

Far-Infrared Quantum Cascade Lasers Operating in the AlAs Phonon Reststrahlen Band

Keita Ohtani,^{*} Mattias Beck, Martin Josef Süess, and Jérôme Faist

Institute for Quantum Electronics, ETH Zurich, Auguste-Piccard-Hof 1, 8093 Zurich, Switzerland

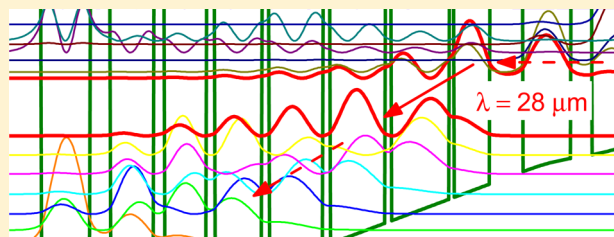
Aaron Maxwell Andrews,[†] Tobias Zederbauer, Hermann Detz,[†] Werner Schrenk, and Gottfried Strasser

Institute of Solid State Electronics and Center for Micro- and Nanostructures, Vienna University of Technology, Floragasse 7, 1040 Vienna, Austria

Supporting Information

ABSTRACT: We report on the operation of a double metal waveguide far-infrared quantum cascade laser emitting at 28 μm , corresponding to the AlAs-like phonon reststrahlen band. To avoid absorption by AlAs-like optical phonons, the Al-free group V alloy $\text{GaAs}_{0.51}\text{Sb}_{0.49}$ is used as a barrier layer in the bound-to-continuum-based active region. Lasing occurs at a wavelength of 28.3 μm , which is the longest wavelength among the quantum cascade lasers operating from mid-infrared to far-infrared. The threshold current density at 50 K is 5.5 kA/cm^2 , and maximum operation temperature is 175 K. We also discuss the feasibility that the operation wavelength can cover the whole spectral range bridging between mid-infrared and terahertz by choosing properly suited group III–V materials.

KEYWORDS: far-infrared, quantum cascade laser, reststrahlen band, optical phonon, terahertz



The free space wavelength range around 20–60 μm , lying in the far-infrared (FIR) spectrum between mid-infrared (MIR $\approx 2\text{--}20\ \mu\text{m}$) to terahertz (THz $\approx 60\text{--}300\ \mu\text{m}$), is of interest for spectroscopic sensing applications such as astrophysics. In contrast to the two neighboring spectral regions of MIR and THz, technologies at these wavelengths still remain underdeveloped because of difficulties in building a compact optoelectronics device¹ in the region where incident light strongly couples with lattice vibrations in a solid, leading to a highly dispersive and absorptive optical response with an energy gap called the “reststrahlen band”.²

Quantum cascade lasers (QCLs) are semiconductor lasers utilizing intersubband transitions in quantum wells (QWs).³ Widely tunable intersubband transition energies, together with an engineered optical gain coefficient, enable high-power coherent light in the MIR and THz regions.^{4–6} However, it is a challenging task to make them lase in the FIR region because of absorption by polar optical phonons of the group III–V semiconductors: Figure 1 shows a room-temperature, normal incident transmission spectrum of a 4 μm thick $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{Al}_{0.48}\text{In}_{0.52}\text{As}$ active region, which is generally employed for MIR and FIR QCLs. Three dips corresponding to the reststrahlen bands are visible on the spectra. By comparing them to the computed transmission spectra as represented by the dotted line, the three dips are identified to be InAs-like, GaAs-like, and AlAs-like optical phonon reststrahlen bands, respectively, since those transverse optical (TO) and longitudinal optical (LO) phonon energies in the

computation are in good agreement with the reported phonon energies.^{7–9} When extending QCL operation wavelength from the MIR to the THz, it first reaches the AlAs phonon reststrahlen band. There, generated light is strongly attenuated and absorbed, resulting in an increase of waveguide loss. Such a situation makes QCLs difficult to lase in the reststrahlen band and was believed to limit the operation wavelength to 24 μm .^{10–12}

In this work, to extend the operation wavelength into the AlAs phonon reststrahlen band, Al-free GaAsSb barriers are employed in the active region. GaAsSb, with a Sb composition of 49%, is lattice matching to the InP substrate, making a type-II QW with the $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ layer. Compared to $\text{Al}_{0.48}\text{In}_{0.52}\text{As}$, thicker barriers can be used since they provide a smaller conduction band offset energy (360 meV) and a smaller effective mass (0.045 m_0 , where m_0 is a free electron mass).^{13–15} To avoid an overlap with the InP phonon reststrahlen band, a double metal waveguide is employed where the optical field is confined by two thick metal cladding layers, instead of the InP substrate.^{11,12}

