

# Genuine equivariant operads

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## Abstract

We build new algebraic structures, which we call genuine equivariant operads, which can be thought of as a hybrid between equivariant operads and coefficient systems. We then prove an Elmendorf type theorem stating that equivariant operads, with their graph model structure, are equivalent to genuine equivariant operads with their projective model structure.

As an application, we build explicit models for the  $N_\infty$ -operads of Blumberg and Hill.

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## 1 Introduction

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## 2 Planar and tall maps

### 2.1 Planar structures

Throughout we will work with trees possessing *planar structures* or, more intuitively, trees embedded into the plane.

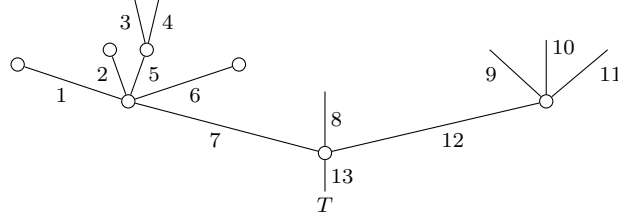
Our preferred model for trees will be that of broad posets first introduced by Weiss in [3] and further worked out by the second author in [2]. We now define planar structures in this context.

**Definition 2.1.** Let  $T \in \Omega$  be a tree. A *planar structure* of  $T$  is an extension of the descendancy partial order  $\leq_d$  to a total order  $\leq_p$  such that:

- *Planar*: if  $e \leq_p f$  and  $e \not\leq_d f$  then  $g \leq_d f$  implies  $e \leq_p g$ .

PLANARIZE DEF

**Example 2.2.** An example of a planar structure on a tree  $T$  follows, with  $\leq_r$  encoded by the number labels.



(2.3)

PLANAREX EQ

Intuitively, given a planar depiction of a tree  $T$ ,  $e \leq_d f$  holds when the downward path from  $e$  passes through  $f$  and  $e \leq_p f$  holds if either  $e \leq_d f$  or if the downward path from  $e$  is to the left of the downward path from  $f$  (as measured at the node where the paths intersect).

Intuitively, a planar depiction of a tree amounts to choosing a total order for each of the sets of *input edges* of each node (i.e. those edges immediately above that node).

While we will not need to make this statement precise, we will nonetheless find it convenient to show that Definition 2.1 is equivalent to such choosing total orders for each of the sets of input edges. To do so, we first introduce some notation.

PLANARIZE DEF

**Notation 2.4.** Let  $T \in \Omega$  be a tree and  $e \in T$  and edge. We will denote

$$I(e) = \{f \in T : e \leq_d f\}$$

and refer to this poset as the *input path* of  $e$ .

We will repeatedly use the following, which is a consequence of [Pe16b, Cor. 5.26].

**Lemma 2.5.** If  $e \leq_d f$ ,  $e \leq_d f'$ , then  $f, f'$  are  $\leq_d$ -comparable.

**Proposition 2.6.** Let  $T \in \Omega$  be a tree. Then

- (a) for any  $e \in T$  the finite poset  $I(e)$  is totally ordered;
- (b) the poset  $(T, \leq_d)$  has all joins, denoted  $\vee$ . In fact,  $\vee_i e_i = \min(\cap_i I(e_i))$ .

*Proof.* (a) is immediate from Lemma 2.5. To prove (b) we note that  $\min(\cap_i I(e_i))$  exists by (a), and that this is clearly the join  $\vee e_i$ .  $\square$

**Notation 2.7.** Let  $T \in \Omega$  be a tree and suppose that  $e <_d b$ . We will denote by  $b_e^\uparrow \in T$  the predecessor of  $b$  in  $I(e)$ .

**Proposition 2.8.** Suppose  $e, f$  are  $\leq_d$ -incomparable edges of  $T$  and write  $b = e \vee f$ . Then

- (a)  $e <_d b$ ,  $f <_d b$  and  $b_e^\uparrow \neq b_f^\uparrow$ ;
- (b)  $b_e^\uparrow, b_f^\uparrow \in b^\uparrow$ . In fact  $\{b_e^\uparrow\} = I(e) \cap b^\uparrow$ ,  $\{b_f^\uparrow\} = I(f) \cap b^\uparrow$ ;
- (c) if  $e' \leq_d e$ ,  $f' \leq_d f$  then  $b = e' \vee f'$  and  $b_{e'}^\uparrow = b_e^\uparrow$ ,  $b_{f'}^\uparrow = b_f^\uparrow$ .

*Proof.* (a) is immediate: the condition  $e = g$  (resp.  $f = g$ ) would imply  $f \leq_d e$  (resp.  $e \leq_d f$ ) while the condition  $b_e^\uparrow = b_f^\uparrow$  would provide a predecessor of  $b$  in  $I(e) \cap I(f)$ .

For (b), note that any relation  $a <_d b$  factors as  $a \leq_d b_a^* <_d b$  for some unique  $b_a^* \in b^\uparrow$ , where uniqueness follows from Lemma 2.5. Choosing  $a = e$  implies  $I(e) \cap b^\uparrow = \{b_e^*\}$  and letting  $a$  range over edges such that  $e \leq_d a <_d b$  shows that  $b_e^*$  is in fact the predecessor of  $b$ .

To prove (c) one reduces to the case  $e' = e$ , in which case it suffices to check  $I(e) \cap I(f') = I(e) \cap I(f)$ . But if it were otherwise there would exist an edge  $a$  satisfying  $f' \leq_d a <_d f$  and  $e \leq_d a$ , and this would imply  $e \leq_d f$ , contradicting our hypothesis.  $\square$

TERNARYJOIN PROP

**Proposition 2.9.** Let  $c = e_1 \vee e_2 \vee e_3$ . Then  $c = e_i \vee e_j$  iff  $c_{e_i}^\dagger \neq c_{e_j}^\dagger$ .  
Therefore, all ternary joins in  $(T, \leq_d)$  are binary, i.e.

$$c = e_1 \vee e_2 \vee e_3 = e_i \vee e_j \quad (2.10)$$

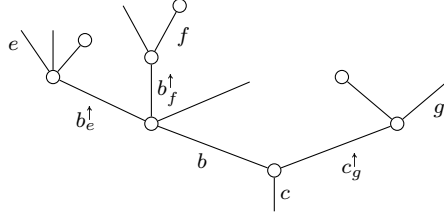
TERNJOIN EQ

for some  $1 \leq i < j \leq 3$ , and (2.10) fails for at most one choice of  $1 \leq i < j \leq 3$ .

*Proof.* If  $c_{e_i}^\dagger \neq c_{e_j}^\dagger$ , then  $c = \min(I(e_i) \cap I(e_j)) = e_i \vee e_j$ , whereas the converse follows from Proposition 2.8(a).

