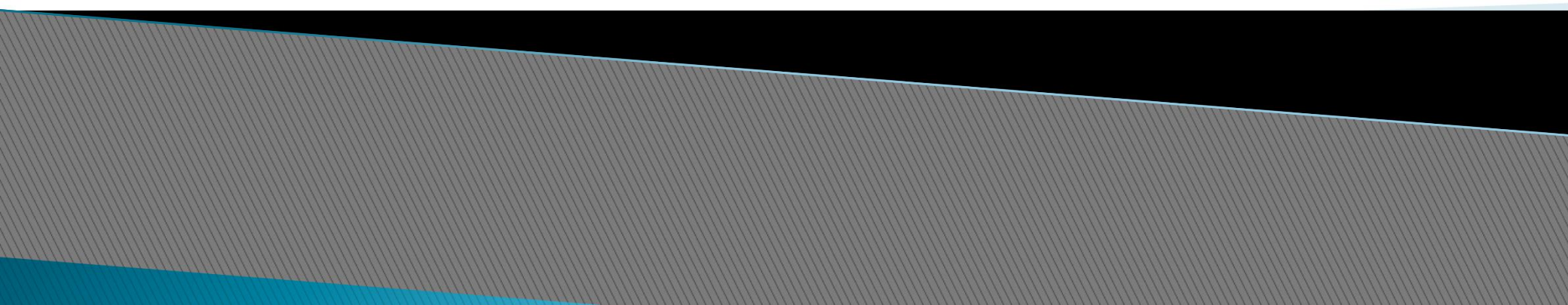


CSC3100: Graph

Tianshu Yu

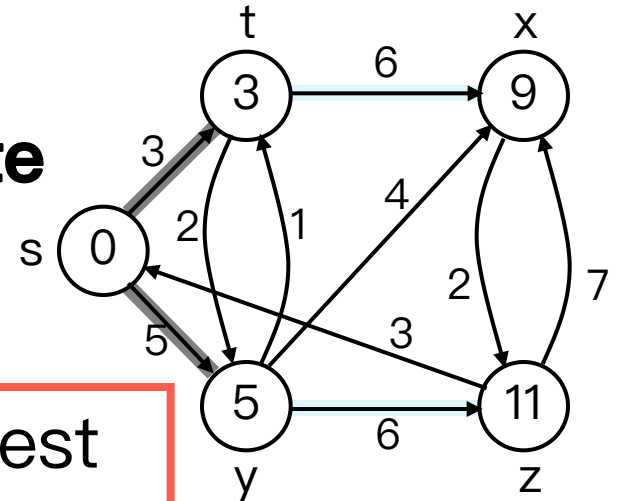
2021–2022

A decorative graphic at the bottom of the slide consisting of a black horizontal band above a grey area with diagonal hatching, which is further accented by a blue wavy line at the very bottom.

Shortest-Paths Notation

For each vertex $v \in V$:

- ▶ $\delta(s, v)$: **shortest-path weight**
- ▶ $d[v]$: shortest-path weight **estimate**
 - Initially, $d[v] = \infty$
 - $d[v] \rightarrow \delta(s, v)$ as algorithm progresses
- ▶ $\pi[v]$ = **predecessor** of v on a shortest path from s
 - If no predecessor, $\pi[v] = \text{NIL}$
 - π induces a tree—**shortest-path tree**



Relaxation Step

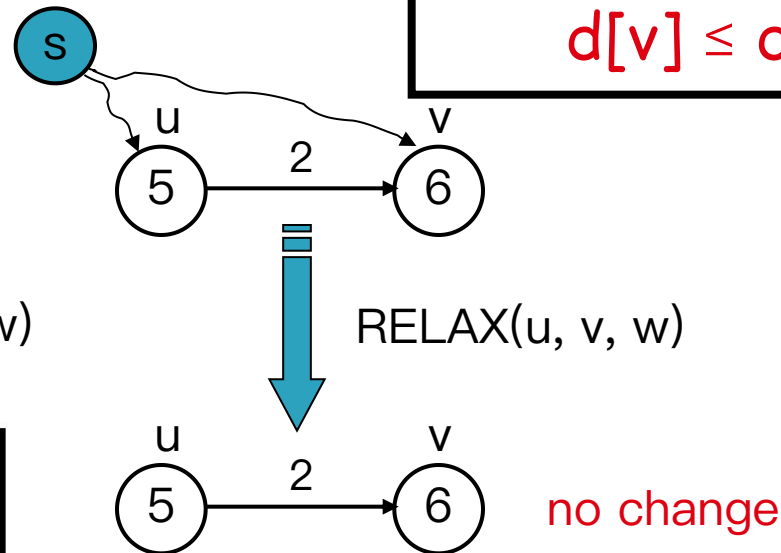
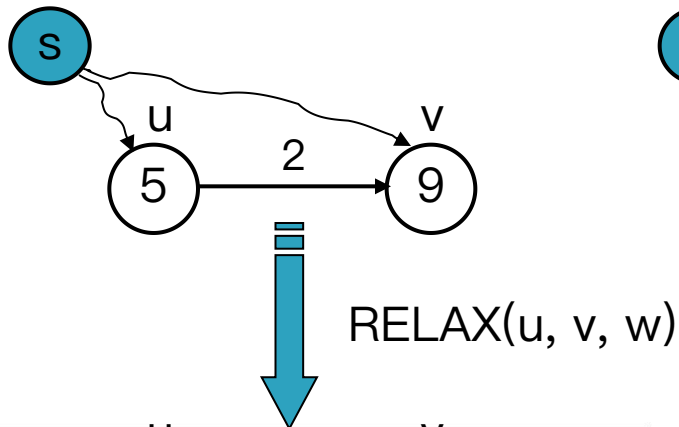
- ▶ **Relaxing** an edge (u, v) = testing whether we can improve the shortest path to v found so far by going through u

If $d[v] > d[u] + w(u, v)$

we can improve the shortest path to v

$\Rightarrow d[v] = d[u] + w(u, v)$

$\Rightarrow \pi[v] \leftarrow u$



After relaxation:
 $d[v] \leq d[u] + w(u, v)$

Bellman–Ford Algorithm

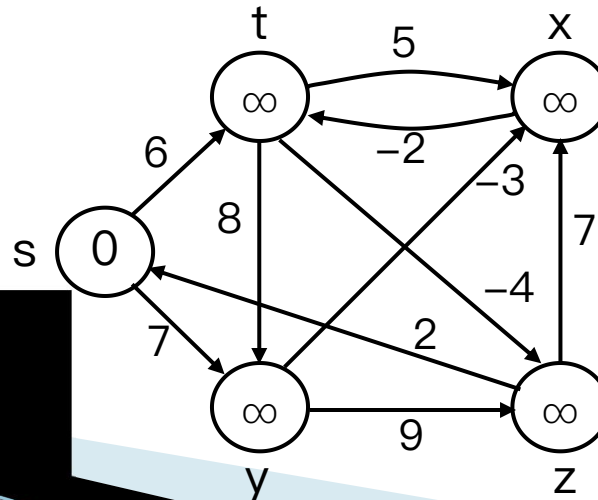
- ▶ Single–source shortest path problem
 - Computes $\delta(s, v)$ and $\pi[v]$ for all $v \in V$
- ▶ Allows negative edge weights – can detect negative cycles.
 - Returns TRUE if no negative–weight cycles are reachable from the source s
 - Returns FALSE otherwise \Rightarrow no solution exists

Bellman–Ford Algorithm (cont'd)

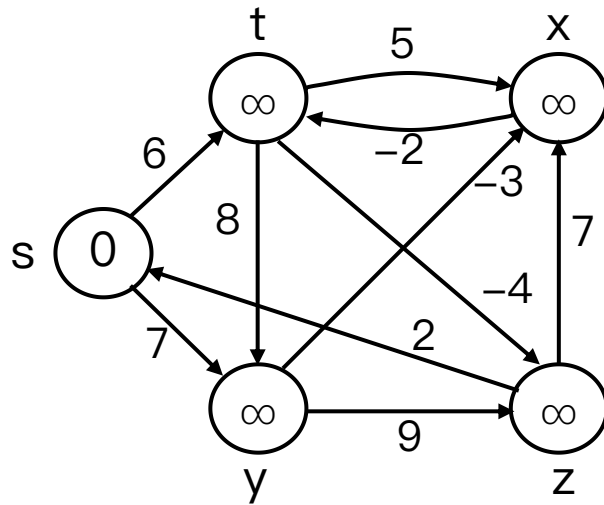
► Idea:

- Each edge is relaxed $|V-1|$ times by making $|V-1|$ passes over the whole edge set.
- Any path will contain at most $|V-1|$ edges

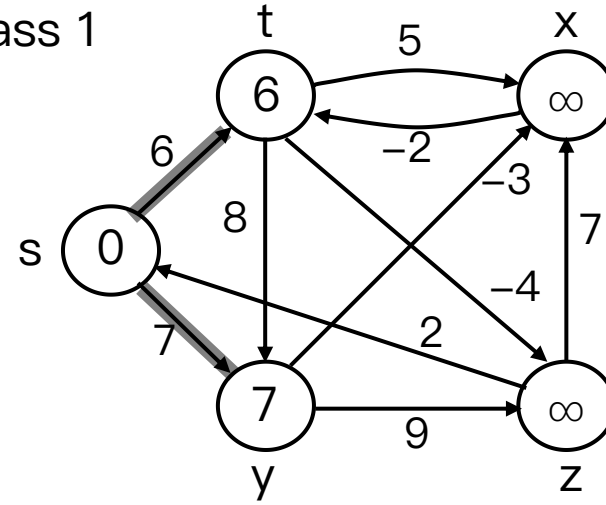
$(t, x), (t, y), (t, z), (x, t), (y, x), (y, z), (z, x), (z, s), (s, t), (s, y)$



BELLMAN-FORD(V, E, w, s)



Pass 1

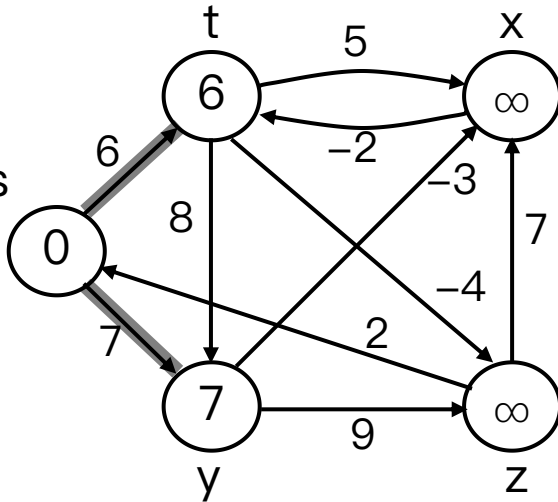


$E: (t, x), (t, y), (t, z), (x, t), (y, x), (y, z), (z, x), (z, s), (s, t), (s, y)$

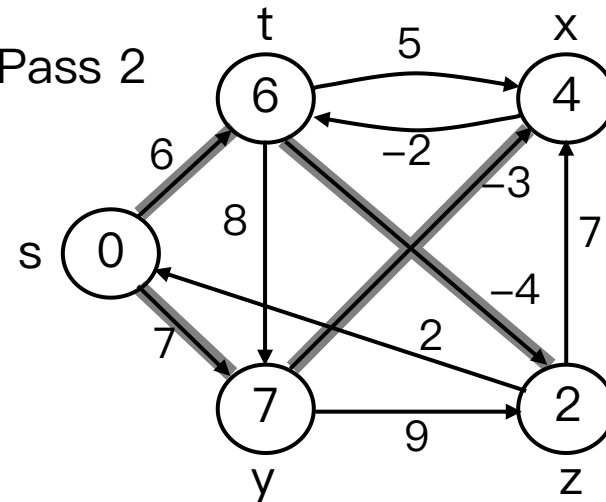
Example

$(t, x), (t, y), (t, z), (x, t), (y, x), (y, z), (z, x), (z, s), (s, t), (s, y)$

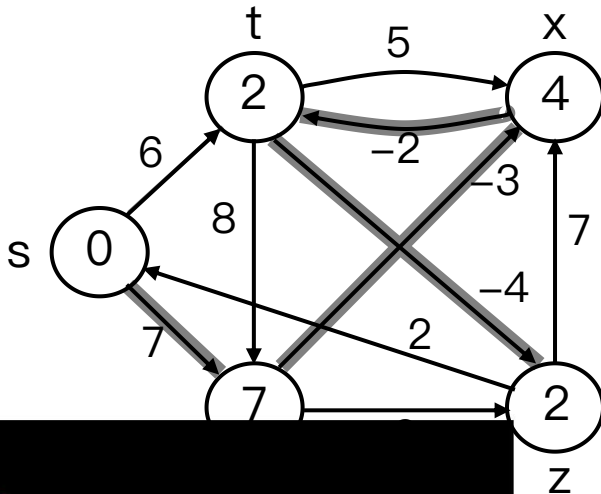
Pass 1
(from
previous
slide) s



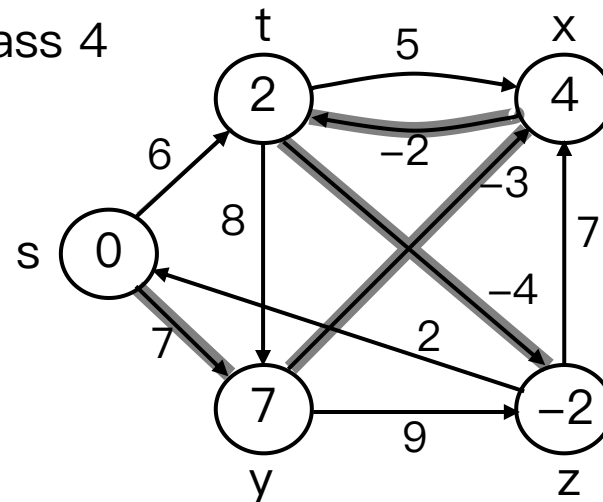
Pass 2



Pass 3

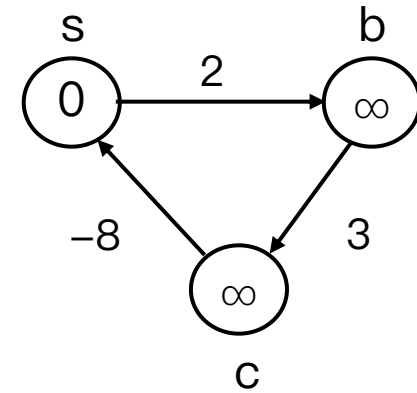


Pass 4

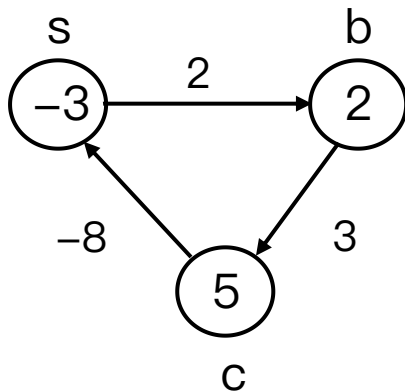


Detecting Negative Cycles (perform extra test after $V-1$ iterations)

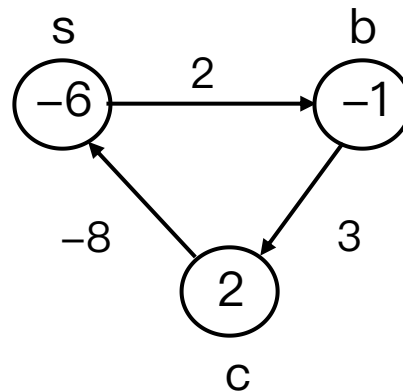
- ▶ **for** each edge $(u, v) \in E$
- ▶ **do if** $d[v] > d[u] + w(u, v)$
- ▶ **then return** FALSE
- ▶ **return** TRUE



