¹ Graphical Abstract

- 2 Computational study of the glycolytic degradation of poly(ethylene tereph-
- thalate catalyzed by N^1 , N^2 -bis(2-aminobenzyl)-1,2-diaminoethane zinc (II)
- ⁴ Pablo E. Alanis González, Isabel del Carmen Saenz Tavera, Victor Manuel Rosas
- 5 García

6 Highlights

- 7 Computational study of the glycolytic degradation of poly(ethylene tereph-
- thalate catalyzed by N^1 , N^2 -bis(2-aminobenzyl)-1,2-diaminoethane zinc (II)
- 9 Pablo E. Alanis González, Isabel del Carmen Saenz Tavera, Victor Manuel Rosas
- 10 García
- Research highlight 1
- Research highlight 2

Computational study of the glycolytic degradation of poly(ethylene terephthalate catalyzed by N^1, N^2 -bis(2-aminobenzyl)-1,2-diaminoethane zinc (II)

Pablo E. Alanis González^{a,*}, Isabel del Carmen Saenz Tavera^a, Victor Manuel Rosas García^a

^a Facultad de Ciencias Químicas, Universidad Autónoma de Nuevo León, Av. Universidad s/n, Cd. Universitaria, San Nicolás de los Garza, 66455, Nuevo León, México

18 Abstract

A possible reaction mechanism of the glycolytic degradation of poly(ethylene terephthalate) (PET) catalyzed with N^1,N^2 -bis(2-aminobenzyl)-1,2-diaminoethane zinc (II) (ABEN) was determined using Kohn-Sham density functional theory (KS-DFT) using the range-separated hybrid, generalized gradient approximation functional, ω B97X-V with DFT-D4 dispersion correction [1] using def2-TZVPP over the zinc, oxygen and nitrogen atoms and def2-SVP for the rest, Making use of an energy-weighted climbing image nudged elastic band (EW-CI-NEB) algorithm [2] to determine the minimum energy path (MEP) and then optimizing the converged climbing image (CI) using eigenvector-following partitioned rational function optimization (EF P-RFO) to obtain the transition state (TS). The non-covalent interactions where obtained using and averaged independent gradient model (aIGM) algorithm [3]

- 19 Keywords:
- 20 KS-DFT, Polymer degradation, Catalysis
- ²¹ *PACS*: 82.20.Pm, 82.35.-x, 82.65.Jn

^{*}Corresponding author

1. Introduction

By 2015, the annual global production of plastics surpassed 367 million tonnes; 55 % of all plastic waste was discarded, 25.5 % incinerated and just 19.5 % was recycled [4]. Poly(ethylene terephthalate) (PET) is one of the most widely traded polymer there is on the market. It's mainly used in the fabrication of bottles, packages and fibers. The widespread usage of this plastic is due to its properties, like an excellent tensile strength, chemical resistance, clarity, processability and a reasonable thermal stability, It is also very cheap to produce [5, 6]. Albeit all its properties, PET is also becoming a global problem, since a lot of it is not recycled at all. It is becoming a waste problem. Even tough PET by itself is not harmful to humans and by itself does not impose an environmental damage, because of its substantial presence in bodies of water and its high resistance to biological and atmospheric agents, PET is classified as a nocive material [7] Chemical recycling of PET has become an important topic since this is the most sustainable way of recycling plastic, and produces de novo the starting materials of the synthesis of PET. The is an extensive amount of literature on the topic of chemical degradation of PET, processes such as methanolysis, hydrolysis and glycolysis have been thoroughly studied [8, 9, 10]. The most sustainable reaction of degradation of PET is the glycolysis since this reaction produces Bis(2-hidroxyethyl) terephthalate (BHET), one of the precursors of PET itself. PET glycolysis, nevertheless is not a very effective process if no catalyst is used. Plenty of studies have been made on the reaction of the degradation of PET via catalyzed glycolysis. Some transition metals such as zinc lead to a good yield in this type of reactions with the benefit that zinc is not a very toxic metal. For the chemical recycling of PET, numerous protocols involving hydrolysis, methanolysis and glycolysis among many

Scheme 1: Reported synthesis of ABEN [13]. The structure of ABEN (II) was derived from the electronic structure computations obtained in this study.

