# Chapter 1

# Hurwitz existence problem

## Chapter 2

## Monodromy

- 2.1 Symmetric group and partitions
- 2.2 Branched covering action of the fundamental group
- 2.3 Monodromy and realizability

**Proposition 2.1.** Let  $\Sigma_g$  be the connected sum of  $g \geq 0$  tori,  $d \geq 1$  an integer,  $\pi_1, \ldots, \pi_n \in \Pi(d)$  partitions of d. Then there exists a realizable combinatorial datum  $\mathcal{D} = (\widetilde{\Sigma}, \Sigma_g; d; \pi_1, \ldots, \pi_n)$  if and only if there exist permutations  $\alpha_1, \ldots, \alpha_g, \beta_1, \ldots, \beta_n, \gamma_1, \ldots, \gamma_n \in \mathfrak{S}_g$  such that:

- (i)  $[\alpha_i] = \pi_i$  for each  $1 \le i \le n$ ;
- (i)  $[\beta_1, \gamma_1] \cdots [\beta_q, \gamma_q] \cdot \alpha_1 \cdots \alpha_n = 1$ ;
- (i) the subgroup  $\langle \alpha_1, \ldots, \alpha_n, \beta_1, \ldots, \beta_q, \gamma_1, \ldots, \gamma_q \rangle \leq \mathfrak{S}_d$  acts transitively on  $\{1, \ldots, d\}$ .

In this case,  $\widetilde{\Sigma}$  is necessarily orientable.

Remark 2.1. Given  $\Sigma_g$ , d and  $\pi_1, \ldots, \pi_n$ , there is at most one surface  $\widetilde{\Sigma}$  such that  $\mathcal{D} = (\widetilde{\Sigma}, \Sigma_g; d; \pi_1, \ldots, \pi_n)$  is a candidate datum. In fact, the ?? formula gives

$$\chi(\widetilde{\Sigma}) = d\chi(\Sigma_g) - v(\pi_1) - \dots - v(\pi_n)$$

which, in turn, uniquely determines the orientable surface  $\widetilde{\Sigma}$ .

**Proposition 2.2.** Let  $N_g$  be the connected sum of  $g \ge 1$  projective planes,  $d \ge 1$  an integer,  $\pi_1, \ldots, \pi_n \in \Pi(d)$  partitions of d. Then there exists a realizable combinatorial datum  $\mathcal{D} = (\widetilde{\Sigma}, N_g; d; \pi_1, \ldots, \pi_n)$  if and only if there exist permutations  $\alpha_1, \ldots, \alpha_n, \beta_1, \ldots, \beta_g \in \mathfrak{S}_d$  such that:

- (i)  $[\alpha_i] = \pi_i$  for each  $1 \le i \le n$ ;
- (i)  $\beta_1^2 \cdots \beta_q^2 \cdot \alpha_1 \cdots \alpha_n = 1$ ;
- (i) the subgroup  $\langle \alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_g \rangle \leq \mathfrak{S}_d$  acts transitively on  $\{1, \dots, d\}$ .

In this case,  $\widetilde{\Sigma}$  is orientable if and only if ??.

Remark 2.2. Given  $N_g$ , d and  $\pi_1, \ldots, \pi_n$ , there are at most two surfaces  $\widetilde{\Sigma}$ , one orientable and one non-orientable, such that  $\mathcal{D} = (\widetilde{\Sigma}, N_g; d; \pi_1, \ldots, \pi_n)$  is a candidate datum: like in remark 2.1, the ?? formula fixes  $\chi(\widetilde{\Sigma})$  which, together with orientability, uniquely determines  $\widetilde{\Sigma}$ .

**Proposition 2.3.** Let  $\mathcal{D} = (\widetilde{\Sigma}, \Sigma; d; \pi_1, \dots, \pi_n)$  be a combinatorial datum, with  $\Sigma$  non-orientable and  $\widetilde{\Sigma}$  orientable. Then  $\mathcal{D}$  is realizable if and only if d is even and there exist partitions  $\pi'_1, \dots, \pi'_n, \pi''_1, \dots, \pi''_n \in \Pi(d/2)$  with  $\pi_i = \pi'_i \cup \pi''_i$  for each  $1 \leq i \leq n$ , such that the combinatorial datum

$$\mathcal{D}' = (\widetilde{\Sigma}, \widehat{\Sigma}; d/2; \pi'_1, \dots, \pi'_n, \pi''_i, \dots, \pi''_n)$$

is realizable, where  $\widehat{\Sigma}$  is the orientable double covering of  $\Sigma$ .

### 2.4 Non-positive Euler characteristic

**Lemma 2.4.** Let  $\alpha \in \mathfrak{S}_d$  be a permutation. Set  $r = d - v(\alpha)$ , and let  $t \geq 0$  be an integer such that  $2t \leq v(\alpha)$ . Then  $\alpha$  can be written as the product of a (r + 2t)-cycle and a d-cycle.

*Proof.* Without loss of generality, assume that

$$\alpha = (1, \dots, d_1)(d_1 + 1, \dots, d_2) \cdots (d_{r-1} + 1, \dots, d_r),$$

where  $d_r = d$ . Fix

$$\beta_0 = (1, b_1, b_2, \dots, b_{2t}),$$
  $\beta_1 = (1, d_1 + 1, d_2 + 2, \dots, d_{r-1} + 1).$ 

Set

$$\beta = \beta_0 \beta_1 = (1, d_1 + 1, d_2 + 1, \dots, d_{r-1} + 1, b_1, b_2, \dots, b_{2t}).$$

An easy computation shows that

$$\beta \alpha = \beta_0 \beta_1 \alpha$$

$$= (1, b_1, b_2, \dots, b_{2t})(1, 2, \dots, d)$$

$$= (1, \dots, b_1 - 1, b_2, \dots, b_3 - 1, \dots, b_4, \dots, b_{2t-1} - 1, b_{2t}, \dots, d, b_1, \dots, b_2 - 1, b_3, \dots, b_{2t} - 1).$$

Writing  $\alpha = \beta^{-1}(\beta \alpha)$  gives the desired decomposition.

