- Reliability
- Flow control
  - Two sides (sender and receiver) have the same data rate handling capability
  - Sliding window protocol for reliable transmission it automatically handles flow control
    - Send one window worth of packets and then wait for acknowledgement
    - As a result of this there is no overflow on the other side
- Connection Management
- Congestion Management (traffic)

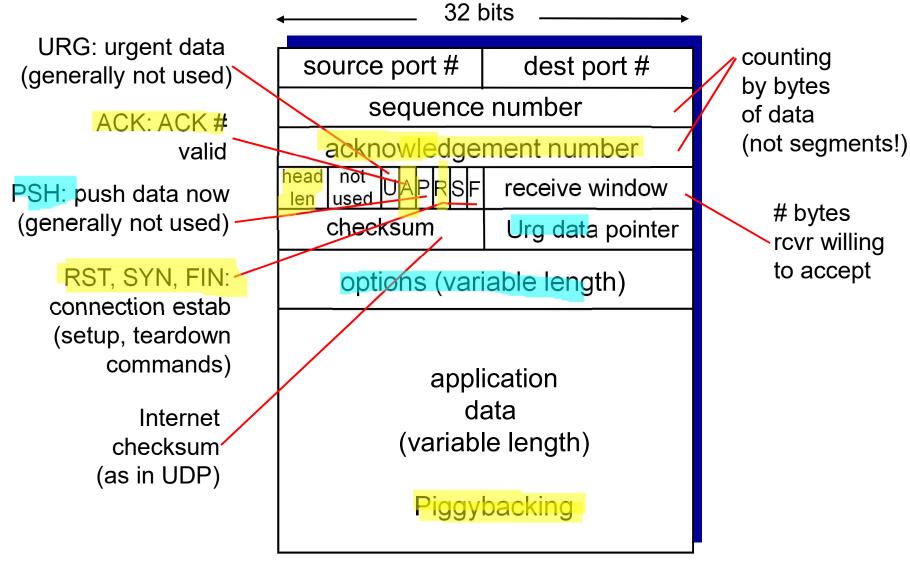
# TCP: Overview RFCs: 793,1122,1323, 2018, 2581

- point-to-point:
  - one sender, one receiver
- reliable, in-order byte steam:
  - no "message boundaries"
- pipelined:
  - TCP congestion and flow control set window size

#### full duplex data:

- bi-directional data flow in same connection
- MSS: maximum segment size
- connection-oriented:
  - handshaking (exchange of control msgs) inits sender, receiver state before data exchange
- flow controlled:
  - sender will not overwhelm receiver

# TCP segment structure



# TCP flow control

application may remove data from TCP socket buffers ....

... slower than TCP receiver is delivering (sender is sending)

### application process application OS TCP socket receiver buffers **TCP** code ΙP code from sender

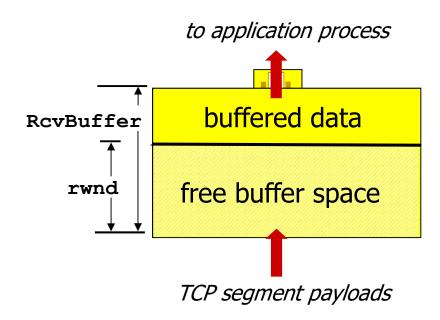
receiver protocol stack

#### flow control

receiver controls sender, so sender won't overflow receiver's buffer by transmitting too much, too fast

# TCP flow control

- receiver "advertises" free buffer space by including rwnd value in TCP header of receiver-to-sender segments
  - RcvBuffer size set via socket options (typical default is 4096 bytes)
  - many operating systems autoadjust RcvBuffer
- sender limits amount of unacked ("in-flight") data to receiver's rwnd value
- guarantees receive buffer will not overflow



receiver-side buffering

#### sockets

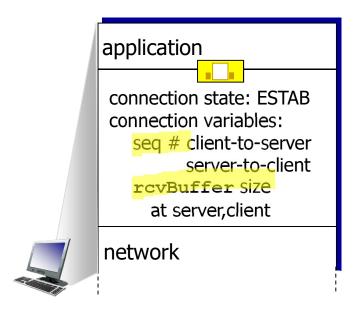
Create socket
 Fill up the addr
 Connect()
 listen()
 accept()

