

BLACK HOLE INFORMATION PARADOX

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Notes for Suvrat Raju's Black Hole Information Paradox course at ICTP(well really online) during Spring 2021. Course website can be found [here](#) which contains notes, assignments, and links to lecture videos. This course closely follow a review posted [here](#). If you have any comments let me know at hi@delonshen.com.

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LECTURE 1: INTRODUCTION AND TWO-POINT QFT CORRELATORS

January 13, 2021

The main organization of this course

- (a) Hawking's Original Paradox \rightarrow Thermalization and exponentially small corrections.
- (b) Paradoxes about interior of evaporating Black Holes \rightarrow holography of information, islands and page curve.
- (c) Paradoxes about large Black Holes in AdS/CFT \rightarrow Mirror operators, state-dependence, and firewalls/fuzzballs

Lets start by talking about **Hawking Radiation**, it's the effect that underlies the information paradox. Take a black hole in asymptotically flat space. This black hole radiates with a temperature \propto surface gravity. We should also recall that hawking radiation relies on short distance QFT physics and on global late-time properties of the black hole geometry. The interesting thing is that the derivation for Hawking's radiation also implies the existence of the entangled modes across horizons. So what are the common derivations of hawking radiation(TODO (a) is in appendix of review paper and (b) might be in wald)?

- (a) Hawking's original derivation
- (b) Rindler \leftrightarrow Minkowski Bogolivlov transformation

In this course we'll consider a different derivation from both of these

Lets take a second to step back from black hole and look at Quantum Fields near a null surface. We'll apply what we learn here to black holes later. What we want to show is that across any null surface in a smooth state (TODO smooth state who?) we can isolate a "local" QFT (which we'll define in a bit) with universal entanglement. This is useful because we'll find that in a black hole spacetime local degrees of freedom near the horizon gives global modes in blackhole geometry.

First lets define what we mean by a smooth metric around some point. Consider a point in some $D = d + 1$ space and let this point be the origin. We have U, V , two null coordinates, and $d - 1$ transverse coordinates. A metric is smooth around some point if around some point we can locally choose some coordinates so the metric takes the following form. (think light cone variant Kruskal coordinates in arbitrary dimensions?)

$$ds^2 = -dUdV + \delta_{\alpha\beta} dy^\alpha dy^\beta + \dots$$

Where $dUdV$ are two null coordinates and α, β is over $d - 1$ indices and where the \dots terms vanish near origin.

figure

We also want to make an additional demand. Consider a scalar field ϕ and points near $U = 0$. If we're still thinking in terms of Kruskal coordinates this means we're thinking of things close to eachother on each side of the horizon? In the limit where x_1 approaches x_2 for any nonsingular state the two point correlation function (Wightman function?) becomes.

$$\langle \phi(x_1) \phi(x_2) \rangle = \frac{N}{|x_1 - x_2|^{d-1}} + \dots$$

We also impose the following scales

- (a) $|x_1 - x_2| \ll \ell_{\text{curvature}}$
- (b) $|x_1 - x_2| \ll \frac{1}{m}$
- (c) $|x_1 - x_2| \gg \ell_{\text{Pl}}$ or any UV scale where EFT breaks down.

These length scales give us the normalization if we consider a free field (e.g. $\mathcal{L} = 1/2(\partial_\mu \phi)^2$).

$$N = \frac{\Gamma(d-1)}{2^d \pi^{d/2} \Gamma(d/2)} \Rightarrow \langle \phi(x_1) \phi(x_2) \rangle = \frac{\Gamma(d-1)}{2^d \pi^{d/2} \Gamma(d/2)} \frac{1}{|x_1 - x_2|^{d-1}} + \dots$$

Because of the length scales we assume we can say that the structure of the two point function is universal (TODO what in the world.) Before we continue lets look at a few things that will be useful

$$|x_1 - x_2|^2 = -\delta U \delta V + \delta_{\alpha\beta} \delta y^\alpha \delta y^\beta \quad \delta O = O_1 - O_2$$

Also if we grind through some calculations we'll find that

$$\langle \partial_{U_1} \phi(x_1) \partial_{U_2} \phi(x_2) \rangle = -\frac{d^2 - 1}{4} \frac{N(\delta V)^2}{|x_1 - x_2|^{d+3}} + \dots$$

Taking $\delta V \rightarrow 0$, e.g. we take δV to be the smallest separation, then we find that

$$\lim_{\delta V \rightarrow 0} \frac{(\delta V)^2}{(-\delta U \delta V + \delta y^\alpha \delta y^\beta \delta_{\alpha\beta})^{(d+3)/2}} \neq 0$$

It's not zero since it does receive a contribution when $y^\alpha = 0$. To see this we can do an integral over all the transverse separations.

$$\int \frac{(\delta V)^2}{(\delta U \delta V + \delta y^\alpha \delta y^\beta \delta_{\alpha\beta})^{(d+3)/2}} d^{d-1} \delta y^\alpha$$

We'll also take the $|x_1 - x_2|$ is positive. Now with the substitution $\delta \tilde{y}^\alpha = \delta y^\alpha / \sqrt{-\delta U \delta V}$ we get

$$\frac{1}{(\delta V)^2} \int \frac{d\delta \tilde{y}^\alpha}{[1 + \delta \tilde{y}^\alpha \delta \tilde{y}^\alpha]^{(d-3)/2}}$$

What happens in the end is that all factors of δV cancel. In the notes Suvrat says that for $\delta y^\alpha \neq 0$ the integral vanishes. I think this might be due to symmetry, there's a ring of δy^α with appropriate sign that cancels out when we do the integral. But since $\delta y^\alpha = 0$ doesn't have this cancellation it remains finite. In the end we get

$$\boxed{\lim_{\delta V \rightarrow 0} \langle \partial_{U_1} \phi(x_1) \partial_{U_2} \phi(x_2) \rangle = -\frac{1}{4\pi} \frac{\delta^{d-1}(\delta y^\alpha)}{(U_1 - U_2 - i\epsilon)^2}}$$

Something to note: the reason we did an integral was to pick up the coefficient of the delta function. How do we sniff out the presence of a delta function. We use the property $\int \delta(x) dx = 1$ with the fact that the integral vanishes for $x \neq 0$. Thus if the integral we considered above gave a finite answer then we know the two point correlation function of the derivatives of the states would be proportional to a delta function.

The next step which will happen in the next lecture would to define modes as approximately

$$\int \partial_\mu \phi(-U)^{i\omega}$$

What is this doing? It's picking up the right moving modes with constant V . (TODO huh?)

