

Isabelle/HOL — Higher-Order Logic

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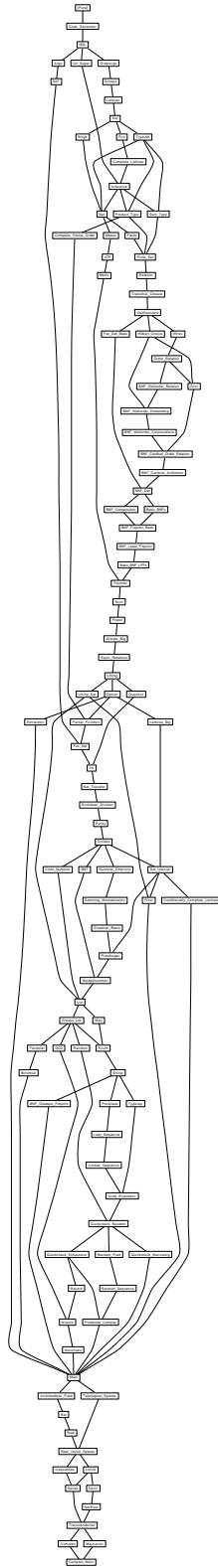
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1 Loading the code generator and related modules

```

theory Code-Generator
imports Pure
keywords
  print-codeproc code-thms code-deps :: diag and
  export-code code-identifier code-printing code-reserved
  code-monad code-reflect :: thy-decl and
  checking and
  datatypes functions module-name file
  constant type-constructor type-class class-relation class-instance code-module
  :: quasi-command
begin

   $\langle ML \rangle$ 

code-datatype TYPE('a::{})

definition holds :: prop where
  holds  $\equiv ((\lambda x::prop. x) \equiv (\lambda x. x))$ 

lemma holds: PROP holds
   $\langle proof \rangle$ 

code-datatype holds

lemma implies-code [code]:
  (PROP holds  $\implies$  PROP P)  $\equiv$  PROP P
  (PROP P  $\implies$  PROP holds)  $\equiv$  PROP holds
   $\langle proof \rangle$ 

   $\langle ML \rangle$ 

hide-const (open) holds

end

```

2 The basis of Higher-Order Logic

```

theory HOL
imports Pure  $\sim\sim$  /src/Tools/Code-Generator
keywords
  try solve-direct quickcheck print-coercions print-claset
  print-induct-rules :: diag and
  quickcheck-params :: thy-decl
begin

   $\langle ML \rangle$ 

```

2.1 Primitive logic

2.1.1 Core syntax

$\langle ML \rangle$

default-sort *type*

$\langle ML \rangle$

axiomatization where *fun-arity*: *OFCLASS*('a \Rightarrow 'b, *type-class*)

instance *fun* :: (*type*, *type*) *type* \langle *proof* \rangle

axiomatization where *itself-arity*: *OFCLASS*('a *itself*, *type-class*)

instance *itself* :: (*type*) *type* \langle *proof* \rangle

typedecl *bool*

judgment *Trueprop* :: *bool* \Rightarrow *prop* \langle (-) 5 \rangle

axiomatization *implies* :: [*bool*, *bool*] \Rightarrow *bool* (**infixr** \longrightarrow 25)

and *eq* :: ['a, 'a] \Rightarrow *bool* (**infixl** = 50)

and *The* :: ('a \Rightarrow *bool*) \Rightarrow 'a

2.1.2 Defined connectives and quantifiers

definition *True* :: *bool*

where *True* $\equiv ((\lambda x::\text{bool}. x) = (\lambda x. x))$

definition *All* :: ('a \Rightarrow *bool*) \Rightarrow *bool* (**binder** \forall 10)

where *All* *P* $\equiv (P = (\lambda x. \text{True}))$

definition *Ex* :: ('a \Rightarrow *bool*) \Rightarrow *bool* (**binder** \exists 10)

where *Ex* *P* $\equiv \forall Q. (\forall x. P\ x \longrightarrow Q) \longrightarrow Q$

definition *False* :: *bool*

where *False* $\equiv (\forall P. P)$

definition *Not* :: *bool* \Rightarrow *bool* (\neg - [40] 40)

where *not-def*: $\neg P \equiv P \longrightarrow \text{False}$

definition *conj* :: [*bool*, *bool*] \Rightarrow *bool* (**infixr** \wedge 35)

where *and-def*: $P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

definition *disj* :: [*bool*, *bool*] \Rightarrow *bool* (**infixr** \vee 30)

where *or-def*: $P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

definition *Ex1* :: ('a \Rightarrow *bool*) \Rightarrow *bool*

where *Ex1* *P* $\equiv \exists x. P\ x \wedge (\forall y. P\ y \longrightarrow y = x)$

2.1.3 Additional concrete syntax

syntax (*ASCII*)

$-Ex1 :: pttrn \Rightarrow bool \Rightarrow bool \ ((\exists EX! \text{ -./ -}) [0, 10] 10)$

syntax (*input*)

$-Ex1 :: pttrn \Rightarrow bool \Rightarrow bool \ ((\exists?! \text{ -./ -}) [0, 10] 10)$

syntax $-Ex1 :: pttrn \Rightarrow bool \Rightarrow bool \ ((\exists \exists! \text{ -./ -}) [0, 10] 10)$

translations $\exists!x. P \Rightarrow CONST Ex1 (\lambda x. P)$

$\langle ML \rangle$

syntax

$-Not-Ex :: idts \Rightarrow bool \Rightarrow bool \ ((\exists \# \text{ -./ -}) [0, 10] 10)$

$-Not-Ex1 :: pttrn \Rightarrow bool \Rightarrow bool \ ((\exists \#! \text{ -./ -}) [0, 10] 10)$

translations

$\#x. P \Rightarrow \neg (\exists x. P)$

$\#!x. P \Rightarrow \neg (\exists!x. P)$

abbreviation $not-equal :: ['a, 'a] \Rightarrow bool \ (\text{infixl} \neq 50)$

where $x \neq y \equiv \neg (x = y)$

notation (*output*)

$eq \ (\text{infix} = 50) \text{ and}$

$not-equal \ (\text{infix} \neq 50)$

notation (*ASCII output*)

$not-equal \ (\text{infix} \sim = 50)$

notation (*ASCII*)

$Not \ (\sim - [40] 40) \text{ and}$

$conj \ (\text{infixr} \& 35) \text{ and}$

$disj \ (\text{infixr} | 30) \text{ and}$

$implies \ (\text{infixr} --> 25) \text{ and}$

$not-equal \ (\text{infixl} \sim = 50)$

abbreviation (*iff*)

$iff :: [bool, bool] \Rightarrow bool \ (\text{infixr} \longleftrightarrow 25)$

where $A \longleftrightarrow B \equiv A = B$

syntax $-The :: [pttrn, bool] \Rightarrow 'a \ ((\exists THE \text{ -./ -}) [0, 10] 10)$

translations $THE x. P \Rightarrow CONST The (\lambda x. P)$

$\langle ML \rangle$

nonterminal *letbinds* and *letbind*

syntax

$-bind :: [pttrn, 'a] \Rightarrow letbind \ ((2- =/ -) 10)$

$:: letbind \Rightarrow letbinds \ (-)$

$-binds :: [letbind, letbinds] \Rightarrow letbinds \ (-;/ -)$

-Let :: $[letbinds, 'a] \Rightarrow 'a$ $((let (-)/ in (-)) [0, 10] 10)$

nonterminal *case-syn* and *cases-syn*

syntax

-case-syntax :: $['a, cases-syn] \Rightarrow 'b$ $((case - of / -) 10)$

-case1 :: $['a, 'b] \Rightarrow case-syn$ $((2- \Rightarrow / -) 10)$

:: *case-syn* $\Rightarrow cases-syn$ $(-)$

-case2 :: $[case-syn, cases-syn] \Rightarrow cases-syn$ $(-/ | -)$

syntax (*ASCII*)

-case1 :: $['a, 'b] \Rightarrow case-syn$ $((2- => / -) 10)$

notation (*ASCII*)

All (**binder** *ALL* 10) and

Ex (**binder** *EX* 10)

notation (*input*)

All (**binder** ! 10) and

Ex (**binder** ? 10)

2.1.4 Axioms and basic definitions

axiomatization where

refl: $t = (t::'a)$ and

subst: $s = t \implies P s \implies P t$ and

ext: $(\bigwedge x::'a. (f x :: 'b) = g x) \implies (\lambda x. f x) = (\lambda x. g x)$

— Extensionality is built into the meta-logic, and this rule expresses a related property. It is an eta-expanded version of the traditional rule, and similar to the ABS rule of HOL and

the-eq-trivial: $(THE x. x = a) = (a::'a)$

axiomatization where

impI: $(P \implies Q) \implies P \longrightarrow Q$ and

mp: $\llbracket P \longrightarrow Q; P \rrbracket \implies Q$ and

iff: $(P \longrightarrow Q) \longrightarrow (Q \longrightarrow P) \longrightarrow (P = Q)$ and

True-or-False: $(P = True) \vee (P = False)$

definition *If* :: $bool \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a$ $((if (-)/ then (-)/ else (-)) [0, 0, 10] 10)$

where *If* $P x y \equiv (THE z::'a. (P = True \longrightarrow z = x) \wedge (P = False \longrightarrow z = y))$

definition *Let* :: $'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b$

where *Let* $s f \equiv f s$

translations

-Let $(-binds b bs) e \rightleftharpoons -Let b (-Let bs e)$

let $x = a$ in $e \rightleftharpoons CONST Let a (\lambda x. e)$

axiomatization *undefined* :: $'a$

class *default* = **fixes** *default* :: 'a

2.2 Fundamental rules

2.2.1 Equality

lemma *sym*: $s = t \implies t = s$
 $\langle \text{proof} \rangle$

lemma *ssubst*: $t = s \implies P\ s \implies P\ t$
 $\langle \text{proof} \rangle$

lemma *trans*: $\llbracket r = s; s = t \rrbracket \implies r = t$
 $\langle \text{proof} \rangle$

lemma *trans-sym* [*Pure.elim?*]: $r = s \implies t = s \implies r = t$
 $\langle \text{proof} \rangle$

lemma *meta-eq-to-obj-eq*:
assumes $A \equiv B$
shows $A = B$
 $\langle \text{proof} \rangle$

Useful with *erule* for proving equalities from known equalities.

lemma *box-equals*: $\llbracket a = b; a = c; b = d \rrbracket \implies c = d$
 $\langle \text{proof} \rangle$

For calculational reasoning:

lemma *forw-subst*: $a = b \implies P\ b \implies P\ a$
 $\langle \text{proof} \rangle$

lemma *back-subst*: $P\ a \implies a = b \implies P\ b$
 $\langle \text{proof} \rangle$

2.2.2 Congruence rules for application

Similar to *AP-THM* in Gordon’s HOL.

lemma *fun-cong*: $(f :: 'a \Rightarrow 'b) = g \implies f\ x = g\ x$
 $\langle \text{proof} \rangle$

Similar to *AP-TERM* in Gordon’s HOL and FOL’s *subst-context*.

lemma *arg-cong*: $x = y \implies f\ x = f\ y$
 $\langle \text{proof} \rangle$

lemma *arg-cong2*: $\llbracket a = b; c = d \rrbracket \implies f\ a\ c = f\ b\ d$
 $\langle \text{proof} \rangle$

lemma *cong*: $\llbracket f = g; (x::'a) = y \rrbracket \Longrightarrow f\ x = g\ y$
 $\langle proof \rangle$

$\langle ML \rangle$

2.2.3 Equality of booleans – iff

lemma *iffI*: *assumes* $P \Longrightarrow Q$ *and* $Q \Longrightarrow P$ *shows* $P = Q$
 $\langle proof \rangle$

lemma *iffD2*: $\llbracket P = Q; Q \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

lemma *rev-iffD2*: $\llbracket Q; P = Q \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

lemma *iffD1*: $Q = P \Longrightarrow Q \Longrightarrow P$
 $\langle proof \rangle$

lemma *rev-iffD1*: $Q \Longrightarrow Q = P \Longrightarrow P$
 $\langle proof \rangle$

lemma *iffE*:
assumes *major*: $P = Q$
and *minor*: $\llbracket P \longrightarrow Q; Q \longrightarrow P \rrbracket \Longrightarrow R$
shows R
 $\langle proof \rangle$

2.2.4 True

lemma *TrueI*: *True*
 $\langle proof \rangle$

lemma *eqTrueI*: $P \Longrightarrow P = \text{True}$
 $\langle proof \rangle$

lemma *eqTrueE*: $P = \text{True} \Longrightarrow P$
 $\langle proof \rangle$

2.2.5 Universal quantifier

lemma *allI*:
assumes $\bigwedge x::'a. P\ x$
shows $\forall x. P\ x$
 $\langle proof \rangle$

lemma *spec*: $\forall x::'a. P\ x \Longrightarrow P\ x$
 $\langle proof \rangle$

lemma *allE*:

assumes *major*: $\forall x. P\ x$
and *minor*: $P\ x \implies R$
shows R
 $\langle proof \rangle$

lemma *all-dupE*:
assumes *major*: $\forall x. P\ x$
and *minor*: $\llbracket P\ x; \forall x. P\ x \rrbracket \implies R$
shows R
 $\langle proof \rangle$

2.2.6 False

Depends upon *spec*; it is impossible to do propositional logic before quantifiers!

lemma *FalseE*: $False \implies P$
 $\langle proof \rangle$

lemma *False-neq-True*: $False = True \implies P$
 $\langle proof \rangle$

2.2.7 Negation

lemma *notI*:
assumes $P \implies False$
shows $\neg P$
 $\langle proof \rangle$

lemma *False-not-True*: $False \neq True$
 $\langle proof \rangle$

lemma *True-not-False*: $True \neq False$
 $\langle proof \rangle$

lemma *notE*: $\llbracket \neg P; P \rrbracket \implies R$
 $\langle proof \rangle$

lemma *notI2*: $(P \implies \neg Pa) \implies (P \implies Pa) \implies \neg P$
 $\langle proof \rangle$

2.2.8 Implication

lemma *impE*:
assumes $P \longrightarrow Q\ P\ Q \implies R$
shows R
 $\langle proof \rangle$

Reduces Q to $P \longrightarrow Q$, allowing substitution in P .

lemma *rev-mp*: $\llbracket P; P \longrightarrow Q \rrbracket \implies Q$

$\langle proof \rangle$

lemma *contrapos-nn*:
assumes *major*: $\neg Q$
and *minor*: $P \implies Q$
shows $\neg P$
 $\langle proof \rangle$

Not used at all, but we already have the other 3 combinations.

lemma *contrapos-pn*:
assumes *major*: Q
and *minor*: $P \implies \neg Q$
shows $\neg P$
 $\langle proof \rangle$

lemma *not-sym*: $t \neq s \implies s \neq t$
 $\langle proof \rangle$

lemma *eq-neq-eq-imp-neq*: $\llbracket x = a; a \neq b; b = y \rrbracket \implies x \neq y$
 $\langle proof \rangle$

2.2.9 Existential quantifier

lemma *exI*: $P\ x \implies \exists x::'a. P\ x$
 $\langle proof \rangle$

lemma *exE*:
assumes *major*: $\exists x::'a. P\ x$
and *minor*: $\bigwedge x. P\ x \implies Q$
shows Q
 $\langle proof \rangle$

2.2.10 Conjunction

lemma *conjI*: $\llbracket P; Q \rrbracket \implies P \wedge Q$
 $\langle proof \rangle$

lemma *conjunct1*: $\llbracket P \wedge Q \rrbracket \implies P$
 $\langle proof \rangle$

lemma *conjunct2*: $\llbracket P \wedge Q \rrbracket \implies Q$
 $\langle proof \rangle$

lemma *conjE*:
assumes *major*: $P \wedge Q$
and *minor*: $\llbracket P; Q \rrbracket \implies R$
shows R
 $\langle proof \rangle$

lemma *context-conjI*:

assumes $P \implies Q$
 shows $P \wedge Q$
 $\langle proof \rangle$

2.2.11 Disjunction

lemma *disjI1*: $P \implies P \vee Q$
 $\langle proof \rangle$

lemma *disjI2*: $Q \implies P \vee Q$
 $\langle proof \rangle$

lemma *disjE*:
 assumes *major*: $P \vee Q$
 and *minorP*: $P \implies R$
 and *minorQ*: $Q \implies R$
 shows R
 $\langle proof \rangle$

2.2.12 Classical logic

lemma *classical*:
 assumes *prem*: $\neg P \implies P$
 shows P
 $\langle proof \rangle$

lemmas *ccontr* = *FalseE* [THEN *classical*]

notE with premises exchanged; it discharges $\neg R$ so that it can be used to make elimination rules.

lemma *rev-notE*:
 assumes *premp*: P
 and *premnnot*: $\neg R \implies \neg P$
 shows R
 $\langle proof \rangle$

Double negation law.

lemma *notnotD*: $\neg\neg P \implies P$
 $\langle proof \rangle$

lemma *contrapos-pp*:
 assumes *p1*: Q
 and *p2*: $\neg P \implies \neg Q$
 shows P
 $\langle proof \rangle$

2.2.13 Unique existence

lemma *ex1I*:

assumes $P\ a \wedge x. P\ x \implies x = a$
shows $\exists!x. P\ x$
 $\langle proof \rangle$

Sometimes easier to use: the premises have no shared variables. Safe!

lemma *ex-exII*:
assumes *ex-prem*: $\exists x. P\ x$
and *eq*: $\bigwedge x\ y. \llbracket P\ x; P\ y \rrbracket \implies x = y$
shows $\exists!x. P\ x$
 $\langle proof \rangle$

lemma *exIE*:
assumes *major*: $\exists!x. P\ x$
and *minor*: $\bigwedge x. \llbracket P\ x; \forall y. P\ y \longrightarrow y = x \rrbracket \implies R$
shows R
 $\langle proof \rangle$

lemma *exI-implies-ex*: $\exists!x. P\ x \implies \exists x. P\ x$
 $\langle proof \rangle$

2.2.14 Classical intro rules for disjunction and existential quantifiers

lemma *disjCI*:
assumes $\neg Q \implies P$
shows $P \vee Q$
 $\langle proof \rangle$

lemma *excluded-middle*: $\neg P \vee P$
 $\langle proof \rangle$

case distinction as a natural deduction rule. Note that $\neg P$ is the second case, not the first.

lemma *case-split* [*case-names True False*]:
assumes *prem1*: $P \implies Q$
and *prem2*: $\neg P \implies Q$
shows Q
 $\langle proof \rangle$

Classical implies (\longrightarrow) elimination.

lemma *impCE*:
assumes *major*: $P \longrightarrow Q$
and *minor*: $\neg P \implies R\ Q \implies R$
shows R
 $\langle proof \rangle$

This version of \longrightarrow elimination works on Q before P . It works best for those cases in which P holds "almost everywhere". Can't install as default: would break old proofs.

lemma *impCE'*:
assumes *major*: $P \longrightarrow Q$
and *minor*: $Q \Longrightarrow R \neg P \Longrightarrow R$
shows R
 $\langle proof \rangle$

Classical \longleftrightarrow elimination.

lemma *iffCE*:
assumes *major*: $P = Q$
and *minor*: $\llbracket P; Q \rrbracket \Longrightarrow R \llbracket \neg P; \neg Q \rrbracket \Longrightarrow R$
shows R
 $\langle proof \rangle$

lemma *exCI*:
assumes $\forall x. \neg P x \Longrightarrow P a$
shows $\exists x. P x$
 $\langle proof \rangle$

2.2.15 Intuitionistic Reasoning

lemma *impE'*:
assumes *1*: $P \longrightarrow Q$
and *2*: $Q \Longrightarrow R$
and *3*: $P \longrightarrow Q \Longrightarrow P$
shows R
 $\langle proof \rangle$

lemma *allE'*:
assumes *1*: $\forall x. P x$
and *2*: $P x \Longrightarrow \forall x. P x \Longrightarrow Q$
shows Q
 $\langle proof \rangle$

lemma *notE'*:
assumes *1*: $\neg P$
and *2*: $\neg P \Longrightarrow P$
shows R
 $\langle proof \rangle$

lemma *TrueE*: $True \Longrightarrow P \Longrightarrow P$ $\langle proof \rangle$
lemma *notFalseE*: $\neg False \Longrightarrow P \Longrightarrow P$ $\langle proof \rangle$

lemmas $[Pure.elim!] = disjE$ *iffE* *FalseE* *conjE* *exE* *TrueE* *notFalseE*
and $[Pure.intro!] = iffI$ *conjI* *impI* *TrueI* *notI* *allI* *refl*
and $[Pure.elim\ 2] = allE$ *notE'* *impE'*
and $[Pure.intro] = exI$ *disjI2* *disjI1*

lemmas $[trans] = trans$
and $[sym] = sym$ *not-sym*

and $[Pure.elim?] = iffD1\ iffD2\ impE$

2.2.16 Atomizing meta-level connectives

axiomatization where

eq-reflection: $x = y \implies x \equiv y$ — admissible axiom

lemma *atomize-all* $[atomize]$: $(\bigwedge x. P\ x) \equiv Trueprop\ (\forall x. P\ x)$
 $\langle proof \rangle$

lemma *atomize-imp* $[atomize]$: $(A \implies B) \equiv Trueprop\ (A \longrightarrow B)$
 $\langle proof \rangle$

lemma *atomize-not*: $(A \implies False) \equiv Trueprop\ (\neg A)$
 $\langle proof \rangle$

lemma *atomize-eq* $[atomize, code]$: $(x \equiv y) \equiv Trueprop\ (x = y)$
 $\langle proof \rangle$

lemma *atomize-conj* $[atomize]$: $(A \ \&\&\&\ B) \equiv Trueprop\ (A \wedge B)$
 $\langle proof \rangle$

lemmas $[symmetric, rulify] = atomize-all\ atomize-imp$
 and $[symmetric, defn] = atomize-all\ atomize-imp\ atomize-eq$

2.2.17 Atomizing elimination rules

lemma *atomize-exL* $[atomize-elim]$: $(\bigwedge x. P\ x \implies Q) \equiv ((\exists x. P\ x) \implies Q)$
 $\langle proof \rangle$

lemma *atomize-conjL* $[atomize-elim]$: $(A \implies B \implies C) \equiv (A \wedge B \implies C)$
 $\langle proof \rangle$

lemma *atomize-disjL* $[atomize-elim]$: $((A \implies C) \implies (B \implies C) \implies C) \equiv ((A \vee B \implies C) \implies C)$
 $\langle proof \rangle$

lemma *atomize-elimL* $[atomize-elim]$: $(\bigwedge B. (A \implies B) \implies B) \equiv Trueprop\ A\ \langle proof \rangle$

2.3 Package setup

$\langle ML \rangle$

2.3.1 Sledgehammer setup

Theorems blacklisted to Sledgehammer. These theorems typically produce clauses that are prolific (match too many equality or membership literals) and relate to seldom-used facts. Some duplicate other rules.

named-theorems *no-atp theorems that should be filtered out by Sledgehammer*

2.3.2 Classical Reasoner setup

lemma *imp-elim*: $P \longrightarrow Q \Longrightarrow (\neg R \Longrightarrow P) \Longrightarrow (Q \Longrightarrow R) \Longrightarrow R$
 $\langle proof \rangle$

lemma *swap*: $\neg P \Longrightarrow (\neg R \Longrightarrow P) \Longrightarrow R$
 $\langle proof \rangle$

lemma *thin-refl*: $\llbracket x = x; PROP\ W \rrbracket \Longrightarrow PROP\ W \langle proof \rangle$

$\langle ML \rangle$

declare *iffI* [*intro!*]
and *notI* [*intro!*]
and *impI* [*intro!*]
and *disjCI* [*intro!*]
and *conjI* [*intro!*]
and *TrueI* [*intro!*]
and *refl* [*intro!*]

declare *iffCE* [*elim!*]
and *FalseE* [*elim!*]
and *impCE* [*elim!*]
and *disjE* [*elim!*]
and *conjE* [*elim!*]

declare *ex-ex1I* [*intro!*]
and *allI* [*intro!*]
and *exI* [*intro*]

declare *exE* [*elim!*]
allE [*elim*]

$\langle ML \rangle$

lemma *contrapos-np*: $\neg Q \Longrightarrow (\neg P \Longrightarrow Q) \Longrightarrow P$
 $\langle proof \rangle$

declare *ex-ex1I* [*rule del, intro! 2*]
and *ex1I* [*intro*]

declare *ext* [*intro*]

lemmas [*intro?*] = *ext*
and [*elim?*] = *ex1-implies-ex*

Better than *ex1E* for classical reasoner: needs no quantifier duplication!

lemma *alt-ex1E* [*elim!*]:
assumes *major*: $\exists! x. P\ x$
and *prem*: $\bigwedge x. \llbracket P\ x; \forall y\ y'. P\ y \wedge P\ y' \longrightarrow y = y' \rrbracket \Longrightarrow R$

shows R
 $\langle proof \rangle$

$\langle ML \rangle$

2.3.3 THE: definite description operator

lemma *the-equality* [intro]:
assumes $P\ a$
and $\bigwedge x. P\ x \implies x = a$
shows $(THE\ x. P\ x) = a$
 $\langle proof \rangle$

lemma *theI*:
assumes $P\ a$
and $\bigwedge x. P\ x \implies x = a$
shows $P\ (THE\ x. P\ x)$
 $\langle proof \rangle$

lemma *theI'*: $\exists!x. P\ x \implies P\ (THE\ x. P\ x)$
 $\langle proof \rangle$

Easier to apply than *theI*: only one occurrence of P .

lemma *theI2*:
assumes $P\ a \bigwedge x. P\ x \implies x = a \bigwedge x. P\ x \implies Q\ x$
shows $Q\ (THE\ x. P\ x)$
 $\langle proof \rangle$

lemma *theI12*:
assumes $\exists!x. P\ x \bigwedge x. P\ x \implies Q\ x$
shows $Q\ (THE\ x. P\ x)$
 $\langle proof \rangle$

lemma *the1-equality* [elim?]: $\llbracket \exists!x. P\ x; P\ a \rrbracket \implies (THE\ x. P\ x) = a$
 $\langle proof \rangle$

lemma *the-sym-eq-trivial*: $(THE\ y. x = y) = x$
 $\langle proof \rangle$

2.3.4 Simplifier

lemma *eta-contract-eq*: $(\lambda s. f\ s) = f$ $\langle proof \rangle$

lemma *simp-thms*:
shows *not-not*: $(\neg \neg P) = P$
and *Not-eq-iff*: $((\neg P) = (\neg Q)) = (P = Q)$
and
 $(P \neq Q) = (P = (\neg Q))$
 $(P \vee \neg P) = True \quad (\neg P \vee P) = True$
 $(x = x) = True$

and *not-True-eq-False* [code]: $(\neg \text{True}) = \text{False}$
and *not-False-eq-True* [code]: $(\neg \text{False}) = \text{True}$
and
 $(\neg P) \neq P \quad P \neq (\neg P)$
 $(\text{True} = P) = P$
and *eq-True*: $(P = \text{True}) = P$
and $(\text{False} = P) = (\neg P)$
and *eq-False*: $(P = \text{False}) = (\neg P)$
and
 $(\text{True} \longrightarrow P) = P \quad (\text{False} \longrightarrow P) = \text{True}$
 $(P \longrightarrow \text{True}) = \text{True} \quad (P \longrightarrow P) = \text{True}$
 $(P \longrightarrow \text{False}) = (\neg P) \quad (P \longrightarrow \neg P) = (\neg P)$
 $(P \wedge \text{True}) = P \quad (\text{True} \wedge P) = P$
 $(P \wedge \text{False}) = \text{False} \quad (\text{False} \wedge P) = \text{False}$
 $(P \wedge P) = P \quad (P \wedge (P \wedge Q)) = (P \wedge Q)$
 $(P \wedge \neg P) = \text{False} \quad (\neg P \wedge P) = \text{False}$
 $(P \vee \text{True}) = \text{True} \quad (\text{True} \vee P) = \text{True}$
 $(P \vee \text{False}) = P \quad (\text{False} \vee P) = P$
 $(P \vee P) = P \quad (P \vee (P \vee Q)) = (P \vee Q)$ **and**
 $(\forall x. P) = P \quad (\exists x. P) = P \quad \exists x. x = t \quad \exists x. t = x$
and
 $\bigwedge P. (\exists x. x = t \wedge P x) = P t$
 $\bigwedge P. (\exists x. t = x \wedge P x) = P t$
 $\bigwedge P. (\forall x. x = t \longrightarrow P x) = P t$
 $\bigwedge P. (\forall x. t = x \longrightarrow P x) = P t$
 $(\forall x. x \neq t) = \text{False} \quad (\forall x. t \neq x) = \text{False}$
 $\langle \text{proof} \rangle$

lemma *disj-absorb*: $A \vee A \longleftrightarrow A$
 $\langle \text{proof} \rangle$

lemma *disj-left-absorb*: $A \vee (A \vee B) \longleftrightarrow A \vee B$
 $\langle \text{proof} \rangle$

lemma *conj-absorb*: $A \wedge A \longleftrightarrow A$
 $\langle \text{proof} \rangle$

lemma *conj-left-absorb*: $A \wedge (A \wedge B) \longleftrightarrow A \wedge B$
 $\langle \text{proof} \rangle$

lemma *eq-ac*:
shows *eq-commute*: $a = b \longleftrightarrow b = a$
and *iff-left-commute*: $(P \longleftrightarrow (Q \longleftrightarrow R)) \longleftrightarrow (Q \longleftrightarrow (P \longleftrightarrow R))$
and *iff-assoc*: $((P \longleftrightarrow Q) \longleftrightarrow R) \longleftrightarrow (P \longleftrightarrow (Q \longleftrightarrow R))$
 $\langle \text{proof} \rangle$

lemma *neq-commute*: $a \neq b \longleftrightarrow b \neq a$ $\langle \text{proof} \rangle$

lemma *conj-comms*:

shows *conj-commute*: $P \wedge Q \longleftrightarrow Q \wedge P$
and *conj-left-commute*: $P \wedge (Q \wedge R) \longleftrightarrow Q \wedge (P \wedge R)$ *<proof>*
lemma *conj-assoc*: $(P \wedge Q) \wedge R \longleftrightarrow P \wedge (Q \wedge R)$ *<proof>*

lemmas *conj-ac* = *conj-commute conj-left-commute conj-assoc*

lemma *disj-comms*:
shows *disj-commute*: $P \vee Q \longleftrightarrow Q \vee P$
and *disj-left-commute*: $P \vee (Q \vee R) \longleftrightarrow Q \vee (P \vee R)$ *<proof>*
lemma *disj-assoc*: $(P \vee Q) \vee R \longleftrightarrow P \vee (Q \vee R)$ *<proof>*

lemmas *disj-ac* = *disj-commute disj-left-commute disj-assoc*

lemma *conj-disj-distribL*: $P \wedge (Q \vee R) \longleftrightarrow P \wedge Q \vee P \wedge R$ *<proof>*
lemma *conj-disj-distribR*: $(P \vee Q) \wedge R \longleftrightarrow P \wedge R \vee Q \wedge R$ *<proof>*

lemma *disj-conj-distribL*: $P \vee (Q \wedge R) \longleftrightarrow (P \vee Q) \wedge (P \vee R)$ *<proof>*
lemma *disj-conj-distribR*: $(P \wedge Q) \vee R \longleftrightarrow (P \vee R) \wedge (Q \vee R)$ *<proof>*

lemma *imp-conjR*: $(P \longrightarrow (Q \wedge R)) = ((P \longrightarrow Q) \wedge (P \longrightarrow R))$ *<proof>*
lemma *imp-conjL*: $((P \wedge Q) \longrightarrow R) = (P \longrightarrow (Q \longrightarrow R))$ *<proof>*
lemma *imp-disjL*: $((P \vee Q) \longrightarrow R) = ((P \longrightarrow R) \wedge (Q \longrightarrow R))$ *<proof>*

These two are specialized, but *imp-disj-not1* is useful in *Auth/Yahalom*.

lemma *imp-disj-not1*: $(P \longrightarrow Q \vee R) \longleftrightarrow (\neg Q \longrightarrow P \longrightarrow R)$ *<proof>*
lemma *imp-disj-not2*: $(P \longrightarrow Q \vee R) \longleftrightarrow (\neg R \longrightarrow P \longrightarrow Q)$ *<proof>*

lemma *imp-disj1*: $((P \longrightarrow Q) \vee R) \longleftrightarrow (P \longrightarrow Q \vee R)$ *<proof>*
lemma *imp-disj2*: $(Q \vee (P \longrightarrow R)) \longleftrightarrow (P \longrightarrow Q \vee R)$ *<proof>*

lemma *imp-cong*: $(P = P') \implies (P' \implies (Q = Q')) \implies ((P \longrightarrow Q) \longleftrightarrow (P' \longrightarrow Q'))$ *<proof>*

lemma *de-Morgan-disj*: $\neg (P \vee Q) \longleftrightarrow \neg P \wedge \neg Q$ *<proof>*
lemma *de-Morgan-conj*: $\neg (P \wedge Q) \longleftrightarrow \neg P \vee \neg Q$ *<proof>*
lemma *not-imp*: $\neg (P \longrightarrow Q) \longleftrightarrow P \wedge \neg Q$ *<proof>*
lemma *not-iff*: $P \neq Q \longleftrightarrow (P \longleftrightarrow \neg Q)$ *<proof>*
lemma *disj-not1*: $\neg P \vee Q \longleftrightarrow (P \longrightarrow Q)$ *<proof>*
lemma *disj-not2*: $P \vee \neg Q \longleftrightarrow (Q \longrightarrow P)$ *<proof>*
lemma *imp-conv-disj*: $(P \longrightarrow Q) \longleftrightarrow (\neg P) \vee Q$ *<proof>*
lemma *disj-imp*: $P \vee Q \longleftrightarrow \neg P \longrightarrow Q$ *<proof>*

lemma *iff-conv-conj-imp*: $(P \longleftrightarrow Q) \longleftrightarrow (P \longrightarrow Q) \wedge (Q \longrightarrow P)$ *<proof>*

lemma *cases-simp*: $(P \longrightarrow Q) \wedge (\neg P \longrightarrow Q) \longleftrightarrow Q$
— Avoids duplication of subgoals after *if-split*, when the true and false
— cases boil down to the same thing.

$\langle proof \rangle$

lemma *not-all*: $\neg (\forall x. P x) \longleftrightarrow (\exists x. \neg P x)$ $\langle proof \rangle$
lemma *imp-all*: $((\forall x. P x) \longrightarrow Q) \longleftrightarrow (\exists x. P x \longrightarrow Q)$ $\langle proof \rangle$
lemma *not-ex*: $\neg (\exists x. P x) \longleftrightarrow (\forall x. \neg P x)$ $\langle proof \rangle$
lemma *imp-ex*: $((\exists x. P x) \longrightarrow Q) \longleftrightarrow (\forall x. P x \longrightarrow Q)$ $\langle proof \rangle$
lemma *all-not-ex*: $(\forall x. P x) \longleftrightarrow \neg (\exists x. \neg P x)$ $\langle proof \rangle$

declare *All-def* [no-atp]

lemma *ex-disj-distrib*: $(\exists x. P x \vee Q x) \longleftrightarrow (\exists x. P x) \vee (\exists x. Q x)$ $\langle proof \rangle$
lemma *all-conj-distrib*: $(\forall x. P x \wedge Q x) \longleftrightarrow (\forall x. P x) \wedge (\forall x. Q x)$ $\langle proof \rangle$

The \wedge congruence rule: not included by default! May slow rewrite proofs down by as much as 50%

lemma *conj-cong*: $P = P' \Longrightarrow (P' \Longrightarrow Q = Q') \Longrightarrow (P \wedge Q) = (P' \wedge Q')$
 $\langle proof \rangle$

lemma *rev-conj-cong*: $Q = Q' \Longrightarrow (Q' \Longrightarrow P = P') \Longrightarrow (P \wedge Q) = (P' \wedge Q')$
 $\langle proof \rangle$

The $|$ congruence rule: not included by default!

lemma *disj-cong*: $P = P' \Longrightarrow (\neg P' \Longrightarrow Q = Q') \Longrightarrow (P \vee Q) = (P' \vee Q')$
 $\langle proof \rangle$

if-then-else rules

lemma *if-True* [code]: $(\text{if True then } x \text{ else } y) = x$
 $\langle proof \rangle$

lemma *if-False* [code]: $(\text{if False then } x \text{ else } y) = y$
 $\langle proof \rangle$

lemma *if-P*: $P \Longrightarrow (\text{if } P \text{ then } x \text{ else } y) = x$
 $\langle proof \rangle$

lemma *if-not-P*: $\neg P \Longrightarrow (\text{if } P \text{ then } x \text{ else } y) = y$
 $\langle proof \rangle$

lemma *if-split*: $P (\text{if } Q \text{ then } x \text{ else } y) = ((Q \longrightarrow P x) \wedge (\neg Q \longrightarrow P y))$
 $\langle proof \rangle$

lemma *if-split-asm*: $P (\text{if } Q \text{ then } x \text{ else } y) = (\neg ((Q \wedge \neg P x) \vee (\neg Q \wedge \neg P y)))$
 $\langle proof \rangle$

lemmas *if-splits* [no-atp] = *if-split if-split-asm*

lemma *if-cancel*: $(\text{if } c \text{ then } x \text{ else } x) = x$
 $\langle proof \rangle$

lemma *if-eq-cancel*: $(\text{if } x = y \text{ then } y \text{ else } x) = x$
 $\langle \text{proof} \rangle$

lemma *if-bool-eq-conj*: $(\text{if } P \text{ then } Q \text{ else } R) = ((P \longrightarrow Q) \wedge (\neg P \longrightarrow R))$
 — This form is useful for expanding *ifs* on the RIGHT of the \implies symbol.
 $\langle \text{proof} \rangle$

lemma *if-bool-eq-disj*: $(\text{if } P \text{ then } Q \text{ else } R) = ((P \wedge Q) \vee (\neg P \wedge R))$
 — And this form is useful for expanding *ifs* on the LEFT.
 $\langle \text{proof} \rangle$

lemma *Eq-TrueI*: $P \implies P \equiv \text{True}$ $\langle \text{proof} \rangle$
lemma *Eq-FalseI*: $\neg P \implies P \equiv \text{False}$ $\langle \text{proof} \rangle$

let rules for *simproc*

lemma *Let-folded*: $f\ x \equiv g\ x \implies \text{Let } x\ f \equiv \text{Let } x\ g$
 $\langle \text{proof} \rangle$

lemma *Let-unfold*: $f\ x \equiv g \implies \text{Let } x\ f \equiv g$
 $\langle \text{proof} \rangle$

The following copy of the implication operator is useful for fine-tuning congruence rules. It instructs the simplifier to simplify its premise.

definition *simp-implies* :: $\text{prop} \Rightarrow \text{prop} \Rightarrow \text{prop}$ (**infixr** $=\text{simp}=>$ 1)
where $\text{simp-implies} \equiv \text{op} \implies$

lemma *simp-impliesI*:
assumes $PQ: (\text{PROP } P \implies \text{PROP } Q)$
shows $\text{PROP } P =\text{simp}=> \text{PROP } Q$
 $\langle \text{proof} \rangle$

lemma *simp-impliesE*:
assumes $PQ: \text{PROP } P =\text{simp}=> \text{PROP } Q$
and $P: \text{PROP } P$
and $QR: \text{PROP } Q \implies \text{PROP } R$
shows $\text{PROP } R$
 $\langle \text{proof} \rangle$

lemma *simp-implies-cong*:
assumes $PP': \text{PROP } P \equiv \text{PROP } P'$
and $P'QQ': \text{PROP } P' \implies (\text{PROP } Q \equiv \text{PROP } Q')$
shows $(\text{PROP } P =\text{simp}=> \text{PROP } Q) \equiv (\text{PROP } P' =\text{simp}=> \text{PROP } Q')$
 $\langle \text{proof} \rangle$

lemma *uncurry*:
assumes $P \longrightarrow Q \longrightarrow R$
shows $P \wedge Q \longrightarrow R$

$\langle \text{proof} \rangle$

lemma *iff-allI*:

assumes $\bigwedge x. P\ x = Q\ x$

shows $(\forall x. P\ x) = (\forall x. Q\ x)$

$\langle \text{proof} \rangle$

lemma *iff-exI*:

assumes $\bigwedge x. P\ x = Q\ x$

shows $(\exists x. P\ x) = (\exists x. Q\ x)$

$\langle \text{proof} \rangle$

lemma *all-comm*: $(\forall x\ y. P\ x\ y) = (\forall y\ x. P\ x\ y)$

$\langle \text{proof} \rangle$

lemma *ex-comm*: $(\exists x\ y. P\ x\ y) = (\exists y\ x. P\ x\ y)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

Simproc for proving $(y = x) \equiv \text{False}$ from premise $\neg (x = y)$:

$\langle \text{ML} \rangle$

lemma *True-implies-equals*: $(\text{True} \Longrightarrow \text{PROP } P) \equiv \text{PROP } P$

$\langle \text{proof} \rangle$

lemma *implies-True-equals*: $(\text{PROP } P \Longrightarrow \text{True}) \equiv \text{Trueprop True}$

$\langle \text{proof} \rangle$

lemma *False-implies-equals*: $(\text{False} \Longrightarrow P) \equiv \text{Trueprop True}$

$\langle \text{proof} \rangle$

lemma *implies-False-swap*:

NO-MATCH $(\text{Trueprop False})\ P \Longrightarrow$

$(\text{False} \Longrightarrow \text{PROP } P \Longrightarrow \text{PROP } Q) \equiv (\text{PROP } P \Longrightarrow \text{False} \Longrightarrow \text{PROP } Q)$

$\langle \text{proof} \rangle$

lemma *ex-simps*:

$\bigwedge P\ Q. (\exists x. P\ x \wedge Q) = ((\exists x. P\ x) \wedge Q)$

$\bigwedge P\ Q. (\exists x. P \wedge Q\ x) = (P \wedge (\exists x. Q\ x))$

$\bigwedge P\ Q. (\exists x. P\ x \vee Q) = ((\exists x. P\ x) \vee Q)$

$\bigwedge P\ Q. (\exists x. P \vee Q\ x) = (P \vee (\exists x. Q\ x))$

$\bigwedge P\ Q. (\exists x. P\ x \longrightarrow Q) = ((\forall x. P\ x) \longrightarrow Q)$

$\bigwedge P\ Q. (\exists x. P \longrightarrow Q\ x) = (P \longrightarrow (\exists x. Q\ x))$

— Miniscoping: pushing in existential quantifiers.

$\langle \text{proof} \rangle$

lemma *all-simps*:

$\bigwedge P Q. (\forall x. P x \wedge Q) = ((\forall x. P x) \wedge Q)$
 $\bigwedge P Q. (\forall x. P \wedge Q x) = (P \wedge (\forall x. Q x))$
 $\bigwedge P Q. (\forall x. P x \vee Q) = ((\forall x. P x) \vee Q)$
 $\bigwedge P Q. (\forall x. P \vee Q x) = (P \vee (\forall x. Q x))$
 $\bigwedge P Q. (\forall x. P x \longrightarrow Q) = ((\exists x. P x) \longrightarrow Q)$
 $\bigwedge P Q. (\forall x. P \longrightarrow Q x) = (P \longrightarrow (\forall x. Q x))$
 — Miniscoping: pushing in universal quantifiers.
 <proof>

lemmas [simp] =

triv-forall-equality — prunes params
True-implies-equals implies-True-equals — prune *True* in asms
False-implies-equals — prune *False* in asms
if-True
if-False
if-cancel
if-eq-cancel
imp-disjL — In general it seems wrong to add distributive laws by default: they might cause exponential blow-up. But *imp-disjL* has been in for a while and cannot be removed without affecting existing proofs. Moreover, rewriting by $(P \vee Q \longrightarrow R) = ((P \longrightarrow R) \wedge (Q \longrightarrow R))$ might be justified on the grounds that it allows simplification of R in the two cases.

conj-assoc
disj-assoc
de-Morgan-conj
de-Morgan-disj
imp-disj1
imp-disj2
not-imp
disj-not1
not-all
not-ex
cases-simp
the-eq-trivial
the-sym-eq-trivial
ex-simps
all-simps
simp-thms

lemmas [cong] = *imp-cong simp-implies-cong*

lemmas [split] = *if-split*

<ML>

Simplifies x assuming c and y assuming $\neg c$.

lemma *if-cong*:

assumes $b = c$
and $c \implies x = u$
and $\neg c \implies y = v$

shows $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$
 $\langle \text{proof} \rangle$

Prevents simplification of x and y : faster and allows the execution of functional programs.

lemma *if-weak-cong* [*cong*]:
assumes $b = c$
shows $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$
 $\langle \text{proof} \rangle$

Prevents simplification of t : much faster

lemma *let-weak-cong*:
assumes $a = b$
shows $(\text{let } x = a \text{ in } t \ x) = (\text{let } x = b \text{ in } t \ x)$
 $\langle \text{proof} \rangle$

To tidy up the result of a simproc. Only the RHS will be simplified.

lemma *eq-cong2*:
assumes $u = u'$
shows $(t \equiv u) \equiv (t \equiv u')$
 $\langle \text{proof} \rangle$

lemma *if-distrib*: $f (\text{if } c \text{ then } x \text{ else } y) = (\text{if } c \text{ then } f \ x \text{ else } f \ y)$
 $\langle \text{proof} \rangle$

As a simplification rule, it replaces all function equalities by first-order equalities.

lemma *fun-eq-iff*: $f = g \longleftrightarrow (\forall x. f \ x = g \ x)$
 $\langle \text{proof} \rangle$

2.3.5 Generic cases and induction

Rule projections:

$\langle ML \rangle$

context
begin

qualified definition *induct-forall* $P \equiv \forall x. P \ x$
qualified definition *induct-implies* $A \ B \equiv A \longrightarrow B$
qualified definition *induct-equal* $x \ y \equiv x = y$
qualified definition *induct-conj* $A \ B \equiv A \wedge B$
qualified definition *induct-true* $\equiv \text{True}$
qualified definition *induct-false* $\equiv \text{False}$

lemma *induct-forall-eq*: $(\bigwedge x. P \ x) \equiv \text{Trueprop} (\text{induct-forall} (\lambda x. P \ x))$
 $\langle \text{proof} \rangle$

lemma *induct-implies-eq*: $(A \implies B) \equiv \text{Trueprop } (\text{induct-implies } A \ B)$
 $\langle \text{proof} \rangle$

lemma *induct-equal-eq*: $(x \equiv y) \equiv \text{Trueprop } (\text{induct-equal } x \ y)$
 $\langle \text{proof} \rangle$

lemma *induct-conj-eq*: $(A \ \&\&\& \ B) \equiv \text{Trueprop } (\text{induct-conj } A \ B)$
 $\langle \text{proof} \rangle$

lemmas *induct-atomize'* = *induct-forall-eq* *induct-implies-eq* *induct-conj-eq*

lemmas *induct-atomize* = *induct-atomize'* *induct-equal-eq*

lemmas *induct-rulify'* [*symmetric*] = *induct-atomize'*

lemmas *induct-rulify* [*symmetric*] = *induct-atomize*

lemmas *induct-rulify-fallback* =

induct-forall-def *induct-implies-def* *induct-equal-def* *induct-conj-def*
induct-true-def *induct-false-def*

lemma *induct-forall-conj*: $\text{induct-forall } (\lambda x. \text{induct-conj } (A \ x) \ (B \ x)) =$
 $\text{induct-conj } (\text{induct-forall } A) \ (\text{induct-forall } B)$
 $\langle \text{proof} \rangle$

lemma *induct-implies-conj*: $\text{induct-implies } C \ (\text{induct-conj } A \ B) =$
 $\text{induct-conj } (\text{induct-implies } C \ A) \ (\text{induct-implies } C \ B)$
 $\langle \text{proof} \rangle$

lemma *induct-conj-curry*: $(\text{induct-conj } A \ B \implies \text{PROP } C) \equiv (A \implies B \implies \text{PROP } C)$
 $\langle \text{proof} \rangle$

lemmas *induct-conj* = *induct-forall-conj* *induct-implies-conj* *induct-conj-curry*

lemma *induct-trueI*: *induct-true*
 $\langle \text{proof} \rangle$

Method setup.

$\langle ML \rangle$

Pre-simplification of induction and cases rules

lemma [*induct-simp*]: $(\bigwedge x. \text{induct-equal } x \ t \implies \text{PROP } P \ x) \equiv \text{PROP } P \ t$
 $\langle \text{proof} \rangle$

lemma [*induct-simp*]: $(\bigwedge x. \text{induct-equal } t \ x \implies \text{PROP } P \ x) \equiv \text{PROP } P \ t$
 $\langle \text{proof} \rangle$

lemma [*induct-simp*]: $(\text{induct-false} \implies P) \equiv \text{Trueprop } \text{induct-true}$
 $\langle \text{proof} \rangle$

lemma [*induct-simp*]: $(\text{induct-true} \implies \text{PROP } P) \equiv \text{PROP } P$
 $\langle \text{proof} \rangle$

lemma *[induct-simp]*: $(PROP\ P \implies induct\text{-}true) \equiv Trueprop\ induct\text{-}true$
 $\langle proof \rangle$

lemma *[induct-simp]*: $(\bigwedge x::'a::\{\}. induct\text{-}true) \equiv Trueprop\ induct\text{-}true$
 $\langle proof \rangle$

lemma *[induct-simp]*: $induct\text{-}implies\ induct\text{-}true\ P \equiv P$
 $\langle proof \rangle$

lemma *[induct-simp]*: $x = x \longleftrightarrow True$
 $\langle proof \rangle$

end

$\langle ML \rangle$

2.3.6 Coherent logic

$\langle ML \rangle$

2.3.7 Reorienting equalities

$\langle ML \rangle$

2.4 Other simple lemmas and lemma duplicates

lemma *ex1-eq [iff]*: $\exists!x. x = t \implies \exists!x. t = x$
 $\langle proof \rangle$

lemma *choice-eq*: $(\forall x. \exists!y. P\ x\ y) = (\exists!f. \forall x. P\ x\ (f\ x))$
 $\langle proof \rangle$

lemmas *eq-sym-conv = eq-commute*

lemma *nnf-simps*:

$(\neg (P \wedge Q)) = (\neg P \vee \neg Q)$
 $(\neg (P \vee Q)) = (\neg P \wedge \neg Q)$
 $(P \longrightarrow Q) = (\neg P \vee Q)$
 $(P = Q) = ((P \wedge Q) \vee (\neg P \wedge \neg Q))$
 $(\neg (P = Q)) = ((P \wedge \neg Q) \vee (\neg P \wedge Q))$
 $(\neg \neg P) = P$
 $\langle proof \rangle$

2.5 Basic ML bindings

$\langle ML \rangle$

3 *NO-MATCH* simproc

The simplification procedure can be used to avoid simplification of terms of a certain form.

definition *NO-MATCH* :: 'a \Rightarrow 'b \Rightarrow bool
where *NO-MATCH* pat val \equiv True

lemma *NO-MATCH-cong*[cong]: *NO-MATCH* pat val = *NO-MATCH* pat val
 <proof>

declare [[coercion-args *NO-MATCH* - -]]

<ML>

This setup ensures that a rewrite rule of the form *NO-MATCH* pat val \Longrightarrow *t* is only applied, if the pattern *pat* does not match the value *val*.

Tagging a premise of a simp rule with ASSUMPTION forces the simplifier not to simplify the argument and to solve it by an assumption.

definition *ASSUMPTION* :: bool \Rightarrow bool
where *ASSUMPTION* A \equiv A

lemma *ASSUMPTION-cong*[cong]: *ASSUMPTION* A = *ASSUMPTION* A
 <proof>

lemma *ASSUMPTION-I*: A \Longrightarrow *ASSUMPTION* A
 <proof>

lemma *ASSUMPTION-D*: *ASSUMPTION* A \Longrightarrow A
 <proof>

<ML>

3.1 Code generator setup

3.1.1 Generic code generator preprocessor setup

lemma *conj-left-cong*: P \longleftrightarrow Q \Longrightarrow P \wedge R \longleftrightarrow Q \wedge R
 <proof>

lemma *disj-left-cong*: P \longleftrightarrow Q \Longrightarrow P \vee R \longleftrightarrow Q \vee R
 <proof>

<ML>

3.1.2 Equality

class *equal* =
fixes *equal* :: 'a \Rightarrow 'a \Rightarrow bool

assumes *equal-eq*: $\text{equal } x \ y \longleftrightarrow x = y$
begin

lemma *equal*: $\text{equal} = (\text{op } =)$
 $\langle \text{proof} \rangle$

lemma *equal-refl*: $\text{equal } x \ x \longleftrightarrow \text{True}$
 $\langle \text{proof} \rangle$

lemma *eq-equal*: $(\text{op } =) \equiv \text{equal}$
 $\langle \text{proof} \rangle$

end

declare *eq-equal* [*symmetric*, *code-post*]
declare *eq-equal* [*code*]

$\langle \text{ML} \rangle$

3.1.3 Generic code generator foundation

Datatype *bool*

code-datatype *True False*

lemma [*code*]:
shows $\text{False} \wedge P \longleftrightarrow \text{False}$
and $\text{True} \wedge P \longleftrightarrow P$
and $P \wedge \text{False} \longleftrightarrow \text{False}$
and $P \wedge \text{True} \longleftrightarrow P$
 $\langle \text{proof} \rangle$

lemma [*code*]:
shows $\text{False} \vee P \longleftrightarrow P$
and $\text{True} \vee P \longleftrightarrow \text{True}$
and $P \vee \text{False} \longleftrightarrow P$
and $P \vee \text{True} \longleftrightarrow \text{True}$
 $\langle \text{proof} \rangle$

lemma [*code*]:
shows $(\text{False} \longrightarrow P) \longleftrightarrow \text{True}$
and $(\text{True} \longrightarrow P) \longleftrightarrow P$
and $(P \longrightarrow \text{False}) \longleftrightarrow \neg P$
and $(P \longrightarrow \text{True}) \longleftrightarrow \text{True}$
 $\langle \text{proof} \rangle$

More about *prop*

lemma [*code nbe*]:
shows $(\text{True} \Longrightarrow \text{PROP } Q) \equiv \text{PROP } Q$
and $(\text{PROP } Q \Longrightarrow \text{True}) \equiv \text{Trueprop True}$

```

and ( $P \implies R$ )  $\equiv$  Trueprop ( $P \longrightarrow R$ )
  <proof>

lemma Trueprop-code [code]: Trueprop True  $\equiv$  Code-Generator.holds
  <proof>

declare Trueprop-code [symmetric, code-post]

Equality
declare simp-thms(6) [code nbe]

instantiation itself :: (type) equal
begin

definition equal-itself :: 'a itself  $\Rightarrow$  'a itself  $\Rightarrow$  bool
  where equal-itself x y  $\longleftrightarrow$  x = y

instance
  <proof>

end

lemma equal-itself-code [code]: equal TYPE('a) TYPE('a)  $\longleftrightarrow$  True
  <proof>

  <ML>

lemma equal-alias-cert: OFCLASS('a, equal-class)  $\equiv$  ((op = :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool)
 $\equiv$  equal)
  (is ?ofclass  $\equiv$  ?equal)
  <proof>

  <ML>

Cases
lemma Let-case-cert:
  assumes CASE  $\equiv$  ( $\lambda x. \text{Let } x \text{ } f$ )
  shows CASE x  $\equiv$  f x
  <proof>

  <ML>

declare [[code abort: undefined]]

3.1.4 Generic code generator target languages

type bool
code-printing

```

```

type-constructor bool  $\rightarrow$ 
  (SML) bool and (OCaml) bool and (Haskell) Bool and (Scala) Boolean
| constant True  $\rightarrow$ 
  (SML) true and (OCaml) true and (Haskell) True and (Scala) true
| constant False  $\rightarrow$ 
  (SML) false and (OCaml) false and (Haskell) False and (Scala) false

code-reserved SML
  bool true false

code-reserved OCaml
  bool

code-reserved Scala
  Boolean

code-printing
  constant Not  $\rightarrow$ 
    (SML) not and (OCaml) not and (Haskell) not and (Scala) ! -
  | constant HOL.conj  $\rightarrow$ 
    (SML) infixl 1 andalso and (OCaml) infixl 3 && and (Haskell) infixr 3 &&
and (Scala) infixl 3 &&
  | constant HOL.disj  $\rightarrow$ 
    (SML) infixl 0 orelse and (OCaml) infixl 2 || and (Haskell) infixl 2 || and
(Scala) infixl 1 ||
  | constant HOL.implies  $\rightarrow$ 
    (SML) !(if (-) / then (-) / else true)
    and (OCaml) !(if (-) / then (-) / else true)
    and (Haskell) !(if (-) / then (-) / else True)
    and (Scala) !(if ((-)) / (-) / else true)
  | constant If  $\rightarrow$ 
    (SML) !(if (-) / then (-) / else (-))
    and (OCaml) !(if (-) / then (-) / else (-))
    and (Haskell) !(if (-) / then (-) / else (-))
    and (Scala) !(if ((-)) / (-) / else (-))

code-reserved SML
  not

code-reserved OCaml
  not

code-identifier
  code-module Pure  $\rightarrow$ 
    (SML) HOL and (OCaml) HOL and (Haskell) HOL and (Scala) HOL

Using built-in Haskell equality.

code-printing
  type-class equal  $\rightarrow$  (Haskell) Eq

```



```
| constant HOL.equal  $\rightarrow$  (Haskell) infix 4 ==
| constant HOL.eq  $\rightarrow$  (Haskell) infix 4 ==
```

```
undefined
```

code-printing

```
constant undefined  $\rightarrow$ 
  (SML) !(raise/ Fail/ undefined)
  and (OCaml) failwith/ undefined
  and (Haskell) error/ undefined
  and (Scala) !sys.error(undefined)
```

3.1.5 Evaluation and normalization by evaluation

$\langle ML \rangle$

3.2 Counterexample Search Units

3.2.1 Quickcheck

```
quickcheck-params [size = 5, iterations = 50]
```

3.2.2 Nitpick setup

```
named-theorems nitpick-unfold alternative definitions of constants as needed by Nitpick
```

```
  and nitpick-simp equational specification of constants as needed by Nitpick
  and nitpick-psimp partial equational specification of constants as needed by Nitpick
  and nitpick-choice-spec choice specification of constants as needed by Nitpick
```

```
declare if-bool-eq-conj [nitpick-unfold, no-atp]
  and if-bool-eq-disj [no-atp]
```

3.3 Preprocessing for the predicate compiler

```
named-theorems code-pred-def alternative definitions of constants for the Predicate Compiler
```

```
  and code-pred-inline inlining definitions for the Predicate Compiler
  and code-pred-simp simplification rules for the optimisations in the Predicate Compiler
```

3.4 Legacy tactics and ML bindings

$\langle ML \rangle$

```
hide-const (open) eq equal
```

```
end
```

4 Abstract orderings

```
theory Orderings
imports HOL
keywords print-orders :: diag
begin
```

$\langle ML \rangle$

4.1 Abstract ordering

```
locale ordering =
  fixes less-eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\leq$  50)
  and less :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $<$  50)
  assumes strict-iff-order:  $a < b \iff a \leq b \wedge a \neq b$ 
  assumes refl:  $a \leq a$  — not iff: makes problems due to multiple (dual) interpretations
  and antisym:  $a \leq b \implies b \leq a \implies a = b$ 
  and trans:  $a \leq b \implies b \leq c \implies a \leq c$ 
begin
```

```
lemma strict-implies-order:
   $a < b \implies a \leq b$ 
 $\langle proof \rangle$ 
```

```
lemma strict-implies-not-eq:
   $a < b \implies a \neq b$ 
 $\langle proof \rangle$ 
```

```
lemma not-eq-order-implies-strict:
   $a \neq b \implies a \leq b \implies a < b$ 
 $\langle proof \rangle$ 
```

```
lemma order-iff-strict:
   $a \leq b \iff a < b \vee a = b$ 
 $\langle proof \rangle$ 
```

```
lemma irrefl: — not iff: makes problems due to multiple (dual) interpretations
   $\neg a < a$ 
 $\langle proof \rangle$ 
```

```
lemma asym:
   $a < b \implies b < a \implies False$ 
 $\langle proof \rangle$ 
```

```
lemma strict-trans1:
   $a \leq b \implies b < c \implies a < c$ 
 $\langle proof \rangle$ 
```

```
lemma strict-trans2:
```

$a < b \implies b \leq c \implies a < c$
 $\langle \text{proof} \rangle$

lemma *strict-trans*:

$a < b \implies b < c \implies a < c$
 $\langle \text{proof} \rangle$

end

Alternative introduction rule with bias towards strict order

lemma *ordering-strictI*:

fixes *less-eq* (**infix** \leq 50)
and *less* (**infix** $<$ 50)
assumes *less-eq-less*: $\bigwedge a\ b. a \leq b \longleftrightarrow a < b \vee a = b$
assumes *asym*: $\bigwedge a\ b. a < b \implies \neg b < a$
assumes *irrefl*: $\bigwedge a. \neg a < a$
assumes *trans*: $\bigwedge a\ b\ c. a < b \implies b < c \implies a < c$
shows *ordering less-eq less*
 $\langle \text{proof} \rangle$

lemma *ordering-dualI*:

fixes *less-eq* (**infix** \leq 50)
and *less* (**infix** $<$ 50)
assumes *ordering* $(\lambda a\ b. b \leq a) (\lambda a\ b. b < a)$
shows *ordering less-eq less*
 $\langle \text{proof} \rangle$

locale *ordering-top* = *ordering* +

fixes *top* :: 'a (\top)
assumes *extremum* [*simp*]: $a \leq \top$
begin

lemma *extremum-uniqueI*:

$\top \leq a \implies a = \top$
 $\langle \text{proof} \rangle$

lemma *extremum-unique*:

$\top \leq a \longleftrightarrow a = \top$
 $\langle \text{proof} \rangle$

lemma *extremum-strict* [*simp*]:

$\neg (\top < a)$
 $\langle \text{proof} \rangle$

lemma *not-eq-extremum*:

$a \neq \top \longleftrightarrow a < \top$
 $\langle \text{proof} \rangle$

end

4.2 Syntactic orders

```

class ord =
  fixes less-eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
    and less :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
begin

notation
  less-eq (op  $\leq$ ) and
  less-eq ((-/  $\leq$  -) [51, 51] 50) and
  less (op  $<$ ) and
  less ((-/  $<$  -) [51, 51] 50)

abbreviation (input)
  greater-eq (infix  $\geq$  50)
  where  $x \geq y \equiv y \leq x$ 

abbreviation (input)
  greater (infix  $>$  50)
  where  $x > y \equiv y < x$ 

notation (ASCII)
  less-eq (op  $\leq$ ) and
  less-eq ((-/  $\leq$  -) [51, 51] 50)

notation (input)
  greater-eq (infix  $\geq$  50)

end

```

4.3 Quasi orders

```

class preorder = ord +
  assumes less-le-not-le:  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$ 
  and order-refl [iff]:  $x \leq x$ 
  and order-trans:  $x \leq y \Longrightarrow y \leq z \Longrightarrow x \leq z$ 
begin

```

Reflexivity.

lemma eq-refl: $x = y \Longrightarrow x \leq y$
 — This form is useful with the classical reasoner.
 $\langle proof \rangle$

lemma less-irrefl [iff]: $\neg x < x$
 $\langle proof \rangle$

lemma less-imp-le: $x < y \Longrightarrow x \leq y$
 $\langle proof \rangle$

Asymmetry.

lemma *less-not-sym*: $x < y \implies \neg (y < x)$
 $\langle \text{proof} \rangle$

lemma *less-asym*: $x < y \implies (\neg P \implies y < x) \implies P$
 $\langle \text{proof} \rangle$

Transitivity.

lemma *less-trans*: $x < y \implies y < z \implies x < z$
 $\langle \text{proof} \rangle$

lemma *le-less-trans*: $x \leq y \implies y < z \implies x < z$
 $\langle \text{proof} \rangle$

lemma *less-le-trans*: $x < y \implies y \leq z \implies x < z$
 $\langle \text{proof} \rangle$

Useful for simplification, but too risky to include by default.

lemma *less-imp-not-less*: $x < y \implies (\neg y < x) \longleftrightarrow \text{True}$
 $\langle \text{proof} \rangle$

lemma *less-imp-triv*: $x < y \implies (y < x \longrightarrow P) \longleftrightarrow \text{True}$
 $\langle \text{proof} \rangle$

Transitivity rules for calculational reasoning

lemma *less-asym'*: $a < b \implies b < a \implies P$
 $\langle \text{proof} \rangle$

Dual order

lemma *dual-preorder*:
 $\text{class.preorder } (op \geq) (op >)$
 $\langle \text{proof} \rangle$

end

4.4 Partial orders

class *order* = *preorder* +
assumes *antisym*: $x \leq y \implies y \leq x \implies x = y$
begin

lemma *less-le*: $x < y \longleftrightarrow x \leq y \wedge x \neq y$
 $\langle \text{proof} \rangle$

sublocale *order*: *ordering less-eq less* + *dual-order*: *ordering greater-eq greater*
 $\langle \text{proof} \rangle$

Reflexivity.

lemma *le-less*: $x \leq y \longleftrightarrow x < y \vee x = y$

— NOT suitable for iff, since it can cause PROOF FAILED.
 $\langle \text{proof} \rangle$

lemma *le-imp-less-or-eq*: $x \leq y \implies x < y \vee x = y$
 $\langle \text{proof} \rangle$

Useful for simplification, but too risky to include by default.

lemma *less-imp-not-eq*: $x < y \implies (x = y) \longleftrightarrow \text{False}$
 $\langle \text{proof} \rangle$

lemma *less-imp-not-eq2*: $x < y \implies (y = x) \longleftrightarrow \text{False}$
 $\langle \text{proof} \rangle$

Transitivity rules for calculational reasoning

lemma *neq-le-trans*: $a \neq b \implies a \leq b \implies a < b$
 $\langle \text{proof} \rangle$

lemma *le-neq-trans*: $a \leq b \implies a \neq b \implies a < b$
 $\langle \text{proof} \rangle$

Asymmetry.

lemma *eq-iff*: $x = y \longleftrightarrow x \leq y \wedge y \leq x$
 $\langle \text{proof} \rangle$

lemma *antisym-conv*: $y \leq x \implies x \leq y \longleftrightarrow x = y$
 $\langle \text{proof} \rangle$

lemma *less-imp-neq*: $x < y \implies x \neq y$
 $\langle \text{proof} \rangle$

Least value operator

definition (*in ord*)

Least :: ($'a \Rightarrow \text{bool}$) $\Rightarrow 'a$ (**binder** *LEAST* 10) **where**
Least $P = (\text{THE } x. P\ x \wedge (\forall y. P\ y \longrightarrow x \leq y))$

lemma *Least-equality*:

assumes $P\ x$
and $\bigwedge y. P\ y \implies x \leq y$
shows $\text{Least } P = x$

$\langle \text{proof} \rangle$

lemma *LeastI2-order*:

assumes $P\ x$
and $\bigwedge y. P\ y \implies x \leq y$
and $\bigwedge x. P\ x \implies \forall y. P\ y \longrightarrow x \leq y \implies Q\ x$
shows $Q\ (\text{Least } P)$

$\langle \text{proof} \rangle$

Greatest value operator

definition *Greatest* :: ($'a \Rightarrow \text{bool}$) $\Rightarrow 'a$ (**binder** *GREATEST* 10) **where**
Greatest $P = (\text{THE } x. P\ x \wedge (\forall y. P\ y \longrightarrow x \geq y))$

lemma *GreatestI2-order*:

$\llbracket P\ x;$
 $\quad \bigwedge y. P\ y \Longrightarrow x \geq y;$
 $\quad \bigwedge x. \llbracket P\ x; \forall y. P\ y \longrightarrow x \geq y \rrbracket \Longrightarrow Q\ x \rrbracket$
 $\Longrightarrow Q\ (\text{Greatest } P)$
 $\langle \text{proof} \rangle$

lemma *Greatest-equality*:

$\llbracket P\ x; \bigwedge y. P\ y \Longrightarrow x \geq y \rrbracket \Longrightarrow \text{Greatest } P = x$
 $\langle \text{proof} \rangle$

end

lemma *ordering-orderI*:

fixes *less-eq* (**infix** \leq 50)
and *less* (**infix** $<$ 50)
assumes *ordering less-eq less*
shows *class.order less-eq less*
 $\langle \text{proof} \rangle$

lemma *order-strictI*:

fixes *less* (**infix** \sqsubset 50)
and *less-eq* (**infix** \sqsubseteq 50)
assumes $\bigwedge a\ b. a \sqsubseteq b \longleftrightarrow a \sqsubset b \vee a = b$
assumes $\bigwedge a\ b. a \sqsubset b \Longrightarrow \neg b \sqsubset a$
assumes $\bigwedge a. \neg a \sqsubset a$
assumes $\bigwedge a\ b\ c. a \sqsubset b \Longrightarrow b \sqsubset c \Longrightarrow a \sqsubset c$
shows *class.order less-eq less*
 $\langle \text{proof} \rangle$

context *order*

begin

Dual order

lemma *dual-order*:

class.order ($op \geq$) ($op >$)
 $\langle \text{proof} \rangle$

end

4.5 Linear (total) orders

class *linorder* = *order* +

assumes *linear*: $x \leq y \vee y \leq x$

begin

lemma *less-linear*: $x < y \vee x = y \vee y < x$
 $\langle \text{proof} \rangle$

lemma *le-less-linear*: $x \leq y \vee y < x$
 $\langle \text{proof} \rangle$

lemma *le-cases* [case-names *le ge*]:
 $(x \leq y \implies P) \implies (y \leq x \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma (in *linorder*) *le-cases3*:
 $\llbracket x \leq y; y \leq z \rrbracket \implies P; \llbracket y \leq x; x \leq z \rrbracket \implies P; \llbracket x \leq z; z \leq y \rrbracket \implies P;$
 $\llbracket z \leq y; y \leq x \rrbracket \implies P; \llbracket y \leq z; z \leq x \rrbracket \implies P; \llbracket z \leq x; x \leq y \rrbracket \implies P \implies P$
 $\langle \text{proof} \rangle$

lemma *linorder-cases* [case-names *less equal greater*]:
 $(x < y \implies P) \implies (x = y \implies P) \implies (y < x \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *linorder-wlog* [case-names *le sym*]:
 $(\bigwedge a b. a \leq b \implies P a b) \implies (\bigwedge a b. P b a \implies P a b) \implies P a b$
 $\langle \text{proof} \rangle$

lemma *not-less*: $\neg x < y \longleftrightarrow y \leq x$
 $\langle \text{proof} \rangle$

lemma *not-less-iff-gr-or-eq*:
 $\neg(x < y) \longleftrightarrow (x > y \mid x = y)$
 $\langle \text{proof} \rangle$

lemma *not-le*: $\neg x \leq y \longleftrightarrow y < x$
 $\langle \text{proof} \rangle$

lemma *neq-iff*: $x \neq y \longleftrightarrow x < y \vee y < x$
 $\langle \text{proof} \rangle$

lemma *neqE*: $x \neq y \implies (x < y \implies R) \implies (y < x \implies R) \implies R$
 $\langle \text{proof} \rangle$

lemma *antisym-conv1*: $\neg x < y \implies x \leq y \longleftrightarrow x = y$
 $\langle \text{proof} \rangle$

lemma *antisym-conv2*: $x \leq y \implies \neg x < y \longleftrightarrow x = y$
 $\langle \text{proof} \rangle$

lemma *antisym-conv3*: $\neg y < x \implies \neg x < y \longleftrightarrow x = y$
 $\langle \text{proof} \rangle$

lemma *leI*: $\neg x < y \implies y \leq x$

<proof>

lemma *leD*: $y \leq x \implies \neg x < y$

<proof>

lemma *not-le-imp-less*: $\neg y \leq x \implies x < y$

<proof>

lemma *linorder-less-wlog*[*case-names less refl sym*]:

$\llbracket \bigwedge a\ b. a < b \implies P\ a\ b; \bigwedge a. P\ a\ a; \bigwedge a\ b. P\ b\ a \implies P\ a\ b \rrbracket \implies P\ a\ b$

<proof>

Dual order

lemma *dual-linorder*:

class.linorder (*op* \geq) (*op* $>$)

<proof>

end

Alternative introduction rule with bias towards strict order

lemma *linorder-strictI*:

fixes *less-eq* (**infix** \leq 50)

and *less* (**infix** $<$ 50)

assumes *class.order less-eq less*

assumes *trichotomy*: $\bigwedge a\ b. a < b \vee a = b \vee b < a$

shows *class.linorder less-eq less*

<proof>

4.6 Reasoning tools setup

<ML>

Declarations to set up transitivity reasoner of partial and linear orders.

context *order*

begin

declare *less-irrefl* [*THEN notE*, *order add less-reflE*: *order op* = :: '*a* \Rightarrow '*a* \Rightarrow *bool op* \leq *op* $<$]

declare *order-refl* [*order add le-refl*: *order op* = :: '*a* \Rightarrow '*a* \Rightarrow *bool op* \leq *op* $<$]

declare *less-imp-le* [*order add less-imp-le*: *order op* = :: '*a* \Rightarrow '*a* \Rightarrow *bool op* \leq *op* $<$]

declare *antisym* [*order add eqI*: *order op* = :: '*a* \Rightarrow '*a* \Rightarrow *bool op* \leq *op* $<$]

```

declare eq-refl [order add eqD1: order op = :: 'a => 'a => bool op <= op <]

declare sym [THEN eq-refl, order add eqD2: order op = :: 'a => 'a => bool op
<= op <]

declare less-trans [order add less-trans: order op = :: 'a => 'a => bool op <=
op <]

declare less-le-trans [order add less-le-trans: order op = :: 'a => 'a => bool op
<= op <]

declare le-less-trans [order add le-less-trans: order op = :: 'a => 'a => bool op
<= op <]

declare order-trans [order add le-trans: order op = :: 'a => 'a => bool op <=
op <]

declare le-neq-trans [order add le-neq-trans: order op = :: 'a => 'a => bool op
<= op <]

declare neq-le-trans [order add neq-le-trans: order op = :: 'a => 'a => bool op
<= op <]

declare less-imp-neq [order add less-imp-neq: order op = :: 'a => 'a => bool op
<= op <]

declare eq-neq-eq-imp-neq [order add eq-neq-eq-imp-neq: order op = :: 'a => 'a
=> bool op <= op <]

declare not-sym [order add not-sym: order op = :: 'a => 'a => bool op <= op
<]

end

context linorder
begin

declare [[order del: order op = :: 'a => 'a => bool op <= op <]]

declare less-irrefl [THEN notE, order add less-reflE: linorder op = :: 'a => 'a
=> bool op <= op <]

declare order-refl [order add le-refl: linorder op = :: 'a => 'a => bool op <= op
<]

declare less-imp-le [order add less-imp-le: linorder op = :: 'a => 'a => bool op
<= op <]

declare not-less [THEN iffD2, order add not-lessI: linorder op = :: 'a => 'a =>

```

bool op <= op <]

declare *not-le* [*THEN iffD2, order add not-leI: linorder op = :: 'a => 'a => bool op <= op <]*

declare *not-less* [*THEN iffD1, order add not-lessD: linorder op = :: 'a => 'a => bool op <= op <]*

declare *not-le* [*THEN iffD1, order add not-leD: linorder op = :: 'a => 'a => bool op <= op <]*

declare *antisym* [*order add eqI: linorder op = :: 'a => 'a => bool op <= op <]*

declare *eq-refl* [*order add eqD1: linorder op = :: 'a => 'a => bool op <= op <]*

declare *sym* [*THEN eq-refl, order add eqD2: linorder op = :: 'a => 'a => bool op <= op <]*

declare *less-trans* [*order add less-trans: linorder op = :: 'a => 'a => bool op <= op <]*

declare *less-le-trans* [*order add less-le-trans: linorder op = :: 'a => 'a => bool op <= op <]*

declare *le-less-trans* [*order add le-less-trans: linorder op = :: 'a => 'a => bool op <= op <]*

declare *order-trans* [*order add le-trans: linorder op = :: 'a => 'a => bool op <= op <]*

declare *le-neq-trans* [*order add le-neq-trans: linorder op = :: 'a => 'a => bool op <= op <]*

declare *neq-le-trans* [*order add neq-le-trans: linorder op = :: 'a => 'a => bool op <= op <]*

declare *less-imp-neq* [*order add less-imp-neq: linorder op = :: 'a => 'a => bool op <= op <]*

declare *eq-neq-eq-imp-neq* [*order add eq-neq-eq-imp-neq: linorder op = :: 'a => 'a => bool op <= op <]*

declare *not-sym* [*order add not-sym: linorder op = :: 'a => 'a => bool op <= op <]*

end

<ML>

4.7 Bounded quantifiers

syntax (*ASCII*)

$-All-less :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ALL \text{ -<-./ -}) [0, 0, 10] 10)$
 $-Ex-less :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists EX \text{ -<-./ -}) [0, 0, 10] 10)$
 $-All-less-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ALL \text{ -<=.-./ -}) [0, 0, 10] 10)$
 $-Ex-less-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists EX \text{ -<=.-./ -}) [0, 0, 10] 10)$

 $-All-greater :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ALL \text{ ->.-./ -}) [0, 0, 10] 10)$
 $-Ex-greater :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists EX \text{ ->.-./ -}) [0, 0, 10] 10)$
 $-All-greater-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ALL \text{ ->=.-./ -}) [0, 0, 10] 10)$
 $-Ex-greater-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists EX \text{ ->=.-./ -}) [0, 0, 10] 10)$

syntax

$-All-less :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \forall \text{ -<-./ -}) [0, 0, 10] 10)$
 $-Ex-less :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \exists \text{ -<-./ -}) [0, 0, 10] 10)$
 $-All-less-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \forall \text{ -<=.-./ -}) [0, 0, 10] 10)$
 $-Ex-less-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \exists \text{ -<=.-./ -}) [0, 0, 10] 10)$

 $-All-greater :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \forall \text{ ->.-./ -}) [0, 0, 10] 10)$
 $-Ex-greater :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \exists \text{ ->.-./ -}) [0, 0, 10] 10)$
 $-All-greater-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \forall \text{ ->=.-./ -}) [0, 0, 10] 10)$
 $-Ex-greater-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists \exists \text{ ->=.-./ -}) [0, 0, 10] 10)$

syntax (*input*)

$-All-less :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ! \text{ -<-./ -}) [0, 0, 10] 10)$
 $-Ex-less :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ? \text{ -<-./ -}) [0, 0, 10] 10)$
 $-All-less-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ! \text{ -<=.-./ -}) [0, 0, 10] 10)$
 $-Ex-less-eq :: [idt, 'a, bool] \Rightarrow bool \quad ((\exists ? \text{ -<=.-./ -}) [0, 0, 10] 10)$

translations

$ALL\ x < y. P \Rightarrow ALL\ x. x < y \longrightarrow P$
 $EX\ x < y. P \Rightarrow EX\ x. x < y \wedge P$
 $ALL\ x \leq y. P \Rightarrow ALL\ x. x \leq y \longrightarrow P$
 $EX\ x \leq y. P \Rightarrow EX\ x. x \leq y \wedge P$
 $ALL\ x > y. P \Rightarrow ALL\ x. x > y \longrightarrow P$
 $EX\ x > y. P \Rightarrow EX\ x. x > y \wedge P$
 $ALL\ x \geq y. P \Rightarrow ALL\ x. x \geq y \longrightarrow P$
 $EX\ x \geq y. P \Rightarrow EX\ x. x \geq y \wedge P$

$\langle ML \rangle$

4.8 Transitivity reasoning

context *ord*

begin

lemma *ord-le-eq-trans*: $a \leq b \Longrightarrow b = c \Longrightarrow a \leq c$

$\langle proof \rangle$

lemma *ord-eq-le-trans*: $a = b \implies b \leq c \implies a \leq c$
 $\langle \text{proof} \rangle$

lemma *ord-less-eq-trans*: $a < b \implies b = c \implies a < c$
 $\langle \text{proof} \rangle$

lemma *ord-eq-less-trans*: $a = b \implies b < c \implies a < c$
 $\langle \text{proof} \rangle$

end

lemma *order-less-subst2*: $(a::'a::\text{order}) < b \implies f\ b < (c::'c::\text{order}) \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$
 $\langle \text{proof} \rangle$

lemma *order-less-subst1*: $(a::'a::\text{order}) < f\ b \implies (b::'b::\text{order}) < c \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies a < f\ c$
 $\langle \text{proof} \rangle$

lemma *order-le-less-subst2*: $(a::'a::\text{order}) <= b \implies f\ b < (c::'c::\text{order}) \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies f\ a < c$
 $\langle \text{proof} \rangle$

lemma *order-le-less-subst1*: $(a::'a::\text{order}) <= f\ b \implies (b::'b::\text{order}) < c \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies a < f\ c$
 $\langle \text{proof} \rangle$

lemma *order-less-le-subst2*: $(a::'a::\text{order}) < b \implies f\ b <= (c::'c::\text{order}) \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$
 $\langle \text{proof} \rangle$

lemma *order-less-le-subst1*: $(a::'a::\text{order}) < f\ b \implies (b::'b::\text{order}) <= c \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies a < f\ c$
 $\langle \text{proof} \rangle$

lemma *order-subst1*: $(a::'a::\text{order}) <= f\ b \implies (b::'b::\text{order}) <= c \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies a <= f\ c$
 $\langle \text{proof} \rangle$

lemma *order-subst2*: $(a::'a::\text{order}) <= b \implies f\ b <= (c::'c::\text{order}) \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies f\ a <= c$
 $\langle \text{proof} \rangle$

lemma *ord-le-eq-subst*: $a <= b \implies f\ b = c \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies f\ a <= c$
 $\langle \text{proof} \rangle$

lemma *ord-eq-le-subst*: $a = f\ b \implies b <= c \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies a <= f\ c$

$\langle \text{proof} \rangle$

lemma *ord-less-eq-subst*: $a < b \implies f\ b = c \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$
 $\langle \text{proof} \rangle$

lemma *ord-eq-less-subst*: $a = f\ b \implies b < c \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies a < f\ c$
 $\langle \text{proof} \rangle$

Note that this list of rules is in reverse order of priorities.

lemmas [*trans*] =
order-less-subst2
order-less-subst1
order-le-less-subst2
order-le-less-subst1
order-less-le-subst2
order-less-le-subst1
order-subst2
order-subst1
ord-le-eq-subst
ord-eq-le-subst
ord-less-eq-subst
ord-eq-less-subst
forw-subst
back-subst
rev-mp
mp

lemmas (**in** *order*) [*trans*] =
neq-le-trans
le-neq-trans

lemmas (**in** *preorder*) [*trans*] =
less-trans
less-asym'
le-less-trans
less-le-trans
order-trans

lemmas (**in** *order*) [*trans*] =
antisym

lemmas (**in** *ord*) [*trans*] =
ord-le-eq-trans
ord-eq-le-trans
ord-less-eq-trans
ord-eq-less-trans

lemmas [*trans*] =
trans

lemmas *order-trans-rules* =
order-less-subst2
order-less-subst1
order-le-less-subst2
order-le-less-subst1
order-less-le-subst2
order-less-le-subst1
order-subst2
order-subst1
ord-le-eq-subst
ord-eq-le-subst
ord-less-eq-subst
ord-eq-less-subst
forw-subst
back-subst
rev-mp
mp
neq-le-trans
le-neq-trans
less-trans
less-asym'
le-less-trans
less-le-trans
order-trans
antisym
ord-le-eq-trans
ord-eq-le-trans
ord-less-eq-trans
ord-eq-less-trans
trans

These support proving chains of decreasing inequalities $a \leq b \leq c \dots$ in Isar proofs.

lemma *xt1* [*no-atp*]:
 $a = b \implies b > c \implies a > c$
 $a > b \implies b = c \implies a > c$
 $a = b \implies b \geq c \implies a \geq c$
 $a \geq b \implies b = c \implies a \geq c$
 $(x::'a::\text{order}) \geq y \implies y \geq x \implies x = y$
 $(x::'a::\text{order}) \geq y \implies y \geq z \implies x \geq z$
 $(x::'a::\text{order}) > y \implies y \geq z \implies x > z$
 $(x::'a::\text{order}) \geq y \implies y > z \implies x > z$
 $(a::'a::\text{order}) > b \implies b > a \implies P$
 $(x::'a::\text{order}) > y \implies y > z \implies x > z$
 $(a::'a::\text{order}) \geq b \implies a \sim b \implies a > b$
 $(a::'a::\text{order}) \sim b \implies a \geq b \implies a > b$

$$\begin{aligned}
a = f b &\implies b > c \implies (!x y. x > y \implies f x > f y) \implies a > f c \\
a > b &\implies f b = c \implies (!x y. x > y \implies f x > f y) \implies f a > c \\
a = f b &\implies b \geq c \implies (!x y. x \geq y \implies f x \geq f y) \implies a \geq f c \\
a \geq b &\implies f b = c \implies (!x y. x \geq y \implies f x \geq f y) \implies f a \geq c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt2* [*no-atp*]:

$$\begin{aligned}
(a::'a::\text{order}) \geq f b &\implies b \geq c \implies (!x y. x \geq y \implies f x \geq f y) \implies \\
a \geq f c & \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt3* [*no-atp*]: $(a::'a::\text{order}) \geq b \implies (f b::'b::\text{order}) \geq c \implies$

$$\begin{aligned}
(!x y. x \geq y \implies f x \geq f y) &\implies f a \geq c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt4* [*no-atp*]: $(a::'a::\text{order}) > f b \implies (b::'b::\text{order}) \geq c \implies$

$$\begin{aligned}
(!x y. x \geq y \implies f x \geq f y) &\implies a > f c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt5* [*no-atp*]: $(a::'a::\text{order}) > b \implies (f b::'b::\text{order}) \geq c \implies$

$$\begin{aligned}
(!x y. x > y \implies f x > f y) &\implies f a > c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt6* [*no-atp*]: $(a::'a::\text{order}) \geq f b \implies b > c \implies$

$$\begin{aligned}
(!x y. x > y \implies f x > f y) &\implies a > f c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt7* [*no-atp*]: $(a::'a::\text{order}) \geq b \implies (f b::'b::\text{order}) > c \implies$

$$\begin{aligned}
(!x y. x \geq y \implies f x \geq f y) &\implies f a > c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt8* [*no-atp*]: $(a::'a::\text{order}) > f b \implies (b::'b::\text{order}) > c \implies$

$$\begin{aligned}
(!x y. x > y \implies f x > f y) &\implies a > f c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemma *xt9* [*no-atp*]: $(a::'a::\text{order}) > b \implies (f b::'b::\text{order}) > c \implies$

$$\begin{aligned}
(!x y. x > y \implies f x > f y) &\implies f a > c \\
\langle \text{proof} \rangle
\end{aligned}$$

lemmas *xtrans* = *xt1 xt2 xt3 xt4 xt5 xt6 xt7 xt8 xt9*

4.9 Monotonicity

context *order*

begin

definition *mono* :: $('a \Rightarrow 'b::\text{order}) \Rightarrow \text{bool}$ **where**

$$\text{mono } f \longleftrightarrow (\forall x y. x \leq y \longrightarrow f x \leq f y)$$

lemma *monoI* [*intro?*]:
fixes $f :: 'a \Rightarrow 'b::order$
shows $(\bigwedge x y. x \leq y \Longrightarrow f x \leq f y) \Longrightarrow mono\ f$
 $\langle proof \rangle$

lemma *monoD* [*dest?*]:
fixes $f :: 'a \Rightarrow 'b::order$
shows $mono\ f \Longrightarrow x \leq y \Longrightarrow f x \leq f y$
 $\langle proof \rangle$

lemma *monoE*:
fixes $f :: 'a \Rightarrow 'b::order$
assumes $mono\ f$
assumes $x \leq y$
obtains $f x \leq f y$
 $\langle proof \rangle$

definition *antimono* :: $('a \Rightarrow 'b::order) \Rightarrow bool$ **where**
 $antimono\ f \longleftrightarrow (\forall x y. x \leq y \longrightarrow f x \geq f y)$

lemma *antimonoI* [*intro?*]:
fixes $f :: 'a \Rightarrow 'b::order$
shows $(\bigwedge x y. x \leq y \Longrightarrow f x \geq f y) \Longrightarrow antimono\ f$
 $\langle proof \rangle$

lemma *antimonoD* [*dest?*]:
fixes $f :: 'a \Rightarrow 'b::order$
shows $antimono\ f \Longrightarrow x \leq y \Longrightarrow f x \geq f y$
 $\langle proof \rangle$

lemma *antimonoE*:
fixes $f :: 'a \Rightarrow 'b::order$
assumes $antimono\ f$
assumes $x \leq y$
obtains $f x \geq f y$
 $\langle proof \rangle$

definition *strict-mono* :: $('a \Rightarrow 'b::order) \Rightarrow bool$ **where**
 $strict-mono\ f \longleftrightarrow (\forall x y. x < y \longrightarrow f x < f y)$

lemma *strict-monoI* [*intro?*]:
assumes $\bigwedge x y. x < y \Longrightarrow f x < f y$
shows $strict-mono\ f$
 $\langle proof \rangle$

lemma *strict-monoD* [*dest?*]:
 $strict-mono\ f \Longrightarrow x < y \Longrightarrow f x < f y$
 $\langle proof \rangle$

lemma *strict-mono-mono* [*dest?*]:
 assumes *strict-mono* *f*
 shows *mono* *f*
 $\langle proof \rangle$

end

context *linorder*
begin

lemma *mono-invE*:
 fixes *f* :: '*a* \Rightarrow '*b*::*order*
 assumes *mono* *f*
 assumes *f* *x* < *f* *y*
 obtains *x* \leq *y*
 $\langle proof \rangle$

lemma *strict-mono-eq*:
 assumes *strict-mono* *f*
 shows *f* *x* = *f* *y* \longleftrightarrow *x* = *y*
 $\langle proof \rangle$

lemma *strict-mono-less-eq*:
 assumes *strict-mono* *f*
 shows *f* *x* \leq *f* *y* \longleftrightarrow *x* \leq *y*
 $\langle proof \rangle$

lemma *strict-mono-less*:
 assumes *strict-mono* *f*
 shows *f* *x* < *f* *y* \longleftrightarrow *x* < *y*
 $\langle proof \rangle$

end

4.10 min and max – fundamental

definition (*in ord*) *min* :: '*a* \Rightarrow '*a* \Rightarrow '*a* **where**
min *a* *b* = (if *a* \leq *b* then *a* else *b*)

definition (*in ord*) *max* :: '*a* \Rightarrow '*a* \Rightarrow '*a* **where**
max *a* *b* = (if *a* \leq *b* then *b* else *a*)

lemma *min-absorb1*: *x* \leq *y* \Longrightarrow *min* *x* *y* = *x*
 $\langle proof \rangle$

lemma *max-absorb2*: *x* \leq *y* \Longrightarrow *max* *x* *y* = *y*
 $\langle proof \rangle$

lemma *min-absorb2*: (*y*::'*a*::*order*) \leq *x* \Longrightarrow *min* *x* *y* = *y*

$\langle proof \rangle$

lemma *max-absorb1*: $(y :: 'a :: order) \leq x \implies \max x y = x$
 $\langle proof \rangle$

lemma *max-min-same* [simp]:
fixes $x y :: 'a :: linorder$
shows $\max x (\min x y) = x \max (\min x y) x = x \max (\min x y) y = y \max y (\min x y) = y$
 $\langle proof \rangle$

4.11 (Unique) top and bottom elements

class *bot* =
fixes $bot :: 'a (\perp)$

class *order-bot* = *order* + *bot* +
assumes *bot-least*: $\perp \leq a$
begin

sublocale *bot*: *ordering-top greater-eq greater bot*
 $\langle proof \rangle$

lemma *le-bot*:
 $a \leq \perp \implies a = \perp$
 $\langle proof \rangle$

lemma *bot-unique*:
 $a \leq \perp \longleftrightarrow a = \perp$
 $\langle proof \rangle$

lemma *not-less-bot*:
 $\neg a < \perp$
 $\langle proof \rangle$

lemma *bot-less*:
 $a \neq \perp \longleftrightarrow \perp < a$
 $\langle proof \rangle$

end

class *top* =
fixes $top :: 'a (\top)$

class *order-top* = *order* + *top* +
assumes *top-greatest*: $a \leq \top$
begin

sublocale *top*: *ordering-top less-eq less top*

$\langle proof \rangle$

lemma *top-le*:

$\top \leq a \implies a = \top$
 $\langle proof \rangle$

lemma *top-unique*:

$\top \leq a \iff a = \top$
 $\langle proof \rangle$

lemma *not-top-less*:

$\neg \top < a$
 $\langle proof \rangle$

lemma *less-top*:

$a \neq \top \iff a < \top$
 $\langle proof \rangle$

end

4.12 Dense orders

class *dense-order* = *order* +

assumes *dense*: $x < y \implies (\exists z. x < z \wedge z < y)$

class *dense-linorder* = *linorder* + *dense-order*

begin

lemma *dense-le*:

fixes $y z :: 'a$
assumes $\bigwedge x. x < y \implies x \leq z$
shows $y \leq z$
 $\langle proof \rangle$

lemma *dense-le-bounded*:

fixes $x y z :: 'a$
assumes $x < y$
assumes *: $\bigwedge w. [x < w ; w < y] \implies w \leq z$
shows $y \leq z$
 $\langle proof \rangle$

lemma *dense-ge*:

fixes $y z :: 'a$
assumes $\bigwedge x. z < x \implies y \leq x$
shows $y \leq z$
 $\langle proof \rangle$

lemma *dense-ge-bounded*:

fixes $x y z :: 'a$

```

assumes  $z < x$ 
assumes *:  $\bigwedge w. \llbracket z < w ; w < x \rrbracket \implies y \leq w$ 
shows  $y \leq z$ 
 $\langle proof \rangle$ 

```

```

end

```

```

class no-top = order +
  assumes gt-ex:  $\exists y. x < y$ 

```

```

class no-bot = order +
  assumes lt-ex:  $\exists y. y < x$ 

```

```

class unbounded-dense-linorder = dense-linorder + no-top + no-bot

```

4.13 Wellorders

```

class wellorder = linorder +
  assumes less-induct [case-names less]:  $(\bigwedge x. (\bigwedge y. y < x \implies P y) \implies P x) \implies P a$ 
begin

```

```

lemma wellorder-Least-lemma:

```

```

  fixes  $k :: 'a$ 
  assumes  $P k$ 
  shows LeastI:  $P (\text{LEAST } x. P x)$  and Least-le:  $(\text{LEAST } x. P x) \leq k$ 
 $\langle proof \rangle$ 

```

```

lemma LeastI-ex:  $\exists x. P x \implies P (\text{Least } P)$ 
 $\langle proof \rangle$ 

```

```

lemma LeastI2:

```

```

   $P a \implies (\bigwedge x. P x \implies Q x) \implies Q (\text{Least } P)$ 
 $\langle proof \rangle$ 

```

```

lemma LeastI2-ex:

```

```

   $\exists a. P a \implies (\bigwedge x. P x \implies Q x) \implies Q (\text{Least } P)$ 
 $\langle proof \rangle$ 

```

```

lemma LeastI2-wellorder:

```

```

  assumes  $P a$ 
  and  $\bigwedge a. \llbracket P a ; \forall b. P b \longrightarrow a \leq b \rrbracket \implies Q a$ 
  shows  $Q (\text{Least } P)$ 
 $\langle proof \rangle$ 

```

```

lemma LeastI2-wellorder-ex:

```

```

  assumes  $\exists x. P x$ 
  and  $\bigwedge a. \llbracket P a ; \forall b. P b \longrightarrow a \leq b \rrbracket \implies Q a$ 
  shows  $Q (\text{Least } P)$ 
 $\langle proof \rangle$ 

```

lemma *not-less-Least*: $k < (LEAST\ x.\ P\ x) \implies \neg P\ k$
 $\langle proof \rangle$

lemma *exists-least-iff*: $(\exists n.\ P\ n) \longleftrightarrow (\exists n.\ P\ n \wedge (\forall m < n.\ \neg P\ m))$ (**is** *?lhs*
 $\longleftrightarrow ?rhs$)
 $\langle proof \rangle$

end

4.14 Order on *bool*

instantiation *bool* :: {*order-bot*, *order-top*, *linorder*}
begin

definition
le-bool-def [*simp*]: $P \leq Q \longleftrightarrow P \longrightarrow Q$

definition
 $[simp]: (P::bool) < Q \longleftrightarrow \neg P \wedge Q$

definition
 $[simp]: \perp \longleftrightarrow False$

definition
 $[simp]: \top \longleftrightarrow True$

instance $\langle proof \rangle$

end

lemma *le-boolI*: $(P \implies Q) \implies P \leq Q$
 $\langle proof \rangle$

lemma *le-boolI'*: $P \longrightarrow Q \implies P \leq Q$
 $\langle proof \rangle$

lemma *le-boolE*: $P \leq Q \implies P \implies (Q \implies R) \implies R$
 $\langle proof \rangle$

lemma *le-boolD*: $P \leq Q \implies P \longrightarrow Q$
 $\langle proof \rangle$

lemma *bot-boolE*: $\perp \implies P$
 $\langle proof \rangle$

lemma *top-boolI*: \top
 $\langle proof \rangle$

```

lemma [code]:
   $False \leq b \longleftrightarrow True$ 
   $True \leq b \longleftrightarrow b$ 
   $False < b \longleftrightarrow b$ 
   $True < b \longleftrightarrow False$ 
  ⟨proof⟩

```

4.15 Order on $- \Rightarrow -$

```

instantiation fun :: (type, ord) ord
begin

```

```

definition
  le-fun-def:  $f \leq g \longleftrightarrow (\forall x. f\ x \leq g\ x)$ 

```

```

definition
   $(f :: 'a \Rightarrow 'b) < g \longleftrightarrow f \leq g \wedge \neg (g \leq f)$ 

```

```

instance ⟨proof⟩

```

```

end

```

```

instance fun :: (type, preorder) preorder ⟨proof⟩

```

```

instance fun :: (type, order) order ⟨proof⟩

```

```

instantiation fun :: (type, bot) bot
begin

```

```

definition
   $\perp = (\lambda x. \perp)$ 

```

```

instance ⟨proof⟩

```

```

end

```

```

instantiation fun :: (type, order-bot) order-bot
begin

```

```

lemma bot-apply [simp, code]:
   $\perp\ x = \perp$ 
  ⟨proof⟩

```

```

instance ⟨proof⟩

```

```

end

```

```

instantiation fun :: (type, top) top
begin

```

definition

$$[no-atp]: \top = (\lambda x. \top)$$
instance $\langle proof \rangle$ **end**
instantiation $fun :: (type, order-top) \rightarrow order-top$
begin
lemma *top-apply* [*simp*, *code*]:
$$\top x = \top$$

$$\langle proof \rangle$$
instance $\langle proof \rangle$ **end**
lemma *le-funI*: $(\bigwedge x. f x \leq g x) \implies f \leq g$
 $\langle proof \rangle$
lemma *le-funE*: $f \leq g \implies (f x \leq g x \implies P) \implies P$
 $\langle proof \rangle$
lemma *le-funD*: $f \leq g \implies f x \leq g x$
 $\langle proof \rangle$
lemma *mono-compose*: $mono\ Q \implies mono\ (\lambda i\ x. Q\ i\ (f\ x))$
 $\langle proof \rangle$
4.16 Order on unary and binary predicates**lemma** *predicate1I*:
assumes $PQ: \bigwedge x. P\ x \implies Q\ x$
shows $P \leq Q$
 $\langle proof \rangle$
lemma *predicate1D*:
 $P \leq Q \implies P\ x \implies Q\ x$
 $\langle proof \rangle$
lemma *rev-predicate1D*:
 $P\ x \implies P \leq Q \implies Q\ x$
 $\langle proof \rangle$
lemma *predicate2I*:
assumes $PQ: \bigwedge x\ y. P\ x\ y \implies Q\ x\ y$
shows $P \leq Q$

$\langle \text{proof} \rangle$

lemma *predicate2D*:

$P \leq Q \implies P \ x \ y \implies Q \ x \ y$

$\langle \text{proof} \rangle$

lemma *rev-predicate2D*:

$P \ x \ y \implies P \leq Q \implies Q \ x \ y$

$\langle \text{proof} \rangle$

lemma *bot1E* [*no-atp*]: $\perp \ x \implies P$

$\langle \text{proof} \rangle$

lemma *bot2E*: $\perp \ x \ y \implies P$

$\langle \text{proof} \rangle$

lemma *top1I*: $\top \ x$

$\langle \text{proof} \rangle$

lemma *top2I*: $\top \ x \ y$

$\langle \text{proof} \rangle$

4.17 Name duplicates

lemmas *order-eq-refl* = *preorder-class.eq-refl*

lemmas *order-less-irrefl* = *preorder-class.less-irrefl*

lemmas *order-less-imp-le* = *preorder-class.less-imp-le*

lemmas *order-less-not-sym* = *preorder-class.less-not-sym*

lemmas *order-less-asym* = *preorder-class.less-asym*

lemmas *order-less-trans* = *preorder-class.less-trans*

lemmas *order-le-less-trans* = *preorder-class.le-less-trans*

lemmas *order-less-le-trans* = *preorder-class.less-le-trans*

lemmas *order-less-imp-not-less* = *preorder-class.less-imp-not-less*

lemmas *order-less-imp-triv* = *preorder-class.less-imp-triv*

lemmas *order-less-asym'* = *preorder-class.less-asym'*

lemmas *order-less-le* = *order-class.less-le*

lemmas *order-le-less* = *order-class.le-less*

lemmas *order-le-imp-less-or-eq* = *order-class.le-imp-less-or-eq*

lemmas *order-less-imp-not-eq* = *order-class.less-imp-not-eq*

lemmas *order-less-imp-not-eq2* = *order-class.less-imp-not-eq2*

lemmas *order-neq-le-trans* = *order-class.neq-le-trans*

lemmas *order-le-neq-trans* = *order-class.le-neq-trans*

lemmas *order-antisym* = *order-class.antisym*

lemmas *order-eq-iff* = *order-class.eq-iff*

lemmas *order-antisym-conv* = *order-class.antisym-conv*

lemmas *linorder-linear* = *linorder-class.linear*

lemmas *linorder-less-linear* = *linorder-class.less-linear*

```

lemmas linorder-le-less-linear = linorder-class.le-less-linear
lemmas linorder-le-cases = linorder-class.le-cases
lemmas linorder-not-less = linorder-class.not-less
lemmas linorder-not-le = linorder-class.not-le
lemmas linorder-neq-iff = linorder-class.neq-iff
lemmas linorder-neqE = linorder-class.neqE
lemmas linorder-antisym-conv1 = linorder-class.antisym-conv1
lemmas linorder-antisym-conv2 = linorder-class.antisym-conv2
lemmas linorder-antisym-conv3 = linorder-class.antisym-conv3

end

```

5 Groups, also combined with orderings

```

theory Groups
  imports Orderings
begin

```

5.1 Dynamic facts

```

named-theorems ac-simps associativity and commutativity simplification rules
  and algebra-simps algebra simplification rules
  and field-simps algebra simplification rules for fields

```

The rewrites accumulated in *algebra-simps* deal with the classical algebraic structures of groups, rings and family. They simplify terms by multiplying everything out (in case of a ring) and bringing sums and products into a canonical form (by ordered rewriting). As a result it decides group and ring equalities but also helps with inequalities.

Of course it also works for fields, but it knows nothing about multiplicative inverses or division. This is catered for by *field-simps*.

Facts in *field-simps* multiply with denominators in (in)equations if they can be proved to be non-zero (for equations) or positive/negative (for inequalities). Can be too aggressive and is therefore separate from the more benign *algebra-simps*.

5.2 Abstract structures

These locales provide basic structures for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may occur due to interpretation.

```

locale semigroup =
  fixes f :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl * 70)
  assumes assoc [ac-simps]:  $a * b * c = a * (b * c)$ 

```

```

locale abel-semigroup = semigroup +

```

assumes *commute* [*ac-simps*]: $a * b = b * a$
begin

lemma *left-commute* [*ac-simps*]: $b * (a * c) = a * (b * c)$
 $\langle proof \rangle$

end

locale *monoid* = *semigroup* +
fixes $z :: 'a$ (**1**)
assumes *left-neutral* [*simp*]: $\mathbf{1} * a = a$
assumes *right-neutral* [*simp*]: $a * \mathbf{1} = a$

locale *comm-monoid* = *abel-semigroup* +
fixes $z :: 'a$ (**1**)
assumes *comm-neutral*: $a * \mathbf{1} = a$
begin

sublocale *monoid*
 $\langle proof \rangle$

end

locale *group* = *semigroup* +
fixes $z :: 'a$ (**1**)
fixes *inverse* :: $'a \Rightarrow 'a$
assumes *group-left-neutral*: $\mathbf{1} * a = a$
assumes *left-inverse* [*simp*]: $inverse\ a * a = \mathbf{1}$
begin

lemma *left-cancel*: $a * b = a * c \longleftrightarrow b = c$
 $\langle proof \rangle$

sublocale *monoid*
 $\langle proof \rangle$

lemma *inverse-unique*:
assumes $a * b = \mathbf{1}$
shows $inverse\ a = b$
 $\langle proof \rangle$

lemma *inverse-neutral* [*simp*]: $inverse\ \mathbf{1} = \mathbf{1}$
 $\langle proof \rangle$

lemma *inverse-inverse* [*simp*]: $inverse\ (inverse\ a) = a$
 $\langle proof \rangle$

lemma *right-inverse* [*simp*]: $a * inverse\ a = \mathbf{1}$
 $\langle proof \rangle$

lemma *inverse-distrib-swap*: $\text{inverse } (a * b) = \text{inverse } b * \text{inverse } a$
 $\langle \text{proof} \rangle$

lemma *right-cancel*: $b * a = c * a \longleftrightarrow b = c$
 $\langle \text{proof} \rangle$

end

5.3 Generic operations

class *zero* =
fixes *zero* :: 'a (0)

class *one* =
fixes *one* :: 'a (1)

hide-const (**open**) *zero one*

lemma *Let-0* [*simp*]: $\text{Let } 0 \ f = f \ 0$
 $\langle \text{proof} \rangle$

lemma *Let-1* [*simp*]: $\text{Let } 1 \ f = f \ 1$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

class *plus* =
fixes *plus* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixl** + 65)

class *minus* =
fixes *minus* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixl** - 65)

class *uminus* =
fixes *uminus* :: 'a \Rightarrow 'a (- - [81] 80)

class *times* =
fixes *times* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixl** * 70)

5.4 Semigroups and Monoids

class *semigroup-add* = *plus* +
assumes *add-assoc* [*algebra-simps*, *field-simps*]: $(a + b) + c = a + (b + c)$
begin

sublocale *add*: *semigroup plus*
 $\langle \text{proof} \rangle$

end

hide-fact *add-assoc*

class *ab-semigroup-add* = *semigroup-add* +
assumes *add-commute* [*algebra-simps*, *field-simps*]: $a + b = b + a$
begin

sublocale *add*: *abel-semigroup plus*
 ⟨*proof*⟩

declare *add.left-commute* [*algebra-simps*, *field-simps*]

lemmas *add-ac* = *add.assoc add.commute add.left-commute*

end

hide-fact *add-commute*

lemmas *add-ac* = *add.assoc add.commute add.left-commute*

class *semigroup-mult* = *times* +
assumes *mult-assoc* [*algebra-simps*, *field-simps*]: $(a * b) * c = a * (b * c)$
begin

sublocale *mult*: *semigroup times*
 ⟨*proof*⟩

end

hide-fact *mult-assoc*

class *ab-semigroup-mult* = *semigroup-mult* +
assumes *mult-commute* [*algebra-simps*, *field-simps*]: $a * b = b * a$
begin

sublocale *mult*: *abel-semigroup times*
 ⟨*proof*⟩

declare *mult.left-commute* [*algebra-simps*, *field-simps*]

lemmas *mult-ac* = *mult.assoc mult.commute mult.left-commute*

end

hide-fact *mult-commute*

lemmas *mult-ac* = *mult.assoc mult.commute mult.left-commute*

class *monoid-add* = *zero* + *semigroup-add* +
assumes *add-0-left*: $0 + a = a$

```

    and add-0-right:  $a + 0 = a$ 
begin

sublocale add: monoid plus 0
  ⟨proof⟩

end

lemma zero-reorient:  $0 = x \longleftrightarrow x = 0$ 
  ⟨proof⟩

class comm-monoid-add = zero + ab-semigroup-add +
  assumes add-0:  $0 + a = a$ 
begin

subclass monoid-add
  ⟨proof⟩

sublocale add: comm-monoid plus 0
  ⟨proof⟩

end

class monoid-mult = one + semigroup-mult +
  assumes mult-1-left:  $1 * a = a$ 
    and mult-1-right:  $a * 1 = a$ 
begin

sublocale mult: monoid times 1
  ⟨proof⟩

end

lemma one-reorient:  $1 = x \longleftrightarrow x = 1$ 
  ⟨proof⟩

class comm-monoid-mult = one + ab-semigroup-mult +
  assumes mult-1:  $1 * a = a$ 
begin

subclass monoid-mult
  ⟨proof⟩

sublocale mult: comm-monoid times 1
  ⟨proof⟩

end

class cancel-semigroup-add = semigroup-add +

```

```

assumes add-left-imp-eq:  $a + b = a + c \implies b = c$ 
assumes add-right-imp-eq:  $b + a = c + a \implies b = c$ 
begin

```

```

lemma add-left-cancel [simp]:  $a + b = a + c \longleftrightarrow b = c$ 
   $\langle proof \rangle$ 

```

```

lemma add-right-cancel [simp]:  $b + a = c + a \longleftrightarrow b = c$ 
   $\langle proof \rangle$ 

```

```

end

```

```

class cancel-ab-semigroup-add = ab-semigroup-add + minus +
  assumes add-diff-cancel-left' [simp]:  $(a + b) - a = b$ 
  assumes diff-diff-add [algebra-simps, field-simps]:  $a - b - c = a - (b + c)$ 
begin

```

```

lemma add-diff-cancel-right' [simp]:  $(a + b) - b = a$ 
   $\langle proof \rangle$ 

```

```

subclass cancel-semigroup-add
   $\langle proof \rangle$ 

```

```

lemma add-diff-cancel-left [simp]:  $(c + a) - (c + b) = a - b$ 
   $\langle proof \rangle$ 

```

```

lemma add-diff-cancel-right [simp]:  $(a + c) - (b + c) = a - b$ 
   $\langle proof \rangle$ 

```

```

lemma diff-right-commute:  $a - c - b = a - b - c$ 
   $\langle proof \rangle$ 

```

```

end

```

```

class cancel-comm-monoid-add = cancel-ab-semigroup-add + comm-monoid-add
begin

```

```

lemma diff-zero [simp]:  $a - 0 = a$ 
   $\langle proof \rangle$ 

```

```

lemma diff-cancel [simp]:  $a - a = 0$ 
   $\langle proof \rangle$ 

```

```

lemma add-implies-diff:
  assumes  $c + b = a$ 
  shows  $c = a - b$ 
   $\langle proof \rangle$ 

```

```

lemma add-cancel-right-right [simp]:  $a = a + b \longleftrightarrow b = 0$ 

```

(is $?P \longleftrightarrow ?Q$)
 $\langle proof \rangle$

lemma *add-cancel-right-left* [simp]: $a = b + a \longleftrightarrow b = 0$
 $\langle proof \rangle$

lemma *add-cancel-left-right* [simp]: $a + b = a \longleftrightarrow b = 0$
 $\langle proof \rangle$

lemma *add-cancel-left-left* [simp]: $b + a = a \longleftrightarrow b = 0$
 $\langle proof \rangle$

end

class *comm-monoid-diff* = *cancel-comm-monoid-add* +
assumes *zero-diff* [simp]: $0 - a = 0$
begin

lemma *diff-add-zero* [simp]: $a - (a + b) = 0$
 $\langle proof \rangle$

end

5.5 Groups

class *group-add* = *minus* + *uminus* + *monoid-add* +
assumes *left-minus*: $- a + a = 0$
assumes *add-uminus-conv-diff* [simp]: $a + (- b) = a - b$
begin

lemma *diff-conv-add-uminus*: $a - b = a + (- b)$
 $\langle proof \rangle$

sublocale *add*: *group plus 0 uminus*
 $\langle proof \rangle$

lemma *minus-unique*: $a + b = 0 \implies - a = b$
 $\langle proof \rangle$

lemma *minus-zero*: $- 0 = 0$
 $\langle proof \rangle$

lemma *minus-minus*: $- (- a) = a$
 $\langle proof \rangle$

lemma *right-minus*: $a + - a = 0$
 $\langle proof \rangle$

lemma *diff-self* [simp]: $a - a = 0$

$\langle proof \rangle$

subclass *cancel-semigroup-add*
 $\langle proof \rangle$

lemma *minus-add-cancel* [simp]: $- a + (a + b) = b$
 $\langle proof \rangle$

lemma *add-minus-cancel* [simp]: $a + (- a + b) = b$
 $\langle proof \rangle$

lemma *diff-add-cancel* [simp]: $a - b + b = a$
 $\langle proof \rangle$

lemma *add-diff-cancel* [simp]: $a + b - b = a$
 $\langle proof \rangle$

lemma *minus-add*: $-(a + b) = - b + - a$
 $\langle proof \rangle$

lemma *right-minus-eq* [simp]: $a - b = 0 \longleftrightarrow a = b$
 $\langle proof \rangle$

lemma *eq-iff-diff-eq-0*: $a = b \longleftrightarrow a - b = 0$
 $\langle proof \rangle$

lemma *diff-0* [simp]: $0 - a = - a$
 $\langle proof \rangle$

lemma *diff-0-right* [simp]: $a - 0 = a$
 $\langle proof \rangle$

lemma *diff-minus-eq-add* [simp]: $a - - b = a + b$
 $\langle proof \rangle$

lemma *neg-equal-iff-equal* [simp]: $- a = - b \longleftrightarrow a = b$
 $\langle proof \rangle$

lemma *neg-equal-0-iff-equal* [simp]: $- a = 0 \longleftrightarrow a = 0$
 $\langle proof \rangle$

lemma *neg-0-equal-iff-equal* [simp]: $0 = - a \longleftrightarrow 0 = a$
 $\langle proof \rangle$

The next two equations can make the simplifier loop!

lemma *equation-minus-iff*: $a = - b \longleftrightarrow b = - a$
 $\langle proof \rangle$

lemma *minus-equation-iff*: $- a = b \longleftrightarrow - b = a$

$\langle proof \rangle$

lemma *eq-neg-iff-add-eq-0*: $a = - b \longleftrightarrow a + b = 0$
 $\langle proof \rangle$

lemma *add-eq-0-iff2*: $a + b = 0 \longleftrightarrow a = - b$
 $\langle proof \rangle$

lemma *neg-eq-iff-add-eq-0*: $- a = b \longleftrightarrow a + b = 0$
 $\langle proof \rangle$

lemma *add-eq-0-iff*: $a + b = 0 \longleftrightarrow b = - a$
 $\langle proof \rangle$

lemma *minus-diff-eq [simp]*: $-(a - b) = b - a$
 $\langle proof \rangle$

lemma *add-diff-eq [algebra-simps, field-simps]*: $a + (b - c) = (a + b) - c$
 $\langle proof \rangle$

lemma *diff-add-eq-diff-diff-swap*: $a - (b + c) = a - c - b$
 $\langle proof \rangle$

lemma *diff-eq-eq [algebra-simps, field-simps]*: $a - b = c \longleftrightarrow a = c + b$
 $\langle proof \rangle$

lemma *eq-diff-eq [algebra-simps, field-simps]*: $a = c - b \longleftrightarrow a + b = c$
 $\langle proof \rangle$

lemma *diff-diff-eq2 [algebra-simps, field-simps]*: $a - (b - c) = (a + c) - b$
 $\langle proof \rangle$

lemma *diff-eq-diff-eq*: $a - b = c - d \implies a = b \longleftrightarrow c = d$
 $\langle proof \rangle$

end

class *ab-group-add* = *minus* + *uminus* + *comm-monoid-add* +
assumes *ab-left-minus*: $- a + a = 0$
assumes *ab-diff-conv-add-uminus*: $a - b = a + (- b)$
begin

subclass *group-add*
 $\langle proof \rangle$

subclass *cancel-comm-monoid-add*
 $\langle proof \rangle$

lemma *uminus-add-conv-diff [simp]*: $- a + b = b - a$

$\langle proof \rangle$

lemma *minus-add-distrib* [*simp*]: $-(a + b) = -a + -b$
 $\langle proof \rangle$

lemma *diff-add-eq* [*algebra-simps*, *field-simps*]: $(a - b) + c = (a + c) - b$
 $\langle proof \rangle$

end

5.6 (Partially) Ordered Groups

The theory of partially ordered groups is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society, 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press, 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer

class *ordered-ab-semigroup-add* = *order* + *ab-semigroup-add* +
assumes *add-left-mono*: $a \leq b \implies c + a \leq c + b$
begin

lemma *add-right-mono*: $a \leq b \implies a + c \leq b + c$
 $\langle proof \rangle$

non-strict, in both arguments

lemma *add-mono*: $a \leq b \implies c \leq d \implies a + c \leq b + d$
 $\langle proof \rangle$

end

Strict monotonicity in both arguments

class *strict-ordered-ab-semigroup-add* = *ordered-ab-semigroup-add* +
assumes *add-strict-mono*: $a < b \implies c < d \implies a + c < b + d$

class *ordered-cancel-ab-semigroup-add* =
ordered-ab-semigroup-add + *cancel-ab-semigroup-add*
begin

lemma *add-strict-left-mono*: $a < b \implies c + a < c + b$
 $\langle proof \rangle$

lemma *add-strict-right-mono*: $a < b \implies a + c < b + c$
 $\langle \text{proof} \rangle$

subclass *strict-ordered-ab-semigroup-add*
 $\langle \text{proof} \rangle$

lemma *add-less-le-mono*: $a < b \implies c \leq d \implies a + c < b + d$
 $\langle \text{proof} \rangle$

lemma *add-le-less-mono*: $a \leq b \implies c < d \implies a + c < b + d$
 $\langle \text{proof} \rangle$

end

class *ordered-ab-semigroup-add-imp-le* = *ordered-cancel-ab-semigroup-add* +
assumes *add-le-imp-le-left*: $c + a \leq c + b \implies a \leq b$
begin

lemma *add-less-imp-less-left*:
assumes *less*: $c + a < c + b$
shows $a < b$
 $\langle \text{proof} \rangle$

lemma *add-less-imp-less-right*: $a + c < b + c \implies a < b$
 $\langle \text{proof} \rangle$

lemma *add-less-cancel-left* [*simp*]: $c + a < c + b \longleftrightarrow a < b$
 $\langle \text{proof} \rangle$

lemma *add-less-cancel-right* [*simp*]: $a + c < b + c \longleftrightarrow a < b$
 $\langle \text{proof} \rangle$

lemma *add-le-cancel-left* [*simp*]: $c + a \leq c + b \longleftrightarrow a \leq b$
 $\langle \text{proof} \rangle$

lemma *add-le-cancel-right* [*simp*]: $a + c \leq b + c \longleftrightarrow a \leq b$
 $\langle \text{proof} \rangle$

lemma *add-le-imp-le-right*: $a + c \leq b + c \implies a \leq b$
 $\langle \text{proof} \rangle$

lemma *max-add-distrib-left*: $\max x y + z = \max (x + z) (y + z)$
 $\langle \text{proof} \rangle$

lemma *min-add-distrib-left*: $\min x y + z = \min (x + z) (y + z)$
 $\langle \text{proof} \rangle$

lemma *max-add-distrib-right*: $x + \max y z = \max (x + y) (x + z)$

$\langle proof \rangle$

lemma *min-add-distrib-right*: $x + \min y z = \min (x + y) (x + z)$
 $\langle proof \rangle$

end

5.7 Support for reasoning about signs

class *ordered-comm-monoid-add* = *comm-monoid-add* + *ordered-ab-semigroup-add*
begin

lemma *add-nonneg-nonneg [simp]*: $0 \leq a \implies 0 \leq b \implies 0 \leq a + b$
 $\langle proof \rangle$

lemma *add-nonpos-nonpos*: $a \leq 0 \implies b \leq 0 \implies a + b \leq 0$
 $\langle proof \rangle$

lemma *add-nonneg-eq-0-iff*: $0 \leq x \implies 0 \leq y \implies x + y = 0 \iff x = 0 \wedge y = 0$
 $\langle proof \rangle$

lemma *add-nonpos-eq-0-iff*: $x \leq 0 \implies y \leq 0 \implies x + y = 0 \iff x = 0 \wedge y = 0$
 $\langle proof \rangle$

lemma *add-increasing*: $0 \leq a \implies b \leq c \implies b \leq a + c$
 $\langle proof \rangle$

lemma *add-increasing2*: $0 \leq c \implies b \leq a \implies b \leq a + c$
 $\langle proof \rangle$

lemma *add-decreasing*: $a \leq 0 \implies c \leq b \implies a + c \leq b$
 $\langle proof \rangle$

lemma *add-decreasing2*: $c \leq 0 \implies a \leq b \implies a + c \leq b$
 $\langle proof \rangle$

lemma *add-pos-nonneg*: $0 < a \implies 0 \leq b \implies 0 < a + b$
 $\langle proof \rangle$

lemma *add-pos-pos*: $0 < a \implies 0 < b \implies 0 < a + b$
 $\langle proof \rangle$

lemma *add-nonneg-pos*: $0 \leq a \implies 0 < b \implies 0 < a + b$
 $\langle proof \rangle$

lemma *add-neg-nonpos*: $a < 0 \implies b \leq 0 \implies a + b < 0$
 $\langle proof \rangle$

lemma *add-neg-neg*: $a < 0 \implies b < 0 \implies a + b < 0$
 ⟨*proof*⟩

lemma *add-nonpos-neg*: $a \leq 0 \implies b < 0 \implies a + b < 0$
 ⟨*proof*⟩

lemmas *add-sign-intros* =
add-pos-nonneg add-pos-pos add-nonneg-pos add-nonneg-nonneg
add-neg-nonpos add-neg-neg add-nonpos-neg add-nonpos-nonpos

end

class *strict-ordered-comm-monoid-add* = *comm-monoid-add* + *strict-ordered-ab-semigroup-add*
begin

lemma *pos-add-strict*: $0 < a \implies b < c \implies b < a + c$
 ⟨*proof*⟩

end

class *ordered-cancel-comm-monoid-add* = *ordered-comm-monoid-add* + *cancel-ab-semigroup-add*
begin

subclass *ordered-cancel-ab-semigroup-add* ⟨*proof*⟩
subclass *strict-ordered-comm-monoid-add* ⟨*proof*⟩

lemma *add-strict-increasing*: $0 < a \implies b \leq c \implies b < a + c$
 ⟨*proof*⟩

lemma *add-strict-increasing2*: $0 \leq a \implies b < c \implies b < a + c$
 ⟨*proof*⟩

end

class *ordered-ab-semigroup-monoid-add-imp-le* = *monoid-add* + *ordered-ab-semigroup-add-imp-le*
begin

lemma *add-less-same-cancel1* [*simp*]: $b + a < b \longleftrightarrow a < 0$
 ⟨*proof*⟩

lemma *add-less-same-cancel2* [*simp*]: $a + b < b \longleftrightarrow a < 0$
 ⟨*proof*⟩

lemma *less-add-same-cancel1* [*simp*]: $a < a + b \longleftrightarrow 0 < b$
 ⟨*proof*⟩

lemma *less-add-same-cancel2* [*simp*]: $a < b + a \longleftrightarrow 0 < b$
 ⟨*proof*⟩

lemma *add-le-same-cancel1* [*simp*]: $b + a \leq b \longleftrightarrow a \leq 0$
 ⟨*proof*⟩

lemma *add-le-same-cancel2* [*simp*]: $a + b \leq b \longleftrightarrow a \leq 0$
 ⟨*proof*⟩

lemma *le-add-same-cancel1* [*simp*]: $a \leq a + b \longleftrightarrow 0 \leq b$
 ⟨*proof*⟩

lemma *le-add-same-cancel2* [*simp*]: $a \leq b + a \longleftrightarrow 0 \leq b$
 ⟨*proof*⟩

subclass *cancel-comm-monoid-add*
 ⟨*proof*⟩

subclass *ordered-cancel-comm-monoid-add*
 ⟨*proof*⟩

end

class *ordered-ab-group-add* = *ab-group-add* + *ordered-ab-semigroup-add*
begin

subclass *ordered-cancel-ab-semigroup-add* ⟨*proof*⟩

subclass *ordered-ab-semigroup-monoid-add-imp-le*
 ⟨*proof*⟩

lemma *max-diff-distrib-left*: $\max x y - z = \max (x - z) (y - z)$
 ⟨*proof*⟩

lemma *min-diff-distrib-left*: $\min x y - z = \min (x - z) (y - z)$
 ⟨*proof*⟩

lemma *le-imp-neg-le*:
assumes $a \leq b$
shows $-b \leq -a$
 ⟨*proof*⟩

lemma *neg-le-iff-le* [*simp*]: $-b \leq -a \longleftrightarrow a \leq b$
 ⟨*proof*⟩

lemma *neg-le-0-iff-le* [*simp*]: $-a \leq 0 \longleftrightarrow 0 \leq a$
 ⟨*proof*⟩

lemma *neg-0-le-iff-le* [*simp*]: $0 \leq -a \longleftrightarrow a \leq 0$
 ⟨*proof*⟩

lemma *neg-less-iff-less* [simp]: $-b < -a \longleftrightarrow a < b$
 $\langle \text{proof} \rangle$

lemma *neg-less-0-iff-less* [simp]: $-a < 0 \longleftrightarrow 0 < a$
 $\langle \text{proof} \rangle$

lemma *neg-0-less-iff-less* [simp]: $0 < -a \longleftrightarrow a < 0$
 $\langle \text{proof} \rangle$

The next several equations can make the simplifier loop!

lemma *less-minus-iff*: $a < -b \longleftrightarrow b < -a$
 $\langle \text{proof} \rangle$

lemma *minus-less-iff*: $-a < b \longleftrightarrow -b < a$
 $\langle \text{proof} \rangle$

lemma *le-minus-iff*: $a \leq -b \longleftrightarrow b \leq -a$
 $\langle \text{proof} \rangle$

lemma *minus-le-iff*: $-a \leq b \longleftrightarrow -b \leq a$
 $\langle \text{proof} \rangle$

lemma *diff-less-0-iff-less* [simp]: $a - b < 0 \longleftrightarrow a < b$
 $\langle \text{proof} \rangle$

lemmas *less-iff-diff-less-0* = *diff-less-0-iff-less* [symmetric]

lemma *diff-less-eq* [algebra-simps, field-simps]: $a - b < c \longleftrightarrow a < c + b$
 $\langle \text{proof} \rangle$

lemma *less-diff-eq* [algebra-simps, field-simps]: $a < c - b \longleftrightarrow a + b < c$
 $\langle \text{proof} \rangle$

lemma *diff-gt-0-iff-gt* [simp]: $a - b > 0 \longleftrightarrow a > b$
 $\langle \text{proof} \rangle$

lemma *diff-le-eq* [algebra-simps, field-simps]: $a - b \leq c \longleftrightarrow a \leq c + b$
 $\langle \text{proof} \rangle$

lemma *le-diff-eq* [algebra-simps, field-simps]: $a \leq c - b \longleftrightarrow a + b \leq c$
 $\langle \text{proof} \rangle$

lemma *diff-le-0-iff-le* [simp]: $a - b \leq 0 \longleftrightarrow a \leq b$
 $\langle \text{proof} \rangle$

lemmas *le-iff-diff-le-0* = *diff-le-0-iff-le* [symmetric]

lemma *diff-ge-0-iff-ge* [simp]: $a - b \geq 0 \longleftrightarrow a \geq b$
 $\langle \text{proof} \rangle$

lemma *diff-eq-diff-less*: $a - b = c - d \implies a < b \longleftrightarrow c < d$
 $\langle \text{proof} \rangle$

lemma *diff-eq-diff-less-eq*: $a - b = c - d \implies a \leq b \longleftrightarrow c \leq d$
 $\langle \text{proof} \rangle$

lemma *diff-mono*: $a \leq b \implies d \leq c \implies a - c \leq b - d$
 $\langle \text{proof} \rangle$

lemma *diff-left-mono*: $b \leq a \implies c - a \leq c - b$
 $\langle \text{proof} \rangle$

lemma *diff-right-mono*: $a \leq b \implies a - c \leq b - c$
 $\langle \text{proof} \rangle$

lemma *diff-strict-mono*: $a < b \implies d < c \implies a - c < b - d$
 $\langle \text{proof} \rangle$

lemma *diff-strict-left-mono*: $b < a \implies c - a < c - b$
 $\langle \text{proof} \rangle$

lemma *diff-strict-right-mono*: $a < b \implies a - c < b - c$
 $\langle \text{proof} \rangle$

end

$\langle ML \rangle$

class *linordered-ab-semigroup-add* =
 $\text{linorder} + \text{ordered-ab-semigroup-add}$

class *linordered-cancel-ab-semigroup-add* =
 $\text{linorder} + \text{ordered-cancel-ab-semigroup-add}$
begin

subclass *linordered-ab-semigroup-add* $\langle \text{proof} \rangle$

subclass *ordered-ab-semigroup-add-imp-le*
 $\langle \text{proof} \rangle$

end

class *linordered-ab-group-add* = $\text{linorder} + \text{ordered-ab-group-add}$
begin

subclass *linordered-cancel-ab-semigroup-add* $\langle \text{proof} \rangle$

lemma *equal-neg-zero* [*simp*]: $a = - a \longleftrightarrow a = 0$

$\langle proof \rangle$

lemma *neg-equal-zero* [simp]: $- a = a \longleftrightarrow a = 0$
 $\langle proof \rangle$

lemma *neg-less-eq-nonneg* [simp]: $- a \leq a \longleftrightarrow 0 \leq a$
 $\langle proof \rangle$

lemma *neg-less-pos* [simp]: $- a < a \longleftrightarrow 0 < a$
 $\langle proof \rangle$

lemma *less-eq-neg-nonpos* [simp]: $a \leq - a \longleftrightarrow a \leq 0$
 $\langle proof \rangle$

lemma *less-neg-neg* [simp]: $a < - a \longleftrightarrow a < 0$
 $\langle proof \rangle$

lemma *double-zero* [simp]: $a + a = 0 \longleftrightarrow a = 0$
 $\langle proof \rangle$

lemma *double-zero-sym* [simp]: $0 = a + a \longleftrightarrow a = 0$
 $\langle proof \rangle$

lemma *zero-less-double-add-iff-zero-less-single-add* [simp]: $0 < a + a \longleftrightarrow 0 < a$
 $\langle proof \rangle$

lemma *zero-le-double-add-iff-zero-le-single-add* [simp]: $0 \leq a + a \longleftrightarrow 0 \leq a$
 $\langle proof \rangle$

lemma *double-add-less-zero-iff-single-add-less-zero* [simp]: $a + a < 0 \longleftrightarrow a < 0$
 $\langle proof \rangle$

lemma *double-add-le-zero-iff-single-add-le-zero* [simp]: $a + a \leq 0 \longleftrightarrow a \leq 0$
 $\langle proof \rangle$

lemma *minus-max-eq-min*: $- \max x y = \min (- x) (- y)$
 $\langle proof \rangle$

lemma *minus-min-eq-max*: $- \min x y = \max (- x) (- y)$
 $\langle proof \rangle$

end

class *abs* =
fixes *abs* :: 'a \Rightarrow 'a (|-)

class *sgn* =
fixes *sgn* :: 'a \Rightarrow 'a

```

class ordered-ab-group-add-abs = ordered-ab-group-add + abs +
  assumes abs-ge-zero [simp]:  $|a| \geq 0$ 
    and abs-ge-self:  $a \leq |a|$ 
    and abs-leI:  $a \leq b \implies -a \leq b \implies |a| \leq b$ 
    and abs-minus-cancel [simp]:  $|-a| = |a|$ 
    and abs-triangle-ineq:  $|a + b| \leq |a| + |b|$ 
begin

```

```

lemma abs-minus-le-zero:  $-|a| \leq 0$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-of-nonneg [simp]:
  assumes nonneg:  $0 \leq a$ 
  shows  $|a| = a$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-idempotent [simp]:  $||a|| = |a|$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-eq-0 [simp]:  $|a| = 0 \longleftrightarrow a = 0$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-zero [simp]:  $|0| = 0$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-0-eq [simp]:  $0 = |a| \longleftrightarrow a = 0$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-le-zero-iff [simp]:  $|a| \leq 0 \longleftrightarrow a = 0$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-le-self-iff [simp]:  $|a| \leq a \longleftrightarrow 0 \leq a$ 
   $\langle$ proof $\rangle$ 

```

```

lemma zero-less-abs-iff [simp]:  $0 < |a| \longleftrightarrow a \neq 0$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-not-less-zero [simp]:  $\neg |a| < 0$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-ge-minus-self:  $-a \leq |a|$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-minus-commute:  $|a - b| = |b - a|$ 
   $\langle$ proof $\rangle$ 

```

```

lemma abs-of-pos:  $0 < a \implies |a| = a$ 
   $\langle$ proof $\rangle$ 

```

lemma *abs-of-nonpos* [*simp*]:

assumes $a \leq 0$

shows $|a| = -a$

<proof>

lemma *abs-of-neg*: $a < 0 \implies |a| = -a$

<proof>

lemma *abs-le-D1*: $|a| \leq b \implies a \leq b$

<proof>

lemma *abs-le-D2*: $|a| \leq b \implies -a \leq b$

<proof>

lemma *abs-le-iff*: $|a| \leq b \longleftrightarrow a \leq b \wedge -a \leq b$

<proof>

lemma *abs-triangle-ineq2*: $|a| - |b| \leq |a - b|$

<proof>

lemma *abs-triangle-ineq2-sym*: $|a| - |b| \leq |b - a|$

<proof>

lemma *abs-triangle-ineq3*: $||a| - |b|| \leq |a - b|$

<proof>

lemma *abs-triangle-ineq4*: $|a - b| \leq |a| + |b|$

<proof>

lemma *abs-diff-triangle-ineq*: $|a + b - (c + d)| \leq |a - c| + |b - d|$

<proof>

lemma *abs-add-abs* [*simp*]: $||a| + |b|| = |a| + |b|$

(**is** ?*L* = ?*R*)

<proof>

end

lemma *dense-eq0-I*:

fixes $x::'a::\{dense-linorder, ordered-ab-group-add-abs\}$

shows $(\bigwedge e. 0 < e \implies |x| \leq e) \implies x = 0$

<proof>

hide-fact (**open**) *ab-diff-conv-add-uminus add-0 mult-1 ab-left-minus*

lemmas *add-0 = add-0-left*

lemmas *mult-1 = mult-1-left*

lemmas *ab-left-minus = left-minus*

lemmas *diff-diff-eq = diff-diff-add*

5.8 Canonically ordered monoids

Canonically ordered monoids are never groups.

```
class canonically-ordered-monoid-add = comm-monoid-add + order +
  assumes le-iff-add:  $a \leq b \longleftrightarrow (\exists c. b = a + c)$ 
begin
```

```
lemma zero-le[simp]:  $0 \leq x$ 
  <proof>
```

```
lemma le-zero-eq[simp]:  $n \leq 0 \longleftrightarrow n = 0$ 
  <proof>
```

```
lemma not-less-zero[simp]:  $\neg n < 0$ 
  <proof>
```

```
lemma zero-less-iff-neq-zero:  $0 < n \longleftrightarrow n \neq 0$ 
  <proof>
```

This theorem is useful with *blast*

```
lemma gr-zeroI:  $(n = 0 \implies \text{False}) \implies 0 < n$ 
  <proof>
```

```
lemma not-gr-zero[simp]:  $\neg 0 < n \longleftrightarrow n = 0$ 
  <proof>
```

```
subclass ordered-comm-monoid-add
  <proof>
```

```
lemma gr-implies-not-zero:  $m < n \implies n \neq 0$ 
  <proof>
```

```
lemma add-eq-0-iff-both-eq-0[simp]:  $x + y = 0 \longleftrightarrow x = 0 \wedge y = 0$ 
  <proof>
```

```
lemma zero-eq-add-iff-both-eq-0[simp]:  $0 = x + y \longleftrightarrow x = 0 \wedge y = 0$ 
  <proof>
```

lemmas *zero-order* = *zero-le le-zero-eq not-less-zero zero-less-iff-neq-zero not-gr-zero*
 — This should be attributed with *[iff]*, but then *blast* fails in *Set*.

end

```
class ordered-cancel-comm-monoid-diff =
  canonically-ordered-monoid-add + comm-monoid-diff + ordered-ab-semigroup-add-imp-le
begin
```

```
context
  fixes a b :: 'a
```

```

assumes le:  $a \leq b$ 
begin

lemma add-diff-inverse:  $a + (b - a) = b$ 
   $\langle proof \rangle$ 

lemma add-diff-assoc:  $c + (b - a) = c + b - a$ 
   $\langle proof \rangle$ 

lemma add-diff-assoc2:  $b - a + c = b + c - a$ 
   $\langle proof \rangle$ 

lemma diff-add-assoc:  $c + b - a = c + (b - a)$ 
   $\langle proof \rangle$ 

lemma diff-add-assoc2:  $b + c - a = b - a + c$ 
   $\langle proof \rangle$ 

lemma diff-diff-right:  $c - (b - a) = c + a - b$ 
   $\langle proof \rangle$ 

lemma diff-add:  $b - a + a = b$ 
   $\langle proof \rangle$ 

lemma le-add-diff:  $c \leq b + c - a$ 
   $\langle proof \rangle$ 

lemma le-imp-diff-is-add:  $a \leq b \implies b - a = c \longleftrightarrow b = c + a$ 
   $\langle proof \rangle$ 

lemma le-diff-conv2:  $c \leq b - a \longleftrightarrow c + a \leq b$ 
  (is  $?P \longleftrightarrow ?Q$ )
   $\langle proof \rangle$ 

end

end

```

5.9 Tools setup

```

lemma add-mono-thms-linordered-semiring:
  fixes  $i\ j\ k :: 'a::ordered-ab-semigroup-add$ 
  shows  $i \leq j \wedge k \leq l \implies i + k \leq j + l$ 
    and  $i = j \wedge k \leq l \implies i + k \leq j + l$ 
    and  $i \leq j \wedge k = l \implies i + k \leq j + l$ 
    and  $i = j \wedge k = l \implies i + k = j + l$ 
   $\langle proof \rangle$ 

```

```

lemma add-mono-thms-linordered-field:

```

```

fixes  $i\ j\ k :: 'a::\text{ordered-cancel-ab-semigroup-add}$ 
shows  $i < j \wedge k = l \implies i + k < j + l$ 
  and  $i = j \wedge k < l \implies i + k < j + l$ 
  and  $i < j \wedge k \leq l \implies i + k < j + l$ 
  and  $i \leq j \wedge k < l \implies i + k < j + l$ 
  and  $i < j \wedge k < l \implies i + k < j + l$ 
 $\langle \text{proof} \rangle$ 

code-identifier
  code-module  $\text{Groups} \multimap (\text{SML})\ \text{Arith}\ \text{and}\ (\text{OCaml})\ \text{Arith}\ \text{and}\ (\text{Haskell})\ \text{Arith}$ 

end

```

6 Abstract lattices

```

theory Lattices
imports Groups
begin

```

6.1 Abstract semilattice

These locales provide a basic structure for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may occur due to interpretation.

```

locale semilattice = abel-semigroup +
  assumes idem [simp]:  $a * a = a$ 
begin

lemma left-idem [simp]:  $a * (a * b) = a * b$ 
 $\langle \text{proof} \rangle$ 

lemma right-idem [simp]:  $(a * b) * b = a * b$ 
 $\langle \text{proof} \rangle$ 

end

locale semilattice-neutr = semilattice + comm-monoid

locale semilattice-order = semilattice +
  fixes less-eq ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $\leq$  50)
  and less ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $<$  50)
  assumes order-iff:  $a \leq b \longleftrightarrow a = a * b$ 
  and strict-order-iff:  $a < b \longleftrightarrow a = a * b \wedge a \neq b$ 
begin

lemma orderI:  $a = a * b \implies a \leq b$ 
 $\langle \text{proof} \rangle$ 

```

lemma *orderE*:
 assumes $a \leq b$
 obtains $a = a * b$
 $\langle proof \rangle$

sublocale *ordering less-eq less*
 $\langle proof \rangle$

lemma *cobounded1* [simp]: $a * b \leq a$
 $\langle proof \rangle$

lemma *cobounded2* [simp]: $a * b \leq b$
 $\langle proof \rangle$

lemma *boundedI*:
 assumes $a \leq b$ and $a \leq c$
 shows $a \leq b * c$
 $\langle proof \rangle$

lemma *boundedE*:
 assumes $a \leq b * c$
 obtains $a \leq b$ and $a \leq c$
 $\langle proof \rangle$

lemma *bounded-iff* [simp]: $a \leq b * c \longleftrightarrow a \leq b \wedge a \leq c$
 $\langle proof \rangle$

lemma *strict-boundedE*:
 assumes $a < b * c$
 obtains $a < b$ and $a < c$
 $\langle proof \rangle$

lemma *coboundedI1*: $a \leq c \implies a * b \leq c$
 $\langle proof \rangle$

lemma *coboundedI2*: $b \leq c \implies a * b \leq c$
 $\langle proof \rangle$

lemma *strict-coboundedI1*: $a < c \implies a * b < c$
 $\langle proof \rangle$

lemma *strict-coboundedI2*: $b < c \implies a * b < c$
 $\langle proof \rangle$

lemma *mono*: $a \leq c \implies b \leq d \implies a * b \leq c * d$
 $\langle proof \rangle$

lemma *absorb1*: $a \leq b \implies a * b = a$
 $\langle proof \rangle$

lemma *absorb2*: $b \leq a \implies a * b = b$
 ⟨*proof*⟩

lemma *absorb-iff1*: $a \leq b \iff a * b = a$
 ⟨*proof*⟩

lemma *absorb-iff2*: $b \leq a \iff a * b = b$
 ⟨*proof*⟩

end

locale *semilattice-neutr-order* = *semilattice-neutr* + *semilattice-order*
begin

sublocale *ordering-top less-eq less 1*
 ⟨*proof*⟩

end

Passive interpretations for boolean operators

lemma *semilattice-neutr-and*:
semilattice-neutr HOL.conj True
 ⟨*proof*⟩

lemma *semilattice-neutr-or*:
semilattice-neutr HOL.disj False
 ⟨*proof*⟩

6.2 Syntactic infimum and supremum operations

class *inf* =
 fixes *inf* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixl** \sqcap 70)

class *sup* =
 fixes *sup* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixl** \sqcup 65)

6.3 Concrete lattices

class *semilattice-inf* = *order* + *inf* +
 assumes *inf-le1* [*simp*]: $x \sqcap y \leq x$
 and *inf-le2* [*simp*]: $x \sqcap y \leq y$
 and *inf-greatest*: $x \leq y \implies x \leq z \implies x \leq y \sqcap z$

class *semilattice-sup* = *order* + *sup* +
 assumes *sup-ge1* [*simp*]: $x \leq x \sqcup y$
 and *sup-ge2* [*simp*]: $y \leq x \sqcup y$
 and *sup-least*: $y \leq x \implies z \leq x \implies y \sqcup z \leq x$
begin

Dual lattice.

lemma *dual-semilattice*: *class.semilattice-inf sup greater-eq greater*
<proof>

end

class *lattice* = *semilattice-inf* + *semilattice-sup*

6.3.1 Intro and elim rules

context *semilattice-inf*
begin

lemma *le-infI1*: $a \leq x \implies a \sqcap b \leq x$
<proof>

lemma *le-infI2*: $b \leq x \implies a \sqcap b \leq x$
<proof>

lemma *le-infI*: $x \leq a \implies x \leq b \implies x \leq a \sqcap b$
<proof>

lemma *le-infE*: $x \leq a \sqcap b \implies (x \leq a \implies x \leq b \implies P) \implies P$
<proof>

lemma *le-inf-iff*: $x \leq y \sqcap z \iff x \leq y \wedge x \leq z$
<proof>

lemma *le-iff-inf*: $x \leq y \iff x \sqcap y = x$
<proof>

lemma *inf-mono*: $a \leq c \implies b \leq d \implies a \sqcap b \leq c \sqcap d$
<proof>

lemma *mono-inf*: $\text{mono } f \implies f(A \sqcap B) \leq f A \sqcap f B$ **for** $f :: 'a \Rightarrow 'b :: \text{semilattice-inf}$
<proof>

end

context *semilattice-sup*
begin

lemma *le-supI1*: $x \leq a \implies x \leq a \sqcup b$
<proof>

lemma *le-supI2*: $x \leq b \implies x \leq a \sqcup b$
<proof>

lemma *le-supI*: $a \leq x \implies b \leq x \implies a \sqcup b \leq x$

<proof>

lemma *le-supE*: $a \sqcup b \leq x \implies (a \leq x \implies b \leq x \implies P) \implies P$
<proof>

lemma *le-sup-iff*: $x \sqcup y \leq z \iff x \leq z \wedge y \leq z$
<proof>

lemma *le-iff-sup*: $x \leq y \iff x \sqcup y = y$
<proof>

lemma *sup-mono*: $a \leq c \implies b \leq d \implies a \sqcup b \leq c \sqcup d$
<proof>

lemma *mono-sup*: $\text{mono } f \implies f A \sqcup f B \leq f (A \sqcup B)$ **for** $f :: 'a \Rightarrow 'b :: \text{semilattice-sup}$
<proof>

end

6.3.2 Equational laws

context *semilattice-inf*
begin

sublocale *inf*: *semilattice inf*
<proof>

sublocale *inf*: *semilattice-order inf less-eq less*
<proof>

lemma *inf-assoc*: $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$
<proof>

lemma *inf-commute*: $(x \sqcap y) = (y \sqcap x)$
<proof>

lemma *inf-left-commute*: $x \sqcap (y \sqcap z) = y \sqcap (x \sqcap z)$
<proof>

lemma *inf-idem*: $x \sqcap x = x$
<proof>

lemma *inf-left-idem*: $x \sqcap (x \sqcap y) = x \sqcap y$
<proof>

lemma *inf-right-idem*: $(x \sqcap y) \sqcap y = x \sqcap y$
<proof>

lemma *inf-absorb1*: $x \leq y \implies x \sqcap y = x$

<proof>

lemma *inf-absorb2*: $y \leq x \implies x \sqcap y = y$
<proof>

lemmas *inf-aci = inf-commute inf-assoc inf-left-commute inf-left-idem*

end

context *semilattice-sup*
begin

sublocale *sup: semilattice sup*
<proof>

sublocale *sup: semilattice-order sup greater-eq greater*
<proof>

lemma *sup-assoc*: $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$
<proof>

lemma *sup-commute*: $(x \sqcup y) = (y \sqcup x)$
<proof>

lemma *sup-left-commute*: $x \sqcup (y \sqcup z) = y \sqcup (x \sqcup z)$
<proof>

lemma *sup-idem*: $x \sqcup x = x$
<proof>

lemma *sup-left-idem [simp]*: $x \sqcup (x \sqcup y) = x \sqcup y$
<proof>

lemma *sup-absorb1*: $y \leq x \implies x \sqcup y = x$
<proof>

lemma *sup-absorb2*: $x \leq y \implies x \sqcup y = y$
<proof>

lemmas *sup-aci = sup-commute sup-assoc sup-left-commute sup-left-idem*

end

context *lattice*
begin

lemma *dual-lattice*: *class.lattice sup (op \geq) (op $>$) inf*
<proof>

lemma *inf-sup-absorb* [*simp*]: $x \sqcap (x \sqcup y) = x$
 $\langle \text{proof} \rangle$

lemma *sup-inf-absorb* [*simp*]: $x \sqcup (x \sqcap y) = x$
 $\langle \text{proof} \rangle$

lemmas *inf-sup-aci* = *inf-aci sup-aci*

lemmas *inf-sup-ord* = *inf-le1 inf-le2 sup-ge1 sup-ge2*

Towards distributivity.

lemma *distrib-sup-le*: $x \sqcup (y \sqcap z) \leq (x \sqcup y) \sqcap (x \sqcup z)$
 $\langle \text{proof} \rangle$

lemma *distrib-inf-le*: $(x \sqcap y) \sqcup (x \sqcap z) \leq x \sqcap (y \sqcup z)$
 $\langle \text{proof} \rangle$

If you have one of them, you have them all.

lemma *distrib-imp1*:

assumes *distrib*: $\bigwedge x y z. x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

shows $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

$\langle \text{proof} \rangle$

lemma *distrib-imp2*:

assumes *distrib*: $\bigwedge x y z. x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

shows $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

$\langle \text{proof} \rangle$

end

6.3.3 Strict order

context *semilattice-inf*
begin

lemma *less-infI1*: $a < x \implies a \sqcap b < x$
 $\langle \text{proof} \rangle$

lemma *less-infI2*: $b < x \implies a \sqcap b < x$
 $\langle \text{proof} \rangle$

end

context *semilattice-sup*
begin

lemma *less-supI1*: $x < a \implies x < a \sqcup b$
 $\langle \text{proof} \rangle$

lemma *less-supI2*: $x < b \implies x < a \sqcup b$
 ⟨*proof*⟩

end

6.4 Distributive lattices

class *distrib-lattice* = *lattice* +
assumes *sup-inf-distrib1*: $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

context *distrib-lattice*
begin

lemma *sup-inf-distrib2*: $(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$
 ⟨*proof*⟩

lemma *inf-sup-distrib1*: $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$
 ⟨*proof*⟩

lemma *inf-sup-distrib2*: $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$
 ⟨*proof*⟩

lemma *dual-distrib-lattice*: *class.distrib-lattice* *sup* (*op* \geq) (*op* $>$) *inf*
 ⟨*proof*⟩

lemmas *sup-inf-distrib* = *sup-inf-distrib1* *sup-inf-distrib2*

lemmas *inf-sup-distrib* = *inf-sup-distrib1* *inf-sup-distrib2*

lemmas *distrib* = *sup-inf-distrib1* *sup-inf-distrib2* *inf-sup-distrib1* *inf-sup-distrib2*

end

6.5 Bounded lattices and boolean algebras

class *bounded-semilattice-inf-top* = *semilattice-inf* + *order-top*
begin

sublocale *inf-top*: *semilattice-neutr inf top*
 + *inf-top*: *semilattice-neutr-order inf top less-eq less*
 ⟨*proof*⟩

end

class *bounded-semilattice-sup-bot* = *semilattice-sup* + *order-bot*
begin

sublocale *sup-bot*: *semilattice-neutr sup bot*
 + *sup-bot*: *semilattice-neutr-order sup bot greater-eq greater*
 ⟨*proof*⟩

end

class *bounded-lattice-bot* = *lattice* + *order-bot*
begin

subclass *bounded-semilattice-sup-bot* $\langle \text{proof} \rangle$

lemma *inf-bot-left* [*simp*]: $\perp \sqcap x = \perp$
 $\langle \text{proof} \rangle$

lemma *inf-bot-right* [*simp*]: $x \sqcap \perp = \perp$
 $\langle \text{proof} \rangle$

lemma *sup-bot-left*: $\perp \sqcup x = x$
 $\langle \text{proof} \rangle$

lemma *sup-bot-right*: $x \sqcup \perp = x$
 $\langle \text{proof} \rangle$

lemma *sup-eq-bot-iff* [*simp*]: $x \sqcup y = \perp \longleftrightarrow x = \perp \wedge y = \perp$
 $\langle \text{proof} \rangle$

lemma *bot-eq-sup-iff* [*simp*]: $\perp = x \sqcup y \longleftrightarrow x = \perp \wedge y = \perp$
 $\langle \text{proof} \rangle$

end

class *bounded-lattice-top* = *lattice* + *order-top*
begin

subclass *bounded-semilattice-inf-top* $\langle \text{proof} \rangle$

lemma *sup-top-left* [*simp*]: $\top \sqcup x = \top$
 $\langle \text{proof} \rangle$

lemma *sup-top-right* [*simp*]: $x \sqcup \top = \top$
 $\langle \text{proof} \rangle$

lemma *inf-top-left*: $\top \sqcap x = x$
 $\langle \text{proof} \rangle$

lemma *inf-top-right*: $x \sqcap \top = x$
 $\langle \text{proof} \rangle$

lemma *inf-eq-top-iff* [*simp*]: $x \sqcap y = \top \longleftrightarrow x = \top \wedge y = \top$
 $\langle \text{proof} \rangle$

end

class *bounded-lattice* = *lattice* + *order-bot* + *order-top*
begin

subclass *bounded-lattice-bot* $\langle \text{proof} \rangle$
subclass *bounded-lattice-top* $\langle \text{proof} \rangle$

lemma *dual-bounded-lattice*: *class.bounded-lattice* *sup greater-eq greater inf* $\top \perp$
 $\langle \text{proof} \rangle$

end

class *boolean-algebra* = *distrib-lattice* + *bounded-lattice* + *minus* + *uminus* +
assumes *inf-compl-bot*: $x \sqcap -x = \perp$
and *sup-compl-top*: $x \sqcup -x = \top$
assumes *diff-eq*: $x - y = x \sqcap -y$
begin

lemma *dual-boolean-algebra*:
class.boolean-algebra $(\lambda x y. x \sqcup -y)$ *uminus sup greater-eq greater inf* $\top \perp$
 $\langle \text{proof} \rangle$

lemma *compl-inf-bot* [*simp*]: $-x \sqcap x = \perp$
 $\langle \text{proof} \rangle$

lemma *compl-sup-top* [*simp*]: $-x \sqcup x = \top$
 $\langle \text{proof} \rangle$

lemma *compl-unique*:
assumes $x \sqcap y = \perp$
and $x \sqcup y = \top$
shows $-x = y$
 $\langle \text{proof} \rangle$

lemma *double-compl* [*simp*]: $-(-x) = x$
 $\langle \text{proof} \rangle$

lemma *compl-eq-compl-iff* [*simp*]: $-x = -y \longleftrightarrow x = y$
 $\langle \text{proof} \rangle$

lemma *compl-bot-eq* [*simp*]: $-\perp = \top$
 $\langle \text{proof} \rangle$

lemma *compl-top-eq* [*simp*]: $-\top = \perp$
 $\langle \text{proof} \rangle$

lemma *compl-inf* [*simp*]: $-(x \sqcap y) = -x \sqcup -y$
 $\langle \text{proof} \rangle$

lemma *compl-sup [simp]*: $\neg (x \sqcup y) = \neg x \sqcap \neg y$
 $\langle proof \rangle$

lemma *compl-mono*:
assumes $x \leq y$
shows $\neg y \leq \neg x$
 $\langle proof \rangle$

lemma *compl-le-compl-iff [simp]*: $\neg x \leq \neg y \longleftrightarrow y \leq x$
 $\langle proof \rangle$

lemma *compl-le-swap1*:
assumes $y \leq \neg x$
shows $x \leq \neg y$
 $\langle proof \rangle$

lemma *compl-le-swap2*:
assumes $\neg y \leq x$
shows $\neg x \leq y$
 $\langle proof \rangle$

lemma *compl-less-compl-iff*: $\neg x < \neg y \longleftrightarrow y < x$
 $\langle proof \rangle$

lemma *compl-less-swap1*:
assumes $y < \neg x$
shows $x < \neg y$
 $\langle proof \rangle$

lemma *compl-less-swap2*:
assumes $\neg y < x$
shows $\neg x < y$
 $\langle proof \rangle$

lemma *sup-cancel-left1*: $\sup (\sup x a) (\sup (\neg x) b) = \text{top}$
 $\langle proof \rangle$

lemma *sup-cancel-left2*: $\sup (\sup (\neg x) a) (\sup x b) = \text{top}$
 $\langle proof \rangle$

lemma *inf-cancel-left1*: $\inf (\inf x a) (\inf (\neg x) b) = \text{bot}$
 $\langle proof \rangle$

lemma *inf-cancel-left2*: $\inf (\inf (\neg x) a) (\inf x b) = \text{bot}$
 $\langle proof \rangle$

declare *inf-compl-bot [simp]*
and *sup-compl-top [simp]*

lemma *sup-compl-top-left1* [simp]: $\text{sup } (-\ x) (\text{sup } x\ y) = \text{top}$
 ⟨proof⟩

lemma *sup-compl-top-left2* [simp]: $\text{sup } x (\text{sup } (-\ x)\ y) = \text{top}$
 ⟨proof⟩

lemma *inf-compl-bot-left1* [simp]: $\text{inf } (-\ x) (\text{inf } x\ y) = \text{bot}$
 ⟨proof⟩

lemma *inf-compl-bot-left2* [simp]: $\text{inf } x (\text{inf } (-\ x)\ y) = \text{bot}$
 ⟨proof⟩

lemma *inf-compl-bot-right* [simp]: $\text{inf } x (\text{inf } y\ (-\ x)) = \text{bot}$
 ⟨proof⟩

end

⟨ML⟩

6.6 *min/max* as special case of lattice

context *linorder*

begin

sublocale *min*: *semilattice-order min less-eq less*
 + *max*: *semilattice-order max greater-eq greater*
 ⟨proof⟩

lemma *min-le-iff-disj*: $\text{min } x\ y \leq z \longleftrightarrow x \leq z \vee y \leq z$
 ⟨proof⟩

lemma *le-max-iff-disj*: $z \leq \text{max } x\ y \longleftrightarrow z \leq x \vee z \leq y$
 ⟨proof⟩

lemma *min-less-iff-disj*: $\text{min } x\ y < z \longleftrightarrow x < z \vee y < z$
 ⟨proof⟩

lemma *less-max-iff-disj*: $z < \text{max } x\ y \longleftrightarrow z < x \vee z < y$
 ⟨proof⟩

lemma *min-less-iff-conj* [simp]: $z < \text{min } x\ y \longleftrightarrow z < x \wedge z < y$
 ⟨proof⟩

lemma *max-less-iff-conj* [simp]: $\text{max } x\ y < z \longleftrightarrow x < z \wedge y < z$
 ⟨proof⟩

lemma *min-max-distrib1*: $\text{min } (\text{max } b\ c)\ a = \text{max } (\text{min } b\ a)\ (\text{min } c\ a)$
 ⟨proof⟩

lemma *min-max-distrib2*: $\min a (\max b c) = \max (\min a b) (\min a c)$
 ⟨proof⟩

lemma *max-min-distrib1*: $\max (\min b c) a = \min (\max b a) (\max c a)$
 ⟨proof⟩

lemma *max-min-distrib2*: $\max a (\min b c) = \min (\max a b) (\max a c)$
 ⟨proof⟩

lemmas *min-max-distribs* = *min-max-distrib1 min-max-distrib2 max-min-distrib1 max-min-distrib2*

lemma *split-min* [no-atp]: $P (\min i j) \longleftrightarrow (i \leq j \longrightarrow P i) \wedge (\neg i \leq j \longrightarrow P j)$
 ⟨proof⟩

lemma *split-max* [no-atp]: $P (\max i j) \longleftrightarrow (i \leq j \longrightarrow P j) \wedge (\neg i \leq j \longrightarrow P i)$
 ⟨proof⟩

lemma *min-of-mono*: $\text{mono } f \implies \min (f m) (f n) = f (\min m n)$ **for** $f :: 'a \Rightarrow 'b :: \text{linorder}$
 ⟨proof⟩

lemma *max-of-mono*: $\text{mono } f \implies \max (f m) (f n) = f (\max m n)$ **for** $f :: 'a \Rightarrow 'b :: \text{linorder}$
 ⟨proof⟩

end

lemma *inf-min*: $\text{inf} = (\min :: 'a :: \{\text{semilattice-inf}, \text{linorder}\} \Rightarrow 'a \Rightarrow 'a)$
 ⟨proof⟩

lemma *sup-max*: $\text{sup} = (\max :: 'a :: \{\text{semilattice-sup}, \text{linorder}\} \Rightarrow 'a \Rightarrow 'a)$
 ⟨proof⟩

6.7 Uniqueness of inf and sup

lemma (in *semilattice-inf*) *inf-unique*:
fixes f (**infixl** \triangle 70)
assumes $le1: \bigwedge x y. x \triangle y \leq x$
and $le2: \bigwedge x y. x \triangle y \leq y$
and $greatest: \bigwedge x y z. x \leq y \implies x \leq z \implies x \leq y \triangle z$
shows $x \sqcap y = x \triangle y$
 ⟨proof⟩

lemma (in *semilattice-sup*) *sup-unique*:
fixes f (**infixl** ∇ 70)
assumes $ge1$ [*simp*]: $\bigwedge x y. x \leq x \nabla y$
and $ge2: \bigwedge x y. y \leq x \nabla y$
and $least: \bigwedge x y z. y \leq x \implies z \leq x \implies y \nabla z \leq x$

shows $x \sqcup y = x \nabla y$
 $\langle proof \rangle$

6.8 Lattice on *bool*

instantiation *bool* :: *boolean-algebra*
begin

definition *bool-Compl-def* [*simp*]: *uminus* = *Not*

definition *bool-diff-def* [*simp*]: $A - B \longleftrightarrow A \wedge \neg B$

definition [*simp*]: $P \sqcap Q \longleftrightarrow P \wedge Q$

definition [*simp*]: $P \sqcup Q \longleftrightarrow P \vee Q$

instance $\langle proof \rangle$

end

lemma *sup-boolI1*: $P \Longrightarrow P \sqcup Q$
 $\langle proof \rangle$

lemma *sup-boolI2*: $Q \Longrightarrow P \sqcup Q$
 $\langle proof \rangle$

lemma *sup-boolE*: $P \sqcup Q \Longrightarrow (P \Longrightarrow R) \Longrightarrow (Q \Longrightarrow R) \Longrightarrow R$
 $\langle proof \rangle$

6.9 Lattice on $- \Rightarrow -$

instantiation *fun* :: (*type*, *semilattice-sup*) *semilattice-sup*
begin

definition $f \sqcup g = (\lambda x. f x \sqcup g x)$

lemma *sup-apply* [*simp*, *code*]: $(f \sqcup g) x = f x \sqcup g x$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

instantiation *fun* :: (*type*, *semilattice-inf*) *semilattice-inf*
begin

definition $f \sqcap g = (\lambda x. f x \sqcap g x)$

lemma *inf-apply* [*simp*, *code*]: $(f \sqcap g) x = f x \sqcap g x$

```

  <proof>

instance <proof>

end

instance fun :: (type, lattice) lattice <proof>

instance fun :: (type, distrib-lattice) distrib-lattice
  <proof>

instance fun :: (type, bounded-lattice) bounded-lattice <proof>

instantiation fun :: (type, uminus) uminus
begin

definition fun-Comp-def:  $- A = (\lambda x. - A x)$ 

lemma uminus-apply [simp, code]:  $(- A) x = - (A x)$ 
  <proof>

instance <proof>

end

instantiation fun :: (type, minus) minus
begin

definition fun-diff-def:  $A - B = (\lambda x. A x - B x)$ 

lemma minus-apply [simp, code]:  $(A - B) x = A x - B x$ 
  <proof>

instance <proof>

end

instance fun :: (type, boolean-algebra) boolean-algebra
  <proof>

```

6.10 Lattice on unary and binary predicates

```

lemma inf1I:  $A x \implies B x \implies (A \sqcap B) x$ 
  <proof>

lemma inf2I:  $A x y \implies B x y \implies (A \sqcap B) x y$ 
  <proof>

lemma inf1E:  $(A \sqcap B) x \implies (A x \implies B x \implies P) \implies P$ 

```

$\langle proof \rangle$

lemma *inf2E*: $(A \sqcap B) x y \Longrightarrow (A x y \Longrightarrow B x y \Longrightarrow P) \Longrightarrow P$
 $\langle proof \rangle$

lemma *inf1D1*: $(A \sqcap B) x \Longrightarrow A x$
 $\langle proof \rangle$

lemma *inf2D1*: $(A \sqcap B) x y \Longrightarrow A x y$
 $\langle proof \rangle$

lemma *inf1D2*: $(A \sqcap B) x \Longrightarrow B x$
 $\langle proof \rangle$

lemma *inf2D2*: $(A \sqcap B) x y \Longrightarrow B x y$
 $\langle proof \rangle$

lemma *sup1I1*: $A x \Longrightarrow (A \sqcup B) x$
 $\langle proof \rangle$

lemma *sup2I1*: $A x y \Longrightarrow (A \sqcup B) x y$
 $\langle proof \rangle$

lemma *sup1I2*: $B x \Longrightarrow (A \sqcup B) x$
 $\langle proof \rangle$

lemma *sup2I2*: $B x y \Longrightarrow (A \sqcup B) x y$
 $\langle proof \rangle$

lemma *sup1E*: $(A \sqcup B) x \Longrightarrow (A x \Longrightarrow P) \Longrightarrow (B x \Longrightarrow P) \Longrightarrow P$
 $\langle proof \rangle$

lemma *sup2E*: $(A \sqcup B) x y \Longrightarrow (A x y \Longrightarrow P) \Longrightarrow (B x y \Longrightarrow P) \Longrightarrow P$
 $\langle proof \rangle$

Classical introduction rule: no commitment to A vs B .

lemma *sup1CI*: $(\neg B x \Longrightarrow A x) \Longrightarrow (A \sqcup B) x$
 $\langle proof \rangle$

lemma *sup2CI*: $(\neg B x y \Longrightarrow A x y) \Longrightarrow (A \sqcup B) x y$
 $\langle proof \rangle$

end

7 Set theory for higher-order logic

theory *Set*
imports *Lattices*

begin

7.1 Sets as predicates

typeddecl *'a set*

axiomatization *Collect* :: (*'a* \Rightarrow *bool*) \Rightarrow *'a set* — comprehension
and *member* :: *'a* \Rightarrow *'a set* \Rightarrow *bool* — membership
where *mem-Collect-eq* [*iff*, *code-unfold*]: *member* *a* (*Collect* *P*) = *P a*
and *Collect-mem-eq* [*simp*]: *Collect* ($\lambda x. \text{member } x \ A$) = *A*

notation

member (*op* \in) **and**
member ((*-* \in *-*) [*51*, *51*] *50*)

abbreviation *not-member*

where *not-member* *x A* $\equiv \neg (x \in A)$ — non-membership

notation

not-member (*op* \notin) **and**
not-member ((*-* \notin *-*) [*51*, *51*] *50*)

notation (*ASCII*)

member (*op* $:$) **and**
member ((*-* $:$ *-*) [*51*, *51*] *50*) **and**
not-member (*op* $\sim:$) **and**
not-member ((*-* $\sim:$ *-*) [*51*, *51*] *50*)

Set comprehensions

syntax

-Coll :: *pttrn* \Rightarrow *bool* \Rightarrow *'a set* ((*1* {*-* \cdot *-*}))

translations

$\{x. P\} \Rightarrow \text{CONST } \text{Collect } (\lambda x. P)$

syntax (*ASCII*)

-Collect :: *pttrn* \Rightarrow *'a set* \Rightarrow *bool* \Rightarrow *'a set* ((*1* {*-* $:$ *-* \cdot *-*}))

syntax

-Collect :: *pttrn* \Rightarrow *'a set* \Rightarrow *bool* \Rightarrow *'a set* ((*1* {*-* \in *-* \cdot *-*}))

translations

$\{p:A. P\} \rightarrow \text{CONST } \text{Collect } (\lambda p. p \in A \wedge P)$

lemma *CollectI*: *P a* $\Longrightarrow a \in \{x. P\ x\}$

<proof>

lemma *CollectD*: *a* $\in \{x. P\ x\} \Longrightarrow P\ a$

<proof>

lemma *Collect-cong*: $(\bigwedge x. P\ x = Q\ x) \Longrightarrow \{x. P\ x\} = \{x. Q\ x\}$

<proof>

Simproc for pulling $x = t$ in $\{x. \dots \wedge x = t \wedge \dots\}$ to the front (and

similarly for $t = x$):

$\langle ML \rangle$

lemmas $CollectE = CollectD$ [elim-format]

lemma *set-eqI*:

assumes $\bigwedge x. x \in A \longleftrightarrow x \in B$

shows $A = B$

$\langle proof \rangle$

lemma *set-eq-iff*: $A = B \longleftrightarrow (\forall x. x \in A \longleftrightarrow x \in B)$

$\langle proof \rangle$

lemma *Collect-eqI*:

assumes $\bigwedge x. P\ x = Q\ x$

shows $Collect\ P = Collect\ Q$

$\langle proof \rangle$

Lifting of predicate class instances

instantiation *set* :: (type) *boolean-algebra*

begin

definition *less-eq-set*

where $A \leq B \longleftrightarrow (\lambda x. member\ x\ A) \leq (\lambda x. member\ x\ B)$

definition *less-set*

where $A < B \longleftrightarrow (\lambda x. member\ x\ A) < (\lambda x. member\ x\ B)$

definition *inf-set*

where $A \sqcap B = Collect\ ((\lambda x. member\ x\ A) \sqcap (\lambda x. member\ x\ B))$

definition *sup-set*

where $A \sqcup B = Collect\ ((\lambda x. member\ x\ A) \sqcup (\lambda x. member\ x\ B))$

definition *bot-set*

where $\perp = Collect\ \perp$

definition *top-set*

where $\top = Collect\ \top$

definition *uminus-set*

where $- A = Collect\ (- (\lambda x. member\ x\ A))$

definition *minus-set*

where $A - B = Collect\ ((\lambda x. member\ x\ A) - (\lambda x. member\ x\ B))$

instance

$\langle proof \rangle$

end

Set enumerations

abbreviation *empty* :: 'a set ({})
where {} \equiv bot

definition *insert* :: 'a \Rightarrow 'a set \Rightarrow 'a set
where *insert-compr*: *insert* a B = {x. x = a \vee x \in B}

syntax

-*Finset* :: args \Rightarrow 'a set ({}(-))

translations

{x, xs} \equiv CONST *insert* x {xs}
{x} \equiv CONST *insert* x {}

7.2 Subsets and bounded quantifiers

abbreviation *subset* :: 'a set \Rightarrow 'a set \Rightarrow bool
where *subset* \equiv less

abbreviation *subset-eq* :: 'a set \Rightarrow 'a set \Rightarrow bool
where *subset-eq* \equiv less-eq

notation

subset (op \subset) **and**
subset ((-/ \subset -) [51, 51] 50) **and**
subset-eq (op \subseteq) **and**
subset-eq ((-/ \subseteq -) [51, 51] 50)

abbreviation (*input*)

supset :: 'a set \Rightarrow 'a set \Rightarrow bool **where**
supset \equiv greater

abbreviation (*input*)

supset-eq :: 'a set \Rightarrow 'a set \Rightarrow bool **where**
supset-eq \equiv greater-eq

notation

supset (op \supset) **and**
supset ((-/ \supset -) [51, 51] 50) **and**
supset-eq (op \supseteq) **and**
supset-eq ((-/ \supseteq -) [51, 51] 50)

notation (*ASCII output*)

subset (op $<$) **and**
subset ((-/ $<$ -) [51, 51] 50) **and**
subset-eq (op \leq) **and**
subset-eq ((-/ \leq -) [51, 51] 50)

definition $Ball :: 'a \text{ set} \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$

where $Ball \ A \ P \longleftrightarrow (\forall x. x \in A \longrightarrow P \ x)$ — bounded universal quantifiers

definition $Bex :: 'a \text{ set} \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$

where $Bex \ A \ P \longleftrightarrow (\exists x. x \in A \wedge P \ x)$ — bounded existential quantifiers

syntax (ASCII)

-Ball $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists ALL \text{ :-./ -}) [0, 0, 10] 10)$
 -Bex $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists EX \text{ :-./ -}) [0, 0, 10] 10)$
 -Bex1 $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists EX! \text{ :-./ -}) [0, 0, 10] 10)$
 -Bleast $:: id \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow 'a$ $((\exists LEAST \text{ :-./ -}) [0, 0, 10] 10)$

syntax (input)

-Ball $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists! \text{ :-./ -}) [0, 0, 10] 10)$
 -Bex $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists? \text{ :-./ -}) [0, 0, 10] 10)$
 -Bex1 $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists?! \text{ :-./ -}) [0, 0, 10] 10)$

syntax

-Ball $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists \forall \text{ -}\in\text{./ -}) [0, 0, 10] 10)$
 -Bex $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists \exists \text{ -}\in\text{./ -}) [0, 0, 10] 10)$
 -Bex1 $:: pttrn \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow bool$ $((\exists \exists! \text{ -}\in\text{./ -}) [0, 0, 10] 10)$
 -Bleast $:: id \Rightarrow 'a \text{ set} \Rightarrow bool \Rightarrow 'a$ $((\exists LEAST \text{ -}\in\text{./ -}) [0, 0, 10] 10)$

translations

$\forall x \in A. P \Rightarrow CONST \ Ball \ A \ (\lambda x. P)$
 $\exists x \in A. P \Rightarrow CONST \ Bex \ A \ (\lambda x. P)$
 $\exists! x \in A. P \Rightarrow \exists! x. x \in A \wedge P$
 $LEAST \ x:A. P \Rightarrow LEAST \ x. x \in A \wedge P$

syntax (ASCII output)

-setlessAll $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists ALL \text{ -}\<\text{./ -}) [0, 0, 10] 10)$
 -setlessEx $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists EX \text{ -}\<\text{./ -}) [0, 0, 10] 10)$
 -setleAll $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists ALL \text{ -}\<=\text{./ -}) [0, 0, 10] 10)$
 -setleEx $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists EX \text{ -}\<=\text{./ -}) [0, 0, 10] 10)$
 -setleEx1 $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists EX! \text{ -}\<=\text{./ -}) [0, 0, 10] 10)$

syntax

-setlessAll $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists \forall \text{ -}\subset\text{./ -}) [0, 0, 10] 10)$
 -setlessEx $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists \exists \text{ -}\subset\text{./ -}) [0, 0, 10] 10)$
 -setleAll $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists \forall \text{ -}\subseteq\text{./ -}) [0, 0, 10] 10)$
 -setleEx $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists \exists \text{ -}\subseteq\text{./ -}) [0, 0, 10] 10)$
 -setleEx1 $:: [idt, 'a, bool] \Rightarrow bool$ $((\exists \exists! \text{ -}\subseteq\text{./ -}) [0, 0, 10] 10)$

translations

$\forall A \subset B. P \Rightarrow \forall A. A \subset B \longrightarrow P$
 $\exists A \subset B. P \Rightarrow \exists A. A \subset B \wedge P$
 $\forall A \subseteq B. P \Rightarrow \forall A. A \subseteq B \longrightarrow P$
 $\exists A \subseteq B. P \Rightarrow \exists A. A \subseteq B \wedge P$
 $\exists! A \subseteq B. P \Rightarrow \exists! A. A \subseteq B \wedge P$

⟨ML⟩

Translate between $\{e \mid x1 \dots xn. P\}$ and $\{u. \exists x1 \dots xn. u = e \wedge P\}$; $\{y. \exists x1 \dots xn. y = e \wedge P\}$ is only translated if $[0..n] \subseteq \text{bvs } e$.

syntax

$\text{-Setcompr} :: 'a \Rightarrow \text{idts} \Rightarrow \text{bool} \Rightarrow 'a \text{ set} \quad ((1\{- \mid /- / -\}))$

⟨ML⟩

lemma *ballI* [intro!]: $(\bigwedge x. x \in A \Longrightarrow P x) \Longrightarrow \forall x \in A. P x$
 ⟨proof⟩

lemmas *strip* = *impI allI ballI*

lemma *bspec* [dest?]: $\forall x \in A. P x \Longrightarrow x \in A \Longrightarrow P x$
 ⟨proof⟩

Gives better instantiation for bound:

⟨ML⟩

lemma *ballE* [elim]: $\forall x \in A. P x \Longrightarrow (P x \Longrightarrow Q) \Longrightarrow (x \notin A \Longrightarrow Q) \Longrightarrow Q$
 ⟨proof⟩

lemma *bexI* [intro]: $P x \Longrightarrow x \in A \Longrightarrow \exists x \in A. P x$
 — Normally the best argument order: $P x$ constrains the choice of $x \in A$.
 ⟨proof⟩

lemma *rev-bexI* [intro?]: $x \in A \Longrightarrow P x \Longrightarrow \exists x \in A. P x$
 — The best argument order when there is only one $x \in A$.
 ⟨proof⟩

lemma *bexCI*: $(\forall x \in A. \neg P x \Longrightarrow P a) \Longrightarrow a \in A \Longrightarrow \exists x \in A. P x$
 ⟨proof⟩

lemma *bexE* [elim!]: $\exists x \in A. P x \Longrightarrow (\bigwedge x. x \in A \Longrightarrow P x \Longrightarrow Q) \Longrightarrow Q$
 ⟨proof⟩

lemma *ball-triv* [simp]: $(\forall x \in A. P) \longleftrightarrow ((\exists x. x \in A) \longrightarrow P)$
 — Trivial rewrite rule.
 ⟨proof⟩

lemma *bex-triv* [simp]: $(\exists x \in A. P) \longleftrightarrow ((\exists x. x \in A) \wedge P)$
 — Dual form for existentials.
 ⟨proof⟩

lemma *bex-triv-one-point1* [simp]: $(\exists x \in A. x = a) \longleftrightarrow a \in A$
 ⟨proof⟩

lemma *bex-triv-one-point2* [*simp*]: $(\exists x \in A. a = x) \longleftrightarrow a \in A$
 $\langle \text{proof} \rangle$

lemma *bex-one-point1* [*simp*]: $(\exists x \in A. x = a \wedge P x) \longleftrightarrow a \in A \wedge P a$
 $\langle \text{proof} \rangle$

lemma *bex-one-point2* [*simp*]: $(\exists x \in A. a = x \wedge P x) \longleftrightarrow a \in A \wedge P a$
 $\langle \text{proof} \rangle$

lemma *ball-one-point1* [*simp*]: $(\forall x \in A. x = a \longrightarrow P x) \longleftrightarrow (a \in A \longrightarrow P a)$
 $\langle \text{proof} \rangle$

lemma *ball-one-point2* [*simp*]: $(\forall x \in A. a = x \longrightarrow P x) \longleftrightarrow (a \in A \longrightarrow P a)$
 $\langle \text{proof} \rangle$

lemma *ball-conj-distrib*: $(\forall x \in A. P x \wedge Q x) \longleftrightarrow (\forall x \in A. P x) \wedge (\forall x \in A. Q x)$
 $\langle \text{proof} \rangle$

lemma *bex-disj-distrib*: $(\exists x \in A. P x \vee Q x) \longleftrightarrow (\exists x \in A. P x) \vee (\exists x \in A. Q x)$
 $\langle \text{proof} \rangle$

Congruence rules

lemma *ball-cong*:
 $A = B \implies (\bigwedge x. x \in B \implies P x \longleftrightarrow Q x) \implies$
 $(\forall x \in A. P x) \longleftrightarrow (\forall x \in B. Q x)$
 $\langle \text{proof} \rangle$

lemma *strong-ball-cong* [*cong*]:
 $A = B \implies (\bigwedge x. x \in B =_{\text{simp}} P x \longleftrightarrow Q x) \implies$
 $(\forall x \in A. P x) \longleftrightarrow (\forall x \in B. Q x)$
 $\langle \text{proof} \rangle$

lemma *bex-cong*:
 $A = B \implies (\bigwedge x. x \in B \implies P x \longleftrightarrow Q x) \implies$
 $(\exists x \in A. P x) \longleftrightarrow (\exists x \in B. Q x)$
 $\langle \text{proof} \rangle$

lemma *strong-bex-cong* [*cong*]:
 $A = B \implies (\bigwedge x. x \in B =_{\text{simp}} P x \longleftrightarrow Q x) \implies$
 $(\exists x \in A. P x) \longleftrightarrow (\exists x \in B. Q x)$
 $\langle \text{proof} \rangle$

lemma *bex1-def*: $(\exists ! x \in X. P x) \longleftrightarrow (\exists x \in X. P x) \wedge (\forall x \in X. \forall y \in X. P x \longrightarrow P y \longrightarrow x = y)$
 $\langle \text{proof} \rangle$

7.3 Basic operations

7.3.1 Subsets

lemma *subsetI* [*intro!*]: $(\bigwedge x. x \in A \implies x \in B) \implies A \subseteq B$
 $\langle \text{proof} \rangle$

Map the type *'a set* \Rightarrow *anything* to just *'a*; for overloading constants whose first argument has type *'a set*.

lemma *subsetD* [*elim, intro?*]: $A \subseteq B \implies c \in A \implies c \in B$
 $\langle \text{proof} \rangle$

lemma *rev-subsetD* [*intro?*]: $c \in A \implies A \subseteq B \implies c \in B$
 — The same, with reversed premises for use with *erule* – cf. $\llbracket ?P; ?P \longrightarrow ?Q \rrbracket \implies ?Q$.
 $\langle \text{proof} \rangle$

lemma *subsetCE* [*elim*]: $A \subseteq B \implies (c \notin A \implies P) \implies (c \in B \implies P) \implies P$
 — Classical elimination rule.
 $\langle \text{proof} \rangle$

lemma *subset-eq*: $A \subseteq B \longleftrightarrow (\forall x \in A. x \in B)$
 $\langle \text{proof} \rangle$

lemma *contra-subsetD*: $A \subseteq B \implies c \notin B \implies c \notin A$
 $\langle \text{proof} \rangle$

lemma *subset-refl*: $A \subseteq A$
 $\langle \text{proof} \rangle$

lemma *subset-trans*: $A \subseteq B \implies B \subseteq C \implies A \subseteq C$
 $\langle \text{proof} \rangle$

lemma *set-rev-mp*: $x \in A \implies A \subseteq B \implies x \in B$
 $\langle \text{proof} \rangle$

lemma *set-mp*: $A \subseteq B \implies x \in A \implies x \in B$
 $\langle \text{proof} \rangle$

lemma *subset-not-subset-eq* [*code*]: $A \subset B \longleftrightarrow A \subseteq B \wedge \neg B \subseteq A$
 $\langle \text{proof} \rangle$

lemma *eq-mem-trans*: $a = b \implies b \in A \implies a \in A$
 $\langle \text{proof} \rangle$

lemmas *basic-trans-rules* [*trans*] =
order-trans-rules set-rev-mp set-mp eq-mem-trans

7.3.2 Equality

lemma *subset-antisym* [intro!]: $A \subseteq B \implies B \subseteq A \implies A = B$
 — Anti-symmetry of the subset relation.
 $\langle proof \rangle$

Equality rules from ZF set theory – are they appropriate here?

lemma *equalityD1*: $A = B \implies A \subseteq B$
 $\langle proof \rangle$

lemma *equalityD2*: $A = B \implies B \subseteq A$
 $\langle proof \rangle$

Be careful when adding this to the claset as *subset-empty* is in the simpset:
 $A = \{\}$ goes to $\{\} \subseteq A$ and $A \subseteq \{\}$ and then back to $A = \{\}$!

lemma *equalityE*: $A = B \implies (A \subseteq B \implies B \subseteq A \implies P) \implies P$
 $\langle proof \rangle$

lemma *equalityCE* [elim]: $A = B \implies (c \in A \implies c \in B \implies P) \implies (c \notin A \implies c \notin B \implies P) \implies P$
 $\langle proof \rangle$

lemma *eqset-imp-iff*: $A = B \implies x \in A \longleftrightarrow x \in B$
 $\langle proof \rangle$

lemma *eqelem-imp-iff*: $x = y \implies x \in A \longleftrightarrow y \in A$
 $\langle proof \rangle$

7.3.3 The empty set

lemma *empty-def*: $\{\} = \{x. False\}$
 $\langle proof \rangle$

lemma *empty-iff* [simp]: $c \in \{\} \longleftrightarrow False$
 $\langle proof \rangle$

lemma *emptyE* [elim!]: $a \in \{\} \implies P$
 $\langle proof \rangle$

lemma *empty-subsetI* [iff]: $\{\} \subseteq A$
 — One effect is to delete the ASSUMPTION $\{\} \subseteq A$
 $\langle proof \rangle$

lemma *equals0I*: $(\bigwedge y. y \in A \implies False) \implies A = \{\}$
 $\langle proof \rangle$

lemma *equals0D*: $A = \{\} \implies a \notin A$
 — Use for reasoning about disjointness: $A \cap B = \{\}$

$\langle proof \rangle$

lemma *ball-empty* [simp]: $Ball \ \{\} \ P \longleftrightarrow True$
 $\langle proof \rangle$

lemma *bex-empty* [simp]: $Bex \ \{\} \ P \longleftrightarrow False$
 $\langle proof \rangle$

7.3.4 The universal set – UNIV

abbreviation *UNIV* :: 'a set
where *UNIV* $\equiv top$

lemma *UNIV-def*: $UNIV = \{x. True\}$
 $\langle proof \rangle$

lemma *UNIV-I* [simp]: $x \in UNIV$
 $\langle proof \rangle$

declare *UNIV-I* [intro] — unsafe makes it less likely to cause problems

lemma *UNIV-witness* [intro?]: $\exists x. x \in UNIV$
 $\langle proof \rangle$

lemma *subset-UNIV*: $A \subseteq UNIV$
 $\langle proof \rangle$

Eta-contracting these two rules (to remove P) causes them to be ignored because of their interaction with congruence rules.

lemma *ball-UNIV* [simp]: $Ball \ UNIV \ P \longleftrightarrow All \ P$
 $\langle proof \rangle$

lemma *bex-UNIV* [simp]: $Bex \ UNIV \ P \longleftrightarrow Ex \ P$
 $\langle proof \rangle$

lemma *UNIV-eq-I*: $(\bigwedge x. x \in A) \implies UNIV = A$
 $\langle proof \rangle$

lemma *UNIV-not-empty* [iff]: $UNIV \neq \{\}$
 $\langle proof \rangle$

lemma *empty-not-UNIV* [simp]: $\{\} \neq UNIV$
 $\langle proof \rangle$

7.3.5 The Powerset operator – Pow

definition *Pow* :: 'a set \Rightarrow 'a set set
where *Pow-def*: $Pow \ A = \{B. B \subseteq A\}$

lemma *Pow-iff* [*iff*]: $A \in \text{Pow } B \longleftrightarrow A \subseteq B$
 $\langle \text{proof} \rangle$

lemma *PowI*: $A \subseteq B \implies A \in \text{Pow } B$
 $\langle \text{proof} \rangle$

lemma *PowD*: $A \in \text{Pow } B \implies A \subseteq B$
 $\langle \text{proof} \rangle$

lemma *Pow-bottom*: $\{\} \in \text{Pow } B$
 $\langle \text{proof} \rangle$

lemma *Pow-top*: $A \in \text{Pow } A$
 $\langle \text{proof} \rangle$

lemma *Pow-not-empty*: $\text{Pow } A \neq \{\}$
 $\langle \text{proof} \rangle$

7.3.6 Set complement

lemma *Compl-iff* [*simp*]: $c \in - A \longleftrightarrow c \notin A$
 $\langle \text{proof} \rangle$

lemma *ComplI* [*intro!*]: $(c \in A \implies \text{False}) \implies c \in - A$
 $\langle \text{proof} \rangle$

This form, with negated conclusion, works well with the Classical prover. Negated assumptions behave like formulae on the right side of the notional turnstile ...

lemma *ComplD* [*dest!*]: $c \in - A \implies c \notin A$
 $\langle \text{proof} \rangle$

lemmas *ComplE* = *ComplD* [*elim-format*]

lemma *Compl-eq*: $- A = \{x. \neg x \in A\}$
 $\langle \text{proof} \rangle$

7.3.7 Binary intersection

abbreviation *inter* :: 'a set \Rightarrow 'a set \Rightarrow 'a set (**infixl** \cap 70)
where *op* $\cap \equiv \text{inf}$

notation (*ASCII*)
inter (**infixl** *Int* 70)

lemma *Int-def*: $A \cap B = \{x. x \in A \wedge x \in B\}$
 $\langle \text{proof} \rangle$

lemma *Int-iff* [*simp*]: $c \in A \cap B \longleftrightarrow c \in A \wedge c \in B$

$\langle \text{proof} \rangle$

lemma *IntI* [*intro!*]: $c \in A \implies c \in B \implies c \in A \cap B$
 $\langle \text{proof} \rangle$

lemma *IntD1*: $c \in A \cap B \implies c \in A$
 $\langle \text{proof} \rangle$

lemma *IntD2*: $c \in A \cap B \implies c \in B$
 $\langle \text{proof} \rangle$

lemma *IntE* [*elim!*]: $c \in A \cap B \implies (c \in A \implies c \in B \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *mono-Int*: $\text{mono } f \implies f (A \cap B) \subseteq f A \cap f B$
 $\langle \text{proof} \rangle$

7.3.8 Binary union

abbreviation *union* :: 'a set \Rightarrow 'a set \Rightarrow 'a set (**infixl** \cup 65)
 where *union* \equiv *sup*

notation (*ASCII*)
union (**infixl** *Un* 65)

lemma *Un-def*: $A \cup B = \{x. x \in A \vee x \in B\}$
 $\langle \text{proof} \rangle$

lemma *Un-iff* [*simp*]: $c \in A \cup B \longleftrightarrow c \in A \vee c \in B$
 $\langle \text{proof} \rangle$

lemma *UnI1* [*elim?*]: $c \in A \implies c \in A \cup B$
 $\langle \text{proof} \rangle$

lemma *UnI2* [*elim?*]: $c \in B \implies c \in A \cup B$
 $\langle \text{proof} \rangle$

Classical introduction rule: no commitment to A vs. B .

lemma *UnCI* [*intro!*]: $(c \notin B \implies c \in A) \implies c \in A \cup B$
 $\langle \text{proof} \rangle$

lemma *UnE* [*elim!*]: $c \in A \cup B \implies (c \in A \implies P) \implies (c \in B \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *insert-def*: $\text{insert } a B = \{x. x = a\} \cup B$
 $\langle \text{proof} \rangle$

lemma *mono-Un*: $\text{mono } f \implies f A \cup f B \subseteq f (A \cup B)$
 $\langle \text{proof} \rangle$

7.3.9 Set difference

lemma *Diff-iff* [*simp*]: $c \in A - B \longleftrightarrow c \in A \wedge c \notin B$
 $\langle \text{proof} \rangle$

lemma *DiffI* [*intro!*]: $c \in A \implies c \notin B \implies c \in A - B$
 $\langle \text{proof} \rangle$

lemma *DiffD1*: $c \in A - B \implies c \in A$
 $\langle \text{proof} \rangle$

lemma *DiffD2*: $c \in A - B \implies c \in B \implies P$
 $\langle \text{proof} \rangle$

lemma *DiffE* [*elim!*]: $c \in A - B \implies (c \in A \implies c \notin B \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *set-diff-eq*: $A - B = \{x. x \in A \wedge x \notin B\}$
 $\langle \text{proof} \rangle$

lemma *Compl-eq-Diff-UNIV*: $- A = (UNIV - A)$
 $\langle \text{proof} \rangle$

7.3.10 Augmenting a set – insert

lemma *insert-iff* [*simp*]: $a \in \text{insert } b A \longleftrightarrow a = b \vee a \in A$
 $\langle \text{proof} \rangle$

lemma *insertI1*: $a \in \text{insert } a B$
 $\langle \text{proof} \rangle$

lemma *insertI2*: $a \in B \implies a \in \text{insert } b B$
 $\langle \text{proof} \rangle$

lemma *insertE* [*elim!*]: $a \in \text{insert } b A \implies (a = b \implies P) \implies (a \in A \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *insertCI* [*intro!*]: $(a \notin B \implies a = b) \implies a \in \text{insert } b B$
 — Classical introduction rule.
 $\langle \text{proof} \rangle$

lemma *subset-insert-iff*: $A \subseteq \text{insert } x B \longleftrightarrow (\text{if } x \in A \text{ then } A - \{x\} \subseteq B \text{ else } A \subseteq B)$
 $\langle \text{proof} \rangle$

lemma *set-insert*:
assumes $x \in A$
obtains B **where** $A = \text{insert } x B$ **and** $x \notin B$
 $\langle \text{proof} \rangle$

lemma *insert-ident*: $x \notin A \implies x \notin B \implies \text{insert } x \ A = \text{insert } x \ B \longleftrightarrow A = B$
 $\langle \text{proof} \rangle$

lemma *insert-eq-iff*:
assumes $a \notin A \ b \notin B$
shows $\text{insert } a \ A = \text{insert } b \ B \longleftrightarrow$
 $(\text{if } a = b \text{ then } A = B \text{ else } \exists C. A = \text{insert } b \ C \wedge b \notin C \wedge B = \text{insert } a \ C \wedge a \notin C)$
(is $?L \longleftrightarrow ?R$
 $\langle \text{proof} \rangle$

lemma *insert-UNIV*: $\text{insert } x \ \text{UNIV} = \text{UNIV}$
 $\langle \text{proof} \rangle$

7.3.11 Singletons, using insert

lemma *singletonI* [*intro!*]: $a \in \{a\}$
 — Redundant? But unlike *insertCI*, it proves the subgoal immediately!
 $\langle \text{proof} \rangle$

lemma *singletonD* [*dest!*]: $b \in \{a\} \implies b = a$
 $\langle \text{proof} \rangle$

lemmas $\text{singletonE} = \text{singletonD}$ [*elim-format*]

lemma *singleton-iff*: $b \in \{a\} \longleftrightarrow b = a$
 $\langle \text{proof} \rangle$

lemma *singleton-inject* [*dest!*]: $\{a\} = \{b\} \implies a = b$
 $\langle \text{proof} \rangle$

lemma *singleton-insert-inj-eq* [*iff*]: $\{b\} = \text{insert } a \ A \longleftrightarrow a = b \wedge A \subseteq \{b\}$
 $\langle \text{proof} \rangle$

lemma *singleton-insert-inj-eq'* [*iff*]: $\text{insert } a \ A = \{b\} \longleftrightarrow a = b \wedge A \subseteq \{b\}$
 $\langle \text{proof} \rangle$

lemma *subset-singletonD*: $A \subseteq \{x\} \implies A = \{\} \vee A = \{x\}$
 $\langle \text{proof} \rangle$

lemma *subset-singleton-iff*: $X \subseteq \{a\} \longleftrightarrow X = \{\} \vee X = \{a\}$
 $\langle \text{proof} \rangle$

lemma *singleton-conv* [*simp*]: $\{x. x = a\} = \{a\}$
 $\langle \text{proof} \rangle$

lemma *singleton-conv2* [*simp*]: $\{x. a = x\} = \{a\}$
 $\langle \text{proof} \rangle$

lemma *Diff-single-insert*: $A - \{x\} \subseteq B \implies A \subseteq \text{insert } x B$
 $\langle \text{proof} \rangle$

lemma *subset-Diff-insert*: $A \subseteq B - \text{insert } x C \iff A \subseteq B - C \wedge x \notin A$
 $\langle \text{proof} \rangle$

lemma *doubleton-eq-iff*: $\{a, b\} = \{c, d\} \iff a = c \wedge b = d \vee a = d \wedge b = c$
 $\langle \text{proof} \rangle$

lemma *Un-singleton-iff*: $A \cup B = \{x\} \iff A = \{\} \wedge B = \{x\} \vee A = \{x\} \wedge B = \{\} \vee A = \{x\} \wedge B = \{x\}$
 $\langle \text{proof} \rangle$

lemma *singleton-Un-iff*: $\{x\} = A \cup B \iff A = \{\} \wedge B = \{x\} \vee A = \{x\} \wedge B = \{\} \vee A = \{x\} \wedge B = \{x\}$
 $\langle \text{proof} \rangle$

7.3.12 Image of a set under a function

Frequently b does not have the syntactic form of $f x$.

definition *image* :: $('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set}$ (**infixr** ‘ 90)
where $f \text{ ‘ } A = \{y. \exists x \in A. y = f x\}$

lemma *image-eqI* [*simp*, *intro*]: $b = f x \implies x \in A \implies b \in f \text{ ‘ } A$
 $\langle \text{proof} \rangle$

lemma *imageI*: $x \in A \implies f x \in f \text{ ‘ } A$
 $\langle \text{proof} \rangle$

lemma *rev-image-eqI*: $x \in A \implies b = f x \implies b \in f \text{ ‘ } A$
 — This version’s more effective when we already have the required x .
 $\langle \text{proof} \rangle$

lemma *imageE* [*elim!*]:
assumes $b \in (\lambda x. f x) \text{ ‘ } A$ — The eta-expansion gives variable-name preservation.

obtains x **where** $b = f x$ **and** $x \in A$
 $\langle \text{proof} \rangle$

lemma *Compr-image-eq*: $\{x \in f \text{ ‘ } A. P x\} = f \text{ ‘ } \{x \in A. P (f x)\}$
 $\langle \text{proof} \rangle$

lemma *image-Un*: $f \text{ ‘ } (A \cup B) = f \text{ ‘ } A \cup f \text{ ‘ } B$
 $\langle \text{proof} \rangle$

lemma *image-iff*: $z \in f \text{ ‘ } A \iff (\exists x \in A. z = f x)$
 $\langle \text{proof} \rangle$

lemma *image-subsetI*: $(\bigwedge x. x \in A \implies f x \in B) \implies f \, ' A \subseteq B$

— Replaces the three steps *subsetI*, *imageE*, *hypsubst*, but breaks too many existing proofs.

<proof>

lemma *image-subset-iff*: $f \, ' A \subseteq B \longleftrightarrow (\forall x \in A. f x \in B)$

— This rewrite rule would confuse users if made default.

<proof>

lemma *subset-imageE*:

assumes $B \subseteq f \, ' A$

obtains C where $C \subseteq A$ and $B = f \, ' C$

<proof>

lemma *subset-image-iff*: $B \subseteq f \, ' A \longleftrightarrow (\exists A A \subseteq A. B = f \, ' A A)$

<proof>

lemma *image-ident* [simp]: $(\lambda x. x) \, ' Y = Y$

<proof>

lemma *image-empty* [simp]: $f \, ' \{\} = \{\}$

<proof>

lemma *image-insert* [simp]: $f \, ' \text{insert } a \, B = \text{insert } (f a) (f \, ' B)$

<proof>

lemma *image-constant*: $x \in A \implies (\lambda x. c) \, ' A = \{c\}$

<proof>

lemma *image-constant-conv*: $(\lambda x. c) \, ' A = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{c\})$

<proof>

lemma *image-image*: $f \, ' (g \, ' A) = (\lambda x. f (g x)) \, ' A$

<proof>

lemma *insert-image* [simp]: $x \in A \implies \text{insert } (f x) (f \, ' A) = f \, ' A$

<proof>

lemma *image-is-empty* [iff]: $f \, ' A = \{\} \longleftrightarrow A = \{\}$

<proof>

lemma *empty-is-image* [iff]: $\{\} = f \, ' A \longleftrightarrow A = \{\}$

<proof>

lemma *image-Collect*: $f \, ' \{x. P x\} = \{f x \mid x. P x\}$

— NOT suitable as a default simp rule: the RHS isn't simpler than the LHS, with its implicit quantifier and conjunction. Also image enjoys better equational properties than does the RHS.

<proof>

lemma *if-image-distrib* [simp]:

$$(\lambda x. \text{if } P \ x \text{ then } f \ x \text{ else } g \ x) \, ' S = f \, ' (S \cap \{x. P \ x\}) \cup g \, ' (S \cap \{x. \neg P \ x\})$$

<proof>

lemma *image-cong*: $M = N \implies (\bigwedge x. x \in N \implies f \ x = g \ x) \implies f \, ' M = g \, ' N$

<proof>

lemma *image-Int-subset*: $f \, ' (A \cap B) \subseteq f \, ' A \cap f \, ' B$

<proof>

lemma *image-diff-subset*: $f \, ' A - f \, ' B \subseteq f \, ' (A - B)$

<proof>

lemma *Setcompr-eq-image*: $\{f \ x \mid x. x \in A\} = f \, ' A$

<proof>

lemma *setcompr-eq-image*: $\{f \ x \mid x. P \ x\} = f \, ' \{x. P \ x\}$

<proof>

lemma *ball-imageD*: $\forall x \in f \, ' A. P \ x \implies \forall x \in A. P \ (f \ x)$

<proof>

lemma *ball-imageD*: $\exists x \in f \, ' A. P \ x \implies \exists x \in A. P \ (f \ x)$

<proof>

lemma *image-add-0* [simp]: $op + (0 :: 'a :: comm-monoid-add) \, ' S = S$

<proof>

Range of a function – just an abbreviation for image!

abbreviation *range* :: $('a \Rightarrow 'b) \Rightarrow 'b \text{ set}$ — of function

where $\text{range } f \equiv f \, ' \text{UNIV}$

lemma *range-eqI*: $b = f \ x \implies b \in \text{range } f$

<proof>

lemma *rangeI*: $f \ x \in \text{range } f$

<proof>

lemma *rangeE* [elim?]: $b \in \text{range } (\lambda x. f \ x) \implies (\bigwedge x. b = f \ x \implies P) \implies P$

<proof>

lemma *full-SetCompr-eq*: $\{u. \exists x. u = f \ x\} = \text{range } f$

<proof>

lemma *range-composition*: $\text{range } (\lambda x. f \ (g \ x)) = f \, ' \text{range } g$

<proof>

lemma *range-eq-singletonD*: $\text{range } f = \{a\} \implies f \ x = a$

$\langle proof \rangle$

7.3.13 Some rules with *if*

Elimination of $\{x. \dots \wedge x = t \wedge \dots\}$.

lemma *Collect-conv-if*: $\{x. x = a \wedge P x\} = (if\ P\ a\ then\ \{a\}\ else\ \{\})$
 $\langle proof \rangle$

lemma *Collect-conv-if2*: $\{x. a = x \wedge P x\} = (if\ P\ a\ then\ \{a\}\ else\ \{\})$
 $\langle proof \rangle$

Rewrite rules for boolean case-splitting: faster than *if-split* [*split*].

lemma *if-split-eq1*: $(if\ Q\ then\ x\ else\ y) = b \longleftrightarrow (Q \longrightarrow x = b) \wedge (\neg Q \longrightarrow y = b)$
 $\langle proof \rangle$

lemma *if-split-eq2*: $a = (if\ Q\ then\ x\ else\ y) \longleftrightarrow (Q \longrightarrow a = x) \wedge (\neg Q \longrightarrow a = y)$
 $\langle proof \rangle$

Split ifs on either side of the membership relation. Not for [*simp*] – can cause goals to blow up!

lemma *if-split-mem1*: $(if\ Q\ then\ x\ else\ y) \in b \longleftrightarrow (Q \longrightarrow x \in b) \wedge (\neg Q \longrightarrow y \in b)$
 $\langle proof \rangle$

lemma *if-split-mem2*: $(a \in (if\ Q\ then\ x\ else\ y)) \longleftrightarrow (Q \longrightarrow a \in x) \wedge (\neg Q \longrightarrow a \in y)$
 $\langle proof \rangle$

lemmas *split-ifs* = *if-bool-eq-conj if-split-eq1 if-split-eq2 if-split-mem1 if-split-mem2*

7.4 Further operations and lemmas

7.4.1 The “proper subset” relation

lemma *psubsetI* [*intro!*]: $A \subseteq B \implies A \neq B \implies A \subset B$
 $\langle proof \rangle$

lemma *psubsetE* [*elim!*]: $A \subset B \implies (A \subseteq B \implies \neg B \subseteq A \implies R) \implies R$
 $\langle proof \rangle$

lemma *psubset-insert-iff*:

$A \subset insert\ x\ B \longleftrightarrow (if\ x \in B\ then\ A \subset B\ else\ if\ x \in A\ then\ A - \{x\} \subset B\ else\ A \subseteq B)$
 $\langle proof \rangle$

lemma *psubset-eq*: $A \subset B \longleftrightarrow A \subseteq B \wedge A \neq B$
 $\langle proof \rangle$

lemma *psubset-imp-subset*: $A \subset B \implies A \subseteq B$
 $\langle \text{proof} \rangle$

lemma *psubset-trans*: $A \subset B \implies B \subset C \implies A \subset C$
 $\langle \text{proof} \rangle$

lemma *psubsetD*: $A \subset B \implies c \in A \implies c \in B$
 $\langle \text{proof} \rangle$

lemma *psubset-subset-trans*: $A \subset B \implies B \subseteq C \implies A \subset C$
 $\langle \text{proof} \rangle$

lemma *subset-psubset-trans*: $A \subseteq B \implies B \subset C \implies A \subset C$
 $\langle \text{proof} \rangle$

lemma *psubset-imp-ex-mem*: $A \subset B \implies \exists b. b \in B - A$
 $\langle \text{proof} \rangle$

lemma *atomize-ball*: $(\bigwedge x. x \in A \implies P x) \equiv \text{Trueprop } (\forall x \in A. P x)$
 $\langle \text{proof} \rangle$

lemmas $[\text{symmetric}, \text{rulify}] = \text{atomize-ball}$
and $[\text{symmetric}, \text{defn}] = \text{atomize-ball}$

lemma *image-Pow-mono*: $f ' A \subseteq B \implies \text{image } f ' \text{Pow } A \subseteq \text{Pow } B$
 $\langle \text{proof} \rangle$

lemma *image-Pow-surj*: $f ' A = B \implies \text{image } f ' \text{Pow } A = \text{Pow } B$
 $\langle \text{proof} \rangle$

7.4.2 Derived rules involving subsets.

insert.

lemma *subset-insertI*: $B \subseteq \text{insert } a B$
 $\langle \text{proof} \rangle$

lemma *subset-insertI2*: $A \subseteq B \implies A \subseteq \text{insert } b B$
 $\langle \text{proof} \rangle$

lemma *subset-insert*: $x \notin A \implies A \subseteq \text{insert } x B \longleftrightarrow A \subseteq B$
 $\langle \text{proof} \rangle$

Finite Union – the least upper bound of two sets.

lemma *Un-upper1*: $A \subseteq A \cup B$
 $\langle \text{proof} \rangle$

lemma *Un-upper2*: $B \subseteq A \cup B$

$\langle \text{proof} \rangle$

lemma *Un-least*: $A \subseteq C \implies B \subseteq C \implies A \cup B \subseteq C$
 $\langle \text{proof} \rangle$

Finite Intersection – the greatest lower bound of two sets.

lemma *Int-lower1*: $A \cap B \subseteq A$
 $\langle \text{proof} \rangle$

lemma *Int-lower2*: $A \cap B \subseteq B$
 $\langle \text{proof} \rangle$

lemma *Int-greatest*: $C \subseteq A \implies C \subseteq B \implies C \subseteq A \cap B$
 $\langle \text{proof} \rangle$

Set difference.

lemma *Diff-subset*: $A - B \subseteq A$
 $\langle \text{proof} \rangle$

lemma *Diff-subset-conv*: $A - B \subseteq C \longleftrightarrow A \subseteq B \cup C$
 $\langle \text{proof} \rangle$

7.4.3 Equalities involving union, intersection, inclusion, etc.

$\{\}$.

lemma *Collect-const* [simp]: $\{s. P\} = (\text{if } P \text{ then } \text{UNIV} \text{ else } \{\})$
 — supersedes *Collect-False-empty*
 $\langle \text{proof} \rangle$

lemma *subset-empty* [simp]: $A \subseteq \{\} \longleftrightarrow A = \{\}$
 $\langle \text{proof} \rangle$

lemma *not-psubset-empty* [iff]: $\neg (A < \{\})$
 $\langle \text{proof} \rangle$

lemma *Collect-empty-eq* [simp]: $\text{Collect } P = \{\} \longleftrightarrow (\forall x. \neg P x)$
 $\langle \text{proof} \rangle$

lemma *empty-Collect-eq* [simp]: $\{\} = \text{Collect } P \longleftrightarrow (\forall x. \neg P x)$
 $\langle \text{proof} \rangle$

lemma *Collect-neg-eq*: $\{x. \neg P x\} = - \{x. P x\}$
 $\langle \text{proof} \rangle$

lemma *Collect-disj-eq*: $\{x. P x \vee Q x\} = \{x. P x\} \cup \{x. Q x\}$
 $\langle \text{proof} \rangle$

lemma *Collect-imp-eq*: $\{x. P\ x \longrightarrow Q\ x\} = - \{x. P\ x\} \cup \{x. Q\ x\}$
 ⟨proof⟩

lemma *Collect-conj-eq*: $\{x. P\ x \wedge Q\ x\} = \{x. P\ x\} \cap \{x. Q\ x\}$
 ⟨proof⟩

lemma *Collect-mono-iff*: $\text{Collect } P \subseteq \text{Collect } Q \longleftrightarrow (\forall x. P\ x \longrightarrow Q\ x)$
 ⟨proof⟩

insert.

lemma *insert-is-Un*: $\text{insert } a\ A = \{a\} \cup A$
 — NOT SUITABLE FOR REWRITING since $\{a\} \equiv \text{insert } a\ \{\}$
 ⟨proof⟩

lemma *insert-not-empty* [simp]: $\text{insert } a\ A \neq \{\}$
and *empty-not-insert* [simp]: $\{\} \neq \text{insert } a\ A$
 ⟨proof⟩

lemma *insert-absorb*: $a \in A \implies \text{insert } a\ A = A$
 — [simp] causes recursive calls when there are nested inserts
 — with *quadratic* running time
 ⟨proof⟩

lemma *insert-absorb2* [simp]: $\text{insert } x\ (\text{insert } x\ A) = \text{insert } x\ A$
 ⟨proof⟩

lemma *insert-commute*: $\text{insert } x\ (\text{insert } y\ A) = \text{insert } y\ (\text{insert } x\ A)$
 ⟨proof⟩

lemma *insert-subset* [simp]: $\text{insert } x\ A \subseteq B \longleftrightarrow x \in B \wedge A \subseteq B$
 ⟨proof⟩

lemma *mk-disjoint-insert*: $a \in A \implies \exists B. A = \text{insert } a\ B \wedge a \notin B$
 — use new B rather than $A - \{a\}$ to avoid infinite unfolding
 ⟨proof⟩

lemma *insert-Collect*: $\text{insert } a\ (\text{Collect } P) = \{u. u \neq a \longrightarrow P\ u\}$
 ⟨proof⟩

lemma *insert-inter-insert* [simp]: $\text{insert } a\ A \cap \text{insert } a\ B = \text{insert } a\ (A \cap B)$
 ⟨proof⟩

lemma *insert-disjoint* [simp]:
 $\text{insert } a\ A \cap B = \{\} \longleftrightarrow a \notin B \wedge A \cap B = \{\}$
 $\{\} = \text{insert } a\ A \cap B \longleftrightarrow a \notin B \wedge \{\} = A \cap B$
 ⟨proof⟩

lemma *disjoint-insert* [simp]:
 $B \cap \text{insert } a\ A = \{\} \longleftrightarrow a \notin B \wedge B \cap A = \{\}$

$$\{\} = A \cap \text{insert } b \ B \longleftrightarrow b \notin A \wedge \{\} = A \cap B$$

<proof>

Int

lemma *Int-absorb*: $A \cap A = A$
<proof>

lemma *Int-left-absorb*: $A \cap (A \cap B) = A \cap B$
<proof>

lemma *Int-commute*: $A \cap B = B \cap A$
<proof>

lemma *Int-left-commute*: $A \cap (B \cap C) = B \cap (A \cap C)$
<proof>

lemma *Int-assoc*: $(A \cap B) \cap C = A \cap (B \cap C)$
<proof>

lemmas *Int-ac* = *Int-assoc Int-left-absorb Int-commute Int-left-commute*
 — Intersection is an AC-operator

lemma *Int-absorb1*: $B \subseteq A \implies A \cap B = B$
<proof>

lemma *Int-absorb2*: $A \subseteq B \implies A \cap B = A$
<proof>

lemma *Int-empty-left*: $\{\} \cap B = \{\}$
<proof>

lemma *Int-empty-right*: $A \cap \{\} = \{\}$
<proof>

lemma *disjoint-eq-subset-Compl*: $A \cap B = \{\} \longleftrightarrow A \subseteq - B$
<proof>

lemma *disjoint-iff-not-equal*: $A \cap B = \{\} \longleftrightarrow (\forall x \in A. \forall y \in B. x \neq y)$
<proof>

lemma *Int-UNIV-left*: $UNIV \cap B = B$
<proof>

lemma *Int-UNIV-right*: $A \cap UNIV = A$
<proof>

lemma *Int-Un-distrib*: $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
<proof>

lemma *Int-Un-distrib2*: $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$
 $\langle proof \rangle$

lemma *Int-UNIV [simp]*: $A \cap B = UNIV \longleftrightarrow A = UNIV \wedge B = UNIV$
 $\langle proof \rangle$

lemma *Int-subset-iff [simp]*: $C \subseteq A \cap B \longleftrightarrow C \subseteq A \wedge C \subseteq B$
 $\langle proof \rangle$

lemma *Int-Collect*: $x \in A \cap \{x. P\} \longleftrightarrow x \in A \wedge P\ x$
 $\langle proof \rangle$

Un.

lemma *Un-absorb*: $A \cup A = A$
 $\langle proof \rangle$

lemma *Un-left-absorb*: $A \cup (A \cup B) = A \cup B$
 $\langle proof \rangle$

lemma *Un-commute*: $A \cup B = B \cup A$
 $\langle proof \rangle$

lemma *Un-left-commute*: $A \cup (B \cup C) = B \cup (A \cup C)$
 $\langle proof \rangle$

lemma *Un-assoc*: $(A \cup B) \cup C = A \cup (B \cup C)$
 $\langle proof \rangle$

lemmas *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*
 — Union is an AC-operator

lemma *Un-absorb1*: $A \subseteq B \implies A \cup B = B$
 $\langle proof \rangle$

lemma *Un-absorb2*: $B \subseteq A \implies A \cup B = A$
 $\langle proof \rangle$

lemma *Un-empty-left*: $\{\} \cup B = B$
 $\langle proof \rangle$

lemma *Un-empty-right*: $A \cup \{\} = A$
 $\langle proof \rangle$

lemma *Un-UNIV-left*: $UNIV \cup B = UNIV$
 $\langle proof \rangle$

lemma *Un-UNIV-right*: $A \cup UNIV = UNIV$
 $\langle proof \rangle$

lemma *Un-insert-left* [simp]: $(\text{insert } a \ B) \cup C = \text{insert } a \ (B \cup C)$
 ⟨proof⟩

lemma *Un-insert-right* [simp]: $A \cup (\text{insert } a \ B) = \text{insert } a \ (A \cup B)$
 ⟨proof⟩

lemma *Int-insert-left*: $(\text{insert } a \ B) \cap C = (\text{if } a \in C \text{ then } \text{insert } a \ (B \cap C) \text{ else } B \cap C)$
 ⟨proof⟩

lemma *Int-insert-left-if0* [simp]: $a \notin C \implies (\text{insert } a \ B) \cap C = B \cap C$
 ⟨proof⟩

lemma *Int-insert-left-if1* [simp]: $a \in C \implies (\text{insert } a \ B) \cap C = \text{insert } a \ (B \cap C)$
 ⟨proof⟩

lemma *Int-insert-right*: $A \cap (\text{insert } a \ B) = (\text{if } a \in A \text{ then } \text{insert } a \ (A \cap B) \text{ else } A \cap B)$
 ⟨proof⟩

lemma *Int-insert-right-if0* [simp]: $a \notin A \implies A \cap (\text{insert } a \ B) = A \cap B$
 ⟨proof⟩

lemma *Int-insert-right-if1* [simp]: $a \in A \implies A \cap (\text{insert } a \ B) = \text{insert } a \ (A \cap B)$
 ⟨proof⟩

lemma *Un-Int-distrib*: $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$
 ⟨proof⟩

lemma *Un-Int-distrib2*: $(B \cap C) \cup A = (B \cup A) \cap (C \cup A)$
 ⟨proof⟩

lemma *Un-Int-crazy*: $(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$
 ⟨proof⟩

lemma *subset-Un-eq*: $A \subseteq B \longleftrightarrow A \cup B = B$
 ⟨proof⟩

lemma *Un-empty* [iff]: $A \cup B = \{\} \longleftrightarrow A = \{\} \wedge B = \{\}$
 ⟨proof⟩

lemma *Un-subset-iff* [simp]: $A \cup B \subseteq C \longleftrightarrow A \subseteq C \wedge B \subseteq C$
 ⟨proof⟩

lemma *Un-Diff-Int*: $(A - B) \cup (A \cap B) = A$
 ⟨proof⟩

lemma *Diff-Int2*: $A \cap C - B \cap C = A \cap C - B$
 $\langle proof \rangle$

Set complement

lemma *Compl-disjoint* [simp]: $A \cap - A = \{\}$
 $\langle proof \rangle$

lemma *Compl-disjoint2* [simp]: $- A \cap A = \{\}$
 $\langle proof \rangle$

lemma *Compl-partition*: $A \cup - A = UNIV$
 $\langle proof \rangle$

lemma *Compl-partition2*: $- A \cup A = UNIV$
 $\langle proof \rangle$

lemma *double-complement*: $- (-A) = A$ **for** $A :: 'a \text{ set}$
 $\langle proof \rangle$

lemma *Compl-Un*: $- (A \cup B) = (- A) \cap (- B)$
 $\langle proof \rangle$

lemma *Compl-Int*: $- (A \cap B) = (- A) \cup (- B)$
 $\langle proof \rangle$

lemma *subset-Compl-self-eq*: $A \subseteq - A \longleftrightarrow A = \{\}$
 $\langle proof \rangle$

lemma *Un-Int-assoc-eq*: $(A \cap B) \cup C = A \cap (B \cup C) \longleftrightarrow C \subseteq A$
 — Halmos, Naive Set Theory, page 16.
 $\langle proof \rangle$

lemma *Compl-UNIV-eq*: $- UNIV = \{\}$
 $\langle proof \rangle$

lemma *Compl-empty-eq*: $- \{\} = UNIV$
 $\langle proof \rangle$

lemma *Compl-subset-Compl-iff* [iff]: $- A \subseteq - B \longleftrightarrow B \subseteq A$
 $\langle proof \rangle$

lemma *Compl-eq-Compl-iff* [iff]: $- A = - B \longleftrightarrow A = B$
for $A B :: 'a \text{ set}$
 $\langle proof \rangle$

lemma *Compl-insert*: $- \text{insert } x A = (- A) - \{x\}$
 $\langle proof \rangle$

Bounded quantifiers.

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

lemma *ball-Un*: $(\forall x \in A \cup B. P\ x) \longleftrightarrow (\forall x \in A. P\ x) \wedge (\forall x \in B. P\ x)$
 $\langle proof \rangle$

lemma *bex-Un*: $(\exists x \in A \cup B. P\ x) \longleftrightarrow (\exists x \in A. P\ x) \vee (\exists x \in B. P\ x)$
 $\langle proof \rangle$

Set difference.

lemma *Diff-eq*: $A - B = A \cap (-\ B)$
 $\langle proof \rangle$

lemma *Diff-eq-empty-iff* [simp]: $A - B = \{\} \longleftrightarrow A \subseteq B$
 $\langle proof \rangle$

lemma *Diff-cancel* [simp]: $A - A = \{\}$
 $\langle proof \rangle$

lemma *Diff-idemp* [simp]: $(A - B) - B = A - B$
for $A\ B :: 'a\ set$
 $\langle proof \rangle$

lemma *Diff-triv*: $A \cap B = \{\} \implies A - B = A$
 $\langle proof \rangle$

lemma *empty-Diff* [simp]: $\{\} - A = \{\}$
 $\langle proof \rangle$

lemma *Diff-empty* [simp]: $A - \{\} = A$
 $\langle proof \rangle$

lemma *Diff-UNIV* [simp]: $A - UNIV = \{\}$
 $\langle proof \rangle$

lemma *Diff-insert0* [simp]: $x \notin A \implies A - insert\ x\ B = A - B$
 $\langle proof \rangle$

lemma *Diff-insert*: $A - insert\ a\ B = A - B - \{a\}$
 — NOT SUITABLE FOR REWRITING since $\{a\} \equiv insert\ a\ 0$
 $\langle proof \rangle$

lemma *Diff-insert2*: $A - insert\ a\ B = A - \{a\} - B$
 — NOT SUITABLE FOR REWRITING since $\{a\} \equiv insert\ a\ 0$
 $\langle proof \rangle$

lemma *insert-Diff-if*: $insert\ x\ A - B = (if\ x \in B\ then\ A - B\ else\ insert\ x\ (A - B))$
 $\langle proof \rangle$

lemma *insert-Diff1* [simp]: $x \in B \implies \text{insert } x \ A - B = A - B$
 ⟨proof⟩

lemma *insert-Diff-single*[simp]: $\text{insert } a \ (A - \{a\}) = \text{insert } a \ A$
 ⟨proof⟩

lemma *insert-Diff*: $a \in A \implies \text{insert } a \ (A - \{a\}) = A$
 ⟨proof⟩

lemma *Diff-insert-absorb*: $x \notin A \implies (\text{insert } x \ A) - \{x\} = A$
 ⟨proof⟩

lemma *Diff-disjoint* [simp]: $A \cap (B - A) = \{\}$
 ⟨proof⟩

lemma *Diff-partition*: $A \subseteq B \implies A \cup (B - A) = B$
 ⟨proof⟩

lemma *double-diff*: $A \subseteq B \implies B \subseteq C \implies B - (C - A) = A$
 ⟨proof⟩

lemma *Un-Diff-cancel* [simp]: $A \cup (B - A) = A \cup B$
 ⟨proof⟩

lemma *Un-Diff-cancel2* [simp]: $(B - A) \cup A = B \cup A$
 ⟨proof⟩

lemma *Diff-Un*: $A - (B \cup C) = (A - B) \cap (A - C)$
 ⟨proof⟩

lemma *Diff-Int*: $A - (B \cap C) = (A - B) \cup (A - C)$
 ⟨proof⟩

lemma *Diff-Diff-Int*: $A - (A - B) = A \cap B$
 ⟨proof⟩

lemma *Un-Diff*: $(A \cup B) - C = (A - C) \cup (B - C)$
 ⟨proof⟩

lemma *Int-Diff*: $(A \cap B) - C = A \cap (B - C)$
 ⟨proof⟩

lemma *Diff-Int-distrib*: $C \cap (A - B) = (C \cap A) - (C \cap B)$
 ⟨proof⟩

lemma *Diff-Int-distrib2*: $(A - B) \cap C = (A \cap C) - (B \cap C)$
 ⟨proof⟩

lemma *Diff-Compl* [simp]: $A - (- B) = A \cap B$
 $\langle proof \rangle$

lemma *Compl-Diff-eq* [simp]: $- (A - B) = - A \cup B$
 $\langle proof \rangle$

lemma *subset-Compl-singleton* [simp]: $A \subseteq - \{b\} \longleftrightarrow b \notin A$
 $\langle proof \rangle$

Quantification over type *bool*.

lemma *bool-induct*: $P \text{ True} \implies P \text{ False} \implies P x$
 $\langle proof \rangle$

lemma *all-bool-eq*: $(\forall b. P b) \longleftrightarrow P \text{ True} \wedge P \text{ False}$
 $\langle proof \rangle$

lemma *bool-contrapos*: $P x \implies \neg P \text{ False} \implies P \text{ True}$
 $\langle proof \rangle$

lemma *ex-bool-eq*: $(\exists b. P b) \longleftrightarrow P \text{ True} \vee P \text{ False}$
 $\langle proof \rangle$

lemma *UNIV-bool*: $UNIV = \{\text{False}, \text{True}\}$
 $\langle proof \rangle$

Pow

lemma *Pow-empty* [simp]: $Pow \ \{\} = \{\{\}\}$
 $\langle proof \rangle$

lemma *Pow-singleton-iff* [simp]: $Pow \ X = \{Y\} \longleftrightarrow X = \{\} \wedge Y = \{\}$
 $\langle proof \rangle$

lemma *Pow-insert*: $Pow \ (\text{insert } a \ A) = Pow \ A \cup (\text{insert } a \ ' Pow \ A)$
 $\langle proof \rangle$

lemma *Pow-Compl*: $Pow \ (- \ A) = \{- \ B \mid B. A \in Pow \ B\}$
 $\langle proof \rangle$

lemma *Pow-UNIV* [simp]: $Pow \ UNIV = UNIV$
 $\langle proof \rangle$

lemma *Un-Pow-subset*: $Pow \ A \cup Pow \ B \subseteq Pow \ (A \cup B)$
 $\langle proof \rangle$

lemma *Pow-Int-eq* [simp]: $Pow \ (A \cap B) = Pow \ A \cap Pow \ B$
 $\langle proof \rangle$

Miscellany.

lemma *set-eq-subset*: $A = B \longleftrightarrow A \subseteq B \wedge B \subseteq A$
 ⟨proof⟩

lemma *subset-iff*: $A \subseteq B \longleftrightarrow (\forall t. t \in A \longrightarrow t \in B)$
 ⟨proof⟩

lemma *subset-iff-psubset-eq*: $A \subseteq B \longleftrightarrow A \subset B \vee A = B$
 ⟨proof⟩

lemma *all-not-in-conv* [simp]: $(\forall x. x \notin A) \longleftrightarrow A = \{\}$
 ⟨proof⟩

lemma *ex-in-conv*: $(\exists x. x \in A) \longleftrightarrow A \neq \{\}$
 ⟨proof⟩

lemma *ball-simps* [simp, no-atp]:
 $\bigwedge A P Q. (\forall x \in A. P x \vee Q) \longleftrightarrow ((\forall x \in A. P x) \vee Q)$
 $\bigwedge A P Q. (\forall x \in A. P \vee Q x) \longleftrightarrow (P \vee (\forall x \in A. Q x))$
 $\bigwedge A P Q. (\forall x \in A. P \longrightarrow Q x) \longleftrightarrow (P \longrightarrow (\forall x \in A. Q x))$
 $\bigwedge A P Q. (\forall x \in A. P x \longrightarrow Q) \longleftrightarrow ((\exists x \in A. P x) \longrightarrow Q)$
 $\bigwedge P. (\forall x \in \{\}. P x) \longleftrightarrow \text{True}$
 $\bigwedge P. (\forall x \in \text{UNIV}. P x) \longleftrightarrow (\forall x. P x)$
 $\bigwedge a B P. (\forall x \in \text{insert } a B. P x) \longleftrightarrow (P a \wedge (\forall x \in B. P x))$
 $\bigwedge P Q. (\forall x \in \text{Collect } Q. P x) \longleftrightarrow (\forall x. Q x \longrightarrow P x)$
 $\bigwedge A P f. (\forall x \in f'A. P x) \longleftrightarrow (\forall x \in A. P (f x))$
 $\bigwedge A P. (\neg (\forall x \in A. P x)) \longleftrightarrow (\exists x \in A. \neg P x)$
 ⟨proof⟩

lemma *bex-simps* [simp, no-atp]:
 $\bigwedge A P Q. (\exists x \in A. P x \wedge Q) \longleftrightarrow ((\exists x \in A. P x) \wedge Q)$
 $\bigwedge A P Q. (\exists x \in A. P \wedge Q x) \longleftrightarrow (P \wedge (\exists x \in A. Q x))$
 $\bigwedge P. (\exists x \in \{\}. P x) \longleftrightarrow \text{False}$
 $\bigwedge P. (\exists x \in \text{UNIV}. P x) \longleftrightarrow (\exists x. P x)$
 $\bigwedge a B P. (\exists x \in \text{insert } a B. P x) \longleftrightarrow (P a \mid (\exists x \in B. P x))$
 $\bigwedge P Q. (\exists x \in \text{Collect } Q. P x) \longleftrightarrow (\exists x. Q x \wedge P x)$
 $\bigwedge A P f. (\exists x \in f'A. P x) \longleftrightarrow (\exists x \in A. P (f x))$
 $\bigwedge A P. (\neg (\exists x \in A. P x)) \longleftrightarrow (\forall x \in A. \neg P x)$
 ⟨proof⟩

7.4.4 Monotonicity of various operations

lemma *image-mono*: $A \subseteq B \Longrightarrow f' A \subseteq f' B$
 ⟨proof⟩

lemma *Pow-mono*: $A \subseteq B \Longrightarrow \text{Pow } A \subseteq \text{Pow } B$
 ⟨proof⟩

lemma *insert-mono*: $C \subseteq D \Longrightarrow \text{insert } a C \subseteq \text{insert } a D$
 ⟨proof⟩

lemma *Un-mono*: $A \subseteq C \implies B \subseteq D \implies A \cup B \subseteq C \cup D$
 $\langle \text{proof} \rangle$

lemma *Int-mono*: $A \subseteq C \implies B \subseteq D \implies A \cap B \subseteq C \cap D$
 $\langle \text{proof} \rangle$

lemma *Diff-mono*: $A \subseteq C \implies D \subseteq B \implies A - B \subseteq C - D$
 $\langle \text{proof} \rangle$

lemma *Compl-anti-mono*: $A \subseteq B \implies -B \subseteq -A$
 $\langle \text{proof} \rangle$

Monotonicity of implications.

lemma *in-mono*: $A \subseteq B \implies x \in A \longrightarrow x \in B$
 $\langle \text{proof} \rangle$

lemma *conj-mono*: $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \wedge P2) \longrightarrow (Q1 \wedge Q2)$
 $\langle \text{proof} \rangle$

lemma *disj-mono*: $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \vee P2) \longrightarrow (Q1 \vee Q2)$
 $\langle \text{proof} \rangle$

lemma *imp-mono*: $Q1 \longrightarrow P1 \implies P2 \longrightarrow Q2 \implies (P1 \longrightarrow P2) \longrightarrow (Q1 \longrightarrow Q2)$
 $\langle \text{proof} \rangle$

lemma *imp-refl*: $P \longrightarrow P$ $\langle \text{proof} \rangle$

lemma *not-mono*: $Q \longrightarrow P \implies \neg P \longrightarrow \neg Q$
 $\langle \text{proof} \rangle$

lemma *ex-mono*: $(\bigwedge x. P x \longrightarrow Q x) \implies (\exists x. P x) \longrightarrow (\exists x. Q x)$
 $\langle \text{proof} \rangle$

lemma *all-mono*: $(\bigwedge x. P x \longrightarrow Q x) \implies (\forall x. P x) \longrightarrow (\forall x. Q x)$
 $\langle \text{proof} \rangle$

lemma *Collect-mono*: $(\bigwedge x. P x \longrightarrow Q x) \implies \text{Collect } P \subseteq \text{Collect } Q$
 $\langle \text{proof} \rangle$

lemma *Int-Collect-mono*: $A \subseteq B \implies (\bigwedge x. x \in A \implies P x \longrightarrow Q x) \implies A \cap \text{Collect } P \subseteq B \cap \text{Collect } Q$
 $\langle \text{proof} \rangle$

lemmas *basic-monos* =
subset-refl imp-refl disj-mono conj-mono ex-mono Collect-mono in-mono

lemma *eq-to-mono*: $a = b \implies c = d \implies b \longrightarrow d \implies a \longrightarrow c$

$\langle proof \rangle$

7.4.5 Inverse image of a function

definition *vimage* :: $('a \Rightarrow 'b) \Rightarrow 'b \text{ set} \Rightarrow 'a \text{ set}$ (**infixr** $-'$ 90)
where $f -' B \equiv \{x. f x \in B\}$

lemma *vimage-eq [simp]*: $a \in f -' B \longleftrightarrow f a \in B$
 $\langle proof \rangle$

lemma *vimage-singleton-eq*: $a \in f -' \{b\} \longleftrightarrow f a = b$
 $\langle proof \rangle$

lemma *vimageI [intro]*: $f a = b \Longrightarrow b \in B \Longrightarrow a \in f -' B$
 $\langle proof \rangle$

lemma *vimageI2*: $f a \in A \Longrightarrow a \in f -' A$
 $\langle proof \rangle$

lemma *vimageE [elim!]*: $a \in f -' B \Longrightarrow (\bigwedge x. f a = x \Longrightarrow x \in B \Longrightarrow P) \Longrightarrow P$
 $\langle proof \rangle$

lemma *vimageD*: $a \in f -' A \Longrightarrow f a \in A$
 $\langle proof \rangle$

lemma *vimage-empty [simp]*: $f -' \{\} = \{\}$
 $\langle proof \rangle$

lemma *vimage-Compl*: $f -' (- A) = - (f -' A)$
 $\langle proof \rangle$

lemma *vimage-Un [simp]*: $f -' (A \cup B) = (f -' A) \cup (f -' B)$
 $\langle proof \rangle$

lemma *vimage-Int [simp]*: $f -' (A \cap B) = (f -' A) \cap (f -' B)$
 $\langle proof \rangle$

lemma *vimage-Collect-eq [simp]*: $f -' \text{Collect } P = \{y. P (f y)\}$
 $\langle proof \rangle$

lemma *vimage-Collect*: $(\bigwedge x. P (f x) = Q x) \Longrightarrow f -' (\text{Collect } P) = \text{Collect } Q$
 $\langle proof \rangle$

lemma *vimage-insert*: $f -' (\text{insert } a B) = (f -' \{a\}) \cup (f -' B)$
 — NOT suitable for rewriting because of the recurrence of $\{a\}$.
 $\langle proof \rangle$

lemma *vimage-Diff*: $f -' (A - B) = (f -' A) - (f -' B)$
 $\langle proof \rangle$

lemma *vimage-UNIV* [simp]: $f -' UNIV = UNIV$
 ⟨proof⟩

lemma *vimage-mono*: $A \subseteq B \implies f -' A \subseteq f -' B$
 — monotonicity
 ⟨proof⟩

lemma *vimage-image-eq*: $f -' (f -' A) = \{y. \exists x \in A. f x = y\}$
 ⟨proof⟩

lemma *image-vimage-subset*: $f -' (f -' A) \subseteq A$
 ⟨proof⟩

lemma *image-vimage-eq* [simp]: $f -' (f -' A) = A \cap \text{range } f$
 ⟨proof⟩

lemma *image-subset-iff-subset-vimage*: $f -' A \subseteq B \longleftrightarrow A \subseteq f -' B$
 ⟨proof⟩

lemma *vimage-const* [simp]: $((\lambda x. c) -' A) = (\text{if } c \in A \text{ then } UNIV \text{ else } \{\})$
 ⟨proof⟩

lemma *vimage-if* [simp]: $((\lambda x. \text{if } x \in B \text{ then } c \text{ else } d) -' A) =$
 $(\text{if } c \in A \text{ then } (\text{if } d \in A \text{ then } UNIV \text{ else } B)$
 $\text{else if } d \in A \text{ then } - B \text{ else } \{\})$
 ⟨proof⟩

lemma *vimage-inter-cong*: $(\bigwedge w. w \in S \implies f w = g w) \implies f -' y \cap S = g -' y \cap S$
 ⟨proof⟩

lemma *vimage-ident* [simp]: $(\lambda x. x) -' Y = Y$
 ⟨proof⟩

7.4.6 Singleton sets

definition *is-singleton* :: $'a \text{ set} \Rightarrow \text{bool}$
 where *is-singleton* $A \longleftrightarrow (\exists x. A = \{x\})$

lemma *is-singletonI* [simp, intro!]: *is-singleton* $\{x\}$
 ⟨proof⟩

lemma *is-singletonI'*: $A \neq \{\} \implies (\bigwedge x y. x \in A \implies y \in A \implies x = y) \implies$
is-singleton A
 ⟨proof⟩

lemma *is-singletonE*: *is-singleton* $A \implies (\bigwedge x. A = \{x\} \implies P) \implies P$
 ⟨proof⟩

7.4.7 Getting the contents of a singleton set

definition *the-elem* :: 'a set \Rightarrow 'a
where *the-elem* $X = (THE\ x.\ X = \{x\})$

lemma *the-elem-eq [simp]*: *the-elem* $\{x\} = x$
 $\langle proof \rangle$

lemma *is-singleton-the-elem*: *is-singleton* $A \longleftrightarrow A = \{the-elem\ A\}$
 $\langle proof \rangle$

lemma *the-elem-image-unique*:
assumes $A \neq \{\}$
and $\ast: \bigwedge y. y \in A \implies f\ y = f\ x$
shows *the-elem* $(f\ ` A) = f\ x$
 $\langle proof \rangle$

7.4.8 Least value operator

lemma *Least-mono*: *mono* $f \implies \exists x \in S. \forall y \in S. x \leq y \implies (LEAST\ y. y \in f\ ` S)$
 $= f\ (LEAST\ x. x \in S)$
for $f :: 'a::order \Rightarrow 'b::order$
 — Courtesy of Stephan Merz
 $\langle proof \rangle$

7.4.9 Monad operation

definition *bind* :: 'a set \Rightarrow ('a \Rightarrow 'b set) \Rightarrow 'b set
where *bind* $A\ f = \{x. \exists B \in f\ ` A. x \in B\}$

hide-const (**open**) *bind*

lemma *bind-bind*: *Set.bind* (*Set.bind* $A\ B$) $C = Set.bind\ A\ (\lambda x. Set.bind\ (B\ x)\ C)$
for $A :: 'a\ set$
 $\langle proof \rangle$

lemma *empty-bind [simp]*: *Set.bind* $\{\} f = \{\}$
 $\langle proof \rangle$

lemma *nonempty-bind-const*: $A \neq \{\} \implies Set.bind\ A\ (\lambda -. B) = B$
 $\langle proof \rangle$

lemma *bind-const*: *Set.bind* $A\ (\lambda -. B) = (if\ A = \{\}\ then\ \{\}\ else\ B)$
 $\langle proof \rangle$

lemma *bind-singleton-conv-image*: *Set.bind* $A\ (\lambda x. \{f\ x\}) = f\ ` A$
 $\langle proof \rangle$

7.4.10 Operations for execution

definition *is-empty* :: 'a set \Rightarrow bool
where [code-abbrev]: *is-empty* $A \longleftrightarrow A = \{\}$

hide-const (open) *is-empty*

definition *remove* :: 'a \Rightarrow 'a set \Rightarrow 'a set
where [code-abbrev]: *remove* $x A = A - \{x\}$

hide-const (open) *remove*

lemma *member-remove* [simp]: $x \in \text{Set.remove } y A \longleftrightarrow x \in A \wedge x \neq y$
 ⟨proof⟩

definition *filter* :: ('a \Rightarrow bool) \Rightarrow 'a set \Rightarrow 'a set
where [code-abbrev]: *filter* $P A = \{a \in A. P a\}$

hide-const (open) *filter*

lemma *member-filter* [simp]: $x \in \text{Set.filter } P A \longleftrightarrow x \in A \wedge P x$
 ⟨proof⟩

instantiation *set* :: (equal) equal
begin

definition *HOL.equal* $A B \longleftrightarrow A \subseteq B \wedge B \subseteq A$

instance ⟨proof⟩

end

Misc

definition *pairwise* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a set \Rightarrow bool
where *pairwise* $R S \longleftrightarrow (\forall x \in S. \forall y \in S. x \neq y \longrightarrow R x y)$

lemma *pairwise-subset*: *pairwise* $P S \Longrightarrow T \subseteq S \Longrightarrow \text{pairwise } P T$
 ⟨proof⟩

lemma *pairwise-mono*: $\llbracket \text{pairwise } P A; \bigwedge x y. P x y \Longrightarrow Q x y \rrbracket \Longrightarrow \text{pairwise } Q A$
 ⟨proof⟩

definition *disjnt* :: 'a set \Rightarrow 'a set \Rightarrow bool
where *disjnt* $A B \longleftrightarrow A \cap B = \{\}$

lemma *disjnt-self-iff-empty* [simp]: *disjnt* $S S \longleftrightarrow S = \{\}$
 ⟨proof⟩

lemma *disjnt-iff*: *disjnt* $A B \longleftrightarrow (\forall x. \neg (x \in A \wedge x \in B))$
 ⟨proof⟩

lemma *disjnt-sym*: $\text{disjnt } A \ B \Longrightarrow \text{disjnt } B \ A$
 ⟨proof⟩

lemma *disjnt-empty1* [simp]: $\text{disjnt } \{\} \ A$ **and** *disjnt-empty2* [simp]: $\text{disjnt } A \ \{\}$
 ⟨proof⟩

lemma *disjnt-insert1* [simp]: $\text{disjnt } (\text{insert } a \ X) \ Y \longleftrightarrow a \notin Y \wedge \text{disjnt } X \ Y$
 ⟨proof⟩

lemma *disjnt-insert2* [simp]: $\text{disjnt } Y \ (\text{insert } a \ X) \longleftrightarrow a \notin Y \wedge \text{disjnt } Y \ X$
 ⟨proof⟩

lemma *disjnt-subset1* : $\llbracket \text{disjnt } X \ Y; Z \subseteq X \rrbracket \Longrightarrow \text{disjnt } Z \ Y$
 ⟨proof⟩

lemma *disjnt-subset2* : $\llbracket \text{disjnt } X \ Y; Z \subseteq Y \rrbracket \Longrightarrow \text{disjnt } X \ Z$
 ⟨proof⟩

lemma *pairwise-empty* [simp]: $\text{pairwise } P \ \{\}$
 ⟨proof⟩

lemma *pairwise-singleton* [simp]: $\text{pairwise } P \ \{A\}$
 ⟨proof⟩

lemma *pairwise-insert*:
 $\text{pairwise } r \ (\text{insert } x \ s) \longleftrightarrow (\forall y. y \in s \wedge y \neq x \longrightarrow r \ x \ y \wedge r \ y \ x) \wedge \text{pairwise } r \ s$
 ⟨proof⟩

lemma *pairwise-image*: $\text{pairwise } r \ (f \ ' s) \longleftrightarrow \text{pairwise } (\lambda x \ y. (f \ x \neq f \ y) \longrightarrow r \ (f \ x) \ (f \ y)) \ s$
 ⟨proof⟩

lemma *disjoint-image-subset*: $\llbracket \text{pairwise } \text{disjnt } \mathcal{A}; \bigwedge X. X \in \mathcal{A} \Longrightarrow f \ X \subseteq X \rrbracket \Longrightarrow \text{pairwise } \text{disjnt } (f \ ' \mathcal{A})$
 ⟨proof⟩

lemma *Int-emptyI*: $(\bigwedge x. x \in A \Longrightarrow x \in B \Longrightarrow \text{False}) \Longrightarrow A \cap B = \{\}$
 ⟨proof⟩

lemma *in-image-insert-iff*:
assumes $\bigwedge C. C \in B \Longrightarrow x \notin C$
shows $A \in \text{insert } x \ ' B \longleftrightarrow x \in A \wedge A - \{x\} \in B$ (**is** $?P \longleftrightarrow ?Q$)
 ⟨proof⟩

hide-const (**open**) *member not-member*

lemmas *equalityI = subset-antisym*

$\langle ML \rangle$

end

8 HOL type definitions

```

theory Typedef
imports Set
keywords
  typedef :: thy-goal and
  morphisms :: quasi-command
begin

locale type-definition =
  fixes Rep and Abs and A
  assumes Rep: Rep x  $\in$  A
    and Rep-inverse: Abs (Rep x) = x
    and Abs-inverse: y  $\in$  A  $\implies$  Rep (Abs y) = y
    — This will be axiomatized for each typedef!
begin

lemma Rep-inject: Rep x = Rep y  $\longleftrightarrow$  x = y
 $\langle proof \rangle$ 

lemma Abs-inject:
  assumes x  $\in$  A and y  $\in$  A
  shows Abs x = Abs y  $\longleftrightarrow$  x = y
 $\langle proof \rangle$ 

lemma Rep-cases [cases set]:
  assumes y  $\in$  A
    and hyp:  $\bigwedge x. y = \text{Rep } x \implies P$ 
  shows P
 $\langle proof \rangle$ 

lemma Abs-cases [cases type]:
  assumes r:  $\bigwedge y. x = \text{Abs } y \implies y \in A \implies P$ 
  shows P
 $\langle proof \rangle$ 

lemma Rep-induct [induct set]:
  assumes y: y  $\in$  A
    and hyp:  $\bigwedge x. P (\text{Rep } x)$ 
  shows P y
 $\langle proof \rangle$ 

lemma Abs-induct [induct type]:
  assumes r:  $\bigwedge y. y \in A \implies P (\text{Abs } y)$ 
  shows P x

```

$\langle proof \rangle$

lemma *Rep-range*: $range\ Rep = A$
 $\langle proof \rangle$

lemma *Abs-image*: $Abs\ 'A = UNIV$
 $\langle proof \rangle$

end

$\langle ML \rangle$

end

9 Notions about functions

theory *Fun*
imports *Set*
keywords *functor* :: *thy-goal*
begin

lemma *apply-inverse*: $f\ x = u \implies (\bigwedge x. P\ x \implies g\ (f\ x) = x) \implies P\ x \implies x = g\ u$
 $\langle proof \rangle$

Uniqueness, so NOT the axiom of choice.

lemma *uniq-choice*: $\forall x. \exists! y. Q\ x\ y \implies \exists f. \forall x. Q\ x\ (f\ x)$
 $\langle proof \rangle$

lemma *b-uniq-choice*: $\forall x \in S. \exists! y. Q\ x\ y \implies \exists f. \forall x \in S. Q\ x\ (f\ x)$
 $\langle proof \rangle$

9.1 The Identity Function *id*

definition *id* :: $'a \Rightarrow 'a$
where $id = (\lambda x. x)$

lemma *id-apply* [*simp*]: $id\ x = x$
 $\langle proof \rangle$

lemma *image-id* [*simp*]: $image\ id = id$
 $\langle proof \rangle$

lemma *vimage-id* [*simp*]: $vimage\ id = id$
 $\langle proof \rangle$

lemma *eq-id-iff*: $(\forall x. f\ x = x) \longleftrightarrow f = id$
 $\langle proof \rangle$

code-printing

constant $id \mapsto (Haskell) \ id$

9.2 The Composition Operator $f \circ g$

definition $comp :: ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$ (**infixl** \circ 55)
where $f \circ g = (\lambda x. f (g x))$

notation (*ASCII*)

$comp$ (**infixl** \circ 55)

lemma $comp_apply$ [*simp*]: $(f \circ g) \ x = f (g \ x)$
 $\langle proof \rangle$

lemma $comp_assoc$: $(f \circ g) \circ h = f \circ (g \circ h)$
 $\langle proof \rangle$

lemma id_comp [*simp*]: $id \circ g = g$
 $\langle proof \rangle$

lemma $comp_id$ [*simp*]: $f \circ id = f$
 $\langle proof \rangle$

lemma $comp_eq_dest$: $a \circ b = c \circ d \implies a \ (b \ v) = c \ (d \ v)$
 $\langle proof \rangle$

lemma $comp_eq_elim$: $a \circ b = c \circ d \implies ((\bigwedge v. a \ (b \ v) = c \ (d \ v)) \implies R) \implies R$
 $\langle proof \rangle$

lemma $comp_eq_dest_lhs$: $a \circ b = c \implies a \ (b \ v) = c \ v$
 $\langle proof \rangle$

lemma $comp_eq_id_dest$: $a \circ b = id \circ c \implies a \ (b \ v) = c \ v$
 $\langle proof \rangle$

lemma $image_comp$: $f \ ^{\circ} (g \ ^{\circ} r) = (f \circ g) \ ^{\circ} r$
 $\langle proof \rangle$

lemma $image_comp$: $f \ ^{-\circ} (g \ ^{-\circ} x) = (g \circ f) \ ^{-\circ} x$
 $\langle proof \rangle$

lemma $image_eq_imp_comp$: $f \ ^{\circ} A = g \ ^{\circ} B \implies (h \circ f) \ ^{\circ} A = (h \circ g) \ ^{\circ} B$
 $\langle proof \rangle$

lemma $image_bind$: $f \ ^{\circ} (Set.bind \ A \ g) = Set.bind \ A \ (op \ ^{\circ} f \circ g)$
 $\langle proof \rangle$

lemma $bind_image$: $Set.bind \ (f \ ^{\circ} A) \ g = Set.bind \ A \ (g \circ f)$
 $\langle proof \rangle$

lemma (in *group-add*) *minus-comp-minus* [simp]: $uminus \circ uminus = id$
 ⟨proof⟩

lemma (in *boolean-algebra*) *minus-comp-minus* [simp]: $uminus \circ uminus = id$
 ⟨proof⟩

code-printing

constant *comp* \rightarrow (*SML*) **infixl** 5 *o* and (*Haskell*) **infixr** 9 .

9.3 The Forward Composition Operator *fcomp*

definition *fcomp* :: ($'a \Rightarrow 'b$) \Rightarrow ($'b \Rightarrow 'c$) \Rightarrow $'a \Rightarrow 'c$ (**infixl** $\circ>$ 60)
 where $f \circ> g = (\lambda x. g (f x))$

lemma *fcomp-apply* [simp]: $(f \circ> g) x = g (f x)$
 ⟨proof⟩

lemma *fcomp-assoc*: $(f \circ> g) \circ> h = f \circ> (g \circ> h)$
 ⟨proof⟩

lemma *id-fcomp* [simp]: $id \circ> g = g$
 ⟨proof⟩

lemma *fcomp-id* [simp]: $f \circ> id = f$
 ⟨proof⟩

lemma *fcomp-comp*: $fcomp f g = comp g f$
 ⟨proof⟩

code-printing

constant *fcomp* \rightarrow (*Eval*) **infixl** 1 $\#>$

no-notation *fcomp* (**infixl** $\circ>$ 60)

9.4 Mapping functions

definition *map-fun* :: ($'c \Rightarrow 'a$) \Rightarrow ($'b \Rightarrow 'd$) \Rightarrow ($'a \Rightarrow 'b$) \Rightarrow $'c \Rightarrow 'd$
 where $map-fun f g h = g \circ h \circ f$

lemma *map-fun-apply* [simp]: $map-fun f g h x = g (h (f x))$
 ⟨proof⟩

9.5 Injectivity and Bijectivity

definition *inj-on* :: ($'a \Rightarrow 'b$) \Rightarrow $'a \text{ set} \Rightarrow bool$ — injective
 where $inj-on f A \longleftrightarrow (\forall x \in A. \forall y \in A. f x = f y \longrightarrow x = y)$

definition *bij-betw* :: ($'a \Rightarrow 'b$) \Rightarrow $'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow bool$ — bijective
 where $bij-betw f A B \longleftrightarrow inj-on f A \wedge f 'A = B$

A common special case: functions injective, surjective or bijective over the entire domain type.

abbreviation $inj :: ('a \Rightarrow 'b) \Rightarrow bool$
where $inj\ f \equiv inj\text{-}on\ f\ UNIV$

abbreviation $surj :: ('a \Rightarrow 'b) \Rightarrow bool$
where $surj\ f \equiv range\ f = UNIV$

translations — The negated case:
 $\neg\ CONST\ surj\ f \leftarrow CONST\ range\ f \neq CONST\ UNIV$

abbreviation $bij :: ('a \Rightarrow 'b) \Rightarrow bool$
where $bij\ f \equiv bij\text{-}betw\ f\ UNIV\ UNIV$

lemma $inj\text{-}def$: $inj\ f \longleftrightarrow (\forall x\ y. f\ x = f\ y \longrightarrow x = y)$
 $\langle proof \rangle$

lemma $injI$: $(\bigwedge x\ y. f\ x = f\ y \Longrightarrow x = y) \Longrightarrow inj\ f$
 $\langle proof \rangle$

theorem $range\text{-}ex1\text{-}eq$: $inj\ f \Longrightarrow b \in range\ f \longleftrightarrow (\exists! x. b = f\ x)$
 $\langle proof \rangle$

lemma $injD$: $inj\ f \Longrightarrow f\ x = f\ y \Longrightarrow x = y$
 $\langle proof \rangle$

lemma $inj\text{-}on\text{-}eq\text{-}iff$: $inj\text{-}on\ f\ A \Longrightarrow x \in A \Longrightarrow y \in A \Longrightarrow f\ x = f\ y \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma $inj\text{-}on\text{-}cong$: $(\bigwedge a. a \in A \Longrightarrow f\ a = g\ a) \Longrightarrow inj\text{-}on\ f\ A \longleftrightarrow inj\text{-}on\ g\ A$
 $\langle proof \rangle$

lemma $inj\text{-}on\text{-}strict\text{-}subset$: $inj\text{-}on\ f\ B \Longrightarrow A \subset B \Longrightarrow f\ ` A \subset f\ ` B$
 $\langle proof \rangle$

lemma $inj\text{-}comp$: $inj\ f \Longrightarrow inj\ g \Longrightarrow inj\ (f \circ g)$
 $\langle proof \rangle$

lemma $inj\text{-}fun$: $inj\ f \Longrightarrow inj\ (\lambda x\ y. f\ x)$
 $\langle proof \rangle$

lemma $inj\text{-}eq$: $inj\ f \Longrightarrow f\ x = f\ y \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma $inj\text{-}on\text{-}id[simp]$: $inj\text{-}on\ id\ A$
 $\langle proof \rangle$

lemma $inj\text{-}on\text{-}id2[simp]$: $inj\text{-}on\ (\lambda x. x)\ A$
 $\langle proof \rangle$

lemma *inj-on-Int*: $\text{inj-on } f \ A \ \vee \ \text{inj-on } f \ B \implies \text{inj-on } f \ (A \cap B)$
 $\langle \text{proof} \rangle$

lemma *surj-id*: $\text{surj } \text{id}$
 $\langle \text{proof} \rangle$

lemma *bij-id[simp]*: $\text{bij } \text{id}$
 $\langle \text{proof} \rangle$

lemma *bij-uminus*: $\text{bij } (\text{uminus} :: 'a \Rightarrow 'a :: \text{ab-group-add})$
 $\langle \text{proof} \rangle$

lemma *inj-onI [intro?]*: $(\bigwedge x \ y. x \in A \implies y \in A \implies f \ x = f \ y \implies x = y) \implies \text{inj-on } f \ A$
 $\langle \text{proof} \rangle$

lemma *inj-on-inverseI*: $(\bigwedge x. x \in A \implies g \ (f \ x) = x) \implies \text{inj-on } f \ A$
 $\langle \text{proof} \rangle$

lemma *inj-onD*: $\text{inj-on } f \ A \implies f \ x = f \ y \implies x \in A \implies y \in A \implies x = y$
 $\langle \text{proof} \rangle$

lemma *inj-on-subset*:
 assumes $\text{inj-on } f \ A$
 and $B \subseteq A$
 shows $\text{inj-on } f \ B$
 $\langle \text{proof} \rangle$

lemma *comp-inj-on*: $\text{inj-on } f \ A \implies \text{inj-on } g \ (f \ ^\circ A) \implies \text{inj-on } (g \circ f) \ A$
 $\langle \text{proof} \rangle$

lemma *inj-on-imageI*: $\text{inj-on } (g \circ f) \ A \implies \text{inj-on } g \ (f \ ^\circ A)$
 $\langle \text{proof} \rangle$

lemma *inj-on-image-iff*:
 $\forall x \in A. \forall y \in A. g \ (f \ x) = g \ (f \ y) \longleftrightarrow g \ x = g \ y \implies \text{inj-on } f \ A \implies \text{inj-on } g \ (f \ ^\circ A) \longleftrightarrow \text{inj-on } g \ A$
 $\langle \text{proof} \rangle$

lemma *inj-on-contradD*: $\text{inj-on } f \ A \implies x \neq y \implies x \in A \implies y \in A \implies f \ x \neq f \ y$
 $\langle \text{proof} \rangle$

lemma *inj-singleton [simp]*: $\text{inj-on } (\lambda x. \{x\}) \ A$
 $\langle \text{proof} \rangle$

lemma *inj-on-empty[iff]*: $\text{inj-on } f \ \{\}$
 $\langle \text{proof} \rangle$

lemma *subset-inj-on*: $\text{inj-on } f \ B \implies A \subseteq B \implies \text{inj-on } f \ A$
 ⟨proof⟩

lemma *inj-on-Un*: $\text{inj-on } f \ (A \cup B) \longleftrightarrow \text{inj-on } f \ A \wedge \text{inj-on } f \ B \wedge f^{-1} (A - B) \cap f^{-1} (B - A) = \{\}$
 ⟨proof⟩

lemma *inj-on-insert [iff]*: $\text{inj-on } f \ (\text{insert } a \ A) \longleftrightarrow \text{inj-on } f \ A \wedge f \ a \notin f^{-1} (A - \{a\})$
 ⟨proof⟩

lemma *inj-on-diff*: $\text{inj-on } f \ A \implies \text{inj-on } f \ (A - B)$
 ⟨proof⟩

lemma *comp-inj-on-iff*: $\text{inj-on } f \ A \implies \text{inj-on } f' \ (f^{-1} A) \longleftrightarrow \text{inj-on } (f' \circ f) \ A$
 ⟨proof⟩

lemma *inj-on-imageI2*: $\text{inj-on } (f' \circ f) \ A \implies \text{inj-on } f \ A$
 ⟨proof⟩

lemma *inj-img-insertE*:
 assumes $\text{inj-on } f \ A$
 assumes $x \notin B$
 and $\text{insert } x \ B = f^{-1} A$
 obtains $x' \ A'$ where $x' \notin A'$ and $A = \text{insert } x' \ A'$ and $x = f \ x'$ and $B = f^{-1} A'$
 ⟨proof⟩

lemma *linorder-injI*:
 assumes $\bigwedge x \ y :: 'a :: \text{linorder}. x < y \implies f \ x \neq f \ y$
 shows $\text{inj } f$
 — Courtesy of Stephan Merz
 ⟨proof⟩

lemma *surj-def*: $\text{surj } f \longleftrightarrow (\forall y. \exists x. y = f \ x)$
 ⟨proof⟩

lemma *surjI*:
 assumes $\bigwedge x. g \ (f \ x) = x$
 shows $\text{surj } g$
 ⟨proof⟩

lemma *surjD*: $\text{surj } f \implies \exists x. y = f \ x$
 ⟨proof⟩

lemma *surjE*: $\text{surj } f \implies (\bigwedge x. y = f \ x \implies C) \implies C$
 ⟨proof⟩

lemma *comp-surj*: $\text{surj } f \implies \text{surj } g \implies \text{surj } (g \circ f)$

$\langle \text{proof} \rangle$

lemma *bij-betw-imageI*: $\text{inj-on } f \ A \implies f \text{ ‘ } A = B \implies \text{bij-betw } f \ A \ B$
 $\langle \text{proof} \rangle$

lemma *bij-betw-imp-surj-on*: $\text{bij-betw } f \ A \ B \implies f \text{ ‘ } A = B$
 $\langle \text{proof} \rangle$

lemma *bij-betw-imp-surj*: $\text{bij-betw } f \ A \ \text{UNIV} \implies \text{surj } f$
 $\langle \text{proof} \rangle$

lemma *bij-betw-empty1*: $\text{bij-betw } f \ \{\} \ A \implies A = \{\}$
 $\langle \text{proof} \rangle$

lemma *bij-betw-empty2*: $\text{bij-betw } f \ A \ \{\} \implies A = \{\}$
 $\langle \text{proof} \rangle$

lemma *inj-on-imp-bij-betw*: $\text{inj-on } f \ A \implies \text{bij-betw } f \ A \ (f \text{ ‘ } A)$
 $\langle \text{proof} \rangle$

lemma *bij-def*: $\text{bij } f \longleftrightarrow \text{inj } f \wedge \text{surj } f$
 $\langle \text{proof} \rangle$

lemma *bijI*: $\text{inj } f \implies \text{surj } f \implies \text{bij } f$
 $\langle \text{proof} \rangle$

lemma *bij-is-inj*: $\text{bij } f \implies \text{inj } f$
 $\langle \text{proof} \rangle$

lemma *bij-is-surj*: $\text{bij } f \implies \text{surj } f$
 $\langle \text{proof} \rangle$

lemma *bij-betw-imp-inj-on*: $\text{bij-betw } f \ A \ B \implies \text{inj-on } f \ A$
 $\langle \text{proof} \rangle$

lemma *bij-betw-trans*: $\text{bij-betw } f \ A \ B \implies \text{bij-betw } g \ B \ C \implies \text{bij-betw } (g \circ f) \ A \ C$
 $\langle \text{proof} \rangle$

lemma *bij-comp*: $\text{bij } f \implies \text{bij } g \implies \text{bij } (g \circ f)$
 $\langle \text{proof} \rangle$

lemma *bij-betw-comp-iff*: $\text{bij-betw } f \ A \ A' \implies \text{bij-betw } f' \ A' \ A'' \longleftrightarrow \text{bij-betw } (f' \circ f) \ A \ A''$
 $\langle \text{proof} \rangle$

lemma *bij-betw-comp-iff2*:
assumes *bij*: $\text{bij-betw } f' \ A' \ A''$
and *img*: $f \text{ ‘ } A \leq A'$
shows $\text{bij-betw } f \ A \ A' \longleftrightarrow \text{bij-betw } (f' \circ f) \ A \ A''$

$\langle proof \rangle$

lemma *bij-betw-inv*:

assumes *bij-betw* f A B

shows $\exists g. \text{bij-betw } g \ B \ A$

$\langle proof \rangle$

lemma *bij-betw-cong*: $(\bigwedge a. a \in A \implies f \ a = g \ a) \implies \text{bij-betw } f \ A \ A' = \text{bij-betw } g \ A \ A'$

$\langle proof \rangle$

lemma *bij-betw-id[intro, simp]*: *bij-betw* id A A

$\langle proof \rangle$

lemma *bij-betw-id-iff*: *bij-betw* id A $B \longleftrightarrow A = B$

$\langle proof \rangle$

lemma *bij-betw-combine*:

bij-betw f A $B \implies \text{bij-betw } f \ C \ D \implies B \cap D = \{\} \implies \text{bij-betw } f \ (A \cup C) \ (B \cup D)$

$\langle proof \rangle$

lemma *bij-betw-subset*: *bij-betw* f A $A' \implies B \subseteq A \implies f \ ` \ B = B' \implies \text{bij-betw } f \ B \ B'$

$\langle proof \rangle$

lemma *bij-pointE*:

assumes *bij* f

obtains x **where** $y = f \ x$ **and** $\bigwedge x'. y = f \ x' \implies x' = x$

$\langle proof \rangle$

lemma *surj-image-vimage-eq*: *surj* $f \implies f \ ` \ (f \ - \ ` \ A) = A$

$\langle proof \rangle$

lemma *surj-vimage-empty*:

assumes *surj* f

shows $f \ - \ ` \ A = \{\} \longleftrightarrow A = \{\}$

$\langle proof \rangle$

lemma *inj-vimage-image-eq*: *inj* $f \implies f \ - \ ` \ (f \ ` \ A) = A$

$\langle proof \rangle$

lemma *vimage-subsetD*: *surj* $f \implies f \ - \ ` \ B \subseteq A \implies B \subseteq f \ ` \ A$

$\langle proof \rangle$

lemma *vimage-subsetI*: *inj* $f \implies B \subseteq f \ ` \ A \implies f \ - \ ` \ B \subseteq A$

$\langle proof \rangle$

lemma *vimage-subset-eq*: *bij* $f \implies f \ - \ ` \ B \subseteq A \longleftrightarrow B \subseteq f \ ` \ A$

$\langle \text{proof} \rangle$

lemma *inj-on-image-eq-iff*: $\text{inj-on } f \ C \implies A \subseteq C \implies B \subseteq C \implies f \ ' \ A = f \ ' \ B \longleftrightarrow A = B$
 $\langle \text{proof} \rangle$

lemma *inj-on-Un-image-eq-iff*: $\text{inj-on } f \ (A \cup B) \implies f \ ' \ A = f \ ' \ B \longleftrightarrow A = B$
 $\langle \text{proof} \rangle$

lemma *inj-on-image-Int*: $\text{inj-on } f \ C \implies A \subseteq C \implies B \subseteq C \implies f \ ' \ (A \cap B) = f \ ' \ A \cap f \ ' \ B$
 $\langle \text{proof} \rangle$

lemma *inj-on-image-set-diff*: $\text{inj-on } f \ C \implies A - B \subseteq C \implies B \subseteq C \implies f \ ' \ (A - B) = f \ ' \ A - f \ ' \ B$
 $\langle \text{proof} \rangle$

lemma *image-Int*: $\text{inj } f \implies f \ ' \ (A \cap B) = f \ ' \ A \cap f \ ' \ B$
 $\langle \text{proof} \rangle$

lemma *image-set-diff*: $\text{inj } f \implies f \ ' \ (A - B) = f \ ' \ A - f \ ' \ B$
 $\langle \text{proof} \rangle$

lemma *inj-on-image-mem-iff*: $\text{inj-on } f \ B \implies a \in B \implies A \subseteq B \implies f \ a \in f \ ' \ A \longleftrightarrow a \in A$
 $\langle \text{proof} \rangle$

lemma *inj-on-image-mem-iff-alt*: $\text{inj-on } f \ B \implies A \subseteq B \implies f \ a \in f \ ' \ A \implies a \in B \implies a \in A$
 $\langle \text{proof} \rangle$

lemma *inj-image-mem-iff*: $\text{inj } f \implies f \ a \in f \ ' \ A \longleftrightarrow a \in A$
 $\langle \text{proof} \rangle$

lemma *inj-image-subset-iff*: $\text{inj } f \implies f \ ' \ A \subseteq f \ ' \ B \longleftrightarrow A \subseteq B$
 $\langle \text{proof} \rangle$

lemma *inj-image-eq-iff*: $\text{inj } f \implies f \ ' \ A = f \ ' \ B \longleftrightarrow A = B$
 $\langle \text{proof} \rangle$

lemma *surj-Compl-image-subset*: $\text{surj } f \implies - \ (f \ ' \ A) \subseteq f \ ' \ (- \ A)$
 $\langle \text{proof} \rangle$

lemma *inj-image-Compl-subset*: $\text{inj } f \implies f \ ' \ (- \ A) \subseteq - \ (f \ ' \ A)$
 $\langle \text{proof} \rangle$

lemma *bij-image-Compl-eq*: $\text{bij } f \implies f \ ' \ (- \ A) = - \ (f \ ' \ A)$
 $\langle \text{proof} \rangle$

lemma *inj-vimage-singleton*: $\text{inj } f \implies f^{-1} \{a\} \subseteq \{\text{THE } x. f x = a\}$

— The inverse image of a singleton under an injective function is included in a singleton.

<proof>

lemma *inj-on-vimage-singleton*: $\text{inj-on } f A \implies f^{-1} \{a\} \cap A \subseteq \{\text{THE } x. x \in A \wedge f x = a\}$

<proof>

lemma (*in ordered-ab-group-add*) *inj-uminus*[*simp*, *intro*]: $\text{inj-on } \text{uminus } A$

<proof>

lemma (*in linorder*) *strict-mono-imp-inj-on*: $\text{strict-mono } f \implies \text{inj-on } f A$

<proof>

lemma *bij-betw-byWitness*:

assumes *left*: $\forall a \in A. f' (f a) = a$

and *right*: $\forall a' \in A'. f (f' a') = a'$

and $f^{-1} A \subseteq A'$

and *img2*: $f'^{-1} A' \subseteq A$

shows *bij-betw* $f A A'$

<proof>

corollary *notIn-Un-bij-betw*:

assumes $b \notin A$

and $f b \notin A'$

and *bij-betw* $f A A'$

shows *bij-betw* $f (A \cup \{b\}) (A' \cup \{f b\})$

<proof>

lemma *notIn-Un-bij-betw3*:

assumes $b \notin A$

and $f b \notin A'$

shows *bij-betw* $f A A' = \text{bij-betw } f (A \cup \{b\}) (A' \cup \{f b\})$

<proof>

9.6 Function Updating

definition *fun-upd* :: $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b)$

where *fun-upd* $f a b = (\lambda x. \text{if } x = a \text{ then } b \text{ else } f x)$

nonterminal *updbinds* **and** *updbind*

syntax

-updbind :: $'a \Rightarrow 'a \Rightarrow \text{updbind}$ ((2- :=/ -))

(-)

-updbinds :: $\text{updbind} \Rightarrow \text{updbinds} \Rightarrow \text{updbinds}$ (-, / -)

-Update :: $'a \Rightarrow \text{updbinds} \Rightarrow 'a$ (-/'((-')) [1000, 0] 900)

translations

$-Update\ f\ (-updbinds\ b\ bs) \Rightarrow -Update\ (-Update\ f\ b)\ bs$
 $f(x:=y) \Rightarrow CONST\ fun-upd\ f\ x\ y$

lemma *fun-upd-idem-iff*: $f(x:=y) = f \longleftrightarrow f\ x = y$
 $\langle proof \rangle$

lemma *fun-upd-idem*: $f\ x = y \Longrightarrow f(x := y) = f$
 $\langle proof \rangle$

lemma *fun-upd-triv [iff]*: $f(x := f\ x) = f$
 $\langle proof \rangle$

lemma *fun-upd-apply [simp]*: $(f(x := y))\ z = (if\ z = x\ then\ y\ else\ f\ z)$
 $\langle proof \rangle$

lemma *fun-upd-same*: $(f(x := y))\ x = y$
 $\langle proof \rangle$

lemma *fun-upd-other*: $z \neq x \Longrightarrow (f(x := y))\ z = f\ z$
 $\langle proof \rangle$

lemma *fun-upd-upd [simp]*: $f(x := y, x := z) = f(x := z)$
 $\langle proof \rangle$

lemma *fun-upd-twist*: $a \neq c \Longrightarrow (m(a := b))(c := d) = (m(c := d))(a := b)$
 $\langle proof \rangle$

lemma *inj-on-fun-updI*: $inj-on\ f\ A \Longrightarrow y \notin f\ `A \Longrightarrow inj-on\ (f(x := y))\ A$
 $\langle proof \rangle$

lemma *fun-upd-image*: $f(x := y)\ `A = (if\ x \in A\ then\ insert\ y\ (f\ `(A - \{x\}))\ else\ f\ `A)$
 $\langle proof \rangle$

lemma *fun-upd-comp*: $f \circ (g(x := y)) = (f \circ g)(x := f\ y)$
 $\langle proof \rangle$

lemma *fun-upd-eqD*: $f(x := y) = g(x := z) \Longrightarrow y = z$
 $\langle proof \rangle$

9.7 override-on

definition *override-on* :: $('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a\ set \Rightarrow 'a \Rightarrow 'b$
where *override-on* $f\ g\ A = (\lambda a. if\ a \in A\ then\ g\ a\ else\ f\ a)$

lemma *override-on-emptyset*[simp]: *override-on* *f g* {} = *f*
 ⟨proof⟩

lemma *override-on-apply-notin*[simp]: $a \notin A \implies (\text{override-on } f \ g \ A) \ a = f \ a$
 ⟨proof⟩

lemma *override-on-apply-in*[simp]: $a \in A \implies (\text{override-on } f \ g \ A) \ a = g \ a$
 ⟨proof⟩

lemma *override-on-insert*: *override-on* *f g* (*insert* *x X*) = (*override-on* *f g X*)(*x*:=*g x*)
 ⟨proof⟩

lemma *override-on-insert'*: *override-on* *f g* (*insert* *x X*) = (*override-on* (*f*(*x*:=*g x*) *g X*)
 ⟨proof⟩

9.8 swap

definition *swap* :: '*a* \Rightarrow '*a* \Rightarrow ('*a* \Rightarrow '*b*) \Rightarrow ('*a* \Rightarrow '*b*)
 where *swap* *a b f* = *f* (*a* := *f b*, *b* := *f a*)

lemma *swap-apply* [simp]:
 swap *a b f a* = *f b*
 swap *a b f b* = *f a*
 $c \neq a \implies c \neq b \implies \text{swap } a \ b \ f \ c = f \ c$
 ⟨proof⟩

lemma *swap-self* [simp]: *swap* *a a f* = *f*
 ⟨proof⟩

lemma *swap-commute*: *swap* *a b f* = *swap* *b a f*
 ⟨proof⟩

lemma *swap-nilpotent* [simp]: *swap* *a b* (*swap* *a b f*) = *f*
 ⟨proof⟩

lemma *swap-comp-involutory* [simp]: *swap* *a b* \circ *swap* *a b* = *id*
 ⟨proof⟩

lemma *swap-triple*:
 assumes $a \neq c$ **and** $b \neq c$
 shows *swap* *a b* (*swap* *b c* (*swap* *a b f*)) = *swap* *a c f*
 ⟨proof⟩

lemma *comp-swap*: *f* \circ *swap* *a b g* = *swap* *a b* (*f* \circ *g*)
 ⟨proof⟩

lemma *swap-image-eq* [simp]:
 assumes $a \in A$ $b \in A$
 shows $\text{swap } a \ b \ f \ ' A = f \ ' A$
 $\langle \text{proof} \rangle$

lemma *inj-on-imp-inj-on-swap*: $\text{inj-on } f \ A \implies a \in A \implies b \in A \implies \text{inj-on } (\text{swap } a \ b \ f) \ A$
 $\langle \text{proof} \rangle$

lemma *inj-on-swap-iff* [simp]:
 assumes $A: a \in A \ b \in A$
 shows $\text{inj-on } (\text{swap } a \ b \ f) \ A \longleftrightarrow \text{inj-on } f \ A$
 $\langle \text{proof} \rangle$

lemma *surj-imp-surf-swap*: $\text{surj } f \implies \text{surj } (\text{swap } a \ b \ f)$
 $\langle \text{proof} \rangle$

lemma *surj-swap-iff* [simp]: $\text{surj } (\text{swap } a \ b \ f) \longleftrightarrow \text{surj } f$
 $\langle \text{proof} \rangle$

lemma *bij-betw-swap-iff* [simp]: $x \in A \implies y \in A \implies \text{bij-betw } (\text{swap } x \ y \ f) \ A \ B \longleftrightarrow \text{bij-betw } f \ A \ B$
 $\langle \text{proof} \rangle$

lemma *bij-swap-iff* [simp]: $\text{bij } (\text{swap } a \ b \ f) \longleftrightarrow \text{bij } f$
 $\langle \text{proof} \rangle$

hide-const (open) *swap*

9.9 Inversion of injective functions

definition *the-inv-into* :: $'a \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a)$
 where $\text{the-inv-into } A \ f = (\lambda x. \text{THE } y. y \in A \wedge f \ y = x)$

lemma *the-inv-into-f-f*: $\text{inj-on } f \ A \implies x \in A \implies \text{the-inv-into } A \ f \ (f \ x) = x$
 $\langle \text{proof} \rangle$

lemma *f-the-inv-into-f*: $\text{inj-on } f \ A \implies y \in f \ ' A \implies f \ (\text{the-inv-into } A \ f \ y) = y$
 $\langle \text{proof} \rangle$

lemma *the-inv-into-into*: $\text{inj-on } f \ A \implies x \in f \ ' A \implies A \subseteq B \implies \text{the-inv-into } A \ f \ x \in B$
 $\langle \text{proof} \rangle$

lemma *the-inv-into-onto* [simp]: $\text{inj-on } f \ A \implies \text{the-inv-into } A \ f \ ' (f \ ' A) = A$
 $\langle \text{proof} \rangle$

lemma *the-inv-into-f-eq*: $\text{inj-on } f \ A \implies f \ x = y \implies x \in A \implies \text{the-inv-into } A \ f \ y = x$

$\langle \text{proof} \rangle$

lemma *the-inv-into-comp*:

$\text{inj-on } f \ (g \text{ ‘ } A) \implies \text{inj-on } g \ A \implies x \in f \text{ ‘ } g \text{ ‘ } A \implies$
 $\text{the-inv-into } A \ (f \circ g) \ x = (\text{the-inv-into } A \ g \circ \text{the-inv-into } (g \text{ ‘ } A) \ f) \ x$
 $\langle \text{proof} \rangle$

lemma *inj-on-the-inv-into*: $\text{inj-on } f \ A \implies \text{inj-on } (\text{the-inv-into } A \ f) \ (f \text{ ‘ } A)$
 $\langle \text{proof} \rangle$

lemma *bij-betw-the-inv-into*: $\text{bij-betw } f \ A \ B \implies \text{bij-betw } (\text{the-inv-into } A \ f) \ B \ A$
 $\langle \text{proof} \rangle$

abbreviation *the-inv* :: $('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a)$
where *the-inv* $f \equiv \text{the-inv-into } \text{UNIV } f$

lemma *the-inv-f-f*: $\text{the-inv } f \ (f \ x) = x$ **if** $\text{inj } f$
 $\langle \text{proof} \rangle$

9.10 Cantor’s Paradox

theorem *Cantors-paradox*: $\nexists f. f \text{ ‘ } A = \text{Pow } A$
 $\langle \text{proof} \rangle$

9.11 Setup

9.11.1 Proof tools

Simplify terms of the form $f(\dots, x:=y, \dots, x:=z, \dots)$ to $f(\dots, x:=z, \dots)$
 $\langle \text{ML} \rangle$

9.11.2 Functorial structure of types

$\langle \text{ML} \rangle$

functor *map-fun*: *map-fun*
 $\langle \text{proof} \rangle$

functor *vimage*
 $\langle \text{proof} \rangle$

Legacy theorem names

lemmas *o-def* = *comp-def*
lemmas *o-apply* = *comp-apply*
lemmas *o-assoc* = *comp-assoc* [*symmetric*]
lemmas *id-o* = *id-comp*
lemmas *o-id* = *comp-id*
lemmas *o-eq-dest* = *comp-eq-dest*
lemmas *o-eq-elim* = *comp-eq-elim*

```

lemmas o-eq-dest-lhs = comp-eq-dest-lhs
lemmas o-eq-id-dest = comp-eq-id-dest

```

```

end

```

10 Complete lattices

```

theory Complete-Lattices
  imports Fun
begin

```

10.1 Syntactic infimum and supremum operations

```

class Inf =
  fixes Inf :: 'a set  $\Rightarrow$  'a ( $\sqcap$  - [900] 900)
begin

```

```

abbreviation INFIMUM :: 'b set  $\Rightarrow$  ('b  $\Rightarrow$  'a)  $\Rightarrow$  'a
  where INFIMUM A f  $\equiv \sqcap (f \text{ ` } A)$ 

```

```

lemma INF-image [simp]: INFIMUM (f ` A) g = INFIMUM A (g  $\circ$  f)
  <proof>

```

```

lemma INF-identity-eq [simp]: INFIMUM A ( $\lambda x. x$ ) =  $\sqcap A$ 
  <proof>

```

```

lemma INF-id-eq [simp]: INFIMUM A id =  $\sqcap A$ 
  <proof>

```

```

lemma INF-cong: A = B  $\Longrightarrow$  ( $\bigwedge x. x \in B \Longrightarrow C x = D x$ )  $\Longrightarrow$  INFIMUM A C
= INFIMUM B D
  <proof>

```

```

lemma strong-INF-cong [cong]:
  A = B  $\Longrightarrow$  ( $\bigwedge x. x \in B =_{\text{simp}} C x = D x$ )  $\Longrightarrow$  INFIMUM A C = INFIMUM
B D
  <proof>

```

```

end

```

```

class Sup =
  fixes Sup :: 'a set  $\Rightarrow$  'a ( $\sqcup$  - [900] 900)
begin

```

```

abbreviation SUPREMUM :: 'b set  $\Rightarrow$  ('b  $\Rightarrow$  'a)  $\Rightarrow$  'a
  where SUPREMUM A f  $\equiv \sqcup (f \text{ ` } A)$ 

```

```

lemma SUP-image [simp]: SUPREMUM (f ` A) g = SUPREMUM A (g  $\circ$  f)
  <proof>

```


lemma *SUP-identity-eq* [*simp*]: $SUPREMUM\ A\ (\lambda x. x) = \bigsqcup A$
 ⟨*proof*⟩

lemma *SUP-id-eq* [*simp*]: $SUPREMUM\ A\ id = \bigsqcup A$
 ⟨*proof*⟩

lemma *SUP-cong*: $A = B \implies (\bigwedge x. x \in B \implies C\ x = D\ x) \implies SUPREMUM\ A\ C = SUPREMUM\ B\ D$
 ⟨*proof*⟩

lemma *strong-SUP-cong* [*cong*]:
 $A = B \implies (\bigwedge x. x \in B =_{simp} C\ x = D\ x) \implies SUPREMUM\ A\ C = SUPREMUM\ B\ D$
 ⟨*proof*⟩

end

Note: must use names *INFIMUM* and *SUPREMUM* here instead of *INF* and *SUP* to allow the following syntax coexist with the plain constant names.

syntax (*ASCII*)

-*INF1* :: *pttrns* $\Rightarrow 'b \Rightarrow 'b$ ((*3INF* -./ -) [0, 10] 10)
 -*INF* :: *pttrn* $\Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ ((*3INF* :-./ -) [0, 0, 10] 10)
 -*SUP1* :: *pttrns* $\Rightarrow 'b \Rightarrow 'b$ ((*3SUP* -./ -) [0, 10] 10)
 -*SUP* :: *pttrn* $\Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ ((*3SUP* :-./ -) [0, 0, 10] 10)

syntax (*output*)

-*INF1* :: *pttrns* $\Rightarrow 'b \Rightarrow 'b$ ((*3INF* -./ -) [0, 10] 10)
 -*INF* :: *pttrn* $\Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ ((*3INF* :-./ -) [0, 0, 10] 10)
 -*SUP1* :: *pttrns* $\Rightarrow 'b \Rightarrow 'b$ ((*3SUP* -./ -) [0, 10] 10)
 -*SUP* :: *pttrn* $\Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ ((*3SUP* :-./ -) [0, 0, 10] 10)

syntax

-*INF1* :: *pttrns* $\Rightarrow 'b \Rightarrow 'b$ ((*3* \sqcap -./ -) [0, 10] 10)
 -*INF* :: *pttrn* $\Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ ((*3* \sqcap - \in -) [0, 0, 10] 10)
 -*SUP1* :: *pttrns* $\Rightarrow 'b \Rightarrow 'b$ ((*3* \sqcup -./ -) [0, 10] 10)
 -*SUP* :: *pttrn* $\Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ ((*3* \sqcup - \in -) [0, 0, 10] 10)

translations

$\bigsqcap x\ y. B \rightleftharpoons \bigsqcap x. \bigsqcap y. B$
 $\bigsqcap x. B \rightleftharpoons CONST\ INFIMUM\ CONST\ UNIV\ (\lambda x. B)$
 $\bigsqcap x. B \rightleftharpoons \bigsqcap x \in CONST\ UNIV. B$
 $\bigsqcap x \in A. B \rightleftharpoons CONST\ INFIMUM\ A\ (\lambda x. B)$
 $\bigsqcup x\ y. B \rightleftharpoons \bigsqcup x. \bigsqcup y. B$
 $\bigsqcup x. B \rightleftharpoons CONST\ SUPREMUM\ CONST\ UNIV\ (\lambda x. B)$
 $\bigsqcup x. B \rightleftharpoons \bigsqcup x \in CONST\ UNIV. B$
 $\bigsqcup x \in A. B \rightleftharpoons CONST\ SUPREMUM\ A\ (\lambda x. B)$

⟨*ML*⟩

10.2 Abstract complete lattices

A complete lattice always has a bottom and a top, so we include them into the following type class, along with assumptions that define bottom and top in terms of infimum and supremum.

```

class complete-lattice = lattice + Inf + Sup + bot + top +
  assumes Inf-lower:  $x \in A \implies \bigcap A \leq x$ 
    and Inf-greatest:  $(\bigwedge x. x \in A \implies z \leq x) \implies z \leq \bigcap A$ 
    and Sup-upper:  $x \in A \implies x \leq \bigcup A$ 
    and Sup-least:  $(\bigwedge x. x \in A \implies x \leq z) \implies \bigcup A \leq z$ 
    and Inf-empty [simp]:  $\bigcap \{\} = \top$ 
    and Sup-empty [simp]:  $\bigcup \{\} = \perp$ 
begin

subclass bounded-lattice
  <proof>

lemma dual-complete-lattice: class.complete-lattice Sup Inf sup (op  $\geq$ ) (op  $>$ ) inf
   $\top \perp$ 
  <proof>

end

context complete-lattice
begin

lemma Sup-eqI:
   $(\bigwedge y. y \in A \implies y \leq x) \implies (\bigwedge y. (\bigwedge z. z \in A \implies z \leq y) \implies x \leq y) \implies \bigcup A = x$ 
  <proof>

lemma Inf-eqI:
   $(\bigwedge i. i \in A \implies x \leq i) \implies (\bigwedge y. (\bigwedge i. i \in A \implies y \leq i) \implies y \leq x) \implies \bigcap A = x$ 
  <proof>

lemma SUP-eqI:
   $(\bigwedge i. i \in A \implies f\ i \leq x) \implies (\bigwedge y. (\bigwedge i. i \in A \implies f\ i \leq y) \implies x \leq y) \implies (\bigcup i \in A. f\ i) = x$ 
  <proof>

lemma INF-eqI:
   $(\bigwedge i. i \in A \implies x \leq f\ i) \implies (\bigwedge y. (\bigwedge i. i \in A \implies f\ i \geq y) \implies x \geq y) \implies (\bigcap i \in A. f\ i) = x$ 
  <proof>

lemma INF-lower:  $i \in A \implies (\bigcap i \in A. f\ i) \leq f\ i$ 
  <proof>

lemma INF-greatest:  $(\bigwedge i. i \in A \implies u \leq f\ i) \implies u \leq (\bigcap i \in A. f\ i)$ 

```

$\langle proof \rangle$

lemma *SUP-upper*: $i \in A \implies f\ i \leq (\bigsqcup_{i \in A} f\ i)$
 $\langle proof \rangle$

lemma *SUP-least*: $(\bigwedge i. i \in A \implies f\ i \leq u) \implies (\bigsqcup_{i \in A} f\ i) \leq u$
 $\langle proof \rangle$

lemma *Inf-lower2*: $u \in A \implies u \leq v \implies \bigcap A \leq v$
 $\langle proof \rangle$

lemma *INF-lower2*: $i \in A \implies f\ i \leq u \implies (\bigcap_{i \in A} f\ i) \leq u$
 $\langle proof \rangle$

lemma *Sup-upper2*: $u \in A \implies v \leq u \implies v \leq \bigsqcup A$
 $\langle proof \rangle$

lemma *SUP-upper2*: $i \in A \implies u \leq f\ i \implies u \leq (\bigsqcup_{i \in A} f\ i)$
 $\langle proof \rangle$

lemma *le-Inf-iff*: $b \leq \bigcap A \longleftrightarrow (\forall a \in A. b \leq a)$
 $\langle proof \rangle$

lemma *le-INF-iff*: $u \leq (\bigcap_{i \in A} f\ i) \longleftrightarrow (\forall i \in A. u \leq f\ i)$
 $\langle proof \rangle$

lemma *Sup-le-iff*: $\bigsqcup A \leq b \longleftrightarrow (\forall a \in A. a \leq b)$
 $\langle proof \rangle$

lemma *SUP-le-iff*: $(\bigsqcup_{i \in A} f\ i) \leq u \longleftrightarrow (\forall i \in A. f\ i \leq u)$
 $\langle proof \rangle$

lemma *Inf-insert [simp]*: $\bigcap \text{insert } a\ A = a \sqcap \bigcap A$
 $\langle proof \rangle$

lemma *INF-insert [simp]*: $(\bigcap_{x \in \text{insert } a\ A} f\ x) = f\ a \sqcap \text{INFIMUM } A\ f$
 $\langle proof \rangle$

lemma *Sup-insert [simp]*: $\bigsqcup \text{insert } a\ A = a \sqcup \bigsqcup A$
 $\langle proof \rangle$

lemma *SUP-insert [simp]*: $(\bigsqcup_{x \in \text{insert } a\ A} f\ x) = f\ a \sqcup \text{SUPREMUM } A\ f$
 $\langle proof \rangle$

lemma *INF-empty [simp]*: $(\bigcap_{x \in \{\}} f\ x) = \top$
 $\langle proof \rangle$

lemma *SUP-empty [simp]*: $(\bigsqcup_{x \in \{\}} f\ x) = \perp$
 $\langle proof \rangle$

lemma *Inf-UNIV* [simp]: $\bigcap UNIV = \perp$
 ⟨proof⟩

lemma *Sup-UNIV* [simp]: $\bigcup UNIV = \top$
 ⟨proof⟩

lemma *Inf-Sup*: $\bigcap A = \bigcup \{b. \forall a \in A. b \leq a\}$
 ⟨proof⟩

lemma *Sup-Inf*: $\bigcup A = \bigcap \{b. \forall a \in A. a \leq b\}$
 ⟨proof⟩

lemma *Inf-superset-mono*: $B \subseteq A \implies \bigcap A \leq \bigcap B$
 ⟨proof⟩

lemma *Sup-subset-mono*: $A \subseteq B \implies \bigcup A \leq \bigcup B$
 ⟨proof⟩

lemma *Inf-mono*:
 assumes $\bigwedge b. b \in B \implies \exists a \in A. a \leq b$
 shows $\bigcap A \leq \bigcap B$
 ⟨proof⟩

lemma *INF-mono*: $(\bigwedge m. m \in B \implies \exists n \in A. f\ n \leq g\ m) \implies (\bigcap n \in A. f\ n) \leq (\bigcap n \in B. g\ n)$
 ⟨proof⟩

lemma *Sup-mono*:
 assumes $\bigwedge a. a \in A \implies \exists b \in B. a \leq b$
 shows $\bigcup A \leq \bigcup B$
 ⟨proof⟩

lemma *SUP-mono*: $(\bigwedge n. n \in A \implies \exists m \in B. f\ n \leq g\ m) \implies (\bigcup n \in A. f\ n) \leq (\bigcup n \in B. g\ n)$
 ⟨proof⟩

lemma *INF-superset-mono*: $B \subseteq A \implies (\bigwedge x. x \in B \implies f\ x \leq g\ x) \implies (\bigcap x \in A. f\ x) \leq (\bigcap x \in B. g\ x)$
 — The last inclusion is POSITIVE!
 ⟨proof⟩

lemma *SUP-subset-mono*: $A \subseteq B \implies (\bigwedge x. x \in A \implies f\ x \leq g\ x) \implies (\bigcup x \in A. f\ x) \leq (\bigcup x \in B. g\ x)$
 ⟨proof⟩

lemma *Inf-less-eq*:
 assumes $\bigwedge v. v \in A \implies v \leq u$
 and $A \neq \{\}$

shows $\prod A \leq u$
 $\langle proof \rangle$

lemma *less-eq-Sup*:
assumes $\bigwedge v. v \in A \implies u \leq v$
and $A \neq \{\}$
shows $u \leq \bigsqcup A$
 $\langle proof \rangle$

lemma *INF-eq*:
assumes $\bigwedge i. i \in A \implies \exists j \in B. f\ i \geq g\ j$
and $\bigwedge j. j \in B \implies \exists i \in A. g\ j \geq f\ i$
shows $INFIMUM\ A\ f = INFIMUM\ B\ g$
 $\langle proof \rangle$

lemma *SUP-eq*:
assumes $\bigwedge i. i \in A \implies \exists j \in B. f\ i \leq g\ j$
and $\bigwedge j. j \in B \implies \exists i \in A. g\ j \leq f\ i$
shows $SUPREMUM\ A\ f = SUPREMUM\ B\ g$
 $\langle proof \rangle$

lemma *less-eq-Inf-inter*: $\prod A \sqcup \prod B \leq \prod (A \cap B)$
 $\langle proof \rangle$

lemma *Sup-inter-less-eq*: $\bigsqcup (A \cap B) \leq \bigsqcup A \sqcap \bigsqcup B$
 $\langle proof \rangle$

lemma *Inf-union-distrib*: $\prod (A \cup B) = \prod A \sqcap \prod B$
 $\langle proof \rangle$

lemma *INF-union*: $(\prod i \in A \cup B. M\ i) = (\prod i \in A. M\ i) \sqcap (\prod i \in B. M\ i)$
 $\langle proof \rangle$

lemma *Sup-union-distrib*: $\bigsqcup (A \cup B) = \bigsqcup A \sqcup \bigsqcup B$
 $\langle proof \rangle$

lemma *SUP-union*: $(\bigsqcup i \in A \cup B. M\ i) = (\bigsqcup i \in A. M\ i) \sqcup (\bigsqcup i \in B. M\ i)$
 $\langle proof \rangle$

lemma *INF-inf-distrib*: $(\prod a \in A. f\ a) \sqcap (\prod a \in A. g\ a) = \prod a \in A. f\ a \sqcap g\ a$
 $\langle proof \rangle$

lemma *SUP-sup-distrib*: $(\bigsqcup a \in A. f\ a) \sqcup (\bigsqcup a \in A. g\ a) = \bigsqcup a \in A. f\ a \sqcup g\ a$
(is ?L = ?R)
 $\langle proof \rangle$

lemma *Inf-top-conv* [simp]:
 $\prod A = \top \longleftrightarrow (\forall x \in A. x = \top)$
 $\top = \prod A \longleftrightarrow (\forall x \in A. x = \top)$

$\langle proof \rangle$

lemma *INF-top-conv* [simp]:

$$\begin{aligned} (\bigcap_{x \in A}. B\ x) = \top &\longleftrightarrow (\forall x \in A. B\ x = \top) \\ \top = (\bigcap_{x \in A}. B\ x) &\longleftrightarrow (\forall x \in A. B\ x = \top) \end{aligned}$$

$\langle proof \rangle$

lemma *Sup-bot-conv* [simp]:

$$\begin{aligned} \bigsqcup A = \perp &\longleftrightarrow (\forall x \in A. x = \perp) \\ \perp = \bigsqcup A &\longleftrightarrow (\forall x \in A. x = \perp) \end{aligned}$$

$\langle proof \rangle$

lemma *SUP-bot-conv* [simp]:

$$\begin{aligned} (\bigsqcup_{x \in A}. B\ x) = \perp &\longleftrightarrow (\forall x \in A. B\ x = \perp) \\ \perp = (\bigsqcup_{x \in A}. B\ x) &\longleftrightarrow (\forall x \in A. B\ x = \perp) \end{aligned}$$

$\langle proof \rangle$

lemma *INF-const* [simp]: $A \neq \{\}$ $\implies (\bigcap_{i \in A}. f) = f$

$\langle proof \rangle$

lemma *SUP-const* [simp]: $A \neq \{\}$ $\implies (\bigsqcup_{i \in A}. f) = f$

$\langle proof \rangle$

lemma *INF-top* [simp]: $(\bigcap_{x \in A}. \top) = \top$

$\langle proof \rangle$

lemma *SUP-bot* [simp]: $(\bigsqcup_{x \in A}. \perp) = \perp$

$\langle proof \rangle$

lemma *INF-commute*: $(\bigcap_{i \in A}. \bigcap_{j \in B}. f\ i\ j) = (\bigcap_{j \in B}. \bigcap_{i \in A}. f\ i\ j)$

$\langle proof \rangle$

lemma *SUP-commute*: $(\bigsqcup_{i \in A}. \bigsqcup_{j \in B}. f\ i\ j) = (\bigsqcup_{j \in B}. \bigsqcup_{i \in A}. f\ i\ j)$

$\langle proof \rangle$

lemma *INF-absorb*:

assumes $k \in I$

shows $A\ k \sqcap (\bigcap_{i \in I}. A\ i) = (\bigcap_{i \in I}. A\ i)$

$\langle proof \rangle$

lemma *SUP-absorb*:

assumes $k \in I$

shows $A\ k \sqcup (\bigsqcup_{i \in I}. A\ i) = (\bigsqcup_{i \in I}. A\ i)$

$\langle proof \rangle$

lemma *INF-inf-const1*: $I \neq \{\}$ $\implies (\text{INF } i:I. \inf\ x\ (f\ i)) = \inf\ x\ (\text{INF } i:I. f\ i)$

$\langle proof \rangle$

lemma *INF-inf-const2*: $I \neq \{\}$ $\implies (\text{INF } i:I. \inf\ (f\ i)\ x) = \inf\ (\text{INF } i:I. f\ i)\ x$

$\langle proof \rangle$

lemma *INF-constant*: $(\prod_{y \in A}. c) = (if\ A = \{\} \text{ then } \top \text{ else } c)$
 $\langle proof \rangle$

lemma *SUP-constant*: $(\sqcup_{y \in A}. c) = (if\ A = \{\} \text{ then } \perp \text{ else } c)$
 $\langle proof \rangle$

lemma *less-INF-D*:
assumes $y < (\prod_{i \in A}. f\ i)$ $i \in A$
shows $y < f\ i$
 $\langle proof \rangle$

lemma *SUP-lessD*:
assumes $(\sqcup_{i \in A}. f\ i) < y$ $i \in A$
shows $f\ i < y$
 $\langle proof \rangle$

lemma *INF-UNIV-bool-expand*: $(\prod b. A\ b) = A\ True \sqcap A\ False$
 $\langle proof \rangle$

lemma *SUP-UNIV-bool-expand*: $(\sqcup b. A\ b) = A\ True \sqcup A\ False$
 $\langle proof \rangle$

lemma *Inf-le-Sup*: $A \neq \{\} \implies Inf\ A \leq Sup\ A$
 $\langle proof \rangle$

lemma *INF-le-SUP*: $A \neq \{\} \implies INFIMUM\ A\ f \leq SUPREMUM\ A\ f$
 $\langle proof \rangle$

lemma *INF-eq-const*: $I \neq \{\} \implies (\bigwedge i. i \in I \implies f\ i = x) \implies INFIMUM\ I\ f = x$
 $\langle proof \rangle$

lemma *SUP-eq-const*: $I \neq \{\} \implies (\bigwedge i. i \in I \implies f\ i = x) \implies SUPREMUM\ I\ f = x$
 $\langle proof \rangle$

lemma *INF-eq-iff*: $I \neq \{\} \implies (\bigwedge i. i \in I \implies f\ i \leq c) \implies INFIMUM\ I\ f = c$
 $\iff (\forall i \in I. f\ i = c)$
 $\langle proof \rangle$

lemma *SUP-eq-iff*: $I \neq \{\} \implies (\bigwedge i. i \in I \implies c \leq f\ i) \implies SUPREMUM\ I\ f = c$
 $\iff (\forall i \in I. f\ i = c)$
 $\langle proof \rangle$

end

class *complete-distrib-lattice* = *complete-lattice* +
assumes *sup-Inf*: $a \sqcup \prod B = (\prod b \in B. a \sqcup b)$

and *inf-Sup*: $a \sqcap \bigsqcup B = (\bigsqcup_{b \in B}. a \sqcap b)$
begin

lemma *sup-INF*: $a \sqcup (\prod_{b \in B}. f b) = (\prod_{b \in B}. a \sqcup f b)$
 $\langle proof \rangle$

lemma *inf-SUP*: $a \sqcap (\bigsqcup_{b \in B}. f b) = (\bigsqcup_{b \in B}. a \sqcap f b)$
 $\langle proof \rangle$

lemma *dual-complete-distrib-lattice*:
class.complete-distrib-lattice *Sup Inf sup (op \geq) (op $>$) inf $\top \perp$*
 $\langle proof \rangle$

subclass *distrib-lattice*
 $\langle proof \rangle$

lemma *Inf-sup*: $\prod B \sqcup a = (\prod_{b \in B}. b \sqcup a)$
 $\langle proof \rangle$

lemma *Sup-inf*: $\bigsqcup B \sqcap a = (\bigsqcup_{b \in B}. b \sqcap a)$
 $\langle proof \rangle$

lemma *INF-sup*: $(\prod_{b \in B}. f b) \sqcup a = (\prod_{b \in B}. f b \sqcup a)$
 $\langle proof \rangle$

lemma *SUP-inf*: $(\bigsqcup_{b \in B}. f b) \sqcap a = (\bigsqcup_{b \in B}. f b \sqcap a)$
 $\langle proof \rangle$

lemma *Inf-sup-eq-top-iff*: $(\prod B \sqcup a = \top) \longleftrightarrow (\forall b \in B. b \sqcup a = \top)$
 $\langle proof \rangle$

lemma *Sup-inf-eq-bot-iff*: $(\bigsqcup B \sqcap a = \perp) \longleftrightarrow (\forall b \in B. b \sqcap a = \perp)$
 $\langle proof \rangle$

lemma *INF-sup-distrib2*: $(\prod_{a \in A}. f a) \sqcup (\prod_{b \in B}. g b) = (\prod_{a \in A}. \prod_{b \in B}. f a \sqcup g b)$
 $\langle proof \rangle$

lemma *SUP-inf-distrib2*: $(\bigsqcup_{a \in A}. f a) \sqcap (\bigsqcup_{b \in B}. g b) = (\bigsqcup_{a \in A}. \bigsqcup_{b \in B}. f a \sqcap g b)$
 $\langle proof \rangle$

context
fixes $f :: 'a \Rightarrow 'b :: \text{complete-lattice}$
assumes *mono f*
begin

lemma *mono-Inf*: $f (\prod A) \leq (\prod_{x \in A}. f x)$
 $\langle proof \rangle$

lemma *mono-Sup*: $(\bigsqcup x \in A. f\ x) \leq f\ (\bigsqcup A)$
 $\langle proof \rangle$

lemma *mono-INF*: $f\ (INF\ i : I. A\ i) \leq (INF\ x : I. f\ (A\ x))$
 $\langle proof \rangle$

lemma *mono-SUP*: $(SUP\ x : I. f\ (A\ x)) \leq f\ (SUP\ i : I. A\ i)$
 $\langle proof \rangle$

end

end

class *complete-boolean-algebra* = *boolean-algebra* + *complete-distrib-lattice*
begin

lemma *dual-complete-boolean-algebra*:
 $class.complete-boolean-algebra\ Sup\ Inf\ sup\ (op\ \geq)\ (op\ >)\ inf\ \top\ \perp\ (\lambda x\ y. x\ \sqcup\ -\ y)\ uminus$
 $\langle proof \rangle$

lemma *uminus-Inf*: $-\ (\prod A) = \bigsqcup (uminus\ 'A)$
 $\langle proof \rangle$

lemma *uminus-INF*: $-\ (\prod x \in A. B\ x) = (\bigsqcup x \in A. -\ B\ x)$
 $\langle proof \rangle$

lemma *uminus-Sup*: $-\ (\bigsqcup A) = \prod (uminus\ 'A)$
 $\langle proof \rangle$

lemma *uminus-SUP*: $-\ (\bigsqcup x \in A. B\ x) = (\prod x \in A. -\ B\ x)$
 $\langle proof \rangle$

end

class *complete-linorder* = *linorder* + *complete-lattice*
begin

lemma *dual-complete-linorder*:
 $class.complete-linorder\ Sup\ Inf\ sup\ (op\ \geq)\ (op\ >)\ inf\ \top\ \perp$
 $\langle proof \rangle$

lemma *complete-linorder-inf-min*: $inf = min$
 $\langle proof \rangle$

lemma *complete-linorder-sup-max*: $sup = max$
 $\langle proof \rangle$

lemma *Inf-less-iff*: $\bigcap S < a \longleftrightarrow (\exists x \in S. x < a)$
 $\langle proof \rangle$

lemma *INF-less-iff*: $(\bigcap i \in A. f i) < a \longleftrightarrow (\exists x \in A. f x < a)$
 $\langle proof \rangle$

lemma *less-Sup-iff*: $a < \bigcup S \longleftrightarrow (\exists x \in S. a < x)$
 $\langle proof \rangle$

lemma *less-SUP-iff*: $a < (\bigcup i \in A. f i) \longleftrightarrow (\exists x \in A. a < f x)$
 $\langle proof \rangle$

lemma *Sup-eq-top-iff* [simp]: $\bigcup A = \top \longleftrightarrow (\forall x < \top. \exists i \in A. x < i)$
 $\langle proof \rangle$

lemma *SUP-eq-top-iff* [simp]: $(\bigcup i \in A. f i) = \top \longleftrightarrow (\forall x < \top. \exists i \in A. x < f i)$
 $\langle proof \rangle$

lemma *Inf-eq-bot-iff* [simp]: $\bigcap A = \perp \longleftrightarrow (\forall x > \perp. \exists i \in A. i < x)$
 $\langle proof \rangle$

lemma *INF-eq-bot-iff* [simp]: $(\bigcap i \in A. f i) = \perp \longleftrightarrow (\forall x > \perp. \exists i \in A. f i < x)$
 $\langle proof \rangle$

lemma *Inf-le-iff*: $\bigcap A \leq x \longleftrightarrow (\forall y > x. \exists a \in A. y > a)$
 $\langle proof \rangle$

lemma *INF-le-iff*: $INFIMUM A f \leq x \longleftrightarrow (\forall y > x. \exists i \in A. y > f i)$
 $\langle proof \rangle$

lemma *le-Sup-iff*: $x \leq \bigcup A \longleftrightarrow (\forall y < x. \exists a \in A. y < a)$
 $\langle proof \rangle$

lemma *le-SUP-iff*: $x \leq SUPRENUM A f \longleftrightarrow (\forall y < x. \exists i \in A. y < f i)$
 $\langle proof \rangle$

subclass *complete-distrib-lattice*
 $\langle proof \rangle$

end

10.3 Complete lattice on *bool*

instantiation *bool* :: *complete-lattice*
begin

definition [simp, code]: $\bigcap A \longleftrightarrow False \notin A$

definition [simp, code]: $\bigcup A \longleftrightarrow True \in A$

instance

$\langle proof \rangle$

end

lemma *not-False-in-image-Ball* [simp]: $False \notin P \text{ ‘ } A \longleftrightarrow Ball\ A\ P$

$\langle proof \rangle$

lemma *True-in-image-Bex* [simp]: $True \in P \text{ ‘ } A \longleftrightarrow Bex\ A\ P$

$\langle proof \rangle$

lemma *INF-bool-eq* [simp]: $INFIMUM = Ball$

$\langle proof \rangle$

lemma *SUP-bool-eq* [simp]: $SUPREMUM = Bex$

$\langle proof \rangle$

instance *bool :: complete-boolean-algebra*

$\langle proof \rangle$

10.4 Complete lattice on $- \Rightarrow -$

instantiation *fun* :: $(type, Inf)\ Inf$

begin

definition $\sqcap A = (\lambda x. \sqcap f \in A. f\ x)$

lemma *Inf-apply* [simp, code]: $(\sqcap A)\ x = (\sqcap f \in A. f\ x)$

$\langle proof \rangle$

instance $\langle proof \rangle$

end

instantiation *fun* :: $(type, Sup)\ Sup$

begin

definition $\sqcup A = (\lambda x. \sqcup f \in A. f\ x)$

lemma *Sup-apply* [simp, code]: $(\sqcup A)\ x = (\sqcup f \in A. f\ x)$

$\langle proof \rangle$

instance $\langle proof \rangle$

end

instantiation *fun* :: $(type, complete-lattice)\ complete-lattice$

begin

instance

$\langle proof \rangle$

end

lemma *INF-apply [simp]*: $(\bigcap y \in A. f y) x = (\bigcap y \in A. f y x)$

$\langle proof \rangle$

lemma *SUP-apply [simp]*: $(\bigcup y \in A. f y) x = (\bigcup y \in A. f y x)$

$\langle proof \rangle$

instance *fun* :: $(type, complete-distrib-lattice) \text{ complete-distrib-lattice}$

$\langle proof \rangle$

instance *fun* :: $(type, complete-boolean-algebra) \text{ complete-boolean-algebra}$ $\langle proof \rangle$

10.5 Complete lattice on unary and binary predicates

lemma *Inf1-I*: $(\bigwedge P. P \in A \implies P a) \implies (\bigcap A) a$

$\langle proof \rangle$

lemma *INF1-I*: $(\bigwedge x. x \in A \implies B x b) \implies (\bigcap x \in A. B x) b$

$\langle proof \rangle$

lemma *INF2-I*: $(\bigwedge x. x \in A \implies B x b c) \implies (\bigcap x \in A. B x) b c$

$\langle proof \rangle$

lemma *Inf2-I*: $(\bigwedge r. r \in A \implies r a b) \implies (\bigcap A) a b$

$\langle proof \rangle$

lemma *Inf1-D*: $(\bigcap A) a \implies P \in A \implies P a$

$\langle proof \rangle$

lemma *INF1-D*: $(\bigcap x \in A. B x) b \implies a \in A \implies B a b$

$\langle proof \rangle$

lemma *Inf2-D*: $(\bigcap A) a b \implies r \in A \implies r a b$

$\langle proof \rangle$

lemma *INF2-D*: $(\bigcap x \in A. B x) b c \implies a \in A \implies B a b c$

$\langle proof \rangle$

lemma *Inf1-E*:

assumes $(\bigcap A) a$

obtains $P a \mid P \notin A$

$\langle proof \rangle$

lemma *INF1-E*:

assumes $(\prod_{x \in A}. B\ x)\ b$
obtains $B\ a\ b \mid a \notin A$
 $\langle proof \rangle$

lemma *Inf2-E*:
assumes $(\prod A)\ a\ b$
obtains $r\ a\ b \mid r \notin A$
 $\langle proof \rangle$

lemma *INF2-E*:
assumes $(\prod_{x \in A}. B\ x)\ b\ c$
obtains $B\ a\ b\ c \mid a \notin A$
 $\langle proof \rangle$

lemma *Sup1-I*: $P \in A \implies P\ a \implies (\bigsqcup A)\ a$
 $\langle proof \rangle$

lemma *SUP1-I*: $a \in A \implies B\ a\ b \implies (\bigsqcup_{x \in A}. B\ x)\ b$
 $\langle proof \rangle$

lemma *Sup2-I*: $r \in A \implies r\ a\ b \implies (\bigsqcup A)\ a\ b$
 $\langle proof \rangle$

lemma *SUP2-I*: $a \in A \implies B\ a\ b\ c \implies (\bigsqcup_{x \in A}. B\ x)\ b\ c$
 $\langle proof \rangle$

lemma *Sup1-E*:
assumes $(\bigsqcup A)\ a$
obtains P **where** $P \in A$ **and** $P\ a$
 $\langle proof \rangle$

lemma *SUP1-E*:
assumes $(\bigsqcup_{x \in A}. B\ x)\ b$
obtains x **where** $x \in A$ **and** $B\ x\ b$
 $\langle proof \rangle$

lemma *Sup2-E*:
assumes $(\bigsqcup A)\ a\ b$
obtains r **where** $r \in A$ $r\ a\ b$
 $\langle proof \rangle$

lemma *SUP2-E*:
assumes $(\bigsqcup_{x \in A}. B\ x)\ b\ c$
obtains x **where** $x \in A$ $B\ x\ b\ c$
 $\langle proof \rangle$

10.6 Complete lattice on - set

instantiation *set* :: (type) complete-lattice

begin

definition $\sqcap A = \{x. \sqcap ((\lambda B. x \in B) \text{ ‘ } A)\}$

definition $\sqcup A = \{x. \sqcup ((\lambda B. x \in B) \text{ ‘ } A)\}$

instance

$\langle \text{proof} \rangle$

end

instance *set* :: (type) complete-boolean-algebra

$\langle \text{proof} \rangle$

10.6.1 Inter

abbreviation *Inter* :: 'a set \Rightarrow 'a set (\sqcap - [900] 900)

where $\sqcap S \equiv \sqcap S$

lemma *Inter-eq*: $\sqcap A = \{x. \forall B \in A. x \in B\}$

$\langle \text{proof} \rangle$

lemma *Inter-iff* [*simp*]: $A \in \sqcap C \longleftrightarrow (\forall X \in C. A \in X)$

$\langle \text{proof} \rangle$

lemma *InterI* [*intro!*]: $(\bigwedge X. X \in C \Longrightarrow A \in X) \Longrightarrow A \in \sqcap C$

$\langle \text{proof} \rangle$

A “destruct” rule – every X in C contains A as an element, but $A \in X$ can hold when $X \in C$ does not! This rule is analogous to *spec*.

lemma *InterD* [*elim*, *Pure.elim*]: $A \in \sqcap C \Longrightarrow X \in C \Longrightarrow A \in X$

$\langle \text{proof} \rangle$

lemma *InterE* [*elim*]: $A \in \sqcap C \Longrightarrow (X \notin C \Longrightarrow R) \Longrightarrow (A \in X \Longrightarrow R) \Longrightarrow R$

— “Classical” elimination rule – does not require proving $X \in C$.

$\langle \text{proof} \rangle$

lemma *Inter-lower*: $B \in A \Longrightarrow \sqcap A \subseteq B$

$\langle \text{proof} \rangle$

lemma *Inter-subset*: $(\bigwedge X. X \in A \Longrightarrow X \subseteq B) \Longrightarrow A \neq \{\} \Longrightarrow \sqcap A \subseteq B$

$\langle \text{proof} \rangle$

lemma *Inter-greatest*: $(\bigwedge X. X \in A \Longrightarrow C \subseteq X) \Longrightarrow C \subseteq \sqcap A$

$\langle \text{proof} \rangle$

lemma *Inter-empty*: $\sqcap \{\} = \text{UNIV}$

$\langle \text{proof} \rangle$

lemma *Inter-UNIV*: $\bigcap UNIV = \{\}$
 $\langle proof \rangle$

lemma *Inter-insert*: $\bigcap (\text{insert } a \ B) = a \cap \bigcap B$
 $\langle proof \rangle$

lemma *Inter-Un-subset*: $\bigcap A \cup \bigcap B \subseteq \bigcap (A \cap B)$
 $\langle proof \rangle$

lemma *Inter-Un-distrib*: $\bigcap (A \cup B) = \bigcap A \cap \bigcap B$
 $\langle proof \rangle$

lemma *Inter-UNIV-conv* [simp]:
 $\bigcap A = UNIV \longleftrightarrow (\forall x \in A. x = UNIV)$
 $UNIV = \bigcap A \longleftrightarrow (\forall x \in A. x = UNIV)$
 $\langle proof \rangle$

lemma *Inter-anti-mono*: $B \subseteq A \implies \bigcap A \subseteq \bigcap B$
 $\langle proof \rangle$

10.6.2 Intersections of families

abbreviation *INTER* :: 'a set \Rightarrow ('a \Rightarrow 'b set) \Rightarrow 'b set
 where *INTER* \equiv *INFIMUM*

Note: must use name *INTER* here instead of *INT* to allow the following syntax coexist with the plain constant name.

syntax (*ASCII*)

-*INTER1* :: pttrns \Rightarrow 'b set \Rightarrow 'b set $((\exists INT \ -./ \ -) [0, 10] 10)$
 -*INTER* :: pttrn \Rightarrow 'a set \Rightarrow 'b set \Rightarrow 'b set $((\exists INT \ -:./ \ -) [0, 0, 10] 10)$

syntax (*latex output*)

-*INTER1* :: pttrns \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap (\langle unbreakable \rangle \ -) / \ -) [0, 10] 10)$
 -*INTER* :: pttrn \Rightarrow 'a set \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap (\langle unbreakable \rangle \ -. \in \ -) / \ -) [0, 0, 10] 10)$

syntax

-*INTER1* :: pttrns \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap \ -./ \ -) [0, 10] 10)$
 -*INTER* :: pttrn \Rightarrow 'a set \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap \ - \in \ -./ \ -) [0, 0, 10] 10)$

translations

$\bigcap x \ y. B \equiv \bigcap x. \bigcap y. B$
 $\bigcap x. B \equiv \text{CONST } INTER \ \text{CONST } UNIV \ (\lambda x. B)$
 $\bigcap x. B \equiv \bigcap x \in \text{CONST } UNIV. B$
 $\bigcap x \in A. B \equiv \text{CONST } INTER \ A \ (\lambda x. B)$

$\langle ML \rangle$

lemma *INTER-eq*: $(\bigcap_{x \in A}. B\ x) = \{y. \forall x \in A. y \in B\ x\}$
 $\langle proof \rangle$

lemma *INT-iff [simp]*: $b \in (\bigcap_{x \in A}. B\ x) \longleftrightarrow (\forall x \in A. b \in B\ x)$
 $\langle proof \rangle$

lemma *INT-I [intro!]*: $(\bigwedge x. x \in A \implies b \in B\ x) \implies b \in (\bigcap_{x \in A}. B\ x)$
 $\langle proof \rangle$

lemma *INT-D [elim, Pure.elim]*: $b \in (\bigcap_{x \in A}. B\ x) \implies a \in A \implies b \in B\ a$
 $\langle proof \rangle$

lemma *INT-E [elim]*: $b \in (\bigcap_{x \in A}. B\ x) \implies (b \in B\ a \implies R) \implies (a \notin A \implies R) \implies R$
 — ”Classical” elimination – by the Excluded Middle on $a \in A$.
 $\langle proof \rangle$

lemma *Collect-ball-eq*: $\{x. \forall y \in A. P\ x\ y\} = (\bigcap_{y \in A}. \{x. P\ x\ y\})$
 $\langle proof \rangle$

lemma *Collect-all-eq*: $\{x. \forall y. P\ x\ y\} = (\bigcap y. \{x. P\ x\ y\})$
 $\langle proof \rangle$

lemma *INT-lower*: $a \in A \implies (\bigcap_{x \in A}. B\ x) \subseteq B\ a$
 $\langle proof \rangle$

lemma *INT-greatest*: $(\bigwedge x. x \in A \implies C \subseteq B\ x) \implies C \subseteq (\bigcap_{x \in A}. B\ x)$
 $\langle proof \rangle$

lemma *INT-empty*: $(\bigcap_{x \in \{\}}. B\ x) = UNIV$
 $\langle proof \rangle$

lemma *INT-absorb*: $k \in I \implies A\ k \cap (\bigcap_{i \in I}. A\ i) = (\bigcap_{i \in I}. A\ i)$
 $\langle proof \rangle$

lemma *INT-subset-iff*: $B \subseteq (\bigcap_{i \in I}. A\ i) \longleftrightarrow (\forall i \in I. B \subseteq A\ i)$
 $\langle proof \rangle$

lemma *INT-insert [simp]*: $(\bigcap_{x \in \text{insert } a\ A}. B\ x) = B\ a \cap INTER\ A\ B$
 $\langle proof \rangle$

lemma *INT-Un*: $(\bigcap_{i \in A \cup B}. M\ i) = (\bigcap_{i \in A}. M\ i) \cap (\bigcap_{i \in B}. M\ i)$
 $\langle proof \rangle$

lemma *INT-insert-distrib*: $u \in A \implies (\bigcap_{x \in A}. \text{insert } a\ (B\ x)) = \text{insert } a\ (\bigcap_{x \in A}. B\ x)$
 $\langle proof \rangle$

lemma *INT-constant [simp]*: $(\bigcap_{y \in A}. c) = (\text{if } A = \{\} \text{ then } UNIV \text{ else } c)$

$\langle proof \rangle$

lemma *INTER-UNIV-conv*:

$$(UNIV = (\bigcap_{x \in A}. B\ x)) = (\forall x \in A. B\ x = UNIV)$$

$$((\bigcap_{x \in A}. B\ x) = UNIV) = (\forall x \in A. B\ x = UNIV)$$

$\langle proof \rangle$

lemma *INT-bool-eq*: $(\bigcap b. A\ b) = A\ True \cap A\ False$

$\langle proof \rangle$

lemma *INT-anti-mono*: $A \subseteq B \implies (\bigwedge x. x \in A \implies f\ x \subseteq g\ x) \implies (\bigcap_{x \in B}. f\ x) \subseteq (\bigcap_{x \in A}. g\ x)$

— The last inclusion is POSITIVE!

$\langle proof \rangle$

lemma *Pow-INT-eq*: $Pow\ (\bigcap_{x \in A}. B\ x) = (\bigcap_{x \in A}. Pow\ (B\ x))$

$\langle proof \rangle$

lemma *vimage-INT*: $f\ -' (\bigcap_{x \in A}. B\ x) = (\bigcap_{x \in A}. f\ -' B\ x)$

$\langle proof \rangle$

10.6.3 Union

abbreviation *Union* :: 'a set set \Rightarrow 'a set $(\bigcup - [900]\ 900)$

where $\bigcup S \equiv \bigsqcup S$

lemma *Union-eq*: $\bigcup A = \{x. \exists B \in A. x \in B\}$

$\langle proof \rangle$

lemma *Union-iff* [*simp*]: $A \in \bigcup C \longleftrightarrow (\exists X \in C. A \in X)$

$\langle proof \rangle$

lemma *UnionI* [*intro*]: $X \in C \implies A \in X \implies A \in \bigcup C$

— The order of the premises presupposes that C is rigid; A may be flexible.

$\langle proof \rangle$

lemma *UnionE* [*elim!*]: $A \in \bigcup C \implies (\bigwedge X. A \in X \implies X \in C \implies R) \implies R$

$\langle proof \rangle$

lemma *Union-upper*: $B \in A \implies B \subseteq \bigcup A$

$\langle proof \rangle$

lemma *Union-least*: $(\bigwedge X. X \in A \implies X \subseteq C) \implies \bigcup A \subseteq C$

$\langle proof \rangle$

lemma *Union-empty*: $\bigcup \{\} = \{\}$

$\langle proof \rangle$

lemma *Union-UNIV*: $\bigcup UNIV = UNIV$

$\langle proof \rangle$

lemma *Union-insert*: $\bigcup \text{insert } a \ B = a \cup \bigcup B$
 $\langle proof \rangle$

lemma *Union-Un-distrib [simp]*: $\bigcup (A \cup B) = \bigcup A \cup \bigcup B$
 $\langle proof \rangle$

lemma *Union-Int-subset*: $\bigcup (A \cap B) \subseteq \bigcup A \cap \bigcup B$
 $\langle proof \rangle$

lemma *Union-empty-conv*: $(\bigcup A = \{\}) \longleftrightarrow (\forall x \in A. x = \{\})$
 $\langle proof \rangle$

lemma *empty-Union-conv*: $(\{\} = \bigcup A) \longleftrightarrow (\forall x \in A. x = \{\})$
 $\langle proof \rangle$

lemma *subset-Pow-Union*: $A \subseteq \text{Pow } (\bigcup A)$
 $\langle proof \rangle$

lemma *Union-Pow-eq [simp]*: $\bigcup (\text{Pow } A) = A$
 $\langle proof \rangle$

lemma *Union-mono*: $A \subseteq B \implies \bigcup A \subseteq \bigcup B$
 $\langle proof \rangle$

lemma *Union-subsetI*: $(\bigwedge x. x \in A \implies \exists y. y \in B \wedge x \subseteq y) \implies \bigcup A \subseteq \bigcup B$
 $\langle proof \rangle$

lemma *disjnt-inj-on-iff*:
 $\llbracket \text{inj-on } f \ (\bigcup \mathcal{A}); X \in \mathcal{A}; Y \in \mathcal{A} \rrbracket \implies \text{disjnt } (f \restriction X) \ (f \restriction Y) \longleftrightarrow \text{disjnt } X \ Y$
 $\langle proof \rangle$

10.6.4 Unions of families

abbreviation *UNION* :: $'a \text{ set} \Rightarrow ('a \Rightarrow 'b \text{ set}) \Rightarrow 'b \text{ set}$
where *UNION* $\equiv \text{SUPREMUM}$

Note: must use name *UNION* here instead of *UN* to allow the following syntax coexist with the plain constant name.

syntax (*ASCII*)

-UNION1 :: $\text{pttrns} \Rightarrow 'b \text{ set} \Rightarrow 'b \text{ set}$ $((\exists UN \text{ -./ -}) [0, 10] 10)$
-UNION :: $\text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow 'b \text{ set}$ $((\exists UN \text{ -:./ -}) [0, 0, 10] 10)$

syntax (*latex output*)

-UNION1 :: $\text{pttrns} \Rightarrow 'b \text{ set} \Rightarrow 'b \text{ set}$ $((\exists \bigcup (\text{unbreakable}) \text{ -./ -}) [0, 10] 10)$
-UNION :: $\text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow 'b \text{ set}$ $((\exists \bigcup (\text{unbreakable}) \text{ -\in -}) \text{ -})$

$[0, 0, 10] \ 10)$

syntax

-UNION1 $:: p\text{trns} \Rightarrow 'b \text{ set} \Rightarrow 'b \text{ set} \quad ((\exists \bigcup \cdot / \cdot) [0, 10] \ 10)$
 -UNION $:: p\text{trn} \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow 'b \text{ set} \quad ((\exists \bigcup \cdot \in \cdot / \cdot) [0, 0, 10] \ 10)$

translations

$\bigcup x \ y. B \Rightarrow \bigcup x. \bigcup y. B$
 $\bigcup x. B \Rightarrow \text{CONST UNION CONST UNIV } (\lambda x. B)$
 $\bigcup x. B \Rightarrow \bigcup x \in \text{CONST UNIV}. B$
 $\bigcup x \in A. B \Rightarrow \text{CONST UNION } A (\lambda x. B)$

Note the difference between ordinary syntax of indexed unions and intersections (e.g. $\bigcup_{a_1 \in A_1}. B$) and their L^AT_EX rendition: $\bigcup_{a_1 \in A_1} B$.

$\langle ML \rangle$

lemma *UNION-eq*: $(\bigcup x \in A. B \ x) = \{y. \exists x \in A. y \in B \ x\}$
 $\langle \text{proof} \rangle$

lemma *bind-UNION* [code]: $\text{Set.bind } A \ f = \text{UNION } A \ f$
 $\langle \text{proof} \rangle$

lemma *member-bind* [simp]: $x \in \text{Set.bind } P \ f \longleftrightarrow x \in \text{UNION } P \ f$
 $\langle \text{proof} \rangle$

lemma *Union-SetCompr-eq*: $\bigcup \{f \ x \mid x. P \ x\} = \{a. \exists x. P \ x \wedge a \in f \ x\}$
 $\langle \text{proof} \rangle$

lemma *UN-iff* [simp]: $b \in (\bigcup x \in A. B \ x) \longleftrightarrow (\exists x \in A. b \in B \ x)$
 $\langle \text{proof} \rangle$

lemma *UN-I* [intro]: $a \in A \Longrightarrow b \in B \ a \Longrightarrow b \in (\bigcup x \in A. B \ x)$
 — The order of the premises presupposes that A is rigid; b may be flexible.
 $\langle \text{proof} \rangle$

lemma *UN-E* [elim!]: $b \in (\bigcup x \in A. B \ x) \Longrightarrow (\bigwedge x. x \in A \Longrightarrow b \in B \ x \Longrightarrow R) \Longrightarrow R$
 $\langle \text{proof} \rangle$

lemma *UN-upper*: $a \in A \Longrightarrow B \ a \subseteq (\bigcup x \in A. B \ x)$
 $\langle \text{proof} \rangle$

lemma *UN-least*: $(\bigwedge x. x \in A \Longrightarrow B \ x \subseteq C) \Longrightarrow (\bigcup x \in A. B \ x) \subseteq C$
 $\langle \text{proof} \rangle$

lemma *Collect-bex-eq*: $\{x. \exists y \in A. P \ x \ y\} = (\bigcup y \in A. \{x. P \ x \ y\})$
 $\langle \text{proof} \rangle$

lemma *UN-insert-distrib*: $u \in A \Longrightarrow (\bigcup x \in A. \text{insert } a \ (B \ x)) = \text{insert } a \ (\bigcup x \in A.$

$B\ x)$
 $\langle proof \rangle$

lemma *UN-empty*: $(\bigcup x \in \{\}. B\ x) = \{\}$
 $\langle proof \rangle$

lemma *UN-empty2*: $(\bigcup x \in A. \{\}) = \{\}$
 $\langle proof \rangle$

lemma *UN-absorb*: $k \in I \implies A\ k \cup (\bigcup i \in I. A\ i) = (\bigcup i \in I. A\ i)$
 $\langle proof \rangle$

lemma *UN-insert [simp]*: $(\bigcup x \in insert\ a\ A. B\ x) = B\ a \cup UNION\ A\ B$
 $\langle proof \rangle$

lemma *UN-Un [simp]*: $(\bigcup i \in A \cup B. M\ i) = (\bigcup i \in A. M\ i) \cup (\bigcup i \in B. M\ i)$
 $\langle proof \rangle$

lemma *UN-UN-flatten*: $(\bigcup x \in (\bigcup y \in A. B\ y). C\ x) = (\bigcup y \in A. \bigcup x \in B\ y. C\ x)$
 $\langle proof \rangle$

lemma *UN-subset-iff*: $((\bigcup i \in I. A\ i) \subseteq B) = (\forall i \in I. A\ i \subseteq B)$
 $\langle proof \rangle$

lemma *UN-constant [simp]*: $(\bigcup y \in A. c) = (if\ A = \{\}\ then\ \{\}\ else\ c)$
 $\langle proof \rangle$

lemma *image-Union*: $f\ ` \bigcup S = (\bigcup x \in S. f\ ` x)$
 $\langle proof \rangle$

lemma *UNION-empty-conv*:
 $\{\} = (\bigcup x \in A. B\ x) \longleftrightarrow (\forall x \in A. B\ x = \{\})$
 $(\bigcup x \in A. B\ x) = \{\} \longleftrightarrow (\forall x \in A. B\ x = \{\})$
 $\langle proof \rangle$

lemma *Collect-ex-eq*: $\{x. \exists y. P\ x\ y\} = (\bigcup y. \{x. P\ x\ y\})$
 $\langle proof \rangle$

lemma *ball-UN*: $(\forall z \in UNION\ A\ B. P\ z) \longleftrightarrow (\forall x \in A. \forall z \in B\ x. P\ z)$
 $\langle proof \rangle$

lemma *bec-UN*: $(\exists z \in UNION\ A\ B. P\ z) \longleftrightarrow (\exists x \in A. \exists z \in B\ x. P\ z)$
 $\langle proof \rangle$

lemma *Un-eq-UN*: $A \cup B = (\bigcup b. if\ b\ then\ A\ else\ B)$
 $\langle proof \rangle$

lemma *UN-bool-eq*: $(\bigcup b. A\ b) = (A\ True \cup A\ False)$
 $\langle proof \rangle$

lemma *UN-Pow-subset*: $(\bigcup_{x \in A} \text{Pow } (B \ x)) \subseteq \text{Pow } (\bigcup_{x \in A} B \ x)$
 $\langle \text{proof} \rangle$

lemma *UN-mono*:
 $A \subseteq B \implies (\bigwedge x. x \in A \implies f \ x \subseteq g \ x) \implies$
 $(\bigcup_{x \in A} f \ x) \subseteq (\bigcup_{x \in B} g \ x)$
 $\langle \text{proof} \rangle$

lemma *vimage-Union*: $f \text{ --' } (\bigcup A) = (\bigcup X \in A. f \text{ --' } X)$
 $\langle \text{proof} \rangle$

lemma *vimage-UN*: $f \text{ --' } (\bigcup_{x \in A} B \ x) = (\bigcup_{x \in A} f \text{ --' } B \ x)$
 $\langle \text{proof} \rangle$

lemma *vimage-eq-UN*: $f \text{ --' } B = (\bigcup_{y \in B} f \text{ --' } \{y\})$
 — NOT suitable for rewriting
 $\langle \text{proof} \rangle$

lemma *image-UN*: $f \text{ ' } \text{UNION } A \ B = (\bigcup_{x \in A} f \text{ ' } B \ x)$
 $\langle \text{proof} \rangle$

lemma *UN-singleton [simp]*: $(\bigcup_{x \in A} \{x\}) = A$
 $\langle \text{proof} \rangle$

lemma *inj-on-image*: $\text{inj-on } f \ (\bigcup A) \implies \text{inj-on } (op \text{ ' } f) \ A$
 $\langle \text{proof} \rangle$

10.6.5 Distributive laws

lemma *Int-Union*: $A \cap \bigcup B = (\bigcup C \in B. A \cap C)$
 $\langle \text{proof} \rangle$

lemma *Un-Inter*: $A \cup \bigcap B = (\bigcap C \in B. A \cup C)$
 $\langle \text{proof} \rangle$

lemma *Int-Union2*: $\bigcup B \cap A = (\bigcup C \in B. C \cap A)$
 $\langle \text{proof} \rangle$

lemma *INT-Int-distrib*: $(\bigcap_{i \in I} A \ i \cap B \ i) = (\bigcap_{i \in I} A \ i) \cap (\bigcap_{i \in I} B \ i)$
 $\langle \text{proof} \rangle$

lemma *UN-Un-distrib*: $(\bigcup_{i \in I} A \ i \cup B \ i) = (\bigcup_{i \in I} A \ i) \cup (\bigcup_{i \in I} B \ i)$
 $\langle \text{proof} \rangle$

lemma *Int-Inter-image*: $(\bigcap_{x \in C} A \ x \cap B \ x) = \bigcap (A \text{ ' } C) \cap \bigcap (B \text{ ' } C)$
 $\langle \text{proof} \rangle$

lemma *Un-Union-image*: $(\bigcup_{x \in C} A \ x \cup B \ x) = \bigcup (A \text{ ' } C) \cup \bigcup (B \text{ ' } C)$

— Devlin, Fundamentals of Contemporary Set Theory, page 12, exercise 5:

— Union of a family of unions

$\langle proof \rangle$

lemma *Un-INT-distrib*: $B \cup (\bigcap_{i \in I}. A\ i) = (\bigcap_{i \in I}. B \cup A\ i)$

$\langle proof \rangle$

lemma *Int-UN-distrib*: $B \cap (\bigcup_{i \in I}. A\ i) = (\bigcup_{i \in I}. B \cap A\ i)$

— Halmos, Naive Set Theory, page 35.

$\langle proof \rangle$

lemma *Int-UN-distrib2*: $(\bigcup_{i \in I}. A\ i) \cap (\bigcup_{j \in J}. B\ j) = (\bigcup_{i \in I}. \bigcup_{j \in J}. A\ i \cap B\ j)$

$\langle proof \rangle$

lemma *Un-INT-distrib2*: $(\bigcap_{i \in I}. A\ i) \cup (\bigcap_{j \in J}. B\ j) = (\bigcap_{i \in I}. \bigcap_{j \in J}. A\ i \cup B\ j)$

$\langle proof \rangle$

lemma *Union-disjoint*: $(\bigcup C \cap A = \{\}) \longleftrightarrow (\forall B \in C. B \cap A = \{\})$

$\langle proof \rangle$

lemma *SUP-UNION*: $(SUP\ x:(UN\ y:A. g\ y). f\ x) = (SUP\ y:A. SUP\ x:g\ y. f\ x ::$

$- :: complete-lattice)$

$\langle proof \rangle$

10.7 Injections and bijections

lemma *inj-on-Inter*: $S \neq \{\} \implies (\bigwedge A. A \in S \implies inj-on\ f\ A) \implies inj-on\ f\ (\bigcap S)$

$\langle proof \rangle$

lemma *inj-on-INTER*: $I \neq \{\} \implies (\bigwedge i. i \in I \implies inj-on\ f\ (A\ i)) \implies inj-on\ f\ (\bigcap_{i \in I}. A\ i)$

$\langle proof \rangle$

lemma *inj-on-UNION-chain*:

assumes *chain*: $\bigwedge i\ j. i \in I \implies j \in I \implies A\ i \leq A\ j \vee A\ j \leq A\ i$

and *inj*: $\bigwedge i. i \in I \implies inj-on\ f\ (A\ i)$

shows *inj-on* $f\ (\bigcup_{i \in I}. A\ i)$

$\langle proof \rangle$

lemma *bij-betw-UNION-chain*:

assumes *chain*: $\bigwedge i\ j. i \in I \implies j \in I \implies A\ i \leq A\ j \vee A\ j \leq A\ i$

and *bij*: $\bigwedge i. i \in I \implies bij-betw\ f\ (A\ i)\ (A'\ i)$

shows *bij-betw* $f\ (\bigcup_{i \in I}. A\ i)\ (\bigcup_{i \in I}. A'\ i)$

$\langle proof \rangle$

lemma *image-INT*: $inj-on\ f\ C \implies \forall x \in A. B\ x \subseteq C \implies j \in A \implies f\ ' (INTER$

$A \ B) = (INT \ x:A. f \ ' \ B \ x)$
 $\langle proof \rangle$

lemma *bij-image-INT*: $bij \ f \implies f \ ' \ (INTER \ A \ B) = (INT \ x:A. f \ ' \ B \ x)$
 $\langle proof \rangle$

lemma *UNION-fun-upd*: $UNION \ J \ (A(i := B)) = UNION \ (J - \{i\}) \ A \cup (if \ i \in J \ then \ B \ else \ \{\})$
 $\langle proof \rangle$

lemma *bij-betw-Pow*:
assumes *bij-betw* $f \ A \ B$
shows *bij-betw* $(image \ f) \ (Pow \ A) \ (Pow \ B)$
 $\langle proof \rangle$

10.7.1 Complement

lemma *Compl-INT* [simp]: $-(\bigcap_{x \in A}. B \ x) = (\bigcup_{x \in A}. -B \ x)$
 $\langle proof \rangle$

lemma *Compl-UN* [simp]: $-(\bigcup_{x \in A}. B \ x) = (\bigcap_{x \in A}. -B \ x)$
 $\langle proof \rangle$

10.7.2 Miniscoping and maxiscoping

Miniscoping: pushing in quantifiers and big Unions and Intersections.

lemma *UN-simps* [simp]:
 $\bigwedge a \ B \ C. (\bigcup_{x \in C}. insert \ a \ (B \ x)) = (if \ C = \{\} \ then \ \{\} \ else \ insert \ a \ (\bigcup_{x \in C}. B \ x))$
 $\bigwedge A \ B \ C. (\bigcup_{x \in C}. A \ x \cup B) = ((if \ C = \{\} \ then \ \{\} \ else \ (\bigcup_{x \in C}. A \ x) \cup B)$
 $\bigwedge A \ B \ C. (\bigcup_{x \in C}. A \cup B \ x) = ((if \ C = \{\} \ then \ \{\} \ else \ A \cup (\bigcup_{x \in C}. B \ x))$
 $\bigwedge A \ B \ C. (\bigcup_{x \in C}. A \ x \cap B) = ((\bigcup_{x \in C}. A \ x) \cap B)$
 $\bigwedge A \ B \ C. (\bigcup_{x \in C}. A \cap B \ x) = (A \cap (\bigcup_{x \in C}. B \ x))$
 $\bigwedge A \ B \ C. (\bigcup_{x \in C}. A \ x - B) = ((\bigcup_{x \in C}. A \ x) - B)$
 $\bigwedge A \ B \ C. (\bigcup_{x \in C}. A - B \ x) = (A - (\bigcap_{x \in C}. B \ x))$
 $\bigwedge A \ B. (\bigcup_{x \in \bigcup A}. B \ x) = (\bigcup_{y \in A}. \bigcup_{x \in y}. B \ x)$
 $\bigwedge A \ B \ C. (\bigcup_{z \in UNION \ A \ B}. C \ z) = (\bigcup_{x \in A}. \bigcup_{z \in B \ x}. C \ z)$
 $\bigwedge A \ B \ f. (\bigcup_{x \in f'A}. B \ x) = (\bigcup_{a \in A}. B \ (f \ a))$
 $\langle proof \rangle$

lemma *INT-simps* [simp]:
 $\bigwedge A \ B \ C. (\bigcap_{x \in C}. A \ x \cap B) = (if \ C = \{\} \ then \ UNIV \ else \ (\bigcap_{x \in C}. A \ x) \cap B)$
 $\bigwedge A \ B \ C. (\bigcap_{x \in C}. A \cap B \ x) = (if \ C = \{\} \ then \ UNIV \ else \ A \cap (\bigcap_{x \in C}. B \ x))$
 $\bigwedge A \ B \ C. (\bigcap_{x \in C}. A \ x - B) = (if \ C = \{\} \ then \ UNIV \ else \ (\bigcap_{x \in C}. A \ x) - B)$
 $\bigwedge A \ B \ C. (\bigcap_{x \in C}. A - B \ x) = (if \ C = \{\} \ then \ UNIV \ else \ A - (\bigcup_{x \in C}. B \ x))$
 $\bigwedge a \ B \ C. (\bigcap_{x \in C}. insert \ a \ (B \ x)) = insert \ a \ (\bigcap_{x \in C}. B \ x)$
 $\bigwedge A \ B \ C. (\bigcap_{x \in C}. A \ x \cup B) = ((\bigcap_{x \in C}. A \ x) \cup B)$
 $\bigwedge A \ B \ C. (\bigcap_{x \in C}. A \cup B \ x) = (A \cup (\bigcap_{x \in C}. B \ x))$
 $\bigwedge A \ B. (\bigcap_{x \in \bigcup A}. B \ x) = (\bigcap_{y \in A}. \bigcap_{x \in y}. B \ x)$

$$\begin{aligned} \bigwedge A B C. (\bigcap_{z \in \text{UNION } A B.} C z) &= (\bigcap_{x \in A.} \bigcap_{z \in B x.} C z) \\ \bigwedge A B f. (\bigcap_{x \in f'A.} B x) &= (\bigcap_{a \in A.} B (f a)) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *UN-ball-bex-simps* [*simp*]:

$$\begin{aligned} \bigwedge A P. (\forall x \in \bigcup A. P x) &\longleftrightarrow (\forall y \in A. \forall x \in y. P x) \\ \bigwedge A B P. (\forall x \in \text{UNION } A B. P x) &= (\forall a \in A. \forall x \in B a. P x) \\ \bigwedge A P. (\exists x \in \bigcup A. P x) &\longleftrightarrow (\exists y \in A. \exists x \in y. P x) \\ \bigwedge A B P. (\exists x \in \text{UNION } A B. P x) &\longleftrightarrow (\exists a \in A. \exists x \in B a. P x) \\ \langle \text{proof} \rangle \end{aligned}$$

Maxiscoping: pulling out big Unions and Intersections.

lemma *UN-extend-simps*:

$$\begin{aligned} \bigwedge a B C. \text{insert } a (\bigcup_{x \in C.} B x) &= (\text{if } C = \{\} \text{ then } \{a\} \text{ else } (\bigcup_{x \in C.} \text{insert } a (B x))) \\ \bigwedge A B C. (\bigcup_{x \in C.} A x) \cup B &= (\text{if } C = \{\} \text{ then } B \text{ else } (\bigcup_{x \in C.} A x \cup B)) \\ \bigwedge A B C. A \cup (\bigcup_{x \in C.} B x) &= (\text{if } C = \{\} \text{ then } A \text{ else } (\bigcup_{x \in C.} A \cup B x)) \\ \bigwedge A B C. ((\bigcup_{x \in C.} A x) \cap B) &= (\bigcup_{x \in C.} A x \cap B) \\ \bigwedge A B C. (A \cap (\bigcup_{x \in C.} B x)) &= (\bigcup_{x \in C.} A \cap B x) \\ \bigwedge A B C. ((\bigcup_{x \in C.} A x) - B) &= (\bigcup_{x \in C.} A x - B) \\ \bigwedge A B C. (A - (\bigcap_{x \in C.} B x)) &= (\bigcup_{x \in C.} A - B x) \\ \bigwedge A B. (\bigcup_{y \in A.} \bigcup_{x \in y.} B x) &= (\bigcup_{x \in \bigcup A.} B x) \\ \bigwedge A B C. (\bigcup_{x \in A.} \bigcup_{z \in B x.} C z) &= (\bigcup_{z \in \text{UNION } A B.} C z) \\ \bigwedge A B f. (\bigcup_{a \in A.} B (f a)) &= (\bigcup_{x \in f'A.} B x) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma *INT-extend-simps*:

$$\begin{aligned} \bigwedge A B C. (\bigcap_{x \in C.} A x) \cap B &= (\text{if } C = \{\} \text{ then } B \text{ else } (\bigcap_{x \in C.} A x \cap B)) \\ \bigwedge A B C. A \cap (\bigcap_{x \in C.} B x) &= (\text{if } C = \{\} \text{ then } A \text{ else } (\bigcap_{x \in C.} A \cap B x)) \\ \bigwedge A B C. (\bigcap_{x \in C.} A x) - B &= (\text{if } C = \{\} \text{ then } \text{UNIV} - B \text{ else } (\bigcap_{x \in C.} A x - B)) \\ \bigwedge A B C. A - (\bigcup_{x \in C.} B x) &= (\text{if } C = \{\} \text{ then } A \text{ else } (\bigcap_{x \in C.} A - B x)) \\ \bigwedge a B C. \text{insert } a (\bigcap_{x \in C.} B x) &= (\bigcap_{x \in C.} \text{insert } a (B x)) \\ \bigwedge A B C. ((\bigcap_{x \in C.} A x) \cup B) &= (\bigcap_{x \in C.} A x \cup B) \\ \bigwedge A B C. A \cup (\bigcap_{x \in C.} B x) &= (\bigcap_{x \in C.} A \cup B x) \\ \bigwedge A B. (\bigcap_{y \in A.} \bigcap_{x \in y.} B x) &= (\bigcap_{x \in \bigcup A.} B x) \\ \bigwedge A B C. (\bigcap_{x \in A.} \bigcap_{z \in B x.} C z) &= (\bigcap_{z \in \text{UNION } A B.} C z) \\ \bigwedge A B f. (\bigcap_{a \in A.} B (f a)) &= (\bigcap_{x \in f'A.} B x) \\ \langle \text{proof} \rangle \end{aligned}$$

Finally

lemmas *mem-simps* =

insert-iff empty-iff Un-iff Int-iff Compl-iff Diff-iff
mem-Collect-eq UN-iff Union-iff INT-iff Inter-iff
 — Each of these has ALREADY been added [*simp*] above.

end

11 Wrapping Existing Freely Generated Type’s Constructors

```

theory Ctr-Sugar
imports HOL
keywords
  print-case-translations :: diag and
  free-constructors :: thy-goal
begin

consts
  case-guard :: bool  $\Rightarrow$  'a  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'b
  case-nil :: 'a  $\Rightarrow$  'b
  case-cons :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b
  case-elem :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'a  $\Rightarrow$  'b
  case-abs :: ('c  $\Rightarrow$  'b)  $\Rightarrow$  'b

declare [[coercion-args case-guard - + -]]
declare [[coercion-args case-cons - -]]
declare [[coercion-args case-abs -]]
declare [[coercion-args case-elem - +]]

 $\langle ML \rangle$ 

lemma iffI-np:  $\llbracket x \Longrightarrow \neg y; \neg x \Longrightarrow y \rrbracket \Longrightarrow \neg x \longleftrightarrow y$ 
   $\langle proof \rangle$ 

lemma iff-contradict:
   $\neg P \Longrightarrow P \longleftrightarrow Q \Longrightarrow Q \Longrightarrow R$ 
   $\neg Q \Longrightarrow P \longleftrightarrow Q \Longrightarrow P \Longrightarrow R$ 
   $\langle proof \rangle$ 

 $\langle ML \rangle$ 

Coinduction method that avoids some boilerplate compared with coinduct.

 $\langle ML \rangle$ 

end

```

12 Knaster-Tarski Fixpoint Theorem and inductive definitions

```

theory Inductive
imports Complete-Lattices Ctr-Sugar
keywords
  inductive coinductive inductive-cases inductive-simps :: thy-decl and
  monos and
  print-inductives :: diag and

```

```

    old-rep-datatype :: thy-goal and
    primrec :: thy-decl
begin

```

12.1 Least fixed points

```

context complete-lattice
begin

```

```

definition lfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a
  where lfp f = Inf {u. f u  $\leq$  u}

```

```

lemma lfp-lowerbound: f A  $\leq$  A  $\Longrightarrow$  lfp f  $\leq$  A
  <proof>

```

```

lemma lfp-greatest: ( $\bigwedge u. f u \leq u \Longrightarrow A \leq u$ )  $\Longrightarrow A \leq \text{lfp } f$ 
  <proof>

```

```

end

```

```

lemma lfp-fixpoint:
  assumes mono f
  shows f (lfp f) = lfp f
  <proof>

```

```

lemma lfp-unfold: mono f  $\Longrightarrow \text{lfp } f = f (\text{lfp } f)$ 
  <proof>

```

```

lemma lfp-const: lfp ( $\lambda x. t$ ) = t
  <proof>

```

```

lemma lfp-eqI: mono F  $\Longrightarrow F x = x \Longrightarrow (\bigwedge z. F z = z \Longrightarrow x \leq z) \Longrightarrow \text{lfp } F = x$ 
  <proof>

```

12.2 General induction rules for least fixed points

```

lemma lfp-ordinal-induct [case-names mono step union]:
  fixes f :: 'a::complete-lattice  $\Rightarrow$  'a
  assumes mono: mono f
    and P-f:  $\bigwedge S. P S \Longrightarrow S \leq \text{lfp } f \Longrightarrow P (f S)$ 
    and P-Union:  $\bigwedge M. \forall S \in M. P S \Longrightarrow P (\text{Sup } M)$ 
  shows P (lfp f)
  <proof>

```

```

theorem lfp-induct:
  assumes mono: mono f
    and ind: f (inf (lfp f) P)  $\leq P$ 
  shows lfp f  $\leq P$ 
  <proof>

```

lemma *lfp-induct-set*:
assumes *lfp*: $a \in \text{lfp } f$
and *mono*: $\text{mono } f$
and *hyp*: $\bigwedge x. x \in f (\text{lfp } f \cap \{x. P \ x\}) \implies P \ x$
shows $P \ a$
 $\langle \text{proof} \rangle$

lemma *lfp-ordinal-induct-set*:
assumes *mono*: $\text{mono } f$
and *P-f*: $\bigwedge S. P \ S \implies P \ (f \ S)$
and *P-Union*: $\bigwedge M. \forall S \in M. P \ S \implies P \ (\bigcup M)$
shows $P \ (\text{lfp } f)$
 $\langle \text{proof} \rangle$

Definition forms of *lfp-unfold* and *lfp-induct*, to control unfolding.

lemma *def-lfp-unfold*: $h \equiv \text{lfp } f \implies \text{mono } f \implies h = f \ h$
 $\langle \text{proof} \rangle$

lemma *def-lfp-induct*: $A \equiv \text{lfp } f \implies \text{mono } f \implies f \ (\inf A \ P) \leq P \implies A \leq P$
 $\langle \text{proof} \rangle$

lemma *def-lfp-induct-set*:
 $A \equiv \text{lfp } f \implies \text{mono } f \implies a \in A \implies (\bigwedge x. x \in f \ (A \cap \{x. P \ x\}) \implies P \ x) \implies P \ a$
 $\langle \text{proof} \rangle$

Monotonicity of *lfp*!

lemma *lfp-mono*: $(\bigwedge Z. f \ Z \leq g \ Z) \implies \text{lfp } f \leq \text{lfp } g$
 $\langle \text{proof} \rangle$

12.3 Greatest fixed points

context *complete-lattice*
begin

definition *gfp* :: $('a \Rightarrow 'a) \Rightarrow 'a$
where $\text{gfp } f = \text{Sup } \{u. u \leq f \ u\}$

lemma *gfp-upperbound*: $X \leq f \ X \implies X \leq \text{gfp } f$
 $\langle \text{proof} \rangle$

lemma *gfp-least*: $(\bigwedge u. u \leq f \ u \implies u \leq X) \implies \text{gfp } f \leq X$
 $\langle \text{proof} \rangle$

end

lemma *lfp-le-gfp*: $\text{mono } f \implies \text{lfp } f \leq \text{gfp } f$
 $\langle \text{proof} \rangle$

lemma *gfp-fixpoint*:

assumes *mono f*

shows $f (gfp f) = fp f$

<proof>

lemma *gfp-unfold*: $mono f \implies fp f = f (fp f)$

<proof>

lemma *gfp-const*: $fp (\lambda x. t) = t$

<proof>

lemma *gfp-eqI*: $mono F \implies F x = x \implies (\bigwedge z. F z = z \implies z \leq x) \implies fp F = x$

<proof>

12.4 Coinduction rules for greatest fixed points

Weak version.

lemma *weak-coinduct*: $a \in X \implies X \subseteq f X \implies a \in fp f$

<proof>

lemma *weak-coinduct-image*: $a \in X \implies g'X \subseteq f (g'X) \implies g a \in fp f$

<proof>

lemma *coinduct-lemma*: $X \leq f (sup X (fp f)) \implies mono f \implies sup X (fp f) \leq f (sup X (fp f))$

<proof>

Strong version, thanks to Coen and Frost.

lemma *coinduct-set*: $mono f \implies a \in X \implies X \subseteq f (X \cup fp f) \implies a \in fp f$

<proof>

lemma *gfp-fun-UnI2*: $mono f \implies a \in fp f \implies a \in f (X \cup fp f)$

<proof>

lemma *gfp-ordinal-induct*[*case-names mono step union*]:

fixes $f :: 'a :: complete_lattice \Rightarrow 'a$

assumes *mono: mono f*

and *P-f*: $\bigwedge S. P S \implies fp f \leq S \implies P (f S)$

and *P-Union*: $\bigwedge M. \forall S \in M. P S \implies P (Inf M)$

shows $P (fp f)$

<proof>

lemma *coinduct*:

assumes *mono: mono f*

and *ind*: $X \leq f (sup X (fp f))$

shows $X \leq fp f$

<proof>

12.5 Even Stronger Coinduction Rule, by Martin Coen

Weakens the condition $X \subseteq f X$ to one expressed using both *lfp* and *gfp*

lemma *coinduct3-mono-lemma*: $\text{mono } f \implies \text{mono } (\lambda x. f x \cup X \cup B)$
 $\langle \text{proof} \rangle$

lemma *coinduct3-lemma*:

$X \subseteq f (\text{lfp } (\lambda x. f x \cup X \cup \text{gfp } f)) \implies \text{mono } f \implies$
 $\text{lfp } (\lambda x. f x \cup X \cup \text{gfp } f) \subseteq f (\text{lfp } (\lambda x. f x \cup X \cup \text{gfp } f))$
 $\langle \text{proof} \rangle$

lemma *coinduct3*: $\text{mono } f \implies a \in X \implies X \subseteq f (\text{lfp } (\lambda x. f x \cup X \cup \text{gfp } f)) \implies$
 $a \in \text{gfp } f$
 $\langle \text{proof} \rangle$

Definition forms of *gfp-unfold* and *coinduct*, to control unfolding.

lemma *def-gfp-unfold*: $A \equiv \text{gfp } f \implies \text{mono } f \implies A = f A$
 $\langle \text{proof} \rangle$

lemma *def-coinduct*: $A \equiv \text{gfp } f \implies \text{mono } f \implies X \leq f (\text{sup } X A) \implies X \leq A$
 $\langle \text{proof} \rangle$

lemma *def-coinduct-set*: $A \equiv \text{gfp } f \implies \text{mono } f \implies a \in X \implies X \subseteq f (X \cup A)$
 $\implies a \in A$
 $\langle \text{proof} \rangle$

lemma *def-Collect-coinduct*:

$A \equiv \text{gfp } (\lambda w. \text{Collect } (P w)) \implies \text{mono } (\lambda w. \text{Collect } (P w)) \implies a \in X \implies$
 $(\bigwedge z. z \in X \implies P (X \cup A) z) \implies a \in A$
 $\langle \text{proof} \rangle$

lemma *def-coinduct3*: $A \equiv \text{gfp } f \implies \text{mono } f \implies a \in X \implies X \subseteq f (\text{lfp } (\lambda x. f x$
 $\cup X \cup A)) \implies a \in A$
 $\langle \text{proof} \rangle$

Monotonicity of *gfp*!

lemma *gfp-mono*: $(\bigwedge Z. f Z \leq g Z) \implies \text{gfp } f \leq \text{gfp } g$
 $\langle \text{proof} \rangle$

12.6 Rules for fixed point calculus

lemma *lfp-rolling*:

assumes $\text{mono } g \text{ mono } f$
shows $g (\text{lfp } (\lambda x. f (g x))) = \text{lfp } (\lambda x. g (f x))$
 $\langle \text{proof} \rangle$

lemma *lfp-lfp*:

assumes $f: \bigwedge x y w z. x \leq y \implies w \leq z \implies f x w \leq f y z$
shows $\text{lfp } (\lambda x. \text{lfp } (f x)) = \text{lfp } (\lambda x. f x x)$

<proof>

lemma *gfp-rolling*:

assumes *mono g mono f*

shows $g (gfp (\lambda x. f (g x))) = gfp (\lambda x. g (f x))$

<proof>

lemma *gfp-gfp*:

assumes $f: \bigwedge x y w z. x \leq y \implies w \leq z \implies f x w \leq f y z$

shows $gfp (\lambda x. gfp (f x)) = gfp (\lambda x. f x x)$

<proof>

12.7 Inductive predicates and sets

Package setup.

lemmas *basic-monos* =

subset-refl imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj

Collect-mono in-mono vimage-mono

lemma *le-rel-bool-arg-iff*: $X \leq Y \longleftrightarrow X \text{ False} \leq Y \text{ False} \wedge X \text{ True} \leq Y \text{ True}$

<proof>

lemma *imp-conj-iff*: $((P \longrightarrow Q) \wedge P) = (P \wedge Q)$

<proof>

lemma *meta-fun-cong*: $P \equiv Q \implies P a \equiv Q a$

<proof>

<ML>

lemmas [*mono*] =

imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj

imp-mono not-mono

Ball-def Bex-def

induct-rulify-fallback

12.8 The Schroeder-Bernstein Theorem

See also:

- `$ISABELLE_HOME/src/HOL/ex/Set_Theory.thy`
- <http://planetmath.org/proofofschroederbernsteintheoremusingtarskiknasterttheorem>
- Springer LNCS 828 (cover page)

theorem *Schroeder-Bernstein*:

fixes $f :: 'a \Rightarrow 'b$ **and** $g :: 'b \Rightarrow 'a$

```

    and A :: 'a set and B :: 'b set
  assumes inj1: inj-on f A and sub1: f ' A ⊆ B
    and inj2: inj-on g B and sub2: g ' B ⊆ A
  shows ∃ h. bij-betw h A B
<proof>

```

12.9 Inductive datatypes and primitive recursion

Package setup.

<ML>

Lambda-abstractions with pattern matching:

```

syntax (ASCII)
  -lam-pats-syntax :: cases-syn ⇒ 'a ⇒ 'b ((%-) 10)
syntax
  -lam-pats-syntax :: cases-syn ⇒ 'a ⇒ 'b ((λ-) 10)
<ML>

```

end

13 Cartesian products

```

theory Product-Type
  imports Typedef Inductive Fun
  keywords inductive-set coinductive-set :: thy-decl
begin

```

13.1 bool is a datatype

```

free-constructors (discs-sels) case-bool for True | False
<proof>

```

Avoid name clashes by prefixing the output of *old-rep-datatype* with *old*.

<ML>

```

old-rep-datatype True False <proof>

```

<ML>

But erase the prefix for properties that are not generated by *free-constructors*.

<ML>

```

lemmas induct = old.bool.induct
lemmas inducts = old.bool.inducts
lemmas rec = old.bool.rec
lemmas_simps = bool.distinct bool.case bool.rec

```

<ML>

declare *case-split* [*cases type: bool*]
 — prefer plain propositional version

lemma [*code*]: *HOL.equal False P* $\longleftrightarrow \neg P$
and [*code*]: *HOL.equal True P* $\longleftrightarrow P$
and [*code*]: *HOL.equal P False* $\longleftrightarrow \neg P$
and [*code*]: *HOL.equal P True* $\longleftrightarrow P$
and [*code nbe*]: *HOL.equal P P* $\longleftrightarrow \text{True}$
 ⟨*proof*⟩

lemma *If-case-cert*:
 assumes *CASE* $\equiv (\lambda b. \text{If } b \text{ } f \text{ } g)$
 shows (*CASE True* $\equiv f$) &&& (*CASE False* $\equiv g$)
 ⟨*proof*⟩

⟨*ML*⟩

code-printing
constant *HOL.equal* :: *bool* \Rightarrow *bool* \Rightarrow *bool* \rightarrow (*Haskell*) **infix** 4 ==
 | **class-instance** *bool* :: *equal* \rightarrow (*Haskell*) –

13.2 The *unit* type

typedef *unit* = {*True*}
 ⟨*proof*⟩

definition *Unity* :: *unit* ('(*()*)
 where *()* = *Abs-unit True*

lemma *unit-eq* [*no-atp*]: *u* = *()*
 ⟨*proof*⟩

Simplification procedure for *unit-eq*. Cannot use this rule directly — it loops!

⟨*ML*⟩

free-constructors *case-unit* **for** *()*
 ⟨*proof*⟩

Avoid name clashes by prefixing the output of *old-rep-datatype* with *old*.

⟨*ML*⟩

old-rep-datatype *()* ⟨*proof*⟩

⟨*ML*⟩

But erase the prefix for properties that are not generated by *free-constructors*.

⟨*ML*⟩


```

lemmas induct = old.unit.induct
lemmas inducts = old.unit.inducts
lemmas rec = old.unit.rec
lemmas simps = unit.case unit.rec

```

$\langle ML \rangle$

```

lemma unit-all-eq1: ( $\bigwedge x::unit. PROP P x$ )  $\equiv$  PROP P ()
   $\langle proof \rangle$ 

```

```

lemma unit-all-eq2: ( $\bigwedge x::unit. PROP P$ )  $\equiv$  PROP P
   $\langle proof \rangle$ 

```

This rewrite counters the effect of *simproc unit-eq* on $\lambda u::unit. f u$, replacing it by f rather than by $\lambda u. f ()$.

```

lemma unit-abs-eta-conv [simp]: ( $\lambda u::unit. f ()$ ) = f
   $\langle proof \rangle$ 

```

```

lemma UNIV-unit: UNIV = {()}
   $\langle proof \rangle$ 

```

```

instantiation unit :: default
begin

```

```

definition default = ()

```

```

instance  $\langle proof \rangle$ 

```

```

end

```

```

instantiation unit :: {complete-boolean-algebra, complete-linorder, wellorder}
begin

```

```

definition less-eq-unit :: unit  $\Rightarrow$  unit  $\Rightarrow$  bool
  where ( $-::unit$ )  $\leq - \longleftrightarrow$  True

```

```

lemma less-eq-unit [iff]:  $u \leq v$  for  $u v :: unit$ 
   $\langle proof \rangle$ 

```

```

definition less-unit :: unit  $\Rightarrow$  unit  $\Rightarrow$  bool
  where ( $-::unit$ )  $< - \longleftrightarrow$  False

```

```

lemma less-unit [iff]:  $\neg u < v$  for  $u v :: unit$ 
   $\langle proof \rangle$ 

```

```

definition bot-unit :: unit
  where [code-unfold]:  $\perp = ()$ 

```

```

definition top-unit :: unit
  where [code-unfold]:  $\top = ()$ 

definition inf-unit :: unit  $\Rightarrow$  unit  $\Rightarrow$  unit
  where [simp]:  $- \sqcap - = ()$ 

definition sup-unit :: unit  $\Rightarrow$  unit  $\Rightarrow$  unit
  where [simp]:  $- \sqcup - = ()$ 

definition Inf-unit :: unit set  $\Rightarrow$  unit
  where [simp]:  $\bigcap - = ()$ 

definition Sup-unit :: unit set  $\Rightarrow$  unit
  where [simp]:  $\bigcup - = ()$ 

definition uminus-unit :: unit  $\Rightarrow$  unit
  where [simp]:  $- - = ()$ 

declare less-eq-unit-def [abs-def, code-unfold]
  less-unit-def [abs-def, code-unfold]
  inf-unit-def [abs-def, code-unfold]
  sup-unit-def [abs-def, code-unfold]
  Inf-unit-def [abs-def, code-unfold]
  Sup-unit-def [abs-def, code-unfold]
  uminus-unit-def [abs-def, code-unfold]

instance
  <proof>

end

lemma [code]: HOL.equal u v  $\longleftrightarrow$  True for u v :: unit
  <proof>

code-printing
  type-constructor unit  $\rightarrow$ 
    (SML) unit
    and (OCaml) unit
    and (Haskell)  $()$ 
    and (Scala) Unit
| constant Unity  $\rightarrow$ 
  (SML)  $()$ 
  and (OCaml)  $()$ 
  and (Haskell)  $()$ 
  and (Scala)  $()$ 
| class-instance unit :: equal  $\rightarrow$ 
  (Haskell)  $-$ 
| constant HOL.equal :: unit  $\Rightarrow$  unit  $\Rightarrow$  bool  $\rightarrow$ 
  (Haskell) infix 4 ==

```

code-reserved *SML*

unit

code-reserved *OCaml*

unit

code-reserved *Scala*

Unit

13.3 The product type

13.3.1 Type definition

definition *Pair-Rep* :: $'a \Rightarrow 'b \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$
where *Pair-Rep* *a b* = $(\lambda x y. x = a \wedge y = b)$

definition *prod* = $\{f. \exists a b. f = \text{Pair-Rep } (a::'a) (b::'b)\}$

typedef (*'a, 'b*) *prod* ((- \times / -) [21, 20] 20) = *prod* :: ($'a \Rightarrow 'b \Rightarrow \text{bool}$) *set*
 $\langle \text{proof} \rangle$

type-notation (*ASCII*)
prod (**infixr** * 20)

definition *Pair* :: $'a \Rightarrow 'b \Rightarrow 'a \times 'b$
where *Pair* *a b* = *Abs-prod* (*Pair-Rep* *a b*)

lemma *prod-cases*: $(\bigwedge a b. P (\text{Pair } a b)) \Longrightarrow P p$
 $\langle \text{proof} \rangle$

free-constructors *case-prod* **for** *Pair* *fst* *snd*
 $\langle \text{proof} \rangle$

Avoid name clashes by prefixing the output of *old-rep-datatype* with *old*.

$\langle \text{ML} \rangle$

old-rep-datatype *Pair*
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

But erase the prefix for properties that are not generated by *free-constructors*.

$\langle \text{ML} \rangle$

declare *old.prod.inject* [*iff del*]

lemmas *induct* = *old.prod.induct*
lemmas *inducts* = *old.prod.inducts*

```

lemmas rec = old.prod.rec
lemmas simps = prod.inject prod.case prod.rec

```

⟨ML⟩

```

declare prod.case [nitpick-simp del]
declare old.prod.case-cong-weak [cong del]
declare prod.case-eq-if [mono]
declare prod.split [no-atp]
declare prod.split-asm [no-atp]

```

prod.split could be declared as [*split*] done after the Splitter has been speeded up significantly; precompute the constants involved and don’t do anything unless the current goal contains one of those constants.

13.3.2 Tuple syntax

Patterns – extends pre-defined type *pttrn* used in abstractions.

nonterminal *tuple-args* and *patterns*

syntax

```

-tuple      :: 'a ⇒ tuple-args ⇒ 'a × 'b      ((1'(-, -'))
-tuple-arg  :: 'a ⇒ tuple-args                  (-)
-tuple-args :: 'a ⇒ tuple-args ⇒ tuple-args      (-, / -)
-pattern    :: pttrn ⇒ patterns ⇒ pttrn        (('(-, / -'))
              :: pttrn ⇒ patterns                (-)
-patterns   :: pttrn ⇒ patterns ⇒ patterns      (-, / -)
-unit       :: pttrn                          (('() )

```

translations

```

(x, y) ⇒ CONST Pair x y
-pattern x y ⇒ CONST Pair x y
-patterns x y ⇒ CONST Pair x y
-tuple x (-tuple-args y z) ⇒ -tuple x (-tuple-arg (-tuple y z))
λ(x, y, zs). b ⇒ CONST case-prod (λx (y, zs). b)
λ(x, y). b ⇒ CONST case-prod (λx y. b)
-abs (CONST Pair x y) t ↦ λ(x, y). t
— This rule accommodates tuples in case C ... (x, y) ... ⇒ ...: The (x, y) is
parsed as Pair x y because it is logic, not pttrn.
λ(). b ⇒ CONST case-unit b
-abs (CONST Unity) t ↦ λ(). t

```

print *case-prod f* as *case-prod f* and *case-prod f* as *case-prod f*

⟨ML⟩

Reconstruct pattern from (nested) *case-prods*, avoiding eta-contraction of body; required for enclosing ”let”, if ”let” does not avoid eta-contraction, which has been observed to occur.

⟨ML⟩

13.3.3 Code generator setup

code-printing

```

type-constructor prod  $\rightarrow$ 
  (SML) infix 2 *
  and (OCaml) infix 2 *
  and (Haskell) !((-),/ (-))
  and (Scala) ((-),/ (-))
| constant Pair  $\rightarrow$ 
  (SML) !((-),/ (-))
  and (OCaml) !((-),/ (-))
  and (Haskell) !((-),/ (-))
  and (Scala) !((-),/ (-))
| class-instance prod :: equal  $\rightarrow$ 
  (Haskell) –
| constant HOL.equal :: 'a  $\times$  'b  $\Rightarrow$  'a  $\times$  'b  $\Rightarrow$  bool  $\rightarrow$ 
  (Haskell) infix 4 ==
| constant fst  $\rightarrow$  (Haskell) fst
| constant snd  $\rightarrow$  (Haskell) snd

```

13.3.4 Fundamental operations and properties

lemma *Pair-inject*: $(a, b) = (a', b') \Longrightarrow (a = a' \Longrightarrow b = b' \Longrightarrow R) \Longrightarrow R$
 ⟨*proof*⟩

lemma *surj-pair* [*simp*]: $\exists x y. p = (x, y)$
 ⟨*proof*⟩

lemma *fst-eqD*: $\text{fst } (x, y) = a \Longrightarrow x = a$
 ⟨*proof*⟩

lemma *snd-eqD*: $\text{snd } (x, y) = a \Longrightarrow y = a$
 ⟨*proof*⟩

lemma *case-prod-unfold* [*nitpick-unfold*]: $\text{case-prod} = (\lambda c p. c (\text{fst } p) (\text{snd } p))$
 ⟨*proof*⟩

lemma *case-prod-conv* [*simp*, *code*]: $(\text{case } (a, b) \text{ of } (c, d) \Rightarrow f c d) = f a b$
 ⟨*proof*⟩

lemmas *surjective-pairing* = *prod.collapse* [*symmetric*]

lemma *prod-eq-iff*: $s = t \longleftrightarrow \text{fst } s = \text{fst } t \wedge \text{snd } s = \text{snd } t$
 ⟨*proof*⟩

lemma *prod-eqI* [*intro?*]: $\text{fst } p = \text{fst } q \Longrightarrow \text{snd } p = \text{snd } q \Longrightarrow p = q$
 ⟨*proof*⟩

lemma *case-prodI*: $f a b \Longrightarrow \text{case } (a, b) \text{ of } (c, d) \Rightarrow f c d$
 ⟨*proof*⟩

lemma *case-prodD*: $(\text{case } (a, b) \text{ of } (c, d) \Rightarrow f \ c \ d) \Longrightarrow f \ a \ b$
 $\langle \text{proof} \rangle$

lemma *case-prod-Pair* [simp]: $\text{case-prod } \text{Pair} = \text{id}$
 $\langle \text{proof} \rangle$

lemma *case-prod-eta*: $(\lambda(x, y). f \ (x, y)) = f$
 — Subsumes the old *split-Pair* when f is the identity function.
 $\langle \text{proof} \rangle$

lemma *case-prod-comp*: $(\text{case } x \text{ of } (a, b) \Rightarrow (f \circ g) \ a \ b) = f \ (g \ (\text{fst } x)) \ (\text{snd } x)$
 $\langle \text{proof} \rangle$

lemma *The-case-prod*: $\text{The } (\text{case-prod } P) = (\text{THE } xy. P \ (\text{fst } xy) \ (\text{snd } xy))$
 $\langle \text{proof} \rangle$

lemma *cond-case-prod-eta*: $(\bigwedge x \ y. f \ x \ y = g \ (x, y)) \Longrightarrow (\lambda(x, y). f \ x \ y) = g$
 $\langle \text{proof} \rangle$

lemma *split-paired-all* [no-atp]: $(\bigwedge x. \text{PROP } P \ x) \equiv (\bigwedge a \ b. \text{PROP } P \ (a, b))$
 $\langle \text{proof} \rangle$

The rule *split-paired-all* does not work with the Simplifier because it also affects premises in congruence rules, where this can lead to premises of the form $\bigwedge a \ b. \dots = ?P(a, b)$ which cannot be solved by reflexivity.

lemmas *split-tupled-all* = *split-paired-all unit-all-eq2*

$\langle \text{ML} \rangle$

lemma *split-paired-All* [simp, no-atp]: $(\forall x. P \ x) \longleftrightarrow (\forall a \ b. P \ (a, b))$
 — [iff] is not a good idea because it makes *blast* loop
 $\langle \text{proof} \rangle$

lemma *split-paired-Ex* [simp, no-atp]: $(\exists x. P \ x) \longleftrightarrow (\exists a \ b. P \ (a, b))$
 $\langle \text{proof} \rangle$

lemma *split-paired-The* [no-atp]: $(\text{THE } x. P \ x) = (\text{THE } (a, b). P \ (a, b))$
 — Can’t be added to simpset: loops!
 $\langle \text{proof} \rangle$

Simplification procedure for *cond-case-prod-eta*. Using *case-prod-eta* as a rewrite rule is not general enough, and using *cond-case-prod-eta* directly would render some existing proofs very inefficient; similarly for *prod.case-eq-if*.

$\langle \text{ML} \rangle$

lemma *case-prod-beta'*: $(\lambda(x, y). f \ x \ y) = (\lambda x. f \ (\text{fst } x) \ (\text{snd } x))$
 $\langle \text{proof} \rangle$

case-prod used as a logical connective or set former.