The “therefore” part follows by noting that  $c_{e_1}^\dagger, c_{e_2}^\dagger, c_{e_3}^\dagger$  can not all coincide, or else  $c$  would not be the minimum of  $I(e_1) \cap I(e_2) \cap I(e_3)$ .  $\square$

**Example 2.11.** In the following example  $b = e \vee f$ ,  $c = e \vee f \vee g$ ,  $c_e^\dagger = c_f^\dagger = b$ .



**Notation 2.12.** Given a set  $S$  of size  $n$  we write  $\text{Ord}(S) \simeq \text{Iso}(S, \{1, \dots, n\})$ . We will usually abuse notation by regarding its objects as pairs  $(S, \leq)$  where  $\leq$  is a total order in  $S$ .

**Proposition 2.13.** Let  $T \in \Omega$  be a tree. There is a bijection

$$\begin{aligned} \{\text{planar structures } (T, \leq_p)\} &\longrightarrow \prod_{(a^\dagger \leq a) \in V(T)} \text{Ord}(a^\dagger) \\ \leq_p &\longmapsto (\leq_p \upharpoonright_{a^\dagger}) \end{aligned} \quad (2.14)$$

PLANAR EQ

*Proof.* We will keep the setup of Proposition 2.8 throughout:  $e, f$  are  $\leq_d$ -incomparable edges and we write  $b = e \vee f$ .

We first show that (2.14) is injective, i.e. that the restrictions  $\leq_p \upharpoonright_{a^\dagger}$  determine if  $e <_p f$  holds or not. If  $b_e^\dagger <_p b_f^\dagger$ , the relations  $e \leq_d b_e^\dagger <_p b_f^\dagger \leq_d f$  and Definition 2.1 imply it must be  $e <_p f$ . Dually, if  $b_f^\dagger <_p b_e^\dagger$  then  $f <_p e$ . Thus  $b_e^\dagger <_p b_f^\dagger \Leftrightarrow e <_p f$  and hence (2.14) is indeed injective.

To check that (2.14) is surjective, it suffices (recall that  $e, f$  are assumed  $\leq_d$ -incomparable) to check that defining  $e \leq_p f$  to hold iff  $b_e^\dagger < b_f^\dagger$  holds in  $b^\dagger$  yields a planar structure.

Antisymmetry and the total order conditions are immediate, and it thus remains to check the transitivity and planar conditions. Transitivity of  $\leq_p$  in the case  $e' <_p e <_p f$  and the planar condition, which is the case  $e <_p f \geq_d f'$ , follow from Proposition 2.8(c). Transitivity of  $\leq_p$  in the case  $e <_p f \leq_d f'$  follows since either  $e \leq_d f'$  or else  $e, f'$  are  $\leq_d$ -incomparable, in which case one can apply 2.8(c) with the roles of  $f, f'$  reversed.

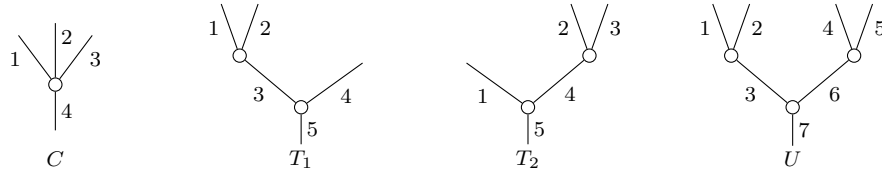
It remains to check transitivity in the hardest case, that of  $e <_p f <_p g$  with  $e, f, g$  pairwise incomparable. We write  $c = e \vee f \vee g$ . By the “therefore” part of Proposition 2.9, either (i)  $e \vee f <_d c$ , in which case Proposition 2.9 implies  $c_e^\dagger = c_f^\dagger$  and transitivity follows; (ii)  $f \vee g <_d c$ , which follows just as (i); (iii)  $e \vee f = f \vee g = c$ , in which case  $c_e^\dagger < c_f^\dagger < c_g^\dagger$  in  $c^\dagger$  so that  $c_e^\dagger \neq c_g^\dagger$  and by Proposition 2.9 it is also  $e \vee g = c$  and transitivity follows.  $\square$

**Remark 2.15.** Definition 2.1 readily extends to forests  $F \in \Phi$ . The analogue of Proposition 2.13 then states that the data of a planar structure is equivalent to total orderings of the nodes of  $F$  together with a total ordering of its set of roots. Indeed, this follows by either adapting the proof above or by noting that planar structures on  $F$  are clearly in bijection with planar structures on the join tree  $F \star \eta$  (cf. [2, Def. 7.44]), which adds a single edge  $\eta$  to  $F$ , serving as the (unique) root of  $F \star \eta$ .

When discussing the substitution procedure in §2.3 we will find it convenient to work with a model for the category  $\Omega$  that possesses exactly one representative of each possible planar structure on each tree or, more precisely, such that the only isomorphisms preserving the planar structures are the identities. On the other hand, using such a model for  $\Omega$  throughout would, among other issues, make the discussion of faces in §2.2 rather awkward. We now outline our conventions to address such issues.

Let  $\Omega^p$ , the category of *planarized trees*, denote the category with objects pairs  $T_{\leq_p} = (T, \leq_p)$  of trees together with a planar structure and morphisms the *underlying* maps of trees (so that the planar structures are ignored). There is a full subcategory  $\Omega^s \hookrightarrow \Omega^p$ , whose objects we call *standard models*, of those  $T_{\leq_p}$  whose underlying set is one of the sets  $\underline{n} = \{1, 2, \dots, n\}$  and for which  $\leq_p$  coincides with the canonical order.

**Example 2.16.** Some examples of standard models, i.e. objects of  $\Omega^s$ , follow (further, (2.3) can also be interpreted as such an example).



(2.17)

PLANAROMEGAEX1 EQ

Here  $T_1$  and  $T_2$  are isomorphic to each other but not isomorphic to any other standard model in  $\Omega^s$  while both  $C$  and  $U$  are the unique objects in their isomorphism classes.

Given  $T_{\leq_p} \in \Omega^p$  there is an obvious standard model  $T_{\leq_p}^s \in \Omega^s$  given by replacing each edge by its order following  $\leq_p$ . Indeed, this defines a retraction  $(-)^s: \Omega^p \rightarrow \Omega^s$  and a natural transformation  $\sigma: id \Rightarrow (-)^s$  given by isomorphisms preserving the planar structure (in fact, the pair  $((-)^s, \sigma)$  is clearly unique).

**Convention 2.18.** From now on, we will write simply  $\Omega$ ,  $\Omega_G$  to denote the categories  $\Omega^s$ ,  $\Omega_G^s$  of standard models (where planar structures are defined in the underlying forest as in Remark 2.15). Similarly  $\mathbf{O}_G$  will denote the model  $\mathbf{O}_G^s$  for the orbital category whose objects are the orbital  $G$ -sets whose underlying set is one of the sets  $\underline{n} = \{1, 2, \dots, n\}$ .