TWO-POINT FUNCTION NORMALIZATION

First we'll consider a free field governed by the lagrangian in $D = 3 + 1$ flat space time.

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)^2 + \frac{m^2}{2}\phi^2$$

LECTURE 2: ENTANGLED MODES ACCROSS NULL SURFACES

January 14, 2021

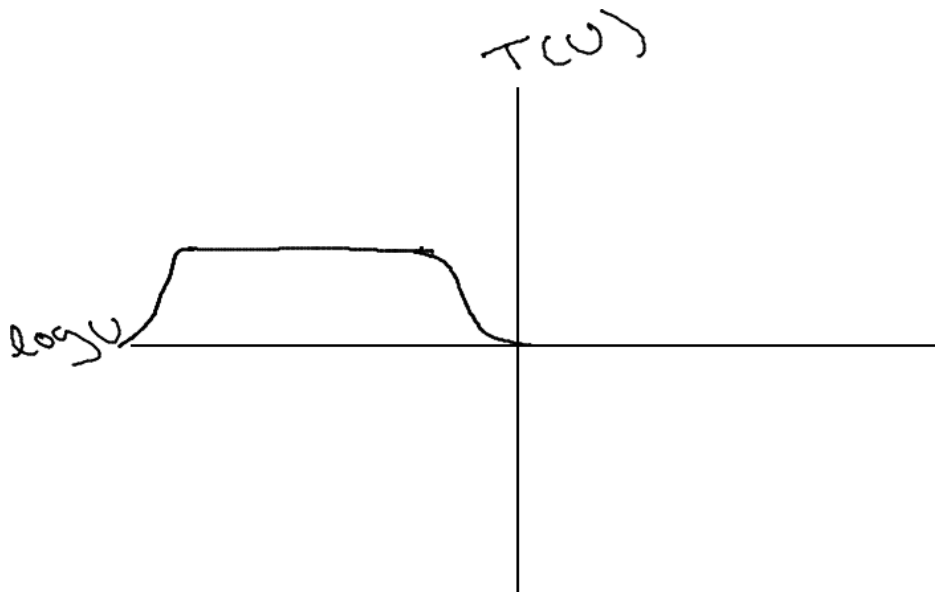
Last time we mentioned that we can extract right moving modes accross the null surface $U = 0$ with an integral (this is an approximate expression, we'll get a more precise integral in a bit)

$$\int \partial_U \phi(-U)^{i\omega} dU \quad \int \partial_U \phi U^{i\omega} dU$$

Note that we can't integrate over a large region in U because this would violate our limit of x_1 approaching x_2 which we derived the form of the two point function for in the last lecture. So then we know that we should integrate over a small region of U instead (with respect to the length scales defined in the last lecture).

We'll start by introducing a smearing function (TODO what?) $T(U)$ with the following properties

- (a) $T(U)$ dies off smoothly near $U \rightarrow 0$
- (b) Support in interval $[U_l, U_r]$ where $\ell_{UV} \ll U_r, U_l \ll \ell_{\text{curv}}$ and $\frac{U_r}{U_l} \gg 1$ and $U_l, U_r > 0$
- (c) We normalize the smearing function $\int T(U)^2 dU/U = 2\pi$. Note that $dU/U = d \log U$.
- (d) $T(U)$ is flat for a large range of $\log U$.



The next thing we need to do is define what's happening in the transverse direction by integrating over a volume Vol in the transverse direction which is smaller than a cube of the curvature scale. From this we can write a more precise expression for the mode.

$$a_{\omega_0} = \int (\partial_U \phi(U, V = 0, y^\alpha)) (-U)^{-i\omega_0} T(-U) dU \frac{d^{d-1} y^\alpha}{\sqrt{\pi \omega_0 \text{Vol}}}$$

We can similarly define a similar integral for the other side of the null surface. Note we let $V = -\epsilon$ to ensure that we're considering points that are spacelike separated.

$$\tilde{a}_{\omega_0} = \int (\partial_U \phi(U, V = -\epsilon, y^\alpha)) (U)^{i\omega_0} T(U) dU \frac{d^{d-1} y^\alpha}{\sqrt{\pi \omega_0 \text{Vol}}}$$

The $T(U)$ insures we only integrate over a small region in U and we have some normalization factors put in that aren't motivated from what I can tell.

Let's now compute the two point function of a and \tilde{a} and we will find that this will only depend on the short distance field correlator that we found last lecture. First spelling things out

$$\begin{aligned} \langle a \tilde{a} \rangle &= \frac{1}{\pi \text{Vol} \omega_0} \int dU_1 dU_2 \langle \partial_{U_1} \phi(U_1, V = 0, y_1) \partial_{U_2} \phi(U_2, V = -\epsilon, y_2) \rangle \times \\ &\quad \times (-U_1)^{-i\omega_0} U_2^{i\omega_0} T(-U_1) T(U_2) d^{d-1} y_1 d^{d-1} y_2 \end{aligned}$$

Remember that the correlator gives a delta function

$$= -\frac{1}{4\pi^2 \omega_0} \int \frac{1}{(U_1 - U_2)^2} \left(\frac{U_2}{-U_1} \right)^{i\omega_0} T(-U_1) T(U_2) dU_1 dU_2$$

To do this integral we need the identity

$$\frac{1}{U_1 - U_2} = \frac{1}{(-U_1)U_2} \int_{-\infty}^{\infty} \frac{\omega e^{-\pi\omega}}{1 - e^{-2\pi\omega}} (U_2/(-U_1))^{-i\omega} d\omega$$

When $U_1 < 0$ and $U_2 > 0$. Assume that $|U_1| > |U_2|$. This lets do a contour integral where there are poles at $\omega = in$ where $n \in \mathbb{N}$. From here we can sum the residuals. What are the residuals at the poles? Well mathematica can tell us and so can Suvrat.

$$\frac{1}{(U_1 - U_2)^2} = \frac{1}{|U_1|U_2} \sum_{n=1}^{\infty} -n(-1)^n (U_2/|U_1|)^n$$

We can also plug in the identity (before computing the residuals) into $\langle a \tilde{a} \rangle$ to get

$$\begin{aligned} \langle a \tilde{a} \rangle &= \frac{1}{4\pi^2 \omega_0} \int \frac{dU_1}{U_1} \frac{dU_2}{U_2} (U_2/(-U_1))^{-i(\omega - \omega_0)} \frac{\omega e^{-\pi\omega}}{1 - e^{-2\pi\omega}} T(-U_1) T(U_2) d\omega \\ &= \int T(-U_1) (1/(-U_1))^{i(\omega_0 - \omega)} dU_1/U_1 \times \int T(U_2) U_2^{i(\omega_0 - \omega)} dU_2/U_2 \times \int \frac{\omega e^{-\pi\omega}}{1 - e^{-2\pi\omega}} d\omega \end{aligned}$$

