Modelling and Analysis of Data

Exam no. 39

21. januar 2022

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1 Problem 1 - Maximum Likelihood Estimation

1.1

Assessing the following:

$$f(x) \begin{cases} \frac{1}{\sigma\sqrt{2\pi}} \cdot \frac{1}{x} \cdot exp^{\left(-\frac{1}{2}\left(\frac{\log(x)-\mu}{\sigma}\right)^2\right)} & \text{for } x \in \mathbb{R}_+\\ 0 & \text{otherwise} \end{cases}$$

Since I can fix the parameter σ I can express the likelihood L of observing the given dataset $x_1,...,X_N$ of N i.i.d samples from X as the joint distribution all the N i.i.d samples conditioning on μ as:

$$L = p(x_1, ..., x_n | \mu) = \prod_{n=1}^{N} p(x_n | \mu) = \prod_{n=1}^{N} f(x_n; \mu)$$

The PDF is now considered a function of both x_n and μ I'm going to make take the logarithm of the expression, to make it more managable, without changing the estimate. When trying to find the optimal $\hat{\mu}$ that maximizes the likelihood L.

$$logL = log\left(\prod_{n=1}^{N} f(x_n; \mu)\right)$$

$$= \sum_{n=1}^{N} log(f(x_n; \mu))$$

$$= \sum_{n=1}^{N} \left[log\left(\frac{1}{\sigma\sqrt{2\pi}}\right) + log\left(\frac{1}{x_n}\right) + log\left(exp\left(-\frac{1}{2}\left(\frac{log(x) - \mu}{\sigma}\right)^2\right)\right)\right]$$

$$= \sum_{n=1}^{N} \left[log\left(\frac{1}{\sigma\sqrt{2\pi}}\right) + log\left(\frac{1}{x_n}\right) + -\frac{1}{2}\left(\frac{log(x) - \mu}{\sigma}\right)^2\right]$$

To find the MLE $\hat{\mu}$ for the parameter μ I optimize L by solving $\frac{\partial log L}{\partial \mu}=0$ When taking derivatives I'm only interested in terms that involve μ and so I have the following expression.

$$\begin{split} \frac{\partial Log L}{\partial \mu} &= \frac{\partial}{\partial \mu} \left[\sum_{n=1}^{N} -\frac{1}{2} \left(\frac{log(x) - \mu}{\sigma} \right)^{2} \right] \\ &= \sum_{n=1}^{N} - \left(\frac{log(x) - \mu}{\sigma} \right) \cdot \left[\frac{log(x) - \mu}{\sigma} \cdot \frac{\partial}{\partial \mu} \right] \quad (Chain rule) \\ &= \sum_{n=1}^{N} - \left(\frac{log(x) - \mu}{\sigma} \right) \cdot \left(-\frac{1}{\sigma} \right) \\ &= \frac{1}{\sigma^{2}} \sum_{n=1}^{N} log(x_{n}) - \mu \end{split}$$

solving $\frac{\partial log L}{\partial \mu} = 0$ with respect to μ

$$0 = \frac{1}{\sigma^2} \sum_{n=1}^{N} log(x_n) - \mu = \sum_{n=1}^{N} log(x_n) - \mu = \left[\sum_{n=1}^{N} log(x_n) \right] - N\mu$$

$$\uparrow \qquad \qquad \uparrow \qquad \qquad \downarrow \qquad$$

The above calculations is a neccesary condition in order to find an optimal solution. Furthermore, to check if I'm dealing with a global maximum or a global minium I have to take the second derivative of my function.

$$\frac{\partial^2 log L}{\partial \mu^2} = -\frac{N}{\sigma^2}$$

Assessing the result from the second derivative, I can see that my function will alwas be negative. Thus by the second deratuive test

$$\hat{\mu} = log(\sqrt[N]{x_1 \cdot x_2 \cdot ... \cdot x_N})$$

is a global maximum

2 Problem 2 - Statistics

3 Problem 3 - Principal Component Analysis

3.1 1) - Mean point of the data

Step one is to calculate the mean point of the data with respect to both x and y

$$\bar{x} = \frac{1}{8}(0.6 + 0.5 + 1.1 + (-0.5) + 0.8 + 0.2 + (-0.1) + 1.0) = 0.4500$$

$$\bar{y} = \frac{1}{8}(1.1 + 1.0 + 2.0 + 0.2 + (-0.1) + (-0.1) + (-1.5) + 2.5) = 0.6375$$

