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**Subject:** «Neurotechnologies and Affective Computing»

**Project Theme:** «Comparison of Effectiveness of Several Classifiers in EEG

Emotion Recognition»

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## **Introduction**

As one of the most fundamental human mental processes, emotions play a crucial role in how people interact with the outside world. The emerging field of affective computing is aimed at "calculations that involve, arise from, or intentionally affect emotions"[1]. The most commonly used data in affective computing can be divided into human behavior data and physiological data. Because people can subjectively control their behavior, the data loses objectivity and affects the accuracy of experiments. Based on human physiological data, this problem can be well controlled.

The EEG-based approach has a good balance between mechanistic studies and practical applications in the real world [2]. In recent years, electroencephalography (EEG) technology has been used for emotion recognition due to its simplicity, low cost, portability, and ease of use.

Subject: EEG emotion recognition

Purpose: Comparing different types of classifiers for emotion recognition

Main stages of the project:

- Read the paper for an overview of the field of emotion recognition and eeg
- Gain an in-depth look at existing EEG-based emotion recognition technology, understand the specific process, and optional technology
- Select the appropriate experimental paradigm to acquire data
- Choose an appropriate way to preprocess the data and extract appropriate features
- Choose an appropriate classifier, train it and compare the pros and cons of different classifiers from multiple perspectives
- Build applications from optimal models
- Summarize and draw conclusions

## **Group Division**

Each member of the group implements 1-2 classifiers respectively.

One member implements the preprocessing of the data, the other makes the application.

Completing the comparison between classifiers together.

## **Final Result**

The final result of this project is an application that uses the best classifier model, and receives real-time data through OpenBCI, and outputs the current emotion of the user.

## Theoretical part

### Electroencephalography

The largest part of the human brain is the cortex, which is divided into frontal, temporal, parietal, and occipital lobes (Figure 1). The frontal lobes are responsible for conscious thought. The temporal lobe is responsible for smell and hearing, and processes complex stimuli such as faces and scenes. The parietal lobes are responsible for integrating sensory information from different senses, as well as manipulating objects. Finally, the occipital lobe is responsible for vision.

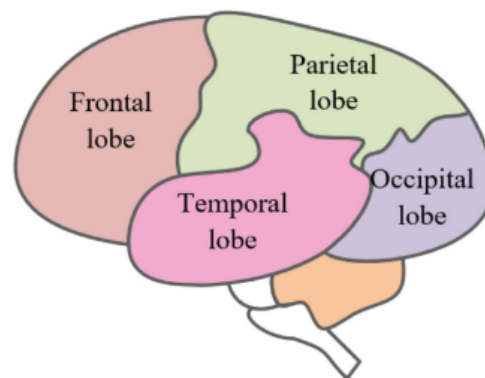


Figure 1. Differential brain map

An EEG is a medical imaging technique that reads the electrical activity of the scalp produced by the structures of the brain, that is, it measures the voltage fluctuations caused by the flow of ionic currents within the neurons of the brain. A typical adult EEG signal, when measured from the scalp, is about 10-100  $\mu\text{V}$ . These signals are divided into specific ranges in the scalp that are more prominent in certain processing states (Figure 2), namely **delta** (1 – 4 Hz), **theta** (4 – 7 Hz), **alpha** (8-13 Hz), **beta** (13-30 Hz) and **gamma** ( $> 30$  Hz).

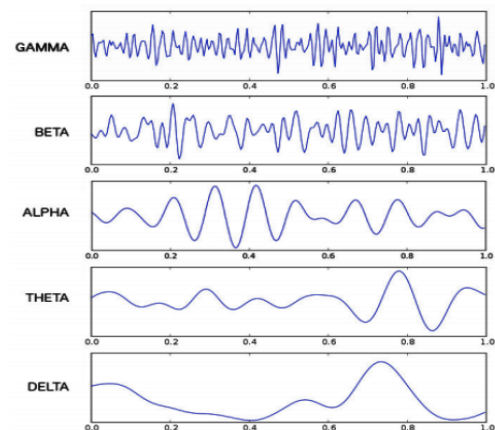


Figure 2. The five brain waves

Delta waves are associated with the unconscious mind and occur during a deep dreamless sleep.

Theta brain waves are associated with the subconscious mind and activities such as dreaming.

Alpha waves are typically associated with a relaxed, yet aware mental state, with high alpha activity being correlated to brain inactivity.

Beta waves are related to an active state of mind during intense, focused mental activity.