Now note that we can rewrite this in terms of $\log(U)$ since $dU/U = d \log U$.

$$= \int T(-U_1) e^{-i(\log[-U_1])(\omega_0 - \omega)} d \log U_1 \times \int T(U_2) e^{i(\log U_2)(\omega_0 - \omega)} d \log U_2 \times \int \frac{\omega e^{-\pi\omega}}{1 - e^{-2\pi\omega}} d\omega$$

The first two integrals are fourier transforms of T . Namely $S(\gamma) = \frac{1}{2\pi} \int_0^\infty T(U) U^{-i\gamma} dU/U$

$$= \frac{1}{\omega_0} \int \frac{\omega e^{-\pi\omega}}{1 - e^{-2\pi\omega}} |S(\omega - \omega_0)|^2 d\omega$$

Now note that since we said T is very flat for a large range of U then we know that the fourier transform of T has to be very big at $T = 0$ and thus the fourier transform of T becomes basically a delta function. This gives us finally

$$\langle a\tilde{a} \rangle = \frac{e^{-\pi\omega_0}}{1 - e^{-2\pi\omega_0}} + \dots \quad (1)$$

There are similar calculations we can do to find

$$\langle aa^\dagger \rangle = \frac{1}{1 - e^{-2\pi\omega_0}} \quad \langle \tilde{a}\tilde{a}^\dagger \rangle = \frac{1}{1 - e^{-2\pi\omega_0}} \quad [a, \tilde{a}] = 0 \quad [a, a^\dagger] = [\tilde{a}, \tilde{a}^\dagger] = 1 \quad \langle a^\dagger \tilde{a} \rangle = \langle a^\dagger a^\dagger \rangle = 0 \quad (2)$$

Something to note is that in some quantum field theories $\langle i_\omega \tilde{i}_{\omega'} \rangle = e^{-\pi\omega} 1 - e^{-2\pi\omega} \delta(\omega - \omega')$. However in this case that is not true. Here we have (TODO how?) $\langle \tilde{a}_{\omega_0} c_{\omega'_0} \rangle \approx 0$ where $\omega_0 \neq \omega'_0$.

In the special case where we have a spacetime with spherical symmetry

$$ds^2 = -dUdV + r_0^2 d\Omega_{d-1}^2 + \dots$$

In this we can derive a analogous form of the modes where

$$a = \frac{r_0^{d-1}}{\sqrt{\pi\omega_0}} \int \partial_U \phi(U, V=0, \Omega) (-U)^{-i\omega_0} T(-U) dU Y_l^*(\Omega) d\Omega \quad \tilde{a} = \dots$$

Where Y_l are our spherical harmonic functions. These satisfy all the same correlator and commutation relation as we found before.

Now moving to a different topic. We've been writing $\langle \dots \rangle$ for correlators but we need to describe what state we're calculating these correlators for. Say we are in a state $|\psi\rangle$. What we want to show is that $\tilde{a}|\psi\rangle \propto a^\dagger|\psi\rangle$. Thinking about this geometrically this means that \tilde{a} and a^\dagger are parallel to eachother. To prove this consider the decomposition of $\tilde{a}|\psi\rangle$ (TODO: is that actually a complete set?)

$$\tilde{a}|\psi\rangle = c_1 a|\psi\rangle + c_2 a^\dagger|\psi\rangle + |\chi\rangle$$

Where $|\chi\rangle$ is orthogonal to $a|\psi\rangle$ and $a^\dagger|\psi\rangle$. From here we can use the correlators we have in (2) to get $c_1 = 0$. Similarly we can use (1) to get

$$\frac{e^{-\pi\omega_0}}{1 - e^{-2\pi\omega_0}} = \langle \psi | a\tilde{a} | \psi \rangle = c_2 \langle \psi | aa^\dagger | \psi \rangle + \langle \psi | a | \chi \rangle = \frac{c_2}{1 - e^{-2\pi\omega_0}} \Rightarrow c_2 = e^{-\pi\omega_0}$$

Finally we can find $|\chi\rangle$ through the following. From (2) we have $[\tilde{a}, \tilde{a}^\dagger] = 1$. This means

$$\langle \tilde{a}\tilde{a}^\dagger \rangle - 1 = \langle \tilde{a}^\dagger \tilde{a} \rangle = \frac{e^{-2\pi\omega_0}}{1 - e^{-2\pi\omega_0}}$$

Now with this we have (after $|\dots|^2$ both sides)

$$\frac{e^{-2\pi\omega_0}}{1 - e^{-2\pi\omega_0}} = |c_2|^2 \langle \psi | aa^\dagger | \psi \rangle + \langle \chi | \chi \rangle + 0 \Rightarrow \langle \chi | \chi \rangle = 0 \Rightarrow |\chi\rangle = 0$$

Where the last term vanishes because we assume χ is orthogonal to $a^\dagger|\psi\rangle$ and $a|\psi\rangle$. After all this we get

$$\tilde{a}|\psi\rangle = e^{-\pi\omega_0} a^\dagger|\psi\rangle \quad (3)$$

Similarly we can also show that

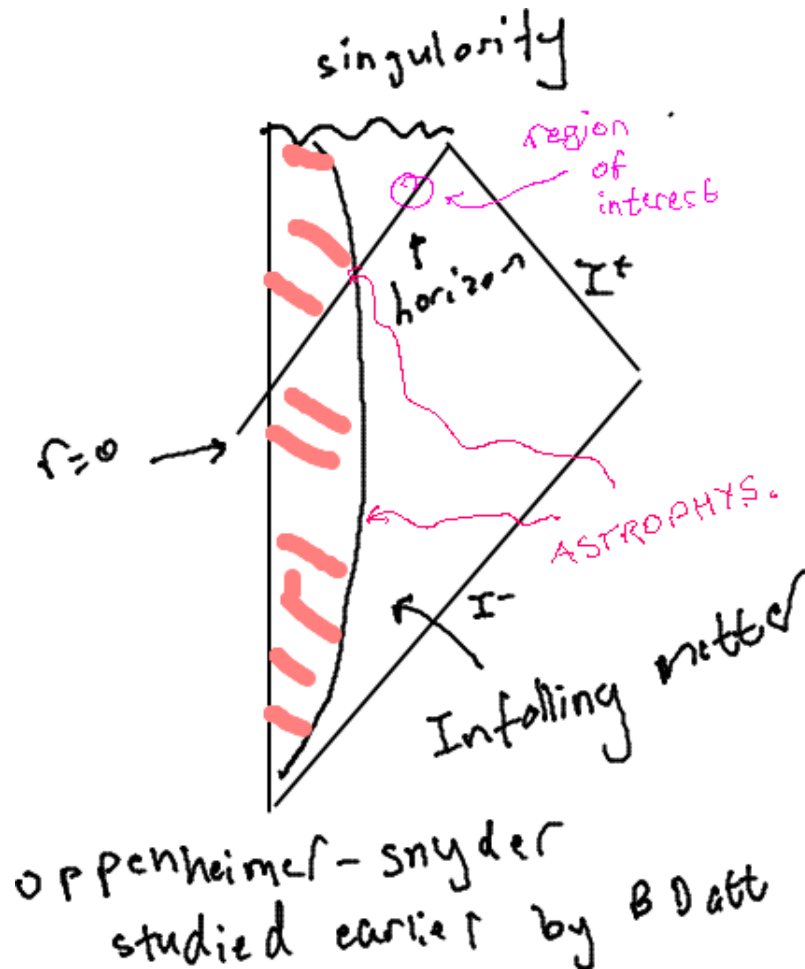
$$\tilde{a}^\dagger|\psi\rangle = e^{\pi\omega_0} a|\psi\rangle \quad (4)$$