1st pass



2nd pass



Look at edge (s, b) :

$$d[b] = -1$$

$$d[s] + w(s, b) = -4$$

$$\Rightarrow d[b] > d[s] + w(s, b)$$

BELLMAN-FORD(V, E, w, s)

1. INITIALIZE-SINGLE-SOURCE(V, s) $\leftarrow \Theta(V)$
 2. **for** $i \leftarrow 1$ to $|V| - 1$ $\leftarrow O(V)$
 3. **do for** each edge $(u, v) \in E$ $\leftarrow O(E)$
 4. **do** RELAX(u, v, w)
 5. **for** each edge $(u, v) \in E$ $\leftarrow O(E)$
 6. **do if** $d[v] > d[u] + w(u, v)$
 7. **then return** FALSE
 8. **return** TRUE
- $\left. \begin{array}{l} \leftarrow O(V) \\ \leftarrow O(E) \end{array} \right\} O(VE)$

Running time: $O(V+VE+E)=O(VE)$

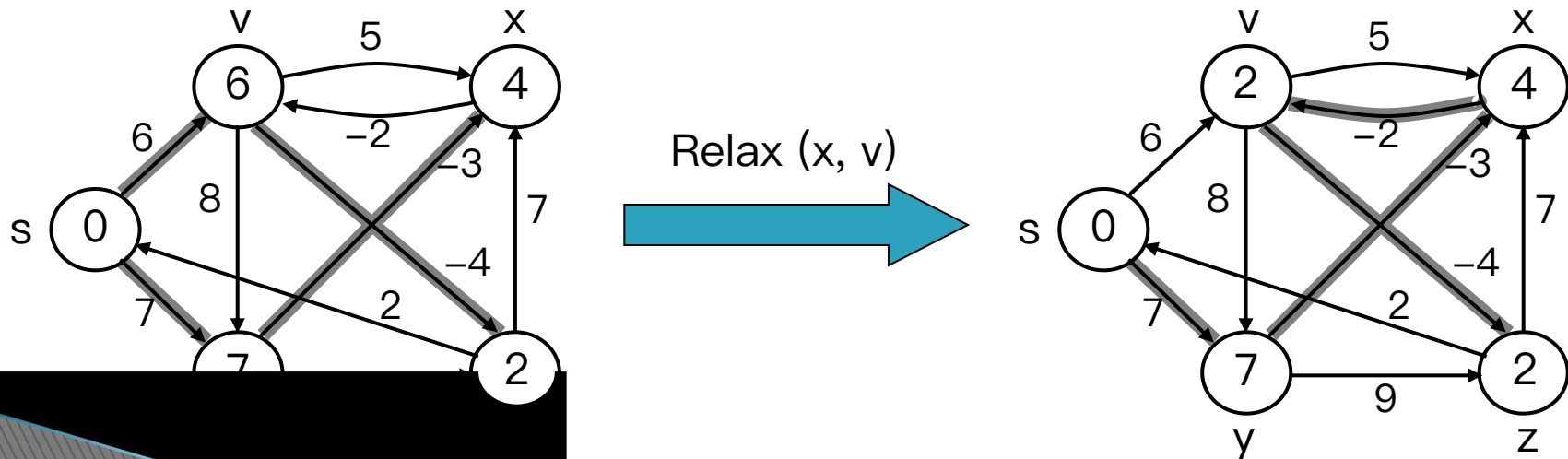
Key points of BELLMAN–FORD

- ▶ After $|V|-1$ iterations, d values will not be updated or can't be lower any more. Why?
- ▶ After $|V|-1$ iterations, d values store the measure of the shortest path. Why?

Shortest Path Properties

► Upper-bound property

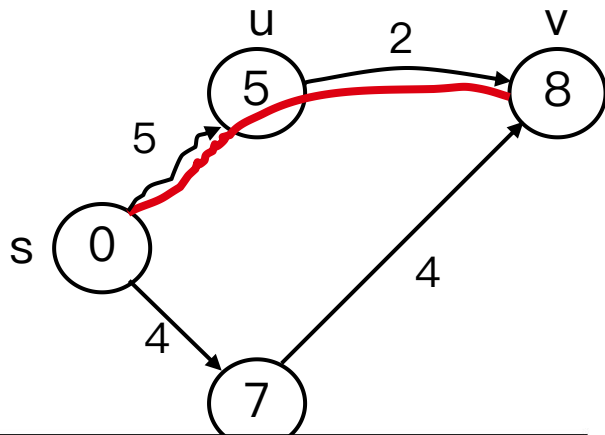
- We always have $d[v] \geq \delta(s, v)$ for all v .
- The estimate never goes up — relaxation only lowers the estimate



Shortest Path Properties

► Convergence property

If $s \rightsquigarrow u \rightarrow v$ is a shortest path, and if $d[u] = \delta(s, u)$ at any time prior to relaxing edge (u, v) , then $d[v] = \delta(s, v)$ at all times after relaxing (u, v) .

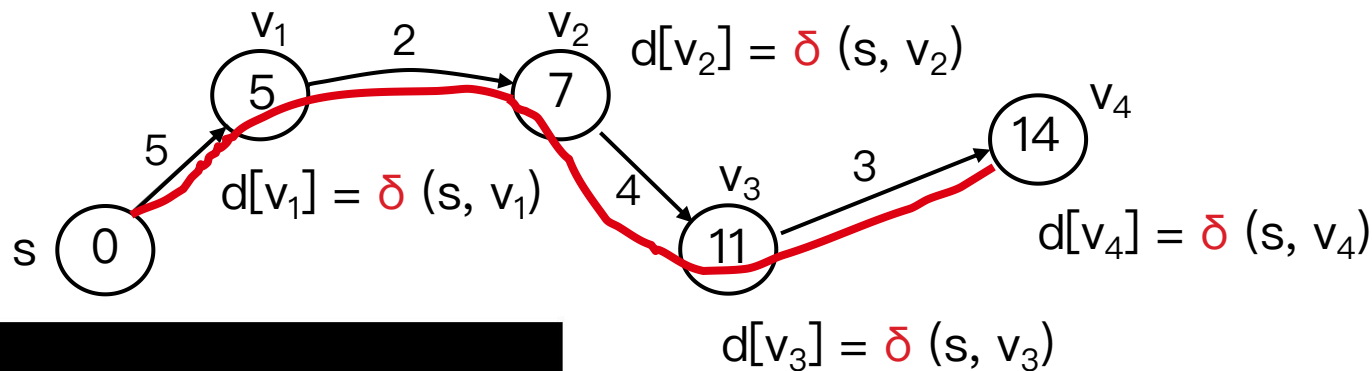


- If $d[v] > \delta(s, v) \Rightarrow$ after relaxation:
 $d[v] = d[u] + w(u, v)$
 $d[v] = 5 + 2 = 7$
- Otherwise, the value remains unchanged, because it must have been the shortest path value

Shortest Path Properties

► Path relaxation property

Let $p = \langle v_0, v_1, \dots, v_k \rangle$ be a shortest path from $s = v_0$ to v_k . If we relax, in order, (v_0, v_1) , (v_1, v_2) , \dots , (v_{k-1}, v_k) , even intermixed with other relaxations, then $d[v_k] = \delta(s, v_k)$.



Correctness of Belman–Ford Algorithm

- ▶ **Theorem:** $d[v] = \delta(s, v)$, for every v , after $|V|-1$ passes.

Case 1: G does not contain negative cycles which are reachable from s

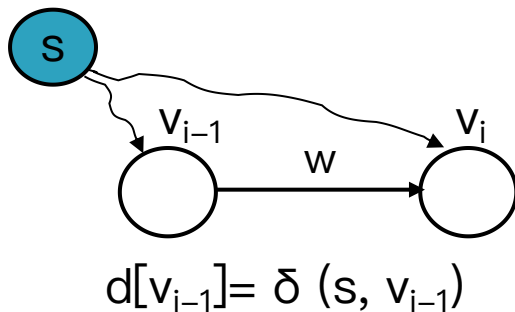
- Assume that the shortest path from s to v is $p = \langle v_0, v_1, \dots, v_k \rangle$, where $s = v_0$ and $v = v_k$, $k \leq |V|-1$
- Use mathematical induction on the number of passes i to show that:
$$d[v_i] = \delta(s, v_i), \quad i = 0, 1, \dots, k$$

Correctness of Belman–Ford Algorithm (cont.)

