Machine Learning-Based Reconstruction of Neuronal Networks from Calcium Imaging Signals

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0.1 Brief Description

Activity of neuronal networks can be recorded via Calcium Imaging. In this study, we develop a machine learning approach to reconstructing network connectivity from calcium imaging data.

0.2 Abstract

One of the current topics in computational neuroscience research is relating the connections within populations of neurons and the activity of these populations. Methods of reconstructing structural neuronal network connectivity are limited and, in some cases, technically infeasible. Methods that [precisely] extract this relationship are applicable at small population sizes, and are not applicable in-vivo. Here, we introduce a machine-learning approach to inferring connectivity from spike-time data extracted from calcium imaging recordings. First, we simulate populations of neurons with the NEST simulator to produce downsampled spike-trains. We develop a model based on the widely-applied machine learning systems, neural networks. The model is updated with gradient descent on the error via backpropagation, and the performance is compared to the widely used cross-correlation method of extracting functional connectivity. We then train the models on simulated data and *in-vivo* calcium imaging data from *Xenopus Laevis* tadpoles.

1 Introduction

1.1 The Neuron

Neurons are fundamental to human cognition, and encode for a large range of activities such as movement, speech, and decision making. The interactions between neurons throughout the human body have been studied at microscopic levels, with focus on the specific mechanisms and dynamics of neurons that allow for propagation of signals from one neuron to the next neuron (Bean, 2007). In complement, networks of neurons have been studied at macroscopic levels, relating specific regions to distinct functions, such as the relationship between the brain and human capabilities of cognitive control and activity, or the specific activity of particular regions of the brain (Biswal et al., 1995; Mazzoni et al., 2007). However, the precise connectivity patterns of neurons, and the relationship between this organization and its possible functions, are not fully understood (Bock et al., 2011). Analysis of the patterns of connections in populations of neurons indicate that these networks can be characterized by network properties. Therefore, understanding these connections may provide insight to the function and activity of these populations of neurons, and may imply a relationship between functional and structural connectivity.

The transmission of signals within parts of neurons is partially mediated by the electrical potential difference between a neuron and its surrounding environment, known as the membrane potential. The earliest and most influential studies concerning the effects of ions in neurons were conducted by Hodgkin, Huxley, and Katz nearly 70 years ago, and clearly indicated the relationship between ion concentration and the activity of neurons. Giant squid axons in lower sodium environments maintained a lower resting potential, as well as a weaker and delayed action potential (Hodgkin and Katz, 1949). Changes in concentrations of ions, distributed in varying concentrations throughout the nervous system, mediate neuron activity by changing this membrane potential. In a state of low activity, this membrane defaults to a polarized state of negative electrical potential, referred to as the resting membrane potential (Purves et al. pp.31, 2004,).

Communication between neurons occur at the synapse, a structure of connection between two neurons. The communication occurs via a synaptic potential, a signal from the pre-synaptic to the post-synaptic neuron, and is initiated by the release of neurotransmitters from the presynaptic neuron that interact with receptors in the postsynaptic neuron. Synaptic potentials are either

excitatory, increasing the membrane potential of the neuron towards positive values (depolarization), or inhibitory, reducing potential towards negative values (hyperpolarization) (Bean, 2007). The resulting depolarization due to the excitatory post-synaptic potentials (EPSPs) increases the membrane potential towards higher positive values, until a specific threshold is reached (Bean, 2007). Once a specific depolarization threshold is reached, an action potential is generated, and propagates along the neuron until it reaches another synapse, at which point the cycle of neurotransmitter release, synaptic potential, and action potential propagation cycle may be repeated (Bean, 2007).

The propagation of action potentials involves constant changes to the electrochemical gradients of the neurons by the opening and closing of ion channels in the membrane. The ion channels involved in generating action potentials, mediating and raising membrane potentials towards distinct states of polarization during neuronal signaling include the voltage-gated ion channels, specifically the sodium (Na^+), potassium (K^+) and calcium (Ca^{2+}) channels. However, while these channels serve similar purposes of allowing passage to certain ions and restricting passage to other ions, the time of activation of each type of channel demonstrated their distinct impacts on propagation. The depolarization of the neuron, and consequent upswing in membrane potential towards positive values, is caused by the influx of sodium from the extracellular medium into the cell; this influx is caused by the opening of sodium channels following an EPSP, prior to the membrane potential reaching the threshold potential and the initiation of an action potential. (Hodgkin and Katz, 1949; Hodgkin and Huxley, 1952). The resulting repolarization and hyperpolarization stages preceding the return to resting membrane potential result from the efflux of potassium; this efflux is a delayed response to the initiation of the action potential, indicating a delayed opening of potassium channels following the opening of sodium channels (Hodgkin and Huxley, 1952).

1.2   Network Neuroscience

Understanding the organization of neurons is paramount to neuroscientific research due to the biological functions and implications of these networks. One primary area of focus in studying these networks is the mammalian cerebral cortex. The mammalian cerebral cortex contains the most recently evolved areas of the human brain. In particular, the human cerebral cortex is regarded as the most complex part of the brain, responsible for various mammalian and human-specific

cognitive abilities (Rakic, 2006). The cerebral cortex is a region of the brain comprised of several subregions: the motor, sensory, and associative areas. The majority of the cortex is referred to as the neocortex, explicitly defined as the layers of the cortex comprised of six cellular layers (Purves et al, 2012). Research focused in the neocortex refer to cortical circuits, a general term for larger neuronal networks located in the cortex and cortical ensembles, referring to coactive networks of neurons that possibly comprise cortical circuits (Carrillo-Reid et al., 2016; Hamm et al., 2017,; Bock et al., 2011). Due to the involvement of the neocortex in a variety of functions, such as movement, sensation, and cognition, the cerebral cortex is a significant target for study.

The study of connectivity in the brain has a wide range of application. For example, some studies concerning the cerebral cortex focus on disease and the derivative cortical ensembles that are representative of the disease. One such example is schizophrenia, a disorder characterized by hallucinations, delusions, disordered thoughts, and loss of emotional expression (Purves et al., 2012). As a neurological disorder accompanied with altered neuromodulation, excitatory and inhibitory neuron balance, and development, as well as altered connectivity at a higher scale, schizophrenia is a target of research concerned with the effects of these neurological changes on the presentation and characterization of the disorder. A study conducted by Hamm et al., observed cortical ensembles in mice models that displayed symptoms of schizophrenia via administration of ketamine or were genetically dispositioned towards development of schizophrenia. Imaging of awake mice models allowed for analysis of activity in cortical ensembles, and the relationships and recurrent patterns in sub-ensembles (local neuronal networks). The results of the study indicated several alterations to cortical activity of the symptomatic mice, such as impact of altered dynamics of local networks on cortical ensembles, and the necessity of long-term changes to cortical connectivity to initiate changes in cortical ensemble reliability in propagation of patterns of activity consistent with schizophrenia (Hamm et al., 2017).