Figure 2 shows the conduction band diagram of the designed injection/active/injection layers in the active region of an electric field of 22.5 kV/cm. The injection/active layers are based on the bound-to-continuum scheme.¹⁶ As depicted by the red arrow, the optical transition occurs between the weakly

Received: September 29, 2016

Published: December 12, 2016

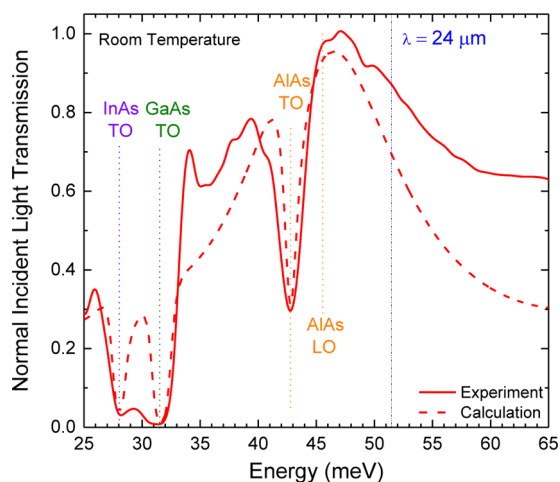


Figure 1. Room-temperature, normal incident transmission spectrum of a 4 μm thick $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{Al}_{0.48}\text{In}_{0.52}\text{As}$ far-infrared quantum cascade laser active region. The active region film was glued on the Si substrate with the epoxy. The measurement was done with a Fourier transform infrared spectrometer equipped with a Mylar beam splitter and a room-temperature deuterated triglycine sulfate (DTGS) detector. The dashed line represents simulated transmission spectra. The phonon damping constant is assumed to be 8 cm^{-1} , being independent of the materials.

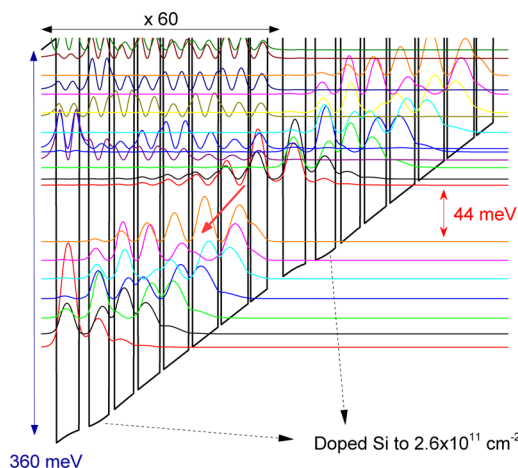


Figure 2. Conduction band diagram of injection/active/injection layers in the $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{GaAs}_{0.51}\text{Sb}_{0.49}$ active region at an electric field of 22 kV/cm. Effective masses of $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ ($0.043 m_0$) and $\text{GaAs}_{0.51}\text{Sb}_{0.49}$ ($0.045 m_0$) are used in the computation. The conduction band offset energy is 0.36 eV. The injection and active layers are repeated 60 times, corresponding to the total thickness of 4.2 μm . One of the well layers in the injection layer is Si doped to be $2.6 \times 10^{11}\text{ cm}^{-2}$.

bound excited subband and the widely spread ground subband. The emission wavelength is designed to be 28 μm ($=44\text{ meV}$). The $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ well layer with a thickness of 6.4 nm is uniformly doped with Si to supply electrons with a sheet density of $2.6 \times 10^{11}\text{ cm}^{-2}$. The sample was grown by solid-source molecular beam epitaxy (MBE) on Fe-doped InP (100) substrates. A 50 nm thick undoped $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$ bottom buffer layer was first grown, followed by 60 periods of the bound-to-continuum $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{GaAs}_{0.51}\text{Sb}_{0.49}$ active region with a total thickness of 4.2 μm . The growth was completed by a 30 nm thick $n\text{-In}_{0.53}\text{Ga}_{0.47}\text{As}$ top contact layer ($n = 2 \times 10^{18}\text{ cm}^{-3}$). The barrier thicknesses were adjusted, compared to the

nominal value, by a factor of 10–20%, because our Schrödinger and Poisson solver underestimates wave function couplings between QWs.¹⁷ After MBE growth, the wafers were processed into Au double metal waveguide ridge laser structures by a standard photolithography and a wet chemical etching. The $n\text{-In}_{0.53}\text{Ga}_{0.47}\text{As}$ top contact layers were removed to decrease the waveguide loss.