Base Case: $i=0$ $d[v_0] = \delta(s, v_0) = \delta(s, s) = 0$

Inductive Hypothesis: $d[v_{i-1}] = \delta(s, v_{i-1})$

Inductive Step: $d[v_i] = \delta(s, v_i)$



After relaxing (v_{i-1}, v_i) (convergence property) :

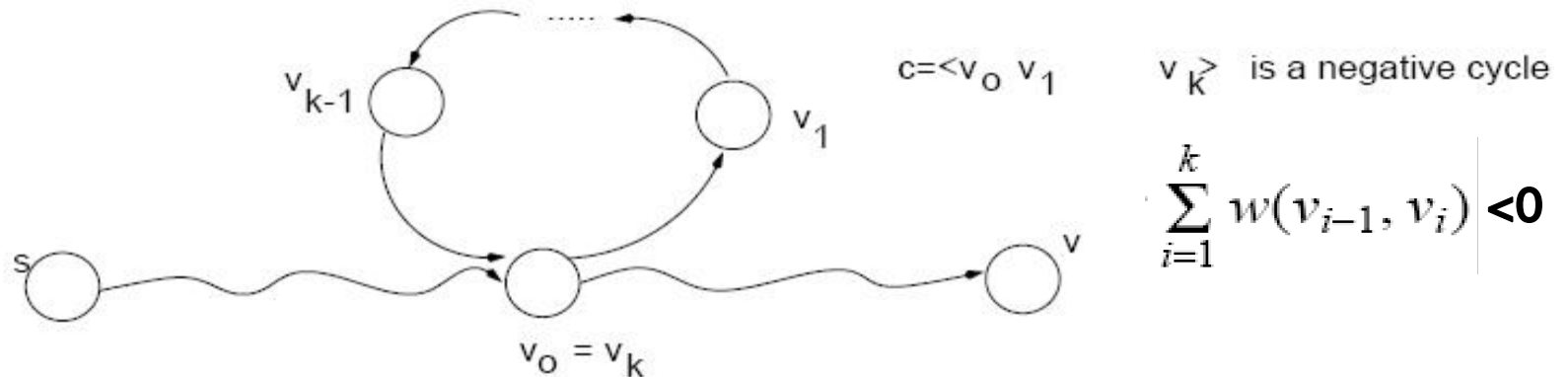
$$d[v_i] \leq d[v_{i-1}] + w = \delta(s, v_{i-1}) + w = \delta(s, v_i)$$

From the upper bound property: $d[v_i] \geq \delta(s, v_i)$

$v_i)$

Correctness of Belman–Ford Algorithm (cont.)

- ▶ Case 2: G contains a negative cycle which is reachable from s



Proof by Contradiction:
suppose the algorithm returns a solution

After relaxing (v_{i-1}, v_i) : $dist[v_i] \leq dist[v_{i-1}] + w(v_{i-1}, v_i)$

$$\Rightarrow \sum_{i=1}^k dist[v_i] \leq \sum_{i=1}^k dist[v_{i-1}] + \sum_{i=1}^k w(v_{i-1}, v_i)$$

$$\Rightarrow \sum_{i=1}^k w(v_{i-1}, v_i) \geq 0 \quad (\sum_{i=1}^k dist[v_i] = \sum_{i=1}^k dist[v_{i-1}])$$

Contradiction!

Spanning Trees

- ▶ Given (connected) graph $G(V,E)$, a **spanning tree** $T(V',E')$:
 - Is a subgraph of G ; that is, $V' \subseteq V$, $E' \subseteq E$.
 - Spans the graph ($V' = V$)
 - Forms a **tree** (no cycle);
 - So, E' has $|V| - 1$ edges

Minimum Spanning Trees

- ▶ Edges are weighted: find minimum cost spanning tree
- ▶ Applications
 - Find cheapest way to wire your house
 - Find minimum cost to send a message on the Internet

Strategy for Minimum Spanning Tree

- ▶ For any spanning tree T , inserting an edge e_{new} not in T creates a cycle
- ▶ But
 - Removing any edge e_{old} from the cycle gives back a spanning tree
 - If e_{new} has a lower cost than e_{old} we have progressed!

Strategy

- ▶ Strategy for construction:
 - Add an edge of minimum cost that does not create a cycle (greedy algorithm)
 - Repeat $|V| - 1$ times
 - Correct since if we could replace an edge with one of lower cost, the algorithm would have picked it up

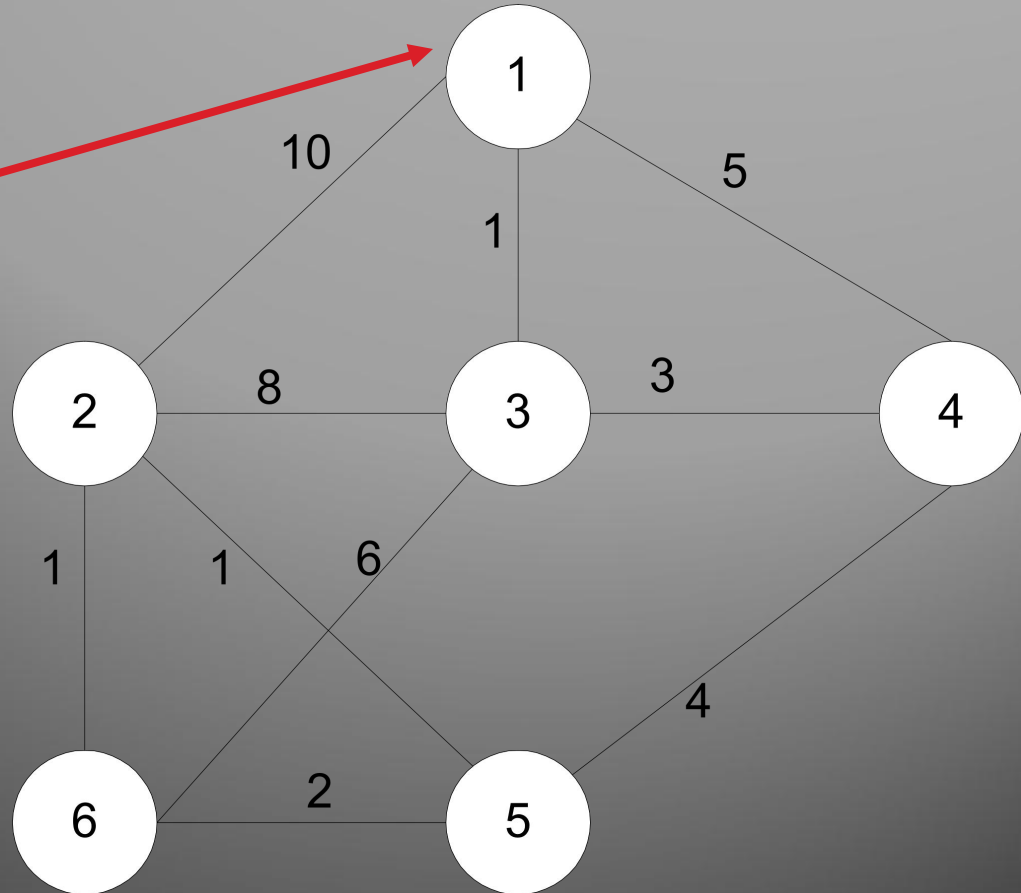
Two Algorithms

- ▶ Prim: (build tree incrementally)
 - Pick lower cost edge connected to known (incomplete) spanning tree that does not create a cycle and expand to include it in the tree
- ▶ Kruskal: (build forest that will finish as a tree)
 - Pick lowest cost edge not yet in a tree that does not create a cycle. Then expand the set of included edges to include it. (It will be somewhere in the forest.)