- Underlying network is connection-less
- TCP creates a table that has details of the port# of the corresponding node
- Entry starting seq no, src port#, dest #. Src IP, dest IP.
- Whenever you have a packet received, you search this table for the port#s and map it to the connection-id (socket id)

#### Connection Management

before exchanging data, sender/receiver "handshake":

- agree to establish connection (each knowing the other willing to establish connection)
- agree on connection parameters



```
Socket clientSocket =
  newSocket("hostname","port
  number");
```

```
application

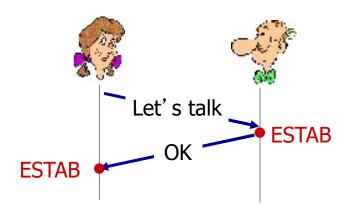
connection state: ESTAB
connection Variables:
  seq # client-to-server
        server-to-client
        rcvBuffer size
        at server,client

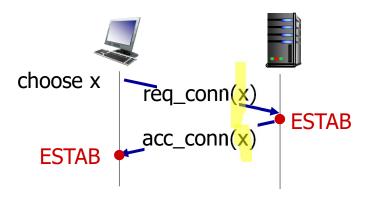
network
```

```
Socket connectionSocket =
  welcomeSocket.accept();
```

#### Agreeing to establish a connection

#### 2-way handshake:

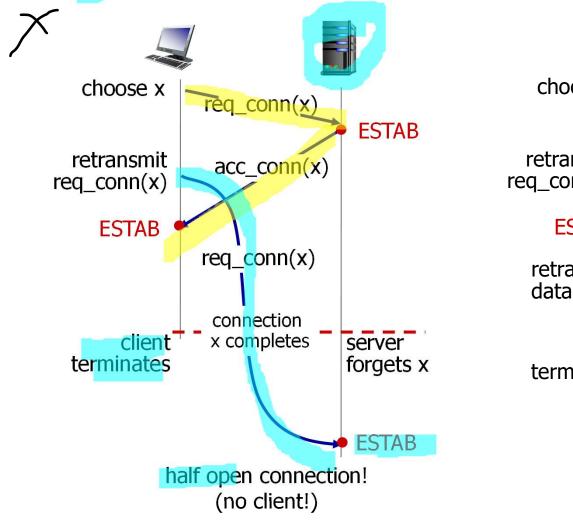


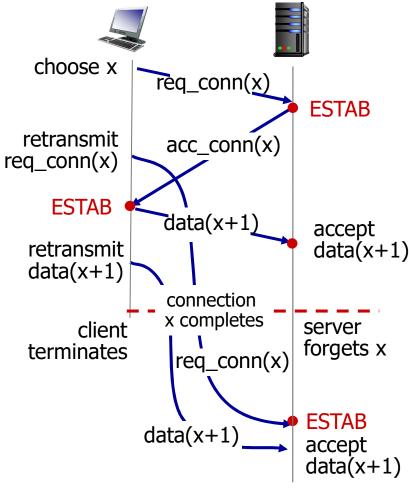


- Q: will 2-way handshake always work in network?
- variable delays
- retransmitted messages (e.g. req\_conn(x)) due to message loss
- message reordering
- can't "see" other side

