A}) =$$

4. the rank of \mathbf{A} :

$$rank(\mathbf{A}) =$$