Corollary 2.5. Let  $\alpha \in \mathfrak{A}_d$  be an even permutation. Then  $\alpha$  can be written as:

- (i) a commutator  $[\beta, \gamma]$ , where  $\gamma$  is a d-cycle;
- (i) a product of two squares  $\delta^2 \epsilon^2$ , where  $\delta \epsilon$  is a d-cycle.

*Proof.* Since  $\alpha$  is an even permutation, its branching number  $v(\alpha)$  is even. By lemma 2.4, there exist two d-cycles  $\tau, \sigma \in \mathfrak{S}_d$  such that  $\alpha = \tau \sigma$ .

(i) Since  $\tau$  and  $\sigma^{-1}$  are conjugated, there exists a permutation  $\beta \in \mathfrak{S}_d$  such that  $\tau = \beta \sigma^{-1} \beta^{-1}$ . Setting  $\gamma = \sigma^{-1}$ , we immediately get that

$$\alpha = \tau \sigma = \beta \sigma^{-1} \beta^{-1} \sigma = \beta \gamma \beta^{-1} \gamma^{-1} = [\beta, \gamma].$$

(i) Since  $\tau$  and  $\sigma$  are conjugated, there exists a permutation  $\delta \in \mathfrak{S}_d$  such that  $\tau = \delta \sigma \delta^{-1}$ . Setting  $\epsilon = \delta^{-1} \sigma$ , we have that

$$\alpha = \tau \sigma = \delta \sigma \delta^{-1} \sigma = \delta^2 (\delta^{-1} \sigma)^2 = \delta^2 \epsilon^2.$$

**Theorem 2.6.** Let  $\mathcal{D} = (\widetilde{\Sigma}, \Sigma; d; \pi_1, \dots, \pi_n)$  be a candidate datum. If  $\chi(\Sigma) \leq 0$ , then  $\mathcal{D}$  is realizable.

*Proof.* Let us first assume that  $\Sigma$  is orientable; this means that  $\Sigma = \Sigma_g$  is the connected sum of  $g \geq 1$  tori, and that  $\widetilde{\Sigma}$  is orientable as well. Choose permutations  $\alpha_1, \ldots, \alpha_n \in \mathfrak{S}_d$  with  $[\alpha_i] = \pi_i$  for each  $1 \leq i \leq n$ . Let  $\alpha = \alpha_1 \cdots \alpha_n$ . Since  $\mathcal{D}$  is a candidate datum, we have that

$$v(\alpha) \equiv v(\alpha_1) + \ldots + v(\alpha_n) \equiv 0 \pmod{2}$$
.

By corollary 2.5, we can find permutations  $\beta_1, \gamma_1 \in \mathfrak{S}_d$  such that  $\alpha = [\gamma_1, \beta_1]$  and  $\beta_1$  is a *d*-cycle. Set  $\beta_2 = \ldots = \beta_g = \gamma_2 = \ldots = \gamma_g = \mathrm{id} \in \mathfrak{S}_d$ . All the conditions of proposition 2.1 are satisfied; since  $\widetilde{\Sigma}$  is orientable, this implies that  $\mathcal{D}$  is realizable (see remark 2.1).

Assume now that  $\Sigma$  and  $\widetilde{\Sigma}$  are both non-orientable; this means that  $\Sigma = N_g$  is the connected sum of  $g \geq 2$  projective planes. Choose permutations  $\alpha_1, \ldots, \alpha_n \in \mathfrak{S}_d$  with  $[\alpha_i] = \pi_i$  for each  $1 \leq i \leq n$ . Let  $\alpha = \alpha_1 \cdots \alpha_n$ . Similarly to what we did for the previous case, we can find  $\beta_1, \beta_2 \in \mathfrak{S}_d$  such that  $\alpha = \beta_2^{-2}\beta_1^{-2}$  and  $\beta_2\beta_1$  is a d-cycle. By setting  $\beta_3 = \ldots = \beta_g = \mathrm{id} \in \mathfrak{S}_d$ , proposition 2.2 (together with remark 2.2) implies the realizability of  $\mathcal{D}$ .

Finally, consider the case where  $\Sigma$  is non-orientable and  $\widetilde{\Sigma}$  is orientable. Since  $\mathcal{D}$  is a candidate datum, d is even and there exist partitions  $\pi'_1, \ldots, \pi'_n, \pi''_1, \ldots, \pi''_n \in \Pi(d/2)$  with  $\pi_i = \pi'_1 \cup \pi''_i$  for each  $1 \leq i \leq n$ . Let  $\widehat{\Sigma}$  be the double orientable covering of  $\Sigma$ ; by the first case we analyzed, the candidate datum

$$\mathcal{D}' = (\widetilde{\Sigma}, \widehat{\Sigma}; d/2; \pi'_1, \dots, \pi'_n, \pi''_1, \dots, \pi''_n)$$

is realizable. By proposition 2.3,  $\mathcal{D}$  is realizable as well.

### 2.5 Products in symmetric groups

**Lemma 2.7.** Let X, Y be finite sets; denote by h the cardinality of Y, and by k the cardinality of  $X \cap Y$ . Let  $\alpha \in \mathfrak{S}(X)$ ,  $\beta \in \mathfrak{S}(Y)$ ,  $\gamma \in \mathfrak{S}(X \cap Y)$ . Assume that  $\beta = (b_1, \ldots, b_h)$  is a h-cycle, and that  $\gamma$  is a k-cycle of the form  $\gamma = (b_{i_1}, \ldots, b_{i_k})$  with  $1 \leq i_1 \leq \ldots \leq i_k \leq h$ . Then  $\alpha \in \mathfrak{S}(X)$  and  $\alpha \gamma^{-1} \beta \in \mathfrak{S}(X \cup Y)$  have the same number of cycles.