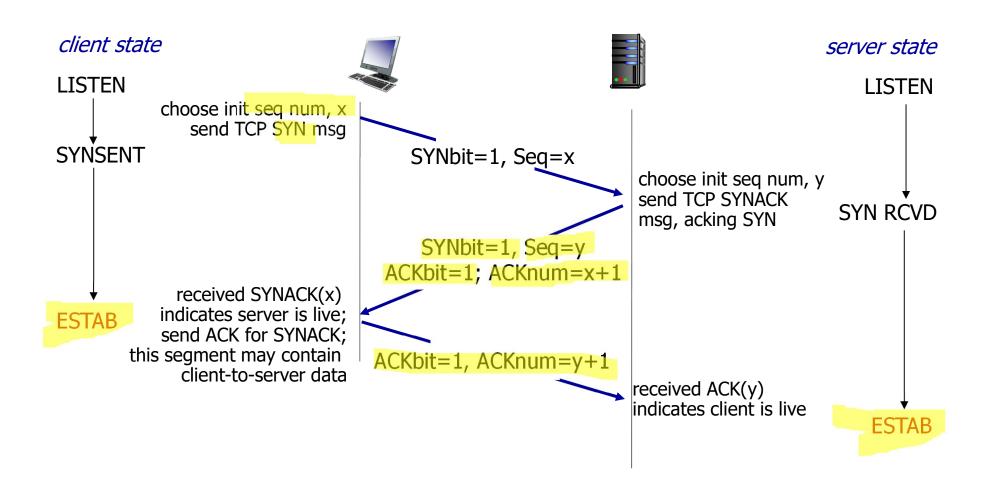
#### Agreeing to establish a connection

#### 2-way handshake failure scenarios:

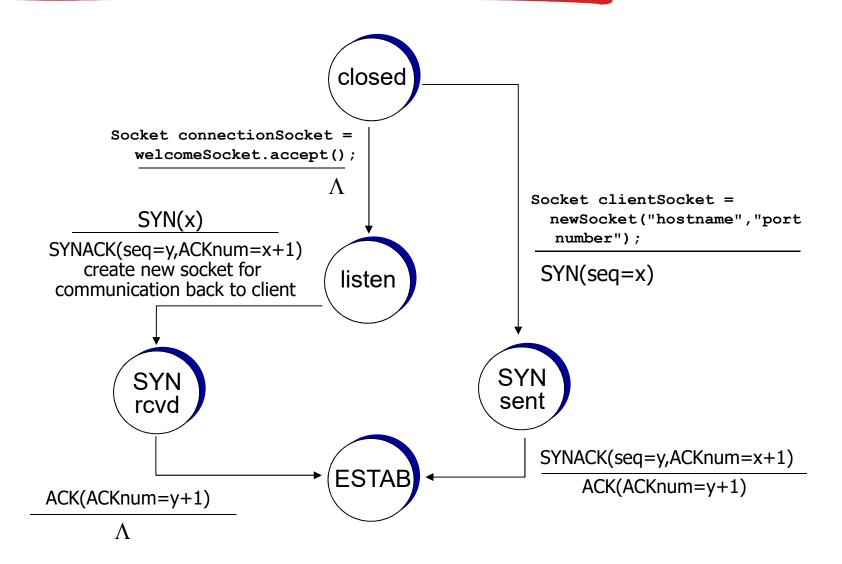




# TCP 3-way handshake



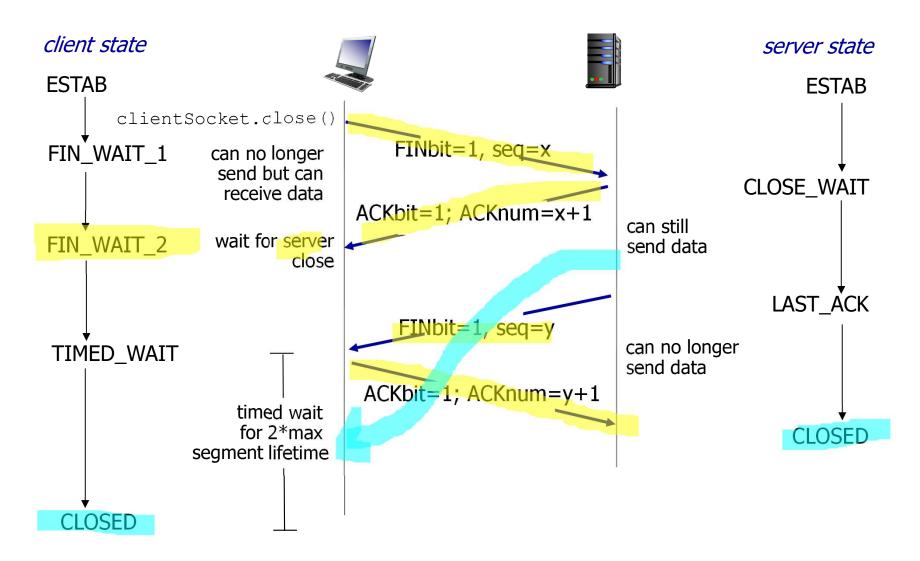
## TCP 3-way handshake: FSM



# TCP: closing a connection

- client, server each close their side of connection
  - send TCP segment with FIN bit = I
- respond to received FIN with ACK
  - on receiving FIN, ACK can be combined with own FIN
- simultaneous FIN exchanges can be handled
- \_\_\_\_\_
- Half open / half closed socket
- Close(send-id) and recv-id is still open