The relationship between functionality and connectivity has been demonstrated in further additional contexts, such as in motor neurons of human patients by relating the movement of fingers on each hand to the fluctuations in signal intensity of the sensorimotor cortex during echo-planar functional magnetic resonance imaging (fMRI)(Biswal et al., 1995). Additionally, between two related, yet distinct, activities, the functional connectivity of neurons can overlap; such is the case in the instance of motor imagery, or the mental performance of certain motor acts not accompanied

by physical performance of these acts, and the accompanying physical performance of the same motor acts (Porro et al., 1996). The hippocampus of the human brain demonstrates a similar relationship of functional connectivity with particular activities; young adults display higher levels of activity in the ventral prefrontal and extrastriate regions of the cortex correlated with higher levels of hippocampus activity during encoding of words and pictures of objects. In contrast, older adults display higher levels of activity in the dorsolateral prefrontal and parietal regions of the cortex with comparable levels of activity in the hippocampus to younger adults during similar trials (Grady et al., 2003). The higher activity in particular regions implies different manners by which adults of differing ages process information concerning similar tasks, where hippocampus and brain activity are related to better recognition in younger adults, and improved memory performance in older adults. These findings indicate a shift in explicit connectivity between portions of the brain, and changes to functional connectivity related to human aging (Grady et al., 2003).

Network science provides a framework through which populations of neurons may be analyzed for their structural and functional connectivities by expanding and formalizing the terminologies and definitions for the purposes of neuronal network analysis. For example, cliques, or all-to-all connected networks, of neuronal populations have been studied as a method of relating structural to functional connectivities of neuronal populations. In the context of neuronal networks, cliques are further studied as directed cliques. Where standard cliques are bidirectional, allowing a single connection for both information transfer from a source neuron to a target neuron and information transfer in the inverse direction, directed cliques do not allow for bidirectional information transfer. (Reimann et al., 2017). For a given connection in a directed clique from a source neuron to a target neuron, there is no direct connection from the target neuron to the source neuron, though there may be an intermediary neuron allowing for a connection in the inverse direction. The reconstruction and viewing of networks as compositions of cliques suggests that, in neocortical microcircuits, information is processed by the formation and disintegration of these cliques, depending on the nature of the stimulus (Reimann et al., 2017).

The small world characteristic is another such definition originating from network science and applied in analysis of neuron populations, where the small world network is a network with a short average path length, indicating a large number of paths, and a high degree of local clustering, the tendency of nodes in a graph to cluster together (Sporns et al., 2004).

Analysis of these patterns of connectivity, or microcircuitry, of neurons in regions of the brain indicate the possibility of the scale-free nature of microcircuits. In cases of such networks, the degree distribution, defined as the distribution of number of connections to each node in a give network, follows a power-law, where the majority of nodes in the network have a high number of connections, and a fraction of the population with a comparatively low number of connections (Sporns et al., 2004, Tetzlaff et al., 2010, Massobrio et al., 2015). This power-law distribution is also observed in frequency of neuronal avalanches, periodic bursts of activity from a population of neurons, where avalanches falling short of the power-law distribution is subcritical, falling on the power-law distribution is critical, and falling above the power-law distribution is supercritical (Massobrio et al., 2015)

1.3   Calcium Imaging

A commonly employed method of recording activity of neurons is calcium imaging. Neuron activity. At every spiking event, these ions travel through the cell membrane of each neuron by movement through voltage-dependent ion channels, which are functionally altered by the voltage changes that occur in neurons.

The versatility and presence of calcium in neurons can be described by the large variety of neuronal processes related to it. Calcium performs several major roles in the function and management of biological cells; most notably, in the presynaptic neuron, an influx of calcium into the neuron triggers the release of neurotransmitter to the synapse via exocytosis (Katz and Miledi, 1967). Furthermore, presynaptically, residual calcium results in neural facilitation, a period in which a successive depolarization of the presynaptic neuron, following the first depolarization event and release of neurotransmitter, raises the likelihood of neurotransmitter release (Katz and Miledi, 1967; Zucker, 1999). Postsynaptically, calcium is responsible for activating the synaptic plasticity cascade. These changes to the synapse, functionally initiated by interactions between calcium and the N-methyl-D-aspartate receptor (NMDA) and α-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor (AMPA), result in changes to the sensitivity and, by extension, the interactions between the pre- and postsynaptic neurons (Zucker, 1999). The changes enhance or diminish the strength of the connection (potentiation and depression), in various temporal manners (short and long-term). For example, in the case of long-term potentiation (LTP), the influx of calcium into

the postsynaptic neuron, and the resulting depolarization, result in the unblocking of the NMDA receptors, allowing for a further influx of calcium into the postsynaptic neuron and inducing LTP (Zucker 1999).Functions of calcium extend further than synaptic activity, and the ion is additionally responsible for biological functions such as cell apoptosis (Orrenius et al., 2003). Therefore, the abundance and utility of calcium, and the deeply integral relationship between calcium and neuron activity, suggest it to be a strong candidate for the purpose of imaging populations of neurons.

1.3.1 Calcium Indicators

As mentioned, calcium activity is dependent on the voltage changes of neurons and the responses of voltage-gated channels to voltage changes, and concentration is higher extracellularly until spike time. Therefore, introducing into the neurons an indicator that fluoresces when bound to calcium allows recording of calcium concentration and activity. Among the first discovered and applied calcium indicators is the protein aequorin (Shimomura et al., 1962), where the binding of the calcium to the three binding sites located on the protein results in photon emission (Grienberger and Konnerth, 2012). The necessity of the three calcium molecules, in combination with a controlled amount of indicator injected into these neurons, allows for a controlled fluorescence method that is directly related to the calcium concentration within the cell. However, the particular drawbacks of aequorin, such as a low protein stability and a relatively low fluorescence rate with regards to the amount of decomposition in the concentration of the protein, result in development of several superior and modern alternatives (Grienberger and Konnerth, 2012).

Modern calcium indicators are typically categorized as high-affinity or low-affinity, where high-affinity indicates the most commonly used indicators with wide varieties of application. One such high-affinity calcium indicator is fura-2 (Grynkiewicz et al., 1985); this indicator operates by excitation with ultraviolet light, resulting in a fluorescence shift of the indicator; the fluorescence level changes when the molecule is bound to calcium, a property referred to as ratiometric, dual-wavelength, or dual-excitation (Grienberger and Konnerth, 2012). This particular indicator is applied to a variety of microscopy methods, including two-photon microscopy (discussed below), requiring slight modification by inclusion of green fluorescent protein (GFP) in the latter case (Grinberger and Konnerth, 2012).

1.3.2 Calcium Microscopy

The second component is the imaging, recording, and approximation of recorded data as a measurement of brain activity. There are several technologies employed in the recording of calcium indicator fluorescence; the technique used widely depends on the subject being recorded and quality of the imaging required. However, an equally wide range of techniques are available, and have particular benefits and drawbacks relating to the method and observed sample.

All microscopy techniques result in the photobleaching and phototoxicity of the observed specimens, resulting in photodamage (Svodoba et al., 2006). Another restricting factor in microscopy is photon scattering, a process in which a photon is absorbed by a molecule and re-emitted in a distinctly random direction, resulting in the blurring of the resulting microscopy image (Ntziachristos, 2010). Therefore, one aim relevant to employed microscopy techniques is the highest accumulation of imaging data with the lowest possible amount of photodamage to the observed specimen, and lowest photon scattering for image accuracy and clarity. Prior to the development of two photon microscopy in the 1990's (Denk et al., 1990), most microscopy methods used, such as confocal and wide-field fluorescence microscopy, suffered from the major drawback of having low tissue penetration depth due to photon scattering and, in the case of confocal microscopy, tissue degradation (Svodoba et al., 2006).