*Proof.* Write  $\gamma = (u_1, \dots, u_k)$ , where  $u_j = b_{i_j}$ . Without loss of generality, assume that  $i_1 = 1$ . Then we can write

$$\beta = (u_1, \dots, w_1, u_2, \dots, w_2, u_3, \dots, w_{k-1}, u_k, \dots, w_k),$$

possibly with  $u_j = w_j$  for some values of j. We immediately get that

$$\gamma^{-1}\beta = (u_1, \dots, w_1)(u_2, \dots, w_2) \cdots (u_k, \dots, w_k).$$

If we denote by  $A_1, \ldots, A_r \subseteq X$  the orbits of  $\alpha$ , it is then easy to see that the orbits of  $\alpha \gamma^{-1} \beta$  are  $A'_1, \ldots, A'_r \subseteq X \cup Y$ , where

$$A'_j = A_j \cup \bigcup_{u_l \in A_j} \{u_l, \dots, w_l\}.$$

**Proposition 2.8.** Let  $\pi, \rho \in \Pi(d)$  be partitions of d. Assume that  $v(\pi) + v(\rho) < d$ . Then there exist permutations  $\alpha, \beta \in \mathfrak{S}_d$  with  $[\alpha] = \pi$  and  $[\beta] = \rho$  such that  $v(\alpha\beta) = v(\alpha) + v(\beta)$ .

*Proof.* First of all, note that the conclusion is trivial whenever  $v(\pi) = 0$  or  $v(\rho) = 0$ . This already solves the cases d = 1 and d = 2. We now proceed by induction on  $d \ge 3$ , assuming that  $v(\pi) > 0$  and  $v(\rho) > 0$ . Write  $\pi = [a_1, \ldots, a_r]$ ,  $\rho = [b_1, \ldots, b_s]$ ; without loss of generality, assume that  $b_1 > 1$ . Fix

$$\beta = (1, \dots, d_1)(d_1 + 1, \dots, d_2) \cdots (d_{s-1} + 1, \dots, d_s),$$

where  $b_1 = d_1 \ge 2$ ,  $b_i = d_i - d_{i-1}$  for  $2 \le i \le s$  (in particular,  $d_s = d$ ). Note that

$$d-1 \ge v(\pi) + v(\rho) = (a_1 - 1) + \ldots + (a_r - 1) + d - s \ge a_1 - 1 + d - s,$$

hence  $a_1 \leq s$ . Fix  $\alpha_1 = (1, d_1 + 1, \dots, d_{a_1-1} + 1)$ , and let  $A = \{1, d_1 + 1, \dots, d_{a_1-1} + 1\}$  be the support of  $\alpha_1$ . Define  $Q = \{1, \dots, d_{a_1}\} \setminus A$ ; note that  $Q_1$  is non-empty, since  $d_1 + 1 \geq 3$  implies that  $2 \in Q_1$ . Consider the partitions

$$\pi' = [a_2, a_3, \dots, a_r],$$
  $\rho' = [|Q|, b_{a_1+1}, \dots, b_s].$ 

We have that

$$\sum \pi' = \sum \rho' = d - a_1, \qquad v(\pi') = v(\pi) - a_1 + 1, \qquad v(\rho') = v(\rho) - 1.$$

Since  $d - a_1 < d$  and  $v(\pi') + v(\rho') = v(\pi) + v(\rho) - a_1 < d - a_1$ , by induction we find  $\alpha', \beta' \in \mathfrak{S}(\{1, \ldots, d\} \setminus A)$  with  $[\alpha'] = \pi'$  and  $[\beta'] = \rho'$  such that  $v(\alpha'\beta') = v(\alpha') + v(\beta')$ . Up to conjugation, we may assume that

$$\beta' = \beta_1(d_{a_1} + 1, \dots, d_{a_1+1})(d_{a_1+1} + 1, \dots, d_{a_1+2}) \cdots (d_{s-1} + 1, \dots, d_s),$$

where  $\beta_1$  is the |Q|-cycle whose entries are the elements of Q in increasing order. An easy computation shows that

$$\alpha_1\beta = (1, \dots, d_{a_1})(d_{a_1} + 1, \dots, d_{a_1+1}) \cdots (d_{s-1} + 1, \dots, d_s).$$

Therefore, setting  $\alpha = \alpha' \alpha_1$ , we have that

$$\alpha\beta = \alpha_1 \alpha' \beta$$
  
=  $\alpha'(1, \dots, d_{a_1})(d_{a_1} + 1, \dots, d_{a_1+1}) \cdots (d_{s-1} + 1, \dots, d_s)$   
=  $\alpha' \beta' \beta_1^{-1}(1, \dots, d_{a_1})$ 

By lemma 2.7, this implies that  $\alpha\beta$  has the same number of cycles as  $\alpha'\beta'$ , so that

$$v(\alpha\beta) = a_1 + v(\alpha'\beta')$$
  
=  $a_1 + v(\alpha') + v(\beta')$   
=  $a_1 + (v(\pi) - a_1 + 1) + (v(\rho) - 1)$   
=  $v(\pi) + v(\rho)$ .

Since  $[\alpha] = \pi$  and  $[\beta] = \rho$ , the conclusion follows.

**Proposition 2.9.** Let  $\pi, \rho \in \Pi(d)$  be partitions of d. Assume that  $v(\pi) + v(\rho) \ge d$ , and let  $t = v(\pi) + v(\rho) - d + 1$ . Fix an integer  $0 \le k \le t$  such that  $k \equiv t \pmod{2}$ . Then there exist permutations  $\alpha, \beta \in \mathfrak{S}_d$  with  $[\alpha] = \pi$  and  $[\beta] = \rho$  such that  $v(\alpha\beta) = d - 1 - k$  and the action of  $\langle \alpha, \beta \rangle$  on  $\{1, \ldots, d\}$  is transitive.

aybe only k = 0 eded (in this case, ansitivity is trivial)?