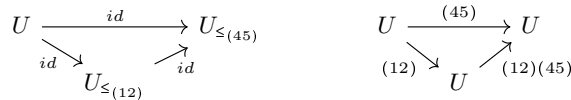
Therefore, whenever one of our constructions produces an object/diagram in  $\Omega^p$ ,  $\Omega_G^p$ ,  $\mathbf{O}_G^p$  (of trees,  $G$ -trees, orbital  $G$ -sets with a planarization/total order) we will hence implicitly reinterpret it by using the standardization functor  $(-)^s$ .

**Example 2.19.** To illustrate our convention, we consider the trees in Example 2.16.

One has subfaces  $F_1 \subset F_2 \subset U$  where  $F_1$  is the subtree with edge set  $\{1, 2, 6, 7\}$  and  $F_2$  is the subtree with edge set  $\{1, 2, 3, 6, 7\}$ , both with inherited tree and planar structures. Applying  $(-)^s$  to the inclusion diagram on the left below then yields a diagram as on the right.

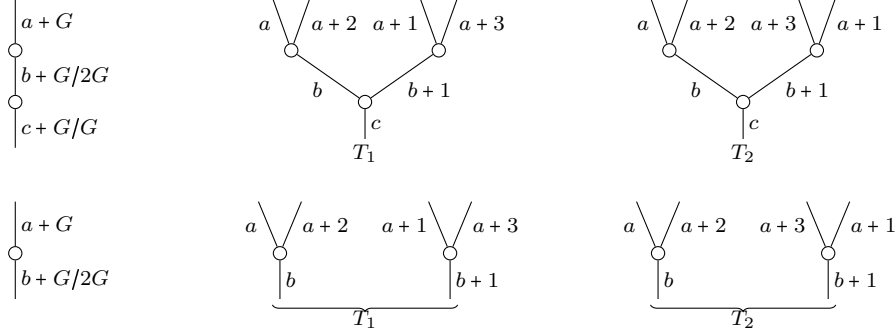


Similarly, let  $\leq_{(12)}$  and  $\leq_{(45)}$  denote alternate planar structures for  $U$  exchanging the orders of the pairs 1, 2 and 4, 5, so that one has objects  $U_{\leq_{(12)}}$ ,  $U_{\leq_{(45)}}$  in  $\Omega^p$ . Applying  $(-)^s$  to the diagram of underlying identities on the left yields the permutation diagram on the right.



**Example 2.20.** An additional reason to leave the use of  $(-)^s$  implicit is that when depicting  $G$ -trees it is preferable to choose edge labels that describe the action rather than the planarization (which is already implicit anyway).

For example, when  $G = \mathbb{Z}/4$ , in both diagrams below the orbital representation on the left represents the isomorphism class consisting of the two trees  $T_1, T_2 \in \Omega_G$  on the right.



**Definition 2.21.** A morphism  $S \xrightarrow{\varphi} T$  in  $\Omega$  that is compatible with the planar structures  $\leq_p$  is called a *planar map*.

More generally, a morphism  $F \rightarrow G$  in the categories  $\Phi, \Phi^G, \Omega^G$  of forests,  $G$ -forests,  $G$ -trees is called a *planar map* if it is an independent map (cf. [2, Def. 5.28]) compatible with the planar structures  $\leq_p$ .

**Remark 2.22.** The need for the independence condition is justified by [2, Lemma 5.33] and its converse, since non independent maps do not reflect  $\leq_d$  inequalities.

We note that in the  $\Omega_G$  case a map  $\varphi$  is independent iff  $\varphi$  does not factor through a non trivial quotient iff  $\varphi$  is injective on each edge orbit.

**Proposition 2.23.** Let  $F \xrightarrow{\varphi} G$  be an independent map in  $\Phi$  (or  $\Omega, \Omega_G, \Phi_G$ ). Then there is a unique factorization

$$F \xrightarrow{\sim} \bar{F} \rightarrow G$$

such that  $F \xrightarrow{\sim} \bar{F}$  is an isomorphism and  $\bar{F} \rightarrow G$  is planar.

*Proof.* We need to show that there is a unique planar structure  $\leq_p^{\bar{F}}$  on the underlying forest of  $F$  making the underlying map a planar map. Simplicity of  $G$  ensures that for any vertex  $e^\dagger \leq e$  of  $F$  the edges in  $\varphi(e^\dagger)$  are all distinct while independence of  $\varphi$  likewise ensures that the edges in  $\varphi(e^\dagger)$  are distinct. The result now follows from (the forest version of) Proposition 2.13: one simply orders each set  $e^\dagger$  and  $\bar{r}_F$  according to its image.

not quite complete... maybe that  $\leq_p$  is the closure of  $\leq_d$  and the vertex relations under transitivity and the planar condition  $\square$

**Remark 2.24.** Proposition 2.23 says that planar structures can be pulled back along independent maps. However, they can not always be pushed forward. As an example, in the notation of (2.17), consider the map  $C \rightarrow T_1$  defined by  $1 \mapsto 1, 2 \mapsto 4, 3 \mapsto 2, 4 \mapsto 5$ .

**Remark 2.25.** Given any tree  $T \in \Omega$  there is a unique corolla  $\text{lr}(T) \in \Sigma$  and planar tall map  $\text{lr}(T) \rightarrow T$ . Explicitly, the number of leaves of  $\text{lr}(T)$  matches that of  $T$ , together with the inherited order.

## 2.2 Outer faces and tall maps

In preparation for our discussion of the substitution operation in §2.3, we now recall some basic notions and results concerning outer subtrees and tree grafting, as in [2, §5].

**Definition 2.26.** Let  $T \in \Omega$  be a tree and  $e_1 \dots e_n = \underline{e} \leq e$  a broad relation in  $T$ .

We define the *planar outer face*  $T_{\underline{e} \leq e}$  to be the subtree with underlying set those edges  $f \in T$  such that

$$f \leq_d e, \quad \forall i e_i \not\leq_d f, \quad (2.27)$$

generating broad relations the relations  $f^\dagger \leq f$  for  $f$  satisfying (2.27) and  $\forall i f \neq e_i$ , and planar structure pulled back from  $T$ .

**Remark 2.28.** If one forgoes the requirement that  $T_{\underline{e} \leq e}$  be equipped with the pullback planar structure, the inclusion  $T_{\underline{e} \leq e} \rightarrow T$  is usually called simply an *outer face*.

We now recap some basic results.