These rules are for use with *blast*; could instead call *simp* using *prod.split* as rewrite.

lemma *case-prodI2*:

$\bigwedge p. (\bigwedge a b. p = (a, b) \implies c a b) \implies \text{case } p \text{ of } (a, b) \Rightarrow c a b$
 $\langle \text{proof} \rangle$

lemma *case-prodI2'*:

$\bigwedge p. (\bigwedge a b. (a, b) = p \implies c a b x) \implies (\text{case } p \text{ of } (a, b) \Rightarrow c a b) x$
 $\langle \text{proof} \rangle$

lemma *case-prodE* [elim!]:

$(\text{case } p \text{ of } (a, b) \Rightarrow c a b) \implies (\bigwedge x y. p = (x, y) \implies c x y \implies Q) \implies Q$
 $\langle \text{proof} \rangle$

lemma *case-prodE'* [elim!]:

$(\text{case } p \text{ of } (a, b) \Rightarrow c a b) z \implies (\bigwedge x y. p = (x, y) \implies c x y z \implies Q) \implies Q$
 $\langle \text{proof} \rangle$

lemma *case-prodE2*:

assumes $q: Q (\text{case } z \text{ of } (a, b) \Rightarrow P a b)$
and $r: \bigwedge x y. z = (x, y) \implies Q (P x y) \implies R$
shows R
 $\langle \text{proof} \rangle$

lemma *case-prodD'*: $(\text{case } (a, b) \text{ of } (c, d) \Rightarrow R c d) c \implies R a b c$

$\langle \text{proof} \rangle$

lemma *mem-case-prodI*: $z \in c a b \implies z \in (\text{case } (a, b) \text{ of } (d, e) \Rightarrow c d e)$

$\langle \text{proof} \rangle$

lemma *mem-case-prodI2* [intro!]:

$\bigwedge p. (\bigwedge a b. p = (a, b) \implies z \in c a b) \implies z \in (\text{case } p \text{ of } (a, b) \Rightarrow c a b)$
 $\langle \text{proof} \rangle$

declare *mem-case-prodI* [intro!] — postponed to maintain traditional declaration order!

declare *case-prodI2'* [intro!] — postponed to maintain traditional declaration order!

declare *case-prodI2* [intro!] — postponed to maintain traditional declaration order!

declare *case-prodI* [intro!] — postponed to maintain traditional declaration order!

lemma *mem-case-prodE* [elim!]:

assumes $z \in \text{case-prod } c p$
obtains $x y$ **where** $p = (x, y)$ **and** $z \in c x y$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

lemma *split-eta-SetCompr* [*simp*, *no-atp*]: $(\lambda u. \exists x y. u = (x, y) \wedge P (x, y)) = P$
 $\langle proof \rangle$

lemma *split-eta-SetCompr2* [*simp*, *no-atp*]: $(\lambda u. \exists x y. u = (x, y) \wedge P x y) =$
 $case\text{-}prod P$
 $\langle proof \rangle$

lemma *split-part* [*simp*]: $(\lambda(a,b). P \wedge Q a b) = (\lambda ab. P \wedge case\text{-}prod Q ab)$
 — Allows simplifications of nested splits in case of independent predicates.
 $\langle proof \rangle$

lemma *split-comp-eq*:
fixes $f :: 'a \Rightarrow 'b \Rightarrow 'c$
and $g :: 'd \Rightarrow 'a$
shows $(\lambda u. f (g (fst u)) (snd u)) = case\text{-}prod (\lambda x. f (g x))$
 $\langle proof \rangle$

lemma *pair-imageI* [*intro*]: $(a, b) \in A \Longrightarrow f a b \in (\lambda(a, b). f a b) 'A$
 $\langle proof \rangle$

lemma *The-split-eq* [*simp*]: $(THE (x',y'). x = x' \wedge y = y') = (x, y)$
 $\langle proof \rangle$

lemma *case-prod-beta*: $case\text{-}prod f p = f (fst p) (snd p)$
 $\langle proof \rangle$

lemma *prod-cases3* [*cases type*]:
obtains $(fields) a b c$ **where** $y = (a, b, c)$
 $\langle proof \rangle$

lemma *prod-induct3* [*case-names fields*, *induct type*]:
 $(\bigwedge a b c. P (a, b, c)) \Longrightarrow P x$
 $\langle proof \rangle$

lemma *prod-cases4* [*cases type*]:
obtains $(fields) a b c d$ **where** $y = (a, b, c, d)$
 $\langle proof \rangle$

lemma *prod-induct4* [*case-names fields*, *induct type*]:
 $(\bigwedge a b c d. P (a, b, c, d)) \Longrightarrow P x$
 $\langle proof \rangle$

lemma *prod-cases5* [*cases type*]:

obtains (*fields*) $a\ b\ c\ d\ e$ **where** $y = (a, b, c, d, e)$
 $\langle proof \rangle$

lemma *prod-induct5* [*case-names fields, induct type*]:
 $(\bigwedge a\ b\ c\ d\ e. P\ (a, b, c, d, e)) \implies P\ x$
 $\langle proof \rangle$

lemma *prod-cases6* [*cases type*]:
obtains (*fields*) $a\ b\ c\ d\ e\ f$ **where** $y = (a, b, c, d, e, f)$
 $\langle proof \rangle$

lemma *prod-induct6* [*case-names fields, induct type*]:
 $(\bigwedge a\ b\ c\ d\ e\ f. P\ (a, b, c, d, e, f)) \implies P\ x$
 $\langle proof \rangle$

lemma *prod-cases7* [*cases type*]:
obtains (*fields*) $a\ b\ c\ d\ e\ f\ g$ **where** $y = (a, b, c, d, e, f, g)$
 $\langle proof \rangle$

lemma *prod-induct7* [*case-names fields, induct type*]:
 $(\bigwedge a\ b\ c\ d\ e\ f\ g. P\ (a, b, c, d, e, f, g)) \implies P\ x$
 $\langle proof \rangle$

definition *internal-case-prod* :: $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c$
where *internal-case-prod* \equiv *case-prod*

lemma *internal-case-prod-conv*: *internal-case-prod* $c\ (a, b) = c\ a\ b$
 $\langle proof \rangle$

$\langle ML \rangle$

hide-const *internal-case-prod*

13.3.5 Derived operations

definition *curry* :: $('a \times 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c$
where *curry* $= (\lambda c\ x\ y. c\ (x, y))$

lemma *curry-conv* [*simp, code*]: *curry* $f\ a\ b = f\ (a, b)$
 $\langle proof \rangle$

lemma *curryI* [*intro!*]: $f\ (a, b) \implies \text{curry}\ f\ a\ b$
 $\langle proof \rangle$

lemma *curryD* [*dest!*]: $\text{curry}\ f\ a\ b \implies f\ (a, b)$
 $\langle proof \rangle$

lemma *curryE*: $\text{curry}\ f\ a\ b \implies (f\ (a, b) \implies Q) \implies Q$
 $\langle proof \rangle$

lemma *curry-case-prod* [*simp*]: $\text{curry } (\text{case-prod } f) = f$
 $\langle \text{proof} \rangle$

lemma *case-prod-curry* [*simp*]: $\text{case-prod } (\text{curry } f) = f$
 $\langle \text{proof} \rangle$

lemma *curry-K*: $\text{curry } (\lambda x. c) = (\lambda x y. c)$
 $\langle \text{proof} \rangle$

The composition-uncurry combinator.

notation *fcomp* (**infixl** $\circ>$ 60)

definition *scomp* :: $('a \Rightarrow 'b \times 'c) \Rightarrow ('b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'd$ (**infixl** $\circ\rightarrow$ 60)
where $f \circ\rightarrow g = (\lambda x. \text{case-prod } g (f x))$

lemma *scomp-unfold*: $\text{scomp} = (\lambda f g x. g (\text{fst } (f x)) (\text{snd } (f x)))$
 $\langle \text{proof} \rangle$

lemma *scomp-apply* [*simp*]: $(f \circ\rightarrow g) x = \text{case-prod } g (f x)$
 $\langle \text{proof} \rangle$

lemma *Pair-scomp*: $\text{Pair } x \circ\rightarrow f = f x$
 $\langle \text{proof} \rangle$

lemma *scomp-Pair*: $x \circ\rightarrow \text{Pair} = x$
 $\langle \text{proof} \rangle$

lemma *scomp-scomp*: $(f \circ\rightarrow g) \circ\rightarrow h = f \circ\rightarrow (\lambda x. g x \circ\rightarrow h)$
 $\langle \text{proof} \rangle$

lemma *scomp-fcomp*: $(f \circ\rightarrow g) \circ> h = f \circ\rightarrow (\lambda x. g x \circ> h)$
 $\langle \text{proof} \rangle$

lemma *fcomp-scomp*: $(f \circ> g) \circ\rightarrow h = f \circ> (g \circ\rightarrow h)$
 $\langle \text{proof} \rangle$

code-printing

constant *scomp* $\rightarrow (\text{Eval})$ **infixl** 3 $\#-\rightarrow$

no-notation *fcomp* (**infixl** $\circ>$ 60)

no-notation *scomp* (**infixl** $\circ\rightarrow$ 60)

map-prod — action of the product functor upon functions.

definition *map-prod* :: $('a \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'd) \Rightarrow 'a \times 'b \Rightarrow 'c \times 'd$
where $\text{map-prod } f g = (\lambda (x, y). (f x, g y))$

lemma *map-prod-simp* [*simp*, *code*]: $\text{map-prod } f g (a, b) = (f a, g b)$
 $\langle \text{proof} \rangle$

functor *map-prod*: *map-prod*
 ⟨*proof*⟩

lemma *fst-map-prod* [*simp*]: *fst* (*map-prod* *f g x*) = *f* (*fst x*)
 ⟨*proof*⟩

lemma *snd-map-prod* [*simp*]: *snd* (*map-prod* *f g x*) = *g* (*snd x*)
 ⟨*proof*⟩

lemma *fst-comp-map-prod* [*simp*]: *fst* ∘ *map-prod f g* = *f* ∘ *fst*
 ⟨*proof*⟩

lemma *snd-comp-map-prod* [*simp*]: *snd* ∘ *map-prod f g* = *g* ∘ *snd*
 ⟨*proof*⟩

lemma *map-prod-compose*: *map-prod* (*f1* ∘ *f2*) (*g1* ∘ *g2*) = (*map-prod f1 g1* ∘
map-prod f2 g2)
 ⟨*proof*⟩

lemma *map-prod-ident* [*simp*]: *map-prod* ($\lambda x. x$) ($\lambda y. y$) = ($\lambda z. z$)
 ⟨*proof*⟩

lemma *map-prod-imageI* [*intro*]: $(a, b) \in R \implies (f\ a, g\ b) \in \text{map-prod } f\ g\ \text{' } R$
 ⟨*proof*⟩

lemma *prod-fun-imageE* [*elim!*]:
assumes *major*: $c \in \text{map-prod } f\ g\ \text{' } R$
and cases: $\bigwedge x\ y. c = (f\ x, g\ y) \implies (x, y) \in R \implies P$
shows *P*
 ⟨*proof*⟩

definition *apfst* :: $('a \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c \times 'b$
where *apfst f* = *map-prod f id*

definition *apsnd* :: $('b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'a \times 'c$
where *apsnd f* = *map-prod id f*

lemma *apfst-conv* [*simp*, *code*]: *apfst f* (*x*, *y*) = (*f x*, *y*)
 ⟨*proof*⟩

lemma *apsnd-conv* [*simp*, *code*]: *apsnd f* (*x*, *y*) = (*x*, *f y*)
 ⟨*proof*⟩

lemma *fst-apfst* [*simp*]: *fst* (*apfst f x*) = *f* (*fst x*)
 ⟨*proof*⟩

lemma *fst-comp-apfst* [*simp*]: *fst* ∘ *apfst f* = *f* ∘ *fst*
 ⟨*proof*⟩

lemma *fst-apsnd [simp]:* $\text{fst } (\text{apsnd } f \ x) = \text{fst } x$
<proof>

lemma *fst-comp-apsnd [simp]:* $\text{fst } \circ \text{apsnd } f = \text{fst}$
<proof>

lemma *snd-apfst [simp]:* $\text{snd } (\text{apfst } f \ x) = \text{snd } x$
<proof>

lemma *snd-comp-apfst [simp]:* $\text{snd } \circ \text{apfst } f = \text{snd}$
<proof>

lemma *snd-apsnd [simp]:* $\text{snd } (\text{apsnd } f \ x) = f \ (\text{snd } x)$
<proof>

lemma *snd-comp-apsnd [simp]:* $\text{snd } \circ \text{apsnd } f = f \circ \text{snd}$
<proof>

lemma *apfst-compose:* $\text{apfst } f \ (\text{apfst } g \ x) = \text{apfst } (f \circ g) \ x$
<proof>

lemma *apsnd-compose:* $\text{apsnd } f \ (\text{apsnd } g \ x) = \text{apsnd } (f \circ g) \ x$
<proof>

lemma *apfst-apsnd [simp]:* $\text{apfst } f \ (\text{apsnd } g \ x) = (f \ (\text{fst } x), g \ (\text{snd } x))$
<proof>

lemma *apsnd-apfst [simp]:* $\text{apsnd } f \ (\text{apfst } g \ x) = (g \ (\text{fst } x), f \ (\text{snd } x))$
<proof>

lemma *apfst-id [simp]:* $\text{apfst } \text{id} = \text{id}$
<proof>

lemma *apsnd-id [simp]:* $\text{apsnd } \text{id} = \text{id}$
<proof>

lemma *apfst-eq-conv [simp]:* $\text{apfst } f \ x = \text{apfst } g \ x \longleftrightarrow f \ (\text{fst } x) = g \ (\text{fst } x)$
<proof>

lemma *apsnd-eq-conv [simp]:* $\text{apsnd } f \ x = \text{apsnd } g \ x \longleftrightarrow f \ (\text{snd } x) = g \ (\text{snd } x)$
<proof>

lemma *apsnd-apfst-commute:* $\text{apsnd } f \ (\text{apfst } g \ p) = \text{apfst } g \ (\text{apsnd } f \ p)$
<proof>

context
begin

$\langle ML \rangle$

definition $swap :: 'a \times 'b \Rightarrow 'b \times 'a$
where $swap\ p = (snd\ p, fst\ p)$

end

lemma $swap-simp\ [simp]: prod.swap\ (x, y) = (y, x)$
 $\langle proof \rangle$

lemma $swap-swap\ [simp]: prod.swap\ (prod.swap\ p) = p$
 $\langle proof \rangle$

lemma $swap-comp-swap\ [simp]: prod.swap \circ prod.swap = id$
 $\langle proof \rangle$

lemma $pair-in-swap-image\ [simp]: (y, x) \in prod.swap\ `A \longleftrightarrow (x, y) \in A$
 $\langle proof \rangle$

lemma $inj-swap\ [simp]: inj-on\ prod.swap\ A$
 $\langle proof \rangle$

lemma $swap-inj-on: inj-on\ (\lambda(i, j). (j, i))\ A$
 $\langle proof \rangle$

lemma $surj-swap\ [simp]: surj\ prod.swap$
 $\langle proof \rangle$

lemma $bij-swap\ [simp]: bij\ prod.swap$
 $\langle proof \rangle$

lemma $case-swap\ [simp]: (case\ prod.swap\ p\ of\ (y, x) \Rightarrow f\ x\ y) = (case\ p\ of\ (x, y) \Rightarrow f\ x\ y)$
 $\langle proof \rangle$

lemma $fst-swap\ [simp]: fst\ (prod.swap\ x) = snd\ x$
 $\langle proof \rangle$

lemma $snd-swap\ [simp]: snd\ (prod.swap\ x) = fst\ x$
 $\langle proof \rangle$

Disjoint union of a family of sets – Sigma.

definition $Sigma :: 'a\ set \Rightarrow ('a \Rightarrow 'b\ set) \Rightarrow ('a \times 'b)\ set$
where $Sigma\ A\ B \equiv \bigcup_{x \in A}. \bigcup_{y \in B\ x}. \{Pair\ x\ y\}$

abbreviation $Times :: 'a\ set \Rightarrow 'b\ set \Rightarrow ('a \times 'b)\ set\ (\mathbf{infixr}\ \times\ 80)$
where $A \times B \equiv Sigma\ A\ (\lambda_. B)$

hide-const **(open)** $Times$

syntax

$\text{-Sigma} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a \times 'b) \text{ set} \ ((3\text{SIGMA} \text{ :-./ -}) [0, 0, 10] 10)$

translations

$\text{SIGMA } x:A. B \equiv \text{CONST Sigma } A (\lambda x. B)$

lemma *SigmaI* [intro!]: $a \in A \implies b \in B \implies (a, b) \in \text{Sigma } A B$
 $\langle \text{proof} \rangle$

lemma *SigmaE* [elim!]: $c \in \text{Sigma } A B \implies (\bigwedge x y. x \in A \implies y \in B \implies c = (x, y) \implies P) \implies P$
 — The general elimination rule.
 $\langle \text{proof} \rangle$

Elimination of $(a, b) \in A \times B$ – introduces no eigenvariables.

lemma *SigmaD1*: $(a, b) \in \text{Sigma } A B \implies a \in A$
 $\langle \text{proof} \rangle$

lemma *SigmaD2*: $(a, b) \in \text{Sigma } A B \implies b \in B \ a$
 $\langle \text{proof} \rangle$

lemma *SigmaE2*: $(a, b) \in \text{Sigma } A B \implies (a \in A \implies b \in B \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *Sigma-cong*: $A = B \implies (\bigwedge x. x \in B \implies C x = D x) \implies (\text{SIGMA } x:A. C x) = (\text{SIGMA } x:B. D x)$
 $\langle \text{proof} \rangle$

lemma *Sigma-mono*: $A \subseteq C \implies (\bigwedge x. x \in A \implies B x \subseteq D x) \implies \text{Sigma } A B \subseteq \text{Sigma } C D$
 $\langle \text{proof} \rangle$

lemma *Sigma-empty1* [simp]: $\text{Sigma } \{\} B = \{\}$
 $\langle \text{proof} \rangle$

lemma *Sigma-empty2* [simp]: $A \times \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma *UNIV-Times-UNIV* [simp]: $\text{UNIV} \times \text{UNIV} = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *Compl-Times-UNIV1* [simp]: $-(\text{UNIV} \times A) = \text{UNIV} \times (-A)$
 $\langle \text{proof} \rangle$

lemma *Compl-Times-UNIV2* [simp]: $-(A \times \text{UNIV}) = (-A) \times \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *mem-Sigma-iff* [iff]: $(a, b) \in \text{Sigma } A B \iff a \in A \wedge b \in B \ a$

<proof>

lemma *mem-Times-iff*: $x \in A \times B \longleftrightarrow \text{fst } x \in A \wedge \text{snd } x \in B$
<proof>

lemma *Sigma-empty-iff*: $(\text{SIGMA } i:I. X \ i) = \{\} \longleftrightarrow (\forall i \in I. X \ i = \{\})$
<proof>

lemma *Times-subset-cancel2*: $x \in C \implies A \times C \subseteq B \times C \longleftrightarrow A \subseteq B$
<proof>

lemma *Times-eq-cancel2*: $x \in C \implies A \times C = B \times C \longleftrightarrow A = B$
<proof>

lemma *Collect-case-prod-Sigma*: $\{(x, y). P \ x \wedge Q \ x \ y\} = (\text{SIGMA } x:\text{Collect } P. \text{Collect } (Q \ x))$
<proof>

lemma *Collect-case-prod [simp]*: $\{(a, b). P \ a \wedge Q \ b\} = \text{Collect } P \times \text{Collect } Q$
<proof>

lemma *Collect-case-prodD*: $x \in \text{Collect } (\text{case-prod } A) \implies A \ (\text{fst } x) \ (\text{snd } x)$
<proof>

lemma *Collect-case-prod-mono*: $A \leq B \implies \text{Collect } (\text{case-prod } A) \subseteq \text{Collect } (\text{case-prod } B)$
<proof>

lemma *Collect-split-mono-strong*:
 $X = \text{fst } ` A \implies Y = \text{snd } ` A \implies \forall a \in X. \forall b \in Y. P \ a \ b \longrightarrow Q \ a \ b$
 $\implies A \subseteq \text{Collect } (\text{case-prod } P) \implies A \subseteq \text{Collect } (\text{case-prod } Q)$
<proof>

lemma *UN-Times-distrib*: $(\bigcup (a, b) \in A \times B. E \ a \times F \ b) = \text{UNION } A \ E \times \text{UNION } B \ F$
— Suggested by Pierre Chartier
<proof>

lemma *split-paired-Ball-Sigma [simp, no-atp]*: $(\forall z \in \text{Sigma } A \ B. P \ z) \longleftrightarrow (\forall x \in A. \forall y \in B \ x. P \ (x, y))$
<proof>

lemma *split-paired-Bex-Sigma [simp, no-atp]*: $(\exists z \in \text{Sigma } A \ B. P \ z) \longleftrightarrow (\exists x \in A. \exists y \in B \ x. P \ (x, y))$
<proof>

lemma *Sigma-Un-distrib1*: $\text{Sigma } (I \cup J) \ C = \text{Sigma } I \ C \cup \text{Sigma } J \ C$
<proof>

lemma *Sigma-Un-distrib2*: $(\text{SIGMA } i:I. A \ i \cup B \ i) = \text{Sigma } I \ A \cup \text{Sigma } I \ B$
 $\langle \text{proof} \rangle$

lemma *Sigma-Int-distrib1*: $\text{Sigma } (I \cap J) \ C = \text{Sigma } I \ C \cap \text{Sigma } J \ C$
 $\langle \text{proof} \rangle$

lemma *Sigma-Int-distrib2*: $(\text{SIGMA } i:I. A \ i \cap B \ i) = \text{Sigma } I \ A \cap \text{Sigma } I \ B$
 $\langle \text{proof} \rangle$

lemma *Sigma-Diff-distrib1*: $\text{Sigma } (I - J) \ C = \text{Sigma } I \ C - \text{Sigma } J \ C$
 $\langle \text{proof} \rangle$

lemma *Sigma-Diff-distrib2*: $(\text{SIGMA } i:I. A \ i - B \ i) = \text{Sigma } I \ A - \text{Sigma } I \ B$
 $\langle \text{proof} \rangle$

lemma *Sigma-Union*: $\text{Sigma } (\bigcup X) \ B = (\bigcup A \in X. \text{Sigma } A \ B)$
 $\langle \text{proof} \rangle$

lemma *Pair-vimage-Sigma*: $\text{Pair } x \text{ --' Sigma } A \ f = (\text{if } x \in A \text{ then } f \ x \text{ else } \{\})$
 $\langle \text{proof} \rangle$

Non-dependent versions are needed to avoid the need for higher-order matching, especially when the rules are re-oriented.

lemma *Times-Un-distrib1*: $(A \cup B) \times C = A \times C \cup B \times C$
 $\langle \text{proof} \rangle$

lemma *Times-Int-distrib1*: $(A \cap B) \times C = A \times C \cap B \times C$
 $\langle \text{proof} \rangle$

lemma *Times-Diff-distrib1*: $(A - B) \times C = A \times C - B \times C$
 $\langle \text{proof} \rangle$

lemma *Times-empty [simp]*: $A \times B = \{\} \longleftrightarrow A = \{\} \vee B = \{\}$
 $\langle \text{proof} \rangle$

lemma *times-eq-iff*: $A \times B = C \times D \longleftrightarrow A = C \wedge B = D \vee (A = \{\} \vee B = \{\}) \wedge (C = \{\} \vee D = \{\})$
 $\langle \text{proof} \rangle$

lemma *fst-image-times [simp]*: $\text{fst } (A \times B) = (\text{if } B = \{\} \text{ then } \{\} \text{ else } A)$
 $\langle \text{proof} \rangle$

lemma *snd-image-times [simp]*: $\text{snd } (A \times B) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } B)$
 $\langle \text{proof} \rangle$

lemma *fst-image-Sigma*: $\text{fst } (\text{Sigma } A \ B) = \{x \in A. B(x) \neq \{\}\}$
 $\langle \text{proof} \rangle$

lemma *snd-image-Sigma*: $\text{snd } (\text{Sigma } A \ B) = (\bigcup x \in A. B \ x)$

$\langle proof \rangle$

lemma *vimage-fst*: $fst \text{ --' } A = A \times UNIV$
 $\langle proof \rangle$

lemma *vimage-snd*: $snd \text{ --' } A = UNIV \times A$
 $\langle proof \rangle$

lemma *insert-times-insert* [simp]:
 $insert\ a\ A \times insert\ b\ B = insert\ (a,b)\ (A \times insert\ b\ B \cup insert\ a\ A \times B)$
 $\langle proof \rangle$

lemma *vimage-Times*: $f \text{ --' } (A \times B) = (fst \circ f) \text{ --' } A \cap (snd \circ f) \text{ --' } B$
 $\langle proof \rangle$

lemma *times-Int-times*: $A \times B \cap C \times D = (A \cap C) \times (B \cap D)$
 $\langle proof \rangle$

lemma *product-swap*: $prod.swap \text{ --' } (A \times B) = B \times A$
 $\langle proof \rangle$

lemma *swap-product*: $(\lambda(i, j). (j, i)) \text{ --' } (A \times B) = B \times A$
 $\langle proof \rangle$

lemma *image-split-eq-Sigma*: $(\lambda x. (f\ x, g\ x)) \text{ --' } A = Sigma\ (f \text{ --' } A)\ (\lambda x. g \text{ --' } (f \text{ --' } \{x\} \cap A))$
 $\langle proof \rangle$

lemma *subset-fst-snd*: $A \subseteq (fst \text{ --' } A \times snd \text{ --' } A)$
 $\langle proof \rangle$

lemma *inj-on-apfst* [simp]: $inj\text{-on}\ (apfst\ f)\ (A \times UNIV) \longleftrightarrow inj\text{-on}\ f\ A$
 $\langle proof \rangle$

lemma *inj-apfst* [simp]: $inj\ (apfst\ f) \longleftrightarrow inj\ f$
 $\langle proof \rangle$

lemma *inj-on-apsnd* [simp]: $inj\text{-on}\ (apsnd\ f)\ (UNIV \times A) \longleftrightarrow inj\text{-on}\ f\ A$
 $\langle proof \rangle$

lemma *inj-apsnd* [simp]: $inj\ (apsnd\ f) \longleftrightarrow inj\ f$
 $\langle proof \rangle$

context
begin

qualified definition *product* :: $'a\ set \Rightarrow 'b\ set \Rightarrow ('a \times 'b)\ set$
where [code-abbrev]: $product\ A\ B = A \times B$

lemma *member-product*: $x \in \text{Product-Type.product } A \ B \longleftrightarrow x \in A \times B$
 ⟨proof⟩

end

The following *map-prod* lemmas are due to Joachim Breitner:

lemma *map-prod-inj-on*:
assumes *inj-on* $f \ A$
and *inj-on* $g \ B$
shows *inj-on* $(\text{map-prod } f \ g) \ (A \times B)$
 ⟨proof⟩

lemma *map-prod-surj*:
fixes $f :: 'a \Rightarrow 'b$
and $g :: 'c \Rightarrow 'd$
assumes *surj* f **and** *surj* g
shows *surj* $(\text{map-prod } f \ g)$
 ⟨proof⟩

lemma *map-prod-surj-on*:
assumes $f \ 'A = A'$ **and** $g \ 'B = B'$
shows $\text{map-prod } f \ g \ '(A \times B) = A' \times B'$
 ⟨proof⟩

13.4 Simproc for rewriting a set comprehension into a point-free expression

⟨ML⟩

13.5 Inductively defined sets

⟨ML⟩

13.6 Legacy theorem bindings and duplicates

lemmas *fst-conv* = *prod.sel*(1)
lemmas *snd-conv* = *prod.sel*(2)
lemmas *split-def* = *case-prod-unfold*
lemmas *split-beta'* = *case-prod-beta'*
lemmas *split-beta* = *prod.case-eq-if*
lemmas *split-conv* = *case-prod-conv*
lemmas *split* = *case-prod-conv*

hide-const (open) *prod*

end

14 The Disjoint Sum of Two Types

```
theory Sum-Type
  imports Typedef Inductive Fun
begin
```

14.1 Construction of the sum type and its basic abstract operations

```
definition Inl-Rep :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  bool  $\Rightarrow$  bool
  where Inl-Rep a x y p  $\longleftrightarrow$  x = a  $\wedge$  p
```

```
definition Inr-Rep :: 'b  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  bool  $\Rightarrow$  bool
  where Inr-Rep b x y p  $\longleftrightarrow$  y = b  $\wedge$   $\neg$  p
```

```
definition sum = {f. ( $\exists$  a. f = Inl-Rep (a::'a))  $\vee$  ( $\exists$  b. f = Inr-Rep (b::'b))}
```

```
typedef ('a, 'b) sum (infixr + 10) = sum :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool  $\Rightarrow$  bool) set
  <proof>
```

```
lemma Inl-RepI: Inl-Rep a  $\in$  sum
  <proof>
```

```
lemma Inr-RepI: Inr-Rep b  $\in$  sum
  <proof>
```

```
lemma inj-on-Abs-sum: A  $\subseteq$  sum  $\implies$  inj-on Abs-sum A
  <proof>
```

```
lemma Inl-Rep-inject: inj-on Inl-Rep A
  <proof>
```

```
lemma Inr-Rep-inject: inj-on Inr-Rep A
  <proof>
```

```
lemma Inl-Rep-not-Inr-Rep: Inl-Rep a  $\neq$  Inr-Rep b
  <proof>
```

```
definition Inl :: 'a  $\Rightarrow$  'a + 'b
  where Inl = Abs-sum  $\circ$  Inl-Rep
```

```
definition Inr :: 'b  $\Rightarrow$  'a + 'b
  where Inr = Abs-sum  $\circ$  Inr-Rep
```

```
lemma inj-Inl [simp]: inj-on Inl A
  <proof>
```

```
lemma Inl-inject: Inl x = Inl y  $\implies$  x = y
  <proof>
```

lemma *inj-Inr* [*simp*]: *inj-on Inr A*
 ⟨*proof*⟩

lemma *Inr-inject*: *Inr x = Inr y \implies x = y*
 ⟨*proof*⟩

lemma *Inl-not-Inr*: *Inl a \neq Inr b*
 ⟨*proof*⟩

lemma *Inr-not-Inl*: *Inr b \neq Inl a*
 ⟨*proof*⟩

lemma *sumE*:
 assumes $\bigwedge x::'a. s = \text{Inl } x \implies P$
 and $\bigwedge y::'b. s = \text{Inr } y \implies P$
 shows *P*
 ⟨*proof*⟩

free-constructors *case-sum for*
isl: Inl projl
 | *Inr projr*
 ⟨*proof*⟩

Avoid name clashes by prefixing the output of *old-rep-datatype* with *old*.

⟨*ML*⟩

old-rep-datatype *Inl Inr*
 ⟨*proof*⟩

⟨*ML*⟩

But erase the prefix for properties that are not generated by *free-constructors*.

⟨*ML*⟩

declare
old.sum.inject[*iff del*]
old.sum.distinct(*1*)[*simp del, induct-simp del*]

lemmas *induct* = *old.sum.induct*

lemmas *inducts* = *old.sum.inducts*

lemmas *rec* = *old.sum.rec*

lemmas *simps* = *sum.inject sum.distinct sum.case sum.rec*

⟨*ML*⟩

primrec *map-sum* :: *('a \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'd) \Rightarrow 'a + 'b \Rightarrow 'c + 'd*
where
map-sum f1 f2 (Inl a) = Inl (f1 a)

| $\text{map-sum } f1 \ f2 \ (\text{Inr } a) = \text{Inr } (f2 \ a)$

functor *map-sum*: *map-sum*
 $\langle \text{proof} \rangle$

lemma *split-sum-all*: $(\forall x. P \ x) \longleftrightarrow (\forall x. P \ (\text{Inl } x)) \wedge (\forall x. P \ (\text{Inr } x))$
 $\langle \text{proof} \rangle$

lemma *split-sum-ex*: $(\exists x. P \ x) \longleftrightarrow (\exists x. P \ (\text{Inl } x)) \vee (\exists x. P \ (\text{Inr } x))$
 $\langle \text{proof} \rangle$

14.2 Projections

lemma *case-sum-KK* [*simp*]: $\text{case-sum } (\lambda x. a) \ (\lambda x. a) = (\lambda x. a)$
 $\langle \text{proof} \rangle$

lemma *surjective-sum*: $\text{case-sum } (\lambda x::'a. f \ (\text{Inl } x)) \ (\lambda y::'b. f \ (\text{Inr } y)) = f$
 $\langle \text{proof} \rangle$

lemma *case-sum-inject*:
 assumes $a: \text{case-sum } f1 \ f2 = \text{case-sum } g1 \ g2$
 and $r: f1 = g1 \implies f2 = g2 \implies P$
 shows P
 $\langle \text{proof} \rangle$

primrec *Suml* :: $('a \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$
 where $\text{Suml } f \ (\text{Inl } x) = f \ x$

primrec *Sumr* :: $('b \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$
 where $\text{Sumr } f \ (\text{Inr } x) = f \ x$

lemma *Suml-inject*:
 assumes $\text{Suml } f = \text{Suml } g$
 shows $f = g$
 $\langle \text{proof} \rangle$

lemma *Sumr-inject*:
 assumes $\text{Sumr } f = \text{Sumr } g$
 shows $f = g$
 $\langle \text{proof} \rangle$

14.3 The Disjoint Sum of Sets

definition *Plus* :: $'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a + 'b) \text{ set}$ (**infixr** $<+>$ 65)
 where $A <+> B = \text{Inl } ' A \cup \text{Inr } ' B$

hide-const (**open**) *Plus* — Valuable identifier

lemma *InlI* [*intro!*]: $a \in A \implies \text{Inl } a \in A <+> B$
 $\langle \text{proof} \rangle$

lemma *InrI* [*intro!*]: $b \in B \implies \text{Inr } b \in A <+> B$
 ⟨*proof*⟩

Exhaustion rule for sums, a degenerate form of induction

lemma *PlusE* [*elim!*]:
 $u \in A <+> B \implies (\bigwedge x. x \in A \implies u = \text{Inl } x \implies P) \implies (\bigwedge y. y \in B \implies u = \text{Inr } y \implies P) \implies P$
 ⟨*proof*⟩

lemma *Plus-eq-empty-conv* [*simp*]: $A <+> B = \{\} \longleftrightarrow A = \{\} \wedge B = \{\}$
 ⟨*proof*⟩

lemma *UNIV-Plus-UNIV* [*simp*]: $\text{UNIV} <+> \text{UNIV} = \text{UNIV}$
 ⟨*proof*⟩

lemma *UNIV-sum*: $\text{UNIV} = \text{Inl} \, ' \, \text{UNIV} \cup \text{Inr} \, ' \, \text{UNIV}$
 ⟨*proof*⟩

hide-const (**open**) *Suml Sumr sum*

end

15 Rings

theory *Rings*
imports *Groups Set*
begin

class *semiring* = *ab-semigroup-add* + *semigroup-mult* +
assumes *distrib-right*[*algebra-simps*]: $(a + b) * c = a * c + b * c$
assumes *distrib-left*[*algebra-simps*]: $a * (b + c) = a * b + a * c$
begin

For the *combine-numerals* *simproc*

lemma *combine-common-factor*: $a * e + (b * e + c) = (a + b) * e + c$
 ⟨*proof*⟩

end

class *mult-zero* = *times* + *zero* +
assumes *mult-zero-left* [*simp*]: $0 * a = 0$
assumes *mult-zero-right* [*simp*]: $a * 0 = 0$
begin

lemma *mult-not-zero*: $a * b \neq 0 \implies a \neq 0 \wedge b \neq 0$
 ⟨*proof*⟩

end

class *semiring-0* = *semiring* + *comm-monoid-add* + *mult-zero*

class *semiring-0-cancel* = *semiring* + *cancel-comm-monoid-add*
begin

subclass *semiring-0*
 ⟨*proof*⟩

end

class *comm-semiring* = *ab-semigroup-add* + *ab-semigroup-mult* +
assumes *distrib*: $(a + b) * c = a * c + b * c$
begin

subclass *semiring*
 ⟨*proof*⟩

end

class *comm-semiring-0* = *comm-semiring* + *comm-monoid-add* + *mult-zero*
begin

subclass *semiring-0* ⟨*proof*⟩

end

class *comm-semiring-0-cancel* = *comm-semiring* + *cancel-comm-monoid-add*
begin

subclass *semiring-0-cancel* ⟨*proof*⟩

subclass *comm-semiring-0* ⟨*proof*⟩

end

class *zero-neq-one* = *zero* + *one* +
assumes *zero-neq-one* [*simp*]: $0 \neq 1$
begin

lemma *one-neq-zero* [*simp*]: $1 \neq 0$
 ⟨*proof*⟩

definition *of-bool* :: $bool \Rightarrow 'a$
where *of-bool* *p* = (*if p then 1 else 0*)

lemma *of-bool-eq* [*simp*, *code*]:
of-bool False = 0

of-bool True = 1
<proof>

lemma *of-bool-eq-iff*: *of-bool p = of-bool q* \longleftrightarrow *p = q*
<proof>

lemma *split-of-bool [split]*: *P (of-bool p)* \longleftrightarrow (*p* \longrightarrow *P 1*) \wedge (\neg *p* \longrightarrow *P 0*)
<proof>

lemma *split-of-bool-asm*: *P (of-bool p)* \longleftrightarrow \neg (*p* \wedge \neg *P 1* \vee \neg *p* \wedge \neg *P 0*)
<proof>

end

class *semiring-1* = *zero-neq-one* + *semiring-0* + *monoid-mult*

Abstract divisibility

class *dvd* = *times*
begin

definition *dvd* :: '*a* \Rightarrow '*a* \Rightarrow *bool* (**infix** *dvd* 50)
where *b dvd a* \longleftrightarrow ($\exists k. a = b * k$)

lemma *dvdI [intro?]*: *a = b * k* \Longrightarrow *b dvd a*
<proof>

lemma *dvdE [elim?]*: *b dvd a* \Longrightarrow ($\bigwedge k. a = b * k \Longrightarrow P$) \Longrightarrow *P*
<proof>

end

context *comm-monoid-mult*
begin

subclass *dvd* *<proof>*

lemma *dvd-refl [simp]*: *a dvd a*
<proof>

lemma *dvd-trans [trans]*:
assumes *a dvd b* **and** *b dvd c*
shows *a dvd c*
<proof>

lemma *subset-divisors-dvd*: $\{c. c \text{ dvd } a\} \subseteq \{c. c \text{ dvd } b\} \longleftrightarrow a \text{ dvd } b$
<proof>

lemma *strict-subset-divisors-dvd*: $\{c. c \text{ dvd } a\} \subset \{c. c \text{ dvd } b\} \longleftrightarrow a \text{ dvd } b \wedge \neg b \text{ dvd } a$

$\langle \text{proof} \rangle$

lemma *one-dvd* [*simp*]: $1 \text{ dvd } a$
 $\langle \text{proof} \rangle$

lemma *dvd-mult* [*simp*]: $a \text{ dvd } c \implies a \text{ dvd } (b * c)$
 $\langle \text{proof} \rangle$

lemma *dvd-mult2* [*simp*]: $a \text{ dvd } b \implies a \text{ dvd } (b * c)$
 $\langle \text{proof} \rangle$

lemma *dvd-triv-right* [*simp*]: $a \text{ dvd } b * a$
 $\langle \text{proof} \rangle$

lemma *dvd-triv-left* [*simp*]: $a \text{ dvd } a * b$
 $\langle \text{proof} \rangle$

lemma *mult-dvd-mono*:
 assumes $a \text{ dvd } b$
 and $c \text{ dvd } d$
 shows $a * c \text{ dvd } b * d$
 $\langle \text{proof} \rangle$

lemma *dvd-mult-left*: $a * b \text{ dvd } c \implies a \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemma *dvd-mult-right*: $a * b \text{ dvd } c \implies b \text{ dvd } c$
 $\langle \text{proof} \rangle$

end

class *comm-semiring-1* = *zero-neg-one* + *comm-semiring-0* + *comm-monoid-mult*
begin

subclass *semiring-1* $\langle \text{proof} \rangle$

lemma *dvd-0-left-iff* [*simp*]: $0 \text{ dvd } a \iff a = 0$
 $\langle \text{proof} \rangle$

lemma *dvd-0-right* [*iff*]: $a \text{ dvd } 0$
 $\langle \text{proof} \rangle$

lemma *dvd-0-left*: $0 \text{ dvd } a \implies a = 0$
 $\langle \text{proof} \rangle$

lemma *dvd-add* [*simp*]:
 assumes $a \text{ dvd } b$ and $a \text{ dvd } c$
 shows $a \text{ dvd } (b + c)$
 $\langle \text{proof} \rangle$

end

class *semiring-1-cancel* = *semiring* + *cancel-comm-monoid-add*
 + *zero-neq-one* + *monoid-mult*
begin

subclass *semiring-0-cancel* \langle *proof* \rangle

subclass *semiring-1* \langle *proof* \rangle

end

class *comm-semiring-1-cancel* =
comm-semiring + *cancel-comm-monoid-add* + *zero-neq-one* + *comm-monoid-mult*
 +
assumes *right-diff-distrib'* [*algebra-simps*]: $a * (b - c) = a * b - a * c$
begin

subclass *semiring-1-cancel* \langle *proof* \rangle

subclass *comm-semiring-0-cancel* \langle *proof* \rangle

subclass *comm-semiring-1* \langle *proof* \rangle

lemma *left-diff-distrib'* [*algebra-simps*]: $(b - c) * a = b * a - c * a$
 \langle *proof* \rangle

lemma *dvd-add-times-triv-left-iff* [*simp*]: $a \text{ dvd } c * a + b \longleftrightarrow a \text{ dvd } b$
 \langle *proof* \rangle

lemma *dvd-add-times-triv-right-iff* [*simp*]: $a \text{ dvd } b + c * a \longleftrightarrow a \text{ dvd } b$
 \langle *proof* \rangle

lemma *dvd-add-triv-left-iff* [*simp*]: $a \text{ dvd } a + b \longleftrightarrow a \text{ dvd } b$
 \langle *proof* \rangle

lemma *dvd-add-triv-right-iff* [*simp*]: $a \text{ dvd } b + a \longleftrightarrow a \text{ dvd } b$
 \langle *proof* \rangle

lemma *dvd-add-right-iff*:
assumes $a \text{ dvd } b$
shows $a \text{ dvd } b + c \longleftrightarrow a \text{ dvd } c$ (**is** $?P \longleftrightarrow ?Q$)
 \langle *proof* \rangle

lemma *dvd-add-left-iff*: $a \text{ dvd } c \implies a \text{ dvd } b + c \longleftrightarrow a \text{ dvd } b$
 \langle *proof* \rangle

end

class *ring* = *semiring* + *ab-group-add*

begin

subclass *semiring-0-cancel* $\langle \text{proof} \rangle$

Distribution rules

lemma *minus-mult-left*: $-(a * b) = -a * b$
 $\langle \text{proof} \rangle$

lemma *minus-mult-right*: $-(a * b) = a * -b$
 $\langle \text{proof} \rangle$

Extract signs from products

lemmas *mult-minus-left* [*simp*] = *minus-mult-left* [*symmetric*]

lemmas *mult-minus-right* [*simp*] = *minus-mult-right* [*symmetric*]

lemma *minus-mult-minus* [*simp*]: $-a * -b = a * b$
 $\langle \text{proof} \rangle$

lemma *minus-mult-commute*: $-a * b = a * -b$
 $\langle \text{proof} \rangle$

lemma *right-diff-distrib* [*algebra-simps*]: $a * (b - c) = a * b - a * c$
 $\langle \text{proof} \rangle$

lemma *left-diff-distrib* [*algebra-simps*]: $(a - b) * c = a * c - b * c$
 $\langle \text{proof} \rangle$

lemmas *ring-distrib* = *distrib-left* *distrib-right* *left-diff-distrib* *right-diff-distrib*

lemma *eq-add-iff1*: $a * e + c = b * e + d \longleftrightarrow (a - b) * e + c = d$
 $\langle \text{proof} \rangle$

lemma *eq-add-iff2*: $a * e + c = b * e + d \longleftrightarrow c = (b - a) * e + d$
 $\langle \text{proof} \rangle$

end

lemmas *ring-distrib* = *distrib-left* *distrib-right* *left-diff-distrib* *right-diff-distrib*

class *comm-ring* = *comm-semiring* + *ab-group-add*

begin

subclass *ring* $\langle \text{proof} \rangle$

subclass *comm-semiring-0-cancel* $\langle \text{proof} \rangle$

lemma *square-diff-square-factored*: $x * x - y * y = (x + y) * (x - y)$
 $\langle \text{proof} \rangle$

end

```

class ring-1 = ring + zero-neq-one + monoid-mult
begin

subclass semiring-1-cancel ⟨proof⟩

lemma square-diff-one-factored:  $x * x - 1 = (x + 1) * (x - 1)$ 
  ⟨proof⟩

end

class comm-ring-1 = comm-ring + zero-neq-one + comm-monoid-mult
begin

subclass ring-1 ⟨proof⟩
subclass comm-semiring-1-cancel
  ⟨proof⟩

lemma dvd-minus-iff [simp]:  $x \text{ dvd } - y \longleftrightarrow x \text{ dvd } y$ 
  ⟨proof⟩

lemma minus-dvd-iff [simp]:  $- x \text{ dvd } y \longleftrightarrow x \text{ dvd } y$ 
  ⟨proof⟩

lemma dvd-diff [simp]:  $x \text{ dvd } y \implies x \text{ dvd } z \implies x \text{ dvd } (y - z)$ 
  ⟨proof⟩

end

class semiring-no-zero-divisors = semiring-0 +
  assumes no-zero-divisors:  $a \neq 0 \implies b \neq 0 \implies a * b \neq 0$ 
begin

lemma divisors-zero:
  assumes  $a * b = 0$ 
  shows  $a = 0 \vee b = 0$ 
  ⟨proof⟩

lemma mult-eq-0-iff [simp]:  $a * b = 0 \longleftrightarrow a = 0 \vee b = 0$ 
  ⟨proof⟩

end

class semiring-1-no-zero-divisors = semiring-1 + semiring-no-zero-divisors

class semiring-no-zero-divisors-cancel = semiring-no-zero-divisors +
  assumes mult-cancel-right [simp]:  $a * c = b * c \longleftrightarrow c = 0 \vee a = b$ 
  and mult-cancel-left [simp]:  $c * a = c * b \longleftrightarrow c = 0 \vee a = b$ 
begin

```

lemma *mult-left-cancel*: $c \neq 0 \implies c * a = c * b \longleftrightarrow a = b$
 $\langle proof \rangle$

lemma *mult-right-cancel*: $c \neq 0 \implies a * c = b * c \longleftrightarrow a = b$
 $\langle proof \rangle$

end

class *ring-no-zero-divisors* = *ring* + *semiring-no-zero-divisors*
begin

subclass *semiring-no-zero-divisors-cancel*
 $\langle proof \rangle$

end

class *ring-1-no-zero-divisors* = *ring-1* + *ring-no-zero-divisors*
begin

subclass *semiring-1-no-zero-divisors* $\langle proof \rangle$

lemma *square-eq-1-iff*: $x * x = 1 \longleftrightarrow x = 1 \vee x = -1$
 $\langle proof \rangle$

lemma *mult-cancel-right1* [*simp*]: $c = b * c \longleftrightarrow c = 0 \vee b = 1$
 $\langle proof \rangle$

lemma *mult-cancel-right2* [*simp*]: $a * c = c \longleftrightarrow c = 0 \vee a = 1$
 $\langle proof \rangle$

lemma *mult-cancel-left1* [*simp*]: $c = c * b \longleftrightarrow c = 0 \vee b = 1$
 $\langle proof \rangle$

lemma *mult-cancel-left2* [*simp*]: $c * a = c \longleftrightarrow c = 0 \vee a = 1$
 $\langle proof \rangle$

end

class *semidom* = *comm-semiring-1-cancel* + *semiring-no-zero-divisors*
begin

subclass *semiring-1-no-zero-divisors* $\langle proof \rangle$

end

class *idom* = *comm-ring-1* + *semiring-no-zero-divisors*
begin

subclass *semidom* $\langle \text{proof} \rangle$

subclass *ring-1-no-zero-divisors* $\langle \text{proof} \rangle$

lemma *dvd-mult-cancel-right* [simp]: $a * c \text{ dvd } b * c \longleftrightarrow c = 0 \vee a \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *dvd-mult-cancel-left* [simp]: $c * a \text{ dvd } c * b \longleftrightarrow c = 0 \vee a \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *square-eq-iff*: $a * a = b * b \longleftrightarrow a = b \vee a = - b$
 $\langle \text{proof} \rangle$

end

class *idom-abs-sgn* = *idom* + *abs* + *sgn* +
 assumes *sgn-mult-abs*: $\text{sgn } a * |a| = a$
 and *sgn-sgn* [simp]: $\text{sgn } (\text{sgn } a) = \text{sgn } a$
 and *abs-abs* [simp]: $||a|| = |a|$
 and *abs-0* [simp]: $|0| = 0$
 and *sgn-0* [simp]: $\text{sgn } 0 = 0$
 and *sgn-1* [simp]: $\text{sgn } 1 = 1$
 and *sgn-minus-1*: $\text{sgn } (- 1) = - 1$
 and *sgn-mult*: $\text{sgn } (a * b) = \text{sgn } a * \text{sgn } b$
begin

lemma *sgn-eq-0-iff*:
 $\text{sgn } a = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *abs-eq-0-iff*:
 $|a| = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *abs-mult-sgn*:
 $|a| * \text{sgn } a = a$
 $\langle \text{proof} \rangle$

lemma *abs-1* [simp]:
 $|1| = 1$
 $\langle \text{proof} \rangle$

lemma *sgn-abs* [simp]:
 $|\text{sgn } a| = \text{of_bool } (a \neq 0)$
 $\langle \text{proof} \rangle$

lemma *abs-sgn* [simp]:
 $\text{sgn } |a| = \text{of_bool } (a \neq 0)$
 $\langle \text{proof} \rangle$

lemma *abs-mult*:

$|a * b| = |a| * |b|$
 $\langle proof \rangle$

lemma *sgn-minus* [*simp*]:

$sgn (- a) = - sgn a$
 $\langle proof \rangle$

lemma *abs-minus* [*simp*]:

$|- a| = |a|$
 $\langle proof \rangle$

end

The theory of partially ordered rings is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society, 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press, 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer

Syntactic division operator

class *divide* =
fixes *divide* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixl** *div* 70)

$\langle ML \rangle$

context *semiring*

begin

lemma [*field-simps*]:

shows *distrib-left-NO-MATCH*: *NO-MATCH* $(x \text{ div } y) a \Longrightarrow a * (b + c) = a * b + a * c$

and *distrib-right-NO-MATCH*: *NO-MATCH* $(x \text{ div } y) c \Longrightarrow (a + b) * c = a * c + b * c$

$\langle proof \rangle$

end

context *ring*

begin

lemma *[field-simps]*:

shows *left-diff-distrib-NO-MATCH*: *NO-MATCH* $(x \text{ div } y) \ c \implies (a - b) * c = a * c - b * c$
and *right-diff-distrib-NO-MATCH*: *NO-MATCH* $(x \text{ div } y) \ a \implies a * (b - c) = a * b - a * c$
 $\langle \text{proof} \rangle$

end

$\langle ML \rangle$

Algebraic classes with division

class *semidom-divide* = *semidom* + *divide* +
assumes *nonzero-mult-div-cancel-right* *[simp]*: $b \neq 0 \implies (a * b) \text{ div } b = a$
assumes *div-by-0* *[simp]*: $a \text{ div } 0 = 0$
begin

lemma *nonzero-mult-div-cancel-left* *[simp]*: $a \neq 0 \implies (a * b) \text{ div } a = b$
 $\langle \text{proof} \rangle$

subclass *semiring-no-zero-divisors-cancel*
 $\langle \text{proof} \rangle$

lemma *div-self* *[simp]*: $a \neq 0 \implies a \text{ div } a = 1$
 $\langle \text{proof} \rangle$

lemma *div-0* *[simp]*: $0 \text{ div } a = 0$
 $\langle \text{proof} \rangle$

lemma *div-by-1* *[simp]*: $a \text{ div } 1 = a$
 $\langle \text{proof} \rangle$

lemma *dvd-div-eq-0-iff*:
assumes $b \text{ dvd } a$
shows $a \text{ div } b = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *dvd-div-eq-cancel*:
 $a \text{ div } c = b \text{ div } c \implies c \text{ dvd } a \implies c \text{ dvd } b \implies a = b$
 $\langle \text{proof} \rangle$

lemma *dvd-div-eq-iff*:
 $c \text{ dvd } a \implies c \text{ dvd } b \implies a \text{ div } c = b \text{ div } c \longleftrightarrow a = b$
 $\langle \text{proof} \rangle$

end

class *idom-divide* = *idom* + *semidom-divide*

begin

lemma *dvd-neg-div*:

assumes $b \text{ dvd } a$

shows $- a \text{ div } b = - (a \text{ div } b)$

$\langle \text{proof} \rangle$

lemma *dvd-div-neg*:

assumes $b \text{ dvd } a$

shows $a \text{ div } - b = - (a \text{ div } b)$

$\langle \text{proof} \rangle$

end

class *algebraic-semidom* = *semidom-divide*

begin

Class *algebraic-semidom* enriches a integral domain by notions from algebra, like units in a ring. It is a separate class to avoid spoiling fields with notions which are degenerated there.

lemma *dvd-times-left-cancel-iff* [*simp*]:

assumes $a \neq 0$

shows $a * b \text{ dvd } a * c \longleftrightarrow b \text{ dvd } c$

(**is** $?lhs \longleftrightarrow ?rhs$)

$\langle \text{proof} \rangle$

lemma *dvd-times-right-cancel-iff* [*simp*]:

assumes $a \neq 0$

shows $b * a \text{ dvd } c * a \longleftrightarrow b \text{ dvd } c$

$\langle \text{proof} \rangle$

lemma *div-dvd-iff-mult*:

assumes $b \neq 0$ **and** $b \text{ dvd } a$

shows $a \text{ div } b \text{ dvd } c \longleftrightarrow a \text{ dvd } c * b$

$\langle \text{proof} \rangle$

lemma *dvd-div-iff-mult*:

assumes $c \neq 0$ **and** $c \text{ dvd } b$

shows $a \text{ dvd } b \text{ div } c \longleftrightarrow a * c \text{ dvd } b$

$\langle \text{proof} \rangle$

lemma *div-dvd-div* [*simp*]:

assumes $a \text{ dvd } b$ **and** $a \text{ dvd } c$

shows $b \text{ div } a \text{ dvd } c \text{ div } a \longleftrightarrow b \text{ dvd } c$

$\langle \text{proof} \rangle$

lemma *div-add* [*simp*]:

assumes $c \text{ dvd } a$ **and** $c \text{ dvd } b$

shows $(a + b) \text{ div } c = a \text{ div } c + b \text{ div } c$

$\langle proof \rangle$

lemma *div-mult-div-if-dvd*:

assumes $b \text{ dvd } a$ **and** $d \text{ dvd } c$

shows $(a \text{ div } b) * (c \text{ div } d) = (a * c) \text{ div } (b * d)$

$\langle proof \rangle$

lemma *dvd-div-eq-mult*:

assumes $a \neq 0$ **and** $a \text{ dvd } b$

shows $b \text{ div } a = c \iff b = c * a$

(**is** $?lhs \iff ?rhs$)

$\langle proof \rangle$

lemma *dvd-div-mult-self* [*simp*]: $a \text{ dvd } b \implies b \text{ div } a * a = b$

$\langle proof \rangle$

lemma *dvd-mult-div-cancel* [*simp*]: $a \text{ dvd } b \implies a * (b \text{ div } a) = b$

$\langle proof \rangle$

lemma *div-mult-swap*:

assumes $c \text{ dvd } b$

shows $a * (b \text{ div } c) = (a * b) \text{ div } c$

$\langle proof \rangle$

lemma *dvd-div-mult*: $c \text{ dvd } b \implies b \text{ div } c * a = (b * a) \text{ div } c$

$\langle proof \rangle$

lemma *dvd-div-mult2-eq*:

assumes $b * c \text{ dvd } a$

shows $a \text{ div } (b * c) = a \text{ div } b \text{ div } c$

$\langle proof \rangle$

lemma *dvd-div-div-eq-mult*:

assumes $a \neq 0$ $c \neq 0$ **and** $a \text{ dvd } b$ $c \text{ dvd } d$

shows $b \text{ div } a = d \text{ div } c \iff b * c = a * d$

(**is** $?lhs \iff ?rhs$)

$\langle proof \rangle$

lemma *dvd-mult-imp-div*:

assumes $a * c \text{ dvd } b$

shows $a \text{ dvd } b \text{ div } c$

$\langle proof \rangle$

lemma *div-div-eq-right*:

assumes $c \text{ dvd } b$ $b \text{ dvd } a$

shows $a \text{ div } (b \text{ div } c) = a \text{ div } b * c$

$\langle proof \rangle$

lemma *div-div-div-same*:

assumes $d \text{ dvd } b \text{ } b \text{ dvd } a$
shows $(a \text{ div } d) \text{ div } (b \text{ div } d) = a \text{ div } b$
 $\langle \text{proof} \rangle$

Units: invertible elements in a ring

abbreviation $\text{is-unit} :: 'a \Rightarrow \text{bool}$
where $\text{is-unit } a \equiv a \text{ dvd } 1$

lemma not-is-unit-0 $[\text{simp}]$: $\neg \text{is-unit } 0$
 $\langle \text{proof} \rangle$

lemma unit-imp-dvd $[\text{dest}]$: $\text{is-unit } b \Longrightarrow b \text{ dvd } a$
 $\langle \text{proof} \rangle$

lemma unit-dvdE :
assumes $\text{is-unit } a$
obtains c **where** $a \neq 0$ **and** $b = a * c$
 $\langle \text{proof} \rangle$

lemma dvd-unit-imp-unit : $a \text{ dvd } b \Longrightarrow \text{is-unit } b \Longrightarrow \text{is-unit } a$
 $\langle \text{proof} \rangle$

lemma unit-div-1-unit $[\text{simp}, \text{intro}]$:
assumes $\text{is-unit } a$
shows $\text{is-unit } (1 \text{ div } a)$
 $\langle \text{proof} \rangle$

lemma is-unitE $[\text{elim?}]$:
assumes $\text{is-unit } a$
obtains b **where** $a \neq 0$ **and** $b \neq 0$
and $\text{is-unit } b$ **and** $1 \text{ div } a = b$ **and** $1 \text{ div } b = a$
and $a * b = 1$ **and** $c \text{ div } a = c * b$
 $\langle \text{proof} \rangle$

lemma unit-prod $[\text{intro}]$: $\text{is-unit } a \Longrightarrow \text{is-unit } b \Longrightarrow \text{is-unit } (a * b)$
 $\langle \text{proof} \rangle$

lemma is-unit-mult-iff : $\text{is-unit } (a * b) \longleftrightarrow \text{is-unit } a \wedge \text{is-unit } b$
 $\langle \text{proof} \rangle$

lemma unit-div $[\text{intro}]$: $\text{is-unit } a \Longrightarrow \text{is-unit } b \Longrightarrow \text{is-unit } (a \text{ div } b)$
 $\langle \text{proof} \rangle$

lemma mult-unit-dvd-iff :
assumes $\text{is-unit } b$
shows $a * b \text{ dvd } c \longleftrightarrow a \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemma $\text{mult-unit-dvd-iff'}$: $\text{is-unit } a \Longrightarrow (a * b) \text{ dvd } c \longleftrightarrow b \text{ dvd } c$

$\langle \text{proof} \rangle$

lemma *dvd-mult-unit-iff*:
assumes *is-unit b*
shows $a \text{ dvd } c * b \longleftrightarrow a \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemma *dvd-mult-unit-iff'*: $\text{is-unit } b \implies a \text{ dvd } b * c \longleftrightarrow a \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemma *div-unit-dvd-iff*: $\text{is-unit } b \implies a \text{ div } b \text{ dvd } c \longleftrightarrow a \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemma *dvd-div-unit-iff*: $\text{is-unit } b \implies a \text{ dvd } c \text{ div } b \longleftrightarrow a \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemmas *unit-dvd-iff = mult-unit-dvd-iff mult-unit-dvd-iff'*
dvd-mult-unit-iff dvd-mult-unit-iff'
div-unit-dvd-iff dvd-div-unit-iff

lemma *unit-mult-div-div [simp]*: $\text{is-unit } a \implies b * (1 \text{ div } a) = b \text{ div } a$
 $\langle \text{proof} \rangle$

lemma *unit-div-mult-self [simp]*: $\text{is-unit } a \implies b \text{ div } a * a = b$
 $\langle \text{proof} \rangle$

lemma *unit-div-1-div-1 [simp]*: $\text{is-unit } a \implies 1 \text{ div } (1 \text{ div } a) = a$
 $\langle \text{proof} \rangle$

lemma *unit-div-mult-swap*: $\text{is-unit } c \implies a * (b \text{ div } c) = (a * b) \text{ div } c$
 $\langle \text{proof} \rangle$

lemma *unit-div-commute*: $\text{is-unit } b \implies (a \text{ div } b) * c = (a * c) \text{ div } b$
 $\langle \text{proof} \rangle$

lemma *unit-eq-div1*: $\text{is-unit } b \implies a \text{ div } b = c \longleftrightarrow a = c * b$
 $\langle \text{proof} \rangle$

lemma *unit-eq-div2*: $\text{is-unit } b \implies a = c \text{ div } b \longleftrightarrow a * b = c$
 $\langle \text{proof} \rangle$

lemma *unit-mult-left-cancel*: $\text{is-unit } a \implies a * b = a * c \longleftrightarrow b = c$
 $\langle \text{proof} \rangle$

lemma *unit-mult-right-cancel*: $\text{is-unit } a \implies b * a = c * a \longleftrightarrow b = c$
 $\langle \text{proof} \rangle$

lemma *unit-div-cancel*:
assumes *is-unit a*

shows $b \text{ div } a = c \text{ div } a \longleftrightarrow b = c$
 $\langle \text{proof} \rangle$

lemma *is-unit-div-mult2-eq*:
assumes *is-unit b* **and** *is-unit c*
shows $a \text{ div } (b * c) = a \text{ div } b \text{ div } c$
 $\langle \text{proof} \rangle$

lemmas *unit-simps = mult-unit-dvd-iff div-unit-dvd-iff dvd-mult-unit-iff*
dvd-div-unit-iff unit-div-mult-swap unit-div-commute
unit-mult-left-cancel unit-mult-right-cancel unit-div-cancel
unit-eq-div1 unit-eq-div2

lemma *is-unit-div-mult-cancel-left*:
assumes $a \neq 0$ **and** *is-unit b*
shows $a \text{ div } (a * b) = 1 \text{ div } b$
 $\langle \text{proof} \rangle$

lemma *is-unit-div-mult-cancel-right*:
assumes $a \neq 0$ **and** *is-unit b*
shows $a \text{ div } (b * a) = 1 \text{ div } b$
 $\langle \text{proof} \rangle$

lemma *unit-div-eq-0-iff*:
assumes *is-unit b*
shows $a \text{ div } b = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *div-mult-unit2*:
 $\text{is-unit } c \implies b \text{ dvd } a \implies a \text{ div } (b * c) = a \text{ div } b \text{ div } c$
 $\langle \text{proof} \rangle$

end

class *unit-factor* =
fixes *unit-factor* :: $'a \Rightarrow 'a$

class *semidom-divide-unit-factor* = *semidom-divide* + *unit-factor* +
assumes *unit-factor-0* [*simp*]: *unit-factor 0 = 0*
and *is-unit-unit-factor*: $a \text{ dvd } 1 \implies \text{unit-factor } a = a$
and *unit-factor-is-unit*: $a \neq 0 \implies \text{unit-factor } a \text{ dvd } 1$
and *unit-factor-mult*: $\text{unit-factor } (a * b) = \text{unit-factor } a * \text{unit-factor } b$
— This fine-grained hierarchy will later on allow lean normalization of polynomials

class *normalization-semidom* = *algebraic-semidom* + *semidom-divide-unit-factor*
+
fixes *normalize* :: $'a \Rightarrow 'a$
assumes *unit-factor-mult-normalize* [*simp*]: $\text{unit-factor } a * \text{normalize } a = a$

and *normalize-0* [simp]: *normalize 0 = 0*
begin

Class *normalization-semidom* cultivates the idea that each integral domain can be split into equivalence classes whose representants are associated, i.e. divide each other. *normalize* specifies a canonical representant for each equivalence class. The rationale behind this is that it is easier to reason about equality than equivalences, hence we prefer to think about equality of normalized values rather than associated elements.

declare *unit-factor-is-unit* [iff]

lemma *unit-factor-dvd* [simp]: $a \neq 0 \implies \text{unit-factor } a \text{ dvd } b$
 ⟨proof⟩

lemma *unit-factor-self* [simp]: *unit-factor a dvd a*
 ⟨proof⟩

lemma *normalize-mult-unit-factor* [simp]: *normalize a * unit-factor a = a*
 ⟨proof⟩

lemma *normalize-eq-0-iff* [simp]: $\text{normalize } a = 0 \longleftrightarrow a = 0$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *unit-factor-eq-0-iff* [simp]: $\text{unit-factor } a = 0 \longleftrightarrow a = 0$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *div-unit-factor* [simp]: *a div unit-factor a = normalize a*
 ⟨proof⟩

lemma *normalize-div* [simp]: *normalize a div a = 1 div unit-factor a*
 ⟨proof⟩

lemma *is-unit-normalize*:
assumes *is-unit a*
shows *normalize a = 1*
 ⟨proof⟩

lemma *unit-factor-1* [simp]: *unit-factor 1 = 1*
 ⟨proof⟩

lemma *normalize-1* [simp]: *normalize 1 = 1*
 ⟨proof⟩

lemma *normalize-1-iff*: $\text{normalize } a = 1 \longleftrightarrow \text{is-unit } a$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *div-normalize* [simp]: $a \text{ div normalize } a = \text{unit-factor } a$
 ⟨proof⟩

lemma *mult-one-div-unit-factor* [simp]: $a * (1 \text{ div unit-factor } b) = a \text{ div unit-factor } b$
 ⟨proof⟩

lemma *inv-unit-factor-eq-0-iff* [simp]:
 $1 \text{ div unit-factor } a = 0 \longleftrightarrow a = 0$
 (is ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *normalize-mult*: $\text{normalize } (a * b) = \text{normalize } a * \text{normalize } b$
 ⟨proof⟩

lemma *unit-factor-idem* [simp]: $\text{unit-factor } (\text{unit-factor } a) = \text{unit-factor } a$
 ⟨proof⟩

lemma *normalize-unit-factor* [simp]: $a \neq 0 \implies \text{normalize } (\text{unit-factor } a) = 1$
 ⟨proof⟩

lemma *normalize-idem* [simp]: $\text{normalize } (\text{normalize } a) = \text{normalize } a$
 ⟨proof⟩

lemma *unit-factor-normalize* [simp]:
 assumes $a \neq 0$
 shows $\text{unit-factor } (\text{normalize } a) = 1$
 ⟨proof⟩

lemma *dvd-unit-factor-div*:
 assumes $b \text{ dvd } a$
 shows $\text{unit-factor } (a \text{ div } b) = \text{unit-factor } a \text{ div unit-factor } b$
 ⟨proof⟩

lemma *dvd-normalize-div*:
 assumes $b \text{ dvd } a$
 shows $\text{normalize } (a \text{ div } b) = \text{normalize } a \text{ div normalize } b$
 ⟨proof⟩

lemma *normalize-dvd-iff* [simp]: $\text{normalize } a \text{ dvd } b \longleftrightarrow a \text{ dvd } b$
 ⟨proof⟩

lemma *dvd-normalize-iff* [simp]: $a \text{ dvd normalize } b \longleftrightarrow a \text{ dvd } b$
 ⟨proof⟩

lemma *normalize-idem-imp-unit-factor-eq*:
 assumes $\text{normalize } a = a$
 shows $\text{unit-factor } a = \text{of-bool } (a \neq 0)$
 ⟨proof⟩

lemma *normalize-idem-imp-is-unit-iff*:

assumes *normalize a = a*
shows *is-unit a \longleftrightarrow a = 1*
 \langle *proof* \rangle

We avoid an explicit definition of associated elements but prefer explicit normalisation instead. In theory we could define an abbreviation like *associated a b = (normalize a = normalize b)* but this is counterproductive without suggestive infix syntax, which we do not want to sacrifice for this purpose here.

lemma *associatedI*:

assumes *a dvd b and b dvd a*
shows *normalize a = normalize b*
 \langle *proof* \rangle

lemma *associatedD1: normalize a = normalize b \implies a dvd b*

\langle *proof* \rangle

lemma *associatedD2: normalize a = normalize b \implies b dvd a*

\langle *proof* \rangle

lemma *associated-unit: normalize a = normalize b \implies is-unit a \implies is-unit b*

\langle *proof* \rangle

lemma *associated-iff-dvd: normalize a = normalize b \longleftrightarrow a dvd b \wedge b dvd a*

(**is** *?lhs \longleftrightarrow ?rhs*)

\langle *proof* \rangle

lemma *associated-eqI*:

assumes *a dvd b and b dvd a*
assumes *normalize a = a and normalize b = b*
shows *a = b*
 \langle *proof* \rangle

lemma *normalize-unit-factor-eqI*:

assumes *normalize a = normalize b*
and *unit-factor a = unit-factor b*
shows *a = b*
 \langle *proof* \rangle

end

Syntactic division remainder operator

class *modulo* = *dvd + divide +*
fixes *modulo :: 'a \Rightarrow 'a \Rightarrow 'a (infixl mod 70)*

Arbitrary quotient and remainder partitions

class *semiring-modulo* = *comm-semiring-1-cancel + divide + modulo +*

assumes *div-mult-mod-eq*: $a \text{ div } b * b + a \text{ mod } b = a$
begin

lemma *mod-div-decomp*:
fixes $a \ b$
obtains $q \ r$ **where** $q = a \text{ div } b$ **and** $r = a \text{ mod } b$
and $a = q * b + r$
 $\langle \text{proof} \rangle$

lemma *mult-div-mod-eq*: $b * (a \text{ div } b) + a \text{ mod } b = a$
 $\langle \text{proof} \rangle$

lemma *mod-div-mult-eq*: $a \text{ mod } b + a \text{ div } b * b = a$
 $\langle \text{proof} \rangle$

lemma *mod-mult-div-eq*: $a \text{ mod } b + b * (a \text{ div } b) = a$
 $\langle \text{proof} \rangle$

lemma *minus-div-mult-eq-mod*: $a - a \text{ div } b * b = a \text{ mod } b$
 $\langle \text{proof} \rangle$

lemma *minus-mult-div-eq-mod*: $a - b * (a \text{ div } b) = a \text{ mod } b$
 $\langle \text{proof} \rangle$

lemma *minus-mod-eq-div-mult*: $a - a \text{ mod } b = a \text{ div } b * b$
 $\langle \text{proof} \rangle$

lemma *minus-mod-eq-mult-div*: $a - a \text{ mod } b = b * (a \text{ div } b)$
 $\langle \text{proof} \rangle$

end

class *ordered-semiring* = *semiring* + *ordered-comm-monoid-add* +
assumes *mult-left-mono*: $a \leq b \implies 0 \leq c \implies c * a \leq c * b$
assumes *mult-right-mono*: $a \leq b \implies 0 \leq c \implies a * c \leq b * c$
begin

lemma *mult-mono*: $a \leq b \implies c \leq d \implies 0 \leq b \implies 0 \leq c \implies a * c \leq b * d$
 $\langle \text{proof} \rangle$

lemma *mult-mono'*: $a \leq b \implies c \leq d \implies 0 \leq a \implies 0 \leq c \implies a * c \leq b * d$
 $\langle \text{proof} \rangle$

end

class *ordered-semiring-0* = *semiring-0* + *ordered-semiring*
begin

lemma *mult-nonneg-nonneg* [*simp*]: $0 \leq a \implies 0 \leq b \implies 0 \leq a * b$
 ⟨*proof*⟩

lemma *mult-nonneg-nonpos*: $0 \leq a \implies b \leq 0 \implies a * b \leq 0$
 ⟨*proof*⟩

lemma *mult-nonpos-nonneg*: $a \leq 0 \implies 0 \leq b \implies a * b \leq 0$
 ⟨*proof*⟩

Legacy – use *mult-nonpos-nonneg*.

lemma *mult-nonneg-nonpos2*: $0 \leq a \implies b \leq 0 \implies b * a \leq 0$
 ⟨*proof*⟩

lemma *split-mult-neg-le*: $(0 \leq a \wedge b \leq 0) \vee (a \leq 0 \wedge 0 \leq b) \implies a * b \leq 0$
 ⟨*proof*⟩

end

class *ordered-cancel-semiring* = *ordered-semiring* + *cancel-comm-monoid-add*
begin

subclass *semiring-0-cancel* ⟨*proof*⟩

subclass *ordered-semiring-0* ⟨*proof*⟩

end

class *linordered-semiring* = *ordered-semiring* + *linordered-cancel-ab-semigroup-add*
begin

subclass *ordered-cancel-semiring* ⟨*proof*⟩

subclass *ordered-cancel-comm-monoid-add* ⟨*proof*⟩

subclass *ordered-ab-semigroup-monoid-add-imp-le* ⟨*proof*⟩

lemma *mult-left-less-imp-less*: $c * a < c * b \implies 0 \leq c \implies a < b$
 ⟨*proof*⟩

lemma *mult-right-less-imp-less*: $a * c < b * c \implies 0 \leq c \implies a < b$
 ⟨*proof*⟩

end

class *linordered-semiring-1* = *linordered-semiring* + *semiring-1*
begin

lemma *convex-bound-le*:

assumes $x \leq a \ y \leq a \ 0 \leq u \ 0 \leq v \ u + v = 1$

shows $u * x + v * y \leq a$
 $\langle proof \rangle$

end

class *linordered-semiring-strict* = *semiring* + *comm-monoid-add* + *linordered-cancel-ab-semigroup-add*
 +

assumes *mult-strict-left-mono*: $a < b \implies 0 < c \implies c * a < c * b$

assumes *mult-strict-right-mono*: $a < b \implies 0 < c \implies a * c < b * c$

begin

subclass *semiring-0-cancel* $\langle proof \rangle$

subclass *linordered-semiring*

$\langle proof \rangle$

lemma *mult-left-le-imp-le*: $c * a \leq c * b \implies 0 < c \implies a \leq b$
 $\langle proof \rangle$

lemma *mult-right-le-imp-le*: $a * c \leq b * c \implies 0 < c \implies a \leq b$
 $\langle proof \rangle$

lemma *mult-pos-pos[simp]*: $0 < a \implies 0 < b \implies 0 < a * b$
 $\langle proof \rangle$

lemma *mult-pos-neg*: $0 < a \implies b < 0 \implies a * b < 0$
 $\langle proof \rangle$

lemma *mult-neg-pos*: $a < 0 \implies 0 < b \implies a * b < 0$
 $\langle proof \rangle$

Legacy – use *mult-neg-pos*.

lemma *mult-pos-neg2*: $0 < a \implies b < 0 \implies b * a < 0$
 $\langle proof \rangle$

lemma *zero-less-mult-pos*: $0 < a * b \implies 0 < a \implies 0 < b$
 $\langle proof \rangle$

lemma *zero-less-mult-pos2*: $0 < b * a \implies 0 < a \implies 0 < b$
 $\langle proof \rangle$

Strict monotonicity in both arguments

lemma *mult-strict-mono*:

assumes $a < b$ **and** $c < d$ **and** $0 < b$ **and** $0 \leq c$

shows $a * c < b * d$

$\langle proof \rangle$

This weaker variant has more natural premises

lemma *mult-strict-mono'*:

assumes $a < b$ and $c < d$ and $0 \leq a$ and $0 \leq c$
 shows $a * c < b * d$
 $\langle \text{proof} \rangle$

lemma *mult-less-le-imp-less*:
 assumes $a < b$ and $c \leq d$ and $0 \leq a$ and $0 < c$
 shows $a * c < b * d$
 $\langle \text{proof} \rangle$

lemma *mult-le-less-imp-less*:
 assumes $a \leq b$ and $c < d$ and $0 < a$ and $0 \leq c$
 shows $a * c < b * d$
 $\langle \text{proof} \rangle$

end

class *linordered-semiring-1-strict* = *linordered-semiring-strict* + *semiring-1*
begin

subclass *linordered-semiring-1* $\langle \text{proof} \rangle$

lemma *convex-bound-lt*:
 assumes $x < a$ $y < a$ $0 \leq u$ $0 \leq v$ $u + v = 1$
 shows $u * x + v * y < a$
 $\langle \text{proof} \rangle$

end

class *ordered-comm-semiring* = *comm-semiring-0* + *ordered-ab-semigroup-add* +
 assumes *comm-mult-left-mono*: $a \leq b \implies 0 \leq c \implies c * a \leq c * b$
begin

subclass *ordered-semiring*
 $\langle \text{proof} \rangle$

end

class *ordered-cancel-comm-semiring* = *ordered-comm-semiring* + *cancel-comm-monoid-add*
begin

subclass *comm-semiring-0-cancel* $\langle \text{proof} \rangle$
subclass *ordered-comm-semiring* $\langle \text{proof} \rangle$
subclass *ordered-cancel-semiring* $\langle \text{proof} \rangle$

end

class *linordered-comm-semiring-strict* = *comm-semiring-0* + *linordered-cancel-ab-semigroup-add* +
 assumes *comm-mult-strict-left-mono*: $a < b \implies 0 < c \implies c * a < c * b$

begin

subclass *linordered-semiring-strict*
 $\langle \text{proof} \rangle$

subclass *ordered-cancel-comm-semiring*
 $\langle \text{proof} \rangle$

end

class *ordered-ring* = *ring* + *ordered-cancel-semiring*
begin

subclass *ordered-ab-group-add* $\langle \text{proof} \rangle$

lemma *less-add-iff1*: $a * e + c < b * e + d \longleftrightarrow (a - b) * e + c < d$
 $\langle \text{proof} \rangle$

lemma *less-add-iff2*: $a * e + c < b * e + d \longleftrightarrow c < (b - a) * e + d$
 $\langle \text{proof} \rangle$

lemma *le-add-iff1*: $a * e + c \leq b * e + d \longleftrightarrow (a - b) * e + c \leq d$
 $\langle \text{proof} \rangle$

lemma *le-add-iff2*: $a * e + c \leq b * e + d \longleftrightarrow c \leq (b - a) * e + d$
 $\langle \text{proof} \rangle$

lemma *mult-left-mono-neg*: $b \leq a \implies c \leq 0 \implies c * a \leq c * b$
 $\langle \text{proof} \rangle$

lemma *mult-right-mono-neg*: $b \leq a \implies c \leq 0 \implies a * c \leq b * c$
 $\langle \text{proof} \rangle$

lemma *mult-nonpos-nonpos*: $a \leq 0 \implies b \leq 0 \implies 0 \leq a * b$
 $\langle \text{proof} \rangle$

lemma *split-mult-pos-le*: $(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a * b$
 $\langle \text{proof} \rangle$

end

class *abs-if* = *minus* + *uminus* + *ord* + *zero* + *abs* +
assumes *abs-if*: $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$

class *linordered-ring* = *ring* + *linordered-semiring* + *linordered-ab-group-add* +
abs-if
begin

subclass *ordered-ring* $\langle \text{proof} \rangle$

subclass *ordered-ab-group-add-abs*

$\langle \text{proof} \rangle$

lemma *zero-le-square* [*simp*]: $0 \leq a * a$

$\langle \text{proof} \rangle$

lemma *not-square-less-zero* [*simp*]: $\neg (a * a < 0)$

$\langle \text{proof} \rangle$

proposition *abs-eq-iff*: $|x| = |y| \longleftrightarrow x = y \vee x = -y$

$\langle \text{proof} \rangle$

lemma *abs-eq-iff'*:

$|a| = b \longleftrightarrow b \geq 0 \wedge (a = b \vee a = -b)$

$\langle \text{proof} \rangle$

lemma *eq-abs-iff'*:

$a = |b| \longleftrightarrow a \geq 0 \wedge (b = a \vee b = -a)$

$\langle \text{proof} \rangle$

lemma *sum-squares-ge-zero*: $0 \leq x * x + y * y$

$\langle \text{proof} \rangle$

lemma *not-sum-squares-lt-zero*: $\neg x * x + y * y < 0$

$\langle \text{proof} \rangle$

end

class *linordered-ring-strict* = *ring* + *linordered-semiring-strict*

+ *ordered-ab-group-add* + *abs-if*

begin

subclass *linordered-ring* $\langle \text{proof} \rangle$

lemma *mult-strict-left-mono-neg*: $b < a \implies c < 0 \implies c * a < c * b$

$\langle \text{proof} \rangle$

lemma *mult-strict-right-mono-neg*: $b < a \implies c < 0 \implies a * c < b * c$

$\langle \text{proof} \rangle$

lemma *mult-neg-neg*: $a < 0 \implies b < 0 \implies 0 < a * b$

$\langle \text{proof} \rangle$

subclass *ring-no-zero-divisors*

$\langle \text{proof} \rangle$

lemma *zero-less-mult-iff*: $0 < a * b \longleftrightarrow 0 < a \wedge 0 < b \vee a < 0 \wedge b < 0$

$\langle \text{proof} \rangle$

lemma *zero-le-mult-iff*: $0 \leq a * b \longleftrightarrow 0 \leq a \wedge 0 \leq b \vee a \leq 0 \wedge b \leq 0$
 ⟨proof⟩

lemma *mult-less-0-iff*: $a * b < 0 \longleftrightarrow 0 < a \wedge b < 0 \vee a < 0 \wedge 0 < b$
 ⟨proof⟩

lemma *mult-le-0-iff*: $a * b \leq 0 \longleftrightarrow 0 \leq a \wedge b \leq 0 \vee a \leq 0 \wedge 0 \leq b$
 ⟨proof⟩

Cancellation laws for $c * a < c * b$ and $a * c < b * c$, also with the relations \leq and equality.

These “disjunction” versions produce two cases when the comparison is an assumption, but effectively four when the comparison is a goal.

lemma *mult-less-cancel-right-disj*: $a * c < b * c \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$
 ⟨proof⟩

lemma *mult-less-cancel-left-disj*: $c * a < c * b \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$
 ⟨proof⟩

The “conjunction of implication” lemmas produce two cases when the comparison is a goal, but give four when the comparison is an assumption.

lemma *mult-less-cancel-right*: $a * c < b * c \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$
 ⟨proof⟩

lemma *mult-less-cancel-left*: $c * a < c * b \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$
 ⟨proof⟩

lemma *mult-le-cancel-right*: $a * c \leq b * c \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$
 ⟨proof⟩

lemma *mult-le-cancel-left*: $c * a \leq c * b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$
 ⟨proof⟩

lemma *mult-le-cancel-left-pos*: $0 < c \implies c * a \leq c * b \longleftrightarrow a \leq b$
 ⟨proof⟩

lemma *mult-le-cancel-left-neg*: $c < 0 \implies c * a \leq c * b \longleftrightarrow b \leq a$
 ⟨proof⟩

lemma *mult-less-cancel-left-pos*: $0 < c \implies c * a < c * b \longleftrightarrow a < b$
 ⟨proof⟩

lemma *mult-less-cancel-left-neg*: $c < 0 \implies c * a < c * b \longleftrightarrow b < a$
 ⟨*proof*⟩

end

lemmas *mult-sign-intros* =
mult-nonneg-nonneg mult-nonneg-nonpos
mult-nonpos-nonneg mult-nonpos-nonpos
mult-pos-pos mult-pos-neg
mult-neg-pos mult-neg-neg

class *ordered-comm-ring* = *comm-ring* + *ordered-comm-semiring*
begin

subclass *ordered-ring* ⟨*proof*⟩
subclass *ordered-cancel-comm-semiring* ⟨*proof*⟩

end

class *zero-less-one* = *order* + *zero* + *one* +
assumes *zero-less-one* [*simp*]: $0 < 1$

class *linordered-nonzero-semiring* = *ordered-comm-semiring* + *monoid-mult* +
linorder + *zero-less-one*
begin

subclass *zero-neg-one*
 ⟨*proof*⟩

subclass *comm-semiring-1*
 ⟨*proof*⟩

lemma *zero-le-one* [*simp*]: $0 \leq 1$
 ⟨*proof*⟩

lemma *not-one-le-zero* [*simp*]: $\neg 1 \leq 0$
 ⟨*proof*⟩

lemma *not-one-less-zero* [*simp*]: $\neg 1 < 0$
 ⟨*proof*⟩

lemma *mult-left-le*: $c \leq 1 \implies 0 \leq a \implies a * c \leq a$
 ⟨*proof*⟩

lemma *mult-le-one*: $a \leq 1 \implies 0 \leq b \implies b \leq 1 \implies a * b \leq 1$
 ⟨*proof*⟩

lemma *zero-less-two*: $0 < 1 + 1$


```

    <proof>

end

class linordered-semidom = semidom + linordered-comm-semiring-strict + zero-less-one
+
  assumes le-add-diff-inverse2 [simp]:  $b \leq a \implies a - b + b = a$ 
begin

subclass linordered-nonzero-semiring <proof>

Addition is the inverse of subtraction.

lemma le-add-diff-inverse [simp]:  $b \leq a \implies b + (a - b) = a$ 
  <proof>

lemma add-diff-inverse:  $\neg a < b \implies b + (a - b) = a$ 
  <proof>

lemma add-le-imp-le-diff:  $i + k \leq n \implies i \leq n - k$ 
  <proof>

lemma add-le-add-imp-diff-le:
  assumes 1:  $i + k \leq n$ 
  and 2:  $n \leq j + k$ 
  shows  $i + k \leq n \implies n \leq j + k \implies n - k \leq j$ 
  <proof>

lemma less-1-mult:  $1 < m \implies 1 < n \implies 1 < m * n$ 
  <proof>

end

class linordered-idom =
  comm-ring-1 + linordered-comm-semiring-strict + ordered-ab-group-add + abs-if
+ sgn +
  assumes sgn-if:  $\text{sgn } x = (\text{if } x = 0 \text{ then } 0 \text{ else if } 0 < x \text{ then } 1 \text{ else } -1)$ 
begin

subclass linordered-semiring-1-strict <proof>
subclass linordered-ring-strict <proof>
subclass ordered-comm-ring <proof>
subclass idom <proof>

subclass linordered-semidom
  <proof>

subclass idom-abs-sgn
  <proof>

```

lemma *linorder-neqE-linordered-idom*:

assumes $x \neq y$

obtains $x < y \mid y < x$

$\langle \text{proof} \rangle$

These cancellation simp rules also produce two cases when the comparison is a goal.

lemma *mult-le-cancel-right1*: $c \leq b * c \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$

$\langle \text{proof} \rangle$

lemma *mult-le-cancel-right2*: $a * c \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$

$\langle \text{proof} \rangle$

lemma *mult-le-cancel-left1*: $c \leq c * b \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$

$\langle \text{proof} \rangle$

lemma *mult-le-cancel-left2*: $c * a \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$

$\langle \text{proof} \rangle$

lemma *mult-less-cancel-right1*: $c < b * c \longleftrightarrow (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$

$\langle \text{proof} \rangle$

lemma *mult-less-cancel-right2*: $a * c < c \longleftrightarrow (0 \leq c \longrightarrow a < 1) \wedge (c \leq 0 \longrightarrow 1 < a)$

$\langle \text{proof} \rangle$

lemma *mult-less-cancel-left1*: $c < c * b \longleftrightarrow (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$

$\langle \text{proof} \rangle$

lemma *mult-less-cancel-left2*: $c * a < c \longleftrightarrow (0 \leq c \longrightarrow a < 1) \wedge (c \leq 0 \longrightarrow 1 < a)$

$\langle \text{proof} \rangle$

lemma *sgn-0-0*: $\text{sgn } a = 0 \longleftrightarrow a = 0$

$\langle \text{proof} \rangle$

lemma *sgn-1-pos*: $\text{sgn } a = 1 \longleftrightarrow a > 0$

$\langle \text{proof} \rangle$

lemma *sgn-1-neg*: $\text{sgn } a = -1 \longleftrightarrow a < 0$

$\langle \text{proof} \rangle$

lemma *sgn-pos [simp]*: $0 < a \implies \text{sgn } a = 1$

$\langle proof \rangle$

lemma *sgn-neg* [simp]: $a < 0 \implies \text{sgn } a = -1$
 $\langle proof \rangle$

lemma *abs-sgn*: $|k| = k * \text{sgn } k$
 $\langle proof \rangle$

lemma *sgn-greater* [simp]: $0 < \text{sgn } a \iff 0 < a$
 $\langle proof \rangle$

lemma *sgn-less* [simp]: $\text{sgn } a < 0 \iff a < 0$
 $\langle proof \rangle$

lemma *abs-sgn-eq-1* [simp]:
 $a \neq 0 \implies |\text{sgn } a| = 1$
 $\langle proof \rangle$

lemma *abs-sgn-eq*: $|\text{sgn } a| = (\text{if } a = 0 \text{ then } 0 \text{ else } 1)$
 $\langle proof \rangle$

lemma *sgn-mult-self-eq* [simp]:
 $\text{sgn } a * \text{sgn } a = \text{of_bool } (a \neq 0)$
 $\langle proof \rangle$

lemma *abs-mult-self-eq* [simp]:
 $|a| * |a| = a * a$
 $\langle proof \rangle$

lemma *same-sgn-sgn-add*:
 $\text{sgn } (a + b) = \text{sgn } a \text{ if } \text{sgn } b = \text{sgn } a$
 $\langle proof \rangle$

lemma *same-sgn-abs-add*:
 $|a + b| = |a| + |b| \text{ if } \text{sgn } b = \text{sgn } a$
 $\langle proof \rangle$

lemma *abs-dvd-iff* [simp]: $|m| \text{ dvd } k \iff m \text{ dvd } k$
 $\langle proof \rangle$

lemma *dvd-abs-iff* [simp]: $m \text{ dvd } |k| \iff m \text{ dvd } k$
 $\langle proof \rangle$

lemma *dvd-if-abs-eq*: $|l| = |k| \implies l \text{ dvd } k$
 $\langle proof \rangle$

The following lemmas can be proven in more general structures, but are dangerous as simp rules in absence of $(- ?a = ?a) = (?a = (0::'a))$, $(- ?a < ?a) = ((0::'a) < ?a)$, $(- ?a \leq ?a) = ((0::'a) \leq ?a)$.

lemma *equation-minus-iff-1* [*simp, no-atp*]: $1 = - a \longleftrightarrow a = - 1$
 $\langle \text{proof} \rangle$

lemma *minus-equation-iff-1* [*simp, no-atp*]: $- a = 1 \longleftrightarrow a = - 1$
 $\langle \text{proof} \rangle$

lemma *le-minus-iff-1* [*simp, no-atp*]: $1 \leq - b \longleftrightarrow b \leq - 1$
 $\langle \text{proof} \rangle$

lemma *minus-le-iff-1* [*simp, no-atp*]: $- a \leq 1 \longleftrightarrow - 1 \leq a$
 $\langle \text{proof} \rangle$

lemma *less-minus-iff-1* [*simp, no-atp*]: $1 < - b \longleftrightarrow b < - 1$
 $\langle \text{proof} \rangle$

lemma *minus-less-iff-1* [*simp, no-atp*]: $- a < 1 \longleftrightarrow - 1 < a$
 $\langle \text{proof} \rangle$

end

Simprules for comparisons where common factors can be cancelled.

lemmas *mult-compare-simps* =
mult-le-cancel-right mult-le-cancel-left
mult-le-cancel-right1 mult-le-cancel-right2
mult-le-cancel-left1 mult-le-cancel-left2
mult-less-cancel-right mult-less-cancel-left
mult-less-cancel-right1 mult-less-cancel-right2
mult-less-cancel-left1 mult-less-cancel-left2
mult-cancel-right mult-cancel-left
mult-cancel-right1 mult-cancel-right2
mult-cancel-left1 mult-cancel-left2

Reasoning about inequalities with division

context *linordered-semidom*
begin

lemma *less-add-one*: $a < a + 1$
 $\langle \text{proof} \rangle$

end

context *linordered-idom*
begin

lemma *mult-right-le-one-le*: $0 \leq x \implies 0 \leq y \implies y \leq 1 \implies x * y \leq x$
 $\langle \text{proof} \rangle$

lemma *mult-left-le-one-le*: $0 \leq x \implies 0 \leq y \implies y \leq 1 \implies y * x \leq x$
 $\langle \text{proof} \rangle$

end

Absolute Value

context *linordered-idom*
begin

lemma *mult-sgn-abs*: $\text{sgn } x * |x| = x$
 $\langle \text{proof} \rangle$

lemma *abs-one*: $|1| = 1$
 $\langle \text{proof} \rangle$

end

class *ordered-ring-abs* = *ordered-ring* + *ordered-ab-group-add-abs* +
assumes *abs-eq-mult*:
 $(0 \leq a \vee a \leq 0) \wedge (0 \leq b \vee b \leq 0) \implies |a * b| = |a| * |b|$

context *linordered-idom*
begin

subclass *ordered-ring-abs*
 $\langle \text{proof} \rangle$

lemma *abs-mult-self* [*simp*]: $|a| * |a| = a * a$
 $\langle \text{proof} \rangle$

lemma *abs-mult-less*:
assumes *ac*: $|a| < c$
and *bd*: $|b| < d$
shows $|a| * |b| < c * d$
 $\langle \text{proof} \rangle$

lemma *abs-less-iff*: $|a| < b \longleftrightarrow a < b \wedge -a < b$
 $\langle \text{proof} \rangle$

lemma *abs-mult-pos*: $0 \leq x \implies |y| * x = |y * x|$
 $\langle \text{proof} \rangle$

lemma *abs-diff-less-iff*: $|x - a| < r \longleftrightarrow a - r < x \wedge x < a + r$
 $\langle \text{proof} \rangle$

lemma *abs-diff-le-iff*: $|x - a| \leq r \longleftrightarrow a - r \leq x \wedge x \leq a + r$
 $\langle \text{proof} \rangle$

lemma *abs-add-one-gt-zero*: $0 < 1 + |x|$
 $\langle \text{proof} \rangle$

end

15.1 Dioids

Dioids are the alternative extensions of semirings, a semiring can either be a ring or a dioid but never both.

```
class dioid = semiring-1 + canonically-ordered-monoid-add
begin
```

```
  subclass ordered-semiring
    <proof>
```

end

```
hide-fact (open) comm-mult-left-mono comm-mult-strict-left-mono distrib
```

```
code-identifier
```

```
  code-module Rings  $\rightarrow$  (SML) Arith and (OCaml) Arith and (Haskell) Arith
```

end

16 Natural numbers

```
theory Nat
```

```
imports Inductive Typedef Fun Rings
```

```
begin
```

```
named-theorems arith arith facts  $--$  only ground formulas
<ML>
```

16.1 Type *ind*

```
typedecl ind
```

```
axiomatization Zero-Rep :: ind and Suc-Rep :: ind  $\Rightarrow$  ind
```

— The axiom of infinity in 2 parts:

```
  where Suc-Rep-inject: Suc-Rep x = Suc-Rep y  $\Rightarrow$  x = y
    and Suc-Rep-not-Zero-Rep: Suc-Rep x  $\neq$  Zero-Rep
```

16.2 Type *nat*

Type definition

```
inductive Nat :: ind  $\Rightarrow$  bool
```

```
  where
```

```
    Zero-RepI: Nat Zero-Rep
```

```
  | Suc-RepI: Nat i  $\Rightarrow$  Nat (Suc-Rep i)
```

typedef *nat* = {*n*. *Nat n*}
morphisms *Rep-Nat Abs-Nat*
 ⟨*proof*⟩

lemma *Nat-Rep-Nat*: *Nat (Rep-Nat n)*
 ⟨*proof*⟩

lemma *Nat-Abs-Nat-inverse*: *Nat n* \implies *Rep-Nat (Abs-Nat n) = n*
 ⟨*proof*⟩

lemma *Nat-Abs-Nat-inject*: *Nat n* \implies *Nat m* \implies *Abs-Nat n = Abs-Nat m* \longleftrightarrow
n = m
 ⟨*proof*⟩

instantiation *nat* :: *zero*
begin

definition *Zero-nat-def*: *0 = Abs-Nat Zero-Rep*

instance ⟨*proof*⟩

end

definition *Suc* :: *nat* \Rightarrow *nat*
where *Suc n = Abs-Nat (Suc-Rep (Rep-Nat n))*

lemma *Suc-not-Zero*: *Suc m* \neq *0*
 ⟨*proof*⟩

lemma *Zero-not-Suc*: *0* \neq *Suc m*
 ⟨*proof*⟩

lemma *Suc-Rep-inject'*: *Suc-Rep x = Suc-Rep y* \longleftrightarrow *x = y*
 ⟨*proof*⟩

lemma *nat-induct0*:
assumes *P 0*
and $\bigwedge n. P n \implies P (Suc n)$
shows *P n*
 ⟨*proof*⟩

free-constructors *case-nat* **for** *0* :: *nat* | *Suc pred*
where *pred (0 :: nat) = (0 :: nat)*
 ⟨*proof*⟩
 ⟨*ML*⟩

old-rep-datatype *0* :: *nat* *Suc*
 ⟨*proof*⟩

⟨ML⟩

```

declare old.nat.inject[iff del]
  and old.nat.distinct(1)[simp del, induct-simp del]

lemmas induct = old.nat.induct
lemmas inducts = old.nat.inducts
lemmas rec = old.nat.rec
lemmas simps = nat.inject nat.distinct nat.case nat.rec

```

⟨ML⟩

```

abbreviation rec-nat :: 'a ⇒ (nat ⇒ 'a ⇒ 'a) ⇒ nat ⇒ 'a
  where rec-nat ≡ old.rec-nat

```

```

declare nat.sel[code del]

```

hide-const (**open**) *Nat.pred* — hide everything related to the selector

hide-fact

```

nat.case-eq-if
nat.collapse
nat.expand
nat.sel
nat.exhaust-sel
nat.split-sel
nat.split-sel-asm

```

```

lemma nat-exhaust [case-names 0 Suc, cases type: nat]:
  (y = 0 ⇒ P) ⇒ (∧nat. y = Suc nat ⇒ P) ⇒ P
  — for backward compatibility – names of variables differ
  ⟨proof⟩

```

```

lemma nat-induct [case-names 0 Suc, induct type: nat]:
  fixes n
  assumes P 0 and ∧n. P n ⇒ P (Suc n)
  shows P n
  — for backward compatibility – names of variables differ
  ⟨proof⟩

```

hide-fact

```

nat-exhaust
nat-induct0

```

⟨ML⟩

Injectiveness and distinctness lemmas

```

lemma (in semidom-divide) inj-times:
  inj (times a) if a ≠ 0

```


$\langle proof \rangle$

lemma (in *cancel-ab-semigroup-add*) *inj-plus*:
 inj (plus a)
 $\langle proof \rangle$

lemma *inj-Suc[simp]*: *inj-on Suc N*
 $\langle proof \rangle$

lemma *Suc-neq-Zero*: $Suc\ m = 0 \implies R$
 $\langle proof \rangle$

lemma *Zero-neq-Suc*: $0 = Suc\ m \implies R$
 $\langle proof \rangle$

lemma *Suc-inject*: $Suc\ x = Suc\ y \implies x = y$
 $\langle proof \rangle$

lemma *n-not-Suc-n*: $n \neq Suc\ n$
 $\langle proof \rangle$

lemma *Suc-n-not-n*: $Suc\ n \neq n$
 $\langle proof \rangle$

A special form of induction for reasoning about $m < n$ and $m - n$.

lemma *diff-induct*:
 assumes $\bigwedge x. P\ x\ 0$
 and $\bigwedge y. P\ 0\ (Suc\ y)$
 and $\bigwedge x\ y. P\ x\ y \implies P\ (Suc\ x)\ (Suc\ y)$
 shows $P\ m\ n$
 $\langle proof \rangle$

16.3 Arithmetic operators

instantiation *nat* :: *comm-monoid-diff*
begin

primrec *plus-nat*
 where
 add-0: $0 + n = (n::nat)$
 | *add-Suc*: $Suc\ m + n = Suc\ (m + n)$

lemma *add-0-right [simp]*: $m + 0 = m$
for $m :: nat$
 $\langle proof \rangle$

lemma *add-Suc-right [simp]*: $m + Suc\ n = Suc\ (m + n)$
 $\langle proof \rangle$

declare *add-0* [*code*]

lemma *add-Suc-shift* [*code*]: $Suc\ m + n = m + Suc\ n$
 $\langle proof \rangle$

primrec *minus-nat*

where

diff-0 [*code*]: $m - 0 = (m::nat)$
 $| \textit{diff-Suc}$: $m - Suc\ n = (case\ m - n\ of\ 0 \Rightarrow 0 \mid Suc\ k \Rightarrow k)$

declare *diff-Suc* [*simp del*]

lemma *diff-0-eq-0* [*simp, code*]: $0 - n = 0$
for $n :: nat$
 $\langle proof \rangle$

lemma *diff-Suc-Suc* [*simp, code*]: $Suc\ m - Suc\ n = m - n$
 $\langle proof \rangle$

instance

$\langle proof \rangle$

end

hide-fact (**open**) *add-0 add-0-right diff-0*

instantiation $nat :: comm-semiring-1-cancel$
begin

definition *One-nat-def* [*simp*]: $1 = Suc\ 0$

primrec *times-nat*

where

mult-0: $0 * n = (0::nat)$
 $| \textit{mult-Suc}$: $Suc\ m * n = n + (m * n)$

lemma *mult-0-right* [*simp*]: $m * 0 = 0$
for $m :: nat$
 $\langle proof \rangle$

lemma *mult-Suc-right* [*simp*]: $m * Suc\ n = m + (m * n)$
 $\langle proof \rangle$

lemma *add-mult-distrib*: $(m + n) * k = (m * k) + (n * k)$
for $m\ n\ k :: nat$
 $\langle proof \rangle$

instance

$\langle proof \rangle$

end

16.3.1 Addition

Reasoning about $m + 0 = 0$, etc.

lemma *add-is-0* [iff]: $m + n = 0 \longleftrightarrow m = 0 \wedge n = 0$
for $m\ n :: \text{nat}$
 ⟨proof⟩

lemma *add-is-1*: $m + n = \text{Suc } 0 \longleftrightarrow m = \text{Suc } 0 \wedge n = 0 \mid m = 0 \wedge n = \text{Suc } 0$
 ⟨proof⟩

lemma *one-is-add*: $\text{Suc } 0 = m + n \longleftrightarrow m = \text{Suc } 0 \wedge n = 0 \mid m = 0 \wedge n = \text{Suc } 0$
 ⟨proof⟩

lemma *add-eq-self-zero*: $m + n = m \implies n = 0$
for $m\ n :: \text{nat}$
 ⟨proof⟩

lemma *inj-on-add-nat* [simp]: *inj-on* $(\lambda n. n + k)$ N
for $k :: \text{nat}$
 ⟨proof⟩

lemma *Suc-eq-plus1*: $\text{Suc } n = n + 1$
 ⟨proof⟩

lemma *Suc-eq-plus1-left*: $\text{Suc } n = 1 + n$
 ⟨proof⟩

16.3.2 Difference

lemma *Suc-diff-diff* [simp]: $(\text{Suc } m - n) - \text{Suc } k = m - n - k$
 ⟨proof⟩

lemma *diff-Suc-1* [simp]: $\text{Suc } n - 1 = n$
 ⟨proof⟩

16.3.3 Multiplication

lemma *mult-is-0* [simp]: $m * n = 0 \longleftrightarrow m = 0 \vee n = 0$ **for** $m\ n :: \text{nat}$
 ⟨proof⟩

lemma *mult-eq-1-iff* [simp]: $m * n = \text{Suc } 0 \longleftrightarrow m = \text{Suc } 0 \wedge n = \text{Suc } 0$
 ⟨proof⟩

lemma *one-eq-mult-iff* [simp]: $\text{Suc } 0 = m * n \longleftrightarrow m = \text{Suc } 0 \wedge n = \text{Suc } 0$
 ⟨proof⟩

lemma *nat-mult-eq-1-iff* [simp]: $m * n = 1 \longleftrightarrow m = 1 \wedge n = 1$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-1-eq-mult-iff* [simp]: $1 = m * n \longleftrightarrow m = 1 \wedge n = 1$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mult-cancel1* [simp]: $k * m = k * n \longleftrightarrow m = n \vee k = 0$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mult-cancel2* [simp]: $m * k = n * k \longleftrightarrow m = n \vee k = 0$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Suc-mult-cancel1*: $\text{Suc } k * m = \text{Suc } k * n \longleftrightarrow m = n$
 $\langle \text{proof} \rangle$

16.4 Orders on *nat*

16.4.1 Operation definition

instantiation *nat* :: *linorder*
begin

primrec *less-eq-nat*
where
 $(0 :: \text{nat}) \leq n \longleftrightarrow \text{True}$
 $|\ \text{Suc } m \leq n \longleftrightarrow (\text{case } n \text{ of } 0 \Rightarrow \text{False} \mid \text{Suc } n \Rightarrow m \leq n)$

declare *less-eq-nat.simps* [simp del]

lemma *le0* [iff]: $0 \leq n$ **for**
 $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma [code]: $0 \leq n \longleftrightarrow \text{True}$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

definition *less-nat*
where *less-eq-Suc-le*: $n < m \longleftrightarrow \text{Suc } n \leq m$

lemma *Suc-le-mono* [iff]: $\text{Suc } n \leq \text{Suc } m \longleftrightarrow n \leq m$
 $\langle \text{proof} \rangle$

lemma *Suc-le-eq* [code]: $\text{Suc } m \leq n \longleftrightarrow m < n$
 $\langle \text{proof} \rangle$

lemma *le-0-eq* [*iff*]: $n \leq 0 \longleftrightarrow n = 0$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *not-less0* [*iff*]: $\neg n < 0$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *less-nat-zero-code* [*code*]: $n < 0 \longleftrightarrow \text{False}$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Suc-less-eq* [*iff*]: $\text{Suc } m < \text{Suc } n \longleftrightarrow m < n$
 $\langle \text{proof} \rangle$

lemma *less-Suc-eq-le* [*code*]: $m < \text{Suc } n \longleftrightarrow m \leq n$
 $\langle \text{proof} \rangle$

lemma *Suc-less-eq2*: $\text{Suc } n < m \longleftrightarrow (\exists m'. m = \text{Suc } m' \wedge n < m')$
 $\langle \text{proof} \rangle$

lemma *le-SucI*: $m \leq n \implies m \leq \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *Suc-leD*: $\text{Suc } m \leq n \implies m \leq n$
 $\langle \text{proof} \rangle$

lemma *less-SucI*: $m < n \implies m < \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *Suc-lessD*: $\text{Suc } m < n \implies m < n$
 $\langle \text{proof} \rangle$

instance
 $\langle \text{proof} \rangle$

end

instantiation $\text{nat} :: \text{order-bot}$
begin

definition *bot-nat* :: nat
where $\text{bot-nat} = 0$

instance
 $\langle \text{proof} \rangle$

end

instance *nat* :: *no-top*
 ⟨*proof*⟩

16.4.2 Introduction properties

lemma *lessI* [*iff*]: $n < \text{Suc } n$
 ⟨*proof*⟩

lemma *zero-less-Suc* [*iff*]: $0 < \text{Suc } n$
 ⟨*proof*⟩

16.4.3 Elimination properties

lemma *less-not-refl*: $\neg n < n$
for $n :: \text{nat}$
 ⟨*proof*⟩

lemma *less-not-refl2*: $n < m \implies m \neq n$
for $m \ n :: \text{nat}$
 ⟨*proof*⟩

lemma *less-not-refl3*: $s < t \implies s \neq t$
for $s \ t :: \text{nat}$
 ⟨*proof*⟩

lemma *less-irrefl-nat*: $n < n \implies R$
for $n :: \text{nat}$
 ⟨*proof*⟩

lemma *less-zeroE*: $n < 0 \implies R$
for $n :: \text{nat}$
 ⟨*proof*⟩

lemma *less-Suc-eq*: $m < \text{Suc } n \longleftrightarrow m < n \vee m = n$
 ⟨*proof*⟩

lemma *less-Suc0* [*iff*]: $(n < \text{Suc } 0) = (n = 0)$
 ⟨*proof*⟩

lemma *less-one* [*iff*]: $n < 1 \longleftrightarrow n = 0$
for $n :: \text{nat}$
 ⟨*proof*⟩

lemma *Suc-mono*: $m < n \implies \text{Suc } m < \text{Suc } n$
 ⟨*proof*⟩

”Less than” is antisymmetric, sort of.

lemma *less-antisym*: $\neg n < m \implies n < \text{Suc } m \implies m = n$
 ⟨*proof*⟩

lemma *nat-neq-iff*: $m \neq n \longleftrightarrow m < n \vee n < m$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

16.4.4 Inductive (?) properties

lemma *Suc-lessI*: $m < n \implies \text{Suc } m \neq n \implies \text{Suc } m < n$
 $\langle \text{proof} \rangle$

lemma *lessE*:
assumes *major*: $i < k$
and *1*: $k = \text{Suc } i \implies P$
and *2*: $\bigwedge j. i < j \implies k = \text{Suc } j \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *less-SucE*:
assumes *major*: $m < \text{Suc } n$
and *less*: $m < n \implies P$
and *eq*: $m = n \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *Suc-lessE*:
assumes *major*: $\text{Suc } i < k$
and *minor*: $\bigwedge j. i < j \implies k = \text{Suc } j \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *Suc-less-SucD*: $\text{Suc } m < \text{Suc } n \implies m < n$
 $\langle \text{proof} \rangle$

lemma *less-trans-Suc*:
assumes *le*: $i < j$
shows $j < k \implies \text{Suc } i < k$
 $\langle \text{proof} \rangle$

Can be used with *less-Suc-eq* to get $n = m \vee n < m$.

lemma *not-less-eq*: $\neg m < n \longleftrightarrow n < \text{Suc } m$
 $\langle \text{proof} \rangle$

lemma *not-less-eq-eq*: $\neg m \leq n \longleftrightarrow \text{Suc } n \leq m$
 $\langle \text{proof} \rangle$

Properties of “less than or equal”.

lemma *le-imp-less-Suc*: $m \leq n \implies m < \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *Suc-n-not-le-n*: $\neg \text{Suc } n \leq n$
 $\langle \text{proof} \rangle$

lemma *le-Suc-eq*: $m \leq \text{Suc } n \longleftrightarrow m \leq n \vee m = \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *le-SucE*: $m \leq \text{Suc } n \implies (m \leq n \implies R) \implies (m = \text{Suc } n \implies R) \implies R$
 $\langle \text{proof} \rangle$

lemma *Suc-leI*: $m < n \implies \text{Suc } m \leq n$
 $\langle \text{proof} \rangle$

Stronger version of *Suc-leD*.

lemma *Suc-le-lessD*: $\text{Suc } m \leq n \implies m < n$
 $\langle \text{proof} \rangle$

lemma *less-imp-le-nat*: $m < n \implies m \leq n$ **for** $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

For instance, $(\text{Suc } m < \text{Suc } n) = (\text{Suc } m \leq n) = (m < n)$

lemmas *le-simps* = *less-imp-le-nat less-Suc-eq-le Suc-le-eq*

Equivalence of $m \leq n$ and $m < n \vee m = n$

lemma *less-or-eq-imp-le*: $m < n \vee m = n \implies m \leq n$
for $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-eq-less-or-eq*: $m \leq n \longleftrightarrow m < n \vee m = n$
for $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

Useful with *blast*.

lemma *eq-imp-le*: $m = n \implies m \leq n$
for $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-refl*: $n \leq n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-trans*: $i \leq j \implies j \leq k \implies i \leq k$
for $i \ j \ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-antisym*: $m \leq n \implies n \leq m \implies m = n$
for $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-less-le*: $m < n \longleftrightarrow m \leq n \wedge m \neq n$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-neq-imply-less*: $m \leq n \implies m \neq n \implies m < n$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-le-linear*: $m \leq n \mid n \leq m$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemmas *linorder-neqE-nat* = *linorder-neqE* [**where** 'a = nat]

lemma *le-less-Suc-eq*: $m \leq n \implies n < \text{Suc } m \longleftrightarrow n = m$
 $\langle \text{proof} \rangle$

lemma *not-less-less-Suc-eq*: $\neg n < m \implies n < \text{Suc } m \longleftrightarrow n = m$
 $\langle \text{proof} \rangle$

lemmas *not-less-simps* = *not-less-less-Suc-eq* *le-less-Suc-eq*

lemma *not0-imply-Suc*: $n \neq 0 \implies \exists m. n = \text{Suc } m$
 $\langle \text{proof} \rangle$

lemma *gr0-imply-Suc*: $n > 0 \implies \exists m. n = \text{Suc } m$
 $\langle \text{proof} \rangle$

lemma *gr-imply-not0*: $m < n \implies n \neq 0$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *neq0-conv[iff]*: $n \neq 0 \longleftrightarrow 0 < n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

This theorem is useful with *blast*

lemma *gr0I*: $(n = 0 \implies \text{False}) \implies 0 < n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gr0-conv-Suc*: $0 < n \longleftrightarrow (\exists m. n = \text{Suc } m)$
 $\langle \text{proof} \rangle$

lemma *not-gr0 [iff]*: $\neg 0 < n \longleftrightarrow n = 0$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Suc-le-D*: $\text{Suc } n \leq m' \implies \exists m. m' = \text{Suc } m$

$\langle proof \rangle$

Useful in certain inductive arguments

lemma *less-Suc-eq-0-disj*: $m < \text{Suc } n \longleftrightarrow m = 0 \vee (\exists j. m = \text{Suc } j \wedge j < n)$
 $\langle proof \rangle$

lemma *All-less-Suc*: $(\forall i < \text{Suc } n. P i) = (P n \wedge (\forall i < n. P i))$
 $\langle proof \rangle$

lemma *All-less-Suc2*: $(\forall i < \text{Suc } n. P i) = (P 0 \wedge (\forall i < n. P(\text{Suc } i)))$
 $\langle proof \rangle$

lemma *Ex-less-Suc*: $(\exists i < \text{Suc } n. P i) = (P n \vee (\exists i < n. P i))$
 $\langle proof \rangle$

lemma *Ex-less-Suc2*: $(\exists i < \text{Suc } n. P i) = (P 0 \vee (\exists i < n. P(\text{Suc } i)))$
 $\langle proof \rangle$

16.4.5 Monotonicity of Addition

lemma *Suc-pred* [simp]: $n > 0 \implies \text{Suc } (n - \text{Suc } 0) = n$
 $\langle proof \rangle$

lemma *Suc-diff-1* [simp]: $0 < n \implies \text{Suc } (n - 1) = n$
 $\langle proof \rangle$

lemma *nat-add-left-cancel-le* [simp]: $k + m \leq k + n \longleftrightarrow m \leq n$
for $k m n :: \text{nat}$
 $\langle proof \rangle$

lemma *nat-add-left-cancel-less* [simp]: $k + m < k + n \longleftrightarrow m < n$
for $k m n :: \text{nat}$
 $\langle proof \rangle$

lemma *add-gr-0* [iff]: $m + n > 0 \longleftrightarrow m > 0 \vee n > 0$
for $m n :: \text{nat}$
 $\langle proof \rangle$

strict, in 1st argument

lemma *add-less-mono1*: $i < j \implies i + k < j + k$
for $i j k :: \text{nat}$
 $\langle proof \rangle$

strict, in both arguments

lemma *add-less-mono*: $i < j \implies k < l \implies i + k < j + l$
for $i j k l :: \text{nat}$
 $\langle proof \rangle$

Deleted *less-natE*; use *less-imp-Suc-add RS exE*

lemma *less-imp-Suc-add*: $m < n \implies \exists k. n = \text{Suc } (m + k)$
 ⟨proof⟩

lemma *le-Suc-ex*: $k \leq l \implies (\exists n. l = k + n)$
for $k\ l :: \text{nat}$
 ⟨proof⟩

strict, in 1st argument; proof is by induction on $k > 0$

lemma *mult-less-mono2*:
fixes $i\ j :: \text{nat}$
assumes $i < j$ **and** $0 < k$
shows $k * i < k * j$
 ⟨proof⟩

Addition is the inverse of subtraction: if $n \leq m$ then $n + (m - n) = m$.

lemma *add-diff-inverse-nat*: $\neg m < n \implies n + (m - n) = m$
for $m\ n :: \text{nat}$
 ⟨proof⟩

lemma *nat-le-iff-add*: $m \leq n \longleftrightarrow (\exists k. n = m + k)$
for $m\ n :: \text{nat}$
 ⟨proof⟩

The naturals form an ordered *semidom* and a *diod*.

instance *nat* :: *linordered-semidom*
 ⟨proof⟩

instance *nat* :: *diod*
 ⟨proof⟩

declare *le0*[*simp del*] — This is now $(0 :: ?'a) \leq ?x$
declare *le-0-eq*[*simp del*] — This is now $(?n \leq (0 :: ?'a)) = (?n = (0 :: ?'a))$
declare *not-less0*[*simp del*] — This is now $\neg ?n < (0 :: ?'a)$
declare *not-gr0*[*simp del*] — This is now $(\neg (0 :: ?'a) < ?n) = (?n = (0 :: ?'a))$

instance *nat* :: *ordered-cancel-comm-monoid-add* ⟨proof⟩
instance *nat* :: *ordered-cancel-comm-monoid-diff* ⟨proof⟩

16.4.6 *min* and *max*

lemma *mono-Suc*: *mono Suc*
 ⟨proof⟩

lemma *min-0L* [*simp*]: $\text{min } 0\ n = 0$
for $n :: \text{nat}$
 ⟨proof⟩

lemma *min-0R* [*simp*]: $\text{min } n\ 0 = 0$
for $n :: \text{nat}$

$\langle proof \rangle$

lemma *min-Suc-Suc* [simp]: $\min (Suc\ m) (Suc\ n) = Suc\ (\min\ m\ n)$
 $\langle proof \rangle$

lemma *min-Suc1*: $\min (Suc\ n)\ m = (case\ m\ of\ 0 \Rightarrow 0 \mid Suc\ m' \Rightarrow Suc(\min\ n\ m'))$
 $\langle proof \rangle$

lemma *min-Suc2*: $\min\ m\ (Suc\ n) = (case\ m\ of\ 0 \Rightarrow 0 \mid Suc\ m' \Rightarrow Suc(\min\ m'\ n))$
 $\langle proof \rangle$

lemma *max-0L* [simp]: $max\ 0\ n = n$
for $n :: nat$
 $\langle proof \rangle$

lemma *max-0R* [simp]: $max\ n\ 0 = n$
for $n :: nat$
 $\langle proof \rangle$

lemma *max-Suc-Suc* [simp]: $max (Suc\ m) (Suc\ n) = Suc\ (max\ m\ n)$
 $\langle proof \rangle$

lemma *max-Suc1*: $max (Suc\ n)\ m = (case\ m\ of\ 0 \Rightarrow Suc\ n \mid Suc\ m' \Rightarrow Suc\ (max\ n\ m'))$
 $\langle proof \rangle$

lemma *max-Suc2*: $max\ m\ (Suc\ n) = (case\ m\ of\ 0 \Rightarrow Suc\ n \mid Suc\ m' \Rightarrow Suc\ (max\ m'\ n))$
 $\langle proof \rangle$

lemma *nat-mult-min-left*: $\min\ m\ n * q = \min\ (m * q)\ (n * q)$
for $m\ n\ q :: nat$
 $\langle proof \rangle$

lemma *nat-mult-min-right*: $m * \min\ n\ q = \min\ (m * n)\ (m * q)$
for $m\ n\ q :: nat$
 $\langle proof \rangle$

lemma *nat-add-max-left*: $max\ m\ n + q = max\ (m + q)\ (n + q)$
for $m\ n\ q :: nat$
 $\langle proof \rangle$

lemma *nat-add-max-right*: $m + max\ n\ q = max\ (m + n)\ (m + q)$
for $m\ n\ q :: nat$
 $\langle proof \rangle$

lemma *nat-mult-max-left*: $max\ m\ n * q = max\ (m * q)\ (n * q)$

for $m\ n\ q :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-mult-max-right*: $m * \max\ n\ q = \max\ (m * n)\ (m * q)$
for $m\ n\ q :: \text{nat}$
 $\langle \text{proof} \rangle$

16.4.7 Additional theorems about $op \leq$

Complete induction, aka course-of-values induction

instance $\text{nat} :: \text{wellorder}$
 $\langle \text{proof} \rangle$

lemma *Least-eq-0[simp]*: $P\ 0 \implies \text{Least}\ P = 0$
for $P :: \text{nat} \Rightarrow \text{bool}$
 $\langle \text{proof} \rangle$

lemma *Least-Suc*: $P\ n \implies \neg P\ 0 \implies (\text{LEAST}\ n.\ P\ n) = \text{Suc}\ (\text{LEAST}\ m.\ P\ (\text{Suc}\ m))$
 $\langle \text{proof} \rangle$

lemma *Least-Suc2*: $P\ n \implies Q\ m \implies \neg P\ 0 \implies \forall k.\ P\ (\text{Suc}\ k) = Q\ k \implies \text{Least}\ P = \text{Suc}\ (\text{Least}\ Q)$
 $\langle \text{proof} \rangle$

lemma *ex-least-nat-le*: $\neg P\ 0 \implies P\ n \implies \exists k \leq n. (\forall i < k. \neg P\ i) \wedge P\ k$
for $P :: \text{nat} \Rightarrow \text{bool}$
 $\langle \text{proof} \rangle$

lemma *ex-least-nat-less*: $\neg P\ 0 \implies P\ n \implies \exists k < n. (\forall i \leq k. \neg P\ i) \wedge P\ (k + 1)$
for $P :: \text{nat} \Rightarrow \text{bool}$
 $\langle \text{proof} \rangle$

lemma *nat-less-induct*:
fixes $P :: \text{nat} \Rightarrow \text{bool}$
assumes $\bigwedge n. \forall m. m < n \longrightarrow P\ m \implies P\ n$
shows $P\ n$
 $\langle \text{proof} \rangle$

lemma *measure-induct-rule* [case-names less]:
fixes $f :: 'a \Rightarrow 'b :: \text{wellorder}$
assumes *step*: $\bigwedge x. (\bigwedge y. f\ y < f\ x \implies P\ y) \implies P\ x$
shows $P\ a$
 $\langle \text{proof} \rangle$

old style induction rules:

lemma *measure-induct*:
fixes $f :: 'a \Rightarrow 'b :: \text{wellorder}$

shows $(\bigwedge x. \forall y. f\ y < f\ x \longrightarrow P\ y \Longrightarrow P\ x) \Longrightarrow P\ a$
 $\langle proof \rangle$

lemma *full-nat-induct*:

assumes *step*: $\bigwedge n. (\forall m. Suc\ m \leq n \longrightarrow P\ m) \Longrightarrow P\ n$
shows $P\ n$
 $\langle proof \rangle$

An induction rule for establishing binary relations

lemma *less-Suc-induct* [*consumes 1*]:

assumes *less*: $i < j$
and *step*: $\bigwedge i. P\ i\ (Suc\ i)$
and *trans*: $\bigwedge i\ j\ k. i < j \Longrightarrow j < k \Longrightarrow P\ i\ j \Longrightarrow P\ j\ k \Longrightarrow P\ i\ k$
shows $P\ i\ j$
 $\langle proof \rangle$

The method of infinite descent, frequently used in number theory. Provided by Roelof Oosterhuis. $P\ n$ is true for all natural numbers if

- case “0”: given $n = 0$ prove $P\ n$
- case “smaller”: given $n > 0$ and $\neg P\ n$ prove there exists a smaller natural number m such that $\neg P\ m$.

lemma *infinite-descent*: $(\bigwedge n. \neg P\ n \Longrightarrow \exists m < n. \neg P\ m) \Longrightarrow P\ n$ **for** $P :: nat \Rightarrow bool$

— compact version without explicit base case

$\langle proof \rangle$

lemma *infinite-descent0* [*case-names 0 smaller*]:

fixes $P :: nat \Rightarrow bool$
assumes $P\ 0$
and $\bigwedge n. n > 0 \Longrightarrow \neg P\ n \Longrightarrow \exists m. m < n \wedge \neg P\ m$
shows $P\ n$
 $\langle proof \rangle$

Infinite descent using a mapping to *nat*: $P\ x$ is true for all $x \in D$ if there exists a $V \in D \Rightarrow nat$ and

- case “0”: given $V\ x = 0$ prove $P\ x$
- “smaller”: given $V\ x > 0$ and $\neg P\ x$ prove there exists a $y \in D$ such that $V\ y < V\ x$ and $\neg P\ y$.

corollary *infinite-descent0-measure* [*case-names 0 smaller*]:

fixes $V :: 'a \Rightarrow nat$
assumes 1: $\bigwedge x. V\ x = 0 \Longrightarrow P\ x$
and 2: $\bigwedge x. V\ x > 0 \Longrightarrow \neg P\ x \Longrightarrow \exists y. V\ y < V\ x \wedge \neg P\ y$

shows $P\ x$
 $\langle proof \rangle$

Again, without explicit base case:

lemma *infinite-descent-measure*:
fixes $V :: 'a \Rightarrow nat$
assumes $\bigwedge x. \neg P\ x \Longrightarrow \exists y. V\ y < V\ x \wedge \neg P\ y$
shows $P\ x$
 $\langle proof \rangle$

A (clumsy) way of lifting $<$ monotonicity to \leq monotonicity

lemma *less-mono-imp-le-mono*:
fixes $f :: nat \Rightarrow nat$
and $i\ j :: nat$
assumes $\bigwedge i\ j :: nat. i < j \Longrightarrow f\ i < f\ j$
and $i \leq j$
shows $f\ i \leq f\ j$
 $\langle proof \rangle$

non-strict, in 1st argument

lemma *add-le-mono1*: $i \leq j \Longrightarrow i + k \leq j + k$
for $i\ j\ k :: nat$
 $\langle proof \rangle$

non-strict, in both arguments

lemma *add-le-mono*: $i \leq j \Longrightarrow k \leq l \Longrightarrow i + k \leq j + l$
for $i\ j\ k\ l :: nat$
 $\langle proof \rangle$

lemma *le-add2*: $n \leq m + n$
for $m\ n :: nat$
 $\langle proof \rangle$

lemma *le-add1*: $n \leq n + m$
for $m\ n :: nat$
 $\langle proof \rangle$

lemma *less-add-Suc1*: $i < Suc\ (i + m)$
 $\langle proof \rangle$

lemma *less-add-Suc2*: $i < Suc\ (m + i)$
 $\langle proof \rangle$

lemma *less-iff-Suc-add*: $m < n \longleftrightarrow (\exists k. n = Suc\ (m + k))$
 $\langle proof \rangle$

lemma *trans-le-add1*: $i \leq j \Longrightarrow i \leq j + m$
for $i\ j\ m :: nat$

$\langle proof \rangle$

lemma *trans-le-add2*: $i \leq j \implies i \leq m + j$
for $i\ j\ m :: nat$
 $\langle proof \rangle$

lemma *trans-less-add1*: $i < j \implies i < j + m$
for $i\ j\ m :: nat$
 $\langle proof \rangle$

lemma *trans-less-add2*: $i < j \implies i < m + j$
for $i\ j\ m :: nat$
 $\langle proof \rangle$

lemma *add-lessD1*: $i + j < k \implies i < k$
for $i\ j\ k :: nat$
 $\langle proof \rangle$

lemma *not-add-less1* [iff]: $\neg i + j < i$
for $i\ j :: nat$
 $\langle proof \rangle$

lemma *not-add-less2* [iff]: $\neg j + i < i$
for $i\ j :: nat$
 $\langle proof \rangle$

lemma *add-leD1*: $m + k \leq n \implies m \leq n$
for $k\ m\ n :: nat$
 $\langle proof \rangle$

lemma *add-leD2*: $m + k \leq n \implies k \leq n$
for $k\ m\ n :: nat$
 $\langle proof \rangle$

lemma *add-leE*: $m + k \leq n \implies (m \leq n \implies k \leq n \implies R) \implies R$
for $k\ m\ n :: nat$
 $\langle proof \rangle$

needs $\bigwedge k$ for *ac-simps* to work

lemma *less-add-eq-less*: $\bigwedge k. k < l \implies m + l = k + n \implies m < n$
for $l\ m\ n :: nat$
 $\langle proof \rangle$

16.4.8 More results about difference

lemma *Suc-diff-le*: $n \leq m \implies \text{Suc } m - n = \text{Suc } (m - n)$
 $\langle proof \rangle$

lemma *diff-less-Suc*: $m - n < \text{Suc } m$

$\langle \text{proof} \rangle$

lemma *diff-le-self* [simp]: $m - n \leq m$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *less-imp-diff-less*: $j < k \implies j - n < k$
for $j\ k\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-Suc-less* [simp]: $0 < n \implies n - \text{Suc } i < n$
 $\langle \text{proof} \rangle$

lemma *diff-add-assoc*: $k \leq j \implies (i + j) - k = i + (j - k)$
for $i\ j\ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *add-diff-assoc* [simp]: $k \leq j \implies i + (j - k) = i + j - k$
for $i\ j\ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-add-assoc2*: $k \leq j \implies (j + i) - k = (j - k) + i$
for $i\ j\ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *add-diff-assoc2* [simp]: $k \leq j \implies j - k + i = j + i - k$
for $i\ j\ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-imp-diff-is-add*: $i \leq j \implies (j - i = k) = (j = k + i)$
for $i\ j\ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-is-0-eq* [simp]: $m - n = 0 \longleftrightarrow m \leq n$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-is-0-eq'* [simp]: $m \leq n \implies m - n = 0$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *zero-less-diff* [simp]: $0 < n - m \longleftrightarrow m < n$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *less-imp-add-positive*:
assumes $i < j$
shows $\exists k :: \text{nat}. 0 < k \wedge i + k = j$
 $\langle \text{proof} \rangle$

a nice rewrite for bounded subtraction

lemma *nat-minus-add-max*: $n - m + m = \max n m$
for $m n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-diff-split*: $P (a - b) \longleftrightarrow (a < b \longrightarrow P 0) \wedge (\forall d. a = b + d \longrightarrow P d)$
for $a b :: \text{nat}$
— elimination of $-$ on *nat*
 $\langle \text{proof} \rangle$

lemma *nat-diff-split-asm*: $P (a - b) \longleftrightarrow \neg (a < b \wedge \neg P 0 \vee (\exists d. a = b + d \wedge \neg P d))$
for $a b :: \text{nat}$
— elimination of $-$ on *nat* in assumptions
 $\langle \text{proof} \rangle$

lemma *Suc-pred'*: $0 < n \implies n = \text{Suc}(n - 1)$
 $\langle \text{proof} \rangle$

lemma *add-eq-if*: $m + n = (\text{if } m = 0 \text{ then } n \text{ else } \text{Suc} ((m - 1) + n))$
 $\langle \text{proof} \rangle$

lemma *mult-eq-if*: $m * n = (\text{if } m = 0 \text{ then } 0 \text{ else } n + ((m - 1) * n))$
for $m n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Suc-diff-eq-diff-pred*: $0 < n \implies \text{Suc } m - n = m - (n - 1)$
 $\langle \text{proof} \rangle$

lemma *diff-Suc-eq-diff-pred*: $m - \text{Suc } n = (m - 1) - n$
 $\langle \text{proof} \rangle$

lemma *Let-Suc [simp]*: $\text{Let } (\text{Suc } n) f \equiv f (\text{Suc } n)$
 $\langle \text{proof} \rangle$

16.4.9 Monotonicity of multiplication

lemma *mult-le-mono1*: $i \leq j \implies i * k \leq j * k$
for $i j k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mult-le-mono2*: $i \leq j \implies k * i \leq k * j$
for $i j k :: \text{nat}$
 $\langle \text{proof} \rangle$

\leq monotonicity, BOTH arguments

lemma *mult-le-mono*: $i \leq j \implies k \leq l \implies i * k \leq j * l$
for $i j k l :: \text{nat}$

$\langle \text{proof} \rangle$

lemma *mult-less-mono1*: $i < j \implies 0 < k \implies i * k < j * k$
for $i\ j\ k :: \text{nat}$
 $\langle \text{proof} \rangle$

Differs from the standard *zero-less-mult-iff* in that there are no negative numbers.

lemma *nat-0-less-mult-iff* [*simp*]: $0 < m * n \longleftrightarrow 0 < m \wedge 0 < n$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *one-le-mult-iff* [*simp*]: $\text{Suc } 0 \leq m * n \longleftrightarrow \text{Suc } 0 \leq m \wedge \text{Suc } 0 \leq n$
 $\langle \text{proof} \rangle$

lemma *mult-less-cancel2* [*simp*]: $m * k < n * k \longleftrightarrow 0 < k \wedge m < n$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mult-less-cancel1* [*simp*]: $k * m < k * n \longleftrightarrow 0 < k \wedge m < n$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mult-le-cancel1* [*simp*]: $k * m \leq k * n \longleftrightarrow (0 < k \longrightarrow m \leq n)$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mult-le-cancel2* [*simp*]: $m * k \leq n * k \longleftrightarrow (0 < k \longrightarrow m \leq n)$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Suc-mult-less-cancel1*: $\text{Suc } k * m < \text{Suc } k * n \longleftrightarrow m < n$
 $\langle \text{proof} \rangle$

lemma *Suc-mult-le-cancel1*: $\text{Suc } k * m \leq \text{Suc } k * n \longleftrightarrow m \leq n$
 $\langle \text{proof} \rangle$

lemma *le-square*: $m \leq m * m$
for $m :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-cube*: $m \leq m * (m * m)$
for $m :: \text{nat}$
 $\langle \text{proof} \rangle$

Lemma for *gcd*

lemma *mult-eq-self-implies-10*: $m = m * n \implies n = 1 \vee m = 0$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mono-times-nat*:

fixes $n :: \text{nat}$

assumes $n > 0$

shows *mono* (*times* n)

$\langle \text{proof} \rangle$

The lattice order on *nat*.

instantiation $\text{nat} :: \text{distrib-lattice}$

begin

definition ($\text{inf} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$) = *min*

definition ($\text{sup} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$) = *max*

instance

$\langle \text{proof} \rangle$

end

16.5 Natural operation of natural numbers on functions

We use the same logical constant for the power operations on functions and relations, in order to share the same syntax.

consts $\text{compow} :: \text{nat} \Rightarrow 'a \Rightarrow 'a$

abbreviation $\text{compower} :: 'a \Rightarrow \text{nat} \Rightarrow 'a$ (**infixr** $^{\wedge}$ 80)

where $f^{\wedge} n \equiv \text{compow } n \ f$

notation (*latex output*)

$\text{compower } ((-) [1000] 1000)$

$f^{\wedge} n = f \circ \dots \circ f$, the n -fold composition of f

overloading

$\text{funpow} \equiv \text{compow} :: \text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a)$

begin

primrec $\text{funpow} :: \text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$

where

$\text{funpow } 0 \ f = \text{id}$

| $\text{funpow } (\text{Suc } n) \ f = f \circ \text{funpow } n \ f$

end

lemma *funpow-0* [*simp*]: $(f^{\wedge} 0) \ x = x$

$\langle \text{proof} \rangle$

lemma *funpow-Suc-right*: $f^{\wedge} \text{Suc } n = f^{\wedge} n \circ f$

$\langle proof \rangle$

lemmas *funpow-simps-right* = *funpow.simps*(1) *funpow-Suc-right*

For code generation.

definition *funpow* :: *nat* \Rightarrow (*'a* \Rightarrow *'a*) \Rightarrow *'a* \Rightarrow *'a*
where *funpow-code-def* [*code-abbrev*]: *funpow* = *compow*

lemma [*code*]:
funpow (*Suc* *n*) *f* = *f* \circ *funpow* *n* *f*
funpow 0 *f* = *id*
 $\langle proof \rangle$

hide-const (**open**) *funpow*

lemma *funpow-add*: $f^{^{\wedge} (m + n)} = f^{^{\wedge} m} \circ f^{^{\wedge} n}$
 $\langle proof \rangle$

lemma *funpow-mult*: $(f^{^{\wedge} m})^{^{\wedge} n} = f^{^{\wedge} (m * n)}$
for *f* :: *'a* \Rightarrow *'a*
 $\langle proof \rangle$

lemma *funpow-swap1*: $f ((f^{^{\wedge} n}) x) = (f^{^{\wedge} n}) (f x)$
 $\langle proof \rangle$

lemma *comp-funpow*: $comp f^{^{\wedge} n} = comp (f^{^{\wedge} n})$
for *f* :: *'a* \Rightarrow *'a*
 $\langle proof \rangle$

lemma *Suc-funpow[simp]*: $Suc^{^{\wedge} n} = (op + n)$
 $\langle proof \rangle$

lemma *id-funpow[simp]*: $id^{^{\wedge} n} = id$
 $\langle proof \rangle$

lemma *funpow-mono*: $mono f \Longrightarrow A \leq B \Longrightarrow (f^{^{\wedge} n}) A \leq (f^{^{\wedge} n}) B$
for *f* :: *'a* \Rightarrow (*'a*::*order*)
 $\langle proof \rangle$

lemma *funpow-mono2*:
assumes *mono f*
and $i \leq j$
and $x \leq y$
and $x \leq f x$
shows $(f^{^{\wedge} i}) x \leq (f^{^{\wedge} j}) y$
 $\langle proof \rangle$

16.6 Kleene iteration

lemma *Kleene-iter-lfp*:

fixes $f :: 'a::order-bot \Rightarrow 'a$

assumes *mono* f

and $f\ p \leq p$

shows $(f \text{ ^^ } k)\ bot \leq p$

$\langle proof \rangle$

lemma *lfp-Kleene-iter*:

assumes *mono* f

and $(f \text{ ^^ } Suc\ k)\ bot = (f \text{ ^^ } k)\ bot$

shows $lfp\ f = (f \text{ ^^ } k)\ bot$

$\langle proof \rangle$

lemma *mono-pow*: $mono\ f \implies mono\ (f \text{ ^^ } n)$

for $f :: 'a \Rightarrow 'a::complete-lattice$

$\langle proof \rangle$

lemma *lfp-funpow*:

assumes $f:: mono\ f$

shows $lfp\ (f \text{ ^^ } Suc\ n) = lfp\ f$

$\langle proof \rangle$

lemma *gfp-funpow*:

assumes $f:: mono\ f$

shows $gfp\ (f \text{ ^^ } Suc\ n) = GFP\ f$

$\langle proof \rangle$

lemma *Kleene-iter-gfp*:

fixes $f :: 'a::order-top \Rightarrow 'a$

assumes *mono* f

and $p \leq f\ p$

shows $p \leq (f \text{ ^^ } k)\ top$

$\langle proof \rangle$

lemma *gfp-Kleene-iter*:

assumes *mono* f

and $(f \text{ ^^ } Suc\ k)\ top = (f \text{ ^^ } k)\ top$

shows $GFP\ f = (f \text{ ^^ } k)\ top$

(is ?lhs = ?rhs)

$\langle proof \rangle$

16.7 Embedding of the naturals into any *semiring-1*: *of-nat*

context *semiring-1*

begin

definition *of-nat* :: $nat \Rightarrow 'a$

where $of-nat\ n = (plus\ 1 \text{ ^^ } n)\ 0$

```

lemma of-nat-simps [simp]:
  shows of-nat-0: of-nat 0 = 0
    and of-nat-Suc: of-nat (Suc m) = 1 + of-nat m
  ⟨proof⟩

lemma of-nat-1 [simp]: of-nat 1 = 1
  ⟨proof⟩

lemma of-nat-add [simp]: of-nat (m + n) = of-nat m + of-nat n
  ⟨proof⟩

lemma of-nat-mult [simp]: of-nat (m * n) = of-nat m * of-nat n
  ⟨proof⟩

lemma mult-of-nat-commute: of-nat x * y = y * of-nat x
  ⟨proof⟩

primrec of-nat-aux :: ('a ⇒ 'a) ⇒ nat ⇒ 'a ⇒ 'a
  where
    of-nat-aux inc 0 i = i
  | of-nat-aux inc (Suc n) i = of-nat-aux inc n (inc i) — tail recursive

lemma of-nat-code: of-nat n = of-nat-aux (λi. i + 1) n 0
  ⟨proof⟩

end

declare of-nat-code [code]

context ring-1
begin

lemma of-nat-diff: n ≤ m ⇒ of-nat (m − n) = of-nat m − of-nat n
  ⟨proof⟩

end

Class for unital semirings with characteristic zero. Includes non-ordered
rings like the complex numbers.

class semiring-char-0 = semiring-1 +
  assumes inj-of-nat: inj of-nat
begin

lemma of-nat-eq-iff [simp]: of-nat m = of-nat n ⇔ m = n
  ⟨proof⟩

Special cases where either operand is zero
lemma of-nat-0-eq-iff [simp]: 0 = of-nat n ⇔ 0 = n

```

$\langle proof \rangle$

lemma *of-nat-eq-0-iff* [simp]: $of\text{-}nat\ m = 0 \longleftrightarrow m = 0$
 $\langle proof \rangle$

lemma *of-nat-1-eq-iff* [simp]: $1 = of\text{-}nat\ n \longleftrightarrow n = 1$
 $\langle proof \rangle$

lemma *of-nat-eq-1-iff* [simp]: $of\text{-}nat\ n = 1 \longleftrightarrow n = 1$
 $\langle proof \rangle$

lemma *of-nat-neq-0* [simp]: $of\text{-}nat\ (Suc\ n) \neq 0$
 $\langle proof \rangle$

lemma *of-nat-0-neq* [simp]: $0 \neq of\text{-}nat\ (Suc\ n)$
 $\langle proof \rangle$

end

class *ring-char-0* = *ring-1* + *semiring-char-0*

context *linordered-semidom*
begin

lemma *of-nat-0-le-iff* [simp]: $0 \leq of\text{-}nat\ n$
 $\langle proof \rangle$

lemma *of-nat-less-0-iff* [simp]: $\neg of\text{-}nat\ m < 0$
 $\langle proof \rangle$

lemma *of-nat-less-iff* [simp]: $of\text{-}nat\ m < of\text{-}nat\ n \longleftrightarrow m < n$
 $\langle proof \rangle$

lemma *of-nat-le-iff* [simp]: $of\text{-}nat\ m \leq of\text{-}nat\ n \longleftrightarrow m \leq n$
 $\langle proof \rangle$

lemma *less-imp-of-nat-less*: $m < n \implies of\text{-}nat\ m < of\text{-}nat\ n$
 $\langle proof \rangle$

lemma *of-nat-less-imp-less*: $of\text{-}nat\ m < of\text{-}nat\ n \implies m < n$
 $\langle proof \rangle$

Every *linordered-semidom* has characteristic zero.

subclass *semiring-char-0*
 $\langle proof \rangle$

Special cases where either operand is zero

lemma *of-nat-le-0-iff* [simp]: $of\text{-}nat\ m \leq 0 \longleftrightarrow m = 0$
 $\langle proof \rangle$

lemma *of-nat-0-less-iff* [simp]: $0 < \text{of-nat } n \longleftrightarrow 0 < n$
 $\langle \text{proof} \rangle$

end

context *linordered-idom*
begin

lemma *abs-of-nat* [simp]: $|\text{of-nat } n| = \text{of-nat } n$
 $\langle \text{proof} \rangle$

end

lemma *of-nat-id* [simp]: $\text{of-nat } n = n$
 $\langle \text{proof} \rangle$

lemma *of-nat-eq-id* [simp]: $\text{of-nat} = \text{id}$
 $\langle \text{proof} \rangle$

16.8 The set of natural numbers

context *semiring-1*
begin

definition *Nats* :: ‘a set (ℕ)
where $\mathbb{N} = \text{range of-nat}$

lemma *of-nat-in-Nats* [simp]: $\text{of-nat } n \in \mathbb{N}$
 $\langle \text{proof} \rangle$

lemma *Nats-0* [simp]: $0 \in \mathbb{N}$
 $\langle \text{proof} \rangle$

lemma *Nats-1* [simp]: $1 \in \mathbb{N}$
 $\langle \text{proof} \rangle$

lemma *Nats-add* [simp]: $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a + b \in \mathbb{N}$
 $\langle \text{proof} \rangle$

lemma *Nats-mult* [simp]: $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a * b \in \mathbb{N}$
 $\langle \text{proof} \rangle$

lemma *Nats-cases* [cases set: *Nats*]:
assumes $x \in \mathbb{N}$
obtains $(\text{of-nat})\ n$ **where** $x = \text{of-nat } n$
 $\langle \text{proof} \rangle$

lemma *Nats-induct* [case-names *of-nat*, induct set: *Nats*]: $x \in \mathbb{N} \implies (\bigwedge n. P$

$(\text{of-nat } n)) \implies P\ x$
 $\langle \text{proof} \rangle$

end

16.9 Further arithmetic facts concerning the natural numbers

lemma *subst-equals*:

assumes $t = s$ **and** $u = t$
shows $u = s$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

context *order*
begin

lemma *lift-Suc-mono-le*:

assumes *mono*: $\bigwedge n. f\ n \leq f\ (\text{Suc } n)$
and $n \leq n'$
shows $f\ n \leq f\ n'$
 $\langle \text{proof} \rangle$

lemma *lift-Suc-antimono-le*:

assumes *mono*: $\bigwedge n. f\ n \geq f\ (\text{Suc } n)$
and $n \leq n'$
shows $f\ n \geq f\ n'$
 $\langle \text{proof} \rangle$

lemma *lift-Suc-mono-less*:

assumes *mono*: $\bigwedge n. f\ n < f\ (\text{Suc } n)$
and $n < n'$
shows $f\ n < f\ n'$
 $\langle \text{proof} \rangle$

lemma *lift-Suc-mono-less-iff*: $(\bigwedge n. f\ n < f\ (\text{Suc } n)) \implies f\ n < f\ m \longleftrightarrow n < m$
 $\langle \text{proof} \rangle$

end

lemma *mono-iff-le-Suc*: $\text{mono } f \longleftrightarrow (\forall n. f\ n \leq f\ (\text{Suc } n))$
 $\langle \text{proof} \rangle$

lemma *antimono-iff-le-Suc*: $\text{antimono } f \longleftrightarrow (\forall n. f\ (\text{Suc } n) \leq f\ n)$
 $\langle \text{proof} \rangle$

lemma *mono-nat-linear-lb*:

fixes $f :: \text{nat} \Rightarrow \text{nat}$

assumes $\bigwedge m\ n. m < n \implies f\ m < f\ n$
shows $f\ m + k \leq f\ (m + k)$
 $\langle proof \rangle$

Subtraction laws, mostly by Clemens Ballarin

lemma *diff-less-mono*:
fixes $a\ b\ c :: nat$
assumes $a < b$ **and** $c \leq a$
shows $a - c < b - c$
 $\langle proof \rangle$

lemma *less-diff-conv*: $i < j - k \longleftrightarrow i + k < j$
for $i\ j\ k :: nat$
 $\langle proof \rangle$

lemma *less-diff-conv2*: $k \leq j \implies j - k < i \longleftrightarrow j < i + k$
for $j\ k\ i :: nat$
 $\langle proof \rangle$

lemma *le-diff-conv*: $j - k \leq i \longleftrightarrow j \leq i + k$
for $j\ k\ i :: nat$
 $\langle proof \rangle$

lemma *diff-diff-cancel* [*simp*]: $i \leq n \implies n - (n - i) = i$
for $i\ n :: nat$
 $\langle proof \rangle$

lemma *diff-less* [*simp*]: $0 < n \implies 0 < m \implies m - n < m$
for $i\ n :: nat$
 $\langle proof \rangle$

Simplification of relational expressions involving subtraction

lemma *diff-diff-eq*: $k \leq m \implies k \leq n \implies m - k - (n - k) = m - n$
for $m\ n\ k :: nat$
 $\langle proof \rangle$

hide-fact (**open**) *diff-diff-eq*

lemma *eq-diff-iff*: $k \leq m \implies k \leq n \implies m - k = n - k \longleftrightarrow m = n$
for $m\ n\ k :: nat$
 $\langle proof \rangle$

lemma *less-diff-iff*: $k \leq m \implies k \leq n \implies m - k < n - k \longleftrightarrow m < n$
for $m\ n\ k :: nat$
 $\langle proof \rangle$

lemma *le-diff-iff*: $k \leq m \implies k \leq n \implies m - k \leq n - k \longleftrightarrow m \leq n$
for $m\ n\ k :: nat$
 $\langle proof \rangle$

lemma *le-diff-iff*': $a \leq c \implies b \leq c \implies c - a \leq c - b \longleftrightarrow b \leq a$
for $a\ b\ c :: \text{nat}$
 $\langle \text{proof} \rangle$

(Anti)Monotonicity of subtraction – by Stephan Merz

lemma *diff-le-mono*: $m \leq n \implies m - l \leq n - l$
for $m\ n\ l :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-le-mono2*: $m \leq n \implies l - n \leq l - m$
for $m\ n\ l :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-less-mono2*: $m < n \implies m < l \implies l - n < l - m$
for $m\ n\ l :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diffs0-imp-equal*: $m - n = 0 \implies n - m = 0 \implies m = n$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *min-diff*: $\min (m - i) (n - i) = \min m\ n - i$
for $m\ n\ i :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *inj-on-diff-nat*:
fixes $k :: \text{nat}$
assumes $\forall n \in N. k \leq n$
shows *inj-on* $(\lambda n. n - k)$ N
 $\langle \text{proof} \rangle$

Rewriting to pull differences out

lemma *diff-diff-right* [*simp*]: $k \leq j \implies i - (j - k) = i + k - j$
for $i\ j\ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-Suc-diff-eq1* [*simp*]:
assumes $k \leq j$
shows $i - \text{Suc } (j - k) = i + k - \text{Suc } j$
 $\langle \text{proof} \rangle$

lemma *diff-Suc-diff-eq2* [*simp*]:
assumes $k \leq j$
shows $\text{Suc } (j - k) - i = \text{Suc } j - (k + i)$
 $\langle \text{proof} \rangle$

lemma *Suc-diff-Suc*:
assumes $n < m$

shows $Suc\ (m - Suc\ n) = m - n$
 $\langle proof \rangle$

lemma *one-less-mult*: $Suc\ 0 < n \implies Suc\ 0 < m \implies Suc\ 0 < m * n$
 $\langle proof \rangle$

lemma *n-less-m-mult-n*: $0 < n \implies Suc\ 0 < m \implies n < m * n$
 $\langle proof \rangle$

lemma *n-less-n-mult-m*: $0 < n \implies Suc\ 0 < m \implies n < n * m$
 $\langle proof \rangle$

Specialized induction principles that work ”backwards”:

lemma *inc-induct* [*consumes 1, case-names base step*]:

assumes *less*: $i \leq j$
and *base*: $P\ j$
and *step*: $\bigwedge n. i \leq n \implies n < j \implies P\ (Suc\ n) \implies P\ n$
shows $P\ i$
 $\langle proof \rangle$

lemma *strict-inc-induct* [*consumes 1, case-names base step*]:

assumes *less*: $i < j$
and *base*: $\bigwedge i. j = Suc\ i \implies P\ i$
and *step*: $\bigwedge i. i < j \implies P\ (Suc\ i) \implies P\ i$
shows $P\ i$
 $\langle proof \rangle$

lemma *zero-induct-lemma*: $P\ k \implies (\bigwedge n. P\ (Suc\ n) \implies P\ n) \implies P\ (k - i)$
 $\langle proof \rangle$

lemma *zero-induct*: $P\ k \implies (\bigwedge n. P\ (Suc\ n) \implies P\ n) \implies P\ 0$
 $\langle proof \rangle$

Further induction rule similar to $\llbracket ?i \leq ?j; ?P\ ?j; \bigwedge n. \llbracket ?i \leq n; n < ?j; ?P\ (Suc\ n) \rrbracket \implies ?P\ n \rrbracket \implies ?P\ ?i$.

lemma *dec-induct* [*consumes 1, case-names base step*]:

$i \leq j \implies P\ i \implies (\bigwedge n. i \leq n \implies n < j \implies P\ n \implies P\ (Suc\ n)) \implies P\ j$
 $\langle proof \rangle$

lemma *transitive-stepwise-le*:

assumes $m \leq n \bigwedge x. R\ x\ x \bigwedge x\ y\ z. R\ x\ y \implies R\ y\ z \implies R\ x\ z$ **and** $\bigwedge n. R\ n\ (Suc\ n)$
shows $R\ m\ n$
 $\langle proof \rangle$

16.9.1 Greatest operator

lemma *ex-has-greatest-nat*:

$P\ (k::nat) \implies \forall y. P\ y \longrightarrow y \leq b \implies \exists x. P\ x \wedge (\forall y. P\ y \longrightarrow y \leq x)$

$\langle proof \rangle$

lemma *GreatestI-nat*:

$\llbracket P(k::nat); \forall y. P\ y \longrightarrow y \leq b \rrbracket \Longrightarrow P\ (Greatest\ P)$
 $\langle proof \rangle$

lemma *Greatest-le-nat*:

$\llbracket P(k::nat); \forall y. P\ y \longrightarrow y \leq b \rrbracket \Longrightarrow k \leq (Greatest\ P)$
 $\langle proof \rangle$

lemma *GreatestI-ex-nat*:

$\llbracket \exists k::nat. P\ k; \forall y. P\ y \longrightarrow y \leq b \rrbracket \Longrightarrow P\ (Greatest\ P)$
 $\langle proof \rangle$

16.10 Monotonicity of *funpow*

lemma *funpow-increasing*: $m \leq n \Longrightarrow mono\ f \Longrightarrow (f\ \wedge\wedge\ n) \top \leq (f\ \wedge\wedge\ m) \top$
for $f :: 'a::\{lattice, order-top\} \Rightarrow 'a$
 $\langle proof \rangle$

lemma *funpow-decreasing*: $m \leq n \Longrightarrow mono\ f \Longrightarrow (f\ \wedge\wedge\ m) \perp \leq (f\ \wedge\wedge\ n) \perp$
for $f :: 'a::\{lattice, order-bot\} \Rightarrow 'a$
 $\langle proof \rangle$

lemma *mono-funpow*: $mono\ Q \Longrightarrow mono\ (\lambda i. (Q\ \wedge\wedge\ i) \perp)$
for $Q :: 'a::\{lattice, order-bot\} \Rightarrow 'a$
 $\langle proof \rangle$

lemma *antimono-funpow*: $mono\ Q \Longrightarrow antimono\ (\lambda i. (Q\ \wedge\wedge\ i) \top)$
for $Q :: 'a::\{lattice, order-top\} \Rightarrow 'a$
 $\langle proof \rangle$

16.11 The divides relation on *nat*

lemma *dvd-1-left [iff]*: $Suc\ 0\ dvd\ k$
 $\langle proof \rangle$

lemma *dvd-1-iff-1 [simp]*: $m\ dvd\ Suc\ 0 \longleftrightarrow m = Suc\ 0$
 $\langle proof \rangle$

lemma *nat-dvd-1-iff-1 [simp]*: $m\ dvd\ 1 \longleftrightarrow m = 1$
for $m :: nat$
 $\langle proof \rangle$

lemma *dvd-antisym*: $m\ dvd\ n \Longrightarrow n\ dvd\ m \Longrightarrow m = n$
for $m\ n :: nat$
 $\langle proof \rangle$

lemma *dvd-diff-nat [simp]*: $k\ dvd\ m \Longrightarrow k\ dvd\ n \Longrightarrow k\ dvd\ (m - n)$
for $k\ m\ n :: nat$

$\langle proof \rangle$

lemma *dvd-diffD*: $k \text{ dvd } m - n \implies k \text{ dvd } n \implies n \leq m \implies k \text{ dvd } m$
for $k \ m \ n :: \text{nat}$
 $\langle proof \rangle$

lemma *dvd-diffD1*: $k \text{ dvd } m - n \implies k \text{ dvd } m \implies n \leq m \implies k \text{ dvd } n$
for $k \ m \ n :: \text{nat}$
 $\langle proof \rangle$

lemma *dvd-mult-cancel*:
fixes $m \ n \ k :: \text{nat}$
assumes $k * m \text{ dvd } k * n$ **and** $0 < k$
shows $m \text{ dvd } n$
 $\langle proof \rangle$

lemma *dvd-mult-cancel1*: $0 < m \implies m * n \text{ dvd } m \longleftrightarrow n = 1$
for $m \ n :: \text{nat}$
 $\langle proof \rangle$

lemma *dvd-mult-cancel2*: $0 < m \implies n * m \text{ dvd } m \longleftrightarrow n = 1$
for $m \ n :: \text{nat}$
 $\langle proof \rangle$

lemma *dvd-imp-le*: $k \text{ dvd } n \implies 0 < n \implies k \leq n$
for $k \ n :: \text{nat}$
 $\langle proof \rangle$

lemma *nat-dvd-not-less*: $0 < m \implies m < n \implies \neg n \text{ dvd } m$
for $m \ n :: \text{nat}$
 $\langle proof \rangle$

lemma *less-eq-dvd-minus*:
fixes $m \ n :: \text{nat}$
assumes $m \leq n$
shows $m \text{ dvd } n \longleftrightarrow m \text{ dvd } n - m$
 $\langle proof \rangle$

lemma *dvd-minus-self*: $m \text{ dvd } n - m \longleftrightarrow n < m \vee m \text{ dvd } n$
for $m \ n :: \text{nat}$
 $\langle proof \rangle$

lemma *dvd-minus-add*:
fixes $m \ n \ q \ r :: \text{nat}$
assumes $q \leq n \wedge q \leq r * m$
shows $m \text{ dvd } n - q \longleftrightarrow m \text{ dvd } n + (r * m - q)$
 $\langle proof \rangle$

16.12 Aliasses

lemma *nat-mult-1*: $1 * n = n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-mult-1-right*: $n * 1 = n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-add-left-cancel*: $k + m = k + n \longleftrightarrow m = n$
for $k \ m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *nat-add-right-cancel*: $m + k = n + k \longleftrightarrow m = n$
for $k \ m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-mult-distrib*: $(m - n) * k = (m * k) - (n * k)$
for $k \ m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-mult-distrib2*: $k * (m - n) = (k * m) - (k * n)$
for $k \ m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-add-diff*: $k \leq n \implies m \leq n + m - k$
for $k \ m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *le-diff-conv2*: $k \leq j \implies (i \leq j - k) = (i + k \leq j)$
for $i \ j \ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-self-eq-0* [*simp*]: $m - m = 0$
for $m :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-diff-left* [*simp*]: $i - j - k = i - (j + k)$
for $i \ j \ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-commute*: $i - j - k = i - k - j$
for $i \ j \ k :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-add-inverse*: $(n + m) - n = m$
for $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-add-inverse2*: $(m + n) - n = m$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-cancel*: $(k + m) - (k + n) = m - n$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-cancel2*: $(m + k) - (n + k) = m - n$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *diff-add-0*: $n - (n + m) = 0$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *add-mult-distrib2*: $k * (m + n) = (k * m) + (k * n)$
for $k\ m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemmas *nat-distrib* =
add-mult-distrib distrib-left diff-mult-distrib diff-mult-distrib2

16.13 Size of a datatype value

class *size* =
fixes *size* :: 'a \Rightarrow nat — see further theory *Wellfounded*

instantiation *nat* :: *size*
begin

definition *size-nat* **where** [*simp*, *code*]: *size* ($n :: \text{nat}$) = n

instance $\langle \text{proof} \rangle$

end

16.14 Code module namespace

code-identifier
code-module *Nat* \rightarrow (*SML*) *Arith* **and** (*OCaml*) *Arith* **and** (*Haskell*) *Arith*

hide-const (**open**) *of-nat-aux*

end

17 Fields

theory *Fields*

```
imports Nat
begin
```

17.1 Division rings

A division ring is like a field, but without the commutativity requirement.

```
class inverse = divide +
  fixes inverse :: 'a  $\Rightarrow$  'a
begin
```

```
abbreviation inverse-divide :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl '/' 70)
where
  inverse-divide  $\equiv$  divide
```

```
end
```

Setup for linear arithmetic prover

$\langle ML \rangle$

```
lemmas [arith-split] = nat-diff-split split-min split-max
```

Lemmas *divide-simps* move division to the outside and eliminates them on (in)equalities.

named-theorems *divide-simps* rewrite rules to eliminate divisions

```
class division-ring = ring-1 + inverse +
  assumes left-inverse [simp]:  $a \neq 0 \implies \text{inverse } a * a = 1$ 
  assumes right-inverse [simp]:  $a \neq 0 \implies a * \text{inverse } a = 1$ 
  assumes divide-inverse:  $a / b = a * \text{inverse } b$ 
  assumes inverse-zero [simp]:  $\text{inverse } 0 = 0$ 
begin
```

```
subclass ring-1-no-zero-divisors
<proof>
```

```
lemma nonzero-imp-inverse-nonzero:
   $a \neq 0 \implies \text{inverse } a \neq 0$ 
<proof>
```

```
lemma inverse-zero-imp-zero:
   $\text{inverse } a = 0 \implies a = 0$ 
<proof>
```

```
lemma inverse-unique:
  assumes ab:  $a * b = 1$ 
  shows  $\text{inverse } a = b$ 
<proof>
```

lemma *nonzero-inverse-minus-eq*:

$a \neq 0 \implies \text{inverse } (-a) = - \text{inverse } a$
 $\langle \text{proof} \rangle$

lemma *nonzero-inverse-inverse-eq*:

$a \neq 0 \implies \text{inverse } (\text{inverse } a) = a$
 $\langle \text{proof} \rangle$

lemma *nonzero-inverse-eq-imp-eq*:

assumes $\text{inverse } a = \text{inverse } b$ **and** $a \neq 0$ **and** $b \neq 0$
shows $a = b$
 $\langle \text{proof} \rangle$

lemma *inverse-1 [simp]*: $\text{inverse } 1 = 1$

$\langle \text{proof} \rangle$

lemma *nonzero-inverse-mult-distrib*:

assumes $a \neq 0$ **and** $b \neq 0$
shows $\text{inverse } (a * b) = \text{inverse } b * \text{inverse } a$
 $\langle \text{proof} \rangle$

lemma *division-ring-inverse-add*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse } a + \text{inverse } b = \text{inverse } a * (a + b) * \text{inverse } b$
 $\langle \text{proof} \rangle$

lemma *division-ring-inverse-diff*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse } a - \text{inverse } b = \text{inverse } a * (b - a) * \text{inverse } b$
 $\langle \text{proof} \rangle$

lemma *right-inverse-eq*: $b \neq 0 \implies a / b = 1 \longleftrightarrow a = b$

$\langle \text{proof} \rangle$

lemma *nonzero-inverse-eq-divide*: $a \neq 0 \implies \text{inverse } a = 1 / a$

$\langle \text{proof} \rangle$

lemma *divide-self [simp]*: $a \neq 0 \implies a / a = 1$

$\langle \text{proof} \rangle$

lemma *inverse-eq-divide [field-simps, divide-simps]*: $\text{inverse } a = 1 / a$

$\langle \text{proof} \rangle$

lemma *add-divide-distrib*: $(a+b) / c = a/c + b/c$

$\langle \text{proof} \rangle$

lemma *times-divide-eq-right [simp]*: $a * (b / c) = (a * b) / c$

$\langle \text{proof} \rangle$

lemma *minus-divide-left*: $-(a / b) = (-a) / b$

$\langle \text{proof} \rangle$

lemma *nonzero-minus-divide-right*: $b \neq 0 \implies -(a / b) = a / (-b)$
 $\langle \text{proof} \rangle$

lemma *nonzero-minus-divide-divide*: $b \neq 0 \implies (-a) / (-b) = a / b$
 $\langle \text{proof} \rangle$

lemma *divide-minus-left* [simp]: $(-a) / b = -(a / b)$
 $\langle \text{proof} \rangle$

lemma *diff-divide-distrib*: $(a - b) / c = a / c - b / c$
 $\langle \text{proof} \rangle$

lemma *nonzero-eq-divide-eq* [field-simps]: $c \neq 0 \implies a = b / c \longleftrightarrow a * c = b$
 $\langle \text{proof} \rangle$

lemma *nonzero-divide-eq-eq* [field-simps]: $c \neq 0 \implies b / c = a \longleftrightarrow b = a * c$
 $\langle \text{proof} \rangle$

lemma *nonzero-neg-divide-eq-eq* [field-simps]: $b \neq 0 \implies -(a / b) = c \longleftrightarrow -a = c * b$
 $\langle \text{proof} \rangle$

lemma *nonzero-neg-divide-eq-eq2* [field-simps]: $b \neq 0 \implies c = -(a / b) \longleftrightarrow c * b = -a$
 $\langle \text{proof} \rangle$

lemma *divide-eq-imp*: $c \neq 0 \implies b = a * c \implies b / c = a$
 $\langle \text{proof} \rangle$

lemma *eq-divide-imp*: $c \neq 0 \implies a * c = b \implies a = b / c$
 $\langle \text{proof} \rangle$

lemma *add-divide-eq-iff* [field-simps]:
 $z \neq 0 \implies x + y / z = (x * z + y) / z$
 $\langle \text{proof} \rangle$

lemma *divide-add-eq-iff* [field-simps]:
 $z \neq 0 \implies x / z + y = (x + y * z) / z$
 $\langle \text{proof} \rangle$

lemma *diff-divide-eq-iff* [field-simps]:
 $z \neq 0 \implies x - y / z = (x * z - y) / z$
 $\langle \text{proof} \rangle$

lemma *minus-divide-add-eq-iff* [field-simps]:
 $z \neq 0 \implies -(x / z) + y = (-x + y * z) / z$
 $\langle \text{proof} \rangle$

lemma *divide-diff-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x / z - y = (x - y * z) / z$$

<proof>

lemma *minus-divide-diff-eq-iff* [*field-simps*]:

$$z \neq 0 \implies -(x / z) - y = (-x - y * z) / z$$

<proof>

lemma *division-ring-divide-zero* [*simp*]:

$$a / 0 = 0$$

<proof>

lemma *divide-self-if* [*simp*]:

$$a / a = (\text{if } a = 0 \text{ then } 0 \text{ else } 1)$$

<proof>

lemma *inverse-nonzero-iff-nonzero* [*simp*]:

$$\text{inverse } a = 0 \iff a = 0$$

<proof>

lemma *inverse-minus-eq* [*simp*]:

$$\text{inverse } (-a) = - \text{inverse } a$$

<proof>

lemma *inverse-inverse-eq* [*simp*]:

$$\text{inverse } (\text{inverse } a) = a$$

<proof>

lemma *inverse-eq-imp-eq*:

$$\text{inverse } a = \text{inverse } b \implies a = b$$

<proof>

lemma *inverse-eq-iff-eq* [*simp*]:

$$\text{inverse } a = \text{inverse } b \iff a = b$$

<proof>

lemma *add-divide-eq-if-simps* [*divide-simps*]:

$$\begin{aligned} a + b / z &= (\text{if } z = 0 \text{ then } a \text{ else } (a * z + b) / z) \\ a / z + b &= (\text{if } z = 0 \text{ then } b \text{ else } (a + b * z) / z) \\ -(a / z) + b &= (\text{if } z = 0 \text{ then } b \text{ else } (-a + b * z) / z) \\ a - b / z &= (\text{if } z = 0 \text{ then } a \text{ else } (a * z - b) / z) \\ a / z - b &= (\text{if } z = 0 \text{ then } -b \text{ else } (a - b * z) / z) \\ -(a / z) - b &= (\text{if } z = 0 \text{ then } -b \text{ else } (-a - b * z) / z) \end{aligned}$$

<proof>

lemma [*divide-simps*]:

$$\begin{aligned} \text{shows } \text{divide-eq-eq: } b / c = a &\iff (\text{if } c \neq 0 \text{ then } b = a * c \text{ else } a = 0) \\ \text{and } \text{eq-divide-eq: } a = b / c &\iff (\text{if } c \neq 0 \text{ then } a * c = b \text{ else } a = 0) \end{aligned}$$

```

and minus-divide-eq-eq:  $-(b / c) = a \longleftrightarrow (\text{if } c \neq 0 \text{ then } -b = a * c \text{ else } a = 0)$ 
and eq-minus-divide-eq:  $a = -(b / c) \longleftrightarrow (\text{if } c \neq 0 \text{ then } a * c = -b \text{ else } a = 0)$ 
  <proof>

end

```

17.2 Fields

```

class field = comm-ring-1 + inverse +
  assumes field-inverse:  $a \neq 0 \implies \text{inverse } a * a = 1$ 
  assumes field-divide-inverse:  $a / b = a * \text{inverse } b$ 
  assumes field-inverse-zero:  $\text{inverse } 0 = 0$ 
begin

```

```

subclass division-ring
  <proof>

```

```

subclass idom-divide
  <proof>

```

There is no slick version using division by zero.

```

lemma inverse-add:
   $a \neq 0 \implies b \neq 0 \implies \text{inverse } a + \text{inverse } b = (a + b) * \text{inverse } a * \text{inverse } b$ 
  <proof>

```

```

lemma nonzero-mult-divide-mult-cancel-left [simp]:
  assumes [simp]:  $c \neq 0$ 
  shows  $(c * a) / (c * b) = a / b$ 
  <proof>

```

```

lemma nonzero-mult-divide-mult-cancel-right [simp]:
   $c \neq 0 \implies (a * c) / (b * c) = a / b$ 
  <proof>

```

```

lemma times-divide-eq-left [simp]:  $(b / c) * a = (b * a) / c$ 
  <proof>

```

```

lemma divide-inverse-commute:  $a / b = \text{inverse } b * a$ 
  <proof>

```

```

lemma add-frac-eq:
  assumes  $y \neq 0$  and  $z \neq 0$ 
  shows  $x / y + w / z = (x * z + w * y) / (y * z)$ 
  <proof>

```

Special Cancellation Simprules for Division

```

lemma nonzero-divide-mult-cancel-right [simp]:

```

$$b \neq 0 \implies b / (a * b) = 1 / a$$

<proof>

lemma *nonzero-divide-mult-cancel-left* [simp]:

$$a \neq 0 \implies a / (a * b) = 1 / b$$

<proof>

lemma *nonzero-mult-divide-mult-cancel-left2* [simp]:

$$c \neq 0 \implies (c * a) / (b * c) = a / b$$

<proof>

lemma *nonzero-mult-divide-mult-cancel-right2* [simp]:

$$c \neq 0 \implies (a * c) / (c * b) = a / b$$

<proof>

lemma *diff-frac-eq*:

$$y \neq 0 \implies z \neq 0 \implies x / y - w / z = (x * z - w * y) / (y * z)$$

<proof>

lemma *frac-eq-eq*:

$$y \neq 0 \implies z \neq 0 \implies (x / y = w / z) = (x * z = w * y)$$

<proof>

lemma *divide-minus1* [simp]: $x / - 1 = - x$

<proof>

This version builds in division by zero while also re-orienting the right-hand side.

lemma *inverse-mult-distrib* [simp]:

$$\text{inverse } (a * b) = \text{inverse } a * \text{inverse } b$$

<proof>

lemma *inverse-divide* [simp]:

$$\text{inverse } (a / b) = b / a$$

<proof>

Calculations with fractions

There is a whole bunch of simp-rules just for class *field* but none for class *field* and *nonzero-divides* because the latter are covered by a simproc.

lemma *mult-divide-mult-cancel-left*:

$$c \neq 0 \implies (c * a) / (c * b) = a / b$$

<proof>

lemma *mult-divide-mult-cancel-right*:

$$c \neq 0 \implies (a * c) / (b * c) = a / b$$

<proof>

lemma *divide-divide-eq-right* [simp]:

$$a / (b / c) = (a * c) / b$$

<proof>

lemma *divide-divide-eq-left* [simp]:
 $(a / b) / c = a / (b * c)$
<proof>

lemma *divide-divide-times-eq*:
 $(x / y) / (z / w) = (x * w) / (y * z)$
<proof>

Special Cancellation Simprules for Division

lemma *mult-divide-mult-cancel-left-if* [simp]:
shows $(c * a) / (c * b) = (\text{if } c = 0 \text{ then } 0 \text{ else } a / b)$
<proof>

Division and Unary Minus

lemma *minus-divide-right*:
 $-(a / b) = a / -b$
<proof>

lemma *divide-minus-right* [simp]:
 $a / -b = -(a / b)$
<proof>

lemma *minus-divide-divide*:
 $(-a) / (-b) = a / b$
<proof>

lemma *inverse-eq-1-iff* [simp]:
 $\text{inverse } x = 1 \longleftrightarrow x = 1$
<proof>

lemma *divide-eq-0-iff* [simp]:
 $a / b = 0 \longleftrightarrow a = 0 \vee b = 0$
<proof>

lemma *divide-cancel-right* [simp]:
 $a / c = b / c \longleftrightarrow c = 0 \vee a = b$
<proof>

lemma *divide-cancel-left* [simp]:
 $c / a = c / b \longleftrightarrow c = 0 \vee a = b$
<proof>

lemma *divide-eq-1-iff* [simp]:
 $a / b = 1 \longleftrightarrow b \neq 0 \wedge a = b$
<proof>

lemma *one-eq-divide-iff* [simp]:

$$1 = a / b \longleftrightarrow b \neq 0 \wedge a = b$$

<proof>

lemma *divide-eq-minus-1-iff*:

$$(a / b = - 1) \longleftrightarrow b \neq 0 \wedge a = - b$$

<proof>

lemma *times-divide-times-eq*:

$$(x / y) * (z / w) = (x * z) / (y * w)$$

<proof>

lemma *add-frac-num*:

$$y \neq 0 \implies x / y + z = (x + z * y) / y$$

<proof>

lemma *add-num-frac*:

$$y \neq 0 \implies z + x / y = (x + z * y) / y$$

<proof>

lemma *dvd-field-iff*:

$$a \text{ dvd } b \longleftrightarrow (a = 0 \longrightarrow b = 0)$$

<proof>

end

class *field-char-0* = *field* + *ring-char-0*

17.3 Ordered fields

class *field-abs-sgn* = *field* + *idom-abs-sgn*

begin

lemma *sgn-inverse* [simp]:

$$\text{sgn } (\text{inverse } a) = \text{inverse } (\text{sgn } a)$$

<proof>

lemma *abs-inverse* [simp]:

$$|\text{inverse } a| = \text{inverse } |a|$$

<proof>

lemma *sgn-divide* [simp]:

$$\text{sgn } (a / b) = \text{sgn } a / \text{sgn } b$$

<proof>

lemma *abs-divide* [simp]:

$$|a / b| = |a| / |b|$$

<proof>

end

class *linordered-field* = *field* + *linordered-idom*
begin

lemma *positive-imp-inverse-positive*:

assumes *a-gt-0*: $0 < a$

shows $0 < \text{inverse } a$

$\langle \text{proof} \rangle$

lemma *negative-imp-inverse-negative*:

$a < 0 \implies \text{inverse } a < 0$

$\langle \text{proof} \rangle$

lemma *inverse-le-imp-le*:

assumes *invle*: $\text{inverse } a \leq \text{inverse } b$ **and** *apos*: $0 < a$

shows $b \leq a$

$\langle \text{proof} \rangle$

lemma *inverse-positive-imp-positive*:

assumes *inv-gt-0*: $0 < \text{inverse } a$ **and** *nz*: $a \neq 0$

shows $0 < a$

$\langle \text{proof} \rangle$

lemma *inverse-negative-imp-negative*:

assumes *inv-less-0*: $\text{inverse } a < 0$ **and** *nz*: $a \neq 0$

shows $a < 0$

$\langle \text{proof} \rangle$

lemma *linordered-field-no-lb*:

$\forall x. \exists y. y < x$

$\langle \text{proof} \rangle$

lemma *linordered-field-no-ub*:

$\forall x. \exists y. y > x$

$\langle \text{proof} \rangle$

lemma *less-imp-inverse-less*:

assumes *less*: $a < b$ **and** *apos*: $0 < a$

shows $\text{inverse } b < \text{inverse } a$

$\langle \text{proof} \rangle$

lemma *inverse-less-imp-less*:

$\text{inverse } a < \text{inverse } b \implies 0 < a \implies b < a$

$\langle \text{proof} \rangle$

Both premises are essential. Consider -1 and 1.

lemma *inverse-less-iff-less* [*simp*]:

$0 < a \implies 0 < b \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$

$\langle \text{proof} \rangle$

lemma *le-imp-inverse-le*:

$$a \leq b \implies 0 < a \implies \text{inverse } b \leq \text{inverse } a$$

$\langle \text{proof} \rangle$

lemma *inverse-le-iff-le* [simp]:

$$0 < a \implies 0 < b \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$$

$\langle \text{proof} \rangle$

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

lemma *inverse-le-imp-le-neg*:

$$\text{inverse } a \leq \text{inverse } b \implies b < 0 \implies b \leq a$$

$\langle \text{proof} \rangle$

lemma *less-imp-inverse-less-neg*:

$$a < b \implies b < 0 \implies \text{inverse } b < \text{inverse } a$$

$\langle \text{proof} \rangle$

lemma *inverse-less-imp-less-neg*:

$$\text{inverse } a < \text{inverse } b \implies b < 0 \implies b < a$$

$\langle \text{proof} \rangle$

lemma *inverse-less-iff-less-neg* [simp]:

$$a < 0 \implies b < 0 \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$$

$\langle \text{proof} \rangle$

lemma *le-imp-inverse-le-neg*:

$$a \leq b \implies b < 0 \implies \text{inverse } b \leq \text{inverse } a$$

$\langle \text{proof} \rangle$

lemma *inverse-le-iff-le-neg* [simp]:

$$a < 0 \implies b < 0 \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$$

$\langle \text{proof} \rangle$

lemma *one-less-inverse*:

$$0 < a \implies a < 1 \implies 1 < \text{inverse } a$$

$\langle \text{proof} \rangle$

lemma *one-le-inverse*:

$$0 < a \implies a \leq 1 \implies 1 \leq \text{inverse } a$$

$\langle \text{proof} \rangle$

lemma *pos-le-divide-eq* [field-simps]:

assumes $0 < c$

shows $a \leq b / c \longleftrightarrow a * c \leq b$

$\langle \text{proof} \rangle$

lemma *pos-less-divide-eq* [*field-simps*]:
 assumes $0 < c$
 shows $a < b / c \longleftrightarrow a * c < b$
 $\langle \text{proof} \rangle$

lemma *neg-less-divide-eq* [*field-simps*]:
 assumes $c < 0$
 shows $a < b / c \longleftrightarrow b < a * c$
 $\langle \text{proof} \rangle$

lemma *neg-le-divide-eq* [*field-simps*]:
 assumes $c < 0$
 shows $a \leq b / c \longleftrightarrow b \leq a * c$
 $\langle \text{proof} \rangle$

lemma *pos-divide-le-eq* [*field-simps*]:
 assumes $0 < c$
 shows $b / c \leq a \longleftrightarrow b \leq a * c$
 $\langle \text{proof} \rangle$

lemma *pos-divide-less-eq* [*field-simps*]:
 assumes $0 < c$
 shows $b / c < a \longleftrightarrow b < a * c$
 $\langle \text{proof} \rangle$

lemma *neg-divide-le-eq* [*field-simps*]:
 assumes $c < 0$
 shows $b / c \leq a \longleftrightarrow a * c \leq b$
 $\langle \text{proof} \rangle$

lemma *neg-divide-less-eq* [*field-simps*]:
 assumes $c < 0$
 shows $b / c < a \longleftrightarrow a * c < b$
 $\langle \text{proof} \rangle$

The following *field-simps* rules are necessary, as minus is always moved atop of division but we want to get rid of division.

lemma *pos-le-minus-divide-eq* [*field-simps*]: $0 < c \implies a \leq -(b / c) \longleftrightarrow a * c \leq -b$
 $\langle \text{proof} \rangle$

lemma *neg-le-minus-divide-eq* [*field-simps*]: $c < 0 \implies a \leq -(b / c) \longleftrightarrow -b \leq a * c$
 $\langle \text{proof} \rangle$

lemma *pos-less-minus-divide-eq* [*field-simps*]: $0 < c \implies a < -(b / c) \longleftrightarrow a * c < -b$
 $\langle \text{proof} \rangle$

lemma *neg-less-minus-divide-eq* [*field-simps*]: $c < 0 \implies a < -(b / c) \longleftrightarrow -b < a * c$
 ⟨*proof*⟩

lemma *pos-minus-divide-less-eq* [*field-simps*]: $0 < c \implies -(b / c) < a \longleftrightarrow -b < a * c$
 ⟨*proof*⟩

lemma *neg-minus-divide-less-eq* [*field-simps*]: $c < 0 \implies -(b / c) < a \longleftrightarrow a * c < -b$
 ⟨*proof*⟩

lemma *pos-minus-divide-le-eq* [*field-simps*]: $0 < c \implies -(b / c) \leq a \longleftrightarrow -b \leq a * c$
 ⟨*proof*⟩

lemma *neg-minus-divide-le-eq* [*field-simps*]: $c < 0 \implies -(b / c) \leq a \longleftrightarrow a * c \leq -b$
 ⟨*proof*⟩

lemma *frac-less-eq*:
 $y \neq 0 \implies z \neq 0 \implies x / y < w / z \longleftrightarrow (x * z - w * y) / (y * z) < 0$
 ⟨*proof*⟩

lemma *frac-le-eq*:
 $y \neq 0 \implies z \neq 0 \implies x / y \leq w / z \longleftrightarrow (x * z - w * y) / (y * z) \leq 0$
 ⟨*proof*⟩

Lemmas *sign-simps* is a first attempt to automate proofs of positivity/negativity needed for *field-simps*. Have not added *sign-simps* to *field-simps* because the former can lead to case explosions.

lemmas *sign-simps* = *algebra-simps zero-less-mult-iff mult-less-0-iff*

lemmas (in $-$) *sign-simps* = *algebra-simps zero-less-mult-iff mult-less-0-iff*

lemma *divide-pos-pos[simp]*:
 $0 < x \implies 0 < y \implies 0 < x / y$
 ⟨*proof*⟩

lemma *divide-nonneg-pos*:
 $0 \leq x \implies 0 < y \implies 0 \leq x / y$
 ⟨*proof*⟩

lemma *divide-neg-pos*:
 $x < 0 \implies 0 < y \implies x / y < 0$
 ⟨*proof*⟩

lemma *divide-nonpos-pos*:

$$x \leq 0 \implies 0 < y \implies x / y \leq 0$$

<proof>

lemma *divide-pos-neg*:

$$0 < x \implies y < 0 \implies x / y < 0$$

<proof>

lemma *divide-nonneg-neg*:

$$0 \leq x \implies y < 0 \implies x / y \leq 0$$

<proof>

lemma *divide-neg-neg*:

$$x < 0 \implies y < 0 \implies 0 < x / y$$

<proof>

lemma *divide-nonpos-neg*:

$$x \leq 0 \implies y < 0 \implies 0 \leq x / y$$

<proof>

lemma *divide-strict-right-mono*:

$$[|a < b; 0 < c|] \implies a / c < b / c$$

<proof>

lemma *divide-strict-right-mono-neg*:

$$[|b < a; c < 0|] \implies a / c < b / c$$

<proof>

The last premise ensures that a and b have the same sign

lemma *divide-strict-left-mono*:

$$[|b < a; 0 < c; 0 < a*b|] \implies c / a < c / b$$

<proof>

lemma *divide-left-mono*:

$$[|b \leq a; 0 \leq c; 0 < a*b|] \implies c / a \leq c / b$$

<proof>

lemma *divide-strict-left-mono-neg*:

$$[|a < b; c < 0; 0 < a*b|] \implies c / a < c / b$$

<proof>

lemma *mult-imp-div-pos-le*: $0 < y \implies x \leq z * y \implies$

$$x / y \leq z$$

<proof>

lemma *mult-imp-le-div-pos*: $0 < y \implies z * y \leq x \implies$

$$z \leq x / y$$

<proof>

lemma *mult-imp-div-pos-less*: $0 < y \implies x < z * y \implies$
 $x / y < z$
 $\langle proof \rangle$

lemma *mult-imp-less-div-pos*: $0 < y \implies z * y < x \implies$
 $z < x / y$
 $\langle proof \rangle$

lemma *frac-le*: $0 \leq x \implies$
 $x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$
 $\langle proof \rangle$

lemma *frac-less*: $0 \leq x \implies$
 $x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$
 $\langle proof \rangle$

lemma *frac-less2*: $0 < x \implies$
 $x \leq y \implies 0 < w \implies w < z \implies x / z < y / w$
 $\langle proof \rangle$

lemma *less-half-sum*: $a < b \implies a < (a+b) / (1+1)$
 $\langle proof \rangle$

lemma *gt-half-sum*: $a < b \implies (a+b)/(1+1) < b$
 $\langle proof \rangle$

subclass *unbounded-dense-linorder*
 $\langle proof \rangle$

subclass *field-abs-sgn* $\langle proof \rangle$

lemma *inverse-sgn* [*simp*]:
 $inverse (sgn a) = sgn a$
 $\langle proof \rangle$

lemma *divide-sgn* [*simp*]:
 $a / sgn b = a * sgn b$
 $\langle proof \rangle$

lemma *nonzero-abs-inverse*:
 $a \neq 0 \implies |inverse a| = inverse |a|$
 $\langle proof \rangle$

lemma *nonzero-abs-divide*:
 $b \neq 0 \implies |a / b| = |a| / |b|$
 $\langle proof \rangle$

lemma *field-le-epsilon*:

assumes e : $\bigwedge e. 0 < e \implies x \leq y + e$
shows $x \leq y$
 $\langle \text{proof} \rangle$

lemma *inverse-positive-iff-positive* [simp]:
 $(0 < \text{inverse } a) = (0 < a)$
 $\langle \text{proof} \rangle$

lemma *inverse-negative-iff-negative* [simp]:
 $(\text{inverse } a < 0) = (a < 0)$
 $\langle \text{proof} \rangle$

lemma *inverse-nonnegative-iff-nonnegative* [simp]:
 $0 \leq \text{inverse } a \longleftrightarrow 0 \leq a$
 $\langle \text{proof} \rangle$

lemma *inverse-nonpositive-iff-nonpositive* [simp]:
 $\text{inverse } a \leq 0 \longleftrightarrow a \leq 0$
 $\langle \text{proof} \rangle$

lemma *one-less-inverse-iff*: $1 < \text{inverse } x \longleftrightarrow 0 < x \wedge x < 1$
 $\langle \text{proof} \rangle$

lemma *one-le-inverse-iff*: $1 \leq \text{inverse } x \longleftrightarrow 0 < x \wedge x \leq 1$
 $\langle \text{proof} \rangle$

lemma *inverse-less-1-iff*: $\text{inverse } x < 1 \longleftrightarrow x \leq 0 \vee 1 < x$
 $\langle \text{proof} \rangle$

lemma *inverse-le-1-iff*: $\text{inverse } x \leq 1 \longleftrightarrow x \leq 0 \vee 1 \leq x$
 $\langle \text{proof} \rangle$

lemma [divide-simps]:

shows *le-divide-eq*: $a \leq b / c \longleftrightarrow (\text{if } 0 < c \text{ then } a * c \leq b \text{ else if } c < 0 \text{ then } b \leq a * c \text{ else } a \leq 0)$

and *divide-le-eq*: $b / c \leq a \longleftrightarrow (\text{if } 0 < c \text{ then } b \leq a * c \text{ else if } c < 0 \text{ then } a * c \leq b \text{ else } 0 \leq a)$

and *less-divide-eq*: $a < b / c \longleftrightarrow (\text{if } 0 < c \text{ then } a * c < b \text{ else if } c < 0 \text{ then } b < a * c \text{ else } a < 0)$

and *divide-less-eq*: $b / c < a \longleftrightarrow (\text{if } 0 < c \text{ then } b < a * c \text{ else if } c < 0 \text{ then } a * c < b \text{ else } 0 < a)$

and *le-minus-divide-eq*: $a \leq -(b / c) \longleftrightarrow (\text{if } 0 < c \text{ then } a * c \leq -b \text{ else if } c < 0 \text{ then } -b \leq a * c \text{ else } a \leq 0)$

and *minus-divide-le-eq*: $-(b / c) \leq a \longleftrightarrow (\text{if } 0 < c \text{ then } -b \leq a * c \text{ else if } c < 0 \text{ then } a * c \leq -b \text{ else } 0 \leq a)$

and *less-minus-divide-eq*: $a < -(b / c) \longleftrightarrow (\text{if } 0 < c \text{ then } a * c < -b \text{ else if } c < 0 \text{ then } -b < a * c \text{ else } a < 0)$

and *minus-divide-less-eq*: $-(b / c) < a \longleftrightarrow (\text{if } 0 < c \text{ then } -b < a * c \text{ else if } c < 0 \text{ then } a * c < -b \text{ else } 0 < a)$

$\langle proof \rangle$

Division and Signs

lemma

shows *zero-less-divide-iff*: $0 < a / b \longleftrightarrow 0 < a \wedge 0 < b \vee a < 0 \wedge b < 0$

and *divide-less-0-iff*: $a / b < 0 \longleftrightarrow 0 < a \wedge b < 0 \vee a < 0 \wedge 0 < b$

and *zero-le-divide-iff*: $0 \leq a / b \longleftrightarrow 0 \leq a \wedge 0 \leq b \vee a \leq 0 \wedge b \leq 0$

and *divide-le-0-iff*: $a / b \leq 0 \longleftrightarrow 0 \leq a \wedge b \leq 0 \vee a \leq 0 \wedge 0 \leq b$

$\langle proof \rangle$

Division and the Number One

Simplify expressions equated with 1

lemma *zero-eq-1-divide-iff* [simp]: $0 = 1 / a \longleftrightarrow a = 0$

$\langle proof \rangle$

lemma *one-divide-eq-0-iff* [simp]: $1 / a = 0 \longleftrightarrow a = 0$

$\langle proof \rangle$

Simplify expressions such as $0 < 1/x$ to $0 < x$

lemma *zero-le-divide-1-iff* [simp]:

$0 \leq 1 / a \longleftrightarrow 0 \leq a$

$\langle proof \rangle$

lemma *zero-less-divide-1-iff* [simp]:

$0 < 1 / a \longleftrightarrow 0 < a$

$\langle proof \rangle$

lemma *divide-le-0-1-iff* [simp]:

$1 / a \leq 0 \longleftrightarrow a \leq 0$

$\langle proof \rangle$

lemma *divide-less-0-1-iff* [simp]:

$1 / a < 0 \longleftrightarrow a < 0$

$\langle proof \rangle$

lemma *divide-right-mono*:

$[|a \leq b; 0 \leq c|] \implies a/c \leq b/c$

$\langle proof \rangle$

lemma *divide-right-mono-neg*: $a \leq b$

$\implies c \leq 0 \implies b / c \leq a / c$

$\langle proof \rangle$

lemma *divide-left-mono-neg*: $a \leq b$

$\implies c \leq 0 \implies 0 < a * b \implies c / a \leq c / b$

$\langle proof \rangle$

lemma *inverse-le-iff*: $\text{inverse } a \leq \text{inverse } b \longleftrightarrow (0 < a * b \longrightarrow b \leq a) \wedge (a * b \leq 0 \longrightarrow a \leq b)$
 ⟨proof⟩

lemma *inverse-less-iff*: $\text{inverse } a < \text{inverse } b \longleftrightarrow (0 < a * b \longrightarrow b < a) \wedge (a * b \leq 0 \longrightarrow a < b)$
 ⟨proof⟩

lemma *divide-le-cancel*: $a / c \leq b / c \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$
 ⟨proof⟩

lemma *divide-less-cancel*: $a / c < b / c \longleftrightarrow (0 < c \longrightarrow a < b) \wedge (c < 0 \longrightarrow b < a) \wedge c \neq 0$
 ⟨proof⟩

Simplify quotients that are compared with the value 1.

lemma *le-divide-eq-1*:
 $(1 \leq b / a) = ((0 < a \ \& \ a \leq b) \mid (a < 0 \ \& \ b \leq a))$
 ⟨proof⟩

lemma *divide-le-eq-1*:
 $(b / a \leq 1) = ((0 < a \ \& \ b \leq a) \mid (a < 0 \ \& \ a \leq b) \mid a=0)$
 ⟨proof⟩

lemma *less-divide-eq-1*:
 $(1 < b / a) = ((0 < a \ \& \ a < b) \mid (a < 0 \ \& \ b < a))$
 ⟨proof⟩

lemma *divide-less-eq-1*:
 $(b / a < 1) = ((0 < a \ \& \ b < a) \mid (a < 0 \ \& \ a < b) \mid a=0)$
 ⟨proof⟩

lemma *divide-nonneg-nonneg* [*simp*]:
 $0 \leq x \implies 0 \leq y \implies 0 \leq x / y$
 ⟨proof⟩

lemma *divide-nonpos-nonpos*:
 $x \leq 0 \implies y \leq 0 \implies 0 \leq x / y$
 ⟨proof⟩

lemma *divide-nonneg-nonpos*:
 $0 \leq x \implies y \leq 0 \implies x / y \leq 0$
 ⟨proof⟩

lemma *divide-nonpos-nonneg*:
 $x \leq 0 \implies 0 \leq y \implies x / y \leq 0$
 ⟨proof⟩

Conditional Simplification Rules: No Case Splits

lemma *le-divide-eq-1-pos* [simp]:
 $0 < a \implies (1 \leq b/a) = (a \leq b)$
 ⟨proof⟩

lemma *le-divide-eq-1-neg* [simp]:
 $a < 0 \implies (1 \leq b/a) = (b \leq a)$
 ⟨proof⟩

lemma *divide-le-eq-1-pos* [simp]:
 $0 < a \implies (b/a \leq 1) = (b \leq a)$
 ⟨proof⟩

lemma *divide-le-eq-1-neg* [simp]:
 $a < 0 \implies (b/a \leq 1) = (a \leq b)$
 ⟨proof⟩

lemma *less-divide-eq-1-pos* [simp]:
 $0 < a \implies (1 < b/a) = (a < b)$
 ⟨proof⟩

lemma *less-divide-eq-1-neg* [simp]:
 $a < 0 \implies (1 < b/a) = (b < a)$
 ⟨proof⟩

lemma *divide-less-eq-1-pos* [simp]:
 $0 < a \implies (b/a < 1) = (b < a)$
 ⟨proof⟩

lemma *divide-less-eq-1-neg* [simp]:
 $a < 0 \implies b/a < 1 \longleftrightarrow a < b$
 ⟨proof⟩

lemma *eq-divide-eq-1* [simp]:
 $(1 = b/a) = ((a \neq 0 \ \& \ a = b))$
 ⟨proof⟩

lemma *divide-eq-eq-1* [simp]:
 $(b/a = 1) = ((a \neq 0 \ \& \ a = b))$
 ⟨proof⟩

lemma *abs-div-pos*: $0 < y \implies$
 $|x| / y = |x / y|$
 ⟨proof⟩

lemma *zero-le-divide-abs-iff* [simp]: $(0 \leq a / |b|) = (0 \leq a \mid b = 0)$
 ⟨proof⟩

lemma *divide-le-0-abs-iff* [simp]: $(a / |b| \leq 0) = (a \leq 0 \mid b = 0)$
 ⟨proof⟩

lemma *field-le-mult-one-interval*:

assumes *: $\bigwedge z. [0 < z ; z < 1] \implies z * x \leq y$

shows $x \leq y$

<proof>

For creating values between u and v .

lemma *scaling-mono*:

assumes $u \leq v \ 0 \leq r \ r \leq s$

shows $u + r * (v - u) / s \leq v$

<proof>

end

Min/max Simplification Rules

lemma *min-mult-distrib-left*:

fixes $x::'a::\text{linordered-idom}$

shows $p * \min x y = (\text{if } 0 \leq p \text{ then } \min (p*x) (p*y) \text{ else } \max (p*x) (p*y))$

<proof>

lemma *min-mult-distrib-right*:

fixes $x::'a::\text{linordered-idom}$

shows $\min x y * p = (\text{if } 0 \leq p \text{ then } \min (x*p) (y*p) \text{ else } \max (x*p) (y*p))$

<proof>

lemma *min-divide-distrib-right*:

fixes $x::'a::\text{linordered-field}$

shows $\min x y / p = (\text{if } 0 \leq p \text{ then } \min (x/p) (y/p) \text{ else } \max (x/p) (y/p))$

<proof>

lemma *max-mult-distrib-left*:

fixes $x::'a::\text{linordered-idom}$

shows $p * \max x y = (\text{if } 0 \leq p \text{ then } \max (p*x) (p*y) \text{ else } \min (p*x) (p*y))$

<proof>

lemma *max-mult-distrib-right*:

fixes $x::'a::\text{linordered-idom}$

shows $\max x y * p = (\text{if } 0 \leq p \text{ then } \max (x*p) (y*p) \text{ else } \min (x*p) (y*p))$

<proof>

lemma *max-divide-distrib-right*:

fixes $x::'a::\text{linordered-field}$

shows $\max x y / p = (\text{if } 0 \leq p \text{ then } \max (x/p) (y/p) \text{ else } \min (x/p) (y/p))$

<proof>

hide-fact (open) *field-inverse field-divide-inverse field-inverse-zero*

code-identifier

code-module *Fields* \rightarrow (*SML*) *Arith* **and** (*OCaml*) *Arith* **and** (*Haskell*) *Arith*

end

18 Finite sets

```
theory Finite-Set
  imports Product-Type Sum-Type Fields
begin
```

18.1 Predicate for finite sets

```
context notes [[inductive-internals]]
begin
```

```
inductive finite :: 'a set  $\Rightarrow$  bool
  where
    emptyI [simp, intro!]: finite {}
  | insertI [simp, intro!]: finite A  $\Longrightarrow$  finite (insert a A)
```

end

$\langle ML \rangle$

```
declare [[simproc del: finite-Collect]]
```

```
lemma finite-induct [case-names empty insert, induct set: finite]:
  — Discharging  $x \notin F$  entails extra work.
  assumes finite F
  assumes P {}
  and insert:  $\bigwedge x F. \text{finite } F \Longrightarrow x \notin F \Longrightarrow P F \Longrightarrow P (\text{insert } x F)$ 
  shows P F
   $\langle proof \rangle$ 
```

```
lemma infinite-finite-induct [case-names infinite empty insert]:
  assumes infinite:  $\bigwedge A. \neg \text{finite } A \Longrightarrow P A$ 
  and empty: P {}
  and insert:  $\bigwedge x F. \text{finite } F \Longrightarrow x \notin F \Longrightarrow P F \Longrightarrow P (\text{insert } x F)$ 
  shows P A
   $\langle proof \rangle$ 
```

18.1.1 Choice principles

```
lemma ex-new-if-finite: — does not depend on def of finite at all
  assumes  $\neg \text{finite } (UNIV :: 'a \text{ set})$  and finite A
  shows  $\exists a :: 'a. a \notin A$ 
   $\langle proof \rangle$ 
```

A finite choice principle. Does not need the SOME choice operator.

```
lemma finite-set-choice: finite A  $\Longrightarrow \forall x \in A. \exists y. P x y \Longrightarrow \exists f. \forall x \in A. P x (f x)$ 
```

<proof>

18.1.2 Finite sets are the images of initial segments of natural numbers

lemma *finite-imp-nat-seg-image-inj-on*:

assumes *finite A*

shows $\exists (n::nat). f. A = f \text{ ‘ } \{i. i < n\} \wedge \text{inj-on } f \text{ ‘ } \{i. i < n\}$

<proof>

lemma *nat-seg-image-imp-finite*: $A = f \text{ ‘ } \{i::nat. i < n\} \implies \text{finite } A$

<proof>

lemma *finite-conv-nat-seg-image*: $\text{finite } A \longleftrightarrow (\exists n f. A = f \text{ ‘ } \{i::nat. i < n\})$

<proof>

lemma *finite-imp-inj-to-nat-seg*:

assumes *finite A*

shows $\exists f n. f \text{ ‘ } A = \{i::nat. i < n\} \wedge \text{inj-on } f \text{ ‘ } A$

<proof>

lemma *finite-Collect-less-nat [iff]*: $\text{finite } \{n::nat. n < k\}$

<proof>

lemma *finite-Collect-le-nat [iff]*: $\text{finite } \{n::nat. n \leq k\}$

<proof>

18.1.3 Finiteness and common set operations

lemma *rev-finite-subset*: $\text{finite } B \implies A \subseteq B \implies \text{finite } A$

<proof>

lemma *finite-subset*: $A \subseteq B \implies \text{finite } B \implies \text{finite } A$

<proof>

lemma *finite-UnI*:

assumes *finite F and finite G*

shows *finite (F \cup G)*

<proof>

lemma *finite-Un [iff]*: $\text{finite } (F \cup G) \longleftrightarrow \text{finite } F \wedge \text{finite } G$

<proof>

lemma *finite-insert [simp]*: $\text{finite } (\text{insert } a \text{ } A) \longleftrightarrow \text{finite } A$

<proof>

lemma *finite-Int [simp, intro]*: $\text{finite } F \vee \text{finite } G \implies \text{finite } (F \cap G)$

<proof>

lemma *finite-Collect-conjI [simp, intro]*:

$finite \{x. P x\} \vee finite \{x. Q x\} \implies finite \{x. P x \wedge Q x\}$
 $\langle proof \rangle$

lemma *finite-Collect-disjI* [simp]:
 $finite \{x. P x \vee Q x\} \longleftrightarrow finite \{x. P x\} \wedge finite \{x. Q x\}$
 $\langle proof \rangle$

lemma *finite-Diff* [simp, intro]: $finite A \implies finite (A - B)$
 $\langle proof \rangle$

lemma *finite-Diff2* [simp]:
 assumes $finite B$
 shows $finite (A - B) \longleftrightarrow finite A$
 $\langle proof \rangle$

lemma *finite-Diff-insert* [iff]: $finite (A - insert a B) \longleftrightarrow finite (A - B)$
 $\langle proof \rangle$

lemma *finite-compl* [simp]:
 $finite (A :: 'a set) \implies finite (- A) \longleftrightarrow finite (UNIV :: 'a set)$
 $\langle proof \rangle$

lemma *finite-Collect-not* [simp]:
 $finite \{x :: 'a. P x\} \implies finite \{x. \neg P x\} \longleftrightarrow finite (UNIV :: 'a set)$
 $\langle proof \rangle$

lemma *finite-Union* [simp, intro]:
 $finite A \implies (\bigwedge M. M \in A \implies finite M) \implies finite (\bigcup A)$
 $\langle proof \rangle$

lemma *finite-UN-I* [intro]:
 $finite A \implies (\bigwedge a. a \in A \implies finite (B a)) \implies finite (\bigcup_{a \in A} B a)$
 $\langle proof \rangle$

lemma *finite-UN* [simp]: $finite A \implies finite (UNION A B) \longleftrightarrow (\forall x \in A. finite (B x))$
 $\langle proof \rangle$

lemma *finite-Inter* [intro]: $\exists A \in M. finite A \implies finite (\bigcap M)$
 $\langle proof \rangle$

lemma *finite-INT* [intro]: $\exists x \in I. finite (A x) \implies finite (\bigcap_{x \in I} A x)$
 $\langle proof \rangle$

lemma *finite-imageI* [simp, intro]: $finite F \implies finite (h ` F)$
 $\langle proof \rangle$

lemma *finite-image-set* [simp]: $finite \{x. P x\} \implies finite \{f x \mid x. P x\}$
 $\langle proof \rangle$

lemma *finite-image-set2*:

finite $\{x. P\ x\} \implies \text{finite } \{y. Q\ y\} \implies \text{finite } \{f\ x\ y \mid x\ y. P\ x \wedge Q\ y\}$
 ⟨proof⟩

lemma *finite-imageD*:

assumes *finite* $(f\ 'A)$ **and** *inj-on* $f\ A$
shows *finite* A
 ⟨proof⟩

lemma *finite-image-iff*: *inj-on* $f\ A \implies \text{finite } (f\ 'A) \longleftrightarrow \text{finite } A$

⟨proof⟩

lemma *finite-surj*: *finite* $A \implies B \subseteq f\ 'A \implies \text{finite } B$

⟨proof⟩

lemma *finite-range-imageI*: *finite* $(\text{range } g) \implies \text{finite } (\text{range } (\lambda x. f\ (g\ x)))$

⟨proof⟩

lemma *finite-subset-image*:

assumes *finite* B
shows $B \subseteq f\ 'A \implies \exists C \subseteq A. \text{finite } C \wedge B = f\ 'C$
 ⟨proof⟩

lemma *finite-vimage-IntI*: *finite* $F \implies \text{inj-on } h\ A \implies \text{finite } (h\ -' F \cap A)$

⟨proof⟩

lemma *finite-finite-vimage-IntI*:

assumes *finite* F
and $\bigwedge y. y \in F \implies \text{finite } ((h\ -' \{y\}) \cap A)$
shows *finite* $(h\ -' F \cap A)$

⟨proof⟩

lemma *finite-vimageI*: *finite* $F \implies \text{inj } h \implies \text{finite } (h\ -' F)$

⟨proof⟩

lemma *finite-vimageD'*: *finite* $(f\ -' A) \implies A \subseteq \text{range } f \implies \text{finite } A$

⟨proof⟩

lemma *finite-vimageD*: *finite* $(h\ -' F) \implies \text{surj } h \implies \text{finite } F$

⟨proof⟩

lemma *finite-vimage-iff*: *bij* $h \implies \text{finite } (h\ -' F) \longleftrightarrow \text{finite } F$

⟨proof⟩

lemma *finite-Collect-bex [simp]*:

assumes *finite* A
shows *finite* $\{x. \exists y \in A. Q\ x\ y\} \longleftrightarrow (\forall y \in A. \text{finite } \{x. Q\ x\ y\})$
 ⟨proof⟩

lemma *finite-Collect-bounded-ex* [simp]:
 assumes *finite* {*y*. *P y*}
 shows *finite* {*x*. $\exists y. P y \wedge Q x y$ } $\longleftrightarrow (\forall y. P y \longrightarrow \text{finite } \{x. Q x y\})$
 ⟨proof⟩

lemma *finite-Plus*: *finite A* \implies *finite B* \implies *finite (A <+> B)*
 ⟨proof⟩

lemma *finite-PlusD*:
 fixes *A* :: 'a set and *B* :: 'b set
 assumes *fin*: *finite (A <+> B)*
 shows *finite A* *finite B*
 ⟨proof⟩

lemma *finite-Plus-iff* [simp]: *finite (A <+> B)* \longleftrightarrow *finite A* \wedge *finite B*
 ⟨proof⟩

lemma *finite-Plus-UNIV-iff* [simp]:
finite (UNIV :: ('a + 'b) set) \longleftrightarrow *finite (UNIV :: 'a set)* \wedge *finite (UNIV :: 'b set)*
 ⟨proof⟩

lemma *finite-SigmaI* [simp, intro]:
finite A $\implies (\bigwedge a. a \in A \implies \text{finite } (B a)) \implies \text{finite } (\text{SIGMA } a:A. B a)$
 ⟨proof⟩

lemma *finite-SigmaI2*:
 assumes *finite* {*x* ∈ *A*. *B x* \neq {}}
 and $\bigwedge a. a \in A \implies \text{finite } (B a)$
 shows *finite (Sigma A B)*
 ⟨proof⟩

lemma *finite-cartesian-product*: *finite A* \implies *finite B* \implies *finite (A \times B)*
 ⟨proof⟩

lemma *finite-Prod-UNIV*:
finite (UNIV :: 'a set) \implies *finite (UNIV :: 'b set)* \implies *finite (UNIV :: ('a \times 'b) set)*
 ⟨proof⟩

lemma *finite-cartesian-productD1*:
 assumes *finite (A \times B)* and *B* \neq {}
 shows *finite A*
 ⟨proof⟩

lemma *finite-cartesian-productD2*:
 assumes *finite (A \times B)* and *A* \neq {}
 shows *finite B*

$\langle proof \rangle$

lemma *finite-cartesian-product-iff*:

$finite\ (A \times B) \longleftrightarrow (A = \{\} \vee B = \{\} \vee (finite\ A \wedge finite\ B))$

$\langle proof \rangle$

lemma *finite-prod*:

$finite\ (UNIV :: ('a \times 'b)\ set) \longleftrightarrow finite\ (UNIV :: 'a\ set) \wedge finite\ (UNIV :: 'b\ set)$

$\langle proof \rangle$

lemma *finite-Pow-iff [iff]*: $finite\ (Pow\ A) \longleftrightarrow finite\ A$

$\langle proof \rangle$

corollary *finite-Collect-subsets [simp, intro]*: $finite\ A \implies finite\ \{B.\ B \subseteq A\}$

$\langle proof \rangle$

lemma *finite-set*: $finite\ (UNIV :: 'a\ set\ set) \longleftrightarrow finite\ (UNIV :: 'a\ set)$

$\langle proof \rangle$

lemma *finite-UnionD*: $finite\ (\bigcup A) \implies finite\ A$

$\langle proof \rangle$

lemma *finite-set-of-finite-funs*:

assumes $finite\ A\ finite\ B$

shows $finite\ \{f.\ \forall x.\ (x \in A \longrightarrow f\ x \in B) \wedge (x \notin A \longrightarrow f\ x = d)\}\ (is\ finite\ ?S)$

$\langle proof \rangle$

lemma *not-finite-existsD*:

assumes $\neg finite\ \{a.\ P\ a\}$

shows $\exists a.\ P\ a$

$\langle proof \rangle$

18.1.4 Further induction rules on finite sets

lemma *finite-ne-induct [case-names singleton insert, consumes 2]*:

assumes $finite\ F$ **and** $F \neq \{\}$

assumes $\bigwedge x.\ P\ \{x\}$

and $\bigwedge x\ F.\ finite\ F \implies F \neq \{\} \implies x \notin F \implies P\ F \implies P\ (insert\ x\ F)$

shows $P\ F$

$\langle proof \rangle$

lemma *finite-subset-induct [consumes 2, case-names empty insert]*:

assumes $finite\ F$ **and** $F \subseteq A$

and $empty:\ P\ \{\}$

and $insert:\ \bigwedge a\ F.\ finite\ F \implies a \in A \implies a \notin F \implies P\ F \implies P\ (insert\ a\ F)$

shows $P\ F$

$\langle proof \rangle$

lemma *finite-empty-induct*:

assumes *finite* A

and $P\ A$

and *remove*: $\bigwedge a\ A. \text{finite } A \implies a \in A \implies P\ A \implies P\ (A - \{a\})$

shows $P\ \{\}$

$\langle \text{proof} \rangle$

lemma *finite-update-induct* [*consumes 1, case-names const update*]:

assumes *finite*: $\text{finite } \{a. f\ a \neq c\}$

and *const*: $P\ (\lambda a. c)$

and *update*: $\bigwedge a\ b\ f. \text{finite } \{a. f\ a \neq c\} \implies f\ a = c \implies b \neq c \implies P\ f \implies P\ (f(a := b))$

shows $P\ f$

$\langle \text{proof} \rangle$

lemma *finite-subset-induct'* [*consumes 2, case-names empty insert*]:

assumes *finite* F **and** $F \subseteq A$

and *empty*: $P\ \{\}$

and *insert*: $\bigwedge a\ F. \llbracket \text{finite } F; a \in A; F \subseteq A; a \notin F; P\ F \rrbracket \implies P\ (\text{insert } a\ F)$

shows $P\ F$

$\langle \text{proof} \rangle$

18.2 Class *finite*

class *finite* =

assumes *finite-UNIV*: $\text{finite } (\text{UNIV} :: 'a\ \text{set})$

begin

lemma *finite* [*simp*]: $\text{finite } (A :: 'a\ \text{set})$

$\langle \text{proof} \rangle$

lemma *finite-code* [*code*]: $\text{finite } (A :: 'a\ \text{set}) \longleftrightarrow \text{True}$

$\langle \text{proof} \rangle$

end

instance *prod* :: $(\text{finite}, \text{finite})\ \text{finite}$

$\langle \text{proof} \rangle$

lemma *inj-graph*: $\text{inj } (\lambda f. \{(x, y). y = f\ x\})$

$\langle \text{proof} \rangle$

instance *fun* :: $(\text{finite}, \text{finite})\ \text{finite}$

$\langle \text{proof} \rangle$

instance *bool* :: *finite*

$\langle \text{proof} \rangle$

instance *set* :: $(\text{finite})\ \text{finite}$

<proof>

instance *unit* :: *finite*
<proof>

instance *sum* :: (*finite*, *finite*) *finite*
<proof>

18.3 A basic fold functional for finite sets

The intended behaviour is $\text{fold } f \ z \ \{x_1, \dots, x_n\} = f \ x_1 \ (\dots (f \ x_n \ z) \dots)$ if f is “left-commutative”:

locale *comp-fun-commute* =
fixes $f :: 'a \Rightarrow 'b \Rightarrow 'b$
assumes *comp-fun-commute*: $f \ y \circ f \ x = f \ x \circ f \ y$
begin

lemma *fun-left-comm*: $f \ y \ (f \ x \ z) = f \ x \ (f \ y \ z)$
<proof>

lemma *commute-left-comp*: $f \ y \circ (f \ x \circ g) = f \ x \circ (f \ y \circ g)$
<proof>

end

inductive *fold-graph* :: ($'a \Rightarrow 'b \Rightarrow 'b$) $\Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow \text{bool}$
for $f :: 'a \Rightarrow 'b \Rightarrow 'b$ **and** $z :: 'b$
where
 emptyI [*intro*]: *fold-graph* $f \ z \ \{\}$ z
 | *insertI* [*intro*]: $x \notin A \Longrightarrow \text{fold-graph } f \ z \ A \ y \Longrightarrow \text{fold-graph } f \ z \ (\text{insert } x \ A) \ (f \ x \ y)$

inductive-cases *empty-fold-graphE* [*elim!*]: *fold-graph* $f \ z \ \{\}$ x

definition *fold* :: ($'a \Rightarrow 'b \Rightarrow 'b$) $\Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b$
where *fold* $f \ z \ A = (\text{if } \text{finite } A \text{ then } (\text{THE } y. \text{fold-graph } f \ z \ A \ y) \text{ else } z)$

A tempting alternative for the definiens is *if finite A then THE y. fold-graph f z A y else e*. It allows the removal of finiteness assumptions from the theorems *fold-comm*, *fold-reindex* and *fold-distrib*. The proofs become ugly. It is not worth the effort. (???)

lemma *finite-imp-fold-graph*: *finite* $A \Longrightarrow \exists x. \text{fold-graph } f \ z \ A \ x$
<proof>

18.3.1 From *fold-graph* to *fold*

context *comp-fun-commute*
begin

lemma *fold-graph-finite*:
assumes *fold-graph* $f\ z\ A\ y$
shows *finite* A
 $\langle proof \rangle$

lemma *fold-graph-insertE-aux*:
 $fold-graph\ f\ z\ A\ y \implies a \in A \implies \exists y'.\ y = f\ a\ y' \wedge fold-graph\ f\ z\ (A - \{a\})\ y'$
 $\langle proof \rangle$

lemma *fold-graph-insertE*:
assumes *fold-graph* $f\ z\ (insert\ x\ A)\ v$ **and** $x \notin A$
obtains y **where** $v = f\ x\ y$ **and** *fold-graph* $f\ z\ A\ y$
 $\langle proof \rangle$

lemma *fold-graph-determ*: *fold-graph* $f\ z\ A\ x \implies fold-graph\ f\ z\ A\ y \implies y = x$
 $\langle proof \rangle$

lemma *fold-equality*: *fold-graph* $f\ z\ A\ y \implies fold\ f\ z\ A = y$
 $\langle proof \rangle$

lemma *fold-graph-fold*:
assumes *finite* A
shows *fold-graph* $f\ z\ A\ (fold\ f\ z\ A)$
 $\langle proof \rangle$

The base case for *fold*:

lemma (**in** $-$) *fold-infinite* [*simp*]: $\neg finite\ A \implies fold\ f\ z\ A = z$
 $\langle proof \rangle$

lemma (**in** $-$) *fold-empty* [*simp*]: $fold\ f\ z\ \{\} = z$
 $\langle proof \rangle$

The various recursion equations for *fold*:

lemma *fold-insert* [*simp*]:
assumes *finite* A **and** $x \notin A$
shows $fold\ f\ z\ (insert\ x\ A) = f\ x\ (fold\ f\ z\ A)$
 $\langle proof \rangle$

declare (**in** $-$) *empty-fold-graphE* [*rule del*] *fold-graph.intros* [*rule del*]
 — No more proofs involve these.

lemma *fold-fun-left-comm*: *finite* $A \implies f\ x\ (fold\ f\ z\ A) = fold\ f\ (f\ x\ z)\ A$
 $\langle proof \rangle$

lemma *fold-insert2*: *finite* $A \implies x \notin A \implies fold\ f\ z\ (insert\ x\ A) = fold\ f\ (f\ x\ z)\ A$
 $\langle proof \rangle$

lemma *fold-rec*:

assumes *finite* A and $x \in A$

shows $\text{fold } f \ z \ A = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

$\langle \text{proof} \rangle$

lemma *fold-insert-remove*:

assumes *finite* A

shows $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

$\langle \text{proof} \rangle$

lemma *fold-set-union-disj*:

assumes *finite* A *finite* B $A \cap B = \{\}$

shows $\text{Finite-Set.fold } f \ z \ (A \cup B) = \text{Finite-Set.fold } f \ (\text{Finite-Set.fold } f \ z \ A) \ B$

$\langle \text{proof} \rangle$

end

Other properties of *fold*:

lemma *fold-image*:

assumes *inj-on* $g \ A$

shows $\text{fold } f \ z \ (g \ ` \ A) = \text{fold } (f \circ g) \ z \ A$

$\langle \text{proof} \rangle$

lemma *fold-cong*:

assumes *comp-fun-commute* f *comp-fun-commute* g

and *finite* A

and *cong*: $\bigwedge x. x \in A \implies f \ x = g \ x$

and $s = t$ and $A = B$

shows $\text{fold } f \ s \ A = \text{fold } g \ t \ B$

$\langle \text{proof} \rangle$

A simplified version for idempotent functions:

locale *comp-fun-idem* = *comp-fun-commute* +

assumes *comp-fun-idem*: $f \ x \circ f \ x = f \ x$

begin

lemma *fun-left-idem*: $f \ x \ (f \ x \ z) = f \ x \ z$

$\langle \text{proof} \rangle$

lemma *fold-insert-idem*:

assumes *fin*: *finite* A

shows $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ A)$

$\langle \text{proof} \rangle$

declare *fold-insert* [*simp del*] *fold-insert-idem* [*simp*]

lemma *fold-insert-idem2*: *finite* $A \implies \text{fold } f \ z \ (\text{insert } x \ A) = \text{fold } f \ (f \ x \ z) \ A$

$\langle \text{proof} \rangle$

end

18.3.2 Liftings to *comp-fun-commute* etc.

lemma (in *comp-fun-commute*) *comp-comp-fun-commute*: *comp-fun-commute* ($f \circ g$)
 ⟨*proof*⟩

lemma (in *comp-fun-idem*) *comp-comp-fun-idem*: *comp-fun-idem* ($f \circ g$)
 ⟨*proof*⟩

lemma (in *comp-fun-commute*) *comp-fun-commute-funpow*: *comp-fun-commute* ($\lambda x. f\ x \wedge\wedge g\ x$)
 ⟨*proof*⟩

18.3.3 Expressing set operations via *fold*

lemma *comp-fun-commute-const*: *comp-fun-commute* ($\lambda-. f$)
 ⟨*proof*⟩

lemma *comp-fun-idem-insert*: *comp-fun-idem insert*
 ⟨*proof*⟩

lemma *comp-fun-idem-remove*: *comp-fun-idem Set.remove*
 ⟨*proof*⟩

lemma (in *semilattice-inf*) *comp-fun-idem-inf*: *comp-fun-idem inf*
 ⟨*proof*⟩

lemma (in *semilattice-sup*) *comp-fun-idem-sup*: *comp-fun-idem sup*
 ⟨*proof*⟩

lemma *union-fold-insert*:
 assumes *finite A*
 shows $A \cup B = \text{fold insert } B\ A$
 ⟨*proof*⟩

lemma *minus-fold-remove*:
 assumes *finite A*
 shows $B - A = \text{fold Set.remove } B\ A$
 ⟨*proof*⟩

lemma *comp-fun-commute-filter-fold*:
comp-fun-commute ($\lambda x\ A'. \text{ if } P\ x \text{ then Set.insert } x\ A' \text{ else } A'$)
 ⟨*proof*⟩

lemma *Set-filter-fold*:
 assumes *finite A*
 shows $\text{Set.filter } P\ A = \text{fold } (\lambda x\ A'. \text{ if } P\ x \text{ then Set.insert } x\ A' \text{ else } A')\ \{\}\ A$
 ⟨*proof*⟩

lemma *inter-Set-filter*:

assumes *finite B*

shows $A \cap B = \text{Set.filter } (\lambda x. x \in A) B$

<proof>

lemma *image-fold-insert*:

assumes *finite A*

shows $\text{image } f A = \text{fold } (\lambda k A. \text{Set.insert } (f k) A) \{\} A$

<proof>

lemma *Ball-fold*:

assumes *finite A*

shows $\text{Ball } A P = \text{fold } (\lambda k s. s \wedge P k) \text{True } A$

<proof>

lemma *Bex-fold*:

assumes *finite A*

shows $\text{Bex } A P = \text{fold } (\lambda k s. s \vee P k) \text{False } A$

<proof>

lemma *comp-fun-commute-Pow-fold*: $\text{comp-fun-commute } (\lambda x A. A \cup \text{Set.insert } x \text{' } A)$

<proof>

lemma *Pow-fold*:

assumes *finite A*

shows $\text{Pow } A = \text{fold } (\lambda x A. A \cup \text{Set.insert } x \text{' } A) \{\{\}\} A$

<proof>

lemma *fold-union-pair*:

assumes *finite B*

shows $(\bigcup_{y \in B}. \{(x, y)\}) \cup A = \text{fold } (\lambda y. \text{Set.insert } (x, y)) A B$

<proof>

lemma *comp-fun-commute-product-fold*:

$\text{finite } B \implies \text{comp-fun-commute } (\lambda x z. \text{fold } (\lambda y. \text{Set.insert } (x, y)) z B)$

<proof>

lemma *product-fold*:

assumes *finite A finite B*

shows $A \times B = \text{fold } (\lambda x z. \text{fold } (\lambda y. \text{Set.insert } (x, y)) z B) \{\} A$

<proof>

context *complete-lattice*

begin

lemma *inf-Inf-fold-inf*:

assumes *finite A*

shows $\text{inf } (\text{Inf } A) B = \text{fold inf } B A$
 $\langle \text{proof} \rangle$

lemma *sup-Sup-fold-sup*:
assumes *finite A*
shows $\text{sup } (\text{Sup } A) B = \text{fold sup } B A$
 $\langle \text{proof} \rangle$

lemma *Inf-fold-inf*: $\text{finite } A \implies \text{Inf } A = \text{fold inf top } A$
 $\langle \text{proof} \rangle$

lemma *Sup-fold-sup*: $\text{finite } A \implies \text{Sup } A = \text{fold sup bot } A$
 $\langle \text{proof} \rangle$

lemma *inf-INF-fold-inf*:
assumes *finite A*
shows $\text{inf } B (\text{INFIMUM } A f) = \text{fold } (\text{inf } \circ f) B A$ (**is** $?inf = ?fold$)
 $\langle \text{proof} \rangle$

lemma *sup-SUP-fold-sup*:
assumes *finite A*
shows $\text{sup } B (\text{SUPREMUM } A f) = \text{fold } (\text{sup } \circ f) B A$ (**is** $?sup = ?fold$)
 $\langle \text{proof} \rangle$

lemma *INF-fold-inf*: $\text{finite } A \implies \text{INFIMUM } A f = \text{fold } (\text{inf } \circ f) \text{ top } A$
 $\langle \text{proof} \rangle$

lemma *SUP-fold-sup*: $\text{finite } A \implies \text{SUPREMUM } A f = \text{fold } (\text{sup } \circ f) \text{ bot } A$
 $\langle \text{proof} \rangle$

end

18.4 Locales as mini-packages for fold operations

18.4.1 The natural case

locale *folding* =
fixes $f :: 'a \Rightarrow 'b \Rightarrow 'b$ **and** $z :: 'b$
assumes *comp-fun-commute*: $f y \circ f x = f x \circ f y$
begin

interpretation *fold?*: *comp-fun-commute f*
 $\langle \text{proof} \rangle$

definition $F :: 'a \text{ set} \Rightarrow 'b$
where *eq-fold*: $F A = \text{fold } f z A$

lemma *empty [simp]*: $F \{\} = z$
 $\langle \text{proof} \rangle$

lemma *infinite [simp]*: $\neg \text{finite } A \implies F\ A = z$
 $\langle \text{proof} \rangle$

lemma *insert [simp]*:
 assumes *finite A* and $x \notin A$
 shows $F\ (\text{insert } x\ A) = f\ x\ (F\ A)$
 $\langle \text{proof} \rangle$

lemma *remove*:
 assumes *finite A* and $x \in A$
 shows $F\ A = f\ x\ (F\ (A - \{x\}))$
 $\langle \text{proof} \rangle$

lemma *insert-remove*: $\text{finite } A \implies F\ (\text{insert } x\ A) = f\ x\ (F\ (A - \{x\}))$
 $\langle \text{proof} \rangle$

end

18.4.2 With idempotency

locale *folding-idem* = *folding* +
 assumes *comp-fun-idem*: $f\ x \circ f\ x = f\ x$
begin

declare *insert [simp del]*

interpretation *fold?*: *comp-fun-idem f*
 $\langle \text{proof} \rangle$

lemma *insert-idem [simp]*:
 assumes *finite A*
 shows $F\ (\text{insert } x\ A) = f\ x\ (F\ A)$
 $\langle \text{proof} \rangle$

end

18.5 Finite cardinality

The traditional definition $\text{card } A \equiv \text{LEAST } n. \exists f. A = \{f\ i \mid i. i < n\}$ is ugly to work with. But now that we have *fold* things are easy:

global-interpretation *card*: *folding* $\lambda-. \text{Suc } 0$
 defines $\text{card} = \text{folding}.F\ (\lambda-. \text{Suc})\ 0$
 $\langle \text{proof} \rangle$

lemma *card-infinite*: $\neg \text{finite } A \implies \text{card } A = 0$
 $\langle \text{proof} \rangle$

lemma *card-empty*: $\text{card } \{\} = 0$
 $\langle \text{proof} \rangle$

lemma *card-insert-disjoint*: $\text{finite } A \implies x \notin A \implies \text{card } (\text{insert } x \ A) = \text{Suc } (\text{card } A)$
 ⟨proof⟩

lemma *card-insert-if*: $\text{finite } A \implies \text{card } (\text{insert } x \ A) = (\text{if } x \in A \text{ then } \text{card } A \text{ else } \text{Suc } (\text{card } A))$
 ⟨proof⟩

lemma *card-ge-0-finite*: $\text{card } A > 0 \implies \text{finite } A$
 ⟨proof⟩

lemma *card-0-eq [simp]*: $\text{finite } A \implies \text{card } A = 0 \longleftrightarrow A = \{\}$
 ⟨proof⟩

lemma *finite-UNIV-card-ge-0*: $\text{finite } (\text{UNIV} :: 'a \text{ set}) \implies \text{card } (\text{UNIV} :: 'a \text{ set}) > 0$
 ⟨proof⟩

lemma *card-eq-0-iff*: $\text{card } A = 0 \longleftrightarrow A = \{\} \vee \neg \text{finite } A$
 ⟨proof⟩

lemma *card-range-greater-zero*: $\text{finite } (\text{range } f) \implies \text{card } (\text{range } f) > 0$
 ⟨proof⟩

lemma *card-gt-0-iff*: $0 < \text{card } A \longleftrightarrow A \neq \{\} \wedge \text{finite } A$
 ⟨proof⟩

lemma *card-Suc-Diff1*: $\text{finite } A \implies x \in A \implies \text{Suc } (\text{card } (A - \{x\})) = \text{card } A$
 ⟨proof⟩

lemma *card-insert-le-m1*: $n > 0 \implies \text{card } y \leq n - 1 \implies \text{card } (\text{insert } x \ y) \leq n$
 ⟨proof⟩

lemma *card-Diff-singleton*: $\text{finite } A \implies x \in A \implies \text{card } (A - \{x\}) = \text{card } A - 1$
 ⟨proof⟩

lemma *card-Diff-singleton-if*:
 $\text{finite } A \implies \text{card } (A - \{x\}) = (\text{if } x \in A \text{ then } \text{card } A - 1 \text{ else } \text{card } A)$
 ⟨proof⟩

lemma *card-Diff-insert[simp]*:
 assumes $\text{finite } A$ and $a \in A$ and $a \notin B$
 shows $\text{card } (A - \text{insert } a \ B) = \text{card } (A - B) - 1$
 ⟨proof⟩

lemma *card-insert*: $\text{finite } A \implies \text{card } (\text{insert } x \ A) = \text{Suc } (\text{card } (A - \{x\}))$
 ⟨proof⟩

lemma *card-insert-le*: $\text{finite } A \implies \text{card } A \leq \text{card } (\text{insert } x \ A)$
 $\langle \text{proof} \rangle$

lemma *card-Collect-less-nat[simp]*: $\text{card } \{i::\text{nat}. i < n\} = n$
 $\langle \text{proof} \rangle$

lemma *card-Collect-le-nat[simp]*: $\text{card } \{i::\text{nat}. i \leq n\} = \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *card-mono*:
 assumes *finite B* and $A \subseteq B$
 shows $\text{card } A \leq \text{card } B$
 $\langle \text{proof} \rangle$

lemma *card-seteq*: $\text{finite } B \implies (\bigwedge A. A \subseteq B \implies \text{card } B \leq \text{card } A \implies A = B)$
 $\langle \text{proof} \rangle$

lemma *psubset-card-mono*: $\text{finite } B \implies A < B \implies \text{card } A < \text{card } B$
 $\langle \text{proof} \rangle$

lemma *card-Un-Int*:
 assumes *finite A* *finite B*
 shows $\text{card } A + \text{card } B = \text{card } (A \cup B) + \text{card } (A \cap B)$
 $\langle \text{proof} \rangle$

lemma *card-Un-disjoint*: $\text{finite } A \implies \text{finite } B \implies A \cap B = \{\} \implies \text{card } (A \cup B) = \text{card } A + \text{card } B$
 $\langle \text{proof} \rangle$

lemma *card-Un-le*: $\text{card } (A \cup B) \leq \text{card } A + \text{card } B$
 $\langle \text{proof} \rangle$

lemma *card-Diff-subset*:
 assumes *finite B*
 and $B \subseteq A$
 shows $\text{card } (A - B) = \text{card } A - \text{card } B$
 $\langle \text{proof} \rangle$

lemma *card-Diff-subset-Int*:
 assumes *finite* $(A \cap B)$
 shows $\text{card } (A - B) = \text{card } A - \text{card } (A \cap B)$
 $\langle \text{proof} \rangle$

lemma *diff-card-le-card-Diff*:
 assumes *finite B*
 shows $\text{card } A - \text{card } B \leq \text{card } (A - B)$
 $\langle \text{proof} \rangle$

lemma *card-Diff1-less*: $\text{finite } A \implies x \in A \implies \text{card } (A - \{x\}) < \text{card } A$

$\langle \text{proof} \rangle$

lemma *card-Diff2-less*: $\text{finite } A \implies x \in A \implies y \in A \implies \text{card } (A - \{x\} - \{y\}) < \text{card } A$
 $\langle \text{proof} \rangle$

lemma *card-Diff1-le*: $\text{finite } A \implies \text{card } (A - \{x\}) \leq \text{card } A$
 $\langle \text{proof} \rangle$

lemma *card-psubset*: $\text{finite } B \implies A \subseteq B \implies \text{card } A < \text{card } B \implies A < B$
 $\langle \text{proof} \rangle$

lemma *card-le-inj*:
 assumes fA : $\text{finite } A$
 and fB : $\text{finite } B$
 and c : $\text{card } A \leq \text{card } B$
 shows $\exists f. f \text{ ‘ } A \subseteq B \wedge \text{inj-on } f \text{ } A$
 $\langle \text{proof} \rangle$

lemma *card-subset-eq*:
 assumes fB : $\text{finite } B$
 and AB : $A \subseteq B$
 and c : $\text{card } A = \text{card } B$
 shows $A = B$
 $\langle \text{proof} \rangle$

lemma *insert-partition*:
 $x \notin F \implies \forall c1 \in \text{insert } x \text{ } F. \forall c2 \in \text{insert } x \text{ } F. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\} \implies x \cap \bigcup F = \{\}$
 $\langle \text{proof} \rangle$

lemma *finite-psubset-induct* [*consumes 1, case-names psubset*]:
 assumes finite : $\text{finite } A$
 and major : $\bigwedge A. \text{finite } A \implies (\bigwedge B. B \subset A \implies P \text{ } B) \implies P \text{ } A$
 shows $P \text{ } A$
 $\langle \text{proof} \rangle$

lemma *finite-induct-select* [*consumes 1, case-names empty select*]:
 assumes $\text{finite } S$
 and $P \{\}$
 and select : $\bigwedge T. T \subset S \implies P \text{ } T \implies \exists s \in S - T. P (\text{insert } s \text{ } T)$
 shows $P \text{ } S$
 $\langle \text{proof} \rangle$

lemma *remove-induct* [*case-names empty infinite remove*]:
 assumes empty : $P \{\} :: \text{'a set}$
 and infinite : $\neg \text{finite } B \implies P \text{ } B$
 and remove : $\bigwedge A. \text{finite } A \implies A \neq \{\} \implies A \subseteq B \implies (\bigwedge x. x \in A \implies P (A - \{x\})) \implies P \text{ } A$

shows $P\ B$
 $\langle proof \rangle$

lemma *finite-remove-induct* [*consumes 1, case-names empty remove*]:
fixes $P :: 'a\ set \Rightarrow bool$
assumes *finite B*
and $P\ \{\}$
and $\bigwedge A. finite\ A \Rightarrow A \neq \{\} \Rightarrow A \subseteq B \Rightarrow (\bigwedge x. x \in A \Rightarrow P\ (A - \{x\}))$
 $\Rightarrow P\ A$
defines $B' \equiv B$
shows $P\ B'$
 $\langle proof \rangle$

Main cardinality theorem.

lemma *card-partition* [*rule-format*]:
 $finite\ C \Rightarrow finite\ (\bigcup C) \Rightarrow (\forall c \in C. card\ c = k) \Rightarrow$
 $(\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\}) \Rightarrow$
 $k * card\ C = card\ (\bigcup C)$
 $\langle proof \rangle$

lemma *card-eq-UNIV-imp-eq-UNIV*:
assumes *fin: finite (UNIV :: 'a set)*
and *card: card A = card (UNIV :: 'a set)*
shows $A = (UNIV :: 'a\ set)$
 $\langle proof \rangle$

The form of a finite set of given cardinality

lemma *card-eq-SucD*:
assumes $card\ A = Suc\ k$
shows $\exists b\ B. A = insert\ b\ B \wedge b \notin B \wedge card\ B = k \wedge (k = 0 \longrightarrow B = \{\})$
 $\langle proof \rangle$

lemma *card-Suc-eq*:
 $card\ A = Suc\ k \longleftrightarrow$
 $(\exists b\ B. A = insert\ b\ B \wedge b \notin B \wedge card\ B = k \wedge (k = 0 \longrightarrow B = \{\}))$
 $\langle proof \rangle$

lemma *card-1-singletonE*:
assumes $card\ A = 1$
obtains x **where** $A = \{x\}$
 $\langle proof \rangle$

lemma *is-singleton-altdef*: $is-singleton\ A \longleftrightarrow card\ A = 1$
 $\langle proof \rangle$

lemma *card-le-Suc-iff*:
 $finite\ A \Rightarrow Suc\ n \leq card\ A = (\exists a\ B. A = insert\ a\ B \wedge a \notin B \wedge n \leq card\ B$
 $\wedge finite\ B)$
 $\langle proof \rangle$

lemma *finite-fun-UNIVD2*:
assumes *fin*: *finite* (*UNIV* :: ('a \Rightarrow 'b) set)
shows *finite* (*UNIV* :: 'b set)
 \langle *proof* \rangle

lemma *card-UNIV-unit [simp]*: *card* (*UNIV* :: unit set) = 1
 \langle *proof* \rangle

lemma *infinite-arbitrarily-large*:
assumes \neg *finite* *A*
shows $\exists B. \text{finite } B \wedge \text{card } B = n \wedge B \subseteq A$
 \langle *proof* \rangle

18.5.1 Cardinality of image

lemma *card-image-le*: *finite* *A* \implies *card* (*f* ‘ *A*) \leq *card* *A*
 \langle *proof* \rangle

lemma *card-image*: *inj-on* *f* *A* \implies *card* (*f* ‘ *A*) = *card* *A*
 \langle *proof* \rangle

lemma *bij-betw-same-card*: *bij-betw* *f* *A* *B* \implies *card* *A* = *card* *B*
 \langle *proof* \rangle

lemma *endo-inj-surj*: *finite* *A* \implies *f* ‘ *A* \subseteq *A* \implies *inj-on* *f* *A* \implies *f* ‘ *A* = *A*
 \langle *proof* \rangle

lemma *eq-card-imp-inj-on*:
assumes *finite* *A* *card*(*f* ‘ *A*) = *card* *A*
shows *inj-on* *f* *A*
 \langle *proof* \rangle

lemma *inj-on-iff-eq-card*: *finite* *A* \implies *inj-on* *f* *A* \longleftrightarrow *card* (*f* ‘ *A*) = *card* *A*
 \langle *proof* \rangle

lemma *card-inj-on-le*:
assumes *inj-on* *f* *A* *f* ‘ *A* \subseteq *B* *finite* *B*
shows *card* *A* \leq *card* *B*
 \langle *proof* \rangle

lemma *surj-card-le*: *finite* *A* \implies *B* \subseteq *f* ‘ *A* \implies *card* *B* \leq *card* *A*
 \langle *proof* \rangle

lemma *card-bij-eq*:
inj-on *f* *A* \implies *f* ‘ *A* \subseteq *B* \implies *inj-on* *g* *B* \implies *g* ‘ *B* \subseteq *A* \implies *finite* *A* \implies *finite* *B*
 \implies *card* *A* = *card* *B*
 \langle *proof* \rangle

lemma *bij-betw-finite*: $\text{bij-betw } f \ A \ B \implies \text{finite } A \longleftrightarrow \text{finite } B$
 ⟨proof⟩

lemma *inj-on-finite*: $\text{inj-on } f \ A \implies f \text{ ‘ } A \leq B \implies \text{finite } B \implies \text{finite } A$
 ⟨proof⟩

lemma *card-vimage-inj*: $\text{inj } f \implies A \subseteq \text{range } f \implies \text{card } (f \text{ - ‘ } A) = \text{card } A$
 ⟨proof⟩

18.5.2 Pigeonhole Principles

lemma *pigeonhole*: $\text{card } A > \text{card } (f \text{ ‘ } A) \implies \neg \text{inj-on } f \ A$
 ⟨proof⟩

lemma *pigeonhole-infinite*:
 assumes $\neg \text{finite } A$ and $\text{finite } (f \text{ ‘ } A)$
 shows $\exists a0 \in A. \neg \text{finite } \{a \in A. f \ a = f \ a0\}$
 ⟨proof⟩

lemma *pigeonhole-infinite-rel*:
 assumes $\neg \text{finite } A$
 and $\text{finite } B$
 and $\forall a \in A. \exists b \in B. R \ a \ b$
 shows $\exists b \in B. \neg \text{finite } \{a \in A. R \ a \ b\}$
 ⟨proof⟩

18.5.3 Cardinality of sums

lemma *card-Plus*:
 assumes $\text{finite } A \ \text{finite } B$
 shows $\text{card } (A <+> B) = \text{card } A + \text{card } B$
 ⟨proof⟩

lemma *card-Plus-conv-if*:
 $\text{card } (A <+> B) = (\text{if } \text{finite } A \wedge \text{finite } B \text{ then } \text{card } A + \text{card } B \text{ else } 0)$
 ⟨proof⟩

Relates to equivalence classes. Based on a theorem of F. Kammüller.

lemma *dvd-partition*:
 assumes $f: \text{finite } (\bigcup C)$
 and $\forall c \in C. k \ \text{dvd} \ \text{card } c \ \forall c1 \in C. \forall c2 \in C. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\}$
 shows $k \ \text{dvd} \ \text{card } (\bigcup C)$
 ⟨proof⟩

18.5.4 Relating injectivity and surjectivity

lemma *finite-surj-inj*:
 assumes $\text{finite } A \ A \subseteq f \text{ ‘ } A$
 shows $\text{inj-on } f \ A$
 ⟨proof⟩

lemma *finite-UNIV-surj-inj*: $\text{finite}(\text{UNIV} :: 'a \text{ set}) \implies \text{surj } f \implies \text{inj } f$
for $f :: 'a \Rightarrow 'a$
 $\langle \text{proof} \rangle$

lemma *finite-UNIV-inj-surj*: $\text{finite}(\text{UNIV} :: 'a \text{ set}) \implies \text{inj } f \implies \text{surj } f$
for $f :: 'a \Rightarrow 'a$
 $\langle \text{proof} \rangle$

corollary *infinite-UNIV-nat [iff]*: $\neg \text{finite } (\text{UNIV} :: \text{nat set})$
 $\langle \text{proof} \rangle$

lemma *infinite-UNIV-char-0*: $\neg \text{finite } (\text{UNIV} :: 'a :: \text{semiring-char-0 set})$
 $\langle \text{proof} \rangle$

hide-const (**open**) *Finite-Set.fold*

18.6 Infinite Sets

Some elementary facts about infinite sets, mostly by Stephan Merz. Beware! Because “infinite” merely abbreviates a negation, these lemmas may not work well with *blast*.

abbreviation *infinite* :: $'a \text{ set} \Rightarrow \text{bool}$
where $\text{infinite } S \equiv \neg \text{finite } S$

Infinite sets are non-empty, and if we remove some elements from an infinite set, the result is still infinite.

lemma *infinite-imp-nonempty*: $\text{infinite } S \implies S \neq \{\}$
 $\langle \text{proof} \rangle$

lemma *infinite-remove*: $\text{infinite } S \implies \text{infinite } (S - \{a\})$
 $\langle \text{proof} \rangle$

lemma *Diff-infinite-finite*:
assumes $\text{finite } T \text{ infinite } S$
shows $\text{infinite } (S - T)$
 $\langle \text{proof} \rangle$

lemma *Un-infinite*: $\text{infinite } S \implies \text{infinite } (S \cup T)$
 $\langle \text{proof} \rangle$

lemma *infinite-Un*: $\text{infinite } (S \cup T) \longleftrightarrow \text{infinite } S \vee \text{infinite } T$
 $\langle \text{proof} \rangle$

lemma *infinite-super*:
assumes $S \subseteq T$
and $\text{infinite } S$
shows $\text{infinite } T$

<proof>

proposition *infinite-coinduct* [*consumes 1, case-names infinite*]:

assumes $X\ A$

and step: $\bigwedge A. X\ A \implies \exists x \in A. X\ (A - \{x\}) \vee \text{infinite}\ (A - \{x\})$

shows *infinite* A

<proof>

For any function with infinite domain and finite range there is some element that is the image of infinitely many domain elements. In particular, any infinite sequence of elements from a finite set contains some element that occurs infinitely often.

lemma *inf-img-fin-dom'*:

assumes *img*: *finite* $(f\ 'A)$

and *dom*: *infinite* A

shows $\exists y \in f\ 'A. \text{infinite}\ (f\ -'\ \{y\} \cap A)$

<proof>

lemma *inf-img-fin-domE'*:

assumes *finite* $(f\ 'A)$ **and** *infinite* A

obtains y **where** $y \in f\ 'A$ **and** *infinite* $(f\ -'\ \{y\} \cap A)$

<proof>

lemma *inf-img-fin-dom*:

assumes *img*: *finite* $(f\ 'A)$ **and** *dom*: *infinite* A

shows $\exists y \in f\ 'A. \text{infinite}\ (f\ -'\ \{y\})$

<proof>

lemma *inf-img-fin-domE*:

assumes *finite* $(f\ 'A)$ **and** *infinite* A

obtains y **where** $y \in f\ 'A$ **and** *infinite* $(f\ -'\ \{y\})$

<proof>

proposition *finite-image-absD*: *finite* $(\text{abs}\ 'S) \implies \text{finite}\ S$

for $S :: 'a::\text{linordered-ring set}$

<proof>

end

19 Relations – as sets of pairs, and binary predicates

theory *Relation*

imports *Finite-Set*

begin

A preliminary: classical rules for reasoning on predicates

declare *predicate1I* [*Pure.intro!*, *intro!*]

```

declare predicate1D [Pure.dest, dest]
declare predicate2I [Pure.intro!, intro!]
declare predicate2D [Pure.dest, dest]
declare bot1E [elim!]
declare bot2E [elim!]
declare top1I [intro!]
declare top2I [intro!]
declare inf1I [intro!]
declare inf2I [intro!]
declare inf1E [elim!]
declare inf2E [elim!]
declare sup1I1 [intro?]
declare sup2I1 [intro?]
declare sup1I2 [intro?]
declare sup2I2 [intro?]
declare sup1E [elim!]
declare sup2E [elim!]
declare sup1CI [intro!]
declare sup2CI [intro!]
declare Inf1-I [intro!]
declare INF1-I [intro!]
declare Inf2-I [intro!]
declare INF2-I [intro!]
declare Inf1-D [elim]
declare INF1-D [elim]
declare Inf2-D [elim]
declare INF2-D [elim]
declare Inf1-E [elim]
declare INF1-E [elim]
declare Inf2-E [elim]
declare INF2-E [elim]
declare Sup1-I [intro]
declare SUP1-I [intro]
declare Sup2-I [intro]
declare SUP2-I [intro]
declare Sup1-E [elim!]
declare SUP1-E [elim!]
declare Sup2-E [elim!]
declare SUP2-E [elim!]

```

19.1 Fundamental

19.1.1 Relations as sets of pairs

type-synonym $'a\ rel = ('a \times 'a)\ set$

lemma *subrelI*: $(\bigwedge x\ y. (x, y) \in r \implies (x, y) \in s) \implies r \subseteq s$

— Version of *subsetI* for binary relations

<proof>

lemma *lfp-induct2*:

$(a, b) \in \text{lfp } f \implies \text{mono } f \implies$
 $(\bigwedge a \ b. (a, b) \in f \ (\text{lfp } f \cap \{(x, y). P \ x \ y\}) \implies P \ a \ b) \implies P \ a \ b$
 — Version of *lfp-induct* for binary relations
 $\langle \text{proof} \rangle$

19.1.2 Conversions between set and predicate relations

lemma *pred-equals-eq* [*pred-set-conv*]: $(\lambda x. x \in R) = (\lambda x. x \in S) \longleftrightarrow R = S$
 $\langle \text{proof} \rangle$

lemma *pred-equals-eq2* [*pred-set-conv*]: $(\lambda x \ y. (x, y) \in R) = (\lambda x \ y. (x, y) \in S)$
 $\longleftrightarrow R = S$
 $\langle \text{proof} \rangle$

lemma *pred-subset-eq* [*pred-set-conv*]: $(\lambda x. x \in R) \leq (\lambda x. x \in S) \longleftrightarrow R \subseteq S$
 $\langle \text{proof} \rangle$

lemma *pred-subset-eq2* [*pred-set-conv*]: $(\lambda x \ y. (x, y) \in R) \leq (\lambda x \ y. (x, y) \in S)$
 $\longleftrightarrow R \subseteq S$
 $\langle \text{proof} \rangle$

lemma *bot-empty-eq* [*pred-set-conv*]: $\perp = (\lambda x. x \in \{\})$
 $\langle \text{proof} \rangle$

lemma *bot-empty-eq2* [*pred-set-conv*]: $\perp = (\lambda x \ y. (x, y) \in \{\})$
 $\langle \text{proof} \rangle$

lemma *top-empty-eq* [*pred-set-conv*]: $\top = (\lambda x. x \in \text{UNIV})$
 $\langle \text{proof} \rangle$

lemma *top-empty-eq2* [*pred-set-conv*]: $\top = (\lambda x \ y. (x, y) \in \text{UNIV})$
 $\langle \text{proof} \rangle$

lemma *inf-Int-eq* [*pred-set-conv*]: $(\lambda x. x \in R) \sqcap (\lambda x. x \in S) = (\lambda x. x \in R \cap S)$
 $\langle \text{proof} \rangle$

lemma *inf-Int-eq2* [*pred-set-conv*]: $(\lambda x \ y. (x, y) \in R) \sqcap (\lambda x \ y. (x, y) \in S) = (\lambda x \ y. (x, y) \in R \cap S)$
 $\langle \text{proof} \rangle$

lemma *sup-Un-eq* [*pred-set-conv*]: $(\lambda x. x \in R) \sqcup (\lambda x. x \in S) = (\lambda x. x \in R \cup S)$
 $\langle \text{proof} \rangle$

lemma *sup-Un-eq2* [*pred-set-conv*]: $(\lambda x \ y. (x, y) \in R) \sqcup (\lambda x \ y. (x, y) \in S) = (\lambda x \ y. (x, y) \in R \cup S)$
 $\langle \text{proof} \rangle$

lemma *INF-INT-eq* [*pred-set-conv*]: $(\bigcap_{i \in S. (\lambda x. x \in r \ i)}) = (\lambda x. x \in (\bigcap_{i \in S. r \ i}))$

$i))$
 $\langle proof \rangle$

lemma *INF-INT-eq2* [*pred-set-conv*]: $(\bigcap i \in S. (\lambda x y. (x, y) \in r i)) = (\lambda x y. (x, y) \in (\bigcap i \in S. r i))$
 $\langle proof \rangle$

lemma *SUP-UN-eq* [*pred-set-conv*]: $(\bigcup i \in S. (\lambda x. x \in r i)) = (\lambda x. x \in (\bigcup i \in S. r i))$
 $\langle proof \rangle$

lemma *SUP-UN-eq2* [*pred-set-conv*]: $(\bigcup i \in S. (\lambda x y. (x, y) \in r i)) = (\lambda x y. (x, y) \in (\bigcup i \in S. r i))$
 $\langle proof \rangle$

lemma *Inf-INT-eq* [*pred-set-conv*]: $\bigcap S = (\lambda x. x \in INTER\ S\ Collect)$
 $\langle proof \rangle$

lemma *INF-Int-eq* [*pred-set-conv*]: $(\bigcap i \in S. (\lambda x. x \in i)) = (\lambda x. x \in \bigcap S)$
 $\langle proof \rangle$

lemma *Inf-INT-eq2* [*pred-set-conv*]: $\bigcap S = (\lambda x y. (x, y) \in INTER\ (case-prod\ 'S)\ Collect)$
 $\langle proof \rangle$

lemma *INF-Int-eq2* [*pred-set-conv*]: $(\bigcap i \in S. (\lambda x y. (x, y) \in i)) = (\lambda x y. (x, y) \in \bigcap S)$
 $\langle proof \rangle$

lemma *Sup-SUP-eq* [*pred-set-conv*]: $\bigcup S = (\lambda x. x \in UNION\ S\ Collect)$
 $\langle proof \rangle$

lemma *SUP-Sup-eq* [*pred-set-conv*]: $(\bigcup i \in S. (\lambda x. x \in i)) = (\lambda x. x \in \bigcup S)$
 $\langle proof \rangle$

lemma *Sup-SUP-eq2* [*pred-set-conv*]: $\bigcup S = (\lambda x y. (x, y) \in UNION\ (case-prod\ 'S)\ Collect)$
 $\langle proof \rangle$

lemma *SUP-Sup-eq2* [*pred-set-conv*]: $(\bigcup i \in S. (\lambda x y. (x, y) \in i)) = (\lambda x y. (x, y) \in \bigcup S)$
 $\langle proof \rangle$

19.2 Properties of relations

19.2.1 Reflexivity

definition *refl-on* :: 'a set \Rightarrow 'a rel \Rightarrow bool
 where *refl-on* A r \longleftrightarrow $r \subseteq A \times A \wedge (\forall x \in A. (x, x) \in r)$

abbreviation $\text{refl} :: 'a \text{ rel} \Rightarrow \text{bool}$ — reflexivity over a type
where $\text{refl} \equiv \text{refl-on UNIV}$

definition $\text{reflp} :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where $\text{reflp } r \longleftrightarrow (\forall x. r \ x \ x)$

lemma $\text{reflp-refl-eq} \ [\text{pred-set-conv}]: \text{reflp } (\lambda x \ y. (x, y) \in r) \longleftrightarrow \text{refl } r$
 $\langle \text{proof} \rangle$

lemma $\text{refl-onI} \ [\text{intro?}]: r \subseteq A \times A \Longrightarrow (\bigwedge x. x \in A \Longrightarrow (x, x) \in r) \Longrightarrow \text{refl-on } A \ r$
 $\langle \text{proof} \rangle$

lemma $\text{refl-onD}: \text{refl-on } A \ r \Longrightarrow a \in A \Longrightarrow (a, a) \in r$
 $\langle \text{proof} \rangle$

lemma $\text{refl-onD1}: \text{refl-on } A \ r \Longrightarrow (x, y) \in r \Longrightarrow x \in A$
 $\langle \text{proof} \rangle$

lemma $\text{refl-onD2}: \text{refl-on } A \ r \Longrightarrow (x, y) \in r \Longrightarrow y \in A$
 $\langle \text{proof} \rangle$

lemma $\text{reflpI} \ [\text{intro?}]: (\bigwedge x. r \ x \ x) \Longrightarrow \text{reflp } r$
 $\langle \text{proof} \rangle$

lemma reflpE :
assumes $\text{reflp } r$
obtains $r \ x \ x$
 $\langle \text{proof} \rangle$

lemma $\text{reflpD} \ [\text{dest?}]:$
assumes $\text{reflp } r$
shows $r \ x \ x$
 $\langle \text{proof} \rangle$

lemma $\text{refl-on-Int}: \text{refl-on } A \ r \Longrightarrow \text{refl-on } B \ s \Longrightarrow \text{refl-on } (A \cap B) \ (r \cap s)$
 $\langle \text{proof} \rangle$

lemma $\text{reflp-inf}: \text{reflp } r \Longrightarrow \text{reflp } s \Longrightarrow \text{reflp } (r \sqcap s)$
 $\langle \text{proof} \rangle$

lemma $\text{refl-on-Un}: \text{refl-on } A \ r \Longrightarrow \text{refl-on } B \ s \Longrightarrow \text{refl-on } (A \cup B) \ (r \cup s)$
 $\langle \text{proof} \rangle$

lemma $\text{reflp-sup}: \text{reflp } r \Longrightarrow \text{reflp } s \Longrightarrow \text{reflp } (r \sqcup s)$
 $\langle \text{proof} \rangle$

lemma $\text{refl-on-INTER}: \forall x \in S. \text{refl-on } (A \ x) \ (r \ x) \Longrightarrow \text{refl-on } (\text{INTER } S \ A)$
 $(\text{INTER } S \ r)$

$\langle \text{proof} \rangle$

lemma *refl-on-UNION*: $\forall x \in S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{UNION } S \ A) \ (\text{UNION } S \ r)$
 $\langle \text{proof} \rangle$

lemma *refl-on-empty* [simp]: $\text{refl-on } \{\} \ \{\}$
 $\langle \text{proof} \rangle$

lemma *refl-on-singleton* [simp]: $\text{refl-on } \{x\} \ \{(x, x)\}$
 $\langle \text{proof} \rangle$

lemma *refl-on-def'* [nitpick-unfold, code]:
 $\text{refl-on } A \ r \longleftrightarrow (\forall (x, y) \in r. x \in A \wedge y \in A) \wedge (\forall x \in A. (x, x) \in r)$
 $\langle \text{proof} \rangle$

lemma *reflp-equality* [simp]: $\text{reflp } op =$
 $\langle \text{proof} \rangle$

lemma *reflp-mono*: $\text{reflp } R \implies (\bigwedge x \ y. R \ x \ y \longrightarrow Q \ x \ y) \implies \text{reflp } Q$
 $\langle \text{proof} \rangle$

19.2.2 Irreflexivity

definition *irrefl* :: $'a \ \text{rel} \Rightarrow \text{bool}$
 where $\text{irrefl } r \longleftrightarrow (\forall a. (a, a) \notin r)$

definition *irreflp* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
 where $\text{irreflp } R \longleftrightarrow (\forall a. \neg R \ a \ a)$

lemma *irreflp-irrefl-eq* [pred-set-conv]: $\text{irreflp } (\lambda a \ b. (a, b) \in R) \longleftrightarrow \text{irrefl } R$
 $\langle \text{proof} \rangle$

lemma *irreflI* [intro?]: $(\bigwedge a. (a, a) \notin R) \implies \text{irrefl } R$
 $\langle \text{proof} \rangle$

lemma *irreflpI* [intro?]: $(\bigwedge a. \neg R \ a \ a) \implies \text{irreflp } R$
 $\langle \text{proof} \rangle$

lemma *irrefl-distinct* [code]: $\text{irrefl } r \longleftrightarrow (\forall (a, b) \in r. a \neq b)$
 $\langle \text{proof} \rangle$

19.2.3 Asymmetry

inductive *asym* :: $'a \ \text{rel} \Rightarrow \text{bool}$
 where $\text{asymI}: \text{irrefl } R \implies (\bigwedge a \ b. (a, b) \in R \implies (b, a) \notin R) \implies \text{asym } R$

inductive *asymmp* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
 where $\text{asymmpI}: \text{irreflp } R \implies (\bigwedge a \ b. R \ a \ b \implies \neg R \ b \ a) \implies \text{asymmp } R$

lemma *asym-asy-eq* [*pred-set-conv*]: *asym* $(\lambda a\ b. (a, b) \in R) \longleftrightarrow \text{asym } R$
 $\langle \text{proof} \rangle$

19.2.4 Symmetry

definition *sym* :: 'a rel \Rightarrow bool
where *sym* $r \longleftrightarrow (\forall x\ y. (x, y) \in r \longrightarrow (y, x) \in r)$

definition *symp* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool
where *symp* $r \longleftrightarrow (\forall x\ y. r\ x\ y \longrightarrow r\ y\ x)$

lemma *symp-sym-eq* [*pred-set-conv*]: *symp* $(\lambda x\ y. (x, y) \in r) \longleftrightarrow \text{sym } r$
 $\langle \text{proof} \rangle$

lemma *symI* [*intro?*]: $(\bigwedge a\ b. (a, b) \in r \Longrightarrow (b, a) \in r) \Longrightarrow \text{sym } r$
 $\langle \text{proof} \rangle$

lemma *sympI* [*intro?*]: $(\bigwedge a\ b. r\ a\ b \Longrightarrow r\ b\ a) \Longrightarrow \text{symp } r$
 $\langle \text{proof} \rangle$

lemma *symE*:
assumes *sym* r **and** $(b, a) \in r$
obtains $(a, b) \in r$
 $\langle \text{proof} \rangle$

lemma *sympE*:
assumes *symp* r **and** $r\ b\ a$
obtains $r\ a\ b$
 $\langle \text{proof} \rangle$

lemma *symD* [*dest?*]:
assumes *sym* r **and** $(b, a) \in r$
shows $(a, b) \in r$
 $\langle \text{proof} \rangle$

lemma *sympD* [*dest?*]:
assumes *symp* r **and** $r\ b\ a$
shows $r\ a\ b$
 $\langle \text{proof} \rangle$

lemma *sym-Int*: *sym* $r \Longrightarrow \text{sym } s \Longrightarrow \text{sym } (r \cap s)$
 $\langle \text{proof} \rangle$

lemma *symp-inf*: *symp* $r \Longrightarrow \text{symp } s \Longrightarrow \text{symp } (r \sqcap s)$
 $\langle \text{proof} \rangle$

lemma *sym-Un*: *sym* $r \Longrightarrow \text{sym } s \Longrightarrow \text{sym } (r \cup s)$
 $\langle \text{proof} \rangle$

lemma *symp-sup*: $\text{symp } r \implies \text{symp } s \implies \text{symp } (r \sqcup s)$
 $\langle \text{proof} \rangle$

lemma *sym-INTER*: $\forall x \in S. \text{sym } (r \ x) \implies \text{sym } (\text{INTER } S \ r)$
 $\langle \text{proof} \rangle$

lemma *symp-INF*: $\forall x \in S. \text{symp } (r \ x) \implies \text{symp } (\text{INFIMUM } S \ r)$
 $\langle \text{proof} \rangle$

lemma *sym-UNION*: $\forall x \in S. \text{sym } (r \ x) \implies \text{sym } (\text{UNION } S \ r)$
 $\langle \text{proof} \rangle$

lemma *symp-SUP*: $\forall x \in S. \text{symp } (r \ x) \implies \text{symp } (\text{SUPREMUM } S \ r)$
 $\langle \text{proof} \rangle$

19.2.5 Antisymmetry

definition *antisym* :: $'a \text{ rel} \Rightarrow \text{bool}$
where $\text{antisym } r \longleftrightarrow (\forall x \ y. (x, y) \in r \longrightarrow (y, x) \in r \longrightarrow x = y)$

definition *antisymp* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where $\text{antisymp } r \longleftrightarrow (\forall x \ y. r \ x \ y \longrightarrow r \ y \ x \longrightarrow x = y)$

lemma *antisymp-antisym-eq* [*pred-set-conv*]: $\text{antisymp } (\lambda x \ y. (x, y) \in r) \longleftrightarrow \text{antisym } r$
 $\langle \text{proof} \rangle$

lemma *antisymI* [*intro?*]:
 $(\bigwedge x \ y. (x, y) \in r \implies (y, x) \in r \implies x = y) \implies \text{antisym } r$
 $\langle \text{proof} \rangle$

lemma *antisympI* [*intro?*]:
 $(\bigwedge x \ y. r \ x \ y \implies r \ y \ x \implies x = y) \implies \text{antisymp } r$
 $\langle \text{proof} \rangle$

lemma *antisymD* [*dest?*]:
 $\text{antisym } r \implies (a, b) \in r \implies (b, a) \in r \implies a = b$
 $\langle \text{proof} \rangle$

lemma *antisympD* [*dest?*]:
 $\text{antisymp } r \implies r \ a \ b \implies r \ b \ a \implies a = b$
 $\langle \text{proof} \rangle$

lemma *antisym-subset*:
 $r \subseteq s \implies \text{antisym } s \implies \text{antisym } r$
 $\langle \text{proof} \rangle$

lemma *antisymp-less-eq*:
 $r \leq s \implies \text{antisymp } s \implies \text{antisymp } r$

$\langle \text{proof} \rangle$

lemma *antisym-empty* [*simp*]:
antisym $\{\}$
 $\langle \text{proof} \rangle$

lemma *antisym-bot* [*simp*]:
antisym \perp
 $\langle \text{proof} \rangle$

lemma *antisym-equality* [*simp*]:
antisym *HOL.eq*
 $\langle \text{proof} \rangle$

lemma *antisym-singleton* [*simp*]:
antisym $\{x\}$
 $\langle \text{proof} \rangle$

19.2.6 Transitivity

definition *trans* :: $'a \text{ rel} \Rightarrow \text{bool}$
where *trans* $r \iff (\forall x y z. (x, y) \in r \longrightarrow (y, z) \in r \longrightarrow (x, z) \in r)$

definition *transp* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where *transp* $r \iff (\forall x y z. r x y \longrightarrow r y z \longrightarrow r x z)$

lemma *transp-trans-eq* [*pred-set-conv*]: *transp* $(\lambda x y. (x, y) \in r) \iff \text{trans } r$
 $\langle \text{proof} \rangle$

lemma *transI* [*intro?*]: $(\bigwedge x y z. (x, y) \in r \implies (y, z) \in r \implies (x, z) \in r) \implies \text{trans } r$
 $\langle \text{proof} \rangle$

lemma *transpI* [*intro?*]: $(\bigwedge x y z. r x y \implies r y z \implies r x z) \implies \text{transp } r$
 $\langle \text{proof} \rangle$

lemma *transE*:
assumes *trans* r **and** $(x, y) \in r$ **and** $(y, z) \in r$
obtains $(x, z) \in r$
 $\langle \text{proof} \rangle$

lemma *transpE*:
assumes *transp* r **and** $r x y$ **and** $r y z$
obtains $r x z$
 $\langle \text{proof} \rangle$

lemma *transD* [*dest?*]:
assumes *trans* r **and** $(x, y) \in r$ **and** $(y, z) \in r$
shows $(x, z) \in r$

$\langle proof \rangle$

lemma *transpD* [*dest?*]:
assumes *transp r* **and** *r x y* **and** *r y z*
shows *r x z*
 $\langle proof \rangle$

lemma *trans-Int*: *trans r* \implies *trans s* \implies *trans* (*r* \cap *s*)
 $\langle proof \rangle$

lemma *transp-inf*: *transp r* \implies *transp s* \implies *transp* (*r* \sqcap *s*)
 $\langle proof \rangle$

lemma *trans-INTER*: $\forall x \in S. \text{trans } (r\ x) \implies \text{trans } (INTER\ S\ r)$
 $\langle proof \rangle$

lemma *transp-INF*: $\forall x \in S. \text{transp } (r\ x) \implies \text{transp } (INFIMUM\ S\ r)$
 $\langle proof \rangle$

lemma *trans-join* [*code*]: *trans r* $\longleftrightarrow (\forall (x, y1) \in r. \forall (y2, z) \in r. y1 = y2 \longrightarrow (x, z) \in r)$
 $\langle proof \rangle$

lemma *transp-trans*: *transp r* $\longleftrightarrow \text{trans } \{(x, y). r\ x\ y\}$
 $\langle proof \rangle$

lemma *transp-equality* [*simp*]: *transp op* =
 $\langle proof \rangle$

lemma *trans-empty* [*simp*]: *trans* {}
 $\langle proof \rangle$

lemma *transp-empty* [*simp*]: *transp* ($\lambda x\ y. False$)
 $\langle proof \rangle$

lemma *trans-singleton* [*simp*]: *trans* {(*a*, *a*)}
 $\langle proof \rangle$

lemma *transp-singleton* [*simp*]: *transp* ($\lambda x\ y. x = a \wedge y = a$)
 $\langle proof \rangle$

context *preorder*
begin

lemma *transp-le*[*simp*]: *transp* (*op* \leq)
 $\langle proof \rangle$

lemma *transp-less*[*simp*]: *transp* (*op* $<$)
 $\langle proof \rangle$

lemma *transp-ge*[*simp*]: *transp* (*op* \geq)
 $\langle \text{proof} \rangle$

lemma *transp-gr*[*simp*]: *transp* (*op* $>$)
 $\langle \text{proof} \rangle$

end

19.2.7 Totality

definition *total-on* :: '*a* set \Rightarrow '*a* rel \Rightarrow bool
where *total-on* *A* *r* $\longleftrightarrow (\forall x \in A. \forall y \in A. x \neq y \longrightarrow (x, y) \in r \vee (y, x) \in r)$

lemma *total-onI* [*intro?*]:
 $(\bigwedge x y. \llbracket x \in A; y \in A; x \neq y \rrbracket \Longrightarrow (x, y) \in r \vee (y, x) \in r) \Longrightarrow \text{total-on } A \ r$
 $\langle \text{proof} \rangle$

abbreviation *total* \equiv *total-on UNIV*

lemma *total-on-empty* [*simp*]: *total-on* {} *r*
 $\langle \text{proof} \rangle$

lemma *total-on-singleton* [*simp*]: *total-on* {*x*} {(*x*, *x*)}

19.2.8 Single valued relations

definition *single-valued* :: ('*a* \times '*b*) set \Rightarrow bool
where *single-valued* *r* $\longleftrightarrow (\forall x y. (x, y) \in r \longrightarrow (\forall z. (x, z) \in r \longrightarrow y = z))$

definition *single-valuedp* :: ('*a* \Rightarrow '*b* \Rightarrow bool) \Rightarrow bool
where *single-valuedp* *r* $\longleftrightarrow (\forall x y. r \ x \ y \longrightarrow (\forall z. r \ x \ z \longrightarrow y = z))$

lemma *single-valuedp-single-valued-eq* [*pred-set-conv*]:
 $\text{single-valuedp } (\lambda x y. (x, y) \in r) \longleftrightarrow \text{single-valued } r$
 $\langle \text{proof} \rangle$

lemma *single-valuedI*:
 $(\bigwedge x y. (x, y) \in r \Longrightarrow (\bigwedge z. (x, z) \in r \Longrightarrow y = z)) \Longrightarrow \text{single-valued } r$
 $\langle \text{proof} \rangle$

lemma *single-valuedpI*:
 $(\bigwedge x y. r \ x \ y \Longrightarrow (\bigwedge z. r \ x \ z \Longrightarrow y = z)) \Longrightarrow \text{single-valuedp } r$
 $\langle \text{proof} \rangle$

lemma *single-valuedD*:
 $\text{single-valued } r \Longrightarrow (x, y) \in r \Longrightarrow (x, z) \in r \Longrightarrow y = z$
 $\langle \text{proof} \rangle$

lemma *single-valuedpD*:
 $\text{single-valuedp } r \implies r \ x \ y \implies r \ x \ z \implies y = z$
 $\langle \text{proof} \rangle$

lemma *single-valued-empty* [simp]:
 $\text{single-valued } \{\}$
 $\langle \text{proof} \rangle$

lemma *single-valuedp-bot* [simp]:
 $\text{single-valuedp } \perp$
 $\langle \text{proof} \rangle$

lemma *single-valued-subset*:
 $r \subseteq s \implies \text{single-valued } s \implies \text{single-valued } r$
 $\langle \text{proof} \rangle$

lemma *single-valuedp-less-eq*:
 $r \leq s \implies \text{single-valuedp } s \implies \text{single-valuedp } r$
 $\langle \text{proof} \rangle$

19.3 Relation operations

19.3.1 The identity relation

definition *Id* :: 'a rel
 where [code del]: $\text{Id} = \{p. \exists x. p = (x, x)\}$

lemma *IdI* [intro]: $(a, a) \in \text{Id}$
 $\langle \text{proof} \rangle$

lemma *IdE* [elim!]: $p \in \text{Id} \implies (\bigwedge x. p = (x, x) \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *pair-in-Id-conv* [iff]: $(a, b) \in \text{Id} \longleftrightarrow a = b$
 $\langle \text{proof} \rangle$

lemma *refl-Id*: $\text{refl } \text{Id}$
 $\langle \text{proof} \rangle$

lemma *antisym-Id*: $\text{antisym } \text{Id}$
 — A strange result, since *Id* is also symmetric.
 $\langle \text{proof} \rangle$

lemma *sym-Id*: $\text{sym } \text{Id}$
 $\langle \text{proof} \rangle$

lemma *trans-Id*: $\text{trans } \text{Id}$
 $\langle \text{proof} \rangle$

lemma *single-valued-Id* [simp]: $\text{single-valued } \text{Id}$

$\langle \text{proof} \rangle$

lemma *irrefl-diff-Id* [simp]: $\text{irrefl } (r - \text{Id})$
 $\langle \text{proof} \rangle$

lemma *trans-diff-Id*: $\text{trans } r \implies \text{antisym } r \implies \text{trans } (r - \text{Id})$
 $\langle \text{proof} \rangle$

lemma *total-on-diff-Id* [simp]: $\text{total-on } A \ (r - \text{Id}) = \text{total-on } A \ r$
 $\langle \text{proof} \rangle$

lemma *Id-fstsnd-eq*: $\text{Id} = \{x. \text{fst } x = \text{snd } x\}$
 $\langle \text{proof} \rangle$

19.3.2 Diagonal: identity over a set

definition *Id-on* :: $'a \text{ set} \Rightarrow 'a \text{ rel}$
where $\text{Id-on } A = (\bigcup x \in A. \{(x, x)\})$

lemma *Id-on-empty* [simp]: $\text{Id-on } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma *Id-on-eqI*: $a = b \implies a \in A \implies (a, b) \in \text{Id-on } A$
 $\langle \text{proof} \rangle$

lemma *Id-onI* [intro!]: $a \in A \implies (a, a) \in \text{Id-on } A$
 $\langle \text{proof} \rangle$

lemma *Id-onE* [elim!]: $c \in \text{Id-on } A \implies (\bigwedge x. x \in A \implies c = (x, x) \implies P) \implies P$

— The general elimination rule.

$\langle \text{proof} \rangle$

lemma *Id-on-iff*: $(x, y) \in \text{Id-on } A \longleftrightarrow x = y \wedge x \in A$
 $\langle \text{proof} \rangle$

lemma *Id-on-def'* [nitpick-unfold]: $\text{Id-on } \{x. A \ x\} = \text{Collect } (\lambda(x, y). x = y \wedge A \ x)$
 $\langle \text{proof} \rangle$

lemma *Id-on-subset-Times*: $\text{Id-on } A \subseteq A \times A$
 $\langle \text{proof} \rangle$

lemma *refl-on-Id-on*: $\text{refl-on } A \ (\text{Id-on } A)$
 $\langle \text{proof} \rangle$

lemma *antisym-Id-on* [simp]: $\text{antisym } (\text{Id-on } A)$
 $\langle \text{proof} \rangle$

lemma *sym-Id-on* [*simp*]: *sym* (*Id-on* *A*)
 ⟨*proof*⟩

lemma *trans-Id-on* [*simp*]: *trans* (*Id-on* *A*)
 ⟨*proof*⟩

lemma *single-valued-Id-on* [*simp*]: *single-valued* (*Id-on* *A*)
 ⟨*proof*⟩

19.3.3 Composition

inductive-set *relcomp* :: (*'a* × *'b*) *set* ⇒ (*'b* × *'c*) *set* ⇒ (*'a* × *'c*) *set* (**infixr** *O* 75)

for *r* :: (*'a* × *'b*) *set* **and** *s* :: (*'b* × *'c*) *set*
where *relcompI* [*intro*]: (*a*, *b*) ∈ *r* ⇒ (*b*, *c*) ∈ *s* ⇒ (*a*, *c*) ∈ *r O s*

notation *relcompp* (**infixr** *OO* 75)

lemmas *relcomppI* = *relcompp.intros*

For historic reasons, the elimination rules are not wholly corresponding. Feel free to consolidate this.

inductive-cases *relcompEpair*: (*a*, *c*) ∈ *r O s*

inductive-cases *relcomppE* [*elim!*]: (*r OO s*) *a c*

lemma *relcompE* [*elim!*]: *xz* ∈ *r O s* ⇒
 (∧ *x y z. xz* = (*x*, *z*) ⇒ (*x*, *y*) ∈ *r* ⇒ (*y*, *z*) ∈ *s* ⇒ *P*) ⇒ *P*
 ⟨*proof*⟩

lemma *R-O-Id* [*simp*]: *R O Id* = *R*
 ⟨*proof*⟩

lemma *Id-O-R* [*simp*]: *Id O R* = *R*
 ⟨*proof*⟩

lemma *relcomp-empty1* [*simp*]: {} *O R* = {}
 ⟨*proof*⟩

lemma *relcompp-bot1* [*simp*]: ⊥ *OO R* = ⊥
 ⟨*proof*⟩

lemma *relcomp-empty2* [*simp*]: *R O* {} = {}
 ⟨*proof*⟩

lemma *relcompp-bot2* [*simp*]: *R OO* ⊥ = ⊥
 ⟨*proof*⟩

lemma *O-assoc*: (*R O S*) *O T* = *R O* (*S O T*)
 ⟨*proof*⟩

lemma *relcompp-assoc*: $(r \text{ OO } s) \text{ OO } t = r \text{ OO } (s \text{ OO } t)$
 $\langle \text{proof} \rangle$

lemma *trans-O-subset*: $\text{trans } r \implies r \text{ O } r \subseteq r$
 $\langle \text{proof} \rangle$

lemma *transp-relcompp-less-eq*: $\text{transp } r \implies r \text{ OO } r \leq r$
 $\langle \text{proof} \rangle$

lemma *relcomp-mono*: $r' \subseteq r \implies s' \subseteq s \implies r' \text{ O } s' \subseteq r \text{ O } s$
 $\langle \text{proof} \rangle$

lemma *relcompp-mono*: $r' \leq r \implies s' \leq s \implies r' \text{ OO } s' \leq r \text{ OO } s$
 $\langle \text{proof} \rangle$

lemma *relcomp-subset-Sigma*: $r \subseteq A \times B \implies s \subseteq B \times C \implies r \text{ O } s \subseteq A \times C$
 $\langle \text{proof} \rangle$

lemma *relcomp-distrib* [simp]: $R \text{ O } (S \cup T) = (R \text{ O } S) \cup (R \text{ O } T)$
 $\langle \text{proof} \rangle$

lemma *relcompp-distrib* [simp]: $R \text{ OO } (S \sqcup T) = R \text{ OO } S \sqcup R \text{ OO } T$
 $\langle \text{proof} \rangle$

lemma *relcomp-distrib2* [simp]: $(S \cup T) \text{ O } R = (S \text{ O } R) \cup (T \text{ O } R)$
 $\langle \text{proof} \rangle$

lemma *relcompp-distrib2* [simp]: $(S \sqcup T) \text{ OO } R = S \text{ OO } R \sqcup T \text{ OO } R$
 $\langle \text{proof} \rangle$

lemma *relcomp-UNION-distrib*: $s \text{ O } \text{UNION } I r = (\bigcup_{i \in I}. s \text{ O } r i)$
 $\langle \text{proof} \rangle$

lemma *relcompp-SUP-distrib*: $s \text{ OO } \text{SUPREMUM } I r = (\bigcup_{i \in I}. s \text{ OO } r i)$
 $\langle \text{proof} \rangle$

lemma *relcomp-UNION-distrib2*: $\text{UNION } I r \text{ O } s = (\bigcup_{i \in I}. r i \text{ O } s)$
 $\langle \text{proof} \rangle$

lemma *relcompp-SUP-distrib2*: $\text{SUPREMUM } I r \text{ OO } s = (\bigcup_{i \in I}. r i \text{ OO } s)$
 $\langle \text{proof} \rangle$

lemma *single-valued-relcomp*: $\text{single-valued } r \implies \text{single-valued } s \implies \text{single-valued } (r \text{ O } s)$
 $\langle \text{proof} \rangle$

lemma *relcomp-unfold*: $r \text{ O } s = \{(x, z). \exists y. (x, y) \in r \wedge (y, z) \in s\}$
 $\langle \text{proof} \rangle$

lemma *relcompp-apply*: $(R \circ O S) \ a \ c \longleftrightarrow (\exists b. R \ a \ b \wedge S \ b \ c)$
 $\langle proof \rangle$

lemma *eq-OO*: $op = OO \ R = R$
 $\langle proof \rangle$

lemma *OO-eq*: $R \circ O op = R$
 $\langle proof \rangle$

19.3.4 Converse

inductive-set *converse* :: $('a \times 'b) \ set \Rightarrow ('b \times 'a) \ set \ ((-^{-1}) \ [1000] \ 999)$
for $r :: ('a \times 'b) \ set$
where $(a, b) \in r \Longrightarrow (b, a) \in r^{-1}$

notation *conversep* $((-^{-1-1}) \ [1000] \ 1000)$

notation (*ASCII*)
converse $((-^{\wedge}-1) \ [1000] \ 999)$ **and**
conversep $((-^{\wedge}-1) \ [1000] \ 1000)$

lemma *converseI* [*sym*]: $(a, b) \in r \Longrightarrow (b, a) \in r^{-1}$
 $\langle proof \rangle$

lemma *conversepI* : $r \ a \ b \Longrightarrow r^{-1-1} \ b \ a$
 $\langle proof \rangle$

lemma *converseD* [*sym*]: $(a, b) \in r^{-1} \Longrightarrow (b, a) \in r$
 $\langle proof \rangle$

lemma *conversepD* : $r^{-1-1} \ b \ a \Longrightarrow r \ a \ b$
 $\langle proof \rangle$

lemma *converseE* [*elim!*]: $yx \in r^{-1} \Longrightarrow (\bigwedge x \ y. yx = (y, x) \Longrightarrow (x, y) \in r \Longrightarrow P) \Longrightarrow P$
— More general than *converseD*, as it “splits” the member of the relation.
 $\langle proof \rangle$

lemmas *conversepE* [*elim!*] = *conversep.cases*

lemma *converse-iff* [*iff*]: $(a, b) \in r^{-1} \longleftrightarrow (b, a) \in r$
 $\langle proof \rangle$

lemma *conversep-iff* [*iff*]: $r^{-1-1} \ a \ b = r \ b \ a$
 $\langle proof \rangle$

lemma *converse-converse* [*simp*]: $(r^{-1})^{-1} = r$
 $\langle proof \rangle$

lemma *conversep-conversep* [*simp*]: $(r^{-1-1})^{-1-1} = r$
 $\langle proof \rangle$

lemma *converse-empty* [*simp*]: $\{\}^{-1} = \{\}$
 $\langle proof \rangle$

lemma *converse-UNIV* [*simp*]: $UNIV^{-1} = UNIV$
 $\langle proof \rangle$

lemma *converse-relcomp*: $(r \ O \ s)^{-1} = s^{-1} \ O \ r^{-1}$
 $\langle proof \rangle$

lemma *converse-relcompp*: $(r \ OO \ s)^{-1-1} = s^{-1-1} \ OO \ r^{-1-1}$
 $\langle proof \rangle$

lemma *converse-Int*: $(r \cap s)^{-1} = r^{-1} \cap s^{-1}$
 $\langle proof \rangle$

lemma *converse-meet*: $(r \sqcap s)^{-1-1} = r^{-1-1} \sqcap s^{-1-1}$
 $\langle proof \rangle$

lemma *converse-Un*: $(r \cup s)^{-1} = r^{-1} \cup s^{-1}$
 $\langle proof \rangle$

lemma *converse-join*: $(r \sqcup s)^{-1-1} = r^{-1-1} \sqcup s^{-1-1}$
 $\langle proof \rangle$

lemma *converse-INTER*: $(INTER \ S \ r)^{-1} = (INT \ x:S. (r \ x)^{-1})$
 $\langle proof \rangle$

lemma *converse-UNION*: $(UNION \ S \ r)^{-1} = (UN \ x:S. (r \ x)^{-1})$
 $\langle proof \rangle$

lemma *converse-mono* [*simp*]: $r^{-1} \subseteq s^{-1} \longleftrightarrow r \subseteq s$
 $\langle proof \rangle$

lemma *conversep-mono* [*simp*]: $r^{-1-1} \leq s^{-1-1} \longleftrightarrow r \leq s$
 $\langle proof \rangle$

lemma *converse-inject* [*simp*]: $r^{-1} = s^{-1} \longleftrightarrow r = s$
 $\langle proof \rangle$

lemma *conversep-inject* [*simp*]: $r^{-1-1} = s^{-1-1} \longleftrightarrow r = s$
 $\langle proof \rangle$

lemma *converse-subset-swap*: $r \subseteq s^{-1} \longleftrightarrow r^{-1} \subseteq s$
 $\langle proof \rangle$

lemma *conversep-le-swap*: $r \leq s^{-1-1} \longleftrightarrow r^{-1-1} \leq s$
 $\langle \text{proof} \rangle$

lemma *converse-Id* [simp]: $Id^{-1} = Id$
 $\langle \text{proof} \rangle$

lemma *converse-Id-on* [simp]: $(Id\text{-on } A)^{-1} = Id\text{-on } A$
 $\langle \text{proof} \rangle$

lemma *refl-on-converse* [simp]: $refl\text{-on } A (converse r) = refl\text{-on } A r$
 $\langle \text{proof} \rangle$

lemma *sym-converse* [simp]: $sym (converse r) = sym r$
 $\langle \text{proof} \rangle$

lemma *antisym-converse* [simp]: $antisym (converse r) = antisym r$
 $\langle \text{proof} \rangle$

lemma *trans-converse* [simp]: $trans (converse r) = trans r$
 $\langle \text{proof} \rangle$

lemma *sym-conv-converse-eq*: $sym r \longleftrightarrow r^{-1} = r$
 $\langle \text{proof} \rangle$

lemma *sym-Un-converse*: $sym (r \cup r^{-1})$
 $\langle \text{proof} \rangle$

lemma *sym-Int-converse*: $sym (r \cap r^{-1})$
 $\langle \text{proof} \rangle$

lemma *total-on-converse* [simp]: $total\text{-on } A (r^{-1}) = total\text{-on } A r$
 $\langle \text{proof} \rangle$

lemma *finite-converse* [iff]: $finite (r^{-1}) = finite r$
 $\langle \text{proof} \rangle$

lemma *conversep-noteq* [simp]: $(op \neq)^{-1-1} = op \neq$
 $\langle \text{proof} \rangle$

lemma *conversep-eq* [simp]: $(op =)^{-1-1} = op =$
 $\langle \text{proof} \rangle$

lemma *converse-unfold* [code]: $r^{-1} = \{(y, x). (x, y) \in r\}$
 $\langle \text{proof} \rangle$

19.3.5 Domain, range and field

inductive-set *Domain* :: $('a \times 'b) \text{ set} \Rightarrow 'a \text{ set}$ **for** $r :: ('a \times 'b) \text{ set}$
where *DomainI* [intro]: $(a, b) \in r \Longrightarrow a \in \text{Domain } r$

lemmas $\text{DomainPI} = \text{Domainp.DomainI}$

inductive-cases DomainE [elim!]: $a \in \text{Domain } r$

inductive-cases DomainpE [elim!]: $\text{Domainp } r \ a$

inductive-set $\text{Range} :: ('a \times 'b) \text{ set} \Rightarrow 'b \text{ set}$ **for** $r :: ('a \times 'b) \text{ set}$
where RangeI [intro]: $(a, b) \in r \Longrightarrow b \in \text{Range } r$

lemmas $\text{RangePI} = \text{Rangep.RangeI}$

inductive-cases RangeE [elim!]: $b \in \text{Range } r$

inductive-cases RangepE [elim!]: $\text{Rangep } r \ b$

definition $\text{Field} :: 'a \text{ rel} \Rightarrow 'a \text{ set}$

where $\text{Field } r = \text{Domain } r \cup \text{Range } r$

lemma FieldI1 : $(i, j) \in R \Longrightarrow i \in \text{Field } R$
 $\langle \text{proof} \rangle$

lemma FieldI2 : $(i, j) \in R \Longrightarrow j \in \text{Field } R$
 $\langle \text{proof} \rangle$

lemma Domain-fst [code]: $\text{Domain } r = \text{fst } ' r$
 $\langle \text{proof} \rangle$

lemma Range-snd [code]: $\text{Range } r = \text{snd } ' r$
 $\langle \text{proof} \rangle$

lemma fst-eq-Domain : $\text{fst } ' R = \text{Domain } R$
 $\langle \text{proof} \rangle$

lemma snd-eq-Range : $\text{snd } ' R = \text{Range } R$
 $\langle \text{proof} \rangle$

lemma range-fst [simp]: $\text{range } \text{fst} = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma range-snd [simp]: $\text{range } \text{snd} = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma Domain-empty [simp]: $\text{Domain } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma Range-empty [simp]: $\text{Range } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma Field-empty [simp]: $\text{Field } \{\} = \{\}$
 $\langle \text{proof} \rangle$

lemma *Domain-empty-iff*: $\text{Domain } r = \{\} \longleftrightarrow r = \{\}$
 $\langle \text{proof} \rangle$

lemma *Range-empty-iff*: $\text{Range } r = \{\} \longleftrightarrow r = \{\}$
 $\langle \text{proof} \rangle$

lemma *Domain-insert [simp]*: $\text{Domain } (\text{insert } (a, b) r) = \text{insert } a (\text{Domain } r)$
 $\langle \text{proof} \rangle$

lemma *Range-insert [simp]*: $\text{Range } (\text{insert } (a, b) r) = \text{insert } b (\text{Range } r)$
 $\langle \text{proof} \rangle$

lemma *Field-insert [simp]*: $\text{Field } (\text{insert } (a, b) r) = \{a, b\} \cup \text{Field } r$
 $\langle \text{proof} \rangle$

lemma *Domain-iff*: $a \in \text{Domain } r \longleftrightarrow (\exists y. (a, y) \in r)$
 $\langle \text{proof} \rangle$

lemma *Range-iff*: $a \in \text{Range } r \longleftrightarrow (\exists y. (y, a) \in r)$
 $\langle \text{proof} \rangle$

lemma *Domain-Id [simp]*: $\text{Domain } \text{Id} = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *Range-Id [simp]*: $\text{Range } \text{Id} = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *Domain-Id-on [simp]*: $\text{Domain } (\text{Id-on } A) = A$
 $\langle \text{proof} \rangle$

lemma *Range-Id-on [simp]*: $\text{Range } (\text{Id-on } A) = A$
 $\langle \text{proof} \rangle$

lemma *Domain-Un-eq*: $\text{Domain } (A \cup B) = \text{Domain } A \cup \text{Domain } B$
 $\langle \text{proof} \rangle$

lemma *Range-Un-eq*: $\text{Range } (A \cup B) = \text{Range } A \cup \text{Range } B$
 $\langle \text{proof} \rangle$

lemma *Field-Un [simp]*: $\text{Field } (r \cup s) = \text{Field } r \cup \text{Field } s$
 $\langle \text{proof} \rangle$

lemma *Domain-Int-subset*: $\text{Domain } (A \cap B) \subseteq \text{Domain } A \cap \text{Domain } B$
 $\langle \text{proof} \rangle$

lemma *Range-Int-subset*: $\text{Range } (A \cap B) \subseteq \text{Range } A \cap \text{Range } B$
 $\langle \text{proof} \rangle$

lemma *Domain-Diff-subset*: $\text{Domain } A - \text{Domain } B \subseteq \text{Domain } (A - B)$
 ⟨proof⟩

lemma *Range-Diff-subset*: $\text{Range } A - \text{Range } B \subseteq \text{Range } (A - B)$
 ⟨proof⟩

lemma *Domain-Union*: $\text{Domain } (\bigcup S) = (\bigcup_{A \in S} \text{Domain } A)$
 ⟨proof⟩

lemma *Range-Union*: $\text{Range } (\bigcup S) = (\bigcup_{A \in S} \text{Range } A)$
 ⟨proof⟩

lemma *Field-Union* [simp]: $\text{Field } (\bigcup R) = \bigcup (\text{Field } ` R)$
 ⟨proof⟩

lemma *Domain-converse* [simp]: $\text{Domain } (r^{-1}) = \text{Range } r$
 ⟨proof⟩

lemma *Range-converse* [simp]: $\text{Range } (r^{-1}) = \text{Domain } r$
 ⟨proof⟩

lemma *Field-converse* [simp]: $\text{Field } (r^{-1}) = \text{Field } r$
 ⟨proof⟩

lemma *Domain-Collect-case-prod* [simp]: $\text{Domain } \{(x, y). P \ x \ y\} = \{x. \exists y. P \ x \ y\}$
 ⟨proof⟩

lemma *Range-Collect-case-prod* [simp]: $\text{Range } \{(x, y). P \ x \ y\} = \{y. \exists x. P \ x \ y\}$
 ⟨proof⟩

lemma *finite-Domain*: $\text{finite } r \implies \text{finite } (\text{Domain } r)$
 ⟨proof⟩

lemma *finite-Range*: $\text{finite } r \implies \text{finite } (\text{Range } r)$
 ⟨proof⟩

lemma *finite-Field*: $\text{finite } r \implies \text{finite } (\text{Field } r)$
 ⟨proof⟩

lemma *Domain-mono*: $r \subseteq s \implies \text{Domain } r \subseteq \text{Domain } s$
 ⟨proof⟩

lemma *Range-mono*: $r \subseteq s \implies \text{Range } r \subseteq \text{Range } s$
 ⟨proof⟩

lemma *mono-Field*: $r \subseteq s \implies \text{Field } r \subseteq \text{Field } s$
 ⟨proof⟩

lemma *Domain-unfold*: $\text{Domain } r = \{x. \exists y. (x, y) \in r\}$
 ⟨proof⟩

lemma *Field-square* [simp]: $\text{Field } (x \times x) = x$
 ⟨proof⟩

19.3.6 Image of a set under a relation

definition *Image* :: $('a \times 'b) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set}$ (infixr “90”)
 where $r \text{ “} s = \{y. \exists x \in s. (x, y) \in r\}$

lemma *Image-iff*: $b \in r \text{ “} A \longleftrightarrow (\exists x \in A. (x, b) \in r)$
 ⟨proof⟩

lemma *Image-singleton*: $r \text{ “} \{a\} = \{b. (a, b) \in r\}$
 ⟨proof⟩

lemma *Image-singleton-iff* [iff]: $b \in r \text{ “} \{a\} \longleftrightarrow (a, b) \in r$
 ⟨proof⟩

lemma *ImageI* [intro]: $(a, b) \in r \Longrightarrow a \in A \Longrightarrow b \in r \text{ “} A$
 ⟨proof⟩

lemma *ImageE* [elim!]: $b \in r \text{ “} A \Longrightarrow (\bigwedge x. (x, b) \in r \Longrightarrow x \in A \Longrightarrow P) \Longrightarrow P$
 ⟨proof⟩

lemma *rev-ImageI*: $a \in A \Longrightarrow (a, b) \in r \Longrightarrow b \in r \text{ “} A$
 — This version’s more effective when we already have the required a
 ⟨proof⟩

lemma *Image-empty* [simp]: $R \text{ “} \{\} = \{\}$
 ⟨proof⟩

lemma *Image-Id* [simp]: $\text{Id } \text{ “} A = A$
 ⟨proof⟩

lemma *Image-Id-on* [simp]: $\text{Id-on } A \text{ “} B = A \cap B$
 ⟨proof⟩

lemma *Image-Int-subset*: $R \text{ “} (A \cap B) \subseteq R \text{ “} A \cap R \text{ “} B$
 ⟨proof⟩

lemma *Image-Int-eq*: *single-valued* (converse R) $\Longrightarrow R \text{ “} (A \cap B) = R \text{ “} A \cap R \text{ “} B$
 ⟨proof⟩

lemma *Image-Un*: $R \text{ “} (A \cup B) = R \text{ “} A \cup R \text{ “} B$
 ⟨proof⟩

lemma *Un-Image*: $(R \cup S) \text{ “ } A = R \text{ “ } A \cup S \text{ “ } A$
 ⟨proof⟩

lemma *Image-subset*: $r \subseteq A \times B \implies r \text{ “ } C \subseteq B$
 ⟨proof⟩

lemma *Image-eq-UN*: $r \text{ “ } B = (\bigcup y \in B. r \text{ “ } \{y\})$
 — NOT suitable for rewriting
 ⟨proof⟩

lemma *Image-mono*: $r' \subseteq r \implies A' \subseteq A \implies (r' \text{ “ } A') \subseteq (r \text{ “ } A)$
 ⟨proof⟩

lemma *Image-UN*: $(r \text{ “ } (\text{UNION } A \ B)) = (\bigcup x \in A. r \text{ “ } (B \ x))$
 ⟨proof⟩

lemma *UN-Image*: $(\bigcup i \in I. X \ i) \text{ “ } S = (\bigcup i \in I. X \ i \text{ “ } S)$
 ⟨proof⟩

lemma *Image-INT-subset*: $(r \text{ “ } \text{INTER } A \ B) \subseteq (\bigcap x \in A. r \text{ “ } (B \ x))$
 ⟨proof⟩

Converse inclusion requires some assumptions

lemma *Image-INT-eq*: *single-valued* $(r^{-1}) \implies A \neq \{\} \implies r \text{ “ } \text{INTER } A \ B = (\bigcap x \in A. r \text{ “ } B \ x)$
 ⟨proof⟩

lemma *Image-subset-eq*: $r \text{ “ } A \subseteq B \longleftrightarrow A \subseteq - ((r^{-1}) \text{ “ } (- \ B))$
 ⟨proof⟩

lemma *Image-Collect-case-prod* [simp]: $\{(x, y). P \ x \ y\} \text{ “ } A = \{y. \exists x \in A. P \ x \ y\}$
 ⟨proof⟩

lemma *Sigma-Image*: $(\text{SIGMA } x:A. B \ x) \text{ “ } X = (\bigcup x \in X \cap A. B \ x)$
 ⟨proof⟩

lemma *relcomp-Image*: $(X \ O \ Y) \text{ “ } Z = Y \text{ “ } (X \text{ “ } Z)$
 ⟨proof⟩

19.3.7 Inverse image

definition *inv-image* :: $'b \text{ rel} \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ rel}$
 where $\text{inv-image } r \ f = \{(x, y). (f \ x, f \ y) \in r\}$

definition *inv-imagep* :: $('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$
 where $\text{inv-imagep } r \ f = (\lambda x \ y. r \ (f \ x) \ (f \ y))$

lemma [pred-set-conv]: $\text{inv-imagep } (\lambda x \ y. (x, y) \in r) \ f = (\lambda x \ y. (x, y) \in \text{inv-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *sym-inv-image*: $\text{sym } r \implies \text{sym } (\text{inv-image } r \ f)$
 $\langle \text{proof} \rangle$

lemma *trans-inv-image*: $\text{trans } r \implies \text{trans } (\text{inv-image } r \ f)$
 $\langle \text{proof} \rangle$

lemma *in-inv-image[simp]*: $(x, y) \in \text{inv-image } r \ f \longleftrightarrow (f \ x, f \ y) \in r$
 $\langle \text{proof} \rangle$

lemma *converse-inv-image[simp]*: $(\text{inv-image } R \ f)^{-1} = \text{inv-image } (R^{-1}) \ f$
 $\langle \text{proof} \rangle$

lemma *in-inv-imagep [simp]*: $\text{inv-imagep } r \ f \ x \ y = r \ (f \ x) \ (f \ y)$
 $\langle \text{proof} \rangle$

19.3.8 Powerset

definition *Powp* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$
 where $\text{Powp } A = (\lambda B. \forall x \in B. A \ x)$

lemma *Powp-Pow-eq [pred-set-conv]*: $\text{Powp } (\lambda x. x \in A) = (\lambda x. x \in \text{Pow } A)$
 $\langle \text{proof} \rangle$

lemmas *Powp-mono [mono] = Pow-mono [to-pred]*

19.3.9 Expressing relation operations via *Finite-Set.fold*

lemma *Id-on-fold*:
 assumes *finite A*
 shows $\text{Id-on } A = \text{Finite-Set.fold } (\lambda x. \text{Set.insert } (\text{Pair } x \ x)) \ \{\} \ A$
 $\langle \text{proof} \rangle$

lemma *comp-fun-commute-Image-fold*:
 $\text{comp-fun-commute } (\lambda(x,y) \ A. \text{if } x \in S \text{ then } \text{Set.insert } y \ A \text{ else } A)$
 $\langle \text{proof} \rangle$

lemma *Image-fold*:
 assumes *finite R*
 shows $R \text{ “ } S = \text{Finite-Set.fold } (\lambda(x,y) \ A. \text{if } x \in S \text{ then } \text{Set.insert } y \ A \text{ else } A) \ \{\} \ R$
 $\langle \text{proof} \rangle$

lemma *insert-relcomp-union-fold*:
 assumes *finite S*
 shows $\{x\} \ O \ S \cup X = \text{Finite-Set.fold } (\lambda(w,z) \ A'. \text{if } \text{snd } x = w \text{ then } \text{Set.insert } (\text{fst } x, z) \ A' \text{ else } A') \ X \ S$
 $\langle \text{proof} \rangle$

lemma *insert-relcomp-fold*:

assumes *finite S*

shows $\text{Set.insert } x \ R \ O \ S =$

$\text{Finite-Set.fold } (\lambda(w,z) \ A'. \text{ if } \text{snd } x = w \text{ then } \text{Set.insert } (\text{fst } x, z) \ A' \text{ else } A') \ (R \ O \ S) \ S$

$\langle \text{proof} \rangle$

lemma *comp-fun-commute-relcomp-fold*:

assumes *finite S*

shows *comp-fun-commute* $(\lambda(x,y) \ A.$

$\text{Finite-Set.fold } (\lambda(w,z) \ A'. \text{ if } y = w \text{ then } \text{Set.insert } (x, z) \ A' \text{ else } A') \ A \ S)$

$\langle \text{proof} \rangle$

lemma *relcomp-fold*:

assumes *finite R finite S*

shows $R \ O \ S = \text{Finite-Set.fold}$

$(\lambda(x,y) \ A. \text{ Finite-Set.fold } (\lambda(w,z) \ A'. \text{ if } y = w \text{ then } \text{Set.insert } (x, z) \ A' \text{ else } A') \ A \ S) \ \{\} \ R$

$\langle \text{proof} \rangle$

end

20 Reflexive and Transitive closure of a relation

theory *Transitive-Closure*

imports *Relation*

begin

$\langle \text{ML} \rangle$

rtrancl is reflexive/transitive closure, *tranc* is transitive closure, *refl* is reflexive closure.

These postfix operators have *maximum priority*, forcing their operands to be atomic.

context notes $[[\text{inductive-internals}]]$

begin

inductive-set *rtrancl* $:: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \ ((-^*) [1000] \ 999)$

for $r :: ('a \times 'a) \text{ set}$

where

$r\text{trancl-refl} \ [\text{intro!}, \text{Pure.intro!}, \text{simp}]: (a, a) \in r^*$

$| \ r\text{trancl-into-rtrancl} \ [\text{Pure.intro}]: (a, b) \in r^* \Longrightarrow (b, c) \in r \Longrightarrow (a, c) \in r^*$

inductive-set *tranc* $:: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \ ((-^+) [1000] \ 999)$

for $r :: ('a \times 'a) \text{ set}$

where

$r\text{-into-tranc} \ [\text{intro}, \text{Pure.intro}]: (a, b) \in r \Longrightarrow (a, b) \in r^+$

$| \ \text{tranc-into-tranc} \ [\text{Pure.intro}]: (a, b) \in r^+ \Longrightarrow (b, c) \in r \Longrightarrow (a, c) \in r^+$

notation

rtranclp $((-^{**}) [1000] 1000)$ **and**
tranclp $((-^{++}) [1000] 1000)$

declare

rtrancl-def [nitpick-unfold del]
rtranclp-def [nitpick-unfold del]
trancl-def [nitpick-unfold del]
tranclp-def [nitpick-unfold del]

end

abbreviation *reflcl* $:: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \quad ((-^=) [1000] 999)$
where $r^= \equiv r \cup Id$

abbreviation *reflclp* $:: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool} \quad ((-^{==}) [1000] 1000)$
where $r^{==} \equiv \text{sup } r \text{ op } =$

notation (*ASCII*)

rtrancl $((-^{\wedge *}) [1000] 999)$ **and**
trancl $((-^{\wedge +}) [1000] 999)$ **and**
reflcl $((-^{\wedge =}) [1000] 999)$ **and**
rtranclp $((-^{\wedge **}) [1000] 1000)$ **and**
tranclp $((-^{\wedge ++}) [1000] 1000)$ **and**
reflclp $((-^{\wedge ==}) [1000] 1000)$

20.1 Reflexive closure

lemma *refl-reflcl[simp]*: *refl* $(r^=)$
 $\langle \text{proof} \rangle$

lemma *antisym-reflcl[simp]*: *antisym* $(r^=) = \text{antisym } r$
 $\langle \text{proof} \rangle$

lemma *trans-reflclI[simp]*: *trans* $r \Longrightarrow \text{trans } (r^=)$
 $\langle \text{proof} \rangle$

lemma *reflclp-idemp [simp]*: $(P^{==})^{==} = P^{==}$
 $\langle \text{proof} \rangle$

20.2 Reflexive-transitive closure

lemma *reflcl-set-eq [pred-set-conv]*: $(\text{sup } (\lambda x y. (x, y) \in r) \text{ op } =) = (\lambda x y. (x, y) \in r \cup Id)$
 $\langle \text{proof} \rangle$

lemma *r-into-rtrancl [intro]*: $\bigwedge p. p \in r \Longrightarrow p \in r^*$
 — *rtrancl* of *r* contains *r*
 $\langle \text{proof} \rangle$

lemma *r-into-rtranclp* [*intro*]: $r\ x\ y \implies r^{**}\ x\ y$
 — *rtrancl* of *r* contains *r*
 $\langle proof \rangle$

lemma *rtranclp-mono*: $r \leq s \implies r^{**} \leq s^{**}$
 — monotonicity of *rtrancl*
 $\langle proof \rangle$

lemma *mono-rtranclp*[*mono*]: $(\bigwedge a\ b. x\ a\ b \longrightarrow y\ a\ b) \implies x^{**}\ a\ b \longrightarrow y^{**}\ a\ b$
 $\langle proof \rangle$

lemmas *rtrancl-mono* = *rtranclp-mono* [*to-set*]

theorem *rtranclp-induct* [*consumes 1, case-names base step, induct set: rtranclp*]:
 assumes *a*: $r^{**}\ a\ b$
 and cases: $P\ a\ \bigwedge y\ z. r^{**}\ a\ y \implies r\ y\ z \implies P\ y \implies P\ z$
 shows $P\ b$
 $\langle proof \rangle$

lemmas *rtrancl-induct* [*induct set: rtrancl*] = *rtranclp-induct* [*to-set*]

lemmas *rtranclp-induct2* =
rtranclp-induct[*of - (ax,ay) (bx,by), split-rule, consumes 1, case-names refl step*]

lemmas *rtrancl-induct2* =
rtrancl-induct[*of (ax,ay) (bx,by), split-format (complete), consumes 1, case-names refl step*]

lemma *refl-rtrancl*: *refl* (r^*)
 $\langle proof \rangle$

Transitivity of transitive closure.

lemma *trans-rtrancl*: *trans* (r^*)
 $\langle proof \rangle$

lemmas *rtrancl-trans* = *trans-rtrancl* [*THEN transD*]

lemma *rtranclp-trans*:
 assumes $r^{**}\ x\ y$
 and $r^{**}\ y\ z$
 shows $r^{**}\ x\ z$
 $\langle proof \rangle$

lemma *rtranclE* [*cases set: rtrancl*]:
 fixes $a\ b :: 'a$
 assumes *major*: $(a, b) \in r^*$
 obtains
 (*base*) $a = b$

| (step) y **where** $(a, y) \in r^*$ **and** $(y, b) \in r$
 — elimination of *rtrancl* – by induction on a special formula
 $\langle proof \rangle$

lemma *rtrancl-Int-subset*: $Id \subseteq s \implies (r^* \cap s) \circ r \subseteq s \implies r^* \subseteq s$
 $\langle proof \rangle$

lemma *converse-rtranclp-into-rtranclp*: $r \ a \ b \implies r^{**} \ b \ c \implies r^{**} \ a \ c$
 $\langle proof \rangle$

lemmas *converse-rtrancl-into-rtrancl* = *converse-rtranclp-into-rtranclp* [to-set]

More r^* equations and inclusions.

lemma *rtranclp-idemp* [simp]: $(r^{**})^{**} = r^{**}$
 $\langle proof \rangle$

lemmas *rtrancl-idemp* [simp] = *rtranclp-idemp* [to-set]

lemma *rtrancl-idemp-self-comp* [simp]: $R^* \circ R^* = R^*$
 $\langle proof \rangle$

lemma *rtrancl-subset-rtrancl*: $r \subseteq s^* \implies r^* \subseteq s^*$
 $\langle proof \rangle$

lemma *rtranclp-subset*: $R \leq S \implies S \leq R^{**} \implies S^{**} = R^{**}$
 $\langle proof \rangle$

lemmas *rtrancl-subset* = *rtranclp-subset* [to-set]

lemma *rtranclp-sup-rtranclp*: $(\sup (R^{**}) (S^{**}))^{**} = (\sup R \ S)^{**}$
 $\langle proof \rangle$

lemmas *rtrancl-Un-rtrancl* = *rtranclp-sup-rtranclp* [to-set]

lemma *rtranclp-reflclp* [simp]: $(R^=)^{**} = R^{**}$
 $\langle proof \rangle$

lemmas *rtrancl-reflcl* [simp] = *rtranclp-reflclp* [to-set]

lemma *rtrancl-r-diff-Id*: $(r - Id)^* = r^*$
 $\langle proof \rangle$

lemma *rtranclp-r-diff-Id*: $(\inf r \ op \neq)^{**} = r^{**}$
 $\langle proof \rangle$

theorem *rtranclp-converseD*:

assumes $(r^{-1-1})^{**} \ x \ y$

shows $r^{**} \ y \ x$

$\langle proof \rangle$

lemmas $rtrancl\text{-}converseD = rtranclp\text{-}converseD$ [to-set]

theorem $rtranclp\text{-}converseI$:

assumes $r^{**} y x$

shows $(r^{-1-1})^{**} x y$

$\langle proof \rangle$

lemmas $rtrancl\text{-}converseI = rtranclp\text{-}converseI$ [to-set]

lemma $rtrancl\text{-}converse$: $(r^{\wedge-1})^* = (r^*)^{\wedge-1}$

$\langle proof \rangle$

lemma $sym\text{-}rtrancl$: $sym r \implies sym (r^*)$

$\langle proof \rangle$

theorem $converse\text{-}rtranclp\text{-}induct$ [consumes 1, case-names base step]:

assumes major: $r^{**} a b$

and cases: $P b \bigwedge y z. r y z \implies r^{**} z b \implies P z \implies P y$

shows $P a$

$\langle proof \rangle$

lemmas $converse\text{-}rtrancl\text{-}induct = converse\text{-}rtranclp\text{-}induct$ [to-set]

lemmas $converse\text{-}rtranclp\text{-}induct2 =$

$converse\text{-}rtranclp\text{-}induct$ [of - (ax, ay) (bx, by), split-rule, consumes 1, case-names refl step]

lemmas $converse\text{-}rtrancl\text{-}induct2 =$

$converse\text{-}rtrancl\text{-}induct$ [of (ax, ay) (bx, by), split-format (complete), consumes 1, case-names refl step]

lemma $converse\text{-}rtranclpE$ [consumes 1, case-names base step]:

assumes major: $r^{**} x z$

and cases: $x = z \implies P \bigwedge y. r x y \implies r^{**} y z \implies P$

shows P

$\langle proof \rangle$

lemmas $converse\text{-}rtranclE = converse\text{-}rtranclpE$ [to-set]

lemmas $converse\text{-}rtranclpE2 = converse\text{-}rtranclpE$ [of - (xa,xb) (za,zb), split-rule]

lemmas $converse\text{-}rtranclE2 = converse\text{-}rtranclE$ [of (xa,xb) (za,zb), split-rule]

lemma $r\text{-}comp\text{-}rtrancl\text{-}eq$: $r \circ r^* = r^* \circ r$

$\langle proof \rangle$

lemma $rtrancl\text{-}unfold$: $r^* = Id \cup r^* \circ r$

$\langle proof \rangle$

lemma *rtrancl-Un-separatorE*:

$(a, b) \in (P \cup Q)^* \implies \forall x y. (a, x) \in P^* \longrightarrow (x, y) \in Q \longrightarrow x = y \implies (a, b) \in P^*$
 $\langle proof \rangle$

lemma *rtrancl-Un-separator-converseE*:

$(a, b) \in (P \cup Q)^* \implies \forall x y. (x, b) \in P^* \longrightarrow (y, x) \in Q \longrightarrow y = x \implies (a, b) \in P^*$
 $\langle proof \rangle$

lemma *Image-closed-trancl*:

assumes $r \text{ “ } X \subseteq X$
shows $r^* \text{ “ } X = X$
 $\langle proof \rangle$

20.3 Transitive closure

lemma *trancl-mono*: $\bigwedge p. p \in r^+ \implies r \subseteq s \implies p \in s^+$
 $\langle proof \rangle$

lemma *r-into-trancl'*: $\bigwedge p. p \in r \implies p \in r^+$
 $\langle proof \rangle$

Conversions between *trancl* and *rtrancl*.

lemma *tranclp-into-rtranclp*: $r^{++} a b \implies r^{**} a b$
 $\langle proof \rangle$

lemmas *trancl-into-rtrancl* = *tranclp-into-rtranclp* [to-set]

lemma *rtranclp-into-tranclp1*:

assumes $r^{**} a b$
shows $r b c \implies r^{++} a c$
 $\langle proof \rangle$

lemmas *rtrancl-into-trancl1* = *rtranclp-into-tranclp1* [to-set]

lemma *rtranclp-into-tranclp2*: $r a b \implies r^{**} b c \implies r^{++} a c$
 — intro rule from *r* and *rtrancl*
 $\langle proof \rangle$

lemmas *rtrancl-into-trancl2* = *rtranclp-into-tranclp2* [to-set]

Nice induction rule for *trancl*

lemma *tranclp-induct* [consumes 1, case-names base step, induct pred: *tranclp*]:

assumes $a: r^{++} a b$
and cases: $\bigwedge y. r a y \implies P y \bigwedge y z. r^{++} a y \implies r y z \implies P y \implies P z$
shows $P b$
 $\langle proof \rangle$

lemmas *trancl-induct* [*induct set: trancl*] = *tranclp-induct* [*to-set*]

lemmas *tranclp-induct2* =
tranclp-induct [*of - (ax, ay) (bx, by), split-rule, consumes 1, case-names base step*]

lemmas *trancl-induct2* =
trancl-induct [*of (ax, ay) (bx, by), split-format (complete), consumes 1, case-names base step*]

lemma *tranclp-trans-induct*:
assumes *major*: $r^{++} x y$
and cases: $\bigwedge x y. r x y \implies P x y \bigwedge x y z. r^{++} x y \implies P x y \implies r^{++} y z \implies P y z \implies P x z$
shows $P x y$
 — Another induction rule for *trancl*, incorporating transitivity
<proof>

lemmas *trancl-trans-induct* = *tranclp-trans-induct* [*to-set*]

lemma *tranclE* [*cases set: trancl*]:
assumes $(a, b) \in r^+$
obtains
 (*base*) $(a, b) \in r$
 | (*step*) c **where** $(a, c) \in r^+$ **and** $(c, b) \in r$
<proof>

lemma *trancl-Int-subset*: $r \subseteq s \implies (r^+ \cap s) \subseteq s \implies r^+ \subseteq s$
<proof>

lemma *trancl-unfold*: $r^+ = r \cup r^+ \subseteq r$
<proof>

Transitivity of r^+

lemma *trans-trancl* [*simpl*]: $\text{trans } (r^+)$
<proof>

lemmas *trancl-trans* = *trans-trancl* [*THEN transD*]

lemma *tranclp-trans*:
assumes $r^{++} x y$
and $r^{++} y z$
shows $r^{++} x z$
<proof>

lemma *trancl-id* [*simpl*]: $\text{trans } r \implies r^+ = r$
<proof>

lemma *rtranclp-tranclp-tranclp*:

assumes $r^{**} x y$

shows $\bigwedge z. r^{++} y z \implies r^{++} x z$

<proof>

lemmas *rtrancl-trancl-trancl* = *rtranclp-tranclp-tranclp* [to-set]

lemma *tranclp-into-tranclp2*: $r a b \implies r^{++} b c \implies r^{++} a c$

<proof>

lemmas *trancl-into-trancl2* = *tranclp-into-tranclp2* [to-set]

lemma *tranclp-converseI*: $(r^{++})^{-1-1} x y \implies (r^{-1-1})^{++} x y$

<proof>

lemmas *trancl-converseI* = *tranclp-converseI* [to-set]

lemma *tranclp-converseD*: $(r^{-1-1})^{++} x y \implies (r^{++})^{-1-1} x y$

<proof>

lemmas *trancl-converseD* = *tranclp-converseD* [to-set]

lemma *tranclp-converse*: $(r^{-1-1})^{++} = (r^{++})^{-1-1}$

<proof>

lemmas *trancl-converse* = *tranclp-converse* [to-set]

lemma *sym-trancl*: $\text{sym } r \implies \text{sym } (r^+)$

<proof>

lemma *converse-tranclp-induct* [consumes 1, case-names base step]:

assumes *major*: $r^{++} a b$

and cases: $\bigwedge y. r y b \implies P y \bigwedge y z. r y z \implies r^{++} z b \implies P z \implies P y$

shows $P a$

<proof>

lemmas *converse-trancl-induct* = *converse-tranclp-induct* [to-set]

lemma *tranclpD*: $R^{++} x y \implies \exists z. R x z \wedge R^{**} z y$

<proof>

lemmas *tranclD* = *tranclpD* [to-set]

lemma *converse-tranclpE*:

assumes *major*: $\text{tranclp } r x z$

and base: $r x z \implies P$

and step: $\bigwedge y. r x y \implies \text{tranclp } r y z \implies P$

shows P

<proof>

lemmas *converse-tranclE* = *converse-tranclpE* [*to-set*]

lemma *tranclD2*: $(x, y) \in R^+ \implies \exists z. (x, z) \in R^* \wedge (z, y) \in R$
 $\langle \text{proof} \rangle$

lemma *irrefl-tranclI*: $r^{-1} \cap r^* = \{\}$ $\implies (x, x) \notin r^+$
 $\langle \text{proof} \rangle$

lemma *irrefl-trancl-rD*: $\forall x. (x, x) \notin r^+ \implies (x, y) \in r \implies x \neq y$
 $\langle \text{proof} \rangle$

lemma *trancl-subset-Sigma-aux*: $(a, b) \in r^* \implies r \subseteq A \times A \implies a = b \vee a \in A$
 $\langle \text{proof} \rangle$

lemma *trancl-subset-Sigma*: $r \subseteq A \times A \implies r^+ \subseteq A \times A$
 $\langle \text{proof} \rangle$

lemma *reflclp-tranclp* [*simp*]: $(r^{++})^{==} = r^{**}$
 $\langle \text{proof} \rangle$

lemmas *reflcl-trancl* [*simp*] = *reflclp-tranclp* [*to-set*]

lemma *trancl-reflcl* [*simp*]: $(r^=)^+ = r^*$
 $\langle \text{proof} \rangle$

lemma *rtrancl-trancl-reflcl* [*code*]: $r^* = (r^+)^=$
 $\langle \text{proof} \rangle$

lemma *trancl-empty* [*simp*]: $\{\}^+ = \{\}$
 $\langle \text{proof} \rangle$

lemma *rtrancl-empty* [*simp*]: $\{\}^* = Id$
 $\langle \text{proof} \rangle$

lemma *rtranclpD*: $R^{**} \ a \ b \implies a = b \vee a \neq b \wedge R^{++} \ a \ b$
 $\langle \text{proof} \rangle$

lemmas *rtranclD* = *rtranclpD* [*to-set*]

lemma *rtrancl-eq-or-trancl*: $(x, y) \in R^* \longleftrightarrow x = y \vee x \neq y \wedge (x, y) \in R^+$
 $\langle \text{proof} \rangle$

lemma *trancl-unfold-right*: $r^+ = r^* \ O \ r$
 $\langle \text{proof} \rangle$

lemma *trancl-unfold-left*: $r^+ = r \ O \ r^*$
 $\langle \text{proof} \rangle$

lemma *tranc1-insert*: $(\text{insert } (y, x) \ r)^+ = r^+ \cup \{(a, b). (a, y) \in r^* \wedge (x, b) \in r^*\}$

— primitive recursion for *tranc1* over finite relations

<proof>

lemma *tranc1-insert2*:

$(\text{insert } (a, b) \ r)^+ = r^+ \cup \{(x, y). ((x, a) \in r^+ \vee x = a) \wedge ((b, y) \in r^+ \vee y = b)\}$

<proof>

lemma *rtranc1-insert*: $(\text{insert } (a, b) \ r)^* = r^* \cup \{(x, y). (x, a) \in r^* \wedge (b, y) \in r^*\}$

<proof>

Simplifying nested closures

lemma *rtranc1-tranc1-absorb[simp]*: $(R^*)^+ = R^*$

<proof>

lemma *tranc1-rtranc1-absorb[simp]*: $(R^+)^* = R^*$

<proof>

lemma *rtranc1-reflcl-absorb[simp]*: $(R^*)^= = R^*$

<proof>

Domain and *Range*

lemma *Domain-rtranc1 [simp]*: $\text{Domain } (R^*) = \text{UNIV}$

<proof>

lemma *Range-rtranc1 [simp]*: $\text{Range } (R^*) = \text{UNIV}$

<proof>

lemma *rtranc1-Un-subset*: $(R^* \cup S^*) \subseteq (R \cup S)^*$

<proof>

lemma *in-rtranc1-UnI*: $x \in R^* \vee x \in S^* \implies x \in (R \cup S)^*$

<proof>

lemma *tranc1-domain [simp]*: $\text{Domain } (r^+) = \text{Domain } r$

<proof>

lemma *tranc1-range [simp]*: $\text{Range } (r^+) = \text{Range } r$

<proof>

lemma *Not-Domain-rtranc1*: $x \notin \text{Domain } R \implies (x, y) \in R^* \longleftrightarrow x = y$

<proof>

lemma *tranc1-subset-Field2*: $r^+ \subseteq \text{Field } r \times \text{Field } r$

<proof>

lemma *finite-tranc1[simp]*: $\text{finite } (r^+) = \text{finite } r$

<proof>

More about converse *rtrancl* and *trancl*, should be merged with main body.

lemma *single-valued-confluent*:

single-valued $r \implies (x, y) \in r^* \implies (x, z) \in r^* \implies (y, z) \in r^* \vee (z, y) \in r^*$
<proof>

lemma *r-r-into-trancl*: $(a, b) \in R \implies (b, c) \in R \implies (a, c) \in R^+$

<proof>

lemma *trancl-into-trancl*: $(a, b) \in r^+ \implies (b, c) \in r \implies (a, c) \in r^+$

<proof>

lemma *tranclp-rtranclp-tranclp*: $r^{++} a b \implies r^{**} b c \implies r^{++} a c$

<proof>

lemmas *trancl-rtrancl-trancl* = *tranclp-rtranclp-tranclp* [*to-set*]

lemmas *transitive-closure-trans* [*trans*] =

r-r-into-trancl trancl-trans rtrancl-trans
trancl.trancl-into-trancl trancl-into-trancl2
rtrancl.rtrancl-into-rtrancl converse-rtrancl-into-rtrancl
rtrancl-trancl-trancl trancl-rtrancl-trancl

lemmas *transitive-closurep-trans'* [*trans*] =

tranclp-trans rtranclp-trans
tranclp.trancl-into-trancl tranclp-into-tranclp2
rtranclp.rtrancl-into-rtrancl converse-rtranclp-into-rtranclp
rtranclp-tranclp-tranclp tranclp-rtranclp-tranclp

declare *trancl-into-rtrancl* [*elim*]

20.4 The power operation on relations

$R \hat{\ }^n = R \circ \dots \circ R$, the n -fold composition of R

overloading

relpow \equiv *compow* :: $nat \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$
relpowp \equiv *compow* :: $nat \Rightarrow ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'a \Rightarrow bool)$

begin

primrec *relpow* :: $nat \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$

where

relpow 0 $R = Id$
 | *relpow* (*Suc* n) $R = (R \hat{\ }^n) \circ R$

primrec *relpowp* :: $nat \Rightarrow ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'a \Rightarrow bool)$

where

relpowp 0 $R = HOL.eq$
 | *relpowp* (*Suc* n) $R = (R \hat{\ }^n) \circ\circ R$

end

lemma *relpowp-relpow-eq* [*pred-set-conv*]:
 $(\lambda x y. (x, y) \in R) \hat{\hat{}} n = (\lambda x y. (x, y) \in R \hat{\hat{}} n)$ **for** $R :: 'a \text{ rel}$
 $\langle \text{proof} \rangle$

For code generation:

definition *relpow* :: $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$
where *relpow-code-def* [*code-abbrev*]: *relpow* = *compow*

definition *relpowp* :: $\text{nat} \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool})$
where *relpowp-code-def* [*code-abbrev*]: *relpowp* = *compow*

lemma [*code*]:
 $\text{relpow } (\text{Suc } n) R = (\text{relpow } n R) \circ R$
 $\text{relpow } 0 R = \text{Id}$
 $\langle \text{proof} \rangle$

lemma [*code*]:
 $\text{relpowp } (\text{Suc } n) R = (R \hat{\hat{}} n) \circ \circ R$
 $\text{relpowp } 0 R = \text{HOL.eq}$
 $\langle \text{proof} \rangle$

hide-const (**open**) *relpow*
hide-const (**open**) *relpowp*

lemma *relpow-1* [*simp*]: $R \hat{\hat{}} 1 = R$
for $R :: ('a \times 'a) \text{ set}$
 $\langle \text{proof} \rangle$

lemma *relpowp-1* [*simp*]: $P \hat{\hat{}} 1 = P$
for $P :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
 $\langle \text{proof} \rangle$

lemma *relpow-0-I*: $(x, x) \in R \hat{\hat{}} 0$
 $\langle \text{proof} \rangle$

lemma *relpowp-0-I*: $(P \hat{\hat{}} 0) x x$
 $\langle \text{proof} \rangle$

lemma *relpow-Suc-I*: $(x, y) \in R \hat{\hat{}} n \Longrightarrow (y, z) \in R \Longrightarrow (x, z) \in R \hat{\hat{}} \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *relpowp-Suc-I*: $(P \hat{\hat{}} n) x y \Longrightarrow P y z \Longrightarrow (P \hat{\hat{}} \text{Suc } n) x z$
 $\langle \text{proof} \rangle$

lemma *relpow-Suc-I2*: $(x, y) \in R \Longrightarrow (y, z) \in R \hat{\hat{}} n \Longrightarrow (x, z) \in R \hat{\hat{}} \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *relpowp-Suc-I2*: $P \ x \ y \Longrightarrow (P \ \hat{\ } \ n) \ y \ z \Longrightarrow (P \ \hat{\ } \ Suc \ n) \ x \ z$
 ⟨proof⟩

lemma *relpow-0-E*: $(x, y) \in R \ \hat{\ } \ 0 \Longrightarrow (x = y \Longrightarrow P) \Longrightarrow P$
 ⟨proof⟩

lemma *relpow-0-E*: $(P \ \hat{\ } \ 0) \ x \ y \Longrightarrow (x = y \Longrightarrow Q) \Longrightarrow Q$
 ⟨proof⟩

lemma *relpow-Suc-E*: $(x, z) \in R \ \hat{\ } \ Suc \ n \Longrightarrow (\bigwedge y. (x, y) \in R \ \hat{\ } \ n \Longrightarrow (y, z) \in R \Longrightarrow P) \Longrightarrow P$
 ⟨proof⟩

lemma *relpowp-Suc-E*: $(P \ \hat{\ } \ Suc \ n) \ x \ z \Longrightarrow (\bigwedge y. (P \ \hat{\ } \ n) \ x \ y \Longrightarrow P \ y \ z \Longrightarrow Q) \Longrightarrow Q$
 ⟨proof⟩

lemma *relpow-E*:
 $(x, z) \in R \ \hat{\ } \ n \Longrightarrow$
 $(n = 0 \Longrightarrow x = z \Longrightarrow P) \Longrightarrow$
 $(\bigwedge y \ m. n = Suc \ m \Longrightarrow (x, y) \in R \ \hat{\ } \ m \Longrightarrow (y, z) \in R \Longrightarrow P) \Longrightarrow P$
 ⟨proof⟩

lemma *relpowp-E*:
 $(P \ \hat{\ } \ n) \ x \ z \Longrightarrow$
 $(n = 0 \Longrightarrow x = z \Longrightarrow Q) \Longrightarrow$
 $(\bigwedge y \ m. n = Suc \ m \Longrightarrow (P \ \hat{\ } \ m) \ x \ y \Longrightarrow P \ y \ z \Longrightarrow Q) \Longrightarrow Q$
 ⟨proof⟩

lemma *relpow-Suc-D2*: $(x, z) \in R \ \hat{\ } \ Suc \ n \Longrightarrow (\exists y. (x, y) \in R \wedge (y, z) \in R \ \hat{\ } \ n)$
 ⟨proof⟩

lemma *relpowp-Suc-D2*: $(P \ \hat{\ } \ Suc \ n) \ x \ z \Longrightarrow \exists y. P \ x \ y \wedge (P \ \hat{\ } \ n) \ y \ z$
 ⟨proof⟩

lemma *relpow-Suc-E2*: $(x, z) \in R \ \hat{\ } \ Suc \ n \Longrightarrow (\bigwedge y. (x, y) \in R \Longrightarrow (y, z) \in R \ \hat{\ } \ n \Longrightarrow P) \Longrightarrow P$
 ⟨proof⟩

lemma *relpowp-Suc-E2*: $(P \ \hat{\ } \ Suc \ n) \ x \ z \Longrightarrow (\bigwedge y. P \ x \ y \Longrightarrow (P \ \hat{\ } \ n) \ y \ z \Longrightarrow Q) \Longrightarrow Q$
 ⟨proof⟩

lemma *relpow-Suc-D2'*: $\forall x \ y \ z. (x, y) \in R \ \hat{\ } \ n \wedge (y, z) \in R \longrightarrow (\exists w. (x, w) \in R \wedge (w, z) \in R \ \hat{\ } \ n)$
 ⟨proof⟩

lemma *relpowp-Suc-D2'*: $\forall x y z. (P \hat{\hat{}} n) x y \wedge P y z \longrightarrow (\exists w. P x w \wedge (P \hat{\hat{}} n) w z)$
 ⟨proof⟩

lemma *relpow-E2*:

$(x, z) \in R \hat{\hat{}} n \Longrightarrow$
 $(n = 0 \Longrightarrow x = z \Longrightarrow P) \Longrightarrow$
 $(\bigwedge y m. n = \text{Suc } m \Longrightarrow (x, y) \in R \Longrightarrow (y, z) \in R \hat{\hat{}} m \Longrightarrow P) \Longrightarrow P$
 ⟨proof⟩

lemma *relpowp-E2*:

$(P \hat{\hat{}} n) x z \Longrightarrow$
 $(n = 0 \Longrightarrow x = z \Longrightarrow Q) \Longrightarrow$
 $(\bigwedge y m. n = \text{Suc } m \Longrightarrow P x y \Longrightarrow (P \hat{\hat{}} m) y z \Longrightarrow Q) \Longrightarrow Q$
 ⟨proof⟩

lemma *relpow-add*: $R \hat{\hat{}} (m + n) = R \hat{\hat{}} m \circ R \hat{\hat{}} n$
 ⟨proof⟩

lemma *relpowp-add*: $P \hat{\hat{}} (m + n) = P \hat{\hat{}} m \circ \circ P \hat{\hat{}} n$
 ⟨proof⟩

lemma *relpow-commute*: $R \circ R \hat{\hat{}} n = R \hat{\hat{}} n \circ R$
 ⟨proof⟩

lemma *relpowp-commute*: $P \circ \circ P \hat{\hat{}} n = P \hat{\hat{}} n \circ \circ P$
 ⟨proof⟩

lemma *relpow-empty*: $0 < n \Longrightarrow (\{\} :: ('a \times 'a) \text{ set}) \hat{\hat{}} n = \{\}$
 ⟨proof⟩

lemma *relpowp-bot*: $0 < n \Longrightarrow (\perp :: 'a \Rightarrow 'a \Rightarrow \text{bool}) \hat{\hat{}} n = \perp$
 ⟨proof⟩

lemma *rtranc1-imp-UN-relpow*:

assumes $p \in R^*$
shows $p \in (\bigcup n. R \hat{\hat{}} n)$
 ⟨proof⟩

lemma *rtranc1p-imp-Sup-relpowp*:

assumes $(P^{**}) x y$
shows $(\bigsqcup n. P \hat{\hat{}} n) x y$
 ⟨proof⟩

lemma *relpow-imp-rtranc1*:

assumes $p \in R \hat{\hat{}} n$
shows $p \in R^*$
 ⟨proof⟩

lemma *relpow-imp-rtranclp*: $(P \hat{\ } n) x y \implies (P^{**}) x y$
 ⟨proof⟩

lemma *rtrancl-is-UN-relpow*: $R^* = (\bigcup n. R \hat{\ } n)$
 ⟨proof⟩

lemma *rtranclp-is-Sup-relpow*: $P^{**} = (\bigsqcup n. P \hat{\ } n)$
 ⟨proof⟩

lemma *rtrancl-power*: $p \in R^* \longleftrightarrow (\exists n. p \in R \hat{\ } n)$
 ⟨proof⟩

lemma *rtranclp-power*: $(P^{**}) x y \longleftrightarrow (\exists n. (P \hat{\ } n) x y)$
 ⟨proof⟩

lemma *trancl-power*: $p \in R^+ \longleftrightarrow (\exists n > 0. p \in R \hat{\ } n)$
 ⟨proof⟩

lemma *tranclp-power*: $(P^{++}) x y \longleftrightarrow (\exists n > 0. (P \hat{\ } n) x y)$
 ⟨proof⟩

lemma *rtrancl-imp-relpow*: $p \in R^* \implies \exists n. p \in R \hat{\ } n$
 ⟨proof⟩

lemma *rtranclp-imp-relpow*: $(P^{**}) x y \implies \exists n. (P \hat{\ } n) x y$
 ⟨proof⟩

By Sternagel/Thiemann:

lemma *relpow-fun-conv*: $(a, b) \in R \hat{\ } n \longleftrightarrow (\exists f. f\ 0 = a \wedge f\ n = b \wedge (\forall i < n. (f\ i, f\ (Suc\ i)) \in R))$
 ⟨proof⟩

lemma *relpow-fun-conv*: $(P \hat{\ } n) x y \longleftrightarrow (\exists f. f\ 0 = x \wedge f\ n = y \wedge (\forall i < n. P\ (f\ i)\ (f\ (Suc\ i))))$
 ⟨proof⟩

lemma *relpow-finite-bounded1*:
 fixes $R :: ('a \times 'a)\ set$
 assumes *finite* R and $k > 0$
 shows $R^{\hat{\ }k} \subseteq (\bigcup n \in \{n. 0 < n \wedge n \leq \text{card } R\}. R^{\hat{\ }n})$
 (is $- \subseteq ?r$)
 ⟨proof⟩

lemma *relpow-finite-bounded*:
 fixes $R :: ('a \times 'a)\ set$
 assumes *finite* R
 shows $R^{\hat{\ }k} \subseteq (\bigcup n. n \leq \text{card } R \}. R^{\hat{\ }n})$
 ⟨proof⟩

lemma *rtrancl-finite-eq-relpow*: $\text{finite } R \implies R^* = (\bigcup_{n \in \{n. n \leq \text{card } R\}} R^{\wedge n})$
 ⟨proof⟩

lemma *tranc1-finite-eq-relpow*: $\text{finite } R \implies R^+ = (\bigcup_{n \in \{n. 0 < n \wedge n \leq \text{card } R\}} R^{\wedge n})$
 ⟨proof⟩

lemma *finite-relcomp* [*simp, intro*]:
 assumes *finite R and finite S*
 shows *finite (R O S)*
 ⟨proof⟩

lemma *finite-relpow* [*simp, intro*]:
 fixes $R :: ('a \times 'a) \text{ set}$
 assumes *finite R*
 shows $n > 0 \implies \text{finite } (R^{\wedge n})$
 ⟨proof⟩

lemma *single-valued-relpow*:
 fixes $R :: ('a \times 'a) \text{ set}$
 shows *single-valued R* \implies *single-valued (R[^] n)*
 ⟨proof⟩

20.5 Bounded transitive closure

definition *ntrancl* :: $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$
 where $\text{ntrancl } n R = (\bigcup_{i \in \{i. 0 < i \wedge i \leq \text{Suc } n\}} R^{\wedge i})$

lemma *ntrancl-Zero* [*simp, code*]: $\text{ntrancl } 0 R = R$
 ⟨proof⟩

lemma *ntrancl-Suc* [*simp*]: $\text{ntrancl } (\text{Suc } n) R = \text{ntrancl } n R \text{ O } (\text{Id} \cup R)$
 ⟨proof⟩

lemma [*code*]: $\text{ntrancl } (\text{Suc } n) r = (\text{let } r' = \text{ntrancl } n r \text{ in } r' \cup r' \text{ O } r)$
 ⟨proof⟩

lemma *finite-trancl-ntranl*: $\text{finite } R \implies \text{trancl } R = \text{ntrancl } (\text{card } R - 1) R$
 ⟨proof⟩

20.6 Acyclic relations

definition *acyclic* :: $('a \times 'a) \text{ set} \Rightarrow \text{bool}$
 where $\text{acyclic } r \longleftrightarrow (\forall x. (x, x) \notin r^+)$

abbreviation *acyclicP* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
 where $\text{acyclicP } r \equiv \text{acyclic } \{(x, y). r \ x \ y\}$

lemma *acyclic-irrefl* [*code*]: $\text{acyclic } r \longleftrightarrow \text{irrefl } (r^+)$
 ⟨proof⟩

lemma *acyclicI*: $\forall x. (x, x) \notin r^+ \implies \text{acyclic } r$
 ⟨proof⟩

lemma (*in order*) *acyclicI-order*:
 assumes *: $\bigwedge a b. (a, b) \in r \implies f b < f a$
 shows *acyclic* *r*
 ⟨proof⟩

lemma *acyclic-insert [iff]*: $\text{acyclic } (\text{insert } (y, x) r) \longleftrightarrow \text{acyclic } r \wedge (x, y) \notin r^*$
 ⟨proof⟩

lemma *acyclic-converse [iff]*: $\text{acyclic } (r^{-1}) \longleftrightarrow \text{acyclic } r$
 ⟨proof⟩

lemmas *acyclicP-converse [iff]* = *acyclic-converse [to-pred]*

lemma *acyclic-impl-antisym-rtrancl*: $\text{acyclic } r \implies \text{antisym } (r^*)$
 ⟨proof⟩

lemma *acyclic-subset*: $\text{acyclic } s \implies r \subseteq s \implies \text{acyclic } r$
 ⟨proof⟩

20.7 Setup of transitivity reasoner

⟨ML⟩

Optional methods.

⟨ML⟩

end

21 Well-founded Recursion

theory *Wellfounded*
 imports *Transitive-Closure*
 begin

21.1 Basic Definitions

definition *wf* :: $('a \times 'a) \text{ set} \Rightarrow \text{bool}$
 where $\text{wf } r \longleftrightarrow (\forall P. (\forall x. (\forall y. (y, x) \in r \longrightarrow P y) \longrightarrow P x) \longrightarrow (\forall x. P x))$

definition *wfP* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
 where $\text{wfP } r \longleftrightarrow \text{wf } \{(x, y). r x y\}$

lemma *wfP-wf-eq [pred-set-conv]*: $\text{wfP } (\lambda x y. (x, y) \in r) = \text{wf } r$

<proof>

lemma *wfUNIVI*: $(\bigwedge P x. (\forall x. (\forall y. (y, x) \in r \longrightarrow P y) \longrightarrow P x) \implies P x) \implies$
wf r
<proof>

lemmas *wfPUNIVI* = *wfUNIVI* [*to-pred*]

Restriction to domain *A* and range *B*. If *r* is well-founded over their intersection, then *wf r*.

lemma *wfI*:

assumes $r \subseteq A \times B$

and $\bigwedge x P. [\forall x. (\forall y. (y, x) \in r \longrightarrow P y) \longrightarrow P x; x \in A; x \in B] \implies P x$

shows *wf r*

<proof>

lemma *wf-induct*:

assumes *wf r*

and $\bigwedge x. \forall y. (y, x) \in r \longrightarrow P y \implies P x$

shows $P a$

<proof>

lemmas *wfP-induct* = *wf-induct* [*to-pred*]

lemmas *wf-induct-rule* = *wf-induct* [*rule-format*, *consumes 1*, *case-names less*,
induct set: wf]

lemmas *wfP-induct-rule* = *wf-induct-rule* [*to-pred*, *induct set: wfP*]

lemma *wf-not-sym*: $wf r \implies (a, x) \in r \implies (x, a) \notin r$

<proof>

lemma *wf-asym*:

assumes $wf r (a, x) \in r$

obtains $(x, a) \notin r$

<proof>

lemma *wf-not-refl* [*simp*]: $wf r \implies (a, a) \notin r$

<proof>

lemma *wf-irrefl*:

assumes *wf r*

obtains $(a, a) \notin r$

<proof>

lemma *wf-wellorderI*:

assumes $wf: wf \{ (x::'a::ord, y). x < y \}$

and *lin*: *OFCLASS*('a::ord, *linorder-class*)

shows *OFCLASS*('a::ord, *wellorder-class*)

$\langle proof \rangle$

lemma (in *wellorder*) *wf*: $wf \{ (x, y). x < y \}$
 $\langle proof \rangle$

21.2 Basic Results

Point-free characterization of well-foundedness

lemma *wfE-pf*:
assumes *wf*: $wf R$
and *a*: $A \subseteq R \text{ “ } A$
shows $A = \{\}$
 $\langle proof \rangle$

lemma *wfI-pf*:
assumes *a*: $\bigwedge A. A \subseteq R \text{ “ } A \implies A = \{\}$
shows $wf R$
 $\langle proof \rangle$

21.2.1 Minimal-element characterization of well-foundedness

lemma *wfE-min*:
assumes *wf*: $wf R$ **and** *Q*: $x \in Q$
obtains *z* **where** $z \in Q \bigwedge y. (y, z) \in R \implies y \notin Q$
 $\langle proof \rangle$

lemma *wfE-min'*:
 $wf R \implies Q \neq \{\} \implies (\bigwedge z. z \in Q \implies (\bigwedge y. (y, z) \in R \implies y \notin Q) \implies thesis)$
 $\implies thesis$
 $\langle proof \rangle$

lemma *wfI-min*:
assumes *a*: $\bigwedge x Q. x \in Q \implies \exists z \in Q. \forall y. (y, z) \in R \longrightarrow y \notin Q$
shows $wf R$
 $\langle proof \rangle$

lemma *wf-eq-minimal*: $wf r \longleftrightarrow (\forall Q x. x \in Q \longrightarrow (\exists z \in Q. \forall y. (y, z) \in r \longrightarrow y \notin Q))$
 $\langle proof \rangle$

lemmas *wfP-eq-minimal* = *wf-eq-minimal* [to-pred]

21.2.2 Well-foundedness of transitive closure

lemma *wf-trancl*:
assumes *wf* *r*
shows $wf (r^+)$
 $\langle proof \rangle$

lemmas $wfP\text{-}trancl = wf\text{-}trancl [to\text{-}pred]$

lemma $wf\text{-}converse\text{-}trancl$: $wf (r^{-1}) \implies wf ((r^+)^{-1})$
 $\langle proof \rangle$

Well-foundedness of subsets

lemma $wf\text{-}subset$: $wf r \implies p \subseteq r \implies wf p$
 $\langle proof \rangle$

lemmas $wfP\text{-}subset = wf\text{-}subset [to\text{-}pred]$

Well-foundedness of the empty relation

lemma $wf\text{-}empty [iff]$: $wf \{\}$
 $\langle proof \rangle$

lemma $wfP\text{-}empty [iff]$: $wfP (\lambda x y. False)$
 $\langle proof \rangle$

lemma $wf\text{-}Int1$: $wf r \implies wf (r \cap r')$
 $\langle proof \rangle$

lemma $wf\text{-}Int2$: $wf r \implies wf (r' \cap r)$
 $\langle proof \rangle$

Exponentiation.

lemma $wf\text{-}exp$:
 assumes $wf (R \wedge^n)$
 shows $wf R$
 $\langle proof \rangle$

Well-foundedness of *insert*.

lemma $wf\text{-}insert [iff]$: $wf (insert (y, x) r) \longleftrightarrow wf r \wedge (x, y) \notin r^*$
 $\langle proof \rangle$

21.2.3 Well-foundedness of image

lemma $wf\text{-}map\text{-}prod\text{-}image$: $wf r \implies inj f \implies wf (map\text{-}prod f f \text{ ` } r)$
 $\langle proof \rangle$

21.3 Well-Foundedness Results for Unions

lemma $wf\text{-}union\text{-}compatible$:
 assumes $wf R \text{ } wf S$
 assumes $R \cap S \subseteq R$
 shows $wf (R \cup S)$
 $\langle proof \rangle$

Well-foundedness of indexed union with disjoint domains and ranges.

lemma $wf\text{-}UN$:

assumes $\forall i \in I. \text{wf } (r\ i)$
and $\forall i \in I. \forall j \in I. r\ i \neq r\ j \longrightarrow \text{Domain } (r\ i) \cap \text{Range } (r\ j) = \{\}$
shows $\text{wf } (\bigcup_{i \in I} r\ i)$
 $\langle \text{proof} \rangle$

lemma *wfP-SUP*:

$\forall i. \text{wfP } (r\ i) \implies \forall i\ j. r\ i \neq r\ j \longrightarrow \inf (\text{Domainp } (r\ i)) (\text{Rangep } (r\ j)) = \text{bot}$
 \implies
 $\text{wfP } (\text{SUPRENUM UNIV } r)$
 $\langle \text{proof} \rangle$

lemma *wf-Union*:

assumes $\forall r \in R. \text{wf } r$
and $\forall r \in R. \forall s \in R. r \neq s \longrightarrow \text{Domain } r \cap \text{Range } s = \{\}$
shows $\text{wf } (\bigcup R)$
 $\langle \text{proof} \rangle$

Intuition: We find an $R \cup S$ -min element of a nonempty subset A by case distinction.

1. There is a step $a -R\rightarrow b$ with $a, b \in A$. Pick an R -min element z of the (nonempty) set $\{a \in A \mid \exists b \in A. a -R\rightarrow b\}$. By definition, there is $z' \in A$ s.t. $z -R\rightarrow z'$. Because z is R -min in the subset, z' must be R -min in A . Because z' has an R -predecessor, it cannot have an S -successor and is thus S -min in A as well.
2. There is no such step. Pick an S -min element of A . In this case it must be an R -min element of A as well.

lemma *wf-Un*: $\text{wf } r \implies \text{wf } s \implies \text{Domain } r \cap \text{Range } s = \{\} \implies \text{wf } (r \cup s)$
 $\langle \text{proof} \rangle$

lemma *wf-union-merge*: $\text{wf } (R \cup S) = \text{wf } (R \circ R \cup S \circ R \cup S)$
 (is $\text{wf } ?A = \text{wf } ?B$)
 $\langle \text{proof} \rangle$

lemma *wf-comp-self*: $\text{wf } R \longleftrightarrow \text{wf } (R \circ R)$ — special case
 $\langle \text{proof} \rangle$

21.4 Well-Foundedness of Composition

Bachmair and Dershowitz 1986, Lemma 2. [Provided by Tjark Weber]

lemma *qc-wf-relto-iff*:

assumes $R \circ S \subseteq (R \cup S)^* \circ R$ — R quasi-commutes over S
shows $\text{wf } (S^* \circ R \circ S^*) \longleftrightarrow \text{wf } R$
 (is $\text{wf } ?S \longleftrightarrow -$)
 $\langle \text{proof} \rangle$

corollary *wf-relcomp-compatible*:
 assumes *wf R* and $R \circ S \subseteq S \circ R$
 shows *wf (S O R)*
 $\langle proof \rangle$

21.5 Acyclic relations

lemma *wf-acyclic*: $wf\ r \implies acyclic\ r$
 $\langle proof \rangle$

lemmas *wfP-acyclicP* = *wf-acyclic* [to-pred]

21.5.1 Wellfoundedness of finite acyclic relations

lemma *finite-acyclic-wf* [rule-format]: $finite\ r \implies acyclic\ r \longrightarrow wf\ r$
 $\langle proof \rangle$

lemma *finite-acyclic-wf-converse*: $finite\ r \implies acyclic\ r \implies wf\ (r^{-1})$
 $\langle proof \rangle$

Observe that the converse of an irreflexive, transitive, and finite relation is again well-founded. Thus, we may employ it for well-founded induction.

lemma *wf-converse*:
 assumes *irrefl r* and *trans r* and *finite r*
 shows *wf (r⁻¹)*
 $\langle proof \rangle$

lemma *wf-iff-acyclic-if-finite*: $finite\ r \implies wf\ r = acyclic\ r$
 $\langle proof \rangle$

21.6 nat is well-founded

lemma *less-nat-rel*: $op\ < = (\lambda m\ n. n = Suc\ m)^{++}$
 $\langle proof \rangle$

definition *pred-nat* :: $(nat \times nat)\ set$
 where *pred-nat* = $\{(m, n). n = Suc\ m\}$

definition *less-than* :: $(nat \times nat)\ set$
 where *less-than* = *pred-nat*⁺

lemma *less-eq*: $(m, n) \in pred\text{-}nat^+ \longleftrightarrow m < n$
 $\langle proof \rangle$

lemma *pred-nat-trancl-eq-le*: $(m, n) \in pred\text{-}nat^* \longleftrightarrow m \leq n$
 $\langle proof \rangle$

lemma *wf-pred-nat*: *wf pred-nat*
 $\langle proof \rangle$

lemma *wf-less-than* [iff]: *wf less-than*
 ⟨proof⟩

lemma *trans-less-than* [iff]: *trans less-than*
 ⟨proof⟩

lemma *less-than-iff* [iff]: $((x, y) \in \text{less-than}) = (x < y)$
 ⟨proof⟩

lemma *wf-less*: *wf* $\{(x, y :: \text{nat}). x < y\}$
 ⟨proof⟩

21.7 Accessible Part

Inductive definition of the accessible part *acc r* of a relation; see also [3].

inductive-set *acc* :: $('a \times 'a) \text{ set} \Rightarrow 'a \text{ set}$ **for** *r* :: $('a \times 'a) \text{ set}$
where *accI*: $(\bigwedge y. (y, x) \in r \Rightarrow y \in \text{acc } r) \Rightarrow x \in \text{acc } r$

abbreviation *termip* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$
where *termip* *r* $\equiv \text{accp } (r^{-1-1})$

abbreviation *termi* :: $('a \times 'a) \text{ set} \Rightarrow 'a \text{ set}$
where *termi* *r* $\equiv \text{acc } (r^{-1})$

lemmas *accpI* = *accp.accI*

lemma *accp-eq-acc* [code]: *accp r* = $(\lambda x. x \in \text{Wellfounded}.\text{acc } \{(x, y). r \ x \ y\})$
 ⟨proof⟩

Induction rules

theorem *accp-induct*:
assumes *major*: *accp r a*
assumes *hyp*: $\bigwedge x. \text{accp } r \ x \Rightarrow \forall y. r \ y \ x \longrightarrow P \ y \Rightarrow P \ x$
shows *P a*
 ⟨proof⟩

lemmas *accp-induct-rule* = *accp-induct* [rule-format, induct set: *accp*]

theorem *accp-downward*: *accp r b* $\Rightarrow r \ a \ b \Rightarrow \text{accp } r \ a$
 ⟨proof⟩

lemma *not-accp-down*:
assumes *na*: $\neg \text{accp } R \ x$
obtains *z* **where** *R z x* **and** $\neg \text{accp } R \ z$
 ⟨proof⟩

lemma *accp-downwards-aux*: $r^{**} \ b \ a \Rightarrow \text{accp } r \ a \longrightarrow \text{accp } r \ b$
 ⟨proof⟩

theorem *accp-downwards*: $\text{accp } r \ a \Longrightarrow r^{**} \ b \ a \Longrightarrow \text{accp } r \ b$
 $\langle \text{proof} \rangle$

theorem *accp-wfPI*: $\forall x. \text{accp } r \ x \Longrightarrow \text{wfP } r$
 $\langle \text{proof} \rangle$

theorem *accp-wfPD*: $\text{wfP } r \Longrightarrow \text{accp } r \ x$
 $\langle \text{proof} \rangle$

theorem *wfP-accp-iff*: $\text{wfP } r = (\forall x. \text{accp } r \ x)$
 $\langle \text{proof} \rangle$

Smaller relations have bigger accessible parts:

lemma *accp-subset*:
assumes $R1 \leq R2$
shows $\text{accp } R2 \leq \text{accp } R1$
 $\langle \text{proof} \rangle$

This is a generalized induction theorem that works on subsets of the accessible part.

lemma *accp-subset-induct*:
assumes *subset*: $D \leq \text{accp } R$
and *dcl*: $\bigwedge x \ z. D \ x \Longrightarrow R \ z \ x \Longrightarrow D \ z$
and $D \ x$
and *istep*: $\bigwedge x. D \ x \Longrightarrow (\bigwedge z. R \ z \ x \Longrightarrow P \ z) \Longrightarrow P \ x$
shows $P \ x$
 $\langle \text{proof} \rangle$

Set versions of the above theorems

lemmas *acc-induct* = *accp-induct* [to-set]
lemmas *acc-induct-rule* = *acc-induct* [rule-format, induct set: acc]
lemmas *acc-downward* = *accp-downward* [to-set]
lemmas *not-acc-down* = *not-accp-down* [to-set]
lemmas *acc-downwards-aux* = *accp-downwards-aux* [to-set]
lemmas *acc-downwards* = *accp-downwards* [to-set]
lemmas *acc-wfI* = *accp-wfPI* [to-set]
lemmas *acc-wfD* = *accp-wfPD* [to-set]
lemmas *wf-acc-iff* = *wfP-accp-iff* [to-set]
lemmas *acc-subset* = *accp-subset* [to-set]
lemmas *acc-subset-induct* = *accp-subset-induct* [to-set]

21.8 Tools for building wellfounded relations

Inverse Image

lemma *wf-inv-image* [simp,intro!]: $\text{wf } r \Longrightarrow \text{wf } (\text{inv-image } r \ f)$
for $f :: 'a \Rightarrow 'b$
 $\langle \text{proof} \rangle$

Measure functions into *nat*

definition *measure* :: ('a \Rightarrow nat) \Rightarrow ('a \times 'a) set
where *measure* = *inv-image less-than*

lemma *in-measure*[*simp*, *code-unfold*]: $(x, y) \in \text{measure } f \iff f x < f y$
 $\langle \text{proof} \rangle$

lemma *wf-measure* [*iff*]: *wf* (*measure* *f*)
 $\langle \text{proof} \rangle$

lemma *wf-if-measure*: $(\bigwedge x. P x \implies f(g x) < f x) \implies \text{wf } \{(y, x). P x \wedge y = g x\}$
for *f* :: 'a \Rightarrow nat
 $\langle \text{proof} \rangle$

21.8.1 Lexicographic combinations

definition *lex-prod* :: ('a \times 'a) set \Rightarrow ('b \times 'b) set \Rightarrow (('a \times 'b) \times ('a \times 'b)) set
 (**infixr** <*lex*> 80)
where *ra* <*lex*> *rb* = $\{((a, b), (a', b')). (a, a') \in \text{ra} \vee a = a' \wedge (b, b') \in \text{rb}\}$

lemma *wf-lex-prod* [*intro!*]: *wf* *ra* \implies *wf* *rb* \implies *wf* (*ra* <*lex*> *rb*)
 $\langle \text{proof} \rangle$

lemma *in-lex-prod*[*simp*]: $((a, b), (a', b')) \in r <*lex*> s \iff (a, a') \in r \vee a = a' \wedge (b, b') \in s$
 $\langle \text{proof} \rangle$

<*lex*> preserves transitivity

lemma *trans-lex-prod* [*intro!*]: *trans* *R1* \implies *trans* *R2* \implies *trans* (*R1* <*lex*> *R2*)
 $\langle \text{proof} \rangle$

lexicographic combinations with measure functions

definition *mlex-prod* :: ('a \Rightarrow nat) \Rightarrow ('a \times 'a) set \Rightarrow ('a \times 'a) set (**infixr** <*mlex*> 80)
where *f* <*mlex*> *R* = *inv-image* (*less-than* <*lex*> *R*) ($\lambda x. (f x, x)$)

lemma *wf-mlex*: *wf* *R* \implies *wf* (*f* <*mlex*> *R*)
 $\langle \text{proof} \rangle$

lemma *mlex-less*: $f x < f y \implies (x, y) \in f <*mlex*> R$
 $\langle \text{proof} \rangle$

lemma *mlex-leq*: $f x \leq f y \implies (x, y) \in R \implies (x, y) \in f <*mlex*> R$
 $\langle \text{proof} \rangle$

Proper subset relation on finite sets.

definition *finite-psubset* :: ('a set \times 'a set) set

where $\text{finite-psubset} = \{(A, B). A \subset B \wedge \text{finite } B\}$

lemma $\text{wf-finite-psubset}[\text{simp}]$: $\text{wf } \text{finite-psubset}$
 $\langle \text{proof} \rangle$

lemma $\text{trans-finite-psubset}$: $\text{trans } \text{finite-psubset}$
 $\langle \text{proof} \rangle$

lemma $\text{in-finite-psubset}[\text{simp}]$: $(A, B) \in \text{finite-psubset} \longleftrightarrow A \subset B \wedge \text{finite } B$
 $\langle \text{proof} \rangle$

max- and min-extension of order to finite sets

inductive-set $\text{max-ext} :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ set} \times 'a \text{ set}) \text{ set}$
for $R :: ('a \times 'a) \text{ set}$
where $\text{max-extI}[\text{intro}]$:
 $\text{finite } X \Longrightarrow \text{finite } Y \Longrightarrow Y \neq \{\} \Longrightarrow (\bigwedge x. x \in X \Longrightarrow \exists y \in Y. (x, y) \in R)$
 $\Longrightarrow (X, Y) \in \text{max-ext } R$

lemma max-ext-wf :
assumes $\text{wf}: \text{wf } r$
shows $\text{wf } (\text{max-ext } r)$
 $\langle \text{proof} \rangle$

lemma max-ext-additive : $(A, B) \in \text{max-ext } R \Longrightarrow (C, D) \in \text{max-ext } R \Longrightarrow (A \cup C, B \cup D) \in \text{max-ext } R$
 $\langle \text{proof} \rangle$

definition $\text{min-ext} :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ set} \times 'a \text{ set}) \text{ set}$
where $\text{min-ext } r = \{(X, Y) \mid X \neq \{\} \wedge (\forall y \in Y. (\exists x \in X. (x, y) \in r))\}$

lemma min-ext-wf :
assumes $\text{wf } r$
shows $\text{wf } (\text{min-ext } r)$
 $\langle \text{proof} \rangle$

21.8.2 Bounded increase must terminate

lemma $\text{wf-bounded-measure}$:
fixes $\text{ub} :: 'a \Rightarrow \text{nat}$
and $f :: 'a \Rightarrow \text{nat}$
assumes $\bigwedge a b. (b, a) \in r \Longrightarrow \text{ub } b \leq \text{ub } a \wedge \text{ub } a \geq f b \wedge f b > f a$
shows $\text{wf } r$
 $\langle \text{proof} \rangle$

lemma wf-bounded-set :
fixes $\text{ub} :: 'a \Rightarrow 'b \text{ set}$
and $f :: 'a \Rightarrow 'b \text{ set}$
assumes $\bigwedge a b. (b, a) \in r \Longrightarrow \text{finite } (\text{ub } a) \wedge \text{ub } b \subseteq \text{ub } a \wedge \text{ub } a \supseteq f b \wedge f b \supset$

```

f a
  shows wf r
  ⟨proof⟩

lemma finite-subset-wf:
  assumes finite A
  shows wf {(X, Y). X ⊂ Y ∧ Y ⊆ A}
  ⟨proof⟩

hide-const (open) acc accp

end

```

22 Well-Founded Recursion Combinator

```

theory Wfrec
  imports Wellfounded
begin

inductive wfrec-rel :: ('a × 'a) set ⇒ (('a ⇒ 'b) ⇒ ('a ⇒ 'b)) ⇒ 'a ⇒ 'b ⇒ bool
for R F
  where wfrecI: (⋀z. (z, x) ∈ R ⇒ wfrec-rel R F z (g z)) ⇒ wfrec-rel R F x
  (F g x)

definition cut :: ('a ⇒ 'b) ⇒ ('a × 'a) set ⇒ 'a ⇒ 'a ⇒ 'b
  where cut f R x = (λy. if (y, x) ∈ R then f y else undefined)

definition adm-wf :: ('a × 'a) set ⇒ (('a ⇒ 'b) ⇒ ('a ⇒ 'b)) ⇒ bool
  where adm-wf R F ⇔ (∀f g x. (∀z. (z, x) ∈ R ⟶ f z = g z) ⟶ F f x = F
  g x)

definition wfrec :: ('a × 'a) set ⇒ (('a ⇒ 'b) ⇒ ('a ⇒ 'b)) ⇒ ('a ⇒ 'b)
  where wfrec R F = (λx. THE y. wfrec-rel R (λf x. F (cut f R x) x) x y)

lemma cuts-eq: (cut f R x = cut g R x) ⇔ (∀y. (y, x) ∈ R ⟶ f y = g y)
  ⟨proof⟩

lemma cut-apply: (x, a) ∈ R ⇒ cut f R a x = f x
  ⟨proof⟩

Inductive characterization of wfrec combinator; for details see: John Harri-
son, “Inductive definitions: automation and application”.

lemma theI-unique: ∃!x. P x ⇒ P x ⇔ x = The P
  ⟨proof⟩

lemma wfrec-unique:
  assumes adm-wf R F wf R
  shows ∃!y. wfrec-rel R F x y
  ⟨proof⟩

```

lemma *adm-lemma*: $\text{adm-wf } R \ (\lambda f \ x. \ F \ (\text{cut } f \ R \ x) \ x)$
 $\langle \text{proof} \rangle$

lemma *wfrec*: $\text{wf } R \implies \text{wfrec } R \ F \ a = F \ (\text{cut } (\text{wfrec } R \ F) \ R \ a) \ a$
 $\langle \text{proof} \rangle$

This form avoids giant explosions in proofs. NOTE USE OF \equiv .

lemma *def-wfrec*: $f \equiv \text{wfrec } R \ F \implies \text{wf } R \implies f \ a = F \ (\text{cut } f \ R \ a) \ a$
 $\langle \text{proof} \rangle$

22.0.1 Well-founded recursion via genuine fixpoints

lemma *wfrec-fixpoint*:
assumes *wf*: $\text{wf } R$
and *adm*: $\text{adm-wf } R \ F$
shows $\text{wfrec } R \ F = F \ (\text{wfrec } R \ F)$
 $\langle \text{proof} \rangle$

22.1 Wellfoundedness of *same-fst*

definition *same-fst* :: $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow ('b \times 'b) \text{ set}) \Rightarrow (('a \times 'b) \times ('a \times 'b)) \text{ set}$
where $\text{same-fst } P \ R = \{((x', y'), (x, y)) \mid x' = x \wedge P \ x \wedge (y', y) \in R \ x\}$
 — For *wfrec* declarations where the first n parameters stay unchanged in the recursive call.

lemma *same-fstI* [*intro!*]: $P \ x \implies (y', y) \in R \ x \implies ((x, y'), (x, y)) \in \text{same-fst } P \ R$
 $\langle \text{proof} \rangle$

lemma *wf-same-fst*:
assumes *prem*: $\bigwedge x. P \ x \implies \text{wf } (R \ x)$
shows $\text{wf } (\text{same-fst } P \ R)$
 $\langle \text{proof} \rangle$

end

23 Orders as Relations

theory *Order-Relation*
imports *Wfrec*
begin

23.1 Orders on a set

definition *preorder-on* $A \ r \equiv \text{refl-on } A \ r \wedge \text{trans } r$

definition *partial-order-on* $A \ r \equiv \text{preorder-on } A \ r \wedge \text{antisym } r$

definition *linear-order-on* $A\ r \equiv \text{partial-order-on } A\ r \wedge \text{total-on } A\ r$

definition *strict-linear-order-on* $A\ r \equiv \text{trans } r \wedge \text{irrefl } r \wedge \text{total-on } A\ r$

definition *well-order-on* $A\ r \equiv \text{linear-order-on } A\ r \wedge \text{wf}(r - \text{Id})$

lemmas *order-on-defs* =
preorder-on-def partial-order-on-def linear-order-on-def
strict-linear-order-on-def well-order-on-def

lemma *preorder-on-empty[simp]*: *preorder-on* $\{\} \{\}$
 $\langle \text{proof} \rangle$

lemma *partial-order-on-empty[simp]*: *partial-order-on* $\{\} \{\}$
 $\langle \text{proof} \rangle$

lemma *linear-order-on-empty[simp]*: *linear-order-on* $\{\} \{\}$
 $\langle \text{proof} \rangle$

lemma *well-order-on-empty[simp]*: *well-order-on* $\{\} \{\}$
 $\langle \text{proof} \rangle$

lemma *preorder-on-converse[simp]*: *preorder-on* $A\ (r^{-1}) = \text{preorder-on } A\ r$
 $\langle \text{proof} \rangle$

lemma *partial-order-on-converse[simp]*: *partial-order-on* $A\ (r^{-1}) = \text{partial-order-on } A\ r$
 $\langle \text{proof} \rangle$

lemma *linear-order-on-converse[simp]*: *linear-order-on* $A\ (r^{-1}) = \text{linear-order-on } A\ r$
 $\langle \text{proof} \rangle$

lemma *strict-linear-order-on-diff-Id*: *linear-order-on* $A\ r \implies \text{strict-linear-order-on } A\ (r - \text{Id})$
 $\langle \text{proof} \rangle$

lemma *linear-order-on-singleton [simp]*: *linear-order-on* $\{x\} \{(x, x)\}$
 $\langle \text{proof} \rangle$

lemma *linear-order-on-acyclic*:
assumes *linear-order-on* $A\ r$
shows *acyclic* $(r - \text{Id})$
 $\langle \text{proof} \rangle$

lemma *linear-order-on-well-order-on*:

assumes *finite r*

shows $\text{linear-order-on } A \ r \longleftrightarrow \text{well-order-on } A \ r$

<proof>

23.2 Orders on the field

abbreviation $\text{Refl } r \equiv \text{refl-on } (\text{Field } r) \ r$

abbreviation $\text{Preorder } r \equiv \text{preorder-on } (\text{Field } r) \ r$

abbreviation $\text{Partial-order } r \equiv \text{partial-order-on } (\text{Field } r) \ r$

abbreviation $\text{Total } r \equiv \text{total-on } (\text{Field } r) \ r$

abbreviation $\text{Linear-order } r \equiv \text{linear-order-on } (\text{Field } r) \ r$

abbreviation $\text{Well-order } r \equiv \text{well-order-on } (\text{Field } r) \ r$

lemma *subset-Image-Image-iff*:

$\text{Preorder } r \Longrightarrow A \subseteq \text{Field } r \Longrightarrow B \subseteq \text{Field } r \Longrightarrow$

$r \text{ “ } A \subseteq r \text{ “ } B \longleftrightarrow (\forall a \in A. \exists b \in B. (b, a) \in r)$

<proof>

lemma *subset-Image1-Image1-iff*:

$\text{Preorder } r \Longrightarrow a \in \text{Field } r \Longrightarrow b \in \text{Field } r \Longrightarrow r \text{ “ } \{a\} \subseteq r \text{ “ } \{b\} \longleftrightarrow (b, a)$

$\in r$

<proof>

lemma *Refl-antisym-eq-Image1-Image1-iff*:

assumes $\text{Refl } r$

and *as*: $\text{antisym } r$

and *abf*: $a \in \text{Field } r \ b \in \text{Field } r$

shows $r \text{ “ } \{a\} = r \text{ “ } \{b\} \longleftrightarrow a = b$

(*is ?lhs \longleftrightarrow ?rhs*)

<proof>

lemma *Partial-order-eq-Image1-Image1-iff*:

$\text{Partial-order } r \Longrightarrow a \in \text{Field } r \Longrightarrow b \in \text{Field } r \Longrightarrow r \text{ “ } \{a\} = r \text{ “ } \{b\} \longleftrightarrow a$

$= b$

<proof>

lemma *Total-Id-Field*:

assumes $\text{Total } r$

and *not-Id*: $\neg r \subseteq \text{Id}$

shows $\text{Field } r = \text{Field } (r - \text{Id})$

<proof>

23.3 Orders on a type

abbreviation *strict-linear-order* \equiv *strict-linear-order-on UNIV*

abbreviation *linear-order* \equiv *linear-order-on UNIV*

abbreviation *well-order* \equiv *well-order-on UNIV*

23.4 Order-like relations

In this subsection, we develop basic concepts and results pertaining to order-like relations, i.e., to reflexive and/or transitive and/or symmetric and/or total relations. We also further define upper and lower bounds operators.

23.4.1 Auxiliaries

lemma *refl-on-domain*: $\text{refl-on } A \ r \implies (a, b) \in r \implies a \in A \wedge b \in A$
 $\langle \text{proof} \rangle$

corollary *well-order-on-domain*: $\text{well-order-on } A \ r \implies (a, b) \in r \implies a \in A \wedge b \in A$
 $\langle \text{proof} \rangle$

lemma *well-order-on-Field*: $\text{well-order-on } A \ r \implies A = \text{Field } r$
 $\langle \text{proof} \rangle$

lemma *well-order-on-Well-order*: $\text{well-order-on } A \ r \implies A = \text{Field } r \wedge \text{Well-order } r$
 $\langle \text{proof} \rangle$

lemma *Total-subset-Id*:
assumes *Total* r
and $r \subseteq \text{Id}$
shows $r = \{\}$ \vee $(\exists a. r = \{(a, a)\})$
 $\langle \text{proof} \rangle$

lemma *Linear-order-in-diff-Id*:
assumes *Linear-order* r
and $a \in \text{Field } r$
and $b \in \text{Field } r$
shows $(a, b) \in r \longleftrightarrow (b, a) \notin r - \text{Id}$
 $\langle \text{proof} \rangle$

23.4.2 The upper and lower bounds operators

Here we define upper (“above”) and lower (“below”) bounds operators. We think of r as a *non-strict* relation. The suffix S at the names of some operators indicates that the bounds are strict – e.g., *underS* a is the set of all strict lower bounds of a (w.r.t. r). Capitalization of the first letter in

the name reminds that the operator acts on sets, rather than on individual elements.

definition $under :: 'a\ rel \Rightarrow 'a \Rightarrow 'a\ set$
where $under\ r\ a \equiv \{b. (b, a) \in r\}$

definition $underS :: 'a\ rel \Rightarrow 'a \Rightarrow 'a\ set$
where $underS\ r\ a \equiv \{b. b \neq a \wedge (b, a) \in r\}$

definition $Under :: 'a\ rel \Rightarrow 'a\ set \Rightarrow 'a\ set$
where $Under\ r\ A \equiv \{b \in Field\ r. \forall a \in A. (b, a) \in r\}$

definition $UnderS :: 'a\ rel \Rightarrow 'a\ set \Rightarrow 'a\ set$
where $UnderS\ r\ A \equiv \{b \in Field\ r. \forall a \in A. b \neq a \wedge (b, a) \in r\}$

definition $above :: 'a\ rel \Rightarrow 'a \Rightarrow 'a\ set$
where $above\ r\ a \equiv \{b. (a, b) \in r\}$

definition $aboveS :: 'a\ rel \Rightarrow 'a \Rightarrow 'a\ set$
where $aboveS\ r\ a \equiv \{b. b \neq a \wedge (a, b) \in r\}$

definition $Above :: 'a\ rel \Rightarrow 'a\ set \Rightarrow 'a\ set$
where $Above\ r\ A \equiv \{b \in Field\ r. \forall a \in A. (a, b) \in r\}$

definition $AboveS :: 'a\ rel \Rightarrow 'a\ set \Rightarrow 'a\ set$
where $AboveS\ r\ A \equiv \{b \in Field\ r. \forall a \in A. b \neq a \wedge (a, b) \in r\}$

definition $ofilter :: 'a\ rel \Rightarrow 'a\ set \Rightarrow bool$
where $ofilter\ r\ A \equiv A \subseteq Field\ r \wedge (\forall a \in A. under\ r\ a \subseteq A)$

Note: In the definitions of $Above[S]$ and $Under[S]$, we bounded comprehension by $Field\ r$ in order to properly cover the case of A being empty.

lemma $underS\text{-subset-under}: underS\ r\ a \subseteq under\ r\ a$
 $\langle proof \rangle$

lemma $underS\text{-notIn}: a \notin underS\ r\ a$
 $\langle proof \rangle$

lemma $Refl\text{-under-in}: Refl\ r \Longrightarrow a \in Field\ r \Longrightarrow a \in under\ r\ a$
 $\langle proof \rangle$

lemma $AboveS\text{-disjoint}: A \cap (AboveS\ r\ A) = \{\}$
 $\langle proof \rangle$

lemma $in\text{-AboveS-underS}: a \in Field\ r \Longrightarrow a \in AboveS\ r\ (underS\ r\ a)$
 $\langle proof \rangle$

lemma $Refl\text{-under-underS}: Refl\ r \Longrightarrow a \in Field\ r \Longrightarrow under\ r\ a = underS\ r\ a \cup \{a\}$
 $\langle proof \rangle$

lemma *underS-empty*: $a \notin \text{Field } r \implies \text{underS } r \ a = \{\}$
 ⟨proof⟩

lemma *under-Field*: $\text{under } r \ a \subseteq \text{Field } r$
 ⟨proof⟩

lemma *underS-Field*: $\text{underS } r \ a \subseteq \text{Field } r$
 ⟨proof⟩

lemma *underS-Field2*: $a \in \text{Field } r \implies \text{underS } r \ a \subset \text{Field } r$
 ⟨proof⟩

lemma *underS-Field3*: $\text{Field } r \neq \{\} \implies \text{underS } r \ a \subset \text{Field } r$
 ⟨proof⟩

lemma *AboveS-Field*: $\text{AboveS } r \ A \subseteq \text{Field } r$
 ⟨proof⟩

lemma *under-incr*:
 assumes *trans* *r*
 and $(a, b) \in r$
 shows $\text{under } r \ a \subseteq \text{under } r \ b$
 ⟨proof⟩

lemma *underS-incr*:
 assumes *trans* *r*
 and *antisym* *r*
 and *ab*: $(a, b) \in r$
 shows $\text{underS } r \ a \subseteq \text{underS } r \ b$
 ⟨proof⟩

lemma *underS-incl-iff*:
 assumes *LO*: *Linear-order* *r*
 and *INa*: $a \in \text{Field } r$
 and *INb*: $b \in \text{Field } r$
 shows $\text{underS } r \ a \subseteq \text{underS } r \ b \longleftrightarrow (a, b) \in r$
 (is *?lhs* \longleftrightarrow *?rhs*)
 ⟨proof⟩

lemma *finite-Linear-order-induct*[*consumes* *?*, *case-names* *step*]:
 assumes *Linear-order* *r*
 and $x \in \text{Field } r$
 and *finite* *r*
 and *step*: $\bigwedge x. x \in \text{Field } r \implies (\bigwedge y. y \in \text{aboveS } r \ x \implies P \ y) \implies P \ x$
 shows $P \ x$
 ⟨proof⟩

23.5 Variations on Well-Founded Relations

This subsection contains some variations of the results from *Wellfounded*:

- means for slightly more direct definitions by well-founded recursion;
- variations of well-founded induction;
- means for proving a linear order to be a well-order.