Gamma waves are associated with hyper brain activity.

## Common EEG paradigms

To understand how to assess changes in EEG activity, researchers have proposed the most commonly used paradigms: sensory evoked potentials (SEPs), event-related potentials (ERPs), and event-related desynchronization/synchronization (ERD/ERS).

Evoked potentials correspond to potential signals recorded after the stimulus is presented.

Based on different evoked methods, there are three types: auditory evoked potential (AEP), visual evoked potential (VEP), and somatosensory evoked potential (SSEP).

ERP has high temporal resolution and can measure immediate responses to short stimuli.

They are usually measured as the latencies and amplitudes of positive and negative potentials at specific millisecond intervals after stimulation.

ERD/ERS analysis evaluates power changes within a specified frequency band with high temporal resolution. Responses that occur within milliseconds of stimulus presentation were assessed by measuring rapid changes in power over a defined frequency band.

## Emotion Recognition in EEG

In order to use EEG signals to identify emotions, the following steps need to be performed (Figure 3):

- 1) stimulate emotions through experiments;
- 2) record changes in the brain through eeg;
- 3) remove noise and artifacts from the signals;
- 4) Extract features from the signal;
- 5) based on training Sets, using the computed features, trains a classifier, and interprets the raw brain signals.

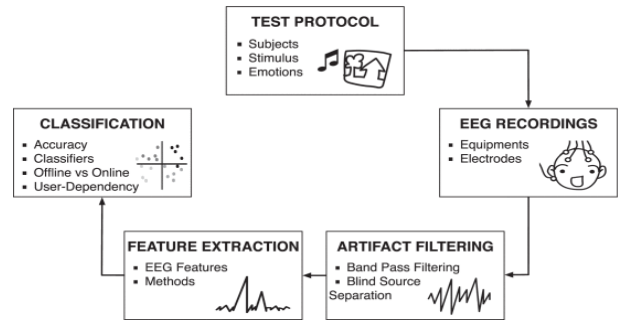


Figure 3. Process of emotions recognition

## Electrode location of EEG

To generate reproducible setups, there are standardized sets of electrode positions on the skull, such as the International 10/20 System (IS) (Figure 4).

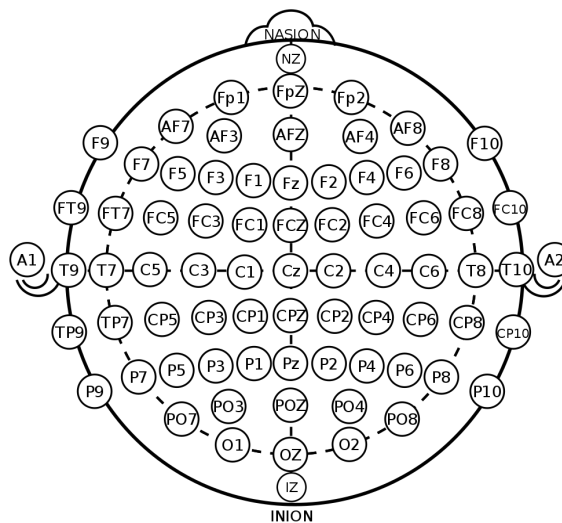


Figure 4. International 10-20 system

## Emotion Model

Emotion is a complex mental state. There are two different perspectives on emotion representation.

The first view holds that basic emotions evolved through natural selection. Plutchik proposes eight basic emotions: anger, fear, sadness, disgust, surprise, curiosity, acceptance, and joy. All other emotions can be composed of these basic emotions (for example, disappointment is composed of surprise and sadness).

The second view is based on cognition and believes that emotion can be mapped to three dimensions: valence, arousal, and dominance (VAD). Valence ranges from very positive to very negative feelings (or from unhappy to happy); arousal ranges from sleepy to excited states; and finally, dominance corresponds to emotional intensity. The most commonly used model is the Circumplex Model (Figure 5) of emotion, which only considers valence and arousal.