**Proposition 2.29.** *Let  $T \in \Omega$  be a tree.*

- (a)  $T_{\underline{e} \leq e}$  is a tree with root  $e$  and edge tuple  $\underline{e}$ ;
- (b) there is a bijection

$$\{\text{planar outer faces of } T\} \leftrightarrow \{\text{broad relations of } T\};$$

- (c) if  $R \rightarrow S$  and  $S \rightarrow T$  are outer face maps then so is  $R \rightarrow T$ ;
- (d) any pair of broad relations  $\underline{g} \leq v$ ,  $\underline{f}v \leq e$  induces a grafting pushout diagram

$$\begin{array}{ccc} \eta & \xrightarrow{v} & T_{\underline{g} \leq v} \\ v \downarrow & & \downarrow \\ T_{\underline{f}v \leq e} & \longrightarrow & T_{\underline{f}g \leq v} \end{array} \quad (2.30) \quad \boxed{\text{GRATPUSH EQ}}$$

*Proof.* We first show (a). That  $T_{\underline{e} \leq e}$  is indeed a tree is the content of [Pe16b, Prop. 5.20]: more precisely,  $T_{\underline{e} \leq e} = (T^{\leq e})_{< \underline{e}}$  in the notation therein. That the root of  $T_{\underline{e} \leq e}$  is  $e$  is clear and that the root tuple is  $\underline{e}$  follows from [Pe16b, Remark 5.23].

(b) follows from (a), which shows that  $\underline{e} \leq e$  can be recovered from  $T_{\underline{e} \leq e}$ .

(c) follows from the definition of outer face together with [Pe16b, Lemma 5.33], which states that the  $\leq_d$  relations on  $S, T$  coincide.

Since by (c) both  $T_{\underline{g} \leq v}$  and  $T_{\underline{f}v \leq e}$  are outer faces of  $T_{\underline{f}g \leq v}$ , (d) is a restatement of [Pe16b, Prop. 5.15].  $\square$

**Definition 2.31.** A map  $S \xrightarrow{\varphi} T$  in  $\Omega$  is called a *tall map* if

$$\varphi(l_S) = l_T, \quad \varphi(r_S) = r_T,$$

where  $l_{(-)}$  denotes the leaf tuple and  $r_{(-)}$  the root.

The following is a restatement of [Pe16b, Cor. 5.24]

**Proposition 2.32.** *Any map  $S \xrightarrow{\varphi} T$  in  $\Omega$  has a factorization, unique up to unique isomorphism,*

$$S \xrightarrow{\varphi^t} U \xrightarrow{\varphi^u} T$$

as a tall map followed by an outer face (in fact,  $U = T_{\varphi(l_S) \leq r_S}$ ).

We recall that a face  $F \rightarrow T$  is called inner if it is obtained by iteratively removing inner edges, i.e. edges other than the root or the leaves. In particular, it follows that a face is inner iff it is tall. The usual face-degeneracy decomposition thus combines with Corollary 2.32 to give the following.

**Corollary 2.33.** *Any map  $S \xrightarrow{\varphi} T$  in  $\Omega$  has a factorization, unique up to unique isomorphisms,*

$$S \xrightarrow{\varphi^-} U \xrightarrow{\varphi^i} V \xrightarrow{\varphi^u} T \quad (2.34) \quad \boxed{\text{TRIPLEFACT EQ}}$$

as a degeneracy followed by an inner face followed by an outer face.

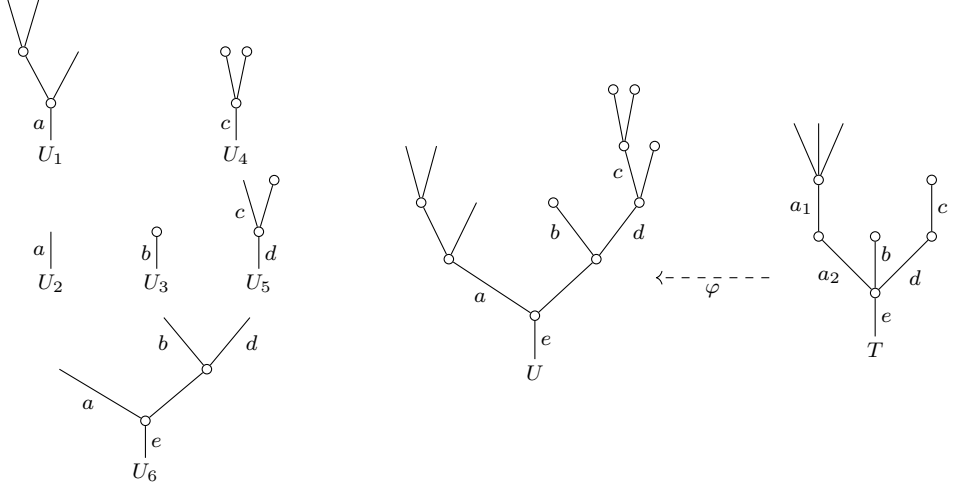
*Proof.* The factorization (2.34) can be built by first performing the degeneracy-face decomposition and then performing the tall-outer decomposition on the face map.  $\square$

## 2.3 Substitution

One of the key ideas needed to describe operads is that of substitution of tree nodes, a process that we will prefer to repackage in terms of maps of trees. We start by discussing an example, focusing on the related notion of iterated graftings of trees (as described in (2.30)).

**Example 2.35.** The trees  $U_1, U_2, \dots, U_6$  on the left below can be grafted into the tree  $U$  in the middle. More precisely (among other possible grafting orders), one has

$$U = (((((U_6 \sqcup_a U_2)) \sqcup_a U_1) \sqcup_b U_3) \sqcup_d U_5) \sqcup_c U_4) \quad (2.36) \quad \text{UFORMULA EQ}$$

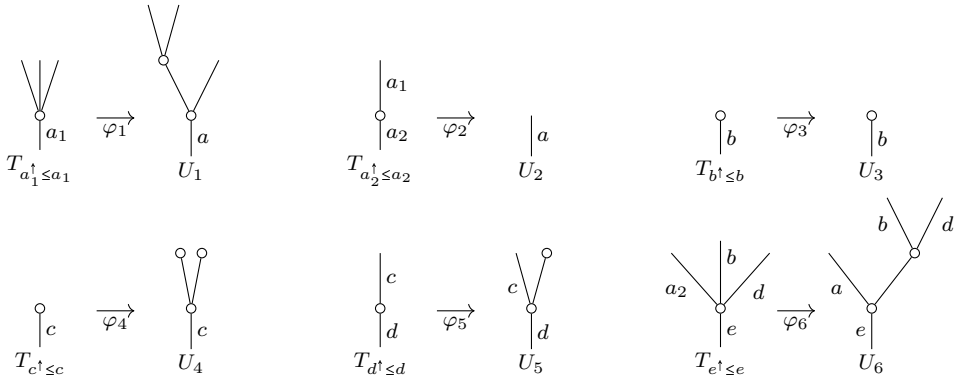


(2.37)

SUBSDATUMTREES EQ

We now consider the tree  $T$ , which is built by converting each  $U_i$  into the corolla  $\text{Ir}(U_i)$  (cf. Remark 2.25), and then performing the same grafting operations as in (2.36).  $T$  can then be regarded as encoding the combinatorics of the iterated grafting in (2.36), with alternative ways to reorder operations in (2.36) in bijection with ways to assemble  $T$  out of its nodes.