*Proof.* Write  $\pi = [a_1, \dots, a_r]$ . Since  $v(\rho) \leq d - 1$  and  $v(\pi) + v(\rho) \geq d$ , there exists a largest integer  $0 \leq i \leq r$  such that  $(a_1 - 1) + \dots + (a_i - 1) + v(\rho) \leq d - 1$ . Define

$$z = d - v(\rho) - (a_1 - 1) - \dots - (a_i - 1).$$

Consider the partition  $\pi' = [a_1, \ldots, a_i, z, 1, \ldots, 1] \in \Pi(d)$ . Since by construction  $v(\pi') + v(\rho) = d-1$ , thanks to proposition 2.8 we can find permutations  $\alpha', \beta \in \mathfrak{S}_d$  with  $[\alpha'] = \pi'$  and  $[\beta] = \rho$  such that  $v(\alpha'\beta) = d-1$ ; in other words,  $\alpha'\beta$  is a d-cycle. Consider now the partition  $\pi'' = [a_{i+1} - z + 1, a_{i+2}, \ldots, a_r]$ , whose branching number is  $v(\pi'') = t$ . Let  $v = \sum \pi''$ ; fix an element v = v of the v = v-cycle of v = v-cycle of

$$\alpha\beta = (\alpha''\gamma)\gamma^{-1}(\alpha'\beta);$$

by lemma 2.7, this implies that  $\alpha\beta$  has the same number of cycles as  $\alpha''\gamma \in \mathfrak{S}(\{u_1,\ldots,u_n\})$ , that is  $v(\alpha\beta) = d - (k+1)$ . Since  $[\alpha] = \pi$  and  $[\beta] = \rho$ , the only thing left to show is that the action of  $\langle \alpha, \beta \rangle$  on  $\{1,\ldots,d\}$  is transitive. Write

$$\alpha'\beta = (u_1, \dots, w_1, u_2, \dots, w_2, u_3, \dots, w_{n-1}, u_n, \dots, w_n)$$

as in the proof of lemma 2.7, where an explicit description of the orbits of  $\alpha\beta = \alpha''\gamma\gamma^{-1}\alpha'\beta$  is given; from that description, it is clear that for each  $1 \leq j \leq n$  the elements  $u_j, \ldots, w_j$  all belong to the same orbit. Moreover, since  $\beta = (\alpha')^{-1}(u_1, \ldots, w_1, u_2, \ldots, w_n)$  and  $\alpha'$  fixes  $u_2, \ldots, u_n$ , it follows that  $w_j$  and  $u_{j+1}$  belong to the same orbit for each  $1 \leq j \leq n-1$ ; this completes the proof.

**Corollary 2.10.** Let  $\pi, \rho \in \Pi(d)$  be partitions of d. Assume that  $v(\pi) + v(\rho) \ge d - 1$  and  $v(\pi) + v(\rho) \equiv d - 1 \pmod{2}$ . Then there exist permutations  $\alpha, \beta \in \mathfrak{S}_d$  with  $[\alpha] = \pi$  and  $[\beta] = \rho$  such that  $\alpha\beta$  is a d-cycle.

*Proof.* The conclusion immediately follows from proposition 2.8 if  $v(\pi) + v(\rho) = d - 1$ , or from proposition 2.9 if  $v(\pi) + v(\rho) \ge d$ .

Remark 2.3. Write  $\pi = [a_1, \ldots, a_r]$ ,  $\rho = [b_1, \ldots, b_s]$ ; assume that  $b_1 \geq 2$ . By directly examining the proof of proposition 2.8, we can see that the proposed construction yields permutations  $\alpha, \beta \in \mathfrak{S}_d$  such that 1 belongs to the  $a_1$ -the cycle of  $\alpha$  and to the  $b_1$ -cycle of  $\beta$ . It is not hard to see, once again by inspecting the proof, that the same can be said for proposition 2.9. As a consequence, the statement of corollary 2.10 can be enhanced by adding the following line:  $\alpha$  and  $\beta$  can be chosen in such a way that 1 belongs to the  $a_1$ -the cycle of  $\alpha$  and to the  $b_1$ -cycle of  $\beta$ , provided that  $b_1 \geq 2$ . We will need this improvement for the upcoming proof.

**Proposition 2.11.** Let  $\pi, \rho \in \Pi(d)$  be partitions of d. Assume that  $v(\pi) + v(\rho) \geq d$  and  $v(\pi) + v(\rho) \equiv d \pmod{2}$ . Then there exist permutations  $\alpha, \beta \in \mathfrak{S}_d$  with  $[\alpha] = \pi$  and  $[\beta] = \rho$  such that  $\langle \alpha, \beta \rangle$  acts transitively on  $\{1, \ldots, d\}$  and

$$[\alpha\beta] = \begin{cases} [d/2, d/2] & \text{if } \pi = \rho = [2, \dots, 2], \\ [1, d-1] & \text{otherwise.} \end{cases}$$

Terminology?

Maybe explain why?

*Proof.* Assume first that  $\pi = \rho = [2, ..., d]$ . We can choose

$$\alpha = (2,3)(4,5)\cdots(d,1),$$
  $\beta = (1,2)(3,4)\cdots(d-1,d).$ 

The action of  $\langle \alpha, \beta \rangle$  is obviously transitive, and

$$\alpha\beta = (1, 3, \dots, d-1)(2, 4, \dots, 2).$$

Otherwise, since  $v(\pi) + v(\rho) \ge d$ , at least one of  $\pi$  and  $\rho$  has an entry which is greater than 2; without loss of generality, we can assume it is  $\rho$ . Write  $\pi = [a_1, \ldots, a_r], \ \rho = [b_1, \ldots, b_s]$  with  $a_1 \ge 2$  (since  $v(\pi) \ge 1$ ) and  $b_1 \ge 3$ . Fix

$$\beta = (1, \dots, d_1)(d_1 + 1, \dots, d_2) \cdots (d_{s-1} + 1, \dots, d_s),$$

where  $b_1 = d_1 \ge 3$ ,  $b_i = d_i - d_{i-1}$  for  $2 \le i \le s$  (in particular,  $d_s = d$ ). Consider the partitions

$$\pi' = [a_1 - 1, \dots, a_r],$$
  $\rho' = [b_1 - 1, \dots, b_s].$ 

Since  $\sum \pi' = \sum \rho' = d-1$  and  $v(\pi') + v(\rho') = v(\pi) + v(\rho) - 2$ , by corollary 2.10 we can find permutations  $\alpha', \beta' \in \mathfrak{S}(\{2, \dots, d\})$  with  $[\alpha'] = \pi'$  and  $[\beta'] = \rho'$  such that  $\alpha'\beta'$  is a (d-1)-cycle. Up to conjugation, we can assume that

$$\beta' = (2, \dots, d_1)(d_1 + 1, \dots, d_2) \cdots (d_{s-1} + 1, \dots, d_s)$$

or, in other words,  $\beta = (1,2)\beta'$ ; moreover, as explained in remark 2.3, we can choose  $\alpha'$  in such a way that its  $(a_1 - 1)$ -cycle contains 2. By setting  $\alpha = \alpha'(1,2)$ , we immediately get that  $\alpha\beta = \alpha'\beta'$  is a (d-1)-cycle fixing 1. Finally, the action of  $\langle \alpha, \beta \rangle$  is transitive since  $\alpha$  does not fix 1.