others [8, 9, 10] have been reported. The uncatalyzed glycolysis of PET is not an effective process; transition metal (TM) salts have been determined to aid in this reaction. The oldest report of the catalyzed glycolytic degradation of PET was reported by Vaidya and Nadkarni [11] in which they carried the reaction using different metal acetates as catalysts. Then it was determined that Zn^{II} has a great in comparison to other TM (Mn^{II}, Co^{II} and Pb^{II}) activity as a catalyst in the glycolysis of PET [12]. Alongside the numerous zinc catalysts studied to date, a novel zinc catalyst, N^1,N^2 -bis(2-aminobenzyl)-1,2-diaminoethane zinc(II) (ABEN) [13] has shown to have a great catalytic activity in the glycolytic degradation of PET yielding around 78 % of BHET[14]. The main purpose of this article is to evaluate the possible reaction mechanism involved in this catalyzed glycolytic depolymerization of PET with ABEN and determine the covalent or non-covalent interactions involved.[15, 16]

o 2. Experimental

51 2.1. Computational details

The software ORCA 5.0.2 [17] was used to perform all the geometry optimizations, single point energy, energy-weighted climbing image nudged elastic band followed by transition state optimization (NEB-TS) and multidimensional relaxed surface scans. For all of the geometry optimizations and vibrational analysis, the meta-generalized-gradient approximation (mGGA) functional r^2 SCAN-3c which uses the dispersion correction DFT-D4 [18] was used; and for all the single point energy and NEB-TS computations, the range-separated hybrid, generalized gradient approximation functional, ω B97X-V with DFT-D4 dispersion correction was employed.¹

71 2.2. Modeling of the intermediates

The starting configurations for EG and ABEN where defined and pre-optimized using XTB, [19] with the force field GFN2-xTB [20]. After this crude pre-optimization a conformational and rotamer search was done using CREST [21] In the case of ABEN just one configuration was possible. For EG, six of the lowest energy conformers were re-optimized as described in 2.1 and the lowest in energy was used for this study. The staring configuration for DBHET was proposed using crystallographic data for PET [22] and extendig the unit cell to two units, then re-optimizing this coordinates using r^2 SCAN-3c def2/TZVP.

¹Unless otherwise stated, all computations were made using basis set (BS) def2-SVP with def2-TZVPP over the zinc, oxygen and nitrogen atoms and using def2/J as an auxiliary BS.

2.3. NEB-TS method to elucidate the glycolysis mechanisms

The optimized structures where then merged onto the same Cartesian coordinates and then re-optimized in r^2 SCAN-3c def2/SVP. Then, a multidimensional relaxed surface scan was performed keeping constrained, and varying the bond distances in 20 steps to obtain the products of the reactions depicted in . The optimized product and the starting geometry of the relaxed surface scan where the input for an Energy-Weighted Climbing image Nudged Elastic Band (EW-CI-NEB) [2] algorithm to find the path of minimum energy connecting both ends, followed by a P-RFO optimization to find a TS performed onto the climbing image (CI).

In order to determine covalent and non-covalent interactions regions between
the molecules, an analysis based on Hirshfeld partition of molecular density (IGMH)
algorithm within multiwfn was performed [23] onto the optimized reactant. To
minimize computation time, aIGM was performed with the MEP obtained by
NEB-TS.

With JANPA [24], CLPOs and bond orders for the reactants, products and transition states where obtained.

96 3. Results

97 4. Discussion

98 5. Conclusion

99 References

100 [1] N. Mardirossian, M. Head-Gordon, ω B97X-V: A 10-parameter, range-101 separated hybrid, generalized gradient approximation density functional with

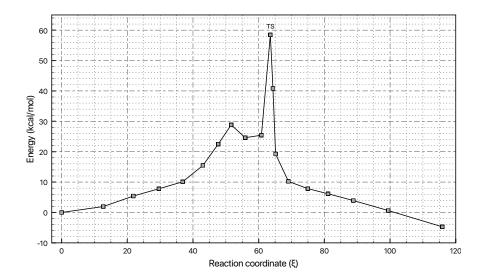


Figure 1: Minimum energy path obtained by NEB-TS on the uncatalyzed system.

102

103

nonlocal correlation, designed by a survival-of-the-fittest strategy, Phys. Chem. Chem. Phys. 16 (2014) 9904. doi:10/gf9n3d.

- [2] V. Ásgeirsson, B. O. Birgisson, R. Bjornsson, U. Becker, F. Neese,
 C. Riplinger, H. Jónsson, Nudged elastic band method for molecular reactions using energy-weighted springs combined with eigenvector following,
 J. Chem. Theory Comput. 17 (2021) 4929–4945. doi:10/gnmx7x.
- [3] C. Lefebvre, H. Khartabil, J.-C. Boisson, J. Contreras-García, J.-P. Piquemal,
 E. Hénon, The Independent Gradient Model: A New Approach for Probing
 Strong and Weak Interactions in Molecules from Wave Function Calculations, ChemPhysChem 19 (2018) 724–735. doi:10/gpng7f.
- [4] R. Geyer, J. R. Jambeck, K. L. Law, Production, use, and fate of all plastics ever made, Sci. Adv. 3 (2017) e1700782. doi:10/b9sp.