One can now therefore think of the iterated grafting (2.36) as being instead encoded by the tree  $T$  together with the (unique) planar tall maps  $\varphi_i$  below.



(2.38)

SUBSDATUMTREES2 EQ

From this perspective,  $U$  can now be thought as obtained from  $T$  by substituting each of its nodes with the corresponding  $U_i$ . Moreover, the  $\varphi_i$  assemble to a planar tall map  $\varphi: T \rightarrow U$  (such that  $a_i \mapsto a, b \mapsto b, \dots, e \mapsto e$ ), which likewise encodes the same information.

Our perspective will then be that data for substitution of tree nodes such as in (2.38) can equivalently be repackaged using planar tall maps.

**Definition 2.39.** Let  $T \in \Omega$  be a tree.

A  $T$ -substitution datum is a tuple  $\{U_{e^\dagger \leq e}\}_{(e^\dagger \leq e) \in V(T)}$  such that  $\text{lr}(U_{e^\dagger \leq e}) = T_{e^\dagger \leq e}$ .

Further, a map of  $T$ -substitution data  $\{U_{e^\dagger \leq e}\} \rightarrow \{V_{e^\dagger \leq e}\}$  is a tuple of planar tall maps  $\{U_{e^\dagger \leq e} \rightarrow V_{e^\dagger \leq e}\}$ .

**Definition 2.40.** Let  $T \in \Omega$ .

The *Segal core poset*  $\mathbf{Sc}(T)$  is the poset with objects the edge subtrees  $\eta_e$  and vertex subtrees  $T_{e^\dagger \leq e}$ . The order relation is given by inclusion.

**Remark 2.41.** Note that the only maps in  $\mathbf{Sc}(T)$  are inclusions of the form  $\eta_a \subset T_{e^\dagger \leq e}$ . In particular, there are no pairs of composable non-identity relations in  $\mathbf{Sc}(T)$ .

Given a  $T$ -substitution datum  $\{U_{\{e^\dagger \leq e\}}\}$  we abuse notation by writing

$$U_{(-)}: \mathbf{Sc}(T) \rightarrow \Omega$$

for the functor  $\eta_a \mapsto \eta$ ,  $T_{e^\dagger \leq e} \mapsto U_{e^\dagger \leq e}$  and sending the inclusions  $\eta_a \subset T_{e^\dagger \leq e}$  to the composites

$$\eta \xrightarrow{a} T_{e^\dagger \leq e} = \text{lr}(U_{e^\dagger \leq e}) \rightarrow U_{e^\dagger \leq e}.$$

**Proposition 2.42.** Let  $T \in \Omega$  be a tree. There is an isomorphism of categories

$$\begin{aligned} \mathbf{Sub}(T) &\xrightleftharpoons{\quad} \Omega_{T|}^{\text{pt}} \\ \{U_{e^\dagger \leq e}\} &\longmapsto (T \rightarrow \text{colim}_{\mathbf{Sc}(T)} U_{(-)}) \\ \{U_{\varphi(e^\dagger) \leq \varphi(e)}\} &\longleftarrow (T \xrightarrow{\varphi} U) \end{aligned} \tag{2.43}$$

Where  $\mathbf{Sub}(T)$  denotes the category of  $T$ -substitution data and  $\Omega_{T|}^{\text{pt}}$  the category of planar tall maps under  $T$ .

*Proof.* We first claim that (i) the  $\text{colim}_{\mathbf{Sc}(T)} U_{(-)}$  indeed exists; (ii) for the canonical datum  $\{T_{e^\dagger \leq e}\}$ , it is  $T = \text{colim}_{\mathbf{Sc}(T)} T_{(-)}$ ; (iii) the induced map  $T \rightarrow \text{colim}_{\mathbf{Sc}(T)} U_{(-)}$  is planar tall.

The argument is by induction on the number of vertices of  $T$ , with the base cases of  $T$  with 0 or 1 vertices being immediate, since then  $T$  is the terminal object of  $\mathbf{Sc}(T)$ . Otherwise, one can choose a non trivial grafting decomposition so as to write  $T = R \mathfrak{u}_e S$ , resulting in identifications  $\mathbf{Sc}(R) \subset \mathbf{Sc}(T)$ ,  $\mathbf{Sc}(S) \subset \mathbf{Sc}(T)$  so that  $\mathbf{Sc}(R) \cup \mathbf{Sc}(S) = \mathbf{Sc}(T)$  and  $\mathbf{Sc}(R) \cap \mathbf{Sc}(S) = \{\eta_e\}$ . The existence of  $\text{colim}_{\mathbf{Sc}(T)} U_{(-)}$  is thus equivalent to the existence of the pushout below.

$$\begin{array}{ccc} \eta & \longrightarrow & \text{colim}_{\mathbf{Sc}(R)} U_{(-)} \\ \downarrow & & \downarrow \\ \text{colim}_{\mathbf{Sc}(S)} U_{(-)} & \dashrightarrow & \text{colim}_{\mathbf{Sc}(T)} U_{(-)} \end{array} \tag{2.44}$$

By induction, the top right and bottom left colimits exist for any  $U_{(-)}$ , equal  $R$  and  $S$  in the case  $U_{(-)} = T_{(-)}$ , and the maps  $R \rightarrow \text{colim}_{\mathbf{Sc}(R)} U_{(-)}$ ,  $S \rightarrow \text{colim}_{\mathbf{Sc}(S)} U_{(-)}$  are planar tall. But it now follows that (2.44) is a grafting pushout diagram, so that the pushout indeed exists. The conditions that  $T = \text{colim}_{\mathbf{Sc}(T)} T_{(-)}$  and  $T \rightarrow \text{colim}_{\mathbf{Sc}(T)} U_{(-)}$  is planar tall follow.

The fact that the two functors in (2.43) are inverse to each other is clear by the same inductive argument.  $\square$

### 3 The genuine equivariant operad monad

We now turn to the task of building the monad encoding genuine equivariant operads.



### 3.1 Wreath product over finite sets

In what follows we will let  $\mathbf{F}$  denote the usual skeleton of the category of finite sets and all set maps. Explicitly, its objects are the finite sets  $\{1, 2, \dots, n\}$  for  $n \geq 0$ . However, much as in the discussion in Convention 2.18 we will often find it more convenient to regard the elements of  $\mathbf{F}$  as equivalence classes of finite sets equipped with total orders.

