# **Semiconductor Statistical Mechanics (Read Kittel Ch. 8)**

Conduction band occupation density:

$$n = \int_{E}^{\infty} f(E)g(E)dE$$

f(E) - occupation probability - Fermi-Dirac function:



g(E) - density of states / unit volume.

For an isotropic, parabolic band, generalize free-electron theory:

$$g(E) = \frac{1}{2\pi^2} \left(\frac{2m_e^*}{\hbar^2}\right)^{3/2} (E - E_c)^{1/2}$$

$$\therefore n = \frac{1}{2\pi^2} \left(\frac{2m_e^*}{\hbar^2}\right)^{3/2^{\infty}} \int_{0}^{\frac{\epsilon^{1/2} d\epsilon}{1 + \exp[(\epsilon - E_F + E_c)/kT]}}$$

where  $\varepsilon \equiv E - E_c$ . Define dimensionless variables:

$$\eta = \frac{\varepsilon}{kT} \quad \eta_c = \frac{E_c}{kT} \quad \mu = \frac{E_F}{kT}$$

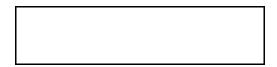
$$n = \frac{1}{2\pi^2} \left(\frac{2m_e^* kT}{\hbar^2}\right)^{3/2\infty} \int_0^{\pi/2} \frac{\eta^{1/2} d\eta}{1 + \exp(\eta - \mu + \eta_c)}$$

$$\equiv N_c F_{1/2}(\mu - \eta_c)$$

"Fermi-Dirac integrals" (tabulated in <u>Semiconductor Statistics</u>, J.S. Blakemore, Pergamon, 1962)

$$F_n(x) = \frac{2}{\sqrt{\pi}} \int_{0}^{\infty} \frac{z^n dz}{1 + \exp(z - x)}$$

"effective density of states":



Recall the discussion of degenerate / non-degenerate Fermi-gas.  $N_C$  is  $\cong$  density for the degenerate case.

Some numbers:

For Si,  $m_e^* = 1.18 m_o$  ("density of states" mass);  $N_c = 2.8 \times 10^{19} cm^{-3}$  at 300K.

For GaAs, 
$$m_e^* = 0.067 m_o$$
;  $N_c = 4.3 \times 10^{17} cm^{-3}$  at 300K  
=  $6.6 \times 10^{14} cm^{-3}$  at 4K

## Anisotropic bands

lensity of states mass:	
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v = degeneracy factor - # of equivalent CB valleys

= 6 in Si

= 1 in GaAs

### Maxwell-Boltzmann approximation

If  $E_F$  is well inside band-gap (non-degenerate case):  $E_c - E_F \gg kT$ , then the Fermi function  $\to$  Boltzmann factor

$$F_n(x) \cong \frac{2}{\sqrt{\pi}} \int_0^\infty z^n e^{x-z} dz = e^x$$
 for  $n = \frac{1}{2}$ 



This expression can be interpreted as if there are  $N_{\mathcal{C}}$  states all located at band edge.

<u>Holes</u>: use the distribution for empty states:

$$f_{p}(E) = 1 - f_{FD}(E) = \frac{1}{1 + \exp[(E_{F} - E)/kT]}$$

$$p = \int_{-\infty}^{E_{v}} g(E)[1 - f_{FD}(E)]dE$$

$$p = N_{V}F_{1/2}(\eta_{V} - \mu) \qquad \eta_{V} = \frac{E_{V}}{kT}$$

$$N_{V} = \frac{1}{4} \left(\frac{2m_{h}^{*}kT}{\pi\hbar^{2}}\right)^{3/2}$$

Maxwell-Boltzmann approx:

Intrinsic case (pure semiconductor, no doping)

charge neutrality:  $n = p = n_i$ 

$$N_c F_{1/2} \left( \frac{E_F - E_c}{kT} \right) = N_V F_{1/2} \left( \frac{E_V - E_F}{kT} \right)$$

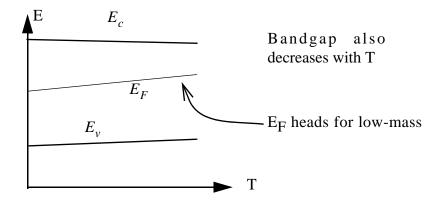
The intrinsic case is nearly always non-degenerate, so we can write:

Now, take the log of both sides, and solve for  $E_F$ :

$$E_F = \frac{E_c + E_V}{2} + \frac{kT}{2} \ln \frac{N_V}{N_c}$$



 $\rightarrow E_F$  is near midgap.  $E_F$  is exactly at midgap at T=0.



For high enough T, large mass ratio, can get "high temperature degeneracy. Examples: InSb, InAs above ~ 400K.

The intrinsic carrier densities are independent of  $E_F$ .

$$=N_cN_Ve^{-E_G/kT}$$
  $E_G$ : energy gap

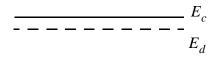
$$n_{i} = \sqrt{N_{c}N_{V}}e^{-E_{G}/2kT}$$

$$= \frac{1}{4}(m_{e}^{*}m_{h}^{*})^{3/4}(\frac{2kT}{\pi\hbar^{2}})^{3/2}e^{-E_{G}/2kT}$$

Measurement of  $n_i$  vs. T can be used to determine  $E_G$ .