Prim's algorithm

Starting from empty T ,
choose a vertex at
random and initialize

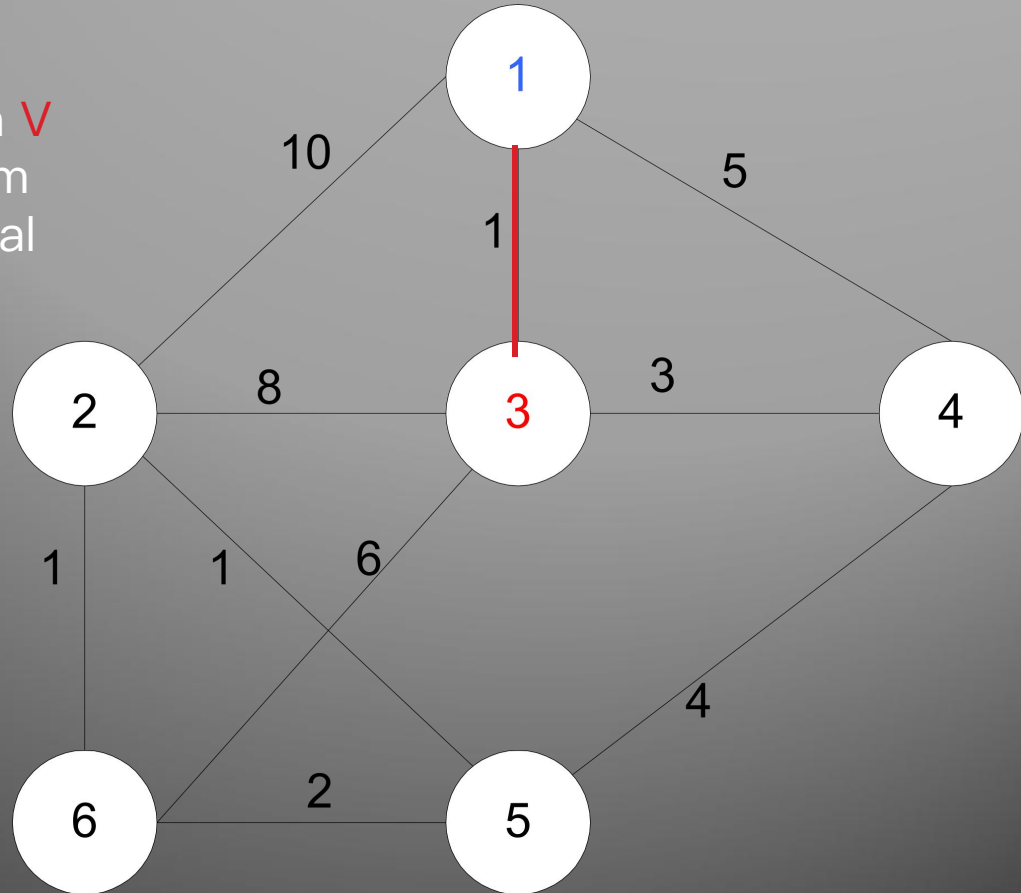
$V = \{1\}, E' = \{\}$



Prim's algorithm

Choose the vertex **u** not in **V**
such that edge weight from
u to a vertex in **V** is minimal
(greedy!)

$V = \{1, 3\}$ $E' = \{(1, 3)\}$



Prim's algorithm

Repeat until all vertices have been chosen

Choose the vertex u not in V such that edge weight from v to a vertex in V is minimal (greedy!)

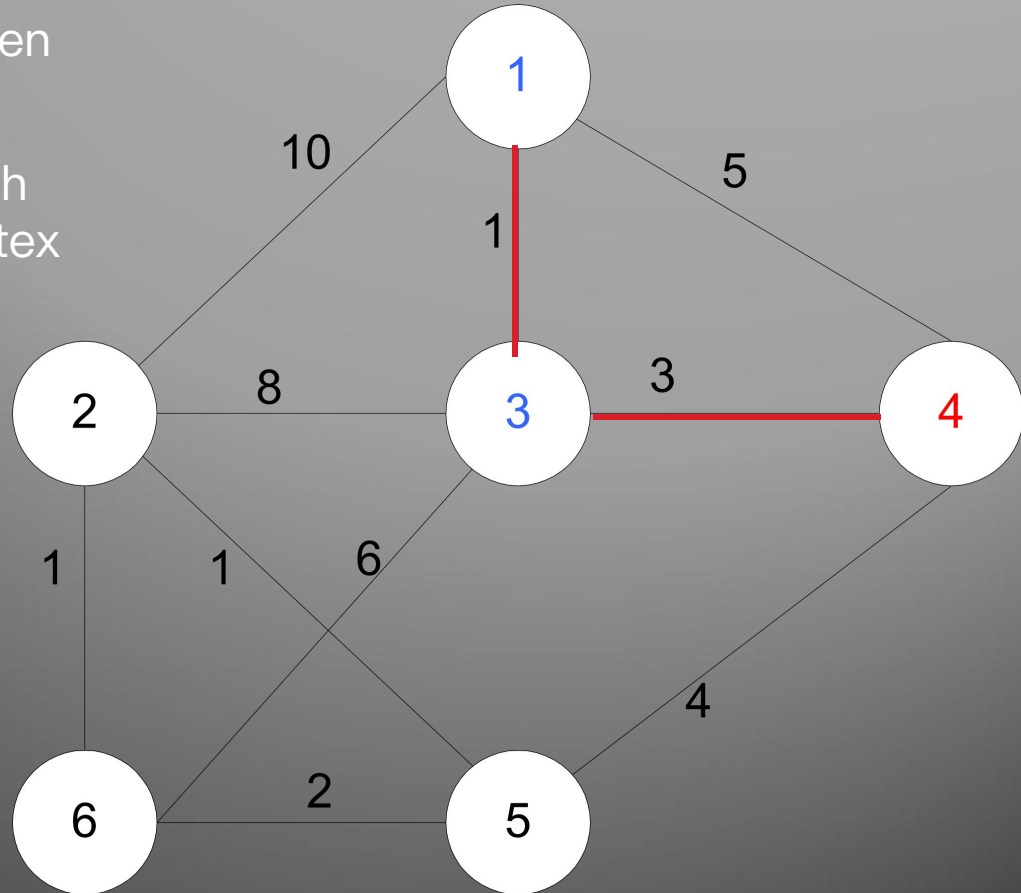
$V = \{1, 3, 4\}$ $E' = \{(1, 3), (3, 4)\}$

$V = \{1, 3, 4, 5\}$ $E' = \{(1, 3), (3, 4), (4, 5)\}$

....

