# AIML426 Assignment 1 – Debruconn

## Part 1 – Knapsack Problem

### Overall Outline

For my Knapsack program, I use the DEAP library to generate class files and methods to run my genetic algorithm. I manually define the cross-over, mutation, fitness and individual representation that the algorithm uses.

### Representation

Individuals in my Genetic Algorithm (GA) are defined as objects containing indices of knapsack items selected (shown in the image). Each of these indices can be used to construct a binary string to represent a GA individual. An example of an individual in my program would be {0,2,3} which would equate to the binary string of “10110000”.

### Fitness Function

Text

Description automatically generated with low confidenceThe fitness function I have chosen was shown on the lecture slides. This essentially maximises the value of the items but minimises the weight of the individual. If the total selected items weight is over the bag’s capacity, my fitness function will return a bad fitness result to make the current selection less likely to reproduce.

### Crossover

The crossover function I use will take 2 individuals from the population, select a random index from both individuals and then exchange a section each. As my objects are represented by recording indices of a GA binary string, crossover will exchange indices that aren’t necessarily consecutive.

### Mutation

The mutation function will remove one random item from an individual, and then populate it with another random item. This is achieved using sets in my program to avoid duplicated items.

### Selection

When selecting parents for the next generation, I use the NSGA-II selection algorithm. This algorithm works by selecting a large group of individuals first through tournament selection. Individuals are then selected based on a crowded-comparison operator using a Manhattan distance function to ensure that individuals are all mostly unique. By using the crowd-comparison operator, clustered individuals can be trimmed and therefore not dominate the next generation.

### Parameters

For my parameters I have:

|  |  |
| --- | --- |
| Number of generations | 150 |
| Elitism population | 30 |
| Children in each population | 100 |
| Crossover probability | 65% |
| Mutation probability | 35% |

### Results

#### 10\_269 File

|  |  |  |  |
| --- | --- | --- | --- |
| Run / Seed | Best Value | Weight | Standard Deviation (of best generation) |
| 1 | 295 | 269 | 52.16 |
| 2 | 295 | 269 | 89.89 |
| 3 | 295 | 269 | 88.21 |
| 4 | 295 | 269 | 88.15 |
| 5 | 295 | 269 | 90.14 |
| Mean (from past 5) | 295 | 269 | 81.71 |
| Standard Deviation | 0 | 0 | 16.5446623 |

|  |  |
| --- | --- |
| We can see from my convergence curve that it takes my program roughly 40 generations to reach convergence. The program on average finds an individual with roughly a 282 value after a couple generations. Because the dataset is so small, it is expected to be able to reach convergence quickly as there is only a few combinations possible to reach the optimal value. I think my program performs to standard, there however may be more optimal parameters to reach convergence faster. |  |

#### 23\_10000 File

|  |  |  |  |
| --- | --- | --- | --- |
| Run / Seed | Best Value | Weight | Standard Deviation (of best generation) |
| 1 | 9763 | 9774 | 3200.85 |
| 2 | 9762 | 9763 | 3088.25 |
| 3 | 9756 | 9766 | 3195.16 |
| 4 | 9753 | 9754 | 3144.62 |
| 5 | 9767 | 9768 | 3109.54 |
| Mean (from past 5) | 9760.2 | 9765 | 3147.684 |
| Standard Deviation | 5.6302753 | 7.34846923 | 50.1929958 |

|  |  |
| --- | --- |
| Due to the high values in the data, I enlarged the section of the curve in the graph.  The program very quickly gets individuals with values of 9700, which is to be expected as there are only 23 items in this dataset. There is a gradual increase in higher value individuals from generation 20 to 150. I suspect that with a higher generation number, that the optimal value will be reached in roughly 100 more generations following the current trend. |  |

#### 100\_995 File

|  |  |  |  |
| --- | --- | --- | --- |
| Run / Seed | Best Value | Weight | Standard Deviation (of best generation) |
| 1 | 1487 | 990 | 482.66 |
| 2 | 1484 | 978 | 471.67 |
| 3 | 1513 | 962 | 492.04 |
| 4 | 1428 | 990 | 457.90 |
| 5 | 1512 | 953 | 473.85 |
| Mean (from past 5) | 1484.8 | 974.6 | 475.624 |
| Standard Deviation | 34.5210081 | 16.6673333 | 12.766281 |

|  |  |
| --- | --- |
| This curve is the most realistic convergence curve compared to real-world examples. There is a larger increase in fitness at the start that gradually slows down. This is likely due to the larger dataset and thus higher variability in individuals. The 2nd and 3rd generations remain at 0, this is due to every individual in the population either selecting no items or going over the bag capacity. This would likely be negative fitness values but in my program I hard-capped the values from going negative. From the convergence curve I think my program behaves adequately, so I wouldn’t need to adjust the GA parameters further. |  |

## Part 2 – Genetic Algorithm for Feature Selection

### Overall Outline

For my feature selection genetic algorithm, I adapted the code from my part 1. I however had to implement a filter and a wrapper-based fitness function. Values used for the parameters have also been updated to account for the new problem / dataset.