1.4 Xenopus Tadpoles

The *Xenopus Laevis* tadpole is an experimental model and has been used in a variety of studies, such as studies in developmental changes in responses to sensory stimuli, the role of neural activity on changes in neural circuits, and the roles of excitatory and inhibitory connections on behavioral responses (Dong et al., 2008; Khakhalin et al., 2014). The inputs and outputs to tadpole neuronal networks in the optic tectum can be easily accessed and manipulated, and calcium imaging data can be easily collected from these networks (Xu et al., 2011; Khakhalin et al., 2014). For example, Xu et al., 2011 recorded large-scale neural activity via in-vivo calcium imaging to detect synchronous patterns of spiking across the population of neurons. In this study, spike trains of individual tectal neurons within a larger neuronal population were observed by recording the Ca^{2+} responses via a membrane-permeant Ca^{2+} indicator. The Ca^{2+} images recorded were first filtered and deconvolved, a method of reducing noise involving an algorithm to specifically reduce the imaging artifacts (Xu

et al., 2011). In the case of calcium imaging, these artifacts primarily originate from the slow decays of calcium signals, and the slow decay nature restricts the imaging of spikes occurring in rapid succession. To counteract this effect, Xu et al., produced a deconvolution algorithm by selecting and loose-patch clamping a subset of tadpole tectal neurons to record the action potentials simultaneous with the calcium imaging (Xu et al., 2011). From this simultaneously recorded electrophysiological and calcium imaging data, a relationship between the more precise, smaller scale method (loose-patch clamp) and the less precise, larger scale method (calcium imaging) was developed for deconvolution of the calcium imaging signal. Analyzing the resulting deconvoluted data identified individual spike times from the signals produced by the calcium-sensitive dyes during visual stimulation (Xu et al., 2011).

Due to the east of access and manipulation, the *Xenopus Laevis* tadpole is a robust model for calcium imaging-based spike train extraction. Here, we apply the training algorithm to a series of spike trains recorded from the brain of tadpoles using high speed camera and fluorescent scope during visual stimulation. Spike trains were extracted from focus regions of deconvolved calcium imaging data around individually selected neurons.

1.5 Representing Networks of Neurons

The organization of neurons can be represented as a matrix, typically referred to as an adjacency or weight matrix. The matrix is an adjacency or weight matrix, depending on how these connections between neurons are represented, and what information is useful and preserved. Weight matrices assign values based on the strength of connections between neurons, and whether the source neuron is an excitatory or inhibitory neuron, while adjacency matrices are representative of whether or not there is a connection, and ignores the strength of these connections. For example, the following matrix describes connections between a population of three neurons:

	Neuron 1	Neuron 2	Neuron 3
Neuron 1	0	1	1
Neuron 2	0	0	0
Neuron 3	1	1	0
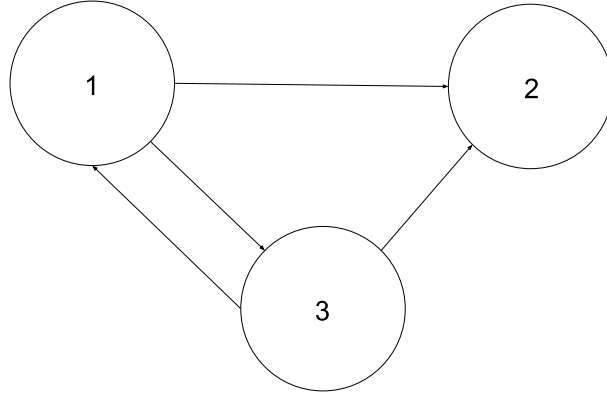
Figure 1: Sample Adjacency Matrix of a Population, Size 3. Diagonal indices represent self-connections. Labels along the columns represent the target, and labels along the rows represent the source e.g. the connection at Row 3, Column 2 (value of 1) is a connection from the pre-synaptic Neuron 3 to the post-synaptic Neuron 2. Note the lack of the inverse connection from Neuron 2 to Neuron 3 (value of 0).

Matrices such as the one above describe the connections between different neurons in an observed population, with observed being an important keyword; these neurons could have incoming connections from sources outside of the observed population, depending on the context of the observations. One standard feature of network matrices is the zero value along the diagonal, representative of a lack of a connection from a neuron to itself. In the example above, there is a directional connection between the outward axonal connection of Neuron 3 and the incoming dendritic connection of Neuron 1; therefore, assuming a positive value to represent an excitatory connection, spikes in Neuron 3 result in a voltage increase of Neuron 1. By this method of representation, the matrix can describe the relative strength and nature of all connections between neurons in the observed

population.

Currently, our methods of studying neurons and brain activity relies on techniques that observe activity at macroscopic (e.g. fMRI, PET, CAT, calcium imaging) or microscopic scales (e.g. electrodes and membrane potentials, patch clamp). However, there is no method of accurately imaging and representing larger networks of neurons in areas of the brain, or outgoing pathways from the brain. While machine learning algorithms may not provide exact mappings of networks, implementation of these algorithms is an opportunity to generate hypotheses.

1.6   Current Approaches to Reconstruction

Several different frameworks of reconstruction have been offered to tackle the problem of inferring connectivity of neurons. While these reconstruction methods use different methods for evaluating activity and connectivity, they are typically applied to simulated neuron populations, where the ground truth of the activity is known, allowing evaluation on the accuracy of the model. Knowing the ground truth for comparison allows for the application of different metrics, such as Receiver Operating Character (ROC) curves, Area Under Curve (AUC), and network properties that are common between the predicted and ground truth networks (Garofalo et al., 2009).

1.6.1   Cross-Correlation

Cross-correlation is a widely applied similarity measurement method across probability and statistics. In neuroscience, cross-correlation is applied as a comparison between the spiking time series of two neurons, measuring the frequency of spiking of one neuron relative to the frequency of spiking of another neuron (Garofalo et al., 2009). The frequency evaluates to a probability of a spike of neuron Y after some time shift τ (or $t + \tau$) relative to a spike of neuron X at time t. A histogram estimating the cross-correlation between two spike trains is the cross-correlogram of the two spike trains, and a correlogram estimates the autocorrelation of a spike train, or a correlation of a spike train with itself. The resulting cross-correlogram contains bins, where each bin contains the number of spikes that occur at that particular time lag across all reference spikes (Knox, 1981). Therefore, in a correlogram comparing a spike train to itself, the bin with the highest count contains a count equivalent to the number of spikes occurring in the spike train. Calculating the cross-correlogram across all possible neuron connections in a population, and retaining the highest spikes found in a

single bin across the entire population then establishes the strong and weak connections between neurons in the observed population (Garofalo et al., 2009).