# TCP: closing a connection



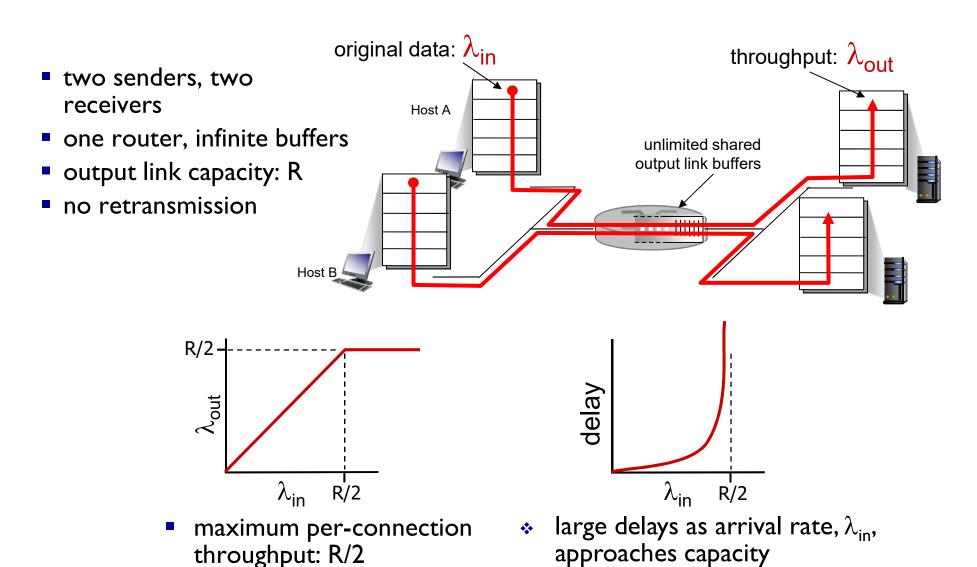
- best effort service, store and forward. (queue- finite buffer)
- Sender (TCP) flow control
- Sender (UDP) no reliability, no flow control
- Buffer can overflow and packets can get lost.
- Road network
  - There are more vehicles than the capacity of the road they we say that road is congested.
  - Not desirable. Same thing happens in Internet as well.
- -----
- Reduce the vehicles coming on the road.
- Expressway controlled entry
- \*> .....\*>
- Entry point and exit point communicate with each other and when one vehicle/packet leaves exit, another vehicle/packet is allowed to enter.
- Ensure that total number of packets remain constant and there is no congestion.
- In TCP, we already have a connected sender/recv and we have ACK.

- Work in steady state
- If your network is already congested, it will remain congested.
- If the network is underutilized, it remains under-utilized.
- -----
- If congestion, tcp must slow down!
- Congestion -> buffer overflow -> packet loss
- -> no ack -> timeout.
- Timeout -> assume that network is congested -> slow down.
- \_\_\_\_\_
- As long as the ack keep coming, increase the rate of transmission (takes care of under-utilization)
- SLOW\_START\_ALGORITHM we are going to study next.

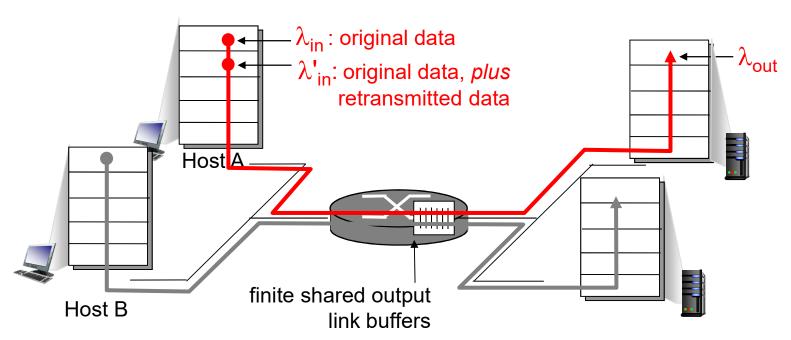
# Principles of congestion control

#### congestion:

- informally: "too many sources sending too much data too fast for network to handle"
- different from flow control!
- manifestations:
  - lost packets (buffer overflow at routers)
  - long delays (queueing in router buffers)
- a top-10 problem!

