

VOLUME ONE

# Essential University Physics

Richard Wolfson

FOURTH EDITION



## PHYSICAL CONSTANTS

CONSTANT	SYMBOL	THREE-FIGURE VALUE	BEST KNOWN VALUE*
Speed of light	$c$	$3.00 \times 10^8$ m/s	299,792,458 m/s (exact)
Elementary charge	$e$	$1.60 \times 10^{-19}$ C	$1.602\ 176\ 634 \times 10^{-19}$ C (exact)
Electron mass	$m_e$	$9.11 \times 10^{-31}$ kg	$9.109\ 383\ 56(11) \times 10^{-31}$ kg
Proton mass	$m_p$	$1.67 \times 10^{-27}$ kg	$1.672\ 621\ 898(21) \times 10^{-27}$ kg
Gravitational constant	$G$	$6.67 \times 10^{-11}$ N·m <sup>2</sup> /kg <sup>2</sup>	$6.67408(31) \times 10^{-11}$ N·m <sup>2</sup> /kg <sup>2</sup>
Permeability constant	$\mu_0$	$1.26 \times 10^{-6}$ N/A <sup>2</sup> (H/m)	$12.566\ 370\ 616\ 9(29) \times 10^{-7}$ N/A <sup>2</sup>
Permittivity constant	$\epsilon_0$	$8.85 \times 10^{-12}$ C <sup>2</sup> /N·m <sup>2</sup> (F/m)	$8.854\ 187\ 815\ 8(20) \times 10^{-7}$ C <sup>2</sup> /N·m <sup>2</sup>
Boltzmann's constant	$k$	$1.38 \times 10^{-23}$ J/K	$1.380\ 649 \times 10^{-23}$ J/K (exact)
Universal gas constant	$R$	$8.31$ J/K·mol	$N_A k$ (exact)
Stefan–Boltzmann constant	$\sigma$	$5.67 \times 10^{-8}$ W/m <sup>2</sup> ·K <sup>4</sup>	$5.670\ 367(13) \times 10^{-8}$ W/m <sup>2</sup> ·K <sup>4</sup>
Planck's constant	$h (= 2\pi\hbar)$	$6.63 \times 10^{-34}$ J·s	$6.626\ 070\ 15 \times 10^{-34}$ J·s (exact)
Avogadro's number	$N_A$	$6.02 \times 10^{23}$ mol <sup>-1</sup>	$6.022\ 140\ 76 \times 10^{23}$ mol <sup>-1</sup> (exact)
Bohr radius	$a_0$	$5.29 \times 10^{-11}$ m	$5.291\ 772\ 085\ 9(36) \times 10^{-11}$ m

\*Parentheses indicate uncertainties in last decimal places. Source: U.S. National Institute of Standards and Technology, 2014, 2019 values

## SI PREFIXES

POWER	PREFIX	SYMBOL
$10^{24}$	yotta	Y
$10^{21}$	zetta	Z
$10^{18}$	exa	E
$10^{15}$	peta	P
$10^{12}$	tera	T
$10^9$	giga	G
$10^6$	mega	M
$10^3$	kilo	k
$10^2$	hecto	h
$10^1$	deca	da
$10^0$	—	—
$10^{-1}$	deci	d
$10^{-2}$	centi	c
$10^{-3}$	milli	m
$10^{-6}$	micro	μ
$10^{-9}$	nano	n
$10^{-12}$	pico	p
$10^{-15}$	femto	f
$10^{-18}$	atto	a
$10^{-21}$	zepto	z
$10^{-24}$	yocto	y

## THE GREEK ALPHABET

	UPPERCASE	LOWERCASE
Alpha	A	α
Beta	B	β
Gamma	Γ	γ
Delta	Δ	δ
Epsilon	Ε	ε
Zeta	Ζ	ζ
Eta	Η	η
Theta	Θ	θ
Iota	Ι	ι
Kappa	Κ	κ
Lambda	Λ	λ
Mu	Μ	μ
Nu	Ν	ν
Xi	Ξ	ξ
Omicron	Ο	ο
Pi	Π	π
Rho	Ρ	ρ
Sigma	Σ	σ
Tau	Τ	τ
Upsilon	Υ	υ
Phi	Φ	φ
Chi	Χ	χ
Psi	Ψ	ψ
Omega	Ω	ω

## Conversion Factors (more conversion factors in Appendix C)

### Length

1 in = 2.54 cm
1 mi = 1.609 km
1 ft = 0.3048 m
1 light year = $9.46 \times 10^{15}$ m

### Velocity

1 mi/h = 0.447 m/s
1 m/s = 2.24 mi/h = 3.28 ft/s

### Mass, energy, force

1 u = $1.661 \times 10^{-27}$ kg
1 cal = 4.184 J
1 Btu = 1.054 kJ
1 kWh = 3.6 MJ
1 eV = $1.602 \times 10^{-19}$ J
1 pound (lb) = 4.448 N = weight of 0.454 kg

### Time

1 day = 86,400 s
1 year = $3.156 \times 10^7$ s

### Pressure

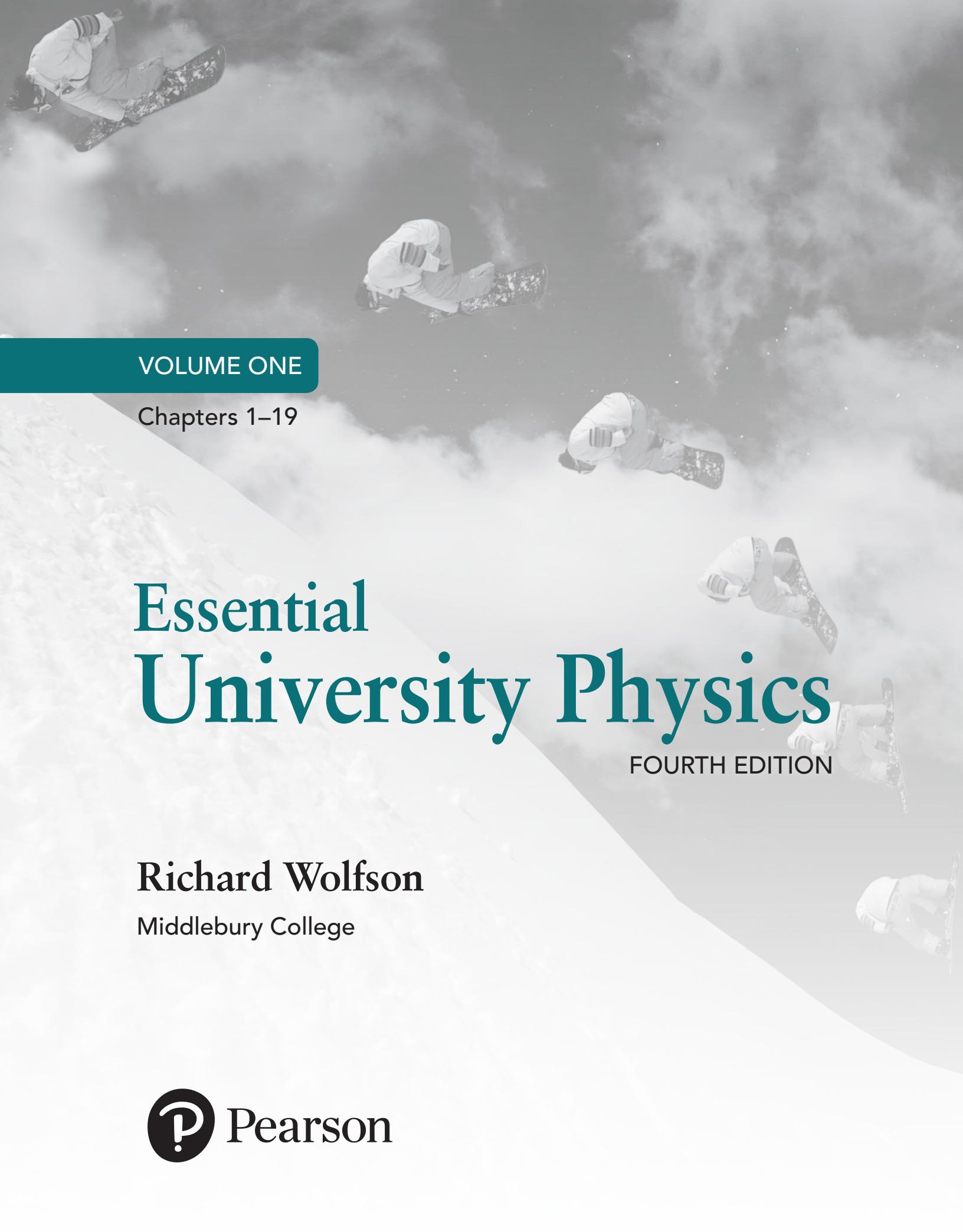
1 atm = 101.3 kPa = 760 mm Hg
1 atm = 14.7 lb/in <sup>2</sup>

### Rotation and angle

1 rad = $180^\circ/\pi = 57.3^\circ$
1 rev = $360^\circ = 2\pi$ rad
1 rev/s = 60 rpm

### Magnetic field

1 gauss = $10^{-4}$ T
-----------------------



VOLUME ONE

Chapters 1–19

# Essential University Physics

FOURTH EDITION

Richard Wolfson

Middlebury College



Pearson

*Physics & Astronomy Portfolio Analyst:* Ian Desrosiers  
*Director of Physical Science Portfolio:* Jeanne Zalesky  
*Content Producer:* Tiffany Mok  
*Managing Producer:* Kristen Flathman  
*Courseware Director, Content Development:*  
Barbara Yien  
*Courseware Analyst:* Coleen Morrison  
*Development Editor:* John Murdzek  
*Courseware Editorial Assistant:* Frances Lai  
*Rich Media Content Producer:* Alison Candlin  
*Mastering Media Producer:* David Hoogewerff  
*Full-Service Vendor:* Integra Software Services  
*Copyeditor:* Daniel Nighting  
*Composer:* Integra Software Services

*Art Coordinator:* Troutt Visual Services  
*Design Manager:* Maria Guglielmo Walsh  
*Interior Designer:* Wee Design Group  
*Cover Designer:* Wee Design Group  
*Illustrators:* Troutt Visual Services  
*Rights & Permissions Project Manager:* Carol Ylanan  
and John Paul Belciña  
*Rights & Permissions Management:* SPi Global  
*Manufacturing Buyer:* Stacey Weinberger  
*Director of Field Marketing:* Tim Galligan  
*Director of Product Marketing:* Alison Rona  
*Field Marketing Manager:* Yez Alayan  
*Product Marketing Manager:* Elizabeth Bell  
*Cover Photo Credit:* Technotri/Vetta/Getty Images

Copyright © 2020, 2016, 2012 Pearson Education, Inc. 221 River Street, Hoboken, NJ 07030. All Rights Reserved. Printed in the United States of America. This publication is protected by copyright, and permission should be obtained from the publisher prior to any prohibited reproduction, storage in a retrieval system, or transmission in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise. For information regarding permissions, request forms and the appropriate contacts within the Pearson Education Global Rights & Permissions department.

Attributions of third party content appear on page C-1, which constitutes an extension of this copyright page.

PEARSON, ALWAYS LEARNING, Mastering™ Physics are exclusive trademarks in the U.S. and/or other countries owned by Pearson Education, Inc. or its affiliates.

Unless otherwise indicated herein, any third-party trademarks that may appear in this work are the property of their respective owners and any references to third-party trademarks, logos or other trade dress are for demonstrative or descriptive purposes only. Such references are not intended to imply any sponsorship, endorsement, authorization, or promotion of Pearson's products by the owners of such marks, or any relationship between the owner and Pearson Education, Inc. or its affiliates, authors, licensees or distributors.

#### **Library of Congress Cataloging-in-Publication Data**

Names: Wolfson, Richard, author.  
Title: Essential university physics / Richard Wolfson.  
Description: Fourth edition. | New York : Pearson Education, [2020] |  
Includes index.  
Identifiers: LCCN 2018031561 | ISBN 9780134988559 (softcover : v. 1) |  
ISBN 9780134988566 (softcover : v. 2)  
Subjects: LCSH: Physics—Textbooks.  
Classification: LCC QC21.3 .W65 2020 | DDC 530—dc23  
LC record available at <https://lccn.loc.gov/2018031561>

**(Student edition)**  
ISBN 10: 0-134-98855-8  
ISBN 13: 978-0-134-98855-9

**(Looseleaf edition)**  
ISBN 10: 0-135-26466-9  
ISBN 13: 978-0-135-26466-9

# Brief Contents

**Chapter 1** Doing Physics 1

## PART ONE

### Mechanics 15

**Chapter 2** Motion in a Straight Line 16

**Chapter 3** Motion in Two and Three Dimensions 34

**Chapter 4** Force and Motion 54

**Chapter 5** Using Newton's Laws 74

**Chapter 6** Energy, Work, and Power 94

**Chapter 7** Conservation of Energy 113

**Chapter 8** Gravity 134

**Chapter 9** Systems of Particles 150

**Chapter 10** Rotational Motion 175

**Chapter 11** Rotational Vectors and Angular Momentum 196

**Chapter 12** Static Equilibrium 211

## PART TWO

### Oscillations, Waves, and Fluids 228

**Chapter 13** Oscillatory Motion 229

**Chapter 14** Wave Motion 250

**Chapter 15** Fluid Motion 274

## PART THREE

### Thermodynamics 294

**Chapter 16** Temperature and Heat 295

**Chapter 17** The Thermal Behavior of Matter 313

**Chapter 18** Heat, Work, and the First Law of Thermodynamics 328

**Chapter 19** The Second Law of Thermodynamics 345

## PART FOUR

### Electromagnetism 367

**Chapter 20** Electric Charge, Force, and Field 368

**Chapter 21** Gauss's Law 389

**Chapter 22** Electric Potential 414

**Chapter 23** Electrostatic Energy and Capacitors 434

**Chapter 24** Electric Current 449

**Chapter 25** Electric Circuits 467

**Chapter 26** Magnetism: Force and Field 488

**Chapter 27** Electromagnetic Induction 516

**Chapter 28** Alternating-Current Circuits 545

**Chapter 29** Maxwell's Equations and Electromagnetic Waves 564

## PART FIVE

### Optics 588

**Chapter 30** Reflection and Refraction 589

**Chapter 31** Images and Optical Instruments 603

**Chapter 32** Interference and Diffraction 624

## PART SIX

### Modern Physics 647

**Chapter 33** Relativity 648

**Chapter 34** Particles and Waves 674

**Chapter 35** Quantum Mechanics 694

**Chapter 36** Atomic Physics 711

**Chapter 37** Molecules and Solids 730

**Chapter 38** Nuclear Physics 749

**Chapter 39** From Quarks to the Cosmos 777

**Appendix A.** Mathematics A-1

**Appendix B.** The International System of Units (SI) A-9

**Appendix C.** Conversion Factors A-11

**Appendix D.** The Elements A-13

**Appendix E.** Astrophysical Data A-16

Answers to Odd-Numbered Problems A-17

Credits C-1

Index I-2

# Detailed Contents

Volume 1 contains Chapters 1–19

Volume 2 contains Chapters 20–39

## **Chapter 1** Doing Physics 1

- 1.1 Realms of Physics 1
- 1.2 Measurements and Units 2
- 1.3 Working with Numbers 5
- 1.4 Strategies for Learning Physics 8

## PART ONE

### Mechanics 15

#### **Chapter 2** Motion in a Straight Line 16

- 2.1 Average Motion 16
- 2.2 Instantaneous Velocity 18
- 2.3 Acceleration 20
- 2.4 Constant Acceleration 22
- 2.5 The Acceleration of Gravity 25
- 2.6 When Acceleration Isn't Constant 27

#### **Chapter 3** Motion in Two and Three Dimensions 34

- 3.1 Vectors 34
- 3.2 Velocity and Acceleration Vectors 37
- 3.3 Relative Motion 38
- 3.4 Constant Acceleration 40
- 3.5 Projectile Motion 41
- 3.6 Uniform Circular Motion 46

#### **Chapter 4** Force and Motion 54

- 4.1 The Wrong Question 54
- 4.2 Newton's First and Second Laws 55
- 4.3 Forces 59
- 4.4 The Force of Gravity 60
- 4.5 Using Newton's Second Law 62
- 4.6 Newton's Third Law 65

#### **Chapter 5** Using Newton's Laws 74

- 5.1 Using Newton's Second Law 74
- 5.2 Multiple Objects 77

5.3 Circular Motion 79

5.4 Friction 83

5.5 Drag Forces 88

#### **Chapter 6** Energy, Work, and Power 94

- 6.1 Energy 94
- 6.2 Work 96
- 6.3 Forces That Vary 99
- 6.4 Kinetic Energy 103
- 6.5 Power 105

#### **Chapter 7** Conservation of Energy 113

- 7.1 Conservative and Nonconservative Forces 114
- 7.2 Potential Energy 115
- 7.3 Conservation of Mechanical Energy 119
- 7.4 Nonconservative Forces 122
- 7.5 Conservation of Energy 124
- 7.6 Potential-Energy Curves 125

#### **Chapter 8** Gravity 134

- 8.1 Toward a Law of Gravity 134
- 8.2 Universal Gravitation 135
- 8.3 Orbital Motion 137
- 8.4 Gravitational Energy 140
- 8.5 The Gravitational Field 144

#### **Chapter 9** Systems of Particles 150

- 9.1 Center of Mass 150
- 9.2 Momentum 156
- 9.3 Kinetic Energy of a System 160
- 9.4 Collisions 161
- 9.5 Totally Inelastic Collisions 162
- 9.6 Elastic Collisions 164

#### **Chapter 10** Rotational Motion 175

- 10.1 Angular Velocity and Acceleration 175
- 10.2 Torque 178
- 10.3 Rotational Inertia and the Analog of Newton's Law 180

10.4 Rotational Energy 185	15.4 Fluid Dynamics 280
10.5 Rolling Motion 187	15.5 Applications of Fluid Dynamics 283
<b>Chapter 11</b> Rotational Vectors and Angular Momentum 196	15.6 Viscosity and Turbulence 287
11.1 Angular Velocity and Acceleration Vectors 196	
11.2 Torque and the Vector Cross Product 197	
11.3 Angular Momentum 199	
11.4 Conservation of Angular Momentum 201	
11.5 Gyroscopes and Precession 203	
<b>Chapter 12</b> Static Equilibrium 211	
12.1 Conditions for Equilibrium 211	
12.2 Center of Gravity 213	
12.3 Examples of Static Equilibrium 214	
12.4 Stability 216	
<b>PART TWO</b>	
<b>Oscillations, Waves, and Fluids 228</b>	
<b>Chapter 13</b> Oscillatory Motion 229	
13.1 Describing Oscillatory Motion 230	
13.2 Simple Harmonic Motion 231	
13.3 Applications of Simple Harmonic Motion 234	
13.4 Circular Motion and Harmonic Motion 238	
13.5 Energy in Simple Harmonic Motion 239	
13.6 Damped Harmonic Motion 241	
13.7 Driven Oscillations and Resonance 242	
<b>Chapter 14</b> Wave Motion 250	
14.1 Waves and Their Properties 250	
14.2 Wave Math 252	
14.3 Waves on a String 254	
14.4 Wave Energy 255	
14.5 Sound Waves 257	
14.6 Interference 258	
14.7 Reflection and Refraction 261	
14.8 Standing Waves 263	
14.9 The Doppler Effect and Shock Waves 265	
<b>Chapter 15</b> Fluid Motion 274	
15.1 Density and Pressure 274	
15.2 Hydrostatic Equilibrium 275	
15.3 Archimedes' Principle and Buoyancy 278	
<b>PART THREE</b>	
<b>Thermodynamics 294</b>	
<b>Chapter 16</b> Temperature and Heat 295	
16.1 Heat, Temperature, and Thermodynamic Equilibrium 295	
16.2 Heat Capacity and Specific Heat 297	
16.3 Heat Transfer 299	
16.4 Thermal-Energy Balance 305	
<b>Chapter 17</b> The Thermal Behavior of Matter 313	
17.1 Gases 313	
17.2 Phase Changes 318	
17.3 Thermal Expansion 321	
<b>Chapter 18</b> Heat, Work, and the First Law of Thermodynamics 328	
18.1 The First Law of Thermodynamics 328	
18.2 Thermodynamic Processes 330	
18.3 Specific Heats of an Ideal Gas 338	
<b>Chapter 19</b> The Second Law of Thermodynamics 345	
19.1 Reversibility and Irreversibility 345	
19.2 The Second Law of Thermodynamics 346	
19.3 Applications of the Second Law 350	
19.4 Entropy and Energy Quality 353	
<b>PART FOUR</b>	
<b>Electromagnetism 367</b>	
<b>Chapter 20</b> Electric Charge, Force, and Field 368	
20.1 Electric Charge 368	
20.2 Coulomb's Law 369	
20.3 The Electric Field 373	
20.4 Fields of Charge Distributions 375	
20.5 Matter in Electric Fields 380	
<b>Chapter 21</b> Gauss's Law 389	
21.1 Electric Field Lines 389	
21.2 Electric Field and Electric Flux 391	
21.3 Gauss's Law 394	
21.4 Using Gauss's Law 396	

21.5 Fields of Arbitrary Charge Distributions	403	27.5 Magnetic Energy	533
21.6 Gauss's Law and Conductors	404	27.6 Induced Electric Fields	536
<b>Chapter 22</b> Electric Potential	414	<b>Chapter 28</b> Alternating-Current Circuits	545
22.1 Electric Potential Difference	414	28.1 Alternating Current	545
22.2 Calculating Potential Difference	418	28.2 Circuit Elements in AC Circuits	546
22.3 Potential Difference and the Electric Field	424	28.3 <i>LC</i> Circuits	550
22.4 Charged Conductors	427	28.4 Driven <i>RLC</i> Circuits and Resonance	553
<b>Chapter 23</b> Electrostatic Energy and Capacitors	434	28.5 Power in AC Circuits	556
23.1 Electrostatic Energy	434	28.6 Transformers and Power Supplies	557
23.2 Capacitors	435	<b>Chapter 29</b> Maxwell's Equations and Electromagnetic Waves	564
23.3 Using Capacitors	437	29.1 The Four Laws of Electromagnetism	564
23.4 Energy in the Electric Field	441	29.2 Ambiguity in Ampère's Law	565
<b>Chapter 24</b> Electric Current	449	29.3 Maxwell's Equations	567
24.1 Electric Current	449	29.4 Electromagnetic Waves	568
24.2 Conduction Mechanisms	452	29.5 Properties of Electromagnetic Waves	572
24.3 Resistance and Ohm's Law	456	29.6 The Electromagnetic Spectrum	576
24.4 Electric Power	458	29.7 Producing Electromagnetic Waves	577
24.5 Electrical Safety	459	29.8 Energy and Momentum in Electromagnetic Waves	578
<b>Chapter 25</b> Electric Circuits	467		
25.1 Circuits, Symbols, and Electromotive Force	467		
25.2 Series and Parallel Resistors	468		
25.3 Kirchhoff's Laws and Multiloop Circuits	474		
25.4 Electrical Measurements	476		
25.5 Capacitors in Circuits	477		
<b>Chapter 26</b> Magnetism: Force and Field	488		
26.1 What Is Magnetism?	488		
26.2 Magnetic Force and Field	489		
26.3 Charged Particles in Magnetic Fields	491		
26.4 The Magnetic Force on a Current	493		
26.5 Origin of the Magnetic Field	495		
26.6 Magnetic Dipoles	498		
26.7 Magnetic Matter	501		
26.8 Ampère's Law	503		
<b>Chapter 27</b> Electromagnetic Induction	516		
27.1 Induced Currents	516		
27.2 Faraday's Law	518		
27.3 Induction and Energy	522		
27.4 Inductance	528		

**PART FIVE****Optics 588**


---

<b>Chapter 30</b> Reflection and Refraction	589
---	-----

30.1 Reflection	589
-----------------	-----

30.2 Refraction	591
-----------------	-----

30.3 Total Internal Reflection	593
--------------------------------	-----

30.4 Dispersion	595
-----------------	-----

---

<b>Chapter 31</b> Images and Optical Instruments	603
--	-----

31.1 Images with Mirrors	603
--------------------------	-----

31.2 Images with Lenses	608
-------------------------	-----

31.3 Refraction in Lenses: The Details	611
--	-----

31.4 Optical Instruments	614
--------------------------	-----

---

<b>Chapter 32</b> Interference and Diffraction	624
--	-----

32.1 Coherence and Interference	624
---------------------------------	-----

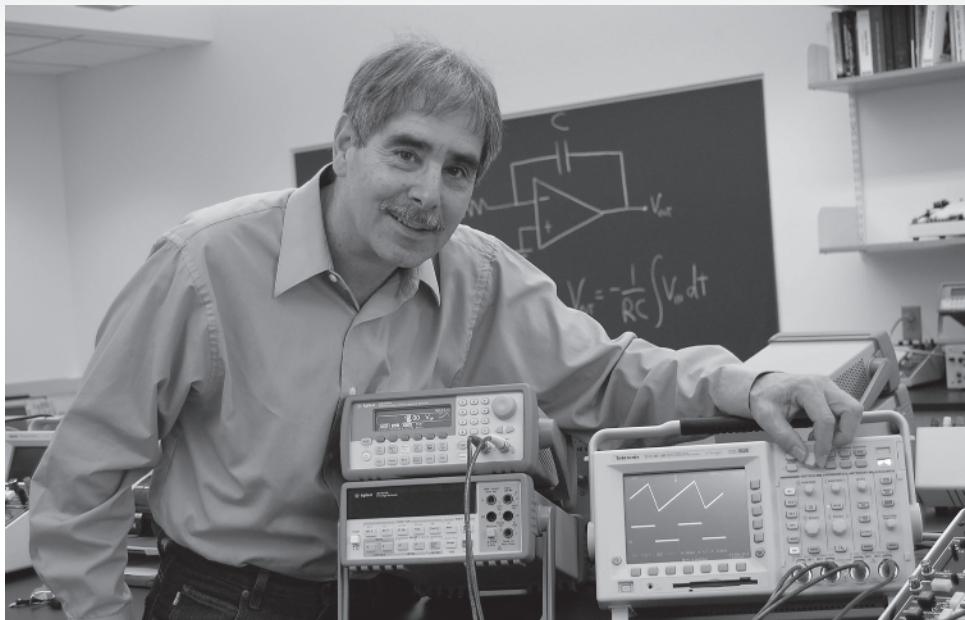
32.2 Double-Slit Interference	626
-------------------------------	-----

32.3 Multiple-Slit Interference and Diffraction Gratings	629
--	-----

32.4 Interferometry	633
---------------------	-----

32.5 Huygens' Principle and Diffraction	635	36.3 The Exclusion Principle	718
32.6 The Diffraction Limit	638	36.4 Multielectron Atoms and the Periodic Table	719
<b>PART SIX</b>		36.5 Transitions and Atomic Spectra	723
<b>Modern Physics 647</b>		<b>Chapter 37</b> Molecules and Solids	730
<b>Chapter 33</b> Relativity	648	37.1 Molecular Bonding	730
33.1 Speed c Relative to What?	649	37.2 Molecular Energy Levels	732
33.2 Matter, Motion, and the Ether	649	37.3 Solids	735
33.3 Special Relativity	651	37.4 Superconductivity	741
33.4 Space and Time in Relativity	652	<b>Chapter 38</b> Nuclear Physics	749
33.5 Simultaneity Is Relative	657	38.1 Elements, Isotopes, and Nuclear Structure	749
33.6 The Lorentz Transformations	659	38.2 Radioactivity	754
33.7 Energy and Momentum in Relativity	662	38.3 Binding Energy and Nucleosynthesis	760
33.8 Electromagnetism and Relativity	666	38.4 Nuclear Fission	762
33.9 General Relativity	667	38.5 Nuclear Fusion	768
<b>Chapter 34</b> Particles and Waves	674	<b>Chapter 39</b> From Quarks to the Cosmos	777
34.1 Toward Quantum Theory	674	39.1 Particles and Forces	777
34.2 Blackbody Radiation	675	39.2 Particles and More Particles	778
34.3 Photons	677	39.3 Quarks and the Standard Model	782
34.4 Atomic Spectra and the Bohr Atom	680	39.4 Unification	785
34.5 Matter Waves	684	39.5 The Evolving Universe	787
34.6 The Uncertainty Principle	686		
34.7 Complementarity	688		
<b>Chapter 35</b> Quantum Mechanics	694	<b>APPENDICES</b>	
35.1 Particles, Waves, and Probability	695	<b>Appendix A</b> Mathematics	A-1
35.2 The Schrödinger Equation	696	<b>Appendix B</b> The International System of Units (SI)	A-9
35.3 Particles and Potentials	698	<b>Appendix C</b> Conversion Factors	A-11
35.4 Quantum Mechanics in Three Dimensions	705	<b>Appendix D</b> The Elements	A-13
35.5 Relativistic Quantum Mechanics	705	<b>Appendix E</b> Astrophysical Data	A-16
<b>Chapter 36</b> Atomic Physics	711	Answers to Odd-Numbered Problems	A-17
36.1 The Hydrogen Atom	711	Credits	C-1
36.2 Electron Spin	715	Index	I-2

## About the Author



### Richard Wolfson

Richard Wolfson is the Benjamin F. Wissler Professor of Physics at Middlebury College, where he has taught since 1976. He did undergraduate work at MIT and Swarthmore College, and he holds an M.S. from the University of Michigan and a Ph.D. from Dartmouth. His ongoing research on the Sun's corona and climate change has taken him to sabbaticals at the National Center for Atmospheric Research in Boulder, Colorado; St. Andrews University in Scotland; and Stanford University.

Rich is a committed and passionate teacher. This is reflected in his many publications for students and the general public, including the video series *Einstein's Relativity and the Quantum Revolution: Modern Physics for Nonscientists* (The Teaching Company, 1999), *Physics in Your Life* (The Teaching Company, 2004), *Physics and Our Universe: How It All Works* (The Teaching Company, 2011), and *Understanding Modern Electronics* (The Teaching Company, 2014); books *Nuclear Choices: A Citizen's Guide to Nuclear Technology* (MIT Press, 1993), *Simply Einstein: Relativity Demystified* (W. W. Norton, 2003), and *Energy, Environment, and Climate* (W. W. Norton, third edition, 2018); and articles for *Scientific American* and the *World Book Encyclopedia*.

Outside of his research and teaching, Rich enjoys hiking, canoeing, gardening, cooking, and watercolor painting.

# Preface to the Instructor

Introductory physics texts have grown ever larger, more massive, more encyclopedic, more colorful, and more expensive. *Essential University Physics* bucks that trend—without compromising coverage, pedagogy, or quality. The text benefits from the author’s four decades of teaching introductory physics, seeing firsthand the difficulties and misconceptions that students face as well as the GOT IT? moments when big ideas become clear. It also builds on the author’s honing multiple editions of a previous calculus-based textbook and on feedback from hundreds of instructors and students.

## Goals of This Book

Physics is the fundamental science, at once fascinating, challenging, and subtle—and yet simple in a way that reflects the few basic principles that govern the physical universe. My goal is to bring this sense of physics alive for students in a range of academic disciplines who need a solid calculus-based physics course—whether they’re engineers, physics majors, premeds, biologists, chemists, geologists, mathematicians, computer scientists, or other majors. My own courses are populated by just such a variety of students, and among my greatest joys as a teacher is having students who took a course only because it was required say afterward that they really enjoyed their exposure to the ideas of physics. More specifically, my goals include:

- Helping students build the analytical and quantitative skills and confidence needed to apply physics in problem solving for science and engineering.
- Addressing key misconceptions and helping students build a stronger conceptual understanding.
- Helping students see the relevance and excitement of the physics they’re studying with contemporary applications in science, technology, and everyday life.
- Helping students develop an appreciation of the physical universe at its most fundamental level.
- Engaging students with an informal, conversational writing style that balances precision with approachability.

## New to the Fourth Edition

The emphasis in this fourth-edition revision has been on pedagogical features, including substantial updates to the end-of-chapter problem sets, learning outcomes, annotated equations, and new, contemporary applications. In addition, I’ve responded—as I have in previous editions—to the many suggestions made by my colleagues, by instructors around the world, and by reviewers engaged to help make this the most student-friendly and pedagogically useful edition of *Essential University Physics*. And, as always, I’ve been on the lookout for new developments in physics and technology to incorporate into the text.

- Chapter opening pages have been redesigned to include explicit lists of **learning outcomes** associated with each chapter. Learning outcomes appear at the appropriate section headings and are also keyed with specific problems.
- End-of-chapter problem sets each have between 15% and 20% **new problems**. Many of the new problems are of intermediate difficulty, featuring multiple steps and requiring a clear understanding of problem-solving strategies. I’ve also increased the number of estimation problems and of problems involving symbolic rather than numerical answers. Still other new problems feature contemporary real-world situations.

- Among the most exciting of the new features—and one that gave me both great challenges and great professional satisfaction—are the **Example Variation (EV)** problems. These two sets of four related problems in each chapter, each set based on one of the chapter’s worked examples, help the student make connections, enhance her understanding of physics, and build confidence in solving problems different from ones she’s seen before. The first problem in each set is essentially the example problem but with different numbers. The second presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios. Working these problems ensures first that the student understands the worked example and then gradually takes her out of her comfort zone to explore new physics, more challenging math, and more complex problem solving.
- Students should perceive a physics textbook as more than a list of equations to consult in solving assigned problems. *Essential University Physics* has always helped students avoid this unfortunate approach to physics. Earlier editions had a few instances where I felt an equation was so important that I developed a separate figure that was essentially an “anatomy” of the equation, with annotations pointing to and explaining the terms in the equation. The new edition extends this approach with **annotated key equations**, giving life to and understanding of all the most important and fundamental equations as statements about the physical universe rather than mere math into which numbers get plugged.
- A host of **new applications** connects physics concepts that students are learning with contemporary technological and biomedical innovations, as well as recent scientific discoveries. A sample of new applications includes the acceleration of striking rattlesnakes, gravitational wave detection and multimessenger astronomy, earthquake resonance effects, the *New Horizons* mission to Pluto, the audacious *Starshot* project, the graded-index lenses of squids’ eyes, and environmental and energy issues.
- As with earlier revisions, I’ve incorporated new research results, new applications of physics principles, and findings from physics education research.
- Finally, this edition includes the 2019 revision of the SI—the international system of units—which represents the most significant change the SI has undergone in more than a century.

## Pedagogical Innovations

---

This book is *concise*, but it’s also *progressive* in its embrace of proven techniques from physics education research and *strategic* in its approach to learning physics. Chapter 1 introduces the IDEA framework for problem solving, and every one of the book’s subsequent **worked examples** employs this framework. IDEA—an acronym for Identify, Develop, Evaluate, Assess—is not a “cookbook” method for students to apply mindlessly, but rather a tool for organizing students’ thinking and discouraging equation hunting. It begins with an interpretation of the problem and an identification of the key physics concepts involved; develops a plan for reaching the solution; carries out the mathematical evaluation; and assesses the solution to see that it makes sense, to compare the example with others, and to mine additional insights into physics. In nearly all of the text’s worked examples, the Develop phase includes making a drawing, and most of these use a hand-drawn style to encourage students to make their own drawings—a step that research suggests they often skip. IDEA provides a common approach to all physics problem solving, an approach that emphasizes the conceptual unity of physics and helps break the typical student view of physics as a hodgepodge of equations and unrelated ideas. In addition to IDEA-based worked examples, other pedagogical features include:

- **Problem-Solving Strategy boxes** that follow the IDEA framework to provide detailed guidance for specific classes of physics problems, such as Newton’s second law, conservation of energy, thermal-energy balance, Gauss’s law, or multiloop circuits.
- **Tactics boxes** that reinforce specific essential skills such as differentiation, setting up integrals, vector products, drawing free-body diagrams, simplifying series and parallel circuits, or ray tracing.

- **QR** codes at the end of each chapter link to resources on Mastering Physics, including video tutorials. These “Pause and predict” videos of key physics concepts ask students to submit a prediction before they see the outcome. The videos are also available in the Study Area of Mastering and in the Pearson eText.
- **GOT IT? boxes** that provide quick checks for students to test their conceptual understanding. Many of these use a multiple-choice or quantitative ranking format to probe student misconceptions and facilitate their use with classroom-response systems.
- **Tips** that provide helpful problem-solving hints or warn against common pitfalls and misconceptions.
- **Chapter openers** that include a graphical indication of where the chapter lies in sequence as well as lists of the learning outcomes and of skills and knowledge needed for the chapter. Each chapter also includes an opening photo, captioned with a question whose answer should be evident after the student has completed the chapter.
- **Applications**, self-contained presentations typically shorter than half a page, provide interesting and contemporary instances of physics in the real world, such as bicycle stability; flywheel energy storage; laser vision correction; ultracapacitors; noise-cancelling headphones; wind energy; magnetic resonance imaging; smartphone gyroscopes; combined-cycle power generation; circuit models of the cell membrane; CD, DVD, and Blu-ray technologies; radiocarbon dating; and many, many more.
- **For Thought and Discussion** questions at the end of each chapter designed for peer learning or for self-study to enhance students’ conceptual understanding of physics.
- **Annotated figures** that adopt the research-based approach of including simple “instructor’s voice” commentary to help students read and interpret pictorial and graphical information.
- **Annotated equations**, new to the fourth edition, that feature a similar format to the annotated figures.
- **End-of-chapter** problems that begin with simpler exercises keyed to individual chapter sections and ramp up to more challenging and often multistep problems that synthesize chapter material. Context-rich problems focusing on real-world situations are interspersed throughout each problem set.
- **Chapter summaries** that combine text, art, and equations to provide a synthesized overview of each chapter. Each summary is hierarchical, beginning with the chapter’s “big ideas,” then focusing on key concepts and equations, and ending with a list of “applications”—specific instances or applications of the physics presented in the chapter.

## Organization

---

This contemporary book is *concise, strategic, and progressive*, but it’s *traditional* in its organization. Following the introductory Chapter 1, the book is divided into six parts. Part One (Chapters 2–12) develops the basic concepts of mechanics, including Newton’s laws and conservation principles as applied to single particles and multiparticle systems. Part Two (Chapters 13–15) extends mechanics to oscillations, waves, and fluids. Part Three (Chapters 16–19) covers thermodynamics. Part Four (Chapters 20–29) deals with electricity and magnetism. Part Five (Chapters 30–32) treats optics, first in the geometrical optics approximation and then including wave phenomena. Part Six (Chapters 33–39) introduces relativity and quantum physics. Each part begins with a brief description of its coverage, and ends with a conceptual summary and a challenge problem that synthesizes ideas from several chapters.

*Essential University Physics* is available in two paperback volumes, so students can purchase only what they need—making the low-cost aspect of this text even more attractive. Volume 1 includes Parts One, Two, and Three, mechanics through thermodynamics. Volume 2 contains Parts Four, Five, and Six, electricity and magnetism along with optics and modern physics.

## Instructor Supplements

**Note:** For convenience, all of the following instructor supplements can be downloaded from the Instructor's Resource Area of Mastering™ Physics ([www.masteringphysics.com](http://www.masteringphysics.com)).

Name of Supplement	Instructor or Student Supplement	Description
Mastering™ Physics ( <a href="http://www.masteringphysics.com">www.masteringphysics.com</a> ) (9780135285848)	Supplement for Students and Instructors	Mastering Physics from Pearson is the most advanced physics homework and tutorial system available. This online homework and tutoring system guides students through the toughest topics in physics with self-paced tutorials that provide individualized coaching. These assignable, in-depth tutorials are designed to coach students with hints and feedback specific to their individual errors. Instructors can also assign end-of-chapter problems from every chapter, including multiple-choice questions, section-specific exercises, and general problems. Quantitative problems can be assigned with numerical answers and randomized values (with significant figure feedback) or solutions. The Mastering gradebook records scores for all automatically graded assignments in one place, while diagnostic tools give instructors access to rich data to assess student understanding and misconceptions. <a href="http://www.masteringphysics.com">http://www.masteringphysics.com</a> .
Pearson eText enhanced with media (9780135208120)	Supplement for Students and Instructors	The fourth edition of <i>Essential University Physics</i> features a Pearson eText enhanced with the media that were previously only accessible through Mastering. The Pearson eText offers students the power to create notes, highlight text in different colors, create bookmarks, zoom, launch videos as they read, and view single or multiple pages. Professors also have the ability to annotate the text for their course and hide chapters not covered in their syllabi.
Instructor Solutions Manual—Download Only (9780135191729)	Supplement for Instructors	Prepared by John Beetar, the Instructor Solutions Manual contains solutions to all end-of-chapter exercises and problems, written in the Interpret/Develop/Evaluate/Assess (IDEA) problem-solving framework. The solutions are provided in PDF and editable Microsoft® Word formats for Mac and PC, with equations in MathType.
Instructor Resources Materials—Download Only (9780135412510)	Supplement for Instructors	Includes all the art, photos, and tables from the book in JPEG format for use in classroom projection or when creating study materials and tests. Also available are downloadable files of the Instructor Solutions Manual and “Clicker Questions,” including GOT IT? Clickers, for use with classroom-response systems. Each chapter also has a set of PowerPoint® lecture outlines. These resources are downloadable from the ‘Instructor’s Resources’ area within Mastering Physics. They are also downloadable from the catalog page for Wolfson’s <i>Essential University Physics</i> , 4th edition, at <a href="http://www.pearsonhighered.com">www.pearsonhighered.com</a> .
TestGen Test Bank—Download Only (9780135412497)	Supplement for Instructors	The TestGen Test Bank contains more than 2000 multiple-choice, true-false, and conceptual questions in TestGen® and Microsoft® Word formats for Mac and PC users. More than half of the questions can be assigned with randomized numerical values.

## Acknowledgments

A project of this magnitude isn’t the work of its author alone. First and foremost among those I thank for their contributions are the now several thousand students I’ve taught in calculus-based introductory physics courses at Middlebury College. Over the years your questions have taught me how to convey physics ideas in many different ways appropriate to your diverse learning styles. You’ve helped identify the “sticking

points” that challenge introductory physics students, and you’ve showed me ways to help you avoid and “unlearn” the misconceptions that many students bring to introductory physics.

Thanks also to the numerous instructors and students from around the world who have contributed valuable suggestions for improvement of this text. I’ve heard you, and you’ll find many of your ideas implemented in this fourth edition of

*Essential University Physics.* And special thanks to my Middlebury physics colleagues who have taught from this text and who contribute valuable advice and insights on a regular basis: Jeff Dunham, Mike Durst, Angus Findlay, Eilat Glikman, Anne Goodsell, Noah Graham, Chris Herdmann, Paul Hess, Susan Watson, and especially Steve Ratcliff.

Experienced physics instructors thoroughly reviewed every chapter of this book, and reviewers' comments resulted in substantive changes—and sometimes in major rewrites—to the first drafts of the manuscript. We list these reviewers below. But first, special thanks are due to several individuals who made exceptional contributions to the quality and in some cases the very existence of this book. First is Professor Jay Pasachoff of Williams College, whose willingness more than three decades ago to take a chance on an inexperienced coauthor has made writing introductory physics a large part of my professional career. Dr. Adam Black, former physics editor at Pearson, had the vision to see promise in a new introductory text that would respond to the rising chorus of complaints about massive, encyclopedic,

and expensive physics texts. Brad Patterson, developmental editor for the first edition, brought his graduate-level knowledge of physics to a role that made him a real collaborator. Brad is responsible for many of the book's innovative features, and it was a pleasure to work with him. John Murdzek continued Brad's excellent tradition of developmental editing on this fourth edition. We've gone to great lengths to make this book as error-free as possible, and much of the credit for that happy situation goes to John Beetur, who solved every new and revised end-of-chapter problem and updated the solutions manual, and to Edward Ginsberg, who blind-solved all the new problems and thus provided a third check on the answers.

I also wish to thank Nancy Whilton, Jeanne Zalesky, and Tiffany Mok at Pearson Education, and Kim Fletcher at Integra, for their highly professional efforts in shepherding this book through its vigorous production schedule. Finally, as always, I thank my family, my colleagues, and my students for the patience they showed during the intensive process of writing and revising this book.

## Reviewers

---

John R. Albright, *Purdue University–Calumet*  
 Rama Bansil, *Boston University*  
 Richard Barber, *Santa Clara University*  
 Linda S. Barton, *Rochester Institute of Technology*  
 Rasheed Bashirov, *Albertson College of Idaho*  
 Chris Berven, *University of Idaho*  
 David Bixler, *Angelo State University*  
 Ben Bromley, *University of Utah*  
 Charles Burkhardt, *St. Louis Community College*  
 Susan Cable, *Central Florida Community College*  
 George T. Carlson, Jr., *West Virginia Institute of Technology–West Virginia University*  
 Catherine Check, *Rock Valley College*  
 Norbert Chencinski, *College of Staten Island*  
 Carl Covatto, *Arizona State University*  
 David Donnelly, *Texas State University–San Marcos*  
 David G. Ellis, *University of Toledo*  
 Tim Farris, *Volunteer State Community College*  
 Paula Fekete, *Hunter College of The City University of New York*  
 Idan Ginsburg, *Harvard University*  
 Eric Goff, *University of Lynchburg*  
 James Goff, *Pima Community College*  
 Noah Graham, *Middlebury College*  
 Austin Hedeman, *University of California–Berkeley*  
 Andrew Hirsch, *Purdue University*  
 Mark Hollabaugh, *Normandale Community College*  
 Eric Hudson, *Pennsylvania State University*  
 Rex W. Joyner, *Indiana Institute of Technology*  
 Nikos Kalogeropoulos, *Borough of Manhattan Community College–The City University of New York*  
 Viken Kiledjian, *East Los Angeles College*

Kevin T. Kilty, *Laramie County Community College*  
 Duane Larson, *Bevill State Community College*  
 Kenneth W. McLaughlin, *Loras College*  
 Tom Marvin, *Southern Oregon University*  
 Perry S. Mason, *Lubbock Christian University*  
 Mark Masters, *Indiana University–Purdue University Fort Wayne*  
 Jonathan Mitschele, *Saint Joseph's College*  
 Gregor Novak, *United States Air Force Academy*  
 Richard Olenick, *University of Dallas*  
 Robert Philbin, *Trinidad State Junior College*  
 Russell Poch, *Howard Community College*  
 Steven Pollock, *Colorado University–Boulder*  
 Richard Price, *University of Texas at Brownsville*  
 James Rabchuk, *Western Illinois University*  
 George Schmiedeshoff, *Occidental College*  
 Natalia Semushkina, *Shippensburg University of Pennsylvania*  
 Anwar Shiekh, *Dine College*  
 David Slimmer, *Lander University*  
 Richard Sonnenfeld, *New Mexico Tech*  
 Chris Sorensen, *Kansas State University*  
 Victor A. Stanionis, *Iona College*  
 Ronald G. Tabak, *Youngstown State University*  
 Tsvetelin Tsankov, *Temple University*  
 Gajendra Tulsian, *Daytona Beach Community College*  
 Brigita Urbanc, *Drexel University*  
 Henry Weigel, *Arapahoe Community College*  
 Arthur W. Wiggins, *Oakland Community College*  
 Ranjith Wijesinghe, *Ball State University*  
 Fredy Zypman, *Yeshiva University*

# Preface to the Student

Welcome to physics! Maybe you’re taking introductory physics because you’re majoring in a field of science or engineering that requires a semester or two of physics. Maybe you’re premed, and you know that medical schools are interested in seeing calculus-based physics on your transcript. Perhaps you’re really gung-ho and plan to major in physics. Or maybe you want to study physics further as a minor associated with related fields like math, computer science, or chemistry or to complement a discipline like economics, environmental studies, or even music. Perhaps you had a great high-school physics course, and you’re eager to continue. Maybe high-school physics was an academic disaster for you, and you’re approaching this course with trepidation. Or perhaps this is your first experience with physics. Whatever your reason for taking introductory physics, welcome!

And whatever your reason, my goals for you are similar: I’d like to help you develop an understanding and appreciation of the physical universe at a deep and fundamental level; I’d like you to become aware of the broad range of natural and technological phenomena that physics can explain; and I’d like to help you strengthen your analytic and quantitative problem-solving skills. Even if you’re studying physics only because it’s a requirement, I want to help you engage the subject and come away with an appreciation for this fundamental science and its wide applicability. One of my greatest joys as a physics teacher is having students tell me after the course that they had taken it only because it was required, but found they really enjoyed their exposure to the ideas of physics.

Physics is fundamental. To understand physics is to understand how the world works, both in everyday life and on scales of time and space so small and so large as to defy intuition. For that reason I hope you’ll find physics fascinating. But you’ll also find it challenging. Learning physics will challenge you with the need for precise thinking and language; with subtle interpretations of even commonplace phenomena; and with the need for skillful application of mathematics. But there’s also a simplicity to physics, a simplicity that results because there are in physics only a very few really basic principles to learn. Those succinct principles encompass a universe of natural phenomena and technological applications.

I’ve been teaching introductory physics for decades, and this book distills everything my students have taught me about the many different ways to approach physics; about the subtle misconceptions students often bring to physics; about the ideas and types of problems that present the greatest challenges; and about ways to make physics engaging, exciting, and relevant to your life and interests.

I have some specific advice for you that grows out of my long experience teaching introductory physics. Keeping this advice in mind will make physics easier (but not necessarily easy!), more interesting, and, I hope, more fun:

- *Read each chapter thoroughly and carefully before you attempt to work any problem assignments.* I’ve written this text with an informal, conversational style to make it engaging. It’s not a reference work to be left alone until you need some specific piece of information; rather, it’s an unfolding “story” of physics—its big ideas and their applications in quantitative problem solving. You may think physics is hard because it’s mathematical, but in my long experience I’ve found that failure to *read* thoroughly is the biggest single reason for difficulties in introductory physics.
- *Look for the big ideas.* Physics isn’t a hodgepodge of different phenomena, laws, and equations to memorize. Rather, it’s a few big ideas from which flow myriad applications, examples, and special cases. In particular, don’t think of physics as a jumble of equations that you choose among when solving a problem. Rather, identify those few big ideas and the equations that represent them, and try to see how seemingly distinct examples and special cases relate to the big ideas.
- *When working problems, re-read* the appropriate sections of the text, paying particular attention to the worked examples. Follow the IDEA strategy described in Chapter 1 and used in every subsequent worked example. Don’t skimp on the final Assess step. Always ask: Does this answer make sense? How can I understand my answer in relation to the big principles of physics? How was this problem like others I’ve worked, or like examples in the text?
- *Don’t confuse physics with math.* Mathematics is a tool, not an end in itself. Equations in physics aren’t abstract math, but statements about the physical world. Be sure you understand each equation for what it says about physics, not just as an equality between mathematical terms.
- *Work with others.* Getting together informally in a room with a blackboard is a great way to explore physics, to clarify your ideas and help others clarify theirs, and to learn from your peers. I urge you to discuss physics problems together with your classmates, to contemplate together the “For Thought and Discussion” questions at the end of each chapter, and to engage one another in lively dialog as you grow your understanding of physics, the fundamental science.

# Video Tutor Demonstrations

Video tutor demonstrations can be accessed by scanning the QR code at the end of each chapter in the textbook using a smartphone. They are also available in the Study Area and Instructor's Resource Area on Mastering Physics and in the eText. Practice Exams and Dynamic Study Modules, which can be used to prepare for exams, are also available in Mastering Physics.

<b>Chapter</b>	<b>Video Tutor Demonstration</b>	<b>Chapter</b>	<b>Video Tutor Demonstration</b>
2	Balls Take High and Low Tracks	13	Resonance of Everyday Items
3	Dropped and Thrown Balls	14	Out-of-Phase Speakers
3	Ball Fired from Cart on Incline	15	Pressure in Water and Alcohol
3	Ball Fired Upward from Accelerating Cart	15	Water Level in Pascal's Vases
3	Range of a Gun at Two Firing Angles	15	Weighing Weights in Water
3	Independent Horizontal and Vertical Motion	15	Air Jet Blows between Bowling Balls
4	Cart with Fan and Sail	15	Bernoulli's Principle—Venturi Tubes
4	Ball Leaves Circular Track	16	Heating Water and Aluminum
4	Suspended Balls: Which String Breaks?	16	Water Balloon Held over Candle Flame
4	Weighing a Hovering Magnet	16	Candle Chimneys
5	Tension in String between Hanging Weights	20	Charged Rod and Aluminum Can
5	Rotational Motion—Loop the Loop	21	Electroscope in Conducting Shell
6	Work and Kinetic Energy	22	Charged Conductor with Teardrop Shape
7	Chin Basher?	23	Discharge Speed for Series and Parallel Capacitors
9	Balancing a Meter Stick	24	Resistance in Copper and Nichrome
9	Water Rocket	25	Bulbs Connected in Series and in Parallel
9	Happy/Sad Pendulums	26	Magnet and Electron Beam
9	Conservation of Linear Momentum	26	Current-Carrying Wire in Magnetic Field
10	Canned Food Race	27	Eddy Currents in Different Metals
11	Spinning Person Drops Weights	29	Parallel-Wire Polarizer for Microwaves
11	Off-Center Collision	29	Point of Equal Brightness between Two Light Sources
11	Conservation of Vector Angular Momentum	31	Partially Covering a Lens
11	Conservation of Angular Momentum	36	Illuminating Sodium Vapor with Sodium and Mercury Lamps
12	Walking the Plank		
13	Vibrating Rods		

# Essential University Physics

# Doing Physics

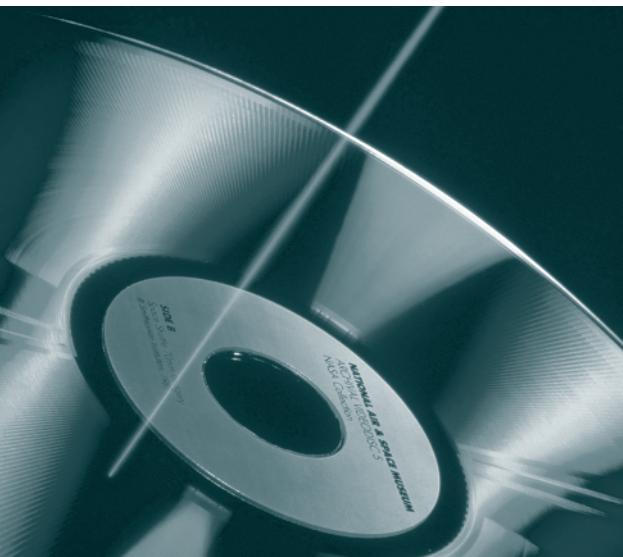
## Skills & Knowledge You'll Need

- Your high school algebra and geometry
- Later, trigonometry and beginning calculus

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 1.1** Describe the scope and realms of physics.
- LO 1.2** List the base units of the International System of Units (SI).
- LO 1.3** Convert units to and from other unit systems.
- LO 1.4** Express numbers using scientific notation or SI prefixes.
- LO 1.5** Do calculations with attention to significant figures.
- LO 1.6** Make order-of-magnitude estimates.
- LO 1.7** Plot data and extract information using best-fit lines.



Which realms of physics are involved in the workings of your DVD player?

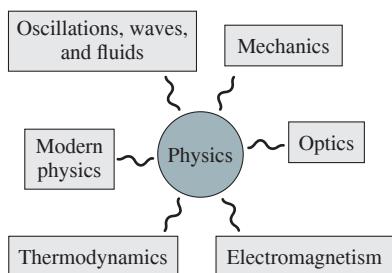


FIGURE 1.1 Realms of physics.

You slip a DVD into your player and settle in to watch a movie. The DVD spins, and a precisely focused laser beam “reads” its content. Electronic circuitry processes the information, sending it to your video display and to loudspeakers that turn electrical signals into sound waves. Every step of the way, principles of physics govern the delivery of the movie from DVD to you.

## 1.1 Realms of Physics

### LO 1.1 *Describe the scope and realms of physics.*

That DVD player is a metaphor for all of **physics**—the science that describes the fundamental workings of physical reality. Physics explains natural phenomena ranging from the behavior of atoms and molecules to thunderstorms and rainbows and on to the evolution of stars, galaxies, and the universe itself. Technological applications of physics are the basis for everything from microelectronics to medical imaging to cars, airplanes, and space flight.

At its most fundamental, physics provides a nearly unified description of all physical phenomena. However, it's convenient to divide physics into distinct realms (Fig. 1.1). Your DVD player encompasses essentially all those realms. **Mechanics**, the branch of physics that deals with motion, describes the spinning disc. Mechanics also explains the motion of a car, the orbits of the planets, and the stability of a skyscraper. Part 1 of this book deals with the basic ideas of mechanics.

