Deep Active Learning In The Presence Of Label Noise: A Review

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1 Introduction

Machine learning algorithms are a sub-class of artificial intelligence that learn from data to perform a pre-defined task such as classification, regression, or clustering. Of the numerous algorithms for machine learning, artificial neural networks, deep neural networks in particular have done exceptionally well in tasks involving complex data representations such as images, text, and sound. The main reason for this was the discovery that if you have a large enough dataset, you can build more extensive and more complex models with little to no risk of over-fitting. While this works in theory, the practical applications have major drawbacks such as the need for labeled training examples that come at a high cost due to the time needed to label the data, the high cost of labor in very specialized fields, or the cost of running simulations that would produce the ground truth dataset. The solution comes in the form of Deep active learning (DAL) algorithms, which strive to let the learning algorithm iteratively pick data examples to be labeled from a larger unlabelled dataset, in such a manner that results in (1) a smaller labeled training set, (2) that is representative of the underlying data distribution leading to a near-optimal learner, (3) while at the same time not exceeding the labeling budget.

While this works well for most use cases, Real industry dataset labelling has inherent label noise due to a variety of factors such as redundant observations being labelled differently, the best human expert classification performance being low or use of auto-labelling software such as Mechanical Turk. This has adverse effects on these DAL algorithm's performance, and most existing DAL literature focus on noise free settings. We explore existing literature around the problem of using DAL algorithms in the existence of label noise. We are particularly interested in the image detection, segmentation and classification domain using different Deep representation learning frameworks such as Deep Neural networks, convolutional neural networks (CNNs), and vision transformer networks. We

conclude by exploring possible directions for future research in DAL in vision tasks under label noise.

2 Preliminary

in this section, we briefly describe Deep Learning (DL), Active learning (AL), and set the scene for label noise in DAL.

2.1 Deep Learning

Deep Learning (DL) refers to the use of Artificial Neural Networks with multiple hidden layers [38] to approximate known or unknown functions $f: X \mapsto Y$. Over the years, different domain specific DL architectures have been developed to enhance the quality of the learned representations from the different data Modalities. Early research focused on improving optimization, custom layers and connections, activation functions, loss functions and hyper-parameter tuning techniques for the multi-layer perceptron as a way to improve performance on different data modalities. For tabular data, tree based ensemble learning algorithms such as Random forest [5], XGBoost [6], and CatBoost [36] are preferred over DL for their superior performance and resource efficiency. A non-exhaustive selection of interesting neural network adaptations to tabular data includes [39,37,35,2,3]. In the Natural language processing domain, earlier work involved learning word and sentence representation using shallow neural networks in an unsupervised setting [32,30,18]. Until the wide adoption of attention based transformer language models [44,40], word and sentence level embeddings would be fed to a deep neural network with recurrent connections such as a Long-Short-Term-Memory(LSTM) network [22] to achieve state-of-the-art results on down-stream text classification, sentence completion, Named entity recognition or summarization tasks. For static visual tasks such as image classification, regression and segmentation, CNN based architectures with specialized layers and prepossessing transformations were eventually superseded by vision transformer models [23].

Since this work focuses on DL algorithms for vision tasks, we explore models for supervised classification, regression, and segmentation. We first give a brief overview of CNNs that are responsible for a large share of progress in vision based tasks. We then highlight the use of hybrid LSTM and CNN networks for tasks with visual and temporal properties, such as is the case for video based classification. Finally we highlight literature on state of the art (S.O.T.A) spatial attention based models in the context of visual tasks (Vision Transformers) [23].

Convolutional Neural Networks

Vision Transformers Before full transformer models in the language domain, the best LSTM models used a low dimensional vector representation to passed information from an encoder network to a decoder network, while using an attention mechanism. Attention in this setting is used to learn what parts of an input sequence are most important IN predicting different parts of the output. In the original paper "Attention is all you need" [40], the authors demonstrate long temporal dependencies can be learned without the need for recurrence. The three fundamental components in a transformer network are positional encoding of tokens, the attention, and self attention mechanisms. Positional encoding of both input and output tokens is achieved by assigning integer values to tokens/words based on their relative position in the input and output sequences. Unlike LSTMs, the work of learning word progression and relationships between input and output words is learned implicitly by the network instead of designing networks with explicit recurrent cells and sequential processing. Self-attention makes it possible to learn good representations for any language given a sufficiently large collection of text in a semi-supervised manner by masking tokens and letting the network learn what the missing word is in any given input sequence. The learned representations are then used on a down-stream task with fewer labelled data. Because transformers do not process input tokens in sequence, they are perfect for parallel GPU training.