### Representation

To represent individuals in the population I store an array of indices for features to select in the data. The representation has not changed from the previous question apart from storing indices for features instead of knapsack items.

### Fitness Function

For my fitness functions I use both a wrapper-based fitness function and a filter-based fitness function.

* **Wrapper based:** I create a KNN model with a K value of 5. This will plot all the points of the dataset using N dimensions (where N is the number of features. As the data has been normalised, all features are equally likely to affect the classification of an individual. The fitness function will then test against the dataset by classifying each piece of data based on the model and the 5 closest neighbours to the point.
* **Filter based:** For the filter-based fitness function I use an impurity function which will split the data into 2 groups based on the features selected by an individual. Based on the purity in the 2 sets (similar to how decision trees are split) will determine the fitness value produced.

### Crossover

The crossover function in my program is the same as the previous question. 2 individuals will be parsed into my crossover function, a random index will be selected in both individuals then a segment in each individual will be swapped.

### Mutation

The mutation function in my program is also the same as the previous question. An individual will be seleted with a 35% chance, a random index from the list of indices that the object holds will be replaced with a new index.

### Selection

I also use the same selection algorithm as the previous question NSGA-II. This algorithm will first apply a roulette selection process and will then using a Manhattan distance function to select individuals with the largest variability. This is to avoid clusters of individuals from dominating the next generation.

### Parameters

I would’ve liked to adjust these values further but due to time constraints (up to 2 hour run times for both datasets + both fitness functions) I could not. These are my current parameters:

|  |  |
| --- | --- |
| Number of generations | 150 |
| Elitism population | 30 |
| Children in each population | 100 |
| Crossover probability | 65% |
| Mutation probability | 35% |

### Results

#### WBCD Wrapper-Based (KNN)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Run/Seed | Accuracy | Standard Deviation (individuals) | Time | Best Solution |
| 1 | 93.15% | 2.22044605e-16 | 254.49s | 0, 2, 6, 12, 15, 20, 21, 24, 26, 31 |
| 2 | 95.08% | 2.22044605e-16 | 251.10s | 0, 1, 3, 6, 7, 9, 11, 12, 14, 15, 16, 18, 19, 20, 21, 22, 23, 24, |
| 3 | 93.85% | 2.22044605e-16 | 244.11s | 2, 3, 4, 7, 8, 10, 12, 14, 15, 16, 21, 22, 24, 25, 26, 27 |
| 4 | 94.20% | 0 | 248.89s | 0, 1, 2, 6, 7, 12, 14, 15, 17, 18, 20, 21, 23, 24, 25, 26, 27, 28 |
| 5 | 93.50% | 2.22044605e-16 | 242.73s | 1, 2, 4, 6, 8, 10, 11, 13, 14, 15, 16, 17, 18, 20, 21, 22 |
| Mean | 93.956% | 1.7764E-16 | 248.264s |  |
| Standard Deviation | 0.00740223 | 9.9301E-17 | 4.87541588 |  |

|  |  |
| --- | --- |
| We can see from the convergence curve that my program reaches convergence early on (around gen 25). This is likely due to how I test the KNN model, individuals used in testing the model are also used in the creation, which would likely increase accuracies of the fitness function. Therefore, I have a lower fitness (93.9%) opposed to the KNN model with 98.7% accuracy. |  |

#### WBCD Filter-Based

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Run/Seed | Accuracy | Standard Deviation (individuals) | Time | Best Solution |
| 1 | 94.02% | 0.0042 | 761.13s | 0, 1, 2, 3, 4, 5, 6, 7, 8, 10, 11, 13, 14, 15, 16, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 |
| 2 | 94.02% | 0.0032 | 741.14s | 0, 1, 2, 3, 4, 5, 6, 7, 8, 10, 12, 13, 15, 16, 17, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 |
| 3 | 93.67% | 0.0043 | 663.86s | 0, 1, 2, 3, 4, 5, 6, 7, 8, 10, 12, 13, 15, 16, 17, 18, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 |
| 4 | 94.20% | 0.0036 | 722.57s | 0, 1, 2, 3, 4, 5, 6, 7, 8, 10, 12, 13, 14, 16, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 |
| 5 | 93.67% | 0.0033 | 896.23s | 0, 1, 2, 3, 4, 6, 7, 8, 5, 10, 9, 12, 13, 14, 15, 16, 17, 18, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 |
| Mean | 93.916% | 0.00372 | 756.986S |  |
| Standard Deviation | 0.00236284 | 0.00050695 | 85.8983017 |  |

|  |  |
| --- | --- |
| From the convergence curve we can see that the graph has a steady incline and has not met convergence yet. More generations are required to reach a higher accuracy using this filter-based fitness function. The processing time for the filter-based fitness function is almost 3 times slower than my KNN fitness function. This is likely due to the libraries I used, where the filter-based function may have suffered optimisation issues. The accuracies for both the filter-based and wrapper-based functions are surprisingly similar, but based on the convergence curve for the filter-based function that it would likely produce higher accuracies if trained further. |  |