Those sound waves coming from your loudspeakers represent **wave motion**. Other examples include the ocean waves that pound Earth's coastlines, the wave of standing spectators that sweeps through a football stadium, and the undulations of Earth's crust that spread the energy of an earthquake. Part 2 of this book covers wave motion and other phenomena involving the motion of fluids like air and water.

When you burn your own DVD, the high temperature produced by an intensely focused laser beam alters the material properties of a writable DVD, thus storing video or computer information. That's an example of **thermodynamics**—the study of heat and its effects on matter. Thermodynamics also describes the delicate balance of energy-transfer processes that keeps our planet at a habitable temperature and puts serious constraints on our ability to meet the burgeoning energy demands of modern society. Part 3 comprises four chapters on thermodynamics.

An electric motor spins your DVD, converting electrical energy to the energy of motion. Electric motors are ubiquitous in modern society, running everything from subway trains and electric cars, to elevators and washing machines, to insulin pumps and artificial hearts. Conversely, electric generators convert the energy of motion to electricity, providing virtually all of our electrical energy. Motors and generators are two applications of **electromagnetism** in modern technology. Others include computers, audiovisual electronics, microwave ovens, digital watches, and even the humble lightbulb; without these electromagnetic technologies our lives would be very different. Equally electromagnetic are all the wireless technologies that enable modern communications, from satellite TV to cell phones to wireless computer networks, mice, and keyboards. And even light itself is an electromagnetic phenomenon. Part 4 presents the principles of electromagnetism and their many applications.

The precise focusing of laser light in your DVD player allows hours of video to fit on a small plastic disc. The details and limitations of that focusing are governed by the principles of **optics**, the study of light and its behavior. Applications of optics range from simple magnifiers to contact lenses to sophisticated instruments such as microscopes, telescopes, and spectrometers. Optical fibers carry your e-mail, web pages, and music downloads over the global Internet. Natural optical systems include your eye and the raindrops that deflect sunlight to form rainbows. Part 5 of the book explores optical principles and their applications.

That laser light in your DVD player is an example of an electromagnetic wave, but an atomic-level look at the light's interaction with matter reveals particle-like "bundles" of electromagnetic energy. This is the realm of **quantum physics**, which deals with the often counterintuitive behavior of matter and energy at the atomic level. Quantum phenomena also explain how that DVD laser works and, more profoundly, the structure of atoms and the periodic arrangement of the elements that is the basis of all chemistry. Quantum physics is one of the two great developments of **modern physics**. The other is Einstein's **theory of relativity**. Relativity and quantum physics arose during the 20th century, and together they've radically altered our commonsense notions of time, space, and causality. Part 6 of the book surveys the ideas of modern physics, ending with what we do—and don't—know about the history, future, and composition of the entire universe.

### CONCEPTUAL EXAMPLE 1.1

### Car Physics

Name some systems in your car that exemplify the different realms of physics.

**EVALUATE** *Mechanics* is easy; the car is fundamentally a mechanical system whose purpose is motion. Details include starting, stopping, cornering, as well as a host of other motions within mechanical subsystems. Your car's springs and shock absorbers constitute an *oscillatory* system engineered to give a comfortable ride. The car's engine is a prime example of a *thermodynamic* system, converting

the energy of burning gasoline into the car's motion. *Electromagnetic* systems range from the starter motor and spark plugs to sophisticated electronic devices that monitor and optimize engine performance. *Optical* principles govern rear- and side-view mirrors and headlights. Increasingly, optical fibers transmit information to critical safety systems. *Modern physics* is less obvious in your car, but ultimately, everything from the chemical reactions of burning gasoline to the atomic-scale operation of automotive electronics is governed by its principles.

## 1.2 Measurements and Units

**LO 1.2** *List the base units of the International System of Units (SI).*

**LO 1.3** *Convert units to and from other unit systems.*

"A long way" means different things to a sedentary person, a marathon runner, a pilot, and an astronaut. We need to quantify our measurements. Science uses the **metric system**, with

fundamental quantities length, mass, and time measured in meters, kilograms, and seconds, respectively. The modern version of the metric system is **SI**, for *Système International d'Unités* (International System of Units), which incorporates scientifically precise definitions of the fundamental quantities.

The three fundamental quantities were originally defined in reference to nature: the meter in terms of Earth's size, the kilogram as an amount of water, and the second by the length of the day. For length and mass, these were later replaced by specific artifacts—a bar whose length was defined as 1 meter and a cylinder whose mass defined the kilogram. But natural standards like the day's length can change, as can the properties of artifacts. So early SI definitions gave way to **operational definitions**, which are measurement standards based on laboratory procedures. Such standards have the advantage that scientists anywhere can reproduce them. By the late 20th century, two of the three fundamental units—the meter and the second—had operational definitions, but the kilogram did not.

A special type of operational definition involves giving an exact value to a particular constant of nature—a quantity formerly subject to experimental determination and with a stated uncertainty in its value. As described below, the meter was the first such unit to be defined in this way. By the early 21st century, it was clear that defining units in terms of fundamental, invariant physical constants was the best way to ensure long-term stability of the SI unit system. Laboratories around the world sought the most reliable measurement techniques and collaborated in narrowing uncertainties in fundamental constants. Then, in 2019, the International Bureau of Weights and Measures approved a sweeping revision of the SI system that redefined the kilogram and other so-called base units in terms of fundamental constants that are now given exact values. These so-called **explicit-constant** definitions also make clear how some definitions depend on others—for example, the definition of the meter requires the definition of the second.

## Time

The **second** used to be defined by Earth's rotation, but that's not constant, so it was later redefined as a specific fraction of the year 1900. An operational definition followed in 1967, associating the second with the radiation emitted by a particular atomic process. The new definition keeps the essence of that operational definition but rewords it in the explicit-constant style:

The second, symbol s, is the SI unit of time. It is defined by taking the fixed numerical value of the cesium frequency  $\Delta\nu_{\text{Cs}}$ , the unperturbed ground-state hyperfine transition frequency of the cesium-133 atom, to be 9,192,631,770 when expressed in the unit Hz, which is equal to  $s^{-1}$ .

The device that implements this definition—which will seem less obscure once you've studied some atomic physics—is called an *atomic clock*. Here the phrase “in the unit Hz” introduces the unit hertz (Hz) for frequency—the number of cycles of a repeating process that occur each second.

## Length

The **meter** was first defined as one ten-millionth of the distance from Earth's equator to the North Pole. In 1889 a standard meter was fabricated to replace the Earth-based unit, and in 1960 that gave way to a standard based on the wavelength of light. By the 1970s, the speed of light had become one of the most precisely determined quantities. As a result, the meter was redefined in 1983 as the distance light travels in vacuum in 1/299,792,458 of a second. The effect of this definition is to make the speed of light a defined quantity: 299,792,458 m/s. Thus, the meter became the first SI unit to be based on a defined value for a fundamental constant. The new SI definition preserves the 1983 definition, but rewords it in the form of an explicit-constant definition and also links it to the definition of the second:

The meter, symbol m, is the SI unit of length. It is defined by taking the fixed numerical value of the speed of light in vacuum  $c$  to be 299,792,458 when expressed in the unit  $\text{m} \cdot \text{s}^{-1}$ , where the second is defined in terms of the cesium frequency  $\Delta\nu_{\text{Cs}}$ .

<b>APPLICATION</b>	<b>Units Matter: A Bad Day on Mars</b>
--------------------	--

In September 1999, the *Mars Climate Orbiter* was destroyed when the spacecraft passed through Mars's atmosphere and experienced stresses and heating it was not designed to tolerate. Why did this \$125-million craft enter the Martian atmosphere when it was supposed to remain in the vacuum of space? NASA identified the root cause as a failure to convert the English units one team used to specify rocket thrust to the SI units another team expected. Units matter!



## Mass

From 1889 to 2019, the kilogram was defined as the mass of a single artifact—the international prototype kilogram, a platinum–iridium cylinder kept in a vault at the International Bureau of Weights and Measures in Sèvres, France. Not only was this artifact-based standard awkward to access, but comparison measurements revealed tiny yet growing mass discrepancies between the international prototype kilogram and secondary mass standards based on it.

In the 2019 SI revision, the kilogram became the last unit to get an explicit-constant definition. The kilogram's new definition is tied to the value of *Planck's constant*,  $h$ , a fundamental constant related to the “graininess” of physical quantities that's evident at the atomic and subatomic levels. It also depends on the definitions of the second and the meter:

The kilogram, symbol kg, is the SI unit of mass. It is defined by taking the fixed numerical value of the Planck constant  $h$  to be  $6.626\,070\,040 \times 10^{-34}$  when expressed in the unit J · s, which is equal to  $\text{kg} \cdot \text{m}^2 \cdot \text{s}^{-1}$ , where the meter and the second are defined in terms of  $c$  and  $\Delta\nu_{\text{Cs}}$ .

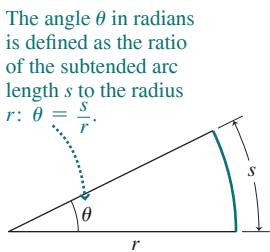


FIGURE 1.2 The radian is the SI unit of angle.

One technique that implements this definition uses the *watt balance* or *Kibble balance* (after its inventor). This instrument balances an unknown force against electrical forces whose magnitude can be related to Planck's constant. Another approach uses X rays to probe a sphere of crystalline silicon, effectively counting the atoms and yielding the mass of the sphere. Either way, the new kilogram definition provides a standard that can be reproduced in laboratories around the world. Note that the new definition also depends explicitly on the definitions of both the second and the meter.

## Other SI Units

The SI includes seven independent base units: In addition to the meter, second, and kilogram, there are the ampere (A) for electric current, the kelvin (K) for temperature, the mole (mol) for the amount of a substance, and the candela (cd) for luminosity. We'll introduce these units later, as needed. The SI revision gives these new, explicit-constant definitions; for all but the candela, this involves fixing the values of fundamental physical constants. In addition to the seven physical base units, two supplementary units define geometrical measures of angle: the radian (rad) for ordinary angles (Fig. 1.2) and the steradian (sr) for solid angles. Units for all other physical quantities are derived from the base units.

## SI Prefixes

You could specify the length of a bacterium (e.g., 0.00001 m) or the distance to the next city (e.g., 58,000 m) in meters, but the results are unwieldy—too small in the first case and too large in the latter. So we use prefixes to indicate multiples of the SI base units. For example, the prefix k (for “kilo”) means 1000; 1 km is 1000 m, and the distance to the next city is 58 km. Similarly, the prefix  $\mu$  (the lowercase Greek “mu”) means “micro,” or  $10^{-6}$ . So our bacterium is 10  $\mu\text{m}$  long. The SI prefixes are listed in Table 1.1, which is repeated inside the front cover. We'll use the prefixes routinely in examples and problems, and we'll often express answers using SI prefixes, without doing an explicit unit conversion.

When two units are used together, a hyphen appears between them—for example, newton-meter. Each unit has a symbol, such as m for meter or N for newton (the SI unit of force). Symbols are ordinarily lowercase, but those named after people are uppercase. Thus “newton” is written with a small “n,” but its symbol is a capital N. The exception is the unit of volume, the liter; since the lowercase “l” is easily confused with the number 1, the symbol for liter is a capital L. When two units are multiplied, their symbols are separated by a centered dot: N · m for newton-meter. Division of units is expressed by using the slash (/) or writing with the denominator unit raised to the  $-1$  power. Thus the SI unit of speed is the meter per second, written m/s or  $\text{m} \cdot \text{s}^{-1}$ .

Table 1.1 SI Prefixes

Prefix	Symbol	Power
yotta	Y	$10^{24}$
zetta	Z	$10^{21}$
exa	E	$10^{18}$
peta	P	$10^{15}$
tera	T	$10^{12}$
giga	G	$10^9$
mega	M	$10^6$
kilo	k	$10^3$
hecto	h	$10^2$
deca	da	$10^1$
—	—	$10^0$
deci	d	$10^{-1}$
centi	c	$10^{-2}$
milli	m	$10^{-3}$
micro	$\mu$	$10^{-6}$
nano	n	$10^{-9}$
pico	p	$10^{-12}$
femto	f	$10^{-15}$
atto	a	$10^{-18}$
zepto	z	$10^{-21}$
yocto	y	$10^{-24}$

**EXAMPLE 1.1****Changing Units: Speed Limits****Worked Example with Variation Problems**

Express a 65 mi/h speed limit in meters per second.

**EVALUATE** According to Appendix C, 1 mi = 1609 m, so we can multiply miles by the ratio 1609 m/mi to get meters. Similarly, we

use the conversion factor 3600 s/h to convert hours to seconds. Combining these two conversions gives

$$65 \text{ mi/h} = \left( \frac{65 \text{ mi}}{\text{h}} \right) \left( \frac{1609 \text{ m}}{\text{mi}} \right) \left( \frac{1 \text{ h}}{3600 \text{ s}} \right) = 29 \text{ m/s}$$

## Other Unit Systems

The inches, feet, yards, miles, and pounds of the so-called English system still dominate measurement in the United States. Other non-SI units such as the hour are often mixed with English or SI units, as with speed limits in miles per hour or kilometers per hour. In some areas of physics there are good reasons for using non-SI units. We'll discuss these as the need arises and will occasionally use non-SI units in examples and problems. We'll also often find it convenient to use degrees rather than radians for angles. The vast majority of examples and problems in this book, however, use strictly SI units.

## Changing Units

Sometimes we need to change from one unit system to another—for example, from English to SI. Appendix C contains tables for converting among unit systems; you should familiarize yourself with this and the other appendices and refer to them often.

For example, Appendix C shows that 1 ft = 0.3048 m. Since 1 ft and 0.3048 m represent the same physical distance, multiplying any distance by their ratio will change the units but not the actual physical distance. Thus the height of Dubai's Burj Khalifa (Fig. 1.3)—the world's tallest structure—is 2722 ft or

$$(2722 \text{ ft}) \left( \frac{0.3048 \text{ m}}{1 \text{ ft}} \right) = 829.7 \text{ m}$$

Often you'll need to change several units in the same expression. Keeping track of the units through a chain of multiplications helps prevent you from carelessly inverting any of the conversion factors. A numerical answer cannot be correct unless it has the right units!

**GOT IT?**

- 1.1** A Canadian speed limit of 50 km/h is closest to which U.S. limit expressed in miles per hour? (a) 60 mi/h; (b) 45 mi/h; (c) 30 mi/h



**FIGURE 1.3** Dubai's Burj Khalifa is the world's tallest structure.

## 1.3 Working with Numbers

**LO 1.4** Express numbers using scientific notation or SI prefixes.

**LO 1.5** Do calculations with attention to significant figures.

**LO 1.6** Make order-of-magnitude estimates.

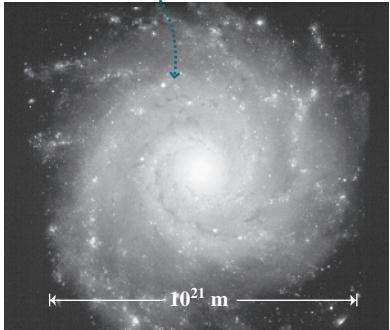
**LO 1.7** Plot data and extract information using best-fit lines.

## Scientific Notation

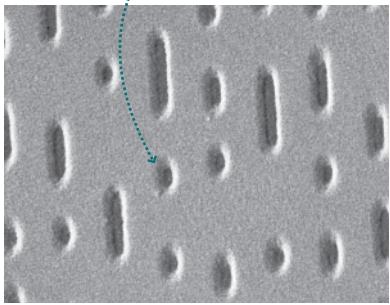
The range of measured quantities in the universe is enormous; lengths alone go from about 1/1,000,000,000,000 m for the radius of a proton to 1,000,000,000,000,000,000 m for the size of a galaxy; our telescopes see 100,000 times farther still. Therefore, we frequently express numbers in **scientific notation**, where a reasonable-sized number is multiplied by a power of 10. For example, 4185 is  $4.185 \times 10^3$  and 0.00012 is  $1.2 \times 10^{-4}$ . Table 1.2 suggests the vast range of measurements for the fundamental quantities of length, time, and mass. Take a minute (about  $10^2$  heartbeats, or  $3 \times 10^{-8}$  of a typical human lifespan) to peruse this table along with Fig. 1.4.

## 6 Chapter 1 Doing Physics

This galaxy is  $10^{21}$  m across and has a mass of  $\sim 10^{42}$  kg.



Your movie is stored on a DVD in “pits” only  $4 \times 10^{-7}$  m in size.



**FIGURE 1.4** Large and small.

**Table 1.2 Distances, Times, and Masses (rounded to one significant figure)**

Radius of observable universe	$1 \times 10^{26}$ m
Earth's radius	$6 \times 10^6$ m
Tallest mountain	$9 \times 10^3$ m
Height of person	2 m
Diameter of red blood cell	$1 \times 10^{-5}$ m
Size of proton	$1 \times 10^{-15}$ m
Age of universe	$4 \times 10^{17}$ s
Earth's orbital period (1 year)	$3 \times 10^7$ s
Human heartbeat	1 s
Wave period, microwave oven	$5 \times 10^{-10}$ s
Time for light to cross a proton	$3 \times 10^{-24}$ s
Mass of Milky Way galaxy	$1 \times 10^{42}$ kg
Mass of mountain	$1 \times 10^{18}$ kg
Mass of human	70 kg
Mass of red blood cell	$1 \times 10^{-13}$ kg
Mass of uranium atom	$4 \times 10^{-25}$ kg
Mass of electron	$1 \times 10^{-30}$ kg

Scientific calculators handle numbers in scientific notation. But straightforward rules allow you to manipulate scientific notation if you don't have such a calculator handy.

### Tactics 1.1 USING SCIENTIFIC NOTATION

#### Addition/Subtraction

To add (or subtract) numbers in scientific notation, first give them the same exponent and then add (or subtract):

$$3.75 \times 10^6 + 5.2 \times 10^5 = 3.75 \times 10^6 + 0.52 \times 10^6 = 4.27 \times 10^6$$

#### Multiplication/Division

To multiply (or divide) numbers in scientific notation, multiply (or divide) the digits and add (or subtract) the exponents:

$$(3.0 \times 10^8 \text{ m/s})(2.1 \times 10^{-10} \text{ s}) = (3.0)(2.1) \times 10^{8+(-10)} \text{ m} = 6.3 \times 10^{-2} \text{ m}$$

#### Powers/Roots

To raise numbers in scientific notation to any power, raise the digits to the given power and multiply the exponent by the power:

$$\begin{aligned} \sqrt{(3.61 \times 10^4)^3} &= \sqrt{3.61^3 \times 10^{(4)(3)}} = (47.04 \times 10^{12})^{1/2} \\ &= \sqrt{47.04} \times 10^{(12)(1/2)} = 6.86 \times 10^6 \end{aligned}$$

### EXAMPLE 1.2 Scientific Notation: Tsunami Warnings

Earthquake-generated tsunamis are so devastating because the entire ocean, from surface to bottom, participates in the wave motion. The speed of such waves is given by  $v = \sqrt{gh}$ , where  $g = 9.8 \text{ m/s}^2$  is the gravitational acceleration and  $h$  is the depth in meters. Determine a tsunami's speed in 3.0-km-deep water.

**EVALUATE** That 3.0-km depth is  $3.0 \times 10^3$  m, so we have

$$\begin{aligned} v &= \sqrt{gh} = [(9.8 \text{ m/s}^2)(3.0 \times 10^3 \text{ m})]^{1/2} = (29.4 \times 10^3 \text{ m}^2/\text{s}^2)^{1/2} \\ &= (2.94 \times 10^4 \text{ m}^2/\text{s}^2)^{1/2} = \sqrt{2.94} \times 10^2 \text{ m/s} = 1.7 \times 10^2 \text{ m/s} \end{aligned}$$

where we wrote  $29.4 \times 10^3 \text{ m}^2/\text{s}^2$  as  $2.94 \times 10^4 \text{ m}^2/\text{s}^2$  in the second line in order to calculate the square root more easily. Converting the speed to km/h gives

$$\begin{aligned} 1.7 \times 10^2 \text{ m/s} &= \left(\frac{1.7 \times 10^2 \text{ m}}{\text{s}}\right) \left(\frac{1 \text{ km}}{1.0 \times 10^3 \text{ m}}\right) \left(\frac{3.6 \times 10^3 \text{ s}}{\text{h}}\right) \\ &= 6.1 \times 10^2 \text{ km/h} \end{aligned}$$

This speed—about 600 km/h—shows why even distant coastlines have little time to prepare for the arrival of a tsunami.

## Significant Figures

How precise is that  $1.7 \times 10^2$  m/s we calculated in Example 1.2? The two **significant figures** in this number imply that the value is closer to 1.7 than to 1.6 or 1.8. The fewer significant figures, the less precisely we can claim to know a given quantity.

In Example 1.2 we were, in fact, given two significant figures for both quantities. The mere act of calculating can't add precision, so we rounded our answer to two significant figures as well. Calculators and computers often give numbers with many figures, but most of those are usually meaningless.

What's Earth's circumference? It's  $2\pi R_E$ , and  $\pi$  is approximately 3.14159. . . . But if you only know Earth's radius as  $6.37 \times 10^6$  m, knowing  $\pi$  to more significant figures doesn't mean you can claim to know the circumference any more precisely. This example suggests a rule for handling calculations involving numbers with different precisions:

In multiplication and division, the answer should have the same number of significant figures as the least precise of the quantities entering the calculation.

You're engineering an access ramp to a bridge whose main span is 1.248 km long. The ramp will be 65.4 m long. What will be the overall length? A simple calculation gives  $1.248 \text{ km} + 0.0654 \text{ km} = 1.3134 \text{ km}$ . How should you round this? You know the bridge length to  $\pm 0.001$  km, so an addition this small is significant. Therefore, your answer should have three digits to the right of the decimal point, giving 1.313 km. Thus:

In addition and subtraction, the answer should have the same number of digits to the right of the decimal point as the term in the sum or difference that has the smallest number of digits to the right of the decimal point.

In subtraction, this rule can quickly lead to loss of precision, as Example 1.3 illustrates.

### EXAMPLE 1.3

#### Significant Figures: Nuclear Fuel *Worked Example with Variation Problems*

A uranium fuel rod is 3.241 m long before it's inserted in a nuclear reactor. After insertion, heat from the nuclear reaction has increased its length to 3.249 m. What's the increase in its length?

**EVALUATE** Subtraction gives  $3.249 \text{ m} - 3.241 \text{ m} = 0.008 \text{ m}$  or 8 mm. Should this be 8 mm or 8.000 mm? Just 8 mm. Subtraction affected only the last digit of the four-significant-figure lengths, leaving only one significant figure in the answer.



**INTERMEDIATE RESULTS** Although it's important that your final answer reflect the precision of the numbers that went into it, any intermediate results should have at least one extra significant figure. Otherwise, rounding of intermediate results could alter your answer. If you use a calculator or software when working problems, you'll automatically be carrying many more significant figures in intermediate calculations. We do that in many of the examples and solutions for this book, and therefore you may sometimes find discrepancies in the last digit between your results and the book's.

### GOT IT?

- 1.2** Rank the numbers according to (1) their size and (2) the number of significant figures. Some may be of equal rank.  $0.0008$ ,  $3.14 \times 10^7$ ,  $2.998 \times 10^{-9}$ ,  $55 \times 10^6$ ,  $0.041 \times 10^9$

What about whole numbers ending in zero, like 60, 300, or 410? How many significant figures do they have? Strictly speaking, 60 and 300 have only one significant figure, while 410 has two. If you want to express the number 60 to two significant figures, you should write  $6.0 \times 10^1$ ; similarly, 300 to three significant figures would be  $3.00 \times 10^2$ , and 410 to three significant figures would be  $4.10 \times 10^2$ .

## Working with Data

In physics, in other sciences, and even in nonscience fields, you'll find yourself working with data—numbers that come from real-world measurements. One important use of

data in the sciences is to confirm hypotheses about relations between physical quantities. Scientific hypotheses can generally be described quantitatively using equations, which often give or can be manipulated to give a linear relationship between quantities. Plotting such data and fitting a line through the data points—using procedures such as regression analysis, least-squares fitting, or even “eyeballing” a best-fit line—can confirm the hypothesis and give useful information about the phenomena under study. You’ll probably have opportunities to do such data fitting in your physics lab and in other science courses. Because it’s so important in experimental science, we’ve included at least one data problem with each chapter. Example 1.4 shows a typical example of fitting data to a straight line.

## Estimation

Some problems in physics and engineering call for precise numerical answers. We need to know exactly how long to fire a rocket to put a space probe on course toward a distant planet, or exactly what size to cut the tiny quartz crystal whose vibrations set the pulse of a digital watch. But for many other purposes, we need only a rough idea of the size of a physical effect. And rough estimates help check whether the results of more difficult calculations make sense.

### EXAMPLE 1.4 Data Analysis: A Falling Ball

As you’ll see in Chapter 2, the distance fallen by an object dropped from rest should increase in proportion to the square of the time since it was dropped; the proportionality should be half the acceleration due to gravity. The table shows actual data from measurements on a falling ball. Determine a quantity such that, when you plot fall distance  $y$  against it, you should get a straight line. Make the plot, fit a straight line, and from its slope determine an approximate value for the gravitational acceleration.

**EVALUATE** We’re told that the fall distance  $y$  should be proportional to the square of the time; thus we choose to plot  $y$  versus  $t^2$ . So we’ve added a row to the table, listing the values of  $t^2$ . Figure 1.5 is our plot. Although we did this one by hand, on graph paper, you could use a spreadsheet or other program to make your plot. A spreadsheet program would offer the option to draw a best-fit line and give its slope, but a hand-drawn line, “eyeballed” to catch the general trend of the data points, works surprisingly well. We’ve indicated such a line, and the figure shows that its slope is very nearly  $5.0 \text{ m/s}^2$ .

**ASSESS** The fact that our data points lie very nearly on a straight line confirms the hypothesis that fall distance should be proportional to time squared. Real data almost never lie exactly on a theoretically predicted line or curve. A more sophisticated analysis would show error bars, indicating the measurement uncertainty in each data point. Because our line’s measured slope is supposed to be half the gravitational acceleration, our analysis suggests a gravitational acceleration of about  $10 \text{ m/s}^2$ . This is close to the commonly used value of  $9.8 \text{ m/s}^2$ .

Time (s)	0.500	1.00	1.50	2.00	2.50	3.00
Distance (m)	1.12	5.30	12.2	18.5	34.1	43.6

Time Squared ( $\text{s}^2$ )	0.250	1.00	2.25	4.00	6.25	9.00
-------------------------------	-------	------	------	------	------	------

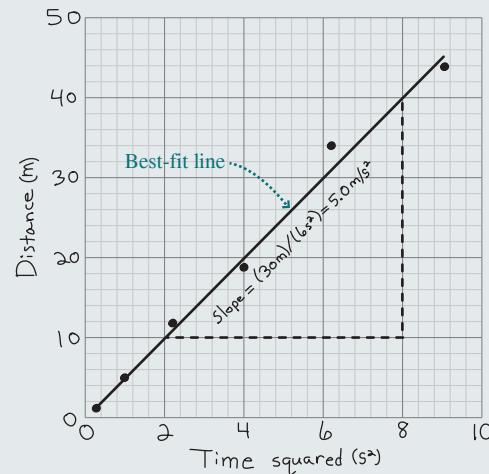


FIGURE 1.5 Our graph for Example 1.4. We “eyeballed” the best-fit line using a ruler; note that it doesn’t go through particular points but tries to capture the average trend of all the data points.

## 1.4 Strategies for Learning Physics

You can learn *about* physics, and you can learn to *do* physics. This book emphasizes both. Learning about physics will help you appreciate the role of this fundamental science in explaining both natural and technological phenomena. Learning to do physics will make you adept at solving quantitative problems—finding answers to questions about how the natural world works and about how we forge the technologies at the heart of modern society.

**EXAMPLE 1.5****Estimation: Counting Brain Cells**

Estimate the mass of your brain and the number of cells it contains.

**EVALUATE** My head is about 6 in. or 15 cm wide, but there's a lot of skull bone in there, so maybe my brain is about 10 cm or 0.1 m across. I don't know its exact shape, but for estimating, I'll take it to be a cube. Then its volume is  $(10 \text{ cm})^3 = 1000 \text{ cm}^3$ , or  $10^{-3} \text{ m}^3$ . I'm mostly water, and water's density is 1 gram per cubic centimeter ( $1 \text{ g/cm}^3$ ), so my  $1000\text{-cm}^3$  brain has a mass of about 1 kg.

How big is a brain cell? I don't know, but Table 1.2 lists the diameter of a red blood cell as about  $10^{-5} \text{ m}$ . If brain cells are roughly the same size, then each cell has a volume of approximately  $(10^{-5} \text{ m})^3 = 10^{-15} \text{ m}^3$ . Then the number of cells in my  $10^{-3}\text{-m}^3$  brain is roughly

$$N = \frac{10^{-3} \text{ m}^3/\text{brain}}{10^{-15} \text{ m}^3/\text{cell}} = 10^{12} \text{ cells/brain}$$

Crude though they are, these estimates aren't bad. The average adult brain's mass is about 1.3 kg, and it contains at least  $10^{11}$  cells (Fig. 1.6).



**FIGURE 1.6** The average human brain contains more than  $10^{11}$  cells.

## Physics: Challenge and Simplicity

Physics problems can be challenging, calling for clever insight and mathematical agility. That challenge is what gives physics a reputation as a difficult subject. But underlying all of physics is only a handful of basic principles. Because physics is so fundamental, it's also inherently simple. There are only a few basic ideas to learn; if you really understand those, you can apply them in a wide variety of situations. These ideas and their applications are all connected, and we'll emphasize those connections and the underlying simplicity of physics by reminding you how the many examples, applications, and problems are manifestations of the same few basic principles. If you approach physics as a hodgepodge of unrelated laws and equations, you'll miss the point and make things difficult. But if you look for the basic principles, for connections among seemingly unrelated phenomena and problems, then you'll discover the underlying simplicity that reflects the scope and power of physics—the fundamental science.

## Problem Solving: The IDEA Strategy

Solving a quantitative physics problem always starts with basic principles or concepts and ends with a precise answer expressed as either a numerical quantity or an algebraic expression. Whatever the principle, whatever the realm of physics, and whatever the specific situation, the path from principle to answer follows four simple steps—steps that make up a comprehensive strategy for approaching all problems in physics. Their acronym, IDEA, will help you remember these steps, and they'll be reinforced as we apply them over and over again in worked examples throughout the book. We'll generally write all four steps separately, although the examples in this chapter cut right to the EVALUATE phase. And in some chapters we'll introduce versions of this strategy tailored to specific material. Although the IDEA acronym is tailored to *Essential University Physics*, our four-step approach derives from a 1945 book, *How to Solve It*, by George Polya—intended for mathematics students but readily adapted for physics.

The IDEA strategy isn't a “cookbook” formula for working physics problems. Rather, it's a tool for organizing your thoughts, clarifying your conceptual understanding, developing and executing plans for solving problems, and assessing your answers. The big IDEA is summarized in Problem-Solving Strategy 1.1 on the next page.

## PROBLEM-SOLVING STRATEGY 1.1

## Physics Problems

**INTERPRET** The first step is to *interpret* the problem to be sure you know what it's asking. Then *identify* the applicable concepts and principles—Newton's laws of motion, conservation of energy, the first law of thermodynamics, Gauss's law, and so forth. Also *identify* the players in the situation—the object whose motion you're asked to describe, the forces acting, the thermodynamic system you're asked to analyze, the charges that produce an electric field, the components in an electric circuit, the light rays that will help you locate an image, and so on.

**DEVELOP** The second step is to *develop* a plan for solving the problem. It's always helpful and often essential to *draw* a diagram showing the situation. Your drawing should indicate objects, forces, and other physical entities. Labeling masses, positions, forces, velocities, heat flows, electric or magnetic fields, and other quantities will be a big help. Next, *determine* the relevant mathematical formulas—namely, those that contain the quantities you're given in the problem as well as the unknown(s) you're solving for. Don't just grab equations—rather, think about how each reflects the underlying concepts and principles that you've identified as applying to this problem. The plan you develop might include calculating intermediate quantities, finding values in a table or in one of this text's several appendices, or even solving a preliminary problem whose answer you need in order to get your final result.

**EVALUATE** Physics problems have numerical or symbolic answers, and you need to *evaluate* your answer. In this step you *execute* your plan, going in sequence through the steps you've outlined. Here's where your math skills come in. Use algebra, trig, or calculus, as needed, to solve your equations. It's a good idea to keep all numerical quantities, whether known or not, in symbolic form as you work through the solution of your problem. At the end you can plug in numbers and work the arithmetic to *evaluate* the numerical answer, if the problem calls for one.

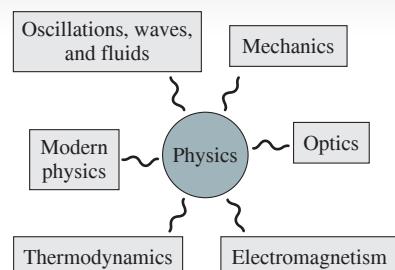
**ASSESS** Don't be satisfied with your answer until you *assess* whether it makes sense! Are the units correct? Do the numbers sound reasonable? Does the algebraic form of your answer work in obvious special cases, like "turning off" gravity or making an object's mass zero or infinite? Checking special cases not only helps you decide whether your answer makes sense but also can give you insights into the underlying physics. In worked examples, we'll often use this step to enhance your knowledge of physics by relating the example to other applications of physics.

Don't memorize this IDEA problem-solving strategy. Instead, grow to understand it as you see it applied in examples and as you apply it yourself in working end-of-chapter problems. This book has a number of additional features and supplements, discussed in the Preface, to help you develop your problem-solving skills.

# Chapter 1 Summary

## Big Idea

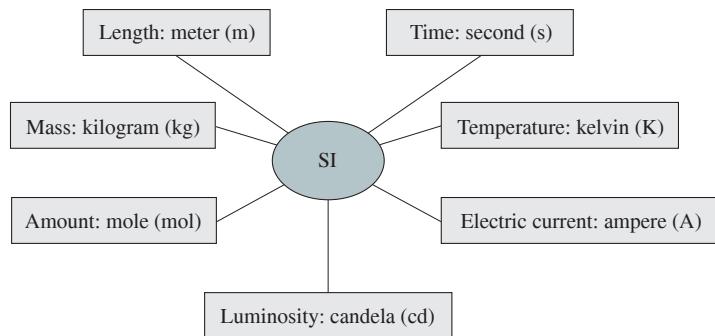
Physics is the fundamental science. It's convenient to consider several realms of physics, which together describe all that's known about physical reality:



## Key Concepts and Equations

Numbers describing physical quantities must have units. The SI unit system comprises seven fundamental units:

In addition, physics uses geometric measures of angle.

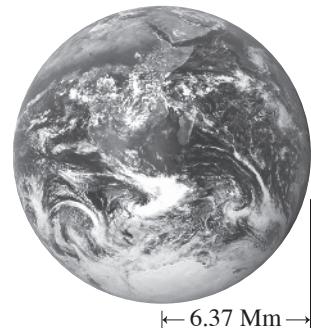


Numbers are often written with prefixes or in scientific notation to express powers of 10. Precision is shown by the number of significant figures:

Power of 10

Earth's radius  $6.37 \times 10^6 \text{ m} = 6.37 \text{ Mm}$

Three significant figures      SI prefix for  $\times 10^6$

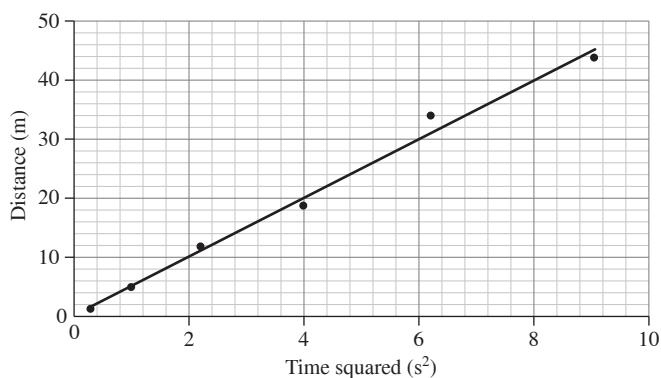


## Applications

The IDEA strategy for solving physics problems consists of four steps: Interpret, Develop, Evaluate, and Assess. Estimation and data analysis are additional skills that help with physics.



$$N = \frac{10^{-3} \text{ m}^3/\text{brain}}{10^{-15} \text{ m}^3/\text{cell}} = 10^{12} \text{ cells/brain}$$





## Learning Outcomes

After finishing this chapter, you should be able to:

- LO 1.1 Describe the scope and realms of physics.
- LO 1.2 List the base units of the International System of Units (SI).  
*For Thought and Discussion Questions 1.1, 1.3, 1.9;  
Exercises 1.13, 1.16, 1.17*
- LO 1.3 Convert units to and from other unit systems.  
*For Thought and Discussion Questions 1.8; Exercises 1.11, 1.12, 1.14, 1.15, 1.18, 1.19, 1.20, 1.22, 1.23, 1.24, 1.25, 1.26, 1.27, 1.28*
- LO 1.4 Express numbers using scientific notation or SI prefixes.  
*For Thought and Discussion Questions 1.4; Exercises 1.29, 1.30, 1.31, 1.32, 1.33, 1.34, 1.35, 1.36*

- LO 1.5 Do calculations with attention to significant figures.  
*For Thought and Discussion Questions 1.2; Problems 1.45, 1.57, 1.65*
- LO 1.6 Make order-of-magnitude estimates.  
*For Thought and Discussion Questions 1.5, 1.6; Problems 1.46, 1.47, 1.48, 1.49, 1.50, 1.51, 1.52, 1.53, 1.54, 1.55, 1.56, 1.58, 1.61, 1.62, 1.63, 1.64, 1.66, 1.68*
- LO 1.7 Plot data and extract information using best-fit lines.  
*Problem 1.69*

## For Thought and Discussion

1. Explain why measurement standards based on laboratory procedures are preferable to those based on specific objects such as the international prototype kilogram.
2. When a computer that carries seven significant figures adds 1.000000 and  $2.5 \times 10^{-15}$ , what's its answer? Why?
3. Why doesn't Earth's rotation provide a suitable time standard?
4. To raise a power of 10 to another power, you multiply the exponent by the power. Explain why this works.
5. What facts might a scientist use in estimating Earth's age?
6. How would you determine the length of a curved line?
7. Write  $1/x$  as  $x$  to some power.
8. Emissions of carbon dioxide from fossil-fuel combustion are often expressed in gigatonnes per year, where 1 tonne = 1000 kg. But sometimes CO<sub>2</sub> emissions are given in petagrams per year. How are the two units related?
9. What is meant by an *explicit-constant* definition of a unit?
10. You're asked to make a rough estimate of the total mass of all the students in your university. You report your answer as  $1.16 \times 10^6$  kg. Why isn't this an appropriate answer?

## Exercises and Problems

### Exercises

#### Section 1.2 Measurements and Units

11. The power output of a typical large power plant is 1000 megawatts (MW). Express this result in (a) W, (b) kW, and (c) GW.
12. The diameter of a hydrogen atom is about 0.1 nm, and the diameter of a proton is about 1 fm. How many times bigger than a proton is a hydrogen atom?
13. Use the definition of the meter to determine how far light travels in exactly 1 ns.
14. Lake Baikal in Siberia holds the world's largest quantity of fresh water, about 14 Eg. How many kilograms is that?
15. A hydrogen atom is about 0.1 nm in diameter. How many hydrogen atoms lined up side by side would make a line 1 cm long?
16. How long a piece of wire would you need to form a circular arc subtending an angle of 1.4 rad, if the radius of the arc is 8.1 cm?
17. Making a turn, a jetliner flies 2.1 km on a circular path of radius 3.4 km. Through what angle does it turn?

18. A car is moving at 35.0 mi/h. Express its speed in (a) m/s and (b) ft/s.
19. You have postage for a 1-oz letter but only a metric scale. What's the maximum mass your letter can have, in grams?
20. A year is very nearly  $\pi \times 10^7$  s. By what percentage is this figure in error?
21. How many cubic centimeters are in a cubic meter?
22. Since the start of the industrial era, humankind has emitted about half an exagram of carbon to the atmosphere. What's that in tonnes (t, where 1 t = 1000 kg)?
23. A gallon of paint covers 350 ft<sup>2</sup>. What's its coverage in m<sup>2</sup>/L?
24. Highways in Canada have speed limits of 100 km/h. How does this compare with the 65 mi/h speed limit common in the United States?
25. One m/s is how many km/h?
26. A 3.0-lb box of grass seed will seed 2100 ft<sup>2</sup> of lawn. Express this coverage in m<sup>2</sup>/kg.
27. A radian is how many degrees?
28. Convert the following to use only SI base units: (a) 55 mi/h; (b) 40.0 km/h; (c) 1 week (take that 1 as an exact number); (d) the period of Mars's orbit (consult Appendix E).
29. The distance to the Andromeda galaxy, the nearest large neighbor galaxy of our Milky Way, is about  $2.4 \times 10^{22}$  m. Express this more succinctly using SI prefixes.

#### Section 1.3 Working with Numbers

30. Add  $3.6 \times 10^5$  m and  $2.1 \times 10^3$  km.
31. Divide  $4.2 \times 10^3$  m/s by 0.57 ms, and express your answer in m/s<sup>2</sup>.
32. Add  $5.1 \times 10^{-2}$  cm and  $6.8 \times 10^3$  μm, and multiply the result by  $1.8 \times 10^4$  N (N is the SI unit of force).
33. Find the cube root of  $6.4 \times 10^{19}$  without a calculator.
34. Add 1.46 m and 2.3 cm.
35. You're asked to specify the length of an updated aircraft model for a sales brochure. The original plane was 41 m long; the new model has a 3.6-cm-long radio antenna added to its nose. What length do you put in the brochure?
36. Repeat the preceding exercise, this time using 41.05 m as the airplane's original length.

#### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence

in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

37. **Example 1.1:** Express a 45 mi/h speed limit in meters per second.
38. **Example 1.1:** A car is clocked at 25 m/s in a 50-mi/h zone. Is it speeding?
39. **Example 1.1:** A satellite sweeps through  $3.0^\circ$  of its circular orbit every minute. Express that rate in revolutions (i.e., complete orbits) per day.
40. **Example 1.1:** GPS satellites complete approximately two full orbits each day. Express that rate in degrees per hour.
41. **Example 1.3:** A uranium fuel rod is initially 3.682 m long. After it's inserted into a reactor, it heats up and expands to 3.704 m. What's the increase in its length?
42. **Example 1.3:** A uranium fuel rod is 3.846 m long when it's in an operating reactor. When it's removed from the reactor and allowed to cool, its length decreases by 7.2 mm. What's its new length?
43. **Example 1.3:** In Earth's current epoch, the length of the day is some 86,400.002 s. If that were to increase to 86,400.0038 s, how would you report the increase?
44. **Example 1.3:** In 2014 the *Rosetta* spacecraft, orbiting the comet 67P/Churyumov-Gerasimenko, measured the rotation period of the comet's nucleus at 12.404 h. By the time the *Rosetta* mission ended in 2016, the period had decreased by 21 min. Find the new rotation period, expressed in hours.

## Problems

45. To see why it's important to carry more digits in intermediate calculations, determine  $(\sqrt{3})^3$  to three significant figures in two ways: (a) Find  $\sqrt{3}$  and round to three significant figures, then cube and again round; and (b) find  $\sqrt{3}$  to four significant figures, then cube and round to three significant figures.
46. You've been hired as an environmental watchdog for a big-city newspaper. You're asked to estimate the number of trees that go into one day's printing, given that half the newsprint comes from recycling, the rest from new wood pulp. What do you report?
47. The average dairy cow produces about  $10^4$  kg of milk per year. Estimate the number of dairy cows needed to keep the United States supplied with milk.
48. Roughly how many Earths would fit inside the Sun?
49. The average American uses electrical energy at the rate of about 1.5 kilowatts (kW). Solar energy reaches Earth's surface at an average rate of about 300 W on every square meter (a value that accounts for night and clouds). What fraction of the United States' land area would have to be covered with 20% efficient solar cells to provide all of our electrical energy?
50. Estimate, to an order of magnitude, the number of heartbeats in a typical human lifetime.
51. A human hair is about 100  $\mu\text{m}$  across. Estimate the number of hairs in a typical braid.
52. You're working in the fraud protection division of a credit-card company, and you're asked to estimate the chances that a 16-digit number chosen at random will be a valid credit-card number. What do you answer?
53. Bubble gum's density is about  $1 \text{ g/cm}^3$ . You blow an 8-g wad of gum into a bubble 10 cm in diameter. Estimate the bubble's thickness. (*Hint:* Think about spreading the bubble into a flat sheet. The surface area of a sphere is  $4\pi r^2$ .)
54. The Moon barely covers the Sun during a solar eclipse. Given that Moon and Sun are, respectively,  $4 \times 10^5 \text{ km}$  and  $1.5 \times 10^8 \text{ km}$  from Earth, estimate how much bigger the Sun's diameter is than the Moon's. If the Moon's radius is 1800 km, how big is the Sun?
55. The semiconductor chip at the heart of a personal computer is a square 4 mm on a side and contains  $10^{10}$  electronic components.
  - (a) What's the size of each component, assuming they're square?
  - (b) If a calculation requires that electrical impulses traverse  $10^4$  components on the chip, each a million times, how many such calculations can the computer perform each second? (*Hint:* The maximum speed of an electrical impulse is about two-thirds the speed of light.)
56. Estimate the number of (a) atoms and (b) cells in your body.
57. The numbers 1.27 and 9.97 are both good to three significant figures. What's the percent uncertainty in each number?
58. Continental drift occurs at about the rate your fingernails grow. Estimate the age of the Atlantic Ocean, given that the eastern and western hemispheres have been drifting apart.
59. You're driving into Canada and trying to decide whether to fill your gas tank before or after crossing the border. Gas in the United States costs \$2.58/gallon, in Canada it's \$1.29/L, and the Canadian dollar is worth 79¢ in U.S. currency. Where should you fill up?
60. In the 1908 London Olympics, the intended 26-mile marathon was extended 385 yards to put the end in front of the royal reviewing stand. This distance subsequently became standard. What's the marathon distance in kilometers, to the nearest meter?
61. **ENV** An environmental group is lobbying to shut down a coal-burning power plant that produces electrical energy at the rate of 1 GW (a watt, W, is a unit of power—the rate of energy production or consumption). They suggest replacing the plant with wind turbines that can produce 1.5 MW each but that, due to intermittent wind, average only 30% of that power. Estimate the number of wind turbines needed.
62. If you're working from the print version of this book, estimate the thickness of each sheet of paper. Or, estimate based on another print book.
63. **BIO** Estimate the area of skin on your body.
64. Estimate the mass of water in the world's oceans, and express it with SI prefixes.
65. Express the following with appropriate units and significant figures: (a)  $1.0 \text{ m}$  plus  $1 \text{ mm}$ , (b)  $1.0 \text{ m}$  times  $1 \text{ mm}$ , (c)  $1.0 \text{ m}$  minus  $999 \text{ mm}$ , and (d)  $1.0 \text{ m}$  divided by  $999 \text{ mm}$ .
66. You're shopping for a new computer, and a salesperson claims the microprocessor chip in the model you're looking at contains 50 billion electronic components. The chip measures 5 mm on a side and uses 14-nm technology, meaning each component is 14 nm across. Is the salesperson right?
67. In 2017, solar panels on your author's home generated 3849 kilowatt-hours (kWh) of electrical energy, while the house consumed electrical energy at an average rate of 392 watts (W). Find the average rate of solar power generation in watts, where 1 kWh is 3.6 megajoules (MJ; the joule is the SI unit of energy) and 1 W is 1 J/s. Did the house generate more or less electrical energy than it consumed?
68. The world consumes energy at the rate of about 500 EJ per year, where the joule (J) is the SI energy unit. Convert this figure to watts (W), where 1 W = 1 J/s, and then estimate the average per capita energy consumption rate in watts.

## 14 Chapter 1 Doing Physics

69. The volume of a sphere is given by  $V = \frac{4}{3}\pi r^3$ , where  $r$  is the sphere's radius. For solid spheres with the same density—made, for example, from the same material—mass is proportional to volume. The table below lists measures of diameter and mass for different steel balls. (a) Determine a quantity that, when you plot mass against it, should yield a straight line. (b) Make your plot, establish a best-fit line, and determine its slope (which in this case is proportional to the spheres' density).

Diameter (cm)	0.75	1.00	1.54	2.16	2.54
Mass (g)	1.81	3.95	15.8	38.6	68.2

### Passage Problems

**BIO** The human body contains about  $10^{14}$  cells, and the diameter of a typical cell is about  $10\text{ }\mu\text{m}$ . Like all ordinary matter, cells are made of atoms; a typical atomic diameter is  $0.1\text{ nm}$ .

70. How does the number of atoms in a cell compare with the number of cells in the body?
- a. greater
  - b. smaller
  - c. about the same

71. The volume of a cell is about
- a.  $10^{-10}\text{ m}^3$ .
  - b.  $10^{-15}\text{ m}^3$ .
  - c.  $10^{-20}\text{ m}^3$ .
  - d.  $10^{-30}\text{ m}^3$ .
72. The mass of a cell is about
- a.  $10^{-10}\text{ kg}$ .
  - b.  $10^{-12}\text{ kg}$ .
  - c.  $10^{-14}\text{ kg}$ .
  - d.  $10^{-16}\text{ kg}$ .
73. The number of atoms in the body is closest to
- a.  $10^{14}$ .
  - b.  $10^{20}$ .
  - c.  $10^{30}$ .
  - d.  $10^{40}$ .

### Answers to Chapter Questions

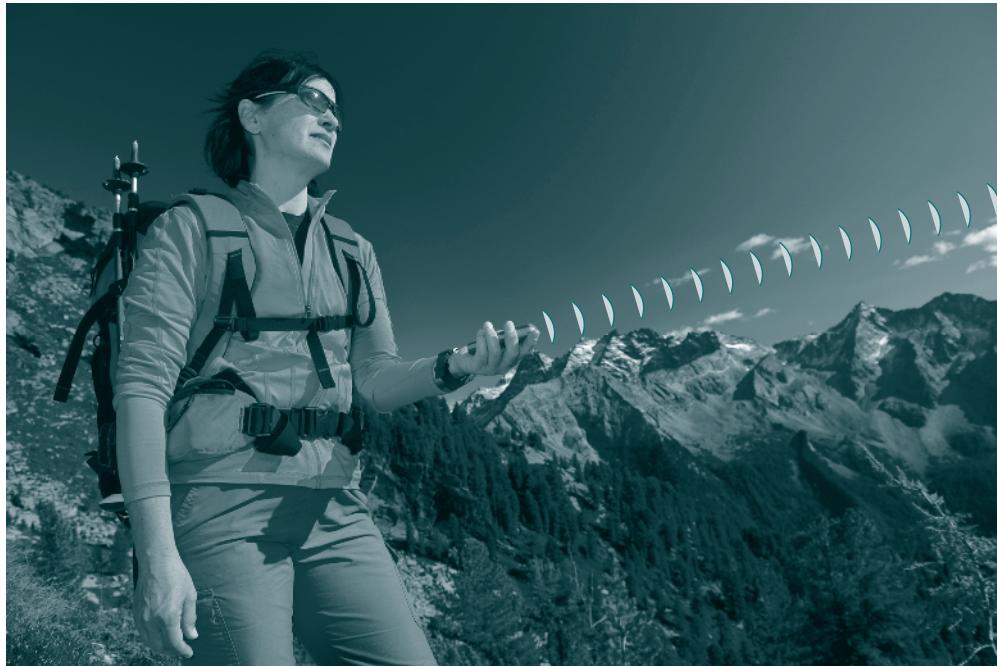
#### Answer to Chapter Opening Question

All of them!

#### Answers to GOT IT? Questions

- 1.1 (c)
- 1.2 (1)  $2.998 \times 10^{-9}$ ,  $0.0008$ ,  $3.14 \times 10^7$ ,  $0.041 \times 10^9$ ,  $55 \times 10^6$   
(2)  $0.0008$ ,  $0.041 \times 10^9$  and  $55 \times 10^6$  (with two significant figures each),  $3.14 \times 10^7$ ,  $2.998 \times 10^{-9}$

# Mechanics



A hiker checks her position using signals from GPS satellites.



## OVERVIEW

**A**wilderness hiker uses the Global Positioning System (GPS) to follow her chosen route. A farmer plows a field with centimeter-scale precision, guided by GPS and saving precious fuel as a result. One scientist uses GPS to track endangered elephants, another to study the accelerated flow of glaciers as Earth's climate warms. Our deep understanding of motion is what lets us use a constellation of satellites, 20,000 km up and moving faster than 10,000 km/h, to find positions on Earth so precisely.

Motion occurs at all scales, from the intricate dance of molecules at the heart of life's cellular mechanics, to the everyday motion of cars, baseballs, and our own bodies, to the trajectories of GPS and TV satellites and of spacecraft exploring the distant

planets, to the stately motions of the celestial bodies themselves and the overall expansion of the universe. The study of motion is called **mechanics**. The 11 chapters of Part 1 introduce the physics of motion, first for individual bodies and then for complicated systems whose constituent parts move relative to one another.

We explore motion here from the viewpoint of Newtonian mechanics, which applies accurately in all cases except the subatomic realm and when relative speeds approach that of light. The Newtonian mechanics of Part 1 provides the groundwork for much of the material in subsequent parts, until, in the book's final chapters, we extend mechanics into the subatomic and high-speed realms.

# Motion in a Straight Line

## Learning Outcomes

After finishing this chapter, you should be able to:

- LO 2.1** Define fundamental motion concepts: position, velocity, acceleration.
- LO 2.2** Distinguish instantaneous from average velocity and acceleration.
- LO 2.3** Determine velocity and position when acceleration is constant.
- LO 2.4** Describe how gravity near Earth's surface provides an example of constant acceleration.
- LO 2.5** Use calculus to deal with nonconstant acceleration.

## Skills & Knowledge You'll Need

- Units for measuring space and time (Section 1.2)
- Working with numbers using scientific notation and significant figures (Section 1.3)
- Your background in algebra and introductory calculus

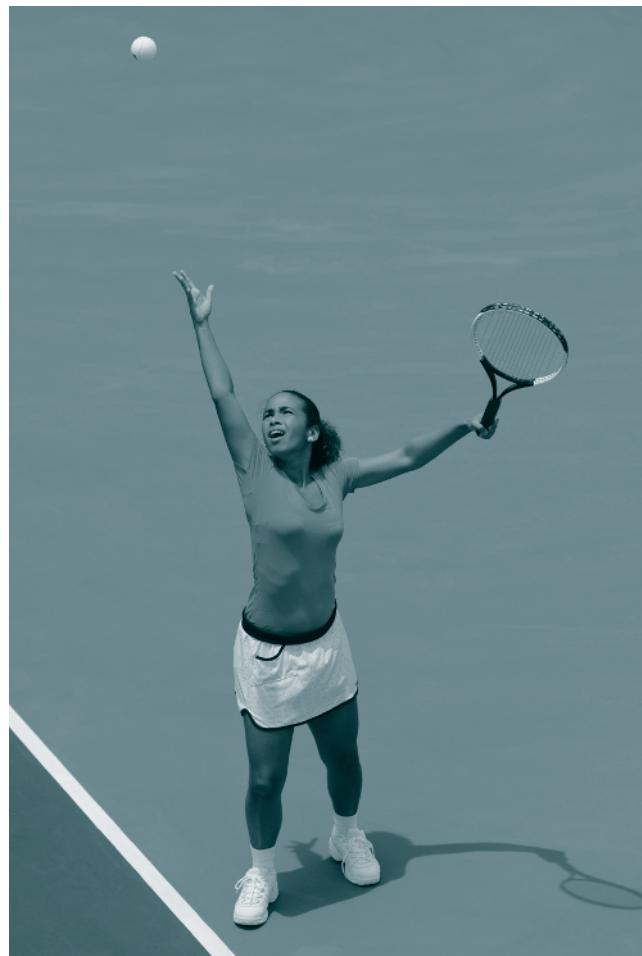
Electrons swarming around atomic nuclei, cars speeding along a highway, blood coursing through your veins, galaxies rushing apart in the expanding universe—all these are examples of matter in motion. The study of motion without regard to its cause is called **kinematics** (from the Greek “kinema,” or motion, as in motion pictures). This chapter deals with the simplest case: a single object moving in a straight line. Later, we generalize to motion in more dimensions and with more complicated objects. But the basic concepts and mathematical techniques we develop here continue to apply.