$V = \{1, 3, 4, 5, 2, 6\}$

$E' = \{(1, 3), (3, 4), (4, 5), (5, 2), (2, 6)\}$



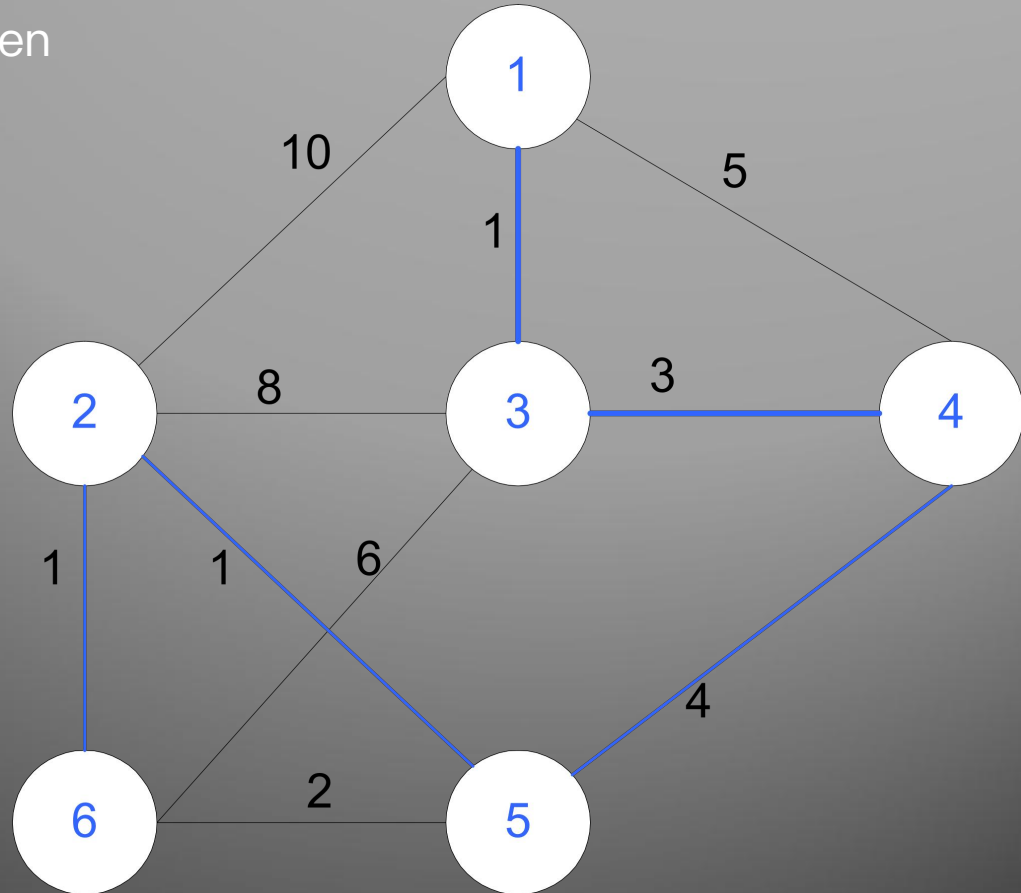
Prim's algorithm

Repeat until all vertices have been chosen

$V = \{1, 3, 4, 5, 2, 6\}$

$E' = \{(1, 3), (3, 4), (4, 5), (5, 2), (2, 6)\}$

Final Cost: $1 + 3 + 4 + 1 + 1 = 10$



Prim's Algorithm Implementation

- ▶ Assume adjacency list representation

Initialize connection cost of each node to “inf” and “unmark” them

Choose one node, say v and set $\text{cost}[v] = 0$ and $\text{prev}[v] = 0$

While there are unmarked nodes

 Select the unmarked node u with minimum cost; mark it

 For each unmarked node w adjacent to u

 if $\text{cost}(u,w) < \text{cost}(w)$ then $\text{cost}(w) := \text{cost}(u,w)$

$\text{prev}[w] = u$

Kruskal's Algorithm

- ▶ Select edges in order of increasing cost
- ▶ Accept an edge to expand tree or forest only if it does not cause a cycle

Kruskal's Algorithm

Initialize a forest of trees, each tree being a single node

Build a priority queue of edges with priority being lowest cost

Repeat until $|V| - 1$ edges have been accepted {

 Delete min edge from priority queue

 If it forms a cycle then discard it

 else accept the edge — It will join 2 existing trees yielding a larger tree and reducing the forest by one tree

}

The accepted edges form the minimum spanning tree

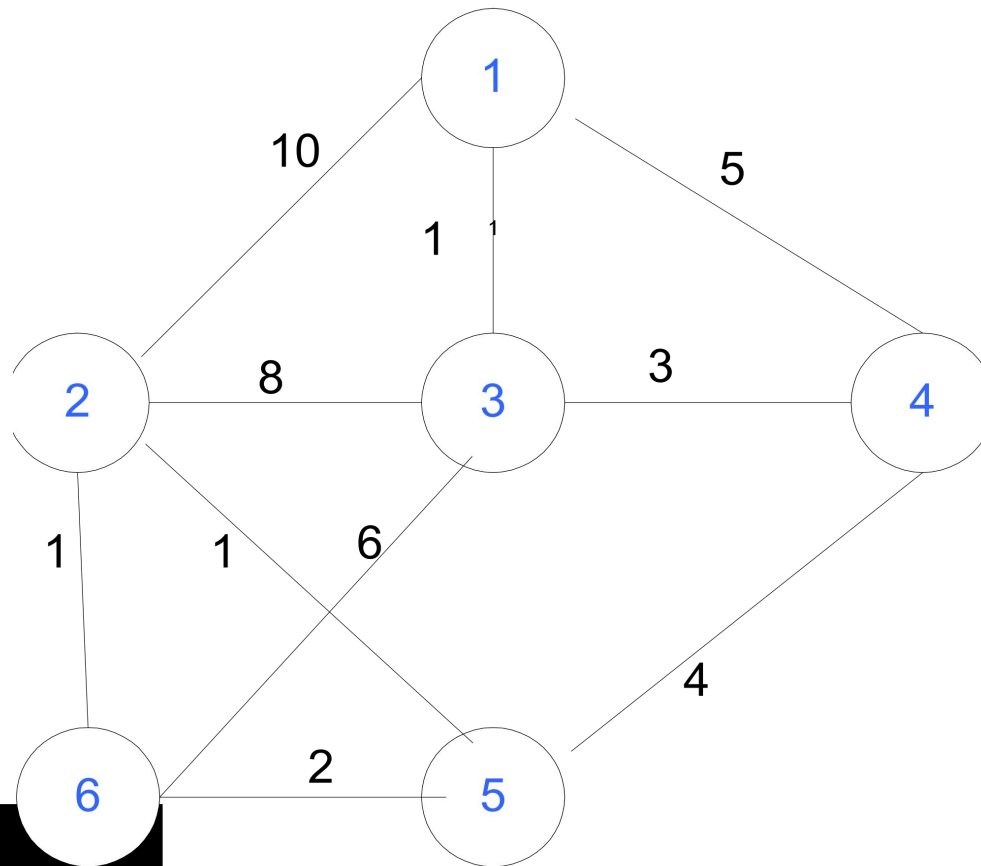
Detecting Cycles

- ▶ If the edge to be added (u,v) is such that vertices u and v belong to the same tree, then by adding (u,v) you would form a cycle
 - Therefore to check, $\text{Find}(u)$ and $\text{Find}(v)$. If they are the same discard (u,v)
 - If they are different $\text{Union}(\text{Find}(u), \text{Find}(v))$

Properties of trees in K's algorithm

- ▶ Vertices in different trees are disjoint
 - True at initialization and Union won't modify the fact for remaining trees
- ▶ Trees form equivalent classes under the relation “is connected to”
 - u connected to u (reflexivity)
 - u connected to v implies v connected to u (symmetry)
 - u connected to v and v connected to w implies a path from u to w so u connected to w (transitivity)

