

ANNEXURE TO THE REPORT

Application for transmission of shares

I / We, being the legal heir(s) in respect of the undermentioned shares of the company, held by the late Shri/Smt _____ hereby agree to accept and hold the said shares in my /our name(s) and also agree to be registered as Member(s) in the Register of Members of the Company, subject to the provisions of the Memorandum and Articles of Association of the Company.

Full name of the Company:

Number and full description
of the shares

Number and class
of the shares

.....
Distinctive Number

.....

Full name of the deceased shareholder

Date of death

Full name (s) of the legal heir(s)

.....

Name or title of the
document(s) produced in
support of the claim to
the shares to the deceased.

.....
.....
.....
.....

I / We declare that information given above is true and correct to my /our knowledge and that I / we am / are the only legal heir(s) in respect of the share of the company held by the deceased above named.

SIGNED & DELIVERED by me / us this day of 20.....

Signature of witness

Signature (s) of the

heir(s)

Full name & address of

Witness

Particulars of the legal heir(s)			
Shri /Smt. Name Or Kum	Occupation	Full Address	Father's/Husband's
.....
Entered in Register of Transmission No.	Folio	Specimen signature (s) of the legal heir(s)	
Approved		
		
		
For	Secretary		
Date			

NOTES

1. Please fill in the details in English and in BLOCK letters
2. Please fill in the details in legible hand or use a typewriter.
3. If the legal heir(s), is/are illiterate his/her/their thumb impression must be attested by a Magistrate or Notary Public under the seal of his office.
4. Particulars of each legal heir should be entered in the same order in which their names appear earlier and the application too should be signed in the same order.
5. Please see that all the share certificates/allotment letters as the case may be, for all the shares of the company held by the deceased.

LODGED BY

Full

Address

**Affidavit by Legal Heir of a Deceased Shareholder for
Transmission of Shares**

(To be executed on non-judicial stamp paper of the requisite value as per the Stamp Act of the State in which executed)

I/We ----- aged about years (respectively), residing at do solemnly affirm and declare as follows

1. That equity shares in Ltd., bearing distinctive numbers from to of the face value of Rs each are registered in the name of Shri/Smt in the books of the company.
2. That Shri/Smt died intestate on at
3. That the following are the only heir of the late Shri/Smt
 - (i) Shri/Smt aged residing at
 - (ii) Shri/Smt aged residing at
 - (iii) Shri/Smt aged residing at
4. That the late Shri/Smt has not sold, transferred, pledged or otherwise disposed of the said shares
5. That the said shares were the separate and self-acquired property of the late Shri/Smt
6. That under the Hindu Succession Act, 1956 the person(s) mentioned in paragraph 3 above is/are entitled to inherit the aforesaid shares severally/jointly.
7. That the late Shri/Smt has left no other heir than those in paragraph 3 above and the person(s) mentioned is/are his only legal heir(s).
8. That I/we therefore request the company to transmit the aforesaid shares and register them in my/our name(s) in the books of the company without production of succession certificate or letters of administration.

Dated this

Before me

Signature (s) of the
Deponent(s)

Signature and Seal of
Notary Public Magistrate

Indemnity Bond for Transmission of Shares

(on non-judicial stamp paper of appropriate value)

(*) (hereinafter called 'the company')

(.) (hereinafter called 'the deceased')

WHEREAS,

.....Equity Shares having distinctive numbers from to of the face value of Rs. each and on which Re/Rs per share is/are paid comprised in the Share Certificate(s) No.(s) of ABC COMPANY LIMITED having its Registered Office at.....(*) are standing registered in the name of late Mr./Mrs/Miss..... in the Register of Members of the said company.