Figure 3a shows temperature-dependent light output–current–voltage characteristics of the laser device with a ridge width of 30 μm and a cavity length of 1.0 mm. At the

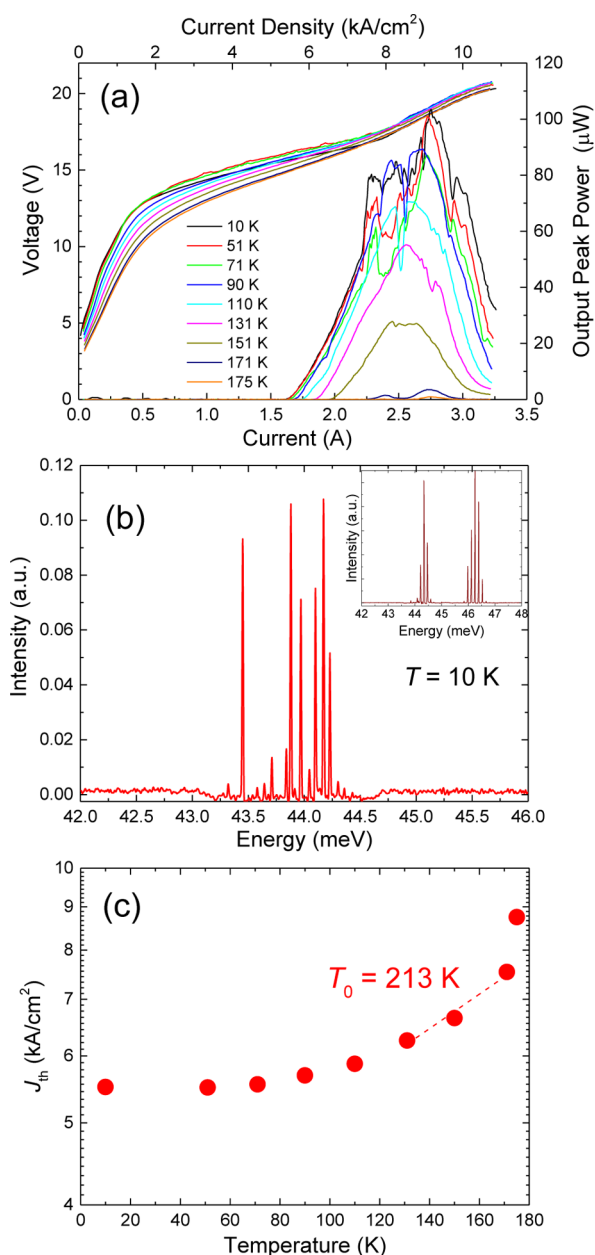


Figure 3. (a) Light output–current–voltage characteristics as a function of temperature. The laser device has a ridge width of 30 μm and a cavity length of 1.0 mm. Current pulses with a pulse width of 100 ns and a repetition rate of 450 Hz were used. (b) Laser emission spectra biasing below (1.85 A) and above (2.75 A, the inset) the negative differential resistance region. (c) Threshold current densities as a function of temperature. $T_0 = 213\text{ K}$ was obtained by fitting an empirical equation of threshold current density. The maximum operation temperature was 175 K.

temperature of 10 K, lasing was observed with a threshold current density (J_{th}) of 5.5 kA/cm². The maximum current density (J_{max}) was ~ 9.0 kA/cm². A peak output power of 0.1 mW was recorded by a calibrated Si bolometer. High voltage drop was observed on the transport curves due to the formation of a Schottky barrier by a lack of the n -In_{0.53}Ga_{0.47}As top contact layer. Figure 3b shows emission spectra at 10 K. The laser center emission energy is 43.8 meV, corresponding to the wavelength of 28.3 μ m. When the laser is pumped close to the negative differential resistance region, the laser emission spectrum spans from 26.6 to 28.5 μ m, reflecting a broad gain spectrum. As depicted in Figure 3a and c, the maximum operation temperature (T_{max}) was 175 K, which is lower than T_{max} (=240 K) of our previous $\lambda = 24$ μ m In_{0.53}Ga_{0.47}As/Al_{0.48}In_{0.52}As QCL. The lower T_{max} is attributed to the smaller current density dynamic range, the smaller J_{max} , since the characteristic temperature ($T_0 = 234$ K) of J_{th} from 110 to 170 K is comparable to T_0 of the 24 μ m In_{0.53}Ga_{0.47}As/Al_{0.48}In_{0.52}As QCL.¹²