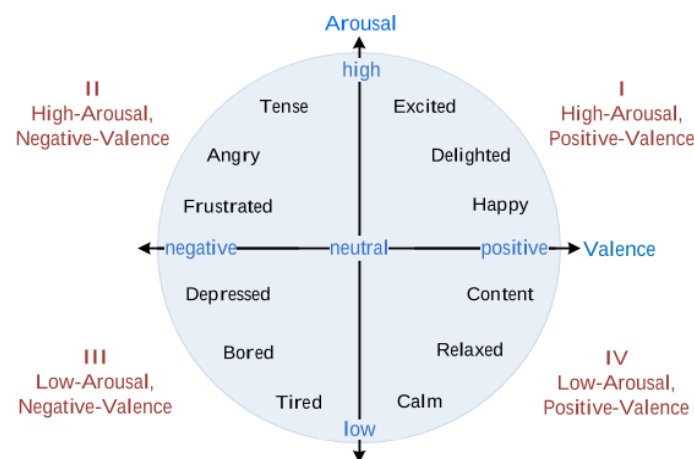


Figure 5. Circumplex Model

## Emotions Induced

Before EEG signal acquisition, subjects must be in the mood required for emotion recognition. Commonly used emotion induction methods include imagination induction method and event induction method.

Imagination Induction Method, by prompting the subjects to recall the corresponding events and induce emotions.

Event Induction Method, By playing music, showing pictures and videos to the subjects, make them emotional.

## EEG Emotion Recognition Dataset

[DEAP Dataset](#): A multimodal dataset for the analysis of human affective states.

[SEED Dataset](#): The SJTU Emotion EEG Dataset (SEED), is a collection of EEG datasets provided by the BCMI laboratory, which is led by Prof.

[DREAMER Dataset](#): A multi-modal database consisting of electroencephalogram and electrocardiogram signals recorded during effect elicitation by means of audio-visual stimuli.

## Practical Part

### Project Information

Project Dataset: SEED

Emotion Model: Positive Activation – Negative Activation (PANA) model

Categories of Emotions: negative, neutral, positive

EEG Equipment: OpenBCI (Cyton Daisy 6 channels)

Electrode location: F7, F8, T7, T8 (According to research, the data obtained from this 4 EEG electrodes are most closely related to emotions)

Experimental setup: Using the event induction method, evoked the emotions of the subjects through movie clips with a tendency to be negative, neutral, positive

### Experiment Setup

In the SEED dataset experiment, movie clips were chosen to elicit emotional states.

A 62-channel electrode cap was used to collect the EEG signals of the subject during the experiment, and ESI NeuroScan System was applied to record the data with the sample rate 1000Hz synchronously.

We will use the SEED dataset as the training dataset for the model, and through similar experiments, use the OpenBCI EEG cap to obtain test data.

In our experiment, the subject's EEG signals will be continuously collected during the movie clip playing, and the subject will self-assess their emotional state after the movie clip is played. We will crop the data and keep the last 100 seconds of data as the actual test data for emotion recognition.

### Emotion Classification Model Build

#### Data Preprocessing

In the SEED dataset, the raw data has been downsampled to 200 Hz and filtered with a 0-75 Hz bandpass filter, So we don't need to do data preprocessing.

#### Data Artifact Filtering

Filter the data with a bandpass filter to obtain the data of five frequency bands (delta: 1–3 Hz, theta: 4–7 Hz, alpha: 8–13 Hz, beta: 14–30 Hz, gamma: 31–50 Hz).

#### Data Feature Extraction

The differential entropy of each frequency band is calculated separately, DE(differential entropy) is defined as:

$$\mathbf{DE} = - \int_{-\infty}^{\infty} \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right) \ln\left(\frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)\right) dx = \frac{1}{2} \ln 2\pi e \sigma^2$$

According to research the DE feature is more suited for emotion recognition than traditional feature ES(energy spectrum).

## Emotion Data Classifier

We train and test different classifiers and get the following accuracy table of each classifier:

	precision	recall	f1-score
<b>SVM</b>	<b>0.73</b>	<b>0.74</b>	<b>0.73</b>
KNN	0.59	0.60	0.58
Random Forest	0.62	0.63	0.62
GaussianNB	0.58	0.60	0.59
Decision Tree	0.52	0.51	0.51
<b>Ada Boost</b>	<b>0.71</b>	<b>0.70</b>	<b>0.70</b>
<b>Neural Network</b>	<b>0.70</b>	<b>0.70</b>	0.69

It is known from the table that for a given dataset, the accuracy of SVM, Ada Boost, Neural Network is greater than 0.70.

We will conduct further research of these three classification models.

We train the same classifier with different parameters and get the one that gets the highest accuracy.

Finally, for the same training and testing datasets, we get the following accuracy:

	precision	recall	f1-score
<b>SVM</b>	0.85	0.85	0.85
<b>Ada Boost</b>	0.73	0.73	0.73
<b>Neural Network</b>	0.81	0.80	0.80



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