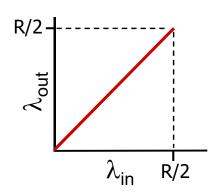


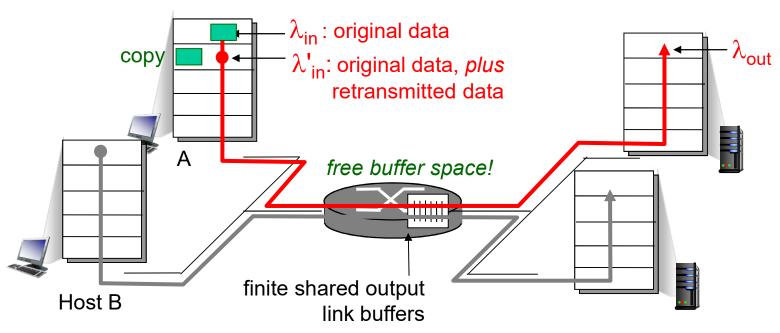
- one router, finite buffers
- sender retransmission of timed-out packet
  - application-layer input = application-layer output:  $\lambda_{\text{in}}$  =  $\lambda_{\text{out}}$
  - transport-layer input includes retransmissions :  $\lambda_{in} \ge \lambda_{in}$



# idealization: perfect knowledge

 sender sends only when router buffers available

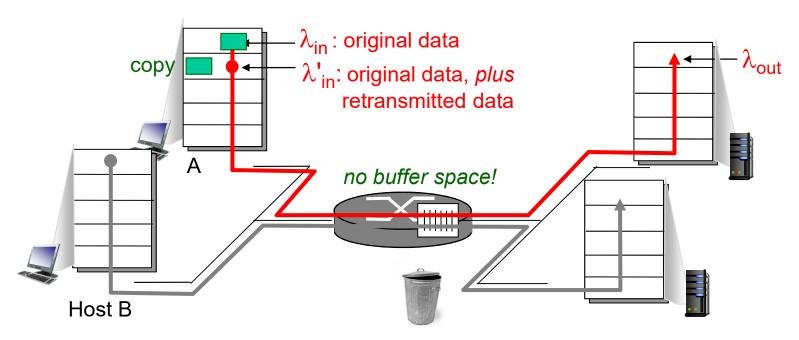




#### Idealization: known loss

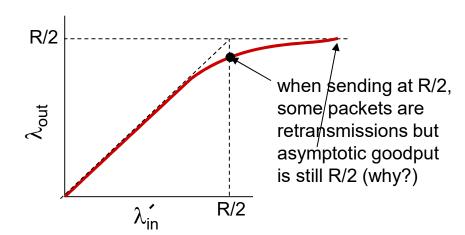
packets can be lost, dropped at router due to full buffers

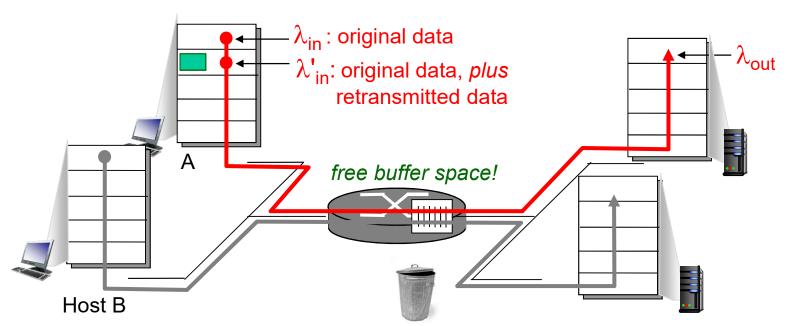
sender only resends if packet known to be lost