Step two is to normalize the dataset. This is done by taking each original point and subtract the mean. $p - [\bar{x}, \bar{y}]$

Normalizing each point

$$p1 = (0.6, 1.1) - [0.4500, 0.6375] = [0.1500, 0.4625]$$
 (1)

$$p2 = (0.5, 1.0) - [0.4500, 0.6375] = [0.0500, 0.3625]$$
 (2)

$$p3 = (1.1, 2.0) - [0.4500, 0.6375] = [0.6500, 1.3625]$$
 (3)

$$p4 = (-0.5, 0.2) - [0.4500, 0.6375] = [-0.9500, -0.4375]$$
 (4)

$$p5 = (0.8, -0.1) - [0.4500, 0.6375] = [0.3500, -0.7375]$$
 (5)

$$p6 = (0.2, -0.1) - [0.4500, 0.6375] = [-0.2500, -0.7375]$$
 (6)

$$p7 = (-0.1, -1.5) - [0.4500, 0.6375] = [-0.5500, -2.1375]$$
 (7)

$$p8 = (1.0, 2.5) - [0.4500, 0.6375] = [0.5500, 1.8625]$$
 (8)

Step three is to calculate the covariances for XX, XY and YY using the normalized values for p1-p8

$$cov(xx) = \frac{1}{8} \left(0.15^2 + 0.05^2 + 0.65^2 + (-0.95)^2 + (-0.25)^2 + (-0.55)^2 + 0.55^2 \right) = 0.2675$$
(9)

$$cov(yy) = \frac{1}{8} \left(0.4625^2 + 0.3625^2 + 1.3625^2 + (-0.4375)^2 + (-0.7375)^2 + (-0.7375)^2 + (-0.7375)^2 + (-2.1375)^2 + 1.8625^2 \right) = 1.439843750$$
(10)

$$cov(xy) = \frac{1}{8} \Big((0.15 \cdot 0.4625 + 0.05 \cdot 0.3625 + 0.65 \cdot 1.3625 + ((-0.95) \cdot (-0.4375)) + 0.35 \cdot (-0.7375) + ((-0.25) \cdot (-0.7375)) + ((-0.55) \cdot (-2.1375)) + (0.55 \cdot 1.8625) \Big) = 0.439375$$
(11)

having done the three calucaltions I can now form the covariance matrix inserting the calculated values into their respectable place:

$$cov = \begin{pmatrix} xx & xy \\ xy & yy \end{pmatrix} =$$

$$cov = \begin{pmatrix} 0.2675 & 0.439375 \\ 0.439375 & 1.439843750 \end{pmatrix}$$

3.2 2) - Two eigenvalues

I order to find the eigenvalues and the eigenvectors i need to solve *Characteristic equation* of the covariance matrix. The Characteristic equation is denoted as:

$$det(A - \lambda I) = 0$$

To calculate the determinant you calculate the following:

$$determinant = \begin{pmatrix} a & b \\ c & c \end{pmatrix} = ad - bc$$

Solving the system equation that I get from uising the Characteristics equation on the covariance matrix i get the following eigenvalues.

$$\begin{pmatrix} 0.2675 - \lambda & 0.439375 \\ 0.439375 & 1.439843750 - \lambda \end{pmatrix} = 0$$

First I get the equation by calulating the determinant

$$det(cov) \rightarrow ((0.2675 - \lambda) \cdot (1.439843750 - \lambda)) - (0.439375 \cdot 0.439375)$$

Then setting the equation equal to zero and solving it will give me the two values for λ which is the eigenvalues.

$$((0.2675 - \lambda) \cdot (1.439843750 - \lambda)) - (0.439375 \cdot 0.439375) = 0$$

 $eigenvalue_1 = 0.1211093468$ $eigenvalue_2 = 1.586234403$

3.3 3) - Two eigenvectors

I order to find the eigenvectors I use the definition of an eigenvector $A\bar{\mathbf{x}} = \lambda \bar{\mathbf{x}}$ and solve this this equation.