23.5.1 Characterizations of well-foundedness

A transitive relation is well-founded iff it is “locally” well-founded, i.e., iff its restriction to the lower bounds of of any element is well-founded.

lemma *trans-wf-iff*:

assumes *trans* *r*

shows $wf\ r \longleftrightarrow (\forall a. wf\ (r \cap (r^{-1} \cdot \{a\} \times r^{-1} \cdot \{a\})))$

<proof>

A transitive relation is well-founded if all initial segments are finite.

corollary *wf-finite-segments*:

assumes *irrefl* *r* **and** *trans* *r* **and** $\bigwedge x. finite\ \{y. (y, x) \in r\}$

shows $wf\ (r)$

<proof>

The next lemma is a variation of *wf-eq-minimal* from *Wellfounded*, allowing one to assume the set included in the field.

lemma *wf-eq-minimal2*: $wf\ r \longleftrightarrow (\forall A. A \subseteq Field\ r \wedge A \neq \{\} \longrightarrow (\exists a \in A. \forall a' \in A. (a', a) \notin r))$

<proof>

23.5.2 Characterizations of well-foundedness

The next lemma and its corollary enable one to prove that a linear order is a well-order in a way which is more standard than via well-foundedness of the strict version of the relation.

lemma *Linear-order-wf-diff-Id*:

assumes *Linear-order* *r*

shows $wf\ (r - Id) \longleftrightarrow (\forall A \subseteq Field\ r. A \neq \{\} \longrightarrow (\exists a \in A. \forall a' \in A. (a, a') \in r))$

<proof>

corollary *Linear-order-Well-order-iff*:

Linear-order *r* \implies

Well-order *r* $\longleftrightarrow (\forall A \subseteq Field\ r. A \neq \{\} \longrightarrow (\exists a \in A. \forall a' \in A. (a, a') \in r))$

<proof>

end

24 Hilbert’s Epsilon-Operator and the Axiom of Choice

```

theory Hilbert-Choice
  imports Wellfounded
  keywords specification :: thy-goal
begin

```

24.1 Hilbert’s epsilon

```

axiomatization Eps :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a
  where someI:  $P\ x \Longrightarrow P\ (Eps\ P)$ 

```

```

syntax (epsilon)
  -Eps :: pttrn  $\Rightarrow$  bool  $\Rightarrow$  'a  (( $\exists \epsilon$  -./ -) [0, 10] 10)
syntax (input)
  -Eps :: pttrn  $\Rightarrow$  bool  $\Rightarrow$  'a  (( $\exists @$  -./ -) [0, 10] 10)
syntax
  -Eps :: pttrn  $\Rightarrow$  bool  $\Rightarrow$  'a  (( $\exists SOME$  -./ -) [0, 10] 10)
translations
  SOME x. P  $\equiv$  CONST Eps ( $\lambda x.$  P)

```

$\langle ML \rangle$

```

definition inv-into :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('b  $\Rightarrow$  'a) where
  inv-into A f = ( $\lambda x.$  SOME y.  $y \in A \wedge f\ y = x$ )

```

```

lemma inv-into-def2: inv-into A f x = (SOME y.  $y \in A \wedge f\ y = x$ )
   $\langle proof \rangle$ 

```

```

abbreviation inv :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('b  $\Rightarrow$  'a) where
  inv  $\equiv$  inv-into UNIV

```

24.2 Hilbert’s Epsilon-operator

Easier to apply than *someI* if the witness comes from an existential formula.

```

lemma someI-ex [elim?]:  $\exists x. P\ x \Longrightarrow P\ (SOME\ x. P\ x)$ 
   $\langle proof \rangle$ 

```

Easier to apply than *someI* because the conclusion has only one occurrence of *P*.

```

lemma someI2:  $P\ a \Longrightarrow (\bigwedge x. P\ x \Longrightarrow Q\ x) \Longrightarrow Q\ (SOME\ x. P\ x)$ 
   $\langle proof \rangle$ 

```

Easier to apply than *someI2* if the witness comes from an existential formula.

```

lemma someI2-ex:  $\exists a. P\ a \Longrightarrow (\bigwedge x. P\ x \Longrightarrow Q\ x) \Longrightarrow Q\ (SOME\ x. P\ x)$ 
   $\langle proof \rangle$ 

```

lemma *someI2-bex*: $\exists a \in A. P\ a \implies (\bigwedge x. x \in A \wedge P\ x \implies Q\ x) \implies Q\ (SOME\ x. x \in A \wedge P\ x)$
 $\langle proof \rangle$

lemma *some-equality* [intro]: $P\ a \implies (\bigwedge x. P\ x \implies x = a) \implies (SOME\ x. P\ x) = a$
 $\langle proof \rangle$

lemma *some1-equality*: $\exists! x. P\ x \implies P\ a \implies (SOME\ x. P\ x) = a$
 $\langle proof \rangle$

lemma *some-eq-ex*: $P\ (SOME\ x. P\ x) \longleftrightarrow (\exists x. P\ x)$
 $\langle proof \rangle$

lemma *some-in-eq*: $(SOME\ x. x \in A) \in A \longleftrightarrow A \neq \{\}$
 $\langle proof \rangle$

lemma *some-eq-trivial* [simp]: $(SOME\ y. y = x) = x$
 $\langle proof \rangle$

lemma *some-sym-eq-trivial* [simp]: $(SOME\ y. x = y) = x$
 $\langle proof \rangle$

24.3 Axiom of Choice, Proved Using the Description Operator

lemma *choice*: $\forall x. \exists y. Q\ x\ y \implies \exists f. \forall x. Q\ x\ (f\ x)$
 $\langle proof \rangle$

lemma *bchoice*: $\forall x \in S. \exists y. Q\ x\ y \implies \exists f. \forall x \in S. Q\ x\ (f\ x)$
 $\langle proof \rangle$

lemma *choice-iff*: $(\forall x. \exists y. Q\ x\ y) \longleftrightarrow (\exists f. \forall x. Q\ x\ (f\ x))$
 $\langle proof \rangle$

lemma *choice-iff'*: $(\forall x. P\ x \longrightarrow (\exists y. Q\ x\ y)) \longleftrightarrow (\exists f. \forall x. P\ x \longrightarrow Q\ x\ (f\ x))$
 $\langle proof \rangle$

lemma *bchoice-iff*: $(\forall x \in S. \exists y. Q\ x\ y) \longleftrightarrow (\exists f. \forall x \in S. Q\ x\ (f\ x))$
 $\langle proof \rangle$

lemma *bchoice-iff'*: $(\forall x \in S. P\ x \longrightarrow (\exists y. Q\ x\ y)) \longleftrightarrow (\exists f. \forall x \in S. P\ x \longrightarrow Q\ x\ (f\ x))$
 $\langle proof \rangle$

lemma *dependent-nat-choice*:

assumes 1: $\exists x. P\ 0\ x$

and 2: $\bigwedge x\ n. P\ n\ x \implies \exists y. P\ (Suc\ n)\ y \wedge Q\ n\ x\ y$

shows $\exists f. \forall n. P\ n\ (f\ n) \wedge Q\ n\ (f\ n)\ (f\ (Suc\ n))$

$\langle proof \rangle$

24.4 Function Inverse

lemma *inv-def*: $inv\ f = (\lambda y. SOME\ x. f\ x = y)$
 $\langle proof \rangle$

lemma *inv-into-into*: $x \in f\ ^\circ A \implies inv\text{-}into\ A\ f\ x \in A$
 $\langle proof \rangle$

lemma *inv-identity* [simp]: $inv\ (\lambda a. a) = (\lambda a. a)$
 $\langle proof \rangle$

lemma *inv-id* [simp]: $inv\ id = id$
 $\langle proof \rangle$

lemma *inv-into-f-f* [simp]: $inj\text{-}on\ f\ A \implies x \in A \implies inv\text{-}into\ A\ f\ (f\ x) = x$
 $\langle proof \rangle$

lemma *inv-f-f*: $inj\ f \implies inv\ f\ (f\ x) = x$
 $\langle proof \rangle$

lemma *f-inv-into-f*: $y : f\ ^\circ A \implies f\ (inv\text{-}into\ A\ f\ y) = y$
 $\langle proof \rangle$

lemma *inv-into-f-eq*: $inj\text{-}on\ f\ A \implies x \in A \implies f\ x = y \implies inv\text{-}into\ A\ f\ y = x$
 $\langle proof \rangle$

lemma *inv-f-eq*: $inj\ f \implies f\ x = y \implies inv\ f\ y = x$
 $\langle proof \rangle$

lemma *inj-imp-inv-eq*: $inj\ f \implies \forall x. f\ (g\ x) = x \implies inv\ f = g$
 $\langle proof \rangle$

But is it useful?

lemma *inj-transfer*:
 assumes *inj*: $inj\ f$
 and *minor*: $\bigwedge y. y \in range\ f \implies P\ (inv\ f\ y)$
 shows $P\ x$
 $\langle proof \rangle$

lemma *inj-iff*: $inj\ f \longleftrightarrow inv\ f \circ f = id$
 $\langle proof \rangle$

lemma *inv-o-cancel*[simp]: $inj\ f \implies inv\ f \circ f = id$
 $\langle proof \rangle$

lemma *o-inv-o-cancel*[simp]: $inj\ f \implies g \circ inv\ f \circ f = g$
 $\langle proof \rangle$

lemma *inv-into-image-cancel[simp]*: $\text{inj-on } f \ A \implies S \subseteq A \implies \text{inv-into } A \ f \ ' \ f \ ' \ S = S$
 ⟨proof⟩

lemma *inj-imp-surj-inv*: $\text{inj } f \implies \text{surj } (\text{inv } f)$
 ⟨proof⟩

lemma *surj-f-inv-f*: $\text{surj } f \implies f \ (\text{inv } f \ y) = y$
 ⟨proof⟩

lemma *inv-into-injective*:
 assumes *eq*: $\text{inv-into } A \ f \ x = \text{inv-into } A \ f \ y$
 and $x: x \in f'A$
 and $y: y \in f'A$
 shows $x = y$
 ⟨proof⟩

lemma *inj-on-inv-into*: $B \subseteq f'A \implies \text{inj-on } (\text{inv-into } A \ f) \ B$
 ⟨proof⟩

lemma *bij-betw-inv-into*: $\text{bij-betw } f \ A \ B \implies \text{bij-betw } (\text{inv-into } A \ f) \ B \ A$
 ⟨proof⟩

lemma *surj-imp-inj-inv*: $\text{surj } f \implies \text{inj } (\text{inv } f)$
 ⟨proof⟩

lemma *surj-iff*: $\text{surj } f \longleftrightarrow f \circ \text{inv } f = \text{id}$
 ⟨proof⟩

lemma *surj-iff-all*: $\text{surj } f \longleftrightarrow (\forall x. f \ (\text{inv } f \ x) = x)$
 ⟨proof⟩

lemma *surj-imp-inv-eq*: $\text{surj } f \implies \forall x. g \ (f \ x) = x \implies \text{inv } f = g$
 ⟨proof⟩

lemma *bij-imp-bij-inv*: $\text{bij } f \implies \text{bij } (\text{inv } f)$
 ⟨proof⟩

lemma *inv-equality*: $(\bigwedge x. g \ (f \ x) = x) \implies (\bigwedge y. f \ (g \ y) = y) \implies \text{inv } f = g$
 ⟨proof⟩

lemma *inv-inv-eq*: $\text{bij } f \implies \text{inv } (\text{inv } f) = f$
 ⟨proof⟩

bij (inv f) implies little about *f*. Consider $f :: \text{bool} \Rightarrow \text{bool}$ such that $f \ \text{True} = f \ \text{False} = \text{True}$. Then it is consistent with axiom *someI* that *inv f* could be any function at all, including the identity function. If $\text{inv } f = \text{id}$ then *inv f* is a bijection, but *inj f*, *surj f* and $\text{inv } (\text{inv } f) = f$ all fail.

lemma *inv-into-comp*:

$inj\text{-}on\ f\ (g\ 'A) \implies inj\text{-}on\ g\ A \implies x \in f\ 'g\ 'A \implies$
 $inv\text{-}into\ A\ (f \circ g)\ x = (inv\text{-}into\ A\ g \circ inv\text{-}into\ (g\ 'A)\ f)\ x$
 $\langle proof \rangle$

lemma *o-inv-distrib*: $bij\ f \implies bij\ g \implies inv\ (f \circ g) = inv\ g \circ inv\ f$
 $\langle proof \rangle$

lemma *image-f-inv-f*: $surj\ f \implies f\ ' (inv\ f\ 'A) = A$
 $\langle proof \rangle$

lemma *image-inv-f-f*: $inj\ f \implies inv\ f\ ' (f\ 'A) = A$
 $\langle proof \rangle$

lemma *bij-image-Collect-eq*: $bij\ f \implies f\ 'Collect\ P = \{y. P\ (inv\ f\ y)\}$
 $\langle proof \rangle$

lemma *bij-vimage-eq-inv-image*: $bij\ f \implies f\ -'A = inv\ f\ 'A$
 $\langle proof \rangle$

lemma *finite-fun-UNIVD1*:

assumes *fin*: $finite\ (UNIV :: ('a \Rightarrow 'b)\ set)$

and *card*: $card\ (UNIV :: 'b\ set) \neq Suc\ 0$

shows $finite\ (UNIV :: 'a\ set)$

$\langle proof \rangle$

Every infinite set contains a countable subset. More precisely we show that a set S is infinite if and only if there exists an injective function from the naturals into S .

The “only if” direction is harder because it requires the construction of a sequence of pairwise different elements of an infinite set S . The idea is to construct a sequence of non-empty and infinite subsets of S obtained by successively removing elements of S .

lemma *infinite-countable-subset*:

assumes *inf*: $\neg finite\ S$

shows $\exists f::nat \Rightarrow 'a. inj\ f \wedge range\ f \subseteq S$

— Courtesy of Stephan Merz

$\langle proof \rangle$

lemma *infinite-iff-countable-subset*: $\neg finite\ S \longleftrightarrow (\exists f::nat \Rightarrow 'a. inj\ f \wedge range\ f \subseteq S)$

— Courtesy of Stephan Merz

$\langle proof \rangle$

lemma *image-inv-into-cancel*:

assumes *surj*: $f\ 'A = A'$

and *sub*: $B' \subseteq A'$

shows $f\ ' (inv\text{-}into\ A\ f)\ 'B' = B'$

$\langle proof \rangle$

lemma *inv-into-inv-into-eq*:
assumes *bij-betw* f A A'
and $a: a \in A$
shows *inv-into* A' (*inv-into* A f) $a = f a$
 $\langle proof \rangle$

lemma *inj-on-iff-surj*:
assumes $A \neq \{\}$
shows $(\exists f. \text{inj-on } f \ A \wedge f' \ A \subseteq A') \longleftrightarrow (\exists g. g' \ A' = A)$
 $\langle proof \rangle$

lemma *Ex-inj-on-UNION-Sigma*:
 $\exists f. (\text{inj-on } f \ (\bigcup i \in I. A \ i) \wedge f' \ (\bigcup i \in I. A \ i) \subseteq (\text{SIGMA } i : I. A \ i))$
 $\langle proof \rangle$

lemma *inv-unique-comp*:
assumes $fg: f \circ g = id$
and $gf: g \circ f = id$
shows *inv* $f = g$
 $\langle proof \rangle$

24.5 Other Consequences of Hilbert’s Epsilon

Hilbert’s Epsilon and the *split* Operator

Looping simprule!

lemma *split-paired-Eps*: $(\text{SOME } x. P \ x) = (\text{SOME } (a, b). P \ (a, b))$
 $\langle proof \rangle$

lemma *Eps-case-prod*: $\text{Eps } (\text{case-prod } P) = (\text{SOME } xy. P \ (\text{fst } xy) \ (\text{snd } xy))$
 $\langle proof \rangle$

lemma *Eps-case-prod-eq [simp]*: $(\text{SOME } (x', y'). x = x' \wedge y = y') = (x, y)$
 $\langle proof \rangle$

A relation is wellfounded iff it has no infinite descending chain.

lemma *wf-iff-no-infinite-down-chain*: $wf \ r \longleftrightarrow (\nexists f. \forall i. (f \ (\text{Suc } i), f \ i) \in r)$
(is - $\longleftrightarrow \neg ?ex$)
 $\langle proof \rangle$

lemma *wf-no-infinite-down-chainE*:
assumes $wf \ r$
obtains k **where** $(f \ (\text{Suc } k), f \ k) \notin r$
 $\langle proof \rangle$

A dynamically-scoped fact for TFL

lemma *tfl-some*: $\forall P \ x. P \ x \longrightarrow P \ (\text{Eps } P)$

$\langle proof \rangle$

24.6 An aside: bounded accessible part

Finite monotone eventually stable sequences

lemma *finite-mono-remains-stable-implies-strict-prefix*:

fixes $f :: nat \Rightarrow 'a::order$

assumes $S: finite (range f) \ mono f$

and $eq: \forall n. f\ n = f\ (Suc\ n) \longrightarrow f\ (Suc\ n) = f\ (Suc\ (Suc\ n))$

shows $\exists N. (\forall n \leq N. \forall m \leq N. m < n \longrightarrow f\ m < f\ n) \wedge (\forall n \geq N. f\ N = f\ n)$

$\langle proof \rangle$

lemma *finite-mono-strict-prefix-implies-finite-fixpoint*:

fixes $f :: nat \Rightarrow 'a\ set$

assumes $S: \bigwedge i. f\ i \subseteq S \ finite\ S$

and $ex: \exists N. (\forall n \leq N. \forall m \leq N. m < n \longrightarrow f\ m \subset f\ n) \wedge (\forall n \geq N. f\ N = f\ n)$

shows $f\ (card\ S) = (\bigcup n. f\ n)$

$\langle proof \rangle$

24.7 More on injections, bijections, and inverses

locale *bijection* =

fixes $f :: 'a \Rightarrow 'a$

assumes $bij: bij\ f$

begin

lemma *bij-inv*: $bij\ (inv\ f)$

$\langle proof \rangle$

lemma *surj [simp]*: $surj\ f$

$\langle proof \rangle$

lemma *inj*: $inj\ f$

$\langle proof \rangle$

lemma *surj-inv [simp]*: $surj\ (inv\ f)$

$\langle proof \rangle$

lemma *inj-inv*: $inj\ (inv\ f)$

$\langle proof \rangle$

lemma *eqI*: $f\ a = f\ b \Longrightarrow a = b$

$\langle proof \rangle$

lemma *eq-iff [simp]*: $f\ a = f\ b \longleftrightarrow a = b$

$\langle proof \rangle$

lemma *eq-invI*: $inv\ f\ a = inv\ f\ b \Longrightarrow a = b$

$\langle proof \rangle$

lemma *eq-inv-iff* [simp]: $\text{inv } f \ a = \text{inv } f \ b \longleftrightarrow a = b$
 ⟨proof⟩

lemma *inv-left* [simp]: $\text{inv } f \ (f \ a) = a$
 ⟨proof⟩

lemma *inv-comp-left* [simp]: $\text{inv } f \circ f = \text{id}$
 ⟨proof⟩

lemma *inv-right* [simp]: $f \ (\text{inv } f \ a) = a$
 ⟨proof⟩

lemma *inv-comp-right* [simp]: $f \circ \text{inv } f = \text{id}$
 ⟨proof⟩

lemma *inv-left-eq-iff* [simp]: $\text{inv } f \ a = b \longleftrightarrow f \ b = a$
 ⟨proof⟩

lemma *inv-right-eq-iff* [simp]: $b = \text{inv } f \ a \longleftrightarrow f \ b = a$
 ⟨proof⟩

end

lemma *infinite-imp-bij-betw*:
 assumes *infinite*: $\neg \text{finite } A$
 shows $\exists h. \text{bij-betw } h \ A \ (A - \{a\})$
 ⟨proof⟩

lemma *infinite-imp-bij-betw2*:
 assumes $\neg \text{finite } A$
 shows $\exists h. \text{bij-betw } h \ A \ (A \cup \{a\})$
 ⟨proof⟩

lemma *bij-betw-inv-into-left*: $\text{bij-betw } f \ A \ A' \Longrightarrow a \in A \Longrightarrow \text{inv-into } A \ f \ (f \ a) = a$
 ⟨proof⟩

lemma *bij-betw-inv-into-right*: $\text{bij-betw } f \ A \ A' \Longrightarrow a' \in A' \Longrightarrow f \ (\text{inv-into } A \ f \ a') = a'$
 ⟨proof⟩

lemma *bij-betw-inv-into-subset*:
 $\text{bij-betw } f \ A \ A' \Longrightarrow B \subseteq A \Longrightarrow f \ ` \ B = B' \Longrightarrow \text{bij-betw } (\text{inv-into } A \ f) \ B' \ B$
 ⟨proof⟩

24.8 Specification package – Hilbertized version

lemma *exE-some*: $\text{Ex } P \Longrightarrow c \equiv \text{Eps } P \Longrightarrow P \ c$

$\langle proof \rangle$

$\langle ML \rangle$

end

25 Zorn’s Lemma

```
theory Zorn
  imports Order-Relation Hilbert-Choice
begin
```

25.1 Zorn’s Lemma for the Subset Relation

25.1.1 Results that do not require an order

Let P be a binary predicate on the set A .

```
locale pred-on =
  fixes A :: 'a set
  and P :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\sqsubseteq$  50)
begin
```

```
abbreviation Peq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\sqsubseteq$  50)
  where  $x \sqsubseteq y \equiv P^{==} x y$ 
```

A chain is a totally ordered subset of A .

```
definition chain :: 'a set  $\Rightarrow$  bool
  where chain C  $\longleftrightarrow C \subseteq A \wedge (\forall x \in C. \forall y \in C. x \sqsubseteq y \vee y \sqsubseteq x)$ 
```

We call a chain that is a proper superset of some set X , but not necessarily a chain itself, a superchain of X .

```
abbreviation superchain :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool (infix  $<_c$  50)
  where  $X <_c C \equiv chain C \wedge X \subset C$ 
```

A maximal chain is a chain that does not have a superchain.

```
definition maxchain :: 'a set  $\Rightarrow$  bool
  where maxchain C  $\longleftrightarrow chain C \wedge (\nexists S. C <_c S)$ 
```

We define the successor of a set to be an arbitrary superchain, if such exists, or the set itself, otherwise.

```
definition suc :: 'a set  $\Rightarrow$  'a set
  where suc C = (if  $\neg chain C \vee maxchain C$  then C else (SOME D. C <_c D))
```

```
lemma chainI [Pure.intro?]: C  $\subseteq$  A  $\Longrightarrow (\bigwedge x y. x \in C \Longrightarrow y \in C \Longrightarrow x \sqsubseteq y \vee y \sqsubseteq x) \Longrightarrow chain C$ 
   $\langle proof \rangle$ 
```

lemma *chain-total*: $\text{chain } C \implies x \in C \implies y \in C \implies x \sqsubseteq y \vee y \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *not-chain-suc* [simp]: $\neg \text{chain } X \implies \text{suc } X = X$
 $\langle \text{proof} \rangle$

lemma *maxchain-suc* [simp]: $\text{maxchain } X \implies \text{suc } X = X$
 $\langle \text{proof} \rangle$

lemma *suc-subset*: $X \subseteq \text{suc } X$
 $\langle \text{proof} \rangle$

lemma *chain-empty* [simp]: $\text{chain } \{\}$
 $\langle \text{proof} \rangle$

lemma *not-maxchain-Some*: $\text{chain } C \implies \neg \text{maxchain } C \implies C <_c (\text{SOME } D. C <_c D)$
 $\langle \text{proof} \rangle$

lemma *suc-not-equals*: $\text{chain } C \implies \neg \text{maxchain } C \implies \text{suc } C \neq C$
 $\langle \text{proof} \rangle$

lemma *subset-suc*:
 assumes $X \subseteq Y$
 shows $X \subseteq \text{suc } Y$
 $\langle \text{proof} \rangle$

We build a set \mathcal{C} that is closed under applications of *suc* and contains the union of all its subsets.

inductive-set *suc-Union-closed* (\mathcal{C})
 where
 $\text{suc}: X \in \mathcal{C} \implies \text{suc } X \in \mathcal{C}$
 $| \text{Union [unfolded Pow-iff]}: X \in \text{Pow } \mathcal{C} \implies \bigcup X \in \mathcal{C}$

Since the empty set as well as the set itself is a subset of every set, \mathcal{C} contains at least $\{\} \in \mathcal{C}$ and $\bigcup \mathcal{C} \in \mathcal{C}$.

lemma *suc-Union-closed-empty*: $\{\} \in \mathcal{C}$
and *suc-Union-closed-Union*: $\bigcup \mathcal{C} \in \mathcal{C}$
 $\langle \text{proof} \rangle$

Thus closure under *suc* will hit a maximal chain eventually, as is shown below.

lemma *suc-Union-closed-induct* [consumes 1, case-names *suc Union*, induct pred: *suc-Union-closed*]:
 assumes $X \in \mathcal{C}$
 and $\bigwedge X. X \in \mathcal{C} \implies Q X \implies Q (\text{suc } X)$
 and $\bigwedge X. X \subseteq \mathcal{C} \implies \forall x \in X. Q x \implies Q (\bigcup X)$
 shows $Q X$

$\langle \text{proof} \rangle$

lemma *suc-Union-closed-cases* [consumes 1, case-names *suc Union*, cases *pred*:
suc-Union-closed]:

assumes $X \in \mathcal{C}$
and $\bigwedge Y. X = \text{suc } Y \implies Y \in \mathcal{C} \implies Q$
and $\bigwedge Y. X = \bigcup Y \implies Y \subseteq \mathcal{C} \implies Q$
shows Q
 $\langle \text{proof} \rangle$

On chains, *suc* yields a chain.

lemma *chain-suc*:

assumes *chain* X
shows *chain* (*suc* X)
 $\langle \text{proof} \rangle$

lemma *chain-sucD*:

assumes *chain* X
shows $\text{suc } X \subseteq A \wedge \text{chain } (\text{suc } X)$
 $\langle \text{proof} \rangle$

lemma *suc-Union-closed-total'*:

assumes $X \in \mathcal{C}$ **and** $Y \in \mathcal{C}$
and *: $\bigwedge Z. Z \in \mathcal{C} \implies Z \subseteq Y \implies Z = Y \vee \text{suc } Z \subseteq Y$
shows $X \subseteq Y \vee \text{suc } Y \subseteq X$
 $\langle \text{proof} \rangle$

lemma *suc-Union-closed-subsetD*:

assumes $Y \subseteq X$ **and** $X \in \mathcal{C}$ **and** $Y \in \mathcal{C}$
shows $X = Y \vee \text{suc } Y \subseteq X$
 $\langle \text{proof} \rangle$

The elements of \mathcal{C} are totally ordered by the subset relation.

lemma *suc-Union-closed-total*:

assumes $X \in \mathcal{C}$ **and** $Y \in \mathcal{C}$
shows $X \subseteq Y \vee Y \subseteq X$
 $\langle \text{proof} \rangle$

Once we hit a fixed point w.r.t. *suc*, all other elements of \mathcal{C} are subsets of this fixed point.

lemma *suc-Union-closed-suc*:

assumes $X \in \mathcal{C}$ **and** $Y \in \mathcal{C}$ **and** $\text{suc } Y = Y$
shows $X \subseteq Y$
 $\langle \text{proof} \rangle$

lemma *eq-suc-Union*:

assumes $X \in \mathcal{C}$
shows $\text{suc } X = X \longleftrightarrow X = \bigcup \mathcal{C}$
 (is ?lhs \longleftrightarrow ?rhs)

$\langle proof \rangle$

lemma *suc-in-carrier*:

assumes $X \subseteq A$

shows $suc\ X \subseteq A$

$\langle proof \rangle$

lemma *suc-Union-closed-in-carrier*:

assumes $X \in \mathcal{C}$

shows $X \subseteq A$

$\langle proof \rangle$

All elements of \mathcal{C} are chains.

lemma *suc-Union-closed-chain*:

assumes $X \in \mathcal{C}$

shows $chain\ X$

$\langle proof \rangle$

25.1.2 Hausdorff’s Maximum Principle

There exists a maximal totally ordered subset of A . (Note that we do not require A to be partially ordered.)

theorem *Hausdorff*: $\exists C. maxchain\ C$

$\langle proof \rangle$

Make notation \mathcal{C} available again.

no-notation *suc-Union-closed* (\mathcal{C})

lemma *chain-extend*: $chain\ C \implies z \in A \implies \forall x \in C. x \sqsubseteq z \implies chain\ (\{z\} \cup C)$

$\langle proof \rangle$

lemma *maxchain-imp-chain*: $maxchain\ C \implies chain\ C$

$\langle proof \rangle$

end

Hide constant *pred-on.suc-Union-closed*, which was just needed for the proof of Hausdorff’s maximum principle.

hide-const *pred-on.suc-Union-closed*

lemma *chain-mono*:

assumes $\bigwedge x\ y. x \in A \implies y \in A \implies P\ x\ y \implies Q\ x\ y$

and *pred-on.chain* $A\ P\ C$

shows *pred-on.chain* $A\ Q\ C$

$\langle proof \rangle$

25.1.3 Results for the proper subset relation

interpretation *subset*: *pred-on* $A\ op \subset$ **for** A $\langle proof \rangle$

lemma *subset-maxchain-max*:
assumes *subset.maxchain A C*
and $X \in A$
and $\bigcup C \subseteq X$
shows $\bigcup C = X$
 $\langle \text{proof} \rangle$

25.1.4 Zorn’s lemma

If every chain has an upper bound, then there is a maximal set.

lemma *subset-Zorn*:
assumes $\bigwedge C. \text{subset.chain } A \ C \implies \exists U \in A. \forall X \in C. X \subseteq U$
shows $\exists M \in A. \forall X \in A. M \subseteq X \longrightarrow X = M$
 $\langle \text{proof} \rangle$

Alternative version of Zorn’s lemma for the subset relation.

lemma *subset-Zorn'*:
assumes $\bigwedge C. \text{subset.chain } A \ C \implies \bigcup C \in A$
shows $\exists M \in A. \forall X \in A. M \subseteq X \longrightarrow X = M$
 $\langle \text{proof} \rangle$

25.2 Zorn’s Lemma for Partial Orders

Relate old to new definitions.

definition *chain-subset* :: $'a \text{ set set} \Rightarrow \text{bool}$ (*chain_⊆*)
where $\text{chain}_{\subseteq} \ C \longleftrightarrow (\forall A \in C. \forall B \in C. A \subseteq B \vee B \subseteq A)$

definition *chains* :: $'a \text{ set set} \Rightarrow 'a \text{ set set set}$
where $\text{chains } A = \{C. C \subseteq A \wedge \text{chain}_{\subseteq} \ C\}$

definition *Chains* :: $('a \times 'a) \text{ set} \Rightarrow 'a \text{ set set}$
where $\text{Chains } r = \{C. \forall a \in C. \forall b \in C. (a, b) \in r \vee (b, a) \in r\}$

lemma *chains-extend*: $c \in \text{chains } S \implies z \in S \implies \forall x \in c. x \subseteq z \implies \{z\} \cup c \in \text{chains } S$
for $z :: 'a \text{ set}$
 $\langle \text{proof} \rangle$

lemma *mono-Chains*: $r \subseteq s \implies \text{Chains } r \subseteq \text{Chains } s$
 $\langle \text{proof} \rangle$

lemma *chain-subset-alt-def*: $\text{chain}_{\subseteq} \ C = \text{subset.chain } \text{UNIV } C$
 $\langle \text{proof} \rangle$

lemma *chains-alt-def*: $\text{chains } A = \{C. \text{subset.chain } A \ C\}$
 $\langle \text{proof} \rangle$

lemma *Chains-subset*: $\text{Chains } r \subseteq \{C. \text{pred-on.chain UNIV } (\lambda x y. (x, y) \in r) C\}$
 $\langle \text{proof} \rangle$

lemma *Chains-subset'*:
assumes *refl* r
shows $\{C. \text{pred-on.chain UNIV } (\lambda x y. (x, y) \in r) C\} \subseteq \text{Chains } r$
 $\langle \text{proof} \rangle$

lemma *Chains-alt-def*:
assumes *refl* r
shows $\text{Chains } r = \{C. \text{pred-on.chain UNIV } (\lambda x y. (x, y) \in r) C\}$
 $\langle \text{proof} \rangle$

lemma *Zorn-Lemma*: $\forall C \in \text{chains } A. \bigcup C \in A \implies \exists M \in A. \forall X \in A. M \subseteq X \longrightarrow X = M$
 $\langle \text{proof} \rangle$

lemma *Zorn-Lemma2*: $\forall C \in \text{chains } A. \exists U \in A. \forall X \in C. X \subseteq U \implies \exists M \in A. \forall X \in A. M \subseteq X \longrightarrow X = M$
 $\langle \text{proof} \rangle$

Various other lemmas

lemma *chainsD*: $c \in \text{chains } S \implies x \in c \implies y \in c \implies x \subseteq y \vee y \subseteq x$
 $\langle \text{proof} \rangle$

lemma *chainsD2*: $c \in \text{chains } S \implies c \subseteq S$
 $\langle \text{proof} \rangle$

lemma *Zorns-po-lemma*:
assumes *po*: *Partial-order* r
and u : $\forall C \in \text{Chains } r. \exists u \in \text{Field } r. \forall a \in C. (a, u) \in r$
shows $\exists m \in \text{Field } r. \forall a \in \text{Field } r. (m, a) \in r \longrightarrow a = m$
 $\langle \text{proof} \rangle$

25.3 The Well Ordering Theorem

definition *init-seg-of* :: $((\text{'a} \times \text{'a}) \text{ set} \times (\text{'a} \times \text{'a}) \text{ set}) \text{ set}$
where $\text{init-seg-of} = \{(r, s). r \subseteq s \wedge (\forall a b c. (a, b) \in s \wedge (b, c) \in r \longrightarrow (a, b) \in r)\}$

abbreviation *initial-segment-of-syntax* :: $(\text{'a} \times \text{'a}) \text{ set} \Rightarrow (\text{'a} \times \text{'a}) \text{ set} \Rightarrow \text{bool}$
 $(\text{infix } \text{initial'-segment'-of } 55)$
where $r \text{ initial-segment-of } s \equiv (r, s) \in \text{init-seg-of}$

lemma *refl-on-init-seg-of* [*simp*]: $r \text{ initial-segment-of } r$
 $\langle \text{proof} \rangle$

lemma *trans-init-seg-of*:

$r \text{ initial-segment-of } s \implies s \text{ initial-segment-of } t \implies r \text{ initial-segment-of } t$
 $\langle \text{proof} \rangle$

lemma *antisym-init-seg-of*: $r \text{ initial-segment-of } s \implies s \text{ initial-segment-of } r \implies r = s$
 $\langle \text{proof} \rangle$

lemma *Chains-init-seg-of-Union*: $R \in \text{Chains init-seg-of} \implies r \in R \implies r \text{ initial-segment-of } \bigcup R$
 $\langle \text{proof} \rangle$

lemma *chain-subset-trans-Union*:
 assumes $\text{chain}_{\subseteq} R \ \forall r \in R. \text{ trans } r$
 shows $\text{trans } (\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *chain-subset-antisym-Union*:
 assumes $\text{chain}_{\subseteq} R \ \forall r \in R. \text{ antisym } r$
 shows $\text{antisym } (\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *chain-subset-Total-Union*:
 assumes $\text{chain}_{\subseteq} R$ and $\forall r \in R. \text{ Total } r$
 shows $\text{Total } (\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *wf-Union-wf-init-segs*:
 assumes $R \in \text{Chains init-seg-of}$
 and $\forall r \in R. \text{ wf } r$
 shows $\text{wf } (\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *initial-segment-of-Diff*: $p \text{ initial-segment-of } q \implies p - s \text{ initial-segment-of } q - s$
 $\langle \text{proof} \rangle$

lemma *Chains-inits-DiffI*: $R \in \text{Chains init-seg-of} \implies \{r - s \mid r. r \in R\} \in \text{Chains init-seg-of}$
 $\langle \text{proof} \rangle$

theorem *well-ordering*: $\exists r::'a \text{ rel. Well-order } r \wedge \text{Field } r = \text{UNIV}$
 $\langle \text{proof} \rangle$

corollary *well-order-on*: $\exists r::'a \text{ rel. well-order-on } A \ r$
 $\langle \text{proof} \rangle$

lemma *wfrec-def-adm*: $f \equiv \text{wfrec } R \ F \implies \text{wf } R \implies \text{adm-wf } R \ F \implies f = F \ f$

$\langle proof \rangle$

lemma *dependent-wf-choice*:

fixes $P :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow bool$

assumes $wf\ R$

and $adm: \bigwedge f\ g\ x\ r. (\bigwedge z. (z, x) \in R \implies f\ z = g\ z) \implies P\ f\ x\ r = P\ g\ x\ r$

and $P: \bigwedge x\ f. (\bigwedge y. (y, x) \in R \implies P\ f\ y\ (f\ y)) \implies \exists r. P\ f\ x\ r$

shows $\exists f. \forall x. P\ f\ x\ (f\ x)$

$\langle proof \rangle$

lemma (*in wellorder*) *dependent-wellorder-choice*:

assumes $\bigwedge r\ f\ g\ x. (\bigwedge y. y < x \implies f\ y = g\ y) \implies P\ f\ x\ r = P\ g\ x\ r$

and $P: \bigwedge x\ f. (\bigwedge y. y < x \implies P\ f\ y\ (f\ y)) \implies \exists r. P\ f\ x\ r$

shows $\exists f. \forall x. P\ f\ x\ (f\ x)$

$\langle proof \rangle$

end

26 Well-Order Relations as Needed by Bounded Natural Functors

theory *BNF-Wellorder-Relation*

imports *Order-Relation*

begin

In this section, we develop basic concepts and results pertaining to well-order relations. Note that we consider well-order relations as *non-strict relations*, i.e., as containing the diagonals of their fields.

locale *wo-rel* =

fixes $r :: 'a\ rel$

assumes *WELL*: *Well-order* r

begin

The following context encompasses all this section. In other words, for the whole section, we consider a fixed well-order relation r .

abbreviation *under* **where** $under \equiv Order-Relation.under\ r$

abbreviation *underS* **where** $underS \equiv Order-Relation.underS\ r$

abbreviation *Under* **where** $Under \equiv Order-Relation.Under\ r$

abbreviation *UnderS* **where** $UnderS \equiv Order-Relation.UnderS\ r$

abbreviation *above* **where** $above \equiv Order-Relation.above\ r$

abbreviation *aboveS* **where** $aboveS \equiv Order-Relation.aboveS\ r$

abbreviation *Above* **where** $Above \equiv Order-Relation.Above\ r$

abbreviation *AboveS* **where** $AboveS \equiv Order-Relation.AboveS\ r$

abbreviation *ofilter* **where** $ofilter \equiv Order-Relation.ofilter\ r$

lemmas *ofilter-def* = *Order-Relation.ofilter-def*[*of* r]

26.1 Auxiliaries

lemma *REFL*: *Refl* r

$\langle proof \rangle$

lemma *TRANS*: *trans* r

$\langle proof \rangle$

lemma *ANTISYM*: *antisym* r

$\langle proof \rangle$

lemma *TOTAL*: *Total* r

$\langle proof \rangle$

lemma *TOTALS*: $\forall a \in Field\ r. \forall b \in Field\ r. (a,b) \in r \vee (b,a) \in r$

$\langle proof \rangle$

lemma *LIN*: *Linear-order* r

$\langle proof \rangle$

lemma *WF*: *wf* $(r - Id)$

$\langle proof \rangle$

lemma *cases-Total*:

$\bigwedge \text{phi } a\ b. [\{a,b\} \leq Field\ r; ((a,b) \in r \implies \text{phi } a\ b); ((b,a) \in r \implies \text{phi } a\ b)]$
 $\implies \text{phi } a\ b$

$\langle proof \rangle$

lemma *cases-Total3*:

$\bigwedge \text{phi } a\ b. [\{a,b\} \leq Field\ r; ((a,b) \in r - Id \vee (b,a) \in r - Id \implies \text{phi } a\ b);$
 $(a = b \implies \text{phi } a\ b)] \implies \text{phi } a\ b$

$\langle proof \rangle$

26.2 Well-founded induction and recursion adapted to non-strict well-order relations

Here we provide induction and recursion principles specific to *non-strict* well-order relations. Although minor variations of those for well-founded relations, they will be useful for doing away with the tediousness of having to take out the diagonal each time in order to switch to a well-founded relation.

lemma *well-order-induct*:

assumes *IND*: $\bigwedge x. \forall y. y \neq x \wedge (y, x) \in r \longrightarrow P\ y \implies P\ x$

shows $P\ a$

$\langle proof \rangle$

definition

worec :: $((a \Rightarrow b) \Rightarrow a \Rightarrow b) \Rightarrow a \Rightarrow b$

where

$worec\ F \equiv wfrec\ (r - Id)\ F$

definition

$adm\text{-}wo :: (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow bool$

where

$adm\text{-}wo\ H \equiv \forall f\ g\ x. (\forall y \in underS\ x. f\ y = g\ y) \longrightarrow H\ f\ x = H\ g\ x$

lemma *worec-fixpoint*:

assumes *ADM*: $adm\text{-}wo\ H$

shows $worec\ H = H\ (worec\ H)$

<proof>

26.3 The notions of maximum, minimum, supremum, successor and order filter

We define the successor *of a set*, and not of an element (the latter is of course a particular case). Also, we define the maximum *of two elements*, *max2*, and the minimum *of a set*, *minim* – we chose these variants since we consider them the most useful for well-orders. The minimum is defined in terms of the auxiliary relational operator *isMinim*. Then, supremum and successor are defined in terms of minimum as expected. The minimum is only meaningful for non-empty sets, and the successor is only meaningful for sets for which strict upper bounds exist. Order filters for well-orders are also known as “initial segments”.

definition $max2 :: 'a \Rightarrow 'a \Rightarrow 'a$

where $max2\ a\ b \equiv \text{if } (a,b) \in r \text{ then } b \text{ else } a$

definition $isMinim :: 'a\ set \Rightarrow 'a \Rightarrow bool$

where $isMinim\ A\ b \equiv b \in A \wedge (\forall a \in A. (b,a) \in r)$

definition $minim :: 'a\ set \Rightarrow 'a$

where $minim\ A \equiv THE\ b. isMinim\ A\ b$

definition $supr :: 'a\ set \Rightarrow 'a$

where $supr\ A \equiv minim\ (Above\ A)$

definition $suc :: 'a\ set \Rightarrow 'a$

where $suc\ A \equiv minim\ (AboveS\ A)$

26.3.1 Properties of max2

lemma *max2-greater-among*:

assumes $a \in Field\ r$ **and** $b \in Field\ r$

shows $(a, max2\ a\ b) \in r \wedge (b, max2\ a\ b) \in r \wedge max2\ a\ b \in \{a,b\}$

<proof>

lemma *max2-greater*:

assumes $a \in Field\ r$ **and** $b \in Field\ r$

shows $(a, \text{max2 } a \ b) \in r \wedge (b, \text{max2 } a \ b) \in r$
 $\langle \text{proof} \rangle$

lemma *max2-among*:
assumes $a \in \text{Field } r$ **and** $b \in \text{Field } r$
shows $\text{max2 } a \ b \in \{a, b\}$
 $\langle \text{proof} \rangle$

lemma *max2-equals1*:
assumes $a \in \text{Field } r$ **and** $b \in \text{Field } r$
shows $(\text{max2 } a \ b = a) = ((b, a) \in r)$
 $\langle \text{proof} \rangle$

lemma *max2-equals2*:
assumes $a \in \text{Field } r$ **and** $b \in \text{Field } r$
shows $(\text{max2 } a \ b = b) = ((a, b) \in r)$
 $\langle \text{proof} \rangle$

26.3.2 Existence and uniqueness for isMinim and well-definedness of minim

lemma *isMinim-unique*:
assumes $\text{MINIM}: \text{isMinim } B \ a$ **and** $\text{MINIM}': \text{isMinim } B \ a'$
shows $a = a'$
 $\langle \text{proof} \rangle$

lemma *Well-order-isMinim-exists*:
assumes $\text{SUB}: B \leq \text{Field } r$ **and** $\text{NE}: B \neq \{\}$
shows $\exists b. \text{isMinim } B \ b$
 $\langle \text{proof} \rangle$

lemma *minim-isMinim*:
assumes $\text{SUB}: B \leq \text{Field } r$ **and** $\text{NE}: B \neq \{\}$
shows $\text{isMinim } B \ (\text{minim } B)$
 $\langle \text{proof} \rangle$

26.3.3 Properties of minim

lemma *minim-in*:
assumes $B \leq \text{Field } r$ **and** $B \neq \{\}$
shows $\text{minim } B \in B$
 $\langle \text{proof} \rangle$

lemma *minim-inField*:
assumes $B \leq \text{Field } r$ **and** $B \neq \{\}$
shows $\text{minim } B \in \text{Field } r$
 $\langle \text{proof} \rangle$

lemma *minim-least*:
assumes $\text{SUB}: B \leq \text{Field } r$ **and** $\text{IN}: b \in B$

shows $(\text{minim } B, b) \in r$
 $\langle \text{proof} \rangle$

lemma *equals-minim*:
assumes $SUB: B \leq \text{Field } r$ **and** $IN: a \in B$ **and**
 $LEAST: \bigwedge b. b \in B \implies (a, b) \in r$
shows $a = \text{minim } B$
 $\langle \text{proof} \rangle$

26.3.4 Properties of successor

lemma *suc-AboveS*:
assumes $SUB: B \leq \text{Field } r$ **and** $ABOVES: \text{AboveS } B \neq \{\}$
shows $\text{suc } B \in \text{AboveS } B$
 $\langle \text{proof} \rangle$

lemma *suc-greater*:
assumes $SUB: B \leq \text{Field } r$ **and** $ABOVES: \text{AboveS } B \neq \{\}$ **and**
 $IN: b \in B$
shows $\text{suc } B \neq b \wedge (b, \text{suc } B) \in r$
 $\langle \text{proof} \rangle$

lemma *suc-least-AboveS*:
assumes $ABOVES: a \in \text{AboveS } B$
shows $(\text{suc } B, a) \in r$
 $\langle \text{proof} \rangle$

lemma *suc-inField*:
assumes $B \leq \text{Field } r$ **and** $\text{AboveS } B \neq \{\}$
shows $\text{suc } B \in \text{Field } r$
 $\langle \text{proof} \rangle$

lemma *equals-suc-AboveS*:
assumes $SUB: B \leq \text{Field } r$ **and** $ABV: a \in \text{AboveS } B$ **and**
 $MINIM: \bigwedge a'. a' \in \text{AboveS } B \implies (a, a') \in r$
shows $a = \text{suc } B$
 $\langle \text{proof} \rangle$

lemma *suc-underS*:
assumes $IN: a \in \text{Field } r$
shows $a = \text{suc } (\text{underS } a)$
 $\langle \text{proof} \rangle$

26.3.5 Properties of order filters

lemma *under-ofilter*:
 $\text{ofilter } (\text{under } a)$
 $\langle \text{proof} \rangle$

lemma *underS-ofilter*:

ofilter (*underS* *a*)
 ⟨*proof*⟩

lemma *Field-ofilter*:
ofilter (*Field* *r*)
 ⟨*proof*⟩

lemma *ofilter-underS-Field*:
ofilter *A* = (($\exists a \in \text{Field } r. A = \text{underS } a$) \vee (*A* = *Field* *r*))
 ⟨*proof*⟩

lemma *ofilter-UNION*:
 $(\bigwedge i. i \in I \implies \text{ofilter}(A \ i)) \implies \text{ofilter}(\bigcup i \in I. A \ i)$
 ⟨*proof*⟩

lemma *ofilter-under-UNION*:
assumes *ofilter* *A*
shows $A = (\bigcup a \in A. \text{under } a)$
 ⟨*proof*⟩

26.3.6 Other properties

lemma *ofilter-linord*:
assumes *OF1*: *ofilter* *A* **and** *OF2*: *ofilter* *B*
shows $A \leq B \vee B \leq A$
 ⟨*proof*⟩

lemma *ofilter-AboveS-Field*:
assumes *ofilter* *A*
shows $A \cup (\text{AboveS } A) = \text{Field } r$
 ⟨*proof*⟩

lemma *suc-ofilter-in*:
assumes *OF*: *ofilter* *A* **and** *ABOVE-NE*: $\text{AboveS } A \neq \{\}$ **and**
 REL: $(b, \text{suc } A) \in r$ **and** *DIFF*: $b \neq \text{suc } A$
shows $b \in A$
 ⟨*proof*⟩

end

end

27 Well-Order Embeddings as Needed by Bounded Natural Functors

theory *BNF-Wellorder-Embedding*
imports *Hilbert-Choice* *BNF-Wellorder-Relation*
begin

In this section, we introduce well-order *embeddings* and *isomorphisms* and prove their basic properties. The notion of embedding is considered from the point of view of the theory of ordinals, and therefore requires the source to be injected as an *initial segment* (i.e., *order filter*) of the target. A main result of this section is the existence of embeddings (in one direction or another) between any two well-orders, having as a consequence the fact that, given any two sets on any two types, one is smaller than (i.e., can be injected into) the other.

27.1 Auxiliaries

lemma *UNION-inj-on-ofilter*:

assumes *WELL*: Well-order r **and**

OF: $\bigwedge i. i \in I \implies \text{wo-rel.ofilter } r \ (A \ i)$ **and**

INJ: $\bigwedge i. i \in I \implies \text{inj-on } f \ (A \ i)$

shows $\text{inj-on } f \ (\bigcup i \in I. A \ i)$

<proof>

lemma *under-underS-bij-betw*:

assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and**

IN: $a \in \text{Field } r$ **and** *IN'*: $f \ a \in \text{Field } r'$ **and**

BIJ: $\text{bij-betw } f \ (\text{underS } r \ a) \ (\text{underS } r' \ (f \ a))$

shows $\text{bij-betw } f \ (\text{under } r \ a) \ (\text{under } r' \ (f \ a))$

<proof>

27.2 (Well-order) embeddings, strict embeddings, isomorphisms and order-compatible functions

Standardly, a function is an embedding of a well-order in another if it injectively and order-compatibly maps the former into an order filter of the latter. Here we opt for a more succinct definition (operator *embed*), asking that, for any element in the source, the function should be a bijection between the set of strict lower bounds of that element and the set of strict lower bounds of its image. (Later we prove equivalence with the standard definition – lemma *embed-iff-compat-inj-on-ofilter*.) A *strict embedding* (operator *embedS*) is a non-bijective embedding and an *isomorphism* (operator *iso*) is a bijective embedding.

definition $\text{embed} :: 'a \ \text{rel} \Rightarrow 'a' \ \text{rel} \Rightarrow ('a \Rightarrow 'a') \Rightarrow \text{bool}$

where

$\text{embed } r \ r' \ f \equiv \forall a \in \text{Field } r. \text{bij-betw } f \ (\text{under } r \ a) \ (\text{under } r' \ (f \ a))$

lemmas $\text{embed-defs} = \text{embed-def } \text{embed-def}[\text{abs-def}]$

Strict embeddings:

definition $\text{embedS} :: 'a \ \text{rel} \Rightarrow 'a' \ \text{rel} \Rightarrow ('a \Rightarrow 'a') \Rightarrow \text{bool}$

where

$embedS\ r\ r'\ f \equiv embed\ r\ r'\ f \wedge \neg\ bij\text{-}betw\ f\ (Field\ r)\ (Field\ r')$

lemmas $embedS\text{-}defs = embedS\text{-}def\ embedS\text{-}def[abs\text{-}def]$

definition $iso :: 'a\ rel \Rightarrow 'a'\ rel \Rightarrow ('a \Rightarrow 'a') \Rightarrow bool$

where

$iso\ r\ r'\ f \equiv embed\ r\ r'\ f \wedge bij\text{-}betw\ f\ (Field\ r)\ (Field\ r')$

lemmas $iso\text{-}defs = iso\text{-}def\ iso\text{-}def[abs\text{-}def]$

definition $compat :: 'a\ rel \Rightarrow 'a'\ rel \Rightarrow ('a \Rightarrow 'a') \Rightarrow bool$

where

$compat\ r\ r'\ f \equiv \forall a\ b. (a, b) \in r \longrightarrow (f\ a, f\ b) \in r'$

lemma $compat\text{-}wf$:

assumes CMP : $compat\ r\ r'\ f$ **and** WF : $wf\ r'$

shows $wf\ r$

$\langle proof \rangle$

lemma $id\text{-}embed$: $embed\ r\ r\ id$

$\langle proof \rangle$

lemma $id\text{-}iso$: $iso\ r\ r\ id$

$\langle proof \rangle$

lemma $embed\text{-}in\text{-}Field$:

assumes $WELL$: $Well\text{-}order\ r$ **and**

EMB : $embed\ r\ r'\ f$ **and** IN : $a \in Field\ r$

shows $f\ a \in Field\ r'$

$\langle proof \rangle$

lemma $comp\text{-}embed$:

assumes $WELL$: $Well\text{-}order\ r$ **and**

EMB : $embed\ r\ r'\ f$ **and** EMB' : $embed\ r'\ r'' f'$

shows $embed\ r\ r'' (f' \circ f)$

$\langle proof \rangle$

lemma $comp\text{-}iso$:

assumes $WELL$: $Well\text{-}order\ r$ **and**

EMB : $iso\ r\ r'\ f$ **and** EMB' : $iso\ r'\ r'' f'$

shows $iso\ r\ r'' (f' \circ f)$

$\langle proof \rangle$

That $embedS$ is also preserved by function composition shall be proved only later.

lemma $embed\text{-}Field$:

$\llbracket Well\text{-}order\ r; embed\ r\ r'\ f \rrbracket \Longrightarrow f'(Field\ r) \leq Field\ r'$

$\langle proof \rangle$

lemma *embed-preserves-ofilter*:

assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and**

EMB: $\text{embed } r \ r' \ f$ **and** *OF*: $\text{wo-rel.ofilter } r \ A$

shows $\text{wo-rel.ofilter } r' \ (f'A)$

$\langle \text{proof} \rangle$

lemma *embed-Field-ofilter*:

assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and**

EMB: $\text{embed } r \ r' \ f$

shows $\text{wo-rel.ofilter } r' \ (f'(\text{Field } r))$

$\langle \text{proof} \rangle$

lemma *embed-compat*:

assumes *EMB*: $\text{embed } r \ r' \ f$

shows $\text{compat } r \ r' \ f$

$\langle \text{proof} \rangle$

lemma *embed-inj-on*:

assumes *WELL*: Well-order r **and** *EMB*: $\text{embed } r \ r' \ f$

shows $\text{inj-on } f \ (\text{Field } r)$

$\langle \text{proof} \rangle$

lemma *embed-underS*:

assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and**

EMB: $\text{embed } r \ r' \ f$ **and** *IN*: $a \in \text{Field } r$

shows $\text{bij-betw } f \ (\text{underS } r \ a) \ (\text{underS } r' \ (f \ a))$

$\langle \text{proof} \rangle$

lemma *embed-iff-compat-inj-on-ofilter*:

assumes *WELL*: Well-order r **and** *WELL'*: Well-order r'

shows $\text{embed } r \ r' \ f = (\text{compat } r \ r' \ f \wedge \text{inj-on } f \ (\text{Field } r) \wedge \text{wo-rel.ofilter } r' \ (f'(\text{Field } r)))$

$\langle \text{proof} \rangle$

lemma *inv-into-ofilter-embed*:

assumes *WELL*: Well-order r **and** *OF*: $\text{wo-rel.ofilter } r \ A$ **and**

BIJ: $\forall b \in A. \text{bij-betw } f \ (\text{under } r \ b) \ (\text{under } r' \ (f \ b))$ **and**

IMAGE: $f' \ A = \text{Field } r'$

shows $\text{embed } r' \ r \ (\text{inv-into } A \ f)$

$\langle \text{proof} \rangle$

lemma *inv-into-underS-embed*:

assumes *WELL*: Well-order r **and**

BIJ: $\forall b \in \text{underS } r \ a. \text{bij-betw } f \ (\text{under } r \ b) \ (\text{under } r' \ (f \ b))$ **and**

IN: $a \in \text{Field } r$ **and**

IMAGE: $f' \ (\text{underS } r \ a) = \text{Field } r'$

shows $\text{embed } r' \ r \ (\text{inv-into } (\text{underS } r \ a) \ f)$

$\langle \text{proof} \rangle$

lemma *inv-into-Field-embed*:
assumes *WELL*: Well-order r **and** *EMB*: embed $r\ r'\ f$ **and**
IMAGE: $\text{Field } r' \leq f' (\text{Field } r)$
shows embed $r'\ r$ (*inv-into* ($\text{Field } r$) f)
 $\langle \text{proof} \rangle$

lemma *inv-into-Field-embed-bij-betw*:
assumes *WELL*: Well-order r **and**
EMB: embed $r\ r'\ f$ **and** *BIJ*: bij-betw f ($\text{Field } r$) ($\text{Field } r'$)
shows embed $r'\ r$ (*inv-into* ($\text{Field } r$) f)
 $\langle \text{proof} \rangle$

27.3 Given any two well-orders, one can be embedded in the other

Here is an overview of the proof of of this fact, stated in theorem *wellorders-totally-ordered*:

Fix the well-orders $r :: 'a \text{ rel}$ and $r' :: 'a' \text{ rel}$. Attempt to define an embedding $f :: 'a \Rightarrow 'a'$ from r to r' in the natural way by well-order recursion (“hoping” that $\text{Field } r$ turns out to be smaller than $\text{Field } r'$), but also record, at the recursive step, in a function $g :: 'a \Rightarrow \text{bool}$, the extra information of whether $\text{Field } r'$ gets exhausted or not.

If $\text{Field } r'$ does not get exhausted, then $\text{Field } r$ is indeed smaller and f is the desired embedding from r to r' (lemma *wellorders-totally-ordered-aux*). Otherwise, it means that $\text{Field } r'$ is the smaller one, and the inverse of (the “good” segment of) f is the desired embedding from r' to r (lemma *wellorders-totally-ordered-aux2*).

lemma *wellorders-totally-ordered-aux*:
fixes $r :: 'a \text{ rel}$ **and** $r' :: 'a' \text{ rel}$ **and**
 $f :: 'a \Rightarrow 'a'$ **and** $a :: 'a$
assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and** *IN*: $a \in \text{Field } r$ **and**
IH: $\forall b \in \text{underS } r\ a. \text{bij-betw } f (\text{under } r\ b) (\text{under } r' (f\ b))$ **and**
NOT: $f' (\text{underS } r\ a) \neq \text{Field } r'$ **and** *SUC*: $f\ a = \text{wo-rel.suc } r' (f' (\text{underS } r\ a))$
shows $\text{bij-betw } f (\text{under } r\ a) (\text{under } r' (f\ a))$
 $\langle \text{proof} \rangle$

lemma *wellorders-totally-ordered-aux2*:
fixes $r :: 'a \text{ rel}$ **and** $r' :: 'a' \text{ rel}$ **and**
 $f :: 'a \Rightarrow 'a'$ **and** $g :: 'a \Rightarrow \text{bool}$ **and** $a :: 'a$
assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and**
MAIN1:
 $\bigwedge a. (\text{False} \notin g' (\text{underS } r\ a) \wedge f' (\text{underS } r\ a) \neq \text{Field } r' \longrightarrow f\ a = \text{wo-rel.suc } r' (f' (\text{underS } r\ a)) \wedge g\ a = \text{True})$
 \wedge
 $(\neg (\text{False} \notin (g' (\text{underS } r\ a)) \wedge f' (\text{underS } r\ a) \neq \text{Field } r') \longrightarrow g\ a = \text{False})$ **and**

MAIN2: $\bigwedge a. a \in \text{Field } r \wedge \text{False} \notin g'(under\ r\ a) \longrightarrow$
 $\text{bij-betw } f\ (under\ r\ a)\ (under\ r'\ (f\ a))$ **and**
Case: $a \in \text{Field } r \wedge \text{False} \in g'(under\ r\ a)$
shows $\exists f'. \text{embed } r'\ r\ f'$
 $\langle proof \rangle$

theorem wellorders-totally-ordered:
fixes $r :: 'a\ rel$ **and** $r' :: 'a'\ rel$
assumes *WELL:* *Well-order* r **and** *WELL':* *Well-order* r'
shows $(\exists f. \text{embed } r\ r'\ f) \vee (\exists f'. \text{embed } r'\ r\ f')$
 $\langle proof \rangle$

27.4 Uniqueness of embeddings

Here we show a fact complementary to the one from the previous subsection – namely, that between any two well-orders there is *at most* one embedding, and is the one definable by the expected well-order recursive equation. As a consequence, any two embeddings of opposite directions are mutually inverse.

lemma embed-determined:
assumes *WELL:* *Well-order* r **and** *WELL':* *Well-order* r' **and**
 $EMB: \text{embed } r\ r'\ f$ **and** $IN: a \in \text{Field } r$
shows $f\ a = \text{wo-rel.suc } r'\ (f'(underS\ r\ a))$
 $\langle proof \rangle$

lemma embed-unique:
assumes *WELL:* *Well-order* r **and** *WELL':* *Well-order* r' **and**
 $EMBf: \text{embed } r\ r'\ f$ **and** $EMBg: \text{embed } r\ r'\ g$
shows $a \in \text{Field } r \longrightarrow f\ a = g\ a$
 $\langle proof \rangle$

lemma embed-bothWays-inverse:
assumes *WELL:* *Well-order* r **and** *WELL':* *Well-order* r' **and**
 $EMB: \text{embed } r\ r'\ f$ **and** $EMB': \text{embed } r'\ r\ f'$
shows $(\forall a \in \text{Field } r. f'(f\ a) = a) \wedge (\forall a' \in \text{Field } r'. f(f'\ a') = a')$
 $\langle proof \rangle$

lemma embed-bothWays-bij-betw:
assumes *WELL:* *Well-order* r **and** *WELL':* *Well-order* r' **and**
 $EMB: \text{embed } r\ r'\ f$ **and** $EMB': \text{embed } r'\ r\ g$
shows $\text{bij-betw } f\ (\text{Field } r)\ (\text{Field } r')$
 $\langle proof \rangle$

lemma embed-bothWays-iso:
assumes *WELL:* *Well-order* r **and** *WELL':* *Well-order* r' **and**
 $EMB: \text{embed } r\ r'\ f$ **and** $EMB': \text{embed } r'\ r\ g$
shows $\text{iso } r\ r'\ f$
 $\langle proof \rangle$

27.5 More properties of embeddings, strict embeddings and isomorphisms

lemma *embed-bothWays-Field-bij-betw:*

assumes *WELL: Well-order r and WELL': Well-order r' and*

EMB: embed r r' f and EMB': embed r' r f'

shows *bij-betw f (Field r) (Field r')*

<proof>

lemma *embedS-comp-embed:*

assumes *WELL: Well-order r and WELL': Well-order r' and WELL'': Well-order r''*

and *EMB: embedS r r' f and EMB': embed r' r'' f'*

shows *embedS r r'' ($f' \circ f$)*

<proof>

lemma *embed-comp-embedS:*

assumes *WELL: Well-order r and WELL': Well-order r' and WELL'': Well-order r''*

and *EMB: embed r r' f and EMB': embedS r' r'' f'*

shows *embedS r r'' ($f' \circ f$)*

<proof>

lemma *embed-comp-iso:*

assumes *WELL: Well-order r and WELL': Well-order r' and WELL'': Well-order r''*

and *EMB: embed r r' f and EMB': iso r' r'' f'*

shows *embed r r'' ($f' \circ f$)*

<proof>

lemma *iso-comp-embed:*

assumes *WELL: Well-order r and WELL': Well-order r' and WELL'': Well-order r''*

and *EMB: iso r r' f and EMB': embed r' r'' f'*

shows *embed r r'' ($f' \circ f$)*

<proof>

lemma *embedS-comp-iso:*

assumes *WELL: Well-order r and WELL': Well-order r' and WELL'': Well-order r''*

and *EMB: embedS r r' f and EMB': iso r' r'' f'*

shows *embedS r r'' ($f' \circ f$)*

<proof>

lemma *iso-comp-embedS:*

assumes *WELL: Well-order r and WELL': Well-order r' and WELL'': Well-order r''*

and *EMB: iso r r' f and EMB': embedS r' r'' f'*

shows *embedS r r'' ($f' \circ f$)*

<proof>

lemma *embedS-Field*:

assumes *WELL*: *Well-order* r **and** *EMB*: *embedS* r r' f

shows $f' (Field\ r) < Field\ r'$

<proof>

lemma *embedS-iff*:

assumes *WELL*: *Well-order* r **and** *ISO*: *embed* r r' f

shows *embedS* r r' $f = (f' (Field\ r) < Field\ r')$

<proof>

lemma *iso-Field*:

iso r r' $f \implies f' (Field\ r) = Field\ r'$

<proof>

lemma *iso-iff*:

assumes *Well-order* r

shows *iso* r r' $f = (embed\ r\ r'\ f \wedge f' (Field\ r) = Field\ r')$

<proof>

lemma *iso-iff2*:

assumes *Well-order* r

shows *iso* r r' $f = (bij\ betw\ f\ (Field\ r)\ (Field\ r') \wedge$

$(\forall a \in Field\ r. \forall b \in Field\ r.$

$((a, b) \in r) = ((f\ a, f\ b) \in r'))))$

<proof>

lemma *iso-iff3*:

assumes *WELL*: *Well-order* r **and** *WELL'*: *Well-order* r'

shows *iso* r r' $f = (bij\ betw\ f\ (Field\ r)\ (Field\ r') \wedge compat\ r\ r'\ f)$

<proof>

end

28 Constructions on Wellorders as Needed by Bounded Natural Functors

theory *BNF-Wellorder-Constructions*

imports *BNF-Wellorder-Embedding*

begin

In this section, we study basic constructions on well-orders, such as restriction to a set/order filter, copy via direct images, ordinal-like sum of disjoint well-orders, and bounded square. We also define between well-orders the relations *ordLeq*, of being embedded (abbreviated $\leq o$), *ordLess*, of being strictly embedded (abbreviated $< o$), and *ordIso*, of being isomorphic (abbreviated $= o$). We study the connections between these relations, order filters, and the aforementioned constructions. A main result of this section

is that $<_o$ is well-founded.

28.1 Restriction to a set

abbreviation $\text{Restr} :: 'a \text{ rel} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ rel}$
where $\text{Restr } r \ A \equiv r \text{ Int } (A \times A)$

lemma *Restr-subset*:
 $A \leq B \implies \text{Restr } (\text{Restr } r \ B) \ A = \text{Restr } r \ A$
 $\langle \text{proof} \rangle$

lemma *Restr-Field*: $\text{Restr } r \ (\text{Field } r) = r$
 $\langle \text{proof} \rangle$

lemma *Refl-Restr*: $\text{Refl } r \implies \text{Refl } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *linear-order-on-Restr*:
 $\text{linear-order-on } A \ r \implies \text{linear-order-on } (A \cap \text{above } r \ x) \ (\text{Restr } r \ (\text{above } r \ x))$
 $\langle \text{proof} \rangle$

lemma *antisym-Restr*:
 $\text{antisym } r \implies \text{antisym } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *Total-Restr*:
 $\text{Total } r \implies \text{Total } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *trans-Restr*:
 $\text{trans } r \implies \text{trans } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *Preorder-Restr*:
 $\text{Preorder } r \implies \text{Preorder } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *Partial-order-Restr*:
 $\text{Partial-order } r \implies \text{Partial-order } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *Linear-order-Restr*:
 $\text{Linear-order } r \implies \text{Linear-order } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *Well-order-Restr*:
assumes $\text{Well-order } r$
shows $\text{Well-order } (\text{Restr } r \ A)$
 $\langle \text{proof} \rangle$

lemma *Field-Restr-subset*: $\text{Field}(\text{Restr } r \ A) \leq A$
 ⟨proof⟩

lemma *Refl-Field-Restr*:
 $\text{Refl } r \implies \text{Field}(\text{Restr } r \ A) = (\text{Field } r) \ \text{Int } A$
 ⟨proof⟩

lemma *Refl-Field-Restr2*:
 $\llbracket \text{Refl } r; A \leq \text{Field } r \rrbracket \implies \text{Field}(\text{Restr } r \ A) = A$
 ⟨proof⟩

lemma *well-order-on-Restr*:
assumes *WELL*: *Well-order* r **and** *SUB*: $A \leq \text{Field } r$
shows *well-order-on* $A \ (\text{Restr } r \ A)$
 ⟨proof⟩

28.2 Order filters versus restrictions and embeddings

lemma *Field-Restr-ofilter*:
 $\llbracket \text{Well-order } r; \text{wo-rel.ofilter } r \ A \rrbracket \implies \text{Field}(\text{Restr } r \ A) = A$
 ⟨proof⟩

lemma *ofilter-Restr-under*:
assumes *WELL*: *Well-order* r **and** *OF*: *wo-rel.ofilter* $r \ A$ **and** *IN*: $a \in A$
shows *under* $(\text{Restr } r \ A) \ a = \text{under } r \ a$
 ⟨proof⟩

lemma *ofilter-embed*:
assumes *Well-order* r
shows *wo-rel.ofilter* $r \ A = (A \leq \text{Field } r \wedge \text{embed } (\text{Restr } r \ A) \ r \ \text{id})$
 ⟨proof⟩

lemma *ofilter-Restr-Int*:
assumes *WELL*: *Well-order* r **and** *OFA*: *wo-rel.ofilter* $r \ A$
shows *wo-rel.ofilter* $(\text{Restr } r \ B) \ (A \ \text{Int } B)$
 ⟨proof⟩

lemma *ofilter-Restr-subset*:
assumes *WELL*: *Well-order* r **and** *OFA*: *wo-rel.ofilter* $r \ A$ **and** *SUB*: $A \leq B$
shows *wo-rel.ofilter* $(\text{Restr } r \ B) \ A$
 ⟨proof⟩

lemma *ofilter-subset-embed*:
assumes *WELL*: *Well-order* r **and**
OFA: *wo-rel.ofilter* $r \ A$ **and** *OFB*: *wo-rel.ofilter* $r \ B$
shows $(A \leq B) = (\text{embed } (\text{Restr } r \ A) \ (\text{Restr } r \ B) \ \text{id})$
 ⟨proof⟩

lemma *ofilter-subset-embedS-iso*:
assumes *WELL*: Well-order r **and**
 OFA: *wo-rel.ofilter* r A **and** *OFB*: *wo-rel.ofilter* r B
shows $((A < B) = (\text{embedS } (\text{Restr } r A) (\text{Restr } r B) \text{ id})) \wedge$
 $((A = B) = (\text{iso } (\text{Restr } r A) (\text{Restr } r B) \text{ id}))$
<proof>

lemma *ofilter-subset-embedS*:
assumes *WELL*: Well-order r **and**
 OFA: *wo-rel.ofilter* r A **and** *OFB*: *wo-rel.ofilter* r B
shows $(A < B) = \text{embedS } (\text{Restr } r A) (\text{Restr } r B) \text{ id}$
<proof>

lemma *embed-implies-iso-Restr*:
assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and**
 EMB: *embed* $r' r f$
shows $\text{iso } r' (\text{Restr } r (f \cdot (\text{Field } r))) f$
<proof>

28.3 The strict inclusion on proper ofilters is well-founded

definition *ofilterIncl* :: $'a \text{ rel} \Rightarrow 'a \text{ set rel}$
where
ofilterIncl $r \equiv \{(A, B). \text{wo-rel.ofilter } r A \wedge A \neq \text{Field } r \wedge$
 $\text{wo-rel.ofilter } r B \wedge B \neq \text{Field } r \wedge A < B\}$

lemma *wf-ofilterIncl*:
assumes *WELL*: Well-order r
shows $\text{wf}(\text{ofilterIncl } r)$
<proof>

28.4 Ordering the well-orders by existence of embeddings

We define three relations between well-orders:

- *ordLeq*, of being embedded (abbreviated $\leq o$);
- *ordLess*, of being strictly embedded (abbreviated $< o$);
- *ordIso*, of being isomorphic (abbreviated $= o$).

The prefix “ord” and the index “o” in these names stand for “ordinal-like”. These relations shall be proved to be inter-connected in a similar fashion as the trio $\leq, <, =$ associated to a total order on a set.

definition *ordLeq* :: $('a \text{ rel} * 'a' \text{ rel}) \text{ set}$
where
ordLeq = $\{(r, r'). \text{Well-order } r \wedge \text{Well-order } r' \wedge (\exists f. \text{embed } r r' f)\}$

abbreviation *ordLeq2* :: $'a \text{ rel} \Rightarrow 'a' \text{ rel} \Rightarrow \text{bool}$ (**infix** $\leq o$ 50)

where $r \leq_o r' \equiv (r, r') \in \text{ordLeq}$

abbreviation $\text{ordLeq3} :: 'a \text{ rel} \Rightarrow 'a' \text{ rel} \Rightarrow \text{bool}$ (**infix** \leq_o 50)
where $r \leq_o r' \equiv r \leq_o r'$

definition $\text{ordLess} :: ('a \text{ rel} * 'a' \text{ rel}) \text{ set}$
where
 $\text{ordLess} = \{(r, r'). \text{ Well-order } r \wedge \text{ Well-order } r' \wedge (\exists f. \text{ embedS } r \ r' \ f)\}$

abbreviation $\text{ordLess2} :: 'a \text{ rel} \Rightarrow 'a' \text{ rel} \Rightarrow \text{bool}$ (**infix** $<_o$ 50)
where $r <_o r' \equiv (r, r') \in \text{ordLess}$

definition $\text{ordIso} :: ('a \text{ rel} * 'a' \text{ rel}) \text{ set}$
where
 $\text{ordIso} = \{(r, r'). \text{ Well-order } r \wedge \text{ Well-order } r' \wedge (\exists f. \text{ iso } r \ r' \ f)\}$

abbreviation $\text{ordIso2} :: 'a \text{ rel} \Rightarrow 'a' \text{ rel} \Rightarrow \text{bool}$ (**infix** $=_o$ 50)
where $r =_o r' \equiv (r, r') \in \text{ordIso}$

lemmas $\text{ordRels-def} = \text{ordLeq-def } \text{ordLess-def } \text{ordIso-def}$

lemma $\text{ordLeq-Well-order-simp}$:
assumes $r \leq_o r'$
shows $\text{Well-order } r \wedge \text{ Well-order } r'$
 $\langle \text{proof} \rangle$

Notice that the relations \leq_o , $<_o$, $=_o$ connect well-orders on potentially *distinct* types. However, some of the lemmas below, including the next one, restrict implicitly the type of these relations to $(('a \text{ rel}) * ('a' \text{ rel})) \text{ set}$, i.e., to $'a \text{ rel rel}$.

lemma ordLeq-reflexive :
 $\text{Well-order } r \implies r \leq_o r$
 $\langle \text{proof} \rangle$

lemma $\text{ordLeq-transitive[trans]}$:
assumes $*$: $r \leq_o r'$ **and** $**$: $r' \leq_o r''$
shows $r \leq_o r''$
 $\langle \text{proof} \rangle$

lemma ordLeq-total :
 $\llbracket \text{Well-order } r; \text{ Well-order } r' \rrbracket \implies r \leq_o r' \vee r' \leq_o r$
 $\langle \text{proof} \rangle$

lemma ordIso-reflexive :
 $\text{Well-order } r \implies r =_o r$
 $\langle \text{proof} \rangle$

lemma $\text{ordIso-transitive[trans]}$:
assumes $*$: $r =_o r'$ **and** $**$: $r' =_o r''$

shows $r =_o r''$
 $\langle proof \rangle$

lemma *ordIso-symmetric*:
assumes *: $r =_o r'$
shows $r' =_o r$
 $\langle proof \rangle$

lemma *ordLeq-ordLess-trans*[*trans*]:
assumes $r \leq_o r'$ **and** $r' <_o r''$
shows $r <_o r''$
 $\langle proof \rangle$

lemma *ordLess-ordLeq-trans*[*trans*]:
assumes $r <_o r'$ **and** $r' \leq_o r''$
shows $r <_o r''$
 $\langle proof \rangle$

lemma *ordLeq-ordIso-trans*[*trans*]:
assumes $r \leq_o r'$ **and** $r' =_o r''$
shows $r \leq_o r''$
 $\langle proof \rangle$

lemma *ordIso-ordLeq-trans*[*trans*]:
assumes $r =_o r'$ **and** $r' \leq_o r''$
shows $r \leq_o r''$
 $\langle proof \rangle$

lemma *ordLess-ordIso-trans*[*trans*]:
assumes $r <_o r'$ **and** $r' =_o r''$
shows $r <_o r''$
 $\langle proof \rangle$

lemma *ordIso-ordLess-trans*[*trans*]:
assumes $r =_o r'$ **and** $r' <_o r''$
shows $r <_o r''$
 $\langle proof \rangle$

lemma *ordLess-not-embed*:
assumes $r <_o r'$
shows $\neg(\exists f'. \text{embed } r' r f')$
 $\langle proof \rangle$

lemma *ordLess-Field*:
assumes *OL*: $r1 <_o r2$ **and** *EMB*: $\text{embed } r1 r2 f$
shows $\neg(f'(Field\ r1) = Field\ r2)$
 $\langle proof \rangle$

lemma *ordLess-iff*:

$r <_o r' = (\text{Well-order } r \wedge \text{Well-order } r' \wedge \neg(\exists f'. \text{embed } r' \ r \ f'))$
 $\langle \text{proof} \rangle$

lemma *ordLess-irreflexive*: $\neg r <_o r$
 $\langle \text{proof} \rangle$

lemma *ordLeq-iff-ordLess-or-ordIso*:
 $r \leq_o r' = (r <_o r' \vee r =_o r')$
 $\langle \text{proof} \rangle$

lemma *ordIso-iff-ordLeq*:
 $(r =_o r') = (r \leq_o r' \wedge r' \leq_o r)$
 $\langle \text{proof} \rangle$

lemma *not-ordLess-ordLeq*:
 $r <_o r' \implies \neg r' \leq_o r$
 $\langle \text{proof} \rangle$

lemma *ordLess-or-ordLeq*:
assumes *WELL*: *Well-order* r **and** *WELL'*: *Well-order* r'
shows $r <_o r' \vee r' \leq_o r$
 $\langle \text{proof} \rangle$

lemma *not-ordLess-ordIso*:
 $r <_o r' \implies \neg r =_o r'$
 $\langle \text{proof} \rangle$

lemma *not-ordLeq-iff-ordLess*:
assumes *WELL*: *Well-order* r **and** *WELL'*: *Well-order* r'
shows $(\neg r' \leq_o r) = (r <_o r')$
 $\langle \text{proof} \rangle$

lemma *not-ordLess-iff-ordLeq*:
assumes *WELL*: *Well-order* r **and** *WELL'*: *Well-order* r'
shows $(\neg r' <_o r) = (r \leq_o r')$
 $\langle \text{proof} \rangle$

lemma *ordLess-transitive[trans]*:
 $\llbracket r <_o r'; r' <_o r'' \rrbracket \implies r <_o r''$
 $\langle \text{proof} \rangle$

corollary *ordLess-trans*: *trans* *ordLess*
 $\langle \text{proof} \rangle$

lemmas *ordIso-equivalence* = *ordIso-transitive* *ordIso-reflexive* *ordIso-symmetric*

lemma *ordIso-imp-ordLeq*:
 $r =_o r' \implies r \leq_o r'$
 $\langle \text{proof} \rangle$

lemma *ordLess-imp-ordLeq*:

$r <_o r' \implies r \leq_o r'$

<proof>

lemma *ofilter-subset-ordLeq*:

assumes *WELL*: *Well-order* r **and**

OFA: *wo-rel.ofilter* r A **and** *OFB*: *wo-rel.ofilter* r B

shows $(A \leq B) = (\text{Restr } r \ A \leq_o \text{Restr } r \ B)$

<proof>

lemma *ofilter-subset-ordLess*:

assumes *WELL*: *Well-order* r **and**

OFA: *wo-rel.ofilter* r A **and** *OFB*: *wo-rel.ofilter* r B

shows $(A < B) = (\text{Restr } r \ A <_o \text{Restr } r \ B)$

<proof>

lemma *ofilter-ordLess*:

$\llbracket \text{Well-order } r; \text{wo-rel.ofilter } r \ A \rrbracket \implies (A < \text{Field } r) = (\text{Restr } r \ A <_o r)$

<proof>

corollary *underS-Restr-ordLess*:

assumes *Well-order* r **and** *Field* $r \neq \{\}$

shows $\text{Restr } r \ (\text{underS } r \ a) <_o r$

<proof>

lemma *embed-ordLess-ofilterIncl*:

assumes

OL12: $r1 <_o r2$ **and** *OL23*: $r2 <_o r3$ **and**

EMB13: *embed* $r1 \ r3 \ f13$ **and** *EMB23*: *embed* $r2 \ r3 \ f23$

shows $(f13'(\text{Field } r1), f23'(\text{Field } r2)) \in (\text{ofilterIncl } r3)$

<proof>

lemma *ordLess-iff-ordIso-Restr*:

assumes *WELL*: *Well-order* r **and** *WELL'*: *Well-order* r'

shows $(r' <_o r) = (\exists a \in \text{Field } r. r' =_o \text{Restr } r \ (\text{underS } r \ a))$

<proof>

lemma *internalize-ordLess*:

$(r' <_o r) = (\exists p. \text{Field } p < \text{Field } r \wedge r' =_o p \wedge p <_o r)$

<proof>

lemma *internalize-ordLeq*:

$(r' \leq_o r) = (\exists p. \text{Field } p \leq \text{Field } r \wedge r' =_o p \wedge p \leq_o r)$

<proof>

lemma *ordLeq-iff-ordLess-Restr*:

assumes *WELL*: *Well-order* r **and** *WELL'*: *Well-order* r'

shows $(r \leq_o r') = (\forall a \in \text{Field } r. \text{Restr } r \ (\text{underS } r \ a) <_o r')$

<proof>

lemma *finite-ordLess-infinite*:

assumes *WELL*: Well-order r **and** *WELL'*: Well-order r' **and**
FIN: *finite*(*Field* r) **and** *INF*: \neg *finite*(*Field* r')

shows $r <_o r'$

<proof>

lemma *finite-well-order-on-ordIso*:

assumes *FIN*: *finite* A **and**

WELL: well-order-on A r **and** *WELL'*: well-order-on A r'

shows $r =_o r'$

<proof>

28.5 $<_o$ is well-founded

Of course, it only makes sense to state that the $<_o$ is well-founded on the restricted type $'a \text{ rel } \text{rel}$. We prove this by first showing that, for any set of well-orders all embedded in a fixed well-order, the function mapping each well-order in the set to an order filter of the fixed well-order is compatible w.r.t. to $<_o$ versus *strict inclusion*; and we already know that strict inclusion of order filters is well-founded.

definition *ord-to-filter* $:: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ set}$

where *ord-to-filter* $r0$ $r \equiv (\text{SOME } f. \text{embed } r \text{ } r0 \text{ } f) \text{ ' (Field } r)$

lemma *ord-to-filter-compat*:

compat (*ordLess* *Int* (*ordLess* $\hat{-} 1$ “ $\{r0\} \times \text{ordLess} \hat{-} 1$ “ $\{r0\}$ ”))
 (*ofilterIncl* $r0$)
 (*ord-to-filter* $r0$)

<proof>

theorem *wf-ordLess*: *wf* *ordLess*

<proof>

corollary *exists-minim-Well-order*:

assumes *NE*: $R \neq \{\}$ **and** *WELL*: $\forall r \in R. \text{Well-order } r$

shows $\exists r \in R. \forall r' \in R. r \leq_o r'$

<proof>

28.6 Copy via direct images

The direct image operator is the dual of the inverse image operator *inv-image* from *Relation.thy*. It is useful for transporting a well-order between different types.

definition *dir-image* $:: 'a \text{ rel} \Rightarrow ('a \Rightarrow 'a') \Rightarrow 'a' \text{ rel}$

where

dir-image $r \text{ } f = \{(f \text{ } a, f \text{ } b) \mid a \text{ } b. (a, b) \in r\}$

lemma *dir-image-Field*:

$\text{Field}(\text{dir-image } r \ f) = f \text{ ` } (\text{Field } r)$

$\langle \text{proof} \rangle$

lemma *dir-image-minus-Id*:

$\text{inj-on } f \ (\text{Field } r) \implies (\text{dir-image } r \ f) - \text{Id} = \text{dir-image } (r - \text{Id}) \ f$

$\langle \text{proof} \rangle$

lemma *Refl-dir-image*:

assumes *Refl* r

shows $\text{Refl}(\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *trans-dir-image*:

assumes *TRANS*: $\text{trans } r$ **and** *INJ*: $\text{inj-on } f \ (\text{Field } r)$

shows $\text{trans}(\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *Preorder-dir-image*:

$\llbracket \text{Preorder } r; \text{inj-on } f \ (\text{Field } r) \rrbracket \implies \text{Preorder } (\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *antisym-dir-image*:

assumes *AN*: $\text{antisym } r$ **and** *INJ*: $\text{inj-on } f \ (\text{Field } r)$

shows $\text{antisym}(\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *Partial-order-dir-image*:

$\llbracket \text{Partial-order } r; \text{inj-on } f \ (\text{Field } r) \rrbracket \implies \text{Partial-order } (\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *Total-dir-image*:

assumes *TOT*: $\text{Total } r$ **and** *INJ*: $\text{inj-on } f \ (\text{Field } r)$

shows $\text{Total}(\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *Linear-order-dir-image*:

$\llbracket \text{Linear-order } r; \text{inj-on } f \ (\text{Field } r) \rrbracket \implies \text{Linear-order } (\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *wf-dir-image*:

assumes *WF*: $\text{wf } r$ **and** *INJ*: $\text{inj-on } f \ (\text{Field } r)$

shows $\text{wf}(\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *Well-order-dir-image*:

$\llbracket \text{Well-order } r; \text{inj-on } f \ (\text{Field } r) \rrbracket \implies \text{Well-order } (\text{dir-image } r \ f)$

$\langle \text{proof} \rangle$

lemma *dir-image-bij-betw*:

$\llbracket \text{inj-on } f \text{ (Field } r) \rrbracket \implies \text{bij-betw } f \text{ (Field } r) \text{ (Field (dir-image } r \text{ } f))}$
 $\langle \text{proof} \rangle$

lemma *dir-image-compat*:

$\text{compat } r \text{ (dir-image } r \text{ } f) \text{ } f$
 $\langle \text{proof} \rangle$

lemma *dir-image-iso*:

$\llbracket \text{Well-order } r; \text{inj-on } f \text{ (Field } r) \rrbracket \implies \text{iso } r \text{ (dir-image } r \text{ } f) \text{ } f$
 $\langle \text{proof} \rangle$

lemma *dir-image-ordIso*:

$\llbracket \text{Well-order } r; \text{inj-on } f \text{ (Field } r) \rrbracket \implies r =_o \text{dir-image } r \text{ } f$
 $\langle \text{proof} \rangle$

lemma *Well-order-iso-copy*:

assumes *WELL*: *well-order-on* A r **and** *BIJ*: *bij-betw* f A A'
shows $\exists r'. \text{well-order-on } A' \text{ } r' \wedge r =_o r'$
 $\langle \text{proof} \rangle$

28.7 Bounded square

This construction essentially defines, for an order relation r , a lexicographic order $\text{bsqr } r$ on $(\text{Field } r) \times (\text{Field } r)$, applying the following criteria (in this order):

- compare the maximums;
- compare the first components;
- compare the second components.

The only application of this construction that we are aware of is at proving that the square of an infinite set has the same cardinal as that set. The essential property required there (and which is ensured by this construction) is that any proper order filter of the product order is included in a rectangle, i.e., in a product of proper filters on the original relation (assumed to be a well-order).

definition $\text{bsqr} :: 'a \text{ rel} \Rightarrow ('a * 'a) \text{rel}$

where

$\text{bsqr } r = \{((a1, a2), (b1, b2)) .$
 $\{a1, a2, b1, b2\} \leq \text{Field } r \wedge$
 $(a1 = b1 \wedge a2 = b2 \vee$
 $(\text{wo-rel.max2 } r \text{ } a1 \text{ } a2, \text{wo-rel.max2 } r \text{ } b1 \text{ } b2) \in r - \text{Id} \vee$
 $\text{wo-rel.max2 } r \text{ } a1 \text{ } a2 = \text{wo-rel.max2 } r \text{ } b1 \text{ } b2 \wedge (a1, b1) \in r - \text{Id} \vee$
 $\text{wo-rel.max2 } r \text{ } a1 \text{ } a2 = \text{wo-rel.max2 } r \text{ } b1 \text{ } b2 \wedge a1 = b1 \wedge (a2, b2) \in r$
 $- \text{Id}$

)}

lemma *Field-bsqr*:

Field (bsqr *r*) = *Field* *r* × *Field* *r*

⟨*proof*⟩

lemma *bsqr-Refl*: *Refl*(bsqr *r*)

⟨*proof*⟩

lemma *bsqr-Trans*:

assumes *Well-order* *r*

shows *trans* (bsqr *r*)

⟨*proof*⟩

lemma *bsqr-antisym*:

assumes *Well-order* *r*

shows *antisym* (bsqr *r*)

⟨*proof*⟩

lemma *bsqr-Total*:

assumes *Well-order* *r*

shows *Total*(bsqr *r*)

⟨*proof*⟩

lemma *bsqr-Linear-order*:

assumes *Well-order* *r*

shows *Linear-order*(bsqr *r*)

⟨*proof*⟩

lemma *bsqr-Well-order*:

assumes *Well-order* *r*

shows *Well-order*(bsqr *r*)

⟨*proof*⟩

lemma *bsqr-max2*:

assumes *WELL*: *Well-order* *r* **and** *LEQ*: ((*a1*, *a2*), (*b1*, *b2*)) ∈ bsqr *r*

shows (*wo-rel.max2* *r* *a1* *a2*, *wo-rel.max2* *r* *b1* *b2*) ∈ *r*

⟨*proof*⟩

lemma *bsqr-ofilter*:

assumes *WELL*: *Well-order* *r* **and**

OF: *wo-rel.ofilter* (bsqr *r*) *D* **and** *SUB*: *D* < *Field* *r* × *Field* *r* **and**

NE: ¬ (∃ *a*. *Field* *r* = *under* *r* *a*)

shows ∃ *A*. *wo-rel.ofilter* *r* *A* ∧ *A* < *Field* *r* ∧ *D* ≤ *A* × *A*

⟨*proof*⟩

definition *Func* **where**

Func *A* *B* = {*f* . (∀ *a* ∈ *A*. *f* *a* ∈ *B*) ∧ (∀ *a*. *a* ∉ *A* ⟶ *f* *a* = undefined)}

lemma *Func-empty*:

Func $\{\}$ $B = \{\lambda x. \text{undefined}\}$

$\langle \text{proof} \rangle$

lemma *Func-elim*:

assumes $g \in \text{Func } A \ B$ **and** $a \in A$

shows $\exists b. b \in B \wedge g \ a = b$

$\langle \text{proof} \rangle$

definition *curr where*

$\text{curr } A \ f \equiv \lambda a. \text{ if } a \in A \text{ then } \lambda b. f \ (a,b) \text{ else undefined}$

lemma *curr-in*:

assumes $f: f \in \text{Func } (A \times B) \ C$

shows $\text{curr } A \ f \in \text{Func } A \ (\text{Func } B \ C)$

$\langle \text{proof} \rangle$

lemma *curr-inj*:

assumes $f1 \in \text{Func } (A \times B) \ C$ **and** $f2 \in \text{Func } (A \times B) \ C$

shows $\text{curr } A \ f1 = \text{curr } A \ f2 \longleftrightarrow f1 = f2$

$\langle \text{proof} \rangle$

lemma *curr-surj*:

assumes $g \in \text{Func } A \ (\text{Func } B \ C)$

shows $\exists f \in \text{Func } (A \times B) \ C. \text{ curr } A \ f = g$

$\langle \text{proof} \rangle$

lemma *bij-betw-curr*:

$\text{bij-betw } (\text{curr } A) \ (\text{Func } (A \times B) \ C) \ (\text{Func } A \ (\text{Func } B \ C))$

$\langle \text{proof} \rangle$

definition *Func-map where*

$\text{Func-map } B2 \ f1 \ f2 \ g \ b2 \equiv \text{ if } b2 \in B2 \text{ then } f1 \ (g \ (f2 \ b2)) \text{ else undefined}$

lemma *Func-map*:

assumes $g: g \in \text{Func } A2 \ A1$ **and** $f1: f1 \text{ ' } A1 \subseteq B1$ **and** $f2: f2 \text{ ' } B2 \subseteq A2$

shows $\text{Func-map } B2 \ f1 \ f2 \ g \in \text{Func } B2 \ B1$

$\langle \text{proof} \rangle$

lemma *Func-non-emp*:

assumes $B \neq \{\}$

shows $\text{Func } A \ B \neq \{\}$

$\langle \text{proof} \rangle$

lemma *Func-is-emp*:

$\text{Func } A \ B = \{\} \longleftrightarrow A \neq \{\} \wedge B = \{\} \text{ (is } ?L \longleftrightarrow ?R)$

$\langle \text{proof} \rangle$

lemma *Func-map-surj*:

assumes $B1: f1 \text{ ‘ } A1 = B1$ **and** $A2: inj\text{-}on\ f2\ B2\ f2 \text{ ‘ } B2 \subseteq A2$
and $B2A2: B2 = \{\} \implies A2 = \{\}$
shows $Func\ B2\ B1 = Func\text{-}map\ B2\ f1\ f2 \text{ ‘ } Func\ A2\ A1$
 $\langle proof \rangle$
end

29 Cardinal-Order Relations as Needed by Bounded Natural Functors

theory *BNF-Cardinal-Order-Relation*
imports *Zorn BNF-Wellorder-Constructions*
begin

In this section, we define cardinal-order relations to be minim well-orders on their field. Then we define the cardinal of a set to be *some* cardinal-order relation on that set, which will be unique up to order isomorphism. Then we study the connection between cardinals and:

- standard set-theoretic constructions: products, sums, unions, lists, powersets, set-of finite sets operator;
- finiteness and infiniteness (in particular, with the numeric cardinal operator for finite sets, *card*, from the theory *Finite-Sets.thy*).

On the way, we define the canonical ω cardinal and finite cardinals. We also define (again, up to order isomorphism) the successor of a cardinal, and show that any cardinal admits a successor.

Main results of this section are the existence of cardinal relations and the facts that, in the presence of infiniteness, most of the standard set-theoretic constructions (except for the powerset) *do not increase cardinality*. In particular, e.g., the set of words/lists over any infinite set has the same cardinality (hence, is in bijection) with that set.

29.1 Cardinal orders

A cardinal order in our setting shall be a well-order *minim* w.r.t. the order-embedding relation, \leq_o (which is the same as being *minimal* w.r.t. the strict order-embedding relation, $<_o$), among all the well-orders on its field.

definition *card-order-on* :: $'a\ set \Rightarrow 'a\ rel \Rightarrow bool$

where

card-order-on $A\ r \equiv well\text{-}order\text{-}on\ A\ r \wedge (\forall r'. well\text{-}order\text{-}on\ A\ r' \longrightarrow r \leq_o r')$

abbreviation *Card-order* $r \equiv card\text{-}order\text{-}on\ (Field\ r)\ r$

abbreviation *card-order* $r \equiv card\text{-}order\text{-}on\ UNIV\ r$

lemma *card-order-on-well-order-on*:

assumes *card-order-on* A r

shows *well-order-on* A r

<proof>

lemma *card-order-on-Card-order*:

card-order-on A $r \implies A = \text{Field } r \wedge \text{Card-order } r$

<proof>

The existence of a cardinal relation on any given set (which will mean that any set has a cardinal) follows from two facts:

- Zermelo’s theorem (proved in *Zorn.thy* as theorem *well-order-on*), which states that on any given set there exists a well-order;
- The well-founded-ness of $<_o$, ensuring that then there exists a minimal such well-order, i.e., a cardinal order.

theorem *card-order-on*: $\exists r. \text{card-order-on } A \ r$

<proof>

lemma *card-order-on-ordIso*:

assumes *CO*: *card-order-on* A r **and** *CO'*: *card-order-on* A r'

shows $r =_o r'$

<proof>

lemma *Card-order-ordIso*:

assumes *CO*: *Card-order* r **and** *ISO*: $r' =_o r$

shows *Card-order* r'

<proof>

lemma *Card-order-ordIso2*:

assumes *CO*: *Card-order* r **and** *ISO*: $r =_o r'$

shows *Card-order* r'

<proof>

29.2 Cardinal of a set

We define the cardinal of set to be *some* cardinal order on that set. We shall prove that this notion is unique up to order isomorphism, meaning that order isomorphism shall be the true identity of cardinals.

definition *card-of* :: *'a set* \Rightarrow *'a rel* ($|-|$)

where *card-of* $A = (\text{SOME } r. \text{card-order-on } A \ r)$

lemma *card-of-card-order-on*: *card-order-on* A $|A|$

<proof>

lemma *card-of-well-order-on*: *well-order-on* $A \mid A \mid$
 $\langle proof \rangle$

lemma *Field-card-of*: *Field* $\mid A \mid = A$
 $\langle proof \rangle$

lemma *card-of-Card-order*: *Card-order* $\mid A \mid$
 $\langle proof \rangle$

corollary *ordIso-card-of-imp-Card-order*:
 $r =_o \mid A \mid \implies \text{Card-order } r$
 $\langle proof \rangle$

lemma *card-of-Well-order*: *Well-order* $\mid A \mid$
 $\langle proof \rangle$

lemma *card-of-refl*: $\mid A \mid =_o \mid A \mid$
 $\langle proof \rangle$

lemma *card-of-least*: *well-order-on* $A \ r \implies \mid A \mid \leq_o r$
 $\langle proof \rangle$

lemma *card-of-ordIso*:
 $(\exists f. \text{bij-betw } f \ A \ B) = (\mid A \mid =_o \mid B \mid)$
 $\langle proof \rangle$

lemma *card-of-ordLeq*:
 $(\exists f. \text{inj-on } f \ A \wedge f \ ' \ A \leq B) = (\mid A \mid \leq_o \mid B \mid)$
 $\langle proof \rangle$

lemma *card-of-ordLeq2*:
 $A \neq \{\} \implies (\exists g. g \ ' \ B = A) = (\mid A \mid \leq_o \mid B \mid)$
 $\langle proof \rangle$

lemma *card-of-ordLess*:
 $(\neg(\exists f. \text{inj-on } f \ A \wedge f \ ' \ A \leq B)) = (\mid B \mid <_o \mid A \mid)$
 $\langle proof \rangle$

lemma *card-of-ordLess2*:
 $B \neq \{\} \implies (\neg(\exists f. f \ ' \ A = B)) = (\mid A \mid <_o \mid B \mid)$
 $\langle proof \rangle$

lemma *card-of-ordIsoI*:
assumes *bij-betw* $f \ A \ B$
shows $\mid A \mid =_o \mid B \mid$
 $\langle proof \rangle$

lemma *card-of-ordLeqI*:
assumes *inj-on* $f \ A$ **and** $\bigwedge a. a \in A \implies f \ a \in B$

shows $|A| \leq_o |B|$
 $\langle proof \rangle$

lemma *card-of-unique*:
card-order-on $A \implies r =_o |A|$
 $\langle proof \rangle$

lemma *card-of-mono1*:
 $A \leq B \implies |A| \leq_o |B|$
 $\langle proof \rangle$

lemma *card-of-mono2*:
assumes $r \leq_o r'$
shows $|Field\ r| \leq_o |Field\ r'|$
 $\langle proof \rangle$

lemma *card-of-cong*: $r =_o r' \implies |Field\ r| =_o |Field\ r'|$
 $\langle proof \rangle$

lemma *card-of-Field-ordLess*: *Well-order* $r \implies |Field\ r| \leq_o r$
 $\langle proof \rangle$

lemma *card-of-Field-ordIso*:
assumes *Card-order* r
shows $|Field\ r| =_o r$
 $\langle proof \rangle$

lemma *Card-order-iff-ordIso-card-of*:
Card-order $r = (r =_o |Field\ r|)$
 $\langle proof \rangle$

lemma *Card-order-iff-ordLeq-card-of*:
Card-order $r = (r \leq_o |Field\ r|)$
 $\langle proof \rangle$

lemma *Card-order-iff-Restr-underS*:
assumes *Well-order* r
shows *Card-order* $r = (\forall a \in Field\ r. Restr\ r\ (underS\ r\ a) <_o |Field\ r|)$
 $\langle proof \rangle$

lemma *card-of-underS*:
assumes r : *Card-order* r **and** a : $a : Field\ r$
shows $|underS\ r\ a| <_o r$
 $\langle proof \rangle$

lemma *ordLess-Field*:
assumes $r <_o r'$
shows $|Field\ r| <_o r'$
 $\langle proof \rangle$

lemma *internalize-card-of-ordLeq*:

$(|A| \leq_o r) = (\exists B \leq \text{Field } r. |A| =_o |B| \wedge |B| \leq_o r)$
 $\langle \text{proof} \rangle$

lemma *internalize-card-of-ordLeq2*:

$(|A| \leq_o |C|) = (\exists B \leq C. |A| =_o |B| \wedge |B| \leq_o |C|)$
 $\langle \text{proof} \rangle$

29.3 Cardinals versus set operations on arbitrary sets

Here we embark in a long journey of simple results showing that the standard set-theoretic operations are well-behaved w.r.t. the notion of cardinal – essentially, this means that they preserve the “cardinal identity” $=_o$ and are monotonic w.r.t. \leq_o .

lemma *card-of-empty*: $|\{\}| \leq_o |A|$
 $\langle \text{proof} \rangle$

lemma *card-of-empty1*:

assumes *Well-order* $r \vee$ *Card-order* r

shows $|\{\}| \leq_o r$

$\langle \text{proof} \rangle$

corollary *Card-order-empty*:

Card-order $r \implies |\{\}| \leq_o r$ $\langle \text{proof} \rangle$

lemma *card-of-empty2*:

assumes *LEQ*: $|A| =_o |\{\}|$

shows $A = \{\}$

$\langle \text{proof} \rangle$

lemma *card-of-empty3*:

assumes *LEQ*: $|A| \leq_o |\{\}|$

shows $A = \{\}$

$\langle \text{proof} \rangle$

lemma *card-of-empty-ordIso*:

$|\{\}::'a \text{ set}| =_o |\{\}::'b \text{ set}|$

$\langle \text{proof} \rangle$

lemma *card-of-image*:

$|f \, ' \, A| \leq_o |A|$

$\langle \text{proof} \rangle$

lemma *surj-imp-ordLeq*:

assumes $B \subseteq f \, ' \, A$

shows $|B| \leq_o |A|$

$\langle \text{proof} \rangle$

lemma *card-of-singl-ordLeq*:

assumes $A \neq \{\}$

shows $|\{b\}| \leq_o |A|$

<proof>

corollary *Card-order-singl-ordLeq*:

$\llbracket \text{Card-order } r; \text{Field } r \neq \{\} \rrbracket \implies |\{b\}| \leq_o r$

<proof>

lemma *card-of-Pow*: $|A| <_o |\text{Pow } A|$

<proof>

corollary *Card-order-Pow*:

$\text{Card-order } r \implies r <_o |\text{Pow}(\text{Field } r)|$

<proof>

lemma *card-of-Plus1*: $|A| \leq_o |A <+> B|$

<proof>

corollary *Card-order-Plus1*:

$\text{Card-order } r \implies r \leq_o |(Field\ r) <+> B|$

<proof>

lemma *card-of-Plus2*: $|B| \leq_o |A <+> B|$

<proof>

corollary *Card-order-Plus2*:

$\text{Card-order } r \implies r \leq_o |A <+> (Field\ r)|$

<proof>

lemma *card-of-Plus-empty1*: $|A| =_o |A <+> \{\}|$

<proof>

lemma *card-of-Plus-empty2*: $|A| =_o |\{\} <+> A|$

<proof>

lemma *card-of-Plus-commute*: $|A <+> B| =_o |B <+> A|$

<proof>

lemma *card-of-Plus-assoc*:

fixes $A :: 'a\ set$ **and** $B :: 'b\ set$ **and** $C :: 'c\ set$

shows $|(A <+> B) <+> C| =_o |A <+> B <+> C|$

<proof>

lemma *card-of-Plus-mono1*:

assumes $|A| \leq_o |B|$

shows $|A <+> C| \leq_o |B <+> C|$

<proof>

corollary *ordLeq-Plus-mono1*:

assumes $r \leq_o r'$

shows $|(Field\ r) <+> C| \leq_o |(Field\ r') <+> C|$

<proof>

lemma *card-of-Plus-mono2*:

assumes $|A| \leq_o |B|$

shows $|C <+> A| \leq_o |C <+> B|$

<proof>

corollary *ordLeq-Plus-mono2*:

assumes $r \leq_o r'$

shows $|A <+> (Field\ r)| \leq_o |A <+> (Field\ r')|$

<proof>

lemma *card-of-Plus-mono*:

assumes $|A| \leq_o |B|$ **and** $|C| \leq_o |D|$

shows $|A <+> C| \leq_o |B <+> D|$

<proof>

corollary *ordLeq-Plus-mono*:

assumes $r \leq_o r'$ **and** $p \leq_o p'$

shows $|(Field\ r) <+> (Field\ p)| \leq_o |(Field\ r') <+> (Field\ p')|$

<proof>

lemma *card-of-Plus-cong1*:

assumes $|A| =_o |B|$

shows $|A <+> C| =_o |B <+> C|$

<proof>

corollary *ordIso-Plus-cong1*:

assumes $r =_o r'$

shows $|(Field\ r) <+> C| =_o |(Field\ r') <+> C|$

<proof>

lemma *card-of-Plus-cong2*:

assumes $|A| =_o |B|$

shows $|C <+> A| =_o |C <+> B|$

<proof>

corollary *ordIso-Plus-cong2*:

assumes $r =_o r'$

shows $|A <+> (Field\ r)| =_o |A <+> (Field\ r')|$

<proof>

lemma *card-of-Plus-cong*:

assumes $|A| =_o |B|$ **and** $|C| =_o |D|$

shows $|A <+> C| =_o |B <+> D|$

$\langle proof \rangle$

corollary *ordIso-Plus-cong*:

assumes $r =_o r'$ **and** $p =_o p'$

shows $|(Field\ r) <+> (Field\ p)| =_o |(Field\ r') <+> (Field\ p')|$

$\langle proof \rangle$

lemma *card-of-Un-Plus-ordLeq*:

$|A \cup B| \leq_o |A <+> B|$

$\langle proof \rangle$

lemma *card-of-Times1*:

assumes $A \neq \{\}$

shows $|B| \leq_o |B \times A|$

$\langle proof \rangle$

lemma *card-of-Times-commute*: $|A \times B| =_o |B \times A|$

$\langle proof \rangle$

lemma *card-of-Times2*:

assumes $A \neq \{\}$ **shows** $|B| \leq_o |A \times B|$

$\langle proof \rangle$

corollary *Card-order-Times1*:

$\llbracket Card\text{-}order\ r; B \neq \{\} \rrbracket \implies r \leq_o |(Field\ r) \times B|$

$\langle proof \rangle$

corollary *Card-order-Times2*:

$\llbracket Card\text{-}order\ r; A \neq \{\} \rrbracket \implies r \leq_o |A \times (Field\ r)|$

$\langle proof \rangle$

lemma *card-of-Times3*: $|A| \leq_o |A \times A|$

$\langle proof \rangle$

lemma *card-of-Plus-Times-bool*: $|A <+> A| =_o |A \times (UNIV::bool\ set)|$

$\langle proof \rangle$

lemma *card-of-Times-mono1*:

assumes $|A| \leq_o |B|$

shows $|A \times C| \leq_o |B \times C|$

$\langle proof \rangle$

corollary *ordLeq-Times-mono1*:

assumes $r \leq_o r'$

shows $|(Field\ r) \times C| \leq_o |(Field\ r') \times C|$

$\langle proof \rangle$

lemma *card-of-Times-mono2*:

assumes $|A| \leq_o |B|$

shows $|C \times A| \leq_o |C \times B|$
 $\langle proof \rangle$

corollary *ordLeq-Times-mono2*:
assumes $r \leq_o r'$
shows $|A \times (Field\ r)| \leq_o |A \times (Field\ r')|$
 $\langle proof \rangle$

lemma *card-of-Sigma-mono1*:
assumes $\forall i \in I. |A\ i| \leq_o |B\ i|$
shows $|SIGMA\ i : I. A\ i| \leq_o |SIGMA\ i : I. B\ i|$
 $\langle proof \rangle$

lemma *card-of-UNION-Sigma*:
 $|\bigcup i \in I. A\ i| \leq_o |SIGMA\ i : I. A\ i|$
 $\langle proof \rangle$

lemma *card-of-bool*:
assumes $a1 \neq a2$
shows $|UNIV::bool\ set| =_o |\{a1, a2\}|$
 $\langle proof \rangle$

lemma *card-of-Plus-Times-aux*:
assumes $A2: a1 \neq a2 \wedge \{a1, a2\} \leq A$ **and**
 $LEQ: |A| \leq_o |B|$
shows $|A <+> B| \leq_o |A \times B|$
 $\langle proof \rangle$

lemma *card-of-Plus-Times*:
assumes $A2: a1 \neq a2 \wedge \{a1, a2\} \leq A$ **and**
 $B2: b1 \neq b2 \wedge \{b1, b2\} \leq B$
shows $|A <+> B| \leq_o |A \times B|$
 $\langle proof \rangle$

lemma *card-of-Times-Plus-distrib*:
 $|A \times (B <+> C)| =_o |A \times B <+> A \times C|$ (**is** $|?RHS| =_o |?LHS|$)
 $\langle proof \rangle$

lemma *card-of-ordLeq-finite*:
assumes $|A| \leq_o |B|$ **and** *finite B*
shows *finite A*
 $\langle proof \rangle$

lemma *card-of-ordLeq-infinite*:
assumes $|A| \leq_o |B|$ **and** \neg *finite A*
shows \neg *finite B*
 $\langle proof \rangle$

lemma *card-of-ordIso-finite*:

assumes $|A| =_o |B|$
shows $\text{finite } A = \text{finite } B$
 $\langle \text{proof} \rangle$

lemma *card-of-ordIso-finite-Field*:
assumes *Card-order* r **and** $r =_o |A|$
shows $\text{finite}(\text{Field } r) = \text{finite } A$
 $\langle \text{proof} \rangle$

29.4 Cardinals versus set operations involving infinite sets

Here we show that, for infinite sets, most set-theoretic constructions do not increase the cardinality. The cornerstone for this is theorem *Card-order-Times-same-infinite*, which states that self-product does not increase cardinality – the proof of this fact adapts a standard set-theoretic argument, as presented, e.g., in the proof of theorem 1.5.11 at page 47 in [2]. Then everything else follows fairly easily.

lemma *infinite-iff-card-of-nat*:
 $\neg \text{finite } A \longleftrightarrow (|UNIV::\text{nat set}| \leq_o |A|)$
 $\langle \text{proof} \rangle$

The next two results correspond to the ZF fact that all infinite cardinals are limit ordinals:

lemma *Card-order-infinite-not-under*:
assumes *CARD*: *Card-order* r **and** *INF*: $\neg \text{finite}(\text{Field } r)$
shows $\neg (\exists a. \text{Field } r = \text{under } r a)$
 $\langle \text{proof} \rangle$

lemma *infinite-Card-order-limit*:
assumes r : *Card-order* r **and** $\neg \text{finite}(\text{Field } r)$
and a : $a : \text{Field } r$
shows $\exists b : \text{Field } r. a \neq b \wedge (a, b) : r$
 $\langle \text{proof} \rangle$

theorem *Card-order-Times-same-infinite*:
assumes *CO*: *Card-order* r **and** *INF*: $\neg \text{finite}(\text{Field } r)$
shows $|\text{Field } r \times \text{Field } r| \leq_o r$
 $\langle \text{proof} \rangle$

corollary *card-of-Times-same-infinite*:
assumes $\neg \text{finite } A$
shows $|A \times A| =_o |A|$
 $\langle \text{proof} \rangle$

lemma *card-of-Times-infinite*:
assumes *INF*: $\neg \text{finite } A$ **and** *NE*: $B \neq \{\}$ **and** *LEQ*: $|B| \leq_o |A|$
shows $|A \times B| =_o |A| \wedge |B \times A| =_o |A|$
 $\langle \text{proof} \rangle$

corollary *Card-order-Times-infinite:*

assumes *INF*: $\neg \text{finite}(\text{Field } r)$ **and** *CARD*: *Card-order* r **and**

NE: $\text{Field } p \neq \{\}$ **and** *LEQ*: $p \leq_o r$

shows $|(\text{Field } r) \times (\text{Field } p)| =_o r \wedge | (\text{Field } p) \times (\text{Field } r)| =_o r$
 $\langle \text{proof} \rangle$

lemma *card-of-Sigma-ordLeq-infinite:*

assumes *INF*: $\neg \text{finite } B$ **and**

LEQ-I: $|I| \leq_o |B|$ **and** *LEQ*: $\forall i \in I. |A \ i| \leq_o |B|$

shows $| \text{SIGMA } i : I. A \ i| \leq_o |B|$
 $\langle \text{proof} \rangle$

lemma *card-of-Sigma-ordLeq-infinite-Field:*

assumes *INF*: $\neg \text{finite}(\text{Field } r)$ **and** r : *Card-order* r **and**

LEQ-I: $|I| \leq_o r$ **and** *LEQ*: $\forall i \in I. |A \ i| \leq_o r$

shows $| \text{SIGMA } i : I. A \ i| \leq_o r$
 $\langle \text{proof} \rangle$

lemma *card-of-Times-ordLeq-infinite-Field:*

$\llbracket \neg \text{finite}(\text{Field } r); |A| \leq_o r; |B| \leq_o r; \text{Card-order } r \rrbracket$

$\implies |A \times B| \leq_o r$

$\langle \text{proof} \rangle$

lemma *card-of-Times-infinite-simps:*

$\llbracket \neg \text{finite } A; B \neq \{\}; |B| \leq_o |A| \rrbracket \implies |A \times B| =_o |A|$

$\llbracket \neg \text{finite } A; B \neq \{\}; |B| \leq_o |A| \rrbracket \implies |A| =_o |A \times B|$

$\llbracket \neg \text{finite } A; B \neq \{\}; |B| \leq_o |A| \rrbracket \implies |B \times A| =_o |A|$

$\llbracket \neg \text{finite } A; B \neq \{\}; |B| \leq_o |A| \rrbracket \implies |A| =_o |B \times A|$

$\langle \text{proof} \rangle$

lemma *card-of-UNION-ordLeq-infinite:*

assumes *INF*: $\neg \text{finite } B$ **and**

LEQ-I: $|I| \leq_o |B|$ **and** *LEQ*: $\forall i \in I. |A \ i| \leq_o |B|$

shows $| \bigcup_{i \in I} A \ i| \leq_o |B|$
 $\langle \text{proof} \rangle$

corollary *card-of-UNION-ordLeq-infinite-Field:*

assumes *INF*: $\neg \text{finite}(\text{Field } r)$ **and** r : *Card-order* r **and**

LEQ-I: $|I| \leq_o r$ **and** *LEQ*: $\forall i \in I. |A \ i| \leq_o r$

shows $| \bigcup_{i \in I} A \ i| \leq_o r$
 $\langle \text{proof} \rangle$

lemma *card-of-Plus-infinite1:*

assumes *INF*: $\neg \text{finite } A$ **and** *LEQ*: $|B| \leq_o |A|$

shows $|A <+> B| =_o |A|$
 $\langle \text{proof} \rangle$

lemma *card-of-Plus-infinite2:*

assumes $INF: \neg \text{finite } A$ **and** $LEQ: |B| \leq_o |A|$
shows $|B| <+> |A| =_o |A|$
 $\langle \text{proof} \rangle$

lemma *card-of-Plus-infinite*:
assumes $INF: \neg \text{finite } A$ **and** $LEQ: |B| \leq_o |A|$
shows $|A| <+> |B| =_o |A| \wedge |B| <+> |A| =_o |A|$
 $\langle \text{proof} \rangle$

corollary *Card-order-Plus-infinite*:
assumes $INF: \neg \text{finite}(\text{Field } r)$ **and** $CARD: \text{Card-order } r$ **and**
 $LEQ: p \leq_o r$
shows $|(\text{Field } r)| <+> |(\text{Field } p)| =_o r \wedge |(\text{Field } p)| <+> |(\text{Field } r)| =_o r$
 $\langle \text{proof} \rangle$

29.5 The cardinal ω and the finite cardinals

The cardinal ω , of natural numbers, shall be the standard non-strict order relation on *nat*, that we abbreviate by *natLeq*. The finite cardinals shall be the restrictions of these relations to the numbers smaller than fixed numbers *n*, that we abbreviate by *natLeq-on n*.

definition $(\text{natLeq}::(\text{nat} * \text{nat}) \text{ set}) \equiv \{(x,y). x \leq y\}$
definition $(\text{natLess}::(\text{nat} * \text{nat}) \text{ set}) \equiv \{(x,y). x < y\}$

abbreviation $\text{natLeq-on} :: \text{nat} \Rightarrow (\text{nat} * \text{nat}) \text{ set}$
where $\text{natLeq-on } n \equiv \{(x,y). x < n \wedge y < n \wedge x \leq y\}$

lemma *infinite-cartesian-product*:
assumes $\neg \text{finite } A \neg \text{finite } B$
shows $\neg \text{finite } (A \times B)$
 $\langle \text{proof} \rangle$

29.5.1 First as well-orders

lemma *Field-natLeq*: $\text{Field natLeq} = (\text{UNIV}::\text{nat set})$
 $\langle \text{proof} \rangle$

lemma *natLeq-Refl*: Refl natLeq
 $\langle \text{proof} \rangle$

lemma *natLeq-trans*: trans natLeq
 $\langle \text{proof} \rangle$

lemma *natLeq-Preorder*: Preorder natLeq
 $\langle \text{proof} \rangle$

lemma *natLeq-antisym*: antisym natLeq
 $\langle \text{proof} \rangle$

lemma *natLeq-Partial-order: Partial-order natLeq*
 $\langle \text{proof} \rangle$

lemma *natLeq-Total: Total natLeq*
 $\langle \text{proof} \rangle$

lemma *natLeq-Linear-order: Linear-order natLeq*
 $\langle \text{proof} \rangle$

lemma *natLeq-natLess-Id: natLess = natLeq - Id*
 $\langle \text{proof} \rangle$

lemma *natLeq-Well-order: Well-order natLeq*
 $\langle \text{proof} \rangle$

lemma *Field-natLeq-on: Field (natLeq-on n) = {x. x < n}*
 $\langle \text{proof} \rangle$

lemma *natLeq-underS-less: underS natLeq n = {x. x < n}*
 $\langle \text{proof} \rangle$

lemma *Restr-natLeq: Restr natLeq {x. x < n} = natLeq-on n*
 $\langle \text{proof} \rangle$

lemma *Restr-natLeq2:*
Restr natLeq (underS natLeq n) = natLeq-on n
 $\langle \text{proof} \rangle$

lemma *natLeq-on-Well-order: Well-order(natLeq-on n)*
 $\langle \text{proof} \rangle$

corollary *natLeq-on-well-order-on: well-order-on {x. x < n} (natLeq-on n)*
 $\langle \text{proof} \rangle$

lemma *natLeq-on-wo-rel: wo-rel(natLeq-on n)*
 $\langle \text{proof} \rangle$

29.5.2 Then as cardinals

lemma *natLeq-Card-order: Card-order natLeq*
 $\langle \text{proof} \rangle$

corollary *card-of-Field-natLeq:*
 $|Field\ natLeq| =_o\ natLeq$
 $\langle \text{proof} \rangle$

corollary *card-of-nat:*
 $|UNIV::nat\ set| =_o\ natLeq$
 $\langle \text{proof} \rangle$

corollary *infinite-iff-natLeq-ordLeq*:

$\neg \text{finite } A = (\text{natLeq } \leq_o |A|)$
 $\langle \text{proof} \rangle$

corollary *finite-iff-ordLess-natLeq*:

$\text{finite } A = (|A| <_o \text{natLeq})$
 $\langle \text{proof} \rangle$

29.6 The successor of a cardinal

First we define *isCardSuc* r r' , the notion of r' being a successor cardinal of r . Although the definition does not require r to be a cardinal, only this case will be meaningful.

definition *isCardSuc* :: 'a rel \Rightarrow 'a set rel \Rightarrow bool

where

isCardSuc r $r' \equiv$
 $\text{Card-order } r' \wedge r <_o r' \wedge$
 $(\forall (r'': 'a \text{ set rel}). \text{Card-order } r'' \wedge r <_o r'' \longrightarrow r' \leq_o r'')$

Now we introduce the cardinal-successor operator *cardSuc*, by picking *some* cardinal-order relation fulfilling *isCardSuc*. Again, the picked item shall be proved unique up to order-isomorphism.

definition *cardSuc* :: 'a rel \Rightarrow 'a set rel

where

cardSuc $r \equiv \text{SOME } r'. \text{isCardSuc } r \ r'$

lemma *exists-minim-Card-order*:

$\llbracket R \neq \{\}; \forall r \in R. \text{Card-order } r \rrbracket \Longrightarrow \exists r \in R. \forall r' \in R. r \leq_o r'$
 $\langle \text{proof} \rangle$

lemma *exists-isCardSuc*:

assumes *Card-order* r

shows $\exists r'. \text{isCardSuc } r \ r'$

$\langle \text{proof} \rangle$

lemma *cardSuc-isCardSuc*:

assumes *Card-order* r

shows *isCardSuc* r (*cardSuc* r)

$\langle \text{proof} \rangle$

lemma *cardSuc-Card-order*:

Card-order $r \Longrightarrow \text{Card-order}(\text{cardSuc } r)$

$\langle \text{proof} \rangle$

lemma *cardSuc-greater*:

Card-order $r \Longrightarrow r <_o \text{cardSuc } r$

$\langle \text{proof} \rangle$

lemma *cardSuc-ordLeq*:
Card-order $r \implies r \leq_o \text{cardSuc } r$
 ⟨proof⟩

The minimality property of *cardSuc* originally present in its definition is local to the type *'a set rel*, i.e., that of *cardSuc r*:

lemma *cardSuc-least-aux*:
 $\llbracket \text{Card-order } (r::'a \text{ rel}); \text{Card-order } (r'::'a \text{ set rel}); r <_o r' \rrbracket \implies \text{cardSuc } r \leq_o r'$
 ⟨proof⟩

But from this we can infer general minimality:

lemma *cardSuc-least*:
assumes *CARD*: *Card-order* r **and** *CARD'*: *Card-order* r' **and** *LESS*: $r <_o r'$
shows $\text{cardSuc } r \leq_o r'$
 ⟨proof⟩

lemma *cardSuc-ordLess-ordLeq*:
assumes *CARD*: *Card-order* r **and** *CARD'*: *Card-order* r'
shows $(r <_o r') = (\text{cardSuc } r \leq_o r')$
 ⟨proof⟩

lemma *cardSuc-ordLeq-ordLess*:
assumes *CARD*: *Card-order* r **and** *CARD'*: *Card-order* r'
shows $(r' <_o \text{cardSuc } r) = (r' \leq_o r)$
 ⟨proof⟩

lemma *cardSuc-mono-ordLeq*:
assumes *CARD*: *Card-order* r **and** *CARD'*: *Card-order* r'
shows $(\text{cardSuc } r \leq_o \text{cardSuc } r') = (r \leq_o r')$
 ⟨proof⟩

lemma *cardSuc-invar-ordIso*:
assumes *CARD*: *Card-order* r **and** *CARD'*: *Card-order* r'
shows $(\text{cardSuc } r =_o \text{cardSuc } r') = (r =_o r')$
 ⟨proof⟩

lemma *card-of-cardSuc-finite*:
 $\text{finite}(\text{Field}(\text{cardSuc } |A|)) = \text{finite } A$
 ⟨proof⟩

lemma *cardSuc-finite*:
assumes *Card-order* r
shows $\text{finite } (\text{Field } (\text{cardSuc } r)) = \text{finite } (\text{Field } r)$
 ⟨proof⟩

lemma *card-of-Plus-ordLess-infinite*:
assumes *INF*: $\neg \text{finite } C$ **and**
LESS1: $|A| <_o |C|$ **and** *LESS2*: $|B| <_o |C|$

shows $|A <+> B| <_o |C|$
 $\langle proof \rangle$

lemma *card-of-Plus-ordLess-infinite-Field*:
assumes *INF*: $\neg finite (Field\ r)$ **and** *r*: *Card-order r* **and**
LESS1: $|A| <_o r$ **and** *LESS2*: $|B| <_o r$
shows $|A <+> B| <_o r$
 $\langle proof \rangle$

lemma *card-of-Plus-ordLeq-infinite-Field*:
assumes *r*: $\neg finite (Field\ r)$ **and** *A*: $|A| \leq_o r$ **and** *B*: $|B| \leq_o r$
and *c*: *Card-order r*
shows $|A <+> B| \leq_o r$
 $\langle proof \rangle$

lemma *card-of-Un-ordLeq-infinite-Field*:
assumes *C*: $\neg finite (Field\ r)$ **and** *A*: $|A| \leq_o r$ **and** *B*: $|B| \leq_o r$
and *Card-order r*
shows $|A \cup B| \leq_o r$
 $\langle proof \rangle$

29.7 Regular cardinals

definition *cofinal* **where**
 $cofinal\ A\ r \equiv$
 $ALL\ a : Field\ r. \ EX\ b : A. a \neq b \wedge (a,b) : r$

definition *regularCard* **where**
 $regularCard\ r \equiv$
 $ALL\ K. K \leq Field\ r \wedge cofinal\ K\ r \longrightarrow |K| =_o r$

definition *relChain* **where**
 $relChain\ r\ As \equiv$
 $ALL\ i\ j. (i,j) \in r \longrightarrow As\ i \leq As\ j$

lemma *regularCard-UNION*:
assumes *r*: *Card-order r* $regularCard\ r$
and *As*: $relChain\ r\ As$
and *Bsub*: $B \leq (\bigcup i : Field\ r. As\ i)$
and *cardB*: $|B| <_o r$
shows $\exists i : Field\ r. B \leq As\ i$
 $\langle proof \rangle$

lemma *infinite-cardSuc-regularCard*:
assumes *r-inf*: $\neg finite (Field\ r)$ **and** *r-card*: *Card-order r*
shows $regularCard (cardSuc\ r)$
 $\langle proof \rangle$

lemma *cardSuc-UNION*:

assumes r : *Card-order* r **and** $\neg \text{finite } (\text{Field } r)$
and As : $\text{relChain } (\text{cardSuc } r) \text{ } As$
and $Bsub$: $B \leq (\text{UN } i : \text{Field } (\text{cardSuc } r). \text{ } As \text{ } i)$
and cardB : $|B| \leq_o r$
shows $\text{EX } i : \text{Field } (\text{cardSuc } r). B \leq As \text{ } i$
 $\langle \text{proof} \rangle$

29.8 Others

lemma *card-of-Func-Times*:
 $|\text{Func } (A \times B) \text{ } C| =_o |\text{Func } A \text{ } (\text{Func } B \text{ } C)|$
 $\langle \text{proof} \rangle$

lemma *card-of-Pow-Func*:
 $|\text{Pow } A| =_o |\text{Func } A \text{ } (\text{UNIV}::\text{bool set})|$
 $\langle \text{proof} \rangle$

lemma *card-of-Func-UNIV*:
 $|\text{Func } (\text{UNIV}::'a \text{ set}) \text{ } (B::'b \text{ set})| =_o |\{f::'a \Rightarrow 'b. \text{range } f \subseteq B\}|$
 $\langle \text{proof} \rangle$

lemma *Func-Times-Range*:
 $|\text{Func } A \text{ } (B \times C)| =_o |\text{Func } A \text{ } B \times \text{Func } A \text{ } C| \text{ (is } |\text{?LHS}| =_o |\text{?RHS}|)$
 $\langle \text{proof} \rangle$

end

30 Cardinal Arithmetic as Needed by Bounded Natural Functors

theory *BNF-Cardinal-Arithmetic*
imports *BNF-Cardinal-Order-Relation*
begin

lemma *dir-image*: $\llbracket \bigwedge x y. (f x = f y) = (x = y); \text{Card-order } r \rrbracket \Longrightarrow r =_o \text{dir-image } r \text{ } f$
 $\langle \text{proof} \rangle$

lemma *card-order-dir-image*:
assumes bij : $\text{bij } f$ **and** co : *card-order* r
shows *card-order* $(\text{dir-image } r \text{ } f)$
 $\langle \text{proof} \rangle$

lemma *ordIso-refl*: *Card-order* $r \Longrightarrow r =_o r$
 $\langle \text{proof} \rangle$

lemma *ordLeq-refl*: *Card-order* $r \Longrightarrow r \leq_o r$
 $\langle \text{proof} \rangle$

lemma *card-of-ordIso-subst*: $A = B \implies |A| =_o |B|$
 $\langle \text{proof} \rangle$

lemma *Field-card-order*: $\text{card-order } r \implies \text{Field } r = \text{UNIV}$
 $\langle \text{proof} \rangle$

30.1 Zero

definition *czero* **where**
 $\text{czero} = \text{card-of } \{\}$

lemma *czero-ordIso*:
 $\text{czero} =_o \text{czero}$
 $\langle \text{proof} \rangle$

lemma *card-of-ordIso-czero-iff-empty*:
 $|A| =_o (\text{czero} :: 'b \text{ rel}) \iff A = (\{\} :: 'a \text{ set})$
 $\langle \text{proof} \rangle$

abbreviation *Cnotzero* **where**
 $\text{Cnotzero } (r :: 'a \text{ rel}) \equiv \neg(r =_o (\text{czero} :: 'a \text{ rel})) \wedge \text{Card-order } r$

lemma *Cnotzero-imp-not-empty*: $\text{Cnotzero } r \implies \text{Field } r \neq \{\}$
 $\langle \text{proof} \rangle$

lemma *czeroI*:
 $\llbracket \text{Card-order } r; \text{Field } r = \{\} \rrbracket \implies r =_o \text{czero}$
 $\langle \text{proof} \rangle$

lemma *czeroE*:
 $r =_o \text{czero} \implies \text{Field } r = \{\}$
 $\langle \text{proof} \rangle$

lemma *Cnotzero-mono*:
 $\llbracket \text{Cnotzero } r; \text{Card-order } q; r \leq_o q \rrbracket \implies \text{Cnotzero } q$
 $\langle \text{proof} \rangle$

30.2 (In)finite cardinals

definition *cinfinte* **where**
 $\text{cinfinte } r = (\neg \text{finite } (\text{Field } r))$

abbreviation *Cinfinte* **where**
 $\text{Cinfinte } r \equiv \text{cinfinte } r \wedge \text{Card-order } r$

definition *cfinte* **where**
 $\text{cfinte } r = \text{finite } (\text{Field } r)$

abbreviation *Cfinite* **where**

$Cfinite\ r \equiv cfinite\ r \wedge Card\text{-}order\ r$

lemma *Cfinite-ordLess-Cinfinite*: $\llbracket Cfinite\ r; Cinfinite\ s \rrbracket \implies r <_o s$
 $\langle proof \rangle$

lemmas *natLeq-card-order* = *natLeq-Card-order*[*unfolded Field-natLeq*]

lemma *natLeq-cinfinite*: *cfinite natLeq*
 $\langle proof \rangle$

lemma *natLeq-ordLeq-cinfinite*:
assumes *inf*: *Cinfinite r*
shows $natLeq \leq_o r$
 $\langle proof \rangle$

lemma *cfinite-not-czero*: *cfinite r* $\implies \neg (r =_o (czero :: 'a\ rel))$
 $\langle proof \rangle$

lemma *Cinfinite-Cnotzero*: *Cinfinite r* $\implies Cnotzero\ r$
 $\langle proof \rangle$

lemma *Cinfinite-cong*: $\llbracket r1 =_o r2; Cinfinite\ r1 \rrbracket \implies Cinfinite\ r2$
 $\langle proof \rangle$

lemma *cfinite-mono*: $\llbracket r1 \leq_o r2; cfinite\ r1 \rrbracket \implies cfinite\ r2$
 $\langle proof \rangle$

30.3 Binary sum

definition *csum* (**infixr** *+c* 65) **where**
 $r1\ +_c\ r2 \equiv |Field\ r1\ <+>\ Field\ r2|$

lemma *Field-csum*: $Field\ (r\ +_c\ s) = Inl\ 'Field\ r \cup Inr\ 'Field\ s$
 $\langle proof \rangle$

lemma *Card-order-csum*:
 $Card\text{-}order\ (r1\ +_c\ r2)$
 $\langle proof \rangle$

lemma *csum-Cnotzero1*:
 $Cnotzero\ r1 \implies Cnotzero\ (r1\ +_c\ r2)$
 $\langle proof \rangle$

lemma *card-order-csum*:
assumes *card-order r1 card-order r2*
shows $card\text{-}order\ (r1\ +_c\ r2)$
 $\langle proof \rangle$

lemma *cinfinite-csum*:

$\text{cinfinite } r1 \vee \text{cinfinite } r2 \implies \text{cinfinite } (r1 +_c r2)$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-csum1*:

$\text{Cinfinite } r1 \implies \text{Cinfinite } (r1 +_c r2)$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-csum*:

$\text{Cinfinite } r1 \vee \text{Cinfinite } r2 \implies \text{Cinfinite } (r1 +_c r2)$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-csum-weak*:

$\llbracket \text{Cinfinite } r1; \text{Cinfinite } r2 \rrbracket \implies \text{Cinfinite } (r1 +_c r2)$
 $\langle \text{proof} \rangle$

lemma *csum-cong*: $\llbracket p1 =_o r1; p2 =_o r2 \rrbracket \implies p1 +_c p2 =_o r1 +_c r2$
 $\langle \text{proof} \rangle$

lemma *csum-cong1*: $p1 =_o r1 \implies p1 +_c q =_o r1 +_c q$
 $\langle \text{proof} \rangle$

lemma *csum-cong2*: $p2 =_o r2 \implies q +_c p2 =_o q +_c r2$
 $\langle \text{proof} \rangle$

lemma *csum-mono*: $\llbracket p1 \leq_o r1; p2 \leq_o r2 \rrbracket \implies p1 +_c p2 \leq_o r1 +_c r2$
 $\langle \text{proof} \rangle$

lemma *csum-mono1*: $p1 \leq_o r1 \implies p1 +_c q \leq_o r1 +_c q$
 $\langle \text{proof} \rangle$

lemma *csum-mono2*: $p2 \leq_o r2 \implies q +_c p2 \leq_o q +_c r2$
 $\langle \text{proof} \rangle$

lemma *ordLeq-csum1*: $\text{Card-order } p1 \implies p1 \leq_o p1 +_c p2$
 $\langle \text{proof} \rangle$

lemma *ordLeq-csum2*: $\text{Card-order } p2 \implies p2 \leq_o p1 +_c p2$
 $\langle \text{proof} \rangle$

lemma *csum-com*: $p1 +_c p2 =_o p2 +_c p1$
 $\langle \text{proof} \rangle$

lemma *csum-assoc*: $(p1 +_c p2) +_c p3 =_o p1 +_c p2 +_c p3$
 $\langle \text{proof} \rangle$

lemma *Cfinite-csum*: $\llbracket \text{Cfinite } r; \text{Cfinite } s \rrbracket \implies \text{Cfinite } (r +_c s)$
 $\langle \text{proof} \rangle$

lemma *csum-csum*: $(r1 +_c r2) +_c (r3 +_c r4) =_o (r1 +_c r3) +_c (r2 +_c r4)$
 $\langle proof \rangle$

lemma *Plus-csum*: $|A <+> B| =_o |A| +_c |B|$
 $\langle proof \rangle$

lemma *Un-csum*: $|A \cup B| \leq_o |A| +_c |B|$
 $\langle proof \rangle$

30.4 One

definition *cone* **where**
 $cone = card-of \{()\}$

lemma *Card-order-cone*: *Card-order cone*
 $\langle proof \rangle$

lemma *Cfinite-cone*: *Cfinite cone*
 $\langle proof \rangle$

lemma *cone-not-czero*: $\neg (cone =_o czero)$
 $\langle proof \rangle$

lemma *cone-ordLeq-Cnotzero*: $Cnotzero\ r \implies cone \leq_o r$
 $\langle proof \rangle$

30.5 Two

definition *ctwo* **where**
 $ctwo = |UNIV :: bool\ set|$

lemma *Card-order-ctwo*: *Card-order ctwo*
 $\langle proof \rangle$

lemma *ctwo-not-czero*: $\neg (ctwo =_o czero)$
 $\langle proof \rangle$

lemma *ctwo-Cnotzero*: *Cnotzero ctwo*
 $\langle proof \rangle$

30.6 Family sum

definition *Csum* **where**
 $Csum\ r\ rs \equiv |SIGMA\ i : Field\ r.\ Field\ (rs\ i)|$

syntax *-Csum* ::
 $pttrn \implies ('a * 'a)\ set \implies 'b * 'b\ set \implies (('a * 'b) * ('a * 'b))\ set$
 $((\exists CSUM\ :-.\ -)\ [0, 51, 10]\ 10)$

translations

$CSUM\ i:r. rs == CONST\ Csum\ r\ (\%i. rs)$

lemma *SIGMA-CSUM*: $|SIGMA\ i : I. As\ i| = (CSUM\ i : |I|. |As\ i|)$
 $\langle proof \rangle$

30.7 Product

definition *cprod* (**infixr** **c* 80) **where**

$r1\ *c\ r2 = |Field\ r1 \times Field\ r2|$

lemma *card-order-cprod*:

assumes *card-order* $r1$ *card-order* $r2$

shows *card-order* $(r1\ *c\ r2)$

$\langle proof \rangle$

lemma *Card-order-cprod*: *Card-order* $(r1\ *c\ r2)$

$\langle proof \rangle$

lemma *cprod-mono1*: $p1 \leq_o r1 \implies p1\ *c\ q \leq_o r1\ *c\ q$

$\langle proof \rangle$

lemma *cprod-mono2*: $p2 \leq_o r2 \implies q\ *c\ p2 \leq_o q\ *c\ r2$

$\langle proof \rangle$

lemma *cprod-mono*: $\llbracket p1 \leq_o r1; p2 \leq_o r2 \rrbracket \implies p1\ *c\ p2 \leq_o r1\ *c\ r2$

$\langle proof \rangle$

lemma *ordLeq-cprod2*: $\llbracket Cnotzero\ p1; Card\text{-}order\ p2 \rrbracket \implies p2 \leq_o p1\ *c\ p2$

$\langle proof \rangle$

lemma *cinfinite-cprod*: $\llbracket cinfinite\ r1; cinfinite\ r2 \rrbracket \implies cinfinite\ (r1\ *c\ r2)$

$\langle proof \rangle$

lemma *cinfinite-cprod2*: $\llbracket Cnotzero\ r1; Cinfinite\ r2 \rrbracket \implies cinfinite\ (r1\ *c\ r2)$

$\langle proof \rangle$

lemma *Cinfinite-cprod2*: $\llbracket Cnotzero\ r1; Cinfinite\ r2 \rrbracket \implies Cinfinite\ (r1\ *c\ r2)$

$\langle proof \rangle$

lemma *cprod-cong*: $\llbracket p1 =_o r1; p2 =_o r2 \rrbracket \implies p1\ *c\ p2 =_o r1\ *c\ r2$

$\langle proof \rangle$

lemma *cprod-cong1*: $\llbracket p1 =_o r1 \rrbracket \implies p1\ *c\ p2 =_o r1\ *c\ p2$

$\langle proof \rangle$

lemma *cprod-cong2*: $p2 =_o r2 \implies q\ *c\ p2 =_o q\ *c\ r2$

$\langle proof \rangle$

lemma *cprod-com*: $p1 *c p2 =o p2 *c p1$

<proof>

lemma *card-of-Csum-Times*:

$\forall i \in I. |A\ i| \leq_o |B| \implies (CSUM\ i : |I|. |A\ i|) \leq_o |I| *c |B|$

<proof>

lemma *card-of-Csum-Times'*:

assumes *Card-order* $r\ \forall i \in I. |A\ i| \leq_o r$

shows $(CSUM\ i : |I|. |A\ i|) \leq_o |I| *c r$

<proof>

lemma *cprod-csum-distrib1*: $r1 *c r2 +c r1 *c r3 =o r1 *c (r2 +c r3)$

<proof>

lemma *csum-absorb2'*: $\llbracket \text{Card-order } r2; r1 \leq_o r2; \text{cinfinitesimal } r1 \vee \text{cinfinitesimal } r2 \rrbracket \implies$

$r1 +c r2 =o r2$

<proof>

lemma *csum-absorb1'*:

assumes *card*: *Card-order* $r2$

and $r12$: $r1 \leq_o r2$ **and** $cr12$: $\text{cinfinitesimal } r1 \vee \text{cinfinitesimal } r2$

shows $r2 +c r1 =o r2$

<proof>

lemma *csum-absorb1*: $\llbracket \text{Cinfinitesimal } r2; r1 \leq_o r2 \rrbracket \implies r2 +c r1 =o r2$

<proof>

30.8 Exponentiation

definition *cexp* (*infixr* \hat{c} 90) **where**

$r1 \hat{c} r2 \equiv |Func\ (Field\ r2)\ (Field\ r1)|$

lemma *Card-order-cexp*: *Card-order* $(r1 \hat{c} r2)$

<proof>

lemma *cexp-mono'*:

assumes 1 : $p1 \leq_o r1$ **and** 2 : $p2 \leq_o r2$

and n : $Field\ p2 = \{\}$ $\implies Field\ r2 = \{\}$

shows $p1 \hat{c} p2 \leq_o r1 \hat{c} r2$

<proof>

lemma *cexp-mono*:

assumes 1 : $p1 \leq_o r1$ **and** 2 : $p2 \leq_o r2$

and n : $p2 =o czero \implies r2 =o czero$ **and** *card*: *Card-order* $p2$

shows $p1 \hat{c} p2 \leq_o r1 \hat{c} r2$

<proof>

lemma *cexp-mono1*:

assumes $1: p1 \leq_o r1$ and $q: \text{Card-order } q$

shows $p1 \hat{c} q \leq_o r1 \hat{c} q$

<proof>

lemma *cexp-mono2'*:

assumes $2: p2 \leq_o r2$ and $q: \text{Card-order } q$

and $n: \text{Field } p2 = \{\} \implies \text{Field } r2 = \{\}$

shows $q \hat{c} p2 \leq_o q \hat{c} r2$

<proof>

lemma *cexp-mono2*:

assumes $2: p2 \leq_o r2$ and $q: \text{Card-order } q$

and $n: p2 =_o \text{czero} \implies r2 =_o \text{czero}$ and $\text{card}: \text{Card-order } p2$

shows $q \hat{c} p2 \leq_o q \hat{c} r2$

<proof>

lemma *cexp-mono2-Cnotzero*:

assumes $p2 \leq_o r2$ *Card-order* q *Cnotzero* $p2$

shows $q \hat{c} p2 \leq_o q \hat{c} r2$

<proof>

lemma *cexp-cong*:

assumes $1: p1 =_o r1$ and $2: p2 =_o r2$

and $Cr: \text{Card-order } r2$

and $Cp: \text{Card-order } p2$

shows $p1 \hat{c} p2 =_o r1 \hat{c} r2$

<proof>

lemma *cexp-cong1*:

assumes $1: p1 =_o r1$ and $q: \text{Card-order } q$

shows $p1 \hat{c} q =_o r1 \hat{c} q$

<proof>

lemma *cexp-cong2*:

assumes $2: p2 =_o r2$ and $q: \text{Card-order } q$ and $p: \text{Card-order } p2$

shows $q \hat{c} p2 =_o q \hat{c} r2$

<proof>

lemma *cexp-cone*:

assumes *Card-order* r

shows $r \hat{c} \text{cone} =_o r$

<proof>

lemma *cexp-cprod*:

assumes $r1: \text{Card-order } r1$

shows $(r1 \hat{c} r2) \hat{c} r3 =_o r1 \hat{c} (r2 \hat{c} r3)$ (*is ?L =o ?R*)

<proof>

lemma *cprod-infinite1*: $\llbracket \text{Cinfinite } r; \text{Cnotzero } p; p \leq_o r \rrbracket \implies r *c p =_o r$
 $\langle \text{proof} \rangle$

lemma *cprod-infinite*: $\text{Cinfinite } r \implies r *c r =_o r$
 $\langle \text{proof} \rangle$

lemma *cexp-cprod-ordLeq*:
 assumes $r1$: *Card-order* $r1$ and $r2$: *Cinfinite* $r2$
 and $r3$: *Cnotzero* $r3$ $r3 \leq_o r2$
 shows $(r1 \hat{c} r2) \hat{c} r3 =_o r1 \hat{c} r2$ (is ?L =o ?R)
 $\langle \text{proof} \rangle$

lemma *Cnotzero-UNIV*: *Cnotzero* $|UNIV|$
 $\langle \text{proof} \rangle$

lemma *ordLess-ctwo-cexp*:
 assumes *Card-order* r
 shows $r <_o \text{ctwo} \hat{c} r$
 $\langle \text{proof} \rangle$

lemma *ordLeq-cexp1*:
 assumes *Cnotzero* r *Card-order* q
 shows $q \leq_o q \hat{c} r$
 $\langle \text{proof} \rangle$

lemma *ordLeq-cexp2*:
 assumes $\text{ctwo} \leq_o q$ *Card-order* r
 shows $r \leq_o q \hat{c} r$
 $\langle \text{proof} \rangle$

lemma *cinfinite-cexp*: $\llbracket \text{ctwo} \leq_o q; \text{Cinfinite } r \rrbracket \implies \text{cinfinite } (q \hat{c} r)$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-cexp*:
 $\llbracket \text{ctwo} \leq_o q; \text{Cinfinite } r \rrbracket \implies \text{Cinfinite } (q \hat{c} r)$
 $\langle \text{proof} \rangle$

lemma *ctwo-ordLess-natLeq*: $\text{ctwo} <_o \text{natLeq}$
 $\langle \text{proof} \rangle$

lemma *ctwo-ordLess-Cinfinite*: $\text{Cinfinite } r \implies \text{ctwo} <_o r$
 $\langle \text{proof} \rangle$

lemma *ctwo-ordLeq-Cinfinite*:
 assumes *Cinfinite* r
 shows $\text{ctwo} \leq_o r$
 $\langle \text{proof} \rangle$

lemma *Un-Cinfinite-bound*: $\llbracket |A| \leq_o r; |B| \leq_o r; \text{Cinfinite } r \rrbracket \implies |A \cup B| \leq_o r$

$\langle \text{proof} \rangle$

lemma *UNION-Cinfinite-bound*: $\llbracket |I| \leq_o r; \forall i \in I. |A\ i| \leq_o r; \text{Cinfinite } r \rrbracket \implies$
 $\llbracket \bigcup i \in I. A\ i \rrbracket \leq_o r$
 $\langle \text{proof} \rangle$

lemma *csum-cinfinite-bound*:
assumes $p \leq_o r$ $q \leq_o r$ *Card-order* p *Card-order* q *Cinfinite* r
shows $p +_c q \leq_o r$
 $\langle \text{proof} \rangle$

lemma *cprod-cinfinite-bound*:
assumes $p \leq_o r$ $q \leq_o r$ *Card-order* p *Card-order* q *Cinfinite* r
shows $p *_c q \leq_o r$
 $\langle \text{proof} \rangle$

lemma *cprod-csum-cexp*:
 $r1 *_c r2 \leq_o (r1 +_c r2) \wedge_c \text{ctwo}$
 $\langle \text{proof} \rangle$

lemma *Cfinite-cprod-Cinfinite*: $\llbracket \text{Cfinite } r; \text{Cinfinite } s \rrbracket \implies r *_c s \leq_o s$
 $\langle \text{proof} \rangle$

lemma *cprod-cexp*: $(r *_c s) \wedge_c t =_o r \wedge_c t *_c s \wedge_c t$
 $\langle \text{proof} \rangle$

lemma *cprod-cexp-csum-cexp-Cinfinite*:
assumes t : *Cinfinite* t
shows $(r *_c s) \wedge_c t \leq_o (r +_c s) \wedge_c t$
 $\langle \text{proof} \rangle$

lemma *Cfinite-cexp-Cinfinite*:
assumes s : *Cfinite* s **and** t : *Cinfinite* t
shows $s \wedge_c t \leq_o \text{ctwo} \wedge_c t$
 $\langle \text{proof} \rangle$

lemma *csum-Cfinite-cexp-Cinfinite*:
assumes r : *Card-order* r **and** s : *Cfinite* s **and** t : *Cinfinite* t
shows $(r +_c s) \wedge_c t \leq_o (r +_c \text{ctwo}) \wedge_c t$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-cardSuc*: *Cinfinite* $r \implies \text{Cinfinite } (\text{cardSuc } r)$
 $\langle \text{proof} \rangle$

lemma *cardSuc-UNION-Cinfinite*:
assumes *Cinfinite* r *relChain* $(\text{cardSuc } r)$ *As* $B \leq (\bigcup i : \text{Field } (\text{cardSuc } r)). \text{As}$
 $i) |B| \leq_o r$

shows $EX\ i : Field\ (cardSuc\ r). B \leq As\ i$
 $\langle proof \rangle$

end

31 Function Definition Base

theory *Fun-Def-Base*
imports *Ctr-Sugar Set Wellfounded*
begin

$\langle ML \rangle$

named-theorems *termination-simp simplification rules for termination proofs*
 $\langle ML \rangle$

end

32 Definition of Bounded Natural Functors

theory *BNF-Def*
imports *BNF-Cardinal-Arithmetic Fun-Def-Base*
keywords
print-bnfs :: diag **and**
bnf :: thy-goal
begin

lemma *Collect-case-prodD*: $x \in Collect\ (case-prod\ A) \implies A\ (fst\ x)\ (snd\ x)$
 $\langle proof \rangle$

inductive

$rel-sum :: ('a \Rightarrow 'c \Rightarrow bool) \Rightarrow ('b \Rightarrow 'd \Rightarrow bool) \Rightarrow 'a + 'b \Rightarrow 'c + 'd \Rightarrow bool$

for *R1 R2*

where

$R1\ a\ c \implies rel-sum\ R1\ R2\ (Inl\ a)\ (Inl\ c)$

$| R2\ b\ d \implies rel-sum\ R1\ R2\ (Inr\ b)\ (Inr\ d)$

definition

$rel-fun :: ('a \Rightarrow 'c \Rightarrow bool) \Rightarrow ('b \Rightarrow 'd \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'd) \Rightarrow bool$

where

$rel-fun\ A\ B = (\lambda f\ g. \forall x\ y. A\ x\ y \longrightarrow B\ (f\ x)\ (g\ y))$

lemma *rel-funI* [*intro*]:

assumes $\bigwedge x\ y. A\ x\ y \implies B\ (f\ x)\ (g\ y)$

shows $rel-fun\ A\ B\ f\ g$

$\langle proof \rangle$

lemma *rel-funD*:

assumes *rel-fun* $A\ B\ f\ g$ **and** $A\ x\ y$
shows $B\ (f\ x)\ (g\ y)$
 $\langle proof \rangle$

lemma *rel-fun-mono*:

$\llbracket \text{rel-fun } X\ A\ f\ g; \bigwedge x\ y. Y\ x\ y \longrightarrow X\ x\ y; \bigwedge x\ y. A\ x\ y \Longrightarrow B\ x\ y \rrbracket \Longrightarrow \text{rel-fun } Y\ B\ f\ g$
 $\langle proof \rangle$

lemma *rel-fun-mono'* [*mono*]:

$\llbracket \bigwedge x\ y. Y\ x\ y \longrightarrow X\ x\ y; \bigwedge x\ y. A\ x\ y \longrightarrow B\ x\ y \rrbracket \Longrightarrow \text{rel-fun } X\ A\ f\ g \longrightarrow \text{rel-fun } Y\ B\ f\ g$
 $\langle proof \rangle$

definition *rel-set* :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a\ \text{set} \Rightarrow 'b\ \text{set} \Rightarrow \text{bool}$

where *rel-set* $R = (\lambda A\ B. (\forall x \in A. \exists y \in B. R\ x\ y) \wedge (\forall y \in B. \exists x \in A. R\ x\ y))$

lemma *rel-setI*:

assumes $\bigwedge x. x \in A \Longrightarrow \exists y \in B. R\ x\ y$
assumes $\bigwedge y. y \in B \Longrightarrow \exists x \in A. R\ x\ y$
shows *rel-set* $R\ A\ B$
 $\langle proof \rangle$

lemma *predicate2-transferD*:

$\llbracket \text{rel-fun } R1\ (\text{rel-fun } R2\ (op\ =))\ P\ Q; a \in A; b \in B; A \subseteq \{(x, y). R1\ x\ y\}; B \subseteq \{(x, y). R2\ x\ y\} \rrbracket \Longrightarrow$
 $P\ (fst\ a)\ (fst\ b) \longleftrightarrow Q\ (snd\ a)\ (snd\ b)$
 $\langle proof \rangle$

definition *collect where*

collect $F\ x = (\bigcup f \in F. f\ x)$

lemma *fstI*: $x = (y, z) \Longrightarrow fst\ x = y$

$\langle proof \rangle$

lemma *sndI*: $x = (y, z) \Longrightarrow snd\ x = z$

$\langle proof \rangle$

lemma *bijI'*: $\llbracket \bigwedge x\ y. (f\ x = f\ y) = (x = y); \bigwedge y. \exists x. y = f\ x \rrbracket \Longrightarrow \text{bij } f$

$\langle proof \rangle$

definition $Gr\ A\ f = \{(a, f\ a) \mid a. a \in A\}$

definition $Grp\ A\ f = (\lambda a\ b. b = f\ a \wedge a \in A)$

definition *vimage2p where*

vimage2p $f\ g\ R = (\lambda x\ y. R\ (f\ x)\ (g\ y))$

lemma *collect-comp*: $\text{collect } F \circ g = \text{collect } ((\lambda f. f \circ g) \text{ ‘ } F)$
 $\langle \text{proof} \rangle$

definition *convol* ($\langle \langle -, / - \rangle \rangle$) **where**
 $\langle f, g \rangle \equiv \lambda a. (f \ a, g \ a)$

lemma *fst-convol*: $\text{fst} \circ \langle f, g \rangle = f$
 $\langle \text{proof} \rangle$

lemma *snd-convol*: $\text{snd} \circ \langle f, g \rangle = g$
 $\langle \text{proof} \rangle$

lemma *convol-mem-GrpI*:
 $x \in A \implies \langle \text{id}, g \rangle x \in (\text{Collect } (\text{case-prod } (\text{Grp } A \ g)))$
 $\langle \text{proof} \rangle$

definition *csquare* **where**
 $\text{csquare } A \ f1 \ f2 \ p1 \ p2 \longleftrightarrow (\forall \ a \in A. f1 \ (p1 \ a) = f2 \ (p2 \ a))$

lemma *eq-alt*: $op = = \text{Grp } \text{UNIV } id$
 $\langle \text{proof} \rangle$

lemma *leq-conversepI*: $R = op = \implies R \leq R^{\wedge --1}$
 $\langle \text{proof} \rangle$

lemma *leq-OOI*: $R = op = \implies R \leq R \text{ OO } R$
 $\langle \text{proof} \rangle$

lemma *OO-Grp-alt*: $(\text{Grp } A \ f)^{\wedge --1} \text{ OO } \text{Grp } A \ g = (\lambda x \ y. \exists z. z \in A \wedge f \ z = x \wedge g \ z = y)$
 $\langle \text{proof} \rangle$

lemma *Grp-UNIV-id*: $f = id \implies (\text{Grp } \text{UNIV } f)^{\wedge --1} \text{ OO } \text{Grp } \text{UNIV } f = \text{Grp } \text{UNIV } f$
 $\langle \text{proof} \rangle$

lemma *Grp-UNIV-idI*: $x = y \implies \text{Grp } \text{UNIV } id \ x \ y$
 $\langle \text{proof} \rangle$

lemma *Grp-mono*: $A \leq B \implies \text{Grp } A \ f \leq \text{Grp } B \ f$
 $\langle \text{proof} \rangle$

lemma *GrpI*: $\llbracket f \ x = y; x \in A \rrbracket \implies \text{Grp } A \ f \ x \ y$
 $\langle \text{proof} \rangle$

lemma *GrpE*: $\text{Grp } A \ f \ x \ y \implies (\llbracket f \ x = y; x \in A \rrbracket \implies R) \implies R$
 $\langle \text{proof} \rangle$

lemma *Collect-case-prod-Grp-eqD*: $z \in \text{Collect } (\text{case-prod } (\text{Grp } A \ f)) \implies (f \circ$

$\text{fst}) z = \text{snd } z$
 $\langle \text{proof} \rangle$

lemma *Collect-case-prod-Grp-in*: $z \in \text{Collect } (\text{case-prod } (\text{Grp } A \ f)) \implies \text{fst } z \in A$
 $\langle \text{proof} \rangle$

definition *pick-middlep* $P \ Q \ a \ c = (\text{SOME } b. P \ a \ b \wedge Q \ b \ c)$

lemma *pick-middlep*:
 $(P \ OO \ Q) \ a \ c \implies P \ a \ (\text{pick-middlep } P \ Q \ a \ c) \wedge Q \ (\text{pick-middlep } P \ Q \ a \ c) \ c$
 $\langle \text{proof} \rangle$

definition *fstOp* **where**
 $\text{fstOp } P \ Q \ ac = (\text{fst } ac, \text{pick-middlep } P \ Q \ (\text{fst } ac) \ (\text{snd } ac))$

definition *sndOp* **where**
 $\text{sndOp } P \ Q \ ac = (\text{pick-middlep } P \ Q \ (\text{fst } ac) \ (\text{snd } ac), (\text{snd } ac))$

lemma *fstOp-in*: $ac \in \text{Collect } (\text{case-prod } (P \ OO \ Q)) \implies \text{fstOp } P \ Q \ ac \in \text{Collect } (\text{case-prod } P)$
 $\langle \text{proof} \rangle$

lemma *fst-fstOp*: $\text{fst } bc = (\text{fst} \circ \text{fstOp } P \ Q) \ bc$
 $\langle \text{proof} \rangle$

lemma *snd-sndOp*: $\text{snd } bc = (\text{snd} \circ \text{sndOp } P \ Q) \ bc$
 $\langle \text{proof} \rangle$

lemma *sndOp-in*: $ac \in \text{Collect } (\text{case-prod } (P \ OO \ Q)) \implies \text{sndOp } P \ Q \ ac \in \text{Collect } (\text{case-prod } Q)$
 $\langle \text{proof} \rangle$

lemma *csquare-fstOp-sndOp*:
 $\text{csquare } (\text{Collect } (f \ (P \ OO \ Q))) \ \text{snd } \text{fst } (\text{fstOp } P \ Q) \ (\text{sndOp } P \ Q)$
 $\langle \text{proof} \rangle$

lemma *snd-fst-flip*: $\text{snd } xy = (\text{fst} \circ (\% (x, y). (y, x))) \ xy$
 $\langle \text{proof} \rangle$

lemma *fst-snd-flip*: $\text{fst } xy = (\text{snd} \circ (\% (x, y). (y, x))) \ xy$
 $\langle \text{proof} \rangle$

lemma *flip-pred*: $A \subseteq \text{Collect } (\text{case-prod } (R \ \hat{\ } \text{---} 1)) \implies (\% (x, y). (y, x)) \text{ ` } A \subseteq \text{Collect } (\text{case-prod } R)$
 $\langle \text{proof} \rangle$

lemma *predicate2-eqD*: $A = B \implies A \ a \ b \longleftrightarrow B \ a \ b$
 $\langle \text{proof} \rangle$

lemma *case-sum-o-inj*: $\text{case-sum } f \ g \circ \text{Inl} = f \ \text{case-sum } f \ g \circ \text{Inr} = g$
 ⟨proof⟩

lemma *map-sum-o-inj*: $\text{map-sum } f \ g \circ \text{Inl} = \text{Inl} \circ f \ \text{map-sum } f \ g \circ \text{Inr} = \text{Inr} \circ g$
 ⟨proof⟩

lemma *card-order-csum-cone-cexp-def*:
 $\text{card-order } r \implies (|A1| + c \ \text{cone}) \wedge^c r = |\text{Func UNIV } (\text{Inl} \ ‘ A1 \cup \{\text{Inr } ()\})|$
 ⟨proof⟩

lemma *If-the-inv-into-in-Func*:
 $\llbracket \text{inj-on } g \ C; C \subseteq B \cup \{x\} \rrbracket \implies$
 $(\lambda i. \text{if } i \in g \ ‘ C \text{ then the-inv-into } C \ g \ i \text{ else } x) \in \text{Func UNIV } (B \cup \{x\})$
 ⟨proof⟩

lemma *If-the-inv-into-f-f*:
 $\llbracket i \in C; \text{inj-on } g \ C \rrbracket \implies ((\lambda i. \text{if } i \in g \ ‘ C \text{ then the-inv-into } C \ g \ i \text{ else } x) \circ g) \ i$
 $= \text{id } i$
 ⟨proof⟩

lemma *the-inv-f-o-f-id*: $\text{inj } f \implies (\text{the-inv } f \circ f) \ z = \text{id } z$
 ⟨proof⟩

lemma *vimage2pI*: $R \ (f \ x) \ (g \ y) \implies \text{vimage2p } f \ g \ R \ x \ y$
 ⟨proof⟩

lemma *rel-fun-iff-leq-vimage2p*: $(\text{rel-fun } R \ S) \ f \ g = (R \leq \text{vimage2p } f \ g \ S)$
 ⟨proof⟩

lemma *convol-image-vimage2p*: $\langle f \circ \text{fst}, g \circ \text{snd} \rangle \ ‘ \text{Collect } (\text{case-prod } (\text{vimage2p } f \ g \ R)) \subseteq \text{Collect } (\text{case-prod } R)$
 ⟨proof⟩

lemma *vimage2p-Grp*: $\text{vimage2p } f \ g \ P = \text{Grp UNIV } f \circ \circ P \circ \circ (\text{Grp UNIV } g)^{-1} \circ^{-1}$
 ⟨proof⟩

lemma *subst-Pair*: $P \ x \ y \implies a = (x, y) \implies P \ (\text{fst } a) \ (\text{snd } a)$
 ⟨proof⟩

lemma *comp-apply-eq*: $f \ (g \ x) = h \ (k \ x) \implies (f \circ g) \ x = (h \circ k) \ x$
 ⟨proof⟩

lemma *refl-ge-eq*: $(\bigwedge x. R \ x \ x) \implies \text{op} = \leq R$
 ⟨proof⟩

lemma *ge-eq-refl*: $\text{op} = \leq R \implies R \ x \ x$
 ⟨proof⟩

lemma *reflp-eq*: $\text{reflp } R = (op = \leq R)$
 $\langle \text{proof} \rangle$

lemma *transp-relcompp*: $\text{transp } r \longleftrightarrow r \text{ } OO \text{ } r \leq r$
 $\langle \text{proof} \rangle$

lemma *symp-conversep*: $\text{symp } R = (R^{-1-1} \leq R)$
 $\langle \text{proof} \rangle$

lemma *diag-imp-eq-le*: $(\bigwedge x. x \in A \implies R \ x \ x) \implies \forall x \ y. x \in A \longrightarrow y \in A \longrightarrow x = y \longrightarrow R \ x \ y$
 $\langle \text{proof} \rangle$

definition *eq-onp* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$
where *eq-onp* $R = (\lambda x \ y. R \ x \ y \wedge x = y)$

lemma *eq-onp-Grp*: $\text{eq-onp } P = \text{BNF-Def.Grp } (\text{Collect } P) \text{ } id$
 $\langle \text{proof} \rangle$

lemma *eq-onp-to-eq*: $\text{eq-onp } P \ x \ y \implies x = y$
 $\langle \text{proof} \rangle$

lemma *eq-onp-top-eq-eq*: $\text{eq-onp } top = op =$
 $\langle \text{proof} \rangle$

lemma *eq-onp-same-args*: $\text{eq-onp } P \ x \ x = P \ x$
 $\langle \text{proof} \rangle$

lemma *eq-onp-eqD*: $\text{eq-onp } P = Q \implies P \ x = Q \ x \ x$
 $\langle \text{proof} \rangle$

lemma *Ball-Collect*: $\text{Ball } A \ P = (A \subseteq (\text{Collect } P))$
 $\langle \text{proof} \rangle$

lemma *eq-onp-mono0*: $\forall x \in A. P \ x \longrightarrow Q \ x \implies \forall x \in A. \forall y \in A. \text{eq-onp } P \ x \ y \longrightarrow \text{eq-onp } Q \ x \ y$
 $\langle \text{proof} \rangle$

lemma *eq-onp-True*: $\text{eq-onp } (\lambda-. \text{True}) = (op =)$
 $\langle \text{proof} \rangle$

lemma *Ball-image-comp*: $\text{Ball } (f \text{ ` } A) \ g = \text{Ball } A \ (g \circ f)$
 $\langle \text{proof} \rangle$

lemma *rel-fun-Collect-case-prodD*:
 $\text{rel-fun } A \ B \ f \ g \implies X \subseteq \text{Collect } (\text{case-prod } A) \implies x \in X \implies B \ ((f \circ \text{fst}) \ x)$
 $((g \circ \text{snd}) \ x)$
 $\langle \text{proof} \rangle$

lemma *eq-onp-mono-iff*: $eq-onp\ P \leq eq-onp\ Q \longleftrightarrow P \leq Q$
 ⟨proof⟩

⟨ML⟩

end

33 Composition of Bounded Natural Functors

theory *BNF-Composition*

imports *BNF-Def*

keywords

copy-bnf :: *thy-decl* **and**

lift-bnf :: *thy-goal*

begin

lemma *ssubst-mem*: $\llbracket t = s; s \in X \rrbracket \Longrightarrow t \in X$
 ⟨proof⟩

lemma *empty-natural*: $(\lambda-. \{\}) \circ f = image\ g \circ (\lambda-. \{\})$
 ⟨proof⟩

lemma *Union-natural*: $Union \circ image\ (image\ f) = image\ f \circ Union$
 ⟨proof⟩

lemma *in-Union-o-assoc*: $x \in (Union \circ gset \circ gmap)\ A \Longrightarrow x \in (Union \circ (gset \circ gmap))\ A$
 ⟨proof⟩

lemma *comp-single-set-bd*:
assumes *fbd-Card-order*: *Card-order fbd* **and**
fset-bd: $\bigwedge x. |fset\ x| \leq_o fbd$ **and**
gset-bd: $\bigwedge x. |gset\ x| \leq_o gbd$
shows $|\bigcup (fset \circ gset\ x)| \leq_o gbd *c fbd$
 ⟨proof⟩

lemma *csum-dup*: $cinfinite\ r \Longrightarrow Card-order\ r \Longrightarrow p +c\ p' =_o r +c\ r \Longrightarrow p +c\ p' =_o r$
 ⟨proof⟩

lemma *cprod-dup*: $cinfinite\ r \Longrightarrow Card-order\ r \Longrightarrow p *c\ p' =_o r *c\ r \Longrightarrow p *c\ p' =_o r$
 ⟨proof⟩

lemma *Union-image-insert*: $\bigcup (f \circ insert\ a\ B) = f\ a \cup \bigcup (f \circ B)$
 ⟨proof⟩

lemma *Union-image-empty*: $A \cup \bigcup (f \circ \{\}) = A$
 ⟨proof⟩

lemma *image-o-collect*: $\text{collect } ((\lambda f. \text{image } g \circ f) \text{ ` } F) = \text{image } g \circ \text{collect } F$
 ⟨proof⟩

lemma *conj-subset-def*: $A \subseteq \{x. P \ x \wedge Q \ x\} = (A \subseteq \{x. P \ x\} \wedge A \subseteq \{x. Q \ x\})$
 ⟨proof⟩

lemma *UN-image-subset*: $\bigcup (f \text{ ` } g \ x) \subseteq X = (g \ x \subseteq \{x. f \ x \subseteq X\})$
 ⟨proof⟩

lemma *comp-set-bd-Union-o-collect*: $|\bigcup \bigcup ((\lambda f. f \ x) \text{ ` } X)| \leq_o \text{ hbd} \implies |(\text{Union} \circ \text{collect } X) \ x| \leq_o \text{ hbd}$
 ⟨proof⟩

lemma *Collect-inj*: $\text{Collect } P = \text{Collect } Q \implies P = Q$
 ⟨proof⟩

lemma *Grp-fst-snd*: $(\text{Grp } (\text{Collect } (\text{case-prod } R)) \text{ fst})^{\hat{\ } -- 1} \text{ OO } \text{Grp } (\text{Collect } (\text{case-prod } R)) \text{ snd} = R$
 ⟨proof⟩

lemma *OO-Grp-cong*: $A = B \implies (\text{Grp } A \text{ f})^{\hat{\ } -- 1} \text{ OO } \text{Grp } A \text{ g} = (\text{Grp } B \text{ f})^{\hat{\ } -- 1} \text{ OO } \text{Grp } B \text{ g}$
 ⟨proof⟩

lemma *vimage2p-relcompp-mono*: $R \text{ OO } S \leq T \implies \text{vimage2p } f \text{ g } R \text{ OO } \text{vimage2p } g \ h \ S \leq \text{vimage2p } f \ h \ T$
 ⟨proof⟩

lemma *type-copy-map-cong0*: $M \ (g \ x) = N \ (h \ x) \implies (f \circ M \circ g) \ x = (f \circ N \circ h) \ x$
 ⟨proof⟩

lemma *type-copy-set-bd*: $(\bigwedge y. |S \ y| \leq_o \text{ bd}) \implies |(S \circ \text{Rep}) \ x| \leq_o \text{ bd}$
 ⟨proof⟩

lemma *vimage2p-cong*: $R = S \implies \text{vimage2p } f \text{ g } R = \text{vimage2p } f \text{ g } S$
 ⟨proof⟩

lemma *Ball-comp-iff*: $(\lambda x. \text{Ball } (A \ x) \ f) \circ g = (\lambda x. \text{Ball } ((A \circ g) \ x) \ f)$
 ⟨proof⟩

lemma *conj-comp-iff*: $(\lambda x. P \ x \wedge Q \ x) \circ g = (\lambda x. (P \circ g) \ x \wedge (Q \circ g) \ x)$
 ⟨proof⟩

context

fixes *Rep Abs*

assumes *type-copy*: *type-definition Rep Abs UNIV*

begin

lemma *type-copy-map-id0*: $M = id \implies Abs \circ M \circ Rep = id$
 $\langle proof \rangle$

lemma *type-copy-map-comp0*: $M = M1 \circ M2 \implies f \circ M \circ g = (f \circ M1 \circ Rep) \circ (Abs \circ M2 \circ g)$
 $\langle proof \rangle$

lemma *type-copy-set-map0*: $S \circ M = image\ f \circ S' \implies (S \circ Rep) \circ (Abs \circ M \circ g) = image\ f \circ (S' \circ g)$
 $\langle proof \rangle$

lemma *type-copy-wit*: $x \in (S \circ Rep) (Abs\ y) \implies x \in S\ y$
 $\langle proof \rangle$

lemma *type-copy-vimage2p-Grp-Rep*: $vimage2p\ f\ Rep\ (Grp\ (Collect\ P)\ h) = Grp\ (Collect\ (\lambda x. P\ (f\ x)))\ (Abs \circ h \circ f)$
 $\langle proof \rangle$

lemma *type-copy-vimage2p-Grp-Abs*:
 $\bigwedge h. vimage2p\ g\ Abs\ (Grp\ (Collect\ P)\ h) = Grp\ (Collect\ (\lambda x. P\ (g\ x)))\ (Rep \circ h \circ g)$
 $\langle proof \rangle$

lemma *type-copy-ex-RepI*: $(\exists b. F\ b) = (\exists b. F\ (Rep\ b))$
 $\langle proof \rangle$

lemma *vimage2p-relcompp-converse*:
 $vimage2p\ f\ g\ (R \hat{-} -1\ OO\ S) = (vimage2p\ Rep\ f\ R) \hat{-} -1\ OO\ vimage2p\ Rep\ g\ S$
 $\langle proof \rangle$

end

bnf *DEADID*: $'a$
 $map: id :: 'a \Rightarrow 'a$
 $bd: natLeq$
 $rel: op = :: 'a \Rightarrow 'a \Rightarrow bool$
 $\langle proof \rangle$

definition *id-bnf* :: $'a \Rightarrow 'a$ **where**
 $id-bnf \equiv (\lambda x. x)$

lemma *id-bnf-apply*: $id-bnf\ x = x$
 $\langle proof \rangle$

bnf *ID*: $'a$
 $map: id-bnf :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$
 $sets: \lambda x. \{x\}$
 $bd: natLeq$

```

rel: id-bnf :: ('a ⇒ 'b ⇒ bool) ⇒ 'a ⇒ 'b ⇒ bool
pred: id-bnf :: ('a ⇒ bool) ⇒ 'a ⇒ bool
⟨proof⟩

```

lemma *type-definition-id-bnf-UNIV*: *type-definition id-bnf id-bnf UNIV*
 ⟨proof⟩

⟨ML⟩

hide-fact

```

DEADID.inj-map DEADID.inj-map-strong DEADID.map-comp DEADID.map-cong
DEADID.map-cong0
DEADID.map-cong-simp DEADID.map-id DEADID.map-id0 DEADID.map-ident
DEADID.map-transfer
DEADID.rel-Grp DEADID.rel-compp DEADID.rel-compp-Grp DEADID.rel-conversep
DEADID.rel-eq
DEADID.rel-flip DEADID.rel-map DEADID.rel-mono DEADID.rel-transfer
ID.inj-map ID.inj-map-strong ID.map-comp ID.map-cong ID.map-cong0 ID.map-cong-simp
ID.map-id
ID.map-id0 ID.map-ident ID.map-transfer ID.rel-Grp ID.rel-compp ID.rel-compp-Grp
ID.rel-conversep
ID.rel-eq ID.rel-flip ID.rel-map ID.rel-mono ID.rel-transfer ID.set-map ID.set-transfer

```

end

34 Registration of Basic Types as Bounded Natural Functors

theory *Basic-BNFs*
imports *BNF-Def*
begin

```

inductive-set setl :: 'a + 'b ⇒ 'a set for s :: 'a + 'b where
  s = Inl x ⇒ x ∈ setl s
inductive-set setr :: 'a + 'b ⇒ 'b set for s :: 'a + 'b where
  s = Inr x ⇒ x ∈ setr s

```

lemma *sum-set-defs*[code]:
 setl = (λx. case x of Inl z => {z} | - => {})
 setr = (λx. case x of Inr z => {z} | - => {})
 ⟨proof⟩

lemma *rel-sum-simps*[code, simp]:
 rel-sum R1 R2 (Inl a1) (Inl b1) = R1 a1 b1
 rel-sum R1 R2 (Inl a1) (Inr b2) = False
 rel-sum R1 R2 (Inr a2) (Inl b1) = False
 rel-sum R1 R2 (Inr a2) (Inr b2) = R2 a2 b2
 ⟨proof⟩

inductive

$\text{pred-sum} :: ('a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow \text{bool}) \Rightarrow 'a + 'b \Rightarrow \text{bool}$ **for** $P1\ P2$

where

$P1\ a \Longrightarrow \text{pred-sum}\ P1\ P2\ (\text{Inl}\ a)$

| $P2\ b \Longrightarrow \text{pred-sum}\ P1\ P2\ (\text{Inr}\ b)$

lemma $\text{pred-sum-inject}[\text{code}, \text{simp}]$:

$\text{pred-sum}\ P1\ P2\ (\text{Inl}\ a) \longleftrightarrow P1\ a$

$\text{pred-sum}\ P1\ P2\ (\text{Inr}\ b) \longleftrightarrow P2\ b$

$\langle \text{proof} \rangle$

bnf $'a + 'b$

map : map-sum

sets : $\text{setl}\ \text{setr}$

bd : natLeq

wits : $\text{Inl}\ \text{Inr}$

rel : rel-sum

pred : pred-sum

$\langle \text{proof} \rangle$

inductive-set $\text{fst}s :: 'a \times 'b \Rightarrow 'a\ \text{set}$ **for** $p :: 'a \times 'b$ **where**

$\text{fst}\ p \in \text{fst}s\ p$

inductive-set $\text{snd}s :: 'a \times 'b \Rightarrow 'b\ \text{set}$ **for** $p :: 'a \times 'b$ **where**

$\text{snd}\ p \in \text{snd}s\ p$

lemma $\text{prod-set-defs}[\text{code}]$: $\text{fst}s = (\lambda p. \{\text{fst}\ p\})\ \text{snd}s = (\lambda p. \{\text{snd}\ p\})$

$\langle \text{proof} \rangle$

inductive

$\text{rel-prod} :: ('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('c \Rightarrow 'd \Rightarrow \text{bool}) \Rightarrow 'a \times 'c \Rightarrow 'b \times 'd \Rightarrow \text{bool}$

for $R1\ R2$

where

$\llbracket R1\ a\ b; R2\ c\ d \rrbracket \Longrightarrow \text{rel-prod}\ R1\ R2\ (a, c)\ (b, d)$

inductive

$\text{pred-prod} :: ('a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow \text{bool}) \Rightarrow 'a \times 'b \Rightarrow \text{bool}$ **for** $P1\ P2$

where

$\llbracket P1\ a; P2\ b \rrbracket \Longrightarrow \text{pred-prod}\ P1\ P2\ (a, b)$

lemma $\text{rel-prod-inject}[\text{code}, \text{simp}]$:

$\text{rel-prod}\ R1\ R2\ (a, b)\ (c, d) \longleftrightarrow R1\ a\ c \wedge R2\ b\ d$

$\langle \text{proof} \rangle$

lemma $\text{pred-prod-inject}[\text{code}, \text{simp}]$:

$\text{pred-prod}\ P1\ P2\ (a, b) \longleftrightarrow P1\ a \wedge P2\ b$

$\langle \text{proof} \rangle$

lemma rel-prod-conv :

$rel\text{-}prod\ R1\ R2 = (\lambda(a, b)\ (c, d). R1\ a\ c \wedge R2\ b\ d)$
 $\langle proof \rangle$

definition

$pred\text{-}fun :: ('a \Rightarrow bool) \Rightarrow ('b \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow bool$

where

$pred\text{-}fun\ A\ B = (\lambda f. \forall x. A\ x \longrightarrow B\ (f\ x))$

lemma $pred\text{-}funI: (\bigwedge x. A\ x \Longrightarrow B\ (f\ x)) \Longrightarrow pred\text{-}fun\ A\ B\ f$
 $\langle proof \rangle$

bnf $'a \times 'b$

$map: map\text{-}prod$

$sets: fst\ snd$

$bd: natLeq$

$rel: rel\text{-}prod$

$pred: pred\text{-}prod$

$\langle proof \rangle$

bnf $'a \Rightarrow 'b$

$map: op \circ$

$sets: range$

$bd: natLeq + c \mid UNIV :: 'a\ set$

$rel: rel\text{-}fun\ op =$

$pred: pred\text{-}fun\ (\lambda\cdot. True)$

$\langle proof \rangle$

end

35 Shared Fixpoint Operations on Bounded Natural Functors

theory *BNF-Fixpoint-Base*

imports *BNF-Composition Basic-BNFs*

begin

lemma $conj\text{-}imp\text{-}eq\text{-}imp\text{-}imp: (P \wedge Q \Longrightarrow PROP\ R) \equiv (P \Longrightarrow Q \Longrightarrow PROP\ R)$
 $\langle proof \rangle$

lemma $predicate2D\text{-}conj: P \leq Q \wedge R \Longrightarrow R \wedge (P\ x\ y \longrightarrow Q\ x\ y)$
 $\langle proof \rangle$

lemma $eq\text{-}sym\text{-}Unity\text{-}conv: (x = (() = ())) = x$
 $\langle proof \rangle$

lemma $case\text{-}unit\text{-}Unity: (case\ u\ of\ () \Rightarrow f) = f$
 $\langle proof \rangle$

lemma *case-prod-Pair-iden*: $(\text{case } p \text{ of } (x, y) \Rightarrow (x, y)) = p$
 $\langle \text{proof} \rangle$

lemma *unit-all-impI*: $(P () \Longrightarrow Q ()) \Longrightarrow \forall x. P x \longrightarrow Q x$
 $\langle \text{proof} \rangle$

lemma *pointfree-idE*: $f \circ g = \text{id} \Longrightarrow f (g x) = x$
 $\langle \text{proof} \rangle$

lemma *o-bij*:
 assumes $gf: g \circ f = \text{id}$ and $fg: f \circ g = \text{id}$
 shows $\text{bij } f$
 $\langle \text{proof} \rangle$

lemma *case-sum-step*:
 $\text{case-sum } (\text{case-sum } f' g') g (\text{Inl } p) = \text{case-sum } f' g' p$
 $\text{case-sum } f (\text{case-sum } f' g') (\text{Inr } p) = \text{case-sum } f' g' p$
 $\langle \text{proof} \rangle$

lemma *obj-one-pointE*: $\forall x. s = x \longrightarrow P \Longrightarrow P$
 $\langle \text{proof} \rangle$

lemma *type-copy-obj-one-point-absE*:
 assumes *type-definition* *Rep* *Abs* *UNIV* $\forall x. s = \text{Abs } x \longrightarrow P$ shows P
 $\langle \text{proof} \rangle$

lemma *obj-sumE-f*:
 assumes $\forall x. s = f (\text{Inl } x) \longrightarrow P \ \forall x. s = f (\text{Inr } x) \longrightarrow P$
 shows $\forall x. s = f x \longrightarrow P$
 $\langle \text{proof} \rangle$

lemma *case-sum-if*:
 $\text{case-sum } f g (\text{if } p \text{ then } \text{Inl } x \text{ else } \text{Inr } y) = (\text{if } p \text{ then } f x \text{ else } g y)$
 $\langle \text{proof} \rangle$

lemma *prod-set-simps[simp]*:
 $\text{fst} (x, y) = \{x\}$
 $\text{snd} (x, y) = \{y\}$
 $\langle \text{proof} \rangle$

lemma *sum-set-simps[simp]*:
 $\text{setl } (\text{Inl } x) = \{x\}$
 $\text{setl } (\text{Inr } x) = \{\}$
 $\text{setr } (\text{Inl } x) = \{\}$
 $\text{setr } (\text{Inr } x) = \{x\}$
 $\langle \text{proof} \rangle$

lemma *Inl-Inr-False*: $(\text{Inl } x = \text{Inr } y) = \text{False}$
 $\langle \text{proof} \rangle$

lemma *Inr-Inl-False*: $(\text{Inr } x = \text{Inl } y) = \text{False}$
 $\langle \text{proof} \rangle$

lemma *spec2*: $\forall x y. P x y \implies P x y$
 $\langle \text{proof} \rangle$

lemma *rewriteR-comp-comp*: $\llbracket g \circ h = r \rrbracket \implies f \circ g \circ h = f \circ r$
 $\langle \text{proof} \rangle$

lemma *rewriteR-comp-comp2*: $\llbracket g \circ h = r1 \circ r2; f \circ r1 = l \rrbracket \implies f \circ g \circ h = l \circ r2$
 $\langle \text{proof} \rangle$

lemma *rewriteL-comp-comp*: $\llbracket f \circ g = l \rrbracket \implies f \circ (g \circ h) = l \circ h$
 $\langle \text{proof} \rangle$

lemma *rewriteL-comp-comp2*: $\llbracket f \circ g = l1 \circ l2; l2 \circ h = r \rrbracket \implies f \circ (g \circ h) = l1 \circ r$
 $\langle \text{proof} \rangle$

lemma *convol-o*: $\langle f, g \rangle \circ h = \langle f \circ h, g \circ h \rangle$
 $\langle \text{proof} \rangle$

lemma *map-prod-o-convol*: $\text{map-prod } h1 \ h2 \circ \langle f, g \rangle = \langle h1 \circ f, h2 \circ g \rangle$
 $\langle \text{proof} \rangle$

lemma *map-prod-o-convol-id*: $(\text{map-prod } f \ \text{id} \circ \langle \text{id}, g \rangle) x = \langle \text{id} \circ f, g \rangle x$
 $\langle \text{proof} \rangle$

lemma *o-case-sum*: $h \circ \text{case-sum } f \ g = \text{case-sum } (h \circ f) \ (h \circ g)$
 $\langle \text{proof} \rangle$

lemma *case-sum-o-map-sum*: $\text{case-sum } f \ g \circ \text{map-sum } h1 \ h2 = \text{case-sum } (f \circ h1) \ (g \circ h2)$
 $\langle \text{proof} \rangle$

lemma *case-sum-o-map-sum-id*: $(\text{case-sum } \text{id } g \circ \text{map-sum } f \ \text{id}) x = \text{case-sum } (f \circ \text{id}) \ g \ x$
 $\langle \text{proof} \rangle$

lemma *rel-fun-def-butlast*:
 $\text{rel-fun } R \ (\text{rel-fun } S \ T) \ f \ g = (\forall x y. R x y \longrightarrow (\text{rel-fun } S \ T) (f x) (g y))$
 $\langle \text{proof} \rangle$

lemma *subst-eq-imp*: $(\forall a b. a = b \longrightarrow P a b) \equiv (\forall a. P a a)$
 $\langle \text{proof} \rangle$

lemma *eq-subset*: $op = \leq (\lambda a b. P a b \vee a = b)$

<proof>

lemma *eq-le-Grp-id-iff*: $(op = \leq \text{Grp } (Collect\ R)\ id) = (All\ R)$
<proof>

lemma *Grp-id-mono-subst*: $(\bigwedge x\ y. \text{Grp } P\ id\ x\ y \implies \text{Grp } Q\ id\ (f\ x)\ (f\ y)) \equiv$
 $(\bigwedge x. x \in P \implies f\ x \in Q)$
<proof>

lemma *vimage2p-mono*: $vimage2p\ f\ g\ R\ x\ y \implies R \leq S \implies vimage2p\ f\ g\ S\ x\ y$
<proof>

lemma *vimage2p-refl*: $(\bigwedge x. R\ x\ x) \implies vimage2p\ f\ f\ R\ x\ x$
<proof>

lemma
assumes *type-definition Rep Abs UNIV*
shows *type-copy-Rep-o-Abs*: $Rep \circ Abs = id$ **and** *type-copy-Abs-o-Rep*: $Abs \circ Rep = id$
<proof>

lemma *type-copy-map-comp0-undo*:
assumes *type-definition Rep Abs UNIV*
type-definition Rep' Abs' UNIV
type-definition Rep'' Abs'' UNIV
shows $Abs' \circ M \circ Rep'' = (Abs' \circ M1 \circ Rep) \circ (Abs \circ M2 \circ Rep'') \implies M1 \circ M2 = M$
<proof>

lemma *vimage2p-id*: $vimage2p\ id\ id\ R = R$
<proof>

lemma *vimage2p-comp*: $vimage2p\ (f1 \circ f2)\ (g1 \circ g2) = vimage2p\ f2\ g2 \circ vimage2p\ f1\ g1$
<proof>

lemma *vimage2p-rel-fun*: $rel\text{-}fun\ (vimage2p\ f\ g\ R)\ R\ f\ g$
<proof>

lemma *fun-cong-unused-0*: $f = (\lambda x. g) \implies f\ (\lambda x. 0) = g$
<proof>

lemma *inj-on-convol-ident*: $inj\text{-}on\ (\lambda x. (x, f\ x))\ X$
<proof>

lemma *map-sum-if-distrib-then*:
 $\bigwedge f\ g\ e\ x\ y. \text{map-sum}\ f\ g\ (if\ e\ then\ Inl\ x\ else\ y) = (if\ e\ then\ Inl\ (f\ x)\ else\ \text{map-sum}\ f\ g\ y)$
 $\bigwedge f\ g\ e\ x\ y. \text{map-sum}\ f\ g\ (if\ e\ then\ Inr\ x\ else\ y) = (if\ e\ then\ Inr\ (g\ x)\ else\ \text{map-sum}\ f\ g\ y)$

map-sum $f\ g\ y$)
 ⟨proof⟩

lemma *map-sum-if-distrib-else*:

$\bigwedge f\ g\ e\ x\ y. \text{map-sum } f\ g\ (\text{if } e \text{ then } x \text{ else } \text{Inl } y) = (\text{if } e \text{ then } \text{map-sum } f\ g\ x \text{ else } \text{Inl } (f\ y))$
 $\bigwedge f\ g\ e\ x\ y. \text{map-sum } f\ g\ (\text{if } e \text{ then } x \text{ else } \text{Inr } y) = (\text{if } e \text{ then } \text{map-sum } f\ g\ x \text{ else } \text{Inr } (f\ y))$
 ⟨proof⟩

lemma *case-prod-app*: $\text{case-prod } f\ x\ y = \text{case-prod } (\lambda l\ r. f\ l\ r\ y)\ x$
 ⟨proof⟩

lemma *case-sum-map-sum*: $\text{case-sum } l\ r\ (\text{map-sum } f\ g\ x) = \text{case-sum } (l \circ f)\ (r \circ g)\ x$
 ⟨proof⟩

lemma *case-sum-transfer*:

$\text{rel-fun } (\text{rel-fun } R\ T)\ (\text{rel-fun } (\text{rel-fun } S\ T)\ (\text{rel-fun } (\text{rel-sum } R\ S)\ T))\ \text{case-sum}\ \text{case-sum}$
 ⟨proof⟩

lemma *case-prod-map-prod*: $\text{case-prod } h\ (\text{map-prod } f\ g\ x) = \text{case-prod } (\lambda l\ r. h\ (f\ l)\ (g\ r))\ x$
 ⟨proof⟩

lemma *case-prod-o-map-prod*: $\text{case-prod } f \circ \text{map-prod } g1\ g2 = \text{case-prod } (\lambda l\ r. f\ (g1\ l)\ (g2\ r))$
 ⟨proof⟩

lemma *case-prod-transfer*:

$(\text{rel-fun } (\text{rel-fun } A\ (\text{rel-fun } B\ C))\ (\text{rel-fun } (\text{rel-prod } A\ B)\ C))\ \text{case-prod}\ \text{case-prod}$
 ⟨proof⟩

lemma *eq-ifI*: $(P \longrightarrow t = u1) \Longrightarrow (\neg P \longrightarrow t = u2) \Longrightarrow t = (\text{if } P \text{ then } u1 \text{ else } u2)$
 ⟨proof⟩

lemma *comp-transfer*:

$\text{rel-fun } (\text{rel-fun } B\ C)\ (\text{rel-fun } (\text{rel-fun } A\ B)\ (\text{rel-fun } A\ C))\ (op \circ)\ (op \circ)$
 ⟨proof⟩

lemma *If-transfer*: $\text{rel-fun } (op =)\ (\text{rel-fun } A\ (\text{rel-fun } A\ A))\ \text{If}\ \text{If}$
 ⟨proof⟩

lemma *Abs-transfer*:

assumes *type-copy1*: *type-definition* *Rep1* *Abs1* *UNIV*
assumes *type-copy2*: *type-definition* *Rep2* *Abs2* *UNIV*
shows *rel-fun* *R* (*vimage2p* *Rep1* *Rep2* *R*) *Abs1* *Abs2*

$\langle \text{proof} \rangle$

lemma *Inl-transfer*:

$\text{rel-fun } S \ (\text{rel-sum } S \ T) \ \text{Inl } \text{Inl}$

$\langle \text{proof} \rangle$

lemma *Inr-transfer*:

$\text{rel-fun } T \ (\text{rel-sum } S \ T) \ \text{Inr } \text{Inr}$

$\langle \text{proof} \rangle$

lemma *Pair-transfer*: $\text{rel-fun } A \ (\text{rel-fun } B \ (\text{rel-prod } A \ B)) \ \text{Pair } \text{Pair}$

$\langle \text{proof} \rangle$

lemma *eq-onp-live-step*: $x = y \implies \text{eq-onp } P \ a \ a \wedge x \longleftrightarrow P \ a \wedge y$

$\langle \text{proof} \rangle$

lemma *top-conj*: $\text{top } x \wedge P \longleftrightarrow P \ P \wedge \text{top } x \longleftrightarrow P$

$\langle \text{proof} \rangle$

lemma *fst-convol'*: $\text{fst } (\langle f, g \rangle \ x) = f \ x$

$\langle \text{proof} \rangle$

lemma *snd-convol'*: $\text{snd } (\langle f, g \rangle \ x) = g \ x$

$\langle \text{proof} \rangle$

lemma *convol-expand-snd*: $\text{fst } o \ f = g \implies \langle g, \text{snd } o \ f \rangle = f$

$\langle \text{proof} \rangle$

lemma *convol-expand-snd'*:

assumes $(\text{fst } o \ f = g)$

shows $h = \text{snd } o \ f \longleftrightarrow \langle g, h \rangle = f$

$\langle \text{proof} \rangle$

lemma *case-sum-expand-Inr-pointfree*: $f \ o \ \text{Inl} = g \implies \text{case-sum } g \ (f \ o \ \text{Inr}) = f$

$\langle \text{proof} \rangle$

lemma *case-sum-expand-Inr'*: $f \ o \ \text{Inl} = g \implies h = f \ o \ \text{Inr} \longleftrightarrow \text{case-sum } g \ h = f$

$\langle \text{proof} \rangle$

lemma *case-sum-expand-Inr*: $f \ o \ \text{Inl} = g \implies f \ x = \text{case-sum } g \ (f \ o \ \text{Inr}) \ x$

$\langle \text{proof} \rangle$

lemma *id-transfer*: $\text{rel-fun } A \ A \ \text{id } \text{id}$

$\langle \text{proof} \rangle$

lemma *fst-transfer*: $\text{rel-fun } (\text{rel-prod } A \ B) \ A \ \text{fst } \text{fst}$

$\langle \text{proof} \rangle$

lemma *snd-transfer*: $\text{rel-fun } (\text{rel-prod } A \ B) \ B \ \text{snd } \text{snd}$

$\langle proof \rangle$

lemma *convol-transfer*:

$rel\text{-}fun\ (rel\text{-}fun\ R\ S)\ (rel\text{-}fun\ (rel\text{-}fun\ R\ T)\ (rel\text{-}fun\ R\ (rel\text{-}prod\ S\ T)))\ BNF\text{-}Def.convol$
 $BNF\text{-}Def.convol$

$\langle proof \rangle$

lemma *Let-const*: $Let\ x\ (\lambda\text{-}. c) = c$

$\langle proof \rangle$

$\langle ML \rangle$

end

36 Least Fixpoint (Datatype) Operation on Bounded Natural Functors

theory *BNF-Least-Fixpoint*

imports *BNF-Fixpoint-Base*

keywords

datatype :: *thy-decl* **and**

datatype-compatible :: *thy-decl*

begin

lemma *subset-emptyI*: $(\bigwedge x. x \in A \implies False) \implies A \subseteq \{\}$

$\langle proof \rangle$

lemma *image-Collect-subsetI*: $(\bigwedge x. P\ x \implies f\ x \in B) \implies f\ ` \{x. P\ x\} \subseteq B$

$\langle proof \rangle$

lemma *Collect-restrict*: $\{x. x \in X \wedge P\ x\} \subseteq X$

$\langle proof \rangle$

lemma *prop-restrict*: $\llbracket x \in Z; Z \subseteq \{x. x \in X \wedge P\ x\} \rrbracket \implies P\ x$

$\langle proof \rangle$

lemma *underS-I*: $\llbracket i \neq j; (i, j) \in R \rrbracket \implies i \in underS\ R\ j$

$\langle proof \rangle$

lemma *underS-E*: $i \in underS\ R\ j \implies i \neq j \wedge (i, j) \in R$

$\langle proof \rangle$

lemma *underS-Field*: $i \in underS\ R\ j \implies i \in Field\ R$

$\langle proof \rangle$

lemma *bij-betwE*: $bij\text{-}betw\ f\ A\ B \implies \forall a \in A. f\ a \in B$

$\langle proof \rangle$

lemma *f-the-inv-into-f-bij-betw*:

bij-betw f A $B \implies (\text{bij-betw } f \ A \ B \implies x \in B) \implies f \ (\text{the-inv-into } A \ f \ x) = x$
 $\langle \text{proof} \rangle$

lemma *ex-bij-betw*: $|A| \leq o \ (r :: 'b \ \text{rel}) \implies \exists f \ B :: 'b \ \text{set. } \text{bij-betw } f \ B \ A$
 $\langle \text{proof} \rangle$

lemma *bij-betwI'*:

$\llbracket \bigwedge x \ y. \llbracket x \in X; y \in X \rrbracket \implies (f \ x = f \ y) = (x = y);$
 $\bigwedge x. x \in X \implies f \ x \in Y;$
 $\bigwedge y. y \in Y \implies \exists x \in X. y = f \ x \rrbracket \implies \text{bij-betw } f \ X \ Y$
 $\langle \text{proof} \rangle$

lemma *surj-fun-eq*:

assumes *surj-on*: $f \text{ ' } X = \text{UNIV}$ **and** *eq-on*: $\forall x \in X. (g1 \ o \ f) \ x = (g2 \ o \ f) \ x$
shows $g1 = g2$
 $\langle \text{proof} \rangle$

lemma *Card-order-wo-rel*: $\text{Card-order } r \implies \text{wo-rel } r$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-limit*: $\llbracket x \in \text{Field } r; \text{Cinfinite } r \rrbracket \implies \exists y \in \text{Field } r. x \neq y \wedge (x, y) \in r$
 $\langle \text{proof} \rangle$

lemma *Card-order-trans*:

$\llbracket \text{Card-order } r; x \neq y; (x, y) \in r; y \neq z; (y, z) \in r \rrbracket \implies x \neq z \wedge (x, z) \in r$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-limit2*:

assumes *x1*: $x1 \in \text{Field } r$ **and** *x2*: $x2 \in \text{Field } r$ **and** *r*: $\text{Cinfinite } r$
shows $\exists y \in \text{Field } r. (x1 \neq y \wedge (x1, y) \in r) \wedge (x2 \neq y \wedge (x2, y) \in r)$
 $\langle \text{proof} \rangle$

lemma *Cinfinite-limit-finite*:

$\llbracket \text{finite } X; X \subseteq \text{Field } r; \text{Cinfinite } r \rrbracket \implies \exists y \in \text{Field } r. \forall x \in X. (x \neq y \wedge (x, y) \in r)$
 $\langle \text{proof} \rangle$

lemma *insert-subsetI*: $\llbracket x \in A; X \subseteq A \rrbracket \implies \text{insert } x \ X \subseteq A$
 $\langle \text{proof} \rangle$

lemmas *well-order-induct-imp* = $\text{wo-rel.well-order-induct}[of \ r \ \lambda x. x \in \text{Field } r \longrightarrow P \ x \ \text{for } r \ P]$

lemma *meta-spec2*:

assumes $(\bigwedge x \ y. \text{PROP } P \ x \ y)$
shows $\text{PROP } P \ x \ y$
 $\langle \text{proof} \rangle$

lemma *nchotomy-relcomppE*:

assumes $\bigwedge y. \exists x. y = f x \ (r \ OO \ s) \ a \ c \ \bigwedge b. r \ a \ (f \ b) \implies s \ (f \ b) \ c \implies P$
 shows P

<proof>

lemma *predicate2D-vimage2p*: $\llbracket R \leq vimage2p \ f \ g \ S; R \ x \ y \rrbracket \implies S \ (f \ x) \ (g \ y)$

<proof>

lemma *ssubst-Pair-rhs*: $\llbracket (r, s) \in R; s' = s \rrbracket \implies (r, s') \in R$

<proof>

lemma *all-mem-range1*:

$(\bigwedge y. y \in range \ f \implies P \ y) \equiv (\bigwedge x. P \ (f \ x))$

<proof>

lemma *all-mem-range2*:

$(\bigwedge fa \ y. fa \in range \ f \implies y \in range \ fa \implies P \ y) \equiv (\bigwedge x \ xa. P \ (f \ x \ xa))$

<proof>

lemma *all-mem-range3*:

$(\bigwedge fa \ fb \ y. fa \in range \ f \implies fb \in range \ fa \implies y \in range \ fb \implies P \ y) \equiv (\bigwedge x \ xa \ xb. P \ (f \ x \ xa \ xb))$

<proof>

lemma *all-mem-range4*:

$(\bigwedge fa \ fb \ fc \ y. fa \in range \ f \implies fb \in range \ fa \implies fc \in range \ fb \implies y \in range \ fc \implies P \ y) \equiv$

$(\bigwedge x \ xa \ xb \ xc. P \ (f \ x \ xa \ xb \ xc))$

<proof>

lemma *all-mem-range5*:

$(\bigwedge fa \ fb \ fc \ fd \ y. fa \in range \ f \implies fb \in range \ fa \implies fc \in range \ fb \implies fd \in range \ fc \implies$

$y \in range \ fd \implies P \ y) \equiv$

$(\bigwedge x \ xa \ xb \ xc \ xd. P \ (f \ x \ xa \ xb \ xc \ xd))$

<proof>

lemma *all-mem-range6*:

$(\bigwedge fa \ fb \ fc \ fd \ fe \ ff \ y. fa \in range \ f \implies fb \in range \ fa \implies fc \in range \ fb \implies fd \in range \ fc \implies$

$fe \in range \ fd \implies ff \in range \ fe \implies y \in range \ ff \implies P \ y) \equiv$

$(\bigwedge x \ xa \ xb \ xc \ xd \ xe \ xf. P \ (f \ x \ xa \ xb \ xc \ xd \ xe \ xf))$

<proof>

lemma *all-mem-range7*:

$(\bigwedge fa \ fb \ fc \ fd \ fe \ ff \ fg \ y. fa \in range \ f \implies fb \in range \ fa \implies fc \in range \ fb \implies fd \in range \ fc \implies$

$fe \in range \ fd \implies ff \in range \ fe \implies fg \in range \ ff \implies y \in range \ fg \implies P \ y)$

\equiv
 $(\bigwedge x \, xa \, xb \, xc \, xd \, xe \, xf \, xg. \, P \, (f \, x \, xa \, xb \, xc \, xd \, xe \, xf \, xg))$
 $\langle proof \rangle$

lemma *all-mem-range8*:

$(\bigwedge fa \, fb \, fc \, fd \, fe \, ff \, fg \, fh \, y. \, fa \in \text{range } f \implies fb \in \text{range } fa \implies fc \in \text{range } fb \implies$
 $fd \in \text{range } fc \implies$
 $fe \in \text{range } fd \implies ff \in \text{range } fe \implies fg \in \text{range } ff \implies fh \in \text{range } fg \implies y \in$
 $\text{range } fh \implies P \, y) \equiv$
 $(\bigwedge x \, xa \, xb \, xc \, xd \, xe \, xf \, xg \, xh. \, P \, (f \, x \, xa \, xb \, xc \, xd \, xe \, xf \, xg \, xh))$
 $\langle proof \rangle$

lemmas *all-mem-range* = *all-mem-range1 all-mem-range2 all-mem-range3 all-mem-range4*
all-mem-range5
all-mem-range6 all-mem-range7 all-mem-range8

lemma *pred-fun-True-id*: *NO-MATCH* $id \, p \implies \text{pred-fun } (\lambda x. \, \text{True}) \, p \, f = \text{pred-fun}$
 $(\lambda x. \, \text{True}) \, id \, (p \circ f)$
 $\langle proof \rangle$

$\langle ML \rangle$

end

theory *Basic-BNF-LFPs*
imports *BNF-Least-Fixpoint*
begin

definition *xlor* :: $'a \Rightarrow 'a$ **where**
 $xlor \, x = x$

lemma *xlor-map*: $f \, (xlor \, x) = xlor \, (f \, x)$
 $\langle proof \rangle$

lemma *xlor-map-unique*: $u \circ xlor = xlor \circ f \implies u = f$
 $\langle proof \rangle$

lemma *xlor-set*: $f \, (xlor \, x) = f \, x$
 $\langle proof \rangle$

lemma *xlor-rel*: $R \, (xlor \, x) \, (xlor \, y) = R \, x \, y$
 $\langle proof \rangle$

lemma *xlor-induct*: $(\bigwedge x. \, P \, (xlor \, x)) \implies P \, z$
 $\langle proof \rangle$

lemma *xlor-xlor*: $xlor \, (xlor \, x) = x$
 $\langle proof \rangle$

lemmas $xtor-inject = xtor-rel[of\ op =]$

lemma $xtor-rel-induct$: $(\bigwedge x\ y. \text{vimage2p } id-bnf\ id-bnf\ R\ x\ y \implies IR\ (xtor\ x)\ (xtor\ y)) \implies R \leq IR$
 $\langle proof \rangle$

lemma $xtor-iff-xtor$: $u = xtor\ w \longleftrightarrow xtor\ u = w$
 $\langle proof \rangle$

lemma $Inl-def-alt$: $Inl \equiv (\lambda a. xtor\ (id-bnf\ (Inl\ a)))$
 $\langle proof \rangle$

lemma $Inr-def-alt$: $Inr \equiv (\lambda a. xtor\ (id-bnf\ (Inr\ a)))$
 $\langle proof \rangle$

lemma $Pair-def-alt$: $Pair \equiv (\lambda a\ b. xtor\ (id-bnf\ (a, b)))$
 $\langle proof \rangle$

definition $ctor-rec :: 'a \Rightarrow 'a$ **where**
 $ctor-rec\ x = x$

lemma $ctor-rec$: $g = id \implies ctor-rec\ f\ (xtor\ x) = f\ ((id-bnf \circ g \circ id-bnf)\ x)$
 $\langle proof \rangle$

lemma $ctor-rec-unique$: $g = id \implies f \circ xtor = s \circ (id-bnf \circ g \circ id-bnf) \implies f = ctor-rec\ s$
 $\langle proof \rangle$

lemma $ctor-rec-def-alt$: $f = ctor-rec\ (f \circ id-bnf)$
 $\langle proof \rangle$

lemma $ctor-rec-o-map$: $ctor-rec\ f \circ g = ctor-rec\ (f \circ (id-bnf \circ g \circ id-bnf))$
 $\langle proof \rangle$

lemma $ctor-rec-transfer$: $rel-fun\ (rel-fun\ (\text{vimage2p } id-bnf\ id-bnf\ R)\ S)\ (rel-fun\ R\ S) \implies ctor-rec\ ctor-rec$
 $\langle proof \rangle$

lemma $eq-fst-iff$: $a = fst\ p \longleftrightarrow (\exists b. p = (a, b))$
 $\langle proof \rangle$

lemma $eq-snd-iff$: $b = snd\ p \longleftrightarrow (\exists a. p = (a, b))$
 $\langle proof \rangle$

lemma $ex-neg-all-pos$: $((\exists x. P\ x) \implies Q) \equiv (\bigwedge x. P\ x \implies Q)$
 $\langle proof \rangle$

lemma $hypsubst-in-prems$: $(\bigwedge x. y = x \implies z = f\ x \implies P) \equiv (z = f\ y \implies P)$

$\langle \text{proof} \rangle$

lemma *isl-map-sum*:

$\text{isl } (\text{map-sum } f \ g \ s) = \text{isl } s$
 $\langle \text{proof} \rangle$

lemma *map-sum-sel*:

$\text{isl } s \implies \text{projl } (\text{map-sum } f \ g \ s) = f \ (\text{projl } s)$
 $\neg \text{isl } s \implies \text{projr } (\text{map-sum } f \ g \ s) = g \ (\text{projr } s)$
 $\langle \text{proof} \rangle$

lemma *set-sum-sel*:

$\text{isl } s \implies \text{projl } s \in \text{setl } s$
 $\neg \text{isl } s \implies \text{projr } s \in \text{setr } s$
 $\langle \text{proof} \rangle$

lemma *rel-sum-sel*: $\text{rel-sum } R1 \ R2 \ a \ b = (\text{isl } a = \text{isl } b \wedge$
 $(\text{isl } a \longrightarrow \text{isl } b \longrightarrow R1 \ (\text{projl } a) \ (\text{projl } b)) \wedge$
 $(\neg \text{isl } a \longrightarrow \neg \text{isl } b \longrightarrow R2 \ (\text{projr } a) \ (\text{projr } b)))$
 $\langle \text{proof} \rangle$

lemma *isl-transfer*: $\text{rel-fun } (\text{rel-sum } A \ B) \ (op =) \ \text{isl } \text{isl}$
 $\langle \text{proof} \rangle$

lemma *rel-prod-sel*: $\text{rel-prod } R1 \ R2 \ p \ q = (R1 \ (\text{fst } p) \ (\text{fst } q) \wedge R2 \ (\text{snd } p) \ (\text{snd } q))$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

lemma *size-bool*[code]: $\text{size } (b :: \text{bool}) = 0$
 $\langle \text{proof} \rangle$

declare *prod.size*[no-atp]

lemmas *size-nat* = *size-nat-def*

hide-const (open) *xtor ctor-rec*

hide-fact (open)

xtor-def xtor-map xtor-set xtor-rel xtor-induct xtor-xtor xtor-inject ctor-rec-def
ctor-rec
ctor-rec-def-alt ctor-rec-o-map xtor-rel-induct Inl-def-alt Inr-def-alt Pair-def-alt

end

37 MESON Proof Method

theory *Meson*

imports *Nat*
begin

37.1 Negation Normal Form

de Morgan laws

lemma *not-conjD*: $\sim(P \& Q) ==> \sim P \mid \sim Q$
and *not-disjD*: $\sim(P \mid Q) ==> \sim P \& \sim Q$
and *not-notD*: $\sim\sim P ==> P$
and *not-allD*: $!!P. \sim(\forall x. P(x)) ==> \exists x. \sim P(x)$
and *not-exD*: $!!P. \sim(\exists x. P(x)) ==> \forall x. \sim P(x)$
 $\langle proof \rangle$

Removal of \longrightarrow and \longleftrightarrow (positive and negative occurrences)

lemma *imp-to-disjD*: $P \longrightarrow Q ==> \sim P \mid Q$
and *not-impD*: $\sim(P \longrightarrow Q) ==> P \& \sim Q$
and *iff-to-disjD*: $P = Q ==> (\sim P \mid Q) \& (\sim Q \mid P)$
and *not-iffD*: $\sim(P = Q) ==> (P \mid Q) \& (\sim P \mid \sim Q)$
 — Much more efficient than $P \wedge \neg Q \vee Q \wedge \neg P$ for computing CNF
and *not-refl-disj-D*: $x \sim = x \mid P ==> P$
 $\langle proof \rangle$

37.2 Pulling out the existential quantifiers

Conjunction

lemma *conj-exD1*: $!!P Q. (\exists x. P(x)) \& Q ==> \exists x. P(x) \& Q$
and *conj-exD2*: $!!P Q. P \& (\exists x. Q(x)) ==> \exists x. P \& Q(x)$
 $\langle proof \rangle$

Disjunction

lemma *disj-exD*: $!!P Q. (\exists x. P(x)) \mid (\exists x. Q(x)) ==> \exists x. P(x) \mid Q(x)$
 — DO NOT USE with forall-Skolemization: makes fewer schematic variables!!
 — With ex-Skolemization, makes fewer Skolem constants
and *disj-exD1*: $!!P Q. (\exists x. P(x)) \mid Q ==> \exists x. P(x) \mid Q$
and *disj-exD2*: $!!P Q. P \mid (\exists x. Q(x)) ==> \exists x. P \mid Q(x)$
 $\langle proof \rangle$

lemma *disj-assoc*: $(P \mid Q) \mid R ==> P \mid (Q \mid R)$
and *disj-comm*: $P \mid Q ==> Q \mid P$
and *disj-FalseD1*: $False \mid P ==> P$
and *disj-FalseD2*: $P \mid False ==> P$
 $\langle proof \rangle$

Generation of contrapositives

Inserts negated disjunct after removing the negation; P is a literal. Model elimination requires assuming the negation of every attempted subgoal, hence the negated disjuncts.

lemma *make-neg-rule*: $\sim P|Q \implies ((\sim P \implies P) \implies Q)$
 $\langle proof \rangle$

Version for Plaisted’s “Positive refinement” of the Meson procedure

lemma *make-refined-neg-rule*: $\sim P|Q \implies (P \implies Q)$
 $\langle proof \rangle$

P should be a literal

lemma *make-pos-rule*: $P|Q \implies ((P \implies \sim P) \implies Q)$
 $\langle proof \rangle$

Versions of *make-neg-rule* and *make-pos-rule* that don’t insert new assumptions, for ordinary resolution.

lemmas *make-neg-rule'* = *make-refined-neg-rule*

lemma *make-pos-rule'*: $[|P|Q; \sim P|] \implies Q$
 $\langle proof \rangle$

Generation of a goal clause – put away the final literal

lemma *make-neg-goal*: $\sim P \implies ((\sim P \implies P) \implies False)$
 $\langle proof \rangle$

lemma *make-pos-goal*: $P \implies ((P \implies \sim P) \implies False)$
 $\langle proof \rangle$

37.3 Lemmas for Forward Proof

There is a similarity to congruence rules. They are also useful in ordinary proofs.

lemma *conj-forward*: $[|P' \& Q'; P' \implies P; Q' \implies Q|] \implies P \& Q$
 $\langle proof \rangle$

lemma *disj-forward*: $[|P'|Q'; P' \implies P; Q' \implies Q|] \implies P|Q$
 $\langle proof \rangle$

lemma *imp-forward*: $[|P' \longrightarrow Q'; P \implies P'; Q' \implies Q|] \implies P \longrightarrow Q$
 $\langle proof \rangle$

lemma *disj-forward2*:

$[|P'|Q'; P' \implies P; [|Q'; P \implies False|] \implies Q|] \implies P|Q$
 $\langle proof \rangle$

lemma *all-forward*: $[|\forall x. P'(x); !x. P'(x) \implies P(x)|] \implies \forall x. P(x)$
 $\langle proof \rangle$

lemma *ex-forward*: $[|\exists x. P'(x); !x. P'(x) \implies P(x)|] \implies \exists x. P(x)$
 $\langle proof \rangle$

37.4 Clausification helper

lemma *TruepropI*: $P \equiv Q \implies \text{Trueprop } P \equiv \text{Trueprop } Q$
 $\langle \text{proof} \rangle$

lemma *ext-cong-neq*: $F\ g \neq F\ h \implies F\ g \neq F\ h \wedge (\exists x. g\ x \neq h\ x)$
 $\langle \text{proof} \rangle$

Combinator translation helpers

definition *COMBI* :: $'a \Rightarrow 'a$ **where**
COMBI $P = P$

definition *COMBK* :: $'a \Rightarrow 'b \Rightarrow 'a$ **where**
COMBK $P\ Q = P$

definition *COMBB* :: $('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$ **where**
COMBB $P\ Q\ R = P\ (Q\ R)$

definition *COMBC* :: $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'b \Rightarrow 'a \Rightarrow 'c$ **where**
COMBC $P\ Q\ R = P\ R\ Q$

definition *COMBS* :: $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$ **where**
COMBS $P\ Q\ R = P\ R\ (Q\ R)$

lemma *abs-S*: $\lambda x. (f\ x)\ (g\ x) \equiv \text{COMBS}\ f\ g$
 $\langle \text{proof} \rangle$

lemma *abs-I*: $\lambda x. x \equiv \text{COMBI}$
 $\langle \text{proof} \rangle$

lemma *abs-K*: $\lambda x. y \equiv \text{COMBK}\ y$
 $\langle \text{proof} \rangle$

lemma *abs-B*: $\lambda x. a\ (g\ x) \equiv \text{COMBB}\ a\ g$
 $\langle \text{proof} \rangle$

lemma *abs-C*: $\lambda x. (f\ x)\ b \equiv \text{COMBC}\ f\ b$
 $\langle \text{proof} \rangle$

37.5 Skolemization helpers

definition *skolem* :: $'a \Rightarrow 'a$ **where**
skolem = $(\lambda x. x)$

lemma *skolem-COMBK-iff*: $P \longleftrightarrow \text{skolem}\ (\text{COMBK}\ P\ (i::\text{nat}))$
 $\langle \text{proof} \rangle$

lemmas *skolem-COMBK-I* = *iffD1* [*OF skolem-COMBK-iff*]

lemmas *skolem-COMBK-D* = *iffD2* [*OF skolem-COMBK-iff*]

37.6 Meson package

$\langle ML \rangle$

```

hide-const (open) COMBI COMBK COMBB COMBC COMBS skolem
hide-fact (open) not-conjD not-disjD not-notD not-allD not-exD imp-to-disjD
  not-impD iff-to-disjD not-iffD not-refl-disj-D conj-exD1 conj-exD2 disj-exD
  disj-exD1 disj-exD2 disj-assoc disj-comm disj-FalseD1 disj-FalseD2 TruepropI
  ext-cong-neq COMBI-def COMBK-def COMBB-def COMBC-def COMBS-def
  abs-I abs-K
  abs-B abs-C abs-S skolem-def skolem-COMBK-iff skolem-COMBK-I skolem-COMBK-D
end

```

38 Automatic Theorem Provers (ATPs)

```

theory ATP
  imports Meson
begin

```

38.1 ATP problems and proofs

$\langle ML \rangle$

38.2 Higher-order reasoning helpers

```

definition fFalse :: bool where
  fFalse  $\longleftrightarrow$  False

```

```

definition fTrue :: bool where
  fTrue  $\longleftrightarrow$  True

```

```

definition fNot :: bool  $\Rightarrow$  bool where
  fNot P  $\longleftrightarrow$   $\neg$  P

```

```

definition fComp :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  bool where
  fComp P = ( $\lambda x. \neg$  P x)

```

```

definition fconj :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool where
  fconj P Q  $\longleftrightarrow$  P  $\wedge$  Q

```

```

definition fdisj :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool where
  fdisj P Q  $\longleftrightarrow$  P  $\vee$  Q

```

```

definition fimplies :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool where
  fimplies P Q  $\longleftrightarrow$  (P  $\longrightarrow$  Q)

```

```

definition fAll :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  bool where
  fAll P  $\longleftrightarrow$  All P

```


definition $fEx :: ('a \Rightarrow bool) \Rightarrow bool$ **where**
 $fEx P \longleftrightarrow Ex P$

definition $fequal :: 'a \Rightarrow 'a \Rightarrow bool$ **where**
 $fequal x y \longleftrightarrow (x = y)$

lemma $fTrue-ne-fFalse$: $fFalse \neq fTrue$
 $\langle proof \rangle$

lemma $fNot-table$:
 $fNot fFalse = fTrue$
 $fNot fTrue = fFalse$
 $\langle proof \rangle$

lemma $fconj-table$:
 $fconj fFalse P = fFalse$
 $fconj P fFalse = fFalse$
 $fconj fTrue fTrue = fTrue$
 $\langle proof \rangle$

lemma $fdisj-table$:
 $fdisj fTrue P = fTrue$
 $fdisj P fTrue = fTrue$
 $fdisj fFalse fFalse = fFalse$
 $\langle proof \rangle$

lemma $fimplies-table$:
 $fimplies P fTrue = fTrue$
 $fimplies fFalse P = fTrue$
 $fimplies fTrue fFalse = fFalse$
 $\langle proof \rangle$

lemma $fAll-table$:
 $Ex (fComp P) \vee fAll P = fTrue$
 $All P \vee fAll P = fFalse$
 $\langle proof \rangle$

lemma $fEx-table$:
 $All (fComp P) \vee fEx P = fTrue$
 $Ex P \vee fEx P = fFalse$
 $\langle proof \rangle$

lemma $fequal-table$:
 $fequal x x = fTrue$
 $x = y \vee fequal x y = fFalse$
 $\langle proof \rangle$

lemma $fNot-law$:
 $fNot P \neq P$

$\langle \text{proof} \rangle$

lemma *fComp-law*:

$fComp\ P\ x \longleftrightarrow \neg\ P\ x$

$\langle \text{proof} \rangle$

lemma *fconj-laws*:

$fconj\ P\ P \longleftrightarrow P$

$fconj\ P\ Q \longleftrightarrow fconj\ Q\ P$

$fNot\ (fconj\ P\ Q) \longleftrightarrow fdisj\ (fNot\ P)\ (fNot\ Q)$

$\langle \text{proof} \rangle$

lemma *fdisj-laws*:

$fdisj\ P\ P \longleftrightarrow P$

$fdisj\ P\ Q \longleftrightarrow fdisj\ Q\ P$

$fNot\ (fdisj\ P\ Q) \longleftrightarrow fconj\ (fNot\ P)\ (fNot\ Q)$

$\langle \text{proof} \rangle$

lemma *fimplies-laws*:

$fimplies\ P\ Q \longleftrightarrow fdisj\ (\neg\ P)\ Q$

$fNot\ (fimplies\ P\ Q) \longleftrightarrow fconj\ P\ (fNot\ Q)$

$\langle \text{proof} \rangle$

lemma *fAll-law*:

$fNot\ (fAll\ R) \longleftrightarrow fEx\ (fComp\ R)$

$\langle \text{proof} \rangle$

lemma *fEx-law*:

$fNot\ (fEx\ R) \longleftrightarrow fAll\ (fComp\ R)$

$\langle \text{proof} \rangle$

lemma *fequal-laws*:

$fequal\ x\ y = fequal\ y\ x$

$fequal\ x\ y = fFalse \vee fequal\ y\ z = fFalse \vee fequal\ x\ z = fTrue$

$fequal\ x\ y = fFalse \vee fequal\ (f\ x)\ (f\ y) = fTrue$

$\langle \text{proof} \rangle$

38.3 Waldmeister helpers

lemma *boolean-equality*: $(P \longleftrightarrow P) = True$

$\langle \text{proof} \rangle$

lemma *boolean-comm*: $(P \longleftrightarrow Q) = (Q \longleftrightarrow P)$

$\langle \text{proof} \rangle$

lemmas *waldmeister-fol* = *boolean-equality boolean-comm*

simp-thms(1–5, 7–8, 11–25, 27–33) *disj-comms disj-assoc conj-comms conj-assoc*

38.4 Basic connection between ATPs and HOL

$\langle ML \rangle$

```
hide-fact (open) waldmeister-fol boolean-equality boolean-comm
end
```

39 Metis Proof Method

```
theory Metis
imports ATP
begin
```

$\langle ML \rangle$

39.1 Literal selection and lambda-lifting helpers

```
definition select :: 'a  $\Rightarrow$  'a where
select = ( $\lambda x. x$ )
```

```
lemma not-atomize: ( $\neg A \Longrightarrow False$ )  $\equiv$  Trueprop A
 $\langle proof \rangle$ 
```

```
lemma atomize-not-select: ( $A \Longrightarrow select False$ )  $\equiv$  Trueprop ( $\neg A$ )
 $\langle proof \rangle$ 
```

```
lemma not-atomize-select: ( $\neg A \Longrightarrow select False$ )  $\equiv$  Trueprop A
 $\langle proof \rangle$ 
```

```
lemma select-FalseI: False  $\Longrightarrow$  select False  $\langle proof \rangle$ 
```

```
definition lambda :: 'a  $\Rightarrow$  'a where
lambda = ( $\lambda x. x$ )
```

```
lemma eq-lambdaI:  $x \equiv y \Longrightarrow x \equiv lambda y$ 
 $\langle proof \rangle$ 
```

39.2 Metis package

$\langle ML \rangle$

```
hide-const (open) select fFalse fTrue fNot fComp fconj fdisj fimplies fAll fEx
fequal lambda
```

```
hide-fact (open) select-def not-atomize atomize-not-select not-atomize-select select-FalseI
fFalse-def fTrue-def fNot-def fconj-def fdisj-def fimplies-def fAll-def fEx-def fequal-def
fTrue-ne-fFalse fNot-table fconj-table fdisj-table fimplies-table fAll-table fEx-table
fequal-table fAll-table fEx-table fNot-law fComp-law fconj-laws fdisj-laws fimplies-laws
fequal-laws fAll-law fEx-law lambda-def eq-lambdaI
```

end

40 Generic theorem transfer using relations

```
theory Transfer
imports Basic-BNF-LFPs Hilbert-Choice Metis
begin
```

40.1 Relator for function space

```
bundle lifting-syntax
begin
  notation rel-fun (infixr ===> 55)
  notation map-fun (infixr ---> 55)
end
```

```
context includes lifting-syntax
begin
```

```
lemma rel-funD2:
  assumes rel-fun A B f g and A x x
  shows B (f x) (g x)
  <proof>
```

```
lemma rel-funE:
  assumes rel-fun A B f g and A x y
  obtains B (f x) (g y)
  <proof>
```

```
lemmas rel-fun-eq = fun.rel-eq
```

```
lemma rel-fun-eq-rel:
shows rel-fun (op =) R = ( $\lambda f g. \forall x. R (f x) (g x)$ )
  <proof>
```

40.2 Transfer method

Explicit tag for relation membership allows for backward proof methods.

```
definition Rel :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  bool
  where Rel r  $\equiv$  r
```

Handling of equality relations

```
definition is-equality :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where is-equality R  $\longleftrightarrow$  R = (op =)
```

```
lemma is-equality-eq: is-equality (op =)
  <proof>
```

Reverse implication for monotonicity rules

definition *rev-implies* **where**

$$\text{rev-implies } x \ y \iff (y \longrightarrow x)$$

Handling of meta-logic connectives

definition *transfer-forall* **where**

$$\text{transfer-forall} \equiv \text{All}$$

definition *transfer-implies* **where**

$$\text{transfer-implies} \equiv \text{op} \longrightarrow$$

definition *transfer-bforall* :: $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$

$$\text{where } \text{transfer-bforall} \equiv (\lambda P \ Q. \forall x. P \ x \longrightarrow Q \ x)$$

lemma *transfer-forall-eq*: $(\bigwedge x. P \ x) \equiv \text{Trueprop} (\text{transfer-forall} (\lambda x. P \ x))$
 $\langle \text{proof} \rangle$

lemma *transfer-implies-eq*: $(A \Longrightarrow B) \equiv \text{Trueprop} (\text{transfer-implies } A \ B)$
 $\langle \text{proof} \rangle$

lemma *transfer-bforall-unfold*:

$$\text{Trueprop} (\text{transfer-bforall } P (\lambda x. Q \ x)) \equiv (\bigwedge x. P \ x \Longrightarrow Q \ x)$$

$\langle \text{proof} \rangle$

lemma *transfer-start*: $\llbracket P; \text{Rel } (\text{op} =) P \ Q \rrbracket \Longrightarrow Q$
 $\langle \text{proof} \rangle$

lemma *transfer-start'*: $\llbracket P; \text{Rel } (\text{op} \longrightarrow) P \ Q \rrbracket \Longrightarrow Q$
 $\langle \text{proof} \rangle$

lemma *transfer-prover-start*: $\llbracket x = x'; \text{Rel } R \ x' \ y \rrbracket \Longrightarrow \text{Rel } R \ x \ y$
 $\langle \text{proof} \rangle$

lemma *untransfer-start*: $\llbracket Q; \text{Rel } (\text{op} =) P \ Q \rrbracket \Longrightarrow P$
 $\langle \text{proof} \rangle$

lemma *Rel-eq-refl*: $\text{Rel } (\text{op} =) \ x \ x$
 $\langle \text{proof} \rangle$

lemma *Rel-app*:

assumes $\text{Rel } (A \Longrightarrow B) \ f \ g$ **and** $\text{Rel } A \ x \ y$

shows $\text{Rel } B \ (f \ x) \ (g \ y)$

$\langle \text{proof} \rangle$

lemma *Rel-abs*:

assumes $\bigwedge x \ y. \text{Rel } A \ x \ y \Longrightarrow \text{Rel } B \ (f \ x) \ (g \ y)$

shows $\text{Rel } (A \Longrightarrow B) \ (\lambda x. f \ x) \ (\lambda y. g \ y)$

$\langle \text{proof} \rangle$

40.3 Predicates on relations, i.e. “class constraints”

definition *left-total* :: ($'a \Rightarrow 'b \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where *left-total* $R \longleftrightarrow (\forall x. \exists y. R\ x\ y)$

definition *left-unique* :: ($'a \Rightarrow 'b \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where *left-unique* $R \longleftrightarrow (\forall x\ y\ z. R\ x\ z \longrightarrow R\ y\ z \longrightarrow x = y)$

definition *right-total* :: ($'a \Rightarrow 'b \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where *right-total* $R \longleftrightarrow (\forall y. \exists x. R\ x\ y)$

definition *right-unique* :: ($'a \Rightarrow 'b \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where *right-unique* $R \longleftrightarrow (\forall x\ y\ z. R\ x\ y \longrightarrow R\ x\ z \longrightarrow y = z)$

definition *bi-total* :: ($'a \Rightarrow 'b \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where *bi-total* $R \longleftrightarrow (\forall x. \exists y. R\ x\ y) \wedge (\forall y. \exists x. R\ x\ y)$

definition *bi-unique* :: ($'a \Rightarrow 'b \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$

where *bi-unique* $R \longleftrightarrow$
 $(\forall x\ y\ z. R\ x\ y \longrightarrow R\ x\ z \longrightarrow y = z) \wedge$
 $(\forall x\ y\ z. R\ x\ z \longrightarrow R\ y\ z \longrightarrow x = y)$

lemma *left-uniqueI*: $(\bigwedge x\ y\ z. \llbracket A\ x\ z; A\ y\ z \rrbracket \Longrightarrow x = y) \Longrightarrow \text{left-unique } A$
 $\langle \text{proof} \rangle$

lemma *left-uniqueD*: $\llbracket \text{left-unique } A; A\ x\ z; A\ y\ z \rrbracket \Longrightarrow x = y$
 $\langle \text{proof} \rangle$

lemma *left-totalI*:

$(\bigwedge x. \exists y. R\ x\ y) \Longrightarrow \text{left-total } R$

$\langle \text{proof} \rangle$

lemma *left-totalE*:

assumes *left-total* R

obtains $(\bigwedge x. \exists y. R\ x\ y)$

$\langle \text{proof} \rangle$

lemma *bi-uniqueDr*: $\llbracket \text{bi-unique } A; A\ x\ y; A\ x\ z \rrbracket \Longrightarrow y = z$

$\langle \text{proof} \rangle$

lemma *bi-uniqueDl*: $\llbracket \text{bi-unique } A; A\ x\ y; A\ z\ y \rrbracket \Longrightarrow x = z$

$\langle \text{proof} \rangle$

lemma *right-uniqueI*: $(\bigwedge x\ y\ z. \llbracket A\ x\ y; A\ x\ z \rrbracket \Longrightarrow y = z) \Longrightarrow \text{right-unique } A$

$\langle \text{proof} \rangle$

lemma *right-uniqueD*: $\llbracket \text{right-unique } A; A\ x\ y; A\ x\ z \rrbracket \Longrightarrow y = z$

$\langle \text{proof} \rangle$

lemma *right-totalI*: $(\bigwedge y. \exists x. A\ x\ y) \Longrightarrow \text{right-total } A$

$\langle \text{proof} \rangle$

lemma *right-totalE*:

assumes *right-total A*

obtains *x* **where** *A x y*

$\langle \text{proof} \rangle$

lemma *right-total-alt-def2*:

right-total R $\longleftrightarrow ((R \implies op \longrightarrow) \implies op \longrightarrow)$ *All All*

$\langle \text{proof} \rangle$

lemma *right-unique-alt-def2*:

right-unique R $\longleftrightarrow (R \implies R \implies op \longrightarrow) (op =) (op =)$

$\langle \text{proof} \rangle$

lemma *bi-total-alt-def2*:

bi-total R $\longleftrightarrow ((R \implies op =) \implies op =)$ *All All*

$\langle \text{proof} \rangle$

lemma *bi-unique-alt-def2*:

bi-unique R $\longleftrightarrow (R \implies R \implies op =) (op =) (op =)$

$\langle \text{proof} \rangle$

lemma [*simp*]:

shows *left-unique-conversep*: *left-unique A⁻¹⁻¹* \longleftrightarrow *right-unique A*

and *right-unique-conversep*: *right-unique A⁻¹⁻¹* \longleftrightarrow *left-unique A*

$\langle \text{proof} \rangle$

lemma [*simp*]:

shows *left-total-conversep*: *left-total A⁻¹⁻¹* \longleftrightarrow *right-total A*

and *right-total-conversep*: *right-total A⁻¹⁻¹* \longleftrightarrow *left-total A*

$\langle \text{proof} \rangle$

lemma *bi-unique-conversep* [*simp*]: *bi-unique R⁻¹⁻¹* = *bi-unique R*

$\langle \text{proof} \rangle$

lemma *bi-total-conversep* [*simp*]: *bi-total R⁻¹⁻¹* = *bi-total R*

$\langle \text{proof} \rangle$

lemma *right-unique-alt-def*: *right-unique R* = (*conversep R OO R* $\leq op=$) $\langle \text{proof} \rangle$

lemma *left-unique-alt-def*: *left-unique R* = (*R OO (conversep R)* $\leq op=$) $\langle \text{proof} \rangle$

lemma *right-total-alt-def*: *right-total R* = (*conversep R OO R* $\geq op=$) $\langle \text{proof} \rangle$

lemma *left-total-alt-def*: *left-total R* = (*R OO conversep R* $\geq op=$) $\langle \text{proof} \rangle$

lemma *bi-total-alt-def*: *bi-total A* = (*left-total A* \wedge *right-total A*)

$\langle \text{proof} \rangle$

lemma *bi-unique-alt-def*: *bi-unique A* = (*left-unique A* \wedge *right-unique A*)

$\langle proof \rangle$

lemma *bi-totalI*: $left-total\ R \implies right-total\ R \implies bi-total\ R$

$\langle proof \rangle$

lemma *bi-uniqueI*: $left-unique\ R \implies right-unique\ R \implies bi-unique\ R$

$\langle proof \rangle$

end

$\langle ML \rangle$

declare *refl* [*transfer-rule*]

hide-const (**open**) *Rel*

context includes *lifting-syntax*

begin

Handling of domains

lemma *Domainp-iff*: $Domainp\ T\ x \longleftrightarrow (\exists y. T\ x\ y)$

$\langle proof \rangle$

lemma *Domainp-refl*[*transfer-domain-rule*]:

$Domainp\ T = Domainp\ T\ \langle proof \rangle$

lemma *Domain-eq-top*[*transfer-domain-rule*]: $Domainp\ op = top\ \langle proof \rangle$

lemma *Domainp-pred-fun-eq*[*relator-domain*]:

assumes *left-unique* *T*

shows $Domainp\ (T\ ==>\ S) = pred-fun\ (Domainp\ T)\ (Domainp\ S)$

$\langle proof \rangle$

Properties are preserved by relation composition.

lemma *OO-def*: $R\ OO\ S = (\lambda x\ z. \exists y. R\ x\ y \wedge S\ y\ z)$

$\langle proof \rangle$

lemma *bi-total-OO*: $\llbracket bi-total\ A; bi-total\ B \rrbracket \implies bi-total\ (A\ OO\ B)$

$\langle proof \rangle$

lemma *bi-unique-OO*: $\llbracket bi-unique\ A; bi-unique\ B \rrbracket \implies bi-unique\ (A\ OO\ B)$

$\langle proof \rangle$

lemma *right-total-OO*:

$\llbracket right-total\ A; right-total\ B \rrbracket \implies right-total\ (A\ OO\ B)$

$\langle proof \rangle$

lemma *right-unique-OO*:

$\llbracket \text{right-unique } A; \text{right-unique } B \rrbracket \implies \text{right-unique } (A \text{ OO } B)$
 $\langle \text{proof} \rangle$

lemma *left-total-OO*: $\text{left-total } R \implies \text{left-total } S \implies \text{left-total } (R \text{ OO } S)$
 $\langle \text{proof} \rangle$

lemma *left-unique-OO*: $\text{left-unique } R \implies \text{left-unique } S \implies \text{left-unique } (R \text{ OO } S)$
 $\langle \text{proof} \rangle$

40.4 Properties of relators

lemma *left-total-eq[transfer-rule]*: $\text{left-total } op =$
 $\langle \text{proof} \rangle$

lemma *left-unique-eq[transfer-rule]*: $\text{left-unique } op =$
 $\langle \text{proof} \rangle$

lemma *right-total-eq [transfer-rule]*: $\text{right-total } op =$
 $\langle \text{proof} \rangle$

lemma *right-unique-eq [transfer-rule]*: $\text{right-unique } op =$
 $\langle \text{proof} \rangle$

lemma *bi-total-eq[transfer-rule]*: $\text{bi-total } (op =)$
 $\langle \text{proof} \rangle$

lemma *bi-unique-eq[transfer-rule]*: $\text{bi-unique } (op =)$
 $\langle \text{proof} \rangle$

lemma *left-total-fun[transfer-rule]*:
 $\llbracket \text{left-total } A; \text{left-total } B \rrbracket \implies \text{left-total } (A ==> B)$
 $\langle \text{proof} \rangle$

lemma *left-unique-fun[transfer-rule]*:
 $\llbracket \text{left-unique } A; \text{left-unique } B \rrbracket \implies \text{left-unique } (A ==> B)$
 $\langle \text{proof} \rangle$

lemma *right-total-fun [transfer-rule]*:
 $\llbracket \text{right-unique } A; \text{right-total } B \rrbracket \implies \text{right-total } (A ==> B)$
 $\langle \text{proof} \rangle$

lemma *right-unique-fun [transfer-rule]*:
 $\llbracket \text{right-total } A; \text{right-unique } B \rrbracket \implies \text{right-unique } (A ==> B)$
 $\langle \text{proof} \rangle$

lemma *bi-total-fun[transfer-rule]*:
 $\llbracket \text{bi-unique } A; \text{bi-total } B \rrbracket \implies \text{bi-total } (A ==> B)$
 $\langle \text{proof} \rangle$

lemma *bi-unique-fun*[*transfer-rule*]:
 $\llbracket \text{bi-total } A; \text{bi-unique } B \rrbracket \implies \text{bi-unique } (A \implies B)$
 ⟨*proof*⟩

end

lemma *if-conn*:
 $(\text{if } P \wedge Q \text{ then } t \text{ else } e) = (\text{if } P \text{ then if } Q \text{ then } t \text{ else } e \text{ else } e)$
 $(\text{if } P \vee Q \text{ then } t \text{ else } e) = (\text{if } P \text{ then } t \text{ else if } Q \text{ then } t \text{ else } e)$
 $(\text{if } P \longrightarrow Q \text{ then } t \text{ else } e) = (\text{if } P \text{ then if } Q \text{ then } t \text{ else } e \text{ else } t)$
 $(\text{if } \neg P \text{ then } t \text{ else } e) = (\text{if } P \text{ then } e \text{ else } t)$
 ⟨*proof*⟩

⟨*ML*⟩

declare *pred-fun-def* [*simp*]
declare *rel-fun-eq* [*relator-eq*]

declare *fun.Domainp-rel*[*relator-domain del*]

40.5 Transfer rules

context *includes lifting-syntax*
begin

lemma *Domainp-forall-transfer* [*transfer-rule*]:
assumes *right-total A*
shows $((A \implies op =) \implies op =)$
 $(\text{transfer-bforall } (Domainp A)) \text{ transfer-forall}$
 ⟨*proof*⟩

Transfer rules using implication instead of equality on booleans.

lemma *transfer-forall-transfer* [*transfer-rule*]:
 $\text{bi-total } A \implies ((A \implies op =) \implies op =) \text{ transfer-forall transfer-forall}$
 $\text{right-total } A \implies ((A \implies op =) \implies \text{implies}) \text{ transfer-forall transfer-forall}$
 $\text{right-total } A \implies ((A \implies \text{implies}) \implies \text{implies}) \text{ transfer-forall transfer-forall}$
 $\text{bi-total } A \implies ((A \implies op =) \implies \text{rev-implies}) \text{ transfer-forall transfer-forall}$
 $\text{bi-total } A \implies ((A \implies \text{rev-implies}) \implies \text{rev-implies}) \text{ transfer-forall transfer-forall}$
 ⟨*proof*⟩

lemma *transfer-implies-transfer* [*transfer-rule*]:
 $(op = \implies op = \implies op =) \text{ transfer-implies transfer-implies}$
 $(\text{rev-implies} \implies \text{implies} \implies \text{implies}) \text{ transfer-implies transfer-implies}$
 $(\text{rev-implies} \implies op = \implies \text{implies}) \text{ transfer-implies transfer-implies}$
 $(op = \implies \text{implies} \implies \text{implies}) \text{ transfer-implies transfer-implies}$
 $(op = \implies op = \implies \text{implies}) \text{ transfer-implies transfer-implies}$
 $(\text{implies} \implies \text{rev-implies} \implies \text{rev-implies}) \text{ transfer-implies transfer-implies}$
 $(\text{implies} \implies op = \implies \text{rev-implies}) \text{ transfer-implies transfer-implies}$

$(op = \implies rev\text{-}implies \implies rev\text{-}implies) \text{ transfer-implies transfer-implies}$
 $(op = \implies op = \implies rev\text{-}implies) \text{ transfer-implies transfer-implies}$
 $\langle proof \rangle$

lemma *eq-imp-transfer* [transfer-rule]:
 $right\text{-}unique\ A \implies (A \implies A \implies op \longrightarrow) (op =) (op =)$
 $\langle proof \rangle$

Transfer rules using equality.

lemma *left-unique-transfer* [transfer-rule]:
assumes *right-total* A
assumes *right-total* B
assumes *bi-unique* A
shows $((A \implies B \implies op =) \implies implies) \text{ left-unique left-unique}$
 $\langle proof \rangle$

lemma *eq-transfer* [transfer-rule]:
assumes *bi-unique* A
shows $(A \implies A \implies op =) (op =) (op =)$
 $\langle proof \rangle$

lemma *right-total-Ex-transfer* [transfer-rule]:
assumes *right-total* A
shows $((A \implies op =) \implies op =) (Bex\ (Collect\ (Domainp\ A)))\ Ex$
 $\langle proof \rangle$

lemma *right-total-All-transfer* [transfer-rule]:
assumes *right-total* A
shows $((A \implies op =) \implies op =) (Ball\ (Collect\ (Domainp\ A)))\ All$
 $\langle proof \rangle$

lemma *All-transfer* [transfer-rule]:
assumes *bi-total* A
shows $((A \implies op =) \implies op =) All\ All$
 $\langle proof \rangle$

lemma *Ex-transfer* [transfer-rule]:
assumes *bi-total* A
shows $((A \implies op =) \implies op =) Ex\ Ex$
 $\langle proof \rangle$

lemma *Ex1-parametric* [transfer-rule]:
assumes [transfer-rule]: *bi-unique* A *bi-total* A
shows $((A \implies op =) \implies op =) Ex1\ Ex1$
 $\langle proof \rangle$

declare *If-transfer* [transfer-rule]

lemma *Let-transfer* [transfer-rule]: $(A \implies (A \implies B) \implies B) \text{ Let Let}$

$\langle \text{proof} \rangle$

declare *id-transfer* [*transfer-rule*]

declare *comp-transfer* [*transfer-rule*]

lemma *curry-transfer* [*transfer-rule*]:

$((\text{rel-prod } A \ B \ ==\!> \ C) \ ==\!> \ A \ ==\!> \ B \ ==\!> \ C) \ \text{curry} \ \text{curry}$
 $\langle \text{proof} \rangle$

lemma *fun-upd-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique* *A*
shows $((A \ ==\!> \ B) \ ==\!> \ A \ ==\!> \ B \ ==\!> \ A \ ==\!> \ B) \ \text{fun-upd} \ \text{fun-upd}$
 $\langle \text{proof} \rangle$

lemma *case-nat-transfer* [*transfer-rule*]:

$(A \ ==\!> \ (op \ = \ ==\!> \ A) \ ==\!> \ op \ = \ ==\!> \ A) \ \text{case-nat} \ \text{case-nat}$
 $\langle \text{proof} \rangle$

lemma *rec-nat-transfer* [*transfer-rule*]:

$(A \ ==\!> \ (op \ = \ ==\!> \ A \ ==\!> \ A) \ ==\!> \ op \ = \ ==\!> \ A) \ \text{rec-nat} \ \text{rec-nat}$
 $\langle \text{proof} \rangle$

lemma *funpow-transfer* [*transfer-rule*]:

$(op \ = \ ==\!> \ (A \ ==\!> \ A) \ ==\!> \ (A \ ==\!> \ A)) \ \text{compow} \ \text{compow}$
 $\langle \text{proof} \rangle$

lemma *mono-transfer*[*transfer-rule*]:

assumes [*transfer-rule*]: *bi-total* *A*
assumes [*transfer-rule*]: $(A \ ==\!> \ A \ ==\!> \ op=) \ op \leq \ op \leq$
assumes [*transfer-rule*]: $(B \ ==\!> \ B \ ==\!> \ op=) \ op \leq \ op \leq$
shows $((A \ ==\!> \ B) \ ==\!> \ op=) \ \text{mono} \ \text{mono}$
 $\langle \text{proof} \rangle$

lemma *right-total-relcompp-transfer*[*transfer-rule*]:

assumes [*transfer-rule*]: *right-total* *B*
shows $((A \ ==\!> \ B \ ==\!> \ op=) \ ==\!> \ (B \ ==\!> \ C \ ==\!> \ op=) \ ==\!> \ A \ ==\!> \ C \ ==\!> \ op=)$
 $(\lambda R \ S \ x \ z. \ \exists y \in \text{Collect} \ (\text{Domainp} \ B). \ R \ x \ y \wedge S \ y \ z) \ op \ OO$
 $\langle \text{proof} \rangle$

lemma *relcompp-transfer*[*transfer-rule*]:

assumes [*transfer-rule*]: *bi-total* *B*
shows $((A \ ==\!> \ B \ ==\!> \ op=) \ ==\!> \ (B \ ==\!> \ C \ ==\!> \ op=) \ ==\!> \ A \ ==\!> \ C \ ==\!> \ op=) \ op \ OO \ op \ OO$
 $\langle \text{proof} \rangle$

lemma *right-total-Domainp-transfer*[*transfer-rule*]:

assumes [*transfer-rule*]: *right-total* *B*

shows $((A \implies B \implies op) \implies A \implies op) (\lambda T x. \exists y \in \text{Collect}(\text{Domainp } B). T x y) \text{ Domainp}$
 $\langle \text{proof} \rangle$

lemma *Domainp-transfer* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-total B*
shows $((A \implies B \implies op) \implies A \implies op) \text{ Domainp Domainp}$
 $\langle \text{proof} \rangle$

lemma *reflp-transfer* [*transfer-rule*]:
bi-total A $\implies ((A \implies A \implies op) \implies op) \text{ reflp reflp}$
right-total A $\implies ((A \implies A \implies \text{implies}) \implies \text{implies}) \text{ reflp reflp}$
right-total A $\implies ((A \implies A \implies op) \implies \text{implies}) \text{ reflp reflp}$
bi-total A $\implies ((A \implies A \implies \text{rev-implies}) \implies \text{rev-implies}) \text{ reflp reflp}$
bi-total A $\implies ((A \implies A \implies op) \implies \text{rev-implies}) \text{ reflp reflp}$
 $\langle \text{proof} \rangle$

lemma *right-unique-transfer* [*transfer-rule*]:
 $\llbracket \text{right-total } A; \text{right-total } B; \text{bi-unique } B \rrbracket$
 $\implies ((A \implies B \implies op) \implies \text{implies}) \text{ right-unique right-unique}$
 $\langle \text{proof} \rangle$

lemma *left-total-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-total A bi-total B*
shows $((A \implies B \implies op) \implies op) \text{ left-total left-total}$
 $\langle \text{proof} \rangle$

lemma *right-total-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-total A bi-total B*
shows $((A \implies B \implies op) \implies op) \text{ right-total right-total}$
 $\langle \text{proof} \rangle$

lemma *left-unique-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-unique A bi-total A bi-total B*
shows $((A \implies B \implies op) \implies op) \text{ left-unique left-unique}$
 $\langle \text{proof} \rangle$

lemma *prod-pred-parametric* [*transfer-rule*]:
 $((A \implies op) \implies (B \implies op) \implies \text{rel-prod } A B \implies op)$
pred-prod pred-prod
 $\langle \text{proof} \rangle$

lemma *apfst-parametric* [*transfer-rule*]:
 $((A \implies B) \implies \text{rel-prod } A C \implies \text{rel-prod } B C) \text{ apfst apfst}$
 $\langle \text{proof} \rangle$

lemma *rel-fun-eq-eq-onp*: $(op \implies \text{eq-onp } P) = \text{eq-onp } (\lambda f. \forall x. P(f x))$
 $\langle \text{proof} \rangle$

lemma *rel-fun-eq-onp-rel*:

shows $((eq_onp\ R) ==> S) = (\lambda f\ g.\ \forall x.\ R\ x \longrightarrow S\ (f\ x)\ (g\ x))$
 $\langle proof \rangle$

lemma *eq-onp-transfer* [transfer-rule]:

assumes [transfer-rule]: *bi-unique A*
shows $((A ==> op) ==> A ==> A ==> op) eq_onp\ eq_onp$
 $\langle proof \rangle$

lemma *rtrancpl-parametric* [transfer-rule]:

assumes *bi-unique A bi-total A*
shows $((A ==> A ==> op) ==> A ==> A ==> op) rtrancpl$
 $\langle proof \rangle$

lemma *right-unique-parametric* [transfer-rule]:

assumes [transfer-rule]: *bi-total A bi-unique B bi-total B*
shows $((A ==> B ==> op) ==> op) right_unique\ right_unique$
 $\langle proof \rangle$

lemma *map-fun-parametric* [transfer-rule]:

$((A ==> B) ==> (C ==> D) ==> (B ==> C) ==> A ==> D)$ *map-fun map-fun*
 $\langle proof \rangle$

end

40.6 of-nat

lemma *transfer-rule-of-nat*:

fixes $R :: 'a::semiring-1 \Rightarrow 'b::semiring-1 \Rightarrow bool$
assumes [transfer-rule]: $R\ 0\ 0\ R\ 1\ 1$
 $rel_fun\ R\ (rel_fun\ R\ R)\ plus\ plus$
shows $rel_fun\ HOL.eq\ R\ of_nat\ of_nat$
 $\langle proof \rangle$

end

41 Binary Numerals

theory *Num*

imports *BNF-Least-Fixpoint Transfer*
begin

41.1 The *num* type

datatype *num* = *One* | *Bit0 num* | *Bit1 num*

Increment function for type *num*

```

primrec inc :: num  $\Rightarrow$  num
  where
    inc One = Bit0 One
  | inc (Bit0 x) = Bit1 x
  | inc (Bit1 x) = Bit0 (inc x)

```

Converting between type *num* and type *nat*

```

primrec nat-of-num :: num  $\Rightarrow$  nat
  where
    nat-of-num One = Suc 0
  | nat-of-num (Bit0 x) = nat-of-num x + nat-of-num x
  | nat-of-num (Bit1 x) = Suc (nat-of-num x + nat-of-num x)

```

```

primrec num-of-nat :: nat  $\Rightarrow$  num
  where
    num-of-nat 0 = One
  | num-of-nat (Suc n) = (if  $0 < n$  then inc (num-of-nat n) else One)

```

lemma *nat-of-num-pos*: $0 < \text{nat-of-num } x$
 <proof>

lemma *nat-of-num-neq-0*: $\text{nat-of-num } x \neq 0$
 <proof>

lemma *nat-of-num-inc*: $\text{nat-of-num } (\text{inc } x) = \text{Suc } (\text{nat-of-num } x)$
 <proof>

lemma *num-of-nat-double*: $0 < n \implies \text{num-of-nat } (n + n) = \text{Bit0 } (\text{num-of-nat } n)$
 <proof>

Type *num* is isomorphic to the strictly positive natural numbers.

lemma *nat-of-num-inverse*: $\text{num-of-nat } (\text{nat-of-num } x) = x$
 <proof>

lemma *num-of-nat-inverse*: $0 < n \implies \text{nat-of-num } (\text{num-of-nat } n) = n$
 <proof>

lemma *num-eq-iff*: $x = y \longleftrightarrow \text{nat-of-num } x = \text{nat-of-num } y$
 <proof>

```

lemma num-induct [case-names One inc]:
  fixes P :: num  $\Rightarrow$  bool
  assumes One: P One
  and inc:  $\bigwedge x. P\ x \implies P\ (\text{inc } x)$ 
  shows P x
  <proof>

```

From now on, there are two possible models for *num*: as positive naturals

(rule *num-induct*) and as digit representation (rules *num.induct*, *num.cases*).

41.2 Numeral operations

instantiation *num* :: {*plus*,*times*,*linorder*}
begin

definition [*code del*]: $m + n = \text{num-of-nat } (\text{nat-of-num } m + \text{nat-of-num } n)$

definition [*code del*]: $m * n = \text{num-of-nat } (\text{nat-of-num } m * \text{nat-of-num } n)$

definition [*code del*]: $m \leq n \longleftrightarrow \text{nat-of-num } m \leq \text{nat-of-num } n$

definition [*code del*]: $m < n \longleftrightarrow \text{nat-of-num } m < \text{nat-of-num } n$

instance
 ⟨*proof*⟩

end

lemma *nat-of-num-add*: $\text{nat-of-num } (x + y) = \text{nat-of-num } x + \text{nat-of-num } y$
 ⟨*proof*⟩

lemma *nat-of-num-mult*: $\text{nat-of-num } (x * y) = \text{nat-of-num } x * \text{nat-of-num } y$
 ⟨*proof*⟩

lemma *add-num-simps* [*simp*, *code*]:
 $\text{One} + \text{One} = \text{Bit0 One}$
 $\text{One} + \text{Bit0 } n = \text{Bit1 } n$
 $\text{One} + \text{Bit1 } n = \text{Bit0 } (n + \text{One})$
 $\text{Bit0 } m + \text{One} = \text{Bit1 } m$
 $\text{Bit0 } m + \text{Bit0 } n = \text{Bit0 } (m + n)$
 $\text{Bit0 } m + \text{Bit1 } n = \text{Bit1 } (m + n)$
 $\text{Bit1 } m + \text{One} = \text{Bit0 } (m + \text{One})$
 $\text{Bit1 } m + \text{Bit0 } n = \text{Bit1 } (m + n)$
 $\text{Bit1 } m + \text{Bit1 } n = \text{Bit0 } (m + n + \text{One})$
 ⟨*proof*⟩

lemma *mult-num-simps* [*simp*, *code*]:
 $m * \text{One} = m$
 $\text{One} * n = n$
 $\text{Bit0 } m * \text{Bit0 } n = \text{Bit0 } (m * n)$
 $\text{Bit0 } m * \text{Bit1 } n = \text{Bit0 } (m * \text{Bit1 } n)$
 $\text{Bit1 } m * \text{Bit0 } n = \text{Bit0 } (\text{Bit1 } m * n)$
 $\text{Bit1 } m * \text{Bit1 } n = \text{Bit1 } (m + n + \text{Bit0 } (m * n))$
 ⟨*proof*⟩

lemma *eq-num-simps*:
 $\text{One} = \text{One} \longleftrightarrow \text{True}$

$One = Bit0\ n \longleftrightarrow False$
 $One = Bit1\ n \longleftrightarrow False$
 $Bit0\ m = One \longleftrightarrow False$
 $Bit1\ m = One \longleftrightarrow False$
 $Bit0\ m = Bit0\ n \longleftrightarrow m = n$
 $Bit0\ m = Bit1\ n \longleftrightarrow False$
 $Bit1\ m = Bit0\ n \longleftrightarrow False$
 $Bit1\ m = Bit1\ n \longleftrightarrow m = n$
 $\langle proof \rangle$

lemma *le-num-simps* [*simp*, *code*]:

$One \leq n \longleftrightarrow True$
 $Bit0\ m \leq One \longleftrightarrow False$
 $Bit1\ m \leq One \longleftrightarrow False$
 $Bit0\ m \leq Bit0\ n \longleftrightarrow m \leq n$
 $Bit0\ m \leq Bit1\ n \longleftrightarrow m \leq n$
 $Bit1\ m \leq Bit1\ n \longleftrightarrow m \leq n$
 $Bit1\ m \leq Bit0\ n \longleftrightarrow m < n$
 $\langle proof \rangle$

lemma *less-num-simps* [*simp*, *code*]:

$m < One \longleftrightarrow False$
 $One < Bit0\ n \longleftrightarrow True$
 $One < Bit1\ n \longleftrightarrow True$
 $Bit0\ m < Bit0\ n \longleftrightarrow m < n$
 $Bit0\ m < Bit1\ n \longleftrightarrow m \leq n$
 $Bit1\ m < Bit1\ n \longleftrightarrow m < n$
 $Bit1\ m < Bit0\ n \longleftrightarrow m < n$
 $\langle proof \rangle$

lemma *le-num-One-iff*: $x \leq num.One \longleftrightarrow x = num.One$

$\langle proof \rangle$

Rules using *One* and *inc* as constructors.

lemma *add-One*: $x + One = inc\ x$

$\langle proof \rangle$

lemma *add-One-commute*: $One + n = n + One$

$\langle proof \rangle$

lemma *add-inc*: $x + inc\ y = inc\ (x + y)$

$\langle proof \rangle$

lemma *mult-inc*: $x * inc\ y = x * y + x$

$\langle proof \rangle$

The *num-of-nat* conversion.

lemma *num-of-nat-One*: $n \leq 1 \implies num-of-nat\ n = One$

$\langle proof \rangle$

lemma *num-of-nat-plus-distrib*:

$0 < m \implies 0 < n \implies \text{num-of-nat } (m + n) = \text{num-of-nat } m + \text{num-of-nat } n$
 $\langle \text{proof} \rangle$

A double-and-decrement function.

primrec *BitM* :: *num* \Rightarrow *num*

where

$\text{BitM } \text{One} = \text{One}$
 $| \text{BitM } (\text{Bit0 } n) = \text{Bit1 } (\text{BitM } n)$
 $| \text{BitM } (\text{Bit1 } n) = \text{Bit1 } (\text{Bit0 } n)$

lemma *BitM-plus-one*: $\text{BitM } n + \text{One} = \text{Bit0 } n$

$\langle \text{proof} \rangle$

lemma *one-plus-BitM*: $\text{One} + \text{BitM } n = \text{Bit0 } n$

$\langle \text{proof} \rangle$

Squaring and exponentiation.

primrec *sqr* :: *num* \Rightarrow *num*

where

$\text{sqr } \text{One} = \text{One}$
 $| \text{sqr } (\text{Bit0 } n) = \text{Bit0 } (\text{Bit0 } (\text{sqr } n))$
 $| \text{sqr } (\text{Bit1 } n) = \text{Bit1 } (\text{Bit0 } (\text{sqr } n + n))$

primrec *pow* :: *num* \Rightarrow *num* \Rightarrow *num*

where

$\text{pow } x \text{ One} = x$
 $| \text{pow } x (\text{Bit0 } y) = \text{sqr } (\text{pow } x y)$
 $| \text{pow } x (\text{Bit1 } y) = \text{sqr } (\text{pow } x y) * x$

lemma *nat-of-num-sqr*: $\text{nat-of-num } (\text{sqr } x) = \text{nat-of-num } x * \text{nat-of-num } x$

$\langle \text{proof} \rangle$

lemma *sqr-conv-mult*: $\text{sqr } x = x * x$

$\langle \text{proof} \rangle$

41.3 Binary numerals

We embed binary representations into a generic algebraic structure using *numeral*.

class *numeral* = *one* + *semigroup-add*

begin

primrec *numeral* :: *num* \Rightarrow '*a*

where

$\text{numeral-One: numeral } \text{One} = 1$
 $| \text{numeral-Bit0: numeral } (\text{Bit0 } n) = \text{numeral } n + \text{numeral } n$

| *numeral-Bit1*: *numeral* (*Bit1* *n*) = *numeral* *n* + *numeral* *n* + 1

lemma *numeral-code* [*code*]:

numeral *One* = 1

numeral (*Bit0* *n*) = (let *m* = *numeral* *n* in *m* + *m*)

numeral (*Bit1* *n*) = (let *m* = *numeral* *n* in *m* + *m* + 1)

⟨*proof*⟩

lemma *one-plus-numeral-commute*: 1 + *numeral* *x* = *numeral* *x* + 1

⟨*proof*⟩

lemma *numeral-inc*: *numeral* (*inc* *x*) = *numeral* *x* + 1

⟨*proof*⟩

declare *numeral.simps* [*simp del*]

abbreviation *Numeral1* ≡ *numeral One*

declare *numeral-One* [*code-post*]

end

Numeral syntax.

syntax

-*Numeral* :: *num-const* ⇒ 'a (-)

⟨*ML*⟩

41.4 Class-specific numeral rules

numeral is a morphism.

41.4.1 Structures with addition: class *numeral*

context *numeral*

begin

lemma *numeral-add*: *numeral* (*m* + *n*) = *numeral* *m* + *numeral* *n*

⟨*proof*⟩

lemma *numeral-plus-numeral*: *numeral* *m* + *numeral* *n* = *numeral* (*m* + *n*)

⟨*proof*⟩

lemma *numeral-plus-one*: *numeral* *n* + 1 = *numeral* (*n* + *One*)

⟨*proof*⟩

lemma *one-plus-numeral*: 1 + *numeral* *n* = *numeral* (*One* + *n*)

⟨*proof*⟩

lemma *one-add-one*: $1 + 1 = 2$
 ⟨*proof*⟩

lemmas *add-numeral-special* =
numeral-plus-one one-plus-numeral one-add-one

end

41.4.2 Structures with negation: class *neg-numeral*

class *neg-numeral* = *numeral* + *group-add*
begin

lemma *uminus-numeral-One*: $- \text{Numeral1} = - 1$
 ⟨*proof*⟩

Numerals form an abelian subgroup.

inductive *is-num* :: 'a \Rightarrow bool
where
 is-num 1
 | *is-num x \Rightarrow is-num (- x)*
 | *is-num x \Rightarrow is-num y \Rightarrow is-num (x + y)*

lemma *is-num-numeral*: *is-num* (*numeral k*)
 ⟨*proof*⟩

lemma *is-num-add-commute*: *is-num x \Rightarrow is-num y \Rightarrow x + y = y + x*
 ⟨*proof*⟩

lemma *is-num-add-left-commute*: *is-num x \Rightarrow is-num y \Rightarrow x + (y + z) = y + (x + z)*
 ⟨*proof*⟩

lemmas *is-num-normalize* =
add.assoc is-num-add-commute is-num-add-left-commute
is-num.intros is-num-numeral
minus-add

definition *dbl* :: 'a \Rightarrow 'a
where *dbl x = x + x*

definition *dbl-inc* :: 'a \Rightarrow 'a
where *dbl-inc x = x + x + 1*

definition *dbl-dec* :: 'a \Rightarrow 'a
where *dbl-dec x = x + x - 1*

definition *sub* :: num \Rightarrow num \Rightarrow 'a
where *sub k l = numeral k - numeral l*

lemma *numeral-BitM*: $\text{numeral } (\text{BitM } n) = \text{numeral } (\text{Bit0 } n) - 1$
 ⟨proof⟩

lemma *dbl-simps* [simp]:
 $\text{dbl } (- \text{numeral } k) = - \text{dbl } (\text{numeral } k)$
 $\text{dbl } 0 = 0$
 $\text{dbl } 1 = 2$
 $\text{dbl } (- 1) = - 2$
 $\text{dbl } (\text{numeral } k) = \text{numeral } (\text{Bit0 } k)$
 ⟨proof⟩

lemma *dbl-inc-simps* [simp]:
 $\text{dbl-inc } (- \text{numeral } k) = - \text{dbl-dec } (\text{numeral } k)$
 $\text{dbl-inc } 0 = 1$
 $\text{dbl-inc } 1 = 3$
 $\text{dbl-inc } (- 1) = - 1$
 $\text{dbl-inc } (\text{numeral } k) = \text{numeral } (\text{Bit1 } k)$
 ⟨proof⟩

lemma *dbl-dec-simps* [simp]:
 $\text{dbl-dec } (- \text{numeral } k) = - \text{dbl-inc } (\text{numeral } k)$
 $\text{dbl-dec } 0 = - 1$
 $\text{dbl-dec } 1 = 1$
 $\text{dbl-dec } (- 1) = - 3$
 $\text{dbl-dec } (\text{numeral } k) = \text{numeral } (\text{BitM } k)$
 ⟨proof⟩

lemma *sub-num-simps* [simp]:
 $\text{sub One One} = 0$
 $\text{sub One } (\text{Bit0 } l) = - \text{numeral } (\text{BitM } l)$
 $\text{sub One } (\text{Bit1 } l) = - \text{numeral } (\text{Bit0 } l)$
 $\text{sub } (\text{Bit0 } k) \text{ One} = \text{numeral } (\text{BitM } k)$
 $\text{sub } (\text{Bit1 } k) \text{ One} = \text{numeral } (\text{Bit0 } k)$
 $\text{sub } (\text{Bit0 } k) (\text{Bit0 } l) = \text{dbl } (\text{sub } k l)$
 $\text{sub } (\text{Bit0 } k) (\text{Bit1 } l) = \text{dbl-dec } (\text{sub } k l)$
 $\text{sub } (\text{Bit1 } k) (\text{Bit0 } l) = \text{dbl-inc } (\text{sub } k l)$
 $\text{sub } (\text{Bit1 } k) (\text{Bit1 } l) = \text{dbl } (\text{sub } k l)$
 ⟨proof⟩

lemma *add-neg-numeral-simps*:
 $\text{numeral } m + - \text{numeral } n = \text{sub } m n$
 $- \text{numeral } m + \text{numeral } n = \text{sub } n m$
 $- \text{numeral } m + - \text{numeral } n = - (\text{numeral } m + \text{numeral } n)$
 ⟨proof⟩

lemma *add-neg-numeral-special*:
 $1 + - \text{numeral } m = \text{sub One } m$
 $- \text{numeral } m + 1 = \text{sub One } m$

```

numeral m + - 1 = sub m One
- 1 + numeral n = sub n One
- 1 + - numeral n = - numeral (inc n)
- numeral m + - 1 = - numeral (inc m)
1 + - 1 = 0
- 1 + 1 = 0
- 1 + - 1 = - 2
⟨proof⟩

```

lemma *diff-numeral-simps*:

```

numeral m - numeral n = sub m n
numeral m - - numeral n = numeral (m + n)
- numeral m - numeral n = - numeral (m + n)
- numeral m - - numeral n = sub n m
⟨proof⟩

```

lemma *diff-numeral-special*:

```

1 - numeral n = sub One n
numeral m - 1 = sub m One
1 - - numeral n = numeral (One + n)
- numeral m - 1 = - numeral (m + One)
- 1 - numeral n = - numeral (inc n)
numeral m - - 1 = numeral (inc m)
- 1 - - numeral n = sub n One
- numeral m - - 1 = sub One m
1 - 1 = 0
- 1 - 1 = - 2
1 - - 1 = 2
- 1 - - 1 = 0
⟨proof⟩

```

end

41.4.3 Structures with multiplication: class *semiring-numeral*

```

class semiring-numeral = semiring + monoid-mult
begin

```

```

subclass numeral ⟨proof⟩

```

lemma *numeral-mult*: $\text{numeral } (m * n) = \text{numeral } m * \text{numeral } n$
 ⟨proof⟩

lemma *numeral-times-numeral*: $\text{numeral } m * \text{numeral } n = \text{numeral } (m * n)$
 ⟨proof⟩

lemma *mult-2*: $2 * z = z + z$
 ⟨proof⟩

lemma *mult-2-right*: $z * 2 = z + z$
 ⟨*proof*⟩

end

41.4.4 Structures with a zero: class *semiring-1*

context *semiring-1*

begin

subclass *semiring-numeral* ⟨*proof*⟩

lemma *of-nat-numeral* [*simp*]: $\text{of-nat } (\text{numeral } n) = \text{numeral } n$
 ⟨*proof*⟩

lemma *numeral-unfold-funpow*:
 $\text{numeral } k = (\text{op } + \ 1 \ \wedge \wedge \ \text{numeral } k) \ 0$
 ⟨*proof*⟩

end

lemma *transfer-rule-numeral*:
fixes $R :: 'a::\text{semiring-1} \Rightarrow 'b::\text{semiring-1} \Rightarrow \text{bool}$
assumes [*transfer-rule*]: $R \ 0 \ 0 \ R \ 1 \ 1$
 $\text{rel-fun } R \ (\text{rel-fun } R \ R) \ \text{plus } \text{plus}$
shows $\text{rel-fun } \text{HOL.eq } R \ \text{numeral } \text{numeral}$
 ⟨*proof*⟩

lemma *nat-of-num-numeral* [*code-abbrev*]: $\text{nat-of-num} = \text{numeral}$
 ⟨*proof*⟩

lemma *nat-of-num-code* [*code*]:
 $\text{nat-of-num } \text{One} = 1$
 $\text{nat-of-num } (\text{Bit0 } n) = (\text{let } m = \text{nat-of-num } n \text{ in } m + m)$
 $\text{nat-of-num } (\text{Bit1 } n) = (\text{let } m = \text{nat-of-num } n \text{ in } \text{Suc } (m + m))$
 ⟨*proof*⟩

41.4.5 Equality: class *semiring-char-0*

context *semiring-char-0*

begin

lemma *numeral-eq-iff*: $\text{numeral } m = \text{numeral } n \longleftrightarrow m = n$
 ⟨*proof*⟩

lemma *numeral-eq-one-iff*: $\text{numeral } n = 1 \longleftrightarrow n = \text{One}$
 ⟨*proof*⟩

lemma *one-eq-numeral-iff*: $1 = \text{numeral } n \longleftrightarrow \text{One} = n$
 ⟨*proof*⟩

lemma *numeral-neq-zero*: $\text{numeral } n \neq 0$
 ⟨proof⟩

lemma *zero-neq-numeral*: $0 \neq \text{numeral } n$
 ⟨proof⟩

lemmas *eq-numeral-simps* [*simp*] =
numeral-eq-iff
numeral-eq-one-iff
one-eq-numeral-iff
numeral-neq-zero
zero-neq-numeral

end

41.4.6 Comparisons: class *linordered-semidom*

Could be perhaps more general than here.

context *linordered-semidom*
begin

lemma *numeral-le-iff*: $\text{numeral } m \leq \text{numeral } n \longleftrightarrow m \leq n$
 ⟨proof⟩

lemma *one-le-numeral*: $1 \leq \text{numeral } n$
 ⟨proof⟩

lemma *numeral-le-one-iff*: $\text{numeral } n \leq 1 \longleftrightarrow n \leq \text{One}$
 ⟨proof⟩

lemma *numeral-less-iff*: $\text{numeral } m < \text{numeral } n \longleftrightarrow m < n$
 ⟨proof⟩

lemma *not-numeral-less-one*: $\neg \text{numeral } n < 1$
 ⟨proof⟩

lemma *one-less-numeral-iff*: $1 < \text{numeral } n \longleftrightarrow \text{One} < n$
 ⟨proof⟩

lemma *zero-le-numeral*: $0 \leq \text{numeral } n$
 ⟨proof⟩

lemma *zero-less-numeral*: $0 < \text{numeral } n$
 ⟨proof⟩

lemma *not-numeral-le-zero*: $\neg \text{numeral } n \leq 0$
 ⟨proof⟩

lemma *not-numeral-less-zero*: $\neg \text{numeral } n < 0$
 ⟨proof⟩

lemmas *le-numeral-extra* =
zero-le-one not-one-le-zero
order-refl [of 0] order-refl [of 1]

lemmas *less-numeral-extra* =
zero-less-one not-one-less-zero
less-irrefl [of 0] less-irrefl [of 1]

lemmas *le-numeral-simps [simp]* =
numeral-le-iff
one-le-numeral
numeral-le-one-iff
zero-le-numeral
not-numeral-le-zero

lemmas *less-numeral-simps [simp]* =
numeral-less-iff
one-less-numeral-iff
not-numeral-less-one
zero-less-numeral
not-numeral-less-zero

lemma *min-0-1 [simp]*:
fixes *min'* :: 'a \Rightarrow 'a \Rightarrow 'a
defines *min'* \equiv *min*
shows
min' 0 1 = 0
min' 1 0 = 0
min' 0 (numeral x) = 0
min' (numeral x) 0 = 0
min' 1 (numeral x) = 1
min' (numeral x) 1 = 1
 ⟨proof⟩

lemma *max-0-1 [simp]*:
fixes *max'* :: 'a \Rightarrow 'a \Rightarrow 'a
defines *max'* \equiv *max*
shows
max' 0 1 = 1
max' 1 0 = 1
max' 0 (numeral x) = numeral x
max' (numeral x) 0 = numeral x
max' 1 (numeral x) = numeral x
max' (numeral x) 1 = numeral x
 ⟨proof⟩

end

41.4.7 Multiplication and negation: class *ring-1*

context *ring-1*

begin

subclass *neg-numeral* $\langle \text{proof} \rangle$

lemma *mult-neg-numeral-simps*:

– *numeral* $m * - \text{numeral } n = \text{numeral } (m * n)$
 – *numeral* $m * \text{numeral } n = - \text{numeral } (m * n)$
numeral $m * - \text{numeral } n = - \text{numeral } (m * n)$
 $\langle \text{proof} \rangle$

lemma *mult-minus1 [simp]*: $- 1 * z = - z$
 $\langle \text{proof} \rangle$

lemma *mult-minus1-right [simp]*: $z * - 1 = - z$
 $\langle \text{proof} \rangle$

end

41.4.8 Equality using *iszero* for rings with non-zero characteristic

context *ring-1*

begin

definition *iszero* :: $'a \Rightarrow \text{bool}$
where *iszero* $z \longleftrightarrow z = 0$

lemma *iszero-0 [simp]*: *iszero* 0
 $\langle \text{proof} \rangle$

lemma *not-iszero-1 [simp]*: $\neg \text{iszero } 1$
 $\langle \text{proof} \rangle$

lemma *not-iszero-Numeral1*: $\neg \text{iszero } \text{Numeral1}$
 $\langle \text{proof} \rangle$

lemma *not-iszero-neg-1 [simp]*: $\neg \text{iszero } (- 1)$
 $\langle \text{proof} \rangle$

lemma *not-iszero-neg-Numeral1*: $\neg \text{iszero } (- \text{Numeral1})$
 $\langle \text{proof} \rangle$

lemma *iszero-neg-numeral [simp]*: *iszero* $(- \text{numeral } w) \longleftrightarrow \text{iszero } (\text{numeral } w)$
 $\langle \text{proof} \rangle$

lemma *eq-iff-iszero-diff*: $x = y \longleftrightarrow \text{iszero } (x - y)$

<proof>

The *eq-numeral-iff-iszero* lemmas are not declared [*simp*] by default, because for rings of characteristic zero, better *simp* rules are possible. For a type like integers mod n , type-instantiated versions of these rules should be added to the simplifier, along with a type-specific rule for deciding propositions of the form *iszero* (*numeral* w).

bh: Maybe it would not be so bad to just declare these as *simp* rules anyway? I should test whether these rules take precedence over the *ring-char-0* rules in the simplifier.

lemma *eq-numeral-iff-iszero*:

numeral $x = \text{numeral } y \longleftrightarrow \text{iszero } (\text{sub } x \ y)$
numeral $x = - \text{numeral } y \longleftrightarrow \text{iszero } (\text{numeral } (x + y))$
 $- \text{numeral } x = \text{numeral } y \longleftrightarrow \text{iszero } (\text{numeral } (x + y))$
 $- \text{numeral } x = - \text{numeral } y \longleftrightarrow \text{iszero } (\text{sub } y \ x)$
numeral $x = 1 \longleftrightarrow \text{iszero } (\text{sub } x \ \text{One})$
 $1 = \text{numeral } y \longleftrightarrow \text{iszero } (\text{sub } \text{One } y)$
 $- \text{numeral } x = 1 \longleftrightarrow \text{iszero } (\text{numeral } (x + \text{One}))$
 $1 = - \text{numeral } y \longleftrightarrow \text{iszero } (\text{numeral } (\text{One} + y))$
numeral $x = 0 \longleftrightarrow \text{iszero } (\text{numeral } x)$
 $0 = \text{numeral } y \longleftrightarrow \text{iszero } (\text{numeral } y)$
 $- \text{numeral } x = 0 \longleftrightarrow \text{iszero } (\text{numeral } x)$
 $0 = - \text{numeral } y \longleftrightarrow \text{iszero } (\text{numeral } y)$
<proof>

end

41.4.9 Equality and negation: class *ring-char-0*

context *ring-char-0*
begin

lemma *not-iszero-numeral* [*simp*]: $\neg \text{iszero } (\text{numeral } w)$
<proof>

lemma *neg-numeral-eq-iff*: $- \text{numeral } m = - \text{numeral } n \longleftrightarrow m = n$
<proof>

lemma *numeral-neq-neg-numeral*: $\text{numeral } m \neq - \text{numeral } n$
<proof>

lemma *neg-numeral-neq-numeral*: $- \text{numeral } m \neq \text{numeral } n$
<proof>

lemma *zero-neq-neg-numeral*: $0 \neq - \text{numeral } n$
<proof>

lemma *neg-numeral-neq-zero*: $- \text{numeral } n \neq 0$

<proof>

lemma *one-neq-neg-numeral*: $1 \neq - \text{numeral } n$
<proof>

lemma *neg-numeral-neq-one*: $- \text{numeral } n \neq 1$
<proof>

lemma *neg-one-neq-numeral*: $- 1 \neq \text{numeral } n$
<proof>

lemma *numeral-neq-neg-one*: $\text{numeral } n \neq - 1$
<proof>

lemma *neg-one-eq-numeral-iff*: $- 1 = - \text{numeral } n \longleftrightarrow n = \text{One}$
<proof>

lemma *numeral-eq-neg-one-iff*: $- \text{numeral } n = - 1 \longleftrightarrow n = \text{One}$
<proof>

lemma *neg-one-neq-zero*: $- 1 \neq 0$
<proof>

lemma *zero-neq-neg-one*: $0 \neq - 1$
<proof>

lemma *neg-one-neq-one*: $- 1 \neq 1$
<proof>

lemma *one-neq-neg-one*: $1 \neq - 1$
<proof>

lemmas *eq-neg-numeral-simps* [*simp*] =
neg-numeral-eq-iff
numeral-neq-neg-numeral neg-numeral-neq-numeral
one-neq-neg-numeral neg-numeral-neq-one
zero-neq-neg-numeral neg-numeral-neq-zero
neg-one-neq-numeral numeral-neq-neg-one
neg-one-eq-numeral-iff numeral-eq-neg-one-iff
neg-one-neq-zero zero-neq-neg-one
neg-one-neq-one one-neq-neg-one

end

41.4.10 Structures with negation and order: class *linordered-idom*

context *linordered-idom*

begin

subclass *ring-char-0* $\langle \text{proof} \rangle$

lemma *neg-numeral-le-iff*: $- \text{numeral } m \leq - \text{numeral } n \longleftrightarrow n \leq m$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-less-iff*: $- \text{numeral } m < - \text{numeral } n \longleftrightarrow n < m$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-less-zero*: $- \text{numeral } n < 0$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-le-zero*: $- \text{numeral } n \leq 0$
 $\langle \text{proof} \rangle$

lemma *not-zero-less-neg-numeral*: $\neg 0 < - \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *not-zero-le-neg-numeral*: $\neg 0 \leq - \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-less-numeral*: $- \text{numeral } m < \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-le-numeral*: $- \text{numeral } m \leq \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *not-numeral-less-neg-numeral*: $\neg \text{numeral } m < - \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *not-numeral-le-neg-numeral*: $\neg \text{numeral } m \leq - \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-less-one*: $- \text{numeral } m < 1$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-le-one*: $- \text{numeral } m \leq 1$
 $\langle \text{proof} \rangle$

lemma *not-one-less-neg-numeral*: $\neg 1 < - \text{numeral } m$
 $\langle \text{proof} \rangle$

lemma *not-one-le-neg-numeral*: $\neg 1 \leq - \text{numeral } m$
 $\langle \text{proof} \rangle$

lemma *not-numeral-less-neg-one*: $\neg \text{numeral } m < - 1$
 $\langle \text{proof} \rangle$

lemma *not-numeral-le-neg-one*: $\neg \text{numeral } m \leq - 1$
 $\langle \text{proof} \rangle$

lemma *neg-one-less-numeral*: $- 1 < \text{numeral } m$
 $\langle \text{proof} \rangle$

lemma *neg-one-le-numeral*: $- 1 \leq \text{numeral } m$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-less-neg-one-iff*: $-\text{numeral } m < - 1 \longleftrightarrow m \neq \text{One}$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-le-neg-one*: $-\text{numeral } m \leq - 1$
 $\langle \text{proof} \rangle$

lemma *not-neg-one-less-neg-numeral*: $\neg - 1 < - \text{numeral } m$
 $\langle \text{proof} \rangle$

lemma *not-neg-one-le-neg-numeral-iff*: $\neg - 1 \leq - \text{numeral } m \longleftrightarrow m \neq \text{One}$
 $\langle \text{proof} \rangle$

lemma *sub-non-negative*: $\text{sub } n \ m \geq 0 \longleftrightarrow n \geq m$
 $\langle \text{proof} \rangle$

lemma *sub-positive*: $\text{sub } n \ m > 0 \longleftrightarrow n > m$
 $\langle \text{proof} \rangle$

lemma *sub-non-positive*: $\text{sub } n \ m \leq 0 \longleftrightarrow n \leq m$
 $\langle \text{proof} \rangle$

lemma *sub-negative*: $\text{sub } n \ m < 0 \longleftrightarrow n < m$
 $\langle \text{proof} \rangle$

lemmas *le-neg-numeral-simps* [simp] =
neg-numeral-le-iff
neg-numeral-le-numeral not-numeral-le-neg-numeral
neg-numeral-le-zero not-zero-le-neg-numeral
neg-numeral-le-one not-one-le-neg-numeral
neg-one-le-numeral not-numeral-le-neg-one
neg-numeral-le-neg-one not-neg-one-le-neg-numeral-iff

lemma *le-minus-one-simps* [simp]:
 $- 1 \leq 0$
 $- 1 \leq 1$
 $\neg 0 \leq - 1$
 $\neg 1 \leq - 1$
 $\langle \text{proof} \rangle$

lemmas *less-neg-numeral-simps* [simp] =
neg-numeral-less-iff
neg-numeral-less-numeral not-numeral-less-neg-numeral

neg-numeral-less-zero not-zero-less-neg-numeral
neg-numeral-less-one not-one-less-neg-numeral
neg-one-less-numeral not-numeral-less-neg-one
neg-numeral-less-neg-one-iff not-neg-one-less-neg-numeral

lemma *less-minus-one-simps* [simp]:

$- 1 < 0$
 $- 1 < 1$
 $\neg 0 < - 1$
 $\neg 1 < - 1$
 ⟨proof⟩

lemma *abs-numeral* [simp]: $| \text{numeral } n | = \text{numeral } n$
 ⟨proof⟩

lemma *abs-neg-numeral* [simp]: $| - \text{numeral } n | = \text{numeral } n$
 ⟨proof⟩

lemma *abs-neg-one* [simp]: $| - 1 | = 1$
 ⟨proof⟩

end

41.4.11 Natural numbers

lemma *Suc-1* [simp]: $\text{Suc } 1 = 2$
 ⟨proof⟩

lemma *Suc-numeral* [simp]: $\text{Suc } (\text{numeral } n) = \text{numeral } (n + \text{One})$
 ⟨proof⟩

definition *pred-numeral* :: $\text{num} \Rightarrow \text{nat}$
where [code del]: $\text{pred-numeral } k = \text{numeral } k - 1$

lemma *numeral-eq-Suc*: $\text{numeral } k = \text{Suc } (\text{pred-numeral } k)$
 ⟨proof⟩

lemma *eval-nat-numeral*:

$\text{numeral } \text{One} = \text{Suc } 0$
 $\text{numeral } (\text{Bit0 } n) = \text{Suc } (\text{numeral } (\text{BitM } n))$
 $\text{numeral } (\text{Bit1 } n) = \text{Suc } (\text{numeral } (\text{Bit0 } n))$
 ⟨proof⟩

lemma *pred-numeral-simps* [simp]:

$\text{pred-numeral } \text{One} = 0$
 $\text{pred-numeral } (\text{Bit0 } k) = \text{numeral } (\text{BitM } k)$
 $\text{pred-numeral } (\text{Bit1 } k) = \text{numeral } (\text{Bit0 } k)$
 ⟨proof⟩

lemma *numeral-2-eq-2*: $2 = \text{Suc } (\text{Suc } 0)$
 $\langle \text{proof} \rangle$

lemma *numeral-3-eq-3*: $3 = \text{Suc } (\text{Suc } (\text{Suc } 0))$
 $\langle \text{proof} \rangle$

lemma *numeral-1-eq-Suc-0*: $\text{Numeral1} = \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma *Suc-nat-number-of-add*: $\text{Suc } (\text{numeral } v + n) = \text{numeral } (v + \text{One}) + n$
 $\langle \text{proof} \rangle$

lemma *numerals*: $\text{Numeral1} = (1::\text{nat}) \ 2 = \text{Suc } (\text{Suc } 0)$
 $\langle \text{proof} \rangle$

lemmas *numeral-nat* = *eval-nat-numeral BitM.simps One-nat-def*

Comparisons involving *Suc*.

lemma *eq-numeral-Suc* [simp]: $\text{numeral } k = \text{Suc } n \longleftrightarrow \text{pred-numeral } k = n$
 $\langle \text{proof} \rangle$

lemma *Suc-eq-numeral* [simp]: $\text{Suc } n = \text{numeral } k \longleftrightarrow n = \text{pred-numeral } k$
 $\langle \text{proof} \rangle$

lemma *less-numeral-Suc* [simp]: $\text{numeral } k < \text{Suc } n \longleftrightarrow \text{pred-numeral } k < n$
 $\langle \text{proof} \rangle$

lemma *less-Suc-numeral* [simp]: $\text{Suc } n < \text{numeral } k \longleftrightarrow n < \text{pred-numeral } k$
 $\langle \text{proof} \rangle$

lemma *le-numeral-Suc* [simp]: $\text{numeral } k \leq \text{Suc } n \longleftrightarrow \text{pred-numeral } k \leq n$
 $\langle \text{proof} \rangle$

lemma *le-Suc-numeral* [simp]: $\text{Suc } n \leq \text{numeral } k \longleftrightarrow n \leq \text{pred-numeral } k$
 $\langle \text{proof} \rangle$

lemma *diff-Suc-numeral* [simp]: $\text{Suc } n - \text{numeral } k = n - \text{pred-numeral } k$
 $\langle \text{proof} \rangle$

lemma *diff-numeral-Suc* [simp]: $\text{numeral } k - \text{Suc } n = \text{pred-numeral } k - n$
 $\langle \text{proof} \rangle$

lemma *max-Suc-numeral* [simp]: $\max (\text{Suc } n) (\text{numeral } k) = \text{Suc } (\max n (\text{pred-numeral } k))$
 $\langle \text{proof} \rangle$

lemma *max-numeral-Suc* [simp]: $\max (\text{numeral } k) (\text{Suc } n) = \text{Suc } (\max (\text{pred-numeral } k) n)$
 $\langle \text{proof} \rangle$

lemma *min-Suc-numeral* [simp]: $\text{min } (\text{Suc } n) (\text{numeral } k) = \text{Suc } (\text{min } n (\text{pred-numeral } k))$
 ⟨proof⟩

lemma *min-numeral-Suc* [simp]: $\text{min } (\text{numeral } k) (\text{Suc } n) = \text{Suc } (\text{min } (\text{pred-numeral } k) n)$
 ⟨proof⟩

For *case-nat* and *rec-nat*.

lemma *case-nat-numeral* [simp]: $\text{case-nat } a f (\text{numeral } v) = (\text{let } pv = \text{pred-numeral } v \text{ in } f \text{ } pv)$
 ⟨proof⟩

lemma *case-nat-add-eq-if* [simp]:
 $\text{case-nat } a f ((\text{numeral } v) + n) = (\text{let } pv = \text{pred-numeral } v \text{ in } f (pv + n))$
 ⟨proof⟩

lemma *rec-nat-numeral* [simp]:
 $\text{rec-nat } a f (\text{numeral } v) = (\text{let } pv = \text{pred-numeral } v \text{ in } f \text{ } pv (\text{rec-nat } a f \text{ } pv))$
 ⟨proof⟩

lemma *rec-nat-add-eq-if* [simp]:
 $\text{rec-nat } a f (\text{numeral } v + n) = (\text{let } pv = \text{pred-numeral } v \text{ in } f (pv + n) (\text{rec-nat } a f (pv + n)))$
 ⟨proof⟩

Case analysis on $n < (2::'a)$.

lemma *less-2-cases*: $n < 2 \implies n = 0 \vee n = \text{Suc } 0$
 ⟨proof⟩

Removal of Small Numerals: 0, 1 and (in additive positions) 2.

bh: Are these rules really a good idea?

lemma *add-2-eq-Suc* [simp]: $2 + n = \text{Suc } (\text{Suc } n)$
 ⟨proof⟩

lemma *add-2-eq-Suc'* [simp]: $n + 2 = \text{Suc } (\text{Suc } n)$
 ⟨proof⟩

Can be used to eliminate long strings of Sucs, but not by default.

lemma *Suc3-eq-add-3*: $\text{Suc } (\text{Suc } (\text{Suc } n)) = 3 + n$
 ⟨proof⟩

lemmas *nat-1-add-1 = one-add-one* [where $'a = \text{nat}$]

41.5 Particular lemmas concerning $2::'a$

context *linordered-field*

begin

subclass *field-char-0* $\langle \text{proof} \rangle$

lemma *half-gt-zero-iff*: $0 < a / 2 \longleftrightarrow 0 < a$
 $\langle \text{proof} \rangle$

lemma *half-gt-zero* [*simp*]: $0 < a \implies 0 < a / 2$
 $\langle \text{proof} \rangle$

end

41.6 Numeral equations as default simplification rules

declare (**in** *numeral*) *numeral-One* [*simp*]
declare (**in** *numeral*) *numeral-plus-numeral* [*simp*]
declare (**in** *numeral*) *add-numeral-special* [*simp*]
declare (**in** *neg-numeral*) *add-neg-numeral-simps* [*simp*]
declare (**in** *neg-numeral*) *add-neg-numeral-special* [*simp*]
declare (**in** *neg-numeral*) *diff-numeral-simps* [*simp*]
declare (**in** *neg-numeral*) *diff-numeral-special* [*simp*]
declare (**in** *semiring-numeral*) *numeral-times-numeral* [*simp*]
declare (**in** *ring-1*) *mult-neg-numeral-simps* [*simp*]

41.7 Setting up simprocs

lemma *mult-numeral-1*: $\text{Numeral1} * a = a$
for $a :: 'a::\text{semiring-numeral}$
 $\langle \text{proof} \rangle$

lemma *mult-numeral-1-right*: $a * \text{Numeral1} = a$
for $a :: 'a::\text{semiring-numeral}$
 $\langle \text{proof} \rangle$

lemma *divide-numeral-1*: $a / \text{Numeral1} = a$
for $a :: 'a::\text{field}$
 $\langle \text{proof} \rangle$

lemma *inverse-numeral-1*: $\text{inverse Numeral1} = (\text{Numeral1}::'a::\text{division-ring})$
 $\langle \text{proof} \rangle$

Theorem lists for the cancellation simprocs. The use of a binary numeral for 1 reduces the number of special cases.

lemma *mult-1s*:
 $\text{Numeral1} * a = a$
 $a * \text{Numeral1} = a$
 $- \text{Numeral1} * b = - b$
 $b * - \text{Numeral1} = - b$
for $a :: 'a::\text{semiring-numeral}$ **and** $b :: 'b::\text{ring-1}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

41.7.1 Simplification of arithmetic operations on integer constants

lemmas *arith-special* =
add-numeral-special add-neg-numeral-special
diff-numeral-special

lemmas *arith-extra-simps* =
numeral-plus-numeral add-neg-numeral-simps add-0-left add-0-right
minus-zero
diff-numeral-simps diff-0 diff-0-right
numeral-times-numeral mult-neg-numeral-simps
mult-zero-left mult-zero-right
abs-numeral abs-neg-numeral

For making a minimal simpset, one must include these default simprules.
 Also include *simp-thms*.

lemmas *arith-simps* =
add-num-simps mult-num-simps sub-num-simps
BitM.simps dbl-simps dbl-inc-simps dbl-dec-simps
abs-zero abs-one arith-extra-simps

lemmas *more-arith-simps* =
neg-le-iff-le
minus-zero left-minus right-minus
mult-1-left mult-1-right
mult-minus-left mult-minus-right
minus-add-distrib minus-minus mult.assoc

lemmas *of-nat-simps* =
of-nat-0 of-nat-1 of-nat-Suc of-nat-add of-nat-mult

Simplification of relational operations.

lemmas *eq-numeral-extra* =
zero-neq-one one-neq-zero

lemmas *rel-simps* =
le-num-simps less-num-simps eq-num-simps
le-numeral-simps le-neg-numeral-simps le-minus-one-simps le-numeral-extra
less-numeral-simps less-neg-numeral-simps less-minus-one-simps less-numeral-extra
eq-numeral-simps eq-neg-numeral-simps eq-numeral-extra

lemma *Let-numeral [simp]*: *Let (numeral v) f = f (numeral v)*
 — Unfold all lets involving constants
 $\langle \text{proof} \rangle$

lemma *Let-neg-numeral* [simp]: *Let* $(- \text{ numeral } v) f = f (- \text{ numeral } v)$
 — Unfold all *lets* involving constants
<proof>

<ML>

41.7.2 Simplification of arithmetic when nested to the right

lemma *add-numeral-left* [simp]: *numeral* $v + (\text{numeral } w + z) = (\text{numeral}(v + w) + z)$
<proof>

lemma *add-neg-numeral-left* [simp]:
 $\text{numeral } v + (- \text{ numeral } w + y) = (\text{sub } v \ w + y)$
 $- \text{ numeral } v + (\text{numeral } w + y) = (\text{sub } w \ v + y)$
 $- \text{ numeral } v + (- \text{ numeral } w + y) = (- \text{ numeral}(v + w) + y)$
<proof>

lemma *mult-numeral-left* [simp]:
 $\text{numeral } v * (\text{numeral } w * z) = (\text{numeral}(v * w) * z :: 'a::\text{semiring-numeral})$
 $- \text{ numeral } v * (\text{numeral } w * y) = (- \text{ numeral}(v * w) * y :: 'b::\text{ring-1})$
 $\text{numeral } v * (- \text{ numeral } w * y) = (- \text{ numeral}(v * w) * y :: 'b::\text{ring-1})$
 $- \text{ numeral } v * (- \text{ numeral } w * y) = (\text{numeral}(v * w) * y :: 'b::\text{ring-1})$
<proof>

hide-const (open) *One Bit0 Bit1 BitM inc pow sqr sub dbl dbl-inc dbl-dec*

41.8 Code module namespace

code-identifier

code-module *Num* \rightarrow (SML) *Arith* **and** (OCaml) *Arith* **and** (Haskell) *Arith*

41.9 Printing of evaluated natural numbers as numerals

lemma [code-post]:
 $\text{Suc } 0 = 1$
 $\text{Suc } 1 = 2$
 $\text{Suc } (\text{numeral } n) = \text{numeral } (\text{Num.inc } n)$
<proof>

lemmas [code-post] = *Num.inc.simps*

end

42 Exponentiation

theory *Power*

imports *Num*

begin

42.1 Powers for Arbitrary Monoids

class power = one + times

begin

primrec power :: 'a \Rightarrow nat \Rightarrow 'a (infixr \wedge 80)

where

power-0: $a \wedge 0 = 1$

| power-Suc: $a \wedge \text{Suc } n = a * a \wedge n$

notation (latex output)

power ((-) [1000] 1000)

Special syntax for squares.

abbreviation power2 :: 'a \Rightarrow 'a ((-) [1000] 999)

where $x^2 \equiv x \wedge 2$

end

context monoid-mult

begin

subclass power <proof>

lemma power-one [simp]: $1 \wedge n = 1$

<proof>

lemma power-one-right [simp]: $a \wedge 1 = a$

<proof>

lemma power-Suc0-right [simp]: $a \wedge \text{Suc } 0 = a$

<proof>

lemma power-commutes: $a \wedge n * a = a * a \wedge n$

<proof>

lemma power-Suc2: $a \wedge \text{Suc } n = a \wedge n * a$

<proof>

lemma power-add: $a \wedge (m + n) = a \wedge m * a \wedge n$

<proof>

lemma power-mult: $a \wedge (m * n) = (a \wedge m) \wedge n$

<proof>

lemma power2-eq-square: $a^2 = a * a$

<proof>

lemma *power3-eq-cube*: $a^3 = a * a * a$
 ⟨proof⟩

lemma *power-even-eq*: $a^{(2 * n)} = (a^n)^2$
 ⟨proof⟩

lemma *power-odd-eq*: $a^{Suc (2*n)} = a * (a^n)^2$
 ⟨proof⟩

lemma *power-numeral-even*: $z^{numeral (Num.Bit0 w)} = (let w = z^{numeral w} in w * w)$
 ⟨proof⟩

lemma *power-numeral-odd*: $z^{numeral (Num.Bit1 w)} = (let w = z^{numeral w} in z * w * w)$
 ⟨proof⟩

lemma *funpow-times-power*: $(times x^{f x}) = times (x^{f x})$
 ⟨proof⟩

lemma *power-commuting-commutes*:

assumes $x * y = y * x$

shows $x^n * y = y * x^n$

⟨proof⟩

lemma *power-minus-mult*: $0 < n \implies a^{(n - 1)} * a = a^n$
 ⟨proof⟩

end

context *comm-monoid-mult*

begin

lemma *power-mult-distrib* [*field-simps*]: $(a * b)^n = (a^n) * (b^n)$
 ⟨proof⟩

end

Extract constant factors from powers.

declare *power-mult-distrib* [**where** $a = numeral w$ **for** w , *simp*]

declare *power-mult-distrib* [**where** $b = numeral w$ **for** w , *simp*]

lemma *power-add-numeral* [*simp*]: $a^{numeral m} * a^{numeral n} = a^{numeral (m + n)}$

for $a :: 'a::monoid-mult$

 ⟨proof⟩

lemma *power-add-numeral2* [*simp*]: $a^{numeral m} * (a^{numeral n} * b) = a^{numeral$

```

(m + n) * b
  for a :: 'a::monoid-mult
    ⟨proof⟩

```

```

lemma power-mult-numeral [simp]: (a ^ numeral m) ^ numeral n = a ^ numeral (m *
n)
  for a :: 'a::monoid-mult
    ⟨proof⟩

```

```

context semiring-numeral
begin

```

```

lemma numeral-sqr: numeral (Num.sqr k) = numeral k * numeral k
  ⟨proof⟩

```

```

lemma numeral-pow: numeral (Num.pow k l) = numeral k ^ numeral l
  ⟨proof⟩

```

```

lemma power-numeral [simp]: numeral k ^ numeral l = numeral (Num.pow k l)
  ⟨proof⟩

```

```

end

```

```

context semiring-1
begin

```

```

lemma of-nat-power [simp]: of-nat (m ^ n) = of-nat m ^ n
  ⟨proof⟩

```

```

lemma zero-power: 0 < n ⟹ 0 ^ n = 0
  ⟨proof⟩

```

```

lemma power-zero-numeral [simp]: 0 ^ numeral k = 0
  ⟨proof⟩

```

```

lemma zero-power2: 02 = 0
  ⟨proof⟩

```

```

lemma one-power2: 12 = 1
  ⟨proof⟩

```

```

lemma power-0-Suc [simp]: 0 ^ Suc n = 0
  ⟨proof⟩

```

It looks plausible as a simprule, but its effect can be strange.

```

lemma power-0-left: 0 ^ n = (if n = 0 then 1 else 0)
  ⟨proof⟩

```

```

end

```

context *comm-semiring-1*
begin

The divides relation.

lemma *le-imp-power-dvd*:
assumes $m \leq n$
shows $a \wedge m \text{ dvd } a \wedge n$
 $\langle \text{proof} \rangle$

lemma *power-le-dvd*: $a \wedge n \text{ dvd } b \implies m \leq n \implies a \wedge m \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *dvd-power-same*: $x \text{ dvd } y \implies x \wedge n \text{ dvd } y \wedge n$
 $\langle \text{proof} \rangle$

lemma *dvd-power-le*: $x \text{ dvd } y \implies m \geq n \implies x \wedge n \text{ dvd } y \wedge m$
 $\langle \text{proof} \rangle$

lemma *dvd-power [simp]*:
fixes $n :: \text{nat}$
assumes $n > 0 \vee x = 1$
shows $x \text{ dvd } (x \wedge n)$
 $\langle \text{proof} \rangle$

end

context *semiring-1-no-zero-divisors*
begin

subclass *power* $\langle \text{proof} \rangle$

lemma *power-eq-0-iff [simp]*: $a \wedge n = 0 \longleftrightarrow a = 0 \wedge n > 0$
 $\langle \text{proof} \rangle$

lemma *power-not-zero*: $a \neq 0 \implies a \wedge n \neq 0$
 $\langle \text{proof} \rangle$

lemma *zero-eq-power2 [simp]*: $a^2 = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

end

context *ring-1*
begin

lemma *power-minus*: $(- a) \wedge n = (- 1) \wedge n * a \wedge n$
 $\langle \text{proof} \rangle$

lemma *power-minus'*: *NO-MATCH* $1\ x \implies (-x) \wedge n = (-1) \wedge n * x \wedge n$
 ⟨proof⟩

lemma *power-minus-Bit0*: $(-x) \wedge \text{numeral } (\text{Num.Bit0 } k) = x \wedge \text{numeral } (\text{Num.Bit0 } k)$
 ⟨proof⟩

lemma *power-minus-Bit1*: $(-x) \wedge \text{numeral } (\text{Num.Bit1 } k) = -(x \wedge \text{numeral } (\text{Num.Bit1 } k))$
 ⟨proof⟩

lemma *power2-minus* [simp]: $(-a)^2 = a^2$
 ⟨proof⟩

lemma *power-minus1-even* [simp]: $(-1) \wedge (2*n) = 1$
 ⟨proof⟩

lemma *power-minus1-odd*: $(-1) \wedge \text{Suc } (2*n) = -1$
 ⟨proof⟩

lemma *power-minus-even* [simp]: $(-a) \wedge (2*n) = a \wedge (2*n)$
 ⟨proof⟩

end

context *ring-1-no-zero-divisors*
begin

lemma *power2-eq-1-iff*: $a^2 = 1 \longleftrightarrow a = 1 \vee a = -1$
 ⟨proof⟩

end

context *idom*
begin

lemma *power2-eq-iff*: $x^2 = y^2 \longleftrightarrow x = y \vee x = -y$
 ⟨proof⟩

end

context *algebraic-semidom*
begin

lemma *div-power*: $b \text{ dvd } a \implies (a \text{ div } b) \wedge n = a \wedge n \text{ div } b \wedge n$
 ⟨proof⟩

lemma *is-unit-power-iff*: $\text{is-unit } (a \wedge n) \longleftrightarrow \text{is-unit } a \vee n = 0$
 ⟨proof⟩

lemma *dvd-power-iff*:

assumes $x \neq 0$

shows $x \wedge^m \text{dvd } x \wedge^n \longleftrightarrow \text{is-unit } x \vee m \leq n$
 $\langle \text{proof} \rangle$

end

context *normalization-semidom*

begin

lemma *normalize-power*: $\text{normalize } (a \wedge^n) = \text{normalize } a \wedge^n$
 $\langle \text{proof} \rangle$

lemma *unit-factor-power*: $\text{unit-factor } (a \wedge^n) = \text{unit-factor } a \wedge^n$
 $\langle \text{proof} \rangle$

end

context *division-ring*

begin

Perhaps these should be simprules.

lemma *power-inverse* [*field-simps*, *divide-simps*]: $\text{inverse } a \wedge^n = \text{inverse } (a \wedge^n)$
 $\langle \text{proof} \rangle$

lemma *power-one-over* [*field-simps*, *divide-simps*]: $(1 / a) \wedge^n = 1 / a \wedge^n$
 $\langle \text{proof} \rangle$

end

context *field*

begin

lemma *power-diff*:

assumes $a \neq 0$

shows $n \leq m \implies a \wedge (m - n) = a \wedge m / a \wedge n$

$\langle \text{proof} \rangle$

lemma *power-divide* [*field-simps*, *divide-simps*]: $(a / b) \wedge^n = a \wedge^n / b \wedge^n$
 $\langle \text{proof} \rangle$

end

42.2 Exponentiation on ordered types

context *linordered-semidom*

begin

lemma *zero-less-power* [simp]: $0 < a \implies 0 < a ^ n$
 ⟨proof⟩

lemma *zero-le-power* [simp]: $0 \leq a \implies 0 \leq a ^ n$
 ⟨proof⟩

lemma *power-mono*: $a \leq b \implies 0 \leq a \implies a ^ n \leq b ^ n$
 ⟨proof⟩

lemma *one-le-power* [simp]: $1 \leq a \implies 1 \leq a ^ n$
 ⟨proof⟩

lemma *power-le-one*: $0 \leq a \implies a \leq 1 \implies a ^ n \leq 1$
 ⟨proof⟩

lemma *power-gt1-lemma*:
 assumes *gt1*: $1 < a$
 shows $1 < a * a ^ n$
 ⟨proof⟩

lemma *power-gt1*: $1 < a \implies 1 < a ^ \text{Suc } n$
 ⟨proof⟩

lemma *one-less-power* [simp]: $1 < a \implies 0 < n \implies 1 < a ^ n$
 ⟨proof⟩

lemma *power-le-imp-le-exp*:
 assumes *gt1*: $1 < a$
 shows $a ^ m \leq a ^ n \implies m \leq n$
 ⟨proof⟩

lemma *of-nat-zero-less-power-iff* [simp]: $\text{of-nat } x ^ n > 0 \longleftrightarrow x > 0 \vee n = 0$
 ⟨proof⟩

Surely we can strengthen this? It holds for $0 < a < 1$ too.

lemma *power-inject-exp* [simp]: $1 < a \implies a ^ m = a ^ n \longleftrightarrow m = n$
 ⟨proof⟩

Can relax the first premise to $(0::'a) < a$ in the case of the natural numbers.

lemma *power-less-imp-less-exp*: $1 < a \implies a ^ m < a ^ n \implies m < n$
 ⟨proof⟩

lemma *power-strict-mono* [rule-format]: $a < b \implies 0 \leq a \implies 0 < n \longrightarrow a ^ n < b ^ n$
 ⟨proof⟩

Lemma for *power-strict-decreasing*

lemma *power-Suc-less*: $0 < a \implies a < 1 \implies a * a ^ n < a ^ n$

$\langle \text{proof} \rangle$

lemma *power-strict-decreasing* [rule-format]: $n < N \implies 0 < a \implies a < 1 \longrightarrow a \wedge N < a \wedge n$
 $\langle \text{proof} \rangle$

Proof resembles that of *power-strict-decreasing*.

lemma *power-decreasing*: $n \leq N \implies 0 \leq a \implies a \leq 1 \implies a \wedge N \leq a \wedge n$
 $\langle \text{proof} \rangle$

lemma *power-Suc-less-one*: $0 < a \implies a < 1 \implies a \wedge \text{Suc } n < 1$
 $\langle \text{proof} \rangle$

Proof again resembles that of *power-strict-decreasing*.

lemma *power-increasing*: $n \leq N \implies 1 \leq a \implies a \wedge n \leq a \wedge N$
 $\langle \text{proof} \rangle$

Lemma for *power-strict-increasing*.

lemma *power-less-power-Suc*: $1 < a \implies a \wedge n < a * a \wedge n$
 $\langle \text{proof} \rangle$

lemma *power-strict-increasing*: $n < N \implies 1 < a \implies a \wedge n < a \wedge N$
 $\langle \text{proof} \rangle$

lemma *power-increasing-iff* [simp]: $1 < b \implies b \wedge x \leq b \wedge y \longleftrightarrow x \leq y$
 $\langle \text{proof} \rangle$

lemma *power-strict-increasing-iff* [simp]: $1 < b \implies b \wedge x < b \wedge y \longleftrightarrow x < y$
 $\langle \text{proof} \rangle$

lemma *power-le-imp-le-base*:
 assumes *le*: $a \wedge \text{Suc } n \leq b \wedge \text{Suc } n$
 and $0 \leq b$
 shows $a \leq b$
 $\langle \text{proof} \rangle$

lemma *power-less-imp-less-base*:
 assumes *less*: $a \wedge n < b \wedge n$
 assumes *nonneg*: $0 \leq b$
 shows $a < b$
 $\langle \text{proof} \rangle$

lemma *power-inject-base*: $a \wedge \text{Suc } n = b \wedge \text{Suc } n \implies 0 \leq a \implies 0 \leq b \implies a = b$
 $\langle \text{proof} \rangle$

lemma *power-eq-imp-eq-base*: $a \wedge n = b \wedge n \implies 0 \leq a \implies 0 \leq b \implies 0 < n \implies a = b$
 $\langle \text{proof} \rangle$

lemma *power-eq-iff-eq-base*: $0 < n \implies 0 \leq a \implies 0 \leq b \implies a \wedge n = b \wedge n \longleftrightarrow a = b$

<proof>

lemma *power2-le-imp-le*: $x^2 \leq y^2 \implies 0 \leq y \implies x \leq y$

<proof>

lemma *power2-less-imp-less*: $x^2 < y^2 \implies 0 \leq y \implies x < y$

<proof>

lemma *power2-eq-imp-eq*: $x^2 = y^2 \implies 0 \leq x \implies 0 \leq y \implies x = y$

<proof>

lemma *power-Suc-le-self*: $0 \leq a \implies a \leq 1 \implies a \wedge \text{Suc } n \leq a$

<proof>

lemma *power2-eq-iff-nonneg [simp]*:

assumes $0 \leq x \ 0 \leq y$

shows $(x \wedge 2 = y \wedge 2) \longleftrightarrow x = y$

<proof>

end

context *linordered-ring-strict*

begin

lemma *sum-squares-eq-zero-iff*: $x * x + y * y = 0 \longleftrightarrow x = 0 \wedge y = 0$

<proof>

lemma *sum-squares-le-zero-iff*: $x * x + y * y \leq 0 \longleftrightarrow x = 0 \wedge y = 0$

<proof>

lemma *sum-squares-gt-zero-iff*: $0 < x * x + y * y \longleftrightarrow x \neq 0 \vee y \neq 0$

<proof>

end

context *linordered-idom*

begin

lemma *zero-le-power2 [simp]*: $0 \leq a^2$

<proof>

lemma *zero-less-power2 [simp]*: $0 < a^2 \longleftrightarrow a \neq 0$

<proof>

lemma *power2-less-0 [simp]*: $\neg a^2 < 0$

<proof>

lemma *power-abs*: $|a \wedge n| = |a| \wedge n$ — FIXME simp?
 $\langle proof \rangle$

lemma *power-sgn* [simp]: $sgn (a \wedge n) = sgn a \wedge n$
 $\langle proof \rangle$

lemma *abs-power-minus* [simp]: $|(- a) \wedge n| = |a \wedge n|$
 $\langle proof \rangle$

lemma *zero-less-power-abs-iff* [simp]: $0 < |a| \wedge n \longleftrightarrow a \neq 0 \vee n = 0$
 $\langle proof \rangle$

lemma *zero-le-power-abs* [simp]: $0 \leq |a| \wedge n$
 $\langle proof \rangle$

lemma *power2-less-eq-zero-iff* [simp]: $a^2 \leq 0 \longleftrightarrow a = 0$
 $\langle proof \rangle$

lemma *abs-power2* [simp]: $|a^2| = a^2$
 $\langle proof \rangle$

lemma *power2-abs* [simp]: $|a|^2 = a^2$
 $\langle proof \rangle$

lemma *odd-power-less-zero*: $a < 0 \implies a \wedge Suc (2 * n) < 0$
 $\langle proof \rangle$

lemma *odd-0-le-power-imp-0-le*: $0 \leq a \wedge Suc (2 * n) \implies 0 \leq a$
 $\langle proof \rangle$

lemma *zero-le-even-power'* [simp]: $0 \leq a \wedge (2 * n)$
 $\langle proof \rangle$

lemma *sum-power2-ge-zero*: $0 \leq x^2 + y^2$
 $\langle proof \rangle$

lemma *not-sum-power2-lt-zero*: $\neg x^2 + y^2 < 0$
 $\langle proof \rangle$

lemma *sum-power2-eq-zero-iff*: $x^2 + y^2 = 0 \longleftrightarrow x = 0 \wedge y = 0$
 $\langle proof \rangle$

lemma *sum-power2-le-zero-iff*: $x^2 + y^2 \leq 0 \longleftrightarrow x = 0 \wedge y = 0$
 $\langle proof \rangle$

lemma *sum-power2-gt-zero-iff*: $0 < x^2 + y^2 \longleftrightarrow x \neq 0 \vee y \neq 0$
 $\langle proof \rangle$

lemma *abs-le-square-iff*: $|x| \leq |y| \longleftrightarrow x^2 \leq y^2$

(**is** ?lhs \longleftrightarrow ?rhs)
 ⟨proof⟩

lemma *abs-square-le-1*: $x^2 \leq 1 \longleftrightarrow |x| \leq 1$
 ⟨proof⟩

lemma *abs-square-eq-1*: $x^2 = 1 \longleftrightarrow |x| = 1$
 ⟨proof⟩

lemma *abs-square-less-1*: $x^2 < 1 \longleftrightarrow |x| < 1$
 ⟨proof⟩

end

42.3 Miscellaneous rules

lemma (**in** *linordered-semidom*) *self-le-power*: $1 \leq a \implies 0 < n \implies a \leq a \wedge n$
 ⟨proof⟩

lemma (**in** *power*) *power-eq-if*: $p \wedge m = (\text{if } m=0 \text{ then } 1 \text{ else } p * (p \wedge (m - 1)))$
 ⟨proof⟩

lemma (**in** *comm-semiring-1*) *power2-sum*: $(x + y)^2 = x^2 + y^2 + 2 * x * y$
 ⟨proof⟩

context *comm-ring-1*
begin

lemma *power2-diff*: $(x - y)^2 = x^2 + y^2 - 2 * x * y$
 ⟨proof⟩

lemma *power2-commute*: $(x - y)^2 = (y - x)^2$
 ⟨proof⟩

lemma *minus-power-mult-self*: $(- a) \wedge n * (- a) \wedge n = a \wedge (2 * n)$
 ⟨proof⟩

lemma *minus-one-mult-self* [*simp*]: $(- 1) \wedge n * (- 1) \wedge n = 1$
 ⟨proof⟩

lemma *left-minus-one-mult-self* [*simp*]: $(- 1) \wedge n * ((- 1) \wedge n * a) = a$
 ⟨proof⟩

end

Simprules for comparisons where common factors can be cancelled.

lemmas *zero-compare-simps* =
add-strict-increasing add-strict-increasing2 add-increasing
zero-le-mult-iff zero-le-divide-iff

zero-less-mult-iff zero-less-divide-iff
mult-le-0-iff divide-le-0-iff
mult-less-0-iff divide-less-0-iff
zero-le-power2 power2-less-0

42.4 Exponentiation for the Natural Numbers

lemma *nat-one-le-power* [simp]: $Suc\ 0 \leq i \implies Suc\ 0 \leq i \wedge n$
 ⟨proof⟩

lemma *nat-zero-less-power-iff* [simp]: $x \wedge n > 0 \longleftrightarrow x > 0 \vee n = 0$
for $x :: nat$
 ⟨proof⟩

lemma *nat-power-eq-Suc-0-iff* [simp]: $x \wedge m = Suc\ 0 \longleftrightarrow m = 0 \vee x = Suc\ 0$
 ⟨proof⟩

lemma *power-Suc-0* [simp]: $Suc\ 0 \wedge n = Suc\ 0$
 ⟨proof⟩

Valid for the naturals, but what if $0 < i < 1$? Premises cannot be weakened:
 consider the case where $i = 0$, $m = 1$ and $n = 0$.

lemma *nat-power-less-imp-less*:
fixes $i :: nat$
assumes *nonneg*: $0 < i$
assumes *less*: $i \wedge m < i \wedge n$
shows $m < n$
 ⟨proof⟩

lemma *power-dvd-imp-le*: $i \wedge m\ dvd\ i \wedge n \implies 1 < i \implies m \leq n$
for $i\ m\ n :: nat$
 ⟨proof⟩

lemma *power2-nat-le-eq-le*: $m^2 \leq n^2 \longleftrightarrow m \leq n$
for $m\ n :: nat$
 ⟨proof⟩

lemma *power2-nat-le-imp-le*:
fixes $m\ n :: nat$
assumes $m^2 \leq n$
shows $m \leq n$
 ⟨proof⟩

lemma *ex-power-ivl1*: **fixes** $b\ k :: nat$ **assumes** $b \geq 2$
shows $k \geq 1 \implies \exists n. b \wedge n \leq k \wedge k < b \wedge (n+1)$ (is - $\implies \exists n. ?P\ k\ n$)
 ⟨proof⟩

lemma *ex-power-ivl2*: **fixes** $b\ k :: nat$ **assumes** $b \geq 2\ k \geq 2$
shows $\exists n. b \wedge n < k \wedge k \leq b \wedge (n+1)$

<proof>

42.4.1 Cardinality of the Powerset

lemma *card-UNIV-bool* [simp]: *card (UNIV :: bool set) = 2*
<proof>

lemma *card-Pow*: *finite A \implies card (Pow A) = 2 ^ card A*
<proof>

42.5 Code generator tweak

code-identifier

code-module *Power* \mapsto (*SML*) *Arith* **and** (*OCaml*) *Arith* **and** (*Haskell*) *Arith*

end

43 Big sum and product over finite (non-empty) sets

theory *Groups-Big*
imports *Power*
begin

43.1 Generic monoid operation over a set

locale *comm-monoid-set* = *comm-monoid*
begin

interpretation *comp-fun-commute* *f*
<proof>

interpretation *comp?*: *comp-fun-commute* *f* \circ *g*
<proof>

definition *F* :: (*'b* \Rightarrow *'a*) \Rightarrow *'b set* \Rightarrow *'a*
where *eq-fold*: *F g A* = *Finite-Set.fold* (*f* \circ *g*) **1** *A*

lemma *infinite* [simp]: \neg *finite A* \implies *F g A* = **1**
<proof>

lemma *empty* [simp]: *F g {}* = **1**
<proof>

lemma *insert* [simp]: *finite A* \implies *x* \notin *A* \implies *F g (insert x A)* = *g x* * *F g A*
<proof>

lemma *remove*:
assumes *finite A* **and** *x* \in *A*

shows $F\ g\ A = g\ x * F\ g\ (A - \{x\})$
 $\langle proof \rangle$

lemma *insert-remove*: $finite\ A \implies F\ g\ (insert\ x\ A) = g\ x * F\ g\ (A - \{x\})$
 $\langle proof \rangle$

lemma *insert-if*: $finite\ A \implies F\ g\ (insert\ x\ A) = (if\ x \in A\ then\ F\ g\ A\ else\ g\ x * F\ g\ A)$
 $\langle proof \rangle$

lemma *neutral*: $\forall x \in A. g\ x = \mathbf{1} \implies F\ g\ A = \mathbf{1}$
 $\langle proof \rangle$

lemma *neutral-const* [simp]: $F\ (\lambda-. \mathbf{1})\ A = \mathbf{1}$
 $\langle proof \rangle$

lemma *union-inter*:
assumes *finite A and finite B*
shows $F\ g\ (A \cup B) * F\ g\ (A \cap B) = F\ g\ A * F\ g\ B$
— The reversed orientation looks more natural, but LOOPS as a simprule!
 $\langle proof \rangle$

corollary *union-inter-neutral*:
assumes *finite A and finite B*
and $\forall x \in A \cap B. g\ x = \mathbf{1}$
shows $F\ g\ (A \cup B) = F\ g\ A * F\ g\ B$
 $\langle proof \rangle$

corollary *union-disjoint*:
assumes *finite A and finite B*
assumes $A \cap B = \{\}$
shows $F\ g\ (A \cup B) = F\ g\ A * F\ g\ B$
 $\langle proof \rangle$

lemma *union-diff2*:
assumes *finite A and finite B*
shows $F\ g\ (A \cup B) = F\ g\ (A - B) * F\ g\ (B - A) * F\ g\ (A \cap B)$
 $\langle proof \rangle$

lemma *subset-diff*:
assumes $B \subseteq A$ **and** *finite A*
shows $F\ g\ A = F\ g\ (A - B) * F\ g\ B$
 $\langle proof \rangle$

lemma *setdiff-irrelevant*:
assumes *finite A*
shows $F\ g\ (A - \{x. g\ x = z\}) = F\ g\ A$
 $\langle proof \rangle$

lemma *not-neutral-contains-not-neutral*:

assumes $F\ g\ A \neq 1$

obtains a **where** $a \in A$ **and** $g\ a \neq 1$

$\langle proof \rangle$

lemma *reindex*:

assumes *inj-on* $h\ A$

shows $F\ g\ (h\ ` A) = F\ (g \circ h)\ A$

$\langle proof \rangle$

lemma *cong [fundef-cong]*:

assumes $A = B$

assumes $g\text{-}h$: $\bigwedge x. x \in B \implies g\ x = h\ x$

shows $F\ g\ A = F\ h\ B$

$\langle proof \rangle$

lemma *strong-cong [cong]*:

assumes $A = B$ $\bigwedge x. x \in B = \text{simp} \implies g\ x = h\ x$

shows $F\ (\lambda x. g\ x)\ A = F\ (\lambda x. h\ x)\ B$

$\langle proof \rangle$

lemma *reindex-cong*:

assumes *inj-on* $l\ B$

assumes $A = l\ ` B$

assumes $\bigwedge x. x \in B \implies g\ (l\ x) = h\ x$

shows $F\ g\ A = F\ h\ B$

$\langle proof \rangle$

lemma *UNION-disjoint*:

assumes *finite* I **and** $\forall i \in I. \text{finite}\ (A\ i)$

and $\forall i \in I. \forall j \in I. i \neq j \longrightarrow A\ i \cap A\ j = \{\}$

shows $F\ g\ (\text{UNION}\ I\ A) = F\ (\lambda x. F\ g\ (A\ x))\ I$

$\langle proof \rangle$

lemma *Union-disjoint*:

assumes $\forall A \in C. \text{finite}\ A\ \forall A \in C. \forall B \in C. A \neq B \longrightarrow A \cap B = \{\}$

shows $F\ g\ (\bigcup C) = (F \circ F)\ g\ C$

$\langle proof \rangle$

lemma *distrib*: $F\ (\lambda x. g\ x * h\ x)\ A = F\ g\ A * F\ h\ A$

$\langle proof \rangle$

lemma *Sigma*:

finite $A \implies \forall x \in A. \text{finite}\ (B\ x) \implies F\ (\lambda x. F\ (g\ x)\ (B\ x))\ A = F\ (\text{case-prod}\ g)$

(*SIGMA* $x:A. B\ x$)

$\langle proof \rangle$

lemma *related*:

assumes *Re*: $R\ 1\ 1$

and $Rop: \forall x1\ y1\ x2\ y2. R\ x1\ x2 \wedge R\ y1\ y2 \longrightarrow R\ (x1 * y1)\ (x2 * y2)$
and $fin: finite\ S$
and $R-h-g: \forall x \in S. R\ (h\ x)\ (g\ x)$
shows $R\ (F\ h\ S)\ (F\ g\ S)$
 $\langle proof \rangle$

lemma *mono-neutral-cong-left*:
assumes $finite\ T$
and $S \subseteq T$
and $\forall i \in T - S. h\ i = \mathbf{1}$
and $\bigwedge x. x \in S \implies g\ x = h\ x$
shows $F\ g\ S = F\ h\ T$
 $\langle proof \rangle$

lemma *mono-neutral-cong-right*:
 $finite\ T \implies S \subseteq T \implies \forall i \in T - S. g\ i = \mathbf{1} \implies (\bigwedge x. x \in S \implies g\ x = h\ x)$
 \implies
 $F\ g\ T = F\ h\ S$
 $\langle proof \rangle$

lemma *mono-neutral-left*: $finite\ T \implies S \subseteq T \implies \forall i \in T - S. g\ i = \mathbf{1} \implies F\ g\ S = F\ g\ T$
 $\langle proof \rangle$

lemma *mono-neutral-right*: $finite\ T \implies S \subseteq T \implies \forall i \in T - S. g\ i = \mathbf{1} \implies F\ g\ T = F\ g\ S$
 $\langle proof \rangle$

lemma *mono-neutral-cong*:
assumes $[simp]: finite\ T\ finite\ S$
and $*$: $\bigwedge i. i \in T - S \implies h\ i = \mathbf{1} \bigwedge i. i \in S - T \implies g\ i = \mathbf{1}$
and gh : $\bigwedge x. x \in S \cap T \implies g\ x = h\ x$
shows $F\ g\ S = F\ h\ T$
 $\langle proof \rangle$

lemma *reindex-bij-betw*: $bij\ betw\ h\ S\ T \implies F\ (\lambda x. g\ (h\ x))\ S = F\ g\ T$
 $\langle proof \rangle$

lemma *reindex-bij-witness*:
assumes *witness*:
 $\bigwedge a. a \in S \implies i\ (j\ a) = a$
 $\bigwedge a. a \in S \implies j\ a \in T$
 $\bigwedge b. b \in T \implies j\ (i\ b) = b$
 $\bigwedge b. b \in T \implies i\ b \in S$
assumes *eq*:
 $\bigwedge a. a \in S \implies h\ (j\ a) = g\ a$
shows $F\ g\ S = F\ h\ T$
 $\langle proof \rangle$

lemma *reindex-bij-betw-not-neutral*:

assumes *fin*: *finite* S' *finite* T'

assumes *bij*: *bij-betw* h $(S - S')$ $(T - T')$

assumes *nn*:

$\bigwedge a. a \in S' \implies g (h a) = z$

$\bigwedge b. b \in T' \implies g b = z$

shows $F (\lambda x. g (h x)) S = F g T$

$\langle proof \rangle$

lemma *reindex-nontrivial*:

assumes *finite* A

and *nz*: $\bigwedge x y. x \in A \implies y \in A \implies x \neq y \implies h x = h y \implies g (h x) = 1$

shows $F g (h ` A) = F (g \circ h) A$

$\langle proof \rangle$

lemma *reindex-bij-witness-not-neutral*:

assumes *fin*: *finite* S' *finite* T'

assumes *witness*:

$\bigwedge a. a \in S - S' \implies i (j a) = a$

$\bigwedge a. a \in S - S' \implies j a \in T - T'$

$\bigwedge b. b \in T - T' \implies j (i b) = b$

$\bigwedge b. b \in T - T' \implies i b \in S - S'$

assumes *nn*:

$\bigwedge a. a \in S' \implies g a = z$

$\bigwedge b. b \in T' \implies h b = z$

assumes *eq*:

$\bigwedge a. a \in S \implies h (j a) = g a$

shows $F g S = F h T$

$\langle proof \rangle$

lemma *delta [simp]*:

assumes *fs*: *finite* S

shows $F (\lambda k. \text{if } k = a \text{ then } b \text{ } k \text{ else } 1) S = (\text{if } a \in S \text{ then } b \text{ } a \text{ else } 1)$

$\langle proof \rangle$

lemma *delta' [simp]*:

assumes *fin*: *finite* S

shows $F (\lambda k. \text{if } a = k \text{ then } b \text{ } k \text{ else } 1) S = (\text{if } a \in S \text{ then } b \text{ } a \text{ else } 1)$

$\langle proof \rangle$

lemma *If-cases*:

fixes $P :: 'b \Rightarrow \text{bool}$ **and** $g \ h :: 'b \Rightarrow 'a$

assumes *fin*: *finite* A

shows $F (\lambda x. \text{if } P x \text{ then } h x \text{ else } g x) A = F h (A \cap \{x. P x\}) * F g (A \cap \{x. \neg P x\})$

$\langle proof \rangle$

lemma *cartesian-product*: $F (\lambda x. F (g x) B) A = F (\text{case-prod } g) (A \times B)$

$\langle proof \rangle$

lemma *inter-restrict*:

assumes *finite A*

shows $F\ g\ (A \cap B) = F\ (\lambda x. \text{if } x \in B \text{ then } g\ x \text{ else } \mathbf{1})\ A$

<proof>

lemma *inter-filter*:

finite A $\implies F\ g\ \{x \in A. P\ x\} = F\ (\lambda x. \text{if } P\ x \text{ then } g\ x \text{ else } \mathbf{1})\ A$

<proof>

lemma *Union-comp*:

assumes $\forall A \in B. \text{finite } A$

and $\bigwedge A1\ A2\ x. A1 \in B \implies A2 \in B \implies A1 \neq A2 \implies x \in A1 \implies x \in A2 \implies g\ x = \mathbf{1}$

shows $F\ g\ (\bigcup B) = (F \circ F)\ g\ B$

<proof>

lemma *commute*: $F\ (\lambda i. F\ (g\ i)\ B)\ A = F\ (\lambda j. F\ (\lambda i. g\ i\ j)\ A)\ B$

<proof>

lemma *commute-restrict*:

finite A $\implies \text{finite } B \implies$

$F\ (\lambda x. F\ (g\ x)\ \{y. y \in B \wedge R\ x\ y\})\ A = F\ (\lambda y. F\ (\lambda x. g\ x\ y)\ \{x. x \in A \wedge R\ x\ y\})\ B$

<proof>

lemma *Plus*:

fixes $A :: 'b\ \text{set}$ **and** $B :: 'c\ \text{set}$

assumes *fin*: *finite A finite B*

shows $F\ g\ (A <+> B) = F\ (g \circ \text{Inl})\ A * F\ (g \circ \text{Inr})\ B$

<proof>

lemma *same-carrier*:

assumes *finite C*

assumes *subset*: $A \subseteq C\ B \subseteq C$

assumes *trivial*: $\bigwedge a. a \in C - A \implies g\ a = \mathbf{1} \wedge \bigwedge b. b \in C - B \implies h\ b = \mathbf{1}$

shows $F\ g\ A = F\ h\ B \longleftrightarrow F\ g\ C = F\ h\ C$

<proof>

lemma *same-carrierI*:

assumes *finite C*

assumes *subset*: $A \subseteq C\ B \subseteq C$

assumes *trivial*: $\bigwedge a. a \in C - A \implies g\ a = \mathbf{1} \wedge \bigwedge b. b \in C - B \implies h\ b = \mathbf{1}$

assumes $F\ g\ C = F\ h\ C$

shows $F\ g\ A = F\ h\ B$

<proof>

end

43.2 Generalized summation over a set

context *comm-monoid-add*

begin

sublocale *sum*: *comm-monoid-set plus 0*

defines *sum* = *sum.F* $\langle \text{proof} \rangle$

abbreviation *Sum* (\sum - [1000] 999)

where $\sum A \equiv \text{sum } (\lambda x. x) A$

end

Now: lot's of fancy syntax. First, *sum* $(\lambda x. e) A$ is written $\sum_{x \in A}. e$.

syntax (*ASCII*)

-sum :: *pttrn* \Rightarrow 'a *set* \Rightarrow 'b \Rightarrow 'b::*comm-monoid-add* ((*3SUM* -::./ -) [0, 51, 10] 10)

syntax

-sum :: *pttrn* \Rightarrow 'a *set* \Rightarrow 'b \Rightarrow 'b::*comm-monoid-add* ((*2* \sum - \in ./ -) [0, 51, 10] 10)

translations — Beware of argument permutation!

$\sum_{i \in A}. b \equiv \text{CONST } \text{sum } (\lambda i. b) A$

Instead of $\sum_{x \in \{x. P\}}. e$ we introduce the shorter $\sum_{x|P}. e$.

syntax (*ASCII*)

-qsum :: *pttrn* \Rightarrow *bool* \Rightarrow 'a \Rightarrow 'a ((*3SUM* - |./ -) [0, 0, 10] 10)

syntax

-qsum :: *pttrn* \Rightarrow *bool* \Rightarrow 'a \Rightarrow 'a ((*2* \sum - | (-)./ -) [0, 0, 10] 10)

translations

$\sum_{x|P}. t \Rightarrow \text{CONST } \text{sum } (\lambda x. t) \{x. P\}$

$\langle \text{ML} \rangle$

lemma (*in comm-monoid-add*) *sum-image-gen*:

assumes *fin*: *finite S*

shows $\text{sum } g S = \text{sum } (\lambda y. \text{sum } g \{x. x \in S \wedge f x = y\}) (f ' S)$

$\langle \text{proof} \rangle$

43.2.1 Properties in more restricted classes of structures

lemma *sum-Un*:

finite A \Longrightarrow *finite B* \Longrightarrow $\text{sum } f (A \cup B) = \text{sum } f A + \text{sum } f B - \text{sum } f (A \cap B)$

for *f* :: 'b \Rightarrow 'a::*ab-group-add*

$\langle \text{proof} \rangle$

lemma *sum-Un2*:

assumes *finite* ($A \cup B$)

shows $\text{sum } f \ (A \cup B) = \text{sum } f \ (A - B) + \text{sum } f \ (B - A) + \text{sum } f \ (A \cap B)$
 $\langle \text{proof} \rangle$

lemma *sum-diff1*:

fixes $f :: 'b \Rightarrow 'a :: \text{ab-group-add}$

assumes *finite A*

shows $\text{sum } f \ (A - \{a\}) = (\text{if } a \in A \text{ then } \text{sum } f \ A - f \ a \text{ else } \text{sum } f \ A)$

$\langle \text{proof} \rangle$

lemma *sum-diff*:

fixes $f :: 'b \Rightarrow 'a :: \text{ab-group-add}$

assumes *finite A B \subseteq A*

shows $\text{sum } f \ (A - B) = \text{sum } f \ A - \text{sum } f \ B$

$\langle \text{proof} \rangle$

lemma (*in ordered-comm-monoid-add*) *sum-mono*:

$(\bigwedge i. i \in K \implies f \ i \leq g \ i) \implies (\sum i \in K. f \ i) \leq (\sum i \in K. g \ i)$

$\langle \text{proof} \rangle$

lemma (*in strict-ordered-comm-monoid-add*) *sum-strict-mono*:

assumes *finite A A \neq {}*

and $\bigwedge x. x \in A \implies f \ x < g \ x$

shows $\text{sum } f \ A < \text{sum } g \ A$

$\langle \text{proof} \rangle$

lemma *sum-strict-mono-ex1*:

fixes $f \ g :: 'i \Rightarrow 'a :: \text{ordered-cancel-comm-monoid-add}$

assumes *finite A*

and $\forall x \in A. f \ x \leq g \ x$

and $\exists a \in A. f \ a < g \ a$

shows $\text{sum } f \ A < \text{sum } g \ A$

$\langle \text{proof} \rangle$

lemma *sum-mono-inv*:

fixes $f \ g :: 'i \Rightarrow 'a :: \text{ordered-cancel-comm-monoid-add}$

assumes *eq: $\text{sum } f \ I = \text{sum } g \ I$*

assumes *le: $\bigwedge i. i \in I \implies f \ i \leq g \ i$*

assumes *i: $i \in I$*

assumes *I: finite I*

shows $f \ i = g \ i$

$\langle \text{proof} \rangle$

lemma *member-le-sum*:

fixes $f :: - \Rightarrow 'b :: \{\text{semiring-1}, \text{ordered-comm-monoid-add}\}$

assumes *i \in A*

and *le: $\bigwedge x. x \in A - \{i\} \implies 0 \leq f \ x$*

and *finite A*

shows $f \ i \leq \text{sum } f \ A$

$\langle \text{proof} \rangle$

lemma *sum-negf*: $(\sum x \in A. - f x) = - (\sum x \in A. f x)$
for $f :: 'b \Rightarrow 'a :: \text{ab-group-add}$
 $\langle \text{proof} \rangle$

lemma *sum-subtractf*: $(\sum x \in A. f x - g x) = (\sum x \in A. f x) - (\sum x \in A. g x)$
for $f g :: 'b \Rightarrow 'a :: \text{ab-group-add}$
 $\langle \text{proof} \rangle$

lemma *sum-subtractf-nat*:
 $(\bigwedge x. x \in A \implies g x \leq f x) \implies (\sum x \in A. f x - g x) = (\sum x \in A. f x) - (\sum x \in A. g x)$
for $f g :: 'a \Rightarrow \text{nat}$
 $\langle \text{proof} \rangle$

context *ordered-comm-monoid-add*
begin

lemma *sum-nonneg*: $(\bigwedge x. x \in A \implies 0 \leq f x) \implies 0 \leq \text{sum } f A$
 $\langle \text{proof} \rangle$

lemma *sum-nonpos*: $(\bigwedge x. x \in A \implies f x \leq 0) \implies \text{sum } f A \leq 0$
 $\langle \text{proof} \rangle$

lemma *sum-nonneg-eq-0-iff*:
 $\text{finite } A \implies (\bigwedge x. x \in A \implies 0 \leq f x) \implies \text{sum } f A = 0 \longleftrightarrow (\forall x \in A. f x = 0)$
 $\langle \text{proof} \rangle$

lemma *sum-nonneg-0*:
 $\text{finite } s \implies (\bigwedge i. i \in s \implies f i \geq 0) \implies (\sum i \in s. f i) = 0 \implies i \in s \implies f i = 0$
 $\langle \text{proof} \rangle$

lemma *sum-nonneg-leq-bound*:
assumes $\text{finite } s \bigwedge i. i \in s \implies f i \geq 0 \ (\sum i \in s. f i) = B \ i \in s$
shows $f i \leq B$
 $\langle \text{proof} \rangle$

lemma *sum-mono2*:
assumes $\text{fin}: \text{finite } B$
and $\text{sub}: A \subseteq B$
and $\text{nn}: \bigwedge b. b \in B - A \implies 0 \leq f b$
shows $\text{sum } f A \leq \text{sum } f B$
 $\langle \text{proof} \rangle$

lemma *sum-le-included*:
assumes $\text{finite } s \ \text{finite } t$
and $\forall y \in t. 0 \leq g y \ (\forall x \in s. \exists y \in t. i y = x \wedge f x \leq g y)$
shows $\text{sum } f s \leq \text{sum } g t$

$\langle \text{proof} \rangle$

end

lemma (in *canonically-ordered-monoid-add*) *sum-eq-0-iff* [simp]:
 $\text{finite } F \implies (\text{sum } f \ F = 0) = (\forall a \in F. f \ a = 0)$
 $\langle \text{proof} \rangle$

lemma *sum-distrib-left*: $r * \text{sum } f \ A = \text{sum } (\lambda n. r * f \ n) \ A$
for $f :: 'a \Rightarrow 'b::\text{semiring-0}$
 $\langle \text{proof} \rangle$

lemma *sum-distrib-right*: $\text{sum } f \ A * r = (\sum n \in A. f \ n * r)$
for $r :: 'a::\text{semiring-0}$
 $\langle \text{proof} \rangle$

lemma *sum-divide-distrib*: $\text{sum } f \ A / r = (\sum n \in A. f \ n / r)$
for $r :: 'a::\text{field}$
 $\langle \text{proof} \rangle$

lemma *sum-abs[iff]*: $|\text{sum } f \ A| \leq \text{sum } (\lambda i. |f \ i|) \ A$
for $f :: 'a \Rightarrow 'b::\text{ordered-ab-group-add-abs}$
 $\langle \text{proof} \rangle$

lemma *sum-abs-ge-zero[iff]*: $0 \leq \text{sum } (\lambda i. |f \ i|) \ A$
for $f :: 'a \Rightarrow 'b::\text{ordered-ab-group-add-abs}$
 $\langle \text{proof} \rangle$

lemma *abs-sum-abs[simp]*: $|\sum a \in A. |f \ a|| = (\sum a \in A. |f \ a|)$
for $f :: 'a \Rightarrow 'b::\text{ordered-ab-group-add-abs}$
 $\langle \text{proof} \rangle$

lemma *sum-diff1-ring*:
fixes $f :: 'b \Rightarrow 'a::\text{ring}$
assumes $\text{finite } A \ a \in A$
shows $\text{sum } f \ (A - \{a\}) = \text{sum } f \ A - (f \ a)$
 $\langle \text{proof} \rangle$

lemma *sum-product*:
fixes $f :: 'a \Rightarrow 'b::\text{semiring-0}$
shows $\text{sum } f \ A * \text{sum } g \ B = (\sum i \in A. \sum j \in B. f \ i * g \ j)$
 $\langle \text{proof} \rangle$

lemma *sum-mult-sum-if-inj*:
fixes $f :: 'a \Rightarrow 'b::\text{semiring-0}$
shows $\text{inj-on } (\lambda(a, b). f \ a * g \ b) \ (A \times B) \implies$
 $\text{sum } f \ A * \text{sum } g \ B = \text{sum id } \{f \ a * g \ b \mid a \in A \wedge b \in B\}$
 $\langle \text{proof} \rangle$

lemma *sum-SucD*: $\text{sum } f \ A = \text{Suc } n \implies \exists a \in A. \ 0 < f \ a$
 ⟨proof⟩

lemma *sum-eq-Suc0-iff*:
 $\text{finite } A \implies \text{sum } f \ A = \text{Suc } 0 \iff (\exists a \in A. f \ a = \text{Suc } 0 \wedge (\forall b \in A. a \neq b \longrightarrow f \ b = 0))$
 ⟨proof⟩

lemmas *sum-eq-1-iff* = *sum-eq-Suc0-iff*[*simplified One-nat-def*[*symmetric*]]

lemma *sum-Un-nat*:
 $\text{finite } A \implies \text{finite } B \implies \text{sum } f \ (A \cup B) = \text{sum } f \ A + \text{sum } f \ B - \text{sum } f \ (A \cap B)$
for $f :: 'a \Rightarrow \text{nat}$
 — For the natural numbers, we have subtraction.
 ⟨proof⟩

lemma *sum-diff1-nat*: $\text{sum } f \ (A - \{a\}) = (\text{if } a \in A \text{ then } \text{sum } f \ A - f \ a \text{ else } \text{sum } f \ A)$
for $f :: 'a \Rightarrow \text{nat}$
 ⟨proof⟩

lemma *sum-diff-nat*:
fixes $f :: 'a \Rightarrow \text{nat}$
assumes *finite B* **and** $B \subseteq A$
shows $\text{sum } f \ (A - B) = \text{sum } f \ A - \text{sum } f \ B$
 ⟨proof⟩

lemma *sum-comp-morphism*:
 $h \ 0 = 0 \implies (\bigwedge x \ y. h \ (x + y) = h \ x + h \ y) \implies \text{sum } (h \circ g) \ A = h \ (\text{sum } g \ A)$
 ⟨proof⟩

lemma (*in comm-semiring-1*) *dvd-sum*: $(\bigwedge a. a \in A \implies d \ \text{dvd} \ f \ a) \implies d \ \text{dvd} \ \text{sum } f \ A$
 ⟨proof⟩

lemma (*in ordered-comm-monoid-add*) *sum-pos*:
 $\text{finite } I \implies I \neq \{\} \implies (\bigwedge i. i \in I \implies 0 < f \ i) \implies 0 < \text{sum } f \ I$
 ⟨proof⟩

lemma (*in ordered-comm-monoid-add*) *sum-pos2*:
assumes $I: \text{finite } I \ i \in I \ 0 < f \ i \ \bigwedge i. i \in I \implies 0 \leq f \ i$
shows $0 < \text{sum } f \ I$
 ⟨proof⟩

lemma *sum-cong-Suc*:
assumes $0 \notin A \ \bigwedge x. \text{Suc } x \in A \implies f \ (\text{Suc } x) = g \ (\text{Suc } x)$
shows $\text{sum } f \ A = \text{sum } g \ A$
 ⟨proof⟩

43.2.2 Cardinality as special case of *sum***lemma** *card-eq-sum*: $\text{card } A = \text{sum } (\lambda x. 1) A$ *<proof>***lemma** *sum-constant* [*simp*]: $(\sum x \in A. y) = \text{of-nat } (\text{card } A) * y$ *<proof>***lemma** *sum-Suc*: $\text{sum } (\lambda x. \text{Suc}(f x)) A = \text{sum } f A + \text{card } A$ *<proof>***lemma** *sum-bounded-above*:**fixes** $K :: 'a::\{\text{semiring-1}, \text{ordered-comm-monoid-add}\}$ **assumes** $le: \bigwedge i. i \in A \implies f i \leq K$ **shows** $\text{sum } f A \leq \text{of-nat } (\text{card } A) * K$ *<proof>***lemma** *sum-bounded-above-strict*:**fixes** $K :: 'a::\{\text{ordered-cancel-comm-monoid-add}, \text{semiring-1}\}$ **assumes** $\bigwedge i. i \in A \implies f i < K \text{ card } A > 0$ **shows** $\text{sum } f A < \text{of-nat } (\text{card } A) * K$ *<proof>***lemma** *sum-bounded-below*:**fixes** $K :: 'a::\{\text{semiring-1}, \text{ordered-comm-monoid-add}\}$ **assumes** $le: \bigwedge i. i \in A \implies K \leq f i$ **shows** $\text{of-nat } (\text{card } A) * K \leq \text{sum } f A$ *<proof>***lemma** *card-UN-disjoint*:**assumes** *finite* I **and** $\forall i \in I. \text{finite } (A i)$ **and** $\forall i \in I. \forall j \in I. i \neq j \longrightarrow A i \cap A j = \{\}$ **shows** $\text{card } (\text{UNION } I A) = (\sum i \in I. \text{card } (A i))$ *<proof>***lemma** *card-Union-disjoint*: $\text{finite } C \implies \forall A \in C. \text{finite } A \implies \forall A \in C. \forall B \in C. A \neq B \longrightarrow A \cap B = \{\} \implies$ $\text{card } (\bigcup C) = \text{sum } \text{card } C$ *<proof>***lemma** *sum-multicount-gen*:**assumes** *finite* s *finite* t $\forall j \in t. (\text{card } \{i \in s. R i j\} = k j)$ **shows** $\text{sum } (\lambda i. (\text{card } \{j \in t. R i j\})) s = \text{sum } k t$ **(is ?l = ?r)***<proof>***lemma** *sum-multicount*:**assumes** *finite* S *finite* T $\forall j \in T. (\text{card } \{i \in S. R i j\} = k)$ **shows** $\text{sum } (\lambda i. \text{card } \{j \in T. R i j\}) S = k * \text{card } T$ **(is ?l = ?r)***<proof>*

43.2.3 Cardinality of products

lemma *card-SigmaI* [*simp*]:

$\text{finite } A \implies \forall a \in A. \text{finite } (B \ a) \implies \text{card } (\text{SIGMA } x: A. B \ x) = (\sum a \in A. \text{card } (B \ a))$
 $\langle \text{proof} \rangle$

lemma *card-cartesian-product*: $\text{card } (A \times B) = \text{card } A * \text{card } B$

$\langle \text{proof} \rangle$

lemma *card-cartesian-product-singleton*: $\text{card } (\{x\} \times A) = \text{card } A$

$\langle \text{proof} \rangle$

43.3 Generalized product over a set

context *comm-monoid-mult*

begin

sublocale *prod*: *comm-monoid-set times 1*

defines *prod* = *prod.F* $\langle \text{proof} \rangle$

abbreviation *Prod* ($\prod -$ [1000] 999)

where $\prod A \equiv \text{prod } (\lambda x. x) A$

end

syntax (*ASCII*)

-prod :: *pttrn* => 'a set => 'b => 'b::*comm-monoid-mult* ((4*PROD* -:/ -) [0, 51, 10] 10)

syntax

-prod :: *pttrn* => 'a set => 'b => 'b::*comm-monoid-mult* ((2 \prod -∈-/ -) [0, 51, 10] 10)

translations — Beware of argument permutation!

$\prod i \in A. b == \text{CONST } \text{prod } (\lambda i. b) A$

Instead of $\prod x \in \{x. P\}. e$ we introduce the shorter $\prod x | P. e$.

syntax (*ASCII*)

-qprod :: *pttrn* => bool => 'a => 'a ((4*PROD* - | / - / -) [0, 0, 10] 10)

syntax

-qprod :: *pttrn* => bool => 'a => 'a ((2 \prod - | (-) / -) [0, 0, 10] 10)

translations

$\prod x | P. t == \text{CONST } \text{prod } (\lambda x. t) \{x. P\}$

context *comm-monoid-mult*

begin

lemma *prod-dvd-prod*: $(\bigwedge a. a \in A \implies f \ a \ \text{dvd} \ g \ a) \implies \text{prod } f \ A \ \text{dvd} \ \text{prod } g \ A$

$\langle \text{proof} \rangle$

lemma *prod-dvd-prod-subset*: $\text{finite } B \implies A \subseteq B \implies \text{prod } f \ A \ \text{dvd} \ \text{prod } f \ B$
 $\langle \text{proof} \rangle$

end

43.3.1 Properties in more restricted classes of structures

context *linordered-nonzero-semiring*
begin

lemma *prod-ge-1*: $(\bigwedge x. x \in A \implies 1 \leq f \ x) \implies 1 \leq \text{prod } f \ A$
 $\langle \text{proof} \rangle$

lemma *prod-le-1*:
fixes $f :: 'b \Rightarrow 'a$
assumes $\bigwedge x. x \in A \implies 0 \leq f \ x \wedge f \ x \leq 1$
shows $\text{prod } f \ A \leq 1$
 $\langle \text{proof} \rangle$

end

context *comm-semiring-1*
begin

lemma *dvd-prod-eqI* [*intro*]:
assumes *finite* A **and** $a \in A$ **and** $b = f \ a$
shows $b \ \text{dvd} \ \text{prod } f \ A$
 $\langle \text{proof} \rangle$

lemma *dvd-prodI* [*intro*]: $\text{finite } A \implies a \in A \implies f \ a \ \text{dvd} \ \text{prod } f \ A$
 $\langle \text{proof} \rangle$

lemma *prod-zero*:
assumes *finite* A **and** $\exists a \in A. f \ a = 0$
shows $\text{prod } f \ A = 0$
 $\langle \text{proof} \rangle$

lemma *prod-dvd-prod-subset2*:
assumes *finite* B **and** $A \subseteq B$ **and** $\bigwedge a. a \in A \implies f \ a \ \text{dvd} \ g \ a$
shows $\text{prod } f \ A \ \text{dvd} \ \text{prod } g \ B$
 $\langle \text{proof} \rangle$

end

lemma (*in semidom*) *prod-zero-iff* [*simp*]:
fixes $f :: 'b \Rightarrow 'a$
assumes *finite* A
shows $\text{prod } f \ A = 0 \longleftrightarrow (\exists a \in A. f \ a = 0)$

$\langle \text{proof} \rangle$

lemma (in *semidom-divide*) *prod-diff1*:
 assumes *finite A* and $f\ a \neq 0$
 shows $\text{prod } f\ (A - \{a\}) = (\text{if } a \in A \text{ then } \text{prod } f\ A \text{ div } f\ a \text{ else } \text{prod } f\ A)$
 $\langle \text{proof} \rangle$

lemma *sum-zero-power* [simp]: $(\sum i \in A. c\ i * 0^i) = (\text{if } \text{finite } A \wedge 0 \in A \text{ then } c\ 0 \text{ else } 0)$
 for $c :: \text{nat} \Rightarrow 'a :: \text{division-ring}$
 $\langle \text{proof} \rangle$

lemma *sum-zero-power'* [simp]:
 $(\sum i \in A. c\ i * 0^i / d\ i) = (\text{if } \text{finite } A \wedge 0 \in A \text{ then } c\ 0 / d\ 0 \text{ else } 0)$
 for $c :: \text{nat} \Rightarrow 'a :: \text{field}$
 $\langle \text{proof} \rangle$

lemma (in *field*) *prod-inversef*: $\text{prod } (\text{inverse} \circ f)\ A = \text{inverse } (\text{prod } f\ A)$
 $\langle \text{proof} \rangle$

lemma (in *field*) *prod-dividef*: $(\prod x \in A. f\ x / g\ x) = \text{prod } f\ A / \text{prod } g\ A$
 $\langle \text{proof} \rangle$

lemma *prod-Un*:
 fixes $f :: 'b \Rightarrow 'a :: \text{field}$
 assumes *finite A* and *finite B*
 and $\forall x \in A \cap B. f\ x \neq 0$
 shows $\text{prod } f\ (A \cup B) = \text{prod } f\ A * \text{prod } f\ B / \text{prod } f\ (A \cap B)$
 $\langle \text{proof} \rangle$

lemma (in *linordered-semidom*) *prod-nonneg*: $(\forall a \in A. 0 \leq f\ a) \implies 0 \leq \text{prod } f\ A$
 $\langle \text{proof} \rangle$

lemma (in *linordered-semidom*) *prod-pos*: $(\forall a \in A. 0 < f\ a) \implies 0 < \text{prod } f\ A$
 $\langle \text{proof} \rangle$

lemma (in *linordered-semidom*) *prod-mono*:
 $\forall i \in A. 0 \leq f\ i \wedge f\ i \leq g\ i \implies \text{prod } f\ A \leq \text{prod } g\ A$
 $\langle \text{proof} \rangle$

lemma (in *linordered-semidom*) *prod-mono-strict*:
 assumes *finite A* $\forall i \in A. 0 \leq f\ i \wedge f\ i < g\ i$ $A \neq \{\}$
 shows $\text{prod } f\ A < \text{prod } g\ A$
 $\langle \text{proof} \rangle$

lemma (in *linordered-field*) *abs-prod*: $|\text{prod } f\ A| = (\prod x \in A. |f\ x|)$
 $\langle \text{proof} \rangle$

lemma *prod-eq-1-iff* [simp]: $\text{finite } A \implies \text{prod } f\ A = 1 \longleftrightarrow (\forall a \in A. f\ a = 1)$

```

for  $f :: 'a \Rightarrow \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma prod-pos-nat-iff [simp]:  $\text{finite } A \implies \text{prod } f A > 0 \iff (\forall a \in A. f a > 0)$ 
  for  $f :: 'a \Rightarrow \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma prod-constant:  $(\prod_{x \in A} y) = y \wedge \text{card } A$ 
  for  $y :: 'a :: \text{comm-monoid-mult}$ 
   $\langle \text{proof} \rangle$ 

lemma prod-power-distrib:  $\text{prod } f A \wedge n = \text{prod } (\lambda x. (f x) \wedge n) A$ 
  for  $f :: 'a \Rightarrow 'b :: \text{comm-semiring-1}$ 
   $\langle \text{proof} \rangle$ 

lemma power-sum:  $c \wedge (\sum_{a \in A} f a) = (\prod_{a \in A} c \wedge f a)$ 
   $\langle \text{proof} \rangle$ 

lemma prod-gen-delta:
  fixes  $b :: 'b \Rightarrow 'a :: \text{comm-monoid-mult}$ 
  assumes fin:  $\text{finite } S$ 
  shows  $\text{prod } (\lambda k. \text{if } k = a \text{ then } b k \text{ else } c) S =$ 
     $(\text{if } a \in S \text{ then } b a * c \wedge (\text{card } S - 1) \text{ else } c \wedge \text{card } S)$ 
   $\langle \text{proof} \rangle$ 

lemma sum-image-le:
  fixes  $g :: 'a \Rightarrow 'b :: \text{ordered-ab-group-add}$ 
  assumes  $\text{finite } I \wedge i. i \in I \implies 0 \leq g(f i)$ 
  shows  $\text{sum } g (f ' I) \leq \text{sum } (g \circ f) I$ 
   $\langle \text{proof} \rangle$ 

end

```

44 Equivalence Relations in Higher-Order Set Theory

```

theory Equiv-Relations
  imports Groups-Big
begin

```

44.1 Equivalence relations – set version

```

definition equiv ::  $'a \text{ set} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow \text{bool}$ 
  where  $\text{equiv } A r \iff \text{refl-on } A r \wedge \text{sym } r \wedge \text{trans } r$ 

```

```

lemma equivI:  $\text{refl-on } A r \implies \text{sym } r \implies \text{trans } r \implies \text{equiv } A r$ 
   $\langle \text{proof} \rangle$ 

```


lemma *equivE*:
assumes *equiv A r*
obtains *refl-on A r and sym r and trans r*
 $\langle \text{proof} \rangle$

Suppes, Theorem 70: r is an equiv relation iff $r^{-1} \circ r = r$.

First half: $\text{equiv } A \ r \implies r^{-1} \circ r = r$.

lemma *sym-trans-comp-subset*: $\text{sym } r \implies \text{trans } r \implies r^{-1} \circ r \subseteq r$
 $\langle \text{proof} \rangle$

lemma *refl-on-comp-subset*: $\text{refl-on } A \ r \implies r \subseteq r^{-1} \circ r$
 $\langle \text{proof} \rangle$

lemma *equiv-comp-eq*: $\text{equiv } A \ r \implies r^{-1} \circ r = r$
 $\langle \text{proof} \rangle$

Second half.

lemma *comp-equivI*: $r^{-1} \circ r = r \implies \text{Domain } r = A \implies \text{equiv } A \ r$
 $\langle \text{proof} \rangle$

44.2 Equivalence classes

lemma *equiv-class-subset*: $\text{equiv } A \ r \implies (a, b) \in r \implies r^{\prime\prime}\{a\} \subseteq r^{\prime\prime}\{b\}$
 — lemma for the next result
 $\langle \text{proof} \rangle$

theorem *equiv-class-eq*: $\text{equiv } A \ r \implies (a, b) \in r \implies r^{\prime\prime}\{a\} = r^{\prime\prime}\{b\}$
 $\langle \text{proof} \rangle$

lemma *equiv-class-self*: $\text{equiv } A \ r \implies a \in A \implies a \in r^{\prime\prime}\{a\}$
 $\langle \text{proof} \rangle$

lemma *subset-equiv-class*: $\text{equiv } A \ r \implies r^{\prime\prime}\{b\} \subseteq r^{\prime\prime}\{a\} \implies b \in A \implies (a, b) \in r$
 — lemma for the next result
 $\langle \text{proof} \rangle$

lemma *eq-equiv-class*: $r^{\prime\prime}\{a\} = r^{\prime\prime}\{b\} \implies \text{equiv } A \ r \implies b \in A \implies (a, b) \in r$
 $\langle \text{proof} \rangle$

lemma *equiv-class-nondisjoint*: $\text{equiv } A \ r \implies x \in (r^{\prime\prime}\{a\} \cap r^{\prime\prime}\{b\}) \implies (a, b) \in r$
 $\langle \text{proof} \rangle$

lemma *equiv-type*: $\text{equiv } A \ r \implies r \subseteq A \times A$
 $\langle \text{proof} \rangle$

lemma *equiv-class-eq-iff*: $\text{equiv } A \ r \implies (x, y) \in r \iff r^{\prime\prime}\{x\} = r^{\prime\prime}\{y\} \wedge x \in A \wedge y \in A$

$\langle \text{proof} \rangle$

lemma *eq-equiv-class-iff*: $\text{equiv } A \ r \implies x \in A \implies y \in A \implies r''\{x\} = r''\{y\}$
 $\longleftrightarrow (x, y) \in r$
 $\langle \text{proof} \rangle$

44.3 Quotients

definition *quotient* :: $'a \text{ set} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow 'a \text{ set set}$ (**infixl** $'//'$ 90)
where $A//r = (\bigcup x \in A. \{r''\{x\}\})$ — set of equiv classes

lemma *quotientI*: $x \in A \implies r''\{x\} \in A//r$
 $\langle \text{proof} \rangle$

lemma *quotientE*: $X \in A//r \implies (\bigwedge x. X = r''\{x\} \implies x \in A \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *Union-quotient*: $\text{equiv } A \ r \implies \bigcup (A//r) = A$
 $\langle \text{proof} \rangle$

lemma *quotient-disj*: $\text{equiv } A \ r \implies X \in A//r \implies Y \in A//r \implies X = Y \vee X \cap Y = \{\}$
 $\langle \text{proof} \rangle$

lemma *quotient-eqI*:
 $\text{equiv } A \ r \implies X \in A//r \implies Y \in A//r \implies x \in X \implies y \in Y \implies (x, y) \in r \implies X = Y$
 $\langle \text{proof} \rangle$

lemma *quotient-eq-iff*:
 $\text{equiv } A \ r \implies X \in A//r \implies Y \in A//r \implies x \in X \implies y \in Y \implies X = Y \longleftrightarrow (x, y) \in r$
 $\langle \text{proof} \rangle$

lemma *eq-equiv-class-iff2*: $\text{equiv } A \ r \implies x \in A \implies y \in A \implies \{x\}//r = \{y\}//r \longleftrightarrow (x, y) \in r$
 $\langle \text{proof} \rangle$

lemma *quotient-empty* [*simp*]: $\{\}//r = \{\}$
 $\langle \text{proof} \rangle$

lemma *quotient-is-empty* [*iff*]: $A//r = \{\} \longleftrightarrow A = \{\}$
 $\langle \text{proof} \rangle$

lemma *quotient-is-empty2* [*iff*]: $\{\} = A//r \longleftrightarrow A = \{\}$
 $\langle \text{proof} \rangle$

lemma *singleton-quotient*: $\{x\}//r = \{r''\{x\}\}$
 $\langle \text{proof} \rangle$

lemma *quotient-diff1*: *inj-on* $(\lambda a. \{a\} // r) A \implies a \in A \implies (A - \{a\}) // r = A // r - \{a\} // r$
 ⟨proof⟩

44.4 Refinement of one equivalence relation WRT another

lemma *refines-equiv-class-eq*: $R \subseteq S \implies \text{equiv } A \ R \implies \text{equiv } A \ S \implies R''(S''\{a\}) = S''\{a\}$
 ⟨proof⟩

lemma *refines-equiv-class-eq2*: $R \subseteq S \implies \text{equiv } A \ R \implies \text{equiv } A \ S \implies S''(R''\{a\}) = S''\{a\}$
 ⟨proof⟩

lemma *refines-equiv-image-eq*: $R \subseteq S \implies \text{equiv } A \ R \implies \text{equiv } A \ S \implies (\lambda X. S''X) \cdot (A // R) = A // S$
 ⟨proof⟩

lemma *finite-refines-finite*:
 $\text{finite } (A // R) \implies R \subseteq S \implies \text{equiv } A \ R \implies \text{equiv } A \ S \implies \text{finite } (A // S)$
 ⟨proof⟩

lemma *finite-refines-card-le*:
 $\text{finite } (A // R) \implies R \subseteq S \implies \text{equiv } A \ R \implies \text{equiv } A \ S \implies \text{card } (A // S) \leq \text{card } (A // R)$
 ⟨proof⟩

44.5 Defining unary operations upon equivalence classes

A congruence-preserving function.

definition *congruent* :: $('a \times 'a) \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$
where *congruent* $r \ f \longleftrightarrow (\forall (y, z) \in r. f \ y = f \ z)$

lemma *congruentI*: $(\bigwedge y \ z. (y, z) \in r \implies f \ y = f \ z) \implies \text{congruent } r \ f$
 ⟨proof⟩

lemma *congruentD*: $\text{congruent } r \ f \implies (y, z) \in r \implies f \ y = f \ z$
 ⟨proof⟩

abbreviation *RESPECTS* :: $('a \Rightarrow 'b) \Rightarrow ('a \times 'a) \text{ set} \Rightarrow \text{bool}$ (**infixr** *respects* 80)
where $f \text{ respects } r \equiv \text{congruent } r \ f$

lemma *UN-constant-eq*: $a \in A \implies \forall y \in A. f \ y = c \implies (\bigcup y \in A. f \ y) = c$
 — lemma required to prove *UN-equiv-class*
 ⟨proof⟩

lemma *UN-equiv-class*: $\text{equiv } A \ r \implies f \text{ respects } r \implies a \in A \implies (\bigcup x \in r \cdot \{a\}. f \ x) = f \ a$
 — Conversion rule
 $\langle \text{proof} \rangle$

lemma *UN-equiv-class-type*:
 $\text{equiv } A \ r \implies f \text{ respects } r \implies X \in A // r \implies (\bigwedge x. x \in A \implies f \ x \in B) \implies (\bigcup x \in X. f \ x) \in B$
 $\langle \text{proof} \rangle$

Sufficient conditions for injectiveness. Could weaken premises! major premise could be an inclusion; *bcong* could be $\bigwedge y. y \in A \implies f \ y \in B$.

lemma *UN-equiv-class-inject*:
 $\text{equiv } A \ r \implies f \text{ respects } r \implies$
 $(\bigcup x \in X. f \ x) = (\bigcup y \in Y. f \ y) \implies X \in A // r \implies Y \in A // r$
 $\implies (\bigwedge x \ y. x \in A \implies y \in A \implies f \ x = f \ y \implies (x, y) \in r)$
 $\implies X = Y$
 $\langle \text{proof} \rangle$

44.6 Defining binary operations upon equivalence classes

A congruence-preserving function of two arguments.

definition *congruent2* :: $('a \times 'a) \text{ set} \Rightarrow ('b \times 'b) \text{ set} \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow \text{bool}$
where $\text{congruent2 } r1 \ r2 \ f \longleftrightarrow (\forall (y1, z1) \in r1. \forall (y2, z2) \in r2. f \ y1 \ y2 = f \ z1 \ z2)$

lemma *congruent2I'*:
assumes $\bigwedge y1 \ z1 \ y2 \ z2. (y1, z1) \in r1 \implies (y2, z2) \in r2 \implies f \ y1 \ y2 = f \ z1 \ z2$
shows $\text{congruent2 } r1 \ r2 \ f$
 $\langle \text{proof} \rangle$

lemma *congruent2D*: $\text{congruent2 } r1 \ r2 \ f \implies (y1, z1) \in r1 \implies (y2, z2) \in r2 \implies f \ y1 \ y2 = f \ z1 \ z2$
 $\langle \text{proof} \rangle$

Abbreviation for the common case where the relations are identical.

abbreviation *RESPECTS2*:: $('a \Rightarrow 'a \Rightarrow 'b) \Rightarrow ('a \times 'a) \text{ set} \Rightarrow \text{bool}$ (**infixr** *respects2* 80)
where $f \text{ respects2 } r \equiv \text{congruent2 } r \ r \ f$

lemma *congruent2-implies-congruent*:
 $\text{equiv } A \ r1 \implies \text{congruent2 } r1 \ r2 \ f \implies a \in A \implies \text{congruent } r2 \ (f \ a)$
 $\langle \text{proof} \rangle$

lemma *congruent2-implies-congruent-UN*:
 $\text{equiv } A1 \ r1 \implies \text{equiv } A2 \ r2 \implies \text{congruent2 } r1 \ r2 \ f \implies a \in A2 \implies \text{congruent } r1 \ (\lambda x1. \bigcup x2 \in r2 \cdot \{a\}. f \ x1 \ x2)$

<proof>

lemma *UN-equiv-class2:*

equiv A1 r1 \implies equiv A2 r2 \implies congruent2 r1 r2 f \implies a1 \in A1 \implies a2 \in A2
 \implies
($\bigcup x1 \in r1^{\text{“}}\{a1\}. \bigcup x2 \in r2^{\text{“}}\{a2\}. f\ x1\ x2$) = f a1 a2
<proof>

lemma *UN-equiv-class-type2:*

equiv A1 r1 \implies equiv A2 r2 \implies congruent2 r1 r2 f
 $\implies X1 \in A1 // r1 \implies X2 \in A2 // r2$
 $\implies (\bigwedge x1\ x2. x1 \in A1 \implies x2 \in A2 \implies f\ x1\ x2 \in B)$
 $\implies (\bigcup x1 \in X1. \bigcup x2 \in X2. f\ x1\ x2) \in B$
<proof>

lemma *UN-UN-split-split-eq:*

($\bigcup (x1, x2) \in X. \bigcup (y1, y2) \in Y. A\ x1\ x2\ y1\ y2$) =
($\bigcup x \in X. \bigcup y \in Y. (\lambda(x1, x2). (\lambda(y1, y2). A\ x1\ x2\ y1\ y2)\ y)\ x$)
 — Allows a natural expression of binary operators,
 — without explicit calls to *split*
<proof>

lemma *congruent2I:*

equiv A1 r1 \implies equiv A2 r2
 $\implies (\bigwedge y\ z\ w. w \in A2 \implies (y, z) \in r1 \implies f\ y\ w = f\ z\ w)$
 $\implies (\bigwedge y\ z\ w. w \in A1 \implies (y, z) \in r2 \implies f\ w\ y = f\ w\ z)$
 \implies *congruent2 r1 r2 f*
 — Suggested by John Harrison – the two subproofs may be
 — *much* simpler than the direct proof.
<proof>

lemma *congruent2-commuteI:*

assumes *equivA: equiv A r*
and *commute: $\bigwedge y\ z. y \in A \implies z \in A \implies f\ y\ z = f\ z\ y$*
and *cong: $\bigwedge y\ z\ w. w \in A \implies (y, z) \in r \implies f\ w\ y = f\ w\ z$*
shows *f respects2 r*
<proof>

44.7 Quotients and finiteness

Suggested by Florian Kammüller

lemma *finite-quotient: finite A \implies r \subseteq A \times A \implies finite (A//r)*

— recall *equiv ?A ?r \implies ?r \subseteq ?A \times ?A*
<proof>

lemma *finite-equiv-class: finite A \implies r \subseteq A \times A \implies X \in A//r \implies finite X*

<proof>

lemma *equiv-imp-dvd-card: finite A \implies equiv A r \implies $\forall X \in A//r. k\ dvd\ card\ X$*

$\implies k \text{ dvd } \text{card } A$
 $\langle \text{proof} \rangle$

lemma *card-quotient-disjoint*: $\text{finite } A \implies \text{inj-on } (\lambda x. \{x\} // r) A \implies \text{card } (A//r) = \text{card } A$
 $\langle \text{proof} \rangle$

44.8 Projection

definition *proj* :: $('b \times 'a) \text{ set} \Rightarrow 'b \Rightarrow 'a \text{ set}$
where $\text{proj } r \ x = r^{-1} \{x\}$

lemma *proj-preserves*: $x \in A \implies \text{proj } r \ x \in A//r$
 $\langle \text{proof} \rangle$

lemma *proj-in-iff*:
assumes *equiv* $A \ r$
shows $\text{proj } r \ x \in A//r \longleftrightarrow x \in A$
(is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

lemma *proj-iff*: $\text{equiv } A \ r \implies \{x, y\} \subseteq A \implies \text{proj } r \ x = \text{proj } r \ y \longleftrightarrow (x, y) \in r$
 $\langle \text{proof} \rangle$

lemma *proj-image*: $\text{proj } r \ ` A = A//r$
 $\langle \text{proof} \rangle$

lemma *in-quotient-imp-non-empty*: $\text{equiv } A \ r \implies X \in A//r \implies X \neq \{\}$
 $\langle \text{proof} \rangle$

lemma *in-quotient-imp-in-rel*: $\text{equiv } A \ r \implies X \in A//r \implies \{x, y\} \subseteq X \implies (x, y) \in r$
 $\langle \text{proof} \rangle$

lemma *in-quotient-imp-closed*: $\text{equiv } A \ r \implies X \in A//r \implies x \in X \implies (x, y) \in r \implies y \in X$
 $\langle \text{proof} \rangle$

lemma *in-quotient-imp-subset*: $\text{equiv } A \ r \implies X \in A//r \implies X \subseteq A$
 $\langle \text{proof} \rangle$

44.9 Equivalence relations – predicate version

Partial equivalences.

definition *part-equivp* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where $\text{part-equivp } R \longleftrightarrow (\exists x. R \ x \ x) \wedge (\forall x \ y. R \ x \ y \longleftrightarrow R \ x \ x \wedge R \ y \ y \wedge R \ x = R \ y)$

— John-Harrison-style characterization

lemma *part-equivpI*: $\exists x. R\ x\ x \implies \text{symp}\ R \implies \text{transp}\ R \implies \text{part-equivp}\ R$
 $\langle \text{proof} \rangle$

lemma *part-equivpE*:
assumes *part-equivp* R
obtains x **where** $R\ x\ x$ **and** *symp* R **and** *transp* R
 $\langle \text{proof} \rangle$

lemma *part-equivp-refl-symp-transp*: $\text{part-equivp}\ R \longleftrightarrow (\exists x. R\ x\ x) \wedge \text{symp}\ R \wedge \text{transp}\ R$
 $\langle \text{proof} \rangle$

lemma *part-equivp-symp*: $\text{part-equivp}\ R \implies R\ x\ y \implies R\ y\ x$
 $\langle \text{proof} \rangle$

lemma *part-equivp-transp*: $\text{part-equivp}\ R \implies R\ x\ y \implies R\ y\ z \implies R\ x\ z$
 $\langle \text{proof} \rangle$

lemma *part-equivp-typedef*: $\text{part-equivp}\ R \implies \exists d. d \in \{c. \exists x. R\ x\ x \wedge c = \text{Collect}\ (R\ x)\}$
 $\langle \text{proof} \rangle$

Total equivalences.

definition *equivp* :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where *equivp* $R \longleftrightarrow (\forall x\ y. R\ x\ y = (R\ x = R\ y))$ — John-Harrison-style
characterization

lemma *equivpI*: $\text{reflp}\ R \implies \text{symp}\ R \implies \text{transp}\ R \implies \text{equivp}\ R$
 $\langle \text{proof} \rangle$

lemma *equivpE*:
assumes *equivp* R
obtains *reflp* R **and** *symp* R **and** *transp* R
 $\langle \text{proof} \rangle$

lemma *equivp-implies-part-equivp*: $\text{equivp}\ R \implies \text{part-equivp}\ R$
 $\langle \text{proof} \rangle$

lemma *equivp-equiv*: $\text{equiv}\ \text{UNIV}\ A \longleftrightarrow \text{equivp}\ (\lambda x\ y. (x, y) \in A)$
 $\langle \text{proof} \rangle$

lemma *equivp-reflp-symp-transp*: $\text{equivp}\ R \longleftrightarrow \text{reflp}\ R \wedge \text{symp}\ R \wedge \text{transp}\ R$
 $\langle \text{proof} \rangle$

lemma *identity-equivp*: $\text{equivp}\ (\text{op} =)$
 $\langle \text{proof} \rangle$

lemma *equivp-reflp*: $\text{equivp } R \implies R \ x \ x$
 $\langle \text{proof} \rangle$

lemma *equivp-symp*: $\text{equivp } R \implies R \ x \ y \implies R \ y \ x$
 $\langle \text{proof} \rangle$

lemma *equivp-transp*: $\text{equivp } R \implies R \ x \ y \implies R \ y \ z \implies R \ x \ z$
 $\langle \text{proof} \rangle$

hide-const (open) *proj*

end

45 Lifting package

theory *Lifting*
imports *Equiv-Relations Transfer*
keywords
parametric and
print-quot-maps print-quotients :: diag and
lift-definition :: thy-goal and
setup-lifting lifting-forget lifting-update :: thy-decl
begin

45.1 Function map

context includes *lifting-syntax*
begin

lemma *map-fun-id*:
 $(id \dashrightarrow id) = id$
 $\langle \text{proof} \rangle$

45.2 Quotient Predicate

definition

$\text{Quotient } R \text{ Abs Rep } T \iff$
 $(\forall a. \text{Abs } (\text{Rep } a) = a) \wedge$
 $(\forall a. R \ (\text{Rep } a) \ (\text{Rep } a)) \wedge$
 $(\forall r \ s. R \ r \ s \iff R \ r \ r \wedge R \ s \ s \wedge \text{Abs } r = \text{Abs } s) \wedge$
 $T = (\lambda x \ y. R \ x \ x \wedge \text{Abs } x = y)$

lemma *QuotientI*:
assumes $\bigwedge a. \text{Abs } (\text{Rep } a) = a$
and $\bigwedge a. R \ (\text{Rep } a) \ (\text{Rep } a)$
and $\bigwedge r \ s. R \ r \ s \iff R \ r \ r \wedge R \ s \ s \wedge \text{Abs } r = \text{Abs } s$
and $T = (\lambda x \ y. R \ x \ x \wedge \text{Abs } x = y)$
shows $\text{Quotient } R \text{ Abs Rep } T$
 $\langle \text{proof} \rangle$

context

fixes $R\ Abs\ Rep\ T$

assumes a : *Quotient* $R\ Abs\ Rep\ T$

begin

lemma *Quotient-abs-rep*: $Abs\ (Rep\ a) = a$
 $\langle proof \rangle$

lemma *Quotient-rep-reflp*: $R\ (Rep\ a)\ (Rep\ a)$
 $\langle proof \rangle$

lemma *Quotient-rel*:

$R\ r\ r \wedge R\ s\ s \wedge Abs\ r = Abs\ s \longleftrightarrow R\ r\ s$ — orientation does not loop on
 rewriting
 $\langle proof \rangle$

lemma *Quotient-cr-rel*: $T = (\lambda x\ y. R\ x\ x \wedge Abs\ x = y)$
 $\langle proof \rangle$

lemma *Quotient-refl1*: $R\ r\ s \Longrightarrow R\ r\ r$
 $\langle proof \rangle$

lemma *Quotient-refl2*: $R\ r\ s \Longrightarrow R\ s\ s$
 $\langle proof \rangle$

lemma *Quotient-rel-rep*: $R\ (Rep\ a)\ (Rep\ b) \longleftrightarrow a = b$
 $\langle proof \rangle$

lemma *Quotient-rep-abs*: $R\ r\ r \Longrightarrow R\ (Rep\ (Abs\ r))\ r$
 $\langle proof \rangle$

lemma *Quotient-rep-abs-eq*: $R\ t\ t \Longrightarrow R \leq op= \Longrightarrow Rep\ (Abs\ t) = t$
 $\langle proof \rangle$

lemma *Quotient-rep-abs-fold-unmap*:

assumes $x' \equiv Abs\ x$ **and** $R\ x\ x$ **and** $Rep\ x' \equiv Rep'\ x'$

shows $R\ (Rep'\ x')\ x$

$\langle proof \rangle$

lemma *Quotient-Rep-eq*:

assumes $x' \equiv Abs\ x$

shows $Rep\ x' \equiv Rep\ x'$

$\langle proof \rangle$

lemma *Quotient-rel-abs*: $R\ r\ s \Longrightarrow Abs\ r = Abs\ s$
 $\langle proof \rangle$

lemma *Quotient-rel-abs2*:

assumes $R \text{ (Rep } x) \ y$
shows $x = \text{Abs } y$
 $\langle \text{proof} \rangle$

lemma *Quotient-symp*: $\text{symp } R$
 $\langle \text{proof} \rangle$

lemma *Quotient-transp*: $\text{transp } R$
 $\langle \text{proof} \rangle$

lemma *Quotient-part-equivp*: $\text{part-equivp } R$
 $\langle \text{proof} \rangle$

end

lemma *identity-quotient*: $\text{Quotient } (op =) \text{ id id } (op =)$
 $\langle \text{proof} \rangle$

TODO: Use one of these alternatives as the real definition.

lemma *Quotient-alt-def*:
 $\text{Quotient } R \text{ Abs Rep } T \longleftrightarrow$
 $(\forall a \ b. \ T \ a \ b \longrightarrow \text{Abs } a = b) \wedge$
 $(\forall b. \ T \ (\text{Rep } b) \ b) \wedge$
 $(\forall x \ y. \ R \ x \ y \longleftrightarrow T \ x \ (\text{Abs } x) \wedge T \ y \ (\text{Abs } y) \wedge \text{Abs } x = \text{Abs } y)$
 $\langle \text{proof} \rangle$

lemma *Quotient-alt-def2*:
 $\text{Quotient } R \text{ Abs Rep } T \longleftrightarrow$
 $(\forall a \ b. \ T \ a \ b \longrightarrow \text{Abs } a = b) \wedge$
 $(\forall b. \ T \ (\text{Rep } b) \ b) \wedge$
 $(\forall x \ y. \ R \ x \ y \longleftrightarrow T \ x \ (\text{Abs } y) \wedge T \ y \ (\text{Abs } x))$
 $\langle \text{proof} \rangle$

lemma *Quotient-alt-def3*:
 $\text{Quotient } R \text{ Abs Rep } T \longleftrightarrow$
 $(\forall a \ b. \ T \ a \ b \longrightarrow \text{Abs } a = b) \wedge (\forall b. \ T \ (\text{Rep } b) \ b) \wedge$
 $(\forall x \ y. \ R \ x \ y \longleftrightarrow (\exists z. \ T \ x \ z \wedge T \ y \ z))$
 $\langle \text{proof} \rangle$

lemma *Quotient-alt-def4*:
 $\text{Quotient } R \text{ Abs Rep } T \longleftrightarrow$
 $(\forall a \ b. \ T \ a \ b \longrightarrow \text{Abs } a = b) \wedge (\forall b. \ T \ (\text{Rep } b) \ b) \wedge R = T \text{ OO conversep } T$
 $\langle \text{proof} \rangle$

lemma *Quotient-alt-def5*:
 $\text{Quotient } R \text{ Abs Rep } T \longleftrightarrow$
 $T \leq \text{BNF-Def.Grp UNIV Abs} \wedge \text{BNF-Def.Grp UNIV Rep} \leq T^{-1-1} \wedge R = T$
 $\text{OO } T^{-1-1}$
 $\langle \text{proof} \rangle$

lemma *fun-quotient*:

assumes 1: *Quotient* $R1$ $abs1$ $rep1$ $T1$
 assumes 2: *Quotient* $R2$ $abs2$ $rep2$ $T2$
 shows *Quotient* $(R1 ==> R2)$ $(rep1 ----> abs2)$ $(abs1 ----> rep2)$ $(T1 ==> T2)$
 $\langle proof \rangle$

lemma *apply-rsp*:

fixes $f g :: 'a \Rightarrow 'c$
 assumes q : *Quotient* $R1$ $Abs1$ $Rep1$ $T1$
 and a : $(R1 ==> R2)$ $f g$ $R1$ x y
 shows $R2$ $(f x)$ $(g y)$
 $\langle proof \rangle$

lemma *apply-rsp'*:

assumes a : $(R1 ==> R2)$ $f g$ $R1$ x y
 shows $R2$ $(f x)$ $(g y)$
 $\langle proof \rangle$

lemma *apply-rsp''*:

assumes *Quotient* R Abs Rep T
 and $(R ==> S)$ $f f$
 shows S $(f (Rep x))$ $(f (Rep x))$
 $\langle proof \rangle$

45.3 Quotient composition

lemma *Quotient-compose*:

assumes 1: *Quotient* $R1$ $Abs1$ $Rep1$ $T1$
 assumes 2: *Quotient* $R2$ $Abs2$ $Rep2$ $T2$
 shows *Quotient* $(T1 \circ\circ R2 \circ\circ conversep T1)$ $(Abs2 \circ Abs1)$ $(Rep1 \circ Rep2)$ $(T1 \circ\circ T2)$
 $\langle proof \rangle$

lemma *equivp-reflp2*:

$equivp R \implies reflp R$
 $\langle proof \rangle$

45.4 Respects predicate

definition *Respects* :: $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \text{ set}$
 where $Respects R = \{x. R x x\}$

lemma *in-respects*: $x \in Respects R \longleftrightarrow R x x$
 $\langle proof \rangle$

lemma *UNIV-typedef-to-Quotient*:

assumes *type-definition* Rep Abs $UNIV$
 and $T\text{-def}$: $T \equiv (\lambda x y. x = Rep y)$

shows *Quotient* (*op* =) *Abs Rep T*
 ⟨*proof*⟩

lemma *UNIV-typedef-to-equivp*:
fixes *Abs* :: 'a \Rightarrow 'b
and *Rep* :: 'b \Rightarrow 'a
assumes *type-definition Rep Abs* (*UNIV*::'a set)
shows *equivp* (*op*::'a \Rightarrow 'a \Rightarrow bool)
 ⟨*proof*⟩

lemma *typedef-to-Quotient*:
assumes *type-definition Rep Abs S*
and *T-def*: *T* \equiv ($\lambda x y. x = \text{Rep } y$)
shows *Quotient* (*eq-onp* ($\lambda x. x \in S$)) *Abs Rep T*
 ⟨*proof*⟩

lemma *typedef-to-part-equivp*:
assumes *type-definition Rep Abs S*
shows *part-equivp* (*eq-onp* ($\lambda x. x \in S$))
 ⟨*proof*⟩

lemma *open-typedef-to-Quotient*:
assumes *type-definition Rep Abs* {*x*. *P x*}
and *T-def*: *T* \equiv ($\lambda x y. x = \text{Rep } y$)
shows *Quotient* (*eq-onp P*) *Abs Rep T*
 ⟨*proof*⟩

lemma *open-typedef-to-part-equivp*:
assumes *type-definition Rep Abs* {*x*. *P x*}
shows *part-equivp* (*eq-onp P*)
 ⟨*proof*⟩

lemma *type-definition-Quotient-not-empty*: *Quotient* (*eq-onp P*) *Abs Rep T* \implies
 $\exists x. P x$
 ⟨*proof*⟩

lemma *type-definition-Quotient-not-empty-witness*: *Quotient* (*eq-onp P*) *Abs Rep*
T $\implies P (\text{Rep undefined})$
 ⟨*proof*⟩

Generating transfer rules for quotients.

context
fixes *R Abs Rep T*
assumes *I*: *Quotient R Abs Rep T*
begin

lemma *Quotient-right-unique*: *right-unique T*
 ⟨*proof*⟩

lemma *Quotient-right-total: right-total T*
 $\langle \text{proof} \rangle$

lemma *Quotient-rel-eq-transfer: $(T \implies T \implies op =) R (op =)$*
 $\langle \text{proof} \rangle$

lemma *Quotient-abs-induct:*
assumes $\bigwedge y. R\ y\ y \implies P\ (Abs\ y)$ **shows** $P\ x$
 $\langle \text{proof} \rangle$

end

Generating transfer rules for total quotients.

context
fixes $R\ Abs\ Rep\ T$
assumes 1: *Quotient R Abs Rep T* **and** 2: *reflp R*
begin

lemma *Quotient-left-total: left-total T*
 $\langle \text{proof} \rangle$

lemma *Quotient-bi-total: bi-total T*
 $\langle \text{proof} \rangle$

lemma *Quotient-id-abs-transfer: $(op = \implies T) (\lambda x. x)\ Abs$*
 $\langle \text{proof} \rangle$

lemma *Quotient-total-abs-induct: $(\bigwedge y. P\ (Abs\ y)) \implies P\ x$*
 $\langle \text{proof} \rangle$

lemma *Quotient-total-abs-eq-iff: $Abs\ x = Abs\ y \longleftrightarrow R\ x\ y$*
 $\langle \text{proof} \rangle$

end

Generating transfer rules for a type defined with *typedef*.

context
fixes $Rep\ Abs\ A\ T$
assumes *type: type-definition Rep Abs A*
assumes *T-def: $T \equiv (\lambda(x::'a)\ (y::'b). x = Rep\ y)$*
begin

lemma *typedef-left-unique: left-unique T*
 $\langle \text{proof} \rangle$

lemma *typedef-bi-unique: bi-unique T*
 $\langle \text{proof} \rangle$

lemma *typedef-right-unique: right-unique T*
 ⟨proof⟩

lemma *typedef-right-total: right-total T*
 ⟨proof⟩

lemma *typedef-rep-transfer: (T ==> op =) (λx. x) Rep*
 ⟨proof⟩

end

Generating the correspondence rule for a constant defined with *lift-definition*.

lemma *Quotient-to-transfer:*
 assumes *Quotient R Abs Rep T and R c c and c' ≡ Abs c*
 shows *T c c'*
 ⟨proof⟩

Proving reflexivity

lemma *Quotient-to-left-total:*
 assumes *q: Quotient R Abs Rep T*
 and *r-R: reflp R*
 shows *left-total T*
 ⟨proof⟩

lemma *Quotient-composition-ge-eq:*
 assumes *left-total T*
 assumes *R ≥ op=*
 shows *(T OO R OO T⁻¹⁻¹) ≥ op=*
 ⟨proof⟩

lemma *Quotient-composition-le-eq:*
 assumes *left-unique T*
 assumes *R ≤ op=*
 shows *(T OO R OO T⁻¹⁻¹) ≤ op=*
 ⟨proof⟩

lemma *eq-onp-le-eq:*
eq-onp P ≤ op= ⟨proof⟩

lemma *reflp-ge-eq:*
reflp R ⇒ R ≥ op= ⟨proof⟩

Proving a parametrized correspondence relation

definition *POS :: ('a ⇒ 'b ⇒ bool) ⇒ ('a ⇒ 'b ⇒ bool) ⇒ bool* **where**
POS A B ≡ A ≤ B

definition *NEG :: ('a ⇒ 'b ⇒ bool) ⇒ ('a ⇒ 'b ⇒ bool) ⇒ bool* **where**
NEG A B ≡ B ≤ A

lemma *pos-OO-eq*:
 shows $POS (A \text{ OO } op=) A$
 $\langle proof \rangle$

lemma *pos-eq-OO*:
 shows $POS (op= \text{ OO } A) A$
 $\langle proof \rangle$

lemma *neg-OO-eq*:
 shows $NEG (A \text{ OO } op=) A$
 $\langle proof \rangle$

lemma *neg-eq-OO*:
 shows $NEG (op= \text{ OO } A) A$
 $\langle proof \rangle$

lemma *POS-trans*:
 assumes $POS A B$
 assumes $POS B C$
 shows $POS A C$
 $\langle proof \rangle$

lemma *NEG-trans*:
 assumes $NEG A B$
 assumes $NEG B C$
 shows $NEG A C$
 $\langle proof \rangle$

lemma *POS-NEG*:
 $POS A B \equiv NEG B A$
 $\langle proof \rangle$

lemma *NEG-POS*:
 $NEG A B \equiv POS B A$
 $\langle proof \rangle$

lemma *POS-pcr-rule*:
 assumes $POS (A \text{ OO } B) C$
 shows $POS (A \text{ OO } B \text{ OO } X) (C \text{ OO } X)$
 $\langle proof \rangle$

lemma *NEG-pcr-rule*:
 assumes $NEG (A \text{ OO } B) C$
 shows $NEG (A \text{ OO } B \text{ OO } X) (C \text{ OO } X)$
 $\langle proof \rangle$

lemma *POS-apply*:
 assumes $POS R R'$

assumes $R f g$
shows $R' f g$
 $\langle \text{proof} \rangle$

Proving a parametrized correspondence relation

lemma *fun-mono*:
assumes $A \geq C$
assumes $B \leq D$
shows $(A \implies B) \leq (C \implies D)$
 $\langle \text{proof} \rangle$

lemma *pos-fun-distr*: $((R \implies S) \text{ OO } (R' \implies S')) \leq ((R \text{ OO } R') \implies (S \text{ OO } S'))$
 $\langle \text{proof} \rangle$

lemma *functional-relation*: $\text{right-unique } R \implies \text{left-total } R \implies \forall x. \exists! y. R x y$
 $\langle \text{proof} \rangle$

lemma *functional-converse-relation*: $\text{left-unique } R \implies \text{right-total } R \implies \forall y. \exists! x. R x y$
 $\langle \text{proof} \rangle$

lemma *neg-fun-distr1*:
assumes 1: $\text{left-unique } R \text{ right-total } R$
assumes 2: $\text{right-unique } R' \text{ left-total } R'$
shows $(R \text{ OO } R' \implies S \text{ OO } S') \leq ((R \implies S) \text{ OO } (R' \implies S'))$
 $\langle \text{proof} \rangle$

lemma *neg-fun-distr2*:
assumes 1: $\text{right-unique } R' \text{ left-total } R'$
assumes 2: $\text{left-unique } S' \text{ right-total } S'$
shows $(R \text{ OO } R' \implies S \text{ OO } S') \leq ((R \implies S) \text{ OO } (R' \implies S'))$
 $\langle \text{proof} \rangle$

45.5 Domains

lemma *composed-equiv-rel-eq-onp*:
assumes $\text{left-unique } R$
assumes $(R \implies \text{op} =) P P'$
assumes $\text{Domainp } R = P''$
shows $(R \text{ OO } \text{eq-onp } P' \text{ OO } R^{-1-1}) = \text{eq-onp } (\text{inf } P'' P)$
 $\langle \text{proof} \rangle$

lemma *composed-equiv-rel-eq-eq-onp*:
assumes $\text{left-unique } R$
assumes $\text{Domainp } R = P$
shows $(R \text{ OO } \text{op} = \text{ OO } R^{-1-1}) = \text{eq-onp } P$
 $\langle \text{proof} \rangle$

lemma *pcr-Domainp-par-left-total*:

assumes *Domainp* $B = P$

assumes *left-total* A

assumes $(A ==> op=) P' P$

shows *Domainp* $(A \text{ OO } B) = P'$

$\langle \text{proof} \rangle$

lemma *pcr-Domainp-par*:

assumes *Domainp* $B = P2$

assumes *Domainp* $A = P1$

assumes $(A ==> op=) P2' P2$

shows *Domainp* $(A \text{ OO } B) = (\text{inf } P1 P2')$

$\langle \text{proof} \rangle$

definition *rel-pred-comp* :: $('a ==> 'b ==> \text{bool}) ==> ('b ==> \text{bool}) ==> 'a ==> \text{bool}$

where *rel-pred-comp* $R P \equiv \lambda x. \exists y. R \ x \ y \wedge P \ y$

lemma *pcr-Domainp*:

assumes *Domainp* $B = P$

shows *Domainp* $(A \text{ OO } B) = (\lambda x. \exists y. A \ x \ y \wedge P \ y)$

$\langle \text{proof} \rangle$

lemma *pcr-Domainp-total*:

assumes *left-total* B

assumes *Domainp* $A = P$

shows *Domainp* $(A \text{ OO } B) = P$

$\langle \text{proof} \rangle$

lemma *Quotient-to-Domainp*:

assumes *Quotient* $R \text{ Abs Rep } T$

shows *Domainp* $T = (\lambda x. R \ x \ x)$

$\langle \text{proof} \rangle$

lemma *eq-onp-to-Domainp*:

assumes *Quotient* $(\text{eq-onp } P) \text{ Abs Rep } T$

shows *Domainp* $T = P$

$\langle \text{proof} \rangle$

end

lemma *right-total-UNIV-transfer*:

assumes *right-total* A

shows $(\text{rel-set } A) (\text{Collect } (\text{Domainp } A)) \text{ UNIV}$

$\langle \text{proof} \rangle$

45.6 ML setup

$\langle ML \rangle$

named-theorems *relator-eq-onp*

theorems that a relator of an eq-onp is an eq-onp of the corresponding predicate
 $\langle ML \rangle$

declare *fun-quotient*[*quot-map*]

declare *fun-mono*[*relator-mono*]

lemmas [*relator-distr*] = *pos-fun-distr neg-fun-distr1 neg-fun-distr2*

$\langle ML \rangle$

lemma *pred-prod-beta*: $\text{pred-prod } P \ Q \ xy \longleftrightarrow P \ (\text{fst } xy) \wedge Q \ (\text{snd } xy)$
 $\langle \text{proof} \rangle$

lemma *pred-prod-split*: $P \ (\text{pred-prod } Q \ R \ xy) \longleftrightarrow (\forall x \ y. \ xy = (x, y) \longrightarrow P \ (Q \ x \wedge R \ y))$
 $\langle \text{proof} \rangle$

hide-const (**open**) *POS NEG*

end

46 Definition of Quotient Types

theory *Quotient*

imports *Lifting*

keywords

print-quotmapsQ3 print-quotientsQ3 print-quotconsts :: diag **and**

quotient-type :: thy-goal **and** / **and**

quotient-definition :: thy-goal

begin

Basic definition for equivalence relations that are represented by predicates.