Corollary 2.12. Let  $\pi, \rho \in \Pi(d)$  be partitions of d. Assume that  $v(\pi) + v(\rho) \ge d - 1$ . Then there exist permutations  $\alpha, \beta \in \mathfrak{S}_d$  with  $[\alpha] = \pi$ ,  $[\beta] = \rho$  such that  $\langle \alpha, \beta \rangle$  acts transitively on  $\{1, \ldots, d\}$  and

$$[\alpha\beta] \in \{[d], [1, d-1], [d/2, d/2]\}.$$

*Proof.* The conclusion follows immediately from proposition 2.9 or proposition 2.11 depending on the parity of  $v(\pi) + v(\rho) + d$ .

## 2.6 Projective plane and sphere

**Theorem 2.13.** Let  $\mathcal{D} = (\widetilde{\Sigma}, \mathbb{RP}^2; d; \pi_1, \dots, \pi_n)$  be a candidate datum. If  $\widetilde{\Sigma}$  is non-orientable, then  $\mathcal{D}$  is realizable.

*Proof.* First of all, since  $\mathcal{D}$  is a candidate datum, the ?? formula implies that

$$v(\pi_1) + \ldots + v(\pi_n) = d\chi(\mathbb{RP}^2) - \chi(\widetilde{\Sigma}) \ge d - 1$$

(recall that  $\widetilde{\Sigma}$  is non-orientable, so  $\chi(\widetilde{\Sigma}) \leq 1$ ). Moreover, the total branching  $v(\pi_1) + \ldots + v(\pi_n)$  is even. In order to apply proposition 2.2, we will now inductively define representatives  $\alpha_i \in \mathfrak{S}_d$  with  $[\alpha_i] = \pi_i$ , satisfying the following invariant: for every  $0 \leq i \leq n$ , either

$$v(\alpha_1 \cdots \alpha_i) = v(\pi_1) + \ldots + v(\pi_i)$$

or

$$[\alpha_1 \cdots \alpha_i] \in \{[d], [1, d-1], [d/2, d/2]\}$$
 and  $(\alpha_1, \dots, \alpha_i)$  acts transitively.

Assume we have already defined  $\alpha_1, \ldots, \alpha_{i-1}$ ; we want to suitably choose  $\alpha_i$ . Let  $\alpha = \alpha_1 \cdots \alpha_{i-1}$ ; there are two cases.

- If  $v(\alpha) + v(\pi_i) < d$ , by proposition 2.8 we can find  $\alpha_i \in \mathfrak{S}_d$  with  $[\alpha_i] = \pi_i$  such that  $v(\alpha\alpha_i) = v(\alpha) + v(\alpha_i)$ . The invariant is still satisfied: if  $v(\alpha) = v(\pi_1) + \ldots + v(\pi_{i-1})$  then obviously  $v(\alpha\alpha_i) = v(\pi_1) + \ldots + v(\pi_i)$ . If instead  $[\alpha] \in \{[d], [1, d-1], [d/2, d/2]\}$ , then either  $\alpha_i$  is the identity, or  $\alpha\alpha_i$  is a d-cycle; either way,  $[\alpha\alpha_i] \in \{[d], [1, d-1], [d/2, d/2]\}$ . Note that if the action of  $\langle \alpha_1, \ldots, \alpha_{i-1} \rangle$  is transitive, then the action of  $\langle \alpha_1, \ldots, \alpha_i \rangle$  is transitive as well.
- If  $v(\alpha) + v(\alpha_i) \geq d$ , corollary 2.12 gives a permutation  $\alpha_i \in \mathfrak{S}_d$  with  $[\alpha_i] = \pi_i$  such that  $[\alpha \alpha_i] \in \{[d], [1, d-1], [d/2, d/2]\}$  and the action of  $\langle \alpha, \alpha_i \rangle$  is transitive. The invariant is obviously satisfied.

By induction, we can find  $\alpha_1, \ldots, \alpha_n \in \mathfrak{S}_d$  with  $[\alpha_i] = \pi_i$  such that

$$[\alpha_1 \cdots \alpha_n] \in \{[d], [1, d-1], [d/2, d/2]\}$$

and  $\langle \alpha_1, \ldots, \alpha_n \rangle$  acts transitively on  $\{1, \ldots, d\}$  (note that  $v(\alpha_1 \cdots \alpha_n) = v(\alpha_1) + \ldots + v(\alpha_n)$  also implies that  $[\alpha_1 \cdots \alpha_n] = [d]$ ). Note that

Show that  $v(\alpha\beta) \equiv v(\alpha) + v(\beta) \pmod{2}$ .

$$v(\alpha_1 \cdots \alpha_n) \equiv v(\alpha_1) + \ldots + v(\alpha_n) \equiv 0 \pmod{2}.$$

We now prove that  $\alpha = \alpha_1 \cdots \alpha_n$  is a square.

- If  $[\alpha] = [d]$ , then d is odd, so  $\alpha$  is the square of  $\alpha^{(d+1)/2}$ .
- If  $[\alpha] = [1, d-2]$ , then d is even, so  $\alpha$  is the square of  $\alpha^{d/2}$ .
- If  $[\alpha] = [d/2, d/2]$ , then d is even, and it is easy to see that  $\alpha$  is the square of a d-cycle.