**Definition 3.1.** For a category  $\mathcal{C}$ , we let  $\mathbf{F} \wr \mathcal{C}$  denote the opposite of the Grothendieck construction for the functor

$$\begin{aligned} \mathbf{F}^{op} &\longrightarrow \mathbf{Cat} \\ I &\longmapsto \mathcal{C}^I \end{aligned}$$

Explicitly, the objects of  $\mathbf{F} \wr \mathcal{C}$  are tuples  $(c_i)_{i \in I}$  and a map  $(c_i)_{i \in I} \rightarrow (d_j)_{j \in J}$  consists of a pair

$$(\phi: I \rightarrow J, (f_i: c_i \rightarrow d_{\phi(i)})_{i \in I}),$$

henceforth abbreviated as  $(\phi, (f_i))$ .

The following is immediate.

**Proposition 3.2.** Suppose  $\mathcal{C}$  has all finite coproducts. One then has a functor as on the left below. Dually, if  $\mathcal{C}$  has all finite products, one has a functor as on the right below.

$$\begin{array}{ccc} \mathbf{F} \wr \mathcal{C} & \xrightarrow{\Pi} & \mathcal{C} \\ (c_i)_{i \in I} & \longmapsto & \coprod_{i \in I} c_i \end{array} \qquad \begin{array}{ccc} (\mathbf{F} \wr \mathcal{C}^{op})^{op} & \xrightarrow{\Pi} & \mathcal{C} \\ (c_i)_{i \in I} & \longmapsto & \prod_{i \in I} c_i \end{array}$$

**Lemma 3.3.** Suppose that  $\mathcal{E}$  is a bicomplete category such that coproducts commute with limits in each variable. If the leftmost diagram

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\ k \downarrow & \nearrow \eta & \uparrow G \\ \mathcal{D} & & \end{array} \qquad \begin{array}{ccccc} \mathbf{F} \wr \mathcal{C} & \xrightarrow{\mathbf{F} \wr F} & \mathbf{F} \wr \mathcal{E} & \xrightarrow{\Pi} & \mathcal{E} \\ \mathbf{F} \wr k \downarrow & \nearrow \mathbf{F} \wr \eta & \nearrow \mathbf{F} \wr G & \searrow \Pi \circ \mathbf{F} \wr G & \\ \mathbf{F} \wr \mathcal{D} & & & & \end{array} \quad (3.4) \quad \boxed{\text{WRRAN EQ}}$$

is a right Kan extension diagram then so is the composite of the rightmost diagram.

Dually, if in  $\mathcal{E}$  products commute with colimits in each variable, and the leftmost diagram

$$\begin{array}{ccc} \mathcal{C}^{op} & \xrightarrow{F} & \mathcal{E} \\ k \downarrow & \nearrow \epsilon & \uparrow G \\ \mathcal{D}^{op} & & \end{array} \qquad \begin{array}{ccccc} (\mathbf{F} \wr \mathcal{C})^{op} & \xrightarrow{(\mathbf{F} \wr F)^{op}} & (\mathbf{F} \wr \mathcal{E})^{op} & \xrightarrow{\Pi} & \mathcal{E} \\ (\mathbf{F} \wr k)^{op} \downarrow & \nearrow & \nearrow (\mathbf{F} \wr G)^{op} & \searrow \Pi \circ (\mathbf{F} \wr G)^{op} & \\ (\mathbf{F} \wr \mathcal{D})^{op} & & & & \end{array} \quad (3.5) \quad \boxed{\text{WRLAN EQ}}$$

is a left Kan extension diagram then so is the composite of the rightmost diagram.

*Proof.* Unpacking definitions using the pointwise formula for Kan extensions ( $\boxed{\text{McL [I, X.3.1]}}$ ), the claim concerning (3.4) amounts to showing that for each  $(d_i) \in \mathbf{F} \wr \mathcal{D}$  one has natural isomorphisms

$$\lim_{((d_i) \rightarrow (kc_j)) \in ((d_i) \downarrow \mathbf{F} \wr \mathcal{C})} \left( \coprod_j F(c_j) \right) \simeq \prod_i \lim_{(d_i \rightarrow kc_i) \in d_i \downarrow \mathcal{C}} (F(c_i)). \quad (3.6) \quad \boxed{\text{POINTKAN EQ}}$$

Noting that the canonical factorizations of each  $(\varphi, (f_i)): (d_i)_{i \in I} \rightarrow (kc_j)_{j \in J}$  as

$$(d_i)_{i \in I} \rightarrow (c_{\phi(i)})_{i \in I} \rightarrow (kc_j)_{j \in J}$$

exhibit  $\prod_i (d_i \downarrow \mathcal{C})$  as a coreflexive subcategory of  $(d_i) \downarrow \mathbf{F} \wr \mathcal{C}$ , we see that it is an initial subcategory. Therefore

$$\lim_{((d_i) \rightarrow (kc_j)) \in ((d_i) \downarrow \mathbf{F} \wr \mathcal{C})} \left( \coprod_j F(c_j) \right) \simeq \lim_{((d_i) \rightarrow (kc_i)) \in \prod_i (d_i \downarrow \mathcal{C})} \left( \coprod_i F(c_i) \right)$$

and hence <sup>POINTKAN EQ</sup> (3.6) now follows from the assumption that coproducts commute with limits in each variable.  $\square$

**Notation 3.7.** Using the coproduct functor  $F^{i2} = F^{i\{0,1\}} = F \wr F \xrightarrow{\sqcup} F$  (where  $\coprod_{i \in I} J_i$  is ordered lexicographically) and the simpleton  $\{1\} \in F$  one can regard the collection of categories  $F^{i\{0,\dots,n\}} \wr \mathcal{C} = F^{in} \wr \mathcal{C}$  as a coaugmented cosimplicial object in  $\mathbf{Cat}$ . As such, we will denote by

$$\delta^i: F^{in-1} \wr \mathcal{C} \rightarrow F^{in} \wr \mathcal{C}, \quad 0 \leq i \leq n$$

the cofaces obtained by inserting simpletons  $\{1\} \in F$  and by

$$\sigma^i: F^{in+1} \wr \mathcal{C} \rightarrow F^{in} \wr \mathcal{C}, \quad 0 \leq i \leq n$$

the codegeneracies obtained by applying the coproduct  $F^{i2} \xrightarrow{\sqcup} F$  to adjacent  $F$  coordinates.

### 3.2 Equivariant leaf-root and vertex functors

**Definition 3.8.** A morphism  $T \xrightarrow{\varphi} S$  in  $\Omega_G$  is called a *quotient* if the underlying morphism of forests

$$\coprod_{[g] \in G/H} T_{[g]} \rightarrow \coprod_{[h] \in G/K} S_{[h]}$$

maps each tree component (or, equivalently, some tree component) isomorphically onto its image component.

We denote the subcategory of  $G$ -trees and quotients by  $\Omega_G^q$ .

