

# PROVISIONAL PATENT APPLICATION — DRAFT

## (Umbrella)

**Title:** Systems and Methods for Plan-Based Orchestration, Lattice-Structured Memory, Topological Feature Encoding, Deterministic Compression Verification, and Hardware-Aware Simulation for Reliable Automated Reasoning and Tool Use

**Disclaimer (not legal advice):** This is a technical draft suitable for a U.S. provisional filing. Provisional applications do not require formal claims, but this draft includes sample claims to set scope. Red-flag items to keep as **trade secret** are clearly marked. Replace bracketed placeholders before filing.

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### 1. Inventorship & Filing Metadata

- **Inventor(s):** [Full legal name(s)]
  - **Assignee (if any):** [Company]
  - **Correspondence:** [Address, email, phone]
  - **Attorney Docket:** [Optional]
  - **Government Support:** None.
  - **Cross-Reference to Related Applications:** None.
  - **Sequence Listing:** Not applicable.
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### 2. Field of the Invention

Artificial intelligence; program orchestration; information retrieval; signal and text analysis; lossless verification of data transforms; specialized and simulated computing architectures for operator graphs; human-in-the-loop monitoring metrics.

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### 3. Background

Large language model (LLM) agents are verbose and probabilistic, which can cause hallucinations, unpredictable latency/cost, and weak auditability. Vector-only memory struggles with structural queries and scale. Hardware sizing for novel operator mixes is typically ad-hoc. There is a need for a **deterministic, budget-bounded controller**, a **structure-aware memory**, a **verified artifact pipeline**, and a **hardware simulation path** usable *before* committing to silicon, with **quantitative self-monitoring** for safety.

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## 4. Summary of the Invention

The invention comprises:

1. A **Weyl-State-Machine (WSM)** plan controller that converts a task into an executable operator graph with explicit budgets and a self-check score, producing deterministic, replayable runs.
2. A **Lattice Memory (LM)** that stores artifacts as nodes keyed by content address and **topological/structural features**, supporting structural retrieval in addition to numeric similarity.
3. A **Topological Feature Encoder** (e.g., Hodge/Hyperkähler-inspired coordinates) and a **K-periodicity detector** for sequences/time-series, enabling structure-aware queries (e.g., “retrieve entries exhibiting near-period K within tolerance”).
4. A **Deterministic Compression Verifier** with pluggable `encode` / `decode` that requires exact round-trip; returns ratio and a proof hash for audits.
5. A **Chip Simulator (ChipSim)** that executes the same operator graph under a cycle-level model and reports throughput (IPC) and energy per operation (J/op) for hardware budgeting.
6. An optional **Qualia/Phenomenology Monitor** that reports *measurable proxies only* (broadcast breadth, Brier calibration, integration window, self-consistency) for system health.
7. **Recursive tools/loops** to re-plan based on verifier and simulator results under explicit stopping criteria.

The components expose stable JSON contracts for easy integration.

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## 5. Brief Description of the Drawings

- **FIG. 1** — System architecture: WSM ↔ LM ↔ Feature Encoder ↔ Verifier ↔ ChipSim; optional Qualia Monitor.
  - **FIG. 2** — Lattice node schema; write and query flows with deduplication via content address.
  - **FIG. 3** — Topological feature pipeline from raw input → feature vector → structural key; K-periodicity detection.
  - **FIG. 4** — WSM plan lifecycle with budgets and self-check; replay diagram.
  - **FIG. 5** — Operator graph mapped to pipeline buffers/stages in ChipSim; IPC and energy reporting.
  - **FIG. 6** — Verification loop: round-trip check, proof hash logging, and fail-fast routing.
  - **FIG. 7** — Qualia proxy metrics collection paths (broadcast, Brier, retention window, consistency).
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## 6. Definitions

- **Operator Graph / Plan:** ordered multiset of named operators with parameters.
- **Budget:** constraints on wall-time, token/compute cost, and memory footprint.
- **Lattice Node:** tuple `(key, payload, features)` with deterministic `node_id = H(key, payload)`.
- **Topological Features:** coordinates derived from manifold-based encodings (e.g., Hodge components, Hyperkähler charts) or equivalent structural encoders.
- **K-Periodicity:** presence of a dominant period near configurable `K` within tolerance `τ`.

- **Proof Hash:** cryptographic digest over raw, encoded, and decoded bytes evidencing round-trip identity.

## 7. Detailed Description of Preferred Embodiments

### 7.1 Weyl-State-Machine (WSM) Controller

**Inputs:** task description, policy budgets, optional seeds.

**Outputs:** `{plan:{ops[], params, seed}, self_check:{score,threshold,explanation}, route, metrics}`.

**Algorithm (one embodiment):**

1. **Feature query:** compute `qfeat = goal_to_features(goal)` (deterministic embedding; e.g., SHA-based slice to  $\mathbb{R}^d$ ).
2. **Retrieve frontier:** `LM.query(qfeat, k)` for relevant prior nodes.
3. **Synthesize plan:** choose operator sequence from a catalog; include verification and logging operators.
4. **Execute:** call operators; enforce budgets via wall-clock checks and op quotas.
5. **Self-check:** combine verifier result(s) and context presence into a scalar score; set `route ∈ {accepted, fallback}`.
6. **Commit:** `LM.write(key={goal,hash}, payload=artifact, features=artifact_features)`.
7. **Simulate (optional):** pass `plan` to ChipSim to obtain IPC and J/op.