$$cov \cdot \bar{x} = \lambda \cdot \bar{x}$$

I'm going to do the calculations in Maple since I'm dealing with odd numbers. I also going to reduce some of the decimals by rounding off just for readabilitys sake.

$$eigenvector_1 = [-0.9487, -0.3161]$$
 $eigenvector_2 = [0.3161, -0.9487]$

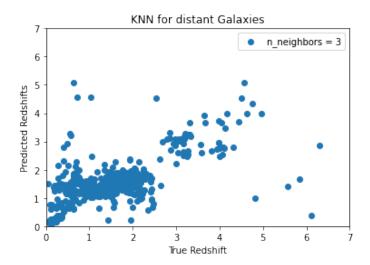
note that signs of the eigenvector can be different. e.g. $eigenvector_1$ could be [0.9487, 0.3161][]

4 Problem 4 - Regression

4.1 a)

Using the euclidean distance I get an RSME value of

RMSE = 0.82430

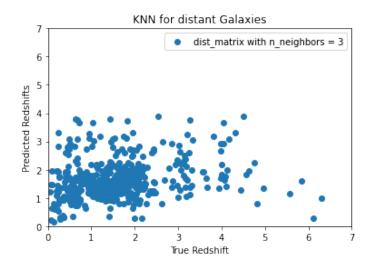


Figur 1: Plot of kNN with k = 3

4.2 b)

Using the other distance calculation as given in the exam set I get the following RS-ME value $\,$

RMSE = 1.100519



Figur 2: Plot of kNN with k = 3 and distance matrix

The distance matrix will lower/lessen the influence of all the features/attributes except for the last two, since they are set to 1.0.

4.3 c)

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5 Problem 5 - Random Forests

5.1 Finding Thresholds

There are four places that I should take into consideration as possible thresholds.

option	x_i	x_{i+1}
1	20	30
2	60	70
3	90	100
4	100	110

There hare four positions that could be possible threshold, however, I'm going to ignore optinon 4, since it will be the same as option 1, except with reversed values. These four places has been found using the same approach as show in our lecture, and lecture video (L10_Classification_Regression_2_Part3, starting at 17min 50sec.). This means, that I'm only going to look at positions where there is a "change"in labels for a given datapoint compared to its predecessor or successor. Since there is no reason to look at possible thresholds where the neigbors to a given feature has the same labels.

This process can be formalized:

$$x_i + (\frac{x_{i+1} - x_i}{2}) : y_{i+1} \neq y_i$$

As stated, option 4 is the same as option 1, so I will only perform the calculations for option 1-3.

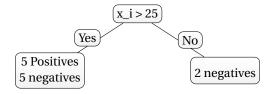
Option
$$1 = 20 + \frac{(30 - 20)}{2} = 25$$

Option
$$2 = 60 + \frac{(70 - 60)}{2} = 65$$

Option
$$3 = 90 + \frac{(100 - 90)}{2} = 95$$

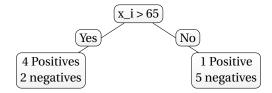
Using my calculated values for for possible thresholds I have three different decision trees to take into consideration.

Tree 1:



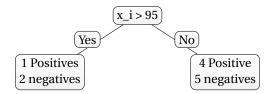
Figur 3: Decision three with threshold = 25

Tree 2:



Figur 4: Decision three with threshold = 65

Tree 3:



Figur 5: Decision three with threshold = 95

From here, I will start by calculating the Entropy values for splits in my trees. As well as the information gain using the functions ginven in our lecture slides (L10_Classification_Regression_2_Part3 p. 8)

Entropy H(T) =
$$-p_+ \cdot log_2 \cdot p_+ - p_- \cdot log_2 \cdot p_-$$

Gain (T, j) = H(T) $-\sum_{i=0,1} \frac{|T_i|}{|T|} H(T_i)$

Calculating the information gain for tree 1 where the threshold is = 25:

Gain(T, j) = H(5 pos, 7 neg)
$$-\frac{10}{12}$$
H(5 pos, 5 neg) $-\frac{2}{12}$ H(0 pos, 2 neg)
= $0.979 - \frac{10}{12}1 - \frac{2}{12}0 \approx 0.145$

Calculating the information gain for tree 2 where the threshold is = 65:

Gain(T, j) = H(5 pos, 7 neg)
$$-\frac{6}{12}$$
H(4 pos, 2 neg) $-\frac{6}{12}$ H(1 pos, 5 neg)
= $0.979 - \frac{10}{12}0.918 - \frac{2}{12}0.650 \approx 0.195$

Calculating the information gain for tree 3 where the threshold is = 95:

Gain(T, j) = H(5 pos, 7 neg)
$$-\frac{6}{12}$$
H(4 pos, 2 neg) $-\frac{6}{12}$ H(1 pos, 5 neg)
= $0.979 - \frac{10}{12}0.918 - \frac{2}{12}0.991 \approx 0.0062$

Since the goal is to find the tree which gives us the highest information gain. I can see that the second decision tree with a threshold of 65 is the best one. Thus, the optimal threshold is 65.

6 Problem 6 - Classification & Validation

NOTE that I've included the full python code in the appendix

6.1 a) - Implement random forest training

I've implemented the Random Forest Training using the existing library from *Sikit-learn*, more specific the package: **sklearn.ensemble.RandomForestClassifier**. Below I've added the most notable part from my code in order to load the data. I'm reusing the "loaddata" function that's been handed out, though I've modified it slightly in line 12 in the code snippet.

```
# Modified the loaddata function to make the training features
    - into 2d np.array, more specifically the 't value'
   def loaddata(filename):
        """Load the balloon data set from filename and return t, 	exttt{X}
            t - N-dim. vector of target (temperature) values
            X - N-dim. vector containing the inputs (lift) x for
        each data point
        # Load data set from CSV file
        Xt = np.loadtxt(filename, delimiter=',')
        # Split into data matrix and target vector
10
        X = Xt[:,0] # Matrix
        t = Xt[:,1:] # Vector
12
13
        return t, X
14
    # Loads the training data
16
   X_train, t_train =
17
    - loaddata('D:\\Uddannelse\\Datalogi\\KU\\2_aar\\MAD\\MAD2021\\2022_Exam\\exam_data\\data
    # Loads the validation data
19
   X_validation, t_validation =
       loaddata('D:\\Uddannelse\\Datalogi\\KU\\2_aar\\MAD\\MAD2021\\2022_Exam\\exam_data\\data
```

To see how I've chosen to set up the Random Forest Classifier, training the algorithm using the it to predict - see the submitted code in section 6.4

6.2 b) - Finding the optimal set of random forest classifier parameters

I've chosae to use two extra packages in order to implement my Random Forest algorithm. It hasn't been specified that I'm not allowed to use other packages from the **Sklean** library so I assume that this is a possible solution.

I've made use of **ParameterGrid** from the **sklearn.model_selection** and **accuracy_score** from the **sklearn.metrics**.

I'm using ParameterGrid to generate a list of all the possible permutations of the specified parameters used for a given iteration to perform the optimized search. With each iteration the parameters and the resulting performance metrics are added to a list which can be sorted easily. I'm currently using the accuracy_score as the first metric

see the submitted code in section 6.4

6.3 c) - My results

Looking at the results, it's possible to see that the performance of the algorithm increases when tree depth, number of features that's taken into consideration and the complexity of the criterion is also increased. However it comes with the cost of a more computational complexity aswell. The second listing in section 6.4 shows the terminal output from the optimization loop. I've appended a sorted print of the permutated parameters in the appendix.