# Idealization: known loss packets can be lost, dropped at router due to full buffers

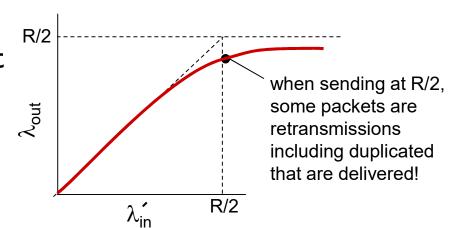
sender only resends if packet known to be lost

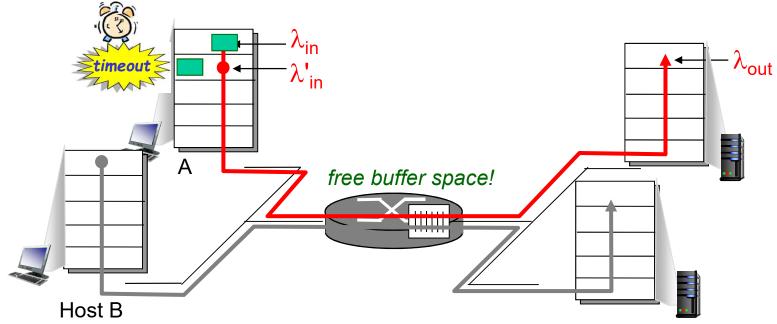




#### Realistic: duplicates

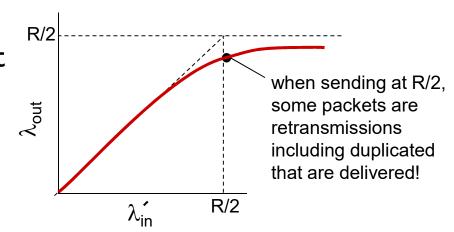
- packets can be lost, dropped at router due to full buffers
- sender times out prematurely, sending two copies, both of which are delivered





#### Realistic: duplicates

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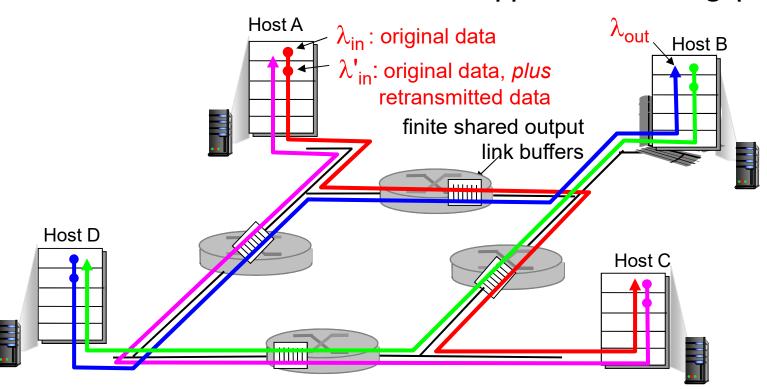
#### "costs" of congestion:

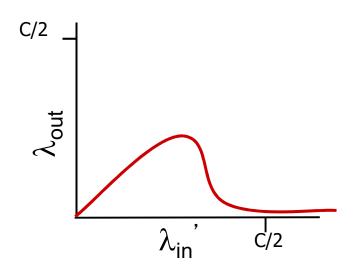
- more work (retrans) for given "goodput"
- unneeded retransmissions: link carries multiple copies of pkt
  - decreasing goodput

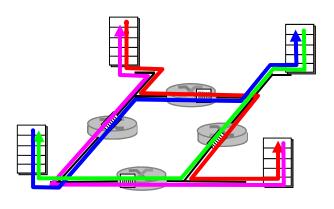
- four senders
- multihop paths
- timeout/retransmit

Q: what happens as  $\lambda_{in}$  and  $\lambda_{in}$  increase?

A: as red  $\lambda_{in}$  increases, all arriving blue pkts at upper queue are dropped, blue throughput  $\rightarrow 0$ 







#### another "cost" of congestion:

when packet dropped, any "upstream transmission capacity used for that packet was wasted!