

Communication Complexity

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Introduction

» Two-Party Communication Complexity

- * X, Y, Z are finite
- * $f: X \times Y \rightarrow Z$
- * (alice) $x \in X$ and (bob) $y \in Y$
- * $f(x, y) = ?$



Alice $x \in X$

↓ 0 ↑ 1 ↓ 1 ↑ 0 ↓ 1



Bob $y \in Y$

The model

» Protocol

- * Specifies whether the execution terminated
- * Specifies what is the output
- * Specifies what message the sender (Alice or Bob) [1]
- * **Transcript:** *The sequence of bits sent back and forth*
- * Let $s_\pi(x, y)$ denote the transcript $\pi(x, y)$

$$A : X \times \underbrace{\{0, 1\}^*}_{\text{Transcript}} \rightarrow \{0, 1\}$$

$$B : Y \times \underbrace{\{0, 1\}^*}_{\text{Transcript}} \rightarrow \{0, 1\}$$

$$N : \underbrace{\{0, 1\}^*}_{\text{Transcript}} \rightarrow \{A, B, \text{STOP}\}$$

» Example: Naïve or

OR function

$$OR(x, y) = 1 \Leftrightarrow (x_1 \vee x_2 \vee \dots x_n) \vee (y_1 \vee y_2 \vee \dots y_n) = \text{True}$$

* Alice sends x to Bob* Bob computes $z = f(x, y)$ and send z to Alice

$$(1) \quad N(\epsilon) = A \quad \Rightarrow \quad A(00, \epsilon) = 0$$

$$(2) \quad N(0) = A \quad \Rightarrow \quad A(00, 0) = 0$$

$$(3) \quad N(00) = B \quad \Rightarrow \quad B(01, 00) = 1$$

$$(4) \quad N(001) = \text{STOP} \quad \Rightarrow \quad A(00, 001) = B(01, 001) = 1 = \pi(00, 01)$$

» Cost and Communication Complexity

- * π computes f iff $\forall (x, y) : f(x, y) = \pi(x, y)$
- * **Cost:** Worst case (over all $(x, y) \in X \times Y$) of $|s_\pi(x, y)|$ (example?)
- * **Communication complexity of f :** The cost of **best** π which computes f

$$\text{cost}(\pi) := \max_{\{(x, y) \in X \times Y : |x| = |y| = n\}} |s_\pi(x, y)|$$

$$D(f) := \min_{\{\pi : \pi \text{ computes } f\}} \text{cost}(\pi)$$

» Naïve solution

For every $f: X \times Y \rightarrow Z$:

$$D(f) \leq \lceil \log X \rceil + \lceil \log Z \rceil$$

$$D(f) \leq \lceil \log Y \rceil + \lceil \log Z \rceil$$

Upper bound

- * Parity
- * Majority
- * Median
- * P^{cc}

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» **PARITY**

$$\text{PARITY}(x, y) = \left(\sum_{i=1}^n x_i + \sum_{i=1}^n y_i \right) \bmod 2$$

$$D(\text{PARITY}) \leq 2$$

1. Alice $\xrightarrow{\left(\sum_{i=1}^n x_i \right) \bmod 2}$ Bob

2. Bob $\xrightarrow{\left(\sum_{i=1}^n y_i \right) \bmod 2}$ Alice

» **PARITY**

$$D(\text{PARITY}) \geq 2$$

- * Suppose $D(\text{PARITY}) < 2$
- * (wlog) $N(\epsilon) = A$
- * $A(x, b) = A(x, A(x, \epsilon)) = \text{PARITY}(x, y)$
- * $\text{PARITY}(x, y)$ not depends on y !
- * Flip one bit in y to change $\text{PARITY}(x, y)$
(Contradiction!)