1.6.2 Granger Causality

Zhou et al., 2014 uses Granger Causality as the primary method of inferring connectivity of conductance-based integrate-and-fire neuron models; the analysis method states that if the variance in prediction error for a particular time series is reduced by incorporating knowledge of another time series, then the second time series has some causal effect on the first time series. In other words, if incorporating information about event X allows prediction of event Y beyond prediction concerning event Y without any additional information, then event X and event Y are causally related. In brief, the researchers demonstrate that using Granger Causality allows the construction of a causality matrix, which can then be mapped to the structural connectivity matrix of the population and be observed for relationships between the activity and structure of the network.

1.6.3 Transfer Entropy

Stetter et al., 2012, incorporates information theory as a reconstruction method from calcium imaging data. This particular method of reconstruction focused on in vitro calcium imaging fluorescence levels, with a slightly variant version of the Generalized Transfer Entropy formula:

$$TE_{Y->X}(\hat{g}) = \sum P(x_{n+1}, x_x^k, y_{n+1}^k | g_{n+1} < \hat{g}) log \frac{P(x_{n+1}|x_n, y_{n+1}^k, g_{n+1} < \hat{g}}{P(x_{n+1}|x_n^k, g_{n+1} < \hat{g}}$$

The major difference between the Generalized Transfer Entropy formula and the presented formula is the presence of $\hat{g}$, a predefined threshold of fluorescence, where g_t is the average fluorescence of the network at a particular time t.

The researchers use Transfer Entropy to evaluate the information transfer, where information transfer can be understood as how likely the activity of neuron Y indicates the activity in neuron X, between all possible directed pairs of neurons in the network. Afterwards, the researchers use a threshold Transfer Entropy to prune the the connections with the lowest values of information transfer, and reconstruct the network based on the remaining neurons, finding Transfer Entropy to reconstruct network properties more accurately than previous reconstruction methods, and at varying levels of visual noise in the data, or light scattering.

1.7 The Relevance of Advances in Machine Learning

The story concerning the invention and development of machine learning is one of constant juxtapositions with human intelligence. For example, the perhaps one of the most famous early experiments in artificial intelligence research is the Turing Test. The Turing Test, devised by Alan Turing, was originally named "The Imitation Game" by Turing in the 1950 paper "Computing Machinery and Intelligence". The experiment was devised with the proposed definition of artificial intelligence as the ability of a machine to replace a human counterpart in repeated rounds of questioning and answering; in other words, is the machine capable of imitating a human to the extent that participants are fooled by its answers? The performance evaluation of the machine is defined as a comparison with human intelligence, and as an antagonist to human interaction. Naturally, in the consistent comparison with human intelligence as benchmarks for machine intelligence, artificial intelligence research eventually developed methods of machine learning loosely based in the fundamental biological unit of human intelligence: the neuron.

As discussed previously, neurons are the fundamental units that allow what we understand to be human intelligence. As discussed by Steels in the paper "Fifty Years of AI: From Symbols to Embodiment", the field transitioned through several methods and approaches to AI before arriving at the neural network in the 1980s (Steels, 2007). These neural networks were developed with the intent to model the biological mechanisms of the human brain as closely as possible, primarily the neurons, which the nervous system is composed of. Even in the short history of neural networks, the method has transitioned through varying degrees of discovery and standardization concerning their usage and applicability.

1.8 Supervised and Unsupervised Learning

While the studies of machine learning have developed a variety of methods to encompass an ever-growing scope of questions to answer, the methods and questions fall into one of two categorizations: supervised and unsupervised learning. Supervised learning methods rely on the "completeness" of a data set, in which all inputs have correspondingly labeled outputs. Therefore, the output of a supervised learning algorithm is similar in type to the actual outputs Y presented in the training data set for every X; in other words, there is a corresponding dependent output on the independent input. The natures of the inputs and outputs are variable, and need not necessarily be of the same

type; for example, an input of an image can result in a categorization of the image contents, such as "dog" or "human". They can also be numerical, such as a prediction of grade based on the input amount of time studying (Hastie et al., 2009). In these two examples, the prior is an instance of qualitative, or categorical, prediction, while the latter is a quantitative, or regression, prediction.

Unsupervised learning differs in that there is no input-output; while there are X labels, there are no corresponding Y labels. Some neural networks fall under this classification of algorithms; for example, Pandarinath et al. 2017, introduces an algorithm that uses sequential auto-encoders to infer underlying patterns of spike trains. Auto-encoders are one example of unsupervised learning, where the network receives an input X, attempts to represent underlying patterns in the data, and recreate the input as the network's output.

1.9   Artificial Neural Networks

As discussed, neural networks are based on the morphology of human brains, and the neurons that the brain is composed of. These networks are composed of some number of nodes, or neurons, that are connected to each other in some fashion, depending on the task the particular network is designed for. These nodes are typically categorized into one of three layers: input, hidden, and output layers. Neurons of the input layer have no predecessor neurons, and neurons of the output layers have no following neurons; instead the the input neurons receive input information of a particular data set, and the output neurons displayed the expected outputs based on the inputs to the network and the corresponding hidden layers.

The general theory behind Artificial Neural Networks can be described as a metaphor to learning; just students prepare for exams by thoroughly studying and applying knowledge repeatedly prior to the exam, neural networks typically train on large data sets by taking an input X, and producing some inferred output Y, then comparing to the actual output that is provided by the corresponding input in the data set to calculate the error between the prediction and the true output reflected in the data set. This data set is referred to as the "training set", on which the neural network metaphorically prepares for the exam. The goal of this training is to adapt the system to handle situations that are not contained within the training set, and predict these outputs with accuracy based on the training information provided to the network (Werbos, 1990).

1.9.1 Gradient Descent

The theory leading to the development of Gradient Descent dates back to the 1950s, proposed by Robbins and Monro in *A Stochastic Approximation Method* (Robbins and Monro, 1951; Bottou et al., 2018). Regarded as one of the notable developments in the field of machine learning, the stochastic approximation method detailed in the paper is a procedure for finding the root of an unknown function, $M(x)$, with the assumption that the function is monotonic, i.e. the first derivative of the function does not change signs (Robbins and Monro, 1951). The work of Robbins and Monro in this paper, along with additional works, marked the beginning of the field of stochastic approximation, which studies recursive and iterative algorithms of optimization problems when the data available is subject to noise (Bottou et al., 2018). Therefore, the field of stochastic approximation is, by definition, closely involved to the methods applied to the modern approaches to machine learning, such as neural networks (Bottou, 1991; Bottou et al., 2018). Developments in the field of stochastic approximation In the current field of machine learning, gradient descent stands as one of the most popular methods of optimization in neural networks, with a multitude of optimizations on the gradient descent method, such as gradient descent with momentum, RMSProp, Adam, applied to neural networks (Ruder, 2016).

The three primary methods of gradient descent are batch gradient descent, stochastic gradient descent, and mini-batch gradient descent (Ruder, 2016). The three methods differ primarily by the method in which the parameters of the model are updated. Batch gradient descent performs a *single* parameter updated based on the gradient calculated from the *entire* dataset, while stochastic gradient descent performs the parameter update for training example with input X_i and corresponding label Y_i (Ruder, 2016). Both methods have corresponding flaws, both in theory and in application. The batch gradient descent method faces challenges in updating the model when new examples are added post-parameter updating, and must recalculate the gradient of the entire dataset once the new example is included to the dataset. Additionally, as the gradient for the entire dataset must be computed prior to a single update step, batch training on larger datasets is significantly slower than stochastic gradient descent training (Ruder, 2016). One challenge with stochastic gradient descent lies in the tendency to change parameters and "overshoot" the optimized parametric values of the model, resulting in a slower convergences (if convergences is reached at all) (Ruder, 2016; Bottou et al., 2018). This challenge has specifically been approached via the

gradient descent with momentum algorithm, which ensures that the gradient always moves towards a convergence (Ruder, 2016; Bottou et al., 2018). Here, we employ a model with basic stochastic gradient descent as the learning method.