## 2.1 Average Motion

- LO 2.1** Define fundamental motion concepts: position, velocity, acceleration.

You drive 15 minutes to a pizza place 10 km away, grab your pizza, and return home in another 15 minutes. You've traveled a total distance of 20 km, and the trip took half an hour, so your **average speed**—distance divided by time—was 40 kilometers per hour. To describe your motion more precisely, we introduce the quantity  $x$  that gives your position at any time  $t$ . We then define the **displacement**,  $\Delta x$ , as the net change in position:  $\Delta x = x_2 - x_1$ , where  $x_1$  and

The server tosses the tennis ball straight up and hits it on its way down. Right at its peak height, the ball has zero velocity, but what's its acceleration?



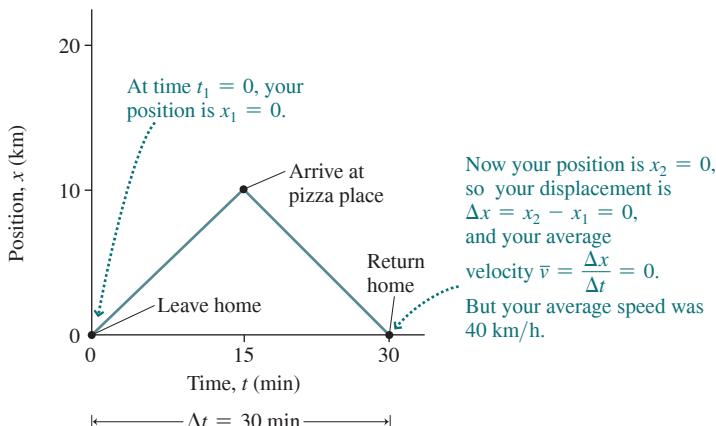


FIGURE 2.1 Position versus time for the pizza trip.

$x_2$  are your starting and ending positions, respectively. Your **average velocity**,  $\bar{v}$ , is displacement divided by the time interval:

Average velocity of an object in straight-line motion. The bar designates “average.”

$\Delta x$  is the displacement—the change in the object’s position during the time interval  $\Delta t$ .

It’s given by  $\Delta x = x_2 - x_1$ .

$$\bar{v} = \frac{\Delta x}{\Delta t} \quad (\text{average velocity}) \quad (2.1)$$

$\Delta t$  is the time interval during which the change in position occurs.

where  $\Delta t = t_2 - t_1$  is the interval between your ending and starting times. The bar in  $\bar{v}$  indicates an average quantity (and is read “ $v$  bar”). The symbol  $\Delta$  (capital Greek delta) stands for “the change in.” For the round trip to the pizza place, your overall displacement was zero, and therefore your average velocity was also zero—even though your average speed was not (Fig. 2.1).

## Directions and Coordinate Systems

It matters whether you go north or south, east or west. Displacement therefore includes not only *how far* but also *in what direction*. For motion in a straight line, we can describe both properties by taking position coordinates  $x$  to be positive going in one direction from some origin, and negative in the other. This gives us a one-dimensional **coordinate system**. The choice of coordinate system—both of origin and of which direction is positive—is entirely up to you. The coordinate system isn’t physically real; it’s just a convenience we create to help in the mathematical description of motion.

Figure 2.2 shows some cities in the American Midwest that lie on a north–south line. We’ve established a coordinate system with northward direction positive and origin at Kansas City. Arrows show displacements from Houston to Des Moines and from International Falls to Des Moines; the former is approximately +1300 km, and the latter is approximately −750 km, with the minus sign indicating a southward direction. Suppose the Houston-to-Des Moines trip takes 2.6 hours by plane; then the average velocity is  $(1300 \text{ km})/(2.6 \text{ h}) = 500 \text{ km/h}$ . If the International Falls-to-Des Moines trip takes 10 h by car, then the average velocity is  $(-750 \text{ km})/(10 \text{ h}) = -75 \text{ km/h}$ ; again, the minus sign indicates southward.

In calculating average velocity, all that matters is the overall displacement. Maybe that trip from Houston to Des Moines was a nonstop flight going 500 km/h. Or maybe it involved a faster plane that stopped for half an hour in Kansas City. Maybe the plane even went first to Minneapolis, then backtracked to Des Moines. No matter: The displacement remains 1300 km and, as long as the total time is 2.6 h, the average velocity remains 500 km/h.

### GOT IT?

- 2.1** We just described three trips from Houston to Des Moines: (a) direct, (b) with a stop in Kansas City, and (c) via Minneapolis. For which of these trips is the average speed the same as the average velocity? Where the two differ, which is greater?

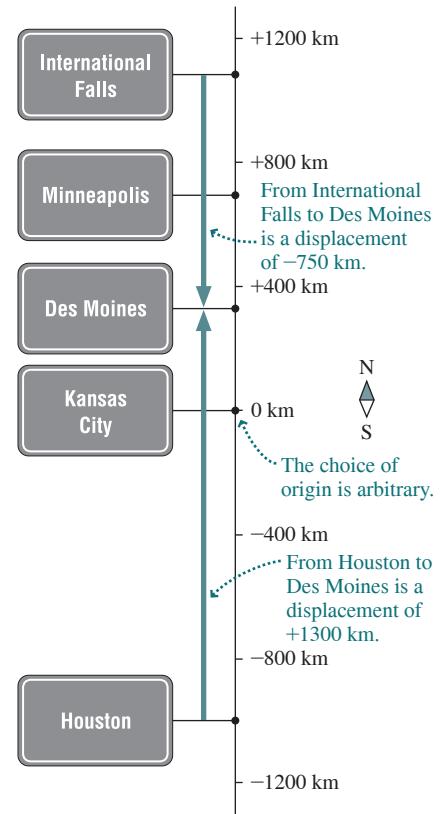


FIGURE 2.2 Describing motion in the central United States.

**EXAMPLE 2.1****Speed and Velocity: Flying with a Connection**

To get a cheap flight from Houston to Kansas City—a distance of 1000 km—you have to connect in Minneapolis, 700 km north of Kansas City. The flight to Minneapolis takes 2.2 h, then you have a 30-min layover, and then a 1.3-h flight to Kansas City. What are your average velocity and your average speed on this trip?

**INTERPRET** We interpret this as a one-dimensional kinematics problem involving the distinction between velocity and speed, and we identify three distinct travel segments: the two flights and the layover. We identify the key concepts as speed and velocity; their distinction is clear from our pizza example.

**DEVELOP** Figure 2.2 is our drawing. We determine that Equation 2.1,  $\bar{v} = \Delta x / \Delta t$ , will give the average velocity, and that the average speed is the total distance divided by the total time. We develop our plan: Find the displacement and the total time, and use those values to get the average velocity; then find the total distance traveled and use that along with the total time to get the average speed.

**EVALUATE** You start in Houston and end up in Kansas City, for a displacement of 1000 km—regardless of how far you actually traveled. The total time for the three segments is  $\Delta t = 2.2 \text{ h} + 0.50 \text{ h} + 1.3 \text{ h} = 4.0 \text{ h}$ . Then the average velocity, from Equation 2.1, is

$$\bar{v} = \frac{\Delta x}{\Delta t} = \frac{1000 \text{ km}}{4.0 \text{ h}} = 250 \text{ km/h}$$

However, that Minneapolis connection means you've gone an extra  $2 \times 700 \text{ km}$ , for a total distance of 2400 km in 4 h. Thus your average speed is  $(2400 \text{ km})/(4.0 \text{ h}) = 600 \text{ km/h}$ , more than twice your average velocity.

**ASSESS** Make sense? Average velocity depends only on the net displacement between the starting and ending points. Average speed takes into account the actual distance you travel—which can be a lot longer on a circuitous trip like this one. So it's entirely reasonable that the average speed should be greater.

## 2.2 Instantaneous Velocity

### LO 2.2 Distinguish instantaneous from average velocity and acceleration.

Geologists determine the velocity of a lava flow by dropping a stick into the lava and timing how long it takes the stick to go a known distance (Fig. 2.3a). Dividing the distance by the time then gives the average velocity. But did the lava flow faster at the beginning of the interval? Or did it speed up and slow down again? To understand motion fully, including how it changes with time, we need to know the velocity at each instant.

Geologists could explore that detail with a series of observations taken over smaller intervals of time and distance (Fig. 2.3b). As the size of the intervals shrinks, a more detailed picture of the motion emerges. In the limit of very small intervals, we're measuring the velocity at a single instant. This is the **instantaneous velocity**, or simply the **velocity**. The magnitude of the instantaneous velocity is the **instantaneous speed**.

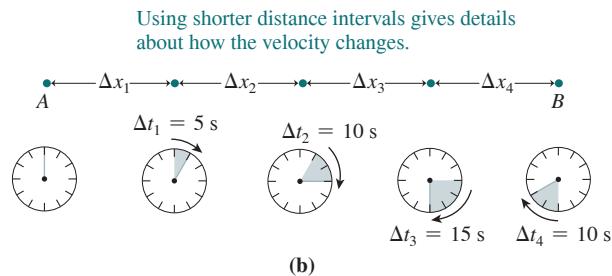
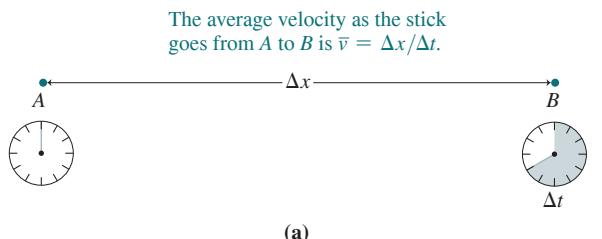


FIGURE 2.3 Determining the velocity of a lava flow.

You might object that it's impossible to achieve that limit of an arbitrarily small time interval. With observational measurements that's true, but calculus lets us go there. Figure 2.4a is a plot of position versus time for the stick in the lava flow shown in Fig. 2.3. Where the curve is steep, the position changes rapidly with time—so the velocity is greater. Where the curve is flatter, the velocity is lower. Study the clocks in Fig. 2.3b and you'll see that the stick starts out moving rapidly, then slows, and then speeds up a bit at the end. The curve in Fig. 2.4a reflects this behavior.

Suppose we want the instantaneous velocity at the time marked  $t_1$  in Fig. 2.4a. We can approximate this quantity by measuring the displacement  $\Delta x$  over the interval  $\Delta t$  between  $t_1$  and some later time  $t_2$ . The ratio  $\Delta x/\Delta t$  is then the average velocity over this interval. Note that this ratio is the slope of a line drawn through points on the curve that mark the ends of the interval.

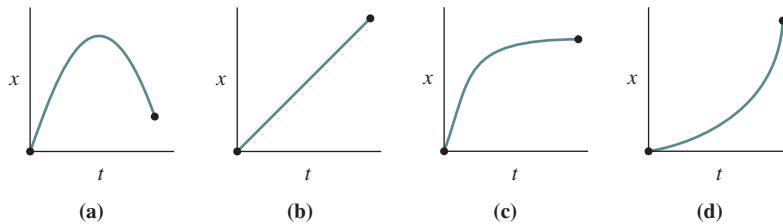
Figure 2.4b shows what happens as we make the time interval  $\Delta t$  arbitrarily small: Eventually, the line between the two points becomes indistinguishable from the tangent line to the curve. That tangent line has the same slope as the curve right at the point we're interested in, and therefore it defines the instantaneous velocity at that point. We write this mathematically by saying that the instantaneous velocity is the limit, as the time interval  $\Delta t$  becomes arbitrarily close to zero, of the ratio of displacement  $\Delta x$  to  $\Delta t$ :

$$v = \lim_{\Delta t \rightarrow 0} \frac{\Delta x}{\Delta t} \quad (2.2a)$$

You can imagine making the interval  $\Delta t$  as close to zero as you like, getting ever better approximations to the instantaneous velocity. Given a graph of position versus time, an easy approach is to “eyeball” the tangent line to the graph at a point you're interested in; its slope is the instantaneous velocity (Fig. 2.5).

### GOT IT?

**2.2** The figures show position-versus-time graphs for four objects. Which object is moving with constant speed? Which reverses direction? Which starts slowly and then speeds up?



Given position as a mathematical function of time, calculus provides a quick way to find instantaneous velocity. In calculus, the result of the limiting process described in Equation 2.2a is called the **derivative** of  $x$  with respect to  $t$  and is given the symbol  $dx/dt$ :

$$\frac{dx}{dt} = \lim_{\Delta t \rightarrow 0} \frac{\Delta x}{\Delta t}$$

The quantities  $dx$  and  $dt$  are called **infinitesimals**; they represent vanishingly small quantities that result from the limiting process. We can then write Equation 2.2a as

*dx and dt are infinitesimally small quantities that result from the limiting procedure described in Fig. 2.4 and Equation 2.2a.*

$$v = \frac{dx}{dt} \quad (\text{instantaneous velocity}) \quad (2.2b)$$

The instantaneous velocity  $v$  is the velocity at a single instant of time.

Instantaneous velocity is given by the derivative  $dx/dt$ —the rate of change of position with respect to time.

Given position  $x$  as a function of time  $t$ , calculus shows how to find the velocity  $v = dx/dt$ . Consult Tactics 2.1 if you haven't yet seen derivatives in your calculus class or if you need a refresher.

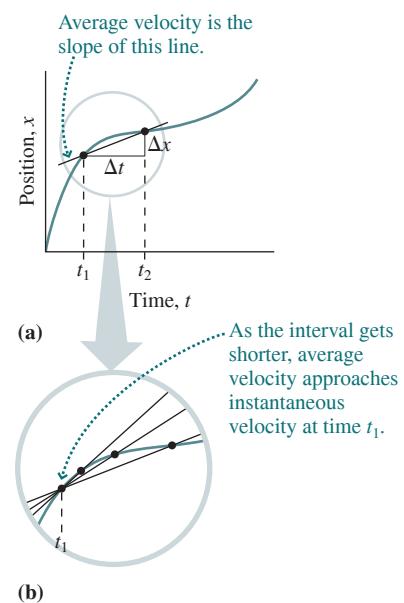


FIGURE 2.4 Position-versus-time graph for the motion in Fig. 2.3.

The slopes of three tangent lines give the instantaneous velocity at three different times.

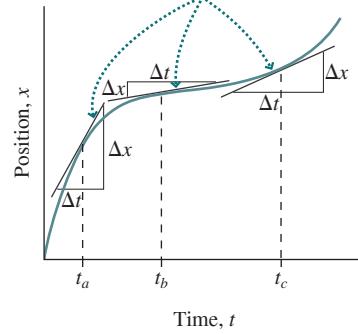


FIGURE 2.5 The instantaneous velocity is the slope of the tangent line.

**Tactics 2.1 TAKING DERIVATIVES**

You don't have to go through an elaborate limiting process every time you want to find an instantaneous velocity. That's because calculus provides formulas for the derivatives of common functions. For example, any function of the form  $x = bt^n$ , where  $b$  and  $n$  are constants, has the derivative

$$\frac{dx}{dt} = nb t^{n-1} \quad (2.3)$$

Appendix A lists derivatives of other common functions.

**EXAMPLE 2.2 Instantaneous Velocity: A Rocket Ascends**

The altitude of a rocket in the first half-minute of its ascent is given by  $x = bt^2$ , where the constant  $b$  is  $2.90 \text{ m/s}^2$ . Find a general expression for the rocket's velocity as a function of time and from it the instantaneous velocity at  $t = 20 \text{ s}$ . Also find an expression for the average velocity, and compare your two velocity expressions.

**INTERPRET** We interpret this as a problem involving the comparison of two distinct but related concepts: instantaneous velocity and average velocity. We identify the rocket as the object whose velocities we're interested in.

**DEVELOP** Equation 2.2b,  $v = dx/dt$ , gives the instantaneous velocity, and Equation 2.1,  $\bar{v} = \Delta x/\Delta t$ , gives the average velocity. Our plan is to use Equation 2.3,  $dx/dt = nb t^{n-1}$ , to evaluate the derivative that gives the instantaneous velocity. Then we can use Equation 2.1 for the average velocity, but first we'll need to determine the displacement from the equation we're given for the rocket's position.

**EVALUATE** Applying Equation 2.2b with position given by  $x = bt^2$  and using Equation 2.3 to evaluate the derivative, we have

$$v = \frac{dx}{dt} = \frac{d(bt^2)}{dt} = 2bt$$

for the instantaneous velocity. Evaluating at time  $t = 20 \text{ s}$  with  $b = 2.90 \text{ m/s}^2$  gives  $v = 116 \text{ m/s}$ . For the average velocity we need the total displacement at 20 s. Since  $x = bt^2$ , Equation 2.1 gives

$$\bar{v} = \frac{\Delta x}{\Delta t} = \frac{bt^2}{t} = bt$$

where we've used  $x = bt^2$  for  $\Delta x$  and  $t$  for  $\Delta t$  because both position and time are taken to be zero at liftoff. Comparison with our earlier result shows that the average velocity from liftoff to any particular time is exactly half the instantaneous velocity at that time.

**ASSESS** Make sense? Yes: The rocket's speed is always increasing, so its velocity at the end of any time interval is greater than the average velocity over that interval. The fact that the average velocity is exactly half the instantaneous velocity results from the quadratic ( $t^2$ ) dependence of position on time.



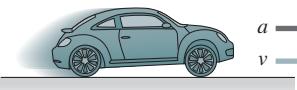
**LANGUAGE** Language often holds clues to the meaning of physical concepts. In this example we speak of the *instantaneous velocity at a particular time*. That wording should remind you of the limiting process that focuses on a single instant. In contrast, we speak of the *average velocity over a time interval*, since averaging explicitly involves a range of times.

## 2.3 Acceleration

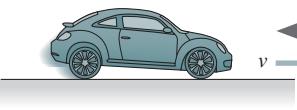
### LO 2.2 Distinguish instantaneous from average velocity and acceleration.

When velocity changes, as in Example 2.2, an object undergoes **acceleration**. Quantitatively, we define *acceleration* as the rate of change of velocity, just as we defined velocity as the rate of change of position. The **average acceleration** over a time interval  $\Delta t$  is

When  $a$  and  $v$  have the same direction, the car speeds up.



(a) When  $a$  is opposite  $v$ , the car slows.



(b)

Average acceleration of an object in straight-line motion. The bar designates "average."

$\Delta v$  is the change in the object's velocity during the time interval  $\Delta t$ . It's given by  $\Delta v = v_2 - v_1$ .

$$\bar{a} = \frac{\Delta v}{\Delta t} \quad (\text{average acceleration}) \quad (2.4)$$

$\Delta t$  is the time interval during which the change in velocity occurs.

where  $\Delta v$  is the change in velocity and the bar on  $\bar{a}$  indicates that this is an average value. Just as we defined *instantaneous velocity* through a limiting procedure, we define **instantaneous acceleration** as

FIGURE 2.6 Acceleration and velocity.

The instantaneous acceleration  $a$  is the acceleration at a single instant of time.

$$a = \lim_{\Delta t \rightarrow 0} \frac{\Delta v}{\Delta t} = \frac{dv}{dt} \quad (\text{instantaneous acceleration}) \quad (2.5)$$

$a$  is given by the same limiting procedure that led to instantaneous velocity  $v$ .

The result of that limiting procedure is the derivative  $dv/dt$ —the rate of change of velocity with respect to time.

As we did with velocity, we also use the term *acceleration* alone to mean instantaneous acceleration.

In one-dimensional motion, acceleration is either in the direction of the velocity or opposite it. In the former case the accelerating object speeds up, whereas in the latter it slows (Fig. 2.6). Although slowing is sometimes called *deceleration*, it's simpler to use *acceleration* to describe the time rate of change of velocity no matter what's happening. With two-dimensional motion, we'll find much richer relationships between the directions of velocity and acceleration.

Since acceleration is the rate of change of velocity, its units are (distance per time) per time, or distance/time<sup>2</sup>. In SI, that's m/s<sup>2</sup>. Sometimes acceleration is given in mixed units; for example, a car going from 0 to 60 mi/h in 10 s has an average acceleration of 6 mi/h/s.

## Position, Velocity, and Acceleration

Figure 2.7 shows graphs of position, velocity, and acceleration for an object undergoing one-dimensional motion. In Fig. 2.7a, the rise and fall of the position-versus-time curve shows that the object first moves away from the origin, reverses, then reaches the origin again at  $t = 4$  s. It then continues moving into the region  $x < 0$ . Velocity, shown in Fig. 2.7b, is the slope of the position-versus-time curve in Fig. 2.7a. Note that the magnitude of the

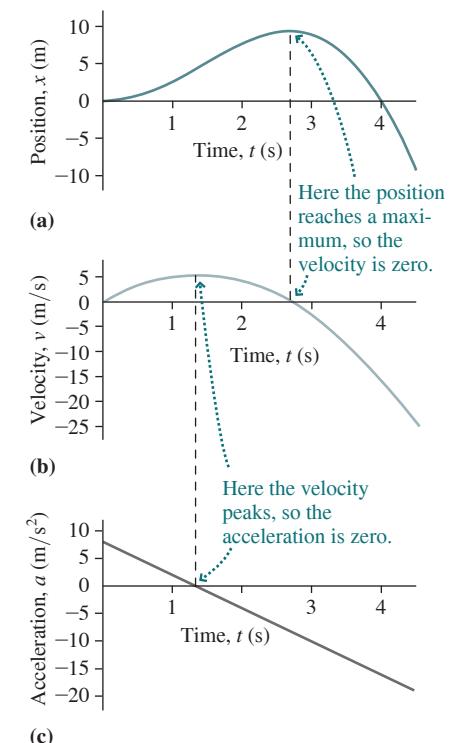


FIGURE 2.7 (a) Position, (b) velocity, and (c) acceleration versus time.

### CONCEPTUAL EXAMPLE 2.1

### Acceleration without Velocity?

Can an object be accelerating even though it's not moving?

**EVALUATE** Figure 2.7 shows that velocity is the *slope* of the position curve—and the slope depends on how the position is *changing*, not on its actual value. Similarly, acceleration depends only on the *rate of change* of velocity, not on velocity itself. So there's no intrinsic reason why there can't be acceleration at an instant when velocity is zero.

**ASSESS** Figure 2.8, which shows a ball thrown straight up, is a case in point. Right at the peak of its flight, the ball's velocity is instantaneously zero. But just before the peak it's moving upward, and just after it's moving downward. No matter how small a time interval you consider, the velocity is always changing. Therefore, the ball is accelerating, even right at the instant its velocity is zero.

**MAKING THE CONNECTION** Just 0.010 s before it peaks, the ball in Fig. 2.8 is moving upward at 0.098 m/s; 0.010 s after it peaks, it's moving downward with the same speed. What's its average acceleration over this 0.02-s interval?

**EVALUATE** Equation 2.4 gives the average acceleration:  $\bar{a} = \Delta v/\Delta t = (-0.098 \text{ m/s} - 0.098 \text{ m/s})/(0.020 \text{ s}) = -9.8 \text{ m/s}^2$ . Here we've implicitly chosen a coordinate system with a positive upward direction, so both the final velocity and the acceleration are negative. The time interval is so small that our result must be close to the instantaneous acceleration right at the peak—when the velocity is zero. You might recognize  $9.8 \text{ m/s}^2$  as the acceleration due to the Earth's gravity.

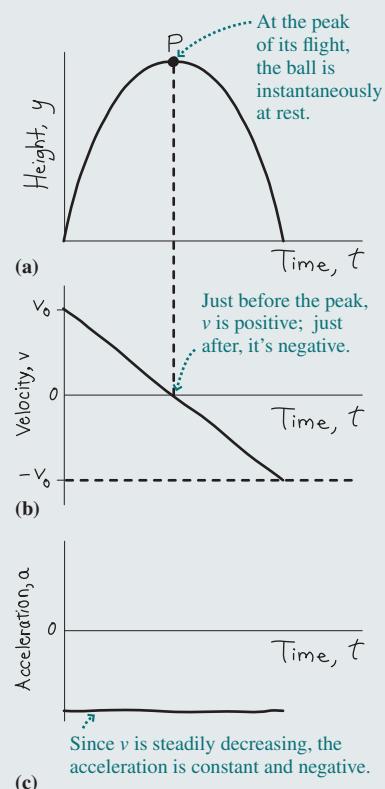


FIGURE 2.8 Our sketch for Conceptual Example 2.1.

velocity (that is, the speed) is large where the curve in Fig. 2.7a is steep—that is, where position is changing most rapidly. At the peak of the position curve, the object is momentarily at rest as it reverses, so there the position curve is flat and the velocity is zero. After the object reverses, at about 2.7 s, it's heading in the negative  $x$ -direction, and so its velocity is negative.

Just as velocity is the slope of the position-versus-time curve, acceleration is the slope of the velocity-versus-time curve. Initially that slope is positive—velocity is increasing—but eventually it peaks at the point of maximum velocity and zero acceleration, and then it decreases. That velocity decrease corresponds to a negative acceleration, as shown clearly in the region of Fig. 2.7c beyond about 1.3 s.

Acceleration is the rate of change of velocity, and velocity is the rate of change of position. That makes acceleration the rate of change of the rate of change of position. Mathematically, acceleration is the **second derivative** of position with respect to time. Symbolically, we write the second derivative as  $d^2x/dt^2$ . Then the relationship among acceleration, velocity, and position can be written

$$a = \frac{dv}{dt} = \frac{d}{dt}\left(\frac{dx}{dt}\right) = \frac{d^2x}{dt^2} \quad (2.6)$$

Equation 2.6 expresses acceleration in terms of position through the calculus operation of taking the second derivative. If you've studied integrals in calculus, you can see that it should be possible to go the opposite way, finding position as a function of time given acceleration as a function of time. In Section 2.4 we'll do this for the special case of constant acceleration, although there we'll take an algebra-based approach; Problem 93 obtains the same results using calculus. We'll take a quick look at nonconstant acceleration in Section 2.6. The Application on this page provides an important technology that finds an object's position from its acceleration.

## APPLICATION Inertial Guidance

Given an object's initial position and velocity, and its subsequent acceleration—which may vary with time—it's possible to invert Equation 2.6 and solve for position (more on the mathematics of this inversion in Section 2.6). *Inertial guidance systems*, also called *inertial navigation systems*, exploit this principle to allow submarines, ships, and airplanes to keep track of their locations based solely on internal measurements of their own acceleration. This frees them from the need for external positioning references such as GPS, radar, or direct observation. Inertial guidance is especially important for submarines, which usually can't access external sources for information about their positions. In the one-dimensional motion of this chapter, an inertial guidance system would consist of a single accelerometer whose reading is tracked continually. In practical systems, three accelerometers at right angles track acceleration in all three dimensions. Information from on-board gyroscopes registers orientation, so the system "knows" the changing directions of the three accelerations.

Early inertial guidance systems were heavy and expensive, but the miniaturization of accelerometers and gyroscopes—so that they're now in every smartphone—has enabled smaller and less expensive inertial guidance systems. The photo shows a complete inertial navigation system developed by the U.S. Defense Advanced Research Projects Agency (DARPA) for use in locations where GPS signals aren't available; it's so small that it fits within the Lincoln Memorial on a penny!



### GOT IT?

- 2.3** An elevator is going up at constant speed, slows to a stop, then starts down and soon reaches the same constant speed it had going up. Is the elevator's average acceleration between its upward and downward constant-speed motions (a) zero, (b) downward, (c) first upward and then downward, or (d) first downward and then upward?

## 2.4 Constant Acceleration

### LO 2.3 Determine velocity and position when acceleration is constant.

The description of motion has an especially simple form when acceleration is constant. Suppose an object starts at time  $t = 0$  with some initial velocity  $v_0$  and constant acceleration  $a$ . Later, at some time  $t$ , it has velocity  $v$ . Because the acceleration doesn't change, its average and instantaneous values are identical, so we can write

$$a = \bar{a} = \frac{\Delta v}{\Delta t} = \frac{v - v_0}{t - 0}$$

or, rearranging,

Velocity  $v$  as a function  
of time when acceleration  
is constant.

Velocity changes linearly  
with time.

$$v = v_0 + at \quad (\text{for constant acceleration only}) \quad (2.7)$$

$v_0$  is the initial velocity at time  $t = 0$ .

Remember that this equation is only for the  
special case of constant acceleration!

This equation says that the velocity changes from its initial value by an amount that is the product of acceleration and time.



**SPECIAL CASES** Many equations we develop are special cases of more general laws, and they're limited to special circumstances. Equation 2.7 is a case in point: It applies only when acceleration is constant.

Having determined velocity as a function of time, we now consider position. With constant acceleration, velocity increases steadily—and thus the average velocity over an interval is the average of the velocities at the beginning and the end of that interval. So we can write

$$\bar{v} = \frac{1}{2}(v_0 + v) \quad (2.8)$$

for the average velocity over the interval from  $t = 0$  to some later time when the velocity is  $v$ . We can also write the average velocity as the change in position divided by the time interval. Suppose that at time 0 our object was at position  $x_0$ . Then its average velocity over a time interval from 0 to time  $t$  is

$$\bar{v} = \frac{\Delta x}{\Delta t} = \frac{x - x_0}{t - 0}$$

where  $x$  is the object's position at time  $t$ . Equating this expression for  $\bar{v}$  with the expression in Equation 2.8 gives

$$x = x_0 + \bar{v}t = x_0 + \frac{1}{2}(v_0 + v)t \quad (2.9)$$

But we already found the instantaneous velocity  $v$  that appears in this expression; it's given by Equation 2.7. Substituting and simplifying then give the position as a function of time:

$x_0$  is the initial position. It's plotted as a horizontal line in Fig. 2.9.

This term results from the constant acceleration  $a$ . It gives a quadratic increase in position, as described by the curve in Fig. 2.9.

Position  $x$  as a function of time when acceleration  $a$  is constant

$v_0$  is the initial velocity. The term  $v_0t$  describes a linear change in position, as described by the diagonal line in Fig. 2.9.

Remember that this equation is only for the special case of constant acceleration!

$$x = x_0 + v_0t + \frac{1}{2}at^2 \quad (\text{for constant acceleration only}) \quad (2.10)$$

Does Equation 2.10 make sense? With no acceleration ( $a = 0$ ), position would increase linearly with time, at a rate given by the initial velocity  $v_0$ . With constant acceleration, the additional term  $\frac{1}{2}at^2$  describes the effect of the ever-changing velocity; time is squared because the longer the object travels, the faster it moves, so the more distance it covers in a given time. Figure 2.9 shows the meaning of the terms in Equation 2.10.

How much runway do I need to land a jetliner, given touchdown speed and a constant acceleration? A question like this involves position, velocity, and acceleration without explicit mention of time. So we solve Equation 2.7 for time,  $t = (v - v_0)/a$ , and substitute this expression for  $t$  in Equation 2.9 to write

$$x - x_0 = \frac{1}{2} \frac{(v_0 + v)(v - v_0)}{a}$$

or, since  $(a + b)(a - b) = a^2 - b^2$ ,

$$v^2 = v_0^2 + 2a(x - x_0) \quad (2.11)$$

Equations 2.7, 2.9, 2.10, and 2.11 link all possible combinations of position, velocity, and acceleration for motion with constant acceleration. We summarize them in Table 2.1 and remind you that they apply *only* in the case of constant acceleration.

Although we derived these equations algebraically, we could instead have used calculus. Problem 93 takes this approach in getting from Equation 2.7 to Equation 2.10.

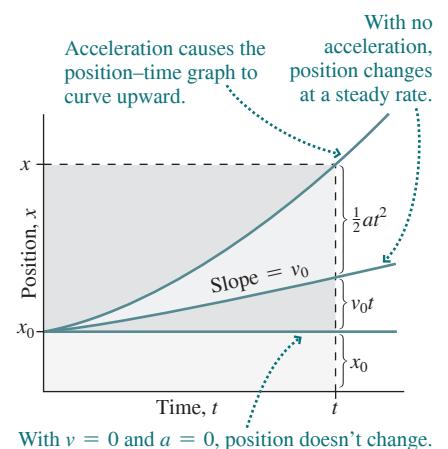


FIGURE 2.9 Meaning of the terms in Equation 2.10.

Table 2.1 Equations of Motion for Constant Acceleration

Equation	Contains	Number
$v = v_0 + at$	$v, a, t; \text{no } x$	2.7
$x = x_0 + \frac{1}{2}(v_0 + v)t$	$x, v, t; \text{no } a$	2.9
$x = x_0 + v_0t + \frac{1}{2}at^2$	$x, a, t; \text{no } v$	2.10
$v^2 = v_0^2 + 2a(x - x_0)$	$x, v, a; \text{no } t$	2.11

## Using the Equations of Motion

The equations in Table 2.1 fully describe motion under constant acceleration. Don't regard them as separate laws, but recognize them as complementary descriptions of a single underlying phenomenon—one-dimensional motion with *constant acceleration*. Having several equations provides convenient starting points for approaching problems. Don't memorize these equations, but grow familiar with them as you work problems. We now offer a strategy for solving problems about one-dimensional motion with *constant acceleration* using these equations.

## PROBLEM-SOLVING STRATEGY 2.1

## Motion with Constant Acceleration

**INTERPRET** Interpret the problem to be sure it asks about motion with *constant acceleration*. Next, identify the object(s) whose motion you're interested in.

**DEVELOP** Draw a diagram with appropriate labels, and choose a coordinate system. For instance, sketch the initial and final physical situations, or draw a position-versus-time graph. Then determine which equations of motion from Table 2.1 contain the quantities you're given and will be easiest to solve for the unknown(s).

**EVALUATE** Solve the equations in symbolic form and then evaluate numerical quantities.

**ASSESS** Does your answer make sense? Are the units correct? Do the numbers sound reasonable? What happens in special cases—for example, when a distance, velocity, acceleration, or time becomes very large or very small?

The next two examples are typical of problems involving constant acceleration. Example 2.3 is a straightforward application of the equations we've just derived to a single object. Example 2.4 involves two objects, in which case we need to write equations describing the motions of both objects.

## EXAMPLE 2.3

## Motion with Constant Acceleration: Landing a Jetliner

## Worked Example with Variation Problems

A jetliner touches down at 270 km/h. The plane then decelerates (i.e., undergoes acceleration directed opposite its velocity) at  $4.5 \text{ m/s}^2$ . What's the minimum runway length on which this aircraft can land?

**INTERPRET** We interpret this as being a problem about one-dimensional motion with constant acceleration and identify the airplane as the object of interest.

**DEVELOP** We determine that Equation 2.11,  $v^2 = v_0^2 + 2a(x - x_0)$ , relates distance, velocity, and acceleration; so our plan is to solve that equation for the minimum runway length. We want the airplane to come to a stop, so the final velocity  $v$  is 0, and  $v_0$  is the initial touchdown velocity. If  $x_0$  is the touchdown point, then the quantity  $x - x_0$  is the distance we're interested in; we'll call this  $\Delta x$ .

**EVALUATE** Setting  $v = 0$  and solving Equation 2.11 then give

$$\Delta x = \frac{-v_0^2}{2a} = \frac{-[(270 \text{ km/h})(1000 \text{ m/km})(1/3600 \text{ h/s})]^2}{(2)(-4.5 \text{ m/s}^2)} = 625 \text{ m}$$

Note that we used a negative value for the acceleration because the plane's acceleration is directed opposite its velocity—which we chose as the positive  $x$ -direction. We also converted the speed to m/s for compatibility with the SI units given for acceleration.

**ASSESS** Make sense? That 625 m is just over one-third of a mile, which seems a bit short. However, this is an absolute minimum with no margin of safety. For full-sized jetliners, the standard for minimum landing runway length is about 5000 feet or 1.5 km.



**BE CAREFUL WITH MIXED UNITS** Frequently, problems are stated in units other than SI. Although it's possible to work consistently in other units, when in doubt, convert to SI. In this problem, the acceleration is originally in SI units but the velocity isn't—a sure indication of the need for conversion.

## EXAMPLE 2.4

## Motion with Two Objects: Speed Trap!

A speeding motorist zooms through a 50 km/h zone at 75 km/h (that's 21 m/s) without noticing a stationary police car. The police officer immediately heads after the speeder, accelerating at  $2.5 \text{ m/s}^2$ . When the officer catches up to the speeder, how far down the road are they, and how fast is the police car going?

**INTERPRET** We interpret this as *two* problems about one-dimensional motion with constant acceleration. We identify the objects in question as the speeding car and the police car. Their motions are related because we're interested in the point where the two coincide.

**DEVELOP** It's helpful to draw a sketch showing qualitatively the position-versus-time graphs for the two cars. Since the speeding car moves with constant speed, its graph is a straight line. The police car is accelerating from rest, so its graph starts flat and gets increasingly steeper. Our sketch in Fig. 2.10 shows clearly the point we're interested

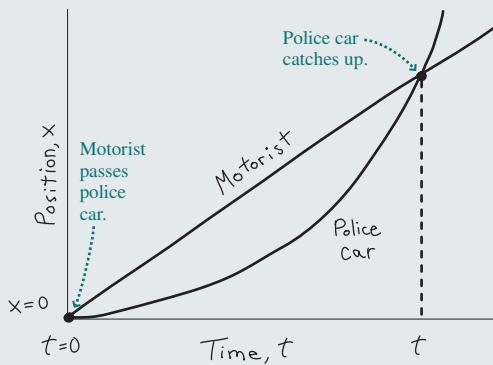


FIGURE 2.10 Our sketch of position versus time for the cars in Example 2.4.

in, when the two cars coincide for the second time. Equation 2.10,  $x = x_0 + v_0 t + \frac{1}{2} a t^2$ , gives position versus time with constant acceleration. Our plan is (1) to write versions of this equation specialized to each car, (2) to equate the resulting position expressions to find the time when the cars coincide, and (3) to find the corresponding position and the police car's velocity. For the latter we'll use Equation 2.7,  $v = v_0 + at$ .

**EVALUATE** Let's take the origin to be the point where the speeder passes the police car and  $t = 0$  to be the corresponding time, as marked in Fig. 2.10. Then  $x_0 = 0$  in Equation 2.10 for both cars, while the speeder has no acceleration and the police car has no initial velocity. Thus our two versions of Equation 2.10 are

$$x_s = v_{s0}t \quad (\text{speeder}) \quad \text{and} \quad x_p = \frac{1}{2}a_p t^2 \quad (\text{police car})$$

Equating  $x_s$  and  $x_p$  tells when the speeder and the police car are at the same place, so we write  $v_{s0}t = \frac{1}{2}a_p t^2$ . This equation is satisfied when  $t = 0$  or  $t = 2v_{s0}/a_p$ . Why two answers? We asked for *any* times when the two cars are in the same place. That includes the initial encounter at

$t = 0$  as well as the later time  $t = 2v_{s0}/a_p$  when the police car catches the speeder; both points are shown on our sketch. *Where* does this occur? We can evaluate using  $t = 2v_{s0}/a_p$  in the speeder's equation:

$$x_s = v_{s0}t = v_{s0} \frac{2v_{s0}}{a_p} = \frac{2v_{s0}^2}{a_p} = \frac{(2)(21 \text{ m/s})^2}{2.5 \text{ m/s}^2} = 350 \text{ m}$$

Equation 2.7 then gives the police car's speed at this time:

$$v_p = a_p t = a_p \frac{2v_{s0}}{a_p} = 2v_{s0} = 150 \text{ km/h}$$

**ASSESS** Make sense? As Fig. 2.10 shows, the police car starts from rest and undergoes constant acceleration, so it has to be going faster at the point where the two cars meet. In fact, it's going twice as fast—again, as in Example 2.2, that's because the police car's position depends quadratically on time. That quadratic dependence also tells us that the police car's position-versus-time graph in Fig. 2.10 is a parabola.

### GOT IT?

- 2.4** The police car in Example 2.4 starts with zero velocity and is going at twice the car's velocity when it catches up to the car. So at some intermediate instant it must be going at the same velocity as the car. Is that instant (a) halfway between the times when the two cars coincide, (b) closer to the time when the speeder passes the stationary police car, or (c) closer to the time when the police car catches the speeder?

## 2.5 The Acceleration of Gravity

### LO 2.4 Describe how gravity near Earth's surface provides an example of constant acceleration.

Drop an object, and it falls at an increasing rate, accelerating because of gravity (Fig. 2.11). The acceleration is constant for objects falling near Earth's surface, and furthermore it has the same value for all objects. This value, the **acceleration of gravity**, is designated  $g$  and is approximately  $9.8 \text{ m/s}^2$  near Earth's surface.

The acceleration of gravity applies strictly only in **free fall**—motion under the influence of gravity alone. Air resistance, in particular, may dramatically alter the motion, giving the false impression that gravity acts differently on lighter and heavier objects. As early as the year 1600, Galileo is reputed to have shown that all objects have the same acceleration by dropping objects off the Leaning Tower of Pisa. Astronauts have verified that a feather and a hammer fall with the same acceleration on the airless Moon—although that acceleration is less than on Earth.

Although  $g$  is approximately constant near Earth's surface, it varies slightly with latitude and even local geology. The variation with altitude becomes substantial over distances of tens to hundreds of kilometers. But nearer Earth's surface it's a good approximation to take  $g$  as strictly constant. Then an object in free fall undergoes constant acceleration, and the equations of Table 2.1 apply. In working gravitational problems, we usually replace  $x$  with  $y$  to designate the vertical direction. If we make the arbitrary but common choice that the upward direction is positive, then acceleration  $a$  becomes  $-g$  because the acceleration is downward.



**FIGURE 2.11** Strobe photo of a falling ball. Successive images are farther apart, showing that the ball is accelerating.

**EXAMPLE 2.5****Constant Acceleration Due to Gravity: Cliff Diving**  
*Worked Example with Variation Problems*

A diver drops from a 10-m-high cliff. At what speed does he enter the water, and how long is he in the air?

**INTERPRET** This is a case of constant acceleration due to gravity, and the diver is the object of interest. The diver drops a known distance starting from rest, and we want to know the speed and time when he hits the water.

**DEVELOP** Figure 2.12 is a sketch showing what the diver's position versus time should look like. We've incorporated what we know: the initial position 10 m above the water, the start from rest, and the downward acceleration that results in a parabolic position-versus-time curve. Given the dive height, Equation 2.11 determines the speed  $v$ . Following our newly adopted convention that  $y$  designates the vertical direction, we write Equation 2.11 as  $v^2 = v_0^2 + 2a(y - y_0)$ . Since the diver starts from rest,  $v_0 = 0$  and the equation becomes  $v^2 = -2g(y - y_0)$ . So our plan is first to solve for the speed at the water, then use Equation 2.7,  $v = v_0 + at$ , to get the time.

**EVALUATE** Our sketch shows that we've chosen  $y = 0$  at the water, so  $y_0 = 10$  m and Equation 2.11 gives

$$|v| = \sqrt{-2g(y - y_0)} = \sqrt{(-2)(9.8 \text{ m/s}^2)(0 \text{ m} - 10 \text{ m})} = 14 \text{ m/s}$$

This is the magnitude of the velocity, hence the absolute value sign; the actual value is  $v = -14 \text{ m/s}$ , with the minus sign indicating downward motion. Knowing the initial and final velocities, we use Equation 2.7 to find how long the dive takes. Solving that equation for  $t$  gives

$$t = \frac{v_0 - v}{g} = \frac{0 \text{ m/s} - (-14 \text{ m/s})}{9.8 \text{ m/s}^2} = 1.4 \text{ s}$$

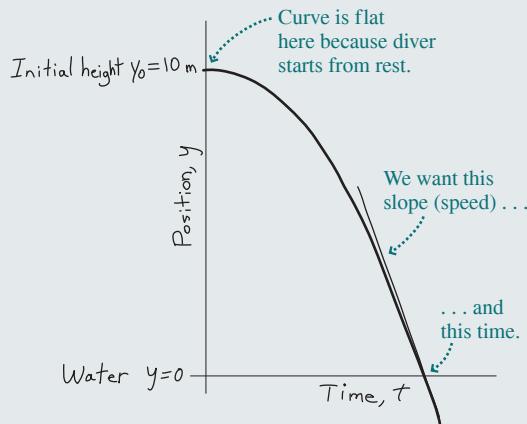


FIGURE 2.12 Our sketch for Example 2.5.

Note the careful attention to signs here; we wrote  $v$  with its negative sign and used  $a = -g$  in Equation 2.7 because we defined downward to be the negative direction in our coordinate system.

**ASSESS** Make sense? Our expression for  $v$  gives a higher speed with a greater acceleration or a greater distance  $y - y_0$ —both as expected. Our approach here isn't the only one possible; we could also have found the time by solving Equation 2.10 and then evaluating the speed using Equation 2.7.

In Example 2.5 the diver was moving downward, and the downward gravitational acceleration steadily increased his speed. But, as Conceptual Example 2.1 suggested, the acceleration of gravity is downward regardless of an object's motion. Throw a ball straight up, and it's accelerating *downward* even while moving *upward*. Since velocity and acceleration are in opposite directions, the ball slows until it reaches its peak, then pauses instantaneously, and then gains speed as it falls. All the while its acceleration is  $9.8 \text{ m/s}^2$  downward.

**EXAMPLE 2.6****Constant Acceleration Due to Gravity: Tossing a Ball**

You toss a ball straight up at  $7.3 \text{ m/s}$ ; it leaves your hand at  $1.5 \text{ m}$  above the floor. Find when it hits the floor, the maximum height it reaches, and its speed when it passes your hand on the way down.

**INTERPRET** We have constant acceleration due to gravity, and here the object of interest is the ball. We want to find time, height, and speed.

**DEVELOP** The ball starts by going up, eventually comes to a stop, and then heads downward. Figure 2.13 is a sketch of the height versus time that we expect, showing what we know and the three quantities we're after. Equation 2.10,  $y = y_0 + v_0 t + \frac{1}{2} a t^2$ , determines position

as a function of time, so our plan is to use that equation to find the time the ball hits the floor (again, we've replaced horizontal position  $x$  with height  $y$  in Equation 2.10). Then we can use Equation 2.11,  $v^2 = v_0^2 + 2a(y - y_0)$ , to find the height at which  $v = 0$  —that is, the peak height. Finally, Equation 2.11 will also give us the speed at any height, letting us answer the question about the speed when the ball passes the height of  $1.5 \text{ m}$  on its way down.

**EVALUATE** Our sketch shows that we've taken  $y = 0$  at the floor; so when the ball is at the floor, Equation 2.10 becomes  $0 = y_0 + v_0 t - \frac{1}{2} g t^2$ , which we can solve for  $t$  using the quadratic formula [Appendix A;  $t = (v_0 \pm \sqrt{v_0^2 + 2y_0 g})/g$ ]. Here  $v_0$  is the

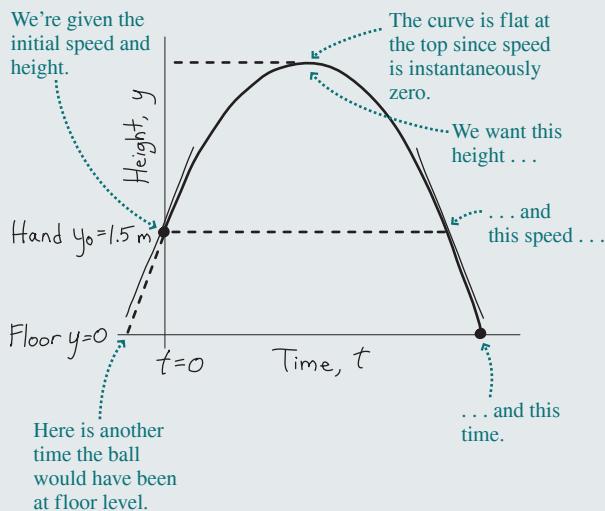


FIGURE 2.13 Our sketch for Example 2.6.

initial velocity, 7.3 m/s; it's positive because the motion is initially upward. The initial position is the hand height, so  $y_0 = 1.5 \text{ m}$ , and  $g$  of course is  $9.8 \text{ m/s}^2$  (we accounted for the downward acceleration by putting  $a = -g$  in Equation 2.10). Putting in these numbers gives  $t = 1.7 \text{ s}$  or  $-0.18 \text{ s}$ ; the answer we want is 1.7 s. At the peak of its flight, the ball's velocity is instantaneously zero because it's

moving neither up nor down. So we set  $v^2 = 0$  in Equation 2.11 to get  $0 = v_0^2 - 2g(y - y_0)$ . Solving for  $y$  then gives the peak height:

$$y = y_0 + \frac{v_0^2}{2g} = 1.5 \text{ m} + \frac{(7.3 \text{ m/s})^2}{(2)(9.8 \text{ m/s}^2)} = 4.2 \text{ m}$$

To find the speed when the ball reaches 1.5 m on the way down, we set  $y = y_0$  in Equation 2.11. The result is  $v^2 = v_0^2$ , so  $v = \pm v_0$  or  $\pm 7.3 \text{ m/s}$ . Once again, there are two answers. The equation has given us *all* the velocities the ball has at 1.5 m—including the initial upward velocity and the later downward velocity. We've shown here that an upward-thrown object returns to its initial height with the same speed it had initially.

**ASSESS** Make sense? With no air resistance to sap the ball of its energy, it seems reasonable that the ball comes back down with the same speed—a fact we'll explore further when we introduce energy conservation in Chapter 7. But why are there two answers for time and velocity? Equation 2.10 doesn't "know" about your hand or the floor; it "assumes" the ball has always been undergoing downward acceleration  $g$ . We asked of Equation 2.10 when the ball would be at  $y = 0$ . The second answer, 1.7 s, was the one we wanted. But if the ball had always been in free fall, it would also have been on the floor 0.18 s earlier, heading upward. That's the meaning of the other answer,  $-0.18 \text{ s}$ , as we've indicated on our sketch. Similarly, Equation 2.11 gave us all the velocities the ball had at a height of 1.5 m, including both the initial upward velocity and the later downward velocity.



**MULTIPLE ANSWERS** Frequently the mathematics of a problem gives more than one answer. Think about what each answer means before discarding it! Sometimes an answer isn't consistent with the physical assumptions of the problem, but other times all answers are meaningful even if they aren't all what you're looking for.

### GOT IT?

**2.5** Standing on a roof, you simultaneously throw one ball straight up and drop another from rest. Which hits the ground first? Which hits the ground moving faster?

## 2.6 When Acceleration Isn't Constant

### LO 2.5 Use calculus to deal with nonconstant acceleration.

Sections 2.4 and 2.5 both dealt with *constant acceleration*. Fortunately, there are many important applications, such as situations involving gravity near Earth's surface, where acceleration *is* constant. But when it isn't, then the equations listed in Table 2.1 don't apply. In Chapter 3 you'll see that acceleration can vary in magnitude, direction, or both. In the one-dimensional situations of the current chapter, a nonconstant acceleration  $a$  would be specified by giving  $a$  as a function of time  $t$ :  $a(t)$ . If you've already studied integral calculus, then you know that integration is the opposite of differentiation. Since acceleration is the derivative of velocity, you get from acceleration to velocity by integration; from there you can get to position by integrating again. Mathematically, we express these relations as

$$v(t) = \int a(t) dt \quad (2.12)$$

$$x(t) = \int v(t) dt \quad (2.13)$$

### APPLICATION Keeping Time

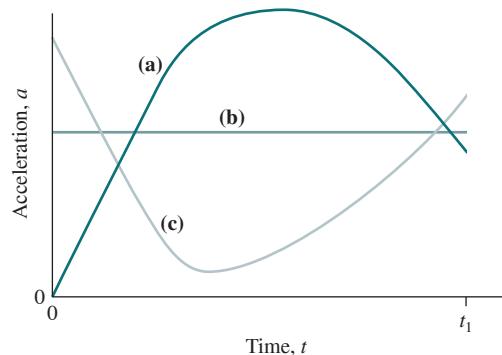
NIST-F1, shown here with its developers, is one of two atomic clocks that set the United States' standard of time. The clock is so accurate that it won't gain or lose more than a second in 100 million years! It gets its remarkable accuracy by monitoring a super-cold clump of freely falling cesium atoms for what is, in this context, a long time period of about 1 s. The atom clump is put in free fall by a more sophisticated version of the ball toss in Example 2.6. In the NIST-F1 clock, laser beams gently "toss" the ball of atoms upward with a speed that gives it an up-and-down travel time of about 1 s (see Problem 72). For this reason NIST-F1 is called an atomic fountain clock. In the photo you can see the clock's towerlike structure that accommodates this atomic fountain.



These results don't fully determine  $v$  and  $x$ ; you also need to know the *initial conditions* (usually, the values at time  $t = 0$ ); these provide what are called in calculus the constants of integration. In Problem 93, you can evaluate the integral in Equation 2.13 for the case of constant acceleration, giving an alternate derivation of Equations 2.7 and 2.10. Problems 88, 94, and 95 challenge you to use integral calculus to find an object's position in the case of nonconstant accelerations, while Problem 96 explores the case of an exponentially decreasing acceleration.

**GOT IT?**

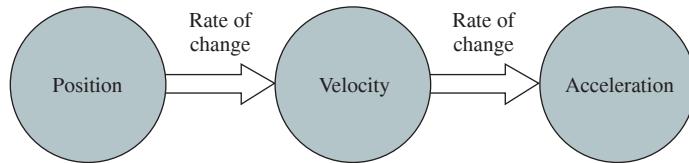
- 2.6** The graph shows acceleration versus time for three different objects, all of which start at rest from the same position. Only object (b) undergoes constant acceleration. Which object is going fastest at the time  $t_1$ ?



## Chapter 2 Summary

### Big Idea

The big ideas here are those of **kinematics**—the study of motion without regard to its cause. **Position**, **velocity**, and **acceleration** are the quantities that characterize motion:

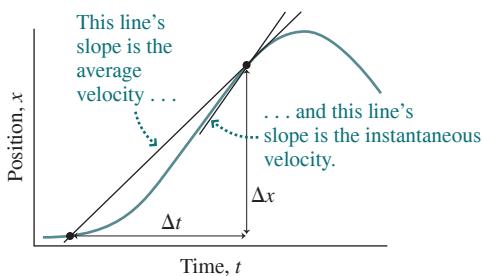


### Key Concepts and Equations

Average velocity and acceleration involve changes in position and velocity, respectively, occurring over a time interval  $\Delta t$ :

$$\bar{v} = \frac{\Delta x}{\Delta t}$$

$$\bar{a} = \frac{\Delta v}{\Delta t}$$



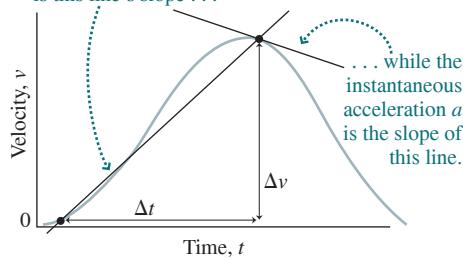
Here  $\Delta x$  is the **displacement**, or change in position, and  $\Delta v$  is the change in velocity.

**Instantaneous** values are the limits of infinitesimally small time intervals and are given by calculus as the time derivatives of position and velocity:

$$v = \frac{dx}{dt}$$

$$a = \frac{dv}{dt}$$

The average acceleration  $\bar{a}$  is this line's slope ...

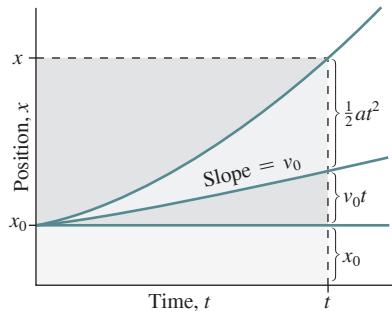


## Applications

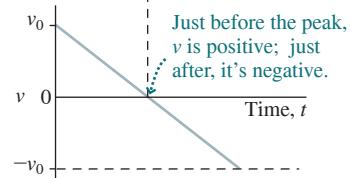
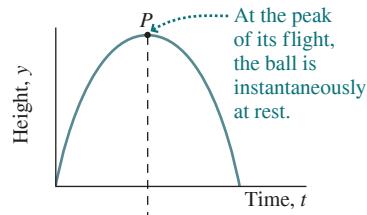
Constant acceleration is a special case that yields simple equations describing one-dimensional motion:

$$\begin{aligned} v &= v_0 + at \\ x &= x_0 + v_0 t + \frac{1}{2} a t^2 \\ v^2 &= v_0^2 + 2a(x - x_0) \end{aligned}$$

These equations apply only in the case of constant acceleration.