Composition of Relations

abbreviation

rel-conj :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$ (**infixr** *OOO* 75)

where

$r1 \ OOO \ r2 \equiv r1 \ OO \ r2 \ OO \ r1$

lemma *eq-comp-r*:

shows $((op \Rightarrow) \ OOO \ R) = R$

$\langle \text{proof} \rangle$

context **includes** *lifting-syntax*

begin

46.1 Quotient Predicate

definition

$$\begin{aligned} \text{Quotient3 } R \text{ Abs Rep } &\longleftrightarrow \\ &(\forall a. \text{Abs } (\text{Rep } a) = a) \wedge (\forall a. R \text{ } (\text{Rep } a) \text{ } (\text{Rep } a)) \wedge \\ &(\forall r s. R \text{ } r \text{ } s \longleftrightarrow R \text{ } r \text{ } r \wedge R \text{ } s \text{ } s \wedge \text{Abs } r = \text{Abs } s) \end{aligned}$$

lemma *Quotient3I*:

assumes $\bigwedge a. \text{Abs } (\text{Rep } a) = a$
and $\bigwedge a. R \text{ } (\text{Rep } a) \text{ } (\text{Rep } a)$
and $\bigwedge r s. R \text{ } r \text{ } s \longleftrightarrow R \text{ } r \text{ } r \wedge R \text{ } s \text{ } s \wedge \text{Abs } r = \text{Abs } s$
shows *Quotient3* *R Abs Rep*
<proof>

context

fixes *R Abs Rep*
assumes *a*: *Quotient3* *R Abs Rep*
begin

lemma *Quotient3-abs-rep*:

Abs (*Rep a*) = *a*
<proof>

lemma *Quotient3-rep-refl*:

R (*Rep a*) (*Rep a*)
<proof>

lemma *Quotient3-rel*:

R r r \wedge *R s s* \wedge *Abs r* = *Abs s* \longleftrightarrow *R r s* — orientation does not loop on rewriting
<proof>

lemma *Quotient3-refl1*:

R r s \implies *R r r*
<proof>

lemma *Quotient3-refl2*:

R r s \implies *R s s*
<proof>

lemma *Quotient3-rel-rep*:

R (*Rep a*) (*Rep b*) \longleftrightarrow *a* = *b*
<proof>

lemma *Quotient3-rep-abs*:

R r r \implies *R* (*Rep* (*Abs r*)) *r*
<proof>

lemma *Quotient3-rel-abs*:

R r s \implies *Abs r* = *Abs s*

$\langle \text{proof} \rangle$

lemma *Quotient3-symp*:

symp R

$\langle \text{proof} \rangle$

lemma *Quotient3-transp*:

transp R

$\langle \text{proof} \rangle$

lemma *Quotient3-part-equivp*:

part-equivp R

$\langle \text{proof} \rangle$

lemma *abs-o-rep*:

$Abs \circ Rep = id$

$\langle \text{proof} \rangle$

lemma *equals-rsp*:

assumes $b: R \ x a \ x b \ R \ y a \ y b$

shows $R \ x a \ y a = R \ x b \ y b$

$\langle \text{proof} \rangle$

lemma *rep-abs-rsp*:

assumes $b: R \ x1 \ x2$

shows $R \ x1 \ (Rep \ (Abs \ x2))$

$\langle \text{proof} \rangle$

lemma *rep-abs-rsp-left*:

assumes $b: R \ x1 \ x2$

shows $R \ (Rep \ (Abs \ x1)) \ x2$

$\langle \text{proof} \rangle$

end

lemma *identity-quotient3*:

Quotient3 (*op* $=$) *id* *id*

$\langle \text{proof} \rangle$

lemma *fun-quotient3*:

assumes $q1: \text{Quotient3} \ R1 \ abs1 \ rep1$

and $q2: \text{Quotient3} \ R2 \ abs2 \ rep2$

shows $\text{Quotient3} \ (R1 \ ==\!=> \ R2) \ (rep1 \ ----> \ abs2) \ (abs1 \ ----> \ rep2)$

$\langle \text{proof} \rangle$

lemma *lambda-prs*:

assumes $q1: \text{Quotient3} \ R1 \ Abs1 \ Rep1$

and $q2: \text{Quotient3} \ R2 \ Abs2 \ Rep2$

shows $(Rep1 \ ----> \ Abs2) \ (\lambda x. \ Rep2 \ (f \ (Abs1 \ x))) = (\lambda x. \ f \ x)$

$\langle proof \rangle$

lemma *lambda-prs1*:

assumes $q1$: *Quotient3* $R1$ $Abs1$ $Rep1$

and $q2$: *Quotient3* $R2$ $Abs2$ $Rep2$

shows $(Rep1 \dashrightarrow Abs2) (\lambda x. (Abs1 \dashrightarrow Rep2) f x) = (\lambda x. f x)$

$\langle proof \rangle$

In the following theorem $R1$ can be instantiated with anything, but we know some of the types of the Rep and Abs functions; so by solving Quotient assumptions we can get a unique $R1$ that will be provable; which is why we need to use *apply-rsp* and not the primed version

lemma *apply-rspQ3*:

fixes $f g :: 'a \Rightarrow 'c$

assumes q : *Quotient3* $R1$ $Abs1$ $Rep1$

and a : $(R1 \implies R2) f g R1 x y$

shows $R2 (f x) (g y)$

$\langle proof \rangle$

lemma *apply-rspQ3''*:

assumes *Quotient3* R Abs Rep

and $(R \implies S) f f$

shows $S (f (Rep x)) (f (Rep x))$

$\langle proof \rangle$

46.2 lemmas for regularisation of ball and bex

lemma *ball-reg-equiv*:

fixes $P :: 'a \Rightarrow bool$

assumes a : *equivp* R

shows $Ball (Respects R) P = (All P)$

$\langle proof \rangle$

lemma *bex-reg-equiv*:

fixes $P :: 'a \Rightarrow bool$

assumes a : *equivp* R

shows $Bex (Respects R) P = (Ex P)$

$\langle proof \rangle$

lemma *ball-reg-right*:

assumes a : $\bigwedge x. x \in R \implies P x \longrightarrow Q x$

shows $All P \longrightarrow Ball R Q$

$\langle proof \rangle$

lemma *bex-reg-left*:

assumes a : $\bigwedge x. x \in R \implies Q x \longrightarrow P x$

shows $Bex R Q \longrightarrow Ex P$

$\langle proof \rangle$

lemma *ball-reg-left*:

assumes *a*: *equivp* *R*

shows $(\bigwedge x. (Q\ x \longrightarrow P\ x)) \Longrightarrow Ball\ (Respects\ R)\ Q \longrightarrow All\ P$

<proof>

lemma *bex-reg-right*:

assumes *a*: *equivp* *R*

shows $(\bigwedge x. (Q\ x \longrightarrow P\ x)) \Longrightarrow Ex\ Q \longrightarrow Bex\ (Respects\ R)\ P$

<proof>

lemma *ball-reg-epv-range*:

fixes *P*::'*a* \Rightarrow *bool*

and *x*::'*a*

assumes *a*: *equivp* *R2*

shows $(Ball\ (Respects\ (R1\ ==>\ R2))\ (\lambda f. P\ (f\ x))) = All\ (\lambda f. P\ (f\ x))$

<proof>

lemma *bex-reg-epv-range*:

assumes *a*: *equivp* *R2*

shows $(Bex\ (Respects\ (R1\ ==>\ R2))\ (\lambda f. P\ (f\ x))) = Ex\ (\lambda f. P\ (f\ x))$

<proof>

lemma *all-reg*:

assumes *a*: $\!x :: 'a. (P\ x \longrightarrow Q\ x)$

and *b*: *All* *P*

shows *All* *Q*

<proof>

lemma *ex-reg*:

assumes *a*: $\!x :: 'a. (P\ x \longrightarrow Q\ x)$

and *b*: *Ex* *P*

shows *Ex* *Q*

<proof>

lemma *ball-reg*:

assumes *a*: $\!x :: 'a. (x \in R \longrightarrow P\ x \longrightarrow Q\ x)$

and *b*: *Ball* *R* *P*

shows *Ball* *R* *Q*

<proof>

lemma *bex-reg*:

assumes *a*: $\!x :: 'a. (x \in R \longrightarrow P\ x \longrightarrow Q\ x)$

and *b*: *Bex* *R* *P*

shows *Bex* *R* *Q*

<proof>

lemma *ball-all-comm*:

assumes $\bigwedge y. (\forall x \in P. A \ x \ y) \longrightarrow (\forall x. B \ x \ y)$
shows $(\forall x \in P. \forall y. A \ x \ y) \longrightarrow (\forall x. \forall y. B \ x \ y)$
 $\langle proof \rangle$

lemma *bex-ex-comm*:

assumes $(\exists y. \exists x. A \ x \ y) \longrightarrow (\exists y. \exists x \in P. B \ x \ y)$
shows $(\exists x. \exists y. A \ x \ y) \longrightarrow (\exists x \in P. \exists y. B \ x \ y)$
 $\langle proof \rangle$

46.3 Bounded abstraction

definition

$Babs :: 'a \ set \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$

where

$x \in p \Longrightarrow Babs \ p \ m \ x = m \ x$

lemma *babs-rsp*:

assumes $q: Quotient3 \ R1 \ Abs1 \ Rep1$
and $a: (R1 \ ==\!> \ R2) \ f \ g$
shows $(R1 \ ==\!> \ R2) \ (Babs \ (Respects \ R1) \ f) \ (Babs \ (Respects \ R1) \ g)$
 $\langle proof \rangle$

lemma *babs-prs*:

assumes $q1: Quotient3 \ R1 \ Abs1 \ Rep1$
and $q2: Quotient3 \ R2 \ Abs2 \ Rep2$
shows $((Rep1 \ ---\!> \ Abs2) \ (Babs \ (Respects \ R1) \ ((Abs1 \ ---\!> \ Rep2) \ f))) =$
 f
 $\langle proof \rangle$

lemma *babs-simp*:

assumes $q: Quotient3 \ R1 \ Abs \ Rep$
shows $((R1 \ ==\!> \ R2) \ (Babs \ (Respects \ R1) \ f) \ (Babs \ (Respects \ R1) \ g)) =$
 $((R1 \ ==\!> \ R2) \ f \ g)$
 $\langle proof \rangle$

lemma *babs-reg-equiv*:

shows $equivp \ R \Longrightarrow Babs \ (Respects \ R) \ P = P$
 $\langle proof \rangle$

lemma *ball-rsp*:

assumes $a: (R \ ==\!> \ (op \ =)) \ f \ g$
shows $Ball \ (Respects \ R) \ f = Ball \ (Respects \ R) \ g$
 $\langle proof \rangle$

lemma *bex-rsp*:

assumes $a: (R \ ==\!> \ (op \ =)) \ f \ g$

shows $(\text{Bex } (\text{Respects } R) f = \text{Bex } (\text{Respects } R) g)$
 $\langle \text{proof} \rangle$

lemma *bex1-rsp*:

assumes $a: (R ==> (op =)) f g$
shows $\text{Ex1 } (\lambda x. x \in \text{Respects } R \wedge f x) = \text{Ex1 } (\lambda x. x \in \text{Respects } R \wedge g x)$
 $\langle \text{proof} \rangle$

lemma *all-prs*:

assumes $a: \text{Quotient3 } R \text{ absf repf}$
shows $\text{Ball } (\text{Respects } R) ((\text{absf } ----> \text{id}) f) = \text{All } f$
 $\langle \text{proof} \rangle$

lemma *ex-prs*:

assumes $a: \text{Quotient3 } R \text{ absf repf}$
shows $\text{Bex } (\text{Respects } R) ((\text{absf } ----> \text{id}) f) = \text{Ex } f$
 $\langle \text{proof} \rangle$

46.4 Bex1-rel quantifier

definition

$\text{Bex1-rel } :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$

where

$\text{Bex1-rel } R P \longleftrightarrow (\exists x \in \text{Respects } R. P x) \wedge (\forall x \in \text{Respects } R. \forall y \in \text{Respects } R. ((P x \wedge P y) \longrightarrow (R x y)))$

lemma *bex1-rel-aux*:

$\llbracket \forall xa ya. R xa ya \longrightarrow x xa = y ya; \text{Bex1-rel } R x \rrbracket \Longrightarrow \text{Bex1-rel } R y$
 $\langle \text{proof} \rangle$

lemma *bex1-rel-aux2*:

$\llbracket \forall xa ya. R xa ya \longrightarrow x xa = y ya; \text{Bex1-rel } R y \rrbracket \Longrightarrow \text{Bex1-rel } R x$
 $\langle \text{proof} \rangle$

lemma *bex1-rel-rsp*:

assumes $a: \text{Quotient3 } R \text{ absf repf}$
shows $((R ==> op =) ==> op =) (\text{Bex1-rel } R) (\text{Bex1-rel } R)$
 $\langle \text{proof} \rangle$

lemma *ex1-prs*:

assumes $a: \text{Quotient3 } R \text{ absf repf}$
shows $((\text{absf } ----> \text{id}) ----> \text{id}) (\text{Bex1-rel } R) f = \text{Ex1 } f$
 $\langle \text{proof} \rangle$

lemma *bex1-bexeq-reg*:

shows $(\exists !x \in \text{Respects } R. P x) \longrightarrow (\text{Bex1-rel } R (\lambda x. P x))$
 $\langle \text{proof} \rangle$

lemma *bex1-bexeq-reg-equiv*:
assumes *a*: *equivp R*
shows $(\exists!x. P\ x) \longrightarrow \text{Bex1-rel } R\ P$
 $\langle \text{proof} \rangle$

46.5 Various respects and preserve lemmas

lemma *quot-rel-rsp*:
assumes *a*: *Quotient3 R Abs Rep*
shows $(R \Longrightarrow R \Longrightarrow \text{op} =) R\ R$
 $\langle \text{proof} \rangle$

lemma *o-prs*:
assumes *q1*: *Quotient3 R1 Abs1 Rep1*
and *q2*: *Quotient3 R2 Abs2 Rep2*
and *q3*: *Quotient3 R3 Abs3 Rep3*
shows $((\text{Abs2} \dashrightarrow \text{Rep3}) \dashrightarrow (\text{Abs1} \dashrightarrow \text{Rep2}) \dashrightarrow (\text{Rep1} \dashrightarrow \text{Abs3}))\ \text{op} \circ = \text{op} \circ$
and $(\text{id} \dashrightarrow (\text{Abs1} \dashrightarrow \text{id}) \dashrightarrow \text{Rep1} \dashrightarrow \text{id})\ \text{op} \circ = \text{op} \circ$
 $\langle \text{proof} \rangle$

lemma *o-rsp*:
 $((R2 \Longrightarrow R3) \Longrightarrow (R1 \Longrightarrow R2) \Longrightarrow (R1 \Longrightarrow R3))\ \text{op} \circ \text{op} \circ$
 $(\text{op} = \Longrightarrow (R1 \Longrightarrow \text{op} =) \Longrightarrow R1 \Longrightarrow \text{op} =)\ \text{op} \circ \text{op} \circ$
 $\langle \text{proof} \rangle$

lemma *cond-prs*:
assumes *a*: *Quotient3 R absf repf*
shows *absf* $(\text{if } a \text{ then repf } b \text{ else repf } c) = (\text{if } a \text{ then } b \text{ else } c)$
 $\langle \text{proof} \rangle$

lemma *if-prs*:
assumes *q*: *Quotient3 R Abs Rep*
shows $(\text{id} \dashrightarrow \text{Rep} \dashrightarrow \text{Rep} \dashrightarrow \text{Abs})\ \text{If} = \text{If}$
 $\langle \text{proof} \rangle$

lemma *if-rsp*:
assumes *q*: *Quotient3 R Abs Rep*
shows $(\text{op} = \Longrightarrow R \Longrightarrow R \Longrightarrow R)\ \text{If}\ \text{If}$
 $\langle \text{proof} \rangle$

lemma *let-prs*:
assumes *q1*: *Quotient3 R1 Abs1 Rep1*
and *q2*: *Quotient3 R2 Abs2 Rep2*
shows $(\text{Rep2} \dashrightarrow (\text{Abs2} \dashrightarrow \text{Rep1}) \dashrightarrow \text{Abs1})\ \text{Let} = \text{Let}$
 $\langle \text{proof} \rangle$

lemma *let-rsp*:

```

shows ( $R1 \implies (R1 \implies R2) \implies R2$ ) Let Let
  <proof>

lemma id-rsp:
  shows ( $R \implies R$ ) id id
  <proof>

lemma id-prs:
  assumes  $a$ : Quotient3  $R$   $Abs$   $Rep$ 
  shows ( $Rep \dashv\dashv\dashv Abs$ )  $id = id$ 
  <proof>

end

locale quot-type =
  fixes  $R :: 'a \Rightarrow 'a \Rightarrow bool$ 
  and  $Abs :: 'a \text{ set} \Rightarrow 'b$ 
  and  $Rep :: 'b \Rightarrow 'a \text{ set}$ 
  assumes equivp: part-equivp  $R$ 
  and rep-prop:  $\bigwedge y. \exists x. R\ x\ x \wedge Rep\ y = Collect\ (R\ x)$ 
  and rep-inverse:  $\bigwedge x. Abs\ (Rep\ x) = x$ 
  and abs-inverse:  $\bigwedge c. (\exists x. ((R\ x\ x) \wedge (c = Collect\ (R\ x)))) \implies (Rep\ (Abs\ c)) = c$ 
  and rep-inject:  $\bigwedge x\ y. (Rep\ x = Rep\ y) = (x = y)$ 
begin

definition
   $abs :: 'a \Rightarrow 'b$ 
where
   $abs\ x = Abs\ (Collect\ (R\ x))$ 

definition
   $rep :: 'b \Rightarrow 'a$ 
where
   $rep\ a = (SOME\ x. x \in Rep\ a)$ 

lemma some-collect:
  assumes  $R\ r\ r$ 
  shows  $R\ (SOME\ x. x \in Collect\ (R\ r)) = R\ r$ 
  <proof>

lemma Quotient:
  shows Quotient3  $R$   $abs$   $rep$ 
  <proof>

end

```

46.6 Quotient composition

lemma *OOO-quotient3*:

fixes $R1 :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
fixes $Abs1 :: 'a \Rightarrow 'b$ **and** $Rep1 :: 'b \Rightarrow 'a$
fixes $Abs2 :: 'b \Rightarrow 'c$ **and** $Rep2 :: 'c \Rightarrow 'b$
fixes $R2' :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
fixes $R2 :: 'b \Rightarrow 'b \Rightarrow \text{bool}$
assumes $R1$: *Quotient3* $R1$ $Abs1$ $Rep1$
assumes $R2$: *Quotient3* $R2$ $Abs2$ $Rep2$
assumes $Abs1$: $\bigwedge x y. R2' x y \implies R1 x x \implies R1 y y \implies R2 (Abs1 x) (Abs1 y)$
assumes $Rep1$: $\bigwedge x y. R2 x y \implies R2' (Rep1 x) (Rep1 y)$
shows *Quotient3* $(R1 \text{ OO } R2' \text{ OO } R1)$ $(Abs2 \circ Abs1)$ $(Rep1 \circ Rep2)$
 $\langle \text{proof} \rangle$

lemma *OOO-eq-quotient3*:

fixes $R1 :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
fixes $Abs1 :: 'a \Rightarrow 'b$ **and** $Rep1 :: 'b \Rightarrow 'a$
fixes $Abs2 :: 'b \Rightarrow 'c$ **and** $Rep2 :: 'c \Rightarrow 'b$
assumes $R1$: *Quotient3* $R1$ $Abs1$ $Rep1$
assumes $R2$: *Quotient3* $op=$ $Abs2$ $Rep2$
shows *Quotient3* $(R1 \text{ OOO } op=)$ $(Abs2 \circ Abs1)$ $(Rep1 \circ Rep2)$
 $\langle \text{proof} \rangle$

46.7 Quotient3 to Quotient

lemma *Quotient3-to-Quotient*:

assumes *Quotient3* R Abs Rep
and $T \equiv \lambda x y. R x x \wedge Abs x = y$
shows *Quotient* R Abs Rep T
 $\langle \text{proof} \rangle$

lemma *Quotient3-to-Quotient-equivp*:

assumes q : *Quotient3* R Abs Rep
and T -def: $T \equiv \lambda x y. Abs x = y$
and eR : *equivp* R
shows *Quotient* R Abs Rep T
 $\langle \text{proof} \rangle$

46.8 ML setup

Auxiliary data for the quotient package

named-theorems *quot-equiv equivalence relation theorems*
and *quot-respect respectfulness theorems*
and *quot-preserve preservation theorems*
and *id-simps identity simp rules for maps*
and *quot-thm quotient theorems*
 $\langle \text{ML} \rangle$

declare $[[\text{mapQ3 } fun = (rel\text{-}fun, fun\text{-}quotient3)]]$

lemmas $[quot\text{-}thm] = fun\text{-}quotient3$

lemmas $[quot\text{-}respect] = quot\text{-}rel\text{-}rsp \text{ if-}rsp \text{ o-}rsp \text{ let-}rsp \text{ id-}rsp$

lemmas $[quot\text{-}preserve] = if\text{-}prs \text{ o-}prs \text{ let-}prs \text{ id-}prs$

lemmas $[quot\text{-}equiv] = identity\text{-}equivp$

Lemmas about simplifying id’s.

lemmas $[id\text{-}simps] =$

$id\text{-}def[symmetric]$

$map\text{-}fun\text{-}id$

$id\text{-}apply$

$id\text{-}o$

$o\text{-}id$

$eq\text{-}comp\text{-}r$

$vimage\text{-}id$

Translation functions for the lifting process.

$\langle ML \rangle$

Definitions of the quotient types.

$\langle ML \rangle$

Definitions for quotient constants.

$\langle ML \rangle$

An auxiliary constant for recording some information about the lifted theorem in a tactic.

definition

$Quot\text{-}True :: 'a \Rightarrow bool$

where

$Quot\text{-}True \ x \longleftrightarrow True$

lemma

shows $QT\text{-}all: Quot\text{-}True (All \ P) \Longrightarrow Quot\text{-}True \ P$

and $QT\text{-}ex: Quot\text{-}True (Ex \ P) \Longrightarrow Quot\text{-}True \ P$

and $QT\text{-}ex1: Quot\text{-}True (Ex1 \ P) \Longrightarrow Quot\text{-}True \ P$

and $QT\text{-}lam: Quot\text{-}True (\lambda x. \ P \ x) \Longrightarrow (\bigwedge x. \ Quot\text{-}True \ (P \ x))$

and $QT\text{-}ext: (\bigwedge x. \ Quot\text{-}True \ (a \ x) \Longrightarrow f \ x = g \ x) \Longrightarrow (Quot\text{-}True \ a \Longrightarrow f = g)$

$\langle proof \rangle$

lemma $QT\text{-}imp: Quot\text{-}True \ a \equiv Quot\text{-}True \ b$

$\langle proof \rangle$

context includes $lifting\text{-}syntax$

begin

Tactics for proving the lifted theorems

$\langle ML \rangle$

end

46.9 Methods / Interface

$\langle ML \rangle$

no-notation

rel-conj (**infixr** *OOO* 75)

end

47 Chain-complete partial orders and their fix-points

theory *Complete-Partial-Order*

imports *Product-Type*

begin

47.1 Monotone functions

Dictionary-passing version of *mono*.

definition *monotone* :: ($'a \Rightarrow 'a \Rightarrow \text{bool}$) \Rightarrow ($'b \Rightarrow 'b \Rightarrow \text{bool}$) \Rightarrow ($'a \Rightarrow 'b$) \Rightarrow *bool*

where *monotone* *orda ordb f* $\longleftrightarrow (\forall x y. \text{orda } x y \longrightarrow \text{ordb } (f x) (f y))$

lemma *monotoneI*[*intro?*]: $(\bigwedge x y. \text{orda } x y \Longrightarrow \text{ordb } (f x) (f y)) \Longrightarrow \text{monotone } \text{orda } \text{ordb } f$

$\langle \text{proof} \rangle$

lemma *monotoneD*[*dest?*]: *monotone orda ordb f* $\Longrightarrow \text{orda } x y \Longrightarrow \text{ordb } (f x) (f y)$

$\langle \text{proof} \rangle$

47.2 Chains

A chain is a totally-ordered set. Chains are parameterized over the order for maximal flexibility, since type classes are not enough.

definition *chain* :: ($'a \Rightarrow 'a \Rightarrow \text{bool}$) \Rightarrow $'a \text{ set} \Rightarrow \text{bool}$

where *chain* *ord S* $\longleftrightarrow (\forall x \in S. \forall y \in S. \text{ord } x y \vee \text{ord } y x)$

lemma *chainI*:

assumes $\bigwedge x y. x \in S \Longrightarrow y \in S \Longrightarrow \text{ord } x y \vee \text{ord } y x$

shows *chain ord S*

$\langle \text{proof} \rangle$

lemma *chainD*:

assumes *chain ord S and* $x \in S$ **and** $y \in S$

shows $\text{ord } x \ y \ \vee \ \text{ord } y \ x$

<proof>

lemma *chainE*:

assumes *chain ord S and* $x \in S$ **and** $y \in S$

obtains $\text{ord } x \ y \mid \text{ord } y \ x$

<proof>

lemma *chain-empty*: *chain ord* $\{\}$

<proof>

lemma *chain-equality*: *chain op* = $A \longleftrightarrow (\forall x \in A. \forall y \in A. x = y)$

<proof>

lemma *chain-subset*: *chain ord* $A \implies B \subseteq A \implies \text{chain ord } B$

<proof>

lemma *chain-imageI*:

assumes *chain*: *chain le-a* Y

and *mono*: $\bigwedge x \ y. x \in Y \implies y \in Y \implies \text{le-a } x \ y \implies \text{le-b } (f \ x) \ (f \ y)$

shows *chain le-b* $(f \ ` \ Y)$

<proof>

47.3 Chain-complete partial orders

A *ccpo* has a least upper bound for any chain. In particular, the empty set is a chain, so every *ccpo* must have a bottom element.

class *ccpo* = *order* + *Sup* +

assumes *ccpo-Sup-upper*: *chain* $(\text{op} \leq) \ A \implies x \in A \implies x \leq \text{Sup } A$

assumes *ccpo-Sup-least*: *chain* $(\text{op} \leq) \ A \implies (\bigwedge x. x \in A \implies x \leq z) \implies \text{Sup } A \leq z$

begin

lemma *chain-singleton*: *Complete-Partial-Order.chain op* $\leq \{x\}$

<proof>

lemma *ccpo-Sup-singleton [simp]*: $\bigsqcup \{x\} = x$

<proof>

47.4 Transfinite iteration of a function

context *notes* $[[\text{inductive-internals}]]$

begin

inductive-set *iterates* :: $('a \Rightarrow 'a) \Rightarrow 'a \ \text{set}$

for $f :: 'a \Rightarrow 'a$

where

step: $x \in \text{iterates } f \implies f x \in \text{iterates } f$
 $| \text{Sup: chain } (op \leq) M \implies \forall x \in M. x \in \text{iterates } f \implies \text{Sup } M \in \text{iterates } f$

end

lemma *iterates-le-f*: $x \in \text{iterates } f \implies \text{monotone } (op \leq) (op \leq) f \implies x \leq f x$
 $\langle \text{proof} \rangle$

lemma *chain-iterates*:
assumes f : $\text{monotone } (op \leq) (op \leq) f$
shows $\text{chain } (op \leq) (\text{iterates } f)$ (**is** *chain* - ?C)
 $\langle \text{proof} \rangle$

lemma *bot-in-iterates*: $\text{Sup } \{\} \in \text{iterates } f$
 $\langle \text{proof} \rangle$

47.5 Fixpoint combinator

definition *fixp* :: $('a \Rightarrow 'a) \Rightarrow 'a$
where $\text{fixp } f = \text{Sup } (\text{iterates } f)$

lemma *iterates-fixp*:
assumes f : $\text{monotone } (op \leq) (op \leq) f$
shows $\text{fixp } f \in \text{iterates } f$
 $\langle \text{proof} \rangle$

lemma *fixp-unfold*:
assumes f : $\text{monotone } (op \leq) (op \leq) f$
shows $\text{fixp } f = f (\text{fixp } f)$
 $\langle \text{proof} \rangle$

lemma *fixp-lowerbound*:
assumes f : $\text{monotone } (op \leq) (op \leq) f$
and z : $f z \leq z$
shows $\text{fixp } f \leq z$
 $\langle \text{proof} \rangle$

end

47.6 Fixpoint induction

$\langle \text{ML} \rangle$

definition *admissible* :: $('a \text{ set} \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$
where $\text{admissible } \text{lub } \text{ord } P \longleftrightarrow (\forall A. \text{chain } \text{ord } A \longrightarrow A \neq \{\} \longrightarrow (\forall x \in A. P x) \longrightarrow P (\text{lub } A))$

lemma *admissibleI*:
assumes $\bigwedge A. \text{chain } \text{ord } A \implies A \neq \{\} \implies \forall x \in A. P x \implies P (\text{lub } A)$
shows $\text{ccpo.admissible } \text{lub } \text{ord } P$

$\langle proof \rangle$

lemma *admissibleD*:

assumes *ccpo.admissible lub ord P*

assumes *chain ord A*

assumes $A \neq \{\}$

assumes $\bigwedge x. x \in A \implies P\ x$

shows $P\ (\text{lub } A)$

$\langle proof \rangle$

$\langle ML \rangle$

lemma (*in ccpo*) *fixp-induct*:

assumes *adm: ccpo.admissible Sup (op ≤) P*

assumes *mono: monotone (op ≤) (op ≤) f*

assumes *bot: P (Sup {})*

assumes *step: $\bigwedge x. P\ x \implies P\ (f\ x)$*

shows $P\ (\text{fixp } f)$

$\langle proof \rangle$

lemma *admissible-True: ccpo.admissible lub ord ($\lambda x. \text{True}$)*

$\langle proof \rangle$

lemma *admissible-const: ccpo.admissible lub ord ($\lambda x. t$)*

$\langle proof \rangle$

lemma *admissible-conj*:

assumes *ccpo.admissible lub ord ($\lambda x. P\ x$)*

assumes *ccpo.admissible lub ord ($\lambda x. Q\ x$)*

shows *ccpo.admissible lub ord ($\lambda x. P\ x \wedge Q\ x$)*

$\langle proof \rangle$

lemma *admissible-all*:

assumes $\bigwedge y. \text{ccpo.admissible lub ord } (\lambda x. P\ x\ y)$

shows *ccpo.admissible lub ord ($\lambda x. \forall y. P\ x\ y$)*

$\langle proof \rangle$

lemma *admissible-ball*:

assumes $\bigwedge y. y \in A \implies \text{ccpo.admissible lub ord } (\lambda x. P\ x\ y)$

shows *ccpo.admissible lub ord ($\lambda x. \forall y \in A. P\ x\ y$)*

$\langle proof \rangle$

lemma *chain-compr: chain ord A \implies chain ord $\{x \in A. P\ x\}$*

$\langle proof \rangle$

context *ccpo*

begin


```

lemma admissible-disj:
  fixes  $P\ Q :: 'a \Rightarrow \text{bool}$ 
  assumes  $P: \text{ccpo.admissible } \text{Sup } (op \leq) (\lambda x. P\ x)$ 
  assumes  $Q: \text{ccpo.admissible } \text{Sup } (op \leq) (\lambda x. Q\ x)$ 
  shows  $\text{ccpo.admissible } \text{Sup } (op \leq) (\lambda x. P\ x \vee Q\ x)$ 
   $\langle \text{proof} \rangle$ 

```

```

end

```

```

instance complete-lattice  $\subseteq \text{ccpo}$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma lfp-eq-fixp:
  assumes mono:  $\text{mono } f$ 
  shows  $\text{lfp } f = \text{fixp } f$ 
   $\langle \text{proof} \rangle$ 

```

```

hide-const (open) iterates fixp

```

```

end

```

48 Datatype option

```

theory Option
  imports Lifting
begin

```

```

datatype  $'a\ \text{option} =$ 
  None
  | Some (the:  $'a$ )

```

```

datatype-compat option

```

```

lemma [case-names None Some, cases type: option]:
  — for backward compatibility – names of variables differ
   $(y = \text{None} \Longrightarrow P) \Longrightarrow (\bigwedge a. y = \text{Some } a \Longrightarrow P) \Longrightarrow P$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma [case-names None Some, induct type: option]:
  — for backward compatibility – names of variables differ
   $P\ \text{None} \Longrightarrow (\bigwedge \text{option}. P\ (\text{Some } \text{option})) \Longrightarrow P\ \text{option}$ 
   $\langle \text{proof} \rangle$ 

```

Compatibility:

```

 $\langle \text{ML} \rangle$ 
lemmas inducts = option.induct
lemmas cases = option.case
 $\langle \text{ML} \rangle$ 

```

lemma *not-None-eq [iff]*: $x \neq \text{None} \longleftrightarrow (\exists y. x = \text{Some } y)$
 $\langle \text{proof} \rangle$

lemma *not-Some-eq [iff]*: $(\forall y. x \neq \text{Some } y) \longleftrightarrow x = \text{None}$
 $\langle \text{proof} \rangle$

Although it may appear that both of these equalities are helpful only when applied to assumptions, in practice it seems better to give them the uniform iff attribute.

lemma *inj-Some [simp]*: *inj-on Some A*
 $\langle \text{proof} \rangle$

lemma *case-optionE*:
assumes *c*: $(\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } y \Rightarrow Q \ y)$
obtains
 $(\text{None}) \ x = \text{None} \text{ and } P$
 $\mid (\text{Some}) \ y \text{ where } x = \text{Some } y \text{ and } Q \ y$
 $\langle \text{proof} \rangle$

lemma *split-option-all*: $(\forall x. P \ x) \longleftrightarrow P \ \text{None} \wedge (\forall x. P \ (\text{Some } x))$
 $\langle \text{proof} \rangle$

lemma *split-option-ex*: $(\exists x. P \ x) \longleftrightarrow P \ \text{None} \vee (\exists x. P \ (\text{Some } x))$
 $\langle \text{proof} \rangle$

lemma *UNIV-option-conv*: $\text{UNIV} = \text{insert } \text{None} \ (\text{range } \text{Some})$
 $\langle \text{proof} \rangle$

lemma *rel-option-None1 [simp]*: $\text{rel-option } P \ \text{None } x \longleftrightarrow x = \text{None}$
 $\langle \text{proof} \rangle$

lemma *rel-option-None2 [simp]*: $\text{rel-option } P \ x \ \text{None} \longleftrightarrow x = \text{None}$
 $\langle \text{proof} \rangle$

lemma *option-rel-Some1*: $\text{rel-option } A \ (\text{Some } x) \ y \longleftrightarrow (\exists y'. y = \text{Some } y' \wedge A \ x \ y')$
 $\langle \text{proof} \rangle$

lemma *option-rel-Some2*: $\text{rel-option } A \ x \ (\text{Some } y) \longleftrightarrow (\exists x'. x = \text{Some } x' \wedge A \ x' \ y)$
 $\langle \text{proof} \rangle$

lemma *rel-option-inf*: $\text{inf } (\text{rel-option } A) \ (\text{rel-option } B) = \text{rel-option } (\text{inf } A \ B)$
 $(\text{is } ?lhs = ?rhs)$
 $\langle \text{proof} \rangle$

lemma *rel-option-refl*:
 $(\bigwedge x. x \in \text{set-option } y \implies P \ x \ x) \implies \text{rel-option } P \ y \ y$
 $\langle \text{proof} \rangle$

48.0.1 Operations

lemma *ospec [dest]*: $(\forall x \in \text{set-option } A. P\ x) \implies A = \text{Some } x \implies P\ x$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

lemma *elem-set [iff]*: $(x \in \text{set-option } xo) = (xo = \text{Some } x)$
 $\langle \text{proof} \rangle$

lemma *set-empty-eq [simp]*: $(\text{set-option } xo = \{\}) = (xo = \text{None})$
 $\langle \text{proof} \rangle$

lemma *map-option-case*: $\text{map-option } f\ y = (\text{case } y \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } x \Rightarrow \text{Some } (f\ x))$
 $\langle \text{proof} \rangle$

lemma *map-option-is-None [iff]*: $(\text{map-option } f\ \text{opt} = \text{None}) = (\text{opt} = \text{None})$
 $\langle \text{proof} \rangle$

lemma *None-eq-map-option-iff [iff]*: $\text{None} = \text{map-option } f\ x \longleftrightarrow x = \text{None}$
 $\langle \text{proof} \rangle$

lemma *map-option-eq-Some [iff]*: $(\text{map-option } f\ xo = \text{Some } y) = (\exists z. xo = \text{Some } z \wedge f\ z = y)$
 $\langle \text{proof} \rangle$

lemma *map-option-o-case-sum [simp]*:
 $\text{map-option } f\ o\ \text{case-sum } g\ h = \text{case-sum } (\text{map-option } f\ o\ g)\ (\text{map-option } f\ o\ h)$
 $\langle \text{proof} \rangle$

lemma *map-option-cong*: $x = y \implies (\bigwedge a. y = \text{Some } a \implies f\ a = g\ a) \implies \text{map-option } f\ x = \text{map-option } g\ y$
 $\langle \text{proof} \rangle$

lemma *map-option-idI*: $(\bigwedge y. y \in \text{set-option } x \implies f\ y = y) \implies \text{map-option } f\ x = x$
 $\langle \text{proof} \rangle$

functor *map-option*: *map-option*
 $\langle \text{proof} \rangle$

lemma *case-map-option [simp]*: $\text{case-option } g\ h\ (\text{map-option } f\ x) = \text{case-option } g\ (h \circ f)\ x$
 $\langle \text{proof} \rangle$

lemma *None-notin-image-Some [simp]*: $\text{None} \notin \text{Some } ` A$
 $\langle \text{proof} \rangle$

lemma *notin-range-Some*: $x \notin \text{range } \text{Some} \longleftrightarrow x = \text{None}$

⟨proof⟩

lemma *rel-option-iff*:

rel-option $R\ x\ y = (\text{case } (x, y) \text{ of } (None, None) \Rightarrow \text{True}$
 $\mid (Some\ x, Some\ y) \Rightarrow R\ x\ y$
 $\mid - \Rightarrow \text{False})$
 ⟨proof⟩

definition *combine-options* :: $('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a\ option \Rightarrow 'a\ option \Rightarrow 'a\ option$
where *combine-options* $f\ x\ y =$
 $(\text{case } x \text{ of } None \Rightarrow y \mid Some\ x \Rightarrow (\text{case } y \text{ of } None \Rightarrow Some\ x \mid Some\ y$
 $\Rightarrow Some\ (f\ x\ y)))$

lemma *combine-options-simps* [simp]:

combine-options $f\ None\ y = y$
combine-options $f\ x\ None = x$
combine-options $f\ (Some\ a)\ (Some\ b) = Some\ (f\ a\ b)$
 ⟨proof⟩

lemma *combine-options-cases* [case-names None1 None2 Some]:

$(x = None \Longrightarrow P\ x\ y) \Longrightarrow (y = None \Longrightarrow P\ x\ y) \Longrightarrow$
 $(\bigwedge a\ b. x = Some\ a \Longrightarrow y = Some\ b \Longrightarrow P\ x\ y) \Longrightarrow P\ x\ y$
 ⟨proof⟩

lemma *combine-options-commute*:

$(\bigwedge x\ y. f\ x\ y = f\ y\ x) \Longrightarrow \text{combine-options } f\ x\ y = \text{combine-options } f\ y\ x$
 ⟨proof⟩

lemma *combine-options-assoc*:

$(\bigwedge x\ y\ z. f\ (f\ x\ y)\ z = f\ x\ (f\ y\ z)) \Longrightarrow$
 $\text{combine-options } f\ (\text{combine-options } f\ x\ y)\ z =$
 $\text{combine-options } f\ x\ (\text{combine-options } f\ y\ z)$
 ⟨proof⟩

lemma *combine-options-left-commute*:

$(\bigwedge x\ y. f\ x\ y = f\ y\ x) \Longrightarrow (\bigwedge x\ y\ z. f\ (f\ x\ y)\ z = f\ x\ (f\ y\ z)) \Longrightarrow$
 $\text{combine-options } f\ y\ (\text{combine-options } f\ x\ z) =$
 $\text{combine-options } f\ x\ (\text{combine-options } f\ y\ z)$
 ⟨proof⟩

lemmas *combine-options-ac* =

combine-options-commute combine-options-assoc combine-options-left-commute

context

begin

qualified definition *is-none* :: $'a\ option \Rightarrow bool$

where $[code-post]: is_none\ x \longleftrightarrow x = None$

lemma *is-none-simps* $[simp]:$
 $is_none\ None$
 $\neg is_none\ (Some\ x)$
 $\langle proof \rangle$

lemma *is-none-code* $[code]:$
 $is_none\ None = True$
 $is_none\ (Some\ x) = False$
 $\langle proof \rangle$

lemma *rel-option-unfold*:
 $rel_option\ R\ x\ y \longleftrightarrow$
 $(is_none\ x \longleftrightarrow is_none\ y) \wedge (\neg is_none\ x \longrightarrow \neg is_none\ y \longrightarrow R\ (the\ x)\ (the\ y))$
 $\langle proof \rangle$

lemma *rel-optionI*:
 $\llbracket is_none\ x \longleftrightarrow is_none\ y; \llbracket \neg is_none\ x; \neg is_none\ y \rrbracket \Longrightarrow P\ (the\ x)\ (the\ y) \rrbracket$
 $\Longrightarrow rel_option\ P\ x\ y$
 $\langle proof \rangle$

lemma *is-none-map-option* $[simp]: is_none\ (map_option\ f\ x) \longleftrightarrow is_none\ x$
 $\langle proof \rangle$

lemma *the-map-option*: $\neg is_none\ x \Longrightarrow the\ (map_option\ f\ x) = f\ (the\ x)$
 $\langle proof \rangle$ **primrec** *bind* $:: 'a\ option \Rightarrow ('a \Rightarrow 'b\ option) \Rightarrow 'b\ option$

where
 $bind_lzero: bind\ None\ f = None$
 $| bind_lunit: bind\ (Some\ x)\ f = f\ x$

lemma *is-none-bind*: $is_none\ (bind\ f\ g) \longleftrightarrow is_none\ f \vee is_none\ (g\ (the\ f))$
 $\langle proof \rangle$

lemma *bind-runit* $[simp]: bind\ x\ Some = x$
 $\langle proof \rangle$

lemma *bind-assoc* $[simp]: bind\ (bind\ x\ f)\ g = bind\ x\ (\lambda y. bind\ (f\ y)\ g)$
 $\langle proof \rangle$

lemma *bind-rzero* $[simp]: bind\ x\ (\lambda x. None) = None$
 $\langle proof \rangle$ **lemma** *bind-cong*: $x = y \Longrightarrow (\bigwedge a. y = Some\ a \Longrightarrow f\ a = g\ a) \Longrightarrow bind\ x\ f = bind\ y\ g$
 $\langle proof \rangle$

lemma *bind-split*: $P\ (bind\ m\ f) \longleftrightarrow (m = None \longrightarrow P\ None) \wedge (\forall v. m = Some\ v \longrightarrow P\ (f\ v))$
 $\langle proof \rangle$

lemma *bind-split-asm*: $P \text{ (bind } m \text{ } f) \longleftrightarrow \neg (m = \text{None} \wedge \neg P \text{ None} \vee (\exists x. m = \text{Some } x \wedge \neg P (f \text{ } x)))$
 $\langle \text{proof} \rangle$

lemmas *bind-splits* = *bind-split bind-split-asm*

lemma *bind-eq-Some-conv*: $\text{bind } f \text{ } g = \text{Some } x \longleftrightarrow (\exists y. f = \text{Some } y \wedge g \text{ } y = \text{Some } x)$
 $\langle \text{proof} \rangle$

lemma *bind-eq-None-conv*: $\text{Option.bind } a \text{ } f = \text{None} \longleftrightarrow a = \text{None} \vee f \text{ (the } a) = \text{None}$
 $\langle \text{proof} \rangle$

lemma *map-option-bind*: $\text{map-option } f \text{ (bind } x \text{ } g) = \text{bind } x \text{ (map-option } f \circ g)$
 $\langle \text{proof} \rangle$

lemma *bind-option-cong*:
 $\llbracket x = y; \bigwedge z. z \in \text{set-option } y \implies f \text{ } z = g \text{ } z \rrbracket \implies \text{bind } x \text{ } f = \text{bind } y \text{ } g$
 $\langle \text{proof} \rangle$

lemma *bind-option-cong-simp*:
 $\llbracket x = y; \bigwedge z. z \in \text{set-option } y =_{\text{simp}} \implies f \text{ } z = g \text{ } z \rrbracket \implies \text{bind } x \text{ } f = \text{bind } y \text{ } g$
 $\langle \text{proof} \rangle$

lemma *bind-option-cong-code*: $x = y \implies \text{bind } x \text{ } f = \text{bind } y \text{ } f$
 $\langle \text{proof} \rangle$

lemma *bind-map-option*: $\text{bind (map-option } f \text{ } x) \text{ } g = \text{bind } x \text{ (} g \circ f \text{)}$
 $\langle \text{proof} \rangle$

lemma *set-bind-option [simp]*: $\text{set-option (bind } x \text{ } f) = \text{UNION (set-option } x) \text{ (set-option } \circ f)$
 $\langle \text{proof} \rangle$

lemma *map-conv-bind-option*: $\text{map-option } f \text{ } x = \text{Option.bind } x \text{ (Some } \circ f)$
 $\langle \text{proof} \rangle$

end

$\langle ML \rangle$

context
begin

qualified definition *these* :: $'a \text{ option set} \Rightarrow 'a \text{ set}$
where *these* $A = \text{the } \{x \in A. x \neq \text{None}\}$

lemma *these-empty* [simp]: *these* {} = {}
 ⟨proof⟩

lemma *these-insert-None* [simp]: *these* (insert None *A*) = *these A*
 ⟨proof⟩

lemma *these-insert-Some* [simp]: *these* (insert (Some *x*) *A*) = insert *x* (*these A*)
 ⟨proof⟩

lemma *in-these-eq*: $x \in \text{these } A \longleftrightarrow \text{Some } x \in A$
 ⟨proof⟩

lemma *these-image-Some-eq* [simp]: *these* (Some ‘ *A*) = *A*
 ⟨proof⟩

lemma *Some-image-these-eq*: *Some* ‘ *these A* = {*x* ∈ *A*. *x* ≠ None}
 ⟨proof⟩

lemma *these-empty-eq*: *these B* = {} $\longleftrightarrow B$ = {} $\vee B$ = {None}
 ⟨proof⟩

lemma *these-not-empty-eq*: *these B* ≠ {} $\longleftrightarrow B$ ≠ {} $\wedge B$ ≠ {None}
 ⟨proof⟩

end

48.1 Transfer rules for the Transfer package

context includes *lifting-syntax*
begin

lemma *option-bind-transfer* [transfer-rule]:
 (*rel-option A* ==> (*A* ==> *rel-option B*) ==> *rel-option B*)
Option.bind Option.bind
 ⟨proof⟩

lemma *pred-option-parametric* [transfer-rule]:
 ((*A* ==> *op* =) ==> *rel-option A* ==> *op* =) *pred-option pred-option*
 ⟨proof⟩

end

48.1.1 Interaction with finite sets

lemma *finite-option-UNIV* [simp]:
finite (UNIV :: 'a option set) = *finite* (UNIV :: 'a set)
 ⟨proof⟩

instance *option* :: (*finite*) *finite*

<proof>

48.1.2 Code generator setup

lemma *equal-None-code-unfold* [*code-unfold*]:

HOL.equal x None \longleftrightarrow Option.is-none x

HOL.equal None = Option.is-none

<proof>

code-printing

type-constructor *option* \rightarrow

(SML) - option

and *(OCaml) - option*

and *(Haskell) Maybe -*

and *(Scala) !Option[(-)]*

| **constant** *None* \rightarrow

(SML) NONE

and *(OCaml) None*

and *(Haskell) Nothing*

and *(Scala) !None*

| **constant** *Some* \rightarrow

(SML) SOME

and *(OCaml) Some -*

and *(Haskell) Just*

and *(Scala) Some*

| **class-instance** *option* :: *equal* \rightarrow

(Haskell) -

| **constant** *HOL.equal* :: '*a* *option* \Rightarrow '*a* *option* \Rightarrow *bool* \rightarrow

(Haskell) infix 4 ==

code-reserved *SML*

option NONE SOME

code-reserved *OCaml*

option None Some

code-reserved *Scala*

Option None Some

end

49 Partial Function Definitions

theory *Partial-Function*

imports *Complete-Partial-Order Option*

keywords *partial-function* :: *thy-decl*

begin

named-theorems *partial-function-mono monotonicity rules for partial function*

definitions

$\langle ML \rangle$

lemma (in *ccpo*) *in-chain-finite*:

assumes *Complete-Partial-Order.chain* $op \leq A$ *finite* $A \neq \{\}$

shows $\bigsqcup A \in A$

$\langle proof \rangle$

lemma (in *ccpo*) *admissible-chfin*:

$(\forall S. \text{Complete-Partial-Order.chain } op \leq S \longrightarrow \text{finite } S)$

$\implies \text{ccpo.admissible Sup } op \leq P$

$\langle proof \rangle$

49.1 Axiomatic setup

This technical locale contains the requirements for function definitions with *ccpo* fixed points.

definition *fun-ord* $ord\ f\ g \longleftrightarrow (\forall x. \text{ord } (f\ x) \ (g\ x))$

definition *fun-lub* $L\ A = (\lambda x. L\ \{y. \exists f \in A. y = f\ x\})$

definition *img-ord* $f\ ord = (\lambda x\ y. \text{ord } (f\ x) \ (f\ y))$

definition *img-lub* $f\ g\ Lub = (\lambda A. g\ (Lub\ (f\ ` A)))$

lemma *chain-fun*:

assumes $A: \text{chain } (\text{fun-ord } ord)\ A$

shows $\text{chain } ord\ \{y. \exists f \in A. y = f\ a\}$ (is *chain* $ord\ ?C$)

$\langle proof \rangle$

lemma *call-mono*[*partial-function-mono*]: *monotone* $(\text{fun-ord } ord)\ ord\ (\lambda f. f\ t)$

$\langle proof \rangle$

lemma *let-mono*[*partial-function-mono*]:

$(\bigwedge x. \text{monotone } orda\ ordb\ (\lambda f. b\ f\ x))$

$\implies \text{monotone } orda\ ordb\ (\lambda f. \text{Let } t\ (b\ f))$

$\langle proof \rangle$

lemma *if-mono*[*partial-function-mono*]: *monotone* $orda\ ordb\ F$

$\implies \text{monotone } orda\ ordb\ G$

$\implies \text{monotone } orda\ ordb\ (\lambda f. \text{if } c \text{ then } F\ f \text{ else } G\ f)$

$\langle proof \rangle$

definition *mk-less* $R = (\lambda x\ y. R\ x\ y \wedge \neg R\ y\ x)$

locale *partial-function-definitions* =

fixes $leq :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

fixes $lub :: 'a\ \text{set} \Rightarrow 'a$

assumes *leq-refl*: $leq\ x\ x$

assumes *leq-trans*: $leq\ x\ y \implies leq\ y\ z \implies leq\ x\ z$

assumes *leq-antisym*: $leq\ x\ y \implies leq\ y\ x \implies x = y$

assumes *lub-upper*: $\text{chain } leq\ A \implies x \in A \implies leq\ x\ (lub\ A)$

assumes *lub-least*: $\text{chain } \text{leq } A \implies (\bigwedge x. x \in A \implies \text{leq } x \ z) \implies \text{leq } (\text{lub } A) \ z$

lemma *partial-function-lift*:

assumes *partial-function-definitions* *ord* *lb*

shows *partial-function-definitions* (*fun-ord* *ord*) (*fun-lub* *lb*) (**is** *partial-function-definitions* *?ordf* *?lubf*)
 $\langle \text{proof} \rangle$

lemma *ccpo*: **assumes** *partial-function-definitions* *ord* *lb*

shows *class.ccpo* *lb* *ord* (*mk-less* *ord*)

$\langle \text{proof} \rangle$

lemma *partial-function-image*:

assumes *partial-function-definitions* *ord* *Lub*

assumes *inj*: $\bigwedge x \ y. f \ x = f \ y \implies x = y$

assumes *inv*: $\bigwedge x. f \ (g \ x) = x$

shows *partial-function-definitions* (*img-ord* *f* *ord*) (*img-lub* *f* *g* *Lub*)

$\langle \text{proof} \rangle$

context *partial-function-definitions*

begin

abbreviation *le-fun* \equiv *fun-ord* *leq*

abbreviation *lub-fun* \equiv *fun-lub* *lub*

abbreviation *fixp-fun* \equiv *ccpo.fixp* *lub-fun* *le-fun*

abbreviation *mono-body* \equiv *monotone* *le-fun* *leq*

abbreviation *admissible* \equiv *ccpo.admissible* *lub-fun* *le-fun*

Interpret manually, to avoid flooding everything with facts about orders

lemma *ccpo*: *class.ccpo* *lub-fun* *le-fun* (*mk-less* *le-fun*)

$\langle \text{proof} \rangle$

The crucial fixed-point theorem

lemma *mono-body-fixp*:

$(\bigwedge x. \text{mono-body } (\lambda f. F \ f \ x)) \implies \text{fixp-fun } F = F \ (\text{fixp-fun } F)$

$\langle \text{proof} \rangle$

Version with curry/uncurry combinators, to be used by package

lemma *fixp-rule-uc*:

fixes *F* :: 'c \Rightarrow 'c **and**

U :: 'c \Rightarrow 'b \Rightarrow 'a **and**

C :: ('b \Rightarrow 'a) \Rightarrow 'c

assumes *mono*: $\bigwedge x. \text{mono-body } (\lambda f. U \ (F \ (C \ f)) \ x)$

assumes *eq*: $f \equiv C \ (\text{fixp-fun } (\lambda f. U \ (F \ (C \ f))))$

assumes *inverse*: $\bigwedge f. C \ (U \ f) = f$

shows $f = F \ f$

$\langle \text{proof} \rangle$

Fixpoint induction rule

lemma *fixp-induct-uc*:
fixes $F :: 'c \Rightarrow 'c$
and $U :: 'c \Rightarrow 'b \Rightarrow 'a$
and $C :: ('b \Rightarrow 'a) \Rightarrow 'c$
and $P :: ('b \Rightarrow 'a) \Rightarrow \text{bool}$
assumes *mono*: $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f)) x)$
and *eq*: $f \equiv C (\text{fixp-fun } (\lambda f. U (F (C f))))$
and *inverse*: $\bigwedge f. U (C f) = f$
and *adm*: *ccpo.admissible lub-fun le-fun* P
and *bot*: $P (\lambda-. \text{lub } \{\})$
and *step*: $\bigwedge f. P (U f) \implies P (U (F f))$
shows $P (U f)$
 $\langle \text{proof} \rangle$

Rules for *mono-body*:

lemma *const-mono*[*partial-function-mono*]: *monotone ord leq* $(\lambda f. c)$
 $\langle \text{proof} \rangle$

end

49.2 Flat interpretation: tailrec and option

definition

flat-ord $b \ x \ y \longleftrightarrow x = b \vee x = y$

definition

flat-lub $b \ A = (\text{if } A \subseteq \{b\} \text{ then } b \text{ else } (THE \ x. x \in A - \{b\}))$

lemma *flat-interpretation*:

partial-function-definitions *flat-ord* b *flat-lub* b

$\langle \text{proof} \rangle$

lemma *flat-ordI*: $(x \neq a \implies x = y) \implies \text{flat-ord } a \ x \ y$

$\langle \text{proof} \rangle$

lemma *flat-ord-antisym*: $\llbracket \text{flat-ord } a \ x \ y; \text{flat-ord } a \ y \ x \rrbracket \implies x = y$

$\langle \text{proof} \rangle$

lemma *antisym-flat-ord*: *antisym* *flat-ord* a

$\langle \text{proof} \rangle$

interpretation *tailrec*:

partial-function-definitions *flat-ord* *undefined* *flat-lub* *undefined*

rewrites *flat-lub* *undefined* $\{\} \equiv \text{undefined}$

$\langle \text{proof} \rangle$

interpretation *option*:

partial-function-definitions *flat-ord* *None* *flat-lub* *None*

rewrites *flat-lub* *None* $\{\} \equiv \text{None}$

$\langle proof \rangle$

abbreviation *tailrec-ord* \equiv *flat-ord undefined*

abbreviation *mono-tailrec* \equiv *monotone (fun-ord tailrec-ord) tailrec-ord*

lemma *tailrec-admissible*:

ccpo.admissible (fun-lub (flat-lub c)) (fun-ord (flat-ord c))
($\lambda a. \forall x. a \neq c \longrightarrow P x (a x)$)

$\langle proof \rangle$

lemma *fixp-induct-tailrec*:

fixes *F* :: 'c \Rightarrow 'c **and**

U :: 'c \Rightarrow 'b \Rightarrow 'a **and**

C :: ('b \Rightarrow 'a) \Rightarrow 'c **and**

P :: 'b \Rightarrow 'a \Rightarrow bool **and**

x :: 'b

assumes *mono*: $\bigwedge x. \text{monotone } (fun\text{-ord } (flat\text{-ord } c)) (flat\text{-ord } c) (\lambda f. U (F (C f)) x)$

assumes *eq*: $f \equiv C (ccpo.\text{fixp } (fun\text{-lub } (flat\text{-lub } c)) (fun\text{-ord } (flat\text{-ord } c)) (\lambda f. U (F (C f))))$

assumes *inverse2*: $\bigwedge f. U (C f) = f$

assumes *step*: $\bigwedge f x y. (\bigwedge x y. U f x = y \Longrightarrow y \neq c \Longrightarrow P x y) \Longrightarrow U (F f) x = y \Longrightarrow y \neq c \Longrightarrow P x y$

assumes *result*: $U f x = y$

assumes *defined*: $y \neq c$

shows $P x y$

$\langle proof \rangle$

lemma *admissible-image*:

assumes *pfun*: *partial-function-definitions le lub*

assumes *adm*: *ccpo.admissible lub le (P o g)*

assumes *inj*: $\bigwedge x y. f x = f y \Longrightarrow x = y$

assumes *inv*: $\bigwedge x. f (g x) = x$

shows *ccpo.admissible (img-lub f g lub) (img-ord f le) P*

$\langle proof \rangle$

lemma *admissible-fun*:

assumes *pfun*: *partial-function-definitions le lub*

assumes *adm*: $\bigwedge x. cppo.admissible \text{ lub } le (Q x)$

shows *ccpo.admissible (fun-lub lub) (fun-ord le) ($\lambda f. \forall x. Q x (f x)$)*

$\langle proof \rangle$

abbreviation *option-ord* \equiv *flat-ord None*

abbreviation *mono-option* \equiv *monotone (fun-ord option-ord) option-ord*

lemma *bind-mono*[*partial-function-mono*]:

assumes *mf*: *mono-option B* **and** *mg*: $\bigwedge y. \text{mono-option } (\lambda f. C y f)$

shows *mono-option* ($\lambda f. \text{Option.bind } (B f) (\lambda y. C y f)$)
 $\langle \text{proof} \rangle$

lemma *flat-lub-in-chain*:
assumes *ch*: *chain* (*flat-ord* *b*) *A*
assumes *lub*: *flat-lub* *b* *A* = *a*
shows $a = b \vee a \in A$
 $\langle \text{proof} \rangle$

lemma *option-admissible*: *option.admissible* ($\%(f::'a \Rightarrow 'b \text{ option}).$
 $(\forall x y. f x = \text{Some } y \longrightarrow P x y)$)
 $\langle \text{proof} \rangle$

lemma *fixp-induct-option*:
fixes $F :: 'c \Rightarrow 'c$ **and**
 $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ option}$ **and**
 $C :: ('b \Rightarrow 'a \text{ option}) \Rightarrow 'c$ **and**
 $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$
assumes *mono*: $\bigwedge x. \text{mono-option } (\lambda f. U (F (C f)) x)$
assumes *eq*: $f \equiv C (\text{ccpo.fixp } (\text{fun-lub } (\text{flat-lub } \text{None})) (\text{fun-ord option-ord}) (\lambda f. U (F (C f))))$
assumes *inverse2*: $\bigwedge f. U (C f) = f$
assumes *step*: $\bigwedge f x y. (\bigwedge x y. U f x = \text{Some } y \Longrightarrow P x y) \Longrightarrow U (F f) x = \text{Some } y \Longrightarrow P x y$
assumes *defined*: $U f x = \text{Some } y$
shows $P x y$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

hide-const (**open**) *chain*

end

theory *Argo*
imports *HOL*
begin

$\langle \text{ML} \rangle$

end

50 Reconstructing external resolution proofs for propositional logic

theory *SAT*
imports *Argo*

begin

$\langle ML \rangle$

end

51 Function Definitions and Termination Proofs

theory *Fun-Def*
imports *Basic-BNF-LFPs Partial-Function SAT*
keywords
function termination :: thy-goal and
fun fun-cases :: thy-decl
begin

51.1 Definitions with default value

definition *THE-default* :: $'a \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow 'a$
where *THE-default* $d\ P = (\text{if } (\exists!x. P\ x) \text{ then } (THE\ x. P\ x) \text{ else } d)$

lemma *THE-defaultI'*: $\exists!x. P\ x \Longrightarrow P\ (THE\text{-default}\ d\ P)$
 $\langle \text{proof} \rangle$

lemma *THE-default1-equality*: $\exists!x. P\ x \Longrightarrow P\ a \Longrightarrow THE\text{-default}\ d\ P = a$
 $\langle \text{proof} \rangle$

lemma *THE-default-none*: $\neg (\exists!x. P\ x) \Longrightarrow THE\text{-default}\ d\ P = d$
 $\langle \text{proof} \rangle$

lemma *fundef-ex1-existence*:
assumes *f-def*: $f \equiv (\lambda x::'a. THE\text{-default}\ (d\ x) (\lambda y. G\ x\ y))$
assumes *ex1*: $\exists!y. G\ x\ y$
shows $G\ x\ (f\ x)$
 $\langle \text{proof} \rangle$

lemma *fundef-ex1-uniqueness*:
assumes *f-def*: $f \equiv (\lambda x::'a. THE\text{-default}\ (d\ x) (\lambda y. G\ x\ y))$
assumes *ex1*: $\exists!y. G\ x\ y$
assumes *elm*: $G\ x\ (h\ x)$
shows $h\ x = f\ x$
 $\langle \text{proof} \rangle$

lemma *fundef-ex1-iff*:
assumes *f-def*: $f \equiv (\lambda x::'a. THE\text{-default}\ (d\ x) (\lambda y. G\ x\ y))$
assumes *ex1*: $\exists!y. G\ x\ y$
shows $(G\ x\ y) = (f\ x = y)$
 $\langle \text{proof} \rangle$

lemma *fundef-default-value*:
assumes *f-def*: $f \equiv (\lambda x :: 'a. \text{THE-default } (d \ x) \ (\lambda y. \ G \ x \ y))$
assumes *graph*: $\bigwedge x \ y. \ G \ x \ y \implies D \ x$
assumes $\neg D \ x$
shows $f \ x = d \ x$
 $\langle \text{proof} \rangle$

definition *in-rel-def[simp]*: $\text{in-rel } R \ x \ y \equiv (x, y) \in R$

lemma *wf-in-rel*: $\text{wf } R \implies \text{wfP } (\text{in-rel } R)$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

51.2 Measure functions

inductive *is-measure* :: $('a \Rightarrow \text{nat}) \Rightarrow \text{bool}$
where *is-measure-trivial*: $\text{is-measure } f$

named-theorems *measure-function rules that guide the heuristic generation of measure functions*
 $\langle ML \rangle$

lemma *measure-size[measure-function]*: $\text{is-measure } \text{size}$
 $\langle \text{proof} \rangle$

lemma *measure-fst[measure-function]*: $\text{is-measure } f \implies \text{is-measure } (\lambda p. f \ (\text{fst } p))$
 $\langle \text{proof} \rangle$

lemma *measure-snd[measure-function]*: $\text{is-measure } f \implies \text{is-measure } (\lambda p. f \ (\text{snd } p))$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

51.3 Congruence rules

lemma *let-cong [fundef-cong]*: $M = N \implies (\bigwedge x. x = N \implies f \ x = g \ x) \implies \text{Let } M \ f = \text{Let } N \ g$
 $\langle \text{proof} \rangle$

lemmas *[fundef-cong]* =
if-cong image-cong INF-cong SUP-cong
bex-cong ball-cong imp-cong map-option-cong Option.bind-cong

lemma *split-cong [fundef-cong]*:
 $(\bigwedge x \ y. (x, y) = q \implies f \ x \ y = g \ x \ y) \implies p = q \implies \text{case-prod } f \ p = \text{case-prod } g \ q$
 $\langle \text{proof} \rangle$

lemma *comp-cong* [*fundef-cong*]: $f (g x) = f' (g' x') \implies (f \circ g) x = (f' \circ g') x'$
 $\langle \text{proof} \rangle$

51.4 Simp rules for termination proofs

declare

trans-less-add1 [*termination-simp*]
trans-less-add2 [*termination-simp*]
trans-le-add1 [*termination-simp*]
trans-le-add2 [*termination-simp*]
less-imp-le-nat [*termination-simp*]
le-imp-less-Suc [*termination-simp*]

lemma *size-prod-simp* [*termination-simp*]: $\text{size-prod } f g p = f (\text{fst } p) + g (\text{snd } p)$
 $+ \text{Suc } 0$
 $\langle \text{proof} \rangle$

51.5 Decomposition

lemma *less-by-empty*: $A = \{\} \implies A \subseteq B$
and *union-comp-emptyL*: $A \ O \ C = \{\} \implies B \ O \ C = \{\} \implies (A \cup B) \ O \ C = \{\}$
and *union-comp-emptyR*: $A \ O \ B = \{\} \implies A \ O \ C = \{\} \implies A \ O (B \cup C) = \{\}$
and *wf-no-loop*: $R \ O \ R = \{\} \implies \text{wf } R$
 $\langle \text{proof} \rangle$

51.6 Reduction pairs

definition *reduction-pair* $P \longleftrightarrow \text{wf } (\text{fst } P) \wedge \text{fst } P \ O \ \text{snd } P \subseteq \text{fst } P$

lemma *reduction-pairI* [*intro*]: $\text{wf } R \implies R \ O \ S \subseteq R \implies \text{reduction-pair } (R, S)$
 $\langle \text{proof} \rangle$

lemma *reduction-pair-lemma*:

assumes *rp*: *reduction-pair* P
assumes $R \subseteq \text{fst } P$
assumes $S \subseteq \text{snd } P$
assumes $\text{wf } S$
shows $\text{wf } (R \cup S)$

$\langle \text{proof} \rangle$

definition *rp-inv-image* $= (\lambda(R,S) f. (\text{inv-image } R f, \text{inv-image } S f))$

lemma *rp-inv-image-rp*: *reduction-pair* $P \implies \text{reduction-pair } (\text{rp-inv-image } P f)$
 $\langle \text{proof} \rangle$

51.7 Concrete orders for SCNP termination proofs

definition *pair-less* $= \text{less-than } <*\text{lex}* > \text{less-than}$

definition *pair-leq* $= \text{pair-less } ^\wedge =$

definition *max-strict* $= \text{max-ext pair-less}$

definition $max\text{-}weak = max\text{-}ext\ pair\text{-}leq \cup \{(\{\}, \{\})\}$

definition $min\text{-}strict = min\text{-}ext\ pair\text{-}less$

definition $min\text{-}weak = min\text{-}ext\ pair\text{-}leq \cup \{(\{\}, \{\})\}$

lemma $wf\text{-}pair\text{-}less[simp]: wf\ pair\text{-}less$
 $\langle proof \rangle$

Introduction rules for $pair\text{-}less/pair\text{-}leq$

lemma $pair\text{-}leqI1: a < b \implies ((a, s), (b, t)) \in pair\text{-}leq$
and $pair\text{-}leqI2: a \leq b \implies s \leq t \implies ((a, s), (b, t)) \in pair\text{-}leq$
and $pair\text{-}lessI1: a < b \implies ((a, s), (b, t)) \in pair\text{-}less$
and $pair\text{-}lessI2: a \leq b \implies s < t \implies ((a, s), (b, t)) \in pair\text{-}less$
 $\langle proof \rangle$

Introduction rules for max

lemma $smax\text{-}emptyI: finite\ Y \implies Y \neq \{\} \implies (\{\}, Y) \in max\text{-}strict$
and $smax\text{-}insertI:$
 $y \in Y \implies (x, y) \in pair\text{-}less \implies (X, Y) \in max\text{-}strict \implies (insert\ x\ X, Y) \in max\text{-}strict$
and $wmax\text{-}emptyI: finite\ X \implies (\{\}, X) \in max\text{-}weak$
and $wmax\text{-}insertI:$
 $y \in YS \implies (x, y) \in pair\text{-}leq \implies (XS, YS) \in max\text{-}weak \implies (insert\ x\ XS, YS) \in max\text{-}weak$
 $\langle proof \rangle$

Introduction rules for min

lemma $smin\text{-}emptyI: X \neq \{\} \implies (X, \{\}) \in min\text{-}strict$
and $smin\text{-}insertI:$
 $x \in XS \implies (x, y) \in pair\text{-}less \implies (XS, YS) \in min\text{-}strict \implies (XS, insert\ y\ YS) \in min\text{-}strict$
and $wmin\text{-}emptyI: (X, \{\}) \in min\text{-}weak$
and $wmin\text{-}insertI:$
 $x \in XS \implies (x, y) \in pair\text{-}leq \implies (XS, YS) \in min\text{-}weak \implies (XS, insert\ y\ YS) \in min\text{-}weak$
 $\langle proof \rangle$

Reduction Pairs.

lemma $max\text{-}ext\text{-}compat:$
assumes $R\ O\ S \subseteq R$
shows $max\text{-}ext\ R\ O\ (max\text{-}ext\ S \cup \{(\{\}, \{\})\}) \subseteq max\text{-}ext\ R$
 $\langle proof \rangle$

lemma $max\text{-}rpair\text{-}set: reduction\text{-}pair\ (max\text{-}strict, max\text{-}weak)$
 $\langle proof \rangle$

lemma $min\text{-}ext\text{-}compat:$
assumes $R\ O\ S \subseteq R$
shows $min\text{-}ext\ R\ O\ (min\text{-}ext\ S \cup \{(\{\}, \{\})\}) \subseteq min\text{-}ext\ R$

$\langle proof \rangle$

lemma *min-rpair-set*: *reduction-pair* (*min-strict*, *min-weak*)
 $\langle proof \rangle$

51.8 Yet another induction principle on the natural numbers

lemma *nat-descend-induct* [*case-names base descend*]:
fixes $P :: nat \Rightarrow bool$
assumes $H1: \bigwedge k. k > n \implies P\ k$
assumes $H2: \bigwedge k. k \leq n \implies (\bigwedge i. i > k \implies P\ i) \implies P\ k$
shows $P\ m$
 $\langle proof \rangle$

51.9 Tool setup

$\langle ML \rangle$

end

52 The Integers as Equivalence Classes over Pairs of Natural Numbers

theory *Int*
imports *Equiv-Relations Power Quotient Fun-Def*
begin

52.1 Definition of integers as a quotient type

definition *intrel* :: $(nat \times nat) \Rightarrow (nat \times nat) \Rightarrow bool$
where $intrel = (\lambda(x, y) (u, v). x + v = u + y)$

lemma *intrel-iff* [*simp*]: $intrel\ (x, y)\ (u, v) \longleftrightarrow x + v = u + y$
 $\langle proof \rangle$

quotient-type $int = nat \times nat / intrel$
morphisms *Rep-Integ Abs-Integ*
 $\langle proof \rangle$

lemma *eq-Abs-Integ* [*case-names Abs-Integ, cases type: int*]:
 $(\bigwedge x\ y. z = Abs-Integ\ (x, y) \implies P) \implies P$
 $\langle proof \rangle$

52.2 Integers form a commutative ring

instantiation $int :: comm-ring-1$
begin

lift-definition *zero-int* :: int **is** $(0, 0)$ $\langle proof \rangle$

lift-definition *one-int* :: *int* **is** (1, 0) $\langle proof \rangle$

lift-definition *plus-int* :: *int* \Rightarrow *int* \Rightarrow *int*
is $\lambda(x, y) (u, v). (x + u, y + v)$
 $\langle proof \rangle$

lift-definition *uminus-int* :: *int* \Rightarrow *int*
is $\lambda(x, y). (y, x)$
 $\langle proof \rangle$

lift-definition *minus-int* :: *int* \Rightarrow *int* \Rightarrow *int*
is $\lambda(x, y) (u, v). (x + v, y + u)$
 $\langle proof \rangle$

lift-definition *times-int* :: *int* \Rightarrow *int* \Rightarrow *int*
is $\lambda(x, y) (u, v). (x*u + y*v, x*v + y*u)$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

abbreviation *int* :: *nat* \Rightarrow *int*
where *int* \equiv *of-nat*

lemma *int-def*: *int* *n* = *Abs-Integ* (*n*, 0)
 $\langle proof \rangle$

lemma *int-transfer* [*transfer-rule*]: (*rel-fun* (*op* =) *pcr-int*) ($\lambda n. (n, 0)$) *int*
 $\langle proof \rangle$

lemma *int-diff-cases*: **obtains** (*diff*) *m n* **where** *z* = *int m* – *int n*
 $\langle proof \rangle$

52.3 Integers are totally ordered

instantiation *int* :: *linorder*
begin

lift-definition *less-eq-int* :: *int* \Rightarrow *int* \Rightarrow *bool*
is $\lambda(x, y) (u, v). x + v \leq u + y$
 $\langle proof \rangle$

lift-definition *less-int* :: *int* \Rightarrow *int* \Rightarrow *bool*
is $\lambda(x, y) (u, v). x + v < u + y$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

instantiation $int :: distrib-lattice$
begin

definition $(inf :: int \Rightarrow int \Rightarrow int) = min$

definition $(sup :: int \Rightarrow int \Rightarrow int) = max$

instance
 $\langle proof \rangle$

end

52.4 Ordering properties of arithmetic operations

instance $int :: ordered-cancel-ab-semigroup-add$
 $\langle proof \rangle$

Strict Monotonicity of Multiplication.

Strict, in 1st argument; proof is by induction on $k > 0$.

lemma $zmult-zless-mono2$ -lemma: $i < j \implies 0 < k \implies int\ k * i < int\ k * j$
for $i\ j :: int$
 $\langle proof \rangle$

lemma $zero-le-imp-eq-int$: $0 \leq k \implies \exists n. k = int\ n$
for $k :: int$
 $\langle proof \rangle$

lemma $zero-less-imp-eq-int$: $0 < k \implies \exists n > 0. k = int\ n$
for $k :: int$
 $\langle proof \rangle$

lemma $zmult-zless-mono2$: $i < j \implies 0 < k \implies k * i < k * j$
for $i\ j\ k :: int$
 $\langle proof \rangle$

The integers form an ordered integral domain.

instantiation $int :: linordered-idom$
begin

definition $zabs-def$: $|i::int| = (if\ i < 0\ then\ -\ i\ else\ i)$

definition $zsgn-def$: $sgn\ (i::int) = (if\ i = 0\ then\ 0\ else\ if\ 0 < i\ then\ 1\ else\ -\ 1)$

instance

$\langle proof \rangle$

end

lemma *zless-imp-add1-zle*: $w < z \implies w + 1 \leq z$

for $w\ z :: \text{int}$

$\langle proof \rangle$

lemma *zless-iff-Suc-zadd*: $w < z \longleftrightarrow (\exists n. z = w + \text{int } (\text{Suc } n))$

for $w\ z :: \text{int}$

$\langle proof \rangle$

lemma *zabs-less-one-iff* [simp]: $|z| < 1 \longleftrightarrow z = 0$ (**is** $?lhs \longleftrightarrow ?rhs$)

for $z :: \text{int}$

$\langle proof \rangle$

lemmas *int-distrib* =

distrib-right [of $z1\ z2\ w$]

distrib-left [of $w\ z1\ z2$]

left-diff-distrib [of $z1\ z2\ w$]

right-diff-distrib [of $w\ z1\ z2$]

for $z1\ z2\ w :: \text{int}$

52.5 Embedding of the Integers into any *ring-1*: *of-int*

context *ring-1*

begin

lift-definition *of-int* :: $\text{int} \Rightarrow 'a$

is $\lambda(i, j). \text{of-nat } i - \text{of-nat } j$

$\langle proof \rangle$

lemma *of-int-0* [simp]: $\text{of-int } 0 = 0$

$\langle proof \rangle$

lemma *of-int-1* [simp]: $\text{of-int } 1 = 1$

$\langle proof \rangle$

lemma *of-int-add* [simp]: $\text{of-int } (w + z) = \text{of-int } w + \text{of-int } z$

$\langle proof \rangle$

lemma *of-int-minus* [simp]: $\text{of-int } (- z) = - (\text{of-int } z)$

$\langle proof \rangle$

lemma *of-int-diff* [simp]: $\text{of-int } (w - z) = \text{of-int } w - \text{of-int } z$

$\langle proof \rangle$

lemma *of-int-mult* [simp]: $\text{of-int } (w * z) = \text{of-int } w * \text{of-int } z$

$\langle proof \rangle$

lemma *mult-of-int-commute*: $of-int\ x * y = y * of-int\ x$
 $\langle proof \rangle$

Collapse nested embeddings.

lemma *of-int-of-nat-eq* [*simp*]: $of-int\ (int\ n) = of-nat\ n$
 $\langle proof \rangle$

lemma *of-int-numeral* [*simp*, *code-post*]: $of-int\ (numeral\ k) = numeral\ k$
 $\langle proof \rangle$

lemma *of-int-neg-numeral* [*code-post*]: $of-int\ (-\ numeral\ k) = -\ numeral\ k$
 $\langle proof \rangle$

lemma *of-int-power* [*simp*]: $of-int\ (z \wedge n) = of-int\ z \wedge n$
 $\langle proof \rangle$

end

context *ring-char-0*
begin

lemma *of-int-eq-iff* [*simp*]: $of-int\ w = of-int\ z \longleftrightarrow w = z$
 $\langle proof \rangle$

Special cases where either operand is zero.

lemma *of-int-eq-0-iff* [*simp*]: $of-int\ z = 0 \longleftrightarrow z = 0$
 $\langle proof \rangle$

lemma *of-int-0-eq-iff* [*simp*]: $0 = of-int\ z \longleftrightarrow z = 0$
 $\langle proof \rangle$

lemma *of-int-eq-1-iff* [*iff*]: $of-int\ z = 1 \longleftrightarrow z = 1$
 $\langle proof \rangle$

end

context *linordered-idom*
begin

Every *linordered-idom* has characteristic zero.

subclass *ring-char-0* $\langle proof \rangle$

lemma *of-int-le-iff* [*simp*]: $of-int\ w \leq of-int\ z \longleftrightarrow w \leq z$
 $\langle proof \rangle$

lemma *of-int-less-iff* [*simp*]: $of-int\ w < of-int\ z \longleftrightarrow w < z$
 $\langle proof \rangle$

lemma *of-int-0-le-iff* [simp]: $0 \leq \text{of-int } z \longleftrightarrow 0 \leq z$
 ⟨proof⟩

lemma *of-int-le-0-iff* [simp]: $\text{of-int } z \leq 0 \longleftrightarrow z \leq 0$
 ⟨proof⟩

lemma *of-int-0-less-iff* [simp]: $0 < \text{of-int } z \longleftrightarrow 0 < z$
 ⟨proof⟩

lemma *of-int-less-0-iff* [simp]: $\text{of-int } z < 0 \longleftrightarrow z < 0$
 ⟨proof⟩

lemma *of-int-1-le-iff* [simp]: $1 \leq \text{of-int } z \longleftrightarrow 1 \leq z$
 ⟨proof⟩

lemma *of-int-le-1-iff* [simp]: $\text{of-int } z \leq 1 \longleftrightarrow z \leq 1$
 ⟨proof⟩

lemma *of-int-1-less-iff* [simp]: $1 < \text{of-int } z \longleftrightarrow 1 < z$
 ⟨proof⟩

lemma *of-int-less-1-iff* [simp]: $\text{of-int } z < 1 \longleftrightarrow z < 1$
 ⟨proof⟩

lemma *of-int-pos*: $z > 0 \implies \text{of-int } z > 0$
 ⟨proof⟩

lemma *of-int-nonneg*: $z \geq 0 \implies \text{of-int } z \geq 0$
 ⟨proof⟩

lemma *of-int-abs* [simp]: $\text{of-int } |x| = |\text{of-int } x|$
 ⟨proof⟩

lemma *of-int-lessD*:
 assumes $|\text{of-int } n| < x$
 shows $n = 0 \vee x > 1$
 ⟨proof⟩

lemma *of-int-leD*:
 assumes $|\text{of-int } n| \leq x$
 shows $n = 0 \vee 1 \leq x$
 ⟨proof⟩

end

Comparisons involving *of-int*.

lemma *of-int-eq-numeral-iff* [iff]: $\text{of-int } z = (\text{numeral } n :: 'a::\text{ring-char-0}) \longleftrightarrow z = \text{numeral } n$

$\langle \text{proof} \rangle$

lemma *of-int-le-numeral-iff* [simp]:
 $\text{of-int } z \leq (\text{numeral } n :: 'a::\text{linordered-idom}) \longleftrightarrow z \leq \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *of-int-numeral-le-iff* [simp]:
 $(\text{numeral } n :: 'a::\text{linordered-idom}) \leq \text{of-int } z \longleftrightarrow \text{numeral } n \leq z$
 $\langle \text{proof} \rangle$

lemma *of-int-less-numeral-iff* [simp]:
 $\text{of-int } z < (\text{numeral } n :: 'a::\text{linordered-idom}) \longleftrightarrow z < \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *of-int-numeral-less-iff* [simp]:
 $(\text{numeral } n :: 'a::\text{linordered-idom}) < \text{of-int } z \longleftrightarrow \text{numeral } n < z$
 $\langle \text{proof} \rangle$

lemma *of-nat-less-of-int-iff*: $(\text{of-nat } n :: 'a::\text{linordered-idom}) < \text{of-int } x \longleftrightarrow \text{int } n < x$
 $\langle \text{proof} \rangle$

lemma *of-int-eq-id* [simp]: $\text{of-int} = \text{id}$
 $\langle \text{proof} \rangle$

instance *int* :: *no-top*
 $\langle \text{proof} \rangle$

instance *int* :: *no-bot*
 $\langle \text{proof} \rangle$

52.6 Magnitude of an Integer, as a Natural Number: *nat*

lift-definition *nat* :: *int* \Rightarrow *nat* is $\lambda(x, y). x - y$
 $\langle \text{proof} \rangle$

lemma *nat-int* [simp]: $\text{nat } (\text{int } n) = n$
 $\langle \text{proof} \rangle$

lemma *int-nat-eq* [simp]: $\text{int } (\text{nat } z) = (\text{if } 0 \leq z \text{ then } z \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *nat-0-le*: $0 \leq z \implies \text{int } (\text{nat } z) = z$
 $\langle \text{proof} \rangle$

lemma *nat-le-0* [simp]: $z \leq 0 \implies \text{nat } z = 0$
 $\langle \text{proof} \rangle$

lemma *nat-le-eq-zle*: $0 < w \vee 0 \leq z \implies \text{nat } w \leq \text{nat } z \longleftrightarrow w \leq z$

$\langle \text{proof} \rangle$

An alternative condition is $(0::'a) \leq w$.

lemma *nat-mono-iff*: $0 < z \implies \text{nat } w < \text{nat } z \longleftrightarrow w < z$
 $\langle \text{proof} \rangle$

lemma *nat-less-eq-zless*: $0 \leq w \implies \text{nat } w < \text{nat } z \longleftrightarrow w < z$
 $\langle \text{proof} \rangle$

lemma *zless-nat-conj* [simp]: $\text{nat } w < \text{nat } z \longleftrightarrow 0 < z \wedge w < z$
 $\langle \text{proof} \rangle$

lemma *nonneg-int-cases*:
 assumes $0 \leq k$
 obtains n where $k = \text{int } n$
 $\langle \text{proof} \rangle$

lemma *pos-int-cases*:
 assumes $0 < k$
 obtains n where $k = \text{int } n$ and $n > 0$
 $\langle \text{proof} \rangle$

lemma *nonpos-int-cases*:
 assumes $k \leq 0$
 obtains n where $k = - \text{int } n$
 $\langle \text{proof} \rangle$

lemma *neg-int-cases*:
 assumes $k < 0$
 obtains n where $k = - \text{int } n$ and $n > 0$
 $\langle \text{proof} \rangle$

lemma *nat-eq-iff*: $\text{nat } w = m \longleftrightarrow (\text{if } 0 \leq w \text{ then } w = \text{int } m \text{ else } m = 0)$
 $\langle \text{proof} \rangle$

lemma *nat-eq-iff2*: $m = \text{nat } w \longleftrightarrow (\text{if } 0 \leq w \text{ then } w = \text{int } m \text{ else } m = 0)$
 $\langle \text{proof} \rangle$

lemma *nat-0* [simp]: $\text{nat } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *nat-1* [simp]: $\text{nat } 1 = \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma *nat-numeral* [simp]: $\text{nat } (\text{numeral } k) = \text{numeral } k$
 $\langle \text{proof} \rangle$

lemma *nat-neg-numeral* [simp]: $\text{nat } (- \text{numeral } k) = 0$
 $\langle \text{proof} \rangle$

lemma *nat-2*: $\text{nat } 2 = \text{Suc } (\text{Suc } 0)$

<proof>

lemma *nat-less-iff*: $0 \leq w \implies \text{nat } w < m \longleftrightarrow w < \text{of-nat } m$

<proof>

lemma *nat-le-iff*: $\text{nat } x \leq n \longleftrightarrow x \leq \text{int } n$

<proof>

lemma *nat-mono*: $x \leq y \implies \text{nat } x \leq \text{nat } y$

<proof>

lemma *nat-0-iff* [simp]: $\text{nat } i = 0 \longleftrightarrow i \leq 0$

for $i :: \text{int}$

<proof>

lemma *int-eq-iff*: $\text{of-nat } m = z \longleftrightarrow m = \text{nat } z \wedge 0 \leq z$

<proof>

lemma *zero-less-nat-eq* [simp]: $0 < \text{nat } z \longleftrightarrow 0 < z$

<proof>

lemma *nat-add-distrib*: $0 \leq z \implies 0 \leq z' \implies \text{nat } (z + z') = \text{nat } z + \text{nat } z'$

<proof>

lemma *nat-diff-distrib'*: $0 \leq x \implies 0 \leq y \implies \text{nat } (x - y) = \text{nat } x - \text{nat } y$

<proof>

lemma *nat-diff-distrib*: $0 \leq z' \implies z' \leq z \implies \text{nat } (z - z') = \text{nat } z - \text{nat } z'$

<proof>

lemma *nat-zminus-int* [simp]: $\text{nat } (- \text{int } n) = 0$

<proof>

lemma *le-nat-iff*: $k \geq 0 \implies n \leq \text{nat } k \longleftrightarrow \text{int } n \leq k$

<proof>

lemma *zless-nat-eq-int-zless*: $m < \text{nat } z \longleftrightarrow \text{int } m < z$

<proof>

lemma (**in** *ring-1*) *of-nat-nat* [simp]: $0 \leq z \implies \text{of-nat } (\text{nat } z) = \text{of-int } z$

<proof>

lemma *diff-nat-numeral* [simp]: $(\text{numeral } v :: \text{nat}) - \text{numeral } v' = \text{nat } (\text{numeral } v - \text{numeral } v')$

<proof>

For termination proofs:

lemma *measure-function-int*[*measure-function*]: *is-measure* (*nat* \circ *abs*) \langle *proof* \rangle

52.7 Lemmas about the Function *of-nat* and Orderings

lemma *negative-zless-0*: $-(\text{int } (\text{Suc } n)) < (0 :: \text{int})$
 \langle *proof* \rangle

lemma *negative-zless [iff]*: $-(\text{int } (\text{Suc } n)) < \text{int } m$
 \langle *proof* \rangle

lemma *negative-zle-0*: $-\text{int } n \leq 0$
 \langle *proof* \rangle

lemma *negative-zle [iff]*: $-\text{int } n \leq \text{int } m$
 \langle *proof* \rangle

lemma *not-zle-0-negative [simp]*: $\neg 0 \leq -\text{int } (\text{Suc } n)$
 \langle *proof* \rangle

lemma *int-zle-neg*: $\text{int } n \leq -\text{int } m \longleftrightarrow n = 0 \wedge m = 0$
 \langle *proof* \rangle

lemma *not-int-zless-negative [simp]*: $\neg \text{int } n < -\text{int } m$
 \langle *proof* \rangle

lemma *negative-eq-positive [simp]*: $-\text{int } n = \text{of-nat } m \longleftrightarrow n = 0 \wedge m = 0$
 \langle *proof* \rangle

lemma *zle-iff-zadd*: $w \leq z \longleftrightarrow (\exists n. z = w + \text{int } n)$
 (is ?lhs \longleftrightarrow ?rhs)
 \langle *proof* \rangle

lemma *zadd-int-left*: $\text{int } m + (\text{int } n + z) = \text{int } (m + n) + z$
 \langle *proof* \rangle

This version is proved for all ordered rings, not just integers! It is proved here because attribute *arith-split* is not available in theory *Rings*. But is it really better than just rewriting with *abs-if*?

lemma *abs-split [arith-split, no-atp]*: $P |a| \longleftrightarrow (0 \leq a \longrightarrow P a) \wedge (a < 0 \longrightarrow P (-a))$
for $a :: 'a::\text{linordered-idom}$
 \langle *proof* \rangle

lemma *negD*: $x < 0 \implies \exists n. x = -(\text{int } (\text{Suc } n))$
 \langle *proof* \rangle

52.8 Cases and induction

Now we replace the case analysis rule by a more conventional one: whether an integer is negative or not.

This version is symmetric in the two subgoals.

lemma *int-cases2* [*case-names nonneg nonpos, cases type: int*]:
 $(\bigwedge n. z = \text{int } n \implies P) \implies (\bigwedge n. z = - (\text{int } n) \implies P) \implies P$
 $\langle \text{proof} \rangle$

This is the default, with a negative case.

lemma *int-cases* [*case-names nonneg neg, cases type: int*]:
 $(\bigwedge n. z = \text{int } n \implies P) \implies (\bigwedge n. z = - (\text{int } (\text{Suc } n)) \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *int-cases3* [*case-names zero pos neg*]:
fixes $k :: \text{int}$
assumes $k = 0 \implies P$ **and** $\bigwedge n. k = \text{int } n \implies n > 0 \implies P$
and $\bigwedge n. k = - \text{int } n \implies n > 0 \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *int-of-nat-induct* [*case-names nonneg neg, induct type: int*]:
 $(\bigwedge n. P (\text{int } n)) \implies (\bigwedge n. P (- (\text{int } (\text{Suc } n)))) \implies P z$
 $\langle \text{proof} \rangle$

lemma *Let-numeral* [*simp*]: *Let* (*numeral v*) $f = f$ (*numeral v*)
— Unfold all *lets* involving constants
 $\langle \text{proof} \rangle$

lemma *Let-neg-numeral* [*simp*]: *Let* ($- \text{numeral } v$) $f = f$ ($- \text{numeral } v$)
— Unfold all *lets* involving constants
 $\langle \text{proof} \rangle$

Unfold *min* and *max* on numerals.

lemmas *max-number-of* [*simp*] =
max-def [*of numeral u numeral v*]
max-def [*of numeral u - numeral v*]
max-def [*of - numeral u numeral v*]
max-def [*of - numeral u - numeral v*] **for** $u v$

lemmas *min-number-of* [*simp*] =
min-def [*of numeral u numeral v*]
min-def [*of numeral u - numeral v*]
min-def [*of - numeral u numeral v*]
min-def [*of - numeral u - numeral v*] **for** $u v$

52.8.1 Binary comparisons

Preliminaries

lemma *le-imp-0-less*:
 fixes $z :: \text{int}$
 assumes $le: 0 \leq z$
 shows $0 < 1 + z$
<proof>

lemma *odd-less-0-iff*: $1 + z + z < 0 \longleftrightarrow z < 0$
 for $z :: \text{int}$
<proof>

52.8.2 Comparisons, for Ordered Rings

lemmas *double-eq-0-iff = double-zero*

lemma *odd-nonzero*: $1 + z + z \neq 0$
 for $z :: \text{int}$
<proof>

52.9 The Set of Integers

context *ring-1*
begin

definition *Ints* :: 'a set (\mathbb{Z})
 where $\mathbb{Z} = \text{range of-int}$

lemma *Ints-of-int [simp]*: $\text{of-int } z \in \mathbb{Z}$
<proof>

lemma *Ints-of-nat [simp]*: $\text{of-nat } n \in \mathbb{Z}$
<proof>

lemma *Ints-0 [simp]*: $0 \in \mathbb{Z}$
<proof>

lemma *Ints-1 [simp]*: $1 \in \mathbb{Z}$
<proof>

lemma *Ints-numeral [simp]*: $\text{numeral } n \in \mathbb{Z}$
<proof>

lemma *Ints-add [simp]*: $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a + b \in \mathbb{Z}$
<proof>

lemma *Ints-minus [simp]*: $a \in \mathbb{Z} \implies -a \in \mathbb{Z}$
<proof>

lemma *Ints-diff* [*simp*]: $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a - b \in \mathbb{Z}$
 ⟨*proof*⟩

lemma *Ints-mult* [*simp*]: $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a * b \in \mathbb{Z}$
 ⟨*proof*⟩

lemma *Ints-power* [*simp*]: $a \in \mathbb{Z} \implies a ^ n \in \mathbb{Z}$
 ⟨*proof*⟩

lemma *Ints-cases* [*cases set: Ints*]:
 assumes $q \in \mathbb{Z}$
 obtains (*of-int*) z **where** $q = \text{of-int } z$
 ⟨*proof*⟩

lemma *Ints-induct* [*case-names of-int, induct set: Ints*]:
 $q \in \mathbb{Z} \implies (\bigwedge z. P (\text{of-int } z)) \implies P q$
 ⟨*proof*⟩

lemma *Nats-subset-Ints*: $\mathbb{N} \subseteq \mathbb{Z}$
 ⟨*proof*⟩

lemma *Nats-altdef1*: $\mathbb{N} = \{\text{of-int } n \mid n. n \geq 0\}$
 ⟨*proof*⟩

end

lemma (*in linordered-idom*) *Ints-abs* [*simp*]:
 shows $a \in \mathbb{Z} \implies \text{abs } a \in \mathbb{Z}$
 ⟨*proof*⟩

lemma (*in linordered-idom*) *Nats-altdef2*: $\mathbb{N} = \{n \in \mathbb{Z}. n \geq 0\}$
 ⟨*proof*⟩

lemma (*in idom-divide*) *of-int-divide-in-Ints*:
 $\text{of-int } a \text{ div } \text{of-int } b \in \mathbb{Z} \text{ if } b \text{ dvd } a$
 ⟨*proof*⟩

The premise involving \mathbb{Z} prevents $a = (1::'a) / (2::'a)$.

lemma *Ints-double-eq-0-iff*:
 fixes $a :: 'a::\text{ring-char-0}$
 assumes *in-Ints*: $a \in \mathbb{Z}$
 shows $a + a = 0 \longleftrightarrow a = 0$
 (*is ?lhs \longleftrightarrow ?rhs*)
 ⟨*proof*⟩

lemma *Ints-odd-nonzero*:
 fixes $a :: 'a::\text{ring-char-0}$
 assumes *in-Ints*: $a \in \mathbb{Z}$

shows $1 + a + a \neq 0$
 $\langle \text{proof} \rangle$

lemma *Nats-numeral* [simp]: *numeral* $w \in \mathbb{N}$
 $\langle \text{proof} \rangle$

lemma *Ints-odd-less-0*:
fixes $a :: 'a::\text{linordered-idom}$
assumes *in-Ints*: $a \in \mathbb{Z}$
shows $1 + a + a < 0 \longleftrightarrow a < 0$
 $\langle \text{proof} \rangle$

52.10 *sum* and *prod*

lemma *of-nat-sum* [simp]: *of-nat* (*sum* f A) = $(\sum x \in A. \text{of-nat}(f\ x))$
 $\langle \text{proof} \rangle$

lemma *of-int-sum* [simp]: *of-int* (*sum* f A) = $(\sum x \in A. \text{of-int}(f\ x))$
 $\langle \text{proof} \rangle$

lemma *of-nat-prod* [simp]: *of-nat* (*prod* f A) = $(\prod x \in A. \text{of-nat}(f\ x))$
 $\langle \text{proof} \rangle$

lemma *of-int-prod* [simp]: *of-int* (*prod* f A) = $(\prod x \in A. \text{of-int}(f\ x))$
 $\langle \text{proof} \rangle$

Legacy theorems

lemmas *int-sum* = *of-nat-sum* [where '*a*=int]
lemmas *int-prod* = *of-nat-prod* [where '*a*=int]
lemmas *zle-int* = *of-nat-le-iff* [where '*a*=int]
lemmas *int-int-eq* = *of-nat-eq-iff* [where '*a*=int]
lemmas *nonneg-eq-int* = *nonneg-int-cases*

52.11 Setting up simplification procedures

lemmas *of-int-simps* =
of-int-0 of-int-1 of-int-add of-int-mult

$\langle \text{ML} \rangle$

52.12 More Inequality Reasoning

lemma *zless-add1-eq*: $w < z + 1 \longleftrightarrow w < z \vee w = z$
for $w\ z :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *add1-zle-eq*: $w + 1 \leq z \longleftrightarrow w < z$
for $w\ z :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *zle-diff1-eq* [*simp*]: $w \leq z - 1 \longleftrightarrow w < z$
for $w\ z :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *zle-add1-eq-le* [*simp*]: $w < z + 1 \longleftrightarrow w \leq z$
for $w\ z :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *int-one-le-iff-zero-less*: $1 \leq z \longleftrightarrow 0 < z$
for $z :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *Ints-nonzero-abs-ge1*:
fixes $x :: 'a :: \text{linordered-idom}$
assumes $x \in \text{Ints}$ $x \neq 0$
shows $1 \leq \text{abs } x$
 $\langle \text{proof} \rangle$

lemma *Ints-nonzero-abs-less1*:
fixes $x :: 'a :: \text{linordered-idom}$
shows $\llbracket x \in \text{Ints}; \text{abs } x < 1 \rrbracket \implies x = 0$
 $\langle \text{proof} \rangle$

52.13 The functions *nat* and *int*

Simplify the term $w + - z$.

lemma *one-less-nat-eq* [*simp*]: $\text{Suc } 0 < \text{nat } z \longleftrightarrow 1 < z$
 $\langle \text{proof} \rangle$

This simplifies expressions of the form $\text{int } n = z$ where z is an integer literal.

lemmas *int-eq-iff-numeral* [*simp*] = *int-eq-iff* [*of - numeral v*] **for** v

lemma *split-nat* [*arith-split*]: $P (\text{nat } i) = ((\forall n. i = \text{int } n \longrightarrow P\ n) \wedge (i < 0 \longrightarrow P\ 0))$
(is $?P = (?L \wedge ?R)$ **)**
for $i :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *nat-abs-int-diff*: $\text{nat } |\text{int } a - \text{int } b| = (\text{if } a \leq b \text{ then } b - a \text{ else } a - b)$
 $\langle \text{proof} \rangle$

lemma *nat-int-add*: $\text{nat } (\text{int } a + \text{int } b) = a + b$
 $\langle \text{proof} \rangle$

context *ring-1*
begin

lemma *of-int-of-nat* [*nitpick-simp*]:
 $\text{of-int } k = (\text{if } k < 0 \text{ then } - \text{of-nat } (\text{nat } (- k)) \text{ else of-nat } (\text{nat } k))$

$\langle proof \rangle$

end

lemma *transfer-rule-of-int*:
fixes $R :: 'a::ring-1 \Rightarrow 'b::ring-1 \Rightarrow bool$
assumes $[transfer-rule]: R\ 0\ 0\ R\ 1\ 1$
 $rel\text{-}fun\ R\ (rel\text{-}fun\ R\ R)\ plus\ plus$
 $rel\text{-}fun\ R\ R\ uminus\ uminus$
shows $rel\text{-}fun\ HOL.eq\ R\ of\text{-}int\ of\text{-}int$
 $\langle proof \rangle$

lemma *nat-mult-distrib*:
fixes $z\ z' :: int$
assumes $0 \leq z$
shows $nat\ (z * z') = nat\ z * nat\ z'$
 $\langle proof \rangle$

lemma *nat-mult-distrib-neg*: $z \leq 0 \implies nat\ (z * z') = nat\ (-z) * nat\ (-z')$
for $z\ z' :: int$
 $\langle proof \rangle$

lemma *nat-abs-mult-distrib*: $nat\ |w * z| = nat\ |w| * nat\ |z|$
 $\langle proof \rangle$

lemma *int-in-range-abs* $[simp]$: $int\ n \in range\ abs$
 $\langle proof \rangle$

lemma *range-abs-Nats* $[simp]$: $range\ abs = (\mathbb{N} :: int\ set)$
 $\langle proof \rangle$

lemma *Suc-nat-eq-nat-zadd1*: $0 \leq z \implies Suc\ (nat\ z) = nat\ (1 + z)$
for $z :: int$
 $\langle proof \rangle$

lemma *diff-nat-eq-if*:
 $nat\ z - nat\ z' =$
 $(if\ z' < 0\ then\ nat\ z$
 $else$
 $let\ d = z - z'$
 $in\ if\ d < 0\ then\ 0\ else\ nat\ d)$
 $\langle proof \rangle$

lemma *nat-numeral-diff-1* $[simp]$: $numeral\ v - (1::nat) = nat\ (numeral\ v - 1)$
 $\langle proof \rangle$

52.14 Induction principles for int

Well-founded segments of the integers.

definition *int-ge-less-than* :: *int* \Rightarrow (*int* \times *int*) *set*
where *int-ge-less-than* *d* = $\{(z', z). d \leq z' \wedge z' < z\}$

lemma *wf-int-ge-less-than*: *wf* (*int-ge-less-than* *d*)
 $\langle proof \rangle$

This variant looks odd, but is typical of the relations suggested by Rank-Finder.

definition *int-ge-less-than2* :: *int* \Rightarrow (*int* \times *int*) *set*
where *int-ge-less-than2* *d* = $\{(z', z). d \leq z \wedge z' < z\}$

lemma *wf-int-ge-less-than2*: *wf* (*int-ge-less-than2* *d*)
 $\langle proof \rangle$

theorem *int-ge-induct* [*case-names* *base step*, *induct set*: *int*]:
fixes *i* :: *int*
assumes *ge*: $k \leq i$
and *base*: $P\ k$
and *step*: $\bigwedge i. k \leq i \Rightarrow P\ i \Rightarrow P\ (i + 1)$
shows $P\ i$
 $\langle proof \rangle$

theorem *int-gr-induct* [*case-names* *base step*, *induct set*: *int*]:
fixes *i k* :: *int*
assumes *gr*: $k < i$
and *base*: $P\ (k + 1)$
and *step*: $\bigwedge i. k < i \Rightarrow P\ i \Rightarrow P\ (i + 1)$
shows $P\ i$
 $\langle proof \rangle$

theorem *int-le-induct* [*consumes* 1, *case-names* *base step*]:
fixes *i k* :: *int*
assumes *le*: $i \leq k$
and *base*: $P\ k$
and *step*: $\bigwedge i. i \leq k \Rightarrow P\ i \Rightarrow P\ (i - 1)$
shows $P\ i$
 $\langle proof \rangle$

theorem *int-less-induct* [*consumes* 1, *case-names* *base step*]:
fixes *i k* :: *int*
assumes *less*: $i < k$
and *base*: $P\ (k - 1)$
and *step*: $\bigwedge i. i < k \Rightarrow P\ i \Rightarrow P\ (i - 1)$
shows $P\ i$
 $\langle proof \rangle$

theorem *int-induct* [*case-names* *base step1 step2*]:

```

fixes  $k :: \text{int}$ 
assumes  $\text{base}: P\ k$ 
  and  $\text{step1}: \bigwedge i. k \leq i \implies P\ i \implies P\ (i + 1)$ 
  and  $\text{step2}: \bigwedge i. k \geq i \implies P\ i \implies P\ (i - 1)$ 
shows  $P\ i$ 
 $\langle \text{proof} \rangle$ 

```

52.15 Intermediate value theorems

```

lemma  $\text{int-val-lemma}: (\forall i < n. |f\ (i + 1) - f\ i| \leq 1) \longrightarrow f\ 0 \leq k \longrightarrow k \leq f\ n$ 
 $\longrightarrow (\exists i \leq n. f\ i = k)$ 
for  $n :: \text{nat}$  and  $k :: \text{int}$ 
 $\langle \text{proof} \rangle$ 

```

lemmas $\text{nat0-intermed-int-val} = \text{int-val-lemma}\ [\text{rule-format}\ (\text{no-asm})]$

```

lemma  $\text{nat-intermed-int-val}$ :
 $\forall i. m \leq i \wedge i < n \longrightarrow |f\ (i + 1) - f\ i| \leq 1 \implies m < n \implies$ 
 $f\ m \leq k \implies k \leq f\ n \implies \exists i. m \leq i \wedge i \leq n \wedge f\ i = k$ 
for  $f :: \text{nat} \Rightarrow \text{int}$  and  $k :: \text{int}$ 
 $\langle \text{proof} \rangle$ 

```

52.16 Products and 1, by T. M. Rasmussen

```

lemma  $\text{abs-zmult-eq-1}$ :
fixes  $m\ n :: \text{int}$ 
assumes  $mn: |m * n| = 1$ 
shows  $|m| = 1$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{pos-zmult-eq-1-iff-lemma}: m * n = 1 \implies m = 1 \vee m = -1$ 
for  $m\ n :: \text{int}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{pos-zmult-eq-1-iff}$ :
fixes  $m\ n :: \text{int}$ 
assumes  $0 < m$ 
shows  $m * n = 1 \longleftrightarrow m = 1 \wedge n = 1$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{zmult-eq-1-iff}: m * n = 1 \longleftrightarrow (m = 1 \wedge n = 1) \vee (m = -1 \wedge n = -1)$ 
for  $m\ n :: \text{int}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma  $\text{infinite-UNIV-int}: \neg \text{finite}\ (\text{UNIV}::\text{int set})$ 
 $\langle \text{proof} \rangle$ 

```

52.17 Further theorems on numerals

52.17.1 Special Simplification for Constants

These distributive laws move literals inside sums and differences.

lemmas *distrib-right-numeral* [simp] = *distrib-right* [of - - numeral v] **for** v

lemmas *distrib-left-numeral* [simp] = *distrib-left* [of numeral v] **for** v

lemmas *left-diff-distrib-numeral* [simp] = *left-diff-distrib* [of - - numeral v] **for** v

lemmas *right-diff-distrib-numeral* [simp] = *right-diff-distrib* [of numeral v] **for** v

These are actually for fields, like real: but where else to put them?

lemmas *zero-less-divide-iff-numeral* [simp, no-atp] = *zero-less-divide-iff* [of numeral w] **for** w

lemmas *divide-less-0-iff-numeral* [simp, no-atp] = *divide-less-0-iff* [of numeral w] **for** w

lemmas *zero-le-divide-iff-numeral* [simp, no-atp] = *zero-le-divide-iff* [of numeral w] **for** w

lemmas *divide-le-0-iff-numeral* [simp, no-atp] = *divide-le-0-iff* [of numeral w] **for** w

Replaces *inverse #nn* by *1/#nn*. It looks strange, but then other simprocs simplify the quotient.

lemmas *inverse-eq-divide-numeral* [simp] =
inverse-eq-divide [of numeral w] **for** w

lemmas *inverse-eq-divide-neg-numeral* [simp] =
inverse-eq-divide [of - numeral w] **for** w

These laws simplify inequalities, moving unary minus from a term into the literal.

lemmas *equation-minus-iff-numeral* [no-atp] =
equation-minus-iff [of numeral v] **for** v

lemmas *minus-equation-iff-numeral* [no-atp] =
minus-equation-iff [of - numeral v] **for** v

lemmas *le-minus-iff-numeral* [no-atp] =
le-minus-iff [of numeral v] **for** v

lemmas *minus-le-iff-numeral* [no-atp] =
minus-le-iff [of - numeral v] **for** v

lemmas *less-minus-iff-numeral* [no-atp] =
less-minus-iff [of numeral v] **for** v

lemmas *minus-less-iff-numeral* [no-atp] =
minus-less-iff [of - numeral v] **for** v

Cancellation of constant factors in comparisons ($<$ and \leq)

lemmas *mult-less-cancel-left-numeral* [*simp*, *no-atp*] = *mult-less-cancel-left* [*of numeral v*] **for** *v*
lemmas *mult-less-cancel-right-numeral* [*simp*, *no-atp*] = *mult-less-cancel-right* [*of - numeral v*] **for** *v*
lemmas *mult-le-cancel-left-numeral* [*simp*, *no-atp*] = *mult-le-cancel-left* [*of numeral v*] **for** *v*
lemmas *mult-le-cancel-right-numeral* [*simp*, *no-atp*] = *mult-le-cancel-right* [*of - numeral v*] **for** *v*

Multiplying out constant divisors in comparisons ($<$, \leq and $=$)

named-theorems *divide-const-simps* *simplification rules to simplify comparisons involving constant divisors*

lemmas *le-divide-eq-numeral1* [*simp*, *divide-const-simps*] =
pos-le-divide-eq [*of numeral w*, *OF zero-less-numeral*]
neg-le-divide-eq [*of - numeral w*, *OF neg-numeral-less-zero*] **for** *w*

lemmas *divide-le-eq-numeral1* [*simp*, *divide-const-simps*] =
pos-divide-le-eq [*of numeral w*, *OF zero-less-numeral*]
neg-divide-le-eq [*of - numeral w*, *OF neg-numeral-less-zero*] **for** *w*

lemmas *less-divide-eq-numeral1* [*simp*, *divide-const-simps*] =
pos-less-divide-eq [*of numeral w*, *OF zero-less-numeral*]
neg-less-divide-eq [*of - numeral w*, *OF neg-numeral-less-zero*] **for** *w*

lemmas *divide-less-eq-numeral1* [*simp*, *divide-const-simps*] =
pos-divide-less-eq [*of numeral w*, *OF zero-less-numeral*]
neg-divide-less-eq [*of - numeral w*, *OF neg-numeral-less-zero*] **for** *w*

lemmas *eq-divide-eq-numeral1* [*simp*, *divide-const-simps*] =
eq-divide-eq [*of - - numeral w*]
eq-divide-eq [*of - - - numeral w*] **for** *w*

lemmas *divide-eq-eq-numeral1* [*simp*, *divide-const-simps*] =
divide-eq-eq [*of - numeral w*]
divide-eq-eq [*of - - numeral w*] **for** *w*

52.17.2 Optional Simplification Rules Involving Constants

Simplify quotients that are compared with a literal constant.

lemmas *le-divide-eq-numeral* [*divide-const-simps*] =
le-divide-eq [*of numeral w*]
le-divide-eq [*of - numeral w*] **for** *w*

lemmas *divide-le-eq-numeral* [*divide-const-simps*] =
divide-le-eq [*of - - numeral w*]
divide-le-eq [*of - - - numeral w*] **for** *w*

lemmas *less-divide-eq-numeral* [*divide-const-simps*] =

less-divide-eq [of numeral w]
less-divide-eq [of $-$ numeral w] **for** w

lemmas *divide-less-eq-numeral* [*divide-const-simps*] =
divide-less-eq [of $-$ numeral w]
divide-less-eq [of $- -$ numeral w] **for** w

lemmas *eq-divide-eq-numeral* [*divide-const-simps*] =
eq-divide-eq [of numeral w]
eq-divide-eq [of $-$ numeral w] **for** w

lemmas *divide-eq-eq-numeral* [*divide-const-simps*] =
divide-eq-eq [of $-$ numeral w]
divide-eq-eq [of $- -$ numeral w] **for** w

Not good as automatic simprules because they cause case splits.

lemmas [*divide-const-simps*] =
le-divide-eq-1 divide-le-eq-1 less-divide-eq-1 divide-less-eq-1

52.18 The divides relation

lemma *zdvd-antisym-nonneg*: $0 \leq m \implies 0 \leq n \implies m \text{ dvd } n \implies n \text{ dvd } m \implies m = n$
for $m \ n :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *zdvd-antisym-abs*:
fixes $a \ b :: \text{int}$
assumes $a \text{ dvd } b$ **and** $b \text{ dvd } a$
shows $|a| = |b|$
 $\langle \text{proof} \rangle$

lemma *zdvd-zdiffD*: $k \text{ dvd } m - n \implies k \text{ dvd } n \implies k \text{ dvd } m$
for $k \ m \ n :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *zdvd-reduce*: $k \text{ dvd } n + k * m \longleftrightarrow k \text{ dvd } n$
for $k \ m \ n :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *dvd-imp-le-int*:
fixes $d \ i :: \text{int}$
assumes $i \neq 0$ **and** $d \text{ dvd } i$
shows $|d| \leq |i|$
 $\langle \text{proof} \rangle$

lemma *zdvd-not-zless*:
fixes $m \ n :: \text{int}$
assumes $0 < m$ **and** $m < n$

shows $\neg n \text{ dvd } m$
 $\langle \text{proof} \rangle$

lemma *zdvd-mult-cancel*:
fixes $k \ m \ n :: \text{int}$
assumes $d: k * m \text{ dvd } k * n$
and $k \neq 0$
shows $m \text{ dvd } n$
 $\langle \text{proof} \rangle$

theorem *zdvd-int*: $x \text{ dvd } y \longleftrightarrow \text{int } x \text{ dvd int } y$
 $\langle \text{proof} \rangle$

lemma *zdvd1-eq[simp]*: $x \text{ dvd } 1 \longleftrightarrow |x| = 1$
(is $?lhs \longleftrightarrow ?rhs)$
for $x :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *zdvd-mult-cancel1*:
fixes $m :: \text{int}$
assumes $mp: m \neq 0$
shows $m * n \text{ dvd } m \longleftrightarrow |n| = 1$
(is $?lhs \longleftrightarrow ?rhs)$
 $\langle \text{proof} \rangle$

lemma *int-dvd-iff*: $\text{int } m \text{ dvd } z \longleftrightarrow m \text{ dvd nat } |z|$
 $\langle \text{proof} \rangle$

lemma *dvd-int-iff*: $z \text{ dvd int } m \longleftrightarrow \text{nat } |z| \text{ dvd } m$
 $\langle \text{proof} \rangle$

lemma *dvd-int-unfold-dvd-nat*: $k \text{ dvd } l \longleftrightarrow \text{nat } |k| \text{ dvd nat } |l|$
 $\langle \text{proof} \rangle$

lemma *nat-dvd-iff*: $\text{nat } z \text{ dvd } m \longleftrightarrow (\text{if } 0 \leq z \text{ then } z \text{ dvd int } m \text{ else } m = 0)$
 $\langle \text{proof} \rangle$

lemma *eq-nat-nat-iff*: $0 \leq z \implies 0 \leq z' \implies \text{nat } z = \text{nat } z' \longleftrightarrow z = z'$
 $\langle \text{proof} \rangle$

lemma *nat-power-eq*: $0 \leq z \implies \text{nat } (z \wedge n) = \text{nat } z \wedge n$
 $\langle \text{proof} \rangle$

lemma *zdvd-imp-le*: $z \text{ dvd } n \implies 0 < n \implies z \leq n$
for $n \ z :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *zdvd-period*:
fixes $a \ d :: \text{int}$

assumes $a \text{ dvd } d$
shows $a \text{ dvd } (x + t) \longleftrightarrow a \text{ dvd } ((x + c * d) + t)$
 (is ?lhs \longleftrightarrow ?rhs)
 <proof>

52.19 Finiteness of intervals

lemma *finite-interval-int1* [iff]: *finite* $\{i :: \text{int}. a \leq i \wedge i \leq b\}$
 <proof>

lemma *finite-interval-int2* [iff]: *finite* $\{i :: \text{int}. a \leq i \wedge i < b\}$
 <proof>

lemma *finite-interval-int3* [iff]: *finite* $\{i :: \text{int}. a < i \wedge i \leq b\}$
 <proof>

lemma *finite-interval-int4* [iff]: *finite* $\{i :: \text{int}. a < i \wedge i < b\}$
 <proof>

52.20 Configuration of the code generator

Constructors

definition *Pos* :: *num* \Rightarrow *int*
 where [simp, code-abbrev]: *Pos* = *numeral*

definition *Neg* :: *num* \Rightarrow *int*
 where [simp, code-abbrev]: *Neg* *n* = - (*Pos* *n*)

code-datatype *0::int Pos Neg*

Auxiliary operations.

definition *dup* :: *int* \Rightarrow *int*
 where [simp]: *dup* *k* = *k* + *k*

lemma *dup-code* [code]:
dup 0 = 0
dup (*Pos* *n*) = *Pos* (*Num.Bit0* *n*)
dup (*Neg* *n*) = *Neg* (*Num.Bit0* *n*)
 <proof>

definition *sub* :: *num* \Rightarrow *num* \Rightarrow *int*
 where [simp]: *sub* *m* *n* = *numeral* *m* - *numeral* *n*

lemma *sub-code* [code]:
sub *Num.One* *Num.One* = 0
sub (*Num.Bit0* *m*) *Num.One* = *Pos* (*Num.BitM* *m*)
sub (*Num.Bit1* *m*) *Num.One* = *Pos* (*Num.Bit0* *m*)
sub *Num.One* (*Num.Bit0* *n*) = *Neg* (*Num.BitM* *n*)
sub *Num.One* (*Num.Bit1* *n*) = *Neg* (*Num.Bit0* *n*)


```

sub (Num.Bit0 m) (Num.Bit0 n) = dup (sub m n)
sub (Num.Bit1 m) (Num.Bit1 n) = dup (sub m n)
sub (Num.Bit1 m) (Num.Bit0 n) = dup (sub m n) + 1
sub (Num.Bit0 m) (Num.Bit1 n) = dup (sub m n) - 1
⟨proof⟩

```

Implementations.

```

lemma one-int-code [code]: 1 = Pos Num.One
⟨proof⟩

```

```

lemma plus-int-code [code]:
  k + 0 = k
  0 + l = l
  Pos m + Pos n = Pos (m + n)
  Pos m + Neg n = sub m n
  Neg m + Pos n = sub n m
  Neg m + Neg n = Neg (m + n)
for k l :: int
⟨proof⟩

```

```

lemma uminus-int-code [code]:
  uminus 0 = (0::int)
  uminus (Pos m) = Neg m
  uminus (Neg m) = Pos m
⟨proof⟩

```

```

lemma minus-int-code [code]:
  k - 0 = k
  0 - l = uminus l
  Pos m - Pos n = sub m n
  Pos m - Neg n = Pos (m + n)
  Neg m - Pos n = Neg (m + n)
  Neg m - Neg n = sub n m
for k l :: int
⟨proof⟩

```

```

lemma times-int-code [code]:
  k * 0 = 0
  0 * l = 0
  Pos m * Pos n = Pos (m * n)
  Pos m * Neg n = Neg (m * n)
  Neg m * Pos n = Neg (m * n)
  Neg m * Neg n = Pos (m * n)
for k l :: int
⟨proof⟩

```

```

instantiation int :: equal
begin

```

definition $HOL.equal\ k\ l \longleftrightarrow k = (l::int)$

instance

$\langle proof \rangle$

end

lemma *equal-int-code* [code]:

$HOL.equal\ 0\ (0::int) \longleftrightarrow True$
 $HOL.equal\ 0\ (Pos\ l) \longleftrightarrow False$
 $HOL.equal\ 0\ (Neg\ l) \longleftrightarrow False$
 $HOL.equal\ (Pos\ k)\ 0 \longleftrightarrow False$
 $HOL.equal\ (Pos\ k)\ (Pos\ l) \longleftrightarrow HOL.equal\ k\ l$
 $HOL.equal\ (Pos\ k)\ (Neg\ l) \longleftrightarrow False$
 $HOL.equal\ (Neg\ k)\ 0 \longleftrightarrow False$
 $HOL.equal\ (Neg\ k)\ (Pos\ l) \longleftrightarrow False$
 $HOL.equal\ (Neg\ k)\ (Neg\ l) \longleftrightarrow HOL.equal\ k\ l$
 $\langle proof \rangle$

lemma *equal-int-refl* [code nbe]: $HOL.equal\ k\ k \longleftrightarrow True$

for $k :: int$

$\langle proof \rangle$

lemma *less-eq-int-code* [code]:

$0 \leq (0::int) \longleftrightarrow True$
 $0 \leq Pos\ l \longleftrightarrow True$
 $0 \leq Neg\ l \longleftrightarrow False$
 $Pos\ k \leq 0 \longleftrightarrow False$
 $Pos\ k \leq Pos\ l \longleftrightarrow k \leq l$
 $Pos\ k \leq Neg\ l \longleftrightarrow False$
 $Neg\ k \leq 0 \longleftrightarrow True$
 $Neg\ k \leq Pos\ l \longleftrightarrow True$
 $Neg\ k \leq Neg\ l \longleftrightarrow l \leq k$
 $\langle proof \rangle$

lemma *less-int-code* [code]:

$0 < (0::int) \longleftrightarrow False$
 $0 < Pos\ l \longleftrightarrow True$
 $0 < Neg\ l \longleftrightarrow False$
 $Pos\ k < 0 \longleftrightarrow False$
 $Pos\ k < Pos\ l \longleftrightarrow k < l$
 $Pos\ k < Neg\ l \longleftrightarrow False$
 $Neg\ k < 0 \longleftrightarrow True$
 $Neg\ k < Pos\ l \longleftrightarrow True$
 $Neg\ k < Neg\ l \longleftrightarrow l < k$
 $\langle proof \rangle$

lemma *nat-code* [code]:

$nat\ (Int.Neg\ k) = 0$

```

nat 0 = 0
nat (Int.Pos k) = nat-of-num k
⟨proof⟩

```

```

lemma (in ring-1) of-int-code [code]:
  of-int (Int.Neg k) = - numeral k
  of-int 0 = 0
  of-int (Int.Pos k) = numeral k
  ⟨proof⟩

```

Serializer setup.

```

code-identifier
  code-module Int ↦ (SML) Arith and (OCaml) Arith and (Haskell) Arith

```

```

quickcheck-params [default-type = int]

```

```

hide-const (open) Pos Neg sub dup

```

De-register *int* as a quotient type:

```

lifting-update int.lifting
lifting-forget int.lifting

```

```

end

```

53 Generic transfer machinery; specific transfer from nats to ints and back.

```

theory Nat-Transfer
imports Int
begin

```

53.1 Generic transfer machinery

```

definition transfer-morphism:: ('b ⇒ 'a) ⇒ ('b ⇒ bool) ⇒ bool
  where transfer-morphism f A ⟷ True

```

```

lemma transfer-morphismI[intro]: transfer-morphism f A
  ⟨proof⟩

```

⟨ML⟩

53.2 Set up transfer from nat to int

set up transfer direction

```

lemma transfer-morphism-nat-int: transfer-morphism nat (op <= (0::int)) ⟨proof⟩

```

```

declare transfer-morphism-nat-int [transfer add

```

```

mode: manual
return: nat-0-le
labels: nat-int
]

```

basic functions and relations

lemma *transfer-nat-int-numerals* [transfer key: transfer-morphism-nat-int]:

```

(0::nat) = nat 0
(1::nat) = nat 1
(2::nat) = nat 2
(3::nat) = nat 3
⟨proof⟩

```

definition

```

tsub :: int ⇒ int ⇒ int

```

where

```

tsub x y = (if x >= y then x - y else 0)

```

lemma *tsub-eq*: $x \geq y \implies \text{tsub } x \ y = x - y$

```

⟨proof⟩

```

lemma *transfer-nat-int-functions* [transfer key: transfer-morphism-nat-int]:

```

(x::int) >= 0 ⟹ y >= 0 ⟹ (nat x) + (nat y) = nat (x + y)
(x::int) >= 0 ⟹ y >= 0 ⟹ (nat x) * (nat y) = nat (x * y)
(x::int) >= 0 ⟹ y >= 0 ⟹ (nat x) - (nat y) = nat (tsub x y)
(x::int) >= 0 ⟹ (nat x) ^ n = nat (x ^ n)
⟨proof⟩

```

lemma *transfer-nat-int-function-closures* [transfer key: transfer-morphism-nat-int]:

```

(x::int) >= 0 ⟹ y >= 0 ⟹ x + y >= 0
(x::int) >= 0 ⟹ y >= 0 ⟹ x * y >= 0
(x::int) >= 0 ⟹ y >= 0 ⟹ tsub x y >= 0
(x::int) >= 0 ⟹ x ^ n >= 0
(0::int) >= 0
(1::int) >= 0
(2::int) >= 0
(3::int) >= 0
int z >= 0
⟨proof⟩

```

lemma *transfer-nat-int-relations* [transfer key: transfer-morphism-nat-int]:

```

x >= 0 ⟹ y >= 0 ⟹
  (nat (x::int) = nat y) = (x = y)
x >= 0 ⟹ y >= 0 ⟹
  (nat (x::int) < nat y) = (x < y)
x >= 0 ⟹ y >= 0 ⟹
  (nat (x::int) <= nat y) = (x <= y)
x >= 0 ⟹ y >= 0 ⟹
  (nat (x::int) dvd nat y) = (x dvd y)

```

$\langle \text{proof} \rangle$

first-order quantifiers

lemma *all-nat*: $(\forall x. P\ x) \longleftrightarrow (\forall x \geq 0. P\ (\text{nat } x))$
 $\langle \text{proof} \rangle$

lemma *ex-nat*: $(\exists x. P\ x) \longleftrightarrow (\exists x. 0 \leq x \wedge P\ (\text{nat } x))$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-quantifiers* [*transfer key: transfer-morphism-nat-int*]:
 $(ALL\ (x::\text{nat}). P\ x) = (ALL\ (x::\text{int}). x \geq 0 \longrightarrow P\ (\text{nat } x))$
 $(EX\ (x::\text{nat}). P\ x) = (EX\ (x::\text{int}). x \geq 0 \ \&\ P\ (\text{nat } x))$
 $\langle \text{proof} \rangle$

lemma *all-cong*: $(\bigwedge x. Q\ x \Longrightarrow P\ x = P'\ x) \Longrightarrow$
 $(ALL\ x. Q\ x \longrightarrow P\ x) = (ALL\ x. Q\ x \longrightarrow P'\ x)$
 $\langle \text{proof} \rangle$

lemma *ex-cong*: $(\bigwedge x. Q\ x \Longrightarrow P\ x = P'\ x) \Longrightarrow$
 $(EX\ x. Q\ x \wedge P\ x) = (EX\ x. Q\ x \wedge P'\ x)$
 $\langle \text{proof} \rangle$

declare *transfer-morphism-nat-int* [*transfer add*
cong: all-cong ex-cong]

if

lemma *nat-if-cong* [*transfer key: transfer-morphism-nat-int*]:
 $(\text{if } P \text{ then } (\text{nat } x) \text{ else } (\text{nat } y)) = \text{nat } (\text{if } P \text{ then } x \text{ else } y)$
 $\langle \text{proof} \rangle$

operations with sets

definition

nat-set :: *int set* \Rightarrow *bool*

where

nat-set *S* = $(ALL\ x:S. x \geq 0)$

lemma *transfer-nat-int-set-functions*:

$\text{card } A = \text{card } (\text{int } ' A)$
 $\{\} = \text{nat } ' (\{\}::\text{int set})$
 $A \text{ Un } B = \text{nat } ' (\text{int } ' A \text{ Un } \text{int } ' B)$
 $A \text{ Int } B = \text{nat } ' (\text{int } ' A \text{ Int } \text{int } ' B)$
 $\{x. P\ x\} = \text{nat } ' \{x. x \geq 0 \ \&\ P(\text{nat } x)\}$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-set-function-closures*:

nat-set $\{\}$
 $\text{nat-set } A \Longrightarrow \text{nat-set } B \Longrightarrow \text{nat-set } (A \text{ Un } B)$
 $\text{nat-set } A \Longrightarrow \text{nat-set } B \Longrightarrow \text{nat-set } (A \text{ Int } B)$

$\text{nat-set } \{x. x \geq 0 \ \& \ P \ x\}$
 $\text{nat-set } (\text{int} \ ' \ C)$
 $\text{nat-set } A \implies x : A \implies x \geq 0$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-set-relations:*

$(\text{finite } A) = (\text{finite } (\text{int} \ ' \ A))$
 $(x : A) = (\text{int } x : \text{int} \ ' \ A)$
 $(A = B) = (\text{int} \ ' \ A = \text{int} \ ' \ B)$
 $(A < B) = (\text{int} \ ' \ A < \text{int} \ ' \ B)$
 $(A \leq B) = (\text{int} \ ' \ A \leq \text{int} \ ' \ B)$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-set-return-embed:* $\text{nat-set } A \implies$

$(\text{int} \ ' \ \text{nat} \ ' \ A = A)$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-set-cong:* $(!!x. x \geq 0 \implies P \ x = P' \ x) \implies$

$\{(x::\text{int}). x \geq 0 \ \& \ P \ x\} = \{x. x \geq 0 \ \& \ P' \ x\}$
 $\langle \text{proof} \rangle$

declare *transfer-morphism-nat-int* [*transfer add*

return: transfer-nat-int-set-functions
transfer-nat-int-set-function-closures
transfer-nat-int-set-relations
transfer-nat-int-set-return-embed
cong: transfer-nat-int-set-cong
 $\mathbf{]}$

sum and prod

lemma *transfer-nat-int-sum-prod:*

$\text{sum } f \ A = \text{sum } (\%x. f \ (\text{nat } x)) \ (\text{int} \ ' \ A)$
 $\text{prod } f \ A = \text{prod } (\%x. f \ (\text{nat } x)) \ (\text{int} \ ' \ A)$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-sum-prod2:*

$\text{sum } f \ A = \text{nat}(\text{sum } (\%x. \text{int } (f \ x)) \ A)$
 $\text{prod } f \ A = \text{nat}(\text{prod } (\%x. \text{int } (f \ x)) \ A)$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-sum-prod-closure:*

$\text{nat-set } A \implies (!!x. x \geq 0 \implies f \ x \geq (0::\text{int})) \implies \text{sum } f \ A \geq 0$
 $\text{nat-set } A \implies (!!x. x \geq 0 \implies f \ x \geq (0::\text{int})) \implies \text{prod } f \ A \geq 0$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-sum-prod-cong*:

$$\begin{aligned} A = B &\implies \text{nat-set } B \implies (!x. x \geq 0 \implies f x = g x) \implies \\ &\quad \text{sum } f A = \text{sum } g B \\ A = B &\implies \text{nat-set } B \implies (!x. x \geq 0 \implies f x = g x) \implies \\ &\quad \text{prod } f A = \text{prod } g B \\ &\langle \text{proof} \rangle \end{aligned}$$

declare *transfer-morphism-nat-int* [*transfer add*
return: *transfer-nat-int-sum-prod transfer-nat-int-sum-prod2*
transfer-nat-int-sum-prod-closure
cong: *transfer-nat-int-sum-prod-cong*]

53.3 Set up transfer from int to nat

set up transfer direction

lemma *transfer-morphism-int-nat*: *transfer-morphism int* ($\lambda n. \text{True}$) $\langle \text{proof} \rangle$

declare *transfer-morphism-int-nat* [*transfer add*

mode: *manual*
return: *nat-int*
labels: *int-nat*

]

basic functions and relations

definition

is-nat :: *int* \Rightarrow *bool*

where

is-nat *x* = (*x* \geq 0)

lemma *transfer-int-nat-numerals*:

$$\begin{aligned} 0 &= \text{int } 0 \\ 1 &= \text{int } 1 \\ 2 &= \text{int } 2 \\ 3 &= \text{int } 3 \\ &\langle \text{proof} \rangle \end{aligned}$$

lemma *transfer-int-nat-functions*:

$$\begin{aligned} (\text{int } x) + (\text{int } y) &= \text{int } (x + y) \\ (\text{int } x) * (\text{int } y) &= \text{int } (x * y) \\ \text{tsub } (\text{int } x) (\text{int } y) &= \text{int } (x - y) \\ (\text{int } x)^n &= \text{int } (x^n) \\ &\langle \text{proof} \rangle \end{aligned}$$

lemma *transfer-int-nat-function-closures*:

$$\begin{aligned} \text{is-nat } x &\implies \text{is-nat } y \implies \text{is-nat } (x + y) \\ \text{is-nat } x &\implies \text{is-nat } y \implies \text{is-nat } (x * y) \\ \text{is-nat } x &\implies \text{is-nat } y \implies \text{is-nat } (\text{tsub } x y) \\ \text{is-nat } x &\implies \text{is-nat } (x^n) \end{aligned}$$

```

is-nat 0
is-nat 1
is-nat 2
is-nat 3
is-nat (int z)
⟨proof⟩

```

lemma *transfer-int-nat-relations:*

```

(int x = int y) = (x = y)
(int x < int y) = (x < y)
(int x <= int y) = (x <= y)
(int x dvd int y) = (x dvd y)
⟨proof⟩

```

declare *transfer-morphism-int-nat* [transfer add return:

```

transfer-int-nat-numerals
transfer-int-nat-functions
transfer-int-nat-function-closures
transfer-int-nat-relations
]

```

first-order quantifiers

lemma *transfer-int-nat-quantifiers:*

```

(ALL (x::int) >= 0. P x) = (ALL (x::nat). P (int x))
(EX (x::int) >= 0. P x) = (EX (x::nat). P (int x))
⟨proof⟩

```

declare *transfer-morphism-int-nat* [transfer add
return: transfer-int-nat-quantifiers]

if

lemma *int-if-cong:* (if P then (int x) else (int y)) =
int (if P then x else y)
⟨proof⟩

declare *transfer-morphism-int-nat* [transfer add return: int-if-cong]

operations with sets

lemma *transfer-int-nat-set-functions:*

```

nat-set A ==> card A = card (nat ‘ A)
{} = int ‘ ({}::nat set)
nat-set A ==> nat-set B ==> A Un B = int ‘ (nat ‘ A Un nat ‘ B)
nat-set A ==> nat-set B ==> A Int B = int ‘ (nat ‘ A Int nat ‘ B)
{x. x >= 0 & P x} = int ‘ {x. P(int x)}

```

⟨proof⟩

lemma *transfer-int-nat-set-function-closures:*

```

nat-set {}

```


$\text{nat-set } A \implies \text{nat-set } B \implies \text{nat-set } (A \text{ Un } B)$
 $\text{nat-set } A \implies \text{nat-set } B \implies \text{nat-set } (A \text{ Int } B)$
 $\text{nat-set } \{x. x \geq 0 \ \& \ P \ x\}$
 $\text{nat-set } (\text{int } ' C)$
 $\text{nat-set } A \implies x : A \implies \text{is-nat } x$
 $\langle \text{proof} \rangle$

lemma *transfer-int-nat-set-relations:*

$\text{nat-set } A \implies \text{finite } A = \text{finite } (\text{nat } ' A)$
 $\text{is-nat } x \implies \text{nat-set } A \implies (x : A) = (\text{nat } x : \text{nat } ' A)$
 $\text{nat-set } A \implies \text{nat-set } B \implies (A = B) = (\text{nat } ' A = \text{nat } ' B)$
 $\text{nat-set } A \implies \text{nat-set } B \implies (A < B) = (\text{nat } ' A < \text{nat } ' B)$
 $\text{nat-set } A \implies \text{nat-set } B \implies (A \leq B) = (\text{nat } ' A \leq \text{nat } ' B)$
 $\langle \text{proof} \rangle$

lemma *transfer-int-nat-set-return-embed:* $\text{nat } ' \text{int } ' A = A$

$\langle \text{proof} \rangle$

lemma *transfer-int-nat-set-cong:* $(!!x. P \ x = P' \ x) \implies$

$\{(x::\text{nat}). P \ x\} = \{x. P' \ x\}$
 $\langle \text{proof} \rangle$

declare *transfer-morphism-int-nat* [*transfer add*

return: *transfer-int-nat-set-functions*
transfer-int-nat-set-function-closures
transfer-int-nat-set-relations
transfer-int-nat-set-return-embed
cong: *transfer-int-nat-set-cong*

]

sum and prod

lemma *transfer-int-nat-sum-prod:*

$\text{nat-set } A \implies \text{sum } f \ A = \text{sum } (\%x. f \ (\text{int } x)) \ (\text{nat } ' A)$
 $\text{nat-set } A \implies \text{prod } f \ A = \text{prod } (\%x. f \ (\text{int } x)) \ (\text{nat } ' A)$
 $\langle \text{proof} \rangle$

lemma *transfer-int-nat-sum-prod2:*

$(!!x. x:A \implies \text{is-nat } (f \ x)) \implies \text{sum } f \ A = \text{int}(\text{sum } (\%x. \text{nat } (f \ x)) \ A)$
 $(!!x. x:A \implies \text{is-nat } (f \ x)) \implies$
 $\text{prod } f \ A = \text{int}(\text{prod } (\%x. \text{nat } (f \ x)) \ A)$
 $\langle \text{proof} \rangle$

declare *transfer-morphism-int-nat* [*transfer add*

return: *transfer-int-nat-sum-prod transfer-int-nat-sum-prod2*
cong: *sum.cong prod.cong*

end

54 Uniquely determined division in euclidean (semi)rings

```
theory Euclidean-Division
  imports Nat-Transfer
begin
```

54.1 Quotient and remainder in integral domains

```
class semidom-modulo = algebraic-semidom + semiring-modulo
begin
```

```
lemma mod-0 [simp]: 0 mod a = 0
  <proof>
```

```
lemma mod-by-0 [simp]: a mod 0 = a
  <proof>
```

```
lemma mod-by-1 [simp]:
  a mod 1 = 0
  <proof>
```

```
lemma mod-self [simp]:
  a mod a = 0
  <proof>
```

```
lemma dvd-imp-mod-0 [simp]:
  assumes a dvd b
  shows b mod a = 0
  <proof>
```

```
lemma mod-0-imp-dvd:
  assumes a mod b = 0
  shows b dvd a
  <proof>
```

```
lemma mod-eq-0-iff-dvd:
  a mod b = 0  $\longleftrightarrow$  b dvd a
  <proof>
```

```
lemma dvd-eq-mod-eq-0 [nitpick-unfold, code]:
  a dvd b  $\longleftrightarrow$  b mod a = 0
  <proof>
```

```
lemma dvd-mod-iff:
  assumes c dvd b
  shows c dvd a mod b  $\longleftrightarrow$  c dvd a
  <proof>
```

```
lemma dvd-mod-imp-dvd:
  assumes c dvd a mod b and c dvd b
```

```

    shows  $c \text{ dvd } a$ 
      ⟨proof⟩

end

class idom-modulo = idom + semidom-modulo
begin

subclass idom-divide ⟨proof⟩

lemma div-diff [simp]:
   $c \text{ dvd } a \implies c \text{ dvd } b \implies (a - b) \text{ div } c = a \text{ div } c - b \text{ div } c$ 
  ⟨proof⟩

end

```

54.2 Euclidean (semi)rings with explicit division and remainder

```

class euclidean-semiring = semidom-modulo + normalization-semidom +
  fixes euclidean-size :: 'a  $\Rightarrow$  nat
  assumes size-0 [simp]: euclidean-size 0 = 0
  assumes mod-size-less:
     $b \neq 0 \implies \text{euclidean-size } (a \bmod b) < \text{euclidean-size } b$ 
  assumes size-mult-mono:
     $b \neq 0 \implies \text{euclidean-size } a \leq \text{euclidean-size } (a * b)$ 
begin

lemma size-mult-mono':  $b \neq 0 \implies \text{euclidean-size } a \leq \text{euclidean-size } (b * a)$ 
  ⟨proof⟩

lemma euclidean-size-normalize [simp]:
   $\text{euclidean-size } (\text{normalize } a) = \text{euclidean-size } a$ 
  ⟨proof⟩

lemma dvd-euclidean-size-eq-imp-dvd:
  assumes  $a \neq 0$  and euclidean-size  $a = \text{euclidean-size } b$ 
  and  $b \text{ dvd } a$ 
  shows  $a \text{ dvd } b$ 
  ⟨proof⟩

lemma euclidean-size-times-unit:
  assumes is-unit  $a$ 
  shows euclidean-size  $(a * b) = \text{euclidean-size } b$ 
  ⟨proof⟩

lemma euclidean-size-unit:
  is-unit  $a \implies \text{euclidean-size } a = \text{euclidean-size } 1$ 
  ⟨proof⟩

```

lemma *unit-iff-euclidean-size*:
 $is_unit\ a \longleftrightarrow euclidean_size\ a = euclidean_size\ 1 \wedge a \neq 0$
 <proof>

lemma *euclidean-size-times-nonunit*:
assumes $a \neq 0\ b \neq 0 \neg is_unit\ a$
shows $euclidean_size\ b < euclidean_size\ (a * b)$
 <proof>

lemma *dvd-imp-size-le*:
assumes $a\ dvd\ b\ b \neq 0$
shows $euclidean_size\ a \leq euclidean_size\ b$
 <proof>

lemma *dvd-proper-imp-size-less*:
assumes $a\ dvd\ b \neg b\ dvd\ a\ b \neq 0$
shows $euclidean_size\ a < euclidean_size\ b$
 <proof>

end

class *euclidean-ring* = *idom-modulo* + *euclidean-semiring*

54.3 Uniquely determined division

class *unique-euclidean-semiring* = *euclidean-semiring* +
fixes *uniqueness-constraint* :: 'a \Rightarrow 'a \Rightarrow bool
assumes *size-mono-mult*:
 $b \neq 0 \implies euclidean_size\ a < euclidean_size\ c$
 $\implies euclidean_size\ (a * b) < euclidean_size\ (c * b)$
 — FIXME justify
assumes *uniqueness-constraint-mono-mult*:
 $uniqueness_constraint\ a\ b \implies uniqueness_constraint\ (a * c)\ (b * c)$
assumes *uniqueness-constraint-mod*:
 $b \neq 0 \implies \neg b\ dvd\ a \implies uniqueness_constraint\ (a\ mod\ b)\ b$
assumes *div-bounded*:
 $b \neq 0 \implies uniqueness_constraint\ r\ b$
 $\implies euclidean_size\ r < euclidean_size\ b$
 $\implies (q * b + r)\ div\ b = q$
begin

lemma *divmod-cases* [*case-names divides remainder by0*]:
obtains
 (*divides*) q **where** $b \neq 0$
and $a\ div\ b = q$
and $a\ mod\ b = 0$
and $a = q * b$
 | (*remainder*) $q\ r$ **where** $b \neq 0$ **and** $r \neq 0$

```

    and uniqueness-constraint r b
    and euclidean-size r < euclidean-size b
    and a div b = q
    and a mod b = r
    and a = q * b + r
  | (by0) b = 0
<proof>

```

```

lemma div-eqI:
  a div b = q if b ≠ 0 uniqueness-constraint r b
  euclidean-size r < euclidean-size b q * b + r = a
<proof>

```

```

lemma mod-eqI:
  a mod b = r if b ≠ 0 uniqueness-constraint r b
  euclidean-size r < euclidean-size b q * b + r = a
<proof>

```

```

end

```

```

class unique-euclidean-ring = euclidean-ring + unique-euclidean-semiring

```

```

end

```

55 Parity in rings and semirings

```

theory Parity
  imports Nat-Transfer Euclidean-Division
begin

```

55.1 Ring structures with parity and *even/odd* predicates

```

class semiring-parity = comm-semiring-1-cancel + numeral +
  assumes odd-one [simp]: ¬ 2 dvd 1
  assumes odd-even-add: ¬ 2 dvd a ⟹ ¬ 2 dvd b ⟹ 2 dvd a + b
  assumes even-multD: 2 dvd a * b ⟹ 2 dvd a ∨ 2 dvd b
  assumes odd-ex-decrement: ¬ 2 dvd a ⟹ ∃ b. a = b + 1
begin

```

```

subclass semiring-numeral <proof>

```

```

abbreviation even :: 'a ⇒ bool
  where even a ≡ 2 dvd a

```

```

abbreviation odd :: 'a ⇒ bool
  where odd a ≡ ¬ 2 dvd a

```

```

lemma even-zero [simp]: even 0
<proof>

```

lemma *even-plus-one-iff* [*simp*]: $\text{even } (a + 1) \longleftrightarrow \text{odd } a$
 ⟨*proof*⟩

lemma *evenE* [*elim?*]:
 assumes *even a*
 obtains *b* where $a = 2 * b$
 ⟨*proof*⟩

lemma *oddE* [*elim?*]:
 assumes *odd a*
 obtains *b* where $a = 2 * b + 1$
 ⟨*proof*⟩

lemma *even-times-iff* [*simp*]: $\text{even } (a * b) \longleftrightarrow \text{even } a \vee \text{even } b$
 ⟨*proof*⟩

lemma *even-numeral* [*simp*]: $\text{even } (\text{numeral } (\text{Num.Bit0 } n))$
 ⟨*proof*⟩

lemma *odd-numeral* [*simp*]: $\text{odd } (\text{numeral } (\text{Num.Bit1 } n))$
 ⟨*proof*⟩

lemma *even-add* [*simp*]: $\text{even } (a + b) \longleftrightarrow (\text{even } a \longleftrightarrow \text{even } b)$
 ⟨*proof*⟩

lemma *odd-add* [*simp*]: $\text{odd } (a + b) \longleftrightarrow (\neg (\text{odd } a \longleftrightarrow \text{odd } b))$
 ⟨*proof*⟩

lemma *even-power* [*simp*]: $\text{even } (a ^ n) \longleftrightarrow \text{even } a \wedge n > 0$
 ⟨*proof*⟩

end

class *ring-parity* = *ring* + *semiring-parity*
begin

subclass *comm-ring-1* ⟨*proof*⟩

lemma *even-minus* [*simp*]: $\text{even } (- a) \longleftrightarrow \text{even } a$
 ⟨*proof*⟩

lemma *even-diff* [*simp*]: $\text{even } (a - b) \longleftrightarrow \text{even } (a + b)$
 ⟨*proof*⟩

end

55.2 Instances for *nat* and *int*

lemma *even-Suc-Suc-iff* [simp]: $2 \text{ dvd } \text{Suc } (\text{Suc } n) \longleftrightarrow 2 \text{ dvd } n$
 ⟨proof⟩

lemma *even-Suc* [simp]: $2 \text{ dvd } \text{Suc } n \longleftrightarrow \neg 2 \text{ dvd } n$
 ⟨proof⟩

lemma *even-diff-nat* [simp]: $2 \text{ dvd } (m - n) \longleftrightarrow m < n \vee 2 \text{ dvd } (m + n)$
 for $m \ n :: \text{nat}$
 ⟨proof⟩

instance *nat :: semiring-parity*
 ⟨proof⟩

lemma *odd-pos*: $\text{odd } n \implies 0 < n$
 for $n :: \text{nat}$
 ⟨proof⟩

lemma *Suc-double-not-eq-double*: $\text{Suc } (2 * m) \neq 2 * n$
 for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *double-not-eq-Suc-double*: $2 * m \neq \text{Suc } (2 * n)$
 for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *even-diff-iff* [simp]: $2 \text{ dvd } (k - l) \longleftrightarrow 2 \text{ dvd } (k + l)$
 for $k \ l :: \text{int}$
 ⟨proof⟩

lemma *even-abs-add-iff* [simp]: $2 \text{ dvd } (|k| + l) \longleftrightarrow 2 \text{ dvd } (k + l)$
 for $k \ l :: \text{int}$
 ⟨proof⟩

lemma *even-add-abs-iff* [simp]: $2 \text{ dvd } (k + |l|) \longleftrightarrow 2 \text{ dvd } (k + l)$
 for $k \ l :: \text{int}$
 ⟨proof⟩

lemma *odd-Suc-minus-one* [simp]: $\text{odd } n \implies \text{Suc } (n - \text{Suc } 0) = n$
 ⟨proof⟩

instance *int :: ring-parity*
 ⟨proof⟩

lemma *even-int-iff* [simp]: $\text{even } (\text{int } n) \longleftrightarrow \text{even } n$
 ⟨proof⟩

lemma *even-nat-iff*: $0 \leq k \implies \text{even } (\text{nat } k) \longleftrightarrow \text{even } k$
 ⟨proof⟩

55.3 Parity and powers

context *ring-1*

begin

lemma *power-minus-even* [*simp*]: $\text{even } n \implies (-a)^n = a^n$
 ⟨*proof*⟩

lemma *power-minus-odd* [*simp*]: $\text{odd } n \implies (-a)^n = -(a^n)$
 ⟨*proof*⟩

lemma *neg-one-even-power* [*simp*]: $\text{even } n \implies (-1)^n = 1$
 ⟨*proof*⟩

lemma *neg-one-odd-power* [*simp*]: $\text{odd } n \implies (-1)^n = -1$
 ⟨*proof*⟩

lemma *neg-one-power-add-eq-neg-one-power-diff*: $k \leq n \implies (-1)^{n+k} = (-1)^{n-k}$
 ⟨*proof*⟩

end

context *linordered-idom*

begin

lemma *zero-le-even-power*: $\text{even } n \implies 0 \leq a^n$
 ⟨*proof*⟩

lemma *zero-le-odd-power*: $\text{odd } n \implies 0 \leq a^n \longleftrightarrow 0 \leq a$
 ⟨*proof*⟩

lemma *zero-le-power-eq*: $0 \leq a^n \longleftrightarrow \text{even } n \vee \text{odd } n \wedge 0 \leq a$
 ⟨*proof*⟩

lemma *zero-less-power-eq*: $0 < a^n \longleftrightarrow n = 0 \vee \text{even } n \wedge a \neq 0 \vee \text{odd } n \wedge 0 < a$
 ⟨*proof*⟩

lemma *power-less-zero-eq* [*simp*]: $a^n < 0 \longleftrightarrow \text{odd } n \wedge a < 0$
 ⟨*proof*⟩

lemma *power-le-zero-eq*: $a^n \leq 0 \longleftrightarrow n > 0 \wedge (\text{odd } n \wedge a \leq 0 \vee \text{even } n \wedge a = 0)$
 ⟨*proof*⟩

lemma *power-even-abs*: $\text{even } n \implies |a|^n = a^n$
 ⟨*proof*⟩

lemma *power-mono-even*:

assumes *even n* **and** $|a| \leq |b|$
shows $a \wedge n \leq b \wedge n$
 ⟨*proof*⟩

lemma *power-mono-odd*:
assumes *odd n* **and** $a \leq b$
shows $a \wedge n \leq b \wedge n$
 ⟨*proof*⟩

lemma (*in comm-ring-1*) *uminus-power-if*: $(- x) \wedge n = (\text{if even } n \text{ then } x \wedge n \text{ else } - (x \wedge n))$
 ⟨*proof*⟩

Simplify, when the exponent is a numeral

lemma *zero-le-power-eq-numeral* [*simp*]:
 $0 \leq a \wedge \text{numeral } w \longleftrightarrow \text{even } (\text{numeral } w :: \text{nat}) \vee \text{odd } (\text{numeral } w :: \text{nat}) \wedge 0 \leq a$
 ⟨*proof*⟩

lemma *zero-less-power-eq-numeral* [*simp*]:
 $0 < a \wedge \text{numeral } w \longleftrightarrow$
 $\text{numeral } w = (0 :: \text{nat}) \vee$
 $\text{even } (\text{numeral } w :: \text{nat}) \wedge a \neq 0 \vee$
 $\text{odd } (\text{numeral } w :: \text{nat}) \wedge 0 < a$
 ⟨*proof*⟩

lemma *power-le-zero-eq-numeral* [*simp*]:
 $a \wedge \text{numeral } w \leq 0 \longleftrightarrow$
 $(0 :: \text{nat}) < \text{numeral } w \wedge$
 $(\text{odd } (\text{numeral } w :: \text{nat}) \wedge a \leq 0 \vee \text{even } (\text{numeral } w :: \text{nat}) \wedge a = 0)$
 ⟨*proof*⟩

lemma *power-less-zero-eq-numeral* [*simp*]:
 $a \wedge \text{numeral } w < 0 \longleftrightarrow \text{odd } (\text{numeral } w :: \text{nat}) \wedge a < 0$
 ⟨*proof*⟩

lemma *power-even-abs-numeral* [*simp*]:
 $\text{even } (\text{numeral } w :: \text{nat}) \implies |a| \wedge \text{numeral } w = a \wedge \text{numeral } w$
 ⟨*proof*⟩

end

55.3.1 Tool setup

declare *transfer-morphism-int-nat* [*transfer add return: even-int-iff*]

end

56 More on quotient and remainder

```
theory Divides
imports Parity
begin
```

56.1 Quotient and remainder in integral domains with additional properties

```
class semiring-div = semidom-modulo +
  assumes div-mult-self1 [simp]:  $b \neq 0 \implies (a + c * b) \text{ div } b = c + a \text{ div } b$ 
  and div-mult-mult1 [simp]:  $c \neq 0 \implies (c * a) \text{ div } (c * b) = a \text{ div } b$ 
begin
```

```
lemma div-mult-self2 [simp]:
  assumes  $b \neq 0$ 
  shows  $(a + b * c) \text{ div } b = c + a \text{ div } b$ 
  <proof>
```

```
lemma div-mult-self3 [simp]:
  assumes  $b \neq 0$ 
  shows  $(c * b + a) \text{ div } b = c + a \text{ div } b$ 
  <proof>
```

```
lemma div-mult-self4 [simp]:
  assumes  $b \neq 0$ 
  shows  $(b * c + a) \text{ div } b = c + a \text{ div } b$ 
  <proof>
```

```
lemma mod-mult-self1 [simp]:  $(a + c * b) \text{ mod } b = a \text{ mod } b$ 
  <proof>
```

```
lemma mod-mult-self2 [simp]:
   $(a + b * c) \text{ mod } b = a \text{ mod } b$ 
  <proof>
```

```
lemma mod-mult-self3 [simp]:
   $(c * b + a) \text{ mod } b = a \text{ mod } b$ 
  <proof>
```

```
lemma mod-mult-self4 [simp]:
   $(b * c + a) \text{ mod } b = a \text{ mod } b$ 
  <proof>
```

```
lemma mod-mult-self1-is-0 [simp]:
   $b * a \text{ mod } b = 0$ 
  <proof>
```

```
lemma mod-mult-self2-is-0 [simp]:
```

$a * b \text{ mod } b = 0$
 $\langle \text{proof} \rangle$

lemma *div-add-self1*:
assumes $b \neq 0$
shows $(b + a) \text{ div } b = a \text{ div } b + 1$
 $\langle \text{proof} \rangle$

lemma *div-add-self2*:
assumes $b \neq 0$
shows $(a + b) \text{ div } b = a \text{ div } b + 1$
 $\langle \text{proof} \rangle$

lemma *mod-add-self1* [simp]:
 $(b + a) \text{ mod } b = a \text{ mod } b$
 $\langle \text{proof} \rangle$

lemma *mod-add-self2* [simp]:
 $(a + b) \text{ mod } b = a \text{ mod } b$
 $\langle \text{proof} \rangle$

lemma *mod-div-trivial* [simp]:
 $a \text{ mod } b \text{ div } b = 0$
 $\langle \text{proof} \rangle$

lemma *mod-mod-trivial* [simp]:
 $a \text{ mod } b \text{ mod } b = a \text{ mod } b$
 $\langle \text{proof} \rangle$

lemma *mod-mod-cancel*:
assumes $c \text{ dvd } b$
shows $a \text{ mod } b \text{ mod } c = a \text{ mod } c$
 $\langle \text{proof} \rangle$

lemma *div-mult-mult2* [simp]:
 $c \neq 0 \implies (a * c) \text{ div } (b * c) = a \text{ div } b$
 $\langle \text{proof} \rangle$

lemma *div-mult-mult1-if* [simp]:
 $(c * a) \text{ div } (c * b) = (\text{if } c = 0 \text{ then } 0 \text{ else } a \text{ div } b)$
 $\langle \text{proof} \rangle$

lemma *mod-mult-mult1*:
 $(c * a) \text{ mod } (c * b) = c * (a \text{ mod } b)$
 $\langle \text{proof} \rangle$

lemma *mod-mult-mult2*:
 $(a * c) \text{ mod } (b * c) = (a \text{ mod } b) * c$
 $\langle \text{proof} \rangle$

lemma *mult-mod-left*: $(a \bmod b) * c = (a * c) \bmod (b * c)$
 $\langle \text{proof} \rangle$

lemma *mult-mod-right*: $c * (a \bmod b) = (c * a) \bmod (c * b)$
 $\langle \text{proof} \rangle$

lemma *dvd-mod*: $k \text{ dvd } m \implies k \text{ dvd } n \implies k \text{ dvd } (m \bmod n)$
 $\langle \text{proof} \rangle$

lemma *div-plus-div-distrib-dvd-left*:
 $c \text{ dvd } a \implies (a + b) \text{ div } c = a \text{ div } c + b \text{ div } c$
 $\langle \text{proof} \rangle$

lemma *div-plus-div-distrib-dvd-right*:
 $c \text{ dvd } b \implies (a + b) \text{ div } c = a \text{ div } c + b \text{ div } c$
 $\langle \text{proof} \rangle$

named-theorems *mod-simps*

Addition respects modular equivalence.

lemma *mod-add-left-eq* [*mod-simps*]:
 $(a \bmod c + b) \bmod c = (a + b) \bmod c$
 $\langle \text{proof} \rangle$

lemma *mod-add-right-eq* [*mod-simps*]:
 $(a + b \bmod c) \bmod c = (a + b) \bmod c$
 $\langle \text{proof} \rangle$

lemma *mod-add-eq*:
 $(a \bmod c + b \bmod c) \bmod c = (a + b) \bmod c$
 $\langle \text{proof} \rangle$

lemma *mod-sum-eq* [*mod-simps*]:
 $(\sum_{i \in A} f \ i \bmod a) \bmod a = \text{sum } f \ A \bmod a$
 $\langle \text{proof} \rangle$

lemma *mod-add-cong*:
assumes $a \bmod c = a' \bmod c$
assumes $b \bmod c = b' \bmod c$
shows $(a + b) \bmod c = (a' + b') \bmod c$
 $\langle \text{proof} \rangle$

Multiplication respects modular equivalence.

lemma *mod-mult-left-eq* [*mod-simps*]:
 $((a \bmod c) * b) \bmod c = (a * b) \bmod c$
 $\langle \text{proof} \rangle$

lemma *mod-mult-right-eq* [*mod-simps*]:

$(a * (b \bmod c)) \bmod c = (a * b) \bmod c$
 $\langle \text{proof} \rangle$

lemma *mod-mult-eq*:

$((a \bmod c) * (b \bmod c)) \bmod c = (a * b) \bmod c$
 $\langle \text{proof} \rangle$

lemma *mod-prod-eq* [*mod-simps*]:

$(\prod_{i \in A} f \ i \bmod a) \bmod a = \text{prod } f \ A \bmod a$
 $\langle \text{proof} \rangle$

lemma *mod-mult-cong*:

assumes $a \bmod c = a' \bmod c$
assumes $b \bmod c = b' \bmod c$
shows $(a * b) \bmod c = (a' * b') \bmod c$
 $\langle \text{proof} \rangle$

Exponentiation respects modular equivalence.

lemma *power-mod* [*mod-simps*]:

$((a \bmod b) ^ n) \bmod b = (a ^ n) \bmod b$
 $\langle \text{proof} \rangle$

end

class *ring-div* = *comm-ring-1* + *semiring-div*
begin

subclass *idom-divide* $\langle \text{proof} \rangle$

lemma *div-minus-minus* [*simp*]: $(- \ a) \text{ div } (- \ b) = a \text{ div } b$
 $\langle \text{proof} \rangle$

lemma *mod-minus-minus* [*simp*]: $(- \ a) \bmod (- \ b) = - \ (a \bmod b)$
 $\langle \text{proof} \rangle$

lemma *div-minus-right*: $a \text{ div } (- \ b) = (- \ a) \text{ div } b$
 $\langle \text{proof} \rangle$

lemma *mod-minus-right*: $a \bmod (- \ b) = - \ ((- \ a) \bmod b)$
 $\langle \text{proof} \rangle$

lemma *div-minus1-right* [*simp*]: $a \text{ div } (- \ 1) = - \ a$
 $\langle \text{proof} \rangle$

lemma *mod-minus1-right* [*simp*]: $a \bmod (- \ 1) = 0$
 $\langle \text{proof} \rangle$

Negation respects modular equivalence.

lemma *mod-minus-eq* [*mod-simps*]:

$(- (a \bmod b)) \bmod b = (- a) \bmod b$
 $\langle proof \rangle$

lemma *mod-minus-cong*:
assumes $a \bmod b = a' \bmod b$
shows $(- a) \bmod b = (- a') \bmod b$
 $\langle proof \rangle$

Subtraction respects modular equivalence.

lemma *mod-diff-left-eq* [*mod-simps*]:
 $(a \bmod c - b) \bmod c = (a - b) \bmod c$
 $\langle proof \rangle$

lemma *mod-diff-right-eq* [*mod-simps*]:
 $(a - b \bmod c) \bmod c = (a - b) \bmod c$
 $\langle proof \rangle$

lemma *mod-diff-eq*:
 $(a \bmod c - b \bmod c) \bmod c = (a - b) \bmod c$
 $\langle proof \rangle$

lemma *mod-diff-cong*:
assumes $a \bmod c = a' \bmod c$
assumes $b \bmod c = b' \bmod c$
shows $(a - b) \bmod c = (a' - b') \bmod c$
 $\langle proof \rangle$

lemma *minus-mod-self2* [*simp*]:
 $(a - b) \bmod b = a \bmod b$
 $\langle proof \rangle$

lemma *minus-mod-self1* [*simp*]:
 $(b - a) \bmod b = - a \bmod b$
 $\langle proof \rangle$

end

56.2 Euclidean (semi)rings with cancel rules

class *euclidean-semiring-cancel* = *euclidean-semiring* + *semiring-div*

class *euclidean-ring-cancel* = *euclidean-ring* + *ring-div*

context *unique-euclidean-semiring*
begin

subclass *euclidean-semiring-cancel*
 $\langle proof \rangle$

end

context *unique-euclidean-ring*
begin

subclass *euclidean-ring-cancel* $\langle \text{proof} \rangle$

end

56.3 Parity

class *semiring-div-parity* = *semiring-div* + *comm-semiring-1-cancel* + *numeral* +
assumes *parity*: $a \bmod 2 = 0 \vee a \bmod 2 = 1$
assumes *one-mod-two-eq-one* [*simp*]: $1 \bmod 2 = 1$
assumes *zero-not-eq-two*: $0 \neq 2$
begin

lemma *parity-cases* [*case-names even odd*]:
assumes $a \bmod 2 = 0 \implies P$
assumes $a \bmod 2 = 1 \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *one-div-two-eq-zero* [*simp*]:
 $1 \text{ div } 2 = 0$
 $\langle \text{proof} \rangle$

lemma *not-mod-2-eq-0-eq-1* [*simp*]:
 $a \bmod 2 \neq 0 \longleftrightarrow a \bmod 2 = 1$
 $\langle \text{proof} \rangle$

lemma *not-mod-2-eq-1-eq-0* [*simp*]:
 $a \bmod 2 \neq 1 \longleftrightarrow a \bmod 2 = 0$
 $\langle \text{proof} \rangle$

subclass *semiring-parity*
 $\langle \text{proof} \rangle$

lemma *even-iff-mod-2-eq-zero*:
 $\text{even } a \longleftrightarrow a \bmod 2 = 0$
 $\langle \text{proof} \rangle$

lemma *odd-iff-mod-2-eq-one*:
 $\text{odd } a \longleftrightarrow a \bmod 2 = 1$
 $\langle \text{proof} \rangle$

lemma *even-succ-div-two* [*simp*]:
 $\text{even } a \implies (a + 1) \text{ div } 2 = a \text{ div } 2$
 $\langle \text{proof} \rangle$

lemma *odd-succ-div-two* [simp]:
 $odd\ a \implies (a + 1) \text{ div } 2 = a \text{ div } 2 + 1$
 ⟨proof⟩

lemma *even-two-times-div-two*:
 $even\ a \implies 2 * (a \text{ div } 2) = a$
 ⟨proof⟩

lemma *odd-two-times-div-two-succ* [simp]:
 $odd\ a \implies 2 * (a \text{ div } 2) + 1 = a$
 ⟨proof⟩

end

56.4 Numeral division with a pragmatic type class

The following type class contains everything necessary to formulate a division algorithm in ring structures with numerals, restricted to its positive segments. This is its primary motivation, and it could surely be formulated using a more fine-grained, more algebraic and less technical class hierarchy.

class *semiring-numeral-div* = *semiring-div* + *comm-semiring-1-cancel* + *linordered-semidom*
 +
assumes *div-less*: $0 \leq a \implies a < b \implies a \text{ div } b = 0$
and *mod-less*: $0 \leq a \implies a < b \implies a \text{ mod } b = a$
and *div-positive*: $0 < b \implies b \leq a \implies a \text{ div } b > 0$
and *mod-less-eq-dividend*: $0 \leq a \implies a \text{ mod } b \leq a$
and *pos-mod-bound*: $0 < b \implies a \text{ mod } b < b$
and *pos-mod-sign*: $0 < b \implies 0 \leq a \text{ mod } b$
and *mod-mult2-eq*: $0 \leq c \implies a \text{ mod } (b * c) = b * (a \text{ div } b \text{ mod } c) + a \text{ mod } b$
and *div-mult2-eq*: $0 \leq c \implies a \text{ div } (b * c) = a \text{ div } b \text{ div } c$
assumes *discrete*: $a < b \iff a + 1 \leq b$
fixes *divmod* :: $num \Rightarrow num \Rightarrow 'a \times 'a$
and *divmod-step* :: $num \Rightarrow 'a \times 'a \Rightarrow 'a \times 'a$
assumes *divmod-def*: $divmod\ m\ n = (numeral\ m \text{ div } numeral\ n, numeral\ m \text{ mod } numeral\ n)$
and *divmod-step-def*: $divmod\text{-}step\ l\ qr = (let\ (q, r) = qr$
 in if $r \geq numeral\ l$ *then* $(2 * q + 1, r - numeral\ l)$
 else $(2 * q, r))$

— These are conceptually definitions but force generated code to be monomorphic wrt. particular instances of this class which yields a significant speedup.

begin

subclass *semiring-div-parity*
 ⟨proof⟩

lemma *divmod-digit-1*:

assumes $0 \leq a$ $0 < b$ **and** $b \leq a \bmod (2 * b)$
shows $2 * (a \operatorname{div} (2 * b)) + 1 = a \operatorname{div} b$ (**is** ?P)
and $a \bmod (2 * b) - b = a \bmod b$ (**is** ?Q)
 ⟨proof⟩

lemma *divmod-digit-0*:
assumes $0 < b$ **and** $a \bmod (2 * b) < b$
shows $2 * (a \operatorname{div} (2 * b)) = a \operatorname{div} b$ (**is** ?P)
and $a \bmod (2 * b) = a \bmod b$ (**is** ?Q)
 ⟨proof⟩

lemma *fst-divmod*:
 $\operatorname{fst} (\operatorname{divmod} m n) = \operatorname{numeral} m \operatorname{div} \operatorname{numeral} n$
 ⟨proof⟩

lemma *snd-divmod*:
 $\operatorname{snd} (\operatorname{divmod} m n) = \operatorname{numeral} m \bmod \operatorname{numeral} n$
 ⟨proof⟩

This is a formulation of one step (referring to one digit position) in school-method division: compare the dividend at the current digit position with the remainder from previous division steps and evaluate accordingly.

lemma *divmod-step-eq* [simp]:
 $\operatorname{divmod}\text{-step } l (q, r) = (\text{if } \operatorname{numeral} l \leq r$
 $\text{then } (2 * q + 1, r - \operatorname{numeral} l) \text{ else } (2 * q, r))$
 ⟨proof⟩

This is a formulation of school-method division. If the divisor is smaller than the dividend, terminate. If not, shift the dividend to the right until termination occurs and then reiterate single division steps in the opposite direction.

lemma *divmod-divmod-step*:
 $\operatorname{divmod} m n = (\text{if } m < n \text{ then } (0, \operatorname{numeral} m)$
 $\text{else } \operatorname{divmod}\text{-step } n (\operatorname{divmod} m (\operatorname{Num.Bit0} n)))$
 ⟨proof⟩

The division rewrite proper – first, trivial results involving 1

lemma *divmod-trivial* [simp]:
 $\operatorname{divmod} \operatorname{Num.One} \operatorname{Num.One} = (\operatorname{numeral} \operatorname{Num.One}, 0)$
 $\operatorname{divmod} (\operatorname{Num.Bit0} m) \operatorname{Num.One} = (\operatorname{numeral} (\operatorname{Num.Bit0} m), 0)$
 $\operatorname{divmod} (\operatorname{Num.Bit1} m) \operatorname{Num.One} = (\operatorname{numeral} (\operatorname{Num.Bit1} m), 0)$
 $\operatorname{divmod} \operatorname{num.One} (\operatorname{num.Bit0} n) = (0, \operatorname{Numeral1})$
 $\operatorname{divmod} \operatorname{num.One} (\operatorname{num.Bit1} n) = (0, \operatorname{Numeral1})$
 ⟨proof⟩

Division by an even number is a right-shift

lemma *divmod-cancel* [simp]:

$\text{divmod } (\text{Num.Bit0 } m) (\text{Num.Bit0 } n) = (\text{case divmod } m \text{ of } (q, r) \Rightarrow (q, 2 * r)) \text{ (is ?P)}$
 $\text{divmod } (\text{Num.Bit1 } m) (\text{Num.Bit0 } n) = (\text{case divmod } m \text{ of } (q, r) \Rightarrow (q, 2 * r + 1)) \text{ (is ?Q)}$
 $\langle \text{proof} \rangle$

The really hard work

lemma *divmod-steps* [simp]:
 $\text{divmod } (\text{num.Bit0 } m) (\text{num.Bit1 } n) =$
 $(\text{if } m \leq n \text{ then } (0, \text{numeral } (\text{num.Bit0 } m))$
 $\text{else divmod-step } (\text{num.Bit1 } n)$
 $(\text{divmod } (\text{num.Bit0 } m)$
 $(\text{num.Bit0 } (\text{num.Bit1 } n))))$
 $\text{divmod } (\text{num.Bit1 } m) (\text{num.Bit1 } n) =$
 $(\text{if } m < n \text{ then } (0, \text{numeral } (\text{num.Bit1 } m))$
 $\text{else divmod-step } (\text{num.Bit1 } n)$
 $(\text{divmod } (\text{num.Bit1 } m)$
 $(\text{num.Bit0 } (\text{num.Bit1 } n))))$
 $\langle \text{proof} \rangle$

lemmas *divmod-algorithm-code* = *divmod-step-eq divmod-trivial divmod-cancel divmod-steps*

Special case: divisibility

definition *divides-aux* :: 'a × 'a ⇒ bool
where
 $\text{divides-aux } qr \longleftrightarrow \text{snd } qr = 0$

lemma *divides-aux-eq* [simp]:
 $\text{divides-aux } (q, r) \longleftrightarrow r = 0$
 $\langle \text{proof} \rangle$

lemma *dvd-numeral-simp* [simp]:
 $\text{numeral } m \text{ dvd numeral } n \longleftrightarrow \text{divides-aux } (\text{divmod } n \text{ } m)$
 $\langle \text{proof} \rangle$

Generic computation of quotient and remainder

lemma *numeral-div-numeral* [simp]:
 $\text{numeral } k \text{ div numeral } l = \text{fst } (\text{divmod } k \text{ } l)$
 $\langle \text{proof} \rangle$

lemma *numeral-mod-numeral* [simp]:
 $\text{numeral } k \text{ mod numeral } l = \text{snd } (\text{divmod } k \text{ } l)$
 $\langle \text{proof} \rangle$

lemma *one-div-numeral* [simp]:
 $1 \text{ div numeral } n = \text{fst } (\text{divmod } \text{num.One } n)$
 $\langle \text{proof} \rangle$

lemma *one-mod-numeral* [simp]:

$1 \bmod \text{numeral } n = \text{snd } (\text{divmod num.One } n)$
 $\langle \text{proof} \rangle$

Computing congruences modulo 2^q

lemma *cong-exp-iff-simps*:

$\text{numeral } n \bmod \text{numeral Num.One} = 0$
 $\longleftrightarrow \text{True}$
 $\text{numeral } (\text{Num.Bit0 } n) \bmod \text{numeral } (\text{Num.Bit0 } q) = 0$
 $\longleftrightarrow \text{numeral } n \bmod \text{numeral } q = 0$
 $\text{numeral } (\text{Num.Bit1 } n) \bmod \text{numeral } (\text{Num.Bit0 } q) = 0$
 $\longleftrightarrow \text{False}$
 $\text{numeral } m \bmod \text{numeral Num.One} = (\text{numeral } n \bmod \text{numeral Num.One})$
 $\longleftrightarrow \text{True}$
 $\text{numeral Num.One} \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral Num.One} \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow \text{True}$
 $\text{numeral Num.One} \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral } (\text{Num.Bit0 } n) \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow \text{False}$
 $\text{numeral Num.One} \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral } (\text{Num.Bit1 } n) \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow (\text{numeral } n \bmod \text{numeral } q) = 0$
 $\text{numeral } (\text{Num.Bit0 } m) \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral Num.One} \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow \text{False}$
 $\text{numeral } (\text{Num.Bit0 } m) \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral } (\text{Num.Bit0 } n) \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow \text{numeral } m \bmod \text{numeral } q = (\text{numeral } n \bmod \text{numeral } q)$
 $\text{numeral } (\text{Num.Bit0 } m) \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral } (\text{Num.Bit1 } n) \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow \text{False}$
 $\text{numeral } (\text{Num.Bit1 } m) \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral Num.One} \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow (\text{numeral } m \bmod \text{numeral } q) = 0$
 $\text{numeral } (\text{Num.Bit1 } m) \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral } (\text{Num.Bit0 } n) \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow \text{False}$
 $\text{numeral } (\text{Num.Bit1 } m) \bmod \text{numeral } (\text{Num.Bit0 } q) = (\text{numeral } (\text{Num.Bit1 } n) \bmod \text{numeral } (\text{Num.Bit0 } q))$
 $\longleftrightarrow \text{numeral } m \bmod \text{numeral } q = (\text{numeral } n \bmod \text{numeral } q)$
 $\langle \text{proof} \rangle$

end

56.5 Division on *nat*

context

begin

We define *op div* and *op mod* on *nat* by means of a characteristic relation

with two input arguments m, n and two output arguments q (uotient) and r (emainder).

inductive *eucl-rel-nat* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat} \Rightarrow \text{bool}$
where *eucl-rel-nat-by0*: *eucl-rel-nat* m 0 $(0, m)$
| *eucl-rel-natI*: $r < n \implies m = q * n + r \implies \text{eucl-rel-nat } m \ n \ (q, r)$

eucl-rel-nat is total:

qualified lemma *eucl-rel-nat-ex*:
obtains $q \ r$ **where** *eucl-rel-nat* $m \ n \ (q, r)$
 $\langle \text{proof} \rangle$

eucl-rel-nat is injective:

qualified lemma *eucl-rel-nat-unique-div*:
assumes *eucl-rel-nat* $m \ n \ (q, r)$
and *eucl-rel-nat* $m \ n \ (q', r')$
shows $q = q'$
 $\langle \text{proof} \rangle$ **lemma** *eucl-rel-nat-unique-mod*:
assumes *eucl-rel-nat* $m \ n \ (q, r)$
and *eucl-rel-nat* $m \ n \ (q', r')$
shows $r = r'$
 $\langle \text{proof} \rangle$

We instantiate divisibility on the natural numbers by means of *eucl-rel-nat*:

qualified definition *divmod-nat* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat}$ **where**
divmod-nat $m \ n = (\text{THE } qr. \text{eucl-rel-nat } m \ n \ qr)$

qualified lemma *eucl-rel-nat-divmod-nat*:
eucl-rel-nat $m \ n \ (\text{divmod-nat } m \ n)$
 $\langle \text{proof} \rangle$ **lemma** *divmod-nat-unique*:
divmod-nat $m \ n = (q, r)$ **if** *eucl-rel-nat* $m \ n \ (q, r)$
 $\langle \text{proof} \rangle$ **lemma** *divmod-nat-zero*:
divmod-nat $m \ 0 = (0, m)$
 $\langle \text{proof} \rangle$ **lemma** *divmod-nat-zero-left*:
divmod-nat $0 \ n = (0, 0)$
 $\langle \text{proof} \rangle$ **lemma** *divmod-nat-base*:
 $m < n \implies \text{divmod-nat } m \ n = (0, m)$
 $\langle \text{proof} \rangle$ **lemma** *divmod-nat-step*:
assumes $0 < n$ **and** $n \leq m$
shows *divmod-nat* $m \ n =$
 $(\text{Suc } (\text{fst } (\text{divmod-nat } (m - n) \ n)), \text{snd } (\text{divmod-nat } (m - n) \ n))$
 $\langle \text{proof} \rangle$

end

instantiation *nat* :: $\{\text{semidom-modulo}, \text{normalization-semidom}\}$
begin

definition *normalize-nat* :: $\text{nat} \Rightarrow \text{nat}$

where $[simp]: \text{normalize} = (\text{id} :: \text{nat} \Rightarrow \text{nat})$

definition $\text{unit-factor-nat} :: \text{nat} \Rightarrow \text{nat}$
where $\text{unit-factor } n = (\text{if } n = 0 \text{ then } 0 \text{ else } 1 :: \text{nat})$

lemma $\text{unit-factor-simps} [simp]:$
 $\text{unit-factor } 0 = (0 :: \text{nat})$
 $\text{unit-factor } (\text{Suc } n) = 1$
 $\langle \text{proof} \rangle$

definition $\text{divide-nat} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$
where $\text{div-nat-def}: m \text{ div } n = \text{fst } (\text{Divides.divmod-nat } m \ n)$

definition $\text{modulo-nat} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$
where $\text{mod-nat-def}: m \text{ mod } n = \text{snd } (\text{Divides.divmod-nat } m \ n)$

lemma $\text{fst-divmod-nat} [simp]:$
 $\text{fst } (\text{Divides.divmod-nat } m \ n) = m \text{ div } n$
 $\langle \text{proof} \rangle$

lemma $\text{snd-divmod-nat} [simp]:$
 $\text{snd } (\text{Divides.divmod-nat } m \ n) = m \text{ mod } n$
 $\langle \text{proof} \rangle$

lemma $\text{divmod-nat-div-mod}:$
 $\text{Divides.divmod-nat } m \ n = (m \text{ div } n, m \text{ mod } n)$
 $\langle \text{proof} \rangle$

lemma $\text{div-nat-unique}:$
assumes $\text{eucl-rel-nat } m \ n \ (q, r)$
shows $m \text{ div } n = q$
 $\langle \text{proof} \rangle$

lemma $\text{mod-nat-unique}:$
assumes $\text{eucl-rel-nat } m \ n \ (q, r)$
shows $m \text{ mod } n = r$
 $\langle \text{proof} \rangle$

lemma $\text{eucl-rel-nat}: \text{eucl-rel-nat } m \ n \ (m \text{ div } n, m \text{ mod } n)$
 $\langle \text{proof} \rangle$

The “recursion” equations for $op \text{ div}$ and $op \text{ mod}$

lemma $\text{div-less} [simp]:$
fixes $m \ n :: \text{nat}$
assumes $m < n$
shows $m \text{ div } n = 0$
 $\langle \text{proof} \rangle$

lemma $\text{le-div-geq}:$

```

fixes  $m\ n :: \text{nat}$ 
assumes  $0 < n$  and  $n \leq m$ 
shows  $m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma mod-less [simp]:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $m < n$ 
  shows  $m \text{ mod } n = m$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma le-mod-geq:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $n \leq m$ 
  shows  $m \text{ mod } n = (m - n) \text{ mod } n$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma mod-less-divisor [simp]:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $n > 0$ 
  shows  $m \text{ mod } n < n$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma mod-le-divisor [simp]:
  fixes  $m\ n :: \text{nat}$ 
  assumes  $n > 0$ 
  shows  $m \text{ mod } n \leq n$ 
   $\langle \text{proof} \rangle$ 

```

```

instance  $\langle \text{proof} \rangle$ 

```

```

end

```

```

instance  $\text{nat} :: \text{semiring-div}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma div-by-Suc-0 [simp]:
   $m \text{ div } \text{Suc } 0 = m$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma mod-by-Suc-0 [simp]:
   $m \text{ mod } \text{Suc } 0 = 0$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma mod-greater-zero-iff-not-dvd:
  fixes  $m\ n :: \text{nat}$ 
  shows  $m \text{ mod } n > 0 \longleftrightarrow \neg n \text{ dvd } m$ 
   $\langle \text{proof} \rangle$ 

```

instantiation *nat* :: *unique-euclidean-semiring*
begin

definition [*simp*]:
euclidean-size-nat = (*id* :: *nat* \Rightarrow *nat*)

definition [*simp*]:
uniqueness-constraint-nat = (*top* :: *nat* \Rightarrow *nat* \Rightarrow *bool*)

instance
 \langle *proof* \rangle

end

Simproc for cancelling *op div* and *op mod*

lemma (**in** *semiring-modulo*) *cancel-div-mod-rules*:
 $((a \text{ div } b) * b + a \text{ mod } b) + c = a + c$
 $(b * (a \text{ div } b) + a \text{ mod } b) + c = a + c$
 \langle *proof* \rangle

\langle *ML* \rangle

lemma *divmod-nat-if* [*code*]:
Divides.divmod-nat *m n* = (if *n* = 0 \vee *m* < *n* then (0, *m*) else
 let (*q*, *r*) = *Divides.divmod-nat* (*m* - *n*) *n* in (*Suc q*, *r*))
 \langle *proof* \rangle

lemma *mod-Suc-eq* [*mod-simps*]:
Suc (*m mod n*) *mod n* = *Suc m mod n*
 \langle *proof* \rangle

lemma *mod-Suc-Suc-eq* [*mod-simps*]:
Suc (*Suc* (*m mod n*)) *mod n* = *Suc* (*Suc m*) *mod n*
 \langle *proof* \rangle

56.5.1 Quotient

lemma *div-geq*: $0 < n \implies \neg m < n \implies m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$
 \langle *proof* \rangle

lemma *div-if*: $0 < n \implies m \text{ div } n = (\text{if } m < n \text{ then } 0 \text{ else } \text{Suc } ((m - n) \text{ div } n))$
 \langle *proof* \rangle

lemma *div-mult-self-is-m* [*simp*]: $0 < n \implies (m * n) \text{ div } n = (m :: \text{nat})$
 \langle *proof* \rangle

lemma *div-mult-self1-is-m* [*simp*]: $0 < n \implies (n * m) \text{ div } n = (m :: \text{nat})$
 \langle *proof* \rangle

lemma *div-positive*:
 fixes $m\ n :: \text{nat}$
 assumes $n > 0$
 assumes $m \geq n$
 shows $m \text{ div } n > 0$
 $\langle \text{proof} \rangle$

lemma *div-eq-0-iff*: $(a \text{ div } b :: \text{nat}) = 0 \longleftrightarrow a < b \vee b = 0$
 $\langle \text{proof} \rangle$

56.5.2 Remainder

lemma *mod-Suc-le-divisor* [*simp*]:
 $m \text{ mod } \text{Suc } n \leq n$
 $\langle \text{proof} \rangle$

lemma *mod-less-eq-dividend* [*simp*]:
 fixes $m\ n :: \text{nat}$
 shows $m \text{ mod } n \leq m$
 $\langle \text{proof} \rangle$

lemma *mod-geq*: $\neg m < (n :: \text{nat}) \implies m \text{ mod } n = (m - n) \text{ mod } n$
 $\langle \text{proof} \rangle$

lemma *mod-if*: $m \text{ mod } (n :: \text{nat}) = (\text{if } m < n \text{ then } m \text{ else } (m - n) \text{ mod } n)$
 $\langle \text{proof} \rangle$

56.5.3 Quotient and Remainder

lemma *div-mult1-eq*:
 $(a * b) \text{ div } c = a * (b \text{ div } c) + a * (b \text{ mod } c) \text{ div } (c :: \text{nat})$
 $\langle \text{proof} \rangle$

lemma *eucl-rel-nat-add1-eq*:
 $\text{eucl-rel-nat } a\ c\ (aq, ar) \implies \text{eucl-rel-nat } b\ c\ (bq, br)$
 $\implies \text{eucl-rel-nat } (a + b)\ c\ (aq + bq + (ar + br) \text{ div } c, (ar + br) \text{ mod } c)$
 $\langle \text{proof} \rangle$

lemma *div-add1-eq*:
 $(a + b) \text{ div } (c :: \text{nat}) = a \text{ div } c + b \text{ div } c + ((a \text{ mod } c + b \text{ mod } c) \text{ div } c)$
 $\langle \text{proof} \rangle$

lemma *eucl-rel-nat-mult2-eq*:
 assumes $\text{eucl-rel-nat } a\ b\ (q, r)$
 shows $\text{eucl-rel-nat } a\ (b * c)\ (q \text{ div } c, b * (q \text{ mod } c) + r)$
 $\langle \text{proof} \rangle$

lemma *div-mult2-eq*: $a \text{ div } (b * c) = (a \text{ div } b) \text{ div } (c :: \text{nat})$
 $\langle \text{proof} \rangle$

lemma *mod-mult2-eq*: $a \bmod (b * c) = b * (a \text{ div } b \bmod c) + a \bmod (b::nat)$
 ⟨*proof*⟩

instantiation *nat* :: *semiring-numeral-div*
begin

definition *divmod-nat* :: $num \Rightarrow num \Rightarrow nat \times nat$
where
divmod'-nat-def: $divmod\text{-}nat\ m\ n = (numeral\ m\ \text{div}\ numeral\ n, numeral\ m\ \bmod\ numeral\ n)$

definition *divmod-step-nat* :: $num \Rightarrow nat \times nat \Rightarrow nat \times nat$
where
divmod-step-nat $l\ qr = (let\ (q, r) = qr$
 in if $r \geq numeral\ l$ *then* $(2 * q + 1, r - numeral\ l)$
 else $(2 * q, r))$

instance
 ⟨*proof*⟩

end

declare *divmod-algorithm-code* [where ?'a = nat, code]

56.5.4 Further Facts about Quotient and Remainder

lemma *div-le-mono*:
 fixes $m\ n\ k :: nat$
 assumes $m \leq n$
 shows $m \text{ div } k \leq n \text{ div } k$
 ⟨*proof*⟩

lemma *div-le-mono2*: $!!m::nat. [\![\ 0 < m; m \leq n\]\!] ==> (k \text{ div } n) \leq (k \text{ div } m)$
 ⟨*proof*⟩

lemma *div-le-dividend* [*simp*]: $m \text{ div } n \leq (m::nat)$
 ⟨*proof*⟩

lemma *div-less-dividend* [*simp*]:
 $[(1::nat) < n; 0 < m] \implies m \text{ div } n < m$
 ⟨*proof*⟩

A fact for the mutilated chess board

lemma *mod-Suc*: $Suc(m) \bmod n = (if\ Suc(m \bmod n) = n\ then\ 0\ else\ Suc(m \bmod n))$
 ⟨*proof*⟩

lemma *mod-eq-0-iff*: $(m \bmod d = 0) = (\exists q::nat. m = d * q)$
 $\langle proof \rangle$

lemmas *mod-eq-0D* $[dest!]$ = *mod-eq-0-iff* $[THEN\ iffD1]$

lemma *mod-eqD*:
fixes $m\ d\ r\ q :: nat$
assumes $m \bmod d = r$
shows $\exists q. m = r + q * d$
 $\langle proof \rangle$

lemma *split-div*:
 $P(n \text{ div } k :: nat) =$
 $((k = 0 \longrightarrow P\ 0) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k * i + j \longrightarrow P\ i)))$
 $(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$
 $\langle proof \rangle$

lemma *split-div-lemma*:
assumes $0 < n$
shows $n * q \leq m \wedge m < n * \text{Suc } q \longleftrightarrow q = ((m::nat) \text{ div } n) (\text{is } ?lhs \longleftrightarrow ?rhs)$
 $\langle proof \rangle$

theorem *split-div'*:
 $P((m::nat) \text{ div } n) = ((n = 0 \wedge P\ 0) \vee$
 $(\exists q. (n * q \leq m \wedge m < n * (\text{Suc } q)) \wedge P\ q))$
 $\langle proof \rangle$

lemma *split-mod*:
 $P(n \bmod k :: nat) =$
 $((k = 0 \longrightarrow P\ n) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k * i + j \longrightarrow P\ j)))$
 $(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$
 $\langle proof \rangle$

lemma *div-eq-dividend-iff*: $a \neq 0 \implies (a :: nat) \text{ div } b = a \longleftrightarrow b = 1$
 $\langle proof \rangle$

lemma (**in** *field-char-0*) *of-nat-div*:
 $\text{of-nat } (m \text{ div } n) = ((\text{of-nat } m - \text{of-nat } (m \bmod n)) / \text{of-nat } n)$
 $\langle proof \rangle$

56.5.5 An “induction” law for modulus arithmetic.

lemma *mod-induct-0*:
assumes *step*: $\forall i < p. P\ i \longrightarrow P\ ((\text{Suc } i) \bmod p)$
and *base*: $P\ i$ **and** $i < p$
shows $P\ 0$
 $\langle proof \rangle$

lemma *mod-induct*:

assumes *step*: $\forall i < p. P\ i \longrightarrow P\ ((\text{Suc } i) \bmod p)$

and *base*: $P\ i$ **and** $i < p$ **and** $j < p$

shows $P\ j$

<proof>

lemma *div2-Suc-Suc [simp]*: $\text{Suc } (\text{Suc } m) \text{ div } 2 = \text{Suc } (m \text{ div } 2)$

<proof>

lemma *mod2-Suc-Suc [simp]*: $\text{Suc } (\text{Suc } m) \bmod 2 = m \bmod 2$

<proof>

lemma *add-self-div-2 [simp]*: $(m + m) \text{ div } 2 = (m :: \text{nat})$

<proof>

lemma *mod2-gr-0 [simp]*: $0 < (m :: \text{nat}) \bmod 2 \longleftrightarrow m \bmod 2 = 1$

<proof>

These lemmas collapse some needless occurrences of Suc: at least three Sucs, since two and fewer are rewritten back to Suc again! We already have some rules to simplify operands smaller than 3.

lemma *div-Suc-eq-div-add3 [simp]*: $m \text{ div } (\text{Suc } (\text{Suc } (\text{Suc } n))) = m \text{ div } (3+n)$

<proof>

lemma *mod-Suc-eq-mod-add3 [simp]*: $m \bmod (\text{Suc } (\text{Suc } (\text{Suc } n))) = m \bmod (3+n)$

<proof>

lemma *Suc-div-eq-add3-div*: $(\text{Suc } (\text{Suc } (\text{Suc } m))) \text{ div } n = (3+m) \text{ div } n$

<proof>

lemma *Suc-mod-eq-add3-mod*: $(\text{Suc } (\text{Suc } (\text{Suc } m))) \bmod n = (3+m) \bmod n$

<proof>

lemmas *Suc-div-eq-add3-div-numeral [simp]* = *Suc-div-eq-add3-div [of - numeral v]* **for** v

lemmas *Suc-mod-eq-add3-mod-numeral [simp]* = *Suc-mod-eq-add3-mod [of - numeral v]* **for** v

lemma *Suc-times-mod-eq*: $1 < k \implies \text{Suc } (k * m) \bmod k = 1$

<proof>

declare *Suc-times-mod-eq [of numeral w, simp]* **for** w

lemma *Suc-div-le-mono [simp]*: $n \text{ div } k \leq (\text{Suc } n) \text{ div } k$

<proof>

lemma *Suc-n-div-2-gt-zero [simp]*: $(0 :: \text{nat}) < n \implies 0 < (n + 1) \text{ div } 2$

<proof>

lemma *div-2-gt-zero* [simp]: **assumes** $A: (1::nat) < n$ **shows** $0 < n \text{ div } 2$
 ⟨proof⟩

lemma *mod-mult-self4* [simp]: $Suc (k*n + m) \text{ mod } n = Suc m \text{ mod } n$
 ⟨proof⟩

lemma *mod-Suc-eq-Suc-mod*: $Suc m \text{ mod } n = Suc (m \text{ mod } n) \text{ mod } n$
 ⟨proof⟩

lemma *mod-2-not-eq-zero-eq-one-nat*:
fixes $n :: nat$
shows $n \text{ mod } 2 \neq 0 \longleftrightarrow n \text{ mod } 2 = 1$
 ⟨proof⟩

lemma *even-Suc-div-two* [simp]:
 $even\ n \implies Suc\ n \text{ div } 2 = n \text{ div } 2$
 ⟨proof⟩

lemma *odd-Suc-div-two* [simp]:
 $odd\ n \implies Suc\ n \text{ div } 2 = Suc\ (n \text{ div } 2)$
 ⟨proof⟩

lemma *odd-two-times-div-two-nat* [simp]:
assumes $odd\ n$
shows $2 * (n \text{ div } 2) = n - (1 :: nat)$
 ⟨proof⟩

lemma *parity-induct* [case-names zero even odd]:
assumes $zero: P\ 0$
assumes $even: \bigwedge n. P\ n \implies P\ (2 * n)$
assumes $odd: \bigwedge n. P\ n \implies P\ (Suc\ (2 * n))$
shows $P\ n$
 ⟨proof⟩

lemma *Suc-0-div-numeral* [simp]:
fixes $k\ l :: num$
shows $Suc\ 0 \text{ div numeral } k = fst\ (divmod\ Num.One\ k)$
 ⟨proof⟩

lemma *Suc-0-mod-numeral* [simp]:
fixes $k\ l :: num$
shows $Suc\ 0 \text{ mod numeral } k = snd\ (divmod\ Num.One\ k)$
 ⟨proof⟩

56.6 Division on *int*

context
begin

inductive *eucl-rel-int* :: *int* \Rightarrow *int* \Rightarrow *int* \times *int* \Rightarrow *bool*
where *eucl-rel-int-by0*: *eucl-rel-int* *k* 0 (*0*, *k*)
| *eucl-rel-int-dividesI*: *l* \neq 0 \Rightarrow *k* = *q* * *l* \Rightarrow *eucl-rel-int* *k* *l* (*q*, 0)
| *eucl-rel-int-remainderI*: *sgn* *r* = *sgn* *l* \Rightarrow |*r*| < |*l*|
 \Rightarrow *k* = *q* * *l* + *r* \Rightarrow *eucl-rel-int* *k* *l* (*q*, *r*)

lemma *eucl-rel-int-iff*:
eucl-rel-int *k* *l* (*q*, *r*) \longleftrightarrow
k = *l* * *q* + *r* \wedge
(*if* 0 < *l* *then* 0 \leq *r* \wedge *r* < *l* *else if* *l* < 0 *then* *l* < *r* \wedge *r* \leq 0 *else* *q* = 0)
<*proof*>

lemma *unique-quotient-lemma*:
b * *q'* + *r'* \leq *b* * *q* + *r* \Rightarrow 0 \leq *r'* \Rightarrow *r'* < *b* \Rightarrow *r* < *b* \Rightarrow *q'* \leq (*q*::*int*)
<*proof*>

lemma *unique-quotient-lemma-neg*:
b * *q'* + *r'* \leq *b***q* + *r* \Rightarrow *r* \leq 0 \Rightarrow *b* < *r* \Rightarrow *b* < *r'* \Rightarrow *q* \leq (*q'*::*int*)
<*proof*>

lemma *unique-quotient*:
eucl-rel-int *a* *b* (*q*, *r*) \Rightarrow *eucl-rel-int* *a* *b* (*q'*, *r'*) \Rightarrow *q* = *q'*
<*proof*>

lemma *unique-remainder*:
eucl-rel-int *a* *b* (*q*, *r*) \Rightarrow *eucl-rel-int* *a* *b* (*q'*, *r'*) \Rightarrow *r* = *r'*
<*proof*>

end

instantiation *int* :: {*idom-modulo*, *normalization-semidom*}
begin

definition *normalize-int* :: *int* \Rightarrow *int*
where [*simp*]: *normalize* = (*abs* :: *int* \Rightarrow *int*)

definition *unit-factor-int* :: *int* \Rightarrow *int*
where [*simp*]: *unit-factor* = (*sgn* :: *int* \Rightarrow *int*)

definition *divide-int* :: *int* \Rightarrow *int* \Rightarrow *int*
where *k* *div* *l* = (*if* *l* = 0 \vee *k* = 0 *then* 0
else if *k* > 0 \wedge *l* > 0 \vee *k* < 0 \wedge *l* < 0
then *int* (*nat* |*k*| *div* *nat* |*l*|)
else
if *l* *dvd* *k* *then* - *int* (*nat* |*k*| *div* *nat* |*l*|)
else - *int* (*Suc* (*nat* |*k*| *div* *nat* |*l*|)))

definition *modulo-int* :: *int* \Rightarrow *int* \Rightarrow *int*

where $k \bmod l = (\text{if } l = 0 \text{ then } k \text{ else if } l \text{ dvd } k \text{ then } 0$
 $\text{else if } k > 0 \wedge l > 0 \vee k < 0 \wedge l < 0$
 $\text{then } \text{sgn } l * \text{int } (\text{nat } |k| \bmod \text{nat } |l|)$
 $\text{else } \text{sgn } l * (|l| - \text{int } (\text{nat } |k| \bmod \text{nat } |l|)))$

lemma *eucl-rel-int*:

eucl-rel-int $k \ l \ (k \text{ div } l, k \bmod l)$
 $\langle \text{proof} \rangle$

lemma *divmod-int-unique*:

assumes *eucl-rel-int* $k \ l \ (q, r)$

shows *div-int-unique*: $k \text{ div } l = q$ **and** *mod-int-unique*: $k \bmod l = r$

$\langle \text{proof} \rangle$

instance $\langle \text{proof} \rangle$

end

lemma *is-unit-int*:

is-unit $(k :: \text{int}) \longleftrightarrow k = 1 \vee k = -1$

$\langle \text{proof} \rangle$

lemma *zdiv-int*:

int $(a \text{ div } b) = \text{int } a \text{ div int } b$

$\langle \text{proof} \rangle$

lemma *zmod-int*:

int $(a \bmod b) = \text{int } a \bmod \text{int } b$

$\langle \text{proof} \rangle$

lemma *div-abs-eq-div-nat*:

$|k| \text{ div } |l| = \text{int } (\text{nat } |k| \text{ div nat } |l|)$

$\langle \text{proof} \rangle$

lemma *mod-abs-eq-div-nat*:

$|k| \bmod |l| = \text{int } (\text{nat } |k| \bmod \text{nat } |l|)$

$\langle \text{proof} \rangle$

lemma *div-sgn-abs-cancel*:

fixes $k \ l \ v :: \text{int}$

assumes $v \neq 0$

shows $(\text{sgn } v * |k|) \text{ div } (\text{sgn } v * |l|) = |k| \text{ div } |l|$

$\langle \text{proof} \rangle$

lemma *div-eq-sgn-abs*:

fixes $k \ l \ v :: \text{int}$

assumes $\text{sgn } k = \text{sgn } l$

shows $k \text{ div } l = |k| \text{ div } |l|$

$\langle \text{proof} \rangle$

lemma *div-dvd-sgn-abs*:
 fixes $k\ l :: \text{int}$
 assumes $l\ \text{dvd}\ k$
 shows $k\ \text{div}\ l = (\text{sgn}\ k * \text{sgn}\ l) * (|k|\ \text{div}\ |l|)$
 $\langle \text{proof} \rangle$

lemma *div-noneq-sgn-abs*:
 fixes $k\ l :: \text{int}$
 assumes $l \neq 0$
 assumes $\text{sgn}\ k \neq \text{sgn}\ l$
 shows $k\ \text{div}\ l = - (|k|\ \text{div}\ |l|) - \text{of_bool}\ (\neg l\ \text{dvd}\ k)$
 $\langle \text{proof} \rangle$

lemma *sgn-mod*:
 fixes $k\ l :: \text{int}$
 assumes $l \neq 0 \rightarrow l\ \text{dvd}\ k$
 shows $\text{sgn}\ (k\ \text{mod}\ l) = \text{sgn}\ l$
 $\langle \text{proof} \rangle$

lemma *abs-mod-less*:
 fixes $k\ l :: \text{int}$
 assumes $l \neq 0$
 shows $|k\ \text{mod}\ l| < |l|$
 $\langle \text{proof} \rangle$

instance $\text{int} :: \text{ring-div}$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

Basic laws about division and remainder

lemma *pos-mod-conj*: $(0::\text{int}) < b \implies 0 \leq a\ \text{mod}\ b \wedge a\ \text{mod}\ b < b$
 $\langle \text{proof} \rangle$

lemmas *pos-mod-sign* [simp] = *pos-mod-conj* [THEN conjunct1]
 and *pos-mod-bound* [simp] = *pos-mod-conj* [THEN conjunct2]

lemma *neg-mod-conj*: $b < (0::\text{int}) \implies a\ \text{mod}\ b \leq 0 \wedge b < a\ \text{mod}\ b$
 $\langle \text{proof} \rangle$

lemmas *neg-mod-sign* [simp] = *neg-mod-conj* [THEN conjunct1]
 and *neg-mod-bound* [simp] = *neg-mod-conj* [THEN conjunct2]

56.6.1 General Properties of div and mod

lemma *div-pos-pos-trivial*: $[(0::\text{int}) \leq a; a < b] \implies a\ \text{div}\ b = 0$
 $\langle \text{proof} \rangle$

lemma *div-neg-neg-trivial*: $[| a \leq (0::int); b < a |] ==> a \text{ div } b = 0$
 $\langle \text{proof} \rangle$

lemma *div-pos-neg-trivial*: $[| (0::int) < a; a+b \leq 0 |] ==> a \text{ div } b = -1$
 $\langle \text{proof} \rangle$

lemma *mod-pos-pos-trivial*: $[| (0::int) \leq a; a < b |] ==> a \text{ mod } b = a$
 $\langle \text{proof} \rangle$

lemma *mod-neg-neg-trivial*: $[| a \leq (0::int); b < a |] ==> a \text{ mod } b = a$
 $\langle \text{proof} \rangle$

lemma *mod-pos-neg-trivial*: $[| (0::int) < a; a+b \leq 0 |] ==> a \text{ mod } b = a+b$
 $\langle \text{proof} \rangle$

There is no *mod-neg-pos-trivial*.

56.6.2 Laws for div and mod with Unary Minus

lemma *zminus1-lemma*:
 $\text{eucl-rel-int } a \ b \ (q, r) ==> b \neq 0$
 $==> \text{eucl-rel-int } (-a) \ b \ (\text{if } r=0 \text{ then } -q \text{ else } -q - 1,$
 $\text{if } r=0 \text{ then } 0 \text{ else } b-r)$
 $\langle \text{proof} \rangle$

lemma *zdiv-zminus1-eq-if*:
 $b \neq (0::int)$
 $==> (-a) \text{ div } b =$
 $(\text{if } a \text{ mod } b = 0 \text{ then } -(a \text{ div } b) \text{ else } -(a \text{ div } b) - 1)$
 $\langle \text{proof} \rangle$

lemma *zmod-zminus1-eq-if*:
 $(-a::int) \text{ mod } b = (\text{if } a \text{ mod } b = 0 \text{ then } 0 \text{ else } b - (a \text{ mod } b))$
 $\langle \text{proof} \rangle$

lemma *zmod-zminus1-not-zero*:
fixes $k \ l :: int$
shows $-k \text{ mod } l \neq 0 \implies k \text{ mod } l \neq 0$
 $\langle \text{proof} \rangle$

lemma *zmod-zminus2-not-zero*:
fixes $k \ l :: int$
shows $k \text{ mod } -l \neq 0 \implies k \text{ mod } l \neq 0$
 $\langle \text{proof} \rangle$

lemma *zdiv-zminus2-eq-if*:

$b \neq (0::int)$
 $\implies a \text{ div } (-b) =$
 $(\text{if } a \bmod b = 0 \text{ then } -(a \text{ div } b) \text{ else } -(a \text{ div } b) - 1)$
 $\langle \text{proof} \rangle$

lemma *zmod-zminus2-eq-if*:

$a \bmod (-b::int) = (\text{if } a \bmod b = 0 \text{ then } 0 \text{ else } (a \bmod b) - b)$
 $\langle \text{proof} \rangle$

56.6.3 Monotonicity in the First Argument (Dividend)

lemma *zdiv-mono1*: $[\![a \leq a'; \ 0 < (b::int)]\!] \implies a \text{ div } b \leq a' \text{ div } b$
 $\langle \text{proof} \rangle$

lemma *zdiv-mono1-neg*: $[\![a \leq a'; \ (b::int) < 0]\!] \implies a' \text{ div } b \leq a \text{ div } b$
 $\langle \text{proof} \rangle$

56.6.4 Monotonicity in the Second Argument (Divisor)

lemma *q-pos-lemma*:

$[\![0 \leq b'*q' + r'; \ r' < b'; \ 0 < b']\!] \implies 0 \leq (q'::int)$
 $\langle \text{proof} \rangle$

lemma *zdiv-mono2-lemma*:

$[\![b*q + r = b'*q' + r'; \ 0 \leq b'*q' + r';$
 $r' < b'; \ 0 \leq r; \ 0 < b'; \ b' \leq b]\!]$
 $\implies q \leq (q'::int)$
 $\langle \text{proof} \rangle$

lemma *zdiv-mono2*:

$[\![(0::int) \leq a; \ 0 < b'; \ b' \leq b]\!] \implies a \text{ div } b \leq a \text{ div } b'$
 $\langle \text{proof} \rangle$

lemma *q-neg-lemma*:

$[\![b'*q' + r' < 0; \ 0 \leq r'; \ 0 < b']\!] \implies q' \leq (0::int)$
 $\langle \text{proof} \rangle$

lemma *zdiv-mono2-neg-lemma*:

$[\![b*q + r = b'*q' + r'; \ b'*q' + r' < 0;$
 $r < b; \ 0 \leq r'; \ 0 < b'; \ b' \leq b]\!]$
 $\implies q' \leq (q::int)$
 $\langle \text{proof} \rangle$

lemma *zdiv-mono2-neg*:

$[\![a < (0::int); \ 0 < b'; \ b' \leq b]\!] \implies a \text{ div } b' \leq a \text{ div } b$
 $\langle \text{proof} \rangle$

56.6.5 More Algebraic Laws for div and mod

proving $(a*b) \text{ div } c = a * (b \text{ div } c) + a * (b \bmod c)$

lemma *zmult1-lemma*:

$[[\text{eucl-rel-int } b \ c \ (q, r)]]$
 $\implies \text{eucl-rel-int } (a * b) \ c \ (a*q + a*r \text{ div } c, a*r \text{ mod } c)$
 $\langle \text{proof} \rangle$

lemma *zdiv-zmult1-eq*: $(a*b) \text{ div } c = a*(b \text{ div } c) + a*(b \text{ mod } c) \text{ div } (c::\text{int})$
 $\langle \text{proof} \rangle$

proving $(a+b) \text{ div } c = a \text{ div } c + b \text{ div } c + ((a \text{ mod } c + b \text{ mod } c) \text{ div } c)$

lemma *zadd1-lemma*:

$[[\text{eucl-rel-int } a \ c \ (aq, ar); \ \text{eucl-rel-int } b \ c \ (bq, br)]]$
 $\implies \text{eucl-rel-int } (a+b) \ c \ (aq + bq + (ar+br) \text{ div } c, (ar+br) \text{ mod } c)$
 $\langle \text{proof} \rangle$

lemma *zdiv-zadd1-eq*:

$(a+b) \text{ div } (c::\text{int}) = a \text{ div } c + b \text{ div } c + ((a \text{ mod } c + b \text{ mod } c) \text{ div } c)$
 $\langle \text{proof} \rangle$

lemma *zmod-eq-0-iff*: $(m \text{ mod } d = 0) = (EX \ q::\text{int}. m = d*q)$
 $\langle \text{proof} \rangle$

lemmas *zmod-eq-0D* $[dest!]$ = *zmod-eq-0-iff* $[THEN \ \text{iffD1}]$

56.6.6 Proving $a \text{ div } (b * c) = a \text{ div } b \text{ div } c$

first, four lemmas to bound the remainder for the cases $b \nmid 0$ and $b \mid 0$

lemma *zmult2-lemma-aux1*: $[[(0::\text{int}) < c; \ b < r; \ r \leq 0]] \implies b * c < b * (q \text{ mod } c) + r$
 $\langle \text{proof} \rangle$

lemma *zmult2-lemma-aux2*:

$[[(0::\text{int}) < c; \ b < r; \ r \leq 0]] \implies b * (q \text{ mod } c) + r \leq 0$
 $\langle \text{proof} \rangle$

lemma *zmult2-lemma-aux3*: $[[(0::\text{int}) < c; \ 0 \leq r; \ r < b]] \implies 0 \leq b * (q \text{ mod } c) + r$
 $\langle \text{proof} \rangle$

lemma *zmult2-lemma-aux4*: $[[(0::\text{int}) < c; \ 0 \leq r; \ r < b]] \implies b * (q \text{ mod } c) + r < b * c$
 $\langle \text{proof} \rangle$

lemma *zmult2-lemma*: $[[\text{eucl-rel-int } a \ b \ (q, r); \ 0 < c]]$
 $\implies \text{eucl-rel-int } a \ (b * c) \ (q \text{ div } c, b*(q \text{ mod } c) + r)$
 $\langle \text{proof} \rangle$

lemma *zdiv-zmult2-eq*:

fixes $a\ b\ c :: \text{int}$
shows $0 \leq c \implies a \text{ div } (b * c) = (a \text{ div } b) \text{ div } c$
 $\langle \text{proof} \rangle$

lemma *zmod-zmult2-eq*:
fixes $a\ b\ c :: \text{int}$
shows $0 \leq c \implies a \text{ mod } (b * c) = b * (a \text{ div } b \text{ mod } c) + a \text{ mod } b$
 $\langle \text{proof} \rangle$

lemma *div-pos-geq*:
fixes $k\ l :: \text{int}$
assumes $0 < l$ **and** $l \leq k$
shows $k \text{ div } l = (k - l) \text{ div } l + 1$
 $\langle \text{proof} \rangle$

lemma *mod-pos-geq*:
fixes $k\ l :: \text{int}$
assumes $0 < l$ **and** $l \leq k$
shows $k \text{ mod } l = (k - l) \text{ mod } l$
 $\langle \text{proof} \rangle$

56.6.7 Splitting Rules for div and mod

The proofs of the two lemmas below are essentially identical

lemma *split-pos-lemma*:
 $0 < k \implies$
 $P(n \text{ div } k :: \text{int})(n \text{ mod } k) = (\forall i\ j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \implies P\ i\ j)$
 $\langle \text{proof} \rangle$

lemma *split-neg-lemma*:
 $k < 0 \implies$
 $P(n \text{ div } k :: \text{int})(n \text{ mod } k) = (\forall i\ j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \implies P\ i\ j)$
 $\langle \text{proof} \rangle$

lemma *split-zdiv*:
 $P(n \text{ div } k :: \text{int}) =$
 $((k = 0 \implies P\ 0) \ \& \$
 $(0 < k \implies (\forall i\ j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \implies P\ i)) \ \& \$
 $(k < 0 \implies (\forall i\ j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \implies P\ i)))$
 $\langle \text{proof} \rangle$

lemma *split-zmod*:
 $P(n \text{ mod } k :: \text{int}) =$
 $((k = 0 \implies P\ n) \ \& \$
 $(0 < k \implies (\forall i\ j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \implies P\ j)) \ \& \$
 $(k < 0 \implies (\forall i\ j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \implies P\ j)))$
 $\langle \text{proof} \rangle$

Enable (lin)arith to deal with *op div* and *op mod* when these are applied to

some constant that is of the form *numeral k*:

declare *split-zdiv* [*of* - - *numeral k*, *arith-split*] **for** *k*

declare *split-zmod* [*of* - - *numeral k*, *arith-split*] **for** *k*

56.6.8 Computing *div* and *mod* with shifting

lemma *pos-eucl-rel-int-mult-2*:

assumes $0 \leq b$

assumes *eucl-rel-int* *a b* (*q*, *r*)

shows *eucl-rel-int* $(1 + 2*a)$ $(2*b)$ (*q*, $1 + 2*r$)

<proof>

lemma *neg-eucl-rel-int-mult-2*:

assumes $b \leq 0$

assumes *eucl-rel-int* $(a + 1)$ *b* (*q*, *r*)

shows *eucl-rel-int* $(1 + 2*a)$ $(2*b)$ (*q*, $2*r - 1$)

<proof>

computing *div* by shifting

lemma *pos-zdiv-mult-2*: $(0::int) \leq a \implies (1 + 2*b) \text{ div } (2*a) = b \text{ div } a$

<proof>

lemma *neg-zdiv-mult-2*:

assumes *A*: $a \leq (0::int)$ **shows** $(1 + 2*b) \text{ div } (2*a) = (b+1) \text{ div } a$

<proof>

lemma *zdiv-numeral-Bit0* [*simp*]:

numeral (*Num.Bit0* *v*) *div numeral* (*Num.Bit0* *w*) =

numeral v div numeral w :: int

<proof>

lemma *zdiv-numeral-Bit1* [*simp*]:

numeral (*Num.Bit1* *v*) *div numeral* (*Num.Bit0* *w*) =

(numeral v div numeral w :: int)

<proof>

lemma *pos-zmod-mult-2*:

fixes *a b* :: *int*

assumes $0 \leq a$

shows $(1 + 2 * b) \text{ mod } (2 * a) = 1 + 2 * (b \text{ mod } a)$

<proof>

lemma *neg-zmod-mult-2*:

fixes *a b* :: *int*

assumes $a \leq 0$

shows $(1 + 2 * b) \text{ mod } (2 * a) = 2 * ((b + 1) \text{ mod } a) - 1$

<proof>

lemma *zmod-numeral-Bit0* [*simp*]:

$$\text{numeral } (\text{Num.Bit0 } v) \bmod \text{numeral } (\text{Num.Bit0 } w) =$$

$$(2::\text{int}) * (\text{numeral } v \bmod \text{numeral } w)$$

$$\langle \text{proof} \rangle$$

lemma *zmod-numeral-Bit1* [*simp*]:

$$\text{numeral } (\text{Num.Bit1 } v) \bmod \text{numeral } (\text{Num.Bit0 } w) =$$

$$2 * (\text{numeral } v \bmod \text{numeral } w) + (1::\text{int})$$

$$\langle \text{proof} \rangle$$

lemma *zdiv-eq-0-iff*:

$$(i::\text{int}) \text{ div } k = 0 \longleftrightarrow k=0 \vee 0 \leq i \wedge i < k \vee i \leq 0 \wedge k < i \text{ (is ?L = ?R)}$$

$$\langle \text{proof} \rangle$$

lemma *zmod-trival-iff*:
fixes $i \ k :: \text{int}$
shows $i \bmod k = i \longleftrightarrow k = 0 \vee 0 \leq i \wedge i < k \vee i \leq 0 \wedge k < i$

$$\langle \text{proof} \rangle$$

instantiation $\text{int} :: \text{unique-euclidean-ring}$
begin

definition [*simp*]:

$$\text{euclidean-size-int} = (\text{nat} \circ \text{abs} :: \text{int} \Rightarrow \text{nat})$$

definition [*simp*]:

$$\text{uniqueness-constraint-int } (k :: \text{int}) \ l \longleftrightarrow \text{unit-factor } k = \text{unit-factor } l$$

instance

$$\langle \text{proof} \rangle$$

end

56.6.9 Quotients of Signs

lemma *div-eq-minus1*: $(0::\text{int}) < b \implies -1 \text{ div } b = -1$

$$\langle \text{proof} \rangle$$

lemma *zmod-minus1*: $(0::\text{int}) < b \implies -1 \bmod b = b - 1$

$$\langle \text{proof} \rangle$$

lemma *div-neg-pos-less0*: $[| a < (0::\text{int}); 0 < b |] \implies a \text{ div } b < 0$

$$\langle \text{proof} \rangle$$

lemma *div-nonneg-neg-le0*: $[| (0::\text{int}) \leq a; b < 0 |] \implies a \text{ div } b \leq 0$

$$\langle \text{proof} \rangle$$

lemma *div-nonpos-pos-le0*: $[| (a::\text{int}) \leq 0; b > 0 |] \implies a \text{ div } b \leq 0$

<proof>

Now for some equivalences of the form $a \text{ div } b \geq 0 \iff \dots$ conditional upon the sign of a or b . There are many more. They should all be simp rules unless that causes too much search.

lemma *pos-imp-zdiv-nonneg-iff*: $(0::int) < b \implies (0 \leq a \text{ div } b) = (0 \leq a)$
<proof>

lemma *pos-imp-zdiv-pos-iff*:
 $0 < k \implies 0 < (i::int) \text{ div } k \iff k \leq i$
<proof>

lemma *neg-imp-zdiv-nonneg-iff*:
 $b < (0::int) \implies (0 \leq a \text{ div } b) = (a \leq (0::int))$
<proof>

lemma *pos-imp-zdiv-neg-iff*: $(0::int) < b \implies (a \text{ div } b < 0) = (a < 0)$
<proof>

lemma *neg-imp-zdiv-neg-iff*: $b < (0::int) \implies (a \text{ div } b < 0) = (0 < a)$
<proof>

lemma *nonneg1-imp-zdiv-pos-iff*:
 $(0::int) \leq a \implies (a \text{ div } b > 0) = (a > b \ \& \ b > 0)$
<proof>

lemma *zmod-le-nonneg-dividend*: $(m::int) \geq 0 \implies m \text{ mod } k \leq m$
<proof>

56.6.10 Computation of Division and Remainder

instantiation *int :: semiring-numeral-div*
begin

definition *divmod-int* :: $num \Rightarrow num \Rightarrow int \times int$
where
 $\text{divmod-int } m \ n = (\text{numeral } m \text{ div numeral } n, \text{ numeral } m \text{ mod numeral } n)$

definition *divmod-step-int* :: $num \Rightarrow int \times int \Rightarrow int \times int$
where
 $\text{divmod-step-int } l \ qr = (\text{let } (q, r) = qr$
 $\text{in if } r \geq \text{numeral } l \text{ then } (2 * q + 1, r - \text{numeral } l)$
 $\text{else } (2 * q, r))$

instance
<proof>

end

declare *divmod-algorithm-code* [**where** $?'a = \text{int}$, *code*]

context

begin

qualified definition *adjust-div* $:: \text{int} \times \text{int} \Rightarrow \text{int}$

where

adjust-div *qr* = (let (*q*, *r*) = *qr* in *q* + of-bool (*r* \neq 0))

qualified lemma *adjust-div-eq* [*simp*, *code*]:

adjust-div (*q*, *r*) = *q* + of-bool (*r* \neq 0)

$\langle \text{proof} \rangle$ **definition** *adjust-mod* $:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where

[*simp*]: *adjust-mod* *l* *r* = (if *r* = 0 then 0 else *l* − *r*)

lemma *minus-numeral-div-numeral* [*simp*]:

− *numeral* *m* div *numeral* *n* = − (*adjust-div* (*divmod* *m* *n*) $:: \text{int}$)

$\langle \text{proof} \rangle$

lemma *minus-numeral-mod-numeral* [*simp*]:

− *numeral* *m* mod *numeral* *n* = *adjust-mod* (*numeral* *n*) (*snd* (*divmod* *m* *n*) $:: \text{int}$)

$\langle \text{proof} \rangle$

lemma *numeral-div-minus-numeral* [*simp*]:

numeral *m* div − *numeral* *n* = − (*adjust-div* (*divmod* *m* *n*) $:: \text{int}$)

$\langle \text{proof} \rangle$

lemma *numeral-mod-minus-numeral* [*simp*]:

numeral *m* mod − *numeral* *n* = − *adjust-mod* (*numeral* *n*) (*snd* (*divmod* *m* *n*) $:: \text{int}$)

$\langle \text{proof} \rangle$

lemma *minus-one-div-numeral* [*simp*]:

− 1 div *numeral* *n* = − (*adjust-div* (*divmod* Num.One *n*) $:: \text{int}$)

$\langle \text{proof} \rangle$

lemma *minus-one-mod-numeral* [*simp*]:

− 1 mod *numeral* *n* = *adjust-mod* (*numeral* *n*) (*snd* (*divmod* Num.One *n*) $:: \text{int}$)

$\langle \text{proof} \rangle$

lemma *one-div-minus-numeral* [*simp*]:

1 div − *numeral* *n* = − (*adjust-div* (*divmod* Num.One *n*) $:: \text{int}$)

$\langle \text{proof} \rangle$

lemma *one-mod-minus-numeral* [*simp*]:

1 mod − *numeral* *n* = − *adjust-mod* (*numeral* *n*) (*snd* (*divmod* Num.One *n*) $:: \text{int}$)

int)
 ⟨*proof*⟩

end

56.6.11 Further properties

Simplify expresions in which div and mod combine numerical constants

lemma *int-div-pos-eq*: $\llbracket (a::int) = b * q + r; 0 \leq r; r < b \rrbracket \implies a \text{ div } b = q$
 ⟨*proof*⟩

lemma *int-div-neg-eq*: $\llbracket (a::int) = b * q + r; r \leq 0; b < r \rrbracket \implies a \text{ div } b = q$
 ⟨*proof*⟩

lemma *int-mod-pos-eq*: $\llbracket (a::int) = b * q + r; 0 \leq r; r < b \rrbracket \implies a \text{ mod } b = r$
 ⟨*proof*⟩

lemma *int-mod-neg-eq*: $\llbracket (a::int) = b * q + r; r \leq 0; b < r \rrbracket \implies a \text{ mod } b = r$
 ⟨*proof*⟩

lemma *abs-div*: $(y::int) \text{ dvd } x \implies |x \text{ div } y| = |x| \text{ div } |y|$
 ⟨*proof*⟩

Suggested by Matthias Daum

lemma *int-power-div-base*:
 $\llbracket 0 < m; 0 < k \rrbracket \implies k \wedge m \text{ div } k = (k::int) \wedge (m - \text{Suc } 0)$
 ⟨*proof*⟩

Distributive laws for function *nat*.

lemma *nat-div-distrib*: $0 \leq x \implies \text{nat } (x \text{ div } y) = \text{nat } x \text{ div } \text{nat } y$
 ⟨*proof*⟩

lemma *nat-mod-distrib*:
 $\llbracket 0 \leq x; 0 \leq y \rrbracket \implies \text{nat } (x \text{ mod } y) = \text{nat } x \text{ mod } \text{nat } y$
 ⟨*proof*⟩

transfer setup

lemma *transfer-nat-int-functions*:
 $(x::int) \geq 0 \implies y \geq 0 \implies (\text{nat } x) \text{ div } (\text{nat } y) = \text{nat } (x \text{ div } y)$
 $(x::int) \geq 0 \implies y \geq 0 \implies (\text{nat } x) \text{ mod } (\text{nat } y) = \text{nat } (x \text{ mod } y)$
 ⟨*proof*⟩

lemma *transfer-nat-int-function-closures*:
 $(x::int) \geq 0 \implies y \geq 0 \implies x \text{ div } y \geq 0$
 $(x::int) \geq 0 \implies y \geq 0 \implies x \text{ mod } y \geq 0$
 ⟨*proof*⟩


```
declare transfer-morphism-nat-int [transfer add return:
  transfer-nat-int-functions
  transfer-nat-int-function-closures
]
```

```
lemma transfer-int-nat-functions:
  (int x) div (int y) = int (x div y)
  (int x) mod (int y) = int (x mod y)
  ⟨proof⟩
```

```
lemma transfer-int-nat-function-closures:
  is-nat x  $\implies$  is-nat y  $\implies$  is-nat (x div y)
  is-nat x  $\implies$  is-nat y  $\implies$  is-nat (x mod y)
  ⟨proof⟩
```

```
declare transfer-morphism-int-nat [transfer add return:
  transfer-int-nat-functions
  transfer-int-nat-function-closures
]
```

Suggested by Matthias Daum

```
lemma int-div-less-self:  $\llbracket 0 < x; 1 < k \rrbracket \implies x \text{ div } k < (x::\text{int})$ 
  ⟨proof⟩
```

```
lemma (in ring-div) mod-eq-dvd-iff:
  a mod c = b mod c  $\longleftrightarrow$  c dvd a - b (is ?P  $\longleftrightarrow$  ?Q)
  ⟨proof⟩
```

```
lemma nat-mod-eq-lemma: assumes xyn: (x::nat) mod n = y mod n and xy:y  $\leq$ 
x
  shows  $\exists q. x = y + n * q$ 
  ⟨proof⟩
```

```
lemma nat-mod-eq-iff: (x::nat) mod n = y mod n  $\longleftrightarrow$  ( $\exists q1\ q2. x + n * q1 = y$ 
+ n * q2)
  (is ?lhs = ?rhs)
  ⟨proof⟩
```

56.6.12 Dedicated simproc for calculation

There is space for improvement here: the calculation itself could be carried outside the logic, and a generic simproc (simplifier setup) for generic calculation would be helpful.

⟨*ML*⟩

56.6.13 Code generation

```
lemma [code]:
```

```

fixes  $k :: \text{int}$ 
shows
   $k \text{ div } 0 = 0$ 
   $k \text{ mod } 0 = k$ 
   $0 \text{ div } k = 0$ 
   $0 \text{ mod } k = 0$ 
   $k \text{ div Int.Pos Num.One} = k$ 
   $k \text{ mod Int.Pos Num.One} = 0$ 
   $k \text{ div Int.Neg Num.One} = -k$ 
   $k \text{ mod Int.Neg Num.One} = 0$ 
   $\text{Int.Pos } m \text{ div Int.Pos } n = (\text{fst } (\text{divmod } m \ n) :: \text{int})$ 
   $\text{Int.Pos } m \text{ mod Int.Pos } n = (\text{snd } (\text{divmod } m \ n) :: \text{int})$ 
   $\text{Int.Neg } m \text{ div Int.Pos } n = -(\text{Divides.adjust-div } (\text{divmod } m \ n) :: \text{int})$ 
   $\text{Int.Neg } m \text{ mod Int.Pos } n = \text{Divides.adjust-mod } (\text{Int.Pos } n) (\text{snd } (\text{divmod } m \ n) :: \text{int})$ 
   $\text{Int.Pos } m \text{ div Int.Neg } n = -(\text{Divides.adjust-div } (\text{divmod } m \ n) :: \text{int})$ 
   $\text{Int.Pos } m \text{ mod Int.Neg } n = -\text{Divides.adjust-mod } (\text{Int.Pos } n) (\text{snd } (\text{divmod } m \ n) :: \text{int})$ 
   $\text{Int.Neg } m \text{ div Int.Neg } n = (\text{fst } (\text{divmod } m \ n) :: \text{int})$ 
   $\text{Int.Neg } m \text{ mod Int.Neg } n = -(\text{snd } (\text{divmod } m \ n) :: \text{int})$ 
   $\langle \text{proof} \rangle$ 

```

code-identifier

code-module $\text{Divides} \rightarrow (\text{SML}) \text{ Arith and } (\text{OCaml}) \text{ Arith and } (\text{Haskell}) \text{ Arith}$

lemma $\text{dvd-eq-mod-eq-0-numeral}$:

$\text{numeral } x \text{ dvd } (\text{numeral } y :: 'a) \longleftrightarrow \text{numeral } y \text{ mod numeral } x = (0 :: 'a :: \text{semiring-div})$
 $\langle \text{proof} \rangle$

declare $\text{minus-div-mult-eq-mod}$ [symmetric, nitpick-unfold]

end

57 Combination and Cancellation Simprocs for Numeral Expressions

theory Numeral-Simprocs

imports Divides

begin

$\langle \text{ML} \rangle$

lemmas $\text{semiring-norm} =$

$\text{Let-def arith-simps diff-nat-numeral rel-simps}$

if-False if-True

$\text{add-0 add-Suc add-numeral-left}$

$\text{add-neg-numeral-left mult-numeral-left}$

$\text{numeral-One [symmetric] uminus-numeral-One [symmetric] Suc-eq-plus1}$

eq-numeral-iff-iszero not-iszero-Numeral1

declare *split-div* [*of* - - numeral *k*, *arith-split*] **for** *k*
declare *split-mod* [*of* - - numeral *k*, *arith-split*] **for** *k*

For *combine-numerals*

lemma *left-add-mult-distrib*: $i*u + (j*u + k) = (i+j)*u + (k::nat)$
 $\langle proof \rangle$

For *cancel-numerals*

lemma *nat-diff-add-eq1*:
 $j <= (i::nat) ==> ((i*u + m) - (j*u + n)) = (((i-j)*u + m) - n)$
 $\langle proof \rangle$

lemma *nat-diff-add-eq2*:
 $i <= (j::nat) ==> ((i*u + m) - (j*u + n)) = (m - ((j-i)*u + n))$
 $\langle proof \rangle$

lemma *nat-eq-add-iff1*:
 $j <= (i::nat) ==> (i*u + m = j*u + n) = ((i-j)*u + m = n)$
 $\langle proof \rangle$

lemma *nat-eq-add-iff2*:
 $i <= (j::nat) ==> (i*u + m = j*u + n) = (m = (j-i)*u + n)$
 $\langle proof \rangle$

lemma *nat-less-add-iff1*:
 $j <= (i::nat) ==> (i*u + m < j*u + n) = ((i-j)*u + m < n)$
 $\langle proof \rangle$

lemma *nat-less-add-iff2*:
 $i <= (j::nat) ==> (i*u + m < j*u + n) = (m < (j-i)*u + n)$
 $\langle proof \rangle$

lemma *nat-le-add-iff1*:
 $j <= (i::nat) ==> (i*u + m <= j*u + n) = ((i-j)*u + m <= n)$
 $\langle proof \rangle$

lemma *nat-le-add-iff2*:
 $i <= (j::nat) ==> (i*u + m <= j*u + n) = (m <= (j-i)*u + n)$
 $\langle proof \rangle$

For *cancel-numeral-factors*

lemma *nat-mult-le-cancel1*: $(0::nat) < k ==> (k*m <= k*n) = (m <= n)$
 $\langle proof \rangle$

lemma *nat-mult-less-cancel1*: $(0::nat) < k ==> (k*m < k*n) = (m < n)$
 $\langle proof \rangle$

lemma *nat-mult-eq-cancel1*: $(0::nat) < k \implies (k*m = k*n) = (m=n)$
 $\langle proof \rangle$

lemma *nat-mult-div-cancel1*: $(0::nat) < k \implies (k*m) \text{ div } (k*n) = (m \text{ div } n)$
 $\langle proof \rangle$

lemma *nat-mult-dvd-cancel-disj* [simp]:
 $(k*m) \text{ dvd } (k*n) = (k=0 \mid m \text{ dvd } (n::nat))$
 $\langle proof \rangle$

lemma *nat-mult-dvd-cancel1*: $0 < k \implies (k*m) \text{ dvd } (k*n::nat) = (m \text{ dvd } n)$
 $\langle proof \rangle$

For *cancel-factor*

lemmas *nat-mult-le-cancel-disj* = *mult-le-cancel1*

lemmas *nat-mult-less-cancel-disj* = *mult-less-cancel1*

lemma *nat-mult-eq-cancel-disj*:
fixes $k\ m\ n :: nat$
shows $k * m = k * n \longleftrightarrow k = 0 \vee m = n$
 $\langle proof \rangle$

lemma *nat-mult-div-cancel-disj* [simp]:
fixes $k\ m\ n :: nat$
shows $(k * m) \text{ div } (k * n) = (\text{if } k = 0 \text{ then } 0 \text{ else } m \text{ div } n)$
 $\langle proof \rangle$

lemma *numeral-times-minus-swap*:
fixes $x:: 'a::comm-ring-1$ **shows** $\text{numeral } w * -x = x * - \text{numeral } w$
 $\langle proof \rangle$

$\langle ML \rangle$

end

58 Semiring normalization

theory *Semiring-Normalization*
imports *Numeral-Simprocs* *Nat-Transfer*
begin

Prelude

class *comm-semiring-1-cancel-crossproduct* = *comm-semiring-1-cancel* +
assumes *crossproduct-eq*: $w * y + x * z = w * z + x * y \longleftrightarrow w = x \vee y = z$
begin

lemma *crossproduct-noteq*:

$$a \neq b \wedge c \neq d \longleftrightarrow a * c + b * d \neq a * d + b * c$$

<proof>

lemma *add-scale-eq-noteq*:

$$r \neq 0 \implies a = b \wedge c \neq d \implies a + r * c \neq b + r * d$$

<proof>

lemma *add-0-iff*:

$$b = b + a \longleftrightarrow a = 0$$

<proof>

end

subclass (in *idom*) *comm-semiring-1-cancel-crossproduct*
<proof>

instance *nat :: comm-semiring-1-cancel-crossproduct*
<proof>

Semiring normalization proper

<ML>

context *comm-semiring-1*

begin

lemma *semiring-normalization-rules*:

$$\begin{aligned} (a * m) + (b * m) &= (a + b) * m \\ (a * m) + m &= (a + 1) * m \\ m + (a * m) &= (a + 1) * m \\ m + m &= (1 + 1) * m \\ 0 + a &= a \\ a + 0 &= a \\ a * b &= b * a \\ (a + b) * c &= (a * c) + (b * c) \\ 0 * a &= 0 \\ a * 0 &= 0 \\ 1 * a &= a \\ a * 1 &= a \\ (lx * ly) * (rx * ry) &= (lx * rx) * (ly * ry) \\ (lx * ly) * (rx * ry) &= lx * (ly * (rx * ry)) \\ (lx * ly) * (rx * ry) &= rx * ((lx * ly) * ry) \\ (lx * ly) * rx &= (lx * rx) * ly \\ (lx * ly) * rx &= lx * (ly * rx) \\ lx * (rx * ry) &= (lx * rx) * ry \\ lx * (rx * ry) &= rx * (lx * ry) \\ (a + b) + (c + d) &= (a + c) + (b + d) \\ (a + b) + c &= a + (b + c) \\ a + (c + d) &= c + (a + d) \\ (a + b) + c &= (a + c) + b \end{aligned}$$

$$\begin{aligned}
& a + c = c + a \\
& a + (c + d) = (a + c) + d \\
& (x \wedge p) * (x \wedge q) = x \wedge (p + q) \\
& x * (x \wedge q) = x \wedge (Suc\ q) \\
& (x \wedge q) * x = x \wedge (Suc\ q) \\
& x * x = x^2 \\
& (x * y) \wedge q = (x \wedge q) * (y \wedge q) \\
& (x \wedge p) \wedge q = x \wedge (p * q) \\
& x \wedge 0 = 1 \\
& x \wedge 1 = x \\
& x * (y + z) = (x * y) + (x * z) \\
& x \wedge (Suc\ q) = x * (x \wedge q) \\
& x \wedge (2*n) = (x \wedge n) * (x \wedge n) \\
& \langle proof \rangle
\end{aligned}$$

$\langle ML \rangle$

end

context *comm-ring-1*
begin

lemma *ring-normalization-rules*:
 $- x = (-\ 1) * x$
 $x - y = x + (-\ y)$
 $\langle proof \rangle$

$\langle ML \rangle$

end

context *comm-semiring-1-cancel-crossproduct*
begin

$\langle ML \rangle$

end

context *idom*
begin

$\langle ML \rangle$

end

context *field*
begin

$\langle ML \rangle$

end

code-identifier

code-module *Semiring-Normalization* \rightarrow (*SML*) *Arith* and (*OCaml*) *Arith* and (*Haskell*) *Arith*

end

59 Groebner bases

theory *Groebner-Basis*

imports *Semiring-Normalization* *Parity*

begin

59.1 Groebner Bases

lemmas *bool-simps* = *simp-thms*(1–34) — FIXME move to *HOL*

lemma *nnf-simps*: — FIXME shadows fact binding in *HOL*

$(\neg(P \wedge Q)) = (\neg P \vee \neg Q)$ $(\neg(P \vee Q)) = (\neg P \wedge \neg Q)$
 $(P \rightarrow Q) = (\neg P \vee Q)$
 $(P = Q) = ((P \wedge Q) \vee (\neg P \wedge \neg Q))$ $(\neg \neg(P)) = P$
 $\langle proof \rangle$

lemma *dnf*:

$(P \& (Q \mid R)) = ((P\&Q) \mid (P\&R))$
 $((Q \mid R) \& P) = ((Q\&P) \mid (R\&P))$
 $(P \wedge Q) = (Q \wedge P)$
 $(P \vee Q) = (Q \vee P)$
 $\langle proof \rangle$

lemmas *weak-dnf-simps* = *dnf bool-simps*

lemma *PFalse*:

$P \equiv False \implies \neg P$
 $\neg P \implies (P \equiv False)$
 $\langle proof \rangle$

named-theorems *algebra pre-simplification rules for algebraic methods*
 $\langle ML \rangle$

declare *dvd-def*[*algebra*]
declare *mod-eq-0-iff-dvd*[*algebra*]
declare *mod-div-trivial*[*algebra*]
declare *mod-mod-trivial*[*algebra*]
declare *div-by-0*[*algebra*]
declare *mod-by-0*[*algebra*]
declare *mult-div-mod-eq*[*algebra*]

```

declare div-minus-minus [algebra]
declare mod-minus-minus [algebra]
declare div-minus-right [algebra]
declare mod-minus-right [algebra]
declare div-0 [algebra]
declare mod-0 [algebra]
declare mod-by-1 [algebra]
declare div-by-1 [algebra]
declare mod-minus1-right [algebra]
declare div-minus1-right [algebra]
declare mod-mult-self2-is-0 [algebra]
declare mod-mult-self1-is-0 [algebra]
declare zmod-eq-0-iff [algebra]
declare dvd-0-left-iff [algebra]
declare zdvd1-eq [algebra]
declare mod-eq-dvd-iff [algebra]
declare nat-mod-eq-iff [algebra]

```

```

context semiring-parity
begin

```

```

declare even-times-iff [algebra]
declare even-power [algebra]

```

```

end

```

```

context ring-parity
begin

```

```

declare even-minus [algebra]

```

```

end

```

```

declare even-Suc [algebra]
declare even-diff-nat [algebra]

```

```

end

```

60 Big infimum (minimum) and supremum (maximum) over finite (non-empty) sets

```

theory Lattices-Big
  imports Option
begin

```


60.1 Generic lattice operations over a set

60.1.1 Without neutral element

locale *semilattice-set* = *semilattice*
begin

interpretation *comp-fun-idem* *f*
 $\langle \text{proof} \rangle$

definition $F :: 'a \text{ set} \Rightarrow 'a$

where

eq-fold': $F A = \text{the } (\text{Finite-Set.fold } (\lambda x y. \text{Some } (\text{case } y \text{ of None} \Rightarrow x \mid \text{Some } z \Rightarrow f x z)) \text{ None } A)$

lemma *eq-fold*:

assumes *finite A*

shows $F (\text{insert } x A) = \text{Finite-Set.fold } f x A$
 $\langle \text{proof} \rangle$

lemma *singleton [simp]*:

$F \{x\} = x$

$\langle \text{proof} \rangle$

lemma *insert-not-elem*:

assumes *finite A* **and** $x \notin A$ **and** $A \neq \{\}$

shows $F (\text{insert } x A) = x * F A$
 $\langle \text{proof} \rangle$

lemma *in-idem*:

assumes *finite A* **and** $x \in A$

shows $x * F A = F A$
 $\langle \text{proof} \rangle$

lemma *insert [simp]*:

assumes *finite A* **and** $A \neq \{\}$

shows $F (\text{insert } x A) = x * F A$
 $\langle \text{proof} \rangle$

lemma *union*:

assumes *finite A* $A \neq \{\}$ **and** *finite B* $B \neq \{\}$

shows $F (A \cup B) = F A * F B$
 $\langle \text{proof} \rangle$

lemma *remove*:

assumes *finite A* **and** $x \in A$

shows $F A = (\text{if } A - \{x\} = \{\} \text{ then } x \text{ else } x * F (A - \{x\}))$
 $\langle \text{proof} \rangle$

lemma *insert-remove*:

assumes *finite* A
shows $F \text{ (insert } x \ A) = (\text{if } A - \{x\} = \{\} \text{ then } x \text{ else } x * F \ (A - \{x\}))$
 $\langle \text{proof} \rangle$

lemma *subset*:
assumes *finite* $A \ B \neq \{\}$ **and** $B \subseteq A$
shows $F \ B * F \ A = F \ A$
 $\langle \text{proof} \rangle$

lemma *closed*:
assumes *finite* $A \ A \neq \{\}$ **and** *elem*: $\bigwedge x \ y. x * y \in \{x, y\}$
shows $F \ A \in A$
 $\langle \text{proof} \rangle$

lemma *hom-commute*:
assumes *hom*: $\bigwedge x \ y. h \ (x * y) = h \ x * h \ y$
and N : *finite* $N \ N \neq \{\}$
shows $h \ (F \ N) = F \ (h \ ` \ N)$
 $\langle \text{proof} \rangle$

lemma *infinite*: $\neg \text{finite } A \implies F \ A = \text{the None}$
 $\langle \text{proof} \rangle$

end

locale *semilattice-order-set* = *binary?*: *semilattice-order* + *semilattice-set*
begin

lemma *bounded-iff*:
assumes *finite* A **and** $A \neq \{\}$
shows $x \leq F \ A \longleftrightarrow (\forall a \in A. x \leq a)$
 $\langle \text{proof} \rangle$

lemma *boundedI*:
assumes *finite* A
assumes $A \neq \{\}$
assumes $\bigwedge a. a \in A \implies x \leq a$
shows $x \leq F \ A$
 $\langle \text{proof} \rangle$

lemma *boundedE*:
assumes *finite* A **and** $A \neq \{\}$ **and** $x \leq F \ A$
obtains $\bigwedge a. a \in A \implies x \leq a$
 $\langle \text{proof} \rangle$

lemma *coboundedI*:
assumes *finite* A
and $a \in A$
shows $F \ A \leq a$

$\langle proof \rangle$

lemma *antimono*:

assumes $A \subseteq B$ and $A \neq \{\}$ and *finite* B

shows $F B \leq F A$

$\langle proof \rangle$

end

60.1.2 With neutral element

locale *semilattice-neutr-set* = *semilattice-neutr*

begin

interpretation *comp-fun-idem* f

$\langle proof \rangle$

definition $F :: 'a \text{ set} \Rightarrow 'a$

where

eq-fold: $F A = \text{Finite-Set.fold } f \mathbf{1} A$

lemma *infinite* [*simp*]:

$\neg \text{finite } A \Longrightarrow F A = \mathbf{1}$

$\langle proof \rangle$

lemma *empty* [*simp*]:

$F \{\} = \mathbf{1}$

$\langle proof \rangle$

lemma *insert* [*simp*]:

assumes *finite* A

shows $F (\text{insert } x A) = x * F A$

$\langle proof \rangle$

lemma *in-idem*:

assumes *finite* A and $x \in A$

shows $x * F A = F A$

$\langle proof \rangle$

lemma *union*:

assumes *finite* A and *finite* B

shows $F (A \cup B) = F A * F B$

$\langle proof \rangle$

lemma *remove*:

assumes *finite* A and $x \in A$

shows $F A = x * F (A - \{x\})$

$\langle proof \rangle$

lemma *insert-remove*:

assumes *finite* A

shows $F \text{ (insert } x \ A) = x * F \ (A - \{x\})$

$\langle \text{proof} \rangle$

lemma *subset*:

assumes *finite* A and $B \subseteq A$

shows $F \ B * F \ A = F \ A$

$\langle \text{proof} \rangle$

lemma *closed*:

assumes *finite* A $A \neq \{\}$ and *elem*: $\bigwedge x \ y. x * y \in \{x, y\}$

shows $F \ A \in A$

$\langle \text{proof} \rangle$

end

locale *semilattice-order-neutr-set* = *binary?*: *semilattice-neutr-order* + *semilattice-neutr-set*
begin

lemma *bounded-iff*:

assumes *finite* A

shows $x \leq F \ A \longleftrightarrow (\forall a \in A. x \leq a)$

$\langle \text{proof} \rangle$

lemma *boundedI*:

assumes *finite* A

assumes $\bigwedge a. a \in A \implies x \leq a$

shows $x \leq F \ A$

$\langle \text{proof} \rangle$

lemma *boundedE*:

assumes *finite* A and $x \leq F \ A$

obtains $\bigwedge a. a \in A \implies x \leq a$

$\langle \text{proof} \rangle$

lemma *coboundedI*:

assumes *finite* A

and $a \in A$

shows $F \ A \leq a$

$\langle \text{proof} \rangle$

lemma *antimono*:

assumes $A \subseteq B$ and *finite* B

shows $F \ B \leq F \ A$

$\langle \text{proof} \rangle$

end

60.2 Lattice operations on finite sets

context *semilattice-inf*
begin

sublocale *Inf-fin: semilattice-order-set inf less-eq less*
defines

Inf-fin ($\bigcap_{fin} [900] 900$) = *Inf-fin.F* $\langle proof \rangle$

end

context *semilattice-sup*
begin

sublocale *Sup-fin: semilattice-order-set sup greater-eq greater*
defines

Sup-fin ($\bigcup_{fin} [900] 900$) = *Sup-fin.F* $\langle proof \rangle$

end

60.3 Infimum and Supremum over non-empty sets

context *lattice*
begin

lemma *Inf-fin-le-Sup-fin [simp]*:
assumes *finite A and* $A \neq \{\}$
shows $\bigcap_{fin} A \leq \bigcup_{fin} A$
 $\langle proof \rangle$

lemma *sup-Inf-absorb [simp]*:
 $finite\ A \implies a \in A \implies \bigcap_{fin} A \sqcup a = a$
 $\langle proof \rangle$

lemma *inf-Sup-absorb [simp]*:
 $finite\ A \implies a \in A \implies a \sqcap \bigcup_{fin} A = a$
 $\langle proof \rangle$

end

context *distrib-lattice*
begin

lemma *sup-Inf1-distrib*:
assumes *finite A*
and $A \neq \{\}$
shows $sup\ x\ (\bigcap_{fin} A) = \bigcap_{fin} \{sup\ x\ a \mid a. a \in A\}$
 $\langle proof \rangle$

lemma *sup-Inf2-distrib*:

assumes A : *finite* A $A \neq \{\}$ **and** B : *finite* B $B \neq \{\}$
shows $\sup (\sqcap_{fin} A) (\sqcap_{fin} B) = \sqcap_{fin} \{\sup a \mid a \in A \wedge b \in B\}$
 $\langle proof \rangle$

lemma *inf-Sup1-distrib*:
assumes *finite* A **and** $A \neq \{\}$
shows $\inf x (\sqcup_{fin} A) = \sqcup_{fin} \{\inf x \mid a \in A\}$
 $\langle proof \rangle$

lemma *inf-Sup2-distrib*:
assumes A : *finite* A $A \neq \{\}$ **and** B : *finite* B $B \neq \{\}$
shows $\inf (\sqcup_{fin} A) (\sqcup_{fin} B) = \sqcup_{fin} \{\inf a \mid a \in A \wedge b \in B\}$
 $\langle proof \rangle$

end

context *complete-lattice*
begin

lemma *Inf-fin-Inf*:
assumes *finite* A **and** $A \neq \{\}$
shows $\sqcap_{fin} A = \sqcap A$
 $\langle proof \rangle$

lemma *Sup-fin-Sup*:
assumes *finite* A **and** $A \neq \{\}$
shows $\sqcup_{fin} A = \sqcup A$
 $\langle proof \rangle$

end

60.4 Minimum and Maximum over non-empty sets

context *linorder*
begin

sublocale *Min*: *semilattice-order-set* *min* *less-eq* *less*
+ *Max*: *semilattice-order-set* *max* *greater-eq* *greater*
defines
 $Min = Min.F$ **and** $Max = Max.F$ $\langle proof \rangle$

end

An aside: *Min/Max* on linear orders as special case of *Inf-fin/Sup-fin*

lemma *Inf-fin-Min*:
 $Inf-fin = (Min :: 'a :: \{semilattice-inf, linorder\} set \Rightarrow 'a)$
 $\langle proof \rangle$

lemma *Sup-fin-Max*:

Sup-fin = (*Max* :: 'a::{*semilattice-sup*, *linorder*} *set* \Rightarrow 'a)
 ⟨*proof*⟩

context *linorder*
begin

lemma *dual-min*:
ord.min greater-eq = *max*
 ⟨*proof*⟩

lemma *dual-max*:
ord.max greater-eq = *min*
 ⟨*proof*⟩

lemma *dual-Min*:
linorder.Min greater-eq = *Max*
 ⟨*proof*⟩

lemma *dual-Max*:
linorder.Max greater-eq = *Min*
 ⟨*proof*⟩

lemmas *Min-singleton* = *Min.singleton*
lemmas *Max-singleton* = *Max.singleton*
lemmas *Min-insert* = *Min.insert*
lemmas *Max-insert* = *Max.insert*
lemmas *Min-Un* = *Min.union*
lemmas *Max-Un* = *Max.union*
lemmas *hom-Min-commute* = *Min.hom-commute*
lemmas *hom-Max-commute* = *Max.hom-commute*

lemma *Min-in [simp]*:
 assumes *finite A* and $A \neq \{\}$
 shows *Min A* $\in A$
 ⟨*proof*⟩

lemma *Max-in [simp]*:
 assumes *finite A* and $A \neq \{\}$
 shows *Max A* $\in A$
 ⟨*proof*⟩

lemma *Min-insert2*:
 assumes *finite A* and *min*: $\bigwedge b. b \in A \Rightarrow a \leq b$
 shows *Min (insert a A)* = *a*
 ⟨*proof*⟩

lemma *Max-insert2*:
 assumes *finite A* and *max*: $\bigwedge b. b \in A \Rightarrow b \leq a$
 shows *Max (insert a A)* = *a*

$\langle proof \rangle$

lemma *Min-le* [*simp*]:
 assumes *finite A* and $x \in A$
 shows $Min\ A \leq x$
 $\langle proof \rangle$

lemma *Max-ge* [*simp*]:
 assumes *finite A* and $x \in A$
 shows $x \leq Max\ A$
 $\langle proof \rangle$

lemma *Min-eqI*:
 assumes *finite A*
 assumes $\bigwedge y. y \in A \implies y \geq x$
 and $x \in A$
 shows $Min\ A = x$
 $\langle proof \rangle$

lemma *Max-eqI*:
 assumes *finite A*
 assumes $\bigwedge y. y \in A \implies y \leq x$
 and $x \in A$
 shows $Max\ A = x$
 $\langle proof \rangle$

lemma *eq-Min-iff*:
 $\llbracket finite\ A; A \neq \{\} \rrbracket \implies m = Min\ A \longleftrightarrow m \in A \wedge (\forall a \in A. m \leq a)$
 $\langle proof \rangle$

lemma *Min-eq-iff*:
 $\llbracket finite\ A; A \neq \{\} \rrbracket \implies Min\ A = m \longleftrightarrow m \in A \wedge (\forall a \in A. m \leq a)$
 $\langle proof \rangle$

lemma *eq-Max-iff*:
 $\llbracket finite\ A; A \neq \{\} \rrbracket \implies m = Max\ A \longleftrightarrow m \in A \wedge (\forall a \in A. a \leq m)$
 $\langle proof \rangle$

lemma *Max-eq-iff*:
 $\llbracket finite\ A; A \neq \{\} \rrbracket \implies Max\ A = m \longleftrightarrow m \in A \wedge (\forall a \in A. a \leq m)$
 $\langle proof \rangle$

context
 fixes $A :: 'a\ set$
 assumes *fin-nonempty*: $finite\ A\ A \neq \{\}$
begin

lemma *Min-ge-iff* [*simp*]:
 $x \leq Min\ A \longleftrightarrow (\forall a \in A. x \leq a)$

$\langle proof \rangle$

lemma *Max-le-iff* [simp]:

$Max\ A \leq x \longleftrightarrow (\forall a \in A. a \leq x)$

$\langle proof \rangle$

lemma *Min-gr-iff* [simp]:

$x < Min\ A \longleftrightarrow (\forall a \in A. x < a)$

$\langle proof \rangle$

lemma *Max-less-iff* [simp]:

$Max\ A < x \longleftrightarrow (\forall a \in A. a < x)$

$\langle proof \rangle$

lemma *Min-le-iff*:

$Min\ A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$

$\langle proof \rangle$

lemma *Max-ge-iff*:

$x \leq Max\ A \longleftrightarrow (\exists a \in A. x \leq a)$

$\langle proof \rangle$

lemma *Min-less-iff*:

$Min\ A < x \longleftrightarrow (\exists a \in A. a < x)$

$\langle proof \rangle$

lemma *Max-gr-iff*:

$x < Max\ A \longleftrightarrow (\exists a \in A. x < a)$

$\langle proof \rangle$

end

lemma *Max-eq-if*:

assumes *finite A finite B* $\forall a \in A. \exists b \in B. a \leq b$ $\forall b \in B. \exists a \in A. b \leq a$

shows $Max\ A = Max\ B$

$\langle proof \rangle$

lemma *Min-antimono*:

assumes $M \subseteq N$ **and** $M \neq \{\}$ **and** *finite N*

shows $Min\ N \leq Min\ M$

$\langle proof \rangle$

lemma *Max-mono*:

assumes $M \subseteq N$ **and** $M \neq \{\}$ **and** *finite N*

shows $Max\ M \leq Max\ N$

$\langle proof \rangle$

end

context *linorder*
begin

lemma *mono-Min-commute*:
 assumes *mono f*
 assumes *finite A and $A \neq \{\}$*
 shows $f (\text{Min } A) = \text{Min } (f ` A)$
 $\langle \text{proof} \rangle$

lemma *mono-Max-commute*:
 assumes *mono f*
 assumes *finite A and $A \neq \{\}$*
 shows $f (\text{Max } A) = \text{Max } (f ` A)$
 $\langle \text{proof} \rangle$

lemma *finite-linorder-max-induct* [*consumes 1, case-names empty insert*]:
 assumes *fin: finite A*
 and *empty: $P \{\}$*
 and *insert: $\bigwedge b A. \text{finite } A \implies \forall a \in A. a < b \implies P A \implies P (\text{insert } b A)$*
 shows $P A$
 $\langle \text{proof} \rangle$

lemma *finite-linorder-min-induct* [*consumes 1, case-names empty insert*]:
 $\llbracket \text{finite } A; P \{\}; \bigwedge b A. \llbracket \text{finite } A; \forall a \in A. b < a; P A \rrbracket \implies P (\text{insert } b A) \rrbracket \implies P A$
 $\langle \text{proof} \rangle$

lemma *Least-Min*:
 assumes *finite $\{a. P a\}$ and $\exists a. P a$*
 shows $(\text{LEAST } a. P a) = \text{Min } \{a. P a\}$
 $\langle \text{proof} \rangle$

lemma *infinite-growing*:
 assumes *$X \neq \{\}$*
 assumes *: $\bigwedge x. x \in X \implies \exists y \in X. y > x$
 shows $\neg \text{finite } X$
 $\langle \text{proof} \rangle$

end

context *linordered-ab-semigroup-add*
begin

lemma *add-Min-commute*:
 fixes *k*
 assumes *finite N and $N \neq \{\}$*
 shows $k + \text{Min } N = \text{Min } \{k + m \mid m. m \in N\}$
 $\langle \text{proof} \rangle$

```

lemma add-Max-commute:
  fixes k
  assumes finite N and  $N \neq \{\}$ 
  shows  $k + \text{Max } N = \text{Max } \{k + m \mid m. m \in N\}$ 
   $\langle \text{proof} \rangle$ 

end

context linordered-ab-group-add
begin

lemma minus-Max-eq-Min [simp]:
   $\text{finite } S \implies S \neq \{\} \implies - \text{Max } S = \text{Min } (\text{uminus } S)$ 
   $\langle \text{proof} \rangle$ 

lemma minus-Min-eq-Max [simp]:
   $\text{finite } S \implies S \neq \{\} \implies - \text{Min } S = \text{Max } (\text{uminus } S)$ 
   $\langle \text{proof} \rangle$ 

end

context complete-linorder
begin

lemma Min-Inf:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{Min } A = \text{Inf } A$ 
   $\langle \text{proof} \rangle$ 

lemma Max-Sup:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{Max } A = \text{Sup } A$ 
   $\langle \text{proof} \rangle$ 

end

```

60.5 Arg Min

definition *is-arg-min* :: $('a \Rightarrow 'b::\text{ord}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$ **where**
is-arg-min *f P x* = $(P\ x \wedge \neg(\exists y. P\ y \wedge f\ y < f\ x))$

definition *arg-min* :: $('a \Rightarrow 'b::\text{ord}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow 'a$ **where**
arg-min *f P* = $(\text{SOME } x. \text{is-arg-min } f\ P\ x)$

abbreviation *arg-min-on* :: $('a \Rightarrow 'b::\text{ord}) \Rightarrow 'a\ \text{set} \Rightarrow 'a$ **where**
arg-min-on *f S* $\equiv \text{arg-min } f\ (\lambda x. x \in S)$

syntax
-arg-min :: $('a \Rightarrow 'b) \Rightarrow \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a$

((3ARG'-MIN - ./ -) [0, 0, 10] 10)

translations

$ARG-MIN f x. P \Rightarrow CONST \text{arg-min } f (\lambda x. P)$

lemma *is-arg-min-linorder*: **fixes** $f :: 'a \Rightarrow 'b :: \text{linorder}$

shows $\text{is-arg-min } f P x = (P x \wedge (\forall y. P y \longrightarrow f x \leq f y))$

$\langle \text{proof} \rangle$

lemma *arg-minI*:

$\llbracket P x;$
 $\bigwedge y. P y \Longrightarrow \neg f y < f x;$
 $\bigwedge x. \llbracket P x; \forall y. P y \longrightarrow \neg f y < f x \rrbracket \Longrightarrow Q x \rrbracket$
 $\Longrightarrow Q (\text{arg-min } f P)$

$\langle \text{proof} \rangle$

lemma *arg-min-equality*:

$\llbracket P k; \bigwedge x. P x \Longrightarrow f k \leq f x \rrbracket \Longrightarrow f (\text{arg-min } f P) = f k$
for $f :: - \Rightarrow 'a :: \text{order}$

$\langle \text{proof} \rangle$

lemma *wf-linord-ex-has-least*:

$\llbracket \text{wf } r; \forall x y. (x, y) \in r^+ \longleftrightarrow (y, x) \notin r^*; P k \rrbracket$
 $\Longrightarrow \exists x. P x \wedge (\forall y. P y \longrightarrow (m x, m y) \in r^*)$

$\langle \text{proof} \rangle$

lemma *ex-has-least-nat*: $P k \Longrightarrow \exists x. P x \wedge (\forall y. P y \longrightarrow m x \leq m y)$

for $m :: 'a \Rightarrow \text{nat}$

$\langle \text{proof} \rangle$

lemma *arg-min-nat-lemma*:

$P k \Longrightarrow P(\text{arg-min } m P) \wedge (\forall y. P y \longrightarrow m (\text{arg-min } m P) \leq m y)$
for $m :: 'a \Rightarrow \text{nat}$

$\langle \text{proof} \rangle$

lemmas $\text{arg-min-natI} = \text{arg-min-nat-lemma} [\text{THEN conjunct1}]$

lemma *is-arg-min-arg-min-nat*: **fixes** $m :: 'a \Rightarrow \text{nat}$

shows $P x \Longrightarrow \text{is-arg-min } m P (\text{arg-min } m P)$

$\langle \text{proof} \rangle$

lemma *arg-min-nat-le*: $P x \Longrightarrow m (\text{arg-min } m P) \leq m x$

for $m :: 'a \Rightarrow \text{nat}$

$\langle \text{proof} \rangle$

lemma *ex-min-if-finite*:

$\llbracket \text{finite } S; S \neq \{\} \rrbracket \Longrightarrow \exists m \in S. \neg (\exists x \in S. x < (m :: 'a :: \text{order}))$

$\langle \text{proof} \rangle$

lemma *ex-is-arg-min-if-finite*: **fixes** $f :: 'a \Rightarrow 'b :: \text{order}$

shows $\llbracket \text{finite } S; S \neq \{\} \rrbracket \implies \exists x. \text{is-arg-min } f (\lambda x. x : S) x$
 $\langle \text{proof} \rangle$

lemma *arg-min-SOME-Min*:

$\text{finite } S \implies \text{arg-min-on } f S = (\text{SOME } y. y \in S \wedge f y = \text{Min}(f \text{ ` } S))$
 $\langle \text{proof} \rangle$

lemma *arg-min-if-finite*: **fixes** $f :: 'a \Rightarrow 'b :: \text{order}$

assumes $\text{finite } S \ S \neq \{\}$

shows $\text{arg-min-on } f S \in S$ **and** $\neg(\exists x \in S. f x < f (\text{arg-min-on } f S))$
 $\langle \text{proof} \rangle$

lemma *arg-min-least*: **fixes** $f :: 'a \Rightarrow 'b :: \text{linorder}$

shows $\llbracket \text{finite } S; S \neq \{\}; y \in S \rrbracket \implies f(\text{arg-min-on } f S) \leq f y$
 $\langle \text{proof} \rangle$

lemma *arg-min-inj-eq*: **fixes** $f :: 'a \Rightarrow 'b :: \text{order}$

shows $\llbracket \text{inj-on } f \{x. P x\}; P a; \forall y. P y \longrightarrow f a \leq f y \rrbracket \implies \text{arg-min } f P = a$
 $\langle \text{proof} \rangle$

60.6 Arg Max

definition *is-arg-max* :: $('a \Rightarrow 'b :: \text{ord}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$ **where**
 $\text{is-arg-max } f P x = (P x \wedge \neg(\exists y. P y \wedge f y > f x))$

definition *arg-max* :: $('a \Rightarrow 'b :: \text{ord}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow 'a$ **where**
 $\text{arg-max } f P = (\text{SOME } x. \text{is-arg-max } f P x)$

abbreviation *arg-max-on* :: $('a \Rightarrow 'b :: \text{ord}) \Rightarrow 'a \text{ set} \Rightarrow 'a$ **where**
 $\text{arg-max-on } f S \equiv \text{arg-max } f (\lambda x. x \in S)$

syntax

$\text{-arg-max} :: ('a \Rightarrow 'b) \Rightarrow \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a$
 $((\exists \text{ARG}'\text{-MAX} \text{ - } \cdot / \cdot) [0, 0, 10] 10)$

translations

$\text{ARG-MAX } f x. P \equiv \text{CONST arg-max } f (\lambda x. P)$

lemma *is-arg-max-linorder*: **fixes** $f :: 'a \Rightarrow 'b :: \text{linorder}$

shows $\text{is-arg-max } f P x = (P x \wedge (\forall y. P y \longrightarrow f x \geq f y))$
 $\langle \text{proof} \rangle$

lemma *arg-maxI*:

$P x \implies$
 $(\bigwedge y. P y \implies \neg f y > f x) \implies$
 $(\bigwedge x. P x \implies \forall y. P y \longrightarrow \neg f y > f x \implies Q x) \implies$
 $Q (\text{arg-max } f P)$
 $\langle \text{proof} \rangle$

lemma *arg-max-equality*:

$$\llbracket P\ k; \bigwedge x. P\ x \implies f\ x \leq f\ k \rrbracket \implies f\ (\text{arg-max } f\ P) = f\ k$$
for $f :: - \Rightarrow 'a::\text{order}$
 $\langle \text{proof} \rangle$

lemma *ex-has-greatest-nat-lemma*:

$$P\ k \implies \forall x. P\ x \longrightarrow (\exists y. P\ y \wedge \neg f\ y \leq f\ x) \implies \exists y. P\ y \wedge \neg f\ y < f\ k + n$$
for $f :: 'a \Rightarrow \text{nat}$
 $\langle \text{proof} \rangle$

lemma *ex-has-greatest-nat*:

$$P\ k \implies \forall y. P\ y \longrightarrow f\ y < b \implies \exists x. P\ x \wedge (\forall y. P\ y \longrightarrow f\ y \leq f\ x)$$
for $f :: 'a \Rightarrow \text{nat}$
 $\langle \text{proof} \rangle$

lemma *arg-max-nat-lemma*:

$$\llbracket P\ k; \forall y. P\ y \longrightarrow f\ y < b \rrbracket$$

$$\implies P\ (\text{arg-max } f\ P) \wedge (\forall y. P\ y \longrightarrow f\ y \leq f\ (\text{arg-max } f\ P))$$
for $f :: 'a \Rightarrow \text{nat}$
 $\langle \text{proof} \rangle$

lemmas *arg-max-natI* = *arg-max-nat-lemma* [THEN *conjunct1*]

lemma *arg-max-nat-le*: $P\ x \implies \forall y. P\ y \longrightarrow f\ y < b \implies f\ x \leq f\ (\text{arg-max } f\ P)$

for $f :: 'a \Rightarrow \text{nat}$
 $\langle \text{proof} \rangle$

end

61 Set intervals

theory *Set-Interval*

imports *Lattices-Big Divides Nat-Transfer*

begin

context *ord*

begin

definition

$\text{lessThan} \quad :: 'a \Rightarrow 'a \text{ set } ((1\{..<-\})) \text{ where}$
 $\{..<u\} == \{x. x < u\}$

definition

$\text{atMost} \quad :: 'a \Rightarrow 'a \text{ set } ((1\{..\leq\})) \text{ where}$
 $\{..\leq u\} == \{x. x \leq u\}$

definition

$\text{greaterThan} \quad :: 'a \Rightarrow 'a \text{ set } ((1\{<..\})) \text{ where}$
 $\{<..\} == \{x. l < x\}$

definition

$atLeast :: 'a \Rightarrow 'a \text{ set } ((1\{-..\}))$ **where**
 $\{l..\} == \{x. l \leq x\}$

definition

$greaterThanLessThan :: 'a \Rightarrow 'a \Rightarrow 'a \text{ set } ((1\{<..<>))$ **where**
 $\{l<..$

definition

$atLeastLessThan :: 'a \Rightarrow 'a \Rightarrow 'a \text{ set } ((1\{<..<>))$ **where**
 $\{l..$

definition

$greaterThanAtMost :: 'a \Rightarrow 'a \Rightarrow 'a \text{ set } ((1\{<..<>))$ **where**
 $\{l<..$

definition

$atLeastAtMost :: 'a \Rightarrow 'a \Rightarrow 'a \text{ set } ((1\{<..<>))$ **where**
 $\{l..$

end

A note of warning when using $\{.. on type *nat*: it is equivalent to $\{0.. but some lemmas involving $\{m.. may not exist in $\{..-form as well.$$$$

syntax (ASCII)

-UNION-le $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists UN \text{ -<= -/ -}) [0, 0, 10] 10)$
 -UNION-less $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists UN \text{ -< -/ -}) [0, 0, 10] 10)$
 -INTER-le $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists INT \text{ -<= -/ -}) [0, 0, 10] 10)$
 -INTER-less $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists INT \text{ -< -/ -}) [0, 0, 10] 10)$

syntax (latex output)

-UNION-le $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcup (\langle \text{unbreakable} \rangle \text{ - } \leq \text{ -/ -}) [0, 0, 10] 10)$
 -UNION-less $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcup (\langle \text{unbreakable} \rangle \text{ - } < \text{ -/ -}) [0, 0, 10] 10)$
 -INTER-le $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcap (\langle \text{unbreakable} \rangle \text{ - } \leq \text{ -/ -}) [0, 0, 10] 10)$
 -INTER-less $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcap (\langle \text{unbreakable} \rangle \text{ - } < \text{ -/ -}) [0, 0, 10] 10)$

syntax

-UNION-le $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcup \text{ - } \leq \text{ -/ -}) [0, 0, 10] 10)$
 -UNION-less $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcup \text{ - } < \text{ -/ -}) [0, 0, 10] 10)$
 -INTER-le $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcap \text{ - } \leq \text{ -/ -}) [0, 0, 10] 10)$
 -INTER-less $:: 'a \Rightarrow 'a \Rightarrow 'b \text{ set } \Rightarrow 'b \text{ set}$ $((\exists \bigcap \text{ - } < \text{ -/ -}) [0, 0, 10] 10)$

translations

$$\begin{aligned}
\bigcup_{i \leq n}. A &= \bigcup_{i \in \{..n\}}. A \\
\bigcup_{i < n}. A &= \bigcup_{i \in \{..$$

61.1 Various equivalences

lemma (in ord) *lessThan-iff* [iff]: $(i: \text{lessThan } k) = (i < k)$
 ⟨proof⟩

lemma *Compl-lessThan* [simp]:
 $!!k:: 'a::\text{linorder}. \neg \text{lessThan } k = \text{atLeast } k$
 ⟨proof⟩

lemma *single-Diff-lessThan* [simp]: $!!k:: 'a::\text{order}. \{k\} - \text{lessThan } k = \{k\}$
 ⟨proof⟩

lemma (in ord) *greaterThan-iff* [iff]: $(i: \text{greaterThan } k) = (k < i)$
 ⟨proof⟩

lemma *Compl-greaterThan* [simp]:
 $!!k:: 'a::\text{linorder}. \neg \text{greaterThan } k = \text{atMost } k$
 ⟨proof⟩

lemma *Compl-atMost* [simp]: $!!k:: 'a::\text{linorder}. \neg \text{atMost } k = \text{greaterThan } k$
 ⟨proof⟩

lemma (in ord) *atLeast-iff* [iff]: $(i: \text{atLeast } k) = (k \leq i)$
 ⟨proof⟩

lemma *Compl-atLeast* [simp]:
 $!!k:: 'a::\text{linorder}. \neg \text{atLeast } k = \text{lessThan } k$
 ⟨proof⟩

lemma (in ord) *atMost-iff* [iff]: $(i: \text{atMost } k) = (i \leq k)$
 ⟨proof⟩

lemma *atMost-Int-atLeast*: $!!n:: 'a::\text{order}. \text{atMost } n \text{ Int } \text{atLeast } n = \{n\}$
 ⟨proof⟩

lemma (in linorder) *lessThan-Int-lessThan*: $\{a <..\} \cap \{b <..\} = \{\max a b <..\}$
 ⟨proof⟩

lemma (in linorder) *greaterThan-Int-greaterThan*: $\{..< a\} \cap \{..< b\} = \{..< \min a b\}$
 ⟨proof⟩

61.2 Logical Equivalences for Set Inclusion and Equality

lemma *atLeast-empty-triv* [simp]: $\{\{\}..\} = \text{UNIV}$

$\langle proof \rangle$

lemma *atMost-UNIV-triv* [simp]: $\{..UNIV\} = UNIV$
 $\langle proof \rangle$

lemma *atLeast-subset-iff* [iff]:
 $(atLeast\ x \subseteq atLeast\ y) = (y \leq (x::'a::order))$
 $\langle proof \rangle$

lemma *atLeast-eq-iff* [iff]:
 $(atLeast\ x = atLeast\ y) = (x = (y::'a::linorder))$
 $\langle proof \rangle$

lemma *greaterThan-subset-iff* [iff]:
 $(greaterThan\ x \subseteq greaterThan\ y) = (y \leq (x::'a::linorder))$
 $\langle proof \rangle$

lemma *greaterThan-eq-iff* [iff]:
 $(greaterThan\ x = greaterThan\ y) = (x = (y::'a::linorder))$
 $\langle proof \rangle$

lemma *atMost-subset-iff* [iff]: $(atMost\ x \subseteq atMost\ y) = (x \leq (y::'a::order))$
 $\langle proof \rangle$

lemma *atMost-eq-iff* [iff]: $(atMost\ x = atMost\ y) = (x = (y::'a::linorder))$
 $\langle proof \rangle$

lemma *lessThan-subset-iff* [iff]:
 $(lessThan\ x \subseteq lessThan\ y) = (x \leq (y::'a::linorder))$
 $\langle proof \rangle$

lemma *lessThan-eq-iff* [iff]:
 $(lessThan\ x = lessThan\ y) = (x = (y::'a::linorder))$
 $\langle proof \rangle$

lemma *lessThan-strict-subset-iff*:
fixes $m\ n :: 'a::linorder$
shows $\{..<m\} < \{..<n\} \longleftrightarrow m < n$
 $\langle proof \rangle$

lemma (in *linorder*) *Ici-subset-Ioi-iff*: $\{a\ ..\} \subseteq \{b <..\} \longleftrightarrow b < a$
 $\langle proof \rangle$

lemma (in *linorder*) *Iic-subset-Iio-iff*: $\{.. a\} \subseteq \{..< b\} \longleftrightarrow a < b$
 $\langle proof \rangle$

lemma (in *preorder*) *Ioi-le-Ico*: $\{a <..\} \subseteq \{a\ ..\}$
 $\langle proof \rangle$

61.3 Two-sided intervals

context *ord*

begin

lemma *greaterThanLessThan-iff* [*simp*]:
 $(i : \{l <..<u\}) = (l < i \ \& \ i < u)$
 $\langle proof \rangle$

lemma *atLeastLessThan-iff* [*simp*]:
 $(i : \{l..<u\}) = (l \leq i \ \& \ i < u)$
 $\langle proof \rangle$

lemma *greaterThanAtMost-iff* [*simp*]:
 $(i : \{l <..u\}) = (l < i \ \& \ i \leq u)$
 $\langle proof \rangle$

lemma *atLeastAtMost-iff* [*simp*]:
 $(i : \{l..u\}) = (l \leq i \ \& \ i \leq u)$
 $\langle proof \rangle$

The above four lemmas could be declared as iffs. Unfortunately this breaks many proofs. Since it only helps blast, it is better to leave them alone.

lemma *greaterThanLessThan-eq*: $\{ a <..< b \} = \{ a <.. \} \cap \{ ..< b \}$
 $\langle proof \rangle$

end

61.3.1 Emptyness, singletons, subset

context *order*

begin

lemma *atLeastatMost-empty*[*simp*]:
 $b < a \implies \{a..b\} = \{\}$
 $\langle proof \rangle$

lemma *atLeastatMost-empty-iff*[*simp*]:
 $\{a..b\} = \{\} \longleftrightarrow (\sim a \leq b)$
 $\langle proof \rangle$

lemma *atLeastatMost-empty-iff2*[*simp*]:
 $\{\} = \{a..b\} \longleftrightarrow (\sim a \leq b)$
 $\langle proof \rangle$

lemma *atLeastLessThan-empty*[*simp*]:
 $b \leq a \implies \{a..<b\} = \{\}$
 $\langle proof \rangle$

lemma *atLeastLessThan-empty-iff*[*simp*]:

$$\{a..<b\} = \{\} \longleftrightarrow (\sim a < b)$$

<proof>

lemma *atLeastLessThan-empty-iff2[simp]*:

$$\{\} = \{a..<b\} \longleftrightarrow (\sim a < b)$$

<proof>

lemma *greaterThanAtMost-empty[simp]*: $l \leq k \implies \{k<..l\} = \{\}$

<proof>

lemma *greaterThanAtMost-empty-iff[simp]*: $\{k<..l\} = \{\} \longleftrightarrow \sim k < l$

<proof>

lemma *greaterThanAtMost-empty-iff2[simp]*: $\{\} = \{k<..l\} \longleftrightarrow \sim k < l$

<proof>

lemma *greaterThanLessThan-empty[simp]*: $l \leq k \implies \{k<..$

<proof>

lemma *atLeastAtMost-singleton [simp]*: $\{a..a\} = \{a\}$

<proof>

lemma *atLeastAtMost-singleton'*: $a = b \implies \{a .. b\} = \{a\}$ *<proof>*

lemma *atLeastatMost-subset-iff[simp]*:

$$\{a..b\} \leq \{c..d\} \longleftrightarrow (\sim a \leq b) \mid c \leq a \ \& \ b \leq d$$

<proof>

lemma *atLeastatMost-psubset-iff*:

$$\{a..b\} < \{c..d\} \longleftrightarrow ((\sim a \leq b) \mid c \leq a \ \& \ b \leq d \ \& \ (c < a \mid b < d)) \ \& \ c \leq d$$

<proof>

lemma *Icc-eq-Icc[simp]*:

$$\{l..h\} = \{l'..h'\} = (l=l' \ \& \ h=h' \ \vee \ \neg l \leq h \ \& \ \neg l' \leq h')$$

<proof>

lemma *atLeastAtMost-singleton-iff[simp]*:

$$\{a .. b\} = \{c\} \longleftrightarrow a = b \ \& \ b = c$$

<proof>

lemma *Icc-subset-Ici-iff[simp]*:

$$\{l..h\} \subseteq \{l'..'\} = (\sim l \leq h \ \vee \ l \geq l')$$

<proof>

lemma *Icc-subset-Iic-iff[simp]*:

$$\{l..h\} \subseteq \{..h'\} = (\sim l \leq h \ \vee \ h \leq h')$$

<proof>

lemma *not-Ici-eq-empty*[simp]: $\{l..\} \neq \{\}$
 $\langle proof \rangle$

lemma *not-Iic-eq-empty*[simp]: $\{..h\} \neq \{\}$
 $\langle proof \rangle$

lemmas *not-empty-eq-Ici-eq-empty*[simp] = *not-Ici-eq-empty*[symmetric]
lemmas *not-empty-eq-Iic-eq-empty*[simp] = *not-Iic-eq-empty*[symmetric]

end

context *no-top*
begin

lemma *not-UNIV-le-Icc*[simp]: $\neg UNIV \subseteq \{l..h\}$
 $\langle proof \rangle$

lemma *not-UNIV-le-Iic*[simp]: $\neg UNIV \subseteq \{..h\}$
 $\langle proof \rangle$

lemma *not-Ici-le-Icc*[simp]: $\neg \{l..\} \subseteq \{l'..h'\}$
 $\langle proof \rangle$

lemma *not-Ici-le-Iic*[simp]: $\neg \{l..\} \subseteq \{..h'\}$
 $\langle proof \rangle$

end

context *no-bot*
begin

lemma *not-UNIV-le-Ici*[simp]: $\neg UNIV \subseteq \{l..\}$
 $\langle proof \rangle$

lemma *not-Iic-le-Icc*[simp]: $\neg \{..h\} \subseteq \{l'..h'\}$
 $\langle proof \rangle$

lemma *not-Iic-le-Ici*[simp]: $\neg \{..h\} \subseteq \{l'..\}$
 $\langle proof \rangle$

end

context *no-top*
begin

lemma *not-UNIV-eq-Icc*[simp]: $\neg UNIV = \{l'..h'\}$

<proof>

lemmas *not-Icc-eq-UNIV[simp] = not-UNIV-eq-Icc[symmetric]*

lemma *not-UNIV-eq-Iic[simp]: $\neg UNIV = \{..h\}$*

<proof>

lemmas *not-Iic-eq-UNIV[simp] = not-UNIV-eq-Iic[symmetric]*

lemma *not-Icc-eq-Ici[simp]: $\neg \{l..h\} = \{l'.. \}$*

<proof>

lemmas *not-Ici-eq-Icc[simp] = not-Icc-eq-Ici[symmetric]*

lemma *not-Iic-eq-Ici[simp]: $\neg \{..h\} = \{l'.. \}$*

<proof>

lemmas *not-Ici-eq-Iic[simp] = not-Iic-eq-Ici[symmetric]*

end

context *no-bot*

begin

lemma *not-UNIV-eq-Ici[simp]: $\neg UNIV = \{l'.. \}$*

<proof>

lemmas *not-Ici-eq-UNIV[simp] = not-UNIV-eq-Ici[symmetric]*

lemma *not-Icc-eq-Iic[simp]: $\neg \{l..h\} = \{..h\}$*

<proof>

lemmas *not-Iic-eq-Icc[simp] = not-Icc-eq-Iic[symmetric]*

end

context *dense-linorder*

begin

lemma *greaterThanLessThan-empty-iff[simp]:*

$\{ a <..$

<proof>

lemma *greaterThanLessThan-empty-iff2[simp]:*

$\{ \} = \{ a <..$

<proof>

lemma *atLeastLessThan-subseteq-atLeastAtMost-iff*:
 $\{a \leq b\} \subseteq \{c \leq d\} \longleftrightarrow (a < b \longrightarrow c \leq a \wedge b \leq d)$
 ⟨proof⟩

lemma *greaterThanAtMost-subseteq-atLeastAtMost-iff*:
 $\{a < b\} \subseteq \{c \leq d\} \longleftrightarrow (a < b \longrightarrow c \leq a \wedge b \leq d)$
 ⟨proof⟩

lemma *greaterThanLessThan-subseteq-atLeastAtMost-iff*:
 $\{a < b\} \subseteq \{c \leq d\} \longleftrightarrow (a < b \longrightarrow c \leq a \wedge b \leq d)$
 ⟨proof⟩

lemma *atLeastAtMost-subseteq-atLeastLessThan-iff*:
 $\{a \leq b\} \subseteq \{c < d\} \longleftrightarrow (a \leq b \longrightarrow c \leq a \wedge b < d)$
 ⟨proof⟩

lemma *greaterThanLessThan-subseteq-greaterThanLessThan*:
 $\{a < b\} \subseteq \{c < d\} \longleftrightarrow (a < b \longrightarrow a \geq c \wedge b \leq d)$
 ⟨proof⟩

lemma *greaterThanAtMost-subseteq-atLeastLessThan-iff*:
 $\{a < b\} \subseteq \{c \leq d\} \longleftrightarrow (a < b \longrightarrow c \leq a \wedge b < d)$
 ⟨proof⟩

lemma *greaterThanLessThan-subseteq-atLeastLessThan-iff*:
 $\{a < b\} \subseteq \{c < d\} \longleftrightarrow (a < b \longrightarrow c \leq a \wedge b \leq d)$
 ⟨proof⟩

lemma *greaterThanLessThan-subseteq-greaterThanAtMost-iff*:
 $\{a < b\} \subseteq \{c < d\} \longleftrightarrow (a < b \longrightarrow c \leq a \wedge b \leq d)$
 ⟨proof⟩

end

context *no-top*
begin

lemma *greaterThan-non-empty[simp]*: $\{x < \cdot\} \neq \{\}$
 ⟨proof⟩

end

context *no-bot*
begin

lemma *lessThan-non-empty[simp]*: $\{\cdot < x\} \neq \{\}$
 ⟨proof⟩

end

lemma (in *linorder*) *atLeastLessThan-subset-iff*:
 $\{a..<b\} \leq \{c..<d\} \implies b \leq a \mid c \leq a \ \& \ b \leq d$
 <proof>

lemma *atLeastLessThan-inj*:
 fixes $a \ b \ c \ d :: 'a::linorder$
 assumes $eq: \{a..<b\} = \{c..<d\}$ and $a < b \ c < d$
 shows $a = c \ b = d$
 <proof>

lemma *atLeastLessThan-eq-iff*:
 fixes $a \ b \ c \ d :: 'a::linorder$
 assumes $a < b \ c < d$
 shows $\{a..<b\} = \{c..<d\} \longleftrightarrow a = c \wedge b = d$
 <proof>

lemma (in *linorder*) *Ioc-inj*: $\{a <.. b\} = \{c <.. d\} \longleftrightarrow (b \leq a \wedge d \leq c) \vee a = c \wedge b = d$
 <proof>

lemma (in *order*) *Iio-Int-singleton*: $\{..<k\} \cap \{x\} = (if \ x < k \ then \ \{x\} \ else \ \{\})$
 <proof>

lemma (in *linorder*) *Ioc-subset-iff*: $\{a <.. b\} \subseteq \{c <.. d\} \longleftrightarrow (b \leq a \vee c \leq a \wedge b \leq d)$
 <proof>

lemma (in *order-bot*) *atLeast-eq-UNIV-iff*: $\{x.. \} = UNIV \longleftrightarrow x = bot$
 <proof>

lemma (in *order-top*) *atMost-eq-UNIV-iff*: $\{..x\} = UNIV \longleftrightarrow x = top$
 <proof>

lemma (in *bounded-lattice*) *atLeastAtMost-eq-UNIV-iff*:
 $\{x..y\} = UNIV \longleftrightarrow (x = bot \wedge y = top)$
 <proof>

lemma *Iio-eq-empty-iff*: $\{..< n::'a::\{linorder, order-bot\}\} = \{\} \longleftrightarrow n = bot$
 <proof>

lemma *Iio-eq-empty-iff-nat*: $\{..< n::nat\} = \{\} \longleftrightarrow n = 0$
 <proof>

lemma *mono-image-least*:
 assumes $f\text{-mono}$: $mono \ f$ and $f\text{-img}$: $f \ ` \ \{m..<n\} = \{m'..<n'\} \ m < n$
 shows $f \ m = m'$
 <proof>

61.4 Infinite intervals

context *dense-linorder*

begin

lemma *infinite-Ioo*:

assumes $a < b$

shows $\neg \text{finite } \{a <..<b\}$

<proof>

lemma *infinite-Icc*: $a < b \implies \neg \text{finite } \{a .. b\}$

<proof>

lemma *infinite-Ico*: $a < b \implies \neg \text{finite } \{a ..<b\}$

<proof>

lemma *infinite-Ioc*: $a < b \implies \neg \text{finite } \{a <.. b\}$

<proof>

lemma *infinite-Ioo-iff* [simp]: $\text{infinite } \{a <..<b\} \longleftrightarrow a < b$

<proof>

lemma *infinite-Icc-iff* [simp]: $\text{infinite } \{a .. b\} \longleftrightarrow a < b$

<proof>

lemma *infinite-Ico-iff* [simp]: $\text{infinite } \{a ..<b\} \longleftrightarrow a < b$

<proof>

lemma *infinite-Ioc-iff* [simp]: $\text{infinite } \{a <..b\} \longleftrightarrow a < b$

<proof>

end

lemma *infinite-Iio*: $\neg \text{finite } \{..<a :: 'a :: \{\text{no-bot}, \text{linorder}\}\}$

<proof>

lemma *infinite-Iic*: $\neg \text{finite } \{..a :: 'a :: \{\text{no-bot}, \text{linorder}\}\}$

<proof>

lemma *infinite-Ioi*: $\neg \text{finite } \{a :: 'a :: \{\text{no-top}, \text{linorder}\} <..\}$

<proof>

lemma *infinite-Ici*: $\neg \text{finite } \{a :: 'a :: \{\text{no-top}, \text{linorder}\} ..\}$

<proof>

61.4.1 Intersection

context *linorder*

begin

lemma *Int-atLeastAtMost[simp]:* $\{a..b\} \text{ Int } \{c..d\} = \{\max a \ c \ .. \ \min b \ d\}$
 $\langle \text{proof} \rangle$

lemma *Int-atLeastAtMostR1[simp]:* $\{..b\} \text{ Int } \{c..d\} = \{c \ .. \ \min b \ d\}$
 $\langle \text{proof} \rangle$

lemma *Int-atLeastAtMostR2[simp]:* $\{a.. \} \text{ Int } \{c..d\} = \{\max a \ c \ .. \ d\}$
 $\langle \text{proof} \rangle$

lemma *Int-atLeastAtMostL1[simp]:* $\{a..b\} \text{ Int } \{..d\} = \{a \ .. \ \min b \ d\}$
 $\langle \text{proof} \rangle$

lemma *Int-atLeastAtMostL2[simp]:* $\{a..b\} \text{ Int } \{c.. \} = \{\max a \ c \ .. \ b\}$
 $\langle \text{proof} \rangle$

lemma *Int-atLeastLessThan[simp]:* $\{a..<b\} \text{ Int } \{c..<d\} = \{\max a \ c \ ..< \min b \ d\}$
 $\langle \text{proof} \rangle$

lemma *Int-greaterThanAtMost[simp]:* $\{a<..b\} \text{ Int } \{c<..d\} = \{\max a \ c \ <.. \min b \ d\}$
 $\langle \text{proof} \rangle$

lemma *Int-greaterThanLessThan[simp]:* $\{a<..<b\} \text{ Int } \{c<..<d\} = \{\max a \ c \ <..< \min b \ d\}$
 $\langle \text{proof} \rangle$

lemma *Int-atMost[simp]:* $\{..a\} \cap \{..b\} = \{.. \min a \ b\}$
 $\langle \text{proof} \rangle$

lemma *Ioc-disjoint:* $\{a<..b\} \cap \{c<..d\} = \{\} \longleftrightarrow b \leq a \vee d \leq c \vee b \leq c \vee d \leq a$
 $\langle \text{proof} \rangle$

end

context *complete-lattice*

begin

lemma

shows *Sup-atLeast[simp]:* $\text{Sup } \{x \ .. \} = \text{top}$
and *Sup-greaterThanAtLeast[simp]:* $x < \text{top} \implies \text{Sup } \{x <.. \} = \text{top}$
and *Sup-atMost[simp]:* $\text{Sup } \{.. \ y\} = y$
and *Sup-atLeastAtMost[simp]:* $x \leq y \implies \text{Sup } \{x \ .. \ y\} = y$
and *Sup-greaterThanAtMost[simp]:* $x < y \implies \text{Sup } \{x <.. \ y\} = y$
 $\langle \text{proof} \rangle$

lemma

shows *Inf-atMost[simp]:* $\text{Inf } \{.. \ x\} = \text{bot}$
and *Inf-atMostLessThan[simp]:* $\text{top} < x \implies \text{Inf } \{..< x\} = \text{bot}$

and *Inf-atLeast*[simp]: $\text{Inf } \{x \dots\} = x$
and *Inf-atLeastAtMost*[simp]: $x \leq y \implies \text{Inf } \{x \dots y\} = x$
and *Inf-atLeastLessThan*[simp]: $x < y \implies \text{Inf } \{x \dots < y\} = x$
 <proof>

end

lemma

fixes $x \ y :: 'a :: \{\text{complete-lattice, dense-linorder}\}$
shows *Sup-lessThan*[simp]: $\text{Sup } \{\dots < y\} = y$
and *Sup-atLeastLessThan*[simp]: $x < y \implies \text{Sup } \{x \dots < y\} = y$
and *Sup-greaterThanLessThan*[simp]: $x < y \implies \text{Sup } \{x < \dots < y\} = y$
and *Inf-greaterThan*[simp]: $\text{Inf } \{x < \dots\} = x$
and *Inf-greaterThanAtMost*[simp]: $x < y \implies \text{Inf } \{x < \dots y\} = x$
and *Inf-greaterThanLessThan*[simp]: $x < y \implies \text{Inf } \{x < \dots < y\} = x$
 <proof>

61.5 Intervals of natural numbers

61.5.1 The Constant *lessThan*

lemma *lessThan-0* [simp]: $\text{lessThan } (0::\text{nat}) = \{\}$
 <proof>

lemma *lessThan-Suc*: $\text{lessThan } (\text{Suc } k) = \text{insert } k (\text{lessThan } k)$
 <proof>

The following proof is convenient in induction proofs where new elements get indices at the beginning. So it is used to transform $\{\dots < \text{Suc } n\}$ to \emptyset and $\{\dots < n\}$.

lemma *zero-notin-Suc-image*: $0 \notin \text{Suc } ` A$
 <proof>

lemma *lessThan-Suc-eq-insert-0*: $\{\dots < \text{Suc } n\} = \text{insert } 0 (\text{Suc } ` \{\dots < n\})$
 <proof>

lemma *lessThan-Suc-atMost*: $\text{lessThan } (\text{Suc } k) = \text{atMost } k$
 <proof>

lemma *Iic-Suc-eq-insert-0*: $\{\dots \text{Suc } n\} = \text{insert } 0 (\text{Suc } ` \{\dots n\})$
 <proof>

lemma *UN-lessThan-UNIV*: $(\text{UN } m::\text{nat}. \text{lessThan } m) = \text{UNIV}$
 <proof>

61.5.2 The Constant *greaterThan*

lemma *greaterThan-0*: $\text{greaterThan } 0 = \text{range } \text{Suc}$
 <proof>

lemma *greaterThan-Suc*: $\text{greaterThan } (\text{Suc } k) = \text{greaterThan } k - \{\text{Suc } k\}$
 $\langle \text{proof} \rangle$

lemma *INT-greaterThan-UNIV*: $(\text{INT } m::\text{nat}. \text{greaterThan } m) = \{\}$
 $\langle \text{proof} \rangle$

61.5.3 The Constant *atLeast*

lemma *atLeast-0 [simp]*: $\text{atLeast } (0::\text{nat}) = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *atLeast-Suc*: $\text{atLeast } (\text{Suc } k) = \text{atLeast } k - \{k\}$
 $\langle \text{proof} \rangle$

lemma *atLeast-Suc-greaterThan*: $\text{atLeast } (\text{Suc } k) = \text{greaterThan } k$
 $\langle \text{proof} \rangle$

lemma *UN-atLeast-UNIV*: $(\text{UN } m::\text{nat}. \text{atLeast } m) = \text{UNIV}$
 $\langle \text{proof} \rangle$

61.5.4 The Constant *atMost*

lemma *atMost-0 [simp]*: $\text{atMost } (0::\text{nat}) = \{0\}$
 $\langle \text{proof} \rangle$

lemma *atMost-Suc*: $\text{atMost } (\text{Suc } k) = \text{insert } (\text{Suc } k) (\text{atMost } k)$
 $\langle \text{proof} \rangle$

lemma *UN-atMost-UNIV*: $(\text{UN } m::\text{nat}. \text{atMost } m) = \text{UNIV}$
 $\langle \text{proof} \rangle$

61.5.5 The Constant *atLeastLessThan*

The orientation of the following 2 rules is tricky. The lhs is defined in terms of the rhs. Hence the chosen orientation makes sense in this theory — the reverse orientation complicates proofs (eg nontermination). But outside, when the definition of the lhs is rarely used, the opposite orientation seems preferable because it reduces a specific concept to a more general one.

lemma *atLeast0LessThan [code-abbrev]*: $\{0::\text{nat}..<n\} = \{..<n\}$
 $\langle \text{proof} \rangle$

lemma *atLeast0AtMost [code-abbrev]*: $\{0..n::\text{nat}\} = \{..n\}$
 $\langle \text{proof} \rangle$

lemma *lessThan-atLeast0*:
 $\{..<n\} = \{0::\text{nat}..<n\}$
 $\langle \text{proof} \rangle$

lemma *atMost-atLeast0*:

$$\{..n\} = \{0::nat..n\}$$

<proof>

lemma *atLeastLessThan0*: $\{m..<0::nat\} = \{\}$

<proof>

lemma *atLeast0-lessThan-Suc*:

$$\{0..<Suc\ n\} = insert\ n\ \{0..<n\}$$

<proof>

lemma *atLeast0-lessThan-Suc-eq-insert-0*:

$$\{0..<Suc\ n\} = insert\ 0\ (Suc\ '\{0..<n\})$$

<proof>

61.5.6 The Constant *atLeastAtMost*

lemma *atLeast0-atMost-Suc*:

$$\{0..Suc\ n\} = insert\ (Suc\ n)\ \{0..n\}$$

<proof>

lemma *atLeast0-atMost-Suc-eq-insert-0*:

$$\{0..Suc\ n\} = insert\ 0\ (Suc\ '\{0..n\})$$

<proof>

61.5.7 Intervals of nats with *Suc*

Not a simprule because the RHS is too messy.

lemma *atLeastLessThanSuc*:

$$\{m..<Suc\ n\} = (if\ m \leq n\ then\ insert\ n\ \{m..<n\}\ else\ \{\})$$

<proof>

lemma *atLeastLessThan-singleton [simp]*: $\{m..<Suc\ m\} = \{m\}$

<proof>

lemma *atLeastLessThanSuc-atLeastAtMost*: $\{l..<Suc\ u\} = \{l..u\}$

<proof>

lemma *atLeastSucAtMost-greaterThanAtMost*: $\{Suc\ l..u\} = \{l<..u\}$

<proof>

lemma *atLeastSucLessThan-greaterThanLessThan*: $\{Suc\ l..<u\} = \{l<..<u\}$

<proof>

lemma *atLeastAtMostSuc-conv*: $m \leq Suc\ n \implies \{m..Suc\ n\} = insert\ (Suc\ n)\ \{m..n\}$

<proof>

lemma *atLeastAtMost-insertL*: $m \leq n \implies insert\ m\ \{Suc\ m..n\} = \{m\ ..n\}$

<proof>

The analogous result is useful on *int*:

lemma *atLeastAtMostPlus1-int-conv*:

$m \leq 1+n \implies \{m..1+n\} = \text{insert } (1+n) \{m..n::\text{int}\}$
 $\langle \text{proof} \rangle$

lemma *atLeastLessThan-add-Un*: $i \leq j \implies \{i..<j+k\} = \{i..<j\} \cup \{j..<j+k::\text{nat}\}$
 $\langle \text{proof} \rangle$

61.5.8 Intervals and numerals

lemma *lessThan-nat-numeral*: — Evaluation for specific numerals

$\text{lessThan } (\text{numeral } k :: \text{nat}) = \text{insert } (\text{pred-numeral } k) (\text{lessThan } (\text{pred-numeral } k))$
 $\langle \text{proof} \rangle$

lemma *atMost-nat-numeral*: — Evaluation for specific numerals

$\text{atMost } (\text{numeral } k :: \text{nat}) = \text{insert } (\text{numeral } k) (\text{atMost } (\text{pred-numeral } k))$
 $\langle \text{proof} \rangle$

lemma *atLeastLessThan-nat-numeral*: — Evaluation for specific numerals

$\text{atLeastLessThan } m (\text{numeral } k :: \text{nat}) =$
 $(\text{if } m \leq (\text{pred-numeral } k) \text{ then } \text{insert } (\text{pred-numeral } k) (\text{atLeastLessThan } m$
 $(\text{pred-numeral } k))$
 $\text{else } \{\})$
 $\langle \text{proof} \rangle$

61.5.9 Image

lemma *image-add-atLeastAtMost [simp]*:

fixes $k :: 'a::\text{linordered-semidom}$
shows $(\lambda n. n+k) ' \{i..j\} = \{i+k..j+k\}$ **(is ?A = ?B)**
 $\langle \text{proof} \rangle$

lemma *image-diff-atLeastAtMost [simp]*:

fixes $d :: 'a::\text{linordered-idom}$ **shows** $(\text{op } - \ d) ' \{a..b\} = \{d-b..d-a\}$
 $\langle \text{proof} \rangle$

lemma *image-mult-atLeastAtMost [simp]*:

fixes $d :: 'a::\text{linordered-field}$
assumes $d > 0$ **shows** $(\text{op } * \ d) ' \{a..b\} = \{d*a..d*b\}$
 $\langle \text{proof} \rangle$

lemma *image-affinity-atLeastAtMost*:

fixes $c :: 'a::\text{linordered-field}$
shows $((\lambda x. m*x + c) ' \{a..b\}) = (\text{if } \{a..b\} = \{\} \text{ then } \{\}$
 $\text{else if } 0 \leq m \text{ then } \{m*a + c .. m*b + c\}$
 $\text{else } \{m*b + c .. m*a + c\})$
 $\langle \text{proof} \rangle$

lemma *image-affinity-atLeastAtMost-diff*:
fixes $c :: 'a::\text{linordered-field}$
shows $((\lambda x. m*x - c) ' \{a..b\}) = (\text{if } \{a..b\} = \{\} \text{ then } \{\}$
 $\text{else if } 0 \leq m \text{ then } \{m*a - c .. m*b - c\}$
 $\text{else } \{m*b - c .. m*a - c\})$
 $\langle \text{proof} \rangle$

lemma *image-affinity-atLeastAtMost-div*:
fixes $c :: 'a::\text{linordered-field}$
shows $((\lambda x. x/m + c) ' \{a..b\}) = (\text{if } \{a..b\} = \{\} \text{ then } \{\}$
 $\text{else if } 0 \leq m \text{ then } \{a/m + c .. b/m + c\}$
 $\text{else } \{b/m + c .. a/m + c\})$
 $\langle \text{proof} \rangle$

lemma *image-affinity-atLeastAtMost-div-diff*:
fixes $c :: 'a::\text{linordered-field}$
shows $((\lambda x. x/m - c) ' \{a..b\}) = (\text{if } \{a..b\} = \{\} \text{ then } \{\}$
 $\text{else if } 0 \leq m \text{ then } \{a/m - c .. b/m - c\}$
 $\text{else } \{b/m - c .. a/m - c\})$
 $\langle \text{proof} \rangle$

lemma *image-add-atLeastLessThan*:
 $(\%n::\text{nat}. n+k) ' \{i..<j\} = \{i+k..<j+k\} \text{ (is } ?A = ?B)$
 $\langle \text{proof} \rangle$

corollary *image-Suc-lessThan*:
 $\text{Suc} ' \{..<n\} = \{1..n\}$
 $\langle \text{proof} \rangle$

corollary *image-Suc-atMost*:
 $\text{Suc} ' \{..n\} = \{1..\text{Suc } n\}$
 $\langle \text{proof} \rangle$

corollary *image-Suc-atLeastAtMost[simp]*:
 $\text{Suc} ' \{i..j\} = \{\text{Suc } i..\text{Suc } j\}$
 $\langle \text{proof} \rangle$

corollary *image-Suc-atLeastLessThan[simp]*:
 $\text{Suc} ' \{i..<j\} = \{\text{Suc } i..<\text{Suc } j\}$
 $\langle \text{proof} \rangle$

lemma *atLeast1-lessThan-eq-remove0*:
 $\{\text{Suc } 0..<n\} = \{..<n\} - \{0\}$
 $\langle \text{proof} \rangle$

lemma *atLeast1-atMost-eq-remove0*:
 $\{\text{Suc } 0..n\} = \{..n\} - \{0\}$
 $\langle \text{proof} \rangle$

lemma *image-add-int-atLeastLessThan*:
 ($\forall x. x + (l :: \text{int}) \text{ ‘ } \{0..<u-l\} = \{l..<u\}$)
 $\langle \text{proof} \rangle$

lemma *image-minus-const-atLeastLessThan-nat*:
 fixes $c :: \text{nat}$
 shows $(\lambda i. i - c) \text{ ‘ } \{x ..< y\} =$
 ($\text{if } c < y \text{ then } \{x - c ..< y - c\} \text{ else if } x < y \text{ then } \{0\} \text{ else } \{\}$)
 (is - = ?right)
 $\langle \text{proof} \rangle$

lemma *image-int-atLeastLessThan*: $\text{int} \text{ ‘ } \{a..<b\} = \{\text{int } a..<\text{int } b\}$
 $\langle \text{proof} \rangle$

context *ordered-ab-group-add*
begin

lemma
 fixes $x :: 'a$
 shows *image-uminus-greaterThan[simp]*: $\text{uminus} \text{ ‘ } \{x<..\} = \{..<-x\}$
 and *image-uminus-atLeast[simp]*: $\text{uminus} \text{ ‘ } \{x..\} = \{..-x\}$
 $\langle \text{proof} \rangle$

lemma
 fixes $x :: 'a$
 shows *image-uminus-lessThan[simp]*: $\text{uminus} \text{ ‘ } \{..<x\} = \{-x<..\}$
 and *image-uminus-atMost[simp]*: $\text{uminus} \text{ ‘ } \{..x\} = \{-x..\}$
 $\langle \text{proof} \rangle$

lemma
 fixes $x :: 'a$
 shows *image-uminus-atLeastAtMost[simp]*: $\text{uminus} \text{ ‘ } \{x..y\} = \{-y..-x\}$
 and *image-uminus-greaterThanAtMost[simp]*: $\text{uminus} \text{ ‘ } \{x<..y\} = \{-y..<-x\}$
 and *image-uminus-atLeastLessThan[simp]*: $\text{uminus} \text{ ‘ } \{x..<y\} = \{-y<..-x\}$
 and *image-uminus-greaterThanLessThan[simp]*: $\text{uminus} \text{ ‘ } \{x<..<y\} = \{-y<..<-x\}$
 $\langle \text{proof} \rangle$
end

61.5.10 Finiteness

lemma *finite-lessThan [iff]*: fixes $k :: \text{nat}$ shows *finite* $\{..<k\}$
 $\langle \text{proof} \rangle$

lemma *finite-atMost [iff]*: fixes $k :: \text{nat}$ shows *finite* $\{..k\}$
 $\langle \text{proof} \rangle$

lemma *finite-greaterThanLessThan [iff]*:
 fixes $l :: \text{nat}$ shows *finite* $\{l<..<u\}$
 $\langle \text{proof} \rangle$

lemma *finite-atLeastLessThan* [iff]:
fixes $l :: \text{nat}$ **shows** *finite* $\{l..<u\}$
 $\langle \text{proof} \rangle$

lemma *finite-greaterThanAtMost* [iff]:
fixes $l :: \text{nat}$ **shows** *finite* $\{l<..u\}$
 $\langle \text{proof} \rangle$

lemma *finite-atLeastAtMost* [iff]:
fixes $l :: \text{nat}$ **shows** *finite* $\{l..u\}$
 $\langle \text{proof} \rangle$

A bounded set of natural numbers is finite.

lemma *bounded-nat-set-is-finite*:
 $(\text{ALL } i:N. i < (n::\text{nat})) \implies \text{finite } N$
 $\langle \text{proof} \rangle$

A set of natural numbers is finite iff it is bounded.

lemma *finite-nat-set-iff-bounded*:
 $\text{finite}(N::\text{nat set}) = (\text{EX } m. \text{ALL } n:N. n < m) \text{ (is } ?F = ?B)$
 $\langle \text{proof} \rangle$

lemma *finite-nat-set-iff-bounded-le*:
 $\text{finite}(N::\text{nat set}) = (\text{EX } m. \text{ALL } n:N. n \leq m)$
 $\langle \text{proof} \rangle$

lemma *finite-less-ub*:
 $!!f::\text{nat} \implies \text{nat}. (!!n. n \leq f n) \implies \text{finite } \{n. f n \leq u\}$
 $\langle \text{proof} \rangle$

lemma *bounded-Max-nat*:
fixes $P :: \text{nat} \Rightarrow \text{bool}$
assumes $x: P x$ **and** $M: \bigwedge x. P x \implies x \leq M$
obtains m **where** $P m \bigwedge x. P x \implies x \leq m$
 $\langle \text{proof} \rangle$

Any subset of an interval of natural numbers the size of the subset is exactly that interval.

lemma *subset-card-intvl-is-intvl*:
assumes $A \subseteq \{k..<k + \text{card } A\}$
shows $A = \{k..<k + \text{card } A\}$
 $\langle \text{proof} \rangle$

61.5.11 Proving Inclusions and Equalities between Unions

lemma *UN-le-eq-UN0*:
 $(\bigcup i \leq n::\text{nat}. M i) = (\bigcup i \in \{1..n\}. M i) \cup M 0 \text{ (is } ?A = ?B)$
 $\langle \text{proof} \rangle$

lemma *UN-le-add-shift*:

$(\bigcup_{i \leq n :: \text{nat}}. M(i+k)) = (\bigcup_{i \in \{k..n+k\}}. M\ i)$ (**is** $?A = ?B$)
 $\langle \text{proof} \rangle$

lemma *UN-UN-finite-eq*: $(\bigcup_{n :: \text{nat}}. \bigcup_{i \in \{0..<n\}}. A\ i) = (\bigcup_{n.} A\ n)$
 $\langle \text{proof} \rangle$

lemma *UN-finite-subset*:

$(\bigwedge_{n :: \text{nat}}. (\bigcup_{i \in \{0..<n\}}. A\ i) \subseteq C) \implies (\bigcup_{n.} A\ n) \subseteq C$
 $\langle \text{proof} \rangle$

lemma *UN-finite2-subset*:

assumes $\bigwedge_{n :: \text{nat}}. (\bigcup_{i \in \{0..<n\}}. A\ i) \subseteq (\bigcup_{i \in \{0..<n+k\}}. B\ i)$
shows $(\bigcup_{n.} A\ n) \subseteq (\bigcup_{n.} B\ n)$
 $\langle \text{proof} \rangle$

lemma *UN-finite2-eq*:

$(\bigwedge_{n :: \text{nat}}. (\bigcup_{i \in \{0..<n\}}. A\ i) = (\bigcup_{i \in \{0..<n+k\}}. B\ i)) \implies$
 $(\bigcup_{n.} A\ n) = (\bigcup_{n.} B\ n)$
 $\langle \text{proof} \rangle$

61.5.12 Cardinality

lemma *card-lessThan* [*simp*]: $\text{card } \{..<u\} = u$
 $\langle \text{proof} \rangle$

lemma *card-atMost* [*simp*]: $\text{card } \{..u\} = \text{Suc } u$
 $\langle \text{proof} \rangle$

lemma *card-atLeastLessThan* [*simp*]: $\text{card } \{l..<u\} = u - l$
 $\langle \text{proof} \rangle$

lemma *card-atLeastAtMost* [*simp*]: $\text{card } \{l..u\} = \text{Suc } u - l$
 $\langle \text{proof} \rangle$

lemma *card-greaterThanAtMost* [*simp*]: $\text{card } \{l<..u\} = u - l$
 $\langle \text{proof} \rangle$

lemma *card-greaterThanLessThan* [*simp*]: $\text{card } \{l<..<u\} = u - \text{Suc } l$
 $\langle \text{proof} \rangle$

lemma *subset-eq-atLeast0-lessThan-finite*:

fixes $n :: \text{nat}$
assumes $N \subseteq \{0..<n\}$
shows *finite* N
 $\langle \text{proof} \rangle$

lemma *subset-eq-atLeast0-atMost-finite*:

fixes $n :: \text{nat}$
assumes $N \subseteq \{0..n\}$
shows $\text{finite } N$
 $\langle \text{proof} \rangle$

lemma *ex-bij-betw-nat-finite*:
 $\text{finite } M \implies \exists h. \text{bij-betw } h \{0..<\text{card } M\} M$
 $\langle \text{proof} \rangle$

lemma *ex-bij-betw-finite-nat*:
 $\text{finite } M \implies \exists h. \text{bij-betw } h M \{0..<\text{card } M\}$
 $\langle \text{proof} \rangle$

lemma *finite-same-card-bij*:
 $\text{finite } A \implies \text{finite } B \implies \text{card } A = \text{card } B \implies \text{EX } h. \text{bij-betw } h A B$
 $\langle \text{proof} \rangle$

lemma *ex-bij-betw-nat-finite-1*:
 $\text{finite } M \implies \exists h. \text{bij-betw } h \{1 .. \text{card } M\} M$
 $\langle \text{proof} \rangle$

lemma *bij-betw-iff-card*:
assumes $\text{finite } A \text{ finite } B$
shows $(\exists f. \text{bij-betw } f A B) \longleftrightarrow (\text{card } A = \text{card } B)$
 $\langle \text{proof} \rangle$

lemma *inj-on-iff-card-le*:
assumes $\text{FIN}: \text{finite } A \text{ and } \text{FIN}': \text{finite } B$
shows $(\exists f. \text{inj-on } f A \wedge f ' A \leq B) = (\text{card } A \leq \text{card } B)$
 $\langle \text{proof} \rangle$

lemma *subset-eq-atLeast0-lessThan-card*:
fixes $n :: \text{nat}$
assumes $N \subseteq \{0..<n\}$
shows $\text{card } N \leq n$
 $\langle \text{proof} \rangle$

61.6 Intervals of integers

lemma *atLeastLessThanPlusOne-atLeastAtMost-int*: $\{l..<u+1\} = \{l..(u::\text{int})\}$
 $\langle \text{proof} \rangle$

lemma *atLeastPlusOneAtMost-greaterThanAtMost-int*: $\{l+1..u\} = \{l<..(u::\text{int})\}$
 $\langle \text{proof} \rangle$

lemma *atLeastPlusOneLessThan-greaterThanLessThan-int*:
 $\{l+1..<u\} = \{l<..<u::\text{int}\}$
 $\langle \text{proof} \rangle$

61.6.1 Finiteness

lemma *image-atLeastZeroLessThan-int*: $0 \leq u \implies$
 $\{(0::int)..<u\} = \text{int} \text{ ‘ } \{..<\text{nat } u\}$
 $\langle \text{proof} \rangle$

lemma *finite-atLeastZeroLessThan-int*: *finite* $\{(0::int)..<u\}$
 $\langle \text{proof} \rangle$

lemma *finite-atLeastLessThan-int* [iff]: *finite* $\{l..<u::int\}$
 $\langle \text{proof} \rangle$

lemma *finite-atLeastAtMost-int* [iff]: *finite* $\{l..(u::int)\}$
 $\langle \text{proof} \rangle$

lemma *finite-greaterThanAtMost-int* [iff]: *finite* $\{l<..(u::int)\}$
 $\langle \text{proof} \rangle$

lemma *finite-greaterThanLessThan-int* [iff]: *finite* $\{l<..<u::int\}$
 $\langle \text{proof} \rangle$

61.6.2 Cardinality

lemma *card-atLeastZeroLessThan-int*: *card* $\{(0::int)..<u\} = \text{nat } u$
 $\langle \text{proof} \rangle$

lemma *card-atLeastLessThan-int* [simp]: *card* $\{l..<u\} = \text{nat } (u - l)$
 $\langle \text{proof} \rangle$

lemma *card-atLeastAtMost-int* [simp]: *card* $\{l..u\} = \text{nat } (u - l + 1)$
 $\langle \text{proof} \rangle$

lemma *card-greaterThanAtMost-int* [simp]: *card* $\{l<..u\} = \text{nat } (u - l)$
 $\langle \text{proof} \rangle$

lemma *card-greaterThanLessThan-int* [simp]: *card* $\{l<..<u\} = \text{nat } (u - (l + 1))$
 $\langle \text{proof} \rangle$

lemma *finite-M-bounded-by-nat*: *finite* $\{k. P \ k \wedge k < (i::nat)\}$
 $\langle \text{proof} \rangle$

lemma *card-less*:
assumes *zero-in-M*: $0 \in M$
shows *card* $\{k \in M. k < \text{Suc } i\} \neq 0$
 $\langle \text{proof} \rangle$

lemma *card-less-Suc2*: $0 \notin M \implies \text{card } \{k. \text{Suc } k \in M \wedge k < i\} = \text{card } \{k \in M. k < \text{Suc } i\}$
 $\langle \text{proof} \rangle$

lemma *card-less-Suc*:

assumes *zero-in-M*: $0 \in M$

shows $\text{Suc} (\text{card } \{k. \text{Suc } k \in M \wedge k < i\}) = \text{card } \{k \in M. k < \text{Suc } i\}$

<proof>

61.7 Lemmas useful with the summation operator sum

For examples, see Algebra/poly/UnivPoly2.thy

61.7.1 Disjoint Unions

Singletons and open intervals

lemma *ivl-disj-un-singleton*:

$\{l::'a::\text{linorder}\} \text{ Un } \{l<..\} = \{l..\}$

$\{..\} \text{ Un } \{u::'a::\text{linorder}\} = \{..u\}$

$(l::'a::\text{linorder}) < u \implies \{l\} \text{ Un } \{l<..\} = \{l..\}$

$(l::'a::\text{linorder}) < u \implies \{l<..\} \text{ Un } \{u\} = \{l<..\}$

$(l::'a::\text{linorder}) \leq u \implies \{l\} \text{ Un } \{l<..\} = \{l..\}$

$(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{ Un } \{u\} = \{l..\}$

<proof>

One- and two-sided intervals

lemma *ivl-disj-un-one*:

$(l::'a::\text{linorder}) < u \implies \{..l\} \text{ Un } \{l<..\} = \{..\}$

$(l::'a::\text{linorder}) \leq u \implies \{..<l\} \text{ Un } \{l..\} = \{..\}$

$(l::'a::\text{linorder}) \leq u \implies \{..l\} \text{ Un } \{l<..\} = \{..\}$

$(l::'a::\text{linorder}) \leq u \implies \{..<l\} \text{ Un } \{l..\} = \{..\}$

$(l::'a::\text{linorder}) \leq u \implies \{l<..\} \text{ Un } \{u<..\} = \{l<..\}$

$(l::'a::\text{linorder}) < u \implies \{l<..\} \text{ Un } \{u..\} = \{l<..\}$

$(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{ Un } \{u<..\} = \{l..\}$

$(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{ Un } \{u..\} = \{l..\}$

<proof>

Two- and two-sided intervals

lemma *ivl-disj-un-two*:

$[(l::'a::\text{linorder}) < m; m \leq u] \implies \{l<..\} \text{ Un } \{m..\} = \{l<..\}$

$[(l::'a::\text{linorder}) \leq m; m < u] \implies \{l<..\} \text{ Un } \{m<..\} = \{l<..\}$

$[(l::'a::\text{linorder}) \leq m; m \leq u] \implies \{l..\} \text{ Un } \{m..\} = \{l..\}$

$[(l::'a::\text{linorder}) \leq m; m < u] \implies \{l..\} \text{ Un } \{m<..\} = \{l..\}$

$[(l::'a::\text{linorder}) < m; m \leq u] \implies \{l<..\} \text{ Un } \{m..\} = \{l<..\}$

$[(l::'a::\text{linorder}) \leq m; m \leq u] \implies \{l<..\} \text{ Un } \{m<..\} = \{l<..\}$

$[(l::'a::\text{linorder}) \leq m; m < u] \implies \{l..\} \text{ Un } \{m..\} = \{l..\}$

$[(l::'a::\text{linorder}) \leq m; m \leq u] \implies \{l..\} \text{ Un } \{m<..\} = \{l..\}$

<proof>

lemma *ivl-disj-un-two-touch*:

$[(l::'a::\text{linorder}) < m; m < u] \implies \{l<..\} \text{ Un } \{m..\} = \{l<..\}$

$[(l::'a::\text{linorder}) \leq m; m < u] \implies \{l..\} \text{ Un } \{m..\} = \{l..\}$

$$\begin{aligned} & [(l::'a::linorder) < m; m \leq u] ==> \{l<..m\} \text{ Un } \{m..u\} = \{l<..u\} \\ & [(l::'a::linorder) \leq m; m \leq u] ==> \{l..m\} \text{ Un } \{m..u\} = \{l..u\} \\ & \langle proof \rangle \end{aligned}$$

lemmas *ivl-disj-un* = *ivl-disj-un-singleton ivl-disj-un-one ivl-disj-un-two ivl-disj-un-two-touch*

61.7.2 Disjoint Intersections

One- and two-sided intervals

lemma *ivl-disj-int-one*:

$$\begin{aligned} & \{..l::'a::order\} \text{ Int } \{l<..$$

Two- and two-sided intervals

lemma *ivl-disj-int-two*:

$$\begin{aligned} & \{l::'a::order<..$$

lemmas *ivl-disj-int* = *ivl-disj-int-one ivl-disj-int-two*

61.7.3 Some Differences

lemma *ivl-diff[simp]*:

$$i \leq n \implies \{i..

$\langle proof \rangle$$$

lemma (*in linorder*) *lessThan-minus-lessThan* [simp]:

$$\{..

$\langle proof \rangle$$$

lemma (*in linorder*) *atLeastAtMost-diff-ends*:

$$\{a..b\} - \{a, b\} = \{a<..

$\langle proof \rangle$$$

61.7.4 Some Subset Conditions

lemma *ivl-subset* [*simp*]:
 $(\{i..<j\} \subseteq \{m..<n\}) = (j \leq i \mid m \leq i \ \& \ j \leq (n::'a::linorder))$
 $\langle proof \rangle$

61.8 Generic big monoid operation over intervals

lemma *inj-on-add-nat'* [*simp*]:
 $inj-on \ (plus \ k) \ N \ \text{for} \ k :: nat$
 $\langle proof \rangle$

context *comm-monoid-set*
begin

lemma *atLeast-lessThan-shift-bounds*:
fixes $m \ n \ k :: nat$
shows $F \ g \ \{m + k..<n + k\} = F \ (g \circ plus \ k) \ \{m..<n\}$
 $\langle proof \rangle$

lemma *atLeast-atMost-shift-bounds*:
fixes $m \ n \ k :: nat$
shows $F \ g \ \{m + k..n + k\} = F \ (g \circ plus \ k) \ \{m..n\}$
 $\langle proof \rangle$

lemma *atLeast-Suc-lessThan-Suc-shift*:
 $F \ g \ \{Suc \ m..<Suc \ n\} = F \ (g \circ Suc) \ \{m..<n\}$
 $\langle proof \rangle$

lemma *atLeast-Suc-atMost-Suc-shift*:
 $F \ g \ \{Suc \ m..Suc \ n\} = F \ (g \circ Suc) \ \{m..n\}$
 $\langle proof \rangle$

lemma *atLeast0-lessThan-Suc*:
 $F \ g \ \{0..<Suc \ n\} = F \ g \ \{0..<n\} * g \ n$
 $\langle proof \rangle$

lemma *atLeast0-atMost-Suc*:
 $F \ g \ \{0..Suc \ n\} = F \ g \ \{0..n\} * g \ (Suc \ n)$
 $\langle proof \rangle$

lemma *atLeast0-lessThan-Suc-shift*:
 $F \ g \ \{0..<Suc \ n\} = g \ 0 * F \ (g \circ Suc) \ \{0..<n\}$
 $\langle proof \rangle$

lemma *atLeast0-atMost-Suc-shift*:
 $F \ g \ \{0..Suc \ n\} = g \ 0 * F \ (g \circ Suc) \ \{0..n\}$
 $\langle proof \rangle$

lemma *ivl-cong*:

$$\begin{aligned}
a = c &\implies b = d \implies (\bigwedge x. c \leq x \implies x < d \implies g\ x = h\ x) \\
&\implies F\ g\ \{a..<b\} = F\ h\ \{c..<d\} \\
&\langle proof \rangle
\end{aligned}$$

lemma *atLeast-lessThan-shift-0*:

fixes $m\ n\ p :: nat$
shows $F\ g\ \{m..<n\} = F\ (g \circ plus\ m)\ \{0..<n - m\}$
 $\langle proof \rangle$

lemma *atLeast-atMost-shift-0*:

fixes $m\ n\ p :: nat$
assumes $m \leq n$
shows $F\ g\ \{m..n\} = F\ (g \circ plus\ m)\ \{0..n - m\}$
 $\langle proof \rangle$

lemma *atLeast-lessThan-concat*:

fixes $m\ n\ p :: nat$
shows $m \leq n \implies n \leq p \implies F\ g\ \{m..<n\} * F\ g\ \{n..<p\} = F\ g\ \{m..<p\}$
 $\langle proof \rangle$

lemma *atLeast-lessThan-rev*:

$F\ g\ \{n..<m\} = F\ (\lambda i. g\ (m + n - Suc\ i))\ \{n..<m\}$
 $\langle proof \rangle$

lemma *atLeast-atMost-rev*:

fixes $n\ m :: nat$
shows $F\ g\ \{n..m\} = F\ (\lambda i. g\ (m + n - i))\ \{n..m\}$
 $\langle proof \rangle$

lemma *atLeast-lessThan-rev-at-least-Suc-atMost*:

$F\ g\ \{n..<m\} = F\ (\lambda i. g\ (m + n - i))\ \{Suc\ n..m\}$
 $\langle proof \rangle$

end

61.9 Summation indexed over intervals

syntax (*ASCII*)

-from-to-sum :: $idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((SUM - = -..-/ -) [0,0,0,10] 10)$
 -from-upto-sum :: $idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((SUM - = -..<-/ -) [0,0,0,10] 10)$
 -upt-sum :: $idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((SUM -<-./ -) [0,0,10] 10)$
 -upto-sum :: $idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((SUM -<= -./ -) [0,0,10] 10)$

syntax (*latex-sum output*)

-from-to-sum :: $idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$
 $((\sum_{-} = -) [0,0,0,10] 10)$
 -from-upto-sum :: $idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$
 $((\sum_{-} < -) [0,0,0,10] 10)$
 -upt-sum :: $idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$

$((\exists \sum_{-} < -) [0,0,10] \ 10)$
 $\text{-upto-sum} :: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$
 $((\exists \sum_{-} \leq -) [0,0,10] \ 10)$

syntax

$\text{-from-to-sum} :: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\exists \sum_{-} = \text{-}.. \text{-} / -) [0,0,0,10] \ 10)$
 $\text{-from-upto-sum} :: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\exists \sum_{-} = \text{-}.. < \text{-} / -) [0,0,0,10] \ 10)$
 $\text{-upt-sum} :: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\exists \sum_{-} < \text{-} / -) [0,0,10] \ 10)$
 $\text{-upto-sum} :: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\exists \sum_{-} \leq \text{-} / -) [0,0,10] \ 10)$

translations

$\sum x = a..b. t == \text{CONST sum } (\lambda x. t) \{a..b\}$
 $\sum x = a..<b. t == \text{CONST sum } (\lambda x. t) \{a..<b\}$
 $\sum i \leq n. t == \text{CONST sum } (\lambda i. t) \{..n\}$
 $\sum i < n. t == \text{CONST sum } (\lambda i. t) \{..<n\}$

The above introduces some pretty alternative syntaxes for summation over intervals:

Old	New	L ^A T _E X
$\sum x \in \{a..b\}. e$	$\sum x = a..b. e$	$\sum_{x=a}^b e$
$\sum x \in \{a..<b\}. e$	$\sum x = a..<b. e$	$\sum_{x=a}^{<b} e$
$\sum x \in \{..b\}. e$	$\sum x \leq b. e$	$\sum_{x \leq b} e$
$\sum x \in \{..<b\}. e$	$\sum x < b. e$	$\sum_{x < b} e$

The left column shows the term before introduction of the new syntax, the middle column shows the new (default) syntax, and the right column shows a special syntax. The latter is only meaningful for latex output and has to be activated explicitly by setting the print mode to *latex-sum* (e.g. via *mode = latex-sum* in antiquotations). It is not the default L^AT_EX output because it only works well with italic-style formulae, not tt-style.

Note that for uniformity on *nat* it is better to use $\sum x = 0..<n. e$ rather than $\sum x < n. e$: *sum* may not provide all lemmas available for $\{m..<n\}$ also in the special form for $\{..<n\}$.

This congruence rule should be used for sums over intervals as the standard theorem *sum.cong* does not work well with the simplifier who adds the unsimplified premise $x \in B$ to the context.

lemmas *sum-ivl-cong* = *sum.ivl-cong*

lemma *sum-atMost-Suc* [simp]:

$(\sum i \leq \text{Suc } n. f \ i) = (\sum i \leq n. f \ i) + f \ (\text{Suc } n)$
 $\langle \text{proof} \rangle$

lemma *sum-lessThan-Suc* [simp]:

$$(\sum i < \text{Suc } n. f i) = (\sum i < n. f i) + f n$$

<proof>

lemma *sum-cl-ivl-Suc* [simp]:

$$\text{sum } f \{m.. \text{Suc } n\} = (\text{if } \text{Suc } n < m \text{ then } 0 \text{ else } \text{sum } f \{m..n\} + f(\text{Suc } n))$$

<proof>

lemma *sum-op-ivl-Suc* [simp]:

$$\text{sum } f \{m..<\text{Suc } n\} = (\text{if } n < m \text{ then } 0 \text{ else } \text{sum } f \{m..<n\} + f(n))$$

<proof>

lemma *sum-head*:

fixes $n :: \text{nat}$

assumes $mn: m \leq n$

$$\text{shows } (\sum x \in \{m..n\}. P x) = P m + (\sum x \in \{m < .. n\}. P x) \text{ (is ?lhs = ?rhs)}$$

<proof>

lemma *sum-head-Suc*:

$$m \leq n \implies \text{sum } f \{m..n\} = f m + \text{sum } f \{\text{Suc } m..n\}$$

<proof>

lemma *sum-head-upt-Suc*:

$$m < n \implies \text{sum } f \{m..<n\} = f m + \text{sum } f \{\text{Suc } m..<n\}$$

<proof>

lemma *sum-ub-add-nat*: **assumes** $(m :: \text{nat}) \leq n + 1$

$$\text{shows } \text{sum } f \{m..n + p\} = \text{sum } f \{m..n\} + \text{sum } f \{n + 1..n + p\}$$

<proof>

lemmas *sum-add-nat-ivl* = *sum.atLeast-lessThan-concat*

lemma *sum-diff-nat-ivl*:

fixes $f :: \text{nat} \Rightarrow 'a :: \text{ab-group-add}$

shows $\llbracket m \leq n; n \leq p \rrbracket \implies$

$$\text{sum } f \{m..<p\} - \text{sum } f \{m..<n\} = \text{sum } f \{n..<p\}$$

<proof>

lemma *sum-natinterval-diff*:

fixes $f :: \text{nat} \Rightarrow ('a :: \text{ab-group-add})$

$$\text{shows } \text{sum } (\lambda k. f k - f(k + 1)) \{(m :: \text{nat}) .. n\} =$$

$$(\text{if } m \leq n \text{ then } f m - f(n + 1) \text{ else } 0)$$

<proof>

lemma *sum-nat-group*: $(\sum m < n :: \text{nat}. \text{sum } f \{m * k .. m * k + k\}) = \text{sum } f \{..<n * k\}$

<proof>

lemma *sum-triangle-reindex*:

fixes $n :: \text{nat}$
shows $(\sum (i,j) \in \{(i,j). i+j < n\}. f\ i\ j) = (\sum k < n. \sum i \leq k. f\ i\ (k - i))$
 $\langle \text{proof} \rangle$

lemma *sum-triangle-reindex-eq*:

fixes $n :: \text{nat}$
shows $(\sum (i,j) \in \{(i,j). i+j \leq n\}. f\ i\ j) = (\sum k \leq n. \sum i \leq k. f\ i\ (k - i))$
 $\langle \text{proof} \rangle$

lemma *nat-diff-sum-reindex*: $(\sum i < n. f\ (n - \text{Suc}\ i)) = (\sum i < n. f\ i)$
 $\langle \text{proof} \rangle$

61.9.1 Shifting bounds

lemma *sum-shift-bounds-nat-ivl*:

$\text{sum}\ f\ \{m+k..<n+k\} = \text{sum}\ (\%i. f\ (i + k))\ \{m..<n::\text{nat}\}$
 $\langle \text{proof} \rangle$

lemma *sum-shift-bounds-cl-nat-ivl*:

$\text{sum}\ f\ \{m+k..n+k\} = \text{sum}\ (\%i. f\ (i + k))\ \{m..n::\text{nat}\}$
 $\langle \text{proof} \rangle$

corollary *sum-shift-bounds-cl-Suc-ivl*:

$\text{sum}\ f\ \{\text{Suc}\ m..\text{Suc}\ n\} = \text{sum}\ (\%i. f\ (\text{Suc}\ i))\ \{m..n\}$
 $\langle \text{proof} \rangle$

corollary *sum-shift-bounds-Suc-ivl*:

$\text{sum}\ f\ \{\text{Suc}\ m..<\text{Suc}\ n\} = \text{sum}\ (\%i. f\ (\text{Suc}\ i))\ \{m..<n\}$
 $\langle \text{proof} \rangle$

lemma *sum-shift-lb-Suc0-0*:

$f\ (0::\text{nat}) = (0::\text{nat}) \implies \text{sum}\ f\ \{\text{Suc}\ 0..k\} = \text{sum}\ f\ \{0..k\}$
 $\langle \text{proof} \rangle$

lemma *sum-shift-lb-Suc0-0-upt*:

$f\ (0::\text{nat}) = 0 \implies \text{sum}\ f\ \{\text{Suc}\ 0..<k\} = \text{sum}\ f\ \{0..<k\}$
 $\langle \text{proof} \rangle$

lemma *sum-atMost-Suc-shift*:

fixes $f :: \text{nat} \Rightarrow 'a::\text{comm-monoid-add}$
shows $(\sum i \leq \text{Suc}\ n. f\ i) = f\ 0 + (\sum i \leq n. f\ (\text{Suc}\ i))$
 $\langle \text{proof} \rangle$

lemma *sum-lessThan-Suc-shift*:

$(\sum i < \text{Suc}\ n. f\ i) = f\ 0 + (\sum i < n. f\ (\text{Suc}\ i))$
 $\langle \text{proof} \rangle$

lemma *sum-atMost-shift*:

fixes $f :: \text{nat} \Rightarrow 'a::\text{comm-monoid-add}$

shows $(\sum i \leq n. f i) = f 0 + (\sum i < n. f (Suc i))$
 $\langle proof \rangle$

lemma *sum-last-plus*: **fixes** $n :: nat$ **shows** $m \leq n \implies (\sum i = m..n. f i) = f n + (\sum i = m..<n. f i)$
 $\langle proof \rangle$

lemma *sum-Suc-diff*:
fixes $f :: nat \Rightarrow 'a :: ab-group-add$
assumes $m \leq Suc n$
shows $(\sum i = m..n. f (Suc i) - f i) = f (Suc n) - f m$
 $\langle proof \rangle$

lemma *sum-Suc-diff'*:
fixes $f :: nat \Rightarrow 'a :: ab-group-add$
assumes $m \leq n$
shows $(\sum i = m..<n. f (Suc i) - f i) = f n - f m$
 $\langle proof \rangle$

lemma *nested-sum-swap*:
 $(\sum i = 0..n. (\sum j = 0..<i. a i j)) = (\sum j = 0..<n. \sum i = Suc j..n. a i j)$
 $\langle proof \rangle$

lemma *nested-sum-swap'*:
 $(\sum i \leq n. (\sum j < i. a i j)) = (\sum j < n. \sum i = Suc j..n. a i j)$
 $\langle proof \rangle$

lemma *sum-atLeast1-atMost-eq*:
 $sum f \{Suc 0..n\} = (\sum k < n. f (Suc k))$
 $\langle proof \rangle$

61.9.2 Telescoping

lemma *sum-telescope*:
fixes $f :: nat \Rightarrow 'a :: ab-group-add$
shows $sum (\lambda i. f i - f (Suc i)) \{.. i\} = f 0 - f (Suc i)$
 $\langle proof \rangle$

lemma *sum-telescope''*:
assumes $m \leq n$
shows $(\sum k \in \{Suc m..n\}. f k - f (k - 1)) = f n - (f m :: 'a :: ab-group-add)$
 $\langle proof \rangle$

lemma *sum-lessThan-telescope*:
 $(\sum n < m. f (Suc n) - f n :: 'a :: ab-group-add) = f m - f 0$
 $\langle proof \rangle$

lemma *sum-lessThan-telescope'*:
 $(\sum n < m. f n - f (Suc n) :: 'a :: ab-group-add) = f 0 - f m$

$\langle \text{proof} \rangle$

61.10 The formula for geometric sums

lemma *sum-power2*: $(\sum_{i=0..<k. (2::nat) \hat{i}}) = 2^k - 1$
 $\langle \text{proof} \rangle$

lemma *geometric-sum*:
assumes $x \neq 1$
shows $(\sum_{i < n. x \hat{i}}) = (x \hat{n} - 1) / (x - 1::'a::field)$
 $\langle \text{proof} \rangle$

lemma *diff-power-eq-sum*:
fixes $y :: 'a::\{comm-ring, monoid-mult\}$
shows

$$x \hat{(Suc\ n)} - y \hat{(Suc\ n)} =$$

$$(x - y) * (\sum_{p < Suc\ n. (x \hat{p}) * y \hat{(n - p)})}$$
 $\langle \text{proof} \rangle$

corollary *power-diff-sumr2*: — *COMPLEX-POLYFUN* in HOL Light
fixes $x :: 'a::\{comm-ring, monoid-mult\}$
shows $x \hat{n} - y \hat{n} = (x - y) * (\sum_{i < n. y \hat{(n - Suc\ i)} * x \hat{i})}$
 $\langle \text{proof} \rangle$

lemma *power-diff-1-eq*:
fixes $x :: 'a::\{comm-ring, monoid-mult\}$
shows $n \neq 0 \implies x \hat{n} - 1 = (x - 1) * (\sum_{i < n. (x \hat{i})}$
 $\langle \text{proof} \rangle$

lemma *one-diff-power-eq'*:
fixes $x :: 'a::\{comm-ring, monoid-mult\}$
shows $n \neq 0 \implies 1 - x \hat{n} = (1 - x) * (\sum_{i < n. x \hat{(n - Suc\ i)})}$
 $\langle \text{proof} \rangle$

lemma *one-diff-power-eq*:
fixes $x :: 'a::\{comm-ring, monoid-mult\}$
shows $n \neq 0 \implies 1 - x \hat{n} = (1 - x) * (\sum_{i < n. x \hat{i})}$
 $\langle \text{proof} \rangle$

lemma *sum-gp-basic*:
fixes $x :: 'a::\{comm-ring, monoid-mult\}$
shows $(1 - x) * (\sum_{i \leq n. x \hat{i}}) = 1 - x \hat{Suc\ n}$
 $\langle \text{proof} \rangle$

lemma *sum-power-shift*:
fixes $x :: 'a::\{comm-ring, monoid-mult\}$
assumes $m \leq n$
shows $(\sum_{i=m..n. x \hat{i}}) = x \hat{m} * (\sum_{i \leq n-m. x \hat{i})}$
 $\langle \text{proof} \rangle$

lemma *sum-gp-multiplied*:

fixes $x :: 'a :: \{\text{comm-ring}, \text{monoid-mult}\}$
assumes $m \leq n$
shows $(1 - x) * (\sum_{i=m..n}. x^i) = x^m - x^{\text{Suc } n}$
 $\langle \text{proof} \rangle$

lemma *sum-gp*:

fixes $x :: 'a :: \{\text{comm-ring}, \text{division-ring}\}$
shows $(\sum_{i=m..n}. x^i) =$
 $(\text{if } n < m \text{ then } 0$
 $\text{else if } x = 1 \text{ then of-nat}((n + 1) - m)$
 $\text{else } (x^m - x^{\text{Suc } n}) / (1 - x))$
 $\langle \text{proof} \rangle$

61.11 Geometric progressions

lemma *sum-gp0*:

fixes $x :: 'a :: \{\text{comm-ring}, \text{division-ring}\}$
shows $(\sum_{i \leq n}. x^i) = (\text{if } x = 1 \text{ then of-nat}(n + 1) \text{ else } (1 - x^{\text{Suc } n}) / (1 - x))$
 $\langle \text{proof} \rangle$

lemma *sum-power-add*:

fixes $x :: 'a :: \{\text{comm-ring}, \text{monoid-mult}\}$
shows $(\sum_{i \in I}. x^{(m+i)}) = x^m * (\sum_{i \in I}. x^i)$
 $\langle \text{proof} \rangle$

lemma *sum-gp-offset*:

fixes $x :: 'a :: \{\text{comm-ring}, \text{division-ring}\}$
shows $(\sum_{i=m..m+n}. x^i) =$
 $(\text{if } x = 1 \text{ then of-nat } n + 1 \text{ else } x^m * (1 - x^{\text{Suc } n}) / (1 - x))$
 $\langle \text{proof} \rangle$

lemma *sum-gp-strict*:

fixes $x :: 'a :: \{\text{comm-ring}, \text{division-ring}\}$
shows $(\sum_{i < n}. x^i) = (\text{if } x = 1 \text{ then of-nat } n \text{ else } (1 - x^n) / (1 - x))$
 $\langle \text{proof} \rangle$

61.11.1 The formula for arithmetic sums

lemma *gauss-sum*:

$(2 :: 'a :: \text{comm-semiring-1}) * (\sum_{i \in \{1..n\}}. \text{of-nat } i) = \text{of-nat } n * ((\text{of-nat } n) + 1)$
 $\langle \text{proof} \rangle$

theorem *arith-series-general*:

$(2 :: 'a :: \text{comm-semiring-1}) * (\sum_{i \in \{..<n\}}. a + \text{of-nat } i * d) =$
 $\text{of-nat } n * (a + (a + \text{of-nat}(n - 1) * d))$
 $\langle \text{proof} \rangle$

lemma *arith-series-nat*:

$(2 :: \text{nat}) * (\sum i \in \{..<n\}. a + i * d) = n * (a + (a + (n - 1) * d))$
 $\langle \text{proof} \rangle$

lemma *arith-series-int*:

$2 * (\sum i \in \{..<n\}. a + \text{int } i * d) = \text{int } n * (a + (a + \text{int}(n - 1) * d))$
 $\langle \text{proof} \rangle$

lemma *sum-diff-distrib*: $\forall x. Q \ x \leq P \ x \implies (\sum x < n. P \ x) - (\sum x < n. Q \ x) =$
 $(\sum x < n. P \ x - Q \ x :: \text{nat})$
 $\langle \text{proof} \rangle$

61.11.2 Division remainder

lemma *range-mod*:

fixes $n :: \text{nat}$
assumes $n > 0$
shows $\text{range } (\lambda m. m \bmod n) = \{0..<n\}$ (**is** $?A = ?B$)
 $\langle \text{proof} \rangle$

61.12 Products indexed over intervals

syntax (*ASCII*)

-from-to-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((PROD \ - = \dots / \ -) [0,0,0,10] \ 10)$
-from-upto-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((PROD \ - = \dots < \dots / \ -) [0,0,0,10] \ 10)$
-upt-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((PROD \ - < \dots / \ -) [0,0,10] \ 10)$
-upto-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((PROD \ - <= \dots / \ -) [0,0,10] \ 10)$

syntax (*latex-prod output*)

-from-to-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - = \dots / \ -) [0,0,0,10] \ 10)$
-from-upto-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - = \dots < \dots / \ -) [0,0,0,10] \ 10)$
-upt-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - < \dots / \ -) [0,0,10] \ 10)$
-upto-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - <= \dots / \ -) [0,0,10] \ 10)$

syntax

-from-to-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - = \dots / \ -) [0,0,0,10] \ 10)$
-from-upto-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - = \dots < \dots / \ -) [0,0,0,10] \ 10)$
-upt-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - < \dots / \ -) [0,0,10] \ 10)$
-upto-prod :: $\text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{P}\prod \ - <= \dots / \ -) [0,0,10] \ 10)$

translations

$\prod x = a..b. t \equiv \text{CONST } \text{prod } (\lambda x. t) \{a..b\}$
 $\prod x = a..<b. t \equiv \text{CONST } \text{prod } (\lambda x. t) \{a..<b\}$
 $\prod i \leq n. t \equiv \text{CONST } \text{prod } (\lambda i. t) \{..n\}$
 $\prod i < n. t \equiv \text{CONST } \text{prod } (\lambda i. t) \{..<n\}$

lemma *prod-int-plus-eq*: $\text{prod int } \{i..i+j\} = \prod \{\text{int } i..i+j\}$
 $\langle \text{proof} \rangle$

lemma *prod-int-eq*: $\text{prod int } \{i..j\} = \prod \{\text{int } i..i+j\}$
 $\langle \text{proof} \rangle$

61.12.1 Shifting bounds

lemma *prod-shift-bounds-nat-ivl*:
 $\text{prod } f \{m+k..n+k\} = \text{prod } (\%i. f(i+k))\{m..n::\text{nat}\}$
 $\langle \text{proof} \rangle$

lemma *prod-shift-bounds-cl-nat-ivl*:
 $\text{prod } f \{m+k..n+k\} = \text{prod } (\%i. f(i+k))\{m..n::\text{nat}\}$
 $\langle \text{proof} \rangle$

corollary *prod-shift-bounds-cl-Suc-ivl*:
 $\text{prod } f \{\text{Suc } m..\text{Suc } n\} = \text{prod } (\%i. f(\text{Suc } i))\{m..n\}$
 $\langle \text{proof} \rangle$

corollary *prod-shift-bounds-Suc-ivl*:
 $\text{prod } f \{\text{Suc } m..<\text{Suc } n\} = \text{prod } (\%i. f(\text{Suc } i))\{m..<n\}$
 $\langle \text{proof} \rangle$

lemma *prod-lessThan-Suc*: $\text{prod } f \{..<\text{Suc } n\} = \text{prod } f \{..<n\} * f n$
 $\langle \text{proof} \rangle$

lemma *prod-lessThan-Suc-shift*: $(\prod i<\text{Suc } n. f i) = f 0 * (\prod i<n. f (\text{Suc } i))$
 $\langle \text{proof} \rangle$

lemma *prod-atLeastLessThan-Suc*: $a \leq b \implies \text{prod } f \{a..<\text{Suc } b\} = \text{prod } f \{a..<b\} * f b$
 $\langle \text{proof} \rangle$

lemma *prod-nat-ivl-Suc'*:
assumes $m \leq \text{Suc } n$
shows $\text{prod } f \{m..\text{Suc } n\} = f (\text{Suc } n) * \text{prod } f \{m..n\}$
 $\langle \text{proof} \rangle$

61.13 Efficient folding over intervals

function *fold-atLeastAtMost-nat* **where**
 $[\text{simp del}]: \text{fold-atLeastAtMost-nat } f a (b::\text{nat}) \text{ acc} =$
 $(\text{if } a > b \text{ then acc else fold-atLeastAtMost-nat } f (a+1) b (f a \text{ acc}))$
 $\langle \text{proof} \rangle$

termination $\langle \text{proof} \rangle$

lemma *fold-atLeastAtMost-nat*:
assumes *comp-fun-commute* f

shows $\text{fold-atLeastAtMost-nat } f \ a \ b \ acc = \text{Finite-Set.fold } f \ acc \ \{a..b\}$
 $\langle \text{proof} \rangle$

lemma *sum-atLeastAtMost-code*:
 $\text{sum } f \ \{a..b\} = \text{fold-atLeastAtMost-nat } (\lambda a \ acc. f \ a + acc) \ a \ b \ 0$
 $\langle \text{proof} \rangle$

lemma *prod-atLeastAtMost-code*:
 $\text{prod } f \ \{a..b\} = \text{fold-atLeastAtMost-nat } (\lambda a \ acc. f \ a * acc) \ a \ b \ 1$
 $\langle \text{proof} \rangle$

61.14 Transfer setup

lemma *transfer-nat-int-set-functions*:
 $\{..n\} = \text{nat} \text{ ‘ } \{0..int \ n\}$
 $\{m..n\} = \text{nat} \text{ ‘ } \{int \ m..int \ n\}$
 $\langle \text{proof} \rangle$

lemma *transfer-nat-int-set-function-closures*:
 $x \geq 0 \implies \text{nat-set } \{x..y\}$
 $\langle \text{proof} \rangle$

declare *transfer-morphism-nat-int*[*transfer add*
return: transfer-nat-int-set-functions
transfer-nat-int-set-function-closures
 $\]$

lemma *transfer-int-nat-set-functions*:
 $\text{is-nat } m \implies \text{is-nat } n \implies \{m..n\} = \text{int} \text{ ‘ } \{\text{nat } m..\text{nat } n\}$
 $\langle \text{proof} \rangle$

lemma *transfer-int-nat-set-function-closures*:
 $\text{is-nat } x \implies \text{nat-set } \{x..y\}$
 $\langle \text{proof} \rangle$

declare *transfer-morphism-int-nat*[*transfer add*
return: transfer-int-nat-set-functions
transfer-int-nat-set-function-closures
 $\]$

end

62 Decision Procedure for Presburger Arithmetic

theory *Presburger*
imports *Groebner-Basis Set-Interval*
keywords *try0 :: diag*
begin

$\langle ML \rangle$

62.1 The $-\infty$ and $+\infty$ Properties

lemma minf:

$$\begin{aligned} & \llbracket \exists (z :: 'a::linorder). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket \\ & \implies \exists z. \forall x < z. (P\ x \wedge Q\ x) = (P'\ x \wedge Q'\ x) \\ & \llbracket \exists (z :: 'a::linorder). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket \\ & \implies \exists z. \forall x < z. (P\ x \vee Q\ x) = (P'\ x \vee Q'\ x) \\ & \exists (z :: 'a::\{linorder\}). \forall x < z. (x = t) = False \\ & \exists (z :: 'a::\{linorder\}). \forall x < z. (x \neq t) = True \\ & \exists (z :: 'a::\{linorder\}). \forall x < z. (x < t) = True \\ & \exists (z :: 'a::\{linorder\}). \forall x < z. (x \leq t) = True \\ & \exists (z :: 'a::\{linorder\}). \forall x < z. (x > t) = False \\ & \exists (z :: 'a::\{linorder\}). \forall x < z. (x \geq t) = False \\ & \exists z. \forall (x :: 'b::\{linorder, plus, Rings.dvd\}). x < z. (d\ dvd\ x + s) = (d\ dvd\ x + s) \\ & \exists z. \forall (x :: 'b::\{linorder, plus, Rings.dvd\}). x < z. (\neg d\ dvd\ x + s) = (\neg d\ dvd\ x + s) \\ & \exists z. \forall x < z. F = F \\ & \langle proof \rangle \end{aligned}$$

lemma pinf:

$$\begin{aligned} & \llbracket \exists (z :: 'a::linorder). \forall x > z. P\ x = P'\ x; \exists z. \forall x > z. Q\ x = Q'\ x \rrbracket \\ & \implies \exists z. \forall x > z. (P\ x \wedge Q\ x) = (P'\ x \wedge Q'\ x) \\ & \llbracket \exists (z :: 'a::linorder). \forall x > z. P\ x = P'\ x; \exists z. \forall x > z. Q\ x = Q'\ x \rrbracket \\ & \implies \exists z. \forall x > z. (P\ x \vee Q\ x) = (P'\ x \vee Q'\ x) \\ & \exists (z :: 'a::\{linorder\}). \forall x > z. (x = t) = False \\ & \exists (z :: 'a::\{linorder\}). \forall x > z. (x \neq t) = True \\ & \exists (z :: 'a::\{linorder\}). \forall x > z. (x < t) = False \\ & \exists (z :: 'a::\{linorder\}). \forall x > z. (x \leq t) = False \\ & \exists (z :: 'a::\{linorder\}). \forall x > z. (x > t) = True \\ & \exists (z :: 'a::\{linorder\}). \forall x > z. (x \geq t) = True \\ & \exists z. \forall (x :: 'b::\{linorder, plus, Rings.dvd\}). x > z. (d\ dvd\ x + s) = (d\ dvd\ x + s) \\ & \exists z. \forall (x :: 'b::\{linorder, plus, Rings.dvd\}). x > z. (\neg d\ dvd\ x + s) = (\neg d\ dvd\ x + s) \\ & \exists z. \forall x > z. F = F \\ & \langle proof \rangle \end{aligned}$$

lemma inf-period:

$$\begin{aligned} & \llbracket \forall x\ k. P\ x = P\ (x - k*D); \forall x\ k. Q\ x = Q\ (x - k*D) \rrbracket \\ & \implies \forall x\ k. (P\ x \wedge Q\ x) = (P\ (x - k*D) \wedge Q\ (x - k*D)) \\ & \llbracket \forall x\ k. P\ x = P\ (x - k*D); \forall x\ k. Q\ x = Q\ (x - k*D) \rrbracket \\ & \implies \forall x\ k. (P\ x \vee Q\ x) = (P\ (x - k*D) \vee Q\ (x - k*D)) \\ & (d :: 'a::\{comm-ring, Rings.dvd\})\ dvd\ D \implies \forall x\ k. (d\ dvd\ x + t) = (d\ dvd\ (x - k*D) + t) \\ & (d :: 'a::\{comm-ring, Rings.dvd\})\ dvd\ D \implies \forall x\ k. (\neg d\ dvd\ x + t) = (\neg d\ dvd\ (x - k*D) + t) \\ & \forall x\ k. F = F \\ & \langle proof \rangle \end{aligned}$$

62.2 The A and B sets

lemma bset:

$$\begin{aligned}
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow P x \longrightarrow P(x - D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow Q x \longrightarrow Q(x - D) \rrbracket \Longrightarrow \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (P x \wedge Q x) \longrightarrow (P(x - D) \wedge Q(x - D)) \\
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow P x \longrightarrow P(x - D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow Q x \longrightarrow Q(x - D) \rrbracket \Longrightarrow \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (P x \vee Q x) \longrightarrow (P(x - D) \vee Q(x - D)) \\
& \llbracket D > 0 ; t - 1 \in B \rrbracket \Longrightarrow (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x = t) \longrightarrow (x - D = t)) \\
& \llbracket D > 0 ; t \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \neq t) \longrightarrow (x - D \neq t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x < t) \longrightarrow (x - D < t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \leq t) \longrightarrow (x - D \leq t)) \\
& \llbracket D > 0 ; t \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x > t) \longrightarrow (x - D > t)) \\
& \llbracket D > 0 ; t - 1 \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \geq t) \longrightarrow (x - D \geq t)) \\
& d \text{ dvd } D \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x - D) + t)) \\
& d \text{ dvd } D \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x - D) + t)) \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow F \longrightarrow F \\
& \langle \text{proof} \rangle
\end{aligned}$$

lemma aset:

$$\begin{aligned}
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P x \longrightarrow P(x + D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q x \longrightarrow Q(x + D) \rrbracket \Longrightarrow \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P x \wedge Q x) \longrightarrow (P(x + D) \wedge Q(x + D)) \\
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P x \longrightarrow P(x + D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q x \longrightarrow Q(x + D) \rrbracket \Longrightarrow \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P x \vee Q x) \longrightarrow (P(x + D) \vee Q(x + D)) \\
& \llbracket D > 0 ; t + 1 \in A \rrbracket \Longrightarrow (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x = t) \longrightarrow (x + D = t)) \\
& \llbracket D > 0 ; t \in A \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \neq t) \longrightarrow (x + D \neq t)) \\
& \llbracket D > 0 ; t \in A \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x < t) \longrightarrow (x + D < t)) \\
& \llbracket D > 0 ; t + 1 \in A \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \leq t) \longrightarrow (x + D \leq t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x > t) \longrightarrow (x + D > t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \geq t) \longrightarrow (x + D \geq t))
\end{aligned}$$

$t))$
 $d \text{ dvd } D \implies (\forall (x::int). (\forall j \in \{1 .. D\}. \forall b \in A. x \neq b - j) \longrightarrow (d \text{ dvd } x+t) \longrightarrow (d \text{ dvd } (x + D) + t))$
 $d \text{ dvd } D \implies (\forall (x::int). (\forall j \in \{1 .. D\}. \forall b \in A. x \neq b - j) \longrightarrow (\neg d \text{ dvd } x+t) \longrightarrow (\neg d \text{ dvd } (x + D) + t))$
 $\forall x. (\forall j \in \{1 .. D\}. \forall b \in A. x \neq b - j) \longrightarrow F \longrightarrow F$
 $\langle \text{proof} \rangle$

62.3 Cooper’s Theorem $-\infty$ and $+\infty$ Version

62.3.1 First some trivial facts about periodic sets or predicates

lemma *periodic-finite-ex*:

assumes *dpos*: $(0::int) < d$ **and** *modd*: $ALL\ x\ k. P\ x = P(x - k*d)$

shows $(EX\ x. P\ x) = (EX\ j : \{1..d\}. P\ j)$

(**is** *?LHS* = *?RHS*)

$\langle \text{proof} \rangle$

62.3.2 The $-\infty$ Version

lemma *decr-lemma*: $0 < (d::int) \implies x - (|x - z| + 1) * d < z$

$\langle \text{proof} \rangle$

lemma *incr-lemma*: $0 < (d::int) \implies z < x + (|x - z| + 1) * d$

$\langle \text{proof} \rangle$

lemma *decr-mult-lemma*:

assumes *dpos*: $(0::int) < d$ **and** *minus*: $\forall x. P\ x \longrightarrow P(x - d)$ **and** *knneg*: $0 \leq k$

shows $ALL\ x. P\ x \longrightarrow P(x - k*d)$

$\langle \text{proof} \rangle$

lemma *minusinfinity*:

assumes *dpos*: $0 < d$ **and**

P1eqP1: $ALL\ x\ k. P1\ x = P1(x - k*d)$ **and** *ePeqP1*: $EX\ z::int. ALL\ x. x < z \longrightarrow (P\ x = P1\ x)$

shows $(EX\ x. P1\ x) \longrightarrow (EX\ x. P\ x)$

$\langle \text{proof} \rangle$

lemma *cpmi*:

assumes *dp*: $0 < D$ **and** *p1*: $\exists z. \forall x < z. P\ x = P'\ x$

and *nb*: $\forall x. (\forall j \in \{1..D\}. \forall (b::int) \in B. x \neq b+j) \longrightarrow P\ (x) \longrightarrow P\ (x - D)$

and *pd*: $\forall x\ k. P'\ x = P'\ (x - k*D)$

shows $(\exists x. P\ x) = ((\exists j \in \{1..D\}. P'\ j) \mid (\exists j \in \{1..D\}. \exists b \in B. P\ (b+j)))$

(**is** *?L* = (*?R1* \vee *?R2*))

$\langle \text{proof} \rangle$

62.3.3 The $+\infty$ Version**lemma** *plusinfinity*:assumes $dpos: (0::int) < d$ and $P1eqP1: \forall x k. P' x = P'(x - k*d)$ and $ePeqP1: \exists z. \forall x > z. P x = P' x$ shows $(\exists x. P' x) \longrightarrow (\exists x. P x)$ $\langle proof \rangle$ **lemma** *incr-mult-lemma*:assumes $dpos: (0::int) < d$ and $plus: ALL x::int. P x \longrightarrow P(x + d)$ and $kneg:$ $0 \leq k$ shows $ALL x. P x \longrightarrow P(x + k*d)$ $\langle proof \rangle$ **lemma** *cpai*:assumes $dp: 0 < D$ and $p1: \exists z. \forall x > z. P x = P' x$ and $nb: \forall x. (\forall j \in \{1..D\}. \forall (b::int) \in A. x \neq b - j) \longrightarrow P(x) \longrightarrow P(x + D)$ and $pd: \forall x k. P' x = P'(x - k*D)$ shows $(\exists x. P x) = ((\exists j \in \{1..D\}. P' j) \mid (\exists j \in \{1..D\}. \exists b \in A. P(b - j)))$ $(is \ ?L = (?R1 \vee ?R2))$ $\langle proof \rangle$ **lemma** *simp-from-to*: $\{i..j::int\} = (if\ j < i\ then\ \{\}\ else\ insert\ i\ \{i+1..j\})$ $\langle proof \rangle$ **theorem** *unity-coeff-ex*: $(\exists (x::'a::\{semiring-0, Rings.dvd\}). P(l * x)) \equiv (\exists x. l\ dvd\ (x + 0) \wedge P x)$ $\langle proof \rangle$ **lemma** *zdvd-mono*:fixes $k\ m\ t :: int$ assumes $k \neq 0$ shows $m\ dvd\ t \equiv k * m\ dvd\ k * t$ $\langle proof \rangle$ **lemma** *uminus-dvd-conv*:fixes $d\ t :: int$ shows $d\ dvd\ t \equiv -\ d\ dvd\ t$ and $d\ dvd\ t \equiv d\ dvd\ -\ t$ $\langle proof \rangle$ Theorems for transforming predicates on *nat* to predicates on *int***lemma** *zdiff-int-split*: $P(int\ (x - y)) =$ $((y \leq x \longrightarrow P(int\ x - int\ y)) \wedge (x < y \longrightarrow P\ 0))$ $\langle proof \rangle$

Specific instances of congruence rules, to prevent simplifier from looping.

theorem *imp-le-cong*:

$$\llbracket x = x'; 0 \leq x' \implies P = P' \rrbracket \implies (0 \leq (x::int) \longrightarrow P) = (0 \leq x' \longrightarrow P')$$

<proof>

theorem *conj-le-cong*:

$$\llbracket x = x'; 0 \leq x' \implies P = P' \rrbracket \implies (0 \leq (x::int) \wedge P) = (0 \leq x' \wedge P')$$

<proof>

<ML>

declare *mod-eq-0-iff-dvd* [*presburger*]
declare *mod-by-Suc-0* [*presburger*]
declare *mod-0* [*presburger*]
declare *mod-by-1* [*presburger*]
declare *mod-self* [*presburger*]
declare *div-by-0* [*presburger*]
declare *mod-by-0* [*presburger*]
declare *mod-div-trivial* [*presburger*]
declare *mult-div-mod-eq* [*presburger*]
declare *div-mult-mod-eq* [*presburger*]
declare *mod-mult-self1* [*presburger*]
declare *mod-mult-self2* [*presburger*]
declare *mod2-Suc-Suc* [*presburger*]
declare *not-mod-2-eq-0-eq-1* [*presburger*]
declare *nat-zero-less-power-iff* [*presburger*]

lemma [*presburger, algebra*]: $m \bmod 2 = (1::nat) \longleftrightarrow \neg 2 \text{ dvd } m$ *<proof>*
lemma [*presburger, algebra*]: $m \bmod 2 = \text{Suc } 0 \longleftrightarrow \neg 2 \text{ dvd } m$ *<proof>*
lemma [*presburger, algebra*]: $m \bmod (\text{Suc } (\text{Suc } 0)) = (1::nat) \longleftrightarrow \neg 2 \text{ dvd } m$ *<proof>*
lemma [*presburger, algebra*]: $m \bmod (\text{Suc } (\text{Suc } 0)) = \text{Suc } 0 \longleftrightarrow \neg 2 \text{ dvd } m$ *<proof>*
lemma [*presburger, algebra*]: $m \bmod 2 = (1::int) \longleftrightarrow \neg 2 \text{ dvd } m$ *<proof>*

context *semiring-parity*
begin

declare *even-times-iff* [*presburger*]

declare *even-power* [*presburger*]

lemma [*presburger*]:
 $\text{even } (a + b) \longleftrightarrow \text{even } a \wedge \text{even } b \vee \text{odd } a \wedge \text{odd } b$
<proof>

end

context *ring-parity*
begin

```

declare even-minus [presburger]

end

context linordered-idom
begin

declare zero-le-power-eq [presburger]

declare zero-less-power-eq [presburger]

declare power-less-zero-eq [presburger]

declare power-le-zero-eq [presburger]

end

declare even-Suc [presburger]

lemma [presburger]:
  Suc n div Suc (Suc 0) = n div Suc (Suc 0)  $\longleftrightarrow$  even n
  <proof>

declare even-diff-nat [presburger]

lemma [presburger]:
  fixes k :: int
  shows (k + 1) div 2 = k div 2  $\longleftrightarrow$  even k
  <proof>

lemma [presburger]:
  fixes k :: int
  shows (k + 1) div 2 = k div 2 + 1  $\longleftrightarrow$  odd k
  <proof>

lemma [presburger]:
  even n  $\longleftrightarrow$  even (int n)
  <proof>

```

62.4 Nice facts about division by $4 :: 'a$

```

lemma even-even-mod-4-iff:
  even (n::nat)  $\longleftrightarrow$  even (n mod 4)
  <proof>

lemma odd-mod-4-div-2:
  n mod 4 = (3::nat)  $\implies$  odd ((n - 1) div 2)
  <proof>

```

lemma *even-mod-4-div-2*:

$n \bmod 4 = (1::nat) \implies \text{even } ((n - 1) \text{ div } 2)$

$\langle \text{proof} \rangle$

62.5 Try0

$\langle ML \rangle$

end

63 Bindings to Satisfiability Modulo Theories (SMT) solvers based on SMT-LIB 2

theory *SMT*

imports *Divides*

keywords *smt-status :: diag*

begin

63.1 A skolemization tactic and proof method

lemma *choices*:

$\bigwedge Q. \forall x. \exists y \text{ ya}. Q \ x \ y \text{ ya} \implies \exists f \text{ fa}. \forall x. Q \ x \ (f \ x) \ (fa \ x)$

$\bigwedge Q. \forall x. \exists y \text{ ya yb}. Q \ x \ y \text{ ya yb} \implies \exists f \text{ fa fb}. \forall x. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x)$

$\bigwedge Q. \forall x. \exists y \text{ ya yb yc}. Q \ x \ y \text{ ya yb yc} \implies \exists f \text{ fa fb fc}. \forall x. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x)$

$\bigwedge Q. \forall x. \exists y \text{ ya yb yc yd}. Q \ x \ y \text{ ya yb yc yd} \implies$
 $\exists f \text{ fa fb fc fd}. \forall x. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x) \ (fd \ x)$

$\bigwedge Q. \forall x. \exists y \text{ ya yb yc yd ye}. Q \ x \ y \text{ ya yb yc yd ye} \implies$
 $\exists f \text{ fa fb fc fd fe}. \forall x. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x) \ (fd \ x) \ (fe \ x)$

$\bigwedge Q. \forall x. \exists y \text{ ya yb yc yd ye yf}. Q \ x \ y \text{ ya yb yc yd ye yf} \implies$
 $\exists f \text{ fa fb fc fd fe ff}. \forall x. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x) \ (fd \ x) \ (fe \ x) \ (ff \ x)$

$\bigwedge Q. \forall x. \exists y \text{ ya yb yc yd ye yf yg}. Q \ x \ y \text{ ya yb yc yd ye yf yg} \implies$
 $\exists f \text{ fa fb fc fd fe ff fg}. \forall x. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x) \ (fd \ x) \ (fe \ x) \ (ff \ x) \ (fg \ x)$

$\langle \text{proof} \rangle$

lemma *bchoices*:

$\bigwedge Q. \forall x \in S. \exists y \text{ ya}. Q \ x \ y \text{ ya} \implies \exists f \text{ fa}. \forall x \in S. Q \ x \ (f \ x) \ (fa \ x)$

$\bigwedge Q. \forall x \in S. \exists y \text{ ya yb}. Q \ x \ y \text{ ya yb} \implies \exists f \text{ fa fb}. \forall x \in S. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x)$

$\bigwedge Q. \forall x \in S. \exists y \text{ ya yb yc}. Q \ x \ y \text{ ya yb yc} \implies \exists f \text{ fa fb fc}. \forall x \in S. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x)$

$\bigwedge Q. \forall x \in S. \exists y \text{ ya yb yc yd}. Q \ x \ y \text{ ya yb yc yd} \implies$
 $\exists f \text{ fa fb fc fd}. \forall x \in S. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x) \ (fd \ x)$

$\bigwedge Q. \forall x \in S. \exists y \text{ ya yb yc yd ye}. Q \ x \ y \text{ ya yb yc yd ye} \implies$
 $\exists f \text{ fa fb fc fd fe}. \forall x \in S. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x) \ (fd \ x) \ (fe \ x)$

$\bigwedge Q. \forall x \in S. \exists y \text{ ya yb yc yd ye yf}. Q \ x \ y \text{ ya yb yc yd ye yf} \implies$
 $\exists f \text{ fa fb fc fd fe ff}. \forall x \in S. Q \ x \ (f \ x) \ (fa \ x) \ (fb \ x) \ (fc \ x) \ (fd \ x) \ (fe \ x) \ (ff \ x)$

$\bigwedge Q. \forall x \in S. \exists y \text{ ya yb yc yd ye yf yg}. Q \ x \ y \text{ ya yb yc yd ye yf yg} \implies$

```

     $\exists f\ fa\ fb\ fc\ fd\ fe\ ff\ fg. \forall x \in S. Q\ x\ (f\ x)\ (fa\ x)\ (fb\ x)\ (fc\ x)\ (fd\ x)\ (fe\ x)\ (ff\ x)\ (fg\ x)$ 
     $\langle proof \rangle$ 

     $\langle ML \rangle$ 

```

hide-fact (**open**) *choices bchoices*

63.2 Triggers for quantifier instantiation

Some SMT solvers support patterns as a quantifier instantiation heuristics. Patterns may either be positive terms (tagged by "pat") triggering quantifier instantiations – when the solver finds a term matching a positive pattern, it instantiates the corresponding quantifier accordingly – or negative terms (tagged by "nopat") inhibiting quantifier instantiations. A list of patterns of the same kind is called a multipattern, and all patterns in a multipattern are considered conjunctively for quantifier instantiation. A list of multipatterns is called a trigger, and their multipatterns act disjunctively during quantifier instantiation. Each multipattern should mention at least all quantified variables of the preceding quantifier block.

typedecl *'a symb-list*

consts

```

    Symb-Nil :: 'a symb-list
    Symb-Cons :: 'a  $\Rightarrow$  'a symb-list  $\Rightarrow$  'a symb-list

```

typedecl *pattern*

consts

```

    pat :: 'a  $\Rightarrow$  pattern
    nopat :: 'a  $\Rightarrow$  pattern

```

definition *trigger* :: *pattern symb-list symb-list* \Rightarrow *bool* \Rightarrow *bool* **where**
trigger - *P* = *P*

63.3 Higher-order encoding

Application is made explicit for constants occurring with varying numbers of arguments. This is achieved by the introduction of the following constant.

definition *fun-app* :: *'a* \Rightarrow *'a* **where** *fun-app* *f* = *f*

Some solvers support a theory of arrays which can be used to encode higher-order functions. The following set of lemmas specifies the properties of such (extensional) arrays.

lemmas *array-rules* = *ext fun-upd-apply fun-upd-same fun-upd-other fun-upd-upd fun-app-def*

63.4 Normalization

lemma *case-bool-if*[*abs-def*]: *case-bool* *x y P* = (*if P then x else y*)
 ⟨*proof*⟩

lemmas *Ex1-def-raw* = *Ex1-def*[*abs-def*]

lemmas *Ball-def-raw* = *Ball-def*[*abs-def*]

lemmas *Bex-def-raw* = *Bex-def*[*abs-def*]

lemmas *abs-if-raw* = *abs-if*[*abs-def*]

lemmas *min-def-raw* = *min-def*[*abs-def*]

lemmas *max-def-raw* = *max-def*[*abs-def*]

lemma *nat-int'*: $\forall n. \text{nat } (\text{int } n) = n$ ⟨*proof*⟩

lemma *int-nat-nneg*: $\forall i. i \geq 0 \longrightarrow \text{int } (\text{nat } i) = i$ ⟨*proof*⟩

lemma *int-nat-neg*: $\forall i. i < 0 \longrightarrow \text{int } (\text{nat } i) = 0$ ⟨*proof*⟩

lemmas *nat-zero-as-int* = *transfer-nat-int-numerals*(1)

lemmas *nat-one-as-int* = *transfer-nat-int-numerals*(2)

lemma *nat-numeral-as-int*: *numeral* = ($\lambda i. \text{nat } (\text{numeral } i)$) ⟨*proof*⟩

lemma *nat-less-as-int*: *op* < = ($\lambda a b. \text{int } a < \text{int } b$) ⟨*proof*⟩

lemma *nat-leq-as-int*: *op* ≤ = ($\lambda a b. \text{int } a \leq \text{int } b$) ⟨*proof*⟩

lemma *Suc-as-int*: *Suc* = ($\lambda a. \text{nat } (\text{int } a + 1)$) ⟨*proof*⟩

lemma *nat-plus-as-int*: *op* + = ($\lambda a b. \text{nat } (\text{int } a + \text{int } b)$) ⟨*proof*⟩

lemma *nat-minus-as-int*: *op* − = ($\lambda a b. \text{nat } (\text{int } a - \text{int } b)$) ⟨*proof*⟩

lemma *nat-times-as-int*: *op* * = ($\lambda a b. \text{nat } (\text{int } a * \text{int } b)$) ⟨*proof*⟩

lemma *nat-div-as-int*: *op* div = ($\lambda a b. \text{nat } (\text{int } a \text{ div } \text{int } b)$) ⟨*proof*⟩

lemma *nat-mod-as-int*: *op* mod = ($\lambda a b. \text{nat } (\text{int } a \text{ mod } \text{int } b)$) ⟨*proof*⟩

lemma *int-Suc*: *int* (*Suc* *n*) = *int* *n* + 1 ⟨*proof*⟩

lemma *int-plus*: *int* (*n* + *m*) = *int* *n* + *int* *m* ⟨*proof*⟩

lemma *int-minus*: *int* (*n* − *m*) = *int* (*nat* (*int* *n* − *int* *m*)) ⟨*proof*⟩

63.5 Integer division and modulo for Z3

The following Z3-inspired definitions are overspecified for the case where $l = 0$. This Schönheitsfehler is corrected in the *div-as-z3div* and *mod-as-z3mod* theorems.

definition *z3div* :: *int* \Rightarrow *int* \Rightarrow *int* **where**

z3div *k l* = (*if* $l \geq 0$ *then* *k* div *l* *else* − (*k* div − *l*))

definition *z3mod* :: *int* \Rightarrow *int* \Rightarrow *int* **where**

z3mod *k l* = *k* mod (*if* $l \geq 0$ *then* *l* *else* − *l*)

lemma *div-as-z3div*:

$\forall k l. k \text{ div } l = (\text{if } l = 0 \text{ then } 0 \text{ else if } l > 0 \text{ then } z3div \ k \ l \text{ else } z3div \ (-k) \ (-l))$
 ⟨*proof*⟩

lemma *mod-as-z3mod*:

$\forall k l. k \text{ mod } l = (\text{if } l = 0 \text{ then } k \text{ else if } l > 0 \text{ then } z3mod \ k \ l \text{ else } -z3mod \ (-k) \ (-l))$

($- l$)
 $\langle proof \rangle$

63.6 Setup

$\langle ML \rangle$

63.7 Configuration

The current configuration can be printed by the command *smt-status*, which shows the values of most options.