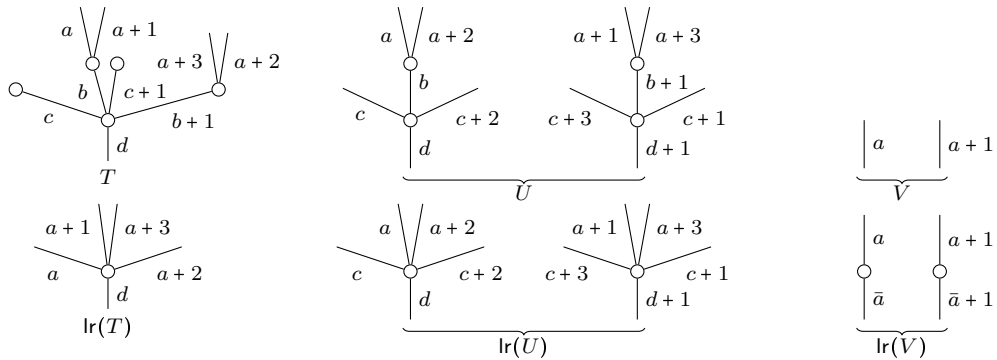
**Definition 3.9.** The  *$G$ -symmetric category*, which we will also call the *category of  $G$ -corollas*, is the full subcategory  $\Sigma_G \subset \Omega_G^q$  of those  $G$ -trees that are corollas, i.e.  $G$ -trees such that each edge is either a root or a leaf (but not both).

**Definition 3.10.** The *leaf-root functor* is the functor  $\Omega_G^q \xrightarrow{\text{lr}} \Sigma_G$  defined by

$$\text{lr}(T) = \{\text{leaves of } T\} \sqcup \{\text{roots of } T\}$$

with a broad relation  $l_1 \dots l_n \leq r$  holding in  $\text{lr}(T)$  iff its image holds in  $T$  and similarly for the planar structure  $\leq_p$ .

**Remark 3.11.** Generalizing Remark 2.25, <sup>UNIQCOR REM</sup>  $\text{lr}(T)$  can alternatively be characterized as being the *unique*  $G$ -corolla which admits an also unique (tree-wise) tall planar map  $\text{lr}(T) \rightarrow T$ . Moreover,  $\text{lr}(T)$  can usually be regarded as the “smallest inner face” of  $T$ , obtained by removing all the inner edges, although this characterization fails when  $T = G \cdot_H \eta$  is a stick  $G$ -tree. Some examples with  $G = \mathbb{Z}/4$  follow.



**Remark 3.12.** One consequence <sup>PULLPLANAR REM</sup> of the fact that planarizations can not be pushed forward along tree maps (cf. Remark 2.24) is that  $\text{lr}: \Omega_G^q \rightarrow \Sigma_G$  is not a categorical fibration. **maybe add to this.**

VG DEF

**Definition 3.13.** Given  $T \in \Omega_G$  we define the set  $V_G(T)$  of  $G$ -vertices of  $T$  to be the orbit set  $V(T)/G$ , i.e. the quotient of the vertex set  $V(T)$  by its  $G$ -action.

Furthermore, we will regard  $V_G(T)$  as an object in  $\mathbf{F}$  by equipping it with its lexicographic order: i.e. vertex equivalence classes  $[e^\dagger \leq e]$  are ordered according to the planar order  $\leq_p$  of the smallest representative  $ge$ ,  $g \in G$ .

Note that each element of  $V_G(T)$  corresponds to a unique edge orbit  $Ge$  for  $e$  not a leaf. As such, we will represent the corresponding  $G$ -vertex by  $v_{Ge} = (Ge)^\dagger \leq Ge$  (which we interpret as the concatenation of the relations  $f^\dagger \leq f$  for  $f \in Ge$ ) and write

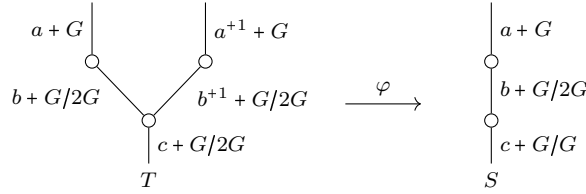
$$T_{v_{Ge}} = T_{(Ge)^\dagger \leq Ge} = \coprod_{f \in Ge} T_{f^\dagger \leq f}.$$

We note that  $T_{v_{Ge}}$  is always a  $G$ -corolla. Indeed, noting that a quotient map  $\varphi: T \rightarrow S$  induces quotient maps  $T_{v_{Ge}} \rightarrow S_{v_{G\varphi(e)}}$  one obtains a functor

$$\begin{aligned} \Omega_G^a &\xrightarrow{V_G} \mathbf{F} \wr \Sigma_G \\ T &\longmapsto (T_{v_{Ge}})_{v_{Ge} \in V_G(T)}. \end{aligned} \tag{3.14}$$

VFUNCTOR EQ

**Remark 3.15.** The need to introduce the  $\mathbf{F} \wr \mathcal{C}$  categories comes from the fact that general quotient maps do not preserve the number of  $G$ -vertices. For a simple example, let  $G = \mathbb{Z}/4$  and consider the quotient map



sending edges labeled  $a, b, c$  to the edges with the same name and the edges  $a^{+1}, b^{+1}$  to the edges  $a + 1, b + 1$ . We note that  $T$  has three  $G$ -vertices  $v_{Ge}, v_{Gb}, v_{Gb+1}$  while  $S$  has only two  $G$ -vertices  $v_{Ge}$  and  $v_{Gb}$ .  $V(\phi)$  then maps the two corollas  $T_{v_{Gb}}$  and  $T_{v_{Gb+1}}$  isomorphically onto  $T_{S_{Gb}}$  and the corolla  $T_{v_{Ge}}$  non-isomorphically onto  $S_{v_{Ge}}$ .

Definition 2.39 now immediately generalizes.

**Definition 3.16.** Let  $T \in \Omega_G$  be a  $G$ -tree.

A  $T$ -substitution datum is a tuple  $\{U_{e^\dagger \leq e}\}_{(e^\dagger \leq e) \in V_G(T)}$  such that  $\text{lr}(U_{e^\dagger \leq e}) = T_{e^\dagger \leq e}$ .

Further, a map of  $T$ -substitution data  $\{U_{e^\dagger \leq e}\} \rightarrow \{V_{e^\dagger \leq e}\}$  is a tuple of planar tall maps  $\{U_{e^\dagger \leq e} \rightarrow V_{e^\dagger \leq e}\}$ .

**Remark 3.17.** To establish the equivariant analogue of Proposition 2.42 we will prefer to repackage equivariant substitution data in terms of non-equivariant ones.

Indeed, letting  $G \ltimes V(T)$  denote the Grothendieck construction for the action of  $G$  on the non-equivariant vertices  $V(T)$  (often called the action groupoid), it is immediate that an equivariant  $T$ -substitution datum is the same as a functor  $G \ltimes V(T) \rightarrow \Omega$  whose restriction to  $V(T) \subset G \ltimes V(T)$  is a (non-equivariant) substitution datum.