Figure 4 shows (a) low-temperature J_{th} as a function of laser emission energies and (b) normal incident transmission spectrum. For comparison, data of In_{0.53}Ga_{0.47}As/GaAs_{0.51}Sb_{0.49} QCLs operating at other wavelengths (26.5 and 27.2 μ m) and In_{0.53}Ga_{0.47}As/Al_{0.48}In_{0.52}As QCLs having a similar gain coefficient are added. J_{th} of In_{0.53}Ga_{0.47}As/GaAs_{0.51}Sb_{0.49} QCLs is almost constant (≈ 5.0 kA/cm²) because of no absorption band in this energy range. However, J_{th} of the In_{0.53}Ga_{0.47}As/Al_{0.48}In_{0.52}As QCLs increases when the laser emission energy approaches the AlAs phonon energy since the lasing energy starts to overlap with the AlAs phonon reststrahlen band, resulting in an increase of the waveguide loss. The longest operation wavelength (26.3 μ m) of In_{0.53}Ga_{0.47}As/Al_{0.48}In_{0.52}As QCLs is in close agreement with our previous prediction (27.3 μ m), based on the estimated gain coefficient (11.5 cm⁻¹/kA) and the computed waveguide loss by phonon absorption.¹² Here we apply the same argument to discuss a limitation to the longest operation wavelength of In_{0.53}Ga_{0.47}As/GaAs_{0.51}Sb_{0.49} QCLs. Figure 4c shows computed total loss of the Au double metal waveguide with an In_{0.53}Ga_{0.47}As/GaAs_{0.51}Sb_{0.49} active region thickness of 4.0 μ m. The dielectric constant based on the effective medium approximation is employed¹⁸ since it reproduces the experimental transmission curve as depicted by the solid red line in Figure 4a. Computed optical mirror losses (10–15 cm⁻¹) are added, although they are smaller than the waveguide loss (>50 cm⁻¹). The gain coefficient is assumed to be independent of wavelength and comparable to that (11.5 cm⁻¹/kA) of In_{0.53}Ga_{0.47}As/Al_{0.48}In_{0.52}As QCLs,¹² because the gain coefficients computed by the density matrix method¹⁹ and measured J_{th} are similar. Taking the same J_{max} (9 kA/cm²) as the present 28 μ m QCL gives the estimated longest operation wavelength of 32 μ m (39 meV). The value is shorter than our expectation since there is an energy spacing (≈ 7 meV) to the GaAs-like TO phonon (32 meV). This is due to the large absorption tail of the GaAs optical phonon.

If one wants to further extend the operation wavelength of QCLs, it will reach the reststrahlen band of GaAs and InAs, which are used as well layers in all the state-of-art QCLs.^{3–6,13,14,20–23} To avoid excitations of those phonons, an alternative material for well layers would be InSb because the phonon energies (22.3 meV for TO phonon and 23.8 meV for LO phonon^{24,25}) are smaller than the InAs and the GaAs phonons. InSb would be attractive for QCL materials since it has the smallest effective mass among the group III–V