1.9.2 Gradient Descent: Definition

The entire discussion of gradient descent focuses on parameter updating based on some *gradient*. The term gradient holds many definitions, thought the simplest way to think of a gradient is as a slope of a function. However, we can elaborate on this definition, as constructed by Kevin Gurney in *An Introduction to Neural Networks* (Gurney, 2004, p.82 -85). For example, take a function y such that y is a function of x, or $y = y(x)$. We wish to find an x_0 such that $y(x_0)$ is the minimum, or lowest output value, of the function. We denote x_i to be our current value for x. If a higher value of x_i results in a lower value of y, then we want to continue increasing x. We define this change as $\Delta x > 0$. Therefore, a negative x with a lower value of y can be defined as $\Delta x < 0$. Here, we arrive at the definition of a slope, as given by differential calculus; for a given point x_i, the slope of the function at x_i is the derivative of the function at that point. We represent the derivative of y with respect to x as

$$\frac{\Delta y}{\Delta x}$$

From there, we can manipulate the function by multiplying it with Δx produces

$$\Delta y = (\frac{\Delta y}{\Delta x})\Delta x$$

As we reduce Δx, $dy \approx \Delta y$. Now, we suppose that $\Delta x = -\alpha(\frac{dy}{dx})$, where α is some constant such that $\alpha > 0$ (sometimes referred to as the *learning rate*), and small enough so $dy \approx \Delta y$. If we substitute Δx, we arrive at

$$dy = -\alpha(\frac{dy}{dx})^2$$

which indicates that y will keep traveling towards the minimal point. We generalize $\Delta x = -\alpha(\frac{dy}{dx})$ to multivariate functions to arrive at

$$\Delta x_i = -\alpha(\frac{\partial y}{\partial x_i})$$

. Repeating this calculation for all variables results in gradient descent (Gurney, 2004, p.82 - 85). Next, we observe how to calculate the gradient via backpropagation.

1.9.3 Backpropagation in Neural Networks

Backpropagation is one of the most recognized techniques in the study of neural networks. Developed by Paul Werbos in the 1970s, backpropagation is the primarily used method of learning in neural networks (Widrow et al., 1990). The method is so named because the updating of weights at every layer begins at the final layer, and propagates backwards to every node and weight until all weights of all nodes in each layer have been adjusted for the calculated error in the network. To fully understand backpropagation, we begin with an example of a neural network of the following layout.
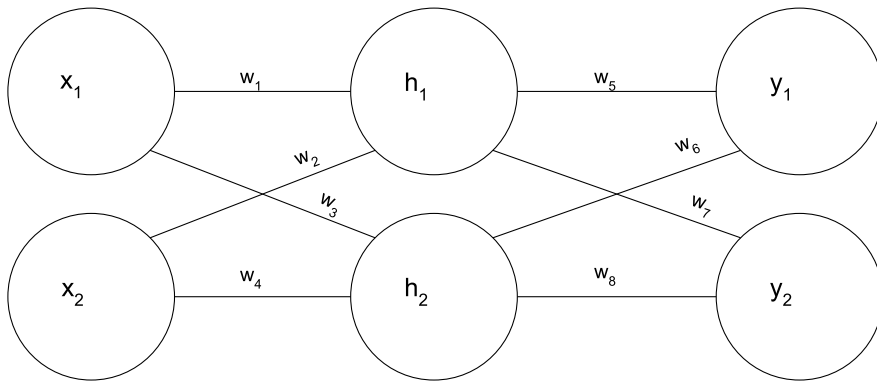
Figure 2: Simple, Feedforward Neural Network. x denotes an input layer node, h a hidden layer node and y an output layer node. w denotes a connection from a node to the node in the next layer, from left to right.

In the simple, feedforward neural network (Figure 2), there is a single input layer, hidden layer, and output layer. Each layer is composed of several nodes with some activation function; in the simplest models, where threshold linear units (TLU) are used as the nodes, the activation is a threshold. To predict the output y_1, we first propagate the x_1 and x_2 input values forward, via the connections from the input layer to the output later, and multiply by the corresponding weight. Therefore, the value incoming to h_1 can be represented as $\alpha = x_1 * w_1 + x_2 * w_2$. In the TLU, the value is then thresholded via an arbitrary threshold θ, such that

$$h_1 = \begin{cases} 0 & \alpha \leq \theta \\ 1 & \alpha > \theta \end{cases}$$

The activation of the TLU is loosely based on the circuitry and behavior of biological neurons, where the incoming connections to an node reflect dendritic connections of a neuron, and the threshold behavior reflects the all-or-nothing nature of an action potential, and corresponding signal propagation (Gurney, 2014, 31; Steels, 2007). However, other activation functions are commonly used, such as the sigmoid function (Gurney, 2014, 35-36). We calculate in a similar fashion for h_2, using the weights at w_3 and w_4 (Gurney, 2014, 29-30; Hecht-Nielson, 1989). With the newly calculated values at the hidden layer, we perform the same operations on the values to find the prediction values in the output layer. For example, $y_1 = h_1 * w5 + h_2 * w_6$, and y_2 follows a similar calculation, with weights w_7 and w_8 (Gurney, 2014, 29-30; Hecht-Nielson, 1989).

Once all output node values are calculated, the forward-pass is complete, and the backward-pass, or backpropagation, shifts the network along the gradient towards convergence of the error and weights. In the presentation of gradient descent presented earlier, we arrived at the definition as an iterative process of the function

$$\Delta x_i = -\alpha \left(\frac{\partial y}{\partial x_i} \right)$$

(Gurney, 2014, 82-85). However, we now apply the function as a minimization process of the *error*, with respect to the *weights* of the neural network. Therefore, the change in weight during a backpropagation step can be represented as

$$\Delta w_{ij} = -\alpha \left(\frac{\partial E}{\partial w_{ij}} \right)$$

. The target function to minimize is now the cost function, and the variables to be changed are all weights in the neural network. At the backpropagation step for updating the weights between the output layer and the prior hidden layer in our example simple feedforward neural network, the chain rule is applied on $\frac{\partial E}{\partial w_{ij}}$, which expands to

$$\frac{\partial E}{\partial w_{ij}} = \frac{\partial E}{\partial y_j} * \frac{\partial y_j}{\partial I_j} * \frac{\partial I_j}{\partial w_{ij}}$$

where i denotes the source node, j the target node. The function for finding the change in error with respect to (w.r.t) a change in particular weights is expanded to three calculations: the change

in error w.r.t. change in the output of the activation function, the change in the output of the activation w.r.t change in the inputs to the activation function, and the change in the inputs of the activation w.r.t. change in w_{ij} (Gurney, 2004, 89-91; Hecht-Nielson, 1989). The value these calculations evaluate to depends on the error calculation method, the activation function, and how the inputs to the calculation function are calculated; using a TLU in place of a sigmoid function will result in a different Δw_{ij}.