An important example is the acceleration of gravity, essentially constant near Earth's surface, with magnitude approximately  $9.8 \text{ m/s}^2$ .



Since  $v$  is steadily decreasing, the acceleration is constant and negative.

## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **Comp** Computer problems

### Learning Outcomes After finishing this chapter, you should be able to:

LO 2.1 Define fundamental motion concepts: position, velocity, and acceleration.

*For Thought and Discussion Questions 2.2, 2.5, 2.6; Exercises 2.11, 2.12, 2.13, 2.14, 2.15, 2.16, 2.20, 2.21, 2.22, 2.23, Problems 2.49, 2.51, 2.52*

LO 2.2 Distinguish instantaneous from average velocity and acceleration.

*For Thought and Discussion Questions 2.1, 2.4, 2.8, 2.9, 2.10; Exercises 2.17, 2.18, 2.19, 2.24, 2.25; Problems 2.50, 2.83*

LO 2.3 Determine velocity and position when acceleration is constant.

*For Thought and Discussion Question 2.7; Exercises 2.26,*

*2.27, 2.28, 2.30, 2.31, 2.32, 2.33, 2.34; Problems 2.55, 2.56, 2.57, 2.58, 2.61, 2.62, 2.63, 2.64, 2.65, 2.66, 2.67, 2.68, 2.69, 2.70, 2.81, 2.87, 2.93*

LO 2.4 Describe how gravity near Earth's surface provides an example of constant acceleration.

*For Thought and Discussion Question 2.7; Exercises 2.29, 2.35, 2.36, 2.37, 2.38, 2.39, 2.40; Problems 2.59, 2.60, 2.71, 2.72, 2.73, 2.74, 2.75, 2.76, 2.77, 2.78, 2.79, 2.80, 2.82, 2.84, 2.85, 2.86, 2.89, 2.90, 2.91, 2.92, 2.97*

LO 2.5 Use calculus to deal with nonconstant acceleration.

*Problems 2.53, 2.54, 2.88, 2.94, 2.95, 2.96*

### For Thought and Discussion

- Under what conditions are average and instantaneous velocity equal?
- Does a speedometer measure speed or velocity?
- You check your odometer at the beginning of a day's driving and again at the end. Under what conditions would the difference between the two readings represent your displacement?
- Consider two possible definitions of average speed: (a) the average of the values of the instantaneous speed over a time interval and (b) the magnitude of the average velocity. Are these

definitions equivalent? Give two examples to demonstrate your conclusion.

- Is it possible to be at position  $x = 0$  and still be moving?
- Is it possible to have zero velocity and still be accelerating?
- If you know the initial velocity  $v_0$  and the initial and final heights  $y_0$  and  $y$ , you can use Equation 2.10 to solve for the time  $t$  when the object will be at height  $y$ . But the equation is quadratic in  $t$ , so you'll get two answers. Physically, why is this?
- In which of the velocity-versus-time graphs shown in Fig. 2.14 would the average velocity over the interval shown equal the average of the velocities at the ends of the interval?

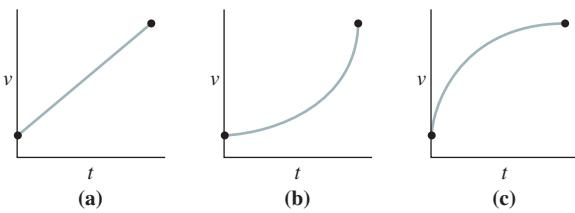


FIGURE 2.14 For Thought and Discussion 8

9. If you travel in a straight line at 50 km/h for 1 h and at 100 km/h for another hour, is your average velocity 75 km/h? If not, is it more or less?
10. If you travel in a straight line at 50 km/h for 50 km and then at 100 km/h for another 50 km, is your average velocity 75 km/h? If not, is it more or less?

## Exercises and Problems

### Exercises

#### Section 2.1 Average Motion

11. In 2009, Usain Bolt of Jamaica set a world record in the 100-m dash with a time of 9.58 s. What was his average speed?
12. Earth's diameter is approximately 8000 miles. Estimate the speed of a point on Earth's equator as it's carried around with Earth's rotation.
13. Starting from home, you bicycle 24 km north in 2.5 h and then turn around and pedal straight home in 1.5 h. What are your (a) displacement at the end of the first 2.5 h, (b) average velocity over the first 2.5 h, (c) average velocity for the homeward leg of the trip, (d) displacement for the entire trip, and (e) average velocity for the entire trip?
14. The Voyager 1 spacecraft is expected to continue broadcasting data until at least 2020, when it will be some 14 billion miles from Earth. How long will it take Voyager's radio signals, traveling at the speed of light, to reach Earth from this distance?
15. Gwen Jorgensen of the United States won the 2016 Olympic triathlon, completing the 1.5-km swim, 40-km bicycle ride, and 10-km run in 1 h, 56 min, 16 s. What was her average speed?
16. What's the conversion factor from meters per second to miles per hour?

#### Section 2.2 Instantaneous Velocity

17. On a single graph, plot distance versus time for the first two trips from Houston to Des Moines described on page 17. For each trip, identify graphically the average velocity and, for each segment of the trip, the instantaneous velocity.
18. For the motion plotted in Fig. 2.15, estimate (a) the greatest velocity in the positive  $x$ -direction, (b) the greatest velocity in the negative  $x$ -direction, (c) any times when the object is instantaneously at rest, and (d) the average velocity over the interval shown.

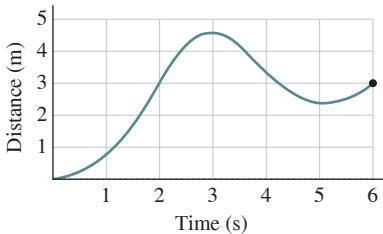


FIGURE 2.15 Exercise 18

19. A model rocket is launched straight upward. Its altitude  $y$  as a function of time is given by  $y = bt - ct^2$ , where

$b = 82 \text{ m/s}$ ,  $c = 4.9 \text{ m/s}^2$ ,  $t$  is the time in seconds, and  $y$  is in meters. (a) Use differentiation to find a general expression for the rocket's velocity as a function of time. (b) When is the velocity zero?

#### Section 2.3 Acceleration

20. You're driving at the 50 km/h speed limit when you spot a sign showing a speed-limit increase to 90 km/h. If it takes 25.3 s to reach the new speed limit, what's your average acceleration? Express it in  $\text{m/s}^2$ .
21. Starting from rest, a subway train first accelerates to 25 m/s, then brakes. Forty-eight seconds after starting, it's moving at 17 m/s. What's its average acceleration in this 48-s interval?
22. NASA's *New Horizons* spacecraft was launched in 2006 and flew past Pluto in 2015. *New Horizons'* solid-fuel booster rocket gave it an average acceleration of  $6.16 \text{ m/s}^2$ , bringing it to a speed of 16.3 km/s before the booster dropped away. How long did this acceleration last?
23. An egg drops from a second-story window, taking 1.12 s to fall and reaching 11.0 m/s just before hitting the ground. On contact, the egg stops completely in 0.131 s. Calculate the magnitudes of its average acceleration (a) while falling and (b) while stopping.
24. An airplane's takeoff speed is 320 km/h. If its average acceleration is  $2.9 \text{ m/s}^2$ , how much time is it accelerating down the runway before it lifts off?
25. ThrustSSC, the world's first supersonic car, accelerates from rest to 1000 km/h in 16 s. What's its acceleration?

#### Section 2.4 Constant Acceleration

26. You're driving at 70 km/h when you apply constant acceleration to pass another car. Six seconds later, you're doing 80 km/h. How far did you go in this time?
27. Differentiate both sides of Equation 2.10, and show that you get Equation 2.7.
28. A 2016 study found that snakes' heads, when striking, undergo **BIO** average accelerations of about  $40 \text{ m/s}^2$ , for a period of about 50 ms. Using these values, find (a) the maximum speed of the snake's head and (b) the distance the head travels during the strike. Give your answers to one significant figure.
29. A rocket starts from rest and rises with constant acceleration to a height  $h$ , at which point it's rising at speed  $v$ . Find expressions for (a) the rocket's acceleration and (b) the time it takes to reach height  $h$ .
30. Starting from rest, a car accelerates at a constant rate, reaching 88 km/h in 12 s. Find (a) its acceleration and (b) how far it goes in this time.
31. A car moving initially at 50 mi/h begins slowing at a constant rate 100 ft short of a stoplight. If the car comes to a full stop just at the light, what is the magnitude of its acceleration?
32. In a medical X-ray tube, electrons are accelerated to a velocity **BIO** of  $10^8 \text{ m/s}$  and then slammed into a tungsten target. As they stop, the electrons' rapid acceleration produces X rays. Given that it takes an electron on the order of 1 ns to stop, estimate the distance it moves while stopping.
33. California's Bay Area Rapid Transit System (BART) uses an automatic braking system triggered by earthquake warnings. The system is designed to prevent disastrous accidents involving trains traveling at a maximum of 112 km/h and carrying a total of some 45,000 passengers at rush hour. If it takes a train 24 s to brake to a stop, how much advance warning of an earthquake is needed to bring a 112-km/h train to a reasonably safe speed of 42 km/h when the earthquake strikes?
34. You're driving at speed  $v_0$  when you spot a stationary moose on the road, a distance  $d$  ahead. Find an expression for the magnitude of the acceleration you need if you're to stop before hitting the moose.

## Section 2.5 The Acceleration of Gravity

35. A delivery drone drops a package onto a customer's porch. If the package can withstand a maximum impact speed of 8.00 m/s, what's the maximum height from which the drone can drop the package?
36. Your friend is sitting 6.5 m above you on a tree branch. How fast should you throw an apple so it just reaches her?
37. A model rocket leaves the ground, heading straight up with speed  $v$ . Find expressions for (a) its maximum altitude and (b) its speed when it's at half the maximum altitude.
38. A foul ball leaves the bat going straight up at 23 m/s. (a) How high does it rise? (b) How long is it in the air? Neglect the distance between bat and ground.
39. A Frisbee is lodged in a tree 6.5 m above the ground. A rock thrown from below must be going at least 3 m/s to dislodge the Frisbee. How fast must such a rock be thrown upward if it leaves the thrower's hand 1.3 m above the ground?
40. Space pirates kidnap an earthling and hold him on one of the solar system's planets. With nothing else to do, the prisoner amuses himself by dropping his watch from eye level (170 cm) to the floor. He observes that the watch takes 0.95 s to fall. On what planet is he being held? (*Hint:* Consult Appendix E.)

### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

41. **Example 2.3:** A jetliner touches down at 288 km/h. The plane then decelerates (i.e., undergoes acceleration directed opposite to its velocity) at 3.38 m/s<sup>2</sup>. What's the minimum runway length on which this plane can land?
42. **Example 2.3:** A jetliner touches down at 275 km/h on a 1.2-km-long runway. What's the minimum safe value for the magnitude of its acceleration as it slows to a stop?
43. **Example 2.3:** You're driving at 45.0 km/h when you spot a moose in the road ahead. If your car is capable of slowing at 0.766 m/s<sup>2</sup>, how far from the moose do you need to hit the brakes?
44. **Example 2.3:** You're driving at 45.0 km/h when you spot a moose in the road, 102 m ahead. What's the minimum value for the magnitude of your braking acceleration if you're to avoid hitting the moose?
45. **Example 2.5:** A diver drops from a 9.21-m high cliff. (a) At what speed does she enter the water? and (b) how long is she in the air?
46. **Example 2.5:** A diver drops from a cliff, and enters the water 1.05 s later. Find (a) the cliff height and (b) the speed with the diver enters the water.
47. **Example 2.5:** A delivery drone drops a well-cushioned package from a height of 12.5 m onto a customer's porch. (a) At what speed does the package hit the porch? and (b) how long is it in the air?
48. **Example 2.5:** An online retailer makes deliveries by drone, and packages the goods so they can withstand an impact at up to 10.0 m/s. (a) What's the maximum height from which the drone can safely drop a package? and (b) how long would a package dropped from this height be in the air?

## Problems

49. You allow 40 min to drive 25 mi to the airport, but you're caught in heavy traffic and average only 20 mi/h for the first 15 min. What must your average speed be on the rest of the trip if you're to make your flight?
  50. You travel one-third of the distance to your destination at speed  $2v$ , and the remaining two-thirds at speed  $v$ . Find an expression for your average speed in terms of  $v$ .
  51. You can run 9.0 m/s, 20% faster than your brother. How much head start should you give him in order to have a tie race over 100 m?
  52. A plane leaves Beijing for San Francisco, 9497 km away. With a strong tailwind, its speed is 1150 km/h. At the same time, a second plane leaves San Francisco for Beijing. Flying into the wind, it makes only 687 km/h. When and where do the two planes pass?
  53. An object's position is given by  $x = bt + ct^3$ , where  $b = 1.50 \text{ m/s}$ ,  $c = 0.640 \text{ m/s}^3$ , and  $t$  is time in seconds. To study the limiting process leading to the instantaneous velocity, calculate the object's average velocity over time intervals from (a) 1.00 s to 3.00 s, (b) 1.50 s to 2.50 s, and (c) 1.95 s to 2.05 s. (d) Find the instantaneous velocity as a function of time by differentiating, and compare its value at 2 s with your average velocities.
  54. An object's position as a function of time  $t$  is given by  $x = bt^4$ , with  $b$  a constant. Find an expression for the instantaneous velocity, and show that the average velocity over the interval from  $t = 0$  to any time  $t$  is one-fourth of the instantaneous velocity at  $t$ .
  55. In a 400-m drag race, two cars start at the same time, and each maintains a constant acceleration. The winner's acceleration is 4.25 m/s<sup>2</sup>, and the winner reaches the finish line 248 ms before the loser does. By what distance is the loser behind when the winner reaches the finish line?
  56. Squaring Equation 2.7 gives an expression for  $v^2$ . Equation 2.11 also gives an expression for  $v^2$ . Equate the two expressions, and show that the resulting equation reduces to Equation 2.10.
  57. During the complicated sequence that landed the rover *Curiosity* on Mars in 2012, the spacecraft reached an altitude of 142 m above the Martian surface, moving vertically downward at 32.0 m/s. It then entered a so-called constant deceleration (CD) phase, during which its velocity decreased steadily to 0.75 m/s while it dropped to an altitude of 23 m. What was the magnitude of the spacecraft's acceleration during this CD phase?
  58. **DATA** The position of a car in a drag race is measured each second, and the results are tabulated below.
- | Time $t$ (s)     | 0 | 1   | 2   | 3  | 4  | 5  |
|------------------|---|-----|-----|----|----|----|
| Position $x$ (m) | 0 | 1.7 | 6.2 | 17 | 24 | 40 |
- Assuming the acceleration is approximately constant, plot position versus a quantity that should make the graph a straight line. Fit a line to the data, and from it determine the approximate acceleration.
59. A fireworks rocket explodes at a height of 82.0 m, producing fragments with velocities ranging from 7.68 m/s downward to 16.7 m/s upward. Over what time interval are fragments hitting the ground?
  60. The muscles in a grasshopper's legs can propel the insect upward at 3.0 m/s. How high can the grasshopper jump?
  61. On packed snow, computerized antilock brakes can reduce a car's stopping distance by 55%. By what percentage is the stopping time reduced?

## 32 Chapter 2 Motion in a Straight Line

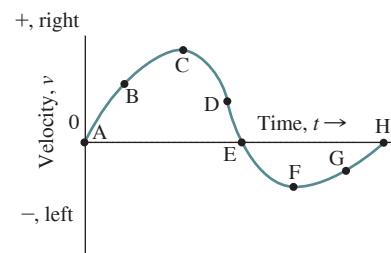
62. A particle leaves its initial position  $x_0$  at time  $t = 0$ , moving in the positive  $x$ -direction with speed  $v_0$  but undergoing acceleration of magnitude  $a$  in the negative  $x$ -direction. Find expressions for (a) the time when it returns to  $x_0$  and (b) its speed when it passes that point.
63. A hockey puck moving at 32 m/s slams through a wall of snow 35 cm thick. It emerges moving at 18 m/s. Assuming constant acceleration, find (a) the time the puck spends in the snow and (b) the thickness of a snow wall that would stop the puck entirely.
64. A subway train is stalled in a station. A second train approaches the station at 68.5 km/h and brakes to a halt in 48.3 s, stopping just 1.45 m short of the stalled train. What was the distance between the two trains at the instant the moving train began to brake?
65. A jetliner touches down at 220 km/h and comes to a halt 29 s later. What's the shortest runway on which this aircraft can land?
66. A motorist suddenly notices a stalled car and slams on the brakes, slowing at  $6.3 \text{ m/s}^2$ . Unfortunately, this isn't enough, and a collision ensues. From the damage sustained, police estimate that the car was going 18 km/h at the time of the collision. They also measure skid marks 34 m long. (a) How fast was the motorist going when the brakes were first applied? (b) How much time elapsed from the initial braking to the collision?
67. A racing car undergoing constant acceleration covers 140 m in 3.6 s. (a) If it's moving at 53 m/s at the end of this interval, what was its speed at the beginning of the interval? (b) How far did it travel from rest to the end of the 140-m distance?
68. The maximum braking acceleration of a car on a dry road is about  $8 \text{ m/s}^2$ . If two cars move head-on toward each other at 88 km/h (55 mi/h), and their drivers brake when they're 85 m apart, will they collide? If so, at what relative speed? If not, how far apart will they be when they stop? Plot distance versus time for both cars on a single graph.
69. After 35 min of running, at the 9-km point in a 10-km race, you find yourself 100 m behind the leader and moving at the same speed. What should your acceleration be if you're to catch up by the finish line? Assume that the leader maintains constant speed.
70. You're speeding at 85 km/h when you notice that you're only 10 m behind the car in front of you, which is moving at the legal speed limit of 60 km/h. You slam on your brakes, and your car slows at the rate of  $4.2 \text{ m/s}^2$ . Assuming the other car continues at constant speed, will you collide? If so, at what relative speed? If not, what will be the distance between the cars at their closest approach?
71. Airbags cushioned the Mars rover *Spirit*'s landing, and the rover bounced some 15 m vertically after its first impact. Assuming no loss of speed at contact with the Martian surface, what was *Spirit*'s impact speed?
72. Calculate the speed with which cesium atoms must be "tossed" in the NIST-F1 atomic clock so that their up-and-down travel time is 1.0 s. (See the Application on page 27.)
73. A falling object travels one-fourth of its total distance in the last **CH** second of its fall. From what height was it dropped?
74. You're on a NASA team engineering a probe to land on Jupiter's moon Io, and your job is to specify the impact speed the probe can tolerate without damage. Rockets will bring the probe to a halt 100 m above the surface, after which it will fall freely. What speed do you specify? (Consult Appendix E.)
75. You're atop a building of height  $h$ , and a friend is poised to drop a ball from a window at  $h/2$ . Find an expression for the speed at which you should simultaneously throw a ball downward, so the two hit the ground at the same time.
76. A castle's defenders throw rocks down on their attackers from a 15-m-high wall, with initial speed 10 m/s. How much faster are the rocks moving when they hit the ground than if they were simply dropped?
77. Two divers jump from a 3.00-m platform. One jumps upward at 1.80 m/s, and the second steps off the platform as the first passes it on the way down. (a) What are their speeds as they hit the water? (b) Which hits the water first and by how much?
78. A balloon is rising at 10 m/s when its passenger throws a ball straight up at 12 m/s relative to the balloon. How much later does the passenger catch the ball?
79. In 2014 the *Philae* spacecraft became the first artifact to land on a comet. Unfortunately, *Philae* bounced off the comet's surface and ultimately landed in a nonideal location. After its first contact, *Philae* was moving upward at 38 cm/s, and it rose to a maximum height of about 1 km. Estimate the gravitational acceleration of the comet, assuming it's constant (not a very good assumption in this case).
80. You're at mission control for a rocket launch, deciding whether to let the launch proceed. A band of clouds 5.3 km thick extends upward from 1.9 km altitude. The rocket will accelerate at  $4.6 \text{ m/s}^2$ , and it isn't allowed to be out of sight for more than 30 s. Should you allow the launch?
81. You're an investigator for the National Transportation Safety Board, examining a subway accident in which a train going at 80 km/h collided with a slower train traveling in the same direction at 25 km/h. Your job is to determine the relative speed of the collision to help establish new crash standards. The faster train's "black box" shows that its brakes were applied and it began slowing at the rate of  $2.1 \text{ m/s}^2$  when it was 50 m from the slower train, while the slower train continued at constant speed. What do you report?
82. In 2012, daredevil skydiver Felix Baumgartner jumped from a **CH** height of 23.0 miles over New Mexico, becoming the first skydiver to break the sound barrier. The acceleration of gravity at his jump height was  $9.70 \text{ m/s}^2$ , and there was essentially no air resistance at that altitude. (a) How long did it take Baumgartner to reach the speed of sound, which is 311 m/s at that altitude? (b) How far did he fall during that time?
83. Consider an object traversing a distance  $L$ , part of the way at speed  $v_1$  and the rest of the way at speed  $v_2$ . Find expressions for the object's average speed over the entire distance  $L$  when the object moves at each of the two speeds  $v_1$  and  $v_2$  for (a) half the total time and (b) half the total distance. (c) In which case is the average speed greater?
84. An object's position as a function of time is given by  $x = bt^2 - ct^4$ , where  $b$  has the value  $1.82 \text{ m/s}^2$ , which puts the object at  $x = 0$  at  $t = 0$ . (a) Find the value of  $c$  such that the object will again be at  $x = 0$  when  $t = 2.54 \text{ s}$ . Also, find (b) the object's speed and (c) its acceleration at that time.
85. Ice skaters, ballet dancers, and basketball players executing vertical leaps often give the illusion of "hanging" almost motionless near the top of the leap. To see why this is, consider a leap to maximum height  $h$ . Of the total time spent in the air, what fraction is spent in the upper half (i.e., at  $y > \frac{1}{2}h$ )?
86. You're staring idly out your dorm window when you see a water balloon fall past. If the balloon takes 0.22 s to cross the 1.3-m vertical extent of the window, from what height above the window was it dropped?
87. A police radar's effective range is 1.0 km, and your radar detector's range is 1.9 km. You're going 110 km/h in a 70 km/h zone when the radar detector beeps. At what rate must you slow to avoid a speeding ticket?

88. An object starts moving in a straight line from position  $x_0$ , at time **CH**  $t = 0$ , with velocity  $v_0$ . Its acceleration is given by  $a = a_0 + bt$ , where  $a_0$  and  $b$  are constants. Use integration to find expressions for (a) the instantaneous velocity and (b) the position, as functions of time.
89. You're a consultant on a movie set, and the producer wants a car to drop so that it crosses the camera's field of view in time  $\Delta t$ . The field of view has height  $h$ . Derive an expression for the height above the top of the field of view from which the car should be released.
90. (a) For the ball in Example 2.6, find its velocity just before it hits the floor. (b) Suppose you had tossed a second ball straight down at 7.3 m/s (from the same place 1.5 m above the floor). What would its velocity be just before it hits the floor? (c) When would the second ball hit the floor? (Interpret any multiple answers.)
91. Your roommate is an aspiring novelist and asks your opinion on a matter of physics. The novel's central character is kept awake at night by a leaky faucet. The sink is 19.6 cm below the faucet. At the instant one drop leaves the faucet, another strikes the sink below and two more are in between on the way down. How many drops per second are keeping the protagonist awake?
92. Boxes move at constant speed  $v$  along a conveyor belt in an automated factory. A robotic hand is suspended a distance  $h$  above the conveyor belt, and its purpose is to drop a product into each box. The robot's eyes observe each box as it moves along the belt. Find an expression for the location of the box, expressed as a distance from a point below the robotic hand, at the instant the hand should release the product.
93. Derive Equation 2.10 by integrating Equation 2.7 over time. **CH** You'll have to interpret the constant of integration.
94. An object's acceleration increases quadratically with time: **CH**  $a(t) = bt^2$ , where  $b = 0.041 \text{ m/s}^4$ . If the object starts from rest, how far does it travel in 6.3 s?
95. An object's velocity as a function of time is given by **CH**  $v(t) = bt - ct^3$ , where  $b$  and  $c$  are positive constants with appropriate units. If the object starts at  $x = 0$  at time  $t = 0$ , find expressions for (a) the time when it's again at  $x = 0$  and (b) its acceleration at that time.
96. An object's acceleration decreases exponentially with time: **CH**  $a(t) = a_0 e^{-bt}$ , where  $a_0$  and  $b$  are constants. (a) Assuming the object starts from rest, determine its velocity as a function of time. (b) Will its speed increase indefinitely? (c) Will it travel indefinitely far from its starting point?
97. A ball is dropped from rest at a height  $h_0$  above the ground. At the same instant, a second ball is launched with speed  $v_0$  straight up from the ground, at a point directly below where the other ball is dropped. (a) Find a condition on  $v_0$  such that the two balls will collide in mid-air. (b) Find an expression for the height at which they collide.

### Passage Problems

A wildlife biologist is studying the hunting patterns of tigers. She anesthetizes a tiger and attaches a GPS collar to track its movements.

The collar transmits data on the tiger's position and velocity. Figure 2.16 shows the tiger's velocity as a function of time as it moves on a one-dimensional path.



**FIGURE 2.16** The tiger's velocity (Passage Problems 98–102.)

98. At which marked point(s) is the tiger not moving?  
 a. E only  
 b. A, E, and H  
 c. C and F  
 d. none of the points (it's always moving)
99. At which marked point(s) is the tiger not accelerating?  
 a. E only  
 b. A, E, and H  
 c. C and F  
 d. all of the points (it's never accelerating)
100. At which point does the tiger have the greatest speed?  
 a. B      b. C      c. D      d. F
101. At which point does the tiger's acceleration have the greatest magnitude?  
 a. B      b. C      c. D      d. F
102. At which point is the tiger farthest from its starting position at  $t = 0$ ?  
 a. C      b. E      c. F      d. H

## Answers to Chapter Questions

### Answer to Chapter Opening Question

Although the ball's velocity is zero at the top of its motion, its acceleration is  $-9.8 \text{ m/s}^2$ , as it is throughout the toss.

### Answers to GOT IT? Questions

- 2.1 (a) and (b); average speed is greater for (c)  
 2.2 (b) moves with constant speed; (a) reverses; (d) speeds up  
 2.3 (b) downward  
 2.4 (a) halfway between the times; because its acceleration is constant, the police car's speed increases by equal amounts in equal times. So it gets from 0 to half its final velocity—which is twice the car's velocity—in half the total time.  
 2.5 The dropped ball hits first; the thrown ball hits moving faster.  
 2.6 (a)

# Motion in Two and Three Dimensions

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 3.1** Describe position in two and three dimensions, using vectors.
- LO 3.2** Represent velocity and acceleration as vectors.
- LO 3.3** Relate velocities in different reference frames.
- LO 3.4** Analyze motion in two dimensions.
- LO 3.5** Predict the motion of projectiles subject to gravity.
- LO 3.6** Describe circular motion as accelerated motion.

## Skills & Knowledge You'll Need

- Motion concepts: position, velocity, and acceleration (Sections 2.1–2.3)
- The quantitative description of one-dimensional motion with constant acceleration (Section 2.4)
- The acceleration of gravity near Earth's surface (Section 2.5)

What's the speed of an orbiting satellite? How should I leap to win the long-jump competition? How do I engineer a curve in the road for safe driving? These and many other questions involve motion in more than one dimension. In this chapter we extend the ideas of one-dimensional motion to these more complex—and more interesting—situations.

## 3.1 Vectors

- LO 3.1** *Describe position in two and three dimensions, using vectors.*

We've seen that quantities describing motion have direction as well as magnitude. In Chapter 2, a simple plus or minus sign took care of direction. But now, in two or three dimensions, we need a way to account for all possible directions. We do this with mathematical quantities called **vectors**, which express both magnitude and direction. Vectors stand in contrast to **scalars**, which are quantities that have no direction.

### Position and Displacement

The simplest vector quantity is position. Given an origin, we can characterize any position in space by drawing an arrow from the origin to that position. That arrow represents a **position vector**, which we call  $\vec{r}$ . The arrow over the  $r$  indicates that this is a vector quantity, and it's crucial to include the arrow whenever you're dealing with vectors. Figure 3.1 shows a position vector in a two-dimensional coordinate system; this vector describes a point that's 2 m from the origin, in a direction  $30^\circ$  from the horizontal axis.

Suppose you walk from the origin straight to the point described by the vector  $\vec{r}_1$  in Fig. 3.1, and then you turn right and walk another 1 m. Figure 3.2



At what angle should this penguin leave the water to maximize the range of its jump?

shows how you can tell where you end up. Draw a second vector whose length represents 1 m and that points to the right; we'll call this vector  $\Delta\vec{r}$  because it's a **displacement vector**, representing a *change* in position. Put the tail of  $\Delta\vec{r}$  at the head of the vector  $\vec{r}_1$ ; then the head of  $\Delta\vec{r}$  shows your ending position. The result is the same as if you had walked straight from the origin to this position. So the new position is described by a third vector  $\vec{r}_2$ , as indicated in Fig. 3.2. What we've just described is **vector addition**. To add two vectors, put the second vector's tail at the head of the first; the sum is then the vector that extends from the tail of the first vector to the head of the second, as does  $\vec{r}_2$  in Fig. 3.2.

A vector has both magnitude and direction—but because that's all the information it contains, it doesn't matter where it starts. So you're free to move a vector around to form vector sums. Figure 3.3 shows some examples of vector addition and also shows that vector addition obeys simple rules you know for regular arithmetic.

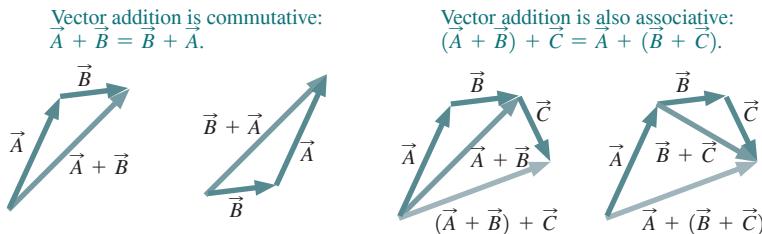


FIGURE 3.3 Vector addition is commutative and associative.

## Multiplication

You and I jog in the same direction, but you go twice as far. Your displacement vector,  $\vec{B}$ , is twice as long as my displacement vector,  $\vec{A}$ ; mathematically,  $\vec{B} = 2\vec{A}$ . That's what it means to multiply a vector by a scalar; simply rescale the magnitude of the vector by that scalar. If the scalar is negative, then the vector direction reverses—and that provides a way to subtract vectors. In Fig. 3.2, for example, you can see that  $\vec{r}_1 = \vec{r}_2 + (-1)\Delta\vec{r}$ , or simply  $\vec{r}_1 = \vec{r}_2 - \Delta\vec{r}$ . Later, we'll see ways to multiply two vectors, but for now the only multiplication we consider is a vector multiplied by a scalar.

## Vector Components

You can add vectors graphically, as shown in Fig. 3.2, or you can use geometric relationships like the laws of sines and cosines to accomplish the same thing algebraically. In both these approaches, you specify a vector by giving its magnitude and direction. But often it's more convenient instead to describe vectors using their **components** in a given coordinate system.

A **coordinate system** is a framework for describing positions in space. It's a mathematical construct, and you're free to choose whatever coordinate system you want. You've already seen **Cartesian** or **rectangular coordinate systems**, in which a pair of numbers  $(x, y)$  represents each point in a plane. You could also think of each point as representing the head of a position vector, in which case the numbers  $x$  and  $y$  are the vector components. The components tell how much of the vector is in the  $x$ -direction and how much is in the  $y$ -direction. Not all vectors represent actual positions in space; for example, there are velocity, acceleration, and force vectors. The lengths of these vectors represent the magnitudes of the corresponding physical quantities. For an arbitrary vector quantity  $\vec{A}$ , we designate the components  $A_x$  and  $A_y$  (Fig. 3.4). Note that the components themselves aren't vectors but scalars.

In two dimensions it takes two quantities to specify a vector—either its magnitude and direction or its components. They're related by the Pythagorean theorem and the definitions of the trig functions, as shown in Fig. 3.4:

$$A = \sqrt{A_x^2 + A_y^2} \quad \text{and} \quad \tan \theta = \frac{A_y}{A_x} \quad (\text{vector magnitude and direction}) \quad (3.1)$$

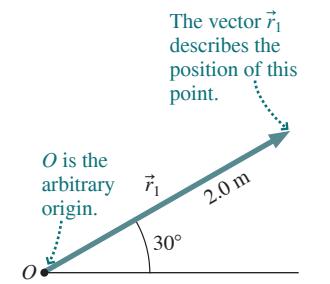


FIGURE 3.1 A position vector  $\vec{r}_1$ .

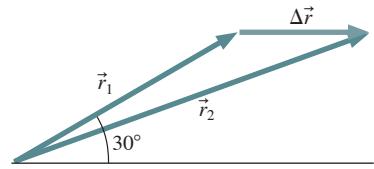


FIGURE 3.2 Vectors  $\vec{r}_1$  and  $\Delta\vec{r}$  sum to  $\vec{r}_2$ .

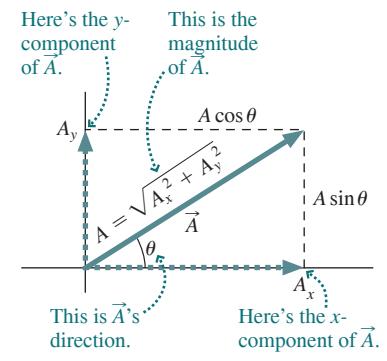
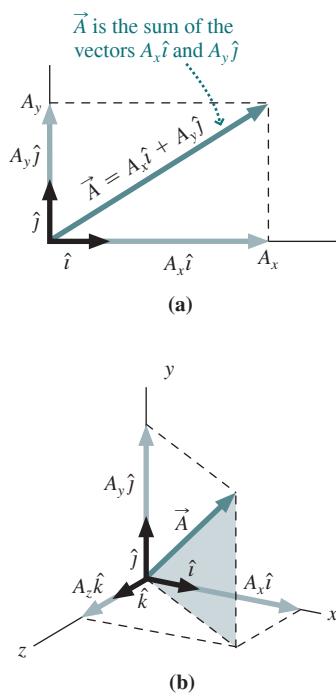


FIGURE 3.4 Magnitude/direction and component representations of vector  $\vec{A}$ .



**FIGURE 3.5** Vectors in (a) a plane and (b) space, expressed using unit vectors.

Without the arrow above it, a vector's symbol stands for the vector's magnitude. Going the other way, we have

$$A_x = A \cos \theta \quad \text{and} \quad A_y = A \sin \theta \quad (\text{vector components}) \quad (3.2)$$

If a vector  $\vec{A}$  has zero magnitude, we write  $\vec{A} = \vec{0}$ , where the vector arrow on the zero indicates that both components must be zero.

## Unit Vectors

It's cumbersome to say "a vector of magnitude 2 m at  $30^\circ$  to the  $x$ -axis" or, equivalently, "a vector whose  $x$ - and  $y$ -components are 1.73 m and 1.0 m, respectively." We can express this more succinctly using the **unit vectors**  $\hat{i}$  (read "i hat") and  $\hat{j}$ . These unit vectors have magnitude 1, no units, and point along the  $x$ - and  $y$ -axes, respectively. In three dimensions we add a third unit vector,  $\hat{k}$ , along the  $z$ -axis. Any vector in the  $x$ -direction can be written as some number—perhaps with units, such as meters or meters per second—times the unit vector  $\hat{i}$ , and analogously in the  $y$ -direction using  $\hat{j}$ . That means any vector in a plane can be written as a sum involving the two unit vectors:  $\vec{A} = A_x \hat{i} + A_y \hat{j}$  (Fig. 3.5a). Similarly, any vector in space can be written with the three unit vectors (Fig. 3.5b).

The unit vectors convey only direction; the numbers that multiply them give size and units. Together they provide compact representations of vectors, including units. The displacement vector  $\vec{r}_1$  in Fig. 3.1, for example, is  $\vec{r}_1 = 1.7\hat{i} + 1.0\hat{j}$  m.

## Vector Arithmetic with Unit Vectors

Vector addition is simple with unit vectors: Just add the corresponding components. If  $\vec{A} = A_x \hat{i} + A_y \hat{j}$  and  $\vec{B} = B_x \hat{i} + B_y \hat{j}$ , for example, then their sum is

$$\vec{A} + \vec{B} = (A_x \hat{i} + A_y \hat{j}) + (B_x \hat{i} + B_y \hat{j}) = (A_x + B_x) \hat{i} + (A_y + B_y) \hat{j}$$

Subtraction and multiplication by a scalar are similarly straightforward.



- 3.1** Which vector describes a displacement of 10 units in a direction  $30^\circ$  below the positive  $x$ -axis? (a)  $10\hat{i} - 10\hat{j}$ ; (b)  $5.0\hat{i} - 8.7\hat{j}$ ; (c)  $8.7\hat{i} - 5.0\hat{j}$ ; (d)  $10(\hat{i} + \hat{j})$

### EXAMPLE 3.1

#### Unit Vectors: Taking a Drive

You drive to a city 165 km from home, going  $35.0^\circ$  north of east. Express your new position in unit vector notation, using an east–west/north–south coordinate system.

**INTERPRET** We interpret this as a problem about writing a vector in unit vector notation, given its magnitude and direction.

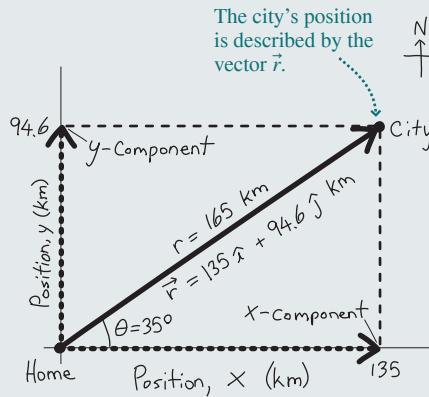
**DEVELOP** Unit vector notation multiplies a vector's  $x$ - and  $y$ -components by the unit vectors  $\hat{i}$  and  $\hat{j}$  and sums the results, so we draw a sketch showing those components (Fig. 3.6). Our plan is to solve for the two components, multiply by the unit vectors, and then add. Equations 3.2 determine the components.

**EVALUATE** We have  $x = r \cos \theta = (165 \text{ km})(\cos 35.0^\circ) = 135 \text{ km}$  and  $y = r \sin \theta = (165 \text{ km})(\sin 35.0^\circ) = 94.6 \text{ km}$ . Then the position of the city is

$$\vec{r} = 135\hat{i} + 94.6\hat{j} \text{ km}$$

**ASSESS** Make sense? Figure 3.6 suggests that the  $x$ -component should be longer than the  $y$ -component, as our answer indicates. Our

sketch shows the component values and the final answer. Note that we treat  $135\hat{i} + 94.6\hat{j}$  as a single vector quantity, labeling it at the end with the appropriate unit, km.



**FIGURE 3.6** Our sketch for Example 3.1.

## 3.2 Velocity and Acceleration Vectors

### LO 3.2 Represent velocity and acceleration as vectors.

We defined velocity in one dimension as the rate of change of position. In two or three dimensions it's the same thing, except now the change in position—displacement—is a vector. So we write

$$\bar{v} = \frac{\Delta \vec{r}}{\Delta t} \quad (\text{average velocity}) \quad (3.3)$$

Average velocity is a vector  $\bar{v}$ , indicated by the arrow overscore. The bar designates “average.”

$\Delta \vec{r}$  is the displacement during the time interval  $\Delta t$ . It's a vector given by  $\Delta \vec{r} = \vec{r}_2 - \vec{r}_1$ .

$\Delta t$  is the time interval during which the change in position occurs.

for the average velocity, in analogy with Equation 2.1. Here division by  $\Delta t$  simply means multiplying by  $1/\Delta t$ . As before, instantaneous velocity is given by a limiting process:

$$\vec{v} = \lim_{\Delta t \rightarrow 0} \frac{\Delta \vec{r}}{\Delta t} = \frac{d\vec{r}}{dt} \quad (\text{instantaneous velocity}) \quad (3.4)$$

The instantaneous velocity  $\vec{v}$  is the velocity at a single instant of time.

$d\vec{r}$  and  $dt$  are infinitesimally small quantities that result from the limiting procedure.

This limiting procedure gives the ratio  $\Delta \vec{r}/\Delta t$  in the limit of infinitesimally small time intervals  $\Delta t$ .

Instantaneous velocity is given by the derivative  $d\vec{r}/dt$ —the rate of change of position with respect to time.

Again, that derivative  $d\vec{r}/dt$  is shorthand for the result of the limiting process, taking ever smaller time intervals  $\Delta t$  and the corresponding displacements  $\Delta \vec{r}$ . Another way to look at Equation 3.4 is in terms of components. If  $\vec{r} = x\hat{i} + y\hat{j}$ , then we can write

$$\vec{v} = \frac{d\vec{r}}{dt} = \frac{dx}{dt}\hat{i} + \frac{dy}{dt}\hat{j} = v_x\hat{i} + v_y\hat{j}$$

where the velocity components  $v_x$  and  $v_y$  are the derivatives of the position components.

Acceleration is the rate of change of velocity, so we write

$$\bar{a} = \frac{\Delta \vec{v}}{\Delta t} \quad (\text{average acceleration}) \quad (3.5)$$

Average acceleration is a vector. The bar designates “average.”

$\Delta \vec{v}$  is the change in the object's velocity during the time interval  $\Delta t$ . It's given by  $\Delta \vec{v} = \vec{v}_2 - \vec{v}_1$ .

$\Delta t$  is the time interval during which the change in velocity occurs.

for the average acceleration and

$$\vec{a} = \lim_{\Delta t \rightarrow 0} \frac{\Delta \vec{v}}{\Delta t} = \frac{d\vec{v}}{dt} \quad (\text{instantaneous acceleration}) \quad (3.6)$$

The instantaneous acceleration  $\vec{a}$  is the acceleration at a single instant of time.

$d\vec{v}$  and  $dt$  are infinitesimally small quantities that result from the limiting procedure.

This limiting procedure gives the ratio  $\Delta \vec{v}/\Delta t$  in the limit of infinitesimally small time intervals  $\Delta t$ .

Instantaneous acceleration is given by the derivative  $d\vec{v}/dt$ —the rate of change of velocity with respect to time.

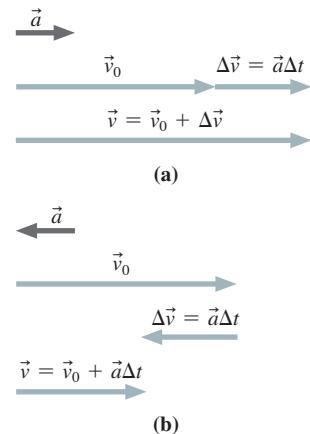
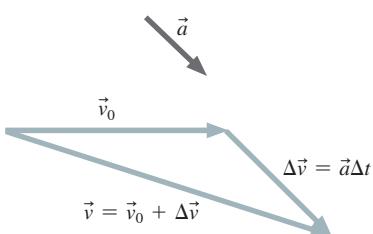


FIGURE 3.7 When  $\vec{v}$  and  $\vec{a}$  are collinear, generally only the speed changes. (However, if the acceleration acts long enough, the object may come to a stop and then reverse direction.)



**VECTORS TELL IT ALL** Are you thinking there should be a minus sign in Fig. 3.7b because the speed is decreasing? Nope: Vectors have both magnitude and direction, and the vector addition  $\vec{v} = \vec{v}_0 + \vec{a}\Delta t$  tells it all. In Fig. 3.7b,  $\Delta \vec{v}$  points to the left, and that takes care of the “subtraction.”



**FIGURE 3.8** In general, acceleration changes both the magnitude and the direction of velocity.

for the instantaneous acceleration. We can also express instantaneous acceleration in components, as we did for velocity:

$$\vec{a} = \frac{d\vec{v}}{dt} = \frac{dv_x}{dt}\hat{i} + \frac{dv_y}{dt}\hat{j} = a_x\hat{i} + a_y\hat{j}$$

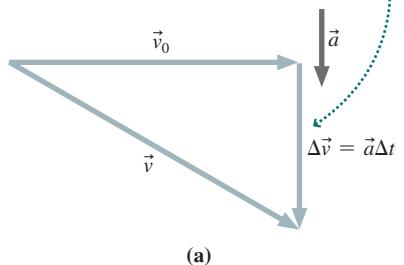
## Velocity and Acceleration in Two Dimensions

Motion in a straight line may or may not involve acceleration, but motion on curved paths in two or three dimensions is *always* accelerated motion. Why? Because moving in multiple dimensions means *changing direction*—and *any* change in velocity, including direction, involves acceleration. Get used to thinking of acceleration as meaning more than “speeding up” or “slowing down.” It can equally well mean “changing direction,” whether or not speed is also changing. Whether acceleration results in a speed change, a direction change, or both depends on the relative orientation of the velocity and acceleration vectors.

Suppose you’re driving down a straight road at speed  $v_0$  when you step on the gas to give a constant acceleration  $\vec{a}$  for a time  $\Delta t$ . Equation 3.5 shows that the change in your velocity is  $\Delta\vec{v} = \vec{a}\Delta t$ . In this case the acceleration is in the same direction as your velocity and, as Fig. 3.7a shows, the result is an increase in the magnitude of your velocity; that is, you speed up. Step on the brake, and your acceleration is opposite your velocity, and you slow down (Fig. 3.7b).

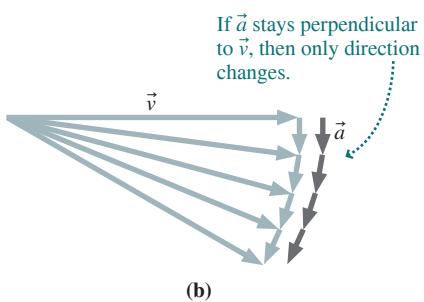
In two dimensions acceleration and velocity can be at any angle. In general, acceleration then changes both the magnitude and the direction of the velocity (Fig. 3.8). Particularly interesting is the case when  $\vec{a}$  is perpendicular to  $\vec{v}$ ; then only the direction of motion changes. If acceleration is constant—in both magnitude and direction—then the two vectors won’t stay perpendicular once the direction of  $\vec{v}$  starts to change, and the magnitude will change, too. But in the special case where acceleration changes direction so it’s always perpendicular to velocity, then it’s strictly true that only the direction of motion changes. Figure 3.9 illustrates this point, which we’ll soon explore quantitatively.

Initially  $\vec{a}$  changes only the direction of  $\vec{v}$ , but soon  $\vec{a}$  and  $\vec{v}$  are no longer perpendicular, so  $|\vec{v}|$  changes, too.



GOT IT?

- 3.2** An object is accelerating downward. Which, if any, of the following must be true? (a) The object cannot be moving upward; (b) the object cannot be moving in a straight line; (c) the object is moving directly downward; (d) if the object’s motion is instantaneously horizontal, it can’t continue to be so.



**FIGURE 3.9** Acceleration that is always perpendicular to velocity changes only the direction.

## 3.3 Relative Motion

### LO 3.3 Relate velocities in different reference frames.

You stroll down the aisle of a plane, walking toward the front at a leisurely 4 km/h. Meanwhile the plane is moving relative to the ground at 1000 km/h. Therefore, you’re moving at 1004 km/h relative to the ground. As this example suggests, velocity is meaningful only when we know the answer to the question, “Velocity relative to what?” That “what” is called a **frame of reference**. Often we know an object’s velocity relative to one frame of reference—for example, your velocity relative to the plane—and we want to know its velocity relative to some other reference frame—in this case the ground. In this one-dimensional case, we can simply add the two velocities. If you had been walking toward the back of the plane, then the two velocities would have opposite signs and you would be going at 996 km/h relative to the ground.

The same idea works in two dimensions, but here we need to recognize that velocity is a vector. Suppose that airplane is flying with velocity  $\vec{v}'$  relative to the air. If a wind is blowing, then the air is moving with some velocity  $\vec{V}$  relative to the ground. The plane’s

velocity  $\vec{v}$  relative to the ground is the vector sum of its velocity relative to the air and the air's velocity relative to the ground:

$$\vec{v} = \vec{v}' + \vec{V} \quad (\text{relative velocity}) \quad (3.7)$$

$\vec{v}$  is the velocity of an object relative to a particular frame of reference, such as the air.

$\vec{V}$  is the relative velocity between the two reference frames, such as the wind velocity relative to the ground.

$\vec{v}'$  is the velocity of an object relative to another frame of reference, such as the ground.

Here we use lowercase letters for the velocities of an object relative to two different reference frames; we distinguish the two with the prime on one of the velocities. The capital  $\vec{V}$  is the relative velocity between the two frames. In general, Equation 3.7 lets us use the velocity of an object in one reference frame to find its velocity relative to another frame—provided we know that relative velocity  $\vec{V}$ . Example 3.2 illustrates the application of this idea to aircraft navigation.

### EXAMPLE 3.2 Relative Velocity: Navigating a Jetliner

A jetliner flies at 960 km/h relative to the air. It's going from Houston to Omaha, 1290 km northward. At cruising altitude a wind is blowing eastward at 190 km/h. In what direction should the plane fly? How long will the trip take?

**INTERPRET** This is a problem involving relative velocities. We identify the given information: the plane's speed, but not its direction, in the reference frame of the air; the plane's direction, but not its speed, in the reference frame of the ground; and the wind velocity, both speed and direction.

**DEVELOP** Equation 3.7,  $\vec{v} = \vec{v}' + \vec{V}$ , applies, and we identify  $\vec{v}$  as the plane's velocity relative to the ground,  $\vec{v}'$  as its velocity relative to the air, and  $\vec{V}$  as the wind velocity. Equation 3.7 shows that  $\vec{v}'$  and  $\vec{V}$  add vectorially to give  $\vec{v}$  that, with the given information, helps us draw the situation (Fig. 3.10). Measuring the angle of  $\vec{v}'$  and the length of  $\vec{v}$  in the diagram would then give the answers. However, we'll work the problem algebraically using vector components. Since the plane is flying northward and the wind is blowing eastward, a suitable coordinate system has its  $x$ -axis eastward and  $y$ -axis northward. Our plan is to work out the vector components in these coordinates and then apply Equation 3.7.

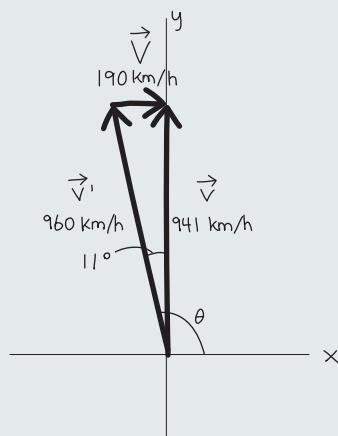


FIGURE 3.10 Our vector diagram for Example 3.2.

**EVALUATE** Using Equations 3.2 for the vector components, we can express the three vectors as

$$\vec{v}' = v' \cos \theta \hat{i} + v' \sin \theta \hat{j}, \quad \vec{V} = V \hat{i}, \quad \text{and} \quad \vec{v} = v \hat{j}$$

Here we know the magnitude  $v'$  of the velocity  $\vec{v}'$ , but we don't know the angle  $\theta$ . We know the magnitude  $V$  of the wind velocity  $\vec{V}$ , and we also know its direction—toward the east. So  $\vec{V}$  has only an  $x$ -component. Meanwhile we want the velocity  $\vec{v}$  relative to the ground to be purely northward, so it has only a  $y$ -component—although we don't know its magnitude  $v$ . We're now ready to put the three velocities into Equation 3.7. Since two vectors are equal only if all their components are equal, we can express the vector Equation 3.7 as two separate scalar equations for the  $x$ - and  $y$ -components:

$$\begin{aligned} x\text{-component: } & v' \cos \theta + V = 0 \\ y\text{-component: } & v' \sin \theta + 0 = v \end{aligned}$$

The rest is math, evaluating the unknowns  $\theta$  and  $v$ . Solving the  $x$  equation gives

$$\theta = \cos^{-1}\left(-\frac{V}{v'}\right) = \cos^{-1}\left(-\frac{190 \text{ km/h}}{960 \text{ km/h}}\right) = 101.4^\circ$$

This angle is measured from the  $x$ -axis (eastward; see Fig. 3.10), so it amounts to a flight path  $11^\circ$  west of north. We can then evaluate  $v$  from the  $y$  equation:

$$v = v' \sin \theta = (960 \text{ km/h})(\sin 101.4^\circ) = 941 \text{ km/h}$$

That's the plane's speed relative to the ground. Going 1290 km will then take  $(1290 \text{ km})/(941 \text{ km/h}) = 1.4 \text{ h}$  or 1 h, 24 min.

**ASSESS** Make sense? The plane's heading of  $11^\circ$  west of north seems reasonable compensation for an eastward wind blowing at 190 km/h, given the plane's airspeed of 960 km/h. If there were no wind, the trip would take 1 h, 20 min (1290 km divided by 960 km/h), so our time of 1 h, 24 min with the wind makes sense.

Vertical spacing is the same, showing that vertical and horizontal motions are independent.

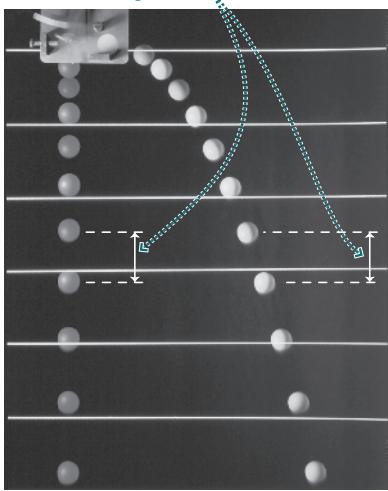
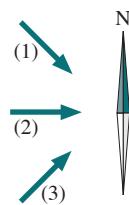


FIGURE 3.11 Two marbles, one dropped and the other projected horizontally.

### GOT IT?

**3.3** An airplane is making a 500-km trip directly north that is supposed to take exactly 1 h. For 100-km/h winds blowing in each of the directions (1), (2), and (3) shown, does the plane's speed relative to the air need to be (a) less than, (b) equal to, or (c) greater than 500 km/h?



## 3.4 Constant Acceleration

### LO 3.4 Analyze motion in two dimensions.

When acceleration is constant, the individual components of the acceleration vector are themselves constant. Furthermore, the component of acceleration in one direction has no effect on the motion in a perpendicular direction (Fig. 3.11). Then with constant acceleration, the separate components of the motion must obey the constant-acceleration formulas we developed in Chapter 2 for one-dimensional motion. Using vector notation, we can then generalize Equations 2.7 and 2.10 to read

$\vec{v}$  is an object's velocity at any time  $t$ .  $\vec{a}$  is the object's acceleration, and  $t$  is the time.

$$\vec{v} = \vec{v}_0 + \vec{a}t \quad (\text{for constant acceleration only}) \quad (3.8)$$

$\vec{v}_0$  is its initial velocity at  $t = 0$ .

$\vec{r}$  is an object's position at any time  $t$ .  $t$  is the time.

$$\vec{r} = \vec{r}_0 + \vec{v}_0 t + \frac{1}{2} \vec{a} t^2 \quad (\text{for constant acceleration only}) \quad (3.9)$$

$\vec{r}_0$  is its initial position at  $t = 0$ .  $\vec{v}_0$  is its initial velocity.  $\vec{a}$  is its acceleration.

where  $\vec{r}$  is the position vector. In two dimensions, each of these vector equations represents a pair of scalar equations describing constant acceleration in two mutually perpendicular directions. Equation 3.9, for example, contains the pair  $x = x_0 + v_{x0}t + \frac{1}{2}a_x t^2$  and  $y = y_0 + v_{y0}t + \frac{1}{2}a_y t^2$ . (Remember that the components of the displacement vector  $\vec{r}$  are just the coordinates  $x$  and  $y$ .) In three dimensions there would be a third equation for the  $z$ -component. Starting with these vector forms of the equations of motion, you can apply Problem-Solving Strategy 2.1 to problems in two or three dimensions.

### EXAMPLE 3.3

#### Acceleration in Two Dimensions: Windsurfing Worked Example with Variation Problems

You're windsurfing at 7.3 m/s when a gust hits, accelerating your sailboard at  $0.82 \text{ m/s}^2$  at  $60^\circ$  to your original direction. If the gust lasts 8.7 s, what's the magnitude of the board's displacement during this time?