6.4 Code for a) & b)

testestest

```
# Adding the parameters to test given in the exam question.
    → Using these to find the optimal set of random forest
    - classifier parameters.
   random_f_parameters = {
                            : ['gini', 'entropy'],
        'criterion'
        'max_tree_depth' : [2,5,6,10,15],
        'max_features' : ['sqrt', 'log2']
       }
    # Empty array for the result metrics
   res = np.empty((0,3))
10
    # Looping through the chosen(given) parameters and setting up
11
    → the Random forest classifier each time.
   for params in list(ParameterGrid(random_f_parameters)):
12
       clf = RandomForestClassifier(
13
                        = params['criterion'],
            criterion
14
           max_depth
                      = params['max_tree_depth'],
15
           max_features = params['max_features'])
16
        # Training using the created classifier with the given
18
        \rightarrow parameters.
        clf.fit(X_train, t_train)
19
```

```
# number of correctly classified validation samples
21
        t_prediction = clf.predict(X_validation)
        acc_score = accuracy_score(t_validation, t_prediction)
23
        # probability associated with classification
25
        t_probability = clf.predict_proba(X_validation)
        probability_score = np.mean([t_probability[int(t_val)]
                                 for (t_probability, t_val)
                                 in zip(t_probability,
29

    t_validation)])
        print("Accuracy score: %.2f"
31
            %acc_score)
32
        print("Average probability assigned to correct classes:
33
         → %.2f"
            %probability_score)
34
        # print the parameters if new ones are more optimal than
         - previously tried ones.
        if len(res) > 0 and (acc_score > res[-1,1]
37
                                 or (acc_score == res[-1,1]
                                     and probability_score >
                                      \rightarrow res[-1,2])):
            print(params)
40
        # accumulate results
        res = np.append(res, np.array([[params, acc_score,
         → probability_score]]), axis=0)
        # sort the results in ascending order
44
        res = res[np.lexsort((res[:,1], res[:,2]))]
```

```
Accuracy score: 0.48
Average probability assigned to correct classes: 0.36
Accuracy score: 0.66
Average probability assigned to correct classes: 0.48
{'criterion': 'gini', 'max_features': 'sqrt', 'max_tree_depth': 5}
Accuracy score: 0.73
Average probability assigned to correct classes: 0.51
{'criterion': 'gini', 'max_features': 'sqrt', 'max_tree_depth': 6}
Accuracy score: 0.77
Average probability assigned to correct classes: 0.56
{'criterion': 'gini', 'max_features': 'sqrt', 'max_tree_depth': 10}
Accuracy score: 0.77
Average probability assigned to correct classes: 0.56
{'criterion': 'gini', 'max_features': 'sqrt', 'max_tree_depth': 15}
Accuracy score: 0.48
Average probability assigned to correct classes: 0.36
Accuracy score: 0.69
Average probability assigned to correct classes: 0.48
Accuracy score: 0.71
Average probability assigned to correct classes: 0.51
Accuracy score: 0.82
Average probability assigned to correct classes: 0.56
{'criterion': 'gini', 'max_features': 'log2', 'max_tree_depth': 10}
Accuracy score: 0.79
Average probability assigned to correct classes: 0.57
{'criterion': 'gini', 'max_features': 'log2', 'max_tree_depth': 15}
Accuracy score: 0.52
Average probability assigned to correct classes: 0.37
Accuracy score: 0.73
Average probability assigned to correct classes: 0.51
Accuracy score: 0.74
Average probability assigned to correct classes: 0.54
Accuracy score: 0.81
Average probability assigned to correct classes: 0.57
{'criterion': 'entropy', 'max_features': 'sqrt', 'max_tree_depth': 10}
Accuracy score: 0.81
Average probability assigned to correct classes: 0.58
{'criterion': 'entropy', 'max_features': 'sqrt', 'max_tree_depth': 15}
Accuracy score: 0.51
Average probability assigned to correct classes: 0.38
Accuracy score: 0.75
Average probability assigned to correct classes: 0.51
Accuracy score: 0.78
Average probability assigned to correct classes: 0.54
Accuracy score: 0.81
Average probability assigned to correct classes: 0.58
Accuracy score: 0.78
Average probability assigned to correct classes: 0.57
                                                        Side 13 of 14
```

Figur 6: Caption of figure here

7 Problem 7 - K-means Clustering & Principal Component Analysis

7.1 a)

I've decided to make my own function to normalize my data. It normalizes the input value x by subtracting the mean and dividion by the standard deviation.

```
def normalizeData(x):

'''

Normalizes the input using mean and the standard deviation.

Normalization is done on all the columns in the 2d

numpy-array 'x'

Returns the normalized 'x'

'''

return (x - np.mean(x, axis=0)) / np.std(x, axis=0)
```

7.2 b)

8 Appendix A

8.1 ...

def minted_for_code_here

9 Appendix B

9.1 ...

