#### 6. Attention-based networks

### 6.4. Large-scale pretraining with transformers

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#### Introduction

- In the previous lessons we have seen models trained for specific tasks (English to French translation, image classification).
- Pretraining models are becoming common for better generalized models and generalist models.
- Transformers for machine translation consist of an encoder for the representation of input sequences and one for generating target sequences.
- In general, transformers can be used as encoder-decoder, encoder only, and decoder only.

• Remember the self-attention mechanisms that given a sequence  $\mathbf{x}_1, \dots, \mathbf{x}_N$  outputs a sequence  $\mathbf{y}_1, \dots, \mathbf{y}_n$  with the same shape, where

$$\mathbf{y}_n = \sum_{i=1}^N \alpha(\mathbf{x}_i, \mathbf{x}_n) \mathbf{x}_n = \boldsymbol{\alpha}_n^{\mathsf{T}} \mathbf{x}_n$$
 (1)

• In additive attention we have

$$a(\mathbf{x}_i, \mathbf{x}_n) = \mathbf{w}_f \tanh(\mathbf{W}_q \mathbf{x}_n + \mathbf{W}_k \mathbf{x}_i)$$
  

$$\boldsymbol{\alpha}_n = \operatorname{softmax} (a(\mathbf{x}_1, \mathbf{x}_n), \dots, a(\mathbf{x}_N, \mathbf{x}_n))$$
(2)

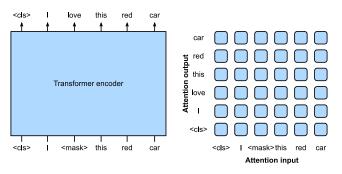
• Therefore, for a sequence of N elements, a self attention mechanism produces a matrix  $[\alpha_1, \dots, \alpha_N] \in \mathbb{R}^{N \times N}$  of self attention scores.

- A multi-head attention mechanism with M heads produces an array of dimensions  $N \times N \times M$  attention scores, which are used to construct M representations  $\mathbf{h}_{n,m}$  of each element  $\mathbf{x}_n$ .
- Each representation is then linearly combined with a transformation matrix as

$$\mathbf{y}_n = \mathbf{W}^{\top} \mathbf{h}_n \tag{3}$$

where  $\mathbf{h}_n$  is the concatenation of vectors  $\mathbf{h}_{n,m}$ .

• An encoder only is a set of self-attention layers with a fully connected layer that produces a classification.



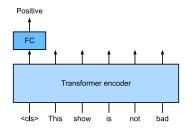
• This is the pre-trained bidirectional Encoder Representation from transformers (BERT).

# Encoder-only structures Pretraining BERT

- A BERT is pre-trained by using masked sequences as inputs and using the unmasked sequences as outputs, with the <cls> token included.
- A cross-entropy is used as a criterion.
- It is called bidirectional because the predicted word depends on the previous and posterior tokens.
- This training is unsupervised, as sequences do not have labels. Therefore, large databases (as Wikipedia) can be "easily" used.
- The output token corresponding to the input <cls> is then used to produce a classification after a fine-tuning.

#### Fine tuning BERT

- The <cls> output is then a global representation of the sequence.
- The BERT can be fine-tuned to perform specific tasks.



• Fully connected layers can be connected to the <cls> to train the whole structure to perform, for example, a sentiment analysis task.

# Encoder-only structures Fine tuning BERT

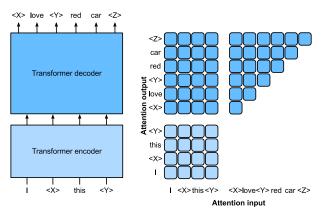
- Cross entropy and backpropagation is used to train the fully connected layers from scratch while the BERT structure is just updated.
- Based on this idea, and using a 350M parameter structure trained with 250 Billion tokens, BERT has been used for representation spans of text, computer vision, and others.

- The encoder-only structure cannot predict text for tasks as machine translation because the output sequence has the same length as the input.
- The encoder-decoder for machine translation first produces a representation of length N.
- The decoder produces a matrix of  $N \times m$  attention scores (or equivalently, multi-head scores) to predict the next token.
- This is called cross-attention.

- BART and T5 are two encoder-decoder schemes that are pre-trained with large text corpora.
- Both reconstruct text during the pre-training. BART uses deletion, permutation, and rotation besides masking.

#### Pretraining T5

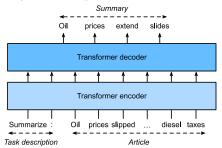
• The Text-to-Text Transfer Transformer (T5) is trained to predict consecutive spans with special tokens.



• The decoder self-attention is causal (it attends only to past tokens.)

#### Fine-tuning T5

- T5 can be fine-tuned to perform various specific tasks in the same text-to-text problem.
  - T5 includes the task description at the input.
  - T5 can produce sequences with arbitrary lengths.
  - No additional layers are required.



#### Fine-tuning T5

- The 11-billion-parameter T5 (T5-11B) achieved state-of-the-art results on multiple encoding (e.g., classification) and generation (e.g., summarization) benchmarks.
- The Imagen structure, text is input to a frozen 4.6 Billon parameter T5 encoder (T5-XXL) to produce images.



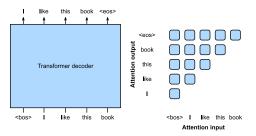
Teddy bears swimming at the Olympics 400m Butter- A cute corgi lives in a house made out of sushi. fly event.



A cute sloth holding a small treasure chest. A bright golden glow is coming from the chest.

# Decoder-only structures GPT and GPT-2

- The decoder-only structures remove the encoder and the encoder-decoder cross attention.
- This allows to train large models with any text databases.
- The Generative Pre-Training model is based on a decoder.



• The GPT is trained with the output as the input sequence shifted.

# Decoder-only structures GPT and GPT-2

- GPT (2018) has 100 million parameters and needs to be fine-tuned for individual downstream tasks.
- GPT-2 (2019) uses pre-normalization and improved initialization and weight-scaling
- GPT-2 was pre-trained on 40 GB of text and had 1.5 billion parameters.
- GPT-2 obtained state-of-the-art results on language modeling benchmarks and promising results on multiple other tasks without updating the parameters or architecture.

#### GPT3