WHEREAS,

Mr./Mrs/Miss..... (.) died on leaving behind him /her the following:-

(i)

(ii)

(iii)

(iv)

(v)

AND WHEREAS,

The above-mentioned shares were the separate and self-acquired property of the deceased. Under the Hindu Succession Act, 1956 the person/s mentioned hereinabove is/are the only heir/s of the deceased and entitled to inherit solely/jointly the aforesaid shares

NOW, THEREFORE,

I/We, the undersigned, request the company to transmit the aforesaid shares standing registered in the name of the deceased in my sole /our joint name (s) and also to pay to me /us any dividend payable on the aforesaid shares by the company without production of succession certificate or letters of administration or probate.....

In consideration of the company having agreed to transmit and register the aforesaid shares in my /our name (s) on my /our executing a bond in favour of the company I/we the applicant(s) hereunder for myself / ourselves, my /our heirs, executors, administrators and assigns DO HEREBY jointly and severally covenant with the company, its successors and assigns that I/we and each of my /our heirs, executors, administrators and assigns will, upon the company transmitting and registering the said shares in my /our names and paying to me /us the dividends already due on the said shares, at all times save, defend,

indemnify and keep indemnified the company, its successors and assigns, its estate and effects, and its directors, manager, secretary and shareholders and their heirs, executors and assigns from and against all actions, suits, proceedings, accounts, claims and demands whatsoever for or on account of the said shares or the dividend or any part thereof, or otherwise in connection with the same, and from and against all claims, damages, expenses and losses arising in any manner howsoever.

In witness whereof I/We, the applicant(s) herein has/have signed and set my/our hand (s) this..... day of

Witness No.1

Signature

Name and address

Name and
address Signature

(i)

(ii)

(iii)

(iv)

(v)

Witness No.2

Name and address

Signature

Place..... ..

Date

WE, the undersigned certify that the above facts are true to the best of our knowledge and bind ourselves as sureties to make good all claims, charges, costs, damages, demands, expenses and losses which the said company, its successors and assigns, its estate and effects, and its directors, manager, secretary and shareholders may sustain, incur or be liable for in consequence of complying with the request contained above of the applicant(s) herein and the company and its successors assigns, directors, manager, secretary and shareholders will be entitled to claim and realise all claims, charges, costs, damages, demands, expenses and losses from our persons or our properties, as the case may be.

Witness 1

Signature

Name

& Address

Signature

Name & address

Surety

1

Surety 2

Witness 2

Signature Name & address

Place :.....

Date :.....

Nomination Form

Form 2B

(See rules 4CCC and 5D)

[to be filled in by individual(s) applying singly or jointly]

I/We and
 and the holders of shares/Debtentures/Deposit
 Receipt bearing number(s) of M/s.

.....

wish to make a nomination and do hereby nominate the following person(s) in
 whom all rights of transfer and/or amount payable in respect of shares or
 debenture or deposits shall vest in the event of my or our death.

Name(s) and Address(es) of Nominee(s)

Name:

Address:

.....

Date of Birth*

*(to be furnished in case the nominee is a minor)

*The Nominee is a minor whose guardian is Name and Address

.....

(*To be deleted if not applicable)

Signature

Name

Address

.....

.....

Date:

Signature

Name

.

Address

.....

.....

Date:

Signature

Name

.

Address

.....

.....

Date:

Address	Name	and	Signature	of
witnesses _____				
Name and address			Signature with date	

1.

2.

Instructions

1. Nomination can be made by individuals only applying / holding shares/debentures on their own behalf singly or jointly. Non-individual including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the shares are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of shares/debentures and witness
2. A minor can be nominated by a holder of shares/debentures/deposits and in that event the name and address of the Guardian shall be given by the holder.
3. The nominee shall not be a trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a power of attorney holder. A non-resident Indian can be a nominee on repatriable basis
4. Nomination stand rescinded upon transfer of share/debenture or repayment/renewal of deposits made.
5. Transfer of share/debenture in favour of a nominee and repayment of amount of deposit to nominee shall be a valid discharge by a company against the legal heir.
6. The intimation regarding Nomination/Nomination Form shall be filed in duplicate with Company/Registrar and Share Transfer Agents of the Company who will return one copy thereof to the share or debenture or deposits holder.