**INTERPRET** This is a problem involving constant acceleration in two dimensions. The key concept is that motion in perpendicular directions is independent, so we can treat the problem as involving two separate one-dimensional motions.

**DEVELOP** Equation 3.9,  $\vec{r} = \vec{r}_0 + \vec{v}_0 t + \frac{1}{2} \vec{a} t^2$ , will give the board's displacement. We need a coordinate system, so we take the  $x$ -axis along the board's initial motion, with the origin at the point where the gust first hits. Our plan is to find the components of the acceleration vector and then apply the two components of Equation 3.9 to get the components of the displacement. In Fig. 3.12 we draw the acceleration vector to determine its components.

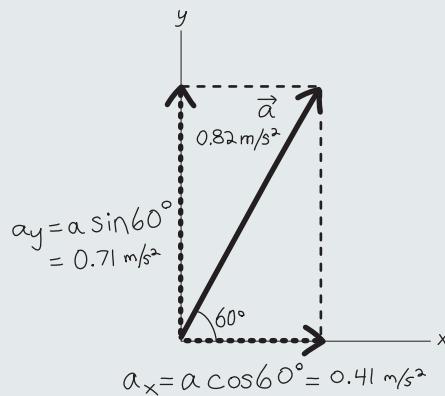


FIGURE 3.12 Our sketch of the sailboard's acceleration components.

**EVALUATE** With the  $x$ -direction along the initial velocity,  $\vec{v}_0 = 7.3\hat{i}$  m/s. As Fig. 3.12 shows, the acceleration is  $\vec{a} = 0.41\hat{i} + 0.71\hat{j}$  m/s<sup>2</sup>. Our choice of origin gives  $x_0 = y_0 = 0$ , so the two components of Equation 3.9 are

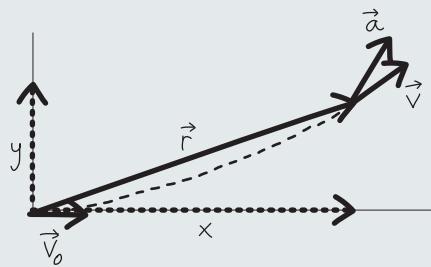
$$\begin{aligned}x &= v_{x0}t + \frac{1}{2}a_x t^2 = 79.0 \text{ m} \\y &= \frac{1}{2}a_y t^2 = 26.9 \text{ m}\end{aligned}$$

where we used the appropriate components of  $\vec{a}$  and where  $t = 8.7$  s. The new position vector is then  $\vec{r} = x\hat{i} + y\hat{j} = 79.0\hat{i} + 26.9\hat{j}$  m, giving a net displacement of  $r = \sqrt{x^2 + y^2} = 83$  m.

**ASSESS** Make sense? Figure 3.13 shows how the acceleration deflects the sailboard from its original path and also increases its speed somewhat. Since the acceleration makes a fairly large angle with the initial velocity, the change in direction is the greater effect.

### GOT IT?

- 3.4** An object is moving initially in the  $+x$ -direction. Which of the following accelerations, all acting for the same time interval, will cause the greatest change in its speed? In its direction? (a)  $10\hat{i}$  m/s<sup>2</sup>; (b)  $10\hat{j}$  m/s<sup>2</sup>; (c)  $10\hat{i} + 5\hat{j}$  m/s<sup>2</sup>; (d)  $2\hat{i} - 8\hat{j}$  m/s<sup>2</sup>



**FIGURE 3.13** Our sketch of the displacement  $\vec{r}$ , velocity  $\vec{v}$ , and acceleration  $\vec{a}$  at the end of the wind gust. The actual path of the sailboard during the gust is indicated by the dashed curve.

## 3.5 Projectile Motion

### LO 3.5 Predict the motion of projectiles subject to gravity.

A **projectile** is an object that's launched into the air and then moves predominantly under the influence of gravity. Examples are numerous; baseballs, jets of water (Fig. 3.14), fireworks, missiles, ejecta from volcanoes, drops of ink in an ink-jet printer, and leaping dolphins are all projectiles.

To treat projectile motion, we make two simplifying assumptions: (1) We neglect any variation in the direction or magnitude of the gravitational acceleration, and (2) we neglect air resistance. The first assumption is equivalent to neglecting Earth's curvature, and is valid for projectiles whose displacements are small compared with Earth's radius. Air resistance has a more variable effect; for dense, compact objects it's often negligible, but for objects whose ratio of surface area to mass is large—like ping-pong balls and parachutes—air resistance dramatically alters the motion.

To describe projectile motion, it's convenient to choose a coordinate system with the  $y$ -axis vertically upward and the  $x$ -axis horizontal. With the only acceleration provided by gravity,  $a_x = 0$  and  $a_y = -g$ , so the components of Equations 3.8 and 3.9 become

$$v_x = v_{x0} \quad (3.10)$$

$$v_y = v_{y0} - gt \quad (3.11)$$

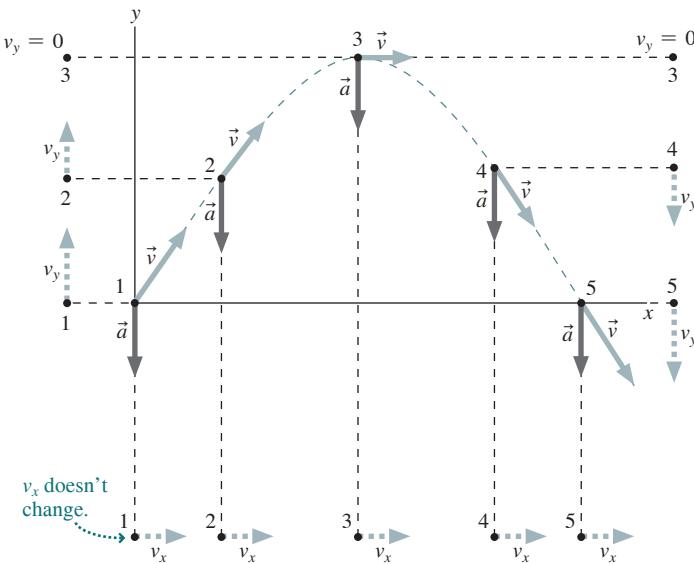
$$x = x_0 + v_{x0}t \quad (3.12)$$

$$y = y_0 + v_{y0}t - \frac{1}{2}gt^2 \quad (3.13)$$

We take  $g$  to be positive, and account for the downward direction using minus signs. Equations 3.10–3.13 tell us mathematically what Fig. 3.15 tells us physically: Projectile motion comprises two perpendicular and independent components—horizontal motion with constant velocity and vertical motion with constant acceleration.



**FIGURE 3.14** Water droplets—each an individual projectile—combine to form graceful parabolic arcs in this fountain.



**FIGURE 3.15** Velocity and acceleration at five points on a projectile's path. Also shown are horizontal and vertical components.

### PROBLEM-SOLVING STRATEGY 3.1

### Projectile Motion

**INTERPRET** Make sure that you have a problem involving the constant acceleration of gravity near Earth's surface, and that the motion involves both horizontal and vertical components. Identify the object or objects in question and whatever initial or final positions and velocities are given. Know what quantities you're being asked to find.

**DEVELOP** Establish a horizontal/vertical coordinate system, and write the separate components of the equations of motion (Equations 3.10–3.13). The equations for different components will be linked by a common variable—namely, time. Draw a sketch showing the initial motion and a rough trajectory.

**EVALUATE** Solve your individual equations simultaneously for the unknowns of the problem.

**ASSESS** Check that your answer makes sense. Consider special cases, like purely vertical or horizontal initial velocities. Because the equations of motion are quadratic in time, you may have two answers. One answer may be the one you want, but you gain more insight into physics if you consider the meaning of the second answer, too.

### EXAMPLE 3.4

### Finding the Horizontal Distance: Washout!

A raging flood has washed away a section of highway, creating a gash 1.7 m deep. A car moving at 31 m/s goes straight over the edge. How far from the edge of the washout does it land?

**INTERPRET** This is a problem involving projectile motion, and it asks for the horizontal distance the car moves after it leaves the road. We're given the car's initial speed and direction (horizontal) and the distance it falls.

**DEVELOP** Figure 3.16a shows the situation, and we've sketched the essentials in Fig. 3.16b. Since there's no horizontal acceleration, Equation 3.12,  $x = x_0 + v_{x0}t$ , would determine the unknown distance if we knew the time. But horizontal and vertical motions are independent, so we can find the time until the car hits the ground from the vertical motion alone, as determined by Equation 3.13,  $y = y_0 + v_{y0}t - \frac{1}{2}gt^2$ . So our plan is to get the time from Equation

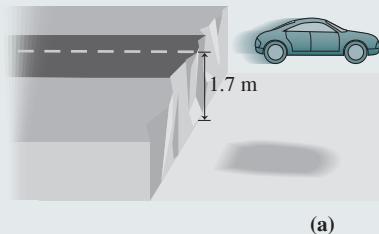
3.13 and then use that time in Equation 3.12 to get the horizontal distance. If we choose the origin as the bottom of the washout, then  $y_0 = 1.7 \text{ m}$ . Then we want the time when  $y = 0$ .

**EVALUATE** With  $v_{y0} = 0$ , we solve Equation 3.13 for  $t$ :

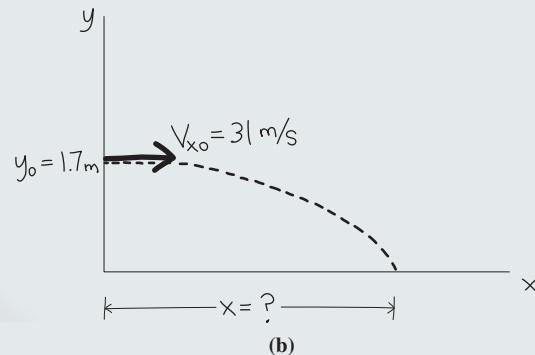
$$t = \sqrt{\frac{2y_0}{g}} = \sqrt{\frac{(2)(1.7 \text{ m})}{(9.8 \text{ m/s}^2)}} = 0.589 \text{ s}$$

During this time the car continues to move horizontally at  $v_{x0} = 31 \text{ m/s}$ , so Equation 3.12 gives  $x = v_{x0}t = (31 \text{ m/s})(0.589 \text{ s}) = 18 \text{ m}$ .

Note that we carried three significant figures in our intermediate answer for the time  $t$  to avoid roundoff error in our final two-significant-figure answer. Often intermediate calculations in examples are done keeping many more significant figures because we work



(a)



(b)

**FIGURE 3.16** (a) The highway and car, and (b) our sketch.

directly from a calculator's intermediate values. Alternatively, we could have kept the time in symbolic form,  $t = \sqrt{2y_0/g}$ . Often you can gain more physical insight from an answer that's expressed symbolically before you put in the numbers.

**ASSESS** Make sense? About half a second to drop 1.7 m or about 6 ft seems reasonable, and at 31 m/s an object will go somewhat farther than 15 m in this time.



**MULTISTEP PROBLEMS** Example 3.4 asked for the horizontal distance the car traveled. For that we needed the time—which we weren't given. This is a common situation in all but the simplest physics problems. You need to work through several steps to get the answer—in this case solving first for the unknown time and then for the distance. In essence, we solved two problems in Example 3.4: the first involving vertical motion and the second horizontal motion.

## Projectile Trajectories

We're often interested in the path, or **trajectory**, of a projectile without the details of where it is at each instant of time. We can specify the trajectory by giving the height  $y$  as a function of the horizontal position  $x$ . Consider a projectile launched from the origin at some angle  $\theta_0$  to the horizontal, with initial speed  $v_0$ . As Fig. 3.17 suggests, the components of the initial velocity are  $v_{x0} = v_0 \cos \theta_0$  and  $v_{y0} = v_0 \sin \theta_0$ . Then Equations 3.12 and 3.13 become

$$x = v_0 \cos \theta_0 t \quad \text{and} \quad y = v_0 \sin \theta_0 t - \frac{1}{2} g t^2$$

Solving the  $x$  equation for the time  $t$  gives

$$t = \frac{x}{v_0 \cos \theta_0}$$

Using this result in the  $y$  equation, we have

$$y = v_0 \sin \theta_0 \left( \frac{x}{v_0 \cos \theta_0} \right) - \frac{1}{2} g \left( \frac{x}{v_0 \cos \theta_0} \right)^2$$

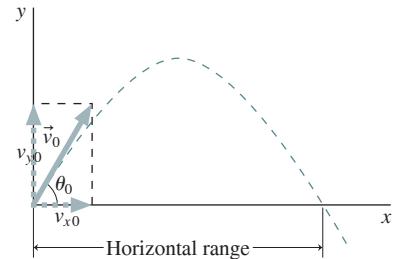
or

$$y = x \tan \theta_0 - \frac{g}{2v_0^2 \cos^2 \theta_0} x^2 \quad (\text{projectile trajectory}) \quad (3.14)$$

Equation 3.14 gives a mathematical description of the projectile's trajectory. Since  $y$  is a quadratic function of  $x$ , the trajectory is a parabola.

## The Horizontal Range of a Projectile

How far will a soccer ball go if I kick it at 12 m/s at  $50^\circ$  to the horizontal? If I can throw a rock at 15 m/s, can I get it across a 30-m-wide pond? How far off vertical can a rocket's

**FIGURE 3.17** Parabolic trajectory of a projectile.

### APPLICATION

### Pop Flies, Line Drives, and Hang Times

Although air resistance significantly influences baseball trajectories, to a first approximation baseballs behave like projectiles. For a given speed off the bat, this means a pop fly's "hang time" is much greater than that of a nearly horizontal line drive, and that makes the fly ball much easier to catch (see photo).



**EXAMPLE 3.5** Finding the Trajectory: Out of the Hole

A construction worker stands in a 2.6-m-deep hole, 3.1 m from the edge of the hole. He tosses a hammer to a companion outside the hole. If the hammer leaves his hand 1.0 m above the bottom of the hole at an angle of  $35^\circ$ , what's the minimum speed it needs to clear the edge of the hole? How far from the edge of the hole does it land?

**INTERPRET** We're concerned about *where* an object is but not *when*, so we interpret this as a problem about the trajectory—specifically, the minimum-speed trajectory that just grazes the edge of the hole.

**DEVELOP** We draw the situation in Fig. 3.18, choosing a coordinate system with its origin at the worker's hand. Equation 3.14 determines the trajectory, so our plan is to find the speed that makes the trajectory pass just over the edge of the hole at  $x = 3.1$  m,  $y = 1.6$  m.

**EVALUATE** To find the minimum speed we solve Equation 3.14 for  $v_0$ , using the coordinates of the hole's edge for  $x$  and  $y$ :

$$v_0 = \sqrt{\frac{gx^2}{2\cos^2\theta_0(x\tan\theta_0 - y)}} = 11 \text{ m/s}$$

To find where the hammer lands, we need to know the horizontal position  $x$  when  $y = 1.6$  m. Rearranging Equation 3.14 into the standard form for a quadratic equation gives  $(g/2v_0^2\cos^2\theta_0)x^2 - (\tan\theta_0)x + y = 0$ .

Applying the quadratic formula (Appendix A) gives  $x = 3.1$  m and  $x = 8.7$  m; the second value is the one we want. That 8.7 m is the distance from our origin at the worker's hand, and amounts to  $8.7 \text{ m} - 3.1 \text{ m} = 5.6 \text{ m}$  from the hole's edge.

**ASSESS** Make sense? The other answer to the quadratic,  $x = 3.1$  m, is a clue that we did the problem correctly. That 3.1 m is the distance to the edge of the hole. The fact that we get this position when we ask for a vertical height of 1.6 m confirms that the trajectory does indeed just clear the edge of the hole.

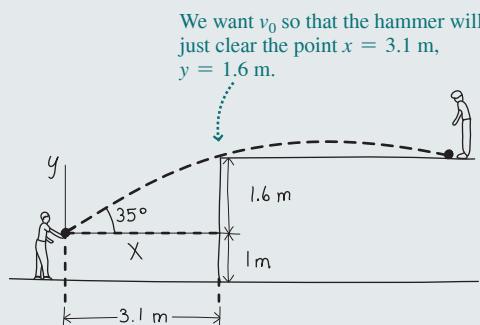


FIGURE 3.18 Our sketch for Example 3.5.

trajectory be and still land within 50 km of its launch point? As in these examples, we're frequently interested in the **horizontal range** of a projectile—that is, how far it moves horizontally over level ground.

For a projectile launched on level ground, we can determine when the projectile will return to the ground by setting  $y = 0$  in Equation 3.14:

$$0 = x \tan\theta_0 - \frac{g}{2v_0^2 \cos^2\theta_0}x^2 = x \left( \tan\theta_0 - \frac{gx}{2v_0^2 \cos^2\theta_0} \right)$$

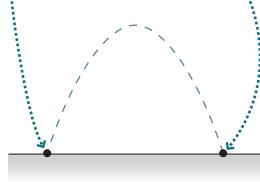
There are two solutions:  $x = 0$ , corresponding to the launch point, and

$$x = \frac{2v_0^2}{g} \cos^2\theta_0 \tan\theta_0 = \frac{2v_0^2}{g} \sin\theta_0 \cos\theta_0$$

But  $\sin 2\theta_0 = 2 \sin\theta_0 \cos\theta_0$ , so this becomes

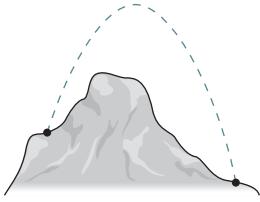
$$x = \frac{v_0^2}{g} \sin 2\theta_0 \quad (\text{horizontal range}) \quad (3.15)$$

Here the particle returns to its starting height, so Equation 3.15 applies.



(a)

Here the particle lands at a different height, so Equation 3.15 doesn't apply.



(b)

FIGURE 3.19 Equation 3.15 applies in (a) but not in (b).



**KNOW YOUR LIMITS** We emphasize that Equation 3.15 gives the *horizontal range*—the distance a projectile travels horizontally before returning to its starting height. From the way it was derived—setting  $y = 0$ —you can see that it does *not* give the horizontal distance when the projectile returns to a different height (Fig. 3.19).

The maximum range occurs when  $\sin 2\theta = 1$  in Equation 3.15, which occurs when  $\theta = 45^\circ$ . As Fig. 3.20 suggests, the range for a given launch speed  $v_0$  is equal for angles equally spaced on either side of  $45^\circ$ —as you can prove in Problem 76.

**CONCEPTUAL EXAMPLE 3.1** **Projectile Flight Times**

The ranges in Fig. 3.20 are equal for angles on either side of  $45^\circ$ . How do the flight times compare?

**EVALUATE** We're being asked about the times projectiles spend on the trajectories shown. Since horizontal and vertical motions are independent, flight time depends on how high the projectile

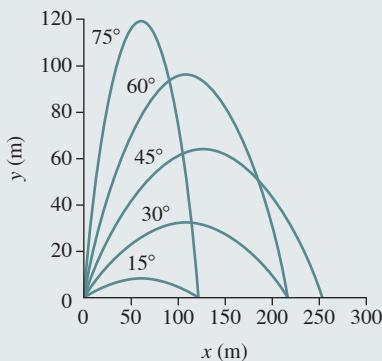


FIGURE 3.20 Trajectories for a projectile launched at 50 m/s.

goes. So we can argue from the vertical motions that the trajectory with the higher launch angle takes longer. We can also argue from horizontal motions: Horizontal distances of the paired trajectories are the same, but the lower trajectory has a greater horizontal velocity component, so again the lower trajectory takes less time.

**ASSESS** Consider the extreme cases of near-vertical and near-horizontal trajectories. The former goes nearly straight up and down, taking a relatively long time but returning essentially to its starting point. The latter hardly gets anywhere because it immediately hits the ground right at its starting point, so it takes just about no time!

**MAKING THE CONNECTION** Find the flight times for the  $30^\circ$  and  $60^\circ$  trajectories in Fig. 3.20.

**EVALUATE** The range of Equation 3.15 is also equal to the horizontal velocity  $v_x$  multiplied by the time:  $v_x t = v_0^2 \sin 2\theta_0 / g$ . Using  $v_{x0} = v_0 \cos \theta_0$  and solving for  $t$  gives  $t = 2v_0 \sin \theta_0 / g$ . Using Fig. 3.20's  $v_0 = 50$  m/s yields  $t_{30} = 5.1$  s and  $t_{60} = 8.8$  s. You can explore this time difference more generally in Problem 71.



**KNOW THE FUNDAMENTALS** Equations 3.14 and 3.15 for a projectile's trajectory and range are useful, but they're not fundamental equations of physics. Both follow directly from the equations for constant acceleration. If you think that specialized results like Equations 3.14 and 3.15 are on an equal footing with more fundamental equations and principles, then you're seeing physics as a hodgepodge of equations and missing the big picture of a science with a few underlying principles from which all else follows.

**EXAMPLE 3.6** **Projectile Range: Probing the Atmosphere**

After a short engine firing, an atmosphere-probing rocket reaches 4.6 km/s. If the rocket must land within 50 km of its launch site, what's the maximum allowable deviation from a vertical trajectory?

**INTERPRET** Although we're asked about the launch angle, the 50-km criterion is a clue that we can interpret this as a problem about the horizontal range. That "short engine firing" means we can neglect the distance over which the rocket fires and consider it a projectile that leaves the ground at  $v_0 = 4.6$  km/s.

**DEVELOP** Equation 3.15,  $x = (v_0^2/g) \sin 2\theta_0$ , determines the horizontal range, so our plan is to solve that equation for  $\theta_0$  with range  $x = 50$  km.

**EVALUATE** We have  $\sin 2\theta_0 = gx/v_0^2 = 0.0232$ . There are two solutions, corresponding to  $2\theta_0 = 1.33^\circ$  and  $2\theta_0 = 180^\circ - 1.33^\circ$ . The second is the one we want, giving a launch angle  $\theta_0 = 90^\circ - 0.67^\circ$ . Therefore the launch angle must be within  $0.67^\circ$  of vertical.

**ASSESS** Make sense? At 4.6 km/s, this rocket goes quite high, so with even a small deviation from vertical it will land far from its launch point. Again we've got two solutions. The one we rejected is like the low trajectories of Fig. 3.20; although it gives a 50-km range, it isn't going to get our rocket high into the atmosphere.

**GOT IT?**

- 3.5 Two projectiles are launched simultaneously from the same point on a horizontal surface, one at  $45^\circ$  to the horizontal and the other at  $60^\circ$ . Their launch speeds are different and are chosen so that the two projectiles travel the same horizontal distance before landing. Which of the following statements is true? (a) A and B land at the same time; (b) B's launch speed is lower than A's and B lands sooner; (c) B's launch speed is lower than A's and B lands later; (d) B's launch speed is higher than A's and B lands sooner; or (e) B's launch speed is higher than A's and B lands later.

## 3.6 Uniform Circular Motion

### LO 3.6 Describe circular motion as accelerated motion.

An important case of accelerated motion in two dimensions is **uniform circular motion**—that of an object describing a circular path at constant speed. Although the speed is constant, the motion is accelerated because the *direction* of the velocity is changing.

Uniform circular motion is common. Many spacecraft are in circular orbits, and the orbits of the planets are approximately circular. Earth's daily rotation carries you around in uniform circular motion. Pieces of rotating machinery describe uniform circular motion, and you're temporarily in circular motion as you drive around a curve. Electrons undergo circular motion in magnetic fields.

Here we derive an important relationship among the acceleration, speed, and radius of uniform circular motion. Figure 3.21 shows several velocity vectors for an object moving with speed  $v$  around a circle of radius  $r$ . Velocity vectors are tangent to the circle, indicating the instantaneous direction of motion. In Fig. 3.22a we focus on two nearby points described by position vectors  $\vec{r}_1$  and  $\vec{r}_2$ , where the velocities are  $\vec{v}_1$  and  $\vec{v}_2$ . Figures 3.22b and 3.22c show the corresponding displacement  $\Delta\vec{r} = \vec{r}_2 - \vec{r}_1$  and velocity difference  $\Delta\vec{v} = \vec{v}_2 - \vec{v}_1$ .

Because  $\vec{v}_1$  is perpendicular to  $\vec{r}_1$ , and  $\vec{v}_2$  is perpendicular to  $\vec{r}_2$ , the angles  $\theta$  shown in all three parts of Fig. 3.22 are the same. Therefore, the triangles in Fig. 3.22b and Fig. 3.22c are similar, and we can write

$$\frac{\Delta v}{v} = \frac{\Delta r}{r}$$

Now suppose the angle  $\theta$  is small, corresponding to a short time interval  $\Delta t$  for motion from position  $\vec{r}_1$  to  $\vec{r}_2$ . Then the length of the vector  $\Delta\vec{r}$  is approximately the length of the circular arc joining the endpoints of the position vectors, as suggested in Fig. 3.22b. The length of this arc is the distance the object travels in the time  $\Delta t$ , or  $v\Delta t$ , so  $\Delta r \approx v\Delta t$ . Then the relation between similar triangles becomes

$$\frac{\Delta v}{v} \approx \frac{v \Delta t}{r}$$

Rearranging this equation gives an approximate expression for the magnitude of the average acceleration:

$$\bar{a} = \frac{\Delta v}{\Delta t} \approx \frac{v^2}{r}$$

Taking the limit  $\Delta t \rightarrow 0$  gives the instantaneous acceleration; in this limit the angle  $\theta$  approaches 0, the circular arc and  $\Delta\vec{r}$  become indistinguishable, and the relation  $\Delta r \approx v\Delta t$  becomes exact. So we have

$a$  is the magnitude of an object's acceleration when it's in uniform circular motion.

$v$  is the object's speed, which is constant even though its velocity is changing.

$$a = \frac{v^2}{r} \quad (\text{uniform circular motion})$$

$r$  is the radius of the circular path.

(3.16)

for the magnitude of the instantaneous acceleration of an object moving in a circle of radius  $r$  at constant speed  $v$ . What about its direction? As Fig. 3.22c suggests,  $\Delta\vec{v}$  is very nearly perpendicular to both velocity vectors; in the limit  $\Delta t \rightarrow 0$ ,  $\Delta\vec{v}$  and the acceleration  $\Delta\vec{v}/\Delta t$  become exactly perpendicular to the velocity. The direction of the acceleration vector is therefore toward the center of the circle.

Our geometric argument would work for any point on the circle, so we conclude that the acceleration has constant magnitude  $v^2/r$  and always points toward the center of the

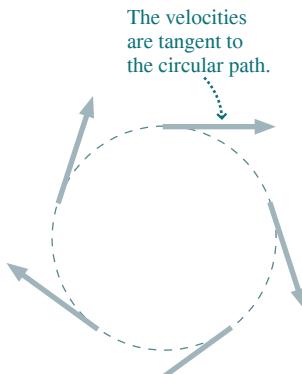
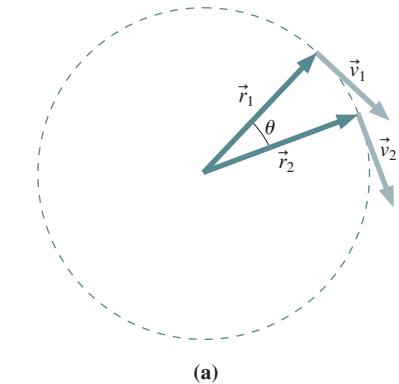
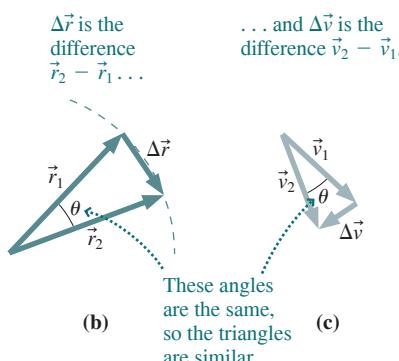


FIGURE 3.21 Velocity vectors in circular motion are tangent to the circular path.



(a)



(b)



(c)

FIGURE 3.22 Position and velocity vectors for two nearby points on the circular path.

circle. Isaac Newton coined the term *centripetal* to describe this center-pointing acceleration. However, we'll use that term sparingly because we want to emphasize that centripetal acceleration is fundamentally no different from any other acceleration: It's simply a vector describing the rate of change of velocity.

Does Equation 3.16 make sense? Yes. An increase in speed  $v$  means the time  $\Delta t$  for a given change in direction of the velocity becomes shorter. Not only that, but the associated velocity change  $\Delta\vec{v}$  is larger. These two effects combine to give an acceleration that depends on the *square* of the speed. On the other hand, an increase in the radius with a fixed speed increases the time  $\Delta t$  associated with a given change in velocity, so the acceleration is inversely proportional to the radius.



**CIRCULAR MOTION AND CONSTANT ACCELERATION** The direction toward the center changes as an object moves around a circular path, so the acceleration vector is *not constant*, even though its magnitude is. Uniform circular motion is *not* motion with constant acceleration, and our constant-acceleration equations *do not apply*. In fact, we know that constant acceleration in two dimensions implies a parabolic trajectory, not a circle.

### EXAMPLE 3.7

### Uniform Circular Motion: The International Space Station

Find the orbital period (the time to complete one orbit) of the International Space Station in its circular orbit at altitude 400 km, where the acceleration of gravity is 89% of its surface value.

**INTERPRET** This is a problem about uniform circular motion.

**DEVELOP** Given the radius and acceleration, we could use Equation 3.16,  $a = v^2/r$ , to determine the orbital speed. But we're given the altitude, not the orbital radius, and we want the period, not the speed. So our plan is to write the speed in terms of the period and use the result in Equation 3.16. The orbital altitude is the distance from Earth's surface, so we'll need to add Earth's radius to get the orbital radius  $r$ .

**EVALUATE** The speed  $v$  is the orbital circumference,  $2\pi r$ , divided by the period  $T$ . Using this in Equation 3.16 gives

$$a = \frac{v^2}{r} = \frac{(2\pi r/T)^2}{r} = \frac{4\pi^2 r}{T^2}$$

Appendix E lists Earth's radius as  $R_E = 6.37$  Mm, giving an orbital radius  $r = R_E + 400$  km = 6.77 Mm. Solving our acceleration expression for the period then gives  $T = \sqrt{4\pi^2 r/a} = 5536$  s = 92 min, where we used  $a = 0.89g$ .

**ASSESS** Make sense? Astronauts orbit Earth in about an hour and a half, experiencing multiple sunrises and sunsets in a 24-hour day. Our answer of 92 min is certainly consistent with that. There's no choice here; for a given orbital radius, Earth's size and mass determine the period. Because astronauts' orbits are limited to a few hundred kilometers, a distance small compared with  $R_E$ , variations in  $g$  and  $T$  are minimal. Any such "low Earth orbit" has a period of approximately 90 min. At higher altitudes, gravity diminishes significantly and periods lengthen; the Moon, for example, orbits in 27 days. We'll discuss orbits more in Chapter 8.

### EXAMPLE 3.8

### Uniform Circular Motion: Engineering a Road

#### Worked Example with Variation Problems

An engineer is designing a flat, horizontal road for an 80 km/h speed limit (that's 22.2 m/s). If the maximum acceleration of a vehicle on this road is 1.5 m/s<sup>2</sup>, what's the minimum safe radius for curves in the road?

**INTERPRET** Even though a curve is only a portion of a circle, we can still interpret this problem as involving uniform circular motion.

**DEVELOP** Equation 3.16,  $a = v^2/r$ , gives the acceleration in terms of the speed and radius. Here we have the acceleration and speed, so our plan is to solve for the radius.

**EVALUATE** Using the given numbers, we have  $r = v^2/a = (22.2 \text{ m/s})^2 / 1.5 \text{ m/s}^2 = 329 \text{ m}$ .

**ASSESS** Make sense? A speed of 80 km/h is pretty fast, so we need a wide curve to keep the required acceleration below its design value. If the curve is sharper, vehicles may slide off the road. We'll see more clearly in subsequent chapters how vehicles manage to negotiate high-speed curves.

The car is slowing, so its tangential acceleration  $\vec{a}_t$  is opposite its velocity.

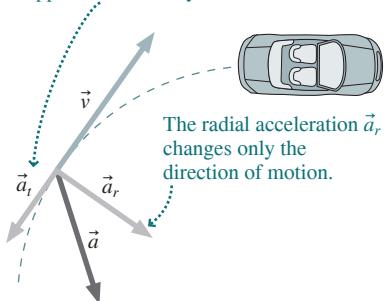


FIGURE 3.23 Acceleration of a car that slows as it rounds a curve.

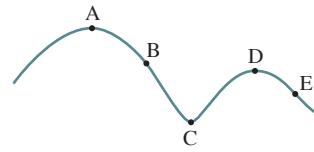
## Nonuniform Circular Motion

What if an object moves in a circular path but its speed changes? Then it has components of acceleration both perpendicular and parallel to its velocity. The former, the **radial acceleration**  $a_r$ , is what changes the direction to keep the object in circular motion. Its magnitude is still  $v^2/r$ , with  $v$  now the instantaneous speed. The parallel component of acceleration, also called **tangential acceleration**  $a_t$  because it's tangent to the circle, changes the speed but not the direction. Its magnitude is therefore the rate of change of speed, or  $dv/dt$ . Figure 3.23 shows these two acceleration components for a car rounding a curve. We'll explore these two components of acceleration further in Chapter 10, when we study rotational motion.

Finally, what if the radius of a curved path changes? At any point on a curve we can define a **radius of curvature**. Then the radial acceleration is still  $v^2/r$ , and it can vary if either  $v$  or  $r$  changes along the curve. The tangential acceleration is still tangent to the curve, and it still describes the rate of change of speed. So it's straightforward to generalize the ideas of uniform circular motion to cases where the motion is nonuniform either because the speed changes, or because the radius changes, or both.

### GOT IT?

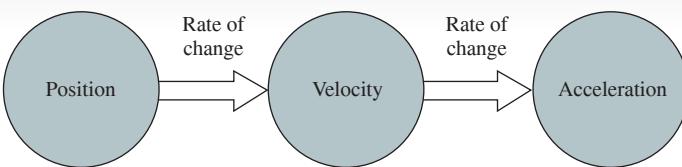
**3.6** An object moves in a horizontal plane with constant speed on the path shown. At which marked point is the magnitude of its acceleration greatest?



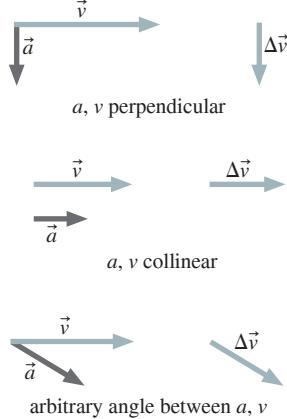
## Chapter 3 Summary

### Big Idea

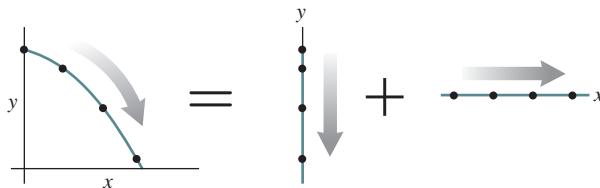
Quantities characterizing motion in two and three dimensions have both **magnitude** and **direction** and are described by **vectors**. Position, velocity, and acceleration are all vector quantities, related as they are in one dimension:



These vector quantities need not have the same direction. In particular, acceleration that's perpendicular to velocity changes the direction but not the magnitude of the velocity. Acceleration that's collinear changes only the magnitude of the velocity. In general, both change.



Components of motion in two perpendicular directions are independent. This reduces problems in two and three dimensions to sets of one-dimensional problems that can be solved with the methods of Chapter 2.



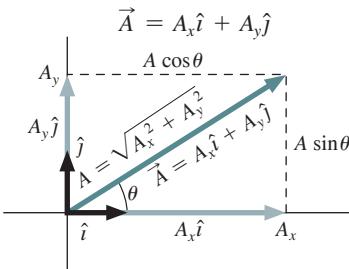
## Key Concepts and Equations

Vectors can be described by magnitude and direction or by components. In two dimensions these representations are related by

$$A = \sqrt{A_x^2 + A_y^2} \quad \text{and} \quad \theta = \tan^{-1} \frac{A_y}{A_x}$$

$$A_x = A \cos \theta \quad \text{and} \quad A_y = A \sin \theta$$

A compact way to express vectors involves unit vectors that have magnitude 1, have no units, and point along the coordinate axes:



Velocity is the rate of change of the position vector  $\vec{r}$ :

$$\vec{v} = \frac{d\vec{r}}{dt}$$

Acceleration is the rate of change of velocity:

$$\vec{a} = \frac{d\vec{v}}{dt}$$

## Applications

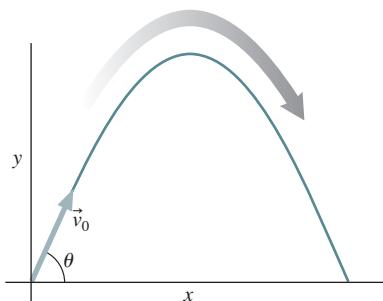
When acceleration is constant, motion is described by vector equations that generalize the one-dimensional equations of Chapter 2:

$$\vec{v} = \vec{v}_0 + \vec{a}t \quad \vec{r} = \vec{r}_0 + \vec{v}_0 t + \frac{1}{2}\vec{a}t^2$$

An important application of constant-acceleration motion in two dimensions is **projectile motion** under the influence of gravity.

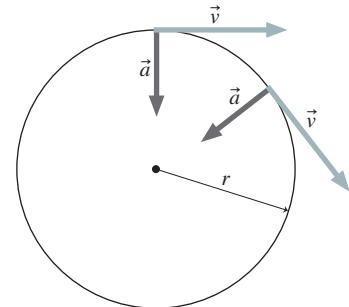
Projectile trajectory:

$$y = x \tan \theta_0 - \frac{g}{2v_0^2 \cos^2 \theta_0} x^2$$



In **uniform circular motion** the magnitudes of velocity and acceleration remain constant, but their directions continually change. For an object moving in a circular path of radius  $r$ , the magnitudes of  $\vec{a}$  and  $\vec{v}$  are related by

$$a = v^2/r$$



## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems

### Learning Outcomes

After finishing this chapter you should be able to:

- LO 3.1 Describe position in two and three dimensions, using vectors.  
*For Thought and Discussion Questions 3.1, 3.2, 3.10; Exercises 3.11, 3.12, 3.13, 3.14, 3.15, 3.16, 3.17; Problems 3.52, 3.53, 3.61*
- LO 3.2 Represent velocity and acceleration as vectors.  
*For Thought and Discussion Questions 3.3, 3.8; Exercises 3.18, 3.19, 3.20, 3.21, 3.22, 3.23, 3.24, 3.25; Problems 3.55, 3.56, 3.58*
- LO 3.3 Relate velocities in different reference frames.  
*For Thought and Discussion Question 3.9; Exercises 3.26, 3.27, 3.28, 3.29; Problems 3.54, 3.60, 3.77*
- LO 3.4 Analyze motion in two dimensions.  
*For Thought and Discussion Questions 3.5, 3.9; Exercises 3.30, 3.31; Problems 3.63, 3.64, 3.89*
- LO 3.5 Predict the motion of projectiles subject to gravity.  
*For Thought and Discussion Questions 3.6, 3.7; Exercises 3.32, 3.33, 3.34, 3.35, 3.36, 3.37; Problems 3.62, 3.65, 3.66, 3.67, 3.68, 3.69, 3.70, 3.71, 3.72, 3.74, 3.75, 3.76, 3.78, 3.79, 3.82, 3.83, 3.84, 3.85, 3.86, 3.87, 3.88, 3.90, 3.91, 3.94*
- LO 3.6 Describe circular motion as accelerated motion.  
*For Thought and Discussion Question 3.4; Exercises 3.38, 3.39, 3.40, 3.41, 3.42, 3.43; Problems 3.57, 3.80, 3.81, 3.92, 3.93*

## For Thought and Discussion

1. Under what conditions is the magnitude of the vector sum  $\vec{A} + \vec{B}$  equal to the sum of the magnitudes of the two vectors?
2. Can two vectors of equal magnitude sum to zero? How about two vectors of unequal magnitude? Repeat for three vectors.
3. Can an object have a southward acceleration while moving northward? A westward acceleration while moving northward?
4. You're a passenger in a car rounding a curve. The driver claims the car isn't accelerating because the speedometer reading is unchanging. Explain why the driver is wrong.
5. In what sense is Equation 3.8 really two (or three) equations?
6. Is a projectile's speed constant throughout its parabolic trajectory?
7. Is there any point on a projectile's trajectory where velocity and acceleration are perpendicular?
8. How is it possible for an object to be moving in one direction but accelerating in another?
9. You're in a bus moving with constant velocity on a level road when you throw a ball straight up. When the ball returns, does it land ahead of you, behind you, or back at your hand? Explain.
10. Which of the following are legitimate mathematical equations? Explain. (a)  $v = 5\hat{i}$  m/s; (b)  $\vec{v} = 5$  m/s; (c)  $\vec{a} = dv/dt$ ; (d)  $\vec{a} = d\vec{v}/dt$ ; (e)  $\vec{v} = 5\hat{i}$  m/s.

## Exercises and Problems

### Exercises

#### Section 3.1 Vectors

11. You walk 1.57 km north, then 0.846 km east. Find (a) the magnitude of your displacement vector and (b) its direction, expressed as an angle relative to the northward direction.
12. An ion in a mass spectrometer follows a semicircular path of radius 15.2 cm. What are (a) the distance it travels and (b) the magnitude of its displacement?
13. A migrating whale follows the coast of Mexico and California. It first travels 360 km northwest, then turns due north and travels another 410 km. Determine graphically the magnitude and direction of its displacement.
14. Vector  $\vec{A}$  has magnitude 3.0 m and points to the right; vector  $\vec{B}$  has magnitude 4.0 m and points vertically upward. Find the magnitude and direction of vector  $\vec{C}$  such that  $\vec{A} + \vec{B} + \vec{C} = \vec{0}$ .
15. Use unit vectors to express a displacement of 120 km at  $29^\circ$  counterclockwise from the  $x$ -axis.
16. Find the magnitude of the vector  $34\hat{i} + 13\hat{j}$  m and determine its angle to the  $x$ -axis.
17. (a) What's the magnitude of  $\hat{i} + \hat{j}$ ? (b) What angle does it make with the  $x$ -axis?

#### Section 3.2 Velocity and Acceleration Vectors

18. You're leading an international effort to save Earth from an asteroid heading toward us at 15 km/s. Your team mounts a rocket on the asteroid and fires it for 10 min, after which the asteroid is moving at 19 km/s at  $28^\circ$  to its original path. In a news conference, what do you report for the magnitude of the acceleration imparted to the asteroid?
19. An object's velocity vector  $\vec{v}$  has components related by  $v_y = -v_x$ . What are the possible values for the angle that  $\vec{v}$  makes with the  $x$ -axis?
20. A car drives north at 40 mi/h for 10 min, then turns east and goes 5.0 mi at 60 mi/h. Finally, it goes southwest at 30 mi/h for 6.0 min. Determine the car's (a) displacement and (b) average velocity for this trip.

21. An object's velocity is  $\vec{v} = ct^3\hat{i} + d\hat{j}$ , where  $t$  is time and  $c$  and  $d$  are positive constants with appropriate units. What's the direction of the object's acceleration?
22. A car, initially going eastward, rounds a  $90^\circ$  curve and ends up heading southward. If the speedometer reading remains constant, what's the direction of the car's average acceleration vector?
23. What are (a) the average velocity and (b) the average acceleration of the tip of the 2.4-cm-long hour hand of a clock in the interval from noon to 6 PM? Use unit vector notation, with the  $x$ -axis pointing toward 3 and the  $y$ -axis toward noon.
24. An object is moving with speed  $v$  when it's subject to an acceleration that leaves it moving at an angle  $\theta$  to its original direction of motion, with twice its original speed. Find an expression for the angle between the acceleration vector and the original direction of the object's motion.
25. An object is moving in the  $x$ -direction at 1.3 m/s when it undergoes an acceleration  $\vec{a} = 0.52\hat{j}$  m/s<sup>2</sup>. Find its velocity vector after 4.4 s.

#### Section 3.3 Relative Motion

26. You're piloting a small plane on a route directly north, but there's a wind blowing from the west at 59.8 km/h. If your plane's airspeed (i.e., its speed relative to the air) is 465 km/h, in what direction should you head?
27. You wish to row straight across a 63-m-wide river. You can row at a steady 1.3 m/s relative to the water, and the river flows at 0.57 m/s. (a) What direction should you head? (b) How long will it take you to cross the river?
28. A plane with airspeed 370 km/h flies a course perpendicular to the jet stream, its nose pointed into the jet stream at  $32^\circ$  from its flight direction. Find the speed of the jet stream.
29. A flock of geese is attempting to migrate due south, but the wind is blowing from the west at 5.1 m/s. If the birds can fly at 7.5 m/s relative to the air, what direction should they head?

#### Section 3.4 Constant Acceleration

30. The position of an object as a function of time is given by  $\vec{r} = (3.2t + 1.8t^2)\hat{i} + (1.7t - 2.4t^2)\hat{j}$  m, with  $t$  in seconds. Find the object's acceleration vector.
31. You're sailboarding at 6.5 m/s when a wind gust hits, lasting 6.3 s and accelerating your board at 0.48 m/s<sup>2</sup> at  $35^\circ$  to your original direction. Find the magnitude and direction of your displacement during the gust.

#### Section 3.5 Projectile Motion

32. You toss an apple horizontally at 8.7 m/s from a height of 2.6 m. Simultaneously, you drop a peach from the same height. How long does each take to reach the ground?
33. A carpenter tosses a shingle horizontally off an 8.8-m-high roof at 11 m/s. (a) How long does it take the shingle to reach the ground? (b) How far does it move horizontally?
34. An arrow fired horizontally at 41 m/s travels 23 m horizontally. From what height was it fired?
35. Droplets in an ink-jet printer are ejected horizontally at 12 m/s and travel a horizontal distance of 1.0 mm to the paper. How far do they fall in this interval?
36. Protons drop 1.2  $\mu$ m over the 1.7-km length of a particle accelerator. What's their approximate average speed?
37. If you can hit a golf ball 180 m on Earth, how far can you hit it on the Moon? (Your answer will be an underestimate because it neglects air resistance on Earth.)

## Section 3.6 Uniform Circular Motion

38. China's high-speed rail network calls for a minimum turn radius of 7.0 km for 350-km/h trains. What's the magnitude of a train's acceleration in this case?
39. The minute hand of a clock is 7.50 cm long. Find the magnitude of the acceleration of its tip.
40. How fast would a car have to round a 75-m-radius turn for its acceleration to be numerically equal to that of gravity?
41. Determine the acceleration of the Moon, which completes a nearly circular orbit of 384.4 Mm radius in 27.3 days.
42. Global Positioning System (GPS) satellites circle Earth at altitudes of approximately 20,000 km, where the gravitational acceleration has 5.8% of its surface value. To the nearest hour, what's the orbital period of the GPS satellites?
43. Pilots of high-performance aircraft risk loss of consciousness if they undergo accelerations exceeding about  $5g$ . For a military jet flying at 2470 km/h (about twice the speed of sound), what's the minimum radius for a turn that will keep the acceleration below  $5g$ ?

### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

44. **Example 3.3:** You're windsurfing at 6.28 m/s when a gust hits, accelerating your sailboard at  $0.714 \text{ m/s}^2$  at  $48.8^\circ$  to your original direction. If the gust lasts 5.42 s, what's the magnitude of the board's displacement during this time?
45. **Example 3.3:** You're windsurfing at 5.68 m/s when a gust hits, accelerating your sailboard at  $62.5^\circ$  to your original direction. The gust lasts 5.42 s, and the board's displacement during this time is 37.2 m. Find the magnitude of the board's acceleration during the gust.
46. **Example 3.3:** A hockey puck glides across the ice at 27.7 m/s, when a player whacks it with her hockey stick, giving it an acceleration of  $448 \text{ m/s}^2$  at  $75.0^\circ$  to its original direction. If the acceleration lasts 41.3 ms, what's the magnitude of the puck's displacement during this time?
47. **Example 3.3:** A hockey puck glides across the ice at 27.7 m/s, when a player whacks it with her hockey stick, giving it an acceleration at  $64.3^\circ$  to its original direction. The acceleration lasts 50.3 ms, and the puck's displacement during this time is 1.76 m. Find the magnitude of the puck's acceleration.
48. **Example 3.8:** An engineer is designing a flat, horizontal road for a 90-km/h speed limit. If the maximum acceleration of a vehicle on this road is  $4.36 \text{ m/s}^2$ , what's the minimum safe radius for curves in the road?
49. **Example 3.8:** An engineer is designing a flat, horizontal road with a curve whose radius is 125 m. Under dry conditions, the engineer can count on an acceleration of at least  $5.0 \text{ m/s}^2$ , provided by the tires of vehicles rounding the curve. What should be the posted speed limit, given to the nearest 10 km/h?
50. **Example 3.8:** A jet plane is capable of an acceleration of magnitude  $0.564g$  when it turns. If the plane is flying at 988 km/h, what's the minimum turning radius for the plane?
51. **Example 3.8:** A jet plane is capable of an acceleration of magnitude  $0.612g$  when it turns. If the plane is to make a turn of radius 8.77 km, what's its maximum possible speed?

## Problems

52. Vector  $\vec{A}$  has magnitude 1.0 m and points  $35^\circ$  clockwise from the  $x$ -axis. Vector  $\vec{B}$  has magnitude 1.8 m. Find the direction of  $\vec{B}$  such that  $\vec{A} + \vec{B}$  is in the  $y$ -direction.
53. Let  $\vec{A} = 15\hat{i} - 40\hat{j}$  and  $\vec{B} = 31\hat{j} + 18\hat{k}$ . Find  $\vec{C}$  such that  $\vec{A} + \vec{B} + \vec{C} = \vec{0}$ .
54. You're a pilot beginning a 1280-km flight to a city due south of your starting point. Your plane's airspeed (i.e., its speed relative to the air) is 846 km/h, and air traffic control says you'll have to head  $11.5^\circ$  west of south to maintain a course due south. If the flight takes 115 min, what are the magnitude and direction of the wind velocity?
55. A particle's position is  $\vec{r} = (ct^2 - 2dt^3)\hat{i} + (2ct^2 - dt^3)\hat{j}$ , where  $c$  and  $d$  are positive constants. Find expressions for times  $t > 0$  when the particle is moving in (a) the  $x$ -direction and (b) the  $y$ -direction.
56. An object moving at 50 m/s is subject to an acceleration of magnitude  $20 \text{ m/s}^2$  that lasts for 2 s. At the end of that time interval, it's moving at 88 m/s. Estimate, to the nearest  $30^\circ$ , the angle between the initial velocity and the acceleration (i.e., is that angle closer to  $0^\circ$ , to  $30^\circ$ , to  $60^\circ$ , or to  $90^\circ$ ?). Explain your reasoning.
57. You're designing a "cloverleaf" highway interchange. Vehicles will exit the highway and slow to a constant 70 km/h before negotiating a circular turn. If a vehicle's acceleration is not to exceed  $0.40g$  (i.e., 40% of Earth's gravitational acceleration), then what's the minimum radius for the turn? Assume the road is flat, not banked (more on this in Chapter 5).
58. An object undergoes acceleration  $2.3\hat{i} + 3.6\hat{j} \text{ m/s}^2$  for 10 s. At the end of this time, its velocity is  $33\hat{i} + 15\hat{j} \text{ m/s}$ . (a) What was its velocity at the beginning of the 10-s interval? (b) By how much did its speed change? (c) By how much did its direction change? (d) Show that the speed change is not given by the magnitude of the acceleration multiplied by the time. Why not?
59. The New York Wheel is the world's largest Ferris wheel. It's 183 meters in diameter and rotates once every 37.3 min. Find the magnitudes of (a) the average velocity and (b) the average acceleration at the wheel's rim, over a 5.00-min interval. (c) Compare your answer to (b) with the wheel's instantaneous accelerations.
60. A ferryboat sails between towns directly opposite each other on a river, moving at speed  $v'$  relative to the water. (a) Find an expression for the angle it should head at if the river flows at speed  $V$ . (b) What's the significance of your answer if  $V > v'$ ?
61. The sum of two vectors,  $\vec{A} + \vec{B}$ , is perpendicular to their difference,  $\vec{A} - \vec{B}$ . How do the vectors' magnitudes compare?
62. A delivery drone approaches a customer's porch, flying 8.65 m above the porch at 21.5 km/h. (a) At what horizontal distance from the desired landing spot should it release a package? (b) At what speed will the package hit the porch?
63. An object is initially moving in the  $x$ -direction at 4.5 m/s, when it undergoes an acceleration in the  $y$ -direction for a period of 18 s. If the object moves equal distances in the  $x$ - and  $y$ -directions during this time, what's the magnitude of its acceleration?
64. A particle leaves the origin with its initial velocity given by  $\vec{v}_0 = 11\hat{i} + 14\hat{j} \text{ m/s}$ , undergoing constant acceleration  $\vec{a} = -1.2\hat{i} + 0.26\hat{j} \text{ m/s}^2$ . (a) When does the particle cross the  $y$ -axis? (b) What's its  $y$ -coordinate at the time? (c) How fast is it moving, and in what direction?
65. A kid fires a squirt gun horizontally from 1.6 m above the ground. It hits another kid 2.1 m away square in the back, 0.93 m above the ground. What was the water's initial speed?
66. A projectile has horizontal range  $R$  on level ground and reaches maximum height  $h$ . Find an expression for its initial speed.

67. You throw a baseball at a  $45^\circ$  angle to the horizontal, aiming straight at a friend who's sitting in a tree a distance  $h$  above level ground. At the instant you throw your ball, your friend drops another ball. (a) Show that the two balls will collide, no matter what your ball's initial speed, provided it's greater than some minimum value. (b) Find an expression for that minimum speed.
68. In a chase scene, a movie stuntman runs horizontally off the flat roof of one building and lands on another roof 1.9 m lower. If the gap between the buildings is 4.5 m wide, how fast must he run to cross the gap?
69. The meadow jumping mouse, *Zapus hudsonius*, inhabits much of **BIO** North America and can jump as high as 1 m. A jumping mouse is 48.3 cm from a 62.0-cm-high garden fence. (a) How fast and (b) at what angle should it jump so it just clears the fence without going any higher?
70. Derive a general formula for the horizontal distance covered by a projectile launched horizontally at speed  $v_0$  from height  $h$ .
71. Consider two projectiles launched on level ground with the same speed, at angles  $45^\circ \pm \alpha$ . Show that the ratio of their flight times is  $\tan(\alpha + 45^\circ)$ .
72. You toss a protein bar to your hiking companion located 8.6 m up a  $39^\circ$  slope, as shown in Fig. 3.24. Determine the initial velocity vector so that when the bar reaches your friend, it's moving horizontally.

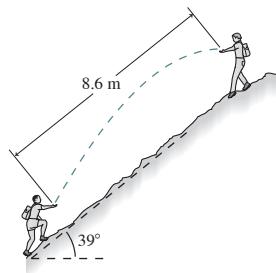


FIGURE 3.24 Problem 72

73. The table below lists position **DATA** versus time for an object moving in the  $x$ - $y$  plane, which is horizontal in this case. Make a plot of position  $y$  versus  $x$  to determine the nature of the object's path. Then determine the magnitudes of the object's velocity and acceleration.

Time, $t$ (s)	$x$ (m)	$y$ (m)	Time, $t$ (s)	$x$ (m)	$y$ (m)
0	0	0	0.70	2.41	3.15
0.10	0.65	0.09	0.80	2.17	3.75
0.20	1.25	0.33	0.90	1.77	4.27
0.30	1.77	0.73	1.00	1.25	4.67
0.40	2.17	1.25	1.10	0.65	4.91
0.50	2.41	1.85	1.20	0.00	5.00
0.60	2.50	2.50			

74. A projectile launched at angle  $\theta$  to the horizontal reaches maximum height  $h$ . Show that its horizontal range is  $4h/\tan\theta$ .
75. As an expert witness, you're testifying in a case involving a motorcycle accident. A motorcyclist driving in a 60-km/h zone hit a stopped car on a level road. The motorcyclist was thrown from his bike and landed 39 m down the road. You're asked whether he was speeding. What's your answer?
76. Show that, for a given initial speed, the horizontal range of a projectile is the same for launch angles  $45^\circ + \alpha$  and  $45^\circ - \alpha$ .
77. A basketball player is 15 ft horizontally from the center of the basket, which is 10 ft off the ground. At what angle should the player aim the ball from a height of 8.2 ft with a speed of 26 ft/s?
78. A projectile is launched from the edge of a table, a height  $h$  off the floor. It rises to a maximum height  $h$  above the table and then lands on the floor a horizontal distance  $2h$  from the edge of the table. Find (a) an expression for the magnitude of the initial velocity and (b) an exact value for the launch angle.