#### Sonar Wrapper-Based (KNN)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Run/Seed | Accuracy | Standard Deviation (individuals) | Time | Best Solution |
| 1 | 71.15% | 2.22044605e-16 | 106.24s | 1, 4, 5, 7, 8, 9, 10, 11, 15, 16, 21, 24, 26, 32, 34, 35, 36, 39, 42, 43, 44, 45, 46, 47, 48, 50, 51, 52, 53, 58, 59 |
| 2 | 67.79% | 3.33066907e-16 | 97.51s | 2, 4, 5, 6, 7, 8, 9, 15, 16, 17, 24, 27, 31, 34, 38, 39, 42, 45, 46, 49, 50, 53, 59 |
| 3 | 65.87% | 3.33066907e-16 | 118.41s | 0, 1, 2, 3, 7, 9, 10, 15, 16, 19, 23, 24, 27, 33, 43, 46, 48, 50, 56, 58 |
| 4 | 67.31% | 3.33066907e-16 | 98.84s | 0, 1, 2, 8, 10, 16, 19, 21, 22, 26, 27, 34, 36, 40, 41, 47, 51, 54, 57, 58 |
| 5 | 69.71% | 3.33066907e-16 | 96.32s | 33, 2, 3, 36, 35, 8, 10, 14, 47, 142, 21, 54, 24, 25, 56, 59 |
| Mean | 68.366% | 3.1086E-16 | 103.464s |  |
| Standard Deviation | 0.02075688 | 4.9651E-17 | 9.20539679 |  |

|  |  |
| --- | --- |
| The convergence curve for the wrapper-based function on the Sonar data looks to be good, with convergence roughly around gen 75. The accuracies produced by the filter function are considerably low compared to the WBCD dataset. I think this is due to there being more possible features to select and therefore higher variability in creating individuals. |  |

#### Sonar Filter-Based

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Run/Seed | Accuracy | Standard Deviation (individuals) | Time | Best Solution |
| 1 | 72.11% | 0.011 | 767.43s | 0, 1, 2, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 18, 19, 20, 21, 22, 23, 24, 26, 28, 29, 32, 35, 36, 37, 38, 42, 43, 44, 45, 46, 47, 48, 51, 53, 54, 55, 57, 58, 59 |
| 2 | 73.08% | 0.0060 | 786.48s | 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 14, 15, 16, 19, 20, 21, 22, 24, 25, 27, 28, 29, 31, 32, 33, 34, 35, 36, 37, 38, 39, 42, 43, 44, 45, 46, 47, 48, 49, 51, 54, 57, 58, 59 |
| 3 | 68.75% | 0.0086 | 781.93s | 0, 1, 2, 3, 4, 7, 8, 9, 10, 11, 14, 15, 16, 18, 19, 20, 21, 22, 23, 24, 27, 28, 31, 32, 35, 36, 37, 38, 39, 40, 42, 43, 44, 45, 46, 47, 48, 50, 51, 52, 57, 58, 59 |
| 4 | 73.08% | 0.011 | 790.08s | 0, 4, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 18, 19, 20, 21, 22, 23, 24, 26, 28, 31, 32, 33, 34, 36, 37, 38, 35, 40, 42, 43, 44, 45, 46, 47, 48, 49, 51, 54, 55, 57, 58, 59 |
| 5 | 70.19% | 0.011 | 878.06s | 0, 1, 2, 3, 4, 6, 7, 8, 9, 10, 11, 12, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 28, 29, 31, 32, 35, 36, 37, 38, 39, 42, 43, 44, 45, 46, 47, 48, 54, 55, 57, 58, 59 |
| Mean | 71.442% | 0.00952 | 800.796s |  |
| Standard Deviation | 0.01912242 | 0.00222531 | 44.0418043 |  |

|  |  |
| --- | --- |
| The convergence curve for the sonar data looks like it hasn’t made convergence yet, as there is no flatline towards the top of the curve. Compared to the previous dataset, this dataset has a much lower accuracy using the same variables. Similar to the results from the filter-based function for the WBCD dataset, more generations are required to reach convergence and produce higher accuracies. The processing time taken is exceptionally long compared to the wrapper-based function using the same dataset. Again, this would be due to optimisation issues of the library I used. |  |