def minted_for_code_here

10 Appendix Q6

```
import numpy as np
   import matplotlib.pyplot as plt
   from sklearn.metrics import accuracy_score
   from sklearn.model_selection import ParameterGrid
   from sklearn.ensemble import RandomForestClassifier
   # Modified the loaddata function to make the training features
    → into
    # 2d np.array, more specifically the 't value'
   def loaddata(filename):
        """Load the balloon data set from filename and return t, X
10
            t - N-dim. vector of target (temperature) values
11
           {\it X} - N-dim. vector containing the inputs (lift) {\it x} for
12
    - each data point
       11 11 11
13
       # Load data set from CSV file
14
       Xt = np.loadtxt(filename, delimiter=',')
15
16
       # Split into data matrix and target vector
       X = Xt[:,0] # Matrix
18
       t = Xt[:,1:] # Vector
       return t, X
22
    111
   QUESTION 6 - A
24
   # Loads the training data
   X_train, t_train =
    - loaddata('D:\\Uddannelse\\Datalogi\\KU\\2_aar\\MAD\\MAD2021\\2022_Exam\\exam_data\\data
   # Loads the validation data
29
   X_validation, t_validation =
    - loaddata('D:\\Uddannelse\\Datalogi\\KU\\2_aar\\MAD\\MAD2021\\2022_Exam\\exam_data\\data
31
   print("Shape of training targets: %s" %str(t_train.shape))
   print("Shape of training features: %s" %str(X_train.shape))
   print("Shape of validation targets: %s"
    print("Shape of validation features: %s"
    print()
```

```
38
    QUESTION 6 - B
40
    # Adding the parameters to test given in the exam question.
42
    # Using these to find the optimal set of random forest
    - classifier parameters.
    random_f_parameters = {
44
                            : ['gini', 'entropy'],
        'criterion'
45
        'max_tree_depth' : [2,5,6,10,15],
46
        'max_features'
                            : ['sqrt', 'log2']
47
49
    # Empty array for the result metrics
50
   res = np.empty((0,3))
52
    # Looping through the chosen(given) parameters and setting up
    → the
    # Random forest classifier each time.
    for params in list(ParameterGrid(random_f_parameters)):
55
        clf = RandomForestClassifier(
            criterion
                         = params['criterion'],
57
                         = params['max_tree_depth'],
            max_depth
            max_features = params['max_features'])
59
        # Training using the created classifier with the given
        → parameters.
        clf.fit(X_train, t_train)
62
        # number of correctly classified validation samples
        t_prediction = clf.predict(X_validation)
        acc_score = accuracy_score(t_validation, t_prediction)
        # probability associated with classification
        t_probability = clf.predict_proba(X_validation)
69
        probability_score = np.mean([t_probability[int(t_val)]
                              for (t_probability, t_val)
71
                              in zip(t_probability, t_validation)])
73
        print("Accuracy score: %.2f"
            %acc_score)
75
        print("Average probability assigned to correct classes:
        → %.2f"
            %probability_score)
77
```

```
# print the parameters if new ones are more optimal
        # than previously tried ones.
        if len(res) > 0 and (acc_score > res[-1,1]
81
                              or (acc_score == res[-1,1]
                                  and probability_score >
83
                                   \rightarrow res[-1,2])):
            print(params)
        # accumulate results
        res = np.append(res, np.array([[params, acc_score,

→ probability_score]]), axis=0)
        # sort the results in ascending order
        res = res[np.lexsort((res[:,1], res[:,2]))]
89
   for x in res:
        print(x[0])
```

11 Appendix X

11.1 Q6_c

This is a sorted print of the permutated parameters from the Random Forest algorithm. which yields matrics that are increacinly better.

I've rearranged the terminal output slightly for better readability.

```
, 'max_features': 'sqrt', 'max_tree_depth': 2}
{'criterion': 'gini'
{'criterion': 'gini' , 'max_features': 'log2', 'max_tree_depth': 2}
{'criterion': 'entropy', 'max_features': 'sqrt', 'max_tree_depth': 2}
{'criterion': 'entropy', 'max_features': 'log2', 'max_tree_depth': 2}
{'criterion': 'gini' , 'max_features': 'sqrt', 'max_tree_depth': 5} {'criterion': 'gini' , 'max_features': 'log2', 'max_tree_depth': 5}
{'criterion': 'entropy', 'max_features': 'log2', 'max_tree_depth': 5}
{'criterion': 'entropy', 'max_features': 'sqrt', 'max_tree_depth': 5}
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