79. Consider the two projectiles in GOT IT? 3.5. Suppose the  $45^\circ$  projectile is launched with speed  $v$  and that it's in the air for time  $t$ . Find expressions for (a) the launch speed and (b) the flight time of the  $60^\circ$  projectile, in terms of  $v$  and  $t$ .

80. In the 2015 film *The Martian*, astronauts ride the *Hermes* spaceship between Earth and Mars. To help keep the astronauts' bodies in good shape on the long interplanetary voyages, *Hermes* rotates to simulate Martian gravity. If the spacecraft's maximum diameter is 38.0 m, what should be its rotation period (the time to complete one rotation) if the acceleration at its outer edge is to equal the gravitational acceleration at Mars (see Appendix E)?

81. Your car can sustain an acceleration of  $0.825g$  while turning on a dry road. You're driving at 90.0 km/h when you spot a truck jackknifed across the road. If you swerve in a circular arc, as shown in Fig. 3.25, how far from the truck do you have to start swerving to avoid the truck? Assume your speed doesn't change.

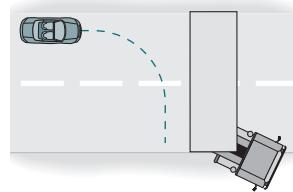


FIGURE 3.25 Problem 81

82. Your alpine rescue team is using a slingshot to send an emergency medical packet to climbers stranded on a ledge, as shown in Fig. 3.26; your job is to calculate the launch speed. What do you report?

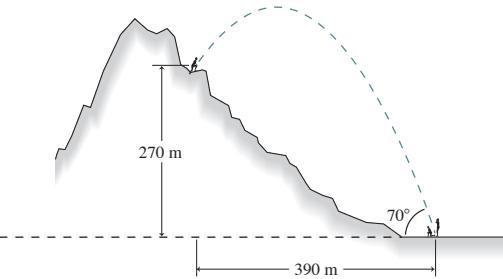


FIGURE 3.26 Problem 82

83. If you can throw a stone straight up to height  $h$ , what's the maximum horizontal distance you could throw it over level ground?
84. In a conversion from military to peacetime use, a missile with maximum horizontal range 180 km is being adapted for studying Earth's upper atmosphere. What's the maximum altitude it can achieve if launched vertically?
85. A soccer player can kick the ball 28 m on level ground, with its initial velocity at  $40^\circ$  to the horizontal. At the same initial speed and angle to the horizontal, what horizontal distance can the player kick the ball on a  $15^\circ$  upward slope?
86. A diver leaves a 3-m board on a trajectory that takes her 2.5 m above the board and then into the water 2.8 m horizontally from the end of the board. At what speed and angle did she leave the board?
87. Using calculus, you can find a function's maximum or minimum by differentiating and setting the result to zero. Do this for Equation 3.15, differentiating with respect to  $\theta$ , and thus verify that the maximum range occurs for  $\theta = 45^\circ$ .
88. You're a consulting engineer specializing in athletic facilities, **CH** and you've been asked to help design the Olympic ski jump pictured in Fig. 3.27. Skiers will leave the jump at 28 m/s and  $9.5^\circ$

below the horizontal, and land 55 m horizontally from the end of the jump. Your job is to specify the slope of the ground so skiers' trajectories make an angle of only  $3.0^\circ$  with the ground on landing, ensuring their safety. What slope do you specify?

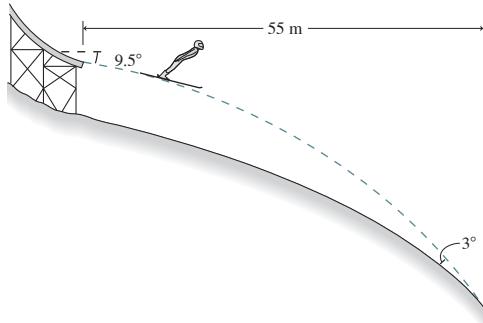


FIGURE 3.27 Problem 88

89. An object moves with constant speed in the  $x$ -direction, but in the **CH**  $y$ -direction it's subject to an acceleration that increases linearly with time:  $a(t) = bt$ , where  $b$  is a constant. Derive an equation analogous to Equation 3.14, giving the object's trajectory in this situation. (Assume there's no gravity.)
90. Your medieval history class is constructing a trebuchet, a catapult-like weapon for hurling stones at enemy castles. The plan is to launch stones off a 75-m-high cliff, with initial speed 36 m/s. Some members of the class think a  $45^\circ$  launch angle will give the maximum range, but others claim the cliff height makes a difference. What do you give for the angle that will maximize the range?
91. Generalize Problem 90 to find an expression for the angle that **CH** will maximize the range of a projectile launched with speed  $v_0$  from height  $h$  above level ground.
92. (a) Show that the position of a particle on a circle of radius  $R$  **CH** with its center at the origin is  $\vec{r} = R(\cos \theta \hat{i} + \sin \theta \hat{j})$ , where  $\theta$  is the angle the position vector makes with the  $x$ -axis. (b) If the particle moves with constant speed  $v$  starting on the  $x$ -axis at  $t = 0$ , find an expression for  $\theta$  in terms of time  $t$  and the period  $T$  to complete a full circle. (c) Differentiate the position vector twice with respect to time to find the acceleration, and show that its magnitude is given by Equation 3.16 and its direction is toward the center of the circle.
93. An object moves in a circular path of radius  $R$  in the  $x - y$  plane, where the origin is at the center of the circle. It starts from rest at  $x = R$  and goes counterclockwise, undergoing constant tangential acceleration  $a_t$ . Find expressions for (a) the magnitude and (b) the direction (relative to the positive  $x$ -axis) of its acceleration vector when it's traversed a quarter of the circle and thus crosses the positive  $y$ -axis.
94. After launch, a projectile lands a horizontal distance  $2R$  from its launch point and a vertical distance  $R$  below its launch point. Here  $R$  is the horizontal range the projectile would have had if launched over level ground at the same launch angle. Find the launch angle.

### Passage Problems

Alice (A), Bob (B), and Carrie (C) all start from their dorm and head for the library for an evening study session. Alice takes a straight path,

while the paths Bob and Carrie follow are portions of circular arcs, as shown in Fig. 3.28. Each student walks at a constant speed. All three leave the dorm at the same time, and they arrive simultaneously at the library.

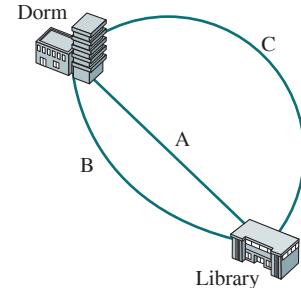


FIGURE 3.28 Passage Problems 95–98

95. Which statement characterizes the distances the students travel?
  - a. They're equal.
  - b.  $C > A > B$
  - c.  $C > B > A$
  - d.  $B > C > A$
96. Which statement characterizes the students' displacements?
  - a. They're equal.
  - b.  $C > A > B$
  - c.  $C > B > A$
  - d.  $B > C > A$
97. Which statement characterizes their average speeds?
  - a. They're equal.
  - b.  $C > A > B$
  - c.  $C > B > A$
  - d.  $B > C > A$
98. Which statement characterizes their accelerations while walking (not starting and stopping)?
  - a. They're equal.
  - b. None accelerates.
  - c.  $A > B > C$
  - d.  $C > B > A$
  - e.  $B > C > A$
  - f. There's not enough information to decide.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

Assuming negligible air resistance, the penguin should leave the water at a  $45^\circ$  angle.

### Answers to GOT IT? Questions

- 3.1 (c)
- 3.2 (d) only
- 3.3 (1) (c); (2) (c); (3) (a)
- 3.4 (c) gives the greatest change in speed; (b) gives the greatest change in direction
- 3.5 (e)
- 3.6 (c)

# Force and Motion

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 4.1** Articulate the Newtonian paradigm that it's about *change* in motion.
- LO 4.2** List Newton's three laws of motion.
- LO 4.3** Solve problems involving Newton's second law.
- LO 4.4** Name the fundamental forces of nature.
- LO 4.5** Describe quantitatively how gravity acts on objects.
- LO 4.6** Distinguish apparent weight from actual weight.
- LO 4.7** Identify Newton's third-law force pairs and find their values.
- LO 4.8** Determine the forces exerted by springs.

## Skills & Knowledge You'll Need

- How to express motion quantities as vectors (Sections 3.1–3.2)
- How to describe motion under constant acceleration (Sections 3.4–3.5)
- How to describe circular motion as a case of accelerated motion (Section 3.6)

An interplanetary spacecraft moves effortlessly, yet its engines shut down years ago. Why does it keep moving? A baseball heads toward the batter. The batter swings, and suddenly the ball is heading toward left field. Why did its motion change?

Questions about the “why” of motion are the subject of **dynamics**. Here we develop the basic laws that answer those questions. Isaac Newton first stated these laws more than 300 years ago, yet they remain a vital part of physics and engineering today, helping us guide spacecraft to distant planets, develop better cars, and manipulate the components of individual cells.

## 4.1 The Wrong Question

- LO 4.1** Articulate the Newtonian paradigm that it's about *change in motion*.

We began this chapter with two questions: one about why a spacecraft *moved* and the other about why a baseball’s motion *changed*. For nearly 2000 years following the work of Aristotle (384–322 BCE), the first question—Why do things move?—was the crucial one. And the answer seemed obvious: It took a force—a push or a pull—to keep something moving. This idea makes sense: Stop exerting yourself when jogging, and you stop moving; take your foot off the gas pedal, and your car soon stops. Everyday experience seems to suggest that Aristotle was right, and most of us carry in our heads the Aristotelian idea that motion requires a cause—something that pushes or pulls on a moving object to keep it going.



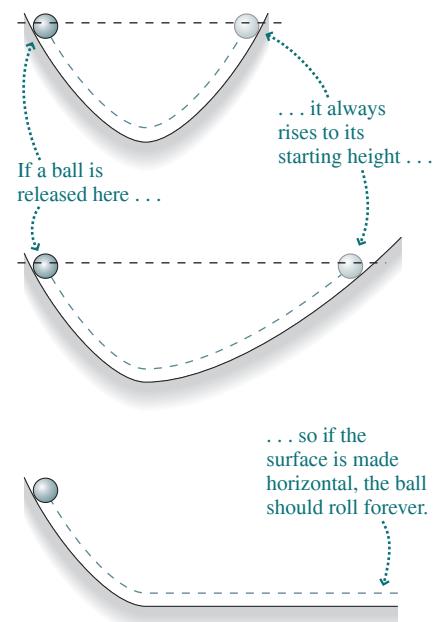
What forces did engineers have to consider when they developed the Mars Curiosity rover's “sky crane” landing system?

Actually, “What keeps things moving?” is the wrong question. In the early 1600s, Galileo Galilei did experiments that convinced him that a moving object has an intrinsic “quantity of motion” and needs no push to keep it moving (Fig. 4.1). Instead of answering “What keeps things moving?,” Galileo declared that the question needs no answer. In so doing, he set the stage for centuries of progress in physics, beginning with the achievements of Issac Newton and culminating in the work of Albert Einstein.

## The Right Question

Our first question—about why the spacecraft keeps moving—is the wrong question. So what’s the right question? It’s the second one, about why the baseball’s motion *changed*. Dynamics isn’t about what causes motion itself; it’s about what causes *changes* in motion. Changes include starting and stopping, speeding up and slowing down, and changing direction. Any *change* in motion begs an explanation, but motion itself does not. Get used to this important idea and you’ll have a much easier time with physics. But if you remain secretly an Aristotelian, looking for causes of motion itself, you’ll find it difficult to understand and apply the simple laws that actually govern motion.

Galileo identified the right question about motion. But it was Isaac Newton who formulated the quantitative laws describing how motion changes. We use those laws today for everything from designing antilock braking systems, to building skyscrapers, to guiding spacecraft.



**FIGURE 4.1** Galileo considered balls rolling on inclines and concluded that a ball on a horizontal surface should roll forever.

## 4.2 Newton's First and Second Laws

### LO 4.2 List Newton's three laws of motion.

What caused the baseball’s motion to change? It was the bat’s push. The term **force** describes a push or a pull. And the essence of dynamics is simply this:

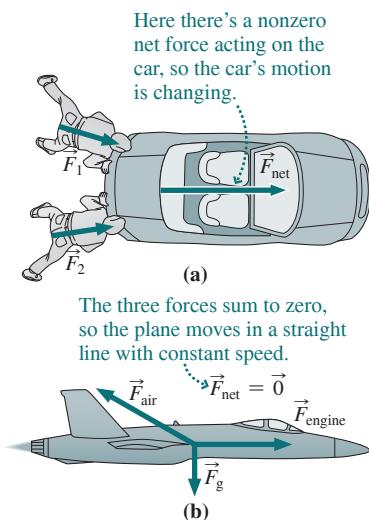
Force causes change in motion.

We’ll soon quantify this idea, writing equations and solving numerical problems. But the essential point is in the simple sentence above. If you want to change an object’s motion, you need to apply a force. If you see an object’s motion change, you know there’s a force acting. Contrary to Aristotle, and probably to your own intuitive sense, it does *not* take a force to keep something in unchanging motion; force is needed *only* to *change* an object’s motion.

## The Net Force

You can push a ball left or right, up or down. Your car’s tires can push the car forward or backward, or make it round a curve. Force has direction and is a vector quantity. Furthermore, more than one force can act on an object. We call the individual forces on an object **interaction forces** because they always involve other objects interacting with the object in question. In Fig. 4.2a, for example, the interaction forces are exerted by the people pushing the car. In Fig. 4.2b, the interaction forces include the force of air on the plane, the engine force from the hot exhaust gases, and Earth’s gravitational force.

We now explore in more detail the relation between force and change in motion. Experiment shows that what matters is the **net force**, meaning the vector sum of all individual interaction forces acting on an object. If the net force on an object isn’t zero, then the object’s motion must be changing—in direction or speed or both (Fig. 4.2a). If the net force on an object is zero—no matter what individual interaction forces contribute to the net force—then the object’s motion is unchanging (Fig. 4.2b).



**FIGURE 4.2** The net force determines the change in an object’s motion.

## Newton's First Law

The basic idea that force causes change in motion is the essence of **Newton's first law**:

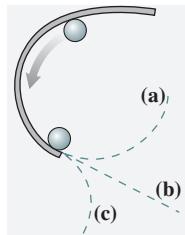
**Newton's first law of motion:** A body in uniform motion remains in uniform motion, and a body at rest remains at rest, unless acted on by a nonzero net force.

The word “uniform” here is essential; **uniform motion** means unchanging motion—that is, motion in a straight line at constant speed. The phrase “a body at rest” isn’t really necessary because rest is just the special case of uniform motion with zero speed, but we include it for consistency with Newton’s original statement.

The first law says that uniform motion is a perfectly natural state, requiring no explanation. Again, the word “uniform” is crucial. The first law does *not* say that an object moving in a circle will continue to do so without a nonzero net force; in fact, it says that an object moving in a circle—or in any other curved path—*must* be subject to a nonzero net force because its motion is changing.

**GOT IT?**

- 4.1** A curved barrier lies on a horizontal tabletop, as shown. A ball rolls along the barrier, and the barrier exerts a force that guides the ball in its curved path. After the ball leaves the barrier, which of the dashed paths shown does it follow?



Newton’s first law is simplicity itself, but it’s counter to our Aristotelian preconceptions; after all, your car soon stops when you take your foot off the gas. But because the motion changes, that just means—as the first law says—that there must be a nonzero net force acting. That force is often a “hidden” one, like friction, that isn’t as obvious as the push or pull of muscle. Watch an ice show or hockey game, where frictional forces are minimal, and the first law becomes a lot clearer.

## Newton's Second Law

**Newton's second law** quantifies the relation between force and change in motion. Newton reasoned that the product of mass and velocity was the best measure of an object’s “quantity of motion.” The modern term is **momentum**, and we write

$$\vec{p} = m\vec{v} \quad (\text{momentum}) \quad (4.1)$$

for the momentum of an object with mass  $m$  and velocity  $\vec{v}$ . As the product of a scalar (mass) and a vector (velocity), momentum is itself a vector quantity. Newton’s second law relates the rate of change of an object’s momentum to the net force acting on that object:

**Newton's second law of motion:** The rate at which a body’s momentum changes is equal to the net force acting on the body:

$$\vec{F}_{\text{net}} = \frac{d\vec{p}}{dt} \quad (\text{Newton's second law}) \quad (4.2)$$

When a body’s mass remains constant, we can use the definition of momentum,  $\vec{p} = m\vec{v}$ , to write

$$\vec{F}_{\text{net}} = \frac{d\vec{p}}{dt} = \frac{d(m\vec{v})}{dt} = m \frac{d\vec{v}}{dt}$$

But  $d\vec{v}/dt$  is the acceleration  $\vec{a}$ , so

$$\vec{F}_{\text{net}} = m\vec{a} \quad (\text{Newton's second law, constant mass}) \quad (4.3)$$

*$\vec{F}_{\text{net}}$  is the net force—the vector sum of all real, physical forces acting on an object.*

*$m\vec{a}$  is the product of the object's mass and its acceleration; it's not a force.*

*Equal sign indicates that the two sides are mathematically equal—but that doesn't mean they're the same physically. Only  $\vec{F}_{\text{net}}$  involves physical forces.*

We'll be using the form given in Equation 4.3 almost exclusively in the next few chapters. But keep in mind that Equation 4.2 is Newton's original expression of the second law, that it's more general than Equation 4.3, and that it embodies the fundamental concept of momentum. We'll return to Newton's law in the form of Equation 4.3, and elaborate on momentum, when we consider many-particle systems in Chapter 9.

Newton's second law includes the first law as the special case  $\vec{F}_{\text{net}} = \vec{0}$ . In this case Equation 4.3 gives  $\vec{a} = \vec{0}$ , so an object's velocity doesn't change.



**UNDERSTANDING NEWTON** To apply Newton's law successfully, you have to understand the terms explained in the annotations to Equation 4.3. On the left is the net force  $\vec{F}_{\text{net}}$ —the vector sum of all real, physical interaction forces acting on an object. On the right is  $m\vec{a}$ —not a force but the product of the object's mass and acceleration. The equal sign says that they have the same value, not that they're the same thing. So don't go adding an extra force  $m\vec{a}$  when you're applying Newton's second law.

## Mass, Inertia, and Force

Because it takes force to change an object's motion, the first law implies that objects naturally resist changes in motion. The term **inertia** describes this resistance, and for that reason the first law is also called the **law of inertia**. Just as we describe a sluggish person as having a lot of inertia, so an object that is hard to start moving—or hard to stop once started—has a lot of inertia. If we solve the second law for the acceleration  $\vec{a}$ , we find that  $\vec{a} = \vec{F}/m$ —showing that a given force is *less* effective in changing the motion of a *more massive* object (Fig. 4.3). The mass  $m$  that appears in Newton's laws is thus a measure of an object's inertia and determines the object's response to a given force.

By comparing the acceleration of a known and an unknown mass in response to the same force, we can determine the unknown mass. From Newton's second law for a force of magnitude  $F$ ,

$$F = m_{\text{known}}a_{\text{known}} \quad \text{and} \quad F = m_{\text{unknown}}a_{\text{unknown}}$$

where we're interested only in magnitudes so we don't use vectors. Equating these two expressions for the same force, we get

$$\frac{m_{\text{unknown}}}{m_{\text{known}}} = \frac{a_{\text{known}}}{a_{\text{unknown}}} \quad (4.4)$$

Equation 4.4 is an operational definition of mass; it shows how, given a known mass and force, we can determine other masses.

The force required to accelerate a 1-kg mass at the rate of  $1 \text{ m/s}^2$  is defined to be 1 **newton** (N). Equation 4.3 shows that 1 N is equivalent to  $1 \text{ kg} \cdot \text{m/s}^2$ . Other common force units are the English pound (lb, equal to 4.448 N) and the dyne, a metric unit equal to  $10^{-5}$  N. A 1-N force is rather small; you can readily exert forces measuring hundreds of newtons with your own body.

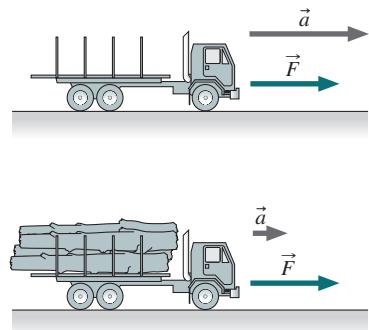


FIGURE 4.3 The loaded truck has greater mass—more inertia—so its acceleration is smaller when the same force is applied.

**EXAMPLE 4.1****Force from Newton: A Car Accelerates**  
*Worked Example with Variation Problems*

A 1200-kg car accelerates from rest to 20 m/s in 7.8 s, moving in a straight line with constant acceleration. (a) Find the net force acting on the car. (b) If the car then rounds a bend 85 m in radius at a steady 20 m/s, what net force acts on it?

**INTERPRET** In this problem we're asked to evaluate the net force on a car (a) when it undergoes constant acceleration and (b) when it rounds a turn. In both cases the net force is entirely horizontal, so we need to consider only the horizontal component of Newton's law.

**DEVELOP** Figure 4.4 shows the horizontal force acting on the car in each case; since this is the net force, it's equal to the car's mass multiplied by its acceleration. We aren't actually given the acceleration in this problem, but for (a) we know the change in speed and the time involved, so we can write  $a = \Delta v/\Delta t$ . For (b) we're given the speed and the radius of the turn; since the car is in uniform circular motion, Equation 3.16 applies, and we have  $a = v^2/r$ .

**EVALUATE** We solve for the unknown acceleration and evaluate the numerical answers for both cases:

$$(a) F_{\text{net}} = ma = m \frac{\Delta v}{\Delta t} = (1200 \text{ kg}) \left( \frac{20 \text{ m/s}}{7.8 \text{ s}} \right) = 3.1 \text{ kN}$$

$$(b) F_{\text{net}} = ma = m \frac{v^2}{r} = (1200 \text{ kg}) \frac{(20 \text{ m/s})^2}{85 \text{ m}} = 5.6 \text{ kN}$$

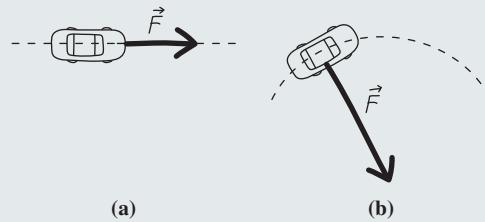


FIGURE 4.4 Our sketch of the net force on the car in Example 4.1.

**ASSESS** First, the units worked out; they were actually  $\text{kg}\cdot\text{m/s}^2$ , but that defines the newton. The answers came out in thousands of newtons, but we moved the decimal point three places and changed to kilonewtons (kN) for convenience. And the numbers seem to make sense; we mentioned that 1 N is a rather small force, so it's not surprising to find forces on cars measured in kilonewtons.

Note that Newton's law doesn't distinguish between forces that change an object's speed, as in (a), and forces that change its direction, as in (b). Newton's law relates force, mass, and acceleration in *all* cases.

**GOT IT?**

- 4.2** A nonzero net force acts on an object. Which of the following is true? (a) the object necessarily moves in the same direction as the net force; (b) under some circumstances the object could move in the same direction as the net force, but in other situations it might not; (c) the object cannot move in the same direction as the net force

**Inertial Reference Frames**

Why don't flight attendants serve beverages when an airplane is accelerating down the runway? For one thing, their beverage cart wouldn't stay put, but would accelerate toward the back of the plane even in the absence of a net force. So is Newton's first law wrong? No, but Newton's laws don't apply in an accelerating airplane. With respect to the ground, in fact, the beverage cart is doing just what Newton says it should: It remains in its original state of motion, while all around it plane and passengers accelerate toward takeoff.

In Section 4.3 we defined a reference frame as a system against which we measure velocities; more generally, a reference frame is the "background" in which we study physical reality. Our airplane example shows that Newton's laws don't work in all reference frames; in particular, they're not valid in accelerating frames. Where they are valid is in reference frames undergoing uniform motion—called **inertial reference frames** because only in these frames does the law of inertia hold. In a noninertial frame like an accelerating airplane, a car rounding a curve, or a whirling merry-go-round, an object at rest doesn't remain at rest, even when no force is acting. A good test for an inertial frame is to check whether Newton's first law is obeyed—that is, whether an object at rest remains at rest, and an object in uniform motion remains in uniform motion, when no force is acting on it.

Strictly speaking, our rotating Earth is not an inertial frame, and therefore Newton's laws aren't exactly valid on Earth. But the acceleration associated with Earth's rotation is generally small compared with accelerations we're interested in, so we can usually treat Earth as an inertial reference frame. An important exception is the motion of oceans and atmosphere; here, scientists must take Earth's rotation into account.

If Earth isn't an inertial frame, what is? That's a surprisingly subtle question, and it pointed Einstein toward his general theory of relativity. The law of inertia is intimately related to questions of space, time, and gravity—questions whose answers lie in Einstein's theory. We'll look briefly at that theory in Chapter 33.

## 4.3 Forces

### LO 4.4 Name the fundamental forces of nature.

The most familiar forces are pushes and pulls you apply yourself, but passive objects can apply forces, too. A car collides with a parked truck and comes to a stop. Why? Because the truck exerts a force on it. The Moon circles Earth rather than moving in a straight line. Why? Because Earth exerts a gravitational force on it. You sit in a chair and don't fall to the floor. Why not? Because the chair exerts an upward force on you, countering gravity.

Some forces, like those you apply with your muscles, can have values that you choose. Other forces take on values determined by the situation. When you sit in the chair shown in Fig. 4.5, the downward force of gravity on you causes the chair to compress slightly. The chair acts like a spring and exerts an upward force. When the chair compresses enough that the upward force is equal in magnitude to the downward force of gravity, there's no net force and you sit without accelerating. The same thing happens with **tension forces** when objects are suspended from ropes or cables—the ropes stretch until the force they exert balances the force of gravity (Fig. 4.6).

Forces like the pull you exert on your rolling luggage, the force of a chair on your body, and the force a baseball exerts on a bat are **contact forces** because the force is exerted through direct contact. Other forces, like gravity and electric and magnetic forces, are **action-at-a-distance forces** because they seemingly act between distant objects, like Earth and the Moon. Actually, the distinction isn't clear-cut; at the microscopic level, contact forces involve action-at-a-distance electric forces between molecules. And the action-at-a-distance concept itself is troubling. How can Earth "reach out" across empty space and pull on the Moon? Later we'll look at an approach to forces that avoids this quandary.

## The Fundamental Forces

Gravity, tension forces, compression forces, contact forces, electric forces, friction forces—how many kinds of forces are there? At present, physicists identify three basic forces: the gravitational force, the electroweak force, and the strong force.

**Gravity** is the weakest of the fundamental forces, but because it acts attractively between all matter, gravity's effect is cumulative. That makes gravity the dominant force in the large-scale universe, determining the structure of planets, stars, galaxies, and the universe itself.

The **electroweak force** subsumes **electromagnetism** and the **weak nuclear force**. Virtually all the nongravitational forces we encounter in everyday life are electromagnetic, including contact forces, friction, tension and compression forces, and the forces that bind atoms into chemical compounds. The weak nuclear force is less obvious, but it's crucial in the Sun's energy production—providing the energy that powers life on Earth.

The **strong force** describes how particles called **quarks** bind together to form protons, neutrons, and a host of less-familiar particles. The force that joins protons and neutrons to make atomic nuclei is a residue of the strong force between their constituent quarks. Although the strong force isn't obvious in everyday life, it's ultimately responsible for the structure of matter. If its strength were slightly different, atoms more complex than helium couldn't exist, and the universe would be devoid of life!

Unifying the fundamental forces is a major goal of physics. Over the centuries we've come to understand seemingly disparate forces as manifestations of a more fundamental underlying force. Figure 4.7 suggests that the process continues, as physicists attempt first to unify the strong and electroweak forces, and then ultimately to add gravity to give a "Theory of Everything."

When you sit in a chair, the chair compresses and exerts an upward force that balances gravity.

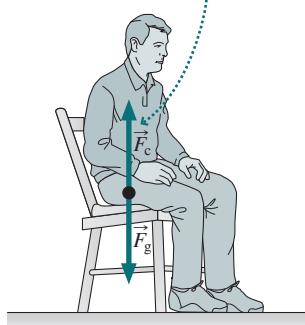


FIGURE 4.5 A compression force.



FIGURE 4.6 The climbing rope exerts an upward tension force  $\vec{T}$  that balances the force of gravity.

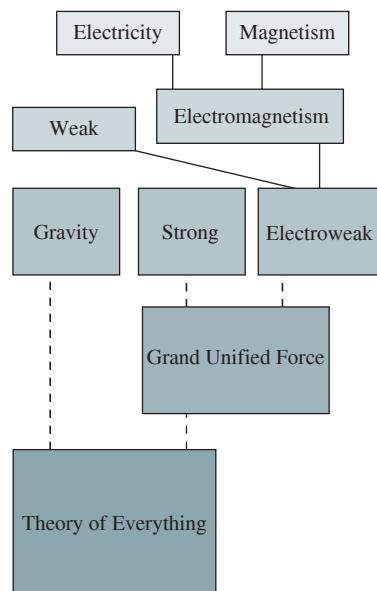


FIGURE 4.7 Unification of forces is a major theme in physics.

## 4.4 The Force of Gravity

**LO 4.5** *Describe quantitatively how gravity acts on objects.*

**LO 4.6** *Distinguish apparent weight from actual weight.*

Newton's second law shows that mass is a measure of a body's resistance to changes in motion—its inertia. A body's mass is an intrinsic property; it doesn't depend on location. If my mass is 65 kg, it's 65 kg on Earth, in an orbiting spacecraft, or on the Moon. That means no matter where I am, a force of 65 N gives me an acceleration of  $1 \text{ m/s}^2$ .

We commonly use the term “weight” to mean the same thing as mass. In physics, though, **weight** is the *force* that gravity exerts on a body. Near Earth's surface, a freely falling body accelerates downward at  $9.8 \text{ m/s}^2$ ; we designate this acceleration vector by  $\vec{g}$ . Newton's second law,  $F = m\vec{a}$ , then says that the force of gravity on a body of mass  $m$  is  $m\vec{g}$ ; this force is the body's weight:

$$\vec{w} = m\vec{g} \quad (\text{weight}) \quad (4.5)$$

Weight is a force, so it's a vector quantity, written with an arrow overscore.  
 The vector  $\vec{g}$  points downward and has magnitude  $g$ .  
 Weight,  $\vec{w}$ , is the force that gravity exerts on an object.  
 Weight is given by the product of the object's mass and the gravitational acceleration  $\vec{g}$ .

With my 65-kg mass, my weight near Earth's surface is then  $(65 \text{ kg})(9.8 \text{ m/s}^2)$  or 640 N. On the Moon, where the acceleration of gravity is only  $1.6 \text{ m/s}^2$ , I would weigh only 100 N. And in the remote reaches of intergalactic space, far from any gravitating object, my weight would be essentially zero.

One reason we confuse mass and weight is the common use of the SI unit kilogram to describe “weight.” At the doctor's office you may be told that you “weigh” 55 kg. You don't; you have a mass of 55 kg, so your weight is  $(55 \text{ kg})(9.8 \text{ m/s}^2)$  or 540 N. The unit of force in the English system is the pound, so giving your weight in pounds is correct.

That we confuse mass and weight at all results from the remarkable fact that the gravitational acceleration of all objects is the same. This makes a body's *weight*, a gravitational property, proportional to its *mass*, a measure of its inertia in terms that have nothing to do with gravity. First inferred by Galileo from his experiments with falling bodies, this relation between gravitation and inertia seemed a coincidence until the early 20th century.

### EXAMPLE 4.2

#### Mass and Weight: Exploring Mars

The rover *Curiosity* that landed on Mars in 2012 weighed 8.82 kN on Earth. What were its mass and weight on Mars?

**INTERPRET** Here we're asked about the relation between mass and weight, and the object we're interested in is the *Curiosity* rover.

**DEVELOP** Equation 4.5 describes the relation between mass and weight. Writing this equation in scalar form because we're interested only in magnitudes, we have  $w = mg$ .

**EVALUATE** First we want to find mass from weight, so we solve for  $m$  using the Earth weight and Earth's gravity:

$$m = \frac{w}{g} = \frac{8.82 \text{ kN}}{9.81 \text{ m/s}^2} = 899 \text{ kg}$$

This mass is the same everywhere, so the weight on Mars is given by  $w = mg_{\text{Mars}} = (899 \text{ kg})(3.71 \text{ m/s}^2) = 3.34 \text{ kN}$ . Here we found the acceleration of gravity on Mars in Appendix E.

**ASSESS** Make sense? Sure: Mars's gravitational acceleration is lower than Earth's, and so is the spacecraft's weight on Mars.



**FIGURE 4.8** These astronauts only *seem* weightless.

Finally Albert Einstein showed how that simple relation reflects the underlying geometry of space and time in a way that intimately links gravitation and acceleration.

## Weightlessness

Aren't astronauts "weightless"? Not according to our definition. At the altitude of the International Space Station, the acceleration of gravity has about 89% of its value at Earth's surface, so the gravitational forces  $m\vec{g}$  on the station and its occupants are almost as large as on Earth. But the astronauts *seem* weightless, and indeed they *feel* weightless (Fig. 4.8). What's going on?

Imagine yourself in an elevator whose cable has broken and is dropping freely downward with the gravitational acceleration  $g$ . In other words, the elevator and its occupant are in **free fall**, with only the force of gravity acting. If you let go of a book, it too falls freely with acceleration  $g$ . But so does everything else around it—and therefore the book stays put relative to you (Fig. 4.9a). To you, the book seems "weightless," since it doesn't seem to fall when you let go of it. And you're "weightless" too; if you jump off the elevator's floor, you float to the ceiling rather than falling back. You, the book, and the elevator are *all* falling, but because all have the same acceleration that isn't obvious to you. The gravitational force is still acting; it's making you fall. So you really do have weight, and your condition is best termed **apparent weightlessness**.

### APPLICATION

### Hollywood Goes Weightless

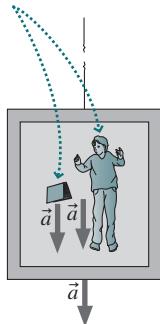
The film *Apollo 13* shows Tom Hanks and his fellow actors floating weightlessly around the cabin of their movie-set spacecraft. What special effects did Hollywood use here? None. The actors' apparent weightlessness was the real thing. But even Hollywood's budget wasn't enough to buy a space-shuttle flight. So the producers rented NASA's weightlessness training aircraft, aptly dubbed the "Vomit Comet." This airplane executes parabolic trajectories that mimic the free-fall motion of a projectile, so its occupants experience apparent weightlessness.

Movie critics marveled at how *Apollo 13* "simulated the weightlessness of outer space." Nonsense! The actors were in free fall just like the real astronauts on board the real *Apollo 13*, and they experienced exactly the same physical phenomenon—apparent weightlessness when moving under the influence of gravity alone.

In contrast to *Apollo 13*, scenes of apparent weightlessness in the 2013 film *Gravity* were done with special effects. That's one reason the film's star, Sandra Bullock, wears her hair short; it would be too difficult to simulate individual free-floating strands of long hair.

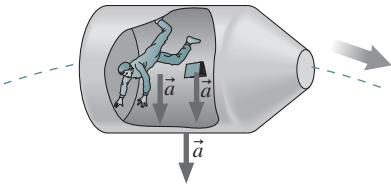


In a freely falling elevator you and your book seem weightless because both fall with the same acceleration as the elevator.



Earth  
(a)

Like the elevator in (a), an orbiting spacecraft is falling toward Earth, and because its occupants also fall with the same acceleration, they experience apparent weightlessness.



(b)

**FIGURE 4.9** Objects in free fall appear weightless because they all experience the same acceleration.

A falling elevator is a dangerous place; your state of apparent weightlessness would end with a deadly smash caused by nongravitational contact forces when you hit the ground. But apparent weightlessness occurs permanently in a state of free fall that doesn't intersect Earth—as in an orbiting spacecraft (Fig. 4.9b). It's not being in outer space that makes astronauts seem weightless; it's that they, like our hapless elevator occupant, are in free fall—moving under the influence of the gravitational force alone. The condition of apparent weightlessness in orbiting spacecraft is sometimes called “microgravity.”

### GOT IT?

**4.3** A popular children's book explains the weightlessness astronauts experience by saying there's no gravity in space. If there were no gravity in space, what would be the motion of a space shuttle, a satellite, or the Moon? (a) a circular orbit; (b) an elliptical orbit; (c) a straight line

## 4.5 Using Newton's Second Law

### LO 4.3 Solve problems involving Newton's second law.

The interesting problems involving Newton's second law are those where more than one force acts on an object. To apply the second law, we then need the net force. For an object of constant mass, the second law relates the net force and the acceleration:

$$\vec{F}_{\text{net}} = m\vec{a}$$

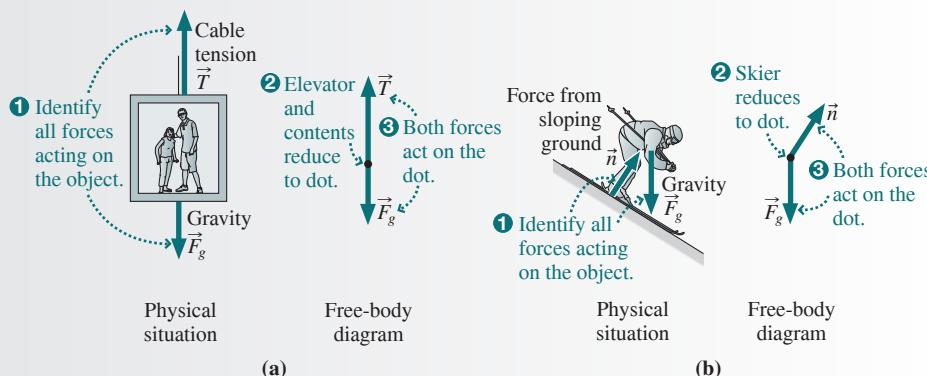
Using Newton's second law with multiple forces is easier if we draw a **free-body diagram**, a simple diagram that shows only the object of interest and the forces acting on it.

### Tactics 4.1 DRAWING A FREE-BODY DIAGRAM

Drawing a free-body diagram, which shows the forces acting on an object, is the key to solving problems with Newton's laws. To make a free-body diagram:

1. Identify the object of interest and all the forces acting on it.
2. Represent the object as a dot.
3. Draw the vectors for *only* those forces acting *on* the object, with their tails all starting on the dot.

Figure 4.10 shows two examples where we reduce physical scenarios to free-body diagrams. We often add a coordinate system to the free-body diagram so that we can express force vectors in components.



**FIGURE 4.10** Free-body diagrams. (a) A one-dimensional situation like those we discuss in this chapter. (b) A two-dimensional situation. We'll deal with such cases in Chapter 5.

Our IDEA strategy applies to Newton's laws as it does to other physics problems. For the second law, we can elaborate on the four IDEA steps:

### PROBLEM-SOLVING STRATEGY 4.1

### Newton's Second Law

**INTERPRET** Interpret the problem to be sure that you know what it's asking and that Newton's second law is the relevant concept. Identify the object of interest and all the individual interaction forces acting on it.

**DEVELOP** Draw a free-body diagram as described in Tactics 4.1. Develop your solution plan by writing Newton's second law,  $\vec{F}_{\text{net}} = m\vec{a}$ , with  $\vec{F}_{\text{net}}$  expressed as the sum of the forces you've identified. Then choose a coordinate system so you can express Newton's law in components.

**EVALUATE** At this point the physics is done, and you're ready to execute your plan by solving Newton's second law and evaluating the numerical answer(s), if called for. Even in the one-dimensional problems of this chapter, remember that Newton's law is a vector equation; that will help you get the signs right. You need to write the components of Newton's law in the coordinate system you chose, and then solve the resulting equation(s) for the quantity(ies) of interest.

**ASSESS** Assess your solution to see that it makes sense. Are the numbers reasonable? Do the units work out correctly? What happens in special cases—for example, when a mass, force, or acceleration becomes very small or very large, or an angle becomes  $0^\circ$  or  $90^\circ$ ?

### EXAMPLE 4.3

### Newton's Second Law: In the Elevator

#### Worked Example with Variation Problems

A 740-kg elevator accelerates upward at  $1.1 \text{ m/s}^2$ , pulled by a cable of negligible mass. Find the tension force in the cable.

**INTERPRET** In this problem we're asked to evaluate one of the forces on an object. First we identify the object of interest. Although the problem asks about the cable tension, it's the elevator on which that tension acts, so the elevator is the object of interest. Next, we identify the forces acting on the elevator. There are two: the downward force of gravity  $\vec{F}_g$  and the upward cable tension  $\vec{T}$ .

**DEVELOP** Figure 4.11a shows the elevator accelerating upward; Fig. 4.11b is a free-body diagram representing the elevator as a dot with the two force vectors acting on it. The applicable equation is Newton's second law,  $\vec{F}_{\text{net}} = m\vec{a}$ , with  $\vec{F}_{\text{net}}$  given by the sum of the forces we've identified:

$$\vec{F}_{\text{net}} = \vec{T} + \vec{F}_g = m\vec{a} \quad (4.6)$$

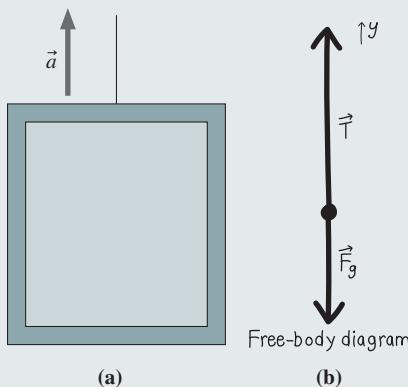


FIGURE 4.11 The forces on the elevator are the cable tension  $\vec{T}$  and gravity  $\vec{F}_g$ .



**VECTORS TELL IT ALL** Are you tempted to put a minus sign in this equation because one force is downward? Don't! A vector contains all the information about its direction. You don't have to worry about signs until you write the components of a vector equation in the coordinate system you chose.

Now we need to choose a coordinate system. Here all the forces are vertical, so we'll choose our  $y$ -axis pointing upward.

**EVALUATE** Now we're ready to rewrite Newton's second law—Equation 4.6 in this case—in our coordinate system. Formally, we remove the vector signs and add coordinate subscripts—just  $y$  in this case:

$$T_y + F_{gy} = ma_y \quad (4.7)$$

There's still no need to worry about signs. Now, what is  $T_y$ ? Since the tension points upward and we've chosen that to be the positive direction, the component of tension in the  $y$ -direction is its magnitude  $T$ . What about  $F_{gy}$ ? Gravity points downward, so this component is negative. Furthermore, we know that the magnitude of the gravitational force is  $mg$ . So  $F_{gy} = -mg$ . Then our Newton's law equation becomes

$$T - mg = ma_y$$

so

$$T = ma_y + mg = m(a_y + g) \quad (4.8)$$

For the numbers given, this equation yields

$$T = m(a_y + g) = (740 \text{ kg})(1.1 \text{ m/s}^2 + 9.8 \text{ m/s}^2) = 8.1 \text{ kN}$$

**ASSESS** We can see that this answer makes sense—and learn a lot more about physics—from the algebraic form of the answer in Equation 4.8. Consider some special cases: If the acceleration  $a_y$  were zero, then the net force on the elevator would have to be zero. In that

(continued)

case Equation 4.8 gives  $T = mg$ . Makes sense: The cable is then supporting the elevator's weight  $mg$  but not exerting any additional force to accelerate it.

On the other hand, if the elevator is accelerating upward, then the cable has to provide an extra force in addition to the weight; that's why the tension becomes  $ma_y + mg$ . Numerically, our answer of 8.1 kN is *greater* than the elevator's weight—and the cable had better be strong enough to handle the extra force.

Finally, if the elevator is accelerating downward, then  $a_y$  is negative, and the cable tension is *less* than the weight. In free fall,  $a_y = -g$ , and the cable tension would be zero.

You might have reasoned out this problem in your head. But we did it very thoroughly because the strategy we followed will let you solve all problems involving Newton's second law, even if they're much more complicated. If you always follow this strategy and don't try to find shortcuts, you'll become confident in using Newton's second law.

### GOT IT?

- 4.4** For each of the following situations, would the cable tension in Example 4.3 be (a) greater than, (b) less than, or (c) equal to the elevator's weight? (1) elevator starts moving upward, accelerating from rest; (2) elevator decelerates to a stop while moving upward; (3) elevator starts moving downward, accelerating from rest; (4) elevator slows to a stop while moving downward; (5) elevator is moving upward with constant speed

### CONCEPTUAL EXAMPLE 4.1

### At the Equator

When you stand on a scale, the scale pushes up to support you, and the scale reading shows the force with which it's pushing. If you stand on a scale at Earth's equator, is the reading greater or less than your weight?

**EVALUATE** The question asks about the force the scale exerts on you, in comparison to your weight (the gravitational force on you). Figure 4.12 is our sketch, showing the scale force upward and the gravitational force downward, toward Earth's center. You're in circular motion about Earth's center, so the direction of your acceleration is toward the center (downward). According to Newton's second law, the net force and acceleration are in the same direction. The only two forces acting on you are the downward force of

gravity and the upward force of the scale. For them to sum to a net force that's downward, the force of gravity—your weight—must be larger. Therefore, the scale reading must be less than your weight.

**ASSESS** Make sense? Yes: If the two forces had equal magnitudes, the net force would be zero—inconsistent with the fact that you're accelerating. And if the scale force were greater, you'd be accelerating in the wrong direction! The same effect occurs everywhere except at the poles, but its analysis is more complicated because the acceleration is toward Earth's axis, not the center.

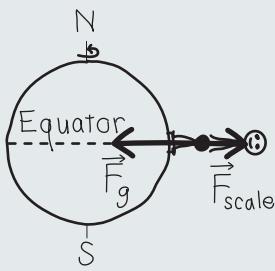


FIGURE 4.12 Our sketch for Conceptual Example 4.1.

**MAKING THE CONNECTION** By what percentage is the scale reading at the equator less than your actual weight?

**EVALUATE** Using Earth's radius  $R_E$  from Appendix E, and its 24-hour rotation period, you can find your acceleration: From Equation 3.16, it's  $v^2/R_E$ . Following Problem-Solving Strategy 4.1 and working in a coordinate system with the vertical direction upward,

you'll find that Newton's second law becomes  $F_{\text{scale}} - mg = -m \frac{v^2}{R_E}$ , or  $F_{\text{scale}} = mg - mv^2/R_E$ . So the scale reading differs from your weight  $mg$  by  $mv^2/R_E$ . Working the numbers shows that's a difference of only 0.34%. Note that this result doesn't depend on your mass  $m$ .

### Apparent Weight

How heavy do you actually feel when you're in the elevator of Example 4.3 or at Earth's equator in Conceptual Example 4.1? In the elevator, the normal force from the floor pushes upward on you, and the feeling of weight in your body is a response to that force. If the elevator isn't accelerating, then the upward normal force balances gravity, and what you feel is your actual weight—that is, the force that gravity exerts on you. But if the elevator is accelerating upward, the normal force is greater than gravity to give an upward net force,

and you feel heavier. If it's accelerating downward—whether it's moving down or up is irrelevant—then you feel lighter. We call that feeling of weight your **apparent weight**. If you stood on a spring scale in the elevator, then the scale would push up on you with a force that's reflected in the scale reading. So the scale reads your apparent weight—which may or not be the same as your actual weight. The situation in Conceptual Example 4.1 is similar: Here you have a downward acceleration associated with Earth's rotation, so the downward gravitational force is greater than the upward force that the scale exerts. The scale reading, which is your apparent weight, is therefore less than your actual weight. And you feel slightly lighter, although, as the “Making the Connection” problem in the example shows, the effect is unnoticeably small. Finally, those astronauts in Section 4.4 have zero apparent weight, which is why we called their condition “apparent weightlessness.”

## 4.6 Newton's Third Law

**LO 4.2** List Newton's three laws of motion.

**LO 4.7** Identify Newton's third-law force pairs and find their values.

**LO 4.8** Determine the forces exerted by springs.

Push your book across your desk, and you feel the book push back (Fig. 4.13a). Kick a ball with bare feet, and your toes hurt. Why? You exert a force on the ball, and the ball exerts a force back on you. A rocket engine exerts forces that expel hot gases out of its nozzle—and the hot gases exert a force on the rocket, accelerating it forward (Fig. 4.13b).

Whenever one object exerts a force on a second object, the second object also exerts a force on the first. The two forces are in opposite directions, but they have equal magnitudes. This fact constitutes **Newton's third law** of motion. The familiar expression “for every action there is an equal and opposite reaction” is Newton's 17th-century language. But there's really no distinction between “action” and “reaction”; both are always present. In modern language, the third law states:

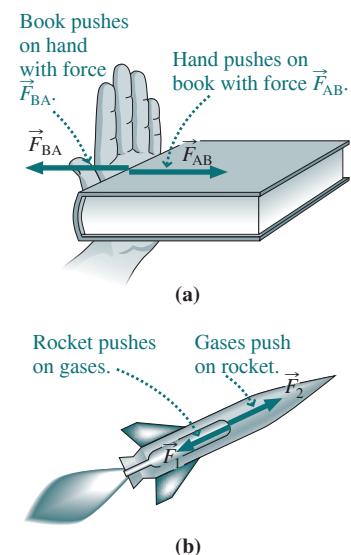
**Newton's third law of motion:** If object A exerts a force on object B, then object B exerts an oppositely directed force of equal magnitude on A.

Newton's third law is about forces between objects. It says that such forces always occur in pairs—that it's not possible for object A to exert a force on object B without B exerting a force back on A. You can now see why we coined the term “interaction forces”—when there's force between two objects, it's always a true *interaction*, with both objects exerting forces and both experiencing forces. We'll use the terms **interaction force pair** and **third-law pair** for the two forces described by Newton's third law.

It's crucial to recognize that the forces of a third-law pair act on *different* objects; the force  $\vec{F}_{AB}$  of object A acts on object B, and the force  $\vec{F}_{BA}$  of B acts on A. The forces have equal magnitudes and opposite directions, but they don't cancel to give zero net force *because they don't act on the same object*. In Fig. 4.13a, for example,  $\vec{F}_{AB}$  is the force the hand exerts on the book. There's no other horizontal force acting on the book, so the net force on the book is nonzero and the book accelerates. Failure to recognize that the two forces of a third-law pair act on different objects leads to a contradiction, embodied in the famous horse-and-cart dilemma illustrated in Fig. 4.14.

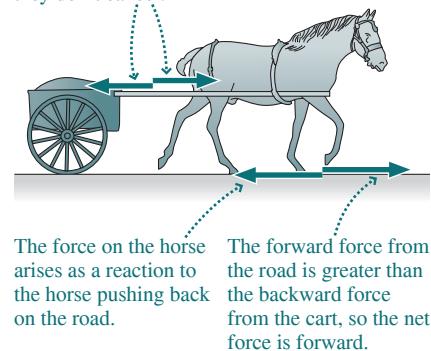
### GOT IT?

**4.5** The figure shows two blocks with two forces acting on the pair. Is the net force on the larger block (a) greater than 2 N, (b) equal to 2 N, or (c) less than 2 N?



**FIGURE 4.13** Newton's third law says that forces always come in pairs. With objects in contact, both forces act at the contact point. To emphasize that the two forces act on *different* objects, we draw them slightly displaced.

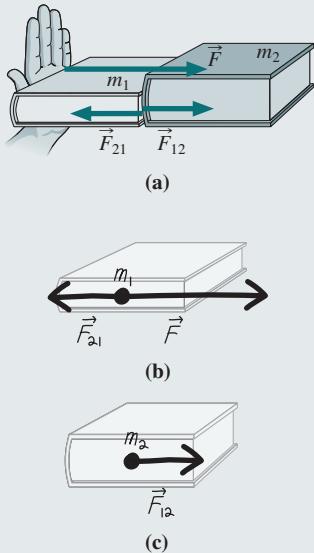
These forces constitute an equal but opposite pair, but they don't act on the same object, so they don't cancel.



**FIGURE 4.14** The horse-and-cart dilemma: The horse pulls on the cart, and the cart pulls back on the horse with a force of equal magnitude. So how can the pair ever get moving? No problem: The *net* force on the horse involves forces from *different* third-law pairs. Their magnitudes aren't equal, and the horse experiences a net force in the forward direction.

**EXAMPLE 4.4****Newton's Third Law: Pushing Books**

On a frictionless horizontal surface, you push with force  $\vec{F}$  on a book of mass  $m_1$  that in turn pushes on a book of mass  $m_2$  (Fig. 4.15a). What force does the second book exert on the first?



**FIGURE 4.15** Horizontal forces on the books of Example 4.4. Not shown are the vertical forces of gravity and the normal force from the surface supporting the books.

**INTERPRET** This problem is about the interaction between two objects, so we identify both books as objects of interest.

**DEVELOP** In a problem with multiple objects, it's a good idea to draw a separate free-body diagram for each object. We've done that in Figs. 4.15b and 4.15c, keeping very light images of the books themselves. Now, we're asked about the force the second book exerts on the first. Newton's third law would give us that force if we knew the

force the first book exerts on the second. Since that's the only horizontal force acting on book 2, we could get it from Newton's *second* law if we knew the acceleration of book 2. So here's our plan: (1) Find the acceleration of book 2; (2) use Newton's second law to find the net force on book 2, which in this case is the single force  $\vec{F}_{12}$ ; and (3) apply Newton's third law to get  $\vec{F}_{21}$ , which is what we're looking for.

**EVALUATE** (1) The total mass of the two books is  $m_1 + m_2$ , and the net force applied to the combination is  $\vec{F}$ . Newton's second law,  $\vec{F} = m\vec{a}$ , gives

$$\vec{a} = \frac{\vec{F}}{m} = \frac{\vec{F}}{m_1 + m_2}$$

for the acceleration of both books, including book 2. (2) Now that we know book 2's acceleration, we use Newton's second law to find  $\vec{F}_{12}$ , which, since it's the only horizontal force on book 2, is the net force on that book:

$$\vec{F}_{12} = m_2 \vec{a} = m_2 \frac{\vec{F}}{m_1 + m_2} = \frac{m_2}{m_1 + m_2} \vec{F}$$

(3) Finally, the forces the books exert on each other constitute a third-law pair, so we have

$$\vec{F}_{21} = -\vec{F}_{12} = -\frac{m_2}{m_1 + m_2} \vec{F}$$

**ASSESS** You can see that this result makes sense by considering the first book. It too undergoes acceleration  $\vec{a} = \vec{F}/(m_1 + m_2)$ , but there are *two* forces acting on it: the applied force  $\vec{F}$  and the force  $\vec{F}_{21}$  from the second book. So the net force on the first book is

$$\vec{F} + \vec{F}_{21} = \vec{F} - \frac{m_2}{m_1 + m_2} \vec{F} = \frac{m_1}{m_1 + m_2} \vec{F} = m_1 \vec{a}$$

consistent with Newton's second law. Our result shows that Newton's second and third laws are both necessary for a fully consistent description of the motion.

A contact force such as the force between the books in Example 4.4 is called a **normal force** (symbol  $\vec{n}$ ) because it acts at right angles to the surfaces in contact. Other examples of normal forces are the upward force that a table or bridge exerts on objects it supports, and the force perpendicular to a sloping surface supporting an object (Fig. 4.16).

Newton's third law also applies to forces like gravity that don't involve direct contact. Since Earth exerts a downward force on you, the third law says that you exert an equal upward force on Earth (Fig. 4.17). If you're in free fall, then Earth's gravity causes you to accelerate toward Earth. Earth, too, accelerates toward you—but it's so massive that this acceleration is negligible.

## Measuring Force

Newton's third law provides a convenient way to measure forces using the tension or compression force in a spring. A spring stretches or compresses in proportion to the force exerted on it. By Newton's third law, the force *on* the spring is equal and opposite to the

force the spring exerts on whatever is stretching or compressing it (Fig. 4.18). The spring's stretch or compression thus provides a measure of the force on whatever object is attached to the spring.