Backpropagation between the hidden and input layers of the follow a similar approach, with a difference in the calculation of error; since we are now calculating for a hidden node, with forward connections to output nodes in the output layer, each hidden neuron contributes to the error contribution of several output nodes. Therefore, we must sum the error contribution over all nodes that the the hidden node contributes to, resulting in

$$\frac{\partial E}{\partial w_{ij}} = \sum_{k=I_i} \frac{\partial E_k}{\partial y_j} * \frac{\partial y_j}{\partial I_j} * \frac{\partial I_j}{\partial w_{ij}}$$

where I_i is the set of all neurons in the next forward layer that receive input from the hidden node k that we are backpropagating from (Gurney, 2004, p.100-101; Hecht-Nielson, 1989). Both methods are applied during backpropagation of a neural network, depending on the weight being updated. $\frac{\partial E}{\partial w_{ij}}$ is calculated for all w_{ij} between the output and hidden layers, for every training step, typically until error converges and does not minimize further, successfully performing gradient descent on the error.

## 1.10   NEST: Generating Training Data

There are several reasons for generating simulated calcium imaging data for the purposes of network reconstruction. First, generation of simulated calcium imaging data allows for benchmarking of the reconstruction methods employed. In typical calcium imaging data, the ground truth is not known, resulting in a lack of comparison between the reconstructed network and the network targeted for reconstruction. By generating simulated networks and recreating calcium imaging data, we ensure access to the ground truth for comparison with the model. Simulation of training data also permits programming of particular characteristics to the network, and observing the changes of this reconstruction in both the simulated data and the reconstruction of these networks.

Machine learning methods are typically trained on some prior data set. Simulation of calcium

imaging data provides an easily accessible method of generating data for training and testing the machine learning based model, due to unconstrained and unrestricted access to data, before allowing the model to analyze recorded data that would be ideally used as testing data. Additionally, including a training set ensures that the algorithm is able to remain generalized; that is, the algorithm does not learn to represent that single data set exceptionally well, and fail when a different pattern is presented to the algorithm.

The simulations presented here are performed in NEST simulator, a tool maintained by the NEST initiative (Kunkel et al., 2017). The NEST simulator was selected for the purpose of simulations that maintain biological realism and complexity, with emphasis on simulation of large neuronal networks. The advantage NEST has over other simulators is the capability to simulate large networks with varying facets, such as synapse and neuron types, while retaining accurate representation of the individual neurons.

2 Methods
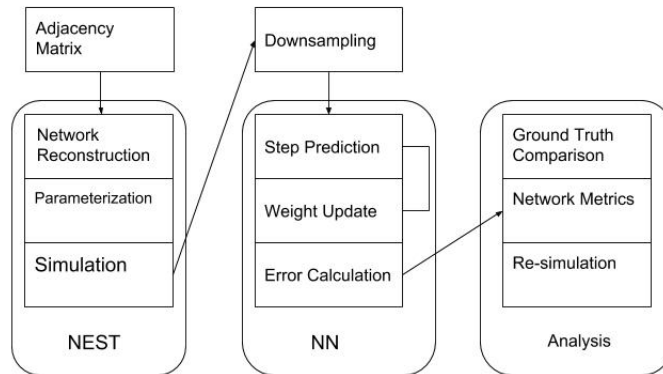
Figure 3: Experimental Pipeline. We start with a pre-generated adjacency matrix detailing the connections of a population of neurons, and pass it to the NEST simulator program. The NEST simulator reconstructs the network defined by the matrix; at this stage, Poisson noise is added to the population via a connection from a 'noise generator' NEST object to all neurons in the population. The simulation is then run for 10000 time steps (milliseconds), and the generated data is output as a spike time matrix of n x t dimensionality, n = population size and t = time steps. The output is then downsampled to reflect realistic calcium imaging frame duration of 10 ms, reducing the data set to t = time steps/10.

2.1 Simulation of Neurons for Spike Train Generation

The NEST simulator is used for the purposes of generating spike train data. NEST Izhikevich neurons are used as the model neurons, due to the increased complexity over leaky integrate-and-fire neurons, and the reduced computational complexity over models of significantly more numerous compartment models, such as the Hodgkin-Huxley models (Brette, 2015). NEST implementation dynamics of Izchikevich neurons are provided by the following:

$$dv/dt = 0.04v^2 + 5v + 140 - u + I$$

$$du/dt = a(bv - u)$$

$$\text{if } v >= V_{th} : \{$$

$$v = c$$

$$u = u + d\}$$

where v represents the membrane potential of the neuron and u the membrane recovery variable. The membrane recovery variable captures the responses of K^+ and Na^+, and is a source of negative feedback to v. Coefficients for change in membrane potential, are based on scale, with membrane potential at mV and time as ms, as are a and b. I is the input current to the neuron, and c and d are the post-spike reset values of the neuron (Kunkel et al., 2017, Izhikevich et al., 2004).

To simulate a population of neurons with n population size over t time, a file containing an nxn adjacency matrix is provided to the simulation program, which then creates a NEST neuron population with the exact number of neurons and connections between the neurons in the population, using the corresponding weight of the connection stored in the adjacency matrix. Interactions with neurons in NEST must be achieved through the creation of new node objects, made to represent and achieve the results of physical instruments and devices; therefore, we create a Poisson generator node to add noise to the population, and connect a spike detector node to record all spikes in the population during simulation. The population is then recorded over 10000 simulation steps, or 10000 ms. The corresponding output of the simulation is converted to a matrix of n x t dimensionality. The simulation is repeated to produce 100 spike trains from one adjacency matrix.

Post-simulation, all data is downsampled from 10,000 ms to 1,000 ms, corresponding to the 10 ms frame rate of calcium imaging.
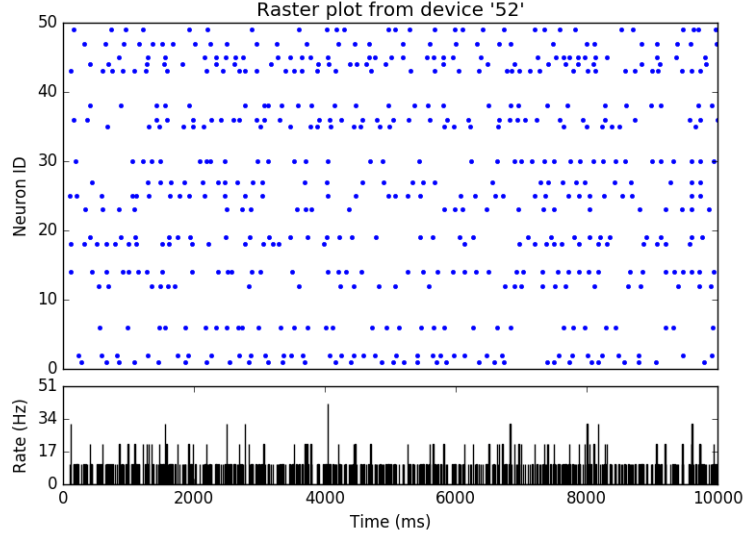
Figure 4: Raster Plot via NEST Simulator. The plot is generated by simulating a population of 50 neurons over 10000 time steps (ms), and graphically represents two distinct arrays output by the simulation: one array consisting of neuron IDs in the population, and another array with the corresponding spike times of the spiking neurons stored in the indices of the former array. The NEST simulator connects each neuron based on the input adjacency matrix and attaches additional noise and spike detection objects to every neuron in the population. Device 52 is the NEST spike detection object; all neurons are connected by the NEST simulation program for the purposes of spike detection. Device 51 is the noise generator object, and Devices 1-50 are the neurons in the population.