63.8 General configuration options

The option *smt-solver* can be used to change the target SMT solver. The possible values can be obtained from the *smt-status* command.

declare $[[smt-solver = z3]]$

Since SMT solvers are potentially nonterminating, there is a timeout (given in seconds) to restrict their runtime.

declare $[[smt-timeout = 20]]$

SMT solvers apply randomized heuristics. In case a problem is not solvable by an SMT solver, changing the following option might help.

declare $[[smt-random-seed = 1]]$

In general, the binding to SMT solvers runs as an oracle, i.e., the SMT solvers are fully trusted without additional checks. The following option can cause the SMT solver to run in proof-producing mode, giving a checkable certificate. This is currently only implemented for Z3.

declare $[[smt-oracle = false]]$

Each SMT solver provides several commandline options to tweak its behaviour. They can be passed to the solver by setting the following options.

declare $[[cvc3-options =]]$

declare $[[cvc4-options = --full-saturate-quant --inst-when=full-last-call --inst-no-entail --term-db-mode=relevant --multi-trigger-linear]]$

declare $[[verit-options = --index-sorts --index-fresh-sorts]]$

declare $[[z3-options =]]$

The SMT method provides an inference mechanism to detect simple triggers in quantified formulas, which might increase the number of problems solvable by SMT solvers (note: triggers guide quantifier instantiations in the SMT solver). To turn it on, set the following option.

declare $[[smt-infer-triggers = false]]$

Enable the following option to use built-in support for datatypes, codatatypes, and records in CVC4. Currently, this is implemented only in oracle mode.

declare `[[cvc4-extensions = false]]`

Enable the following option to use built-in support for div/mod, datatypes, and records in Z3. Currently, this is implemented only in oracle mode.

declare `[[z3-extensions = false]]`

63.9 Certificates

By setting the option *smt-certificates* to the name of a file, all following applications of an SMT solver are cached in that file. Any further application of the same SMT solver (using the very same configuration) re-uses the cached certificate instead of invoking the solver. An empty string disables caching certificates.

The filename should be given as an explicit path. It is good practice to use the name of the current theory (with ending *.certs* instead of *.thy*) as the certificates file. Certificate files should be used at most once in a certain theory context, to avoid race conditions with other concurrent accesses.

declare `[[smt-certificates =]]`

The option *smt-read-only-certificates* controls whether only stored certificates should be used or invocation of an SMT solver is allowed. When set to *true*, no SMT solver will ever be invoked and only the existing certificates found in the configured cache are used; when set to *false* and there is no cached certificate for some proposition, then the configured SMT solver is invoked.

declare `[[smt-read-only-certificates = false]]`

63.10 Tracing

The SMT method, when applied, traces important information. To make it entirely silent, set the following option to *false*.

declare `[[smt-verbose = true]]`

For tracing the generated problem file given to the SMT solver as well as the returned result of the solver, the option *smt-trace* should be set to *true*.

declare `[[smt-trace = false]]`

63.11 Schematic rules for Z3 proof reconstruction

Several proof rules of Z3 are not very well documented. There are two lemma groups which can turn failing Z3 proof reconstruction attempts into succeeding ones: the facts in *z3-rule* are tried prior to any implemented reconstruction procedure for all uncertain Z3 proof rules; the facts in *z3-simp* are

only fed to invocations of the simplifier when reconstructing theory-specific proof steps.

lemmas [z3-rule] =

*refl eq-commute conj-commute disj-commute simp-thms nnf-simps
ring-distrib field-simps times-divide-eq-right times-divide-eq-left
if-True if-False not-not
NO-MATCH-def*

lemma [z3-rule]:

$(P \wedge Q) = (\neg (\neg P \vee \neg Q))$
 $(P \wedge Q) = (\neg (\neg Q \vee \neg P))$
 $(\neg P \wedge Q) = (\neg (P \vee \neg Q))$
 $(\neg P \wedge Q) = (\neg (\neg Q \vee P))$
 $(P \wedge \neg Q) = (\neg (\neg P \vee Q))$
 $(P \wedge \neg Q) = (\neg (Q \vee \neg P))$
 $(\neg P \wedge \neg Q) = (\neg (P \vee Q))$
 $(\neg P \wedge \neg Q) = (\neg (Q \vee P))$
 $\langle proof \rangle$

lemma [z3-rule]:

$(P \longrightarrow Q) = (Q \vee \neg P)$
 $(\neg P \longrightarrow Q) = (P \vee Q)$
 $(\neg P \longrightarrow Q) = (Q \vee P)$
 $(True \longrightarrow P) = P$
 $(P \longrightarrow True) = True$
 $(False \longrightarrow P) = True$
 $(P \longrightarrow P) = True$
 $(\neg (A \longleftrightarrow \neg B)) \longleftrightarrow (A \longleftrightarrow B)$
 $\langle proof \rangle$

lemma [z3-rule]:

$((P = Q) \longrightarrow R) = (R \mid (Q = (\neg P)))$
 $\langle proof \rangle$

lemma [z3-rule]:

$(\neg True) = False$
 $(\neg False) = True$
 $(x = x) = True$
 $(P = True) = P$
 $(True = P) = P$
 $(P = False) = (\neg P)$
 $(False = P) = (\neg P)$
 $((\neg P) = P) = False$
 $(P = (\neg P)) = False$
 $((\neg P) = (\neg Q)) = (P = Q)$
 $\neg (P = (\neg Q)) = (P = Q)$
 $\neg ((\neg P) = Q) = (P = Q)$
 $(P \neq Q) = (Q = (\neg P))$
 $(P = Q) = ((\neg P \vee Q) \wedge (P \vee \neg Q))$

$$(P \neq Q) = ((\neg P \vee \neg Q) \wedge (P \vee Q))$$

<proof>

lemma [z3-rule]:

$$\begin{aligned} &(\text{if } P \text{ then } P \text{ else } \neg P) = \text{True} \\ &(\text{if } \neg P \text{ then } \neg P \text{ else } P) = \text{True} \\ &(\text{if } P \text{ then } \text{True} \text{ else } \text{False}) = P \\ &(\text{if } P \text{ then } \text{False} \text{ else } \text{True}) = (\neg P) \\ &(\text{if } P \text{ then } Q \text{ else } \text{True}) = ((\neg P) \vee Q) \\ &(\text{if } P \text{ then } Q \text{ else } \text{True}) = (Q \vee (\neg P)) \\ &(\text{if } P \text{ then } Q \text{ else } \neg Q) = (P = Q) \\ &(\text{if } P \text{ then } Q \text{ else } \neg Q) = (Q = P) \\ &(\text{if } P \text{ then } \neg Q \text{ else } Q) = (P = (\neg Q)) \\ &(\text{if } P \text{ then } \neg Q \text{ else } Q) = ((\neg Q) = P) \\ &(\text{if } \neg P \text{ then } x \text{ else } y) = (\text{if } P \text{ then } y \text{ else } x) \\ &(\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } x) = (\text{if } P \wedge (\neg Q) \text{ then } y \text{ else } x) \\ &(\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } x) = (\text{if } (\neg Q) \wedge P \text{ then } y \text{ else } x) \\ &(\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } y) = (\text{if } P \wedge Q \text{ then } x \text{ else } y) \\ &(\text{if } P \text{ then } (\text{if } Q \text{ then } x \text{ else } y) \text{ else } y) = (\text{if } Q \wedge P \text{ then } x \text{ else } y) \\ &(\text{if } P \text{ then } x \text{ else if } P \text{ then } y \text{ else } z) = (\text{if } P \text{ then } x \text{ else } z) \\ &(\text{if } P \text{ then } x \text{ else if } Q \text{ then } x \text{ else } y) = (\text{if } P \vee Q \text{ then } x \text{ else } y) \\ &(\text{if } P \text{ then } x \text{ else if } Q \text{ then } x \text{ else } y) = (\text{if } Q \vee P \text{ then } x \text{ else } y) \\ &(\text{if } P \text{ then } x = y \text{ else } x = z) = (x = (\text{if } P \text{ then } y \text{ else } z)) \\ &(\text{if } P \text{ then } x = y \text{ else } y = z) = (y = (\text{if } P \text{ then } x \text{ else } z)) \\ &(\text{if } P \text{ then } x = y \text{ else } z = y) = (y = (\text{if } P \text{ then } x \text{ else } z)) \\ &\textit{<proof>} \end{aligned}$$

lemma [z3-rule]:

$$\begin{aligned} &0 + (x::\text{int}) = x \\ &x + 0 = x \\ &x + x = 2 * x \\ &0 * x = 0 \\ &1 * x = x \\ &x + y = y + x \\ &\textit{<proof>} \end{aligned}$$

lemma [z3-rule]:

$$\begin{aligned} &P = Q \vee P \vee Q \\ &P = Q \vee \neg P \vee \neg Q \\ &(\neg P) = Q \vee \neg P \vee Q \\ &(\neg P) = Q \vee P \vee \neg Q \\ &P = (\neg Q) \vee \neg P \vee Q \\ &P = (\neg Q) \vee P \vee \neg Q \\ &P \neq Q \vee P \vee \neg Q \\ &P \neq Q \vee \neg P \vee Q \\ &P \neq (\neg Q) \vee P \vee Q \\ &(\neg P) \neq Q \vee P \vee Q \\ &P \vee Q \vee P \neq (\neg Q) \\ &P \vee Q \vee (\neg P) \neq Q \end{aligned}$$

```

 $P \vee \neg Q \vee P \neq Q$ 
 $\neg P \vee Q \vee P \neq Q$ 
 $P \vee y = (\text{if } P \text{ then } x \text{ else } y)$ 
 $P \vee (\text{if } P \text{ then } x \text{ else } y) = y$ 
 $\neg P \vee x = (\text{if } P \text{ then } x \text{ else } y)$ 
 $\neg P \vee (\text{if } P \text{ then } x \text{ else } y) = x$ 
 $P \vee R \vee \neg (\text{if } P \text{ then } Q \text{ else } R)$ 
 $\neg P \vee Q \vee \neg (\text{if } P \text{ then } Q \text{ else } R)$ 
 $\neg (\text{if } P \text{ then } Q \text{ else } R) \vee \neg P \vee Q$ 
 $\neg (\text{if } P \text{ then } Q \text{ else } R) \vee P \vee R$ 
 $(\text{if } P \text{ then } Q \text{ else } R) \vee \neg P \vee \neg Q$ 
 $(\text{if } P \text{ then } Q \text{ else } R) \vee P \vee \neg R$ 
 $(\text{if } P \text{ then } \neg Q \text{ else } R) \vee \neg P \vee Q$ 
 $(\text{if } P \text{ then } Q \text{ else } \neg R) \vee P \vee R$ 
<proof>

```

hide-type (**open**) *symb-list pattern*

hide-const (**open**) *Symb-Nil Symb-Cons trigger pat nopat fun-app z3div z3mod*

end

64 Sledgehammer: Isabelle–ATP Linkup

theory *Sledgehammer*

imports *Presburger SMT*

keywords

sledgehammer :: *diag* **and**

sledgehammer-params :: *thy-decl*

begin

lemma *size-ne-size-imp-ne*: $\text{size } x \neq \text{size } y \implies x \neq y$

<proof>

<ML>

end

65 Numeric types for code generation onto target language numerals only

theory *Code-Numeral*

imports *Nat-Transfer Divides Lifting*

begin

65.1 Type of target language integers

typedef *integer* = *UNIV* :: *int set*

morphisms *int-of-integer integer-of-int* <proof>

setup-lifting *type-definition-integer*

lemma *integer-eq-iff*:

$k = l \longleftrightarrow \text{int-of-integer } k = \text{int-of-integer } l$
 $\langle \text{proof} \rangle$

lemma *integer-eqI*:

$\text{int-of-integer } k = \text{int-of-integer } l \implies k = l$
 $\langle \text{proof} \rangle$

lemma *int-of-integer-integer-of-int [simp]*:

$\text{int-of-integer } (\text{integer-of-int } k) = k$
 $\langle \text{proof} \rangle$

lemma *integer-of-int-int-of-integer [simp]*:

$\text{integer-of-int } (\text{int-of-integer } k) = k$
 $\langle \text{proof} \rangle$

instantiation *integer* :: *ring-1*

begin

lift-definition *zero-integer* :: *integer*

is $0 :: \text{int}$
 $\langle \text{proof} \rangle$

declare *zero-integer.rep-eq [simp]*

lift-definition *one-integer* :: *integer*

is $1 :: \text{int}$
 $\langle \text{proof} \rangle$

declare *one-integer.rep-eq [simp]*

lift-definition *plus-integer* :: *integer* \Rightarrow *integer* \Rightarrow *integer*

is $\text{plus} :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
 $\langle \text{proof} \rangle$

declare *plus-integer.rep-eq [simp]*

lift-definition *uminus-integer* :: *integer* \Rightarrow *integer*

is $\text{uminus} :: \text{int} \Rightarrow \text{int}$
 $\langle \text{proof} \rangle$

declare *uminus-integer.rep-eq [simp]*

lift-definition *minus-integer* :: *integer* \Rightarrow *integer* \Rightarrow *integer*

is $\text{minus} :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
 $\langle \text{proof} \rangle$

declare *minus-integer.rep-eq* [*simp*]

lift-definition *times-integer* :: *integer* \Rightarrow *integer* \Rightarrow *integer*
is *times* :: *int* \Rightarrow *int* \Rightarrow *int*
 \langle *proof* \rangle

declare *times-integer.rep-eq* [*simp*]

instance \langle *proof* \rangle

end

instance *integer* :: *Rings.dvd* \langle *proof* \rangle

lemma [*transfer-rule*]:
 $rel_fun\ pcr_integer\ (rel_fun\ pcr_integer\ HOL.iff)\ Rings.dvd\ Rings.dvd$
 \langle *proof* \rangle

lemma [*transfer-rule*]:
 $rel_fun\ HOL.eq\ pcr_integer\ (of_nat :: nat \Rightarrow int)\ (of_nat :: nat \Rightarrow integer)$
 \langle *proof* \rangle

lemma [*transfer-rule*]:
 $rel_fun\ HOL.eq\ pcr_integer\ (\lambda k :: int.\ k :: int)\ (of_int :: int \Rightarrow integer)$
 \langle *proof* \rangle

lemma [*transfer-rule*]:
 $rel_fun\ HOL.eq\ pcr_integer\ (numeral :: num \Rightarrow int)\ (numeral :: num \Rightarrow integer)$
 \langle *proof* \rangle

lemma [*transfer-rule*]:
 $rel_fun\ HOL.eq\ (rel_fun\ HOL.eq\ pcr_integer)\ (Num.sub :: - \Rightarrow - \Rightarrow int)\ (Num.sub$
 $:: - \Rightarrow - \Rightarrow integer)$
 \langle *proof* \rangle

lemma *int-of-integer-of-nat* [*simp*]:
 $int_of_integer\ (of_nat\ n) = of_nat\ n$
 \langle *proof* \rangle

lift-definition *integer-of-nat* :: *nat* \Rightarrow *integer*
is *of-nat* :: *nat* \Rightarrow *int*
 \langle *proof* \rangle

lemma *integer-of-nat-eq-of-nat* [*code*]:
 $integer_of_nat = of_nat$
 \langle *proof* \rangle

lemma *int-of-integer-integer-of-nat* [*simp*]:

int-of-integer (integer-of-nat n) = of-nat n
 ⟨proof⟩

lift-definition *nat-of-integer* :: *integer* \Rightarrow *nat*
is *Int.nat*
 ⟨proof⟩

lemma *nat-of-integer-of-nat* [simp]:
nat-of-integer (of-nat n) = n
 ⟨proof⟩

lemma *int-of-integer-of-int* [simp]:
int-of-integer (of-int k) = k
 ⟨proof⟩

lemma *nat-of-integer-integer-of-nat* [simp]:
nat-of-integer (integer-of-nat n) = n
 ⟨proof⟩

lemma *integer-of-int-eq-of-int* [simp, code-abbrev]:
integer-of-int = of-int
 ⟨proof⟩

lemma *of-int-integer-of* [simp]:
of-int (int-of-integer k) = (k :: integer)
 ⟨proof⟩

lemma *int-of-integer-numeral* [simp]:
int-of-integer (numeral k) = numeral k
 ⟨proof⟩

lemma *int-of-integer-sub* [simp]:
int-of-integer (Num.sub k l) = Num.sub k l
 ⟨proof⟩

definition *integer-of-num* :: *num* \Rightarrow *integer*
where [simp]: *integer-of-num* = *numeral*

lemma *integer-of-num* [code]:
integer-of-num Num.One = 1
integer-of-num (Num.Bit0 n) = (let k = integer-of-num n in k + k)
integer-of-num (Num.Bit1 n) = (let k = integer-of-num n in k + k + 1)
 ⟨proof⟩

lemma *integer-of-num-triv*:
integer-of-num Num.One = 1
integer-of-num (Num.Bit0 Num.One) = 2
 ⟨proof⟩

instantiation *integer* :: {*linordered-idom*, *equal*}
begin

lift-definition *abs-integer* :: *integer* \Rightarrow *integer*
is *abs* :: *int* \Rightarrow *int*
 \langle *proof* \rangle

declare *abs-integer.rep-eq* [*simp*]

lift-definition *sgn-integer* :: *integer* \Rightarrow *integer*
is *sgn* :: *int* \Rightarrow *int*
 \langle *proof* \rangle

declare *sgn-integer.rep-eq* [*simp*]

lift-definition *less-eq-integer* :: *integer* \Rightarrow *integer* \Rightarrow *bool*
is *less-eq* :: *int* \Rightarrow *int* \Rightarrow *bool*
 \langle *proof* \rangle

lift-definition *less-integer* :: *integer* \Rightarrow *integer* \Rightarrow *bool*
is *less* :: *int* \Rightarrow *int* \Rightarrow *bool*
 \langle *proof* \rangle

lift-definition *equal-integer* :: *integer* \Rightarrow *integer* \Rightarrow *bool*
is *HOL.equal* :: *int* \Rightarrow *int* \Rightarrow *bool*
 \langle *proof* \rangle

instance
 \langle *proof* \rangle

end

lemma [*transfer-rule*]:
 $\text{rel-fun } \text{pcr-integer } (\text{rel-fun } \text{pcr-integer } \text{pcr-integer}) (\text{min} :: - \Rightarrow - \Rightarrow \text{int}) (\text{min} :: - \Rightarrow - \Rightarrow \text{integer})$
 \langle *proof* \rangle

lemma [*transfer-rule*]:
 $\text{rel-fun } \text{pcr-integer } (\text{rel-fun } \text{pcr-integer } \text{pcr-integer}) (\text{max} :: - \Rightarrow - \Rightarrow \text{int}) (\text{max} :: - \Rightarrow - \Rightarrow \text{integer})$
 \langle *proof* \rangle

lemma *int-of-integer-min* [*simp*]:
 $\text{int-of-integer } (\text{min } k \ l) = \text{min } (\text{int-of-integer } k) (\text{int-of-integer } l)$
 \langle *proof* \rangle

lemma *int-of-integer-max* [*simp*]:
 $\text{int-of-integer } (\text{max } k \ l) = \text{max } (\text{int-of-integer } k) (\text{int-of-integer } l)$

$\langle proof \rangle$

lemma *nat-of-integer-non-positive* [simp]:
 $k \leq 0 \implies \text{nat-of-integer } k = 0$
 $\langle proof \rangle$

lemma *of-nat-of-integer* [simp]:
 $\text{of-nat } (\text{nat-of-integer } k) = \max 0 k$
 $\langle proof \rangle$

instantiation *integer* :: *normalization-semidom*
begin

lift-definition *normalize-integer* :: *integer* \Rightarrow *integer*
is *normalize* :: *int* \Rightarrow *int*
 $\langle proof \rangle$

declare *normalize-integer.rep-eq* [simp]

lift-definition *unit-factor-integer* :: *integer* \Rightarrow *integer*
is *unit-factor* :: *int* \Rightarrow *int*
 $\langle proof \rangle$

declare *unit-factor-integer.rep-eq* [simp]

lift-definition *divide-integer* :: *integer* \Rightarrow *integer* \Rightarrow *integer*
is *divide* :: *int* \Rightarrow *int* \Rightarrow *int*
 $\langle proof \rangle$

declare *divide-integer.rep-eq* [simp]

instance
 $\langle proof \rangle$

end

instantiation *integer* :: *ring-div*
begin

lift-definition *modulo-integer* :: *integer* \Rightarrow *integer* \Rightarrow *integer*
is *modulo* :: *int* \Rightarrow *int* \Rightarrow *int*
 $\langle proof \rangle$

declare *modulo-integer.rep-eq* [simp]

instance
 $\langle proof \rangle$

end

instantiation *integer* :: *semiring-numeral-div*
begin

definition *divmod-integer* :: *num* \Rightarrow *num* \Rightarrow *integer* \times *integer*
where

divmod-integer'-def: *divmod-integer* *m n* = (*numeral* *m* *div* *numeral* *n*, *numeral* *m mod numeral* *n*)

definition *divmod-step-integer* :: *num* \Rightarrow *integer* \times *integer* \Rightarrow *integer* \times *integer*
where

divmod-step-integer *l qr* = (*let* (*q*, *r*) = *qr*
in if *r* \geq *numeral* *l* *then* (*2* * *q* + *1*, *r* - *numeral* *l*)
else (*2* * *q*, *r*))

instance \langle *proof* \rangle

end

declare *divmod-algorithm-code* [**where** ?*a* = *integer*,
folded integer-of-num-def, *unfolded integer-of-num-triv*,
code]

lemma *integer-of-nat-0*: *integer-of-nat* 0 = 0
 \langle *proof* \rangle

lemma *integer-of-nat-1*: *integer-of-nat* 1 = 1
 \langle *proof* \rangle

lemma *integer-of-nat-numeral*:
integer-of-nat (*numeral* *n*) = *numeral* *n*
 \langle *proof* \rangle

65.2 Code theorems for target language integers

Constructors

definition *Pos* :: *num* \Rightarrow *integer*
where

[*simp*, *code-post*]: *Pos* = *numeral*

lemma [*transfer-rule*]:
rel-fun *HOL.eq* *pcr-integer* *numeral* *Pos*
 \langle *proof* \rangle

lemma *Pos-fold* [*code-unfold*]:
numeral *Num.One* = *Pos* *Num.One*
numeral (*Num.Bit0* *k*) = *Pos* (*Num.Bit0* *k*)
numeral (*Num.Bit1* *k*) = *Pos* (*Num.Bit1* *k*)
 \langle *proof* \rangle

definition $Neg :: num \Rightarrow integer$

where

$[simp, code-abbrev]: Neg\ n = -\ Pos\ n$

lemma $[transfer-rule]:$

$rel_fun\ HOL.eq\ pcr_integer\ (\lambda n. -\ numeral\ n)\ Neg$
 $\langle proof \rangle$

code-datatype $0::integer\ Pos\ Neg$

A further pair of constructors for generated computations

context

begin

qualified definition $positive :: num \Rightarrow integer$

where $[simp]: positive = numeral$

qualified definition $negative :: num \Rightarrow integer$

where $[simp]: negative = uminus \circ numeral$

lemma $[code-computation-unfold]:$

$numeral = positive$

$Pos = positive$

$Neg = negative$

$\langle proof \rangle$

end

Auxiliary operations

lift-definition $dup :: integer \Rightarrow integer$

is $\lambda k::int. k + k$

$\langle proof \rangle$

lemma $dup_code\ [code]:$

$dup\ 0 = 0$

$dup\ (Pos\ n) = Pos\ (Num.Bit0\ n)$

$dup\ (Neg\ n) = Neg\ (Num.Bit0\ n)$

$\langle proof \rangle$

lift-definition $sub :: num \Rightarrow num \Rightarrow integer$

is $\lambda m\ n. numeral\ m - numeral\ n :: int$

$\langle proof \rangle$

lemma $sub_code\ [code]:$

$sub\ Num.One\ Num.One = 0$

$sub\ (Num.Bit0\ m)\ Num.One = Pos\ (Num.BitM\ m)$

$sub\ (Num.Bit1\ m)\ Num.One = Pos\ (Num.Bit0\ m)$

$sub\ Num.One\ (Num.Bit0\ n) = Neg\ (Num.BitM\ n)$

$$\begin{aligned}
& \text{sub Num.One (Num.Bit1 } n) = \text{Neg (Num.Bit0 } n) \\
& \text{sub (Num.Bit0 } m) \text{ (Num.Bit0 } n) = \text{dup (sub } m \text{ } n) \\
& \text{sub (Num.Bit1 } m) \text{ (Num.Bit1 } n) = \text{dup (sub } m \text{ } n) \\
& \text{sub (Num.Bit1 } m) \text{ (Num.Bit0 } n) = \text{dup (sub } m \text{ } n) + 1 \\
& \text{sub (Num.Bit0 } m) \text{ (Num.Bit1 } n) = \text{dup (sub } m \text{ } n) - 1 \\
& \langle \text{proof} \rangle
\end{aligned}$$

Implementations

lemma *one-integer-code* [code, code-unfold]:

$$1 = \text{Pos Num.One}$$

$\langle \text{proof} \rangle$

lemma *plus-integer-code* [code]:

$$\begin{aligned}
& k + 0 = (k::\text{integer}) \\
& 0 + l = (l::\text{integer}) \\
& \text{Pos } m + \text{Pos } n = \text{Pos } (m + n) \\
& \text{Pos } m + \text{Neg } n = \text{sub } m \text{ } n \\
& \text{Neg } m + \text{Pos } n = \text{sub } n \text{ } m \\
& \text{Neg } m + \text{Neg } n = \text{Neg } (m + n) \\
& \langle \text{proof} \rangle
\end{aligned}$$

lemma *uminus-integer-code* [code]:

$$\begin{aligned}
& \text{uminus } 0 = (0::\text{integer}) \\
& \text{uminus (Pos } m) = \text{Neg } m \\
& \text{uminus (Neg } m) = \text{Pos } m \\
& \langle \text{proof} \rangle
\end{aligned}$$

lemma *minus-integer-code* [code]:

$$\begin{aligned}
& k - 0 = (k::\text{integer}) \\
& 0 - l = \text{uminus } (l::\text{integer}) \\
& \text{Pos } m - \text{Pos } n = \text{sub } m \text{ } n \\
& \text{Pos } m - \text{Neg } n = \text{Pos } (m + n) \\
& \text{Neg } m - \text{Pos } n = \text{Neg } (m + n) \\
& \text{Neg } m - \text{Neg } n = \text{sub } n \text{ } m \\
& \langle \text{proof} \rangle
\end{aligned}$$

lemma *abs-integer-code* [code]:

$$|k| = (\text{if } (k::\text{integer}) < 0 \text{ then } -k \text{ else } k)$$

$\langle \text{proof} \rangle$

lemma *sgn-integer-code* [code]:

$$\text{sgn } k = (\text{if } k = 0 \text{ then } 0 \text{ else if } (k::\text{integer}) < 0 \text{ then } -1 \text{ else } 1)$$

$\langle \text{proof} \rangle$

lemma *times-integer-code* [code]:

$$\begin{aligned}
& k * 0 = (0::\text{integer}) \\
& 0 * l = (0::\text{integer}) \\
& \text{Pos } m * \text{Pos } n = \text{Pos } (m * n) \\
& \text{Pos } m * \text{Neg } n = \text{Neg } (m * n)
\end{aligned}$$

$Neg\ m * Pos\ n = Neg\ (m * n)$
 $Neg\ m * Neg\ n = Pos\ (m * n)$
 $\langle proof \rangle$

lemma *normalize-integer-code* [code]:
 $normalize = (abs :: integer \Rightarrow integer)$
 $\langle proof \rangle$

lemma *unit-factor-integer-code* [code]:
 $unit-factor = (sgn :: integer \Rightarrow integer)$
 $\langle proof \rangle$

definition *divmod-integer* :: $integer \Rightarrow integer \Rightarrow integer \times integer$
where
 $divmod-integer\ k\ l = (k\ div\ l, k\ mod\ l)$

lemma *fst-divmod* [simp]:
 $fst\ (divmod-integer\ k\ l) = k\ div\ l$
 $\langle proof \rangle$

lemma *snd-divmod* [simp]:
 $snd\ (divmod-integer\ k\ l) = k\ mod\ l$
 $\langle proof \rangle$

definition *divmod-abs* :: $integer \Rightarrow integer \Rightarrow integer \times integer$
where
 $divmod-abs\ k\ l = (|k|\ div\ |l|, |k|\ mod\ |l|)$

lemma *fst-divmod-abs* [simp]:
 $fst\ (divmod-abs\ k\ l) = |k|\ div\ |l|$
 $\langle proof \rangle$

lemma *snd-divmod-abs* [simp]:
 $snd\ (divmod-abs\ k\ l) = |k|\ mod\ |l|$
 $\langle proof \rangle$

lemma *divmod-abs-code* [code]:
 $divmod-abs\ (Pos\ k)\ (Pos\ l) = divmod\ k\ l$
 $divmod-abs\ (Neg\ k)\ (Neg\ l) = divmod\ k\ l$
 $divmod-abs\ (Neg\ k)\ (Pos\ l) = divmod\ k\ l$
 $divmod-abs\ (Pos\ k)\ (Neg\ l) = divmod\ k\ l$
 $divmod-abs\ j\ 0 = (0, |j|)$
 $divmod-abs\ 0\ j = (0, 0)$
 $\langle proof \rangle$

lemma *divmod-integer-code* [code]:
 $divmod-integer\ k\ l =$
 $(if\ k = 0\ then\ (0, 0)\ else\ if\ l = 0\ then\ (0, k)\ else$
 $(apsnd\ \circ\ times\ \circ\ sgn)\ l\ (if\ sgn\ k = sgn\ l$

$$\begin{aligned} & \text{then } \text{divmod-abs } k \ l \\ & \text{else } (\text{let } (r, s) = \text{divmod-abs } k \ l \text{ in} \\ & \quad \text{if } s = 0 \text{ then } (-\ r, 0) \text{ else } (-\ r - 1, |l| - s))) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *div-integer-code* [code]:
 $k \text{ div } l = \text{fst } (\text{divmod-integer } k \ l)$
 $\langle \text{proof} \rangle$

lemma *mod-integer-code* [code]:
 $k \text{ mod } l = \text{snd } (\text{divmod-integer } k \ l)$
 $\langle \text{proof} \rangle$

lemma *equal-integer-code* [code]:
 $\text{HOL.equal } 0 \ (0::\text{integer}) \longleftrightarrow \text{True}$
 $\text{HOL.equal } 0 \ (\text{Pos } l) \longleftrightarrow \text{False}$
 $\text{HOL.equal } 0 \ (\text{Neg } l) \longleftrightarrow \text{False}$
 $\text{HOL.equal } (\text{Pos } k) \ 0 \longleftrightarrow \text{False}$
 $\text{HOL.equal } (\text{Pos } k) \ (\text{Pos } l) \longleftrightarrow \text{HOL.equal } k \ l$
 $\text{HOL.equal } (\text{Pos } k) \ (\text{Neg } l) \longleftrightarrow \text{False}$
 $\text{HOL.equal } (\text{Neg } k) \ 0 \longleftrightarrow \text{False}$
 $\text{HOL.equal } (\text{Neg } k) \ (\text{Pos } l) \longleftrightarrow \text{False}$
 $\text{HOL.equal } (\text{Neg } k) \ (\text{Neg } l) \longleftrightarrow \text{HOL.equal } k \ l$
 $\langle \text{proof} \rangle$

lemma *equal-integer-refl* [code nbe]:
 $\text{HOL.equal } (k::\text{integer}) \ k \longleftrightarrow \text{True}$
 $\langle \text{proof} \rangle$

lemma *less-eq-integer-code* [code]:
 $0 \leq (0::\text{integer}) \longleftrightarrow \text{True}$
 $0 \leq \text{Pos } l \longleftrightarrow \text{True}$
 $0 \leq \text{Neg } l \longleftrightarrow \text{False}$
 $\text{Pos } k \leq 0 \longleftrightarrow \text{False}$
 $\text{Pos } k \leq \text{Pos } l \longleftrightarrow k \leq l$
 $\text{Pos } k \leq \text{Neg } l \longleftrightarrow \text{False}$
 $\text{Neg } k \leq 0 \longleftrightarrow \text{True}$
 $\text{Neg } k \leq \text{Pos } l \longleftrightarrow \text{True}$
 $\text{Neg } k \leq \text{Neg } l \longleftrightarrow l \leq k$
 $\langle \text{proof} \rangle$

lemma *less-integer-code* [code]:
 $0 < (0::\text{integer}) \longleftrightarrow \text{False}$
 $0 < \text{Pos } l \longleftrightarrow \text{True}$
 $0 < \text{Neg } l \longleftrightarrow \text{False}$
 $\text{Pos } k < 0 \longleftrightarrow \text{False}$
 $\text{Pos } k < \text{Pos } l \longleftrightarrow k < l$
 $\text{Pos } k < \text{Neg } l \longleftrightarrow \text{False}$
 $\text{Neg } k < 0 \longleftrightarrow \text{True}$

$Neg\ k < Pos\ l \longleftrightarrow True$
 $Neg\ k < Neg\ l \longleftrightarrow l < k$
 $\langle proof \rangle$

lift-definition *num-of-integer* :: *integer* \Rightarrow *num*
is *num-of-nat* \circ *nat*
 $\langle proof \rangle$

lemma *num-of-integer-code* [code]:
num-of-integer *k* = (if *k* \leq 1 then *Num.One*
 else let
 (*l*, *j*) = *divmod-integer* *k* 2;
 l' = *num-of-integer* *l*;
 l'' = *l'* + *l'*
 in if *j* = 0 then *l''* else *l''* + *Num.One*)
 $\langle proof \rangle$

lemma *nat-of-integer-code* [code]:
nat-of-integer *k* = (if *k* \leq 0 then 0
 else let
 (*l*, *j*) = *divmod-integer* *k* 2;
 l' = *nat-of-integer* *l*;
 l'' = *l'* + *l'*
 in if *j* = 0 then *l''* else *l''* + 1)
 $\langle proof \rangle$

lemma *int-of-integer-code* [code]:
int-of-integer *k* = (if *k* < 0 then - (*int-of-integer* (- *k*))
 else if *k* = 0 then 0
 else let
 (*l*, *j*) = *divmod-integer* *k* 2;
 l' = 2 * *int-of-integer* *l*
 in if *j* = 0 then *l'* else *l'* + 1)
 $\langle proof \rangle$

lemma *integer-of-int-code* [code]:
integer-of-int *k* = (if *k* < 0 then - (*integer-of-int* (- *k*))
 else if *k* = 0 then 0
 else let
 l = 2 * *integer-of-int* (*k* div 2);
 j = *k* mod 2
 in if *j* = 0 then *l* else *l* + 1)
 $\langle proof \rangle$

hide-const (open) *Pos Neg sub dup divmod-abs*

65.3 Serializer setup for target language integers

code-reserved *Eval int Integer abs*

code-printing

```

type-constructor integer  $\rightarrow$ 
  (SML) IntInf.int
  and (OCaml) Big'-int.big'-int
  and (Haskell) Integer
  and (Scala) BigInt
  and (Eval) int
| class-instance integer :: equal  $\rightarrow$ 
  (Haskell)  $-$ 

```

code-printing

```

constant 0::integer  $\rightarrow$ 
  (SML)  $!(0 / :/ \text{IntInf.int})$ 
  and (OCaml) Big'-int.zero'-big'-int
  and (Haskell)  $!(0 / ::/ \text{Integer})$ 
  and (Scala) BigInt(0)

```

$\langle ML \rangle$

code-printing

```

constant plus :: integer  $\Rightarrow - \Rightarrow - \rightarrow$ 
  (SML) IntInf.+ ((-), (-))
  and (OCaml) Big'-int.add'-big'-int
  and (Haskell) infixl 6 +
  and (Scala) infixl 7 +
  and (Eval) infixl 8 +
| constant uminus :: integer  $\Rightarrow - \rightarrow$ 
  (SML) IntInf.~
  and (OCaml) Big'-int.minus'-big'-int
  and (Haskell) negate
  and (Scala)  $!(- \ -)$ 
  and (Eval)  $\sim / -$ 
| constant minus :: integer  $\Rightarrow - \rightarrow$ 
  (SML) IntInf.- ((-), (-))
  and (OCaml) Big'-int.sub'-big'-int
  and (Haskell) infixl 6 -
  and (Scala) infixl 7 -
  and (Eval) infixl 8 -
| constant Code-Numeral.dup  $\rightarrow$ 
  (SML) IntInf.* / (2, / (-))
  and (OCaml) Big'-int.mult'-big'-int / (Big'-int.big'-int'-of'-int / 2)
  and (Haskell)  $!(2 * -)$ 
  and (Scala)  $!(2 * -)$ 
  and (Eval)  $!(2 * -)$ 
| constant Code-Numeral.sub  $\rightarrow$ 
  (SML)  $!(\text{raise} / \text{Fail} / \text{sub})$ 
  and (OCaml) failwith / sub
  and (Haskell) error / sub

```

```

    and (Scala) !sys.error(sub)
| constant times :: integer ⇒ - ⇒ - →
  (SML) IntInf.* ((-), (-))
  and (OCaml) Big'-int.mult'-big'-int
  and (Haskell) infixl 7 *
  and (Scala) infixl 8 *
  and (Eval) infixl 9 *
| constant Code-Numeral.divmod-abs →
  (SML) IntInf.divMod / (IntInf.abs -, / IntInf.abs -)
  and (OCaml) Big'-int.quomod'-big'-int / (Big'-int.abs'-big'-int -) / (Big'-int.abs'-big'-int
-)
  and (Haskell) divMod / (abs -) / (abs -)
  and (Scala) !((k: BigInt) => (l: BigInt) => / if (l == 0) / (BigInt(0), k)
else / (k.abs ' / % l.abs))
  and (Eval) Integer.div'-mod / (abs -) / (abs -)
| constant HOL.equal :: integer ⇒ - ⇒ bool →
  (SML) !((- : IntInf.int) = -)
  and (OCaml) Big'-int.eq'-big'-int
  and (Haskell) infix 4 ==
  and (Scala) infixl 5 ==
  and (Eval) infixl 6 =
| constant less-eq :: integer ⇒ - ⇒ bool →
  (SML) IntInf.<= ((-), (-))
  and (OCaml) Big'-int.le'-big'-int
  and (Haskell) infix 4 <=
  and (Scala) infixl 4 <=
  and (Eval) infixl 6 <=
| constant less :: integer ⇒ - ⇒ bool →
  (SML) IntInf.< ((-), (-))
  and (OCaml) Big'-int.lt'-big'-int
  and (Haskell) infix 4 <
  and (Scala) infixl 4 <
  and (Eval) infixl 6 <
| constant abs :: integer ⇒ - →
  (SML) IntInf.abs
  and (OCaml) Big'-int.abs'-big'-int
  and (Haskell) Prelude.abs
  and (Scala) -.abs
  and (Eval) abs

```

code-identifier

code-module *Code-Numeral* → (SML) *Arith* **and** (OCaml) *Arith* **and** (Haskell) *Arith*

65.4 Type of target language naturals

```

typedef natural = UNIV :: nat set
morphisms nat-of-natural natural-of-nat ⟨proof⟩

```

setup-lifting *type-definition-natural*

lemma *natural-eq-iff* [*termination-simp*]:
 $m = n \longleftrightarrow \text{nat-of-natural } m = \text{nat-of-natural } n$
 ⟨*proof*⟩

lemma *natural-eqI*:
 $\text{nat-of-natural } m = \text{nat-of-natural } n \implies m = n$
 ⟨*proof*⟩

lemma *nat-of-natural-of-nat-inverse* [*simp*]:
 $\text{nat-of-natural } (\text{natural-of-nat } n) = n$
 ⟨*proof*⟩

lemma *natural-of-nat-of-natural-inverse* [*simp*]:
 $\text{natural-of-nat } (\text{nat-of-natural } n) = n$
 ⟨*proof*⟩

instantiation *natural* :: {*comm-monoid-diff*, *semiring-1*}
begin

lift-definition *zero-natural* :: *natural*
is *0* :: *nat*
 ⟨*proof*⟩

declare *zero-natural.rep-eq* [*simp*]

lift-definition *one-natural* :: *natural*
is *1* :: *nat*
 ⟨*proof*⟩

declare *one-natural.rep-eq* [*simp*]

lift-definition *plus-natural* :: *natural* \Rightarrow *natural* \Rightarrow *natural*
is *plus* :: *nat* \Rightarrow *nat* \Rightarrow *nat*
 ⟨*proof*⟩

declare *plus-natural.rep-eq* [*simp*]

lift-definition *minus-natural* :: *natural* \Rightarrow *natural* \Rightarrow *natural*
is *minus* :: *nat* \Rightarrow *nat* \Rightarrow *nat*
 ⟨*proof*⟩

declare *minus-natural.rep-eq* [*simp*]

lift-definition *times-natural* :: *natural* \Rightarrow *natural* \Rightarrow *natural*
is *times* :: *nat* \Rightarrow *nat* \Rightarrow *nat*
 ⟨*proof*⟩

declare *times-natural.rep-eq* [*simp*]

instance $\langle \text{proof} \rangle$

end

instance *natural* :: *Rings.dvd* $\langle \text{proof} \rangle$

lemma [*transfer-rule*]:

rel-fun *pcr-natural* (*rel-fun* *pcr-natural* *HOL.iff*) *Rings.dvd* *Rings.dvd*
 $\langle \text{proof} \rangle$

lemma [*transfer-rule*]:

rel-fun *HOL.eq* *pcr-natural* ($\lambda n :: \text{nat}. n$) (*of-nat* :: *nat* \Rightarrow *natural*)
 $\langle \text{proof} \rangle$

lemma [*transfer-rule*]:

rel-fun *HOL.eq* *pcr-natural* (*numeral* :: *num* \Rightarrow *nat*) (*numeral* :: *num* \Rightarrow *natural*)
 $\langle \text{proof} \rangle$

lemma *nat-of-natural-of-nat* [*simp*]:

nat-of-natural (*of-nat* *n*) = *n*
 $\langle \text{proof} \rangle$

lemma *natural-of-nat-of-nat* [*simp*, *code-abbrev*]:

natural-of-nat = *of-nat*
 $\langle \text{proof} \rangle$

lemma *of-nat-of-natural* [*simp*]:

of-nat (*nat-of-natural* *n*) = *n*
 $\langle \text{proof} \rangle$

lemma *nat-of-natural-numeral* [*simp*]:

nat-of-natural (*numeral* *k*) = *numeral* *k*
 $\langle \text{proof} \rangle$

instantiation *natural* :: {*linordered-semiring*, *equal*}

begin

lift-definition *less-eq-natural* :: *natural* \Rightarrow *natural* \Rightarrow *bool*

is *less-eq* :: *nat* \Rightarrow *nat* \Rightarrow *bool*
 $\langle \text{proof} \rangle$

declare *less-eq-natural.rep-eq* [*termination-simp*]

lift-definition *less-natural* :: *natural* \Rightarrow *natural* \Rightarrow *bool*

is *less* :: *nat* \Rightarrow *nat* \Rightarrow *bool*
 $\langle \text{proof} \rangle$

```

declare less-natural.rep-eq [termination-simp]

lift-definition equal-natural :: natural  $\Rightarrow$  natural  $\Rightarrow$  bool
  is HOL.equal :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool
   $\langle$ proof $\rangle$ 

instance  $\langle$ proof $\rangle$ 

end

lemma [transfer-rule]:
  rel-fun pcr-natural (rel-fun pcr-natural pcr-natural) (min :: -  $\Rightarrow$  -  $\Rightarrow$  nat) (min ::
  -  $\Rightarrow$  -  $\Rightarrow$  natural)
   $\langle$ proof $\rangle$ 

lemma [transfer-rule]:
  rel-fun pcr-natural (rel-fun pcr-natural pcr-natural) (max :: -  $\Rightarrow$  -  $\Rightarrow$  nat) (max
  :: -  $\Rightarrow$  -  $\Rightarrow$  natural)
   $\langle$ proof $\rangle$ 

lemma nat-of-natural-min [simp]:
  nat-of-natural (min k l) = min (nat-of-natural k) (nat-of-natural l)
   $\langle$ proof $\rangle$ 

lemma nat-of-natural-max [simp]:
  nat-of-natural (max k l) = max (nat-of-natural k) (nat-of-natural l)
   $\langle$ proof $\rangle$ 

instantiation natural :: {semiring-div, normalization-semidom}
begin

lift-definition normalize-natural :: natural  $\Rightarrow$  natural
  is normalize :: nat  $\Rightarrow$  nat
   $\langle$ proof $\rangle$ 

declare normalize-natural.rep-eq [simp]

lift-definition unit-factor-natural :: natural  $\Rightarrow$  natural
  is unit-factor :: nat  $\Rightarrow$  nat
   $\langle$ proof $\rangle$ 

declare unit-factor-natural.rep-eq [simp]

lift-definition divide-natural :: natural  $\Rightarrow$  natural  $\Rightarrow$  natural
  is divide :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat
   $\langle$ proof $\rangle$ 

declare divide-natural.rep-eq [simp]

```

lift-definition *modulo-natural* :: *natural* \Rightarrow *natural* \Rightarrow *natural*
is *modulo* :: *nat* \Rightarrow *nat* \Rightarrow *nat*
 \langle *proof* \rangle

declare *modulo-natural.rep-eq* [*simp*]

instance
 \langle *proof* \rangle

end

lift-definition *natural-of-integer* :: *integer* \Rightarrow *natural*
is *nat* :: *int* \Rightarrow *nat*
 \langle *proof* \rangle

lift-definition *integer-of-natural* :: *natural* \Rightarrow *integer*
is *of-nat* :: *nat* \Rightarrow *int*
 \langle *proof* \rangle

lemma *natural-of-integer-of-natural* [*simp*]:
natural-of-integer (*integer-of-natural* *n*) = *n*
 \langle *proof* \rangle

lemma *integer-of-natural-of-integer* [*simp*]:
integer-of-natural (*natural-of-integer* *k*) = *max 0 k*
 \langle *proof* \rangle

lemma *int-of-integer-of-natural* [*simp*]:
int-of-integer (*integer-of-natural* *n*) = *of-nat* (*nat-of-natural* *n*)
 \langle *proof* \rangle

lemma *integer-of-natural-of-nat* [*simp*]:
integer-of-natural (*of-nat* *n*) = *of-nat* *n*
 \langle *proof* \rangle

lemma [*measure-function*]:
is-measure *nat-of-natural*
 \langle *proof* \rangle

65.5 Inductive representation of target language naturals

lift-definition *Suc* :: *natural* \Rightarrow *natural*
is *Nat.Suc*
 \langle *proof* \rangle

declare *Suc.rep-eq* [*simp*]

old-rep-datatype *0::natural* *Suc*
 \langle *proof* \rangle

lemma *natural-cases* [*case-names nat, cases type: natural*]:
 fixes $m :: \text{natural}$
 assumes $\bigwedge n. m = \text{of-nat } n \implies P$
 shows P
 $\langle \text{proof} \rangle$

lemma [*simp, code*]: $\text{size-natural} = \text{nat-of-natural}$
 $\langle \text{proof} \rangle$

lemma [*simp, code*]: $\text{size} = \text{nat-of-natural}$
 $\langle \text{proof} \rangle$

lemma *natural-decr* [*termination-simp*]:
 $n \neq 0 \implies \text{nat-of-natural } n - \text{Nat.Suc } 0 < \text{nat-of-natural } n$
 $\langle \text{proof} \rangle$

lemma *natural-zero-minus-one*: $(0 :: \text{natural}) - 1 = 0$
 $\langle \text{proof} \rangle$

lemma *Suc-natural-minus-one*: $\text{Suc } n - 1 = n$
 $\langle \text{proof} \rangle$

hide-const (**open**) *Suc*

65.6 Code refinement for target language naturals

lift-definition $\text{Nat} :: \text{integer} \Rightarrow \text{natural}$
 is *nat*
 $\langle \text{proof} \rangle$

lemma [*code-post*]:
 $\text{Nat } 0 = 0$
 $\text{Nat } 1 = 1$
 $\text{Nat } (\text{numeral } k) = \text{numeral } k$
 $\langle \text{proof} \rangle$

lemma [*code abstype*]:
 $\text{Nat } (\text{integer-of-natural } n) = n$
 $\langle \text{proof} \rangle$

lemma [*code*]:
 $\text{natural-of-nat } n = \text{natural-of-integer } (\text{integer-of-nat } n)$
 $\langle \text{proof} \rangle$

lemma [*code abstract*]:
 $\text{integer-of-natural } (\text{natural-of-integer } k) = \max 0 k$
 $\langle \text{proof} \rangle$

lemma [*code-abbrev*]:
natural-of-integer (*Code-Numeral.Pos* *k*) = *numeral* *k*
 ⟨*proof*⟩

lemma [*code abstract*]:
integer-of-natural 0 = 0
 ⟨*proof*⟩

lemma [*code abstract*]:
integer-of-natural 1 = 1
 ⟨*proof*⟩

lemma [*code abstract*]:
integer-of-natural (*Code-Numeral.Suc* *n*) = *integer-of-natural* *n* + 1
 ⟨*proof*⟩

lemma [*code*]:
nat-of-natural = *nat-of-integer* ∘ *integer-of-natural*
 ⟨*proof*⟩

lemma [*code, code-unfold*]:
case-natural *f g n* = (if *n* = 0 then *f* else *g* (*n* − 1))
 ⟨*proof*⟩

declare *natural.rec* [*code del*]

lemma [*code abstract*]:
integer-of-natural (*m* + *n*) = *integer-of-natural* *m* + *integer-of-natural* *n*
 ⟨*proof*⟩

lemma [*code abstract*]:
integer-of-natural (*m* − *n*) = max 0 (*integer-of-natural* *m* − *integer-of-natural* *n*)
 ⟨*proof*⟩

lemma [*code abstract*]:
integer-of-natural (*m* * *n*) = *integer-of-natural* *m* * *integer-of-natural* *n*
 ⟨*proof*⟩

lemma [*code*]:
normalize *n* = *n* **for** *n* :: *natural*
 ⟨*proof*⟩

lemma [*code*]:
unit-factor *n* = *of-bool* (*n* ≠ 0) **for** *n* :: *natural*
 ⟨*proof*⟩

lemma [*code abstract*]:
integer-of-natural (*m* div *n*) = *integer-of-natural* *m* div *integer-of-natural* *n*

<proof>

lemma [*code abstract*]:

integer-of-natural (m mod n) = integer-of-natural m mod integer-of-natural n
<proof>

lemma [*code*]:

HOL.equal m n \longleftrightarrow HOL.equal (integer-of-natural m) (integer-of-natural n)
<proof>

lemma [*code nbe*]: *HOL.equal n (n::natural) \longleftrightarrow True*

<proof>

lemma [*code*]: *m \leq n \longleftrightarrow integer-of-natural m \leq integer-of-natural n*

<proof>

lemma [*code*]: *m < n \longleftrightarrow integer-of-natural m < integer-of-natural n*

<proof>

hide-const (**open**) *Nat*

lifting-update *integer.lifting*

lifting-forget *integer.lifting*

lifting-update *natural.lifting*

lifting-forget *natural.lifting*

code-reflect *Code-Numeral*

datatypes *natural*

functions *Code-Numeral.Suc 0 :: natural 1 :: natural*

plus :: natural \Rightarrow - minus :: natural \Rightarrow -

times :: natural \Rightarrow - divide :: natural \Rightarrow -

modulo :: natural \Rightarrow -

integer-of-natural natural-of-integer

end

66 Setup for Lifting/Transfer for the set type

theory *Lifting-Set*

imports *Lifting*

begin

66.1 Relator and predicate properties

lemma *rel-setD1*: $\llbracket \text{rel-set } R \ A \ B; x \in A \rrbracket \Longrightarrow \exists y \in B. R \ x \ y$

and *rel-setD2*: $\llbracket \text{rel-set } R \ A \ B; y \in B \rrbracket \Longrightarrow \exists x \in A. R \ x \ y$

<proof>

lemma *rel-set-conversep* [*simp*]: $\text{rel-set } A^{-1-1} = (\text{rel-set } A)^{-1-1}$
 ⟨*proof*⟩

lemma *rel-set-eq* [*relator-eq*]: $\text{rel-set } (op =) = (op =)$
 ⟨*proof*⟩

lemma *rel-set-mono*[*relator-mono*]:
 assumes $A \leq B$
 shows $\text{rel-set } A \leq \text{rel-set } B$
 ⟨*proof*⟩

lemma *rel-set-OO*[*relator-distr*]: $\text{rel-set } R \text{ OO } \text{rel-set } S = \text{rel-set } (R \text{ OO } S)$
 ⟨*proof*⟩

lemma *Domainp-set*[*relator-domain*]:
 $\text{Domainp } (\text{rel-set } T) = (\lambda A. \text{Ball } A (\text{Domainp } T))$
 ⟨*proof*⟩

lemma *left-total-rel-set*[*transfer-rule*]:
 $\text{left-total } A \implies \text{left-total } (\text{rel-set } A)$
 ⟨*proof*⟩

lemma *left-unique-rel-set*[*transfer-rule*]:
 $\text{left-unique } A \implies \text{left-unique } (\text{rel-set } A)$
 ⟨*proof*⟩

lemma *right-total-rel-set* [*transfer-rule*]:
 $\text{right-total } A \implies \text{right-total } (\text{rel-set } A)$
 ⟨*proof*⟩

lemma *right-unique-rel-set* [*transfer-rule*]:
 $\text{right-unique } A \implies \text{right-unique } (\text{rel-set } A)$
 ⟨*proof*⟩

lemma *bi-total-rel-set* [*transfer-rule*]:
 $\text{bi-total } A \implies \text{bi-total } (\text{rel-set } A)$
 ⟨*proof*⟩

lemma *bi-unique-rel-set* [*transfer-rule*]:
 $\text{bi-unique } A \implies \text{bi-unique } (\text{rel-set } A)$
 ⟨*proof*⟩

lemma *set-relator-eq-onp* [*relator-eq-onp*]:
 $\text{rel-set } (eq\text{-onp } P) = eq\text{-onp } (\lambda A. \text{Ball } A P)$
 ⟨*proof*⟩

lemma *bi-unique-rel-set-lemma*:
 assumes *bi-unique* *R* and *rel-set* *R* *X* *Y*
 obtains *f* where $Y = \text{image } f \text{ } X$ and *inj-on* *f* *X* and $\forall x \in X. R \text{ } x \text{ } (f \text{ } x)$

$\langle proof \rangle$

66.2 Quotient theorem for the Lifting package

lemma *Quotient-set*[*quot-map*]:
 assumes *Quotient* *R* *Abs* *Rep* *T*
 shows *Quotient* (*rel-set* *R*) (*image* *Abs*) (*image* *Rep*) (*rel-set* *T*)
 $\langle proof \rangle$

66.3 Transfer rules for the Transfer package

66.3.1 Unconditional transfer rules

context includes *lifting-syntax*
begin

lemma *empty-transfer* [*transfer-rule*]: (*rel-set* *A*) {} {}
 $\langle proof \rangle$

lemma *insert-transfer* [*transfer-rule*]:
 (*A* $==>$ *rel-set* *A* $==>$ *rel-set* *A*) *insert* *insert*
 $\langle proof \rangle$

lemma *union-transfer* [*transfer-rule*]:
 (*rel-set* *A* $==>$ *rel-set* *A* $==>$ *rel-set* *A*) *union* *union*
 $\langle proof \rangle$

lemma *Union-transfer* [*transfer-rule*]:
 (*rel-set* (*rel-set* *A*) $==>$ *rel-set* *A*) *Union* *Union*
 $\langle proof \rangle$

lemma *image-transfer* [*transfer-rule*]:
 ((*A* $==>$ *B*) $==>$ *rel-set* *A* $==>$ *rel-set* *B*) *image* *image*
 $\langle proof \rangle$

lemma *UNION-transfer* [*transfer-rule*]:
 (*rel-set* *A* $==>$ (*A* $==>$ *rel-set* *B*) $==>$ *rel-set* *B*) *UNION* *UNION*
 $\langle proof \rangle$

lemma *Ball-transfer* [*transfer-rule*]:
 (*rel-set* *A* $==>$ (*A* $==>$ *op* $=$) $==>$ *op* $=$) *Ball* *Ball*
 $\langle proof \rangle$

lemma *Bex-transfer* [*transfer-rule*]:
 (*rel-set* *A* $==>$ (*A* $==>$ *op* $=$) $==>$ *op* $=$) *Bex* *Bex*
 $\langle proof \rangle$

lemma *Pow-transfer* [*transfer-rule*]:
 (*rel-set* *A* $==>$ *rel-set* (*rel-set* *A*)) *Pow* *Pow*
 $\langle proof \rangle$

lemma *rel-set-transfer* [*transfer-rule*]:

$((A \implies B \implies op =) \implies rel\text{-}set\ A \implies rel\text{-}set\ B \implies op =)$
rel-set rel-set
 $\langle proof \rangle$

lemma *bind-transfer* [*transfer-rule*]:

$(rel\text{-}set\ A \implies (A \implies rel\text{-}set\ B) \implies rel\text{-}set\ B)\ Set.\text{bind}\ Set.\text{bind}$
 $\langle proof \rangle$

lemma *INF-parametric* [*transfer-rule*]:

$(rel\text{-}set\ A \implies (A \implies HOL.\text{eq}) \implies HOL.\text{eq})\ INFIMUM\ INFIMUM$
 $\langle proof \rangle$

lemma *SUP-parametric* [*transfer-rule*]:

$(rel\text{-}set\ R \implies (R \implies HOL.\text{eq}) \implies HOL.\text{eq})\ SUPREMUM\ SUPREMUM$
 $\langle proof \rangle$

66.3.2 Rules requiring bi-unique, bi-total or right-total relations

lemma *member-transfer* [*transfer-rule*]:

assumes *bi-unique* *A*
shows $(A \implies rel\text{-}set\ A \implies op =) (op \in) (op \in)$
 $\langle proof \rangle$

lemma *right-total-Collect-transfer* [*transfer-rule*]:

assumes *right-total* *A*
shows $((A \implies op =) \implies rel\text{-}set\ A) (\lambda P. Collect\ (\lambda x. P\ x \wedge Domainp\ A\ x))\ Collect$
 $\langle proof \rangle$

lemma *Collect-transfer* [*transfer-rule*]:

assumes *bi-total* *A*
shows $((A \implies op =) \implies rel\text{-}set\ A)\ Collect\ Collect$
 $\langle proof \rangle$

lemma *inter-transfer* [*transfer-rule*]:

assumes *bi-unique* *A*
shows $(rel\text{-}set\ A \implies rel\text{-}set\ A \implies rel\text{-}set\ A)\ inter\ inter$
 $\langle proof \rangle$

lemma *Diff-transfer* [*transfer-rule*]:

assumes *bi-unique* *A*
shows $(rel\text{-}set\ A \implies rel\text{-}set\ A \implies rel\text{-}set\ A) (op\ -) (op\ -)$
 $\langle proof \rangle$

lemma *subset-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique* *A*
shows $(rel\text{-}set\ A \implies rel\text{-}set\ A \implies op =) (op \subseteq) (op \subseteq)$

$\langle \text{proof} \rangle$

declare *right-total-UNIV-transfer* [transfer-rule]

lemma *UNIV-transfer* [transfer-rule]:

assumes *bi-total A*

shows (*rel-set A*) *UNIV UNIV*

$\langle \text{proof} \rangle$

lemma *right-total-Compl-transfer* [transfer-rule]:

assumes [transfer-rule]: *bi-unique A* **and** [transfer-rule]: *right-total A*

shows (*rel-set A* \implies *rel-set A*) ($\lambda S. \text{uminus } S \cap \text{Collect } (\text{Domainp } A)$)

uminus

$\langle \text{proof} \rangle$

lemma *Compl-transfer* [transfer-rule]:

assumes [transfer-rule]: *bi-unique A* **and** [transfer-rule]: *bi-total A*

shows (*rel-set A* \implies *rel-set A*) *uminus uminus*

$\langle \text{proof} \rangle$

lemma *right-total-Inter-transfer* [transfer-rule]:

assumes [transfer-rule]: *bi-unique A* **and** [transfer-rule]: *right-total A*

shows (*rel-set (rel-set A)* \implies *rel-set A*) ($\lambda S. \bigcap S \cap \text{Collect } (\text{Domainp } A)$)

Inter

$\langle \text{proof} \rangle$

lemma *Inter-transfer* [transfer-rule]:

assumes [transfer-rule]: *bi-unique A* **and** [transfer-rule]: *bi-total A*

shows (*rel-set (rel-set A)* \implies *rel-set A*) *Inter Inter*

$\langle \text{proof} \rangle$

lemma *filter-transfer* [transfer-rule]:

assumes [transfer-rule]: *bi-unique A*

shows ((*A* \implies *op*) \implies *rel-set A* \implies *rel-set A*) *Set.filter Set.filter*

$\langle \text{proof} \rangle$

lemma *finite-transfer* [transfer-rule]:

bi-unique A \implies (*rel-set A* \implies *op* \implies *finite finite*)

$\langle \text{proof} \rangle$

lemma *card-transfer* [transfer-rule]:

bi-unique A \implies (*rel-set A* \implies *op* \implies *card card*)

$\langle \text{proof} \rangle$

lemma *vimage-parametric* [transfer-rule]:

assumes [transfer-rule]: *bi-total A bi-unique B*

shows ((*A* \implies *B*) \implies *rel-set B* \implies *rel-set A*) *vimage vimage*

$\langle \text{proof} \rangle$

```

lemma Image-parametric [transfer-rule]:
  assumes bi-unique A
  shows (rel-set (rel-prod A B)  $\implies$  rel-set A  $\implies$  rel-set B) op “ op “
   $\langle$ proof $\rangle$ 

```

end

```

lemma (in comm-monoid-set) F-parametric [transfer-rule]:
  fixes A :: 'b  $\Rightarrow$  'c  $\Rightarrow$  bool
  assumes bi-unique A
  shows rel-fun (rel-fun A (op =)) (rel-fun (rel-set A) (op =)) F F
   $\langle$ proof $\rangle$ 

```

```

lemmas sum-parametric = sum.F-parametric
lemmas prod-parametric = prod.F-parametric

```

```

lemma rel-set-UNION:
  assumes [transfer-rule]: rel-set Q A B rel-fun Q (rel-set R) f g
  shows rel-set R (UNION A f) (UNION B g)
   $\langle$ proof $\rangle$ 

```

end

67 The datatype of finite lists

```

theory List
imports Sledgehammer Code-Numeral Lifting-Set
begin

```

```

datatype (set: 'a) list =
  Nil ( $\square$ )
  | Cons (hd: 'a) (tl: 'a list) (infixr # 65)

```

for

```

  map: map
  rel: list-all2
  pred: list-all

```

where

```

  tl  $\square$  =  $\square$ 

```

datatype-compat *list*

```

lemma [case-names Nil Cons, cases type: list]:
  — for backward compatibility – names of variables differ
  (y =  $\square \implies P$ )  $\implies$  ( $\bigwedge a \text{ list. } y = a \# \text{ list} \implies P$ )  $\implies P$ 
   $\langle$ proof $\rangle$ 

```

```

lemma [case-names Nil Cons, induct type: list]:
  — for backward compatibility – names of variables differ
  P  $\square \implies$  ( $\bigwedge a \text{ list. } P \text{ list} \implies P (a \# \text{ list})$ )  $\implies P \text{ list}$ 

```

$\langle proof \rangle$

Compatibility:

$\langle ML \rangle$

lemmas *inducts* = *list.induct*

lemmas *recs* = *list.rec*

lemmas *cases* = *list.case*

$\langle ML \rangle$

lemmas *set-simps* = *list.set*

syntax

— list Enumeration

-list :: *args* => 'a *list* $[[(-)]]$

translations

$[x, xs] == x \# [xs]$

$[x] == x \# []$

67.1 Basic list processing functions

primrec (*nonexhaustive*) *last* :: 'a *list* \Rightarrow 'a **where**
last (*x* # *xs*) = (if *xs* = [] then *x* else *last xs*)

primrec *butlast* :: 'a *list* \Rightarrow 'a *list* **where**
butlast [] = [] |
butlast (*x* # *xs*) = (if *xs* = [] then [] else *x* # *butlast xs*)

lemma *set-rec*: *set xs* = *rec-list* {} ($\lambda x \cdot \text{insert } x$) *xs*
 $\langle proof \rangle$

definition *coset* :: 'a *list* \Rightarrow 'a *set* **where**
 $[simp]: \text{coset } xs = - \text{ set } xs$

primrec *append* :: 'a *list* \Rightarrow 'a *list* \Rightarrow 'a *list* (**infixr** @ 65) **where**
append-Nil: [] @ *ys* = *ys* |
append-Cons: (*x* # *xs*) @ *ys* = *x* # *xs* @ *ys*

primrec *rev* :: 'a *list* \Rightarrow 'a *list* **where**
rev [] = [] |
rev (*x* # *xs*) = *rev xs* @ [*x*]

primrec *filter*:: ('a \Rightarrow bool) \Rightarrow 'a *list* \Rightarrow 'a *list* **where**
filter *P* [] = [] |
filter *P* (*x* # *xs*) = (if *P* *x* then *x* # *filter P xs* else *filter P xs*)

Special syntax for filter:

syntax (*ASCII*)

-filter :: [*pttrn*, 'a list, bool] => 'a list ((1[-<-./ -]))

syntax

-filter :: [*pttrn*, 'a list, bool] => 'a list ((1[←-./ -]))

translations

[*x* < - *xs* . *P*] ⇒ *CONST filter* (λ*x*. *P*) *xs*

primrec *fold* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'a list ⇒ 'b ⇒ 'b **where**

fold-Nil: *fold* *f* [] = *id* |

fold-Cons: *fold* *f* (*x* # *xs*) = *fold* *f* *xs* ∘ *f* *x*

primrec *foldr* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'a list ⇒ 'b ⇒ 'b **where**

foldr-Nil: *foldr* *f* [] = *id* |

foldr-Cons: *foldr* *f* (*x* # *xs*) = *f* *x* ∘ *foldr* *f* *xs*

primrec *foldl* :: ('b ⇒ 'a ⇒ 'b) ⇒ 'b ⇒ 'a list ⇒ 'b **where**

foldl-Nil: *foldl* *f* *a* [] = *a* |

foldl-Cons: *foldl* *f* *a* (*x* # *xs*) = *foldl* *f* (*f* *a* *x*) *xs*

primrec *concat*:: 'a list list ⇒ 'a list **where**

concat [] = [] |

concat (*x* # *xs*) = *x* @ *concat* *xs*

primrec *drop*:: nat ⇒ 'a list ⇒ 'a list **where**

drop-Nil: *drop* *n* [] = [] |

drop-Cons: *drop* *n* (*x* # *xs*) = (case *n* of 0 ⇒ *x* # *xs* | Suc *m* ⇒ *drop* *m* *xs*)

— Warning: simpset does not contain this definition, but separate theorems for *n* = 0 and *n* = Suc *k*

primrec *take*:: nat ⇒ 'a list ⇒ 'a list **where**

take-Nil: *take* *n* [] = [] |

take-Cons: *take* *n* (*x* # *xs*) = (case *n* of 0 ⇒ [] | Suc *m* ⇒ *x* # *take* *m* *xs*)

— Warning: simpset does not contain this definition, but separate theorems for *n* = 0 and *n* = Suc *k*

primrec (*nonexhaustive*) *nth* :: 'a list ⇒ nat ⇒ 'a (**infixl** ! 100) **where**

nth-Cons: (*x* # *xs*) ! *n* = (case *n* of 0 ⇒ *x* | Suc *k* ⇒ *xs* ! *k*)

— Warning: simpset does not contain this definition, but separate theorems for *n* = 0 and *n* = Suc *k*

primrec *list-update* :: 'a list ⇒ nat ⇒ 'a ⇒ 'a list **where**

list-update [] *i* *v* = [] |

list-update (*x* # *xs*) *i* *v* =

(case *i* of 0 ⇒ *v* # *xs* | Suc *j* ⇒ *x* # *list-update* *xs* *j* *v*)

nonterminal *lupdbinds* and *lupdbind*

syntax

-lupdbind:: ['a, 'a] => lupdbind ((2- := / -))

$:: \text{lupdbind} \Rightarrow \text{lupdbinds} \quad (-)$
 $-\text{lupdbinds} :: [\text{lupdbind}, \text{lupdbinds}] \Rightarrow \text{lupdbinds} \quad (-, / -)$
 $-\text{LUpdate} :: ['a, \text{lupdbinds}] \Rightarrow 'a \quad (-/[(-)] [900,0] 900)$

translations

$-\text{LUpdate } xs \ (-\text{lupdbinds } b \ bs) == -\text{LUpdate } (-\text{LUpdate } xs \ b) \ bs$
 $xs[i:=x] == \text{CONST list-update } xs \ i \ x$

primrec $\text{takeWhile} :: ('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{takeWhile } P \ [] = [] \mid$
 $\text{takeWhile } P \ (x \# xs) = (\text{if } P \ x \text{ then } x \# \text{takeWhile } P \ xs \text{ else } [])$

primrec $\text{dropWhile} :: ('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{dropWhile } P \ [] = [] \mid$
 $\text{dropWhile } P \ (x \# xs) = (\text{if } P \ x \text{ then } \text{dropWhile } P \ xs \text{ else } x \# xs)$

primrec $\text{zip} :: 'a \text{ list} \Rightarrow 'b \text{ list} \Rightarrow ('a \times 'b) \text{ list}$ **where**
 $\text{zip } xs \ [] = [] \mid$
 $\text{zip-Cons: } \text{zip } xs \ (y \# ys) =$
 $(\text{case } xs \text{ of } [] \Rightarrow [] \mid z \# zs \Rightarrow (z, y) \# \text{zip } zs \ ys)$
 — Warning: simpset does not contain this definition, but separate theorems for
 $xs = []$ and $xs = z \# zs$

primrec $\text{product} :: 'a \text{ list} \Rightarrow 'b \text{ list} \Rightarrow ('a \times 'b) \text{ list}$ **where**
 $\text{product } [] \ - = [] \mid$
 $\text{product } (x \# xs) \ ys = \text{map } (\text{Pair } x) \ ys \ @ \ \text{product } xs \ ys$

hide-const (open) product

primrec $\text{product-lists} :: 'a \text{ list list} \Rightarrow 'a \text{ list list}$ **where**
 $\text{product-lists } [] = [[]] \mid$
 $\text{product-lists } (xs \# xss) = \text{concat } (\text{map } (\lambda x. \text{map } (\text{Cons } x) (\text{product-lists } xss)) \ xs)$

primrec $\text{upt} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat list} \ ((1[-..</-]))$ **where**
 $\text{upt-0: } [i..<0] = [] \mid$
 $\text{upt-Suc: } [i..<(\text{Suc } j)] = (\text{if } i \leq j \text{ then } [i..<j] \ @ \ [j] \text{ else } [])$

definition $\text{insert} :: 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{insert } x \ xs = (\text{if } x \in \text{set } xs \text{ then } xs \text{ else } x \# xs)$

definition $\text{union} :: 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{union} = \text{fold insert}$

hide-const (open) insert union
hide-fact (open) $\text{insert-def union-def}$

primrec $\text{find} :: ('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ option}$ **where**
 $\text{find } - \ [] = \text{None} \mid$
 $\text{find } P \ (x \# xs) = (\text{if } P \ x \text{ then } \text{Some } x \text{ else } \text{find } P \ xs)$

In the context of multisets, *count-list* is equivalent to *count* \circ *mset* and it is advisable to use the latter.

primrec *count-list* :: 'a list \Rightarrow 'a \Rightarrow nat **where**
count-list [] $y = 0$ |
count-list (x#xs) $y = (\text{if } x=y \text{ then } \text{count-list } xs \ y + 1 \text{ else } \text{count-list } xs \ y)$

definition

extract :: ('a \Rightarrow bool) \Rightarrow 'a list \Rightarrow ('a list * 'a * 'a list) option
where *extract* $P \ xs =$
 (case *dropWhile* (Not o P) xs of
 [] \Rightarrow None |
 y#ys \Rightarrow Some(*takeWhile* (Not o P) xs , y , ys))

hide-const (**open**) *extract*

primrec *those* :: 'a option list \Rightarrow 'a list option
where
those [] = Some [] |
those (x # xs) = (case x of
 None \Rightarrow None
 | Some $y \Rightarrow$ map-option (Cons y) (*those* xs))

primrec *remove1* :: 'a \Rightarrow 'a list \Rightarrow 'a list **where**
remove1 $x \ [] = []$ |
remove1 $x \ (y \# xs) = (\text{if } x = y \text{ then } xs \text{ else } y \# \text{remove1 } x \ xs)$

primrec *removeAll* :: 'a \Rightarrow 'a list \Rightarrow 'a list **where**
removeAll $x \ [] = []$ |
removeAll $x \ (y \# xs) = (\text{if } x = y \text{ then } \text{removeAll } x \ xs \text{ else } y \# \text{removeAll } x \ xs)$

primrec *distinct* :: 'a list \Rightarrow bool **where**
distinct [] \longleftrightarrow True |
distinct (x # xs) $\longleftrightarrow x \notin \text{set } xs \wedge \text{distinct } xs$

primrec *remdups* :: 'a list \Rightarrow 'a list **where**
remdups [] = [] |
remdups (x # xs) = (if $x \in \text{set } xs$ then *remdups* xs else $x \# \text{remdups } xs$)

fun *remdups-adj* :: 'a list \Rightarrow 'a list **where**
remdups-adj [] = [] |
remdups-adj [x] = [x] |
remdups-adj (x # y # xs) = (if $x = y$ then *remdups-adj* (x # xs) else $x \# \text{remdups-adj } (y \# xs)$)

primrec *replicate* :: nat \Rightarrow 'a \Rightarrow 'a list **where**
replicate-0: *replicate* 0 $x = []$ |
replicate-Suc: *replicate* (Suc n) $x = x \# \text{replicate } n \ x$

Function *size* is overloaded for all datatypes. Users may refer to the list

version as *length*.

abbreviation *length* :: 'a list \Rightarrow nat **where**
length \equiv *size*

definition *enumerate* :: nat \Rightarrow 'a list \Rightarrow (nat \times 'a) list **where**
enumerate-eq- zip : *enumerate* *n* *xs* = *zip* [*n*..*n* + *length* *xs*] *xs*

primrec *rotate1* :: 'a list \Rightarrow 'a list **where**
rotate1 [] = [] |
rotate1 (x # *xs*) = *xs* @ [x]

definition *rotate* :: nat \Rightarrow 'a list \Rightarrow 'a list **where**
rotate *n* = *rotate1* ^^ *n*

definition *nths* :: 'a list \Rightarrow nat set \Rightarrow 'a list **where**
nths *xs* *A* = *map* *fst* (*filter* ($\lambda p.$ *snd* *p* \in *A*) (*zip* *xs* [0..*size* *xs*]))

primrec *subseqs* :: 'a list \Rightarrow 'a list list **where**
subseqs [] = [[]] |
subseqs (x#*xs*) = (*let* *xss* = *subseqs* *xs* *in* *map* (*Cons* x) *xss* @ *xss*)

primrec *n-lists* :: nat \Rightarrow 'a list \Rightarrow 'a list list **where**
n-lists 0 *xs* = [[]] |
n-lists (*Suc* *n*) *xs* = *concat* (*map* ($\lambda y.$ *map* ($\lambda y.$ y # *ys*) *xs*) (*n-lists* *n* *xs*))

hide-const (**open**) *n-lists*

fun *splice* :: 'a list \Rightarrow 'a list \Rightarrow 'a list **where**
splice [] *ys* = *ys* |
splice *xs* [] = *xs* |
splice (x#*xs*) (y#*ys*) = x # y # *splice* *xs* *ys*

function *shuffle* **where**
shuffle [] *ys* = {*ys*}
| *shuffle* *xs* [] = {*xs*}
| *shuffle* (x # *xs*) (y # *ys*) = *op* # x ‘ *shuffle* *xs* (y # *ys*) \cup *op* # y ‘ *shuffle* (x # *xs*) *ys*
 $\langle \text{proof} \rangle$
termination $\langle \text{proof} \rangle$

Figure 1 shows characteristic examples that should give an intuitive understanding of the above functions.

The following simple sort functions are intended for proofs, not for efficient implementations.

A sorted predicate w.r.t. a relation:

fun *sorted-wrt* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow bool **where**
sorted-wrt *P* [] = True |

```

[a, b] @ [c, d] = [a, b, c, d]
length [a, b, c] = 3
set [a, b, c] = {a, b, c}
map f [a, b, c] = [f a, f b, f c]
rev [a, b, c] = [c, b, a]
hd [a, b, c, d] = a
tl [a, b, c, d] = [b, c, d]
last [a, b, c, d] = d
butlast [a, b, c, d] = [a, b, c]
filter (λn::nat. n<2) [0,2,1] = [0,1]
concat [[a, b], [c, d, e], [], [f]] = [a, b, c, d, e, f]
fold f [a, b, c] x = f c (f b (f a x))
foldr f [a, b, c] x = f a (f b (f c x))
foldl f x [a, b, c] = f (f (f x a) b) c
zip [a, b, c] [x, y, z] = [(a, x), (b, y), (c, z)]
zip [a, b] [x, y, z] = [(a, x), (b, y)]
enumerate 3 [a, b, c] = [(3, a), (4, b), (5, c)]
List.product [a, b] [c, d] = [(a, c), (a, d), (b, c), (b, d)]
product-lists [[a, b], [c], [d, e]] = [[a, c, d], [a, c, e], [b, c, d], [b, c, e]]
splice [a, b, c] [x, y, z] = [a, x, b, y, c, z]
splice [a, b, c, d] [x, y] = [a, x, b, y, c, d]
shuffle [a, b] [c, d] = {[a, b, c, d], [a, c, b, d], [a, c, d, b], [c, a, b, d], [c, a, d, b], [c, d, a, b]}
take 2 [a, b, c, d] = [a, b]
take 6 [a, b, c, d] = [a, b, c, d]
drop 2 [a, b, c, d] = [c, d]
drop 6 [a, b, c, d] = []
takeWhile (λn. n < 3) [1, 2, 3, 0] = [1, 2]
dropWhile (λn. n < 3) [1, 2, 3, 0] = [3, 0]
distinct [2, 0, 1]
remdups [2, 0, 2, 1, 2] = [0, 1, 2]
remdups-adj [2, 2, 3, 1, 1, 2, 1] = [2, 3, 1, 2, 1]
List.insert 2 [0, 1, 2] = [0, 1, 2]
List.insert 3 [0, 1, 2] = [3, 0, 1, 2]
List.union [2, 3, 4] [0, 1, 2] = [4, 3, 0, 1, 2]
find (op < 0) [0, 0] = None
find (op < 0) [0, 1, 0, 2] = Some 1
count-list [0, 1, 0, 2] 0 = 2
List.extract (op < 0) [0, 0] = None
List.extract (op < 0) [0, 1, 0, 2] = Some ([0], 1, [0, 2])
remove1 2 [2, 0, 2, 1, 2] = [0, 2, 1, 2]
removeAll 2 [2, 0, 2, 1, 2] = [0, 1]
[a, b, c, d] ! 2 = c
[a, b, c, d][2 := x] = [a, b, x, d]
nth [a, b, c, d, e] {0, 2, 3} = [a, c, d]
subseqs [a, b] = [[a, b], [a], [b], []]
List.n-lists 2 [a, b, c] = [[a, a], [b, a], [c, a], [a, b], [b, b], [c, b], [a, c], [b, c], [c, c]]
rotate1 [a, b, c, d] = [b, c, d, a]
rotate 3 [a, b, c, d] = [d, a, b, c]
replicate 4 a = [a, a, a, a]
[2..<5] = [2, 3, 4]

```

Figure 1: Characteristic examples

sorted-wrt P $[x] = \text{True} \mid$
sorted-wrt P $(x \# y \# zs) = (P\ x\ y \wedge \text{sorted-wrt}\ P\ (y \# zs))$

A class-based sorted predicate:

context *linorder*
begin

inductive *sorted* :: 'a list \Rightarrow bool **where**
 Nil [*iff*]: *sorted* []
 | *Cons*: $\forall y \in \text{set}\ xs. x \leq y \Longrightarrow \text{sorted}\ xs \Longrightarrow \text{sorted}\ (x \# xs)$

lemma *sorted-single* [*iff*]: *sorted* $[x]$
 <proof>

lemma *sorted-many*: $x \leq y \Longrightarrow \text{sorted}\ (y \# zs) \Longrightarrow \text{sorted}\ (x \# y \# zs)$
 <proof>

lemma *sorted-many-eq* [*simp*, *code*]:
 $\text{sorted}\ (x \# y \# zs) \longleftrightarrow x \leq y \wedge \text{sorted}\ (y \# zs)$
 <proof>

lemma [*code*]:
 $\text{sorted}\ [] \longleftrightarrow \text{True}$
 $\text{sorted}\ [x] \longleftrightarrow \text{True}$
 <proof>

primrec *insert-key* :: ('b \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'b list \Rightarrow 'b list **where**
insert-key $f\ x\ [] = [x] \mid$
insert-key $f\ x\ (y\#\!ys) =$
 $(\text{if } f\ x \leq f\ y \text{ then } (x\#\!y\#\!ys) \text{ else } y\#(\text{insert-key } f\ x\ ys))$

definition *sort-key* :: ('b \Rightarrow 'a) \Rightarrow 'b list \Rightarrow 'b list **where**
sort-key $f\ xs = \text{foldr}\ (\text{insert-key } f)\ xs\ []$

definition *insert-insert-key* :: ('b \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'b list \Rightarrow 'b list **where**
insert-insert-key $f\ x\ xs =$
 $(\text{if } f\ x \in f\ \text{' set } xs \text{ then } xs \text{ else } \text{insert-key } f\ x\ xs)$

abbreviation *sort* $\equiv \text{sort-key}\ (\lambda x. x)$
abbreviation *insert* $\equiv \text{insert-key}\ (\lambda x. x)$
abbreviation *insert-insert* $\equiv \text{insert-insert-key}\ (\lambda x. x)$

end

67.1.1 List comprehension

Input syntax for Haskell-like list comprehension notation. Typical example: $[(x,y). x \leftarrow xs, y \leftarrow ys, x \neq y]$, the list of all pairs of distinct elements from xs and ys . The syntax is as in Haskell, except that $|$ becomes

a dot (like in Isabelle’s set comprehension): $[e. x \leftarrow xs, \dots]$ rather than $[e \mid x \leftarrow xs, \dots]$.

The qualifiers after the dot are

generators $p \leftarrow xs$, where p is a pattern and xs an expression of list type,
or

guards b , where b is a boolean expression.

Just like in Haskell, list comprehension is just a shorthand. To avoid misunderstandings, the translation into desugared form is not reversed upon output. Note that the translation of $[e. x \leftarrow xs]$ is optimized to $map (\lambda x. e) xs$.

It is easy to write short list comprehensions which stand for complex expressions. During proofs, they may become unreadable (and mangled). In such cases it can be advisable to introduce separate definitions for the list comprehensions in question.

nonterminal *lc-qual* and *lc-quals*

syntax

```
-listcompr :: 'a ⇒ lc-qual ⇒ lc-quals ⇒ 'a list  ([ - . --)
-lc-gen    :: 'a ⇒ 'a list ⇒ lc-qual  (- ← -)
-lc-test   :: bool ⇒ lc-qual  (-)

-lc-end    :: lc-quals  ()
-lc-quals  :: lc-qual ⇒ lc-quals ⇒ lc-quals  (, --)
-lc-abs    :: 'a ⇒ 'b list ⇒ 'b list
```

syntax (ASCII)

```
-lc-gen :: 'a ⇒ 'a list ⇒ lc-qual  (- <- -)
```

$\langle ML \rangle$

code-datatype *set coset*

hide-const (**open**) *coset*

67.1.2 \square and *op*

lemma *not-Cons-self* [simp]:

```
xs ≠ x # xs
```

$\langle proof \rangle$

lemma *not-Cons-self2* [simp]: $x \# xs \neq xs$

$\langle proof \rangle$

lemma *neq-Nil-conv*: $(xs \neq []) = (\exists y \ ys. xs = y \# ys)$

<proof>

lemma *tl-Nil*: $tl\ xs = [] \longleftrightarrow xs = [] \vee (EX\ x.\ xs = [x])$
<proof>

lemma *Nil-tl*: $[] = tl\ xs \longleftrightarrow xs = [] \vee (EX\ x.\ xs = [x])$
<proof>

lemma *length-induct*:
 $(\bigwedge xs.\ \forall ys.\ length\ ys < length\ xs \longrightarrow P\ ys \Longrightarrow P\ xs) \Longrightarrow P\ xs$
<proof>

lemma *list-nonempty-induct* [*consumes 1, case-names single cons*]:
assumes $xs \neq []$
assumes *single*: $\bigwedge x.\ P\ [x]$
assumes *cons*: $\bigwedge x\ xs.\ xs \neq [] \Longrightarrow P\ xs \Longrightarrow P\ (x \# xs)$
shows $P\ xs$
<proof>

lemma *inj-split-Cons*: $inj\text{-}on\ (\lambda(xs, n).\ n \# xs)\ X$
<proof>

lemma *inj-on-Cons1* [*simp*]: $inj\text{-}on\ (op \# x)\ A$
<proof>

67.1.3 *length*

Needs to come before @ because of theorem *append-eq-append-conv*.

lemma *length-append* [*simp*]: $length\ (xs\ @\ ys) = length\ xs + length\ ys$
<proof>

lemma *length-map* [*simp*]: $length\ (map\ f\ xs) = length\ xs$
<proof>

lemma *length-rev* [*simp*]: $length\ (rev\ xs) = length\ xs$
<proof>

lemma *length-tl* [*simp*]: $length\ (tl\ xs) = length\ xs - 1$
<proof>

lemma *length-0-conv* [*iff*]: $(length\ xs = 0) = (xs = [])$
<proof>

lemma *length-greater-0-conv* [*iff*]: $(0 < length\ xs) = (xs \neq [])$
<proof>

lemma *length-pos-if-in-set*: $x : set\ xs \Longrightarrow length\ xs > 0$
<proof>

lemma *length-Suc-conv*:

$(\text{length } xs = \text{Suc } n) = (\exists y \ ys. \ xs = y \# \ ys \wedge \text{length } ys = n)$

$\langle \text{proof} \rangle$

lemma *Suc-length-conv*:

$(\text{Suc } n = \text{length } xs) = (\exists y \ ys. \ xs = y \# \ ys \wedge \text{length } ys = n)$

$\langle \text{proof} \rangle$

lemma *impossible-Cons*: $\text{length } xs <= \text{length } ys \implies xs = x \# \ ys = \text{False}$

$\langle \text{proof} \rangle$

lemma *list-induct2* [consumes 1, case-names Nil Cons]:

$\text{length } xs = \text{length } ys \implies P \ [] \implies$

$(\bigwedge x \ xs \ y \ ys. \ \text{length } xs = \text{length } ys \implies P \ xs \ ys \implies P \ (x \# \ xs) \ (y \# \ ys))$

$\implies P \ xs \ ys$

$\langle \text{proof} \rangle$

lemma *list-induct3* [consumes 2, case-names Nil Cons]:

$\text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies P \ [] \ [] \implies$

$(\bigwedge x \ xs \ y \ ys \ z \ zs. \ \text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies P \ xs \ ys \ zs \implies P \ (x \# \ xs) \ (y \# \ ys) \ (z \# \ zs))$

$\implies P \ xs \ ys \ zs$

$\langle \text{proof} \rangle$

lemma *list-induct4* [consumes 3, case-names Nil Cons]:

$\text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies \text{length } zs = \text{length } ws \implies$

$P \ [] \ [] \ [] \implies (\bigwedge x \ xs \ y \ ys \ z \ zs \ w \ ws. \ \text{length } xs = \text{length } ys \implies$

$\text{length } ys = \text{length } zs \implies \text{length } zs = \text{length } ws \implies P \ xs \ ys \ zs \ ws \implies$

$P \ (x \# \ xs) \ (y \# \ ys) \ (z \# \ zs) \ (w \# \ ws)) \implies P \ xs \ ys \ zs \ ws$

$\langle \text{proof} \rangle$

lemma *list-induct2'*:

$\llbracket P \ [] \ [];$

$\bigwedge x \ xs. \ P \ (x \# \ xs) \ [];$

$\bigwedge y \ ys. \ P \ [] \ (y \# \ ys);$

$\bigwedge x \ xs \ y \ ys. \ P \ xs \ ys \implies P \ (x \# \ xs) \ (y \# \ ys) \rrbracket$

$\implies P \ xs \ ys$

$\langle \text{proof} \rangle$

lemma *list-all2-iff*:

$\text{list-all2 } P \ xs \ ys \longleftrightarrow \text{length } xs = \text{length } ys \wedge (\forall (x, y) \in \text{set } (\text{zip } xs \ ys). \ P \ x \ y)$

$\langle \text{proof} \rangle$

lemma *neq-if-length-neq*: $\text{length } xs \neq \text{length } ys \implies (xs = ys) == \text{False}$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

67.1.4 @ – append**global-interpretation** *append: monoid append Nil**<proof>***lemma** *append-assoc [simp]: (xs @ ys) @ zs = xs @ (ys @ zs)**<proof>***lemma** *append-Nil2: xs @ [] = xs**<proof>***lemma** *append-is-Nil-conv [iff]: (xs @ ys = []) = (xs = [] ∧ ys = [])**<proof>***lemma** *Nil-is-append-conv [iff]: ([] = xs @ ys) = (xs = [] ∧ ys = [])**<proof>***lemma** *append-self-conv [iff]: (xs @ ys = xs) = (ys = [])**<proof>***lemma** *self-append-conv [iff]: (xs = xs @ ys) = (ys = [])**<proof>***lemma** *append-eq-append-conv [simp]:**length xs = length ys ∨ length us = length vs**==> (xs@us = ys@vs) = (xs=ys ∧ us=vs)**<proof>***lemma** *append-eq-append-conv2: (xs @ ys = zs @ ts) =**(EX us. xs = zs @ us & us @ ys = ts | xs @ us = zs & ys = us@ts)**<proof>***lemma** *same-append-eq [iff, induct-simp]: (xs @ ys = xs @ zs) = (ys = zs)**<proof>***lemma** *append1-eq-conv [iff]: (xs @ [x] = ys @ [y]) = (xs = ys ∧ x = y)**<proof>***lemma** *append-same-eq [iff, induct-simp]: (ys @ xs = zs @ xs) = (ys = zs)**<proof>***lemma** *append-self-conv2 [iff]: (xs @ ys = ys) = (xs = [])**<proof>***lemma** *self-append-conv2 [iff]: (ys = xs @ ys) = (xs = [])**<proof>***lemma** *hd-Cons-tl: xs ≠ [] ==> hd xs ≠ tl xs = xs**<proof>*

lemma *hd-append*: $hd\ (xs\ @\ ys) = (if\ xs = []\ then\ hd\ ys\ else\ hd\ xs)$
 $\langle proof \rangle$

lemma *hd-append2 [simp]*: $xs \neq [] \implies hd\ (xs\ @\ ys) = hd\ xs$
 $\langle proof \rangle$

lemma *tl-append*: $tl\ (xs\ @\ ys) = (case\ xs\ of\ [] \implies tl\ ys \mid z\#\!zs \implies zs\ @\ ys)$
 $\langle proof \rangle$

lemma *tl-append2 [simp]*: $xs \neq [] \implies tl\ (xs\ @\ ys) = tl\ xs\ @\ ys$
 $\langle proof \rangle$

lemma *Cons-eq-append-conv*: $x\#\!xs = ys\@\!zs =$
 $(ys = [] \ \&\ x\#\!xs = zs \mid (EX\ ys'.\ x\#\!ys' = ys \ \&\ xs = ys'\@\!zs))$
 $\langle proof \rangle$

lemma *append-eq-Cons-conv*: $(ys\@\!zs = x\#\!xs) =$
 $(ys = [] \ \&\ zs = x\#\!xs \mid (EX\ ys'.\ ys = x\#\!ys' \ \&\ ys'\@\!zs = xs))$
 $\langle proof \rangle$

lemma *longest-common-prefix*:
 $\exists ps\ xs'\ ys'.\ xs = ps\ @\ xs' \wedge ys = ps\ @\ ys'$
 $\wedge (xs' = [] \vee ys' = [] \vee hd\ xs' \neq hd\ ys')$
 $\langle proof \rangle$

Trivial rules for solving @-equations automatically.

lemma *eq-Nil-appendI*: $xs = ys \implies xs = []\ @\ ys$
 $\langle proof \rangle$

lemma *Cons-eq-appendI*:
 $[| x\#\!xs1 = ys; xs = xs1\ @\ zs |] \implies x\#\!xs = ys\ @\ zs$
 $\langle proof \rangle$

lemma *append-eq-appendI*:
 $[| xs\ @\ xs1 = zs; ys = xs1\ @\ us |] \implies xs\ @\ ys = zs\ @\ us$
 $\langle proof \rangle$

Simplification procedure for all list equalities. Currently only tries to rearrange @ to see if - both lists end in a singleton list, - or both lists end in the same list.

$\langle ML \rangle$

67.1.5 map

lemma *hd-map*: $xs \neq [] \implies hd\ (map\ f\ xs) = f\ (hd\ xs)$
 $\langle proof \rangle$

lemma *map-tl*: $map\ f\ (tl\ xs) = tl\ (map\ f\ xs)$

$\langle proof \rangle$

lemma *map-ext*: $(\lambda x. x : set\ xs \dashrightarrow f\ x = g\ x) \implies map\ f\ xs = map\ g\ xs$
 $\langle proof \rangle$

lemma *map-ident* [*simp*]: $map\ (\lambda x. x) = (\lambda xs. xs)$
 $\langle proof \rangle$

lemma *map-append* [*simp*]: $map\ f\ (xs\ @\ ys) = map\ f\ xs\ @\ map\ f\ ys$
 $\langle proof \rangle$

lemma *map-map* [*simp*]: $map\ f\ (map\ g\ xs) = map\ (f \circ g)\ xs$
 $\langle proof \rangle$

lemma *map-comp-map* [*simp*]: $((map\ f)\ o\ (map\ g)) = map\ (f\ o\ g)$
 $\langle proof \rangle$

lemma *rev-map*: $rev\ (map\ f\ xs) = map\ f\ (rev\ xs)$
 $\langle proof \rangle$

lemma *map-eq-conv* [*simp*]: $(map\ f\ xs = map\ g\ xs) = (\lambda x : set\ xs. f\ x = g\ x)$
 $\langle proof \rangle$

lemma *map-cong* [*fundef-cong*]:
 $xs = ys \implies (\bigwedge x. x \in set\ ys \implies f\ x = g\ x) \implies map\ f\ xs = map\ g\ ys$
 $\langle proof \rangle$

lemma *map-is-Nil-conv* [*iff*]: $(map\ f\ xs = []) = (xs = [])$
 $\langle proof \rangle$

lemma *Nil-is-map-conv* [*iff*]: $([] = map\ f\ xs) = (xs = [])$
 $\langle proof \rangle$

lemma *map-eq-Cons-conv*:
 $(map\ f\ xs = y\#\!ys) = (\exists z\ zs. xs = z\#\!zs \wedge f\ z = y \wedge map\ f\ zs = ys)$
 $\langle proof \rangle$

lemma *Cons-eq-map-conv*:
 $(x\#\!xs = map\ f\ ys) = (\exists z\ zs. ys = z\#\!zs \wedge x = f\ z \wedge xs = map\ f\ zs)$
 $\langle proof \rangle$

lemmas *map-eq-Cons-D* = *map-eq-Cons-conv* [*THEN* *iffD1*]

lemmas *Cons-eq-map-D* = *Cons-eq-map-conv* [*THEN* *iffD1*]

declare *map-eq-Cons-D* [*dest!*] *Cons-eq-map-D* [*dest!*]

lemma *ex-map-conv*:
 $(EX\ xs. ys = map\ f\ xs) = (ALL\ y : set\ ys. EX\ x. y = f\ x)$
 $\langle proof \rangle$

lemma *map-eq-imp-length-eq*:

assumes $\text{map } f \text{ } xs = \text{map } g \text{ } ys$

shows $\text{length } xs = \text{length } ys$

$\langle \text{proof} \rangle$

lemma *map-inj-on*:

$[[\text{map } f \text{ } xs = \text{map } f \text{ } ys; \text{inj-on } f \text{ } (\text{set } xs \text{ } Un \text{ } \text{set } ys)]]$

$\implies xs = ys$

$\langle \text{proof} \rangle$

lemma *inj-on-map-eq-map*:

$\text{inj-on } f \text{ } (\text{set } xs \text{ } Un \text{ } \text{set } ys) \implies (\text{map } f \text{ } xs = \text{map } f \text{ } ys) = (xs = ys)$

$\langle \text{proof} \rangle$

lemma *map-injective*:

$\text{map } f \text{ } xs = \text{map } f \text{ } ys \implies \text{inj } f \implies xs = ys$

$\langle \text{proof} \rangle$

lemma *inj-map-eq-map[simp]*: $\text{inj } f \implies (\text{map } f \text{ } xs = \text{map } f \text{ } ys) = (xs = ys)$

$\langle \text{proof} \rangle$

lemma *inj-mapI*: $\text{inj } f \implies \text{inj } (\text{map } f)$

$\langle \text{proof} \rangle$

lemma *inj-mapD*: $\text{inj } (\text{map } f) \implies \text{inj } f$

$\langle \text{proof} \rangle$

lemma *inj-map[iff]*: $\text{inj } (\text{map } f) = \text{inj } f$

$\langle \text{proof} \rangle$

lemma *inj-on-mapI*: $\text{inj-on } f \text{ } (\bigcup (\text{set } 'A)) \implies \text{inj-on } (\text{map } f) \text{ } A$

$\langle \text{proof} \rangle$

lemma *map-idI*: $(\bigwedge x. x \in \text{set } xs \implies f \text{ } x = x) \implies \text{map } f \text{ } xs = xs$

$\langle \text{proof} \rangle$

lemma *map-fun-upd [simp]*: $y \notin \text{set } xs \implies \text{map } (f(y:=v)) \text{ } xs = \text{map } f \text{ } xs$

$\langle \text{proof} \rangle$

lemma *map-fst-zip[simp]*:

$\text{length } xs = \text{length } ys \implies \text{map fst } (\text{zip } xs \text{ } ys) = xs$

$\langle \text{proof} \rangle$

lemma *map-snd-zip[simp]*:

$\text{length } xs = \text{length } ys \implies \text{map snd } (\text{zip } xs \text{ } ys) = ys$

$\langle \text{proof} \rangle$

functor *map*: *map*

$\langle \text{proof} \rangle$

declare *map.id* [*simp*]

67.1.6 *rev*

lemma *rev-append* [*simp*]: $\text{rev } (xs @ ys) = \text{rev } ys @ \text{rev } xs$
 ⟨*proof*⟩

lemma *rev-rev-ident* [*simp*]: $\text{rev } (\text{rev } xs) = xs$
 ⟨*proof*⟩

lemma *rev-swap*: $(\text{rev } xs = ys) = (xs = \text{rev } ys)$
 ⟨*proof*⟩

lemma *rev-is-Nil-conv* [*iff*]: $(\text{rev } xs = []) = (xs = [])$
 ⟨*proof*⟩

lemma *Nil-is-rev-conv* [*iff*]: $([] = \text{rev } xs) = (xs = [])$
 ⟨*proof*⟩

lemma *rev-singleton-conv* [*simp*]: $(\text{rev } xs = [x]) = (xs = [x])$
 ⟨*proof*⟩

lemma *singleton-rev-conv* [*simp*]: $([x] = \text{rev } xs) = (xs = [x])$
 ⟨*proof*⟩

lemma *rev-is-rev-conv* [*iff*]: $(\text{rev } xs = \text{rev } ys) = (xs = ys)$
 ⟨*proof*⟩

lemma *inj-on-rev* [*iff*]: *inj-on* *rev A*
 ⟨*proof*⟩

lemma *rev-induct* [*case-names Nil snoc*]:
 $[\![P]\!]; !!x \text{ } xs. P \text{ } xs ==> P \text{ } (xs @ [x]) [\![]\!] ==> P \text{ } xs$
 ⟨*proof*⟩

lemma *rev-exhaust* [*case-names Nil snoc*]:
 $(xs = [] ==> P) ==> (!!ys \text{ } y. xs = ys @ [y] ==> P) ==> P$
 ⟨*proof*⟩

lemmas *rev-cases* = *rev-exhaust*

lemma *rev-nonempty-induct* [*consumes 1, case-names single snoc*]:
assumes $xs \neq []$
and *single*: $\bigwedge x. P \text{ } [x]$
and *snoc'*: $\bigwedge x \text{ } xs. xs \neq [] \implies P \text{ } xs \implies P \text{ } (xs @ [x])$
shows $P \text{ } xs$
 ⟨*proof*⟩

lemma *rev-eq-Cons-iff*[*iff*]: $(\text{rev } xs = y \# ys) = (xs = \text{rev } ys @ [y])$
 ⟨*proof*⟩

67.1.7 set

declare *list.set*[*code-post*] — pretty output

lemma *finite-set* [*iff*]: *finite* (*set xs*)
 ⟨*proof*⟩

lemma *set-append* [*simp*]: *set* (*xs @ ys*) = (*set xs* \cup *set ys*)
 ⟨*proof*⟩

lemma *hd-in-set*[*simp*]: $xs \neq [] \implies \text{hd } xs : \text{set } xs$
 ⟨*proof*⟩

lemma *set-subset-Cons*: $\text{set } xs \subseteq \text{set } (x \# xs)$
 ⟨*proof*⟩

lemma *set-ConsD*: $y \in \text{set } (x \# xs) \implies y = x \vee y \in \text{set } xs$
 ⟨*proof*⟩

lemma *set-empty* [*iff*]: $(\text{set } xs = \{\}) = (xs = [])$
 ⟨*proof*⟩

lemma *set-empty2*[*iff*]: $(\{\} = \text{set } xs) = (xs = [])$
 ⟨*proof*⟩

lemma *set-rev* [*simp*]: *set* (*rev xs*) = *set xs*
 ⟨*proof*⟩

lemma *set-map* [*simp*]: *set* (*map f xs*) = *f*’(*set xs*)
 ⟨*proof*⟩

lemma *set-filter* [*simp*]: *set* (*filter P xs*) = $\{x. x : \text{set } xs \wedge P x\}$
 ⟨*proof*⟩

lemma *set-upt* [*simp*]: *set*[*i..<j*] = $\{i..<j\}$
 ⟨*proof*⟩

lemma *split-list*: $x : \text{set } xs \implies \exists ys zs. xs = ys @ x \# zs$
 ⟨*proof*⟩

lemma *in-set-conv-decomp*: $x \in \text{set } xs \longleftrightarrow (\exists ys zs. xs = ys @ x \# zs)$
 ⟨*proof*⟩

lemma *split-list-first*: $x : \text{set } xs \implies \exists ys zs. xs = ys @ x \# zs \wedge x \notin \text{set } ys$
 ⟨*proof*⟩

lemma *in-set-conv-decomp-first*:

$(x : \text{set } xs) = (\exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } ys)$
 $\langle \text{proof} \rangle$

lemma *split-list-last*: $x \in \text{set } xs \implies \exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } zs$
 $\langle \text{proof} \rangle$

lemma *in-set-conv-decomp-last*:

$(x : \text{set } xs) = (\exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } zs)$
 $\langle \text{proof} \rangle$

lemma *split-list-prop*: $\exists x \in \text{set } xs. P\ x \implies \exists ys\ x\ zs. xs = ys @ x \# zs \ \& \ P\ x$
 $\langle \text{proof} \rangle$

lemma *split-list-propE*:

assumes $\exists x \in \text{set } xs. P\ x$

obtains $ys\ x\ zs$ **where** $xs = ys @ x \# zs$ **and** $P\ x$

$\langle \text{proof} \rangle$

lemma *split-list-first-prop*:

$\exists x \in \text{set } xs. P\ x \implies$

$\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P\ x \wedge (\forall y \in \text{set } ys. \neg P\ y)$

$\langle \text{proof} \rangle$

lemma *split-list-first-propE*:

assumes $\exists x \in \text{set } xs. P\ x$

obtains $ys\ x\ zs$ **where** $xs = ys @ x \# zs$ **and** $P\ x$ **and** $\forall y \in \text{set } ys. \neg P\ y$

$\langle \text{proof} \rangle$

lemma *split-list-first-prop-iff*:

$(\exists x \in \text{set } xs. P\ x) \longleftrightarrow$

$(\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P\ x \wedge (\forall y \in \text{set } ys. \neg P\ y))$

$\langle \text{proof} \rangle$

lemma *split-list-last-prop*:

$\exists x \in \text{set } xs. P\ x \implies$

$\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P\ x \wedge (\forall z \in \text{set } zs. \neg P\ z)$

$\langle \text{proof} \rangle$

lemma *split-list-last-propE*:

assumes $\exists x \in \text{set } xs. P\ x$

obtains $ys\ x\ zs$ **where** $xs = ys @ x \# zs$ **and** $P\ x$ **and** $\forall z \in \text{set } zs. \neg P\ z$

$\langle \text{proof} \rangle$

lemma *split-list-last-prop-iff*:

$(\exists x \in \text{set } xs. P\ x) \longleftrightarrow$

$(\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P\ x \wedge (\forall z \in \text{set } zs. \neg P\ z))$

$\langle \text{proof} \rangle$

lemma *finite-list*: $\text{finite } A \implies \exists x \text{ set } xs = A$
 $\langle \text{proof} \rangle$

lemma *card-length*: $\text{card } (\text{set } xs) \leq \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *set-minus-filter-out*:
 $\text{set } xs - \{y\} = \text{set } (\text{filter } (\lambda x. \neg (x = y)) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *append-Cons-eq-iff*:
 $\llbracket x \notin \text{set } xs; x \notin \text{set } ys \rrbracket \implies$
 $xs @ x \# ys = xs' @ x \# ys' \longleftrightarrow (xs = xs' \wedge ys = ys')$
 $\langle \text{proof} \rangle$

67.1.8 filter

lemma *filter-append* [simp]: $\text{filter } P (xs @ ys) = \text{filter } P \text{ } xs @ \text{filter } P \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *rev-filter*: $\text{rev } (\text{filter } P \text{ } xs) = \text{filter } P \text{ } (\text{rev } xs)$
 $\langle \text{proof} \rangle$

lemma *filter-filter* [simp]: $\text{filter } P (\text{filter } Q \text{ } xs) = \text{filter } (\lambda x. Q \text{ } x \wedge P \text{ } x) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *length-filter-le* [simp]: $\text{length } (\text{filter } P \text{ } xs) \leq \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *sum-length-filter-compl*:
 $\text{length}(\text{filter } P \text{ } xs) + \text{length}(\text{filter } (\%x. \neg P \text{ } x) \text{ } xs) = \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *filter-True* [simp]: $\forall x \in \text{set } xs. P \text{ } x \implies \text{filter } P \text{ } xs = xs$
 $\langle \text{proof} \rangle$

lemma *filter-False* [simp]: $\forall x \in \text{set } xs. \neg P \text{ } x \implies \text{filter } P \text{ } xs = []$
 $\langle \text{proof} \rangle$

lemma *filter-empty-conv*: $(\text{filter } P \text{ } xs = []) = (\forall x \in \text{set } xs. \neg P \text{ } x)$
 $\langle \text{proof} \rangle$

lemma *filter-id-conv*: $(\text{filter } P \text{ } xs = xs) = (\forall x \in \text{set } xs. P \text{ } x)$
 $\langle \text{proof} \rangle$

lemma *filter-map*: $\text{filter } P (\text{map } f \text{ } xs) = \text{map } f (\text{filter } (P \circ f) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *length-filter-map*[simp]:
 $\text{length}(\text{filter } P (\text{map } f \text{ } xs)) = \text{length}(\text{filter } (P \circ f) \text{ } xs)$
 <proof>

lemma *filter-is-subset* [simp]: $\text{set}(\text{filter } P \text{ } xs) \leq \text{set } xs$
 <proof>

lemma *length-filter-less*:
 $\llbracket x : \text{set } xs; \sim P \text{ } x \rrbracket \implies \text{length}(\text{filter } P \text{ } xs) < \text{length } xs$
 <proof>

lemma *length-filter-conv-card*:
 $\text{length}(\text{filter } p \text{ } xs) = \text{card}\{i. i < \text{length } xs \ \& \ p(xs!i)\}$
 <proof>

lemma *Cons-eq-filterD*:
 $x \# xs = \text{filter } P \text{ } ys \implies$
 $\exists us \text{ } vs. ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P \text{ } u) \wedge P \text{ } x \wedge xs = \text{filter } P \text{ } vs$
 (is - $\implies \exists us \text{ } vs. ?P \text{ } ys \text{ } us \text{ } vs$)
 <proof>

lemma *filter-eq-ConsD*:
 $\text{filter } P \text{ } ys = x \# xs \implies$
 $\exists us \text{ } vs. ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P \text{ } u) \wedge P \text{ } x \wedge xs = \text{filter } P \text{ } vs$
 <proof>

lemma *filter-eq-Cons-iff*:
 $(\text{filter } P \text{ } ys = x \# xs) =$
 $(\exists us \text{ } vs. ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P \text{ } u) \wedge P \text{ } x \wedge xs = \text{filter } P \text{ } vs)$
 <proof>

lemma *Cons-eq-filter-iff*:
 $(x \# xs = \text{filter } P \text{ } ys) =$
 $(\exists us \text{ } vs. ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P \text{ } u) \wedge P \text{ } x \wedge xs = \text{filter } P \text{ } vs)$
 <proof>

lemma *inj-on-filter-key-eq*:
assumes *inj-on* f (*insert* y (*set* xs))
shows $[x \leftarrow xs \text{ } . \text{ } f \text{ } y = f \text{ } x] = \text{filter } (HOL.eq \text{ } y) \text{ } xs$
 <proof>

lemma *filter-cong*[fundef-cong]:
 $xs = ys \implies (\bigwedge x. x \in \text{set } ys \implies P \text{ } x = Q \text{ } x) \implies \text{filter } P \text{ } xs = \text{filter } Q \text{ } ys$
 <proof>

67.1.9 List partitioning

primrec *partition* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \times 'a \text{ list}$ **where**

$\text{partition } P \ [] = ([], []) \mid$
 $\text{partition } P \ (x \# xs) =$
 $\quad (\text{let } (yes, no) = \text{partition } P \ xs$
 $\quad \text{in if } P \ x \text{ then } (x \# yes, no) \text{ else } (yes, x \# no))$

lemma *partition-filter1*: $\text{fst } (\text{partition } P \ xs) = \text{filter } P \ xs$
 $\langle \text{proof} \rangle$

lemma *partition-filter2*: $\text{snd } (\text{partition } P \ xs) = \text{filter } (\text{Not } o \ P) \ xs$
 $\langle \text{proof} \rangle$

lemma *partition-P*:
 $\text{assumes } \text{partition } P \ xs = (yes, no)$
 $\text{shows } (\forall p \in \text{set } yes. \ P \ p) \wedge (\forall p \in \text{set } no. \neg P \ p)$
 $\langle \text{proof} \rangle$

lemma *partition-set*:
 $\text{assumes } \text{partition } P \ xs = (yes, no)$
 $\text{shows } \text{set } yes \cup \text{set } no = \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *partition-filter-conv*[*simp*]:
 $\text{partition } f \ xs = (\text{filter } f \ xs, \text{filter } (\text{Not } o \ f) \ xs)$
 $\langle \text{proof} \rangle$

declare *partition.simps*[*simp del*]

67.1.10 concat

lemma *concat-append* [*simp*]: $\text{concat } (xs \ @ \ ys) = \text{concat } xs \ @ \ \text{concat } ys$
 $\langle \text{proof} \rangle$

lemma *concat-eq-Nil-conv* [*simp*]: $(\text{concat } xss = []) = (\forall xs \in \text{set } xss. \ xs = [])$
 $\langle \text{proof} \rangle$

lemma *Nil-eq-concat-conv* [*simp*]: $([] = \text{concat } xss) = (\forall xs \in \text{set } xss. \ xs = [])$
 $\langle \text{proof} \rangle$

lemma *set-concat* [*simp*]: $\text{set } (\text{concat } xs) = (\bigcup x : \text{set } xs. \ \text{set } x)$
 $\langle \text{proof} \rangle$

lemma *concat-map-singleton*[*simp*]: $\text{concat}(\text{map } (\%x. \ [f \ x]) \ xs) = \text{map } f \ xs$
 $\langle \text{proof} \rangle$

lemma *map-concat*: $\text{map } f \ (\text{concat } xs) = \text{concat } (\text{map } (\text{map } f) \ xs)$
 $\langle \text{proof} \rangle$

lemma *filter-concat*: $\text{filter } p \ (\text{concat } xs) = \text{concat } (\text{map } (\text{filter } p) \ xs)$
 $\langle \text{proof} \rangle$

lemma *rev-concat*: $\text{rev } (\text{concat } xs) = \text{concat } (\text{map } \text{rev } (\text{rev } xs))$
 $\langle \text{proof} \rangle$

lemma *concat-eq-concat-iff*: $\forall (x, y) \in \text{set } (\text{zip } xs \ ys). \text{length } x = \text{length } y \implies$
 $\text{length } xs = \text{length } ys \implies (\text{concat } xs = \text{concat } ys) = (xs = ys)$
 $\langle \text{proof} \rangle$

lemma *concat-injective*: $\text{concat } xs = \text{concat } ys \implies \text{length } xs = \text{length } ys \implies$
 $\forall (x, y) \in \text{set } (\text{zip } xs \ ys). \text{length } x = \text{length } y \implies xs = ys$
 $\langle \text{proof} \rangle$

67.1.11 op !

lemma *nth-Cons-0* [*simp*, *code*]: $(x \# xs)!0 = x$
 $\langle \text{proof} \rangle$

lemma *nth-Cons-Suc* [*simp*, *code*]: $(x \# xs)!(\text{Suc } n) = xs!n$
 $\langle \text{proof} \rangle$

declare *nth.simps* [*simp del*]

lemma *nth-Cons-pos*[*simp*]: $0 < n \implies (x \# xs)!n = xs!(n - 1)$
 $\langle \text{proof} \rangle$

lemma *nth-append*:
 $(xs @ ys)!n = (\text{if } n < \text{length } xs \text{ then } xs!n \text{ else } ys!(n - \text{length } xs))$
 $\langle \text{proof} \rangle$

lemma *nth-append-length* [*simp*]: $(xs @ x \# ys)! \text{length } xs = x$
 $\langle \text{proof} \rangle$

lemma *nth-append-length-plus*[*simp*]: $(xs @ ys)!(\text{length } xs + n) = ys!n$
 $\langle \text{proof} \rangle$

lemma *nth-map* [*simp*]: $n < \text{length } xs \implies (\text{map } f \ xs)!n = f(xs!n)$
 $\langle \text{proof} \rangle$

lemma *nth-tl*:
assumes $n < \text{length } (\text{tl } x)$ **shows** $\text{tl } x!n = x! \text{Suc } n$
 $\langle \text{proof} \rangle$

lemma *hd-conv-nth*: $xs \neq [] \implies \text{hd } xs = xs!0$
 $\langle \text{proof} \rangle$

lemma *list-eq-iff-nth-eq*:
 $(xs = ys) = (\text{length } xs = \text{length } ys \wedge (\text{ALL } i < \text{length } xs. xs!i = ys!i))$
 $\langle \text{proof} \rangle$

lemma *set-conv-nth*: $\text{set } xs = \{xs!i \mid i. i < \text{length } xs\}$
 $\langle \text{proof} \rangle$

lemma *in-set-conv-nth*: $(x \in \text{set } xs) = (\exists i < \text{length } xs. xs!i = x)$
 $\langle \text{proof} \rangle$

lemma *nth-equal-first-eq*:
assumes $x \notin \text{set } xs$
assumes $n \leq \text{length } xs$
shows $(x \# xs) ! n = x \longleftrightarrow n = 0$ (**is** $?lhs \longleftrightarrow ?rhs$)
 $\langle \text{proof} \rangle$

lemma *nth-non-equal-first-eq*:
assumes $x \neq y$
shows $(x \# xs) ! n = y \longleftrightarrow xs ! (n - 1) = y \wedge n > 0$ (**is** $?lhs \longleftrightarrow ?rhs$)
 $\langle \text{proof} \rangle$

lemma *list-ball-nth*: $[\mid n < \text{length } xs; !x : \text{set } xs. P x] \implies P(xs!n)$
 $\langle \text{proof} \rangle$

lemma *nth-mem* [simp]: $n < \text{length } xs \implies xs!n : \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *all-nth-imp-all-set*:
 $[\mid !i < \text{length } xs. P(xs!i); x : \text{set } xs] \implies P x$
 $\langle \text{proof} \rangle$

lemma *all-set-conv-all-nth*:
 $(\forall x \in \text{set } xs. P x) = (\forall i. i < \text{length } xs \longrightarrow P (xs ! i))$
 $\langle \text{proof} \rangle$

lemma *rev-nth*:
 $n < \text{size } xs \implies \text{rev } xs ! n = xs ! (\text{length } xs - \text{Suc } n)$
 $\langle \text{proof} \rangle$

lemma *Skolem-list-nth*:
 $(\text{ALL } i < k. \text{EX } x. P i x) = (\text{EX } xs. \text{size } xs = k \ \& \ (\text{ALL } i < k. P i (xs!i)))$
(is $- = (\text{EX } xs. ?P k xs)$ **)**
 $\langle \text{proof} \rangle$

67.1.12 list-update

lemma *length-list-update* [simp]: $\text{length}(xs[i:=x]) = \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *nth-list-update*:
 $i < \text{length } xs \implies (xs[i:=x])!j = (\text{if } i = j \text{ then } x \text{ else } xs!j)$
 $\langle \text{proof} \rangle$

lemma *nth-list-update-eq* [simp]: $i < \text{length } xs \implies (xs[i:=x])!i = x$
 ⟨proof⟩

lemma *nth-list-update-neq* [simp]: $i \neq j \implies xs[i:=x]!j = xs!j$
 ⟨proof⟩

lemma *list-update-id* [simp]: $xs[i := xs!i] = xs$
 ⟨proof⟩

lemma *list-update-beyond* [simp]: $\text{length } xs \leq i \implies xs[i:=x] = xs$
 ⟨proof⟩

lemma *list-update-nonempty* [simp]: $xs[k:=x] = [] \longleftrightarrow xs=[]$
 ⟨proof⟩

lemma *list-update-same-conv*:
 $i < \text{length } xs \implies (xs[i := x] = xs) = (xs!i = x)$
 ⟨proof⟩

lemma *list-update-append1*:
 $i < \text{size } xs \implies (xs @ ys)[i:=x] = xs[i:=x] @ ys$
 ⟨proof⟩

lemma *list-update-append*:
 $(xs @ ys)[n:=x] =$
 $(\text{if } n < \text{length } xs \text{ then } xs[n:=x] @ ys \text{ else } xs @ (ys[n-\text{length } xs:=x]))$
 ⟨proof⟩

lemma *list-update-length* [simp]:
 $(xs @ x \# ys)[\text{length } xs := y] = (xs @ y \# ys)$
 ⟨proof⟩

lemma *map-update*: $\text{map } f (xs[k:=y]) = (\text{map } f xs)[k := f y]$
 ⟨proof⟩

lemma *rev-update*:
 $k < \text{length } xs \implies \text{rev } (xs[k:=y]) = (\text{rev } xs)[\text{length } xs - k - 1 := y]$
 ⟨proof⟩

lemma *update-zip*:
 $(\text{zip } xs \text{ } ys)[i:=xy] = \text{zip } (xs[i:=fst \text{ } xy]) (ys[i:=snd \text{ } xy])$
 ⟨proof⟩

lemma *set-update-subset-insert*: $\text{set}(xs[i:=x]) \leq \text{insert } x (\text{set } xs)$
 ⟨proof⟩

lemma *set-update-subsetI*: $[\text{set } xs \leq A; x:A] \implies \text{set}(xs[i := x]) \leq A$
 ⟨proof⟩

lemma *set-update-memI*: $n < \text{length } xs \implies x \in \text{set } (xs[n := x])$
 $\langle \text{proof} \rangle$

lemma *list-update-overwrite[simp]*:
 $xs[i := x, i := y] = xs[i := y]$
 $\langle \text{proof} \rangle$

lemma *list-update-swap*:
 $i \neq i' \implies xs[i := x, i' := x'] = xs[i' := x', i := x]$
 $\langle \text{proof} \rangle$

lemma *list-update-code [code]*:
 $[] [i := y] = []$
 $(x \# xs)[0 := y] = y \# xs$
 $(x \# xs)[\text{Suc } i := y] = x \# xs[i := y]$
 $\langle \text{proof} \rangle$

67.1.13 *last and butlast*

lemma *last-snoc [simp]*: $\text{last } (xs @ [x]) = x$
 $\langle \text{proof} \rangle$

lemma *butlast-snoc [simp]*: $\text{butlast } (xs @ [x]) = xs$
 $\langle \text{proof} \rangle$

lemma *last-ConsL*: $xs = [] \implies \text{last}(x \# xs) = x$
 $\langle \text{proof} \rangle$

lemma *last-ConsR*: $xs \neq [] \implies \text{last}(x \# xs) = \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *last-append*: $\text{last}(xs @ ys) = (\text{if } ys = [] \text{ then } \text{last } xs \text{ else } \text{last } ys)$
 $\langle \text{proof} \rangle$

lemma *last-appendL[simp]*: $ys = [] \implies \text{last}(xs @ ys) = \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *last-appendR[simp]*: $ys \neq [] \implies \text{last}(xs @ ys) = \text{last } ys$
 $\langle \text{proof} \rangle$

lemma *last-tl*: $xs = [] \vee \text{tl } xs \neq [] \implies \text{last } (\text{tl } xs) = \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *butlast-tl*: $\text{butlast } (\text{tl } xs) = \text{tl } (\text{butlast } xs)$
 $\langle \text{proof} \rangle$

lemma *hd-rev*: $xs \neq [] \implies \text{hd}(\text{rev } xs) = \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *last-rev*: $xs \neq [] \implies \text{last}(\text{rev } xs) = \text{hd } xs$
 $\langle \text{proof} \rangle$

lemma *last-in-set*[simp]: $as \neq [] \implies \text{last } as \in \text{set } as$
 $\langle \text{proof} \rangle$

lemma *length-butlast* [simp]: $\text{length } (\text{butlast } xs) = \text{length } xs - 1$
 $\langle \text{proof} \rangle$

lemma *butlast-append*:
 $\text{butlast } (xs @ ys) = (\text{if } ys = [] \text{ then } \text{butlast } xs \text{ else } xs @ \text{butlast } ys)$
 $\langle \text{proof} \rangle$

lemma *append-butlast-last-id* [simp]:
 $xs \neq [] \implies \text{butlast } xs @ [\text{last } xs] = xs$
 $\langle \text{proof} \rangle$

lemma *in-set-butlastD*: $x : \text{set } (\text{butlast } xs) \implies x : \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *in-set-butlast-appendI*:
 $x : \text{set } (\text{butlast } xs) \mid x : \text{set } (\text{butlast } ys) \implies x : \text{set } (\text{butlast } (xs @ ys))$
 $\langle \text{proof} \rangle$

lemma *last-drop*[simp]: $n < \text{length } xs \implies \text{last } (\text{drop } n \text{ } xs) = \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *nth-butlast*:
assumes $n < \text{length } (\text{butlast } xs)$ **shows** $\text{butlast } xs ! n = xs ! n$
 $\langle \text{proof} \rangle$

lemma *last-conv-nth*: $xs \neq [] \implies \text{last } xs = xs ! (\text{length } xs - 1)$
 $\langle \text{proof} \rangle$

lemma *butlast-conv-take*: $\text{butlast } xs = \text{take } (\text{length } xs - 1) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *last-list-update*:
 $xs \neq [] \implies \text{last}(xs[k:=x]) = (\text{if } k = \text{size } xs - 1 \text{ then } x \text{ else } \text{last } xs)$
 $\langle \text{proof} \rangle$

lemma *butlast-list-update*:
 $\text{butlast}(xs[k:=x]) =$
 $(\text{if } k = \text{size } xs - 1 \text{ then } \text{butlast } xs \text{ else } (\text{butlast } xs)[k:=x])$
 $\langle \text{proof} \rangle$

lemma *last-map*: $xs \neq [] \implies \text{last } (\text{map } f \text{ } xs) = f (\text{last } xs)$
 $\langle \text{proof} \rangle$

lemma *map-butlast*: $\text{map } f \text{ (butlast } xs) = \text{butlast (map } f \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *snoc-eq-iff-butlast*:
 $xs @ [x] = ys \longleftrightarrow (ys \neq [] \ \& \ \text{butlast } ys = xs \ \& \ \text{last } ys = x)$
 $\langle \text{proof} \rangle$

corollary *longest-common-suffix*:
 $\exists ss \ xs' \ ys'. \ xs = xs' @ ss \wedge ys = ys' @ ss$
 $\wedge (xs' = [] \vee ys' = [] \vee \text{last } xs' \neq \text{last } ys')$
 $\langle \text{proof} \rangle$

67.1.14 take and drop

lemma *take-0* [simp]: $\text{take } 0 \ xs = []$
 $\langle \text{proof} \rangle$

lemma *drop-0* [simp]: $\text{drop } 0 \ xs = xs$
 $\langle \text{proof} \rangle$

lemma *take-Suc-Cons* [simp]: $\text{take (Suc } n) \ (x \# xs) = x \# \text{take } n \ xs$
 $\langle \text{proof} \rangle$

lemma *drop-Suc-Cons* [simp]: $\text{drop (Suc } n) \ (x \# xs) = \text{drop } n \ xs$
 $\langle \text{proof} \rangle$

declare *take-Cons* [simp del] **and** *drop-Cons* [simp del]

lemma *take-Suc*: $xs \sim = [] \implies \text{take (Suc } n) \ xs = \text{hd } xs \# \text{take } n \ (\text{tl } xs)$
 $\langle \text{proof} \rangle$

lemma *drop-Suc*: $\text{drop (Suc } n) \ xs = \text{drop } n \ (\text{tl } xs)$
 $\langle \text{proof} \rangle$

lemma *take-tl*: $\text{take } n \ (\text{tl } xs) = \text{tl (take (Suc } n) \ xs)$
 $\langle \text{proof} \rangle$

lemma *drop-tl*: $\text{drop } n \ (\text{tl } xs) = \text{tl(drop } n \ xs)$
 $\langle \text{proof} \rangle$

lemma *tl-take*: $\text{tl (take } n \ xs) = \text{take (} n - 1 \text{) (tl } xs)$
 $\langle \text{proof} \rangle$

lemma *tl-drop*: $\text{tl (drop } n \ xs) = \text{drop } n \ (\text{tl } xs)$
 $\langle \text{proof} \rangle$

lemma *nth-via-drop*: $\text{drop } n \ xs = y \# ys \implies xs!n = y$
 $\langle \text{proof} \rangle$

lemma *take-Suc-conv-app-nth*:

$i < \text{length } xs \implies \text{take } (\text{Suc } i) \text{ } xs = \text{take } i \text{ } xs @ [xs!i]$
 $\langle \text{proof} \rangle$

lemma *Cons-nth-drop-Suc*:

$i < \text{length } xs \implies (xs!i) \# (\text{drop } (\text{Suc } i) \text{ } xs) = \text{drop } i \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *length-take [simp]*: $\text{length } (\text{take } n \text{ } xs) = \min (\text{length } xs) \ n$
 $\langle \text{proof} \rangle$

lemma *length-drop [simp]*: $\text{length } (\text{drop } n \text{ } xs) = (\text{length } xs - n)$
 $\langle \text{proof} \rangle$

lemma *take-all [simp]*: $\text{length } xs \leq n \implies \text{take } n \text{ } xs = xs$
 $\langle \text{proof} \rangle$

lemma *drop-all [simp]*: $\text{length } xs \leq n \implies \text{drop } n \text{ } xs = []$
 $\langle \text{proof} \rangle$

lemma *take-append [simp]*:

$\text{take } n \text{ } (xs @ ys) = (\text{take } n \text{ } xs @ \text{take } (n - \text{length } xs) \text{ } ys)$
 $\langle \text{proof} \rangle$

lemma *drop-append [simp]*:

$\text{drop } n \text{ } (xs @ ys) = \text{drop } n \text{ } xs @ \text{drop } (n - \text{length } xs) \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *take-take [simp]*: $\text{take } n \text{ } (\text{take } m \text{ } xs) = \text{take } (\min n \ m) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *drop-drop [simp]*: $\text{drop } n \text{ } (\text{drop } m \text{ } xs) = \text{drop } (n + m) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *take-drop*: $\text{take } n \text{ } (\text{drop } m \text{ } xs) = \text{drop } m \text{ } (\text{take } (n + m) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *drop-take*: $\text{drop } n \text{ } (\text{take } m \text{ } xs) = \text{take } (m - n) \text{ } (\text{drop } n \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *append-take-drop-id [simp]*: $\text{take } n \text{ } xs @ \text{drop } n \text{ } xs = xs$
 $\langle \text{proof} \rangle$

lemma *take-eq-Nil [simp]*: $(\text{take } n \text{ } xs = []) = (n = 0 \vee xs = [])$
 $\langle \text{proof} \rangle$

lemma *drop-eq-Nil [simp]*: $(\text{drop } n \text{ } xs = []) = (\text{length } xs \leq n)$
 $\langle \text{proof} \rangle$

lemma *take-map*: $\text{take } n \ (\text{map } f \ xs) = \text{map } f \ (\text{take } n \ xs)$
 $\langle \text{proof} \rangle$

lemma *drop-map*: $\text{drop } n \ (\text{map } f \ xs) = \text{map } f \ (\text{drop } n \ xs)$
 $\langle \text{proof} \rangle$

lemma *rev-take*: $\text{rev} \ (\text{take } i \ xs) = \text{drop} \ (\text{length } xs - i) \ (\text{rev } xs)$
 $\langle \text{proof} \rangle$

lemma *rev-drop*: $\text{rev} \ (\text{drop } i \ xs) = \text{take} \ (\text{length } xs - i) \ (\text{rev } xs)$
 $\langle \text{proof} \rangle$

lemma *drop-rev*: $\text{drop } n \ (\text{rev } xs) = \text{rev} \ (\text{take} \ (\text{length } xs - n) \ xs)$
 $\langle \text{proof} \rangle$

lemma *take-rev*: $\text{take } n \ (\text{rev } xs) = \text{rev} \ (\text{drop} \ (\text{length } xs - n) \ xs)$
 $\langle \text{proof} \rangle$

lemma *nth-take* [simp]: $i < n \implies (\text{take } n \ xs)!i = xs!i$
 $\langle \text{proof} \rangle$

lemma *nth-drop* [simp]:
 $n + i \leq \text{length } xs \implies (\text{drop } n \ xs)!i = xs!(n + i)$
 $\langle \text{proof} \rangle$

lemma *butlast-take*:
 $n \leq \text{length } xs \implies \text{butlast} \ (\text{take } n \ xs) = \text{take} \ (n - 1) \ xs$
 $\langle \text{proof} \rangle$

lemma *butlast-drop*: $\text{butlast} \ (\text{drop } n \ xs) = \text{drop } n \ (\text{butlast } xs)$
 $\langle \text{proof} \rangle$

lemma *take-butlast*: $n < \text{length } xs \implies \text{take } n \ (\text{butlast } xs) = \text{take } n \ xs$
 $\langle \text{proof} \rangle$

lemma *drop-butlast*: $\text{drop } n \ (\text{butlast } xs) = \text{butlast} \ (\text{drop } n \ xs)$
 $\langle \text{proof} \rangle$

lemma *hd-drop-conv-nth*: $n < \text{length } xs \implies \text{hd}(\text{drop } n \ xs) = xs!n$
 $\langle \text{proof} \rangle$

lemma *set-take-subset-set-take*:
 $m \leq n \implies \text{set}(\text{take } m \ xs) \leq \text{set}(\text{take } n \ xs)$
 $\langle \text{proof} \rangle$

lemma *set-take-subset*: $\text{set}(\text{take } n \ xs) \subseteq \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *set-drop-subset*: $\text{set}(\text{drop } n \text{ } xs) \subseteq \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *set-drop-subset-set-drop*:
 $m \geq n \implies \text{set}(\text{drop } m \text{ } xs) \subseteq \text{set}(\text{drop } n \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *in-set-takeD*: $x : \text{set}(\text{take } n \text{ } xs) \implies x : \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *in-set-dropD*: $x : \text{set}(\text{drop } n \text{ } xs) \implies x : \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *append-eq-conv-conj*:
 $(xs @ ys = zs) = (xs = \text{take } (\text{length } xs) \text{ } zs \wedge ys = \text{drop } (\text{length } xs) \text{ } zs)$
 $\langle \text{proof} \rangle$

lemma *take-add*: $\text{take } (i+j) \text{ } xs = \text{take } i \text{ } xs @ \text{take } j \text{ } (\text{drop } i \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *append-eq-append-conv-if*:
 $(xs_1 @ xs_2 = ys_1 @ ys_2) =$
 $(\text{if } \text{size } xs_1 \leq \text{size } ys_1$
 $\text{ then } xs_1 = \text{take } (\text{size } xs_1) \text{ } ys_1 \wedge xs_2 = \text{drop } (\text{size } xs_1) \text{ } ys_1 @ ys_2$
 $\text{ else } \text{take } (\text{size } ys_1) \text{ } xs_1 = ys_1 \wedge \text{drop } (\text{size } ys_1) \text{ } xs_1 @ xs_2 = ys_2)$
 $\langle \text{proof} \rangle$

lemma *take-hd-drop*:
 $n < \text{length } xs \implies \text{take } n \text{ } xs @ [\text{hd } (\text{drop } n \text{ } xs)] = \text{take } (\text{Suc } n) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *id-take-nth-drop*:
 $i < \text{length } xs \implies xs = \text{take } i \text{ } xs @ xs[i] \# \text{drop } (\text{Suc } i) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *take-update-cancel[simp]*: $n \leq m \implies \text{take } n \text{ } (xs[m := y]) = \text{take } n \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *drop-update-cancel[simp]*: $n < m \implies \text{drop } m \text{ } (xs[n := x]) = \text{drop } m \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *upd-conv-take-nth-drop*:
 $i < \text{length } xs \implies xs[i := a] = \text{take } i \text{ } xs @ a \# \text{drop } (\text{Suc } i) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *take-update-swap*: $n < m \implies \text{take } m \text{ } (xs[n := x]) = (\text{take } m \text{ } xs)[n := x]$
 $\langle \text{proof} \rangle$

lemma *drop-update-swap*: $m \leq n \implies \text{drop } m \text{ } (xs[n := x]) = (\text{drop } m \text{ } xs)[n-m]$

$:= x]$
 $\langle \text{proof} \rangle$

lemma *nth-image*: $l \leq \text{size } xs \implies \text{nth } xs \text{ ‘ } \{0..<l\} = \text{set}(\text{take } l \text{ } xs)$
 $\langle \text{proof} \rangle$

67.1.15 *takeWhile* and *dropWhile*

lemma *length-takeWhile-le*: $\text{length } (\text{takeWhile } P \text{ } xs) \leq \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *takeWhile-dropWhile-id* [simp]: $\text{takeWhile } P \text{ } xs @ \text{dropWhile } P \text{ } xs = xs$
 $\langle \text{proof} \rangle$

lemma *takeWhile-append1* [simp]:
 $[[x : \text{set } xs; \sim P(x)]] \implies \text{takeWhile } P \text{ } (xs @ ys) = \text{takeWhile } P \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *takeWhile-append2* [simp]:
 $(!!x. x : \text{set } xs \implies P \text{ } x) \implies \text{takeWhile } P \text{ } (xs @ ys) = xs @ \text{takeWhile } P \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *takeWhile-tail*: $\neg P \text{ } x \implies \text{takeWhile } P \text{ } (xs @ (x \# l)) = \text{takeWhile } P \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *takeWhile-nth*: $j < \text{length } (\text{takeWhile } P \text{ } xs) \implies \text{takeWhile } P \text{ } xs ! j = xs ! j$
 $\langle \text{proof} \rangle$

lemma *dropWhile-nth*: $j < \text{length } (\text{dropWhile } P \text{ } xs) \implies$
 $\text{dropWhile } P \text{ } xs ! j = xs ! (j + \text{length } (\text{takeWhile } P \text{ } xs))$
 $\langle \text{proof} \rangle$

lemma *length-dropWhile-le*: $\text{length } (\text{dropWhile } P \text{ } xs) \leq \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *dropWhile-append1* [simp]:
 $[[x : \text{set } xs; \sim P(x)]] \implies \text{dropWhile } P \text{ } (xs @ ys) = (\text{dropWhile } P \text{ } xs) @ ys$
 $\langle \text{proof} \rangle$

lemma *dropWhile-append2* [simp]:
 $(!!x. x : \text{set } xs \implies P \text{ } x) \implies \text{dropWhile } P \text{ } (xs @ ys) = \text{dropWhile } P \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *dropWhile-append3*:
 $\neg P \text{ } y \implies \text{dropWhile } P \text{ } (xs @ y \# ys) = \text{dropWhile } P \text{ } xs @ y \# ys$
 $\langle \text{proof} \rangle$

lemma *dropWhile-last*:

$x \in \text{set } xs \implies \neg P x \implies \text{last } (\text{dropWhile } P \text{ } xs) = \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *set-dropWhileD*: $x \in \text{set } (\text{dropWhile } P \text{ } xs) \implies x \in \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *set-takeWhileD*: $x : \text{set } (\text{takeWhile } P \text{ } xs) \implies x : \text{set } xs \wedge P x$
 $\langle \text{proof} \rangle$

lemma *takeWhile-eq-all-conv[simp]*:
 $(\text{takeWhile } P \text{ } xs = xs) = (\forall x \in \text{set } xs. P x)$
 $\langle \text{proof} \rangle$

lemma *dropWhile-eq-Nil-conv[simp]*:
 $(\text{dropWhile } P \text{ } xs = []) = (\forall x \in \text{set } xs. \neg P x)$
 $\langle \text{proof} \rangle$

lemma *dropWhile-eq-Cons-conv*:
 $(\text{dropWhile } P \text{ } xs = y \# ys) = (xs = \text{takeWhile } P \text{ } xs @ y \# ys \ \& \ \neg P y)$
 $\langle \text{proof} \rangle$

lemma *distinct-takeWhile[simp]*: $\text{distinct } xs \implies \text{distinct } (\text{takeWhile } P \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *distinct-dropWhile[simp]*: $\text{distinct } xs \implies \text{distinct } (\text{dropWhile } P \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *takeWhile-map*: $\text{takeWhile } P \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{takeWhile } (P \circ f) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *dropWhile-map*: $\text{dropWhile } P \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{dropWhile } (P \circ f) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *takeWhile-eq-take*: $\text{takeWhile } P \text{ } xs = \text{take } (\text{length } (\text{takeWhile } P \text{ } xs)) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *dropWhile-eq-drop*: $\text{dropWhile } P \text{ } xs = \text{drop } (\text{length } (\text{takeWhile } P \text{ } xs)) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *hd-dropWhile*: $\text{dropWhile } P \text{ } xs \neq [] \implies \neg P (\text{hd } (\text{dropWhile } P \text{ } xs))$
 $\langle \text{proof} \rangle$

lemma *takeWhile-eq-filter*:
assumes $\bigwedge x. x \in \text{set } (\text{dropWhile } P \text{ } xs) \implies \neg P x$
shows $\text{takeWhile } P \text{ } xs = \text{filter } P \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *takeWhile-eq-take-P-nth*:
 $\llbracket \bigwedge i. \llbracket i < n ; i < \text{length } xs \rrbracket \implies P (xs ! i) ; n < \text{length } xs \implies \neg P (xs ! n) \rrbracket$

$\llbracket \implies$
 $\text{takeWhile } P \text{ } xs = \text{take } n \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *nth-length-takeWhile*:
 $\text{length } (\text{takeWhile } P \text{ } xs) < \text{length } xs \implies \neg P (xs ! \text{length } (\text{takeWhile } P \text{ } xs))$
 $\langle \text{proof} \rangle$

lemma *length-takeWhile-less-P-nth*:
assumes $\text{all: } \bigwedge i. i < j \implies P (xs ! i)$ **and** $j \leq \text{length } xs$
shows $j \leq \text{length } (\text{takeWhile } P \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *takeWhile-neq-rev*: $\llbracket \text{distinct } xs; x \in \text{set } xs \rrbracket \implies$
 $\text{takeWhile } (\lambda y. y \neq x) (\text{rev } xs) = \text{rev } (\text{tl } (\text{dropWhile } (\lambda y. y \neq x) \text{ } xs))$
 $\langle \text{proof} \rangle$

lemma *dropWhile-neq-rev*: $\llbracket \text{distinct } xs; x \in \text{set } xs \rrbracket \implies$
 $\text{dropWhile } (\lambda y. y \neq x) (\text{rev } xs) = x \# \text{rev } (\text{takeWhile } (\lambda y. y \neq x) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *takeWhile-not-last*:
 $\text{distinct } xs \implies \text{takeWhile } (\lambda y. y \neq \text{last } xs) \text{ } xs = \text{butlast } xs$
 $\langle \text{proof} \rangle$

lemma *takeWhile-cong [fundef-cong]*:
 $\llbracket l = k; !!x. x : \text{set } l \implies P \text{ } x = Q \text{ } x \rrbracket$
 $\implies \text{takeWhile } P \text{ } l = \text{takeWhile } Q \text{ } k$
 $\langle \text{proof} \rangle$

lemma *dropWhile-cong [fundef-cong]*:
 $\llbracket l = k; !!x. x : \text{set } l \implies P \text{ } x = Q \text{ } x \rrbracket$
 $\implies \text{dropWhile } P \text{ } l = \text{dropWhile } Q \text{ } k$
 $\langle \text{proof} \rangle$

lemma *takeWhile-idem [simp]*:
 $\text{takeWhile } P (\text{takeWhile } P \text{ } xs) = \text{takeWhile } P \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *dropWhile-idem [simp]*:
 $\text{dropWhile } P (\text{dropWhile } P \text{ } xs) = \text{dropWhile } P \text{ } xs$
 $\langle \text{proof} \rangle$

67.1.16 zip

lemma *zip-Nil [simp]*: $\text{zip } [] \text{ } ys = []$
 $\langle \text{proof} \rangle$

lemma *zip-Cons-Cons [simp]*: $\text{zip } (x \# xs) (y \# ys) = (x, y) \# \text{zip } xs \text{ } ys$

$\langle \text{proof} \rangle$

declare *zip-Cons* [*simp del*]

lemma [*code*]:

$\text{zip } [] \text{ } ys = []$

$\text{zip } xs \text{ } [] = []$

$\text{zip } (x \# xs) (y \# ys) = (x, y) \# \text{zip } xs \text{ } ys$

$\langle \text{proof} \rangle$

lemma *zip-Cons1*:

$\text{zip } (x \# xs) \text{ } ys = (\text{case } ys \text{ of } [] \Rightarrow [] \mid y \# ys \Rightarrow (x, y) \# \text{zip } xs \text{ } ys)$

$\langle \text{proof} \rangle$

lemma *length-zip* [*simp*]:

$\text{length } (\text{zip } xs \text{ } ys) = \min (\text{length } xs) (\text{length } ys)$

$\langle \text{proof} \rangle$

lemma *zip-obtain-same-length*:

assumes $\bigwedge zs \text{ } ws \text{ } n. \text{length } zs = \text{length } ws \Rightarrow n = \min (\text{length } xs) (\text{length } ys)$

$\Rightarrow zs = \text{take } n \text{ } xs \Rightarrow ws = \text{take } n \text{ } ys \Rightarrow P (\text{zip } zs \text{ } ws)$

shows $P (\text{zip } xs \text{ } ys)$

$\langle \text{proof} \rangle$

lemma *zip-append1*:

$\text{zip } (xs \text{ } @ \text{ } ys) \text{ } zs =$

$\text{zip } xs (\text{take } (\text{length } xs) \text{ } zs) \text{ } @ \text{ } \text{zip } ys (\text{drop } (\text{length } xs) \text{ } zs)$

$\langle \text{proof} \rangle$

lemma *zip-append2*:

$\text{zip } xs (ys \text{ } @ \text{ } zs) =$

$\text{zip } (\text{take } (\text{length } ys) \text{ } xs) \text{ } ys \text{ } @ \text{ } \text{zip } (\text{drop } (\text{length } ys) \text{ } xs) \text{ } zs$

$\langle \text{proof} \rangle$

lemma *zip-append* [*simp*]:

$[[] \text{ } \text{length } xs = \text{length } us \text{ } []] ==>$

$\text{zip } (xs @ ys) (us @ vs) = \text{zip } xs \text{ } us \text{ } @ \text{ } \text{zip } ys \text{ } vs$

$\langle \text{proof} \rangle$

lemma *zip-rev*:

$\text{length } xs = \text{length } ys ==> \text{zip } (\text{rev } xs) (\text{rev } ys) = \text{rev } (\text{zip } xs \text{ } ys)$

$\langle \text{proof} \rangle$

lemma *zip-map-map*:

$\text{zip } (\text{map } f \text{ } xs) (\text{map } g \text{ } ys) = \text{map } (\lambda (x, y). (f \text{ } x, g \text{ } y)) (\text{zip } xs \text{ } ys)$

$\langle \text{proof} \rangle$

lemma *zip-map1*:

$\text{zip } (\text{map } f \text{ } xs) \text{ } ys = \text{map } (\lambda (x, y). (f \text{ } x, y)) (\text{zip } xs \text{ } ys)$

$\langle \text{proof} \rangle$

lemma *zip-map2*:

$\text{zip } xs \ (\text{map } f \ ys) = \text{map } (\lambda(x, y). (x, f \ y)) \ (\text{zip } xs \ ys)$
 $\langle \text{proof} \rangle$

lemma *map-zip-map*:

$\text{map } f \ (\text{zip } (\text{map } g \ xs) \ ys) = \text{map } (\% (x, y). f(g \ x, y)) \ (\text{zip } xs \ ys)$
 $\langle \text{proof} \rangle$

lemma *map-zip-map2*:

$\text{map } f \ (\text{zip } xs \ (\text{map } g \ ys)) = \text{map } (\% (x, y). f(x, g \ y)) \ (\text{zip } xs \ ys)$
 $\langle \text{proof} \rangle$

Courtesy of Andreas Lochbihler:

lemma *zip-same-conv-map*: $\text{zip } xs \ xs = \text{map } (\lambda x. (x, x)) \ xs$
 $\langle \text{proof} \rangle$

lemma *nth-zip* [simp]:

$[| \ i < \text{length } xs; \ i < \text{length } ys |] ==> (\text{zip } xs \ ys)!i = (xs!i, ys!i)$
 $\langle \text{proof} \rangle$

lemma *set-zip*:

$\text{set } (\text{zip } xs \ ys) = \{(xs!i, ys!i) \mid i. i < \min (\text{length } xs) (\text{length } ys)\}$
 $\langle \text{proof} \rangle$

lemma *zip-same*: $((a, b) \in \text{set } (\text{zip } xs \ xs)) = (a \in \text{set } xs \wedge a = b)$
 $\langle \text{proof} \rangle$

lemma *zip-update*:

$\text{zip } (xs[i:=x]) \ (ys[i:=y]) = (\text{zip } xs \ ys)[i:=(x, y)]$
 $\langle \text{proof} \rangle$

lemma *zip-replicate* [simp]:

$\text{zip } (\text{replicate } i \ x) \ (\text{replicate } j \ y) = \text{replicate } (\min i \ j) \ (x, y)$
 $\langle \text{proof} \rangle$

lemma *zip-replicate1*: $\text{zip } (\text{replicate } n \ x) \ ys = \text{map } (\text{Pair } x) \ (\text{take } n \ ys)$
 $\langle \text{proof} \rangle$

lemma *take-zip*:

$\text{take } n \ (\text{zip } xs \ ys) = \text{zip } (\text{take } n \ xs) \ (\text{take } n \ ys)$
 $\langle \text{proof} \rangle$

lemma *drop-zip*:

$\text{drop } n \ (\text{zip } xs \ ys) = \text{zip } (\text{drop } n \ xs) \ (\text{drop } n \ ys)$
 $\langle \text{proof} \rangle$

lemma *zip-takeWhile-fst*: $\text{zip } (\text{takeWhile } P \ xs) \ ys = \text{takeWhile } (P \circ \text{fst}) \ (\text{zip } xs \ ys)$

$ys)$
 $\langle proof \rangle$

lemma *zip-takeWhile-snd*: $zip\ xs\ (takeWhile\ P\ ys) = takeWhile\ (P \circ snd)\ (zip\ xs\ ys)$
 $\langle proof \rangle$

lemma *set- zip -leftD*: $(x,y) \in set\ (zip\ xs\ ys) \implies x \in set\ xs$
 $\langle proof \rangle$

lemma *set- zip -rightD*: $(x,y) \in set\ (zip\ xs\ ys) \implies y \in set\ ys$
 $\langle proof \rangle$

lemma *in-set- $zipE$* :
 $(x,y) : set(zip\ xs\ ys) \implies (\llbracket x : set\ xs; y : set\ ys \rrbracket \implies R) \implies R$
 $\langle proof \rangle$

lemma *zip-map-fst-snd*: $zip\ (map\ fst\ zs)\ (map\ snd\ zs) = zs$
 $\langle proof \rangle$

lemma *zip-eq-conv*:
 $length\ xs = length\ ys \implies zip\ xs\ ys = zs \longleftrightarrow map\ fst\ zs = xs \wedge map\ snd\ zs = ys$
 $\langle proof \rangle$

lemma *in-set- zip* :
 $p \in set\ (zip\ xs\ ys) \longleftrightarrow (\exists n. xs\ !\ n = fst\ p \wedge ys\ !\ n = snd\ p$
 $\wedge n < length\ xs \wedge n < length\ ys)$
 $\langle proof \rangle$

lemma *in-set-impl-in-set- $zip1$* :
assumes $length\ xs = length\ ys$
assumes $x \in set\ xs$
obtains y **where** $(x, y) \in set\ (zip\ xs\ ys)$
 $\langle proof \rangle$

lemma *in-set-impl-in-set- $zip2$* :
assumes $length\ xs = length\ ys$
assumes $y \in set\ ys$
obtains x **where** $(x, y) \in set\ (zip\ xs\ ys)$
 $\langle proof \rangle$

lemma *pair-list-eqI*:
assumes $map\ fst\ xs = map\ fst\ ys$ **and** $map\ snd\ xs = map\ snd\ ys$
shows $xs = ys$
 $\langle proof \rangle$

67.1.17 *list-all2*

lemma *list-all2-lengthD* [*intro?*]:

$list\text{-}all2\ P\ xs\ ys ==> length\ xs = length\ ys$
 $\langle proof \rangle$

lemma *list-all2-Nil* [iff, code]: $list\text{-}all2\ P\ []\ ys = (ys = [])$
 $\langle proof \rangle$

lemma *list-all2-Nil2* [iff, code]: $list\text{-}all2\ P\ xs\ [] = (xs = [])$
 $\langle proof \rangle$

lemma *list-all2-Cons* [iff, code]:
 $list\text{-}all2\ P\ (x \# xs)\ (y \# ys) = (P\ x\ y \wedge list\text{-}all2\ P\ xs\ ys)$
 $\langle proof \rangle$

lemma *list-all2-Cons1*:
 $list\text{-}all2\ P\ (x \# xs)\ ys = (\exists z\ zs. ys = z \# zs \wedge P\ x\ z \wedge list\text{-}all2\ P\ xs\ zs)$
 $\langle proof \rangle$

lemma *list-all2-Cons2*:
 $list\text{-}all2\ P\ xs\ (y \# ys) = (\exists z\ zs. xs = z \# zs \wedge P\ z\ y \wedge list\text{-}all2\ P\ zs\ ys)$
 $\langle proof \rangle$

lemma *list-all2-induct*
[consumes 1, case-names Nil Cons, induct set: list-all2]:
assumes P : $list\text{-}all2\ P\ xs\ ys$
assumes Nil : $R\ []\ []$
assumes $Cons$: $\bigwedge x\ xs\ y\ ys. \llbracket P\ x\ y; list\text{-}all2\ P\ xs\ ys; R\ xs\ ys \rrbracket \implies R\ (x \# xs)\ (y \# ys)$
shows $R\ xs\ ys$
 $\langle proof \rangle$

lemma *list-all2-rev* [iff]:
 $list\text{-}all2\ P\ (rev\ xs)\ (rev\ ys) = list\text{-}all2\ P\ xs\ ys$
 $\langle proof \rangle$

lemma *list-all2-rev1*:
 $list\text{-}all2\ P\ (rev\ xs)\ ys = list\text{-}all2\ P\ xs\ (rev\ ys)$
 $\langle proof \rangle$

lemma *list-all2-append1*:
 $list\text{-}all2\ P\ (xs\ @\ ys)\ zs =$
 $(EX\ us\ vs. zs = us\ @\ vs \wedge length\ us = length\ xs \wedge length\ vs = length\ ys \wedge$
 $list\text{-}all2\ P\ xs\ us \wedge list\text{-}all2\ P\ ys\ vs)$
 $\langle proof \rangle$

lemma *list-all2-append2*:
 $list\text{-}all2\ P\ xs\ (ys\ @\ zs) =$
 $(EX\ us\ vs. xs = us\ @\ vs \wedge length\ us = length\ ys \wedge length\ vs = length\ zs \wedge$
 $list\text{-}all2\ P\ us\ ys \wedge list\text{-}all2\ P\ vs\ zs)$
 $\langle proof \rangle$

lemma *list-all2-append*:

$length\ xs = length\ ys \implies$
 $list-all2\ P\ (xs@us)\ (ys@vs) = (list-all2\ P\ xs\ ys \wedge list-all2\ P\ us\ vs)$
 $\langle proof \rangle$

lemma *list-all2-appendI* [*intro?*, *trans*]:

$\llbracket list-all2\ P\ a\ b; list-all2\ P\ c\ d \rrbracket \implies list-all2\ P\ (a@c)\ (b@d)$
 $\langle proof \rangle$

lemma *list-all2-conv-all-nth*:

$list-all2\ P\ xs\ ys =$
 $(length\ xs = length\ ys \wedge (\forall i < length\ xs. P\ (xs!i)\ (ys!i)))$
 $\langle proof \rangle$

lemma *list-all2-trans*:

assumes *tr*: $!!a\ b\ c. P1\ a\ b \implies P2\ b\ c \implies P3\ a\ c$
shows $!!bs\ cs. list-all2\ P1\ as\ bs \implies list-all2\ P2\ bs\ cs \implies list-all2\ P3\ as\ cs$
 $(is\ !!bs\ cs. PROP\ ?Q\ as\ bs\ cs)$
 $\langle proof \rangle$

lemma *list-all2-all-nthI* [*intro?*]:

$length\ a = length\ b \implies (\bigwedge n. n < length\ a \implies P\ (a!n)\ (b!n)) \implies list-all2\ P\ a\ b$
 $\langle proof \rangle$

lemma *list-all2I*:

$\forall x \in set\ (zip\ a\ b). case-prod\ P\ x \implies length\ a = length\ b \implies list-all2\ P\ a\ b$
 $\langle proof \rangle$

lemma *list-all2-nthD*:

$\llbracket list-all2\ P\ xs\ ys; p < size\ xs \rrbracket \implies P\ (xs!p)\ (ys!p)$
 $\langle proof \rangle$

lemma *list-all2-nthD2*:

$\llbracket list-all2\ P\ xs\ ys; p < size\ ys \rrbracket \implies P\ (xs!p)\ (ys!p)$
 $\langle proof \rangle$

lemma *list-all2-map1*:

$list-all2\ P\ (map\ f\ as) = list-all2\ (\lambda x\ y. P\ (f\ x)\ y)\ as\ bs$
 $\langle proof \rangle$

lemma *list-all2-map2*:

$list-all2\ P\ as\ (map\ f\ bs) = list-all2\ (\lambda x\ y. P\ x\ (f\ y))\ as\ bs$
 $\langle proof \rangle$

lemma *list-all2-refl* [*intro?*]:

$(\bigwedge x. P\ x\ x) \implies list-all2\ P\ xs\ xs$
 $\langle proof \rangle$

lemma *list-all2-update-cong*:

$\llbracket \text{list-all2 } P \text{ } xs \text{ } ys; P \text{ } x \text{ } y \rrbracket \implies \text{list-all2 } P \text{ } (xs[i:=x]) \text{ } (ys[i:=y])$
 $\langle \text{proof} \rangle$

lemma *list-all2-takeI* [*simp,intro?*]:

$\text{list-all2 } P \text{ } xs \text{ } ys \implies \text{list-all2 } P \text{ } (\text{take } n \text{ } xs) \text{ } (\text{take } n \text{ } ys)$
 $\langle \text{proof} \rangle$

lemma *list-all2-dropI* [*simp,intro?*]:

$\text{list-all2 } P \text{ } as \text{ } bs \implies \text{list-all2 } P \text{ } (\text{drop } n \text{ } as) \text{ } (\text{drop } n \text{ } bs)$
 $\langle \text{proof} \rangle$

lemma *list-all2-mono* [*intro?*]:

$\text{list-all2 } P \text{ } xs \text{ } ys \implies (\bigwedge xs \text{ } ys. P \text{ } xs \text{ } ys \implies Q \text{ } xs \text{ } ys) \implies \text{list-all2 } Q \text{ } xs \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *list-all2-eq*:

$xs = ys \iff \text{list-all2 } (op =) \text{ } xs \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *list-eq-iff-zip-eq*:

$xs = ys \iff \text{length } xs = \text{length } ys \wedge (\forall (x,y) \in \text{set } (\text{zip } xs \text{ } ys). x = y)$
 $\langle \text{proof} \rangle$

lemma *list-all2-same*: $\text{list-all2 } P \text{ } xs \text{ } xs \iff (\forall x \in \text{set } xs. P \text{ } x \text{ } x)$

$\langle \text{proof} \rangle$

lemma *zip-assoc*:

$\text{zip } xs \text{ } (\text{zip } ys \text{ } zs) = \text{map } (\lambda((x, y), z). (x, y, z)) \text{ } (\text{zip } (\text{zip } xs \text{ } ys) \text{ } zs)$
 $\langle \text{proof} \rangle$

lemma *zip-commute*: $\text{zip } xs \text{ } ys = \text{map } (\lambda(x, y). (y, x)) \text{ } (\text{zip } ys \text{ } xs)$

$\langle \text{proof} \rangle$

lemma *zip-left-commute*:

$\text{zip } xs \text{ } (\text{zip } ys \text{ } zs) = \text{map } (\lambda(y, (x, z)). (x, y, z)) \text{ } (\text{zip } ys \text{ } (\text{zip } xs \text{ } zs))$
 $\langle \text{proof} \rangle$

lemma *zip-replicate2*: $\text{zip } xs \text{ } (\text{replicate } n \text{ } y) = \text{map } (\lambda x. (x, y)) \text{ } (\text{take } n \text{ } xs)$

$\langle \text{proof} \rangle$

67.1.18 *List.product* and *product-lists*

lemma *product-concat-map*:

$\text{List.product } xs \text{ } ys = \text{concat } (\text{map } (\lambda x. \text{map } (\lambda y. (x,y)) \text{ } ys) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *set-product[*simp*]*: $\text{set } (\text{List.product } xs \text{ } ys) = \text{set } xs \times \text{set } ys$

$\langle \text{proof} \rangle$

lemma *length-product* [*simp*]:

$\text{length } (\text{List.product } xs \ ys) = \text{length } xs * \text{length } ys$
 $\langle \text{proof} \rangle$

lemma *product-nth*:

assumes $n < \text{length } xs * \text{length } ys$
shows $\text{List.product } xs \ ys ! n = (xs ! (n \text{ div } \text{length } ys), ys ! (n \text{ mod } \text{length } ys))$
 $\langle \text{proof} \rangle$

lemma *in-set-product-lists-length*:

$xs \in \text{set } (\text{product-lists } xss) \implies \text{length } xs = \text{length } xss$
 $\langle \text{proof} \rangle$

lemma *product-lists-set*:

$\text{set } (\text{product-lists } xss) = \{xs. \text{list-all2 } (\lambda x \ ys. x \in \text{set } ys) \ xs \ xss\}$ (**is** ?*L* = *Collect* ?*R*)
 $\langle \text{proof} \rangle$

67.1.19 fold with natural argument order

lemma *fold-simps* [*code*]: — eta-expanded variant for generated code – enables tail-recursion optimisation in Scala

$\text{fold } f \ [] \ s = s$
 $\text{fold } f \ (x \ \# \ xs) \ s = \text{fold } f \ xs \ (f \ x \ s)$
 $\langle \text{proof} \rangle$

lemma *fold-remove1-split*:

$\llbracket \bigwedge x \ y. x \in \text{set } xs \implies y \in \text{set } xs \implies f \ x \circ f \ y = f \ y \circ f \ x; \\ x \in \text{set } xs \rrbracket$
 $\implies \text{fold } f \ xs = \text{fold } f \ (\text{remove1 } x \ xs) \circ f \ x$
 $\langle \text{proof} \rangle$

lemma *fold-cong* [*fundef-cong*]:

$a = b \implies xs = ys \implies (\bigwedge x. x \in \text{set } xs \implies f \ x = g \ x)$
 $\implies \text{fold } f \ xs \ a = \text{fold } g \ ys \ b$
 $\langle \text{proof} \rangle$

lemma *fold-id*: $(\bigwedge x. x \in \text{set } xs \implies f \ x = \text{id}) \implies \text{fold } f \ xs = \text{id}$

$\langle \text{proof} \rangle$

lemma *fold-commute*:

$(\bigwedge x. x \in \text{set } xs \implies h \circ g \ x = f \ x \circ h) \implies h \circ \text{fold } g \ xs = \text{fold } f \ xs \circ h$
 $\langle \text{proof} \rangle$

lemma *fold-commute-apply*:

assumes $\bigwedge x. x \in \text{set } xs \implies h \circ g \ x = f \ x \circ h$
shows $h \ (\text{fold } g \ xs \ s) = \text{fold } f \ xs \ (h \ s)$
 $\langle \text{proof} \rangle$

lemma *fold-invariant*:

$$\llbracket \bigwedge x. x \in \text{set } xs \implies Q\ x; \ P\ s; \ \bigwedge x\ s. Q\ x \implies P\ s \implies P\ (f\ x\ s) \rrbracket$$

$$\implies P\ (\text{fold } f\ xs\ s)$$

 $\langle \text{proof} \rangle$

lemma *fold-append [simp]*: $\text{fold } f\ (xs\ @\ ys) = \text{fold } f\ ys \circ \text{fold } f\ xs$
 $\langle \text{proof} \rangle$

lemma *fold-map [code-unfold]*: $\text{fold } g\ (\text{map } f\ xs) = \text{fold } (g \circ f)\ xs$
 $\langle \text{proof} \rangle$

lemma *fold-filter*:

$$\text{fold } f\ (\text{filter } P\ xs) = \text{fold } (\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else id})\ xs$$

 $\langle \text{proof} \rangle$

lemma *fold-rev*:

$$(\bigwedge x\ y. x \in \text{set } xs \implies y \in \text{set } xs \implies f\ y \circ f\ x = f\ x \circ f\ y)$$

$$\implies \text{fold } f\ (\text{rev } xs) = \text{fold } f\ xs$$

 $\langle \text{proof} \rangle$

lemma *fold-Cons-rev*: $\text{fold } \text{Cons}\ xs = \text{append } (\text{rev } xs)$
 $\langle \text{proof} \rangle$

lemma *rev-conv-fold [code]*: $\text{rev } xs = \text{fold } \text{Cons}\ xs\ []$
 $\langle \text{proof} \rangle$

lemma *fold-append-concat-rev*: $\text{fold } \text{append}\ xss = \text{append } (\text{concat } (\text{rev } xss))$
 $\langle \text{proof} \rangle$

Finite-Set.fold and *fold*

lemma (in *comp-fun-commute*) *fold-set-fold-remdups*:

$$\text{Finite-Set.fold } f\ y\ (\text{set } xs) = \text{fold } f\ (\text{remdups } xs)\ y$$

 $\langle \text{proof} \rangle$

lemma (in *comp-fun-idem*) *fold-set-fold*:

$$\text{Finite-Set.fold } f\ y\ (\text{set } xs) = \text{fold } f\ xs\ y$$

 $\langle \text{proof} \rangle$

lemma *union-set-fold [code]*: $\text{set } xs \cup A = \text{fold } \text{Set.insert}\ xs\ A$
 $\langle \text{proof} \rangle$

lemma *union-coset-filter [code]*:

$$\text{List.coset } xs \cup A = \text{List.coset } (\text{List.filter } (\lambda x. x \notin A)\ xs)$$

 $\langle \text{proof} \rangle$

lemma *minus-set-fold [code]*: $A - \text{set } xs = \text{fold } \text{Set.remove}\ xs\ A$
 $\langle \text{proof} \rangle$

lemma *minus-coset-filter* [code]:
 $A - \text{List.coset } xs = \text{set } (\text{List.filter } (\lambda x. x \in A) \ xs)$
 ⟨proof⟩

lemma *inter-set-filter* [code]:
 $A \cap \text{set } xs = \text{set } (\text{List.filter } (\lambda x. x \in A) \ xs)$
 ⟨proof⟩

lemma *inter-coset-fold* [code]:
 $A \cap \text{List.coset } xs = \text{fold } \text{Set.remove } xs \ A$
 ⟨proof⟩

lemma (in *semilattice-set*) *set-eq-fold* [code]:
 $F (\text{set } (x \# xs)) = \text{fold } f \ xs \ x$
 ⟨proof⟩

lemma (in *complete-lattice*) *Inf-set-fold*:
 $\text{Inf } (\text{set } xs) = \text{fold } \text{inf } xs \ \text{top}$
 ⟨proof⟩

declare *Inf-set-fold* [where 'a = 'a set, code]

lemma (in *complete-lattice*) *Sup-set-fold*:
 $\text{Sup } (\text{set } xs) = \text{fold } \text{sup } xs \ \text{bot}$
 ⟨proof⟩

declare *Sup-set-fold* [where 'a = 'a set, code]

lemma (in *complete-lattice*) *INF-set-fold*:
 $\text{INFIMUM } (\text{set } xs) \ f = \text{fold } (\text{inf } \circ f) \ xs \ \text{top}$
 ⟨proof⟩

declare *INF-set-fold* [code]

lemma (in *complete-lattice*) *SUP-set-fold*:
 $\text{SUPREMUM } (\text{set } xs) \ f = \text{fold } (\text{sup } \circ f) \ xs \ \text{bot}$
 ⟨proof⟩

declare *SUP-set-fold* [code]

67.1.20 Fold variants: *foldr* and *foldl*

Correspondence

lemma *foldr-conv-fold* [code-abbrev]: $\text{foldr } f \ xs = \text{fold } f \ (\text{rev } xs)$
 ⟨proof⟩

lemma *foldl-conv-fold*: $\text{foldl } f \ s \ xs = \text{fold } (\lambda x \ s. f \ s \ x) \ xs \ s$
 ⟨proof⟩

lemma *foldr-conv-foldl*: — The “Third Duality Theorem” in Bird & Wadler:

$\text{foldr } f \text{ } xs \text{ } a = \text{foldl } (\lambda x y. f y x) \text{ } a \text{ } (\text{rev } xs)$
 $\langle \text{proof} \rangle$

lemma *foldl-conv-foldr*:

$\text{foldl } f \text{ } a \text{ } xs = \text{foldr } (\lambda x y. f y x) \text{ } (\text{rev } xs) \text{ } a$
 $\langle \text{proof} \rangle$

lemma *foldr-fold*:

$(\bigwedge x y. x \in \text{set } xs \implies y \in \text{set } xs \implies f y \circ f x = f x \circ f y)$
 $\implies \text{foldr } f \text{ } xs = \text{fold } f \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *foldr-cong* [*fundef-cong*]:

$a = b \implies l = k \implies (\bigwedge a x. x \in \text{set } l \implies f x a = g x a) \implies \text{foldr } f \text{ } l \text{ } a = \text{foldr } g \text{ } k \text{ } b$
 $\langle \text{proof} \rangle$

lemma *foldl-cong* [*fundef-cong*]:

$a = b \implies l = k \implies (\bigwedge a x. x \in \text{set } l \implies f a x = g a x) \implies \text{foldl } f \text{ } a \text{ } l = \text{foldl } g \text{ } b \text{ } k$
 $\langle \text{proof} \rangle$

lemma *foldr-append* [*simp*]: $\text{foldr } f \text{ } (xs @ ys) \text{ } a = \text{foldr } f \text{ } xs \text{ } (\text{foldr } f \text{ } ys \text{ } a)$

$\langle \text{proof} \rangle$

lemma *foldl-append* [*simp*]: $\text{foldl } f \text{ } a \text{ } (xs @ ys) = \text{foldl } f \text{ } (\text{foldl } f \text{ } a \text{ } xs) \text{ } ys$

$\langle \text{proof} \rangle$

lemma *foldr-map* [*code-unfold*]: $\text{foldr } g \text{ } (\text{map } f \text{ } xs) \text{ } a = \text{foldr } (g \circ f) \text{ } xs \text{ } a$

$\langle \text{proof} \rangle$

lemma *foldr-filter*:

$\text{foldr } f \text{ } (\text{filter } P \text{ } xs) = \text{foldr } (\lambda x. \text{if } P \text{ } x \text{ then } f x \text{ else id}) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *foldl-map* [*code-unfold*]:

$\text{foldl } g \text{ } a \text{ } (\text{map } f \text{ } xs) = \text{foldl } (\lambda a x. g a (f x)) \text{ } a \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *concat-conv-foldr* [*code*]:

$\text{concat } xss = \text{foldr } \text{append } xss []$
 $\langle \text{proof} \rangle$

67.1.21 *upt*

lemma *upt-rec*[*code*]: $[i..<j] = (\text{if } i < j \text{ then } i\#[\text{Suc } i..<j] \text{ else } [])$

— *simp* does not terminate!

$\langle \text{proof} \rangle$

lemmas *upt-rec-numeral*[simp] = *upt-rec*[of numeral *m* numeral *n*] **for** *m n*

lemma *upt-conv-Nil* [simp]: $j \leq i \implies [i..<j] = []$
 ⟨proof⟩

lemma *upt-eq-Nil-conv*[simp]: $([i..<j] = []) = (j = 0 \vee j \leq i)$
 ⟨proof⟩

lemma *upt-eq-Cons-conv*:
 $([i..<j] = x \# xs) = (i < j \ \& \ i = x \ \& \ [i+1..<j] = xs)$
 ⟨proof⟩

lemma *upt-Suc-append*: $i \leq j \implies [i..<(Suc\ j)] = [i..<j]@[j]$
 — Only needed if *upt-Suc* is deleted from the simpset.
 ⟨proof⟩

lemma *upt-conv-Cons*: $i < j \implies [i..<j] = i \# [Suc\ i..<j]$
 ⟨proof⟩

lemma *upt-conv-Cons-Cons*: — no precondition
 $m \# n \# ns = [m..<q] \longleftrightarrow n \# ns = [Suc\ m..<q]$
 ⟨proof⟩

lemma *upt-add-eq-append*: $i \leq j \implies [i..<j+k] = [i..<j]@[j..<j+k]$
 — LOOPS as a simprule, since $j \leq j$.
 ⟨proof⟩

lemma *length-upt* [simp]: $length\ [i..<j] = j - i$
 ⟨proof⟩

lemma *nth-upt* [simp]: $i + k < j \implies [i..<j] ! k = i + k$
 ⟨proof⟩

lemma *hd-upt*[simp]: $i < j \implies hd\ [i..<j] = i$
 ⟨proof⟩

lemma *tl-upt*: $tl\ [m..<n] = [Suc\ m..<n]$
 ⟨proof⟩

lemma *last-upt*[simp]: $i < j \implies last\ [i..<j] = j - 1$
 ⟨proof⟩

lemma *take-upt* [simp]: $i+m \leq n \implies take\ m\ [i..<n] = [i..<i+m]$
 ⟨proof⟩

lemma *drop-upt*[simp]: $drop\ m\ [i..<j] = [i+m..<j]$
 ⟨proof⟩

lemma *map-Suc-upt*: $\text{map } \text{Suc } [m..<n] = [\text{Suc } m..<\text{Suc } n]$
 $\langle \text{proof} \rangle$

lemma *map-add-upt*: $\text{map } (\lambda i. i + n) [0..<m] = [n..<m + n]$
 $\langle \text{proof} \rangle$

lemma *nth-map-upt*: $i < n - m \implies (\text{map } f [m..<n]) ! i = f(m + i)$
 $\langle \text{proof} \rangle$

lemma *map-decr-upt*: $\text{map } (\lambda n. n - \text{Suc } 0) [\text{Suc } m..<\text{Suc } n] = [m..<n]$
 $\langle \text{proof} \rangle$

lemma *map-upt-Suc*: $\text{map } f [0 ..< \text{Suc } n] = f 0 \# \text{map } (\lambda i. f (\text{Suc } i)) [0 ..< n]$
 $\langle \text{proof} \rangle$

lemma *nth-take-lemma*:
 $k \leq \text{length } xs \implies k \leq \text{length } ys \implies$
 $(!!i. i < k \longrightarrow xs!i = ys!i) \implies \text{take } k \text{ } xs = \text{take } k \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *nth-equalityI*:
 $[| \text{length } xs = \text{length } ys; \text{ALL } i < \text{length } xs. xs!i = ys!i |] \implies xs = ys$
 $\langle \text{proof} \rangle$

lemma *map-nth*:
 $\text{map } (\lambda i. xs ! i) [0..<\text{length } xs] = xs$
 $\langle \text{proof} \rangle$

lemma *list-all2-antisym*:
 $[| (\bigwedge x y. [P x y; Q y x] \implies x = y); \text{list-all2 } P \text{ } xs \text{ } ys; \text{list-all2 } Q \text{ } ys \text{ } xs |]$
 $\implies xs = ys$
 $\langle \text{proof} \rangle$

lemma *take-equalityI*: $(\forall i. \text{take } i \text{ } xs = \text{take } i \text{ } ys) \implies xs = ys$
 — The famous take-lemma.
 $\langle \text{proof} \rangle$

lemma *take-Cons'*:
 $\text{take } n (x \# xs) = (\text{if } n = 0 \text{ then } [] \text{ else } x \# \text{take } (n - 1) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *drop-Cons'*:
 $\text{drop } n (x \# xs) = (\text{if } n = 0 \text{ then } x \# xs \text{ else } \text{drop } (n - 1) \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *nth-Cons'*: $(x \# xs)!n = (\text{if } n = 0 \text{ then } x \text{ else } xs!(n - 1))$
 $\langle \text{proof} \rangle$

lemma *take-Cons-numeral* [simp]:
 $\text{take } (\text{numeral } v) (x \# xs) = x \# \text{take } (\text{numeral } v - 1) xs$
 ⟨proof⟩

lemma *drop-Cons-numeral* [simp]:
 $\text{drop } (\text{numeral } v) (x \# xs) = \text{drop } (\text{numeral } v - 1) xs$
 ⟨proof⟩

lemma *nth-Cons-numeral* [simp]:
 $(x \# xs) ! \text{numeral } v = xs ! (\text{numeral } v - 1)$
 ⟨proof⟩

67.1.22 upto: interval-list on int

function *upto* :: int \Rightarrow int \Rightarrow int list ((1[-./-])) **where**
 $\text{upto } i j = (\text{if } i \leq j \text{ then } i \# [i+1..j] \text{ else } [])$
 ⟨proof⟩
termination
 ⟨proof⟩

declare *upto.simps*[simp del]

lemmas *upto-rec-numeral* [simp] =
 $\text{upto.simps}[\text{of numeral } m \text{ numeral } n]$
 $\text{upto.simps}[\text{of numeral } m - \text{numeral } n]$
 $\text{upto.simps}[\text{of } - \text{numeral } m \text{ numeral } n]$
 $\text{upto.simps}[\text{of } - \text{numeral } m - \text{numeral } n]$ **for** $m n$

lemma *upto-empty*[simp]: $j < i \implies [i..j] = []$
 ⟨proof⟩

lemma *upto-rec1*: $i \leq j \implies [i..j] = i \# [i+1..j]$
 ⟨proof⟩

lemma *upto-rec2*: $i \leq j \implies [i..j] = [i..j - 1] @ [j]$
 ⟨proof⟩

lemma *set-upto*[simp]: $\text{set}[i..j] = \{i..j\}$
 ⟨proof⟩

Tail recursive version for code generation:

definition *upto-aux* :: int \Rightarrow int \Rightarrow int list \Rightarrow int list **where**
 $\text{upto-aux } i j js = [i..j] @ js$

lemma *upto-aux-rec* [code]:
 $\text{upto-aux } i j js = (\text{if } j < i \text{ then } js \text{ else } \text{upto-aux } i (j - 1) (j \# js))$
 ⟨proof⟩

lemma *upto-code*[code]: $[i..j] = \text{upto-aux } i \ j \ []$
 <proof>

67.1.23 *distinct and remdups and remdups-adj*

lemma *distinct-tl*: $\text{distinct } xs \implies \text{distinct } (\text{tl } xs)$
 <proof>

lemma *distinct-append* [simp]:
 $\text{distinct } (xs @ ys) = (\text{distinct } xs \wedge \text{distinct } ys \wedge \text{set } xs \cap \text{set } ys = \{\})$
 <proof>

lemma *distinct-rev*[simp]: $\text{distinct}(\text{rev } xs) = \text{distinct } xs$
 <proof>

lemma *set-remdups* [simp]: $\text{set } (\text{remdups } xs) = \text{set } xs$
 <proof>

lemma *distinct-remdups* [iff]: $\text{distinct } (\text{remdups } xs)$
 <proof>

lemma *distinct-remdups-id*: $\text{distinct } xs \implies \text{remdups } xs = xs$
 <proof>

lemma *remdups-id-iff-distinct* [simp]: $\text{remdups } xs = xs \longleftrightarrow \text{distinct } xs$
 <proof>

lemma *finite-distinct-list*: $\text{finite } A \implies \exists x. \text{set } xs = A \ \& \ \text{distinct } xs$
 <proof>

lemma *remdups-eq-nil-iff* [simp]: $(\text{remdups } x = []) = (x = [])$
 <proof>

lemma *remdups-eq-nil-right-iff* [simp]: $([] = \text{remdups } x) = (x = [])$
 <proof>

lemma *length-remdups-leq*[iff]: $\text{length}(\text{remdups } xs) \leq \text{length } xs$
 <proof>

lemma *length-remdups-eq*[iff]:
 $(\text{length } (\text{remdups } xs) = \text{length } xs) = (\text{remdups } xs = xs)$
 <proof>

lemma *remdups-filter*: $\text{remdups}(\text{filter } P \ xs) = \text{filter } P \ (\text{remdups } xs)$
 <proof>

lemma *distinct-map*:
 $\text{distinct}(\text{map } f \ xs) = (\text{distinct } xs \ \& \ \text{inj-on } f \ (\text{set } xs))$
 <proof>

lemma *distinct-map-filter*:

$distinct\ (map\ f\ xs) \implies distinct\ (map\ f\ (filter\ P\ xs))$
 $\langle proof \rangle$

lemma *distinct-filter [simp]*: $distinct\ xs \implies distinct\ (filter\ P\ xs)$

$\langle proof \rangle$

lemma *distinct-upt [simp]*: $distinct[i..<j]$

$\langle proof \rangle$

lemma *distinct-upto [simp]*: $distinct[i..j]$

$\langle proof \rangle$

lemma *distinct-take [simp]*: $distinct\ xs \implies distinct\ (take\ i\ xs)$

$\langle proof \rangle$

lemma *distinct-drop [simp]*: $distinct\ xs \implies distinct\ (drop\ i\ xs)$

$\langle proof \rangle$

lemma *distinct-list-update*:

assumes d : $distinct\ xs$ **and** a : $a \notin set\ xs - \{xs[i]\}$

shows $distinct\ (xs[i:=a])$

$\langle proof \rangle$

lemma *distinct-concat*:

$\llbracket distinct\ xs;$
 $\bigwedge ys. ys \in set\ xs \implies distinct\ ys;$
 $\bigwedge ys\ zs. \llbracket ys \in set\ xs ; zs \in set\ xs ; ys \neq zs \rrbracket \implies set\ ys \cap set\ zs = \{\}$
 $\rrbracket \implies distinct\ (concat\ xs)$
 $\langle proof \rangle$

It is best to avoid this indexed version of *distinct*, but sometimes it is useful.

lemma *distinct-conv-nth*:

$distinct\ xs = (\forall i < size\ xs. \forall j < size\ xs. i \neq j \longrightarrow xs[i] \neq xs[j])$

$\langle proof \rangle$

lemma *nth-eq-iff-index-eq*:

$\llbracket distinct\ xs; i < length\ xs; j < length\ xs \rrbracket \implies (xs[i] = xs[j]) = (i = j)$

$\langle proof \rangle$

lemma *distinct-Ex1*:

$distinct\ xs \implies x \in set\ xs \implies (\exists ! i. i < length\ xs \wedge xs[i] = x)$

$\langle proof \rangle$

lemma *inj-on-nth*: $distinct\ xs \implies \forall i \in I. i < length\ xs \implies inj-on\ (nth\ xs)\ I$

$\langle proof \rangle$

lemma *bij-betw-nth*:

assumes $\text{distinct } xs \ A = \{..<\text{length } xs\} \ B = \text{set } xs$
shows $\text{bij-betw } (op \ ! \ xs) \ A \ B$
 $\langle \text{proof} \rangle$

lemma *set-update-distinct*: $\llbracket \text{distinct } xs; \ n < \text{length } xs \rrbracket \implies$
 $\text{set}(xs[n := x]) = \text{insert } x \ (\text{set } xs - \{xs!n\})$
 $\langle \text{proof} \rangle$

lemma *distinct-swap[simp]*: $\llbracket i < \text{size } xs; \ j < \text{size } xs \rrbracket \implies$
 $\text{distinct}(xs[i := xs!j, \ j := xs!i]) = \text{distinct } xs$
 $\langle \text{proof} \rangle$

lemma *set-swap[simp]*:
 $\llbracket i < \text{size } xs; \ j < \text{size } xs \rrbracket \implies \text{set}(xs[i := xs!j, \ j := xs!i]) = \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *distinct-card*: $\text{distinct } xs \implies \text{card } (\text{set } xs) = \text{size } xs$
 $\langle \text{proof} \rangle$

lemma *card-distinct*: $\text{card } (\text{set } xs) = \text{size } xs \implies \text{distinct } xs$
 $\langle \text{proof} \rangle$

lemma *distinct-length-filter*: $\text{distinct } xs \implies \text{length } (\text{filter } P \ xs) = \text{card } (\{x. \ P \ x\}$
 $\text{Int } \text{set } xs)$
 $\langle \text{proof} \rangle$

lemma *not-distinct-decomp*: $\sim \text{distinct } ws \implies \exists x \ y \ z \ y. \ ws = xs @ [y] @ ys @ [y] @ zs$
 $\langle \text{proof} \rangle$

lemma *not-distinct-conv-prefix*:
defines $\text{dec } as \ xs \ y \ ys \equiv y \in \text{set } xs \wedge \text{distinct } xs \wedge as = xs @ y \# ys$
shows $\neg \text{distinct } as \longleftrightarrow (\exists xs \ y \ ys. \ \text{dec } as \ xs \ y \ ys) \ (\text{is } ?L = ?R)$
 $\langle \text{proof} \rangle$

lemma *distinct-product*:
 $\text{distinct } xs \implies \text{distinct } ys \implies \text{distinct } (\text{List.product } xs \ ys)$
 $\langle \text{proof} \rangle$

lemma *distinct-product-lists*:
assumes $\forall xs \in \text{set } xss. \ \text{distinct } xs$
shows $\text{distinct } (\text{product-lists } xss)$
 $\langle \text{proof} \rangle$

lemma *length-remdups-concat*:
 $\text{length } (\text{remdups } (\text{concat } xss)) = \text{card } (\bigcup xs \in \text{set } xss. \ \text{set } xs)$
 $\langle \text{proof} \rangle$

lemma *length-remdups-card-conv*: $\text{length}(\text{remdups } xs) = \text{card}(\text{set } xs)$
 $\langle \text{proof} \rangle$

lemma *remdups-remdups*: $\text{remdups } (\text{remdups } xs) = \text{remdups } xs$
 $\langle \text{proof} \rangle$

lemma *distinct-butlast*:
assumes *distinct xs*
shows *distinct (butlast xs)*
 $\langle \text{proof} \rangle$

lemma *remdups-map-remdups*:
 $\text{remdups } (\text{map } f \ (\text{remdups } xs)) = \text{remdups } (\text{map } f \ xs)$
 $\langle \text{proof} \rangle$

lemma *distinct-zipI1*:
assumes *distinct xs*
shows *distinct (zip xs ys)*
 $\langle \text{proof} \rangle$

lemma *distinct-zipI2*:
assumes *distinct ys*
shows *distinct (zip xs ys)*
 $\langle \text{proof} \rangle$

lemma *set-take-disj-set-drop-if-distinct*:
 $\text{distinct } vs \implies i \leq j \implies \text{set } (\text{take } i \ vs) \cap \text{set } (\text{drop } j \ vs) = \{\}$
 $\langle \text{proof} \rangle$

lemma *distinct-singleton*: *distinct [x]* $\langle \text{proof} \rangle$

lemma *distinct-length-2-or-more*:
 $\text{distinct } (a \ \# \ b \ \# \ xs) \longleftrightarrow (a \neq b \wedge \text{distinct } (a \ \# \ xs) \wedge \text{distinct } (b \ \# \ xs))$
 $\langle \text{proof} \rangle$

lemma *remdups-adj-altdef*: $\text{remdups-adj } xs = ys \longleftrightarrow$
 $(\exists f :: \text{nat} \Rightarrow \text{nat}. \text{mono } f \ \& \ f \ ' \ \{0 \ ..< \text{size } xs\} = \{0 \ ..< \text{size } ys\}$
 $\wedge (\forall i < \text{size } xs. xs!i = ys!(f \ i))$
 $\wedge (\forall i. i + 1 < \text{size } xs \longrightarrow (xs!i = xs!(i+1) \longleftrightarrow f \ i = f(i+1)))) \ (\text{is } ?L \longleftrightarrow$
 $(\exists f. ?p \ f \ xs \ ys))$
 $\langle \text{proof} \rangle$

lemma *hd-remdups-adj[simp]*: $\text{hd } (\text{remdups-adj } xs) = \text{hd } xs$
 $\langle \text{proof} \rangle$

lemma *remdups-adj-Cons*: $\text{remdups-adj } (x \ \# \ xs) =$
 $(\text{case } \text{remdups-adj } xs \ \text{of } [] \Rightarrow [x] \mid y \ \# \ xs \Rightarrow \text{if } x = y \ \text{then } y \ \# \ xs \ \text{else } x \ \# \ y \ \# \ xs)$
 $\langle \text{proof} \rangle$

lemma *remdups-adj-append-two*:

$\text{remdups-adj } (xs \text{ @ } [x,y]) = \text{remdups-adj } (xs \text{ @ } [x]) \text{ @ } (\text{if } x = y \text{ then } [] \text{ else } [y])$
 <proof>

lemma *remdups-adj-adjacent*:

$\text{Suc } i < \text{length } (\text{remdups-adj } xs) \implies \text{remdups-adj } xs ! i \neq \text{remdups-adj } xs ! \text{Suc } i$
 <proof>

lemma *remdups-adj-rev[simp]*: $\text{remdups-adj } (\text{rev } xs) = \text{rev } (\text{remdups-adj } xs)$

<proof>

lemma *remdups-adj-length[simp]*: $\text{length } (\text{remdups-adj } xs) \leq \text{length } xs$

<proof>

lemma *remdups-adj-length-ge1[simp]*: $xs \neq [] \implies \text{length } (\text{remdups-adj } xs) \geq \text{Suc } 0$

<proof>

lemma *remdups-adj-Nil-iff[simp]*: $\text{remdups-adj } xs = [] \longleftrightarrow xs = []$

<proof>

lemma *remdups-adj-set[simp]*: $\text{set } (\text{remdups-adj } xs) = \text{set } xs$

<proof>

lemma *remdups-adj-Cons-alt[simp]*: $x \# \text{tl } (\text{remdups-adj } (x \# xs)) = \text{remdups-adj } (x \# xs)$

<proof>

lemma *remdups-adj-distinct*: $\text{distinct } xs \implies \text{remdups-adj } xs = xs$

<proof>

lemma *remdups-adj-append*:

$\text{remdups-adj } (xs_1 \text{ @ } x \# xs_2) = \text{remdups-adj } (xs_1 \text{ @ } [x]) \text{ @ } \text{tl } (\text{remdups-adj } (x \# xs_2))$

<proof>

lemma *remdups-adj-singleton*:

$\text{remdups-adj } xs = [x] \implies xs = \text{replicate } (\text{length } xs) x$

<proof>

lemma *remdups-adj-map-injective*:

assumes *inj f*

shows $\text{remdups-adj } (\text{map } f xs) = \text{map } f (\text{remdups-adj } xs)$

<proof>

lemma *remdups-adj-replicate*:

$\text{remdups-adj } (\text{replicate } n x) = (\text{if } n = 0 \text{ then } [] \text{ else } [x])$

<proof>

lemma *remdups-upt* [simp]: $\text{remdups } [m..<n] = [m..<n]$
 ⟨proof⟩

67.1.24 *insert*

lemma *in-set-insert* [simp]:
 $x \in \text{set } xs \implies \text{List.insert } x \ xs = xs$
 ⟨proof⟩

lemma *not-in-set-insert* [simp]:
 $x \notin \text{set } xs \implies \text{List.insert } x \ xs = x \# xs$
 ⟨proof⟩

lemma *insert-Nil* [simp]: $\text{List.insert } x \ [] = [x]$
 ⟨proof⟩

lemma *set-insert* [simp]: $\text{set } (\text{List.insert } x \ xs) = \text{insert } x \ (\text{set } xs)$
 ⟨proof⟩

lemma *distinct-insert* [simp]: $\text{distinct } (\text{List.insert } x \ xs) = \text{distinct } xs$
 ⟨proof⟩

lemma *insert-remdups*:
 $\text{List.insert } x \ (\text{remdups } xs) = \text{remdups } (\text{List.insert } x \ xs)$
 ⟨proof⟩

67.1.25 *List.union*

This is all one should need to know about union:

lemma *set-union*[simp]: $\text{set } (\text{List.union } xs \ ys) = \text{set } xs \cup \text{set } ys$
 ⟨proof⟩

lemma *distinct-union*[simp]: $\text{distinct } (\text{List.union } xs \ ys) = \text{distinct } ys$
 ⟨proof⟩

67.1.26 *find*

lemma *find-None-iff*: $\text{List.find } P \ xs = \text{None} \longleftrightarrow \neg (\exists x. x \in \text{set } xs \wedge P \ x)$
 ⟨proof⟩

lemma *find-Some-iff*:
 $\text{List.find } P \ xs = \text{Some } x \longleftrightarrow$
 $(\exists i < \text{length } xs. P \ (xs[i]) \wedge x = xs[i] \wedge (\forall j < i. \neg P \ (xs[j])))$
 ⟨proof⟩

lemma *find-cong*[fundef-cong]:
assumes $xs = ys$ **and** $\bigwedge x. x \in \text{set } ys \implies P \ x = Q \ x$
shows $\text{List.find } P \ xs = \text{List.find } Q \ ys$

$\langle \text{proof} \rangle$

lemma *find-dropWhile*:

$\text{List.find } P \text{ } xs = (\text{case dropWhile } (\text{Not} \circ P) \text{ } xs$
 $\text{of } [] \Rightarrow \text{None}$
 $\mid x \# - \Rightarrow \text{Some } x)$

$\langle \text{proof} \rangle$

67.1.27 *count-list*

lemma *count-notin[simp]*: $x \notin \text{set } xs \implies \text{count-list } xs \text{ } x = 0$

$\langle \text{proof} \rangle$

lemma *count-le-length*: $\text{count-list } xs \text{ } x \leq \text{length } xs$

$\langle \text{proof} \rangle$

lemma *sum-count-set*:

$\text{set } xs \subseteq X \implies \text{finite } X \implies \text{sum } (\text{count-list } xs) \text{ } X = \text{length } xs$

$\langle \text{proof} \rangle$

67.1.28 *List.extract*

lemma *extract-None-iff*: $\text{List.extract } P \text{ } xs = \text{None} \longleftrightarrow \neg (\exists x \in \text{set } xs. P \text{ } x)$

$\langle \text{proof} \rangle$

lemma *extract-SomeE*:

$\text{List.extract } P \text{ } xs = \text{Some } (ys, y, zs) \implies$
 $xs = ys @ y \# zs \wedge P \text{ } y \wedge \neg (\exists y \in \text{set } ys. P \text{ } y)$

$\langle \text{proof} \rangle$

lemma *extract-Some-iff*:

$\text{List.extract } P \text{ } xs = \text{Some } (ys, y, zs) \longleftrightarrow$
 $xs = ys @ y \# zs \wedge P \text{ } y \wedge \neg (\exists y \in \text{set } ys. P \text{ } y)$

$\langle \text{proof} \rangle$

lemma *extract-Nil-code[code]*: $\text{List.extract } P \text{ } [] = \text{None}$

$\langle \text{proof} \rangle$

lemma *extract-Cons-code[code]*:

$\text{List.extract } P \text{ } (x \# xs) = (\text{if } P \text{ } x \text{ then } \text{Some } ([], x, xs) \text{ else}$
 $(\text{case } \text{List.extract } P \text{ } xs \text{ of}$
 $\text{None} \Rightarrow \text{None} \mid$
 $\text{Some } (ys, y, zs) \Rightarrow \text{Some } (x \# ys, y, zs)))$

$\langle \text{proof} \rangle$

67.1.29 *remove1*

lemma *remove1-append*:

$\text{remove1 } x \text{ } (xs @ ys) =$
 $(\text{if } x \in \text{set } xs \text{ then } \text{remove1 } x \text{ } xs @ ys \text{ else } xs @ \text{remove1 } x \text{ } ys)$

$\langle \text{proof} \rangle$

lemma *remove1-commute*: $\text{remove1 } x (\text{remove1 } y \text{ } xs) = \text{remove1 } y (\text{remove1 } x \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *in-set-remove1*[simp]:
 $a \neq b \implies a : \text{set}(\text{remove1 } b \text{ } xs) = (a : \text{set } xs)$
 $\langle \text{proof} \rangle$

lemma *set-remove1-subset*: $\text{set}(\text{remove1 } x \text{ } xs) \leq \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *set-remove1-eq* [simp]: $\text{distinct } xs \implies \text{set}(\text{remove1 } x \text{ } xs) = \text{set } xs - \{x\}$
 $\langle \text{proof} \rangle$

lemma *length-remove1*:
 $\text{length}(\text{remove1 } x \text{ } xs) = (\text{if } x : \text{set } xs \text{ then } \text{length } xs - 1 \text{ else } \text{length } xs)$
 $\langle \text{proof} \rangle$

lemma *remove1-filter-not*[simp]:
 $\neg P \ x \implies \text{remove1 } x (\text{filter } P \text{ } xs) = \text{filter } P \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *filter-remove1*:
 $\text{filter } Q (\text{remove1 } x \text{ } xs) = \text{remove1 } x (\text{filter } Q \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *notin-set-remove1*[simp]: $x \notin \text{set } xs \implies x \notin \text{set}(\text{remove1 } y \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *distinct-remove1*[simp]: $\text{distinct } xs \implies \text{distinct}(\text{remove1 } x \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *remove1-remdups*:
 $\text{distinct } xs \implies \text{remove1 } x (\text{remdups } xs) = \text{remdups } (\text{remove1 } x \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *remove1-idem*: $x \notin \text{set } xs \implies \text{remove1 } x \text{ } xs = xs$
 $\langle \text{proof} \rangle$

67.1.30 *removeAll*

lemma *removeAll-filter-not-eq*:
 $\text{removeAll } x = \text{filter } (\lambda y. x \neq y)$
 $\langle \text{proof} \rangle$

lemma *removeAll-append*[simp]:
 $\text{removeAll } x (\text{xs } @ \text{ } ys) = \text{removeAll } x \text{ } xs @ \text{removeAll } x \text{ } ys$
 $\langle \text{proof} \rangle$

lemma *set-removeAll[simp]*: $\text{set}(\text{removeAll } x \text{ } xs) = \text{set } xs - \{x\}$
 ⟨proof⟩

lemma *removeAll-id[simp]*: $x \notin \text{set } xs \implies \text{removeAll } x \text{ } xs = xs$
 ⟨proof⟩

lemma *removeAll-filter-not[simp]*:
 $\neg P \ x \implies \text{removeAll } x \ (\text{filter } P \ xs) = \text{filter } P \ xs$
 ⟨proof⟩

lemma *distinct-removeAll*:
 $\text{distinct } xs \implies \text{distinct } (\text{removeAll } x \text{ } xs)$
 ⟨proof⟩

lemma *distinct-remove1-removeAll*:
 $\text{distinct } xs \implies \text{remove1 } x \text{ } xs = \text{removeAll } x \text{ } xs$
 ⟨proof⟩

lemma *map-removeAll-inj-on*: $\text{inj-on } f \ (\text{insert } x \ (\text{set } xs)) \implies$
 $\text{map } f \ (\text{removeAll } x \text{ } xs) = \text{removeAll } (f \ x) \ (\text{map } f \ xs)$
 ⟨proof⟩

lemma *map-removeAll-inj*: $\text{inj } f \implies$
 $\text{map } f \ (\text{removeAll } x \text{ } xs) = \text{removeAll } (f \ x) \ (\text{map } f \ xs)$
 ⟨proof⟩

lemma *length-removeAll-less-eq [simp]*:
 $\text{length } (\text{removeAll } x \text{ } xs) \leq \text{length } xs$
 ⟨proof⟩

lemma *length-removeAll-less [termination-simp]*:
 $x \in \text{set } xs \implies \text{length } (\text{removeAll } x \text{ } xs) < \text{length } xs$
 ⟨proof⟩

67.1.31 replicate

lemma *length-replicate [simp]*: $\text{length } (\text{replicate } n \ x) = n$
 ⟨proof⟩

lemma *replicate-eqI*:
 assumes $\text{length } xs = n$ and $\bigwedge y. y \in \text{set } xs \implies y = x$
 shows $xs = \text{replicate } n \ x$
 ⟨proof⟩

lemma *Ex-list-of-length*: $\exists xs. \text{length } xs = n$
 ⟨proof⟩

lemma *map-replicate* [simp]: $\text{map } f \ (\text{replicate } n \ x) = \text{replicate } n \ (f \ x)$
 ⟨proof⟩

lemma *map-replicate-const*:
 $\text{map } (\lambda \ x. \ k) \ \text{lst} = \text{replicate } (\text{length } \text{lst}) \ k$
 ⟨proof⟩

lemma *replicate-app-Cons-same*:
 $(\text{replicate } n \ x) \ @ \ (x \ \# \ xs) = x \ \# \ \text{replicate } n \ x \ @ \ xs$
 ⟨proof⟩

lemma *rev-replicate* [simp]: $\text{rev } (\text{replicate } n \ x) = \text{replicate } n \ x$
 ⟨proof⟩

lemma *replicate-add*: $\text{replicate } (n + m) \ x = \text{replicate } n \ x \ @ \ \text{replicate } m \ x$
 ⟨proof⟩

Courtesy of Matthias Daum:

lemma *append-replicate-commute*:
 $\text{replicate } n \ x \ @ \ \text{replicate } k \ x = \text{replicate } k \ x \ @ \ \text{replicate } n \ x$
 ⟨proof⟩

Courtesy of Andreas Lochbihler:

lemma *filter-replicate*:
 $\text{filter } P \ (\text{replicate } n \ x) = (\text{if } P \ x \ \text{then } \text{replicate } n \ x \ \text{else } [])$
 ⟨proof⟩

lemma *hd-replicate* [simp]: $n \neq 0 \implies \text{hd } (\text{replicate } n \ x) = x$
 ⟨proof⟩

lemma *tl-replicate* [simp]: $\text{tl } (\text{replicate } n \ x) = \text{replicate } (n - 1) \ x$
 ⟨proof⟩

lemma *last-replicate* [simp]: $n \neq 0 \implies \text{last } (\text{replicate } n \ x) = x$
 ⟨proof⟩

lemma *nth-replicate* [simp]: $i < n \implies (\text{replicate } n \ x)![i] = x$
 ⟨proof⟩

Courtesy of Matthias Daum (2 lemmas):

lemma *take-replicate* [simp]: $\text{take } i \ (\text{replicate } k \ x) = \text{replicate } (\min i \ k) \ x$
 ⟨proof⟩

lemma *drop-replicate* [simp]: $\text{drop } i \ (\text{replicate } k \ x) = \text{replicate } (k - i) \ x$
 ⟨proof⟩

lemma *set-replicate-Suc*: $\text{set } (\text{replicate } (\text{Suc } n) \ x) = \{x\}$
 ⟨proof⟩

lemma *set-replicate* [simp]: $n \neq 0 \implies \text{set } (\text{replicate } n \ x) = \{x\}$
 <proof>

lemma *set-replicate-conv-if*: $\text{set } (\text{replicate } n \ x) = (\text{if } n = 0 \text{ then } \{\} \text{ else } \{x\})$
 <proof>

lemma *in-set-replicate*[simp]: $(x : \text{set } (\text{replicate } n \ y)) = (x = y \ \& \ n \neq 0)$
 <proof>

lemma *Ball-set-replicate*[simp]:
 $(\text{ALL } x : \text{set}(\text{replicate } n \ a). \ P \ x) = (P \ a \mid n=0)$
 <proof>

lemma *Bex-set-replicate*[simp]:
 $(\text{EX } x : \text{set}(\text{replicate } n \ a). \ P \ x) = (P \ a \ \& \ n \neq 0)$
 <proof>

lemma *replicate-append-same*:
 $\text{replicate } i \ x \ @ \ [x] = x \ \# \ \text{replicate } i \ x$
 <proof>

lemma *map-replicate-trivial*:
 $\text{map } (\lambda i. \ x) \ [0..<i] = \text{replicate } i \ x$
 <proof>

lemma *concat-replicate-trivial*[simp]:
 $\text{concat } (\text{replicate } i \ []) = []$
 <proof>

lemma *replicate-empty*[simp]: $(\text{replicate } n \ x = []) \longleftrightarrow n=0$
 <proof>

lemma *empty-replicate*[simp]: $([] = \text{replicate } n \ x) \longleftrightarrow n=0$
 <proof>

lemma *replicate-eq-replicate*[simp]:
 $(\text{replicate } m \ x = \text{replicate } n \ y) \longleftrightarrow (m=n \ \& \ (m \neq 0 \longrightarrow x=y))$
 <proof>

lemma *replicate-length-filter*:
 $\text{replicate } (\text{length } (\text{filter } (\lambda y. \ x = y) \ xs)) \ x = \text{filter } (\lambda y. \ x = y) \ xs$
 <proof>

lemma *comm-append-are-replicate*:
 fixes $xs \ ys :: 'a \ \text{list}$
 assumes $xs \neq [] \ ys \neq []$
 assumes $xs \ @ \ ys = ys \ @ \ xs$
 shows $\exists \ m \ n \ zs. \ \text{concat } (\text{replicate } m \ zs) = xs \ \wedge \ \text{concat } (\text{replicate } n \ zs) = ys$

$\langle proof \rangle$

lemma *comm-append-is-replicate*:

fixes $xs\ ys :: 'a\ list$

assumes $xs \neq []\ ys \neq []$

assumes $xs @ ys = ys @ xs$

shows $\exists n\ zs.\ n > 1 \wedge concat\ (replicate\ n\ zs) = xs @ ys$

$\langle proof \rangle$

lemma *Cons-replicate-eq*:

$x \# xs = replicate\ n\ y \longleftrightarrow x = y \wedge n > 0 \wedge xs = replicate\ (n - 1)\ x$

$\langle proof \rangle$

lemma *replicate-length-same*:

$(\forall y \in set\ xs.\ y = x) \implies replicate\ (length\ xs)\ x = xs$

$\langle proof \rangle$

lemma *foldr-replicate* [simp]:

$foldr\ f\ (replicate\ n\ x) = f\ x\ ^{\wedge}\ n$

$\langle proof \rangle$

lemma *fold-replicate* [simp]:

$fold\ f\ (replicate\ n\ x) = f\ x\ ^{\wedge}\ n$

$\langle proof \rangle$

67.1.32 enumerate

lemma *enumerate-simps* [simp, code]:

$enumerate\ n\ [] = []$

$enumerate\ n\ (x \# xs) = (n, x) \# enumerate\ (Suc\ n)\ xs$

$\langle proof \rangle$

lemma *length-enumerate* [simp]:

$length\ (enumerate\ n\ xs) = length\ xs$

$\langle proof \rangle$

lemma *map-fst-enumerate* [simp]:

$map\ fst\ (enumerate\ n\ xs) = [n..<n + length\ xs]$

$\langle proof \rangle$

lemma *map-snd-enumerate* [simp]:

$map\ snd\ (enumerate\ n\ xs) = xs$

$\langle proof \rangle$

lemma *in-set-enumerate-eq*:

$p \in set\ (enumerate\ n\ xs) \longleftrightarrow n \leq fst\ p \wedge fst\ p < length\ xs + n \wedge nth\ xs\ (fst\ p - n) = snd\ p$

$\langle proof \rangle$

lemma *nth-enumerate-eq*:

assumes $m < \text{length } xs$

shows $\text{enumerate } n \text{ } xs ! m = (n + m, xs ! m)$

$\langle \text{proof} \rangle$

lemma *enumerate-replicate-eq*:

$\text{enumerate } n (\text{replicate } m \ a) = \text{map } (\lambda q. (q, a)) [n..<n + m]$

$\langle \text{proof} \rangle$

lemma *enumerate-Suc-eq*:

$\text{enumerate } (\text{Suc } n) \ xs = \text{map } (\text{apfst } \text{Suc}) (\text{enumerate } n \ xs)$

$\langle \text{proof} \rangle$

lemma *distinct-enumerate [simp]*:

$\text{distinct } (\text{enumerate } n \ xs)$

$\langle \text{proof} \rangle$

lemma *enumerate-append-eq*:

$\text{enumerate } n \ (xs @ ys) = \text{enumerate } n \ xs @ \text{enumerate } (n + \text{length } xs) \ ys$

$\langle \text{proof} \rangle$

lemma *enumerate-map-upt*:

$\text{enumerate } n \ (\text{map } f \ [n..<m]) = \text{map } (\lambda k. (k, f \ k)) [n..<m]$

$\langle \text{proof} \rangle$

67.1.33 rotate1 and rotate

lemma *rotate0[simp]*: $\text{rotate } 0 = \text{id}$

$\langle \text{proof} \rangle$

lemma *rotate-Suc[simp]*: $\text{rotate } (\text{Suc } n) \ xs = \text{rotate1} (\text{rotate } n \ xs)$

$\langle \text{proof} \rangle$

lemma *rotate-add*:

$\text{rotate } (m+n) = \text{rotate } m \ o \ \text{rotate } n$

$\langle \text{proof} \rangle$

lemma *rotate-rotate*: $\text{rotate } m \ (\text{rotate } n \ xs) = \text{rotate } (m+n) \ xs$

$\langle \text{proof} \rangle$

lemma *rotate1-rotate-swap*: $\text{rotate1} \ (\text{rotate } n \ xs) = \text{rotate } n \ (\text{rotate1 } xs)$

$\langle \text{proof} \rangle$

lemma *rotate1-length01[simp]*: $\text{length } xs \leq 1 \implies \text{rotate1 } xs = xs$

$\langle \text{proof} \rangle$

lemma *rotate-length01[simp]*: $\text{length } xs \leq 1 \implies \text{rotate } n \ xs = xs$

$\langle \text{proof} \rangle$

lemma *rotate1-hd-tl*: $xs \neq [] \implies \text{rotate1 } xs = \text{tl } xs @ [\text{hd } xs]$
 $\langle \text{proof} \rangle$

lemma *rotate-drop-take*:
 $\text{rotate } n \ xs = \text{drop } (n \bmod \text{length } xs) \ xs @ \text{take } (n \bmod \text{length } xs) \ xs$
 $\langle \text{proof} \rangle$

lemma *rotate-conv-mod*: $\text{rotate } n \ xs = \text{rotate } (n \bmod \text{length } xs) \ xs$
 $\langle \text{proof} \rangle$

lemma *rotate-id[simp]*: $n \bmod \text{length } xs = 0 \implies \text{rotate } n \ xs = xs$
 $\langle \text{proof} \rangle$

lemma *length-rotate1[simp]*: $\text{length}(\text{rotate1 } xs) = \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *length-rotate[simp]*: $\text{length}(\text{rotate } n \ xs) = \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *distinct1-rotate[simp]*: $\text{distinct}(\text{rotate1 } xs) = \text{distinct } xs$
 $\langle \text{proof} \rangle$

lemma *distinct-rotate[simp]*: $\text{distinct}(\text{rotate } n \ xs) = \text{distinct } xs$
 $\langle \text{proof} \rangle$

lemma *rotate-map*: $\text{rotate } n \ (\text{map } f \ xs) = \text{map } f \ (\text{rotate } n \ xs)$
 $\langle \text{proof} \rangle$

lemma *set-rotate1[simp]*: $\text{set}(\text{rotate1 } xs) = \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *set-rotate[simp]*: $\text{set}(\text{rotate } n \ xs) = \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *rotate1-is-Nil-conv[simp]*: $(\text{rotate1 } xs = []) = (xs = [])$
 $\langle \text{proof} \rangle$

lemma *rotate-is-Nil-conv[simp]*: $(\text{rotate } n \ xs = []) = (xs = [])$
 $\langle \text{proof} \rangle$

lemma *rotate-rev*:
 $\text{rotate } n \ (\text{rev } xs) = \text{rev}(\text{rotate } (\text{length } xs - (n \bmod \text{length } xs)) \ xs)$
 $\langle \text{proof} \rangle$

lemma *hd-rotate-conv-nth*: $xs \neq [] \implies \text{hd}(\text{rotate } n \ xs) = xs!(n \bmod \text{length } xs)$
 $\langle \text{proof} \rangle$

67.1.34 *nths* — a generalization of *op !* to sets

lemma *nths-empty* [*simp*]: *nths* *xs* {} = []
 ⟨*proof*⟩

lemma *nths-nil* [*simp*]: *nths* [] *A* = []
 ⟨*proof*⟩

lemma *length-nths*:
 $\text{length } (\text{nths } xs \ I) = \text{card}\{i. i < \text{length } xs \wedge i : I\}$
 ⟨*proof*⟩

lemma *nths-shift-lemma-Suc*:
 $\text{map fst } (\text{filter } (\%p. P(\text{Suc}(\text{snd } p))) (\text{zip } xs \ is)) =$
 $\text{map fst } (\text{filter } (\%p. P(\text{snd } p)) (\text{zip } xs \ (\text{map } \text{Suc } is)))$
 ⟨*proof*⟩

lemma *nths-shift-lemma*:
 $\text{map fst } [p < - \text{zip } xs \ [i..<i + \text{length } xs] . \text{snd } p : A] =$
 $\text{map fst } [p < - \text{zip } xs \ [0..<\text{length } xs] . \text{snd } p + i : A]$
 ⟨*proof*⟩

lemma *nths-append*:
 $\text{nths } (l \ @ \ l') \ A = \text{nths } l \ A \ @ \ \text{nths } l' \ \{j. j + \text{length } l : A\}$
 ⟨*proof*⟩

lemma *nths-Cons*:
 $\text{nths } (x \ \# \ l) \ A = (\text{if } 0:A \text{ then } [x] \text{ else } []) \ @ \ \text{nths } l \ \{j. \text{Suc } j : A\}$
 ⟨*proof*⟩

lemma *set-nths*: $\text{set}(\text{nths } xs \ I) = \{xs!i \mid i. i < \text{size } xs \wedge i \in I\}$
 ⟨*proof*⟩

lemma *set-nths-subset*: $\text{set}(\text{nths } xs \ I) \subseteq \text{set } xs$
 ⟨*proof*⟩

lemma *notin-set-nthsI* [*simp*]: $x \notin \text{set } xs \implies x \notin \text{set}(\text{nths } xs \ I)$
 ⟨*proof*⟩

lemma *in-set-nthsD*: $x \in \text{set}(\text{nths } xs \ I) \implies x \in \text{set } xs$
 ⟨*proof*⟩

lemma *nths-singleton* [*simp*]: $\text{nths } [x] \ A = (\text{if } 0 : A \text{ then } [x] \text{ else } [])$
 ⟨*proof*⟩

lemma *distinct-nthsI* [*simp*]: $\text{distinct } xs \implies \text{distinct } (\text{nths } xs \ I)$
 ⟨*proof*⟩

lemma *nths-upt-eq-take* [simp]: $nths\ l\ \{..
 ⟨proof⟩$

lemma *filter-in-nths*:
 $distinct\ xs \implies filter\ (\%x. x \in set\ (nths\ xs\ s))\ xs = nths\ xs\ s$
 ⟨proof⟩

67.1.35 subseqs and List.n-lists

lemma *length-subseqs*: $length\ (subseqs\ xs) = 2^{\wedge}\ length\ xs$
 ⟨proof⟩

lemma *subseqs-powset*: $set\ 'set\ (subseqs\ xs) = Pow\ (set\ xs)$
 ⟨proof⟩

lemma *distinct-set-subseqs*:
 assumes *distinct xs*
 shows *distinct (map set (subseqs xs))*
 ⟨proof⟩

lemma *n-lists-Nil* [simp]: $List.n\ lists\ n\ [] = (if\ n = 0\ then\ [[]]\ else\ [])$
 ⟨proof⟩

lemma *length-n-lists-elem*: $ys \in set\ (List.n\ lists\ n\ xs) \implies length\ ys = n$
 ⟨proof⟩

lemma *set-n-lists*: $set\ (List.n\ lists\ n\ xs) = \{ys. length\ ys = n \wedge set\ ys \subseteq set\ xs\}$
 ⟨proof⟩

lemma *subseqs-refl*: $xs \in set\ (subseqs\ xs)$
 ⟨proof⟩

lemma *subset-subseqs*: $X \subseteq set\ xs \implies X \in set\ 'set\ (subseqs\ xs)$
 ⟨proof⟩

lemma *Cons-in-subseqsD*: $y \# ys \in set\ (subseqs\ xs) \implies ys \in set\ (subseqs\ xs)$
 ⟨proof⟩

lemma *subseqs-distinctD*: $[ys \in set\ (subseqs\ xs); distinct\ xs] \implies distinct\ ys$
 ⟨proof⟩

67.1.36 splice

lemma *splice-Nil2* [simp, code]: $splice\ xs\ [] = xs$
 ⟨proof⟩

declare *splice.simps(1,3)[code]*
declare *splice.simps(2)[simp del]*

lemma *length-splice* [simp]: $length\ (splice\ xs\ ys) = length\ xs + length\ ys$

$\langle \text{proof} \rangle$

67.1.37 shuffle

lemma *Nil-in-shuffle[simp]*: $[] \in \text{shuffle } xs \ ys \longleftrightarrow xs = [] \wedge ys = []$
 $\langle \text{proof} \rangle$

lemma *shuffleE*:

$zs \in \text{shuffle } xs \ ys \implies$
 $(zs = xs \implies ys = [] \implies P) \implies$
 $(zs = ys \implies xs = [] \implies P) \implies$
 $(\bigwedge x \ xs' \ z \ zs'. \ xs = x \ \# \ xs' \implies zs = z \ \# \ zs' \implies x = z \implies zs' \in \text{shuffle } xs'$
 $ys \implies P) \implies$
 $(\bigwedge y \ ys' \ z \ zs'. \ ys = y \ \# \ ys' \implies zs = z \ \# \ zs' \implies y = z \implies zs' \in \text{shuffle } xs$
 $ys' \implies P) \implies P$
 $\langle \text{proof} \rangle$

lemma *Cons-in-shuffle-iff*:

$z \ \# \ zs \in \text{shuffle } xs \ ys \longleftrightarrow$
 $(xs \neq [] \wedge \text{hd } xs = z \wedge zs \in \text{shuffle } (\text{tl } xs) \ ys \vee$
 $ys \neq [] \wedge \text{hd } ys = z \wedge zs \in \text{shuffle } xs \ (\text{tl } ys))$
 $\langle \text{proof} \rangle$

lemma *splice-in-shuffle [simp, intro]*: $\text{splice } xs \ ys \in \text{shuffle } xs \ ys$
 $\langle \text{proof} \rangle$

lemma *Nil-in-shuffleI*: $xs = [] \implies ys = [] \implies [] \in \text{shuffle } xs \ ys$
 $\langle \text{proof} \rangle$

lemma *Cons-in-shuffle-leftI*: $zs \in \text{shuffle } xs \ ys \implies z \ \# \ zs \in \text{shuffle } (z \ \# \ xs) \ ys$
 $\langle \text{proof} \rangle$

lemma *Cons-in-shuffle-rightI*: $zs \in \text{shuffle } xs \ ys \implies z \ \# \ zs \in \text{shuffle } xs \ (z \ \# \ ys)$
 $\langle \text{proof} \rangle$

lemma *finite-shuffle [simp, intro]*: $\text{finite } (\text{shuffle } xs \ ys)$
 $\langle \text{proof} \rangle$

lemma *length-shuffle*: $zs \in \text{shuffle } xs \ ys \implies \text{length } zs = \text{length } xs + \text{length } ys$
 $\langle \text{proof} \rangle$

lemma *set-shuffle*: $zs \in \text{shuffle } xs \ ys \implies \text{set } zs = \text{set } xs \cup \text{set } ys$
 $\langle \text{proof} \rangle$

lemma *distinct-disjoint-shuffle*:

assumes *distinct xs distinct ys set xs \cap set ys = {}* $zs \in \text{shuffle } xs \ ys$
shows *distinct zs*

$\langle \text{proof} \rangle$

lemma *shuffle-commutes*: $shuffle\ xs\ ys = shuffle\ ys\ xs$
 ⟨proof⟩

lemma *Cons-shuffle-subset1*: $op\ \# x \text{ ‘ } shuffle\ xs\ ys \subseteq shuffle\ (x\ \# xs)\ ys$
 ⟨proof⟩

lemma *Cons-shuffle-subset2*: $op\ \# y \text{ ‘ } shuffle\ xs\ ys \subseteq shuffle\ xs\ (y\ \# ys)$
 ⟨proof⟩

lemma *filter-shuffle*:
 $filter\ P \text{ ‘ } shuffle\ xs\ ys = shuffle\ (filter\ P\ xs)\ (filter\ P\ ys)$
 ⟨proof⟩

lemma *filter-shuffle-disjoint1*:
 assumes $set\ xs \cap set\ ys = \{\}$ $zs \in shuffle\ xs\ ys$
 shows $filter\ (\lambda x. x \in set\ xs)\ zs = xs$ (is $filter\ ?P - = -$)
 and $filter\ (\lambda x. x \notin set\ xs)\ zs = ys$ (is $filter\ ?Q - = -$)
 ⟨proof⟩

lemma *filter-shuffle-disjoint2*:
 assumes $set\ xs \cap set\ ys = \{\}$ $zs \in shuffle\ xs\ ys$
 shows $filter\ (\lambda x. x \in set\ ys)\ zs = ys$ $filter\ (\lambda x. x \notin set\ ys)\ zs = xs$
 ⟨proof⟩

lemma *partition-in-shuffle*:
 $xs \in shuffle\ (filter\ P\ xs)\ (filter\ (\lambda x. \neg P\ x)\ xs)$
 ⟨proof⟩

lemma *inv-image-partition*:
 assumes $\bigwedge x. x \in set\ xs \implies P\ x \bigwedge y. y \in set\ ys \implies \neg P\ y$
 shows $partition\ P - \text{‘ } \{(xs, ys)\} = shuffle\ xs\ ys$
 ⟨proof⟩

67.1.38 Transpose

function *transpose* **where**
 $transpose\ [] = []$ |
 $transpose\ ([\] \# xss) = transpose\ xss$ |
 $transpose\ ((x\ \# xs) \# xss) =$
 $(x\ \# [h. (h\ \# t) \leftarrow xss]) \# transpose\ (xs\ \# [t. (h\ \# t) \leftarrow xss])$
 ⟨proof⟩

lemma *transpose-aux-filter-head*:
 $concat\ (map\ (case-list\ []\ (\lambda h\ t. [h]))\ xss) =$
 $map\ (\lambda xs. hd\ xs)\ [ys \leftarrow xss . ys \neq []]$
 ⟨proof⟩

lemma *transpose-aux-filter-tail*:
 $concat\ (map\ (case-list\ []\ (\lambda h\ t. [t]))\ xss) =$

map ($\lambda xs. tl\ xs$) [$ys \leftarrow xss \ . \ ys \neq []$]
 <proof>

lemma *transpose-aux-max*:

$max\ (Suc\ (length\ xs))\ (foldr\ (\lambda xs. max\ (length\ xs))\ xss\ 0) =$
 $Suc\ (max\ (length\ xs)\ (foldr\ (\lambda x. max\ (length\ x - Suc\ 0))\ [ys \leftarrow xss \ . \ ys \neq []]\ 0))$
 (is $max - ?foldB = Suc\ (max - ?foldA)$)
 <proof>

termination *transpose*

<proof>

lemma *transpose-empty*: $(transpose\ xs = []) \longleftrightarrow (\forall x \in set\ xs. x = [])$
 <proof>

lemma *length-transpose*:

fixes $xs :: 'a\ list\ list$
shows $length\ (transpose\ xs) = foldr\ (\lambda xs. max\ (length\ xs))\ xs\ 0$
 <proof>

lemma *nth-transpose*:

fixes $xs :: 'a\ list\ list$
assumes $i < length\ (transpose\ xs)$
shows $transpose\ xs\ !\ i = map\ (\lambda xs. xs\ !\ i)\ [ys \leftarrow xs. i < length\ ys]$
 <proof>

lemma *transpose-map-map*:

$transpose\ (map\ (map\ f)\ xs) = map\ (map\ f)\ (transpose\ xs)$
 <proof>

67.1.39 (In)finiteness

lemma *finite-maxlen*:

$finite\ (M :: 'a\ list\ set) ==> EX\ n. ALL\ s:M. size\ s < n$
 <proof>

lemma *lists-length-Suc-eq*:

$\{xs. set\ xs \subseteq A \wedge length\ xs = Suc\ n\} =$
 $(\lambda(xs, n). n \# xs) \cdot (\{xs. set\ xs \subseteq A \wedge length\ xs = n\} \times A)$
 <proof>

lemma

assumes $finite\ A$
shows *finite-lists-length-eq*: $finite\ \{xs. set\ xs \subseteq A \wedge length\ xs = n\}$
and *card-lists-length-eq*: $card\ \{xs. set\ xs \subseteq A \wedge length\ xs = n\} = (card\ A)^n$
 <proof>

lemma *finite-lists-length-le*:

assumes $finite\ A$ **shows** $finite\ \{xs. set\ xs \subseteq A \wedge length\ xs \leq n\}$

(**is** *finite* ?*S*)
 ⟨*proof*⟩

lemma *card-lists-length-le*:
assumes *finite A* **shows** $\text{card } \{xs. \text{set } xs \subseteq A \wedge \text{length } xs \leq n\} = (\sum i \leq n. \text{card } A \wedge i)$
 ⟨*proof*⟩

lemma *card-lists-distinct-length-eq*:
assumes *finite A* $k \leq \text{card } A$
shows $\text{card } \{xs. \text{length } xs = k \wedge \text{distinct } xs \wedge \text{set } xs \subseteq A\} = \prod \{\text{card } A - k + 1 \dots \text{card } A\}$
 ⟨*proof*⟩

lemma *card-lists-distinct-length-eq'*:
assumes $k < \text{card } A$
shows $\text{card } \{xs. \text{length } xs = k \wedge \text{distinct } xs \wedge \text{set } xs \subseteq A\} = \prod \{\text{card } A - k + 1 \dots \text{card } A\}$
 ⟨*proof*⟩

lemma *infinite-UNIV-listI*: $\sim \text{finite}(\text{UNIV}::'a \text{ list set})$
 ⟨*proof*⟩

67.2 Sorting

67.2.1 sorted-wrt

lemma *sorted-wrt-induct*:
 $\llbracket P \rrbracket; \bigwedge x. P [x]; \bigwedge x y zs. P (y \# zs) \implies P (x \# y \# zs) \implies P xs$
 ⟨*proof*⟩

lemma *sorted-wrt-Cons*:
assumes *transp P*
shows $\text{sorted-wrt } P (x \# xs) = ((\forall y \in \text{set } xs. P x y) \wedge \text{sorted-wrt } P xs)$
 ⟨*proof*⟩

lemma *sorted-wrt-ConsI*:
 $\llbracket \text{transp } P; \bigwedge y. y \in \text{set } xs \implies P x y; \text{sorted-wrt } P xs \rrbracket \implies \text{sorted-wrt } P (x \# xs)$
 ⟨*proof*⟩

lemma *sorted-wrt-append*:
assumes *transp P*
shows $\text{sorted-wrt } P (xs @ ys) \longleftrightarrow \text{sorted-wrt } P xs \wedge \text{sorted-wrt } P ys \wedge (\forall x \in \text{set } xs. \forall y \in \text{set } ys. P x y)$
 ⟨*proof*⟩

lemma *sorted-wrt-rev*: **assumes** *transp P*
shows $\text{sorted-wrt } P (\text{rev } xs) = \text{sorted-wrt } (\lambda x y. P y x) xs$
 ⟨*proof*⟩

lemma *sorted-wrt-mono*:

$(\bigwedge x y. P x y \implies Q x y) \implies \text{sorted-wrt } P \text{ } xs \implies \text{sorted-wrt } Q \text{ } xs$
 $\langle \text{proof} \rangle$

Strictly Ascending Sequences of Natural Numbers

lemma *sorted-wrt-upt[simp]*: $\text{sorted-wrt } (op <) [0..<n]$
 $\langle \text{proof} \rangle$

Each element is greater or equal to its index:

lemma *sorted-wrt-less-idx*:
 $\text{sorted-wrt } (op <) \text{ } ns \implies i < \text{length } ns \implies i \leq ns!i$
 $\langle \text{proof} \rangle$

67.2.2 *sorted*

context *linorder*
begin

lemma *sorted-Cons*: $\text{sorted } (x\#xs) = (\text{sorted } xs \wedge (\forall y \in \text{set } xs. x \leq y))$
 $\langle \text{proof} \rangle$

lemma *sorted-iff-wrt*: $\text{sorted } xs = \text{sorted-wrt } (op \leq) \text{ } xs$
 $\langle \text{proof} \rangle$

lemma *sorted-tl*:
 $\text{sorted } xs \implies \text{sorted } (tl \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-append*:
 $\text{sorted } (xs@ys) = (\text{sorted } xs \ \& \ \text{sorted } ys \ \& \ (\forall x \in \text{set } xs. \forall y \in \text{set } ys. x \leq y))$
 $\langle \text{proof} \rangle$

lemma *sorted-nth-mono*:
 $\text{sorted } xs \implies i \leq j \implies j < \text{length } xs \implies xs!i \leq xs!j$
 $\langle \text{proof} \rangle$

lemma *sorted-rev-nth-mono*:
 $\text{sorted } (rev \text{ } xs) \implies i \leq j \implies j < \text{length } xs \implies xs!j \leq xs!i$
 $\langle \text{proof} \rangle$

lemma *sorted-nth-monoI*:
 $(\bigwedge i j. \llbracket i \leq j ; j < \text{length } xs \rrbracket \implies xs ! i \leq xs ! j) \implies \text{sorted } xs$
 $\langle \text{proof} \rangle$

lemma *sorted-equals-nth-mono*:
 $\text{sorted } xs = (\forall j < \text{length } xs. \forall i \leq j. xs ! i \leq xs ! j)$
 $\langle \text{proof} \rangle$

lemma *sorted-map-remove1*:
 $\text{sorted } (\text{map } f \text{ } xs) \implies \text{sorted } (\text{map } f \text{ } (\text{remove1 } x \text{ } xs))$
 $\langle \text{proof} \rangle$

lemma *sorted-remove1*: $\text{sorted } xs \implies \text{sorted } (\text{remove1 } a \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-butlast*:
assumes $xs \neq []$ **and** $\text{sorted } xs$
shows $\text{sorted } (\text{butlast } xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-remdups[simp]*:
 $\text{sorted } l \implies \text{sorted } (\text{remdups } l)$
 $\langle \text{proof} \rangle$

lemma *sorted-remdups-adj[simp]*:
 $\text{sorted } xs \implies \text{sorted } (\text{remdups-adj } xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-distinct-set-unique*:
assumes $\text{sorted } xs \text{ distinct } xs \text{ sorted } ys \text{ distinct } ys \text{ set } xs = \text{set } ys$
shows $xs = ys$
 $\langle \text{proof} \rangle$

lemma *map-sorted-distinct-set-unique*:
assumes $\text{inj-on } f \text{ } (\text{set } xs \cup \text{set } ys)$
assumes $\text{sorted } (\text{map } f \text{ } xs) \text{ distinct } (\text{map } f \text{ } xs)$
 $\text{sorted } (\text{map } f \text{ } ys) \text{ distinct } (\text{map } f \text{ } ys)$
assumes $\text{set } xs = \text{set } ys$
shows $xs = ys$
 $\langle \text{proof} \rangle$

lemma
assumes $\text{sorted } xs$
shows *sorted-take*: $\text{sorted } (\text{take } n \text{ } xs)$
and *sorted-drop*: $\text{sorted } (\text{drop } n \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-dropWhile*: $\text{sorted } xs \implies \text{sorted } (\text{dropWhile } P \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-takeWhile*: $\text{sorted } xs \implies \text{sorted } (\text{takeWhile } P \text{ } xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-filter*:
 $\text{sorted } (\text{map } f \text{ } xs) \implies \text{sorted } (\text{map } f \text{ } (\text{filter } P \text{ } xs))$
 $\langle \text{proof} \rangle$

lemma *foldr-max-sorted*:
 assumes *sorted* (*rev xs*)
 shows *foldr max xs y* = (if *xs* = [] then *y* else *max (xs ! 0) y*)
 ⟨*proof*⟩

lemma *filter-equals-takeWhile-sorted-rev*:
 assumes *sorted*: *sorted (rev (map f xs))*
 shows $[x \leftarrow xs. t < f x] = \text{takeWhile } (\lambda x. t < f x) \text{ } xs$
 (is *filter ?P xs = ?tW*)
 ⟨*proof*⟩

lemma *sorted-map-same*:
sorted (map f [x ← xs. f x = g xs])
 ⟨*proof*⟩

lemma *sorted-same*:
sorted [x ← xs. x = g xs]
 ⟨*proof*⟩

end

67.2.3 Sorting functions

Currently it is not shown that *sort* returns a permutation of its input because the nicest proof is via multisets, which are not yet available. Alternatively one could define a function that counts the number of occurrences of an element in a list and use that instead of multisets to state the correctness property.

context *linorder*
begin

lemma *set-insort-key*:
set (insort-key f x xs) = insert x (set xs)
 ⟨*proof*⟩

lemma *length-insort [simp]*:
length (insort-key f x xs) = Suc (length xs)
 ⟨*proof*⟩

lemma *insort-key-left-comm*:
 assumes $f x \neq f y$
 shows *insort-key f y (insort-key f x xs) = insort-key f x (insort-key f y xs)*
 ⟨*proof*⟩

lemma *insort-left-comm*:
insort x (insort y xs) = insort y (insort x xs)
 ⟨*proof*⟩

lemma *comp-fun-commute-insort*: *comp-fun-commute insort*
 $\langle \text{proof} \rangle$

lemma *sort-key-simps* [simp]:
 $\text{sort-key } f \ [] = []$
 $\text{sort-key } f (x \# xs) = \text{insort-key } f x (\text{sort-key } f xs)$
 $\langle \text{proof} \rangle$

lemma *sort-key-conv-fold*:
assumes *inj-on* f (*set xs*)
shows $\text{sort-key } f xs = \text{fold } (\text{insort-key } f) xs \ []$
 $\langle \text{proof} \rangle$

lemma *sort-conv-fold*:
 $\text{sort } xs = \text{fold insort } xs \ []$
 $\langle \text{proof} \rangle$

lemma *length-sort*[simp]: $\text{length } (\text{sort-key } f xs) = \text{length } xs$
 $\langle \text{proof} \rangle$

lemma *set-sort*[simp]: $\text{set}(\text{sort-key } f xs) = \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *distinct-insort*: $\text{distinct } (\text{insort-key } f x xs) = (x \notin \text{set } xs \wedge \text{distinct } xs)$
 $\langle \text{proof} \rangle$

lemma *distinct-sort*[simp]: $\text{distinct } (\text{sort-key } f xs) = \text{distinct } xs$
 $\langle \text{proof} \rangle$

lemma *sorted-insort-key*: $\text{sorted } (\text{map } f (\text{insort-key } f x xs)) = \text{sorted } (\text{map } f xs)$
 $\langle \text{proof} \rangle$

lemma *sorted-insort*: $\text{sorted } (\text{insort } x xs) = \text{sorted } xs$
 $\langle \text{proof} \rangle$

theorem *sorted-sort-key* [simp]: $\text{sorted } (\text{map } f (\text{sort-key } f xs))$
 $\langle \text{proof} \rangle$

theorem *sorted-sort* [simp]: $\text{sorted } (\text{sort } xs)$
 $\langle \text{proof} \rangle$

lemma *insort-not-Nil* [simp]:
 $\text{insort-key } f a xs \neq []$
 $\langle \text{proof} \rangle$

lemma *insort-is-Cons*: $\forall x \in \text{set } xs. f a \leq f x \implies \text{insort-key } f a xs = a \# xs$
 $\langle \text{proof} \rangle$

lemma *sorted-sort-id*: $\text{sorted } xs \implies \text{sort } xs = xs$

$\langle proof \rangle$

lemma *insort-key-remove1*:

assumes $a \in \text{set } xs$ **and** $\text{sorted } (\text{map } f \ xs)$ **and** $\text{hd } (\text{filter } (\lambda x. f \ a = f \ x) \ xs) = a$

shows $\text{insort-key } f \ a \ (\text{remove1 } a \ xs) = xs$

$\langle proof \rangle$

lemma *insort-remove1*:

assumes $a \in \text{set } xs$ **and** $\text{sorted } xs$

shows $\text{insort } a \ (\text{remove1 } a \ xs) = xs$

$\langle proof \rangle$

lemma *finite-sorted-distinct-unique*:

shows $\text{finite } A \implies \exists !xs. \text{set } xs = A \wedge \text{sorted } xs \wedge \text{distinct } xs$

$\langle proof \rangle$

lemma *insort-insert-key-triv*:

$f \ x \in f \ ' \ \text{set } xs \implies \text{insort-insert-key } f \ x \ xs = xs$

$\langle proof \rangle$

lemma *insort-insert-triv*:

$x \in \text{set } xs \implies \text{insort-insert } x \ xs = xs$

$\langle proof \rangle$

lemma *insort-insert-insort-key*:

$f \ x \notin f \ ' \ \text{set } xs \implies \text{insort-insert-key } f \ x \ xs = \text{insort-key } f \ x \ xs$

$\langle proof \rangle$

lemma *insort-insert-insort*:

$x \notin \text{set } xs \implies \text{insort-insert } x \ xs = \text{insort } x \ xs$

$\langle proof \rangle$

lemma *set-insort-insert*:

$\text{set } (\text{insort-insert } x \ xs) = \text{insert } x \ (\text{set } xs)$

$\langle proof \rangle$

lemma *distinct-insort-insert*:

assumes $\text{distinct } xs$

shows $\text{distinct } (\text{insort-insert-key } f \ x \ xs)$

$\langle proof \rangle$

lemma *sorted-insort-insert-key*:

assumes $\text{sorted } (\text{map } f \ xs)$

shows $\text{sorted } (\text{map } f \ (\text{insort-insert-key } f \ x \ xs))$

$\langle proof \rangle$

lemma *sorted-insort-insert*:

assumes $\text{sorted } xs$

shows *sorted* (*insort-insert* *x xs*)
 ⟨*proof*⟩

lemma *filter-insort-triv*:
 $\neg P\ x \implies \text{filter } P\ (\text{insort-key } f\ x\ xs) = \text{filter } P\ xs$
 ⟨*proof*⟩

lemma *filter-insort*:
 $\text{sorted } (\text{map } f\ xs) \implies P\ x \implies \text{filter } P\ (\text{insort-key } f\ x\ xs) = \text{insort-key } f\ x\ (\text{filter } P\ xs)$
 ⟨*proof*⟩

lemma *filter-sort*:
 $\text{filter } P\ (\text{sort-key } f\ xs) = \text{sort-key } f\ (\text{filter } P\ xs)$
 ⟨*proof*⟩

lemma *remove1-insort* [*simp*]:
 $\text{remove1 } x\ (\text{insort } x\ xs) = xs$
 ⟨*proof*⟩

end

lemma *sorted-upt*[*simp*]: *sorted*[*i..<j*]
 ⟨*proof*⟩

lemma *sort-upt* [*simp*]:
 $\text{sort } [m..<n] = [m..<n]$
 ⟨*proof*⟩

lemma *sorted-upto*[*simp*]: *sorted*[*i..j*]
 ⟨*proof*⟩

lemma *sorted-find-Min*:
assumes *sorted xs*
assumes $\exists x \in \text{set } xs. P\ x$
shows $\text{List.find } P\ xs = \text{Some } (\text{Min } \{x \in \text{set } xs. P\ x\})$
 ⟨*proof*⟩

lemma *sorted-enumerate* [*simp*]:
 $\text{sorted } (\text{map fst } (\text{enumerate } n\ xs))$
 ⟨*proof*⟩

67.2.4 *transpose* on sorted lists

lemma *sorted-transpose*[*simp*]:
shows *sorted* (*rev* (*map length* (*transpose xs*)))
 ⟨*proof*⟩

lemma *transpose-max-length*:

```

  foldr ( $\lambda xs. \max (\text{length } xs)$ ) ( $\text{transpose } xs$ ) 0 =  $\text{length } [x \leftarrow xs. x \neq []]$ 
  (is ?L = ?R)
<proof>

```

```

lemma length-transpose-sorted:
  fixes xs :: 'a list list
  assumes sorted: sorted (rev (map length xs))
  shows length (transpose xs) = (if xs = [] then 0 else length (xs ! 0))
<proof>

```

```

lemma nth-nth-transpose-sorted[simp]:
  fixes xs :: 'a list list
  assumes sorted: sorted (rev (map length xs))
  and i: i < length (transpose xs)
  and j: j < length [ys ← xs. i < length ys]
  shows transpose xs ! i ! j = xs ! j ! i
<proof>

```

```

lemma transpose-column-length:
  fixes xs :: 'a list list
  assumes sorted: sorted (rev (map length xs)) and i < length xs
  shows length (filter ( $\lambda ys. i < \text{length } ys$ ) (transpose xs)) = length (xs ! i)
<proof>

```

```

lemma transpose-column:
  fixes xs :: 'a list list
  assumes sorted: sorted (rev (map length xs)) and i < length xs
  shows map ( $\lambda ys. ys ! i$ ) (filter ( $\lambda ys. i < \text{length } ys$ ) (transpose xs))
    = xs ! i (is ?R = -)
<proof>

```

```

lemma transpose-transpose:
  fixes xs :: 'a list list
  assumes sorted: sorted (rev (map length xs))
  shows transpose (transpose xs) = takeWhile ( $\lambda x. x \neq []$ ) xs (is ?L = ?R)
<proof>

```

```

theorem transpose-rectangle:
  assumes xs = []  $\implies n = 0$ 
  assumes rect:  $\bigwedge i. i < \text{length } xs \implies \text{length } (xs ! i) = n$ 
  shows transpose xs = map ( $\lambda i. \text{map } (\lambda j. xs ! j ! i) [0..<\text{length } xs]$ ) [0..<n]
    (is ?trans = ?map)
<proof>

```

67.2.5 sorted-list-of-set

This function maps (finite) linearly ordered sets to sorted lists. Warning: in most cases it is not a good idea to convert from sets to lists but one should convert in the other direction (via *set*).

context *linorder*
begin

definition *sorted-list-of-set* :: 'a set \Rightarrow 'a list **where**
sorted-list-of-set = *folding.F insert []*

sublocale *sorted-list-of-set*: *folding insert Nil*
rewrites
folding.F insert [] = sorted-list-of-set
 $\langle \text{proof} \rangle$

lemma *sorted-list-of-set-empty*:
sorted-list-of-set {} = []
 $\langle \text{proof} \rangle$

lemma *sorted-list-of-set-insert* [*simp*]:
finite A \Rightarrow sorted-list-of-set (insert x A) = insert x (sorted-list-of-set (A - {x}))
 $\langle \text{proof} \rangle$

lemma *sorted-list-of-set-eq-Nil-iff* [*simp*]:
finite A \Rightarrow sorted-list-of-set A = [] \longleftrightarrow A = {}
 $\langle \text{proof} \rangle$

lemma *sorted-list-of-set* [*simp*]:
finite A \Rightarrow set (sorted-list-of-set A) = A \wedge sorted (sorted-list-of-set A)
 \wedge *distinct (sorted-list-of-set A)*
 $\langle \text{proof} \rangle$

lemma *distinct-sorted-list-of-set*:
distinct (sorted-list-of-set A)
 $\langle \text{proof} \rangle$

lemma *sorted-list-of-set-sort-remdups* [*code*]:
sorted-list-of-set (set xs) = sort (remdups xs)
 $\langle \text{proof} \rangle$

lemma *sorted-list-of-set-remove*:
assumes *finite A*
shows *sorted-list-of-set (A - {x}) = remove1 x (sorted-list-of-set A)*
 $\langle \text{proof} \rangle$

end

lemma *sorted-list-of-set-range* [*simp*]:
*sorted-list-of-set {m..*n*} = [m..*n*]*
 $\langle \text{proof} \rangle$

67.2.6 *lists*: the list-forming operator over sets**inductive-set**

$$lists :: 'a\ set \Rightarrow 'a\ list\ set$$

$$\text{for } A :: 'a\ set$$
where

$$Nil\ [intro!,\ simp]: [] : lists\ A$$

$$| Cons\ [intro!,\ simp]: [| a: A; l: lists\ A|] \Rightarrow a\#\!l : lists\ A$$
inductive-cases *listsE* [elim!]: $x\#\!l : lists\ A$
inductive-cases *listspE* [elim!]: *listsp* $A\ (x\ \#\ l)$
inductive-simps *listsp-simps*[code]:

$$listsp\ A\ []$$

$$listsp\ A\ (x\ \#\ xs)$$
lemma *listsp-mono* [mono]: $A \leq B \Rightarrow listsp\ A \leq listsp\ B$

$$\langle proof \rangle$$
lemmas *lists-mono* = *listsp-mono* [to-set]

lemma *listsp-infI*:

$$\text{assumes } l: listsp\ A\ l\ \text{shows } listsp\ B\ l \Rightarrow listsp\ (inf\ A\ B)\ l\ \langle proof \rangle$$
lemmas *lists-IntI* = *listsp-infI* [to-set]

lemma *listsp-inf-eq* [simp]: $listsp\ (inf\ A\ B) = inf\ (listsp\ A)\ (listsp\ B)$

$$\langle proof \rangle$$
lemmas *listsp-conj-eq* [simp] = *listsp-inf-eq* [simplified inf-fun-def inf-bool-def]

lemmas *lists-Int-eq* [simp] = *listsp-inf-eq* [to-set]

lemma *Cons-in-lists-iff*[simp]: $x\#\!xs : lists\ A \longleftrightarrow x:A \wedge xs : lists\ A$

$$\langle proof \rangle$$
lemma *append-in-listsp-conv* [iff]:

$$(listsp\ A\ (xs\ @\ ys)) = (listsp\ A\ xs \wedge listsp\ A\ ys)$$

$$\langle proof \rangle$$
lemmas *append-in-lists-conv* [iff] = *append-in-listsp-conv* [to-set]

lemma *in-listsp-conv-set*: $(listsp\ A\ xs) = (\forall x \in set\ xs. A\ x)$

 — eliminate *listsp* in favour of *set*

$$\langle proof \rangle$$
lemmas *in-lists-conv-set* [code-unfold] = *in-listsp-conv-set* [to-set]

lemma *in-listspD* [dest!]: $listsp\ A\ xs \Rightarrow \forall x \in set\ xs. A\ x$

$$\langle proof \rangle$$

lemmas *in-listsD* [*dest!*] = *in-listspD* [*to-set*]

lemma *in-listspI* [*intro!*]: $\forall x \in \text{set } xs. A \ x ==> \text{listsp } A \ xs$
 $\langle \text{proof} \rangle$

lemmas *in-listsI* [*intro!*] = *in-listspI* [*to-set*]

lemma *lists-eq-set*: $\text{lists } A = \{xs. \text{set } xs \leq A\}$
 $\langle \text{proof} \rangle$

lemma *lists-empty* [*simp*]: $\text{lists } \{\} = \{\}\}$
 $\langle \text{proof} \rangle$

lemma *lists-UNIV* [*simp*]: $\text{lists } UNIV = UNIV$
 $\langle \text{proof} \rangle$

lemma *lists-image*: $\text{lists } (f'A) = \text{map } f \text{ ' } \text{lists } A$
 $\langle \text{proof} \rangle$

67.2.7 Inductive definition for membership

inductive *ListMem* :: 'a \Rightarrow 'a list \Rightarrow bool
where

elem: $\text{ListMem } x \ (x \# xs)$
 $| \text{insert}$: $\text{ListMem } x \ xs \Longrightarrow \text{ListMem } x \ (y \# xs)$

lemma *ListMem-iff*: $(\text{ListMem } x \ xs) = (x \in \text{set } xs)$
 $\langle \text{proof} \rangle$

67.2.8 Lists as Cartesian products

set-Cons *A* *Xs*: the set of lists with head drawn from *A* and tail drawn from *Xs*.

definition *set-Cons* :: 'a set \Rightarrow 'a list set \Rightarrow 'a list set **where**
set-Cons *A* *XS* = $\{z. \exists x \ xs. z = x \# xs \wedge x \in A \wedge xs \in XS\}$

lemma *set-Cons-sing-Nil* [*simp*]: $\text{set-Cons } A \ \{\}\} = (\%x. [x])'A$
 $\langle \text{proof} \rangle$

Yields the set of lists, all of the same length as the argument and with elements drawn from the corresponding element of the argument.

primrec *listset* :: 'a set list \Rightarrow 'a list set **where**
listset $\{\} = \{\}\}$ |
listset (*A* $\#$ *As*) = *set-Cons* *A* (*listset* *As*)

67.3 Relations on Lists

67.3.1 Length Lexicographic Ordering

These orderings preserve well-foundedness: shorter lists precede longer lists.
These ordering are not used in dictionaries.

primrec — The lexicographic ordering for lists of the specified length

$lexn :: ('a \times 'a) \text{ set} \Rightarrow \text{nat} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$ **where**
 $lexn \ r \ 0 = \{\}$ |
 $lexn \ r \ (Suc \ n) =$
 $(\text{map-prod } (\%(x, xs). x \# xs) (\%(x, xs). x \# xs)) \text{ ‘ } (r < *lex* > lexn \ r \ n)) \text{ Int}$
 $\{(xs, ys). \text{length } xs = Suc \ n \wedge \text{length } ys = Suc \ n\}$

definition $lex :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$ **where**

$lex \ r = (\bigcup n. lexn \ r \ n)$ — Holds only between lists of the same length

definition $lenlex :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$ **where**

$lenlex \ r = \text{inv-image } (\text{less-than } < *lex* > lex \ r) (\lambda xs. (\text{length } xs, xs))$
 — Compares lists by their length and then lexicographically

lemma $wf\text{-}lexn$: $wf \ r ==> wf \ (lexn \ r \ n)$

$\langle proof \rangle$

lemma $lexn\text{-}length$:

$(xs, ys) : lexn \ r \ n ==> \text{length } xs = n \wedge \text{length } ys = n$

$\langle proof \rangle$

lemma $wf\text{-}lex \ [intro!]$: $wf \ r ==> wf \ (lex \ r)$

$\langle proof \rangle$

lemma $lexn\text{-}conv$:

$lexn \ r \ n =$
 $\{(xs, ys). \text{length } xs = n \wedge \text{length } ys = n \wedge$
 $(\exists xys \ x \ y \ xs' \ ys'. xs = xys @ x \# xs' \wedge ys = xys @ y \# ys' \wedge (x, y):r)\}$

$\langle proof \rangle$

By Mathias Fleury:

lemma $lexn\text{-}transI$:

assumes $trans \ r$ **shows** $trans \ (lexn \ r \ n)$

$\langle proof \rangle$

lemma $lex\text{-}conv$:

$lex \ r =$
 $\{(xs, ys). \text{length } xs = \text{length } ys \wedge$
 $(\exists xys \ x \ y \ xs' \ ys'. xs = xys @ x \# xs' \wedge ys = xys @ y \# ys' \wedge (x, y):r)\}$

$\langle proof \rangle$

lemma $wf\text{-}lenlex \ [intro!]$: $wf \ r ==> wf \ (lenlex \ r)$

$\langle proof \rangle$

lemma *lenlex-conv*:

$$\text{lenlex } r = \{(xs, ys). \text{length } xs < \text{length } ys \mid \\ \text{length } xs = \text{length } ys \wedge (xs, ys) : \text{lex } r\}$$

$\langle \text{proof} \rangle$

lemma *Nil-notin-lex* [iff]: $([], ys) \notin \text{lex } r$

$\langle \text{proof} \rangle$

lemma *Nil2-notin-lex* [iff]: $(xs, []) \notin \text{lex } r$

$\langle \text{proof} \rangle$

lemma *Cons-in-lex* [simp]:

$$((x \# xs, y \# ys) : \text{lex } r) = \\ ((x, y) : r \wedge \text{length } xs = \text{length } ys \mid x = y \wedge (xs, ys) : \text{lex } r)$$

$\langle \text{proof} \rangle$

lemma *lex-append-rightI*:

$$(xs, ys) \in \text{lex } r \implies \text{length } vs = \text{length } us \implies (xs @ us, ys @ vs) \in \text{lex } r$$

$\langle \text{proof} \rangle$

lemma *lex-append-leftI*:

$$(ys, zs) \in \text{lex } r \implies (xs @ ys, xs @ zs) \in \text{lex } r$$

$\langle \text{proof} \rangle$

lemma *lex-append-leftD*:

$$\forall x. (x, x) \notin r \implies (xs @ ys, xs @ zs) \in \text{lex } r \implies (ys, zs) \in \text{lex } r$$

$\langle \text{proof} \rangle$

lemma *lex-append-left-iff*:

$$\forall x. (x, x) \notin r \implies (xs @ ys, xs @ zs) \in \text{lex } r \longleftrightarrow (ys, zs) \in \text{lex } r$$

$\langle \text{proof} \rangle$

lemma *lex-take-index*:

assumes $(xs, ys) \in \text{lex } r$

obtains i **where** $i < \text{length } xs$ **and** $i < \text{length } ys$ **and** $\text{take } i \text{ } xs = \text{take } i \text{ } ys$

and $(xs ! i, ys ! i) \in r$

$\langle \text{proof} \rangle$

67.3.2 Lexicographic Ordering

Classical lexicographic ordering on lists, ie. "a" < "ab" < "b". This ordering does *not* preserve well-foundedness. Author: N. Voelker, March 2005.

definition *lexord* :: $('a \times 'a) \text{ set} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$ **where**

$$\text{lexord } r = \{(x, y). \exists a \ v. y = x @ a \# v \vee \\ (\exists u \ a \ b \ v \ w. (a, b) \in r \wedge x = u @ (a \# v) \wedge y = u @ (b \# w))\}$$

lemma *lexord-Nil-left*[simp]: $([], y) \in \text{lexord } r = (\exists a \ x. y = a \# x)$

$\langle proof \rangle$

lemma *lexord-Nil-right[simp]*: $(x, []) \notin \text{lexord } r$
 $\langle proof \rangle$

lemma *lexord-cons-cons[simp]*:
 $((a \# x, b \# y) \in \text{lexord } r) = ((a, b) \in r \mid (a = b \ \& \ (x, y) \in \text{lexord } r))$
 $\langle proof \rangle$

lemmas *lexord-simps* = *lexord-Nil-left lexord-Nil-right lexord-cons-cons*

lemma *lexord-append-rightI*: $\exists b z. y = b \# z \implies (x, x @ y) \in \text{lexord } r$
 $\langle proof \rangle$

lemma *lexord-append-left-rightI*:
 $(a, b) \in r \implies (u @ a \# x, u @ b \# y) \in \text{lexord } r$
 $\langle proof \rangle$

lemma *lexord-append-leftI*: $(u, v) \in \text{lexord } r \implies (x @ u, x @ v) \in \text{lexord } r$
 $\langle proof \rangle$

lemma *lexord-append-leftD*:
 $\llbracket (x @ u, x @ v) \in \text{lexord } r; (! a. (a, a) \notin r) \rrbracket \implies (u, v) \in \text{lexord } r$
 $\langle proof \rangle$

lemma *lexord-take-index-conv*:
 $((x, y) : \text{lexord } r) =$
 $((\text{length } x < \text{length } y \wedge \text{take } (\text{length } x) \ y = x) \vee$
 $(\exists i. i < \min(\text{length } x)(\text{length } y) \ \& \ \text{take } i \ x = \text{take } i \ y \ \& \ (x!i, y!i) \in r))$
 $\langle proof \rangle$

lemma *lexord-lex*: $(x, y) \in \text{lex } r = ((x, y) \in \text{lexord } r \wedge \text{length } x = \text{length } y)$
 $\langle proof \rangle$

lemma *lexord-irreflexive*: *ALL* $x. (x, x) \notin r \implies (xs, xs) \notin \text{lexord } r$
 $\langle proof \rangle$

By René Thiemann:

lemma *lexord-partial-trans*:
 $(\bigwedge x y z. x \in \text{set } xs \implies (x, y) \in r \implies (y, z) \in r \implies (x, z) \in r)$
 $\implies (xs, ys) \in \text{lexord } r \implies (ys, zs) \in \text{lexord } r \implies (xs, zs) \in \text{lexord } r$
 $\langle proof \rangle$

lemma *lexord-trans*:
 $\llbracket (x, y) \in \text{lexord } r; (y, z) \in \text{lexord } r; \text{trans } r \rrbracket \implies (x, z) \in \text{lexord } r$
 $\langle proof \rangle$

lemma *lexord-transI*: $\text{trans } r \implies \text{trans } (\text{lexord } r)$
 $\langle proof \rangle$

lemma *lexord-linear*: $(! a \ b. (a,b) \in r \mid a = b \mid (b,a) \in r) \implies (x,y) : \text{lexord } r \mid x = y \mid (y,x) : \text{lexord } r$
 <proof>

lemma *lexord-irrefl*:
 $\text{irrefl } R \implies \text{irrefl } (\text{lexord } R)$
 <proof>

lemma *lexord-asm*:
assumes *asm* R
shows *asm* $(\text{lexord } R)$
 <proof>

lemma *lexord-asymmetric*:
assumes *asm* R
assumes *hyp*: $(a, b) \in \text{lexord } R$
shows $(b, a) \notin \text{lexord } R$
 <proof>

Predicate version of lexicographic order integrated with Isabelle’s order type classes. Author: Andreas Lochbihler

context *ord*
begin

context
notes $[[\text{inductive-internals}]]$
begin

inductive *lexordp* :: ‘a list \Rightarrow ‘a list \Rightarrow bool
where
 $\text{Nil: lexordp } [] (y \# ys)$
 $\mid \text{Cons: } x < y \implies \text{lexordp } (x \# xs) (y \# ys)$
 $\mid \text{Cons-eq:}$
 $[[\neg x < y; \neg y < x; \text{lexordp } xs \ ys]] \implies \text{lexordp } (x \# xs) (y \# ys)$

end

lemma *lexordp-simps* [*simp*]:
 $\text{lexordp } [] \ ys = (ys \neq [])$
 $\text{lexordp } xs \ [] = \text{False}$
 $\text{lexordp } (x \# xs) (y \# ys) \longleftrightarrow x < y \vee \neg y < x \wedge \text{lexordp } xs \ ys$
 <proof>

inductive *lexordp-eq* :: ‘a list \Rightarrow ‘a list \Rightarrow bool **where**
 $\text{Nil: lexordp-eq } [] \ ys$
 $\mid \text{Cons: } x < y \implies \text{lexordp-eq } (x \# xs) (y \# ys)$
 $\mid \text{Cons-eq: } [[\neg x < y; \neg y < x; \text{lexordp-eq } xs \ ys]] \implies \text{lexordp-eq } (x \# xs) (y \# ys)$

lemma *lexordp-eq-simps* [*simp*]:

lexordp-eq [] *ys* = *True*
 lexordp-eq *xs* [] \longleftrightarrow *xs* = []
 lexordp-eq (*x* # *xs*) [] = *False*
 lexordp-eq (*x* # *xs*) (*y* # *ys*) \longleftrightarrow *x* < *y* \vee \neg *y* < *x* \wedge *lexordp-eq* *xs* *ys*
 <proof>

lemma *lexordp-append-rightI*: *ys* \neq *Nil* \implies *lexordp* *xs* (*xs* @ *ys*)
 <proof>

lemma *lexordp-append-left-rightI*: *x* < *y* \implies *lexordp* (*us* @ *x* # *xs*) (*us* @ *y* # *ys*)
 <proof>

lemma *lexordp-eq-refl*: *lexordp-eq* *xs* *xs*
 <proof>

lemma *lexordp-append-leftI*: *lexordp* *us* *vs* \implies *lexordp* (*xs* @ *us*) (*xs* @ *vs*)
 <proof>

lemma *lexordp-append-leftD*: \llbracket *lexordp* (*xs* @ *us*) (*xs* @ *vs*); $\forall a. \neg a < a$ $\rrbracket \implies$
lexordp *us* *vs*
 <proof>

lemma *lexordp-irreflexive*:
 assumes *irrefl*: $\forall x. \neg x < x$
 shows \neg *lexordp* *xs* *xs*
 <proof>

lemma *lexordp-into-lexordp-eq*:
 assumes *lexordp* *xs* *ys*
 shows *lexordp-eq* *xs* *ys*
 <proof>

end

declare *ord.lexordp-simps* [*simp*, *code*]
declare *ord.lexordp-eq-simps* [*code*, *simp*]

lemma *lexord-code* [*code*, *code-unfold*]: *lexordp* = *ord.lexordp less*
 <proof>

context *order*
begin

lemma *lexordp-antisym*:
 assumes *lexordp* *xs* *ys* *lexordp* *ys* *xs*
 shows *False*
 <proof>

lemma *lexordp-irreflexive'*: $\neg \text{lexordp } xs \ xs$

<proof>

end

context *linorder* **begin**

lemma *lexordp-cases* [consumes 1, case-names *Nil Cons Cons-eq*, cases *pred: lexordp*]:

assumes *lexordp xs ys*

obtains (*Nil*) $y \ ys'$ **where** $xs = [] \ ys = y \ \# \ ys'$

| (*Cons*) $x \ xs' \ y \ ys'$ **where** $xs = x \ \# \ xs' \ ys = y \ \# \ ys' \ x < y$

| (*Cons-eq*) $x \ xs' \ ys'$ **where** $xs = x \ \# \ xs' \ ys = x \ \# \ ys' \ \text{lexordp } xs' \ ys'$

<proof>

lemma *lexordp-induct* [consumes 1, case-names *Nil Cons Cons-eq*, induct *pred: lexordp*]:

assumes *major: lexordp xs ys*

and *Nil*: $\bigwedge y \ ys. P \ [] \ (y \ \# \ ys)$

and *Cons*: $\bigwedge x \ xs \ y \ ys. x < y \implies P \ (x \ \# \ xs) \ (y \ \# \ ys)$

and *Cons-eq*: $\bigwedge x \ xs \ ys. [\text{lexordp } xs \ ys; P \ xs \ ys] \implies P \ (x \ \# \ xs) \ (x \ \# \ ys)$

shows $P \ xs \ ys$

<proof>

lemma *lexordp-iff*:

$\text{lexordp } xs \ ys \longleftrightarrow (\exists x \ vs. ys = xs \ @ \ x \ \# \ vs) \vee (\exists us \ a \ b \ vs \ ws. a < b \wedge xs = us \ @ \ a \ \# \ vs \wedge ys = us \ @ \ b \ \# \ ws)$

(**is** *?lhs = ?rhs*)

<proof>

lemma *lexordp-conv-lexord*:

$\text{lexordp } xs \ ys \longleftrightarrow (xs, ys) \in \text{lexord } \{(x, y). x < y\}$

<proof>

lemma *lexordp-eq-antisym*:

assumes *lexordp-eq xs ys lexordp-eq ys xs*

shows $xs = ys$

<proof>

lemma *lexordp-eq-trans*:

assumes *lexordp-eq xs ys and lexordp-eq ys zs*

shows *lexordp-eq xs zs*

<proof>

lemma *lexordp-trans*:

assumes *lexordp xs ys lexordp ys zs*

shows *lexordp xs zs*

<proof>

lemma *lexordp-linear*: $\text{lexordp } xs \ ys \vee xs = ys \vee \text{lexordp } ys \ xs$
 $\langle \text{proof} \rangle$

lemma *lexordp-conv-lexordp-eq*: $\text{lexordp } xs \ ys \longleftrightarrow \text{lexordp-eq } xs \ ys \wedge \neg \text{lexordp-eq } ys \ xs$
 (is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

lemma *lexordp-eq-conv-lexord*: $\text{lexordp-eq } xs \ ys \longleftrightarrow xs = ys \vee \text{lexordp } xs \ ys$
 $\langle \text{proof} \rangle$

lemma *lexordp-eq-linear*: $\text{lexordp-eq } xs \ ys \vee \text{lexordp-eq } ys \ xs$
 $\langle \text{proof} \rangle$

lemma *lexordp-linorder*: *class.linorder lexordp-eq lexordp*
 $\langle \text{proof} \rangle$

end

lemma *sorted-insort-is-snoc*: $\text{sorted } xs \implies \forall x \in \text{set } xs. a \geq x \implies \text{insort } a \ xs = xs \ @ \ [a]$
 $\langle \text{proof} \rangle$

67.3.3 Lexicographic combination of measure functions

These are useful for termination proofs

definition *measures fs* = *inv-image (lex less-than) (%a. map (%f. f a) fs)*

lemma *wf-measures[simp]*: *wf (measures fs)*
 $\langle \text{proof} \rangle$

lemma *in-measures[simp]*:
 $(x, y) \in \text{measures } [] = \text{False}$
 $(x, y) \in \text{measures } (f \# fs)$
 $= (f \ x < f \ y \vee (f \ x = f \ y \wedge (x, y) \in \text{measures } fs))$
 $\langle \text{proof} \rangle$

lemma *measures-less*: $f \ x < f \ y \implies (x, y) \in \text{measures } (f \# fs)$
 $\langle \text{proof} \rangle$

lemma *measures-lesseq*: $f \ x \leq f \ y \implies (x, y) \in \text{measures } fs \implies (x, y) \in \text{measures } (f \# fs)$
 $\langle \text{proof} \rangle$

67.3.4 Lifting Relations to Lists: one element

definition *listrel1* :: $('a \times 'a) \text{ set} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$ **where**
listrel1 *r* = $\{(xs, ys)\}$.

$$\exists us\ z\ z'\ vs.\ xs = us @ z \# vs \wedge (z, z') \in r \wedge ys = us @ z' \# vs\}$$

lemma *listrel1I*:

$$\begin{aligned} & \llbracket (x, y) \in r; \ xs = us @ x \# vs; \ ys = us @ y \# vs \rrbracket \implies \\ & (xs, ys) \in \text{listrel1 } r \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *listrel1E*:

$$\begin{aligned} & \llbracket (xs, ys) \in \text{listrel1 } r; \\ & \quad !!x\ y\ us\ vs.\ \llbracket (x, y) \in r; \ xs = us @ x \# vs; \ ys = us @ y \# vs \rrbracket \implies P \\ & \rrbracket \implies P \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *not-Nil-listrel1* [iff]: $([], xs) \notin \text{listrel1 } r$
 $\langle \text{proof} \rangle$

lemma *not-listrel1-Nil* [iff]: $(xs, []) \notin \text{listrel1 } r$
 $\langle \text{proof} \rangle$

lemma *Cons-listrel1-Cons* [iff]:

$$\begin{aligned} & (x \# xs, y \# ys) \in \text{listrel1 } r \longleftrightarrow \\ & (x, y) \in r \wedge xs = ys \vee x = y \wedge (xs, ys) \in \text{listrel1 } r \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *listrel1I1*: $(x, y) \in r \implies (x \# xs, y \# xs) \in \text{listrel1 } r$
 $\langle \text{proof} \rangle$

lemma *listrel1I2*: $(xs, ys) \in \text{listrel1 } r \implies (x \# xs, x \# ys) \in \text{listrel1 } r$
 $\langle \text{proof} \rangle$

lemma *append-listrel1I*:

$$\begin{aligned} & (xs, ys) \in \text{listrel1 } r \wedge us = vs \vee xs = ys \wedge (us, vs) \in \text{listrel1 } r \\ & \implies (xs @ us, ys @ vs) \in \text{listrel1 } r \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *Cons-listrel1E1*[elim!]:

$$\begin{aligned} & \text{assumes } (x \# xs, ys) \in \text{listrel1 } r \\ & \text{and } \bigwedge y.\ ys = y \# xs \implies (x, y) \in r \implies R \\ & \text{and } \bigwedge zs.\ ys = x \# zs \implies (xs, zs) \in \text{listrel1 } r \implies R \\ & \text{shows } R \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *Cons-listrel1E2*[elim!]:

$$\begin{aligned} & \text{assumes } (xs, y \# ys) \in \text{listrel1 } r \\ & \text{and } \bigwedge x.\ xs = x \# ys \implies (x, y) \in r \implies R \\ & \text{and } \bigwedge zs.\ xs = y \# zs \implies (zs, ys) \in \text{listrel1 } r \implies R \\ & \text{shows } R \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *snoc-listrel1-snoc-iff*:

$(xs @ [x], ys @ [y]) \in \text{listrel1 } r$
 $\longleftrightarrow (xs, ys) \in \text{listrel1 } r \wedge x = y \vee xs = ys \wedge (x, y) \in r$ (**is** ?L \longleftrightarrow ?R)
 $\langle \text{proof} \rangle$

lemma *listrel1-eq-len*: $(xs, ys) \in \text{listrel1 } r \implies \text{length } xs = \text{length } ys$
 $\langle \text{proof} \rangle$

lemma *listrel1-mono*:

$r \subseteq s \implies \text{listrel1 } r \subseteq \text{listrel1 } s$
 $\langle \text{proof} \rangle$

lemma *listrel1-converse*: $\text{listrel1 } (r^{\wedge-1}) = (\text{listrel1 } r)^{\wedge-1}$
 $\langle \text{proof} \rangle$

lemma *in-listrel1-converse*:

$(x, y) : \text{listrel1 } (r^{\wedge-1}) \longleftrightarrow (x, y) : (\text{listrel1 } r)^{\wedge-1}$
 $\langle \text{proof} \rangle$

lemma *listrel1-iff-update*:

$(xs, ys) \in (\text{listrel1 } r)$
 $\longleftrightarrow (\exists y \ n. (xs ! n, y) \in r \wedge n < \text{length } xs \wedge ys = xs[n:=y])$ (**is** ?L \longleftrightarrow ?R)
 $\langle \text{proof} \rangle$

Accessible part and wellfoundedness:

lemma *Cons-acc-listrel1I* [*intro!*]:

$x \in \text{Wellfounded.} \text{acc } r \implies xs \in \text{Wellfounded.} \text{acc } (\text{listrel1 } r) \implies (x \# xs) \in \text{Wellfounded.} \text{acc } (\text{listrel1 } r)$
 $\langle \text{proof} \rangle$

lemma *lists-accD*: $xs \in \text{lists } (\text{Wellfounded.} \text{acc } r) \implies xs \in \text{Wellfounded.} \text{acc } (\text{listrel1 } r)$
 $\langle \text{proof} \rangle$

lemma *lists-accI*: $xs \in \text{Wellfounded.} \text{acc } (\text{listrel1 } r) \implies xs \in \text{lists } (\text{Wellfounded.} \text{acc } r)$
 $\langle \text{proof} \rangle$

lemma *wf-listrel1-iff[simp]*: $\text{wf}(\text{listrel1 } r) = \text{wf } r$
 $\langle \text{proof} \rangle$

67.3.5 Lifting Relations to Lists: all elements

inductive-set

$\text{listrel} :: ('a \times 'b) \text{ set} \Rightarrow ('a \text{ list} \times 'b \text{ list}) \text{ set}$

for $r :: ('a \times 'b) \text{ set}$

where

$\text{Nil}: ([], []) \in \text{listrel } r$

| *Cons*: $[(x,y) \in r; (xs,ys) \in \text{listrel } r] \implies (x\#xs, y\#ys) \in \text{listrel } r$

inductive-cases *listrel-Nil1* [*elim!*]: $([],xs) \in \text{listrel } r$

inductive-cases *listrel-Nil2* [*elim!*]: $(xs,[]) \in \text{listrel } r$

inductive-cases *listrel-Cons1* [*elim!*]: $(y\#ys,xs) \in \text{listrel } r$

inductive-cases *listrel-Cons2* [*elim!*]: $(xs,y\#ys) \in \text{listrel } r$

lemma *listrel-eq-len*: $(xs, ys) \in \text{listrel } r \implies \text{length } xs = \text{length } ys$

<proof>

lemma *listrel-iff-zip* [*code-unfold*]: $(xs,ys) : \text{listrel } r \longleftrightarrow$

$\text{length } xs = \text{length } ys \ \& \ (\forall (x,y) \in \text{set}(\text{zip } xs \ ys). (x,y) \in r) \text{ (is } ?L \longleftrightarrow ?R)$

<proof>

lemma *listrel-iff-nth*: $(xs,ys) : \text{listrel } r \longleftrightarrow$

$\text{length } xs = \text{length } ys \ \& \ (\forall n < \text{length } xs. (xs!n, ys!n) \in r) \text{ (is } ?L \longleftrightarrow ?R)$

<proof>

lemma *listrel-mono*: $r \subseteq s \implies \text{listrel } r \subseteq \text{listrel } s$

<proof>

lemma *listrel-subset*: $r \subseteq A \times A \implies \text{listrel } r \subseteq \text{lists } A \times \text{lists } A$

<proof>

lemma *listrel-refl-on*: $\text{refl-on } A \ r \implies \text{refl-on } (\text{lists } A) \ (\text{listrel } r)$

<proof>

lemma *listrel-sym*: $\text{sym } r \implies \text{sym } (\text{listrel } r)$

<proof>

lemma *listrel-trans*: $\text{trans } r \implies \text{trans } (\text{listrel } r)$

<proof>

theorem *equiv-listrel*: $\text{equiv } A \ r \implies \text{equiv } (\text{lists } A) \ (\text{listrel } r)$

<proof>

lemma *listrel-rtrancl-refl*[*iff*]: $(xs,xs) : \text{listrel}(r^*)$

<proof>

lemma *listrel-rtrancl-trans*:

$[(xs,ys) : \text{listrel}(r^*); (ys,zs) : \text{listrel}(r^*)]$

$\implies (xs,zs) : \text{listrel}(r^*)$

<proof>

lemma *listrel-Nil* [*simp*]: $\text{listrel } r \text{ “ } \{[]\} = \{[]\}$

<proof>

lemma *listrel-Cons*:

$listrel\ r\ \text{“}\{x\#xs\} = set-Cons\ (r\text{“}\{x\})\ (listrel\ r\ \text{“}\{xs\})$
 $\langle proof \rangle$

Relating *listrel1*, *listrel* and closures:

lemma *listrel1-rtrancl-subset-rtrancl-listrel1*:

$listrel1\ (r^*) \subseteq (listrel1\ r)^*$
 $\langle proof \rangle$

lemma *rtrancl-listrel1-eq-len*: $(x,y) \in (listrel1\ r)^* \implies length\ x = length\ y$

$\langle proof \rangle$

lemma *rtrancl-listrel1-ConsI1*:

$(xs,ys) : (listrel1\ r)^* \implies (x\#xs, x\#ys) : (listrel1\ r)^*$
 $\langle proof \rangle$

lemma *rtrancl-listrel1-ConsI2*:

$(x,y) \in r^* \implies (xs, ys) \in (listrel1\ r)^*$
 $\implies (x\#xs, y\#ys) \in (listrel1\ r)^*$
 $\langle proof \rangle$

lemma *listrel1-subset-listrel*:

$r \subseteq r' \implies refl\ r' \implies listrel1\ r \subseteq listrel(r')$
 $\langle proof \rangle$

lemma *listrel-reflcl-if-listrel1*:

$(xs,ys) : listrel1\ r \implies (xs,ys) : listrel(r^*)$
 $\langle proof \rangle$

lemma *listrel-rtrancl-eq-rtrancl-listrel1*: $listrel\ (r^*) = (listrel1\ r)^*$

$\langle proof \rangle$

lemma *rtrancl-listrel1-if-listrel*:

$(xs,ys) : listrel\ r \implies (xs,ys) : (listrel1\ r)^*$
 $\langle proof \rangle$

lemma *listrel-subset-rtrancl-listrel1*: $listrel\ r \subseteq (listrel1\ r)^*$

$\langle proof \rangle$

67.4 Size function

lemma [measure-function]: $is-measure\ f \implies is-measure\ (size-list\ f)$

$\langle proof \rangle$

lemma [measure-function]: $is-measure\ f \implies is-measure\ (size-option\ f)$

$\langle proof \rangle$

lemma *size-list-estimation*[termination-simp]:

$x \in \text{set } xs \implies y < f \ x \implies y < \text{size-list } f \ xs$
 $\langle \text{proof} \rangle$

lemma *size-list-estimation* $[\text{termination-simp}]$:
 $x \in \text{set } xs \implies y \leq f \ x \implies y \leq \text{size-list } f \ xs$
 $\langle \text{proof} \rangle$

lemma *size-list-map* $[\text{simp}]$: $\text{size-list } f \ (\text{map } g \ xs) = \text{size-list } (f \ o \ g) \ xs$
 $\langle \text{proof} \rangle$

lemma *size-list-append* $[\text{simp}]$: $\text{size-list } f \ (xs \ @ \ ys) = \text{size-list } f \ xs + \text{size-list } f \ ys$
 $\langle \text{proof} \rangle$

lemma *size-list-pointwise* $[\text{termination-simp}]$:
 $(\bigwedge x. x \in \text{set } xs \implies f \ x \leq g \ x) \implies \text{size-list } f \ xs \leq \text{size-list } g \ xs$
 $\langle \text{proof} \rangle$

67.5 Monad operation

definition *bind* $:: 'a \ \text{list} \Rightarrow ('a \Rightarrow 'b \ \text{list}) \Rightarrow 'b \ \text{list}$ **where**
 $\text{bind } xs \ f = \text{concat } (\text{map } f \ xs)$

hide-const (open) *bind*

lemma *bind-simps* $[\text{simp}]$:
 $\text{List.bind } [] \ f = []$
 $\text{List.bind } (x \ # \ xs) \ f = f \ x \ @ \ \text{List.bind } xs \ f$
 $\langle \text{proof} \rangle$

lemma *list-bind-cong* $[\text{fundef-cong}]$:
assumes $xs = ys \ (\bigwedge x. x \in \text{set } xs \implies f \ x = g \ x)$
shows $\text{List.bind } xs \ f = \text{List.bind } ys \ g$
 $\langle \text{proof} \rangle$

lemma *set-list-bind*: $\text{set } (\text{List.bind } xs \ f) = (\bigcup x \in \text{set } xs. \text{set } (f \ x))$
 $\langle \text{proof} \rangle$

67.6 Transfer

definition *embed-list* $:: \text{nat list} \Rightarrow \text{int list}$ **where**
 $\text{embed-list } l = \text{map int } l$

definition *nat-list* $:: \text{int list} \Rightarrow \text{bool}$ **where**
 $\text{nat-list } l = \text{nat-set } (\text{set } l)$

definition *return-list* $:: \text{int list} \Rightarrow \text{nat list}$ **where**
 $\text{return-list } l = \text{map nat } l$

lemma *transfer-nat-int-list-return-embed*: $\text{nat-list } l \longrightarrow$
 $\text{embed-list } (\text{return-list } l) = l$

<proof>

lemma *transfer-nat-int-list-functions:*

$l @ m = \text{return-list } (\text{embed-list } l @ \text{embed-list } m)$

$[] = \text{return-list } []$

<proof>

67.7 Code generation

Optional tail recursive version of *map*. Can avoid stack overflow in some target languages.

fun *map-tailrec-rev* :: $('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list} \Rightarrow 'b \text{ list}$ **where**

map-tailrec-rev $f [] bs = bs$ |

map-tailrec-rev $f (a \# as) bs = \text{map-tailrec-rev } f as (f a \# bs)$

lemma *map-tailrec-rev:*

$\text{map-tailrec-rev } f as bs = \text{rev}(\text{map } f as) @ bs$

<proof>

definition *map-tailrec* :: $('a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$ **where**

map-tailrec $f as = \text{rev } (\text{map-tailrec-rev } f as [])$

Code equation:

lemma *map-eq-map-tailrec:* $\text{map} = \text{map-tailrec}$

<proof>

67.7.1 Counterparts for set-related operations

definition *member* :: $'a \text{ list} \Rightarrow 'a \Rightarrow \text{bool}$ **where**

[code-abbrev]: member $xs x \longleftrightarrow x \in \text{set } xs$

Use *member* only for generating executable code. Otherwise use $x \in \text{set } xs$ instead — it is much easier to reason about.

lemma *member-rec* *[code]:*

member $(x \# xs) y \longleftrightarrow x = y \vee \text{member } xs y$

member $[] y \longleftrightarrow \text{False}$

<proof>

lemma *in-set-member* :

$x \in \text{set } xs \longleftrightarrow \text{member } xs x$

<proof>

lemmas *list-all-iff* *[code-abbrev]* = *fun-cong**[OF list.pred-set]*

definition *list-ex* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ **where**

list-ex-iff *[code-abbrev]: list-ex* $P xs \longleftrightarrow \text{Bex } (\text{set } xs) P$

definition *list-ex1* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ **where**

list-ex1-iff [code-abbrev]: $\text{list-ex1 } P \text{ } xs \longleftrightarrow (\exists! x. x \in \text{set } xs \wedge P x)$

Usually you should prefer $\forall x \in \text{set } xs$, $\exists x \in \text{set } xs$ and $\exists! x. x \in \text{set } xs \wedge$ - over *list-all*, *list-ex* and *list-ex1* in specifications.

lemma *list-all-simps* [code]:

$\text{list-all } P (x \# xs) \longleftrightarrow P x \wedge \text{list-all } P \text{ } xs$

$\text{list-all } P [] \longleftrightarrow \text{True}$

<proof>

lemma *list-ex-simps* [simp, code]:

$\text{list-ex } P (x \# xs) \longleftrightarrow P x \vee \text{list-ex } P \text{ } xs$

$\text{list-ex } P [] \longleftrightarrow \text{False}$

<proof>

lemma *list-ex1-simps* [simp, code]:

$\text{list-ex1 } P [] = \text{False}$

$\text{list-ex1 } P (x \# xs) = (\text{if } P x \text{ then } \text{list-all } (\lambda y. \neg P y \vee x = y) \text{ } xs \text{ else } \text{list-ex1 } P \text{ } xs)$

<proof>

lemma *Ball-set-list-all*:

$\text{Ball } (\text{set } xs) P \longleftrightarrow \text{list-all } P \text{ } xs$

<proof>

lemma *Bex-set-list-ex*:

$\text{Bex } (\text{set } xs) P \longleftrightarrow \text{list-ex } P \text{ } xs$

<proof>

lemma *list-all-append* [simp]:

$\text{list-all } P (xs @ ys) \longleftrightarrow \text{list-all } P \text{ } xs \wedge \text{list-all } P \text{ } ys$

<proof>

lemma *list-ex-append* [simp]:

$\text{list-ex } P (xs @ ys) \longleftrightarrow \text{list-ex } P \text{ } xs \vee \text{list-ex } P \text{ } ys$

<proof>

lemma *list-all-rev* [simp]:

$\text{list-all } P (\text{rev } xs) \longleftrightarrow \text{list-all } P \text{ } xs$

<proof>

lemma *list-ex-rev* [simp]:

$\text{list-ex } P (\text{rev } xs) \longleftrightarrow \text{list-ex } P \text{ } xs$

<proof>

lemma *list-all-length*:

$\text{list-all } P \text{ } xs \longleftrightarrow (\forall n < \text{length } xs. P (xs ! n))$

<proof>

lemma *list-ex-length*:

$list\text{-}ex\ P\ xs \longleftrightarrow (\exists n < length\ xs. P\ (xs\ !\ n))$
 $\langle proof \rangle$

lemmas $list\text{-}all\text{-}cong\ [fundef\text{-}cong] = list.\text{pred}\text{-}cong$

lemma $list\text{-}ex\text{-}cong\ [fundef\text{-}cong]$:

$xs = ys \implies (\bigwedge x. x \in set\ ys \implies f\ x = g\ x) \implies list\text{-}ex\ f\ xs = list\text{-}ex\ g\ ys$
 $\langle proof \rangle$

definition $can\text{-}select :: ('a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow bool$ **where**
 $[code\text{-}abbrev]: can\text{-}select\ P\ A = (\exists !x \in A. P\ x)$

lemma $can\text{-}select\text{-}set\text{-}list\text{-}ex1\ [code]$:

$can\text{-}select\ P\ (set\ A) = list\text{-}ex1\ P\ A$
 $\langle proof \rangle$

Executable checks for relations on sets

definition $listrel1p :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $listrel1p\ r\ xs\ ys = ((xs, ys) \in listrel1\ \{(x, y). r\ x\ y\})$

lemma $[code\text{-}unfold]$:

$(xs, ys) \in listrel1\ r = listrel1p\ (\lambda x\ y. (x, y) \in r)\ xs\ ys$
 $\langle proof \rangle$

lemma $[code]$:

$listrel1p\ r\ []\ xs = False$
 $listrel1p\ r\ xs\ [] = False$
 $listrel1p\ r\ (x \# xs)\ (y \# ys) \longleftrightarrow$
 $r\ x\ y \wedge xs = ys \vee x = y \wedge listrel1p\ r\ xs\ ys$
 $\langle proof \rangle$

definition

$lexordp :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $lexordp\ r\ xs\ ys = ((xs, ys) \in lexord\ \{(x, y). r\ x\ y\})$

lemma $[code\text{-}unfold]$:

$(xs, ys) \in lexord\ r = lexordp\ (\lambda x\ y. (x, y) \in r)\ xs\ ys$
 $\langle proof \rangle$

lemma $[code]$:

$lexordp\ r\ xs\ [] = False$
 $lexordp\ r\ []\ (y \# ys) = True$
 $lexordp\ r\ (x \# xs)\ (y \# ys) = (r\ x\ y \mid (x = y \ \&\ lexordp\ r\ xs\ ys))$
 $\langle proof \rangle$

Bounded quantification and summation over nats.

lemma $atMost\text{-}upto\ [code\text{-}unfold]$:

$\{..n\} = set\ [0..<Suc\ n]$
 $\langle proof \rangle$

lemma *atLeast-upt* [code-unfold]:

$$\{..<n\} = \text{set } [0..<n]$$

<proof>

lemma *greaterThanLessThan-upt* [code-unfold]:

$$\{n<..

<proof>$$

lemmas *atLeastLessThan-upt* [code-unfold] = *set-upt* [symmetric]

lemma *greaterThanAtMost-upt* [code-unfold]:

$$\{n<..

<proof>$$

lemma *atLeastAtMost-upt* [code-unfold]:

$$\{n..

<proof>$$

lemma *all-nat-less-eq* [code-unfold]:

$$(\forall m<n::\text{nat}. P\ m) \longleftrightarrow (\forall m \in \{0..

<proof>$$

lemma *ex-nat-less-eq* [code-unfold]:

$$(\exists m<n::\text{nat}. P\ m) \longleftrightarrow (\exists m \in \{0..

<proof>$$

lemma *all-nat-less* [code-unfold]:

$$(\forall m\leq n::\text{nat}. P\ m) \longleftrightarrow (\forall m \in \{0..n\}. P\ m)$$

<proof>

lemma *ex-nat-less* [code-unfold]:

$$(\exists m\leq n::\text{nat}. P\ m) \longleftrightarrow (\exists m \in \{0..n\}. P\ m)$$

<proof>

Bounded *LEAST* operator:

definition *Bleat* $S\ P = (\text{LEAST } x. x \in S \wedge P\ x)$

definition *abort-Bleat* $S\ P = (\text{LEAST } x. x \in S \wedge P\ x)$

declare [[code abort: abort-Bleat]]

lemma *Bleat-code* [code]:

$$\begin{aligned} \text{Bleat } (\text{set } xs)\ P &= (\text{case filter } P\ (\text{sort } xs)\ \text{of} \\ &\quad x\#xs \Rightarrow x \mid \\ &\quad [] \Rightarrow \text{abort-Bleat } (\text{set } xs)\ P) \end{aligned}$$

<proof>

declare *Bleat-def*[symmetric, code-unfold]

Summation over ints.

lemma *greaterThanLessThan-upto* [code-unfold]:
 $\{i < .. < j :: \text{int}\} = \text{set } [i+1..j - 1]$
 ⟨proof⟩

lemma *atLeastLessThan-upto* [code-unfold]:
 $\{i .. < j :: \text{int}\} = \text{set } [i..j - 1]$
 ⟨proof⟩

lemma *greaterThanAtMost-upto* [code-unfold]:
 $\{i < .. j :: \text{int}\} = \text{set } [i+1..j]$
 ⟨proof⟩

lemmas *atLeastAtMost-upto* [code-unfold] = *set-upto* [symmetric]

67.7.2 Optimizing by rewriting

definition *null* :: 'a list \Rightarrow bool **where**
 [code-abbrev]: *null* *xs* \longleftrightarrow *xs* = []

Efficient emptiness check is implemented by *null*.

lemma *null-rec* [code]:
 $\text{null } (x \# xs) \longleftrightarrow \text{False}$
 $\text{null } [] \longleftrightarrow \text{True}$
 ⟨proof⟩

lemma *eq-Nil-null*:
 $xs = [] \longleftrightarrow \text{null } xs$
 ⟨proof⟩

lemma *equal-Nil-null* [code-unfold]:
 $\text{HOL.equal } xs [] \longleftrightarrow \text{null } xs$
 $\text{HOL.equal } [] = \text{null}$
 ⟨proof⟩

definition *maps* :: ('a \Rightarrow 'b list) \Rightarrow 'a list \Rightarrow 'b list **where**
 [code-abbrev]: *maps* *f* *xs* = *concat* (*map* *f* *xs*)

definition *map-filter* :: ('a \Rightarrow 'b option) \Rightarrow 'a list \Rightarrow 'b list **where**
 [code-post]: *map-filter* *f* *xs* = *map* (*the* \circ *f*) (*filter* ($\lambda x. f\ x \neq \text{None}$) *xs*)

Operations *maps* and *map-filter* avoid intermediate lists on execution – do not use for proving.

lemma *maps-simps* [code]:
 $\text{maps } f\ (x \# xs) = f\ x\ @\ \text{maps } f\ xs$
 $\text{maps } f\ [] = []$
 ⟨proof⟩

lemma *map-filter-simps* [code]:

$\text{map-filter } f \ (x \# \ xs) = (\text{case } f \ x \text{ of } \text{None} \Rightarrow \text{map-filter } f \ xs \mid \text{Some } y \Rightarrow y \# \text{map-filter } f \ xs)$
 $\text{map-filter } f \ [] = []$
 $\langle \text{proof} \rangle$

lemma *concat-map-maps*:
 $\text{concat } (\text{map } f \ xs) = \text{maps } f \ xs$
 $\langle \text{proof} \rangle$

lemma *map-filter-map-filter* [code-unfold]:
 $\text{map } f \ (\text{filter } P \ xs) = \text{map-filter } (\lambda x. \text{if } P \ x \text{ then } \text{Some } (f \ x) \text{ else } \text{None}) \ xs$
 $\langle \text{proof} \rangle$

Optimized code for $\forall i \in \{a..b::\text{int}\}$ and $\forall n::\{a..<b::\text{nat}\}$ and similarly for \exists .

definition *all-interval-nat* :: $(\text{nat} \Rightarrow \text{bool}) \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**
 $\text{all-interval-nat } P \ i \ j \longleftrightarrow (\forall n \in \{i..<j\}. P \ n)$

lemma [code]:
 $\text{all-interval-nat } P \ i \ j \longleftrightarrow i \geq j \vee P \ i \wedge \text{all-interval-nat } P \ (\text{Suc } i) \ j$
 $\langle \text{proof} \rangle$

lemma *list-all-iff-all-interval-nat* [code-unfold]:
 $\text{list-all } P \ [i..<j] \longleftrightarrow \text{all-interval-nat } P \ i \ j$
 $\langle \text{proof} \rangle$

lemma *list-ex-iff-not-all-inverval-nat* [code-unfold]:
 $\text{list-ex } P \ [i..<j] \longleftrightarrow \neg (\text{all-interval-nat } (\text{Not} \circ P) \ i \ j)$
 $\langle \text{proof} \rangle$

definition *all-interval-int* :: $(\text{int} \Rightarrow \text{bool}) \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{bool}$ **where**
 $\text{all-interval-int } P \ i \ j \longleftrightarrow (\forall k \in \{i..j\}. P \ k)$

lemma [code]:
 $\text{all-interval-int } P \ i \ j \longleftrightarrow i > j \vee P \ i \wedge \text{all-interval-int } P \ (i + 1) \ j$
 $\langle \text{proof} \rangle$

lemma *list-all-iff-all-interval-int* [code-unfold]:
 $\text{list-all } P \ [i..j] \longleftrightarrow \text{all-interval-int } P \ i \ j$
 $\langle \text{proof} \rangle$

lemma *list-ex-iff-not-all-inverval-int* [code-unfold]:
 $\text{list-ex } P \ [i..j] \longleftrightarrow \neg (\text{all-interval-int } (\text{Not} \circ P) \ i \ j)$
 $\langle \text{proof} \rangle$

optimized code (tail-recursive) for *length*

definition *gen-length* :: $\text{nat} \Rightarrow 'a \text{ list} \Rightarrow \text{nat}$
where $\text{gen-length } n \ xs = n + \text{length } xs$

```

lemma gen-length-code [code]:
  gen-length n [] = n
  gen-length n (x # xs) = gen-length (Suc n) xs
  <proof>

```

```

declare list.size(3-4)[code del]

```

```

lemma length-code [code]: length = gen-length 0
  <proof>

```

```

hide-const (open) member null maps map-filter all-interval-nat all-interval-int
gen-length

```

67.7.3 Pretty lists

<ML>

```

code-printing
  type-constructor list →
    (SML) - list
    and (OCaml) - list
    and (Haskell) ![(-)]
    and (Scala) List[(-)]
| constant Nil →
  (SML) []
  and (OCaml) []
  and (Haskell) []
  and (Scala) !Nil
| class-instance list :: equal →
  (Haskell) -
| constant HOL.equal :: 'a list ⇒ 'a list ⇒ bool →
  (Haskell) infix 4 ==

```

<ML>

```

code-reserved SML
  list

```

```

code-reserved OCaml
  list

```

67.7.4 Use convenient predefined operations

```

code-printing
  constant op @ →
    (SML) infixr 7 @
    and (OCaml) infixr 6 @
    and (Haskell) infixr 5 ++
    and (Scala) infixl 7 ++
| constant map →

```

```

      (Haskell) map
| constant filter  $\rightarrow$ 
      (Haskell) filter
| constant concat  $\rightarrow$ 
      (Haskell) concat
| constant List.maps  $\rightarrow$ 
      (Haskell) concatMap
| constant rev  $\rightarrow$ 
      (Haskell) reverse
| constant zip  $\rightarrow$ 
      (Haskell) zip
| constant List.null  $\rightarrow$ 
      (Haskell) null
| constant takeWhile  $\rightarrow$ 
      (Haskell) takeWhile
| constant dropWhile  $\rightarrow$ 
      (Haskell) dropWhile
| constant list-all  $\rightarrow$ 
      (Haskell) all
| constant list-ex  $\rightarrow$ 
      (Haskell) any

```

67.7.5 Implementation of sets by lists

lemma *is-empty-set* [code]:
 $Set.is_empty\ (set\ xs) \longleftrightarrow List.null\ xs$
 ⟨proof⟩

lemma *empty-set* [code]:
 $\{\} = set\ []$
 ⟨proof⟩

lemma *UNIV-coset* [code]:
 $UNIV = List.coset\ []$
 ⟨proof⟩

lemma *compl-set* [code]:
 $set\ xs = List.coset\ xs$
 ⟨proof⟩

lemma *compl-coset* [code]:
 $List.coset\ xs = set\ xs$
 ⟨proof⟩

lemma [code]:
 $x \in set\ xs \longleftrightarrow List.member\ xs\ x$
 $x \in List.coset\ xs \longleftrightarrow \neg List.member\ xs\ x$
 ⟨proof⟩

lemma *insert-code* [code]:

$insert\ x\ (set\ xs) = set\ (List.insert\ x\ xs)$
 $insert\ x\ (List.coset\ xs) = List.coset\ (removeAll\ x\ xs)$
 ⟨proof⟩

lemma *remove-code* [code]:

$Set.remove\ x\ (set\ xs) = set\ (removeAll\ x\ xs)$
 $Set.remove\ x\ (List.coset\ xs) = List.coset\ (List.insert\ x\ xs)$
 ⟨proof⟩

lemma *filter-set* [code]:

$Set.filter\ P\ (set\ xs) = set\ (filter\ P\ xs)$
 ⟨proof⟩

lemma *image-set* [code]:

$image\ f\ (set\ xs) = set\ (map\ f\ xs)$
 ⟨proof⟩

lemma *subset-code* [code]:

$set\ xs \leq B \longleftrightarrow (\forall x \in set\ xs. x \in B)$
 $A \leq List.coset\ ys \longleftrightarrow (\forall y \in set\ ys. y \notin A)$
 $List.coset\ [] \leq set\ [] \longleftrightarrow False$
 ⟨proof⟩

A frequent case – avoid intermediate sets

lemma [code-unfold]:

$set\ xs \subseteq set\ ys \longleftrightarrow list-all\ (\lambda x. x \in set\ ys)\ xs$
 ⟨proof⟩

lemma *Ball-set* [code]:

$Ball\ (set\ xs)\ P \longleftrightarrow list-all\ P\ xs$
 ⟨proof⟩

lemma *Bex-set* [code]:

$Bex\ (set\ xs)\ P \longleftrightarrow list-ex\ P\ xs$
 ⟨proof⟩

lemma *card-set* [code]:

$card\ (set\ xs) = length\ (remdups\ xs)$
 ⟨proof⟩

lemma *the-elem-set* [code]:

$the-elem\ (set\ [x]) = x$
 ⟨proof⟩

lemma *Pow-set* [code]:

$Pow\ (set\ []) = \{\{\}\}$
 $Pow\ (set\ (x \# xs)) = (let\ A = Pow\ (set\ xs)\ in\ A \cup insert\ x\ 'A)$
 ⟨proof⟩

definition *map-project* :: ('a \Rightarrow 'b option) \Rightarrow 'a set \Rightarrow 'b set **where**
map-project f A = {b. \exists a \in A. f a = Some b}

lemma [code]:
map-project f (set xs) = set (List.map-filter f xs)
 <proof>

hide-const (open) *map-project*

Operations on relations

lemma *product-code* [code]:
Product-Type.product (set xs) (set ys) = set [(x, y). x \leftarrow xs, y \leftarrow ys]
 <proof>

lemma *Id-on-set* [code]:
Id-on (set xs) = set [(x, x). x \leftarrow xs]
 <proof>

lemma [code]:
 R “ S = List.map-project (%(x, y). if x : S then Some y else None) R
 <proof>

lemma *trancl-set-ntrancl* [code]:
trancl (set xs) = *ntrancl* (card (set xs) - 1) (set xs)
 <proof>

lemma *set-relcomp* [code]:
set xys O *set* yzs = set [(fst xy, snd yz). xy \leftarrow xys, yz \leftarrow yzs, snd xy = fst yz]
 <proof>

lemma *wf-set* [code]:
wf (set xs) = *acyclic* (set xs)
 <proof>

67.8 Setup for Lifting/Transfer

67.8.1 Transfer rules for the Transfer package

context includes *lifting-syntax*
begin

lemma *tl-transfer* [transfer-rule]:
 (list-all2 A \implies list-all2 A) tl tl
 <proof>

lemma *butlast-transfer* [transfer-rule]:
 (list-all2 A \implies list-all2 A) butlast butlast
 <proof>

lemma *map-rec*: $\text{map } f \text{ } xs = \text{rec-list Nil } (\%x - y. \text{Cons } (f \ x) \ y) \ xs$
 ⟨proof⟩

lemma *append-transfer* [*transfer-rule*]:
 $(\text{list-all2 } A ==> \text{list-all2 } A ==> \text{list-all2 } A) \text{ append append}$
 ⟨proof⟩

lemma *rev-transfer* [*transfer-rule*]:
 $(\text{list-all2 } A ==> \text{list-all2 } A) \text{ rev rev}$
 ⟨proof⟩

lemma *filter-transfer* [*transfer-rule*]:
 $((A ==> \text{op } =) ==> \text{list-all2 } A ==> \text{list-all2 } A) \text{ filter filter}$
 ⟨proof⟩

lemma *fold-transfer* [*transfer-rule*]:
 $((A ==> B ==> B) ==> \text{list-all2 } A ==> B ==> B) \text{ fold fold}$
 ⟨proof⟩

lemma *foldr-transfer* [*transfer-rule*]:
 $((A ==> B ==> B) ==> \text{list-all2 } A ==> B ==> B) \text{ foldr foldr}$
 ⟨proof⟩

lemma *foldl-transfer* [*transfer-rule*]:
 $((B ==> A ==> B) ==> B ==> \text{list-all2 } A ==> B) \text{ foldl foldl}$
 ⟨proof⟩

lemma *concat-transfer* [*transfer-rule*]:
 $(\text{list-all2 } (\text{list-all2 } A) ==> \text{list-all2 } A) \text{ concat concat}$
 ⟨proof⟩

lemma *drop-transfer* [*transfer-rule*]:
 $(\text{op } = ==> \text{list-all2 } A ==> \text{list-all2 } A) \text{ drop drop}$
 ⟨proof⟩

lemma *take-transfer* [*transfer-rule*]:
 $(\text{op } = ==> \text{list-all2 } A ==> \text{list-all2 } A) \text{ take take}$
 ⟨proof⟩

lemma *list-update-transfer* [*transfer-rule*]:
 $(\text{list-all2 } A ==> \text{op } = ==> A ==> \text{list-all2 } A) \text{ list-update list-update}$
 ⟨proof⟩

lemma *takeWhile-transfer* [*transfer-rule*]:
 $((A ==> \text{op } =) ==> \text{list-all2 } A ==> \text{list-all2 } A) \text{ takeWhile takeWhile}$
 ⟨proof⟩

lemma *dropWhile-transfer* [*transfer-rule*]:
 $((A ==> \text{op } =) ==> \text{list-all2 } A ==> \text{list-all2 } A) \text{ dropWhile dropWhile}$

<proof>

lemma *zip-transfer* [*transfer-rule*]:

(list-all2 A ===> list-all2 B ===> list-all2 (rel-prod A B)) zip zip

<proof>

lemma *product-transfer* [*transfer-rule*]:

(list-all2 A ===> list-all2 B ===> list-all2 (rel-prod A B)) List.product List.product

<proof>

lemma *product-lists-transfer* [*transfer-rule*]:

(list-all2 (list-all2 A) ===> list-all2 (list-all2 A)) product-lists product-lists

<proof>

lemma *insert-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique A*

shows *(A ===> list-all2 A ===> list-all2 A) List.insert List.insert*

<proof>

lemma *find-transfer* [*transfer-rule*]:

((A ===> op =) ===> list-all2 A ===> rel-option A) List.find List.find

<proof>

lemma *those-transfer* [*transfer-rule*]:

(list-all2 (rel-option P) ===> rel-option (list-all2 P)) those those

<proof>

lemma *remove1-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique A*

shows *(A ===> list-all2 A ===> list-all2 A) remove1 remove1*

<proof>

lemma *removeAll-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique A*

shows *(A ===> list-all2 A ===> list-all2 A) removeAll removeAll*

<proof>

lemma *distinct-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique A*

shows *(list-all2 A ===> op =) distinct distinct*

<proof>

lemma *remdups-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique A*

shows *(list-all2 A ===> list-all2 A) remdups remdups*

<proof>

lemma *remdups-adj-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique A*

shows (*list-all2* *A* \implies *list-all2* *A*) *remdups-adj* *remdups-adj*
 ⟨*proof*⟩

lemma *replicate-transfer* [*transfer-rule*]:
 (*op* \implies *A* \implies *list-all2* *A*) *replicate replicate*
 ⟨*proof*⟩

lemma *length-transfer* [*transfer-rule*]:
 (*list-all2* *A* \implies *op* =) *length length*
 ⟨*proof*⟩

lemma *rotate1-transfer* [*transfer-rule*]:
 (*list-all2* *A* \implies *list-all2* *A*) *rotate1 rotate1*
 ⟨*proof*⟩

lemma *rotate-transfer* [*transfer-rule*]:
 (*op* \implies *list-all2* *A* \implies *list-all2* *A*) *rotate rotate*
 ⟨*proof*⟩

lemma *nths-transfer* [*transfer-rule*]:
 (*list-all2* *A* \implies *rel-set* (*op* =) \implies *list-all2* *A*) *nths nths*
 ⟨*proof*⟩

lemma *subseqs-transfer* [*transfer-rule*]:
 (*list-all2* *A* \implies *list-all2* (*list-all2* *A*)) *subseqs subseqs*
 ⟨*proof*⟩

lemma *partition-transfer* [*transfer-rule*]:
 ((*A* \implies *op* =) \implies *list-all2* *A* \implies *rel-prod* (*list-all2* *A*) (*list-all2* *A*))
 partition partition
 ⟨*proof*⟩

lemma *lists-transfer* [*transfer-rule*]:
 (*rel-set* *A* \implies *rel-set* (*list-all2* *A*)) *lists lists*
 ⟨*proof*⟩

lemma *set-Cons-transfer* [*transfer-rule*]:
 (*rel-set* *A* \implies *rel-set* (*list-all2* *A*) \implies *rel-set* (*list-all2* *A*))
 set-Cons set-Cons
 ⟨*proof*⟩

lemma *listset-transfer* [*transfer-rule*]:
 (*list-all2* (*rel-set* *A*) \implies *rel-set* (*list-all2* *A*)) *listset listset*
 ⟨*proof*⟩

lemma *null-transfer* [*transfer-rule*]:
 (*list-all2* *A* \implies *op* =) *List.null List.null*
 ⟨*proof*⟩

lemma *list-all-transfer* [*transfer-rule*]:
 $((A \implies op =) \implies list-all2\ A \implies op =) \text{ list-all list-all}$
 $\langle proof \rangle$

lemma *list-ex-transfer* [*transfer-rule*]:
 $((A \implies op =) \implies list-all2\ A \implies op =) \text{ list-ex list-ex}$
 $\langle proof \rangle$

lemma *splice-transfer* [*transfer-rule*]:
 $(list-all2\ A \implies list-all2\ A \implies list-all2\ A) \text{ splice splice}$
 $\langle proof \rangle$

lemma *shuffle-transfer* [*transfer-rule*]:
 $(list-all2\ A \implies list-all2\ A \implies rel-set\ (list-all2\ A)) \text{ shuffle shuffle}$
 $\langle proof \rangle$

lemma *rtranc1-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-unique A bi-total A*
shows $(rel-set\ (rel-prod\ A\ A) \implies rel-set\ (rel-prod\ A\ A)) \text{ rtranc1 rtranc1}$
 $\langle proof \rangle$

lemma *monotone-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-total A*
shows $((A \implies A \implies op =) \implies (B \implies B \implies op =) \implies$
 $(A \implies B) \implies op =) \text{ monotone monotone}$
 $\langle proof \rangle$

lemma *fun-ord-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-total C*
shows $((A \implies B \implies op =) \implies (C \implies A) \implies (C \implies$
 $B) \implies op =) \text{ fun-ord fun-ord}$
 $\langle proof \rangle$

lemma *fun-lub-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-total A bi-unique A*
shows $((rel-set\ A \implies B) \implies rel-set\ (C \implies A) \implies C \implies B)$
fun-lub fun-lub
 $\langle proof \rangle$

end

end

68 Sum and product over lists

theory *Groups-List*
imports *List*
begin

locale *monoid-list* = *monoid*
begin

definition $F :: 'a \text{ list} \Rightarrow 'a$
where
 $eq_foldr \text{ [code]}: F \text{ xs} = foldr \text{ f xs } 1$

lemma *Nil* [simp]:
 $F [] = 1$
 $\langle proof \rangle$

lemma *Cons* [simp]:
 $F (x \# xs) = x * F \text{ xs}$
 $\langle proof \rangle$

lemma *append* [simp]:
 $F (xs @ ys) = F \text{ xs} * F \text{ ys}$
 $\langle proof \rangle$

end

locale *comm-monoid-list* = *comm-monoid* + *monoid-list*
begin

lemma *rev* [simp]:
 $F (\text{rev xs}) = F \text{ xs}$
 $\langle proof \rangle$

end

locale *comm-monoid-list-set* = *list: comm-monoid-list* + *set: comm-monoid-set*
begin

lemma *distinct-set-conv-list*:
 $distinct \text{ xs} \Longrightarrow set.F \text{ g (set xs)} = list.F (\text{map g xs})$
 $\langle proof \rangle$

lemma *set-conv-list* [code]:
 $set.F \text{ g (set xs)} = list.F (\text{map g (remdups xs)})$
 $\langle proof \rangle$

end

68.1 List summation

context *monoid-add*
begin

sublocale *sum-list: monoid-list plus 0*

```

defines
  sum-list = sum-list.F  $\langle$ proof $\rangle$ 

end

context comm-monoid-add
begin

sublocale sum-list: comm-monoid-list plus 0
rewrites
  monoid-list.F plus 0 = sum-list
 $\langle$ proof $\rangle$ 

sublocale sum: comm-monoid-list-set plus 0
rewrites
  monoid-list.F plus 0 = sum-list
  and comm-monoid-set.F plus 0 = sum
 $\langle$ proof $\rangle$ 

end

```

Some syntactic sugar for summing a function over a list:

```

syntax (ASCII)
  -sum-list :: pttrn => 'a list => 'b => 'b    (( $\exists$ SUM -<--. -) [0, 51, 10] 10)
syntax
  -sum-list :: pttrn => 'a list => 'b => 'b    (( $\exists$  $\sum$  -<--. -) [0, 51, 10] 10)
translations — Beware of argument permutation!
   $\sum x \leftarrow xs. b == \text{CONST } \textit{sum-list} (\text{CONST } \textit{map} (\lambda x. b) xs)$ 

```

TODO duplicates

```

lemmas sum-list-simps = sum-list.Nil sum-list.Cons
lemmas sum-list-append = sum-list.append
lemmas sum-list-rev = sum-list.rev

```

```

lemma (in monoid-add) fold-plus-sum-list-rev:
  fold plus xs = plus (sum-list (rev xs))
 $\langle$ proof $\rangle$ 

```

```

lemma (in comm-monoid-add) sum-list-map-remove1:
   $x \in \textit{set } xs \implies \textit{sum-list} (\textit{map } f xs) = f x + \textit{sum-list} (\textit{map } f (\textit{remove1 } x xs))$ 
 $\langle$ proof $\rangle$ 

```

```

lemma (in monoid-add) size-list-conv-sum-list:
  size-list f xs = sum-list (map f xs) + size xs
 $\langle$ proof $\rangle$ 

```

```

lemma (in monoid-add) length-concat:
  length (concat xss) = sum-list (map length xss)
 $\langle$ proof $\rangle$ 

```

lemma (in monoid-add) length-product-lists:

$length\ (product-lists\ xss) = foldr\ op\ * (map\ length\ xss)\ 1$
 ⟨proof⟩

lemma (in monoid-add) sum-list-map-filter:

assumes $\bigwedge x. x \in set\ xs \implies \neg P\ x \implies f\ x = 0$
shows $sum-list\ (map\ f\ (filter\ P\ xs)) = sum-list\ (map\ f\ xs)$
 ⟨proof⟩

lemma (in comm-monoid-add) distinct-sum-list-conv-Sum:

$distinct\ xs \implies sum-list\ xs = Sum\ (set\ xs)$
 ⟨proof⟩

lemma sum-list-upt[simp]:

$m \leq n \implies sum-list\ [m..<n] = \sum\ \{m..<n\}$
 ⟨proof⟩

context ordered-comm-monoid-add

begin

lemma sum-list-nonneg: $(\bigwedge x. x \in set\ xs \implies 0 \leq x) \implies 0 \leq sum-list\ xs$

⟨proof⟩

lemma sum-list-nonpos: $(\bigwedge x. x \in set\ xs \implies x \leq 0) \implies sum-list\ xs \leq 0$

⟨proof⟩

lemma sum-list-nonneg-eq-0-iff:

$(\bigwedge x. x \in set\ xs \implies 0 \leq x) \implies sum-list\ xs = 0 \longleftrightarrow (\forall x \in set\ xs. x = 0)$
 ⟨proof⟩

end

context canonically-ordered-monoid-add

begin

lemma sum-list-eq-0-iff [simp]:

$sum-list\ ns = 0 \longleftrightarrow (\forall n \in set\ ns. n = 0)$
 ⟨proof⟩

lemma member-le-sum-list:

$x \in set\ xs \implies x \leq sum-list\ xs$
 ⟨proof⟩

lemma elem-le-sum-list:

$k < size\ ns \implies ns\ !\ k \leq sum-list\ (ns)$
 ⟨proof⟩

end

lemma (in *ordered-cancel-comm-monoid-diff*) *sum-list-update*:

$k < \text{size } xs \implies \text{sum-list } (xs[k := x]) = \text{sum-list } xs + x - xs ! k$
 ⟨proof⟩

lemma (in *monoid-add*) *sum-list-triv*:

$(\sum x \leftarrow xs. r) = \text{of-nat } (\text{length } xs) * r$
 ⟨proof⟩

lemma (in *monoid-add*) *sum-list-0* [simp]:

$(\sum x \leftarrow xs. 0) = 0$
 ⟨proof⟩

For non-Abelian groups *xs* needs to be reversed on one side:

lemma (in *ab-group-add*) *uminus-sum-list-map*:

$-\text{sum-list } (\text{map } f xs) = \text{sum-list } (\text{map } (\text{uminus} \circ f) xs)$
 ⟨proof⟩

lemma (in *comm-monoid-add*) *sum-list-addf*:

$(\sum x \leftarrow xs. f x + g x) = \text{sum-list } (\text{map } f xs) + \text{sum-list } (\text{map } g xs)$
 ⟨proof⟩

lemma (in *ab-group-add*) *sum-list-subtractf*:

$(\sum x \leftarrow xs. f x - g x) = \text{sum-list } (\text{map } f xs) - \text{sum-list } (\text{map } g xs)$
 ⟨proof⟩

lemma (in *semiring-0*) *sum-list-const-mult*:

$(\sum x \leftarrow xs. c * f x) = c * (\sum x \leftarrow xs. f x)$
 ⟨proof⟩

lemma (in *semiring-0*) *sum-list-mult-const*:

$(\sum x \leftarrow xs. f x * c) = (\sum x \leftarrow xs. f x) * c$
 ⟨proof⟩

lemma (in *ordered-ab-group-add-abs*) *sum-list-abs*:

$|\text{sum-list } xs| \leq \text{sum-list } (\text{map } \text{abs } xs)$
 ⟨proof⟩

lemma *sum-list-mono*:

fixes $f g :: 'a \Rightarrow 'b :: \{\text{monoid-add, ordered-ab-semigroup-add}\}$
shows $(\bigwedge x. x \in \text{set } xs \implies f x \leq g x) \implies (\sum x \leftarrow xs. f x) \leq (\sum x \leftarrow xs. g x)$
 ⟨proof⟩

lemma (in *monoid-add*) *sum-list-distinct-conv-sum-set*:

$\text{distinct } xs \implies \text{sum-list } (\text{map } f xs) = \text{sum } f (\text{set } xs)$
 ⟨proof⟩

lemma (in *monoid-add*) *interv-sum-list-conv-sum-set-nat*:

$\text{sum-list } (\text{map } f [m..<n]) = \text{sum } f (\text{set } [m..<n])$

<proof>

lemma (in *monoid-add*) *interv-sum-list-conv-sum-set-int*:
 $sum-list\ (map\ f\ [k..l]) = sum\ f\ (set\ [k..l])$
<proof>

General equivalence between *sum-list* and *sum*

lemma (in *monoid-add*) *sum-list-sum-nth*:
 $sum-list\ xs = (\sum\ i = 0 \ ..< length\ xs.\ xs\ !\ i)$
<proof>

lemma *sum-list-map-eq-sum-count*:
 $sum-list\ (map\ f\ xs) = sum\ (\lambda x.\ count-list\ xs\ x * f\ x)\ (set\ xs)$
<proof>

lemma *sum-list-map-eq-sum-count2*:
assumes $set\ xs \subseteq X\ finite\ X$
shows $sum-list\ (map\ f\ xs) = sum\ (\lambda x.\ count-list\ xs\ x * f\ x)\ X$
<proof>

lemma *sum-list-nonneg*:
 $(\bigwedge x.\ x \in set\ xs \implies (x :: 'a :: ordered-comm-monoid-add) \geq 0) \implies sum-list\ xs \geq 0$
<proof>

lemma (in *monoid-add*) *sum-list-map-filter'*:
 $sum-list\ (map\ f\ (filter\ P\ xs)) = sum-list\ (map\ (\lambda x.\ if\ P\ x\ then\ f\ x\ else\ 0)\ xs)$
<proof>

lemma *sum-list-cong [fundef-cong]*:
assumes $xs = ys$
assumes $\bigwedge x.\ x \in set\ xs \implies f\ x = g\ x$
shows $sum-list\ (map\ f\ xs) = sum-list\ (map\ g\ ys)$
<proof>

Summation of a strictly ascending sequence with length n can be upper-bounded by summation over $\{0..<n\}$.

lemma *sorted-wrt-less-sum-mono-lowerbound*:
fixes $f :: nat \Rightarrow ('b :: ordered-comm-monoid-add)$
assumes $mono: \bigwedge x\ y.\ x \leq y \implies f\ x \leq f\ y$
shows $sorted-wrt\ (op\ <)\ ns \implies$
 $(\sum_{i \in \{0..<length\ ns\}} f\ i) \leq (\sum_{i \leftarrow ns} f\ i)$
<proof>

68.2 Further facts about *List.n-lists*

lemma *length-n-lists*: $length\ (List.n-lists\ n\ xs) = length\ xs \wedge n$
<proof>

```

lemma distinct-n-lists:
  assumes distinct xs
  shows distinct (List.n-lists n xs)
   $\langle proof \rangle$ 

```

68.3 Tools setup

```

lemmas sum-code = sum.set-conv-list

```

```

lemma sum-set-upto-conv-sum-list-int [code-unfold]:
  sum f (set [i..j::int]) = sum-list (map f [i..j])
   $\langle proof \rangle$ 

```

```

lemma sum-set-upt-conv-sum-list-nat [code-unfold]:
  sum f (set [m.. $<n$ ]) = sum-list (map f [m.. $<n$ ])
   $\langle proof \rangle$ 

```

```

lemma sum-list-transfer[transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: A 0 0
  assumes [transfer-rule]: (A ==> A ==> A) op + op +
  shows (list-all2 A ==> A) sum-list sum-list
   $\langle proof \rangle$ 

```

68.4 List product

```

context monoid-mult
begin

```

```

sublocale prod-list: monoid-list times 1
defines
  prod-list = prod-list.F  $\langle proof \rangle$ 

```

```

end

```

```

context comm-monoid-mult
begin

```

```

sublocale prod-list: comm-monoid-list times 1
rewrites
  monoid-list.F times 1 = prod-list
   $\langle proof \rangle$ 

```

```

sublocale prod: comm-monoid-list-set times 1
rewrites
  monoid-list.F times 1 = prod-list
  and comm-monoid-set.F times 1 = prod
   $\langle proof \rangle$ 

```

```

end

```

lemma *prod-list-cong* [*fundef-cong*]:

assumes $xs = ys$

assumes $\bigwedge x. x \in \text{set } xs \implies f\ x = g\ x$

shows $\text{prod-list } (\text{map } f\ xs) = \text{prod-list } (\text{map } g\ ys)$

<proof>

lemma *prod-list-zero-iff*:

$\text{prod-list } xs = 0 \longleftrightarrow (0 :: 'a :: \{\text{semiring-no-zero-divisors}, \text{semiring-1}\}) \in \text{set } xs$

<proof>

Some syntactic sugar:

syntax (*ASCII*)

$\text{-prod-list} :: \text{pttrn} \Rightarrow 'a\ \text{list} \Rightarrow 'b \Rightarrow 'b \quad ((\exists \text{PROD } -<--. -) [0, 51, 10] 10)$

syntax

$\text{-prod-list} :: \text{pttrn} \Rightarrow 'a\ \text{list} \Rightarrow 'b \Rightarrow 'b \quad ((\exists \prod -<--. -) [0, 51, 10] 10)$

translations — Beware of argument permutation!