In an **ideal spring**, the stretch or compression is directly proportional to the force exerted by the spring. **Hooke's law** expresses this proportionality mathematically:

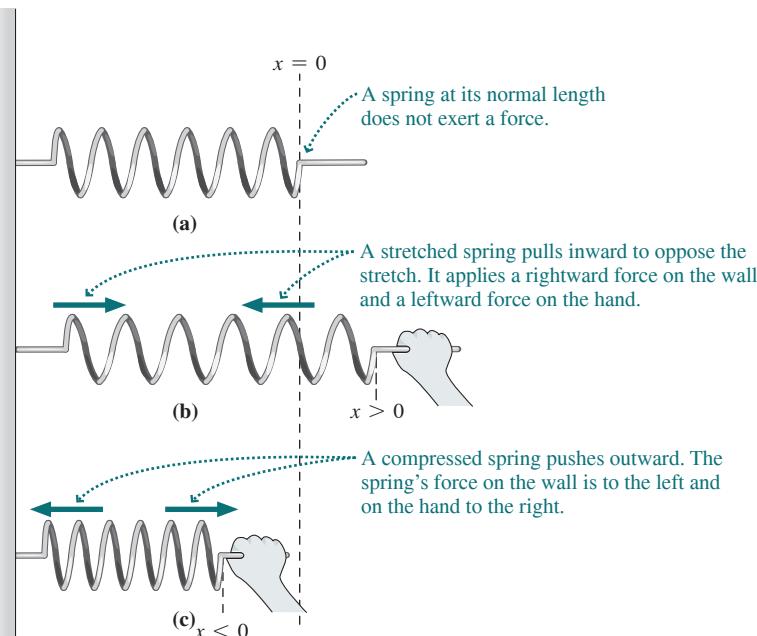
$$F_s = -kx \quad (\text{Hooke's law, ideal spring}) \quad (4.9)$$

Here  $F_s$  is the spring force,  $x$  is the distance the spring has been stretched or compressed from its normal length, and  $k$  is the **spring constant**, which measures the "stiffness" of the spring. Its units are N/m. The minus sign shows that the spring force is *opposite* the distortion of the spring: Stretch it, and the spring responds with a force *opposite* the stretching force; compress it, and the spring pushes back against the compressing force. Real springs obey Hooke's law only up to a point; stretch it too much, and a spring will deform and eventually break.

A **spring scale** is a spring with an indicator and a scale calibrated in force units (Fig. 4.19). Common examples include many bathroom scales, hanging scales in supermarkets, and laboratory spring scales. Even electronic scales are spring scales, with their "springs" materials that produce electrical signals when deformed by an applied force.

Hang an object on a spring scale, and the spring stretches until its force counters the gravitational force on the object. Or, with a stand-on scale, the spring compresses until it supports you against gravity. Either way, the spring force is equal in magnitude to the weight  $mg$ , and thus the spring indicator provides a measure of weight. Given  $g$ , this procedure also provides the object's mass.

Be careful, though: A spring scale provides the true weight only if the scale isn't accelerating; otherwise, the scale reading is only an apparent weight. Weigh yourself in an accelerating elevator and you may be horrified or delighted, depending on the direction of the acceleration. Conceptual Example 4.1 made this point qualitatively, and Example 4.5 does so quantitatively.

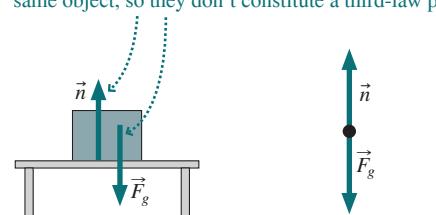


**FIGURE 4.18** A spring responds to stretching or compression with an oppositely directed force.

**GOT IT?**

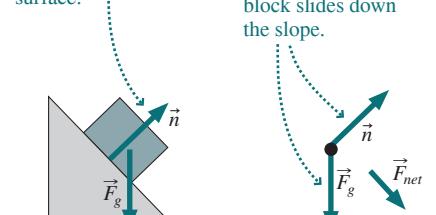
- 4.6** (1) Would the answer to (a) in Example 4.5 change if the helicopter were not at rest but moving upward at constant speed? (2) Would the answer to (b) change if the helicopter were moving *downward* but still accelerating *upward*?

The upward normal force from the table supports the block against gravity. These two forces act on the same object, so they don't constitute a third-law pair.



(a)

The normal force acts perpendicular to the surface.

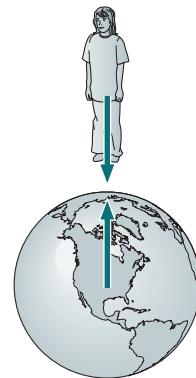


(b)

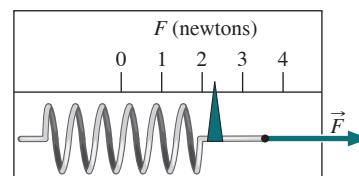
The normal force and gravitational force don't balance, so the block slides down the slope.

Free-body diagram

**FIGURE 4.16** Normal forces. Also shown in each case is the gravitational force.



**FIGURE 4.17** Gravitational forces on you and on Earth form a third-law pair. Figure is obviously not to scale!



**FIGURE 4.19** A spring scale.

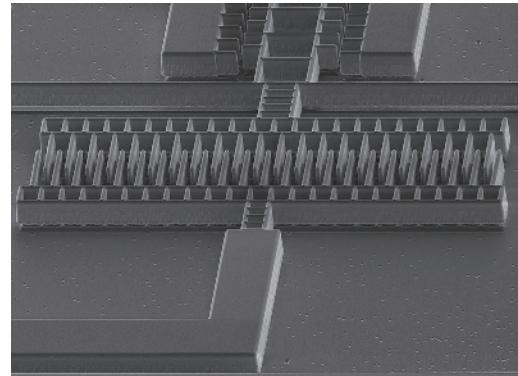
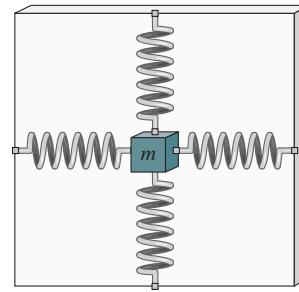
**APPLICATION****Accelerometers, MEMS, Airbags, and Smartphones**

Hook one end of a spring to any part of an accelerating car, airplane, rocket, or whatever, and attach a mass  $m$  to the other end. The spring stretches until it provides the force needed to bring the mass along with the accelerating vehicle, sharing its acceleration. If you measure the spring's stretch and know its spring constant, you can get the force. If you know the mass  $m$ , you can then use  $F = ma$  to get the acceleration. You've made an accelerometer!

Accelerometers based on this simple principle are widely used in industrial, transportation, robotics, and scientific applications. Often they're three-axis devices, with three mutually perpendicular springs to measure all three components of the acceleration vector. The drawing shows a simplified two-axis accelerometer for measuring accelerations in a horizontal plane.

Today's accelerometers are miniature devices based on technology called MEMS, for *microelectromechanical systems*. They're etched out of a tiny silicon chip that includes electronics for measuring stretch and determining acceleration. Your car employs a number of these accelerometers, including those that sense when to deploy the airbags.

Your smartphone contains a three-axis MEMS accelerometer (see photo, which is magnified some 700 times) that determines the phone's acceleration in three mutually perpendicular directions. The components in a smartphone accelerometer are only a fraction of a millimeter across. Apps are available to record accelerometer data, making your smartphone a useful device for physics experiments. Problem 67 explores smartphone accelerometer data.

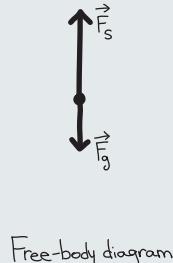
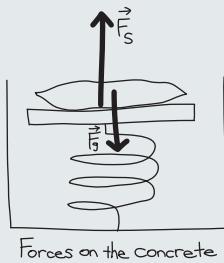
**EXAMPLE 4.5 True and Apparent Weight: A Helicopter Ride**

A helicopter rises vertically, carrying concrete for a ski-lift foundation. A 35-kg bag of concrete sits in the helicopter on a spring scale whose spring constant is 3.4 kN/m. By how much does the spring compress (a) when the helicopter is at rest and (b) when it's accelerating upward at  $1.9 \text{ m/s}^2$ ?

**INTERPRET** This problem is about concrete, a spring scale, and a helicopter. Ultimately, that means it's about mass, force, and acceleration—the content of Newton's laws. We're interested in the spring and the concrete mass resting on it, which share the motion of the helicopter. We identify two forces acting on the concrete: gravity and the spring force  $\vec{F}_s$ .

**DEVELOP** As with any Newton's law problem, we start with a free-body diagram (Fig. 4.20). We then write Newton's second law in its vector form,

$$\vec{F}_{\text{net}} = \vec{F}_s + \vec{F}_g = m\vec{a}$$



Vectors tell it all; don't worry about signs at this point. Our equation expresses all the physics of the situation, but before we can move on to the solution, we need to choose a coordinate system. Here it's convenient to take the  $y$ -axis vertically upward.

**EVALUATE** The forces are in the vertical direction, so we're concerned with only the  $y$ -component of Newton's law:  $F_{sy} + F_{gy} = ma_y$ . The spring force is upward and, from Hooke's law, it has magnitude  $kx$ , so  $F_{sy} = kx$ . Gravity is downward with magnitude  $mg$ , so  $F_{gy} = -mg$ . The  $y$ -component of Newton's law then becomes  $kx - mg = ma_y$ , which we solve to get

$$x = \frac{m(a_y + g)}{k}$$

Putting in the numbers (a) with the helicopter at rest ( $a_y = 0$ ) and (b) with  $a_y = 1.9 \text{ m/s}^2$  gives

$$(a) \quad x = \frac{m(a_y + g)}{k} = \frac{(35 \text{ kg})(0 + 9.8 \text{ m/s}^2)}{3400 \text{ N/m}} = 10 \text{ cm}$$

$$(b) \quad x = \frac{(35 \text{ kg})(1.9 \text{ m/s}^2 + 9.8 \text{ m/s}^2)}{3400 \text{ N/m}} = 12 \text{ cm}$$

**ASSESS** Why is the answer to (b) larger? Because, just as with the cable in Example 4.3, the spring needs to provide an additional force to accelerate the concrete upward.

FIGURE 4.20 Our drawings for Example 4.5.

# Chapter 4 Summary

## Big Idea

The big idea of this chapter—and of all Newtonian mechanics—is that **force** causes *change* in motion, not motion itself. Uniform motion—straight line, constant speed—needs no cause or explanation. Any deviation, in speed or direction, requires a **net force**. This idea is the essence of Newton's first and second laws. Combined with Newton's third law, these laws provide a consistent description of motion.

### Newton's First Law

A body in uniform motion remains in uniform motion, and a body at rest remains at rest, unless acted on by a nonzero net force.

This law is implicit in Newton's second law.

### Newton's Second Law

The rate at which a body's momentum changes is equal to the net force acting on the body.

Here **momentum** is the “quantity of motion,” the product of mass and velocity.

### Newton's Third Law

If object A exerts a force on object B, then object B exerts an oppositely directed force of equal magnitude on A.

Newton's third law says that forces come in pairs.

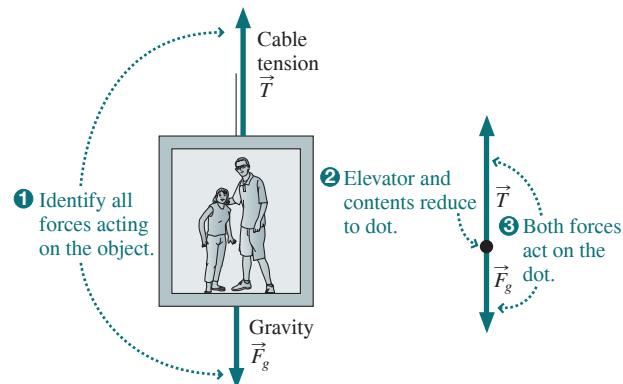
## Solving Problems with Newton's Laws

**INTERPRET** Interpret the problem to be sure that you know what it's asking and that Newton's second law is the relevant concept. Identify the object of interest and all the individual **interaction forces** acting on it.

**DEVELOP** Draw a **free-body diagram** as described in Tactics 4.1. Develop your solution plan by writing Newton's second law,  $\vec{F}_{\text{net}} = m\vec{a}$ , with  $\vec{F}_{\text{net}}$  expressed as the sum of the forces you've identified. Then choose a coordinate system so you can express Newton's law in components.

**EVALUATE** At this point the physics is done, and you're ready to execute your plan by solving Newton's second law and evaluating the numerical answer(s), if called for. Remember that even in the one-dimensional problems of this chapter, Newton's law is a vector equation; that will help you get the signs right. You need to write the components of Newton's law in the coordinate system you chose, and then solve the resulting equation(s) for the quantity(ies) of interest.

**ASSESS** Assess your solution to see that it makes sense. Are the numbers reasonable? Do the units work out correctly? What happens in special cases—for example, when a mass, a force, an acceleration, or an angle gets very small or very large?

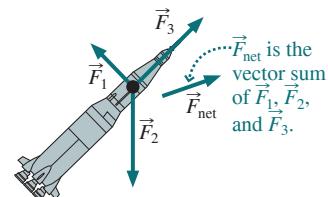


## Key Concepts and Equations

Mathematically, Newton's second law is  $\vec{F}_{\text{net}} = d\vec{p}/dt$ , where  $\vec{p} = m\vec{v}$  is an object's momentum, and  $\vec{F}_{\text{net}}$  is the sum of all the individual forces acting on the object. When an object has constant mass, the second law takes the familiar form

$$\vec{F}_{\text{net}} = m\vec{a} \quad (\text{Newton's second law})$$

Newton's second law is a vector equation. To use it correctly, you must write the components of the equation in a chosen coordinate system. In one-dimensional problems the result is a single equation.



## Applications

The force of gravity on an object is its **weight**. Since all objects at a given location experience the same gravitational acceleration, weight is proportional to mass:

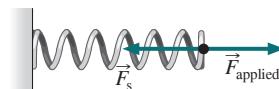
$$\vec{w} = m\vec{g} \quad (\text{weight on Earth})$$

In an accelerated reference frame, an object's **apparent weight** differs from its actual weight; in particular, an object in free fall experiences **apparent weightlessness**.

**Springs** are convenient force-measuring devices, stretching or compressing in response to the applied force. For an ideal spring, the stretch or compression is directly proportional to the force:

$$F_s = -kx \quad (\text{Hooke's law})$$

where  $k$  is the **spring constant**, with units of N/m.



**Mastering Physics**

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **Comp** Computer problems

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 4.1 Articulate the Newtonian paradigm that it's about *change* in motion.  
*For Thought and Discussion Questions 4.1, 4.10*
- LO 4.2 List Newton's three laws of motion.  
*For Thought and Discussion Question 4.3; Exercise 4.29; Problems 4.44, 4.52, 4.57, 4.65, 4.66, 4.72*
- LO 4.3 Solve problems involving Newton's second law.  
*For Thought and Discussion Questions 4.2, 4.4, 4.8, 4.9, 4.10; Exercises 4.11, 4.12, 4.13, 4.14; 4.15, 4.16, 4.17, 4.18, 4.25, 4.26, 4.27, 4.28, 4.30; Problems 4.45, 4.46, 4.47, 4.48, 4.49, 4.50, 4.55, 4.56, 4.58, 4.59, 4.60, 4.61, 4.62, 4.63, 4.66, 4.67, 4.68, 4.69, 4.70, 4.71, 4.72, 4.73, 4.75*

- LO 4.4 Name the fundamental forces of nature.
- LO 4.5 Describe quantitatively how gravity acts on objects.  
*Exercises 4.19, 4.20, 4.21, 4.22, 4.23, 4.24*
- LO 4.6 Distinguish apparent weight from actual weight.  
*Problems 4.45, 4.47*
- LO 4.7 Identify Newton's third-law force pairs and find their values.  
*For Thought and Discussion Questions 4.2, 4.5, 4.6, 4.7; Exercises 4.31, 4.32; Problems 4.51, 4.55, 4.58, 4.61, 4.73, 4.74*
- LO 4.8 Determine the forces exerted by springs.  
*Exercises 4.33, 4.34, 4.35; Problems 4.53, 4.54, 4.64*

## For Thought and Discussion

1. Distinguish the Aristotelian and Galilean/Newtonian views of the natural state of motion.
2. A ball bounces off a wall with the same speed it had before it hit the wall. Has its momentum changed? Has a force acted on the ball? Has a force acted on the wall? Relate your answers to Newton's laws of motion.
3. We often use the term "inertia" to describe human sluggishness. How is this usage related to the meaning of "inertia" in physics?
4. Does a body necessarily move in the direction of the net force acting on it?
5. A truck crashes into a stalled car. A student trying to explain the physics of this event claims that no forces are involved; the car was just "in the way" so it got hit. Comment.
6. A barefoot astronaut kicks a ball, hard, across a space station. Does the ball's apparent weightlessness mean the astronaut's toes don't hurt? Explain.
7. In paddling a canoe, you push water backward with your paddle. What force actually propels the canoe forward?
8. Is it possible for a nonzero net force to act on an object without the object's speed changing? Explain.
9. As your plane accelerates down the runway, you take your keys from your pocket and suspend them by a thread. Do they hang vertically? Explain.
10. A driver tells passengers to buckle their seatbelts, invoking the law of inertia. What's that got to do with seatbelts?

## Exercises and Problems

### Exercises

#### Section 4.2 Newton's First and Second Laws

11. A subway train's mass is  $3.86 \times 10^5$  kg. What force is required to accelerate the train at  $2.45 \text{ m/s}^2$ ?
12. A 148-Mg railroad locomotive can exert a 191 kN force. At what rate can it accelerate (a) by itself and (b) when pulling a 14.3-Gg train?

13. A small plane accelerates down the runway at  $7.2 \text{ m/s}^2$ . If its propeller provides an 11-kN force, what's the plane's mass?
14. A car leaves the road traveling at 110 km/h and hits a tree, coming to a stop in 0.14 s. What average force does a seatbelt exert on a 60-kg passenger during this collision?
15. Kinesin is a "motor protein" responsible for moving materials **BIO** within living cells. If it exerts a 6.0-pN force, what acceleration will it give a molecular complex with mass  $3.0 \times 10^{-18}$  kg?
16. Starting from rest and undergoing constant acceleration, a 940-kg racing car covers 400 m in 4.95 s. Find the force on the car.
17. In an egg-dropping contest, a student encases an 85-g egg in a large Styrofoam block. If the force on the egg can't exceed 28 N, and if the block hits the ground at 12 m/s, by how much must the Styrofoam compress on impact? *Note:* The acceleration associated with stopping the egg is so great that you can neglect gravity while the Styrofoam block is slowing due to contact with the ground.
18. In a front-end collision, a 1300-kg car with shock-absorbing bumpers can withstand a maximum force of 65 kN before damage occurs. If the maximum speed for a nondamaging collision is 10 km/h, by how much must the bumper be able to move relative to the car?

#### Section 4.4 The Force of Gravity

19. Show that the units of acceleration can be written as N/kg. Why does it make sense to give  $g$  as 9.8 N/kg when talking about mass and weight?
20. Your spaceship crashes on one of the Sun's planets. Fortunately, the ship's scales are intact and show that your weight is 532 N. If your mass is 60 kg, where are you? (*Hint:* Consult Appendix E.)
21. Your friend can barely lift a 35-kg concrete block on Earth. How massive a block could she lift on the Moon?
22. A cereal box says "net weight 340 grams." What's the actual weight (a) in SI units and (b) in ounces?
23. You're a safety engineer for a bridge spanning the U.S.–Canadian border. U.S. specifications permit a maximum load of 10 tons. What load limit should you specify on the Canadian side, where "weight" is given in kilograms?

24. The gravitational acceleration at the International Space Station's altitude is about 89% of its surface value. What's the weight of a 68-kg astronaut at this altitude?

### Section 4.5 Using Newton's Second Law

25. A 50-kg parachutist descends at a steady 40 km/h. What force does air exert on the parachute?
26. A 930-kg motorboat accelerates away from a dock at  $2.3 \text{ m/s}^2$ . Its propeller provides a 3.9-kN thrust force. What drag force does the water exert on the boat?
27. An elevator accelerates downward at  $2.4 \text{ m/s}^2$ . What force does the elevator's floor exert on a 52-kg passenger?
28. At 560 metric tons, the Airbus A-380 is the world's largest airliner. What's the upward force on an A-380 when the plane is (a) flying at constant altitude and (b) accelerating upward at  $1.1 \text{ m/s}^2$ ?
29. Find an expression for the thrust (force) of a model rocket's engine required to accelerate a spacecraft of total mass  $M$  from rest on the ground to speed  $v$  while rising a vertical distance  $h$ .
30. You step into an elevator, and it accelerates to a downward speed of 9.2 m/s in 2.1 s. Quantitatively compare your apparent weight during this time with your actual weight.

### Section 4.6 Newton's Third Law

31. What upward gravitational force does a 5600-kg elephant exert on Earth?
32. Your friend's mass is 65 kg. If she jumps off a 120-cm-high table, how far does Earth move toward her as she falls?
33. What force is necessary to stretch a spring 48 cm, if its spring constant is 270 N/m?
34. A 35-N force is applied to a spring with spring constant  $k = 220 \text{ N/m}$ . How much does the spring stretch?
35. A spring with spring constant  $k = 340 \text{ N/m}$  is used to weigh a 6.7-kg fish. How far does the spring stretch?

### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

36. **Example 4.1:** A 2280-kg car accelerates from rest to 31.2 m/s in 9.48 s, moving in a straight line with constant acceleration. (a) Find the net force acting on the car. (b) If the car then rounds a bend 166 m in radius, what net force acts on it?
37. **Example 4.1:** A 2280-kg car is subject to an 8.75-kN force that accelerates it in a straight line. (a) Starting from rest, how much time will it take the car to reach a speed of 22.8 m/s? (b) The car then enters a circular turn, where the road exerts an 8.65-kN force to keep the car on its circular path. What's the radius of the turn?
38. **Example 4.1:** A hockey player whacks a 162-g puck with her stick, applying a constant force that accelerates the puck to 86.8 m/s in 51.4 ms. (a) Find the force the stick exerts on the puck. (b) Still moving at 86.8 m/s, the puck hits the corner boards and slides around the corner of the rink. If the corner radius is 8.50 m, what force do the corner boards exert on the puck?

39. **Example 4.1:** A hockey player whacks a 162-g puck with her stick, applying a 212-N force that accelerates it to 78.3 m/s. (a) If the puck was initially at rest, for how much time did the acceleration last? (b) The puck then hits the curved corner boards, which exert a 151-N force on the puck to keep it in its circular path. What's the radius of the curve?

40. **Example 4.3:** A 975-kg elevator accelerates upward at  $0.754 \text{ m/s}^2$ , pulled by a cable of negligible mass. Find the tension force in the cable.
41. **Example 4.3:** A 975-kg elevator is suspended by a cable of negligible mass. If the tension in the cable is 8.85 kN, what are the magnitude and direction of the elevator's acceleration?
42. **Example 4.3:** In the 2015 film *The Martian*, actor Matt Damon (mass 84.0 kg) plays an astronaut who's stranded on Mars. He eventually escapes in a rocket. If the rocket accelerates vertically upward from the Martian surface at  $10.8 \text{ m/s}^2$ , what's the force that Damon's seat exerts on him? You'll need to consult Appendix E.
43. **Example 4.3:** In 2017 the company SpaceX became the first private company to send supplies to the International Space Station with a reusable rocket. At launch, the total mass of the rocket was 552 Mg, and the thrust force exerted by the rocket engines was 7.61 MN. What was the rocket's initial acceleration?

### Problems

44. A 166-g hockey puck is gliding across the ice at 44.3 m/s. A player whacks it with her stick, sending it moving at 82.1 m/s at  $45.0^\circ$  to its initial direction of motion. If stick and puck are in contact for 112 ms, what are the magnitude and direction of the average force that was exerted on the puck?
45. An airplane encounters sudden turbulence, and you feel momentarily lighter. If your apparent weight seems to be about 70% of your normal weight, what are the magnitude and direction of the plane's acceleration?
46. A 74-kg tree surgeon rides a "cherry picker" lift to reach the upper branches of a tree. What force does the lift exert on the surgeon when it's (a) at rest; (b) moving upward at a steady 2.4 m/s; (c) moving downward at a steady 2.4 m/s; (d) accelerating upward at  $1.7 \text{ m/s}^2$ ; (e) accelerating downward at  $1.7 \text{ m/s}^2$ ?
47. A dancer executes a vertical jump during which the floor pushes up on his feet with a force 50% greater than his weight. What's his upward acceleration?
48. Find expressions for the force needed to bring an object of mass  $m$  from rest to speed  $v$  (a) in time  $\Delta t$  and (b) over distance  $\Delta x$ .
49. An elevator moves upward at 5.2 m/s. What's its minimum stopping time if the passengers are to remain on the floor?
50. A 2.50-kg object is moving along the  $x$ -axis at 1.60 m/s. As it passes the origin, two forces  $\vec{F}_1$  and  $\vec{F}_2$  are applied, both in the  $y$ -direction (plus or minus). The forces are applied for 3.00 s, after which the object is at  $x = 4.80 \text{ m}$ ,  $y = 10.8 \text{ m}$ . If  $\vec{F}_1 = 15.0\hat{y} \text{ N}$ , what's  $\vec{F}_2$ ?
51. Blocks of 1.0, 2.0, and 3.0 kg are lined up on a frictionless table, as shown in Fig. 4.21, with a 12-N force applied to the leftmost block. What's the magnitude of the force that the rightmost block exerts on the middle one?

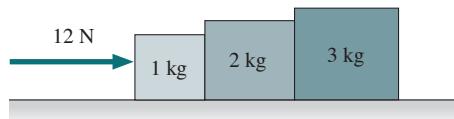


FIGURE 4.21 Problem 51

52. A child pulls an 11-kg wagon with a horizontal handle whose mass is 1.8 kg, accelerating the wagon and handle at  $2.3 \text{ m/s}^2$ . Find the tension forces at each end of the handle. Why are they different?
- BIO** 53. Biophysicists use an arrangement of laser beams called *optical tweezers* to manipulate microscopic objects. In a particular experiment, optical tweezers exerting a force of  $0.373 \text{ pN}$  were used to stretch a DNA molecule by  $2.30 \mu\text{m}$ . What was the spring constant of the DNA?
54. A force  $F$  is applied to a spring of spring constant  $k_0$ , stretching it a distance  $x$ . Consider the spring to be made up of two smaller springs of equal length, with the same force  $F$  still applied. Use  $F = -kx$  to find the spring constant  $k_1$  of each of the smaller springs.
55. A 2200-kg airplane pulls two gliders, the first of mass 310 kg and the second of mass 260 kg, down the runway with acceleration  $1.9 \text{ m/s}^2$  (Fig. 4.22). Neglecting the mass of the two ropes and any frictional forces, determine the magnitudes of (a) the horizontal thrust of the plane's propeller, (b) the tension force in the first rope, (c) the tension force in the second rope, and (d) the net force on the first glider.

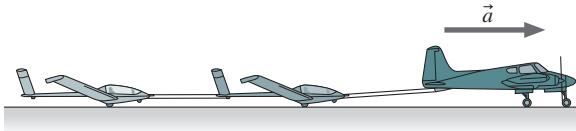
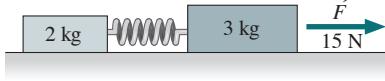


FIGURE 4.22 Problem 56

56. A biologist is studying the growth of rats on the Space Station. **BIO** To determine a rat's mass, she puts it in a 320-g cage, attaches a spring scale, and pulls so that the scale reads 0.46 N. If rat and cage accelerate at  $0.40 \text{ m/s}^2$ , what's the rat's mass?
57. A small car, with mass 945 kg, is stuck on frictionless ice. A tow truck hooks a 122-kg chain to the car and begins to accelerate at  $0.368 \text{ m/s}^2$ . What's the tension in the chain (a) where it connects to the truck and (b) where it connects to the car?
58. A 2.0-kg mass and a 3.0-kg mass are on a horizontal frictionless surface, connected by a massless spring with spring constant  $k = 140 \text{ N/m}$ . A 15-N force is applied to the larger mass, as shown in Fig. 4.23. How much does the spring stretch from its equilibrium length?
59. You're an automotive engineer designing the "crumple zone" of a new car—the region that compresses as the car comes to a stop in a head-on collision. If the maximum allowable force on a passenger in a 70-km/h collision is 20 times the passenger's weight, what do you specify for the amount of compression in the crumple zone?
60. Frogs' tongues dart out to catch insects, with maximum tongue accelerations of about  $250 \text{ m/s}^2$ . What force is needed to give a 500-mg tongue such an acceleration?
61. Two large crates, with masses 640 kg and 490 kg, are connected by a stiff, massless spring ( $k = 8.1 \text{ kN/m}$ ) and propelled along an essentially frictionless factory floor by a horizontal force applied to the more massive crate. If the spring compresses 5.1 cm, what's the applied force?
62. Your engineering firm is asked to specify the maximum load for the elevators in a new building. Each elevator has mass 490 kg when empty and maximum acceleration  $2.24 \text{ m/s}^2$ . The elevator cables can withstand a maximum tension of 19.5 kN before breaking. For safety, you need to ensure that the tension never exceeds two-thirds of that value. What do you specify for the maximum load? How many 70-kg people is that?

FIGURE 4.23 Problem 59



63. With its fuel tanks half full, an F-35A jet fighter has mass 18 Mg and engine thrust 191 kN. An Airbus A-380 has mass 560 Mg and total engine thrust 1.5 MN. Could either aircraft climb vertically with no lift from its wings? If so, what vertical acceleration could it achieve?
64. Two springs have the same unstretched length but different spring constants,  $k_1$  and  $k_2$ . (a) If they're connected side by side and stretched a distance  $x$ , as shown in Fig. 4.24a, show that the force exerted by the combination is  $(k_1 + k_2)x$ . (b) If they're connected end to end (Fig. 4.24b) and the combination is stretched a distance  $x$ , show that they exert a force  $k_1 k_2 x / (k_1 + k_2)$ .

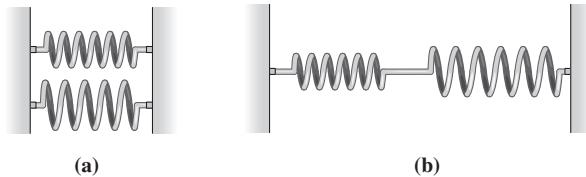


FIGURE 4.24 Problem 65

65. Although we usually write Newton's second law for one-dimensional motion in the form  $F = ma$ , which holds when mass is constant, a more fundamental version is  $F = \frac{d(mv)}{dt}$ . Consider an object whose mass is changing, and use the product rule for derivatives to show that Newton's law then takes the form  $F = ma + v \frac{dm}{dt}$ .
66. A railroad car is being pulled beneath a grain elevator that dumps grain at the rate of 450 kg/s. Use the result of Problem 65 to find the force needed to keep the car moving at a constant  $2.0 \text{ m/s}$ .
67. A block 20% more massive than you hangs from a rope that goes over a frictionless, massless pulley. With what acceleration must you climb the other end of the rope to keep the block from falling?
68. Figure 4.25 shows vertical accelerometer data from an iPhone that **DATA** was dropped onto a pillow. The phone's accelerometer, like all accelerometers, can't distinguish gravity from acceleration, so it reads  $1g$  when it's not accelerating and  $0g$  when it's in free fall. Interpret the graph to determine (a) how long the phone was in free fall and therefore how far it fell; (b) how many times it bounced; (c) the maximum force the phone experienced, expressed in terms of its weight  $w$ ; and (d) when it finally came completely to rest. (Note: The phone was held flat when dropped, with the screen up for protection. In that orientation, it recorded negative values for acceleration; the graph shows the corresponding positive values that would have been recorded had it fallen screen side down.)

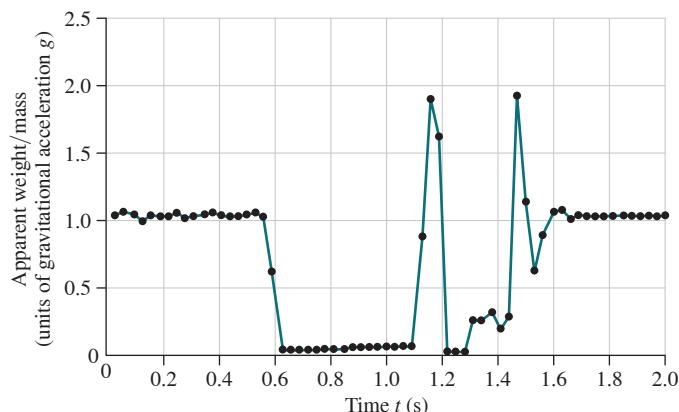
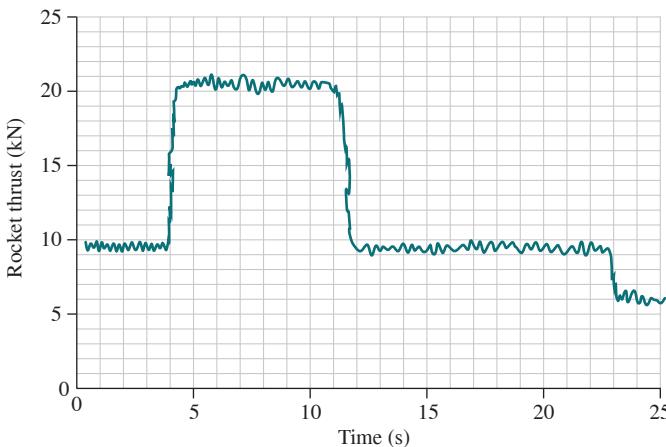


FIGURE 4.25 Accelerometer data for Problem 68.

69. A hockey stick is in contact with a 165-g puck for 22.4 ms; **CH** during this time, the force on the puck is given approximately by  $F(t) = a + bt + ct^2$ , where  $a = -25.0 \text{ N}$ ,  $b = 1.25 \times 10^5 \text{ N/s}$ , and  $c = -5.58 \times 10^6 \text{ N/s}^2$ . Determine (a) the speed of the puck after it leaves the stick and (b) how far the puck travels while it's in contact with the stick.
70. After parachuting through the Martian atmosphere, the Mars **DATA** Science Laboratory executed a complex series of maneuvers that successfully placed the rover *Curiosity* on the surface of Mars in 2012. The final  $\sim 22$  s of the landing involved, in this order, firing rockets (1) to maintain a constant downward velocity of 32 m/s, (2) to achieve a constant deceleration that brought the downward speed to 0.75 m/s, and (3) to hold that constant velocity while the rover was lowered on cables from the rest of the spacecraft (see this chapter's opening image). The rover's touchdown was indicated by a sudden decrease in the rocket thrust needed to maintain constant velocity. Figure 4.26 shows the rocket thrust (upward force) as a function of time during these final 22 s of the flight and the first few seconds after touchdown. (a) Identify the two constant-velocity phases, the constant-deceleration phase, and the post-touchdown phase. (b) Find the magnitude of the spacecraft's acceleration during the constant-deceleration phase. Finally, determine (c) the mass of the so-called powered descent vehicle (PDV), meaning the spacecraft with the rover attached, and (d) the mass of the rover alone. Remember that all this happened at Mars, so you'll need to consult Appendix E.



**FIGURE 4.26** Rocket thrust (upward force of rocket engines) during the final descent of the Mars rover *Curiosity* (Problem 70).

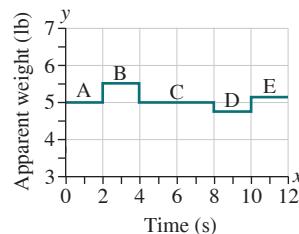
71. Your airplane is caught in a brief, violent downdraft. To your amazement, pretzels rise vertically off your seatback tray, and you estimate their upward acceleration relative to the plane at  $2 \text{ m/s}^2$ . What's the plane's downward acceleration?
72. A hot-air balloon and its basket are accelerating upward at  $0.265 \text{ m/s}^2$ , propelled by a net upward force of 688 N. A rope of negligible mass connects the balloon and basket. The rope tension exceeds the basket's weight by 72.8 N. Find, separately, the mass of the balloon and the basket. (Incidentally, most of the balloon's mass is air.)
73. Two masses are joined by a massless string. A 30-N force applied vertically to the upper mass gives the system a constant upward acceleration of  $3.2 \text{ m/s}^2$ . If the string tension is 18 N, what are the two masses?

74. A mass  $M$  hangs from a uniform rope of length  $L$  and mass  $m$ . Find an expression for the rope tension as a function of the distance  $y$  measured downward from the top of the rope.
75. "Jerk" is the rate of change of acceleration, and it's what can make you sick on an amusement park ride. In a particular ride, a car and passengers with total mass  $M$  are subject to a force given by  $F = F_0 \sin \omega t$ , where  $F_0$  and  $\omega$  are constants. Find an expression for the maximum jerk. **CH**

### Passage Problems

Laptop computers are equipped with accelerometers that sense when the device is dropped and then put the hard drive into a protective mode. Your computer geek friend has written a program that reads the accelerometer and calculates the laptop's apparent weight. You're amusing yourself with this program on a long plane flight. Your laptop weighs just 5 pounds, and for a long time that's what the program reports. But then the "Fasten Seatbelt" light comes on as the plane encounters turbulence. Figure 4.27 shows the readings for the laptop's apparent weight over a 12-second interval that includes the start of the turbulence.

76. At the first sign of turbulence,  
the plane's acceleration  
a. is upward.  
b. is downward.  
c. is impossible to tell from  
the graph.
77. The plane's vertical ac-  
celeration has its greatest  
magnitude  
a. during interval B.  
b. during interval C.  
c. during interval D.
78. During interval C, you can  
conclude for certain that the  
plane is  
a. at rest.  
b. accelerating upward.  
c. accelerating downward.  
d. moving with constant vertical velocity.
79. The magnitude of the greatest vertical acceleration the plane un-  
dergoes during the time shown on the graph is approximately  
a.  $0.5 \text{ m/s}^2$ .  
b.  $1 \text{ m/s}^2$ .  
c.  $5 \text{ m/s}^2$ .  
d.  $10 \text{ m/s}^2$ .



**FIGURE 4.27** The laptop's apparent weight (Passage Problems 76–79).

## Answers to Chapter Questions

### Answer to Chapter Opening Question

The engineers needed to consider Martian gravity, the upward thrust of the sky crane's rockets, and the tension in the cables used to lower the rover from the sky crane.

### Answers to GOT IT? Questions

- 4.1 (b)  
4.2 (b) (Look at Fig. 4.3.)  
4.3 (c) All would move in straight lines.  
4.4 (1) (a); (2) (b); (3) (b); (4) (a); (5) (c)  
4.5 (c) less than 2 N  
4.6 (1) No, because acceleration is still zero; (2) No, because the direction of the velocity is irrelevant to the acceleration

# Using Newton's Laws

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 5.1** Use a strategic approach to solve problems involving Newton's second law in two dimensions.
- LO 5.2** Solve Newton's law problems involving two connected objects.
- LO 5.3** Solve problems involving circular motion with one or more forces.
- LO 5.4** Describe the difference between static and kinetic friction.
- LO 5.5** Incorporate the frictional force into problems involving other forces.
- LO 5.6** Describe drag forces.

## Skills & Knowledge You'll Need

- Newton's second law of motion (Sections 4.2)
- The concept of force and the force of gravity (Sections 4.3–4.4)
- How to solve problems involving Newton's second law in one dimension (Section 4.5)

Chapter 4 introduced Newton's three laws of motion and used them in one-dimensional situations. Now we apply Newton's laws in two dimensions. This material is at the heart of Newtonian physics, from textbook problems to systems that guide spacecraft to distant planets. The chapter consists largely of examples, to help you learn to apply Newton's laws and also to appreciate their wide range of applicability. We also introduce frictional forces and elaborate on circular motion. As you study the diverse examples, keep in mind that they all follow from the underlying principles embodied in Newton's laws.



Why does an airplane tip when it's turning?

## 5.1 Using Newton's Second Law

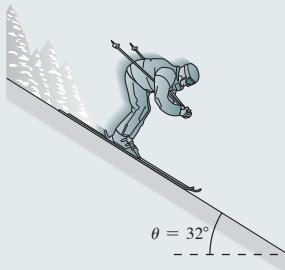
- LO 5.1** Use a strategic approach to solve problems involving Newton's second law in two dimensions.

Newton's second law,  $\vec{F}_{\text{net}} = m\vec{a}$ , is the cornerstone of mechanics. We can use it to develop faster skis, engineer skyscrapers, design safer roads, compute a rocket's thrust, and solve myriad other practical problems.

We'll work Example 5.1 in great detail, applying Problem-Solving Strategy 4.1. Follow this example closely, and try to understand how our strategy is grounded in Newton's basic statement that the net force on an object determines that object's acceleration.

**EXAMPLE 5.1****Newton's Law in Two Dimensions: Skiing**

A skier of mass  $m = 65 \text{ kg}$  glides down a slope at angle  $\theta = 32^\circ$ , as shown in Fig. 5.1. Find (a) the skier's acceleration and (b) the force the snow exerts on the skier. The snow is so slippery that you can neglect friction.



**FIGURE 5.1** What's the skier's acceleration?

**INTERPRET** This problem is about the skier's motion, so we identify the skier as the object of interest. Next, we identify the forces acting on the object. In this case there are just two: the downward force of gravity and the normal force the ground exerts on the skier. As always, the normal force is perpendicular to the surfaces in contact—in this case, perpendicular to the slope.

**DEVELOP** Our strategy for using Newton's second law calls for drawing a free-body diagram that shows only the object and the forces acting on it; that's Fig. 5.2. Determining the relevant equation is straightforward here: It's Newton's second law,  $\vec{F}_{\text{net}} = m\vec{a}$ . We write Newton's law explicitly for the forces we've identified:

$$\vec{F}_{\text{net}} = \vec{n} + \vec{F}_g = m\vec{a}$$

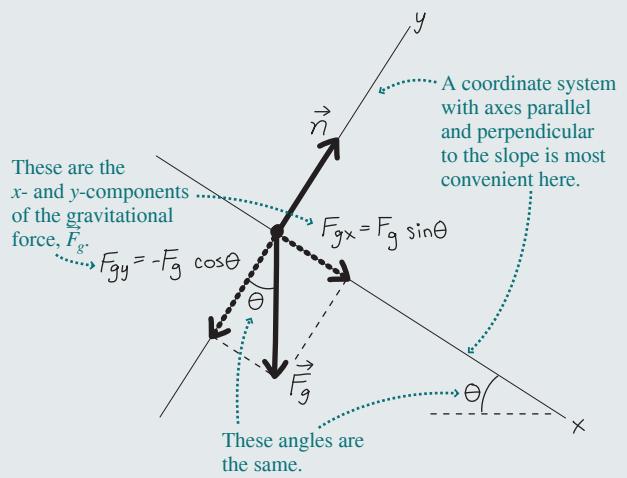
To apply Newton's law in two dimensions, we need to choose a coordinate system so that we can write this vector equation in components. Since the coordinate system is just a mathematical construct, you're free to choose any coordinate system you like—but a smart choice can make the problem a lot easier. In this example, the normal force is perpendicular to the slope, and the skier's acceleration is along the slope. If you choose a coordinate system with axes perpendicular and parallel to the slope, then these two vectors will lie along the coordinate axes, and you'll have only one vector—the gravitational force—that you'll need to break into components. So a tilted coordinate system makes this problem easier, and we've sketched this system on the free-body diagram in Fig. 5.2. But, again, any coordinate system will do. In Problem 38, you can rework this example in a horizontal/vertical coordinate system—getting the same answer at the expense of a lot more algebra.

**EVALUATE** The rest is math. First, we write the components of Newton's law in our coordinate system. That means writing a version of the equation for each coordinate direction by removing the arrows indicating vector quantities and adding subscripts for the coordinate directions:

$$x\text{-component: } n_x + F_{gx} = ma_x$$

$$y\text{-component: } n_y + F_{gy} = ma_y$$

Don't worry about signs until the next step, when we actually evaluate the individual terms in these equations. Let's begin with the  $x$  equation. With the  $x$ -axis parallel and the  $y$ -axis perpendicular to the slope, the normal force has only a  $y$ -component, so  $n_x = 0$ . Meanwhile, the acceleration points downslope—that's the positive  $x$ -direction—so  $a_x = a$ ,



**FIGURE 5.2** Our free-body diagram for the skier.

the magnitude of the acceleration. Only gravity has two nonzero components, and, as Fig. 5.2 shows, trigonometry gives  $F_{gx} = F_g \sin \theta$ . But  $F_g$ , the magnitude of the gravitational force, is just  $mg$ , so  $F_{gx} = mg \sin \theta$ . This component has a positive sign because our  $x$ -axis slopes downward. Then, with  $n_x = 0$ , the  $x$  equation becomes

$$x\text{-component: } mg \sin \theta = ma$$

On to the  $y$  equation. The normal force points in the positive  $y$ -direction, so  $n_y = n$ , the magnitude of the normal force. The acceleration has no component perpendicular to the slope, so  $a_y = 0$ . Figure 5.2 shows that  $F_{gy} = -F_g \cos \theta = -mg \cos \theta$ , so the  $y$  equation is

$$y\text{-component: } n - mg \cos \theta = 0$$

Now we can evaluate to get the answers. The  $x$  equation solves directly to give

$$a = g \sin \theta = (9.8 \text{ m/s}^2)(\sin 32^\circ) = 5.2 \text{ m/s}^2$$

which is the acceleration we were asked to find in (a). Next, we solve the  $y$  equation to get  $n = mg \cos \theta$ . Putting in the numbers gives  $n = 540 \text{ N}$ . This is the answer to (b), the force the snow exerts on the skier.

**ASSESS** A look at two special cases shows that these results make sense. First, suppose  $\theta = 0^\circ$ , so the surface is horizontal. Then the  $x$  equation gives  $a = 0$ , as expected. The  $y$  equation gives  $n = mg$ , showing that a horizontal surface exerts a force that just balances the skier's weight. At the other extreme, consider  $\theta = 90^\circ$ , so the slope is a vertical cliff. Then the skier falls freely with acceleration  $g$ , as expected. In this case  $n = 0$  because there's no contact between skier and slope. At intermediate angles, the slope's normal force lessens the effect of gravity, resulting in a lower acceleration. As the  $x$  equation shows, that acceleration is independent of the skier's mass—just as in the case of a vertical fall. The force exerted by the snow—here  $mg \cos \theta$ , or 540 N—is less than the skier's weight  $mg$  because the slope has to balance only the perpendicular component of the gravitational force.

If you understand this example, you should be able to apply Newton's second law confidently in other problems involving motion with forces in two dimensions.

Sometimes we're interested in finding the conditions under which an object won't accelerate. Examples are engineering problems, such as ensuring that bridges and buildings don't fall down, and physiology problems involving muscles and bones. Next we give a wilder example.

### EXAMPLE 5.2 Objects at Rest: Bear Precautions

To protect her 17-kg pack from bears, a camper hangs it from ropes between two trees (Fig. 5.3). What's the tension in each rope?

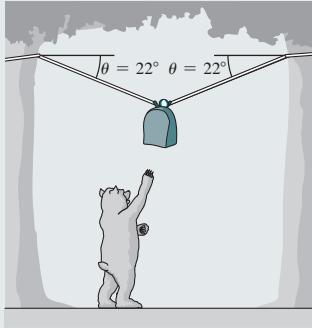


FIGURE 5.3 Bear precautions.

**INTERPRET** Here the pack is the object of interest. The only forces acting on it are gravity and tension forces in the two halves of the rope. To keep the pack from accelerating, they must sum to zero net force.

**DEVELOP** Figure 5.4 is our free-body diagram for the pack. The relevant equation is again Newton's second law,  $\vec{F}_{\text{net}} = m\vec{a}$ —this time with  $\vec{a} = \vec{0}$ . For the three forces acting on the pack, Newton's law is then  $\vec{T}_1 + \vec{T}_2 + \vec{F}_g = \vec{0}$ . Next, we need a coordinate system. The two rope tensions point in different directions that aren't perpendicular, so it doesn't make sense to align a coordinate axis with either of them. Instead, a horizontal/vertical system is simplest.

**EVALUATE** First we need to write Newton's law in components. Formally, we have  $T_{1x} + T_{2x} + F_{gx} = 0$  and  $T_{1y} + T_{2y} + F_{gy} = 0$  for the component equations. Figure 5.4 shows the components of the tension forces, and we see that  $F_{gx} = 0$  and  $F_{gy} = -F_g = -mg$ . So our component equations become

$$x\text{-component: } T_1 \cos \theta - T_2 \cos \theta = 0$$

$$y\text{-component: } T_1 \sin \theta + T_2 \sin \theta - mg = 0$$

The  $x$  equation tells us something that's apparent from the symmetry of the situation: Since the angle  $\theta$  is the same for both halves of

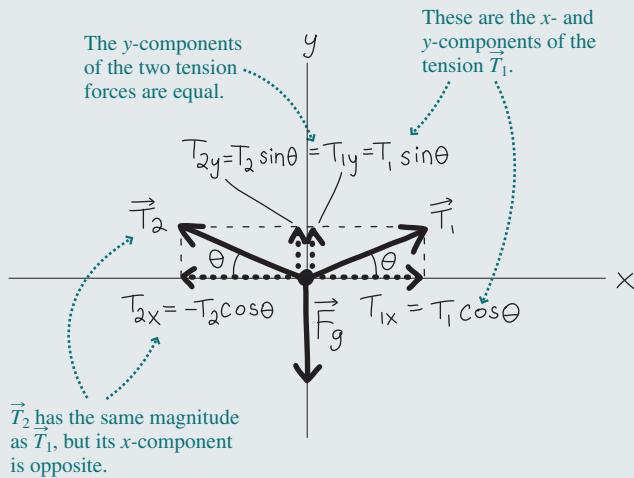


FIGURE 5.4 Our free-body diagram for the pack.

the rope, the magnitudes  $T_1$  and  $T_2$  of the tension forces are the same. Let's just call the magnitude  $T$ :  $T_1 = T_2 = T$ . Then the terms  $T_1 \sin \theta$  and  $T_2 \sin \theta$  in the  $y$  equation are equal, and the equation becomes  $2T \sin \theta - mg = 0$ , which gives

$$T = \frac{mg}{2 \sin \theta} = \frac{(17 \text{ kg})(9.8 \text{ m/s}^2)}{2 \sin 22^\circ} = 220 \text{ N}$$

**ASSESS** Make sense? Let's look at some special cases. With  $\theta = 90^\circ$ , the rope hangs vertically,  $\sin \theta = 1$ , and the tension in each half of the rope is  $\frac{1}{2}mg$ . That makes sense, because each piece of the rope supports half the pack's weight. But as  $\theta$  gets smaller, the ropes become more horizontal, and the tension increases. That's because the vertical tension components together still have to support the pack's weight—but now there's a horizontal component as well, increasing the overall tension. Ropes break if the tension becomes too great, and in this example that means the rope's so-called breaking tension must be considerably greater than the pack's weight. If  $\theta = 0$ , in fact, the tension would become infinite—demonstrating that it's impossible to support a weight with a purely horizontal rope.

**EXAMPLE 5.3** Objects at Rest: Restraining a Ski Racer

A starting gate acts horizontally to restrain a 62-kg ski racer on a frictionless  $30^\circ$  slope (Fig. 5.5). What horizontal force does the starting gate apply to the skier?

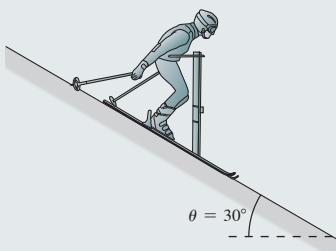


FIGURE 5.5 Restraining a skier.

**INTERPRET** Again, we want the skier to remain unaccelerated. The skier is the object of interest, and we identify three forces acting: gravity, the normal force from the slope, and a horizontal restraining force  $\vec{F}_h$  that we're asked to find.

**DEVELOP** Figure 5.6 is our free-body diagram. The applicable equation is Newton's second law. Again, we want  $\vec{a} = \vec{0}$ , so with the forces we identified,  $\vec{F}_{\text{net}} = m\vec{a}$  becomes  $\vec{F}_h + \vec{n} + \vec{F}_g = \vec{0}$ . Developing our solution strategy, we choose a coordinate system. With two forces now either horizontal or vertical, a horizontal/vertical system makes the most sense; we've shown this coordinate system in Fig. 5.6.

**EVALUATE** As usual, the component equations follow directly from the vector form of Newton's law:  $F_{hx} + n_x + F_{gx} = 0$  and  $F_{hy} + n_y + F_{gy} = 0$ . Figure 5.6 gives the components of the normal force and shows that  $F_{hx} = -F_h$ ,  $F_{gy} = -F_g = -mg$ , and  $F_{gx} = F_{hy} = 0$ . Then the component equations become

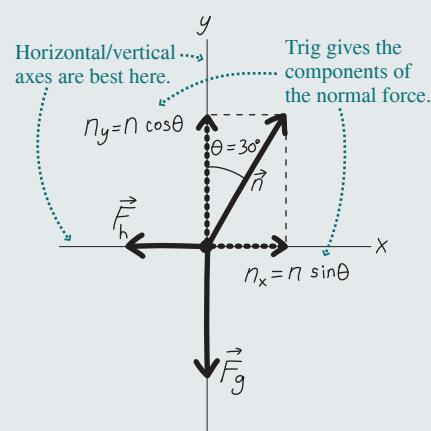


FIGURE 5.6 Our free-body diagram for the restrained skier.

$$x: -F_h + n \sin \theta = 0 \quad y: n \cos \theta - mg = 0$$

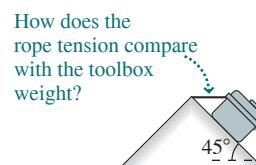
There are two unknowns here—namely, the horizontal force  $F_h$  that we're looking for and the normal force  $n$ . We can solve the  $y$  equation to get  $n = mg/\cos \theta$ . Using this expression in the  $x$  equation and solving for  $F_h$  then give the answer:

$$F_h = \frac{mg}{\cos \theta} \sin \theta = mg \tan \theta = (62 \text{ kg})(9.8 \text{ m/s}^2)(\tan 30^\circ) = 350 \text{ N}$$

**ASSESS** Again, let's look at the extreme cases. With  $\theta = 0$ , we have  $F_h = 0$ , showing that it doesn't take any force to restrain a skier on flat ground. But as the slope becomes more vertical,  $\tan \theta \rightarrow \infty$ , and in the vertical limit, it becomes impossible to restrain the skier with a purely horizontal force.

**GOT IT?**

- 5.1** A roofer's toolbox rests on an essentially frictionless metal roof with a  $45^\circ$  slope, secured by a horizontal rope as shown. Is the rope tension (a) greater than, (b) less than, or (c) equal to the box's weight?



## 5.2 Multiple Objects

### LO 5.2 Solve Newton's law problems involving two connected objects.

In the preceding examples there was a single object of interest. But often we have several objects whose motion is linked. Our Newton's law strategy still applies, with extensions to handle multiple objects.

**PROBLEM-SOLVING STRATEGY 5.1**
**Newton's Second Law and Multiple Objects**

**INTERPRET** Interpret the problem to be sure that you know what it's asking and that Newton's second law is the relevant concept. Identify the *multiple* objects of interest and all the individual interaction forces acting on *each* object. Finally, identify *connections* between the objects and the resulting *constraints* on their motions.

(continued)

**DEVELOP** Draw a *separate* free-body diagram showing all the forces acting on *each* object.

Develop your solution plan by writing Newton's law,  $\vec{F}_{\text{net}} = m\vec{a}$ , separately for each object, with  $\vec{F}_{\text{net}}$  expressed as the sum of the forces acting on that object. Then choose a coordinate system appropriate to each object, so you can express each Newton's law equation in components. The coordinate systems for different objects don't need to have the same orientation.

**EVALUATE** At this point the physics is done, and you're ready to execute your plan by solving the equations and evaluating the numerical answer(s), if called for. Write the components of Newton's law for each object in the coordinate system you chose for each. You can then solve the resulting equations for the quantity(ies) you're interested in, using the connections you identified to relate the quantities that appear in the equations for the different objects.

**ASSESS** Assess your solution to see whether it makes sense. Are the numbers reasonable? Do the units work out correctly? What happens in special cases—for example, when a mass, a force, an acceleration, or an angle gets very small or very large?