Next lecture we'll apply these results to black holes

LECTURE 3: QUANTUM FIELDS IN A BLACK HOLE BACKGROUND

January 20, 2021

Lets start by review Black Holes in flat space.



In the late time limit the metric becomes very simple

$$ds^2 \rightarrow -f(r)dt^2 + \frac{dr^2}{f(r)} + r^2 d\Omega_{d-1}^2 \quad f(r) = 1 - \frac{\mu}{r^{d-2}}$$

Where μ is the mass parameter is related to the mass by

$$\mu = 8\pi^{(2-d)/2} \Gamma(d/2) G M_{\text{real}} / (d-1)$$

The horiiizon is when $f(r_h) = 0$ and thus $r_h = \mu^{d-2}$. Lets talk about what we mean by as $t \rightarrow \infty$. We mean that $t \gg r_h$ after collapse but $t \ll t_{\text{evap}}$. This is because the collapsing black hole is not valid for when the black hole is evaporating. Also note that the region of interest hast the property that there is a lot of time in that region. It's also useful to go to Tortoise coordinates in order to examine propagating fields

$$dr_* = \frac{dr}{f(r)} \quad \text{Near } r \rightarrow \infty, f(r) \rightarrow 1 \Rightarrow r_* \rightarrow \infty \quad r \rightarrow r_h, f(r) \rightarrow 2k(r-r_h) \Rightarrow r_* \rightarrow \frac{1}{2k} \log[(r-r_h)2k]$$

Where $k = f'(r_h)/2 =$ surface gravity. The underlined term is a choice of constant. So now we have

$$ds^2 = f(r)[-dt^2 + dr_*^2] + r(r_*)^2 d\Omega^2$$

Something else we should note is that the horizon is not as special as we think. Lets go to Kruskal coordinates

$$U = -\frac{1}{k}e^{k(r_*-t)} \Rightarrow dU = (dt - dr_*)e^{k(r_*-t)} \quad V = \frac{1}{k}e^{k(r_*+t)} \Rightarrow dV = (dr_* + dt)e^{k(r_*+t)}$$

$U < 0$ outside the horizon. This means we have $dUdV = (dr_*^2 - dt^2)e^{2kr_*}$. However near the horizon we found that the exponential becomes $2k(r - r_h)$. The metric in Kruskal coordinates becomes

$$ds^2 \rightarrow -dUdV + r^2 d\Omega_{d-1}^2 \text{ near } r \rightarrow r_h$$

Horizon is at $U = 0$ while V remains finite so $t \propto \log(V/U) \rightarrow \infty$. The coordinates are basically flat near the horizon. Behind the horizon U becomes positive. For $r < r_h$ we find that $f(r)$ changes negative so t is a spacelike coordinate and r_* is a time coordinate.

We're done reviewing classical black holes so now lets consider the propagation of fields. Consider the field that is minimally coupled

$$\left(\frac{1}{\sqrt{-g}} \partial_\mu g^{\mu\nu} \sqrt{-g} \partial_\nu - m^2 \right) \phi = 0$$

In tortoise coordinates $\sqrt{-g} = f(r)r^{d-1}$ (spherical contribution) and $g^{**} = -g^{tt} = 1/f(r)$. The wave equation becomes

$$\frac{1}{f(r)r^{d-1}} \partial_* r^{d-1} \partial_* \phi = \frac{1}{f(r)} \partial_t^2 \phi + \frac{1}{r^2} \square_\Omega \phi - m^2 \phi$$

We can solve the above by noting near the horizon $f(r) \rightarrow 0$ so the equation becomes

$$\frac{1}{f(r)} (\partial_*^2 \phi - \partial_t^2 \phi) = 0$$

This is independent of the angular part, mass, and additional interactions. We can then write

$$\phi \rightarrow \int d\omega e^{-i\omega t} [A_\omega(\Omega) e^{-i\omega r_*} + B_\omega(\Omega) e^{i\omega r_*}] + \text{hermitian conjugate}$$

This however is the most convenient thing we could do. First let $Y_\ell(\Omega)$ as a spherical harmonics where ℓ is a collective symbol for all the angular quantum numbers. We can choose spherical harmonics as our basis of solutions and have (where we choose f_{in} and f_{out} are solutions which we choose as our basis) (where we choose f_{in} and f_{out} are solutions which we choose as our basis)

$$(a) f_{\text{in}}(\omega, \ell, r_*) e^{-i\omega t} Y_\ell(\Omega) \text{ where as } r \rightarrow r_h f_{\text{in}} \rightarrow h_{\omega, \ell} e^{-i\omega r_*}$$

$$(b) f_{\text{out}}(\omega, \ell, r_*) e^{-i\omega t} Y_\ell(\Omega) \text{ where as } r \rightarrow r_h f_{\text{in}} \rightarrow e^{i\omega r_*} + g_{\omega, \ell} e^{-i\omega r_*}.$$