Example

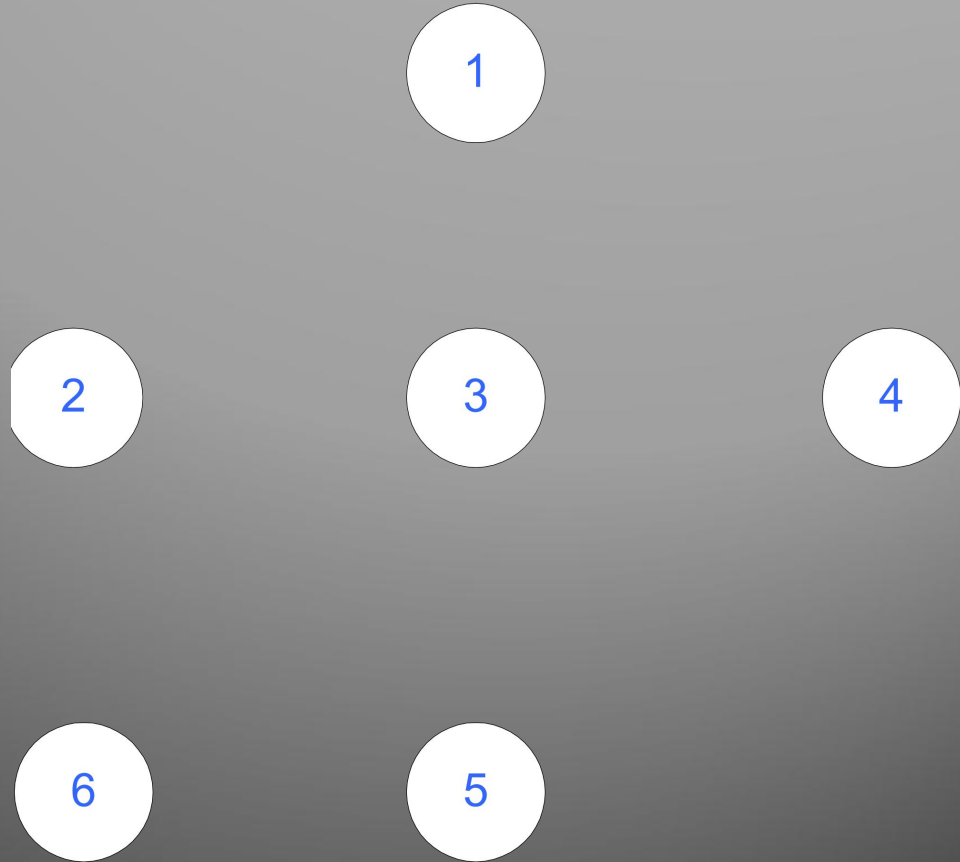


Initialization

Initially, Forest of 6 trees

$F = \{\{1\}, \{2\}, \{3\}, \{4\}, \{5\}, \{6\}\}$

Edges in a heap (not shown)



Step 1

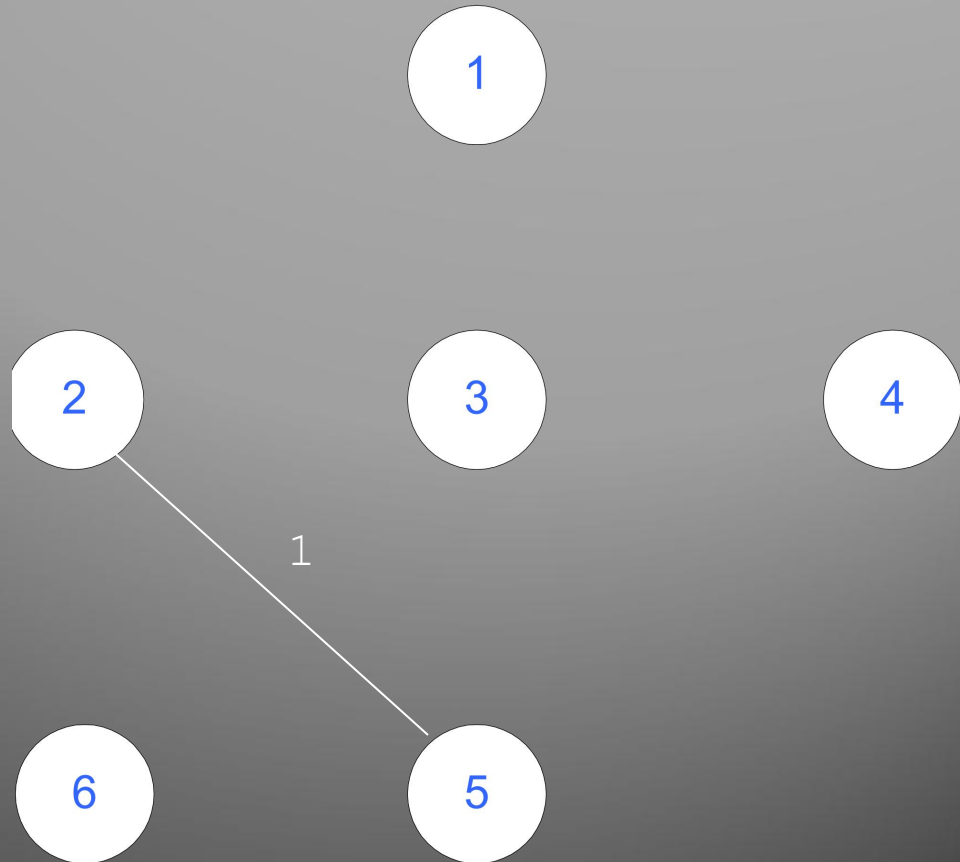
Select edge with lowest cost (2,5)

Find(2) = 2, Find (5) = 5

Union(2,5)

$F = \{\{1\}, \{2,5\}, \{3\}, \{4\}, \{6\}\}$

1 edge accepted



Step 2

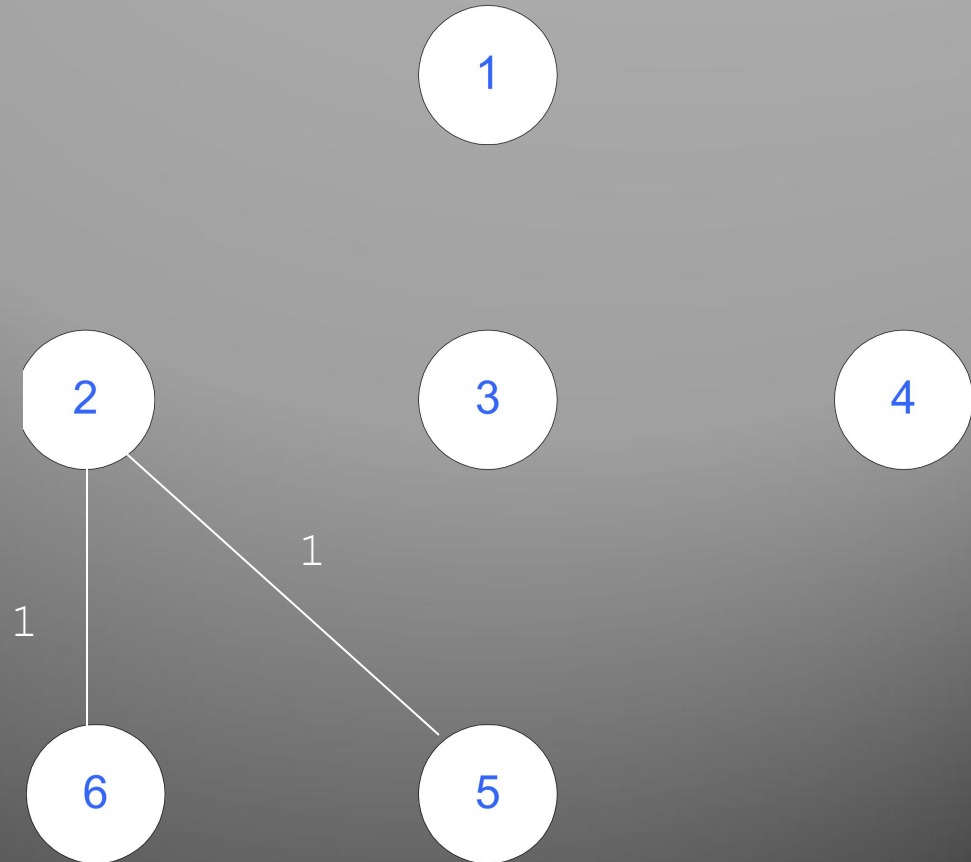
Select edge with lowest cost (2,6)

Find(2) = 2, Find (6) = 6

Union(2,6)