**Interfaces:**

```
POST /wsm/step
{ "state_id?": "...", "goal": "...", "budget_ms": 250 }
{ "route": "accepted|fallback", "plan": {"ops":[],"params":{},"seed":"..."},
  "self_check": {"score":0.0,"threshold":0.5,"explanation":"..."},
  "metrics": {"latency_ms":0.0,"cost_usd":0.0}, "chip?": {"ipc":...,
  "joules_per_op":...} }
```

### 7.2 Lattice Memory (LM)

**Data model:**

- `node_id = SHA256(JSON({key,payload}))` for idempotent dedup.
- `features ∈  $\mathbb{R}^d$`  (dense); alternative sparse or structural keys permitted.

**Operations:**

```
POST /lm/write → {"node_id": "...", "dedup": true, false}
POST /lm/query → {"hits": [{"node_id": "...", "score": 0.0}]}
```

**Scoring:** cosine on aligned features in one embodiment; structural poset-based similarity in another (meet/join distance over lattice labels).

### 7.3 Topological Feature Encoder & K-Periodicity Detector

**Encoder (embodiment):** map inputs (symbolic sequences or time-series) to coordinates via a differential-geometric procedure (e.g., Hodge decomposition of derived series, projection to a Hyperkähler-like chart), then normalize to a fixed vector.

**Periodicity detector:** given a positional series  $y$ , compute  $Y = y - \text{mean}(y)$ ; let  $P = |\text{FFT}(Y)|^2$ ; infer periods by  $T = 1/f$  for  $f > 0$ ; report peaks near configurable  $K$  within tolerance  $\tau$  and strength  $S$ .

**Interfaces (optional):**

```
POST /nlp/analyze → topological tokens / features for symbolic inputs
POST /sta/analyze → features for numeric series; returns {area, peak,
fwhm, duration, mu, tokens}
```

### 7.4 Deterministic Compression Verifier

**Contract:**

- $\text{encode}(\text{raw}:\text{bytes}) \rightarrow \text{bytes}$ ,  $\text{decode}(\text{packed}:\text{bytes}) \rightarrow \text{bytes}$ .
- **Requirement:**  $\text{decode}(\text{encode}(\text{raw})) == \text{raw}$  (bit-exact) for all artifacts prior to acceptance.
- **Report:**  $\{\text{ratio} = |\text{enc}|/|\text{raw}|, \text{ok\_roundtrip}, \text{proof\_hash} = H(\text{raw}||\text{enc}||\text{dec})\}$ .

**Interface:** POST /comp/verify with base64 payload.

**Trade secret boundary:** keep the prime-based codec internals proprietary if desired; the verifier API remains public.

### 7.5 Chip Simulator (ChipSim)

**Inputs:**  $\text{op\_graph}$  (the plan) and  $\text{cycles}$ . **Model:** per-operator cost table; pipeline utilization model; energy model yielding  $\text{J/op}$ . **Outputs:**  $\{\text{ipc}, \text{joules\_per\_op}, \text{trace\_id}\}$ .

**Interface:** POST /chip/run.

## 7.6 Qualia/Phenomenology Proxies (Optional)

### Metrics:

- **Broadcast breadth:** fraction of subsystems receiving global messages.
- **Brier calibration:** mean squared error of self-reported probabilities vs outcomes.
- **Integration window:** retention metric across delay.
- **Self-consistency:** contradiction rate over identity/state prompts.

**Interface:** `POST /pheno/ping → {report, proxies:{broadcast, integration_ms, brier, self_consistency}}`.

## 7.7 Recursive Tools/Loops

WSM may re-enter with updated budgets or alternate plans based on verifier/simulator outcomes until acceptance or budget exhaustion. Stopping criteria and backoff strategies are parameterized.

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## 8. Example Embodiments (Non-limiting)

1. **Symbolic-sequence audit:** controller plans {retrieve→analyze→verify→log}, uses LM to fetch prior analyses with similar topological features, verifies artifacts by round-trip compression, and commits with proof hash.
  2. **Time-series screening:** STA analyzer computes {area, peak, FWHM, duration,  $\mu$ }; K-periodicity check flags near-K patterns; WSM logs pass/fail and triggers ChipSim to budget edge deployment.
  3. **Edge sizing:** with a stable operator mix, ChipSim reports IPC and J/op; WSM selects a plan variant meeting target energy/latency.
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## 9. Advantages

- Deterministic, budgeted execution with replay.
  - Structure-aware retrieval beyond vector cosine.
  - Built-in verification (compression round-trip) for artifact integrity.
  - Hardware-aware metrics (IPC, J/op) before fabrication.
  - Quantitative self-monitoring for safety.
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## 10. Alternative Embodiments

- Replace cosine with graph kernels or lattice meet/join distance.
  - Replace FFT with autocorrelation, cepstrum, or wavelet-based periodicity.
  - Swap prime-based codec with any deterministic, reversible transform family.
  - Swap ChipSim model with dataflow/NoC or FPGA-accurate timing.
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## 11. Informal Claims (Provisional friendly)

**Claim 1 (Method).** A method comprising: (a) receiving a task; (b) generating, by a controller, an operator graph with budgets; (c) executing the graph to produce artifacts; (d) encoding inputs and artifacts into topological feature vectors; (e) storing artifacts in a lattice-structured memory with content address and features; (f) retrieving prior artifacts via structural similarity including K-periodicity; (g) verifying artifacts by deterministic round-trip compression with proof hashing; and (h) simulating the operator graph on a hardware model to obtain throughput and energy metrics for decision-making.

**Claim 2 (System).** A system comprising modules for the method of Claim 1: a plan controller (WSM), a lattice memory (LM), a topological encoder with K-periodicity detector, a compression verifier, a chip simulator, and an optional phenom