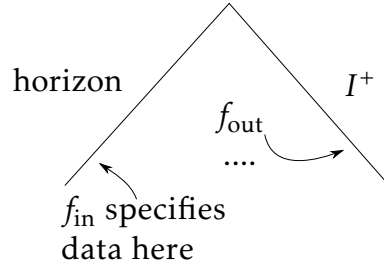


Figure 1: Description of how information is specified in Penrose diagram

f_{in} has the property that as t increase r_* must decrease. On the other hand f_{out} has the property that as t increase r_* must increase. Both of these happen to keep phase constant. These solutions above are chosen so that they're orthogonal in the Klein-Gordon norm. This isn't enough to fix those however. We also choose $g_{\omega,\ell}$ so that as $r_* \rightarrow \infty$ we have $f_{\text{out}} \rightarrow b_{\omega,\ell} r^{(1-d)/2} e^{i\omega r_*}$.

f_{in} as $r \rightarrow r_h$ looks like $e^{-i\omega(r_*+t)}$. Also remember that $r_* \rightarrow -\infty$ at horizon but $t \rightarrow \infty$ so $(r_* + t)$ remains finite. The point of this whole song and dance is for

$$\phi = \sum_{\ell} \int d\omega \left[A_{\omega,\ell} f^{\text{out}}(\omega, \ell, r_*) + B_{\omega,\ell} f^{\text{in}}(\omega, \ell, r_*) \right] e^{-i\omega t} Y_{\ell}(\Omega) + \text{hermitian conjugate}$$

A, B are not normalized but are annihilation operators and hermitian conjugate are the creation operator. What happens when we cross the horizon. Behind the horizon we can write a similar expansion

$$\phi = \sum_{\ell} \int d\omega \left[\tilde{A}_{\omega,\ell} e^{i\omega t} Y_{\ell}^*(\Omega) + C_{\omega,\ell} e^{-i\omega t} Y_{\ell}(\Omega) \right] \tilde{f}_{\omega,\ell}^{\text{out}}(r_*) + \text{hermitian conjugate}$$

Where as $r \rightarrow r_h$ from inside we have $\tilde{f}_{\omega,\ell}^{\text{out}}(r_*) \rightarrow e^{-i\omega r_*}$. Note that we do not go deep inside the horizon then our expansion start breaking down. By continuity the $C_{\omega,\ell}$ modes have an expansion

$$C_{\omega,\ell} = A_{\omega,\ell} h_{\omega,\ell} + B_{\omega,\ell} g_{\omega,\ell}$$

Also we know that $\tilde{A}_{\omega,\ell}$ are new modes.

LECTURE 4: HAWKING RADIATION

January 21, 2021

Lets first recap. We took a field in KG equation in BH ST and said this field has some form that look like

$$\phi = \sum_{\ell} \int d\omega \left[A_{\omega,\ell} f^{\omega t}(\omega, \ell, r_*) Y_{\ell}(\Omega) + B_{\omega,\ell} f^{\text{in}}(\omega, \ell, r_*) Y_{\ell}(\Omega) \right] e^{-i\omega t} + \text{hermitian conjugate}$$

We could in principle solve for $f^{\text{in,out}}$ but we could just look at the near horizon behavior

$$f^{\text{out}} \rightarrow e^{i\omega r_*} + g_{\omega,\ell} e^{-i\omega r_*} \quad f^{\text{in}} \rightarrow h_{\omega,\ell} e^{-i\omega r_*}$$

We can also look at the field inside the black hole and find

$$\phi = \sum d\omega \dots$$

And again looking at the near horizon behavior

$$\tilde{f}_{\text{out}}(\omega, \ell, r_*) \rightarrow e^{-i\omega r_*}$$

And asserting continuity we get

$$C_{\omega, \ell} = A_{\omega, \ell} h_{\omega, \ell} + B_{\omega, \ell} g_{\omega, \ell}$$

Near the horizon the metric looks like

$$ds^2 \rightarrow -dUdV + r^2 d\Omega_{d-1}^2$$

This should remind us of near horizon modes that we saw in the first two lectures. In our older notes we have

$$a_{nh} = \frac{r_0^{d-1}}{\sqrt{\pi\omega_0}} \int \partial_U \phi(U, V=0, \Omega) (-U)^{-i\omega_0} T(-U) Y_l^*(\Omega) dU d\Omega$$

$$\tilde{a}_{nh} = \frac{r_0^{d-1}}{\sqrt{\pi\omega_0}} \int \partial_U \phi(U, V=-\epsilon, \Omega) U^{i\omega_0} T(U) Y_\ell(\Omega) d\Omega$$

Since we have the field expansion we could in principle plug in ϕ and evaluate the integral very carefully. But in fact we don't have to do the integral. But it turns out we don't need to do that because near the horizon what does the field look like?

$$\phi \approx \sum_{\omega, \ell} e^{-i\omega t} A_{\omega, \ell} (e^{i\omega r_*} + g_{\omega, \ell} e^{-i\omega r_*}) + (\text{terms with } B_{\omega, \ell}) \approx \sum_{\omega, \ell} A_{\omega, \ell} (U^{i\omega/k} + g_{\omega, \ell} V^{-i\omega/k}) Y_\ell(\Omega)$$

Where the above is up to constants. This is because $U \propto e^{k(r_*-t)}$. The $B_{\omega, \ell}$ multiply a different set of terms $e^{-i\omega t} e^{-i\omega r_*} Y_\ell(\Omega)$ which is approximately $B_{\omega, \ell} V^{-i\omega/k}$.

Now looking at a_{nh} and \tilde{a}_{nh} which are basically fourier transforms in $\log U$ we see that the integral picks up the modes with $\omega = \omega_0$ and $-\omega_0$ respectively. So we can say that

$$a_{\omega, \ell} \approx \int A_{\omega', \ell} q(\omega', \omega) d\omega' +$$

In fact we will find that

$$a_{nh} = a_{\omega_0 k, \ell}$$

Since $q(\omega', \omega)$ picks up modes from near $A_{\omega_0 k}$. We only need that

(a) $q(\omega', \omega)$ is sharply peaked around $\omega' = \omega$.

(b) after smearing $[a_{\omega, \ell}, a_{\omega, \ell}^\dagger] = 1$.

The summary is that the near horizon modes become slightly smeared global modes centered around frequency $\omega_0 k$. We can now immediatley compute the two point function of these modes. Using $\omega_0 = \omega/k$ lets define $\beta = 2\pi/k$.

$$\langle a_{\omega,\ell} a_{\omega,\ell}^\dagger \rangle = \frac{1}{1 - e^{-2\pi\omega_0}} = \frac{1}{1 - e^{-\beta\omega}}$$

Lets look at bit more at the \tilde{a} modes

$$\tilde{a}_{nh} \approx \tilde{a}_{\omega_0 k, \ell} = \text{smeared version of } \tilde{A}_{\omega, \ell}$$

We're actually going to put this aside for now if we're only talking about the exterior of the BH. To get thermal occupancy for $a_{\omega, \ell}$ modes we assumed

- (a) Horizion was smooth. this is what fixed the occupancy of the near horizon modes
- (b) There was a late time emergent $t \rightarrow t + \delta t$ isometry. this is what allows us to relate the near horizon (nh) modes to global modes.