# Extrinsic case (doped semiconductors)

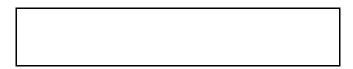
"shallow impurities"  $E_D$  or  $E_A$  close to band edges. Easily "ionized" at RT



II	III	IV	V	VI
	В	С	N	О
	Al	Si	P	S
Zn	Ga	Ge	As	Se
Cd	In	Sn	Sb	Te

GaAs dopants				<u>Si dopants</u>			
	$E_d$ (meV)	$E_a(\text{meV})$	_		$E_d$ (meV)	$E_a(\text{meV})$	
S	6			P	44		
Se	6			As	49		
Te	6			Sb	39		
Si	6	36		В		45	
Ge	6	40		Al		69	
Sn	6	171		Ga		73	
C	6	26		In		160	
Zn		31					
Be		28					

Notice that these ionization energies are very similar. This suggests a simple hydrogenic model:



For Si,  $m_e^* = 0.74 m_0$  (mobility mass),  $\varepsilon = 11.9 \varepsilon_0$ . So in this model,  $E_d = 71$  meV.

For GaAs,  $m_e^* = 067 m_0$ ,  $\epsilon = 13.1 \epsilon_o$ , so  $E_d = 5$  meV.

In general, we may have both donors & acceptors.

#### Complete ionization case

Charge neutrality:

$$N_d - N_a = n - p$$

For the non-degenerate case still holds.

$$n = N_d - N_a + \frac{n_i^2}{n}$$

Solve this quadratic equation for *n*:

$$n = \frac{N_d - N_a}{2} \left[ 1 + \sqrt{1 + 4 \frac{n_i^2}{(N_d - N_a)^2}} \right]$$

Similarly:

$$p = \frac{N_d - N_a}{2} \left[ \sqrt{1 + 4 \frac{n_i^2}{(N_d - N_a)^2}} - 1 \right]$$

For  $N_d - N_a \gg n_i$ :

# **Statistical Mechanics for Donors & Acceptors**

#### **Incomplete ionization**

Remove assumption of complete ionization of the dopants. Find the temperature dependence of  $n, p, E_F$ 

For simplicity, consider n-type case, donors only  $N_a=0$ . Can generalize later.

 $N_{di}$  - density of ionized donors

 $N_{dn}$  - density of neutral donors

 $N_d$  - total density of donors

Assume 1 electronic state per donor atom.

$$N_{dn} = N_d \frac{1}{1 + \exp[(E_d - E_f)/kT]}$$

$$N_{di} = N_d - N_{dn} = \frac{N_d \exp[(E_d - E_F)/kT]}{1 + \exp[(E_d - E_F)/kT]}$$

then,

If the donor states have degeneracies,  $g_i$ ,  $g_n$  (i.e. spin), then this expression is modified to:

$$\frac{N_{di}}{N_{dn}} = \frac{g_i}{g_n} \exp[(E_d - E_F)/kT]$$

$$N_{dn} = \frac{N_d}{1 + \frac{g_i}{g_n} \exp[(E_d - E_F)/kT]}$$

For a simple monovalent donor

For acceptors, the analogous expression is:

$$N_{an} = \frac{N_a}{1 + \frac{g_n}{g_i} \exp\left[-(E_a - E_F)/kT\right]}$$

What we want to do is determine the free carrier density: (non degenerate statistics)

$$N_{di} = n - p \cong n$$
, (assuming n-type:  $n > p$ )

let 
$$\eta_d = E_d/kT$$
;  $\eta_c = E_c/kT$ ;  $\mu = E_F/kT$ 

$$n = N_d - N_{dn} = N_d - \frac{N_d}{1 + \frac{1}{2}e^{\eta_d - \mu}}$$

Eliminate  $\mu$  by using  $n = N_c e^{\mu - \eta_c}$ .

$$e^{\mu - \eta_d} = e^{\mu - \eta_d} \left( \frac{n}{N_c e^{\mu - \eta_c}} \right) = e^{\eta_c - \eta_d} \frac{n}{N_c}$$

SO

$$n = \frac{N_d}{1 + 2\frac{n}{N_c}e^{\eta_c - \eta_d}}$$

which is a quadratic equation for n. The solution is:

$$n = \frac{N_c}{4}e^{-(\eta_c - \eta_d)} \left[ -1 \pm \sqrt{1 + 8\frac{N_d}{N_c}e^{\eta_c - \eta_d}} \right]$$
- root is unphysical

To gain physical insight we examine limiting behaviors of this relation:

Low temperature,  $\eta_c - \eta_d \gg 1$   $(kT \ll E_c - E_d)$  "reserve region"

$$n \cong \frac{N_c}{4} e^{-(\eta_c - \eta_d)} \left( 8 \frac{N_d}{N_c} e^{\eta_c - \eta_d} \right)^{1/2}$$

$$= \left(\frac{N_c N_d}{2}\right)^{1/2} e^{-(\eta_c - \eta_d)/2}$$

Here,  $E_F$  falls in between  $E_c, E_d$ . Sort of a mini-gap

$$E_F$$
 - - - - - -  $E_c$   $E_d$ 

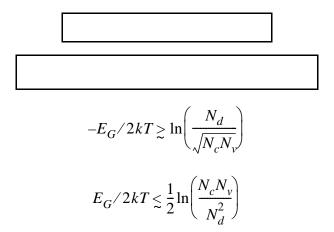
For moderate T, such that  $8\frac{N_d}{N_c}e^{\eta_c-\eta_d} < 1$ , or  $kT > \frac{E_c - E_d}{\ln(N_c/8N_d)}$ , expand the  $\sqrt{\phantom{M_c}}$ :

$$n \cong \frac{N_c}{4} e^{-(\eta - \eta_d)} \left[ A \frac{N_d}{N_c} e^{\eta_c - \eta_d} \right]$$

$$n \cong N_d$$

This is called the "exhaustion region" (Here's where we usually want to be - complete ionization.)

For really high T, n >> p is no longer true. How high does T have to be for this?



or finally:

$$kT \gtrsim \frac{E_g}{\ln(N_c N_v / N_d^2)}$$

When this condition is true, then we basically have intrinsic:



