# 08. Relational Database Design

[PM Jat, DAIICT, Gandhinagar]

#### **Normal Forms**

Normal forms are used as measure of "goodness" of a relation. Higher the normal form, less redundancies, and less anomalies it has; and better the relation is. The process of having "good relations" in a relational database schema is called *Normalization*. Normalized relations have minimized data redundancies and hence minimized anomalies.

Initially Edgar (Ted) F. Codd proposed three normal forms, which he called First, Second, and Third normal forms. A stronger definition of 3NF, called Boyce-Codd Norm Form (BCNF) was proposed later by Boyce and Codd. All these normal forms are based on the functional dependencies among the attributes of a relation. Later Fourth normal form (4NF) and Fifth normal form (5NF) were proposed based on multi-value dependencies and join dependencies respectively.

# First Normal Form (1NF):

A relation is in First normal form if it qualifies to following-

- All attributes are "Atomic", that is
  - No composite
  - o No Multiple values

With given definition, following relation is not in 1NF-

```
User(UID, Name, Address, phone)
```

```
And suppose it has following two tuples - <101, Amit, Delhi, {9712312121, 9324121120}>
```

<102, Mukesh, Mumbai, {9410120220}>

Not in 1NF, because attribute "Phone Number Set" is not atomic.

Alternative, following scheme is also not in 1NF-

```
User(UID, Name, Address, phone1, phone2)
```

```
<101, Amit, Delhi, 9712312121, 9324121120>
```

<102, Mukesh, Mumbai, 9410120220, null>

Because it just fooling around of previous one. Basically *Phone* is a multi-value attribute. Creating multiple attributes for a multi-value attribute is wrong; by doing so we lose out the fact that these are multiple values of a same attribute.

```
Suppose we allow having a relation User(UID, Name, Address, phone1, phone2)
```

Let us see what is undesirable consequence of such a design? How do we delete a phone number? How do we add a phone number? How do express list the people having no phone number?

With above understanding, following relation schema is also not in 1NF-

### Department(DNO, DName, MGRSSN, DLOCATIONS)

[A sample tuple: <4,'Marketing',101, {Delhi,Mumbai,Pune}>]

Composite attributes are also not atomic therefore following relation is also not in 1NF-

#### Student(ID, Name(Fname, Minit, Lname), Batch, CPI)

[Sample tuple: <200701001, <Charu, K, Chawla>, 2007, 6.8>]

"Contradiction": is not DOB is a composite in following relation scheme?

Student(ID, FName, MInit, LName, DOB, Batch, CPI)

[Sample tuple: <200701001, Charu, K, Chawla, <1988,11,21>, 2007, 6.8>]

is this relation still in 1NF?

It is true that it is composite, but we treat it as single of "Date" type? With the acceptance of user defined object types as attribute type, CJ Date says that an attribute is atomic if it has a single value of "appropriate type".

Modern Object RDBMS, allows having Object Types, Arrays, and even Relation as data types for an attribute. Such attribute type is definitely not atomic in classic sense; however with Object oriented understanding of types, where types take care of manipulating data within object through operator overloading or so, usage of such data type can come nearer to "atomic values".

Again to repeat that Normal Form is a measure of goodness of a relation; each normal form defines its own sets of requirements, and if a relation meets those requirements, then it is in that normal form. It should also be noted that rules are designed such that if a relation is in higher norm form, it is implied to be in lower normal forms.

In this <u>section</u>, we discuss first set of normal forms, i.e. 2NF, 3NF, and BCNF. Requirements for these three normal forms are specified in terms of Functional Dependencies. There are two ways in which these forms are studies in the literature -

- 1. Begin with BCNF (most stricter form), and come down to 2NF, or
- 2. Reverse, i.e. start with 2NF, and go up to BCNF

In most modern text, first approach is preferred; we will also follow this route. Probably with following reasons -

- Most relations that you design using your common sense, or by mapping ER to Relations; are likely to be in BCNF..
- Second, 2NF and 3NF are discussed more for historical reasons.

# **Boyce-Codd Normal Form (BCNF)**

A relation R is in Boyce-Codd Normal Form, when determinant of every FD that holds on R, is super-key# of R. In other words, For every FD  $A \rightarrow B$  that holds on relation R, A is its super-key key. This requirement is to be checked for all FDs on R, if any FDs fail to meet this criteria, the relation is not in BCNF.

# In most places in literature; there is usage of super-key; but when we work on minimal set; where we have all left sides irreducible, we can read super-key as Key.

#### Exercise ##:

```
Is RXIT(<u>studid</u>, name, progid, cpi, pname, intake, did, dname) in BCNF?
```

```
studid→ name

studid→ cpi

studid→ progid

progid→ pname

progid→ did

progid→ intake

did → dname

Key: ?

BCNF? <Yes/No>
```

#### Exercise ##

Is SP(studid, name, progid, cpi, pname, intake, did) in BCNF?

```
studid→ name

studid→ cpi

studid→ progid

progid→ pname

progid→ did

progid→ intake

did → dname

Key: ?

BCNF? <Yes/No>
```

```
Exercise ##:
```

```
Is Student(studid, name, progid, cpi) in BCNF?
      studid \rightarrow name
      studid→ cpi
      \mathtt{studid} \rightarrow \mathtt{progid}
      progid→ pname
      progid \rightarrow did
      progid→ intake
      did → dname
             Key: ?
             BCNF? <Yes/No>
Exercise ##:
Is PD(progid, pname, intake, did, dname) in BCNF?
      studid→ name
      studid→ cpi
      studid→ progid
      progid \rightarrow pname
      progid \rightarrow did
      progid→ intake
      did \rightarrow dname
             Key: ?
             BCNF? <Yes/No>
Exercise ##:
Is Program(progid, pname, intake, did) in BCNF?
      studid→ name
      studid→ cpi
      studid→ progid
      progid→ pname
      progid \rightarrow did
      progid→ intake
      did → dname
             Key: ?
             BCNF? <Yes/No>
```

# Exercise ##:

Is Department(did, dname) in BCNF?  $studid \rightarrow name$ studid→ cpi  $studid \rightarrow progid$ <del>progid→ pname</del>  $progid \rightarrow did$ progid→ intake  $did \rightarrow dname$ Key: ? BCNF? <Yes/No> Exercise ##: Given R(ABCDEF), and following FDs on R, determine if R is in BCNF?  $A \rightarrow B$  $A \rightarrow C$  $A \rightarrow D$  $B \rightarrow E$  $B \rightarrow F$ Exercise ##: Given R(ABCDEF), and following FDs on R, determine if R is in BCNF?  $A \rightarrow B$  $A \rightarrow C$  $A \rightarrow D$  $AB \rightarrow E$ 

 $AB \rightarrow F$ 

# **Company Database:**

```
ssn → {name, salary, dob, dno, superssn, dname, mgrssn }
dno → {dname, mgrssn}
pno → {pname, plocation, dno, dname, mgrssn}
{ssn, pno} → hours
{ssn, dep_name} → {dep_gender, dep_bdate, relationship}
```

Is R(SSN, Name, Salary, DOB, DNO, DName, MGRSSN) in BCNF?

Are Following relations in BCNF?

- Employee(ssn, name, salary, DoB, superssn, dno)
- Department(dno, dname, mgrssn)
- dept\_locations(dno, dlocation)
- Project(<u>pno</u>, pname, plocation, dno)
- works\_on(essn, pno, hours)
- dependent(<u>essn</u>, <u>dep\_name</u>, dep\_bdate, relationship)

#### **DA-ACAD Database:**

Are following relations in BCNF?

- Student(StudetID, StdName, ProgID, Batch, CPI)
- Term(AcadYear, Semester)
- Course(CourseNo, CourseName, Credit)
- Faculty(FacultyID, FacultyName)
- Offers(AcadYear, Semester, CourseNo, FacultyID)
- Registers(StudetID, AcadYear, Semester, CourseNo, course\_grade)
- Result(StudetID, AcadYear, Semester, Semester SPI, Semester CPI)

```
StudetID → {StdName, ProgID, Batch, CPI}

CourseNo → {CourseName, Credit}

FacultyID → FacultyName

{StudetID,AcadYear,Semester} → Semester_SPI

{StudetID,AcadYear,Semester} → Semester_CPI

{StudetID,CourseNo,AcadYear,Semester} → course_grade

{CourseNo,AcadYear,Semester} → FacultyID
```

#### **TGMC** database

- Member(MembID, MembName, MembEmail, TeamID)
- Team(<u>TeamID</u>, TeamPWD, MentorID)
- Mentor(MentorID, MentorName, MentorEmail, InstID)
- Institute(<u>InstID</u>, InstName, City, PIN, State)

#### All Attributes:

MembID, MembName, MembEmail, TeamID, TeamPWD, MentorID, MentorName, MentorEmail, InstID, InstName, City, PIN, State

#### **Given FDs:**

- MembID →{MembName, MembEmail, TeamID, TeamPWD, MentorID, MentorName, MentorEmail, InstID, InstName, City, PIN, State}
- TeamID → {TeamPWD, MentorID, MentorName, MentorEmail, InstID, InstName, City, PIN, State}
- MentorID → {MentorName, MentorEmail, InstID}
- InstID → {InstName, City, PIN, State}
- PIN  $\rightarrow$  {City, State}

# 3rd Normal Form (3NF)

Third Normal Form is less restrictive than BCNF; it relaxes BCNF condition for *prime attributes* (attribute that are part of some candidate key).

A relation is in 3NF, if, for every FD  $\mathbf{A} \rightarrow \mathbf{B}$  that holds on relation R,

- A is its super-key, or
- B is a prime attribute.

An attribute is prime if it is part of some [candidate] key.

Exercise #10: A relation R(A,B,C) having following FDs:  $\{A,B\} \rightarrow C, C \rightarrow A$ 

 $\{A, B\}$  is key.

Relation is not in BCNF but in 3NF?

Note that 3NF definition is relaxed with respect to BCNF. Therefore if a relation is BCNF, it is automatically in 3NF?

Exercise #12: Suppose we have WORKS\_ON as following:

WORKS\_ON(ESSN, PNo, PName, Hours)

FDs (suppose):

- PNO → Pname
- PName  $\rightarrow$  PNo
- $\{ESSN, PNo\} \rightarrow Hours$
- $\{ESSN, PName\} \rightarrow Hours$

Keys: ?

SSN	PNO	PNAME	HOURS
101	1	P-1	38
101	2	P-2	20
102	1	P-1	64
103	2	P-2	58

Is it in BCNF?

Is it in 3NF?

Key: Two keys. {ESSN, PNO}, and {ESSN, PName}

Is it in BCNF? No. First two FDs violate the requirement.

Is it in 3NF? Yes. Right Attributes are Prime Attributes.

Exercises #12: find out if following relations are in 3NF?

Given relation R(SSN, FName, PNO, PName, HOURS), and FD set-

 $\{SSN, PNO\} \rightarrow HOURS$ 

SSN → FNAME

PNO → PNAME

Compute key?

Is R in BCNF?

Is R in 3NF?

101 Sumit 1 P-1 38 101 2 P-2 Sumit 20 102 Vipul 1 P-1 64 2 103 Ajay P-2 58

**PNAME** 

HOURS

**PNO** 

Key: {SSN, PNO}

BCNF? No. Last two FDs violate the requirement!

3NF? No. Again last two FDs violate the requirement!

Exercise #13: is our SP (student-program combined) in BCNF? is it in 3NF?

# Second Normal Form (2NF)

It is classic definition and defined in terms of Key. Second Normal form requires that all non-prime attributes are irreducibly (fully) dependent on key; that is no non-prime attribute should be partially dependent on key.

SSN

**FNAME** 

Note: These dependencies are also checked using FDs.

With this definition does our SP in 2NF?

Yes. It is.

Below is example that is not in 2NF?

 $AB \rightarrow C$ ,  $A \rightarrow D$ ; Key is AB. C and D are non-prime attributes. C is dependent on Key, while D is partially dependent on Key, as there is FD A  $\rightarrow$  D

Note that this definition of 2NF is relaxed with respect to 3NF. Therefore if a relation is 3NF, it is automatically in 2NF

Exercise #14: Does R of our exercise #12 in 2NF?

Exercise #15: A  $\rightarrow$  B, A  $\rightarrow$  C, C  $\rightarrow$  D; is this in 2NF?

Yes.

Key is A; and non-prime attributes are B, C, and D.

B is dependent on Key; FD A  $\rightarrow$  B.

C is dependent on Key; FD A  $\rightarrow$  C.

D is dependent on Key; A  $\rightarrow$  D. (transitively inferred from A  $\rightarrow$  C and C  $\rightarrow$  D

#### Alternate Definition of 3NF from second normal form side

3NF definition: all non-prime attributes are irreducibly and directly dependent on key, transitively dependency is not allowed.

Note that both 3NF(i.e. earlier and this) mean same?

### Does every relation that is in 3NF, also in 2NF?

Yes.

Had we have an  $A \to D$  instead of  $C \to D$  exercise #14, and then it would have been in 3NF, and BCNF as well. In fact 3NF require every non-prime attributes to be dependent on Key (and every Key) and directly – no transitively.

BCNF also essentially requires that every attribute including prime attributes should be dependent on every key.

Exercise #16: Compute Normal Form for

R(CourseNo, Sem, AcadYear, InstructorID, StudentID, Grade)

#### Some Normal Form theorems

- All attribute Key Relation is always in BCNF
  - o If a relation has no FD than key is all attributes, and such relations are always in BCNF
- Also, A relations with only two attribute is always in BCNF

# **Determine Normal Form of a Relation (the method)**

```
Output: Highest Normal Form of a relation Method:
```

Compute all keys from F

//Check for BCNF

Input: Relation R, set of FDs F

If there is any\* FD f that violates BCNF requirement THEN

State "relation is not in BCNF, FD f violates"

Also output other FDs that are violating BCNF requirement.

//Check for 3NF

If there is any\* FD that violates 3NF requirement THEN

State "relation is not in 3NF, FD f violates"

Also output other FDs that are violating 3NF requirement.

//Check for 2NF

If there is any\* ED that violates 2NF requirement THEN

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# **Decomposition**

How do you make the relations in higher normal form? Our following relation PD is in 2 NF; how do you make it in 3NF?

pid characte	pname character vary	intake smallint	did chara	dname character varying(30)
BCS	BTech (CS)	30	CS	Computer Engineering
BEC	BTech (ECE)	40	EE	Electrical Engineering
BEE	BTech (EE)	40	EE	Electrical Engineering
BME	BTech (ME)	40	ME	Mechanical Engineering

Probably, you already know the answer? P(PID,PName,Intake,DID) and D(DID,DName)! Note the repetition of DID in both relations?

How do we decide the split for following relations?

R(ABCD), 
$$\{AB \rightarrow C, A \rightarrow D\}$$
  
R(ABCD),  $\{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$ 

Requires us to have some systematic methodology for split; the process of splitting a relation into multiple relations is called "decomposition".

# Bottom-up relation Design Approach:

We begin with a universal relation that is a relation with all attributes of database. Apply decomposition algorithms; compute multiple relations that are in desirable normal form.

Firs set of decomposition algorithms are based on Functional dependencies. We will see them here.

# **Decomposition Requirements**

Decomposition of a relation R into R1, R2, R3, ... Rm implies, attributes of R spread in said m relation schemas. Any arbitrary decomposition does not serve any purpose rather adds noice to the data. A decomposition needs to comply following requirements-

- Loss-less: JOIN of decomposed relation gives back original relation; suppose r1, r2, r3, .... rm are instances of decomposed relation schemas, then r1 \* r2 \* r3 .... \* rm should yield r of R. [discussed below]
- Attribute Preserving: Union of attributes of all decomposed relation should be equal to attributes of R
- FD Preserving: A FD is said to be lost if its attributes are split into multiple relations. It is desirable that we should not be losing any FD from minimal set; it is ok loosing inferred FD.

# **Lossless Decomposition:**

As already stated, decomposition should guarantee r = r1 \* r2. When it does, then it is "loss-less join decomposition" or simply "loss-less decomposition".

Let us say R(A1,A2,A3,A4,A5,A6) getting decomposed into R1(A1,A2,A3,A4) and R2(A4,A5,A6); that if r is relation instance of R, then instances of R1 and R2 will be computed as following-

$$r1 \leftarrow \pi_{a1,a2,a3,a4}(r)$$
, and  $r2 \leftarrow \pi_{a4,a5,a6}(r)$ 

And lossless decomposition should guarantee r = r1 \* r2

Consider SPD example, and see if they meet out on above requirements?

See if

• Lossless : spd = s \* p \* d

• Attribute Preserving :  $SPD = S \cup P \cup D$ 

• FD preserving:  $F = Fs \cup Fp \cup Fd$ 

studid → name

studid → prog id

studid → cpi

studid → pid

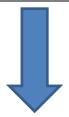
pid → pname

pid → intake

 $pid \rightarrow did$ 

 $did \rightarrow dname$ 

studid charact	name character	cpi numeri	progid characte	pname character varyi	intake smallint	did chara	dname character varying(30)
101	Rahul	8.70	BCS	BTech (CS)	30	CS	Computer Engineering
102	Vikash	6.80	BEC	BTech (ECE)	40	EE	Electrical Engineering
103	Shally	7.40	BEE	BTech (EE)	40	EE	Electrical Engineering
104	Alka	7.90	BEC	BTech (ECE)	40	EE	Electrical Engineering
105	Ravi	9.30	BCS	BTech (CS)	30	CS	Computer Engineering



studid characte	name character :	progid characte	cpi numeri
101	Rahul	BCS	8.70
102	Vikash	BEC	6.80
103	Shally	BEE	7.40
104	Alka	BEC	7.90
105	Ravi	BCS	9.30

pid charac	pname character vary	intake smallint	did charac
BCS	BTech (CS)	30	CS
BEC	BTech (ECE)	40	EE
BEE	BTech (EE)	40	EE
BME	BTech (ME)	40	ME

did chara	dname character varying(30)
CS	Computer Engineering
EE	Electrical Engineering
ME	Mechanical Engineering

studid → name

studid → prog\_id

studid → cpi

 $studid \rightarrow pid$ 

pid	$\rightarrow$	pname
-----	---------------	-------

pid → intake

 $pid \rightarrow did$ 

 $did \rightarrow dname$ 

It is called "loss-less" because natural join of decomposed relation results into original relation, that is, there is no loss of "information".

Lossy decomposition normally results into additional tuples (also referred as spurious tuples) on having natural join of decomposed relations.

# **Example A lossy decomposition**

R(PNO, PNAME, ESSN, HOURS)

101

101

102

103

PNO → PNAME PNAME → PNO  $\{PNO, ESSN\} \rightarrow HOURS$  $\{PNAME, ESSN\} \rightarrow$ **HOURS** 

_			
SSN	PNO	PNAME	HOURS
101	1	PATR	38
101	2	XURT	20
102	1	PATR	64
103	2	XURT	58

SSN	PNO	HOURS
101	1	38
101	2	20
102	1	64
103	2	58

PNO

**PNAME** 

Keys:

{PNO, ESSN},

{PNAME, ESSN}

101	1		12111		50	
101	2		XURT		20	
102	1		PATR		64	
103	2		XURT		58	
SSN		PN	NAME	НО	URS	

38

20

64

58

PATR

**XURT** 

**PATR** 

**XURT** 

PNO	PNAME
1	PATR
2	XURT

		1	PATR
NO	PNAME	2	XURT
	PATR		
	3ZI IDT		

PNO → PNAME

PNAME → PNO

{PNO, ESSN} → HOURS

<del>{PNAME, ESSN} → HOURS</del>

_			
SSN	PNO	PNAME	HOURS
101	1	PATR	38
101	2	XURT	20
102	1	PATR	64
103	3	XURT	58

SSN	PNO	HOURS
101	1	38
101	2	20
102	1	64
103	3	58

SSN	PNAME	HOURS
101	PATR	38
101	XURT	20
102	PATR	64
103	XURT	58

PNO	PNAME
1	PATR
2	XURT
3	XURT

PNO	PNAME
1	PATR
2	XURT
3	XURT

Keys:

{PNO, ESSN}, <del>{PNAME, ESSN}</del>

A test for binary decomposition: Let us say R is decomposed into if R1 and R2, then it is lossless, when we have one of following FD-

ON JOIN we do not get back original relation

- (R1 intersection R2)  $\rightarrow$  (R1-R2), or
- (R1 intersection R2)  $\rightarrow$  (R2-R1), or

Or in other words, common attribute(s) are key of one of the decomposed relation.

# **Decomposition Algorithms**

- BCNF decomposition algorithm
- 3NF synthesis algorithm

# **BCNF** decomposition algorithm

- //Source: Ullman et al
- Input: A relation **R** with set (minimal) of FD's **F**.
- Output: A decomposition of R into a collection of relations, all of which are in BCNF.

```
NR ← {(R,F)}

Repeat till each relation S in NR is in BCNF.

If there is a FD X → Y over relation S that violates BCNF condition.

Compute X<sup>+</sup>, and choose X<sup>+</sup> as one relation as S1, and another S2 as {(S - X<sup>+</sup>) U X}

Map FD set Fs on S1 and S2 and compute Fs1 and Fs2

Replace <S, Fs> in NR with <S1,Fs1> and <S2,Fs2>

Recursively repeat the algorithm on S1 and S2
```

### **Computation of Projected FDs**

Suppose you have a relation R and FD set F on R; let us say R is split into R1 and R2, FDs on R1 and R2 also needs to be projected. Done as following-

- For every FD X  $\rightarrow$  Y on R, of X U R is subset of R1 then X  $\rightarrow$  Y is projected on decomposed relation R1
- This is repeated for every FD in F for every decomposed relation
- At the end we have sets of projected FDs on every decomposed relation.

Consider relation SP(StudID, Name, CPI, ProgID, PName, Intake, DID) and FD set F (given in figure below)

If we break relation SP into S(StudID, Name, CPI, ProgID) and P(ProgID, PName, Intake, DID); based on above understanding we decompose F into Fs and Fp as following. You may note that we could not project some of FDs on either of relation; those FDs are said to be lost. However not really lost, because these are inferred FDs and if we ensure base FDs these are automatically ensured.

F	Fs	Fp
$\mathtt{studid}   o  \mathtt{name}$	$studid \rightarrow name$	progid → pname
studid → progid	studid → progid	progid → intake
studid → cpi	studid → cpi	progid → did
studid → progid	studid → progid	
$studid \rightarrow pname$		
$studid \rightarrow intake$		
studid → did		
progid → pname		
progid → intake		
progid → did		

### Exercise #17: Apply BCNF Decomposition Algo

1. Given relation R(SSN, FName, PNO, PName, HOURS), and FD set-

$$\{SSN, PNO\} \rightarrow HOURS$$
 --FD1  
 $SSN \rightarrow FNAME$  --FD2  
 $PNO \rightarrow PNAME$  --FD3

Key: {SSN, PNO}

FD violates SSN → FNAME violates BCNF requirement

Compute  $SSN^+ = \{SSN, FName\}$ 

```
Have R1(SSN, FName) and R2(SSN, PNO, PName, HOURS), and projected FDs are F1=\{SSN \rightarrow FNAME\} and F2=\{\{SSN, PNO\} \rightarrow HOURS, PNO \rightarrow PNAME\}
```

We can prove that R1 is now in BCNF. But R2 is not; therefore we further apply the algorithm on this, FD that violates the requirement is  $PNO \rightarrow PNAME$ ; compute closure of PNO, we get  $\{PNO, PName\}$ , so we decompose R2 into

R21(PNO, PName) and R22(SSN, PNO, HOURS)

```
Projected FDs are F21 = \{PNO \rightarrow PNAME \} and F22 = \{\{SSN, PNO\} \rightarrow HOURS \}
```

And, can prove that now R21 and R22 both are in BCNF?

Exercise #18: Decompose following relation using BCNF decomposition Algorithm

```
R(ABCDE), {AB \rightarrow C, A \rightarrow D, A \rightarrow E } R1(<u>A</u>DE) and R2(<u>ABC</u>); and FDs are F1={A \rightarrow D, A \rightarrow E} and F2={AB \rightarrow C} And both are in BCNF!
```

Decompose following relation using BCNF decomposition Algorithm-

- 1. R(ABCD),  $\{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$
- 2. **Medicine**(TradeName, GenericName, BatchNo, Stock, MRP, TaxRate, Manufacturer)

TradeName → GenericName

TradeName → Manufacturer

BatchNo → TradeName

BatchNo → Stock

BatchNo → MRP

GenericName → TaxRate

3. Book(ISBN, Title, Author, Publisher, Price, AccessonNo);

AccessonNo → ISBN

ISBN → {Title, Publisher, Price}

BCNF decomposition algorithm guarantees lossless decomposition but does not guarantee FD preservation. For example, consider relation

```
R(A,B,C), and FDs AB \rightarrow C C \rightarrow B
```

Key: AB, AC

FD C  $\rightarrow$  B violates BCNF requirement, and we have following decomposition

$$C^+=BC$$
; R1(BC); R2(AC); F1{ $C\rightarrow B$ }; F2{}.

Though both relations are in BCNF, we lose FD AB  $\rightarrow$  C

Other example can be our WH(PNO, PNAME, ESSN, HOURS) with FDs

PNO → PNAME PNAME → PNO {PNO, ESSN} → HOURS {PNAME, ESSN} → HOURS

Note that there can be two BCNF decompositions based on which FD we begin with, and also note that we will be losing one of the FD3 or FD4. Of course both relations will be lossless and leading to relations in BCNF!

# **3NF Synthesis Algorithm**

3-NF Synthesis algorithm guarantees lossless and preserves FDs, however its resultant relations "may not" (but quiet likely to) be in BCNF, but surely in 3NF.

Here is how it goes-

- Find a minimal FD set on R.
- For each set of FDs where X is determinant; say  $X \rightarrow A1$ ,  $X \rightarrow A2$ , ...  $X \rightarrow An$ ; create a relation schema R'(X U A1 U A2 U ... U An).
- If none of the relation created in above step that contains key of R; then create one more relation schema for key of R.

Example #19: Given relation R(ABCDEF), and FDs, and using 3NF synthesis algo, we get following decomposition

$A \rightarrow B$		R1(ABC)
$A \rightarrow C$	Key: AF	R2(CDE)
$C \rightarrow D$		R(AF)
$C \rightarrow E$		

In 3NF synthesis algorithm, sometimes we may get a relation that is subset of another. In such a case subset relation can be dropped. For example, we have a relation

Example #20: Given relation R(A,B,C,D,E), and FDs, and using 3NF synthesis algo, we have following decomposition

$$\{A,B\} \rightarrow C$$
 $C \rightarrow B$ 
 $A \rightarrow D$ 

Key: ABE
$$R1(ABC)$$

$$R2(CB)$$

$$R3(AD)$$

$$R4(ABE)$$

R2 is subset of R1, and can be dropped.

Which relation of this decomposition is not in BCNF?

==> Attempt decomposing it using BCNF decomposition algorithm?

Exercises: Decompose given following relations decompose them using 3NF synthesis algorithms.

- 1. R(ABCDEF), and FDs
  - $A \rightarrow B$
  - $B \rightarrow CDE$
  - $E \rightarrow F$
- Book(ISBN,Title,Author,Publisher,Price, AccessonNo), and FDs AccessonNo → ISBN
   ISBN → {Title, Publisher, Price}
- 3. R(CourseNo, Sem, AcadYear, InstructorID, StudentID, Grade) {CourseNo, Sem, AcadYear} → InstructorID {CourseNo, Sem, AcadYear, StudentID} → Grade
- 4. LibMember(ID, Name, Type, NoOfBooksCanBeIssued, IssueDuration)
- 5. R (StudentID, SPI, CPI\_UptoDate, CPI\_UptoASem, AcadYr, Sem, ProgCode, CourseNo, Grade)
- 6. IssueLog(IssueDate, MemberID, AccessonNo, DueDate, ReturnDate)