The short distance properties correspond to the smoothness of horizon and the long distance property corresponds to the late time emergent isometry. Something to note is that $a_{\omega, \ell}$ is proportional to $A_{\omega, \ell}$ which is outgoing to I^+ . The stress tensor far away also depends on the properties of f_{out} . Also our derivation does not constrain $B_{\omega, \ell}$ since it doesn't appear in the near horiizon mode. So we can choose some things for $B_{\omega, \ell}$

- (a) We could put $B_{\omega, \ell}$ in a vacuum \rightarrow Unruh state
- (b) populate $B_{\omega, \ell}$ thermally \rightarrow Kruskal state
- (c) ...

The advantage of this derivation is that it is clear how we can correct this theory by finding the higher order terms. This is an advantage to the rindler anlogy or the ray tracing arguemnt where finding higher order terms is less well defined.

We can also consider AdS Black Holes. In asymptotically global AdS

$$ds^2 \xrightarrow{r \rightarrow \infty} -(1 + r^2)dt^2 + \frac{dr^2}{1 + r^2} + r^2 d\Omega_{d-1}^2$$

The AdS radius is 1. So now we want to consider black holes that form from the collapse of matter.

$$ds^2 \xrightarrow{t \gg 1} -f(r)dt^2 + \frac{dr^2}{f(r)^2} + r^2 d\Omega_{d-1}^2$$

Which is identitcal with what we had above except $f(r) = 1 + r^2 - \mu/r^{d-1}$ and μ has the same relationship with M . The horizon once again is at $f(r_h) = 0$ meaning that we are interested in a late infalling observer "take enough" that effect of infalling matter have died out.

Behind the horizon U becomes positive for $r < r_h$ $f(r)$ changes sign so for $r < r_h$ t is a pspace-like coordinate r_* is a time coordinate

$$T = V + U \quad x = V - U$$

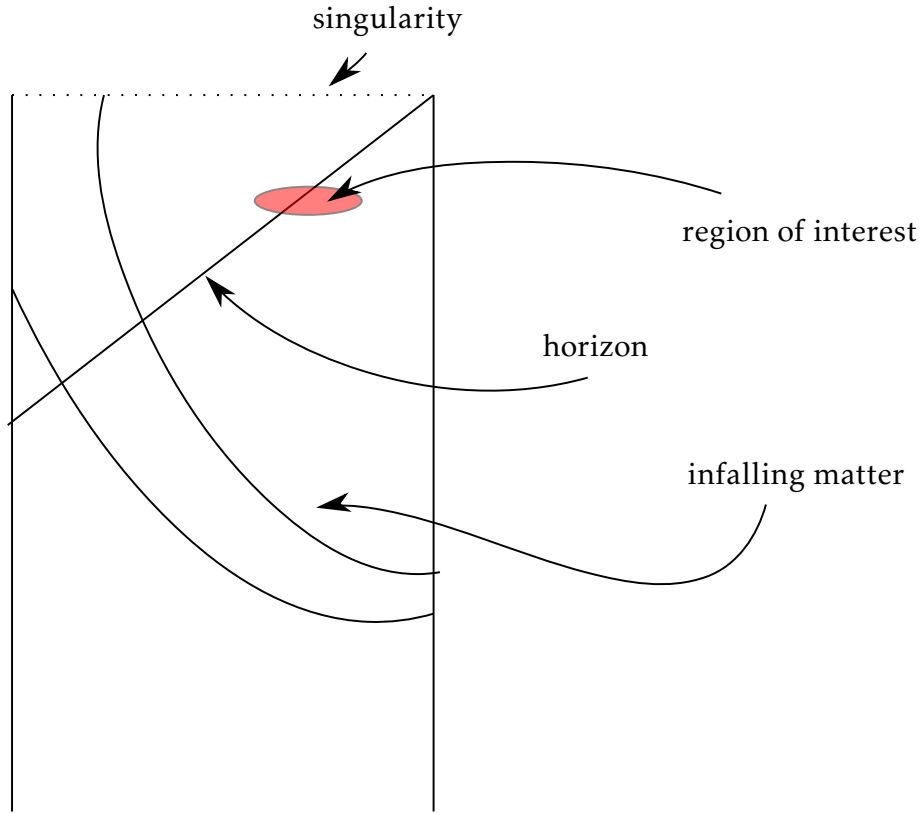


Figure 2: Penrose diagram for AdS black hole

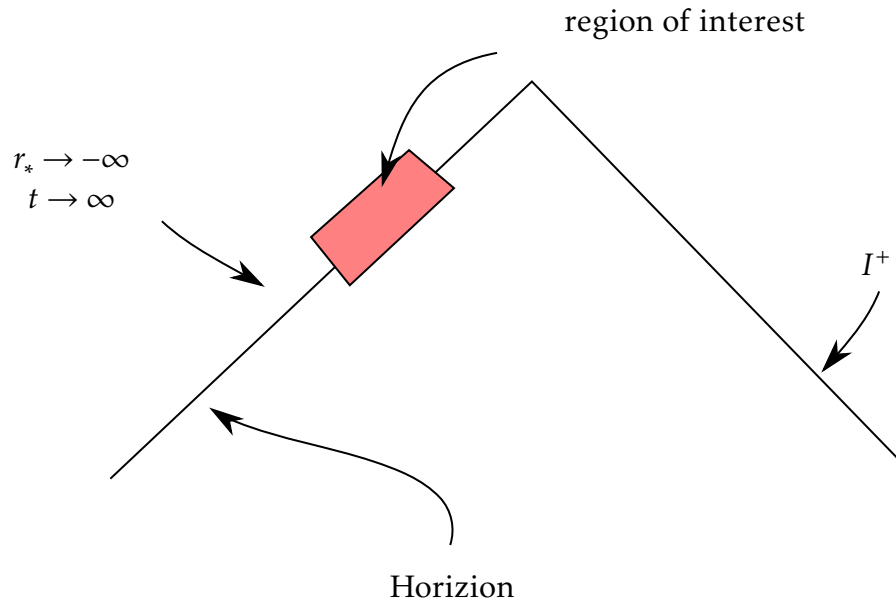


Figure 3: Zooming in on penrose diagram for late time ignore infalling matter

We are considering fields which are normalised

$$\phi \rightarrow 0 \quad \text{as} \quad r \rightarrow \infty$$

System undisturbed by external sources evolves autonomously. For fields of mass m

$$\phi \rightarrow \frac{1}{r^D}$$

Where $D = d/2 + \sqrt{d^2/4 + m^2}$ is also dimension of the dual operator to ϕ . Like before we'll consider tortoise coordinates

$$dr_* = \frac{dr}{f(r)}$$

Near the horizon once again $r_* \rightarrow -\infty$ and near infinity $r_* \rightarrow \text{const.}$ We can once again write down a expansion

$$\phi = \sum_{\ell} \int d\omega A_{\omega,\ell} f^{\text{st}}(\omega, \ell, r_*) e^{-i\omega t} Y_{\ell}(\Omega) + \text{hermitian conjugate}$$

