# CS3230 Tutorial 1

Deng Tianle (T15)

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## Introduction

This is Tutorial Group 15 for CS3230.

My name is Deng Tianle:

- ► Year 3 Computer Science and Mathematics (DDP)
- First-time TA:)
- ▶ My JC: Raffles Institution (Y5-6)
- ▶ I was from Shenzhen, China

## Admin

Everyone needs to present 3 times to obtain the 3% tutorial participation marks. (I believe that beyond the presentation, there is no obligation to attend tutorial. But of course, you are encouraged to attend).

We have 21 people

 $\Longrightarrow$  63 presentations

 $\implies$  we should have around 6 presentations per class.

### Remark

In later slides I use P to denote presentations, e.g. P3 means that the third presenter of the day will present on (possibly a part) of this question.

# Agenda

This tutorial is about asymptotic notations:  $O, \Omega, \Theta, o, \omega$ .

- ▶ Analogy with ≤, ≥, =, <, >: Q2
- Computation using limit: Q1 (I rearranged because we can use Q2 here)
- Practical computation, relation between common functions like log, polynomial, exp, factorial: Q3-5
- ► LeetCode question on removing duplicates (if time permits; I will run the algorithm on the board to show the idea)

Let  $\mathbb{N}$  denote the set of positive integers. We consider functions  $f: \mathbb{N} \to \mathbb{N}$ . Let the class of all such functions be C.

#### Definition

Let f and g be functions  $\mathbb{N} \to \mathbb{N}$ . We say that  $f(n) \in \Theta(g(n))$  if there exist positive constants  $c_1, c_2$  such that

$$c_1g(n)\leqslant f(n)\leqslant c_2g(n)$$

for sufficiently large n. We say that  $f(n) \in \omega(g(n))$  if for all positive constants c, we have

for sufficiently large n.

#### Remark

All the precise definitions are on the tutorial sheet. I only want to caution that  $\forall$ ,  $\exists$  are considered informal shorthands in mathematics (excluding logic and set theory), it is preferred to spell them out in formal writings.

# Q2: P1, 2, 3

Q2 says that  $O, \Omega, \Theta, o, \omega$  behave very much like  $\leq, \geq, =, <, >$  respectively. We want to make this more precise. Recall that C denotes the set of functions  $\mathbb{N} \to \mathbb{N}$ . From the reflexivity, transitivity and symmetry parts of Q2, we get:

### **Theorem**

For  $f,g\in C$ , we define  $f\sim g$  iff  $f(n)\in \Theta(g(n))$ . Then  $\sim$  is an equivalence relation. <sup>1</sup>

Now we recall the following result from lecture:

$$\Theta(g) = O(g) \cap \Omega(g).$$

This means that

$$f(n) \in \Theta(g(n)) \iff (f(n) \in O(g(n)) \text{ and } f(n) \in \Omega(g(n))).$$

<sup>&</sup>lt;sup>1</sup>Note that in analysis,  $\sim$  already has a meaning that is stronger than this; we do not consider that definition.

#### Theorem

For  $[f], [g] \in C/\sim$ , we define  $[f] \leq [g]$  iff  $f(n) \in O(g(n))$  iff  $g(n) \in \Omega(f(n))$ . Then  $\leq$  is a well-defined partial order on  $C/\sim$ .

## Proof.

Well-definedness follows from lecture result and transitivity. Antisymmetry (if  $[f] \leq [g], [g] \leq [f]$  then [f] = [g]) follows from lecture result. The rest follow from Q2.

#### **Theorem**

If  $f(n) \in o(g(n))$  i.e.  $g(n) \in \omega(f(n))$ , then [f] < [g] (meaning,  $[f] \leq [g]$  but  $[f] \neq [g]$ ).

### Proof.

If  $f(n) \in o(g(n))$  and  $f(n) \in \Theta(g(n))$ , then there is some c > 0 such that

$$cg(n) \leqslant f(n) < cg(n)$$

for sufficiently large n, contradiction.



### Remark

It is not true that  $[f] < [g] \implies f(n) \in o(g(n))$  (and similarly for  $\omega$ )

However, it turns out that o itself induces naturally a strict partial order  $<_o$  on  $C/\sim$  which is 'more selective' than < in the sense that  $[f]<_o[g] \implies [f]<[g]$  but not vice versa.

### **Theorem**

For  $[f], [g] \in C/\sim$ , we define  $[f] <_o [g]$  iff  $f(n) \in o(g(n))$  iff  $g(n) \in \omega(f(n))$ . Then  $<_o$  is a well-defined strict partial order on  $C/\sim$ .

#### Remark

They are not total orders on  $C/\sim$ .

I move the proof to the appendix. Exercise: give counterexamples for the two remarks above. (Hint: consider g that 'oscillates')

# Q1: P4

The conclusions here are very important tools for computations (as we will see in Q3-5). We recall the definition of limit:

#### Definition

We say that  $\lim_{n\to\infty}\phi(n)=I$  if for all  $\epsilon>0$ , there exists N such that

$$n \geqslant N \implies |\phi(n) - I| < \epsilon.$$

We say that  $\lim_{n\to\infty} \phi(n) = \infty$  if for all M, there exists N such that

$$n \geqslant N \implies \phi(n) > M$$
.

We would have someone presenting on the one for O. Then observe that by Q2,  $\Omega$  follows from O,  $\Theta$  follows from O and  $\Omega$ ,  $\omega$  follows from O (make sure you understand the proof in lecture!)

# Q3-5: P5, 6

We know from calculus/analysis that (c, d) are positive constants)

$$[\log n] <_o [n^d] <_o [(1+c)^n] <_o [n!].$$

Some useful facts that follow immediately from definition:

- ▶ [cf(n)] = [f(n)] for constant c > 0
- ▶ If f(n) is a (finite) sum, then if a term of the sum has some other term  $\geqslant$  it, it can be ignored.

Most if not all of Q3-5 can be done using limits, order properties and such basic facts if you do not want to directly use definition.

I am asked to discuss this 'hidden' variant of Q4: consider  $2^{\log_4 n}$ , is it in O(n)?  $\Omega(n)$ ?  $\Theta(\sqrt{n})$ ?  $\omega(n)$ ?

# **Appendix**

### **Theorem**

For  $[f], [g] \in C/\sim$ , we define  $[f] <_o [g]$  iff  $f(n) \in o(g(n))$  iff  $g(n) \in \omega(f(n))$ . Then  $<_o$  is a well-defined strict partial order on  $C/\sim$ .

### Proof.

Well-definedness: if  $[f_1] = [f_2]$  and  $[f_2] <_o [g]$ , then there exists  $c_0 > 0$  such that for all c > 0, we have

$$f_1(n) \leqslant c_0 f_2(n) < c_0 \frac{c}{c_0} g(n) = cg(n)$$

for sufficiently large n, showing that  $[f_1] <_o [g]$ . Analogously, if  $[g_1] = [g_2]$  and  $[f] <_o [g_2]$ , then  $[f] <_o [g_1]$ .

Irreflexivity: we have shown that if  $[f] <_o [f]$  then  $[f] \neq [f]$ , contradiction.

Assymmetry: trivial exercise in CLRS

Transitivity: from Q2.