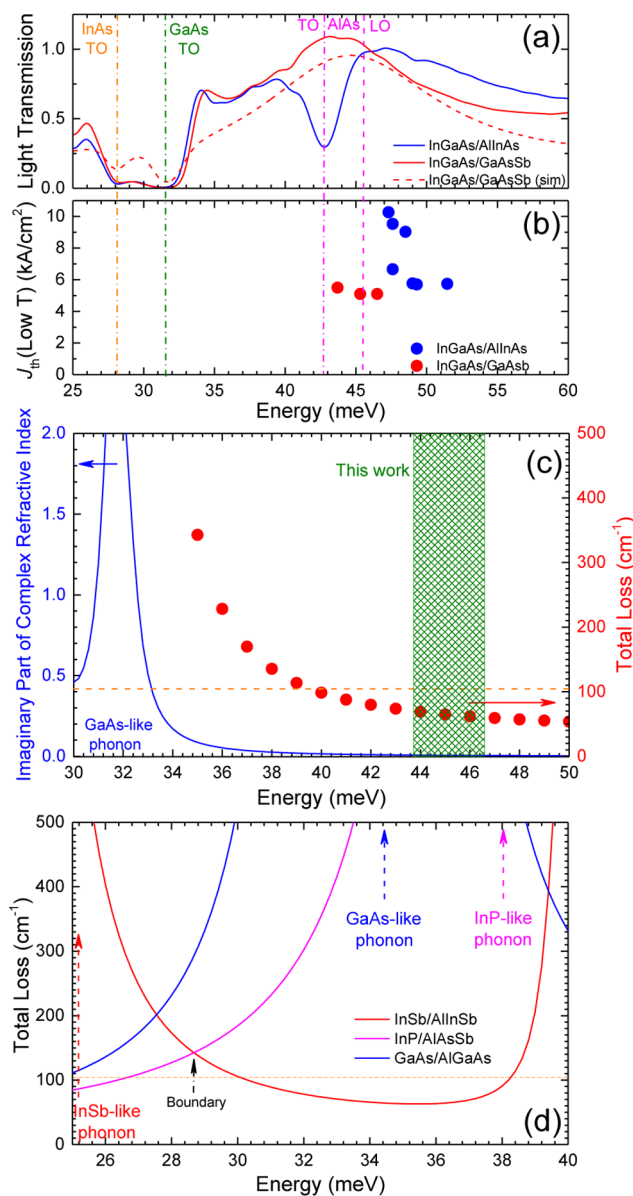


Figure 4. (a) Room-temperature normal incident transmission spectra and (b) threshold current densities as a function of laser emission energy of In_{0.53}Ga_{0.47}As/GaAs_{0.51}Sb_{0.49} and In_{0.53}Ga_{0.47}As/Al_{0.48}In_{0.52}As QCLs equipped with the Au double metal waveguide. (c) Computed total loss of the Au double metal waveguide based on In_{0.53}Ga_{0.47}As/GaAs_{0.51}Sb_{0.49} QCLs. The active region thickness is 4.0 μ m. The dashed line represents the estimated maximum gain coefficient. (d) Computed total loss of the Au double metal waveguide of InP/AlAsSb and InSb/AlInSb active regions with the thickness ratio ($L_b^{total}/(L_w^{total} + L_b^{total}) = 15\%$), where L_w^{total} and L_b^{total} show the total thickness of well and barrier, respectively. The active region thickness is 4.0 μ m. The phonon damping constant is assumed to be 8 cm⁻¹, being independent of the materials.

semiconductors, expecting a large optical gain coefficient.²⁶ As depicted in Figure 4d, the comparable gain coefficient (11.5 cm⁻¹/kA) with a similar J_{max} (9 kA/cm²) predicts the longest operation wavelength around 41 μ m (=30 meV). To reach the high-frequency THz region where THz GaAs QCLs start to work,²⁷ InP QWs with lattice-matched AlAs_{0.56}Sb_{0.44} barriers would be a good candidate: thanks to the larger optical phonon energies of InP (TO = 38 meV, LO = 43 meV)²⁴ and AlAsSb (TO \approx 42 meV, LO \approx 46 meV),²⁸ it is more transparent

compared to GaAs/AlGaAs QWs as shown in Figure 4d. At the boundary region between InP and InSb QWs, as shown by the black arrow in Figure 4d, waveguide loss of the double metal waveguide can be decreased by 15% if employing a thicker active region ($\approx 10 \mu\text{m}$), which is comparable to that of THz QCL. Hence it could be possible that the operation wavelength covers a whole spectral range bridging between mid-infrared and terahertz by choosing the suited combination of the group III–V materials.

In conclusion, we have demonstrated far-infrared quantum cascade lasers emitting at the 26–28 μm wavelength range, corresponding to the AlAs phonon reststrahlen band, by replacing AlInAs barriers with GaAsSb ones. J_{th} at 10 K was 5.5 kA/cm^2 and T_{max} was 175 K. The operation wavelength was 28.3 μm , which is so far the longest wavelength in far-infrared and mid-infrared QCLs. We also discussed group III–V QCL material systems to cover the whole spectral region between MIR and THz and found that InSb- and InP-based QWs are requisite materials.

■ ASSOCIATED CONTENT

■ Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsphotonics.6b00750.

Characterization of wave function coupling between InGaAs/GaAsSb quantum wells by intersubband absorption measurements (PDF)

■ AUTHOR INFORMATION

Corresponding Author

*E-mail (K. Ohtani): otanik@phys.ethz.ch.

ORCID

Keita Ohtani: 0000-0001-6960-1689

Aaron Maxwell Andrews: 0000-0002-5790-2588

Hermann Detz: 0000-0002-4167-3653

Notes

The authors declare no competing financial interest.

■ ACKNOWLEDGMENTS

This work was supported by ETH Zurich with the FIRST cleanroom facility, the collaborative research center 956 (SFB956) funded by Deutsche Forschungsgemeinschaft (DFG), the Austrian Science Funds (FWF) within SFB project F49-09 NextLite, the framework of Doctoral School “Building Solids for Function” (project W1243), and the Gesellschaft für Mikro- und Nanoelektronik (GMe).

■ REFERENCES

- (1) Feng, K.; Streier, W.; Zhong, Y.; Hoffman, A. J.; Wasserman, D. Photonic materials, structures and devices for reststrahlen. *Opt. Express* **2015**, *23*, A1418–A1433.
- (2) Kittel, C. *Quantum Theory of Solid*; Wiley, 1987; Chapter 3.
- (3) Faist, J.; Capasso, F.; Sivco, D. L.; Sirtori, C.; Hutchinson, A. L.; Cho, A. Y. Quantum cascade laser. *Science* **1994**, *264*, 553–556.
- (4) Bai, Y.; Bandyopadhyay, N.; Tsao, S.; Slivken, S.; Razeghi, M. Room temperature quantum cascade lasers with 27% wall plug efficiency. *Appl. Phys. Lett.* **2001**, *98*, 181102.
- (5) Bandyopadhyay, N.; Bai, Y.; Slivken, S.; Razeghi, M. High power operation of $\lambda \sim 5.2$ – $11 \mu\text{m}$ strain balanced quantum cascade lasers based on the same material system. *Appl. Phys. Lett.* **2014**, *105*, 071106.
- (6) Bismuto, A.; Bidaux, Y.; Blaser, S.; Terazzi, R.; Gresch, T.; Rochat, M.; Muller, A.; Bonzon, C.; Faist, J. High power and single mode quantum cascade lasers. *Opt. Express* **2016**, *24*, 10694–10699.
- (7) Pavesi, L.; Houdré, R.; Giannozzi, P. Strain and alloying effects on the electronic and vibrational properties of $\text{In}_y\text{Al}_{1-y}\text{As}$ on InP. *J. Appl. Phys.* **1995**, *78*, 470–477.
- (8) Groenen, J.; Carles, R.; Landa, G.; Guerret-Piécourt, C.; Fontaine, C.; Gendry, M. Optical-phonon behavior in $\text{Ga}_{1-x}\text{In}_x\text{As}$: The role of microscopic strains and ionic plasmon coupling. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1998**, *58*, 10452–10462.
- (9) Milekhin, A. G.; Kalagin, A. K.; Vasilenko, A. P.; Toropov, A. I.; Surovtsev, N. V.; Zahn, D. R. T. Vibrational spectroscopy of InAsAs epitaxial layers. *J. Appl. Phys.* **2008**, *104*, 073516.
- (10) Colombelli, R.; Capasso, F.; Gmachl, C.; Hutchinson, A. L.; Sivco, D. L.; Tredicucci, A.; Wanke, M. C.; Sergent, A. M.; Cho, A. Y. Far-infrared surface-plasmon quantum-cascade lasers at 21.5 and 24 μm . *Appl. Phys. Lett.* **2001**, *78*, 2620–2622.
- (11) Unterrainer, K.; Colombelli, R.; Gmachl, C.; Capasso, F.; Hwang, H. Y.; Sergent, A. M.; Sivco, D. L.; Cho, A. Y. Quantum cascade lasers with double metal-semiconductor waveguide resonators. *Appl. Phys. Lett.* **2002**, *80*, 3060–3062.
- (12) Ohtani, K.; Beck, M.; Faist, J. Double metal waveguide InGaAs/AlInAs quantum cascade lasers emitting at 24 μm . *Appl. Phys. Lett.* **2014**, *105*, 121115.
- (13) Nobile, M.; Klang, P.; Mujagić, E.; Detz, H.; Andrews, A. M.; Schrenk, W.; Strasser, G. Quantum cascade laser utilizing aluminum-free system: InGaAs/GaAsSb lattice-matched to InP. *Electron. Lett.* **2009**, *45*, 20.
- (14) Deutsch, C.; Krall, M.; Brandstetter, M.; Detz, H.; Andrews, A. M.; Klang, P.; Schrenk, W.; Strasser, G.; Unterrainer, K. High performance InGaAs/GaAsSb terahertz quantum cascade lasers operating up to 142 K. *Appl. Phys. Lett.* **2012**, *101*, 211117.
- (15) Deutsch, C.; Detz, H.; Zederbauer, T.; Andrews, A. M.; Klang, P.; Kubis, T.; Klimeck, G.; Schuster, M. E.; Schrenk, W.; Strasser, G.; Unterrainer, K. Probing scattering mechanisms with symmetric quantum cascade lasers. *Opt. Express* **2013**, *21*, 7209–7215.
- (16) Faist, J.; Beck, M.; Aellen, T.; Gini, E. Quantum-cascade lasers based on a bound-to-continuum transition. *Appl. Phys. Lett.* **2001**, *78*, 147–149.
- (17) Supporting Information.
- (18) Chu, H.; Chang, Y.-C. Phonon-polariton modes in superlattices: The effect of spatial dispersion. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1998**, *17*, 12369–12376.
- (19) Terazzi, R.; Faist, J. A density matrix model of transport and radiation in quantum cascade lasers. *New J. Phys.* **2010**, *12*, 033045.
- (20) Sirtori, C.; Kruck, P.; Barbieri, S.; Collot, P.; Nagle, J.; Beck, M.; Faist, J.; Oesterle, U. GaAs/ $\text{Al}_x\text{Ga}_{1-x}\text{As}$ quantum cascade lasers. *Appl. Phys. Lett.* **1998**, *73*, 3486–3488.
- (21) Köhler, R.; Tredicucci, A.; Beltram, F.; Beere, H. E.; Linfield, E. H.; Davies, A. G.; Ritchie, D. A.; Iotti, R. C.; Rossi, F. Terahertz semiconductor-heterostructure laser. *Nature* **2002**, *417*, 156–159.
- (22) Ohtani, K.; Ohno, H. InAs/AlSb quantum cascade lasers operating at 10 μm . *Appl. Phys. Lett.* **2003**, *82*, 1003–1005.
- (23) Teissier, R.; Barate, D.; Vicet, A.; Alibert, C.; Baranov, A. N.; Marcadet, X.; Renard, C.; Garcia, M.; Sirtori, C.; Revin, D.; Cockburn, J. Room temperature operation of InAs/AlSb quantum cascade lasers. *Appl. Phys. Lett.* **2004**, *85*, 167–169.
- (24) Lockwood, D. J.; Yu, G.; Rowell, N. L. Optical phonon frequencies and damping in AlAs, GaP, GaAs, InP, InAs and InSb studied by oblique incidence infrared spectroscopy. *Solid State Commun.* **2005**, *136*, 404–409.
- (25) *Group IV Elements, IV–VI and III–V Compounds*; Part b – Electronic, Transport, Optical and Other Properties, Vol. 41A1b, Landolt-Börnstein – Group III Condensed Matter; Madelung, O.; Rössler, U.; Schulz, M., Eds.; Springer: Berlin Heidelberg, 2002; pp 1–5.
- (26) Benveniste, E.; Vasanelli, A.; Delteil, A.; Devenson, J.; Teissier, R.; Baranov, A.; Andrews, A. M.; Strasser, G.; Sagnes, I.; Sirtori, C.

Influence of the material parameters on quantum cascade devices.

Appl. Phys. Lett. **2008**, 93, 131108.

(27) Wienold, M.; Röben, B.; Lü, X.; Rozas, G.; Schrottke, L.; Biermann, K.; Grahn, H. T. Frequency dependence of the maximum operating temperature for quantum-cascade lasers up to 5.4 THz. *Appl. Phys. Lett.* **2015**, 107, 202101.

(28) Sela, I.; Bolognesi, C. R.; Kroemer, H. Single mode behavior of $\text{AlSb}_{1-x}\text{As}_x$ alloys. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1992**, 46, 16142–16143.