Where f^{st} is a standing wave solution. We will also demand near $r_* \rightarrow -\infty$

$$f_{\text{st}} \rightarrow e^{i\omega r_*} + \underline{e^{-i\delta_{\omega,\ell}}} e^{-i\omega r_*}$$

Where the underlined term is a phase. We need to satisfy

$$r^{d-1}[\phi, \dot{\phi}] = i\delta(r_* - r'_*)\hat{\delta}(\Omega, \Omega')$$

Near the horizon

$$[\phi, \dot{\phi}] \approx \int d\omega [A_{\omega,\ell}, A_{\omega',\ell}^{\dagger}] (e^{i\omega r_* - \omega' r'_*} + g_{\omega,\ell} g_{\omega',\ell}^* e^{-i\omega r_* + \omega' r'_*}) + (\text{linear in } g_{\omega,\ell}) e^{i\omega r_* + \omega' r'_*}$$

(TODO what?). He says if you didn't follow you can work it out yourself. In the same way behind the horizon we can write down a expansion again

$$\phi = \int d\omega [A_{\omega,\ell} e^{-i\delta_{\omega,\ell}} e^{-\omega t} Y_{\ell}(\Omega) + \tilde{A}_{\omega,\ell} e^{i\omega t} Y_{\ell}^*(\Omega)] \tilde{f}_{\text{st}}(\omega, \ell, r_*) + \text{hermitian conjugate}$$

Where $\tilde{f}_{\text{st}}(\omega, \ell, r_*) \xrightarrow{r \rightarrow r_h^-} e^{-i\omega r_*}$. As in flat space we introduce near horizon modes and once again

$$a_{nh} = a_{\omega_0 k, \ell} \quad \tilde{a}_{nh} = \tilde{a}_{\omega_0 k, \ell} \quad k = f'(r_h)/2 \quad a_{\omega, \ell} = \int A_{\omega, \ell} a_{\ell}(\omega, \omega') d\omega' \quad \tilde{a}_{\omega, \ell} = \int \tilde{A}_{\omega, \ell} \tilde{a}_{\ell}(\omega, \omega') d\omega'$$

And this leads us

$$\langle a_{\omega, \ell} a_{\omega, \ell}^{\dagger} \rangle = \frac{1}{1 - e^{-\beta \omega}}$$

This is the same as before but here we don't have any flux at infinity. Even though $A_{\omega, \ell}$ smeared is thermally occupied there is no flux at infinity. We obtain a black holes with a thermal atmosphere around it.

$$f^{\text{st}} \xrightarrow{r \rightarrow \infty} \frac{\sqrt{G_{\omega, \ell}}}{r^D}$$

And the dual operator $O_{\omega, \ell} = \sqrt{G_{\omega, \ell}} A_{\omega, \ell}$.

LECTURE 5: HAWKING'S ORIGINAL PARADOX

January 27, 2021

Today we're going to formulate the paradox as Hawking stated it. Last time we derived a formula that gives the Hawking temperature

$$T = \frac{k}{2\pi}$$

Before this was derived people knew that

$$dM = \frac{kdA}{8\pi} + \Omega dJ + \phi dQ \quad dA \geq 0$$

Where A is the area, Ω is the angular velocity of the horizon, J is angular momentum, ϕ is potential of the horizon and Q is charge. This comes from analyzing classical processes around the particle. E.g. you throw some particle of charge and mass M into a Black Hole and then see what happens. We also know that the area of a black hole is always increasing. This in fact looks a lot like thermodynamics

$$dU = TdS + \text{work terms} \quad dS \geq 0$$

Feynman likes to say that the same equations have the same solutions. For example LC circuits and springs. They're different physical systems but they can be solved in the same way. The finding of the black hole temperature elevated the connection between thermodynamics and black holes from a formal one to a physical one. The entropy of the black hole is

$$S = \frac{A}{4}$$

Something we should note is that black holes have a lot of entropy compared to other objects in the universe.

Lets look at Hawking original paper. In it he argued that if you start with some initial state, the final state is a mixed state because you end up losing some information. The first point that Hawking made is that Hawking had argued in a previous paper that black holes steadily create and emit particles with a thermal spectrum. This radiation should take away energy so the black hole must lose mass and eventually evaporate. The details can be seen here. We worked out

$$\frac{dM}{dt} = -cAT^{d+1}$$

To work out the constant c you need to work out the greybody factors. This almost follows from dimensional analysis. In flat space we found that

$$M \propto r_h^{d-2} \quad T \propto \frac{1}{r_h} \quad A \propto r_h^{d-1}$$

Putting these proportionalities we get

$$\frac{dr_h}{dt} \propto -\frac{1}{r_h^{d-1}}$$

The interesting part of this is that in a time $\propto r_h^d \propto \frac{A}{T}$ we have that $r_h \rightarrow 0$. What this tells us is if there's a black hole in a universe where nothing is trying to fight against hawking radiation the black hole will evaporate in a time propotional to the power of the inital radius.