Like most great innovations, the fundamental ideas of the transformer have been incorporated into CNNs [47,49,12,43], and in some cases completely replacing CNNs to produced S.O.T.A results in various computer vision benchmarks [23,48,8]. These models are designed in a modular fashion to easily be able to learn both language and image representations for image captioning, classification, scene-text understanding, and visual question answering. [48] Is particularly interesting since the authors present a joint constrastive loss (image to text and text to image), image classification loss and image to language captioning loss, allowing for efficient training of a single network for multiple tasks, and the ability to transfer the learn representations to a different downstream task and dataset.

2.2 Active learning

In most supervised machine learning use cases, there is an initial data collection and labeling cost, in both money and time. In some domains and tasks, datasets are inherently difficult to label for a variety of reasons, meaning more time is needed even by an expert human annotator to assign a label to each sample. In other cases the cost of hiring expert annotators is high, such as is the case in medical imaging [17,24] , or the cost of producing the samples is high, such as is

the case in experimental physics where observations come from very expensive telescopes or particle accelerators. This presents a challenge to the real world use of machine learning systems, especially as unlabeled dataset sizes increase. Active learning is a machine learning paradigm that seeks to address this problem by letting learning algorithms iteratively select a subset L^m of size m, from a larger unlabelled dataset U^n of size $n : m \leq n$, to be labelled by an oracle O for training. The active learning mantra can be stated as follows: *Train a machine learning model on a significantly smaller labelled dataset, with little to no drop in test performance, all the while staying within a pre-determined labelling budget B.*

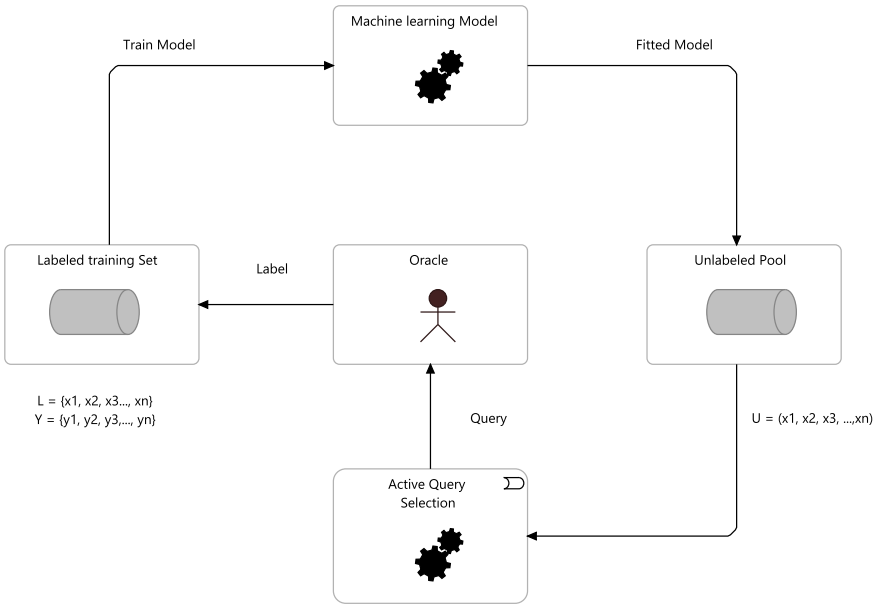

Fig. 1: The five main components to the standard Active Learning Framework. Each of these components may vary depending on the complexity of data to be learned, and available resources. The oracle could be either a human annotator of a software or simulation process.The machine learning Model can be a deep learning neural network, kernel method or standard tree based learning algorithm.