By proposition 2.2, this implies that there exists a realizable candidate datum  $\mathcal{D}' = (\widetilde{\Sigma}', \mathbb{RP}^2; d; \pi_1, \dots, \pi_n)$ . To see that  $\widetilde{\Sigma}'$  is non-orientable (and, therefore, equal to  $\widetilde{\Sigma}$ , as shown in remark 2.2), simply note that ??.

## 2.7 First results on the sphere

Assume  $n \geq 3$ .

**Proposition 2.14.** Let  $\mathcal{D} = (\Sigma_g, S^2; d; \pi_1, \dots, \pi_{n-1}, [d])$  be a candidate datum. Then  $\mathcal{D}$  is realizable.

*Proof.* We proceed by induction on n, starting with the base case n=3. If  $\mathcal{D}=(\Sigma_g,S^2;d;\pi_1,\pi_2,[d])$  is a candidate datum, by the ?? formula we have that

$$v(\pi_1) + v(\pi_2) = 2d - 2 + 2g - (d - 1) \ge d - 1.$$

Moreover, the total branching number  $v(\pi_1) + v(\pi_2) + d - 1$  is even. Corollary 2.10 then gives permutations  $\alpha_1, \alpha_2 \in \mathfrak{S}_d$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $[\alpha_1 \alpha_2] = [d]$ . By proposition 2.1,  $\mathcal{D}$  is realizable (see remark 2.1).

Assume now that the statement holds for candidate data with at most n-1 partitions, and fix  $\mathcal{D} = (\Sigma_q, S^2; d; \pi_1, \dots, \pi_{n-1}, [d])$ . There are two cases.

• Assume that  $v(\pi_1) + v(\pi_2) \leq d - 1$ . By proposition 2.8, we can find permutations  $\alpha_1, \alpha_2 \in \mathfrak{S}_d$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1\alpha_2) = v(\alpha_1) + v(\alpha_2)$ . Consider the candidate datum  $\mathcal{D}' = (\Sigma_g, S^2; d; [\alpha_1\alpha_2], \pi_3, \dots, \pi_{n-1}, [d])$ . By induction,  $\mathcal{D}'$  is realizable; proposition 2.1 then gives permutations  $\alpha_3, \dots, \alpha_n \in \mathfrak{S}_d$  with  $[\alpha_i] = \pi_i$  for  $3 \leq i \leq n-1$  and  $[\alpha_n] = [d]$  such that  $(\alpha_1\alpha_2)\alpha_3 \cdots \alpha_n = 1$ . It is easy to see that the permutations  $\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_n$  imply the realizability of  $\mathcal{D}$ , again by proposition 2.1 (together, as usual, with remark 2.1).

• Otherwise, we have that  $v(\pi_1) + v(\pi_2) \ge d$ . By corollary 2.12, we can find permutations  $\alpha_1, \alpha_2$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1 \alpha_2) \ge d - 2$ . Let

$$g' = \frac{1}{2}(v(\alpha_1 \alpha_2) + v(\pi_3) + \dots + v(\pi_{n-1}) + d - 1) - d + 1$$
  
 
$$\geq \frac{1}{2}(d - 2 + 1 + d - 1) - d + 1 \geq 0.$$

It is easy to see that  $\mathcal{D}' = (\Sigma_{g'}, S^2; d; [\alpha_1 \alpha_2], \pi_3, \dots, \pi_n)$  is a candidate datum, so it is realizable by induction. Similarly to the previous case, this implies that  $\mathcal{D}$  is realizable.  $\square$ 

**Proposition 2.15.** Let  $\mathcal{D} = (\Sigma_g, S^2; d; \pi_1, \dots, \pi_{n-1}, [1, d-1])$  be a proper candidate datum. Then  $\mathcal{D}$  is not realizable if and only if it satisfies one of the following.

- (1)  $\mathcal{D} = (\Sigma_{n-3}, S^2; 4; [2, 2], \dots, [2, 2], [1, 3]).$
- (2)  $\mathcal{D} = (S^2, S^2; 2k; [2, \dots, 2], [2, \dots, 2], [1, 2k 1])$  with  $k \ge 2$ .

**Proposition 2.16.** Let  $\mathcal{D} = (\Sigma_g, S^2; d; \pi_1, \dots, \pi_n)$  be a candidate datum. Assume that  $d \neq 4$  and that  $2g \geq d-1$ . Then  $\mathcal{D}$  is realizable.

**Corollary 2.17.** Let d be a positive integer with  $d \neq 4$ . Then there exist at most finitely many non-realizable candidate data of the form  $(\Sigma_g, S^2; d; \pi_1, \ldots, \pi_n)$ .

**Proposition 2.18.** Let  $\mathcal{D} = (\Sigma_g, S^2; 4; \pi_1, \dots, \pi_n)$  be a proper candidate datum. Then  $\mathcal{D}$  is realizable if and only if  $\mathcal{D} \neq (\Sigma_{n-3}, S^2; 4; [2, 2], \dots, [2, 2], [1, 3]).$ 

## 2.8 Prime-degree conjecture

**Proposition 2.19.** Let d be a positive integer. Assume that every candidate datum  $(\Sigma_g, S^2; d; \pi_1, \pi_2, \pi_3)$  is realizable. Then every candidate datum  $(\Sigma_g, S^2; d; \pi_1, \dots, \pi_n)$  with  $n \geq 3$  is realizable.

*Proof.* We proceed by induction on  $n \geq 4$ . Assume that every candidate datum with at most n-1 partitions is realizable. Fix a candidate datum  $\mathcal{D} = (\Sigma_g, S^2; d; \pi_1, \dots, \pi_n)$ ; there are two cases.