Hawking's next point is that if you take a black hole you need data on the horizion and I^+ to determine I^- . This is different from minkowski where you only need information of I^+ to know the inital state of I^- . What this is saying is that you lose information. From here hawking notes that this is also true quantum mechanically. He does this by computing the occupancy of something. In the first we lectures we had fields as

$$\phi = \int [A_{\omega,\ell} f^{\text{out}}(\omega, \ell, r_*) + B_{\omega,\ell} f^{\text{in}}] Y_\ell(\omega) e^{-i\omega t} + \text{h.c.}$$

We then define $a_{\omega,\ell}$ which are smeared versions of $A_{\omega,\ell}$ and we got from these

$$\langle a_{\omega,\ell} a_{\omega,\ell}^\dagger \rangle = \frac{1}{1 - e^{\beta\omega}}$$

We then found that there was some flux at infinity. Hawking's point is that this flux you compute does not depend on the state of the $B_{\omega,\ell}$ modes. Not only does it not depend on the B modes but you can compute the state of the $A_{\omega,\ell}$ modes. First we have

$$N_\omega = a_\omega^\dagger a_\omega \quad \langle N_\omega \rangle = \frac{1}{e^{\beta\omega} - 1} \quad \langle N_\omega^2 \rangle = \frac{1 + e^{\beta\omega}}{(e^{\beta\omega} - 1)^2}$$

The derive this you can of the following. First we know that

$$(\tilde{a}_{\omega,\ell} - a_{\omega,\ell}^\dagger e^{-\beta\frac{\omega}{2}}) |\psi\rangle = 0$$

We find that the kind of state that satisfies this equation is

$$|\psi\rangle = e^{-\beta\omega/2} a_{\omega,\ell}^\dagger \tilde{a}_{\omega,\ell}^\dagger |N_{\omega,\ell} = 0, \tilde{N}_{\omega,\ell} = 0\rangle$$

Then we can find correlators of any polynomial can be worked by writing out a density matrix

$$\langle N_{\omega,\ell}^q \rangle = \text{tr}(\rho_{\omega,\ell} N_{\omega,\ell}^q) \quad \rho_{\omega,\ell} = \frac{1}{1 - e^{-\beta\omega}} e^{-\beta\omega N_{\omega,\ell}}$$

(something about therma distribution here.) The important part about of all of this is the fact that this is not a pure state. And so we find is that whatever state we start with we have on I^- we end up with a thermal state on I^+ (characterized by the thermal distribtuin.) This is in paradox with the unitarity of quantum mechanics.

Quantum mechniacal evolution takes a state and evolves ith with a unitary operator. So lets say we have some density matrix. After time evolution we have

$$|\psi\rangle\langle\psi| \rightarrow U |\psi\rangle\langle\psi| U^\dagger$$

So the fact that you can start in a pure state and go to a mixed state is a sign of the fact that we've lost information along the way. This is because we can think of a mixed state as starting with a pure state and throwing away some information. This is our first paradox! Hawking

then gives some intuition for why this might happen. There is some hidden surface behind the horizon so we should adopt a principle of ignorance. The point of this is say we have some state on I^- as a vector in some hilbert space H_1

$$\psi_A \in H_1$$

And then we have $\psi_B^H \in H_2$ which is a state at the horizon or interior hidden surface. And finally we have $\psi^+ \in H_3$ which is a state on I^+ . Now we assume locality. So we have some S matrix

$$S_{ABC} \psi_A^- \psi_B^H \psi_C^+$$

Is the amplitude to go from $\psi^- \rightarrow \psi^H \otimes \psi^+$. So if you sum over all possible states of the hidden surface

$$\sum_{\psi^H} S_{ABC} \psi_A^- \psi_B^H \psi_C^+ S_{\bar{A}\bar{B}\bar{C}}^* \psi_{\bar{A}}^{-*} \psi_{\bar{B}}^{H*} \psi_{\bar{C}}^{+*} = \sum_B \underbrace{S_{ABC} S_{\bar{A}\bar{B}\bar{C}}^*}_{\rho_{c\bar{c}}} \psi_A^- \psi_{\bar{A}}^{-*} \psi_C^+ \psi_{\bar{C}}^{+*}$$

So we get a superscattering operator (some generalization of the unitary evolution operator) that takes pure states to mixed states. There is a nice diagrammatic way to summarize the argument which is in Figure 4. Information requires energy to be stored. Some system has a lot

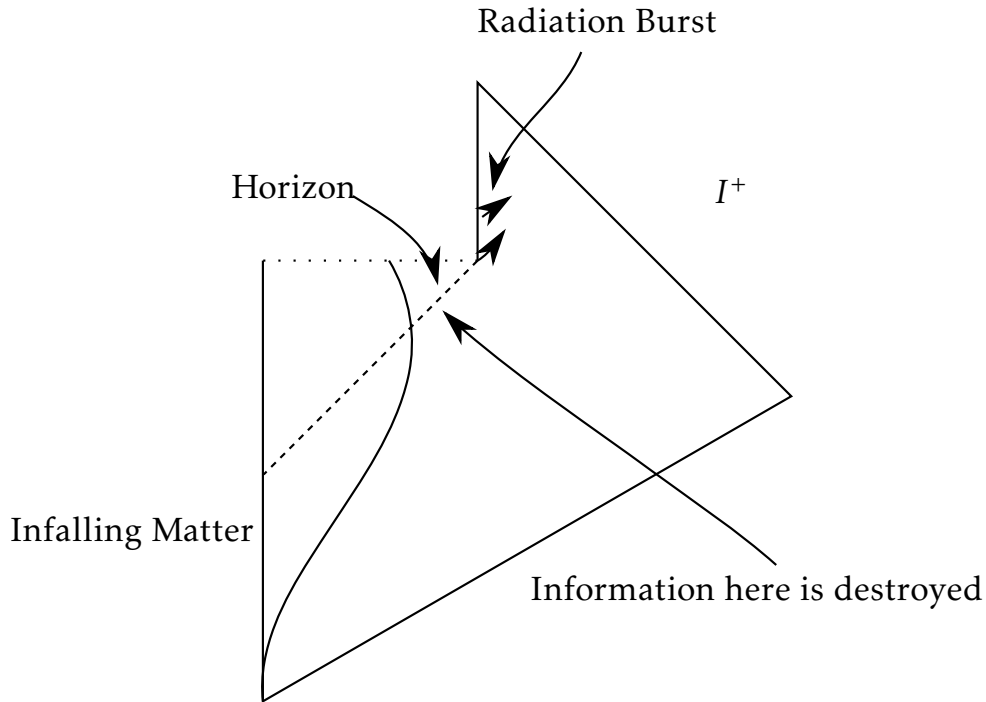


Figure 4: Diagrammatic summary of Hawking's argument of information paradox

of microstates and the microstate gives the information. This is how hard-disks store information. This extends to quantum information. And so the fact that you have a large number of microstates means you need a lot of energy. The final radiation burst when the black hole evaporates doesn't have enough energy to encode the information so that radiation burst shouldn't have the lost information. So remnants are not viable candidate to resolve information paradox.

Elaboration on energy needing energy: you can store information in microstates. The number of microstates is e^S . The fact that information requires energy is the fact that S at some energy that is bounded.

So there are two elements of Hawking's argument that are crucial

- (a) Computation of the thermal density matrix. This is the key computation
- (b) Intuition from the casual structure of space time.

What we'll ask tomorrow is it really true that computation shows mixed state? And also we'll ask is that is the intuition about the casual structure valid at the accuracy required.