

BEFORE THE SECURITIES APPELLATE TRIBUNAL
MUMBAI

Appeal No. 56 of 2012

Date of decision: 08.10.2012

Mrs. Bala Kaul
Flat No. 8202 & 8204,
Sector B-XI,
Vasant Kunj,
New Delhi – 110070.

.....Appellant

Versus

The Adjudicating Officer,
Securities and Exchange Board of India
SEBI Bhavan, Plot No. C-4A, G Block,
Bandra Kurla Complex, Bandra (East),
Mumbai - 400 051.

..... Respondent

Mr. Janak Dwarkadas, Senior Advocate with Mr. Chirag Kamdar, Ms. Ipsita Dutta
and Mr. Rohan Banerjee, Advocates for the Appellant.

Mr. D. J. Khambatta, Advocate General with Dr. Poornima Advani, Mr. Ajay Khaire
and Ms. Rachita Romani, Advocates for the Respondent.

CORAM : P. K. Malhotra, Member & Presiding Officer (*Offg.*)
S. S. N. Moorthy, Member

Per : P. K. Malhotra

For orders see Appeal no. 55 of 2012 decided on 08.10.2012.

Sd/-
P. K. Malhotra
Member &
Presiding Officer (*Offg.*)

Sd/-
S. S. N. Moorthy
Member

08.10.2012
Prepared & Compared by
ptm