- Assume that there are two indices  $1 \leq i < j \leq n$  such that  $v(\pi_i) + v(\pi_j) \leq d 1$ ; without loss of generality, consider i = 1 and j = 2. By proposition 2.8, we can find permutations  $\alpha_1, \alpha_2 \in \mathfrak{S}_d$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1\alpha_2) = v(\alpha_1) + v(\alpha_2)$ . Consider the candidate datum  $\mathcal{D}' = (\Sigma_g, S^2; d; [\alpha_1\alpha_2], \pi_3, \ldots, \pi_n)$ . By induction,  $\mathcal{D}'$  is realizable; a reduction argument shows that  $\mathcal{D}$  is realizable as well<sup>1</sup>.
- Otherwise,  $v(\pi_i) + v(\pi_j) \ge d$  for every  $1 \le i < j \le n$ . By corollary 2.12, we can find permutations  $\alpha_1, \alpha_2$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1 \alpha_2) \ge d 2$ . Let

$$g' = \frac{1}{2}(v(\alpha_1\alpha_2) + v(\pi_3) + \ldots + v(\pi_n)) - d + 1 \ge \frac{1}{2}(d - 2 + d) - d + 1 \ge 0.$$

It is easy to see that  $\mathcal{D}' = (\Sigma_{g'}, S^2; d; [\alpha_1 \alpha_2], \pi_3, \dots, \pi_n)$  is a candidate datum, so it is realizable by induction. Similarly to the previous case, this implies that  $\mathcal{D}$  is realizable.  $\square$ 

ere it is crucial that  $\geq 4$ .

## Chapter 3

# Exceptional data with a short partition

Always assume  $n \geq 3$ 

### 3.1 Realizability on the sphere

**Theorem 3.1.** Let  $\mathcal{D} = (S^2; d; \pi_1, \pi_2, [s, d-s])$  be a candidate datum. Then  $\mathcal{D}$  is realizable unless it satisfies one of the following.

- (1)  $\mathcal{D} = (S^2; 12; [2, 2, 2, 2, 2, 2], [1, 1, 1, 3, 3, 3], [6, 6]).$
- (2)  $\mathcal{D} = (S^2; 2k; [2, \dots, 2], [2, \dots, 2], [s, 2k s])$  with  $k \ge 2$ ,  $s \ne k$ .
- (3)  $\mathcal{D} = (S^2; 2k; [2, \dots, 2], [1, 2, \dots, 2, 3], [k, k])$  with  $k \ge 2$ .
- (4)  $\mathcal{D} = (S^2; 4k+2; [2,\ldots,2], [1,\ldots,1,k+1,k+2], [2k+1,2k+1])$  with  $k \ge 1$ .
- (5)  $\mathcal{D} = (S^2; 4k; [2, \dots, 2], [1, \dots, 1, k+1, k+1], [2k-1, 2k+1])$  with  $k \ge 2$ .
- (6)  $\mathcal{D} = (S^2; kh; [h, \dots, h], [1, \dots, 1, k+1], [lk, (h-l)k])$  with  $h \ge 2, k \ge 2, 1 \le l < h$ .

**Theorem 3.2.** Let  $\mathcal{D} = (S^2; d; \pi_1, \dots, \pi_{n-1}, [s, d-s])$  be a proper candidate datum with  $n \geq 4$ . Then  $\mathcal{D}$  is realizable.

## **3.2** Realizability on the torus for n = 3

**Theorem 3.3.** Let  $\mathcal{D} = (\Sigma_1; d; \pi_1, \pi_2, [s, d - s])$  be a candidate datum. Then  $\mathcal{D}$  is realizable unless it satisfies one of the following.

- (1)  $\mathcal{D} = (\Sigma_1; 6; [3, 3], [3, 3], [2, 4]).$
- (2)  $\mathcal{D} = (\Sigma_1; 8; [2, 2, 2, 2], [4, 4], [3, 5]).$
- (3)  $\mathcal{D} = (\Sigma_1; 12; [2, 2, 2, 2, 2, 2], [3, 3, 3, 3], [5, 7]).$
- (4)  $\mathcal{D} = (\Sigma_1; 16; [2, 2, 2, 2, 2, 2, 2, 2], [1, 3, 3, 3, 3, 3], [8, 8]).$
- (5)  $\mathcal{D} = (\Sigma_1; 2k; [2, \dots, 2], [2, \dots, 2, 3, 5], [k, k])$  with  $k \geq 5$ .

### 3.3 Realizability on higher genus surfaces for n = 3

**Theorem 3.4.** Let  $\mathcal{D} = (\Sigma_g; d; \pi_1, \pi_2, [s, d - s])$  be a candidate datum with  $g \geq 2$ . Then  $\mathcal{D}$  is realizable.

## 3.4 Realizability for $n \ge 4$

**Theorem 3.5.** Let  $\mathcal{D} = (\Sigma_g; d; \pi_1, \dots, \pi_{n-1}, [s, d-s])$  be a proper candidate datum with  $n \geq 4$ . Then  $\mathcal{D}$  is realizable unless it satisfies one of the following.

- (1)  $\mathcal{D} = (\Sigma_{n-3}; 4; [2, 2], \dots, [2, 2], [1, 3]).$
- (2)  $\mathcal{D} = (\Sigma_2; 8; [2, 2, 2, 2], [2, 2, 2, 2], [2, 2, 2, 2], [3, 5]).$

*Proof.* We will proceed by induction on n. We start with the base case n=4, which requires the heaviest casework. Fix a proper candidate datum  $\mathcal{D}=(\Sigma_q;d;\pi_1,\pi_2,\pi_3,[s,d-s])$ .

- If  $d \leq 16$ , realizability can be checked by a computer. The only exceptional data are:
  - (1)  $\mathcal{D} = (\Sigma_1; 4; [2, 2], [2, 2], [2, 2], [1, 3]);$
  - (2)  $\mathcal{D} = (\Sigma_2; 8; [2, 2, 2, 2], [2, 2, 2, 2], [2, 2, 2, 2], [3, 5]).$
- If g = 0, then  $\mathcal{D}$  is realizable by theorem 3.2.
- Assume that the inequality  $v(\pi_i) + v(\pi_j) < d$  holds for a pair of indices  $1 \le i < j \le 3$ ; up to reindexing, we can assume that  $v(\pi_1) + v(\pi_2) < d$ . By proposition 2.8, we can find permutations  $\alpha_1, \alpha_2 \in \mathfrak{S}_d$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1\alpha_2) = v(\alpha_1) + v(\alpha_2)$ . Consider the candidate datum

$$\mathcal{D}' = (\Sigma_q; d; [\alpha_1 \alpha_2], \pi_3, [s, d - s]).$$

The standard reduction argument implies that  $\mathcal{D}$  is realizable provided that  $\mathcal{D}'$  is. If  $g \geq 2$ , then  $\mathcal{D}'$  is realizable by theorem 3.4. If instead g = 1, then  $\mathcal{D}'$  is realizable unless

$$\mathcal{D}' = (\Sigma_1; 2k; [2, \dots, 2], [2, \dots, 2, 3, 5], [k, k])$$
 with  $2k = d$ .

If this is the case, then s = k and  $\{ [\alpha_1 \alpha_2], \pi_3 \} = \{ [2, \dots, 2], [2, \dots, 2, 3, 5] \}$ . Some more casework is required to show that  $\mathcal{D}'$  can actually be chosen to be realizable.

- If  $[\alpha_1\alpha_2] = [2,\ldots,2]$ , then  $v(\alpha_1\alpha_2) = k$  and  $v(\pi_3) = k+2$ . Assume without loss of generality that  $v(\pi_1) \leq k/2$ . We have that

$$k+2 < 1+k+2 \le v(\pi_1) + v(\pi_3) \le \frac{k}{2} + k + 2 < d.$$

Repeating the construction with i = 1 and j = 3 will yield a realizable  $\mathcal{D}'$ .

- If  $\pi_3 = [2, ..., 2]$  and  $v(\pi_1) \notin \{2, k, k+1\}$  then  $v(\pi_1) + v(\pi_3) < d$  and  $v(\pi_1) + v(\pi_3) \notin \{k, k+2\}$ . Therefore, repeating the construction with i=1 and j=3 will yield a realizable  $\mathcal{D}'$ .
- If  $\pi_3 = [2, ..., 2]$ ,  $v(\pi_1) = 2$  and  $\pi_2 \neq [2, ..., 2]$ , then repeating the construction with i = 1 and j = 3 will yield a realizable  $\mathcal{D}'$ .

- If  $\pi_2 = \pi_3 = [2, ..., 2]$ , we follow a different approach. By proposition 2.11, we can find permutations  $\beta_2, \beta_3 \in \mathfrak{S}_d$  with  $[\beta_2] = [\beta_3] = [2, ..., 2]$  such that  $[\beta_2\beta_3] = [k, k]$ . It is easy to see that  $\mathcal{D}'' = (S^2; 2k; \pi_1, [k, k], [k, k])$  is a candidate datum, and it is realizable by theorem 3.1. The standard reduction argument implies that  $\mathcal{D}$  is realizable as well.

Up to swapping  $\pi_1$  and  $\pi_2$ , this analysis covers all the possible cases.

• Otherwise, the inequality  $v(\pi_i) + v(\pi_j) \ge d$  holds for every  $1 \le i < j \le 3$ . In particular, up to reindexing, we can assume that  $v(\pi_3) \ge d/2$ . Corollary 2.12 gives permutations  $\alpha_1, \alpha_2 \in \mathfrak{S}_d$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1 \alpha_2) \ge d - 2$ . Let

$$g' = \frac{1}{2}(v(\alpha_1\alpha_2) + v(\pi_3) + d - 2) - d + 1 \ge \frac{1}{2}\left(d - 2 + \frac{d}{2} + d - 2\right) - d + 1 = \frac{d}{4} - 1 \ge 2.$$

It is easy to see that  $\mathcal{D}' = (\Sigma_{g'}; d; [\alpha_1, \alpha_2], \pi_3, [s, d-s])$  is candidate datum, and it is realizable by theorem 3.4. The standard reduction argument implies that  $\mathcal{D}$  is realizable as well

We now turn to the case  $n \geq 5$ ; we will show by induction that every candidate datum  $\mathcal{D} = (\Sigma_g; d; \pi_1, \dots, \pi_{n-1}, [s, d-s])$  different from  $(\Sigma_{n-3}; 4; [2, 2], \dots, [2, 2], [1, 3])$  is realizable. The case d=4 is covered by ??. If n=5 and d=8, a computer-aided search shows that  $\mathcal{D}$  is realizable. Otherwise, we once again employ a reduction argument.

• Assume that the inequality  $v(\pi_i) + v(\pi_j) < d$  holds for a pair of indices  $1 \le i < j \le n-1$ ; up to reindexing, we can assume that  $v(\pi_1) + v(\pi_2) < d$ . Proposition 2.8 gives permutations  $\alpha_1, \alpha_2 \in \mathfrak{S}_d$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1 \alpha_2) = v(\alpha_1 \alpha_2)$ . By induction the candidate datum

$$\mathcal{D}' = (\Sigma_g; d; [\alpha_1 \alpha_2], \pi_3, \dots, \pi_{n-1}, [s, d-s])$$

is realizable, therefore  $\mathcal{D}$  is realizable as well.

• Otherwise, the inequality  $v(\pi_i) + v(\pi_j) \ge d$  holds for every  $1 \le i < j \le n-1$ . By corollary 2.12, we can find permutations  $\alpha_1, \alpha_2 \in \mathfrak{S}_d$  with  $[\alpha_1] = \pi_1$  and  $[\alpha_2] = \pi_2$  such that  $v(\alpha_1\alpha_2) \ge d-2$ . Let

$$g' = \frac{1}{2}(v(\alpha_1 \alpha_2) + v(\pi_3) + \dots + v(\pi_{n-1}) + d - 2) - d + 1$$

$$\geq \frac{1}{2}(d - 2 + d + d - 2) - d + 1$$

$$= \frac{d}{2} - 1 \geq 0.$$

It is easy to see that  $\mathcal{D}' = (\Sigma_{g'}; d; [\alpha_1 \alpha_2], \pi_3, \dots, \pi_{n-1}, [s, d-s])$  is a candidate datum, and it is realizable by induction. The standard reduction argument implies that  $\mathcal{D}$  is realizable as well.