$\prod x \leftarrow xs. b \equiv \text{CONST prod-list } (\text{CONST map } (\lambda x. b)\ xs)$

end

69 A HOL random engine

theory *Random*

imports *List Groups-List*

begin

notation *fcomp* (**infixl** $\circ>$ 60)

notation *scomp* (**infixl** $\circ\rightarrow$ 60)

69.1 Auxiliary functions

fun *log* :: *natural* \Rightarrow *natural* \Rightarrow *natural* **where**

$\text{log } b\ i = (\text{if } b \leq 1 \vee i < b \text{ then } 1 \text{ else } 1 + \text{log } b\ (i \text{ div } b))$

definition *inc-shift* :: *natural* \Rightarrow *natural* \Rightarrow *natural* **where**

$\text{inc-shift } v\ k = (\text{if } v = k \text{ then } 1 \text{ else } k + 1)$

definition *minus-shift* :: *natural* \Rightarrow *natural* \Rightarrow *natural* \Rightarrow *natural* **where**

$\text{minus-shift } r\ k\ l = (\text{if } k < l \text{ then } r + k - l \text{ else } k - l)$

69.2 Random seeds

type-synonym *seed* = *natural* \times *natural*

primrec *next* :: *seed* \Rightarrow *natural* \times *seed* **where**

$\text{next } (v, w) = (\text{let}$

$k = v \text{ div } 53668;$

$v' = \text{minus-shift } 2147483563\ ((v \text{ mod } 53668) * 40014)\ (k * 12211);$

```

l = w div 52774;
w' = minus-shift 2147483399 ((w mod 52774) * 40692) (l * 3791);
z = minus-shift 2147483562 v' (w' + 1) + 1
in (z, (v', w'))

```

definition *split-seed* :: *seed* \Rightarrow *seed* \times *seed* **where**

```

split-seed s = (let
  (v, w) = s;
  (v', w') = snd (next s);
  v'' = inc-shift 2147483562 v;
  w'' = inc-shift 2147483398 w
  in ((v'', w'), (v', w')))

```

69.3 Base selectors

fun *iterate* :: *natural* \Rightarrow ('b \Rightarrow 'a \Rightarrow 'b \times 'a) \Rightarrow 'b \Rightarrow 'a \Rightarrow 'b \times 'a **where**
iterate k f x = (if k = 0 then Pair x else f x $\circ\rightarrow$ *iterate* (k - 1) f)

definition *range* :: *natural* \Rightarrow *seed* \Rightarrow *natural* \times *seed* **where**

```

range k = iterate (log 2147483561 k)
  (\l. next  $\circ\rightarrow$  (\v. Pair (v + l * 2147483561))) 1
 $\circ\rightarrow$  (\v. Pair (v mod k))

```

lemma *range*:

```

k > 0  $\implies$  fst (range k s) < k
<proof>

```

definition *select* :: 'a list \Rightarrow *seed* \Rightarrow 'a \times *seed* **where**

```

select xs = range (natural-of-nat (length xs))
 $\circ\rightarrow$  (\k. Pair (nth xs (nat-of-natural k)))

```

lemma *select*:

```

assumes xs  $\neq$  []
shows fst (select xs s)  $\in$  set xs
<proof>

```

primrec *pick* :: (*natural* \times 'a) list \Rightarrow *natural* \Rightarrow 'a **where**

```

pick (x # xs) i = (if i < fst x then snd x else pick xs (i - fst x))

```

lemma *pick-member*:

```

i < sum-list (map fst xs)  $\implies$  pick xs i  $\in$  set (map snd xs)
<proof>

```

lemma *pick-drop-zero*:

```

pick (filter (\(k, -). k > 0) xs) = pick xs
<proof>

```

lemma *pick-same*:

```

l < length xs  $\implies$  Random.pick (map (Pair 1) xs) (natural-of-nat l) = nth xs l

```

<proof>

definition *select-weight* :: (*natural* × 'a) *list* ⇒ *seed* ⇒ 'a × *seed* **where**
select-weight xs = range (sum-list (map fst xs))
o→ (λk. Pair (pick xs k))

lemma *select-weight-member*:
assumes *0 < sum-list (map fst xs)*
shows *fst (select-weight xs s) ∈ set (map snd xs)*
<proof>

lemma *select-weight-cons-zero*:
select-weight ((0, x) # xs) = select-weight xs
<proof>

lemma *select-weight-drop-zero*:
select-weight (filter (λ(k, -). k > 0) xs) = select-weight xs
<proof>

lemma *select-weight-select*:
assumes *xs ≠ []*
shows *select-weight (map (Pair 1) xs) = select xs*
<proof>

69.4 ML interface

code-reflect *Random-Engine*
functions *range select select-weight*

<ML>

hide-type (**open**) *seed*
hide-const (**open**) *inc-shift minus-shift log next split-seed*
iterate range select pick select-weight
hide-fact (**open**) *range-def*

no-notation *fcomp* (**infixl** *o>* 60)
no-notation *scomp* (**infixl** *o→* 60)

end

70 Maps

theory *Map*
imports *List*
begin

type-synonym ('a, 'b) *map* = 'a ⇒ 'b *option* (**infixr** *↦* 0)

abbreviation

$empty :: 'a \rightarrow 'b$ **where**
 $empty \equiv \lambda x. None$

definition

$map-comp :: ('b \rightarrow 'c) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'c)$ (**infixl** \circ_m 55) **where**
 $f \circ_m g = (\lambda k. \text{case } g \text{ } k \text{ of } None \Rightarrow None \mid Some \text{ } v \Rightarrow f \text{ } v)$

definition

$map-add :: ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b)$ (**infixl** $++$ 100) **where**
 $m1 ++ m2 = (\lambda x. \text{case } m2 \text{ } x \text{ of } None \Rightarrow m1 \text{ } x \mid Some \text{ } y \Rightarrow Some \text{ } y)$

definition

$restrict-map :: ('a \rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow ('a \rightarrow 'b)$ (**infixl** $|'$ 110) **where**
 $m|'A = (\lambda x. \text{if } x \in A \text{ then } m \text{ } x \text{ else } None)$

notation (latex output)

$restrict-map \text{ } (-|' [111,110] \text{ } 110)$

definition

$dom :: ('a \rightarrow 'b) \Rightarrow 'a \text{ set}$ **where**
 $dom \text{ } m = \{a. m \text{ } a \neq None\}$

definition

$ran :: ('a \rightarrow 'b) \Rightarrow 'b \text{ set}$ **where**
 $ran \text{ } m = \{b. \exists a. m \text{ } a = Some \text{ } b\}$

definition

$map-le :: ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow bool$ (**infix** \subseteq_m 50) **where**
 $(m_1 \subseteq_m m_2) \longleftrightarrow (\forall a \in dom \text{ } m_1. m_1 \text{ } a = m_2 \text{ } a)$

nonterminal maplets and maplet**syntax**

$-maplet :: ['a, 'a] \Rightarrow maplet$ $(- \text{ } / \mapsto / \text{ } -)$
 $-maplets :: ['a, 'a] \Rightarrow maplet$ $(- \text{ } / [\mapsto] / \text{ } -)$
 $\quad \quad \quad :: maplet \Rightarrow maplets$ $(-)$
 $-Maplets :: [maplet, maplets] \Rightarrow maplets$ $(-, / \text{ } -)$
 $-MapUpd :: ['a \rightarrow 'b, maplets] \Rightarrow 'a \rightarrow 'b$ $(-/('(-) \text{ } [900, 0] \text{ } 900)$
 $-Map \quad \quad :: maplets \Rightarrow 'a \rightarrow 'b$ $((1[-]))$

syntax (ASCII)

$-maplet :: ['a, 'a] \Rightarrow maplet$ $(- \text{ } /|-> / \text{ } -)$
 $-maplets :: ['a, 'a] \Rightarrow maplet$ $(- \text{ } / [|->] / \text{ } -)$

translations

$-MapUpd \text{ } m \text{ } (-Maplets \text{ } xy \text{ } ms) \rightleftharpoons -MapUpd \text{ } (-MapUpd \text{ } m \text{ } xy) \text{ } ms$
 $-MapUpd \text{ } m \text{ } (-maplet \text{ } x \text{ } y) \rightleftharpoons m(x := CONST \text{ } Some \text{ } y)$
 $-Map \text{ } ms \rightleftharpoons -MapUpd \text{ } (CONST \text{ } empty) \text{ } ms$

$-Map \ (-Maplets \ ms1 \ ms2) \quad \leftarrow \ -MapUpd \ (-Map \ ms1) \ ms2$
 $-Maplets \ ms1 \ (-Maplets \ ms2 \ ms3) \leftarrow -Maplets \ (-Maplets \ ms1 \ ms2) \ ms3$

primrec $map-of :: ('a \times 'b) \ list \Rightarrow 'a \rightarrow 'b$

where

$map-of \ [] = empty$
 $| \ map-of \ (p \# \ ps) = (map-of \ ps)(fst \ p \mapsto \ snd \ p)$

definition $map-upds :: ('a \rightarrow 'b) \Rightarrow 'a \ list \Rightarrow 'b \ list \Rightarrow 'a \rightarrow 'b$

where $map-upds \ m \ xs \ ys = m \ ++ \ map-of \ (rev \ (zip \ xs \ ys))$

translations

$-MapUpd \ m \ (-maplets \ x \ y) \equiv CONST \ map-upds \ m \ x \ y$

lemma $map-of-Cons-code \ [code]:$

$map-of \ [] \ k = None$
 $map-of \ ((l, v) \# \ ps) \ k = (if \ l = k \ then \ Some \ v \ else \ map-of \ ps \ k)$
 $\langle proof \rangle$

70.1 $empty$

lemma $empty-upd-none \ [simp]: empty(x := None) = empty$
 $\langle proof \rangle$

70.2 $map-upd$

lemma $map-upd-triv: t \ k = Some \ x \Longrightarrow t(k \mapsto x) = t$
 $\langle proof \rangle$

lemma $map-upd-nonempty \ [simp]: t(k \mapsto x) \neq empty$
 $\langle proof \rangle$

lemma $map-upd-eqD1:$

assumes $m(a \mapsto x) = n(a \mapsto y)$
shows $x = y$
 $\langle proof \rangle$

lemma $map-upd-Some-unfold:$

$((m(a \mapsto b)) \ x = Some \ y) = (x = a \wedge b = y \vee x \neq a \wedge m \ x = Some \ y)$
 $\langle proof \rangle$

lemma $image-map-upd \ [simp]: x \notin A \Longrightarrow m(x \mapsto y) \restriction A = m \restriction A$
 $\langle proof \rangle$

lemma $finite-range-updI: finite \ (range \ f) \Longrightarrow finite \ (range \ (f(a \mapsto b)))$
 $\langle proof \rangle$

70.3 $map-of$

lemma $map-of-eq-None-iff:$

$(map-of \ xys \ x = None) = (x \notin fst \restriction (set \ xys))$

$\langle proof \rangle$

lemma *map-of-eq-Some-iff* [simp]:

$distinct(\text{map fst } xys) \implies (\text{map-of } xys\ x = \text{Some } y) = ((x, y) \in \text{set } xys)$

$\langle proof \rangle$

lemma *Some-eq-map-of-iff* [simp]:

$distinct(\text{map fst } xys) \implies (\text{Some } y = \text{map-of } xys\ x) = ((x, y) \in \text{set } xys)$

$\langle proof \rangle$

lemma *map-of-is-SomeI* [simp]: $\llbracket distinct(\text{map fst } xys); (x, y) \in \text{set } xys \rrbracket$

$\implies \text{map-of } xys\ x = \text{Some } y$

$\langle proof \rangle$

lemma *map-of-zip-is-None* [simp]:

$\text{length } xs = \text{length } ys \implies (\text{map-of } (\text{zip } xs\ ys)\ x = \text{None}) = (x \notin \text{set } xs)$

$\langle proof \rangle$

lemma *map-of-zip-is-Some*:

assumes $\text{length } xs = \text{length } ys$

shows $x \in \text{set } xs \longleftrightarrow (\exists y. \text{map-of } (\text{zip } xs\ ys)\ x = \text{Some } y)$

$\langle proof \rangle$

lemma *map-of-zip-upd*:

fixes $x :: 'a$ **and** $xs :: 'a\ \text{list}$ **and** $ys\ zs :: 'b\ \text{list}$

assumes $\text{length } ys = \text{length } xs$

and $\text{length } zs = \text{length } xs$

and $x \notin \text{set } xs$

and $\text{map-of } (\text{zip } xs\ ys)(x \mapsto y) = \text{map-of } (\text{zip } xs\ zs)(x \mapsto z)$

shows $\text{map-of } (\text{zip } xs\ ys) = \text{map-of } (\text{zip } xs\ zs)$

$\langle proof \rangle$

lemma *map-of-zip-inject*:

assumes $\text{length } ys = \text{length } xs$

and $\text{length } zs = \text{length } xs$

and $\text{dist: } distinct\ xs$

and $\text{map-of: } \text{map-of } (\text{zip } xs\ ys) = \text{map-of } (\text{zip } xs\ zs)$

shows $ys = zs$

$\langle proof \rangle$

lemma *map-of-zip-nth*:

assumes $\text{length } xs = \text{length } ys$

assumes $distinct\ xs$

assumes $i < \text{length } ys$

shows $\text{map-of } (\text{zip } xs\ ys)\ (xs\ !\ i) = \text{Some } (ys\ !\ i)$

$\langle proof \rangle$

lemma *map-of-zip-map*:

$\text{map-of } (\text{zip } xs\ (\text{map } f\ xs)) = (\lambda x. \text{if } x \in \text{set } xs \text{ then } \text{Some } (f\ x) \text{ else } \text{None})$

$\langle \text{proof} \rangle$

lemma *finite-range-map-of*: $\text{finite } (\text{range } (\text{map-of } xys))$
 $\langle \text{proof} \rangle$

lemma *map-of-SomeD*: $\text{map-of } xs \ k = \text{Some } y \implies (k, y) \in \text{set } xs$
 $\langle \text{proof} \rangle$

lemma *map-of-mapk-SomeI*:
 $\text{inj } f \implies \text{map-of } t \ k = \text{Some } x \implies$
 $\text{map-of } (\text{map } (\text{case-prod } (\lambda k. \text{Pair } (f \ k))) \ t) \ (f \ k) = \text{Some } x$
 $\langle \text{proof} \rangle$

lemma *weak-map-of-SomeI*: $(k, x) \in \text{set } l \implies \exists x. \text{map-of } l \ k = \text{Some } x$
 $\langle \text{proof} \rangle$

lemma *map-of-filter-in*:
 $\text{map-of } xs \ k = \text{Some } z \implies P \ k \ z \implies \text{map-of } (\text{filter } (\text{case-prod } P) \ xs) \ k = \text{Some } z$
 $\langle \text{proof} \rangle$

lemma *map-of-map*:
 $\text{map-of } (\text{map } (\lambda(k, v). (k, f \ v)) \ xs) = \text{map-option } f \circ \text{map-of } xs$
 $\langle \text{proof} \rangle$

lemma *dom-map-option*:
 $\text{dom } (\lambda k. \text{map-option } (f \ k) \ (m \ k)) = \text{dom } m$
 $\langle \text{proof} \rangle$

lemma *dom-map-option-comp* [simp]:
 $\text{dom } (\text{map-option } g \circ m) = \text{dom } m$
 $\langle \text{proof} \rangle$

70.4 map-option related

lemma *map-option-o-empty* [simp]: $\text{map-option } f \ o \ \text{empty} = \text{empty}$
 $\langle \text{proof} \rangle$

lemma *map-option-o-map-upd* [simp]:
 $\text{map-option } f \ o \ m(a \mapsto b) = (\text{map-option } f \ o \ m)(a \mapsto f \ b)$
 $\langle \text{proof} \rangle$

70.5 map-comp related

lemma *map-comp-empty* [simp]:
 $m \circ_m \text{empty} = \text{empty}$
 $\text{empty} \circ_m m = \text{empty}$
 $\langle \text{proof} \rangle$

lemma *map-comp-simps* [simp]:

$m2\ k = \text{None} \implies (m1\ \circ_m\ m2)\ k = \text{None}$
 $m2\ k = \text{Some } k' \implies (m1\ \circ_m\ m2)\ k = m1\ k'$
 $\langle \text{proof} \rangle$

lemma *map-comp-Some-iff*:
 $((m1\ \circ_m\ m2)\ k = \text{Some } v) = (\exists k'.\ m2\ k = \text{Some } k' \wedge m1\ k' = \text{Some } v)$
 $\langle \text{proof} \rangle$

lemma *map-comp-None-iff*:
 $((m1\ \circ_m\ m2)\ k = \text{None}) = (m2\ k = \text{None} \vee (\exists k'.\ m2\ k = \text{Some } k' \wedge m1\ k' = \text{None}))$
 $\langle \text{proof} \rangle$

70.6 ++

lemma *map-add-empty[simp]*: $m\ ++\ \text{empty} = m$
 $\langle \text{proof} \rangle$

lemma *empty-map-add[simp]*: $\text{empty}\ ++\ m = m$
 $\langle \text{proof} \rangle$

lemma *map-add-assoc[simp]*: $m1\ ++\ (m2\ ++\ m3) = (m1\ ++\ m2)\ ++\ m3$
 $\langle \text{proof} \rangle$

lemma *map-add-Some-iff*:
 $((m\ ++\ n)\ k = \text{Some } x) = (n\ k = \text{Some } x \mid n\ k = \text{None} \ \&\ m\ k = \text{Some } x)$
 $\langle \text{proof} \rangle$

lemma *map-add-SomeD [dest!]*:
 $(m\ ++\ n)\ k = \text{Some } x \implies n\ k = \text{Some } x \vee n\ k = \text{None} \wedge m\ k = \text{Some } x$
 $\langle \text{proof} \rangle$

lemma *map-add-find-right [simp]*: $n\ k = \text{Some } xx \implies (m\ ++\ n)\ k = \text{Some } xx$
 $\langle \text{proof} \rangle$

lemma *map-add-None [iff]*: $((m\ ++\ n)\ k = \text{None}) = (n\ k = \text{None} \ \&\ m\ k = \text{None})$
 $\langle \text{proof} \rangle$

lemma *map-add-upd[simp]*: $f\ ++\ g(x \mapsto y) = (f\ ++\ g)(x \mapsto y)$
 $\langle \text{proof} \rangle$

lemma *map-add-upds[simp]*: $m1\ ++\ (m2(xs \mapsto ys)) = (m1 ++ m2)(xs \mapsto ys)$
 $\langle \text{proof} \rangle$

lemma *map-add-upd-left*: $m \notin \text{dom } e2 \implies e1(m \mapsto u1) ++ e2 = (e1 ++ e2)(m \mapsto u1)$
 $\langle \text{proof} \rangle$

lemma *map-of-append* [simp]: $\text{map-of } (xs @ ys) = \text{map-of } ys ++ \text{map-of } xs$
 ⟨proof⟩

lemma *finite-range-map-of-map-add*:
 $\text{finite } (\text{range } f) \implies \text{finite } (\text{range } (f ++ \text{map-of } l))$
 ⟨proof⟩

lemma *inj-on-map-add-dom* [iff]:
 $\text{inj-on } (m ++ m') (\text{dom } m') = \text{inj-on } m' (\text{dom } m')$
 ⟨proof⟩

lemma *map-upds-fold-map-upd*:
 $m(\text{ks}[\mapsto] \text{vs}) = \text{foldl } (\lambda m (k, v). m(k \mapsto v)) m (\text{zip } \text{ks } \text{vs})$
 ⟨proof⟩

lemma *map-add-map-of-foldr*:
 $m ++ \text{map-of } ps = \text{foldr } (\lambda(k, v) m. m(k \mapsto v)) ps m$
 ⟨proof⟩

70.7 restrict-map

lemma *restrict-map-to-empty* [simp]: $m|'\{\} = \text{empty}$
 ⟨proof⟩

lemma *restrict-map-insert*: $f|'(\text{insert } a A) = (f|'A)(a := f a)$
 ⟨proof⟩

lemma *restrict-map-empty* [simp]: $\text{empty}|'D = \text{empty}$
 ⟨proof⟩

lemma *restrict-in* [simp]: $x \in A \implies (m|'A) x = m x$
 ⟨proof⟩

lemma *restrict-out* [simp]: $x \notin A \implies (m|'A) x = \text{None}$
 ⟨proof⟩

lemma *ran-restrictD*: $y \in \text{ran } (m|'A) \implies \exists x \in A. m x = \text{Some } y$
 ⟨proof⟩

lemma *dom-restrict* [simp]: $\text{dom } (m|'A) = \text{dom } m \cap A$
 ⟨proof⟩

lemma *restrict-upd-same* [simp]: $m(x \mapsto y)|'(-\{x\}) = m|'(-\{x\})$
 ⟨proof⟩

lemma *restrict-restrict* [simp]: $m|'A|'B = m|'(A \cap B)$
 ⟨proof⟩

lemma *restrict-fun-upd* [simp]:

$m(x := y) \mid 'D = (\text{if } x \in D \text{ then } (m \mid '(D - \{x\}))(x := y) \text{ else } m \mid 'D)$
 $\langle \text{proof} \rangle$

lemma *fun-upd-None-restrict* [simp]:
 $(m \mid 'D)(x := \text{None}) = (\text{if } x \in D \text{ then } m \mid '(D - \{x\}) \text{ else } m \mid 'D)$
 $\langle \text{proof} \rangle$

lemma *fun-upd-restrict*: $(m \mid 'D)(x := y) = (m \mid '(D - \{x\}))(x := y)$
 $\langle \text{proof} \rangle$

lemma *fun-upd-restrict-conv* [simp]:
 $x \in D \implies (m \mid 'D)(x := y) = (m \mid '(D - \{x\}))(x := y)$
 $\langle \text{proof} \rangle$

lemma *map-of-map-restrict*:
 $\text{map-of } (\text{map } (\lambda k. (k, f k)) \text{ } ks) = (\text{Some } \circ f) \mid ' \text{ set } ks$
 $\langle \text{proof} \rangle$

lemma *restrict-complement-singleton-eq*:
 $f \mid '(- \{x\}) = f(x := \text{None})$
 $\langle \text{proof} \rangle$

70.8 map-upds

lemma *map-upds-Nil1* [simp]: $m([\] \mid \mapsto bs) = m$
 $\langle \text{proof} \rangle$

lemma *map-upds-Nil2* [simp]: $m(as \mid \mapsto [\]) = m$
 $\langle \text{proof} \rangle$

lemma *map-upds-Cons* [simp]: $m(a \# as \mid \mapsto b \# bs) = (m(a \mapsto b))(as \mid \mapsto bs)$
 $\langle \text{proof} \rangle$

lemma *map-upds-append1* [simp]: $\text{size } xs < \text{size } ys \implies$
 $m(xs @ [x] \mid \mapsto ys) = m(xs \mid \mapsto ys)(x \mapsto ys! \text{size } xs)$
 $\langle \text{proof} \rangle$

lemma *map-upds-list-update2-drop* [simp]:
 $\text{size } xs \leq i \implies m(xs \mid \mapsto ys[i := y]) = m(xs \mid \mapsto ys)$
 $\langle \text{proof} \rangle$

lemma *map-upd-upds-conv-if*:
 $(f(x \mapsto y))(xs \mid \mapsto ys) =$
 $(\text{if } x \in \text{set}(\text{take } (\text{length } ys) \text{ } xs) \text{ then } f(xs \mid \mapsto ys)$
 $\text{else } (f(xs \mid \mapsto ys))(x \mapsto y))$
 $\langle \text{proof} \rangle$

lemma *map-upds-twist* [simp]:
 $a \notin \text{set } as \implies m(a \mapsto b)(as \mid \mapsto bs) = m(as \mid \mapsto bs)(a \mapsto b)$

$\langle \text{proof} \rangle$

lemma *map-upds-apply-nontin* [simp]:

$$x \notin \text{set } xs \implies (f(xs[\mapsto]ys))\ x = f\ x$$

$\langle \text{proof} \rangle$

lemma *fun-upds-append-drop* [simp]:

$$\text{size } xs = \text{size } ys \implies m(xs@zs[\mapsto]ys) = m(xs[\mapsto]ys)$$

$\langle \text{proof} \rangle$

lemma *fun-upds-append2-drop* [simp]:

$$\text{size } xs = \text{size } ys \implies m(xs[\mapsto]ys@zs) = m(xs[\mapsto]ys)$$

$\langle \text{proof} \rangle$

lemma *restrict-map-upds* [simp]:

$$\llbracket \text{length } xs = \text{length } ys; \text{ set } xs \subseteq D \rrbracket$$

$$\implies m(xs[\mapsto]ys)|'D = (m|'(D - \text{set } xs))(xs[\mapsto]ys)$$

$\langle \text{proof} \rangle$

70.9 dom

lemma *dom-eq-empty-conv* [simp]: $\text{dom } f = \{\} \longleftrightarrow f = \text{empty}$

$\langle \text{proof} \rangle$

lemma *domI*: $m\ a = \text{Some } b \implies a \in \text{dom } m$

$\langle \text{proof} \rangle$

lemma *domD*: $a \in \text{dom } m \implies \exists b. m\ a = \text{Some } b$

$\langle \text{proof} \rangle$

lemma *domIff* [iff, simp del, code-unfold]: $a \in \text{dom } m \longleftrightarrow m\ a \neq \text{None}$

$\langle \text{proof} \rangle$

lemma *dom-empty* [simp]: $\text{dom } \text{empty} = \{\}$

$\langle \text{proof} \rangle$

lemma *dom-fun-upd* [simp]:

$$\text{dom}(f(x := y)) = (\text{if } y = \text{None} \text{ then } \text{dom } f - \{x\} \text{ else insert } x\ (\text{dom } f))$$

$\langle \text{proof} \rangle$

lemma *dom-if*:

$$\text{dom } (\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else } g\ x) = \text{dom } f \cap \{x. P\ x\} \cup \text{dom } g \cap \{x. \neg P\ x\}$$

$\langle \text{proof} \rangle$

lemma *dom-map-of-conv-image-fst*:

$$\text{dom } (\text{map-of } xys) = \text{fst } ' \text{ set } xys$$

$\langle \text{proof} \rangle$

lemma *dom-map-of-zip* [simp]: $\text{length } xs = \text{length } ys \implies \text{dom } (\text{map-of } (\text{zip } xs \text{ } ys)) = \text{set } xs$
 ⟨proof⟩

lemma *finite-dom-map-of*: $\text{finite } (\text{dom } (\text{map-of } l))$
 ⟨proof⟩

lemma *dom-map-upds* [simp]:
 $\text{dom}(m(xs[\mapsto]ys)) = \text{set}(\text{take } (\text{length } ys) \text{ } xs) \cup \text{dom } m$
 ⟨proof⟩

lemma *dom-map-add* [simp]: $\text{dom } (m ++ n) = \text{dom } n \cup \text{dom } m$
 ⟨proof⟩

lemma *dom-override-on* [simp]:
 $\text{dom } (\text{override-on } f \text{ } g \text{ } A) =$
 $(\text{dom } f - \{a. a \in A - \text{dom } g\}) \cup \{a. a \in A \cap \text{dom } g\}$
 ⟨proof⟩

lemma *map-add-comm*: $\text{dom } m1 \cap \text{dom } m2 = \{\} \implies m1 ++ m2 = m2 ++ m1$
 ⟨proof⟩

lemma *map-add-dom-app-simps*:
 $m \in \text{dom } l2 \implies (l1 ++ l2) \text{ } m = l2 \text{ } m$
 $m \notin \text{dom } l1 \implies (l1 ++ l2) \text{ } m = l2 \text{ } m$
 $m \notin \text{dom } l2 \implies (l1 ++ l2) \text{ } m = l1 \text{ } m$
 ⟨proof⟩

lemma *dom-const* [simp]:
 $\text{dom } (\lambda x. \text{Some } (f \text{ } x)) = \text{UNIV}$
 ⟨proof⟩

lemma *finite-map-freshness*:
 $\text{finite } (\text{dom } (f :: 'a \multimap 'b)) \implies \neg \text{finite } (\text{UNIV} :: 'a \text{ set}) \implies$
 $\exists x. f \text{ } x = \text{None}$
 ⟨proof⟩

lemma *dom-minus*:
 $f \text{ } x = \text{None} \implies \text{dom } f - \text{insert } x \text{ } A = \text{dom } f - A$
 ⟨proof⟩

lemma *insert-dom*:
 $f \text{ } x = \text{Some } y \implies \text{insert } x \text{ } (\text{dom } f) = \text{dom } f$
 ⟨proof⟩

lemma *map-of-map-keys*:
 $\text{set } xs = \text{dom } m \implies \text{map-of } (\text{map } (\lambda k. (k, \text{the } (m \text{ } k)))) \text{ } xs = m$

$\langle \text{proof} \rangle$

lemma *map-of-eqI*:

assumes *set-eq*: $\text{set } (\text{map fst } xs) = \text{set } (\text{map fst } ys)$

assumes *map-eq*: $\forall k \in \text{set } (\text{map fst } xs). \text{map-of } xs \ k = \text{map-of } ys \ k$

shows $\text{map-of } xs = \text{map-of } ys$

$\langle \text{proof} \rangle$

lemma *map-of-eq-dom*:

assumes $\text{map-of } xs = \text{map-of } ys$

shows $\text{fst } ` \text{set } xs = \text{fst } ` \text{set } ys$

$\langle \text{proof} \rangle$

lemma *finite-set-of-finite-maps*:

assumes *finite A finite B*

shows $\text{finite } \{m. \text{dom } m = A \wedge \text{ran } m \subseteq B\}$ (**is** *finite ?S*)

$\langle \text{proof} \rangle$

70.10 ran

lemma *ranI*: $m \ a = \text{Some } b \implies b \in \text{ran } m$

$\langle \text{proof} \rangle$

lemma *ran-empty [simp]*: $\text{ran empty} = \{\}$

$\langle \text{proof} \rangle$

lemma *ran-map-upd [simp]*: $m \ a = \text{None} \implies \text{ran}(m(a \mapsto b)) = \text{insert } b (\text{ran } m)$

$\langle \text{proof} \rangle$

lemma *ran-map-add*:

assumes $\text{dom } m1 \cap \text{dom } m2 = \{\}$

shows $\text{ran } (m1 ++ m2) = \text{ran } m1 \cup \text{ran } m2$

$\langle \text{proof} \rangle$

lemma *finite-ran*:

assumes *finite (dom p)*

shows *finite (ran p)*

$\langle \text{proof} \rangle$

lemma *ran-distinct*:

assumes *dist: distinct (map fst al)*

shows $\text{ran } (\text{map-of } al) = \text{snd } ` \text{set } al$

$\langle \text{proof} \rangle$

lemma *ran-map-of-zip*:

assumes $\text{length } xs = \text{length } ys$ *distinct xs*

shows $\text{ran } (\text{map-of } (\text{zip } xs \ ys)) = \text{set } ys$

$\langle \text{proof} \rangle$

lemma *ran-map-option*: $\text{ran } (\lambda x. \text{map-option } f \ (m \ x)) = f \text{ ‘ ran } m$
 $\langle \text{proof} \rangle$

70.11 *map-le*

lemma *map-le-empty* [*simp*]: $\text{empty} \subseteq_m g$
 $\langle \text{proof} \rangle$

lemma *upd-None-map-le* [*simp*]: $f(x := \text{None}) \subseteq_m f$
 $\langle \text{proof} \rangle$

lemma *map-le-upd* [*simp*]: $f \subseteq_m g \implies f(a := b) \subseteq_m g(a := b)$
 $\langle \text{proof} \rangle$

lemma *map-le-imp-upd-le* [*simp*]: $m1 \subseteq_m m2 \implies m1(x := \text{None}) \subseteq_m m2(x \mapsto y)$
 $\langle \text{proof} \rangle$

lemma *map-le-upds* [*simp*]:
 $f \subseteq_m g \implies f(as \ [\mapsto] \ bs) \subseteq_m g(as \ [\mapsto] \ bs)$
 $\langle \text{proof} \rangle$

lemma *map-le-implies-dom-le*: $(f \subseteq_m g) \implies (\text{dom } f \subseteq \text{dom } g)$
 $\langle \text{proof} \rangle$

lemma *map-le-refl* [*simp*]: $f \subseteq_m f$
 $\langle \text{proof} \rangle$

lemma *map-le-trans* [*trans*]: $\llbracket m1 \subseteq_m m2; m2 \subseteq_m m3 \rrbracket \implies m1 \subseteq_m m3$
 $\langle \text{proof} \rangle$

lemma *map-le-antisym*: $\llbracket f \subseteq_m g; g \subseteq_m f \rrbracket \implies f = g$
 $\langle \text{proof} \rangle$

lemma *map-le-map-add* [*simp*]: $f \subseteq_m g \ ++ \ f$
 $\langle \text{proof} \rangle$

lemma *map-le-iff-map-add-commute*: $f \subseteq_m f \ ++ \ g \longleftrightarrow f \ ++ \ g = g \ ++ \ f$
 $\langle \text{proof} \rangle$

lemma *map-add-le-mapE*: $f \ ++ \ g \subseteq_m h \implies g \subseteq_m h$
 $\langle \text{proof} \rangle$

lemma *map-add-le-mapI*: $\llbracket f \subseteq_m h; g \subseteq_m h \rrbracket \implies f \ ++ \ g \subseteq_m h$
 $\langle \text{proof} \rangle$

lemma *map-add-subsumed1*: $f \subseteq_m g \implies f \ ++ \ g = g$
 $\langle \text{proof} \rangle$

lemma *map-add-subsumed2*: $f \subseteq_m g \implies g++f = g$
 $\langle \text{proof} \rangle$

lemma *dom-eq-singleton-conv*: $\text{dom } f = \{x\} \longleftrightarrow (\exists v. f = [x \mapsto v])$
 (is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

70.12 Various

lemma *set-map-of-compr*:
 assumes *distinct*: *distinct* (map fst xs)
 shows $\text{set } xs = \{(k, v). \text{map-of } xs \ k = \text{Some } v\}$
 $\langle \text{proof} \rangle$

lemma *map-of-inject-set*:
 assumes *distinct*: *distinct* (map fst xs) *distinct* (map fst ys)
 shows $\text{map-of } xs = \text{map-of } ys \longleftrightarrow \text{set } xs = \text{set } ys$ (is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

end

71 Finite types as explicit enumerations

theory *Enum*
imports *Map Groups-List*
begin

71.1 Class *enum*

class *enum* =
 fixes *enum* :: 'a list
 fixes *enum-all* :: ('a \Rightarrow bool) \Rightarrow bool
 fixes *enum-ex* :: ('a \Rightarrow bool) \Rightarrow bool
 assumes *UNIV-enum*: $\text{UNIV} = \text{set } \text{enum}$
 and *enum-distinct*: *distinct* *enum*
 assumes *enum-all-UNIV*: $\text{enum-all } P \longleftrightarrow \text{Ball } \text{UNIV } P$
 assumes *enum-ex-UNIV*: $\text{enum-ex } P \longleftrightarrow \text{Bex } \text{UNIV } P$
 — tailored towards simple instantiation
begin

subclass *finite* $\langle \text{proof} \rangle$

lemma *enum-UNIV*:
 $\text{set } \text{enum} = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *in-enum*: $x \in \text{set } \text{enum}$
 $\langle \text{proof} \rangle$

```

lemma enum-eq-I:
  assumes  $\bigwedge x. x \in \text{set } xs$ 
  shows  $\text{set } \text{enum} = \text{set } xs$ 
   $\langle \text{proof} \rangle$ 

lemma card-UNIV-length-enum:
   $\text{card } (\text{UNIV} :: 'a \text{ set}) = \text{length } \text{enum}$ 
   $\langle \text{proof} \rangle$ 

lemma enum-all [simp]:
   $\text{enum-all} = \text{HOL.All}$ 
   $\langle \text{proof} \rangle$ 

lemma enum-ex [simp]:
   $\text{enum-ex} = \text{HOL.Ex}$ 
   $\langle \text{proof} \rangle$ 

end

```

71.2 Implementations using *enum*

71.2.1 Unbounded operations and quantifiers

```

lemma Collect-code [code]:
   $\text{Collect } P = \text{set } (\text{filter } P \text{ enum})$ 
   $\langle \text{proof} \rangle$ 

lemma vimage-code [code]:
   $f - ' B = \text{set } (\text{filter } (\%x. f \ x : B) \text{ enum-class.enum})$ 
   $\langle \text{proof} \rangle$ 

definition card-UNIV ::  $'a \text{ itself} \Rightarrow \text{nat}$ 
where
  [code del]:  $\text{card-UNIV TYPE}('a) = \text{card } (\text{UNIV} :: 'a \text{ set})$ 

lemma [code]:
   $\text{card-UNIV TYPE}('a :: \text{enum}) = \text{card } (\text{set } (\text{Enum.enum} :: 'a \text{ list}))$ 
   $\langle \text{proof} \rangle$ 

lemma all-code [code]:  $(\forall x. P \ x) \longleftrightarrow \text{enum-all } P$ 
   $\langle \text{proof} \rangle$ 

lemma exists-code [code]:  $(\exists x. P \ x) \longleftrightarrow \text{enum-ex } P$ 
   $\langle \text{proof} \rangle$ 

lemma exists1-code [code]:  $(\exists !x. P \ x) \longleftrightarrow \text{list-ex1 } P \text{ enum}$ 
   $\langle \text{proof} \rangle$ 

```

71.2.2 An executable choice operator

definition

[code del]: enum-the = The

lemma *[code]:*

The P = (case filter P enum of [x] => x | - => enum-the P)
<proof>

declare *[[code abort: enum-the]]*

code-printing

constant *enum-the* \rightarrow (Eval) (fn ' - => raise Match)

71.2.3 Equality and order on functions

instantiation *fun :: (enum, equal) equal*
begin

definition

HOL.equal f g \longleftrightarrow ($\forall x \in \text{set enum. } f x = g x$)

instance *<proof>*

end

lemma *[code]:*

HOL.equal f g \longleftrightarrow enum-all ($\%x. f x = g x$)
<proof>

lemma *[code nbe]:*

HOL.equal (f :: - \Rightarrow -) f \longleftrightarrow True
<proof>

lemma *order-fun [code]:*

fixes *f g :: 'a::enum \Rightarrow 'b::order*
shows *f \leq g \longleftrightarrow enum-all ($\lambda x. f x \leq g x$)*
and *f < g \longleftrightarrow f \leq g \wedge enum-ex ($\lambda x. f x \neq g x$)*
<proof>

71.2.4 Operations on relations

lemma *[code]:*

Id = image ($\lambda x. (x, x)$) (set Enum.enum)
<proof>

lemma *trancp-unfold [code]:*

trancp r a b \longleftrightarrow (a, b) \in tranc1 {(x, y). r x y}
<proof>

lemma *rtrancpl-rtrancleq* [code]:

$$rtrancpl\ r\ x\ y \longleftrightarrow (x, y) \in rtrancle\ \{(x, y).\ r\ x\ y\}$$

$$\langle proof \rangle$$

lemma *max-ext-eq* [code]:

$$max-ext\ R = \{(X, Y). \text{finite } X \wedge \text{finite } Y \wedge Y \neq \{\} \wedge (\forall x. x \in X \longrightarrow (\exists xa \in Y. (x, xa) \in R))\}$$

$$\langle proof \rangle$$

lemma *max-extp-eq* [code]:

$$max-extp\ r\ x\ y \longleftrightarrow (x, y) \in max-ext\ \{(x, y). \ r\ x\ y\}$$

$$\langle proof \rangle$$

lemma *mlex-eq* [code]:

$$f <^*mlex^* R = \{(x, y). f\ x < f\ y \vee (f\ x \leq f\ y \wedge (x, y) \in R)\}$$

$$\langle proof \rangle$$

71.2.5 Bounded accessible part

primrec *bacc* :: ('a × 'a) set ⇒ nat ⇒ 'a set

where

$$bacc\ r\ 0 = \{x. \forall y. (y, x) \notin r\}$$

$$| \ bacc\ r\ (Suc\ n) = (bacc\ r\ n \cup \{x. \forall y. (y, x) \in r \longrightarrow y \in bacc\ r\ n\})$$

lemma *bacc-subseteq-acc*:

$$bacc\ r\ n \subseteq Wellfounded.acc\ r$$

$$\langle proof \rangle$$

lemma *bacc-mono*:

$$n \leq m \implies bacc\ r\ n \subseteq bacc\ r\ m$$

$$\langle proof \rangle$$

lemma *bacc-upper-bound*:

$$bacc\ (r :: ('a \times 'a)\ set)\ (card\ (UNIV :: 'a::finite\ set)) = (\bigcup n. bacc\ r\ n)$$

$$\langle proof \rangle$$

lemma *acc-subseteq-bacc*:
assumes *finite r*
shows $Wellfounded.acc\ r \subseteq (\bigcup n. bacc\ r\ n)$

$$\langle proof \rangle$$

lemma *acc-bacc-eq*:
fixes $A :: ('a :: finite \times 'a)\ set$
assumes *finite A*
shows $Wellfounded.acc\ A = bacc\ A\ (card\ (UNIV :: 'a\ set))$

$$\langle proof \rangle$$

lemma [code]:
fixes $xs :: ('a::finite \times 'a)\ list$

shows $Wellfounded.acc\ (set\ xs) = bacc\ (set\ xs)\ (card-UNIV\ TYPE('a))$
 $\langle proof \rangle$

71.3 Default instances for *enum*

lemma *map-of-zip-enum-is-Some*:

assumes $length\ ys = length\ (enum :: 'a::enum\ list)$
shows $\exists y. map-of\ (zip\ (enum :: 'a::enum\ list)\ ys)\ x = Some\ y$
 $\langle proof \rangle$

lemma *map-of-zip-enum-inject*:

fixes $xs\ ys :: 'b::enum\ list$
assumes $length: length\ xs = length\ (enum :: 'a::enum\ list)$
 $length\ ys = length\ (enum :: 'a::enum\ list)$
and $map-of: the\ \circ\ map-of\ (zip\ (enum :: 'a::enum\ list)\ xs) = the\ \circ\ map-of\ (zip$
 $(enum :: 'a::enum\ list)\ ys)$
shows $xs = ys$
 $\langle proof \rangle$

definition *all-n-lists* $:: ((a :: enum)\ list \Rightarrow bool) \Rightarrow nat \Rightarrow bool$

where

$all-n-lists\ P\ n \longleftrightarrow (\forall xs \in set\ (List.n-lists\ n\ enum). P\ xs)$

lemma *[code]*:

$all-n-lists\ P\ n \longleftrightarrow (if\ n = 0\ then\ P\ []\ else\ enum-all\ (\%x. all-n-lists\ (\%xs. P\ (x$
 $\# xs))\ (n - 1)))$
 $\langle proof \rangle$

definition *ex-n-lists* $:: ((a :: enum)\ list \Rightarrow bool) \Rightarrow nat \Rightarrow bool$

where

$ex-n-lists\ P\ n \longleftrightarrow (\exists xs \in set\ (List.n-lists\ n\ enum). P\ xs)$

lemma *[code]*:

$ex-n-lists\ P\ n \longleftrightarrow (if\ n = 0\ then\ P\ []\ else\ enum-ex\ (\%x. ex-n-lists\ (\%xs. P\ (x$
 $\# xs))\ (n - 1)))$
 $\langle proof \rangle$

instantiation *fun* $:: (enum, enum)\ enum$

begin

definition

$enum = map\ (\lambda ys. the\ \circ\ map-of\ (zip\ (enum::'a\ list)\ ys))\ (List.n-lists\ (length$
 $(enum::'a::enum\ list))\ enum)$

definition

$enum-all\ P = all-n-lists\ (\lambda bs. P\ (the\ \circ\ map-of\ (zip\ enum\ bs)))\ (length\ (enum ::$
 $'a\ list))$

definition

enum-ex $P = \text{ex-n-lists } (\lambda bs. P \text{ (the o map-of (zip enum bs))) } (\text{length } (\text{enum} :: 'a \text{ list}))$

instance $\langle \text{proof} \rangle$

end

lemma *enum-fun-code* [code]: $\text{enum} = (\text{let enum-a} = (\text{enum} :: 'a :: \{\text{enum}, \text{equal}\} \text{ list})$

$\text{in map } (\lambda ys. \text{the o map-of (zip enum-a ys)}) (\text{List.n-lists } (\text{length enum-a}) \text{ enum}))$
 $\langle \text{proof} \rangle$

lemma *enum-all-fun-code* [code]:

$\text{enum-all } P = (\text{let enum-a} = (\text{enum} :: 'a :: \{\text{enum}, \text{equal}\} \text{ list})$
 $\text{in all-n-lists } (\lambda bs. P \text{ (the o map-of (zip enum-a bs))) } (\text{length enum-a}))$
 $\langle \text{proof} \rangle$

lemma *enum-ex-fun-code* [code]:

$\text{enum-ex } P = (\text{let enum-a} = (\text{enum} :: 'a :: \{\text{enum}, \text{equal}\} \text{ list})$
 $\text{in ex-n-lists } (\lambda bs. P \text{ (the o map-of (zip enum-a bs))) } (\text{length enum-a}))$
 $\langle \text{proof} \rangle$

instantiation $\text{set} :: (\text{enum}) \text{ enum}$

begin

definition

$\text{enum} = \text{map set (subseqs enum)}$

definition

$\text{enum-all } P \longleftrightarrow (\forall A \in \text{set enum. } P \text{ (A :: 'a set)})$

definition

$\text{enum-ex } P \longleftrightarrow (\exists A \in \text{set enum. } P \text{ (A :: 'a set)})$

instance $\langle \text{proof} \rangle$

end

instantiation $\text{unit} :: \text{enum}$

begin

definition

$\text{enum} = [()]$

definition

$\text{enum-all } P = P \text{ ()}$

definition

$\text{enum-ex } P = P \text{ ()}$

```

instance ⟨proof⟩

end

instantiation bool :: enum
begin

definition
  enum = [False, True]

definition
  enum-all P  $\longleftrightarrow$  P False  $\wedge$  P True

definition
  enum-ex P  $\longleftrightarrow$  P False  $\vee$  P True

instance ⟨proof⟩

end

instantiation prod :: (enum, enum) enum
begin

definition
  enum = List.product enum enum

definition
  enum-all P = enum-all (%x. enum-all (%y. P (x, y)))

definition
  enum-ex P = enum-ex (%x. enum-ex (%y. P (x, y)))

instance
  ⟨proof⟩

end

instantiation sum :: (enum, enum) enum
begin

definition
  enum = map Inl enum @ map Inr enum

definition
  enum-all P  $\longleftrightarrow$  enum-all ( $\lambda x$ . P (Inl x))  $\wedge$  enum-all ( $\lambda x$ . P (Inr x))

definition

```

```

    enum-ex P  $\longleftrightarrow$  enum-ex ( $\lambda x. P \text{ (Inl } x)$ )  $\vee$  enum-ex ( $\lambda x. P \text{ (Inr } x)$ )

instance  $\langle \text{proof} \rangle$ 

end

instantiation option :: (enum) enum
begin

definition
  enum = None # map Some enum

definition
  enum-all P  $\longleftrightarrow$  P None  $\wedge$  enum-all ( $\lambda x. P \text{ (Some } x)$ )

definition
  enum-ex P  $\longleftrightarrow$  P None  $\vee$  enum-ex ( $\lambda x. P \text{ (Some } x)$ )

instance  $\langle \text{proof} \rangle$ 

end

```

71.4 Small finite types

We define small finite types for use in Quickcheck

```

datatype (plugins only: code quickcheck extraction) finite-1 =
  a1

```

```

notation (output) a1 (a1)

```

```

lemma UNIV-finite-1:
  UNIV = {a1}
   $\langle \text{proof} \rangle$ 

```

```

instantiation finite-1 :: enum
begin

```

```

definition
  enum = [a1]

```

```

definition
  enum-all P = P a1

```

```

definition
  enum-ex P = P a1

```

```

instance  $\langle \text{proof} \rangle$ 

end

```


instantiation *finite-1* :: *linorder*
begin

definition *less-finite-1* :: *finite-1* \Rightarrow *finite-1* \Rightarrow *bool*
where
 $x < (y :: \text{finite-1}) \longleftrightarrow \text{False}$

definition *less-eq-finite-1* :: *finite-1* \Rightarrow *finite-1* \Rightarrow *bool*
where
 $x \leq (y :: \text{finite-1}) \longleftrightarrow \text{True}$

instance
 $\langle \text{proof} \rangle$

end

instance *finite-1* :: {*dense-linorder*, *wellorder*}
 $\langle \text{proof} \rangle$

instantiation *finite-1* :: *complete-lattice*
begin

definition [*simp*]: *Inf* = (λ -. *a*₁)
definition [*simp*]: *Sup* = (λ -. *a*₁)
definition [*simp*]: *bot* = *a*₁
definition [*simp*]: *top* = *a*₁
definition [*simp*]: *inf* = (λ -. *a*₁)
definition [*simp*]: *sup* = (λ -. *a*₁)

instance $\langle \text{proof} \rangle$
end

instance *finite-1* :: *complete-distrib-lattice*
 $\langle \text{proof} \rangle$

instance *finite-1* :: *complete-linorder* $\langle \text{proof} \rangle$

lemma *finite-1-eq*: $x = a_1$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

instantiation *finite-1* :: *complete-boolean-algebra*
begin

definition [*simp*]: *op* $-$ = (λ -. *a*₁)
definition [*simp*]: *uminus* = (λ -. *a*₁)
instance $\langle \text{proof} \rangle$
end

```

instantiation finite-1 ::
  {linordered-ring-strict, linordered-comm-semiring-strict, ordered-comm-ring,
   ordered-cancel-comm-monoid-diff, comm-monoid-mult, ordered-ring-abs,
   one, modulo, sgn, inverse}
begin
definition [simp]: Groups.zero =  $a_1$ 
definition [simp]: Groups.one =  $a_1$ 
definition [simp]:  $op + = (\lambda - . a_1)$ 
definition [simp]:  $op * = (\lambda - . a_1)$ 
definition [simp]:  $op \text{ mod} = (\lambda - . a_1)$ 
definition [simp]:  $abs = (\lambda - . a_1)$ 
definition [simp]:  $sgn = (\lambda - . a_1)$ 
definition [simp]:  $inverse = (\lambda - . a_1)$ 
definition [simp]:  $divide = (\lambda - . a_1)$ 

instance  $\langle proof \rangle$ 
end

declare [[simproc del: finite-1-eq]]
hide-const (open)  $a_1$ 

datatype (plugins only: code quickcheck extraction) finite-2 =
   $a_1 \mid a_2$ 

notation (output)  $a_1$  ( $a_1$ )
notation (output)  $a_2$  ( $a_2$ )

lemma UNIV-finite-2:
   $UNIV = \{a_1, a_2\}$ 
   $\langle proof \rangle$ 

instantiation finite-2 :: enum
begin

definition
   $enum = [a_1, a_2]$ 

definition
   $enum\text{-}all\ P \longleftrightarrow P\ a_1 \wedge P\ a_2$ 

definition
   $enum\text{-}ex\ P \longleftrightarrow P\ a_1 \vee P\ a_2$ 

instance  $\langle proof \rangle$ 

end

instantiation finite-2 :: linorder

```

begin

definition *less-finite-2* :: *finite-2* \Rightarrow *finite-2* \Rightarrow *bool*

where

$$x < y \longleftrightarrow x = a_1 \wedge y = a_2$$

definition *less-eq-finite-2* :: *finite-2* \Rightarrow *finite-2* \Rightarrow *bool*

where

$$x \leq y \longleftrightarrow x = y \vee x < (y :: \text{finite-2})$$

instance

$\langle \text{proof} \rangle$

end

instance *finite-2* :: *wellorder*

$\langle \text{proof} \rangle$

instantiation *finite-2* :: *complete-lattice*

begin

definition $\sqcap A = (\text{if } a_1 \in A \text{ then } a_1 \text{ else } a_2)$

definition $\sqcup A = (\text{if } a_2 \in A \text{ then } a_2 \text{ else } a_1)$

definition $[simp]: \text{bot} = a_1$

definition $[simp]: \text{top} = a_2$

definition $x \sqcap y = (\text{if } x = a_1 \vee y = a_1 \text{ then } a_1 \text{ else } a_2)$

definition $x \sqcup y = (\text{if } x = a_2 \vee y = a_2 \text{ then } a_2 \text{ else } a_1)$

lemma *neq-finite-2-a1-iff* $[simp]: x \neq a_1 \longleftrightarrow x = a_2$

$\langle \text{proof} \rangle$

lemma *neq-finite-2-a1-iff'* $[simp]: a_1 \neq x \longleftrightarrow x = a_2$

$\langle \text{proof} \rangle$

lemma *neq-finite-2-a2-iff* $[simp]: x \neq a_2 \longleftrightarrow x = a_1$

$\langle \text{proof} \rangle$

lemma *neq-finite-2-a2-iff'* $[simp]: a_2 \neq x \longleftrightarrow x = a_1$

$\langle \text{proof} \rangle$

instance

$\langle \text{proof} \rangle$

end

instance *finite-2* :: *complete-distrib-lattice*

$\langle \text{proof} \rangle$

instance *finite-2* :: *complete-linorder* $\langle \text{proof} \rangle$

```

instantiation finite-2 :: {field, idom-abs-sgn} begin
definition [simp]:  $0 = a_1$ 
definition [simp]:  $1 = a_2$ 
definition  $x + y = (\text{case } (x, y) \text{ of } (a_1, a_1) \Rightarrow a_1 \mid (a_2, a_2) \Rightarrow a_1 \mid - \Rightarrow a_2)$ 
definition uminus =  $(\lambda x :: \text{finite-2}. x)$ 
definition  $op - = (op + :: \text{finite-2} \Rightarrow -)$ 
definition  $x * y = (\text{case } (x, y) \text{ of } (a_2, a_2) \Rightarrow a_2 \mid - \Rightarrow a_1)$ 
definition inverse =  $(\lambda x :: \text{finite-2}. x)$ 
definition  $divide = (op * :: \text{finite-2} \Rightarrow -)$ 
definition abs =  $(\lambda x :: \text{finite-2}. x)$ 
definition sgn =  $(\lambda x :: \text{finite-2}. x)$ 
instance
   $\langle \text{proof} \rangle$ 
end

```

```

lemma two-finite-2 [simp]:
   $2 = a_1$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma dvd-finite-2-unfold:
   $x \text{ dvd } y \longleftrightarrow x = a_2 \vee y = a_1$ 
   $\langle \text{proof} \rangle$ 

```

```

instantiation finite-2 :: {ring-div, normalization-semidom} begin
definition [simp]: normalize =  $(id :: \text{finite-2} \Rightarrow -)$ 
definition [simp]: unit-factor =  $(id :: \text{finite-2} \Rightarrow -)$ 
definition  $x \bmod y = (\text{case } (x, y) \text{ of } (a_2, a_1) \Rightarrow a_2 \mid - \Rightarrow a_1)$ 
instance
   $\langle \text{proof} \rangle$ 
end

```

```

hide-const (open)  $a_1 \ a_2$ 

```

```

datatype (plugins only: code quickcheck extraction) finite-3 =
   $a_1 \mid a_2 \mid a_3$ 

```

```

notation (output)  $a_1 \ (a_1)$ 
notation (output)  $a_2 \ (a_2)$ 
notation (output)  $a_3 \ (a_3)$ 

```

```

lemma UNIV-finite-3:
   $UNIV = \{a_1, a_2, a_3\}$ 
   $\langle \text{proof} \rangle$ 

```

```

instantiation finite-3 :: enum
begin

```

```

definition

```

$enum = [a_1, a_2, a_3]$

definition

$enum-all\ P \longleftrightarrow P\ a_1 \wedge P\ a_2 \wedge P\ a_3$

definition

$enum-ex\ P \longleftrightarrow P\ a_1 \vee P\ a_2 \vee P\ a_3$

instance $\langle proof \rangle$

end

lemma *finite-3-not-eq-unfold*:

$x \neq a_1 \longleftrightarrow x \in \{a_2, a_3\}$

$x \neq a_2 \longleftrightarrow x \in \{a_1, a_3\}$

$x \neq a_3 \longleftrightarrow x \in \{a_1, a_2\}$

$\langle proof \rangle$

instantiation *finite-3 :: linorder*

begin

definition *less-finite-3 :: finite-3 \Rightarrow finite-3 \Rightarrow bool*

where

$x < y = (case\ x\ of\ a_1 \Rightarrow y \neq a_1 \mid a_2 \Rightarrow y = a_3 \mid a_3 \Rightarrow False)$

definition *less-eq-finite-3 :: finite-3 \Rightarrow finite-3 \Rightarrow bool*

where

$x \leq y \longleftrightarrow x = y \vee x < (y :: finite-3)$

instance $\langle proof \rangle$

end

instance *finite-3 :: wellorder*

$\langle proof \rangle$

instantiation *finite-3 :: complete-lattice*

begin

definition $\sqcap A = (if\ a_1 \in A\ then\ a_1\ else\ if\ a_2 \in A\ then\ a_2\ else\ a_3)$

definition $\sqcup A = (if\ a_3 \in A\ then\ a_3\ else\ if\ a_2 \in A\ then\ a_2\ else\ a_1)$

definition $[simp]: bot = a_1$

definition $[simp]: top = a_3$

definition $[simp]: inf = (min :: finite-3 \Rightarrow -)$

definition $[simp]: sup = (max :: finite-3 \Rightarrow -)$

instance

$\langle proof \rangle$

end

instance *finite-3* :: *complete-distrib-lattice*

<proof>

instance *finite-3* :: *complete-linorder* *<proof>*

instantiation *finite-3* :: {*field*, *idom-abs-sgn*} **begin**

definition [*simp*]: $0 = a_1$

definition [*simp*]: $1 = a_2$

definition

$x + y = (\text{case } (x, y) \text{ of}$
 $(a_1, a_1) \Rightarrow a_1 \mid (a_2, a_3) \Rightarrow a_1 \mid (a_3, a_2) \Rightarrow a_1$
 $\mid (a_1, a_2) \Rightarrow a_2 \mid (a_2, a_1) \Rightarrow a_2 \mid (a_3, a_3) \Rightarrow a_2$
 $\mid - \Rightarrow a_3)$

definition $- x = (\text{case } x \text{ of } a_1 \Rightarrow a_1 \mid a_2 \Rightarrow a_3 \mid a_3 \Rightarrow a_2)$

definition $x - y = x + (- y :: \text{finite-3})$

definition $x * y = (\text{case } (x, y) \text{ of } (a_2, a_2) \Rightarrow a_2 \mid (a_3, a_3) \Rightarrow a_2 \mid (a_2, a_3) \Rightarrow$
 $a_3 \mid (a_3, a_2) \Rightarrow a_3 \mid - \Rightarrow a_1)$

definition *inverse* = $(\lambda x :: \text{finite-3}. x)$

definition $x \text{ div } y = x * \text{inverse } (y :: \text{finite-3})$

definition *abs* = $(\lambda x. \text{case } x \text{ of } a_3 \Rightarrow a_2 \mid - \Rightarrow x)$

definition *sgn* = $(\lambda x :: \text{finite-3}. x)$

instance

<proof>

end

lemma *two-finite-3* [*simp*]:

$2 = a_3$

<proof>

lemma *dvd-finite-3-unfold*:

$x \text{ dvd } y \longleftrightarrow x = a_2 \vee x = a_3 \vee y = a_1$

<proof>

instantiation *finite-3* :: {*ring-div*, *normalization-semidom*} **begin**

definition *normalize* $x = (\text{case } x \text{ of } a_3 \Rightarrow a_2 \mid - \Rightarrow x)$

definition [*simp*]: *unit-factor* = $(\text{id} :: \text{finite-3} \Rightarrow -)$

definition $x \text{ mod } y = (\text{case } (x, y) \text{ of } (a_2, a_1) \Rightarrow a_2 \mid (a_3, a_1) \Rightarrow a_3 \mid - \Rightarrow a_1)$

instance

<proof>

end

hide-const (**open**) $a_1 \ a_2 \ a_3$

datatype (*plugins only: code quickcheck extraction*) *finite-4* =

$a_1 \mid a_2 \mid a_3 \mid a_4$

notation (output) a_1 (a_1)
notation (output) a_2 (a_2)
notation (output) a_3 (a_3)
notation (output) a_4 (a_4)

lemma *UNIV-finite-4*:

$UNIV = \{a_1, a_2, a_3, a_4\}$
 $\langle proof \rangle$

instantiation *finite-4* :: *enum*
begin

definition

$enum = [a_1, a_2, a_3, a_4]$

definition

$enum-all\ P \longleftrightarrow P\ a_1 \wedge P\ a_2 \wedge P\ a_3 \wedge P\ a_4$

definition

$enum-ex\ P \longleftrightarrow P\ a_1 \vee P\ a_2 \vee P\ a_3 \vee P\ a_4$

instance $\langle proof \rangle$

end

instantiation *finite-4* :: *complete-lattice* **begin**

$a_1 < a_2, a_3 < a_4$, but a_2 and a_3 are incomparable.

definition

$x < y \longleftrightarrow$ (case (x, y) of
 $(a_1, a_1) \Rightarrow False \mid (a_1, -) \Rightarrow True$
 $\mid (a_2, a_4) \Rightarrow True$
 $\mid (a_3, a_4) \Rightarrow True \mid - \Rightarrow False)$

definition

$x \leq y \longleftrightarrow$ (case (x, y) of
 $(a_1, -) \Rightarrow True$
 $\mid (a_2, a_2) \Rightarrow True \mid (a_2, a_4) \Rightarrow True$
 $\mid (a_3, a_3) \Rightarrow True \mid (a_3, a_4) \Rightarrow True$
 $\mid (a_4, a_4) \Rightarrow True \mid - \Rightarrow False)$

definition

$\sqcap A =$ (if $a_1 \in A \vee a_2 \in A \wedge a_3 \in A$ then a_1 else if $a_2 \in A$ then a_2 else if $a_3 \in A$ then a_3 else a_4)

definition

$\sqcup A =$ (if $a_4 \in A \vee a_2 \in A \wedge a_3 \in A$ then a_4 else if $a_2 \in A$ then a_2 else if $a_3 \in A$ then a_3 else a_1)

definition [*simp*]: $bot = a_1$

definition [*simp*]: $top = a_4$

definition

$$x \sqcap y = (\text{case } (x, y) \text{ of}$$

$$\begin{array}{l} (a_1, -) \Rightarrow a_1 \mid (-, a_1) \Rightarrow a_1 \mid (a_2, a_3) \Rightarrow a_1 \mid (a_3, a_2) \Rightarrow a_1 \\ \mid (a_2, -) \Rightarrow a_2 \mid (-, a_2) \Rightarrow a_2 \\ \mid (a_3, -) \Rightarrow a_3 \mid (-, a_3) \Rightarrow a_3 \\ \mid - \Rightarrow a_4) \end{array}$$
definition

$$x \sqcup y = (\text{case } (x, y) \text{ of}$$

$$\begin{array}{l} (a_4, -) \Rightarrow a_4 \mid (-, a_4) \Rightarrow a_4 \mid (a_2, a_3) \Rightarrow a_4 \mid (a_3, a_2) \Rightarrow a_4 \\ \mid (a_2, -) \Rightarrow a_2 \mid (-, a_2) \Rightarrow a_2 \\ \mid (a_3, -) \Rightarrow a_3 \mid (-, a_3) \Rightarrow a_3 \\ \mid - \Rightarrow a_1) \end{array}$$
instance $\langle \text{proof} \rangle$ **end****instance** *finite-4* :: *complete-distrib-lattice* $\langle \text{proof} \rangle$ **instantiation** *finite-4* :: *complete-boolean-algebra* **begin****definition** $- x = (\text{case } x \text{ of } a_1 \Rightarrow a_4 \mid a_2 \Rightarrow a_3 \mid a_3 \Rightarrow a_2 \mid a_4 \Rightarrow a_1)$ **definition** $x - y = x \sqcap - (y :: \text{finite-4})$ **instance** $\langle \text{proof} \rangle$ **end****hide-const** (**open**) $a_1 \ a_2 \ a_3 \ a_4$ **datatype** (*plugins only: code quickcheck extraction*) *finite-5* = $a_1 \mid a_2 \mid a_3 \mid a_4 \mid a_5$ **notation** (**output**) $a_1 \ (a_1)$ **notation** (**output**) $a_2 \ (a_2)$ **notation** (**output**) $a_3 \ (a_3)$ **notation** (**output**) $a_4 \ (a_4)$ **notation** (**output**) $a_5 \ (a_5)$ **lemma** *UNIV-finite-5*: $UNIV = \{a_1, a_2, a_3, a_4, a_5\}$ $\langle \text{proof} \rangle$ **instantiation** *finite-5* :: *enum***begin****definition** $enum = [a_1, a_2, a_3, a_4, a_5]$

definition

$$\text{enum-all } P \longleftrightarrow P \ a_1 \wedge P \ a_2 \wedge P \ a_3 \wedge P \ a_4 \wedge P \ a_5$$
definition

$$\text{enum-ex } P \longleftrightarrow P \ a_1 \vee P \ a_2 \vee P \ a_3 \vee P \ a_4 \vee P \ a_5$$
instance $\langle \text{proof} \rangle$ **end**

instantiation *finite-5 :: complete-lattice*
begin

The non-distributive pentagon lattice N_5

definition

$$\begin{aligned} x < y &\longleftrightarrow (\text{case } (x, y) \text{ of} \\ &\quad (a_1, a_1) \Rightarrow \text{False} \mid (a_1, -) \Rightarrow \text{True} \\ &\quad \mid (a_2, a_3) \Rightarrow \text{True} \mid (a_2, a_5) \Rightarrow \text{True} \\ &\quad \mid (a_3, a_5) \Rightarrow \text{True} \\ &\quad \mid (a_4, a_5) \Rightarrow \text{True} \mid - \Rightarrow \text{False}) \end{aligned}$$
definition

$$\begin{aligned} x \leq y &\longleftrightarrow (\text{case } (x, y) \text{ of} \\ &\quad (a_1, -) \Rightarrow \text{True} \\ &\quad \mid (a_2, a_2) \Rightarrow \text{True} \mid (a_2, a_3) \Rightarrow \text{True} \mid (a_2, a_5) \Rightarrow \text{True} \\ &\quad \mid (a_3, a_3) \Rightarrow \text{True} \mid (a_3, a_5) \Rightarrow \text{True} \\ &\quad \mid (a_4, a_4) \Rightarrow \text{True} \mid (a_4, a_5) \Rightarrow \text{True} \\ &\quad \mid (a_5, a_5) \Rightarrow \text{True} \mid - \Rightarrow \text{False}) \end{aligned}$$
definition

$$\begin{aligned} \sqcap A = & \\ & (\text{if } a_1 \in A \vee a_4 \in A \wedge (a_2 \in A \vee a_3 \in A) \text{ then } a_1 \\ & \text{else if } a_2 \in A \text{ then } a_2 \\ & \text{else if } a_3 \in A \text{ then } a_3 \\ & \text{else if } a_4 \in A \text{ then } a_4 \\ & \text{else } a_5) \end{aligned}$$
definition

$$\begin{aligned} \sqcup A = & \\ & (\text{if } a_5 \in A \vee a_4 \in A \wedge (a_2 \in A \vee a_3 \in A) \text{ then } a_5 \\ & \text{else if } a_3 \in A \text{ then } a_3 \\ & \text{else if } a_2 \in A \text{ then } a_2 \\ & \text{else if } a_4 \in A \text{ then } a_4 \\ & \text{else } a_1) \end{aligned}$$
definition $[\text{simp}]$: $\text{bot} = a_1$ **definition** $[\text{simp}]$: $\text{top} = a_5$ **definition**

$$\begin{aligned} x \sqcap y = & (\text{case } (x, y) \text{ of} \\ & (a_1, -) \Rightarrow a_1 \mid (-, a_1) \Rightarrow a_1 \mid (a_2, a_4) \Rightarrow a_1 \mid (a_4, a_2) \Rightarrow a_1 \mid (a_3, a_4) \Rightarrow a_1 \mid \\ & (a_4, a_3) \Rightarrow a_1 \end{aligned}$$

$$\begin{array}{l}
| (a_2, -) \Rightarrow a_2 \mid (-, a_2) \Rightarrow a_2 \\
| (a_3, -) \Rightarrow a_3 \mid (-, a_3) \Rightarrow a_3 \\
| (a_4, -) \Rightarrow a_4 \mid (-, a_4) \Rightarrow a_4 \\
| - \Rightarrow a_5)
\end{array}$$
definition

$$\begin{array}{l}
x \sqcup y = (\text{case } (x, y) \text{ of} \\
\quad (a_5, -) \Rightarrow a_5 \mid (-, a_5) \Rightarrow a_5 \mid (a_2, a_4) \Rightarrow a_5 \mid (a_4, a_2) \Rightarrow a_5 \mid (a_3, a_4) \Rightarrow a_5 \mid \\
(a_4, a_3) \Rightarrow a_5 \\
\quad | (a_3, -) \Rightarrow a_3 \mid (-, a_3) \Rightarrow a_3 \\
\quad | (a_2, -) \Rightarrow a_2 \mid (-, a_2) \Rightarrow a_2 \\
\quad | (a_4, -) \Rightarrow a_4 \mid (-, a_4) \Rightarrow a_4 \\
\quad | - \Rightarrow a_1)
\end{array}$$
instance $\langle \text{proof} \rangle$ **end****hide-const** (open) $a_1 \ a_2 \ a_3 \ a_4 \ a_5$

71.5 Closing up

hide-type (open) *finite-1 finite-2 finite-3 finite-4 finite-5***hide-const** (open) *enum enum-all enum-ex all-n-lists ex-n-lists ntranc1***end**

72 Character and string types

theory *String***imports** *Enum***begin**

72.1 Characters and strings

72.1.1 Characters as finite algebraic type

typedef *char* = $\{n::\text{nat}. n < 256\}$ **morphisms** *nat-of-char Abs-char* $\langle \text{proof} \rangle$ **setup-lifting** *type-definition-char***definition** *char-of-nat* :: $\text{nat} \Rightarrow \text{char}$ **where***char-of-nat* $n = \text{Abs-char } (n \bmod 256)$ **lemma** *char-cases* [*case-names char-of-nat, cases type: char*]: $(\bigwedge n. c = \text{char-of-nat } n \Longrightarrow n < 256 \Longrightarrow P) \Longrightarrow P$

$\langle \text{proof} \rangle$

lemma *char-of-nat-of-char* [simp]:
 $\text{char-of-nat } (\text{nat-of-char } c) = c$
 $\langle \text{proof} \rangle$

lemma *inj-nat-of-char*:
 inj nat-of-char
 $\langle \text{proof} \rangle$

lemma *nat-of-char-eq-iff* [simp]:
 $\text{nat-of-char } c = \text{nat-of-char } d \longleftrightarrow c = d$
 $\langle \text{proof} \rangle$

lemma *nat-of-char-of-nat* [simp]:
 $\text{nat-of-char } (\text{char-of-nat } n) = n \bmod 256$
 $\langle \text{proof} \rangle$

lemma *char-of-nat-mod-256* [simp]:
 $\text{char-of-nat } (n \bmod 256) = \text{char-of-nat } n$
 $\langle \text{proof} \rangle$

lemma *char-of-nat-quasi-inj* [simp]:
 $\text{char-of-nat } m = \text{char-of-nat } n \longleftrightarrow m \bmod 256 = n \bmod 256$
 $\langle \text{proof} \rangle$

lemma *inj-on-char-of-nat* [simp]:
 $\text{inj-on char-of-nat } \{..**256\}**$
 $\langle \text{proof} \rangle$

lemma *nat-of-char-mod-256* [simp]:
 $\text{nat-of-char } c \bmod 256 = \text{nat-of-char } c$
 $\langle \text{proof} \rangle$

lemma *nat-of-char-less-256* [simp]:
 $\text{nat-of-char } c < 256$
 $\langle \text{proof} \rangle$

lemma *UNIV-char-of-nat*:
 $\text{UNIV} = \text{char-of-nat } \{..**256\}**$
 $\langle \text{proof} \rangle$

lemma *card-UNIV-char*:
 $\text{card } (\text{UNIV} :: \text{char set}) = 256$
 $\langle \text{proof} \rangle$

lemma *range-nat-of-char*:
 $\text{range nat-of-char} = \{..**256\}**$
 $\langle \text{proof} \rangle$

72.1.2 Character literals as variant of numerals**instantiation** *char* :: *zero***begin****definition** *zero-char* :: *char***where** $0 = \text{char-of-nat } 0$ **instance** $\langle \text{proof} \rangle$ **end****definition** *Char* :: *num* \Rightarrow *char***where** $\text{Char } k = \text{char-of-nat } (\text{numeral } k)$ **code-datatype** *0* :: *char Char***lemma** *nat-of-char-zero* [*simp*]: $\text{nat-of-char } 0 = 0$ $\langle \text{proof} \rangle$ **lemma** *nat-of-char-Char* [*simp*]: $\text{nat-of-char } (\text{Char } k) = \text{numeral } k \bmod 256$ $\langle \text{proof} \rangle$ **lemma** *Char-eq-Char-iff*: $\text{Char } k = \text{Char } l \iff \text{numeral } k \bmod (256 :: \text{nat}) = \text{numeral } l \bmod 256 \text{ (is } ?P \iff ?Q)$ $\langle \text{proof} \rangle$ **lemma** *zero-eq-Char-iff*: $0 = \text{Char } k \iff \text{numeral } k \bmod (256 :: \text{nat}) = 0$ $\langle \text{proof} \rangle$ **lemma** *Char-eq-zero-iff*: $\text{Char } k = 0 \iff \text{numeral } k \bmod (256 :: \text{nat}) = 0$ $\langle \text{proof} \rangle$ $\langle \text{ML} \rangle$ **definition** *integer-of-char* :: *char* \Rightarrow *integer***where** $\text{integer-of-char} = \text{integer-of-nat} \circ \text{nat-of-char}$ **definition** *char-of-integer* :: *integer* \Rightarrow *char***where** $\text{char-of-integer} = \text{char-of-nat} \circ \text{nat-of-integer}$ **lemma** *integer-of-char-zero* [*simp*, *code*]: $\text{integer-of-char } 0 = 0$

<proof>

lemma *integer-of-char-Char* [*simp*]:
integer-of-char (Char *k*) = numeral *k* mod 256
<proof>

lemma *integer-of-char-Char-code* [*code*]:
integer-of-char (Char *k*) = integer-of-num *k* mod 256
<proof>

lemma *nat-of-char-code* [*code*]:
nat-of-char = *nat-of-integer* \circ *integer-of-char*
<proof>

lemma *char-of-nat-code* [*code*]:
char-of-nat = *char-of-integer* \circ *integer-of-nat*
<proof>

instantiation *char* :: *equal*
begin

definition *equal-char*
where *equal-char* (*c* :: *char*) *d* \longleftrightarrow *c* = *d*

instance
<proof>

end

lemma *equal-char-simps* [*code*]:
HOL.equal (0 :: *char*) 0 \longleftrightarrow *True*
HOL.equal (Char *k*) (Char *l*) \longleftrightarrow *HOL.equal* (numeral *k* mod 256 :: *nat*) (numeral
l mod 256)
HOL.equal 0 (Char *k*) \longleftrightarrow *HOL.equal* (numeral *k* mod 256 :: *nat*) 0
HOL.equal (Char *k*) 0 \longleftrightarrow *HOL.equal* (numeral *k* mod 256 :: *nat*) 0
<proof>

syntax
 -Char :: *str-position* \Rightarrow *char* (CHR -)
 -Char-ord :: *num-const* \Rightarrow *char* (CHR -)

type-synonym *string* = *char list*

syntax
 -String :: *str-position* \Rightarrow *string* (-)

<ML>

instantiation *char* :: *enum*

begin

definition

```
Enum.enum = [0, CHR 0x01, CHR 0x02, CHR 0x03,
  CHR 0x04, CHR 0x05, CHR 0x06, CHR 0x07,
  CHR 0x08, CHR 0x09, CHR "⌊", CHR 0x0B,
  CHR 0x0C, CHR 0x0D, CHR 0x0E, CHR 0x0F,
  CHR 0x10, CHR 0x11, CHR 0x12, CHR 0x13,
  CHR 0x14, CHR 0x15, CHR 0x16, CHR 0x17,
  CHR 0x18, CHR 0x19, CHR 0x1A, CHR 0x1B,
  CHR 0x1C, CHR 0x1D, CHR 0x1E, CHR 0x1F,
  CHR " ", CHR "!", CHR 0x22, CHR "#",
  CHR "$", CHR "%", CHR "&", CHR 0x27,
  CHR "(", CHR ")", CHR "*", CHR "+",
  CHR ",", CHR "-", CHR ".", CHR "/",
  CHR "0", CHR "1", CHR "2", CHR "3",
  CHR "4", CHR "5", CHR "6", CHR "7",
  CHR "8", CHR "9", CHR ":", CHR ";",
  CHR "<", CHR "=", CHR ">", CHR "?",
  CHR "@", CHR "A", CHR "B", CHR "C",
  CHR "D", CHR "E", CHR "F", CHR "G",
  CHR "H", CHR "I", CHR "J", CHR "K",
  CHR "L", CHR "M", CHR "N", CHR "O",
  CHR "P", CHR "Q", CHR "R", CHR "S",
  CHR "T", CHR "U", CHR "V", CHR "W",
  CHR "X", CHR "Y", CHR "Z", CHR "[",
  CHR 0x5C, CHR "]", CHR "^", CHR "_",
  CHR 0x60, CHR "a", CHR "b", CHR "c",
  CHR "d", CHR "e", CHR "f", CHR "g",
  CHR "h", CHR "i", CHR "j", CHR "k",
  CHR "l", CHR "m", CHR "n", CHR "o",
  CHR "p", CHR "q", CHR "r", CHR "s",
  CHR "t", CHR "u", CHR "v", CHR "w",
  CHR "x", CHR "y", CHR "z", CHR "{",
  CHR "|", CHR "}", CHR "~", CHR 0x7F,
  CHR 0x80, CHR 0x81, CHR 0x82, CHR 0x83,
  CHR 0x84, CHR 0x85, CHR 0x86, CHR 0x87,
  CHR 0x88, CHR 0x89, CHR 0x8A, CHR 0x8B,
  CHR 0x8C, CHR 0x8D, CHR 0x8E, CHR 0x8F,
  CHR 0x90, CHR 0x91, CHR 0x92, CHR 0x93,
  CHR 0x94, CHR 0x95, CHR 0x96, CHR 0x97,
  CHR 0x98, CHR 0x99, CHR 0x9A, CHR 0x9B,
  CHR 0x9C, CHR 0x9D, CHR 0x9E, CHR 0x9F,
  CHR 0xA0, CHR 0xA1, CHR 0xA2, CHR 0xA3,
  CHR 0xA4, CHR 0xA5, CHR 0xA6, CHR 0xA7,
  CHR 0xA8, CHR 0xA9, CHR 0xAA, CHR 0xAB,
  CHR 0xAC, CHR 0xAD, CHR 0xAE, CHR 0xAF,
  CHR 0xB0, CHR 0xB1, CHR 0xB2, CHR 0xB3,
  CHR 0xB4, CHR 0xB5, CHR 0xB6, CHR 0xB7,
```

```

CHR 0xB8, CHR 0xB9, CHR 0xBA, CHR 0xBB,
CHR 0xBC, CHR 0xBD, CHR 0xBE, CHR 0xBF,
CHR 0xC0, CHR 0xC1, CHR 0xC2, CHR 0xC3,
CHR 0xC4, CHR 0xC5, CHR 0xC6, CHR 0xC7,
CHR 0xC8, CHR 0xC9, CHR 0xCA, CHR 0xCB,
CHR 0xCC, CHR 0xCD, CHR 0xCE, CHR 0xCF,
CHR 0xD0, CHR 0xD1, CHR 0xD2, CHR 0xD3,
CHR 0xD4, CHR 0xD5, CHR 0xD6, CHR 0xD7,
CHR 0xD8, CHR 0xD9, CHR 0xDA, CHR 0xDB,
CHR 0xDC, CHR 0xDD, CHR 0xDE, CHR 0xDF,
CHR 0xE0, CHR 0xE1, CHR 0xE2, CHR 0xE3,
CHR 0xE4, CHR 0xE5, CHR 0xE6, CHR 0xE7,
CHR 0xE8, CHR 0xE9, CHR 0xEA, CHR 0xEB,
CHR 0xEC, CHR 0xED, CHR 0xEE, CHR 0xEF,
CHR 0xF0, CHR 0xF1, CHR 0xF2, CHR 0xF3,
CHR 0xF4, CHR 0xF5, CHR 0xF6, CHR 0xF7,
CHR 0xF8, CHR 0xF9, CHR 0xFA, CHR 0xFB,
CHR 0xFC, CHR 0xFD, CHR 0xFE, CHR 0xFF]

```

definition

Enum.enum-all $P \longleftrightarrow \text{list-all } P \text{ (Enum.enum :: char list)}$

definition

Enum.enum-ex $P \longleftrightarrow \text{list-ex } P \text{ (Enum.enum :: char list)}$

lemma *enum-char-unfold*:

Enum.enum = map *char-of-nat* [0..*256*]
 <proof>

instance <proof>**end****lemma** *char-of-integer-code* [*code*]:

char-of-integer $n = \text{Enum.enum} ! (\text{nat-of-integer } n \text{ mod } 256)$
 <proof>

lifting-update *char.lifting***lifting-forget** *char.lifting***72.2 Strings as dedicated type**

typedef *literal* = *UNIV* :: *string set*

morphisms *explode STR* <proof>

setup-lifting *type-definition-literal*

lemma *STR-inject'* [*simp*]:

STR $s = \text{STR } t \longleftrightarrow s = t$

$\langle proof \rangle$

definition *implode* :: *string* \Rightarrow *String.literal*

where

[code del]: *implode* = *STR*

instantiation *literal* :: *size*

begin

definition *size-literal* :: *literal* \Rightarrow *nat*

where

[code]: *size-literal* (*s*::*literal*) = 0

instance $\langle proof \rangle$

end

instantiation *literal* :: *equal*

begin

lift-definition *equal-literal* :: *literal* \Rightarrow *literal* \Rightarrow *bool* **is** *op* = $\langle proof \rangle$

instance $\langle proof \rangle$

end

declare *equal-literal.rep-eq*[code]

lemma [code nbe]:

fixes *s* :: *String.literal*

shows *HOL.equal s s* \longleftrightarrow *True*

$\langle proof \rangle$

lifting-update *literal.lifting*

lifting-forget *literal.lifting*

72.3 Dedicated conversion for generated computations

definition *char-of-num* :: *num* \Rightarrow *char*

where *char-of-num* = *char-of-nat o nat-of-num*

lemma [code-computation-unfold]:

Char = *char-of-num*

$\langle proof \rangle$

72.4 Code generator

$\langle ML \rangle$

code-reserved *SML string*


```
code-reserved OCaml string
code-reserved Scala string
```

```
code-printing
  type-constructor literal  $\rightarrow$ 
    (SML) string
    and (OCaml) string
    and (Haskell) String
    and (Scala) String
```

$\langle ML \rangle$

```
code-printing
  class-instance literal :: equal  $\rightarrow$ 
    (Haskell)  $-$ 
| constant HOL.equal :: literal  $\Rightarrow$  literal  $\Rightarrow$  bool  $\rightarrow$ 
  (SML) !((- : string) = -)
  and (OCaml) !((- : string) = -)
  and (Haskell) infix 4 ==
  and (Scala) infixl 5 ==
```

$\langle ML \rangle$

```
definition abort :: literal  $\Rightarrow$  (unit  $\Rightarrow$  'a)  $\Rightarrow$  'a
where [simp, code del]: abort - f = f ()
```

```
lemma abort-cong: msg = msg'  $\Rightarrow$  Code.abort msg f = Code.abort msg' f
<proof>
```

$\langle ML \rangle$

```
code-printing constant Code.abort  $\rightarrow$ 
  (SML) !(raise/ Fail/ -)
  and (OCaml) failwith
  and (Haskell) !(error/ ::/ forall a./ String  $\rightarrow$  ()  $\rightarrow$  a)  $\rightarrow$  a)
  and (Scala) !{/ sys.error((-);/ ((-)).apply()/ }
```

```
hide-type (open) literal
```

```
hide-const (open) implode explode
```

```
end
```

73 Reflecting Pure types into HOL

```
theory Typerep
imports String
begin
```

```

datatype typerep = Typerep String.literal typerep list

class typerep =
  fixes typerep :: 'a itself  $\Rightarrow$  typerep
begin

definition typerep-of :: 'a  $\Rightarrow$  typerep where
  [simp]: typerep-of x = typerep TYPE('a)

end

syntax
  -TYPEREP :: type  $\Rightarrow$  logic ((1TYPEREP/(1'(-'))))

 $\langle ML \rangle$ 

lemma [code]:
  HOL.equal (Typerep tyco1 tys1) (Typerep tyco2 tys2)  $\longleftrightarrow$  HOL.equal tyco1 tyco2
     $\wedge$  list-all2 HOL.equal tys1 tys2
   $\langle proof \rangle$ 

lemma [code nbe]:
  HOL.equal (x :: typerep) x  $\longleftrightarrow$  True
   $\langle proof \rangle$ 

code-printing
  type-constructor typerep  $\rightarrow$  (Eval) Term.typ
  | constant Typerep  $\rightarrow$  (Eval) Term.Type / (-, -)

code-reserved Eval Term

hide-const (open) typerep Typerep

end

```

74 Predicates as enumerations

```

theory Predicate
imports String
begin

```

74.1 The type of predicate enumerations (a monad)

```

datatype (plugins only: extraction) (dead 'a) pred = Pred (eval: 'a  $\Rightarrow$  bool)

lemma pred-eqI:
  ( $\bigwedge w. \text{eval } P \ w \longleftrightarrow \text{eval } Q \ w$ )  $\Longrightarrow$  P = Q
   $\langle proof \rangle$ 

```

lemma *pred-eq-iff*:

$$P = Q \implies (\bigwedge w. \text{eval } P \ w \longleftrightarrow \text{eval } Q \ w)$$

<proof>

instantiation *pred* :: (type) complete-lattice
begin

definition

$$P \leq Q \longleftrightarrow \text{eval } P \leq \text{eval } Q$$

definition

$$P < Q \longleftrightarrow \text{eval } P < \text{eval } Q$$

definition

$$\perp = \text{Pred } \perp$$

lemma *eval-bot [simp]*:

$$\text{eval } \perp = \perp$$

<proof>

definition

$$\top = \text{Pred } \top$$

lemma *eval-top [simp]*:

$$\text{eval } \top = \top$$

<proof>

definition

$$P \sqcap Q = \text{Pred } (\text{eval } P \sqcap \text{eval } Q)$$

lemma *eval-inf [simp]*:

$$\text{eval } (P \sqcap Q) = \text{eval } P \sqcap \text{eval } Q$$

<proof>

definition

$$P \sqcup Q = \text{Pred } (\text{eval } P \sqcup \text{eval } Q)$$

lemma *eval-sup [simp]*:

$$\text{eval } (P \sqcup Q) = \text{eval } P \sqcup \text{eval } Q$$

<proof>

definition

$$\bigcap A = \text{Pred } (\text{INFIMUM } A \ \text{eval})$$

lemma *eval-Inf [simp]*:

$$\text{eval } (\bigcap A) = \text{INFIMUM } A \ \text{eval}$$

<proof>

definition

$$\sqcup A = \text{Pred } (\text{SUPREMUM } A \text{ eval})$$

lemma *eval-Sup* [simp]:
 $\text{eval } (\sqcup A) = \text{SUPREMUM } A \text{ eval}$
 ⟨proof⟩

instance ⟨proof⟩

end

lemma *eval-INF* [simp]:
 $\text{eval } (\text{INFIMUM } A \text{ f}) = \text{INFIMUM } A (\text{eval } \circ \text{f})$
 ⟨proof⟩

lemma *eval-SUP* [simp]:
 $\text{eval } (\text{SUPREMUM } A \text{ f}) = \text{SUPREMUM } A (\text{eval } \circ \text{f})$
 ⟨proof⟩

instantiation *pred* :: (type) complete-boolean-algebra
begin

definition
 $- P = \text{Pred } (- \text{eval } P)$

lemma *eval-compl* [simp]:
 $\text{eval } (- P) = - \text{eval } P$
 ⟨proof⟩

definition
 $P - Q = \text{Pred } (\text{eval } P - \text{eval } Q)$

lemma *eval-minus* [simp]:
 $\text{eval } (P - Q) = \text{eval } P - \text{eval } Q$
 ⟨proof⟩

instance ⟨proof⟩

end

definition *single* :: 'a \Rightarrow 'a *pred* **where**
 $\text{single } x = \text{Pred } ((\text{op } =) x)$

lemma *eval-single* [simp]:
 $\text{eval } (\text{single } x) = (\text{op } =) x$
 ⟨proof⟩

definition *bind* :: 'a *pred* \Rightarrow ('a \Rightarrow 'b *pred*) \Rightarrow 'b *pred* (**infixl** $\gg=$ 70) **where**
 $P \gg= f = (\text{SUPREMUM } \{x. \text{eval } P \text{ } x\} f)$

lemma *eval-bind [simp]*:

$$\text{eval } (P \ggg f) = \text{eval } (\text{SUPREMUM } \{x. \text{eval } P x\} f)$$

<proof>

lemma *bind-bind*:

$$(P \ggg Q) \ggg R = P \ggg (\lambda x. Q x \ggg R)$$

<proof>

lemma *bind-single*:

$$P \ggg \text{single} = P$$

<proof>

lemma *single-bind*:

$$\text{single } x \ggg P = P x$$

<proof>

lemma *bottom-bind*:

$$\perp \ggg P = \perp$$

<proof>

lemma *sup-bind*:

$$(P \sqcup Q) \ggg R = P \ggg R \sqcup Q \ggg R$$

<proof>

lemma *Sup-bind*:

$$(\bigsqcup A \ggg f) = \bigsqcup ((\lambda x. x \ggg f) ` A)$$

<proof>

lemma *pred-iffI*:

assumes $\bigwedge x. \text{eval } A x \implies \text{eval } B x$

and $\bigwedge x. \text{eval } B x \implies \text{eval } A x$

shows $A = B$

<proof>

lemma *singleI*: $\text{eval } (\text{single } x) x$

<proof>

lemma *singleI-unit*: $\text{eval } (\text{single } ()) x$

<proof>

lemma *singleE*: $\text{eval } (\text{single } x) y \implies (y = x \implies P) \implies P$

<proof>

lemma *singleE'*: $\text{eval } (\text{single } x) y \implies (x = y \implies P) \implies P$

<proof>

lemma *bindI*: $\text{eval } P x \implies \text{eval } (Q x) y \implies \text{eval } (P \ggg Q) y$

<proof>

lemma *bindE*: $eval\ (R \gg= Q)\ y \implies (\bigwedge x. eval\ R\ x \implies eval\ (Q\ x)\ y \implies P) \implies P$

<proof>

lemma *botE*: $eval\ \perp\ x \implies P$

<proof>

lemma *supI1*: $eval\ A\ x \implies eval\ (A \sqcup B)\ x$

<proof>

lemma *supI2*: $eval\ B\ x \implies eval\ (A \sqcup B)\ x$

<proof>

lemma *supE*: $eval\ (A \sqcup B)\ x \implies (eval\ A\ x \implies P) \implies (eval\ B\ x \implies P) \implies P$

<proof>

lemma *single-not-bot* [*simp*]:

$single\ x \neq \perp$

<proof>

lemma *not-bot*:

assumes $A \neq \perp$

obtains x **where** $eval\ A\ x$

<proof>

74.2 Emptiness check and definite choice

definition *is-empty* :: $'a\ pred \Rightarrow bool$ **where**

$is_empty\ A \longleftrightarrow A = \perp$

lemma *is-empty-bot*:

$is_empty\ \perp$

<proof>

lemma *not-is-empty-single*:

$\neg is_empty\ (single\ x)$

<proof>

lemma *is-empty-sup*:

$is_empty\ (A \sqcup B) \longleftrightarrow is_empty\ A \wedge is_empty\ B$

<proof>

definition *singleton* :: $(unit \Rightarrow 'a) \Rightarrow 'a\ pred \Rightarrow 'a$ **where**

$singleton\ default\ A = (if\ \exists!x. eval\ A\ x\ then\ THE\ x. eval\ A\ x\ else\ default\ ())\ \mathbf{for}\ default$

lemma *singleton-eqI*:

$\exists!x. eval\ A\ x \implies eval\ A\ x \implies singleton\ default\ A = x$ **for** *default*

<proof>

lemma *eval-singletonI*:

$\exists!x. \text{eval } A \ x \Longrightarrow \text{eval } A \ (\text{singleton default } A) \text{ for default}$
 $\langle \text{proof} \rangle$

lemma *single-singleton*:

$\exists!x. \text{eval } A \ x \Longrightarrow \text{single } (\text{singleton default } A) = A \text{ for default}$
 $\langle \text{proof} \rangle$

lemma *singleton-undefinedI*:

$\neg (\exists!x. \text{eval } A \ x) \Longrightarrow \text{singleton default } A = \text{default } () \text{ for default}$
 $\langle \text{proof} \rangle$

lemma *singleton-bot*:

$\text{singleton default } \perp = \text{default } () \text{ for default}$
 $\langle \text{proof} \rangle$

lemma *singleton-single*:

$\text{singleton default } (\text{single } x) = x \text{ for default}$
 $\langle \text{proof} \rangle$

lemma *singleton-sup-single-single*:

$\text{singleton default } (\text{single } x \sqcup \text{single } y) = (\text{if } x = y \text{ then } x \text{ else default } ()) \text{ for default}$
 $\langle \text{proof} \rangle$

lemma *singleton-sup-aux*:

$\text{singleton default } (A \sqcup B) = (\text{if } A = \perp \text{ then singleton default } B$
 $\text{else if } B = \perp \text{ then singleton default } A$
 $\text{else singleton default}$
 $\text{ (single (singleton default } A) \sqcup \text{single (singleton default } B))}) \text{ for default}$
 $\langle \text{proof} \rangle$

lemma *singleton-sup*:

$\text{singleton default } (A \sqcup B) = (\text{if } A = \perp \text{ then singleton default } B$
 $\text{else if } B = \perp \text{ then singleton default } A$
 $\text{else if singleton default } A = \text{singleton default } B \text{ then singleton default } A \text{ else}$
 $\text{default } ()) \text{ for default}$
 $\langle \text{proof} \rangle$

74.3 Derived operations

definition *if-pred* :: $\text{bool} \Rightarrow \text{unit pred}$ **where**

if-pred-eq: $\text{if-pred } b = (\text{if } b \text{ then single } () \text{ else } \perp)$

definition *holds* :: $\text{unit pred} \Rightarrow \text{bool}$ **where**

holds-eq: $\text{holds } P = \text{eval } P \ ()$

definition *not-pred* :: $\text{unit pred} \Rightarrow \text{unit pred}$ **where**

not-pred-eq: $\text{not-pred } P = (\text{if eval } P () \text{ then } \perp \text{ else single } ())$

lemma *if-predI*: $P \implies \text{eval } (\text{if-pred } P) ()$
 ⟨proof⟩

lemma *if-predE*: $\text{eval } (\text{if-pred } b) x \implies (b \implies x = () \implies P) \implies P$
 ⟨proof⟩

lemma *not-predI*: $\neg P \implies \text{eval } (\text{not-pred } (\text{Pred } (\lambda u. P))) ()$
 ⟨proof⟩

lemma *not-predI'*: $\neg \text{eval } P () \implies \text{eval } (\text{not-pred } P) ()$
 ⟨proof⟩

lemma *not-predE*: $\text{eval } (\text{not-pred } (\text{Pred } (\lambda u. P))) x \implies (\neg P \implies \text{thesis}) \implies \text{thesis}$
 ⟨proof⟩

lemma *not-predE'*: $\text{eval } (\text{not-pred } P) x \implies (\neg \text{eval } P x \implies \text{thesis}) \implies \text{thesis}$
 ⟨proof⟩

lemma *f* $f () = \text{False} \vee f () = \text{True}$
 ⟨proof⟩

lemma *closure-of-bool-cases* [no-atp]:
 fixes $f :: \text{unit} \Rightarrow \text{bool}$
 assumes $f = (\lambda u. \text{False}) \implies P f$
 assumes $f = (\lambda u. \text{True}) \implies P f$
 shows $P f$
 ⟨proof⟩

lemma *unit-pred-cases*:
 assumes $P \perp$
 assumes $P (\text{single } ())$
 shows $P Q$
 ⟨proof⟩

lemma *holds-if-pred*:
 holds $(\text{if-pred } b) = b$
 ⟨proof⟩

lemma *if-pred-holds*:
 $\text{if-pred } (\text{holds } P) = P$
 ⟨proof⟩

lemma *is-empty-holds*:
 $\text{is-empty } P \longleftrightarrow \neg \text{holds } P$
 ⟨proof⟩

definition *map* $:: ('a \Rightarrow 'b) \Rightarrow 'a \text{ pred} \Rightarrow 'b \text{ pred}$ **where**

$$\text{map } f P = P \gg= (\text{single } o f)$$

lemma *eval-map* [simp]:
 $\text{eval } (\text{map } f P) = (\bigsqcup x \in \{x. \text{eval } P x\}. (\lambda y. f x = y))$
 ⟨proof⟩

functor *map*: *map*
 ⟨proof⟩

74.4 Implementation

datatype (*plugins only: code extraction*) (*dead 'a*) *seq* =
 Empty
 | Insert 'a 'a *pred*
 | Join 'a *pred* 'a *seq*

primrec *pred-of-seq* :: 'a *seq* \Rightarrow 'a *pred* **where**
 $\text{pred-of-seq } \text{Empty} = \perp$
 $\text{pred-of-seq } (\text{Insert } x P) = \text{single } x \sqcup P$
 $\text{pred-of-seq } (\text{Join } P xq) = P \sqcup \text{pred-of-seq } xq$

definition *Seq* :: (*unit* \Rightarrow 'a *seq*) \Rightarrow 'a *pred* **where**
 $\text{Seq } f = \text{pred-of-seq } (f \ ())$

code-datatype *Seq*

primrec *member* :: 'a *seq* \Rightarrow 'a \Rightarrow *bool* **where**
 $\text{member } \text{Empty } x \longleftrightarrow \text{False}$
 $\text{member } (\text{Insert } y P) x \longleftrightarrow x = y \vee \text{eval } P x$
 $\text{member } (\text{Join } P xq) x \longleftrightarrow \text{eval } P x \vee \text{member } xq x$

lemma *eval-member*:
 $\text{member } xq = \text{eval } (\text{pred-of-seq } xq)$
 ⟨proof⟩

lemma *eval-code* [code]: $\text{eval } (\text{Seq } f) = \text{member } (f \ ())$
 ⟨proof⟩

lemma *single-code* [code]:
 $\text{single } x = \text{Seq } (\lambda u. \text{Insert } x \perp)$
 ⟨proof⟩

primrec *apply* :: ('a \Rightarrow 'b *pred*) \Rightarrow 'a *seq* \Rightarrow 'b *seq* **where**
 $\text{apply } f \text{Empty} = \text{Empty}$
 $\text{apply } f (\text{Insert } x P) = \text{Join } (f x) (\text{Join } (P \gg= f) \text{Empty})$
 $\text{apply } f (\text{Join } P xq) = \text{Join } (P \gg= f) (\text{apply } f xq)$

lemma *apply-bind*:
 $\text{pred-of-seq } (\text{apply } f xq) = \text{pred-of-seq } xq \gg= f$

$\langle \text{proof} \rangle$

lemma *bind-code* [code]:

$\text{Seq } g \gg= f = \text{Seq } (\lambda u. \text{apply } f (g ()))$

$\langle \text{proof} \rangle$

lemma *bot-set-code* [code]:

$\perp = \text{Seq } (\lambda u. \text{Empty})$

$\langle \text{proof} \rangle$

primrec *adjunct* :: 'a pred \Rightarrow 'a seq \Rightarrow 'a seq **where**

$\text{adjunct } P \text{ Empty} = \text{Join } P \text{ Empty}$

| $\text{adjunct } P (\text{Insert } x Q) = \text{Insert } x (Q \sqcup P)$

| $\text{adjunct } P (\text{Join } Q xq) = \text{Join } Q (\text{adjunct } P xq)$

lemma *adjunct-sup*:

$\text{pred-of-seq } (\text{adjunct } P xq) = P \sqcup \text{pred-of-seq } xq$

$\langle \text{proof} \rangle$

lemma *sup-code* [code]:

$\text{Seq } f \sqcup \text{Seq } g = \text{Seq } (\lambda u. \text{case } f ())$

$\text{of Empty} \Rightarrow g ()$

| $\text{Insert } x P \Rightarrow \text{Insert } x (P \sqcup \text{Seq } g)$

| $\text{Join } P xq \Rightarrow \text{adjunct } (\text{Seq } g) (\text{Join } P xq)$

$\langle \text{proof} \rangle$

primrec *contained* :: 'a seq \Rightarrow 'a pred \Rightarrow bool **where**

$\text{contained Empty } Q \longleftrightarrow \text{True}$

| $\text{contained } (\text{Insert } x P) Q \longleftrightarrow \text{eval } Q x \wedge P \leq Q$

| $\text{contained } (\text{Join } P xq) Q \longleftrightarrow P \leq Q \wedge \text{contained } xq Q$

lemma *single-less-eq-eval*:

$\text{single } x \leq P \longleftrightarrow \text{eval } P x$

$\langle \text{proof} \rangle$

lemma *contained-less-eq*:

$\text{contained } xq Q \longleftrightarrow \text{pred-of-seq } xq \leq Q$

$\langle \text{proof} \rangle$

lemma *less-eq-pred-code* [code]:

$\text{Seq } f \leq Q = (\text{case } f ())$

$\text{of Empty} \Rightarrow \text{True}$

| $\text{Insert } x P \Rightarrow \text{eval } Q x \wedge P \leq Q$

| $\text{Join } P xq \Rightarrow P \leq Q \wedge \text{contained } xq Q$

$\langle \text{proof} \rangle$

instantiation *pred* :: (type) equal

begin

definition *equal-pred*

where [*simp*]: $HOL.equal\ P\ Q \longleftrightarrow P = (Q :: 'a\ pred)$

instance $\langle proof \rangle$

end

lemma [*code*]:

$HOL.equal\ P\ Q \longleftrightarrow P \leq Q \wedge Q \leq P$ **for** $P\ Q :: 'a\ pred$
 $\langle proof \rangle$

lemma [*code nbe*]:

$HOL.equal\ P\ P \longleftrightarrow True$ **for** $P :: 'a\ pred$
 $\langle proof \rangle$

lemma [*code*]:

$case\ pred\ f\ P = f\ (eval\ P)$
 $\langle proof \rangle$

lemma [*code*]:

$rec\ pred\ f\ P = f\ (eval\ P)$
 $\langle proof \rangle$

inductive $eq :: 'a \Rightarrow 'a \Rightarrow bool$ **where** $eq\ x\ x$

lemma $eq\ is\ eq: eq\ x\ y \equiv (x = y)$

$\langle proof \rangle$

primrec $null :: 'a\ seq \Rightarrow bool$ **where**

$null\ Empty \longleftrightarrow True$
 $| null\ (Insert\ x\ P) \longleftrightarrow False$
 $| null\ (Join\ P\ xq) \longleftrightarrow is\ empty\ P \wedge null\ xq$

lemma $null\ is\ empty:$

$null\ xq \longleftrightarrow is\ empty\ (pred\ of\ seq\ xq)$
 $\langle proof \rangle$

lemma $is\ empty\ code$ [*code*]:

$is\ empty\ (Seq\ f) \longleftrightarrow null\ (f\ ())$
 $\langle proof \rangle$

primrec $the\ only :: (unit \Rightarrow 'a) \Rightarrow 'a\ seq \Rightarrow 'a$ **where**

$the\ only\ default\ Empty = default\ ()$ **for** $default$
 $| the\ only\ default\ (Insert\ x\ P) =$
 $(if\ is\ empty\ P\ then\ x\ else\ let\ y = singleton\ default\ P\ in\ if\ x = y\ then\ x\ else$
 $default\ ())$ **for** $default$
 $| the\ only\ default\ (Join\ P\ xq) =$
 $(if\ is\ empty\ P\ then\ the\ only\ default\ xq\ else\ if\ null\ xq\ then\ singleton\ default\ P$
 $else\ let\ x = singleton\ default\ P; y = the\ only\ default\ xq\ in$

if $x = y$ *then* x *else* *default* $()$ **for** *default*

lemma *the-only-singleton*:

the-only default $xq = \text{singleton default } (\text{pred-of-seq } xq)$ **for** *default*
 $\langle \text{proof} \rangle$

lemma *singleton-code* [code]:

singleton default $(\text{Seq } f) =$
 $(\text{case } f () \text{ of}$
 $\quad \text{Empty} \Rightarrow \text{default } ()$
 $\quad | \text{Insert } x P \Rightarrow \text{if is-empty } P \text{ then } x$
 $\quad \quad \text{else let } y = \text{singleton default } P \text{ in}$
 $\quad \quad \quad \text{if } x = y \text{ then } x \text{ else default } ()$
 $\quad | \text{Join } P xq \Rightarrow \text{if is-empty } P \text{ then the-only default } xq$
 $\quad \quad \text{else if null } xq \text{ then singleton default } P$
 $\quad \quad \text{else let } x = \text{singleton default } P; y = \text{the-only default } xq \text{ in}$
 $\quad \quad \quad \text{if } x = y \text{ then } x \text{ else default } ()$ **for** *default*
 $\langle \text{proof} \rangle$

definition *the* $:: 'a \text{ pred} \Rightarrow 'a$ **where**

the $A = (\text{THE } x. \text{eval } A \ x)$

lemma *the-eqI*:

$(\text{THE } x. \text{eval } P \ x) = x \Longrightarrow \text{the } P = x$
 $\langle \text{proof} \rangle$

lemma *the-eq* [code]: *the* $A = \text{singleton } (\lambda x. \text{Code.abort } (\text{STR "not-unique"}) (\lambda -.$
 $\text{the } A)) \ A$

$\langle \text{proof} \rangle$

code-reflect *Predicate*

datatypes *pred* $= \text{Seq}$ **and** *seq* $= \text{Empty} \mid \text{Insert} \mid \text{Join}$

$\langle \text{ML} \rangle$

Conversion from and to sets

definition *pred-of-set* $:: 'a \text{ set} \Rightarrow 'a \text{ pred}$ **where**

pred-of-set $= \text{Pred} \circ (\lambda A \ x. x \in A)$

lemma *eval-pred-of-set* [simp]:

eval $(\text{pred-of-set } A) \ x \longleftrightarrow x \in A$
 $\langle \text{proof} \rangle$

definition *set-of-pred* $:: 'a \text{ pred} \Rightarrow 'a \text{ set}$ **where**

set-of-pred $= \text{Collect} \circ \text{eval}$

lemma *member-set-of-pred* [simp]:

$x \in \text{set-of-pred } P \longleftrightarrow \text{Predicate.eval } P \ x$
 $\langle \text{proof} \rangle$

definition *set-of-seq* :: 'a seq \Rightarrow 'a set **where**

set-of-seq = *set-of-pred* \circ *pred-of-seq*

lemma *member-set-of-seq* [*simp*]:

$x \in \text{set-of-seq } xq = \text{Predicate.member } xq \ x$
 $\langle \text{proof} \rangle$

lemma *of-pred-code* [*code*]:

set-of-pred (*Predicate.Seq* *f*) = (case *f* () of
 Predicate.Empty \Rightarrow {}
 | *Predicate.Insert* *x* *P* \Rightarrow insert *x* (*set-of-pred* *P*)
 | *Predicate.Join* *P* *xq* \Rightarrow *set-of-pred* *P* \cup *set-of-seq* *xq*)
 $\langle \text{proof} \rangle$

lemma *of-seq-code* [*code*]:

set-of-seq *Predicate.Empty* = {}
set-of-seq (*Predicate.Insert* *x* *P*) = insert *x* (*set-of-pred* *P*)
set-of-seq (*Predicate.Join* *P* *xq*) = *set-of-pred* *P* \cup *set-of-seq* *xq*
 $\langle \text{proof} \rangle$

Lazy Evaluation of an indexed function

function *iterate-upto* :: (natural \Rightarrow 'a) \Rightarrow natural \Rightarrow natural \Rightarrow 'a *Predicate.pred*

where

iterate-upto *f* *n* *m* =
 Predicate.Seq (%*u*. if *n* > *m* then *Predicate.Empty*
 else *Predicate.Insert* (*f* *n*) (*iterate-upto* *f* (*n* + 1) *m*))
 $\langle \text{proof} \rangle$

termination $\langle \text{proof} \rangle$

Misc

declare *Inf-set-fold* [**where** 'a = 'a *Predicate.pred*, *code*]

declare *Sup-set-fold* [**where** 'a = 'a *Predicate.pred*, *code*]

lemma *pred-of-set-fold-sup*:

assumes *finite* *A*
 shows *pred-of-set* *A* = *Finite-Set.fold* *sup* *bot* (*Predicate.single* ' *A*) (**is** ?*lhs* =
 ?*rhs*)
 $\langle \text{proof} \rangle$

lemma *pred-of-set-set-fold-sup*:

pred-of-set (*set* *xs*) = *fold* *sup* (*List.map* *Predicate.single* *xs*) *bot*
 $\langle \text{proof} \rangle$

lemma *pred-of-set-set-foldr-sup* [*code*]:

pred-of-set (*set* *xs*) = *foldr* *sup* (*List.map* *Predicate.single* *xs*) *bot*

<proof>

no-notation

bind (infixl $\gg=$ 70)

hide-type (open) *pred seq*

hide-const (open) *Pred eval single bind is-empty singleton if-pred not-pred holds
Empty Insert Join Seq member pred-of-seq apply adjunct null the-only eq map the
iterate-upto*

hide-fact (open) *null-def member-def*

end

75 Lazy sequences

theory *Lazy-Sequence*

imports *Predicate*

begin

75.1 Type of lazy sequences

datatype (*plugins only: code extraction*) (*dead 'a*) *lazy-sequence* =
lazy-sequence-of-list 'a list

primrec *list-of-lazy-sequence :: 'a lazy-sequence \Rightarrow 'a list*

where

list-of-lazy-sequence (lazy-sequence-of-list xs) = xs

lemma *lazy-sequence-of-list-of-lazy-sequence [simp]:*

lazy-sequence-of-list (list-of-lazy-sequence xq) = xq

<proof>

lemma *lazy-sequence-eqI:*

list-of-lazy-sequence xq = list-of-lazy-sequence yq \Longrightarrow xq = yq

<proof>

lemma *lazy-sequence-eq-iff:*

xq = yq \longleftrightarrow list-of-lazy-sequence xq = list-of-lazy-sequence yq

<proof>

lemma *case-lazy-sequence [simp]:*

case-lazy-sequence f xq = f (list-of-lazy-sequence xq)

<proof>

lemma *rec-lazy-sequence [simp]:*

rec-lazy-sequence f xq = f (list-of-lazy-sequence xq)

<proof>

definition *Lazy-Sequence :: (unit \Rightarrow ('a \times 'a lazy-sequence) option) \Rightarrow 'a lazy-sequence*

where

Lazy-Sequence $f = \text{lazy-sequence-of-list } (\text{case } f \ () \text{ of}$
 $\text{None} \Rightarrow []$
 $| \text{Some } (x, xq) \Rightarrow x \# \text{list-of-lazy-sequence } xq)$

code-datatype *Lazy-Sequence*

declare *list-of-lazy-sequence.simps* [code del]

declare *lazy-sequence.case* [code del]

declare *lazy-sequence.rec* [code del]

lemma *list-of-Lazy-Sequence* [simp]:

list-of-lazy-sequence (*Lazy-Sequence* f) = (*case* $f \ ()$ of
 $\text{None} \Rightarrow []$
 $| \text{Some } (x, xq) \Rightarrow x \# \text{list-of-lazy-sequence } xq)$
 ⟨proof⟩

definition *yield* :: 'a lazy-sequence \Rightarrow ('a \times 'a lazy-sequence) option

where

yield $xq = (\text{case } \text{list-of-lazy-sequence } xq \text{ of}$
 $[] \Rightarrow \text{None}$
 $| x \# xs \Rightarrow \text{Some } (x, \text{lazy-sequence-of-list } xs))$

lemma *yield-Seq* [simp, code]:

yield (*Lazy-Sequence* f) = $f \ ()$
 ⟨proof⟩

lemma *case-yield-eq* [simp]: *case-option* $g \ h \ (\text{yield } xq) =$

case-list $g \ (\lambda x. \text{curry } h \ x \circ \text{lazy-sequence-of-list}) \ (\text{list-of-lazy-sequence } xq)$
 ⟨proof⟩

lemma *equal-lazy-sequence-code* [code]:

HOL.equal $xq \ yq = (\text{case } (\text{yield } xq, \text{yield } yq) \text{ of}$
 $(\text{None}, \text{None}) \Rightarrow \text{True}$
 $| (\text{Some } (x, xq'), \text{Some } (y, yq')) \Rightarrow \text{HOL.equal } x \ y \wedge \text{HOL.equal } xq \ yq$
 $| - \Rightarrow \text{False})$
 ⟨proof⟩

lemma [code nbe]:

HOL.equal $(x :: \text{'a lazy-sequence}) \ x \longleftrightarrow \text{True}$
 ⟨proof⟩

definition *empty* :: 'a lazy-sequence

where

empty = *lazy-sequence-of-list* []

lemma *list-of-lazy-sequence-empty* [simp]:

list-of-lazy-sequence *empty* = []
 ⟨proof⟩

lemma *empty-code* [code]:
 $empty = Lazy-Sequence (\lambda-. None)$
 ⟨proof⟩

definition *single* :: 'a \Rightarrow 'a lazy-sequence
where
 $single\ x = lazy-sequence-of-list\ [x]$

lemma *list-of-lazy-sequence-single* [simp]:
 $list-of-lazy-sequence\ (single\ x) = [x]$
 ⟨proof⟩

lemma *single-code* [code]:
 $single\ x = Lazy-Sequence (\lambda-. Some\ (x, empty))$
 ⟨proof⟩

definition *append* :: 'a lazy-sequence \Rightarrow 'a lazy-sequence \Rightarrow 'a lazy-sequence
where
 $append\ xq\ yq = lazy-sequence-of-list\ (list-of-lazy-sequence\ xq\ @\ list-of-lazy-sequence\ yq)$

lemma *list-of-lazy-sequence-append* [simp]:
 $list-of-lazy-sequence\ (append\ xq\ yq) = list-of-lazy-sequence\ xq\ @\ list-of-lazy-sequence\ yq$
 ⟨proof⟩

lemma *append-code* [code]:
 $append\ xq\ yq = Lazy-Sequence (\lambda-. case\ yield\ xq\ of$
 $\quad None \Rightarrow yield\ yq$
 $\quad | Some\ (x, xq') \Rightarrow Some\ (x, append\ xq'\ yq))$
 ⟨proof⟩

definition *map* :: ('a \Rightarrow 'b) \Rightarrow 'a lazy-sequence \Rightarrow 'b lazy-sequence
where
 $map\ f\ xq = lazy-sequence-of-list\ (List.map\ f\ (list-of-lazy-sequence\ xq))$

lemma *list-of-lazy-sequence-map* [simp]:
 $list-of-lazy-sequence\ (map\ f\ xq) = List.map\ f\ (list-of-lazy-sequence\ xq)$
 ⟨proof⟩

lemma *map-code* [code]:
 $map\ f\ xq =$
 $Lazy-Sequence (\lambda-. map-option\ (\lambda(x, xq'). (f\ x, map\ f\ xq'))\ (yield\ xq))$
 ⟨proof⟩

definition *flat* :: 'a lazy-sequence lazy-sequence \Rightarrow 'a lazy-sequence
where
 $flat\ xq = lazy-sequence-of-list\ (concat\ (List.map\ list-of-lazy-sequence\ (list-of-lazy-sequence\ xq)))$

$xqq)))$

lemma *list-of-lazy-sequence-flat* [simp]:

list-of-lazy-sequence (flat xqq) = concat (*List.map* *list-of-lazy-sequence* (*list-of-lazy-sequence* xqq))
 ⟨proof⟩

lemma *flat-code* [code]:

flat xqq = *Lazy-Sequence* (λ -. case yield xqq of
 None \Rightarrow *None*
 | *Some* (xq , xqq') \Rightarrow yield (*append* xq (*flat* xqq')))
 ⟨proof⟩

definition *bind* :: 'a lazy-sequence \Rightarrow ('a \Rightarrow 'b lazy-sequence) \Rightarrow 'b lazy-sequence
where

bind xq f = *flat* (*map* f xq)

definition *if-seq* :: bool \Rightarrow unit lazy-sequence

where

if-seq b = (if b then *single* () else *empty*)

definition *those* :: 'a option lazy-sequence \Rightarrow 'a lazy-sequence option

where

those xq = *map-option lazy-sequence-of-list* (*List.those* (*list-of-lazy-sequence* xq))

function *iterate-upto* :: (natural \Rightarrow 'a) \Rightarrow natural \Rightarrow natural \Rightarrow 'a lazy-sequence
where

iterate-upto f n m =
 Lazy-Sequence (λ -. if $n > m$ then *None* else *Some* (f n , *iterate-upto* f ($n + 1$)
 m))
 ⟨proof⟩

termination ⟨proof⟩

definition *not-seq* :: unit lazy-sequence \Rightarrow unit lazy-sequence

where

not-seq xq = (case yield xq of
 None \Rightarrow *single* ()
 | *Some* ((), xq) \Rightarrow *empty*)

75.2 Code setup

code-reflect *Lazy-Sequence*

datatypes *lazy-sequence* = *Lazy-Sequence*

⟨ML⟩

75.3 Generator Sequences

75.3.1 General lazy sequence operation

definition $product :: 'a\ lazy_sequence \Rightarrow 'b\ lazy_sequence \Rightarrow ('a \times 'b)\ lazy_sequence$
where
 $product\ s1\ s2 = bind\ s1\ (\lambda a. bind\ s2\ (\lambda b. single\ (a, b)))$

75.3.2 Small lazy typeclasses

class *small-lazy* =
fixes *small-lazy* :: *natural* \Rightarrow *'a lazy-sequence*

instantiation *unit* :: *small-lazy*
begin

definition *small-lazy* *d* = *single* ()

instance $\langle proof \rangle$

end

instantiation *int* :: *small-lazy*
begin

maybe optimise this expression -j append (single x) xs == cons x xs Performance difference?

function *small-lazy'* :: *int* \Rightarrow *int* \Rightarrow *int lazy-sequence*
where
 $small_lazy'\ d\ i = (if\ d < i\ then\ empty$
 $\quad else\ append\ (single\ i)\ (small_lazy'\ d\ (i + 1)))$
 $\langle proof \rangle$

termination
 $\langle proof \rangle$

definition
 $small_lazy\ d = small_lazy'\ (int\ (nat_of_natural\ d))\ (-\ (int\ (nat_of_natural\ d)))$

instance $\langle proof \rangle$

end

instantiation *prod* :: (*small-lazy*, *small-lazy*) *small-lazy*
begin

definition
 $small_lazy\ d = product\ (small_lazy\ d)\ (small_lazy\ d)$

instance $\langle proof \rangle$

```

end

instantiation list :: (small-lazy) small-lazy
begin

fun small-lazy-list :: natural  $\Rightarrow$  'a list lazy-sequence
where
  small-lazy-list d = append (single [])
    (if d > 0 then bind (product (small-lazy (d - 1))
      (small-lazy (d - 1))) ( $\lambda(x, xs).$  single (x # xs)) else empty)

instance <proof>

end

```

75.4 With Hit Bound Value

assuming in negative context

type-synonym 'a hit-bound-lazy-sequence = 'a option lazy-sequence

definition hit-bound :: 'a hit-bound-lazy-sequence
where
 hit-bound = Lazy-Sequence ($\lambda-.$ Some (None, empty))

lemma list-of-lazy-sequence-hit-bound [simp]:
 list-of-lazy-sequence hit-bound = [None]
 <proof>

definition hb-single :: 'a \Rightarrow 'a hit-bound-lazy-sequence
where
 hb-single x = Lazy-Sequence ($\lambda-.$ Some (Some x, empty))

definition hb-map :: ('a \Rightarrow 'b) \Rightarrow 'a hit-bound-lazy-sequence \Rightarrow 'b hit-bound-lazy-sequence
where
 hb-map f xq = map (map-option f) xq

lemma hb-map-code [code]:
 hb-map f xq =
 Lazy-Sequence ($\lambda-.$ map-option ($\lambda(x, xq').$ (map-option f x, hb-map f xq')) (yield xq))
 <proof>

definition hb-flat :: 'a hit-bound-lazy-sequence hit-bound-lazy-sequence \Rightarrow 'a hit-bound-lazy-sequence
where
 hb-flat xq = lazy-sequence-of-list (concat
 (List.map (($\lambda x.$ case x of None \Rightarrow [None] | Some xs \Rightarrow xs) \circ map-option
 list-of-lazy-sequence) (list-of-lazy-sequence xq)))

lemma *list-of-lazy-sequence-hb-flat* [simp]:
list-of-lazy-sequence (hb-flat xqq) =
 concat (*List.map* ((λx . case *x* of None \Rightarrow [None] | Some *xs* \Rightarrow *xs*) \circ map-option
list-of-lazy-sequence) (*list-of-lazy-sequence* xqq))
 <proof>

lemma *hb-flat-code* [code]:
hb-flat xqq = *Lazy-Sequence* (λ -. case yield xqq of
 None \Rightarrow None
 | Some (*xq*, xqq') \Rightarrow yield
 (append (case *xq* of None \Rightarrow hit-bound | Some *xq* \Rightarrow *xq*) (hb-flat xqq')))
 <proof>

definition *hb-bind* :: 'a hit-bound-lazy-sequence \Rightarrow ('a \Rightarrow 'b hit-bound-lazy-sequence)
 \Rightarrow 'b hit-bound-lazy-sequence

where
hb-bind xq f = hb-flat (hb-map f xq)

definition *hb-if-seq* :: bool \Rightarrow unit hit-bound-lazy-sequence

where
hb-if-seq b = (if b then hb-single () else empty)

definition *hb-not-seq* :: unit hit-bound-lazy-sequence \Rightarrow unit lazy-sequence

where
hb-not-seq xq = (case yield xq of
 None \Rightarrow single ()
 | Some (*x*, xq) \Rightarrow empty)

hide-const (open) yield empty single append flat map bind
if-seq those iterate-upto not-seq product

hide-fact (open) yield-def empty-def single-def append-def flat-def map-def bind-def
if-seq-def those-def not-seq-def product-def

end

76 Depth-Limited Sequences with failure element

theory *Limited-Sequence*
imports *Lazy-Sequence*
begin

76.1 Depth-Limited Sequence

type-synonym 'a dseq = natural \Rightarrow bool \Rightarrow 'a lazy-sequence option

definition *empty* :: 'a dseq
where
empty = (λ -. Some *Lazy-Sequence.empty*)

definition *single* :: 'a \Rightarrow 'a dseq

where

single *x* = (λ - -. *Some* (*Lazy-Sequence.single* *x*))

definition *eval* :: 'a dseq \Rightarrow natural \Rightarrow bool \Rightarrow 'a lazy-sequence option

where

[simp]: *eval* *f* *i* *pol* = *f* *i* *pol*

definition *yield* :: 'a dseq \Rightarrow natural \Rightarrow bool \Rightarrow ('a \times 'a dseq) option

where

yield *f* *i* *pol* = (case *eval* *f* *i* *pol* of
 None \Rightarrow *None*
 | *Some* *s* \Rightarrow (*map-option* \circ *apsnd*) (λ r - -. *Some* *r*) (*Lazy-Sequence.yield* *s*))

definition *map-seq* :: ('a \Rightarrow 'b dseq) \Rightarrow 'a lazy-sequence \Rightarrow 'b dseq

where

map-seq *f* *xq* *i* *pol* = *map-option* *Lazy-Sequence.flat*
 (*Lazy-Sequence.those* (*Lazy-Sequence.map* (λ x. *f* *x* *i* *pol*) *xq*))

lemma *map-seq-code* [code]:

map-seq *f* *xq* *i* *pol* = (case *Lazy-Sequence.yield* *xq* of
 None \Rightarrow *Some* *Lazy-Sequence.empty*
 | *Some* (*x*, *xq'*) \Rightarrow (case *eval* (*f* *x*) *i* *pol* of
 None \Rightarrow *None*
 | *Some* *yq* \Rightarrow (case *map-seq* *f* *xq'* *i* *pol* of
 None \Rightarrow *None*
 | *Some* *zq* \Rightarrow *Some* (*Lazy-Sequence.append* *yq* *zq*))))
 <proof>

definition *bind* :: 'a dseq \Rightarrow ('a \Rightarrow 'b dseq) \Rightarrow 'b dseq

where

bind *x* *f* = (λ i *pol*.
 if *i* = 0 then
 (if *pol* then *Some* *Lazy-Sequence.empty* else *None*)
 else
 (case *x* (*i* - 1) *pol* of
 None \Rightarrow *None*
 | *Some* *xq* \Rightarrow *map-seq* *f* *xq* *i* *pol*))

definition *union* :: 'a dseq \Rightarrow 'a dseq \Rightarrow 'a dseq

where

union *x* *y* = (λ i *pol*. case (*x* *i* *pol*, *y* *i* *pol*) of
 (*Some* *xq*, *Some* *yq*) \Rightarrow *Some* (*Lazy-Sequence.append* *xq* *yq*)
 | - \Rightarrow *None*)

definition *if-seq* :: bool \Rightarrow unit dseq

where

if-seq *b* = (if *b* then *single* () else *empty*)

definition *not-seq* :: unit dseq \Rightarrow unit dseq

where

not-seq *x* = (λi *pol*. case *x* *i* (\neg *pol*) of
 None \Rightarrow Some Lazy-Sequence.empty
 | Some *xq* \Rightarrow (case Lazy-Sequence.yield *xq* of
 None \Rightarrow Some (Lazy-Sequence.single ())
 | Some - \Rightarrow Some (Lazy-Sequence.empty)))

definition *map* :: ('a \Rightarrow 'b) \Rightarrow 'a dseq \Rightarrow 'b dseq

where

map *f g* = (λi *pol*. case *g* *i* *pol* of
 None \Rightarrow None
 | Some *xq* \Rightarrow Some (Lazy-Sequence.map *f* *xq*))

76.2 Positive Depth-Limited Sequence

type-synonym 'a pos-dseq = natural \Rightarrow 'a Lazy-Sequence.lazy-sequence

definition *pos-empty* :: 'a pos-dseq

where

pos-empty = (λi . Lazy-Sequence.empty)

definition *pos-single* :: 'a \Rightarrow 'a pos-dseq

where

pos-single *x* = (λi . Lazy-Sequence.single *x*)

definition *pos-bind* :: 'a pos-dseq \Rightarrow ('a \Rightarrow 'b pos-dseq) \Rightarrow 'b pos-dseq

where

pos-bind *x f* = (λi . Lazy-Sequence.bind (*x* *i*) (λa . *f* *a* *i*))

definition *pos-decr-bind* :: 'a pos-dseq \Rightarrow ('a \Rightarrow 'b pos-dseq) \Rightarrow 'b pos-dseq

where

pos-decr-bind *x f* = (λi .
 if *i* = 0 then
 Lazy-Sequence.empty
 else
 Lazy-Sequence.bind (*x* (*i* - 1)) (λa . *f* *a* *i*))

definition *pos-union* :: 'a pos-dseq \Rightarrow 'a pos-dseq \Rightarrow 'a pos-dseq

where

pos-union *xq yq* = (λi . Lazy-Sequence.append (*xq* *i*) (*yq* *i*))

definition *pos-if-seq* :: bool \Rightarrow unit pos-dseq

where

pos-if-seq *b* = (if *b* then *pos-single* () else *pos-empty*)

definition *pos-iterate-upto* :: (natural \Rightarrow 'a) \Rightarrow natural \Rightarrow natural \Rightarrow 'a pos-dseq

where

$pos\text{-}iterate\text{-}upto\ f\ n\ m = (\lambda i. Lazy\text{-}Sequence.iterate\text{-}upto\ f\ n\ m)$

definition $pos\text{-}map :: ('a \Rightarrow 'b) \Rightarrow 'a\ pos\text{-}dseq \Rightarrow 'b\ pos\text{-}dseq$
where
 $pos\text{-}map\ f\ xq = (\lambda i. Lazy\text{-}Sequence.map\ f\ (xq\ i))$

76.3 Negative Depth-Limited Sequence

type-synonym $'a\ neg\text{-}dseq = natural \Rightarrow 'a\ Lazy\text{-}Sequence.hit\text{-}bound\text{-}lazy\text{-}sequence$

definition $neg\text{-}empty :: 'a\ neg\text{-}dseq$
where
 $neg\text{-}empty = (\lambda i. Lazy\text{-}Sequence.empty)$

definition $neg\text{-}single :: 'a \Rightarrow 'a\ neg\text{-}dseq$
where
 $neg\text{-}single\ x = (\lambda i. Lazy\text{-}Sequence.hb\text{-}single\ x)$

definition $neg\text{-}bind :: 'a\ neg\text{-}dseq \Rightarrow ('a \Rightarrow 'b\ neg\text{-}dseq) \Rightarrow 'b\ neg\text{-}dseq$
where
 $neg\text{-}bind\ x\ f = (\lambda i. hb\text{-}bind\ (x\ i)\ (\lambda a. f\ a\ i))$

definition $neg\text{-}decr\text{-}bind :: 'a\ neg\text{-}dseq \Rightarrow ('a \Rightarrow 'b\ neg\text{-}dseq) \Rightarrow 'b\ neg\text{-}dseq$
where
 $neg\text{-}decr\text{-}bind\ x\ f = (\lambda i.$
 $\quad if\ i = 0\ then$
 $\quad \quad Lazy\text{-}Sequence.hit\text{-}bound$
 $\quad else$
 $\quad \quad hb\text{-}bind\ (x\ (i - 1))\ (\lambda a. f\ a\ i))$

definition $neg\text{-}union :: 'a\ neg\text{-}dseq \Rightarrow 'a\ neg\text{-}dseq \Rightarrow 'a\ neg\text{-}dseq$
where
 $neg\text{-}union\ x\ y = (\lambda i. Lazy\text{-}Sequence.append\ (x\ i)\ (y\ i))$

definition $neg\text{-}if\text{-}seq :: bool \Rightarrow unit\ neg\text{-}dseq$
where
 $neg\text{-}if\text{-}seq\ b = (if\ b\ then\ neg\text{-}single\ ()\ else\ neg\text{-}empty)$

definition $neg\text{-}iterate\text{-}upto$
where
 $neg\text{-}iterate\text{-}upto\ f\ n\ m = (\lambda i. Lazy\text{-}Sequence.iterate\text{-}upto\ (\lambda i. Some\ (f\ i))\ n\ m)$

definition $neg\text{-}map :: ('a \Rightarrow 'b) \Rightarrow 'a\ neg\text{-}dseq \Rightarrow 'b\ neg\text{-}dseq$
where
 $neg\text{-}map\ f\ xq = (\lambda i. Lazy\text{-}Sequence.hb\text{-}map\ f\ (xq\ i))$

76.4 Negation

definition $pos\text{-}not\text{-}seq :: unit\ neg\text{-}dseq \Rightarrow unit\ pos\text{-}dseq$
where

*pos-not-seq xq = (λi . Lazy-Sequence.hb-not-seq (xq (3 * i)))*

definition *neg-not-seq :: unit pos-dseq \Rightarrow unit neg-dseq*

where

*neg-not-seq x = (λi . case Lazy-Sequence.yield (x i) of
 None \Rightarrow Lazy-Sequence.hb-single ()
 | Some ((), xq) \Rightarrow Lazy-Sequence.empty)*

$\langle ML \rangle$

code-reserved *Eval Limited-Sequence*

hide-const (open) *yield empty single eval map-seq bind union if-seq not-seq map
 pos-empty pos-single pos-bind pos-decr-bind pos-union pos-if-seq pos-iterate-upto
 pos-not-seq pos-map
 neg-empty neg-single neg-bind neg-decr-bind neg-union neg-if-seq neg-iterate-upto
 neg-not-seq neg-map*

hide-fact (open) *yield-def empty-def single-def eval-def map-seq-def bind-def union-def
 if-seq-def not-seq-def map-def
 pos-empty-def pos-single-def pos-bind-def pos-union-def pos-if-seq-def pos-iterate-upto-def
 pos-not-seq-def pos-map-def
 neg-empty-def neg-single-def neg-bind-def neg-union-def neg-if-seq-def neg-iterate-upto-def
 neg-not-seq-def neg-map-def*

end

77 Term evaluation using the generic code generator

theory *Code-Evaluation*
imports *Typerep Limited-Sequence*
keywords *value :: diag*
begin

77.1 Term representation

77.1.1 Terms and class *term-of*

datatype (*plugins only: extraction*) *term = dummy-term*

definition *Const :: String.literal \Rightarrow typerep \Rightarrow term* **where**
Const - - = dummy-term

definition *App :: term \Rightarrow term \Rightarrow term* **where**
App - - = dummy-term

definition *Abs* :: *String.literal* \Rightarrow *typerep* \Rightarrow *term* \Rightarrow *term* **where**
Abs - - - = *dummy-term*

definition *Free* :: *String.literal* \Rightarrow *typerep* \Rightarrow *term* **where**
Free - - = *dummy-term*

code-datatype *Const App Abs Free*

class *term-of* = *typerep* +
fixes *term-of* :: 'a \Rightarrow *term*

lemma *term-of-anything*: *term-of* *x* \equiv *t*
 \langle *proof* \rangle

definition *valapp* :: ('a \Rightarrow 'b) \times (unit \Rightarrow *term*)
 \Rightarrow 'a \times (unit \Rightarrow *term*) \Rightarrow 'b \times (unit \Rightarrow *term*) **where**
valapp *f* *x* = (*fst* *f* (*fst* *x*), λ u. *App* (*snd* *f* ()) (*snd* *x* ()))

lemma *valapp-code* [*code*, *code-unfold*]:
valapp (*f*, *tf*) (*x*, *tx*) = (*f* *x*, λ u. *App* (*tf* ()) (*tx* ()))
 \langle *proof* \rangle

77.1.2 Syntax

definition *termify* :: 'a \Rightarrow *term* **where**
[*code del*]: *termify* *x* = *dummy-term*

abbreviation *valtermify* :: 'a \Rightarrow 'a \times (unit \Rightarrow *term*) **where**
valtermify *x* \equiv (*x*, λ u. *termify* *x*)

locale *term-syntax*
begin

notation *App* (**infixl** <·> 70)
and *valapp* (**infixl** {·} 70)

end

interpretation *term-syntax* \langle *proof* \rangle

no-notation *App* (**infixl** <·> 70)
and *valapp* (**infixl** {·} 70)

77.2 Tools setup and evaluation

context
begin

qualified definition *TERM-OF* :: 'a::*term-of itself*
where

$TERM-OF = \text{snd } (\text{Code-Evaluation.term-of} :: 'a \Rightarrow -, \text{TYPE}('a))$

qualified definition $TERM-OF-EQUAL :: 'a :: \text{term-of itself}$

where

$TERM-OF-EQUAL = \text{snd } (\lambda(a :: 'a). (\text{Code-Evaluation.term-of } a, \text{HOL.eq } a), \text{TYPE}('a))$

end

lemma eq-eq-TrueD :

fixes $x\ y :: 'a :: \{\}$

assumes $(x \equiv y) \equiv \text{Trueprop True}$

shows $x \equiv y$

$\langle \text{proof} \rangle$

code-printing

type-constructor $\text{term} \rightarrow (\text{Eval}) \text{Term.term}$

| **constant** $\text{Const} \rightarrow (\text{Eval}) \text{Term.Const} / ((-), (-))$

| **constant** $\text{App} \rightarrow (\text{Eval}) \text{Term.\$} / ((-), (-))$

| **constant** $\text{Abs} \rightarrow (\text{Eval}) \text{Term.Abs} / ((-), (-), (-))$

| **constant** $\text{Free} \rightarrow (\text{Eval}) \text{Term.Free} / ((-), (-))$

$\langle \text{ML} \rangle$

code-reserved $\text{Eval Code-Evaluation}$

$\langle \text{ML} \rangle$

77.3 term-of instances

instantiation $\text{fun} :: (\text{typerep}, \text{typerep}) \text{term-of}$

begin

definition

$\text{term-of } (f :: 'a \Rightarrow 'b) =$

$\text{Const } (\text{STR } \text{"Pure.dummy-pattern"})$

$(\text{Typerep.Typerep } (\text{STR } \text{"fun"}) [\text{Typerep.typerep TYPE}('a), \text{Typerep.typerep TYPE}('b)])$

instance $\langle \text{proof} \rangle$

end

declare $[[\text{code drop: rec-term case-term}$

$\text{term-of} :: \text{typerep} \Rightarrow - \text{ term-of} :: \text{term} \Rightarrow - \text{ term-of} :: \text{String.literal} \Rightarrow -$

$\text{term-of} :: - \text{ Predicate.pred} \Rightarrow \text{term term-of} :: - \text{ Predicate.seq} \Rightarrow \text{term}]]$

definition $\text{case-char} :: 'a \Rightarrow (\text{num} \Rightarrow 'a) \Rightarrow \text{char} \Rightarrow 'a$

where $\text{case-char } f\ g\ c = (\text{if } c = 0 \text{ then } f \text{ else } g\ (\text{num-of-nat } (\text{nat-of-char } c)))$

lemma *term-of-char* [*unfolded typerep-fun-def typerep-char-def typerep-num-def*, *code*]:
term-of =
 case-char (Const (STR "Groups.zero-class.zero") (TYPEREP(char)))
 (λ*k*. App (Const (STR "String.Char") (TYPEREP(num ⇒ char))) (term-of
k))
 ⟨*proof*⟩

lemma *term-of-string* [*code*]:
term-of s = App (Const (STR "STR")
 (Typerep.Typerep (STR "fun") [Typerep.Typerep (STR "list") [Typerep.Typerep
 (STR "char") []],
 Typerep.Typerep (STR "String.literal") []])) (term-of (String.explode s))
 ⟨*proof*⟩

code-printing

constant *term-of* :: *integer* ⇒ *term* → (Eval) HOLogic.mk'-number/ HO-
 Logic.code'-integerT
 | **constant** *term-of* :: *String.literal* ⇒ *term* → (Eval) HOLogic.mk'-literal

declare [[*code drop*: *term-of* :: *integer* ⇒ -]]

lemma *term-of-integer* [*unfolded typerep-fun-def typerep-num-def typerep-integer-def*, *code*]:
term-of (*i* :: *integer*) =
 (if *i* > 0 then
 App (Const (STR "Num.numeral-class.numeral") (TYPEREP(num ⇒ inte-
 ger)))
 (term-of (num-of-integer *i*))
 else if *i* = 0 then Const (STR "Groups.zero-class.zero") TYPEREP(integer)
 else
 App (Const (STR "Groups.uminus-class.uminus") TYPEREP(integer ⇒
 integer))
 (term-of (− *i*)))
 ⟨*proof*⟩

code-reserved Eval HOLogic

77.4 Generic reification

⟨ML⟩

77.5 Diagnostic

definition *tracing* :: *String.literal* ⇒ 'a ⇒ 'a **where**
 [*code del*]: *tracing s x* = *x*

code-printing

constant *tracing* :: *String.literal* ⇒ 'a ⇒ 'a → (Eval) Code'-Evaluation.tracing

```

hide-const dummy-term valapp
hide-const (open) Const App Abs Free termify valtermify term-of tracing

end

```

78 A simple counterexample generator performing random testing

```

theory Quickcheck-Random
imports Random Code-Evaluation Enum
begin

```

```

notation fcomp (infixl  $\circ>$  60)
notation scomp (infixl  $\circ\rightarrow$  60)

```

$\langle ML \rangle$

78.1 Catching Match exceptions

```

axiomatization catch-match :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a

```

```

code-printing
  constant catch-match  $\rightarrow$  (Quickcheck) ((-) handle Match  $\Rightarrow$  -)

```

78.2 The random class

```

class random = typerep +
  fixes random :: natural  $\Rightarrow$  Random.seed  $\Rightarrow$  ('a  $\times$  (unit  $\Rightarrow$  term))  $\times$  Random.seed

```

78.3 Fundamental and numeric types

```

instantiation bool :: random
begin

```

```

definition
  random i = Random.range 2  $\circ\rightarrow$ 
    ( $\lambda k.$  Pair (if k = 0 then Code-Evaluation.valtermify False else Code-Evaluation.valtermify True))

```

```

instance  $\langle proof \rangle$ 

```

```

end

```

```

instantiation itself :: (typerep) random
begin

```

```

definition

```

random-itself :: *natural* \Rightarrow *Random.seed* \Rightarrow (*'a itself* \times (*unit* \Rightarrow *term*)) \times *Random.seed*

where *random-itself* - = *Pair* (*Code-Evaluation.valtermify* *TYPE('a)*)

instance \langle *proof* \rangle

end

instantiation *char* :: *random*

begin

definition

random - = *Random.select* (*Enum.enum* :: *char list*) $\circ\rightarrow$ ($\lambda c.$ *Pair* (*c*, $\lambda u.$ *Code-Evaluation.term-of* *c*))

instance \langle *proof* \rangle

end

instantiation *String.literal* :: *random*

begin

definition

random - = *Pair* (*STR ""*, $\lambda u.$ *Code-Evaluation.term-of* (*STR ""*))

instance \langle *proof* \rangle

end

instantiation *nat* :: *random*

begin

definition *random-nat* :: *natural* \Rightarrow *Random.seed*

\Rightarrow (*nat* \times (*unit* \Rightarrow *Code-Evaluation.term*)) \times *Random.seed*

where

random-nat *i* = *Random.range* (*i* + 1) $\circ\rightarrow$ ($\lambda k.$ *Pair* (
 $\text{let } n = \text{nat-of-natural } k$
 $\text{in } (n, \lambda-. \text{Code-Evaluation.term-of } n)$))

instance \langle *proof* \rangle

end

instantiation *int* :: *random*

begin

definition

random *i* = *Random.range* ($2 * i + 1$) $\circ\rightarrow$ ($\lambda k.$ *Pair* (
 $\text{let } j = (\text{if } k \geq i \text{ then int (nat-of-natural } (k - i)) \text{ else - (int (nat-of-natural$

```

(i - k))))
  in (j, λ-. Code-Evaluation.term-of j)))

instance ⟨proof⟩

end

instantiation natural :: random
begin

definition random-natural :: natural ⇒ Random.seed
  ⇒ (natural × (unit ⇒ Code-Evaluation.term)) × Random.seed
where
  random-natural i = Random.range (i + 1) ○→ (λn. Pair (n, λ-. Code-Evaluation.term-of
n))

instance ⟨proof⟩

end

instantiation integer :: random
begin

definition random-integer :: natural ⇒ Random.seed
  ⇒ (integer × (unit ⇒ Code-Evaluation.term)) × Random.seed
where
  random-integer i = Random.range (2 * i + 1) ○→ (λk. Pair (
    let j = (if k ≥ i then integer-of-natural (k - i) else - (integer-of-natural (i
    - k)))
    in (j, λ-. Code-Evaluation.term-of j)))

instance ⟨proof⟩

end

```

78.4 Complex generators

Towards '*a* ⇒ '*b*

```

axiomatization random-fun-aux :: typerep ⇒ typerep ⇒ ('a ⇒ 'a ⇒ bool) ⇒ ('a
⇒ term)
  ⇒ (Random.seed ⇒ ('b × (unit ⇒ term)) × Random.seed)
  ⇒ (Random.seed ⇒ Random.seed × Random.seed)
  ⇒ Random.seed ⇒ (('a ⇒ 'b) × (unit ⇒ term)) × Random.seed

```

```

definition random-fun-lift :: (Random.seed ⇒ ('b × (unit ⇒ term)) × Random
dom.seed)
  ⇒ Random.seed ⇒ (('a::term-of ⇒ 'b::typerep) × (unit ⇒ term)) × Random.seed
where
  random-fun-lift f =

```

random-fun-aux $\text{TYPEREP}('a) \text{TYPEREP}('b) (op =) \text{Code-Evaluation.term-of}$
f Random.split-seed

instantiation *fun* :: ($\{\text{equal}, \text{term-of}\}, \text{random}$) *random*
begin

definition

random-fun :: $\text{natural} \Rightarrow \text{Random.seed} \Rightarrow (('a \Rightarrow 'b) \times (\text{unit} \Rightarrow \text{term})) \times \text{Random.seed}$

where *random i* = *random-fun-lift* (*random i*)

instance $\langle \text{proof} \rangle$

end

Towards type copies and datatypes

definition *collapse* :: $('a \Rightarrow ('a \Rightarrow 'b \times 'a) \times 'a) \Rightarrow 'a \Rightarrow 'b \times 'a$
where *collapse f* = (*f* $\circ \rightarrow \text{id}$)

definition *beyond* :: $\text{natural} \Rightarrow \text{natural} \Rightarrow \text{natural}$

where *beyond k l* = (*if l > k then l else 0*)

lemma *beyond-zero*: *beyond k 0* = 0

$\langle \text{proof} \rangle$

definition (**in** *term-syntax*) [*code-unfold*]:

valterm-emptyset = *Code-Evaluation.valtermify* ($\{\}$:: $('a :: \text{typerrep}) \text{set}$)

definition (**in** *term-syntax*) [*code-unfold*]:

valtermify-insert x s = *Code-Evaluation.valtermify insert* $\{\cdot\}$ (*x* :: $('a :: \text{typerrep}$
 $\ast -)$) $\{\cdot\}$ *s*

instantiation *set* :: (*random*) *random*

begin

fun *random-aux-set*

where

random-aux-set 0 j = *collapse* (*Random.select-weight* [(1, *Pair valterm-emptyset*)])
 $| \text{random-aux-set } (\text{Code-Numeral.Suc } i) j =$
collapse (*Random.select-weight*
 [(1, *Pair valterm-emptyset*),
 (*Code-Numeral.Suc i*,
random j $\circ \rightarrow$ ($\%x.$ *random-aux-set i j* $\circ \rightarrow$ ($\%s.$ *Pair (valtermify-insert x*
s)))))])

lemma [*code*]:

random-aux-set i j =

collapse (*Random.select-weight* [(1, *Pair valterm-emptyset*),

```

    (i, random j  $\circ\rightarrow$  (%x. random-aux-set (i - 1) j  $\circ\rightarrow$  (%s. Pair (valtermify-insert
x s))))))
  <proof>

```

definition *random-set* i = *random-aux-set* i i

instance <proof>

end

lemma *random-aux-rec*:

fixes *random-aux* :: *natural* \Rightarrow 'a

assumes *random-aux* 0 = *rhs* 0

and $\bigwedge k.$ *random-aux* (Code-Numeral.Suc k) = *rhs* (Code-Numeral.Suc k)

shows *random-aux* k = *rhs* k

<proof>

78.5 Deriving random generators for datatypes

<ML>

78.6 Code setup

code-printing

constant *random-fun-aux* \rightarrow (Quickcheck) Random'-Generators.random'-fun

— With enough criminal energy this can be abused to derive *False*; for this reason we use a distinguished target *Quickcheck* not spoiling the regular trusted code generation

code-reserved *Quickcheck* Random-Generators

no-notation *fcomp* (infixl $\circ>$ 60)

no-notation *scomp* (infixl $\circ\rightarrow$ 60)

hide-const (open) *catch-match* *random* *collapse* *beyond* *random-fun-aux* *random-fun-lift*

hide-fact (open) *collapse-def* *beyond-def* *random-fun-lift-def*

end

79 The Random-Predicate Monad

theory *Random-Pred*

imports *Quickcheck-Random*

begin

fun *iter'* :: 'a *itself* \Rightarrow *natural* \Rightarrow *natural* \Rightarrow *Random.seed* \Rightarrow ('a::random) *Predicate.pred*

where


```

iter' T nrandom sz seed = (if nrandom = 0 then bot-class.bot else
  let ((x, -), seed') = Quickcheck-Random.random sz seed
  in Predicate.Seq (%u. Predicate.Insert x (iter' T (nrandom - 1) sz seed')))

```

definition *iter* :: *natural* \Rightarrow *natural* \Rightarrow *Random.seed* \Rightarrow (*'a*::*random*) *Predicate.pred*
where
iter nrandom sz seed = *iter'* (*TYPE*(*'a*)) *nrandom sz seed*

lemma [*code*]:
iter nrandom sz seed = (if *nrandom* = 0 then *bot-class.bot* else
 let ((*x*, -), *seed'*) = *Quickcheck-Random.random sz seed*
 in *Predicate.Seq* (%*u*. *Predicate.Insert x (iter (nrandom - 1) sz seed')*)))
 ⟨*proof*⟩

type-synonym *'a random-pred* = *Random.seed* \Rightarrow (*'a Predicate.pred* \times *Random.seed*)

definition *empty* :: *'a random-pred*
where *empty* = *Pair bot*

definition *single* :: *'a* \Rightarrow *'a random-pred*
where *single x* = *Pair (Predicate.single x)*

definition *bind* :: *'a random-pred* \Rightarrow (*'a* \Rightarrow *'b random-pred*) \Rightarrow *'b random-pred*
where
bind R f = (λs . let
 (*P*, *s'*) = *R s*;
 (*s1*, *s2*) = *Random.split-seed s'*
 in (*Predicate.bind P (%a. fst (f a s1))*, *s2*))

definition *union* :: *'a random-pred* \Rightarrow *'a random-pred* \Rightarrow *'a random-pred*
where
union R1 R2 = (λs . let
 (*P1*, *s'*) = *R1 s*; (*P2*, *s''*) = *R2 s'*
 in (*sup-class.sup P1 P2*, *s''*))

definition *if-randompred* :: *bool* \Rightarrow *unit random-pred*
where
if-randompred b = (if *b* then *single ()* else *empty*)

definition *iterate-upto* :: (*natural* \Rightarrow *'a*) \Rightarrow *natural* \Rightarrow *natural* \Rightarrow *'a random-pred*
where
iterate-upto f n m = *Pair (Predicate.iterate-upto f n m)*

definition *not-randompred* :: *unit random-pred* \Rightarrow *unit random-pred*
where
not-randompred P = (λs . let
 (*P'*, *s'*) = *P s*
 in if *Predicate.eval P' ()* then (*Orderings.bot*, *s'*) else (*Predicate.single ()*, *s'*))

definition *Random* :: (*Random.seed* \Rightarrow ('a \times (unit \Rightarrow term)) \times *Random.seed*) \Rightarrow 'a *random-pred*
where *Random* *g* = *scomp* *g* (*Pair* *o* (*Predicate.single* *o* *fst*))

definition *map* :: ('a \Rightarrow 'b) \Rightarrow 'a *random-pred* \Rightarrow 'b *random-pred*
where *map* *f* *P* = *bind* *P* (*single* *o* *f*)

hide-const (**open**) *iter'* *iter* *empty* *single* *bind* *union* *if-randompred*
iterate-upto *not-randompred* *Random* *map*

hide-fact *iter'*.*simps*

hide-fact (**open**) *iter-def* *empty-def* *single-def* *bind-def* *union-def*
if-randompred-def *iterate-upto-def* *not-randompred-def* *Random-def* *map-def*

end

80 Various kind of sequences inside the random monad

theory *Random-Sequence*
imports *Random-Pred*
begin

type-synonym 'a *random-dseq* = *natural* \Rightarrow *natural* \Rightarrow *Random.seed* \Rightarrow ('a *Limited-Sequence.dseq* \times *Random.seed*)

definition *empty* :: 'a *random-dseq*
where
empty = (%*nrandom* *size*. *Pair* (*Limited-Sequence.empty*))

definition *single* :: 'a \Rightarrow 'a *random-dseq*
where
single *x* = (%*nrandom* *size*. *Pair* (*Limited-Sequence.single* *x*))

definition *bind* :: 'a *random-dseq* \Rightarrow ('a \Rightarrow 'b *random-dseq*) \Rightarrow 'b *random-dseq*
where
bind *R* *f* = (λ *nrandom* *size* *s*. *let*
 (*P*, *s'*) = *R* *nrandom* *size* *s*;
 (*s1*, *s2*) = *Random.split-seed* *s'*
 in (*Limited-Sequence.bind* *P* (%*a*. *fst* (*f* *a* *nrandom* *size* *s1*)), *s2*))

definition *union* :: 'a *random-dseq* \Rightarrow 'a *random-dseq* \Rightarrow 'a *random-dseq*
where
union *R1* *R2* = (λ *nrandom* *size* *s*. *let*
 (*S1*, *s'*) = *R1* *nrandom* *size* *s*; (*S2*, *s''*) = *R2* *nrandom* *size* *s'*
 in (*Limited-Sequence.union* *S1* *S2*, *s''*))

definition *if-random-dseq* :: *bool* => *unit random-dseq*

where

if-random-dseq *b* = (*if* *b* *then* *single* () *else* *empty*)

definition *not-random-dseq* :: *unit random-dseq* => *unit random-dseq*

where

not-random-dseq *R* = (λ *nrandom* *size* *s*. *let*
 (*S*, *s'*) = *R nrandom size s*
 in (*Limited-Sequence.not-seq* *S*, *s'*))

definition *map* :: ('*a* => '*b*) => '*a* random-dseq => '*b* random-dseq

where

map *f* *P* = *bind* *P* (*single* *o f*)

fun *Random* :: (*natural* => *Random.seed* => (('a × (*unit* => *term*)) × *Random.seed*))
 => '*a* random-dseq

where

Random *g nrandom* = (%*size*. *if* *nrandom* <= 0 *then* (*Pair Limited-Sequence.empty*)
 else
 (*scomp* (*g size*) (%*r*. *scomp* (*Random g (nrandom - 1) size*) (%*rs*. *Pair*
 (*Limited-Sequence.union* (*Limited-Sequence.single* (*fst r*)) *rs*))))))

type-synonym '*a* *pos-random-dseq* = *natural* => *natural* => *Random.seed* => '*a*
Limited-Sequence.pos-dseq

definition *pos-empty* :: '*a* *pos-random-dseq*

where

pos-empty = (%*nrandom* *size* *seed*. *Limited-Sequence.pos-empty*)

definition *pos-single* :: '*a* => '*a* *pos-random-dseq*

where

pos-single *x* = (%*nrandom* *size* *seed*. *Limited-Sequence.pos-single* *x*)

definition *pos-bind* :: '*a* *pos-random-dseq* => ('*a* => '*b* *pos-random-dseq*) => '*b*
pos-random-dseq

where

pos-bind *R f* = (λ *nrandom* *size* *seed*. *Limited-Sequence.pos-bind* (*R nrandom size*
seed) (%*a*. *f a nrandom size seed*))

definition *pos-decr-bind* :: '*a* *pos-random-dseq* => ('*a* => '*b* *pos-random-dseq*) =>
 '*b* *pos-random-dseq*

where

pos-decr-bind *R f* = (λ *nrandom* *size* *seed*. *Limited-Sequence.pos-decr-bind* (*R*
nrandom size seed) (%*a*. *f a nrandom size seed*))

definition *pos-union* :: '*a* *pos-random-dseq* => '*a* *pos-random-dseq* => '*a* *pos-random-dseq*

where

pos-union $R1\ R2 = (\lambda nrandom\ size\ seed.\ Limited-Sequence.pos-union\ (R1\ nrandom\ size\ seed)\ (R2\ nrandom\ size\ seed))$

definition *pos-if-random-dseq* :: *bool* => *unit pos-random-dseq*
where

pos-if-random-dseq $b = (if\ b\ then\ pos-single\ ()\ else\ pos-empty)$

definition *pos-iterate-upto* :: (*natural* => '*a*) => *natural* => *natural* => '*a pos-random-dseq*

where

pos-iterate-upto $f\ n\ m = (\lambda nrandom\ size\ seed.\ Limited-Sequence.pos-iterate-upto\ f\ n\ m)$

definition *pos-map* :: ('*a* => '*b*) => '*a pos-random-dseq* => '*b pos-random-dseq*
where

pos-map $f\ P = pos-bind\ P\ (pos-single\ o\ f)$

fun *iter* :: (*Random.seed* => ('*a* × (*unit* => *term*)) × *Random.seed*)
=> *natural* => *Random.seed* => '*a Lazy-Sequence.lazy-sequence*

where

iter $random\ nrandom\ seed =$
(if $nrandom = 0$ *then* $Lazy-Sequence.empty$ *else* $Lazy-Sequence.Lazy-Sequence$
 $(\%u.\ let\ ((x,\ -),\ seed') = random\ seed\ in\ Some\ (x,\ iter\ random\ (nrandom - 1)\ seed')))$

definition *pos-Random* :: (*natural* => *Random.seed* => ('*a* × (*unit* => *term*)) × *Random.seed*)

=> '*a pos-random-dseq*

where

pos-Random $g = (\%nrandom\ size\ seed\ depth.\ iter\ (g\ size)\ nrandom\ seed)$

type-synonym '*a neg-random-dseq* = *natural* => *natural* => *Random.seed* => '*a Limited-Sequence.neg-dseq*

definition *neg-empty* :: '*a neg-random-dseq*

where

neg-empty = $(\%nrandom\ size\ seed.\ Limited-Sequence.neg-empty)$

definition *neg-single* :: '*a* => '*a neg-random-dseq*

where

neg-single $x = (\%nrandom\ size\ seed.\ Limited-Sequence.neg-single\ x)$

definition *neg-bind* :: '*a neg-random-dseq* => ('*a* => '*b neg-random-dseq*) => '*b neg-random-dseq*

where

neg-bind $R\ f = (\lambda nrandom\ size\ seed.\ Limited-Sequence.neg-bind\ (R\ nrandom\ size\ seed)\ (\%a.\ f\ a\ nrandom\ size\ seed))$

definition *neg-decr-bind* :: 'a neg-random-dseq => ('a => 'b neg-random-dseq) => 'b neg-random-dseq

where

neg-decr-bind R f = (λ nrandom size seed. *Limited-Sequence.neg-decr-bind* (R nrandom size seed) (%a. f a nrandom size seed))

definition *neg-union* :: 'a neg-random-dseq => 'a neg-random-dseq => 'a neg-random-dseq

where

neg-union R1 R2 = (λ nrandom size seed. *Limited-Sequence.neg-union* (R1 nrandom size seed) (R2 nrandom size seed))

definition *neg-if-random-dseq* :: bool => unit neg-random-dseq

where

neg-if-random-dseq b = (if b then *neg-single* () else *neg-empty*)

definition *neg-iterate-upto* :: (natural => 'a) => natural => natural => 'a neg-random-dseq

where

neg-iterate-upto f n m = (λ nrandom size seed. *Limited-Sequence.neg-iterate-upto* f n m)

definition *neg-not-random-dseq* :: unit pos-random-dseq => unit neg-random-dseq

where

neg-not-random-dseq R = (λ nrandom size seed. *Limited-Sequence.neg-not-seq* (R nrandom size seed))

definition *neg-map* :: ('a => 'b) => 'a neg-random-dseq => 'b neg-random-dseq

where

neg-map f P = *neg-bind* P (*neg-single* o f)

definition *pos-not-random-dseq* :: unit neg-random-dseq => unit pos-random-dseq

where

pos-not-random-dseq R = (λ nrandom size seed. *Limited-Sequence.pos-not-seq* (R nrandom size seed))

hide-const (open)

empty single bind union if-random-dseq not-random-dseq map Random
pos-empty pos-single pos-bind pos-decr-bind pos-union pos-if-random-dseq pos-iterate-upto
pos-not-random-dseq pos-map iter pos-Random
neg-empty neg-single neg-bind neg-decr-bind neg-union neg-if-random-dseq neg-iterate-upto
neg-not-random-dseq neg-map

hide-fact (open) *empty-def single-def bind-def union-def if-random-dseq-def not-random-dseq-def*

map-def Random.simps

pos-empty-def pos-single-def pos-bind-def pos-decr-bind-def pos-union-def pos-if-random-dseq-def

pos-iterate-upto-def pos-not-random-dseq-def pos-map-def iter.simps pos-Random-def

neg-empty-def neg-single-def neg-bind-def neg-decr-bind-def neg-union-def neg-if-random-dseq-def

neg-iterate-upto-def neg-not-random-dseq-def neg-map-def

end

81 A simple counterexample generator performing exhaustive testing

```
theory Quickcheck-Exhaustive
imports Quickcheck-Random
keywords quickcheck-generator :: thy-decl
begin
```

81.1 Basic operations for exhaustive generators

```
definition orelse :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  'a option (infixr orelse 55)
  where [code-unfold]:  $x \text{ orelse } y = (\text{case } x \text{ of } \text{Some } x' \Rightarrow \text{Some } x' \mid \text{None} \Rightarrow y)$ 
```

81.2 Exhaustive generator type classes

```
class exhaustive = term-of +
  fixes exhaustive :: ('a  $\Rightarrow$  (bool  $\times$  term list) option)  $\Rightarrow$  natural  $\Rightarrow$  (bool  $\times$  term list) option
```

```
class full-exhaustive = term-of +
  fixes full-exhaustive ::
    ('a  $\times$  (unit  $\Rightarrow$  term)  $\Rightarrow$  (bool  $\times$  term list) option)  $\Rightarrow$  natural  $\Rightarrow$  (bool  $\times$  term list) option
```

```
instantiation natural :: full-exhaustive
begin
```

```
function full-exhaustive-natural' ::
  (natural  $\times$  (unit  $\Rightarrow$  term)  $\Rightarrow$  (bool  $\times$  term list) option)  $\Rightarrow$ 
  natural  $\Rightarrow$  natural  $\Rightarrow$  (bool  $\times$  term list) option
  where full-exhaustive-natural' f d i =
    (if d < i then None
     else (f (i,  $\lambda$ -. Code-Evaluation.term-of i)) orelse (full-exhaustive-natural' f d
      (i + 1)))
  <proof>
```

```
termination
  <proof>
```

```
definition full-exhaustive f d = full-exhaustive-natural' f d 0
```

```
instance <proof>
```

end

```
instantiation natural :: exhaustive
```

begin

function *exhaustive-natural'* ::
 (*natural* \Rightarrow (*bool* \times *term list*) *option*) \Rightarrow *natural* \Rightarrow *natural* \Rightarrow (*bool* \times *term list*) *option*
where *exhaustive-natural'* *f d i* =
 (*if d < i then None*
 else (f i orelse exhaustive-natural' f d (i + 1)))
<proof>

termination
<proof>

definition *exhaustive f d* = *exhaustive-natural' f d 0*

instance *<proof>*

end

instantiation *integer* :: *exhaustive*
begin

function *exhaustive-integer'* ::
 (*integer* \Rightarrow (*bool* \times *term list*) *option*) \Rightarrow *integer* \Rightarrow *integer* \Rightarrow (*bool* \times *term list*) *option*
where *exhaustive-integer'* *f d i* =
 (*if d < i then None else (f i orelse exhaustive-integer' f d (i + 1))*)
<proof>

termination
<proof>

definition *exhaustive f d* = *exhaustive-integer' f (integer-of-natural d) (-(integer-of-natural d))*

instance *<proof>*

end

instantiation *integer* :: *full-exhaustive*
begin

function *full-exhaustive-integer'* ::
 (*integer* \times (*unit* \Rightarrow *term*) \Rightarrow (*bool* \times *term list*) *option*) \Rightarrow
 integer \Rightarrow *integer* \Rightarrow (*bool* \times *term list*) *option*
where *full-exhaustive-integer'* *f d i* =
 (*if d < i then None*
 else
 (case f (i, λ-. Code-Evaluation.term-of i) of

$\text{Some } t \Rightarrow \text{Some } t$
 $| \text{None} \Rightarrow \text{full-exhaustive-integer}' f d (i + 1)))$
 $\langle \text{proof} \rangle$

termination

$\langle \text{proof} \rangle$

definition $\text{full-exhaustive } f d =$
 $\text{full-exhaustive-integer}' f (\text{integer-of-natural } d) (- (\text{integer-of-natural } d))$

instance $\langle \text{proof} \rangle$

end

instantiation $\text{nat} :: \text{exhaustive}$

begin

definition $\text{exhaustive } f d = \text{exhaustive } (\lambda x. f (\text{nat-of-natural } x)) d$

instance $\langle \text{proof} \rangle$

end

instantiation $\text{nat} :: \text{full-exhaustive}$

begin

definition $\text{full-exhaustive } f d =$
 $\text{full-exhaustive } (\lambda(x, xt). f (\text{nat-of-natural } x, \lambda-. \text{Code-Evaluation.term-of } (\text{nat-of-natural } x))) d$

instance $\langle \text{proof} \rangle$

end

instantiation $\text{int} :: \text{exhaustive}$

begin

function $\text{exhaustive-int}' ::$
 $(\text{int} \Rightarrow (\text{bool} \times \text{term list}) \text{ option}) \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow (\text{bool} \times \text{term list}) \text{ option}$
where $\text{exhaustive-int}' f d i =$
 $(\text{if } d < i \text{ then None else } (f i \text{ orelse } \text{exhaustive-int}' f d (i + 1)))$
 $\langle \text{proof} \rangle$

termination

$\langle \text{proof} \rangle$

definition $\text{exhaustive } f d =$
 $\text{exhaustive-int}' f (\text{int-of-integer } (\text{integer-of-natural } d))$
 $(- (\text{int-of-integer } (\text{integer-of-natural } d)))$


```

instance ⟨proof⟩

end

instantiation int :: full-exhaustive
begin

function full-exhaustive-int' ::
  (int × (unit ⇒ term) ⇒ (bool × term list) option) ⇒
  int ⇒ int ⇒ (bool × term list) option
where full-exhaustive-int' f d i =
  (if d < i then None
   else
    (case f (i, λ-. Code-Evaluation.term-of i) of
      Some t ⇒ Some t
    | None ⇒ full-exhaustive-int' f d (i + 1)))
  ⟨proof⟩

termination
  ⟨proof⟩

definition full-exhaustive f d =
  full-exhaustive-int' f (int-of-integer (integer-of-natural d))
  (− (int-of-integer (integer-of-natural d)))

instance ⟨proof⟩

end

instantiation prod :: (exhaustive, exhaustive) exhaustive
begin

definition exhaustive f d = exhaustive (λx. exhaustive (λy. f ((x, y))) d) d

instance ⟨proof⟩

end

definition (in term-syntax)
  [code-unfold]: valtermify-pair x y =
    Code-Evaluation.valtermify (Pair :: 'a::typerep ⇒ 'b::typerep ⇒ 'a × 'b) {·} x
    {·} y

instantiation prod :: (full-exhaustive, full-exhaustive) full-exhaustive
begin

definition full-exhaustive f d =
  full-exhaustive (λx. full-exhaustive (λy. f (valtermify-pair x y)) d) d

```

```

instance ⟨proof⟩

end

instantiation set :: (exhaustive) exhaustive
begin

fun exhaustive-set
where
  exhaustive-set f i =
    (if i = 0 then None
     else
      f {} orelse
      exhaustive-set
      (λA. f A orelse exhaustive (λx. if x ∈ A then None else f (insert x A)) (i -
1)) (i - 1))

instance ⟨proof⟩

end

instantiation set :: (full-exhaustive) full-exhaustive
begin

fun full-exhaustive-set
where
  full-exhaustive-set f i =
    (if i = 0 then None
     else
      f valterm-emptyset orelse
      full-exhaustive-set
      (λA. f A orelse Quickcheck-Exhaustive.full-exhaustive
      (λx. if fst x ∈ fst A then None else f (valtermify-insert x A)) (i - 1)) (i
- 1))

instance ⟨proof⟩

end

instantiation fun :: ({equal,exhaustive}, exhaustive) exhaustive
begin

fun exhaustive-fun' ::
  (('a ⇒ 'b) ⇒ (bool × term list) option) ⇒ natural ⇒ natural ⇒ (bool × term
list) option
where
  exhaustive-fun' f i d =
    (exhaustive (λb. f (λ-. b)) d) orelse

```

```

      (if i > 1 then
        exhaustive-fun'
        (λg. exhaustive (λa. exhaustive (λb. f (g(a := b))) d) d) (i - 1) d else
None)

```

definition *exhaustive-fun* ::

```

((('a ⇒ 'b) ⇒ (bool × term list) option) ⇒ natural ⇒ (bool × term list) option
 where exhaustive-fun f d = exhaustive-fun' f d d

```

instance ⟨proof⟩

end

definition [code-unfold]:

```

valtermify-absdummy =
  (λ(v, t).
    (λ-::'a. v,
     λu::unit. Code-Evaluation.Abs (STR "x") (Typerep.typerep TYPE('a::typerep))
    (t ())))

```

definition (in *term-syntax*)

```

[code-unfold]: valtermify-fun-upd g a b =
  Code-Evaluation.valtermify
  (fun-upd :: ('a::typerep ⇒ 'b::typerep) ⇒ 'a ⇒ 'b ⇒ 'a ⇒ 'b) {·} g {·} a {·}
b

```

instantiation *fun* :: ({equal,full-exhaustive}, full-exhaustive) full-exhaustive
begin

fun *full-exhaustive-fun'* ::

```

((('a ⇒ 'b) × (unit ⇒ term) ⇒ (bool × term list) option) ⇒
 natural ⇒ natural ⇒ (bool × term list) option

```

where

```

full-exhaustive-fun' f i d =
  full-exhaustive (λv. f (valtermify-absdummy v)) d orelse
  (if i > 1 then
    full-exhaustive-fun'
    (λg. full-exhaustive
      (λa. full-exhaustive (λb. f (valtermify-fun-upd g a b)) d) d) (i - 1) d
    else None)

```

definition *full-exhaustive-fun* ::

```

((('a ⇒ 'b) × (unit ⇒ term) ⇒ (bool × term list) option) ⇒
 natural ⇒ (bool × term list) option
 where full-exhaustive-fun f d = full-exhaustive-fun' f d d

```

instance ⟨proof⟩

end

81.2.1 A smarter enumeration scheme for functions over finite datatypes

```

class check-all = enum + term-of +
  fixes check-all :: ('a × (unit ⇒ term) ⇒ (bool × term list) option) ⇒ (bool *
term list) option
  fixes enum-term-of :: 'a itself ⇒ unit ⇒ term list

```

```

fun check-all-n-lists :: ('a::check-all list × (unit ⇒ term list) ⇒
(bool × term list) option) ⇒ natural ⇒ (bool * term list) option

```

where

```

  check-all-n-lists f n =
    (if n = 0 then f ([], (λ-. []))
     else check-all (λ(x, xt).
      check-all-n-lists (λ(xs, xst). f ((x # xs), (λ-. (xt () # xst ()))) (n - 1)))

```

definition (in term-syntax)

```

[code-unfold]: termify-fun-upd g a b =
  (Code-Evaluation.termify
   (fun-upd :: ('a::typerep ⇒ 'b::typerep) ⇒ 'a ⇒ 'b ⇒ 'a ⇒ 'b) <·> g <·> a
   <·> b)

```

definition mk-map-term ::

```

(unit ⇒ typerep) ⇒ (unit ⇒ typerep) ⇒
  (unit ⇒ term list) ⇒ (unit ⇒ term list) ⇒ unit ⇒ term
where mk-map-term T1 T2 domm rng =
  (λ-.
   let
     T1 = T1 ();
     T2 = T2 ();
     update-term =
       (λg (a, b).
        Code-Evaluation.App (Code-Evaluation.App (Code-Evaluation.App
          (Code-Evaluation.Const (STR "Fun.fun-upd")
            (Typerep.Typerep (STR "fun") [Typerep.Typerep (STR "fun") [T1,
T2],
              Typerep.Typerep (STR "fun") [T1,
                Typerep.Typerep (STR "fun") [T2, Typerep.Typerep (STR "fun")
[T1, T2]]]]]))
          g) a) b)
     in
     List.foldl update-term
       (Code-Evaluation.Abs (STR "x") T1
        (Code-Evaluation.Const (STR "HOL.undefined") T2)) (zip (domm ())
(rng ())))

```

instantiation fun :: ({equal,check-all}, check-all) check-all
begin

definition

```

check-all f =
  (let
    mk-term =
      mk-map-term
        (λ-. Typerep.typerep (TYPE('a)))
        (λ-. Typerep.typerep (TYPE('b)))
        (enum-term-of (TYPE('a')));
    enum = (Enum.enum :: 'a list)
  in
    check-all-n-lists
      (λ(ys, yst). f (the o map-of (zip enum ys), mk-term yst))
      (natural-of-nat (length enum)))

```

definition *enum-term-of-fun* :: ('a ⇒ 'b) itself ⇒ unit ⇒ term list
where *enum-term-of-fun* =
 (λ-.
 let
 enum-term-of-a = enum-term-of (TYPE('a'));
 mk-term =
 mk-map-term
 (λ-. Typerep.typerep (TYPE('a'))
 (λ-. Typerep.typerep (TYPE('b'))
 enum-term-of-a
 in
 map (λys. mk-term (λ-. ys) ()))
 (List.n-lists (length (enum-term-of-a ())) (enum-term-of (TYPE('b')) ())))

instance ⟨proof⟩

end

fun (in *term-syntax*) *check-all-subsets* ::
 (('a::typerep) set × (unit ⇒ term) ⇒ (bool × term list) option) ⇒
 ('a × (unit ⇒ term)) list ⇒ (bool × term list) option
where
check-all-subsets f [] = f valterm-emptyset
| *check-all-subsets* f (x # xs) =
check-all-subsets (λs. case f s of Some ts ⇒ Some ts | None ⇒ f (valtermify-insert
x s)) xs

definition (in *term-syntax*)
[*code-unfold*]: *term-emptyset* = *Code-Evaluation.termify* ({ } :: ('a::typerep) set)

definition (in *term-syntax*)
[*code-unfold*]: *termify-insert* x s =
Code-Evaluation.termify (insert :: ('a::typerep) ⇒ 'a set ⇒ 'a set) <·> x <·>
s

definition (in *term-syntax*) *setify* :: ('a::typerep) *itself* \Rightarrow *term list* \Rightarrow *term*
where

setify *T ts* = *foldr* (*termify-insert* *T*) *ts* (*term-emptyset* *T*)

instantiation *set* :: (*check-all*) *check-all*
begin

definition

check-all-set *f* =
check-all-subsets *f*
 (*zip* (*Enum.enum* :: 'a *list*)
 (*map* ($\lambda a. \lambda u :: \text{unit}. a$) (*Quickcheck-Exhaustive.enum-term-of* (*TYPE* ('a))
 ())))

definition *enum-term-of-set* :: 'a *set* *itself* \Rightarrow *unit* \Rightarrow *term list*

where *enum-term-of-set* - - =
map (*setify* (*TYPE* ('a))) (*subseqs* (*Quickcheck-Exhaustive.enum-term-of* (*TYPE* ('a))
 ()))

instance <*proof*>

end

instantiation *unit* :: *check-all*
begin

definition *check-all* *f* = *f* (*Code-Evaluation.valtermify* ())

definition *enum-term-of-unit* :: *unit* *itself* \Rightarrow *unit* \Rightarrow *term list*

where *enum-term-of-unit* = ($\lambda - .$ [*Code-Evaluation.term-of* ()])

instance <*proof*>

end

instantiation *bool* :: *check-all*
begin

definition

check-all *f* =
 (*case* *f* (*Code-Evaluation.valtermify* *False*) *of*
 Some *x'* \Rightarrow *Some* *x'*
 | *None* \Rightarrow *f* (*Code-Evaluation.valtermify* *True*))

definition *enum-term-of-bool* :: *bool* *itself* \Rightarrow *unit* \Rightarrow *term list*

where *enum-term-of-bool* = ($\lambda - .$ *map* *Code-Evaluation.term-of* (*Enum.enum*
 :: *bool list*))

instance $\langle proof \rangle$

end

definition (in *term-syntax*) [code-unfold]:

termify-pair $x\ y =$
 $Code-Evaluation.termify\ (Pair :: 'a::typerep \Rightarrow 'b :: typerep \Rightarrow 'a * 'b) <\cdot>\ x$
 $<\cdot>\ y$

instantiation $prod :: (check-all, check-all)\ check-all$
begin

definition $check-all\ f = check-all\ (\lambda x. check-all\ (\lambda y. f\ (valtermify-pair\ x\ y)))$

definition $enum-term-of-prod :: ('a * 'b)\ itself \Rightarrow unit \Rightarrow term\ list$

where $enum-term-of-prod =$
 $(\lambda -.$
 $map\ (\lambda(x, y). termify-pair\ TYPE('a)\ TYPE('b)\ x\ y)$
 $(List.product\ (enum-term-of\ (TYPE('a))\ ())\ (enum-term-of\ (TYPE('b))$
 $()))))$

instance $\langle proof \rangle$

end

definition (in *term-syntax*)

[code-unfold]: $valtermify-Inl\ x =$
 $Code-Evaluation.valtermify\ (Inl :: 'a::typerep \Rightarrow 'a + 'b :: typerep)\ \{\cdot\}\ x$

definition (in *term-syntax*)

[code-unfold]: $valtermify-Inr\ x =$
 $Code-Evaluation.valtermify\ (Inr :: 'b::typerep \Rightarrow 'a::typerep + 'b)\ \{\cdot\}\ x$

instantiation $sum :: (check-all, check-all)\ check-all$
begin

definition

$check-all\ f = check-all\ (\lambda a. f\ (valtermify-Inl\ a))\ orelse\ check-all\ (\lambda b. f\ (valtermify-Inr\ b))$

definition $enum-term-of-sum :: ('a + 'b)\ itself \Rightarrow unit \Rightarrow term\ list$

where $enum-term-of-sum =$
 $(\lambda -.$
 let
 $T1 = Typerep.typerep\ (TYPE('a));$
 $T2 = Typerep.typerep\ (TYPE('b))$
 in
 map
 $(Code-Evaluation.App\ (Code-Evaluation.Const\ (STR\ "Sum-Type.Inl"))$

```

      (Typerep.Typerep (STR "fun") [T1, Typerep.Typerep (STR "Sum-Type.sum")
[T1, T2]]]))
      (enum-term-of (TYPE('a)) ()) @
      map
      (Code-Evaluation.App (Code-Evaluation.Const (STR "Sum-Type.Inr")
      (Typerep.Typerep (STR "fun") [T2, Typerep.Typerep (STR "Sum-Type.sum")
[T1, T2]]]))
      (enum-term-of (TYPE('b)) ()))

```

instance $\langle proof \rangle$

end

instantiation *char* :: *check-all*

begin

primrec *check-all-char'* ::

$(char \times (unit \Rightarrow term) \Rightarrow (bool \times term\ list)\ option) \Rightarrow char\ list \Rightarrow (bool \times term\ list)\ option$

where *check-all-char'* *f* [] = *None*

| *check-all-char'* *f* (*c* # *cs*) = *f* (*c*, $\lambda\cdot$. *Code-Evaluation.term-of* *c*)

orelse *check-all-char'* *f* *cs*

definition *check-all-char* ::

$(char \times (unit \Rightarrow term) \Rightarrow (bool \times term\ list)\ option) \Rightarrow (bool \times term\ list)\ option$

where *check-all* *f* = *check-all-char'* *f* *Enum.enum*

definition *enum-term-of-char* :: *char* itself \Rightarrow *unit* \Rightarrow *term* *list*

where

enum-term-of-char = ($\lambda\cdot$ -. *map* *Code-Evaluation.term-of* (*Enum.enum* :: *char* *list*))

instance $\langle proof \rangle$

end

instantiation *option* :: (*check-all*) *check-all*

begin

definition

check-all *f* =

f (*Code-Evaluation.valtermify* (*None* :: 'a *option*)) orelse

check-all

($\lambda(x, t).$

f

(*Some* *x*,

$\lambda\cdot$. *Code-Evaluation.App*

(*Code-Evaluation.Const* (STR "Option.option.Some")

(*Typerep.Typerep* (STR "fun"))


```

      [Typerep.typerep TYPE('a),
       Typerep.Typerep (STR "Option.option") [Typerep.typerep TYPE('a)]]])
(t ()))

```

```

definition enum-term-of-option :: 'a option itself  $\Rightarrow$  unit  $\Rightarrow$  term list
where enum-term-of-option =
  ( $\lambda$  -.
    Code-Evaluation.term-of (None :: 'a option) #
    (map
      (Code-Evaluation.App
        (Code-Evaluation.Const (STR "Option.option.Some")
          (Typerep.Typerep (STR "fun")
            [Typerep.typerep TYPE('a),
             Typerep.Typerep (STR "Option.option") [Typerep.typerep TYPE('a)]]]))
        (enum-term-of (TYPE('a)) ())))

```

```

instance <proof>

```

```

end

```

```

instantiation Enum.finite-1 :: check-all
begin

```

```

definition check-all f = f (Code-Evaluation.valtermify Enum.finite-1.a1)

```

```

definition enum-term-of-finite-1 :: Enum.finite-1 itself  $\Rightarrow$  unit  $\Rightarrow$  term list
where enum-term-of-finite-1 = ( $\lambda$  -. [Code-Evaluation.term-of Enum.finite-1.a1])

```

```

instance <proof>

```

```

end

```

```

instantiation Enum.finite-2 :: check-all
begin

```

```

definition
  check-all f =
    (f (Code-Evaluation.valtermify Enum.finite-2.a1) or else
     f (Code-Evaluation.valtermify Enum.finite-2.a2))

```

```

definition enum-term-of-finite-2 :: Enum.finite-2 itself  $\Rightarrow$  unit  $\Rightarrow$  term list
where enum-term-of-finite-2 =
  ( $\lambda$  -. map Code-Evaluation.term-of (Enum.enum :: Enum.finite-2 list))

```

```

instance <proof>

```

```

end

```

instantiation *Enum.finite-3* :: *check-all*
begin

definition

check-all *f* =
 (*f* (*Code-Evaluation.valtermify* *Enum.finite-3.a₁*) *orElse*
f (*Code-Evaluation.valtermify* *Enum.finite-3.a₂*) *orElse*
f (*Code-Evaluation.valtermify* *Enum.finite-3.a₃*))

definition *enum-term-of-finite-3* :: *Enum.finite-3* *itself* \Rightarrow *unit* \Rightarrow *term list*
where *enum-term-of-finite-3* =
 (λ - *-*. *map* *Code-Evaluation.term-of* (*Enum.enum* :: *Enum.finite-3 list*))

instance \langle *proof* \rangle

end

instantiation *Enum.finite-4* :: *check-all*
begin

definition

check-all *f* =
f (*Code-Evaluation.valtermify* *Enum.finite-4.a₁*) *orElse*
f (*Code-Evaluation.valtermify* *Enum.finite-4.a₂*) *orElse*
f (*Code-Evaluation.valtermify* *Enum.finite-4.a₃*) *orElse*
f (*Code-Evaluation.valtermify* *Enum.finite-4.a₄*)

definition *enum-term-of-finite-4* :: *Enum.finite-4* *itself* \Rightarrow *unit* \Rightarrow *term list*
where *enum-term-of-finite-4* =
 (λ - *-*. *map* *Code-Evaluation.term-of* (*Enum.enum* :: *Enum.finite-4 list*))

instance \langle *proof* \rangle

end

81.3 Bounded universal quantifiers

class *bounded-forall* =
fixes *bounded-forall* :: (*'a* \Rightarrow *bool*) \Rightarrow *natural* \Rightarrow *bool*

81.4 Fast exhaustive combinators

class *fast-exhaustive* = *term-of* +
fixes *fast-exhaustive* :: (*'a* \Rightarrow *unit*) \Rightarrow *natural* \Rightarrow *unit*

axiomatization *throw-Counterexample* :: *term list* \Rightarrow *unit*

axiomatization *catch-Counterexample* :: *unit* \Rightarrow *term list option*

code-printing

constant *throw-Counterexample* \rightarrow

```

    (Quickcheck) raise (Exhaustive'-Generators.Counterexample -)
| constant catch-Counterexample  $\rightarrow$ 
    (Quickcheck) (((-); NONE) handle Exhaustive'-Generators.Counterexample ts
 $\Rightarrow$  SOME ts)

```

81.5 Continuation passing style functions as plus monad

type-synonym 'a cps = ('a \Rightarrow term list option) \Rightarrow term list option

definition cps-empty :: 'a cps
where cps-empty = (λ cont. None)

definition cps-single :: 'a \Rightarrow 'a cps
where cps-single v = (λ cont. cont v)

definition cps-bind :: 'a cps \Rightarrow ('a \Rightarrow 'b cps) \Rightarrow 'b cps
where cps-bind m f = (λ cont. m (λ a. (f a) cont))

definition cps-plus :: 'a cps \Rightarrow 'a cps \Rightarrow 'a cps
where cps-plus a b = (λ c. case a c of None \Rightarrow b c | Some x \Rightarrow Some x)

definition cps-if :: bool \Rightarrow unit cps
where cps-if b = (if b then cps-single () else cps-empty)

definition cps-not :: unit cps \Rightarrow unit cps
where cps-not n = (λ c. case n (λ u. Some []) of None \Rightarrow c () | Some - \Rightarrow None)

type-synonym 'a pos-bound-cps =
('a \Rightarrow (bool * term list) option) \Rightarrow natural \Rightarrow (bool * term list) option

definition pos-bound-cps-empty :: 'a pos-bound-cps
where pos-bound-cps-empty = (λ cont i. None)

definition pos-bound-cps-single :: 'a \Rightarrow 'a pos-bound-cps
where pos-bound-cps-single v = (λ cont i. cont v)

definition pos-bound-cps-bind :: 'a pos-bound-cps \Rightarrow ('a \Rightarrow 'b pos-bound-cps) \Rightarrow
'b pos-bound-cps
where pos-bound-cps-bind m f = (λ cont i. if i = 0 then None else (m (λ a. (f a)
cont i) (i - 1)))

definition pos-bound-cps-plus :: 'a pos-bound-cps \Rightarrow 'a pos-bound-cps \Rightarrow 'a pos-bound-cps
where pos-bound-cps-plus a b = (λ c i. case a c i of None \Rightarrow b c i | Some x \Rightarrow
Some x)

definition pos-bound-cps-if :: bool \Rightarrow unit pos-bound-cps
where pos-bound-cps-if b = (if b then pos-bound-cps-single () else pos-bound-cps-empty)

datatype (plugins only: code extraction) (dead 'a) unknown =

Unknown | *Known* 'a

datatype (*plugins only: code extraction*) (*dead* 'a) *three-valued* =
Unknown-value | *Value* 'a | *No-value*

type-synonym 'a *neg-bound-cps* =
 ('a *unknown* \Rightarrow *term list three-valued*) \Rightarrow *natural* \Rightarrow *term list three-valued*

definition *neg-bound-cps-empty* :: 'a *neg-bound-cps*
where *neg-bound-cps-empty* = (λ cont i. *No-value*)

definition *neg-bound-cps-single* :: 'a \Rightarrow 'a *neg-bound-cps*
where *neg-bound-cps-single* v = (λ cont i. *cont* (*Known* v))

definition *neg-bound-cps-bind* :: 'a *neg-bound-cps* \Rightarrow ('a \Rightarrow 'b *neg-bound-cps*) \Rightarrow 'b *neg-bound-cps*
where *neg-bound-cps-bind* m f =
 (λ cont i.
 if i = 0 then *cont Unknown*
 else m (λ a. *case* a of *Unknown* \Rightarrow *cont Unknown* | *Known* a' \Rightarrow f a' *cont i*)
 (i - 1))

definition *neg-bound-cps-plus* :: 'a *neg-bound-cps* \Rightarrow 'a *neg-bound-cps* \Rightarrow 'a *neg-bound-cps*
where *neg-bound-cps-plus* a b =
 (λ c i.
 case a c i of
 No-value \Rightarrow b c i
 | *Value* x \Rightarrow *Value* x
 | *Unknown-value* \Rightarrow
 (*case* b c i of
 No-value \Rightarrow *Unknown-value*
 | *Value* x \Rightarrow *Value* x
 | *Unknown-value* \Rightarrow *Unknown-value*))

definition *neg-bound-cps-if* :: *bool* \Rightarrow *unit neg-bound-cps*
where *neg-bound-cps-if* b = (if b then *neg-bound-cps-single* () else *neg-bound-cps-empty*)

definition *neg-bound-cps-not* :: *unit pos-bound-cps* \Rightarrow *unit neg-bound-cps*
where *neg-bound-cps-not* n =
 (λ c i. *case* n (λ u. *Some* (*True*, [])) i of *None* \Rightarrow c (*Known* ()) | *Some* - \Rightarrow *No-value*)

definition *pos-bound-cps-not* :: *unit neg-bound-cps* \Rightarrow *unit pos-bound-cps*
where *pos-bound-cps-not* n =
 (λ c i. *case* n (λ u. *Value* []) i of *No-value* \Rightarrow c () | *Value* - \Rightarrow *None* | *Unknown-value* \Rightarrow *None*)

81.6 Defining generators for any first-order data type

axiomatization *unknown* :: 'a

notation (**output**) *unknown* (?)

$\langle ML \rangle$

declare [[*quickcheck-batch-tester* = *exhaustive*]]

81.7 Defining generators for abstract types

$\langle ML \rangle$

hide-fact (**open**) *orelse-def*

no-notation *orelse* (**infixr** *orelse* 55)

hide-const *valtermify-absdummy valtermify-fun-upd*

valterm-emptyset valtermify-insert

valtermify-pair valtermify-Inl valtermify-Inr

termify-fun-upd term-emptyset termify-insert termify-pair setify

hide-const (**open**)

exhaustive full-exhaustive

exhaustive-int' full-exhaustive-int'

exhaustive-integer' full-exhaustive-integer'

exhaustive-natural' full-exhaustive-natural'

throw-Counterexample catch-Counterexample

check-all enum-term-of

orelse unknown mk-map-term check-all-n-lists check-all-subsets

hide-type (**open**) *cps pos-bound-cps neg-bound-cps unknown three-valued*

hide-const (**open**) *cps-empty cps-single cps-bind cps-plus cps-if cps-not*

pos-bound-cps-empty pos-bound-cps-single pos-bound-cps-bind

pos-bound-cps-plus pos-bound-cps-if pos-bound-cps-not

neg-bound-cps-empty neg-bound-cps-single neg-bound-cps-bind

neg-bound-cps-plus neg-bound-cps-if neg-bound-cps-not

Unknown Known Unknown-value Value No-value

end

82 A compiler for predicates defined by introduction rules

theory *Predicate-Compile*

imports *Random-Sequence Quickcheck-Exhaustive*

keywords

code-pred :: *thy-goal* **and**

values :: *diag*

begin

$\langle ML \rangle$

82.1 Set membership as a generator predicate

Introduce a new constant for membership to allow fine-grained control in code equations.

definition *contains* :: *'a set* \Rightarrow *'a* \Rightarrow *bool*

where *contains* *A x* \longleftrightarrow *x* : *A*

definition *contains-pred* :: *'a set* \Rightarrow *'a* \Rightarrow *unit Predicate.pred*

where *contains-pred* *A x* = (if *x* : *A* then *Predicate.single* () else bot)

lemma *pred-of-setE*:

assumes *Predicate.eval* (*pred-of-set* *A*) *x*

obtains *contains* *A x*

$\langle proof \rangle$

lemma *pred-of-setI*: *contains* *A x* \Rightarrow *Predicate.eval* (*pred-of-set* *A*) *x*

$\langle proof \rangle$

lemma *pred-of-set-eq*: *pred-of-set* \equiv $\lambda A. \text{Predicate.Pred } (\text{contains } A)$

$\langle proof \rangle$

lemma *containsI*: $x \in A \Rightarrow \text{contains } A x$

$\langle proof \rangle$

lemma *containsE*: **assumes** *contains* *A x*

obtains *A' x'* **where** *A* = *A' x* = *x' x* : *A*

$\langle proof \rangle$

lemma *contains-predI*: *contains* *A x* \Rightarrow *Predicate.eval* (*contains-pred* *A x*) ()

$\langle proof \rangle$

lemma *contains-predE*:

assumes *Predicate.eval* (*contains-pred* *A x*) *y*

obtains *contains* *A x*

$\langle proof \rangle$

lemma *contains-pred-eq*: *contains-pred* \equiv $\lambda A x. \text{Predicate.Pred } (\lambda y. \text{contains } A x)$

$\langle proof \rangle$

lemma *contains-pred-notI*:

$\neg \text{contains } A x \Rightarrow \text{Predicate.eval } (\text{Predicate.not-pred } (\text{contains-pred } A x)) ()$

⟨*proof*⟩

⟨*ML*⟩

```

hide-const (open) contains contains-pred
hide-fact (open) pred-of-setE pred-of-setI pred-of-set-eq
  containsI containsE contains-predI contains-predE contains-pred-eq contains-pred-notI

end

```

83 Counterexample generator performing narrowing-based testing

```

theory Quickcheck-Narrowing
imports Quickcheck-Random
keywords find-unused-assms :: diag
begin

```

83.1 Counterexample generator

83.1.1 Code generation setup

⟨*ML*⟩

```

code-printing
  code-module Typerep  $\rightarrow$  (Haskell-Quickcheck) (
    data Typerep = Typerep String [Typerep]
  )
  | type-constructor typerep  $\rightarrow$  (Haskell-Quickcheck) Typerep.Typerep
  | constant Typerep.Typerep  $\rightarrow$  (Haskell-Quickcheck) Typerep.Typerep
  | type-constructor integer  $\rightarrow$  (Haskell-Quickcheck) Prelude.Int

code-reserved Haskell-Quickcheck Typerep

```

```

code-printing
  constant 0::integer  $\rightarrow$ 
    (Haskell-Quickcheck)  $!(0 / :: / \text{Prelude.Int})$ 

```

⟨*ML*⟩

83.1.2 Narrowing’s deep representation of types and terms

```

datatype (plugins only: code extraction) narrowing-type =
  Narrowing-sum-of-products narrowing-type list list

datatype (plugins only: code extraction) narrowing-term =
  Narrowing-variable integer list narrowing-type
  | Narrowing-constructor integer narrowing-term list

```

datatype (*plugins only: code extraction*) (*dead 'a*) *narrowing-cons* =
Narrowing-cons narrowing-type (narrowing-term list \Rightarrow 'a) list

primrec *map-cons* :: (*'a \Rightarrow 'b*) \Rightarrow *'a narrowing-cons \Rightarrow 'b narrowing-cons*
where
map-cons f (Narrowing-cons ty cs) = Narrowing-cons ty (map ($\lambda c. f\ o\ c$) cs)

83.1.3 From narrowing’s deep representation of terms to *Code-Evaluation*’s terms

class *partial-term-of* = *typerep* +
fixes *partial-term-of* :: *'a itself \Rightarrow narrowing-term \Rightarrow Code-Evaluation.term*

lemma *partial-term-of-anything*: *partial-term-of x nt \equiv t*
<proof>

83.1.4 Auxiliary functions for Narrowing

consts *nth* :: *'a list \Rightarrow integer \Rightarrow 'a*

code-printing constant *nth* \rightarrow (*Haskell-Quickcheck*) **infixl 9 !!**

consts *error* :: *char list \Rightarrow 'a*

code-printing constant *error* \rightarrow (*Haskell-Quickcheck*) *error*

consts *toEnum* :: *integer \Rightarrow char*

code-printing constant *toEnum* \rightarrow (*Haskell-Quickcheck*) *Prelude.toEnum*

consts *marker* :: *char*

code-printing constant *marker* \rightarrow (*Haskell-Quickcheck*) *"\0"*

83.1.5 Narrowing’s basic operations

type-synonym *'a narrowing* = *integer \Rightarrow 'a narrowing-cons*

definition *cons* :: *'a \Rightarrow 'a narrowing*
where

cons a d = (Narrowing-cons (Narrowing-sum-of-products [[]]) [($\lambda -. a$)])

fun *conv* :: (*narrowing-term list \Rightarrow 'a*) *list \Rightarrow narrowing-term \Rightarrow 'a*
where

conv cs (Narrowing-variable p -) = error (marker # map toEnum p)
| conv cs (Narrowing-constructor i xs) = (nth cs i) xs

fun *non-empty* :: *narrowing-type \Rightarrow bool*
where

non-empty (Narrowing-sum-of-products ps) = (\neg (List.null ps))

definition *apply* :: ('a => 'b) narrowing => 'a narrowing => 'b narrowing

where

```

apply f a d = (if d > 0 then
  (case f d of Narrowing-cons (Narrowing-sum-of-products ps) cfs =>
    case a (d - 1) of Narrowing-cons ta cas =>
      let
        shallow = non-empty ta;
        cs = [(λ(x # xs) => cf xs (conv cas x)). shallow, cf ← cfs]
      in Narrowing-cons (Narrowing-sum-of-products [ta # p. shallow, p ← ps])
    cs)
  else Narrowing-cons (Narrowing-sum-of-products []) [])

```

definition *sum* :: 'a narrowing => 'a narrowing => 'a narrowing

where

```

sum a b d =
  (case a d of Narrowing-cons (Narrowing-sum-of-products ssa) ca =>
    case b d of Narrowing-cons (Narrowing-sum-of-products ssb) cb =>
      Narrowing-cons (Narrowing-sum-of-products (ssa @ ssb)) (ca @ cb))

```

lemma [fundef-cong]:

assumes $a\ d = a'\ d\ b\ d = b'\ d\ d = d'$

shows $\text{sum}\ a\ b\ d = \text{sum}\ a'\ b'\ d'$

⟨proof⟩

lemma [fundef-cong]:

assumes $f\ d = f'\ d\ (\bigwedge d'.\ 0 \leq d' \wedge d' < d \implies a\ d' = a'\ d')$

assumes $d = d'$

shows $\text{apply}\ f\ a\ d = \text{apply}\ f'\ a'\ d'$

⟨proof⟩

83.1.6 Narrowing generator type class

class *narrowing* =

fixes *narrowing* :: integer => 'a narrowing-cons

datatype (plugins only: code extraction) *property* =

Universal narrowing-type (narrowing-term => property) narrowing-term =>

Code-Evaluation.term

| *Existential* narrowing-type (narrowing-term => property) narrowing-term =>

Code-Evaluation.term

| *Property* bool

definition *exists* :: ('a :: {narrowing, partial-term-of} => property) => property

where

```

exists f = (case narrowing (100 :: integer) of Narrowing-cons ty cs => Existential
  ty (λ t. f (conv cs t)) (partial-term-of (TYPE('a))))

```

definition $all :: ('a :: \{narrowing, partial-term-of\} \Rightarrow property) \Rightarrow property$
where
 $all\ f = (case\ narrowing\ (100 :: integer)\ of\ Narrowing-cons\ ty\ cs \Rightarrow Universal$
 $ty\ (\lambda t. f\ (conv\ cs\ t))\ (partial-term-of\ (TYPE('a))))$

83.1.7 class *is-testable*

The class *is-testable* ensures that all necessary type instances are generated.

class *is-testable*

instance *bool* :: *is-testable* $\langle proof \rangle$

instance *fun* :: $(\{term-of, narrowing, partial-term-of\}, is-testable)$ *is-testable* $\langle proof \rangle$

definition *ensure-testable* :: $'a :: is-testable \Rightarrow 'a :: is-testable$

where

$ensure-testable\ f = f$

83.1.8 Defining a simple datatype to represent functions in an incomplete and redundant way

datatype (*plugins only: code quickcheck-narrowing extraction*) (*dead 'a, dead 'b*)
 $ffun =$

$Constant\ 'b$
 $| Update\ 'a\ 'b\ ('a, 'b)\ ffun$

primrec *eval-ffun* :: $('a, 'b)\ ffun \Rightarrow 'a \Rightarrow 'b$

where

$eval-ffun\ (Constant\ c)\ x = c$
 $| eval-ffun\ (Update\ x'\ y\ f)\ x = (if\ x = x'\ then\ y\ else\ eval-ffun\ f\ x)$

hide-type (**open**) *ffun*

hide-const (**open**) *Constant Update eval-ffun*

datatype (*plugins only: code quickcheck-narrowing extraction*) (*dead 'b*) *cfun* =
 $Constant\ 'b$

primrec *eval-cfun* :: $'b\ cfun \Rightarrow 'a \Rightarrow 'b$

where

$eval-cfun\ (Constant\ c)\ y = c$

hide-type (**open**) *cfun*

hide-const (**open**) *Constant eval-cfun Abs-cfun Rep-cfun*

83.1.9 Setting up the counterexample generator

$\langle ML \rangle$

definition *narrowing-dummy-partial-term-of* :: ('a :: partial-term-of) itself => narrowing-term => term

where

narrowing-dummy-partial-term-of = *partial-term-of*

definition *narrowing-dummy-narrowing* :: integer => ('a :: narrowing) narrowing-cons

where

narrowing-dummy-narrowing = *narrowing*

lemma [code]:

ensure-testable f =

(let

x = *narrowing-dummy-narrowing* :: integer => bool narrowing-cons;

y = *narrowing-dummy-partial-term-of* :: bool itself => narrowing-term =>

term;

z = (conv :: - => - => unit) in f)

⟨proof⟩

83.2 Narrowing for sets

instantiation *set* :: (narrowing) narrowing

begin

definition *narrowing-set* = Quickcheck-Narrowing.apply (Quickcheck-Narrowing.cons *set*) *narrowing*

instance ⟨proof⟩

end

83.3 Narrowing for integers

definition *drawn-from* :: 'a list ⇒ 'a narrowing-cons

where

drawn-from *xs* =

Narrowing-cons (*Narrowing-sum-of-products* (map (λ-. []) *xs*)) (map (λ*x* -. *x*) *xs*)

function *around-zero* :: int ⇒ int list

where

around-zero *i* = (if *i* < 0 then [] else (if *i* = 0 then [0] else *around-zero* (*i* - 1)

@ [i, -i]))

⟨proof⟩

termination ⟨proof⟩

declare *around-zero.simps* [simp del]

lemma *length-around-zero*:

assumes *i* ≥ 0

shows *length* (*around-zero* *i*) = 2 * nat *i* + 1

<proof>

instantiation *int* :: *narrowing*
begin

definition

narrowing-int *d* = (let (*u* :: - \Rightarrow - \Rightarrow unit) = conv; *i* = int-of-integer *d*
 in drawn-from (around-zero *i*))

instance *<proof>*

end

declare [[code drop: partial-term-of :: *int* itself \Rightarrow -]]

lemma [code]:

partial-term-of (*ty* :: *int* itself) (Narrowing-variable *p* *t*) \equiv
 Code-Evaluation.Free (STR "-") (Typerep.Typerep (STR "Int.int") [])
 partial-term-of (*ty* :: *int* itself) (Narrowing-constructor *i* []) \equiv
 (if *i* mod 2 = 0
 then Code-Evaluation.term-of (- (int-of-integer *i*) div 2)
 else Code-Evaluation.term-of ((int-of-integer *i* + 1) div 2))
<proof>

instantiation *integer* :: *narrowing*
begin

definition

narrowing-integer *d* = (let (*u* :: - \Rightarrow - \Rightarrow unit) = conv; *i* = int-of-integer *d*
 in drawn-from (map integer-of-int (around-zero *i*)))

instance *<proof>*

end

declare [[code drop: partial-term-of :: *integer* itself \Rightarrow -]]

lemma [code]:

partial-term-of (*ty* :: *integer* itself) (Narrowing-variable *p* *t*) \equiv
 Code-Evaluation.Free (STR "-") (Typerep.Typerep (STR "Code-Numeral.integer")
 [])
 partial-term-of (*ty* :: *integer* itself) (Narrowing-constructor *i* []) \equiv
 (if *i* mod 2 = 0
 then Code-Evaluation.term-of (- *i* div 2)
 else Code-Evaluation.term-of ((*i* + 1) div 2))
<proof>

code-printing constant Code-Evaluation.term-of :: *integer* \Rightarrow *term* \rightarrow (Haskell-Quickcheck)

```

(let { t = Typerep.Typerep Code'-Numeral.integer [];
      mkFunT s t = Typerep.Typerep fun [s, t];
      numT = Typerep.Typerep Num.num [];
      mkBit 0 = Generated'-Code.Const Num.num.Bit0 (mkFunT numT numT);
      mkBit 1 = Generated'-Code.Const Num.num.Bit1 (mkFunT numT numT);
      mkNumeral 1 = Generated'-Code.Const Num.num.One numT;
      mkNumeral i = let { q = i 'Prelude.div' 2; r = i 'Prelude.mod' 2 }
        in Generated'-Code.App (mkBit r) (mkNumeral q);
      mkNumber 0 = Generated'-Code.Const Groups.zero'-class.zero t;
      mkNumber 1 = Generated'-Code.Const Groups.one'-class.one t;
      mkNumber i = if i > 0 then
        Generated'-Code.App
          (Generated'-Code.Const Num.numeral'-class.numeral
            (mkFunT numT t))
          (mkNumeral i)
      else
        Generated'-Code.App
          (Generated'-Code.Const Groups.uminus'-class.uminus (mkFunT t t))
          (mkNumber (- i)); } in mkNumber)

```

83.4 The *find-unused-assms* command

⟨ML⟩

83.5 Closing up

hide-type *narrowing-type narrowing-term narrowing-cons property*
hide-const *map-cons nth error toEnum marker empty Narrowing-cons conv non-empty*
ensure-testable all exists drawn-from around-zero
hide-const (open) *Narrowing-variable Narrowing-constructor apply sum cons*
hide-fact *empty-def cons-def conv.simps non-empty.simps apply-def sum-def ensure-testable-def*
all-def exists-def
end

84 Program extraction for HOL

theory *Extraction*
imports *Option*
begin

⟨ML⟩

84.1 Setup

⟨ML⟩

lemmas [*extraction-expand*] =
meta-spec atomize-eq atomize-all atomize-imp atomize-conj

allE rev-mp conjE Eq-TrueI Eq-FalseI eqTrueI eqTrueE eq-cong2
notE' impE' impE iffE imp-cong simp-thms eq-True eq-False
induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq
induct-atomize induct-atomize' induct-rulify induct-rulify'
induct-rulify-fallback induct-trueI
True-implies-equals implies-True-equals TrueE
False-implies-equals implies-False-swap

lemmas [extraction-expand-def] =
HOL.induct-forall-def HOL.induct-implies-def HOL.induct-equal-def HOL.induct-conj-def
HOL.induct-true-def HOL.induct-false-def

datatype (*plugins only: code extraction*) *sumbool* = *Left* | *Right*

84.2 Type of extracted program

extract-type

typeof (*Trueprop P*) \equiv *typeof* *P*

typeof P \equiv *Type* (*TYPE*(*Null*)) \implies *typeof Q* \equiv *Type* (*TYPE*('Q)) \implies
typeof (P \longrightarrow Q) \equiv *Type* (*TYPE*('Q))

typeof Q \equiv *Type* (*TYPE*(*Null*)) \implies *typeof (P \longrightarrow Q)* \equiv *Type* (*TYPE*(*Null*))

typeof P \equiv *Type* (*TYPE*('P)) \implies *typeof Q* \equiv *Type* (*TYPE*('Q)) \implies
typeof (P \longrightarrow Q) \equiv *Type* (*TYPE*('P \Rightarrow 'Q))

($\lambda x. \text{typeof } (P\ x)$) \equiv *($\lambda x. \text{Type } (\text{TYPE}(\text{Null}))$)* \implies
typeof ($\forall x. P\ x$) \equiv *Type* (*TYPE*(*Null*))

($\lambda x. \text{typeof } (P\ x)$) \equiv *($\lambda x. \text{Type } (\text{TYPE}('P))$)* \implies
typeof ($\forall x::'a. P\ x$) \equiv *Type* (*TYPE*('a \Rightarrow 'P))

($\lambda x. \text{typeof } (P\ x)$) \equiv *($\lambda x. \text{Type } (\text{TYPE}(\text{Null}))$)* \implies
typeof ($\exists x::'a. P\ x$) \equiv *Type* (*TYPE*('a))

($\lambda x. \text{typeof } (P\ x)$) \equiv *($\lambda x. \text{Type } (\text{TYPE}('P))$)* \implies
typeof ($\exists x::'a. P\ x$) \equiv *Type* (*TYPE*('a \times 'P))

typeof P \equiv *Type* (*TYPE*(*Null*)) \implies *typeof Q* \equiv *Type* (*TYPE*(*Null*)) \implies
typeof (P \vee Q) \equiv *Type* (*TYPE*(*sumbool*))

typeof P \equiv *Type* (*TYPE*(*Null*)) \implies *typeof Q* \equiv *Type* (*TYPE*('Q)) \implies
typeof (P \vee Q) \equiv *Type* (*TYPE*('Q option))

typeof P \equiv *Type* (*TYPE*('P)) \implies *typeof Q* \equiv *Type* (*TYPE*(*Null*)) \implies
typeof (P \vee Q) \equiv *Type* (*TYPE*('P option))

typeof P \equiv *Type* (*TYPE*('P)) \implies *typeof Q* \equiv *Type* (*TYPE*('Q)) \implies

$$\text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('P + 'Q))$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \wedge Q) &\equiv \text{Type } (\text{TYPE}('Q)) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } (P \wedge Q) &\equiv \text{Type } (\text{TYPE}('P)) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \wedge Q) &\equiv \text{Type } (\text{TYPE}('P \times 'Q)) \end{aligned}$$

$$\text{typeof } (P = Q) \equiv \text{typeof } ((P \longrightarrow Q) \wedge (Q \longrightarrow P))$$

$$\text{typeof } (x \in P) \equiv \text{typeof } P$$

84.3 Realizability

realizability

$$(\text{realizes } t \text{ (Trueprop } P)) \equiv (\text{Trueprop } (\text{realizes } t \text{ } P))$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \longrightarrow Q)) &\equiv (\text{realizes } \text{Null } P \longrightarrow \text{realizes } t \text{ } Q) \end{aligned}$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}('P))) &\implies \\ (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \longrightarrow Q)) &\equiv (\forall x::'P. \text{realizes } x \text{ } P \longrightarrow \text{realizes } \text{Null } Q) \end{aligned}$$

$$(\text{realizes } t \text{ } (P \longrightarrow Q)) \equiv (\forall x. \text{realizes } x \text{ } P \longrightarrow \text{realizes } (t \text{ } x) \text{ } Q)$$

$$\begin{aligned} (\lambda x. \text{typeof } (P \text{ } x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ (\text{realizes } t \text{ } (\forall x. P \text{ } x)) &\equiv (\forall x. \text{realizes } \text{Null } (P \text{ } x)) \end{aligned}$$

$$(\text{realizes } t \text{ } (\forall x. P \text{ } x)) \equiv (\forall x. \text{realizes } (t \text{ } x) \text{ } (P \text{ } x))$$

$$\begin{aligned} (\lambda x. \text{typeof } (P \text{ } x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ (\text{realizes } t \text{ } (\exists x. P \text{ } x)) &\equiv (\text{realizes } \text{Null } (P \text{ } t)) \end{aligned}$$

$$(\text{realizes } t \text{ } (\exists x. P \text{ } x)) \equiv (\text{realizes } (\text{snd } t) \text{ } (P \text{ } (\text{fst } t)))$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \vee Q)) &\equiv \\ (\text{case } t \text{ of Left} \Rightarrow \text{realizes } \text{Null } P \mid \text{Right} \Rightarrow \text{realizes } \text{Null } Q) \end{aligned}$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \vee Q)) &\equiv \\ (\text{case } t \text{ of None} \Rightarrow \text{realizes } \text{Null } P \mid \text{Some } q \Rightarrow \text{realizes } q \text{ } Q) \end{aligned}$$

$$(\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies$$

$$\begin{aligned}
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& \quad (\text{case } t \text{ of } \text{None} \Rightarrow \text{realizes } \text{Null } Q \mid \text{Some } p \Rightarrow \text{realizes } p \ P) \\
\\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& \quad (\text{case } t \text{ of } \text{Inl } p \Rightarrow \text{realizes } p \ P \mid \text{Inr } q \Rightarrow \text{realizes } q \ Q) \\
\\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \Longrightarrow \\
& \quad (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } \text{Null } P \wedge \text{realizes } t \ Q) \\
\\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \Longrightarrow \\
& \quad (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } t \ P \wedge \text{realizes } \text{Null } Q) \\
\\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } (\text{fst } t) \ P \wedge \text{realizes } (\text{snd } t) \ Q) \\
\\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \Longrightarrow \\
& \quad \text{realizes } t \ (\neg P) \equiv \neg \text{realizes } \text{Null } P \\
\\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \Longrightarrow \\
& \quad \text{realizes } t \ (\neg P) \equiv (\forall x::'P. \neg \text{realizes } x \ P) \\
\\
& \text{typeof } (P::\text{bool}) \equiv \text{Type } (\text{TYPE}(\text{Null})) \Longrightarrow \\
& \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \Longrightarrow \\
& \quad \text{realizes } t \ (P = Q) \equiv \text{realizes } \text{Null } P = \text{realizes } \text{Null } Q \\
\\
& (\text{realizes } t \ (P = Q)) \equiv (\text{realizes } t \ ((P \longrightarrow Q) \wedge (Q \longrightarrow P)))
\end{aligned}$$

84.4 Computational content of basic inference rules

theorem *disjE-realizer*:

assumes r : $\text{case } x \text{ of } \text{Inl } p \Rightarrow P \ p \mid \text{Inr } q \Rightarrow Q \ q$
and $r1$: $\bigwedge p. P \ p \Longrightarrow R \ (f \ p)$ **and** $r2$: $\bigwedge q. Q \ q \Longrightarrow R \ (g \ q)$
shows $R \ (\text{case } x \text{ of } \text{Inl } p \Rightarrow f \ p \mid \text{Inr } q \Rightarrow g \ q)$
 $\langle \text{proof} \rangle$

theorem *disjE-realizer2*:

assumes r : $\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } q \Rightarrow Q \ q$
and $r1$: $P \Longrightarrow R \ f$ **and** $r2$: $\bigwedge q. Q \ q \Longrightarrow R \ (g \ q)$
shows $R \ (\text{case } x \text{ of } \text{None} \Rightarrow f \mid \text{Some } q \Rightarrow g \ q)$
 $\langle \text{proof} \rangle$

theorem *disjE-realizer3*:

assumes r : $\text{case } x \text{ of } \text{Left} \Rightarrow P \mid \text{Right} \Rightarrow Q$
and $r1$: $P \Longrightarrow R \ f$ **and** $r2$: $Q \Longrightarrow R \ g$
shows $R \ (\text{case } x \text{ of } \text{Left} \Rightarrow f \mid \text{Right} \Rightarrow g)$
 $\langle \text{proof} \rangle$

theorem *conjI-realizer*:

$P \ p \Longrightarrow Q \ q \Longrightarrow P \ (\text{fst } (p, q)) \wedge Q \ (\text{snd } (p, q))$
 $\langle \text{proof} \rangle$

theorem *exI-realizer*:

$$P \ y \ x \Longrightarrow P \ (\text{snd } (x, y)) \ (\text{fst } (x, y)) \ \langle \text{proof} \rangle$$

theorem *exE-realizer*: $P \ (\text{snd } p) \ (\text{fst } p) \Longrightarrow$

$$(\bigwedge x \ y. P \ y \ x \Longrightarrow Q \ (f \ x \ y)) \Longrightarrow Q \ (\text{let } (x, y) = p \ \text{in } f \ x \ y) \ \langle \text{proof} \rangle$$

theorem *exE-realizer'*: $P \ (\text{snd } p) \ (\text{fst } p) \Longrightarrow$

$$(\bigwedge x \ y. P \ y \ x \Longrightarrow Q) \Longrightarrow Q \ \langle \text{proof} \rangle$$

realizers

$$\text{impI } (P, Q): \lambda pq. pq$$

$$\lambda(c: -) \ (d: -) \ P \ Q \ pq \ (h: -). \ \text{allI } \cdot \cdot \cdot c \cdot (\lambda x. \text{impI } \cdot \cdot \cdot \cdot (h \cdot x))$$

$$\text{impI } (P): \text{Null}$$

$$\lambda(c: -) \ P \ Q \ (h: -). \ \text{allI } \cdot \cdot \cdot c \cdot (\lambda x. \text{impI } \cdot \cdot \cdot \cdot (h \cdot x))$$

$$\text{impI } (Q): \lambda q. q \ \lambda(c: -) \ P \ Q \ q. \ \text{impI } \cdot \cdot \cdot \cdot$$

$$\text{impI}: \text{Null impI}$$

$$\text{mp } (P, Q): \lambda pq. pq$$

$$\lambda(c: -) \ (d: -) \ P \ Q \ pq \ (h: -) \ p. \ \text{mp } \cdot \cdot \cdot \cdot (spec \cdot \cdot \cdot p \cdot c \cdot h)$$

$$\text{mp } (P): \text{Null}$$

$$\lambda(c: -) \ P \ Q \ (h: -) \ p. \ \text{mp } \cdot \cdot \cdot \cdot (spec \cdot \cdot \cdot p \cdot c \cdot h)$$

$$\text{mp } (Q): \lambda q. q \ \lambda(c: -) \ P \ Q \ q. \ \text{mp } \cdot \cdot \cdot \cdot$$

$$\text{mp}: \text{Null mp}$$

$$\text{allI } (P): \lambda p. p \ \lambda(c: -) \ P \ (d: -) \ p. \ \text{allI } \cdot \cdot \cdot d$$

$$\text{allI}: \text{Null allI}$$

$$\text{spec } (P): \lambda x \ p. p \ x \ \lambda(c: -) \ P \ x \ (d: -) \ p. \ \text{spec } \cdot \cdot \cdot x \cdot d$$

$$\text{spec}: \text{Null spec}$$

$$\text{exI } (P): \lambda x \ p. (x, p) \ \lambda(c: -) \ P \ x \ (d: -) \ p. \ \text{exI-realizer} \cdot P \cdot p \cdot x \cdot c \cdot d$$

$$\text{exI}: \lambda x. x \ \lambda P \ x \ (c: -) \ (h: -). \ h$$

$$\text{exE } (P, Q): \lambda p \ pq. \ \text{let } (x, y) = p \ \text{in } pq \ x \ y$$

$$\lambda(c: -) \ (d: -) \ P \ Q \ (e: -) \ p \ (h: -) \ pq. \ \text{exE-realizer} \cdot P \cdot p \cdot Q \cdot pq \cdot c \cdot e \cdot d \cdot h$$

$$\text{exE } (P): \text{Null}$$

$$\lambda(c: -) \ P \ Q \ (d: -) \ p. \ \text{exE-realizer}' \cdot \cdot \cdot \cdot \cdot c \cdot d$$

$exE \ (Q): \lambda x \ pq. \ pq \ x$
 $\lambda(c: -) \ P \ Q \ (d: -) \ x \ (h1: -) \ pq \ (h2: -). \ h2 \cdot x \cdot h1$

$exE: \text{Null}$
 $\lambda P \ Q \ (c: -) \ x \ (h1: -) \ (h2: -). \ h2 \cdot x \cdot h1$

$conjI \ (P, Q): \text{Pair}$
 $\lambda(c: -) \ (d: -) \ P \ Q \ p \ (h: -) \ q. \ conjI\text{-realizer} \cdot P \cdot p \cdot Q \cdot q \cdot c \cdot d \cdot h$

$conjI \ (P): \lambda p. \ p$
 $\lambda(c: -) \ P \ Q \ p. \ conjI \cdot \cdot \cdot \cdot$

$conjI \ (Q): \lambda q. \ q$
 $\lambda(c: -) \ P \ Q \ (h: -) \ q. \ conjI \cdot \cdot \cdot \cdot \cdot h$

$conjI: \text{Null} \ conjI$

$conjunct1 \ (P, Q): \text{fst}$
 $\lambda(c: -) \ (d: -) \ P \ Q \ pq. \ conjunct1 \cdot \cdot \cdot \cdot$

$conjunct1 \ (P): \lambda p. \ p$
 $\lambda(c: -) \ P \ Q \ p. \ conjunct1 \cdot \cdot \cdot \cdot$

$conjunct1 \ (Q): \text{Null}$
 $\lambda(c: -) \ P \ Q \ q. \ conjunct1 \cdot \cdot \cdot \cdot$

$conjunct1: \text{Null} \ conjunct1$

$conjunct2 \ (P, Q): \text{snd}$
 $\lambda(c: -) \ (d: -) \ P \ Q \ pq. \ conjunct2 \cdot \cdot \cdot \cdot$

$conjunct2 \ (P): \text{Null}$
 $\lambda(c: -) \ P \ Q \ p. \ conjunct2 \cdot \cdot \cdot \cdot$

$conjunct2 \ (Q): \lambda p. \ p$
 $\lambda(c: -) \ P \ Q \ p. \ conjunct2 \cdot \cdot \cdot \cdot$

$conjunct2: \text{Null} \ conjunct2$

$disjI1 \ (P, Q): \text{Inl}$
 $\lambda(c: -) \ (d: -) \ P \ Q \ p. \ iffD2 \cdot \cdot \cdot \cdot \cdot (\text{sum.case-1} \cdot P \cdot \cdot \cdot p \cdot \text{arity-type-bool} \cdot c \cdot d)$

$disjI1 \ (P): \text{Some}$
 $\lambda(c: -) \ P \ Q \ p. \ iffD2 \cdot \cdot \cdot \cdot \cdot (\text{option.case-2} \cdot \cdot \cdot P \cdot p \cdot \text{arity-type-bool} \cdot c)$

$disjI1 \ (Q): \text{None}$
 $\lambda(c: -) \ P \ Q. \ iffD2 \cdot \cdot \cdot \cdot \cdot (\text{option.case-1} \cdot \cdot \cdot \cdot \cdot \text{arity-type-bool} \cdot c)$

$disjI1: Left$
 $\lambda P Q. iffD2 \cdot \cdot \cdot \cdot (sumbool.case-1 \cdot \cdot \cdot \cdot arity-type-bool)$

$disjI2 (P, Q): Inr$
 $\lambda(d: -) (c: -) Q P q. iffD2 \cdot \cdot \cdot \cdot (sum.case-2 \cdot \cdot \cdot Q \cdot q \cdot arity-type-bool \cdot c \cdot d)$

$disjI2 (P): None$
 $\lambda(c: -) Q P. iffD2 \cdot \cdot \cdot \cdot (option.case-1 \cdot \cdot \cdot \cdot arity-type-bool \cdot c)$

$disjI2 (Q): Some$
 $\lambda(c: -) Q P q. iffD2 \cdot \cdot \cdot \cdot (option.case-2 \cdot \cdot \cdot Q \cdot q \cdot arity-type-bool \cdot c)$

$disjI2: Right$
 $\lambda Q P. iffD2 \cdot \cdot \cdot \cdot (sumbool.case-2 \cdot \cdot \cdot \cdot arity-type-bool)$

$disjE (P, Q, R): \lambda pq pr qr.$
 $(case pq of Inl p \Rightarrow pr p \mid Inr q \Rightarrow qr q)$
 $\lambda(c: -) (d: -) (e: -) P Q R pq (h1: -) pr (h2: -) qr.$
 $disjE-realizer \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot c \cdot d \cdot e \cdot h1 \cdot h2$

$disjE (Q, R): \lambda pq pr qr.$
 $(case pq of None \Rightarrow pr \mid Some q \Rightarrow qr q)$
 $\lambda(c: -) (d: -) P Q R pq (h1: -) pr (h2: -) qr.$
 $disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot c \cdot d \cdot h1 \cdot h2$

$disjE (P, R): \lambda pq pr qr.$
 $(case pq of None \Rightarrow qr \mid Some p \Rightarrow pr p)$
 $\lambda(c: -) (d: -) P Q R pq (h1: -) pr (h2: -) qr (h3: -).$
 $disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot R \cdot qr \cdot pr \cdot c \cdot d \cdot h1 \cdot h3 \cdot h2$

$disjE (R): \lambda pq pr qr.$
 $(case pq of Left \Rightarrow pr \mid Right \Rightarrow qr)$
 $\lambda(c: -) P Q R pq (h1: -) pr (h2: -) qr.$
 $disjE-realizer3 \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot c \cdot h1 \cdot h2$

$disjE (P, Q): Null$
 $\lambda(c: -) (d: -) P Q R pq. disjE-realizer \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot c \cdot d \cdot$
 $arity-type-bool$

$disjE (Q): Null$
 $\lambda(c: -) P Q R pq. disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot c \cdot arity-type-bool$

$disjE (P): Null$
 $\lambda(c: -) P Q R pq (h1: -) (h2: -) (h3: -).$
 $disjE-realizer2 \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot c \cdot arity-type-bool \cdot h1 \cdot h3 \cdot h2$

$disjE: Null$

$\lambda P \ Q \ R \ pq. \text{disjE-realizer3} \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot \text{arity-type-bool}$

$\text{FalseE} \ (P): \text{default}$
 $\lambda(c: -) \ P. \text{FalseE} \cdot -$

$\text{FalseE}: \text{Null FalseE}$

$\text{notI} \ (P): \text{Null}$
 $\lambda(c: -) \ P \ (h: -). \text{allI} \cdot \cdot \cdot \cdot c \cdot (\lambda x. \text{notI} \cdot \cdot \cdot \cdot (h \cdot x))$

$\text{notI}: \text{Null notI}$

$\text{notE} \ (P, R): \lambda p. \text{default}$
 $\lambda(c: -) \ (d: -) \ P \ R \ (h: -) \ p. \text{notE} \cdot \cdot \cdot \cdot (\text{spec} \cdot \cdot \cdot \cdot p \cdot c \cdot h)$

$\text{notE} \ (P): \text{Null}$
 $\lambda(c: -) \ P \ R \ (h: -) \ p. \text{notE} \cdot \cdot \cdot \cdot (\text{spec} \cdot \cdot \cdot \cdot p \cdot c \cdot h)$

$\text{notE} \ (R): \text{default}$
 $\lambda(c: -) \ P \ R. \text{notE} \cdot \cdot \cdot \cdot$

$\text{notE}: \text{Null notE}$

$\text{subst} \ (P): \lambda s \ t \ ps. \ ps$
 $\lambda(c: -) \ s \ t \ P \ (d: -) \ (h: -) \ ps. \text{subst} \cdot s \cdot t \cdot P \ ps \cdot d \cdot h$

$\text{subst}: \text{Null subst}$

$\text{iffD1} \ (P, Q): \text{fst}$
 $\lambda(d: -) \ (c: -) \ Q \ P \ pq \ (h: -) \ p.$
 $\text{mp} \cdot \cdot \cdot \cdot (\text{spec} \cdot \cdot \cdot \cdot p \cdot d \cdot (\text{conjunct1} \cdot \cdot \cdot \cdot h))$

$\text{iffD1} \ (P): \lambda p. \ p$
 $\lambda(c: -) \ Q \ P \ p \ (h: -). \text{mp} \cdot \cdot \cdot \cdot (\text{conjunct1} \cdot \cdot \cdot \cdot h)$

$\text{iffD1} \ (Q): \text{Null}$
 $\lambda(c: -) \ Q \ P \ q1 \ (h: -) \ q2.$
 $\text{mp} \cdot \cdot \cdot \cdot (\text{spec} \cdot \cdot \cdot \cdot q2 \cdot c \cdot (\text{conjunct1} \cdot \cdot \cdot \cdot h))$

$\text{iffD1}: \text{Null iffD1}$

$\text{iffD2} \ (P, Q): \text{snd}$
 $\lambda(c: -) \ (d: -) \ P \ Q \ pq \ (h: -) \ q.$
 $\text{mp} \cdot \cdot \cdot \cdot (\text{spec} \cdot \cdot \cdot \cdot q \cdot d \cdot (\text{conjunct2} \cdot \cdot \cdot \cdot h))$

$\text{iffD2} \ (P): \lambda p. \ p$
 $\lambda(c: -) \ P \ Q \ p \ (h: -). \text{mp} \cdot \cdot \cdot \cdot (\text{conjunct2} \cdot \cdot \cdot \cdot h)$

$\text{iffD2} \ (Q): \text{Null}$

$\lambda(c: -) P Q q1 (h: -) q2.$
 $mp \cdot \cdot \cdot \cdot (spec \cdot \cdot \cdot q2 \cdot c \cdot (conjunct2 \cdot \cdot \cdot \cdot h))$

iffD2: Null iffD2

iffI (P, Q): Pair

$\lambda(c: -) (d: -) P Q pq (h1: -) qp (h2: -). conjI\text{-}realizer \cdot$
 $(\lambda pq. \forall x. P x \longrightarrow Q (pq x)) \cdot pq \cdot$
 $(\lambda qp. \forall x. Q x \longrightarrow P (qp x)) \cdot qp \cdot$
 $(arity\text{-}type\text{-}fun \cdot c \cdot d) \cdot$
 $(arity\text{-}type\text{-}fun \cdot d \cdot c) \cdot$
 $(allI \cdot \cdot \cdot c \cdot (\lambda x. impI \cdot \cdot \cdot \cdot (h1 \cdot x))) \cdot$
 $(allI \cdot \cdot \cdot d \cdot (\lambda x. impI \cdot \cdot \cdot \cdot (h2 \cdot x)))$

iffI (P): $\lambda p. p$

$\lambda(c: -) P Q (h1: -) p (h2: -). conjI \cdot \cdot \cdot \cdot$
 $(allI \cdot \cdot \cdot c \cdot (\lambda x. impI \cdot \cdot \cdot \cdot (h1 \cdot x))) \cdot$
 $(impI \cdot \cdot \cdot \cdot h2)$

iffI (Q): $\lambda q. q$

$\lambda(c: -) P Q q (h1: -) (h2: -). conjI \cdot \cdot \cdot \cdot$
 $(impI \cdot \cdot \cdot \cdot h1) \cdot$
 $(allI \cdot \cdot \cdot c \cdot (\lambda x. impI \cdot \cdot \cdot \cdot (h2 \cdot x)))$

iffI: Null iffI

end

85 Extensible records with structural subtyping

theory *Record*

imports *Quickcheck-Exhaustive*

keywords

record :: *thy-decl* **and**

print-record :: *diag*

begin

85.1 Introduction

Records are isomorphic to compound tuple types. To implement efficient records, we make this isomorphism explicit. Consider the record access/update simplification $alpha (beta\text{-}update f rec) = alpha rec$ for distinct fields $alpha$ and $beta$ of some record rec with n fields. There are $n \wedge 2$ such theorems, which prohibits storage of all of them for large n . The rules can be proved on the fly by case decomposition and simplification in $O(n)$ time. By creating $O(n)$ isomorphic-tuple types while defining the record, however, we can prove the access/update simplification in $O(\log(n) \wedge 2)$ time.

The $O(n)$ cost of case decomposition is not because $O(n)$ steps are taken, but rather because the resulting rule must contain $O(n)$ new variables and an $O(n)$ size concrete record construction. To sidestep this cost, we would like to avoid case decomposition in proving access/update theorems.

Record types are defined as isomorphic to tuple types. For instance, a record type with fields $'a$, $'b$, $'c$ and $'d$ might be introduced as isomorphic to $'a \times ('b \times ('c \times 'd))$. If we balance the tuple tree to $('a \times 'b) \times ('c \times 'd)$ then accessors can be defined by converting to the underlying type then using $O(\log(n))$ *fst* or *snd* operations. Updaters can be defined similarly, if we introduce a *fst-update* and *snd-update* function. Furthermore, we can prove the access/update theorem in $O(\log(n))$ steps by using simple rewrites on *fst*, *snd*, *fst-update* and *snd-update*.

The catch is that, although $O(\log(n))$ steps were taken, the underlying type we converted to is a tuple tree of size $O(n)$. Processing this term type wastes performance. We avoid this for large n by taking each subtree of size K and defining a new type isomorphic to that tuple subtree. A record can now be defined as isomorphic to a tuple tree of these $O(n/K)$ new types, or, if $n > K * K$, we can repeat the process, until the record can be defined in terms of a tuple tree of complexity less than the constant K .

If we prove the access/update theorem on this type with the analogous steps to the tuple tree, we consume $O(\log(n)^2)$ time as the intermediate terms are $O(\log(n))$ in size and the types needed have size bounded by K . To enable this analogous traversal, we define the functions seen below: *iso-tuple-fst*, *iso-tuple-snd*, *iso-tuple-fst-update* and *iso-tuple-snd-update*. These functions generalise tuple operations by taking a parameter that encapsulates a tuple isomorphism. The rewrites needed on these functions now need an additional assumption which is that the isomorphism works.

These rewrites are typically used in a structured way. They are here presented as the introduction rule *isomorphic-tuple.intros* rather than as a rewrite rule set. The introduction form is an optimisation, as net matching can be performed at one term location for each step rather than the simplifier searching the term for possible pattern matches. The rule set is used as it is viewed outside the locale, with the locale assumption (that the isomorphism is valid) left as a rule assumption. All rules are structured to aid net matching, using either a point-free form or an encapsulating predicate.

85.2 Operators and lemmas for types isomorphic to tuples

datatype (*dead* $'a$, *dead* $'b$, *dead* $'c$) *tuple-isomorphism* =
Tuple-Isomorphism $'a \Rightarrow 'b \times 'c$ $'b \times 'c \Rightarrow 'a$

primrec

repr :: ($'a$, $'b$, $'c$) *tuple-isomorphism* $\Rightarrow 'a \Rightarrow 'b \times 'c$ **where**
repr (*Tuple-Isomorphism* r a) = r

primrec

$abst :: ('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'b \times 'c \Rightarrow 'a$ **where**
 $abst \text{ (Tuple-Isomorphism } r \text{ } a) = a$

definition

$iso\text{-tuple}\text{-fst} :: ('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'a \Rightarrow 'b$ **where**
 $iso\text{-tuple}\text{-fst} \text{ isom} = fst \circ repr \text{ isom}$

definition

$iso\text{-tuple}\text{-snd} :: ('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'a \Rightarrow 'c$ **where**
 $iso\text{-tuple}\text{-snd} \text{ isom} = snd \circ repr \text{ isom}$

definition

$iso\text{-tuple}\text{-fst}\text{-update} ::$
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)$ **where**
 $iso\text{-tuple}\text{-fst}\text{-update} \text{ isom } f = abst \text{ isom} \circ apfst \text{ } f \circ repr \text{ isom}$

definition

$iso\text{-tuple}\text{-snd}\text{-update} ::$
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow ('c \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'a)$ **where**
 $iso\text{-tuple}\text{-snd}\text{-update} \text{ isom } f = abst \text{ isom} \circ apsnd \text{ } f \circ repr \text{ isom}$

definition

$iso\text{-tuple}\text{-cons} ::$
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'b \Rightarrow 'c \Rightarrow 'a$ **where**
 $iso\text{-tuple}\text{-cons} \text{ isom} = \text{curry } (abst \text{ isom})$

85.3 Logical infrastructure for records**definition**

$iso\text{-tuple}\text{-surjective}\text{-proof}\text{-assist} :: 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$ **where**
 $iso\text{-tuple}\text{-surjective}\text{-proof}\text{-assist} \text{ } x \text{ } y \text{ } f \iff f \text{ } x = y$

definition

$iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} ::$
 $(('b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$ **where**
 $iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} \text{ } upd \text{ } ac \iff$
 $(\forall f \text{ } v. \text{ } upd \text{ } (\lambda x. \text{ } f \text{ } (ac \text{ } v)) \text{ } v = upd \text{ } f \text{ } v) \wedge (\forall v. \text{ } upd \text{ } id \text{ } v = v)$

definition

$iso\text{-tuple}\text{-update}\text{-accessor}\text{-eq}\text{-assist} ::$
 $(('b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$

where

$iso\text{-tuple}\text{-update}\text{-accessor}\text{-eq}\text{-assist} \text{ } upd \text{ } ac \text{ } v \text{ } f \text{ } v' \text{ } x \iff$
 $upd \text{ } f \text{ } v = v' \wedge ac \text{ } v = x \wedge iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} \text{ } upd \text{ } ac$

lemma *update-accessor-congruence-foldE*:

assumes *uac*: $iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} \text{ } upd \text{ } ac$

and $r: r = r'$ **and** $v: ac\ r' = v'$
and $f: \bigwedge v. v' = v \implies f\ v = f'\ v$
shows $upd\ f\ r = upd\ f'\ r'$
 $\langle proof \rangle$

lemma *update-accessor-congruence-unfoldE*:
iso-tuple-update-accessor-cong-assist $upd\ ac \implies$
 $r = r' \implies ac\ r' = v' \implies (\bigwedge v. v = v' \implies f\ v = f'\ v) \implies$
 $upd\ f\ r = upd\ f'\ r'$
 $\langle proof \rangle$

lemma *iso-tuple-update-accessor-cong-assist-id*:
iso-tuple-update-accessor-cong-assist $upd\ ac \implies upd\ id = id$
 $\langle proof \rangle$

lemma *update-accessor-noopE*:
assumes $uac: iso-tuple-update-accessor-cong-assist\ upd\ ac$
and $ac: f\ (ac\ x) = ac\ x$
shows $upd\ f\ x = x$
 $\langle proof \rangle$

lemma *update-accessor-noop-compE*:
assumes $uac: iso-tuple-update-accessor-cong-assist\ upd\ ac$
and $ac: f\ (ac\ x) = ac\ x$
shows $upd\ (g \circ f)\ x = upd\ g\ x$
 $\langle proof \rangle$

lemma *update-accessor-cong-assist-idI*:
iso-tuple-update-accessor-cong-assist $id\ id$
 $\langle proof \rangle$

lemma *update-accessor-cong-assist-triv*:
iso-tuple-update-accessor-cong-assist $upd\ ac \implies$
iso-tuple-update-accessor-cong-assist $upd\ ac$
 $\langle proof \rangle$

lemma *update-accessor-accessor-eqE*:
iso-tuple-update-accessor-eq-assist $upd\ ac\ v\ f\ v'\ x \implies ac\ v = x$
 $\langle proof \rangle$

lemma *update-accessor-updator-eqE*:
iso-tuple-update-accessor-eq-assist $upd\ ac\ v\ f\ v'\ x \implies upd\ f\ v = v'$
 $\langle proof \rangle$

lemma *iso-tuple-update-accessor-eq-assist-idI*:
 $v' = f\ v \implies iso-tuple-update-accessor-eq-assist\ id\ id\ v\ f\ v'\ v$
 $\langle proof \rangle$

lemma *iso-tuple-update-accessor-eq-assist-triv*:

iso-tuple-update-accessor-eq-assist $\text{upd } ac \ v \ f \ v' \ x \Longrightarrow$
iso-tuple-update-accessor-eq-assist $\text{upd } ac \ v \ f \ v' \ x$
 ⟨proof⟩

lemma *iso-tuple-update-accessor-cong-from-eq*:
iso-tuple-update-accessor-eq-assist $\text{upd } ac \ v \ f \ v' \ x \Longrightarrow$
iso-tuple-update-accessor-cong-assist $\text{upd } ac$
 ⟨proof⟩

lemma *iso-tuple-surjective-proof-assistI*:
 $f \ x = y \Longrightarrow \text{iso-tuple-surjective-proof-assist } x \ y \ f$
 ⟨proof⟩

lemma *iso-tuple-surjective-proof-assist-idE*:
iso-tuple-surjective-proof-assist $x \ y \ id \Longrightarrow x = y$
 ⟨proof⟩

locale *isomorphic-tuple* =
fixes *isom* :: ('a, 'b, 'c) *tuple-isomorphism*
assumes *repr-inv*: $\bigwedge x. \text{abst } isom \ (\text{repr } isom \ x) = x$
and *abst-inv*: $\bigwedge y. \text{repr } isom \ (\text{abst } isom \ y) = y$
begin

lemma *repr-inj*: $\text{repr } isom \ x = \text{repr } isom \ y \longleftrightarrow x = y$
 ⟨proof⟩

lemma *abst-inj*: $\text{abst } isom \ x = \text{abst } isom \ y \longleftrightarrow x = y$
 ⟨proof⟩

lemmas *simps* = *Let-def repr-inv abst-inv repr-inj abst-inj*

lemma *iso-tuple-access-update-fst-fst*:
 $f \ o \ h \ g = j \ o \ f \Longrightarrow$
 $(f \ o \ \text{iso-tuple-fst } isom) \ o \ (\text{iso-tuple-fst-update } isom \ o \ h) \ g =$
 $j \ o \ (f \ o \ \text{iso-tuple-fst } isom)$
 ⟨proof⟩

lemma *iso-tuple-access-update-snd-snd*:
 $f \ o \ h \ g = j \ o \ f \Longrightarrow$
 $(f \ o \ \text{iso-tuple-snd } isom) \ o \ (\text{iso-tuple-snd-update } isom \ o \ h) \ g =$
 $j \ o \ (f \ o \ \text{iso-tuple-snd } isom)$
 ⟨proof⟩

lemma *iso-tuple-access-update-fst-snd*:
 $(f \ o \ \text{iso-tuple-fst } isom) \ o \ (\text{iso-tuple-snd-update } isom \ o \ h) \ g =$
 $id \ o \ (f \ o \ \text{iso-tuple-fst } isom)$
 ⟨proof⟩

lemma *iso-tuple-access-update-snd-fst*:

$(f \circ \text{iso-tuple-snd isom}) \circ (\text{iso-tuple-fst-update isom} \circ h) \circ g =$
 $\text{id} \circ (f \circ \text{iso-tuple-snd isom})$
 <proof>

lemma *iso-tuple-update-swap-fst-fst:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$
 $(\text{iso-tuple-fst-update isom} \circ h) \circ f \circ (\text{iso-tuple-fst-update isom} \circ j) \circ g =$
 $(\text{iso-tuple-fst-update isom} \circ j) \circ g \circ (\text{iso-tuple-fst-update isom} \circ h) \circ f$
 <proof>

lemma *iso-tuple-update-swap-snd-snd:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$
 $(\text{iso-tuple-snd-update isom} \circ h) \circ f \circ (\text{iso-tuple-snd-update isom} \circ j) \circ g =$
 $(\text{iso-tuple-snd-update isom} \circ j) \circ g \circ (\text{iso-tuple-snd-update isom} \circ h) \circ f$
 <proof>

lemma *iso-tuple-update-swap-fst-snd:*

$(\text{iso-tuple-snd-update isom} \circ h) \circ f \circ (\text{iso-tuple-fst-update isom} \circ j) \circ g =$
 $(\text{iso-tuple-fst-update isom} \circ j) \circ g \circ (\text{iso-tuple-snd-update isom} \circ h) \circ f$
 <proof>

lemma *iso-tuple-update-swap-snd-fst:*

$(\text{iso-tuple-fst-update isom} \circ h) \circ f \circ (\text{iso-tuple-snd-update isom} \circ j) \circ g =$
 $(\text{iso-tuple-snd-update isom} \circ j) \circ g \circ (\text{iso-tuple-fst-update isom} \circ h) \circ f$
 <proof>

lemma *iso-tuple-update-compose-fst-fst:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$
 $(\text{iso-tuple-fst-update isom} \circ h) \circ f \circ (\text{iso-tuple-fst-update isom} \circ j) \circ g =$
 $(\text{iso-tuple-fst-update isom} \circ k) \circ (f \circ g)$
 <proof>

lemma *iso-tuple-update-compose-snd-snd:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$
 $(\text{iso-tuple-snd-update isom} \circ h) \circ f \circ (\text{iso-tuple-snd-update isom} \circ j) \circ g =$
 $(\text{iso-tuple-snd-update isom} \circ k) \circ (f \circ g)$
 <proof>

lemma *iso-tuple-surjective-proof-assist-step:*

$\text{iso-tuple-surjective-proof-assist } v \ a \ (\text{iso-tuple-fst isom} \circ f) \implies$
 $\text{iso-tuple-surjective-proof-assist } v \ b \ (\text{iso-tuple-snd isom} \circ f) \implies$
 $\text{iso-tuple-surjective-proof-assist } v \ (\text{iso-tuple-cons isom } a \ b) \ f$
 <proof>

lemma *iso-tuple-fst-update-accessor-cong-assist:*

assumes *iso-tuple-update-accessor-cong-assist* $f \ g$
shows *iso-tuple-update-accessor-cong-assist*
 $(\text{iso-tuple-fst-update isom} \circ f) \circ (g \circ \text{iso-tuple-fst isom})$
 <proof>

lemma *iso-tuple-snd-update-accessor-cong-assist*:
assumes *iso-tuple-update-accessor-cong-assist* $f\ g$
shows *iso-tuple-update-accessor-cong-assist*
 $(iso-tuple-snd-update\ isom\ o\ f)\ (g\ o\ iso-tuple-snd\ isom)$
 $\langle proof \rangle$

lemma *iso-tuple-fst-update-accessor-eq-assist*:
assumes *iso-tuple-update-accessor-eq-assist* $f\ g\ a\ u\ a'\ v$
shows *iso-tuple-update-accessor-eq-assist*
 $(iso-tuple-fst-update\ isom\ o\ f)\ (g\ o\ iso-tuple-fst\ isom)$
 $(iso-tuple-cons\ isom\ a\ b)\ u\ (iso-tuple-cons\ isom\ a'\ b)\ v$
 $\langle proof \rangle$

lemma *iso-tuple-snd-update-accessor-eq-assist*:
assumes *iso-tuple-update-accessor-eq-assist* $f\ g\ b\ u\ b'\ v$
shows *iso-tuple-update-accessor-eq-assist*
 $(iso-tuple-snd-update\ isom\ o\ f)\ (g\ o\ iso-tuple-snd\ isom)$
 $(iso-tuple-cons\ isom\ a\ b)\ u\ (iso-tuple-cons\ isom\ a\ b')\ v$
 $\langle proof \rangle$

lemma *iso-tuple-cons-conj-eqI*:
 $a = c \wedge b = d \wedge P \longleftrightarrow Q \implies$
 $iso-tuple-cons\ isom\ a\ b = iso-tuple-cons\ isom\ c\ d \wedge P \longleftrightarrow Q$
 $\langle proof \rangle$

lemmas *intros* =
iso-tuple-access-update-fst-fst
iso-tuple-access-update-snd-snd
iso-tuple-access-update-fst-snd
iso-tuple-access-update-snd-fst
iso-tuple-update-swap-fst-fst
iso-tuple-update-swap-snd-snd
iso-tuple-update-swap-fst-snd
iso-tuple-update-swap-snd-fst
iso-tuple-update-compose-fst-fst
iso-tuple-update-compose-snd-snd
iso-tuple-surjective-proof-assist-step
iso-tuple-fst-update-accessor-eq-assist
iso-tuple-snd-update-accessor-eq-assist
iso-tuple-fst-update-accessor-cong-assist
iso-tuple-snd-update-accessor-cong-assist
iso-tuple-cons-conj-eqI

end

lemma *isomorphic-tuple-intro*:
fixes *repr* *abst*
assumes *repr-inj*: $\bigwedge x\ y. repr\ x = repr\ y \longleftrightarrow x = y$

and *abst-inv*: $\bigwedge z. \text{repr } (\text{abst } z) = z$
and *v*: $v \equiv \text{Tuple-Isomorphism repr abst}$
shows *isomorphic-tuple v*
 ⟨*proof*⟩

definition

tuple-iso-tuple $\equiv \text{Tuple-Isomorphism id id}$

lemma *tuple-iso-tuple*:

isomorphic-tuple tuple-iso-tuple
 ⟨*proof*⟩

lemma *refl-conj-eq*: $Q = R \implies P \wedge Q \longleftrightarrow P \wedge R$
 ⟨*proof*⟩

lemma *iso-tuple-UNIV-I*: $x \in \text{UNIV} \equiv \text{True}$
 ⟨*proof*⟩

lemma *iso-tuple-True-simp*: $(\text{True} \implies \text{PROP } P) \equiv \text{PROP } P$
 ⟨*proof*⟩

lemma *prop-subst*: $s = t \implies \text{PROP } P \ t \implies \text{PROP } P \ s$
 ⟨*proof*⟩

lemma *K-record-comp*: $(\lambda x. \ c) \circ f = (\lambda x. \ c)$
 ⟨*proof*⟩

85.4 Concrete record syntax

nonterminal

ident **and**
field-type **and**
field-types **and**
field **and**
fields **and**
field-update **and**
field-updates

syntax

-constify $:: \text{id} \Rightarrow \text{ident}$ $(-)$
-constify $:: \text{longid} \Rightarrow \text{ident}$ $(-)$

-field-type $:: \text{ident} \Rightarrow \text{type} \Rightarrow \text{field-type}$ $((2- ::/ -))$
 $:: \text{field-type} \Rightarrow \text{field-types}$ $(-)$
-field-types $:: \text{field-type} \Rightarrow \text{field-types} \Rightarrow \text{field-types}$ $(-, / -)$
-record-type $:: \text{field-types} \Rightarrow \text{type}$ $((3 \lfloor - \rfloor))$
-record-type-scheme $:: \text{field-types} \Rightarrow \text{type} \Rightarrow \text{type}$ $((3 \lfloor -, / (2 \dots ::/ -) \rfloor))$

-field $:: \text{ident} \Rightarrow 'a \Rightarrow \text{field}$ $((2- =/ -))$

```

      :: field => fields          (-)
-fields      :: field => fields => fields      (-, / -)
-record      :: fields => 'a          ((3(|-)))
-record-scheme  :: fields => 'a => 'a          ((3(|-, / (2... =/ -)))

-field-update   :: ident => 'a => field-update      ((2- :=/ -))
                  :: field-update => field-updates  (-)
-field-updates  :: field-update => field-updates => field-updates  (-, / -)
-record-update  :: 'a => field-updates => 'b          (-/(3(|-)) [900, 0] 900)

syntax (ASCII)
-record-type    :: field-types => type          ((3'(| - |'))
-record-type-scheme :: field-types => type => type      ((3'(| -, / (2... :=/ -) |'))
-record         :: fields => 'a          ((3'(| - |'))
-record-scheme  :: fields => 'a => 'a          ((3'(| -, / (2... =/ -) |'))
-record-update  :: 'a => field-updates => 'b          (-/(3'(| - |')) [900, 0] 900)

```

85.5 Record package

(ML)

```

hide-const (open) Tuple-Isomorphism repr abst iso-tuple-fst iso-tuple-snd
  iso-tuple-fst-update iso-tuple-snd-update iso-tuple-cons
  iso-tuple-surjective-proof-assist iso-tuple-update-accessor-cong-assist
  iso-tuple-update-accessor-eq-assist tuple-iso-tuple

```

end

86 Greatest common divisor and least common multiple

```

theory GCD
  imports Groups-List
begin

```

86.1 Abstract bounded quasi semilattices as common foundation

```

locale bounded-quasi-semilattice = abel-semigroup +
  fixes top :: 'a ( $\top$ ) and bot :: 'a ( $\perp$ )
  and normalize :: 'a  $\Rightarrow$  'a
  assumes idem-normalize [simp]: a * a = normalize a
  and normalize-left-idem [simp]: normalize a * b = a * b
  and normalize-idem [simp]: normalize (a * b) = a * b
  and normalize-top [simp]: normalize  $\top$  =  $\top$ 
  and normalize-bottom [simp]: normalize  $\perp$  =  $\perp$ 
  and top-left-normalize [simp]:  $\top$  * a = normalize a
  and bottom-left-bottom [simp]:  $\perp$  * a =  $\perp$ 

```

begin

lemma *left-idem* [simp]:

$$a * (a * b) = a * b$$

<proof>

lemma *right-idem* [simp]:

$$(a * b) * b = a * b$$

<proof>

lemma *comp-fun-idem*: *comp-fun-idem* *f*

<proof>

interpretation *comp-fun-idem* *f*

<proof>

lemma *top-right-normalize* [simp]:

$$a * \top = \text{normalize } a$$

<proof>

lemma *bottom-right-bottom* [simp]:

$$a * \perp = \perp$$

<proof>

lemma *normalize-right-idem* [simp]:

$$a * \text{normalize } b = a * b$$

<proof>

end

locale *bounded-quasi-semilattice-set* = *bounded-quasi-semilattice*

begin

interpretation *comp-fun-idem* *f*

<proof>

definition *F* :: *'a set* \Rightarrow *'a*

where

$$\text{eq-fold}: F\ A = (\text{if finite } A \text{ then } \text{Finite-Set.fold } f\ \top\ A \text{ else } \perp)$$

lemma *infinite* [simp]:

$$\text{infinite } A \Longrightarrow F\ A = \perp$$

<proof>

lemma *set-eq-fold* [code]:

$$F\ (\text{set } xs) = \text{fold } f\ xs\ \top$$

<proof>

lemma *empty* [simp]:

$F \{\} = \top$
 $\langle proof \rangle$

lemma *insert [simp]*:
 $F (\text{insert } a \ A) = a * F \ A$
 $\langle proof \rangle$

lemma *normalize [simp]*:
 $normalize \ (F \ A) = F \ A$
 $\langle proof \rangle$

lemma *in-idem*:
assumes $a \in A$
shows $a * F \ A = F \ A$
 $\langle proof \rangle$

lemma *union*:
 $F (A \cup B) = F \ A * F \ B$
 $\langle proof \rangle$

lemma *remove*:
assumes $a \in A$
shows $F \ A = a * F \ (A - \{a\})$
 $\langle proof \rangle$

lemma *insert-remove*:
 $F (\text{insert } a \ A) = a * F \ (A - \{a\})$
 $\langle proof \rangle$

lemma *subset*:
assumes $B \subseteq A$
shows $F \ B * F \ A = F \ A$
 $\langle proof \rangle$

end

86.2 Abstract GCD and LCM

class *gcd* = *zero* + *one* + *dvd* +
fixes $gcd :: 'a \Rightarrow 'a \Rightarrow 'a$
and $lcm :: 'a \Rightarrow 'a \Rightarrow 'a$
begin

abbreviation $coprime :: 'a \Rightarrow 'a \Rightarrow bool$
where $coprime \ x \ y \equiv gcd \ x \ y = 1$

end

class *Gcd* = *gcd* +

fixes $Gcd :: 'a \text{ set} \Rightarrow 'a$
and $Lcm :: 'a \text{ set} \Rightarrow 'a$
begin

abbreviation $GREATEST-COMMON-DIVISOR :: 'b \text{ set} \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$
where $GREATEST-COMMON-DIVISOR A f \equiv Gcd (f ' A)$

abbreviation $LEAST-COMMON-MULTIPLE :: 'b \text{ set} \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$
where $LEAST-COMMON-MULTIPLE A f \equiv Lcm (f ' A)$

end

syntax

$-GCD1 \quad :: pptrns \Rightarrow 'b \Rightarrow 'b \quad ((\exists GCD \text{ -./ -}) [0, 10] 10)$
 $-GCD \quad :: pptrn \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b \quad ((\exists GCD \text{ -}\in\text{-./ -}) [0, 0, 10] 10)$
 $-LCM1 \quad :: pptrns \Rightarrow 'b \Rightarrow 'b \quad ((\exists LCM \text{ -./ -}) [0, 10] 10)$
 $-LCM \quad :: pptrn \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b \quad ((\exists LCM \text{ -}\in\text{-./ -}) [0, 0, 10] 10)$

translations

$GCD x y. B \quad \Rightarrow GCD x. GCD y. B$
 $GCD x. B \quad \Rightarrow CONST \text{ GREATEST-COMMON-DIVISOR } CONST \text{ UNIV } (\lambda x. B)$
 $GCD x. B \quad \Rightarrow GCD x \in CONST \text{ UNIV. } B$
 $GCD x \in A. B \quad \Rightarrow CONST \text{ GREATEST-COMMON-DIVISOR } A (\lambda x. B)$
 $LCM x y. B \quad \Rightarrow LCM x. LCM y. B$
 $LCM x. B \quad \Rightarrow CONST \text{ LEAST-COMMON-MULTIPLE } CONST \text{ UNIV } (\lambda x. B)$
 $LCM x. B \quad \Rightarrow LCM x \in CONST \text{ UNIV. } B$
 $LCM x \in A. B \quad \Rightarrow CONST \text{ LEAST-COMMON-MULTIPLE } A (\lambda x. B)$

$\langle ML \rangle$

class $semiring-gcd = normalization-semidom + gcd +$
assumes $gcd-dvd1$ $[iff]: gcd a b \text{ dvd } a$
and $gcd-dvd2$ $[iff]: gcd a b \text{ dvd } b$
and $gcd-greatest: c \text{ dvd } a \Longrightarrow c \text{ dvd } b \Longrightarrow c \text{ dvd } gcd a b$
and $normalize-gcd$ $[simp]: normalize (gcd a b) = gcd a b$
and $lcm-gcd: lcm a b = normalize (a * b) \text{ div } gcd a b$
begin

lemma $gcd-greatest-iff$ $[simp]: a \text{ dvd } gcd b c \longleftrightarrow a \text{ dvd } b \wedge a \text{ dvd } c$
 $\langle proof \rangle$

lemma $gcd-dvdI1: a \text{ dvd } c \Longrightarrow gcd a b \text{ dvd } c$
 $\langle proof \rangle$

lemma $gcd-dvdI2: b \text{ dvd } c \Longrightarrow gcd a b \text{ dvd } c$
 $\langle proof \rangle$

lemma $dvd-gcdD1: a \text{ dvd } gcd b c \Longrightarrow a \text{ dvd } b$

$\langle \text{proof} \rangle$

lemma *dvd-gcdD2*: $a \text{ dvd } \text{gcd } b \ c \implies a \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemma *gcd-0-left* [simp]: $\text{gcd } 0 \ a = \text{normalize } a$
 $\langle \text{proof} \rangle$

lemma *gcd-0-right* [simp]: $\text{gcd } a \ 0 = \text{normalize } a$
 $\langle \text{proof} \rangle$

lemma *gcd-eq-0-iff* [simp]: $\text{gcd } a \ b = 0 \longleftrightarrow a = 0 \wedge b = 0$
 (is $?P \longleftrightarrow ?Q$)
 $\langle \text{proof} \rangle$

lemma *unit-factor-gcd*: $\text{unit-factor } (\text{gcd } a \ b) = (\text{if } a = 0 \wedge b = 0 \text{ then } 0 \text{ else } 1)$
 $\langle \text{proof} \rangle$

lemma *is-unit-gcd* [simp]: $\text{is-unit } (\text{gcd } a \ b) \longleftrightarrow \text{coprime } a \ b$
 $\langle \text{proof} \rangle$

sublocale *gcd*: *abel-semigroup gcd*
 $\langle \text{proof} \rangle$

sublocale *gcd*: *bounded-quasi-semilattice gcd 0 1 normalize*
 $\langle \text{proof} \rangle$

lemma *gcd-self*: $\text{gcd } a \ a = \text{normalize } a$
 $\langle \text{proof} \rangle$

lemma *gcd-left-idem*: $\text{gcd } a \ (\text{gcd } a \ b) = \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *gcd-right-idem*: $\text{gcd } (\text{gcd } a \ b) \ b = \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *coprime-1-left*: $\text{coprime } 1 \ a$
 $\langle \text{proof} \rangle$

lemma *coprime-1-right*: $\text{coprime } a \ 1$
 $\langle \text{proof} \rangle$

lemma *gcd-mult-left*: $\text{gcd } (c * a) \ (c * b) = \text{normalize } c * \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *gcd-mult-right*: $\text{gcd } (a * c) \ (b * c) = \text{gcd } b \ a * \text{normalize } c$
 $\langle \text{proof} \rangle$

lemma *mult-gcd-left*: $c * \text{gcd } a \ b = \text{unit-factor } c * \text{gcd } (c * a) \ (c * b)$

$\langle proof \rangle$

lemma *mult-gcd-right*: $gcd\ a\ b * c = gcd\ (a * c)\ (b * c) * unit_factor\ c$
 $\langle proof \rangle$

lemma *dvd-lcm1* [iff]: $a\ dvd\ lcm\ a\ b$
 $\langle proof \rangle$

lemma *dvd-lcm2* [iff]: $b\ dvd\ lcm\ a\ b$
 $\langle proof \rangle$

lemma *dvd-lcmI1*: $a\ dvd\ b \implies a\ dvd\ lcm\ b\ c$
 $\langle proof \rangle$

lemma *dvd-lcmI2*: $a\ dvd\ c \implies a\ dvd\ lcm\ b\ c$
 $\langle proof \rangle$

lemma *lcm-dvdD1*: $lcm\ a\ b\ dvd\ c \implies a\ dvd\ c$
 $\langle proof \rangle$

lemma *lcm-dvdD2*: $lcm\ a\ b\ dvd\ c \implies b\ dvd\ c$
 $\langle proof \rangle$

lemma *lcm-least*:
 assumes $a\ dvd\ c$ and $b\ dvd\ c$
 shows $lcm\ a\ b\ dvd\ c$
 $\langle proof \rangle$

lemma *lcm-least-iff* [simp]: $lcm\ a\ b\ dvd\ c \longleftrightarrow a\ dvd\ c \wedge b\ dvd\ c$
 $\langle proof \rangle$

lemma *normalize-lcm* [simp]: $normalize\ (lcm\ a\ b) = lcm\ a\ b$
 $\langle proof \rangle$

lemma *lcm-0-left* [simp]: $lcm\ 0\ a = 0$
 $\langle proof \rangle$

lemma *lcm-0-right* [simp]: $lcm\ a\ 0 = 0$
 $\langle proof \rangle$

lemma *lcm-eq-0-iff*: $lcm\ a\ b = 0 \longleftrightarrow a = 0 \vee b = 0$
 (is $?P \longleftrightarrow ?Q$)
 $\langle proof \rangle$

lemma *lcm-eq-1-iff* [simp]: $lcm\ a\ b = 1 \longleftrightarrow is_unit\ a \wedge is_unit\ b$
 $\langle proof \rangle$

lemma *unit-factor-lcm*: $unit_factor\ (lcm\ a\ b) = (if\ a = 0 \vee b = 0\ then\ 0\ else\ 1)$
 $\langle proof \rangle$

sublocale *lcm*: *abel-semigroup lcm*

<proof>

sublocale *lcm*: *bounded-quasi-semilattice lcm 1 0 normalize*

<proof>

lemma *lcm-self*: *lcm a a = normalize a*

<proof>

lemma *lcm-left-idem*: *lcm a (lcm a b) = lcm a b*

<proof>

lemma *lcm-right-idem*: *lcm (lcm a b) b = lcm a b*

<proof>

lemma *gcd-mult-lcm [simp]*: *gcd a b * lcm a b = normalize a * normalize b*

<proof>

lemma *lcm-mult-gcd [simp]*: *lcm a b * gcd a b = normalize a * normalize b*

<proof>

lemma *gcd-lcm*:

assumes *a ≠ 0 and b ≠ 0*

shows *gcd a b = normalize (a * b) div lcm a b*

<proof>

lemma *lcm-1-left*: *lcm 1 a = normalize a*

<proof>

lemma *lcm-1-right*: *lcm a 1 = normalize a*

<proof>

lemma *lcm-mult-left*: *lcm (c * a) (c * b) = normalize c * lcm a b*

<proof>

lemma *lcm-mult-right*: *lcm (a * c) (b * c) = lcm b a * normalize c*

<proof>

lemma *mult-lcm-left*: *c * lcm a b = unit-factor c * lcm (c * a) (c * b)*

<proof>

lemma *mult-lcm-right*: *lcm a b * c = lcm (a * c) (b * c) * unit-factor c*

<proof>

lemma *gcdI*:

assumes *c dvd a and c dvd b*

and greatest: $\bigwedge d. d \text{ dvd } a \implies d \text{ dvd } b \implies d \text{ dvd } c$

and *normalize c = c*

shows $c = \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *gcd-unique*:
 $d \text{ dvd } a \wedge d \text{ dvd } b \wedge \text{normalize } d = d \wedge (\forall e. e \text{ dvd } a \wedge e \text{ dvd } b \longrightarrow e \text{ dvd } d)$
 $\longleftrightarrow d = \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *gcd-dvd-prod*: $\text{gcd } a \ b \text{ dvd } k * b$
 $\langle \text{proof} \rangle$

lemma *gcd-proj2-if-dvd*: $b \text{ dvd } a \implies \text{gcd } a \ b = \text{normalize } b$
 $\langle \text{proof} \rangle$

lemma *gcd-proj1-if-dvd*: $a \text{ dvd } b \implies \text{gcd } a \ b = \text{normalize } a$
 $\langle \text{proof} \rangle$

lemma *gcd-proj1-iff*: $\text{gcd } m \ n = \text{normalize } m \longleftrightarrow m \text{ dvd } n$
 $\langle \text{proof} \rangle$

lemma *gcd-proj2-iff*: $\text{gcd } m \ n = \text{normalize } n \longleftrightarrow n \text{ dvd } m$
 $\langle \text{proof} \rangle$

lemma *gcd-mult-distrib'*: $\text{normalize } c * \text{gcd } a \ b = \text{gcd } (c * a) \ (c * b)$
 $\langle \text{proof} \rangle$

lemma *gcd-mult-distrib*: $k * \text{gcd } a \ b = \text{gcd } (k * a) \ (k * b) * \text{unit-factor } k$
 $\langle \text{proof} \rangle$

lemma *lcm-mult-unit1*: $\text{is-unit } a \implies \text{lcm } (b * a) \ c = \text{lcm } b \ c$
 $\langle \text{proof} \rangle$

lemma *lcm-mult-unit2*: $\text{is-unit } a \implies \text{lcm } b \ (c * a) = \text{lcm } b \ c$
 $\langle \text{proof} \rangle$

lemma *lcm-div-unit1*:
 $\text{is-unit } a \implies \text{lcm } (b \text{ div } a) \ c = \text{lcm } b \ c$
 $\langle \text{proof} \rangle$

lemma *lcm-div-unit2*: $\text{is-unit } a \implies \text{lcm } b \ (c \text{ div } a) = \text{lcm } b \ c$
 $\langle \text{proof} \rangle$

lemma *normalize-lcm-left*: $\text{lcm } (\text{normalize } a) \ b = \text{lcm } a \ b$
 $\langle \text{proof} \rangle$

lemma *normalize-lcm-right*: $\text{lcm } a \ (\text{normalize } b) = \text{lcm } a \ b$
 $\langle \text{proof} \rangle$

lemma *gcd-mult-unit1*: $\text{is-unit } a \implies \text{gcd } (b * a) \ c = \text{gcd } b \ c$

<proof>

lemma *gcd-mult-unit2*: *is-unit a* \implies *gcd b (c * a) = gcd b c*
<proof>

lemma *gcd-div-unit1*: *is-unit a* \implies *gcd (b div a) c = gcd b c*
<proof>

lemma *gcd-div-unit2*: *is-unit a* \implies *gcd b (c div a) = gcd b c*
<proof>

lemma *normalize-gcd-left*: *gcd (normalize a) b = gcd a b*
<proof>

lemma *normalize-gcd-right*: *gcd a (normalize b) = gcd a b*
<proof>

lemma *comp-fun-idem-gcd*: *comp-fun-idem gcd*
<proof>

lemma *comp-fun-idem-lcm*: *comp-fun-idem lcm*
<proof>

lemma *gcd-dvd-antisym*: *gcd a b dvd gcd c d* \implies *gcd c d dvd gcd a b* \implies *gcd a b = gcd c d*
<proof>

lemma *coprime-dvd-mult*:
*assumes coprime a b and a dvd c * b*
shows a dvd c
<proof>

lemma *coprime-dvd-mult-iff*: *coprime a c* \implies *a dvd b * c* \longleftrightarrow *a dvd b*
<proof>

lemma *gcd-mult-cancel*: *coprime c b* \implies *gcd (c * a) b = gcd a b*
<proof>

lemma *coprime-crossproduct*:
fixes a b c d :: 'a
assumes coprime a d and coprime b c
*shows normalize a * normalize c = normalize b * normalize d* \longleftrightarrow
normalize a = normalize b \wedge *normalize c = normalize d*
(is ?lhs \longleftrightarrow *?rhs)*
<proof>

lemma *gcd-add1 [simp]*: *gcd (m + n) n = gcd m n*
<proof>

lemma *gcd-add2* [*simp*]: $\text{gcd } m \ (m + n) = \text{gcd } m \ n$
 ⟨*proof*⟩

lemma *gcd-add-mult*: $\text{gcd } m \ (k * m + n) = \text{gcd } m \ n$
 ⟨*proof*⟩

lemma *coprimeI*: $(\bigwedge l. l \text{ dvd } a \implies l \text{ dvd } b \implies l \text{ dvd } 1) \implies \text{gcd } a \ b = 1$
 ⟨*proof*⟩

lemma *coprime*: $\text{gcd } a \ b = 1 \longleftrightarrow (\forall d. d \text{ dvd } a \wedge d \text{ dvd } b \longleftrightarrow \text{is-unit } d)$
 ⟨*proof*⟩

lemma *div-gcd-coprime*:
 assumes *nz*: $a \neq 0 \vee b \neq 0$
 shows *coprime* $(a \text{ div } \text{gcd } a \ b) \ (b \text{ div } \text{gcd } a \ b)$
 ⟨*proof*⟩

lemma *divides-mult*:
 assumes $a \text{ dvd } c$ and nr : $b \text{ dvd } c$ and *coprime* $a \ b$
 shows $a * b \text{ dvd } c$
 ⟨*proof*⟩

lemma *coprime-lmult*:
 assumes *dab*: $\text{gcd } d \ (a * b) = 1$
 shows $\text{gcd } d \ a = 1$
 ⟨*proof*⟩

lemma *coprime-rmult*:
 assumes *dab*: $\text{gcd } d \ (a * b) = 1$
 shows $\text{gcd } d \ b = 1$
 ⟨*proof*⟩

lemma *coprime-mult*:
 assumes *coprime* $d \ a$
 and *coprime* $d \ b$
 shows *coprime* $d \ (a * b)$
 ⟨*proof*⟩

lemma *coprime-mul-eq*: $\text{gcd } d \ (a * b) = 1 \longleftrightarrow \text{gcd } d \ a = 1 \wedge \text{gcd } d \ b = 1$
 ⟨*proof*⟩

lemma *coprime-mul-eq'*:
 $\text{coprime } (a * b) \ d \longleftrightarrow \text{coprime } a \ d \wedge \text{coprime } b \ d$
 ⟨*proof*⟩

lemma *gcd-coprime*:
 assumes $c: \text{gcd } a \ b \neq 0$
 and $a: a = a' * \text{gcd } a \ b$
 and $b: b = b' * \text{gcd } a \ b$

shows $\gcd a' b' = 1$
 $\langle \text{proof} \rangle$

lemma *coprime-power*:
assumes $0 < n$
shows $\gcd a (b \wedge n) = 1 \longleftrightarrow \gcd a b = 1$
 $\langle \text{proof} \rangle$

lemma *gcd-coprime-exists*:
assumes $\gcd a b \neq 0$
shows $\exists a' b'. a = a' * \gcd a b \wedge b = b' * \gcd a b \wedge \gcd a' b' = 1$
 $\langle \text{proof} \rangle$

lemma *coprime-exp*: $\gcd d a = 1 \implies \gcd d (a \wedge n) = 1$
 $\langle \text{proof} \rangle$

lemma *coprime-exp-left*: $\text{coprime } a b \implies \text{coprime } (a \wedge n) b$
 $\langle \text{proof} \rangle$

lemma *coprime-exp2*:
assumes $\text{coprime } a b$
shows $\text{coprime } (a \wedge n) (b \wedge m)$
 $\langle \text{proof} \rangle$

lemma *gcd-exp*: $\gcd (a \wedge n) (b \wedge n) = \gcd a b \wedge n$
 $\langle \text{proof} \rangle$

lemma *coprime-common-divisor*: $\gcd a b = 1 \implies a \text{ dvd } a \implies a \text{ dvd } b \implies \text{is-unit } a$
 $\langle \text{proof} \rangle$

lemma *division-decomp*:
assumes $a \text{ dvd } b * c$
shows $\exists b' c'. a = b' * c' \wedge b' \text{ dvd } b \wedge c' \text{ dvd } c$
 $\langle \text{proof} \rangle$

lemma *pow-divs-pow*:
assumes $ab: a \wedge n \text{ dvd } b \wedge n$ **and** $n: n \neq 0$
shows $a \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *pow-divs-eq* [simp]: $n \neq 0 \implies a \wedge n \text{ dvd } b \wedge n \longleftrightarrow a \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *coprime-plus-one* [simp]: $\gcd (n + 1) n = 1$
 $\langle \text{proof} \rangle$

lemma *prod-coprime* [rule-format]: $(\forall i \in A. \gcd (f i) a = 1) \longrightarrow \gcd (\prod_{i \in A} f i) a = 1$

$\langle proof \rangle$

lemma *prod-list-coprime*: $(\bigwedge x. x \in \text{set } xs \implies \text{coprime } x \ y) \implies \text{coprime } (\text{prod-list } xs) \ y$
 $\langle proof \rangle$

lemma *coprime-divisors*:
assumes $d \ \text{dvd} \ a \ e \ \text{dvd} \ b \ \text{gcd } a \ b = 1$
shows $\text{gcd } d \ e = 1$
 $\langle proof \rangle$

lemma *lcm-gcd-prod*: $\text{lcm } a \ b * \text{gcd } a \ b = \text{normalize } (a * b)$
 $\langle proof \rangle$

declare *unit-factor-lcm* [simp]

lemma *lcmI*:
assumes $a \ \text{dvd} \ c$ **and** $b \ \text{dvd} \ c$ **and** $\bigwedge d. a \ \text{dvd} \ d \implies b \ \text{dvd} \ d \implies c \ \text{dvd} \ d$
and $\text{normalize } c = c$
shows $c = \text{lcm } a \ b$
 $\langle proof \rangle$

lemma *gcd-dvd-lcm* [simp]: $\text{gcd } a \ b \ \text{dvd} \ \text{lcm } a \ b$
 $\langle proof \rangle$

lemmas *lcm-0 = lcm-0-right*

lemma *lcm-unique*:
 $a \ \text{dvd} \ d \wedge b \ \text{dvd} \ d \wedge \text{normalize } d = d \wedge (\forall e. a \ \text{dvd} \ e \wedge b \ \text{dvd} \ e \longrightarrow d \ \text{dvd} \ e)$
 $\longleftrightarrow d = \text{lcm } a \ b$
 $\langle proof \rangle$

lemma *lcm-coprime*: $\text{gcd } a \ b = 1 \implies \text{lcm } a \ b = \text{normalize } (a * b)$
 $\langle proof \rangle$

lemma *lcm-proj1-if-dvd*: $b \ \text{dvd} \ a \implies \text{lcm } a \ b = \text{normalize } a$
 $\langle proof \rangle$

lemma *lcm-proj2-if-dvd*: $a \ \text{dvd} \ b \implies \text{lcm } a \ b = \text{normalize } b$
 $\langle proof \rangle$

lemma *lcm-proj1-iff*: $\text{lcm } m \ n = \text{normalize } m \longleftrightarrow n \ \text{dvd} \ m$
 $\langle proof \rangle$

lemma *lcm-proj2-iff*: $\text{lcm } m \ n = \text{normalize } n \longleftrightarrow m \ \text{dvd} \ n$
 $\langle proof \rangle$

lemma *lcm-mult-distrib'*: $\text{normalize } c * \text{lcm } a \ b = \text{lcm } (c * a) (c * b)$
 $\langle proof \rangle$

lemma *lcm-mult-distrib*: $k * \text{lcm } a \ b = \text{lcm } (k * a) \ (k * b) * \text{unit-factor } k$
 $\langle \text{proof} \rangle$

lemma *dvd-productE*:
assumes $p \ \text{dvd} \ (a * b)$
obtains $x \ y$ **where** $p = x * y$ $x \ \text{dvd} \ a$ $y \ \text{dvd} \ b$
 $\langle \text{proof} \rangle$

lemma *coprime-crossproduct'*:
fixes $a \ b \ c \ d$
assumes $b \neq 0$
assumes *unit-factors*: $\text{unit-factor } b = \text{unit-factor } d$
assumes *coprime*: $\text{coprime } a \ b$ $\text{coprime } c \ d$
shows $a * d = b * c \longleftrightarrow a = c \wedge b = d$
 $\langle \text{proof} \rangle$

end

class *ring-gcd* = *comm-ring-1* + *semiring-gcd*
begin

lemma *coprime-minus-one*: $\text{coprime } (n - 1) \ n$
 $\langle \text{proof} \rangle$

lemma *gcd-neg1* [*simp*]: $\text{gcd } (-a) \ b = \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *gcd-neg2* [*simp*]: $\text{gcd } a \ (-b) = \text{gcd } a \ b$
 $\langle \text{proof} \rangle$

lemma *gcd-neg-numeral-1* [*simp*]: $\text{gcd } (- \text{numeral } n) \ a = \text{gcd } (\text{numeral } n) \ a$
 $\langle \text{proof} \rangle$

lemma *gcd-neg-numeral-2* [*simp*]: $\text{gcd } a \ (- \text{numeral } n) = \text{gcd } a \ (\text{numeral } n)$
 $\langle \text{proof} \rangle$

lemma *gcd-diff1*: $\text{gcd } (m - n) \ n = \text{gcd } m \ n$
 $\langle \text{proof} \rangle$

lemma *gcd-diff2*: $\text{gcd } (n - m) \ n = \text{gcd } m \ n$
 $\langle \text{proof} \rangle$

lemma *lcm-neg1* [*simp*]: $\text{lcm } (-a) \ b = \text{lcm } a \ b$
 $\langle \text{proof} \rangle$

lemma *lcm-neg2* [*simp*]: $\text{lcm } a \ (-b) = \text{lcm } a \ b$
 $\langle \text{proof} \rangle$

lemma *lcm-neg-numeral-1* [simp]: $\text{lcm } (- \text{numeral } n) \ a = \text{lcm } (\text{numeral } n) \ a$
 ⟨proof⟩

lemma *lcm-neg-numeral-2* [simp]: $\text{lcm } a \ (- \text{numeral } n) = \text{lcm } a \ (\text{numeral } n)$
 ⟨proof⟩

end

class *semiring-Gcd* = *semiring-gcd* + *Gcd* +
assumes *Gcd-dvd*: $a \in A \implies \text{Gcd } A \ \text{dvd } a$
and *Gcd-greatest*: $(\bigwedge b. b \in A \implies a \ \text{dvd } b) \implies a \ \text{dvd } \text{Gcd } A$
and *normalize-Gcd* [simp]: $\text{normalize } (\text{Gcd } A) = \text{Gcd } A$
assumes *dvd-Lcm*: $a \in A \implies a \ \text{dvd } \text{Lcm } A$
and *Lcm-least*: $(\bigwedge b. b \in A \implies b \ \text{dvd } a) \implies \text{Lcm } A \ \text{dvd } a$
and *normalize-Lcm* [simp]: $\text{normalize } (\text{Lcm } A) = \text{Lcm } A$
begin

lemma *Lcm-Gcd*: $\text{Lcm } A = \text{Gcd } \{b. \forall a \in A. a \ \text{dvd } b\}$
 ⟨proof⟩

lemma *Gcd-Lcm*: $\text{Gcd } A = \text{Lcm } \{b. \forall a \in A. b \ \text{dvd } a\}$
 ⟨proof⟩

lemma *Gcd-empty* [simp]: $\text{Gcd } \{\} = 0$
 ⟨proof⟩

lemma *Lcm-empty* [simp]: $\text{Lcm } \{\} = 1$
 ⟨proof⟩

lemma *Gcd-insert* [simp]: $\text{Gcd } (\text{insert } a \ A) = \text{gcd } a \ (\text{Gcd } A)$
 ⟨proof⟩

lemma *Lcm-insert* [simp]: $\text{Lcm } (\text{insert } a \ A) = \text{lcm } a \ (\text{Lcm } A)$
 ⟨proof⟩

lemma *LcmI*:
assumes $\bigwedge a. a \in A \implies a \ \text{dvd } b$
and $\bigwedge c. (\bigwedge a. a \in A \implies a \ \text{dvd } c) \implies b \ \text{dvd } c$
and *normalize* $b = b$
shows $b = \text{Lcm } A$
 ⟨proof⟩

lemma *Lcm-subset*: $A \subseteq B \implies \text{Lcm } A \ \text{dvd } \text{Lcm } B$
 ⟨proof⟩

lemma *Lcm-Un*: $\text{Lcm } (A \cup B) = \text{lcm } (\text{Lcm } A) \ (\text{Lcm } B)$
 ⟨proof⟩

lemma *Gcd-0-iff* [simp]: $\text{Gcd } A = 0 \longleftrightarrow A \subseteq \{0\}$

(is ?P \longleftrightarrow ?Q)
 <proof>

lemma *Lcm-1-iff* [simp]: $Lcm\ A = 1 \longleftrightarrow (\forall a \in A. is_unit\ a)$
 (is ?P \longleftrightarrow ?Q)
 <proof>

lemma *unit-factor-Lcm*: $unit_factor\ (Lcm\ A) = (if\ Lcm\ A = 0\ then\ 0\ else\ 1)$
 <proof>

lemma *unit-factor-Gcd*: $unit_factor\ (Gcd\ A) = (if\ Gcd\ A = 0\ then\ 0\ else\ 1)$
 <proof>

lemma *GcdI*:
 assumes $\bigwedge a. a \in A \implies b\ dvd\ a$
 and $\bigwedge c. (\bigwedge a. a \in A \implies c\ dvd\ a) \implies c\ dvd\ b$
 and $normalize\ b = b$
 shows $b = Gcd\ A$
 <proof>

lemma *Gcd-eq-1-I*:
 assumes $is_unit\ a$ and $a \in A$
 shows $Gcd\ A = 1$
 <proof>

lemma *Lcm-eq-0-I*:
 assumes $0 \in A$
 shows $Lcm\ A = 0$
 <proof>

lemma *Gcd-UNIV* [simp]: $Gcd\ UNIV = 1$
 <proof>

lemma *Lcm-UNIV* [simp]: $Lcm\ UNIV = 0$
 <proof>

lemma *Lcm-0-iff*:
 assumes $finite\ A$
 shows $Lcm\ A = 0 \longleftrightarrow 0 \in A$
 <proof>

lemma *Gcd-image-normalize* [simp]: $Gcd\ (normalize\ 'A) = Gcd\ A$
 <proof>

lemma *Gcd-eqI*:
 assumes $normalize\ a = a$
 assumes $\bigwedge b. b \in A \implies a\ dvd\ b$
 and $\bigwedge c. (\bigwedge b. b \in A \implies c\ dvd\ b) \implies c\ dvd\ a$
 shows $Gcd\ A = a$

$\langle \text{proof} \rangle$

lemma *dvd-GcdD*: $x \text{ dvd } \text{Gcd } A \implies y \in A \implies x \text{ dvd } y$
 $\langle \text{proof} \rangle$

lemma *dvd-Gcd-iff*: $x \text{ dvd } \text{Gcd } A \longleftrightarrow (\forall y \in A. x \text{ dvd } y)$
 $\langle \text{proof} \rangle$

lemma *Gcd-mult*: $\text{Gcd } (op * c \text{ ‘ } A) = \text{normalize } c * \text{Gcd } A$
 $\langle \text{proof} \rangle$

lemma *Lcm-eqI*:
 assumes *normalize* $a = a$
 and $\bigwedge b. b \in A \implies b \text{ dvd } a$
 and $\bigwedge c. (\bigwedge b. b \in A \implies b \text{ dvd } c) \implies a \text{ dvd } c$
 shows $\text{Lcm } A = a$
 $\langle \text{proof} \rangle$

lemma *Lcm-dvdD*: $\text{Lcm } A \text{ dvd } x \implies y \in A \implies y \text{ dvd } x$
 $\langle \text{proof} \rangle$

lemma *Lcm-dvd-iff*: $\text{Lcm } A \text{ dvd } x \longleftrightarrow (\forall y \in A. y \text{ dvd } x)$
 $\langle \text{proof} \rangle$

lemma *Lcm-mult*:
 assumes $A \neq \{\}$
 shows $\text{Lcm } (op * c \text{ ‘ } A) = \text{normalize } c * \text{Lcm } A$
 $\langle \text{proof} \rangle$

lemma *Lcm-no-units*: $\text{Lcm } A = \text{Lcm } (A - \{a. \text{is-unit } a\})$
 $\langle \text{proof} \rangle$

lemma *Lcm-0-iff'*: $\text{Lcm } A = 0 \longleftrightarrow (\nexists l. l \neq 0 \wedge (\forall a \in A. a \text{ dvd } l))$
 $\langle \text{proof} \rangle$

lemma *Lcm-no-multiple*: $(\forall m. m \neq 0 \longrightarrow (\exists a \in A. \neg a \text{ dvd } m)) \implies \text{Lcm } A = 0$
 $\langle \text{proof} \rangle$

lemma *Lcm-singleton [simp]*: $\text{Lcm } \{a\} = \text{normalize } a$
 $\langle \text{proof} \rangle$

lemma *Lcm-2 [simp]*: $\text{Lcm } \{a, b\} = \text{lcm } a \ b$
 $\langle \text{proof} \rangle$

lemma *Lcm-coprime*:
 assumes *finite* A
 and $A \neq \{\}$
 and $\bigwedge a \ b. a \in A \implies b \in A \implies a \neq b \implies \text{gcd } a \ b = 1$
 shows $\text{Lcm } A = \text{normalize } (\prod A)$

$\langle \text{proof} \rangle$

lemma *Lcm-coprime'*:

$\text{card } A \neq 0 \implies$
 $(\bigwedge a \ b. a \in A \implies b \in A \implies a \neq b \implies \text{gcd } a \ b = 1) \implies$
 $\text{Lcm } A = \text{normalize } (\prod A)$
 $\langle \text{proof} \rangle$

lemma *Gcd-1*: $1 \in A \implies \text{Gcd } A = 1$

$\langle \text{proof} \rangle$

lemma *Gcd-singleton* [*simp*]: $\text{Gcd } \{a\} = \text{normalize } a$

$\langle \text{proof} \rangle$

lemma *Gcd-2* [*simp*]: $\text{Gcd } \{a, b\} = \text{gcd } a \ b$

$\langle \text{proof} \rangle$

definition *pairwise-coprime*

where *pairwise-coprime* $A = (\forall x \ y. x \in A \wedge y \in A \wedge x \neq y \longrightarrow \text{coprime } x \ y)$

lemma *pairwise-coprimeI* [*intro?*]:

$(\bigwedge x \ y. x \in A \implies y \in A \implies x \neq y \implies \text{coprime } x \ y) \implies \text{pairwise-coprime } A$
 $\langle \text{proof} \rangle$

lemma *pairwise-coprimeD*:

$\text{pairwise-coprime } A \implies x \in A \implies y \in A \implies x \neq y \implies \text{coprime } x \ y$
 $\langle \text{proof} \rangle$

lemma *pairwise-coprime-subset*: $\text{pairwise-coprime } A \implies B \subseteq A \implies \text{pairwise-coprime } B$

$\langle \text{proof} \rangle$

end

86.3 An aside: GCD and LCM on finite sets for incomplete gcd rings

context *semiring-gcd*

begin

sublocale *Gcd-fin*: *bounded-quasi-semilattice-set gcd 0 1 normalize*
defines

$\text{Gcd-fin } (\text{Gcd}_{fin} - [900] \ 900) = \text{Gcd-fin.F} :: 'a \ \text{set} \Rightarrow 'a \ \langle \text{proof} \rangle$

abbreviation *gcd-list* :: $'a \ \text{list} \Rightarrow 'a$

where $\text{gcd-list } xs \equiv \text{Gcd}_{fin} \ (\text{set } xs)$

sublocale *Lcm-fin*: *bounded-quasi-semilattice-set lcm 1 0 normalize*

defines

$Lcm_fin (Lcm_fin - [900] 900) = Lcm_fin.F \langle proof \rangle$

abbreviation $lcm_list :: 'a list \Rightarrow 'a$

where $lcm_list xs \equiv Lcm_fin (set xs)$

lemma Gcd_fin_dvd :

$a \in A \implies Gcd_fin A \text{ dvd } a$
 $\langle proof \rangle$

lemma dvd_Lcm_fin :

$a \in A \implies a \text{ dvd } Lcm_fin A$
 $\langle proof \rangle$

lemma $Gcd_fin_greatest$:

$a \text{ dvd } Gcd_fin A$ **if** $finite A$ **and** $\bigwedge b. b \in A \implies a \text{ dvd } b$
 $\langle proof \rangle$

lemma Lcm_fin_least :

$Lcm_fin A \text{ dvd } a$ **if** $finite A$ **and** $\bigwedge b. b \in A \implies b \text{ dvd } a$
 $\langle proof \rangle$

lemma $gcd_list_greatest$:

$a \text{ dvd } gcd_list bs$ **if** $\bigwedge b. b \in set bs \implies a \text{ dvd } b$
 $\langle proof \rangle$

lemma lcm_list_least :

$lcm_list bs \text{ dvd } a$ **if** $\bigwedge b. b \in set bs \implies b \text{ dvd } a$
 $\langle proof \rangle$

lemma $dvd_Gcd_fin_iff$:

$b \text{ dvd } Gcd_fin A \iff (\forall a \in A. b \text{ dvd } a)$ **if** $finite A$
 $\langle proof \rangle$

lemma $dvd_gcd_list_iff$:

$b \text{ dvd } gcd_list xs \iff (\forall a \in set xs. b \text{ dvd } a)$
 $\langle proof \rangle$

lemma $Lcm_fin_dvd_iff$:

$Lcm_fin A \text{ dvd } b \iff (\forall a \in A. a \text{ dvd } b)$ **if** $finite A$
 $\langle proof \rangle$

lemma $lcm_list_dvd_iff$:

$lcm_list xs \text{ dvd } b \iff (\forall a \in set xs. a \text{ dvd } b)$
 $\langle proof \rangle$

lemma Gcd_fin_mult :

$Gcd_fin (image (times b) A) = normalize b * Gcd_fin A$ **if** $finite A$
 $\langle proof \rangle$

lemma *Lcm-fin-mult*:

$Lcm_{fin} (image (times\ b)\ A) = normalize\ b * Lcm_{fin}\ A$ **if** $A \neq \{\}$
 $\langle proof \rangle$

lemma *unit-factor-Gcd-fin*:

$unit-factor\ (Gcd_{fin}\ A) = of_bool\ (Gcd_{fin}\ A \neq 0)$
 $\langle proof \rangle$

lemma *unit-factor-Lcm-fin*:

$unit-factor\ (Lcm_{fin}\ A) = of_bool\ (Lcm_{fin}\ A \neq 0)$
 $\langle proof \rangle$

lemma *is-unit-Gcd-fin-iff* [simp]:

$is-unit\ (Gcd_{fin}\ A) \longleftrightarrow Gcd_{fin}\ A = 1$
 $\langle proof \rangle$

lemma *is-unit-Lcm-fin-iff* [simp]:

$is-unit\ (Lcm_{fin}\ A) \longleftrightarrow Lcm_{fin}\ A = 1$
 $\langle proof \rangle$

lemma *Gcd-fin-0-iff*:

$Gcd_{fin}\ A = 0 \longleftrightarrow A \subseteq \{0\} \wedge finite\ A$
 $\langle proof \rangle$

lemma *Lcm-fin-0-iff*:

$Lcm_{fin}\ A = 0 \longleftrightarrow 0 \in A$ **if** $finite\ A$
 $\langle proof \rangle$

lemma *Lcm-fin-1-iff*:

$Lcm_{fin}\ A = 1 \longleftrightarrow (\forall a \in A. is-unit\ a) \wedge finite\ A$
 $\langle proof \rangle$

end

context *semiring-Gcd*

begin

lemma *Gcd-fin-eq-Gcd* [simp]:

$Gcd_{fin}\ A = Gcd\ A$ **if** $finite\ A$ **for** $A :: 'a\ set$
 $\langle proof \rangle$

lemma *Gcd-set-eq-fold* [code-unfold]:

$Gcd\ (set\ xs) = fold\ gcd\ xs\ 0$
 $\langle proof \rangle$

lemma *Lcm-fin-eq-Lcm* [simp]:

$Lcm_{fin}\ A = Lcm\ A$ **if** $finite\ A$ **for** $A :: 'a\ set$
 $\langle proof \rangle$

lemma *Lcm-set-eq-fold* [code-unfold]:

$Lcm\ (set\ xs) = fold\ lcm\ xs\ 1$
 $\langle proof \rangle$

end

86.4 GCD and LCM on *nat* and *int*

instantiation *nat* :: *gcd*

begin

fun *gcd-nat* :: *nat* \Rightarrow *nat* \Rightarrow *nat*

where *gcd-nat* *x y* = (if *y* = 0 then *x* else *gcd y (x mod y)*)

definition *lcm-nat* :: *nat* \Rightarrow *nat* \Rightarrow *nat*

where *lcm-nat* *x y* = *x* * *y* div (*gcd x y*)

instance $\langle proof \rangle$

end

instantiation *int* :: *gcd*

begin

definition *gcd-int* :: *int* \Rightarrow *int* \Rightarrow *int*

where *gcd-int* *x y* = *int* (*gcd* (*nat* |*x*|) (*nat* |*y*|))

definition *lcm-int* :: *int* \Rightarrow *int* \Rightarrow *int*

where *lcm-int* *x y* = *int* (*lcm* (*nat* |*x*|) (*nat* |*y*|))

instance $\langle proof \rangle$

end

Transfer setup

lemma *transfer-nat-int-gcd*:

$x \geq 0 \implies y \geq 0 \implies gcd\ (nat\ x)\ (nat\ y) = nat\ (gcd\ x\ y)$

$x \geq 0 \implies y \geq 0 \implies lcm\ (nat\ x)\ (nat\ y) = nat\ (lcm\ x\ y)$

for *x y* :: *int*

$\langle proof \rangle$

lemma *transfer-nat-int-gcd-closures*:

$x \geq 0 \implies y \geq 0 \implies gcd\ x\ y \geq 0$

$x \geq 0 \implies y \geq 0 \implies lcm\ x\ y \geq 0$

for *x y* :: *int*

$\langle proof \rangle$

declare *transfer-morphism-nat-int*

[*transfer add return: transfer-nat-int-gcd transfer-nat-int-gcd-closures*]

lemma *transfer-int-nat-gcd*:

$\text{gcd } (\text{int } x) (\text{int } y) = \text{int } (\text{gcd } x y)$
 $\text{lcm } (\text{int } x) (\text{int } y) = \text{int } (\text{lcm } x y)$
 ⟨*proof*⟩

lemma *transfer-int-nat-gcd-closures*:

$\text{is-nat } x \implies \text{is-nat } y \implies \text{gcd } x y \geq 0$
 $\text{is-nat } x \implies \text{is-nat } y \implies \text{lcm } x y \geq 0$
 ⟨*proof*⟩

declare *transfer-morphism-int-nat*

[*transfer add return: transfer-int-nat-gcd transfer-int-nat-gcd-closures*]

lemma *gcd-nat-induct*:

fixes $m n :: \text{nat}$
assumes $\bigwedge m. P m 0$
and $\bigwedge m n. 0 < n \implies P n (m \bmod n) \implies P m n$
shows $P m n$
 ⟨*proof*⟩

Specific to *int*.

lemma *gcd-eq-int-iff*: $\text{gcd } k l = \text{int } n \longleftrightarrow \text{gcd } (\text{nat } |k|) (\text{nat } |l|) = n$
 ⟨*proof*⟩

lemma *lcm-eq-int-iff*: $\text{lcm } k l = \text{int } n \longleftrightarrow \text{lcm } (\text{nat } |k|) (\text{nat } |l|) = n$
 ⟨*proof*⟩

lemma *gcd-neg1-int* [*simp*]: $\text{gcd } (- x) y = \text{gcd } x y$
for $x y :: \text{int}$
 ⟨*proof*⟩

lemma *gcd-neg2-int* [*simp*]: $\text{gcd } x (- y) = \text{gcd } x y$
for $x y :: \text{int}$
 ⟨*proof*⟩

lemma *abs-gcd-int* [*simp*]: $|\text{gcd } x y| = \text{gcd } x y$
for $x y :: \text{int}$
 ⟨*proof*⟩

lemma *gcd-abs-int*: $\text{gcd } x y = \text{gcd } |x| |y|$
for $x y :: \text{int}$
 ⟨*proof*⟩

lemma *gcd-abs1-int* [*simp*]: $\text{gcd } |x| y = \text{gcd } x y$
for $x y :: \text{int}$
 ⟨*proof*⟩

lemma *gcd-abs2-int* [simp]: $\text{gcd } x \ |y| = \text{gcd } x \ y$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *gcd-cases-int*:
fixes $x \ y :: \text{int}$
assumes $x \geq 0 \implies y \geq 0 \implies P \ (\text{gcd } x \ y)$
and $x \geq 0 \implies y \leq 0 \implies P \ (\text{gcd } x \ (-y))$
and $x \leq 0 \implies y \geq 0 \implies P \ (\text{gcd } (-x) \ y)$
and $x \leq 0 \implies y \leq 0 \implies P \ (\text{gcd } (-x) \ (-y))$
shows $P \ (\text{gcd } x \ y)$
 $\langle \text{proof} \rangle$

lemma *gcd-ge-0-int* [simp]: $\text{gcd } (x::\text{int}) \ y \geq 0$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-neg1-int*: $\text{lcm } (-x) \ y = \text{lcm } x \ y$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-neg2-int*: $\text{lcm } x \ (-y) = \text{lcm } x \ y$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-abs-int*: $\text{lcm } x \ y = \text{lcm } |x| \ |y|$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *abs-lcm-int* [simp]: $|\text{lcm } i \ j| = \text{lcm } i \ j$
for $i \ j :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-abs1-int* [simp]: $\text{lcm } |x| \ y = \text{lcm } x \ y$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-abs2-int* [simp]: $\text{lcm } x \ |y| = \text{lcm } x \ y$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-cases-int*:
fixes $x \ y :: \text{int}$
assumes $x \geq 0 \implies y \geq 0 \implies P \ (\text{lcm } x \ y)$
and $x \geq 0 \implies y \leq 0 \implies P \ (\text{lcm } x \ (-y))$
and $x \leq 0 \implies y \geq 0 \implies P \ (\text{lcm } (-x) \ y)$
and $x \leq 0 \implies y \leq 0 \implies P \ (\text{lcm } (-x) \ (-y))$
shows $P \ (\text{lcm } x \ y)$
 $\langle \text{proof} \rangle$

lemma *lcm-ge-0-int* [*simp*]: $\text{lcm } x \ y \geq 0$
for $x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *gcd-0-nat*: $\text{gcd } x \ 0 = x$
for $x :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gcd-0-int* [*simp*]: $\text{gcd } x \ 0 = |x|$
for $x :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *gcd-0-left-nat*: $\text{gcd } 0 \ x = x$
for $x :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gcd-0-left-int* [*simp*]: $\text{gcd } 0 \ x = |x|$
for $x :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *gcd-red-nat*: $\text{gcd } x \ y = \text{gcd } y \ (x \bmod y)$
for $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

Weaker, but useful for the simplifier.

lemma *gcd-non-0-nat*: $y \neq 0 \implies \text{gcd } x \ y = \text{gcd } y \ (x \bmod y)$
for $x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gcd-1-nat* [*simp*]: $\text{gcd } m \ 1 = 1$
for $m :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gcd-Suc-0* [*simp*]: $\text{gcd } m \ (\text{Suc } 0) = \text{Suc } 0$
for $m :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gcd-1-int* [*simp*]: $\text{gcd } m \ 1 = 1$
for $m :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *gcd-idem-nat*: $\text{gcd } x \ x = x$
for $x :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gcd-idem-int*: $\text{gcd } x \ x = |x|$
for $x :: \text{int}$
 $\langle \text{proof} \rangle$

declare *gcd-nat.simps* [*simp del*]

gcd m n divides *m* and *n*. The conjunctions don’t seem provable separately.

instance *nat* :: *semiring-gcd*
 ⟨*proof*⟩

instance *int* :: *ring-gcd*
 ⟨*proof*⟩

lemma *gcd-le1-nat* [*simp*]: $a \neq 0 \implies \text{gcd } a \ b \leq a$
 for $a \ b :: \text{nat}$
 ⟨*proof*⟩

lemma *gcd-le2-nat* [*simp*]: $b \neq 0 \implies \text{gcd } a \ b \leq b$
 for $a \ b :: \text{nat}$
 ⟨*proof*⟩

lemma *gcd-le1-int* [*simp*]: $a > 0 \implies \text{gcd } a \ b \leq a$
 for $a \ b :: \text{int}$
 ⟨*proof*⟩

lemma *gcd-le2-int* [*simp*]: $b > 0 \implies \text{gcd } a \ b \leq b$
 for $a \ b :: \text{int}$
 ⟨*proof*⟩

lemma *gcd-pos-nat* [*simp*]: $\text{gcd } m \ n > 0 \iff m \neq 0 \vee n \neq 0$
 for $m \ n :: \text{nat}$
 ⟨*proof*⟩

lemma *gcd-pos-int* [*simp*]: $\text{gcd } m \ n > 0 \iff m \neq 0 \vee n \neq 0$
 for $m \ n :: \text{int}$
 ⟨*proof*⟩

lemma *gcd-unique-nat*: $d \text{ dvd } a \wedge d \text{ dvd } b \wedge (\forall e. e \text{ dvd } a \wedge e \text{ dvd } b \implies e \text{ dvd } d) \iff d = \text{gcd } a \ b$
 for $d \ a :: \text{nat}$
 ⟨*proof*⟩

lemma *gcd-unique-int*:
 $d \geq 0 \wedge d \text{ dvd } a \wedge d \text{ dvd } b \wedge (\forall e. e \text{ dvd } a \wedge e \text{ dvd } b \implies e \text{ dvd } d) \iff d = \text{gcd } a \ b$
 for $d \ a :: \text{int}$
 ⟨*proof*⟩

interpretation *gcd-nat*:
semilattice-neutr-order gcd 0::nat Rings.dvd $\lambda m \ n. m \text{ dvd } n \wedge m \neq n$
 ⟨*proof*⟩

lemma *gcd-proj1-if-dvd-int* [simp]: $x \text{ dvd } y \implies \text{gcd } x \ y = |x|$
for $x \ y :: \text{int}$
 ⟨proof⟩

lemma *gcd-proj2-if-dvd-int* [simp]: $y \text{ dvd } x \implies \text{gcd } x \ y = |y|$
for $x \ y :: \text{int}$
 ⟨proof⟩

Multiplication laws.

lemma *gcd-mult-distrib-nat*: $k * \text{gcd } m \ n = \text{gcd } (k * m) \ (k * n)$
for $k \ m \ n :: \text{nat}$
 — [1, page 27]
 ⟨proof⟩

lemma *gcd-mult-distrib-int*: $|k| * \text{gcd } m \ n = \text{gcd } (k * m) \ (k * n)$
for $k \ m \ n :: \text{int}$
 ⟨proof⟩

lemma *coprime-crossproduct-nat*:
fixes $a \ b \ c \ d :: \text{nat}$
assumes *coprime* $a \ d$ **and** *coprime* $b \ c$
shows $a * c = b * d \longleftrightarrow a = b \wedge c = d$
 ⟨proof⟩

lemma *coprime-crossproduct-int*:
fixes $a \ b \ c \ d :: \text{int}$
assumes *coprime* $a \ d$ **and** *coprime* $b \ c$
shows $|a| * |c| = |b| * |d| \longleftrightarrow |a| = |b| \wedge |c| = |d|$
 ⟨proof⟩

Addition laws.

lemma *gcd-diff1-nat*: $m \geq n \implies \text{gcd } (m - n) \ n = \text{gcd } m \ n$
for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *gcd-diff2-nat*: $n \geq m \implies \text{gcd } (n - m) \ n = \text{gcd } m \ n$
for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *gcd-non-0-int*: $y > 0 \implies \text{gcd } x \ y = \text{gcd } y \ (x \bmod y)$
for $x \ y :: \text{int}$
 ⟨proof⟩

lemma *gcd-red-int*: $\text{gcd } x \ y = \text{gcd } y \ (x \bmod y)$
for $x \ y :: \text{int}$
 ⟨proof⟩

lemma *finite-divisors-nat* [simp]:
 fixes $m :: \text{nat}$
 assumes $m > 0$
 shows *finite* $\{d. d \text{ dvd } m\}$
 $\langle \text{proof} \rangle$

lemma *finite-divisors-int* [simp]:
 fixes $i :: \text{int}$
 assumes $i \neq 0$
 shows *finite* $\{d. d \text{ dvd } i\}$
 $\langle \text{proof} \rangle$

lemma *Max-divisors-self-nat* [simp]: $n \neq 0 \implies \text{Max } \{d::\text{nat}. d \text{ dvd } n\} = n$
 $\langle \text{proof} \rangle$

lemma *Max-divisors-self-int* [simp]: $n \neq 0 \implies \text{Max } \{d::\text{int}. d \text{ dvd } n\} = |n|$
 $\langle \text{proof} \rangle$

lemma *gcd-is-Max-divisors-nat*: $m > 0 \implies n > 0 \implies \text{gcd } m \ n = \text{Max } \{d. d \text{ dvd } m \wedge d \text{ dvd } n\}$
 for $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gcd-is-Max-divisors-int*: $m \neq 0 \implies n \neq 0 \implies \text{gcd } m \ n = \text{Max } \{d. d \text{ dvd } m \wedge d \text{ dvd } n\}$
 for $m \ n :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *gcd-code-int* [code]: $\text{gcd } k \ l = \text{if } l = 0 \text{ then } k \text{ else } \text{gcd } l \ (|k| \bmod |l|)$
 for $k \ l :: \text{int}$
 $\langle \text{proof} \rangle$

86.5 Coprimality

lemma *coprime-nat*: $\text{coprime } a \ b \longleftrightarrow (\forall d. d \text{ dvd } a \wedge d \text{ dvd } b \longleftrightarrow d = 1)$
 for $a \ b :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *coprime-Suc-0-nat*: $\text{coprime } a \ b \longleftrightarrow (\forall d. d \text{ dvd } a \wedge d \text{ dvd } b \longleftrightarrow d = \text{Suc } 0)$
 for $a \ b :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *coprime-int*: $\text{coprime } a \ b \longleftrightarrow (\forall d. d \geq 0 \wedge d \text{ dvd } a \wedge d \text{ dvd } b \longleftrightarrow d = 1)$
 for $a \ b :: \text{int}$

$\langle \text{proof} \rangle$

lemma *pow-divides-eq-nat* [simp]: $n > 0 \implies a^n \text{ dvd } b^n \longleftrightarrow a \text{ dvd } b$
for $a \ b \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *coprime-Suc-nat* [simp]: $\text{coprime } (\text{Suc } n) \ n$
 $\langle \text{proof} \rangle$

lemma *coprime-minus-one-nat*: $n \neq 0 \implies \text{coprime } (n - 1) \ n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *coprime-common-divisor-nat*: $\text{coprime } a \ b \implies x \text{ dvd } a \implies x \text{ dvd } b \implies x = 1$
for $a \ b :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *coprime-common-divisor-int*: $\text{coprime } a \ b \implies x \text{ dvd } a \implies x \text{ dvd } b \implies |x| = 1$
for $a \ b :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *invertible-coprime-nat*: $x * y \text{ mod } m = 1 \implies \text{coprime } x \ m$
for $m \ x \ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *invertible-coprime-int*: $x * y \text{ mod } m = 1 \implies \text{coprime } x \ m$
for $m \ x \ y :: \text{int}$
 $\langle \text{proof} \rangle$

86.6 Bezout’s theorem

Function *bezw* returns a pair of witnesses to Bezout’s theorem – see the theorems that follow the definition.

fun *bezw* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{int} * \text{int}$
where *bezw* $x \ y =$
 (if $y = 0$ then $(1, 0)$
 else
 ($\text{snd } (\text{bezw } y \ (x \text{ mod } y))$,
 $\text{fst } (\text{bezw } y \ (x \text{ mod } y)) - \text{snd } (\text{bezw } y \ (x \text{ mod } y)) * \text{int}(x \text{ div } y))$)

lemma *bezw-0* [simp]: $\text{bezw } x \ 0 = (1, 0)$
 $\langle \text{proof} \rangle$

lemma *bezw-non-0*:
 $y > 0 \implies \text{bezw } x \ y =$
 ($\text{snd } (\text{bezw } y \ (x \text{ mod } y))$, $\text{fst } (\text{bezw } y \ (x \text{ mod } y)) - \text{snd } (\text{bezw } y \ (x \text{ mod } y)) * \text{int}(x \text{ div } y)$)

$\langle proof \rangle$

declare *bezw.simps* [*simp del*]

lemma *bezw-aux*: $\text{fst } (\text{bezw } x \ y) * \text{int } x + \text{snd } (\text{bezw } x \ y) * \text{int } y = \text{int } (\text{gcd } x \ y)$
 $\langle proof \rangle$

lemma *bezout-int*: $\exists u \ v. u * x + v * y = \text{gcd } x \ y$
for $x \ y :: \text{int}$
 $\langle proof \rangle$

Versions of Bezout for *nat*, by Amine Chaieb.

lemma *ind-euclid*:
fixes $P :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$
assumes $c: \forall a \ b. P \ a \ b \longleftrightarrow P \ b \ a$
and $z: \forall a. P \ a \ 0$
and $\text{add}: \forall a \ b. P \ a \ b \longrightarrow P \ a \ (a + b)$
shows $P \ a \ b$
 $\langle proof \rangle$

lemma *bezout-lemma-nat*:
assumes $\text{ex}: \exists (d :: \text{nat}) \ x \ y. d \ \text{dvd} \ a \wedge d \ \text{dvd} \ b \wedge$
 $(a * x = b * y + d \vee b * x = a * y + d)$
shows $\exists d \ x \ y. d \ \text{dvd} \ a \wedge d \ \text{dvd} \ a + b \wedge$
 $(a * x = (a + b) * y + d \vee (a + b) * x = a * y + d)$
 $\langle proof \rangle$

lemma *bezout-add-nat*: $\exists (d :: \text{nat}) \ x \ y. d \ \text{dvd} \ a \wedge d \ \text{dvd} \ b \wedge$
 $(a * x = b * y + d \vee b * x = a * y + d)$
 $\langle proof \rangle$

lemma *bezout1-nat*: $\exists (d :: \text{nat}) \ x \ y. d \ \text{dvd} \ a \wedge d \ \text{dvd} \ b \wedge$
 $(a * x - b * y = d \vee b * x - a * y = d)$
 $\langle proof \rangle$

lemma *bezout-add-strong-nat*:
fixes $a \ b :: \text{nat}$
assumes $a: a \neq 0$
shows $\exists d \ x \ y. d \ \text{dvd} \ a \wedge d \ \text{dvd} \ b \wedge a * x = b * y + d$
 $\langle proof \rangle$

lemma *bezout-nat*:
fixes $a :: \text{nat}$
assumes $a: a \neq 0$
shows $\exists x \ y. a * x = b * y + \text{gcd } a \ b$
 $\langle proof \rangle$

86.7 LCM properties on *nat* and *int*

lemma *lcm-altdef-int* [code]: $\text{lcm } a \ b = |a| * |b| \text{ div } \text{gcd } a \ b$
for $a \ b :: \text{int}$
 ⟨proof⟩

lemma *prod-gcd-lcm-nat*: $m * n = \text{gcd } m \ n * \text{lcm } m \ n$
for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *prod-gcd-lcm-int*: $|m| * |n| = \text{gcd } m \ n * \text{lcm } m \ n$
for $m \ n :: \text{int}$
 ⟨proof⟩

lemma *lcm-pos-nat*: $m > 0 \implies n > 0 \implies \text{lcm } m \ n > 0$
for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *lcm-pos-int*: $m \neq 0 \implies n \neq 0 \implies \text{lcm } m \ n > 0$
for $m \ n :: \text{int}$
 ⟨proof⟩

lemma *dvd-pos-nat*: $n > 0 \implies m \text{ dvd } n \implies m > 0$
for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *lcm-unique-nat*:
 $a \text{ dvd } d \wedge b \text{ dvd } d \wedge (\forall e. a \text{ dvd } e \wedge b \text{ dvd } e \longrightarrow d \text{ dvd } e) \longleftrightarrow d = \text{lcm } a \ b$
for $a \ b \ d :: \text{nat}$
 ⟨proof⟩

lemma *lcm-unique-int*:
 $d \geq 0 \wedge a \text{ dvd } d \wedge b \text{ dvd } d \wedge (\forall e. a \text{ dvd } e \wedge b \text{ dvd } e \longrightarrow d \text{ dvd } e) \longleftrightarrow d = \text{lcm } a \ b$
for $a \ b \ d :: \text{int}$
 ⟨proof⟩

lemma *lcm-proj2-if-dvd-nat* [simp]: $x \text{ dvd } y \implies \text{lcm } x \ y = y$
for $x \ y :: \text{nat}$
 ⟨proof⟩

lemma *lcm-proj2-if-dvd-int* [simp]: $x \text{ dvd } y \implies \text{lcm } x \ y = |y|$
for $x \ y :: \text{int}$
 ⟨proof⟩

lemma *lcm-proj1-if-dvd-nat* [simp]: $x \text{ dvd } y \implies \text{lcm } y \ x = y$
for $x \ y :: \text{nat}$
 ⟨proof⟩

lemma *lcm-proj1-if-dvd-int* [simp]: $x \text{ dvd } y \implies \text{lcm } y \ x = |y|$

for $x\ y :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-proj1-iff-nat* [simp]: $\text{lcm } m\ n = m \longleftrightarrow n\ \text{dvd } m$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *lcm-proj2-iff-nat* [simp]: $\text{lcm } m\ n = n \longleftrightarrow m\ \text{dvd } n$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *lcm-proj1-iff-int* [simp]: $\text{lcm } m\ n = |m| \longleftrightarrow n\ \text{dvd } m$
for $m\ n :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-proj2-iff-int* [simp]: $\text{lcm } m\ n = |n| \longleftrightarrow m\ \text{dvd } n$
for $m\ n :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *lcm-1-iff-nat* [simp]: $\text{lcm } m\ n = \text{Suc } 0 \longleftrightarrow m = \text{Suc } 0 \wedge n = \text{Suc } 0$
for $m\ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *lcm-1-iff-int* [simp]: $\text{lcm } m\ n = 1 \longleftrightarrow (m = 1 \vee m = -1) \wedge (n = 1 \vee n = -1)$
for $m\ n :: \text{int}$
 $\langle \text{proof} \rangle$

86.8 The complete divisibility lattice on *nat* and *int*

Lifting *gcd* and *lcm* to sets (*Gcd* / *Lcm*). *Gcd* is defined via *Lcm* to facilitate the proof that we have a complete lattice.

instantiation *nat* :: *semiring-Gcd*
begin

interpretation *semilattice-neutr-set lcm 1::nat*
 $\langle \text{proof} \rangle$

definition $\text{Lcm } M = (\text{if finite } M \text{ then } F\ M \text{ else } 0)$ **for** $M :: \text{nat set}$

lemma *Lcm-nat-empty*: $\text{Lcm } \{\} = (1::\text{nat})$
 $\langle \text{proof} \rangle$

lemma *Lcm-nat-insert*: $\text{Lcm } (\text{insert } n\ M) = \text{lcm } n\ (\text{Lcm } M)$ **for** $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Lcm-nat-infinite*: $\text{infinite } M \implies \text{Lcm } M = 0$ **for** $M :: \text{nat set}$
 $\langle \text{proof} \rangle$

lemma *dvd-Lcm-nat* [*simp*]:
fixes $M :: \text{nat set}$
assumes $m \in M$
shows $m \text{ dvd } \text{Lcm } M$
 $\langle \text{proof} \rangle$

lemma *Lcm-dvd-nat* [*simp*]:
fixes $M :: \text{nat set}$
assumes $\forall m \in M. m \text{ dvd } n$
shows $\text{Lcm } M \text{ dvd } n$
 $\langle \text{proof} \rangle$

definition $\text{Gcd } M = \text{Lcm } \{d. \forall m \in M. d \text{ dvd } m\}$ **for** $M :: \text{nat set}$

instance
 $\langle \text{proof} \rangle$

end

lemma *Gcd-nat-eq-one*: $1 \in N \implies \text{Gcd } N = 1$
for $N :: \text{nat set}$
 $\langle \text{proof} \rangle$

Alternative characterizations of Gcd:

lemma *Gcd-eq-Max*:
fixes $M :: \text{nat set}$
assumes *finite* ($M :: \text{nat set}$) **and** $M \neq \{\}$ **and** $0 \notin M$
shows $\text{Gcd } M = \text{Max } (\bigcap m \in M. \{d. d \text{ dvd } m\})$
 $\langle \text{proof} \rangle$

lemma *Gcd-remove0-nat*: *finite* $M \implies \text{Gcd } M = \text{Gcd } (M - \{0\})$
for $M :: \text{nat set}$
 $\langle \text{proof} \rangle$

lemma *Lcm-in-lcm-closed-set-nat*:
 $\text{finite } M \implies M \neq \{\} \implies \forall m n. m \in M \longrightarrow n \in M \longrightarrow \text{lcm } m n \in M \implies$
 $\text{Lcm } M \in M$
for $M :: \text{nat set}$
 $\langle \text{proof} \rangle$

lemma *Lcm-eq-Max-nat*:
 $\text{finite } M \implies M \neq \{\} \implies 0 \notin M \implies \forall m n. m \in M \longrightarrow n \in M \longrightarrow \text{lcm } m n$
 $\in M \implies \text{Lcm } M = \text{Max } M$
for $M :: \text{nat set}$
 $\langle \text{proof} \rangle$

lemma *mult-inj-if-coprime-nat*:
 $\text{inj-on } f A \implies \text{inj-on } g B \implies \forall a \in A. \forall b \in B. \text{coprime } (f a) (g b) \implies$
 $\text{inj-on } (\lambda(a, b). f a * g b) (A \times B)$

for $f :: 'a \Rightarrow \text{nat}$ **and** $g :: 'b \Rightarrow \text{nat}$
 $\langle \text{proof} \rangle$

86.8.1 Setwise GCD and LCM for integers

instantiation $\text{int} :: \text{semiring-Gcd}$
begin

definition $\text{Lcm } M = \text{int } (\text{LCM } m \in M. (\text{nat} \circ \text{abs}) m)$

definition $\text{Gcd } M = \text{int } (\text{GCD } m \in M. (\text{nat} \circ \text{abs}) m)$

instance
 $\langle \text{proof} \rangle$

end

lemma $\text{abs-Gcd } [\text{simp}]: |\text{Gcd } K| = \text{Gcd } K$
for $K :: \text{int set}$
 $\langle \text{proof} \rangle$

lemma $\text{abs-Lcm } [\text{simp}]: |\text{Lcm } K| = \text{Lcm } K$
for $K :: \text{int set}$
 $\langle \text{proof} \rangle$

lemma $\text{Gcm-eq-int-iff}: \text{Gcd } K = \text{int } n \longleftrightarrow \text{Gcd } ((\text{nat} \circ \text{abs}) ` K) = n$
 $\langle \text{proof} \rangle$

lemma $\text{Lcm-eq-int-iff}: \text{Lcm } K = \text{int } n \longleftrightarrow \text{Lcm } ((\text{nat} \circ \text{abs}) ` K) = n$
 $\langle \text{proof} \rangle$

86.9 GCD and LCM on *integer*

instantiation $\text{integer} :: \text{gcd}$
begin

context
includes integer.lifting
begin

lift-definition $\text{gcd-integer} :: \text{integer} \Rightarrow \text{integer} \Rightarrow \text{integer}$ **is** gcd $\langle \text{proof} \rangle$

lift-definition $\text{lcm-integer} :: \text{integer} \Rightarrow \text{integer} \Rightarrow \text{integer}$ **is** lcm $\langle \text{proof} \rangle$

end

instance $\langle \text{proof} \rangle$

end

lifting-update *integer.lifting*
lifting-forget *integer.lifting*

context
includes *integer.lifting*
begin

lemma *gcd-code-integer* [code]: $\text{gcd } k \ l = \text{if } l = (0 :: \text{integer}) \text{ then } k \text{ else } \text{gcd } l \ (|k| \bmod |l|)$
 <proof>

lemma *lcm-code-integer* [code]: $\text{lcm } a \ b = |a| * |b| \text{ div } \text{gcd } a \ b$
for $a \ b :: \text{integer}$
 <proof>

end

code-printing

constant *gcd* :: $\text{integer} \Rightarrow - \rightarrow$
 (*OCaml*) *Big'-int.gcd'-big'-int*
and (*Haskell*) *Prelude.gcd*
and (*Scala*) $-.\text{gcd}'((-)')$

— There is no gcd operation in the SML standard library, so no code setup for SML

Some code equations

lemmas *Gcd-nat-set-eq-fold* [code] = *Gcd-set-eq-fold* [where ?'a = nat]
lemmas *Lcm-nat-set-eq-fold* [code] = *Lcm-set-eq-fold* [where ?'a = nat]
lemmas *Gcd-int-set-eq-fold* [code] = *Gcd-set-eq-fold* [where ?'a = int]
lemmas *Lcm-int-set-eq-fold* [code] = *Lcm-set-eq-fold* [where ?'a = int]

Fact aliases.

lemma *lcm-0-iff-nat* [simp]: $\text{lcm } m \ n = 0 \longleftrightarrow m = 0 \vee n = 0$
for $m \ n :: \text{nat}$
 <proof>

lemma *lcm-0-iff-int* [simp]: $\text{lcm } m \ n = 0 \longleftrightarrow m = 0 \vee n = 0$
for $m \ n :: \text{int}$
 <proof>

lemma *dvd-lcm-I1-nat* [simp]: $k \text{ dvd } m \Longrightarrow k \text{ dvd } \text{lcm } m \ n$
for $k \ m \ n :: \text{nat}$
 <proof>

lemma *dvd-lcm-I2-nat* [simp]: $k \text{ dvd } n \Longrightarrow k \text{ dvd } \text{lcm } m \ n$
for $k \ m \ n :: \text{nat}$
 <proof>

lemma *dvd-lcm-I1-int* [simp]: $i \text{ dvd } m \Longrightarrow i \text{ dvd } \text{lcm } m \ n$

```

for  $i\ m\ n :: \text{int}$ 
   $\langle \text{proof} \rangle$ 

lemma dvd-lcm-I2-int [simp]:  $i\ \text{dvd}\ n \implies i\ \text{dvd}\ \text{lcm}\ m\ n$ 
  for  $i\ m\ n :: \text{int}$ 
   $\langle \text{proof} \rangle$ 

lemma coprime-exp2-nat [intro]:  $\text{coprime}\ a\ b \implies \text{coprime}\ (a^n)\ (b^m)$ 
  for  $a\ b :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma coprime-exp2-int [intro]:  $\text{coprime}\ a\ b \implies \text{coprime}\ (a^n)\ (b^m)$ 
  for  $a\ b :: \text{int}$ 
   $\langle \text{proof} \rangle$ 

lemmas Gcd-dvd-nat [simp] = Gcd-dvd [where  $?'a = \text{nat}$ ]
lemmas Gcd-dvd-int [simp] = Gcd-dvd [where  $?'a = \text{int}$ ]
lemmas Gcd-greatest-nat [simp] = Gcd-greatest [where  $?'a = \text{nat}$ ]
lemmas Gcd-greatest-int [simp] = Gcd-greatest [where  $?'a = \text{int}$ ]

lemma dvd-Lcm-int [simp]:  $m \in M \implies m\ \text{dvd}\ \text{Lcm}\ M$ 
  for  $M :: \text{int set}$ 
   $\langle \text{proof} \rangle$ 

lemma gcd-neg-numeral-1-int [simp]:  $\text{gcd}\ (-\ \text{numeral}\ n :: \text{int})\ x = \text{gcd}\ (\text{numeral}\ n)\ x$ 
   $\langle \text{proof} \rangle$ 

lemma gcd-neg-numeral-2-int [simp]:  $\text{gcd}\ x\ (-\ \text{numeral}\ n :: \text{int}) = \text{gcd}\ x\ (\text{numeral}\ n)$ 
   $\langle \text{proof} \rangle$ 

lemma gcd-proj1-if-dvd-nat [simp]:  $x\ \text{dvd}\ y \implies \text{gcd}\ x\ y = x$ 
  for  $x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma gcd-proj2-if-dvd-nat [simp]:  $y\ \text{dvd}\ x \implies \text{gcd}\ x\ y = y$ 
  for  $x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemmas Lcm-eq-0-I-nat [simp] = Lcm-eq-0-I [where  $?'a = \text{nat}$ ]
lemmas Lcm-0-iff-nat [simp] = Lcm-0-iff [where  $?'a = \text{nat}$ ]
lemmas Lcm-least-int [simp] = Lcm-least [where  $?'a = \text{int}$ ]

end

```

87 Nitpick: Yet Another Counterexample Generator for Isabelle/HOL

```

theory Nitpick
imports Record GCD
keywords
  nitpick :: diag and
  nitpick-params :: thy-decl
begin

datatype (plugins only: extraction) (dead 'a, dead 'b) fun-box = FunBox 'a  $\Rightarrow$  'b
datatype (plugins only: extraction) (dead 'a, dead 'b) pair-box = PairBox 'a 'b
datatype (plugins only: extraction) (dead 'a) word = Word 'a set

typedecl bisim-iterator
typedecl unsigned-bit
typedecl signed-bit

consts
  unknown :: 'a
  is-unknown :: 'a  $\Rightarrow$  bool
  bisim :: bisim-iterator  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  bisim-iterator-max :: bisim-iterator
  Quot :: 'a  $\Rightarrow$  'b
  safe-The :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a

Alternative definitions.

lemma Ex1-unfold[nitpick-unfold]: Ex1 P  $\equiv \exists x. \{x. P x\} = \{x\}$ 
  <proof>

lemma rtranc1-unfold[nitpick-unfold]:  $r^* \equiv (r^+)^=$ 
  <proof>

lemma rtrancp-unfold[nitpick-unfold]:  $rtrancp\ r\ a\ b \equiv (a = b \vee trancp\ r\ a\ b)$ 
  <proof>

lemma trancp-unfold[nitpick-unfold]:
   $trancp\ r\ a\ b \equiv (a, b) \in tranc1\ \{(x, y). r\ x\ y\}$ 
  <proof>

lemma [nitpick-simp]:
  of-nat n = (if n = 0 then 0 else 1 + of-nat (n - 1))
  <proof>

definition prod :: 'a set  $\Rightarrow$  'b set  $\Rightarrow$  ('a  $\times$  'b) set where
  prod A B = {(a, b). a  $\in$  A  $\wedge$  b  $\in$  B}

definition refl' :: ('a  $\times$  'a) set  $\Rightarrow$  bool where
  refl' r  $\equiv \forall x. (x, x) \in r$ 

```

definition $wf' :: ('a \times 'a) \text{ set} \Rightarrow \text{bool}$ **where**

$wf' r \equiv \text{acyclic } r \wedge (\text{finite } r \vee \text{unknown})$

definition $\text{card}' :: 'a \text{ set} \Rightarrow \text{nat}$ **where**

$\text{card}' A \equiv \text{if finite } A \text{ then length (SOME xs. set xs = A} \wedge \text{distinct xs)} \text{ else 0}$

definition $\text{sum}' :: ('a \Rightarrow 'b :: \text{comm-monoid-add}) \Rightarrow 'a \text{ set} \Rightarrow 'b$ **where**

$\text{sum}' f A \equiv \text{if finite } A \text{ then sum-list (map f (SOME xs. set xs = A} \wedge \text{distinct xs)) else 0}$

inductive $\text{fold-graph}' :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow \text{bool}$ **where**

$\text{fold-graph}' f z \{ \} z \mid$

$\llbracket x \in A; \text{fold-graph}' f z (A - \{x\}) y \rrbracket \Longrightarrow \text{fold-graph}' f z A (f x y)$

The following lemmas are not strictly necessary but they help the *specialize* optimization.

lemma $\text{The-psimp[nitpick-psimp]}: P = (\text{op } =) x \Longrightarrow \text{The } P = x$

$\langle \text{proof} \rangle$

lemma $\text{Eps-psimp[nitpick-psimp]}:$

$\llbracket P x; \neg P y; \text{Eps } P = y \rrbracket \Longrightarrow \text{Eps } P = x$

$\langle \text{proof} \rangle$

lemma $\text{case-unit-unfold[nitpick-unfold]}:$

$\text{case-unit } x u \equiv x$

$\langle \text{proof} \rangle$

declare $\text{unit.case[nitpick-simp del]}$

lemma $\text{case-nat-unfold[nitpick-unfold]}:$

$\text{case-nat } x f n \equiv \text{if } n = 0 \text{ then } x \text{ else } f (n - 1)$

$\langle \text{proof} \rangle$

declare $\text{nat.case[nitpick-simp del]}$

lemma $\text{size-list-simp[nitpick-simp]}:$

$\text{size-list } f xs = (\text{if } xs = [] \text{ then } 0 \text{ else Suc (f (hd xs) + size-list f (tl xs))})$

$\text{size } xs = (\text{if } xs = [] \text{ then } 0 \text{ else Suc (size (tl xs))})$

$\langle \text{proof} \rangle$

Auxiliary definitions used to provide an alternative representation for *rat* and *real*.

fun $\text{nat-gcd} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ **where**

$\text{nat-gcd } x y = (\text{if } y = 0 \text{ then } x \text{ else nat-gcd } y (x \bmod y))$

declare $\text{nat-gcd.simps [simp del]}$

definition $\text{nat-lcm} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ **where**

$\text{nat-lcm } x \ y = x * y \ \text{div} \ (\text{nat-gcd } x \ y)$

lemma *gcd-eq-nitpick-gcd* [*nitpick-unfold*]:
 $\text{gcd } x \ y = \text{Nitpick.nat-gcd } x \ y$
 $\langle \text{proof} \rangle$

lemma *lcm-eq-nitpick-lcm* [*nitpick-unfold*]:
 $\text{lcm } x \ y = \text{Nitpick.nat-lcm } x \ y$
 $\langle \text{proof} \rangle$

definition *Frac* :: $\text{int} \times \text{int} \Rightarrow \text{bool}$ **where**
 $\text{Frac} \equiv \lambda(a, b). \ b > 0 \wedge \text{gcd } a \ b = 1$

consts
 $\text{Abs-Frac} :: \text{int} \times \text{int} \Rightarrow 'a$
 $\text{Rep-Frac} :: 'a \Rightarrow \text{int} \times \text{int}$

definition *zero-frac* :: $'a$ **where**
 $\text{zero-frac} \equiv \text{Abs-Frac } (0, 1)$

definition *one-frac* :: $'a$ **where**
 $\text{one-frac} \equiv \text{Abs-Frac } (1, 1)$

definition *num* :: $'a \Rightarrow \text{int}$ **where**
 $\text{num} \equiv \text{fst} \circ \text{Rep-Frac}$

definition *denom* :: $'a \Rightarrow \text{int}$ **where**
 $\text{denom} \equiv \text{snd} \circ \text{Rep-Frac}$

function *norm-frac* :: $\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \times \text{int}$ **where**
 $\text{norm-frac } a \ b =$
 $\quad (\text{if } b < 0 \text{ then } \text{norm-frac } (-a) \ (-b)$
 $\quad \text{else if } a = 0 \vee b = 0 \text{ then } (0, 1)$
 $\quad \text{else let } c = \text{gcd } a \ b \text{ in } (a \ \text{div} \ c, \ b \ \text{div} \ c))$
 $\langle \text{proof} \rangle$
termination $\langle \text{proof} \rangle$

declare *norm-frac.simps*[*simp del*]

definition *frac* :: $\text{int} \Rightarrow \text{int} \Rightarrow 'a$ **where**
 $\text{frac } a \ b \equiv \text{Abs-Frac } (\text{norm-frac } a \ b)$

definition *plus-frac* :: $'a \Rightarrow 'a \Rightarrow 'a$ **where**
 $\text{[nitpick-simp]: plus-frac } q \ r = (\text{let } d = \text{lcm } (\text{denom } q) \ (\text{denom } r) \text{ in}$
 $\quad \text{frac } (\text{num } q * (d \ \text{div} \ \text{denom } q) + \text{num } r * (d \ \text{div} \ \text{denom } r)) \ d)$

definition *times-frac* :: $'a \Rightarrow 'a \Rightarrow 'a$ **where**
 $\text{[nitpick-simp]: times-frac } q \ r = \text{frac } (\text{num } q * \text{num } r) \ (\text{denom } q * \text{denom } r)$

definition *uminus-frac* :: 'a \Rightarrow 'a **where**
uminus-frac q \equiv Abs-Frac ($-$ num q, denom q)

definition *number-of-frac* :: int \Rightarrow 'a **where**
number-of-frac n \equiv Abs-Frac (n, 1)

definition *inverse-frac* :: 'a \Rightarrow 'a **where**
inverse-frac q \equiv frac (denom q) (num q)

definition *less-frac* :: 'a \Rightarrow 'a \Rightarrow bool **where**
[nitpick-simp]: *less-frac* q r \longleftrightarrow num (plus-frac q (uminus-frac r)) < 0

definition *less-eq-frac* :: 'a \Rightarrow 'a \Rightarrow bool **where**
[nitpick-simp]: *less-eq-frac* q r \longleftrightarrow num (plus-frac q (uminus-frac r)) \leq 0

definition *of-frac* :: 'a \Rightarrow 'b:: $\{inverse, ring-1\}$ **where**
of-frac q \equiv of-int (num q) / of-int (denom q)

axiomatization *wf-wfrec* :: ('a \times 'a) set \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b

definition *wf-wfrec'* :: ('a \times 'a) set \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b **where**
[nitpick-simp]: *wf-wfrec'* R F x = F (cut (wf-wfrec R F) R x) x

definition *wfrec'* :: ('a \times 'a) set \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b **where**
wfrec' R F x \equiv if wf R then *wf-wfrec'* R F x else THE y. wfrec-rel R (λ f x. F (cut f R x) x) x y

$\langle ML \rangle$

hide-const (open) *unknown is-unknown bisim bisim-iterator-max Quot safe-The FunBox PairBox Word prod*
refl' wf' card' sum' fold-graph' nat-gcd nat-lcm Frac Abs-Frac Rep-Frac
zero-frac one-frac num denom norm-frac frac plus-frac times-frac uminus-frac
number-of-frac
inverse-frac less-frac less-eq-frac of-frac wf-wfrec wf-wfrec' wfrec'

hide-type (open) *bisim-iterator fun-box pair-box unsigned-bit signed-bit word*

hide-fact (open) *Ex1-unfold rtrancl-unfold rtranclp-unfold tranclp-unfold prod-def*
refl'-def wf'-def
card'-def sum'-def The-psimp Eps-psimp case-unit-unfold case-nat-unfold
size-list-simp nat-lcm-def Frac-def zero-frac-def one-frac-def
num-def denom-def frac-def plus-frac-def times-frac-def uminus-frac-def
number-of-frac-def inverse-frac-def less-frac-def less-eq-frac-def of-frac-def wf-wfrec'-def
wfrec'-def

end

```

theory Nunchaku
imports Nitpick
keywords
  nunchaku :: diag and
  nunchaku-params :: thy-decl
begin

consts unreachable :: 'a

definition The-unsafe :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a where
  The-unsafe = The

definition rmember :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  bool where
  rmember A x  $\longleftrightarrow$  x  $\in$  A

 $\langle ML \rangle$ 

hide-const (open) unreachable The-unsafe rmember

end

```

88 Greatest Fixpoint (Codata-type) Operation on Bounded Natural Functors

```

theory BNF-Greatest-Fixpoint
imports BNF-Fixpoint-Base String
keywords
  codatatype :: thy-decl and
  primcorecursive :: thy-goal and
  primcorec :: thy-decl
begin

alias proj = Equiv-Relations.proj

lemma one-pointE:  $\llbracket \bigwedge x. s = x \Longrightarrow P \rrbracket \Longrightarrow P$ 
   $\langle proof \rangle$ 

lemma obj-sumE:  $\llbracket \forall x. s = Inl\ x \longrightarrow P; \forall x. s = Inr\ x \longrightarrow P \rrbracket \Longrightarrow P$ 
   $\langle proof \rangle$ 

lemma not-TrueE:  $\neg\ True \Longrightarrow P$ 
   $\langle proof \rangle$ 

lemma neq-eq-eq-contradict:  $\llbracket t \neq u; s = t; s = u \rrbracket \Longrightarrow P$ 
   $\langle proof \rangle$ 

lemma converse-Times:  $(A \times B)^{-1} = B \times A$ 
   $\langle proof \rangle$ 

```

lemma *equiv-proj*:

assumes *e*: *equiv* *A R* **and** *m*: $z \in R$

shows $(\text{proj } R \circ \text{fst}) z = (\text{proj } R \circ \text{snd}) z$

<proof>

definition *image2* **where** $\text{image2 } A f g = \{(f a, g a) \mid a. a \in A\}$

lemma *Id-on-Gr*: $\text{Id-on } A = \text{Gr } A \text{ id}$

<proof>

lemma *image2-eqI*: $\llbracket b = f x; c = g x; x \in A \rrbracket \implies (b, c) \in \text{image2 } A f g$

<proof>

lemma *IdD*: $(a, b) \in \text{Id} \implies a = b$

<proof>

lemma *image2-Gr*: $\text{image2 } A f g = (\text{Gr } A f)^{-1} \circ (\text{Gr } A g)$

<proof>

lemma *GrD1*: $(x, fx) \in \text{Gr } A f \implies x \in A$

<proof>

lemma *GrD2*: $(x, fx) \in \text{Gr } A f \implies f x = fx$

<proof>

lemma *Gr-incl*: $\text{Gr } A f \subseteq A \times B \longleftrightarrow f ' A \subseteq B$

<proof>

lemma *subset-Collect-iff*: $B \subseteq A \implies (B \subseteq \{x \in A. P x\}) = (\forall x \in B. P x)$

<proof>

lemma *subset-CollectI*: $B \subseteq A \implies (\bigwedge x. x \in B \implies Q x \implies P x) \implies (\{x \in B. Q x\} \subseteq \{x \in A. P x\})$

<proof>

lemma *in-rel-Collect-case-prod-eq*: $\text{in-rel } (\text{Collect } (\text{case-prod } X)) = X$

<proof>

lemma *Collect-case-prod-in-rel-leI*: $X \subseteq Y \implies X \subseteq \text{Collect } (\text{case-prod } (\text{in-rel } Y))$

<proof>

lemma *Collect-case-prod-in-rel-leE*: $X \subseteq \text{Collect } (\text{case-prod } (\text{in-rel } Y)) \implies (X \subseteq Y \implies R) \implies R$

<proof>

lemma *conversep-in-rel*: $(\text{in-rel } R)^{-1-1} = \text{in-rel } (R^{-1})$

$\langle \text{proof} \rangle$

lemma *relcompp-in-rel*: $\text{in-rel } R \text{ } OO \text{ in-rel } S = \text{in-rel } (R \text{ } O \text{ } S)$
 $\langle \text{proof} \rangle$

lemma *in-rel-Gr*: $\text{in-rel } (Gr \text{ } A \text{ } f) = Gr \text{ } A \text{ } f$
 $\langle \text{proof} \rangle$

definition *relImage* **where**
 $\text{relImage } R \text{ } f \equiv \{(f \text{ } a1, f \text{ } a2) \mid a1 \text{ } a2. (a1, a2) \in R\}$

definition *relInvImage* **where**
 $\text{relInvImage } A \text{ } R \text{ } f \equiv \{(a1, a2) \mid a1 \text{ } a2. a1 \in A \wedge a2 \in A \wedge (f \text{ } a1, f \text{ } a2) \in R\}$

lemma *relImage-Gr*:
 $\llbracket R \subseteq A \times A \rrbracket \implies \text{relImage } R \text{ } f = (Gr \text{ } A \text{ } f)^{-1} \text{ } O \text{ } R \text{ } O \text{ } Gr \text{ } A \text{ } f$
 $\langle \text{proof} \rangle$

lemma *relInvImage-Gr*: $\llbracket R \subseteq B \times B \rrbracket \implies \text{relInvImage } A \text{ } R \text{ } f = Gr \text{ } A \text{ } f \text{ } O \text{ } R \text{ } O (Gr \text{ } A \text{ } f)^{-1}$
 $\langle \text{proof} \rangle$

lemma *relImage-mono*:
 $R1 \subseteq R2 \implies \text{relImage } R1 \text{ } f \subseteq \text{relImage } R2 \text{ } f$
 $\langle \text{proof} \rangle$

lemma *relInvImage-mono*:
 $R1 \subseteq R2 \implies \text{relInvImage } A \text{ } R1 \text{ } f \subseteq \text{relInvImage } A \text{ } R2 \text{ } f$
 $\langle \text{proof} \rangle$

lemma *relInvImage-Id-on*:
 $(\bigwedge a1 \text{ } a2. f \text{ } a1 = f \text{ } a2 \longleftrightarrow a1 = a2) \implies \text{relInvImage } A \text{ } (Id\text{-on } B) \text{ } f \subseteq Id$
 $\langle \text{proof} \rangle$

lemma *relInvImage-UNIV-relImage*:
 $R \subseteq \text{relInvImage } UNIV \text{ } (relImage \text{ } R \text{ } f) \text{ } f$
 $\langle \text{proof} \rangle$

lemma *relImage-proj*:
assumes *equiv* $A \text{ } R$
shows $\text{relImage } R \text{ } (proj \text{ } R) \subseteq Id\text{-on } (A // R)$
 $\langle \text{proof} \rangle$

lemma *relImage-relInvImage*:
assumes $R \subseteq f \text{ } ' A \times f \text{ } ' A$
shows $\text{relImage } (\text{relInvImage } A \text{ } R \text{ } f) \text{ } f = R$
 $\langle \text{proof} \rangle$

lemma *subst-Pair*: $P \text{ } x \text{ } y \implies a = (x, y) \implies P \text{ } (fst \text{ } a) \text{ } (snd \text{ } a)$

$\langle \text{proof} \rangle$

lemma *fst-diag-id*: $(fst \circ (\lambda x. (x, x))) z = id\ z \langle \text{proof} \rangle$

lemma *snd-diag-id*: $(snd \circ (\lambda x. (x, x))) z = id\ z \langle \text{proof} \rangle$

lemma *fst-diag-fst*: $fst\ o\ ((\lambda x. (x, x))\ o\ fst) = fst \langle \text{proof} \rangle$

lemma *snd-diag-fst*: $snd\ o\ ((\lambda x. (x, x))\ o\ fst) = fst \langle \text{proof} \rangle$

lemma *fst-diag-snd*: $fst\ o\ ((\lambda x. (x, x))\ o\ snd) = snd \langle \text{proof} \rangle$

lemma *snd-diag-snd*: $snd\ o\ ((\lambda x. (x, x))\ o\ snd) = snd \langle \text{proof} \rangle$

definition *Succ* **where** $Succ\ Kl\ kl = \{k . kl\ @\ [k] \in Kl\}$

definition *Shift* **where** $Shift\ Kl\ k = \{kl. k\ \# \ kl \in Kl\}$

definition *shift* **where** $shift\ lab\ k = (\lambda kl. lab\ (k\ \# \ kl))$

lemma *empty-Shift*: $\llbracket [] \in Kl; k \in Succ\ Kl\ [] \rrbracket \implies [] \in Shift\ Kl\ k \langle \text{proof} \rangle$

lemma *SuccD*: $k \in Succ\ Kl\ kl \implies kl\ @\ [k] \in Kl \langle \text{proof} \rangle$

lemmas $SuccE = SuccD[\text{elim-format}]$

lemma *SuccI*: $kl\ @\ [k] \in Kl \implies k \in Succ\ Kl\ kl \langle \text{proof} \rangle$

lemma *ShiftD*: $kl \in Shift\ Kl\ k \implies k\ \# \ kl \in Kl \langle \text{proof} \rangle$

lemma *Succ-Shift*: $Succ\ (Shift\ Kl\ k)\ kl = Succ\ Kl\ (k\ \# \ kl) \langle \text{proof} \rangle$

lemma *length-Cons*: $length\ (x\ \# \ xs) = Suc\ (length\ xs) \langle \text{proof} \rangle$

lemma *length-append-singleton*: $length\ (xs\ @\ [x]) = Suc\ (length\ xs) \langle \text{proof} \rangle$

definition *toCard-pred* $A\ r\ f \equiv inj\text{-on}\ f\ A \wedge f\ 'A \subseteq Field\ r \wedge Card\text{-order}\ r$

definition *toCard* $A\ r \equiv SOME\ f. toCard\text{-pred}\ A\ r\ f$

lemma *ex-toCard-pred*:

$\llbracket |A| \leq o\ r; Card\text{-order}\ r \rrbracket \implies \exists f. toCard\text{-pred}\ A\ r\ f \langle \text{proof} \rangle$

lemma *toCard-pred-toCard*:

$\llbracket |A| \leq o\ r; Card\text{-order}\ r \rrbracket \implies toCard\text{-pred}\ A\ r\ (toCard\ A\ r) \langle \text{proof} \rangle$

lemma *toCard-inj*: $\llbracket |A| \leq o \ r; \text{Card-order } r; x \in A; y \in A \rrbracket \implies \text{toCard } A \ r \ x = \text{toCard } A \ r \ y \longleftrightarrow x = y$
 ⟨proof⟩

definition *fromCard* $A \ r \ k \equiv \text{SOME } b. b \in A \wedge \text{toCard } A \ r \ b = k$

lemma *fromCard-toCard*:
 $\llbracket |A| \leq o \ r; \text{Card-order } r; b \in A \rrbracket \implies \text{fromCard } A \ r \ (\text{toCard } A \ r \ b) = b$
 ⟨proof⟩

lemma *Inl-Field-csum*: $a \in \text{Field } r \implies \text{Inl } a \in \text{Field } (r + c \ s)$
 ⟨proof⟩

lemma *Inr-Field-csum*: $a \in \text{Field } s \implies \text{Inr } a \in \text{Field } (r + c \ s)$
 ⟨proof⟩

lemma *rec-nat-0-imp*: $f = \text{rec-nat } f1 \ (\lambda n \text{ rec. } f2 \ n \ \text{rec}) \implies f \ 0 = f1$
 ⟨proof⟩

lemma *rec-nat-Suc-imp*: $f = \text{rec-nat } f1 \ (\lambda n \text{ rec. } f2 \ n \ \text{rec}) \implies f \ (\text{Suc } n) = f2 \ n \ (f \ n)$
 ⟨proof⟩

lemma *rec-list-Nil-imp*: $f = \text{rec-list } f1 \ (\lambda x \ xs \ \text{rec. } f2 \ x \ xs \ \text{rec}) \implies f \ [] = f1$
 ⟨proof⟩

lemma *rec-list-Cons-imp*: $f = \text{rec-list } f1 \ (\lambda x \ xs \ \text{rec. } f2 \ x \ xs \ \text{rec}) \implies f \ (x \ # \ xs) = f2 \ x \ xs \ (f \ xs)$
 ⟨proof⟩

lemma *not-arg-cong-Inr*: $x \neq y \implies \text{Inr } x \neq \text{Inr } y$
 ⟨proof⟩

definition *image2p* **where**
 $\text{image2p } f \ g \ R = (\lambda x \ y. \exists x' \ y'. R \ x' \ y' \wedge f \ x' = x \wedge g \ y' = y)$

lemma *image2pI*: $R \ x \ y \implies \text{image2p } f \ g \ R \ (f \ x) \ (g \ y)$
 ⟨proof⟩

lemma *image2pE*: $\llbracket \text{image2p } f \ g \ R \ fx \ gy; (\bigwedge x \ y. fx = f \ x \implies gy = g \ y \implies R \ x \ y \implies P) \rrbracket \implies P$
 ⟨proof⟩

lemma *rel-fun-iff-geq-image2p*: $\text{rel-fun } R \ S \ f \ g = (\text{image2p } f \ g \ R \leq S)$
 ⟨proof⟩

lemma *rel-fun-image2p*: $\text{rel-fun } R \ (\text{image2p } f \ g \ R) \ f \ g$
 ⟨proof⟩

88.1 Equivalence relations, quotients, and Hilbert’s choice

lemma *equiv-Eps-in*:

$\llbracket \text{equiv } A \ r; X \in A//r \rrbracket \implies \text{Eps } (\lambda x. x \in X) \in X$
 $\langle \text{proof} \rangle$

lemma *equiv-Eps-preserves*:

assumes *ECH*: *equiv* *A* *r* **and** *X*: $X \in A//r$

shows $\text{Eps } (\lambda x. x \in X) \in A$

$\langle \text{proof} \rangle$

lemma *proj-Eps*:

assumes *equiv* *A* *r* **and** *X* $\in A//r$

shows $\text{proj } r \ (\text{Eps } (\lambda x. x \in X)) = X$

$\langle \text{proof} \rangle$

definition *univ* **where** $\text{univ } f \ X == f \ (\text{Eps } (\lambda x. x \in X))$

lemma *univ-commute*:

assumes *ECH*: *equiv* *A* *r* **and** *RES*: *f* respects *r* **and** *x*: $x \in A$

shows $(\text{univ } f) \ (\text{proj } r \ x) = f \ x$

$\langle \text{proof} \rangle$

lemma *univ-preserves*:

assumes *ECH*: *equiv* *A* *r* **and** *RES*: *f* respects *r* **and** *PRES*: $\forall x \in A. f \ x \in B$

shows $\forall X \in A//r. \text{univ } f \ X \in B$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

end

89 Filters on predicates

theory *Filter*

imports *Set-Interval* *Lifting-Set*

begin

89.1 Filters

This definition also allows non-proper filters.

locale *is-filter* =

fixes *F* :: $('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$

assumes *True*: $F \ (\lambda x. \text{True})$

assumes *conj*: $F \ (\lambda x. P \ x) \implies F \ (\lambda x. Q \ x) \implies F \ (\lambda x. P \ x \wedge Q \ x)$

assumes *mono*: $\forall x. P \ x \longrightarrow Q \ x \implies F \ (\lambda x. P \ x) \implies F \ (\lambda x. Q \ x)$

typedef $'a \ \text{filter} = \{F :: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}. \text{is-filter } F\}$

$\langle \text{proof} \rangle$

lemma *is-filter-Rep-filter*: *is-filter* (*Rep-filter* *F*)
 ⟨*proof*⟩

lemma *Abs-filter-inverse'*:
 assumes *is-filter* *F* shows *Rep-filter* (*Abs-filter* *F*) = *F*
 ⟨*proof*⟩

89.1.1 Eventually

definition *eventually* :: ('a \Rightarrow bool) \Rightarrow 'a filter \Rightarrow bool
 where *eventually* *P* *F* \longleftrightarrow *Rep-filter* *F* *P*

syntax

-eventually :: *pttrn* \Rightarrow 'a filter \Rightarrow bool \Rightarrow bool (($\exists \forall_F$ - in -/ -) [0, 0, 10]
 10)

translations

$\forall_F x$ in *F*. *P* == *CONST eventually* ($\lambda x.$ *P*) *F*

lemma *eventually-Abs-filter*:
 assumes *is-filter* *F* shows *eventually* *P* (*Abs-filter* *F*) = *F* *P*
 ⟨*proof*⟩

lemma *filter-eq-iff*:
 shows *F* = *F'* \longleftrightarrow ($\forall P.$ *eventually* *P* *F* = *eventually* *P* *F'*)
 ⟨*proof*⟩

lemma *eventually-True* [*simp*]: *eventually* ($\lambda x.$ *True*) *F*
 ⟨*proof*⟩

lemma *always-eventually*: $\forall x.$ *P* *x* \Longrightarrow *eventually* *P* *F*
 ⟨*proof*⟩

lemma *eventuallyI*: ($\bigwedge x.$ *P* *x*) \Longrightarrow *eventually* *P* *F*
 ⟨*proof*⟩

lemma *eventually-mono*:
 $\llbracket \text{eventually } P \text{ } F; \bigwedge x. P \text{ } x \Longrightarrow Q \text{ } x \rrbracket \Longrightarrow \text{eventually } Q \text{ } F$
 ⟨*proof*⟩

lemma *eventually-conj*:
 assumes *P*: *eventually* ($\lambda x.$ *P* *x*) *F*
 assumes *Q*: *eventually* ($\lambda x.$ *Q* *x*) *F*
 shows *eventually* ($\lambda x.$ *P* *x* \wedge *Q* *x*) *F*
 ⟨*proof*⟩

lemma *eventually-mp*:
 assumes *eventually* ($\lambda x.$ *P* *x* \longrightarrow *Q* *x*) *F*
 assumes *eventually* ($\lambda x.$ *P* *x*) *F*

shows eventually $(\lambda x. Q\ x)\ F$
 $\langle proof \rangle$

lemma eventually-rev-mp:
assumes eventually $(\lambda x. P\ x)\ F$
assumes eventually $(\lambda x. P\ x \longrightarrow Q\ x)\ F$
shows eventually $(\lambda x. Q\ x)\ F$
 $\langle proof \rangle$

lemma eventually-conj-iff:
eventually $(\lambda x. P\ x \wedge Q\ x)\ F \longleftrightarrow \text{eventually } P\ F \wedge \text{eventually } Q\ F$
 $\langle proof \rangle$

lemma eventually-elim2:
assumes eventually $(\lambda i. P\ i)\ F$
assumes eventually $(\lambda i. Q\ i)\ F$
assumes $\bigwedge i. P\ i \Longrightarrow Q\ i \Longrightarrow R\ i$
shows eventually $(\lambda i. R\ i)\ F$
 $\langle proof \rangle$

lemma eventually-ball-finite-distrib:
finite $A \Longrightarrow (\text{eventually } (\lambda x. \forall y \in A. P\ x\ y)\ \text{net}) \longleftrightarrow (\forall y \in A. \text{eventually } (\lambda x. P\ x\ y)\ \text{net})$
 $\langle proof \rangle$

lemma eventually-ball-finite:
finite $A \Longrightarrow \forall y \in A. \text{eventually } (\lambda x. P\ x\ y)\ \text{net} \Longrightarrow \text{eventually } (\lambda x. \forall y \in A. P\ x\ y)\ \text{net}$
 $\langle proof \rangle$

lemma eventually-all-finite:
fixes $P :: 'a \Rightarrow 'b::\text{finite} \Rightarrow \text{bool}$
assumes $\bigwedge y. \text{eventually } (\lambda x. P\ x\ y)\ \text{net}$
shows eventually $(\lambda x. \forall y. P\ x\ y)\ \text{net}$
 $\langle proof \rangle$

lemma eventually-ex: $(\forall Fx\ \text{in } F. \exists y. P\ x\ y) \longleftrightarrow (\exists Y. \forall Fx\ \text{in } F. P\ x\ (Y\ x))$
 $\langle proof \rangle$

lemma not-eventually-impI: $\text{eventually } P\ F \Longrightarrow \neg \text{eventually } Q\ F \Longrightarrow \neg \text{eventually } (\lambda x. P\ x \longrightarrow Q\ x)\ F$
 $\langle proof \rangle$

lemma not-eventuallyD: $\neg \text{eventually } P\ F \Longrightarrow \exists x. \neg P\ x$
 $\langle proof \rangle$

lemma eventually-subst:
assumes eventually $(\lambda n. P\ n = Q\ n)\ F$
shows eventually $P\ F = \text{eventually } Q\ F$ (**is** $?L = ?R$)

$\langle proof \rangle$

89.2 Frequently as dual to eventually

definition *frequently* :: ('a \Rightarrow bool) \Rightarrow 'a filter \Rightarrow bool
where frequently $P\ F \longleftrightarrow \neg$ eventually $(\lambda x. \neg P\ x)\ F$

syntax

-frequently :: ptnrn \Rightarrow 'a filter \Rightarrow bool \Rightarrow bool (($\exists \exists_F$ - in - / -) [0, 0, 10] 10)

translations

$\exists_F x$ in F . $P ==$ CONST frequently $(\lambda x. P)\ F$

lemma not-frequently-False [simp]: $\neg (\exists_F x$ in F . False)
 $\langle proof \rangle$

lemma frequently-ex: $\exists_F x$ in F . $P\ x \Longrightarrow \exists x. P\ x$
 $\langle proof \rangle$

lemma frequentlyE: **assumes** frequently $P\ F$ **obtains** x **where** $P\ x$
 $\langle proof \rangle$

lemma frequently-mp:

assumes ev: $\forall_F x$ in F . $P\ x \longrightarrow Q\ x$ **and** P : $\exists_F x$ in F . $P\ x$ **shows** $\exists_F x$ in F . $Q\ x$
 $\langle proof \rangle$

lemma frequently-rev-mp:

assumes $\exists_F x$ in F . $P\ x$
assumes $\forall_F x$ in F . $P\ x \longrightarrow Q\ x$
shows $\exists_F x$ in F . $Q\ x$
 $\langle proof \rangle$

lemma frequently-mono: $(\forall x. P\ x \longrightarrow Q\ x) \Longrightarrow$ frequently $P\ F \Longrightarrow$ frequently $Q\ F$
 $\langle proof \rangle$

lemma frequently-elim1: $\exists_F x$ in F . $P\ x \Longrightarrow (\bigwedge i. P\ i \Longrightarrow Q\ i) \Longrightarrow \exists_F x$ in F . $Q\ x$
 $\langle proof \rangle$

lemma frequently-disj-iff: $(\exists_F x$ in F . $P\ x \vee Q\ x) \longleftrightarrow (\exists_F x$ in F . $P\ x) \vee (\exists_F x$ in F . $Q\ x)$
 $\langle proof \rangle$

lemma frequently-disj: $\exists_F x$ in F . $P\ x \Longrightarrow \exists_F x$ in F . $Q\ x \Longrightarrow \exists_F x$ in F . $P\ x \vee Q\ x$
 $\langle proof \rangle$

lemma frequently-bex-finite-distrib:

assumes *finite A shows* $(\exists_{Fx \text{ in } F}. \exists y \in A. P \ x \ y) \longleftrightarrow (\exists y \in A. \exists_{Fx \text{ in } F}. P \ x \ y)$
 ⟨proof⟩

lemma *frequently-bex-finite*: $\text{finite } A \implies \exists_{Fx \text{ in } F}. \exists y \in A. P \ x \ y \implies \exists y \in A. \exists_{Fx \text{ in } F}. P \ x \ y$
 ⟨proof⟩

lemma *frequently-all*: $(\exists_{Fx \text{ in } F}. \forall y. P \ x \ y) \longleftrightarrow (\forall Y. \exists_{Fx \text{ in } F}. P \ x \ (Y \ x))$
 ⟨proof⟩

lemma
shows *not-eventually*: $\neg \text{eventually } P \ F \longleftrightarrow (\exists_{Fx \text{ in } F}. \neg P \ x)$
and *not-frequently*: $\neg \text{frequently } P \ F \longleftrightarrow (\forall_{Fx \text{ in } F}. \neg P \ x)$
 ⟨proof⟩

lemma *frequently-imp-iff*:
 $(\exists_{Fx \text{ in } F}. P \ x \longrightarrow Q \ x) \longleftrightarrow (\text{eventually } P \ F \longrightarrow \text{frequently } Q \ F)$
 ⟨proof⟩

lemma *eventually-frequently-const-simps*:
 $(\exists_{Fx \text{ in } F}. P \ x \wedge C) \longleftrightarrow (\exists_{Fx \text{ in } F}. P \ x) \wedge C$
 $(\exists_{Fx \text{ in } F}. C \wedge P \ x) \longleftrightarrow C \wedge (\exists_{Fx \text{ in } F}. P \ x)$
 $(\forall_{Fx \text{ in } F}. P \ x \vee C) \longleftrightarrow (\forall_{Fx \text{ in } F}. P \ x) \vee C$
 $(\forall_{Fx \text{ in } F}. C \vee P \ x) \longleftrightarrow C \vee (\forall_{Fx \text{ in } F}. P \ x)$
 $(\forall_{Fx \text{ in } F}. P \ x \longrightarrow C) \longleftrightarrow ((\exists_{Fx \text{ in } F}. P \ x) \longrightarrow C)$
 $(\forall_{Fx \text{ in } F}. C \longrightarrow P \ x) \longleftrightarrow (C \longrightarrow (\forall_{Fx \text{ in } F}. P \ x))$
 ⟨proof⟩

lemmas *eventually-frequently-simps* =
eventually-frequently-const-simps
not-eventually
eventually-conj-iff
eventually-ball-finite-distrib
eventually-ex
not-frequently
frequently-disj-iff
frequently-bex-finite-distrib
frequently-all
frequently-imp-iff

⟨ML⟩

89.2.1 Finer-than relation

$F \leq F'$ means that filter F is finer than filter F' .

instantiation *filter* :: (type) complete-lattice
begin

definition *le-filter-def*:

$$F \leq F' \longleftrightarrow (\forall P. \text{eventually } P \ F' \longrightarrow \text{eventually } P \ F)$$

definition

$$(F :: 'a \text{ filter}) < F' \longleftrightarrow F \leq F' \wedge \neg F' \leq F$$

definition

$$\text{top} = \text{Abs-filter } (\lambda P. \forall x. P \ x)$$

definition

$$\text{bot} = \text{Abs-filter } (\lambda P. \text{True})$$

definition

$$\text{sup } F \ F' = \text{Abs-filter } (\lambda P. \text{eventually } P \ F \wedge \text{eventually } P \ F')$$

definition

$$\begin{aligned} \text{inf } F \ F' &= \text{Abs-filter} \\ &(\lambda P. \exists Q \ R. \text{eventually } Q \ F \wedge \text{eventually } R \ F' \wedge (\forall x. Q \ x \wedge R \ x \longrightarrow P \ x)) \end{aligned}$$

definition

$$\text{Sup } S = \text{Abs-filter } (\lambda P. \forall F \in S. \text{eventually } P \ F)$$

definition

$$\text{Inf } S = \text{Sup } \{F :: 'a \text{ filter}. \forall F' \in S. F \leq F'\}$$

lemma *eventually-top [simp]*: $\text{eventually } P \ \text{top} \longleftrightarrow (\forall x. P \ x)$

<proof>

lemma *eventually-bot [simp]*: $\text{eventually } P \ \text{bot}$

<proof>

lemma *eventually-sup*:

$$\text{eventually } P \ (\text{sup } F \ F') \longleftrightarrow \text{eventually } P \ F \wedge \text{eventually } P \ F'$$

<proof>

lemma *eventually-inf*:

$$\text{eventually } P \ (\text{inf } F \ F') \longleftrightarrow$$

$$(\exists Q \ R. \text{eventually } Q \ F \wedge \text{eventually } R \ F' \wedge (\forall x. Q \ x \wedge R \ x \longrightarrow P \ x))$$

<proof>

lemma *eventually-Sup*:

$$\text{eventually } P \ (\text{Sup } S) \longleftrightarrow (\forall F \in S. \text{eventually } P \ F)$$

<proof>

instance *<proof>*

end

instance *filter :: (type) distrib-lattice*

$\langle proof \rangle$

lemma *filter-leD*:

$F \leq F' \implies \text{eventually } P \ F' \implies \text{eventually } P \ F$

$\langle proof \rangle$

lemma *filter-leI*:

$(\bigwedge P. \text{eventually } P \ F' \implies \text{eventually } P \ F) \implies F \leq F'$

$\langle proof \rangle$

lemma *eventually-False*:

$\text{eventually } (\lambda x. \text{False}) \ F \longleftrightarrow F = \text{bot}$

$\langle proof \rangle$

lemma *eventually-frequently*: $F \neq \text{bot} \implies \text{eventually } P \ F \implies \text{frequently } P \ F$

$\langle proof \rangle$

lemma *eventually-const-iff*: $\text{eventually } (\lambda x. P) \ F \longleftrightarrow P \vee F = \text{bot}$

$\langle proof \rangle$

lemma *eventually-const[simp]*: $F \neq \text{bot} \implies \text{eventually } (\lambda x. P) \ F \longleftrightarrow P$

$\langle proof \rangle$

lemma *frequently-const-iff*: $\text{frequently } (\lambda x. P) \ F \longleftrightarrow P \wedge F \neq \text{bot}$

$\langle proof \rangle$

lemma *frequently-const[simp]*: $F \neq \text{bot} \implies \text{frequently } (\lambda x. P) \ F \longleftrightarrow P$

$\langle proof \rangle$

lemma *eventually-happens*: $\text{eventually } P \ \text{net} \implies \text{net} = \text{bot} \vee (\exists x. P \ x)$

$\langle proof \rangle$

lemma *eventually-happens'*:

assumes $F \neq \text{bot} \ \text{eventually } P \ F$

shows $\exists x. P \ x$

$\langle proof \rangle$

abbreviation (*input*) *trivial-limit* :: 'a filter \Rightarrow bool

where *trivial-limit* $F \equiv F = \text{bot}$

lemma *trivial-limit-def*: $\text{trivial-limit } F \longleftrightarrow \text{eventually } (\lambda x. \text{False}) \ F$

$\langle proof \rangle$

lemma *False-imp-not-eventually*: $(\forall x. \neg P \ x) \implies \neg \text{trivial-limit } \text{net} \implies \neg \text{eventually } (\lambda x. P \ x) \ \text{net}$

$\langle proof \rangle$

lemma *eventually-Inf*: $\text{eventually } P \ (\text{Inf } B) \longleftrightarrow (\exists X \subseteq B. \text{finite } X \wedge \text{eventually } P \ X)$

$P \text{ (Inf } X)$
 $\langle \text{proof} \rangle$

lemma *eventually-INF*: $\text{eventually } P \text{ (INF } b:B. F b) \longleftrightarrow (\exists X \subseteq B. \text{finite } X \wedge \text{eventually } P \text{ (INF } b:X. F b))$
 $\langle \text{proof} \rangle$

lemma *Inf-filter-not-bot*:
fixes $B :: 'a \text{ filter set}$
shows $(\bigwedge X. X \subseteq B \implies \text{finite } X \implies \text{Inf } X \neq \text{bot}) \implies \text{Inf } B \neq \text{bot}$
 $\langle \text{proof} \rangle$

lemma *INF-filter-not-bot*:
fixes $F :: 'i \Rightarrow 'a \text{ filter}$
shows $(\bigwedge X. X \subseteq B \implies \text{finite } X \implies (\text{INF } b:X. F b) \neq \text{bot}) \implies (\text{INF } b:B. F b) \neq \text{bot}$
 $\langle \text{proof} \rangle$

lemma *eventually-Inf-base*:
assumes $B \neq \{\}$ **and** $\text{base: } \bigwedge F G. F \in B \implies G \in B \implies \exists x \in B. x \leq \text{inf } F G$
shows $\text{eventually } P \text{ (Inf } B) \longleftrightarrow (\exists b \in B. \text{eventually } P b)$
 $\langle \text{proof} \rangle$

lemma *eventually-INF-base*:
 $B \neq \{\} \implies (\bigwedge a b. a \in B \implies b \in B \implies \exists x \in B. F x \leq \text{inf } (F a) (F b)) \implies \text{eventually } P \text{ (INF } b:B. F b) \longleftrightarrow (\exists b \in B. \text{eventually } P (F b))$
 $\langle \text{proof} \rangle$

lemma *eventually-INF1*: $i \in I \implies \text{eventually } P (F i) \implies \text{eventually } P \text{ (INF } i:I. F i)$
 $\langle \text{proof} \rangle$

lemma *eventually-INF-mono*:
assumes $*$: $\forall_F x \text{ in } \bigcap i \in I. F i. P x$
assumes $T1$: $\bigwedge Q R P. (\bigwedge x. Q x \wedge R x \longrightarrow P x) \implies (\bigwedge x. T Q x \implies T R x \implies T P x)$
assumes $T2$: $\bigwedge P. (\bigwedge x. P x) \implies (\bigwedge x. T P x)$
assumes $**$: $\bigwedge i P. i \in I \implies \forall_F x \text{ in } F i. P x \implies \forall_F x \text{ in } F' i. T P x$
shows $\forall_F x \text{ in } \bigcap i \in I. F' i. T P x$
 $\langle \text{proof} \rangle$

89.2.2 Map function for filters

definition *filtermap* :: $('a \Rightarrow 'b) \Rightarrow 'a \text{ filter} \Rightarrow 'b \text{ filter}$
where $\text{filtermap } f F = \text{Abs-filter } (\lambda P. \text{eventually } (\lambda x. P (f x)) F)$

lemma *eventually-filtermap*:
 $\text{eventually } P \text{ (filtermap } f F) = \text{eventually } (\lambda x. P (f x)) F$
 $\langle \text{proof} \rangle$

lemma *filtermap-ident*: $\text{filtermap } (\lambda x. x) F = F$
 $\langle \text{proof} \rangle$

lemma *filtermap-filtermap*:
 $\text{filtermap } f (\text{filtermap } g F) = \text{filtermap } (\lambda x. f (g x)) F$
 $\langle \text{proof} \rangle$

lemma *filtermap-mono*: $F \leq F' \implies \text{filtermap } f F \leq \text{filtermap } f F'$
 $\langle \text{proof} \rangle$

lemma *filtermap-bot [simp]*: $\text{filtermap } f \text{ bot} = \text{bot}$
 $\langle \text{proof} \rangle$

lemma *filtermap-sup*: $\text{filtermap } f (\text{sup } F1 F2) = \text{sup } (\text{filtermap } f F1) (\text{filtermap } f F2)$
 $\langle \text{proof} \rangle$

lemma *filtermap-inf*: $\text{filtermap } f (\text{inf } F1 F2) \leq \text{inf } (\text{filtermap } f F1) (\text{filtermap } f F2)$
 $\langle \text{proof} \rangle$

lemma *filtermap-INF*: $\text{filtermap } f (\text{INF } b:B. F b) \leq (\text{INF } b:B. \text{filtermap } f (F b))$
 $\langle \text{proof} \rangle$

89.2.3 Contravariant map function for filters

definition *filtercomap* :: $('a \Rightarrow 'b) \Rightarrow 'b \text{ filter} \Rightarrow 'a \text{ filter}$ **where**
 $\text{filtercomap } f F = \text{Abs-filter } (\lambda P. \exists Q. \text{eventually } Q F \wedge (\forall x. Q (f x) \longrightarrow P x))$

lemma *eventually-filtercomap*:
 $\text{eventually } P (\text{filtercomap } f F) \longleftrightarrow (\exists Q. \text{eventually } Q F \wedge (\forall x. Q (f x) \longrightarrow P x))$
 $\langle \text{proof} \rangle$

lemma *filtercomap-ident*: $\text{filtercomap } (\lambda x. x) F = F$
 $\langle \text{proof} \rangle$

lemma *filtercomap-filtercomap*: $\text{filtercomap } f (\text{filtercomap } g F) = \text{filtercomap } (\lambda x. g (f x)) F$
 $\langle \text{proof} \rangle$

lemma *filtercomap-mono*: $F \leq F' \implies \text{filtercomap } f F \leq \text{filtercomap } f F'$
 $\langle \text{proof} \rangle$

lemma *filtercomap-bot [simp]*: $\text{filtercomap } f \text{ bot} = \text{bot}$
 $\langle \text{proof} \rangle$

lemma *filtercomap-top [simp]*: $\text{filtercomap } f \text{ top} = \text{top}$

<proof>

lemma *filtercomap-inf*: $\text{filtercomap } f \ (\inf F1 \ F2) = \inf \ (\text{filtercomap } f \ F1) \ (\text{filtercomap } f \ F2)$
<proof>

lemma *filtercomap-sup*: $\text{filtercomap } f \ (\sup F1 \ F2) \geq \sup \ (\text{filtercomap } f \ F1) \ (\text{filtercomap } f \ F2)$
<proof>

lemma *filtercomap-INF*: $\text{filtercomap } f \ (\text{INF } b:B. \ F \ b) = (\text{INF } b:B. \ \text{filtercomap } f \ (F \ b))$
<proof>

lemma *filtercomap-SUP-finite*:
 $\text{finite } B \implies \text{filtercomap } f \ (\text{SUP } b:B. \ F \ b) \geq (\text{SUP } b:B. \ \text{filtercomap } f \ (F \ b))$
<proof>

lemma *eventually-filtercomapI* [intro]:
assumes *eventually* $P \ F$
shows *eventually* $(\lambda x. \ P \ (f \ x)) \ (\text{filtercomap } f \ F)$
<proof>

lemma *filtermap-filtercomap*: $\text{filtermap } f \ (\text{filtercomap } f \ F) \leq F$
<proof>

lemma *filtercomap-filtermap*: $\text{filtercomap } f \ (\text{filtermap } f \ F) \geq F$
<proof>

89.2.4 Standard filters

definition *principal* :: 'a set \Rightarrow 'a filter **where**
 $\text{principal } S = \text{Abs-filter } (\lambda P. \ \forall x \in S. \ P \ x)$

lemma *eventually-principal*: $\text{eventually } P \ (\text{principal } S) \longleftrightarrow (\forall x \in S. \ P \ x)$
<proof>

lemma *eventually-inf-principal*: $\text{eventually } P \ (\inf F \ (\text{principal } s)) \longleftrightarrow \text{eventually } (\lambda x. \ x \in s \longrightarrow P \ x) \ F$
<proof>

lemma *principal-UNIV[simp]*: $\text{principal } \text{UNIV} = \text{top}$
<proof>

lemma *principal-empty[simp]*: $\text{principal } \{\} = \text{bot}$
<proof>

lemma *principal-eq-bot-iff*: $\text{principal } X = \text{bot} \longleftrightarrow X = \{\}$
<proof>

lemma *principal-le-iff*[iff]: *principal* $A \leq$ *principal* $B \longleftrightarrow A \subseteq B$
 ⟨proof⟩

lemma *le-principal*: $F \leq$ *principal* $A \longleftrightarrow$ *eventually* $(\lambda x. x \in A) F$
 ⟨proof⟩

lemma *principal-inject*[iff]: *principal* $A =$ *principal* $B \longleftrightarrow A = B$
 ⟨proof⟩

lemma *sup-principal*[simp]: *sup* (*principal* A) (*principal* B) = *principal* $(A \cup B)$
 ⟨proof⟩

lemma *inf-principal*[simp]: *inf* (*principal* A) (*principal* B) = *principal* $(A \cap B)$
 ⟨proof⟩

lemma *SUP-principal*[simp]: $(\text{SUP } i : I. \text{principal } (A \ i)) = \text{principal } (\bigcup i \in I. A \ i)$
 ⟨proof⟩

lemma *INF-principal-finite*: *finite* $X \implies (\text{INF } x:X. \text{principal } (f \ x)) = \text{principal } (\bigcap x \in X. f \ x)$
 ⟨proof⟩

lemma *filtermap-principal*[simp]: *filtermap* f (*principal* A) = *principal* $(f \ ` \ A)$
 ⟨proof⟩

lemma *filtercomap-principal*[simp]: *filtercomap* f (*principal* A) = *principal* $(f \ - \ ` \ A)$
 ⟨proof⟩

89.2.5 Order filters

definition *at-top* :: $('a::\text{order})$ *filter*
 where *at-top* = $(\text{INF } k. \text{principal } \{k \ ..\})$

lemma *at-top-sub*: *at-top* = $(\text{INF } k:\{c::'a::\text{linorder}..\}. \text{principal } \{k \ ..\})$
 ⟨proof⟩

lemma *eventually-at-top-linorder*: *eventually* P *at-top* $\longleftrightarrow (\exists N::'a::\text{linorder}. \forall n \geq N. P \ n)$
 ⟨proof⟩

lemma *eventually-filtercomap-at-top-linorder*:
eventually P (*filtercomap* f *at-top*) $\longleftrightarrow (\exists N::'a::\text{linorder}. \forall x. f \ x \geq N \longrightarrow P \ x)$
 ⟨proof⟩

lemma *eventually-at-top-linorderI*:
 fixes $c::'a::\text{linorder}$

assumes $\bigwedge x. c \leq x \implies P\ x$
shows *eventually* P *at-top*
 $\langle \text{proof} \rangle$

lemma *eventually-ge-at-top* [simp]:
eventually $(\lambda x. (c :: \text{linorder}) \leq x)$ *at-top*
 $\langle \text{proof} \rangle$

lemma *eventually-at-top-dense*: *eventually* P *at-top* $\longleftrightarrow (\exists N :: 'a :: \{\text{no-top}, \text{linorder}\}. \forall n > N. P\ n)$
 $\langle \text{proof} \rangle$

lemma *eventually-filtercomap-at-top-dense*:
eventually P (*filtercomap* f *at-top*) $\longleftrightarrow (\exists N :: 'a :: \{\text{no-top}, \text{linorder}\}. \forall x. f\ x > N \longrightarrow P\ x)$
 $\langle \text{proof} \rangle$

lemma *eventually-at-top-not-equal* [simp]: *eventually* $(\lambda x :: 'a :: \{\text{no-top}, \text{linorder}\}. x \neq c)$ *at-top*
 $\langle \text{proof} \rangle$

lemma *eventually-gt-at-top* [simp]: *eventually* $(\lambda x. (c :: \{\text{no-top}, \text{linorder}\}) < x)$ *at-top*
 $\langle \text{proof} \rangle$

lemma *eventually-all-ge-at-top*:
assumes *eventually* P (*at-top* :: $'a :: \text{linorder}$) *filter*
shows *eventually* $(\lambda x. \forall y \geq x. P\ y)$ *at-top*
 $\langle \text{proof} \rangle$

definition *at-bot* :: $'a :: \text{order}$) *filter*
where *at-bot* = $(\text{INF } k. \text{principal } \{.. k\})$

lemma *at-bot-sub*: *at-bot* = $(\text{INF } k :: \{.. c :: 'a :: \text{linorder}\}. \text{principal } \{.. k\})$
 $\langle \text{proof} \rangle$

lemma *eventually-at-bot-linorder*:
fixes $P :: 'a :: \text{linorder} \Rightarrow \text{bool}$ **shows** *eventually* P *at-bot* $\longleftrightarrow (\exists N. \forall n \leq N. P\ n)$
 $\langle \text{proof} \rangle$

lemma *eventually-filtercomap-at-bot-linorder*:
eventually P (*filtercomap* f *at-bot*) $\longleftrightarrow (\exists N :: 'a :: \text{linorder}. \forall x. f\ x \leq N \longrightarrow P\ x)$
 $\langle \text{proof} \rangle$

lemma *eventually-le-at-bot* [simp]:
eventually $(\lambda x. x \leq (c :: \text{linorder}))$ *at-bot*
 $\langle \text{proof} \rangle$

lemma *eventually-at-bot-dense*: *eventually* P *at-bot* $\longleftrightarrow (\exists N :: 'a :: \{\text{no-bot}, \text{linorder}\}.$

$\forall n < N. P\ n)$
 $\langle \text{proof} \rangle$

lemma *eventually-filtercomap-at-bot-dense*:
 $\text{eventually } P\ (\text{filtercomap } f\ \text{at-bot}) \longleftrightarrow (\exists N :: 'a :: \{\text{no-bot}, \text{linorder}\}. \forall x. f\ x < N \longrightarrow P\ x)$
 $\langle \text{proof} \rangle$

lemma *eventually-at-bot-not-equal* [simp]: *eventually* $(\lambda x :: 'a :: \{\text{no-bot}, \text{linorder}\}. x \neq c)$ *at-bot*
 $\langle \text{proof} \rangle$

lemma *eventually-gt-at-bot* [simp]:
 $\text{eventually } (\lambda x. x < (c :: \text{unbounded-dense-linorder}))\ \text{at-bot}$
 $\langle \text{proof} \rangle$

lemma *trivial-limit-at-bot-linorder* [simp]: $\neg \text{trivial-limit } (\text{at-bot} :: ('a :: \text{linorder})\ \text{filter})$
 $\langle \text{proof} \rangle$

lemma *trivial-limit-at-top-linorder* [simp]: $\neg \text{trivial-limit } (\text{at-top} :: ('a :: \text{linorder})\ \text{filter})$
 $\langle \text{proof} \rangle$

89.3 Sequentially

abbreviation *sequentially* :: *nat filter*
where *sequentially* $\equiv \text{at-top}$

lemma *eventually-sequentially*:
 $\text{eventually } P\ \text{sequentially} \longleftrightarrow (\exists N. \forall n \geq N. P\ n)$
 $\langle \text{proof} \rangle$

lemma *sequentially-bot* [simp, intro]: *sequentially* $\neq \text{bot}$
 $\langle \text{proof} \rangle$

lemmas *trivial-limit-sequentially* = *sequentially-bot*

lemma *eventually-False-sequentially* [simp]:
 $\neg \text{eventually } (\lambda n. \text{False})\ \text{sequentially}$
 $\langle \text{proof} \rangle$

lemma *le-sequentially*:
 $F \leq \text{sequentially} \longleftrightarrow (\forall N. \text{eventually } (\lambda n. N \leq n)\ F)$
 $\langle \text{proof} \rangle$

lemma *eventually-sequentiallyI* [intro?]:
assumes $\bigwedge x. c \leq x \implies P\ x$
shows *eventually* *P sequentially*

<proof>

lemma *eventually-sequentially-Suc* [simp]: *eventually* ($\lambda i. P (Suc\ i)$) *sequentially*
 \longleftrightarrow *eventually* *P* *sequentially*
<proof>

lemma *eventually-sequentially-seg* [simp]: *eventually* ($\lambda n. P (n + k)$) *sequentially*
 \longleftrightarrow *eventually* *P* *sequentially*
<proof>

89.4 The cofinite filter

definition *cofinite* = *Abs-filter* ($\lambda P. finite\ \{x. \neg P\ x\}$)

abbreviation *Inf-many* :: ($'a \Rightarrow bool$) $\Rightarrow bool$ (**binder** \exists_{∞} 10)
where *Inf-many* *P* $\equiv frequently\ P\ cofinite$

abbreviation *Alm-all* :: ($'a \Rightarrow bool$) $\Rightarrow bool$ (**binder** \forall_{∞} 10)
where *Alm-all* *P* $\equiv eventually\ P\ cofinite$

notation (*ASCII*)
Inf-many (**binder** *INFM* 10) and
Alm-all (**binder** *MOST* 10)

lemma *eventually-cofinite*: *eventually* *P* *cofinite* $\longleftrightarrow finite\ \{x. \neg P\ x\}$
<proof>

lemma *frequently-cofinite*: *frequently* *P* *cofinite* $\longleftrightarrow \neg finite\ \{x. P\ x\}$
<proof>

lemma *cofinite-bot*[simp]: *cofinite* = (*bot*:: $'a\ filter$) $\longleftrightarrow finite\ (UNIV :: 'a\ set)$
<proof>

lemma *cofinite-eq-sequentially*: *cofinite* = *sequentially*
<proof>

89.4.1 Product of filters

lemma *filtermap-sequentially-ne-bot*: *filtermap* *f* *sequentially* $\neq bot$
<proof>

definition *prod-filter* :: $'a\ filter \Rightarrow 'b\ filter \Rightarrow ('a \times 'b)\ filter$ (**infixr** \times_F 80)
where
prod-filter *F* *G* =
 $(INF\ (P, Q). \{(P, Q). eventually\ P\ F \wedge eventually\ Q\ G\}. principal\ \{(x, y). P\ x \wedge Q\ y\})$

lemma *eventually-prod-filter*: *eventually* *P* ($F \times_F G$) \longleftrightarrow
 $(\exists Pf\ Pg. eventually\ Pf\ F \wedge eventually\ Pg\ G \wedge (\forall x\ y. Pf\ x \longrightarrow Pg\ y \longrightarrow P\ (x, y)))$

$\langle proof \rangle$

lemma *eventually-prod1*:

assumes $B \neq bot$

shows $(\forall_F (x, y) \text{ in } A \times_F B. P x) \longleftrightarrow (\forall_F x \text{ in } A. P x)$

$\langle proof \rangle$

lemma *eventually-prod2*:

assumes $A \neq bot$

shows $(\forall_F (x, y) \text{ in } A \times_F B. P y) \longleftrightarrow (\forall_F y \text{ in } B. P y)$

$\langle proof \rangle$

lemma *INF-filter-bot-base*:

fixes $F :: 'a \Rightarrow 'b \text{ filter}$

assumes $*$: $\bigwedge i j. i \in I \implies j \in I \implies \exists k \in I. F k \leq F i \sqcap F j$

shows $(INF i:I. F i) = bot \longleftrightarrow (\exists i \in I. F i = bot)$

$\langle proof \rangle$

lemma *Collect-empty-eq-bot*: $Collect P = \{\} \longleftrightarrow P = \perp$

$\langle proof \rangle$

lemma *prod-filter-eq-bot*: $A \times_F B = bot \longleftrightarrow A = bot \vee B = bot$

$\langle proof \rangle$

lemma *prod-filter-mono*: $F \leq F' \implies G \leq G' \implies F \times_F G \leq F' \times_F G'$

$\langle proof \rangle$

lemma *prod-filter-mono-iff*:

assumes nAB : $A \neq bot \wedge B \neq bot$

shows $A \times_F B \leq C \times_F D \longleftrightarrow A \leq C \wedge B \leq D$

$\langle proof \rangle$

lemma *eventually-prod-same*: $eventually P (F \times_F F) \longleftrightarrow$

$(\exists Q. eventually Q F \wedge (\forall x y. Q x \longrightarrow Q y \longrightarrow P (x, y)))$

$\langle proof \rangle$

lemma *eventually-prod-sequentially*:

$eventually P (sequentially \times_F sequentially) \longleftrightarrow (\exists N. \forall m \geq N. \forall n \geq N. P (n, m))$

$\langle proof \rangle$

lemma *principal-prod-principal*: $principal A \times_F principal B = principal (A \times B)$

$\langle proof \rangle$

lemma *prod-filter-INF*:

assumes $I \neq \{\} \wedge J \neq \{\}$

shows $(INF i:I. A i) \times_F (INF j:J. B j) = (INF i:I. INF j:J. A i \times_F B j)$

$\langle proof \rangle$

lemma *filtermap-Pair*: $\text{filtermap } (\lambda x. (f\ x, g\ x))\ F \leq \text{filtermap } f\ F \times_F \text{filtermap } g\ F$
 $\langle \text{proof} \rangle$

lemma *eventually-prodI*: $\text{eventually } P\ F \implies \text{eventually } Q\ G \implies \text{eventually } (\lambda x. P\ (\text{fst } x) \wedge Q\ (\text{snd } x))\ (F \times_F G)$
 $\langle \text{proof} \rangle$

lemma *prod-filter-INF1*: $I \neq \{\} \implies (\text{INF } i:I. A\ i) \times_F B = (\text{INF } i:I. A\ i \times_F B)$
 $\langle \text{proof} \rangle$

lemma *prod-filter-INF2*: $J \neq \{\} \implies A \times_F (\text{INF } i:J. B\ i) = (\text{INF } i:J. A \times_F B\ i)$
 $\langle \text{proof} \rangle$

89.5 Limits

definition *filterlim* :: $('a \Rightarrow 'b) \Rightarrow 'b\ \text{filter} \Rightarrow 'a\ \text{filter} \Rightarrow \text{bool}$ **where**
 $\text{filterlim } f\ F2\ F1 \longleftrightarrow \text{filtermap } f\ F1 \leq F2$

syntax

-LIM :: $p\ \text{trns} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \Rightarrow \text{bool}$ $((\text{3LIM } (-)/ (-)/ (-) :> (-))\ [1000, 10, 0, 10]\ 10)$

translations

$\text{LIM } x\ F1. f\ :> F2 == \text{CONST } \text{filterlim } (\lambda x. f)\ F2\ F1$

lemma *filterlim-top* [*simp*]: $\text{filterlim } f\ \text{top}\ F$
 $\langle \text{proof} \rangle$

lemma *filterlim-iff*:

$(\text{LIM } x\ F1. f\ x :> F2) \longleftrightarrow (\forall P. \text{eventually } P\ F2 \longrightarrow \text{eventually } (\lambda x. P\ (f\ x))\ F1)$
 $\langle \text{proof} \rangle$

lemma *filterlim-compose*:

$\text{filterlim } g\ F3\ F2 \implies \text{filterlim } f\ F2\ F1 \implies \text{filterlim } (\lambda x. g\ (f\ x))\ F3\ F1$
 $\langle \text{proof} \rangle$

lemma *filterlim-mono*:

$\text{filterlim } f\ F2\ F1 \implies F2 \leq F2' \implies F1' \leq F1 \implies \text{filterlim } f\ F2'\ F1'$
 $\langle \text{proof} \rangle$

lemma *filterlim-ident*: $\text{LIM } x\ F. x :> F$

$\langle \text{proof} \rangle$

lemma *filterlim-cong*:

$F1 = F1' \implies F2 = F2' \implies \text{eventually } (\lambda x. f\ x = g\ x)\ F2 \implies \text{filterlim } f\ F1\ F2 = \text{filterlim } g\ F1'\ F2'$

$\langle \text{proof} \rangle$

lemma *filterlim-mono-eventually*:

assumes *filterlim* f F G **and** *ord*: $F \leq F' \ G' \leq G$

assumes *eq*: *eventually* $(\lambda x. f\ x = f'\ x)$ G'

shows *filterlim* f' F' G'

$\langle \text{proof} \rangle$

lemma *filtermap-mono-strong*: $\text{inj } f \implies \text{filtermap } f\ F \leq \text{filtermap } f\ G \longleftrightarrow F \leq G$

$\langle \text{proof} \rangle$

lemma *filtermap-eq-strong*: $\text{inj } f \implies \text{filtermap } f\ F = \text{filtermap } f\ G \longleftrightarrow F = G$

$\langle \text{proof} \rangle$

lemma *filtermap-fun-inverse*:

assumes *g*: *filterlim* g F G

assumes *f*: *filterlim* f G F

assumes *ev*: *eventually* $(\lambda x. f\ (g\ x) = x)$ G

shows *filtermap* $f\ F = G$

$\langle \text{proof} \rangle$

lemma *filterlim-principal*:

$(\text{LIM } x\ F. f\ x :> \text{principal } S) \longleftrightarrow (\text{eventually } (\lambda x. f\ x \in S)\ F)$

$\langle \text{proof} \rangle$

lemma *filterlim-inf*:

$(\text{LIM } x\ F1. f\ x :> \text{inf } F2\ F3) \longleftrightarrow ((\text{LIM } x\ F1. f\ x :> F2) \wedge (\text{LIM } x\ F1. f\ x :> F3))$

$\langle \text{proof} \rangle$

lemma *filterlim-INF*:

$(\text{LIM } x\ F. f\ x :> (\text{INF } b:B. G\ b)) \longleftrightarrow (\forall b \in B. \text{LIM } x\ F. f\ x :> G\ b)$

$\langle \text{proof} \rangle$

lemma *filterlim-INF-INF*:

$(\bigwedge m. m \in J \implies \exists i \in I. \text{filtermap } f\ (F\ i) \leq G\ m) \implies \text{LIM } x\ (\text{INF } i:I. F\ i). f\ x :> (\text{INF } j:J. G\ j)$

$\langle \text{proof} \rangle$

lemma *filterlim-base*:

$(\bigwedge m\ x. m \in J \implies i\ m \in I) \implies (\bigwedge m\ x. m \in J \implies x \in F\ (i\ m) \implies f\ x \in G\ m) \implies$

$\text{LIM } x\ (\text{INF } i:I. \text{principal } (F\ i)). f\ x :> (\text{INF } j:J. \text{principal } (G\ j))$

$\langle \text{proof} \rangle$

lemma *filterlim-base-iff*:

assumes $I \neq \{\}$ **and** *chain*: $\bigwedge i\ j. i \in I \implies j \in I \implies F\ i \subseteq F\ j \vee F\ j \subseteq F\ i$

shows $(\text{LIM } x\ (\text{INF } i:I. \text{principal } (F\ i)). f\ x :> \text{INF } j:J. \text{principal } (G\ j)) \longleftrightarrow$

$(\forall j \in J. \exists i \in I. \forall x \in F i. f x \in G j)$
 $\langle \text{proof} \rangle$

lemma *filterlim-filtermap*: $\text{filterlim } f F1 (\text{filtermap } g F2) = \text{filterlim } (\lambda x. f (g x)) F1 F2$
 $\langle \text{proof} \rangle$

lemma *filterlim-sup*:
 $\text{filterlim } f F F1 \implies \text{filterlim } f F F2 \implies \text{filterlim } f F (\text{sup } F1 F2)$
 $\langle \text{proof} \rangle$

lemma *filterlim-sequentially-Suc*:
 $(\text{LIM } x \text{ sequentially. } f (\text{Suc } x) :> F) \longleftrightarrow (\text{LIM } x \text{ sequentially. } f x :> F)$
 $\langle \text{proof} \rangle$

lemma *filterlim-Suc*: $\text{filterlim } \text{Suc sequentially sequentially}$
 $\langle \text{proof} \rangle$

lemma *filterlim-If*:
 $\text{LIM } x \text{ inf } F (\text{principal } \{x. P x\}). f x :> G \implies$
 $\text{LIM } x \text{ inf } F (\text{principal } \{x. \neg P x\}). g x :> G \implies$
 $\text{LIM } x F. \text{ if } P x \text{ then } f x \text{ else } g x :> G$
 $\langle \text{proof} \rangle$

lemma *filterlim-Pair*:
 $\text{LIM } x F. f x :> G \implies \text{LIM } x F. g x :> H \implies \text{LIM } x F. (f x, g x) :> G \times_F H$
 $\langle \text{proof} \rangle$

89.6 Limits to *at-top* and *at-bot*

lemma *filterlim-at-top*:
fixes $f :: 'a \Rightarrow ('b::\text{linorder})$
shows $(\text{LIM } x F. f x :> \text{at-top}) \longleftrightarrow (\forall Z. \text{eventually } (\lambda x. Z \leq f x) F)$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-top-mono*:
 $\text{LIM } x F. f x :> \text{at-top} \implies \text{eventually } (\lambda x. f x \leq (g x :: 'a::\text{linorder})) F \implies$
 $\text{LIM } x F. g x :> \text{at-top}$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-top-dense*:
fixes $f :: 'a \Rightarrow ('b::\text{unbounded-dense-linorder})$
shows $(\text{LIM } x F. f x :> \text{at-top}) \longleftrightarrow (\forall Z. \text{eventually } (\lambda x. Z < f x) F)$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-top-ge*:
fixes $f :: 'a \Rightarrow ('b::\text{linorder})$ **and** $c :: 'b$
shows $(\text{LIM } x F. f x :> \text{at-top}) \longleftrightarrow (\forall Z \geq c. \text{eventually } (\lambda x. Z \leq f x) F)$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-top-at-top*:

fixes $f :: 'a::linorder \Rightarrow 'b::linorder$

assumes *mono*: $\bigwedge x y. Q\ x \Longrightarrow Q\ y \Longrightarrow x \leq y \Longrightarrow f\ x \leq f\ y$

assumes *bij*: $\bigwedge x. P\ x \Longrightarrow f\ (g\ x) = x \bigwedge x. P\ x \Longrightarrow Q\ (g\ x)$

assumes *Q*: *eventually Q at-top*

assumes *P*: *eventually P at-top*

shows *filterlim f at-top at-top*

<proof>

lemma *filterlim-at-top-gt*:

fixes $f :: 'a \Rightarrow ('b::unbounded-dense-linorder)$ **and** $c :: 'b$

shows $(LIM\ x\ F. f\ x\ :>\ at-top) \longleftrightarrow (\forall Z > c. eventually\ (\lambda x. Z \leq f\ x)\ F)$

<proof>

lemma *filterlim-at-bot*:

fixes $f :: 'a \Rightarrow ('b::linorder)$

shows $(LIM\ x\ F. f\ x\ :>\ at-bot) \longleftrightarrow (\forall Z. eventually\ (\lambda x. f\ x \leq Z)\ F)$

<proof>

lemma *filterlim-at-bot-dense*:

fixes $f :: 'a \Rightarrow ('b::\{dense-linorder, no-bot\})$

shows $(LIM\ x\ F. f\ x\ :>\ at-bot) \longleftrightarrow (\forall Z. eventually\ (\lambda x. f\ x < Z)\ F)$

<proof>

lemma *filterlim-at-bot-le*:

fixes $f :: 'a \Rightarrow ('b::linorder)$ **and** $c :: 'b$

shows $(LIM\ x\ F. f\ x\ :>\ at-bot) \longleftrightarrow (\forall Z \leq c. eventually\ (\lambda x. Z \geq f\ x)\ F)$

<proof>

lemma *filterlim-at-bot-lt*:

fixes $f :: 'a \Rightarrow ('b::unbounded-dense-linorder)$ **and** $c :: 'b$

shows $(LIM\ x\ F. f\ x\ :>\ at-bot) \longleftrightarrow (\forall Z < c. eventually\ (\lambda x. Z \geq f\ x)\ F)$

<proof>

lemma *filterlim-filtercomap [intro]*: *filterlim f F (filtercomap f F)*

<proof>

89.7 Setup 'a filter for lifting and transfer

context includes *lifting-syntax*

begin

definition *rel-filter* :: $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a\ filter \Rightarrow 'b\ filter \Rightarrow bool$

where *rel-filter* $R\ F\ G = ((R ==> op =) ==> op =) (Rep-filter\ F) (Rep-filter\ G)$

lemma *rel-filter-eventually*:

rel-filter R F G \longleftrightarrow

$((R \text{ ===> } op =) \text{ ===> } op =) (\lambda P. \text{ eventually } P \ F) (\lambda P. \text{ eventually } P \ G)$
 $\langle \text{proof} \rangle$

lemma *filtermap-id* [*simp*, *id-simps*]: *filtermap id = id*
 $\langle \text{proof} \rangle$

lemma *filtermap-id'* [*simp*]: *filtermap* $(\lambda x. x) = (\lambda F. F)$
 $\langle \text{proof} \rangle$

lemma *Quotient-filter* [*quot-map*]:
 assumes *Q*: *Quotient R Abs Rep T*
 shows *Quotient* (*rel-filter R*) (*filtermap Abs*) (*filtermap Rep*) (*rel-filter T*)
 $\langle \text{proof} \rangle$

lemma *eventually-parametric* [*transfer-rule*]:
 $((A \text{ ===> } op =) \text{ ===> } \text{rel-filter } A \text{ ===> } op =) \text{ eventually eventually}$
 $\langle \text{proof} \rangle$

lemma *frequently-parametric* [*transfer-rule*]:
 $((A \text{ ===> } op =) \text{ ===> } \text{rel-filter } A \text{ ===> } op =) \text{ frequently frequently}$
 $\langle \text{proof} \rangle$

lemma *rel-filter-eq* [*relator-eq*]: *rel-filter op = = op =*
 $\langle \text{proof} \rangle$

lemma *rel-filter-mono* [*relator-mono*]:
 $A \leq B \implies \text{rel-filter } A \leq \text{rel-filter } B$
 $\langle \text{proof} \rangle$

lemma *rel-filter-conversep* [*simp*]: *rel-filter* $A^{-1-1} = (\text{rel-filter } A)^{-1-1}$
 $\langle \text{proof} \rangle$

lemma *is-filter-parametric-aux*:
 assumes *is-filter F*
 assumes [*transfer-rule*]: *bi-total A bi-unique A*
 and [*transfer-rule*]: $((A \text{ ===> } op =) \text{ ===> } op =) \ F \ G$
 shows *is-filter G*
 $\langle \text{proof} \rangle$

lemma *is-filter-parametric* [*transfer-rule*]:
 $\llbracket \text{bi-total } A; \text{bi-unique } A \rrbracket$
 $\implies (((A \text{ ===> } op =) \text{ ===> } op =) \text{ ===> } op =) \text{ is-filter is-filter}$
 $\langle \text{proof} \rangle$

lemma *left-total-rel-filter* [*transfer-rule*]:
 assumes [*transfer-rule*]: *bi-total A bi-unique A*
 shows *left-total* (*rel-filter A*)
 $\langle \text{proof} \rangle$

lemma *right-total-rel-filter* [transfer-rule]:
 $\llbracket \text{bi-total } A; \text{bi-unique } A \rrbracket \implies \text{right-total } (\text{rel-filter } A)$
 ⟨proof⟩

lemma *bi-total-rel-filter* [transfer-rule]:
 assumes *bi-total* *A* *bi-unique* *A*
 shows *bi-total* (*rel-filter* *A*)
 ⟨proof⟩

lemma *left-unique-rel-filter* [transfer-rule]:
 assumes *left-unique* *A*
 shows *left-unique* (*rel-filter* *A*)
 ⟨proof⟩

lemma *right-unique-rel-filter* [transfer-rule]:
 $\text{right-unique } A \implies \text{right-unique } (\text{rel-filter } A)$
 ⟨proof⟩

lemma *bi-unique-rel-filter* [transfer-rule]:
 $\text{bi-unique } A \implies \text{bi-unique } (\text{rel-filter } A)$
 ⟨proof⟩

lemma *top-filter-parametric* [transfer-rule]:
 $\text{bi-total } A \implies (\text{rel-filter } A) \text{ top top}$
 ⟨proof⟩

lemma *bot-filter-parametric* [transfer-rule]: (*rel-filter* *A*) *bot bot*
 ⟨proof⟩

lemma *sup-filter-parametric* [transfer-rule]:
 $(\text{rel-filter } A \implies \text{rel-filter } A \implies \text{rel-filter } A) \text{ sup sup}$
 ⟨proof⟩

lemma *Sup-filter-parametric* [transfer-rule]:
 $(\text{rel-set } (\text{rel-filter } A) \implies \text{rel-filter } A) \text{ Sup Sup}$
 ⟨proof⟩

lemma *principal-parametric* [transfer-rule]:
 $(\text{rel-set } A \implies \text{rel-filter } A) \text{ principal principal}$
 ⟨proof⟩

lemma *filtermap-parametric* [transfer-rule]:
 $((A \implies B) \implies \text{rel-filter } A \implies \text{rel-filter } B) \text{ filtermap filtermap}$
 ⟨proof⟩

lemma *filtercomap-parametric* [transfer-rule]:
 assumes [transfer-rule]: *bi-unique* *B* *bi-total* *A*
 shows $((A \implies B) \implies \text{rel-filter } B \implies \text{rel-filter } A) \text{ filtercomap}$

filtercomap
 $\langle \text{proof} \rangle$

context

fixes $A :: 'a \Rightarrow 'b \Rightarrow \text{bool}$

assumes $[\text{transfer-rule}]$: *bi-unique* A

begin

lemma *le-filter-parametric* $[\text{transfer-rule}]$:

$(\text{rel-filter } A ==> \text{rel-filter } A ==> \text{op } =) \text{ op } \leq \text{op } \leq$
 $\langle \text{proof} \rangle$

lemma *less-filter-parametric* $[\text{transfer-rule}]$:

$(\text{rel-filter } A ==> \text{rel-filter } A ==> \text{op } =) \text{ op } < \text{op } <$
 $\langle \text{proof} \rangle$

context

assumes $[\text{transfer-rule}]$: *bi-total* A

begin

lemma *Inf-filter-parametric* $[\text{transfer-rule}]$:

$(\text{rel-set } (\text{rel-filter } A) ==> \text{rel-filter } A) \text{ Inf Inf}$
 $\langle \text{proof} \rangle$

lemma *inf-filter-parametric* $[\text{transfer-rule}]$:

$(\text{rel-filter } A ==> \text{rel-filter } A ==> \text{rel-filter } A) \text{ inf inf}$
 $\langle \text{proof} \rangle$

end

end

end

Code generation for filters

definition *abstract-filter* $:: (\text{unit} \Rightarrow 'a \text{ filter}) \Rightarrow 'a \text{ filter}$

where $[\text{simp}]$: *abstract-filter* $f = f \text{ ()}$

code-datatype *principal abstract-filter*

hide-const (**open**) *abstract-filter*

declare $[[\text{code drop: filterlim prod-filter filtermap eventually}$

$\text{inf} :: - \text{filter} \Rightarrow - \text{sup} :: - \text{filter} \Rightarrow - \text{less-eq} :: - \text{filter} \Rightarrow -$
 $\text{Abs-filter}]]$

declare *filterlim-principal* $[\text{code}]$

declare *principal-prod-principal* $[\text{code}]$

```

declare filtermap-principal [code]
declare filtercomap-principal [code]
declare eventually-principal [code]
declare inf-principal [code]
declare sup-principal [code]
declare principal-le-iff [code]

lemma Rep-filter-iff-eventually [simp, code]:
  Rep-filter F P  $\longleftrightarrow$  eventually P F
  ⟨proof⟩

lemma bot-eq-principal-empty [code]:
  bot = principal {}
  ⟨proof⟩

lemma top-eq-principal-UNIV [code]:
  top = principal UNIV
  ⟨proof⟩

instantiation filter :: (equal) equal
begin

definition equal-filter :: 'a filter  $\Rightarrow$  'a filter  $\Rightarrow$  bool
  where equal-filter F F'  $\longleftrightarrow$  F = F'

lemma equal-filter [code]:
  HOL.equal (principal A) (principal B)  $\longleftrightarrow$  A = B
  ⟨proof⟩

instance
  ⟨proof⟩

end

end

```

90 Conditionally-complete Lattices

```

theory Conditionally-Complete-Lattices
imports Finite-Set Lattices-Big Set-Interval
begin

context linorder
begin

lemma Sup-fin-eq-Max:
  finite X  $\Longrightarrow$  X  $\neq$  {}  $\Longrightarrow$  Sup-fin X = Max X
  ⟨proof⟩

```

lemma *Inf-fin-eq-Min*:

finite $X \implies X \neq \{\}$ $\implies \text{Inf-fin } X = \text{Min } X$

<proof>

end

context *preorder*

begin

definition *bdd-above* $A \longleftrightarrow (\exists M. \forall x \in A. x \leq M)$

definition *bdd-below* $A \longleftrightarrow (\exists m. \forall x \in A. m \leq x)$

lemma *bdd-aboveI[intro]*: $(\bigwedge x. x \in A \implies x \leq M) \implies \text{bdd-above } A$

<proof>

lemma *bdd-belowI[intro]*: $(\bigwedge x. x \in A \implies m \leq x) \implies \text{bdd-below } A$

<proof>

lemma *bdd-aboveI2*: $(\bigwedge x. x \in A \implies f x \leq M) \implies \text{bdd-above } (f^i A)$

<proof>

lemma *bdd-belowI2*: $(\bigwedge x. x \in A \implies m \leq f x) \implies \text{bdd-below } (f^i A)$

<proof>

lemma *bdd-above-empty [simp, intro]*: *bdd-above* $\{\}$

<proof>

lemma *bdd-below-empty [simp, intro]*: *bdd-below* $\{\}$

<proof>

lemma *bdd-above-mono*: *bdd-above* $B \implies A \subseteq B \implies \text{bdd-above } A$

<proof>

lemma *bdd-below-mono*: *bdd-below* $B \implies A \subseteq B \implies \text{bdd-below } A$

<proof>

lemma *bdd-above-Int1 [simp]*: *bdd-above* $A \implies \text{bdd-above } (A \cap B)$

<proof>

lemma *bdd-above-Int2 [simp]*: *bdd-above* $B \implies \text{bdd-above } (A \cap B)$

<proof>

lemma *bdd-below-Int1 [simp]*: *bdd-below* $A \implies \text{bdd-below } (A \cap B)$

<proof>

lemma *bdd-below-Int2 [simp]*: *bdd-below* $B \implies \text{bdd-below } (A \cap B)$

<proof>

lemma *bdd-above-Ioo [simp, intro]*: *bdd-above* $\{a <..<< b\}$

<proof>

lemma *bdd-above-Ico* [*simp*, *intro*]: *bdd-above* {*a* ..< *b*}

<proof>

lemma *bdd-above-Iio* [*simp*, *intro*]: *bdd-above* {<.. *b*}

<proof>

lemma *bdd-above-Ioc* [*simp*, *intro*]: *bdd-above* {*a* <.. *b*}

<proof>

lemma *bdd-above-Icc* [*simp*, *intro*]: *bdd-above* {*a* .. *b*}

<proof>

lemma *bdd-above-Iic* [*simp*, *intro*]: *bdd-above* {<.. *b*}

<proof>

lemma *bdd-below-Ioo* [*simp*, *intro*]: *bdd-below* {*a* <..< *b*}

<proof>

lemma *bdd-below-Ioc* [*simp*, *intro*]: *bdd-below* {*a* <.. *b*}

<proof>

lemma *bdd-below-Ioi* [*simp*, *intro*]: *bdd-below* {*a* <..}

<proof>

lemma *bdd-below-Ico* [*simp*, *intro*]: *bdd-below* {*a* ..< *b*}

<proof>

lemma *bdd-below-Icc* [*simp*, *intro*]: *bdd-below* {*a* .. *b*}

<proof>

lemma *bdd-below-Ici* [*simp*, *intro*]: *bdd-below* {*a* ..}

<proof>

end

lemma (**in** *order-top*) *bdd-above-top*[*simp*, *intro*!]: *bdd-above* *A*

<proof>

lemma (**in** *order-bot*) *bdd-above-bot*[*simp*, *intro*!]: *bdd-below* *A*

<proof>

lemma *bdd-above-image-mono*: *mono f* \implies *bdd-above* *A* \implies *bdd-above* (*f*’*A*)

<proof>

lemma *bdd-below-image-mono*: *mono f* \implies *bdd-below* *A* \implies *bdd-below* (*f*’*A*)

<proof>

lemma *bdd-above-image-antimono*: $\text{antimono } f \implies \text{bdd-below } A \implies \text{bdd-above } (f'A)$
 ⟨proof⟩

lemma *bdd-below-image-antimono*: $\text{antimono } f \implies \text{bdd-above } A \implies \text{bdd-below } (f'A)$
 ⟨proof⟩

lemma
fixes $X :: 'a::\text{ordered-ab-group-add set}$
shows *bdd-above-uminus*[simp]: $\text{bdd-above } (\text{uminus } 'X) \longleftrightarrow \text{bdd-below } X$
and *bdd-below-uminus*[simp]: $\text{bdd-below } (\text{uminus } 'X) \longleftrightarrow \text{bdd-above } X$
 ⟨proof⟩

context *lattice*
begin

lemma *bdd-above-insert* [simp]: $\text{bdd-above } (\text{insert } a \ A) = \text{bdd-above } A$
 ⟨proof⟩

lemma *bdd-below-insert* [simp]: $\text{bdd-below } (\text{insert } a \ A) = \text{bdd-below } A$
 ⟨proof⟩

lemma *bdd-finite* [simp]:
assumes *finite A* **shows** *bdd-above-finite*: $\text{bdd-above } A$ **and** *bdd-below-finite*:
 $\text{bdd-below } A$
 ⟨proof⟩

lemma *bdd-above-Un* [simp]: $\text{bdd-above } (A \cup B) = (\text{bdd-above } A \wedge \text{bdd-above } B)$
 ⟨proof⟩

lemma *bdd-below-Un* [simp]: $\text{bdd-below } (A \cup B) = (\text{bdd-below } A \wedge \text{bdd-below } B)$
 ⟨proof⟩

lemma *bdd-above-sup*[simp]: $\text{bdd-above } ((\lambda x. \text{sup } (f \ x) \ (g \ x)) \ 'A) \longleftrightarrow \text{bdd-above } (f'A) \wedge \text{bdd-above } (g'A)$
 ⟨proof⟩

lemma *bdd-below-inf*[simp]: $\text{bdd-below } ((\lambda x. \text{inf } (f \ x) \ (g \ x)) \ 'A) \longleftrightarrow \text{bdd-below } (f'A) \wedge \text{bdd-below } (g'A)$
 ⟨proof⟩

end

To avoid name classes with the *complete-lattice*-class we prefix *Sup* and *Inf* in theorem names with *c*.

class *conditionally-complete-lattice* = *lattice* + *Sup* + *Inf* +
assumes *cInf-lower*: $x \in X \implies \text{bdd-below } X \implies \text{Inf } X \leq x$
and *cInf-greatest*: $X \neq \{\} \implies (\bigwedge x. x \in X \implies z \leq x) \implies z \leq \text{Inf } X$

assumes $cSup\text{-}upper$: $x \in X \implies bdd\text{-}above\ X \implies x \leq Sup\ X$
and $cSup\text{-}least$: $X \neq \{\} \implies (\bigwedge x. x \in X \implies x \leq z) \implies Sup\ X \leq z$
begin

lemma $cSup\text{-}upper2$: $x \in X \implies y \leq x \implies bdd\text{-}above\ X \implies y \leq Sup\ X$
 $\langle proof \rangle$

lemma $cInf\text{-}lower2$: $x \in X \implies x \leq y \implies bdd\text{-}below\ X \implies Inf\ X \leq y$
 $\langle proof \rangle$

lemma $cSup\text{-}mono$: $B \neq \{\} \implies bdd\text{-}above\ A \implies (\bigwedge b. b \in B \implies \exists a \in A. b \leq a) \implies Sup\ B \leq Sup\ A$
 $\langle proof \rangle$

lemma $cInf\text{-}mono$: $B \neq \{\} \implies bdd\text{-}below\ A \implies (\bigwedge b. b \in B \implies \exists a \in A. a \leq b) \implies Inf\ A \leq Inf\ B$
 $\langle proof \rangle$

lemma $cSup\text{-}subset\text{-}mono$: $A \neq \{\} \implies bdd\text{-}above\ B \implies A \subseteq B \implies Sup\ A \leq Sup\ B$
 $\langle proof \rangle$

lemma $cInf\text{-}superset\text{-}mono$: $A \neq \{\} \implies bdd\text{-}below\ B \implies A \subseteq B \implies Inf\ B \leq Inf\ A$
 $\langle proof \rangle$

lemma $cSup\text{-}eq\text{-}maximum$: $z \in X \implies (\bigwedge x. x \in X \implies x \leq z) \implies Sup\ X = z$
 $\langle proof \rangle$

lemma $cInf\text{-}eq\text{-}minimum$: $z \in X \implies (\bigwedge x. x \in X \implies z \leq x) \implies Inf\ X = z$
 $\langle proof \rangle$

lemma $cSup\text{-}le\text{-}iff$: $S \neq \{\} \implies bdd\text{-}above\ S \implies Sup\ S \leq a \longleftrightarrow (\forall x \in S. x \leq a)$
 $\langle proof \rangle$

lemma $le\text{-}cInf\text{-}iff$: $S \neq \{\} \implies bdd\text{-}below\ S \implies a \leq Inf\ S \longleftrightarrow (\forall x \in S. a \leq x)$
 $\langle proof \rangle$

lemma $cSup\text{-}eq\text{-}non\text{-}empty$:
assumes 1: $X \neq \{\}$
assumes 2: $\bigwedge x. x \in X \implies x \leq a$
assumes 3: $\bigwedge y. (\bigwedge x. x \in X \implies x \leq y) \implies a \leq y$
shows $Sup\ X = a$
 $\langle proof \rangle$

lemma $cInf\text{-}eq\text{-}non\text{-}empty$:
assumes 1: $X \neq \{\}$
assumes 2: $\bigwedge x. x \in X \implies a \leq x$
assumes 3: $\bigwedge y. (\bigwedge x. x \in X \implies y \leq x) \implies y \leq a$

shows $\text{Inf } X = a$
 $\langle \text{proof} \rangle$

lemma $c\text{Inf-}c\text{Sup}: S \neq \{\} \implies \text{bdd-below } S \implies \text{Inf } S = \text{Sup } \{x. \forall s \in S. x \leq s\}$
 $\langle \text{proof} \rangle$

lemma $c\text{Sup-}c\text{Inf}: S \neq \{\} \implies \text{bdd-above } S \implies \text{Sup } S = \text{Inf } \{x. \forall s \in S. s \leq x\}$
 $\langle \text{proof} \rangle$

lemma $c\text{Sup-insert}: X \neq \{\} \implies \text{bdd-above } X \implies \text{Sup } (\text{insert } a \ X) = \text{sup } a \ (\text{Sup } X)$
 $\langle \text{proof} \rangle$

lemma $c\text{Inf-insert}: X \neq \{\} \implies \text{bdd-below } X \implies \text{Inf } (\text{insert } a \ X) = \text{inf } a \ (\text{Inf } X)$
 $\langle \text{proof} \rangle$

lemma $c\text{Sup-singleton } [\text{simp}]: \text{Sup } \{x\} = x$
 $\langle \text{proof} \rangle$

lemma $c\text{Inf-singleton } [\text{simp}]: \text{Inf } \{x\} = x$
 $\langle \text{proof} \rangle$

lemma $c\text{Sup-insert-If}: \text{bdd-above } X \implies \text{Sup } (\text{insert } a \ X) = (\text{if } X = \{\} \text{ then } a \text{ else } \text{sup } a \ (\text{Sup } X))$
 $\langle \text{proof} \rangle$

lemma $c\text{Inf-insert-If}: \text{bdd-below } X \implies \text{Inf } (\text{insert } a \ X) = (\text{if } X = \{\} \text{ then } a \text{ else } \text{inf } a \ (\text{Inf } X))$
 $\langle \text{proof} \rangle$

lemma $\text{le-}c\text{Sup-finite}: \text{finite } X \implies x \in X \implies x \leq \text{Sup } X$
 $\langle \text{proof} \rangle$

lemma $c\text{Inf-le-finite}: \text{finite } X \implies x \in X \implies \text{Inf } X \leq x$
 $\langle \text{proof} \rangle$

lemma $c\text{Sup-eq-Sup-fin}: \text{finite } X \implies X \neq \{\} \implies \text{Sup } X = \text{Sup-fin } X$
 $\langle \text{proof} \rangle$

lemma $c\text{Inf-eq-Inf-fin}: \text{finite } X \implies X \neq \{\} \implies \text{Inf } X = \text{Inf-fin } X$
 $\langle \text{proof} \rangle$

lemma $c\text{Sup-atMost}[\text{simp}]: \text{Sup } \{..x\} = x$
 $\langle \text{proof} \rangle$

lemma $c\text{Sup-greaterThanAtMost}[\text{simp}]: y < x \implies \text{Sup } \{y < ..x\} = x$
 $\langle \text{proof} \rangle$

lemma *cSup-atLeastAtMost[simp]*: $y \leq x \implies \text{Sup } \{y..x\} = x$
 ⟨proof⟩

lemma *cInf-atLeast[simp]*: $\text{Inf } \{x.. \} = x$
 ⟨proof⟩

lemma *cInf-atLeastLessThan[simp]*: $y < x \implies \text{Inf } \{y..<x\} = y$
 ⟨proof⟩

lemma *cInf-atLeastAtMost[simp]*: $y \leq x \implies \text{Inf } \{y..x\} = y$
 ⟨proof⟩

lemma *cINF-lower*: $\text{bdd-below } (f \text{ ‘ } A) \implies x \in A \implies \text{INFIMUM } A \ f \leq f \ x$
 ⟨proof⟩

lemma *cINF-greatest*: $A \neq \{\} \implies (\bigwedge x. x \in A \implies m \leq f \ x) \implies m \leq \text{INFIMUM } A \ f$
 ⟨proof⟩

lemma *cSUP-upper*: $x \in A \implies \text{bdd-above } (f \text{ ‘ } A) \implies f \ x \leq \text{SUPREMUM } A \ f$
 ⟨proof⟩

lemma *cSUP-least*: $A \neq \{\} \implies (\bigwedge x. x \in A \implies f \ x \leq M) \implies \text{SUPREMUM } A \ f \leq M$
 ⟨proof⟩

lemma *cINF-lower2*: $\text{bdd-below } (f \text{ ‘ } A) \implies x \in A \implies f \ x \leq u \implies \text{INFIMUM } A \ f \leq u$
 ⟨proof⟩

lemma *cSUP-upper2*: $\text{bdd-above } (f \text{ ‘ } A) \implies x \in A \implies u \leq f \ x \implies u \leq \text{SUPREMUM } A \ f$
 ⟨proof⟩

lemma *cSUP-const [simp]*: $A \neq \{\} \implies (\text{SUP } x:A. \ c) = c$
 ⟨proof⟩

lemma *cINF-const [simp]*: $A \neq \{\} \implies (\text{INF } x:A. \ c) = c$
 ⟨proof⟩

lemma *le-cINF-iff*: $A \neq \{\} \implies \text{bdd-below } (f \text{ ‘ } A) \implies u \leq \text{INFIMUM } A \ f \longleftrightarrow (\forall x \in A. \ u \leq f \ x)$
 ⟨proof⟩

lemma *cSUP-le-iff*: $A \neq \{\} \implies \text{bdd-above } (f \text{ ‘ } A) \implies \text{SUPREMUM } A \ f \leq u \longleftrightarrow (\forall x \in A. \ f \ x \leq u)$
 ⟨proof⟩

lemma *less-cINF-D*: $\text{bdd-below } (f \text{ ‘ } A) \implies y < (\text{INF } i:A. \ f \ i) \implies i \in A \implies y <$

$f\ i$
 $\langle proof \rangle$

lemma *cSUP-lessD*: $bdd-above\ (f' A) \implies (SUP\ i:A.\ f\ i) < y \implies i \in A \implies f\ i < y$
 $\langle proof \rangle$

lemma *cINF-insert*: $A \neq \{\} \implies bdd-below\ (f' A) \implies INFIMUM\ (insert\ a\ A)\ f = inf\ (f\ a)\ (INFIMUM\ A\ f)$
 $\langle proof \rangle$

lemma *cSUP-insert*: $A \neq \{\} \implies bdd-above\ (f' A) \implies SUPREMUM\ (insert\ a\ A)\ f = sup\ (f\ a)\ (SUPREMUM\ A\ f)$
 $\langle proof \rangle$

lemma *cINF-mono*: $B \neq \{\} \implies bdd-below\ (f' A) \implies (\bigwedge m.\ m \in B \implies \exists n \in A.\ f\ n \leq g\ m) \implies INFIMUM\ A\ f \leq INFIMUM\ B\ g$
 $\langle proof \rangle$

lemma *cSUP-mono*: $A \neq \{\} \implies bdd-above\ (g' B) \implies (\bigwedge n.\ n \in A \implies \exists m \in B.\ f\ n \leq g\ m) \implies SUPREMUM\ A\ f \leq SUPREMUM\ B\ g$
 $\langle proof \rangle$

lemma *cINF-superset-mono*: $A \neq \{\} \implies bdd-below\ (g' B) \implies A \subseteq B \implies (\bigwedge x.\ x \in B \implies g\ x \leq f\ x) \implies INFIMUM\ B\ g \leq INFIMUM\ A\ f$
 $\langle proof \rangle$

lemma *cSUP-subset-mono*: $A \neq \{\} \implies bdd-above\ (g' B) \implies A \subseteq B \implies (\bigwedge x.\ x \in B \implies f\ x \leq g\ x) \implies SUPREMUM\ A\ f \leq SUPREMUM\ B\ g$
 $\langle proof \rangle$

lemma *less-eq-cInf-inter*: $bdd-below\ A \implies bdd-below\ B \implies A \cap B \neq \{\} \implies inf\ (Inf\ A)\ (Inf\ B) \leq Inf\ (A \cap B)$
 $\langle proof \rangle$

lemma *cSup-inter-less-eq*: $bdd-above\ A \implies bdd-above\ B \implies A \cap B \neq \{\} \implies Sup\ (A \cap B) \leq sup\ (Sup\ A)\ (Sup\ B)$
 $\langle proof \rangle$

lemma *cInf-union-distrib*: $A \neq \{\} \implies bdd-below\ A \implies B \neq \{\} \implies bdd-below\ B \implies Inf\ (A \cup B) = inf\ (Inf\ A)\ (Inf\ B)$
 $\langle proof \rangle$

lemma *cINF-union*: $A \neq \{\} \implies bdd-below\ (f' A) \implies B \neq \{\} \implies bdd-below\ (f' B) \implies INFIMUM\ (A \cup B)\ f = inf\ (INFIMUM\ A\ f)\ (INFIMUM\ B\ f)$
 $\langle proof \rangle$

lemma *cSup-union-distrib*: $A \neq \{\} \implies bdd-above\ A \implies B \neq \{\} \implies bdd-above\ B \implies Sup\ (A \cup B) = sup\ (Sup\ A)\ (Sup\ B)$

<proof>

lemma *cSUP-union*: $A \neq \{\}$ \implies *bdd-above* ($f'A$) \implies $B \neq \{\}$ \implies *bdd-above* ($f'B$)
 \implies *SUPREMUM* ($A \cup B$) $f = \text{sup}$ (*SUPREMUM* A f) (*SUPREMUM* B f)
<proof>

lemma *cINF-inf-distrib*: $A \neq \{\}$ \implies *bdd-below* ($f'A$) \implies *bdd-below* ($g'A$) \implies *inf*
(*INFIMUM* A f) (*INFIMUM* A g) = (*INF* $a:A.$ *inf* (f a) (g a))
<proof>

lemma *SUP-sup-distrib*: $A \neq \{\}$ \implies *bdd-above* ($f'A$) \implies *bdd-above* ($g'A$) \implies *sup*
(*SUPREMUM* A f) (*SUPREMUM* A g) = (*SUP* $a:A.$ *sup* (f a) (g a))
<proof>

lemma *cInf-le-cSup*:
 $A \neq \{\}$ \implies *bdd-above* $A \implies$ *bdd-below* $A \implies$ *Inf* $A \leq$ *Sup* A
<proof>

end

instance *complete-lattice* \subseteq *conditionally-complete-lattice*
<proof>

lemma *cSup-eq*:
fixes $a :: 'a :: \{\text{conditionally-complete-lattice, no-bot}\}$
assumes *upper*: $\bigwedge x. x \in X \implies x \leq a$
assumes *least*: $\bigwedge y. (\bigwedge x. x \in X \implies x \leq y) \implies a \leq y$
shows *Sup* $X = a$
<proof>

lemma *cInf-eq*:
fixes $a :: 'a :: \{\text{conditionally-complete-lattice, no-top}\}$
assumes *upper*: $\bigwedge x. x \in X \implies a \leq x$
assumes *least*: $\bigwedge y. (\bigwedge x. x \in X \implies y \leq x) \implies y \leq a$
shows *Inf* $X = a$
<proof>

class *conditionally-complete-linorder* = *conditionally-complete-lattice* + *linorder*
begin

lemma *less-cSup-iff*:
 $X \neq \{\} \implies$ *bdd-above* $X \implies y < \text{Sup } X \longleftrightarrow (\exists x \in X. y < x)$
<proof>

lemma *cInf-less-iff*: $X \neq \{\} \implies$ *bdd-below* $X \implies \text{Inf } X < y \longleftrightarrow (\exists x \in X. x < y)$
<proof>

lemma *cINF-less-iff*: $A \neq \{\} \implies$ *bdd-below* ($f'A$) \implies (*INF* $i:A.$ f i) $< a \longleftrightarrow$

$(\exists x \in A. f\ x < a)$
 $\langle proof \rangle$

lemma *less-cSUP-iff*: $A \neq \{\}$ \implies *bdd-above* $(f'A) \implies a < (SUP\ i:A. f\ i) \longleftrightarrow$
 $(\exists x \in A. a < f\ x)$
 $\langle proof \rangle$

lemma *less-cSupE*:
assumes $y < Sup\ X$ $X \neq \{\}$ **obtains** x **where** $x \in X$ $y < x$
 $\langle proof \rangle$

lemma *less-cSupD*:
 $X \neq \{\} \implies z < Sup\ X \implies \exists x \in X. z < x$
 $\langle proof \rangle$

lemma *cInf-lessD*:
 $X \neq \{\} \implies Inf\ X < z \implies \exists x \in X. x < z$
 $\langle proof \rangle$

lemma *complete-interval*:
assumes $a < b$ **and** $P\ a$ **and** $\neg P\ b$
shows $\exists c. a \leq c \wedge c \leq b \wedge (\forall x. a \leq x \wedge x < c \longrightarrow P\ x) \wedge$
 $(\forall d. (\forall x. a \leq x \wedge x < d \longrightarrow P\ x) \longrightarrow d \leq c)$
 $\langle proof \rangle$

end

instance *complete-linorder* $<$ *conditionally-complete-linorder*
 $\langle proof \rangle$

lemma *cSup-eq-Max*: *finite* $(X::'a::conditionally-complete-linorder\ set) \implies X \neq \{\} \implies Sup\ X = Max\ X$
 $\langle proof \rangle$

lemma *cInf-eq-Min*: *finite* $(X::'a::conditionally-complete-linorder\ set) \implies X \neq \{\} \implies Inf\ X = Min\ X$
 $\langle proof \rangle$

lemma *cSup-lessThan[simp]*: $Sup\ \{.. $x::'a::\{conditionally-complete-linorder, no-bot, dense-linorder\}$ \} = x$
 $\langle proof \rangle$

lemma *cSup-greaterThanLessThan[simp]*: $y < x \implies Sup\ \{y.. $x::'a::\{conditionally-complete-linorder, dense-linorder\}$ \} = x$
 $\langle proof \rangle$

lemma *cSup-atLeastLessThan[simp]*: $y < x \implies Sup\ \{y.. $x::'a::\{conditionally-complete-linorder, dense-linorder\}$ \} = x$
 $\langle proof \rangle$

lemma *cInf-greaterThan[simp]*: $\text{Inf } \{x::'a::\{\text{conditionally-complete-linorder}, \text{no-top}, \text{dense-linorder}\} <.. \} = x$
 ⟨proof⟩

lemma *cInf-greaterThanAtMost[simp]*: $y < x \implies \text{Inf } \{y <..x::'a::\{\text{conditionally-complete-linorder}, \text{dense-linorder}\}\} = y$
 ⟨proof⟩

lemma *cInf-greaterThanLessThan[simp]*: $y < x \implies \text{Inf } \{y <.. $x::'a::\{\text{conditionally-complete-linorder}, \text{dense-linorder}\}\} = y$$
 ⟨proof⟩

class *linear-continuum* = *conditionally-complete-linorder* + *dense-linorder* +
 assumes *UNIV-not-singleton*: $\exists a b::'a. a \neq b$
begin

lemma *ex-gt-or-lt*: $\exists b. a < b \vee b < a$
 ⟨proof⟩

end

instantiation *nat* :: *conditionally-complete-linorder*
begin

definition *Sup* ($X::\text{nat set}$) = *Max* *X*

definition *Inf* ($X::\text{nat set}$) = (*LEAST* $n. n \in X$)

lemma *bdd-above-nat*: $\text{bdd-above } X \longleftrightarrow \text{finite } (X::\text{nat set})$
 ⟨proof⟩

instance
 ⟨proof⟩

end

instantiation *int* :: *conditionally-complete-linorder*
begin

definition *Sup* ($X::\text{int set}$) = (*THE* $x. x \in X \wedge (\forall y \in X. y \leq x)$)

definition *Inf* ($X::\text{int set}$) = $- (\text{Sup } (\text{uminus } 'X))$

instance
 ⟨proof⟩
end

lemma *interval-cases*:

fixes $S::'a::\text{conditionally-complete-linorder set}$

assumes *ivl*: $\bigwedge a b x. a \in S \implies b \in S \implies a \leq x \implies x \leq b \implies x \in S$


```

shows  $\exists a\ b. S = \{\}$   $\vee$ 
 $S = UNIV$   $\vee$ 
 $S = \{..<b\}$   $\vee$ 
 $S = \{..b\}$   $\vee$ 
 $S = \{a<..\}$   $\vee$ 
 $S = \{a..\}$   $\vee$ 
 $S = \{a<..b\}$   $\vee$ 
 $S = \{a<..b\}$   $\vee$ 
 $S = \{a..b\}$   $\vee$ 
 $S = \{a..b\}$ 
 $\langle proof \rangle$ 

```

```

lemma cSUP-eq-cINF-D:
  fixes  $f :: - \Rightarrow 'b::conditionally-complete-lattice$ 
  assumes  $eq: (SUP\ x:A. f\ x) = (INF\ x:A. f\ x)$ 
    and  $bdd: bdd-above\ (f\ 'A)\ bdd-below\ (f\ 'A)$ 
    and  $a: a \in A$ 
  shows  $f\ a = (INF\ x:A. f\ x)$ 
 $\langle proof \rangle$ 

```

```

lemma cSUP-UNION:
  fixes  $f :: - \Rightarrow 'b::conditionally-complete-lattice$ 
  assumes  $ne: A \neq \{\} \wedge x. x \in A \implies B(x) \neq \{\}$ 
    and  $bdd-UN: bdd-above\ (\bigcup x \in A. f\ 'B\ x)$ 
  shows  $(SUP\ z : \bigcup x \in A. B\ x. f\ z) = (SUP\ x:A. SUP\ z:B\ x. f\ z)$ 
 $\langle proof \rangle$ 

```

```

lemma cINF-UNION:
  fixes  $f :: - \Rightarrow 'b::conditionally-complete-lattice$ 
  assumes  $ne: A \neq \{\} \wedge x. x \in A \implies B(x) \neq \{\}$ 
    and  $bdd-UN: bdd-below\ (\bigcup x \in A. f\ 'B\ x)$ 
  shows  $(INF\ z : \bigcup x \in A. B\ x. f\ z) = (INF\ x:A. INF\ z:B\ x. f\ z)$ 
 $\langle proof \rangle$ 

```

```

lemma cSup-abs-le:
  fixes  $S :: ('a::\{linordered-idom,conditionally-complete-linorder\})\ set$ 
  shows  $S \neq \{\} \implies (\bigwedge x. x \in S \implies |x| \leq a) \implies |Sup\ S| \leq a$ 
 $\langle proof \rangle$ 

```

end

91 Factorial Function, Rising Factorials

```

theory Factorial
  imports Groups-List
begin

```

91.1 Factorial Function

context *semiring-char-0*

begin

definition *fact* :: *nat* \Rightarrow 'a

where *fact-prod*: *fact* *n* = *of-nat* ($\prod \{1..n\}$)

lemma *fact-prod-Suc*: *fact* *n* = *of-nat* (*prod* *Suc* {0..*n*})
 ⟨*proof*⟩

lemma *fact-prod-rev*: *fact* *n* = *of-nat* ($\prod i = 0..<n. n - i$)
 ⟨*proof*⟩

lemma *fact-0* [*simp*]: *fact* 0 = 1
 ⟨*proof*⟩

lemma *fact-1* [*simp*]: *fact* 1 = 1
 ⟨*proof*⟩

lemma *fact-Suc-0* [*simp*]: *fact* (*Suc* 0) = 1
 ⟨*proof*⟩

lemma *fact-Suc* [*simp*]: *fact* (*Suc* *n*) = *of-nat* (*Suc* *n*) * *fact* *n*
 ⟨*proof*⟩

lemma *fact-2* [*simp*]: *fact* 2 = 2
 ⟨*proof*⟩

lemma *fact-split*: $k \leq n \implies \text{fact } n = \text{of-nat } (\text{prod } \text{Suc } \{n - k..<n\}) * \text{fact } (n - k)$
 ⟨*proof*⟩

end

lemma *of-nat-fact* [*simp*]: *of-nat* (*fact* *n*) = *fact* *n*
 ⟨*proof*⟩

lemma *of-int-fact* [*simp*]: *of-int* (*fact* *n*) = *fact* *n*
 ⟨*proof*⟩

lemma *fact-reduce*: $n > 0 \implies \text{fact } n = \text{of-nat } n * \text{fact } (n - 1)$
 ⟨*proof*⟩

lemma *fact-nonzero* [*simp*]: *fact* *n* $\neq (0::'a::\{\text{semiring-char-0}, \text{semiring-no-zero-divisors}\})$
 ⟨*proof*⟩

lemma *fact-mono-nat*: $m \leq n \implies \text{fact } m \leq (\text{fact } n :: \text{nat})$
 ⟨*proof*⟩

lemma *fact-in-Nats*: $\text{fact } n \in \mathbb{N}$
 $\langle \text{proof} \rangle$

lemma *fact-in-Ints*: $\text{fact } n \in \mathbb{Z}$
 $\langle \text{proof} \rangle$

context
assumes *SORT-CONSTRAINT*('a::linordered-semidom)
begin

lemma *fact-mono*: $m \leq n \implies \text{fact } m \leq (\text{fact } n :: 'a)$
 $\langle \text{proof} \rangle$

lemma *fact-ge-1* [simp]: $\text{fact } n \geq (1 :: 'a)$
 $\langle \text{proof} \rangle$

lemma *fact-gt-zero* [simp]: $\text{fact } n > (0 :: 'a)$
 $\langle \text{proof} \rangle$

lemma *fact-ge-zero* [simp]: $\text{fact } n \geq (0 :: 'a)$
 $\langle \text{proof} \rangle$

lemma *fact-not-neg* [simp]: $\neg \text{fact } n < (0 :: 'a)$
 $\langle \text{proof} \rangle$

lemma *fact-le-power*: $\text{fact } n \leq (\text{of-nat } (n^n) :: 'a)$
 $\langle \text{proof} \rangle$

end

lemma *fact-less-mono-nat*: $0 < m \implies m < n \implies \text{fact } m < (\text{fact } n :: \text{nat})$
 $\langle \text{proof} \rangle$

lemma *fact-less-mono*: $0 < m \implies m < n \implies \text{fact } m < (\text{fact } n :: 'a::\text{linordered-semidom})$
 $\langle \text{proof} \rangle$

lemma *fact-ge-Suc-0-nat* [simp]: $\text{fact } n \geq \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma *dvd-fact*: $1 \leq m \implies m \leq n \implies m \text{ dvd } \text{fact } n$
 $\langle \text{proof} \rangle$

lemma *fact-ge-self*: $\text{fact } n \geq n$
 $\langle \text{proof} \rangle$

lemma *fact-dvd*: $n \leq m \implies \text{fact } n \text{ dvd } (\text{fact } m :: 'a::\{\text{semiring-div}, \text{linordered-semidom}\})$
 $\langle \text{proof} \rangle$

lemma *fact-mod*: $m \leq n \implies \text{fact } n \text{ mod } (\text{fact } m :: 'a::\{\text{semiring-div}, \text{linordered-semidom}\})$

$= 0$
 $\langle \text{proof} \rangle$

lemma *fact-div-fact*:
 assumes $m \geq n$
 shows $\text{fact } m \text{ div fact } n = \prod \{n + 1..m\}$
 $\langle \text{proof} \rangle$

lemma *fact-num-eq-if*: $\text{fact } m = (\text{if } m = 0 \text{ then } 1 \text{ else of-nat } m * \text{fact } (m - 1))$
 $\langle \text{proof} \rangle$

lemma *fact-div-fact-le-pow*:
 assumes $r \leq n$
 shows $\text{fact } n \text{ div fact } (n - r) \leq n \wedge r$
 $\langle \text{proof} \rangle$

lemma *fact-numeral*: $\text{fact } (\text{numeral } k) = \text{numeral } k * \text{fact } (\text{pred-numeral } k)$
 — Evaluation for specific numerals
 $\langle \text{proof} \rangle$

91.2 Pochhammer’s symbol: generalized rising factorial

See http://en.wikipedia.org/wiki/Pochhammer_symbol.

context *comm-semiring-1*
begin

definition *pochhammer* :: $'a \Rightarrow \text{nat} \Rightarrow 'a$
 where *pochhammer-prod*: $\text{pochhammer } a \ n = \text{prod } (\lambda i. a + \text{of-nat } i) \ \{0..<n\}$

lemma *pochhammer-prod-rev*: $\text{pochhammer } a \ n = \text{prod } (\lambda i. a + \text{of-nat } (n - i)) \ \{1..n\}$
 $\langle \text{proof} \rangle$

lemma *pochhammer-Suc-prod*: $\text{pochhammer } a \ (\text{Suc } n) = \text{prod } (\lambda i. a + \text{of-nat } i) \ \{0..n\}$
 $\langle \text{proof} \rangle$

lemma *pochhammer-Suc-prod-rev*: $\text{pochhammer } a \ (\text{Suc } n) = \text{prod } (\lambda i. a + \text{of-nat } (n - i)) \ \{0..n\}$
 $\langle \text{proof} \rangle$

lemma *pochhammer-0* [*simp*]: $\text{pochhammer } a \ 0 = 1$
 $\langle \text{proof} \rangle$

lemma *pochhammer-1* [*simp*]: $\text{pochhammer } a \ 1 = a$
 $\langle \text{proof} \rangle$

lemma *pochhammer-Suc0* [*simp*]: $\text{pochhammer } a \ (\text{Suc } 0) = a$
 $\langle \text{proof} \rangle$

lemma *pochhammer-Suc*: $\text{pochhammer } a \text{ (Suc } n) = \text{pochhammer } a \text{ } n * (a + \text{of-nat } n)$
 ⟨proof⟩

end

lemma *pochhammer-nonneg*:
fixes $x :: 'a :: \text{linordered-semidom}$
shows $x > 0 \implies \text{pochhammer } x \text{ } n \geq 0$
 ⟨proof⟩

lemma *pochhammer-pos*:
fixes $x :: 'a :: \text{linordered-semidom}$
shows $x > 0 \implies \text{pochhammer } x \text{ } n > 0$
 ⟨proof⟩

lemma *pochhammer-of-nat*: $\text{pochhammer } (\text{of-nat } x) \text{ } n = \text{of-nat } (\text{pochhammer } x \text{ } n)$
 ⟨proof⟩

lemma *pochhammer-of-int*: $\text{pochhammer } (\text{of-int } x) \text{ } n = \text{of-int } (\text{pochhammer } x \text{ } n)$
 ⟨proof⟩

lemma *pochhammer-rec*: $\text{pochhammer } a \text{ (Suc } n) = a * \text{pochhammer } (a + 1) \text{ } n$
 ⟨proof⟩

lemma *pochhammer-rec'*: $\text{pochhammer } z \text{ (Suc } n) = (z + \text{of-nat } n) * \text{pochhammer } z \text{ } n$
 ⟨proof⟩

lemma *pochhammer-fact*: $\text{fact } n = \text{pochhammer } 1 \text{ } n$
 ⟨proof⟩

lemma *pochhammer-of-nat-eq-0-lemma*: $k > n \implies \text{pochhammer } (- (\text{of-nat } n :: 'a :: \text{idom})) \text{ } k = 0$
 ⟨proof⟩

lemma *pochhammer-of-nat-eq-0-lemma'*:
assumes $kn: k \leq n$
shows $\text{pochhammer } (- (\text{of-nat } n :: 'a :: \{\text{idom}, \text{ring-char-0}\})) \text{ } k \neq 0$
 ⟨proof⟩

lemma *pochhammer-of-nat-eq-0-iff*:
 $\text{pochhammer } (- (\text{of-nat } n :: 'a :: \{\text{idom}, \text{ring-char-0}\})) \text{ } k = 0 \longleftrightarrow k > n$
 (is ?l = ?r)
 ⟨proof⟩

lemma *pochhammer-0-left*:
 $\text{pochhammer } 0 \text{ } n = (\text{if } n = 0 \text{ then } 1 \text{ else } 0)$

$\langle \text{proof} \rangle$

lemma *pochhammer-eq-0-iff*: $\text{pochhammer } a \ n = (0 :: 'a :: \text{field-char-0}) \longleftrightarrow (\exists k < n. a = - \text{of-nat } k)$
 $\langle \text{proof} \rangle$

lemma *pochhammer-eq-0-mono*:
 $\text{pochhammer } a \ n = (0 :: 'a :: \text{field-char-0}) \implies m \geq n \implies \text{pochhammer } a \ m = 0$
 $\langle \text{proof} \rangle$

lemma *pochhammer-neg-0-mono*:
 $\text{pochhammer } a \ m \neq (0 :: 'a :: \text{field-char-0}) \implies m \geq n \implies \text{pochhammer } a \ n \neq 0$
 $\langle \text{proof} \rangle$

lemma *pochhammer-minus*:
 $\text{pochhammer } (-b) \ k = ((-1) ^ k :: 'a :: \text{comm-ring-1}) * \text{pochhammer } (b - \text{of-nat } k + 1) \ k$
 $\langle \text{proof} \rangle$

lemma *pochhammer-minus'*:
 $\text{pochhammer } (b - \text{of-nat } k + 1) \ k = ((-1) ^ k :: 'a :: \text{comm-ring-1}) * \text{pochhammer } (-b) \ k$
 $\langle \text{proof} \rangle$

lemma *pochhammer-same*: $\text{pochhammer } (- \text{of-nat } n) \ n = ((-1) ^ n :: 'a :: \{\text{semiring-char-0}, \text{comm-ring-1}, \text{semiring-no-zero-divisors}\}) * \text{fact } n$
 $\langle \text{proof} \rangle$

lemma *pochhammer-product'*: $\text{pochhammer } z \ (n + m) = \text{pochhammer } z \ n * \text{pochhammer } (z + \text{of-nat } n) \ m$
 $\langle \text{proof} \rangle$

lemma *pochhammer-product*:
 $m \leq n \implies \text{pochhammer } z \ n = \text{pochhammer } z \ m * \text{pochhammer } (z + \text{of-nat } m) \ (n - m)$
 $\langle \text{proof} \rangle$

lemma *pochhammer-times-pochhammer-half*:
fixes $z :: 'a :: \text{field-char-0}$
shows $\text{pochhammer } z \ (\text{Suc } n) * \text{pochhammer } (z + 1/2) \ (\text{Suc } n) = (\prod_{k=0..2*n+1} z + \text{of-nat } k / 2)$
 $\langle \text{proof} \rangle$

lemma *pochhammer-double*:
fixes $z :: 'a :: \text{field-char-0}$
shows $\text{pochhammer } (2 * z) \ (2 * n) = \text{of-nat } (2^{(2*n)}) * \text{pochhammer } z \ n * \text{pochhammer } (z + 1/2) \ n$
 $\langle \text{proof} \rangle$

lemma *fact-double*:

fact $(2 * n) = (2 \wedge (2 * n) * \text{pochhammer } (1 / 2) n * \text{fact } n :: 'a::\text{field-char-0})$
 $\langle \text{proof} \rangle$

lemma *pochhammer-absorb-comp*: $(r - \text{of-nat } k) * \text{pochhammer } (-r) k = r * \text{pochhammer } (-r + 1) k$

(**is** *?lhs = ?rhs*)

for $r :: 'a::\text{comm-ring-1}$

$\langle \text{proof} \rangle$

91.3 Misc

lemma *fact-code* [*code*]:

fact $n = (\text{of-nat } (\text{fold-atLeastAtMost-nat } (\text{op } *) 2 n 1) :: 'a::\text{semiring-char-0})$
 $\langle \text{proof} \rangle$

lemma *pochhammer-code* [*code*]:

pochhammer $a n =$

(*if* $n = 0$ *then* 1

else $\text{fold-atLeastAtMost-nat } (\lambda n \text{ acc. } (a + \text{of-nat } n) * \text{acc}) 0 (n - 1) 1)$

$\langle \text{proof} \rangle$

end

92 Binomial Coefficients and Binomial Theorem

theory *Binomial*

imports *Presburger Factorial*

begin

92.1 Binomial coefficients

This development is based on the work of Andy Gordon and Florian Kam-mueller.

