

5.3. Check whether the following formulas are theorems or not using predicate resolution

$$U_3 = (\forall x)(\forall y) P(x, y) \leftrightarrow (\exists x)(\forall y) P(x, y)$$

A propositional formula  $U$  is a theorem if and only if the empty clause can be derived from the clausal normal form of  $\neg U$ , using the resolution algorithm.

$U$  theorem iff  $CNF(\neg U) \vdash \square$

A predicate formula  $U$  is in prenex normal form if it has the form  $(Q_1 x_1) \dots (Q_n x_n) M$  where  $Q_i$  are quantifiers and  $M$  is quantifier free. The prenex normal form is obtained by applying transformations which preserve the logical equivalence, according to the following steps:

Step 1 The connectives  $\rightarrow$  and  $\leftrightarrow$  are replaced using the connectives  $\neg, \wedge, \vee$

$$(A \leftrightarrow B) \equiv (A \rightarrow B) \wedge (B \rightarrow A)$$

$$\neg(U \rightarrow V) \equiv U \wedge \neg V$$

$$U_3 = (\forall x)(\forall y) P(x, y) \rightarrow (\exists x)(\forall y) P(x, y) \wedge (\exists x)(\forall y) P(x, y) \rightarrow (\forall x)(\forall y) P(x, y)$$

$$U_{3,1} = (\forall x)(\forall y) P(x, y) \rightarrow (\exists x)(\forall y) P(x, y)$$

$$U_{3,2} = (\exists x)(\forall y) P(x, y) \rightarrow (\forall x)(\forall y) P(x, y)$$

$U_3$  is a theorem if both  $U_{3,1}$  and  $U_{3,2}$  are theorems



Study  $\rightarrow U_{3,1}$  and apply De Morgan's law:

$$\begin{aligned}\neg U_{3,1} &= (\forall x)(\forall y) P(x, y) \wedge \neg (\exists x)(\forall y) P(x, y) \\ &= (\forall x)(\forall y) P(x, y) \wedge (\forall x)(\exists y) \neg P(x, y)\end{aligned}$$

Step 2 Rename the bound variables

$$= (\forall x)(\forall y) P(x, y) \wedge (\forall u)(\exists t) \neg P(u, t)$$

Step 3 Extract quantifiers in front of the formula

$$= (\forall u)(\exists t)(\forall x)(\forall y) P(x, y) \wedge \neg P(u, t)$$

From the prenex form we obtain the Skolem form by applying transformations to the existential quantifiers.

$t = f(u) \rightarrow$  unary Skolem function

$$U^S = (\forall u)(\forall x)(\forall y) P(x, y) \wedge \neg P(u, f(u))$$

Obtain the clausal normal form  $U^C$  by deleting the prefix of  $U^S$

$$U^C = P(x, y) \wedge \neg P(u, f(u))$$

$$C_1: P(x, y)$$

$$C_2: \neg P(u, f(u))$$

$$\Theta_1 = [x \leftarrow u, y \leftarrow f(u)]$$

$$C_3: \top \quad \square$$

So  $U_{3,1}$  is a theorem



We repeat the steps for  $\mathcal{U}_{3.2}$

$$\begin{aligned}\neg \mathcal{U}_{3.2} &= (\exists x)(\forall y) P(x, y) \wedge \neg (\forall x)(\forall y) P(x, y) \\ &= (\exists x)(\forall y) P(x, y) \wedge (\exists x)(\exists y) \neg P(x, y) \\ &= (\exists x)(\forall y) P(x, y) \wedge (\exists u)(\exists v) \neg P(u, v)\end{aligned}$$

$$\mathcal{U}^P = (\exists u)(\exists v)(\exists x)(\forall y) P(x, y) \wedge \neg P(u, v)$$

$$u = a$$

$$v = b \quad \text{Skolem constants}$$

$$x = c$$

$$\mathcal{U}^S = (\forall y) P(c, y) \wedge \neg P(a, b)$$

$$\mathcal{U}^C = P(c, y) \wedge \neg P(a, b)$$

$$C_1: P(c, y)$$

$$C_2: \neg P(a, b)$$

Because  $a, b, c$  are distinct constants we can't do any valid substitutions, so we can't obtain an empty clause. Therefore,  $\mathcal{U}_{3.2}$  is not a theorem.

$\mathcal{U}_{3.1}$  theorem

$\mathcal{U}_{3.2}$  not a theorem  $\Rightarrow \mathcal{U}_3$  not a theorem