$F = \{\{1\}, \{2,5,6\}, \{3\}, \{4\}\}$

2 edges accepted



Step 3

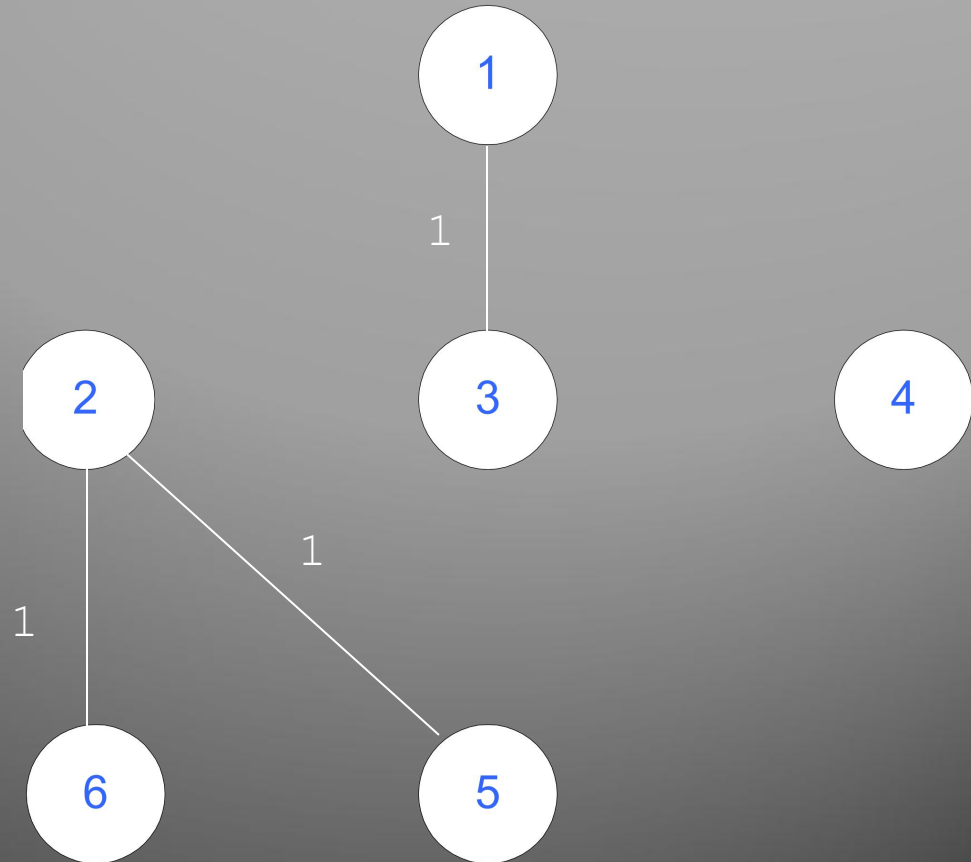
Select edge with lowest cost (1,3)

Find(1) = 1, Find (3) = 3

Union(1,3)

$F = \{\{1,3\}, \{2,5,6\}, \{4\}\}$

3 edges accepted



Step 4

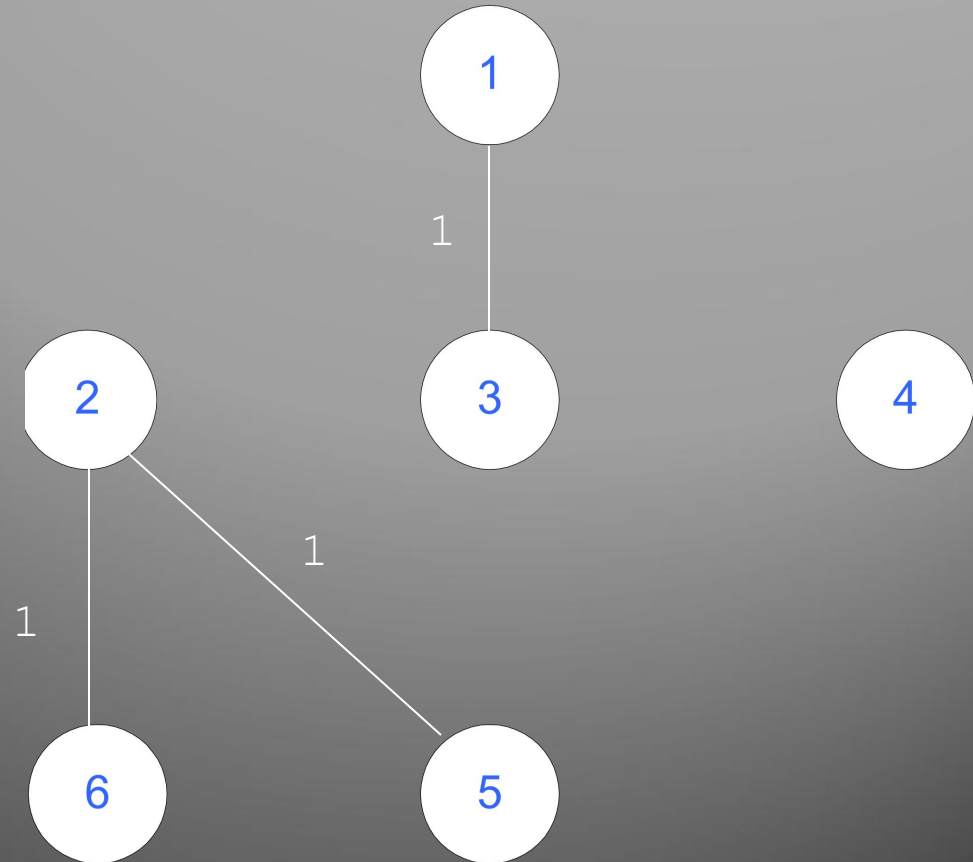
Select edge with lowest cost (5,6)

Find(5) = 2, Find (6) = 2

Do nothing

$F = \{\{1,3\}, \{2,5,6\}, \{4\}\}$

3 edges accepted



Step 5

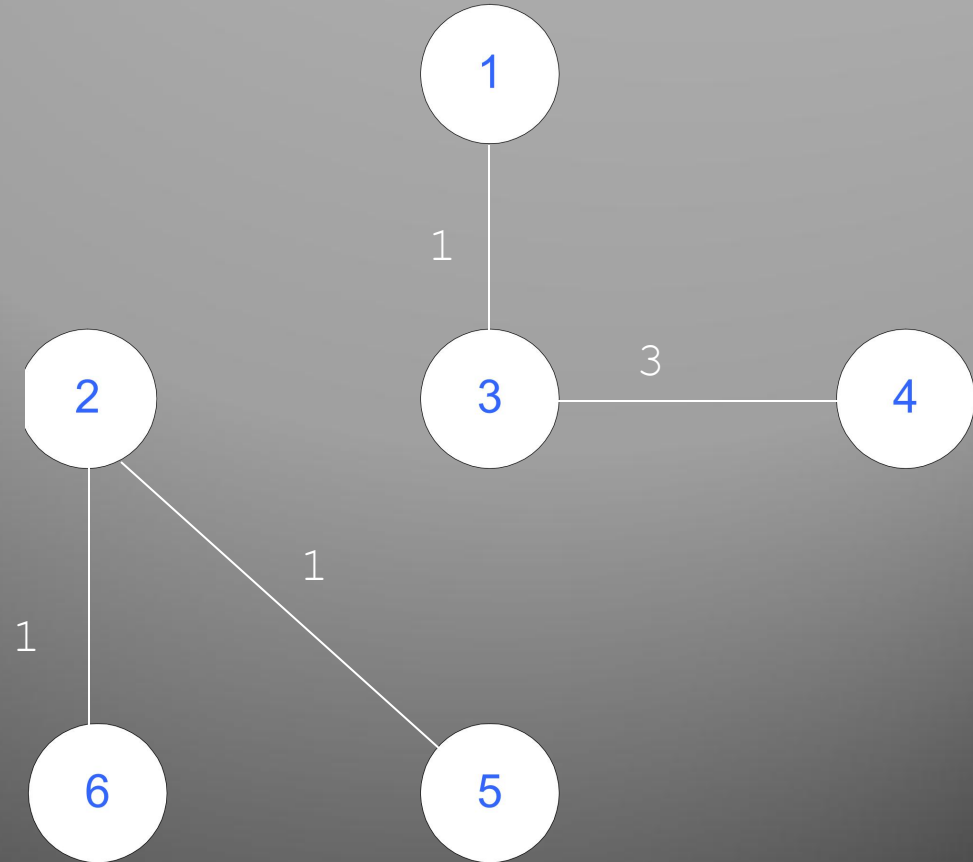
Select edge with lowest cost (3,4)

Find(3) = 1, Find (4) = 4

Union(1,4)

$F = \{\{1,3,4\}, \{2,5,6\}\}$

4 edges accepted



Step 6

Select edge with lowest cost (4,5)

Find(4) = 1, Find (5) = 2

Union(1,2)

$F = \{\{1,3,4,2,5,6\}\}$

5 edges accepted : end

Total cost = 10

Although there is a unique spanning tree in this example, this is not generally the case