Combinatorial definition

definition *binomial* $:: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ (**infixl** *choose* 65)

where $n \text{ choose } k = \text{card } \{K \in \text{Pow } \{0..<n\}. \text{card } K = k\}$

theorem *n-subsets*:

assumes *finite* A

shows $\text{card } \{B. B \subseteq A \wedge \text{card } B = k\} = \text{card } A \text{ choose } k$

$\langle \text{proof} \rangle$

Recursive characterization

lemma *binomial-n-0* [*simp*, *code*]: $n \text{ choose } 0 = 1$

$\langle \text{proof} \rangle$

lemma *binomial-0-Suc* [simp, code]: $0 \text{ choose } \text{Suc } k = 0$
 ⟨proof⟩

lemma *binomial-Suc-Suc* [simp, code]: $\text{Suc } n \text{ choose } \text{Suc } k = (n \text{ choose } k) + (n \text{ choose } \text{Suc } k)$
 ⟨proof⟩

lemma *binomial-eq-0*: $n < k \implies n \text{ choose } k = 0$
 ⟨proof⟩

lemma *zero-less-binomial*: $k \leq n \implies n \text{ choose } k > 0$
 ⟨proof⟩

lemma *binomial-eq-0-iff* [simp]: $n \text{ choose } k = 0 \iff n < k$
 ⟨proof⟩

lemma *zero-less-binomial-iff* [simp]: $n \text{ choose } k > 0 \iff k \leq n$
 ⟨proof⟩

lemma *binomial-n-n* [simp]: $n \text{ choose } n = 1$
 ⟨proof⟩

lemma *binomial-Suc-n* [simp]: $\text{Suc } n \text{ choose } n = \text{Suc } n$
 ⟨proof⟩

lemma *binomial-1* [simp]: $n \text{ choose } \text{Suc } 0 = n$
 ⟨proof⟩

lemma *choose-reduce-nat*:
 $0 < n \implies 0 < k \implies$
 $n \text{ choose } k = ((n - 1) \text{ choose } (k - 1)) + ((n - 1) \text{ choose } k)$
 ⟨proof⟩

lemma *Suc-times-binomial-eq*: $\text{Suc } n * (n \text{ choose } k) = (\text{Suc } n \text{ choose } \text{Suc } k) * \text{Suc } k$
 ⟨proof⟩

lemma *binomial-le-pow2*: $n \text{ choose } k \leq 2^n$
 ⟨proof⟩

The absorption property.

lemma *Suc-times-binomial*: $\text{Suc } k * (\text{Suc } n \text{ choose } \text{Suc } k) = \text{Suc } n * (n \text{ choose } k)$
 ⟨proof⟩

This is the well-known version of absorption, but it’s harder to use because of the need to reason about division.

lemma *binomial-Suc-Suc-eq-times*: $(\text{Suc } n \text{ choose } \text{Suc } k) = (\text{Suc } n * (n \text{ choose } k)) \text{ div } \text{Suc } k$

$\langle proof \rangle$

Another version of absorption, with -1 instead of Suc .

lemma *times-binomial-minus1-eq*: $0 < k \implies k * (n \text{ choose } k) = n * ((n - 1) \text{ choose } (k - 1))$
 $\langle proof \rangle$

92.2 The binomial theorem (courtesy of Tobias Nipkow):

Avigad’s version, generalized to any commutative ring

theorem *binomial-ring*: $(a + b :: 'a :: \{comm-ring-1, power\})^n =$
 $(\sum_{k=0..n}. (of-nat (n \text{ choose } k)) * a^k * b^{(n-k)})$
 $\langle proof \rangle$

Original version for the naturals.

corollary *binomial*: $(a + b :: nat)^n = (\sum_{k=0..n}. (of-nat (n \text{ choose } k)) * a^k * b^{(n-k)})$
 $\langle proof \rangle$

lemma *binomial-fact-lemma*: $k \leq n \implies fact\ k * fact\ (n - k) * (n \text{ choose } k) = fact\ n$
 $\langle proof \rangle$

lemma *binomial-fact'*:
assumes $k \leq n$
shows $n \text{ choose } k = fact\ n \text{ div } (fact\ k * fact\ (n - k))$
 $\langle proof \rangle$

lemma *binomial-fact*:
assumes $kn: k \leq n$
shows $(of-nat (n \text{ choose } k)) :: 'a :: field-char-0 = fact\ n / (fact\ k * fact\ (n - k))$
 $\langle proof \rangle$

lemma *fact-binomial*:
assumes $k \leq n$
shows $fact\ k * of-nat (n \text{ choose } k) = (fact\ n / fact\ (n - k)) :: 'a :: field-char-0$
 $\langle proof \rangle$

lemma *choose-two*: $n \text{ choose } 2 = n * (n - 1) \text{ div } 2$
 $\langle proof \rangle$

lemma *choose-row-sum*: $(\sum_{k=0..n}. n \text{ choose } k) = 2^n$
 $\langle proof \rangle$

lemma *sum-choose-lower*: $(\sum_{k=0..n}. (r+k) \text{ choose } k) = Suc\ (r+n) \text{ choose } n$
 $\langle proof \rangle$

lemma *sum-choose-upper*: $(\sum_{k=0..n}. k \text{ choose } m) = Suc\ n \text{ choose } Suc\ m$

$\langle \text{proof} \rangle$

lemma *choose-alternating-sum*:

$n > 0 \implies (\sum_{i \leq n}. (-1)^i * \text{of-nat } (n \text{ choose } i)) = (0 :: 'a::\text{comm-ring-1})$

$\langle \text{proof} \rangle$

lemma *choose-even-sum*:

assumes $n > 0$

shows $2 * (\sum_{i \leq n}. \text{if even } i \text{ then of-nat } (n \text{ choose } i) \text{ else } 0) = (2^n :: 'a::\text{comm-ring-1})$

$\langle \text{proof} \rangle$

lemma *choose-odd-sum*:

assumes $n > 0$

shows $2 * (\sum_{i \leq n}. \text{if odd } i \text{ then of-nat } (n \text{ choose } i) \text{ else } 0) = (2^n :: 'a::\text{comm-ring-1})$

$\langle \text{proof} \rangle$

lemma *choose-row-sum'*: $(\sum_{k \leq n}. (n \text{ choose } k)) = 2^n$

$\langle \text{proof} \rangle$

NW diagonal sum property

lemma *sum-choose-diagonal*:

assumes $m \leq n$

shows $(\sum_{k=0..m}. (n - k) \text{ choose } (m - k)) = \text{Suc } n \text{ choose } m$

$\langle \text{proof} \rangle$

92.3 Generalized binomial coefficients

definition *gbinomial* :: $'a::\{\text{semidom-divide}, \text{semiring-char-0}\} \Rightarrow \text{nat} \Rightarrow 'a$ (**infixl** *gchoose* 65)

where *gbinomial-prod-rev*: $a \text{ gchoose } n = \text{prod } (\lambda i. a - \text{of-nat } i) \{0..<n\} \text{ div fact } n$

lemma *gbinomial-0* [*simp*]:

$a \text{ gchoose } 0 = 1$

$0 \text{ gchoose } (\text{Suc } n) = 0$

$\langle \text{proof} \rangle$

lemma *gbinomial-Suc*: $a \text{ gchoose } (\text{Suc } k) = \text{prod } (\lambda i. a - \text{of-nat } i) \{0..k\} \text{ div fact } (\text{Suc } k)$

$\langle \text{proof} \rangle$

lemma *gbinomial-mult-fact*: $\text{fact } n * (a \text{ gchoose } n) = (\prod_{i=0..<n}. a - \text{of-nat } i)$

for $a :: 'a::\text{field-char-0}$

$\langle \text{proof} \rangle$

lemma *gbinomial-mult-fact'*: $(a \text{ gchoose } n) * \text{fact } n = (\prod_{i=0..<n}. a - \text{of-nat } i)$

for $a :: 'a::\text{field-char-0}$
 $\langle \text{proof} \rangle$

lemma *gbinomial-pochhammer*: $a \text{ gchoose } n = (-1)^n * \text{pochhammer } (-a) n$
 $/ \text{ fact } n$
for $a :: 'a::\text{field-char-0}$
 $\langle \text{proof} \rangle$

lemma *gbinomial-pochhammer'*: $s \text{ gchoose } n = \text{pochhammer } (s - \text{of-nat } n + 1)$
 $n / \text{ fact } n$
for $s :: 'a::\text{field-char-0}$
 $\langle \text{proof} \rangle$

lemma *gbinomial-binomial*: $n \text{ gchoose } k = n \text{ choose } k$
 $\langle \text{proof} \rangle$

lemma *of-nat-gbinomial*: $\text{of-nat } (n \text{ gchoose } k) = (\text{of-nat } n \text{ gchoose } k :: 'a::\text{field-char-0})$
 $\langle \text{proof} \rangle$

lemma *binomial-gbinomial*: $\text{of-nat } (n \text{ choose } k) = (\text{of-nat } n \text{ gchoose } k :: 'a::\text{field-char-0})$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

lemma *gbinomial-1[simp]*: $a \text{ gchoose } 1 = a$
 $\langle \text{proof} \rangle$

lemma *gbinomial-Suc0[simp]*: $a \text{ gchoose } (\text{Suc } 0) = a$
 $\langle \text{proof} \rangle$

lemma *gbinomial-mult-1*:
fixes $a :: 'a::\text{field-char-0}$
shows $a * (a \text{ gchoose } n) = \text{of-nat } n * (a \text{ gchoose } n) + \text{of-nat } (\text{Suc } n) * (a \text{ gchoose } (\text{Suc } n))$
(is ?l = ?r)
 $\langle \text{proof} \rangle$

lemma *gbinomial-mult-1'*:
 $(a \text{ gchoose } n) * a = \text{of-nat } n * (a \text{ gchoose } n) + \text{of-nat } (\text{Suc } n) * (a \text{ gchoose } (\text{Suc } n))$
for $a :: 'a::\text{field-char-0}$
 $\langle \text{proof} \rangle$

lemma *gbinomial-Suc-Suc*: $(a + 1) \text{ gchoose } (\text{Suc } k) = a \text{ gchoose } k + (a \text{ gchoose } (\text{Suc } k))$
for $a :: 'a::\text{field-char-0}$
 $\langle \text{proof} \rangle$

lemma *gbinomial-reduce-nat*: $0 < k \implies a \text{ gchoose } k = (a - 1) \text{ gchoose } (k - 1)$

+ ((a - 1) gchoose k)
for a :: 'a::field-char-0
 ⟨proof⟩

lemma gchoose-row-sum-weighted:
 ($\sum k = 0..m. (r \text{ gchoose } k) * (r/2 - \text{of-nat } k)$) = of-nat(Suc m) / 2 * (r gchoose (Suc m))
for r :: 'a::field-char-0
 ⟨proof⟩

lemma binomial-symmetric:
assumes kn: $k \leq n$
shows $n \text{ choose } k = n \text{ choose } (n - k)$
 ⟨proof⟩

lemma choose-rising-sum:
 ($\sum j \leq m. ((n + j) \text{ choose } n)$) = ((n + m + 1) choose (n + 1))
 ($\sum j \leq m. ((n + j) \text{ choose } n)$) = ((n + m + 1) choose m)
 ⟨proof⟩

lemma choose-linear-sum: ($\sum i \leq n. i * (n \text{ choose } i)$) = $n * 2^{n-1}$
 ⟨proof⟩

lemma choose-alternating-linear-sum:
assumes $n \neq 1$
shows ($\sum i \leq n. (-1)^i * \text{of-nat } i * \text{of-nat } (n \text{ choose } i)$) :: 'a::comm-ring-1 = 0
 ⟨proof⟩

lemma vandermonde: ($\sum k \leq r. (m \text{ choose } k) * (n \text{ choose } (r - k))$) = (m + n choose r)
 ⟨proof⟩

lemma choose-square-sum: ($\sum k \leq n. (n \text{ choose } k)^2$) = ((2*n) choose n)
 ⟨proof⟩

lemma pochhammer-binomial-sum:
fixes a b :: 'a::comm-ring-1
shows pochhammer (a + b) n = ($\sum k \leq n. \text{of-nat } (n \text{ choose } k) * \text{pochhammer } a \text{ } k * \text{pochhammer } b \text{ } (n - k)$)
 ⟨proof⟩

Contributed by Manuel Eberl, generalised by LCP. Alternative definition of the binomial coefficient as $\prod_{i < k} (n - i) / (k - i)$.

lemma gbinomial-altdef-of-nat: $x \text{ gchoose } k = (\prod_{i = 0..<k} (x - \text{of-nat } i) / \text{of-nat } (k - i))$:: 'a)
for k :: nat **and** x :: 'a::field-char-0
 ⟨proof⟩

lemma gbinomial-ge-n-over-k-pow-k:

fixes $k :: \text{nat}$
and $x :: 'a::\text{linordered-field}$
assumes $\text{of-nat } k \leq x$
shows $(x / \text{of-nat } k :: 'a) ^ k \leq x \text{ gchoose } k$
 $\langle \text{proof} \rangle$

lemma *gbinomial-negated-upper*: $(a \text{ gchoose } b) = (-1) ^ b * ((\text{of-nat } b - a - 1) / \text{gchoose } b)$
 $\langle \text{proof} \rangle$

lemma *gbinomial-minus*: $((-a) \text{ gchoose } b) = (-1) ^ b * ((a + \text{of-nat } b - 1) / \text{gchoose } b)$
 $\langle \text{proof} \rangle$

lemma *Suc-times-gbinomial*: $\text{of-nat } (\text{Suc } b) * ((a + 1) \text{ gchoose } (\text{Suc } b)) = (a + 1) * (a \text{ gchoose } b)$
 $\langle \text{proof} \rangle$

lemma *gbinomial-factors*: $((a + 1) \text{ gchoose } (\text{Suc } b)) = (a + 1) / \text{of-nat } (\text{Suc } b) * (a \text{ gchoose } b)$
 $\langle \text{proof} \rangle$

lemma *gbinomial-rec*: $((r + 1) \text{ gchoose } (\text{Suc } k)) = (r \text{ gchoose } k) * ((r + 1) / \text{of-nat } (\text{Suc } k))$
 $\langle \text{proof} \rangle$

lemma *gbinomial-of-nat-symmetric*: $k \leq n \implies (\text{of-nat } n) \text{ gchoose } k = (\text{of-nat } n) \text{ gchoose } (n - k)$
 $\langle \text{proof} \rangle$

The absorption identity (equation 5.5 [?, p. 157]):

$$\binom{r}{k} = \frac{r}{k} \binom{r-1}{k-1}, \quad \text{integer } k \neq 0.$$

lemma *gbinomial-absorption'*: $k > 0 \implies r \text{ gchoose } k = (r / \text{of-nat } k) * (r - 1 \text{ gchoose } (k - 1))$
 $\langle \text{proof} \rangle$

The absorption identity is written in the following form to avoid division by k (the lower index) and therefore remove the $k \neq 0$ restriction[?, p. 157]:

$$k \binom{r}{k} = r \binom{r-1}{k-1}, \quad \text{integer } k.$$

lemma *gbinomial-absorption*: $\text{of-nat } (\text{Suc } k) * (r \text{ gchoose } \text{Suc } k) = r * ((r - 1) \text{ gchoose } k)$
 $\langle \text{proof} \rangle$

The absorption identity for natural number binomial coefficients:

lemma *binomial-absorption*: $Suc\ k * (n\ choose\ Suc\ k) = n * ((n - 1)\ choose\ k)$
 $\langle proof \rangle$

The absorption companion identity for natural number coefficients, following the proof by GKP [?, p. 157]:

lemma *binomial-absorb-comp*: $(n - k) * (n\ choose\ k) = n * ((n - 1)\ choose\ k)$
 $(is\ ?lhs = ?rhs)$
 $\langle proof \rangle$

The generalised absorption companion identity:

lemma *gbinomial-absorb-comp*: $(r - of\text{-}nat\ k) * (r\ gchoose\ k) = r * ((r - 1)\ gchoose\ k)$
 $\langle proof \rangle$

lemma *gbinomial-addition-formula*:
 $r\ gchoose\ (Suc\ k) = ((r - 1)\ gchoose\ (Suc\ k)) + ((r - 1)\ gchoose\ k)$
 $\langle proof \rangle$

lemma *binomial-addition-formula*:
 $0 < n \implies n\ choose\ (Suc\ k) = ((n - 1)\ choose\ (Suc\ k)) + ((n - 1)\ choose\ k)$
 $\langle proof \rangle$

Equation 5.9 of the reference material [?, p. 159] is a useful summation formula, operating on both indices:

$$\sum_{k \leq n} \binom{r + k}{k} = \binom{r + n + 1}{n}, \quad \text{integer } n.$$

lemma *gbinomial-parallel-sum*: $(\sum k \leq n. (r + of\text{-}nat\ k)\ gchoose\ k) = (r + of\text{-}nat\ n + 1)\ gchoose\ n$
 $\langle proof \rangle$

92.3.1 Summation on the upper index

Another summation formula is equation 5.10 of the reference material [?, p. 160], aptly named *summation on the upper index*:

$$\sum_{0 \leq k \leq n} \binom{k}{m} = \binom{n + 1}{m + 1}, \quad \text{integers } m, n \geq 0.$$

lemma *gbinomial-sum-up-index*:
 $(\sum k = 0..n. (of\text{-}nat\ k\ gchoose\ m) :: 'a::field\text{-}char\text{-}0) = (of\text{-}nat\ n + 1)\ gchoose\ (m + 1)$
 $\langle proof \rangle$

lemma *gbinomial-index-swap*:

$((-1) \wedge m) * ((- (of\text{-}nat\ n) - 1) \text{ } gchoose\ m) = ((-1) \wedge n) * ((- (of\text{-}nat\ m) - 1) \text{ } gchoose\ n)$
 $(\text{is } ?lhs = ?rhs)$
 $\langle proof \rangle$

lemma *gbinomial-sum-lower-neg*: $(\sum k \leq m. (r \text{ } gchoose\ k) * (-1) \wedge k) = (-1) \wedge m * (r - 1 \text{ } gchoose\ m)$
 $(\text{is } ?lhs = ?rhs)$
 $\langle proof \rangle$

lemma *gbinomial-partial-row-sum*:
 $(\sum k \leq m. (r \text{ } gchoose\ k) * ((r / 2) - of\text{-}nat\ k)) = ((of\text{-}nat\ m + 1) / 2) * (r \text{ } gchoose\ (m + 1))$
 $\langle proof \rangle$

lemma *sum-bounds-lt-plus1*: $(\sum k < mm. f\ (Suc\ k)) = (\sum k = 1..mm. f\ k)$
 $\langle proof \rangle$

lemma *gbinomial-partial-sum-poly*:
 $(\sum k \leq m. (of\text{-}nat\ m + r \text{ } gchoose\ k) * x^k * y^{(m-k)}) =$
 $(\sum k \leq m. (-r \text{ } gchoose\ k) * (-x)^k * (x + y)^{(m-k)})$
 $(\text{is } ?lhs\ m = ?rhs\ m)$
 $\langle proof \rangle$

lemma *gbinomial-partial-sum-poly-xpos*:
 $(\sum k \leq m. (of\text{-}nat\ m + r \text{ } gchoose\ k) * x^k * y^{(m-k)}) =$
 $(\sum k \leq m. (of\text{-}nat\ k + r - 1 \text{ } gchoose\ k) * x^k * (x + y)^{(m-k)})$
 $\langle proof \rangle$

lemma *binomial-r-part-sum*: $(\sum k \leq m. (2 * m + 1 \text{ } choose\ k)) = 2 \wedge (2 * m)$
 $\langle proof \rangle$

lemma *gbinomial-r-part-sum*: $(\sum k \leq m. (2 * (of\text{-}nat\ m) + 1 \text{ } gchoose\ k)) = 2 \wedge (2 * m)$
 $(\text{is } ?lhs = ?rhs)$
 $\langle proof \rangle$

lemma *gbinomial-sum-nat-pow2*:
 $(\sum k \leq m. (of\text{-}nat\ (m + k) \text{ } gchoose\ k :: 'a::field\text{-}char\ 0) / 2 \wedge k) = 2 \wedge m$
 $(\text{is } ?lhs = ?rhs)$
 $\langle proof \rangle$

lemma *gbinomial-trinomial-revision*:
assumes $k \leq m$
shows $(r \text{ } gchoose\ m) * (of\text{-}nat\ m \text{ } gchoose\ k) = (r \text{ } gchoose\ k) * (r - of\text{-}nat\ k \text{ } gchoose\ (m - k))$
 $\langle proof \rangle$

Versions of the theorems above for the natural-number version of “choose”

lemma *binomial-altdef-of-nat*:

$k \leq n \implies \text{of-nat } (n \text{ choose } k) = (\prod_{i=0..<k} \text{of-nat } (n - i) / \text{of-nat } (k - i))$
 $:: 'a)$
for $n \ k :: \text{nat}$ **and** $x :: 'a :: \text{field-char-0}$
 $\langle \text{proof} \rangle$

lemma *binomial-ge-n-over-k-pow-k*: $k \leq n \implies (\text{of-nat } n / \text{of-nat } k :: 'a) ^ k \leq \text{of-nat } (n \text{ choose } k)$

for $k \ n :: \text{nat}$ **and** $x :: 'a :: \text{linordered-field}$
 $\langle \text{proof} \rangle$

lemma *binomial-le-pow*:

assumes $r \leq n$
shows $n \text{ choose } r \leq n ^ r$
 $\langle \text{proof} \rangle$

lemma *binomial-altdef-nat*: $k \leq n \implies n \text{ choose } k = \text{fact } n \text{ div } (\text{fact } k * \text{fact } (n - k))$

for $k \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *choose-dvd*:

$k \leq n \implies \text{fact } k * \text{fact } (n - k) \text{ dvd } (\text{fact } n :: 'a :: \{\text{semiring-div}, \text{linordered-semidom}\})$
 $\langle \text{proof} \rangle$

lemma *fact-fact-dvd-fact*:

$\text{fact } k * \text{fact } n \text{ dvd } (\text{fact } (k + n) :: 'a :: \{\text{semiring-div}, \text{linordered-semidom}\})$
 $\langle \text{proof} \rangle$

lemma *choose-mult-lemma*:

$((m + r + k) \text{ choose } (m + k)) * ((m + k) \text{ choose } k) = ((m + r + k) \text{ choose } k) * ((m + r) \text{ choose } m)$
 $(\text{is } ?lhs = -)$
 $\langle \text{proof} \rangle$

The “Subset of a Subset” identity.

lemma *choose-mult*:

$k \leq m \implies m \leq n \implies (n \text{ choose } m) * (m \text{ choose } k) = (n \text{ choose } k) * ((n - k) \text{ choose } (m - k))$
 $\langle \text{proof} \rangle$

92.4 More on Binomial Coefficients

lemma *choose-one*: $n \text{ choose } 1 = n$ **for** $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *card-UNION*:

assumes *finite A*
and $\forall k \in A. \text{finite } k$

shows $\text{card } (\bigcup A) = \text{nat } (\sum I \mid I \subseteq A \wedge I \neq \{\}). (-1) ^ (\text{card } I + 1) * \text{int } (\text{card } (\bigcap I))$
(is $?lhs = ?rhs)$
 $\langle \text{proof} \rangle$

The number of nat lists of length m summing to N is $N + m - 1$ choose N :

lemma *card-length-sum-list-rec*:
assumes $m \geq 1$
shows $\text{card } \{l::\text{nat list. length } l = m \wedge \text{sum-list } l = N\} =$
 $\text{card } \{l. \text{length } l = (m - 1) \wedge \text{sum-list } l = N\} +$
 $\text{card } \{l. \text{length } l = m \wedge \text{sum-list } l + 1 = N\}$
(is $\text{card } ?C = \text{card } ?A + \text{card } ?B)$
 $\langle \text{proof} \rangle$

lemma *card-length-sum-list*: $\text{card } \{l::\text{nat list. size } l = m \wedge \text{sum-list } l = N\} = (N + m - 1) \text{ choose } N$
— by Holden Lee, tidied by Tobias Nipkow
 $\langle \text{proof} \rangle$

lemma *card-disjoint-shuffle*:
assumes $\text{set } xs \cap \text{set } ys = \{\}$
shows $\text{card } (\text{shuffle } xs \ ys) = (\text{length } xs + \text{length } ys) \text{ choose length } xs$
 $\langle \text{proof} \rangle$

lemma *Suc-times-binomial-add*: $\text{Suc } a * (\text{Suc } (a + b) \text{ choose } \text{Suc } a) = \text{Suc } b * (\text{Suc } (a + b) \text{ choose } a)$
— by Lukas Bulwahn
 $\langle \text{proof} \rangle$

92.5 Misc

lemma *gbinomial-code* [code]:
 $a \text{ gchoose } n =$
 $(\text{if } n = 0 \text{ then } 1$
 $\text{else fold-atLeastAtMost-nat } (\lambda n \text{ acc. } (a - \text{of-nat } n) * \text{acc}) \ 0 \ (n - 1) \ 1 / \text{fact } n)$
 $\langle \text{proof} \rangle$

declare $[[\text{code drop: binomial}]]$

lemma *binomial-code* [code]:
 $(n \text{ choose } k) =$
 $(\text{if } k > n \text{ then } 0$
 $\text{else if } 2 * k > n \text{ then } (n \text{ choose } (n - k))$
 $\text{else } (\text{fold-atLeastAtMost-nat } (op *) \ (n - k + 1) \ n \ 1 \ \text{div fact } k))$
 $\langle \text{proof} \rangle$

end

93 Main HOL

Classical Higher-order Logic – only “Main”, excluding real and complex numbers etc.

theory *Main*

imports *Predicate-Compile Quickcheck-Narrowing Extraction Nunchaku BNF-Greatest-Fixpoint
Filter Conditionally-Complete-Lattices Binomial GCD*

begin

Classical Higher-order Logic – only “Main”, excluding real and complex numbers etc.

no-notation

bot (\perp) **and**
top (\top) **and**
inf (**infixl** \sqcap 70) **and**
sup (**infixl** \sqcup 65) **and**
Inf (\sqcap - [900] 900) **and**
Sup (\sqcup - [900] 900) **and**
ordLeq2 (**infix** \leq_o 50) **and**
ordLeq3 (**infix** \leq_o 50) **and**
ordLess2 (**infix** $<_o$ 50) **and**
ordIso2 (**infix** $=_o$ 50) **and**
card-of ($|\cdot|$) **and**
csum (**infixr** $+_c$ 65) **and**
cprod (**infixr** $*_c$ 80) **and**
cexp (**infixr** c 90) **and**
convol ($\langle\langle -, / - \rangle\rangle$)

hide-const (**open**)

*czero cfinite cfinite csum cone ctwo Csum cprod cexp image2 image2p vimage2p
Gr Grp collect
fstS sndS setL setR convol pick-middlep fstOp sndOp csquare relImage relInvImage
Succ Shift
shift proj id-bnf*

hide-fact (**open**) *id-bnf-def type-definition-id-bnf-UNIV*

no-syntax

-INF1 :: *pttrns* \Rightarrow *'b* \Rightarrow *'b* $((\exists \sqcap \cdot / \cdot) [0, 10] 10)$
-INF :: *pttrn* \Rightarrow *'a set* \Rightarrow *'b* \Rightarrow *'b* $((\exists \sqcap \cdot \in \cdot / \cdot) [0, 0, 10] 10)$
-SUP1 :: *pttrns* \Rightarrow *'b* \Rightarrow *'b* $((\exists \sqcup \cdot / \cdot) [0, 10] 10)$
-SUP :: *pttrn* \Rightarrow *'a set* \Rightarrow *'b* \Rightarrow *'b* $((\exists \sqcup \cdot \in \cdot / \cdot) [0, 0, 10] 10)$

end

94 Archimedean Fields, Floor and Ceiling Functions

```
theory Archimedean-Field
imports Main
begin
```

```
lemma cInf-abs-ge:
  fixes S :: 'a::{linordered-idom,conditionally-complete-linorder} set
  assumes S ≠ {}
    and bdd:  $\bigwedge x. x \in S \implies |x| \leq a$ 
  shows  $|Inf S| \leq a$ 
<proof>
```

```
lemma cSup-asclose:
  fixes S :: 'a::{linordered-idom,conditionally-complete-linorder} set
  assumes S: S ≠ {}
    and b:  $\forall x \in S. |x - l| \leq e$ 
  shows  $|Sup S - l| \leq e$ 
<proof>
```

```
lemma cInf-asclose:
  fixes S :: 'a::{linordered-idom,conditionally-complete-linorder} set
  assumes S: S ≠ {}
    and b:  $\forall x \in S. |x - l| \leq e$ 
  shows  $|Inf S - l| \leq e$ 
<proof>
```

94.1 Class of Archimedean fields

Archimedean fields have no infinite elements.

```
class archimedean-field = linordered-field +
  assumes ex-le-of-int:  $\exists z. x \leq of-int z$ 
```

```
lemma ex-less-of-int:  $\exists z. x < of-int z$ 
  for x :: 'a::archimedean-field
<proof>
```

```
lemma ex-of-int-less:  $\exists z. of-int z < x$ 
  for x :: 'a::archimedean-field
<proof>
```

```
lemma reals-Archimedean2:  $\exists n. x < of-nat n$ 
  for x :: 'a::archimedean-field
<proof>
```

```
lemma real-arch-simple:  $\exists n. x \leq of-nat n$ 
  for x :: 'a::archimedean-field
```

<proof>

Archimedean fields have no infinitesimal elements.

lemma *reals-Archimedean*:
fixes $x :: 'a::\text{archimedean-field}$
assumes $0 < x$
shows $\exists n. \text{inverse } (\text{of-nat } (\text{Suc } n)) < x$
<proof>

lemma *ex-inverse-of-nat-less*:
fixes $x :: 'a::\text{archimedean-field}$
assumes $0 < x$
shows $\exists n > 0. \text{inverse } (\text{of-nat } n) < x$
<proof>

lemma *ex-less-of-nat-mult*:
fixes $x :: 'a::\text{archimedean-field}$
assumes $0 < x$
shows $\exists n. y < \text{of-nat } n * x$
<proof>

94.2 Existence and uniqueness of floor function

lemma *exists-least-lemma*:
assumes $\neg P \ 0$ **and** $\exists n. P \ n$
shows $\exists n. \neg P \ n \wedge P \ (\text{Suc } n)$
<proof>

lemma *floor-exists*:
fixes $x :: 'a::\text{archimedean-field}$
shows $\exists z. \text{of-int } z \leq x \wedge x < \text{of-int } (z + 1)$
<proof>

lemma *floor-exists1*: $\exists! z. \text{of-int } z \leq x \wedge x < \text{of-int } (z + 1)$
for $x :: 'a::\text{archimedean-field}$
<proof>

94.3 Floor function

class *floor-ceiling* = *archimedean-field* +
fixes $\text{floor} :: 'a \Rightarrow \text{int } (\lfloor - \rfloor)$
assumes *floor-correct*: $\text{of-int } \lfloor x \rfloor \leq x \wedge x < \text{of-int } (\lfloor x \rfloor + 1)$

lemma *floor-unique*: $\text{of-int } z \leq x \implies x < \text{of-int } z + 1 \implies \lfloor x \rfloor = z$
<proof>

lemma *floor-eq-iff*: $\lfloor x \rfloor = a \longleftrightarrow \text{of-int } a \leq x \wedge x < \text{of-int } a + 1$
<proof>

lemma *of-int-floor-le* [*simp*]: $\text{of-int } \lfloor x \rfloor \leq x$
 $\langle \text{proof} \rangle$

lemma *le-floor-iff*: $z \leq \lfloor x \rfloor \iff \text{of-int } z \leq x$
 $\langle \text{proof} \rangle$

lemma *floor-less-iff*: $\lfloor x \rfloor < z \iff x < \text{of-int } z$
 $\langle \text{proof} \rangle$

lemma *less-floor-iff*: $z < \lfloor x \rfloor \iff \text{of-int } z + 1 \leq x$
 $\langle \text{proof} \rangle$

lemma *floor-le-iff*: $\lfloor x \rfloor \leq z \iff x < \text{of-int } z + 1$
 $\langle \text{proof} \rangle$

lemma *floor-split*[*arith-split*]: $P \lfloor t \rfloor \iff (\forall i. \text{of-int } i \leq t \wedge t < \text{of-int } i + 1 \longrightarrow P i)$
 $\langle \text{proof} \rangle$

lemma *floor-mono*:
 assumes $x \leq y$
 shows $\lfloor x \rfloor \leq \lfloor y \rfloor$
 $\langle \text{proof} \rangle$

lemma *floor-less-cancel*: $\lfloor x \rfloor < \lfloor y \rfloor \implies x < y$
 $\langle \text{proof} \rangle$

lemma *floor-of-int* [*simp*]: $\lfloor \text{of-int } z \rfloor = z$
 $\langle \text{proof} \rangle$

lemma *floor-of-nat* [*simp*]: $\lfloor \text{of-nat } n \rfloor = \text{int } n$
 $\langle \text{proof} \rangle$

lemma *le-floor-add*: $\lfloor x \rfloor + \lfloor y \rfloor \leq \lfloor x + y \rfloor$
 $\langle \text{proof} \rangle$

Floor with numerals.

lemma *floor-zero* [*simp*]: $\lfloor 0 \rfloor = 0$
 $\langle \text{proof} \rangle$

lemma *floor-one* [*simp*]: $\lfloor 1 \rfloor = 1$
 $\langle \text{proof} \rangle$

lemma *floor-numeral* [*simp*]: $\lfloor \text{numeral } v \rfloor = \text{numeral } v$
 $\langle \text{proof} \rangle$

lemma *floor-neg-numeral* [*simp*]: $\lfloor - \text{numeral } v \rfloor = - \text{numeral } v$
 $\langle \text{proof} \rangle$

lemma *zero-le-floor* [simp]: $0 \leq \lfloor x \rfloor \longleftrightarrow 0 \leq x$
 ⟨proof⟩

lemma *one-le-floor* [simp]: $1 \leq \lfloor x \rfloor \longleftrightarrow 1 \leq x$
 ⟨proof⟩

lemma *numeral-le-floor* [simp]: $\text{numeral } v \leq \lfloor x \rfloor \longleftrightarrow \text{numeral } v \leq x$
 ⟨proof⟩

lemma *neg-numeral-le-floor* [simp]: $-\text{numeral } v \leq \lfloor x \rfloor \longleftrightarrow -\text{numeral } v \leq x$
 ⟨proof⟩

lemma *zero-less-floor* [simp]: $0 < \lfloor x \rfloor \longleftrightarrow 1 \leq x$
 ⟨proof⟩

lemma *one-less-floor* [simp]: $1 < \lfloor x \rfloor \longleftrightarrow 2 \leq x$
 ⟨proof⟩

lemma *numeral-less-floor* [simp]: $\text{numeral } v < \lfloor x \rfloor \longleftrightarrow \text{numeral } v + 1 \leq x$
 ⟨proof⟩

lemma *neg-numeral-less-floor* [simp]: $-\text{numeral } v < \lfloor x \rfloor \longleftrightarrow -\text{numeral } v + 1 \leq x$
 ⟨proof⟩

lemma *floor-le-zero* [simp]: $\lfloor x \rfloor \leq 0 \longleftrightarrow x < 1$
 ⟨proof⟩

lemma *floor-le-one* [simp]: $\lfloor x \rfloor \leq 1 \longleftrightarrow x < 2$
 ⟨proof⟩

lemma *floor-le-numeral* [simp]: $\lfloor x \rfloor \leq \text{numeral } v \longleftrightarrow x < \text{numeral } v + 1$
 ⟨proof⟩

lemma *floor-le-neg-numeral* [simp]: $\lfloor x \rfloor \leq -\text{numeral } v \longleftrightarrow x < -\text{numeral } v + 1$
 ⟨proof⟩

lemma *floor-less-zero* [simp]: $\lfloor x \rfloor < 0 \longleftrightarrow x < 0$
 ⟨proof⟩

lemma *floor-less-one* [simp]: $\lfloor x \rfloor < 1 \longleftrightarrow x < 1$
 ⟨proof⟩

lemma *floor-less-numeral* [simp]: $\lfloor x \rfloor < \text{numeral } v \longleftrightarrow x < \text{numeral } v$
 ⟨proof⟩

lemma *floor-less-neg-numeral* [simp]: $\lfloor x \rfloor < -\text{numeral } v \longleftrightarrow x < -\text{numeral } v$
 ⟨proof⟩

lemma *le-mult-floor-Ints*:

assumes $0 \leq a$ $a \in \text{Ints}$

shows $\text{of-int } (\lfloor a \rfloor * \lfloor b \rfloor) \leq (\text{of-int } \lfloor a * b \rfloor :: 'a :: \text{linordered-idom})$

<proof>

Addition and subtraction of integers.

lemma *floor-add-int*: $\lfloor x \rfloor + z = \lfloor x + \text{of-int } z \rfloor$

<proof>

lemma *int-add-floor*: $z + \lfloor x \rfloor = \lfloor \text{of-int } z + x \rfloor$

<proof>

lemma *one-add-floor*: $\lfloor x \rfloor + 1 = \lfloor x + 1 \rfloor$

<proof>

lemma *floor-diff-of-int* [simp]: $\lfloor x - \text{of-int } z \rfloor = \lfloor x \rfloor - z$

<proof>

lemma *floor-uminus-of-int* [simp]: $\lfloor - (\text{of-int } z) \rfloor = - z$

<proof>

lemma *floor-diff-numeral* [simp]: $\lfloor x - \text{numeral } v \rfloor = \lfloor x \rfloor - \text{numeral } v$

<proof>

lemma *floor-diff-one* [simp]: $\lfloor x - 1 \rfloor = \lfloor x \rfloor - 1$

<proof>

lemma *le-mult-floor*:

assumes $0 \leq a$ **and** $0 \leq b$

shows $\lfloor a \rfloor * \lfloor b \rfloor \leq \lfloor a * b \rfloor$

<proof>

lemma *floor-divide-of-int-eq*: $\lfloor \text{of-int } k / \text{of-int } l \rfloor = k \text{ div } l$

for $k \ l :: \text{int}$

<proof>

lemma *floor-divide-of-nat-eq*: $\lfloor \text{of-nat } m / \text{of-nat } n \rfloor = \text{of-nat } (m \text{ div } n)$

for $m \ n :: \text{nat}$

<proof>

94.4 Ceiling function

definition *ceiling* :: $'a :: \text{floor-ceiling} \Rightarrow \text{int}$ ($\lceil - \rceil$)

where $\lceil x \rceil = - \lfloor - x \rfloor$

lemma *ceiling-correct*: $\text{of-int } \lceil x \rceil - 1 < x \wedge x \leq \text{of-int } \lceil x \rceil$

<proof>

lemma *ceiling-unique*: $of-int\ z - 1 < x \implies x \leq of-int\ z \implies \lceil x \rceil = z$
 $\langle proof \rangle$

lemma *ceiling-eq-iff*: $\lceil x \rceil = a \iff of-int\ a - 1 < x \wedge x \leq of-int\ a$
 $\langle proof \rangle$

lemma *le-of-int-ceiling* [simp]: $x \leq of-int\ \lceil x \rceil$
 $\langle proof \rangle$

lemma *ceiling-le-iff*: $\lceil x \rceil \leq z \iff x \leq of-int\ z$
 $\langle proof \rangle$

lemma *less-ceiling-iff*: $z < \lceil x \rceil \iff of-int\ z < x$
 $\langle proof \rangle$

lemma *ceiling-less-iff*: $\lceil x \rceil < z \iff x \leq of-int\ z - 1$
 $\langle proof \rangle$

lemma *le-ceiling-iff*: $z \leq \lceil x \rceil \iff of-int\ z - 1 < x$
 $\langle proof \rangle$

lemma *ceiling-mono*: $x \geq y \implies \lceil x \rceil \geq \lceil y \rceil$
 $\langle proof \rangle$

lemma *ceiling-less-cancel*: $\lceil x \rceil < \lceil y \rceil \implies x < y$
 $\langle proof \rangle$

lemma *ceiling-of-int* [simp]: $\lceil of-int\ z \rceil = z$
 $\langle proof \rangle$

lemma *ceiling-of-nat* [simp]: $\lceil of-nat\ n \rceil = int\ n$
 $\langle proof \rangle$

lemma *ceiling-add-le*: $\lceil x + y \rceil \leq \lceil x \rceil + \lceil y \rceil$
 $\langle proof \rangle$

lemma *mult-ceiling-le*:
 assumes $0 \leq a$ and $0 \leq b$
 shows $\lceil a * b \rceil \leq \lceil a \rceil * \lceil b \rceil$
 $\langle proof \rangle$

lemma *mult-ceiling-le-Ints*:
 assumes $0 \leq a$ and $a \in Ints$
 shows $(of-int\ \lceil a * b \rceil :: 'a :: linordered-idom) \leq of-int(\lceil a \rceil * \lceil b \rceil)$
 $\langle proof \rangle$

lemma *finite-int-segment*:
 fixes $a :: 'a :: floor-ceiling$
 shows $finite\ \{x \in \mathbb{Z}. a \leq x \wedge x \leq b\}$

$\langle proof \rangle$

corollary *finite-abs-int-segment*:

fixes $a :: 'a::floor-ceiling$

shows *finite* $\{k \in \mathbb{Z}. |k| \leq a\}$

$\langle proof \rangle$

Ceiling with numerals.

lemma *ceiling-zero* [simp]: $\lceil 0 \rceil = 0$

$\langle proof \rangle$

lemma *ceiling-one* [simp]: $\lceil 1 \rceil = 1$

$\langle proof \rangle$

lemma *ceiling-numeral* [simp]: $\lceil numeral\ v \rceil = numeral\ v$

$\langle proof \rangle$

lemma *ceiling-neg-numeral* [simp]: $\lceil -\ numeral\ v \rceil = -\ numeral\ v$

$\langle proof \rangle$

lemma *ceiling-le-zero* [simp]: $\lceil x \rceil \leq 0 \longleftrightarrow x \leq 0$

$\langle proof \rangle$

lemma *ceiling-le-one* [simp]: $\lceil x \rceil \leq 1 \longleftrightarrow x \leq 1$

$\langle proof \rangle$

lemma *ceiling-le-numeral* [simp]: $\lceil x \rceil \leq numeral\ v \longleftrightarrow x \leq numeral\ v$

$\langle proof \rangle$

lemma *ceiling-le-neg-numeral* [simp]: $\lceil x \rceil \leq -\ numeral\ v \longleftrightarrow x \leq -\ numeral\ v$

$\langle proof \rangle$

lemma *ceiling-less-zero* [simp]: $\lceil x \rceil < 0 \longleftrightarrow x \leq -1$

$\langle proof \rangle$

lemma *ceiling-less-one* [simp]: $\lceil x \rceil < 1 \longleftrightarrow x \leq 0$

$\langle proof \rangle$

lemma *ceiling-less-numeral* [simp]: $\lceil x \rceil < numeral\ v \longleftrightarrow x \leq numeral\ v - 1$

$\langle proof \rangle$

lemma *ceiling-less-neg-numeral* [simp]: $\lceil x \rceil < -\ numeral\ v \longleftrightarrow x \leq -\ numeral\ v - 1$

$\langle proof \rangle$

lemma *zero-le-ceiling* [simp]: $0 \leq \lceil x \rceil \longleftrightarrow -1 < x$

$\langle proof \rangle$

lemma *one-le-ceiling* [simp]: $1 \leq \lceil x \rceil \longleftrightarrow 0 < x$

$\langle \text{proof} \rangle$

lemma *numeral-le-ceiling* [simp]: $\text{numeral } v \leq \lceil x \rceil \longleftrightarrow \text{numeral } v - 1 < x$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-le-ceiling* [simp]: $-\text{numeral } v \leq \lceil x \rceil \longleftrightarrow -\text{numeral } v - 1 < x$
 $\langle \text{proof} \rangle$

lemma *zero-less-ceiling* [simp]: $0 < \lceil x \rceil \longleftrightarrow 0 < x$
 $\langle \text{proof} \rangle$

lemma *one-less-ceiling* [simp]: $1 < \lceil x \rceil \longleftrightarrow 1 < x$
 $\langle \text{proof} \rangle$

lemma *numeral-less-ceiling* [simp]: $\text{numeral } v < \lceil x \rceil \longleftrightarrow \text{numeral } v < x$
 $\langle \text{proof} \rangle$

lemma *neg-numeral-less-ceiling* [simp]: $-\text{numeral } v < \lceil x \rceil \longleftrightarrow -\text{numeral } v < x$
 $\langle \text{proof} \rangle$

lemma *ceiling-altdef*: $\lceil x \rceil = (\text{if } x = \text{of-int } \lfloor x \rfloor \text{ then } \lfloor x \rfloor \text{ else } \lfloor x \rfloor + 1)$
 $\langle \text{proof} \rangle$

lemma *floor-le-ceiling* [simp]: $\lfloor x \rfloor \leq \lceil x \rceil$
 $\langle \text{proof} \rangle$

Addition and subtraction of integers.

lemma *ceiling-add-of-int* [simp]: $\lceil x + \text{of-int } z \rceil = \lceil x \rceil + z$
 $\langle \text{proof} \rangle$

lemma *ceiling-add-numeral* [simp]: $\lceil x + \text{numeral } v \rceil = \lceil x \rceil + \text{numeral } v$
 $\langle \text{proof} \rangle$

lemma *ceiling-add-one* [simp]: $\lceil x + 1 \rceil = \lceil x \rceil + 1$
 $\langle \text{proof} \rangle$

lemma *ceiling-diff-of-int* [simp]: $\lceil x - \text{of-int } z \rceil = \lceil x \rceil - z$
 $\langle \text{proof} \rangle$

lemma *ceiling-diff-numeral* [simp]: $\lceil x - \text{numeral } v \rceil = \lceil x \rceil - \text{numeral } v$
 $\langle \text{proof} \rangle$

lemma *ceiling-diff-one* [simp]: $\lceil x - 1 \rceil = \lceil x \rceil - 1$
 $\langle \text{proof} \rangle$

lemma *ceiling-split*[arith-split]: $P \lceil t \rceil \longleftrightarrow (\forall i. \text{of-int } i - 1 < t \wedge t \leq \text{of-int } i \longrightarrow P i)$
 $\langle \text{proof} \rangle$

lemma *ceiling-diff-floor-le-1*: $\lceil x \rceil - \lfloor x \rfloor \leq 1$
 $\langle \text{proof} \rangle$

94.5 Negation

lemma *floor-minus*: $\lfloor -x \rfloor = -\lceil x \rceil$
 $\langle \text{proof} \rangle$

lemma *ceiling-minus*: $\lceil -x \rceil = -\lfloor x \rfloor$
 $\langle \text{proof} \rangle$

94.6 Natural numbers

lemma *of-nat-floor*: $r \geq 0 \implies \text{of-nat} (\text{nat } \lfloor r \rfloor) \leq r$
 $\langle \text{proof} \rangle$

lemma *of-nat-ceiling*: $\text{of-nat} (\text{nat } \lceil r \rceil) \geq r$
 $\langle \text{proof} \rangle$

94.7 Frac Function

definition *frac* :: $'a \Rightarrow 'a::\text{floor-ceiling}$
where *frac* $x \equiv x - \text{of-int } \lfloor x \rfloor$

lemma *frac-lt-1*: $\text{frac } x < 1$
 $\langle \text{proof} \rangle$

lemma *frac-eq-0-iff* [*simp*]: $\text{frac } x = 0 \longleftrightarrow x \in \mathbb{Z}$
 $\langle \text{proof} \rangle$

lemma *frac-ge-0* [*simp*]: $\text{frac } x \geq 0$
 $\langle \text{proof} \rangle$

lemma *frac-gt-0-iff* [*simp*]: $\text{frac } x > 0 \longleftrightarrow x \notin \mathbb{Z}$
 $\langle \text{proof} \rangle$

lemma *frac-of-int* [*simp*]: $\text{frac} (\text{of-int } z) = 0$
 $\langle \text{proof} \rangle$

lemma *floor-add*: $\lfloor x + y \rfloor = (\text{if } \text{frac } x + \text{frac } y < 1 \text{ then } \lfloor x \rfloor + \lfloor y \rfloor \text{ else } (\lfloor x \rfloor + \lfloor y \rfloor) + 1)$
 $\langle \text{proof} \rangle$

lemma *floor-add2* [*simp*]: $x \in \mathbb{Z} \vee y \in \mathbb{Z} \implies \lfloor x + y \rfloor = \lfloor x \rfloor + \lfloor y \rfloor$
 $\langle \text{proof} \rangle$

lemma *frac-add*:
 $\text{frac } (x + y) = (\text{if } \text{frac } x + \text{frac } y < 1 \text{ then } \text{frac } x + \text{frac } y \text{ else } (\text{frac } x + \text{frac } y) - 1)$

$\langle \text{proof} \rangle$

lemma *frac-unique-iff*: $\text{frac } x = a \longleftrightarrow x - a \in \mathbb{Z} \wedge 0 \leq a \wedge a < 1$
for $x :: 'a::\text{floor-ceiling}$
 $\langle \text{proof} \rangle$

lemma *frac-eq*: $\text{frac } x = x \longleftrightarrow 0 \leq x \wedge x < 1$
 $\langle \text{proof} \rangle$

lemma *frac-neg*: $\text{frac } (-x) = (\text{if } x \in \mathbb{Z} \text{ then } 0 \text{ else } 1 - \text{frac } x)$
for $x :: 'a::\text{floor-ceiling}$
 $\langle \text{proof} \rangle$

94.8 Rounding to the nearest integer

definition *round* :: $'a::\text{floor-ceiling} \Rightarrow \text{int}$
where $\text{round } x = \lfloor x + 1/2 \rfloor$

lemma *of-int-round-ge*: $\text{of-int } (\text{round } x) \geq x - 1/2$
and *of-int-round-le*: $\text{of-int } (\text{round } x) \leq x + 1/2$
and *of-int-round-abs-le*: $|\text{of-int } (\text{round } x) - x| \leq 1/2$
and *of-int-round-gt*: $\text{of-int } (\text{round } x) > x - 1/2$
 $\langle \text{proof} \rangle$

lemma *round-of-int [simp]*: $\text{round } (\text{of-int } n) = n$
 $\langle \text{proof} \rangle$

lemma *round-0 [simp]*: $\text{round } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *round-1 [simp]*: $\text{round } 1 = 1$
 $\langle \text{proof} \rangle$

lemma *round-numeral [simp]*: $\text{round } (\text{numeral } n) = \text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *round-neg-numeral [simp]*: $\text{round } (-\text{numeral } n) = -\text{numeral } n$
 $\langle \text{proof} \rangle$

lemma *round-of-nat [simp]*: $\text{round } (\text{of-nat } n) = \text{of-nat } n$
 $\langle \text{proof} \rangle$

lemma *round-mono*: $x \leq y \implies \text{round } x \leq \text{round } y$
 $\langle \text{proof} \rangle$

lemma *round-unique*: $\text{of-int } y > x - 1/2 \implies \text{of-int } y \leq x + 1/2 \implies \text{round } x = y$
 $\langle \text{proof} \rangle$

lemma *round-unique'*: $|x - \text{of-int } n| < 1/2 \implies \text{round } x = n$
 ⟨proof⟩

lemma *round-altdef*: $\text{round } x = (\text{if } \text{frac } x \geq 1/2 \text{ then } \lceil x \rceil \text{ else } \lfloor x \rfloor)$
 ⟨proof⟩

lemma *floor-le-round*: $\lfloor x \rfloor \leq \text{round } x$
 ⟨proof⟩

lemma *ceiling-ge-round*: $\lceil x \rceil \geq \text{round } x$
 ⟨proof⟩

lemma *round-diff-minimal*: $|z - \text{of-int } (\text{round } z)| \leq |z - \text{of-int } m|$
 for $z :: 'a::\text{floor-ceiling}$
 ⟨proof⟩

end

95 Rational numbers

theory *Rat*
 imports *Archimedean-Field*
 begin

95.1 Rational numbers as quotient

95.1.1 Construction of the type of rational numbers

definition *ratrel* :: $(\text{int} \times \text{int}) \Rightarrow (\text{int} \times \text{int}) \Rightarrow \text{bool}$
 where $\text{ratrel} = (\lambda x y. \text{snd } x \neq 0 \wedge \text{snd } y \neq 0 \wedge \text{fst } x * \text{snd } y = \text{fst } y * \text{snd } x)$

lemma *ratrel-iff [simp]*: $\text{ratrel } x y \longleftrightarrow \text{snd } x \neq 0 \wedge \text{snd } y \neq 0 \wedge \text{fst } x * \text{snd } y = \text{fst } y * \text{snd } x$
 ⟨proof⟩

lemma *exists-ratrel-refl*: $\exists x. \text{ratrel } x x$
 ⟨proof⟩

lemma *symp-ratrel*: *symp* *ratrel*
 ⟨proof⟩

lemma *transp-ratrel*: *transp* *ratrel*
 ⟨proof⟩

lemma *part-equivp-ratrel*: *part-equivp* *ratrel*
 ⟨proof⟩

quotient-type *rat* = $\text{int} \times \text{int} / \text{partial: ratrel}$
 morphisms *Rep-Rat* *Abs-Rat*

$\langle proof \rangle$

lemma *Domainp-cr-rat* [transfer-domain-rule]: *Domainp pcr-rat* = $(\lambda x. \text{snd } x \neq 0)$

$\langle proof \rangle$

95.1.2 Representation and basic operations

lift-definition *Fract* :: *int* \Rightarrow *int* \Rightarrow *rat*

is $\lambda a \ b. \text{if } b = 0 \text{ then } (0, 1) \text{ else } (a, b)$

$\langle proof \rangle$

lemma *eq-rat*:

$\bigwedge a \ b \ c \ d. b \neq 0 \implies d \neq 0 \implies \text{Fract } a \ b = \text{Fract } c \ d \iff a * d = c * b$

$\bigwedge a. \text{Fract } a \ 0 = \text{Fract } 0 \ 1$

$\bigwedge a \ c. \text{Fract } 0 \ a = \text{Fract } 0 \ c$

$\langle proof \rangle$

lemma *Rat-cases* [case-names *Fract*, cases type: *rat*]:

assumes that: $\bigwedge a \ b. q = \text{Fract } a \ b \implies b > 0 \implies \text{coprime } a \ b \implies C$

shows *C*

$\langle proof \rangle$

lemma *Rat-induct* [case-names *Fract*, induct type: *rat*]:

assumes $\bigwedge a \ b. b > 0 \implies \text{coprime } a \ b \implies P (\text{Fract } a \ b)$

shows *P q*

$\langle proof \rangle$

instantiation *rat* :: *field*

begin

lift-definition *zero-rat* :: *rat* **is** $(0, 1)$

$\langle proof \rangle$

lift-definition *one-rat* :: *rat* **is** $(1, 1)$

$\langle proof \rangle$

lemma *Zero-rat-def*: $0 = \text{Fract } 0 \ 1$

$\langle proof \rangle$

lemma *One-rat-def*: $1 = \text{Fract } 1 \ 1$

$\langle proof \rangle$

lift-definition *plus-rat* :: *rat* \Rightarrow *rat* \Rightarrow *rat*

is $\lambda x \ y. (\text{fst } x * \text{snd } y + \text{fst } y * \text{snd } x, \text{snd } x * \text{snd } y)$

$\langle proof \rangle$

lemma *add-rat* [simp]:

assumes $b \neq 0$ and $d \neq 0$

shows $\text{Fract } a \ b + \text{Fract } c \ d = \text{Fract } (a * d + c * b) \ (b * d)$
 $\langle \text{proof} \rangle$

lift-definition $\text{uminus-rat} :: \text{rat} \Rightarrow \text{rat}$ **is** $\lambda x. (- \text{fst } x, \text{snd } x)$
 $\langle \text{proof} \rangle$

lemma $\text{minus-rat} \ [\text{simp}]$: $-\text{Fract } a \ b = \text{Fract } (-a) \ b$
 $\langle \text{proof} \rangle$

lemma $\text{minus-rat-cancel} \ [\text{simp}]$: $\text{Fract } (-a) \ (-b) = \text{Fract } a \ b$
 $\langle \text{proof} \rangle$

definition diff-rat-def : $q - r = q + -r$ **for** $q \ r :: \text{rat}$

lemma $\text{diff-rat} \ [\text{simp}]$:
 $b \neq 0 \implies d \neq 0 \implies \text{Fract } a \ b - \text{Fract } c \ d = \text{Fract } (a * d - c * b) \ (b * d)$
 $\langle \text{proof} \rangle$

lift-definition $\text{times-rat} :: \text{rat} \Rightarrow \text{rat} \Rightarrow \text{rat}$
is $\lambda x \ y. (\text{fst } x * \text{fst } y, \text{snd } x * \text{snd } y)$
 $\langle \text{proof} \rangle$

lemma $\text{mult-rat} \ [\text{simp}]$: $\text{Fract } a \ b * \text{Fract } c \ d = \text{Fract } (a * c) \ (b * d)$
 $\langle \text{proof} \rangle$

lemma mult-rat-cancel : $c \neq 0 \implies \text{Fract } (c * a) \ (c * b) = \text{Fract } a \ b$
 $\langle \text{proof} \rangle$

lift-definition $\text{inverse-rat} :: \text{rat} \Rightarrow \text{rat}$
is $\lambda x. \text{if } \text{fst } x = 0 \text{ then } (0, 1) \text{ else } (\text{snd } x, \text{fst } x)$
 $\langle \text{proof} \rangle$

lemma $\text{inverse-rat} \ [\text{simp}]$: $\text{inverse } (\text{Fract } a \ b) = \text{Fract } b \ a$
 $\langle \text{proof} \rangle$

definition divide-rat-def : $q \text{ div } r = q * \text{inverse } r$ **for** $q \ r :: \text{rat}$

lemma $\text{divide-rat} \ [\text{simp}]$: $\text{Fract } a \ b \text{ div } \text{Fract } c \ d = \text{Fract } (a * d) \ (b * c)$
 $\langle \text{proof} \rangle$

instance
 $\langle \text{proof} \rangle$

end

lemma $\text{div-add-self1-no-field} \ [\text{simp}]$:
assumes $\text{NO-MATCH } (x :: 'b :: \text{field}) \ b \ (b :: 'a :: \text{semiring-div}) \neq 0$
shows $(b + a) \text{ div } b = a \text{ div } b + 1$

$\langle \text{proof} \rangle$

lemma *div-add-self2-no-field* [simp]:

assumes *NO-MATCH* ($x :: 'b :: \text{field}$) b ($b :: 'a :: \text{semiring-div}$) $\neq 0$

shows $(a + b) \text{ div } b = a \text{ div } b + 1$

$\langle \text{proof} \rangle$

lemma *of-nat-rat*: $\text{of-nat } k = \text{Fract } (\text{of-nat } k) 1$

$\langle \text{proof} \rangle$

lemma *of-int-rat*: $\text{of-int } k = \text{Fract } k 1$

$\langle \text{proof} \rangle$

lemma *Fract-of-nat-eq*: $\text{Fract } (\text{of-nat } k) 1 = \text{of-nat } k$

$\langle \text{proof} \rangle$

lemma *Fract-of-int-eq*: $\text{Fract } k 1 = \text{of-int } k$

$\langle \text{proof} \rangle$

lemma *rat-number-collapse*:

$\text{Fract } 0 k = 0$

$\text{Fract } 1 1 = 1$

$\text{Fract } (\text{numeral } w) 1 = \text{numeral } w$

$\text{Fract } (- \text{numeral } w) 1 = - \text{numeral } w$

$\text{Fract } (- 1) 1 = - 1$

$\text{Fract } k 0 = 0$

$\langle \text{proof} \rangle$

lemma *rat-number-expand*:

$0 = \text{Fract } 0 1$

$1 = \text{Fract } 1 1$

$\text{numeral } k = \text{Fract } (\text{numeral } k) 1$

$- 1 = \text{Fract } (- 1) 1$

$- \text{numeral } k = \text{Fract } (- \text{numeral } k) 1$

$\langle \text{proof} \rangle$

lemma *Rat-cases-nonzero* [case-names *Fract 0*]:

assumes *Fract*: $\bigwedge a b. q = \text{Fract } a b \implies b > 0 \implies a \neq 0 \implies \text{coprime } a b \implies C$

and *0*: $q = 0 \implies C$

shows C

$\langle \text{proof} \rangle$

95.1.3 Function *normalize*

lemma *Fract-coprime*: $\text{Fract } (a \text{ div } \text{gcd } a b) (b \text{ div } \text{gcd } a b) = \text{Fract } a b$

$\langle \text{proof} \rangle$

definition *normalize* :: $\text{int} \times \text{int} \Rightarrow \text{int} \times \text{int}$

where *normalize* $p =$
 (if $\text{snd } p > 0$ then (let $a = \text{gcd } (\text{fst } p) (\text{snd } p)$ in $(\text{fst } p \text{ div } a, \text{snd } p \text{ div } a)$)
 else if $\text{snd } p = 0$ then $(0, 1)$
 else (let $a = - \text{gcd } (\text{fst } p) (\text{snd } p)$ in $(\text{fst } p \text{ div } a, \text{snd } p \text{ div } a)))$

lemma *normalize-crossproduct*:

assumes $q \neq 0 \text{ } s \neq 0$
assumes *normalize* $(p, q) = \text{normalize } (r, s)$
shows $p * s = r * q$

$\langle \text{proof} \rangle$

lemma *normalize-eq*: *normalize* $(a, b) = (p, q) \implies \text{Fract } p \text{ } q = \text{Fract } a \text{ } b$

$\langle \text{proof} \rangle$

lemma *normalize-denom-pos*: *normalize* $r = (p, q) \implies q > 0$

$\langle \text{proof} \rangle$

lemma *normalize-coprime*: *normalize* $r = (p, q) \implies \text{coprime } p \text{ } q$

$\langle \text{proof} \rangle$

lemma *normalize-stable* [simp]: $q > 0 \implies \text{coprime } p \text{ } q \implies \text{normalize } (p, q) = (p, q)$

$\langle \text{proof} \rangle$

lemma *normalize-denom-zero* [simp]: *normalize* $(p, 0) = (0, 1)$

$\langle \text{proof} \rangle$

lemma *normalize-negative* [simp]: $q < 0 \implies \text{normalize } (p, q) = \text{normalize } (-p, -q)$

$\langle \text{proof} \rangle$

Decompose a fraction into normalized, i.e. coprime numerator and denominator:

definition *quotient-of* :: $\text{rat} \Rightarrow \text{int} \times \text{int}$

where *quotient-of* $x =$

(THE pair. $x = \text{Fract } (\text{fst pair}) (\text{snd pair}) \wedge \text{snd pair} > 0 \wedge \text{coprime } (\text{fst pair}) (\text{snd pair}))$

lemma *quotient-of-unique*: $\exists! p. r = \text{Fract } (\text{fst } p) (\text{snd } p) \wedge \text{snd } p > 0 \wedge \text{coprime } (\text{fst } p) (\text{snd } p)$

$\langle \text{proof} \rangle$

lemma *quotient-of-Fract* [code]: *quotient-of* $(\text{Fract } a \text{ } b) = \text{normalize } (a, b)$

$\langle \text{proof} \rangle$

lemma *quotient-of-number* [simp]:

quotient-of $0 = (0, 1)$

quotient-of $1 = (1, 1)$

quotient-of $(\text{numeral } k) = (\text{numeral } k, 1)$

quotient-of $(- 1) = (- 1, 1)$
quotient-of $(- \text{numeral } k) = (- \text{numeral } k, 1)$
 ⟨*proof*⟩

lemma *quotient-of-eq*: *quotient-of* $(\text{Fract } a \ b) = (p, q) \implies \text{Fract } p \ q = \text{Fract } a \ b$
 ⟨*proof*⟩

lemma *quotient-of-denom-pos*: *quotient-of* $r = (p, q) \implies q > 0$
 ⟨*proof*⟩

lemma *quotient-of-denom-pos'*: *snd* $(\text{quotient-of } r) > 0$
 ⟨*proof*⟩

lemma *quotient-of-coprime*: *quotient-of* $r = (p, q) \implies \text{coprime } p \ q$
 ⟨*proof*⟩

lemma *quotient-of-inject*:
 assumes *quotient-of* $a = \text{quotient-of } b$
 shows $a = b$
 ⟨*proof*⟩

lemma *quotient-of-inject-eq*: *quotient-of* $a = \text{quotient-of } b \longleftrightarrow a = b$
 ⟨*proof*⟩

95.1.4 Various

lemma *Fract-of-int-quotient*: *Fract* $k \ l = \text{of-int } k \ / \ \text{of-int } l$
 ⟨*proof*⟩

lemma *Fract-add-one*: $n \neq 0 \implies \text{Fract } (m + n) \ n = \text{Fract } m \ n + 1$
 ⟨*proof*⟩

lemma *quotient-of-div*:
 assumes r : *quotient-of* $r = (n, d)$
 shows $r = \text{of-int } n \ / \ \text{of-int } d$
 ⟨*proof*⟩

95.1.5 The ordered field of rational numbers

lift-definition *positive* :: *rat* \Rightarrow *bool*
 is $\lambda x. 0 < \text{fst } x * \text{snd } x$
 ⟨*proof*⟩

lemma *positive-zero*: $\neg \text{positive } 0$
 ⟨*proof*⟩

lemma *positive-add*: *positive* $x \implies \text{positive } y \implies \text{positive } (x + y)$
 ⟨*proof*⟩

lemma *positive-mult*: *positive* $x \implies \text{positive } y \implies \text{positive } (x * y)$

$\langle proof \rangle$

lemma *positive-minus*: $\neg \text{positive } x \implies x \neq 0 \implies \text{positive } (-x)$
 $\langle proof \rangle$

instantiation *rat* :: *linordered-field*
begin

definition $x < y \longleftrightarrow \text{positive } (y - x)$

definition $x \leq y \longleftrightarrow x < y \vee x = y$ **for** $x\ y :: \text{rat}$

definition $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ **for** $a :: \text{rat}$

definition $\text{sgn } a = (\text{if } a = 0 \text{ then } 0 \text{ else if } 0 < a \text{ then } 1 \text{ else } -1)$ **for** $a :: \text{rat}$

instance
 $\langle proof \rangle$

end

instantiation *rat* :: *distrib-lattice*
begin

definition $(\text{inf} :: \text{rat} \Rightarrow \text{rat} \Rightarrow \text{rat}) = \text{min}$

definition $(\text{sup} :: \text{rat} \Rightarrow \text{rat} \Rightarrow \text{rat}) = \text{max}$

instance
 $\langle proof \rangle$

end

lemma *positive-rat*: $\text{positive } (\text{Fract } a\ b) \longleftrightarrow 0 < a * b$
 $\langle proof \rangle$

lemma *less-rat* [*simp*]:
 $b \neq 0 \implies d \neq 0 \implies \text{Fract } a\ b < \text{Fract } c\ d \longleftrightarrow (a * d) * (b * d) < (c * b) * (b * d)$
 $\langle proof \rangle$

lemma *le-rat* [*simp*]:
 $b \neq 0 \implies d \neq 0 \implies \text{Fract } a\ b \leq \text{Fract } c\ d \longleftrightarrow (a * d) * (b * d) \leq (c * b) * (b * d)$
 $\langle proof \rangle$

lemma *abs-rat* [*simp*, *code*]: $|\text{Fract } a\ b| = \text{Fract } |a|\ |b|$
 $\langle proof \rangle$

lemma *sgn-rat* [*simp*, *code*]: $\text{sgn } (\text{Fract } a \ b) = \text{of-int } (\text{sgn } a * \text{sgn } b)$
 $\langle \text{proof} \rangle$

lemma *Rat-induct-pos* [*case-names* *Fract*, *induct type*: *rat*]:
assumes *step*: $\bigwedge a \ b. \ 0 < b \implies P \ (\text{Fract } a \ b)$
shows $P \ q$
 $\langle \text{proof} \rangle$

lemma *zero-less-Fract-iff*: $0 < b \implies 0 < \text{Fract } a \ b \longleftrightarrow 0 < a$
 $\langle \text{proof} \rangle$

lemma *Fract-less-zero-iff*: $0 < b \implies \text{Fract } a \ b < 0 \longleftrightarrow a < 0$
 $\langle \text{proof} \rangle$

lemma *zero-le-Fract-iff*: $0 < b \implies 0 \leq \text{Fract } a \ b \longleftrightarrow 0 \leq a$
 $\langle \text{proof} \rangle$

lemma *Fract-le-zero-iff*: $0 < b \implies \text{Fract } a \ b \leq 0 \longleftrightarrow a \leq 0$
 $\langle \text{proof} \rangle$

lemma *one-less-Fract-iff*: $0 < b \implies 1 < \text{Fract } a \ b \longleftrightarrow b < a$
 $\langle \text{proof} \rangle$

lemma *Fract-less-one-iff*: $0 < b \implies \text{Fract } a \ b < 1 \longleftrightarrow a < b$
 $\langle \text{proof} \rangle$

lemma *one-le-Fract-iff*: $0 < b \implies 1 \leq \text{Fract } a \ b \longleftrightarrow b \leq a$
 $\langle \text{proof} \rangle$

lemma *Fract-le-one-iff*: $0 < b \implies \text{Fract } a \ b \leq 1 \longleftrightarrow a \leq b$
 $\langle \text{proof} \rangle$

95.1.6 Rationals are an Archimedean field

lemma *rat-floor-lemma*: $\text{of-int } (a \ \text{div } b) \leq \text{Fract } a \ b \wedge \text{Fract } a \ b < \text{of-int } (a \ \text{div } b + 1)$
 $\langle \text{proof} \rangle$

instance *rat* :: *archimedean-field*
 $\langle \text{proof} \rangle$

instantiation *rat* :: *floor-ceiling*
begin

definition [*code del*]: $\lfloor x \rfloor = (\text{THE } z. \ \text{of-int } z \leq x \wedge x < \text{of-int } (z + 1))$ **for** $x :: \text{rat}$

instance
 $\langle \text{proof} \rangle$

end

lemma *floor-Fract*: $\lfloor \text{Fract } a \ b \rfloor = a \ \text{div} \ b$
 $\langle \text{proof} \rangle$

95.2 Linear arithmetic setup

$\langle ML \rangle$

95.3 Embedding from Rationals to other Fields

context *field-char-0*

begin

lift-definition *of-rat* :: $\text{rat} \Rightarrow 'a$
is $\lambda x. \text{of-int } (\text{fst } x) / \text{of-int } (\text{snd } x)$
 $\langle \text{proof} \rangle$

end

lemma *of-rat-rat*: $b \neq 0 \implies \text{of-rat } (\text{Fract } a \ b) = \text{of-int } a / \text{of-int } b$
 $\langle \text{proof} \rangle$

lemma *of-rat-0 [simp]*: $\text{of-rat } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *of-rat-1 [simp]*: $\text{of-rat } 1 = 1$
 $\langle \text{proof} \rangle$

lemma *of-rat-add*: $\text{of-rat } (a + b) = \text{of-rat } a + \text{of-rat } b$
 $\langle \text{proof} \rangle$

lemma *of-rat-minus*: $\text{of-rat } (- a) = - \text{of-rat } a$
 $\langle \text{proof} \rangle$

lemma *of-rat-neg-one [simp]*: $\text{of-rat } (- 1) = - 1$
 $\langle \text{proof} \rangle$

lemma *of-rat-diff*: $\text{of-rat } (a - b) = \text{of-rat } a - \text{of-rat } b$
 $\langle \text{proof} \rangle$

lemma *of-rat-mult*: $\text{of-rat } (a * b) = \text{of-rat } a * \text{of-rat } b$
 $\langle \text{proof} \rangle$

lemma *of-rat-sum*: $\text{of-rat } (\sum a \in A. f \ a) = (\sum a \in A. \text{of-rat } (f \ a))$
 $\langle \text{proof} \rangle$

lemma *of-rat-prod*: $\text{of-rat } (\prod a \in A. f \ a) = (\prod a \in A. \text{of-rat } (f \ a))$
 $\langle \text{proof} \rangle$

lemma *nonzero-of-rat-inverse*: $a \neq 0 \implies \text{of-rat } (\text{inverse } a) = \text{inverse } (\text{of-rat } a)$
 $\langle \text{proof} \rangle$

lemma *of-rat-inverse*: $(\text{of-rat } (\text{inverse } a) :: 'a::\{\text{field-char-0}, \text{field}\}) = \text{inverse } (\text{of-rat } a)$
 $\langle \text{proof} \rangle$

lemma *nonzero-of-rat-divide*: $b \neq 0 \implies \text{of-rat } (a / b) = \text{of-rat } a / \text{of-rat } b$
 $\langle \text{proof} \rangle$

lemma *of-rat-divide*: $(\text{of-rat } (a / b) :: 'a::\{\text{field-char-0}, \text{field}\}) = \text{of-rat } a / \text{of-rat } b$
 $\langle \text{proof} \rangle$

lemma *of-rat-power*: $(\text{of-rat } (a ^ n) :: 'a::\text{field-char-0}) = \text{of-rat } a ^ n$
 $\langle \text{proof} \rangle$

lemma *of-rat-eq-iff* [simp]: $\text{of-rat } a = \text{of-rat } b \longleftrightarrow a = b$
 $\langle \text{proof} \rangle$

lemma *of-rat-eq-0-iff* [simp]: $\text{of-rat } a = 0 \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *zero-eq-of-rat-iff* [simp]: $0 = \text{of-rat } a \longleftrightarrow 0 = a$
 $\langle \text{proof} \rangle$

lemma *of-rat-eq-1-iff* [simp]: $\text{of-rat } a = 1 \longleftrightarrow a = 1$
 $\langle \text{proof} \rangle$

lemma *one-eq-of-rat-iff* [simp]: $1 = \text{of-rat } a \longleftrightarrow 1 = a$
 $\langle \text{proof} \rangle$

lemma *of-rat-less*: $(\text{of-rat } r :: 'a::\text{linordered-field}) < \text{of-rat } s \longleftrightarrow r < s$
 $\langle \text{proof} \rangle$

lemma *of-rat-less-eq*: $(\text{of-rat } r :: 'a::\text{linordered-field}) \leq \text{of-rat } s \longleftrightarrow r \leq s$
 $\langle \text{proof} \rangle$

lemma *of-rat-le-0-iff* [simp]: $(\text{of-rat } r :: 'a::\text{linordered-field}) \leq 0 \longleftrightarrow r \leq 0$
 $\langle \text{proof} \rangle$

lemma *zero-le-of-rat-iff* [simp]: $0 \leq (\text{of-rat } r :: 'a::\text{linordered-field}) \longleftrightarrow 0 \leq r$
 $\langle \text{proof} \rangle$

lemma *of-rat-le-1-iff* [simp]: $(\text{of-rat } r :: 'a::\text{linordered-field}) \leq 1 \longleftrightarrow r \leq 1$
 $\langle \text{proof} \rangle$

lemma *one-le-of-rat-iff* [simp]: $1 \leq (\text{of-rat } r :: 'a::\text{linordered-field}) \longleftrightarrow 1 \leq r$

$\langle proof \rangle$

lemma *of-rat-less-0-iff* [simp]: $(of\text{-}rat\ r :: 'a::linordered\text{-}field) < 0 \longleftrightarrow r < 0$
 $\langle proof \rangle$

lemma *zero-less-of-rat-iff* [simp]: $0 < (of\text{-}rat\ r :: 'a::linordered\text{-}field) \longleftrightarrow 0 < r$
 $\langle proof \rangle$

lemma *of-rat-less-1-iff* [simp]: $(of\text{-}rat\ r :: 'a::linordered\text{-}field) < 1 \longleftrightarrow r < 1$
 $\langle proof \rangle$

lemma *one-less-of-rat-iff* [simp]: $1 < (of\text{-}rat\ r :: 'a::linordered\text{-}field) \longleftrightarrow 1 < r$
 $\langle proof \rangle$

lemma *of-rat-eq-id* [simp]: $of\text{-}rat = id$
 $\langle proof \rangle$

Collapse nested embeddings.

lemma *of-rat-of-nat-eq* [simp]: $of\text{-}rat\ (of\text{-}nat\ n) = of\text{-}nat\ n$
 $\langle proof \rangle$

lemma *of-rat-of-int-eq* [simp]: $of\text{-}rat\ (of\text{-}int\ z) = of\text{-}int\ z$
 $\langle proof \rangle$

lemma *of-rat-numeral-eq* [simp]: $of\text{-}rat\ (numeral\ w) = numeral\ w$
 $\langle proof \rangle$

lemma *of-rat-neg-numeral-eq* [simp]: $of\text{-}rat\ (-\ numeral\ w) = -\ numeral\ w$
 $\langle proof \rangle$

lemmas *zero-rat* = *Zero-rat-def*

lemmas *one-rat* = *One-rat-def*

abbreviation *rat-of-nat* :: $nat \Rightarrow rat$
where $rat\text{-}of\text{-}nat \equiv of\text{-}nat$

abbreviation *rat-of-int* :: $int \Rightarrow rat$
where $rat\text{-}of\text{-}int \equiv of\text{-}int$

95.4 The Set of Rational Numbers

context *field-char-0*
begin

definition *Rats* :: $'a\ set\ (\mathbb{Q})$
where $\mathbb{Q} = range\ of\text{-}rat$

end

lemma *Rats-of-rat* [simp]: *of-rat* $r \in \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-of-int* [simp]: *of-int* $z \in \mathbb{Q}$
 ⟨proof⟩

lemma *Ints-subset-Rats*: $\mathbb{Z} \subseteq \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-of-nat* [simp]: *of-nat* $n \in \mathbb{Q}$
 ⟨proof⟩

lemma *Nats-subset-Rats*: $\mathbb{N} \subseteq \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-number-of* [simp]: *numeral* $w \in \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-0* [simp]: $0 \in \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-1* [simp]: $1 \in \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-add* [simp]: $a \in \mathbb{Q} \implies b \in \mathbb{Q} \implies a + b \in \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-minus* [simp]: $a \in \mathbb{Q} \implies -a \in \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-diff* [simp]: $a \in \mathbb{Q} \implies b \in \mathbb{Q} \implies a - b \in \mathbb{Q}$
 ⟨proof⟩

lemma *Rats-mult* [simp]: $a \in \mathbb{Q} \implies b \in \mathbb{Q} \implies a * b \in \mathbb{Q}$
 ⟨proof⟩

lemma *nonzero-Rats-inverse*: $a \in \mathbb{Q} \implies a \neq 0 \implies \text{inverse } a \in \mathbb{Q}$
for $a :: 'a::\text{field-char-0}$
 ⟨proof⟩

lemma *Rats-inverse* [simp]: $a \in \mathbb{Q} \implies \text{inverse } a \in \mathbb{Q}$
for $a :: 'a::\{\text{field-char-0}, \text{field}\}$
 ⟨proof⟩

lemma *nonzero-Rats-divide*: $a \in \mathbb{Q} \implies b \in \mathbb{Q} \implies b \neq 0 \implies a / b \in \mathbb{Q}$
for $a \ b :: 'a::\text{field-char-0}$
 ⟨proof⟩

lemma *Rats-divide* [simp]: $a \in \mathbb{Q} \implies b \in \mathbb{Q} \implies a / b \in \mathbb{Q}$

for $a\ b :: 'a :: \{\text{field-char-0}, \text{field}\}$
 $\langle \text{proof} \rangle$

lemma *Rats-power* [simp]: $a \in \mathbb{Q} \implies a^n \in \mathbb{Q}$
for $a :: 'a :: \text{field-char-0}$
 $\langle \text{proof} \rangle$

lemma *Rats-cases* [cases set: *Rats*]:
assumes $q \in \mathbb{Q}$
obtains (*of-rat*) r **where** $q = \text{of-rat } r$
 $\langle \text{proof} \rangle$

lemma *Rats-induct* [case-names *of-rat*, induct set: *Rats*]: $q \in \mathbb{Q} \implies (\bigwedge r. P(\text{of-rat } r)) \implies P\ q$
 $\langle \text{proof} \rangle$

lemma *Rats-infinite*: $\neg \text{finite } \mathbb{Q}$
 $\langle \text{proof} \rangle$

95.5 Implementation of rational numbers as pairs of integers

Formal constructor

definition *Frct* :: $\text{int} \times \text{int} \Rightarrow \text{rat}$
where [simp]: $\text{Frct } p = \text{Fract } (\text{fst } p) (\text{snd } p)$

lemma [code abstype]: $\text{Frct } (\text{quotient-of } q) = q$
 $\langle \text{proof} \rangle$

Numerals

declare *quotient-of-Fract* [code abstract]

definition *of-int* :: $\text{int} \Rightarrow \text{rat}$
where [code-abbrev]: $\text{of-int} = \text{Int.of-int}$

hide-const (**open**) *of-int*

lemma *quotient-of-int* [code abstract]: $\text{quotient-of } (\text{Rat.of-int } a) = (a, 1)$
 $\langle \text{proof} \rangle$

lemma [code-unfold]: $\text{numeral } k = \text{Rat.of-int } (\text{numeral } k)$
 $\langle \text{proof} \rangle$

lemma [code-unfold]: $-\text{ numeral } k = \text{Rat.of-int } (-\text{ numeral } k)$
 $\langle \text{proof} \rangle$

lemma *Frct-code-post* [code-post]:

$\text{Frct } (0, a) = 0$

$\text{Frct } (a, 0) = 0$

$\text{Frct } (1, 1) = 1$

$\text{Frct } (\text{numeral } k, 1) = \text{numeral } k$
 $\text{Frct } (1, \text{numeral } k) = 1 / \text{numeral } k$
 $\text{Frct } (\text{numeral } k, \text{numeral } l) = \text{numeral } k / \text{numeral } l$
 $\text{Frct } (- a, b) = - \text{Frct } (a, b)$
 $\text{Frct } (a, - b) = - \text{Frct } (a, b)$
 $- (- \text{Frct } q) = \text{Frct } q$
 $\langle \text{proof} \rangle$

Operations

lemma *rat-zero-code* [code abstract]: $\text{quotient-of } 0 = (0, 1)$
 $\langle \text{proof} \rangle$

lemma *rat-one-code* [code abstract]: $\text{quotient-of } 1 = (1, 1)$
 $\langle \text{proof} \rangle$

lemma *rat-plus-code* [code abstract]:
 $\text{quotient-of } (p + q) = (\text{let } (a, c) = \text{quotient-of } p; (b, d) = \text{quotient-of } q$
 $\text{in normalize } (a * d + b * c, c * d))$
 $\langle \text{proof} \rangle$

lemma *rat-uminus-code* [code abstract]:
 $\text{quotient-of } (- p) = (\text{let } (a, b) = \text{quotient-of } p \text{ in } (- a, b))$
 $\langle \text{proof} \rangle$

lemma *rat-minus-code* [code abstract]:
 $\text{quotient-of } (p - q) =$
 $(\text{let } (a, c) = \text{quotient-of } p; (b, d) = \text{quotient-of } q$
 $\text{in normalize } (a * d - b * c, c * d))$
 $\langle \text{proof} \rangle$

lemma *rat-times-code* [code abstract]:
 $\text{quotient-of } (p * q) =$
 $(\text{let } (a, c) = \text{quotient-of } p; (b, d) = \text{quotient-of } q$
 $\text{in normalize } (a * b, c * d))$
 $\langle \text{proof} \rangle$

lemma *rat-inverse-code* [code abstract]:
 $\text{quotient-of } (\text{inverse } p) =$
 $(\text{let } (a, b) = \text{quotient-of } p$
 $\text{in if } a = 0 \text{ then } (0, 1) \text{ else } (\text{sgn } a * b, |a|))$
 $\langle \text{proof} \rangle$

lemma *rat-divide-code* [code abstract]:
 $\text{quotient-of } (p / q) =$
 $(\text{let } (a, c) = \text{quotient-of } p; (b, d) = \text{quotient-of } q$
 $\text{in normalize } (a * d, c * b))$
 $\langle \text{proof} \rangle$

lemma *rat-abs-code* [code abstract]: $\text{quotient-of } |p| = (\text{let } (a, b) = \text{quotient-of } p$

in ($|a|$, b)
 $\langle proof \rangle$

lemma *rat-sgn-code* [*code abstract*]: *quotient-of* (*sgn* p) = (*sgn* (*fst* (*quotient-of* p))), 1)
 $\langle proof \rangle$

lemma *rat-floor-code* [*code*]: $\lfloor p \rfloor = (\text{let } (a, b) = \text{quotient-of } p \text{ in } a \text{ div } b)$
 $\langle proof \rangle$

instantiation *rat* :: *equal*
begin

definition [*code*]: *HOL.equal* $a \ b \longleftrightarrow \text{quotient-of } a = \text{quotient-of } b$

instance
 $\langle proof \rangle$

lemma *rat-eq-refl* [*code nbe*]: *HOL.equal* (*r*::*rat*) $r \longleftrightarrow \text{True}$
 $\langle proof \rangle$

end

lemma *rat-less-eq-code* [*code*]:
 $p \leq q \longleftrightarrow (\text{let } (a, c) = \text{quotient-of } p; (b, d) = \text{quotient-of } q \text{ in } a * d \leq c * b)$
 $\langle proof \rangle$

lemma *rat-less-code* [*code*]:
 $p < q \longleftrightarrow (\text{let } (a, c) = \text{quotient-of } p; (b, d) = \text{quotient-of } q \text{ in } a * d < c * b)$
 $\langle proof \rangle$

lemma [*code*]: *of-rat* $p = (\text{let } (a, b) = \text{quotient-of } p \text{ in } \text{of-int } a / \text{of-int } b)$
 $\langle proof \rangle$

Quickcheck

definition (*in term-syntax*)
valterm-fract :: *int* \times (*unit* \Rightarrow *Code-Evaluation.term*) \Rightarrow
int \times (*unit* \Rightarrow *Code-Evaluation.term*) \Rightarrow
rat \times (*unit* \Rightarrow *Code-Evaluation.term*)
where [*code-unfold*]: *valterm-fract* $k \ l = \text{Code-Evaluation.valtermify Fract } \{ \cdot \} \ k \ \{ \cdot \} \ l$

notation *fcomp* (**infixl** $\circ > 60$)
notation *scomp* (**infixl** $\circ \rightarrow 60$)

instantiation *rat* :: *random*
begin

definition

```

Quickcheck-Random.random i =
  Quickcheck-Random.random i ◦→ (λnum. Random.range i ◦→ (λdenom. Pair
    (let j = int-of-integer (integer-of-natural (denom + 1))
    in valterm-fract num (j, λu. Code-Evaluation.term-of j))))

instance ⟨proof⟩

end

no-notation fcomp (infixl ◦> 60)
no-notation scomp (infixl ◦→ 60)

instantiation rat :: exhaustive
begin

definition
  exhaustive-rat f d =
    Quickcheck-Exhaustive.exhaustive
      (λl. Quickcheck-Exhaustive.exhaustive
        (λk. f (Fract k (int-of-integer (integer-of-natural l) + 1))) d) d

instance ⟨proof⟩

end

instantiation rat :: full-exhaustive
begin

definition
  full-exhaustive-rat f d =
    Quickcheck-Exhaustive.full-exhaustive
      (λ(l, -). Quickcheck-Exhaustive.full-exhaustive
        (λk. f
          (let j = int-of-integer (integer-of-natural l) + 1
          in valterm-fract k (j, λ-. Code-Evaluation.term-of j))) d) d

instance ⟨proof⟩

end

instance rat :: partial-term-of ⟨proof⟩

lemma [code]:
  partial-term-of (ty :: rat itself) (Quickcheck-Narrowing.Narrowing-variable p tt)
  ≡
    Code-Evaluation.Free (STR "'-") (Typerep.Typerep (STR "'Rat.rat'") [])
  partial-term-of (ty :: rat itself) (Quickcheck-Narrowing.Narrowing-constructor 0
  [l, k]) ≡
    Code-Evaluation.App

```

```

    (Code-Evaluation.Const (STR "Rat.Frct")
      (Typerep.Typerep (STR "fun")
        [Typerep.Typerep (STR "Product-Type.prod")
          [Typerep.Typerep (STR "Int.int") [], Typerep.Typerep (STR "Int.int")
            []],
            Typerep.Typerep (STR "Rat.rat") []]))
    (Code-Evaluation.App
      (Code-Evaluation.App
        (Code-Evaluation.Const (STR "Product-Type.Pair")
          (Typerep.Typerep (STR "fun")
            [Typerep.Typerep (STR "Int.int") [],
              Typerep.Typerep (STR "fun")
                [Typerep.Typerep (STR "Int.int") [],
                  Typerep.Typerep (STR "Product-Type.prod")
                    [Typerep.Typerep (STR "Int.int") [], Typerep.Typerep (STR "Int.int")
                      []]]))
            []]))
      []))
    (partial-term-of (TYPE(int)) l) (partial-term-of (TYPE(int)) k))
  ⟨proof⟩

```

instantiation *rat* :: *narrowing*
begin

definition

```

  narrowing =
    Quickcheck-Narrowing.apply
      (Quickcheck-Narrowing.apply
        (Quickcheck-Narrowing.cons (λnom denom. Fract nom denom)) narrowing)
  narrowing

```

instance ⟨*proof*⟩

end

95.6 Setup for Nitpick

⟨*ML*⟩

```

lemmas [nitpick-unfold] =
  inverse-rat-inst.inverse-rat
  one-rat-inst.one-rat ord-rat-inst.less-rat
  ord-rat-inst.less-eq-rat plus-rat-inst.plus-rat times-rat-inst.times-rat
  uminus-rat-inst.uminus-rat zero-rat-inst.zero-rat

```

95.7 Float syntax

syntax *-Float* :: *float-const* ⇒ 'a (-)

⟨*ML*⟩

Test:

lemma $123.456 = -111.111 + 200 + 30 + 4 + 5/10 + 6/100 + (7/1000::rat)$
 ⟨proof⟩

95.8 Hiding implementation details

hide-const (open) *normalize positive*

lifting-update *rat.lifting*

lifting-forget *rat.lifting*

end

96 Development of the Reals using Cauchy Sequences

theory *Real*

imports *Rat*

begin

This theory contains a formalization of the real numbers as equivalence classes of Cauchy sequences of rationals. See `~/src/HOL/ex/Dedekind_Real.thy` for an alternative construction using Dedekind cuts.

96.1 Preliminary lemmas

lemma *inj-add-left [simp]: inj (op + x)*
for $x :: 'a::cancel-semigroup-add$
 ⟨proof⟩

lemma *inj-mult-left [simp]: inj (op * x) $\longleftrightarrow x \neq 0$*
for $x :: 'a::idom$
 ⟨proof⟩

lemma *add-diff-add: $(a + c) - (b + d) = (a - b) + (c - d)$*
for $a\ b\ c\ d :: 'a::ab-group-add$
 ⟨proof⟩

lemma *minus-diff-minus: $- a - - b = - (a - b)$*
for $a\ b :: 'a::ab-group-add$
 ⟨proof⟩

lemma *mult-diff-mult: $(x * y - a * b) = x * (y - b) + (x - a) * b$*
for $x\ y\ a\ b :: 'a::ring$
 ⟨proof⟩

lemma *inverse-diff-inverse:*
fixes $a\ b :: 'a::division-ring$
assumes $a \neq 0$ **and** $b \neq 0$

shows $\text{inverse } a - \text{inverse } b = - (\text{inverse } a * (a - b) * \text{inverse } b)$
 $\langle \text{proof} \rangle$

lemma *obtain-pos-sum*:

fixes $r :: \text{rat}$ **assumes** $r: 0 < r$

obtains $s \ t$ **where** $0 < s$ **and** $0 < t$ **and** $r = s + t$

$\langle \text{proof} \rangle$

96.2 Sequences that converge to zero

definition *vanishes* $:: (\text{nat} \Rightarrow \text{rat}) \Rightarrow \text{bool}$

where $\text{vanishes } X \longleftrightarrow (\forall r > 0. \exists k. \forall n \geq k. |X \ n| < r)$

lemma *vanishesI*: $(\bigwedge r. 0 < r \Longrightarrow \exists k. \forall n \geq k. |X \ n| < r) \Longrightarrow \text{vanishes } X$

$\langle \text{proof} \rangle$

lemma *vanishesD*: $\text{vanishes } X \Longrightarrow 0 < r \Longrightarrow \exists k. \forall n \geq k. |X \ n| < r$

$\langle \text{proof} \rangle$

lemma *vanishes-const* [*simp*]: $\text{vanishes } (\lambda n. c) \longleftrightarrow c = 0$

$\langle \text{proof} \rangle$

lemma *vanishes-minus*: $\text{vanishes } X \Longrightarrow \text{vanishes } (\lambda n. - X \ n)$

$\langle \text{proof} \rangle$

lemma *vanishes-add*:

assumes $X: \text{vanishes } X$

and $Y: \text{vanishes } Y$

shows $\text{vanishes } (\lambda n. X \ n + Y \ n)$

$\langle \text{proof} \rangle$

lemma *vanishes-diff*:

assumes $\text{vanishes } X \ \text{vanishes } Y$

shows $\text{vanishes } (\lambda n. X \ n - Y \ n)$

$\langle \text{proof} \rangle$

lemma *vanishes-mult-bounded*:

assumes $X: \exists a > 0. \forall n. |X \ n| < a$

assumes $Y: \text{vanishes } (\lambda n. Y \ n)$

shows $\text{vanishes } (\lambda n. X \ n * Y \ n)$

$\langle \text{proof} \rangle$

96.3 Cauchy sequences

definition *cauchy* $:: (\text{nat} \Rightarrow \text{rat}) \Rightarrow \text{bool}$

where $\text{cauchy } X \longleftrightarrow (\forall r > 0. \exists k. \forall m \geq k. \forall n \geq k. |X \ m - X \ n| < r)$

lemma *cauchyI*: $(\bigwedge r. 0 < r \Longrightarrow \exists k. \forall m \geq k. \forall n \geq k. |X \ m - X \ n| < r) \Longrightarrow$

$\text{cauchy } X$

$\langle \text{proof} \rangle$

lemma *cauchyD*: *cauchy* $X \implies 0 < r \implies \exists k. \forall m \geq k. \forall n \geq k. |X\ m - X\ n| < r$
 ⟨proof⟩

lemma *cauchy-const* [*simp*]: *cauchy* $(\lambda n. x)$
 ⟨proof⟩

lemma *cauchy-add* [*simp*]:
 assumes X : *cauchy* X and Y : *cauchy* Y
 shows *cauchy* $(\lambda n. X\ n + Y\ n)$
 ⟨proof⟩

lemma *cauchy-minus* [*simp*]:
 assumes X : *cauchy* X
 shows *cauchy* $(\lambda n. - X\ n)$
 ⟨proof⟩

lemma *cauchy-diff* [*simp*]:
 assumes *cauchy* X *cauchy* Y
 shows *cauchy* $(\lambda n. X\ n - Y\ n)$
 ⟨proof⟩

lemma *cauchy-imp-bounded*:
 assumes *cauchy* X
 shows $\exists b > 0. \forall n. |X\ n| < b$
 ⟨proof⟩

lemma *cauchy-mult* [*simp*]:
 assumes X : *cauchy* X and Y : *cauchy* Y
 shows *cauchy* $(\lambda n. X\ n * Y\ n)$
 ⟨proof⟩

lemma *cauchy-not-vanishes-cases*:
 assumes X : *cauchy* X
 assumes nz : \neg *vanishes* X
 shows $\exists b > 0. \exists k. (\forall n \geq k. b < - X\ n) \vee (\forall n \geq k. b < X\ n)$
 ⟨proof⟩

lemma *cauchy-not-vanishes*:
 assumes X : *cauchy* X
 and nz : \neg *vanishes* X
 shows $\exists b > 0. \exists k. \forall n \geq k. b < |X\ n|$
 ⟨proof⟩

lemma *cauchy-inverse* [*simp*]:
 assumes X : *cauchy* X
 and nz : \neg *vanishes* X
 shows *cauchy* $(\lambda n. \text{inverse } (X\ n))$
 ⟨proof⟩

lemma *vanishes-diff-inverse*:
assumes X : *cauchy* $X \neg \text{vanishes } X$
and Y : *cauchy* $Y \neg \text{vanishes } Y$
and XY : *vanishes* $(\lambda n. X\ n - Y\ n)$
shows *vanishes* $(\lambda n. \text{inverse } (X\ n) - \text{inverse } (Y\ n))$
 $\langle \text{proof} \rangle$

96.4 Equivalence relation on Cauchy sequences

definition *realrel* :: $(\text{nat} \Rightarrow \text{rat}) \Rightarrow (\text{nat} \Rightarrow \text{rat}) \Rightarrow \text{bool}$
where *realrel* = $(\lambda X\ Y. \text{cauchy } X \wedge \text{cauchy } Y \wedge \text{vanishes } (\lambda n. X\ n - Y\ n))$

lemma *realrelI* [*intro?*]: *cauchy* $X \Longrightarrow \text{cauchy } Y \Longrightarrow \text{vanishes } (\lambda n. X\ n - Y\ n)$
 $\Longrightarrow \text{realrel } X\ Y$
 $\langle \text{proof} \rangle$

lemma *realrel-refl*: *cauchy* $X \Longrightarrow \text{realrel } X\ X$
 $\langle \text{proof} \rangle$

lemma *symp-realrel*: *symp* *realrel*
 $\langle \text{proof} \rangle$

lemma *transp-realrel*: *transp* *realrel*
 $\langle \text{proof} \rangle$

lemma *part-equivp-realrel*: *part-equivp* *realrel*
 $\langle \text{proof} \rangle$

96.5 The field of real numbers

quotient-type *real* = $\text{nat} \Rightarrow \text{rat}$ / *partial*: *realrel*
morphisms *rep-real* *Real*
 $\langle \text{proof} \rangle$

lemma *cr-real-eq*: *pcr-real* = $(\lambda x\ y. \text{cauchy } x \wedge \text{Real } x = y)$
 $\langle \text{proof} \rangle$

lemma *Real-induct* [*induct type*: *real*]:
assumes $\bigwedge X. \text{cauchy } X \Longrightarrow P\ (\text{Real } X)$
shows $P\ x$
 $\langle \text{proof} \rangle$

lemma *eq-Real*: *cauchy* $X \Longrightarrow \text{cauchy } Y \Longrightarrow \text{Real } X = \text{Real } Y \longleftrightarrow \text{vanishes } (\lambda n. X\ n - Y\ n)$
 $\langle \text{proof} \rangle$

lemma *Domainp-pcr-real* [*transfer-domain-rule*]: *Domainp* *pcr-real* = *cauchy*
 $\langle \text{proof} \rangle$

instantiation *real* :: *field*
begin

lift-definition *zero-real* :: *real* **is** $\lambda n. 0$
 $\langle proof \rangle$

lift-definition *one-real* :: *real* **is** $\lambda n. 1$
 $\langle proof \rangle$

lift-definition *plus-real* :: *real* \Rightarrow *real* \Rightarrow *real* **is** $\lambda X Y n. X\ n + Y\ n$
 $\langle proof \rangle$

lift-definition *uminus-real* :: *real* \Rightarrow *real* **is** $\lambda X n. -\ X\ n$
 $\langle proof \rangle$

lift-definition *times-real* :: *real* \Rightarrow *real* \Rightarrow *real* **is** $\lambda X Y n. X\ n * Y\ n$
 $\langle proof \rangle$

lift-definition *inverse-real* :: *real* \Rightarrow *real*
is $\lambda X. \text{if vanishes } X \text{ then } (\lambda n. 0) \text{ else } (\lambda n. \text{inverse } (X\ n))$
 $\langle proof \rangle$

definition $x - y = x + -\ y$ **for** $x\ y :: \text{real}$

definition $x \text{ div } y = x * \text{inverse } y$ **for** $x\ y :: \text{real}$

lemma *add-Real*: $\text{cauchy } X \Longrightarrow \text{cauchy } Y \Longrightarrow \text{Real } X + \text{Real } Y = \text{Real } (\lambda n. X\ n + Y\ n)$
 $\langle proof \rangle$

lemma *minus-Real*: $\text{cauchy } X \Longrightarrow -\ \text{Real } X = \text{Real } (\lambda n. -\ X\ n)$
 $\langle proof \rangle$

lemma *diff-Real*: $\text{cauchy } X \Longrightarrow \text{cauchy } Y \Longrightarrow \text{Real } X - \text{Real } Y = \text{Real } (\lambda n. X\ n - Y\ n)$
 $\langle proof \rangle$

lemma *mult-Real*: $\text{cauchy } X \Longrightarrow \text{cauchy } Y \Longrightarrow \text{Real } X * \text{Real } Y = \text{Real } (\lambda n. X\ n * Y\ n)$
 $\langle proof \rangle$

lemma *inverse-Real*:
 $\text{cauchy } X \Longrightarrow \text{inverse } (\text{Real } X) = (\text{if vanishes } X \text{ then } 0 \text{ else } \text{Real } (\lambda n. \text{inverse } (X\ n)))$
 $\langle proof \rangle$

instance
 $\langle proof \rangle$

end

96.6 Positive reals

lift-definition *positive* :: *real* \Rightarrow *bool*
is $\lambda X. \exists r > 0. \exists k. \forall n \geq k. r < X\ n$
 $\langle \text{proof} \rangle$

lemma *positive-Real*: *cauchy* *X* \Longrightarrow *positive* (*Real* *X*) $\longleftrightarrow (\exists r > 0. \exists k. \forall n \geq k. r < X\ n)$
 $\langle \text{proof} \rangle$

lemma *positive-zero*: \neg *positive* 0
 $\langle \text{proof} \rangle$

lemma *positive-add*: *positive* *x* \Longrightarrow *positive* *y* \Longrightarrow *positive* (*x* + *y*)
 $\langle \text{proof} \rangle$

lemma *positive-mult*: *positive* *x* \Longrightarrow *positive* *y* \Longrightarrow *positive* (*x* * *y*)
 $\langle \text{proof} \rangle$

lemma *positive-minus*: \neg *positive* *x* $\Longrightarrow x \neq 0 \Longrightarrow$ *positive* ($- x$)
 $\langle \text{proof} \rangle$

instantiation *real* :: *linordered-field*
begin

definition $x < y \longleftrightarrow$ *positive* (*y* - *x*)

definition $x \leq y \longleftrightarrow x < y \vee x = y$ **for** *x y* :: *real*

definition $|a| = (\text{if } a < 0 \text{ then } - a \text{ else } a)$ **for** *a* :: *real*

definition *sgn* *a* = (*if* *a* = 0 *then* 0 *else if* 0 < *a* *then* 1 *else* - 1) **for** *a* :: *real*

instance
 $\langle \text{proof} \rangle$

end

instantiation *real* :: *distrib-lattice*
begin

definition (*inf* :: *real* \Rightarrow *real* \Rightarrow *real*) = *min*

definition (*sup* :: *real* \Rightarrow *real* \Rightarrow *real*) = *max*

instance
 $\langle \text{proof} \rangle$

end

lemma *of-nat-Real*: *of-nat* $x = \text{Real } (\lambda n. \text{of-nat } x)$
 $\langle \text{proof} \rangle$

lemma *of-int-Real*: *of-int* $x = \text{Real } (\lambda n. \text{of-int } x)$
 $\langle \text{proof} \rangle$

lemma *of-rat-Real*: *of-rat* $x = \text{Real } (\lambda n. x)$
 $\langle \text{proof} \rangle$

instance *real* :: *archimedean-field*
 $\langle \text{proof} \rangle$

instantiation *real* :: *floor-ceiling*
begin

definition [*code del*]: $\lfloor x :: \text{real} \rfloor = (\text{THE } z. \text{of-int } z \leq x \wedge x < \text{of-int } (z + 1))$

instance
 $\langle \text{proof} \rangle$

end

96.7 Completeness

lemma *not-positive-Real*: $\neg \text{positive } (\text{Real } X) \longleftrightarrow (\forall r > 0. \exists k. \forall n \geq k. X\ n \leq r)$
if *cauchy* X
 $\langle \text{proof} \rangle$

lemma *le-Real*:
assumes *cauchy* X *cauchy* Y
shows $\text{Real } X \leq \text{Real } Y = (\forall r > 0. \exists k. \forall n \geq k. X\ n \leq Y\ n + r)$
 $\langle \text{proof} \rangle$

lemma *le-RealI*:
assumes Y : *cauchy* Y
shows $\forall n. x \leq \text{of-rat } (Y\ n) \implies x \leq \text{Real } Y$
 $\langle \text{proof} \rangle$

lemma *Real-leI*:
assumes X : *cauchy* X
assumes *le*: $\forall n. \text{of-rat } (X\ n) \leq y$
shows $\text{Real } X \leq y$
 $\langle \text{proof} \rangle$

lemma *less-RealD*:
assumes *cauchy* Y

shows $x < \text{Real } Y \implies \exists n. x < \text{of-rat } (Y \ n)$
 $\langle \text{proof} \rangle$

lemma *of-nat-less-two-power* [simp]: $\text{of-nat } n < (2::'a::\text{linordered-idom}) ^ n$
 $\langle \text{proof} \rangle$

lemma *complete-real*:
fixes $S :: \text{real set}$
assumes $\exists x. x \in S$ **and** $\exists z. \forall x \in S. x \leq z$
shows $\exists y. (\forall x \in S. x \leq y) \wedge (\forall z. (\forall x \in S. x \leq z) \longrightarrow y \leq z)$
 $\langle \text{proof} \rangle$

instantiation *real* :: *linear-continuum*
begin

96.8 Supremum of a set of reals

definition $\text{Sup } X = (\text{LEAST } z::\text{real}. \forall x \in X. x \leq z)$
definition $\text{Inf } X = - \text{Sup } (\text{uminus } ' X)$ **for** $X :: \text{real set}$

instance
 $\langle \text{proof} \rangle$

end

96.9 Hiding implementation details

hide-const (**open**) *vanishes cauchy positive Real*

declare *Real-induct* [induct del]
declare *Abs-real-induct* [induct del]
declare *Abs-real-cases* [cases del]

lifting-update *real.lifting*
lifting-forget *real.lifting*

96.10 More Lemmas

BH: These lemmas should not be necessary; they should be covered by existing simp rules and simplification procedures.

lemma *real-mult-less-iff1* [simp]: $0 < z \implies x * z < y * z \longleftrightarrow x < y$
for $x \ y \ z :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *real-mult-le-cancel-iff1* [simp]: $0 < z \implies x * z \leq y * z \longleftrightarrow x \leq y$
for $x \ y \ z :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *real-mult-le-cancel-iff2* [simp]: $0 < z \implies z * x \leq z * y \longleftrightarrow x \leq y$

for $x\ y\ z :: \text{real}$
 $\langle \text{proof} \rangle$

96.11 Embedding numbers into the Reals

abbreviation $\text{real-of-nat} :: \text{nat} \Rightarrow \text{real}$
where $\text{real-of-nat} \equiv \text{of-nat}$

abbreviation $\text{real} :: \text{nat} \Rightarrow \text{real}$
where $\text{real} \equiv \text{of-nat}$

abbreviation $\text{real-of-int} :: \text{int} \Rightarrow \text{real}$
where $\text{real-of-int} \equiv \text{of-int}$

abbreviation $\text{real-of-rat} :: \text{rat} \Rightarrow \text{real}$
where $\text{real-of-rat} \equiv \text{of-rat}$

declare $[[\text{coercion-enabled}]]$

declare $[[\text{coercion of-nat} :: \text{nat} \Rightarrow \text{int}]]$
declare $[[\text{coercion of-nat} :: \text{nat} \Rightarrow \text{real}]]$
declare $[[\text{coercion of-int} :: \text{int} \Rightarrow \text{real}]]$

declare $[[\text{coercion-map map}]]$
declare $[[\text{coercion-map } \lambda f\ g\ h\ x. g\ (h\ (f\ x))]]$
declare $[[\text{coercion-map } \lambda f\ g\ (x,y). (f\ x, g\ y)]]$

declare $\text{of-int-eq-0-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-eq-1-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-eq-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-less-0-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-less-1-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-less-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-le-0-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-le-1-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-le-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-0-less-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-0-le-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-1-less-iff} [\text{algebra}, \text{presburger}]$
declare $\text{of-int-1-le-iff} [\text{algebra}, \text{presburger}]$

lemma $\text{int-less-real-le}: n < m \longleftrightarrow \text{real-of-int } n + 1 \leq \text{real-of-int } m$
 $\langle \text{proof} \rangle$

lemma $\text{int-le-real-less}: n \leq m \longleftrightarrow \text{real-of-int } n < \text{real-of-int } m + 1$
 $\langle \text{proof} \rangle$

lemma *real-of-int-div-aux*:
 $(\text{real-of-int } x) / (\text{real-of-int } d) =$
 $\text{real-of-int } (x \text{ div } d) + (\text{real-of-int } (x \text{ mod } d)) / (\text{real-of-int } d)$
 ⟨proof⟩

lemma *real-of-int-div*:
 $d \text{ dvd } n \implies \text{real-of-int } (n \text{ div } d) = \text{real-of-int } n / \text{real-of-int } d$ **for** $d \ n :: \text{int}$
 ⟨proof⟩

lemma *real-of-int-div2*: $0 \leq \text{real-of-int } n / \text{real-of-int } x - \text{real-of-int } (n \text{ div } x)$
 ⟨proof⟩

lemma *real-of-int-div3*: $\text{real-of-int } n / \text{real-of-int } x - \text{real-of-int } (n \text{ div } x) \leq 1$
 ⟨proof⟩

lemma *real-of-int-div4*: $\text{real-of-int } (n \text{ div } x) \leq \text{real-of-int } n / \text{real-of-int } x$
 ⟨proof⟩

96.12 Embedding the Naturals into the Reals

lemma *real-of-card*: $\text{real } (\text{card } A) = \text{sum } (\lambda x. 1) A$
 ⟨proof⟩

lemma *nat-less-real-le*: $n < m \longleftrightarrow \text{real } n + 1 \leq \text{real } m$
 ⟨proof⟩

lemma *nat-le-real-less*: $n \leq m \longleftrightarrow \text{real } n < \text{real } m + 1$
for $m \ n :: \text{nat}$
 ⟨proof⟩

lemma *real-of-nat-div-aux*: $\text{real } x / \text{real } d = \text{real } (x \text{ div } d) + \text{real } (x \text{ mod } d) / \text{real } d$
 ⟨proof⟩

lemma *real-of-nat-div*: $d \text{ dvd } n \implies \text{real}(n \text{ div } d) = \text{real } n / \text{real } d$
 ⟨proof⟩

lemma *real-of-nat-div2*: $0 \leq \text{real } n / \text{real } x - \text{real } (n \text{ div } x)$ **for** $n \ x :: \text{nat}$
 ⟨proof⟩

lemma *real-of-nat-div3*: $\text{real } n / \text{real } x - \text{real } (n \text{ div } x) \leq 1$ **for** $n \ x :: \text{nat}$
 ⟨proof⟩

lemma *real-of-nat-div4*: $\text{real } (n \text{ div } x) \leq \text{real } n / \text{real } x$ **for** $n \ x :: \text{nat}$
 ⟨proof⟩

96.13 The Archimedean Property of the Reals

lemma *real-arch-inverse*: $0 < e \longleftrightarrow (\exists n :: \text{nat}. n \neq 0 \wedge 0 < \text{inverse } (\text{real } n) \wedge \text{inverse } (\text{real } n) < e)$

$\langle proof \rangle$

lemma *reals-Archimedean3*: $0 < x \implies \forall y. \exists n. y < \text{real } n * x$
 $\langle proof \rangle$

lemma *real-archimedian-rdiv-eq-0*:
assumes $x0: x \geq 0$
and $c: c \geq 0$
and $xc: \bigwedge m::nat. m > 0 \implies \text{real } m * x \leq c$
shows $x = 0$
 $\langle proof \rangle$

96.14 Rationals

lemma *Rats-eq-int-div-int*: $\mathbb{Q} = \{ \text{real-of-int } i / \text{real-of-int } j \mid i\ j. j \neq 0 \}$ (is - = ?S)
 $\langle proof \rangle$

lemma *Rats-eq-int-div-nat*: $\mathbb{Q} = \{ \text{real-of-int } i / \text{real } n \mid i\ n. n \neq 0 \}$
 $\langle proof \rangle$

lemma *Rats-abs-nat-div-natE*:
assumes $x \in \mathbb{Q}$
obtains $m\ n :: nat$ **where** $n \neq 0$ **and** $|x| = \text{real } m / \text{real } n$ **and** $\text{gcd } m\ n = 1$
 $\langle proof \rangle$

96.15 Density of the Rational Reals in the Reals

This density proof is due to Stefan Richter and was ported by TN. The original source is *Real Analysis* by H.L. Royden. It employs the Archimedean property of the reals.

lemma *Rats-dense-in-real*:
fixes $x :: real$
assumes $x < y$
shows $\exists r \in \mathbb{Q}. x < r \wedge r < y$
 $\langle proof \rangle$

lemma *of-rat-dense*:
fixes $x\ y :: real$
assumes $x < y$
shows $\exists q :: rat. x < \text{of-rat } q \wedge \text{of-rat } q < y$
 $\langle proof \rangle$

96.16 Numerals and Arithmetic

$\langle ML \rangle$

96.17 Simprules combining $x + y$ and 0

lemma *real-add-minus-iff* [simp]: $x + - a = 0 \longleftrightarrow x = a$
for $x a :: \text{real}$
 ⟨proof⟩

lemma *real-add-less-0-iff*: $x + y < 0 \longleftrightarrow y < - x$
for $x y :: \text{real}$
 ⟨proof⟩

lemma *real-0-less-add-iff*: $0 < x + y \longleftrightarrow - x < y$
for $x y :: \text{real}$
 ⟨proof⟩

lemma *real-add-le-0-iff*: $x + y \leq 0 \longleftrightarrow y \leq - x$
for $x y :: \text{real}$
 ⟨proof⟩

lemma *real-0-le-add-iff*: $0 \leq x + y \longleftrightarrow - x \leq y$
for $x y :: \text{real}$
 ⟨proof⟩

96.18 Lemmas about powers

lemma *two-realpows-ge-one*: $(1 :: \text{real}) \leq 2^n$
 ⟨proof⟩

declare *sum-squares-eq-zero-iff* [simp] *sum-power2-eq-zero-iff* [simp]

lemma *real-minus-mult-self-le* [simp]: $-(u * u) \leq x * x$
for $u x :: \text{real}$
 ⟨proof⟩

lemma *realpow-square-minus-le* [simp]: $-u^2 \leq x^2$
for $u x :: \text{real}$
 ⟨proof⟩

lemma *numeral-power-eq-real-of-int-cancel-iff* [simp]:
 $\text{numeral } x^n = \text{real-of-int } y \longleftrightarrow \text{numeral } x^n = y$
 ⟨proof⟩

lemma *real-of-int-eq-numeral-power-cancel-iff* [simp]:
 $\text{real-of-int } y = \text{numeral } x^n \longleftrightarrow y = \text{numeral } x^n$
 ⟨proof⟩

lemma *numeral-power-eq-real-of-nat-cancel-iff* [simp]:
 $\text{numeral } x^n = \text{real } y \longleftrightarrow \text{numeral } x^n = y$
 ⟨proof⟩

lemma *real-of-nat-eq-numeral-power-cancel-iff* [simp]:

$$\text{real } y = \text{numeral } x \wedge n \longleftrightarrow y = \text{numeral } x \wedge n$$

<proof>

lemma *numeral-power-le-real-of-nat-cancel-iff* [simp]:

$$(\text{numeral } x :: \text{real}) \wedge n \leq \text{real } a \longleftrightarrow (\text{numeral } x :: \text{nat}) \wedge n \leq a$$

<proof>

lemma *real-of-nat-le-numeral-power-cancel-iff* [simp]:

$$\text{real } a \leq (\text{numeral } x :: \text{real}) \wedge n \longleftrightarrow a \leq (\text{numeral } x :: \text{nat}) \wedge n$$

<proof>

lemma *numeral-power-le-real-of-int-cancel-iff* [simp]:

$$(\text{numeral } x :: \text{real}) \wedge n \leq \text{real-of-int } a \longleftrightarrow (\text{numeral } x :: \text{int}) \wedge n \leq a$$

<proof>

lemma *real-of-int-le-numeral-power-cancel-iff* [simp]:

$$\text{real-of-int } a \leq (\text{numeral } x :: \text{real}) \wedge n \longleftrightarrow a \leq (\text{numeral } x :: \text{int}) \wedge n$$

<proof>

lemma *numeral-power-less-real-of-nat-cancel-iff* [simp]:

$$(\text{numeral } x :: \text{real}) \wedge n < \text{real } a \longleftrightarrow (\text{numeral } x :: \text{nat}) \wedge n < a$$

<proof>

lemma *real-of-nat-less-numeral-power-cancel-iff* [simp]:

$$\text{real } a < (\text{numeral } x :: \text{real}) \wedge n \longleftrightarrow a < (\text{numeral } x :: \text{nat}) \wedge n$$

<proof>

lemma *numeral-power-less-real-of-int-cancel-iff* [simp]:

$$(\text{numeral } x :: \text{real}) \wedge n < \text{real-of-int } a \longleftrightarrow (\text{numeral } x :: \text{int}) \wedge n < a$$

<proof>

lemma *real-of-int-less-numeral-power-cancel-iff* [simp]:

$$\text{real-of-int } a < (\text{numeral } x :: \text{real}) \wedge n \longleftrightarrow a < (\text{numeral } x :: \text{int}) \wedge n$$

<proof>

lemma *neg-numeral-power-le-real-of-int-cancel-iff* [simp]:

$$(- \text{numeral } x :: \text{real}) \wedge n \leq \text{real-of-int } a \longleftrightarrow (- \text{numeral } x :: \text{int}) \wedge n \leq a$$

<proof>

lemma *real-of-int-le-neg-numeral-power-cancel-iff* [simp]:

$$\text{real-of-int } a \leq (- \text{numeral } x :: \text{real}) \wedge n \longleftrightarrow a \leq (- \text{numeral } x :: \text{int}) \wedge n$$

<proof>

96.19 Density of the Reals

lemma *real-lbound-gt-zero*: $0 < d1 \implies 0 < d2 \implies \exists e. 0 < e \wedge e < d1 \wedge e < d2$

for $d1 \ d2 :: \text{real}$

$\langle \text{proof} \rangle$

Similar results are proved in *Fields*

lemma *real-less-half-sum*: $x < y \implies x < (x + y) / 2$
for $x\ y :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *real-gt-half-sum*: $x < y \implies (x + y) / 2 < y$
for $x\ y :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *real-sum-of-halves*: $x / 2 + x / 2 = x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

96.20 Floor and Ceiling Functions from the Reals to the Integers

lemma *real-of-nat-less-numeral-iff* [simp]: $\text{real } n < \text{numeral } w \longleftrightarrow n < \text{numeral } w$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *numeral-less-real-of-nat-iff* [simp]: $\text{numeral } w < \text{real } n \longleftrightarrow \text{numeral } w < n$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *numeral-le-real-of-nat-iff* [simp]: $\text{numeral } n \leq \text{real } m \longleftrightarrow \text{numeral } n \leq m$
for $m :: \text{nat}$
 $\langle \text{proof} \rangle$

declare *of-int-floor-le* [simp]

lemma *of-int-floor-cancel* [simp]: $\text{of-int } \lfloor x \rfloor = x \longleftrightarrow (\exists n :: \text{int}. x = \text{of-int } n)$
 $\langle \text{proof} \rangle$

lemma *floor-eq*: $\text{real-of-int } n < x \implies x < \text{real-of-int } n + 1 \implies \lfloor x \rfloor = n$
 $\langle \text{proof} \rangle$

lemma *floor-eq2*: $\text{real-of-int } n \leq x \implies x < \text{real-of-int } n + 1 \implies \lfloor x \rfloor = n$
 $\langle \text{proof} \rangle$

lemma *floor-eq3*: $\text{real } n < x \implies x < \text{real } (\text{Suc } n) \implies \text{nat } \lfloor x \rfloor = n$
 $\langle \text{proof} \rangle$

lemma *floor-eq4*: $\text{real } n \leq x \implies x < \text{real } (\text{Suc } n) \implies \text{nat } \lfloor x \rfloor = n$
 $\langle \text{proof} \rangle$

lemma *real-of-int-floor-ge-diff-one* [simp]: $r - 1 \leq \text{real-of-int } \lfloor r \rfloor$
 ⟨proof⟩

lemma *real-of-int-floor-gt-diff-one* [simp]: $r - 1 < \text{real-of-int } \lfloor r \rfloor$
 ⟨proof⟩

lemma *real-of-int-floor-add-one-ge* [simp]: $r \leq \text{real-of-int } \lfloor r \rfloor + 1$
 ⟨proof⟩

lemma *real-of-int-floor-add-one-gt* [simp]: $r < \text{real-of-int } \lfloor r \rfloor + 1$
 ⟨proof⟩

lemma *floor-divide-real-eq-div*:
 assumes $0 \leq b$
 shows $\lfloor a / \text{real-of-int } b \rfloor = \lfloor a \rfloor \text{ div } b$
 ⟨proof⟩

lemma *floor-one-divide-eq-div-numeral* [simp]:
 $\lfloor 1 / \text{numeral } b :: \text{real} \rfloor = 1 \text{ div numeral } b$
 ⟨proof⟩

lemma *floor-minus-one-divide-eq-div-numeral* [simp]:
 $\lfloor - (1 / \text{numeral } b) :: \text{real} \rfloor = - 1 \text{ div numeral } b$
 ⟨proof⟩

lemma *floor-divide-eq-div-numeral* [simp]:
 $\lfloor \text{numeral } a / \text{numeral } b :: \text{real} \rfloor = \text{numeral } a \text{ div numeral } b$
 ⟨proof⟩

lemma *floor-minus-divide-eq-div-numeral* [simp]:
 $\lfloor - (\text{numeral } a / \text{numeral } b) :: \text{real} \rfloor = - \text{numeral } a \text{ div numeral } b$
 ⟨proof⟩

lemma *of-int-ceiling-cancel* [simp]: $\text{of-int } \lceil x \rceil = x \longleftrightarrow (\exists n :: \text{int}. x = \text{of-int } n)$
 ⟨proof⟩

lemma *ceiling-eq*: $\text{of-int } n < x \implies x \leq \text{of-int } n + 1 \implies \lceil x \rceil = n + 1$
 ⟨proof⟩

lemma *of-int-ceiling-diff-one-le* [simp]: $\text{of-int } \lceil r \rceil - 1 \leq r$
 ⟨proof⟩

lemma *of-int-ceiling-le-add-one* [simp]: $\text{of-int } \lceil r \rceil \leq r + 1$
 ⟨proof⟩

lemma *ceiling-le*: $x \leq \text{of-int } a \implies \lceil x \rceil \leq a$
 ⟨proof⟩

lemma *ceiling-divide-eq-div*: $\lceil \text{of-int } a / \text{of-int } b \rceil = - (- a \text{ div } b)$

$\langle proof \rangle$

lemma *ceiling-divide-eq-div-numeral* [simp]:

$\lceil \text{numeral } a / \text{numeral } b :: \text{real} \rceil = - (- \text{numeral } a \text{ div numeral } b)$
 $\langle proof \rangle$

lemma *ceiling-minus-divide-eq-div-numeral* [simp]:

$\lceil - (\text{numeral } a / \text{numeral } b :: \text{real}) \rceil = - (\text{numeral } a \text{ div numeral } b)$
 $\langle proof \rangle$

The following lemmas are remnants of the erstwhile functions `natfloor` and `natceiling`.

lemma *nat-floor-neg*: $x \leq 0 \implies \text{nat } \lfloor x \rfloor = 0$

for $x :: \text{real}$
 $\langle proof \rangle$

lemma *le-nat-floor*: $\text{real } x \leq a \implies x \leq \text{nat } \lfloor a \rfloor$

$\langle proof \rangle$

lemma *le-mult-nat-floor*: $\text{nat } \lfloor a \rfloor * \text{nat } \lfloor b \rfloor \leq \text{nat } \lfloor a * b \rfloor$

$\langle proof \rangle$

lemma *nat-ceiling-le-eq* [simp]: $\text{nat } \lceil x \rceil \leq a \iff x \leq \text{real } a$

$\langle proof \rangle$

lemma *real-nat-ceiling-ge*: $x \leq \text{real } (\text{nat } \lceil x \rceil)$

$\langle proof \rangle$

lemma *Rats-no-top-le*: $\exists q \in \mathbb{Q}. x \leq q$

for $x :: \text{real}$
 $\langle proof \rangle$

lemma *Rats-no-bot-less*: $\exists q \in \mathbb{Q}. q < x$ **for** $x :: \text{real}$

$\langle proof \rangle$

96.21 Exponentiation with floor

lemma *floor-power*:

assumes $x = \text{of-int } \lfloor x \rfloor$
shows $\lfloor x ^ n \rfloor = \lfloor x \rfloor ^ n$

$\langle proof \rangle$

lemma *floor-numeral-power* [simp]: $\lfloor \text{numeral } x ^ n \rfloor = \text{numeral } x ^ n$

$\langle proof \rangle$

lemma *ceiling-numeral-power* [simp]: $\lceil \text{numeral } x ^ n \rceil = \text{numeral } x ^ n$

$\langle proof \rangle$

96.22 Implementation of rational real numbers

Formal constructor

definition *Ratreal* :: *rat* \Rightarrow *real*
where [*code-abbrev*, *simp*]: *Ratreal* = *real-of-rat*

code-datatype *Ratreal*

Quasi-Numerals

lemma [*code-abbrev*]:
 $\text{real-of-rat } (\text{numeral } k) = \text{numeral } k$
 $\text{real-of-rat } (- \text{numeral } k) = - \text{numeral } k$
 $\text{real-of-rat } (\text{rat-of-int } a) = \text{real-of-int } a$
 $\langle \text{proof} \rangle$

lemma [*code-post*]:
 $\text{real-of-rat } 0 = 0$
 $\text{real-of-rat } 1 = 1$
 $\text{real-of-rat } (- 1) = - 1$
 $\text{real-of-rat } (1 / \text{numeral } k) = 1 / \text{numeral } k$
 $\text{real-of-rat } (\text{numeral } k / \text{numeral } l) = \text{numeral } k / \text{numeral } l$
 $\text{real-of-rat } (- (1 / \text{numeral } k)) = - (1 / \text{numeral } k)$
 $\text{real-of-rat } (- (\text{numeral } k / \text{numeral } l)) = - (\text{numeral } k / \text{numeral } l)$
 $\langle \text{proof} \rangle$

Operations

lemma *zero-real-code* [*code*]: $0 = \text{Ratreal } 0$
 $\langle \text{proof} \rangle$

lemma *one-real-code* [*code*]: $1 = \text{Ratreal } 1$
 $\langle \text{proof} \rangle$

instantiation *real* :: *equal*
begin

definition *HOL.equal* *x y* $\longleftrightarrow x - y = 0$ **for** *x* :: *real*

instance $\langle \text{proof} \rangle$

lemma *real-equal-code* [*code*]: $\text{HOL.equal } (\text{Ratreal } x) (\text{Ratreal } y) \longleftrightarrow \text{HOL.equal } x y$
 $\langle \text{proof} \rangle$

lemma [*code nbe*]: $\text{HOL.equal } x x \longleftrightarrow \text{True}$
for *x* :: *real*
 $\langle \text{proof} \rangle$

end

lemma *real-less-eq-code* [code]: $\text{Ratreal } x \leq \text{Ratreal } y \longleftrightarrow x \leq y$
 $\langle \text{proof} \rangle$

lemma *real-less-code* [code]: $\text{Ratreal } x < \text{Ratreal } y \longleftrightarrow x < y$
 $\langle \text{proof} \rangle$

lemma *real-plus-code* [code]: $\text{Ratreal } x + \text{Ratreal } y = \text{Ratreal } (x + y)$
 $\langle \text{proof} \rangle$

lemma *real-times-code* [code]: $\text{Ratreal } x * \text{Ratreal } y = \text{Ratreal } (x * y)$
 $\langle \text{proof} \rangle$

lemma *real-uminus-code* [code]: $-\text{Ratreal } x = \text{Ratreal } (-x)$
 $\langle \text{proof} \rangle$

lemma *real-minus-code* [code]: $\text{Ratreal } x - \text{Ratreal } y = \text{Ratreal } (x - y)$
 $\langle \text{proof} \rangle$

lemma *real-inverse-code* [code]: $\text{inverse } (\text{Ratreal } x) = \text{Ratreal } (\text{inverse } x)$
 $\langle \text{proof} \rangle$

lemma *real-divide-code* [code]: $\text{Ratreal } x / \text{Ratreal } y = \text{Ratreal } (x / y)$
 $\langle \text{proof} \rangle$

lemma *real-floor-code* [code]: $\lfloor \text{Ratreal } x \rfloor = \lfloor x \rfloor$
 $\langle \text{proof} \rangle$

Quickcheck

definition (in *term-syntax*)

$\text{valterm-ratreal} :: \text{rat} \times (\text{unit} \Rightarrow \text{Code-Evaluation.term}) \Rightarrow \text{real} \times (\text{unit} \Rightarrow \text{Code-Evaluation.term})$
where [code-unfold]: $\text{valterm-ratreal } k = \text{Code-Evaluation.valtermify } \text{Ratreal } \{ \cdot \}$
 k

notation *fcomp* (infixl $\circ>$ 60)

notation *scomp* (infixl $\circ\rightarrow$ 60)

instantiation *real* :: *random*

begin

definition

$\text{Quickcheck-Random.random } i = \text{Quickcheck-Random.random } i \circ\rightarrow (\lambda r. \text{Pair } (\text{valterm-ratreal } r))$

instance $\langle \text{proof} \rangle$

end

no-notation *fcomp* (infixl $\circ>$ 60)

no-notation *scomp* (**infixl** $\circ \rightarrow$ 60)

instantiation *real* :: *exhaustive*
begin

definition

exhaustive-real $f\ d = \text{Quickcheck-Exhaustive.exhaustive } (\lambda r. f\ (\text{Ratreal } r))\ d$

instance $\langle \text{proof} \rangle$

end

instantiation *real* :: *full-exhaustive*
begin

definition

full-exhaustive-real $f\ d = \text{Quickcheck-Exhaustive.full-exhaustive } (\lambda r. f\ (\text{valterm-ratreal } r))\ d$

instance $\langle \text{proof} \rangle$

end

instantiation *real* :: *narrowing*
begin

definition

narrowing-real = *Quickcheck-Narrowing.apply* (*Quickcheck-Narrowing.cons* *Ratreal*) *narrowing*

instance $\langle \text{proof} \rangle$

end

96.23 Setup for Nitpick

$\langle ML \rangle$

lemmas [*nitpick-unfold*] = *inverse-real-inst.inverse-real one-real-inst.one-real*
ord-real-inst.less-real ord-real-inst.less-eq-real plus-real-inst.plus-real
times-real-inst.times-real uminus-real-inst.uminus-real
zero-real-inst.zero-real

96.24 Setup for SMT

$\langle ML \rangle$

lemma [*z3-rule*]:

$0 + x = x$

$x + 0 = x$


```

0 * x = 0
1 * x = x
-x = -1 * x
x + y = y + x
for x y :: real
  ⟨proof⟩

```

96.25 Setup for Argo

⟨ML⟩

end

97 Topological Spaces

```

theory Topological-Spaces
  imports Main
begin

```

named-theorems *continuous-intros structural introduction rules for continuity*

97.1 Topological space

```

class open =
  fixes open :: 'a set  $\Rightarrow$  bool

class topological-space = open +
  assumes open-UNIV [simp, intro]: open UNIV
  assumes open-Int [intro]: open S  $\Longrightarrow$  open T  $\Longrightarrow$  open (S  $\cap$  T)
  assumes open-Union [intro]:  $\forall S \in K. \text{open } S \Longrightarrow \text{open } (\bigcup K)$ 
begin

```

```

definition closed :: 'a set  $\Rightarrow$  bool
  where closed S  $\longleftrightarrow$  open (− S)

```

```

lemma open-empty [continuous-intros, intro, simp]: open {}
  ⟨proof⟩

```

```

lemma open-Un [continuous-intros, intro]: open S  $\Longrightarrow$  open T  $\Longrightarrow$  open (S  $\cup$  T)
  ⟨proof⟩

```

```

lemma open-UN [continuous-intros, intro]:  $\forall x \in A. \text{open } (B\ x) \Longrightarrow \text{open } (\bigcup_{x \in A} B\ x)$ 
  ⟨proof⟩

```

```

lemma open-Inter [continuous-intros, intro]: finite S  $\Longrightarrow \forall T \in S. \text{open } T \Longrightarrow \text{open } (\bigcap S)$ 
  ⟨proof⟩

```

lemma *open-INT* [*continuous-intros, intro*]: $\text{finite } A \implies \forall x \in A. \text{open } (B \ x) \implies \text{open } (\bigcap_{x \in A} B \ x)$
 ⟨*proof*⟩

lemma *openI*:
 assumes $\bigwedge x. x \in S \implies \exists T. \text{open } T \wedge x \in T \wedge T \subseteq S$
 shows $\text{open } S$
 ⟨*proof*⟩

lemma *closed-empty* [*continuous-intros, intro, simp*]: $\text{closed } \{\}$
 ⟨*proof*⟩

lemma *closed-Un* [*continuous-intros, intro*]: $\text{closed } S \implies \text{closed } T \implies \text{closed } (S \cup T)$
 ⟨*proof*⟩

lemma *closed-UNIV* [*continuous-intros, intro, simp*]: $\text{closed } \text{UNIV}$
 ⟨*proof*⟩

lemma *closed-Int* [*continuous-intros, intro*]: $\text{closed } S \implies \text{closed } T \implies \text{closed } (S \cap T)$
 ⟨*proof*⟩

lemma *closed-INT* [*continuous-intros, intro*]: $\forall x \in A. \text{closed } (B \ x) \implies \text{closed } (\bigcap_{x \in A} B \ x)$
 ⟨*proof*⟩

lemma *closed-Inter* [*continuous-intros, intro*]: $\forall S \in K. \text{closed } S \implies \text{closed } (\bigcap K)$
 ⟨*proof*⟩

lemma *closed-Union* [*continuous-intros, intro*]: $\text{finite } S \implies \forall T \in S. \text{closed } T \implies \text{closed } (\bigcup S)$
 ⟨*proof*⟩

lemma *closed-UN* [*continuous-intros, intro*]:
 $\text{finite } A \implies \forall x \in A. \text{closed } (B \ x) \implies \text{closed } (\bigcup_{x \in A} B \ x)$
 ⟨*proof*⟩

lemma *open-closed*: $\text{open } S \longleftrightarrow \text{closed } (- \ S)$
 ⟨*proof*⟩

lemma *closed-open*: $\text{closed } S \longleftrightarrow \text{open } (- \ S)$
 ⟨*proof*⟩

lemma *open-Diff* [*continuous-intros, intro*]: $\text{open } S \implies \text{closed } T \implies \text{open } (S - T)$
 ⟨*proof*⟩

lemma *closed-Diff* [*continuous-intros, intro*]: $\text{closed } S \implies \text{open } T \implies \text{closed } (S - T)$

– T)
 $\langle \text{proof} \rangle$

lemma *open-Compl* [*continuous-intros*, *intro*]: $\text{closed } S \implies \text{open } (- S)$
 $\langle \text{proof} \rangle$

lemma *closed-Compl* [*continuous-intros*, *intro*]: $\text{open } S \implies \text{closed } (- S)$
 $\langle \text{proof} \rangle$

lemma *open-Collect-neg*: $\text{closed } \{x. P x\} \implies \text{open } \{x. \neg P x\}$
 $\langle \text{proof} \rangle$

lemma *open-Collect-conj*:
 assumes $\text{open } \{x. P x\} \text{ open } \{x. Q x\}$
 shows $\text{open } \{x. P x \wedge Q x\}$
 $\langle \text{proof} \rangle$

lemma *open-Collect-disj*:
 assumes $\text{open } \{x. P x\} \text{ open } \{x. Q x\}$
 shows $\text{open } \{x. P x \vee Q x\}$
 $\langle \text{proof} \rangle$

lemma *open-Collect-ex*: $(\bigwedge i. \text{open } \{x. P i x\}) \implies \text{open } \{x. \exists i. P i x\}$
 $\langle \text{proof} \rangle$

lemma *open-Collect-imp*: $\text{closed } \{x. P x\} \implies \text{open } \{x. Q x\} \implies \text{open } \{x. P x \longrightarrow Q x\}$
 $\langle \text{proof} \rangle$

lemma *open-Collect-const*: $\text{open } \{x. P\}$
 $\langle \text{proof} \rangle$

lemma *closed-Collect-neg*: $\text{open } \{x. P x\} \implies \text{closed } \{x. \neg P x\}$
 $\langle \text{proof} \rangle$

lemma *closed-Collect-conj*:
 assumes $\text{closed } \{x. P x\} \text{ closed } \{x. Q x\}$
 shows $\text{closed } \{x. P x \wedge Q x\}$
 $\langle \text{proof} \rangle$

lemma *closed-Collect-disj*:
 assumes $\text{closed } \{x. P x\} \text{ closed } \{x. Q x\}$
 shows $\text{closed } \{x. P x \vee Q x\}$
 $\langle \text{proof} \rangle$

lemma *closed-Collect-all*: $(\bigwedge i. \text{closed } \{x. P i x\}) \implies \text{closed } \{x. \forall i. P i x\}$
 $\langle \text{proof} \rangle$

lemma *closed-Collect-imp*: $\text{open } \{x. P x\} \implies \text{closed } \{x. Q x\} \implies \text{closed } \{x. P x \longrightarrow Q x\}$

$\longrightarrow Q\ x\}$
 $\langle proof \rangle$

lemma *closed-Collect-const*: *closed* $\{x. P\}$
 $\langle proof \rangle$

end

97.2 Hausdorff and other separation properties

class *t0-space* = *topological-space* +
assumes *t0-space*: $x \neq y \implies \exists U. \text{open } U \wedge \neg (x \in U \longleftrightarrow y \in U)$

class *t1-space* = *topological-space* +
assumes *t1-space*: $x \neq y \implies \exists U. \text{open } U \wedge x \in U \wedge y \notin U$

instance *t1-space* \subseteq *t0-space*
 $\langle proof \rangle$

context *t1-space* **begin**

lemma *separation-t1*: $x \neq y \longleftrightarrow (\exists U. \text{open } U \wedge x \in U \wedge y \notin U)$
 $\langle proof \rangle$

lemma *closed-singleton* [*iff*]: *closed* $\{a\}$
 $\langle proof \rangle$

lemma *closed-insert* [*continuous-intros, simp*]:
assumes *closed* S
shows *closed* (*insert* $a\ S$)
 $\langle proof \rangle$

lemma *finite-imp-closed*: *finite* $S \implies$ *closed* S
 $\langle proof \rangle$

end

T2 spaces are also known as Hausdorff spaces.

class *t2-space* = *topological-space* +
assumes *hausdorff*: $x \neq y \implies \exists U\ V. \text{open } U \wedge \text{open } V \wedge x \in U \wedge y \in V \wedge U \cap V = \{\}$

instance *t2-space* \subseteq *t1-space*
 $\langle proof \rangle$

lemma (**in** *t2-space*) *separation-t2*: $x \neq y \longleftrightarrow (\exists U\ V. \text{open } U \wedge \text{open } V \wedge x \in U \wedge y \in V \wedge U \cap V = \{\})$
 $\langle proof \rangle$

lemma (in *t0-space*) *separation-t0*: $x \neq y \longleftrightarrow (\exists U. \text{open } U \wedge \neg (x \in U \longleftrightarrow y \in U))$
 ⟨proof⟩

A perfect space is a topological space with no isolated points.

class *perfect-space* = *topological-space* +
assumes *not-open-singleton*: $\neg \text{open } \{x\}$

lemma (in *perfect-space*) *UNIV-not-singleton*: $\text{UNIV} \neq \{x\}$
for $x :: 'a$
 ⟨proof⟩

97.3 Generators for topologies

inductive *generate-topology* :: $'a \text{ set set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **for** $S :: 'a \text{ set set}$
where
 $\text{UNIV} : \text{generate-topology } S \text{ UNIV}$
 | *Int*: $\text{generate-topology } S (a \cap b)$ **if** $\text{generate-topology } S a$ **and** $\text{generate-topology } S b$
 | *UN*: $\text{generate-topology } S (\bigcup K)$ **if** $(\bigwedge k. k \in K \implies \text{generate-topology } S k)$
 | *Basis*: $\text{generate-topology } S s$ **if** $s \in S$

hide-fact (**open**) *UNIV Int UN Basis*

lemma *generate-topology-Union*:
 $(\bigwedge k. k \in I \implies \text{generate-topology } S (K k)) \implies \text{generate-topology } S (\bigcup_{k \in I} K k)$
 ⟨proof⟩

lemma *topological-space-generate-topology*: $\text{class.topological-space } (\text{generate-topology } S)$
 ⟨proof⟩

97.4 Order topologies

class *order-topology* = *order* + *open* +
assumes *open-generated-order*: $\text{open} = \text{generate-topology } (\text{range } (\lambda a. \{.. < a\}) \cup \text{range } (\lambda a. \{a <..\}))$
begin

subclass *topological-space*
 ⟨proof⟩

lemma *open-greaterThan* [*continuous-intros*, *simp*]: $\text{open } \{a <..\}$
 ⟨proof⟩

lemma *open-lessThan* [*continuous-intros*, *simp*]: $\text{open } \{.. < a\}$
 ⟨proof⟩

lemma *open-greaterThanLessThan* [*continuous-intros, simp*]: *open* $\{a <..
 $\langle proof \rangle$$

end

class *linorder-topology* = *linorder* + *order-topology*

lemma *closed-atMost* [*continuous-intros, simp*]: *closed* $\{..a\}$
for $a :: 'a::linorder-topology$
 $\langle proof \rangle$

lemma *closed-atLeast* [*continuous-intros, simp*]: *closed* $\{a.. \}$
for $a :: 'a::linorder-topology$
 $\langle proof \rangle$

lemma *closed-atLeastAtMost* [*continuous-intros, simp*]: *closed* $\{a..b\}$
for $a\ b :: 'a::linorder-topology$
 $\langle proof \rangle$

lemma (**in** *linorder*) *less-separate*:
assumes $x < y$
shows $\exists a\ b. x \in \{..
 $\langle proof \rangle$$

instance *linorder-topology* \subseteq *t2-space*
 $\langle proof \rangle$

lemma (**in** *linorder-topology*) *open-right*:
assumes *open* $S\ x \in S$
and *gt-ex*: $x < y$
shows $\exists b > x. \{x ..< b\} \subseteq S$
 $\langle proof \rangle$

lemma (**in** *linorder-topology*) *open-left*:
assumes *open* $S\ x \in S$
and *lt-ex*: $y < x$
shows $\exists b < x. \{b <..
 $\langle proof \rangle$$

97.5 Setup some topologies

97.5.1 Boolean is an order topology

class *discrete-topology* = *topological-space* +
assumes *open-discrete*: $\bigwedge A. \text{open } A$

instance *discrete-topology* $<$ *t2-space*
 $\langle proof \rangle$

instantiation *bool* $::$ *linorder-topology*

begin

definition *open-bool* :: *bool set* \Rightarrow *bool*

where *open-bool* = *generate-topology* (*range* ($\lambda a. \{..< a\}$) \cup *range* ($\lambda a. \{a <..\}$))

instance

\langle *proof* \rangle

end

instance *bool* :: *discrete-topology*

\langle *proof* \rangle

instantiation *nat* :: *linorder-topology*

begin

definition *open-nat* :: *nat set* \Rightarrow *bool*

where *open-nat* = *generate-topology* (*range* ($\lambda a. \{..< a\}$) \cup *range* ($\lambda a. \{a <..\}$))

instance

\langle *proof* \rangle

end

instance *nat* :: *discrete-topology*

\langle *proof* \rangle

instantiation *int* :: *linorder-topology*

begin

definition *open-int* :: *int set* \Rightarrow *bool*

where *open-int* = *generate-topology* (*range* ($\lambda a. \{..< a\}$) \cup *range* ($\lambda a. \{a <..\}$))

instance

\langle *proof* \rangle

end

instance *int* :: *discrete-topology*

\langle *proof* \rangle

97.5.2 Topological filters

definition (**in** *topological-space*) *nhds* :: '*a* \Rightarrow '*a* filter

where *nhds* *a* = (*INF* *S*: $\{S. \text{open } S \wedge a \in S\}. \text{principal } S$)

definition (**in** *topological-space*) *at-within* :: '*a* \Rightarrow '*a* set \Rightarrow '*a* filter

(*at* (-) / *within* (-) [1000, 60] 60)

where *at* *a* *within* *s* = *inf* (*nhds* *a*) (*principal* (*s* - {*a*}))

abbreviation (in *topological-space*) *at* :: 'a \Rightarrow 'a filter (at)
where *at* *x* \equiv *at* *x* within (CONST UNIV)

abbreviation (in *order-topology*) *at-right* :: 'a \Rightarrow 'a filter
where *at-right* *x* \equiv *at* *x* within {*x* <..*x*}

abbreviation (in *order-topology*) *at-left* :: 'a \Rightarrow 'a filter
where *at-left* *x* \equiv *at* *x* within {..*x*}

lemma (in *topological-space*) *nhds-generated-topology*:
 $\text{open} = \text{generate-topology } T \implies \text{nhds } x = (\text{INF } S:\{S \in T. x \in S\}. \text{principal } S)$
 <proof>

lemma (in *topological-space*) *eventually-nhds*:
 $\text{eventually } P (\text{nhds } a) \longleftrightarrow (\exists S. \text{open } S \wedge a \in S \wedge (\forall x \in S. P x))$
 <proof>

lemma *eventually-eventually*:
 $\text{eventually } (\lambda y. \text{eventually } P (\text{nhds } y)) (\text{nhds } x) = \text{eventually } P (\text{nhds } x)$
 <proof>

lemma (in *topological-space*) *eventually-nhds-in-open*:
 $\text{open } s \implies x \in s \implies \text{eventually } (\lambda y. y \in s) (\text{nhds } x)$
 <proof>

lemma (in *topological-space*) *eventually-nhds-x-imp-x*: $\text{eventually } P (\text{nhds } x) \implies P x$
 <proof>

lemma (in *topological-space*) *nhds-neq-bot [simp]*: $\text{nhds } a \neq \text{bot}$
 <proof>

lemma (in *t1-space*) *t1-space-nhds*: $x \neq y \implies (\forall_F x \text{ in } \text{nhds } x. x \neq y)$
 <proof>

lemma (in *topological-space*) *nhds-discrete-open*: $\text{open } \{x\} \implies \text{nhds } x = \text{principal } \{x\}$
 <proof>

lemma (in *discrete-topology*) *nhds-discrete*: $\text{nhds } x = \text{principal } \{x\}$
 <proof>

lemma (in *discrete-topology*) *at-discrete*: *at* *x* within *S* = bot
 <proof>

lemma (in *topological-space*) *at-within-eq*:
 $\text{at } x \text{ within } s = (\text{INF } S:\{S. \text{open } S \wedge x \in S\}. \text{principal } (S \cap s - \{x\}))$
 <proof>

lemma (in *topological-space*) *eventually-at-filter*:

eventually P (at a within s) \longleftrightarrow *eventually* $(\lambda x. x \neq a \longrightarrow x \in s \longrightarrow P\ x)$ (*nhds* a)
 ⟨*proof*⟩

lemma (in *topological-space*) *at-le*: $s \subseteq t \implies \text{at } x \text{ within } s \leq \text{at } x \text{ within } t$

⟨*proof*⟩

lemma (in *topological-space*) *eventually-at-topological*:

eventually P (at a within s) $\longleftrightarrow (\exists S. \text{open } S \wedge a \in S \wedge (\forall x \in S. x \neq a \longrightarrow x \in s \longrightarrow P\ x))$
 ⟨*proof*⟩

lemma (in *topological-space*) *at-within-open*: $a \in S \implies \text{open } S \implies \text{at } a \text{ within } S = \text{at } a$

⟨*proof*⟩

lemma (in *topological-space*) *at-within-open-NO-MATCH*:

$a \in s \implies \text{open } s \implies \text{NO-MATCH UNIV } s \implies \text{at } a \text{ within } s = \text{at } a$
 ⟨*proof*⟩

lemma (in *topological-space*) *at-within-open-subset*:

$a \in S \implies \text{open } S \implies S \subseteq T \implies \text{at } a \text{ within } T = \text{at } a$
 ⟨*proof*⟩

lemma (in *topological-space*) *at-within-nhd*:

assumes $x \in S$ *open* S $T \cap S - \{x\} = U \cap S - \{x\}$

shows $\text{at } x \text{ within } T = \text{at } x \text{ within } U$

⟨*proof*⟩

lemma (in *topological-space*) *at-within-empty* [*simp*]: $\text{at } a \text{ within } \{\} = \text{bot}$

⟨*proof*⟩

lemma (in *topological-space*) *at-within-union*:

$\text{at } x \text{ within } (S \cup T) = \sup (\text{at } x \text{ within } S) (\text{at } x \text{ within } T)$

⟨*proof*⟩

lemma (in *topological-space*) *at-eq-bot-iff*: $\text{at } a = \text{bot} \longleftrightarrow \text{open } \{a\}$

⟨*proof*⟩

lemma (in *perfect-space*) *at-neq-bot* [*simp*]: $\text{at } a \neq \text{bot}$

⟨*proof*⟩

lemma (in *order-topology*) *nhds-order*:

nhds $x = \inf (\text{INF } a:\{x <.. \}. \text{principal } \{.. < a\}) (\text{INF } a:\{.. < x\}. \text{principal } \{a <.. \})$

⟨*proof*⟩

lemma (in *topological-space*) *filterlim-at-within-If*:
 assumes *filterlim* *f* *G* (at *x* within (*A* \cap {*x*. *P* *x*}))
 and *filterlim* *g* *G* (at *x* within (*A* \cap {*x*. \neg *P* *x*}))
 shows *filterlim* ($\lambda x.$ if *P* *x* then *f* *x* else *g* *x*) *G* (at *x* within *A*)
<proof>

lemma (in *topological-space*) *filterlim-at-If*:
 assumes *filterlim* *f* *G* (at *x* within {*x*. *P* *x*})
 and *filterlim* *g* *G* (at *x* within {*x*. \neg *P* *x*})
 shows *filterlim* ($\lambda x.$ if *P* *x* then *f* *x* else *g* *x*) *G* (at *x*)
<proof>

lemma (in *linorder-topology*) *at-within-order*:
 assumes $UNIV \neq \{x\}$
 shows at *x* within *s* =
 \inf (*INF* *a*:{*x* $<..$ }. *principal* ({*..* $<$ *a*} \cap *s* $-$ {*x*}))
 (*INF* *a*:{*..* $<$ *x*}. *principal* ({*a* $<..$ } \cap *s* $-$ {*x*}))
<proof>

lemma (in *linorder-topology*) *at-left-eq*:
 $y < x \implies \text{at-left } x = (\text{INF } a:\{.. < x\}. \text{principal } \{a <.. < x\})$
<proof>

lemma (in *linorder-topology*) *eventually-at-left*:
 $y < x \implies \text{eventually } P \text{ (at-left } x) \longleftrightarrow (\exists b < x. \forall y > b. y < x \longrightarrow P y)$
<proof>

lemma (in *linorder-topology*) *at-right-eq*:
 $x < y \implies \text{at-right } x = (\text{INF } a:\{x <.. \}. \text{principal } \{x <.. < a\})$
<proof>

lemma (in *linorder-topology*) *eventually-at-right*:
 $x < y \implies \text{eventually } P \text{ (at-right } x) \longleftrightarrow (\exists b > x. \forall y > x. y < b \longrightarrow P y)$
<proof>

lemma *eventually-at-right-less*: $\forall_F y$ in at-right (*x*::'*a*::{*linorder-topology*, *no-top*}).
 $x < y$
<proof>

lemma *trivial-limit-at-right-top*: at-right (*top*::-::{*order-top*,*linorder-topology*}) =
bot
<proof>

lemma *trivial-limit-at-left-bot*: at-left (*bot*::-::{*order-bot*,*linorder-topology*}) = *bot*
<proof>

lemma *trivial-limit-at-left-real* [*simp*]: $\neg \text{trivial-limit (at-left } x)$
 for *x* :: '*a*::{*no-bot*,*dense-order*,*linorder-topology*}
<proof>

lemma *trivial-limit-at-right-real* [simp]: $\neg \text{trivial-limit } (\text{at-right } x)$
for $x :: 'a :: \{\text{no-top, dense-order, linorder-topology}\}$
 $\langle \text{proof} \rangle$

lemma (in *linorder-topology*) *at-eq-sup-left-right*: $\text{at } x = \text{sup } (\text{at-left } x) (\text{at-right } x)$
 $\langle \text{proof} \rangle$

lemma (in *linorder-topology*) *eventually-at-split*:
 $\text{eventually } P (\text{at } x) \longleftrightarrow \text{eventually } P (\text{at-left } x) \wedge \text{eventually } P (\text{at-right } x)$
 $\langle \text{proof} \rangle$

lemma (in *order-topology*) *eventually-at-leftI*:
assumes $\bigwedge x. x \in \{a <..<b\} \implies P x a < b$
shows $\text{eventually } P (\text{at-left } b)$
 $\langle \text{proof} \rangle$

lemma (in *order-topology*) *eventually-at-rightI*:
assumes $\bigwedge x. x \in \{a <..<b\} \implies P x a < b$
shows $\text{eventually } P (\text{at-right } a)$
 $\langle \text{proof} \rangle$

lemma *eventually-filtercomap-nhds*:
 $\text{eventually } P (\text{filtercomap } f (\text{nhds } x)) \longleftrightarrow (\exists S. \text{open } S \wedge x \in S \wedge (\forall x. f x \in S \longrightarrow P x))$
 $\langle \text{proof} \rangle$

lemma *eventually-filtercomap-at-topological*:
 $\text{eventually } P (\text{filtercomap } f (\text{at } A \text{ within } B)) \longleftrightarrow$
 $(\exists S. \text{open } S \wedge A \in S \wedge (\forall x. f x \in S \cap B - \{A\} \longrightarrow P x))$ (is ?lhs = ?rhs)
 $\langle \text{proof} \rangle$

97.5.3 Tendsto

abbreviation (in *topological-space*)
 $\text{tendsto} :: ('b \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'b \text{ filter} \Rightarrow \text{bool}$ (**infixr** \longrightarrow 55)
where $(f \longrightarrow l) F \equiv \text{filterlim } f (\text{nhds } l) F$

definition (in *t2-space*) *Lim* :: $'f \text{ filter} \Rightarrow ('f \Rightarrow 'a) \Rightarrow 'a$
where $\text{Lim } A f = (\text{THE } l. (f \longrightarrow l) A)$

lemma (in *topological-space*) *tendsto-eq-rhs*: $(f \longrightarrow x) F \implies x = y \implies (f \longrightarrow y) F$
 $\langle \text{proof} \rangle$

named-theorems *tendsto-intros* introduction rules for *tendsto*
 $\langle \text{ML} \rangle$

context *topological-space* **begin**

lemma *tendsto-def*:

$(f \longrightarrow l) F \longleftrightarrow (\forall S. \text{open } S \longrightarrow l \in S \longrightarrow \text{eventually } (\lambda x. f x \in S) F)$
 $\langle \text{proof} \rangle$

lemma *tendsto-cong*: $(f \longrightarrow c) F \longleftrightarrow (g \longrightarrow c) F$ **if** *eventually* $(\lambda x. f x = g x) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-mono*: $F \leq F' \implies (f \longrightarrow l) F' \implies (f \longrightarrow l) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-ident-at* [*tendsto-intros, simp, intro*]: $((\lambda x. x) \longrightarrow a) (\text{at } a \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *tendsto-const* [*tendsto-intros, simp, intro*]: $((\lambda x. k) \longrightarrow k) F$
 $\langle \text{proof} \rangle$

lemma *filterlim-at*:

$(\text{LIM } x F. f x :> \text{at } b \text{ within } s) \longleftrightarrow \text{eventually } (\lambda x. f x \in s \wedge f x \neq b) F \wedge (f \longrightarrow b) F$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-withinI*:

assumes *filterlim* f (*nhds* c) F
assumes *eventually* $(\lambda x. f x \in A - \{c\}) F$
shows *filterlim* f (*at* c *within* A) F
 $\langle \text{proof} \rangle$

lemma *filterlim-atI*:

assumes *filterlim* f (*nhds* c) F
assumes *eventually* $(\lambda x. f x \neq c) F$
shows *filterlim* f (*at* c) F
 $\langle \text{proof} \rangle$

lemma *topological-tendstoI*:

$(\bigwedge S. \text{open } S \implies l \in S \implies \text{eventually } (\lambda x. f x \in S) F) \implies (f \longrightarrow l) F$
 $\langle \text{proof} \rangle$

lemma *topological-tendstoD*:

$(f \longrightarrow l) F \implies \text{open } S \implies l \in S \implies \text{eventually } (\lambda x. f x \in S) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-bot* [*simp*]: $(f \longrightarrow a) \text{ bot}$
 $\langle \text{proof} \rangle$

end

lemma *tendsto-within-subset*:

$(f \longrightarrow l) \text{ (at } x \text{ within } S) \implies T \subseteq S \implies (f \longrightarrow l) \text{ (at } x \text{ within } T)$
 $\langle \text{proof} \rangle$

lemma (*in order-topology*) *order-tendsto-iff*:

$(f \longrightarrow x) F \iff (\forall l < x. \text{eventually } (\lambda x. l < f x) F) \wedge (\forall u > x. \text{eventually } (\lambda x. f x < u) F)$
 $\langle \text{proof} \rangle$

lemma (*in order-topology*) *order-tendstoI*:

$(\bigwedge a. a < y \implies \text{eventually } (\lambda x. a < f x) F) \implies (\bigwedge a. y < a \implies \text{eventually } (\lambda x. f x < a) F) \implies (f \longrightarrow y) F$
 $\langle \text{proof} \rangle$

lemma (*in order-topology*) *order-tendstoD*:

assumes $(f \longrightarrow y) F$
shows $a < y \implies \text{eventually } (\lambda x. a < f x) F$
and $y < a \implies \text{eventually } (\lambda x. f x < a) F$
 $\langle \text{proof} \rangle$

lemma (*in linorder-topology*) *tendsto-max*:

assumes $X: (X \longrightarrow x) \text{ net}$
and $Y: (Y \longrightarrow y) \text{ net}$
shows $((\lambda x. \max (X x) (Y x)) \longrightarrow \max x y) \text{ net}$
 $\langle \text{proof} \rangle$

lemma (*in linorder-topology*) *tendsto-min*:

assumes $X: (X \longrightarrow x) \text{ net}$
and $Y: (Y \longrightarrow y) \text{ net}$
shows $((\lambda x. \min (X x) (Y x)) \longrightarrow \min x y) \text{ net}$
 $\langle \text{proof} \rangle$

lemma (*in order-topology*)

assumes $a < b$
shows *at-within-Icc-at-right*: *at* a *within* $\{a..b\} = \text{at-right } a$
and *at-within-Icc-at-left*: *at* b *within* $\{a..b\} = \text{at-left } b$
 $\langle \text{proof} \rangle$

lemma (*in order-topology*) *at-within-Icc-at*: $a < x \implies x < b \implies \text{at } x \text{ within } \{a..b\} = \text{at } x$
 $\langle \text{proof} \rangle$

lemma (*in t2-space*) *tendsto-unique*:

assumes $F \neq \text{bot}$
and $(f \longrightarrow a) F$
and $(f \longrightarrow b) F$
shows $a = b$

$\langle proof \rangle$

lemma (in *t2-space*) *tendsto-const-iff*:
fixes $a\ b :: 'a$
assumes $\neg \text{trivial-limit } F$
shows $((\lambda x. a) \longrightarrow b) F \longleftrightarrow a = b$
 $\langle proof \rangle$

lemma (in *order-topology*) *increasing-tendsto*:
assumes $bdd: \text{eventually } (\lambda n. f\ n \leq l) F$
and en: $\bigwedge x. x < l \implies \text{eventually } (\lambda n. x < f\ n) F$
shows $(f \longrightarrow l) F$
 $\langle proof \rangle$

lemma (in *order-topology*) *decreasing-tendsto*:
assumes $bdd: \text{eventually } (\lambda n. l \leq f\ n) F$
and en: $\bigwedge x. l < x \implies \text{eventually } (\lambda n. f\ n < x) F$
shows $(f \longrightarrow l) F$
 $\langle proof \rangle$

lemma (in *order-topology*) *tendsto-sandwich*:
assumes $ev: \text{eventually } (\lambda n. f\ n \leq g\ n) \text{ net eventually } (\lambda n. g\ n \leq h\ n) \text{ net}$
assumes $lim: (f \longrightarrow c) \text{ net } (h \longrightarrow c) \text{ net}$
shows $(g \longrightarrow c) \text{ net}$
 $\langle proof \rangle$

lemma (in *t1-space*) *limit-frequently-eq*:
assumes $F \neq bot$
and $\text{frequently } (\lambda x. f\ x = c) F$
and $(f \longrightarrow d) F$
shows $d = c$
 $\langle proof \rangle$

lemma (in *t1-space*) *tendsto-imp-eventually-ne*:
assumes $(f \longrightarrow c) F\ c \neq c'$
shows $\text{eventually } (\lambda z. f\ z \neq c') F$
 $\langle proof \rangle$

lemma (in *linorder-topology*) *tendsto-le*:
assumes $F: \neg \text{trivial-limit } F$
and $x: (f \longrightarrow x) F$
and $y: (g \longrightarrow y) F$
and $ev: \text{eventually } (\lambda x. g\ x \leq f\ x) F$
shows $y \leq x$
 $\langle proof \rangle$

lemma (in *linorder-topology*) *tendsto-lowerbound*:
assumes $x: (f \longrightarrow x) F$
and $ev: \text{eventually } (\lambda i. a \leq f\ i) F$

and $F: \neg \text{trivial-limit } F$
 shows $a \leq x$
 $\langle \text{proof} \rangle$

lemma (in *linorder-topology*) *tendsto-upperbound*:
 assumes $x: (f \longrightarrow x) F$
 and $ev: \text{eventually } (\lambda i. a \geq f i) F$
 and $F: \neg \text{trivial-limit } F$
 shows $a \geq x$
 $\langle \text{proof} \rangle$

97.5.4 Rules about *Lim*

lemma *tendsto-Lim*: $\neg \text{trivial-limit } net \implies (f \longrightarrow l) \text{ net} \implies \text{Lim } net \ f = l$
 $\langle \text{proof} \rangle$

lemma *Lim-ident-at*: $\neg \text{trivial-limit } (\text{at } x \text{ within } s) \implies \text{Lim } (\text{at } x \text{ within } s) (\lambda x. x) = x$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-bot-at-right*:
 fixes $f :: 'a::\text{linorder-topology} \Rightarrow 'b::\text{linorder}$
 assumes $\text{mono}: \bigwedge x y. Q \ x \implies Q \ y \implies x \leq y \implies f \ x \leq f \ y$
 and $\text{bij}: \bigwedge x. P \ x \implies f \ (g \ x) = x \ \wedge \bigwedge x. P \ x \implies Q \ (g \ x)$
 and $Q: \text{eventually } Q \ (\text{at-right } a)$
 and $\text{bound}: \bigwedge b. Q \ b \implies a < b$
 and $P: \text{eventually } P \ \text{at-bot}$
 shows *filterlim* $f \ \text{at-bot } (\text{at-right } a)$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-top-at-left*:
 fixes $f :: 'a::\text{linorder-topology} \Rightarrow 'b::\text{linorder}$
 assumes $\text{mono}: \bigwedge x y. Q \ x \implies Q \ y \implies x \leq y \implies f \ x \leq f \ y$
 and $\text{bij}: \bigwedge x. P \ x \implies f \ (g \ x) = x \ \wedge \bigwedge x. P \ x \implies Q \ (g \ x)$
 and $Q: \text{eventually } Q \ (\text{at-left } a)$
 and $\text{bound}: \bigwedge b. Q \ b \implies b < a$
 and $P: \text{eventually } P \ \text{at-top}$
 shows *filterlim* $f \ \text{at-top } (\text{at-left } a)$
 $\langle \text{proof} \rangle$

lemma *filterlim-split-at*:
 $\text{filterlim } f \ F \ (\text{at-left } x) \implies \text{filterlim } f \ F \ (\text{at-right } x) \implies$
 $\text{filterlim } f \ F \ (\text{at } x)$
 for $x :: 'a::\text{linorder-topology}$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-split*:
 $\text{filterlim } f \ F \ (\text{at } x) \iff \text{filterlim } f \ F \ (\text{at-left } x) \wedge \text{filterlim } f \ F \ (\text{at-right } x)$
 for $x :: 'a::\text{linorder-topology}$

<proof>

lemma *eventually-nhds-top*:

fixes $P :: 'a :: \{\text{order-top}, \text{linorder-topology}\} \Rightarrow \text{bool}$

and $b :: 'a$

assumes $b < \text{top}$

shows $\text{eventually } P \text{ (nhds top)} \longleftrightarrow (\exists b < \text{top}. (\forall z. b < z \longrightarrow P z))$

<proof>

lemma *tendsto-at-within-iff-tendsto-nhds*:

$(g \longrightarrow g \ l) \text{ (at } l \text{ within } S) \longleftrightarrow (g \longrightarrow g \ l) \text{ (inf (nhds } l) \text{ (principal } S))}$

<proof>

97.6 Limits on sequences

abbreviation (in *topological-space*)

$\text{LIMSEQ} :: [\text{nat} \Rightarrow 'a, 'a] \Rightarrow \text{bool} \text{ (((-)/} \longrightarrow (-)) \text{ [60, 60] 60)}$

where $X \longrightarrow L \equiv (X \longrightarrow L) \text{ sequentially}$

abbreviation (in *t2-space*) $\text{lim} :: (\text{nat} \Rightarrow 'a) \Rightarrow 'a$

where $\text{lim } X \equiv \text{Lim sequentially } X$

definition (in *topological-space*) $\text{convergent} :: (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$

where $\text{convergent } X = (\exists L. X \longrightarrow L)$

lemma *lim-def*: $\text{lim } X = (\text{THE } L. X \longrightarrow L)$

<proof>

97.6.1 Monotone sequences and subsequences

Definition of monotonicity. The use of disjunction here complicates proofs considerably. One alternative is to add a Boolean argument to indicate the direction. Another is to develop the notions of increasing and decreasing first.

definition *monoseq* :: $(\text{nat} \Rightarrow 'a::\text{order}) \Rightarrow \text{bool}$

where $\text{monoseq } X \longleftrightarrow (\forall m. \forall n \geq m. X \ m \leq X \ n) \vee (\forall m. \forall n \geq m. X \ n \leq X \ m)$

abbreviation *incseq* :: $(\text{nat} \Rightarrow 'a::\text{order}) \Rightarrow \text{bool}$

where $\text{incseq } X \equiv \text{mono } X$

lemma *incseq-def*: $\text{incseq } X \longleftrightarrow (\forall m. \forall n \geq m. X \ n \geq X \ m)$

<proof>

abbreviation *decseq* :: $(\text{nat} \Rightarrow 'a::\text{order}) \Rightarrow \text{bool}$

where $\text{decseq } X \equiv \text{antimono } X$

lemma *decseq-def*: $\text{decseq } X \longleftrightarrow (\forall m. \forall n \geq m. X \ n \leq X \ m)$

<proof>

Definition of subsequence.

lemma *strict-mono-leD*: $\text{strict-mono } r \implies m \leq n \implies r\ m \leq r\ n$
 $\langle \text{proof} \rangle$

lemma *strict-mono-id*: $\text{strict-mono } id$
 $\langle \text{proof} \rangle$

lemma *incseq-SucI*: $(\bigwedge n. X\ n \leq X\ (\text{Suc } n)) \implies \text{incseq } X$
 $\langle \text{proof} \rangle$

lemma *incseqD*: $\text{incseq } f \implies i \leq j \implies f\ i \leq f\ j$
 $\langle \text{proof} \rangle$

lemma *incseq-SucD*: $\text{incseq } A \implies A\ i \leq A\ (\text{Suc } i)$
 $\langle \text{proof} \rangle$

lemma *incseq-Suc-iff*: $\text{incseq } f \longleftrightarrow (\forall n. f\ n \leq f\ (\text{Suc } n))$
 $\langle \text{proof} \rangle$

lemma *incseq-const*[*simp*, *intro*]: $\text{incseq } (\lambda x. k)$
 $\langle \text{proof} \rangle$

lemma *decseq-SucI*: $(\bigwedge n. X\ (\text{Suc } n) \leq X\ n) \implies \text{decseq } X$
 $\langle \text{proof} \rangle$

lemma *decseqD*: $\text{decseq } f \implies i \leq j \implies f\ j \leq f\ i$
 $\langle \text{proof} \rangle$

lemma *decseq-SucD*: $\text{decseq } A \implies A\ (\text{Suc } i) \leq A\ i$
 $\langle \text{proof} \rangle$

lemma *decseq-Suc-iff*: $\text{decseq } f \longleftrightarrow (\forall n. f\ (\text{Suc } n) \leq f\ n)$
 $\langle \text{proof} \rangle$

lemma *decseq-const*[*simp*, *intro*]: $\text{decseq } (\lambda x. k)$
 $\langle \text{proof} \rangle$

lemma *monoseq-iff*: $\text{monoseq } X \longleftrightarrow \text{incseq } X \vee \text{decseq } X$
 $\langle \text{proof} \rangle$

lemma *monoseq-Suc*: $\text{monoseq } X \longleftrightarrow (\forall n. X\ n \leq X\ (\text{Suc } n)) \vee (\forall n. X\ (\text{Suc } n) \leq X\ n)$
 $\langle \text{proof} \rangle$

lemma *monoI1*: $\forall m. \forall n \geq m. X\ m \leq X\ n \implies \text{monoseq } X$
 $\langle \text{proof} \rangle$

lemma *monoI2*: $\forall m. \forall n \geq m. X\ n \leq X\ m \implies \text{monoseq } X$
 $\langle \text{proof} \rangle$

lemma *mono-SucI1*: $\forall n. X\ n \leq X\ (Suc\ n) \implies monoseq\ X$
 $\langle proof \rangle$

lemma *mono-SucI2*: $\forall n. X\ (Suc\ n) \leq X\ n \implies monoseq\ X$
 $\langle proof \rangle$

lemma *monoseq-minus*:
fixes $a :: nat \Rightarrow 'a::ordered-ab-group-add$
assumes $monoseq\ a$
shows $monoseq\ (\lambda n. -\ a\ n)$
 $\langle proof \rangle$

Subsequence (alternative definition, (e.g. Hoskins))

lemma *strict-mono-Suc-iff*: $strict-mono\ f \longleftrightarrow (\forall n. f\ n < f\ (Suc\ n))$
 $\langle proof \rangle$

lemma *strict-mono-add*: $strict-mono\ (\lambda n::'a::linordered-semidom. n + k)$
 $\langle proof \rangle$

For any sequence, there is a monotonic subsequence.

lemma *seq-monosub*:
fixes $s :: nat \Rightarrow 'a::linorder$
shows $\exists f. strict-mono\ f \wedge monoseq\ (\lambda n. (s\ (f\ n)))$
 $\langle proof \rangle$

lemma *seq-suble*:
assumes $sf: strict-mono\ (f :: nat \Rightarrow nat)$
shows $n \leq f\ n$
 $\langle proof \rangle$

lemma *eventually-subseq*:
 $strict-mono\ r \implies eventually\ P\ sequentially \implies eventually\ (\lambda n. P\ (r\ n))\ sequentially$
 $\langle proof \rangle$

lemma *not-eventually-sequentiallyD*:
assumes $\neg eventually\ P\ sequentially$
shows $\exists r::nat \Rightarrow nat. strict-mono\ r \wedge (\forall n. \neg P\ (r\ n))$
 $\langle proof \rangle$

lemma *filterlim-subseq*: $strict-mono\ f \implies filterlim\ f\ sequentially\ sequentially$
 $\langle proof \rangle$

lemma *strict-mono-o*: $strict-mono\ r \implies strict-mono\ s \implies strict-mono\ (r \circ s)$
 $\langle proof \rangle$

lemma *incseq-imp-monoseq*: $incseq\ X \implies monoseq\ X$
 $\langle proof \rangle$

lemma *decseq-imp-monoseq*: $\text{decseq } X \implies \text{monoseq } X$
 $\langle \text{proof} \rangle$

lemma *decseq-eq-incseq*: $\text{decseq } X = \text{incseq } (\lambda n. - X n)$
for $X :: \text{nat} \Rightarrow 'a::\text{ordered-ab-group-add}$
 $\langle \text{proof} \rangle$

lemma *INT-decseq-offset*:
assumes $\text{decseq } F$
shows $(\bigcap i. F i) = (\bigcap i \in \{n.. \}. F i)$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-const-iff*: $(\lambda n. k) \longrightarrow l \iff k = l$
for $k l :: 'a::t2\text{-space}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-SUP*: $\text{incseq } X \implies X \longrightarrow (\text{SUP } i. X i :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\})$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-INF*: $\text{decseq } X \implies X \longrightarrow (\text{INF } i. X i :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\})$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-ignore-initial-segment*: $f \longrightarrow a \implies (\lambda n. f (n + k)) \longrightarrow a$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-offset*: $(\lambda n. f (n + k)) \longrightarrow a \implies f \longrightarrow a$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-Suc*: $f \longrightarrow l \implies (\lambda n. f (\text{Suc } n)) \longrightarrow l$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-imp-Suc*: $(\lambda n. f (\text{Suc } n)) \longrightarrow l \implies f \longrightarrow l$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-Suc-iff*: $(\lambda n. f (\text{Suc } n)) \longrightarrow l = f \longrightarrow l$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-unique*: $X \longrightarrow a \implies X \longrightarrow b \implies a = b$
for $a b :: 'a::t2\text{-space}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-le-const*: $X \longrightarrow x \implies \exists N. \forall n \geq N. a \leq X n \implies a \leq x$
for $a x :: 'a::\text{linorder-topology}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-le*: $X \longrightarrow x \implies Y \longrightarrow y \implies \exists N. \forall n \geq N. X n \leq Y n$
 $\implies x \leq y$
for $x y :: 'a::\text{linorder-topology}$

$\langle \text{proof} \rangle$

lemma *LIMSEQ-le-const2*: $X \longrightarrow x \implies \exists N. \forall n \geq N. X\ n \leq a \implies x \leq a$
for $a\ x :: 'a::\text{linorder-topology}$
 $\langle \text{proof} \rangle$

lemma *convergentD*: $\text{convergent } X \implies \exists L. X \longrightarrow L$
 $\langle \text{proof} \rangle$

lemma *convergentI*: $X \longrightarrow L \implies \text{convergent } X$
 $\langle \text{proof} \rangle$

lemma *convergent-LIMSEQ-iff*: $\text{convergent } X \longleftrightarrow X \longrightarrow \lim X$
 $\langle \text{proof} \rangle$

lemma *convergent-const*: $\text{convergent } (\lambda n. c)$
 $\langle \text{proof} \rangle$

lemma *monoseq-le*:
 $\text{monoseq } a \implies a \longrightarrow x \implies$
 $(\forall n. a\ n \leq x) \wedge (\forall m. \forall n \geq m. a\ m \leq a\ n) \vee$
 $(\forall n. x \leq a\ n) \wedge (\forall m. \forall n \geq m. a\ n \leq a\ m)$
for $x :: 'a::\text{linorder-topology}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-subseq-LIMSEQ*: $X \longrightarrow L \implies \text{strict-mono } f \implies (X \circ f) \longrightarrow L$
 $\langle \text{proof} \rangle$

lemma *convergent-subseq-convergent*: $\text{convergent } X \implies \text{strict-mono } f \implies \text{convergent } (X \circ f)$
 $\langle \text{proof} \rangle$

lemma *limI*: $X \longrightarrow L \implies \lim X = L$
 $\langle \text{proof} \rangle$

lemma *lim-le*: $\text{convergent } f \implies (\bigwedge n. f\ n \leq x) \implies \lim f \leq x$
for $x :: 'a::\text{linorder-topology}$
 $\langle \text{proof} \rangle$

lemma *lim-const* [*simp*]: $\lim (\lambda m. a) = a$
 $\langle \text{proof} \rangle$

97.6.2 Increasing and Decreasing Series

lemma *incseq-le*: $\text{incseq } X \implies X \longrightarrow L \implies X\ n \leq L$
for $L :: 'a::\text{linorder-topology}$
 $\langle \text{proof} \rangle$

lemma *decseq-le*: $\text{decseq } X \implies X \longrightarrow L \implies L \leq X \text{ } n$
for $L :: 'a::\text{linorder-topology}$
 $\langle \text{proof} \rangle$

97.7 First countable topologies

class *first-countable-topology* = *topological-space* +
assumes *first-countable-basis*:
 $\exists A::\text{nat} \Rightarrow 'a \text{ set}. (\forall i. x \in A \ i \wedge \text{open } (A \ i)) \wedge (\forall S. \text{open } S \wedge x \in S \longrightarrow (\exists i. A \ i \subseteq S))$

lemma (*in first-countable-topology*) *countable-basis-at-decseq*:
obtains $A :: \text{nat} \Rightarrow 'a \text{ set}$ **where**
 $\bigwedge i. \text{open } (A \ i) \wedge i. x \in (A \ i)$
 $\bigwedge S. \text{open } S \implies x \in S \implies \text{eventually } (\lambda i. A \ i \subseteq S) \text{ sequentially}$
 $\langle \text{proof} \rangle$

lemma (*in first-countable-topology*) *nhds-countable*:
obtains $X :: \text{nat} \Rightarrow 'a \text{ set}$
where $\text{decseq } X \bigwedge n. \text{open } (X \ n) \bigwedge n. x \in X \ n \text{ nhds } x = (\text{INF } n. \text{principal } (X \ n))$
 $\langle \text{proof} \rangle$

lemma (*in first-countable-topology*) *countable-basis*:
obtains $A :: \text{nat} \Rightarrow 'a \text{ set}$ **where**
 $\bigwedge i. \text{open } (A \ i) \wedge i. x \in A \ i$
 $\bigwedge F. (\forall n. F \ n \in A \ n) \implies F \longrightarrow x$
 $\langle \text{proof} \rangle$

lemma (*in first-countable-topology*) *sequentially-imp-eventually-nhds-within*:
assumes $\forall f. (\forall n. f \ n \in s) \wedge f \longrightarrow a \longrightarrow \text{eventually } (\lambda n. P \ (f \ n)) \text{ sequentially}$
shows $\text{eventually } P \ (\inf \ (\text{nhds } a) \ (\text{principal } s))$
 $\langle \text{proof} \rangle$

lemma (*in first-countable-topology*) *eventually-nhds-within-iff-sequentially*:
 $\text{eventually } P \ (\inf \ (\text{nhds } a) \ (\text{principal } s)) \longleftrightarrow$
 $(\forall f. (\forall n. f \ n \in s) \wedge f \longrightarrow a \longrightarrow \text{eventually } (\lambda n. P \ (f \ n)) \text{ sequentially})$
 $\langle \text{proof} \rangle$

lemma (*in first-countable-topology*) *eventually-nhds-iff-sequentially*:
 $\text{eventually } P \ (\text{nhds } a) \longleftrightarrow (\forall f. f \longrightarrow a \longrightarrow \text{eventually } (\lambda n. P \ (f \ n)) \text{ sequentially})$
 $\langle \text{proof} \rangle$

lemma *tendsto-at-iff-sequentially*:
 $(f \longrightarrow a) \text{ (at } x \text{ within } s) \longleftrightarrow (\forall X. (\forall i. X \ i \in s - \{x\}) \longrightarrow X \longrightarrow x \longrightarrow$
 $((f \circ X) \longrightarrow a))$
for $f :: 'a::\text{first-countable-topology} \Rightarrow -$
 $\langle \text{proof} \rangle$

lemma *approx-from-above-dense-linorder*:

fixes $x::'a::\{\text{dense-linorder}, \text{linorder-topology}, \text{first-countable-topology}\}$

assumes $x < y$

shows $\exists u. (\forall n. u\ n > x) \wedge (u \longrightarrow x)$

<proof>

lemma *approx-from-below-dense-linorder*:

fixes $x::'a::\{\text{dense-linorder}, \text{linorder-topology}, \text{first-countable-topology}\}$

assumes $x > y$

shows $\exists u. (\forall n. u\ n < x) \wedge (u \longrightarrow x)$

<proof>

97.8 Function limit at a point

abbreviation $LIM :: ('a::\text{topological-space} \Rightarrow 'b::\text{topological-space}) \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$

$((((-)/-(-)/\rightarrow (-))\ [60, 0, 60]\ 60)$

where $f -a\rightarrow L \equiv (f \longrightarrow L)\ (at\ a)$

lemma *tendsto-within-open*: $a \in S \Longrightarrow open\ S \Longrightarrow (f \longrightarrow l)\ (at\ a\ within\ S) \longleftrightarrow (f -a\rightarrow l)$

<proof>

lemma *tendsto-within-open-NO-MATCH*:

$a \in S \Longrightarrow NO-MATCH\ UNIV\ S \Longrightarrow open\ S \Longrightarrow (f \longrightarrow l)(at\ a\ within\ S) \longleftrightarrow (f \longrightarrow l)(at\ a)$

for $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{topological-space}$

<proof>

lemma *LIM-const-not-eq[tendsto-intros]*: $k \neq L \Longrightarrow \neg (\lambda x. k) -a\rightarrow L$

for $a :: 'a::\text{perfect-space}$ **and** $k\ L :: 'b::\text{t2-space}$

<proof>

lemmas *LIM-not-zero* = *LIM-const-not-eq* [**where** $L = 0$]

lemma *LIM-const-eq*: $(\lambda x. k) -a\rightarrow L \Longrightarrow k = L$

for $a :: 'a::\text{perfect-space}$ **and** $k\ L :: 'b::\text{t2-space}$

<proof>

lemma *LIM-unique*: $f -a\rightarrow L \Longrightarrow f -a\rightarrow M \Longrightarrow L = M$

for $a :: 'a::\text{perfect-space}$ **and** $L\ M :: 'b::\text{t2-space}$

<proof>

Limits are equal for functions equal except at limit point.

lemma *LIM-equal*: $\forall x. x \neq a \longrightarrow f\ x = g\ x \Longrightarrow (f -a\rightarrow l) \longleftrightarrow (g -a\rightarrow l)$

<proof>

lemma *LIM-cong*: $a = b \Longrightarrow (\bigwedge x. x \neq b \Longrightarrow f\ x = g\ x) \Longrightarrow l = m \Longrightarrow (f -a\rightarrow$

$l) \longleftrightarrow (g -b \rightarrow m)$
 $\langle \text{proof} \rangle$

lemma *LIM-cong-limit*: $f -x \rightarrow L \implies K = L \implies f -x \rightarrow K$
 $\langle \text{proof} \rangle$

lemma *tendsto-at-iff-tendsto-nhds*: $g -l \rightarrow g \ l \longleftrightarrow (g \longrightarrow g \ l) \ (nhds \ l)$
 $\langle \text{proof} \rangle$

lemma *tendsto-compose*: $g -l \rightarrow g \ l \implies (f \longrightarrow l) \ F \implies ((\lambda x. g \ (f \ x)) \longrightarrow g \ l) \ F$
 $\langle \text{proof} \rangle$

lemma *tendsto-compose-eventually*:
 $g -l \rightarrow m \implies (f \longrightarrow l) \ F \implies \text{eventually } (\lambda x. f \ x \neq l) \ F \implies ((\lambda x. g \ (f \ x)) \longrightarrow m) \ F$
 $\langle \text{proof} \rangle$

lemma *LIM-compose-eventually*:
assumes $f -a \rightarrow b$
and $g -b \rightarrow c$
and $\text{eventually } (\lambda x. f \ x \neq b) \ (at \ a)$
shows $(\lambda x. g \ (f \ x)) -a \rightarrow c$
 $\langle \text{proof} \rangle$

lemma *tendsto-compose-filtermap*: $((g \circ f) \longrightarrow T) \ F \longleftrightarrow (g \longrightarrow T) \ (\text{filtermap } f \ F)$
 $\langle \text{proof} \rangle$

lemma *tendsto-compose-at*:
assumes $f: (f \longrightarrow y) \ F$ **and** $g: (g \longrightarrow z) \ (at \ y)$ **and** $fg: \text{eventually } (\lambda w. f \ w = y \longrightarrow g \ y = z) \ F$
shows $((g \circ f) \longrightarrow z) \ F$
 $\langle \text{proof} \rangle$

97.8.1 Relation of LIM and LIMSEQ

lemma *(in first-countable-topology) sequentially-imp-eventually-within*:
 $(\forall f. (\forall n. f \ n \in s \wedge f \ n \neq a) \wedge f \longrightarrow a \longrightarrow \text{eventually } (\lambda n. P \ (f \ n)) \text{ sequentially}) \implies$
 $\text{eventually } P \ (at \ a \ \text{within } s)$
 $\langle \text{proof} \rangle$

lemma *(in first-countable-topology) sequentially-imp-eventually-at*:
 $(\forall f. (\forall n. f \ n \neq a) \wedge f \longrightarrow a \longrightarrow \text{eventually } (\lambda n. P \ (f \ n)) \text{ sequentially}) \implies$
 $\text{eventually } P \ (at \ a)$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-SEQ-conv1*:

fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{topological-space}$
assumes $f: f -a \rightarrow l$
shows $\forall S. (\forall n. S\ n \neq a) \wedge S \longrightarrow a \longrightarrow (\lambda n. f\ (S\ n)) \longrightarrow l$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-SEQ-conv2*:

fixes $f :: 'a::\text{first-countable-topology} \Rightarrow 'b::\text{topological-space}$
assumes $\forall S. (\forall n. S\ n \neq a) \wedge S \longrightarrow a \longrightarrow (\lambda n. f\ (S\ n)) \longrightarrow l$
shows $f -a \rightarrow l$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-SEQ-conv*: $(\forall S. (\forall n. S\ n \neq a) \wedge S \longrightarrow a \longrightarrow (\lambda n. X\ (S\ n)) \longrightarrow L) \longleftrightarrow X -a \rightarrow L$

for $a :: 'a::\text{first-countable-topology}$ **and** $L :: 'b::\text{topological-space}$
 $\langle \text{proof} \rangle$

lemma *sequentially-imp-eventually-at-left*:

fixes $a :: 'a::\{\text{linorder-topology}, \text{first-countable-topology}\}$
assumes $b[\text{simp}]: b < a$
and $*$: $\bigwedge f. (\bigwedge n. b < f\ n) \Longrightarrow (\bigwedge n. f\ n < a) \Longrightarrow \text{incseq}\ f \Longrightarrow f \longrightarrow a \Longrightarrow$
 $\text{eventually } (\lambda n. P\ (f\ n)) \text{ sequentially}$
shows $\text{eventually } P\ (\text{at-left } a)$
 $\langle \text{proof} \rangle$

lemma *tendsto-at-left-sequentially*:

fixes $a\ b :: 'b::\{\text{linorder-topology}, \text{first-countable-topology}\}$
assumes $b < a$
assumes $*$: $\bigwedge S. (\bigwedge n. S\ n < a) \Longrightarrow (\bigwedge n. b < S\ n) \Longrightarrow \text{incseq}\ S \Longrightarrow S \longrightarrow$
 $a \Longrightarrow$
 $(\lambda n. X\ (S\ n)) \longrightarrow L$
shows $(X \longrightarrow L)\ (\text{at-left } a)$
 $\langle \text{proof} \rangle$

lemma *sequentially-imp-eventually-at-right*:

fixes $a\ b :: 'a::\{\text{linorder-topology}, \text{first-countable-topology}\}$
assumes $b[\text{simp}]: a < b$
assumes $*$: $\bigwedge f. (\bigwedge n. a < f\ n) \Longrightarrow (\bigwedge n. f\ n < b) \Longrightarrow \text{decseq}\ f \Longrightarrow f \longrightarrow a$
 \Longrightarrow
 $\text{eventually } (\lambda n. P\ (f\ n)) \text{ sequentially}$
shows $\text{eventually } P\ (\text{at-right } a)$
 $\langle \text{proof} \rangle$

lemma *tendsto-at-right-sequentially*:

fixes $a :: - :: \{\text{linorder-topology}, \text{first-countable-topology}\}$
assumes $a < b$
and $*$: $\bigwedge S. (\bigwedge n. a < S\ n) \Longrightarrow (\bigwedge n. S\ n < b) \Longrightarrow \text{decseq}\ S \Longrightarrow S \longrightarrow a$
 \Longrightarrow
 $(\lambda n. X\ (S\ n)) \longrightarrow L$
shows $(X \longrightarrow L)\ (\text{at-right } a)$

$\langle \text{proof} \rangle$

97.9 Continuity

97.9.1 Continuity on a set

definition *continuous-on* :: 'a set \Rightarrow ('a::topological-space \Rightarrow 'b::topological-space)
 \Rightarrow bool

where *continuous-on* s f \longleftrightarrow ($\forall x \in s. (f \longrightarrow f\ x)$ (at x within s))

lemma *continuous-on-cong* [cong]:

$s = t \implies (\bigwedge x. x \in t \implies f\ x = g\ x) \implies \text{continuous-on } s\ f \longleftrightarrow \text{continuous-on } t\ g$

$\langle \text{proof} \rangle$

lemma *continuous-on-strong-cong*:

$s = t \implies (\bigwedge x. x \in t =_{\text{simp}} \implies f\ x = g\ x) \implies \text{continuous-on } s\ f \longleftrightarrow \text{continuous-on } t\ g$

$\langle \text{proof} \rangle$

lemma *continuous-on-topological*:

continuous-on s f \longleftrightarrow
 $(\forall x \in s. \forall B. \text{open } B \longrightarrow f\ x \in B \longrightarrow (\exists A. \text{open } A \wedge x \in A \wedge (\forall y \in s. y \in A \longrightarrow f\ y \in B)))$

$\langle \text{proof} \rangle$

lemma *continuous-on-open-invariant*:

continuous-on s f $\longleftrightarrow (\forall B. \text{open } B \longrightarrow (\exists A. \text{open } A \wedge A \cap s = f - ' B \cap s))$

$\langle \text{proof} \rangle$

lemma *continuous-on-open-vimage*:

open s $\implies \text{continuous-on } s\ f \longleftrightarrow (\forall B. \text{open } B \longrightarrow \text{open } (f - ' B \cap s))$

$\langle \text{proof} \rangle$

corollary *continuous-imp-open-vimage*:

assumes *continuous-on* s f *open* s *open* B $f - ' B \subseteq s$
shows *open* (f - ' B)

$\langle \text{proof} \rangle$

corollary *open-vimage*[*continuous-intros*]:

assumes *open* s
and *continuous-on* UNIV f
shows *open* (f - ' s)

$\langle \text{proof} \rangle$

lemma *continuous-on-closed-invariant*:

continuous-on s f $\longleftrightarrow (\forall B. \text{closed } B \longrightarrow (\exists A. \text{closed } A \wedge A \cap s = f - ' B \cap s))$

$\langle \text{proof} \rangle$

lemma *continuous-on-closed-vimage*:

closed s \implies *continuous-on s f* $\iff (\forall B. \text{closed } B \implies \text{closed } (f - ' B \cap s))$

<proof>

corollary *closed-vimage-Int*[*continuous-intros*]:

assumes *closed s*

and *continuous-on t f*

and *t: closed t*

shows *closed (f - ' s \cap t)*

<proof>

corollary *closed-vimage*[*continuous-intros*]:

assumes *closed s*

and *continuous-on UNIV f*

shows *closed (f - ' s)*

<proof>

lemma *continuous-on-empty* [*simp*]: *continuous-on {} f*

<proof>

lemma *continuous-on-sing* [*simp*]: *continuous-on {x} f*

<proof>

lemma *continuous-on-open-Union*:

$(\bigwedge s. s \in S \implies \text{open } s) \implies (\bigwedge s. s \in S \implies \text{continuous-on } s f) \implies \text{continuous-on } (\bigcup S) f$

<proof>

lemma *continuous-on-open-UN*:

$(\bigwedge s. s \in S \implies \text{open } (A s)) \implies (\bigwedge s. s \in S \implies \text{continuous-on } (A s) f) \implies \text{continuous-on } (\bigcup_{s \in S} A s) f$

<proof>

lemma *continuous-on-open-Un*:

$\text{open } s \implies \text{open } t \implies \text{continuous-on } s f \implies \text{continuous-on } t f \implies \text{continuous-on } (s \cup t) f$

<proof>

lemma *continuous-on-closed-Un*:

$\text{closed } s \implies \text{closed } t \implies \text{continuous-on } s f \implies \text{continuous-on } t f \implies \text{continuous-on } (s \cup t) f$

<proof>

lemma *continuous-on-If*:

assumes *closed: closed s closed t*

and *cont: continuous-on s f continuous-on t g*

and *P: $\bigwedge x. x \in s \implies \neg P x \implies f x = g x \bigwedge x. x \in t \implies P x \implies f x = g x$*

shows *continuous-on (s \cup t) ($\lambda x. \text{if } P x \text{ then } f x \text{ else } g x$)*

(is continuous-on - ?h)

$\langle \text{proof} \rangle$

lemma *continuous-on-cases*:

$\text{closed } s \implies \text{closed } t \implies \text{continuous-on } s \ f \implies \text{continuous-on } t \ g \implies$
 $\forall x. (x \in s \wedge \neg P \ x) \vee (x \in t \wedge P \ x) \longrightarrow f \ x = g \ x \implies$
 $\text{continuous-on } (s \cup t) \ (\lambda x. \text{ if } P \ x \text{ then } f \ x \text{ else } g \ x)$
 $\langle \text{proof} \rangle$

lemma *continuous-on-id*[*continuous-intros*]: *continuous-on* $s \ (\lambda x. x)$

$\langle \text{proof} \rangle$

lemma *continuous-on-id'*[*continuous-intros*]: *continuous-on* $s \ \text{id}$

$\langle \text{proof} \rangle$

lemma *continuous-on-const*[*continuous-intros*]: *continuous-on* $s \ (\lambda x. c)$

$\langle \text{proof} \rangle$

lemma *continuous-on-subset*: *continuous-on* $s \ f \implies t \subseteq s \implies \text{continuous-on } t \ f$

$\langle \text{proof} \rangle$

lemma *continuous-on-compose*[*continuous-intros*]:

$\text{continuous-on } s \ f \implies \text{continuous-on } (f \circ s) \ g \implies \text{continuous-on } s \ (g \circ f)$
 $\langle \text{proof} \rangle$

lemma *continuous-on-compose2*:

$\text{continuous-on } t \ g \implies \text{continuous-on } s \ f \implies f \circ s \subseteq t \implies \text{continuous-on } s \ (\lambda x. g \ (f \ x))$
 $\langle \text{proof} \rangle$

lemma *continuous-on-generate-topology*:

assumes *: *open* = *generate-topology* X

and **: $\bigwedge B. B \in X \implies \exists C. \text{open } C \wedge C \cap A = f \circ B \cap A$

shows *continuous-on* $A \ f$

$\langle \text{proof} \rangle$

lemma *continuous-onI-mono*:

fixes $f :: 'a :: \text{linorder-topology} \Rightarrow 'b :: \{\text{dense-order}, \text{linorder-topology}\}$

assumes *open* $(f \circ A)$

and *mono*: $\bigwedge x \ y. x \in A \implies y \in A \implies x \leq y \implies f \ x \leq f \ y$

shows *continuous-on* $A \ f$

$\langle \text{proof} \rangle$

lemma *continuous-on-IccI*:

$\llbracket (f \longrightarrow f \ a) \ (\text{at-right } a);$

$(f \longrightarrow f \ b) \ (\text{at-left } b);$

$(\bigwedge x. a < x \implies x < b \implies f \ x \longrightarrow f \ x); a < b \rrbracket \implies$

continuous-on $\{a .. b\} \ f$

for $a :: 'a :: \text{linorder-topology}$

$\langle \text{proof} \rangle$

lemma

fixes $a\ b::'a::\text{linorder-topology}$
assumes $\text{continuous-on } \{a \dots b\} \ f \ a < b$
shows $\text{continuous-on-Icc-at-rightD}: (f \longrightarrow f \ a) \ (\text{at-right } a)$
and $\text{continuous-on-Icc-at-leftD}: (f \longrightarrow f \ b) \ (\text{at-left } b)$
 $\langle \text{proof} \rangle$

97.9.2 Continuity at a point

definition $\text{continuous} :: 'a::\text{t2-space filter} \Rightarrow ('a \Rightarrow 'b::\text{topological-space}) \Rightarrow \text{bool}$
where $\text{continuous } F \ f \longleftrightarrow (f \longrightarrow f \ (\text{Lim } F \ (\lambda x. x))) \ F$

lemma $\text{continuous-bot}[\text{continuous-intros}, \text{simp}]: \text{continuous bot } f$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-trivial-limit}: \text{trivial-limit net} \Longrightarrow \text{continuous net } f$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-within}: \text{continuous } (\text{at } x \text{ within } s) \ f \longleftrightarrow (f \longrightarrow f \ x) \ (\text{at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-within-topological}$:
 $\text{continuous } (\text{at } x \text{ within } s) \ f \longleftrightarrow$
 $(\forall B. \text{open } B \longrightarrow f \ x \in B \longrightarrow (\exists A. \text{open } A \wedge x \in A \wedge (\forall y \in s. y \in A \longrightarrow f \ y \in B)))$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-within-compose}[\text{continuous-intros}]$:
 $\text{continuous } (\text{at } x \text{ within } s) \ f \Longrightarrow \text{continuous } (\text{at } (f \ x) \text{ within } f \ ' s) \ g \Longrightarrow$
 $\text{continuous } (\text{at } x \text{ within } s) \ (g \circ f)$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-within-compose2}$:
 $\text{continuous } (\text{at } x \text{ within } s) \ f \Longrightarrow \text{continuous } (\text{at } (f \ x) \text{ within } f \ ' s) \ g \Longrightarrow$
 $\text{continuous } (\text{at } x \text{ within } s) \ (\lambda x. g \ (f \ x))$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-at}: \text{continuous } (\text{at } x) \ f \longleftrightarrow f \ -x \rightarrow f \ x$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-ident}[\text{continuous-intros}, \text{simp}]: \text{continuous } (\text{at } x \text{ within } S) \ (\lambda x. x)$
 $\langle \text{proof} \rangle$

lemma $\text{continuous-const}[\text{continuous-intros}, \text{simp}]: \text{continuous } F \ (\lambda x. c)$
 $\langle \text{proof} \rangle$

lemma *continuous-on-eq-continuous-within*:

continuous-on s f $\longleftrightarrow (\forall x \in s. \text{continuous } (\text{at } x \text{ within } s) f)$

<proof>

abbreviation *isCont* :: (*'a*::*t2-space* \Rightarrow *'b*::*topological-space*) \Rightarrow *'a* \Rightarrow *bool*

where *isCont f a* \equiv *continuous (at a) f*

lemma *isCont-def*: *isCont f a* $\longleftrightarrow f -a \rightarrow f a$

<proof>

lemma *isCont-cong*:

assumes *eventually* ($\lambda x. f x = g x$) (*nhds x*)

shows *isCont f x* \longleftrightarrow *isCont g x*

<proof>

lemma *continuous-at-imp-continuous-at-within*: *isCont f x* \Longrightarrow *continuous (at x within s) f*

<proof>

lemma *continuous-on-eq-continuous-at*: *open s* \Longrightarrow *continuous-on s f* $\longleftrightarrow (\forall x \in s. \text{isCont } f x)$

<proof>

lemma *continuous-within-open*: *a* \in *A* \Longrightarrow *open A* \Longrightarrow *continuous (at a within A) f* \longleftrightarrow *isCont f a*

<proof>

lemma *continuous-at-imp-continuous-on*: $\forall x \in s. \text{isCont } f x \Longrightarrow \text{continuous-on } s f$

<proof>

lemma *isCont-o2*: *isCont f a* \Longrightarrow *isCont g (f a)* \Longrightarrow *isCont ($\lambda x. g (f x)$) a*

<proof>

lemma *isCont-o[continuous-intros]*: *isCont f a* \Longrightarrow *isCont g (f a)* \Longrightarrow *isCont (g \circ f) a*

<proof>

lemma *isCont-tendsto-compose*: *isCont g l* $\Longrightarrow (f \longrightarrow l) F \Longrightarrow ((\lambda x. g (f x)) \longrightarrow g l) F$

<proof>

lemma *continuous-on-tendsto-compose*:

assumes *f-cont*: *continuous-on s f*

and *g*: (*g* \longrightarrow *l*) *F*

and *l*: *l* \in *s*

and *ev*: $\forall_F x \text{ in } F. g x \in s$

shows $((\lambda x. f (g x)) \longrightarrow f l) F$

<proof>

lemma *continuous-within-compose3*:

isCont g (f x) \implies *continuous* (*at* x *within* s) $f \implies$ *continuous* (*at* x *within* s)
 $(\lambda x. g (f x))$
 ⟨*proof*⟩

lemma *filtermap-nhds-open-map*:

assumes *cont*: *isCont* f a
and *open-map*: $\bigwedge S. \text{open } S \implies \text{open } (f'S)$
shows *filtermap* f (*nhds* a) = *nhds* ($f a$)
 ⟨*proof*⟩

lemma *continuous-at-split*:

continuous (*at* x) $f \iff$ *continuous* (*at-left* x) $f \wedge$ *continuous* (*at-right* x) f
for $x :: 'a::\text{linorder-topology}$
 ⟨*proof*⟩

The following open/closed Collect lemmas are ported from Sébastien Gouëzel’s *Ergodic-Theory*.

lemma *open-Collect-neq*:

fixes $f g :: 'a::\text{topological-space} \Rightarrow 'b::t2\text{-space}$
assumes f : *continuous-on* *UNIV* f **and** g : *continuous-on* *UNIV* g
shows *open* $\{x. f x \neq g x\}$
 ⟨*proof*⟩

lemma *closed-Collect-eq*:

fixes $f g :: 'a::\text{topological-space} \Rightarrow 'b::t2\text{-space}$
assumes f : *continuous-on* *UNIV* f **and** g : *continuous-on* *UNIV* g
shows *closed* $\{x. f x = g x\}$
 ⟨*proof*⟩

lemma *open-Collect-less*:

fixes $f g :: 'a::\text{topological-space} \Rightarrow 'b::\text{linorder-topology}$
assumes f : *continuous-on* *UNIV* f **and** g : *continuous-on* *UNIV* g
shows *open* $\{x. f x < g x\}$
 ⟨*proof*⟩

lemma *closed-Collect-le*:

fixes $f g :: 'a :: \text{topological-space} \Rightarrow 'b::\text{linorder-topology}$
assumes f : *continuous-on* *UNIV* f
and g : *continuous-on* *UNIV* g
shows *closed* $\{x. f x \leq g x\}$
 ⟨*proof*⟩

97.9.3 Open-cover compactness

context *topological-space*
begin

definition *compact* :: $'a \text{ set} \Rightarrow \text{bool}$

where *compact-eq-heine-borel*:

compact $S \longleftrightarrow (\forall C. (\forall c \in C. \text{open } c) \wedge S \subseteq \bigcup C \longrightarrow (\exists D \subseteq C. \text{finite } D \wedge S \subseteq \bigcup D))$

lemma *compactI*:

assumes $\bigwedge C. \forall t \in C. \text{open } t \implies s \subseteq \bigcup C \implies \exists C'. C' \subseteq C \wedge \text{finite } C' \wedge s \subseteq \bigcup C'$

shows *compact* s

$\langle \text{proof} \rangle$

lemma *compact-empty[simp]*: *compact* $\{\}$

$\langle \text{proof} \rangle$

lemma *compactE*:

assumes *compact* S $S \subseteq \bigcup \mathcal{T} \wedge B. B \in \mathcal{T} \implies \text{open } B$

obtains \mathcal{T}' **where** $\mathcal{T}' \subseteq \mathcal{T}$ *finite* \mathcal{T}' $S \subseteq \bigcup \mathcal{T}'$

$\langle \text{proof} \rangle$

lemma *compactE-image*:

assumes *compact* S

and *op*: $\bigwedge T. T \in C \implies \text{open } (f T)$

and $S: S \subseteq (\bigcup c \in C. f c)$

obtains C' **where** $C' \subseteq C$ **and** *finite* C' **and** $S \subseteq (\bigcup c \in C'. f c)$

$\langle \text{proof} \rangle$

lemma *compact-Int-closed [intro]*:

assumes *compact* S

and *closed* T

shows *compact* $(S \cap T)$

$\langle \text{proof} \rangle$

lemma *compact-diff*: $\llbracket \text{compact } S; \text{open } T \rrbracket \implies \text{compact}(S - T)$

$\langle \text{proof} \rangle$

lemma *inj-setminus*: *inj-on* *uminus* $(A::'a \text{ set set})$

$\langle \text{proof} \rangle$

97.10 Finite intersection property

lemma *compact-fip*:

compact $U \longleftrightarrow$

$(\forall A. (\forall a \in A. \text{closed } a) \longrightarrow (\forall B \subseteq A. \text{finite } B \longrightarrow U \cap \bigcap B \neq \{\})) \longrightarrow U \cap \bigcap A \neq \{\}$

(**is** - \longleftrightarrow ? R)

$\langle \text{proof} \rangle$

lemma *compact-imp-fip*:

assumes *compact* S

and $\bigwedge T. T \in F \implies \text{closed } T$

and $\bigwedge F'. \text{finite } F' \implies F' \subseteq F \implies S \cap (\bigcap F') \neq \{\}$
 shows $S \cap (\bigcap F) \neq \{\}$
 $\langle \text{proof} \rangle$

lemma *compact-imp-fip-image*:

assumes *compact s*
 and $P: \bigwedge i. i \in I \implies \text{closed } (f\ i)$
 and $Q: \bigwedge I'. \text{finite } I' \implies I' \subseteq I \implies (s \cap (\bigcap_{i \in I'} f\ i) \neq \{\})$
 shows $s \cap (\bigcap_{i \in I} f\ i) \neq \{\}$
 $\langle \text{proof} \rangle$

end

lemma (*in t2-space*) *compact-imp-closed*:

assumes *compact s*
 shows *closed s*
 $\langle \text{proof} \rangle$

lemma *compact-continuous-image*:

assumes $f: \text{continuous-on } s\ f$
 and $s: \text{compact } s$
 shows *compact* $(f\ 's)$
 $\langle \text{proof} \rangle$

lemma *continuous-on-inv*:

fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{t2-space}$
 assumes *continuous-on s f*
 and *compact s*
 and $\forall x \in s. g\ (f\ x) = x$
 shows *continuous-on* $(f\ 's)\ g$
 $\langle \text{proof} \rangle$

lemma *continuous-on-inv-into*:

fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{t2-space}$
 assumes $s: \text{continuous-on } s\ f$ *compact s*
 and $f: \text{inj-on } f\ s$
 shows *continuous-on* $(f\ 's)$ (*the-inv-into s f*)
 $\langle \text{proof} \rangle$

lemma (*in linorder-topology*) *compact-attains-sup*:

assumes *compact S* $S \neq \{\}$
 shows $\exists s \in S. \forall t \in S. t \leq s$
 $\langle \text{proof} \rangle$

lemma (*in linorder-topology*) *compact-attains-inf*:

assumes *compact S* $S \neq \{\}$
 shows $\exists s \in S. \forall t \in S. s \leq t$
 $\langle \text{proof} \rangle$

lemma *continuous-attains-sup*:

fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{linorder-topology}$

shows $\text{compact } s \Longrightarrow s \neq \{\} \Longrightarrow \text{continuous-on } s f \Longrightarrow (\exists x \in s. \forall y \in s. f y \leq f x)$
 $\langle \text{proof} \rangle$

lemma *continuous-attains-inf*:

fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{linorder-topology}$

shows $\text{compact } s \Longrightarrow s \neq \{\} \Longrightarrow \text{continuous-on } s f \Longrightarrow (\exists x \in s. \forall y \in s. f x \leq f y)$
 $\langle \text{proof} \rangle$

97.11 Connectedness

context *topological-space*

begin

definition *connected* $S \longleftrightarrow$

$\neg (\exists A B. \text{open } A \wedge \text{open } B \wedge S \subseteq A \cup B \wedge A \cap B \cap S = \{\} \wedge A \cap S \neq \{\} \wedge B \cap S \neq \{\})$

lemma *connectedI*:

$(\bigwedge A B. \text{open } A \Longrightarrow \text{open } B \Longrightarrow A \cap U \neq \{\} \Longrightarrow B \cap U \neq \{\} \Longrightarrow A \cap B \cap U = \{\} \Longrightarrow U \subseteq A \cup B \Longrightarrow \text{False})$
 $\Longrightarrow \text{connected } U$
 $\langle \text{proof} \rangle$

lemma *connected-empty* [simp]: *connected* $\{\}$

$\langle \text{proof} \rangle$

lemma *connected-sing* [simp]: *connected* $\{x\}$

$\langle \text{proof} \rangle$

lemma *connectedD*:

$\text{connected } A \Longrightarrow \text{open } U \Longrightarrow \text{open } V \Longrightarrow U \cap V \cap A = \{\} \Longrightarrow A \subseteq U \cup V$
 $\Longrightarrow U \cap A = \{\} \vee V \cap A = \{\}$
 $\langle \text{proof} \rangle$

end

lemma *connected-closed*:

connected $s \longleftrightarrow$

$\neg (\exists A B. \text{closed } A \wedge \text{closed } B \wedge s \subseteq A \cup B \wedge A \cap B \cap s = \{\} \wedge A \cap s \neq \{\} \wedge B \cap s \neq \{\})$
 $\langle \text{proof} \rangle$

lemma *connected-closedD*:

$\llbracket \text{connected } s; A \cap B \cap s = \{\}; s \subseteq A \cup B; \text{closed } A; \text{closed } B \rrbracket \Longrightarrow A \cap s = \{\} \vee B \cap s = \{\}$

$\langle proof \rangle$

lemma *connected-Union*:

assumes $cs: \bigwedge s. s \in S \implies \text{connected } s$

and $ne: \bigcap S \neq \{\}$

shows $\text{connected}(\bigcup S)$

$\langle proof \rangle$

lemma *connected-Un*: $\text{connected } s \implies \text{connected } t \implies s \cap t \neq \{\} \implies \text{connected } (s \cup t)$

$\langle proof \rangle$

lemma *connected-diff-open-from-closed*:

assumes $st: s \subseteq t$

and $tu: t \subseteq u$

and $s: \text{open } s$

and $t: \text{closed } t$

and $u: \text{connected } u$

and $ts: \text{connected } (t - s)$

shows $\text{connected}(u - s)$

$\langle proof \rangle$

lemma *connected-iff-const*:

fixes $S :: 'a::\text{topological-space set}$

shows $\text{connected } S \longleftrightarrow (\forall P::'a \Rightarrow \text{bool}. \text{continuous-on } S P \longrightarrow (\exists c. \forall s \in S. P s = c))$

$\langle proof \rangle$

lemma *connectedD-const*: $\text{connected } S \implies \text{continuous-on } S P \implies \exists c. \forall s \in S. P s = c$

for $P :: 'a::\text{topological-space} \Rightarrow \text{bool}$

$\langle proof \rangle$

lemma *connectedI-const*:

$(\bigwedge P::'a::\text{topological-space} \Rightarrow \text{bool}. \text{continuous-on } S P \implies \exists c. \forall s \in S. P s = c) \implies \text{connected } S$

$\langle proof \rangle$

lemma *connected-local-const*:

assumes $\text{connected } A \ a \in A \ b \in A$

and $*$: $\forall a \in A. \text{eventually } (\lambda b. f a = f b) \text{ (at } a \text{ within } A)$

shows $f a = f b$

$\langle proof \rangle$

lemma (*in linorder-topology*) *connectedD-interval*:

assumes $\text{connected } U$

and $xy: x \in U \ y \in U$

and $x \leq z \ z \leq y$

shows $z \in U$

<proof>

lemma *connected-continuous-image*:

assumes *: *continuous-on s f*

and *connected s*

shows *connected (f ‘ s)*

<proof>

98 Linear Continuum Topologies

class *linear-continuum-topology* = *linorder-topology* + *linear-continuum*
begin

lemma *Inf-notin-open*:

assumes *A: open A*

and *bnd: $\forall a \in A. x < a$*

shows *Inf A \notin A*

<proof>

lemma *Sup-notin-open*:

assumes *A: open A*

and *bnd: $\forall a \in A. a < x$*

shows *Sup A \notin A*

<proof>

end

instance *linear-continuum-topology* \subseteq *perfect-space*

<proof>

lemma *connectedI-interval*:

fixes *U :: 'a :: linear-continuum-topology set*

assumes *: $\bigwedge x y z. x \in U \implies y \in U \implies x \leq z \implies z \leq y \implies z \in U$

shows *connected U*

<proof>

lemma *connected-iff-interval*: *connected U $\longleftrightarrow (\forall x \in U. \forall y \in U. \forall z. x \leq z \longrightarrow z \leq y \longrightarrow z \in U)$*

for *U :: 'a :: linear-continuum-topology set*

<proof>

lemma *connected-UNIV[simp]*: *connected (UNIV :: 'a :: linear-continuum-topology set)*

<proof>

lemma *connected-Ioi[simp]*: *connected {a <..}*

for *a :: 'a :: linear-continuum-topology*

<proof>

lemma *connected-Ici[simp]*: *connected {a..}*

```

for a :: 'a::linear-continuum-topology
  ⟨proof⟩

lemma connected-Iio[simp]: connected {..}
  for a :: 'a::linear-continuum-topology
  ⟨proof⟩

lemma connected-Iic[simp]: connected {..a}
  for a :: 'a::linear-continuum-topology
  ⟨proof⟩

lemma connected-Ioo[simp]: connected {a<..b}
  for a b :: 'a::linear-continuum-topology
  ⟨proof⟩

lemma connected-Ioc[simp]: connected {a<..b}
  for a b :: 'a::linear-continuum-topology
  ⟨proof⟩

lemma connected-Ico[simp]: connected {a..b}
  for a b :: 'a::linear-continuum-topology
  ⟨proof⟩

lemma connected-Icc[simp]: connected {a..b}
  for a b :: 'a::linear-continuum-topology
  ⟨proof⟩

lemma connected-contains-Ioo:
  fixes A :: 'a :: linorder-topology set
  assumes connected A a ∈ A b ∈ A shows {a <..b} ⊆ A
  ⟨proof⟩

lemma connected-contains-Icc:
  fixes A :: 'a::linorder-topology set
  assumes connected A a ∈ A b ∈ A
  shows {a..b} ⊆ A
  ⟨proof⟩

```

98.1 Intermediate Value Theorem

```

lemma IVT':
  fixes f :: 'a::linear-continuum-topology ⇒ 'b::linorder-topology
  assumes y: f a ≤ y y ≤ f b a ≤ b
  and *: continuous-on {a .. b} f
  shows ∃x. a ≤ x ∧ x ≤ b ∧ f x = y
  ⟨proof⟩

lemma IVT2':
  fixes f :: 'a :: linear-continuum-topology ⇒ 'b :: linorder-topology

```

assumes $y: f\ b \leq y\ y \leq f\ a\ a \leq b$
and $*$: *continuous-on* $\{a .. b\}$ f
shows $\exists x. a \leq x \wedge x \leq b \wedge f\ x = y$
 $\langle proof \rangle$

lemma *IVT*:

fixes $f :: 'a::linear-continuum-topology \Rightarrow 'b::linorder-topology$
shows $f\ a \leq y \Longrightarrow y \leq f\ b \Longrightarrow a \leq b \Longrightarrow (\forall x. a \leq x \wedge x \leq b \longrightarrow isCont\ f\ x)$
 \Longrightarrow
 $\exists x. a \leq x \wedge x \leq b \wedge f\ x = y$
 $\langle proof \rangle$

lemma *IVT2*:

fixes $f :: 'a::linear-continuum-topology \Rightarrow 'b::linorder-topology$
shows $f\ b \leq y \Longrightarrow y \leq f\ a \Longrightarrow a \leq b \Longrightarrow (\forall x. a \leq x \wedge x \leq b \longrightarrow isCont\ f\ x)$
 \Longrightarrow
 $\exists x. a \leq x \wedge x \leq b \wedge f\ x = y$
 $\langle proof \rangle$

lemma *continuous-inj-imp-mono*:

fixes $f :: 'a::linear-continuum-topology \Rightarrow 'b::linorder-topology$
assumes $x: a < x\ x < b$
and *cont*: *continuous-on* $\{a..b\}$ f
and *inj*: *inj-on* $f\ \{a..b\}$
shows $(f\ a < f\ x \wedge f\ x < f\ b) \vee (f\ b < f\ x \wedge f\ x < f\ a)$
 $\langle proof \rangle$

lemma *continuous-at-Sup-mono*:

fixes $f :: 'a::\{linorder-topology, conditionally-complete-linorder\} \Rightarrow$
 $'b::\{linorder-topology, conditionally-complete-linorder\}$
assumes *mono* f
and *cont*: *continuous* $(at-left\ (Sup\ S))\ f$
and $S: S \neq \{\}$ *bdd-above* S
shows $f\ (Sup\ S) = (SUP\ s:S. f\ s)$
 $\langle proof \rangle$

lemma *continuous-at-Sup-antimono*:

fixes $f :: 'a::\{linorder-topology, conditionally-complete-linorder\} \Rightarrow$
 $'b::\{linorder-topology, conditionally-complete-linorder\}$
assumes *antimono* f
and *cont*: *continuous* $(at-left\ (Sup\ S))\ f$
and $S: S \neq \{\}$ *bdd-above* S
shows $f\ (Sup\ S) = (INF\ s:S. f\ s)$
 $\langle proof \rangle$

lemma *continuous-at-Inf-mono*:

fixes $f :: 'a::\{linorder-topology, conditionally-complete-linorder\} \Rightarrow$
 $'b::\{linorder-topology, conditionally-complete-linorder\}$
assumes *mono* f

and *cont*: *continuous* (*at-right* (*Inf* *S*)) *f*
and *S*: $S \neq \{\}$ *bdd-below* *S*
shows f (*Inf* *S*) = (*INF* *s*:*S*. *f s*)
 ⟨*proof*⟩

lemma *continuous-at-Inf-antimono*:

fixes *f* :: '*a*::{*linorder-topology, conditionally-complete-linorder*} \Rightarrow
 '*b*::{*linorder-topology, conditionally-complete-linorder*}
assumes *antimono* *f*
and *cont*: *continuous* (*at-right* (*Inf* *S*)) *f*
and *S*: $S \neq \{\}$ *bdd-below* *S*
shows f (*Inf* *S*) = (*SUP* *s*:*S*. *f s*)
 ⟨*proof*⟩

98.2 Uniform spaces

class *uniformity* =
fixes *uniformity* :: ('*a* × '*a*) *filter*
begin

abbreviation *uniformity-on* :: '*a* *set* \Rightarrow ('*a* × '*a*) *filter*
where *uniformity-on* *s* \equiv *inf* *uniformity* (*principal* (*s* × *s*))

end

lemma *uniformity-Abort*:

uniformity =
Filter.abstract-filter ($\lambda u.$ *Code.abort* (*STR* "*uniformity is not executable*") ($\lambda u.$
uniformity))
 ⟨*proof*⟩

class *open-uniformity* = *open* + *uniformity* +
assumes *open-uniformity*:
 $\bigwedge U. \text{open } U \longleftrightarrow (\forall x \in U. \text{eventually } (\lambda(x', y). x' = x \longrightarrow y \in U) \text{ uniformity})$

class *uniform-space* = *open-uniformity* +
assumes *uniformity-refl*: *eventually* *E* *uniformity* \Longrightarrow *E* (*x*, *x*)
and *uniformity-sym*: *eventually* *E* *uniformity* \Longrightarrow *eventually* ($\lambda(x, y). \text{E } (y,$
x)) *uniformity*
and *uniformity-trans*:
eventually *E* *uniformity* \Longrightarrow
 $\exists D. \text{eventually } D \text{ uniformity} \wedge (\forall x y z. D(x, y) \longrightarrow D(y, z) \longrightarrow E(x,$
z))
begin

subclass *topological-space*
 ⟨*proof*⟩

lemma *uniformity-bot*: *uniformity* \neq *bot*

$\langle \text{proof} \rangle$

lemma *uniformity-trans'*:

eventually E uniformity \implies

eventually $(\lambda((x, y), (y', z)). y = y' \longrightarrow E(x, z))$ (*uniformity* \times_F *uniformity*)

$\langle \text{proof} \rangle$

lemma *uniformity-transE*:

assumes *eventually E uniformity*

obtains *D where eventually D uniformity* $\bigwedge x y z. D(x, y) \implies D(y, z) \implies E(x, z)$

$\langle \text{proof} \rangle$

lemma *eventually-nhds-uniformity*:

eventually P (nhds x) \longleftrightarrow *eventually* $(\lambda(x', y). x' = x \longrightarrow P y)$ *uniformity*

(**is** - \longleftrightarrow ?*N P x*)

$\langle \text{proof} \rangle$

98.2.1 Totally bounded sets

definition *totally-bounded* :: 'a set \Rightarrow bool

where *totally-bounded S* \longleftrightarrow

$(\forall E. \text{eventually } E \text{ uniformity} \longrightarrow (\exists X. \text{finite } X \wedge (\forall s \in S. \exists x \in X. E(x, s))))$

lemma *totally-bounded-empty[iff]*: *totally-bounded* {}

$\langle \text{proof} \rangle$

lemma *totally-bounded-subset*: *totally-bounded S* $\implies T \subseteq S \implies$ *totally-bounded T*

$\langle \text{proof} \rangle$

lemma *totally-bounded-Union[intro]*:

assumes *M: finite M* $\bigwedge S. S \in M \implies$ *totally-bounded S*

shows *totally-bounded* $(\bigcup M)$

$\langle \text{proof} \rangle$

98.2.2 Cauchy filter

definition *cauchy-filter* :: 'a filter \Rightarrow bool

where *cauchy-filter F* $\longleftrightarrow F \times_F F \leq$ *uniformity*

definition *Cauchy* :: (nat \Rightarrow 'a) \Rightarrow bool

where *Cauchy-uniform*: *Cauchy X* = *cauchy-filter* (*filtermap X sequentially*)

lemma *Cauchy-uniform-iff*:

Cauchy X $\longleftrightarrow (\forall P. \text{eventually } P \text{ uniformity} \longrightarrow (\exists N. \forall n \geq N. \forall m \geq N. P(X n, X m)))$

$\langle \text{proof} \rangle$

lemma *nhds-imp-cauchy-filter*:

assumes *: $F \leq \text{nhds } x$
shows *cauchy-filter* F
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-imp-Cauchy*: $X \longrightarrow x \implies \text{Cauchy } X$
 $\langle \text{proof} \rangle$

lemma *Cauchy-subseq-Cauchy*:
assumes *Cauchy* X *strict-mono* f
shows *Cauchy* $(X \circ f)$
 $\langle \text{proof} \rangle$

lemma *convergent-Cauchy*: *convergent* $X \implies \text{Cauchy } X$
 $\langle \text{proof} \rangle$

definition *complete* :: 'a set \Rightarrow bool
where *complete-uniform*: *complete* $S \longleftrightarrow$
 $(\forall F \leq \text{principal } S. F \neq \text{bot} \longrightarrow \text{cauchy-filter } F \longrightarrow (\exists x \in S. F \leq \text{nhds } x))$

end

98.2.3 Uniformly continuous functions

definition *uniformly-continuous-on* :: 'a set \Rightarrow ('a::uniform-space \Rightarrow 'b::uniform-space)
 \Rightarrow bool
where *uniformly-continuous-on-uniformity*: *uniformly-continuous-on* $s f \longleftrightarrow$
 $(\text{LIM } (x, y) (\text{uniformity-on } s). (f x, f y) :> \text{uniformity})$

lemma *uniformly-continuous-onD*:
uniformly-continuous-on $s f \implies \text{eventually } E \text{ uniformity} \implies$
 $\text{eventually } (\lambda(x, y). x \in s \longrightarrow y \in s \longrightarrow E (f x, f y)) \text{ uniformity}$
 $\langle \text{proof} \rangle$

lemma *uniformly-continuous-on-const*[*continuous-intros*]: *uniformly-continuous-on*
 $s (\lambda x. c)$
 $\langle \text{proof} \rangle$

lemma *uniformly-continuous-on-id*[*continuous-intros*]: *uniformly-continuous-on* s
 $(\lambda x. x)$
 $\langle \text{proof} \rangle$

lemma *uniformly-continuous-on-compose*[*continuous-intros*]:
uniformly-continuous-on $s g \implies \text{uniformly-continuous-on } (g's) f \implies$
uniformly-continuous-on $s (\lambda x. f (g x))$
 $\langle \text{proof} \rangle$

lemma *uniformly-continuous-imp-continuous*:
assumes f : *uniformly-continuous-on* $s f$
shows *continuous-on* $s f$

<proof>

99 Product Topology

99.1 Product is a topological space

instantiation *prod* :: (*topological-space*, *topological-space*) *topological-space*
begin

definition *open-prod-def*[*code del*]:

open (*S* :: ('*a* × '*b*) *set*) \longleftrightarrow
 $(\forall x \in S. \exists A B. \text{open } A \wedge \text{open } B \wedge x \in A \times B \wedge A \times B \subseteq S)$

lemma *open-prod-elim*:

assumes *open S* **and** $x \in S$

obtains *A B* **where** *open A* **and** *open B* **and** $x \in A \times B$ **and** $A \times B \subseteq S$

<proof>

lemma *open-prod-intro*:

assumes $\bigwedge x. x \in S \implies \exists A B. \text{open } A \wedge \text{open } B \wedge x \in A \times B \wedge A \times B \subseteq S$

shows *open S*

<proof>

instance

<proof>

end

declare [[*code abort*: *open* :: ('*a*::*topological-space* × '*b*::*topological-space*) *set* \Rightarrow *bool*]]

lemma *open-Times*: *open S* \implies *open T* \implies *open* (*S* × *T*)

<proof>

lemma *fst-vimage-eq-Times*: *fst* -' *S* = *S* × *UNIV*

<proof>

lemma *snd-vimage-eq-Times*: *snd* -' *S* = *UNIV* × *S*

<proof>

lemma *open-vimage-fst*: *open S* \implies *open* (*fst* -' *S*)

<proof>

lemma *open-vimage-snd*: *open S* \implies *open* (*snd* -' *S*)

<proof>

lemma *closed-vimage-fst*: *closed S* \implies *closed* (*fst* -' *S*)

<proof>

lemma *closed-vimage-snd*: $\text{closed } S \implies \text{closed } (\text{snd } - ' S)$
 $\langle \text{proof} \rangle$

lemma *closed-Times*: $\text{closed } S \implies \text{closed } T \implies \text{closed } (S \times T)$
 $\langle \text{proof} \rangle$

lemma *subset-fst-imageI*: $A \times B \subseteq S \implies y \in B \implies A \subseteq \text{fst } ' S$
 $\langle \text{proof} \rangle$

lemma *subset-snd-imageI*: $A \times B \subseteq S \implies x \in A \implies B \subseteq \text{snd } ' S$
 $\langle \text{proof} \rangle$

lemma *open-image-fst*:
 assumes $\text{open } S$
 shows $\text{open } (\text{fst } ' S)$
 $\langle \text{proof} \rangle$

lemma *open-image-snd*:
 assumes $\text{open } S$
 shows $\text{open } (\text{snd } ' S)$
 $\langle \text{proof} \rangle$

lemma *nhds-prod*: $\text{nhds } (a, b) = \text{nhds } a \times_F \text{nhds } b$
 $\langle \text{proof} \rangle$

99.1.1 Continuity of operations

lemma *tendsto-fst* [*tendsto-intros*]:
 assumes $(f \longrightarrow a) F$
 shows $((\lambda x. \text{fst } (f x)) \longrightarrow \text{fst } a) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-snd* [*tendsto-intros*]:
 assumes $(f \longrightarrow a) F$
 shows $((\lambda x. \text{snd } (f x)) \longrightarrow \text{snd } a) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-Pair* [*tendsto-intros*]:
 assumes $(f \longrightarrow a) F$ and $(g \longrightarrow b) F$
 shows $((\lambda x. (f x, g x)) \longrightarrow (a, b)) F$
 $\langle \text{proof} \rangle$

lemma *continuous-fst*[*continuous-intros*]: $\text{continuous } F f \implies \text{continuous } F (\lambda x. \text{fst } (f x))$
 $\langle \text{proof} \rangle$

lemma *continuous-snd*[*continuous-intros*]: $\text{continuous } F f \implies \text{continuous } F (\lambda x. \text{snd } (f x))$
 $\langle \text{proof} \rangle$

lemma *continuous-Pair*[*continuous-intros*]:

continuous F f \implies continuous F g \implies continuous F ($\lambda x. (f x, g x)$)
<proof>

lemma *continuous-on-fst*[*continuous-intros*]:

continuous-on s f \implies continuous-on s ($\lambda x. \text{fst } (f x)$)
<proof>

lemma *continuous-on-snd*[*continuous-intros*]:

continuous-on s f \implies continuous-on s ($\lambda x. \text{snd } (f x)$)
<proof>

lemma *continuous-on-Pair*[*continuous-intros*]:

continuous-on s f \implies continuous-on s g \implies continuous-on s ($\lambda x. (f x, g x)$)
<proof>

lemma *continuous-on-swap*[*continuous-intros*]: *continuous-on A prod.swap*

<proof>

lemma *continuous-on-swap-args*:

assumes *continuous-on (A \times B) ($\lambda(x,y). d x y$)*

shows *continuous-on (B \times A) ($\lambda(x,y). d y x$)*

<proof>

lemma *isCont-fst* [*simp*]: *isCont f a \implies isCont ($\lambda x. \text{fst } (f x)$) a*

<proof>

lemma *isCont-snd* [*simp*]: *isCont f a \implies isCont ($\lambda x. \text{snd } (f x)$) a*

<proof>

lemma *isCont-Pair* [*simp*]: *[[isCont f a; isCont g a] \implies isCont ($\lambda x. (f x, g x)$) a*

<proof>

99.1.2 Separation axioms

instance *prod* :: (*t0-space*, *t0-space*) *t0-space*

<proof>

instance *prod* :: (*t1-space*, *t1-space*) *t1-space*

<proof>

instance *prod* :: (*t2-space*, *t2-space*) *t2-space*

<proof>

lemma *isCont-swap*[*continuous-intros*]: *isCont prod.swap a*

<proof>

lemma *open-diagonal-complement*:

open $\{(x,y) \mid x \ y. \ x \neq (y::('a::t2\text{-space}))\}$
 $\langle \text{proof} \rangle$

lemma *closed-diagonal*:
closed $\{y. \ \exists \ x::('a::t2\text{-space}). \ y = (x,x)\}$
 $\langle \text{proof} \rangle$

lemma *open-superdiagonal*:
open $\{(x,y) \mid x \ y. \ x > (y::'a::\{\text{linorder-topology}\})\}$
 $\langle \text{proof} \rangle$

lemma *closed-subdiagonal*:
closed $\{(x,y) \mid x \ y. \ x \leq (y::'a::\{\text{linorder-topology}\})\}$
 $\langle \text{proof} \rangle$

lemma *open-subdiagonal*:
open $\{(x,y) \mid x \ y. \ x < (y::'a::\{\text{linorder-topology}\})\}$
 $\langle \text{proof} \rangle$

lemma *closed-superdiagonal*:
closed $\{(x,y) \mid x \ y. \ x \geq (y::'a::\{\text{linorder-topology}\})\}$
 $\langle \text{proof} \rangle$

end

100 Vector Spaces and Algebras over the Reals

theory *Real-Vector-Spaces*
imports *Real Topological-Spaces*
begin

100.1 Locale for additive functions

locale *additive* =
fixes $f :: 'a::\text{ab-group-add} \Rightarrow 'b::\text{ab-group-add}$
assumes $\text{add}: f \ (x + y) = f \ x + f \ y$
begin

lemma *zero*: $f \ 0 = 0$
 $\langle \text{proof} \rangle$

lemma *minus*: $f \ (- \ x) = - \ f \ x$
 $\langle \text{proof} \rangle$

lemma *diff*: $f \ (x - y) = f \ x - f \ y$
 $\langle \text{proof} \rangle$

lemma *sum*: $f \ (\text{sum } g \ A) = (\sum x \in A. \ f \ (g \ x))$
 $\langle \text{proof} \rangle$

end

100.2 Vector spaces

locale *vector-space* =

fixes *scale* :: 'a::field \Rightarrow 'b::ab-group-add \Rightarrow 'b

assumes *scale-right-distrib* [*algebra-simps*]: $\text{scale } a \ (x + y) = \text{scale } a \ x + \text{scale } a \ y$

and *scale-left-distrib* [*algebra-simps*]: $\text{scale } (a + b) \ x = \text{scale } a \ x + \text{scale } b \ x$

and *scale-scale* [*simp*]: $\text{scale } a \ (\text{scale } b \ x) = \text{scale } (a * b) \ x$

and *scale-one* [*simp*]: $\text{scale } 1 \ x = x$

begin

lemma *scale-left-commute*: $\text{scale } a \ (\text{scale } b \ x) = \text{scale } b \ (\text{scale } a \ x)$

 ⟨*proof*⟩

lemma *scale-zero-left* [*simp*]: $\text{scale } 0 \ x = 0$

and *scale-minus-left* [*simp*]: $\text{scale } (- a) \ x = - (\text{scale } a \ x)$

and *scale-left-diff-distrib* [*algebra-simps*]: $\text{scale } (a - b) \ x = \text{scale } a \ x - \text{scale } b \ x$

and *scale-sum-left*: $\text{scale } (\text{sum } f \ A) \ x = (\sum a \in A. \text{scale } (f \ a) \ x)$

 ⟨*proof*⟩

lemma *scale-zero-right* [*simp*]: $\text{scale } a \ 0 = 0$

and *scale-minus-right* [*simp*]: $\text{scale } a \ (- x) = - (\text{scale } a \ x)$

and *scale-right-diff-distrib* [*algebra-simps*]: $\text{scale } a \ (x - y) = \text{scale } a \ x - \text{scale } a \ y$

and *scale-sum-right*: $\text{scale } a \ (\text{sum } f \ A) = (\sum x \in A. \text{scale } a \ (f \ x))$

 ⟨*proof*⟩

lemma *scale-eq-0-iff* [*simp*]: $\text{scale } a \ x = 0 \longleftrightarrow a = 0 \vee x = 0$

 ⟨*proof*⟩

lemma *scale-left-imp-eq*:

assumes *nonzero*: $a \neq 0$

and *scale*: $\text{scale } a \ x = \text{scale } a \ y$

shows $x = y$

 ⟨*proof*⟩

lemma *scale-right-imp-eq*:

assumes *nonzero*: $x \neq 0$

and *scale*: $\text{scale } a \ x = \text{scale } b \ x$

shows $a = b$

 ⟨*proof*⟩

lemma *scale-cancel-left* [*simp*]: $\text{scale } a \ x = \text{scale } a \ y \longleftrightarrow x = y \vee a = 0$

 ⟨*proof*⟩

lemma *scale-cancel-right* [*simp*]: $\text{scale } a \ x = \text{scale } b \ x \longleftrightarrow a = b \vee x = 0$

<proof>

end

100.3 Real vector spaces

```
class scaleR =
  fixes scaleR :: real  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr *R 75)
begin
```

```
abbreviation divideR :: 'a  $\Rightarrow$  real  $\Rightarrow$  'a (infixl '/R 70)
  where x /R r  $\equiv$  scaleR (inverse r) x
```

end

```
class real-vector = scaleR + ab-group-add +
  assumes scaleR-add-right: scaleR a (x + y) = scaleR a x + scaleR a y
  and scaleR-add-left: scaleR (a + b) x = scaleR a x + scaleR b x
  and scaleR-scaleR: scaleR a (scaleR b x) = scaleR (a * b) x
  and scaleR-one: scaleR 1 x = x
```

```
interpretation real-vector: vector-space scaleR :: real  $\Rightarrow$  'a  $\Rightarrow$  'a::real-vector
  <proof>
```

Recover original theorem names

```
lemmas scaleR-left-commute = real-vector.scale-left-commute
lemmas scaleR-zero-left = real-vector.scale-zero-left
lemmas scaleR-minus-left = real-vector.scale-minus-left
lemmas scaleR-diff-left = real-vector.scale-left-diff-distrib
lemmas scaleR-sum-left = real-vector.scale-sum-left
lemmas scaleR-zero-right = real-vector.scale-zero-right
lemmas scaleR-minus-right = real-vector.scale-minus-right
lemmas scaleR-diff-right = real-vector.scale-right-diff-distrib
lemmas scaleR-sum-right = real-vector.scale-sum-right
lemmas scaleR-eq-0-iff = real-vector.scale-eq-0-iff
lemmas scaleR-left-imp-eq = real-vector.scale-left-imp-eq
lemmas scaleR-right-imp-eq = real-vector.scale-right-imp-eq
lemmas scaleR-cancel-left = real-vector.scale-cancel-left
lemmas scaleR-cancel-right = real-vector.scale-cancel-right
```

Legacy names

```
lemmas scaleR-left-distrib = scaleR-add-left
lemmas scaleR-right-distrib = scaleR-add-right
lemmas scaleR-left-diff-distrib = scaleR-diff-left
lemmas scaleR-right-diff-distrib = scaleR-diff-right
```

```
lemma scaleR-minus1-left [simp]: scaleR (-1) x = - x
  for x :: 'a::real-vector
  <proof>
```

lemma *scaleR-2*:

fixes $x :: 'a::\text{real-vector}$

shows $\text{scaleR } 2 \ x = x + x$

$\langle \text{proof} \rangle$

lemma *scaleR-half-double* [*simp*]:

fixes $a :: 'a::\text{real-vector}$

shows $(1 / 2) *_{\text{R}} (a + a) = a$

$\langle \text{proof} \rangle$

class *real-algebra* = *real-vector* + *ring* +

assumes *mult-scaleR-left* [*simp*]: $\text{scaleR } a \ x * y = \text{scaleR } a \ (x * y)$

and *mult-scaleR-right* [*simp*]: $x * \text{scaleR } a \ y = \text{scaleR } a \ (x * y)$

class *real-algebra-1* = *real-algebra* + *ring-1*

class *real-div-algebra* = *real-algebra-1* + *division-ring*

class *real-field* = *real-div-algebra* + *field*

instantiation *real* :: *real-field*

begin

definition *real-scaleR-def* [*simp*]: $\text{scaleR } a \ x = a * x$

instance

$\langle \text{proof} \rangle$

end

interpretation *scaleR-left*: *additive* $(\lambda a. \text{scaleR } a \ x :: 'a::\text{real-vector})$

$\langle \text{proof} \rangle$

interpretation *scaleR-right*: *additive* $(\lambda x. \text{scaleR } a \ x :: 'a::\text{real-vector})$

$\langle \text{proof} \rangle$

lemma *nonzero-inverse-scaleR-distrib*:

$a \neq 0 \implies x \neq 0 \implies \text{inverse } (\text{scaleR } a \ x) = \text{scaleR } (\text{inverse } a) \ (\text{inverse } x)$

for $x :: 'a::\text{real-div-algebra}$

$\langle \text{proof} \rangle$

lemma *inverse-scaleR-distrib*: $\text{inverse } (\text{scaleR } a \ x) = \text{scaleR } (\text{inverse } a) \ (\text{inverse } x)$

for $x :: 'a::\{\text{real-div-algebra}, \text{division-ring}\}$

$\langle \text{proof} \rangle$

lemma *sum-constant-scaleR*: $(\sum_{x \in A. y}) = \text{of-nat } (\text{card } A) *_{\text{R}} y$

for $y :: 'a::\text{real-vector}$

$\langle \text{proof} \rangle$

named-theorems *vector-add-divide-simps to simplify sums of scaled vectors*

lemma [vector-add-divide-simps]:

$v + (b / z) *_R w = (\text{if } z = 0 \text{ then } v \text{ else } (z *_R v + b *_R w) /_R z)$
 $a *_R v + (b / z) *_R w = (\text{if } z = 0 \text{ then } a *_R v \text{ else } ((a *_R z) *_R v + b *_R w) /_R z)$
 $(a / z) *_R v + w = (\text{if } z = 0 \text{ then } w \text{ else } (a *_R v + z *_R w) /_R z)$
 $(a / z) *_R v + b *_R w = (\text{if } z = 0 \text{ then } b *_R w \text{ else } (a *_R v + (b *_R z) *_R w) /_R z)$
 $v - (b / z) *_R w = (\text{if } z = 0 \text{ then } v \text{ else } (z *_R v - b *_R w) /_R z)$
 $a *_R v - (b / z) *_R w = (\text{if } z = 0 \text{ then } a *_R v \text{ else } ((a *_R z) *_R v - b *_R w) /_R z)$
 $(a / z) *_R v - w = (\text{if } z = 0 \text{ then } -w \text{ else } (a *_R v - z *_R w) /_R z)$
 $(a / z) *_R v - b *_R w = (\text{if } z = 0 \text{ then } -b *_R w \text{ else } (a *_R v - (b *_R z) *_R w) /_R z)$
for $v :: 'a :: \text{real-vector}$
 $\langle \text{proof} \rangle$

lemma eq-vector-fraction-iff [vector-add-divide-simps]:

fixes $x :: 'a :: \text{real-vector}$
shows $(x = (u / v) *_R a) \longleftrightarrow (\text{if } v=0 \text{ then } x = 0 \text{ else } v *_R x = u *_R a)$
 $\langle \text{proof} \rangle$

lemma vector-fraction-eq-iff [vector-add-divide-simps]:

fixes $x :: 'a :: \text{real-vector}$
shows $((u / v) *_R a = x) \longleftrightarrow (\text{if } v=0 \text{ then } x = 0 \text{ else } u *_R a = v *_R x)$
 $\langle \text{proof} \rangle$

lemma real-vector-affinity-eq:

fixes $x :: 'a :: \text{real-vector}$
assumes $m0: m \neq 0$
shows $m *_R x + c = y \longleftrightarrow x = \text{inverse } m *_R y - (\text{inverse } m *_R c)$
(is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

lemma real-vector-eq-affinity: $m \neq 0 \implies y = m *_R x + c \longleftrightarrow \text{inverse } m *_R y - (\text{inverse } m *_R c) = x$

for $x :: 'a :: \text{real-vector}$
 $\langle \text{proof} \rangle$

lemma scaleR-eq-iff [simp]: $b + u *_R a = a + u *_R b \longleftrightarrow a = b \vee u = 1$

for $a :: 'a :: \text{real-vector}$
 $\langle \text{proof} \rangle$

lemma scaleR-collapse [simp]: $(1 - u) *_R a + u *_R a = a$

for $a :: 'a :: \text{real-vector}$

$\langle proof \rangle$

100.4 Embedding of the Reals into any *real-algebra-1*: *of-real*

definition *of-real* :: *real* \Rightarrow '*a*::*real-algebra-1*
where *of-real* *r* = *scaleR* *r* 1

lemma *scaleR-conv-of-real*: *scaleR* *r* *x* = *of-real* *r* * *x*
 $\langle proof \rangle$

lemma *of-real-0* [*simp*]: *of-real* 0 = 0
 $\langle proof \rangle$

lemma *of-real-1* [*simp*]: *of-real* 1 = 1
 $\langle proof \rangle$

lemma *of-real-add* [*simp*]: *of-real* (*x* + *y*) = *of-real* *x* + *of-real* *y*
 $\langle proof \rangle$

lemma *of-real-minus* [*simp*]: *of-real* (− *x*) = − *of-real* *x*
 $\langle proof \rangle$

lemma *of-real-diff* [*simp*]: *of-real* (*x* − *y*) = *of-real* *x* − *of-real* *y*
 $\langle proof \rangle$

lemma *of-real-mult* [*simp*]: *of-real* (*x* * *y*) = *of-real* *x* * *of-real* *y*
 $\langle proof \rangle$

lemma *of-real-sum*[*simp*]: *of-real* (*sum* *f* *s*) = ($\sum x \in s.$ *of-real* (*f* *x*))
 $\langle proof \rangle$

lemma *of-real-prod*[*simp*]: *of-real* (*prod* *f* *s*) = ($\prod x \in s.$ *of-real* (*f* *x*))
 $\langle proof \rangle$

lemma *nonzero-of-real-inverse*:
 $x \neq 0 \implies \text{of-real } (\text{inverse } x) = \text{inverse } (\text{of-real } x :: 'a::\text{real-div-algebra})$
 $\langle proof \rangle$

lemma *of-real-inverse* [*simp*]:
 $\text{of-real } (\text{inverse } x) = \text{inverse } (\text{of-real } x :: 'a::\{\text{real-div-algebra}, \text{division-ring}\})$
 $\langle proof \rangle$

lemma *nonzero-of-real-divide*:
 $y \neq 0 \implies \text{of-real } (x / y) = (\text{of-real } x / \text{of-real } y :: 'a::\text{real-field})$
 $\langle proof \rangle$

lemma *of-real-divide* [*simp*]:
 $\text{of-real } (x / y) = (\text{of-real } x / \text{of-real } y :: 'a::\text{real-div-algebra})$
 $\langle proof \rangle$

lemma *of-real-power* [simp]:

of-real ($x \wedge n$) = (*of-real* $x :: 'a::\{\text{real-algebra-1}\}$) $\wedge n$
 ⟨proof⟩

lemma *of-real-eq-iff* [simp]: *of-real* $x = \text{of-real } y \longleftrightarrow x = y$
 ⟨proof⟩

lemma *inj-of-real*: *inj of-real*
 ⟨proof⟩

lemmas *of-real-eq-0-iff* [simp] = *of-real-eq-iff* [*of - 0, simplified*]

lemmas *of-real-eq-1-iff* [simp] = *of-real-eq-iff* [*of - 1, simplified*]

lemma *of-real-eq-id* [simp]: *of-real* = (*id* :: *real* \Rightarrow *real*)
 ⟨proof⟩

Collapse nested embeddings.

lemma *of-real-of-nat-eq* [simp]: *of-real* (*of-nat* n) = *of-nat* n
 ⟨proof⟩

lemma *of-real-of-int-eq* [simp]: *of-real* (*of-int* z) = *of-int* z
 ⟨proof⟩

lemma *of-real-numeral* [simp]: *of-real* (*numeral* w) = *numeral* w
 ⟨proof⟩

lemma *of-real-neg-numeral* [simp]: *of-real* ($- \text{numeral } w$) = $- \text{numeral } w$
 ⟨proof⟩

Every real algebra has characteristic zero.

instance *real-algebra-1* < *ring-char-0*
 ⟨proof⟩

lemma *fraction-scaleR-times* [simp]:

fixes $a :: 'a::\text{real-algebra-1}$

shows (*numeral* u / *numeral* v) \ast_R (*numeral* $w \ast a$) = (*numeral* $u \ast \text{numeral } w$ / *numeral* v) $\ast_R a$
 ⟨proof⟩

lemma *inverse-scaleR-times* [simp]:

fixes $a :: 'a::\text{real-algebra-1}$

shows (1 / *numeral* v) \ast_R (*numeral* $w \ast a$) = (*numeral* w / *numeral* v) $\ast_R a$
 ⟨proof⟩

lemma *scaleR-times* [simp]:

fixes $a :: 'a::\text{real-algebra-1}$

shows (*numeral* u) \ast_R (*numeral* $w \ast a$) = (*numeral* $u \ast \text{numeral } w$) $\ast_R a$
 ⟨proof⟩

instance *real-field* < *field-char-0* ⟨*proof*⟩

100.5 The Set of Real Numbers

definition *Reals* :: 'a::real-algebra-1 set (\mathbb{R})
where \mathbb{R} = *range of-real*

lemma *Reals-of-real* [*simp*]: *of-real* $r \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-of-int* [*simp*]: *of-int* $z \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-of-nat* [*simp*]: *of-nat* $n \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-numeral* [*simp*]: *numeral* $w \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-0* [*simp*]: $0 \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-1* [*simp*]: $1 \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-add* [*simp*]: $a \in \mathbb{R} \implies b \in \mathbb{R} \implies a + b \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-minus* [*simp*]: $a \in \mathbb{R} \implies -a \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-diff* [*simp*]: $a \in \mathbb{R} \implies b \in \mathbb{R} \implies a - b \in \mathbb{R}$
 ⟨*proof*⟩

lemma *Reals-mult* [*simp*]: $a \in \mathbb{R} \implies b \in \mathbb{R} \implies a * b \in \mathbb{R}$
 ⟨*proof*⟩

lemma *nonzero-Reals-inverse*: $a \in \mathbb{R} \implies a \neq 0 \implies \text{inverse } a \in \mathbb{R}$
for a :: 'a::real-div-algebra
 ⟨*proof*⟩

lemma *Reals-inverse*: $a \in \mathbb{R} \implies \text{inverse } a \in \mathbb{R}$
for a :: 'a::{real-div-algebra,division-ring}
 ⟨*proof*⟩

lemma *Reals-inverse-iff* [*simp*]: $\text{inverse } x \in \mathbb{R} \longleftrightarrow x \in \mathbb{R}$
for x :: 'a::{real-div-algebra,division-ring}
 ⟨*proof*⟩

lemma *nonzero-Reals-divide*: $a \in \mathbb{R} \implies b \in \mathbb{R} \implies b \neq 0 \implies a / b \in \mathbb{R}$
for $a\ b :: 'a::\text{real-field}$
 $\langle \text{proof} \rangle$

lemma *Reals-divide* [simp]: $a \in \mathbb{R} \implies b \in \mathbb{R} \implies a / b \in \mathbb{R}$
for $a\ b :: 'a::\{\text{real-field}, \text{field}\}$
 $\langle \text{proof} \rangle$

lemma *Reals-power* [simp]: $a \in \mathbb{R} \implies a ^ n \in \mathbb{R}$
for $a :: 'a::\text{real-algebra-1}$
 $\langle \text{proof} \rangle$

lemma *Reals-cases* [cases set: *Reals*]:
assumes $q \in \mathbb{R}$
obtains (*of-real*) r **where** $q = \text{of-real } r$
 $\langle \text{proof} \rangle$

lemma *sum-in-Reals* [intro,simp]: $(\bigwedge i. i \in s \implies f\ i \in \mathbb{R}) \implies \text{sum } f\ s \in \mathbb{R}$
 $\langle \text{proof} \rangle$

lemma *prod-in-Reals* [intro,simp]: $(\bigwedge i. i \in s \implies f\ i \in \mathbb{R}) \implies \text{prod } f\ s \in \mathbb{R}$
 $\langle \text{proof} \rangle$

lemma *Reals-induct* [case-names *of-real*, induct set: *Reals*]:
 $q \in \mathbb{R} \implies (\bigwedge r. P\ (\text{of-real } r)) \implies P\ q$
 $\langle \text{proof} \rangle$

100.6 Ordered real vector spaces

class *ordered-real-vector* = *real-vector* + *ordered-ab-group-add* +
assumes *scaleR-left-mono*: $x \leq y \implies 0 \leq a \implies a *_R x \leq a *_R y$
and *scaleR-right-mono*: $a \leq b \implies 0 \leq x \implies a *_R x \leq b *_R x$
begin

lemma *scaleR-mono*: $a \leq b \implies x \leq y \implies 0 \leq b \implies 0 \leq x \implies a *_R x \leq b *_R y$
 $\langle \text{proof} \rangle$

lemma *scaleR-mono'*: $a \leq b \implies c \leq d \implies 0 \leq a \implies 0 \leq c \implies a *_R c \leq b *_R d$
 $\langle \text{proof} \rangle$

lemma *pos-le-divideRI*:
assumes $0 < c$
and $c *_R a \leq b$
shows $a \leq b /_R c$
 $\langle \text{proof} \rangle$

lemma *pos-le-divideR-eq*:

assumes $0 < c$

shows $a \leq b \wedge c \leq a \iff c *_{\mathbf{R}} a \leq b$
(is ?lhs \iff ?rhs)

<proof>

lemma *scaleR-image-atLeastAtMost*: $c > 0 \implies \text{scaleR } c \, \cdot \, \{x..y\} = \{c *_{\mathbf{R}} x..c$
 $*_{\mathbf{R}} y\}$

<proof>

end

lemma *neg-le-divideR-eq*:

fixes $a :: 'a :: \text{ordered-real-vector}$

assumes $c < 0$

shows $a \leq b \wedge c \leq a \iff b \leq c *_{\mathbf{R}} a$

<proof>

lemma *scaleR-nonneg-nonneg*: $0 \leq a \implies 0 \leq x \implies 0 \leq a *_{\mathbf{R}} x$

for $x :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *scaleR-nonneg-nonpos*: $0 \leq a \implies x \leq 0 \implies a *_{\mathbf{R}} x \leq 0$

for $x :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *scaleR-nonpos-nonneg*: $a \leq 0 \implies 0 \leq x \implies a *_{\mathbf{R}} x \leq 0$

for $x :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *split-scaleR-neg-le*: $(0 \leq a \wedge x \leq 0) \vee (a \leq 0 \wedge 0 \leq x) \implies a *_{\mathbf{R}} x \leq 0$

for $x :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *le-add-iff1*: $a *_{\mathbf{R}} e + c \leq b *_{\mathbf{R}} e + d \iff (a - b) *_{\mathbf{R}} e + c \leq d$

for $c \, d \, e :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *le-add-iff2*: $a *_{\mathbf{R}} e + c \leq b *_{\mathbf{R}} e + d \iff c \leq (b - a) *_{\mathbf{R}} e + d$

for $c \, d \, e :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *scaleR-left-mono-neg*: $b \leq a \implies c \leq 0 \implies c *_{\mathbf{R}} a \leq c *_{\mathbf{R}} b$

for $a \, b :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *scaleR-right-mono-neg*: $b \leq a \implies c \leq 0 \implies a *_{\mathbf{R}} c \leq b *_{\mathbf{R}} c$

for $c :: 'a :: \text{ordered-real-vector}$

<proof>

lemma *scaleR-nonpos-nonpos*: $a \leq 0 \implies b \leq 0 \implies 0 \leq a *_R b$
for $b :: 'a::\text{ordered-real-vector}$
 $\langle \text{proof} \rangle$

lemma *split-scaleR-pos-le*: $(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a *_R b$
for $b :: 'a::\text{ordered-real-vector}$
 $\langle \text{proof} \rangle$

lemma *zero-le-scaleR-iff*:
fixes $b :: 'a::\text{ordered-real-vector}$
shows $0 \leq a *_R b \longleftrightarrow 0 < a \wedge 0 \leq b \vee a < 0 \wedge b \leq 0 \vee a = 0$
(is ?lhs = ?rhs)
 $\langle \text{proof} \rangle$

lemma *scaleR-le-0-iff*: $a *_R b \leq 0 \longleftrightarrow 0 < a \wedge b \leq 0 \vee a < 0 \wedge 0 \leq b \vee a = 0$
for $b :: 'a::\text{ordered-real-vector}$
 $\langle \text{proof} \rangle$

lemma *scaleR-le-cancel-left*: $c *_R a \leq c *_R b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$
for $b :: 'a::\text{ordered-real-vector}$
 $\langle \text{proof} \rangle$

lemma *scaleR-le-cancel-left-pos*: $0 < c \implies c *_R a \leq c *_R b \longleftrightarrow a \leq b$
for $b :: 'a::\text{ordered-real-vector}$
 $\langle \text{proof} \rangle$

lemma *scaleR-le-cancel-left-neg*: $c < 0 \implies c *_R a \leq c *_R b \longleftrightarrow b \leq a$
for $b :: 'a::\text{ordered-real-vector}$
 $\langle \text{proof} \rangle$

lemma *scaleR-left-le-one-le*: $0 \leq x \implies a \leq 1 \implies a *_R x \leq x$
for $x :: 'a::\text{ordered-real-vector}$ **and** $a :: \text{real}$
 $\langle \text{proof} \rangle$

100.7 Real normed vector spaces

class *dist* =
fixes $\text{dist} :: 'a \Rightarrow 'a \Rightarrow \text{real}$

class *norm* =
fixes $\text{norm} :: 'a \Rightarrow \text{real}$

class *sgn-div-norm* = *scaleR* + *norm* + *sgn* +
assumes *sgn-div-norm*: $\text{sgn } x = x /_R \text{ norm } x$

class *dist-norm* = *dist* + *norm* + *minus* +

```

assumes dist-norm:  $\text{dist } x \ y = \text{norm } (x - y)$ 

class uniformity-dist = dist + uniformity +
  assumes uniformity-dist:  $\text{uniformity} = (\text{INF } e:\{0 < ..\}. \text{principal } \{(x, y). \text{dist } x$ 
 $y < e\})$ 
begin

lemma eventually-uniformity-metric:
   $\text{eventually } P \ \text{uniformity} \longleftrightarrow (\exists e > 0. \forall x \ y. \text{dist } x \ y < e \longrightarrow P \ (x, y))$ 
   $\langle \text{proof} \rangle$ 

end

class real-normed-vector = real-vector + sgn-div-norm + dist-norm + uniformity-dist
+ open-uniformity +
  assumes norm-eq-zero [simp]:  $\text{norm } x = 0 \longleftrightarrow x = 0$ 
  and norm-triangle-ineq:  $\text{norm } (x + y) \leq \text{norm } x + \text{norm } y$ 
  and norm-scaleR [simp]:  $\text{norm } (\text{scaleR } a \ x) = |a| * \text{norm } x$ 
begin

lemma norm-ge-zero [simp]:  $0 \leq \text{norm } x$ 
   $\langle \text{proof} \rangle$ 

end

class real-normed-algebra = real-algebra + real-normed-vector +
  assumes norm-mult-ineq:  $\text{norm } (x * y) \leq \text{norm } x * \text{norm } y$ 

class real-normed-algebra-1 = real-algebra-1 + real-normed-algebra +
  assumes norm-one [simp]:  $\text{norm } 1 = 1$ 

lemma (in real-normed-algebra-1) scaleR-power [simp]:  $(\text{scaleR } x \ y) ^ n = \text{scaleR}$ 
 $(x ^ n) \ (y ^ n)$ 
   $\langle \text{proof} \rangle$ 

class real-normed-div-algebra = real-div-algebra + real-normed-vector +
  assumes norm-mult:  $\text{norm } (x * y) = \text{norm } x * \text{norm } y$ 

class real-normed-field = real-field + real-normed-div-algebra

instance real-normed-div-algebra < real-normed-algebra-1
   $\langle \text{proof} \rangle$ 

lemma norm-zero [simp]:  $\text{norm } (0 :: 'a :: \text{real-normed-vector}) = 0$ 
   $\langle \text{proof} \rangle$ 

lemma zero-less-norm-iff [simp]:  $\text{norm } x > 0 \longleftrightarrow x \neq 0$ 
  for  $x :: 'a :: \text{real-normed-vector}$ 
   $\langle \text{proof} \rangle$ 

```

lemma *norm-not-less-zero* [simp]: $\neg \text{norm } x < 0$
for $x :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-le-zero-iff* [simp]: $\text{norm } x \leq 0 \longleftrightarrow x = 0$
for $x :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-minus-cancel* [simp]: $\text{norm } (-x) = \text{norm } x$
for $x :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-minus-commute*: $\text{norm } (a - b) = \text{norm } (b - a)$
for $a \ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *dist-add-cancel* [simp]: $\text{dist } (a + b) (a + c) = \text{dist } b \ c$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *dist-add-cancel2* [simp]: $\text{dist } (b + a) (c + a) = \text{dist } b \ c$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *dist-scaleR* [simp]: $\text{dist } (x *_R a) (y *_R a) = |x - y| * \text{norm } a$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-uminus-minus*: $\text{norm } (-x - y :: 'a::\text{real-normed-vector}) = \text{norm } (x + y)$
 $\langle \text{proof} \rangle$

lemma *norm-triangle-ineq2*: $\text{norm } a - \text{norm } b \leq \text{norm } (a - b)$
for $a \ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-triangle-ineq3*: $|\text{norm } a - \text{norm } b| \leq \text{norm } (a - b)$
for $a \ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-triangle-ineq4*: $\text{norm } (a - b) \leq \text{norm } a + \text{norm } b$
for $a \ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-triangle-le-diff*:
fixes $x \ y :: 'a::\text{real-normed-vector}$
shows $\text{norm } x + \text{norm } y \leq e \implies \text{norm } (x - y) \leq e$
 $\langle \text{proof} \rangle$

lemma *norm-diff-ineq*: $\text{norm } a - \text{norm } b \leq \text{norm } (a + b)$
for $a \ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-add-leD*: $\text{norm } (a + b) \leq c \implies \text{norm } b \leq \text{norm } a + c$
for $a \ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-diff-triangle-ineq*: $\text{norm } ((a + b) - (c + d)) \leq \text{norm } (a - c) + \text{norm } (b - d)$
for $a \ b \ c \ d :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-diff-triangle-le*:
fixes $x \ y \ z :: 'a::\text{real-normed-vector}$
assumes $\text{norm } (x - y) \leq e1 \ \text{norm } (y - z) \leq e2$
shows $\text{norm } (x - z) \leq e1 + e2$
 $\langle \text{proof} \rangle$

lemma *norm-diff-triangle-less*:
fixes $x \ y \ z :: 'a::\text{real-normed-vector}$
assumes $\text{norm } (x - y) < e1 \ \text{norm } (y - z) < e2$
shows $\text{norm } (x - z) < e1 + e2$
 $\langle \text{proof} \rangle$

lemma *norm-triangle-mono*:
fixes $a \ b :: 'a::\text{real-normed-vector}$
shows $\text{norm } a \leq r \implies \text{norm } b \leq s \implies \text{norm } (a + b) \leq r + s$
 $\langle \text{proof} \rangle$

lemma *norm-sum*:
fixes $f :: 'a \Rightarrow 'b::\text{real-normed-vector}$
shows $\text{norm } (\text{sum } f \ A) \leq (\sum i \in A. \text{norm } (f \ i))$
 $\langle \text{proof} \rangle$

lemma *sum-norm-le*:
fixes $f :: 'a \Rightarrow 'b::\text{real-normed-vector}$
assumes $fg: \bigwedge x. x \in S \implies \text{norm } (f \ x) \leq g \ x$
shows $\text{norm } (\text{sum } f \ S) \leq \text{sum } g \ S$
 $\langle \text{proof} \rangle$

lemma *abs-norm-cancel [simp]*: $|\text{norm } a| = \text{norm } a$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-add-less*: $\text{norm } x < r \implies \text{norm } y < s \implies \text{norm } (x + y) < r + s$
for $x \ y :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *norm-mult-less*: $\text{norm } x < r \implies \text{norm } y < s \implies \text{norm } (x * y) < r * s$
for $x \ y :: 'a::\text{real-normed-algebra}$
 $\langle \text{proof} \rangle$

lemma *norm-of-real [simp]*: $\text{norm } (\text{of-real } r :: 'a::\text{real-normed-algebra-1}) = |r|$
 $\langle \text{proof} \rangle$

lemma *norm-numeral [simp]*: $\text{norm } (\text{numeral } w :: 'a::\text{real-normed-algebra-1}) = \text{numeral } w$
 $\langle \text{proof} \rangle$

lemma *norm-neg-numeral [simp]*: $\text{norm } (- \text{numeral } w :: 'a::\text{real-normed-algebra-1}) = \text{numeral } w$
 $\langle \text{proof} \rangle$

lemma *norm-of-real-add1 [simp]*: $\text{norm } (\text{of-real } x + 1 :: 'a :: \text{real-normed-div-algebra}) = |x + 1|$
 $\langle \text{proof} \rangle$

lemma *norm-of-real-addn [simp]*:
 $\text{norm } (\text{of-real } x + \text{numeral } b :: 'a :: \text{real-normed-div-algebra}) = |x + \text{numeral } b|$
 $\langle \text{proof} \rangle$

lemma *norm-of-int [simp]*: $\text{norm } (\text{of-int } z :: 'a::\text{real-normed-algebra-1}) = |\text{of-int } z|$
 $\langle \text{proof} \rangle$

lemma *norm-of-nat [simp]*: $\text{norm } (\text{of-nat } n :: 'a::\text{real-normed-algebra-1}) = \text{of-nat } n$
 $\langle \text{proof} \rangle$

lemma *nonzero-norm-inverse*: $a \neq 0 \implies \text{norm } (\text{inverse } a) = \text{inverse } (\text{norm } a)$
for $a :: 'a::\text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

lemma *norm-inverse*: $\text{norm } (\text{inverse } a) = \text{inverse } (\text{norm } a)$
for $a :: 'a::\{\text{real-normed-div-algebra}, \text{division-ring}\}$
 $\langle \text{proof} \rangle$

lemma *nonzero-norm-divide*: $b \neq 0 \implies \text{norm } (a / b) = \text{norm } a / \text{norm } b$
for $a \ b :: 'a::\text{real-normed-field}$
 $\langle \text{proof} \rangle$

lemma *norm-divide*: $\text{norm } (a / b) = \text{norm } a / \text{norm } b$
for $a \ b :: 'a::\{\text{real-normed-field}, \text{field}\}$
 $\langle \text{proof} \rangle$

lemma *norm-power-ineq*: $\text{norm } (x ^ n) \leq \text{norm } x ^ n$
for $x :: 'a::\text{real-normed-algebra-1}$
 $\langle \text{proof} \rangle$

lemma *norm-power*: $\text{norm } (x \wedge n) = \text{norm } x \wedge n$
for $x :: 'a::\text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

lemma *power-eq-imp-eq-norm*:
fixes $w :: 'a::\text{real-normed-div-algebra}$
assumes $\text{eq}: w \wedge n = z \wedge n$ **and** $n > 0$
shows $\text{norm } w = \text{norm } z$
 $\langle \text{proof} \rangle$

lemma *norm-mult-numeral1* [simp]: $\text{norm } (\text{numeral } w * a) = \text{numeral } w * \text{norm } a$
for $a b :: 'a::\{\text{real-normed-field}, \text{field}\}$
 $\langle \text{proof} \rangle$

lemma *norm-mult-numeral2* [simp]: $\text{norm } (a * \text{numeral } w) = \text{norm } a * \text{numeral } w$
for $a b :: 'a::\{\text{real-normed-field}, \text{field}\}$
 $\langle \text{proof} \rangle$

lemma *norm-divide-numeral* [simp]: $\text{norm } (a / \text{numeral } w) = \text{norm } a / \text{numeral } w$
for $a b :: 'a::\{\text{real-normed-field}, \text{field}\}$
 $\langle \text{proof} \rangle$

lemma *norm-of-real-diff* [simp]:
 $\text{norm } (\text{of-real } b - \text{of-real } a :: 'a::\text{real-normed-algebra-1}) \leq |b - a|$
 $\langle \text{proof} \rangle$

Despite a superficial resemblance, *norm-eq-1* is not relevant.

lemma *square-norm-one*:
fixes $x :: 'a::\text{real-normed-div-algebra}$
assumes $x^2 = 1$
shows $\text{norm } x = 1$
 $\langle \text{proof} \rangle$

lemma *norm-less-p1*: $\text{norm } x < \text{norm } (\text{of-real } (\text{norm } x) + 1 :: 'a)$
for $x :: 'a::\text{real-normed-algebra-1}$
 $\langle \text{proof} \rangle$

lemma *prod-norm*: $\text{prod } (\lambda x. \text{norm } (f x)) A = \text{norm } (\text{prod } f A)$
for $f :: 'a \Rightarrow 'b::\{\text{comm-semiring-1}, \text{real-normed-div-algebra}\}$
 $\langle \text{proof} \rangle$

lemma *norm-prod-le*:
 $\text{norm } (\text{prod } f A) \leq (\prod a \in A. \text{norm } (f a :: 'a :: \{\text{real-normed-algebra-1}, \text{comm-monoid-mult}\}))$
 $\langle \text{proof} \rangle$

lemma *norm-prod-diff*:

fixes $z\ w :: 'i \Rightarrow 'a :: \{\text{real-normed-algebra-1}, \text{comm-monoid-mult}\}$

shows $(\bigwedge i. i \in I \implies \text{norm } (z\ i) \leq 1) \implies (\bigwedge i. i \in I \implies \text{norm } (w\ i) \leq 1) \implies$
 $\text{norm } ((\prod i \in I. z\ i) - (\prod i \in I. w\ i)) \leq (\sum i \in I. \text{norm } (z\ i - w\ i))$

$\langle \text{proof} \rangle$

lemma *norm-power-diff*:

fixes $z\ w :: 'a :: \{\text{real-normed-algebra-1}, \text{comm-monoid-mult}\}$

assumes $\text{norm } z \leq 1\ \text{norm } w \leq 1$

shows $\text{norm } (z^{\wedge m} - w^{\wedge m}) \leq m * \text{norm } (z - w)$

$\langle \text{proof} \rangle$

100.8 Metric spaces

class *metric-space* = *uniformity-dist* + *open-uniformity* +

assumes *dist-eq-0-iff* [*simp*]: $\text{dist } x\ y = 0 \longleftrightarrow x = y$

and *dist-triangle2*: $\text{dist } x\ y \leq \text{dist } x\ z + \text{dist } y\ z$

begin

lemma *dist-self* [*simp*]: $\text{dist } x\ x = 0$

$\langle \text{proof} \rangle$

lemma *zero-le-dist* [*simp*]: $0 \leq \text{dist } x\ y$

$\langle \text{proof} \rangle$

lemma *zero-less-dist-iff*: $0 < \text{dist } x\ y \longleftrightarrow x \neq y$

$\langle \text{proof} \rangle$

lemma *dist-not-less-zero* [*simp*]: $\neg \text{dist } x\ y < 0$

$\langle \text{proof} \rangle$

lemma *dist-le-zero-iff* [*simp*]: $\text{dist } x\ y \leq 0 \longleftrightarrow x = y$

$\langle \text{proof} \rangle$

lemma *dist-commute*: $\text{dist } x\ y = \text{dist } y\ x$

$\langle \text{proof} \rangle$

lemma *dist-commute-lessI*: $\text{dist } y\ x < e \implies \text{dist } x\ y < e$

$\langle \text{proof} \rangle$

lemma *dist-triangle*: $\text{dist } x\ z \leq \text{dist } x\ y + \text{dist } y\ z$

$\langle \text{proof} \rangle$

lemma *dist-triangle3*: $\text{dist } x\ y \leq \text{dist } a\ x + \text{dist } a\ y$

$\langle \text{proof} \rangle$

lemma *dist-pos-lt*: $x \neq y \implies 0 < \text{dist } x\ y$

$\langle \text{proof} \rangle$

lemma *dist-nz*: $x \neq y \longleftrightarrow 0 < \text{dist } x \ y$
 ⟨proof⟩

declare *dist-nz* [*symmetric*, *simp*]

lemma *dist-triangle-le*: $\text{dist } x \ z + \text{dist } y \ z \leq e \implies \text{dist } x \ y \leq e$
 ⟨proof⟩

lemma *dist-triangle-lt*: $\text{dist } x \ z + \text{dist } y \ z < e \implies \text{dist } x \ y < e$
 ⟨proof⟩

lemma *dist-triangle-less-add*: $\text{dist } x1 \ y < e1 \implies \text{dist } x2 \ y < e2 \implies \text{dist } x1 \ x2 < e1 + e2$
 ⟨proof⟩

lemma *dist-triangle-half-l*: $\text{dist } x1 \ y < e / 2 \implies \text{dist } x2 \ y < e / 2 \implies \text{dist } x1 \ x2 < e$
 ⟨proof⟩

lemma *dist-triangle-half-r*: $\text{dist } y \ x1 < e / 2 \implies \text{dist } y \ x2 < e / 2 \implies \text{dist } x1 \ x2 < e$
 ⟨proof⟩

lemma *dist-triangle-third*:
 assumes $\text{dist } x1 \ x2 < e/3 \ \text{dist } x2 \ x3 < e/3 \ \text{dist } x3 \ x4 < e/3$
 shows $\text{dist } x1 \ x4 < e$
 ⟨proof⟩

subclass *uniform-space*
 ⟨proof⟩

lemma *open-dist*: $\text{open } S \longleftrightarrow (\forall x \in S. \exists e > 0. \forall y. \text{dist } y \ x < e \longrightarrow y \in S)$
 ⟨proof⟩

lemma *open-ball*: $\text{open } \{y. \text{dist } x \ y < d\}$
 ⟨proof⟩

subclass *first-countable-topology*
 ⟨proof⟩

end

instance *metric-space* \subseteq *t2-space*
 ⟨proof⟩

Every normed vector space is a metric space.

instance *real-normed-vector* $<$ *metric-space*
 ⟨proof⟩

100.9 Class instances for real numbers

instantiation *real* :: *real-normed-field*
begin

definition *dist-real-def*: $\text{dist } x \ y = |x - y|$

definition *uniformity-real-def* [*code del*]:
 $(\text{uniformity} :: (\text{real} \times \text{real}) \text{ filter}) = (\text{INF } e:\{0 < ..\}. \text{principal } \{(x, y). \text{dist } x \ y < e\})$

definition *open-real-def* [*code del*]:
 $\text{open } (U :: \text{real set}) \longleftrightarrow (\forall x \in U. \text{eventually } (\lambda(x', y). x' = x \longrightarrow y \in U) \text{uniformity})$

definition *real-norm-def* [*simp*]: $\text{norm } r = |r|$

instance
 $\langle \text{proof} \rangle$

end

declare *uniformity-Abort*[**where** '*a=real*, *code*]

lemma *dist-of-real* [*simp*]: $\text{dist } (\text{of-real } x :: 'a) (\text{of-real } y) = \text{dist } x \ y$
for $a :: 'a :: \text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

declare [[*code abort*: $\text{open} :: \text{real set} \Rightarrow \text{bool}$]]

instance *real* :: *linorder-topology*
 $\langle \text{proof} \rangle$

instance *real* :: *linear-continuum-topology* $\langle \text{proof} \rangle$

lemmas *open-real-greaterThan* = *open-greaterThan*[**where** '*a=real*]
lemmas *open-real-lessThan* = *open-lessThan*[**where** '*a=real*]
lemmas *open-real-greaterThanLessThan* = *open-greaterThanLessThan*[**where** '*a=real*]
lemmas *closed-real-atMost* = *closed-atMost*[**where** '*a=real*]
lemmas *closed-real-atLeast* = *closed-atLeast*[**where** '*a=real*]
lemmas *closed-real-atLeastAtMost* = *closed-atLeastAtMost*[**where** '*a=real*]

100.10 Extra type constraints

Only allow *open* in class *topological-space*.

$\langle \text{ML} \rangle$

Only allow *uniformity* in class *uniform-space*.

$\langle \text{ML} \rangle$

Only allow *dist* in class *metric-space*.

⟨ML⟩

Only allow *norm* in class *real-normed-vector*.

⟨ML⟩

100.11 Sign function

lemma *norm-sgn*: $\text{norm } (\text{sgn } x) = (\text{if } x = 0 \text{ then } 0 \text{ else } 1)$
for $x :: 'a::\text{real-normed-vector}$
 ⟨proof⟩

lemma *sgn-zero* [simp]: $\text{sgn } (0 :: 'a::\text{real-normed-vector}) = 0$
 ⟨proof⟩

lemma *sgn-zero-iff*: $\text{sgn } x = 0 \longleftrightarrow x = 0$
for $x :: 'a::\text{real-normed-vector}$
 ⟨proof⟩

lemma *sgn-minus*: $\text{sgn } (-x) = -\text{sgn } x$
for $x :: 'a::\text{real-normed-vector}$
 ⟨proof⟩

lemma *sgn-scaleR*: $\text{sgn } (\text{scaleR } r \ x) = \text{scaleR } (\text{sgn } r) (\text{sgn } x)$
for $x :: 'a::\text{real-normed-vector}$
 ⟨proof⟩

lemma *sgn-one* [simp]: $\text{sgn } (1 :: 'a::\text{real-normed-algebra-1}) = 1$
 ⟨proof⟩

lemma *sgn-of-real*: $\text{sgn } (\text{of-real } r :: 'a::\text{real-normed-algebra-1}) = \text{of-real } (\text{sgn } r)$
 ⟨proof⟩

lemma *sgn-mult*: $\text{sgn } (x * y) = \text{sgn } x * \text{sgn } y$
for $x \ y :: 'a::\text{real-normed-div-algebra}$
 ⟨proof⟩

hide-fact (open) *sgn-mult*

lemma *real-sgn-eq*: $\text{sgn } x = x / |x|$
for $x :: \text{real}$
 ⟨proof⟩

lemma *zero-le-sgn-iff* [simp]: $0 \leq \text{sgn } x \longleftrightarrow 0 \leq x$
for $x :: \text{real}$
 ⟨proof⟩

lemma *sgn-le-0-iff* [simp]: $\text{sgn } x \leq 0 \longleftrightarrow x \leq 0$
for $x :: \text{real}$

$\langle proof \rangle$

lemma *norm-conv-dist*: $norm\ x = dist\ x\ 0$
 $\langle proof \rangle$

declare *norm-conv-dist* [*symmetric*, *simp*]

lemma *dist-0-norm* [*simp*]: $dist\ 0\ x = norm\ x$
for $x :: 'a::real-normed-vector$
 $\langle proof \rangle$

lemma *dist-diff* [*simp*]: $dist\ a\ (a - b) = norm\ b \quad dist\ (a - b)\ a = norm\ b$
 $\langle proof \rangle$

lemma *dist-of-int*: $dist\ (of-int\ m)\ (of-int\ n :: 'a :: real-normed-algebra-1) = of-int\ |m - n|$
 $\langle proof \rangle$

lemma *dist-of-nat*:
 $dist\ (of-nat\ m)\ (of-nat\ n :: 'a :: real-normed-algebra-1) = of-int\ |int\ m - int\ n|$
 $\langle proof \rangle$

100.12 Bounded Linear and Bilinear Operators

locale *linear* = *additive f* **for** $f :: 'a::real-vector \Rightarrow 'b::real-vector$ +
assumes *scaleR*: $f\ (scaleR\ r\ x) = scaleR\ r\ (f\ x)$

lemma *linear-imp-scaleR*:
assumes *linear D*
obtains d **where** $D = (\lambda x. x *_R d)$
 $\langle proof \rangle$

corollary *real-linearD*:
fixes $f :: real \Rightarrow real$
assumes *linear f* **obtains** c **where** $f = op* c$
 $\langle proof \rangle$

lemma *linear-times-of-real*: $linear\ (\lambda x. a * of-real\ x)$
 $\langle proof \rangle$

lemma *linearI*:
assumes $\bigwedge x\ y. f\ (x + y) = f\ x + f\ y$
and $\bigwedge c\ x. f\ (c *_R x) = c *_R f\ x$
shows *linear f*
 $\langle proof \rangle$

locale *bounded-linear* = *linear f* **for** $f :: 'a::real-normed-vector \Rightarrow 'b::real-normed-vector$ +
assumes *bounded*: $\exists K. \forall x. norm\ (f\ x) \leq norm\ x * K$

begin

lemma *pos-bounded*: $\exists K > 0. \forall x. \text{norm } (f\ x) \leq \text{norm } x * K$
 $\langle \text{proof} \rangle$

lemma *nonneg-bounded*: $\exists K \geq 0. \forall x. \text{norm } (f\ x) \leq \text{norm } x * K$
 $\langle \text{proof} \rangle$

lemma *linear*: *linear* *f*
 $\langle \text{proof} \rangle$

end

lemma *bounded-linear-intro*:
 assumes $\bigwedge x\ y. f\ (x + y) = f\ x + f\ y$
 and $\bigwedge r\ x. f\ (\text{scaleR } r\ x) = \text{scaleR } r\ (f\ x)$
 and $\bigwedge x. \text{norm } (f\ x) \leq \text{norm } x * K$
 shows *bounded-linear* *f*
 $\langle \text{proof} \rangle$

locale *bounded-bilinear* =
 fixes *prod* :: '*a*::real-normed-vector \Rightarrow '*b*::real-normed-vector \Rightarrow '*c*::real-normed-vector
 (infixl ** 70)
 assumes *add-left*: *prod* (*a* + *a'*) *b* = *prod* *a* *b* + *prod* *a'* *b*
 and *add-right*: *prod* *a* (*b* + *b'*) = *prod* *a* *b* + *prod* *a* *b'*
 and *scaleR-left*: *prod* (*scaleR* *r* *a*) *b* = *scaleR* *r* (*prod* *a* *b*)
 and *scaleR-right*: *prod* *a* (*scaleR* *r* *b*) = *scaleR* *r* (*prod* *a* *b*)
 and *bounded*: $\exists K. \forall a\ b. \text{norm } (\text{prod } a\ b) \leq \text{norm } a * \text{norm } b * K$
begin

lemma *pos-bounded*: $\exists K > 0. \forall a\ b. \text{norm } (a ** b) \leq \text{norm } a * \text{norm } b * K$
 $\langle \text{proof} \rangle$

lemma *nonneg-bounded*: $\exists K \geq 0. \forall a\ b. \text{norm } (a ** b) \leq \text{norm } a * \text{norm } b * K$
 $\langle \text{proof} \rangle$

lemma *additive-right*: *additive* ($\lambda b. \text{prod } a\ b$)
 $\langle \text{proof} \rangle$

lemma *additive-left*: *additive* ($\lambda a. \text{prod } a\ b$)
 $\langle \text{proof} \rangle$

lemma *zero-left*: *prod* 0 *b* = 0
 $\langle \text{proof} \rangle$

lemma *zero-right*: *prod* *a* 0 = 0
 $\langle \text{proof} \rangle$

lemma *minus-left*: *prod* (− *a*) *b* = − *prod* *a* *b*

<proof>

lemma *minus-right*: $\text{prod } a \ (-\ b) = -\ \text{prod } a \ b$
<proof>

lemma *diff-left*: $\text{prod } (a - a') \ b = \text{prod } a \ b - \text{prod } a' \ b$
<proof>

lemma *diff-right*: $\text{prod } a \ (b - b') = \text{prod } a \ b - \text{prod } a \ b'$
<proof>

lemma *sum-left*: $\text{prod } (\text{sum } g \ S) \ x = \text{sum } ((\lambda i. \text{prod } (g \ i) \ x)) \ S$
<proof>

lemma *sum-right*: $\text{prod } x \ (\text{sum } g \ S) = \text{sum } ((\lambda i. (\text{prod } x \ (g \ i)))) \ S$
<proof>

lemma *bounded-linear-left*: $\text{bounded-linear } (\lambda a. a \ ** \ b)$
<proof>

lemma *bounded-linear-right*: $\text{bounded-linear } (\lambda b. a \ ** \ b)$
<proof>

lemma *prod-diff-prod*: $(x \ ** \ y - a \ ** \ b) = (x - a) \ ** \ (y - b) + (x - a) \ ** \ b + a \ ** \ (y - b)$
<proof>

lemma *flip*: $\text{bounded-bilinear } (\lambda x \ y. y \ ** \ x)$
<proof>

lemma *comp1*:
assumes $\text{bounded-linear } g$
shows $\text{bounded-bilinear } (\lambda x. op \ ** \ (g \ x))$
<proof>

lemma *comp*: $\text{bounded-linear } f \implies \text{bounded-linear } g \implies \text{bounded-bilinear } (\lambda x \ y. f \ x \ ** \ g \ y)$
<proof>

end

lemma *bounded-linear-ident[simp]*: $\text{bounded-linear } (\lambda x. x)$
<proof>

lemma *bounded-linear-zero[simp]*: $\text{bounded-linear } (\lambda x. 0)$
<proof>

lemma *bounded-linear-add*:

assumes *bounded-linear* f
and *bounded-linear* g
shows *bounded-linear* $(\lambda x. f\ x + g\ x)$
 $\langle proof \rangle$

lemma *bounded-linear-minus*:
assumes *bounded-linear* f
shows *bounded-linear* $(\lambda x. - f\ x)$
 $\langle proof \rangle$

lemma *bounded-linear-sub*: *bounded-linear* $f \implies$ *bounded-linear* $g \implies$ *bounded-linear*
 $(\lambda x. f\ x - g\ x)$
 $\langle proof \rangle$

lemma *bounded-linear-sum*:
fixes $f :: 'i \Rightarrow 'a::real-normed-vector \Rightarrow 'b::real-normed-vector$
shows $(\bigwedge i. i \in I \implies \text{bounded-linear } (f\ i)) \implies \text{bounded-linear } (\lambda x. \sum_{i \in I} f\ i$
 $x)$
 $\langle proof \rangle$

lemma *bounded-linear-compose*:
assumes *bounded-linear* f
and *bounded-linear* g
shows *bounded-linear* $(\lambda x. f\ (g\ x))$
 $\langle proof \rangle$

lemma *bounded-bilinear-mult*: *bounded-bilinear* $(op * :: 'a \Rightarrow 'a \Rightarrow 'a::real-normed-algebra)$
 $\langle proof \rangle$

lemma *bounded-linear-mult-left*: *bounded-linear* $(\lambda x::'a::real-normed-algebra. x *$
 $y)$
 $\langle proof \rangle$

lemma *bounded-linear-mult-right*: *bounded-linear* $(\lambda y::'a::real-normed-algebra. x *$
 $y)$
 $\langle proof \rangle$

lemmas *bounded-linear-mult-const* =
bounded-linear-mult-left [THEN *bounded-linear-compose*]

lemmas *bounded-linear-const-mult* =
bounded-linear-mult-right [THEN *bounded-linear-compose*]

lemma *bounded-linear-divide*: *bounded-linear* $(\lambda x. x / y)$
for $y :: 'a::real-normed-field$
 $\langle proof \rangle$

lemma *bounded-bilinear-scaleR*: *bounded-bilinear* *scaleR*
 $\langle proof \rangle$

lemma *bounded-linear-scaleR-left*: *bounded-linear* $(\lambda r. \text{scaleR } r \ x)$
 $\langle \text{proof} \rangle$

lemma *bounded-linear-scaleR-right*: *bounded-linear* $(\lambda x. \text{scaleR } r \ x)$
 $\langle \text{proof} \rangle$

lemmas *bounded-linear-scaleR-const* =
bounded-linear-scaleR-left [THEN *bounded-linear-compose*]

lemmas *bounded-linear-const-scaleR* =
bounded-linear-scaleR-right [THEN *bounded-linear-compose*]

lemma *bounded-linear-of-real*: *bounded-linear* $(\lambda r. \text{of-real } r)$
 $\langle \text{proof} \rangle$

lemma *real-bounded-linear*: *bounded-linear* $f \longleftrightarrow (\exists c::\text{real}. f = (\lambda x. x * c))$
for $f :: \text{real} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *bij-linear-imp-inv-linear*: *linear* $f \implies \text{bij } f \implies \text{linear } (\text{inv } f)$
 $\langle \text{proof} \rangle$

instance *real-normed-algebra-1* \subseteq *perfect-space*
 $\langle \text{proof} \rangle$

100.13 Filters and Limits on Metric Space

lemma (**in** *metric-space*) *nhds-metric*: *nhds* $x = (\text{INF } e:\{0 < ..\}. \text{principal } \{y. \text{dist } y \ x < e\})$
 $\langle \text{proof} \rangle$

lemma (**in** *metric-space*) *tendsto-iff*: $(f \longrightarrow l) \ F \longleftrightarrow (\forall e>0. \text{eventually } (\lambda x. \text{dist } (f \ x) \ l < e) \ F)$
 $\langle \text{proof} \rangle$

lemma (**in** *metric-space*) *tendstoI* [*intro?*]:
 $(\bigwedge e. 0 < e \implies \text{eventually } (\lambda x. \text{dist } (f \ x) \ l < e) \ F) \implies (f \longrightarrow l) \ F$
 $\langle \text{proof} \rangle$

lemma (**in** *metric-space*) *tendstoD*: $(f \longrightarrow l) \ F \implies 0 < e \implies \text{eventually } (\lambda x. \text{dist } (f \ x) \ l < e) \ F$
 $\langle \text{proof} \rangle$

lemma (**in** *metric-space*) *eventually-nhds-metric*:
 $\text{eventually } P \ (\text{nhds } a) \longleftrightarrow (\exists d>0. \forall x. \text{dist } x \ a < d \longrightarrow P \ x)$
 $\langle \text{proof} \rangle$

lemma *eventually-at*: $\text{eventually } P \ (\text{at } a \ \text{within } S) \longleftrightarrow (\exists d>0. \forall x \in S. x \neq a \wedge$

dist $x\ a < d \longrightarrow P\ x$)
for $a :: 'a :: \text{metric-space}$
 ⟨proof⟩

lemma *eventually-at-le*: *eventually* $P\ (\text{at}\ a\ \text{within}\ S) \longleftrightarrow (\exists d > 0. \forall x \in S. x \neq a \wedge \text{dist}\ x\ a \leq d \longrightarrow P\ x)$
for $a :: 'a :: \text{metric-space}$
 ⟨proof⟩

lemma *eventually-at-left-real*: $a > (b :: \text{real}) \implies \text{eventually}\ (\lambda x. x \in \{b < .. < a\})$
(at-left a)
 ⟨proof⟩

lemma *eventually-at-right-real*: $a < (b :: \text{real}) \implies \text{eventually}\ (\lambda x. x \in \{a < .. < b\})$
(at-right a)
 ⟨proof⟩

lemma *metric-tendsto-imp-tendsto*:
fixes $a :: 'a :: \text{metric-space}$
and $b :: 'b :: \text{metric-space}$
assumes $f: (f \longrightarrow a)\ F$
and $le: \text{eventually}\ (\lambda x. \text{dist}\ (g\ x)\ b \leq \text{dist}\ (f\ x)\ a)\ F$
shows $(g \longrightarrow b)\ F$
 ⟨proof⟩

lemma *filterlim-real-sequentially*: *LIM* x *sequentially*. *real* $x :=> \text{at-top}$
 ⟨proof⟩

lemma *filterlim-nat-sequentially*: *filterlim* *nat* *sequentially* *at-top*
 ⟨proof⟩

lemma *filterlim-floor-sequentially*: *filterlim* *floor* *at-top* *at-top*
 ⟨proof⟩

lemma *filterlim-sequentially-iff-filterlim-real*:
filterlim f *sequentially* $F \longleftrightarrow \text{filterlim}\ (\lambda x. \text{real}\ (f\ x))\ \text{at-top}\ F$
 ⟨proof⟩

100.13.1 Limits of Sequences

lemma *lim-sequentially*: $X \longrightarrow L \longleftrightarrow (\forall r > 0. \exists no. \forall n \geq no. \text{dist}\ (X\ n)\ L < r)$
for $L :: 'a :: \text{metric-space}$
 ⟨proof⟩

lemmas *LIMSEQ-def* = *lim-sequentially*

lemma *LIMSEQ-iff-nz*: $X \longrightarrow L \longleftrightarrow (\forall r > 0. \exists no > 0. \forall n \geq no. \text{dist}\ (X\ n)\ L < r)$

for $L :: 'a::\text{metric-space}$
 $\langle \text{proof} \rangle$

lemma *metric-LIMSEQ-I*: $(\bigwedge r. 0 < r \implies \exists no. \forall n \geq no. \text{dist } (X\ n) L < r) \implies$
 $X \longrightarrow L$
for $L :: 'a::\text{metric-space}$
 $\langle \text{proof} \rangle$

lemma *metric-LIMSEQ-D*: $X \longrightarrow L \implies 0 < r \implies \exists no. \forall n \geq no. \text{dist } (X\ n)$
 $L < r$
for $L :: 'a::\text{metric-space}$
 $\langle \text{proof} \rangle$

100.13.2 Limits of Functions

lemma *LIM-def*: $f -a \rightarrow L \iff (\forall r > 0. \exists s > 0. \forall x. x \neq a \wedge \text{dist } x\ a < s \longrightarrow$
 $\text{dist } (f\ x) L < r)$
for $a :: 'a::\text{metric-space}$ **and** $L :: 'b::\text{metric-space}$
 $\langle \text{proof} \rangle$

lemma *metric-LIM-I*:
 $(\bigwedge r. 0 < r \implies \exists s > 0. \forall x. x \neq a \wedge \text{dist } x\ a < s \longrightarrow \text{dist } (f\ x) L < r) \implies f$
 $-a \rightarrow L$
for $a :: 'a::\text{metric-space}$ **and** $L :: 'b::\text{metric-space}$
 $\langle \text{proof} \rangle$

lemma *metric-LIM-D*: $f -a \rightarrow L \implies 0 < r \implies \exists s > 0. \forall x. x \neq a \wedge \text{dist } x\ a <$
 $s \longrightarrow \text{dist } (f\ x) L < r$
for $a :: 'a::\text{metric-space}$ **and** $L :: 'b::\text{metric-space}$
 $\langle \text{proof} \rangle$

lemma *metric-LIM-imp-LIM*:
fixes $l :: 'a::\text{metric-space}$
and $m :: 'b::\text{metric-space}$
assumes $f: f -a \rightarrow l$
and $le: \bigwedge x. x \neq a \implies \text{dist } (g\ x) m \leq \text{dist } (f\ x) l$
shows $g -a \rightarrow m$
 $\langle \text{proof} \rangle$

lemma *metric-LIM-equal2*:
fixes $a :: 'a::\text{metric-space}$
assumes $0 < R$
and $\bigwedge x. x \neq a \implies \text{dist } x\ a < R \implies f\ x = g\ x$
shows $g -a \rightarrow l \implies f -a \rightarrow l$
 $\langle \text{proof} \rangle$

lemma *metric-LIM-compose2*:
fixes $a :: 'a::\text{metric-space}$
assumes $f: f -a \rightarrow b$

and $g: g - b \rightarrow c$
and $inj: \exists d > 0. \forall x. x \neq a \wedge dist\ x\ a < d \longrightarrow f\ x \neq b$
shows $(\lambda x. g\ (f\ x)) - a \rightarrow c$
 $\langle proof \rangle$

lemma *metric-isCont-LIM-compose2*:
fixes $f :: 'a :: metric-space \Rightarrow -$
assumes $f\ [unfolding\ isCont-def]: isCont\ f\ a$
and $g: g - f\ a \rightarrow l$
and $inj: \exists d > 0. \forall x. x \neq a \wedge dist\ x\ a < d \longrightarrow f\ x \neq f\ a$
shows $(\lambda x. g\ (f\ x)) - a \rightarrow l$
 $\langle proof \rangle$

100.14 Complete metric spaces

100.15 Cauchy sequences

lemma (**in** *metric-space*) *Cauchy-def*: $Cauchy\ X = (\forall e > 0. \exists M. \forall m \geq M. \forall n \geq M. dist\ (X\ m)\ (X\ n) < e)$
 $\langle proof \rangle$

lemma (**in** *metric-space*) *Cauchy-altdef*: $Cauchy\ f \longleftrightarrow (\forall e > 0. \exists M. \forall m \geq M. \forall n > m. dist\ (f\ m)\ (f\ n) < e)$
(is ?lhs \longleftrightarrow ?rhs)
 $\langle proof \rangle$

lemma (**in** *metric-space*) *Cauchy-altdef2*: $Cauchy\ s \longleftrightarrow (\forall e > 0. \exists N :: nat. \forall n \geq N. dist\ (s\ n)\ (s\ N) < e)$ **(is ?lhs = ?rhs)**
 $\langle proof \rangle$

lemma (**in** *metric-space*) *metric-CauchyI*:
 $(\bigwedge e. 0 < e \Longrightarrow \exists M. \forall m \geq M. \forall n \geq M. dist\ (X\ m)\ (X\ n) < e) \Longrightarrow Cauchy\ X$
 $\langle proof \rangle$

lemma (**in** *metric-space*) *CauchyI'*:
 $(\bigwedge e. 0 < e \Longrightarrow \exists M. \forall m \geq M. \forall n > m. dist\ (X\ m)\ (X\ n) < e) \Longrightarrow Cauchy\ X$
 $\langle proof \rangle$

lemma (**in** *metric-space*) *metric-CauchyD*:
 $Cauchy\ X \Longrightarrow 0 < e \Longrightarrow \exists M. \forall m \geq M. \forall n \geq M. dist\ (X\ m)\ (X\ n) < e$
 $\langle proof \rangle$

lemma (**in** *metric-space*) *metric-Cauchy-iff2*:
 $Cauchy\ X = (\forall j. (\exists M. \forall m \geq M. \forall n \geq M. dist\ (X\ m)\ (X\ n) < inverse(real\ (Suc\ j))))$
 $\langle proof \rangle$

lemma *Cauchy-iff2*: $Cauchy\ X \longleftrightarrow (\forall j. (\exists M. \forall m \geq M. \forall n \geq M. |X\ m - X\ n| < inverse\ (real\ (Suc\ j))))$
 $\langle proof \rangle$

lemma *lim-1-over-n*: $((\lambda n. 1 / \text{of-nat } n) \longrightarrow (0 :: 'a :: \text{real-normed-field}))$ sequentially
 <proof>

lemma (in *metric-space*) *complete-def*:
 shows $\text{complete } S = (\forall f. (\forall n. f\ n \in S) \wedge \text{Cauchy } f \longrightarrow (\exists l \in S. f \longrightarrow l))$
 <proof>

lemma (in *metric-space*) *totally-bounded-metric*:
 totally-bounded $S \longleftrightarrow (\forall e > 0. \exists k. \text{finite } k \wedge S \subseteq (\bigcup x \in k. \{y. \text{dist } x\ y < e\}))$
 <proof>

100.15.1 Cauchy Sequences are Convergent

class *complete-space* = *metric-space* +
 assumes *Cauchy-convergent*: $\text{Cauchy } X \implies \text{convergent } X$

lemma *Cauchy-convergent-iff*: $\text{Cauchy } X \longleftrightarrow \text{convergent } X$
 for $X :: \text{nat} \Rightarrow 'a :: \text{complete-space}$
 <proof>

100.16 The set of real numbers is a complete metric space

Proof that Cauchy sequences converge based on the one from <http://pirate.shu.edu/~wachsmut/ira/numseq/proofs/cauconv.html>

If sequence X is Cauchy, then its limit is the lub of $\{r. \exists N. \forall n \geq N. r < X\ n\}$

lemma *increasing-LIMSEQ*:
 fixes $f :: \text{nat} \Rightarrow \text{real}$
 assumes *inc*: $\bigwedge n. f\ n \leq f\ (\text{Suc } n)$
 and *bdd*: $\bigwedge n. f\ n \leq l$
 and *en*: $\bigwedge e. 0 < e \implies \exists n. l \leq f\ n + e$
 shows $f \longrightarrow l$
 <proof>

lemma *real-Cauchy-convergent*:
 fixes $X :: \text{nat} \Rightarrow \text{real}$
 assumes *X*: $\text{Cauchy } X$
 shows $\text{convergent } X$
 <proof>

instance *real* :: *complete-space*
 <proof>

class *banach* = *real-normed-vector* + *complete-space*

instance *real* :: *banach* <proof>

lemma *tendsto-at-topI-sequentially*:

fixes $f :: \text{real} \Rightarrow 'b::\text{first-countable-topology}$

assumes $*$: $\bigwedge X. \text{filterlim } X \text{ at-top sequentially} \implies (\lambda n. f (X n)) \longrightarrow y$

shows $(f \longrightarrow y) \text{ at-top}$

$\langle \text{proof} \rangle$

lemma *tendsto-at-topI-sequentially-real*:

fixes $f :: \text{real} \Rightarrow \text{real}$

assumes *mono*: $\text{mono } f$

and *limseq*: $(\lambda n. f (\text{real } n)) \longrightarrow y$

shows $(f \longrightarrow y) \text{ at-top}$

$\langle \text{proof} \rangle$

end

101 Limits on Real Vector Spaces

theory *Limits*

imports *Real-Vector-Spaces*

begin

101.1 Filter going to infinity norm

definition *at-infinity* :: $'a::\text{real-normed-vector filter}$

where $\text{at-infinity} = (\text{INF } r. \text{principal } \{x. r \leq \text{norm } x\})$

lemma *eventually-at-infinity*: $\text{eventually } P \text{ at-infinity} \iff (\exists b. \forall x. b \leq \text{norm } x \longrightarrow P x)$

$\langle \text{proof} \rangle$

corollary *eventually-at-infinity-pos*:

$\text{eventually } p \text{ at-infinity} \iff (\exists b. 0 < b \wedge (\forall x. \text{norm } x \geq b \longrightarrow p x))$

$\langle \text{proof} \rangle$

lemma *at-infinity-eq-at-top-bot*: $(\text{at-infinity} :: \text{real filter}) = \text{sup at-top at-bot}$

$\langle \text{proof} \rangle$

lemma *at-top-le-at-infinity*: $\text{at-top} \leq (\text{at-infinity} :: \text{real filter})$

$\langle \text{proof} \rangle$

lemma *at-bot-le-at-infinity*: $\text{at-bot} \leq (\text{at-infinity} :: \text{real filter})$

$\langle \text{proof} \rangle$

lemma *filterlim-at-top-imp-at-infinity*: $\text{filterlim } f \text{ at-top } F \implies \text{filterlim } f \text{ at-infinity } F$

for $f :: - \Rightarrow \text{real}$

$\langle \text{proof} \rangle$

lemma *lim-infinity-imp-sequentially*: $(f \longrightarrow l) \text{ at-infinity} \implies ((\lambda n. f(n)) \longrightarrow$

l) *sequentially*
 $\langle \text{proof} \rangle$

101.1.1 Boundedness

definition $Bfun :: ('a \Rightarrow 'b::\text{metric-space}) \Rightarrow 'a \text{ filter} \Rightarrow \text{bool}$
where $Bfun\text{-metric-def}: Bfun\ f\ F = (\exists y. \exists K>0. \text{eventually } (\lambda x. \text{dist } (f\ x)\ y \leq K)\ F)$

abbreviation $Bseq :: (\text{nat} \Rightarrow 'a::\text{metric-space}) \Rightarrow \text{bool}$
where $Bseq\ X \equiv Bfun\ X\ \text{sequentially}$

lemma $Bseq\text{-conv-}Bfun: Bseq\ X \longleftrightarrow Bfun\ X\ \text{sequentially}$ $\langle \text{proof} \rangle$

lemma $Bseq\text{-ignore-initial-segment}: Bseq\ X \Longrightarrow Bseq\ (\lambda n. X\ (n + k))$
 $\langle \text{proof} \rangle$

lemma $Bseq\text{-offset}: Bseq\ (\lambda n. X\ (n + k)) \Longrightarrow Bseq\ X$
 $\langle \text{proof} \rangle$

lemma $Bfun\text{-def}: Bfun\ f\ F \longleftrightarrow (\exists K>0. \text{eventually } (\lambda x. \text{norm } (f\ x) \leq K)\ F)$
 $\langle \text{proof} \rangle$

lemma $BfunI$:
assumes $K: \text{eventually } (\lambda x. \text{norm } (f\ x) \leq K)\ F$
shows $Bfun\ f\ F$
 $\langle \text{proof} \rangle$

lemma $BfunE$:
assumes $Bfun\ f\ F$
obtains B **where** $0 < B$ **and** $\text{eventually } (\lambda x. \text{norm } (f\ x) \leq B)\ F$
 $\langle \text{proof} \rangle$

lemma $Cauchy\text{-}Bseq: Cauchy\ X \Longrightarrow Bseq\ X$
 $\langle \text{proof} \rangle$

101.1.2 Bounded Sequences

lemma $BseqI'$: $(\bigwedge n. \text{norm } (X\ n) \leq K) \Longrightarrow Bseq\ X$
 $\langle \text{proof} \rangle$

lemma $BseqI2'$: $\forall n \geq N. \text{norm } (X\ n) \leq K \Longrightarrow Bseq\ X$
 $\langle \text{proof} \rangle$

lemma $Bseq\text{-def}: Bseq\ X \longleftrightarrow (\exists K>0. \forall n. \text{norm } (X\ n) \leq K)$
 $\langle \text{proof} \rangle$

lemma $BseqE$: $Bseq\ X \Longrightarrow (\bigwedge K. 0 < K \Longrightarrow \forall n. \text{norm } (X\ n) \leq K \Longrightarrow Q) \Longrightarrow Q$
 $\langle \text{proof} \rangle$

lemma *BseqD*: $Bseq\ X \implies \exists K. 0 < K \wedge (\forall n. norm\ (X\ n) \leq K)$
 $\langle proof \rangle$

lemma *BseqI*: $0 < K \implies \forall n. norm\ (X\ n) \leq K \implies Bseq\ X$
 $\langle proof \rangle$

lemma *Bseq-bdd-above*: $Bseq\ X \implies bdd-above\ (range\ X)$
for $X :: nat \Rightarrow real$
 $\langle proof \rangle$

lemma *Bseq-bdd-above'*: $Bseq\ X \implies bdd-above\ (range\ (\lambda n. norm\ (X\ n)))$
for $X :: nat \Rightarrow 'a :: real-normed-vector$
 $\langle proof \rangle$

lemma *Bseq-bdd-below*: $Bseq\ X \implies bdd-below\ (range\ X)$
for $X :: nat \Rightarrow real$
 $\langle proof \rangle$

lemma *Bseq-eventually-mono*:
assumes *eventually* $(\lambda n. norm\ (f\ n) \leq norm\ (g\ n))$ *sequentially* $Bseq\ g$
shows $Bseq\ f$
 $\langle proof \rangle$

lemma *lemma-NBseq-def*: $(\exists K > 0. \forall n. norm\ (X\ n) \leq K) \longleftrightarrow (\exists N. \forall n. norm\ (X\ n) \leq real(Suc\ N))$
 $\langle proof \rangle$

Alternative definition for *Bseq*.

lemma *Bseq-iff*: $Bseq\ X \longleftrightarrow (\exists N. \forall n. norm\ (X\ n) \leq real(Suc\ N))$
 $\langle proof \rangle$

lemma *lemma-NBseq-def2*: $(\exists K > 0. \forall n. norm\ (X\ n) \leq K) = (\exists N. \forall n. norm\ (X\ n) < real(Suc\ N))$
 $\langle proof \rangle$

Yet another definition for *Bseq*.

lemma *Bseq-iff1a*: $Bseq\ X \longleftrightarrow (\exists N. \forall n. norm\ (X\ n) < real\ (Suc\ N))$
 $\langle proof \rangle$

101.1.3 A Few More Equivalence Theorems for Boundedness

Alternative formulation for boundedness.

lemma *Bseq-iff2*: $Bseq\ X \longleftrightarrow (\exists k > 0. \exists x. \forall n. norm\ (X\ n + -\ x) \leq k)$
 $\langle proof \rangle$

Alternative formulation for boundedness.

lemma *Bseq-iff3*: $Bseq\ X \longleftrightarrow (\exists k > 0. \exists N. \forall n. norm\ (X\ n + -\ X\ N) \leq k)$

(is ?P \longleftrightarrow ?Q)
 <proof>

lemma BseqI2: $\forall n. k \leq f\ n \wedge f\ n \leq K \implies Bseq\ f$
 for $k\ K :: real$
 <proof>

101.1.4 Upper Bounds and Lubs of Bounded Sequences

lemma Bseq-minus-iff: $Bseq\ (\lambda n. - (X\ n) :: 'a::real-normed-vector) \longleftrightarrow Bseq\ X$
 <proof>

lemma Bseq-add:
 fixes $f :: nat \Rightarrow 'a::real-normed-vector$
 assumes $Bseq\ f$
 shows $Bseq\ (\lambda x. f\ x + c)$
 <proof>

lemma Bseq-add-iff: $Bseq\ (\lambda x. f\ x + c) \longleftrightarrow Bseq\ f$
 for $f :: nat \Rightarrow 'a::real-normed-vector$
 <proof>

lemma Bseq-mult:
 fixes $f\ g :: nat \Rightarrow 'a::real-normed-field$
 assumes $Bseq\ f$ and $Bseq\ g$
 shows $Bseq\ (\lambda x. f\ x * g\ x)$
 <proof>

lemma Bfun-const [simp]: $Bfun\ (\lambda -. c)\ F$
 <proof>

lemma Bseq-cmult-iff:
 fixes $c :: 'a::real-normed-field$
 assumes $c \neq 0$
 shows $Bseq\ (\lambda x. c * f\ x) \longleftrightarrow Bseq\ f$
 <proof>

lemma Bseq-subseq: $Bseq\ f \implies Bseq\ (\lambda x. f\ (g\ x))$
 for $f :: nat \Rightarrow 'a::real-normed-vector$
 <proof>

lemma Bseq-Suc-iff: $Bseq\ (\lambda n. f\ (Suc\ n)) \longleftrightarrow Bseq\ f$
 for $f :: nat \Rightarrow 'a::real-normed-vector$
 <proof>

lemma increasing-Bseq-subseq-iff:
 assumes $\bigwedge x\ y. x \leq y \implies norm\ (f\ x :: 'a::real-normed-vector) \leq norm\ (f\ y)$
 strict-mono g
 shows $Bseq\ (\lambda x. f\ (g\ x)) \longleftrightarrow Bseq\ f$

<proof>

lemma *nonneg-incseq-Bseq-subseq-iff*:
fixes $f :: \text{nat} \Rightarrow \text{real}$
and $g :: \text{nat} \Rightarrow \text{nat}$
assumes $\bigwedge x. f\ x \geq 0 \text{ incseq } f \text{ strict-mono } g$
shows $Bseq\ (\lambda x. f\ (g\ x)) \longleftrightarrow Bseq\ f$
<proof>

lemma *Bseq-eq-bounded*: $\text{range } f \subseteq \{a..b\} \implies Bseq\ f$
for $a\ b :: \text{real}$
<proof>

lemma *incseq-bounded*: $\text{incseq } X \implies \forall i. X\ i \leq B \implies Bseq\ X$
for $B :: \text{real}$
<proof>

lemma *decseq-bounded*: $\text{decseq } X \implies \forall i. B \leq X\ i \implies Bseq\ X$
for $B :: \text{real}$
<proof>

101.2 Bounded Monotonic Sequences

101.2.1 A Bounded and Monotonic Sequence Converges

lemma *Bmonoseq-LIMSEQ*: $\forall n. m \leq n \longrightarrow X\ n = X\ m \implies \exists L. X \longrightarrow L$
<proof>

101.3 Convergence to Zero

definition *Zfun* :: $('a \Rightarrow 'b :: \text{real-normed-vector}) \Rightarrow 'a \text{ filter} \Rightarrow \text{bool}$
where $Zfun\ f\ F = (\forall r > 0. \text{eventually } (\lambda x. \text{norm } (f\ x) < r)\ F)$

lemma *ZfunI*: $(\bigwedge r. 0 < r \implies \text{eventually } (\lambda x. \text{norm } (f\ x) < r)\ F) \implies Zfun\ f\ F$
<proof>

lemma *ZfunD*: $Zfun\ f\ F \implies 0 < r \implies \text{eventually } (\lambda x. \text{norm } (f\ x) < r)\ F$
<proof>

lemma *Zfun-ssubst*: $\text{eventually } (\lambda x. f\ x = g\ x)\ F \implies Zfun\ g\ F \implies Zfun\ f\ F$
<proof>

lemma *Zfun-zero*: $Zfun\ (\lambda x. 0)\ F$
<proof>

lemma *Zfun-norm-iff*: $Zfun\ (\lambda x. \text{norm } (f\ x))\ F = Zfun\ (\lambda x. f\ x)\ F$
<proof>

lemma *Zfun-imp-Zfun*:
assumes $f: Zfun\ f\ F$

and g : eventually $(\lambda x. \text{norm } (g \ x) \leq \text{norm } (f \ x) * K) \ F$
shows $\text{Zfun } (\lambda x. g \ x) \ F$
 $\langle \text{proof} \rangle$

lemma *Zfun-le*: $\text{Zfun } g \ F \implies \forall x. \text{norm } (f \ x) \leq \text{norm } (g \ x) \implies \text{Zfun } f \ F$
 $\langle \text{proof} \rangle$

lemma *Zfun-add*:
assumes f : $\text{Zfun } f \ F$
and g : $\text{Zfun } g \ F$
shows $\text{Zfun } (\lambda x. f \ x + g \ x) \ F$
 $\langle \text{proof} \rangle$

lemma *Zfun-minus*: $\text{Zfun } f \ F \implies \text{Zfun } (\lambda x. - f \ x) \ F$
 $\langle \text{proof} \rangle$

lemma *Zfun-diff*: $\text{Zfun } f \ F \implies \text{Zfun } g \ F \implies \text{Zfun } (\lambda x. f \ x - g \ x) \ F$
 $\langle \text{proof} \rangle$

lemma (*in bounded-linear*) *Zfun*:
assumes g : $\text{Zfun } g \ F$
shows $\text{Zfun } (\lambda x. f \ (g \ x)) \ F$
 $\langle \text{proof} \rangle$

lemma (*in bounded-bilinear*) *Zfun*:
assumes f : $\text{Zfun } f \ F$
and g : $\text{Zfun } g \ F$
shows $\text{Zfun } (\lambda x. f \ x ** g \ x) \ F$
 $\langle \text{proof} \rangle$

lemma (*in bounded-bilinear*) *Zfun-left*: $\text{Zfun } f \ F \implies \text{Zfun } (\lambda x. f \ x ** a) \ F$
 $\langle \text{proof} \rangle$

lemma (*in bounded-bilinear*) *Zfun-right*: $\text{Zfun } f \ F \implies \text{Zfun } (\lambda x. a ** f \ x) \ F$
 $\langle \text{proof} \rangle$

lemmas *Zfun-mult* = *bounded-bilinear.Zfun* [*OF bounded-bilinear-mult*]
lemmas *Zfun-mult-right* = *bounded-bilinear.Zfun-right* [*OF bounded-bilinear-mult*]
lemmas *Zfun-mult-left* = *bounded-bilinear.Zfun-left* [*OF bounded-bilinear-mult*]

lemma *tendsto-Zfun-iff*: $(f \longrightarrow a) \ F = \text{Zfun } (\lambda x. f \ x - a) \ F$
 $\langle \text{proof} \rangle$

lemma *tendsto-0-le*:
 $(f \longrightarrow 0) \ F \implies \text{eventually } (\lambda x. \text{norm } (g \ x) \leq \text{norm } (f \ x) * K) \ F \implies (g \longrightarrow 0) \ F$
 $\langle \text{proof} \rangle$

101.3.1 Distance and norms**lemma** *tendsto-dist* [*tendsto-intros*]:fixes $l\ m :: 'a :: \text{metric-space}$ assumes $f: (f \longrightarrow l)\ F$ and $g: (g \longrightarrow m)\ F$ shows $((\lambda x. \text{dist } (f\ x)\ (g\ x)) \longrightarrow \text{dist } l\ m)\ F$ $\langle \text{proof} \rangle$ **lemma** *continuous-dist* [*continuous-intros*]:fixes $f\ g :: - \Rightarrow 'a :: \text{metric-space}$ shows $\text{continuous } F\ f \Longrightarrow \text{continuous } F\ g \Longrightarrow \text{continuous } F\ (\lambda x. \text{dist } (f\ x)\ (g\ x))$ $\langle \text{proof} \rangle$ **lemma** *continuous-on-dist* [*continuous-intros*]:fixes $f\ g :: - \Rightarrow 'a :: \text{metric-space}$ shows $\text{continuous-on } s\ f \Longrightarrow \text{continuous-on } s\ g \Longrightarrow \text{continuous-on } s\ (\lambda x. \text{dist } (f\ x)\ (g\ x))$ $\langle \text{proof} \rangle$ **lemma** *tendsto-norm* [*tendsto-intros*]: $(f \longrightarrow a)\ F \Longrightarrow ((\lambda x. \text{norm } (f\ x)) \longrightarrow \text{norm } a)\ F$ $\langle \text{proof} \rangle$ **lemma** *continuous-norm* [*continuous-intros*]: $\text{continuous } F\ f \Longrightarrow \text{continuous } F\ (\lambda x. \text{norm } (f\ x))$ $\langle \text{proof} \rangle$ **lemma** *continuous-on-norm* [*continuous-intros*]: $\text{continuous-on } s\ f \Longrightarrow \text{continuous-on } s\ (\lambda x. \text{norm } (f\ x))$ $\langle \text{proof} \rangle$ **lemma** *tendsto-norm-zero*: $(f \longrightarrow 0)\ F \Longrightarrow ((\lambda x. \text{norm } (f\ x)) \longrightarrow 0)\ F$ $\langle \text{proof} \rangle$ **lemma** *tendsto-norm-zero-cancel*: $((\lambda x. \text{norm } (f\ x)) \longrightarrow 0)\ F \Longrightarrow (f \longrightarrow 0)\ F$ $\langle \text{proof} \rangle$ **lemma** *tendsto-norm-zero-iff*: $((\lambda x. \text{norm } (f\ x)) \longrightarrow 0)\ F \longleftrightarrow (f \longrightarrow 0)\ F$ $\langle \text{proof} \rangle$ **lemma** *tendsto-rabs* [*tendsto-intros*]: $(f \longrightarrow l)\ F \Longrightarrow ((\lambda x. |f\ x|) \longrightarrow |l|)\ F$ for $l :: \text{real}$ $\langle \text{proof} \rangle$ **lemma** *continuous-rabs* [*continuous-intros*]: $\text{continuous } F\ f \Longrightarrow \text{continuous } F\ (\lambda x. |f\ x| :: \text{real})$ $\langle \text{proof} \rangle$

lemma *continuous-on-rabs* [*continuous-intros*]:
 $\text{continuous-on } s \ f \implies \text{continuous-on } s \ (\lambda x. |f \ x|)$
 ⟨*proof*⟩

lemma *tendsto-rabs-zero*: $(f \longrightarrow (0::\text{real})) \ F \implies ((\lambda x. |f \ x|) \longrightarrow 0) \ F$
 ⟨*proof*⟩

lemma *tendsto-rabs-zero-cancel*: $((\lambda x. |f \ x|) \longrightarrow (0::\text{real})) \ F \implies (f \longrightarrow 0) \ F$
 ⟨*proof*⟩

lemma *tendsto-rabs-zero-iff*: $((\lambda x. |f \ x|) \longrightarrow (0::\text{real})) \ F \longleftrightarrow (f \longrightarrow 0) \ F$
 ⟨*proof*⟩

101.4 Topological Monoid

class *topological-monoid-add* = *topological-space* + *monoid-add* +
assumes *tendsto-add-Pair*: $\text{LIM } x \ (\text{nhds } a \times_F \text{nhds } b). \text{fst } x + \text{snd } x \text{ :> nhds } (a + b)$

class *topological-comm-monoid-add* = *topological-monoid-add* + *comm-monoid-add*

lemma *tendsto-add* [*tendsto-intros*]:
fixes $a \ b :: 'a::\text{topological-monoid-add}$
shows $(f \longrightarrow a) \ F \implies (g \longrightarrow b) \ F \implies ((\lambda x. f \ x + g \ x) \longrightarrow a + b) \ F$
 ⟨*proof*⟩

lemma *continuous-add* [*continuous-intros*]:
fixes $f \ g :: - \Rightarrow 'b::\text{topological-monoid-add}$
shows $\text{continuous } F \ f \implies \text{continuous } F \ g \implies \text{continuous } F \ (\lambda x. f \ x + g \ x)$
 ⟨*proof*⟩

lemma *continuous-on-add* [*continuous-intros*]:
fixes $f \ g :: - \Rightarrow 'b::\text{topological-monoid-add}$
shows $\text{continuous-on } s \ f \implies \text{continuous-on } s \ g \implies \text{continuous-on } s \ (\lambda x. f \ x + g \ x)$
 ⟨*proof*⟩

lemma *tendsto-add-zero*:
fixes $f \ g :: - \Rightarrow 'b::\text{topological-monoid-add}$
shows $(f \longrightarrow 0) \ F \implies (g \longrightarrow 0) \ F \implies ((\lambda x. f \ x + g \ x) \longrightarrow 0) \ F$
 ⟨*proof*⟩

lemma *tendsto-sum* [*tendsto-intros*]:
fixes $f :: 'a \Rightarrow 'b \Rightarrow 'c::\text{topological-comm-monoid-add}$
shows $(\bigwedge i. i \in I \implies (f \ i \longrightarrow a \ i) \ F) \implies ((\lambda x. \sum_{i \in I} f \ i \ x) \longrightarrow (\sum_{i \in I} a \ i)) \ F$
 ⟨*proof*⟩

lemma *continuous-sum* [*continuous-intros*]:
fixes $f :: 'a \Rightarrow 'b::t2\text{-space} \Rightarrow 'c::\text{topological-comm-monoid-add}$
shows $(\bigwedge i. i \in I \implies \text{continuous } F (f\ i)) \implies \text{continuous } F (\lambda x. \sum_{i \in I}. f\ i\ x)$
 $\langle \text{proof} \rangle$

lemma *continuous-on-sum* [*continuous-intros*]:
fixes $f :: 'a \Rightarrow 'b::\text{topological-space} \Rightarrow 'c::\text{topological-comm-monoid-add}$
shows $(\bigwedge i. i \in I \implies \text{continuous-on } S (f\ i)) \implies \text{continuous-on } S (\lambda x. \sum_{i \in I}. f\ i\ x)$
 $\langle \text{proof} \rangle$

instance *nat* :: *topological-comm-monoid-add*
 $\langle \text{proof} \rangle$

instance *int* :: *topological-comm-monoid-add*
 $\langle \text{proof} \rangle$

101.4.1 Topological group

class *topological-group-add* = *topological-monoid-add* + *group-add* +
assumes *tendsto-uminus-nhds*: $(\text{uminus} \longrightarrow -\ a) (\text{nhds } a)$
begin

lemma *tendsto-minus* [*tendsto-intros*]: $(f \longrightarrow a) F \implies ((\lambda x. -\ f\ x) \longrightarrow -\ a) F$
 $\langle \text{proof} \rangle$

end

class *topological-ab-group-add* = *topological-group-add* + *ab-group-add*

instance *topological-ab-group-add* < *topological-comm-monoid-add* $\langle \text{proof} \rangle$

lemma *continuous-minus* [*continuous-intros*]: *continuous* $F\ f \implies \text{continuous } F (\lambda x. -\ f\ x)$
for $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{topological-group-add}$
 $\langle \text{proof} \rangle$

lemma *continuous-on-minus* [*continuous-intros*]: *continuous-on* $s\ f \implies \text{continuous-on } s (\lambda x. -\ f\ x)$
for $f :: - \Rightarrow 'b::\text{topological-group-add}$
 $\langle \text{proof} \rangle$

lemma *tendsto-minus-cancel*: $((\lambda x. -\ f\ x) \longrightarrow -\ a) F \implies (f \longrightarrow a) F$
for $a :: 'a::\text{topological-group-add}$
 $\langle \text{proof} \rangle$

lemma *tendsto-minus-cancel-left*:
 $(f \longrightarrow -\ (y::\text{topological-group-add})) F \longleftrightarrow ((\lambda x. -\ f\ x) \longrightarrow y) F$

$\langle \text{proof} \rangle$

lemma *tendsto-diff* [*tendsto-intros*]:

fixes $a\ b :: 'a::\text{topological-group-add}$

shows $(f \longrightarrow a)\ F \Longrightarrow (g \longrightarrow b)\ F \Longrightarrow ((\lambda x. f\ x - g\ x) \longrightarrow a - b)\ F$

$\langle \text{proof} \rangle$

lemma *continuous-diff* [*continuous-intros*]:

fixes $f\ g :: 'a::t2\text{-space} \Rightarrow 'b::\text{topological-group-add}$

shows $\text{continuous}\ F\ f \Longrightarrow \text{continuous}\ F\ g \Longrightarrow \text{continuous}\ F\ (\lambda x. f\ x - g\ x)$

$\langle \text{proof} \rangle$

lemma *continuous-on-diff* [*continuous-intros*]:

fixes $f\ g :: - \Rightarrow 'b::\text{topological-group-add}$

shows $\text{continuous-on}\ s\ f \Longrightarrow \text{continuous-on}\ s\ g \Longrightarrow \text{continuous-on}\ s\ (\lambda x. f\ x - g\ x)$

$\langle \text{proof} \rangle$

lemma *continuous-on-op-minus*: $\text{continuous-on}\ (s::'a::\text{topological-group-add}\ \text{set})$
 $(\text{op} - x)$

$\langle \text{proof} \rangle$

instance *real-normed-vector* < *topological-ab-group-add*

$\langle \text{proof} \rangle$

lemmas *real-tendsto-sandwich* = *tendsto-sandwich*[**where** $'a=\text{real}$]

101.4.2 Linear operators and multiplication

lemma *linear-times*: $\text{linear}\ (\lambda x. c * x)$

for $c :: 'a::\text{real-algebra}$

$\langle \text{proof} \rangle$

lemma (**in** *bounded-linear*) *tendsto*: $(g \longrightarrow a)\ F \Longrightarrow ((\lambda x. f\ (g\ x)) \longrightarrow f\ a)\ F$

$\langle \text{proof} \rangle$

lemma (**in** *bounded-linear*) *continuous*: $\text{continuous}\ F\ g \Longrightarrow \text{continuous}\ F\ (\lambda x. f\ (g\ x))$

$\langle \text{proof} \rangle$

lemma (**in** *bounded-linear*) *continuous-on*: $\text{continuous-on}\ s\ g \Longrightarrow \text{continuous-on}\ s\ (\lambda x. f\ (g\ x))$

$\langle \text{proof} \rangle$

lemma (**in** *bounded-linear*) *tendsto-zero*: $(g \longrightarrow 0)\ F \Longrightarrow ((\lambda x. f\ (g\ x)) \longrightarrow 0)\ F$

$\langle \text{proof} \rangle$

lemma (in *bounded-bilinear*) *tendsto*:

$(f \longrightarrow a) F \implies (g \longrightarrow b) F \implies ((\lambda x. f\ x ** g\ x) \longrightarrow a ** b) F$
 $\langle \text{proof} \rangle$

lemma (in *bounded-bilinear*) *continuous*:

$\text{continuous } F \implies \text{continuous } F\ g \implies \text{continuous } F\ (\lambda x. f\ x ** g\ x)$
 $\langle \text{proof} \rangle$

lemma (in *bounded-bilinear*) *continuous-on*:

$\text{continuous-on } s\ f \implies \text{continuous-on } s\ g \implies \text{continuous-on } s\ (\lambda x. f\ x ** g\ x)$
 $\langle \text{proof} \rangle$

lemma (in *bounded-bilinear*) *tendsto-zero*:

assumes $f: (f \longrightarrow 0) F$
and $g: (g \longrightarrow 0) F$
shows $((\lambda x. f\ x ** g\ x) \longrightarrow 0) F$
 $\langle \text{proof} \rangle$

lemma (in *bounded-bilinear*) *tendsto-left-zero*:

$(f \longrightarrow 0) F \implies ((\lambda x. f\ x ** c) \longrightarrow 0) F$
 $\langle \text{proof} \rangle$

lemma (in *bounded-bilinear*) *tendsto-right-zero*:

$(f \longrightarrow 0) F \implies ((\lambda x. c ** f\ x) \longrightarrow 0) F$
 $\langle \text{proof} \rangle$

lemmas *tendsto-of-real* [*tendsto-intros*] =

bounded-linear.tendsto [*OF bounded-linear-of-real*]

lemmas *tendsto-scaleR* [*tendsto-intros*] =

bounded-bilinear.tendsto [*OF bounded-bilinear-scaleR*]

lemmas *tendsto-mult* [*tendsto-intros*] =

bounded-bilinear.tendsto [*OF bounded-bilinear-mult*]

lemma *tendsto-mult-left*: $(f \longrightarrow l) F \implies ((\lambda x. c * (f\ x)) \longrightarrow c * l) F$

for $c :: 'a::\text{real-normed-algebra}$
 $\langle \text{proof} \rangle$

lemma *tendsto-mult-right*: $(f \longrightarrow l) F \implies ((\lambda x. (f\ x) * c) \longrightarrow l * c) F$

for $c :: 'a::\text{real-normed-algebra}$
 $\langle \text{proof} \rangle$

lemmas *continuous-of-real* [*continuous-intros*] =

bounded-linear.continuous [*OF bounded-linear-of-real*]

lemmas *continuous-scaleR* [*continuous-intros*] =

bounded-bilinear.continuous [*OF bounded-bilinear-scaleR*]

lemmas *continuous-mult* [*continuous-intros*] =
bounded-bilinear.continuous [*OF bounded-bilinear-mult*]

lemmas *continuous-on-of-real* [*continuous-intros*] =
bounded-linear.continuous-on [*OF bounded-linear-of-real*]

lemmas *continuous-on-scaleR* [*continuous-intros*] =
bounded-bilinear.continuous-on [*OF bounded-bilinear-scaleR*]

lemmas *continuous-on-mult* [*continuous-intros*] =
bounded-bilinear.continuous-on [*OF bounded-bilinear-mult*]

lemmas *tendsto-mult-zero* =
bounded-bilinear.tendsto-zero [*OF bounded-bilinear-mult*]

lemmas *tendsto-mult-left-zero* =
bounded-bilinear.tendsto-left-zero [*OF bounded-bilinear-mult*]

lemmas *tendsto-mult-right-zero* =
bounded-bilinear.tendsto-right-zero [*OF bounded-bilinear-mult*]

lemma *tendsto-power* [*tendsto-intros*]: $(f \longrightarrow a) F \implies ((\lambda x. f\ x \wedge n) \longrightarrow a \wedge n) F$
for $f :: 'a \Rightarrow 'b :: \{\text{power, real-normed-algebra}\}$
<proof>

lemma *tendsto-null-power*: $\llbracket (f \longrightarrow 0) F; 0 < n \rrbracket \implies ((\lambda x. f\ x \wedge n) \longrightarrow 0) F$
for $f :: 'a \Rightarrow 'b :: \{\text{power, real-normed-algebra-1}\}$
<proof>

lemma *continuous-power* [*continuous-intros*]: *continuous* $F\ f \implies \text{continuous } F\ (\lambda x. (f\ x) \wedge n)$
for $f :: 'a :: t2\text{-space} \Rightarrow 'b :: \{\text{power, real-normed-algebra}\}$
<proof>

lemma *continuous-on-power* [*continuous-intros*]:
fixes $f :: - \Rightarrow 'b :: \{\text{power, real-normed-algebra}\}$
shows *continuous-on* $s\ f \implies \text{continuous-on } s\ (\lambda x. (f\ x) \wedge n)$
<proof>

lemma *tendsto-prod* [*tendsto-intros*]:
fixes $f :: 'a \Rightarrow 'b \Rightarrow 'c :: \{\text{real-normed-algebra, comm-ring-1}\}$
shows $(\bigwedge i. i \in S \implies (f\ i \longrightarrow L\ i) F) \implies ((\lambda x. \prod_{i \in S} f\ i\ x) \longrightarrow (\prod_{i \in S} L\ i)) F$
<proof>

lemma *continuous-prod* [*continuous-intros*]:
fixes $f :: 'a \Rightarrow 'b :: t2\text{-space} \Rightarrow 'c :: \{\text{real-normed-algebra, comm-ring-1}\}$
shows $(\bigwedge i. i \in S \implies \text{continuous } F\ (f\ i)) \implies \text{continuous } F\ (\lambda x. \prod_{i \in S} f\ i\ x)$

$\langle \text{proof} \rangle$

lemma *continuous-on-prod* [*continuous-intros*]:

fixes $f :: 'a \Rightarrow - \Rightarrow 'c :: \{\text{real-normed-algebra}, \text{comm-ring-1}\}$
shows $(\bigwedge i. i \in S \implies \text{continuous-on } s \ (f \ i)) \implies \text{continuous-on } s \ (\lambda x. \prod_{i \in S}. f \ i \ x)$
 $\langle \text{proof} \rangle$

lemma *tendsto-of-real-iff*:

$((\lambda x. \text{of-real } (f \ x) :: 'a :: \text{real-normed-div-algebra}) \longrightarrow \text{of-real } c) \ F \longleftrightarrow (f \longrightarrow c) \ F$
 $\langle \text{proof} \rangle$

lemma *tendsto-add-const-iff*:

$((\lambda x. c + f \ x :: 'a :: \text{real-normed-vector}) \longrightarrow c + d) \ F \longleftrightarrow (f \longrightarrow d) \ F$
 $\langle \text{proof} \rangle$

101.4.3 Inverse and division

lemma (*in bounded-bilinear*) *Zfun-prod-Bfun*:

assumes $f: \text{Zfun } f \ F$
and $g: \text{Bfun } g \ F$
shows $\text{Zfun } (\lambda x. f \ x \ ** \ g \ x) \ F$
 $\langle \text{proof} \rangle$

lemma (*in bounded-bilinear*) *Bfun-prod-Zfun*:

assumes $f: \text{Bfun } f \ F$
and $g: \text{Zfun } g \ F$
shows $\text{Zfun } (\lambda x. f \ x \ ** \ g \ x) \ F$
 $\langle \text{proof} \rangle$

lemma *Bfun-inverse-lemma*:

fixes $x :: 'a :: \text{real-normed-div-algebra}$
shows $r \leq \text{norm } x \implies 0 < r \implies \text{norm } (\text{inverse } x) \leq \text{inverse } r$
 $\langle \text{proof} \rangle$

lemma *Bfun-inverse*:

fixes $a :: 'a :: \text{real-normed-div-algebra}$
assumes $f: (f \longrightarrow a) \ F$
assumes $a: a \neq 0$
shows $\text{Bfun } (\lambda x. \text{inverse } (f \ x)) \ F$
 $\langle \text{proof} \rangle$

lemma *tendsto-inverse* [*tendsto-intros*]:

fixes $a :: 'a :: \text{real-normed-div-algebra}$
assumes $f: (f \longrightarrow a) \ F$
and $a: a \neq 0$
shows $((\lambda x. \text{inverse } (f \ x)) \longrightarrow \text{inverse } a) \ F$
 $\langle \text{proof} \rangle$

lemma *continuous-inverse*:

fixes $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-div-algebra}$
assumes *continuous* $F f$
and $f (\text{Lim } F (\lambda x. x)) \neq 0$
shows *continuous* $F (\lambda x. \text{inverse } (f x))$
 $\langle \text{proof} \rangle$

lemma *continuous-at-within-inverse*[*continuous-intros*]:

fixes $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-div-algebra}$
assumes *continuous* (at a within s) f
and $f a \neq 0$
shows *continuous* (at a within s) $(\lambda x. \text{inverse } (f x))$
 $\langle \text{proof} \rangle$

lemma *isCont-inverse*[*continuous-intros*, *simp*]:

fixes $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-div-algebra}$
assumes *isCont* $f a$
and $f a \neq 0$
shows *isCont* $(\lambda x. \text{inverse } (f x)) a$
 $\langle \text{proof} \rangle$

lemma *continuous-on-inverse*[*continuous-intros*]:

fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{real-normed-div-algebra}$
assumes *continuous-on* $s f$
and $\forall x \in s. f x \neq 0$
shows *continuous-on* $s (\lambda x. \text{inverse } (f x))$
 $\langle \text{proof} \rangle$

lemma *tendsto-divide* [*tendsto-intros*]:

fixes $a b :: 'a::\text{real-normed-field}$
shows $(f \longrightarrow a) F \Longrightarrow (g \longrightarrow b) F \Longrightarrow b \neq 0 \Longrightarrow ((\lambda x. f x / g x) \longrightarrow a / b) F$
 $\langle \text{proof} \rangle$

lemma *continuous-divide*:

fixes $f g :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-field}$
assumes *continuous* $F f$
and *continuous* $F g$
and $g (\text{Lim } F (\lambda x. x)) \neq 0$
shows *continuous* $F (\lambda x. (f x) / (g x))$
 $\langle \text{proof} \rangle$

lemma *continuous-at-within-divide*[*continuous-intros*]:

fixes $f g :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-field}$
assumes *continuous* (at a within s) f *continuous* (at a within s) g
and $g a \neq 0$
shows *continuous* (at a within s) $(\lambda x. (f x) / (g x))$
 $\langle \text{proof} \rangle$

lemma *isCont-divide*[*continuous-intros*, *simp*]:
fixes $f\ g :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-field}$
assumes $\text{isCont } f\ a\ \text{isCont } g\ a\ g\ a \neq 0$
shows $\text{isCont } (\lambda x. (f\ x) / g\ x)\ a$
 $\langle \text{proof} \rangle$

lemma *continuous-on-divide*[*continuous-intros*]:
fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{real-normed-field}$
assumes $\text{continuous-on } s\ f\ \text{continuous-on } s\ g$
and $\forall x \in s. g\ x \neq 0$
shows $\text{continuous-on } s\ (\lambda x. (f\ x) / (g\ x))$
 $\langle \text{proof} \rangle$

lemma *tendsto-sgn* [*tendsto-intros*]: $(f \longrightarrow l)\ F \Longrightarrow l \neq 0 \Longrightarrow ((\lambda x. \text{sgn } (f\ x)) \longrightarrow \text{sgn } l)\ F$
for $l :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *continuous-sgn*:
fixes $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-vector}$
assumes $\text{continuous } F\ f$
and $f\ (\text{Lim } F\ (\lambda x. x)) \neq 0$
shows $\text{continuous } F\ (\lambda x. \text{sgn } (f\ x))$
 $\langle \text{proof} \rangle$

lemma *continuous-at-within-sgn*[*continuous-intros*]:
fixes $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-vector}$
assumes $\text{continuous } (\text{at } a\ \text{within } s)\ f$
and $f\ a \neq 0$
shows $\text{continuous } (\text{at } a\ \text{within } s)\ (\lambda x. \text{sgn } (f\ x))$
 $\langle \text{proof} \rangle$

lemma *isCont-sgn*[*continuous-intros*]:
fixes $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-vector}$
assumes $\text{isCont } f\ a$
and $f\ a \neq 0$
shows $\text{isCont } (\lambda x. \text{sgn } (f\ x))\ a$
 $\langle \text{proof} \rangle$

lemma *continuous-on-sgn*[*continuous-intros*]:
fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{real-normed-vector}$
assumes $\text{continuous-on } s\ f$
and $\forall x \in s. f\ x \neq 0$
shows $\text{continuous-on } s\ (\lambda x. \text{sgn } (f\ x))$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-infinity*:
fixes $f :: - \Rightarrow 'a::\text{real-normed-vector}$

assumes $0 \leq c$
shows $(\text{LIM } x \ F. \ f \ x \ :> \text{at-infinity}) \longleftrightarrow (\forall r > c. \text{eventually } (\lambda x. r \leq \text{norm } (f \ x)) \ F)$
 $\langle \text{proof} \rangle$

lemma *not-tendsto-and-filterlim-at-infinity*:

fixes $c :: 'a::\text{real-normed-vector}$
assumes $F \neq \text{bot}$
and $(f \longrightarrow c) \ F$
and $\text{filterlim } f \text{ at-infinity } F$
shows False
 $\langle \text{proof} \rangle$

lemma *filterlim-at-infinity-imp-not-convergent*:

assumes $\text{filterlim } f \text{ at-infinity sequentially}$
shows $\neg \text{convergent } f$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-infinity-imp-eventually-ne*:

assumes $\text{filterlim } f \text{ at-infinity } F$
shows $\text{eventually } (\lambda z. f \ z \neq c) \ F$
 $\langle \text{proof} \rangle$

lemma *tendsto-of-nat [tendsto-intros]*:

$\text{filterlim } (\text{of-nat} :: \text{nat} \Rightarrow 'a::\text{real-normed-algebra-1}) \text{ at-infinity sequentially}$
 $\langle \text{proof} \rangle$

101.5 Relate *at*, *at-left* and *at-right*

This lemmas are useful for conversion between *at* x to *at-left* x and *at-right* x and also *at-right* $(0::'a)$.

lemmas $\text{filterlim-split-at-real} = \text{filterlim-split-at}[\text{where } 'a=\text{real}]$

lemma *filtermap-nhds-shift*: $\text{filtermap } (\lambda x. x - d) (\text{nhds } a) = \text{nhds } (a - d)$
for $a \ d :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *filtermap-nhds-minus*: $\text{filtermap } (\lambda x. - x) (\text{nhds } a) = \text{nhds } (- a)$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *filtermap-at-shift*: $\text{filtermap } (\lambda x. x - d) (\text{at } a) = \text{at } (a - d)$
for $a \ d :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *filtermap-at-right-shift*: $\text{filtermap } (\lambda x. x - d) (\text{at-right } a) = \text{at-right } (a - d)$
for $a \ d :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *at-right-to-0*: $at_right\ a = filtermap\ (\lambda x. x + a)\ (at_right\ 0)$
for $a :: real$
 $\langle proof \rangle$

lemma *filterlim-at-right-to-0*:
 $filterlim\ f\ F\ (at_right\ a) \longleftrightarrow filterlim\ (\lambda x. f\ (x + a))\ F\ (at_right\ 0)$
for $a :: real$
 $\langle proof \rangle$

lemma *eventually-at-right-to-0*:
 $eventually\ P\ (at_right\ a) \longleftrightarrow eventually\ (\lambda x. P\ (x + a))\ (at_right\ 0)$
for $a :: real$
 $\langle proof \rangle$

lemma *filtermap-at-minus*: $filtermap\ (\lambda x. -\ x)\ (at\ a) = at\ (-\ a)$
for $a :: 'a::real-normed-vector$
 $\langle proof \rangle$

lemma *at-left-minus*: $at_left\ a = filtermap\ (\lambda x. -\ x)\ (at_right\ (-\ a))$
for $a :: real$
 $\langle proof \rangle$

lemma *at-right-minus*: $at_right\ a = filtermap\ (\lambda x. -\ x)\ (at_left\ (-\ a))$
for $a :: real$
 $\langle proof \rangle$

lemma *filterlim-at-left-to-right*:
 $filterlim\ f\ F\ (at_left\ a) \longleftrightarrow filterlim\ (\lambda x. f\ (-\ x))\ F\ (at_right\ (-\ a))$
for $a :: real$
 $\langle proof \rangle$

lemma *eventually-at-left-to-right*:
 $eventually\ P\ (at_left\ a) \longleftrightarrow eventually\ (\lambda x. P\ (-\ x))\ (at_right\ (-\ a))$
for $a :: real$
 $\langle proof \rangle$

lemma *filterlim-uminus-at-top-at-bot*: $LIM\ x\ at_bot. -\ x :: real :> at_top$
 $\langle proof \rangle$

lemma *filterlim-uminus-at-bot-at-top*: $LIM\ x\ at_top. -\ x :: real :> at_bot$
 $\langle proof \rangle$

lemma *at-top-mirror*: $at_top = filtermap\ uminus\ (at_bot :: real\ filter)$
 $\langle proof \rangle$

lemma *at-bot-mirror*: $at_bot = filtermap\ uminus\ (at_top :: real\ filter)$
 $\langle proof \rangle$

lemma *filterlim-at-top-mirror*: $(\text{LIM } x \text{ at-top. } f \ x \ :> F) \longleftrightarrow (\text{LIM } x \text{ at-bot. } f \ (-x::\text{real}) \ :> F)$
 ⟨proof⟩

lemma *filterlim-at-bot-mirror*: $(\text{LIM } x \text{ at-bot. } f \ x \ :> F) \longleftrightarrow (\text{LIM } x \text{ at-top. } f \ (-x::\text{real}) \ :> F)$
 ⟨proof⟩

lemma *filterlim-uminus-at-top*: $(\text{LIM } x \ F. \ f \ x \ :> \text{at-top}) \longleftrightarrow (\text{LIM } x \ F. \ - (f \ x) \ :: \text{real} \ :> \text{at-bot})$
 ⟨proof⟩

lemma *filterlim-uminus-at-bot*: $(\text{LIM } x \ F. \ f \ x \ :> \text{at-bot}) \longleftrightarrow (\text{LIM } x \ F. \ - (f \ x) \ :: \text{real} \ :> \text{at-top})$
 ⟨proof⟩

lemma *filterlim-inverse-at-top-right*: $\text{LIM } x \text{ at-right } (0::\text{real}). \ \text{inverse } x \ :> \text{at-top}$
 ⟨proof⟩

lemma *tendsto-inverse-0*:
 fixes $x \ :: \ - \Rightarrow 'a::\text{real-normed-div-algebra}$
 shows $(\text{inverse} \longrightarrow (0::'a)) \text{ at-infinity}$
 ⟨proof⟩

lemma *tendsto-add-filterlim-at-infinity*:
 fixes $c \ :: \ 'b::\text{real-normed-vector}$
 and $F \ :: \ 'a \ \text{filter}$
 assumes $(f \longrightarrow c) \ F$
 and $\text{filterlim } g \text{ at-infinity } F$
 shows $\text{filterlim } (\lambda x. \ f \ x + g \ x) \text{ at-infinity } F$
 ⟨proof⟩

lemma *tendsto-add-filterlim-at-infinity'*:
 fixes $c \ :: \ 'b::\text{real-normed-vector}$
 and $F \ :: \ 'a \ \text{filter}$
 assumes $\text{filterlim } f \text{ at-infinity } F$
 and $(g \longrightarrow c) \ F$
 shows $\text{filterlim } (\lambda x. \ f \ x + g \ x) \text{ at-infinity } F$
 ⟨proof⟩

lemma *filterlim-inverse-at-right-top*: $\text{LIM } x \text{ at-top. } \text{inverse } x \ :> \text{at-right } (0::\text{real})$
 ⟨proof⟩

lemma *filterlim-inverse-at-top*:
 $(f \longrightarrow (0::\text{real})) \ F \Longrightarrow \text{eventually } (\lambda x. \ 0 < f \ x) \ F \Longrightarrow \text{LIM } x \ F. \ \text{inverse } (f \ x) \ :> \text{at-top}$
 ⟨proof⟩

lemma *filterlim-inverse-at-bot-neg*:

$LIM\ x\ (at_left\ (0::real)).\ inverse\ x\ :>\ at_bot$
 $\langle proof \rangle$

lemma *filterlim-inverse-at-bot*:
 $(f \longrightarrow (0::real))\ F \implies eventually\ (\lambda x. f\ x < 0)\ F \implies LIM\ x\ F.\ inverse\ (f\ x) :>\ at_bot$
 $\langle proof \rangle$

lemma *at-right-to-top*: $(at_right\ (0::real)) = filtermap\ inverse\ at_top$
 $\langle proof \rangle$

lemma *eventually-at-right-to-top*:
 $eventually\ P\ (at_right\ (0::real)) \longleftrightarrow eventually\ (\lambda x. P\ (inverse\ x))\ at_top$
 $\langle proof \rangle$

lemma *filterlim-at-right-to-top*:
 $filterlim\ f\ F\ (at_right\ (0::real)) \longleftrightarrow (LIM\ x\ at_top.\ f\ (inverse\ x) :>\ F)$
 $\langle proof \rangle$

lemma *at-top-to-right*: $at_top = filtermap\ inverse\ (at_right\ (0::real))$
 $\langle proof \rangle$

lemma *eventually-at-top-to-right*:
 $eventually\ P\ at_top \longleftrightarrow eventually\ (\lambda x. P\ (inverse\ x))\ (at_right\ (0::real))$
 $\langle proof \rangle$

lemma *filterlim-at-top-to-right*:
 $filterlim\ f\ F\ at_top \longleftrightarrow (LIM\ x\ (at_right\ (0::real)).\ f\ (inverse\ x) :>\ F)$
 $\langle proof \rangle$

lemma *filterlim-inverse-at-infinity*:
fixes $x :: - \Rightarrow 'a::\{real-normed-div-algebra,\ division-ring\}$
shows $filterlim\ inverse\ at_infinity\ (at\ (0::'a))$
 $\langle proof \rangle$

lemma *filterlim-inverse-at-iff*:
fixes $g :: 'a \Rightarrow 'b::\{real-normed-div-algebra,\ division-ring\}$
shows $(LIM\ x\ F.\ inverse\ (g\ x) :>\ at\ 0) \longleftrightarrow (LIM\ x\ F.\ g\ x :>\ at_infinity)$
 $\langle proof \rangle$

lemma *tendsto-mult-filterlim-at-infinity*:
fixes $c :: 'a::real-normed-field$
assumes $(f \longrightarrow c)\ F\ c \neq 0$
assumes $filterlim\ g\ at_infinity\ F$
shows $filterlim\ (\lambda x. f\ x * g\ x)\ at_infinity\ F$
 $\langle proof \rangle$

lemma *tendsto-inverse-0-at-top*: $LIM\ x\ F.\ f\ x :>\ at_top \implies ((\lambda x. inverse\ (f\ x) :: real) \longrightarrow 0)\ F$

$\langle \text{proof} \rangle$

lemma *real-tendsto-divide-at-top*:

fixes $c::\text{real}$
assumes $(f \longrightarrow c) F$
assumes $\text{filterlim } g \text{ at-top } F$
shows $((\lambda x. f \ x / g \ x) \longrightarrow 0) F$
 $\langle \text{proof} \rangle$

lemma *mult-nat-left-at-top*: $c > 0 \implies \text{filterlim } (\lambda x. c * x) \text{ at-top sequentially}$

for $c :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *mult-nat-right-at-top*: $c > 0 \implies \text{filterlim } (\lambda x. x * c) \text{ at-top sequentially}$

for $c :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *at-to-infinity*: $(\text{at } (0::'a::\{\text{real-normed-field}, \text{field}\})) = \text{filtermap inverse at-infinity}$

$\langle \text{proof} \rangle$

lemma *lim-at-infinity-0*:

fixes $l :: 'a::\{\text{real-normed-field}, \text{field}\}$
shows $(f \longrightarrow l) \text{ at-infinity} \longleftrightarrow ((f \circ \text{inverse}) \longrightarrow l) (\text{at } (0::'a))$
 $\langle \text{proof} \rangle$

lemma *lim-zero-infinity*:

fixes $l :: 'a::\{\text{real-normed-field}, \text{field}\}$
shows $((\lambda x. f(1 / x)) \longrightarrow l) (\text{at } (0::'a)) \implies (f \longrightarrow l) \text{ at-infinity}$
 $\langle \text{proof} \rangle$

We only show rules for multiplication and addition when the functions are either against a real value or against infinity. Further rules are easy to derive by using $\text{filterlim } ?f \text{ at-top } ?F = (\text{LIM } x ?F. - ?f \ x :> \text{at-bot})$.

lemma *filterlim-tendsto-pos-mult-at-top*:

assumes $f: (f \longrightarrow c) F$
and $c: 0 < c$
and $g: \text{LIM } x F. g \ x :> \text{at-top}$
shows $\text{LIM } x F. (f \ x * g \ x :: \text{real}) :> \text{at-top}$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-top-mult-at-top*:

assumes $f: \text{LIM } x F. f \ x :> \text{at-top}$
and $g: \text{LIM } x F. g \ x :> \text{at-top}$
shows $\text{LIM } x F. (f \ x * g \ x :: \text{real}) :> \text{at-top}$
 $\langle \text{proof} \rangle$

lemma *filterlim-at-top-mult-tendsto-pos*:

assumes $f: (f \longrightarrow c) F$
and $c: 0 < c$

and $g: LIM\ x\ F. g\ x\ :>\ at\text{-}top$
shows $LIM\ x\ F. (g\ x\ * f\ x :: real) :>\ at\text{-}top$
 $\langle proof \rangle$

lemma *filterlim-tendsto-pos-mult-at-bot*:
fixes $c :: real$
assumes $(f \longrightarrow c)\ F\ 0 < c$ *filterlim* $g\ at\text{-}bot\ F$
shows $LIM\ x\ F. f\ x\ * g\ x\ :>\ at\text{-}bot$
 $\langle proof \rangle$

lemma *filterlim-tendsto-neg-mult-at-bot*:
fixes $c :: real$
assumes $c: (f \longrightarrow c)\ F\ c < 0$ **and** *filterlim* $g\ at\text{-}top\ F$
shows $LIM\ x\ F. f\ x\ * g\ x\ :>\ at\text{-}bot$
 $\langle proof \rangle$

lemma *filterlim-pow-at-top*:
fixes $f :: 'a \Rightarrow real$
assumes $0 < n$
and $f: LIM\ x\ F. f\ x\ :>\ at\text{-}top$
shows $LIM\ x\ F. (f\ x)^n :: real :>\ at\text{-}top$
 $\langle proof \rangle$

lemma *filterlim-pow-at-bot-even*:
fixes $f :: real \Rightarrow real$
shows $0 < n \implies LIM\ x\ F. f\ x\ :>\ at\text{-}bot \implies even\ n \implies LIM\ x\ F. (f\ x)^n :>\ at\text{-}top$
 $\langle proof \rangle$

lemma *filterlim-pow-at-bot-odd*:
fixes $f :: real \Rightarrow real$
shows $0 < n \implies LIM\ x\ F. f\ x\ :>\ at\text{-}bot \implies odd\ n \implies LIM\ x\ F. (f\ x)^n :>\ at\text{-}bot$
 $\langle proof \rangle$

lemma *filterlim-tendsto-add-at-top*:
assumes $f: (f \longrightarrow c)\ F$
and $g: LIM\ x\ F. g\ x\ :>\ at\text{-}top$
shows $LIM\ x\ F. (f\ x + g\ x :: real) :>\ at\text{-}top$
 $\langle proof \rangle$

lemma *LIM-at-top-divide*:
fixes $f\ g :: 'a \Rightarrow real$
assumes $f: (f \longrightarrow a)\ F\ 0 < a$
and $g: (g \longrightarrow 0)\ F\ eventually\ (\lambda x. 0 < g\ x)\ F$
shows $LIM\ x\ F. f\ x / g\ x\ :>\ at\text{-}top$
 $\langle proof \rangle$

lemma *filterlim-at-top-add-at-top*:

assumes $f: LIM\ x\ F. f\ x :> at-top$
and $g: LIM\ x\ F. g\ x :> at-top$
shows $LIM\ x\ F. (f\ x + g\ x :: real) :> at-top$
 $\langle proof \rangle$

lemma *tendsto-divide-0*:
fixes $f :: - \Rightarrow 'a::\{real-normed-div-algebra, division-ring\}$
assumes $f: (f \longrightarrow c)\ F$
and $g: LIM\ x\ F. g\ x :> at-infinity$
shows $((\lambda x. f\ x / g\ x) \longrightarrow 0)\ F$
 $\langle proof \rangle$

lemma *linear-plus-1-le-power*:
fixes $x :: real$
assumes $x: 0 \leq x$
shows $real\ n * x + 1 \leq (x + 1) ^ n$
 $\langle proof \rangle$

lemma *filterlim-realpow-sequentially-gt1*:
fixes $x :: 'a :: real-normed-div-algebra$
assumes $x[arith]: 1 < norm\ x$
shows $LIM\ n\ sequentially. x ^ n :> at-infinity$
 $\langle proof \rangle$

lemma *filterlim-divide-at-infinity*:
fixes $f\ g :: 'a \Rightarrow 'a :: real-normed-field$
assumes $filterlim\ f\ (nhds\ c)\ F\ filterlim\ g\ (at\ 0)\ F\ c \neq 0$
shows $filterlim\ (\lambda x. f\ x / g\ x)\ at-infinity\ F$
 $\langle proof \rangle$

101.6 Floor and Ceiling

lemma *eventually-floor-less*:
fixes $f :: 'a \Rightarrow 'b::\{order-topology,floor-ceiling\}$
assumes $f: (f \longrightarrow l)\ F$
and $l: l \notin \mathbb{Z}$
shows $\forall_F\ x\ in\ F. of-int\ (floor\ l) < f\ x$
 $\langle proof \rangle$

lemma *eventually-less-ceiling*:
fixes $f :: 'a \Rightarrow 'b::\{order-topology,floor-ceiling\}$
assumes $f: (f \longrightarrow l)\ F$
and $l: l \notin \mathbb{Z}$
shows $\forall_F\ x\ in\ F. f\ x < of-int\ (ceiling\ l)$
 $\langle proof \rangle$

lemma *eventually-floor-eq*:
fixes $f::'a \Rightarrow 'b::\{order-topology,floor-ceiling\}$

assumes $f: (f \longrightarrow l) F$
and $l: l \notin \mathbb{Z}$
shows $\forall_F x \text{ in } F. \text{ floor } (f x) = \text{ floor } l$
 $\langle \text{proof} \rangle$

lemma *eventually-ceiling-eq*:
fixes $f::'a \Rightarrow 'b::\{\text{order-topology}, \text{floor-ceiling}\}$
assumes $f: (f \longrightarrow l) F$
and $l: l \notin \mathbb{Z}$
shows $\forall_F x \text{ in } F. \text{ ceiling } (f x) = \text{ ceiling } l$
 $\langle \text{proof} \rangle$

lemma *tendsto-of-int-floor*:
fixes $f::'a \Rightarrow 'b::\{\text{order-topology}, \text{floor-ceiling}\}$
assumes $(f \longrightarrow l) F$
and $l \notin \mathbb{Z}$
shows $((\lambda x. \text{ of-int } (\text{ floor } (f x)) :: 'c::\{\text{ring-1}, \text{topological-space}\}) \longrightarrow \text{ of-int } (\text{ floor } l)) F$
 $\langle \text{proof} \rangle$

lemma *tendsto-of-int-ceiling*:
fixes $f::'a \Rightarrow 'b::\{\text{order-topology}, \text{floor-ceiling}\}$
assumes $(f \longrightarrow l) F$
and $l \notin \mathbb{Z}$
shows $((\lambda x. \text{ of-int } (\text{ ceiling } (f x)) :: 'c::\{\text{ring-1}, \text{topological-space}\}) \longrightarrow \text{ of-int } (\text{ ceiling } l)) F$
 $\langle \text{proof} \rangle$

lemma *continuous-on-of-int-floor*:
 $\text{continuous-on } (\text{ UNIV } - \mathbb{Z}::'a::\{\text{order-topology}, \text{floor-ceiling}\} \text{ set})$
 $(\lambda x. \text{ of-int } (\text{ floor } x)::'b::\{\text{ring-1}, \text{topological-space}\})$
 $\langle \text{proof} \rangle$

lemma *continuous-on-of-int-ceiling*:
 $\text{continuous-on } (\text{ UNIV } - \mathbb{Z}::'a::\{\text{order-topology}, \text{floor-ceiling}\} \text{ set})$
 $(\lambda x. \text{ of-int } (\text{ ceiling } x)::'b::\{\text{ring-1}, \text{topological-space}\})$
 $\langle \text{proof} \rangle$

101.7 Limits of Sequences

lemma *[trans]*: $X = Y \Longrightarrow Y \longrightarrow z \Longrightarrow X \longrightarrow z$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-iff*:
fixes $L :: 'a::\text{real-normed-vector}$
shows $(X \longrightarrow L) = (\forall r > 0. \exists no. \forall n \geq no. \text{ norm } (X n - L) < r)$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-I*: $(\bigwedge r. 0 < r \Longrightarrow \exists no. \forall n \geq no. \text{ norm } (X n - L) < r) \Longrightarrow X$

$\longrightarrow L$
for $L :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-D*: $X \longrightarrow L \implies 0 < r \implies \exists no. \forall n \geq no. \text{norm } (X\ n - L) < r$
for $L :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-linear*: $X \longrightarrow x \implies l > 0 \implies (\lambda n. X\ (n * l)) \longrightarrow x$
 $\langle \text{proof} \rangle$

lemma *norm-inverse-le-norm*: $r \leq \text{norm } x \implies 0 < r \implies \text{norm } (\text{inverse } x) \leq \text{inverse } r$
for $x :: 'a::\text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

lemma *Bseq-inverse*: $X \longrightarrow a \implies a \neq 0 \implies Bseq\ (\lambda n. \text{inverse } (X\ n))$
for $a :: 'a::\text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

Transformation of limit.

lemma *Lim-transform*: $(g \longrightarrow a)\ F \implies ((\lambda x. f\ x - g\ x) \longrightarrow 0)\ F \implies (f \longrightarrow a)\ F$
for $a\ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *Lim-transform2*: $(f \longrightarrow a)\ F \implies ((\lambda x. f\ x - g\ x) \longrightarrow 0)\ F \implies (g \longrightarrow a)\ F$
for $a\ b :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

proposition *Lim-transform-eq*: $((\lambda x. f\ x - g\ x) \longrightarrow 0)\ F \implies (f \longrightarrow a)\ F \iff (g \longrightarrow a)\ F$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *Lim-transform-eventually*:
 $\text{eventually } (\lambda x. f\ x = g\ x)\ \text{net} \implies (f \longrightarrow l)\ \text{net} \implies (g \longrightarrow l)\ \text{net}$
 $\langle \text{proof} \rangle$

lemma *Lim-transform-within*:
assumes $(f \longrightarrow l)\ (\text{at } x\ \text{within } S)$
and $0 < d$
and $\bigwedge x'. x' \in S \implies 0 < \text{dist } x'\ x \implies \text{dist } x'\ x < d \implies f\ x' = g\ x'$
shows $(g \longrightarrow l)\ (\text{at } x\ \text{within } S)$
 $\langle \text{proof} \rangle$

Common case assuming being away from some crucial point like 0.

lemma *Lim-transform-away-within:*
fixes $a\ b :: 'a::t1\text{-space}$
assumes $a \neq b$
and $\forall x \in S. x \neq a \wedge x \neq b \longrightarrow f\ x = g\ x$
and $(f \longrightarrow l)\ (\text{at } a\ \text{within } S)$
shows $(g \longrightarrow l)\ (\text{at } a\ \text{within } S)$
 $\langle \text{proof} \rangle$

lemma *Lim-transform-away-at:*
fixes $a\ b :: 'a::t1\text{-space}$
assumes $ab: a \neq b$
and $fg: \forall x. x \neq a \wedge x \neq b \longrightarrow f\ x = g\ x$
and $fl: (f \longrightarrow l)\ (\text{at } a)$
shows $(g \longrightarrow l)\ (\text{at } a)$
 $\langle \text{proof} \rangle$

Alternatively, within an open set.

lemma *Lim-transform-within-open:*
assumes $(f \longrightarrow l)\ (\text{at } a\ \text{within } T)$
and *open* s **and** $a \in s$
and $\bigwedge x. x \in s \implies x \neq a \implies f\ x = g\ x$
shows $(g \longrightarrow l)\ (\text{at } a\ \text{within } T)$
 $\langle \text{proof} \rangle$

A congruence rule allowing us to transform limits assuming not at point.

lemma *Lim-cong-within:*
assumes $a = b$
and $x = y$
and $S = T$
and $\bigwedge x. x \neq b \implies x \in T \implies f\ x = g\ x$
shows $(f \longrightarrow x)\ (\text{at } a\ \text{within } S) \longleftrightarrow (g \longrightarrow y)\ (\text{at } b\ \text{within } T)$
 $\langle \text{proof} \rangle$

lemma *Lim-cong-at:*
assumes $a = b\ x = y$
and $\bigwedge x. x \neq a \implies f\ x = g\ x$
shows $((\lambda x. f\ x) \longrightarrow x)\ (\text{at } a) \longleftrightarrow ((g \longrightarrow y)\ (\text{at } a))$
 $\langle \text{proof} \rangle$

An unbounded sequence’s inverse tends to 0.

lemma *LIMSEQ-inverse-zero:*
assumes $\bigwedge r::\text{real}. \exists N. \forall n \geq N. r < X\ n$
shows $(\lambda n. \text{inverse } (X\ n)) \longrightarrow 0$
 $\langle \text{proof} \rangle$

The sequence $(1::'a) / n$ tends to 0 as n tends to infinity.

lemma *LIMSEQ-inverse-real-of-nat:* $(\lambda n. \text{inverse } (\text{real } (\text{Suc } n))) \longrightarrow 0$
 $\langle \text{proof} \rangle$

The sequence $r + (1::'a) / n$ tends to r as n tends to infinity is now easily proved.

lemma *LIMSEQ-inverse-real-of-nat-add*: $(\lambda n. r + \text{inverse} (\text{real} (\text{Suc } n))) \longrightarrow r$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-inverse-real-of-nat-add-minus*: $(\lambda n. r + -\text{inverse} (\text{real} (\text{Suc } n))) \longrightarrow r$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-inverse-real-of-nat-add-minus-mult*: $(\lambda n. r * (1 + -\text{inverse} (\text{real} (\text{Suc } n)))) \longrightarrow r$
 $\langle \text{proof} \rangle$

lemma *lim-inverse-n*: $((\lambda n. \text{inverse}(\text{of-nat } n)) \longrightarrow (0::'a::\text{real-normed-field}))$
sequentially
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-Suc-n-over-n*: $(\lambda n. \text{of-nat} (\text{Suc } n) / \text{of-nat } n :: 'a :: \text{real-normed-field}) \longrightarrow 1$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-n-over-Suc-n*: $(\lambda n. \text{of-nat } n / \text{of-nat} (\text{Suc } n) :: 'a :: \text{real-normed-field}) \longrightarrow 1$
 $\langle \text{proof} \rangle$

101.8 Convergence on sequences

lemma *convergent-cong*:
assumes *eventually* $(\lambda x. f\ x = g\ x)$ *sequentially*
shows *convergent* $f \longleftrightarrow \text{convergent } g$
 $\langle \text{proof} \rangle$

lemma *convergent-Suc-iff*: *convergent* $(\lambda n. f\ (\text{Suc } n)) \longleftrightarrow \text{convergent } f$
 $\langle \text{proof} \rangle$

lemma *convergent-ignore-initial-segment*: *convergent* $(\lambda n. f\ (n + m)) = \text{convergent } f$
 $\langle \text{proof} \rangle$

lemma *convergent-add*:
fixes $X\ Y :: \text{nat} \Rightarrow 'a::\text{real-normed-vector}$
assumes *convergent* $(\lambda n. X\ n)$
and *convergent* $(\lambda n. Y\ n)$
shows *convergent* $(\lambda n. X\ n + Y\ n)$
 $\langle \text{proof} \rangle$

lemma *convergent-sum*:
fixes $X :: 'a \Rightarrow \text{nat} \Rightarrow 'b::\text{real-normed-vector}$

shows $(\bigwedge i. i \in A \implies \text{convergent } (\lambda n. X \ i \ n)) \implies \text{convergent } (\lambda n. \sum_{i \in A} X \ i \ n)$
 ⟨proof⟩

lemma (in *bounded-linear*) *convergent*:
assumes *convergent* $(\lambda n. X \ n)$
shows *convergent* $(\lambda n. f \ (X \ n))$
 ⟨proof⟩

lemma (in *bounded-bilinear*) *convergent*:
assumes *convergent* $(\lambda n. X \ n)$
and *convergent* $(\lambda n. Y \ n)$
shows *convergent* $(\lambda n. X \ n \ ** \ Y \ n)$
 ⟨proof⟩

lemma *convergent-minus-iff*: *convergent* $X \longleftrightarrow \text{convergent } (\lambda n. - \ X \ n)$
for $X :: \text{nat} \Rightarrow 'a::\text{real-normed-vector}$
 ⟨proof⟩

lemma *convergent-diff*:
fixes $X \ Y :: \text{nat} \Rightarrow 'a::\text{real-normed-vector}$
assumes *convergent* $(\lambda n. X \ n)$
assumes *convergent* $(\lambda n. Y \ n)$
shows *convergent* $(\lambda n. X \ n - Y \ n)$
 ⟨proof⟩

lemma *convergent-norm*:
assumes *convergent* f
shows *convergent* $(\lambda n. \text{norm } (f \ n))$
 ⟨proof⟩

lemma *convergent-of-real*:
convergent $f \implies \text{convergent } (\lambda n. \text{of-real } (f \ n) :: 'a::\text{real-normed-algebra-1})$
 ⟨proof⟩

lemma *convergent-add-const-iff*:
convergent $(\lambda n. c + f \ n :: 'a::\text{real-normed-vector}) \longleftrightarrow \text{convergent } f$
 ⟨proof⟩

lemma *convergent-add-const-right-iff*:
convergent $(\lambda n. f \ n + c :: 'a::\text{real-normed-vector}) \longleftrightarrow \text{convergent } f$
 ⟨proof⟩

lemma *convergent-diff-const-right-iff*:
convergent $(\lambda n. f \ n - c :: 'a::\text{real-normed-vector}) \longleftrightarrow \text{convergent } f$
 ⟨proof⟩

lemma *convergent-mult*:
fixes $X \ Y :: \text{nat} \Rightarrow 'a::\text{real-normed-field}$

assumes *convergent* ($\lambda n. X\ n$)
and *convergent* ($\lambda n. Y\ n$)
shows *convergent* ($\lambda n. X\ n * Y\ n$)
 $\langle proof \rangle$

lemma *convergent-mult-const-iff*:
assumes $c \neq 0$
shows *convergent* ($\lambda n. c * f\ n :: 'a::real-normed-field$) \longleftrightarrow *convergent* f
 $\langle proof \rangle$

lemma *convergent-mult-const-right-iff*:
fixes $c :: 'a::real-normed-field$
assumes $c \neq 0$
shows *convergent* ($\lambda n. f\ n * c$) \longleftrightarrow *convergent* f
 $\langle proof \rangle$

lemma *convergent-imp-Bseq*: *convergent* $f \implies Bseq\ f$
 $\langle proof \rangle$

A monotone sequence converges to its least upper bound.

lemma *LIMSEQ-incseq-SUP*:
fixes $X :: nat \Rightarrow 'a::\{conditionally-complete-linorder, linorder-topology\}$
assumes u : *bdd-above* (*range* X)
and X : *incseq* X
shows $X \longrightarrow (SUP\ i. X\ i)$
 $\langle proof \rangle$

lemma *LIMSEQ-decseq-INF*:
fixes $X :: nat \Rightarrow 'a::\{conditionally-complete-linorder, linorder-topology\}$
assumes u : *bdd-below* (*range* X)
and X : *decseq* X
shows $X \longrightarrow (INF\ i. X\ i)$
 $\langle proof \rangle$

Main monotonicity theorem.

lemma *Bseq-monoseq-convergent*: *Bseq* $X \implies monoseq\ X \implies convergent\ X$
for $X :: nat \Rightarrow real$
 $\langle proof \rangle$

lemma *Bseq-mono-convergent*: *Bseq* $X \implies (\forall m\ n. m \leq n \longrightarrow X\ m \leq X\ n) \implies convergent\ X$
for $X :: nat \Rightarrow real$
 $\langle proof \rangle$

lemma *monoseq-imp-convergent-iff-Bseq*: *monoseq* $f \implies convergent\ f \longleftrightarrow Bseq\ f$
for $f :: nat \Rightarrow real$
 $\langle proof \rangle$

lemma *Bseq-monoseq-convergent'-inc*:

fixes $f :: nat \Rightarrow real$
shows $Bseq (\lambda n. f (n + M)) \Longrightarrow (\bigwedge m n. M \leq m \Longrightarrow m \leq n \Longrightarrow f m \leq f n)$
 $\Longrightarrow \text{convergent } f$
 $\langle \text{proof} \rangle$

lemma *Bseq-monoseq-convergent'-dec*:

fixes $f :: nat \Rightarrow real$
shows $Bseq (\lambda n. f (n + M)) \Longrightarrow (\bigwedge m n. M \leq m \Longrightarrow m \leq n \Longrightarrow f m \geq f n)$
 $\Longrightarrow \text{convergent } f$
 $\langle \text{proof} \rangle$

lemma *Cauchy-iff*: $Cauchy X \longleftrightarrow (\forall e > 0. \exists M. \forall m \geq M. \forall n \geq M. norm (X m - X n) < e)$
for $X :: nat \Rightarrow 'a::real-normed-vector$
 $\langle \text{proof} \rangle$

lemma *CauchyI*: $(\bigwedge e. 0 < e \Longrightarrow \exists M. \forall m \geq M. \forall n \geq M. norm (X m - X n) < e) \Longrightarrow Cauchy X$
for $X :: nat \Rightarrow 'a::real-normed-vector$
 $\langle \text{proof} \rangle$

lemma *CauchyD*: $Cauchy X \Longrightarrow 0 < e \Longrightarrow \exists M. \forall m \geq M. \forall n \geq M. norm (X m - X n) < e$
for $X :: nat \Rightarrow 'a::real-normed-vector$
 $\langle \text{proof} \rangle$

lemma *incseq-convergent*:

fixes $X :: nat \Rightarrow real$
assumes $incseq X$
and $\forall i. X i \leq B$
obtains L **where** $X \longrightarrow L \forall i. X i \leq L$
 $\langle \text{proof} \rangle$

lemma *decseq-convergent*:

fixes $X :: nat \Rightarrow real$
assumes $decseq X$
and $\forall i. B \leq X i$
obtains L **where** $X \longrightarrow L \forall i. L \leq X i$
 $\langle \text{proof} \rangle$

101.9 Power Sequences

The sequence x^n tends to 0 if $(0::'a) \leq x$ and $x < (1::'a)$. Proof will use (NS) Cauchy equivalence for convergence and also fact that bounded and monotonic sequence converges.

lemma *Bseq-realpow*: $0 \leq x \Longrightarrow x \leq 1 \Longrightarrow Bseq (\lambda n. x ^ n)$
for $x :: real$
 $\langle \text{proof} \rangle$

lemma *monoseq-realpou*: $0 \leq x \implies x \leq 1 \implies \text{monoseq } (\lambda n. x \wedge n)$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *convergent-realpou*: $0 \leq x \implies x \leq 1 \implies \text{convergent } (\lambda n. x \wedge n)$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-inverse-realpou-zero*: $1 < x \implies (\lambda n. \text{inverse } (x \wedge n)) \longrightarrow 0$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-realpou-zero*:
fixes $x :: \text{real}$
assumes $0 \leq x \wedge x < 1$
shows $(\lambda n. x \wedge n) \longrightarrow 0$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-power-zero*: $\text{norm } x < 1 \implies (\lambda n. x \wedge n) \longrightarrow 0$
for $x :: 'a::\text{real-normed-algebra-1}$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-divide-realpou-zero*: $1 < x \implies (\lambda n. a / (x \wedge n) :: \text{real}) \longrightarrow 0$
 $\langle \text{proof} \rangle$

lemma
tendsto-power-zero:
fixes $x :: 'a::\text{real-normed-algebra-1}$
assumes *filterlim f at-top F*
assumes $\text{norm } x < 1$
shows $((\lambda y. x \wedge (f y)) \longrightarrow 0) F$
 $\langle \text{proof} \rangle$

Limit of c^n for $|c| < (1::'a)$.

lemma *LIMSEQ-rabs-realpou-zero*: $|c| < 1 \implies (\lambda n. |c| \wedge n :: \text{real}) \longrightarrow 0$
 $\langle \text{proof} \rangle$

lemma *LIMSEQ-rabs-realpou-zero2*: $|c| < 1 \implies (\lambda n. c \wedge n :: \text{real}) \longrightarrow 0$
 $\langle \text{proof} \rangle$

101.10 Limits of Functions

lemma *LIM-eg*: $f \rightarrow a \rightarrow L = (\forall r > 0. \exists s > 0. \forall x. x \neq a \wedge \text{norm } (x - a) < s \longrightarrow \text{norm } (f x - L) < r)$
for $a :: 'a::\text{real-normed-vector}$ **and** $L :: 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-I*:

$(\bigwedge r. 0 < r \implies \exists s > 0. \forall x. x \neq a \wedge \text{norm } (x - a) < s \longrightarrow \text{norm } (f x - L) < r) \implies f -a \rightarrow L$
for $a :: 'a::\text{real-normed-vector}$ **and** $L :: 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-D*: $f -a \rightarrow L \implies 0 < r \implies \exists s > 0. \forall x. x \neq a \wedge \text{norm } (x - a) < s \longrightarrow \text{norm } (f x - L) < r$
for $a :: 'a::\text{real-normed-vector}$ **and** $L :: 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-offset*: $f -a \rightarrow L \implies (\lambda x. f (x + k)) - (a - k) \rightarrow L$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-offset-zero*: $f -a \rightarrow L \implies (\lambda h. f (a + h)) - 0 \rightarrow L$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-offset-zero-cancel*: $(\lambda h. f (a + h)) - 0 \rightarrow L \implies f -a \rightarrow L$
for $a :: 'a::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-offset-zero-iff*: $f -a \rightarrow L \longleftrightarrow (\lambda h. f (a + h)) - 0 \rightarrow L$
for $f :: 'a \Rightarrow \text{real-normed-vector} \Rightarrow -$
 $\langle \text{proof} \rangle$

lemma *LIM-zero*: $(f \longrightarrow l) F \implies ((\lambda x. f x - l) \longrightarrow 0) F$
for $f :: 'a \Rightarrow 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-zero-cancel*:
fixes $f :: 'a \Rightarrow 'b::\text{real-normed-vector}$
shows $((\lambda x. f x - l) \longrightarrow 0) F \implies (f \longrightarrow l) F$
 $\langle \text{proof} \rangle$

lemma *LIM-zero-iff*: $((\lambda x. f x - l) \longrightarrow 0) F = (f \longrightarrow l) F$
for $f :: 'a \Rightarrow 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *LIM-imp-LIM*:
fixes $f :: 'a::\text{topological-space} \Rightarrow 'b::\text{real-normed-vector}$
fixes $g :: 'a::\text{topological-space} \Rightarrow 'c::\text{real-normed-vector}$
assumes $f: f -a \rightarrow l$
and $le: \bigwedge x. x \neq a \implies \text{norm } (g x - m) \leq \text{norm } (f x - l)$
shows $g -a \rightarrow m$
 $\langle \text{proof} \rangle$

lemma *LIM-equal2*:
fixes $f g :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{topological-space}$

assumes $0 < R$
and $\bigwedge x. x \neq a \implies \text{norm } (x - a) < R \implies f x = g x$
shows $g -a \rightarrow l \implies f -a \rightarrow l$
 $\langle \text{proof} \rangle$

lemma *LIM-compose2*:
fixes $a :: 'a::\text{real-normed-vector}$
assumes $f: f -a \rightarrow b$
and $g: g -b \rightarrow c$
and *inj*: $\exists d > 0. \forall x. x \neq a \wedge \text{norm } (x - a) < d \longrightarrow f x \neq b$
shows $(\lambda x. g (f x)) -a \rightarrow c$
 $\langle \text{proof} \rangle$

lemma *real-LIM-sandwich-zero*:
fixes $f g :: 'a::\text{topological-space} \Rightarrow \text{real}$
assumes $f: f -a \rightarrow 0$
and 1: $\bigwedge x. x \neq a \implies 0 \leq g x$
and 2: $\bigwedge x. x \neq a \implies g x \leq f x$
shows $g -a \rightarrow 0$
 $\langle \text{proof} \rangle$

101.11 Continuity

lemma *LIM-isCont-iff*: $(f -a \rightarrow f a) = ((\lambda h. f (a + h)) -0 \rightarrow f a)$
for $f :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{topological-space}$
 $\langle \text{proof} \rangle$

lemma *isCont-iff*: $\text{isCont } f x = (\lambda h. f (x + h)) -0 \rightarrow f x$
for $f :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{topological-space}$
 $\langle \text{proof} \rangle$

lemma *isCont-LIM-compose2*:
fixes $a :: 'a::\text{real-normed-vector}$
assumes f [*unfolded isCont-def*]: $\text{isCont } f a$
and $g: g -f a \rightarrow l$
and *inj*: $\exists d > 0. \forall x. x \neq a \wedge \text{norm } (x - a) < d \longrightarrow f x \neq f a$
shows $(\lambda x. g (f x)) -a \rightarrow l$
 $\langle \text{proof} \rangle$

lemma *isCont-norm [simp]*: $\text{isCont } f a \implies \text{isCont } (\lambda x. \text{norm } (f x)) a$
for $f :: 'a::\text{t2-space} \Rightarrow 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *isCont-rabs [simp]*: $\text{isCont } f a \implies \text{isCont } (\lambda x. |f x|) a$
for $f :: 'a::\text{t2-space} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *isCont-add [simp]*: $\text{isCont } f a \implies \text{isCont } g a \implies \text{isCont } (\lambda x. f x + g x)$
 a

for $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{topological-monoid-add}$
 $\langle \text{proof} \rangle$

lemma *isCont-minus* [simp]: $\text{isCont } f \ a \Longrightarrow \text{isCont } (\lambda x. - f \ x) \ a$
for $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *isCont-diff* [simp]: $\text{isCont } f \ a \Longrightarrow \text{isCont } g \ a \Longrightarrow \text{isCont } (\lambda x. f \ x - g \ x)$
 a
for $f :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-vector}$
 $\langle \text{proof} \rangle$

lemma *isCont-mult* [simp]: $\text{isCont } f \ a \Longrightarrow \text{isCont } g \ a \Longrightarrow \text{isCont } (\lambda x. f \ x * g \ x)$
 a
for $f \ g :: 'a::t2\text{-space} \Rightarrow 'b::\text{real-normed-algebra}$
 $\langle \text{proof} \rangle$

lemma (in *bounded-linear*) *isCont*: $\text{isCont } g \ a \Longrightarrow \text{isCont } (\lambda x. f \ (g \ x)) \ a$
 $\langle \text{proof} \rangle$

lemma (in *bounded-bilinear*) *isCont*: $\text{isCont } f \ a \Longrightarrow \text{isCont } g \ a \Longrightarrow \text{isCont } (\lambda x. f \ x ** g \ x) \ a$
 $\langle \text{proof} \rangle$

lemmas *isCont-scaleR* [simp] =
bounded-bilinear.isCont [OF bounded-bilinear-scaleR]

lemmas *isCont-of-real* [simp] =
bounded-linear.isCont [OF bounded-linear-of-real]

lemma *isCont-power* [simp]: $\text{isCont } f \ a \Longrightarrow \text{isCont } (\lambda x. f \ x ^ n) \ a$
for $f :: 'a::t2\text{-space} \Rightarrow 'b::\{\text{power}, \text{real-normed-algebra}\}$
 $\langle \text{proof} \rangle$

lemma *isCont-sum* [simp]: $\forall i \in A. \text{isCont } (f \ i) \ a \Longrightarrow \text{isCont } (\lambda x. \sum_{i \in A} f \ i \ x) \ a$
for $f :: 'a \Rightarrow 'b::t2\text{-space} \Rightarrow 'c::\text{topological-comm-monoid-add}$
 $\langle \text{proof} \rangle$

101.12 Uniform Continuity

lemma *uniformly-continuous-on-def*:
fixes $f :: 'a::\text{metric-space} \Rightarrow 'b::\text{metric-space}$
shows *uniformly-continuous-on* $s \ f \longleftrightarrow$
 $(\forall e > 0. \exists d > 0. \forall x \in s. \forall x' \in s. \text{dist } x' \ x < d \longrightarrow \text{dist } (f \ x') \ (f \ x) < e)$
 $\langle \text{proof} \rangle$

abbreviation *isUCont* :: $['a::\text{metric-space} \Rightarrow 'b::\text{metric-space}] \Rightarrow \text{bool}$
where $\text{isUCont } f \equiv \text{uniformly-continuous-on } \text{UNIV } f$

lemma *isUCont-def*: $\text{isUCont } f \iff (\forall r > 0. \exists s > 0. \forall x y. \text{dist } x \ y < s \implies \text{dist } (f \ x) \ (f \ y) < r)$
 ⟨proof⟩

lemma *isUCont-isCont*: $\text{isUCont } f \implies \text{isCont } f \ x$
 ⟨proof⟩

lemma *uniformly-continuous-on-Cauchy*:
 fixes $f :: 'a::\text{metric-space} \Rightarrow 'b::\text{metric-space}$
 assumes *uniformly-continuous-on* $S \ f \ \text{Cauchy } X \ \bigwedge n. X \ n \in S$
 shows *Cauchy* $(\lambda n. f \ (X \ n))$
 ⟨proof⟩

lemma *isUCont-Cauchy*: $\text{isUCont } f \implies \text{Cauchy } X \implies \text{Cauchy } (\lambda n. f \ (X \ n))$
 ⟨proof⟩

lemma *uniformly-continuous-imp-Cauchy-continuous*:
 fixes $f :: 'a::\text{metric-space} \Rightarrow 'b::\text{metric-space}$
 shows $\llbracket \text{uniformly-continuous-on } S \ f; \text{Cauchy } \sigma; \bigwedge n. (\sigma \ n) \in S \rrbracket \implies \text{Cauchy}(f \circ \sigma)$
 ⟨proof⟩

lemma (in *bounded-linear*) *isUCont*: $\text{isUCont } f$
 ⟨proof⟩

lemma (in *bounded-linear*) *Cauchy*: $\text{Cauchy } X \implies \text{Cauchy } (\lambda n. f \ (X \ n))$
 ⟨proof⟩

lemma *LIM-less-bound*:
 fixes $f :: \text{real} \Rightarrow \text{real}$
 assumes $\text{ev}: b < x \ \forall \ x' \in \{ b <..< x \}. 0 \leq f \ x' \text{ and } \text{isCont } f \ x$
 shows $0 \leq f \ x$
 ⟨proof⟩

101.13 Nested Intervals and Bisection – Needed for Compactness

lemma *nested-sequence-unique*:
 assumes $\forall n. f \ n \leq f \ (\text{Suc } n) \ \forall n. g \ (\text{Suc } n) \leq g \ n \ \forall n. f \ n \leq g \ n \ (\lambda n. f \ n - g \ n) \longrightarrow 0$
 shows $\exists l::\text{real}. ((\forall n. f \ n \leq l) \wedge f \longrightarrow l) \wedge ((\forall n. l \leq g \ n) \wedge g \longrightarrow l)$
 ⟨proof⟩

lemma *Bolzano*[consumes 1, case-names *trans local*]:
 fixes $P :: \text{real} \Rightarrow \text{real} \Rightarrow \text{bool}$
 assumes [arith]: $a \leq b$
 and *trans*: $\bigwedge a \ b \ c. P \ a \ b \implies P \ b \ c \implies a \leq b \implies b \leq c \implies P \ a \ c$
 and *local*: $\bigwedge x. a \leq x \implies x \leq b \implies \exists d > 0. \forall a \ b. a \leq x \wedge x \leq b \wedge b - a < d \longrightarrow P \ a \ b$

shows $P\ a\ b$
 $\langle proof \rangle$

lemma *compact-Icc*[*simp*, *intro*]: *compact* $\{a \ ..\ b :: real\}$
 $\langle proof \rangle$

lemma *continuous-image-closed-interval*:
fixes $a\ b$ **and** $f :: real \Rightarrow real$
defines $S \equiv \{a..b\}$
assumes $a \leq b$ **and** f : *continuous-on* $S\ f$
shows $\exists c\ d. f\ S = \{c..d\} \wedge c \leq d$
 $\langle proof \rangle$

lemma *open-Collect-positive*:
fixes $f :: 'a::t2-space \Rightarrow real$
assumes f : *continuous-on* $s\ f$
shows $\exists A. open\ A \wedge A \cap s = \{x \in s. 0 < f\ x\}$
 $\langle proof \rangle$

lemma *open-Collect-less-Int*:
fixes $f\ g :: 'a::t2-space \Rightarrow real$
assumes f : *continuous-on* $s\ f$
and g : *continuous-on* $s\ g$
shows $\exists A. open\ A \wedge A \cap s = \{x \in s. f\ x < g\ x\}$
 $\langle proof \rangle$

101.14 Boundedness of continuous functions

By bisection, function continuous on closed interval is bounded above

lemma *isCont-eq-Ub*:
fixes $f :: real \Rightarrow 'a::linorder-topology$
shows $a \leq b \implies \forall x :: real. a \leq x \wedge x \leq b \longrightarrow isCont\ f\ x \implies$
 $\exists M. (\forall x. a \leq x \wedge x \leq b \longrightarrow f\ x \leq M) \wedge (\exists x. a \leq x \wedge x \leq b \wedge f\ x = M)$
 $\langle proof \rangle$

lemma *isCont-eq-Lb*:
fixes $f :: real \Rightarrow 'a::linorder-topology$
shows $a \leq b \implies \forall x. a \leq x \wedge x \leq b \longrightarrow isCont\ f\ x \implies$
 $\exists M. (\forall x. a \leq x \wedge x \leq b \longrightarrow M \leq f\ x) \wedge (\exists x. a \leq x \wedge x \leq b \wedge f\ x = M)$
 $\langle proof \rangle$

lemma *isCont-bounded*:
fixes $f :: real \Rightarrow 'a::linorder-topology$
shows $a \leq b \implies \forall x. a \leq x \wedge x \leq b \longrightarrow isCont\ f\ x \implies \exists M. \forall x. a \leq x \wedge x$
 $\leq b \longrightarrow f\ x \leq M$
 $\langle proof \rangle$

lemma *isCont-has-Ub*:

fixes $f :: \text{real} \Rightarrow 'a::\text{linorder-topology}$
shows $a \leq b \implies \forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f \ x \implies$
 $\exists M. (\forall x. a \leq x \wedge x \leq b \longrightarrow f \ x \leq M) \wedge (\forall N. N < M \longrightarrow (\exists x. a \leq x \wedge x$
 $\leq b \wedge N < f \ x))$
 $\langle \text{proof} \rangle$

lemma *IVT-obj1*:
 $(f \ a \leq y \wedge y \leq f \ b \wedge a \leq b \wedge (\forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f \ x)) \longrightarrow$
 $(\exists x. a \leq x \wedge x \leq b \wedge f \ x = y)$
for $a \ y :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *IVT2-obj1*:
 $(f \ b \leq y \wedge y \leq f \ a \wedge a \leq b \wedge (\forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f \ x)) \longrightarrow$
 $(\exists x. a \leq x \wedge x \leq b \wedge f \ x = y)$
for $b \ y :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *isCont-Lb-Ub*:
fixes $f :: \text{real} \Rightarrow \text{real}$
assumes $a \leq b \ \forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f \ x$
shows $\exists L \ M. (\forall x. a \leq x \wedge x \leq b \longrightarrow L \leq f \ x \wedge f \ x \leq M) \wedge$
 $(\forall y. L \leq y \wedge y \leq M \longrightarrow (\exists x. a \leq x \wedge x \leq b \wedge (f \ x = y)))$
 $\langle \text{proof} \rangle$

Continuity of inverse function.

lemma *isCont-inverse-function*:
fixes $f \ g :: \text{real} \Rightarrow \text{real}$
assumes $d: 0 < d$
and *inj*: $\forall z. |z - x| \leq d \longrightarrow g \ (f \ z) = z$
and *cont*: $\forall z. |z - x| \leq d \longrightarrow \text{isCont } f \ z$
shows $\text{isCont } g \ (f \ x)$
 $\langle \text{proof} \rangle$

lemma *isCont-inverse-function2*:
fixes $f \ g :: \text{real} \Rightarrow \text{real}$
shows
 $a < x \implies x < b \implies$
 $\forall z. a \leq z \wedge z \leq b \longrightarrow g \ (f \ z) = z \implies$
 $\forall z. a \leq z \wedge z \leq b \longrightarrow \text{isCont } f \ z \implies \text{isCont } g \ (f \ x)$
 $\langle \text{proof} \rangle$

lemma *isCont-inv-fun*:
fixes $f \ g :: \text{real} \Rightarrow \text{real}$
shows $0 < d \implies (\forall z. |z - x| \leq d \longrightarrow g \ (f \ z) = z) \implies$
 $\forall z. |z - x| \leq d \longrightarrow \text{isCont } f \ z \implies \text{isCont } g \ (f \ x)$
 $\langle \text{proof} \rangle$

Bartle/Sherbert: Introduction to Real Analysis, Theorem 4.2.9, p. 110.

lemma *LIM-fun-gt-zero*: $f - c \rightarrow l \implies 0 < l \implies \exists r. 0 < r \wedge (\forall x. x \neq c \wedge |c - x| < r \longrightarrow 0 < f x)$
for $f :: \text{real} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *LIM-fun-less-zero*: $f - c \rightarrow l \implies l < 0 \implies \exists r. 0 < r \wedge (\forall x. x \neq c \wedge |c - x| < r \longrightarrow f x < 0)$
for $f :: \text{real} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *LIM-fun-not-zero*: $f - c \rightarrow l \implies l \neq 0 \implies \exists r. 0 < r \wedge (\forall x. x \neq c \wedge |c - x| < r \longrightarrow f x \neq 0)$
for $f :: \text{real} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

end

theory *Inequalities*

imports *Real-Vector-Spaces*

begin

lemma *Sum-Icc-int*: $(m :: \text{int}) \leq n \implies \sum \{m..n\} = (n*(n+1) - m*(m-1)) \text{ div } 2$
 $\langle \text{proof} \rangle$

lemma *Sum-Icc-nat*: **assumes** $(m :: \text{nat}) \leq n$
shows $\sum \{m..n\} = (n*(n+1) - m*(m-1)) \text{ div } 2$
 $\langle \text{proof} \rangle$

lemma *Sum-Ico-nat*: **assumes** $(m :: \text{nat}) \leq n$
shows $\sum \{m..<n\} = (n*(n-1) - m*(m-1)) \text{ div } 2$
 $\langle \text{proof} \rangle$

lemma *Chebyshev-sum-upper*:
fixes $a b :: \text{nat} \Rightarrow 'a :: \text{linordered-idom}$
assumes $\bigwedge i j. i \leq j \implies j < n \implies a i \leq a j$
assumes $\bigwedge i j. i \leq j \implies j < n \implies b i \geq b j$
shows $\text{of-nat } n * (\sum k=0..<n. a k * b k) \leq (\sum k=0..<n. a k) * (\sum k=0..<n. b k)$
 $\langle \text{proof} \rangle$

lemma *Chebyshev-sum-upper-nat*:
fixes $a b :: \text{nat} \Rightarrow \text{nat}$
shows $(\bigwedge i j. \llbracket i \leq j; j < n \rrbracket \implies a i \leq a j) \implies$
 $(\bigwedge i j. \llbracket i \leq j; j < n \rrbracket \implies b i \geq b j) \implies$
 $n * (\sum i=0..<n. a i * b i) \leq (\sum i=0..<n. a i) * (\sum i=0..<n. b i)$
 $\langle \text{proof} \rangle$

end

102 Infinite Series

theory *Series*
imports *Limits Inequalities*
begin

102.1 Definition of infinite summability

definition *sums* :: (nat \Rightarrow 'a::{*topological-space*, *comm-monoid-add*}) \Rightarrow 'a \Rightarrow bool

(**infixr** *sums* 80)

where $f \text{ sums } s \longleftrightarrow (\lambda n. \sum_{i < n}. f \ i) \longrightarrow s$

definition *summable* :: (nat \Rightarrow 'a::{*topological-space*, *comm-monoid-add*}) \Rightarrow bool

where $\text{summable } f \longleftrightarrow (\exists s. f \text{ sums } s)$

definition *suminf* :: (nat \Rightarrow 'a::{*topological-space*, *comm-monoid-add*}) \Rightarrow 'a

(**binder** \sum 10)

where $\text{suminf } f = (\text{THE } s. f \text{ sums } s)$

Variants of the definition

lemma *sums-def'*: $f \text{ sums } s \longleftrightarrow (\lambda n. \sum_{i = 0..n}. f \ i) \longrightarrow s$
 <proof>

lemma *sums-def-le*: $f \text{ sums } s \longleftrightarrow (\lambda n. \sum_{i \leq n}. f \ i) \longrightarrow s$
 <proof>

102.2 Infinite summability on topological monoids

lemma *sums-subst[trans]*: $f = g \Longrightarrow g \text{ sums } z \Longrightarrow f \text{ sums } z$
 <proof>

lemma *sums-cong*: $(\bigwedge n. f \ n = g \ n) \Longrightarrow f \text{ sums } c \longleftrightarrow g \text{ sums } c$
 <proof>

lemma *sums-summable*: $f \text{ sums } l \Longrightarrow \text{summable } f$
 <proof>

lemma *summable-iff-convergent*: $\text{summable } f \longleftrightarrow \text{convergent } (\lambda n. \sum_{i < n}. f \ i)$
 <proof>

lemma *summable-iff-convergent'*: $\text{summable } f \longleftrightarrow \text{convergent } (\lambda n. \text{sum } f \ \{..n\})$
 <proof>

lemma *suminf-eq-lim*: $\text{suminf } f = \text{lim } (\lambda n. \sum_{i < n}. f \ i)$
 <proof>

lemma *sums-zero*[*simp*, *intro*]: $(\lambda n. 0) \text{ sums } 0$
 $\langle \text{proof} \rangle$

lemma *summable-zero*[*simp*, *intro*]: *summable* $(\lambda n. 0)$
 $\langle \text{proof} \rangle$

lemma *sums-group*: $f \text{ sums } s \implies 0 < k \implies (\lambda n. \text{sum } f \{n * k ..< n * k + k\})$
 $\text{sums } s$
 $\langle \text{proof} \rangle$

lemma *suminf-cong*: $(\bigwedge n. f \ n = g \ n) \implies \text{suminf } f = \text{suminf } g$
 $\langle \text{proof} \rangle$

lemma *summable-cong*:
fixes $f \ g :: \text{nat} \Rightarrow 'a :: \text{real-normed-vector}$
assumes *eventually* $(\lambda x. f \ x = g \ x)$ *sequentially*
shows *summable* $f = \text{summable } g$
 $\langle \text{proof} \rangle$

lemma *sums-finite*:
assumes [*simp*]: *finite* N
and $f: \bigwedge n. n \notin N \implies f \ n = 0$
shows $f \text{ sums } (\sum_{n \in N. f \ n})$
 $\langle \text{proof} \rangle$

corollary *sums-0*: $(\bigwedge n. f \ n = 0) \implies (f \text{ sums } 0)$
 $\langle \text{proof} \rangle$

lemma *summable-finite*: *finite* $N \implies (\bigwedge n. n \notin N \implies f \ n = 0) \implies \text{summable } f$
 $\langle \text{proof} \rangle$

lemma *sums-If-finite-set*: *finite* $A \implies (\lambda r. \text{if } r \in A \text{ then } f \ r \text{ else } 0) \text{ sums } (\sum_{r \in A. f \ r})$
 $\langle \text{proof} \rangle$

lemma *summable-If-finite-set*[*simp*, *intro*]: *finite* $A \implies \text{summable } (\lambda r. \text{if } r \in A \text{ then } f \ r \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *sums-If-finite*: *finite* $\{r. P \ r\} \implies (\lambda r. \text{if } P \ r \text{ then } f \ r \text{ else } 0) \text{ sums } (\sum_{r \mid P \ r. f \ r})$
 $\langle \text{proof} \rangle$

lemma *summable-If-finite*[*simp*, *intro*]: *finite* $\{r. P \ r\} \implies \text{summable } (\lambda r. \text{if } P \ r \text{ then } f \ r \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *sums-single*: $(\lambda r. \text{if } r = i \text{ then } f \ r \text{ else } 0) \text{ sums } f \ i$

$\langle \text{proof} \rangle$

lemma *summable-single*[simp, intro]: *summable* $(\lambda r. \text{if } r = i \text{ then } f\ r \text{ else } 0)$
 $\langle \text{proof} \rangle$

context

fixes $f :: \text{nat} \Rightarrow 'a :: \{t2\text{-space}, \text{comm-monoid-add}\}$
begin

lemma *summable-sums*[intro]: *summable* $f \implies f \text{ sums } (\text{suminf } f)$
 $\langle \text{proof} \rangle$

lemma *summable-LIMSEQ*: *summable* $f \implies (\lambda n. \sum_{i < n}. f\ i) \longrightarrow \text{suminf } f$
 $\langle \text{proof} \rangle$

lemma *sums-unique*: $f \text{ sums } s \implies s = \text{suminf } f$
 $\langle \text{proof} \rangle$

lemma *sums-iff*: $f \text{ sums } x \longleftrightarrow \text{summable } f \wedge \text{suminf } f = x$
 $\langle \text{proof} \rangle$

lemma *summable-sums-iff*: *summable* $f \longleftrightarrow f \text{ sums } \text{suminf } f$
 $\langle \text{proof} \rangle$

lemma *sums-unique2*: $f \text{ sums } a \implies f \text{ sums } b \implies a = b$
for $a\ b :: 'a$
 $\langle \text{proof} \rangle$

lemma *suminf-finite*:
assumes N : *finite* N
and f : $\bigwedge n. n \notin N \implies f\ n = 0$
shows $\text{suminf } f = (\sum_{n \in N}. f\ n)$
 $\langle \text{proof} \rangle$

end

lemma *suminf-zero*[simp]: $\text{suminf } (\lambda n. 0 :: 'a :: \{t2\text{-space}, \text{comm-monoid-add}\}) = 0$
 $\langle \text{proof} \rangle$

102.3 Infinite summability on ordered, topological monoids

lemma *sums-le*: $\forall n. f\ n \leq g\ n \implies f \text{ sums } s \implies g \text{ sums } t \implies s \leq t$
for $f\ g :: \text{nat} \Rightarrow 'a :: \{\text{ordered-comm-monoid-add}, \text{linorder-topology}\}$
 $\langle \text{proof} \rangle$

context

fixes $f :: \text{nat} \Rightarrow 'a :: \{\text{ordered-comm-monoid-add}, \text{linorder-topology}\}$
begin

lemma *suminf-le*: $\forall n. f\ n \leq g\ n \implies \text{summable } f \implies \text{summable } g \implies \text{suminf } f \leq \text{suminf } g$
 ⟨proof⟩

lemma *sum-le-suminf*: $\text{summable } f \implies \forall m \geq n. 0 \leq f\ m \implies \text{sum } f\ \{..<n\} \leq \text{suminf } f$
 ⟨proof⟩

lemma *suminf-nonneg*: $\text{summable } f \implies \forall n. 0 \leq f\ n \implies 0 \leq \text{suminf } f$
 ⟨proof⟩

lemma *suminf-le-const*: $\text{summable } f \implies (\bigwedge n. \text{sum } f\ \{..<n\} \leq x) \implies \text{suminf } f \leq x$
 ⟨proof⟩

lemma *suminf-eq-zero-iff*: $\text{summable } f \implies \forall n. 0 \leq f\ n \implies \text{suminf } f = 0 \longleftrightarrow (\forall n. f\ n = 0)$
 ⟨proof⟩

lemma *suminf-pos-iff*: $\text{summable } f \implies \forall n. 0 \leq f\ n \implies 0 < \text{suminf } f \longleftrightarrow (\exists i. 0 < f\ i)$
 ⟨proof⟩

lemma *suminf-pos2*:
 assumes $\text{summable } f\ \forall n. 0 \leq f\ n\ 0 < f\ i$
 shows $0 < \text{suminf } f$
 ⟨proof⟩

lemma *suminf-pos*: $\text{summable } f \implies \forall n. 0 < f\ n \implies 0 < \text{suminf } f$
 ⟨proof⟩

end

context

fixes $f :: \text{nat} \Rightarrow 'a::\{\text{ordered-cancel-comm-monoid-add}, \text{linorder-topology}\}$
begin

lemma *sum-less-suminf2*:
 $\text{summable } f \implies \forall m \geq n. 0 \leq f\ m \implies n \leq i \implies 0 < f\ i \implies \text{sum } f\ \{..<n\} < \text{suminf } f$
 ⟨proof⟩

lemma *sum-less-suminf*: $\text{summable } f \implies \forall m \geq n. 0 < f\ m \implies \text{sum } f\ \{..<n\} < \text{suminf } f$
 ⟨proof⟩

end

lemma *summableI-nonneg-bounded*:

fixes $f :: \text{nat} \Rightarrow 'a :: \{\text{ordered-comm-monoid-add}, \text{linorder-topology}, \text{conditionally-complete-linorder}\}$
assumes $\text{pos}[\text{simp}]: \bigwedge n. 0 \leq f\ n$
and $\text{le}: \bigwedge n. (\sum_{i < n}. f\ i) \leq x$
shows $\text{summable}\ f$
 $\langle \text{proof} \rangle$

lemma $\text{summableI}[\text{intro}, \text{simp}]: \text{summable}\ f$
for $f :: \text{nat} \Rightarrow 'a :: \{\text{canonically-ordered-monoid-add}, \text{linorder-topology}, \text{complete-linorder}\}$
 $\langle \text{proof} \rangle$

102.4 Infinite summability on topological monoids

context

fixes $f\ g :: \text{nat} \Rightarrow 'a :: \{\text{t2-space}, \text{topological-comm-monoid-add}\}$
begin

lemma sums-Suc :
assumes $(\lambda n. f\ (\text{Suc}\ n))\ \text{sums}\ l$
shows $f\ \text{sums}\ (l + f\ 0)$
 $\langle \text{proof} \rangle$

lemma sums-add : $f\ \text{sums}\ a \implies g\ \text{sums}\ b \implies (\lambda n. f\ n + g\ n)\ \text{sums}\ (a + b)$
 $\langle \text{proof} \rangle$

lemma summable-add : $\text{summable}\ f \implies \text{summable}\ g \implies \text{summable}\ (\lambda n. f\ n + g\ n)$
 $\langle \text{proof} \rangle$

lemma suminf-add : $\text{summable}\ f \implies \text{summable}\ g \implies \text{suminf}\ f + \text{suminf}\ g =$
 $(\sum n. f\ n + g\ n)$
 $\langle \text{proof} \rangle$

end

context

fixes $f :: 'i \Rightarrow \text{nat} \Rightarrow 'a :: \{\text{t2-space}, \text{topological-comm-monoid-add}\}$
and $I :: 'i\ \text{set}$
begin

lemma sums-sum : $(\bigwedge i. i \in I \implies (f\ i)\ \text{sums}\ (x\ i)) \implies (\lambda n. \sum_{i \in I}. f\ i\ n)\ \text{sums}$
 $(\sum_{i \in I}. x\ i)$
 $\langle \text{proof} \rangle$

lemma suminf-sum : $(\bigwedge i. i \in I \implies \text{summable}\ (f\ i)) \implies (\sum n. \sum_{i \in I}. f\ i\ n) =$
 $(\sum_{i \in I}. \sum n. f\ i\ n)$
 $\langle \text{proof} \rangle$

lemma summable-sum : $(\bigwedge i. i \in I \implies \text{summable}\ (f\ i)) \implies \text{summable}\ (\lambda n. \sum_{i \in I}. f\ i\ n)$

$\langle proof \rangle$

end

102.5 Infinite summability on real normed vector spaces

context

fixes $f :: nat \Rightarrow 'a::real-normed-vector$

begin

lemma *sums-Suc-iff*: $(\lambda n. f (Suc\ n))\ sums\ s \longleftrightarrow f\ sums\ (s + f\ 0)$
 $\langle proof \rangle$

lemma *summable-Suc-iff*: $summable\ (\lambda n. f (Suc\ n)) = summable\ f$
 $\langle proof \rangle$

lemma *sums-Suc-imp*: $f\ 0 = 0 \implies (\lambda n. f (Suc\ n))\ sums\ s \implies (\lambda n. f\ n)\ sums\ s$
 $\langle proof \rangle$

end

context

fixes $f :: nat \Rightarrow 'a::real-normed-vector$

begin

lemma *sums-diff*: $f\ sums\ a \implies g\ sums\ b \implies (\lambda n. f\ n - g\ n)\ sums\ (a - b)$
 $\langle proof \rangle$

lemma *summable-diff*: $summable\ f \implies summable\ g \implies summable\ (\lambda n. f\ n - g\ n)$
 $\langle proof \rangle$

lemma *suminf-diff*: $summable\ f \implies summable\ g \implies suminf\ f - suminf\ g =$
 $(\sum n. f\ n - g\ n)$
 $\langle proof \rangle$

lemma *sums-minus*: $f\ sums\ a \implies (\lambda n. - f\ n)\ sums\ (- a)$
 $\langle proof \rangle$

lemma *summable-minus*: $summable\ f \implies summable\ (\lambda n. - f\ n)$
 $\langle proof \rangle$

lemma *suminf-minus*: $summable\ f \implies (\sum n. - f\ n) = - (\sum n. f\ n)$
 $\langle proof \rangle$

lemma *sums-iff-shift*: $(\lambda i. f\ (i + n))\ sums\ s \longleftrightarrow f\ sums\ (s + (\sum i < n. f\ i))$
 $\langle proof \rangle$

corollary *sums-iff-shift'*: $(\lambda i. f\ (i + n))\ sums\ (s - (\sum i < n. f\ i)) \longleftrightarrow f\ sums\ s$

$\langle \text{proof} \rangle$

lemma *sums-zero-iff-shift*:

assumes $\bigwedge i. i < n \implies f\ i = 0$

shows $(\lambda i. f\ (i+n))\ \text{sums}\ s \longleftrightarrow (\lambda i. f\ i)\ \text{sums}\ s$

$\langle \text{proof} \rangle$

lemma *summable-iff-shift*: $\text{summable}\ (\lambda n. f\ (n + k)) \longleftrightarrow \text{summable}\ f$

$\langle \text{proof} \rangle$

lemma *sums-split-initial-segment*: $f\ \text{sums}\ s \implies (\lambda i. f\ (i + n))\ \text{sums}\ (s - (\sum_{i < n}. f\ i))$

$\langle \text{proof} \rangle$

lemma *summable-ignore-initial-segment*: $\text{summable}\ f \implies \text{summable}\ (\lambda n. f\ (n + k))$

$\langle \text{proof} \rangle$

lemma *suminf-minus-initial-segment*: $\text{summable}\ f \implies (\sum n. f\ (n + k)) = (\sum n. f\ n) - (\sum_{i < k}. f\ i)$

$\langle \text{proof} \rangle$

lemma *suminf-split-initial-segment*: $\text{summable}\ f \implies \text{suminf}\ f = (\sum n. f\ (n + k)) + (\sum_{i < k}. f\ i)$

$\langle \text{proof} \rangle$

lemma *suminf-split-head*: $\text{summable}\ f \implies (\sum n. f\ (\text{Suc}\ n)) = \text{suminf}\ f - f\ 0$

$\langle \text{proof} \rangle$

lemma *suminf-exist-split*:

fixes $r :: \text{real}$

assumes $0 < r$ **and** $\text{summable}\ f$

shows $\exists N. \forall n \geq N. \text{norm}\ (\sum i. f\ (i + n)) < r$

$\langle \text{proof} \rangle$

lemma *summable-LIMSEQ-zero*: $\text{summable}\ f \implies f \longrightarrow 0$

$\langle \text{proof} \rangle$

lemma *summable-imp-convergent*: $\text{summable}\ f \implies \text{convergent}\ f$

$\langle \text{proof} \rangle$

lemma *summable-imp-Bseq*: $\text{summable}\ f \implies \text{Bseq}\ f$

$\langle \text{proof} \rangle$

end

lemma *summable-minus-iff*: $\text{summable}\ (\lambda n. - f\ n) \longleftrightarrow \text{summable}\ f$

for $f :: \text{nat} \Rightarrow 'a :: \text{real-normed-vector}$

$\langle \text{proof} \rangle$

lemma (in *bounded-linear*) *sums*: $(\lambda n. X\ n)\ \text{sums}\ a \implies (\lambda n. f\ (X\ n))\ \text{sums}\ (f\ a)$
 ⟨*proof*⟩

lemma (in *bounded-linear*) *summable*: $\text{summable}\ (\lambda n. X\ n) \implies \text{summable}\ (\lambda n. f\ (X\ n))$
 ⟨*proof*⟩

lemma (in *bounded-linear*) *suminf*: $\text{summable}\ (\lambda n. X\ n) \implies f\ (\sum n. X\ n) = (\sum n. f\ (X\ n))$
 ⟨*proof*⟩

lemmas *sums-of-real* = *bounded-linear.sums* [*OF bounded-linear-of-real*]

lemmas *summable-of-real* = *bounded-linear.summable* [*OF bounded-linear-of-real*]

lemmas *suminf-of-real* = *bounded-linear.suminf* [*OF bounded-linear-of-real*]

lemmas *sums-scaleR-left* = *bounded-linear.sums*[*OF bounded-linear-scaleR-left*]

lemmas *summable-scaleR-left* = *bounded-linear.summable*[*OF bounded-linear-scaleR-left*]

lemmas *suminf-scaleR-left* = *bounded-linear.suminf*[*OF bounded-linear-scaleR-left*]

lemmas *sums-scaleR-right* = *bounded-linear.sums*[*OF bounded-linear-scaleR-right*]

lemmas *summable-scaleR-right* = *bounded-linear.summable*[*OF bounded-linear-scaleR-right*]

lemmas *suminf-scaleR-right* = *bounded-linear.suminf*[*OF bounded-linear-scaleR-right*]

lemma *summable-const-iff*: $\text{summable}\ (\lambda_. c) \longleftrightarrow c = 0$

for $c :: 'a::\text{real-normed-vector}$

⟨*proof*⟩

102.6 Infinite summability on real normed algebras

context

fixes $f :: \text{nat} \Rightarrow 'a::\text{real-normed-algebra}$

begin

lemma *sums-mult*: $f\ \text{sums}\ a \implies (\lambda n. c * f\ n)\ \text{sums}\ (c * a)$
 ⟨*proof*⟩

lemma *summable-mult*: $\text{summable}\ f \implies \text{summable}\ (\lambda n. c * f\ n)$
 ⟨*proof*⟩

lemma *suminf-mult*: $\text{summable}\ f \implies \text{suminf}\ (\lambda n. c * f\ n) = c * \text{suminf}\ f$
 ⟨*proof*⟩

lemma *sums-mult2*: $f\ \text{sums}\ a \implies (\lambda n. f\ n * c)\ \text{sums}\ (a * c)$
 ⟨*proof*⟩

lemma *summable-mult2*: $\text{summable}\ f \implies \text{summable}\ (\lambda n. f\ n * c)$
 ⟨*proof*⟩

lemma *suminf-mult2*: $\text{summable } f \implies \text{suminf } f * c = (\sum n. f\ n * c)$
 ⟨proof⟩

end

lemma *sums-mult-iff*:
 fixes $f :: \text{nat} \Rightarrow 'a::\{\text{real-normed-algebra,field}\}$
 assumes $c \neq 0$
 shows $(\lambda n. c * f\ n) \text{ sums } (c * d) \longleftrightarrow f \text{ sums } d$
 ⟨proof⟩

lemma *sums-mult2-iff*:
 fixes $f :: \text{nat} \Rightarrow 'a::\{\text{real-normed-algebra,field}\}$
 assumes $c \neq 0$
 shows $(\lambda n. f\ n * c) \text{ sums } (d * c) \longleftrightarrow f \text{ sums } d$
 ⟨proof⟩

lemma *sums-of-real-iff*:
 $(\lambda n. \text{of-real } (f\ n) :: 'a::\text{real-normed-div-algebra}) \text{ sums of-real } c \longleftrightarrow f \text{ sums } c$
 ⟨proof⟩

102.7 Infinite summability on real normed fields

context

fixes $c :: 'a::\text{real-normed-field}$

begin

lemma *sums-divide*: $f \text{ sums } a \implies (\lambda n. f\ n / c) \text{ sums } (a / c)$
 ⟨proof⟩

lemma *summable-divide*: $\text{summable } f \implies \text{summable } (\lambda n. f\ n / c)$
 ⟨proof⟩

lemma *suminf-divide*: $\text{summable } f \implies \text{suminf } (\lambda n. f\ n / c) = \text{suminf } f / c$
 ⟨proof⟩

lemma *sums-mult-D*: $(\lambda n. c * f\ n) \text{ sums } a \implies c \neq 0 \implies f \text{ sums } (a/c)$
 ⟨proof⟩

lemma *summable-mult-D*: $\text{summable } (\lambda n. c * f\ n) \implies c \neq 0 \implies \text{summable } f$
 ⟨proof⟩

Sum of a geometric progression.

lemma *geometric-sums*:
 assumes *less-1*: $\text{norm } c < 1$
 shows $(\lambda n. c^n) \text{ sums } (1 / (1 - c))$
 ⟨proof⟩

lemma *summable-geometric*: $\text{norm } c < 1 \implies \text{summable } (\lambda n. c^n)$

⟨proof⟩

lemma *suminf-geometric*: $\text{norm } c < 1 \implies \text{suminf } (\lambda n. c^n) = 1 / (1 - c)$
 ⟨proof⟩

lemma *summable-geometric-iff*: $\text{summable } (\lambda n. c^n) \longleftrightarrow \text{norm } c < 1$
 ⟨proof⟩

end

lemma *power-half-series*: $(\lambda n. (1/2::\text{real})^{\text{Suc } n}) \text{ sums } 1$
 ⟨proof⟩

102.8 Telescoping

lemma *telescope-sums*:
 fixes $c :: 'a::\text{real-normed-vector}$
 assumes $f \longrightarrow c$
 shows $(\lambda n. f (\text{Suc } n) - f n) \text{ sums } (c - f 0)$
 ⟨proof⟩

lemma *telescope-sums'*:
 fixes $c :: 'a::\text{real-normed-vector}$
 assumes $f \longrightarrow c$
 shows $(\lambda n. f n - f (\text{Suc } n)) \text{ sums } (f 0 - c)$
 ⟨proof⟩

lemma *telescope-summable*:
 fixes $c :: 'a::\text{real-normed-vector}$
 assumes $f \longrightarrow c$
 shows $\text{summable } (\lambda n. f (\text{Suc } n) - f n)$
 ⟨proof⟩

lemma *telescope-summable'*:
 fixes $c :: 'a::\text{real-normed-vector}$
 assumes $f \longrightarrow c$
 shows $\text{summable } (\lambda n. f n - f (\text{Suc } n))$
 ⟨proof⟩

102.9 Infinite summability on Banach spaces

Cauchy-type criterion for convergence of series (c.f. Harrison).

lemma *summable-Cauchy*: $\text{summable } f \longleftrightarrow (\forall e > 0. \exists N. \forall m \geq N. \forall n. \text{norm } (\text{sum } f \{m..<n\}) < e)$
 for $f :: \text{nat} \Rightarrow 'a::\text{banach}$
 ⟨proof⟩

context
 fixes $f :: \text{nat} \Rightarrow 'a::\text{banach}$

begin

Absolute convergence implies normal convergence.

lemma *summable-norm-cancel*: $\text{summable } (\lambda n. \text{norm } (f\ n)) \implies \text{summable } f$
 $\langle \text{proof} \rangle$

lemma *summable-norm*: $\text{summable } (\lambda n. \text{norm } (f\ n)) \implies \text{norm } (\text{suminf } f) \leq (\sum n. \text{norm } (f\ n))$
 $\langle \text{proof} \rangle$

Comparison tests.

lemma *summable-comparison-test*: $\exists N. \forall n \geq N. \text{norm } (f\ n) \leq g\ n \implies \text{summable } f$
 $\langle \text{proof} \rangle$

lemma *summable-comparison-test-ev*:
 $\text{eventually } (\lambda n. \text{norm } (f\ n) \leq g\ n) \text{ sequentially} \implies \text{summable } g \implies \text{summable } f$
 $\langle \text{proof} \rangle$

A better argument order.

lemma *summable-comparison-test'*: $\text{summable } g \implies (\bigwedge n. n \geq N \implies \text{norm } (f\ n) \leq g\ n) \implies \text{summable } f$
 $\langle \text{proof} \rangle$

102.10 The Ratio Test

lemma *summable-ratio-test*:
assumes $c < 1 \ \bigwedge n. n \geq N \implies \text{norm } (f\ (\text{Suc } n)) \leq c * \text{norm } (f\ n)$
shows $\text{summable } f$
 $\langle \text{proof} \rangle$

end

Relations among convergence and absolute convergence for power series.

lemma *Abel-lemma*:
fixes $a :: \text{nat} \Rightarrow 'a :: \text{real-normed-vector}$
assumes $r: 0 \leq r$
and $r0: r < r0$
and $M: \bigwedge n. \text{norm } (a\ n) * r0^n \leq M$
shows $\text{summable } (\lambda n. \text{norm } (a\ n) * r^n)$
 $\langle \text{proof} \rangle$

Summability of geometric series for real algebras.

lemma *complete-algebra-summable-geometric*:
fixes $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
assumes $\text{norm } x < 1$
shows $\text{summable } (\lambda n. x^n)$
 $\langle \text{proof} \rangle$

102.11 Cauchy Product Formula

Proof based on Analysis WebNotes: Chapter 07, Class 41 <http://www.math.unl.edu/~webnotes/classes/class41/prp77.htm>

lemma *Cauchy-product-sums*:

fixes $a\ b :: \text{nat} \Rightarrow 'a :: \{\text{real-normed-algebra}, \text{banach}\}$
assumes $a: \text{summable } (\lambda k. \text{norm } (a\ k))$
and $b: \text{summable } (\lambda k. \text{norm } (b\ k))$
shows $(\lambda k. \sum_{i \leq k}. a\ i * b\ (k - i)) \text{ sums } ((\sum k. a\ k) * (\sum k. b\ k))$
 $\langle \text{proof} \rangle$

lemma *Cauchy-product*:

fixes $a\ b :: \text{nat} \Rightarrow 'a :: \{\text{real-normed-algebra}, \text{banach}\}$
assumes $\text{summable } (\lambda k. \text{norm } (a\ k))$
and $\text{summable } (\lambda k. \text{norm } (b\ k))$
shows $(\sum k. a\ k) * (\sum k. b\ k) = (\sum k. \sum_{i \leq k}. a\ i * b\ (k - i))$
 $\langle \text{proof} \rangle$

lemma *summable-Cauchy-product*:

fixes $a\ b :: \text{nat} \Rightarrow 'a :: \{\text{real-normed-algebra}, \text{banach}\}$
assumes $\text{summable } (\lambda k. \text{norm } (a\ k))$
and $\text{summable } (\lambda k. \text{norm } (b\ k))$
shows $\text{summable } (\lambda k. \sum_{i \leq k}. a\ i * b\ (k - i))$
 $\langle \text{proof} \rangle$

102.12 Series on reals

lemma *summable-norm-comparison-test*:

$\exists N. \forall n \geq N. \text{norm } (f\ n) \leq g\ n \implies \text{summable } g \implies \text{summable } (\lambda n. \text{norm } (f\ n))$
 $\langle \text{proof} \rangle$

lemma *summable-rabs-comparison-test*: $\exists N. \forall n \geq N. |f\ n| \leq g\ n \implies \text{summable } g \implies \text{summable } (\lambda n. |f\ n|)$

for $f :: \text{nat} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *summable-rabs-cancel*: $\text{summable } (\lambda n. |f\ n|) \implies \text{summable } f$

for $f :: \text{nat} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *summable-rabs*: $\text{summable } (\lambda n. |f\ n|) \implies |\text{suminf } f| \leq (\sum n. |f\ n|)$

for $f :: \text{nat} \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *summable-zero-power* [simp]: $\text{summable } (\lambda n. 0 \wedge n :: 'a :: \{\text{comm-ring-1}, \text{topological-space}\})$
 $\langle \text{proof} \rangle$

lemma *summable-zero-power'* [simp]: $\text{summable } (\lambda n. f\ n * 0 \wedge n :: 'a :: \{\text{ring-1}, \text{topological-space}\})$
 $\langle \text{proof} \rangle$

lemma *summable-power-series*:

fixes $z :: \text{real}$
 assumes $le-1: \bigwedge i. f\ i \leq 1$
 and $nonneg: \bigwedge i. 0 \leq f\ i$
 and $z: 0 \leq z < 1$
 shows $\text{summable } (\lambda i. f\ i * z^i)$
 $\langle \text{proof} \rangle$

lemma *summable-0-powser*: $\text{summable } (\lambda n. f\ n * 0^n :: 'a :: \text{real-normed-div-algebra})$
 $\langle \text{proof} \rangle$

lemma *summable-powser-split-head*:

$\text{summable } (\lambda n. f\ (Suc\ n) * z^n :: 'a :: \text{real-normed-div-algebra}) = \text{summable } (\lambda n. f\ n * z^n)$
 $\langle \text{proof} \rangle$

lemma *summable-powser-ignore-initial-segment*:

fixes $f :: \text{nat} \Rightarrow 'a :: \text{real-normed-div-algebra}$
 shows $\text{summable } (\lambda n. f\ (n + m) * z^n) \longleftrightarrow \text{summable } (\lambda n. f\ n * z^n)$
 $\langle \text{proof} \rangle$

lemma *powser-split-head*:

fixes $f :: \text{nat} \Rightarrow 'a :: \{\text{real-normed-div-algebra}, \text{banach}\}$
 assumes $\text{summable } (\lambda n. f\ n * z^n)$
 shows $\text{suminf } (\lambda n. f\ n * z^n) = f\ 0 + \text{suminf } (\lambda n. f\ (Suc\ n) * z^n) * z$
 and $\text{suminf } (\lambda n. f\ (Suc\ n) * z^n) * z = \text{suminf } (\lambda n. f\ n * z^n) - f\ 0$
 and $\text{summable } (\lambda n. f\ (Suc\ n) * z^n)$
 $\langle \text{proof} \rangle$

lemma *summable-partial-sum-bound*:

fixes $f :: \text{nat} \Rightarrow 'a :: \text{banach}$
 and $e :: \text{real}$
 assumes $\text{summable } f$
 and $e: e > 0$
 obtains N where $\bigwedge m\ n. m \geq N \implies \text{norm } (\sum_{k=m..n. f\ k}) < e$
 $\langle \text{proof} \rangle$

lemma *powser-sums-if*:

$(\lambda n. (\text{if } n = m \text{ then } (1 :: 'a :: \{\text{ring-1}, \text{topological-space}\}) \text{ else } 0) * z^n) \text{ sums } z^m$
 $\langle \text{proof} \rangle$

lemma

fixes $f :: \text{nat} \Rightarrow \text{real}$
 assumes $\text{summable } f$
 and $\text{inj } g$
 and $\text{pos}: \bigwedge x. 0 \leq f\ x$
 shows $\text{summable-reindex}: \text{summable } (f \circ g)$
 and $\text{suminf-reindex-mono}: \text{suminf } (f \circ g) \leq \text{suminf } f$

and *suminf-reindex*: $(\bigwedge x. x \notin \text{range } g \implies f\ x = 0) \implies \text{suminf } (f \circ g) = \text{suminf } f$
 $\langle \text{proof} \rangle$

lemma *sums-mono-reindex*:
assumes *subseq*: *strict-mono* *g*
and *zero*: $\bigwedge n. n \notin \text{range } g \implies f\ n = 0$
shows $(\lambda n. f\ (g\ n))\ \text{sums } c \longleftrightarrow f\ \text{sums } c$
 $\langle \text{proof} \rangle$

lemma *summable-mono-reindex*:
assumes *subseq*: *strict-mono* *g*
and *zero*: $\bigwedge n. n \notin \text{range } g \implies f\ n = 0$
shows *summable* $(\lambda n. f\ (g\ n)) \longleftrightarrow \text{summable } f$
 $\langle \text{proof} \rangle$

lemma *suminf-mono-reindex*:
fixes *f* :: *nat* \Rightarrow '*a*::{*t2-space*,*comm-monoid-add*}
assumes *strict-mono* *g* $\bigwedge n. n \notin \text{range } g \implies f\ n = 0$
shows $\text{suminf } (\lambda n. f\ (g\ n)) = \text{suminf } f$
 $\langle \text{proof} \rangle$

end

103 Differentiation

theory *Deriv*
imports *Limits*
begin

103.1 Frechet derivative

definition *has-derivative* :: $('a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-vector}) \Rightarrow$
 $('a \Rightarrow 'b) \Rightarrow 'a\ \text{filter} \Rightarrow \text{bool}$ (**infix** (*has'-derivative*) 50)
where $(f\ \text{has-derivative } f')\ F \longleftrightarrow$
 $\text{bounded-linear } f' \wedge$
 $((\lambda y. ((f\ y - f\ (\text{Lim } F\ (\lambda x. x))) - f'\ (y - \text{Lim } F\ (\lambda x. x))) /_R \text{norm } (y - \text{Lim } F\ (\lambda x. x))) \longrightarrow 0)\ F$

Usually the filter *F* is *at x within s*. (*f has-derivative D*) (*at x within s*) means: *D* is the derivative of function *f* at point *x* within the set *s*. Where *s* is used to express left or right sided derivatives. In most cases *s* is either a variable or *UNIV*.

lemma *has-derivative-eq-rhs*: $(f\ \text{has-derivative } f')\ F \implies f' = g' \implies (f\ \text{has-derivative } g')\ F$
 $\langle \text{proof} \rangle$

definition *has-field-derivative* :: $('a::\text{real-normed-field} \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a\ \text{filter} \Rightarrow$
 bool

(**infix** (*has'-field'-derivative*) 50)
where (*f has-field-derivative D*) $F \longleftrightarrow (f \text{ has-derivative } op * D) F$

lemma *DERIV-cong*: (*f has-field-derivative X*) $F \implies X = Y \implies (f \text{ has-field-derivative } Y) F$
 $\langle \text{proof} \rangle$

definition *has-vector-derivative* :: (*real* \Rightarrow '*b::real-normed-vector*) \Rightarrow '*b* \Rightarrow *real filter* \Rightarrow *bool*

(**infix** *has'-vector'-derivative* 50)
where (*f has-vector-derivative f'*) *net* $\longleftrightarrow (f \text{ has-derivative } (\lambda x. x *_R f')) \text{ net}$

lemma *has-vector-derivative-eq-rhs*:
(*f has-vector-derivative X*) $F \implies X = Y \implies (f \text{ has-vector-derivative } Y) F$
 $\langle \text{proof} \rangle$

named-theorems *derivative-intros structural introduction rules for derivatives*
 $\langle \text{ML} \rangle$

The following syntax is only used as a legacy syntax.

abbreviation (*input*)

FDERIV :: ('*a::real-normed-vector* \Rightarrow '*b::real-normed-vector*) \Rightarrow '*a* \Rightarrow ('*a* \Rightarrow '*b*)
 \Rightarrow *bool*
((*FDERIV* (-)/ (-)/ \Rightarrow (-)) [1000, 1000, 60] 60)
where *FDERIV f x* \Rightarrow *f'* $\equiv (f \text{ has-derivative } f') (at\ x)$

lemma *has-derivative-bounded-linear*: (*f has-derivative f'*) $F \implies \text{bounded-linear } f'$
 $\langle \text{proof} \rangle$

lemma *has-derivative-linear*: (*f has-derivative f'*) $F \implies \text{linear } f'$
 $\langle \text{proof} \rangle$

lemma *has-derivative-ident*[*derivative-intros, simp*]: (($\lambda x. x$) *has-derivative* ($\lambda x. x$)) F
 $\langle \text{proof} \rangle$

lemma *has-derivative-id* [*derivative-intros, simp*]: (*id has-derivative id*) (*at a*)
 $\langle \text{proof} \rangle$

lemma *has-derivative-const*[*derivative-intros, simp*]: (($\lambda x. c$) *has-derivative* ($\lambda x. 0$)) F
 $\langle \text{proof} \rangle$

lemma (**in** *bounded-linear*) *bounded-linear*: *bounded-linear f* $\langle \text{proof} \rangle$

lemma (**in** *bounded-linear*) *has-derivative*:
(*g has-derivative g'*) $F \implies ((\lambda x. f\ (g\ x)) \text{ has-derivative } (\lambda x. f\ (g'\ x))) F$
 $\langle \text{proof} \rangle$

lemmas *has-derivative-scaleR-right* [*derivative-intros*] =
bounded-linear.has-derivative [*OF bounded-linear-scaleR-right*]

lemmas *has-derivative-scaleR-left* [*derivative-intros*] =
bounded-linear.has-derivative [*OF bounded-linear-scaleR-left*]

lemmas *has-derivative-mult-right* [*derivative-intros*] =
bounded-linear.has-derivative [*OF bounded-linear-mult-right*]

lemmas *has-derivative-mult-left* [*derivative-intros*] =
bounded-linear.has-derivative [*OF bounded-linear-mult-left*]

lemma *has-derivative-add*[*simp, derivative-intros*]:
assumes f : (f has-derivative f') F
and g : (g has-derivative g') F
shows $((\lambda x. f\ x + g\ x)$ has-derivative $(\lambda x. f'\ x + g'\ x))$ F
 \langle proof \rangle

lemma *has-derivative-sum*[*simp, derivative-intros*]:
 $(\bigwedge i. i \in I \implies (f\ i$ has-derivative $f'\ i)$ $F) \implies$
 $((\lambda x. \sum_{i \in I. f\ i\ x})$ has-derivative $(\lambda x. \sum_{i \in I. f'\ i\ x))$ F
 \langle proof \rangle

lemma *has-derivative-minus*[*simp, derivative-intros*]:
 $(f$ has-derivative f') $F \implies ((\lambda x. - f\ x)$ has-derivative $(\lambda x. - f'\ x))$ F
 \langle proof \rangle

lemma *has-derivative-diff*[*simp, derivative-intros*]:
 $(f$ has-derivative f') $F \implies (g$ has-derivative g') $F \implies$
 $((\lambda x. f\ x - g\ x)$ has-derivative $(\lambda x. f'\ x - g'\ x))$ F
 \langle proof \rangle

lemma *has-derivative-at-within*:
 $(f$ has-derivative $f')$ (at x within s) \longleftrightarrow
 $(\text{bounded-linear } f' \wedge ((\lambda y. ((f\ y - f\ x) - f'\ (y - x)) /_R \text{norm } (y - x)) \longrightarrow$
 $0) \text{ (at } x \text{ within } s))$
 \langle proof \rangle

lemma *has-derivative-iff-norm*:
 $(f$ has-derivative $f')$ (at x within s) \longleftrightarrow
 $\text{bounded-linear } f' \wedge ((\lambda y. \text{norm } ((f\ y - f\ x) - f'\ (y - x)) / \text{norm } (y - x))$
 $\longrightarrow 0) \text{ (at } x \text{ within } s)$
 \langle proof \rangle

lemma *has-derivative-at*:
 $(f$ has-derivative $D)$ (at x) \longleftrightarrow
 $(\text{bounded-linear } D \wedge ((\lambda h. \text{norm } (f\ (x + h) - f\ x - D\ h) / \text{norm } h) - 0 \rightarrow 0))$
 \langle proof \rangle

lemma *field-has-derivative-at*:

fixes $x :: 'a :: \text{real-normed-field}$

shows $(f \text{ has-derivative } op * D) (at\ x) \longleftrightarrow (\lambda h. (f\ (x + h) - f\ x) / h) \rightarrow 0 \rightarrow D$
 $\langle \text{proof} \rangle$

lemma *has-derivativeI*:

bounded-linear $f' \implies$

$((\lambda y. ((f\ y - f\ x) - f' (y - x)) /_{\mathbb{R}} \text{norm}\ (y - x)) \longrightarrow 0) (at\ x\ \text{within}\ s) \implies$
 $(f \text{ has-derivative } f') (at\ x\ \text{within}\ s)$

$\langle \text{proof} \rangle$

lemma *has-derivativeI-sandwich*:

assumes $e: 0 < e$

and *bounded*: *bounded-linear* f'

and *sandwich*: $(\bigwedge y. y \in s \implies y \neq x \implies \text{dist}\ y\ x < e \implies$
 $\text{norm}\ ((f\ y - f\ x) - f' (y - x)) / \text{norm}\ (y - x) \leq H\ y)$

and $(H \longrightarrow 0) (at\ x\ \text{within}\ s)$

shows $(f \text{ has-derivative } f') (at\ x\ \text{within}\ s)$

$\langle \text{proof} \rangle$

lemma *has-derivative-subset*:

$(f \text{ has-derivative } f') (at\ x\ \text{within}\ s) \implies t \subseteq s \implies (f \text{ has-derivative } f') (at\ x\ \text{within}\ t)$

$\langle \text{proof} \rangle$

lemmas *has-derivative-within-subset* = *has-derivative-subset*

103.2 Continuity

lemma *has-derivative-continuous*:

assumes $f: (f \text{ has-derivative } f') (at\ x\ \text{within}\ s)$

shows *continuous* $(at\ x\ \text{within}\ s)\ f$

$\langle \text{proof} \rangle$

103.3 Composition

lemma *tendsto-at-iff-tendsto-nhds-within*:

$f\ x = y \implies (f \longrightarrow y) (at\ x\ \text{within}\ s) \longleftrightarrow (f \longrightarrow y) (\inf\ (\text{nhds}\ x)\ (\text{principal}\ s))$

$\langle \text{proof} \rangle$

lemma *has-derivative-in-compose*:

assumes $f: (f \text{ has-derivative } f') (at\ x\ \text{within}\ s)$

and $g: (g \text{ has-derivative } g') (at\ (f\ x)\ \text{within}\ (f's))$

shows $((\lambda x. g\ (f\ x)) \text{ has-derivative } (\lambda x. g' (f' x))) (at\ x\ \text{within}\ s)$

$\langle \text{proof} \rangle$

lemma *has-derivative-compose*:

$(f \text{ has-derivative } f') (at\ x\ \text{within}\ s) \implies (g \text{ has-derivative } g') (at\ (f\ x)) \implies$

$((\lambda x. g (f x)) \text{ has-derivative } (\lambda x. g' (f' x))) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma (in bounded-bilinear) *FDERIV*:

assumes f : (f has-derivative f') (at x within s) **and** g : (g has-derivative g') (at x within s)

shows $((\lambda x. f x ** g x) \text{ has-derivative } (\lambda h. f x ** g' h + f' h ** g x)) \text{ (at } x \text{ within } s)$

$\langle \text{proof} \rangle$

lemmas *has-derivative-mult*[simp, derivative-intros] = bounded-bilinear.FDERIV[OF bounded-bilinear-mult]

lemmas *has-derivative-scaleR*[simp, derivative-intros] = bounded-bilinear.FDERIV[OF bounded-bilinear-scaleR]

lemma *has-derivative-prod*[simp, derivative-intros]:

fixes $f :: 'i \Rightarrow 'a :: \text{real-normed-vector} \Rightarrow 'b :: \text{real-normed-field}$

shows $(\bigwedge i. i \in I \implies (f i \text{ has-derivative } f' i) \text{ (at } x \text{ within } s)) \implies$

$((\lambda x. \prod_{i \in I}. f i x) \text{ has-derivative } (\lambda y. \sum_{i \in I}. f' i y * (\prod_{j \in I - \{i\}}. f j x)))$
 (at x within s)

$\langle \text{proof} \rangle$

lemma *has-derivative-power*[simp, derivative-intros]:

fixes $f :: 'a :: \text{real-normed-vector} \Rightarrow 'b :: \text{real-normed-field}$

assumes f : (f has-derivative f') (at x within s)

shows $((\lambda x. f x^n) \text{ has-derivative } (\lambda y. \text{of_nat } n * f' y * f x^{(n-1)})) \text{ (at } x \text{ within } s)$

$\langle \text{proof} \rangle$

lemma *has-derivative-inverse'*:

fixes $x :: 'a :: \text{real-normed-div-algebra}$

assumes x : $x \neq 0$

shows (*inverse* has-derivative $(\lambda h. - (inverse x * h * inverse x))$) (at x within s)

(is (*?inv* has-derivative *?f*) -)

$\langle \text{proof} \rangle$

lemma *has-derivative-inverse*[simp, derivative-intros]:

fixes $f :: - \Rightarrow 'a :: \text{real-normed-div-algebra}$

assumes x : $f x \neq 0$

and f : (f has-derivative f') (at x within s)

shows $((\lambda x. inverse (f x)) \text{ has-derivative } (\lambda h. - (inverse (f x) * f' h * inverse (f x))))$
 (at x within s)

$\langle \text{proof} \rangle$

lemma *has-derivative-divide*[simp, derivative-intros]:

fixes $f :: - \Rightarrow 'a :: \text{real-normed-div-algebra}$

assumes f : (f has-derivative f') (at x within s)

and g : (g has-derivative g') (at x within s)
assumes x : $g\ x \neq 0$
shows $((\lambda x. f\ x / g\ x)$ has-derivative
 $(\lambda h. -f\ x * (\text{inverse } (g\ x) * g'\ h * \text{inverse } (g\ x)) + f'\ h / g\ x))$ (at
 x within s)
 $\langle \text{proof} \rangle$

Conventional form requires mult-AC laws. Types real and complex only.

lemma *has-derivative-divide* [derivative-intros]:
fixes $f :: - \Rightarrow 'a::\text{real-normed-field}$
assumes f : (f has-derivative f') (at x within s)
and g : (g has-derivative g') (at x within s)
and x : $g\ x \neq 0$
shows $((\lambda x. f\ x / g\ x)$ has-derivative $(\lambda h. (f'\ h * g\ x - f\ x * g'\ h) / (g\ x * g\ x)))$ (at x within s)
 $\langle \text{proof} \rangle$

103.4 Uniqueness

This can not generally shown for *op* has-derivative, as we need to approach the point from all directions. There is a proof in *Analysis* for *euclidean-space*.

lemma *has-derivative-zero-unique*:
assumes $((\lambda x. 0)$ has-derivative F) (at x)
shows $F = (\lambda h. 0)$
 $\langle \text{proof} \rangle$

lemma *has-derivative-unique*:
assumes (f has-derivative F) (at x)
and (f has-derivative F') (at x)
shows $F = F'$
 $\langle \text{proof} \rangle$

103.5 Differentiability predicate

definition *differentiable* :: $('a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-vector}) \Rightarrow 'a$
 $\text{filter} \Rightarrow \text{bool}$
 $(\text{infix } \text{differentiable } 50)$
where f differentiable $F \longleftrightarrow (\exists D. (f$ has-derivative $D) F)$

lemma *differentiable-subset*:
 f differentiable (at x within s) $\implies t \subseteq s \implies f$ differentiable (at x within t)
 $\langle \text{proof} \rangle$

lemmas *differentiable-within-subset* = *differentiable-subset*

lemma *differentiable-ident* [simp, derivative-intros]: $(\lambda x. x)$ differentiable F
 $\langle \text{proof} \rangle$

lemma *differentiable-const* [simp, derivative-intros]: $(\lambda z. a)$ differentiable F

$\langle \text{proof} \rangle$

lemma *differentiable-in-compose*:

$f \text{ differentiable (at } (g \ x) \text{ within } (g' \ s)) \implies g \text{ differentiable (at } x \text{ within } s) \implies$
 $(\lambda x. f \ (g \ x)) \text{ differentiable (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *differentiable-compose*:

$f \text{ differentiable (at } (g \ x)) \implies g \text{ differentiable (at } x \text{ within } s) \implies$
 $(\lambda x. f \ (g \ x)) \text{ differentiable (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *differentiable-sum* [simp, derivative-intros]:

$f \text{ differentiable } F \implies g \text{ differentiable } F \implies (\lambda x. f \ x + g \ x) \text{ differentiable } F$
 $\langle \text{proof} \rangle$

lemma *differentiable-minus* [simp, derivative-intros]:

$f \text{ differentiable } F \implies (\lambda x. - f \ x) \text{ differentiable } F$
 $\langle \text{proof} \rangle$

lemma *differentiable-diff* [simp, derivative-intros]:

$f \text{ differentiable } F \implies g \text{ differentiable } F \implies (\lambda x. f \ x - g \ x) \text{ differentiable } F$
 $\langle \text{proof} \rangle$

lemma *differentiable-mult* [simp, derivative-intros]:

fixes $f \ g :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-algebra}$
shows $f \text{ differentiable (at } x \text{ within } s) \implies g \text{ differentiable (at } x \text{ within } s) \implies$
 $(\lambda x. f \ x * g \ x) \text{ differentiable (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *differentiable-inverse* [simp, derivative-intros]:

fixes $f :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-field}$
shows $f \text{ differentiable (at } x \text{ within } s) \implies f \ x \neq 0 \implies$
 $(\lambda x. \text{inverse } (f \ x)) \text{ differentiable (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *differentiable-divide* [simp, derivative-intros]:

fixes $f \ g :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-field}$
shows $f \text{ differentiable (at } x \text{ within } s) \implies g \text{ differentiable (at } x \text{ within } s) \implies$
 $g \ x \neq 0 \implies (\lambda x. f \ x / g \ x) \text{ differentiable (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *differentiable-power* [simp, derivative-intros]:

fixes $f \ g :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-field}$
shows $f \text{ differentiable (at } x \text{ within } s) \implies (\lambda x. f \ x ^ n) \text{ differentiable (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *differentiable-scaleR* [simp, derivative-intros]:

f differentiable (at x within s) $\implies g$ differentiable (at x within s) \implies
 $(\lambda x. f\ x *_{\mathbb{R}} g\ x)$ differentiable (at x within s)
 ⟨proof⟩

lemma *has-derivative-imp-has-field-derivative*:

$(f \text{ has-derivative } D) \ F \implies (\bigwedge x. x * D' = D\ x) \implies (f \text{ has-field-derivative } D') \ F$
 ⟨proof⟩

lemma *has-field-derivative-imp-has-derivative*:

$(f \text{ has-field-derivative } D) \ F \implies (f \text{ has-derivative } op * D) \ F$
 ⟨proof⟩

lemma *DERIV-subset*:

$(f \text{ has-field-derivative } f') \text{ (at } x \text{ within } s) \implies t \subseteq s \implies$
 $(f \text{ has-field-derivative } f') \text{ (at } x \text{ within } t)$
 ⟨proof⟩

lemma *has-field-derivative-at-within*:

$(f \text{ has-field-derivative } f') \text{ (at } x) \implies (f \text{ has-field-derivative } f') \text{ (at } x \text{ within } s)$
 ⟨proof⟩

abbreviation *(input)*

$DERIV :: ('a :: \text{real-normed-field} \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$
 $((DERIV\ (-)/\ (-)/\ :>\ (-))\ [1000,\ 1000,\ 60]\ 60)$
where $DERIV\ f\ x\ :>\ D \equiv (f \text{ has-field-derivative } D) \text{ (at } x)$

abbreviation *has-real-derivative* :: $(\text{real} \Rightarrow \text{real}) \Rightarrow \text{real} \Rightarrow \text{real filter} \Rightarrow \text{bool}$

(**infix** $(\text{has}'\text{-real}'\text{-derivative})\ 50)$

where $(f \text{ has-real-derivative } D) \ F \equiv (f \text{ has-field-derivative } D) \ F$

lemma *real-differentiable-def*:

f differentiable at x within $s \iff (\exists D. (f \text{ has-real-derivative } D) \text{ (at } x \text{ within } s))$
 ⟨proof⟩

lemma *real-differentiableE* [elim?]:

assumes $f: f$ differentiable (at x within s)
obtains df **where** $(f \text{ has-real-derivative } df) \text{ (at } x \text{ within } s)$
 ⟨proof⟩

lemma *differentiableD*:

f differentiable (at x within s) $\implies \exists D. (f \text{ has-real-derivative } D) \text{ (at } x \text{ within } s)$
 ⟨proof⟩

lemma *differentiableI*:

$(f \text{ has-real-derivative } D) \text{ (at } x \text{ within } s) \implies f$ differentiable (at x within s)
 ⟨proof⟩

lemma *has-field-derivative-iff*:

$(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } S) \iff$

$((\lambda y. (f y - f x) / (y - x)) \longrightarrow D) \text{ (at } x \text{ within } S)$
 $\langle \text{proof} \rangle$

lemma *DERIV-def*: $DERIV f x \text{ :> } D \longleftrightarrow (\lambda h. (f (x + h) - f x) / h) - 0 \rightarrow D$
 $\langle \text{proof} \rangle$

lemma *mult-commute-abs*: $(\lambda x. x * c) = op * c$
for $c :: 'a::\text{ab-semigroup-mult}$
 $\langle \text{proof} \rangle$

103.6 Vector derivative

lemma *has-field-derivative-iff-has-vector-derivative*:
 $(f \text{ has-field-derivative } y) F \longleftrightarrow (f \text{ has-vector-derivative } y) F$
 $\langle \text{proof} \rangle$

lemma *has-field-derivative-subset*:
 $(f \text{ has-field-derivative } y) \text{ (at } x \text{ within } s) \implies t \subseteq s \implies$
 $(f \text{ has-field-derivative } y) \text{ (at } x \text{ within } t)$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-const*[*simp, derivative-intros*]: $((\lambda x. c) \text{ has-vector-derivative } 0) \text{ net}$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-id*[*simp, derivative-intros*]: $((\lambda x. x) \text{ has-vector-derivative } 1) \text{ net}$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-minus*[*derivative-intros*]:
 $(f \text{ has-vector-derivative } f') \text{ net} \implies ((\lambda x. - f x) \text{ has-vector-derivative } (- f')) \text{ net}$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-add*[*derivative-intros*]:
 $(f \text{ has-vector-derivative } f') \text{ net} \implies (g \text{ has-vector-derivative } g') \text{ net} \implies$
 $((\lambda x. f x + g x) \text{ has-vector-derivative } (f' + g')) \text{ net}$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-sum*[*derivative-intros*]:
 $(\bigwedge i. i \in I \implies (f i \text{ has-vector-derivative } f' i) \text{ net}) \implies$
 $((\lambda x. \sum_{i \in I} f i x) \text{ has-vector-derivative } (\sum_{i \in I} f' i)) \text{ net}$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-diff*[*derivative-intros*]:
 $(f \text{ has-vector-derivative } f') \text{ net} \implies (g \text{ has-vector-derivative } g') \text{ net} \implies$
 $((\lambda x. f x - g x) \text{ has-vector-derivative } (f' - g')) \text{ net}$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-add-const*:

$((\lambda t. g \ t + z) \text{ has-vector-derivative } f') \text{ net} = ((\lambda t. g \ t) \text{ has-vector-derivative } f')$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-diff-const*:
 $((\lambda t. g \ t - z) \text{ has-vector-derivative } f') \text{ net} = ((\lambda t. g \ t) \text{ has-vector-derivative } f')$
 $\langle \text{proof} \rangle$

lemma (*in bounded-linear*) *has-vector-derivative*:
assumes $(g \text{ has-vector-derivative } g') \ F$
shows $((\lambda x. f \ (g \ x)) \text{ has-vector-derivative } f \ g') \ F$
 $\langle \text{proof} \rangle$

lemma (*in bounded-bilinear*) *has-vector-derivative*:
assumes $(f \text{ has-vector-derivative } f') \ (at \ x \ \text{within } s)$
and $(g \text{ has-vector-derivative } g') \ (at \ x \ \text{within } s)$
shows $((\lambda x. f \ x ** g \ x) \text{ has-vector-derivative } (f \ x ** g' + f' ** g \ x)) \ (at \ x \ \text{within } s)$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-scaleR*[*derivative-intros*]:
 $(f \text{ has-field-derivative } f') \ (at \ x \ \text{within } s) \implies (g \text{ has-vector-derivative } g') \ (at \ x \ \text{within } s) \implies$
 $((\lambda x. f \ x *_R g \ x) \text{ has-vector-derivative } (f \ x *_R g' + f' *_R g \ x)) \ (at \ x \ \text{within } s)$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-mult*[*derivative-intros*]:
 $(f \text{ has-vector-derivative } f') \ (at \ x \ \text{within } s) \implies (g \text{ has-vector-derivative } g') \ (at \ x \ \text{within } s) \implies$
 $((\lambda x. f \ x * g \ x) \text{ has-vector-derivative } (f \ x * g' + f' * g \ x)) \ (at \ x \ \text{within } s)$
for $f \ g :: \text{real} \Rightarrow 'a :: \text{real-normed-algebra}$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-of-real*[*derivative-intros*]:
 $(f \text{ has-field-derivative } D) \ F \implies ((\lambda x. \text{of-real } (f \ x)) \text{ has-vector-derivative } (\text{of-real } D)) \ F$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-continuous*:
 $(f \text{ has-vector-derivative } D) \ (at \ x \ \text{within } s) \implies \text{continuous } (at \ x \ \text{within } s) \ f$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-mult-right*[*derivative-intros*]:
fixes $a :: 'a :: \text{real-normed-algebra}$
shows $(f \text{ has-vector-derivative } x) \ F \implies ((\lambda x. a * f \ x) \text{ has-vector-derivative } (a * x)) \ F$
 $\langle \text{proof} \rangle$

lemma *has-vector-derivative-mult-left*[*derivative-intros*]:
fixes $a :: 'a::\text{real-normed-algebra}$
shows $(f \text{ has-vector-derivative } x) F \implies ((\lambda x. f\ x * a) \text{ has-vector-derivative } (x * a)) F$
 $\langle \text{proof} \rangle$

103.7 Derivatives

lemma *DERIV-D*: $DERIV\ f\ x\ :=\ D \implies (\lambda h. (f\ (x + h) - f\ x) / h) \rightarrow 0 D$
 $\langle \text{proof} \rangle$

lemma *has-field-derivativeD*:
 $(f \text{ has-field-derivative } D) (at\ x\ \text{within } S) \implies$
 $((\lambda y. (f\ y - f\ x) / (y - x)) \longrightarrow D) (at\ x\ \text{within } S)$
 $\langle \text{proof} \rangle$

lemma *DERIV-const* [*simp*, *derivative-intros*]: $((\lambda x. k) \text{ has-field-derivative } 0) F$
 $\langle \text{proof} \rangle$

lemma *DERIV-ident* [*simp*, *derivative-intros*]: $((\lambda x. x) \text{ has-field-derivative } 1) F$
 $\langle \text{proof} \rangle$

lemma *field-differentiable-add*[*derivative-intros*]:
 $(f \text{ has-field-derivative } f') F \implies (g \text{ has-field-derivative } g') F \implies$
 $((\lambda z. f\ z + g\ z) \text{ has-field-derivative } f' + g') F$
 $\langle \text{proof} \rangle$

corollary *DERIV-add*:
 $(f \text{ has-field-derivative } D) (at\ x\ \text{within } s) \implies (g \text{ has-field-derivative } E) (at\ x\ \text{within } s) \implies$
 $((\lambda x. f\ x + g\ x) \text{ has-field-derivative } D + E) (at\ x\ \text{within } s)$
 $\langle \text{proof} \rangle$

lemma *field-differentiable-minus*[*derivative-intros*]:
 $(f \text{ has-field-derivative } f') F \implies ((\lambda z. - (f\ z)) \text{ has-field-derivative } -f') F$
 $\langle \text{proof} \rangle$

corollary *DERIV-minus*:
 $(f \text{ has-field-derivative } D) (at\ x\ \text{within } s) \implies$
 $((\lambda x. - f\ x) \text{ has-field-derivative } -D) (at\ x\ \text{within } s)$
 $\langle \text{proof} \rangle$

lemma *field-differentiable-diff*[*derivative-intros*]:
 $(f \text{ has-field-derivative } f') F \implies$
 $(g \text{ has-field-derivative } g') F \implies ((\lambda z. f\ z - g\ z) \text{ has-field-derivative } f' - g') F$
 $\langle \text{proof} \rangle$

corollary *DERIV-diff*:
 $(f \text{ has-field-derivative } D) (at\ x\ \text{within } s) \implies$

$(g \text{ has-field-derivative } E) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. f x - g x) \text{ has-field-derivative } D - E) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-continuous*: $(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies \text{continuous}$
 $\text{ (at } x \text{ within } s) f$
 $\langle \text{proof} \rangle$

corollary *DERIV-isCont*: $\text{DERIV } f x :> D \implies \text{isCont } f x$
 $\langle \text{proof} \rangle$

lemma *DERIV-continuous-on*:
 $(\bigwedge x. x \in s \implies (f \text{ has-field-derivative } (D x)) \text{ (at } x \text{ within } s)) \implies \text{continuous-on}$
 $s f$
 $\langle \text{proof} \rangle$

lemma *DERIV-mult'*:
 $(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies (g \text{ has-field-derivative } E) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. f x * g x) \text{ has-field-derivative } f x * E + D * g x) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-mult[derivative-intros]*:
 $(f \text{ has-field-derivative } Da) \text{ (at } x \text{ within } s) \implies (g \text{ has-field-derivative } Db) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. f x * g x) \text{ has-field-derivative } Da * g x + Db * f x) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

Derivative of linear multiplication

lemma *DERIV-cmult*:
 $(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. c * f x) \text{ has-field-derivative } c * D) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-cmult-right*:
 $(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. f x * c) \text{ has-field-derivative } D * c) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-cmult-Id [simp]*: $(op * c \text{ has-field-derivative } c) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-cdivide*:
 $(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. f x / c) \text{ has-field-derivative } D / c) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-unique*: $\text{DERIV } f x :> D \implies \text{DERIV } f x :> E \implies D = E$
 $\langle \text{proof} \rangle$

lemma *DERIV-sum*[*derivative-intros*]:

$(\bigwedge n. n \in S \implies ((\lambda x. f x n) \text{ has-field-derivative } (f' x n)) F) \implies$
 $((\lambda x. \text{sum } (f x) S) \text{ has-field-derivative } \text{sum } (f' x) S) F$
 $\langle \text{proof} \rangle$

lemma *DERIV-inverse'*[*derivative-intros*]:

assumes $(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s)$
and $f x \neq 0$
shows $((\lambda x. \text{inverse } (f x)) \text{ has-field-derivative } - (\text{inverse } (f x) * D * \text{inverse } (f x)))$
 $\text{(at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

Power of -1

lemma *DERIV-inverse*:

$x \neq 0 \implies ((\lambda x. \text{inverse}(x)) \text{ has-field-derivative } - (\text{inverse } x \wedge \text{Suc } (\text{Suc } 0))) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

Derivative of inverse

lemma *DERIV-inverse-fun*:

$(f \text{ has-field-derivative } d) \text{ (at } x \text{ within } s) \implies f x \neq 0 \implies$
 $((\lambda x. \text{inverse } (f x)) \text{ has-field-derivative } - (d * \text{inverse}(f x \wedge \text{Suc } (\text{Suc } 0))))$
 $\text{(at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

Derivative of quotient

lemma *DERIV-divide*[*derivative-intros*]:

$(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies$
 $(g \text{ has-field-derivative } E) \text{ (at } x \text{ within } s) \implies g x \neq 0 \implies$
 $((\lambda x. f x / g x) \text{ has-field-derivative } (D * g x - f x * E) / (g x * g x)) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-quotient*:

$(f \text{ has-field-derivative } d) \text{ (at } x \text{ within } s) \implies$
 $(g \text{ has-field-derivative } e) \text{ (at } x \text{ within } s) \implies g x \neq 0 \implies$
 $((\lambda y. f y / g y) \text{ has-field-derivative } (d * g x - (e * f x)) / (g x \wedge \text{Suc } (\text{Suc } 0)))$
 $\text{(at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-power-Suc*:

$(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. f x \wedge \text{Suc } n) \text{ has-field-derivative } (1 + \text{of-nat } n) * (D * f x \wedge n)) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-power*[*derivative-intros*]:

$(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies$
 $((\lambda x. f \ x \ ^n) \text{ has-field-derivative of-nat } n * (D * f \ x \ ^{(n - \text{Suc } 0}))) \text{ (at } x$
 $\text{within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-pow*: $((\lambda x. x \ ^n) \text{ has-field-derivative real } n * (x \ ^{(n - \text{Suc } 0})))$
 $\text{(at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-chain'*: $(f \text{ has-field-derivative } D) \text{ (at } x \text{ within } s) \implies \text{DERIV } g \text{ (f}$
 $x) :> E \implies$
 $((\lambda x. g \text{ (f } x)) \text{ has-field-derivative } E * D) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

corollary *DERIV-chain2*: $\text{DERIV } f \text{ (g } x) :> Da \implies (g \text{ has-field-derivative } Db)$
 $\text{(at } x \text{ within } s) \implies$
 $((\lambda x. f \text{ (g } x)) \text{ has-field-derivative } Da * Db) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

Standard version

lemma *DERIV-chain*:
 $\text{DERIV } f \text{ (g } x) :> Da \implies (g \text{ has-field-derivative } Db) \text{ (at } x \text{ within } s) \implies$
 $(f \circ g \text{ has-field-derivative } Da * Db) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-image-chain*:
 $(f \text{ has-field-derivative } Da) \text{ (at (g } x) \text{ within (g ' s))} \implies$
 $(g \text{ has-field-derivative } Db) \text{ (at } x \text{ within } s) \implies$
 $(f \circ g \text{ has-field-derivative } Da * Db) \text{ (at } x \text{ within } s)$
 $\langle \text{proof} \rangle$

lemma *DERIV-chain-s*:
assumes $(\bigwedge x. x \in s \implies \text{DERIV } g \ x :> g'(x))$
and $\text{DERIV } f \ x :> f'$
and $f \ x \in s$
shows $\text{DERIV } (\lambda x. g(f \ x)) \ x :> f' * g'(f \ x)$
 $\langle \text{proof} \rangle$

lemma *DERIV-chain3*:
assumes $(\bigwedge x. \text{DERIV } g \ x :> g'(x))$
and $\text{DERIV } f \ x :> f'$
shows $\text{DERIV } (\lambda x. g(f \ x)) \ x :> f' * g'(f \ x)$
 $\langle \text{proof} \rangle$

Alternative definition for differentiability

lemma *DERIV-LIM-iff*:
fixes $f :: 'a :: \{\text{real-normed-vector, inverse}\} \Rightarrow 'a$
shows $((\lambda h. (f \ (a + h) - f \ a) / h) - 0 \rightarrow D) = ((\lambda x. (f \ x - f \ a) / (x - a))$

$-a \rightarrow D)$
 $\langle \text{proof} \rangle$

lemmas *DERIV-iff2* = *has-field-derivative-iff*

lemma *has-field-derivative-cong-ev*:

assumes $x = y$
and $*$: *eventually* $(\lambda x. x \in s \longrightarrow f x = g x)$ (*nhds* x)
and $u = v$ $s = t$ $x \in s$
shows $(f \text{ has-field-derivative } u) \text{ (at } x \text{ within } s) = (g \text{ has-field-derivative } v) \text{ (at } y$
within } t)
 $\langle \text{proof} \rangle$

lemma *DERIV-cong-ev*:

$x = y \implies \text{eventually } (\lambda x. f x = g x) \text{ (nhds } x) \implies u = v \implies$
 $\text{DERIV } f x :> u \longleftrightarrow \text{DERIV } g y :> v$
 $\langle \text{proof} \rangle$

lemma *DERIV-shift*:

$(f \text{ has-field-derivative } y) \text{ (at } (x + z)) = ((\lambda x. f (x + z)) \text{ has-field-derivative } y)$
(at } x)
 $\langle \text{proof} \rangle$

lemma *DERIV-mirror*: $(\text{DERIV } f (- x) :> y) \longleftrightarrow (\text{DERIV } (\lambda x. f (- x)) x :>$
 $- y)$

for $f :: \text{real} \Rightarrow \text{real}$ **and** $x y :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *floor-has-real-derivative*:

fixes $f :: \text{real} \Rightarrow 'a :: \{\text{floor-ceiling, order-topology}\}$
assumes *isCont* $f x$
and $f x \notin \mathbb{Z}$
shows $((\lambda x. \text{floor } (f x)) \text{ has-real-derivative } 0) \text{ (at } x)$
 $\langle \text{proof} \rangle$

Caratheodory formulation of derivative at a point

lemma *CARAT-DERIV*:

$(\text{DERIV } f x :> l) \longleftrightarrow (\exists g. (\forall z. f z - f x = g z * (z - x)) \wedge \text{isCont } g x \wedge g x$
 $= l)$
(is *?lhs* = *?rhs***)**
 $\langle \text{proof} \rangle$

103.8 Local extrema

If $(0 :: 'a) < f' x$ then x is Locally Strictly Increasing At The Right.

lemma *has-real-derivative-pos-inc-right*:

fixes $f :: \text{real} \Rightarrow \text{real}$
assumes *der*: $(f \text{ has-real-derivative } l) \text{ (at } x \text{ within } S)$
and $l: 0 < l$

shows $\exists d > 0. \forall h > 0. x + h \in S \longrightarrow h < d \longrightarrow f x < f (x + h)$
 $\langle proof \rangle$

lemma *DERIV-pos-inc-right*:

fixes $f :: real \Rightarrow real$

assumes $der: DERIV f x :> l$

and $l: 0 < l$

shows $\exists d > 0. \forall h > 0. h < d \longrightarrow f x < f (x + h)$
 $\langle proof \rangle$

lemma *has-real-derivative-neg-dec-left*:

fixes $f :: real \Rightarrow real$

assumes $der: (f \text{ has-real-derivative } l) \text{ (at } x \text{ within } S)$

and $l < 0$

shows $\exists d > 0. \forall h > 0. x - h \in S \longrightarrow h < d \longrightarrow f x < f (x - h)$
 $\langle proof \rangle$

lemma *DERIV-neg-dec-left*:

fixes $f :: real \Rightarrow real$

assumes $der: DERIV f x :> l$

and $l < 0$

shows $\exists d > 0. \forall h > 0. h < d \longrightarrow f x < f (x - h)$
 $\langle proof \rangle$

lemma *has-real-derivative-pos-inc-left*:

fixes $f :: real \Rightarrow real$

shows $(f \text{ has-real-derivative } l) \text{ (at } x \text{ within } S) \Longrightarrow 0 < l \Longrightarrow$

$\exists d > 0. \forall h > 0. x - h \in S \longrightarrow h < d \longrightarrow f (x - h) < f x$

$\langle proof \rangle$

lemma *DERIV-pos-inc-left*:

fixes $f :: real \Rightarrow real$

shows $DERIV f x :> l \Longrightarrow 0 < l \Longrightarrow \exists d > 0. \forall h > 0. h < d \longrightarrow f (x - h)$

$< f x$

$\langle proof \rangle$

lemma *has-real-derivative-neg-dec-right*:

fixes $f :: real \Rightarrow real$

shows $(f \text{ has-real-derivative } l) \text{ (at } x \text{ within } S) \Longrightarrow l < 0 \Longrightarrow$

$\exists d > 0. \forall h > 0. x + h \in S \longrightarrow h < d \longrightarrow f x > f (x + h)$

$\langle proof \rangle$

lemma *DERIV-neg-dec-right*:

fixes $f :: real \Rightarrow real$

shows $DERIV f x :> l \Longrightarrow l < 0 \Longrightarrow \exists d > 0. \forall h > 0. h < d \longrightarrow f x > f (x$

$+ h)$

$\langle proof \rangle$

lemma *DERIV-local-max*:

```

fixes  $f :: \text{real} \Rightarrow \text{real}$ 
assumes  $\text{der}: \text{DERIV } f \, x :> l$ 
  and  $d: 0 < d$ 
  and  $\text{le}: \forall y. |x - y| < d \longrightarrow f \, y \leq f \, x$ 
shows  $l = 0$ 
<proof>

```

Similar theorem for a local minimum

lemma *DERIV-local-min*:

```

fixes  $f :: \text{real} \Rightarrow \text{real}$ 
shows  $\text{DERIV } f \, x :> l \Longrightarrow 0 < d \Longrightarrow \forall y. |x - y| < d \longrightarrow f \, x \leq f \, y \Longrightarrow l = 0$ 
<proof>

```

In particular, if a function is locally flat

lemma *DERIV-local-const*:

```

fixes  $f :: \text{real} \Rightarrow \text{real}$ 
shows  $\text{DERIV } f \, x :> l \Longrightarrow 0 < d \Longrightarrow \forall y. |x - y| < d \longrightarrow f \, x = f \, y \Longrightarrow l = 0$ 
<proof>

```

103.9 Rolle’s Theorem

Lemma about introducing open ball in open interval

```

lemma lemma-interval-lt:  $a < x \Longrightarrow x < b \Longrightarrow \exists d. 0 < d \wedge (\forall y. |x - y| < d \longrightarrow a < y \wedge y < b)$ 
for  $a \, b \, x :: \text{real}$ 
<proof>

```

```

lemma lemma-interval:  $a < x \Longrightarrow x < b \Longrightarrow \exists d. 0 < d \wedge (\forall y. |x - y| < d \longrightarrow a \leq y \wedge y \leq b)$ 
for  $a \, b \, x :: \text{real}$ 
<proof>

```

Rolle’s Theorem. If f is defined and continuous on the closed interval $[a, b]$ and differentiable on the open interval (a, b) , and $f \, a = f \, b$, then there exists $x_0 \in (a, b)$ such that $f' \, x_0 = (0::'a)$

theorem *Rolle*:

```

fixes  $a \, b :: \text{real}$ 
assumes  $\text{lt}: a < b$ 
  and  $\text{eq}: f \, a = f \, b$ 
  and  $\text{con}: \forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f \, x$ 
  and  $\text{dif}$  [rule-format]:  $\forall x. a < x \wedge x < b \longrightarrow f \text{ differentiable (at } x)$ 
shows  $\exists z. a < z \wedge z < b \wedge \text{DERIV } f \, z :> 0$ 
<proof>

```

103.10 Mean Value Theorem

```

lemma lemma-MVT:  $f \, a - (f \, b - f \, a) / (b - a) * a = f \, b - (f \, b - f \, a) / (b - a) * b$ 

```

for $a\ b :: \text{real}$
 $\langle \text{proof} \rangle$

theorem *MVT*:

fixes $a\ b :: \text{real}$
assumes $lt: a < b$
and $con: \forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f\ x$
and $dif \text{ [rule-format]: } \forall x. a < x \wedge x < b \longrightarrow f \text{ differentiable (at } x)$
shows $\exists l\ z. a < z \wedge z < b \wedge \text{DERIV } f\ z :> l \wedge f\ b - f\ a = (b - a) * l$
 $\langle \text{proof} \rangle$

lemma *MVT2*:

$a < b \implies \forall x. a \leq x \wedge x \leq b \longrightarrow \text{DERIV } f\ x :> f'\ x \implies$
 $\exists z :: \text{real}. a < z \wedge z < b \wedge (f\ b - f\ a = (b - a) * f'\ z)$
 $\langle \text{proof} \rangle$

A function is constant if its derivative is 0 over an interval.

lemma *DERIV-isconst-end*:

fixes $f :: \text{real} \Rightarrow \text{real}$
shows $a < b \implies$
 $\forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f\ x \implies$
 $\forall x. a < x \wedge x < b \longrightarrow \text{DERIV } f\ x :> 0 \implies f\ b = f\ a$
 $\langle \text{proof} \rangle$

lemma *DERIV-isconst1*:

fixes $f :: \text{real} \Rightarrow \text{real}$
shows $a < b \implies$
 $\forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f\ x \implies$
 $\forall x. a < x \wedge x < b \longrightarrow \text{DERIV } f\ x :> 0 \implies$
 $\forall x. a \leq x \wedge x \leq b \longrightarrow f\ x = f\ a$
 $\langle \text{proof} \rangle$

lemma *DERIV-isconst2*:

fixes $f :: \text{real} \Rightarrow \text{real}$
shows $a < b \implies$
 $\forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f\ x \implies$
 $\forall x. a < x \wedge x < b \longrightarrow \text{DERIV } f\ x :> 0 \implies$
 $a \leq x \implies x \leq b \implies f\ x = f\ a$
 $\langle \text{proof} \rangle$

lemma *DERIV-isconst3*:

fixes $a\ b\ x\ y :: \text{real}$
assumes $a < b$
and $x \in \{a <..<< b\}$
and $y \in \{a <..<< b\}$
and $\text{derivable: } \bigwedge x. x \in \{a <..<< b\} \implies \text{DERIV } f\ x :> 0$
shows $f\ x = f\ y$
 $\langle \text{proof} \rangle$

lemma *DERIV-isconst-all*:

fixes $f :: \text{real} \Rightarrow \text{real}$

shows $\forall x. \text{DERIV } f \ x :> 0 \implies f \ x = f \ y$

$\langle \text{proof} \rangle$

lemma *DERIV-const-ratio-const*:

fixes $f :: \text{real} \Rightarrow \text{real}$

shows $a \neq b \implies \forall x. \text{DERIV } f \ x :> k \implies f \ b - f \ a = (b - a) * k$

$\langle \text{proof} \rangle$

lemma *DERIV-const-ratio-const2*:

fixes $f :: \text{real} \Rightarrow \text{real}$

shows $a \neq b \implies \forall x. \text{DERIV } f \ x :> k \implies (f \ b - f \ a) / (b - a) = k$

$\langle \text{proof} \rangle$

lemma *real-average-minus-first [simp]*: $(a + b) / 2 - a = (b - a) / 2$

for $a \ b :: \text{real}$

$\langle \text{proof} \rangle$

lemma *real-average-minus-second [simp]*: $(b + a) / 2 - a = (b - a) / 2$

for $a \ b :: \text{real}$

$\langle \text{proof} \rangle$

Gallileo’s ”trick”: average velocity = av. of end velocities.

lemma *DERIV-const-average*:

fixes $v :: \text{real} \Rightarrow \text{real}$

and $a \ b :: \text{real}$

assumes $\text{neg}: a \neq b$

and $\text{der}: \forall x. \text{DERIV } v \ x :> k$

shows $v \ ((a + b) / 2) = (v \ a + v \ b) / 2$

$\langle \text{proof} \rangle$

A function with positive derivative is increasing. A simple proof using the MVT, by Jeremy Avigad. And variants.

lemma *DERIV-pos-imp-increasing-open*:

fixes $a \ b :: \text{real}$

and $f :: \text{real} \Rightarrow \text{real}$

assumes $a < b$

and $\bigwedge x. a < x \implies x < b \implies (\exists y. \text{DERIV } f \ x :> y \wedge y > 0)$

and $\text{con}: \bigwedge x. a \leq x \implies x \leq b \implies \text{isCont } f \ x$

shows $f \ a < f \ b$

$\langle \text{proof} \rangle$

lemma *DERIV-pos-imp-increasing*:

fixes $a \ b :: \text{real}$

and $f :: \text{real} \Rightarrow \text{real}$

assumes $a < b$

and $\forall x. a \leq x \wedge x \leq b \implies (\exists y. \text{DERIV } f \ x :> y \wedge y > 0)$

shows $f \ a < f \ b$

$\langle \text{proof} \rangle$

lemma *DERIV-nonneg-imp-nondecreasing*:

fixes $a\ b :: \text{real}$
and $f :: \text{real} \Rightarrow \text{real}$
assumes $a \leq b$
and $\forall x. a \leq x \wedge x \leq b \longrightarrow (\exists y. \text{DERIV } f\ x :> y \wedge y \geq 0)$
shows $f\ a \leq f\ b$
 $\langle \text{proof} \rangle$

lemma *DERIV-neg-imp-decreasing-open*:

fixes $a\ b :: \text{real}$
and $f :: \text{real} \Rightarrow \text{real}$
assumes $a < b$
and $\bigwedge x. a < x \Longrightarrow x < b \Longrightarrow (\exists y. \text{DERIV } f\ x :> y \wedge y < 0)$
and *con*: $\bigwedge x. a \leq x \Longrightarrow x \leq b \Longrightarrow \text{isCont } f\ x$
shows $f\ a > f\ b$
 $\langle \text{proof} \rangle$

lemma *DERIV-neg-imp-decreasing*:

fixes $a\ b :: \text{real}$
and $f :: \text{real} \Rightarrow \text{real}$
assumes $a < b$
and $\forall x. a \leq x \wedge x \leq b \longrightarrow (\exists y. \text{DERIV } f\ x :> y \wedge y < 0)$
shows $f\ a > f\ b$
 $\langle \text{proof} \rangle$

lemma *DERIV-nonpos-imp-nonincreasing*:

fixes $a\ b :: \text{real}$
and $f :: \text{real} \Rightarrow \text{real}$
assumes $a \leq b$
and $\forall x. a \leq x \wedge x \leq b \longrightarrow (\exists y. \text{DERIV } f\ x :> y \wedge y \leq 0)$
shows $f\ a \geq f\ b$
 $\langle \text{proof} \rangle$

lemma *DERIV-pos-imp-increasing-at-bot*:

fixes $f :: \text{real} \Rightarrow \text{real}$
assumes $\bigwedge x. x \leq b \Longrightarrow (\exists y. \text{DERIV } f\ x :> y \wedge y > 0)$
and *lim*: $(f \longrightarrow \text{flim}) \text{ at-bot}$
shows $\text{flim} < f\ b$
 $\langle \text{proof} \rangle$

lemma *DERIV-neg-imp-decreasing-at-top*:

fixes $f :: \text{real} \Rightarrow \text{real}$
assumes *der*: $\bigwedge x. x \geq b \Longrightarrow (\exists y. \text{DERIV } f\ x :> y \wedge y < 0)$
and *lim*: $(f \longrightarrow \text{flim}) \text{ at-top}$
shows $\text{flim} < f\ b$
 $\langle \text{proof} \rangle$

Derivative of inverse function

lemma *DERIV-inverse-function*:
fixes $f\ g :: \text{real} \Rightarrow \text{real}$
assumes $\text{der}: \text{DERIV } f\ (g\ x) :> D$
and $\text{neq}: D \neq 0$
and $x: a < x \wedge x < b$
and $\text{inj}: \forall y. a < y \wedge y < b \longrightarrow f\ (g\ y) = y$
and $\text{cont}: \text{isCont } g\ x$
shows $\text{DERIV } g\ x :> \text{inverse } D$
 $\langle \text{proof} \rangle$

103.11 Generalized Mean Value Theorem

theorem *GMVT*:
fixes $a\ b :: \text{real}$
assumes $\text{alb}: a < b$
and $\text{fc}: \forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } f\ x$
and $\text{fd}: \forall x. a < x \wedge x < b \longrightarrow f \text{ differentiable } (at\ x)$
and $\text{gc}: \forall x. a \leq x \wedge x \leq b \longrightarrow \text{isCont } g\ x$
and $\text{gd}: \forall x. a < x \wedge x < b \longrightarrow g \text{ differentiable } (at\ x)$
shows $\exists g'c\ f'c\ c.$
 $\text{DERIV } g\ c :> g'c \wedge \text{DERIV } f\ c :> f'c \wedge a < c \wedge c < b \wedge (f\ b - f\ a) * g'c =$
 $(g\ b - g\ a) * f'c$
 $\langle \text{proof} \rangle$

lemma *GMVT'*:
fixes $f\ g :: \text{real} \Rightarrow \text{real}$
assumes $a < b$
and $\text{isCont-f}: \bigwedge z. a \leq z \Longrightarrow z \leq b \Longrightarrow \text{isCont } f\ z$
and $\text{isCont-g}: \bigwedge z. a \leq z \Longrightarrow z \leq b \Longrightarrow \text{isCont } g\ z$
and $\text{DERIV-g}: \bigwedge z. a < z \Longrightarrow z < b \Longrightarrow \text{DERIV } g\ z :> (g'\ z)$
and $\text{DERIV-f}: \bigwedge z. a < z \Longrightarrow z < b \Longrightarrow \text{DERIV } f\ z :> (f'\ z)$
shows $\exists c. a < c \wedge c < b \wedge (f\ b - f\ a) * g'\ c = (g\ b - g\ a) * f'\ c$
 $\langle \text{proof} \rangle$

103.12 L'Hopitals rule

lemma *isCont-If-ge*:
fixes $a :: 'a :: \text{linorder-topology}$
shows $\text{continuous } (at\text{-left } a)\ g \Longrightarrow (f \longrightarrow g\ a)\ (at\text{-right } a) \Longrightarrow$
 $\text{isCont } (\lambda x. \text{if } x \leq a \text{ then } g\ x \text{ else } f\ x)\ a$
 $\langle \text{proof} \rangle$

lemma *lhospital-right-0*:
fixes $f0\ g0 :: \text{real} \Rightarrow \text{real}$
assumes $f\text{-}0: (f0 \longrightarrow 0)\ (at\text{-right } 0)$
and $g\text{-}0: (g0 \longrightarrow 0)\ (at\text{-right } 0)$
and $\text{ev}:$
 $\text{eventually } (\lambda x. g0\ x \neq 0)\ (at\text{-right } 0)$
 $\text{eventually } (\lambda x. g'\ x \neq 0)\ (at\text{-right } 0)$
 $\text{eventually } (\lambda x. \text{DERIV } f0\ x :> f'\ x)\ (at\text{-right } 0)$

eventually $(\lambda x. \text{DERIV } g0 \ x :> g' \ x) \text{ (at-right } 0)$
and $\text{lim: filterlim } (\lambda x. (f' \ x / g' \ x)) \ F \text{ (at-right } 0)$
shows $\text{filterlim } (\lambda x. f0 \ x / g0 \ x) \ F \text{ (at-right } 0)$
 <proof>

lemma *lhospital-right*:

$(f \longrightarrow 0) \text{ (at-right } x) \implies (g \longrightarrow 0) \text{ (at-right } x) \implies$
 eventually $(\lambda x. g \ x \neq 0) \text{ (at-right } x) \implies$
 eventually $(\lambda x. g' \ x \neq 0) \text{ (at-right } x) \implies$
 eventually $(\lambda x. \text{DERIV } f \ x :> f' \ x) \text{ (at-right } x) \implies$
 eventually $(\lambda x. \text{DERIV } g \ x :> g' \ x) \text{ (at-right } x) \implies$
 $\text{filterlim } (\lambda x. (f' \ x / g' \ x)) \ F \text{ (at-right } x) \implies$
 $\text{filterlim } (\lambda x. f \ x / g \ x) \ F \text{ (at-right } x)$
for $x :: \text{real}$
 <proof>

lemma *lhospital-left*:

$(f \longrightarrow 0) \text{ (at-left } x) \implies (g \longrightarrow 0) \text{ (at-left } x) \implies$
 eventually $(\lambda x. g \ x \neq 0) \text{ (at-left } x) \implies$
 eventually $(\lambda x. g' \ x \neq 0) \text{ (at-left } x) \implies$
 eventually $(\lambda x. \text{DERIV } f \ x :> f' \ x) \text{ (at-left } x) \implies$
 eventually $(\lambda x. \text{DERIV } g \ x :> g' \ x) \text{ (at-left } x) \implies$
 $\text{filterlim } (\lambda x. (f' \ x / g' \ x)) \ F \text{ (at-left } x) \implies$
 $\text{filterlim } (\lambda x. f \ x / g \ x) \ F \text{ (at-left } x)$
for $x :: \text{real}$
 <proof>

lemma *lhospital*:

$(f \longrightarrow 0) \text{ (at } x) \implies (g \longrightarrow 0) \text{ (at } x) \implies$
 eventually $(\lambda x. g \ x \neq 0) \text{ (at } x) \implies$
 eventually $(\lambda x. g' \ x \neq 0) \text{ (at } x) \implies$
 eventually $(\lambda x. \text{DERIV } f \ x :> f' \ x) \text{ (at } x) \implies$
 eventually $(\lambda x. \text{DERIV } g \ x :> g' \ x) \text{ (at } x) \implies$
 $\text{filterlim } (\lambda x. (f' \ x / g' \ x)) \ F \text{ (at } x) \implies$
 $\text{filterlim } (\lambda x. f \ x / g \ x) \ F \text{ (at } x)$
for $x :: \text{real}$
 <proof>

lemma *lhospital-right-0-at-top*:

fixes $f \ g :: \text{real} \Rightarrow \text{real}$
assumes $g\text{-}0$: $\text{LIM } x \text{ at-right } 0. g \ x :> \text{at-top}$
and ev :
 eventually $(\lambda x. g' \ x \neq 0) \text{ (at-right } 0)$
 eventually $(\lambda x. \text{DERIV } f \ x :> f' \ x) \text{ (at-right } 0)$
 eventually $(\lambda x. \text{DERIV } g \ x :> g' \ x) \text{ (at-right } 0)$
and $\text{lim: } ((\lambda x. (f' \ x / g' \ x)) \longrightarrow x) \text{ (at-right } 0)$
shows $((\lambda x. f \ x / g \ x) \longrightarrow x) \text{ (at-right } 0)$
 <proof>

lemma *lhospital-right-at-top*:

$LIM\ x\ at-right\ x.\ (g::real \Rightarrow real)\ x\ :>\ at-top \Rightarrow$
 $eventually\ (\lambda x.\ g'\ x \neq 0)\ (at-right\ x) \Rightarrow$
 $eventually\ (\lambda x.\ DERIV\ f\ x\ :>\ f'\ x)\ (at-right\ x) \Rightarrow$
 $eventually\ (\lambda x.\ DERIV\ g\ x\ :>\ g'\ x)\ (at-right\ x) \Rightarrow$
 $((\lambda x.\ (f'\ x / g'\ x)) \longrightarrow y)\ (at-right\ x) \Rightarrow$
 $((\lambda x.\ f\ x / g\ x) \longrightarrow y)\ (at-right\ x)$
 $\langle proof \rangle$

lemma *lhospital-left-at-top*:

$LIM\ x\ at-left\ x.\ g\ x\ :>\ at-top \Rightarrow$
 $eventually\ (\lambda x.\ g'\ x \neq 0)\ (at-left\ x) \Rightarrow$
 $eventually\ (\lambda x.\ DERIV\ f\ x\ :>\ f'\ x)\ (at-left\ x) \Rightarrow$
 $eventually\ (\lambda x.\ DERIV\ g\ x\ :>\ g'\ x)\ (at-left\ x) \Rightarrow$
 $((\lambda x.\ (f'\ x / g'\ x)) \longrightarrow y)\ (at-left\ x) \Rightarrow$
 $((\lambda x.\ f\ x / g\ x) \longrightarrow y)\ (at-left\ x)$
for $x :: real$
 $\langle proof \rangle$

lemma *lhospital-at-top*:

$LIM\ x\ at\ x.\ (g::real \Rightarrow real)\ x\ :>\ at-top \Rightarrow$
 $eventually\ (\lambda x.\ g'\ x \neq 0)\ (at\ x) \Rightarrow$
 $eventually\ (\lambda x.\ DERIV\ f\ x\ :>\ f'\ x)\ (at\ x) \Rightarrow$
 $eventually\ (\lambda x.\ DERIV\ g\ x\ :>\ g'\ x)\ (at\ x) \Rightarrow$
 $((\lambda x.\ (f'\ x / g'\ x)) \longrightarrow y)\ (at\ x) \Rightarrow$
 $((\lambda x.\ f\ x / g\ x) \longrightarrow y)\ (at\ x)$
 $\langle proof \rangle$

lemma *lhospital-at-top-at-top*:

fixes $f\ g :: real \Rightarrow real$
assumes $g-0$: $LIM\ x\ at-top.\ g\ x\ :>\ at-top$
and g' : $eventually\ (\lambda x.\ g'\ x \neq 0)\ at-top$
and Df : $eventually\ (\lambda x.\ DERIV\ f\ x\ :>\ f'\ x)\ at-top$
and Dg : $eventually\ (\lambda x.\ DERIV\ g\ x\ :>\ g'\ x)\ at-top$
and lim : $((\lambda x.\ (f'\ x / g'\ x)) \longrightarrow x)\ at-top$
shows $((\lambda x.\ f\ x / g\ x) \longrightarrow x)\ at-top$
 $\langle proof \rangle$

lemma *lhospital-right-at-top-at-top*:

fixes $f\ g :: real \Rightarrow real$
assumes $f-0$: $LIM\ x\ at-right\ a.\ f\ x\ :>\ at-top$
assumes $g-0$: $LIM\ x\ at-right\ a.\ g\ x\ :>\ at-top$
and ev :
 $eventually\ (\lambda x.\ DERIV\ f\ x\ :>\ f'\ x)\ (at-right\ a)$
 $eventually\ (\lambda x.\ DERIV\ g\ x\ :>\ g'\ x)\ (at-right\ a)$
and lim : $filterlim\ (\lambda x.\ (f'\ x / g'\ x))\ at-top\ (at-right\ a)$
shows $filterlim\ (\lambda x.\ f\ x / g\ x)\ at-top\ (at-right\ a)$
 $\langle proof \rangle$

lemma *lhospital-right-at-top-at-bot*:
fixes $f\ g :: \text{real} \Rightarrow \text{real}$
assumes $f\text{-}0$: $\text{LIM } x \text{ at-right } a. f\ x :> \text{at-top}$
assumes $g\text{-}0$: $\text{LIM } x \text{ at-right } a. g\ x :> \text{at-bot}$
and ev :
 eventually $(\lambda x. \text{DERIV } f\ x :> f'\ x) (\text{at-right } a)$
 eventually $(\lambda x. \text{DERIV } g\ x :> g'\ x) (\text{at-right } a)$
and lim : *filterlim* $(\lambda x. (f'\ x / g'\ x)) \text{ at-bot } (\text{at-right } a)$
shows *filterlim* $(\lambda x. f\ x / g\ x) \text{ at-bot } (\text{at-right } a)$
<proof>

lemma *lhospital-left-at-top-at-top*:
fixes $f\ g :: \text{real} \Rightarrow \text{real}$
assumes $f\text{-}0$: $\text{LIM } x \text{ at-left } a. f\ x :> \text{at-top}$
assumes $g\text{-}0$: $\text{LIM } x \text{ at-left } a. g\ x :> \text{at-top}$
and ev :
 eventually $(\lambda x. \text{DERIV } f\ x :> f'\ x) (\text{at-left } a)$
 eventually $(\lambda x. \text{DERIV } g\ x :> g'\ x) (\text{at-left } a)$
and lim : *filterlim* $(\lambda x. (f'\ x / g'\ x)) \text{ at-top } (\text{at-left } a)$
shows *filterlim* $(\lambda x. f\ x / g\ x) \text{ at-top } (\text{at-left } a)$
<proof>

lemma *lhospital-left-at-top-at-bot*:
fixes $f\ g :: \text{real} \Rightarrow \text{real}$
assumes $f\text{-}0$: $\text{LIM } x \text{ at-left } a. f\ x :> \text{at-top}$
assumes $g\text{-}0$: $\text{LIM } x \text{ at-left } a. g\ x :> \text{at-bot}$
and ev :
 eventually $(\lambda x. \text{DERIV } f\ x :> f'\ x) (\text{at-left } a)$
 eventually $(\lambda x. \text{DERIV } g\ x :> g'\ x) (\text{at-left } a)$
and lim : *filterlim* $(\lambda x. (f'\ x / g'\ x)) \text{ at-bot } (\text{at-left } a)$
shows *filterlim* $(\lambda x. f\ x / g\ x) \text{ at-bot } (\text{at-left } a)$
<proof>

lemma *lhospital-at-top-at-top*:
fixes $f\ g :: \text{real} \Rightarrow \text{real}$
assumes $f\text{-}0$: $\text{LIM } x \text{ at } a. f\ x :> \text{at-top}$
assumes $g\text{-}0$: $\text{LIM } x \text{ at } a. g\ x :> \text{at-top}$
and ev :
 eventually $(\lambda x. \text{DERIV } f\ x :> f'\ x) (\text{at } a)$
 eventually $(\lambda x. \text{DERIV } g\ x :> g'\ x) (\text{at } a)$
and lim : *filterlim* $(\lambda x. (f'\ x / g'\ x)) \text{ at-top } (\text{at } a)$
shows *filterlim* $(\lambda x. f\ x / g\ x) \text{ at-top } (\text{at } a)$
<proof>

lemma *lhospital-at-top-at-bot*:
fixes $f\ g :: \text{real} \Rightarrow \text{real}$
assumes $f\text{-}0$: $\text{LIM } x \text{ at } a. f\ x :> \text{at-top}$
assumes $g\text{-}0$: $\text{LIM } x \text{ at } a. g\ x :> \text{at-bot}$

```

and ev:
  eventually ( $\lambda x. \text{DERIV } f \ x :> f' \ x$ ) (at a)
  eventually ( $\lambda x. \text{DERIV } g \ x :> g' \ x$ ) (at a)
and lim: filterlim ( $\lambda x. (f' \ x / g' \ x)$ ) at-bot (at a)
shows filterlim ( $\lambda x. f \ x / g \ x$ ) at-bot (at a)
  <proof>

end

```

104 Nth Roots of Real Numbers

```

theory NthRoot
  imports Deriv
begin

```

104.1 Existence of Nth Root

Existence follows from the Intermediate Value Theorem

```

lemma realpow-pos-nth:
  fixes a :: real
  assumes n:  $0 < n$ 
  and a:  $0 < a$ 
  shows  $\exists r > 0. r \wedge n = a$ 
  <proof>

```

```

lemma realpow-pos-nth2:  $(0 :: \text{real}) < a \implies \exists r > 0. r \wedge \text{Suc } n = a$ 
  <proof>

```

Uniqueness of nth positive root.

```

lemma realpow-pos-nth-unique:  $0 < n \implies 0 < a \implies \exists! r. 0 < r \wedge r \wedge n = a$ 
for a :: real
  <proof>

```

104.2 Nth Root

We define roots of negative reals such that $\text{root } n \ (-x) = - \text{root } n \ x$. This allows us to omit side conditions from many theorems.

```

lemma inj-sgn-power:
  assumes  $0 < n$ 
  shows inj ( $\lambda y. \text{sgn } y * |y| \wedge n :: \text{real}$ )
  (is inj ?f)
  <proof>

```

```

lemma sgn-power-injE:
   $\text{sgn } a * |a| \wedge n = x \implies x = \text{sgn } b * |b| \wedge n \implies 0 < n \implies a = b$ 
for a b :: real

```

$\langle proof \rangle$

definition $root :: nat \Rightarrow real \Rightarrow real$

where $root\ n\ x = (if\ n = 0\ then\ 0\ else\ the_inv\ (\lambda y. sgn\ y * |y|^n)\ x)$

lemma $root-0$ [simp]: $root\ 0\ x = 0$

$\langle proof \rangle$

lemma $root-sgn-power$: $0 < n \implies root\ n\ (sgn\ y * |y|^n) = y$

$\langle proof \rangle$

lemma $sgn-power-root$:

assumes $0 < n$

shows $sgn\ (root\ n\ x) * |(root\ n\ x)|^n = x$

(is ?f $(root\ n\ x) = x$ **)**

$\langle proof \rangle$

lemma $split-root$: $P\ (root\ n\ x) \longleftrightarrow (n = 0 \longrightarrow P\ 0) \wedge (0 < n \longrightarrow (\forall y. sgn\ y * |y|^n = x \longrightarrow P\ y))$

$\langle proof \rangle$

lemma $real-root-zero$ [simp]: $root\ n\ 0 = 0$

$\langle proof \rangle$

lemma $real-root-minus$: $root\ n\ (-\ x) = -\ root\ n\ x$

$\langle proof \rangle$

lemma $real-root-less-mono$: $0 < n \implies x < y \implies root\ n\ x < root\ n\ y$

$\langle proof \rangle$

lemma $real-root-gt-zero$: $0 < n \implies 0 < x \implies 0 < root\ n\ x$

$\langle proof \rangle$

lemma $real-root-ge-zero$: $0 \leq x \implies 0 \leq root\ n\ x$

$\langle proof \rangle$

lemma $real-root-pow-pos$: $0 < n \implies 0 < x \implies root\ n\ x^n = x$

$\langle proof \rangle$

lemma $real-root-pow-pos2$ [simp]: $0 < n \implies 0 \leq x \implies root\ n\ x^n = x$

$\langle proof \rangle$

lemma $sgn-root$: $0 < n \implies sgn\ (root\ n\ x) = sgn\ x$

$\langle proof \rangle$

lemma $odd-real-root-pow$: $odd\ n \implies root\ n\ x^n = x$

$\langle proof \rangle$

lemma $real-root-power-cancel$: $0 < n \implies 0 \leq x \implies root\ n\ (x^n) = x$

$\langle \text{proof} \rangle$

lemma *odd-real-root-power-cancel*: $\text{odd } n \implies \text{root } n (x \wedge n) = x$
 $\langle \text{proof} \rangle$

lemma *real-root-pos-unique*: $0 < n \implies 0 \leq y \implies y \wedge n = x \implies \text{root } n x = y$
 $\langle \text{proof} \rangle$

lemma *odd-real-root-unique*: $\text{odd } n \implies y \wedge n = x \implies \text{root } n x = y$
 $\langle \text{proof} \rangle$

lemma *real-root-one* [simp]: $0 < n \implies \text{root } n 1 = 1$
 $\langle \text{proof} \rangle$

Root function is strictly monotonic, hence injective.

lemma *real-root-le-mono*: $0 < n \implies x \leq y \implies \text{root } n x \leq \text{root } n y$
 $\langle \text{proof} \rangle$

lemma *real-root-less-iff* [simp]: $0 < n \implies \text{root } n x < \text{root } n y \longleftrightarrow x < y$
 $\langle \text{proof} \rangle$

lemma *real-root-le-iff* [simp]: $0 < n \implies \text{root } n x \leq \text{root } n y \longleftrightarrow x \leq y$
 $\langle \text{proof} \rangle$

lemma *real-root-eq-iff* [simp]: $0 < n \implies \text{root } n x = \text{root } n y \longleftrightarrow x = y$
 $\langle \text{proof} \rangle$

lemmas *real-root-gt-0-iff* [simp] = *real-root-less-iff* [where $x=0$, simplified]

lemmas *real-root-lt-0-iff* [simp] = *real-root-less-iff* [where $y=0$, simplified]

lemmas *real-root-ge-0-iff* [simp] = *real-root-le-iff* [where $x=0$, simplified]

lemmas *real-root-le-0-iff* [simp] = *real-root-le-iff* [where $y=0$, simplified]

lemmas *real-root-eq-0-iff* [simp] = *real-root-eq-iff* [where $y=0$, simplified]

lemma *real-root-gt-1-iff* [simp]: $0 < n \implies 1 < \text{root } n y \longleftrightarrow 1 < y$
 $\langle \text{proof} \rangle$

lemma *real-root-lt-1-iff* [simp]: $0 < n \implies \text{root } n x < 1 \longleftrightarrow x < 1$
 $\langle \text{proof} \rangle$

lemma *real-root-ge-1-iff* [simp]: $0 < n \implies 1 \leq \text{root } n y \longleftrightarrow 1 \leq y$
 $\langle \text{proof} \rangle$

lemma *real-root-le-1-iff* [simp]: $0 < n \implies \text{root } n x \leq 1 \longleftrightarrow x \leq 1$
 $\langle \text{proof} \rangle$

lemma *real-root-eq-1-iff* [simp]: $0 < n \implies \text{root } n x = 1 \longleftrightarrow x = 1$
 $\langle \text{proof} \rangle$

Roots of multiplication and division.

lemma *real-root-mult*: $\text{root } n \ (x * y) = \text{root } n \ x * \text{root } n \ y$
 $\langle \text{proof} \rangle$

lemma *real-root-inverse*: $\text{root } n \ (\text{inverse } x) = \text{inverse } (\text{root } n \ x)$
 $\langle \text{proof} \rangle$

lemma *real-root-divide*: $\text{root } n \ (x / y) = \text{root } n \ x / \text{root } n \ y$
 $\langle \text{proof} \rangle$

lemma *real-root-abs*: $0 < n \implies \text{root } n \ |x| = |\text{root } n \ x|$
 $\langle \text{proof} \rangle$

lemma *real-root-power*: $0 < n \implies \text{root } n \ (x ^ k) = \text{root } n \ x ^ k$
 $\langle \text{proof} \rangle$

Roots of roots.

lemma *real-root-Suc-0* [simp]: $\text{root } (\text{Suc } 0) \ x = x$
 $\langle \text{proof} \rangle$

lemma *real-root-mult-exp*: $\text{root } (m * n) \ x = \text{root } m \ (\text{root } n \ x)$
 $\langle \text{proof} \rangle$

lemma *real-root-commute*: $\text{root } m \ (\text{root } n \ x) = \text{root } n \ (\text{root } m \ x)$
 $\langle \text{proof} \rangle$

Monotonicity in first argument.

lemma *real-root-strict-decreasing*:
assumes $0 < n \ n < N \ 1 < x$
shows $\text{root } N \ x < \text{root } n \ x$
 $\langle \text{proof} \rangle$

lemma *real-root-strict-increasing*:
assumes $0 < n \ n < N \ 0 < x \ x < 1$
shows $\text{root } n \ x < \text{root } N \ x$
 $\langle \text{proof} \rangle$

lemma *real-root-decreasing*: $0 < n \implies n < N \implies 1 \leq x \implies \text{root } N \ x \leq \text{root } n \ x$
 $\langle \text{proof} \rangle$

lemma *real-root-increasing*: $0 < n \implies n < N \implies 0 \leq x \implies x \leq 1 \implies \text{root } n \ x \leq \text{root } N \ x$
 $\langle \text{proof} \rangle$

Continuity and derivatives.

lemma *isCont-real-root*: $\text{isCont } (\text{root } n) \ x$
 $\langle \text{proof} \rangle$

lemma *tendsto-real-root* [tendsto-intros]:

$(f \longrightarrow x) F \implies ((\lambda x. \text{root } n (f x)) \longrightarrow \text{root } n x) F$
 $\langle \text{proof} \rangle$

lemma *continuous-real-root* [*continuous-intros*]:
 $\text{continuous } F f \implies \text{continuous } F (\lambda x. \text{root } n (f x))$
 $\langle \text{proof} \rangle$

lemma *continuous-on-real-root* [*continuous-intros*]:
 $\text{continuous-on } s f \implies \text{continuous-on } s (\lambda x. \text{root } n (f x))$
 $\langle \text{proof} \rangle$

lemma *DERIV-real-root*:
 assumes $n: 0 < n$
 and $x: 0 < x$
 shows $\text{DERIV } (\text{root } n) x :> \text{inverse } (\text{real } n * \text{root } n x ^ (n - \text{Suc } 0))$
 $\langle \text{proof} \rangle$

lemma *DERIV-odd-real-root*:
 assumes $n: \text{odd } n$
 and $x: x \neq 0$
 shows $\text{DERIV } (\text{root } n) x :> \text{inverse } (\text{real } n * \text{root } n x ^ (n - \text{Suc } 0))$
 $\langle \text{proof} \rangle$

lemma *DERIV-even-real-root*:
 assumes $n: 0 < n$
 and $\text{even } n$
 and $x: x < 0$
 shows $\text{DERIV } (\text{root } n) x :> \text{inverse } (- \text{real } n * \text{root } n x ^ (n - \text{Suc } 0))$
 $\langle \text{proof} \rangle$

lemma *DERIV-real-root-generic*:
 assumes $0 < n$
 and $x \neq 0$
 and $\text{even } n \implies 0 < x \implies D = \text{inverse } (\text{real } n * \text{root } n x ^ (n - \text{Suc } 0))$
 and $\text{even } n \implies x < 0 \implies D = - \text{inverse } (\text{real } n * \text{root } n x ^ (n - \text{Suc } 0))$
 and $\text{odd } n \implies D = \text{inverse } (\text{real } n * \text{root } n x ^ (n - \text{Suc } 0))$
 shows $\text{DERIV } (\text{root } n) x :> D$
 $\langle \text{proof} \rangle$

104.3 Square Root

definition $\text{sqrt} :: \text{real} \Rightarrow \text{real}$
 where $\text{sqrt} = \text{root } 2$

lemma *pos2*: $0 < (2::\text{nat})$
 $\langle \text{proof} \rangle$

lemma *real-sqrt-unique*: $y^2 = x \implies 0 \leq y \implies \text{sqrt } x = y$
 $\langle \text{proof} \rangle$

lemma *real-sqrt-abs* [simp]: $\text{sqrt } (x^2) = |x|$
 ⟨proof⟩

lemma *real-sqrt-pow2* [simp]: $0 \leq x \implies (\text{sqrt } x)^2 = x$
 ⟨proof⟩

lemma *real-sqrt-pow2-iff* [simp]: $(\text{sqrt } x)^2 = x \longleftrightarrow 0 \leq x$
 ⟨proof⟩

lemma *real-sqrt-zero* [simp]: $\text{sqrt } 0 = 0$
 ⟨proof⟩

lemma *real-sqrt-one* [simp]: $\text{sqrt } 1 = 1$
 ⟨proof⟩

lemma *real-sqrt-four* [simp]: $\text{sqrt } 4 = 2$
 ⟨proof⟩

lemma *real-sqrt-minus*: $\text{sqrt } (-x) = -\text{sqrt } x$
 ⟨proof⟩

lemma *real-sqrt-mult*: $\text{sqrt } (x * y) = \text{sqrt } x * \text{sqrt } y$
 ⟨proof⟩

lemma *real-sqrt-mult-self* [simp]: $\text{sqrt } a * \text{sqrt } a = |a|$
 ⟨proof⟩

lemma *real-sqrt-inverse*: $\text{sqrt } (\text{inverse } x) = \text{inverse } (\text{sqrt } x)$
 ⟨proof⟩

lemma *real-sqrt-divide*: $\text{sqrt } (x / y) = \text{sqrt } x / \text{sqrt } y$
 ⟨proof⟩

lemma *real-sqrt-power*: $\text{sqrt } (x ^ k) = \text{sqrt } x ^ k$
 ⟨proof⟩

lemma *real-sqrt-gt-zero*: $0 < x \implies 0 < \text{sqrt } x$
 ⟨proof⟩

lemma *real-sqrt-ge-zero*: $0 \leq x \implies 0 \leq \text{sqrt } x$
 ⟨proof⟩

lemma *real-sqrt-less-mono*: $x < y \implies \text{sqrt } x < \text{sqrt } y$
 ⟨proof⟩

lemma *real-sqrt-le-mono*: $x \leq y \implies \text{sqrt } x \leq \text{sqrt } y$
 ⟨proof⟩

lemma *real-sqrt-less-iff* [simp]: $\text{sqrt } x < \text{sqrt } y \longleftrightarrow x < y$
 ⟨proof⟩

lemma *real-sqrt-le-iff* [simp]: $\text{sqrt } x \leq \text{sqrt } y \longleftrightarrow x \leq y$
 ⟨proof⟩

lemma *real-sqrt-eq-iff* [simp]: $\text{sqrt } x = \text{sqrt } y \longleftrightarrow x = y$
 ⟨proof⟩

lemma *real-less-lsqrt*: $0 \leq x \implies 0 \leq y \implies x < y^2 \implies \text{sqrt } x < y$
 ⟨proof⟩

lemma *real-le-lsqrt*: $0 \leq x \implies 0 \leq y \implies x \leq y^2 \implies \text{sqrt } x \leq y$
 ⟨proof⟩

lemma *real-le-rsqrt*: $x^2 \leq y \implies x \leq \text{sqrt } y$
 ⟨proof⟩

lemma *real-less-rsqrt*: $x^2 < y \implies x < \text{sqrt } y$
 ⟨proof⟩

lemma *real-sqrt-power-even*:
 assumes *even* $n \geq 0$
 shows $\text{sqrt } x ^ n = x ^ (n \text{ div } 2)$
 ⟨proof⟩

lemma *sqrt-le-D*: $\text{sqrt } x \leq y \implies x \leq y^2$
 ⟨proof⟩

lemma *sqrt-even-pow2*:
 assumes *n*: *even* n
 shows $\text{sqrt } (2 ^ n) = 2 ^ (n \text{ div } 2)$
 ⟨proof⟩

lemmas *real-sqrt-gt-0-iff* [simp] = *real-sqrt-less-iff* [where $x=0$, unfolded *real-sqrt-zero*]
lemmas *real-sqrt-lt-0-iff* [simp] = *real-sqrt-less-iff* [where $y=0$, unfolded *real-sqrt-zero*]
lemmas *real-sqrt-ge-0-iff* [simp] = *real-sqrt-le-iff* [where $x=0$, unfolded *real-sqrt-zero*]
lemmas *real-sqrt-le-0-iff* [simp] = *real-sqrt-le-iff* [where $y=0$, unfolded *real-sqrt-zero*]
lemmas *real-sqrt-eq-0-iff* [simp] = *real-sqrt-eq-iff* [where $y=0$, unfolded *real-sqrt-zero*]

lemmas *real-sqrt-gt-1-iff* [simp] = *real-sqrt-less-iff* [where $x=1$, unfolded *real-sqrt-one*]
lemmas *real-sqrt-lt-1-iff* [simp] = *real-sqrt-less-iff* [where $y=1$, unfolded *real-sqrt-one*]
lemmas *real-sqrt-ge-1-iff* [simp] = *real-sqrt-le-iff* [where $x=1$, unfolded *real-sqrt-one*]
lemmas *real-sqrt-le-1-iff* [simp] = *real-sqrt-le-iff* [where $y=1$, unfolded *real-sqrt-one*]
lemmas *real-sqrt-eq-1-iff* [simp] = *real-sqrt-eq-iff* [where $y=1$, unfolded *real-sqrt-one*]

lemma *sqrt-add-le-add-sqrt*:
 assumes $0 \leq x \leq y$
 shows $\text{sqrt } (x + y) \leq \text{sqrt } x + \text{sqrt } y$

$\langle \text{proof} \rangle$

lemma *isCont-real-sqrt*: *isCont sqrt x*

$\langle \text{proof} \rangle$

lemma *tendsto-real-sqrt* [*tendsto-intros*]:

$(f \longrightarrow x) \ F \implies ((\lambda x. \text{sqrt } (f\ x)) \longrightarrow \text{sqrt } x) \ F$

$\langle \text{proof} \rangle$

lemma *continuous-real-sqrt* [*continuous-intros*]:

continuous F f \implies *continuous F* $(\lambda x. \text{sqrt } (f\ x))$

$\langle \text{proof} \rangle$

lemma *continuous-on-real-sqrt* [*continuous-intros*]:

continuous-on s f \implies *continuous-on s* $(\lambda x. \text{sqrt } (f\ x))$

$\langle \text{proof} \rangle$

lemma *DERIV-real-sqrt-generic*:

assumes $x \neq 0$

and $x > 0 \implies D = \text{inverse } (\text{sqrt } x) / 2$

and $x < 0 \implies D = - \text{inverse } (\text{sqrt } x) / 2$

shows *DERIV sqrt x* $:= D$

$\langle \text{proof} \rangle$

lemma *DERIV-real-sqrt*: $0 < x \implies \text{DERIV sqrt } x := \text{inverse } (\text{sqrt } x) / 2$

$\langle \text{proof} \rangle$

declare

DERIV-real-sqrt-generic[*THEN DERIV-chain2, derivative-intros*]

DERIV-real-root-generic[*THEN DERIV-chain2, derivative-intros*]

lemma *not-real-square-gt-zero* [*simp*]: $\neg 0 < x * x \longleftrightarrow x = 0$

for $x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *real-sqrt-abs2* [*simp*]: $\text{sqrt } (x * x) = |x|$

$\langle \text{proof} \rangle$

lemma *real-inv-sqrt-pow2*: $0 < x \implies (\text{inverse } (\text{sqrt } x))^2 = \text{inverse } x$

$\langle \text{proof} \rangle$

lemma *real-sqrt-eq-zero-cancel*: $0 \leq x \implies \text{sqrt } x = 0 \implies x = 0$

$\langle \text{proof} \rangle$

lemma *real-sqrt-ge-one*: $1 \leq x \implies 1 \leq \text{sqrt } x$

$\langle \text{proof} \rangle$

lemma *sqrt-divide-self-eq*:

assumes *nneg*: $0 \leq x$

shows $\text{sqrt } x / x = \text{inverse } (\text{sqrt } x)$
 $\langle \text{proof} \rangle$

lemma *real-div-sqrt*: $0 \leq x \implies x / \text{sqrt } x = \text{sqrt } x$
 $\langle \text{proof} \rangle$

lemma *real-divide-square-eq* [simp]: $(r * a) / (r * r) = a / r$
for $a r :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *lemma-real-divide-sqrt-less*: $0 < u \implies u / \text{sqrt } 2 < u$
 $\langle \text{proof} \rangle$

lemma *four-x-squared*: $4 * x^2 = (2 * x)^2$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *sqrt-at-top*: $\text{LIM } x \text{ at-top. } \text{sqrt } x :: \text{real} :> \text{at-top}$
 $\langle \text{proof} \rangle$

104.4 Square Root of Sum of Squares

lemma *sum-squares-bound*: $2 * x * y \leq x^2 + y^2$
for $x y :: 'a::\text{linordered-field}$
 $\langle \text{proof} \rangle$

lemma *arith-geo-mean*:
fixes $u :: 'a::\text{linordered-field}$
assumes $u^2 = x * y \ x \geq 0 \ y \geq 0$
shows $u \leq (x + y)/2$
 $\langle \text{proof} \rangle$

lemma *arith-geo-mean-sqrt*:
fixes $x :: \text{real}$
assumes $x \geq 0 \ y \geq 0$
shows $\text{sqrt } (x * y) \leq (x + y)/2$
 $\langle \text{proof} \rangle$

lemma *real-sqrt-sum-squares-mult-ge-zero* [simp]: $0 \leq \text{sqrt } ((x^2 + y^2) * (xa^2 + ya^2))$
 $\langle \text{proof} \rangle$

lemma *real-sqrt-sum-squares-mult-squared-eq* [simp]:
 $(\text{sqrt } ((x^2 + y^2) * (xa^2 + ya^2)))^2 = (x^2 + y^2) * (xa^2 + ya^2)$
 $\langle \text{proof} \rangle$

lemma *real-sqrt-sum-squares-eq-cancel*: $\text{sqrt } (x^2 + y^2) = x \implies y = 0$
 $\langle \text{proof} \rangle$

lemma *real-sqrt-sum-squares-eq-cancel2*: $\text{sqrt } (x^2 + y^2) = y \implies x = 0$
 ⟨proof⟩

lemma *real-sqrt-sum-squares-ge1* [simp]: $x \leq \text{sqrt } (x^2 + y^2)$
 ⟨proof⟩

lemma *real-sqrt-sum-squares-ge2* [simp]: $y \leq \text{sqrt } (x^2 + y^2)$
 ⟨proof⟩

lemma *real-sqrt-ge-abs1* [simp]: $|x| \leq \text{sqrt } (x^2 + y^2)$
 ⟨proof⟩

lemma *real-sqrt-ge-abs2* [simp]: $|y| \leq \text{sqrt } (x^2 + y^2)$
 ⟨proof⟩

lemma *le-real-sqrt-sumsq* [simp]: $x \leq \text{sqrt } (x * x + y * y)$
 ⟨proof⟩

lemma *real-sqrt-sum-squares-triangle-ineq*:
 $\text{sqrt } ((a + c)^2 + (b + d)^2) \leq \text{sqrt } (a^2 + b^2) + \text{sqrt } (c^2 + d^2)$
 ⟨proof⟩

lemma *real-sqrt-sum-squares-less*: $|x| < u / \text{sqrt } 2 \implies |y| < u / \text{sqrt } 2 \implies \text{sqrt } (x^2 + y^2) < u$
 ⟨proof⟩

lemma *sqrt2-less-2*: $\text{sqrt } 2 < (2::\text{real})$
 ⟨proof⟩

lemma *sqrt-sum-squares-half-less*:
 $x < u/2 \implies y < u/2 \implies 0 \leq x \implies 0 \leq y \implies \text{sqrt } (x^2 + y^2) < u$
 ⟨proof⟩

lemma *LIMSEQ-root*: $(\lambda n. \text{root } n \ n) \longrightarrow 1$
 ⟨proof⟩

lemma *LIMSEQ-root-const*:
 assumes $0 < c$
 shows $(\lambda n. \text{root } n \ c) \longrightarrow 1$
 ⟨proof⟩

Legacy theorem names:

lemmas *real-root-pos2* = *real-root-power-cancel*
lemmas *real-root-pos-pos* = *real-root-gt-zero* [THEN *order-less-imp-le*]
lemmas *real-root-pos-pos-le* = *real-root-ge-zero*
lemmas *real-sqrt-mult-distrib* = *real-sqrt-mult*
lemmas *real-sqrt-mult-distrib2* = *real-sqrt-mult*
lemmas *real-sqrt-eq-zero-cancel-iff* = *real-sqrt-eq-0-iff*

end

105 Power Series, Transcendental Functions etc.

theory *Transcendental*
imports *Series Deriv NthRoot*
begin

A fact theorem on reals.

lemma *square-fact-le-2-fact*: $\text{fact } n * \text{fact } n \leq (\text{fact } (2 * n) :: \text{real})$
 $\langle \text{proof} \rangle$

lemma *fact-in-Reals*: $\text{fact } n \in \mathbb{R}$
 $\langle \text{proof} \rangle$

lemma *of-real-fact [simp]*: $\text{of-real } (\text{fact } n) = \text{fact } n$
 $\langle \text{proof} \rangle$

lemma *pochhammer-of-real*: $\text{pochhammer } (\text{of-real } x) \ n = \text{of-real } (\text{pochhammer } x \ n)$
 $\langle \text{proof} \rangle$

lemma *norm-fact [simp]*: $\text{norm } (\text{fact } n :: 'a::\text{real-normed-algebra-1}) = \text{fact } n$
 $\langle \text{proof} \rangle$

lemma *root-test-convergence*:
fixes $f :: \text{nat} \Rightarrow 'a::\text{banach}$
assumes $f: (\lambda n. \text{root } n \ (\text{norm } (f \ n))) \longrightarrow x$ — could be weakened to \limsup
and $x < 1$
shows *summable* f
 $\langle \text{proof} \rangle$

105.1 More facts about binomial coefficients

These facts could have been proven before, but having real numbers makes the proofs a lot easier.

lemma *central-binomial-odd*:
 $\text{odd } n \implies n \text{ choose } (\text{Suc } (n \text{ div } 2)) = n \text{ choose } (n \text{ div } 2)$
 $\langle \text{proof} \rangle$

lemma *binomial-less-binomial-Suc*:
assumes $k: k < n \text{ div } 2$
shows $n \text{ choose } k < n \text{ choose } (\text{Suc } k)$
 $\langle \text{proof} \rangle$

lemma *binomial-strict-mono*:
assumes $k < k' \ 2 * k' \leq n$

shows $n \text{ choose } k < n \text{ choose } k'$
 $\langle \text{proof} \rangle$

lemma *binomial-mono*:
assumes $k \leq k' \ 2 * k' \leq n$
shows $n \text{ choose } k \leq n \text{ choose } k'$
 $\langle \text{proof} \rangle$

lemma *binomial-strict-antimono*:
assumes $k < k' \ 2 * k \geq n \ k' \leq n$
shows $n \text{ choose } k > n \text{ choose } k'$
 $\langle \text{proof} \rangle$

lemma *binomial-antimono*:
assumes $k \leq k' \ k \geq n \ \text{div } 2 \ k' \leq n$
shows $n \text{ choose } k \geq n \text{ choose } k'$
 $\langle \text{proof} \rangle$

lemma *binomial-maximum*: $n \text{ choose } k \leq n \text{ choose } (n \ \text{div } 2)$
 $\langle \text{proof} \rangle$

lemma *binomial-maximum'*: $(2 * n) \text{ choose } k \leq (2 * n) \text{ choose } n$
 $\langle \text{proof} \rangle$

lemma *central-binomial-lower-bound*:
assumes $n > 0$
shows $4^n / (2 * \text{real } n) \leq \text{real } ((2 * n) \text{ choose } n)$
 $\langle \text{proof} \rangle$

105.2 Properties of Power Series

lemma *powser-zero* [simp]: $(\sum n. f \ n * 0^n) = f \ 0$
for $f :: \text{nat} \Rightarrow 'a :: \text{real-normed-algebra-1}$
 $\langle \text{proof} \rangle$

lemma *powser-sums-zero*: $(\lambda n. a \ n * 0^n) \text{ sums } a \ 0$
for $a :: \text{nat} \Rightarrow 'a :: \text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

lemma *powser-sums-zero-iff* [simp]: $(\lambda n. a \ n * 0^n) \text{ sums } x \longleftrightarrow a \ 0 = x$
for $a :: \text{nat} \Rightarrow 'a :: \text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

Power series has a circle or radius of convergence: if it sums for x , then it sums absolutely for z with $|z| < |x|$.

lemma *powser-insidea*:
fixes $x \ z :: 'a :: \text{real-normed-div-algebra}$
assumes 1 : *summable* $(\lambda n. f \ n * x^n)$
and 2 : $\text{norm } z < \text{norm } x$

shows *summable* ($\lambda n. \text{norm } (f\ n * z^{\wedge} n)$)
 $\langle \text{proof} \rangle$

lemma *powser-inside*:

fixes $f :: \text{nat} \Rightarrow 'a::\{\text{real-normed-div-algebra}, \text{banach}\}$
shows
 $\text{summable } (\lambda n. f\ n * (x^{\wedge} n)) \implies \text{norm } z < \text{norm } x \implies$
 $\text{summable } (\lambda n. f\ n * (z^{\wedge} n))$
 $\langle \text{proof} \rangle$

lemma *powser-times-n-limit-0*:

fixes $x :: 'a::\{\text{real-normed-div-algebra}, \text{banach}\}$
assumes $\text{norm } x < 1$
shows $(\lambda n. \text{of-nat } n * x^{\wedge} n) \longrightarrow 0$
 $\langle \text{proof} \rangle$

corollary *lim-n-over-pown*:

fixes $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
shows $1 < \text{norm } x \implies ((\lambda n. \text{of-nat } n / x^{\wedge} n) \longrightarrow 0) \text{ sequentially}$
 $\langle \text{proof} \rangle$

lemma *sum-split-even-odd*:

fixes $f :: \text{nat} \Rightarrow \text{real}$
shows $(\sum i < 2 * n. \text{if even } i \text{ then } f\ i \text{ else } g\ i) = (\sum i < n. f\ (2 * i)) + (\sum i < n. g\ (2 * i + 1))$
 $\langle \text{proof} \rangle$

lemma *sums-if'*:

fixes $g :: \text{nat} \Rightarrow \text{real}$
assumes $g \text{ sums } x$
shows $(\lambda n. \text{if even } n \text{ then } 0 \text{ else } g\ ((n - 1) \text{ div } 2)) \text{ sums } x$
 $\langle \text{proof} \rangle$

lemma *sums-if*:

fixes $g :: \text{nat} \Rightarrow \text{real}$
assumes $g \text{ sums } x \text{ and } f \text{ sums } y$
shows $(\lambda n. \text{if even } n \text{ then } f\ (n \text{ div } 2) \text{ else } g\ ((n - 1) \text{ div } 2)) \text{ sums } (x + y)$
 $\langle \text{proof} \rangle$

105.3 Alternating series test / Leibniz formula

lemma *sums-alternating-upper-lower*:

fixes $a :: \text{nat} \Rightarrow \text{real}$
assumes $\text{mono}: \bigwedge n. a\ (\text{Suc } n) \leq a\ n$
and $a\text{-pos}: \bigwedge n. 0 \leq a\ n$
and $a \longrightarrow 0$
shows $\exists l. ((\forall n. (\sum i < 2*n. (-1)^{i*a\ i} \leq l) \wedge (\lambda n. \sum i < 2*n. (-1)^{i*a\ i} \longrightarrow l) \wedge$
 $(\forall n. l \leq (\sum i < 2*n + 1. (-1)^{i*a\ i})) \wedge (\lambda n. \sum i < 2*n + 1. (-1)^{i*a\ i} \longrightarrow l))$

1) $\wedge i * a \ i) \longrightarrow l)$
 (is $\exists l. ((\forall n. ?f \ n \leq l) \wedge -) \wedge ((\forall n. l \leq ?g \ n) \wedge -))$)
 $\langle proof \rangle$

lemma *summable-Leibniz'*:
 fixes $a :: nat \Rightarrow real$
 assumes $a\text{-zero}: a \longrightarrow 0$
 and $a\text{-pos}: \bigwedge n. 0 \leq a \ n$
 and $a\text{-monotone}: \bigwedge n. a \ (Suc \ n) \leq a \ n$
 shows *summable*: *summable* $(\lambda \ n. (-1)^\wedge n * a \ n)$
 and $\bigwedge n. (\sum_{i < 2*n} (-1)^\wedge i * a \ i) \leq (\sum i. (-1)^\wedge i * a \ i)$
 and $(\lambda n. \sum_{i < 2*n} (-1)^\wedge i * a \ i) \longrightarrow (\sum i. (-1)^\wedge i * a \ i)$
 and $\bigwedge n. (\sum i. (-1)^\wedge i * a \ i) \leq (\sum_{i < 2*n+1} (-1)^\wedge i * a \ i)$
 and $(\lambda n. \sum_{i < 2*n+1} (-1)^\wedge i * a \ i) \longrightarrow (\sum i. (-1)^\wedge i * a \ i)$
 $\langle proof \rangle$

theorem *summable-Leibniz*:
 fixes $a :: nat \Rightarrow real$
 assumes $a\text{-zero}: a \longrightarrow 0$
 and *monoseq* a
 shows *summable* $(\lambda \ n. (-1)^\wedge n * a \ n)$ (is *?summable*)
 and $0 < a \ 0 \longrightarrow$
 $(\forall n. (\sum i. (-1)^\wedge i * a \ i) \in \{ \sum_{i < 2*n} (-1)^\wedge i * a \ i .. \sum_{i < 2*n+1} (-1)^\wedge i * a \ i \})$ (is *?pos*)
 and $a \ 0 < 0 \longrightarrow$
 $(\forall n. (\sum i. (-1)^\wedge i * a \ i) \in \{ \sum_{i < 2*n+1} (-1)^\wedge i * a \ i .. \sum_{i < 2*n} (-1)^\wedge i * a \ i \})$ (is *?neg*)
 and $(\lambda n. \sum_{i < 2*n} (-1)^\wedge i * a \ i) \longrightarrow (\sum i. (-1)^\wedge i * a \ i)$ (is *?f*)
 and $(\lambda n. \sum_{i < 2*n+1} (-1)^\wedge i * a \ i) \longrightarrow (\sum i. (-1)^\wedge i * a \ i)$ (is *?g*)
 $\langle proof \rangle$

105.4 Term-by-Term Differentiability of Power Series

definition *diffs* :: $(nat \Rightarrow 'a :: ring-1) \Rightarrow nat \Rightarrow 'a$
 where *diffs* $c = (\lambda n. of\text{-nat} \ (Suc \ n) * c \ (Suc \ n))$

Lemma about distributing negation over it.

lemma *diffs-minus*: $diffs \ (\lambda n. - \ c \ n) = (\lambda n. - \ diffs \ c \ n)$
 $\langle proof \rangle$

lemma *diffs-equiv*:
 fixes $x :: 'a :: \{real\text{-normed-vector}, ring-1\}$
 shows *summable* $(\lambda n. diffs \ c \ n * x^\wedge n) \implies$
 $(\lambda n. of\text{-nat} \ n * c \ n * x^\wedge (n - Suc \ 0)) \ sums \ (\sum n. diffs \ c \ n * x^\wedge n)$
 $\langle proof \rangle$

lemma *lemma-termdiff1*:
 fixes $z :: 'a :: \{monoid\text{-mult}, comm\text{-ring}\}$
 shows $(\sum p < m. (((z + h)^\wedge (m - p)) * (z^\wedge p)) - (z^\wedge m)) =$

$(\sum p < m. (z \wedge p) * (((z + h) \wedge (m - p)) - (z \wedge (m - p))))$
 <proof>

lemma *sumr-diff-mult-const2*: $\text{sum } f \{..<n\} - \text{of-nat } n * r = (\sum i < n. f \ i - r)$
for $r :: 'a::\text{ring-1}$
 <proof>

lemma *lemma-realpow-rev-sumr*:
 $(\sum p < \text{Suc } n. (x \wedge p) * (y \wedge (n - p))) = (\sum p < \text{Suc } n. (x \wedge (n - p)) * (y \wedge p))$
 <proof>

lemma *lemma-termdiff2*:
fixes $h :: 'a::\text{field}$
assumes $h: h \neq 0$
shows $((z + h) \wedge n - z \wedge n) / h - \text{of-nat } n * z \wedge (n - \text{Suc } 0) =$
 $h * (\sum p < n - \text{Suc } 0. \sum q < n - \text{Suc } 0 - p. (z + h) \wedge q * z \wedge (n - 2 - q))$
(is ?lhs = ?rhs)
 <proof>

lemma *real-sum-nat-ivl-bounded2*:
fixes $K :: 'a::\text{linordered-semidom}$
assumes $f: \bigwedge p::\text{nat}. p < n \implies f \ p \leq K$
and $K: 0 \leq K$
shows $\text{sum } f \{..<n-k\} \leq \text{of-nat } n * K$
 <proof>

lemma *lemma-termdiff3*:
fixes $h \ z :: 'a::\text{real-normed-field}$
assumes $1: h \neq 0$
and $2: \text{norm } z \leq K$
and $3: \text{norm } (z + h) \leq K$
shows $\text{norm } (((z + h) \wedge n - z \wedge n) / h - \text{of-nat } n * z \wedge (n - \text{Suc } 0)) \leq$
 $\text{of-nat } n * \text{of-nat } (n - \text{Suc } 0) * K \wedge (n - 2) * \text{norm } h$
 <proof>

lemma *lemma-termdiff4*:
fixes $f :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-vector}$
and $k :: \text{real}$
assumes $k: 0 < k$
and $le: \bigwedge h. h \neq 0 \implies \text{norm } h < k \implies \text{norm } (f \ h) \leq K * \text{norm } h$
shows $f \ -0 \rightarrow 0$
 <proof>

lemma *lemma-termdiff5*:
fixes $g :: 'a::\text{real-normed-vector} \Rightarrow \text{nat} \Rightarrow 'b::\text{banach}$
and $k :: \text{real}$
assumes $k: 0 < k$
and $f: \text{summable } f$
and $le: \bigwedge h \ n. h \neq 0 \implies \text{norm } h < k \implies \text{norm } (g \ h \ n) \leq f \ n * \text{norm } h$

shows $(\lambda h. \text{suminf } (g \ h)) - 0 \rightarrow 0$
 $\langle \text{proof} \rangle$

lemma *termdiffs-aux*:

fixes $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes 1: $\text{summable } (\lambda n. \text{diffs } (\text{diffs } c) \ n * K ^ n)$
and 2: $\text{norm } x < \text{norm } K$
shows $(\lambda h. \sum n. c \ n * (((x + h) ^ n - x ^ n) / h - \text{of-nat } n * x ^ (n - \text{Suc } 0))) - 0 \rightarrow 0$
 $\langle \text{proof} \rangle$

lemma *termdiffs*:

fixes $K \ x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes 1: $\text{summable } (\lambda n. c \ n * K ^ n)$
and 2: $\text{summable } (\lambda n. (\text{diffs } c) \ n * K ^ n)$
and 3: $\text{summable } (\lambda n. (\text{diffs } (\text{diffs } c)) \ n * K ^ n)$
and 4: $\text{norm } x < \text{norm } K$
shows $\text{DERIV } (\lambda x. \sum n. c \ n * x ^ n) \ x :> (\sum n. (\text{diffs } c) \ n * x ^ n)$
 $\langle \text{proof} \rangle$

105.5 The Derivative of a Power Series Has the Same Radius of Convergence

lemma *termdiff-converges*:

fixes $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $K: \text{norm } x < K$
and $sm: \bigwedge x. \text{norm } x < K \implies \text{summable } (\lambda n. c \ n * x ^ n)$
shows $\text{summable } (\lambda n. \text{diffs } c \ n * x ^ n)$
 $\langle \text{proof} \rangle$

lemma *termdiff-converges-all*:

fixes $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $\bigwedge x. \text{summable } (\lambda n. c \ n * x ^ n)$
shows $\text{summable } (\lambda n. \text{diffs } c \ n * x ^ n)$
 $\langle \text{proof} \rangle$

lemma *termdiffs-strong*:

fixes $K \ x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $sm: \text{summable } (\lambda n. c \ n * K ^ n)$
and $K: \text{norm } x < \text{norm } K$
shows $\text{DERIV } (\lambda x. \sum n. c \ n * x ^ n) \ x :> (\sum n. \text{diffs } c \ n * x ^ n)$
 $\langle \text{proof} \rangle$

lemma *termdiffs-strong-converges-everywhere*:

fixes $K \ x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $\bigwedge y. \text{summable } (\lambda n. c \ n * y ^ n)$

shows $((\lambda x. \sum n. c\ n * x^n) \text{ has-field-derivative } (\sum n. \text{diffs } c\ n * x^n)) \text{ (at } x)$
 $\langle \text{proof} \rangle$

lemma *termdiffs-strong'*:

fixes $z :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $\bigwedge z. \text{norm } z < K \implies \text{summable } (\lambda n. c\ n * z^n)$
assumes $\text{norm } z < K$
shows $((\lambda z. \sum n. c\ n * z^n) \text{ has-field-derivative } (\sum n. \text{diffs } c\ n * z^n)) \text{ (at } z)$
 $\langle \text{proof} \rangle$

lemma *termdiffs-sums-strong*:

fixes $z :: 'a :: \{\text{banach}, \text{real-normed-field}\}$
assumes $\text{sums}: \bigwedge z. \text{norm } z < K \implies (\lambda n. c\ n * z^n) \text{ sums } f\ z$
assumes $\text{deriv}: (f \text{ has-field-derivative } f') \text{ (at } z)$
assumes $\text{norm}: \text{norm } z < K$
shows $(\lambda n. \text{diffs } c\ n * z^n) \text{ sums } f'$
 $\langle \text{proof} \rangle$

lemma *isCont-powser*:

fixes $K\ x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $\text{summable } (\lambda n. c\ n * K^n)$
assumes $\text{norm } x < \text{norm } K$
shows $\text{isCont } (\lambda x. \sum n. c\ n * x^n)\ x$
 $\langle \text{proof} \rangle$

lemmas $\text{isCont-powser}' = \text{isCont-o2}[OF - \text{isCont-powser}]$

lemma *isCont-powser-converges-everywhere*:

fixes $K\ x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $\bigwedge y. \text{summable } (\lambda n. c\ n * y^n)$
shows $\text{isCont } (\lambda x. \sum n. c\ n * x^n)\ x$
 $\langle \text{proof} \rangle$

lemma *powser-limit-0*:

fixes $a :: \text{nat} \Rightarrow 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $s: 0 < s$
and $sm: \bigwedge x. \text{norm } x < s \implies (\lambda n. a\ n * x^n) \text{ sums } (f\ x)$
shows $(f \longrightarrow a\ 0) \text{ (at } 0)$
 $\langle \text{proof} \rangle$

lemma *powser-limit-0-strong*:

fixes $a :: \text{nat} \Rightarrow 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $s: 0 < s$
and $sm: \bigwedge x. x \neq 0 \implies \text{norm } x < s \implies (\lambda n. a\ n * x^n) \text{ sums } (f\ x)$
shows $(f \longrightarrow a\ 0) \text{ (at } 0)$
 $\langle \text{proof} \rangle$

105.6 Derivability of power series

lemma *DERIV-series'*:

fixes $f :: \text{real} \Rightarrow \text{nat} \Rightarrow \text{real}$
assumes *DERIV-f*: $\bigwedge n. \text{DERIV } (\lambda x. f\ x\ n)\ x0 :> (f'\ x0\ n)$
and *allf-summable*: $\bigwedge x. x \in \{a <..< b\} \implies \text{summable } (f\ x)$
and *x0-in-I*: $x0 \in \{a <..< b\}$
and *summable (f' x0)*
and *summable L*
and *L-def*: $\bigwedge n\ x\ y. x \in \{a <..< b\} \implies y \in \{a <..< b\} \implies |f\ x\ n - f\ y\ n| \leq L\ n * |x - y|$
shows *DERIV* $(\lambda x. \text{suminf } (f\ x))\ x0 :> (\text{suminf } (f'\ x0))$
 $\langle \text{proof} \rangle$

lemma *DERIV-power-series'*:

fixes $f :: \text{nat} \Rightarrow \text{real}$
assumes *converges*: $\bigwedge x. x \in \{-R <..< R\} \implies \text{summable } (\lambda n. f\ n * \text{real } (\text{Suc } n) * x^n)$
and *x0-in-I*: $x0 \in \{-R <..< R\}$
and $0 < R$
shows *DERIV* $(\lambda x. (\sum n. f\ n * x^{(\text{Suc } n)}))\ x0 :> (\sum n. f\ n * \text{real } (\text{Suc } n) * x0^n)$
(is *DERIV* $(\lambda x. \text{suminf } (?f\ x))\ x0 :> \text{suminf } (?f'\ x0))$
 $\langle \text{proof} \rangle$

lemma *geometric-deriv-sums*:

fixes $z :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes *norm z < 1*
shows $(\lambda n. \text{of-nat } (\text{Suc } n) * z^n)\ \text{sums } (1 / (1 - z)^2)$
 $\langle \text{proof} \rangle$

lemma *isCont-pochhammer* [*continuous-intros*]: *isCont* $(\lambda z. \text{pochhammer } z\ n)\ z$

for $z :: 'a :: \text{real-normed-field}$
 $\langle \text{proof} \rangle$

lemma *continuous-on-pochhammer* [*continuous-intros*]: *continuous-on* $A\ (\lambda z. \text{pochhammer } z\ n)$

for $A :: 'a :: \text{real-normed-field set}$
 $\langle \text{proof} \rangle$

lemmas *continuous-on-pochhammer'* [*continuous-intros*] =
continuous-on-compose2[*OF continuous-on-pochhammer - subset-UNIV*]

105.7 Exponential Function

definition *exp* $:: 'a \Rightarrow 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
where $\text{exp} = (\lambda x. \sum n. x^n / \text{fact } n)$

lemma *summable-exp-generic*:

fixes $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$

defines *S-def*: $S \equiv \lambda n. x^{\wedge} n /_R \text{fact } n$
shows *summable S*
 ⟨*proof*⟩

lemma *summable-norm-exp*: *summable* $(\lambda n. \text{norm } (x^{\wedge} n /_R \text{fact } n))$
for $x :: 'a::\{\text{real-normed-algebra-1}, \text{banach}\}$
 ⟨*proof*⟩

lemma *summable-exp*: *summable* $(\lambda n. \text{inverse } (\text{fact } n) * x^{\wedge} n)$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 ⟨*proof*⟩

lemma *exp-converges*: $(\lambda n. x^{\wedge} n /_R \text{fact } n) \text{ sums exp } x$
 ⟨*proof*⟩

lemma *exp-diffs*:
 $\text{diffs } (\lambda n. \text{inverse } (\text{fact } n)) = (\lambda n. \text{inverse } (\text{fact } n :: 'a::\{\text{real-normed-field}, \text{banach}\}))$
 ⟨*proof*⟩

lemma *diffs-of-real*: $\text{diffs } (\lambda n. \text{of-real } (f \ n)) = (\lambda n. \text{of-real } (\text{diffs } f \ n))$
 ⟨*proof*⟩

lemma *DERIV-exp [simp]*: $\text{DERIV exp } x :> \text{exp } x$
 ⟨*proof*⟩

declare *DERIV-exp* [*THEN DERIV-chain2, derivative-intros*]
and *DERIV-exp* [*THEN DERIV-chain2, unfolded has-field-derivative-def, derivative-intros*]

lemma *norm-exp*: $\text{norm } (\text{exp } x) \leq \text{exp } (\text{norm } x)$
 ⟨*proof*⟩

lemma *isCont-exp*: *isCont* $\text{exp } x$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 ⟨*proof*⟩

lemma *isCont-exp' [simp]*: $\text{isCont } f \ a \implies \text{isCont } (\lambda x. \text{exp } (f \ x)) \ a$
for $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$
 ⟨*proof*⟩

lemma *tendsto-exp [tendsto-intros]*: $(f \longrightarrow a) \ F \implies ((\lambda x. \text{exp } (f \ x)) \longrightarrow \text{exp } a) \ F$
for $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$
 ⟨*proof*⟩

lemma *continuous-exp [continuous-intros]*: *continuous* $F \ f \implies \text{continuous } F \ (\lambda x. \text{exp } (f \ x))$
for $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$
 ⟨*proof*⟩

lemma *continuous-on-exp* [*continuous-intros*]: *continuous-on* $s\ f \implies \text{continuous-on } s\ (\lambda x. \text{exp } (f\ x))$
for $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

105.7.1 Properties of the Exponential Function

lemma *exp-zero* [*simp*]: $\text{exp } 0 = 1$
 $\langle \text{proof} \rangle$

lemma *exp-series-add-commuting*:
fixes $x\ y :: 'a::\{\text{real-normed-algebra-1}, \text{banach}\}$
defines $S\text{-def}$: $S \equiv \lambda x\ n. x^n /_R \text{fact } n$
assumes comm : $x * y = y * x$
shows $S\ (x + y)\ n = (\sum_{i \leq n} S\ x\ i * S\ y\ (n - i))$
 $\langle \text{proof} \rangle$

lemma *exp-add-commuting*: $x * y = y * x \implies \text{exp } (x + y) = \text{exp } x * \text{exp } y$
 $\langle \text{proof} \rangle$

lemma *exp-times-arg-commute*: $\text{exp } A * A = A * \text{exp } A$
 $\langle \text{proof} \rangle$

lemma *exp-add*: $\text{exp } (x + y) = \text{exp } x * \text{exp } y$
for $x\ y :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *exp-double*: $\text{exp}(2 * z) = \text{exp } z ^ 2$
 $\langle \text{proof} \rangle$

lemmas *mult-exp-exp* = *exp-add* [*symmetric*]

lemma *exp-of-real*: $\text{exp } (\text{of-real } x) = \text{of-real } (\text{exp } x)$
 $\langle \text{proof} \rangle$

lemmas *of-real-exp* = *exp-of-real*[*symmetric*]

corollary *exp-in-Reals* [*simp*]: $z \in \mathbb{R} \implies \text{exp } z \in \mathbb{R}$
 $\langle \text{proof} \rangle$

lemma *exp-not-eq-zero* [*simp*]: $\text{exp } x \neq 0$
 $\langle \text{proof} \rangle$

lemma *exp-minus-inverse*: $\text{exp } x * \text{exp } (-\ x) = 1$
 $\langle \text{proof} \rangle$

lemma *exp-minus*: $\text{exp } (-\ x) = \text{inverse } (\text{exp } x)$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *exp-diff*: $\exp (x - y) = \exp x / \exp y$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *exp-of-nat-mult*: $\exp (\text{of-nat } n * x) = \exp x ^ n$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

corollary *exp-of-nat2-mult*: $\exp (x * \text{of-nat } n) = \exp x ^ n$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *exp-sum*: $\text{finite } I \implies \exp (\text{sum } f \ I) = \text{prod } (\lambda x. \exp (f \ x)) \ I$
 $\langle \text{proof} \rangle$

lemma *exp-divide-power-eq*:
fixes $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
assumes $n > 0$
shows $\exp (x / \text{of-nat } n) ^ n = \exp x$
 $\langle \text{proof} \rangle$

105.7.2 Properties of the Exponential Function on Reals

Comparisons of $\exp x$ with zero.

Proof: because every exponential can be seen as a square.

lemma *exp-ge-zero* [*simp*]: $0 \leq \exp x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *exp-gt-zero* [*simp*]: $0 < \exp x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *not-exp-less-zero* [*simp*]: $\neg \exp x < 0$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *not-exp-le-zero* [*simp*]: $\neg \exp x \leq 0$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *abs-exp-cancel* [*simp*]: $|\exp x| = \exp x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

Strict monotonicity of exponential.

lemma *exp-ge-add-one-self-aux*:

```

fixes  $x :: \text{real}$ 
assumes  $0 \leq x$ 
shows  $1 + x \leq \exp x$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-gt-one:  $0 < x \implies 1 < \exp x$ 
for  $x :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-less-mono:
fixes  $x\ y :: \text{real}$ 
assumes  $x < y$ 
shows  $\exp x < \exp y$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-less-cancel:  $\exp x < \exp y \implies x < y$ 
for  $x\ y :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-less-cancel-iff [iff]:  $\exp x < \exp y \longleftrightarrow x < y$ 
for  $x\ y :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-le-cancel-iff [iff]:  $\exp x \leq \exp y \longleftrightarrow x \leq y$ 
for  $x\ y :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-inj-iff [iff]:  $\exp x = \exp y \longleftrightarrow x = y$ 
for  $x\ y :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

Comparisons of $\exp x$ with one.

```

lemma one-less-exp-iff [simp]:  $1 < \exp x \longleftrightarrow 0 < x$ 
for  $x :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-less-one-iff [simp]:  $\exp x < 1 \longleftrightarrow x < 0$ 
for  $x :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma one-le-exp-iff [simp]:  $1 \leq \exp x \longleftrightarrow 0 \leq x$ 
for  $x :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-le-one-iff [simp]:  $\exp x \leq 1 \longleftrightarrow x \leq 0$ 
for  $x :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma exp-eq-one-iff [simp]:  $\exp x = 1 \longleftrightarrow x = 0$ 

```


for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *lemma-exp-total*: $1 \leq y \implies \exists x. 0 \leq x \wedge x \leq y - 1 \wedge \exp x = y$
for $y :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *exp-total*: $0 < y \implies \exists x. \exp x = y$
for $y :: \text{real}$
 $\langle \text{proof} \rangle$

105.8 Natural Logarithm

class *ln* = *real-normed-algebra-1* + *banach* +
fixes $\ln :: 'a \Rightarrow 'a$
assumes *ln-one* [*simp*]: $\ln 1 = 0$

definition *powr* :: $'a \Rightarrow 'a \Rightarrow 'a::\ln$ (**infixr** *powr* 80)
— exponentiation via \ln and \exp
where [*code del*]: $x \text{ powr } a \equiv \text{if } x = 0 \text{ then } 0 \text{ else } \exp (a * \ln x)$

lemma *powr-0* [*simp*]: $0 \text{ powr } z = 0$
 $\langle \text{proof} \rangle$

instantiation *real* :: *ln*
begin

definition *ln-real* :: $\text{real} \Rightarrow \text{real}$
where *ln-real* $x = (\text{THE } u. \exp u = x)$

instance
 $\langle \text{proof} \rangle$

end

lemma *powr-eq-0-iff* [*simp*]: $w \text{ powr } z = 0 \longleftrightarrow w = 0$
 $\langle \text{proof} \rangle$

lemma *ln-exp* [*simp*]: $\ln (\exp x) = x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *exp-ln* [*simp*]: $0 < x \implies \exp (\ln x) = x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *exp-ln-iff* [*simp*]: $\exp (\ln x) = x \longleftrightarrow 0 < x$
for $x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *ln-unique*: $\exp y = x \implies \ln x = y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-mult*: $0 < x \implies 0 < y \implies \ln (x * y) = \ln x + \ln y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-prod*: $\text{finite } I \implies (\bigwedge i. i \in I \implies f\ i > 0) \implies \ln (\text{prod } f\ I) = \text{sum } (\lambda x. \ln(f\ x))\ I$
for $f :: 'a \Rightarrow \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-inverse*: $0 < x \implies \ln (\text{inverse } x) = - \ln x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-div*: $0 < x \implies 0 < y \implies \ln (x / y) = \ln x - \ln y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-realpow*: $0 < x \implies \ln (x^n) = \text{real } n * \ln x$
 $\langle \text{proof} \rangle$

lemma *ln-less-cancel-iff* [*simp*]: $0 < x \implies 0 < y \implies \ln x < \ln y \longleftrightarrow x < y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-le-cancel-iff* [*simp*]: $0 < x \implies 0 < y \implies \ln x \leq \ln y \longleftrightarrow x \leq y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-inj-iff* [*simp*]: $0 < x \implies 0 < y \implies \ln x = \ln y \longleftrightarrow x = y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-add-one-self-le-self*: $0 \leq x \implies \ln (1 + x) \leq x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-less-self* [*simp*]: $0 < x \implies \ln x < x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-ge-iff*: $\bigwedge x::\text{real}. 0 < x \implies y \leq \ln x \longleftrightarrow \exp y \leq x$
 $\langle \text{proof} \rangle$

lemma *ln-ge-zero* [simp]: $1 \leq x \implies 0 \leq \ln x$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-ge-zero-imp-ge-one*: $0 \leq \ln x \implies 0 < x \implies 1 \leq x$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-ge-zero-iff* [simp]: $0 < x \implies 0 \leq \ln x \longleftrightarrow 1 \leq x$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-less-zero-iff* [simp]: $0 < x \implies \ln x < 0 \longleftrightarrow x < 1$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-le-zero-iff* [simp]: $0 < x \implies \ln x \leq 0 \longleftrightarrow x \leq 1$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-gt-zero*: $1 < x \implies 0 < \ln x$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-gt-zero-imp-gt-one*: $0 < \ln x \implies 0 < x \implies 1 < x$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-gt-zero-iff* [simp]: $0 < x \implies 0 < \ln x \longleftrightarrow 1 < x$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-eq-zero-iff* [simp]: $0 < x \implies \ln x = 0 \longleftrightarrow x = 1$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-less-zero*: $0 < x \implies x < 1 \implies \ln x < 0$
for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-neg-is-const*: $x \leq 0 \implies \ln x = (\text{THE } x. \text{False})$
for $x :: \text{real}$
 ⟨proof⟩

lemma *isCont-ln*:
fixes $x :: \text{real}$
assumes $x \neq 0$
shows *isCont* $\ln x$
 ⟨proof⟩

lemma *tendsto-ln* [*tendsto-intros*]: $(f \longrightarrow a) F \implies a \neq 0 \implies ((\lambda x. \ln (f x)) \longrightarrow \ln a) F$
for $a :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *continuous-ln*:
 $\text{continuous } F f \implies f (\text{Lim } F (\lambda x. x)) \neq 0 \implies \text{continuous } F (\lambda x. \ln (f x :: \text{real}))$
 $\langle \text{proof} \rangle$

lemma *isCont-ln'* [*continuous-intros*]:
 $\text{continuous } (at\ x) f \implies f\ x \neq 0 \implies \text{continuous } (at\ x) (\lambda x. \ln (f x :: \text{real}))$
 $\langle \text{proof} \rangle$

lemma *continuous-within-ln* [*continuous-intros*]:
 $\text{continuous } (at\ x\ \text{within } s) f \implies f\ x \neq 0 \implies \text{continuous } (at\ x\ \text{within } s) (\lambda x. \ln (f x :: \text{real}))$
 $\langle \text{proof} \rangle$

lemma *continuous-on-ln* [*continuous-intros*]:
 $\text{continuous-on } s\ f \implies (\forall x \in s. f\ x \neq 0) \implies \text{continuous-on } s (\lambda x. \ln (f x :: \text{real}))$
 $\langle \text{proof} \rangle$

lemma *DERIV-ln*: $0 < x \implies \text{DERIV } \ln\ x :> \text{inverse } x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *DERIV-ln-divide*: $0 < x \implies \text{DERIV } \ln\ x :> 1 / x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

declare *DERIV-ln-divide*[*THEN DERIV-chain2, derivative-intros*]
and *DERIV-ln-divide*[*THEN DERIV-chain2, unfolded has-field-derivative-def, derivative-intros*]

lemma *ln-series*:
assumes $0 < x$ **and** $x < 2$
shows $\ln x = (\sum n. (-1)^n * (1 / \text{real } (n + 1)) * (x - 1) ^ (Suc\ n))$
 $(\text{is } \ln x = \text{suminf } (?f\ (x - 1)))$
 $\langle \text{proof} \rangle$

lemma *exp-first-terms*:
fixes $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
shows $\exp x = (\sum n < k. \text{inverse}(\text{fact } n) *_R (x ^ n)) + (\sum n. \text{inverse}(\text{fact } (n + k)) *_R (x ^ (n + k)))$
 $\langle \text{proof} \rangle$

lemma *exp-first-term*: $\exp x = 1 + (\sum n. \text{inverse } (\text{fact } (Suc\ n)) *_R (x ^ Suc\ n))$
for $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$

$\langle \text{proof} \rangle$

lemma *exp-first-two-terms*: $\exp x = 1 + x + (\sum n. \text{inverse } (\text{fact } (n + 2))) *_R (x$
 $\wedge (n + 2)))$
for $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *exp-bound*:
fixes $x :: \text{real}$
assumes $a: 0 \leq x$
and $b: x \leq 1$
shows $\exp x \leq 1 + x + x^2$
 $\langle \text{proof} \rangle$

corollary *exp-half-le2*: $\exp(1/2) \leq (2::\text{real})$
 $\langle \text{proof} \rangle$

corollary *exp-le*: $\exp 1 \leq (3::\text{real})$
 $\langle \text{proof} \rangle$

lemma *exp-bound-half*: $\text{norm } z \leq 1/2 \implies \text{norm } (\exp z) \leq 2$
 $\langle \text{proof} \rangle$

lemma *exp-bound-lemma*:
assumes $\text{norm } z \leq 1/2$
shows $\text{norm } (\exp z) \leq 1 + 2 * \text{norm } z$
 $\langle \text{proof} \rangle$

lemma *real-exp-bound-lemma*: $0 \leq x \implies x \leq 1/2 \implies \exp x \leq 1 + 2 * x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-one-minus-pos-upper-bound*:
fixes $x :: \text{real}$
assumes $a: 0 \leq x$ **and** $b: x < 1$
shows $\ln (1 - x) \leq -x$
 $\langle \text{proof} \rangle$

lemma *exp-ge-add-one-self* [*simp*]: $1 + x \leq \exp x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-one-plus-pos-lower-bound*:
fixes $x :: \text{real}$
assumes $a: 0 \leq x$ **and** $b: x \leq 1$
shows $x - x^2 \leq \ln (1 + x)$
 $\langle \text{proof} \rangle$

lemma *ln-one-minus-pos-lower-bound*:

fixes $x :: \text{real}$
assumes $a: 0 \leq x$ **and** $b: x \leq 1 / 2$
shows $-x - 2 * x^2 \leq \ln (1 - x)$
 $\langle \text{proof} \rangle$

lemma *ln-add-one-self-le-self2*:
fixes $x :: \text{real}$
shows $-1 < x \implies \ln (1 + x) \leq x$
 $\langle \text{proof} \rangle$

lemma *abs-ln-one-plus-x-minus-x-bound-nonneg*:
fixes $x :: \text{real}$
assumes $x: 0 \leq x$ **and** $x1: x \leq 1$
shows $|\ln (1 + x) - x| \leq x^2$
 $\langle \text{proof} \rangle$

lemma *abs-ln-one-plus-x-minus-x-bound-nonpos*:
fixes $x :: \text{real}$
assumes $a: -(1 / 2) \leq x$ **and** $b: x \leq 0$
shows $|\ln (1 + x) - x| \leq 2 * x^2$
 $\langle \text{proof} \rangle$

lemma *abs-ln-one-plus-x-minus-x-bound*:
fixes $x :: \text{real}$
shows $|x| \leq 1 / 2 \implies |\ln (1 + x) - x| \leq 2 * x^2$
 $\langle \text{proof} \rangle$

lemma *ln-x-over-x-mono*:
fixes $x :: \text{real}$
assumes $x: \exp 1 \leq x \leq y$
shows $\ln y / y \leq \ln x / x$
 $\langle \text{proof} \rangle$

lemma *ln-le-minus-one*: $0 < x \implies \ln x \leq x - 1$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

corollary *ln-diff-le*: $0 < x \implies 0 < y \implies \ln x - \ln y \leq (x - y) / y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-eq-minus-one*:
fixes $x :: \text{real}$
assumes $0 < x \ln x = x - 1$
shows $x = 1$
 $\langle \text{proof} \rangle$

lemma *ln-x-over-x-tendsto-0*: $((\lambda x :: \text{real}. \ln x / x) \longrightarrow 0)$ *at-top*
 $\langle \text{proof} \rangle$

lemma *exp-ge-one-plus-x-over-n-power-n*:
assumes $x \geq -\text{real } n \text{ } n > 0$
shows $(1 + x / \text{of-nat } n) ^ n \leq \exp x$
 $\langle \text{proof} \rangle$

lemma *exp-ge-one-minus-x-over-n-power-n*:
assumes $x \leq \text{real } n \text{ } n > 0$
shows $(1 - x / \text{of-nat } n) ^ n \leq \exp (-x)$
 $\langle \text{proof} \rangle$

lemma *exp-at-bot*: $(\exp \longrightarrow (0::\text{real})) \text{ at-bot}$
 $\langle \text{proof} \rangle$

lemma *exp-at-top*: $\text{LIM } x \text{ at-top. } \exp x :: \text{real} :> \text{at-top}$
 $\langle \text{proof} \rangle$

lemma *lim-exp-minus-1*: $((\lambda z::'a. (\exp(z) - 1) / z) \longrightarrow 1) (\text{at } 0)$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *ln-at-0*: $\text{LIM } x \text{ at-right } 0. \ln (x::\text{real}) :> \text{at-bot}$
 $\langle \text{proof} \rangle$

lemma *ln-at-top*: $\text{LIM } x \text{ at-top. } \ln (x::\text{real}) :> \text{at-top}$
 $\langle \text{proof} \rangle$

lemma *filtermap-ln-at-top*: $\text{filtermap } (\ln::\text{real} \Rightarrow \text{real}) \text{ at-top} = \text{at-top}$
 $\langle \text{proof} \rangle$

lemma *filtermap-exp-at-top*: $\text{filtermap } (\exp::\text{real} \Rightarrow \text{real}) \text{ at-top} = \text{at-top}$
 $\langle \text{proof} \rangle$

lemma *filtermap-ln-at-right*: $\text{filtermap } \ln (\text{at-right } (0::\text{real})) = \text{at-bot}$
 $\langle \text{proof} \rangle$

lemma *tendsto-power-div-exp-0*: $((\lambda x. x ^ k / \exp x) \longrightarrow (0::\text{real})) \text{ at-top}$
 $\langle \text{proof} \rangle$

105.8.1 A couple of simple bounds

lemma *exp-plus-inverse-exp*:
fixes $x::\text{real}$
shows $2 \leq \exp x + \text{inverse } (\exp x)$
 $\langle \text{proof} \rangle$

lemma *real-le-x-sinh*:
fixes $x::\text{real}$
assumes $0 \leq x$

shows $x \leq (\exp x - \text{inverse}(\exp x)) / 2$
 $\langle \text{proof} \rangle$

lemma *real-le-abs-sinh*:
fixes $x::\text{real}$
shows $\text{abs } x \leq \text{abs}((\exp x - \text{inverse}(\exp x)) / 2)$
 $\langle \text{proof} \rangle$

105.9 The general logarithm

definition $\log :: \text{real} \Rightarrow \text{real} \Rightarrow \text{real}$
— logarithm of x to base a
where $\log a \ x = \ln x / \ln a$

lemma *tendsto-log* [*tendsto-intros*]:
 $(f \longrightarrow a) \ F \Longrightarrow (g \longrightarrow b) \ F \Longrightarrow 0 < a \Longrightarrow a \neq 1 \Longrightarrow 0 < b \Longrightarrow$
 $((\lambda x. \log (f x) (g x)) \longrightarrow \log a b) \ F$
 $\langle \text{proof} \rangle$

lemma *continuous-log*:
assumes *continuous* $F \ f$
and *continuous* $F \ g$
and $0 < f \ (\text{Lim } F \ (\lambda x. x))$
and $f \ (\text{Lim } F \ (\lambda x. x)) \neq 1$
and $0 < g \ (\text{Lim } F \ (\lambda x. x))$
shows *continuous* $F \ (\lambda x. \log (f x) (g x))$
 $\langle \text{proof} \rangle$

lemma *continuous-at-within-log* [*continuous-intros*]:
assumes *continuous* (at a within s) f
and *continuous* (at a within s) g
and $0 < f \ a$
and $f \ a \neq 1$
and $0 < g \ a$
shows *continuous* (at a within s) $(\lambda x. \log (f x) (g x))$
 $\langle \text{proof} \rangle$

lemma *isCont-log* [*continuous-intros*, *simp*]:
assumes *isCont* $f \ a$ *isCont* $g \ a$ $0 < f \ a$ $f \ a \neq 1$ $0 < g \ a$
shows *isCont* $(\lambda x. \log (f x) (g x)) \ a$
 $\langle \text{proof} \rangle$

lemma *continuous-on-log* [*continuous-intros*]:
assumes *continuous-on* $s \ f$ *continuous-on* $s \ g$
and $\forall x \in s. 0 < f \ x \ \forall x \in s. f \ x \neq 1 \ \forall x \in s. 0 < g \ x$
shows *continuous-on* $s \ (\lambda x. \log (f x) (g x))$
 $\langle \text{proof} \rangle$

lemma *powr-one-eq-one* [*simp*]: $1 \text{ powr } a = 1$

$\langle proof \rangle$

lemma *powr-zero-eq-one* [*simp*]: $x \text{ powr } 0 = (\text{if } x = 0 \text{ then } 0 \text{ else } 1)$
 $\langle proof \rangle$

lemma *powr-one-gt-zero-iff* [*simp*]: $x \text{ powr } 1 = x \longleftrightarrow 0 \leq x$
for $x :: \text{real}$
 $\langle proof \rangle$

declare *powr-one-gt-zero-iff* [*THEN iffD2, simp*]

lemma *powr-diff*:
fixes $w :: 'a :: \{\text{ln}, \text{real-normed-field}\}$ **shows** $w \text{ powr } (z1 - z2) = w \text{ powr } z1 / w \text{ powr } z2$
 $\langle proof \rangle$

lemma *powr-mult*: $0 \leq x \implies 0 \leq y \implies (x * y) \text{ powr } a = (x \text{ powr } a) * (y \text{ powr } a)$
for $a \ x \ y :: \text{real}$
 $\langle proof \rangle$

lemma *powr-ge-pzero* [*simp*]: $0 \leq x \text{ powr } y$
for $x \ y :: \text{real}$
 $\langle proof \rangle$

lemma *powr-divide*: $0 < x \implies 0 < y \implies (x / y) \text{ powr } a = (x \text{ powr } a) / (y \text{ powr } a)$
for $a \ b \ x :: \text{real}$
 $\langle proof \rangle$

lemma *powr-add*: $x \text{ powr } (a + b) = (x \text{ powr } a) * (x \text{ powr } b)$
for $a \ b \ x :: 'a :: \{\text{ln}, \text{real-normed-field}\}$
 $\langle proof \rangle$

lemma *powr-mult-base*: $0 < x \implies x * x \text{ powr } y = x \text{ powr } (1 + y)$
for $x :: \text{real}$
 $\langle proof \rangle$

lemma *powr-powr*: $(x \text{ powr } a) \text{ powr } b = x \text{ powr } (a * b)$
for $a \ b \ x :: \text{real}$
 $\langle proof \rangle$

lemma *powr-powr-swap*: $(x \text{ powr } a) \text{ powr } b = (x \text{ powr } b) \text{ powr } a$
for $a \ b \ x :: \text{real}$
 $\langle proof \rangle$

lemma *powr-minus*: $x \text{ powr } (-a) = \text{inverse } (x \text{ powr } a)$
for $a \ x :: 'a :: \{\text{ln}, \text{real-normed-field}\}$
 $\langle proof \rangle$

lemma *powr-minus-divide*: $x \text{ powr } (- a) = 1 / (x \text{ powr } a)$

for $x a :: \text{real}$

$\langle \text{proof} \rangle$

lemma *divide-powr-uminus*: $a / b \text{ powr } c = a * b \text{ powr } (- c)$

for $a b c :: \text{real}$

$\langle \text{proof} \rangle$

lemma *powr-less-mono*: $a < b \implies 1 < x \implies x \text{ powr } a < x \text{ powr } b$

for $a b x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *powr-less-cancel*: $x \text{ powr } a < x \text{ powr } b \implies 1 < x \implies a < b$

for $a b x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *powr-less-cancel-iff* [simp]: $1 < x \implies x \text{ powr } a < x \text{ powr } b \longleftrightarrow a < b$

for $a b x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *powr-le-cancel-iff* [simp]: $1 < x \implies x \text{ powr } a \leq x \text{ powr } b \longleftrightarrow a \leq b$

for $a b x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *powr-realpow*: $0 < x \implies x \text{ powr } (\text{real } n) = x^n$

$\langle \text{proof} \rangle$

lemma *log-ln*: $\ln x = \log (\exp(1)) x$

$\langle \text{proof} \rangle$

lemma *DERIV-log*:

assumes $x > 0$

shows $\text{DERIV } (\lambda y. \log b y) x :> 1 / (\ln b * x)$

$\langle \text{proof} \rangle$

lemmas *DERIV-log*[*THEN DERIV-chain2*, *derivative-intros*]

and *DERIV-log*[*THEN DERIV-chain2*, *unfolded has-field-derivative-def*, *derivative-intros*]

lemma *powr-log-cancel* [simp]: $0 < a \implies a \neq 1 \implies 0 < x \implies a \text{ powr } (\log a x)$

$= x$

$\langle \text{proof} \rangle$

lemma *log-powr-cancel* [simp]: $0 < a \implies a \neq 1 \implies \log a (a \text{ powr } y) = y$

$\langle \text{proof} \rangle$

lemma *log-mult*:

$0 < a \implies a \neq 1 \implies 0 < x \implies 0 < y \implies$

$\log a (x * y) = \log a x + \log a y$

$\langle \text{proof} \rangle$

lemma *log-eq-div-ln-mult-log*:

$0 < a \implies a \neq 1 \implies 0 < b \implies b \neq 1 \implies 0 < x \implies$
 $\log a x = (\ln b / \ln a) * \log b x$
 ⟨proof⟩

Base 10 logarithms

lemma *log-base-10-eq1*: $0 < x \implies \log 10 x = (\ln (\exp 1) / \ln 10) * \ln x$
 ⟨proof⟩

lemma *log-base-10-eq2*: $0 < x \implies \log 10 x = (\log 10 (\exp 1)) * \ln x$
 ⟨proof⟩

lemma *log-one* [simp]: $\log a 1 = 0$
 ⟨proof⟩

lemma *log-eq-one* [simp]: $0 < a \implies a \neq 1 \implies \log a a = 1$
 ⟨proof⟩

lemma *log-inverse*: $0 < a \implies a \neq 1 \implies 0 < x \implies \log a (\text{inverse } x) = - \log a x$
 ⟨proof⟩

lemma *log-divide*: $0 < a \implies a \neq 1 \implies 0 < x \implies 0 < y \implies \log a (x/y) = \log a x - \log a y$
 ⟨proof⟩

lemma *powr-gt-zero* [simp]: $0 < x \text{ powr } a \longleftrightarrow x \neq 0$
for $a x :: \text{real}$
 ⟨proof⟩

lemma *log-add-eq-powr*: $0 < b \implies b \neq 1 \implies 0 < x \implies \log b x + y = \log b (x * b \text{ powr } y)$
and *add-log-eq-powr*: $0 < b \implies b \neq 1 \implies 0 < x \implies y + \log b x = \log b (b \text{ powr } y * x)$
and *log-minus-eq-powr*: $0 < b \implies b \neq 1 \implies 0 < x \implies \log b x - y = \log b (x * b \text{ powr } -y)$
and *minus-log-eq-powr*: $0 < b \implies b \neq 1 \implies 0 < x \implies y - \log b x = \log b (b \text{ powr } y / x)$
 ⟨proof⟩

lemma *log-less-cancel-iff* [simp]: $1 < a \implies 0 < x \implies 0 < y \implies \log a x < \log a y \longleftrightarrow x < y$
 ⟨proof⟩

lemma *log-inj*:
assumes $1 < b$
shows *inj-on* $(\log b) \{0 < ..\}$
 ⟨proof⟩

lemma *log-le-cancel-iff* [simp]: $1 < a \implies 0 < x \implies 0 < y \implies \log a x \leq \log a y \longleftrightarrow x \leq y$
 ⟨proof⟩

lemma *zero-less-log-cancel-iff* [simp]: $1 < a \implies 0 < x \implies 0 < \log a x \longleftrightarrow 1 < x$
 ⟨proof⟩

lemma *zero-le-log-cancel-iff* [simp]: $1 < a \implies 0 < x \implies 0 \leq \log a x \longleftrightarrow 1 \leq x$
 ⟨proof⟩

lemma *log-less-zero-cancel-iff* [simp]: $1 < a \implies 0 < x \implies \log a x < 0 \longleftrightarrow x < 1$
 ⟨proof⟩

lemma *log-le-zero-cancel-iff* [simp]: $1 < a \implies 0 < x \implies \log a x \leq 0 \longleftrightarrow x \leq 1$
 ⟨proof⟩

lemma *one-less-log-cancel-iff* [simp]: $1 < a \implies 0 < x \implies 1 < \log a x \longleftrightarrow a < x$
 ⟨proof⟩

lemma *one-le-log-cancel-iff* [simp]: $1 < a \implies 0 < x \implies 1 \leq \log a x \longleftrightarrow a \leq x$
 ⟨proof⟩

lemma *log-less-one-cancel-iff* [simp]: $1 < a \implies 0 < x \implies \log a x < 1 \longleftrightarrow x < a$
 ⟨proof⟩

lemma *log-le-one-cancel-iff* [simp]: $1 < a \implies 0 < x \implies \log a x \leq 1 \longleftrightarrow x \leq a$
 ⟨proof⟩

lemma *le-log-iff*:
 fixes $b x y :: \text{real}$
 assumes $1 < b$ $x > 0$
 shows $y \leq \log b x \longleftrightarrow b^{\text{powr } y} \leq x$
 ⟨proof⟩

lemma *less-log-iff*:
 assumes $1 < b$ $x > 0$
 shows $y < \log b x \longleftrightarrow b^{\text{powr } y} < x$
 ⟨proof⟩

lemma
 assumes $1 < b$ $x > 0$
 shows *log-less-iff*: $\log b x < y \longleftrightarrow x < b^{\text{powr } y}$
 and *log-le-iff*: $\log b x \leq y \longleftrightarrow x \leq b^{\text{powr } y}$
 ⟨proof⟩

lemmas *powr-le-iff* = *le-log-iff*[*symmetric*]
and *powr-less-iff* = *less-log-iff*[*symmetric*]
and *less-powr-iff* = *log-less-iff*[*symmetric*]
and *le-powr-iff* = *log-le-iff*[*symmetric*]

lemma *le-log-of-power*:
assumes $b^n \leq m$ $1 < b$
shows $n \leq \log b m$
 $\langle \text{proof} \rangle$

lemma *le-log2-of-power*: $2^n \leq m \implies n \leq \log 2 m$ **for** $m n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *log-of-power-le*: $\llbracket m \leq b^n; b > 1; m > 0 \rrbracket \implies \log b (\text{real } m) \leq n$
 $\langle \text{proof} \rangle$

lemma *log2-of-power-le*: $\llbracket m \leq 2^n; m > 0 \rrbracket \implies \log 2 m \leq n$ **for** $m n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *log-of-power-less*: $\llbracket m < b^n; b > 1; m > 0 \rrbracket \implies \log b (\text{real } m) < n$
 $\langle \text{proof} \rangle$

lemma *log2-of-power-less*: $\llbracket m < 2^n; m > 0 \rrbracket \implies \log 2 m < n$ **for** $m n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *less-log-of-power*:
assumes $b^n < m$ $1 < b$
shows $n < \log b m$
 $\langle \text{proof} \rangle$

lemma *less-log2-of-power*: $2^n < m \implies n < \log 2 m$ **for** $m n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *gr-one-powr*[*simp*]:
fixes $x y :: \text{real}$ **shows** $\llbracket x > 1; y > 0 \rrbracket \implies 1 < x \text{ powr } y$
 $\langle \text{proof} \rangle$

lemma *floor-log-eq-powr-iff*: $x > 0 \implies b > 1 \implies \lfloor \log b x \rfloor = k \iff b^{\text{powr } k} \leq x \wedge x < b^{\text{powr } (k+1)}$
 $\langle \text{proof} \rangle$

lemma *floor-log-nat-eq-powr-iff*: **fixes** $b n k :: \text{nat}$
shows $\llbracket b \geq 2; k > 0 \rrbracket \implies$
 $\text{floor } (\log b (\text{real } k)) = n \iff b^n \leq k \wedge k < b^{(n+1)}$
 $\langle \text{proof} \rangle$

lemma *floor-log-nat-eq-if*: **fixes** $b n k :: \text{nat}$
assumes $b^n \leq k$ $k < b^{(n+1)}$ $b \geq 2$

shows $\text{floor } (\log b \text{ (real } k)) = n$
 $\langle \text{proof} \rangle$

lemma *ceiling-log-eq-powr-iff*: $\llbracket x > 0; b > 1 \rrbracket$
 $\implies \lceil \log b \ x \rceil = \text{int } k + 1 \iff b \text{ powr } k < x \wedge x \leq b \text{ powr } (k + 1)$
 $\langle \text{proof} \rangle$

lemma *ceiling-log-nat-eq-powr-iff*: **fixes** $b \ n \ k :: \text{nat}$
shows $\llbracket b \geq 2; k > 0 \rrbracket \implies$
 $\text{ceiling } (\log b \text{ (real } k)) = \text{int } n + 1 \iff (b^n < k \wedge k \leq b^{(n+1)})$
 $\langle \text{proof} \rangle$

lemma *ceiling-log-nat-eq-if*: **fixes** $b \ n \ k :: \text{nat}$
assumes $b^n < k \leq b^{(n+1)} \ b \geq 2$
shows $\text{ceiling } (\log b \text{ (real } k)) = \text{int } n + 1$
 $\langle \text{proof} \rangle$

lemma *floor-log2-div2*: **fixes** $n :: \text{nat}$ **assumes** $n \geq 2$
shows $\text{floor}(\log 2 \ n) = \text{floor}(\log 2 \ (n \text{ div } 2)) + 1$
 $\langle \text{proof} \rangle$

lemma *ceiling-log2-div2*: **assumes** $n \geq 2$
shows $\text{ceiling}(\log 2 \text{ (real } n)) = \text{ceiling}(\log 2 \ ((n-1) \text{ div } 2 + 1)) + 1$
 $\langle \text{proof} \rangle$

lemma *powr-real-of-int*:
 $x > 0 \implies x \text{ powr real-of-int } n = (\text{if } n \geq 0 \text{ then } x^{\text{nat } n} \text{ else inverse } (x^{\text{nat } (-n)}))$
 $\langle \text{proof} \rangle$

lemma *powr-numeral* [simp]: $0 < x \implies x \text{ powr (numeral } n :: \text{real}) = x^{\text{(numeral } n)}$
 $\langle \text{proof} \rangle$

lemma *powr-int*:
assumes $x > 0$
shows $x \text{ powr } i = (\text{if } i \geq 0 \text{ then } x^{\text{nat } i} \text{ else } 1 / x^{\text{nat } (-i)})$
 $\langle \text{proof} \rangle$

lemma *compute-powr*[code]:
fixes $i :: \text{real}$
shows $b \text{ powr } i =$
 $(\text{if } b \leq 0 \text{ then Code.abort (STR "op powr with nonpositive base"}) (\lambda-. b \text{ powr } i)$
 $\text{else if } \lfloor i \rfloor = i \text{ then } (\text{if } 0 \leq i \text{ then } b^{\text{nat } \lfloor i \rfloor} \text{ else } 1 / b^{\text{nat } \lfloor -i \rfloor})$
 $\text{else Code.abort (STR "op powr with non-integer exponent"}) (\lambda-. b \text{ powr } i))$
 $\langle \text{proof} \rangle$

lemma *powr-one*: $0 \leq x \implies x \text{ powr } 1 = x$

for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-neg-one*: $0 < x \implies x^{\text{powr } -1} = 1 / x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-neg-numeral*: $0 < x \implies x^{\text{powr } - \text{numeral } n} = 1 / x^{\text{numeral } n}$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *root-powr-inverse*: $0 < n \implies 0 < x \implies \text{root } n \ x = x^{\text{powr } (1/n)}$
 $\langle \text{proof} \rangle$

lemma *ln-powr*: $x \neq 0 \implies \ln (x^{\text{powr } y}) = y * \ln x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ln-root*: $n > 0 \implies b > 0 \implies \ln (\text{root } n \ b) = \ln b / n$
 $\langle \text{proof} \rangle$

lemma *ln-sqrt*: $0 < x \implies \ln (\text{sqrt } x) = \ln x / 2$
 $\langle \text{proof} \rangle$

lemma *log-root*: $n > 0 \implies a > 0 \implies \log b (\text{root } n \ a) = \log b \ a / n$
 $\langle \text{proof} \rangle$

lemma *log-powr*: $x \neq 0 \implies \log b (x^{\text{powr } y}) = y * \log b \ x$
 $\langle \text{proof} \rangle$

lemma *log-nat-power*: $0 < x \implies \log b (x^n) = \text{real } n * \log b \ x$
 $\langle \text{proof} \rangle$

lemma *log-of-power-eq*:
assumes $m = b^n \ b > 1$
shows $n = \log b (\text{real } m)$
 $\langle \text{proof} \rangle$

lemma *log2-of-power-eq*: $m = 2^n \implies n = \log 2 \ m$ **for** $m \ n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *log-base-change*: $0 < a \implies a \neq 1 \implies \log b \ x = \log a \ x / \log a \ b$
 $\langle \text{proof} \rangle$

lemma *log-base-pow*: $0 < a \implies \log (a^n) \ x = \log a \ x / n$
 $\langle \text{proof} \rangle$

lemma *log-base-powr*: $a \neq 0 \implies \log (a^{\text{powr } b}) \ x = \log a \ x / b$

$\langle \text{proof} \rangle$

lemma *log-base-root*: $n > 0 \implies b > 0 \implies \log (\text{root } n \ b) \ x = n * (\log \ b \ x)$
 $\langle \text{proof} \rangle$

lemma *ln-bound*: $1 \leq x \implies \ln \ x \leq x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-mono*: $a \leq b \implies 1 \leq x \implies x \ \text{powr} \ a \leq x \ \text{powr} \ b$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *ge-one-powr-ge-zero*: $1 \leq x \implies 0 \leq a \implies 1 \leq x \ \text{powr} \ a$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-less-mono2*: $0 < a \implies 0 \leq x \implies x < y \implies x \ \text{powr} \ a < y \ \text{powr} \ a$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-less-mono2-neg*: $a < 0 \implies 0 < x \implies x < y \implies y \ \text{powr} \ a < x \ \text{powr} \ a$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-mono2*: $x \ \text{powr} \ a \leq y \ \text{powr} \ a$ **if** $0 \leq a \ 0 \leq x \ x \leq y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-le1*: $0 \leq a \implies 0 \leq x \implies x \leq 1 \implies x \ \text{powr} \ a \leq 1$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-mono2'*:
fixes $a \ x \ y :: \text{real}$
assumes $a \leq 0 \ x > 0 \ x \leq y$
shows $x \ \text{powr} \ a \geq y \ \text{powr} \ a$
 $\langle \text{proof} \rangle$

lemma *powr-mono-both*:
fixes $x :: \text{real}$
assumes $0 \leq a \ a \leq b \ 1 \leq x \ x \leq y$
shows $x \ \text{powr} \ a \leq y \ \text{powr} \ b$
 $\langle \text{proof} \rangle$

lemma *powr-inj*: $0 < a \implies a \neq 1 \implies a \ \text{powr} \ x = a \ \text{powr} \ y \longleftrightarrow x = y$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *powr-half-sqrt*: $0 \leq x \implies x \text{ powr } (1/2) = \text{sqrt } x$
 ⟨proof⟩

lemma *ln-powr-bound*: $1 \leq x \implies 0 < a \implies \ln x \leq (x \text{ powr } a) / a$
 for $x :: \text{real}$
 ⟨proof⟩

lemma *ln-powr-bound2*:
 fixes $x :: \text{real}$
 assumes $1 < x$ and $0 < a$
 shows $(\ln x) \text{ powr } a \leq (a \text{ powr } a) * x$
 ⟨proof⟩

lemma *tendsto-powr*:
 fixes $a b :: \text{real}$
 assumes $f: (f \longrightarrow a) F$
 and $g: (g \longrightarrow b) F$
 and $a: a \neq 0$
 shows $((\lambda x. f x \text{ powr } g x) \longrightarrow a \text{ powr } b) F$
 ⟨proof⟩

lemma *tendsto-powr'[tendsto-intros]*:
 fixes $a :: \text{real}$
 assumes $f: (f \longrightarrow a) F$
 and $g: (g \longrightarrow b) F$
 and $a: a \neq 0 \vee (b > 0 \wedge \text{eventually } (\lambda x. f x \geq 0) F)$
 shows $((\lambda x. f x \text{ powr } g x) \longrightarrow a \text{ powr } b) F$
 ⟨proof⟩

lemma *continuous-powr*:
 assumes *continuous* $F f$
 and *continuous* $F g$
 and $f (\text{Lim } F (\lambda x. x)) \neq 0$
 shows *continuous* $F (\lambda x. (f x) \text{ powr } (g x :: \text{real}))$
 ⟨proof⟩

lemma *continuous-at-within-powr[continuous-intros]*:
 fixes $f g :: - \Rightarrow \text{real}$
 assumes *continuous* (at a within s) f
 and *continuous* (at a within s) g
 and $f a \neq 0$
 shows *continuous* (at a within s) $(\lambda x. (f x) \text{ powr } (g x))$
 ⟨proof⟩

lemma *isCont-powr[continuous-intros, simp]*:
 fixes $f g :: - \Rightarrow \text{real}$
 assumes *isCont* $f a$ *isCont* $g a$ $f a \neq 0$
 shows *isCont* $(\lambda x. (f x) \text{ powr } g x) a$

$\langle \text{proof} \rangle$

lemma *continuous-on-powr*[*continuous-intros*]:

fixes $f\ g :: - \Rightarrow \text{real}$

assumes *continuous-on* $s\ f$ *continuous-on* $s\ g$ **and** $\forall x \in s. f\ x \neq 0$

shows *continuous-on* $s\ (\lambda x. (f\ x)\ \text{powr}\ (g\ x))$

$\langle \text{proof} \rangle$

lemma *tendsto-powr2*:

fixes $a :: \text{real}$

assumes $f: (f \longrightarrow a)\ F$

and $g: (g \longrightarrow b)\ F$

and $\forall_F x\ \text{in}\ F. 0 \leq f\ x$

and $b: 0 < b$

shows $((\lambda x. f\ x\ \text{powr}\ g\ x) \longrightarrow a\ \text{powr}\ b)\ F$

$\langle \text{proof} \rangle$

lemma *DERIV-powr*:

fixes $r :: \text{real}$

assumes $g: \text{DERIV}\ g\ x\ :>\ m$

and $\text{pos}: g\ x > 0$

and $f: \text{DERIV}\ f\ x\ :>\ r$

shows $\text{DERIV}\ (\lambda x. g\ x\ \text{powr}\ f\ x)\ x\ :>\ (g\ x\ \text{powr}\ f\ x) * (r * \ln\ (g\ x) + m * f\ x / g\ x)$

$\langle \text{proof} \rangle$

lemma *DERIV-fun-powr*:

fixes $r :: \text{real}$

assumes $g: \text{DERIV}\ g\ x\ :>\ m$

and $\text{pos}: g\ x > 0$

shows $\text{DERIV}\ (\lambda x. (g\ x)\ \text{powr}\ r)\ x\ :>\ r * (g\ x)\ \text{powr}\ (r - \text{of-nat}\ 1) * m$

$\langle \text{proof} \rangle$

lemma *has-real-derivative-powr*:

assumes $z > 0$

shows $((\lambda z. z\ \text{powr}\ r)\ \text{has-real-derivative}\ r * z\ \text{powr}\ (r - 1))\ (\text{at}\ z)$

$\langle \text{proof} \rangle$

declare *has-real-derivative-powr*[*THEN DERIV-chain2, derivative-intros*]

lemma *tendsto-zero-powrI*:

assumes $(f \longrightarrow (0::\text{real}))\ F\ (g \longrightarrow b)\ F\ \forall_F x\ \text{in}\ F. 0 \leq f\ x\ 0 < b$

shows $((\lambda x. f\ x\ \text{powr}\ g\ x) \longrightarrow 0)\ F$

$\langle \text{proof} \rangle$

lemma *continuous-on-powr'*:

fixes $f\ g :: - \Rightarrow \text{real}$

assumes *continuous-on* $s\ f$ *continuous-on* $s\ g$

and $\forall x \in s. f\ x \geq 0 \wedge (f\ x = 0 \longrightarrow g\ x > 0)$

shows *continuous-on* s $(\lambda x. (f\ x)\ \text{powr}\ (g\ x))$
 $\langle \text{proof} \rangle$

lemma *tendsto-neg-powr*:
assumes $s < 0$
and f : $\text{LIM } x\ F. f\ x\ \text{:> } \text{at-top}$
shows $((\lambda x. f\ x\ \text{powr}\ s) \longrightarrow (0::\text{real}))\ F$
 $\langle \text{proof} \rangle$

lemma *tendsto-exp-limit-at-right*: $((\lambda y. (1 + x * y)\ \text{powr}\ (1 / y)) \longrightarrow \exp\ x)$
 $(\text{at-right } 0)$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *tendsto-exp-limit-at-top*: $((\lambda y. (1 + x / y)\ \text{powr}\ y) \longrightarrow \exp\ x)\ \text{at-top}$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *tendsto-exp-limit-sequentially*: $(\lambda n. (1 + x / n) ^ n) \longrightarrow \exp\ x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

105.10 Sine and Cosine

definition *sin-coeff* $:: \text{nat} \Rightarrow \text{real}$
where $\text{sin-coeff} = (\lambda n. \text{if even } n \text{ then } 0 \text{ else } (-1) ^ ((n - \text{Suc } 0) \text{ div } 2) / (\text{fact } n))$

definition *cos-coeff* $:: \text{nat} \Rightarrow \text{real}$
where $\text{cos-coeff} = (\lambda n. \text{if even } n \text{ then } ((-1) ^ (n \text{ div } 2)) / (\text{fact } n) \text{ else } 0)$

definition *sin* $:: 'a \Rightarrow 'a::\{\text{real-normed-algebra-1}, \text{banach}\}$
where $\text{sin} = (\lambda x. \sum n. \text{sin-coeff } n *_{\text{R}} x ^ n)$

definition *cos* $:: 'a \Rightarrow 'a::\{\text{real-normed-algebra-1}, \text{banach}\}$
where $\text{cos} = (\lambda x. \sum n. \text{cos-coeff } n *_{\text{R}} x ^ n)$

lemma *sin-coeff-0* [simp]: $\text{sin-coeff } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *cos-coeff-0* [simp]: $\text{cos-coeff } 0 = 1$
 $\langle \text{proof} \rangle$

lemma *sin-coeff-Suc*: $\text{sin-coeff } (\text{Suc } n) = \text{cos-coeff } n / \text{real } (\text{Suc } n)$
 $\langle \text{proof} \rangle$

lemma *cos-coeff-Suc*: $\text{cos-coeff } (\text{Suc } n) = - \text{sin-coeff } n / \text{real } (\text{Suc } n)$
 $\langle \text{proof} \rangle$

lemma *summable-norm-sin*: *summable* ($\lambda n. \text{norm } (\text{sin-coeff } n *_{\mathbb{R}} x^n)$)
for $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *summable-norm-cos*: *summable* ($\lambda n. \text{norm } (\text{cos-coeff } n *_{\mathbb{R}} x^n)$)
for $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-converges*: $(\lambda n. \text{sin-coeff } n *_{\mathbb{R}} x^n) \text{ sums } \sin x$
 $\langle \text{proof} \rangle$

lemma *cos-converges*: $(\lambda n. \text{cos-coeff } n *_{\mathbb{R}} x^n) \text{ sums } \cos x$
 $\langle \text{proof} \rangle$

lemma *sin-of-real*: $\sin (\text{of-real } x) = \text{of-real } (\sin x)$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

corollary *sin-in-Reals* [simp]: $z \in \mathbb{R} \implies \sin z \in \mathbb{R}$
 $\langle \text{proof} \rangle$

lemma *cos-of-real*: $\cos (\text{of-real } x) = \text{of-real } (\cos x)$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

corollary *cos-in-Reals* [simp]: $z \in \mathbb{R} \implies \cos z \in \mathbb{R}$
 $\langle \text{proof} \rangle$

lemma *diffs-sin-coeff*: $\text{diffs sin-coeff} = \text{cos-coeff}$
 $\langle \text{proof} \rangle$

lemma *diffs-cos-coeff*: $\text{diffs cos-coeff} = (\lambda n. - \text{sin-coeff } n)$
 $\langle \text{proof} \rangle$

lemma *sin-int-times-real*: $\sin (\text{of-int } m * \text{of-real } x) = \text{of-real } (\sin (\text{of-int } m * x))$
 $\langle \text{proof} \rangle$

lemma *cos-int-times-real*: $\cos (\text{of-int } m * \text{of-real } x) = \text{of-real } (\cos (\text{of-int } m * x))$
 $\langle \text{proof} \rangle$

Now at last we can get the derivatives of exp, sin and cos.

lemma *DERIV-sin* [simp]: $\text{DERIV } \sin x :> \cos x$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

declare *DERIV-sin* [THEN *DERIV-chain2*, *derivative-intros*]
and *DERIV-sin* [THEN *DERIV-chain2*, *unfolded has-field-derivative-def*, *derivative-intros*]

lemma *DERIV-cos* [simp]: $\text{DERIV } \cos x :> - \sin x$

```

for  $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

declare  $\text{DERIV-cos}[\text{THEN DERIV-chain2}, \text{derivative-intros}]$ 
and  $\text{DERIV-cos}[\text{THEN DERIV-chain2}, \text{unfolded has-field-derivative-def}, \text{derivative-intros}]$ 

lemma  $\text{isCont-sin}: \text{isCont sin } x$ 
for  $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{isCont-cos}: \text{isCont cos } x$ 
for  $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{isCont-sin}' [\text{simp}]: \text{isCont } f \ a \implies \text{isCont } (\lambda x. \text{sin } (f \ x)) \ a$ 
for  $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{isCont-cos}' [\text{simp}]: \text{isCont } f \ a \implies \text{isCont } (\lambda x. \text{cos } (f \ x)) \ a$ 
for  $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{tendsto-sin} [\text{tendsto-intros}]: (f \longrightarrow a) \ F \implies ((\lambda x. \text{sin } (f \ x)) \longrightarrow \text{sin } a) \ F$ 
for  $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{tendsto-cos} [\text{tendsto-intros}]: (f \longrightarrow a) \ F \implies ((\lambda x. \text{cos } (f \ x)) \longrightarrow \text{cos } a) \ F$ 
for  $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{continuous-sin} [\text{continuous-intros}]: \text{continuous } F \ f \implies \text{continuous } F \ (\lambda x. \text{sin } (f \ x))$ 
for  $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{continuous-on-sin} [\text{continuous-intros}]: \text{continuous-on } s \ f \implies \text{continuous-on } s \ (\lambda x. \text{sin } (f \ x))$ 
for  $f :: - \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{continuous-within-sin}: \text{continuous (at } z \text{ within } s) \ \text{sin}$ 
for  $z :: 'a::\{\text{real-normed-field}, \text{banach}\}$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{continuous-cos} [\text{continuous-intros}]: \text{continuous } F \ f \implies \text{continuous } F \ (\lambda x.$ 

```

cos (*f x*)
for *f* :: - \Rightarrow 'a::{*real-normed-field*,*banach*}
 ⟨*proof*⟩

lemma *continuous-on-cos* [*continuous-intros*]: *continuous-on s f* \implies *continuous-on s* ($\lambda x. \text{cos } (f x)$)
for *f* :: - \Rightarrow 'a::{*real-normed-field*,*banach*}
 ⟨*proof*⟩

lemma *continuous-within-cos*: *continuous (at z within s) cos*
for *z* :: 'a::{*real-normed-field*,*banach*}
 ⟨*proof*⟩

105.11 Properties of Sine and Cosine

lemma *sin-zero* [*simp*]: *sin 0 = 0*
 ⟨*proof*⟩

lemma *cos-zero* [*simp*]: *cos 0 = 1*
 ⟨*proof*⟩

lemma *DERIV-fun-sin*: *DERIV g x* :> *m* \implies *DERIV* ($\lambda x. \text{sin } (g x)$) *x* :> *cos* (*g x*) * *m*
 ⟨*proof*⟩

lemma *DERIV-fun-cos*: *DERIV g x* :> *m* \implies *DERIV* ($\lambda x. \text{cos}(g x)$) *x* :> - *sin* (*g x*) * *m*
 ⟨*proof*⟩

105.12 Deriving the Addition Formulas

The product of two cosine series.

lemma *cos-x-cos-y*:
fixes *x* :: 'a::{*real-normed-field*,*banach*}
shows
 ($\lambda p. \sum_{n \leq p. \text{if even } p \wedge \text{even } n \text{ then } ((-1)^\wedge (p \text{ div } 2) * (p \text{ choose } n) / (\text{fact } p)) *_R (x^\wedge n) * y^\wedge (p-n) \text{ else } 0)$
 sums (*cos x* * *cos y*)
 ⟨*proof*⟩

The product of two sine series.

lemma *sin-x-sin-y*:
fixes *x* :: 'a::{*real-normed-field*,*banach*}
shows
 ($\lambda p. \sum_{n \leq p. \text{if even } p \wedge \text{odd } n \text{ then } -((-1)^\wedge (p \text{ div } 2) * (p \text{ choose } n) / (\text{fact } p)) *_R (x^\wedge n) * y^\wedge (p-n)}$
 sums (*sin x* * *sin y*)
 ⟨*proof*⟩

$\text{else } 0)$
 $\text{sums } (\sin x * \sin y)$
 $\langle \text{proof} \rangle$

lemma *sums-cos-x-plus-y*:
fixes $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
shows
 $(\lambda p. \sum n \leq p.$
 $\text{if even } p$
 $\text{then } ((-1) ^ (p \text{ div } 2) * (p \text{ choose } n) / (\text{fact } p)) *_R (x ^ n) * y ^ (p - n)$
 $\text{else } 0)$
 $\text{sums } \cos (x + y)$
 $\langle \text{proof} \rangle$

theorem *cos-add*:
fixes $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
shows $\cos (x + y) = \cos x * \cos y - \sin x * \sin y$
 $\langle \text{proof} \rangle$

lemma *sin-minus-converges*: $(\lambda n. - (\sin\text{-coeff } n *_R (-x) ^ n)) \text{ sums } \sin x$
 $\langle \text{proof} \rangle$

lemma *sin-minus [simp]*: $\sin (-x) = - \sin x$
for $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cos-minus-converges*: $(\lambda n. (\cos\text{-coeff } n *_R (-x) ^ n)) \text{ sums } \cos x$
 $\langle \text{proof} \rangle$

lemma *cos-minus [simp]*: $\cos (-x) = \cos x$
for $x :: 'a :: \{\text{real-normed-algebra-1}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-cos-squared-add [simp]*: $(\sin x)^2 + (\cos x)^2 = 1$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-cos-squared-add2 [simp]*: $(\cos x)^2 + (\sin x)^2 = 1$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-cos-squared-add3 [simp]*: $\cos x * \cos x + \sin x * \sin x = 1$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-squared-eq*: $(\sin x)^2 = 1 - (\cos x)^2$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cos-squared-eq*: $(\cos x)^2 = 1 - (\sin x)^2$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *abs-sin-le-one* [simp]: $|\sin x| \leq 1$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *sin-ge-minus-one* [simp]: $-1 \leq \sin x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *sin-le-one* [simp]: $\sin x \leq 1$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *abs-cos-le-one* [simp]: $|\cos x| \leq 1$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *cos-ge-minus-one* [simp]: $-1 \leq \cos x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *cos-le-one* [simp]: $\cos x \leq 1$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *cos-diff*: $\cos (x - y) = \cos x * \cos y + \sin x * \sin y$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cos-double*: $\cos(2*x) = (\cos x)^2 - (\sin x)^2$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-cos-le1*: $|\sin x * \sin y + \cos x * \cos y| \leq 1$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *DERIV-fun-pow*: $\text{DERIV } g \ x :> m \implies \text{DERIV } (\lambda x. (g \ x) \ ^n) \ x :> \text{real}$
 $n * (g \ x) \ ^{(n-1)} * m$
 $\langle \text{proof} \rangle$

lemma *DERIV-fun-exp*: $\text{DERIV } g \ x :> m \implies \text{DERIV } (\lambda x. \exp (g \ x)) \ x :> \exp$
 $(g \ x) * m$
 $\langle \text{proof} \rangle$

105.13 The Constant Pi

definition $\pi :: \text{real}$

where $\pi = 2 * (\text{THE } x. 0 \leq x \wedge x \leq 2 \wedge \cos x = 0)$

Show that there's a least positive x with $\cos x = (0::'a)$; hence define π .

lemma *sin-paired*: $(\lambda n. (-1)^n / (\text{fact } (2 * n + 1)) * x^{(2 * n + 1)}) \text{ sums } \sin x$

for $x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *sin-gt-zero-02*:

fixes $x :: \text{real}$

assumes $0 < x$ **and** $x < 2$

shows $0 < \sin x$

$\langle \text{proof} \rangle$

lemma *cos-double-less-one*: $0 < x \implies x < 2 \implies \cos (2 * x) < 1$

for $x :: \text{real}$

$\langle \text{proof} \rangle$

lemma *cos-paired*: $(\lambda n. (-1)^n / (\text{fact } (2 * n)) * x^{(2 * n)}) \text{ sums } \cos x$

for $x :: \text{real}$

$\langle \text{proof} \rangle$

lemmas *realpow-num-eq-if* = *power-eq-if*

lemma *sumr-pos-lt-pair*:

fixes $f :: \text{nat} \Rightarrow \text{real}$

shows *summable* $f \implies$

$(\bigwedge d. 0 < f (k + (\text{Suc}(\text{Suc } 0) * d)) + f (k + ((\text{Suc } (\text{Suc } 0) * d) + 1))) \implies$
 $\text{sum } f \{.. $k\} < \text{suminf } f$$

$\langle \text{proof} \rangle$

lemma *cos-two-less-zero* [simp]: $\cos 2 < (0::\text{real})$

$\langle \text{proof} \rangle$

lemmas *cos-two-neq-zero* [simp] = *cos-two-less-zero* [THEN *less-imp-neq*]

lemmas *cos-two-le-zero* [simp] = *cos-two-less-zero* [THEN *order-less-imp-le*]

lemma *cos-is-zero*: $\exists! x::\text{real}. 0 \leq x \wedge x \leq 2 \wedge \cos x = 0$

$\langle \text{proof} \rangle$

lemma *pi-half*: $\pi/2 = (\text{THE } x. 0 \leq x \wedge x \leq 2 \wedge \cos x = 0)$

$\langle \text{proof} \rangle$

lemma *cos-pi-half* [simp]: $\cos (\pi / 2) = 0$

$\langle \text{proof} \rangle$

lemma *cos-of-real-pi-half* [simp]: $\cos ((\text{of-real } \pi / 2) :: 'a) = 0$

if *SORT-CONSTRAINT*('a::{real-field,banach,real-normed-algebra-1})
 ⟨proof⟩

lemma *pi-half-gt-zero* [*simp*]: $0 < \pi / 2$
 ⟨proof⟩

lemmas *pi-half-neq-zero* [*simp*] = *pi-half-gt-zero* [*THEN less-imp-neq, symmetric*]
lemmas *pi-half-ge-zero* [*simp*] = *pi-half-gt-zero* [*THEN order-less-imp-le*]

lemma *pi-half-less-two* [*simp*]: $\pi / 2 < 2$
 ⟨proof⟩

lemmas *pi-half-neq-two* [*simp*] = *pi-half-less-two* [*THEN less-imp-neq*]
lemmas *pi-half-le-two* [*simp*] = *pi-half-less-two* [*THEN order-less-imp-le*]

lemma *pi-gt-zero* [*simp*]: $0 < \pi$
 ⟨proof⟩

lemma *pi-ge-zero* [*simp*]: $0 \leq \pi$
 ⟨proof⟩

lemma *pi-neq-zero* [*simp*]: $\pi \neq 0$
 ⟨proof⟩

lemma *pi-not-less-zero* [*simp*]: $\neg \pi < 0$
 ⟨proof⟩

lemma *minus-pi-half-less-zero*: $-(\pi/2) < 0$
 ⟨proof⟩

lemma *m2pi-less-pi*: $-(2*\pi) < \pi$
 ⟨proof⟩

lemma *sin-pi-half* [*simp*]: $\sin(\pi/2) = 1$
 ⟨proof⟩

lemma *sin-of-real-pi-half* [*simp*]: $\sin((\text{of-real } \pi / 2) :: 'a) = 1$
if *SORT-CONSTRAINT*('a::{real-field,banach,real-normed-algebra-1})
 ⟨proof⟩

lemma *sin-cos-eq*: $\sin x = \cos(\text{of-real } \pi / 2 - x)$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 ⟨proof⟩

lemma *minus-sin-cos-eq*: $-\sin x = \cos(x + \text{of-real } \pi / 2)$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 ⟨proof⟩

lemma *cos-sin-eq*: $\cos x = \sin(\text{of-real } \pi / 2 - x)$

for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-add*: $\sin (x + y) = \sin x * \cos y + \cos x * \sin y$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-diff*: $\sin (x - y) = \sin x * \cos y - \cos x * \sin y$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-double*: $\sin(2 * x) = 2 * \sin x * \cos x$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cos-of-real-pi [simp]*: $\cos (\text{of-real } \pi) = -1$
 $\langle \text{proof} \rangle$

lemma *sin-of-real-pi [simp]*: $\sin (\text{of-real } \pi) = 0$
 $\langle \text{proof} \rangle$

lemma *cos-pi [simp]*: $\cos \pi = -1$
 $\langle \text{proof} \rangle$

lemma *sin-pi [simp]*: $\sin \pi = 0$
 $\langle \text{proof} \rangle$

lemma *sin-periodic-pi [simp]*: $\sin (x + \pi) = - \sin x$
 $\langle \text{proof} \rangle$

lemma *sin-periodic-pi2 [simp]*: $\sin (\pi + x) = - \sin x$
 $\langle \text{proof} \rangle$

lemma *cos-periodic-pi [simp]*: $\cos (x + \pi) = - \cos x$
 $\langle \text{proof} \rangle$

lemma *cos-periodic-pi2 [simp]*: $\cos (\pi + x) = - \cos x$
 $\langle \text{proof} \rangle$

lemma *sin-periodic [simp]*: $\sin (x + 2 * \pi) = \sin x$
 $\langle \text{proof} \rangle$

lemma *cos-periodic [simp]*: $\cos (x + 2 * \pi) = \cos x$
 $\langle \text{proof} \rangle$

lemma *cos-npi [simp]*: $\cos (\text{real } n * \pi) = (-1) ^ n$
 $\langle \text{proof} \rangle$

lemma *cos-npi2 [simp]*: $\cos (\pi * \text{real } n) = (-1) ^ n$

$\langle proof \rangle$

lemma *sin-npi* [simp]: $\sin (\text{real } n * \pi) = 0$
for $n :: \text{nat}$
 $\langle proof \rangle$

lemma *sin-npi2* [simp]: $\sin (\pi * \text{real } n) = 0$
for $n :: \text{nat}$
 $\langle proof \rangle$

lemma *cos-two-pi* [simp]: $\cos (2 * \pi) = 1$
 $\langle proof \rangle$

lemma *sin-two-pi* [simp]: $\sin (2 * \pi) = 0$
 $\langle proof \rangle$

lemma *sin-times-sin*: $\sin w * \sin z = (\cos (w - z) - \cos (w + z)) / 2$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle proof \rangle$

lemma *sin-times-cos*: $\sin w * \cos z = (\sin (w + z) + \sin (w - z)) / 2$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle proof \rangle$

lemma *cos-times-sin*: $\cos w * \sin z = (\sin (w + z) - \sin (w - z)) / 2$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle proof \rangle$

lemma *cos-times-cos*: $\cos w * \cos z = (\cos (w - z) + \cos (w + z)) / 2$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle proof \rangle$

lemma *sin-plus-sin*: $\sin w + \sin z = 2 * \sin ((w + z) / 2) * \cos ((w - z) / 2)$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}, \text{field}\}$
 $\langle proof \rangle$

lemma *sin-diff-sin*: $\sin w - \sin z = 2 * \sin ((w - z) / 2) * \cos ((w + z) / 2)$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}, \text{field}\}$
 $\langle proof \rangle$

lemma *cos-plus-cos*: $\cos w + \cos z = 2 * \cos ((w + z) / 2) * \cos ((w - z) / 2)$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}, \text{field}\}$
 $\langle proof \rangle$

lemma *cos-diff-cos*: $\cos w - \cos z = 2 * \sin ((w + z) / 2) * \sin ((z - w) / 2)$
for $w :: 'a::\{\text{real-normed-field}, \text{banach}, \text{field}\}$
 $\langle proof \rangle$

lemma *cos-double-cos*: $\cos (2 * z) = 2 * \cos z ^ 2 - 1$

for $z :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cos-double-sin*: $\cos (2 * z) = 1 - 2 * \sin z ^ 2$
for $z :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *sin-pi-minus* [simp]: $\sin (pi - x) = \sin x$
 $\langle \text{proof} \rangle$

lemma *cos-pi-minus* [simp]: $\cos (pi - x) = - (\cos x)$
 $\langle \text{proof} \rangle$

lemma *sin-minus-pi* [simp]: $\sin (x - pi) = - (\sin x)$
 $\langle \text{proof} \rangle$

lemma *cos-minus-pi* [simp]: $\cos (x - pi) = - (\cos x)$
 $\langle \text{proof} \rangle$

lemma *sin-2pi-minus* [simp]: $\sin (2 * pi - x) = - (\sin x)$
 $\langle \text{proof} \rangle$

lemma *cos-2pi-minus* [simp]: $\cos (2 * pi - x) = \cos x$
 $\langle \text{proof} \rangle$

lemma *sin-gt-zero2*: $0 < x \implies x < pi/2 \implies 0 < \sin x$
 $\langle \text{proof} \rangle$

lemma *sin-less-zero*:
assumes $- pi/2 < x$ **and** $x < 0$
shows $\sin x < 0$
 $\langle \text{proof} \rangle$

lemma *pi-less-4*: $pi < 4$
 $\langle \text{proof} \rangle$

lemma *cos-gt-zero*: $0 < x \implies x < pi/2 \implies 0 < \cos x$
 $\langle \text{proof} \rangle$

lemma *cos-gt-zero-pi*: $-(pi/2) < x \implies x < pi/2 \implies 0 < \cos x$
 $\langle \text{proof} \rangle$

lemma *cos-ge-zero*: $-(pi/2) \leq x \implies x \leq pi/2 \implies 0 \leq \cos x$
 $\langle \text{proof} \rangle$

lemma *sin-gt-zero*: $0 < x \implies x < pi \implies 0 < \sin x$
 $\langle \text{proof} \rangle$

lemma *sin-lt-zero*: $pi < x \implies x < 2 * pi \implies \sin x < 0$

$\langle \text{proof} \rangle$

lemma *pi-ge-two*: $2 \leq \pi$
 $\langle \text{proof} \rangle$

lemma *sin-ge-zero*: $0 \leq x \implies x \leq \pi \implies 0 \leq \sin x$
 $\langle \text{proof} \rangle$

lemma *sin-le-zero*: $\pi \leq x \implies x < 2 * \pi \implies \sin x \leq 0$
 $\langle \text{proof} \rangle$

lemma *sin-pi-divide-n-ge-0* [*simp*]:
 assumes $n \neq 0$
 shows $0 \leq \sin (\pi / \text{real } n)$
 $\langle \text{proof} \rangle$

lemma *sin-pi-divide-n-gt-0*:
 assumes $2 \leq n$
 shows $0 < \sin (\pi / \text{real } n)$
 $\langle \text{proof} \rangle$

lemma *cos-total*:
 assumes $y: -1 \leq y \leq 1$
 shows $\exists!x. 0 \leq x \wedge x \leq \pi \wedge \cos x = y$
 $\langle \text{proof} \rangle$

lemma *sin-total*:
 assumes $y: -1 \leq y \leq 1$
 shows $\exists!x. -(\pi/2) \leq x \wedge x \leq \pi/2 \wedge \sin x = y$
 $\langle \text{proof} \rangle$

lemma *cos-zero-lemma*:
 assumes $0 \leq x \wedge \cos x = 0$
 shows $\exists n. \text{odd } n \wedge x = \text{of_nat } n * (\pi/2) \wedge n > 0$
 $\langle \text{proof} \rangle$

lemma *sin-zero-lemma*: $0 \leq x \implies \sin x = 0 \implies \exists n::\text{nat}. \text{even } n \wedge x = \text{real } n * (\pi/2)$
 $\langle \text{proof} \rangle$

lemma *cos-zero-iff*:
 $\cos x = 0 \iff ((\exists n. \text{odd } n \wedge x = \text{real } n * (\pi/2)) \vee (\exists n. \text{odd } n \wedge x = -(\text{real } n * (\pi/2))))$
 (is ?lhs = ?rhs)
 $\langle \text{proof} \rangle$

lemma *sin-zero-iff*:
 $\sin x = 0 \iff ((\exists n. \text{even } n \wedge x = \text{real } n * (\pi/2)) \vee (\exists n. \text{even } n \wedge x = -$

(*real* $n * (pi/2)$)))
 (is ?lhs = ?rhs)
 ⟨proof⟩

lemma *cos-zero-iff-int*: $\cos x = 0 \longleftrightarrow (\exists n. \text{odd } n \wedge x = \text{of-int } n * (pi/2))$
 ⟨proof⟩

lemma *sin-zero-iff-int*: $\sin x = 0 \longleftrightarrow (\exists n. \text{even } n \wedge x = \text{of-int } n * (pi/2))$
 ⟨proof⟩

lemma *sin-zero-iff-int2*: $\sin x = 0 \longleftrightarrow (\exists n::\text{int}. x = \text{of-int } n * pi)$
 ⟨proof⟩

lemma *sin-npi-int* [simp]: $\sin (pi * \text{of-int } n) = 0$
 ⟨proof⟩

lemma *cos-monotone-0-pi*:
 assumes $0 \leq y$ and $y < x$ and $x \leq pi$
 shows $\cos x < \cos y$
 ⟨proof⟩

lemma *cos-monotone-0-pi-le*:
 assumes $0 \leq y$ and $y \leq x$ and $x \leq pi$
 shows $\cos x \leq \cos y$
 ⟨proof⟩

lemma *cos-monotone-minus-pi-0*:
 assumes $-pi \leq y$ and $y < x$ and $x \leq 0$
 shows $\cos y < \cos x$
 ⟨proof⟩

lemma *cos-monotone-minus-pi-0'*:
 assumes $-pi \leq y$ and $y \leq x$ and $x \leq 0$
 shows $\cos y \leq \cos x$
 ⟨proof⟩

lemma *sin-monotone-2pi*:
 assumes $-(pi/2) \leq y$ and $y < x$ and $x \leq pi/2$
 shows $\sin y < \sin x$
 ⟨proof⟩

lemma *sin-monotone-2pi-le*:
 assumes $-(pi / 2) \leq y$ and $y \leq x$ and $x \leq pi / 2$
 shows $\sin y \leq \sin x$
 ⟨proof⟩

lemma *sin-x-le-x*:
 fixes $x :: \text{real}$
 assumes $x: x \geq 0$

shows $\sin x \leq x$
 $\langle \text{proof} \rangle$

lemma *sin-x-ge-neg-x*:
fixes $x :: \text{real}$
assumes $x: x \geq 0$
shows $\sin x \geq -x$
 $\langle \text{proof} \rangle$

lemma *abs-sin-x-le-abs-x*: $|\sin x| \leq |x|$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

105.14 More Corollaries about Sine and Cosine

lemma *sin-cos-npi* [simp]: $\sin (\text{real } (\text{Suc } (2 * n)) * \pi / 2) = (-1) ^ n$
 $\langle \text{proof} \rangle$

lemma *cos-2npi* [simp]: $\cos (2 * \text{real } n * \pi) = 1$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cos-3over2-pi* [simp]: $\cos (3/2 * \pi) = 0$
 $\langle \text{proof} \rangle$

lemma *sin-2npi* [simp]: $\sin (2 * \text{real } n * \pi) = 0$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *sin-3over2-pi* [simp]: $\sin (3/2 * \pi) = -1$
 $\langle \text{proof} \rangle$

lemma *cos-pi-eq-zero* [simp]: $\cos (\pi * \text{real } (\text{Suc } (2 * m)) / 2) = 0$
 $\langle \text{proof} \rangle$

lemma *DERIV-cos-add* [simp]: $\text{DERIV } (\lambda x. \cos (x + k)) \text{ } xa :> - \sin (xa + k)$
 $\langle \text{proof} \rangle$

lemma *sin-zero-norm-cos-one*:
fixes $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
assumes $\sin x = 0$
shows $\text{norm } (\cos x) = 1$
 $\langle \text{proof} \rangle$

lemma *sin-zero-abs-cos-one*: $\sin x = 0 \implies |\cos x| = (1 :: \text{real})$
 $\langle \text{proof} \rangle$

lemma *cos-one-sin-zero*:
fixes $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$

assumes $\cos x = 1$
shows $\sin x = 0$
 $\langle \text{proof} \rangle$

lemma *sin-times-pi-eq-0*: $\sin (x * \text{pi}) = 0 \longleftrightarrow x \in \mathbb{Z}$
 $\langle \text{proof} \rangle$

lemma *cos-one-2pi*: $\cos x = 1 \longleftrightarrow (\exists n::\text{nat}. x = n * 2 * \text{pi}) \mid (\exists n::\text{nat}. x = -(n * 2 * \text{pi}))$
(is ?lhs = ?rhs)
 $\langle \text{proof} \rangle$

lemma *cos-one-2pi-int*: $\cos x = 1 \longleftrightarrow (\exists n::\text{int}. x = n * 2 * \text{pi})$ **(is ?lhs = ?rhs)**
 $\langle \text{proof} \rangle$

lemma *cos-npi-int* [simp]:
fixes $n::\text{int}$ **shows** $\cos (\text{pi} * \text{of-int } n) = (\text{if even } n \text{ then } 1 \text{ else } -1)$
 $\langle \text{proof} \rangle$

lemma *sin-cos-sqrt*: $0 \leq \sin x \implies \sin x = \text{sqrt } (1 - (\cos(x) ^ 2))$
 $\langle \text{proof} \rangle$

lemma *sin-eq-0-pi*: $-\text{pi} < x \implies x < \text{pi} \implies \sin x = 0 \implies x = 0$
 $\langle \text{proof} \rangle$

lemma *cos-treble-cos*: $\cos (3 * x) = 4 * \cos x ^ 3 - 3 * \cos x$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cos-45*: $\cos (\text{pi} / 4) = \text{sqrt } 2 / 2$
 $\langle \text{proof} \rangle$

lemma *cos-30*: $\cos (\text{pi} / 6) = \text{sqrt } 3 / 2$
 $\langle \text{proof} \rangle$

lemma *sin-45*: $\sin (\text{pi} / 4) = \text{sqrt } 2 / 2$
 $\langle \text{proof} \rangle$

lemma *sin-60*: $\sin (\text{pi} / 3) = \text{sqrt } 3 / 2$
 $\langle \text{proof} \rangle$

lemma *cos-60*: $\cos (\text{pi} / 3) = 1 / 2$
 $\langle \text{proof} \rangle$

lemma *sin-30*: $\sin (\text{pi} / 6) = 1 / 2$
 $\langle \text{proof} \rangle$

lemma *cos-integer-2pi*: $n \in \mathbb{Z} \implies \cos(2 * \text{pi} * n) = 1$
 $\langle \text{proof} \rangle$

lemma *sin-integer-2pi*: $n \in \mathbb{Z} \implies \sin(2 * \pi * n) = 0$
 ⟨proof⟩

lemma *cos-int-2npi* [simp]: $\cos(2 * \text{of-int } n * \pi) = 1$
 for $n :: \text{int}$
 ⟨proof⟩

lemma *sin-int-2npi* [simp]: $\sin(2 * \text{of-int } n * \pi) = 0$
 for $n :: \text{int}$
 ⟨proof⟩

lemma *sincos-principal-value*: $\exists y. (-\pi < y \wedge y \leq \pi) \wedge (\sin y = \sin x \wedge \cos y = \cos x)$
 ⟨proof⟩

105.15 Tangent

definition *tan* :: $'a \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$
 where $\text{tan} = (\lambda x. \sin x / \cos x)$

lemma *tan-of-real*: $\text{of-real}(\text{tan } x) = (\text{tan}(\text{of-real } x)) :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 ⟨proof⟩

lemma *tan-in-Reals* [simp]: $z \in \mathbb{R} \implies \text{tan } z \in \mathbb{R}$
 for $z :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 ⟨proof⟩

lemma *tan-zero* [simp]: $\text{tan } 0 = 0$
 ⟨proof⟩

lemma *tan-pi* [simp]: $\text{tan } \pi = 0$
 ⟨proof⟩

lemma *tan-npi* [simp]: $\text{tan}(\text{real } n * \pi) = 0$
 for $n :: \text{nat}$
 ⟨proof⟩

lemma *tan-minus* [simp]: $\text{tan}(-x) = -\text{tan } x$
 ⟨proof⟩

lemma *tan-periodic* [simp]: $\text{tan}(x + 2 * \pi) = \text{tan } x$
 ⟨proof⟩

lemma *lemma-tan-add1*: $\cos x \neq 0 \implies \cos y \neq 0 \implies 1 - \text{tan } x * \text{tan } y = \cos(x + y) / (\cos x * \cos y)$
 ⟨proof⟩

lemma *add-tan-eq*: $\cos x \neq 0 \implies \cos y \neq 0 \implies \text{tan } x + \text{tan } y = \sin(x + y) / (\cos x * \cos y)$

$x * \cos y$)
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *tan-add*:
 $\cos x \neq 0 \implies \cos y \neq 0 \implies \cos (x + y) \neq 0 \implies \tan (x + y) = (\tan x + \tan y) / (1 - \tan x * \tan y)$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *tan-double*: $\cos x \neq 0 \implies \cos (2 * x) \neq 0 \implies \tan (2 * x) = (2 * \tan x) / (1 - (\tan x)^2)$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *tan-gt-zero*: $0 < x \implies x < \pi/2 \implies 0 < \tan x$
 $\langle \text{proof} \rangle$

lemma *tan-less-zero*:
assumes $-\pi/2 < x$ **and** $x < 0$
shows $\tan x < 0$
 $\langle \text{proof} \rangle$

lemma *tan-half*: $\tan x = \sin (2 * x) / (\cos (2 * x) + 1)$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}, \text{field}\}$
 $\langle \text{proof} \rangle$

lemma *tan-30*: $\tan (\pi / 6) = 1 / \text{sqrt } 3$
 $\langle \text{proof} \rangle$

lemma *tan-45*: $\tan (\pi / 4) = 1$
 $\langle \text{proof} \rangle$

lemma *tan-60*: $\tan (\pi / 3) = \text{sqrt } 3$
 $\langle \text{proof} \rangle$

lemma *DERIV-tan [simp]*: $\cos x \neq 0 \implies \text{DERIV } \tan x :> \text{inverse } ((\cos x)^2)$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *isCont-tan*: $\cos x \neq 0 \implies \text{isCont } \tan x$
for $x :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *isCont-tan'* [simp, continuous-intros]:
fixes $a :: 'a::\{\text{real-normed-field}, \text{banach}\}$ **and** $f :: 'a \Rightarrow 'a$
shows $\text{isCont } f \ a \implies \cos (f \ a) \neq 0 \implies \text{isCont } (\lambda x. \tan (f \ x)) \ a$
 $\langle \text{proof} \rangle$

lemma *tendsto-tan* [*tendsto-intros*]:

fixes $f :: 'a \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$

shows $(f \longrightarrow a) F \implies \cos a \neq 0 \implies ((\lambda x. \tan (f x)) \longrightarrow \tan a) F$
 $\langle \text{proof} \rangle$

lemma *continuous-tan*:

fixes $f :: 'a \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$

shows $\text{continuous } F f \implies \cos (f (\text{Lim } F (\lambda x. x))) \neq 0 \implies \text{continuous } F (\lambda x. \tan (f x))$
 $\langle \text{proof} \rangle$

lemma *continuous-on-tan* [*continuous-intros*]:

fixes $f :: 'a \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$

shows $\text{continuous-on } s f \implies (\forall x \in s. \cos (f x) \neq 0) \implies \text{continuous-on } s (\lambda x. \tan (f x))$
 $\langle \text{proof} \rangle$

lemma *continuous-within-tan* [*continuous-intros*]:

fixes $f :: 'a \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$

shows $\text{continuous (at } x \text{ within } s) f \implies$

$\cos (f x) \neq 0 \implies \text{continuous (at } x \text{ within } s) (\lambda x. \tan (f x))$

$\langle \text{proof} \rangle$

lemma *LIM-cos-div-sin*: $(\lambda x. \cos(x)/\sin(x)) -\pi/2 \rightarrow 0$

$\langle \text{proof} \rangle$

lemma *lemma-tan-total*: $0 < y \implies \exists x. 0 < x \wedge x < \pi/2 \wedge y < \tan x$

$\langle \text{proof} \rangle$

lemma *tan-total-pos*: $0 \leq y \implies \exists x. 0 \leq x \wedge x < \pi/2 \wedge \tan x = y$

$\langle \text{proof} \rangle$

lemma *lemma-tan-total1*: $\exists x. -(\pi/2) < x \wedge x < (\pi/2) \wedge \tan x = y$

$\langle \text{proof} \rangle$

lemma *tan-total*: $\exists! x. -(\pi/2) < x \wedge x < (\pi/2) \wedge \tan x = y$

$\langle \text{proof} \rangle$

lemma *tan-monotone*:

assumes $-(\pi / 2) < y$ **and** $y < x$ **and** $x < \pi / 2$

shows $\tan y < \tan x$

$\langle \text{proof} \rangle$

lemma *tan-monotone'*:

assumes $-(\pi / 2) < y$

and $y < \pi / 2$

and $-(\pi / 2) < x$

and $x < \pi / 2$

shows $y < x \longleftrightarrow \tan y < \tan x$

$\langle \text{proof} \rangle$

lemma *tan-inverse*: $1 / (\tan y) = \tan (pi / 2 - y)$
 $\langle \text{proof} \rangle$

lemma *tan-periodic-pi[simp]*: $\tan (x + pi) = \tan x$
 $\langle \text{proof} \rangle$

lemma *tan-periodic-nat[simp]*: $\tan (x + \text{real } n * pi) = \tan x$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *tan-periodic-int[simp]*: $\tan (x + \text{of-int } i * pi) = \tan x$
 $\langle \text{proof} \rangle$

lemma *tan-periodic-n[simp]*: $\tan (x + \text{numeral } n * pi) = \tan x$
 $\langle \text{proof} \rangle$

lemma *tan-minus-45*: $\tan (-(pi/4)) = -1$
 $\langle \text{proof} \rangle$

lemma *tan-diff*:
 $\cos x \neq 0 \implies \cos y \neq 0 \implies \cos (x - y) \neq 0 \implies \tan (x - y) = (\tan x - \tan y) / (1 + \tan x * \tan y)$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *tan-pos-pi2-le*: $0 \leq x \implies x < pi/2 \implies 0 \leq \tan x$
 $\langle \text{proof} \rangle$

lemma *cos-tan*: $|x| < pi/2 \implies \cos x = 1 / \text{sqrt } (1 + \tan x ^ 2)$
 $\langle \text{proof} \rangle$

lemma *sin-tan*: $|x| < pi/2 \implies \sin x = \tan x / \text{sqrt } (1 + \tan x ^ 2)$
 $\langle \text{proof} \rangle$

lemma *tan-mono-le*: $-(pi/2) < x \implies x \leq y \implies y < pi/2 \implies \tan x \leq \tan y$
 $\langle \text{proof} \rangle$

lemma *tan-mono-lt-eq*:
 $-(pi/2) < x \implies x < pi/2 \implies -(pi/2) < y \implies y < pi/2 \implies \tan x < \tan y$
 $\longleftrightarrow x < y$
 $\langle \text{proof} \rangle$

lemma *tan-mono-le-eq*:
 $-(pi/2) < x \implies x < pi/2 \implies -(pi/2) < y \implies y < pi/2 \implies \tan x \leq \tan y$
 $\longleftrightarrow x \leq y$
 $\langle \text{proof} \rangle$

lemma *tan-bound-pi2*: $|x| < \pi/4 \implies |\tan x| < 1$
 $\langle \text{proof} \rangle$

lemma *tan-cot*: $\tan(\pi/2 - x) = \text{inverse}(\tan x)$
 $\langle \text{proof} \rangle$

105.16 Cotangent

definition *cot* :: $'a \Rightarrow 'a::\{\text{real-normed-field}, \text{banach}\}$
where $\text{cot} = (\lambda x. \cos x / \sin x)$

lemma *cot-of-real*: $\text{of-real}(\text{cot } x) = (\text{cot}(\text{of-real } x)) :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cot-in-Reals* [*simp*]: $z \in \mathbb{R} \implies \text{cot } z \in \mathbb{R}$
for $z :: 'a::\{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *cot-zero* [*simp*]: $\text{cot } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *cot-pi* [*simp*]: $\text{cot } \pi = 0$
 $\langle \text{proof} \rangle$

lemma *cot-npi* [*simp*]: $\text{cot}(\text{real } n * \pi) = 0$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cot-minus* [*simp*]: $\text{cot}(-x) = -\text{cot } x$
 $\langle \text{proof} \rangle$

lemma *cot-periodic* [*simp*]: $\text{cot}(x + 2 * \pi) = \text{cot } x$
 $\langle \text{proof} \rangle$

lemma *cot-altdef*: $\text{cot } x = \text{inverse}(\tan x)$
 $\langle \text{proof} \rangle$

lemma *tan-altdef*: $\tan x = \text{inverse}(\text{cot } x)$
 $\langle \text{proof} \rangle$

lemma *tan-cot'*: $\tan(\pi/2 - x) = \text{cot } x$
 $\langle \text{proof} \rangle$

lemma *cot-gt-zero*: $0 < x \implies x < \pi/2 \implies 0 < \text{cot } x$
 $\langle \text{proof} \rangle$

lemma *cot-less-zero*:
assumes $\text{lb}: -\pi/2 < x$ **and** $x < 0$
shows $\text{cot } x < 0$

$\langle \text{proof} \rangle$

lemma *DERIV-cot* [*simp*]: $\sin x \neq 0 \implies \text{DERIV } \cot x :> -\text{inverse } ((\sin x)^2)$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *isCont-cot*: $\sin x \neq 0 \implies \text{isCont } \cot x$
for $x :: 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *isCont-cot'* [*simp, continuous-intros*]:
 $\text{isCont } f \implies \sin (f a) \neq 0 \implies \text{isCont } (\lambda x. \cot (f x)) a$
for $a :: 'a :: \{\text{real-normed-field}, \text{banach}\}$ **and** $f :: 'a \Rightarrow 'a$
 $\langle \text{proof} \rangle$

lemma *tendsto-cot* [*tendsto-intros*]: $(f \longrightarrow a) F \implies \sin a \neq 0 \implies ((\lambda x. \cot (f x)) \longrightarrow \cot a) F$
for $f :: 'a \Rightarrow 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *continuous-cot*:
 $\text{continuous } F f \implies \sin (f (\text{Lim } F (\lambda x. x))) \neq 0 \implies \text{continuous } F (\lambda x. \cot (f x))$
for $f :: 'a \Rightarrow 'a :: \{\text{real-normed-field}, \text{banach}\}$
 $\langle \text{proof} \rangle$

lemma *continuous-on-cot* [*continuous-intros*]:
fixes $f :: 'a \Rightarrow 'a :: \{\text{real-normed-field}, \text{banach}\}$
shows $\text{continuous-on } s f \implies (\forall x \in s. \sin (f x) \neq 0) \implies \text{continuous-on } s (\lambda x. \cot (f x))$
 $\langle \text{proof} \rangle$

lemma *continuous-within-cot* [*continuous-intros*]:
fixes $f :: 'a \Rightarrow 'a :: \{\text{real-normed-field}, \text{banach}\}$
shows $\text{continuous (at } x \text{ within } s) f \implies \sin (f x) \neq 0 \implies \text{continuous (at } x \text{ within } s) (\lambda x. \cot (f x))$
 $\langle \text{proof} \rangle$

105.17 Inverse Trigonometric Functions

definition *arcsin* :: $\text{real} \Rightarrow \text{real}$
where $\text{arcsin } y = (\text{THE } x. -(pi/2) \leq x \wedge x \leq pi/2 \wedge \sin x = y)$

definition *arccos* :: $\text{real} \Rightarrow \text{real}$
where $\text{arccos } y = (\text{THE } x. 0 \leq x \wedge x \leq pi \wedge \cos x = y)$

definition *arctan* :: $\text{real} \Rightarrow \text{real}$
where $\text{arctan } y = (\text{THE } x. -(pi/2) < x \wedge x < pi/2 \wedge \tan x = y)$

lemma *arcsin*: $-1 \leq y \implies y \leq 1 \implies -(pi/2) \leq \arcsin y \wedge \arcsin y \leq pi/2$
 $\wedge \sin (\arcsin y) = y$
 $\langle proof \rangle$

lemma *arcsin-pi*: $-1 \leq y \implies y \leq 1 \implies -(pi/2) \leq \arcsin y \wedge \arcsin y \leq pi$
 $\wedge \sin (\arcsin y) = y$
 $\langle proof \rangle$

lemma *sin-arcsin* [simp]: $-1 \leq y \implies y \leq 1 \implies \sin (\arcsin y) = y$
 $\langle proof \rangle$

lemma *arcsin-bounded*: $-1 \leq y \implies y \leq 1 \implies -(pi/2) \leq \arcsin y \wedge \arcsin y \leq pi/2$
 $\langle proof \rangle$

lemma *arcsin-lbound*: $-1 \leq y \implies y \leq 1 \implies -(pi/2) \leq \arcsin y$
 $\langle proof \rangle$

lemma *arcsin-ubound*: $-1 \leq y \implies y \leq 1 \implies \arcsin y \leq pi/2$
 $\langle proof \rangle$

lemma *arcsin-lt-bounded*: $-1 < y \implies y < 1 \implies -(pi/2) < \arcsin y \wedge \arcsin y < pi/2$
 $\langle proof \rangle$

lemma *arcsin-sin*: $-(pi/2) \leq x \implies x \leq pi/2 \implies \arcsin (\sin x) = x$
 $\langle proof \rangle$

lemma *arcsin-0* [simp]: $\arcsin 0 = 0$
 $\langle proof \rangle$

lemma *arcsin-1* [simp]: $\arcsin 1 = pi/2$
 $\langle proof \rangle$

lemma *arcsin-minus-1* [simp]: $\arcsin (-1) = -(pi/2)$
 $\langle proof \rangle$

lemma *arcsin-minus*: $-1 \leq x \implies x \leq 1 \implies \arcsin (-x) = -\arcsin x$
 $\langle proof \rangle$

lemma *arcsin-eq-iff*: $|x| \leq 1 \implies |y| \leq 1 \implies \arcsin x = \arcsin y \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma *cos-arcsin-nonzero*: $-1 < x \implies x < 1 \implies \cos (\arcsin x) \neq 0$
 $\langle proof \rangle$

lemma *arccos*: $-1 \leq y \implies y \leq 1 \implies 0 \leq \arccos y \wedge \arccos y \leq pi \wedge \cos (\arccos y) = y$
 $\langle proof \rangle$

lemma *cos-arccos* [simp]: $-1 \leq y \implies y \leq 1 \implies \cos (\arccos y) = y$
 ⟨proof⟩

lemma *arccos-bounded*: $-1 \leq y \implies y \leq 1 \implies 0 \leq \arccos y \wedge \arccos y \leq \pi$
 ⟨proof⟩

lemma *arccos-lbound*: $-1 \leq y \implies y \leq 1 \implies 0 \leq \arccos y$
 ⟨proof⟩

lemma *arccos-ubound*: $-1 \leq y \implies y \leq 1 \implies \arccos y \leq \pi$
 ⟨proof⟩

lemma *arccos-lt-bounded*: $-1 < y \implies y < 1 \implies 0 < \arccos y \wedge \arccos y < \pi$
 ⟨proof⟩

lemma *arccos-cos*: $0 \leq x \implies x \leq \pi \implies \arccos (\cos x) = x$
 ⟨proof⟩

lemma *arccos-cos2*: $x \leq 0 \implies -\pi \leq x \implies \arccos (\cos x) = -x$
 ⟨proof⟩

lemma *cos-arcsin*: $-1 \leq x \implies x \leq 1 \implies \cos (\arcsin x) = \sqrt{1 - x^2}$
 ⟨proof⟩

lemma *sin-arccos*: $-1 \leq x \implies x \leq 1 \implies \sin (\arccos x) = \sqrt{1 - x^2}$
 ⟨proof⟩

lemma *arccos-0* [simp]: $\arccos 0 = \pi/2$
 ⟨proof⟩

lemma *arccos-1* [simp]: $\arccos 1 = 0$
 ⟨proof⟩

lemma *arccos-minus-1* [simp]: $\arccos (-1) = \pi$
 ⟨proof⟩

lemma *arccos-minus*: $-1 \leq x \implies x \leq 1 \implies \arccos (-x) = \pi - \arccos x$
 ⟨proof⟩

corollary *arccos-minus-abs*:
 assumes $|x| \leq 1$
 shows $\arccos (-x) = \pi - \arccos x$
 ⟨proof⟩

lemma *sin-arccos-nonzero*: $-1 < x \implies x < 1 \implies \sin (\arccos x) \neq 0$
 ⟨proof⟩

lemma *arctan*: $-(\pi/2) < \arctan y \wedge \arctan y < \pi/2 \wedge \tan (\arctan y) = y$

$\langle proof \rangle$

lemma *tan-arctan*: $\tan (\arctan y) = y$
 $\langle proof \rangle$

lemma *arctan-bounded*: $-(\pi/2) < \arctan y \wedge \arctan y < \pi/2$
 $\langle proof \rangle$

lemma *arctan-lbound*: $-(\pi/2) < \arctan y$
 $\langle proof \rangle$

lemma *arctan-ubound*: $\arctan y < \pi/2$
 $\langle proof \rangle$

lemma *arctan-unique*:
 assumes $-(\pi/2) < x$
 and $x < \pi/2$
 and $\tan x = y$
 shows $\arctan y = x$
 $\langle proof \rangle$

lemma *arctan-tan*: $-(\pi/2) < x \implies x < \pi/2 \implies \arctan (\tan x) = x$
 $\langle proof \rangle$

lemma *arctan-zero-zero* [simp]: $\arctan 0 = 0$
 $\langle proof \rangle$

lemma *arctan-minus*: $\arctan (-x) = -\arctan x$
 $\langle proof \rangle$

lemma *cos-arctan-not-zero* [simp]: $\cos (\arctan x) \neq 0$
 $\langle proof \rangle$

lemma *cos-arctan*: $\cos (\arctan x) = 1 / \sqrt{1 + x^2}$
 $\langle proof \rangle$

lemma *sin-arctan*: $\sin (\arctan x) = x / \sqrt{1 + x^2}$
 $\langle proof \rangle$

lemma *tan-sec*: $\cos x \neq 0 \implies 1 + (\tan x)^2 = (\operatorname{inverse} (\cos x))^2$
 for $x :: 'a :: \{\text{real-normed-field}, \text{banach}, \text{field}\}$
 $\langle proof \rangle$

lemma *arctan-less-iff*: $\arctan x < \arctan y \iff x < y$
 $\langle proof \rangle$

lemma *arctan-le-iff*: $\arctan x \leq \arctan y \iff x \leq y$
 $\langle proof \rangle$

lemma *arctan-eq-iff*: $\text{arctan } x = \text{arctan } y \longleftrightarrow x = y$
 ⟨proof⟩

lemma *zero-less-arctan-iff* [simp]: $0 < \text{arctan } x \longleftrightarrow 0 < x$
 ⟨proof⟩

lemma *arctan-less-zero-iff* [simp]: $\text{arctan } x < 0 \longleftrightarrow x < 0$
 ⟨proof⟩

lemma *zero-le-arctan-iff* [simp]: $0 \leq \text{arctan } x \longleftrightarrow 0 \leq x$
 ⟨proof⟩

lemma *arctan-le-zero-iff* [simp]: $\text{arctan } x \leq 0 \longleftrightarrow x \leq 0$
 ⟨proof⟩

lemma *arctan-eq-zero-iff* [simp]: $\text{arctan } x = 0 \longleftrightarrow x = 0$
 ⟨proof⟩

lemma *continuous-on-arcsin'*: $\text{continuous-on } \{-1 .. 1\} \text{ arcsin}$
 ⟨proof⟩

lemma *continuous-on-arcsin* [continuous-intros]:
 $\text{continuous-on } s \ f \implies (\forall x \in s. -1 \leq f \ x \wedge f \ x \leq 1) \implies \text{continuous-on } s \ (\lambda x. \text{arcsin } (f \ x))$
 ⟨proof⟩

lemma *isCont-arcsin*: $-1 < x \implies x < 1 \implies \text{isCont arcsin } x$
 ⟨proof⟩

lemma *continuous-on-arccos'*: $\text{continuous-on } \{-1 .. 1\} \text{ arccos}$
 ⟨proof⟩

lemma *continuous-on-arccos* [continuous-intros]:
 $\text{continuous-on } s \ f \implies (\forall x \in s. -1 \leq f \ x \wedge f \ x \leq 1) \implies \text{continuous-on } s \ (\lambda x. \text{arccos } (f \ x))$
 ⟨proof⟩

lemma *isCont-arccos*: $-1 < x \implies x < 1 \implies \text{isCont arccos } x$
 ⟨proof⟩

lemma *isCont-arctan*: $\text{isCont arctan } x$
 ⟨proof⟩

lemma *tendsto-arctan* [tendsto-intros]: $(f \longrightarrow x) \ F \implies ((\lambda x. \text{arctan } (f \ x)) \longrightarrow \text{arctan } x) \ F$
 ⟨proof⟩

lemma *continuous-arctan* [continuous-intros]: $\text{continuous } F \ f \implies \text{continuous } F \ (\lambda x. \text{arctan } (f \ x))$

$\langle \text{proof} \rangle$

lemma *continuous-on-arctan* [*continuous-intros*]:
 $\text{continuous-on } s \ f \implies \text{continuous-on } s \ (\lambda x. \arctan (f \ x))$
 $\langle \text{proof} \rangle$

lemma *DERIV-arcsin*: $-1 < x \implies x < 1 \implies \text{DERIV } \arcsin \ x :> \text{inverse } (\text{sqrt } (1 - x^2))$
 $\langle \text{proof} \rangle$

lemma *DERIV-arccos*: $-1 < x \implies x < 1 \implies \text{DERIV } \arccos \ x :> \text{inverse } (-\text{sqrt } (1 - x^2))$
 $\langle \text{proof} \rangle$

lemma *DERIV-arctan*: $\text{DERIV } \arctan \ x :> \text{inverse } (1 + x^2)$
 $\langle \text{proof} \rangle$

declare

DERIV-arcsin[*THEN DERIV-chain2, derivative-intros*]
DERIV-arcsin[*THEN DERIV-chain2, unfolded has-field-derivative-def, derivative-intros*]
DERIV-arccos[*THEN DERIV-chain2, derivative-intros*]
DERIV-arccos[*THEN DERIV-chain2, unfolded has-field-derivative-def, derivative-intros*]
DERIV-arctan[*THEN DERIV-chain2, derivative-intros*]
DERIV-arctan[*THEN DERIV-chain2, unfolded has-field-derivative-def, derivative-intros*]

lemma *filterlim-tan-at-right*: $\text{filterlim } \tan \text{ at-bot } (\text{at-right } (- (\pi/2)))$
 $\langle \text{proof} \rangle$

lemma *filterlim-tan-at-left*: $\text{filterlim } \tan \text{ at-top } (\text{at-left } (\pi/2))$
 $\langle \text{proof} \rangle$

lemma *tendsto-arctan-at-top*: $(\arctan \longrightarrow (\pi/2)) \text{ at-top}$
 $\langle \text{proof} \rangle$

lemma *tendsto-arctan-at-bot*: $(\arctan \longrightarrow - (\pi/2)) \text{ at-bot}$
 $\langle \text{proof} \rangle$

105.18 Prove Totality of the Trigonometric Functions

lemma *cos-arccos-abs*: $|y| \leq 1 \implies \cos (\arccos \ y) = y$
 $\langle \text{proof} \rangle$

lemma *sin-arccos-abs*: $|y| \leq 1 \implies \sin (\arccos \ y) = \text{sqrt } (1 - y^2)$
 $\langle \text{proof} \rangle$

lemma *sin-mono-less-eq*:
 $-(\pi/2) \leq x \implies x \leq \pi/2 \implies -(\pi/2) \leq y \implies y \leq \pi/2 \implies \sin x < \sin y$
 $\iff x < y$
 $\langle \text{proof} \rangle$

lemma *sin-mono-le-eq*:

– $(\pi/2) \leq x \implies x \leq \pi/2 \implies -(\pi/2) \leq y \implies y \leq \pi/2 \implies \sin x \leq \sin y$
 $\longleftrightarrow x \leq y$
 ⟨proof⟩

lemma *sin-inj-pi*:

– $(\pi/2) \leq x \implies x \leq \pi/2 \implies -(\pi/2) \leq y \implies y \leq \pi/2 \implies \sin x = \sin y$
 $\implies x = y$
 ⟨proof⟩

lemma *cos-mono-less-eq*: $0 \leq x \implies x \leq \pi \implies 0 \leq y \implies y \leq \pi \implies \cos x < \cos y \longleftrightarrow y < x$
 ⟨proof⟩

lemma *cos-mono-le-eq*: $0 \leq x \implies x \leq \pi \implies 0 \leq y \implies y \leq \pi \implies \cos x \leq \cos y \longleftrightarrow y \leq x$
 ⟨proof⟩

lemma *cos-inj-pi*: $0 \leq x \implies x \leq \pi \implies 0 \leq y \implies y \leq \pi \implies \cos x = \cos y \implies x = y$
 ⟨proof⟩

lemma *arccos-le-pi2*: $\llbracket 0 \leq y; y \leq 1 \rrbracket \implies \arccos y \leq \pi/2$
 ⟨proof⟩

lemma *sincos-total-pi-half*:

assumes $0 \leq x \ 0 \leq y \ x^2 + y^2 = 1$
shows $\exists t. 0 \leq t \wedge t \leq \pi/2 \wedge x = \cos t \wedge y = \sin t$
 ⟨proof⟩

lemma *sincos-total-pi*:

assumes $0 \leq y \ x^2 + y^2 = 1$
shows $\exists t. 0 \leq t \wedge t \leq \pi \wedge x = \cos t \wedge y = \sin t$
 ⟨proof⟩

lemma *sincos-total-2pi-le*:

assumes $x^2 + y^2 = 1$
shows $\exists t. 0 \leq t \wedge t \leq 2 * \pi \wedge x = \cos t \wedge y = \sin t$
 ⟨proof⟩

lemma *sincos-total-2pi*:

assumes $x^2 + y^2 = 1$
obtains t **where** $0 \leq t < 2*\pi \ x = \cos t \ y = \sin t$
 ⟨proof⟩

lemma *arcsin-less-mono*: $|x| \leq 1 \implies |y| \leq 1 \implies \arcsin x < \arcsin y \longleftrightarrow x < y$
 ⟨proof⟩

lemma *arcsin-le-mono*: $|x| \leq 1 \implies |y| \leq 1 \implies \arcsin x \leq \arcsin y \longleftrightarrow x \leq y$
 ⟨proof⟩

lemma *arcsin-less-arcsin*: $-1 \leq x \implies x < y \implies y \leq 1 \implies \arcsin x < \arcsin y$
 ⟨proof⟩

lemma *arcsin-le-arcsin*: $-1 \leq x \implies x \leq y \implies y \leq 1 \implies \arcsin x \leq \arcsin y$
 ⟨proof⟩

lemma *arccos-less-mono*: $|x| \leq 1 \implies |y| \leq 1 \implies \arccos x < \arccos y \longleftrightarrow y < x$
 ⟨proof⟩

lemma *arccos-le-mono*: $|x| \leq 1 \implies |y| \leq 1 \implies \arccos x \leq \arccos y \longleftrightarrow y \leq x$
 ⟨proof⟩

lemma *arccos-less-arccos*: $-1 \leq x \implies x < y \implies y \leq 1 \implies \arccos y < \arccos x$
 ⟨proof⟩

lemma *arccos-le-arccos*: $-1 \leq x \implies x \leq y \implies y \leq 1 \implies \arccos y \leq \arccos x$
 ⟨proof⟩

lemma *arccos-eq-iff*: $|x| \leq 1 \wedge |y| \leq 1 \implies \arccos x = \arccos y \longleftrightarrow x = y$
 ⟨proof⟩

105.19 Machin’s formula

lemma *arctan-one*: $\arctan 1 = \pi / 4$
 ⟨proof⟩

lemma *tan-total-pi4*:
 assumes $|x| < 1$
 shows $\exists z. -(\pi / 4) < z \wedge z < \pi / 4 \wedge \tan z = x$
 ⟨proof⟩

lemma *arctan-add*:
 assumes $|x| \leq 1 \wedge |y| < 1$
 shows $\arctan x + \arctan y = \arctan ((x + y) / (1 - x * y))$
 ⟨proof⟩

lemma *arctan-double*: $|x| < 1 \implies 2 * \arctan x = \arctan ((2 * x) / (1 - x^2))$
 ⟨proof⟩

theorem *machin*: $\pi / 4 = 4 * \arctan (1 / 5) - \arctan (1 / 239)$
 ⟨proof⟩

lemma *machin-Euler*: $5 * \arctan (1 / 7) + 2 * \arctan (3 / 79) = \pi / 4$
 ⟨proof⟩

105.20 Introducing the inverse tangent power series**lemma** *monoseq-arctan-series*:

fixes $x :: \text{real}$
assumes $|x| \leq 1$
shows $\text{monoseq } (\lambda n. 1 / \text{real } (n * 2 + 1) * x^{(n * 2 + 1)})$
 $(\text{is monoseq } ?a)$
 $\langle \text{proof} \rangle$

lemma *zeroseq-arctan-series*:

fixes $x :: \text{real}$
assumes $|x| \leq 1$
shows $(\lambda n. 1 / \text{real } (n * 2 + 1) * x^{(n * 2 + 1)}) \longrightarrow 0$
 $(\text{is } ?a \longrightarrow 0)$
 $\langle \text{proof} \rangle$

lemma *summable-arctan-series*:

fixes $n :: \text{nat}$
assumes $|x| \leq 1$
shows $\text{summable } (\lambda k. (-1)^k * (1 / \text{real } (k * 2 + 1) * x^{(k * 2 + 1)}))$
 $(\text{is summable } (?c x))$
 $\langle \text{proof} \rangle$

lemma *DERIV-arctan-series*:

assumes $|x| < 1$
shows $\text{DERIV } (\lambda x'. \sum k. (-1)^k * (1 / \text{real } (k * 2 + 1) * x'^{(k * 2 + 1)}))$
 $x \text{ :> } (\sum k. (-1)^k * x^{(k * 2)})$
 $(\text{is DERIV } ?\text{arctan} \text{ - :> } ?\text{Int})$
 $\langle \text{proof} \rangle$

lemma *arctan-series*:

assumes $|x| \leq 1$
shows $\text{arctan } x = (\sum k. (-1)^k * (1 / \text{real } (k * 2 + 1) * x^{(k * 2 + 1)}))$
 $(\text{is - = suminf } (\lambda n. ?c x n))$
 $\langle \text{proof} \rangle$

lemma *arctan-half*: $\text{arctan } x = 2 * \text{arctan } (x / (1 + \text{sqrt}(1 + x^2)))$

for $x :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *arctan-monotone*: $x < y \implies \text{arctan } x < \text{arctan } y$

$\langle \text{proof} \rangle$

lemma *arctan-monotone'*: $x \leq y \implies \text{arctan } x \leq \text{arctan } y$

$\langle \text{proof} \rangle$

lemma *arctan-inverse*:

assumes $x \neq 0$
shows $\text{arctan } (1 / x) = \text{sgn } x * \text{pi} / 2 - \text{arctan } x$

⟨proof⟩

theorem *pi-series*: $\pi / 4 = (\sum k. (-1)^k * 1 / \text{real } (k * 2 + 1))$
 (is - = ?SUM)
 ⟨proof⟩

105.21 Existence of Polar Coordinates

lemma *cos-x-y-le-one*: $|x / \text{sqrt } (x^2 + y^2)| \leq 1$
 ⟨proof⟩

lemmas *cos-arccos-lemma1* = *cos-arccos-abs* [OF *cos-x-y-le-one*]

lemmas *sin-arccos-lemma1* = *sin-arccos-abs* [OF *cos-x-y-le-one*]

lemma *polar-Ex*: $\exists r::\text{real}. \exists a. x = r * \cos a \wedge y = r * \sin a$
 ⟨proof⟩

105.22 Basics about polynomial functions: products, extremal behaviour and root counts

lemma *pairs-le-eq-Sigma*: $\{(i, j). i + j \leq m\} = \text{Sigma } (\text{atMost } m) (\lambda r. \text{atMost } (m - r))$
 for $m :: \text{nat}$
 ⟨proof⟩

lemma *sum-up-index-split*: $(\sum k \leq m + n. f k) = (\sum k \leq m. f k) + (\sum k = \text{Suc } m..m + n. f k)$
 ⟨proof⟩

lemma *Sigma-interval-disjoint*: $(\text{SIGMA } i:A. \{..v \ i\}) \cap (\text{SIGMA } i:A. \{v \ i <..w\}) = \{\}$
 for $w :: 'a::\text{order}$
 ⟨proof⟩

lemma *product-atMost-eq-Un*: $A \times \{..m\} = (\text{SIGMA } i:A. \{..m - i\}) \cup (\text{SIGMA } i:A. \{m - i <..m\})$
 for $m :: \text{nat}$
 ⟨proof⟩

lemma *polynomial-product*:
 fixes $x :: 'a::\text{idom}$
 assumes $m: \bigwedge i. i > m \implies a \ i = 0$
 and $n: \bigwedge j. j > n \implies b \ j = 0$
 shows $(\sum i \leq m. (a \ i) * x^i) * (\sum j \leq n. (b \ j) * x^j) =$
 $(\sum r \leq m + n. (\sum k \leq r. (a \ k) * (b \ (r - k)))) * x^r$
 ⟨proof⟩

lemma *polynomial-product-nat*:

fixes $x :: \text{nat}$
assumes $m: \bigwedge i. i > m \implies a\ i = 0$
and $n: \bigwedge j. j > n \implies b\ j = 0$
shows $(\sum i \leq m. (a\ i) * x^{\wedge} i) * (\sum j \leq n. (b\ j) * x^{\wedge} j) =$
 $(\sum r \leq m + n. (\sum k \leq r. (a\ k) * (b\ (r - k)))) * x^{\wedge} r$
 $\langle \text{proof} \rangle$

lemma *polyfun-diff*:
fixes $x :: 'a::\text{idom}$
assumes $1 \leq n$
shows $(\sum i \leq n. a\ i * x^{\wedge} i) - (\sum i \leq n. a\ i * y^{\wedge} i) =$
 $(x - y) * (\sum j < n. (\sum i = \text{Suc } j..n. a\ i * y^{\wedge}(i - j - 1))) * x^{\wedge} j$
 $\langle \text{proof} \rangle$

lemma *polyfun-diff-alt*:
fixes $x :: 'a::\text{idom}$
assumes $1 \leq n$
shows $(\sum i \leq n. a\ i * x^{\wedge} i) - (\sum i \leq n. a\ i * y^{\wedge} i) =$
 $(x - y) * ((\sum j < n. \sum k < n - j. a(j + k + 1) * y^{\wedge} k * x^{\wedge} j))$
 $\langle \text{proof} \rangle$

lemma *polyfun-linear-factor*:
fixes $a :: 'a::\text{idom}$
shows $\exists b. \forall z. (\sum i \leq n. c(i) * z^{\wedge} i) = (z - a) * (\sum i < n. b(i) * z^{\wedge} i) + (\sum i \leq n.$
 $c(i) * a^{\wedge} i)$
 $\langle \text{proof} \rangle$

lemma *polyfun-linear-factor-root*:
fixes $a :: 'a::\text{idom}$
assumes $(\sum i \leq n. c(i) * a^{\wedge} i) = 0$
obtains b **where** $\bigwedge z. (\sum i \leq n. c\ i * z^{\wedge} i) = (z - a) * (\sum i < n. b\ i * z^{\wedge} i)$
 $\langle \text{proof} \rangle$

lemma *isCont-polynom*: *isCont* $(\lambda w. \sum i \leq n. c\ i * w^{\wedge} i)$ a
for $c :: \text{nat} \Rightarrow 'a::\text{real-normed-div-algebra}$
 $\langle \text{proof} \rangle$

lemma *zero-polynom-imp-zero-coeffs*:
fixes $c :: \text{nat} \Rightarrow 'a::\{\text{ab-semigroup-mult}, \text{real-normed-div-algebra}\}$
assumes $\bigwedge w. (\sum i \leq n. c\ i * w^{\wedge} i) = 0 \quad k \leq n$
shows $c\ k = 0$
 $\langle \text{proof} \rangle$

lemma *polyfun-rootbound*:
fixes $c :: \text{nat} \Rightarrow 'a::\{\text{idom}, \text{real-normed-div-algebra}\}$
assumes $c\ k \neq 0 \quad k \leq n$
shows $\text{finite } \{z. (\sum i \leq n. c(i) * z^{\wedge} i) = 0\} \wedge \text{card } \{z. (\sum i \leq n. c(i) * z^{\wedge} i) = 0\}$

$\leq n$
 $\langle \text{proof} \rangle$

lemma

fixes $c :: \text{nat} \Rightarrow 'a::\{\text{idom}, \text{real-normed-div-algebra}\}$
assumes $c\ k \neq 0\ k \leq n$
shows $\text{polyfun-roots-finite}$: $\text{finite } \{z. (\sum i \leq n. c(i) * z^i) = 0\}$
and $\text{polyfun-roots-card}$: $\text{card } \{z. (\sum i \leq n. c(i) * z^i) = 0\} \leq n$
 $\langle \text{proof} \rangle$

lemma $\text{polyfun-finite-roots}$:

fixes $c :: \text{nat} \Rightarrow 'a::\{\text{idom}, \text{real-normed-div-algebra}\}$
shows $\text{finite } \{x. (\sum i \leq n. c\ i * x^i) = 0\} \longleftrightarrow (\exists i \leq n. c\ i \neq 0)$
(is ?lhs = ?rhs)
 $\langle \text{proof} \rangle$

lemma polyfun-eq-0 : $(\forall x. (\sum i \leq n. c\ i * x^i) = 0) \longleftrightarrow (\forall i \leq n. c\ i = 0)$
for $c :: \text{nat} \Rightarrow 'a::\{\text{idom}, \text{real-normed-div-algebra}\}$

$\langle \text{proof} \rangle$

lemma polyfun-eq-coeffs : $(\forall x. (\sum i \leq n. c\ i * x^i) = (\sum i \leq n. d\ i * x^i)) \longleftrightarrow (\forall i \leq n. c\ i = d\ i)$
for $c :: \text{nat} \Rightarrow 'a::\{\text{idom}, \text{real-normed-div-algebra}\}$
 $\langle \text{proof} \rangle$

lemma polyfun-eq-const :

fixes $c :: \text{nat} \Rightarrow 'a::\{\text{idom}, \text{real-normed-div-algebra}\}$
shows $(\forall x. (\sum i \leq n. c\ i * x^i) = k) \longleftrightarrow c\ 0 = k \wedge (\forall i \in \{1..n\}. c\ i = 0)$
(is ?lhs = ?rhs)
 $\langle \text{proof} \rangle$

lemma root-polyfun :

fixes $z :: 'a::\text{idom}$
assumes $1 \leq n$
shows $z^n = a \longleftrightarrow (\sum i \leq n. (\text{if } i = 0 \text{ then } -a \text{ else if } i=n \text{ then } 1 \text{ else } 0) * z^i) = 0$
 $\langle \text{proof} \rangle$

lemma

assumes $\text{SORT-CONSTRAINT}('a::\{\text{idom}, \text{real-normed-div-algebra}\})$
and $1 \leq n$
shows $\text{finite-roots-unity}$: $\text{finite } \{z::'a. z^n = 1\}$
and card-roots-unity : $\text{card } \{z::'a. z^n = 1\} \leq n$
 $\langle \text{proof} \rangle$

105.23 Simprocs for root and power literals

lemma $\text{numeral-powr-numeral-real}$ $[\text{simp}]$:

```
numeral m powr numeral n = (numeral m ^ numeral n :: real)
⟨proof⟩
```

```
context
begin
```

```
private lemma sqrt-numeral-simproc-aux:
  assumes  $m * m \equiv n$ 
  shows  $\text{sqrt } (\text{numeral } n :: \text{real}) \equiv \text{numeral } m$ 
⟨proof⟩ lemma root-numeral-simproc-aux:
  assumes  $\text{Num.pow } m \ n \equiv x$ 
  shows  $\text{root } (\text{numeral } n) (\text{numeral } x :: \text{real}) \equiv \text{numeral } m$ 
⟨proof⟩ lemma powr-numeral-simproc-aux:
  assumes  $\text{Num.pow } y \ n = x$ 
  shows  $\text{numeral } x \text{ powr } (m / \text{numeral } n :: \text{real}) \equiv \text{numeral } y \text{ powr } m$ 
⟨proof⟩ lemma numeral-powr-inverse-eq:
  numeral  $x \text{ powr } (\text{inverse } (\text{numeral } n)) = \text{numeral } x \text{ powr } (1 / \text{numeral } n :: \text{real})$ 
⟨proof⟩
```

```
⟨ML⟩
```

```
end
```

```
⟨ML⟩
```

```
lemma root 100 1267650600228229401496703205376 = 2
⟨proof⟩
```

```
lemma sqrt 196 = 14
⟨proof⟩
```

```
lemma 256 powr (7 / 4 :: real) = 16384
⟨proof⟩
```

```
lemma 27 powr (inverse 3) = (3::real)
⟨proof⟩
```

```
end
```

106 Complex Numbers: Rectangular and Polar Representations

```
theory Complex
imports Transcendental
begin
```

We use the **codatatype** command to define the type of complex numbers.

This allows us to use **primcorec** to define complex functions by defining their real and imaginary result separately.

codatatype *complex* = *Complex* (*Re*: *real*) (*Im*: *real*)

lemma *complex-surj*: *Complex* (*Re* *z*) (*Im* *z*) = *z*
 $\langle proof \rangle$

lemma *complex-eqI* [*intro?*]: *Re* *x* = *Re* *y* \implies *Im* *x* = *Im* *y* \implies *x* = *y*
 $\langle proof \rangle$

lemma *complex-eq-iff*: *x* = *y* \longleftrightarrow *Re* *x* = *Re* *y* \wedge *Im* *x* = *Im* *y*
 $\langle proof \rangle$

106.1 Addition and Subtraction

instantiation *complex* :: *ab-group-add*
begin

primcorec *zero-complex*
where
 $Re\ 0 = 0$
 $| Im\ 0 = 0$

primcorec *plus-complex*
where
 $Re\ (x + y) = Re\ x + Re\ y$
 $| Im\ (x + y) = Im\ x + Im\ y$

primcorec *uminus-complex*
where
 $Re\ (-x) = -\ Re\ x$
 $| Im\ (-x) = -\ Im\ x$

primcorec *minus-complex*
where
 $Re\ (x - y) = Re\ x - Re\ y$
 $| Im\ (x - y) = Im\ x - Im\ y$

instance
 $\langle proof \rangle$

end

106.2 Multiplication and Division

instantiation *complex* :: *field*
begin

primcorec *one-complex*

where

$$\begin{array}{l} Re\ 1 = 1 \\ | Im\ 1 = 0 \end{array}$$

primcorec *times-complex*

where

$$\begin{array}{l} Re\ (x * y) = Re\ x * Re\ y - Im\ x * Im\ y \\ | Im\ (x * y) = Re\ x * Im\ y + Im\ x * Re\ y \end{array}$$

primcorec *inverse-complex*

where

$$\begin{array}{l} Re\ (inverse\ x) = Re\ x / ((Re\ x)^2 + (Im\ x)^2) \\ | Im\ (inverse\ x) = - Im\ x / ((Re\ x)^2 + (Im\ x)^2) \end{array}$$

definition $x\ div\ y = x * inverse\ y$ **for** $x\ y :: complex$

instance

$\langle proof \rangle$

end

lemma *Re-divide*: $Re\ (x / y) = (Re\ x * Re\ y + Im\ x * Im\ y) / ((Re\ y)^2 + (Im\ y)^2)$
 $\langle proof \rangle$

lemma *Im-divide*: $Im\ (x / y) = (Im\ x * Re\ y - Re\ x * Im\ y) / ((Re\ y)^2 + (Im\ y)^2)$
 $\langle proof \rangle$

lemma *Complex-divide*:

$$\begin{array}{l} (x / y) = Complex\ ((Re\ x * Re\ y + Im\ x * Im\ y) / ((Re\ y)^2 + (Im\ y)^2)) \\ \quad ((Im\ x * Re\ y - Re\ x * Im\ y) / ((Re\ y)^2 + (Im\ y)^2)) \end{array}$$

$\langle proof \rangle$

lemma *Re-power2*: $Re\ (x ^ 2) = (Re\ x)^2 - (Im\ x)^2$
 $\langle proof \rangle$

lemma *Im-power2*: $Im\ (x ^ 2) = 2 * Re\ x * Im\ x$
 $\langle proof \rangle$

lemma *Re-power-real [simp]*: $Im\ x = 0 \implies Re\ (x ^ n) = Re\ x ^ n$
 $\langle proof \rangle$

lemma *Im-power-real [simp]*: $Im\ x = 0 \implies Im\ (x ^ n) = 0$
 $\langle proof \rangle$

106.3 Scalar Multiplication

instantiation *complex :: real-field*

begin

primcorec *scaleR-complex*

where

$Re (scaleR\ r\ x) = r * Re\ x$
 $| Im (scaleR\ r\ x) = r * Im\ x$

instance

$\langle proof \rangle$

end

106.4 Numerals, Arithmetic, and Embedding from R

abbreviation *complex-of-real* :: *real* \Rightarrow *complex*

where *complex-of-real* \equiv *of-real*

declare $[[coercion\ of-real :: real \Rightarrow complex]]$

declare $[[coercion\ of-rat :: rat \Rightarrow complex]]$

declare $[[coercion\ of-int :: int \Rightarrow complex]]$

declare $[[coercion\ of-nat :: nat \Rightarrow complex]]$

lemma *complex-Re-of-nat* [simp]: $Re\ (of-nat\ n) = of-nat\ n$
 $\langle proof \rangle$

lemma *complex-Im-of-nat* [simp]: $Im\ (of-nat\ n) = 0$
 $\langle proof \rangle$

lemma *complex-Re-of-int* [simp]: $Re\ (of-int\ z) = of-int\ z$
 $\langle proof \rangle$

lemma *complex-Im-of-int* [simp]: $Im\ (of-int\ z) = 0$
 $\langle proof \rangle$

lemma *complex-Re-numeral* [simp]: $Re\ (numeral\ v) = numeral\ v$
 $\langle proof \rangle$

lemma *complex-Im-numeral* [simp]: $Im\ (numeral\ v) = 0$
 $\langle proof \rangle$

lemma *Re-complex-of-real* [simp]: $Re\ (complex-of-real\ z) = z$
 $\langle proof \rangle$

lemma *Im-complex-of-real* [simp]: $Im\ (complex-of-real\ z) = 0$
 $\langle proof \rangle$

lemma *Re-divide-numeral* [simp]: $Re\ (z / numeral\ w) = Re\ z / numeral\ w$
 $\langle proof \rangle$

lemma *Im-divide-numeral* [simp]: $\text{Im } (z / \text{numeral } w) = \text{Im } z / \text{numeral } w$
 $\langle \text{proof} \rangle$

lemma *Re-divide-of-nat* [simp]: $\text{Re } (z / \text{of-nat } n) = \text{Re } z / \text{of-nat } n$
 $\langle \text{proof} \rangle$

lemma *Im-divide-of-nat* [simp]: $\text{Im } (z / \text{of-nat } n) = \text{Im } z / \text{of-nat } n$
 $\langle \text{proof} \rangle$

lemma *of-real-Re* [simp]: $z \in \mathbb{R} \implies \text{of-real } (\text{Re } z) = z$
 $\langle \text{proof} \rangle$

lemma *complex-Re-fact* [simp]: $\text{Re } (\text{fact } n) = \text{fact } n$
 $\langle \text{proof} \rangle$

lemma *complex-Im-fact* [simp]: $\text{Im } (\text{fact } n) = 0$
 $\langle \text{proof} \rangle$

106.5 The Complex Number i

primcorec *imaginary-unit* :: complex (i)

where

$$\text{Re } i = 0$$

$$| \text{Im } i = 1$$

lemma *Complex-eq*: $\text{Complex } a \ b = a + i * b$
 $\langle \text{proof} \rangle$

lemma *complex-eq*: $a = \text{Re } a + i * \text{Im } a$
 $\langle \text{proof} \rangle$

lemma *fun-complex-eq*: $f = (\lambda x. \text{Re } (f \ x) + i * \text{Im } (f \ x))$
 $\langle \text{proof} \rangle$

lemma *i-squared* [simp]: $i * i = -1$
 $\langle \text{proof} \rangle$

lemma *power2-i* [simp]: $i^2 = -1$
 $\langle \text{proof} \rangle$

lemma *inverse-i* [simp]: $\text{inverse } i = -i$
 $\langle \text{proof} \rangle$

lemma *divide-i* [simp]: $x / i = -i * x$
 $\langle \text{proof} \rangle$

lemma *complex-i-mult-minus* [simp]: $i * (i * x) = -x$
 $\langle \text{proof} \rangle$

lemma *complex-i-not-zero* [simp]: $i \neq 0$
 ⟨proof⟩

lemma *complex-i-not-one* [simp]: $i \neq 1$
 ⟨proof⟩

lemma *complex-i-not-numeral* [simp]: $i \neq \text{numeral } w$
 ⟨proof⟩

lemma *complex-i-not-neg-numeral* [simp]: $i \neq - \text{numeral } w$
 ⟨proof⟩

lemma *complex-split-polar*: $\exists r \ a. \ z = \text{complex-of-real } r * (\cos a + i * \sin a)$
 ⟨proof⟩

lemma *i-even-power* [simp]: $i^{(n * 2)} = (-1)^n$
 ⟨proof⟩

lemma *Re-i-times* [simp]: $\text{Re } (i * z) = - \text{Im } z$
 ⟨proof⟩

lemma *Im-i-times* [simp]: $\text{Im } (i * z) = \text{Re } z$
 ⟨proof⟩

lemma *i-times-eq-iff*: $i * w = z \longleftrightarrow w = - (i * z)$
 ⟨proof⟩

lemma *divide-numeral-i* [simp]: $z / (\text{numeral } n * i) = - (i * z) / \text{numeral } n$
 ⟨proof⟩

lemma *imaginary-eq-real-iff* [simp]:
 assumes $y \in \text{Reals } x \in \text{Reals}$
 shows $i * y = x \longleftrightarrow x=0 \wedge y=0$
 ⟨proof⟩

lemma *real-eq-imaginary-iff* [simp]:
 assumes $y \in \text{Reals } x \in \text{Reals}$
 shows $x = i * y \longleftrightarrow x=0 \wedge y=0$
 ⟨proof⟩

106.6 Vector Norm

instantiation *complex* :: *real-normed-field*
begin

definition $\text{norm } z = \text{sqrt } ((\text{Re } z)^2 + (\text{Im } z)^2)$

abbreviation *cmod* :: *complex* \Rightarrow *real*
 where $\text{cmod} \equiv \text{norm}$

definition *complex-sgn-def*: $\text{sgn } x = x /_R \text{ cmod } x$

definition *dist-complex-def*: $\text{dist } x \ y = \text{cmod } (x - y)$

definition *uniformity-complex-def* [code del]:

$(\text{uniformity} :: (\text{complex} \times \text{complex}) \text{ filter}) = (\text{INF } e :: \{0 < ..\}. \text{principal } \{(x, y). \text{dist } x \ y < e\})$

definition *open-complex-def* [code del]:

$\text{open } (U :: \text{complex set}) \longleftrightarrow (\forall x \in U. \text{eventually } (\lambda(x', y). x' = x \longrightarrow y \in U) \text{ uniformity})$

instance

$\langle \text{proof} \rangle$

end

declare *uniformity-Abort*[**where** 'a = complex, code]

lemma *norm-ii* [simp]: $\text{norm } i = 1$

$\langle \text{proof} \rangle$

lemma *cmod-unit-one*: $\text{cmod } (\cos a + i * \sin a) = 1$

$\langle \text{proof} \rangle$

lemma *cmod-complex-polar*: $\text{cmod } (r * (\cos a + i * \sin a)) = |r|$

$\langle \text{proof} \rangle$

lemma *complex-Re-le-cmod*: $\text{Re } x \leq \text{cmod } x$

$\langle \text{proof} \rangle$

lemma *complex-mod-minus-le-complex-mod*: $-\text{cmod } x \leq \text{cmod } x$

$\langle \text{proof} \rangle$

lemma *complex-mod-triangle-ineq2*: $\text{cmod } (b + a) - \text{cmod } b \leq \text{cmod } a$

$\langle \text{proof} \rangle$

lemma *abs-Re-le-cmod*: $|\text{Re } x| \leq \text{cmod } x$

$\langle \text{proof} \rangle$

lemma *abs-Im-le-cmod*: $|\text{Im } x| \leq \text{cmod } x$

$\langle \text{proof} \rangle$

lemma *cmod-le*: $\text{cmod } z \leq |\text{Re } z| + |\text{Im } z|$

$\langle \text{proof} \rangle$

lemma *cmod-eq-Re*: $\text{Im } z = 0 \implies \text{cmod } z = |\text{Re } z|$

$\langle \text{proof} \rangle$

lemma *cmod-eq-Im*: $Re\ z = 0 \implies cmod\ z = |Im\ z|$
 ⟨proof⟩

lemma *cmod-power2*: $(cmod\ z)^2 = (Re\ z)^2 + (Im\ z)^2$
 ⟨proof⟩

lemma *cmod-plus-Re-le-0-iff*: $cmod\ z + Re\ z \leq 0 \iff Re\ z = -\ cmod\ z$
 ⟨proof⟩

lemma *cmod-Re-le-iff*: $Im\ x = Im\ y \implies cmod\ x \leq cmod\ y \iff |Re\ x| \leq |Re\ y|$
 ⟨proof⟩

lemma *cmod-Im-le-iff*: $Re\ x = Re\ y \implies cmod\ x \leq cmod\ y \iff |Im\ x| \leq |Im\ y|$
 ⟨proof⟩

lemma *Im-eq-0*: $|Re\ z| = cmod\ z \implies Im\ z = 0$
 ⟨proof⟩

lemma *abs-sqrt-wlog*: $(\bigwedge x. x \geq 0 \implies P\ x\ (x^2)) \implies P\ |x|\ (x^2)$
for $x::'a::linordered-idom$
 ⟨proof⟩

lemma *complex-abs-le-norm*: $|Re\ z| + |Im\ z| \leq \sqrt{2} * norm\ z$
 ⟨proof⟩

lemma *complex-unit-circle*: $z \neq 0 \implies (Re\ z / cmod\ z)^2 + (Im\ z / cmod\ z)^2 = 1$
 ⟨proof⟩

Properties of complex signum.

lemma *sgn-eq*: $sgn\ z = z / complex-of-real\ (cmod\ z)$
 ⟨proof⟩

lemma *Re-sgn [simp]*: $Re(sgn\ z) = Re(z)/cmod\ z$
 ⟨proof⟩

lemma *Im-sgn [simp]*: $Im(sgn\ z) = Im(z)/cmod\ z$
 ⟨proof⟩

106.7 Absolute value

instantiation *complex* :: *field-abs-sgn*
begin

definition *abs-complex* :: *complex* \Rightarrow *complex*
where $abs-complex = of-real \circ norm$

instance
 ⟨proof⟩

end

106.8 Completeness of the Complexes

lemma *bounded-linear-Re*: *bounded-linear Re*
 ⟨*proof*⟩

lemma *bounded-linear-Im*: *bounded-linear Im*
 ⟨*proof*⟩

lemmas *Cauchy-Re* = *bounded-linear.Cauchy* [*OF bounded-linear-Re*]
lemmas *Cauchy-Im* = *bounded-linear.Cauchy* [*OF bounded-linear-Im*]
lemmas *tendsto-Re* [*tendsto-intros*] = *bounded-linear.tendsto* [*OF bounded-linear-Re*]
lemmas *tendsto-Im* [*tendsto-intros*] = *bounded-linear.tendsto* [*OF bounded-linear-Im*]
lemmas *isCont-Re* [*simp*] = *bounded-linear.isCont* [*OF bounded-linear-Re*]
lemmas *isCont-Im* [*simp*] = *bounded-linear.isCont* [*OF bounded-linear-Im*]
lemmas *continuous-Re* [*simp*] = *bounded-linear.continuous* [*OF bounded-linear-Re*]
lemmas *continuous-Im* [*simp*] = *bounded-linear.continuous* [*OF bounded-linear-Im*]
lemmas *continuous-on-Re* [*continuous-intros*] = *bounded-linear.continuous-on* [*OF bounded-linear-Re*]
lemmas *continuous-on-Im* [*continuous-intros*] = *bounded-linear.continuous-on* [*OF bounded-linear-Im*]
lemmas *has-derivative-Re* [*derivative-intros*] = *bounded-linear.has-derivative* [*OF bounded-linear-Re*]
lemmas *has-derivative-Im* [*derivative-intros*] = *bounded-linear.has-derivative* [*OF bounded-linear-Im*]
lemmas *sums-Re* = *bounded-linear.sums* [*OF bounded-linear-Re*]
lemmas *sums-Im* = *bounded-linear.sums* [*OF bounded-linear-Im*]

lemma *tendsto-Complex* [*tendsto-intros*]:
 $(f \longrightarrow a) F \implies (g \longrightarrow b) F \implies ((\lambda x. \text{Complex } (f x) (g x)) \longrightarrow \text{Complex } a b) F$
 ⟨*proof*⟩

lemma *tendsto-complex-iff*:
 $(f \longrightarrow x) F \iff (((\lambda x. \text{Re } (f x)) \longrightarrow \text{Re } x) F \wedge ((\lambda x. \text{Im } (f x)) \longrightarrow \text{Im } x) F)$
 ⟨*proof*⟩

lemma *continuous-complex-iff*:
 $\text{continuous } F f \iff \text{continuous } F (\lambda x. \text{Re } (f x)) \wedge \text{continuous } F (\lambda x. \text{Im } (f x))$
 ⟨*proof*⟩

lemma *continuous-on-of-real-o-iff* [*simp*]:
 $\text{continuous-on } S (\lambda x. \text{complex-of-real } (g x)) = \text{continuous-on } S g$
 ⟨*proof*⟩

lemma *continuous-on-of-real-id* [*simp*]:

continuous-on S (of-real :: real \Rightarrow 'a::real-normed-algebra-1)
<proof>

lemma *has-vector-derivative-complex-iff*: $(f \text{ has-vector-derivative } x) \ F \longleftrightarrow$
 $((\lambda x. \text{Re } (f x)) \text{ has-field-derivative } (\text{Re } x)) \ F \wedge$
 $((\lambda x. \text{Im } (f x)) \text{ has-field-derivative } (\text{Im } x)) \ F$
<proof>

lemma *has-field-derivative-Re*[*derivative-intros*]:
 $(f \text{ has-vector-derivative } D) \ F \implies ((\lambda x. \text{Re } (f x)) \text{ has-field-derivative } (\text{Re } D)) \ F$
<proof>

lemma *has-field-derivative-Im*[*derivative-intros*]:
 $(f \text{ has-vector-derivative } D) \ F \implies ((\lambda x. \text{Im } (f x)) \text{ has-field-derivative } (\text{Im } D)) \ F$
<proof>

instance *complex :: banach*
<proof>

declare *DERIV-power*[**where** 'a=complex, unfolded of-nat-def[*symmetric*], *derivative-intros*]

106.9 Complex Conjugation

primcorec *cnj :: complex \Rightarrow complex*
where
 $\text{Re } (\text{cnj } z) = \text{Re } z$
 $|\ \text{Im } (\text{cnj } z) = - \text{Im } z$

lemma *complex-cnj-cancel-iff* [*simp*]: $\text{cnj } x = \text{cnj } y \longleftrightarrow x = y$
<proof>

lemma *complex-cnj-cnj* [*simp*]: $\text{cnj } (\text{cnj } z) = z$
<proof>

lemma *complex-cnj-zero* [*simp*]: $\text{cnj } 0 = 0$
<proof>

lemma *complex-cnj-zero-iff* [*iff*]: $\text{cnj } z = 0 \longleftrightarrow z = 0$
<proof>

lemma *complex-cnj-add* [*simp*]: $\text{cnj } (x + y) = \text{cnj } x + \text{cnj } y$
<proof>

lemma *cnj-sum* [*simp*]: $\text{cnj } (\text{sum } f \ s) = (\sum x \in s. \text{cnj } (f x))$
<proof>

lemma *complex-cnj-diff* [*simp*]: $\text{cnj } (x - y) = \text{cnj } x - \text{cnj } y$
<proof>

lemma *complex-cnj-minus* [simp]: $\text{cnj } (-x) = - \text{cnj } x$
 ⟨proof⟩

lemma *complex-cnj-one* [simp]: $\text{cnj } 1 = 1$
 ⟨proof⟩

lemma *complex-cnj-mult* [simp]: $\text{cnj } (x * y) = \text{cnj } x * \text{cnj } y$
 ⟨proof⟩

lemma *cnj-prod* [simp]: $\text{cnj } (\text{prod } f \ s) = (\prod_{x \in s} \text{cnj } (f \ x))$
 ⟨proof⟩

lemma *complex-cnj-inverse* [simp]: $\text{cnj } (\text{inverse } x) = \text{inverse } (\text{cnj } x)$
 ⟨proof⟩

lemma *complex-cnj-divide* [simp]: $\text{cnj } (x / y) = \text{cnj } x / \text{cnj } y$
 ⟨proof⟩

lemma *complex-cnj-power* [simp]: $\text{cnj } (x ^ n) = \text{cnj } x ^ n$
 ⟨proof⟩

lemma *complex-cnj-of-nat* [simp]: $\text{cnj } (\text{of-nat } n) = \text{of-nat } n$
 ⟨proof⟩

lemma *complex-cnj-of-int* [simp]: $\text{cnj } (\text{of-int } z) = \text{of-int } z$
 ⟨proof⟩

lemma *complex-cnj-numeral* [simp]: $\text{cnj } (\text{numeral } w) = \text{numeral } w$
 ⟨proof⟩

lemma *complex-cnj-neg-numeral* [simp]: $\text{cnj } (- \text{numeral } w) = - \text{numeral } w$
 ⟨proof⟩

lemma *complex-cnj-scaleR* [simp]: $\text{cnj } (\text{scaleR } r \ x) = \text{scaleR } r \ (\text{cnj } x)$
 ⟨proof⟩

lemma *complex-mod-cnj* [simp]: $\text{cmod } (\text{cnj } z) = \text{cmod } z$
 ⟨proof⟩

lemma *complex-cnj-complex-of-real* [simp]: $\text{cnj } (\text{of-real } x) = \text{of-real } x$
 ⟨proof⟩

lemma *complex-cnj-i* [simp]: $\text{cnj } i = -i$
 ⟨proof⟩

lemma *complex-add-cnj*: $z + \text{cnj } z = \text{complex-of-real } (2 * \text{Re } z)$
 ⟨proof⟩

lemma *complex-diff-cnj*: $z - \text{cnj } z = \text{complex-of-real } (2 * \text{Im } z) * i$

<proof>

lemma *complex-mult-cnj*: $z * \text{cnj } z = \text{complex-of-real } ((\text{Re } z)^2 + (\text{Im } z)^2)$
<proof>

lemma *complex-mod-mult-cnj*: $\text{cmod } (z * \text{cnj } z) = (\text{cmod } z)^2$
<proof>

lemma *complex-mod-sqrt-Re-mult-cnj*: $\text{cmod } z = \text{sqrt } (\text{Re } (z * \text{cnj } z))$
<proof>

lemma *complex-In-mult-cnj-zero* [simp]: $\text{Im } (z * \text{cnj } z) = 0$
<proof>

lemma *complex-cnj-fact* [simp]: $\text{cnj } (\text{fact } n) = \text{fact } n$
<proof>

lemma *complex-cnj-pochhammer* [simp]: $\text{cnj } (\text{pochhammer } z \ n) = \text{pochhammer } (\text{cnj } z) \ n$
<proof>

lemma *bounded-linear-cnj*: *bounded-linear* *cnj*
<proof>

lemmas *tendsto-cnj* [tendsto-intros] = *bounded-linear.tendsto* [OF *bounded-linear-cnj*]
and *isCont-cnj* [simp] = *bounded-linear.isCont* [OF *bounded-linear-cnj*]
and *continuous-cnj* [simp, continuous-intros] = *bounded-linear.continuous* [OF *bounded-linear-cnj*]
and *continuous-on-cnj* [simp, continuous-intros] = *bounded-linear.continuous-on* [OF *bounded-linear-cnj*]
and *has-derivative-cnj* [simp, derivative-intros] = *bounded-linear.has-derivative* [OF *bounded-linear-cnj*]

lemma *lim-cnj*: $((\lambda x. \text{cnj } (f \ x)) \longrightarrow \text{cnj } l) \ F \longleftrightarrow (f \longrightarrow l) \ F$
<proof>

lemma *sums-cnj*: $((\lambda x. \text{cnj } (f \ x)) \text{ sums } \text{cnj } l) \longleftrightarrow (f \text{ sums } l)$
<proof>

106.10 Basic Lemmas

lemma *complex-eq-0*: $z=0 \longleftrightarrow (\text{Re } z)^2 + (\text{Im } z)^2 = 0$
<proof>

lemma *complex-neq-0*: $z \neq 0 \longleftrightarrow (\text{Re } z)^2 + (\text{Im } z)^2 > 0$
<proof>

lemma *complex-norm-square*: $\text{of-real } ((\text{norm } z)^2) = z * \text{cnj } z$
<proof>

lemma *complex-div-cnj*: $a / b = (a * \text{cnj } b) / (\text{norm } b)^2$
 $\langle \text{proof} \rangle$

lemma *Re-complex-div-eq-0*: $\text{Re } (a / b) = 0 \longleftrightarrow \text{Re } (a * \text{cnj } b) = 0$
 $\langle \text{proof} \rangle$

lemma *Im-complex-div-eq-0*: $\text{Im } (a / b) = 0 \longleftrightarrow \text{Im } (a * \text{cnj } b) = 0$
 $\langle \text{proof} \rangle$

lemma *complex-div-gt-0*: $(\text{Re } (a / b) > 0 \longleftrightarrow \text{Re } (a * \text{cnj } b) > 0) \wedge (\text{Im } (a / b) > 0 \longleftrightarrow \text{Im } (a * \text{cnj } b) > 0)$
 $\langle \text{proof} \rangle$

lemma *Re-complex-div-gt-0*: $\text{Re } (a / b) > 0 \longleftrightarrow \text{Re } (a * \text{cnj } b) > 0$
and *Im-complex-div-gt-0*: $\text{Im } (a / b) > 0 \longleftrightarrow \text{Im } (a * \text{cnj } b) > 0$
 $\langle \text{proof} \rangle$

lemma *Re-complex-div-ge-0*: $\text{Re } (a / b) \geq 0 \longleftrightarrow \text{Re } (a * \text{cnj } b) \geq 0$
 $\langle \text{proof} \rangle$

lemma *Im-complex-div-ge-0*: $\text{Im } (a / b) \geq 0 \longleftrightarrow \text{Im } (a * \text{cnj } b) \geq 0$
 $\langle \text{proof} \rangle$

lemma *Re-complex-div-lt-0*: $\text{Re } (a / b) < 0 \longleftrightarrow \text{Re } (a * \text{cnj } b) < 0$
 $\langle \text{proof} \rangle$

lemma *Im-complex-div-lt-0*: $\text{Im } (a / b) < 0 \longleftrightarrow \text{Im } (a * \text{cnj } b) < 0$
 $\langle \text{proof} \rangle$

lemma *Re-complex-div-le-0*: $\text{Re } (a / b) \leq 0 \longleftrightarrow \text{Re } (a * \text{cnj } b) \leq 0$
 $\langle \text{proof} \rangle$

lemma *Im-complex-div-le-0*: $\text{Im } (a / b) \leq 0 \longleftrightarrow \text{Im } (a * \text{cnj } b) \leq 0$
 $\langle \text{proof} \rangle$

lemma *Re-divide-of-real* [simp]: $\text{Re } (z / \text{of-real } r) = \text{Re } z / r$
 $\langle \text{proof} \rangle$

lemma *Im-divide-of-real* [simp]: $\text{Im } (z / \text{of-real } r) = \text{Im } z / r$
 $\langle \text{proof} \rangle$

lemma *Re-divide-Reals* [simp]: $r \in \mathbb{R} \implies \text{Re } (z / r) = \text{Re } z / \text{Re } r$
 $\langle \text{proof} \rangle$

lemma *Im-divide-Reals* [simp]: $r \in \mathbb{R} \implies \text{Im } (z / r) = \text{Im } z / \text{Re } r$
 $\langle \text{proof} \rangle$

lemma *Re-sum*[simp]: $\text{Re } (\text{sum } f \, s) = (\sum x \in s. \text{Re } (f \, x))$

<proof>

lemma *Im-sum[simp]:* $Im \ (sum \ f \ s) = (\sum x \in s. \ Im(f \ x))$
<proof>

lemma *sums-complex-iff:* $f \ sums \ x \longleftrightarrow ((\lambda x. \ Re \ (f \ x)) \ sums \ Re \ x) \wedge ((\lambda x. \ Im \ (f \ x)) \ sums \ Im \ x)$
<proof>

lemma *summable-complex-iff:* $summable \ f \longleftrightarrow summable \ (\lambda x. \ Re \ (f \ x)) \wedge summable \ (\lambda x. \ Im \ (f \ x))$
<proof>

lemma *summable-complex-of-real [simp]:* $summable \ (\lambda n. \ complex-of-real \ (f \ n)) \longleftrightarrow summable \ f$
<proof>

lemma *summable-Re:* $summable \ f \implies summable \ (\lambda x. \ Re \ (f \ x))$
<proof>

lemma *summable-Im:* $summable \ f \implies summable \ (\lambda x. \ Im \ (f \ x))$
<proof>

lemma *complex-is-Nat-iff:* $z \in \mathbb{N} \longleftrightarrow Im \ z = 0 \wedge (\exists i. \ Re \ z = of_nat \ i)$
<proof>

lemma *complex-is-Int-iff:* $z \in \mathbb{Z} \longleftrightarrow Im \ z = 0 \wedge (\exists i. \ Re \ z = of_int \ i)$
<proof>

lemma *complex-is-Real-iff:* $z \in \mathbb{R} \longleftrightarrow Im \ z = 0$
<proof>

lemma *Reals-cnj-iff:* $z \in \mathbb{R} \longleftrightarrow cnj \ z = z$
<proof>

lemma *in-Reals-norm:* $z \in \mathbb{R} \implies norm \ z = |Re \ z|$
<proof>

lemma *Re-Reals-divide:* $r \in \mathbb{R} \implies Re \ (r / z) = Re \ r * Re \ z / (norm \ z)^2$
<proof>

lemma *Im-Reals-divide:* $r \in \mathbb{R} \implies Im \ (r / z) = -Re \ r * Im \ z / (norm \ z)^2$
<proof>

lemma *series-comparison-complex:*

fixes $f :: nat \Rightarrow 'a :: banach$

assumes $sg: summable \ g$

and $\bigwedge n. \ g \ n \in \mathbb{R} \wedge n. \ Re \ (g \ n) \geq 0$

and $fg: \bigwedge n. \ n \geq N \implies norm(f \ n) \leq norm(g \ n)$

shows *summable* f
 $\langle \text{proof} \rangle$

106.11 Polar Form for Complex Numbers

lemma *complex-unimodular-polar*:

assumes $\text{norm } z = 1$

obtains t **where** $0 \leq t < 2 * \pi$ $z = \text{Complex } (\cos t) (\sin t)$

$\langle \text{proof} \rangle$

106.11.1 $\cos \theta + i \sin \theta$

primcorec *cis* :: *real* \Rightarrow *complex*

where

$\text{Re } (\text{cis } a) = \cos a$

| $\text{Im } (\text{cis } a) = \sin a$

lemma *cis-zero* [simp]: $\text{cis } 0 = 1$

$\langle \text{proof} \rangle$

lemma *norm-cis* [simp]: $\text{norm } (\text{cis } a) = 1$

$\langle \text{proof} \rangle$

lemma *sgn-cis* [simp]: $\text{sgn } (\text{cis } a) = \text{cis } a$

$\langle \text{proof} \rangle$

lemma *cis-neq-zero* [simp]: $\text{cis } a \neq 0$

$\langle \text{proof} \rangle$

lemma *cis-mult*: $\text{cis } a * \text{cis } b = \text{cis } (a + b)$

$\langle \text{proof} \rangle$

lemma *DeMoivre*: $(\text{cis } a) ^ n = \text{cis } (\text{real } n * a)$

$\langle \text{proof} \rangle$

lemma *cis-inverse* [simp]: $\text{inverse } (\text{cis } a) = \text{cis } (- a)$

$\langle \text{proof} \rangle$

lemma *cis-divide*: $\text{cis } a / \text{cis } b = \text{cis } (a - b)$

$\langle \text{proof} \rangle$

lemma *cos-n-Re-cis-pow-n*: $\cos (\text{real } n * a) = \text{Re } (\text{cis } a ^ n)$

$\langle \text{proof} \rangle$

lemma *sin-n-Im-cis-pow-n*: $\sin (\text{real } n * a) = \text{Im } (\text{cis } a ^ n)$

$\langle \text{proof} \rangle$

lemma *cis-pi*: $\text{cis } \pi = -1$

$\langle \text{proof} \rangle$

106.11.2 $r(\cos \theta + i \sin \theta)$

definition $rcis :: real \Rightarrow real \Rightarrow complex$
where $rcis\ r\ a = complex-of-real\ r * cis\ a$

lemma $Re-rcis\ [simp]: Re(rcis\ r\ a) = r * cos\ a$
 $\langle proof \rangle$

lemma $Im-rcis\ [simp]: Im(rcis\ r\ a) = r * sin\ a$
 $\langle proof \rangle$

lemma $rcis-Ex: \exists\ r\ a. z = rcis\ r\ a$
 $\langle proof \rangle$

lemma $complex-mod-rcis\ [simp]: cmod\ (rcis\ r\ a) = |r|$
 $\langle proof \rangle$

lemma $cis-rcis-eq: cis\ a = rcis\ 1\ a$
 $\langle proof \rangle$

lemma $rcis-mult: rcis\ r1\ a * rcis\ r2\ b = rcis\ (r1 * r2)\ (a + b)$
 $\langle proof \rangle$

lemma $rcis-zero-mod\ [simp]: rcis\ 0\ a = 0$
 $\langle proof \rangle$

lemma $rcis-zero-arg\ [simp]: rcis\ r\ 0 = complex-of-real\ r$
 $\langle proof \rangle$

lemma $rcis-eq-zero-iff\ [simp]: rcis\ r\ a = 0 \longleftrightarrow r = 0$
 $\langle proof \rangle$

lemma $DeMoivre2: (rcis\ r\ a) ^ n = rcis\ (r ^ n)\ (real\ n * a)$
 $\langle proof \rangle$

lemma $rcis-inverse: inverse(rcis\ r\ a) = rcis\ (1 / r)\ (- a)$
 $\langle proof \rangle$

lemma $rcis-divide: rcis\ r1\ a / rcis\ r2\ b = rcis\ (r1 / r2)\ (a - b)$
 $\langle proof \rangle$

106.11.3 Complex exponential

lemma $cis-conv-exp: cis\ b = exp\ (i * b)$
 $\langle proof \rangle$

lemma $exp-eq-polar: exp\ z = exp\ (Re\ z) * cis\ (Im\ z)$
 $\langle proof \rangle$

lemma $Re-exp: Re\ (exp\ z) = exp\ (Re\ z) * cos\ (Im\ z)$

$\langle proof \rangle$

lemma *Im-exp*: $Im (exp\ z) = exp\ (Re\ z) * sin\ (Im\ z)$
 $\langle proof \rangle$

lemma *norm-cos-sin [simp]*: $norm\ (Complex\ (cos\ t)\ (sin\ t)) = 1$
 $\langle proof \rangle$

lemma *norm-exp-eq-Re [simp]*: $norm\ (exp\ z) = exp\ (Re\ z)$
 $\langle proof \rangle$

lemma *complex-exp-exists*: $\exists a\ r. z = complex\ of\ real\ r * exp\ a$
 $\langle proof \rangle$

lemma *exp-pi-i [simp]*: $exp\ (of\ real\ pi * i) = -1$
 $\langle proof \rangle$

lemma *exp-pi-i' [simp]*: $exp\ (i * of\ real\ pi) = -1$
 $\langle proof \rangle$

lemma *exp-two-pi-i [simp]*: $exp\ (2 * of\ real\ pi * i) = 1$
 $\langle proof \rangle$

lemma *exp-two-pi-i' [simp]*: $exp\ (i * (of\ real\ pi * 2)) = 1$
 $\langle proof \rangle$

106.11.4 Complex argument

definition *arg* :: $complex \Rightarrow real$

where $arg\ z = (if\ z = 0\ then\ 0\ else\ (SOME\ a. sgn\ z = cis\ a \wedge -pi < a \wedge a \leq pi))$

lemma *arg-zero*: $arg\ 0 = 0$
 $\langle proof \rangle$

lemma *arg-unique*:
assumes $sgn\ z = cis\ x$ **and** $-pi < x$ **and** $x \leq pi$
shows $arg\ z = x$
 $\langle proof \rangle$

lemma *arg-correct*:
assumes $z \neq 0$
shows $sgn\ z = cis\ (arg\ z) \wedge -pi < arg\ z \wedge arg\ z \leq pi$
 $\langle proof \rangle$

lemma *arg-bounded*: $-pi < arg\ z \wedge arg\ z \leq pi$
 $\langle proof \rangle$

lemma *cis-arg*: $z \neq 0 \implies cis\ (arg\ z) = sgn\ z$

$\langle \text{proof} \rangle$

lemma *rcis-cmod-arg*: $\text{rcis } (\text{cmod } z) (\text{arg } z) = z$
 $\langle \text{proof} \rangle$

lemma *cos-arg-i-mult-zero* [simp]: $y \neq 0 \implies \text{Re } y = 0 \implies \cos (\text{arg } y) = 0$
 $\langle \text{proof} \rangle$

106.12 Square root of complex numbers

primcorec *csqrt* :: *complex* \Rightarrow *complex*

where

$\text{Re } (\text{csqrt } z) = \text{sqrt } ((\text{cmod } z + \text{Re } z) / 2)$
 $| \text{Im } (\text{csqrt } z) = (\text{if } \text{Im } z = 0 \text{ then } 1 \text{ else } \text{sgn } (\text{Im } z)) * \text{sqrt } ((\text{cmod } z - \text{Re } z) / 2)$

lemma *csqrt-of-real-nonneg* [simp]: $\text{Im } x = 0 \implies \text{Re } x \geq 0 \implies \text{csqrt } x = \text{sqrt } (\text{Re } x)$
 $\langle \text{proof} \rangle$

lemma *csqrt-of-real-nonpos* [simp]: $\text{Im } x = 0 \implies \text{Re } x \leq 0 \implies \text{csqrt } x = i * \text{sqrt } |\text{Re } x|$
 $\langle \text{proof} \rangle$

lemma *of-real-sqrt*: $x \geq 0 \implies \text{of-real } (\text{sqrt } x) = \text{csqrt } (\text{of-real } x)$
 $\langle \text{proof} \rangle$

lemma *csqrt-0* [simp]: $\text{csqrt } 0 = 0$
 $\langle \text{proof} \rangle$

lemma *csqrt-1* [simp]: $\text{csqrt } 1 = 1$
 $\langle \text{proof} \rangle$

lemma *csqrt-ii* [simp]: $\text{csqrt } i = (1 + i) / \text{sqrt } 2$
 $\langle \text{proof} \rangle$

lemma *power2-csqrt*[simp, algebra]: $(\text{csqrt } z)^2 = z$
 $\langle \text{proof} \rangle$

lemma *csqrt-eq-0* [simp]: $\text{csqrt } z = 0 \iff z = 0$
 $\langle \text{proof} \rangle$

lemma *csqrt-eq-1* [simp]: $\text{csqrt } z = 1 \iff z = 1$
 $\langle \text{proof} \rangle$

lemma *csqrt-principal*: $0 < \text{Re } (\text{csqrt } z) \vee \text{Re } (\text{csqrt } z) = 0 \wedge 0 \leq \text{Im } (\text{csqrt } z)$
 $\langle \text{proof} \rangle$

lemma *Re-csqrt*: $0 \leq \text{Re } (\text{csqrt } z)$

$\langle proof \rangle$

lemma *csqrt-square*:

assumes $0 < \text{Re } b \vee (\text{Re } b = 0 \wedge 0 \leq \text{Im } b)$

shows $\text{csqrt } (b^2) = b$

$\langle proof \rangle$

lemma *csqrt-unique*: $w^2 = z \implies 0 < \text{Re } w \vee \text{Re } w = 0 \wedge 0 \leq \text{Im } w \implies \text{csqrt } z = w$

$\langle proof \rangle$

lemma *csqrt-minus* [simp]:

assumes $\text{Im } x < 0 \vee (\text{Im } x = 0 \wedge 0 \leq \text{Re } x)$

shows $\text{csqrt } (-x) = i * \text{csqrt } x$

$\langle proof \rangle$

Legacy theorem names

lemmas *expand-complex-eq = complex-eq-iff*

lemmas *complex-Re-Im-cancel-iff = complex-eq-iff*

lemmas *complex-equality = complex-eqI*

lemmas *cmod-def = norm-complex-def*

lemmas *complex-norm-def = norm-complex-def*

lemmas *complex-divide-def = divide-complex-def*

lemma *legacy-Complex-simps*:

shows *Complex-eq-0*: $\text{Complex } a \ b = 0 \longleftrightarrow a = 0 \wedge b = 0$

and *complex-add*: $\text{Complex } a \ b + \text{Complex } c \ d = \text{Complex } (a + c) \ (b + d)$

and *complex-minus*: $-(\text{Complex } a \ b) = \text{Complex } (-a) \ (-b)$

and *complex-diff*: $\text{Complex } a \ b - \text{Complex } c \ d = \text{Complex } (a - c) \ (b - d)$

and *Complex-eq-1*: $\text{Complex } a \ b = 1 \longleftrightarrow a = 1 \wedge b = 0$

and *Complex-eq-neg-1*: $\text{Complex } a \ b = -1 \longleftrightarrow a = -1 \wedge b = 0$

and *complex-mult*: $\text{Complex } a \ b * \text{Complex } c \ d = \text{Complex } (a * c - b * d) \ (a * d + b * c)$

and *complex-inverse*: $\text{inverse } (\text{Complex } a \ b) = \text{Complex } (a / (a^2 + b^2)) \ (-b / (a^2 + b^2))$

and *Complex-eq-numeral*: $\text{Complex } a \ b = \text{numeral } w \longleftrightarrow a = \text{numeral } w \wedge b = 0$

and *Complex-eq-neg-numeral*: $\text{Complex } a \ b = -\text{numeral } w \longleftrightarrow a = -\text{numeral } w \wedge b = 0$

and *complex-scaleR*: $\text{scaleR } r \ (\text{Complex } a \ b) = \text{Complex } (r * a) \ (r * b)$

and *Complex-eq-i*: $\text{Complex } x \ y = i \longleftrightarrow x = 0 \wedge y = 1$

and *i-mult-Complex*: $i * \text{Complex } a \ b = \text{Complex } (-b) \ a$

and *Complex-mult-i*: $\text{Complex } a \ b * i = \text{Complex } (-b) \ a$

and *i-complex-of-real*: $i * \text{complex-of-real } r = \text{Complex } 0 \ r$

and *complex-of-real-i*: $\text{complex-of-real } r * i = \text{Complex } 0 \ r$

and *Complex-add-complex-of-real*: $\text{Complex } x \ y + \text{complex-of-real } r = \text{Complex } (x+r) \ y$

and *complex-of-real-add-Complex*: $\text{complex-of-real } r + \text{Complex } x \ y = \text{Complex } (r+x) \ y$

```

and Complex-mult-complex-of-real:  $\text{Complex } x \ y * \text{complex-of-real } r = \text{Complex}$ 
 $(x*r) \ (y*r)$ 
and complex-of-real-mult-Complex:  $\text{complex-of-real } r * \text{Complex } x \ y = \text{Complex}$ 
 $(r*x) \ (r*y)$ 
and complex-eq-cancel-iff2:  $(\text{Complex } x \ y = \text{complex-of-real } xa) = (x = xa \wedge y$ 
 $= 0)$ 
and complex-cn:  $\text{cnj } (\text{Complex } a \ b) = \text{Complex } a \ (-b)$ 
and Complex-sum':  $\text{sum } (\lambda x. \text{Complex } (f \ x) \ 0) \ s = \text{Complex } (\text{sum } f \ s) \ 0$ 
and Complex-sum:  $\text{Complex } (\text{sum } f \ s) \ 0 = \text{sum } (\lambda x. \text{Complex } (f \ x) \ 0) \ s$ 
and complex-of-real-def:  $\text{complex-of-real } r = \text{Complex } r \ 0$ 
and complex-norm:  $\text{cmod } (\text{Complex } x \ y) = \text{sqrt } (x^2 + y^2)$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma Complex-in-Reals:  $\text{Complex } x \ 0 \in \mathbb{R}$ 
 $\langle \text{proof} \rangle$ 

```

```

end

```

107 MacLaurin and Taylor Series

```

theory MacLaurin
imports Transcendental
begin

```

107.1 Maclaurin’s Theorem with Lagrange Form of Remainder

This is a very long, messy proof even now that it’s been broken down into lemmas.

```

lemma Maclaurin-lemma:
 $0 < h \implies$ 
 $\exists B :: \text{real}. f \ h = (\sum m < n. (j \ m \ / \ (\text{fact } m)) * (h^m)) + (B * ((h^n) / (\text{fact } n)))$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma eq-diff-eq':  $x = y - z \longleftrightarrow y = x + z$ 
for  $x \ y \ z :: \text{real}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma fact-diff-Suc:  $n < \text{Suc } m \implies \text{fact } (\text{Suc } m - n) = (\text{Suc } m - n) * \text{fact } (m$ 
 $- n)$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma Maclaurin-lemma2:
fixes  $B$ 
assumes DERIV:  $\forall m \ t. m < n \wedge 0 \leq t \wedge t \leq h \longrightarrow \text{DERIV } (\text{diff } m) \ t :> \text{diff}$ 
 $(\text{Suc } m) \ t$ 
and INIT:  $n = \text{Suc } k$ 
defines  $\text{difg} \equiv$ 

```

$(\lambda m t :: \text{real}. \text{diff } m \ t -$
 $((\sum p < n - m. \text{diff } (m + p) \ 0 \ / \ \text{fact } p * t \wedge p) + B * (t \wedge (n - m) \ / \ \text{fact}$
 $(n - m))))$
 $(\text{is } \text{difg} \equiv (\lambda m t. \text{diff } m \ t - ?\text{difg } m \ t))$
 $\text{shows } \forall m \ t. m < n \wedge 0 \leq t \wedge t \leq h \longrightarrow \text{DERIV } (\text{difg } m) \ t :> \text{difg } (\text{Suc } m) \ t$
 $\langle \text{proof} \rangle$

lemma *Maclaurin*:

assumes $h: 0 < h$
and $n: 0 < n$
and $\text{diff-}0: \text{diff } 0 = f$
and $\text{diff-Suc}: \forall m \ t. m < n \wedge 0 \leq t \wedge t \leq h \longrightarrow \text{DERIV } (\text{diff } m) \ t :> \text{diff}$
 $(\text{Suc } m) \ t$
shows
 $\exists t :: \text{real}. 0 < t \wedge t < h \wedge$
 $f \ h = \text{sum } (\lambda m. (\text{diff } m \ 0 \ / \ \text{fact } m) * h \wedge m) \ \{..<n\} + (\text{diff } n \ t \ / \ \text{fact } n) *$
 $h \wedge n$
 $\langle \text{proof} \rangle$

lemma *Maclaurin-objl*:

$0 < h \wedge n > 0 \wedge \text{diff } 0 = f \wedge$
 $(\forall m \ t. m < n \wedge 0 \leq t \wedge t \leq h \longrightarrow \text{DERIV } (\text{diff } m) \ t :> \text{diff } (\text{Suc } m) \ t) \longrightarrow$
 $(\exists t. 0 < t \wedge t < h \wedge f \ h = (\sum m < n. \text{diff } m \ 0 \ / \ (\text{fact } m) * h \wedge m) + \text{diff } n \ t$
 $/ \ \text{fact } n * h \wedge n)$
for $n :: \text{nat}$ **and** $h :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *Maclaurin2*:

fixes $n :: \text{nat}$
and $h :: \text{real}$
assumes $\text{INIT1}: 0 < h$
and $\text{INIT2}: \text{diff } 0 = f$
and $\text{DERIV}: \forall m \ t. m < n \wedge 0 \leq t \wedge t \leq h \longrightarrow \text{DERIV } (\text{diff } m) \ t :> \text{diff}$
 $(\text{Suc } m) \ t$
shows $\exists t. 0 < t \wedge t \leq h \wedge f \ h = (\sum m < n. \text{diff } m \ 0 \ / \ (\text{fact } m) * h \wedge m) + \text{diff}$
 $n \ t \ / \ \text{fact } n * h \wedge n$
 $\langle \text{proof} \rangle$

lemma *Maclaurin2-objl*:

$0 < h \wedge \text{diff } 0 = f \wedge$
 $(\forall m \ t. m < n \wedge 0 \leq t \wedge t \leq h \longrightarrow \text{DERIV } (\text{diff } m) \ t :> \text{diff } (\text{Suc } m) \ t) \longrightarrow$
 $(\exists t. 0 < t \wedge t \leq h \wedge f \ h = (\sum m < n. \text{diff } m \ 0 \ / \ \text{fact } m * h \wedge m) + \text{diff } n \ t \ /$
 $\text{fact } n * h \wedge n)$
for $n :: \text{nat}$ **and** $h :: \text{real}$
 $\langle \text{proof} \rangle$

lemma *Maclaurin-minus*:

fixes $n :: \text{nat}$ **and** $h :: \text{real}$
assumes $h < 0 \ 0 < n \ \text{diff } 0 = f$

and *DERIV*: $\forall m \ t. \ m < n \wedge h \leq t \wedge t \leq 0 \longrightarrow \text{DERIV} \ (\text{diff } m) \ t :> \text{diff} \ (\text{Suc } m) \ t$
shows $\exists t. \ h < t \wedge t < 0 \wedge f \ h = (\sum m < n. \ \text{diff } m \ 0 / \text{fact } m * h \wedge m) + \text{diff} \ n \ t / \text{fact } n * h \wedge n$
 $\langle \text{proof} \rangle$

lemma *Maclaurin-minus-objl*:

fixes $n :: \text{nat}$ **and** $h :: \text{real}$

shows

$h < 0 \wedge n > 0 \wedge \text{diff } 0 = f \wedge$

$(\forall m \ t. \ m < n \ \& \ h \leq t \ \& \ t \leq 0 \dashrightarrow \text{DERIV} \ (\text{diff } m) \ t :> \text{diff} \ (\text{Suc } m) \ t)$

\longrightarrow

$(\exists t. \ h < t \wedge t < 0 \wedge f \ h = (\sum m < n. \ \text{diff } m \ 0 / \text{fact } m * h \wedge m) + \text{diff} \ n \ t / \text{fact } n * h \wedge n)$

$\langle \text{proof} \rangle$

107.2 More Convenient “Bidirectional” Version.

lemma *Maclaurin-bi-le-lemma*:

$n > 0 \implies$

$\text{diff } 0 \ 0 = (\sum m < n. \ \text{diff } m \ 0 * 0 \wedge m / (\text{fact } m)) + \text{diff } n \ 0 * 0 \wedge n / (\text{fact } n)$
 $:: \text{real}$

$\langle \text{proof} \rangle$

lemma *Maclaurin-bi-le*:

fixes $n :: \text{nat}$ **and** $x :: \text{real}$

assumes $\text{diff } 0 = f$

and *DERIV*: $\forall m \ t. \ m < n \wedge |t| \leq |x| \longrightarrow \text{DERIV} \ (\text{diff } m) \ t :> \text{diff} \ (\text{Suc } m) \ t$

shows $\exists t. \ |t| \leq |x| \wedge f \ x = (\sum m < n. \ \text{diff } m \ 0 / (\text{fact } m) * x \wedge m) + \text{diff } n \ t / (\text{fact } n) * x \wedge n$

$(\text{is } \exists t. \ - \wedge f \ x = ?f \ x \ t)$

$\langle \text{proof} \rangle$

lemma *Maclaurin-all-lt*:

fixes $x :: \text{real}$

assumes *INIT1*: $\text{diff } 0 = f$

and *INIT2*: $0 < n$

and *INIT3*: $x \neq 0$

and *DERIV*: $\forall m \ x. \ \text{DERIV} \ (\text{diff } m) \ x :> \text{diff} \ (\text{Suc } m) \ x$

shows $\exists t. \ 0 < |t| \wedge |t| < |x| \wedge f \ x =$

$(\sum m < n. \ (\text{diff } m \ 0 / \text{fact } m) * x \wedge m) + (\text{diff } n \ t / \text{fact } n) * x \wedge n$

$(\text{is } \exists t. \ - \wedge - \wedge f \ x = ?f \ x \ t)$

$\langle \text{proof} \rangle$

lemma *Maclaurin-all-lt-objl*:

fixes $x :: \text{real}$

shows

$\text{diff } 0 = f \wedge (\forall m x. \text{DERIV } (\text{diff } m) x :> \text{diff } (\text{Suc } m) x) \wedge x \neq 0 \wedge n > 0$
 \longrightarrow
 $(\exists t. 0 < |t| \wedge |t| < |x| \wedge$
 $f x = (\sum m < n. (\text{diff } m \ 0 / \text{fact } m) * x ^ m) + (\text{diff } n \ t / \text{fact } n) * x ^ n)$
 $\langle \text{proof} \rangle$

lemma *Maclaurin-zero*: $x = 0 \implies n \neq 0 \implies (\sum m < n. (\text{diff } m \ 0 / \text{fact } m) * x ^ m) = \text{diff } 0 \ 0$
for $x :: \text{real}$ **and** $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Maclaurin-all-le*:
fixes $x :: \text{real}$ **and** $n :: \text{nat}$
assumes *INIT*: $\text{diff } 0 = f$
and *DERIV*: $\forall m x. \text{DERIV } (\text{diff } m) x :> \text{diff } (\text{Suc } m) x$
shows $\exists t. |t| \leq |x| \wedge f x = (\sum m < n. (\text{diff } m \ 0 / \text{fact } m) * x ^ m) + (\text{diff } n \ t / \text{fact } n) * x ^ n$
(is $\exists t. - \wedge f x = ?f x \ t)$
 $\langle \text{proof} \rangle$

lemma *Maclaurin-all-le-objl*:
 $\text{diff } 0 = f \wedge (\forall m x. \text{DERIV } (\text{diff } m) x :> \text{diff } (\text{Suc } m) x) \longrightarrow$
 $(\exists t :: \text{real}. |t| \leq |x| \wedge f x = (\sum m < n. (\text{diff } m \ 0 / \text{fact } m) * x ^ m) + (\text{diff } n \ t / \text{fact } n) * x ^ n)$
for $x :: \text{real}$ **and** $n :: \text{nat}$
 $\langle \text{proof} \rangle$

107.3 Version for Exponential Function

lemma *Maclaurin-exp-lt*:
fixes $x :: \text{real}$ **and** $n :: \text{nat}$
shows
 $x \neq 0 \implies n > 0 \implies$
 $(\exists t. 0 < |t| \wedge |t| < |x| \wedge \exp x = (\sum m < n. (x ^ m) / \text{fact } m) + (\exp t / \text{fact } n) * x ^ n)$
 $\langle \text{proof} \rangle$

lemma *Maclaurin-exp-le*:
fixes $x :: \text{real}$ **and** $n :: \text{nat}$
shows $\exists t. |t| \leq |x| \wedge \exp x = (\sum m < n. (x ^ m) / \text{fact } m) + (\exp t / \text{fact } n) * x ^ n$
 $\langle \text{proof} \rangle$

corollary *exp-lower-taylor-quadratic*: $0 \leq x \implies 1 + x + x^2 / 2 \leq \exp x$
for $x :: \text{real}$
 $\langle \text{proof} \rangle$

corollary *ln-2-less-1*: $\ln 2 < (1 :: \text{real})$

$\langle proof \rangle$

107.4 Version for Sine Function

lemma *mod-exhaust-less-4*: $m \bmod 4 = 0 \mid m \bmod 4 = 1 \mid m \bmod 4 = 2 \mid m \bmod 4 = 3$
for $m :: nat$
 $\langle proof \rangle$

lemma *Suc-Suc-mult-two-diff-two* [simp]: $n \neq 0 \implies \text{Suc} (\text{Suc} (2 * n - 2)) = 2 * n$
 $\langle proof \rangle$

lemma *lemma-Suc-Suc-4n-diff-2* [simp]: $n \neq 0 \implies \text{Suc} (\text{Suc} (4 * n - 2)) = 4 * n$
 $\langle proof \rangle$

lemma *Suc-mult-two-diff-one* [simp]: $n \neq 0 \implies \text{Suc} (2 * n - 1) = 2 * n$
 $\langle proof \rangle$

It is unclear why so many variant results are needed.

lemma *sin-expansion-lemma*: $\sin (x + \text{real} (\text{Suc } m) * \pi / 2) = \cos (x + \text{real } m * \pi / 2)$
 $\langle proof \rangle$

lemma *Maclaurin-sin-expansion2*:
 $\exists t. |t| \leq |x| \wedge$
 $\sin x = (\sum m < n. \text{sin-coeff } m * x ^ m) + (\sin (t + 1/2 * \text{real } n * \pi) / \text{fact } n) * x ^ n$
 $\langle proof \rangle$

lemma *Maclaurin-sin-expansion*:
 $\exists t. \sin x = (\sum m < n. \text{sin-coeff } m * x ^ m) + (\sin (t + 1/2 * \text{real } n * \pi) / \text{fact } n) * x ^ n$
 $\langle proof \rangle$

lemma *Maclaurin-sin-expansion3*:
 $n > 0 \implies 0 < x \implies$
 $\exists t. 0 < t \wedge t < x \wedge$
 $\sin x = (\sum m < n. \text{sin-coeff } m * x ^ m) + (\sin (t + 1/2 * \text{real } n * \pi) / \text{fact } n) * x ^ n$
 $\langle proof \rangle$

lemma *Maclaurin-sin-expansion4*:
 $0 < x \implies$
 $\exists t. 0 < t \wedge t \leq x \wedge$
 $\sin x = (\sum m < n. \text{sin-coeff } m * x ^ m) + (\sin (t + 1/2 * \text{real } n * \pi) / \text{fact } n) * x ^ n$
 $\langle proof \rangle$

107.5 Maclaurin Expansion for Cosine Function

lemma *sumr-cos-zero-one* [simp]: $(\sum m < \text{Suc } n. \text{cos-coeff } m * 0^m) = 1$
 ⟨proof⟩

lemma *cos-expansion-lemma*: $\cos(x + \text{real}(\text{Suc } m) * \pi / 2) = -\sin(x + \text{real } m * \pi / 2)$
 ⟨proof⟩

lemma *Maclaurin-cos-expansion*:
 $\exists t :: \text{real}. |t| \leq |x| \wedge$
 $\cos x = (\sum m < n. \text{cos-coeff } m * x^m) + (\cos(t + 1/2 * \text{real } n * \pi) / \text{fact } n) * x^n$
 ⟨proof⟩

lemma *Maclaurin-cos-expansion2*:
 $0 < x \implies n > 0 \implies$
 $\exists t. 0 < t \wedge t < x \wedge$
 $\cos x = (\sum m < n. \text{cos-coeff } m * x^m) + (\cos(t + 1/2 * \text{real } n * \pi) / \text{fact } n) * x^n$
 ⟨proof⟩

lemma *Maclaurin-minus-cos-expansion*:
 $x < 0 \implies n > 0 \implies$
 $\exists t. x < t \wedge t < 0 \wedge$
 $\cos x = (\sum m < n. \text{cos-coeff } m * x^m) + ((\cos(t + 1/2 * \text{real } n * \pi) / \text{fact } n) * x^n)$
 ⟨proof⟩

lemma *sin-bound-lemma*: $x = y \implies |u| \leq v \implies |(x + u) - y| \leq v$
 for $x \ y \ u \ v :: \text{real}$
 ⟨proof⟩

lemma *Maclaurin-sin-bound*: $|\sin x - (\sum m < n. \text{sin-coeff } m * x^m)| \leq \text{inverse}(\text{fact } n) * |x|^n$
 ⟨proof⟩

108 Taylor series

We use MacLaurin and the translation of the expansion point c to 0 to prove Taylor’s theorem.

lemma *taylor-up*:
 assumes *INIT*: $n > 0$ diff $0 = f$
 and *DERIV*: $\forall m \ t. m < n \wedge a \leq t \wedge t \leq b \longrightarrow \text{DERIV } (\text{diff } m) \ t :> (\text{diff}$

(*Suc m*) *t*)
and *INTERV*: $a \leq c \wedge c < b$
shows $\exists t :: \text{real}. c < t \wedge t < b \wedge$
 $f\ b = (\sum_{m < n}. (\text{diff } m\ c / \text{fact } m) * (b - c)^m) + (\text{diff } n\ t / \text{fact } n) * (b -$
 $c)^n$
<proof>

lemma *taylor-down*:

fixes $a :: \text{real}$ **and** $n :: \text{nat}$
assumes *INIT*: $n > 0 \wedge \text{diff } 0 = f$
and *DERIV*: $(\forall m\ t. m < n \wedge a \leq t \wedge t \leq b \longrightarrow \text{DERIV } (\text{diff } m)\ t :> \text{diff}$
(*Suc m*) *t*)
and *INTERV*: $a < c \wedge c \leq b$
shows $\exists t. a < t \wedge t < c \wedge$
 $f\ a = (\sum_{m < n}. (\text{diff } m\ c / \text{fact } m) * (a - c)^m) + (\text{diff } n\ t / \text{fact } n) * (a -$
 $c)^n$
<proof>

theorem *taylor*:

fixes $a :: \text{real}$ **and** $n :: \text{nat}$
assumes *INIT*: $n > 0 \wedge \text{diff } 0 = f$
and *DERIV*: $\forall m\ t. m < n \wedge a \leq t \wedge t \leq b \longrightarrow \text{DERIV } (\text{diff } m)\ t :> \text{diff}$
(*Suc m*) *t*
and *INTERV*: $a \leq c \wedge c \leq b \wedge a \leq x \wedge x \leq b \wedge x \neq c$
shows $\exists t.$
 $(\text{if } x < c \text{ then } x < t \wedge t < c \text{ else } c < t \wedge t < x) \wedge$
 $f\ x = (\sum_{m < n}. (\text{diff } m\ c / \text{fact } m) * (x - c)^m) + (\text{diff } n\ t / \text{fact } n) * (x -$
 $c)^n$
<proof>

end

109 Comprehensive Complex Theory

theory *Complex-Main*

imports

Complex

MacLaurin

begin

end

References

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