**Proposition 3.18.** Let  $T \in \Omega_G$  be a  $G$ -tree. There is an isomorphism of categories

$$\begin{aligned} \text{Sub}(T) &\xleftrightarrow{\quad} \Omega_{G,T}^{\text{pt}} \\ \{U_{e^\dagger \leq e}\} &\longmapsto (T \rightarrow \text{colim}_{\text{Sc}(T)} U_{(-)}) \end{aligned} \tag{3.19}$$

SUBDATAUNDERPLANG EQ

*Proof.* This is a minor adaptation of the non-equivariant analogue Proposition 3.18. Since  $\text{Sc}(T)$  inherits a  $G$ -action, one can form the Grothendieck construction  $G \ltimes \text{Sc}(T)$  and by Remark 3.17 equivariant substitution data  $\{U_{e \vdash e}\}$  therefore induce functors  $U_{(-)}: G \ltimes \text{Sc}(T) \rightarrow \Omega$ . It is then immediate that  $\text{colim}_{\text{Sc}(T)} U_{(-)}$  inherits a  $G$ -action, provided it exists. The only subtle point is then that, since  $\text{Sc}(T)$  is now a disconnected poset, this colimit is to be interpreted as taken in the category  $\Phi$  of forests rather than in  $\Omega$ .  $\square$

### 3.3 Planar strings

The leaf-root and vertex functors will allow us reinterpret our results concerning substitution.

**Definition 3.20.** The category  $\Omega_{G,n}$  of *substitution  $n$ -strings* is the category whose objects are strings

$$T_0 \xrightarrow{f_1} T_1 \xrightarrow{f_2} \dots \xrightarrow{f_n} T_n ,$$

where  $T_i \in \Omega_G$  and the  $f_i$  are tall planar maps, and arrows are commutative diagrams

$$\begin{array}{ccccccc} T_0 & \xrightarrow{f_1} & T_1 & \xrightarrow{f_2} & \dots & \xrightarrow{f_n} & T_n \\ q_0 \downarrow & & q_1 \downarrow & & & & q_n \downarrow \\ T'_0 & \xrightarrow{f'_1} & T'_1 & \xrightarrow{f'_2} & \dots & \xrightarrow{f'_n} & T'_n \end{array} \quad (3.21) \quad \text{PTNARROW EQ}$$

where each  $q_i$  is a quotient map.

**Notation 3.22.** Since compositions of planar tall arrows are planar tall and identity arrows are planar tall it follows that  $\Omega_{G,\bullet}$  forms a simplicial object in  $\mathbf{Cat}$ , with faces given by composing and degeneracies by inserting identities.

Noting that  $\Omega_{G,0} = \Omega_G^q$  and setting  $\Omega_{G,-1} = \Sigma_G$ , the leaf-root functor  $\Omega_G^q \xrightarrow{\text{lr}} \Sigma_G$  makes  $\Omega_{G,\bullet}^q$  into an augmented simplicial object and, furthermore, the maps  $s_{-1}: \Omega_{G,n}^q \rightarrow \Omega_{G,n+1}^q$  sending  $T_0 \rightarrow T_1 \rightarrow \dots \rightarrow T_n$  to  $\text{lr}(T_0) \rightarrow T_0 \rightarrow T_1 \rightarrow \dots \rightarrow T_n$  equip it with extra degeneracies.

**Notation 3.23.** We extend the vertex functor to a functor  $V_G: \Omega_{G,n+1} \rightarrow \mathbf{F} \wr \Omega_{G,n}$  by

$$V_G(T_0 \rightarrow T_1 \rightarrow \dots \rightarrow T_n) = (V_G(T_0), (T_1, v_{Ge} \rightarrow \dots \rightarrow T_n, v_{Ge})_{v_{Ge} \in V_G(T_0)})$$

where we abuse notation by writing  $T_{i,v_{Ge}}$  for  $T_{i,(f_i \circ \dots \circ f_1)(v_{Ge})}$ .

The following is a reinterpretation of Proposition 3.18.

**Proposition 3.24.** *The diagram*

$$\begin{array}{ccc} \Omega_{G,n+1} & \xrightarrow{V_G} & \mathbf{F} \wr \Omega_{G,n} \\ d_{1,\dots,n+1} \downarrow & & \downarrow \text{Fid}_{0,\dots,n} \\ \Omega_{G,0} & \xrightarrow{V_G} & \mathbf{F} \wr \Sigma_G \end{array} \quad (3.25) \quad \text{PTPULL EQ}$$

is a pullback diagram in  $\mathbf{Cat}$ .

*Proof.* An object in the pullback (3.25) over  $T \in \Omega_{G,0} = \Omega_G^q$  is precisely the same as a  $n$ -string in  $\text{Sub}(T)$ , and thus by Proposition 3.18

HERE

When  $n = 0$ , the fact that the pull-back in the diagram (3.25) has the same objects as  $\text{PT}_{G,1}$  follows by Proposition 3.24 together with the identification in Lemma 3.23: indeed, there are always canonical maps  $T \rightarrow T \wr U$  which are planar if  $U$  is planar and the processes of converting a planar tall map  $T \rightarrow T'$  into a planar datum and vice versa are clearly inverse

to each other. Furthermore, in the non equivariant case, it is clear that a commutative diagram

$$\begin{array}{ccc} T & \longrightarrow & T \wr U \\ \varphi \downarrow & & \downarrow \bar{\varphi} \\ T' & \longrightarrow & T' \wr U' \end{array}$$

with the horizontal maps planar tall and the vertical maps isomorphisms is uniquely determined by  $\varphi$  together with compatible restricted isomorphisms  $\bar{\varphi}: U(T_{e' \leq e}) \rightarrow U'(T'_{\varphi(e' \leq e)})$ . For the equivariant case, the argument above immediately applies to quotients of forests and the further claim that equivariant maps of substitution data correspond to equivariant maps of trees follows by Proposition 3.26.  $\square$

DSCOM REM

**Remark 3.26.** The diagrams

$$\begin{array}{ccc} \Omega_{G,n+2}^q & \longrightarrow & F \wr \Omega_{G,n+1}^q \\ \downarrow & \searrow d_{i+1} & \downarrow Fd_i \\ & \Omega_{G,n+1}^q & \longrightarrow F \wr \Omega_{G,n}^q \\ \downarrow & \swarrow & \downarrow \\ \Omega_G^q & \longrightarrow & F \wr \Sigma_G \end{array} \quad \begin{array}{ccc} \Omega_{G,n+1}^q & \longrightarrow & F \wr \Omega_{G,n}^q \\ \downarrow & \searrow s_i & \downarrow F s_{i-1} \\ & \Omega_{G,n+2}^q & \longrightarrow F \wr \Omega_{G,n+1}^q \\ \downarrow & \swarrow & \downarrow \\ \Omega_G^q & \longrightarrow & F \wr \Sigma_G \end{array}$$

commute whenever defined (i.e.  $0 \leq i \leq n+1$ ).

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