2.2   Page Rank

The Page Rank-based algorithm takes a weighted adjacency matrix as an input; a non-weighted adjacency matrix would result in no change in the Page Ranks of each neuron, and no change in the resulting adjacency matrix. The Page Rank for each neuron is then calculated based on the following formula:

$$PR_i = (1 - d) + d(\sum(\frac{PR_j}{C} * W_ij))$$

Where PR is the Page Rank of neuron i, d is the standard damping factor of 0.8, PR_j is the Page Rank of incoming neuron j, and W_{ji} is the weight of the connection from neuron j to i. The summation calculates for all incoming connections to neuron i. The formula is recalculated until convergence, where the Page Ranks no longer change, at which point the weights are updated such

that the weight of each connection is multiplied by the Page Rank of the source of the connection. The network is then pruned by comparing the weights between neuron i and j, or Wij and Wji, and the the lower value is reduced to 0, while the higher value is set to 1, preserving the higher connection. The process is then repeated, using the new weights, for an arbitrary number of times.

2.3   Machine Learning Model

2.3.1   Base Model

The model presented contains only two layers: an input layer and a hidden layer. We attempt to restrict the number of layers to a single layer, in order to extract a single set of weights that can be understood as the adjacency matrix reflecting the population of neurons from which the spike-train originates. The base model is a two-layer network with the squared error of the form

$$E_{total} = \sum \frac{1}{2}(target - prediction)^2$$

as the error function, where the target is the y label to a corresponding x input, and prediction is the output of the model after one prediction step (Werbos, 1990; Gurney, 2004, p.86-66; Bohte et al., 2002). The base model has a simple structure of n x 1 dimensionality in each layer, with 2 layers and n x n weights connecting them.

First, a single time step is provided from an t x n dimensional matrix, where t is the number of time steps, as an 1 x n array, where each n is a neuron id corresponding to a specific neuron in the population. The time step is matrix multiplied by the weight matrix of n x n, resulting in the prediction array of 1 x n. Each value in the prediction array is passed to the sigmoid activation function

$$f(x) = \frac{1}{1 + e^{-x}}$$

and updated as the prediction of the active and inactive neurons in the next time step. Therefore, the backpropagation step calculates the weight changes

$$\frac{\partial E}{\partial w_{ij}} = \frac{\partial E}{\partial y_j} * \frac{\partial y_j}{\partial I_j} * \frac{\partial I_j}{\partial w_{ij}}$$

where the partial derivative of the error w.r.t the prediction y_j and target $\hat{y}_j$ expands to

$$\frac{\partial E}{\partial y_j} = -(\hat{y}_j - y_j)$$

23

due to the summation in the error function; all other predictions are held constant, which results in a zero derivative for the remainder of the summation outside of y_j. The partial derivative of the output of the activation function y_j w.r.t. the input I_j, or the results of the first matrix multiplication, evaluates to

$$\frac{\partial y_j}{\partial I_j} = \frac{e^{I_j}}{(1 + e^{I_j})^2} = y_j * (1 - y_j)$$

Finally, the partial derivative of the input to the activation function I_j w.r.t. the weight being updated w_{ij} is

$$\frac{\partial I_j}{\partial w_{ij}} = 1 * x_i * w_{ij}^{1-1} = x_i$$

. Therefore, the full learning rule applied in this model is

$$\frac{\partial E}{\partial w_{ij}} = -(\hat{y}_j - y_j) * (y_j * (1 - y_j)) * x_i$$

. At every time step, the learning rule is applied to the current input and predicted next time step, and each traversal across the entire dataset is an iteration of the learning process.

Algorithm 1: Base Model

Initialize the weight matrix of n x n size with random values 0-1.

Initialize dataset as spike-time matrix

i = A time step in the spike-time matrix

for $i <$ number of spike Times **do**

> Calculate dataset[i]*weights $\rightarrow$ outputs (1 x n)
>
> Multiply each value in outputs by the activation function
>
> return the 1 x n dimensional array of predictions of activity in the next time step
>
> j = index of the source neuron in the weight matrix
>
> k = index of the target neuron in the weight matrix
>
> w_{jk} = a weight in the weight matrix corresponding to indices j,k
>
> **for** w_{jk} in the weight matrix **do**
>
> > Calculate $\Delta w_{jk} = \frac{\partial E}{\partial w_{jk}}$
> >
> > Update the weight via $w_{jk} = w_{jk} - \alpha(\Delta w_{jk})$

Generate a new set of predictions P' with the calculated Matrix

Calculate the mean squared error between P'[0:length-1] and dataset[1:length]

2.3.2 Variable Activation Function Model

The variable activation function model contains two additional sets of values in two separate n x 1 dimensional arrays, where n is the size of the population of observed neurons. Each value in the matrix corresponds to a particular node in the output layer of the same index. Therefore, the activation function is modified to a general logistic function of the form

$$f(x) = \frac{1}{1 + e^{-a(x-b)}}$$

where a_j and b_j are the values corresponding to the unique steepness, or shape, and center, defined as the value at which the second derivative of the function changes signs, of the activation function for target output node y_j, allowing for a distinct activation function for each output node. The error is backpropagated in a similar fashion to the weights between the input and output layers of the model, and simultaneous to the weight updating step. To update the steepness of the of output y_j, we calculate Δa_j as

$$\Delta a_j = \frac{\partial E}{\partial a_j} = \frac{\partial E}{\partial y_j} * \frac{\partial y_j}{\partial a_j}$$

where $\frac{\partial E}{\partial y_j}$ is evaluated as in the base model, and the partial derivative of the output y_j w.r.t logistic function steepness a_j, $\frac{\partial y_j}{\partial a_j}$, is

$$\frac{\partial y_j}{\partial a_j} = \frac{e^{-a_j(x-b_j)}(b_j - x)}{(1 + e^{-a_j(x-b_j)})^2}$$

, where x is the input to the activation function. The change of the logistic function center at the update step is given by

$$\Delta b_j = \frac{\partial E}{\partial b_j} = \frac{\partial E}{\partial y_j} * \frac{\partial y_j}{\partial a_j}$$

where the partial derivative of the output y_j w.r.t logistic function center b_j, $\frac{\partial y_j}{\partial b_j}$ is calculated as

$$\frac{\partial y_j}{\partial b_j} = \frac{a_j e^{-a_j(x-b_j)}}{(1 + e^{-a_j(x-b_j)})^2}$$

2.4 Cross-Correlation

We compare the performance of the model to the cross-correlation method of inferring connectivity. Cross-correlograms here are produced using the *elephant* and *neo* python packages (citation here). The cross-correlogram method of extracting spike-train correlations compares the spike times of a

---
Algorithm 2: Base Model

Initialize the weight matrix of n x n size with random values 0-1.