- [5] R. J. Caldicott, The basics of stretch blow molding PET containers, Plast. Eng. 55 (1999) 35–39.
- [6] R. C. Thompson, S. H. Swan, C. J. Moore, F. S. vom Saal, Our plastic age, Philosophical Transactions of the Royal Society B: Biological Sciences 364 (2009) 1973–1976. doi:10/dqvcxx.
- [7] D. Paszun, T. Spychaj, Chemical recycling of poly(ethylene terephthalate), Ind. Eng. Chem. Res. 36 (1997) 1373–1383. doi:10/b2t5nm.
- [8] J. R. Campanelli, M. R. Kamal, D. G. Cooper, A kinetic study of the hydrolytic degradation of polyethylene terephthalate at high temperatures, J. Appl. Polym. Sci. 48 (1993) 443–451. doi:10/ch9q4p.
- [9] J. R. Campanelli, M. R. Kamal, D. G. Cooper, Kinetics of glycolysis of poly(ethylene terephthalate) melts, J. Appl. Polym. Sci. 54 (1994) 1731–1740. doi:10/bhq8n4.
- [10] J. R. Campanelli, D. G. Cooper, M. R. Kamal, Catalyzed hydrolysis of polyethylene terephthalate melts, J. Appl. Polym. Sci. 53 (1994) 985–991. doi:10/fcgbkg.
- [11] U. R. Vaidya, V. M. Nadkarni, Polyester polyols for polyurethanes from pet
 waste: Kinetics of polycondensation, J. Appl. Polym. Sci. 35 (1988) 775–
 785. doi:10/fkszjj.
- 133 [12] M. Ghaemy, K. Mossaddegh, Depolymerisation of poly(ethylene tereph-134 thalate) fibre wastes using ethylene glycol, Polym. Degrad. Stab. 90 (2005) 135 570–576. doi:10/fhmhv7.

- P. Elizondo-Martínez, B. Nájera-Martínez, N. Pérez-Rodríguez, L. Hinojosa-Reyes, I. Gómez Del Río, Polyaza macroligands as potential agents for heavy metal removal from wastewater, J. Serb. Chem. Soc. 78 (2013) 591–602. doi:10/gjqg6j.
- 140 [14] A. Ovalle-Sánchez, P. Elizondo-Martínez, N. Pérez-Rodríguez,
 141 E. Hernández-Fernández, M. G. Sánchez-Anguiano, Degradation of
 142 poly(ethyleneterephtalate) waste to obtain oligomers using a zinc complex
 143 as catalyst, J. Chil. Chem. Soc. 62 (2017) 3741–3745. doi:10/gjqg6r.
- [15] M. Y. Abdelaal, T. R. Sobahi, M. S. I. Makki, Chemical degradation of poly(ethylene terephthalate), International Journal of Polymeric Materials
 57 (2008) 73–80. doi:10/b55x8v.
- 147 [16] M. M. A. Nikje, F. Nazari, Microwave-assisted depolymerization of poly(ethylene terephthalate) [PET] at atmospheric pressure, Adv. Polym.

 Tech. 25 (2006) 242–246. doi:10/c62nvj.
- 150 [17] F. Neese, Software update: The ORCA program system—Version 5.0,
 WIREs Comput Mol Sci (2022). doi:10/gpsrmd.
- [18] E. Caldeweyher, J.-M. Mewes, S. Ehlert, S. Grimme, Extension and evaluation of the d4 london-dispersion model for periodic systems, Phys. Chem. Chem. Phys. 22 (2020) 8499–8512. doi:10/gjqg6h.
- [19] C. Bannwarth, E. Caldeweyher, S. Ehlert, A. Hansen, P. Pracht, J. Seibert,
 S. Spicher, S. Grimme, Extended TIGHT-BINDING quantum chemistry methods, WIREs Comput Mol Sci 11 (2021). doi:10/gh76jn.

- ¹⁵⁸ [20] C. Bannwarth, S. Ehlert, S. Grimme, GFN2-xTB—An Accurate and Broadly

 Parametrized Self-Consistent Tight-Binding Quantum Chemical Method

 with Multipole Electrostatics and Density-Dependent Dispersion Contribu
 tions, J. Chem. Theory Comput. 15 (2019) 1652–1671. doi:10/gfvk82.
- ¹⁶² [21] P. Pracht, F. Bohle, S. Grimme, Automated exploration of the low-energy chemical space with fast quantum chemical methods, Phys. Chem. Chem. Phys. 22 (2020) 7169–7192. doi:10/ghfvk8.
- 165 [22] R. D. P. Daubeny, Bunn Charles William, C. J. Brown, The crystal structure of polyethylene terephthalate, Proc. R. Soc. Lond. A 226 (1954) 531–542.

 doi:10/bcnzd2.
- 168 [23] T. Lu, Q. Chen, Interaction Region Indicator: A Simple Real Space Func-169 tion Clearly Revealing Both Chemical Bonds and Weak Interactions, Chem. 170 Methods 1 (2021) 231–239. doi:10/gpjzzh.
- 171 [24] T. Y. Nikolaienko, L. A. Bulavin, D. M. Hovorun, JANPA: An open source 172 cross-platform implementation of the natural population analysis on the java 173 platform, Comput Theor Chem 1050 (2014) 15–22. doi:10/f6t75z.