Active Learning algorithms, while overlapping, can broadly be grouped into: pool based methods, density based methods, and data expansion methods. Pool based methods select samples for labeling from an unlabelled pool, based on either the uncertainty of the current trained model on samples U^{n-m} , the diversity of samples in the labelled set L^m used to train the current model, or

a combination of both [25,27,11]. Pool-based methods are simple in their formation and implementation, but can be computationally expensive for large datasets of high dimensional data such as images. Since pool-based methods largely rely on metrics evaluated on the entire unlabeled dataset to select new candidates, they is not ideal for applications that require low latency. Density based methods seek to capture key characteristics of the underlying data distribution. This is done by selecting a core-set of samples for labeling that are sufficiently representative of the entire dataset, and lead to good generalization [41,34,33]. More recent literature blends pool and core-set methods to take advantage of each approach's benefits. These methods thus lead to efficient and robust models trained on core-sets containing diverse samples that maximize the margins between class margins [21,14]. Some methods in this approach use the hidden layer representations from training a self-supervised task on the image data, instead of the raw pixels. These include pre-training on image orientation: random $(90, 180, 270, 360)^\circ$ rotation classification, or self-supervised constrastive learning, where the target is an arbitrary patch of adjacent pixels in the image [7,13,45]. Data expansion methods seek to expand the training dataset, by generating reasonably realistic synthetic data samples for each target class at only a computational cost than that of obtaining human labeled samples, while enhancing the learning algorithm's performance on the real test dataset [**?**]. Since their introduction, generative adversarial networks (GANs) and their variations, [16,15,42], were the go-to method for generating synthetic data. However, the samples tend to be unrealistic, the training unstable, and lacking intrinsic evaluation metrics [4,28].

2.3 Label Noise

Label Noise refers to the scenario in which data labels are corrupted, with or without intention, so that we do not have 100% confidence in their correctness. Label noise is different from feature noise which is normally used to refer to adding Gaussian noise to feature values. Label noise impacts learning algorithms more adversely than feature noise does, and is harder to deal with [9,46,1,10]. Label noise is inherent in the data collection and processing life-cycle. Most real world datasets are subjected to a number of label noise sources based on how the data is collected, curated and stored. Label noise in practice broadly stems from: (1) incorrect crowd sourced labels where the annotators are non-experts such as is the case with Clickworker, and Amazon Mechanical Turk. (2) Incorrect expert annotations due to the complexity of the data, as is common in medical fields [17]. (3) Labelling errors introduced by automatic labelling by web crawling software and other AI labelling systems such as such as Scale AI. (4) Noise introduced by multiple experts or non experts labelling the same sample differently.

Learning noisy labels is especially hard due to the fact that cost functions are generally significantly less complex than feature extraction layers are. Label noise can be grouped, and is mostly treated based on what is known about the noise generating distribution [29]. Some datasets contain label noise from a known and quantifiable generative distribution, while in other cases, too little or nothing is known about the noise transition matrix to model. Label noise can be class independent or class dependent. Class independent label noise is the easiest to generate, for each sample, the label is swapped with with any other label of a different class, with a fixed probability $1/N$ where N is the number of classes [31]. Class-dependent label noise is normally a result of expert human annotation. It results from pairs of closely related or indistinguishable classes being occasionally swapped [19], e.g *True large sized cat occasionally labelled as a small dog, and visa versa.* Common methods, for training deep learning methods include first filtering out samples with a high probability of being noisy and iteratively training on a dataset with trusted labels until a thresh-hold is reached. The filtering process in most literature involves training two different neural networks with a custom loss, and monitoring samples on which they disagree on predictions. This methods works well since it has been shown the networks train on stronger signal first, which is the case in a dataset of mostly clean labels. representative methods in this approach, trained in a none-active learning manner include Decoupling [26], and Co-teaching [20]. The main implementation difference between the two approaches is in how the two network weights are updated, Decoupling updates each network's weights based on its on error term when the networks have a prediction disagreement. Co-teaching on the other hand, cross updates the weights with the error signal from the other network. Unlike Decoupling, Co-teaching addresses noisy labels explicitly by enabling the networks to peak into each other's training signal.

We have introduced deep learning, active learning, and learning on label noise. the next section is the main focus of this manuscript, we explore methods leveraging the versatility of deep neural networks, in the active learning framework where labelling budget is an important metric, and we are faced with a noisy label challenge.

3 Deep Active Learning Algorithms For Noisy Labels

In this section we focus on the main contribution of this manuscript: exploring literature on deep active learning algorithms used for vision tasks in the presence of label noise.

3.1 Noise Prior Induced Algorithms

3.2 Noise Robust Deep Active Learning Algorithms

4 Evaluation Datasets and Metrics

4.1 Datasets

4.2 Evaluation Metrics

5 Future Research Directions

6 Conclusion

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