Corollary: $D(\text{PARITY}) = 2$

Upper bound

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» MAJORITY

$$MAJ(x, y) = 1 \Leftrightarrow \#_1(x \cdot y) \geq \#_0(x \cdot y)$$

$$D(MAJ) \leq O(\log n)$$

1. Alice $\xrightarrow{\#_1 x}$ Bob

2. Bob $\xrightarrow{\#_1 y}$ Alice

Upper bound

- * Parity
- * Majority
- * Median
- * P^{cc}

» Median problem

- * **Characteristic vector:** $s_i = 1 \Leftrightarrow i \in [s]$
- * **Input:** $[x] \subseteq \{2, 4, \dots, 2n\}$
- * **Input:** $[y] \subseteq \{1, 3, \dots, 2n-1\}$
- * **Goal:** *Median* $\{[x] \cup [y]\}$
- * **Note:** $x, y \in \{0, 1\}^n$ (Real inputs!)
- * **Note:** $[x] \cup [y] \subseteq \{1, 2, \dots, 2n\}$
- * **Naïve :** $D(MED) \leq n + \lceil \log 2n \rceil$

» Median protocol

Claim: $D(MED) \leq O(\log^2 n)$!

Idea: Binary search !

Protocol:

1. Suppose $MED(x, y) \in [i, j]$
2. $mid = \lfloor \frac{i+j}{2} \rfloor$
3. **Alice:** $R_x = |[mid + 1, j] \cap [x]|$ and $L_x = |[i, mid] \cap [x]|$
4. **Bob :** $R_y = |[mid + 1, j] \cap [y]|$ and $L_y = |[i, mid] \cap [y]|$
5. Alice $\xrightarrow{L_x, R_x}$ Bob
6. Bob $\xrightarrow{L_y, R_y}$ Alice
7. Update $[i, j]$ to $[i, mid]$ or $[mid + 1, j]$

[2]

» Cost of protocol

- * $|L_x| + |R_x| + |L_y| + |R_y| \leq 4 \cdot \lceil \log 2n \rceil$
- * Number of iterations $\leq O(\log 2n)$
- * Hence $D(MED) \leq O(\log^2 n)$

Upper bound

- * Parity
- * Majority
- * Median
- * P^{cc}



$$P^{cc} := \{f : D(f) = O(\text{poly}(\log n))\}$$

$$MED \in P^{cc}$$

Lower bound

- * EQUALITY
- * Fooling set method

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» EQUALITY

- * $EQ(x, y) = 1 \Leftrightarrow x = y$
- * $D(EQ) \leq n + 1$ (Naïve)
- * Claim: $D(EQ) \geq n$

» Mix and match lemma

If

$$s_{\pi}(x, y) = s_{\pi}(x', y')$$

then

$$s_{\pi}(x, y) = s_{\pi}(x', y') = s_{\pi}(x, y') = s_{\pi}(x', y)$$

Proof: By induction on length of the transcript.**Intuition:** 😊

» Induction Step

- * By assumption:

$$s_{\pi}(x, y) = s_{\pi}(x', y') = b_1, b_2, \dots, b_i, b_{i+1}, \dots, b_k$$

- * By induction hypothesis:

$$\begin{aligned} s_{\pi}(x, y)[1, i] &= s_{\pi}(x', y')[1, i] \\ &= s_{\pi}(x, y')[1, i] = s_{\pi}(x', y)[1, i] = b_1, b_2, \dots, b_i \end{aligned}$$

- * (wlog) $N(b_1, b_2, \dots, b_i) = A$

- * $s_{\pi}(x', y)[i+1] = A(x', b_1, b_2, \dots, b_i)$

- * $s_{\pi}(x, y')[i+1] = A(x, b_1, b_2, \dots, b_i)$

$$\begin{aligned} s_{\pi}(x, y)[i+1] &= s_{\pi}(x', y')[i+1] \\ &= A(x, b_1, b_2, \dots, b_i) \\ &= A(x', b_1, b_2, \dots, b_i) \\ * &= s_{\pi}(x, y')[i+1] \\ &= s_{\pi}(x', y)[i+1] \\ &= b_{i+1} \end{aligned}$$

» Corollary

Corollary: If the previous lemma holds and π computes f then:

$$f(x, y) = f(x', y') = f(x', y) = f(x, y')$$

Proof:

$$\begin{aligned} (1) \quad f(x, y) &= \pi(x, y) = A(x, s_\pi(x, y)) = B(y, s_\pi(x, y)) \\ (2) \quad f(x', y') &= \pi(x', y') = A(x', s_\pi(x, y)) = B(y', s_\pi(x, y)) \\ (3) \quad f(x', y) &= \pi(x', y) = A(x', s_\pi(x, y)) = B(y, s_\pi(x, y)) \\ (4) \quad f(x, y') &= \pi(x, y') = A(x, s_\pi(x, y)) = B(y', s_\pi(x, y)) \end{aligned}$$

» Lower bound for *EQ*Claim: $D(EQ) \geq n$

- * Assume $D(EQ) < n$
- * $|\{s : |s| < n\}| = 2^n - 1$
- * $2^n - 1$ distinct transcripts
- * $FS := \{(x, x) : x \in \{0, 1\}^n\}$
- * $|FS| = 2^n$
- * By Pigeonhole Principle :
 $\exists \{(x, x), (y, y)\} \subseteq FS$ s.t $x \neq y$ and $s_\pi(x, x) = s_\pi(y, y)$
- * By previous lemma
 $s_\pi(x, x) = s_\pi(y, y) = s_\pi(x, y) = s_\pi(y, x)$
- * Hence $EQ(x, x) = EQ(x, y)$ which is a contradiction



Lower bound

- * EQUALITY
- * Fooling set method

» Fooling set

- * $FS \subseteq X \times Y$
- * For all $\{(x, y), (x', y')\} \subseteq FS \Rightarrow f(x, y) = f(x', y')$
- * $f(x, y) \neq f(x', y) \vee f(x, y) \neq f(x, y')$
- * $cost(\pi) \geq \lceil \log |FS| \rceil$

Applications

- * Turing machines

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» *PAL* lowerbound

$$PAL := \{w \in \{0, 1\}^* : w = w^R\}$$

Claim: $PAL \in \Omega(n^2)$ (one-tape TM)

Idea: $D(EQ) \geq n \Rightarrow PAL \in \Omega(n^2)$ [1]

» **$PAL \in \Omega(n^2)$**

x_1	...	$x_{\frac{n}{3}}$	$x_{\frac{n}{3}+1}$...	$x_{\frac{2n}{3}}$	$x_{\frac{2n}{3}+1}$...	x_n	□	...
First			Middle			Last				
			$\frac{n}{3}$	$\leq i \leq$	$\frac{2n}{3}$					

- * $EQ(x, y) = 1 \Leftrightarrow x0^m y^R \in PAL$
- * Suppose $cross(i) = k$
- * Claim: $\exists \pi$ s.t. computes EQ and $cost(\pi) \leq k \cdot \lceil \log |Q| \rceil + 1$
- * $D(EQUALITY) \geq m$
- * Hence $k \cdot \lceil \log |Q| \rceil + 1 \geq m$
- * $m = \frac{n}{3} \Rightarrow k \in \Omega(n)$
- * $\frac{n}{3}$ different choices for i
- * Hence $PAL \in \Omega(n^2)$

Matrix form

» Matrix form

$$M_{f_{2^{n=3} \times 2^{n=3}}} := \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 & 0 & 1 \end{bmatrix}$$

» M_{EQ}

$$M_{OR_{2^3 \times 2^3}} := \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

» M_{EQ}

$$M_{EQ_{2^3 \times 2^3}} := \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Thank you!



E. Kushilevitz, “Communication complexity,” in *Advances in Computers*, vol. 44, pp. 331–360, Elsevier, 1997.



A. Rao and A. Yehudayoff, *Communication Complexity: and Applications*.
Cambridge University Press, 2020.