Initialize dataset as spike-time matrix

i = A time step in the spike-time matrix

for i < number of spike Times **do**
  Calculate dataset[i]*weights $\rightarrow$ outputs (1 x n)

  Multiply each value in outputs by the activation function

  return the 1 x n dimensional array of predictions of activity in the next time step

  j = index of the source neuron in the weight matrix

  k = index of the target neuron in the weight matrix

  w_{jk} = a weight in the weight matrix corresponding to indices j,k

  for w_{jk} in the weight matrix **do**
    Calculate $\Delta w_{jk} = \frac{\partial E}{\partial w_{jk}}$
    Update the weight via $w_{jk} = w_{jk} - \alpha(\Delta w_{jk})$

  for a_j *in the steepness matrix* **do**
   Calculate $\Delta a_j = \frac{\partial E}{\partial a_j}$, $\Delta b_j = \frac{\partial E}{\partial b_j}$
    Update the steepness and center via $a_j = a_j - \alpha(\Delta a_j)$, $b_j = b_j - \alpha(\Delta b_j)$
Generate a new set of predictions P' with the calculated Matrix

Calculate the mean squared error between P'[0:length-1] and dataset[1:length]
---

reference train to a target train. Different time steps within a defined window are observed in the target train, using a spike in the reference train as the origin.
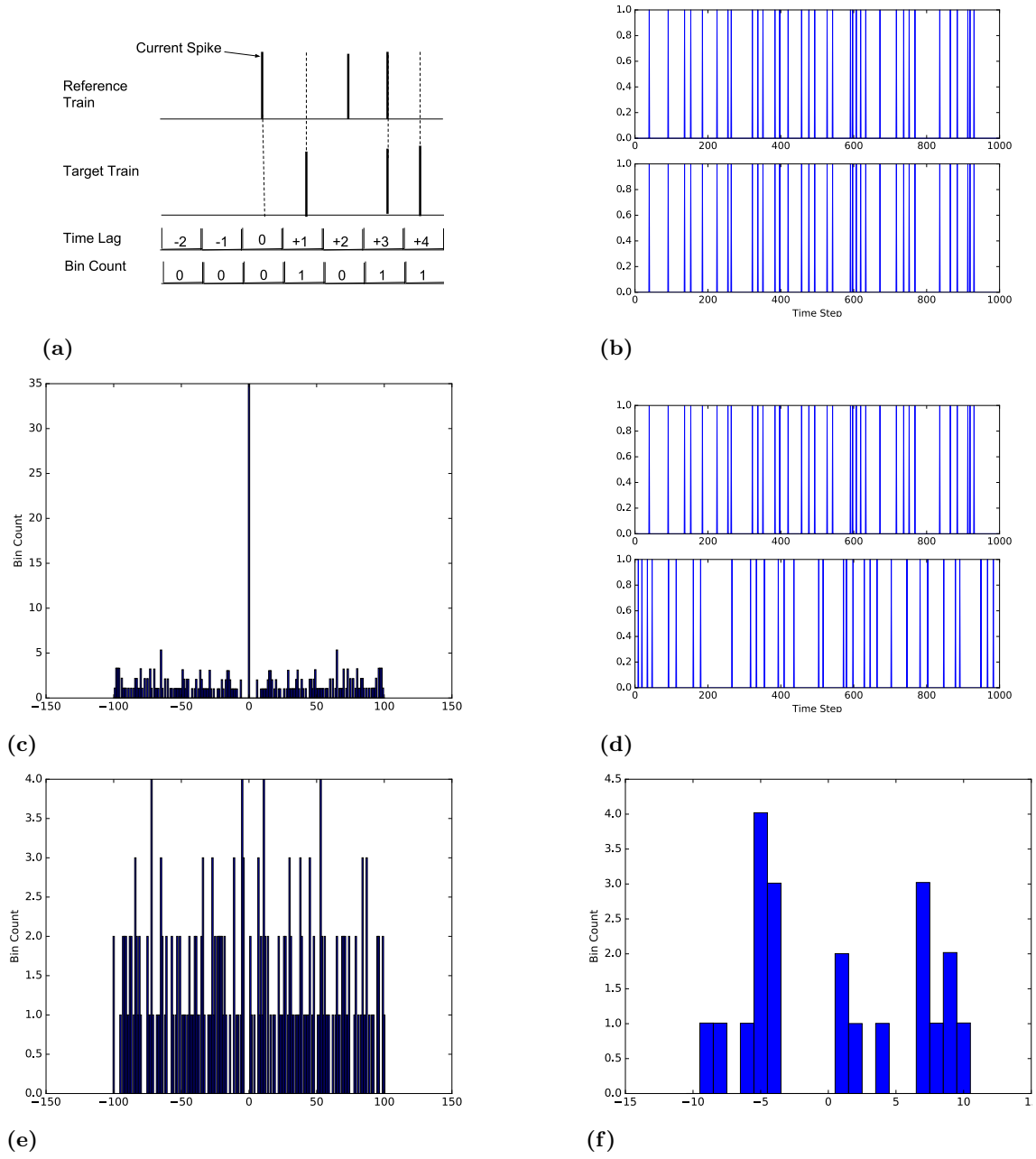
Figure 5: Cross-Correlation Histogram (Cross correlogram) Procedure and Example. (a) The cross-correlogram method of relating spike-trains. A spike is selected and designated as the reference point. If a spike appears in the target train at a particular time lag, the value for that bin is incremented.(b)An example autocorrelation spike-train. The top and bottom spike trains are the same spike-train; all spikes align.(c) The autocorrelogram calculated from (b), with a window range of [-100,100]. In an autocorrelation, the highest bin count should be equivalent to the number of spikes in the observed train, and the highest bin is at time lag 0.(d) Two distinct spike-trains. Note the periodic nature of the histogram, identified in (f) (e)Cross-correlogram of (d). (f)Cross-correlogram of (d), window range of [-10,10].

3   Results

3.1   Error

3.1.1   Two Time Step Convergence

We first run the model across two time steps, with at least a single observed spike difference between the two steps, to observe the rate of error convergence and capability of the model to predict from a single time step to the next time step.
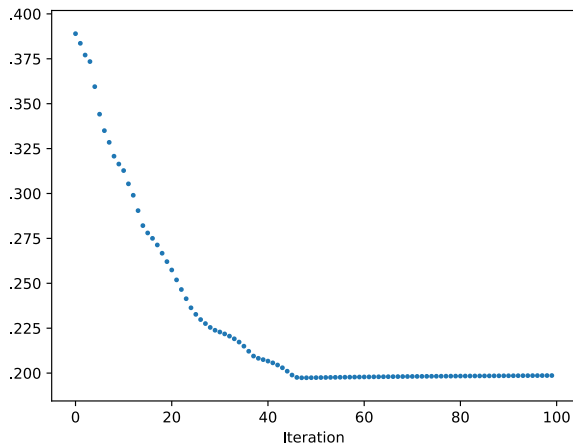
(a)

Figure 6: Error Convergence of Model On Two Consecutive Time Steps. (a)Error Convergence on Variable Activation Function Model

4   Discussion

5   Conclusion

6   Works Cited

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