### EXAMPLE 5.4

### Multiple Objects: Rescuing a Climber

Worked Example with Variation Problems

A 73-kg climber finds himself dangling over the edge of an ice cliff, as shown in Fig. 5.7. Fortunately, he's roped to a 940-kg rock located 51 m from the edge of the cliff. Unfortunately, the ice is frictionless, so the climber accelerates downward. What's his acceleration, and how much time does he have before the rock goes over the edge? Neglect the rope's mass.

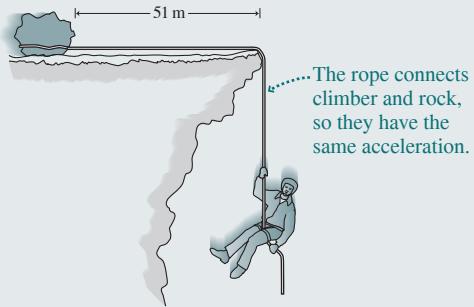


FIGURE 5.7 A climber in trouble.

**INTERPRET** We need to find the climber's acceleration, and from that we can get the time before the rock goes over the edge. We identify two objects of interest, the climber and the rock, and we note that the rope connects them. There are two forces on the climber: gravity and the upward rope tension. There are three forces on the rock: gravity, the normal force from the surface, and the rightward-pointing rope tension.

**DEVELOP** Figure 5.8 shows a free-body diagram for each object. Newton's law applies to each, so we write two vector equations:

$$\text{climber: } \vec{T}_c + \vec{F}_{gc} = m_c \vec{a}_c$$

$$\text{rock: } \vec{T}_r + \vec{F}_{gr} + \vec{n} = m_r \vec{a}_r$$

where the subscripts c and r stand for climber and rock, respectively. All forces are either horizontal or vertical, so we can use the same horizontal/vertical coordinate system for both objects, as shown in Fig. 5.8.

**EVALUATE** Again, the component equations follow directly from the vector forms. There are no horizontal forces on the climber, so only the y equation is significant. We're skilled enough now to skip the intermediate

step of writing the components without their actual expressions, and we see from Fig. 5.8a that the y-component of Newton's law for the climber becomes  $T_c - m_c g = m_c a_c$ . For the rock, the only horizontal force is the tension, pointing to the right or positive x-direction, so the rock's x equation is  $T_r = m_r a_r$ . Since it's on a horizontal surface, the rock has no vertical acceleration, so its y equation is  $n - m_r g = 0$ . In writing these equations, we haven't added the subscripts x and y because each vector has only a single nonzero component. Now we need to consider the connection between rock and climber. That's the rope, and its presence means that the magnitude of both accelerations is the same. Calling that magnitude  $a$ , we can see from Fig. 5.8 that  $a_r = a$  and  $a_c = -a$ . The value for

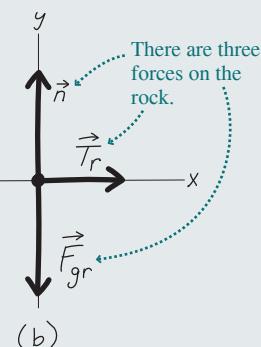
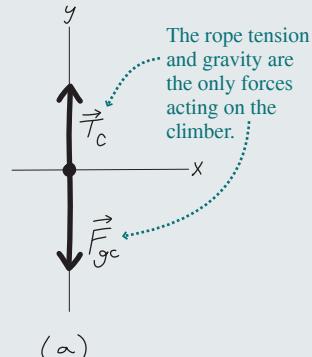


FIGURE 5.8 Our free-body diagrams for (a) the climber and (b) the rock.

the rock is positive because  $\vec{T}_r$  points to the right, which we defined as the positive  $x$ -direction; the value for the climber is negative because he's accelerating downward, which we defined as the negative  $y$ -direction. The rope, furthermore, has negligible mass, so the tension throughout it must be the same (more on this point just after the example). Therefore, the tension forces on rock and climber have equal magnitude  $T$ , so  $T_c = T_r = T$ . Putting this all together gives us three equations:

$$\begin{aligned} \text{climber, } y: \quad T - m_c g &= -m_c a \\ \text{rock, } x: \quad T &= m_r a \\ \text{rock, } y: \quad n - m_r g &= 0 \end{aligned}$$

The rock's  $x$  equation gives the tension, which we can substitute into the climber's equation to get  $m_r a - m_c g = -m_c a$ . Solving for  $a$  then gives the answer:

$$a = \frac{m_c g}{m_c + m_r} = \frac{(73 \text{ kg})(9.8 \text{ m/s}^2)}{(73 \text{ kg} + 940 \text{ kg})} = 0.71 \text{ m/s}^2$$

We didn't need the rock's  $y$  equation, which just says that the normal force supports the rock's weight.

**ASSESS** Again, let's look at special cases. Suppose the rock's mass is zero; then our expression gives  $a = g$ . In this case there's no rope tension and the climber plummets in free fall. Also, acceleration decreases as the rock's mass increases, so with an infinitely massive rock, the climber would dangle without accelerating. You can see physically why our expression for acceleration makes sense. The gravitational force  $m_c g$  acting on the climber has to accelerate both rock and climber—whose combined mass is  $m_c + m_r$ . The result is an acceleration of  $m_c g / (m_c + m_r)$ .

We're not quite done because we were also asked for the time until the rock goes over the cliff, putting the climber in real trouble. We interpret this as a problem in one-dimensional motion from Chapter 2, and we determine that Equation 2.10,  $x = x_0 + v_0 t + \frac{1}{2} a t^2$ , applies. With  $x_0 = 0$  and  $v_0 = 0$ , we have  $x = \frac{1}{2} a t^2$ . We evaluate by solving for  $t$  and using the acceleration we found along with  $x = 51 \text{ m}$  for the distance from the rock to the cliff edge:

$$t = \sqrt{\frac{2x}{a}} = \sqrt{\frac{(2)(51 \text{ m})}{0.71 \text{ m/s}^2}} = 12 \text{ s}$$



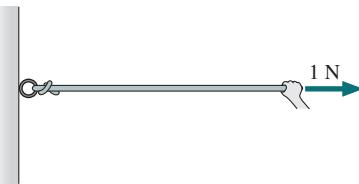
**ROPE AND TENSION FORCES** Tension forces can be confusing. In Example 5.4, the rock pulls on one end of the rope and the climber pulls on the other. So why isn't the rope tension the sum of these forces? And why is it important to neglect the rope's mass? The answers lie in the meaning of tension.

Figure 5.9 shows a situation similar to Example 5.4, with two people pulling on opposite ends of a rope with forces of 1 N each. You might think the rope tension is then 2 N, but it's not. To see why, consider the part of the rope that's highlighted in Fig. 5.9b. To the left is the hand pulling leftward with 1 N. The rope isn't accelerating, so there must be a 1-N force pulling to the right on the highlighted piece. The remainder of the rope provides that force. We could have divided the rope anywhere, so we conclude that every part of the rope exerts a 1-N force on the adjacent rope. That 1-N force is what we mean by the rope tension.

As long as the rope isn't accelerating, the net force on it must be zero, so the forces at the two ends have the same magnitude. That conclusion would hold even if the rope were accelerating—provided it had negligible mass. That's often a good approximation in situations involving tension forces. But if a rope, cable, or chain has significant mass and is accelerating, then the tension force differs at the two ends. That difference, according to Newton's second law, is the net force that accelerates the rope.

### GOT IT?

- 5.2 In the figure below we've replaced one of the hands from Fig. 5.9 with a hook attaching the rope to a wall. On the right, the hand still pulls with a 1-N force. How do the forces now differ from what they were in Fig. 5.9? (a) there's no difference; (b) the force exerted by the hook is zero; (c) the rope tension is now 0.5 N



(a)

The hand pulls the highlighted section of the rope with a 1-N force to the left.  
The net force on the highlighted section is zero, so the rest of the rope must exert a 1-N force to the right.



(b)

The dividing point could be anywhere, so there's a 1-N tension force throughout the rope.

FIGURE 5.9 Understanding tension forces.

A net force is necessary to change the direction of motion. The force points toward the center of the curve.

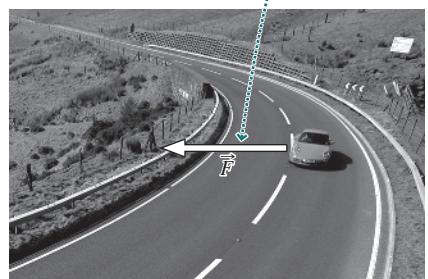


FIGURE 5.10 When a car rounds a curve a force acts toward the center of the curve.

## 5.3 Circular Motion

### LO 5.3 Solve problems involving circular motion with one or more forces.

A car rounds a curve. A satellite circles Earth. A proton whirls around a giant particle accelerator. Since they're not going in straight lines, Newton tells us that a force acts on each (Fig. 5.10). We know from Section 3.6 that the acceleration of an object moving with constant speed  $v$  in a circular path of radius  $r$  has magnitude  $v^2/r$  and points toward the center

**LOOK FOR REAL**

**FORCES** Centripetal force is *not* some new kind of force. It's just the name for *any* forces that keep an object in circular motion—which are always real, physical forces. Common examples of forces involved in circular motion include the gravitational force on a satellite, friction between tires and road, magnetic forces, tension forces, normal forces, and combinations of these and other forces.

of the circle. Newton's second law then tells us that the magnitude of the net force on an object of mass  $m$  in circular motion is

$$F_{\text{net}} = ma = \frac{mv^2}{r} \quad (\text{uniform circular motion}) \quad (5.1)$$

The force is in the same direction as the acceleration—toward the center of the circular path. For that reason it's sometimes called the **centripetal force**, meaning center-seeking (from the Latin *centrum*, “center,” and *petere*, “to seek”).

Newton's second law describes circular motion exactly as it does any other motion: by relating net force, mass, and acceleration. Therefore, we can analyze circular motion with the same strategy we've used in other Newton's law problems.

**EXAMPLE 5.5****Circular Motion: Whirling a Ball on a String**

A ball of mass  $m$  whirls around in a horizontal circle at the end of a massless string of length  $L$  (Fig. 5.11). The string makes an angle  $\theta$  with the horizontal. Find the ball's speed and the string tension.

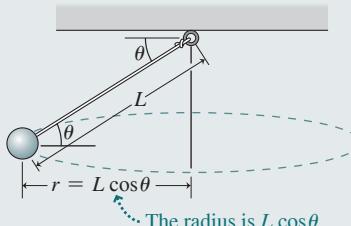


FIGURE 5.11 A ball whirling on a string.

**INTERPRET** This problem is similar to other Newton's law problems we've worked involving force and acceleration. The object of interest is the ball, and only two forces are acting on it: gravity and the string tension.

**DEVELOP** Figure 5.12 is our free-body diagram showing the two forces we've identified. The relevant equation is Newton's second law, which becomes

$$\vec{T} + \vec{F}_g = m\vec{a}$$

The ball's path is in a horizontal plane, so its acceleration is horizontal. Then two of the three vectors in our problem— $\vec{F}_g$  and  $\vec{a}$ —are horizontal or vertical, so in developing our strategy, we choose a horizontal/vertical coordinate system.



**REAL FORCES ONLY!** Were you tempted to draw a third force in Fig. 5.12, perhaps pointing outward to balance the other two? Don't! Because the ball is accelerating, the net force is nonzero and the individual forces do not balance. Or maybe you were tempted to draw an inward-pointing force,  $mv^2/r$ . Don't! The quantity  $mv^2/r$  is not another force; it's just the product of mass and acceleration that appears in Newton's law (recall Fig. 4.3 and the associated tip). Students often complicate problems by introducing forces that aren't there. That makes physics seem harder than it is!

**EVALUATE** We now need the  $x$ - and  $y$ -components of Newton's law. Figure 5.12 shows that  $F_{gy} = -F_g = -mg$  and also gives tension components in terms of trig functions. The acceleration

is purely horizontal, so  $a_y = 0$ , and since the ball is in circular motion,  $a_x = v^2/r$ . But what's  $r$ ? It's the radius of the circular path and, as Fig. 5.11 shows, that's not the string length  $L$  but  $L \cos \theta$ . With all these expressions, the components of Newton's law become

$$x: T \cos \theta = \frac{mv^2}{L \cos \theta} \quad y: T \sin \theta - mg = 0$$

We can get the tension directly from the  $y$  equation:  $T = mg/\sin \theta$ . Using this result in the  $x$  equation lets us solve for the speed  $v$ :

$$v = \sqrt{\frac{TL \cos^2 \theta}{m}} = \sqrt{\frac{(mg/\sin \theta)L \cos^2 \theta}{m}} = \sqrt{\frac{gL \cos^2 \theta}{\sin \theta}}$$

**ASSESS** In the special case  $\theta = 90^\circ$ , the string hangs vertically; here  $\cos \theta = 0$ , so  $v = 0$ . There's no motion, and the string tension equals the ball's weight. But as the string becomes increasingly horizontal, both speed and tension increase. And, just as in Example 5.2, the tension becomes very great as the string approaches horizontal. Here the string tension has two jobs to do: Its vertical component supports the ball against gravity, while its horizontal component keeps the ball in its circular path. The vertical component is always equal to  $mg$ , but as the string approaches horizontal, that becomes an insignificant part of the overall tension—and thus the tension and speed grow very large.

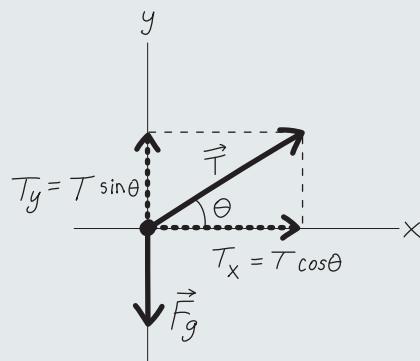


FIGURE 5.12 Our free-body diagram for the whirling ball.

**EXAMPLE 5.6** Circular Motion: Engineering a Road

Roads designed for high-speed travel have banked curves to give the normal force a component toward the center of the curve. That lets cars turn without relying on friction between tires and road. At what angle should a road with 350-m curvature radius be banked for travel at 90 km/h (25 m/s)?

**INTERPRET** This is another example involving circular motion and Newton's second law. Although we're asked about the road, a car on the road is the object we're interested in, and we need to design the road so the car can round the curve without needing a frictional force. That means the only forces on the car are gravity and the normal force.

**DEVELOP** Figure 5.13 shows the physical situation, and Fig. 5.14 is our free-body diagram for the car. Newton's second law is the applicable equation, and here it becomes  $\vec{n} + \vec{F}_g = m\vec{a}$ . Unlike the skier of Example 5.1, the car isn't accelerating down the slope, so a horizontal/vertical coordinate system makes the most sense.

**EVALUATE** First we write Newton's law in components. Gravity has only a vertical component,  $F_{gy} = -mg$  in our coordinate system, and Fig. 5.14 shows the two components of the normal force. The acceleration is purely horizontal and points toward the center of the curve; in our coordinate system that's the positive  $x$ -direction. Since the car is in circular motion, the magnitude of the acceleration is  $v^2/r$ . So the components of Newton's law become

$$x: n \sin \theta = \frac{mv^2}{r} \quad y: n \cos \theta - mg = 0$$

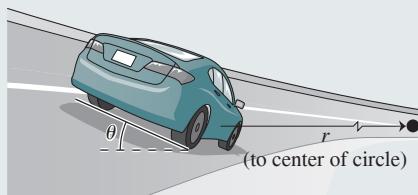


FIGURE 5.13 Car on a banked curve.

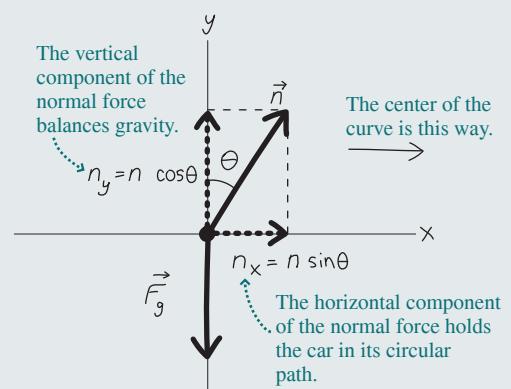


FIGURE 5.14 Our free-body diagram for the car on a banked curve.

where the 0 on the right-hand side of the  $y$  equation reflects the fact that we don't want the car to accelerate in the vertical direction. Solving the  $y$  equation gives  $n = mg/\cos \theta$ . Then using this result in the  $x$  equation gives  $mg \sin \theta / \cos \theta = mv^2/r$ , or  $g \tan \theta = v^2/r$ . The mass canceled, which is good news because it means our banked road will work for a vehicle of any mass. Now we can solve for the banking angle:

$$\theta = \tan^{-1}\left(\frac{v^2}{gr}\right) = \tan^{-1}\left(\frac{(25 \text{ m/s})^2}{(9.8 \text{ m/s}^2)(350 \text{ m})}\right) = 10^\circ$$

**ASSESS** Make sense? At low speed  $v$  or large radius  $r$ , the car's motion changes gently and it doesn't take a large force to keep it on its circular path. But as  $v$  increases or  $r$  decreases, the required force increases and so does the banking angle. That's because the horizontal component of the normal force is what keeps the car in circular motion, and the steeper the angle, the greater that component. A similar thing happens when an airplane banks to turn; then the force of the air perpendicular to the wings acquires a horizontal component, and that's what turns the plane (see this chapter's opening photo and Problem 47).

**EXAMPLE 5.7**
**Circular Motion: Looping the Loop**  
*Worked Example with Variation Problems*

The "Great American Revolution" roller coaster at Valencia, California, includes a loop-the-loop section whose radius is 6.3 m at the top. What's the minimum speed for a roller-coaster car at the top of the loop if it's to stay on the track?

**INTERPRET** Again, we have circular motion described by Newton's second law. We're asked about the minimum speed for the car to stay on the track. What does it mean to stay on the track? It means there must be a normal force between car and track; otherwise, the two aren't in contact. So we can identify two forces acting on the car: gravity and the normal force from the track.

**DEVELOP** Figure 5.15 shows the physical situation. Things are especially simple at the top of the track, where both forces point in the same direction. We show this in our free-body diagram, Fig. 5.16 (next page). Since that common direction is downward, it makes sense to

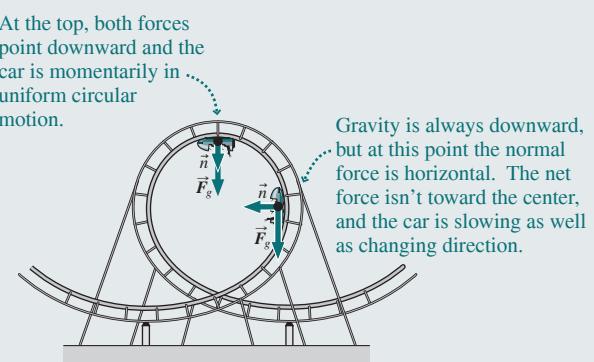


FIGURE 5.15 Forces on the roller-coaster car.

(continued)

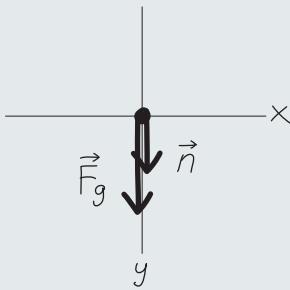


FIGURE 5.16 Our free-body diagram at the top of the loop.

choose a coordinate system with the positive  $y$ -axis *downward*. The applicable equation is Newton's second law, and with the two forces we've identified, that becomes  $\vec{n} + \vec{F}_g = \vec{m}\vec{a}$ .

**EVALUATE** With both forces in the same direction, we need only the  $y$ -component of Newton's law. With the downward direction positive,  $n_y = n$  and  $F_{gy} = mg$ . At the top of the loop, the car is in circular motion, so its acceleration is toward the center—downward—and has magnitude  $v^2/r$ . So  $a_y = v^2/r$ , and the  $y$ -component of Newton's law becomes

$$n + mg = \frac{mv^2}{r}$$

Solving for the speed gives  $v = \sqrt{(nr/m) + gr}$ . Now, the minimum possible speed for contact with the track occurs when  $n$  gets arbitrarily small right at the top of the track, so we find this minimum limit by setting  $n = 0$ . Then the answer is

$$v_{\min} = \sqrt{gr} = \sqrt{(9.8 \text{ m/s}^2)(6.3 \text{ m})} = 7.9 \text{ m/s}$$

**ASSESS** Do you see what's happening here? With the minimum speed, the normal force vanishes at the top of the loop, and gravity alone provides the force that keeps the object in its circular path. Since the motion is circular, that force must have magnitude  $mv^2/r$ . But the force of gravity alone is  $mg$ , and  $v_{\min} = \sqrt{gr}$  follows directly from equating those two quantities. A car moving any slower than  $v_{\min}$  would lose contact with the track and go into the parabolic trajectory of a projectile. For a car moving faster, there would be a nonzero normal force contributing to the downward acceleration at the top of the loop. In the “Great American Revolution,” the actual speed at the loop's top is 9.7 m/s to provide a margin of safety. As with most problems involving gravity, the mass cancels. That's a good thing because it means the safe speed doesn't depend on the number or mass of the riders.

Although real roller coasters have a definite curvature radius at the top, the overall shape of the loop is more teardrop-like than circular. This gives a larger curvature radius near the bottom, and minimizes what would otherwise be a very large acceleration experienced by riders at the bottom of the loop.



**FORCE AND MOTION** We've said this before, but it's worth noting again: Force doesn't cause motion but rather *change* in motion. The direction of an object's motion need not be the direction of the force on the object. That's true in Example 5.7, where the car is moving horizontally at the top of the loop while subject to a downward force. What *is* in the same direction as the force is the *change* in motion, here embodied in the center-directed acceleration of circular motion.

### CONCEPTUAL EXAMPLE 5.1

### Bad Hair Day

What's wrong with this cartoon showing riders on a loop-the-loop roller coaster (Fig. 5.17)?



FIGURE 5.17 Conceptual Example 5.1.

**EVALUATE** Our objects of interest are the riders near the top of the roller coaster. We need to know the forces on them; one is obviously gravity. If the roller coaster is moving faster than Example 5.7's minimum speed—and it better be, for safety—then there are also normal forces from the seats as well as internal forces acting to accelerate parts of the riders' bodies.

Newton's law relates net force and acceleration:  $\vec{F} = \vec{m}\vec{a}$ . This equation implies that the net force and acceleration must be in the same direction. At the top of the loop that direction is downward. Every part of the riders' bodies must therefore experience a net downward force. Again, Example 5.7 shows that the minimum force is that of gravity alone; for safety, there must be additional downward forces.

Now focus on the riders' hair, shown hanging downward. Forces on an individual hair are gravity and tension, and our safety argument shows that they should both point in the same direction—namely, downward—to provide a downward force stronger than gravity alone. How, then, can the riders' hair hang downward? That implies an *upward* tension force, inconsistent with our argument. The artist should have drawn the hair “hanging” upward.

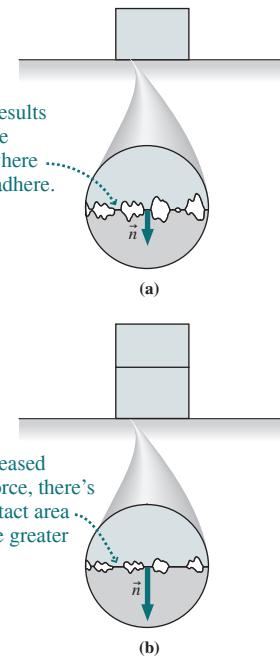
**ASSESS** Make sense? Yes: To the riders, it feels like up is down! They feel the normal force of the seat pushing down, and their hairs experience a downward-pointing tension force. Even though

the riders wear seatbelts, they don't need them: If the speed exceeds Example 5.7's minimum, then they feel tightly bound to their seats. Is there some mysterious new force that pushes them against their seats and that pulls their hair up? No! Newton's second law says the net force on the riders is in the direction of their acceleration—namely, downward. And for safety, that net force must be greater than gravity. It's those additional downward forces—the normal force from the seat and the tension force in the hair—that make up feel like down.

**MAKING THE CONNECTION** Suppose the riders feel like they weigh 50% of what they weigh at rest on the ground. How does the roller coaster's speed compare with Example 5.7's minimum?

**EVALUATE** In Example 5.7, we found the speed in terms of the normal force  $n$  and other quantities:  $v = \sqrt{(nr/m) + gr}$ . An apparent weight 50% of normal implies that  $n = mg/2$ . Then  $v = \sqrt{(gr/2) + gr} = \sqrt{3/2}\sqrt{gr}$ . Example 5.7 shows that the minimum speed is  $\sqrt{gr}$ , so our result is  $\sqrt{3/2} \approx 1.22$  times the minimum speed. And that 50% apparent weight the riders feel is *upward!*

- 5.3** You whirl a bucket of water around in a vertical circle and the water doesn't fall out. A Newtonian explanation of why the water doesn't fall out is that (a) the centripetal force  $mv^2/r$  balances the gravitational force, (b) there's a centrifugal force pushing the water upward, (c) the normal force plus the gravitational force together provide the downward acceleration needed to keep the water in its circular path, or (d) an upward normal force balances gravity.



## 5.4 Friction

**LO 5.4** *Describe the difference between static and kinetic friction.*

**LO 5.5** *Incorporate the frictional force into problems involving other forces.*

Your everyday experience of motion seems inconsistent with Newton's first law. Slide a book across the table, and it stops. Take your foot off the gas, and your car coasts to a stop. But Newton's law is correct, so these examples show that some force must be acting. That force is **friction**, a force that opposes the relative motion of two surfaces in contact.

On Earth, we can rarely ignore friction. Some 20% of the gasoline burned in your car is used to overcome friction inside the engine. Friction causes wear and tear on machinery and clothing. But friction is also useful; without it, you couldn't drive or walk.

### The Nature of Friction

Friction is ultimately an electrical force between molecules in different surfaces. When two surfaces are in contact, microscopic irregularities adhere, as shown in Fig. 5.18a. At the macroscopic level, the result is a force that opposes any relative movement of the surfaces.

Experiments show that the magnitude of the frictional force depends on the normal force between surfaces in contact. Figure 5.18b shows why this makes sense: As the normal forces push the surfaces together, the actual contact area increases. There's more adherence, and this increases the frictional force.

At the microscopic level, friction is complicated. The simple equations we'll develop here provide approximate descriptions of frictional forces. Friction is important in everyday life, but it's not one of the fundamental physical interactions.

### Frictional Forces

Try pushing a heavy trunk across the floor. At first nothing happens. Push harder; still nothing. Finally, as you push even harder, the trunk starts to slide—and you may notice that once it gets going, you don't have to push quite so hard. Why is that?

With the trunk at rest, microscopic contacts between trunk and floor solidify into relatively strong bonds. As you start pushing, you distort those bonds without breaking them;

**FIGURE 5.18** Friction originates in the contact between two surfaces.

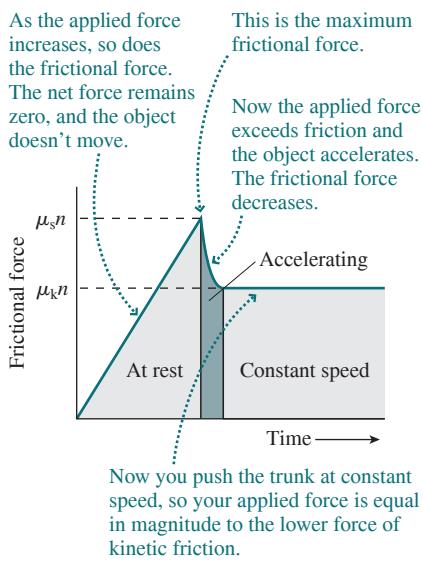


FIGURE 5.19 Behavior of frictional forces.

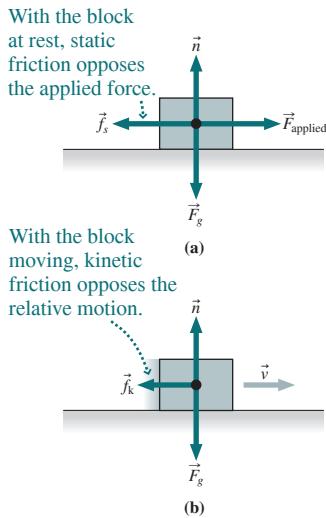


FIGURE 5.20 Direction of frictional forces.

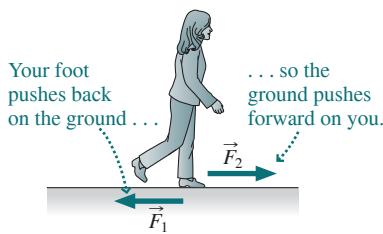


FIGURE 5.21 Walking.

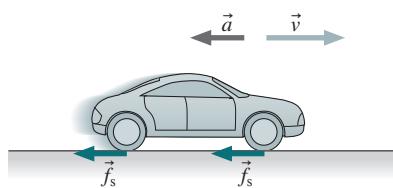


FIGURE 5.22 Friction stops the car.

they respond with a force that opposes your applied force. This is the force of **static friction**,  $\vec{f}_s$ . As you increase the applied force, static friction increases equally, as shown in Fig. 5.19, and the trunk remains at rest. Experimentally, we find that the maximum static-friction force is proportional to the normal force between surfaces, and we write

$$f_s \leq \mu_s n \quad (\text{static friction}) \quad (5.2)$$

Here the proportionality constant  $\mu_s$  (lowercase Greek mu, with the subscript s for “static”) is the **coefficient of static friction**, a quantity that depends on the two surfaces. The  $\leq$  sign indicates that the force of static friction ranges from zero up to the maximum value on the right-hand side.

Eventually you push hard enough to break the bonds between trunk and floor, and the trunk begins to move; this is the point in Fig. 5.19 where the frictional force suddenly drops. Now the microscopic bonds don’t have time to strengthen, so the force needed to overcome them isn’t so great. In Fig. 5.19 we’re assuming you then push with just enough force to overcome friction, so the trunk now moves with constant speed.

The weaker frictional force between surfaces in relative motion is the force of **kinetic friction**,  $\vec{f}_k$ . Again, it’s proportional to the normal force between the surfaces:

$$f_k = \mu_k n \quad (\text{kinetic friction}) \quad (5.3)$$

where now the proportionality constant is  $\mu_k$ , the **coefficient of kinetic friction**. Because kinetic friction is weaker, the coefficient of kinetic friction for a given pair of surfaces is less than the coefficient of static friction. Cross-country skiers exploit that fact by using waxes that provide a high coefficient of static friction for pushing against the snow and for climbing hills, while the lower kinetic friction permits effortless gliding.

Equations 5.2 and 5.3 give only the magnitudes of the frictional forces. The direction of the frictional force is parallel to the two surfaces, in the direction that opposes any applied force (Fig. 5.20a) or the surfaces’ relative motion (Fig. 5.20b).

Since they describe proportionality between the magnitudes of two forces, the coefficients of friction are dimensionless. Typical values of  $\mu_k$  range from less than 0.01 for smooth or lubricated surfaces to about 1.5 for very rough ones. Rubber on dry concrete—vital in driving an automobile—has  $\mu_k$  about 0.8, and  $\mu_s$  can exceed 1. A waxed ski on dry snow has  $\mu_k \approx 0.04$ , while the synovial fluid that lubricates your body’s joints reduces  $\mu_k$  to a low 0.003.

If you push a moving object with a force equal to the opposing force of kinetic friction, then the net force is zero and, according to Newton, the object moves at constant speed. Since friction is nearly always present, but not as obvious as the push of a hand or the pull of a rope, you can see why it’s so easy to believe that force is needed to make things move—rather than, as Newton recognized, to make them accelerate.

We emphasize that the equations describing friction are empirical expressions that approximate the effects of complicated but more basic interactions at the microscopic level. Our friction equations have neither the precision nor the fundamental character of Newton’s laws.

## Applications of Friction

Static friction plays a vital role in everyday activities such as walking and driving. As you walk, your foot contacting the ground is momentarily at rest, pushing back against the ground. By Newton’s third law, the ground pushes forward, accelerating you forward (Fig. 5.21). Both forces of the third-law pair arise from static friction between foot and ground. On a frictionless surface, walking is impossible.

Similarly, the tires of an accelerating car push back on the road. If they aren’t slipping, the bottom of each tire is momentarily at rest (more on this in Chapter 10). Therefore the force is static friction. The third law then requires a frictional force of the road pushing forward on the tires; that’s what accelerates the car. Braking is the opposite: The tires push forward, and the road pushes back to decelerate the car (Fig. 5.22). The brakes affect only the wheels; it’s friction between tires and road that stops the car. You know this if you’ve applied your brakes on an icy road!

**EXAMPLE 5.8****Frictional Forces: Stopping a Car**

The kinetic- and static-friction coefficients between a car's tires and a dry road are 0.61 and 0.89, respectively. The car is initially traveling at 90 km/h (25 m/s) on a level road. Determine (a) the minimum stopping distance, which occurs when the brakes are applied so that the wheels keep rolling as they slow and therefore static friction applies, and (b) the stopping distance with the wheels fully locked and the car skidding.

**INTERPRET** Since we're asked about the stopping distance, this is ultimately a question about accelerated motion in one dimension—the subject of Chapter 2. But here friction causes that acceleration, so we have a Newton's law problem. The car is the object of interest, and we identify three forces: gravity, the normal force, and friction.

**DEVELOP** Figure 5.23 is our free-body diagram. We have a two-part problem here: First, we need to use Newton's second law to find the acceleration, and then we can use Equation 2.11,  $v^2 = v_0^2 + 2a\Delta x$ , to relate distance and acceleration. With the three forces acting on the car, Newton's law becomes  $\vec{F}_g + \vec{n} + \vec{f}_f = m\vec{a}$ . A horizontal/vertical coordinate system is most appropriate for the components of Newton's law.

**EVALUATE** The only horizontal force is friction, which points in the  $-x$ -direction and has magnitude  $\mu n$ , where  $\mu$  can be either the kinetic- or the static-friction coefficient. The normal force and gravity act in the vertical direction, so the component equations are

$$x: -\mu n = ma_x \quad y: -mg + n = 0$$

Solving the  $y$  equation for  $n$  and substituting in the  $x$  equation gives the acceleration:  $a_x = -\mu g$ . We then use this result in Equation 2.11 and solve for the stopping distance  $\Delta x$ . With final speed  $v = 0$ , this gives

$$\Delta x = \frac{v_0^2}{-2a_x} = \frac{v_0^2}{2\mu g}$$

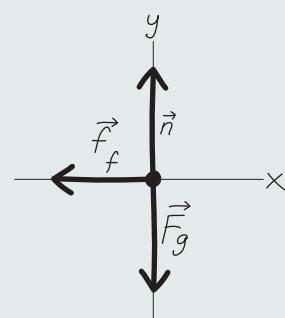


FIGURE 5.23 Our free-body diagram for the braking car.

Using the numbers given, we get (a)  $\Delta x = 36$  m for the minimum stopping distance (no skid; static friction) and (b) 52 m for the car skidding with its wheels locked (kinetic friction). The difference could well be enough to prevent an accident.

**ASSESS** Our result  $a_x = -\mu g$  shows that a higher friction coefficient leads to a larger acceleration; this makes sense because friction is what causes the acceleration. What happened to the car's mass? A more massive car requires a larger frictional stopping force for the same acceleration—but friction depends on the normal force, and the latter is greater in proportion to the car's mass. Thus the stopping distance doesn't depend on mass.

This example shows that stopping distance increases as the *square* of the speed. That's one reason high speeds are dangerous: Doubling your speed quadruples your stopping distance!

**EXAMPLE 5.9****Frictional Forces: Steering**

A level road makes a  $90^\circ$  turn with radius 73 m. What's the maximum speed for a car to negotiate this turn when the road is dry ( $\mu_s = 0.88$ ) and when the road is snow covered ( $\mu_s = 0.21$ )?

**INTERPRET** This example is similar to Example 5.8, but now the frictional force acts perpendicular to the car's motion, keeping it in a circular path. Because the car isn't moving in the direction of the force, we're dealing with *static* friction. The car is the object of interest, and again the forces are gravity, the normal force, and friction.

**DEVELOP** Figure 5.24 is our free-body diagram. Newton's law is the applicable equation, and we're dealing with the acceleration  $v^2/r$  that occurs in circular motion. With the three forces acting on the car, Newton's law is  $\vec{F}_g + \vec{n} + \vec{f}_s = m\vec{a}$ . A horizontal/vertical coordinate system is most appropriate, and now it's most convenient to take the  $x$ -axis in the direction of the acceleration—namely, toward the center of the curve.

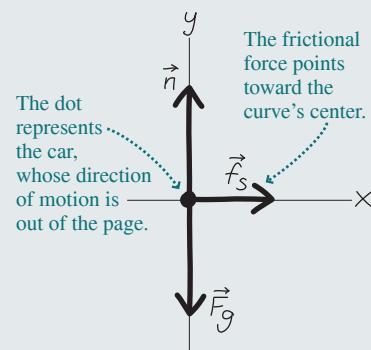


FIGURE 5.24 Our free-body diagram for the cornering car.

**EVALUATE** Again, the only horizontal force is friction, with magnitude  $\mu_s n$ . Here it points in the positive  $x$ -direction, as does the

(continued)

acceleration of magnitude  $v^2/r$ . So the  $x$ -component of Newton's law is  $\mu_s n = mv^2/r$ . There's no vertical acceleration, so the  $y$ -component is  $-mg + n = 0$ . Solving for  $n$  and using the result in the  $x$  equation give  $\mu_s mg = mv^2/r$ . Again the mass cancels, and we solve for  $v$  to get

$$v = \sqrt{\mu_s gr}$$

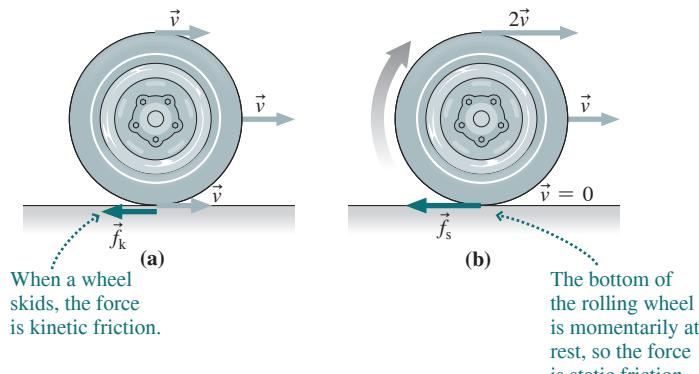
Putting in the numbers, we get  $v = 25$  m/s (90 km/h) for the dry road and 12 m/s (44 km/h) for the snowy road. Exceed these speeds, and

your car inevitably moves in a path with a larger radius—and that means going off the road!

**ASSESS** Once again, it makes sense that the car's mass doesn't matter. A more massive car needs a larger frictional force, and it gets what it needs because its larger mass results in a larger normal force. The safe speed increases with the curve radius  $r$ , and that, too, makes sense: A larger radius means a gentler turn, with less acceleration at a given speed. So less frictional force is needed.

### APPLICATION

### Antilock Brakes



Today's cars have computer-controlled antilock braking systems (ABS). These systems exploit the fact that static friction is greater than kinetic friction. Slam on the brakes of a non-ABS car and the wheels lock and skid without turning. The force between tires and road is then *kinetic* friction (part a in the figure). But if you pump the brakes to keep the wheels from skidding, then it's the greater force of *static* friction (part b).

ABS improves on this brake-pumping strategy with a computer that independently controls the brakes at each wheel, keeping each just on the verge of slipping. Drivers of ABS cars should slam the brakes hard in an emergency; the ensuing clatter indicates the ABS is working.

Although ABS can reduce the stopping distance, its real significance is in preventing vehicles from skidding out of control as can happen when you apply the brakes with some wheels on ice and others on pavement. Increasingly, today's cars incorporate their computer-controlled brakes into sophisticated systems that enhance stability during emergency maneuvers.

### EXAMPLE 5.10

### Friction on a Slope: Avalanche!

A storm dumps new snow on a ski slope. The coefficient of static friction between the new snow and the older snow underneath is 0.46. What's the maximum slope angle to which the new snow can adhere?

**INTERPRET** The problem asks about an angle, but it's friction that holds the new snow to the old, so this is really a problem about the maximum possible static friction. We aren't given an object, but we can model the new snow as a slab of mass  $m$  resting on a slope of unknown angle  $\theta$ . The forces on the slab are gravity, the normal force, and static friction  $\vec{f}_s$ .

**DEVELOP** Figure 5.25 shows the model, and Fig. 5.26 is our free-body diagram. Newton's second law is the applicable equation, here with  $\vec{a} = \vec{0}$ , giving  $\vec{F}_g + \vec{n} + \vec{f}_s = \vec{0}$ . We also need the maximum static-friction force, given in Equation 5.2,  $f_{s\max} = \mu_s n$ . As in Example 5.1, a tilted coordinate system is simplest and is shown in Fig. 5.26.

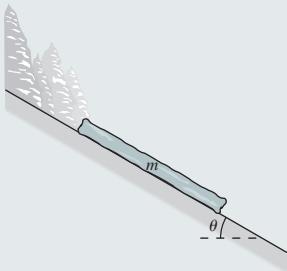


FIGURE 5.25 A layer of snow, modeled as a slab on a sloping surface.

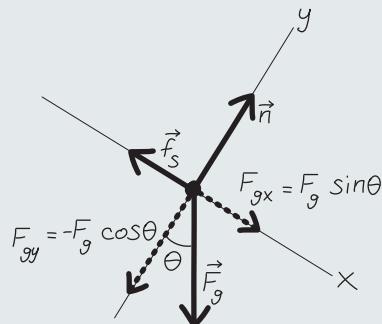


FIGURE 5.26 Our free-body diagram for the snow slab.

**EVALUATE** With the positive  $x$ -direction downslope, Fig. 5.26 shows that the  $x$ -component of gravity is  $F_g \sin\theta = mg \sin\theta$ , while the frictional force acts upslope ( $-x$ -direction) and has maximum magnitude  $\mu_s n$ ; therefore,  $f_{sx} = -\mu_s n$ . So the  $x$ -component of Newton's law is  $mg \sin\theta - \mu_s n = 0$ . We can read the  $y$ -component from Fig. 5.26:  $-mg \cos\theta + n = 0$ . Solving the  $y$  equation gives  $n = mg \cos\theta$ . Using this result in the  $x$  equation then yields  $mg \sin\theta - \mu_s mg \cos\theta = 0$ . Both  $m$  and  $g$  cancel, and we have  $\sin\theta = \mu_s \cos\theta$  or, since  $\tan\theta = \sin\theta/\cos\theta$ ,

$$\tan\theta = \mu_s$$

For the numbers given in this example, the result becomes  $\theta = \tan^{-1} \mu_s = \tan^{-1}(0.46) = 25^\circ$ .

**ASSESS** Make sense? Sure: The steeper the slope, the greater the friction needed to keep the snow from sliding. Two effects are at work here: First, as the slope steepens, so does the component of gravity along the slope. Second, as the slope steepens, the normal force gets

smaller, and that reduces the frictional force for a given friction coefficient. Note here that the normal force is not simply the weight  $mg$  of the snow; again, that's because of the sloping surface.

The real avalanche danger comes at angles slightly smaller than our answer  $\tan\theta = \mu_s$ , where a thick snowpack can build up. Changes in the snow's composition with temperature may decrease the friction coefficient and unleash an avalanche.

### EXAMPLE 5.11 Friction: Dragging a Trunk

You drag a trunk of mass  $m$  across a level floor using a massless rope that makes an angle  $\theta$  with the horizontal (Fig. 5.27). Given a kinetic-friction coefficient  $\mu_k$ , what rope tension is required to move the trunk at constant speed?

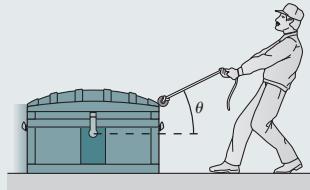


FIGURE 5.27 Dragging a trunk.

**INTERPRET** Even though the trunk is moving, it isn't accelerating, so here's another problem involving Newton's law with zero acceleration. The object is the trunk, and now four forces act: gravity, the normal force, friction, and the rope tension.

**DEVELOP** Figure 5.28 is our free-body diagram showing all four forces acting on the trunk. The relevant equation is Newton's law. With no acceleration, it reads  $\vec{F}_g + \vec{n} + \vec{f}_k + \vec{T} = \vec{0}$ , with the magnitude of kinetic friction given by  $f_k = \mu_k n$ . All vectors except the tension force are horizontal or vertical, so the most sensible coordinate system has horizontal and vertical axes.

**EVALUATE** From Fig. 5.28, we can write the components of Newton's law:  $T \cos \theta - \mu_k n = 0$  in the  $x$ -direction and  $T \sin \theta - mg + n = 0$  in the  $y$ -direction. This time the unknown  $T$  appears in both equations. Solving the  $y$  equation for  $n$  gives  $n = mg - T \sin \theta$ . Putting this  $n$  in the  $x$  equation then yields  $T \cos \theta - \mu_k(mg - T \sin \theta) = 0$ . Factoring terms involving  $T$  and solving, we arrive at the answer:

$$T = \frac{\mu_k mg}{\cos \theta + \mu_k \sin \theta}$$

### GOT IT?

**5.4** The figure shows a logging vehicle pulling a redwood log. Is the frictional force in this case (a) less than, (b) equal to, or (c) greater than the weight multiplied by the coefficient of friction?



## 5.5 Drag Forces

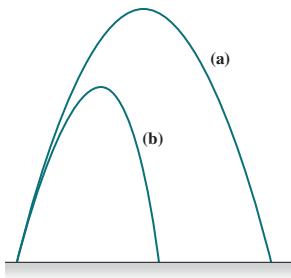
### LO 5.6 Describe drag forces.

Friction isn't the only "hidden" force that robs objects of their motion and obscures Newton's first law. Objects moving through fluids like water or air experience **drag forces** that oppose the relative motion of object and fluid. Ultimately, drag results from collisions between fluid molecules and the object. The drag force depends on several factors, including fluid density and the object's cross-sectional area and speed.

### Terminal Speed

When an object falls from rest, its speed is initially low and so is the velocity-dependent drag force. It therefore accelerates downward with nearly the gravitational acceleration  $g$ . But as the object gains speed, the drag force increases—until eventually the drag force and gravity have equal magnitudes. At that point the net force on the object is zero, and it falls with constant speed, called its **terminal speed**.

Because the drag force depends on an object's area and the gravitational force depends on its mass, the terminal speed is lower for lighter objects with large areas. A parachute, for example, is designed specifically to have a large surface area that results, typically, in a terminal speed around 5 m/s. A ping-pong ball and a golf ball have about the same size and therefore the same area, but the ping-pong ball's much lower mass leads to a terminal speed of about 10 m/s compared with the golf ball's 50 m/s. For an irregularly shaped object, the drag and thus the terminal speed depend on how large a surface area the object presents to the air. Skydivers exploit this effect to vary their rates of fall.



**FIGURE 5.29** Projectile trajectories (a) without air resistance and (b) with substantial air resistance. Note that (b) not only achieves less height and range but that the trajectory is no longer a symmetric parabola.

### Drag and Projectile Motion

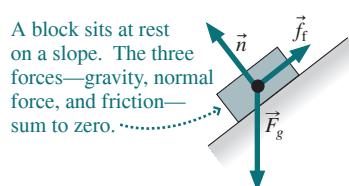
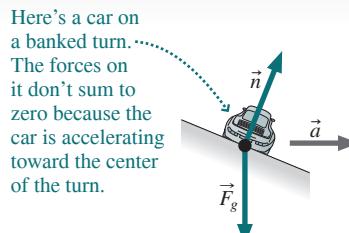
In Chapter 3, we consistently neglected air resistance—the drag force of air—in projectile motion. Determining drag effects on projectiles is not trivial and usually requires computer calculations. The net effect, though, is that air resistance decreases the range of a projectile (Fig. 5.29). Despite the physicist's need for computer calculations, others—especially athletes—have a feel for drag forces that lets them play their sports by judging correctly the trajectory of a projectile under the influence of drag forces. You can explore drag forces further in Problem 73.

## Chapter 5 Summary

### Big Idea

The big idea here is the same as in Chapter 4—namely, that Newton's laws are a universal description of motion, in which force causes not motion itself but change in motion. Here we focus on Newton's second law, extended to the richer and more complex examples of motion in two dimensions. To use Newton's law, we now sum forces that may point in different directions, but the result is the same: The net force determines an object's acceleration.

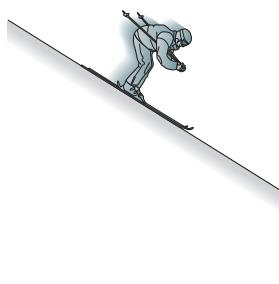
Common forces include gravity, the normal force from surfaces, tension forces, and a force introduced here: friction. Important examples are those where an object is accelerating, including in circular motion, and those where there's no acceleration and therefore the net force is zero.



## Solving Problems with Newton's Laws

The problem-solving strategy in this chapter is exactly the same as in Chapter 4, except that in two dimensions the choice of coordinate system and the division of forces into components become crucial steps. You usually need both component equations to solve a problem.

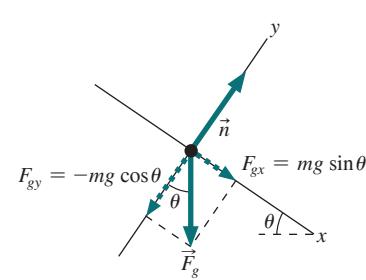
A skier on a frictionless slope



Free-body diagram showing the two forces acting



Coordinate system and vector components



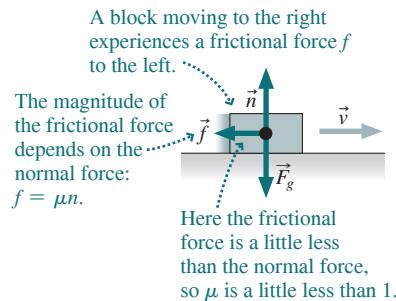
$$\vec{F} = m\vec{a} \rightarrow \vec{n} + \vec{F}_g = m\vec{a} \rightarrow \begin{cases} n_x + F_{gx} = ma_x \\ n_y + F_{gy} = ma_y \end{cases} \rightarrow \begin{cases} mg \sin \theta = ma_x \\ n - mg \cos \theta = 0 \end{cases}$$

## Key Concepts and Equations

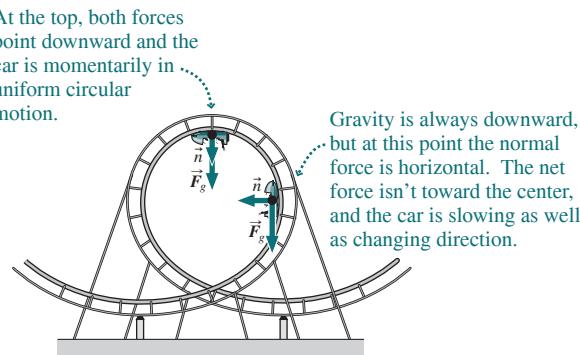
Newton's second law,  $\vec{F}_{\text{net}} = m\vec{a}$ , is the key equation in this chapter. It's crucial to remember that it's a *vector* equation, representing a pair of scalar equations for its two components in two dimensions.

## Applications

When an object is in uniform circular motion, the net force is toward the center of the circle and has magnitude  $mv^2/r$ . If the speed of the circular motion is changing, then the net force has an additional component tangent to the circle.



Friction acts between surfaces to oppose their relative motion, and its strength depends on the normal force  $\vec{n}$  between the surfaces. When the surfaces aren't in relative motion, the force is **static friction**, given by  $f_s \leq \mu_s n$ . For surfaces in relative motion, the force is **kinetic friction**, given by  $f_k = \mu_k n$ . Here  $\mu_s$  and  $\mu_k$  are the **coefficients of static and kinetic friction**, respectively, where  $\mu_k < \mu_s$ .



## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 5.1 Use a strategic approach to solve problems involving Newton's second law in two dimensions.

*For Thought and Discussion Questions 5.8, 5.10; Exercises 5.11, 5.12, 5.13, 5.14, 5.15, 5.16; Problems 5.38, 5.39, 5.40, 5.41, 5.42, 5.44, 5.46, 5.75*

- LO 5.2 Solve Newton's law problems involving two connected objects. *Exercises 5.17, 5.18, 5.19; Problems 5.51, 5.76*

- LO 5.3 Solve problems involving circular motion with one or more forces. *For Thought and Discussion Questions 5.2, 5.4, 5.5, 5.6, 5.9; Exercises 5.20, 5.21, 5.22, 5.23, 5.24, 5.25; Problems 5.43, 5.45, 5.47, 5.48, 5.49, 5.54, 5.62, 5.63, 5.64, 5.65, 5.66, 5.67, 5.71*

- LO 5.4 Describe the difference between static and kinetic friction.

- LO 5.5 Incorporate the frictional force into problems involving other forces.

*For Thought and Discussion Questions 5.1, 5.3, 5.7, 5.9; Exercises 5.26, 5.27, 5.28, 5.29; Problems 5.50, 5.51, 5.52, 5.53, 5.54, 5.55, 5.56, 5.57, 5.58, 5.59, 5.60, 5.61, 5.63, 5.66, 5.67, 5.68, 5.70, 5.72, 5.74*

- LO 5.6 Describe drag forces. *Problem 5.73*

## For Thought and Discussion

- The force of static friction acts only between surfaces at rest. Yet that force is essential in walking and in accelerating or braking a car. Explain.
- A jet plane flies at constant speed in a vertical circular loop. At what point in the loop does the seat exert the greatest force on the pilot? The least force?
- In cross-country skiing, skis should easily glide forward but should remain at rest when the skier pushes back against the snow. What frictional properties should the ski wax have to achieve this goal?
- Why do airplanes bank when turning?
- Why is it easier for a child to stand nearer the inside of a rotating merry-go-round?
- Gravity pulls a satellite toward Earth's center. So why doesn't the satellite actually fall to Earth?
- Explain why a car with ABS brakes can have a shorter stopping distance.
- A fishing line has a 20-lb breaking strength. Is it possible to break the line while reeling in a 15-lb fish? Explain.
- You're on a plane undergoing a banked turn, so steep that out the window you see the ground below. Yet your pretzels stay put on the seatback tray rather than sliding downward. Why?
- A backcountry skier weighing 700 N skis down a steep slope, unknowingly crossing a snow bridge that spans a deep, hidden crevasse. If the bridge can support 580 N—meaning that's the maximum normal force it can sustain without collapsing—is there any chance the mountaineer can cross safely? Explain.

## Exercises and Problems

### Exercises

#### Section 5.1 Using Newton's Second Law

- Two forces, both in the  $x$ - $y$  plane, act on a 3.25-kg mass that accelerates at  $5.48 \text{ m/s}^2$  in a direction  $38.0^\circ$  counterclockwise from the  $x$ -axis. One force has magnitude 8.63 N and points in the  $+x$ -direction. Find the other force.
- Two forces act on a 3.1-kg mass that undergoes acceleration  $\vec{a} = 0.91\hat{i} - 0.27\hat{j} \text{ m/s}^2$ . If one force is  $-1.2\hat{i} - 2.5\hat{j}$  N, what's the other?
- At what angle should you tilt an air table (on Earth) to simulate free fall at the surface of Mars, where  $g = 3.71 \text{ m/s}^2$ ?
- A skier starts from rest at the top of a  $24^\circ$  slope 1.3 km long. Neglecting friction, how long does it take to reach the bottom?
- Studies of gymnasts show that their high rate of injuries to the **BIO** Achilles tendon is due to tensions in the tendon that typically reach 10 times body weight. That force is provided by a pair of muscles,



FIGURE 5.30 Exercise 5.15

each exerting a force at  $25^\circ$  to the vertical, with their horizontal components opposite. For a 55-kg gymnast, find the force in each of these muscles.

- Find the minimum slope angle for which the skier in Question 12 can safely traverse the snow bridge.

#### Section 5.2 Multiple Objects

- Your 12-kg baby sister pulls on the bottom of the tablecloth with all her weight. On the table, 60 cm from the edge, is a 6.8-kg roast turkey. (a) What's the turkey's acceleration? (b) From the time your sister starts pulling, how long do you have to intervene before the turkey goes over the edge? Neglect friction.
- Suppose the angles shown in Fig. 5.31 are  $60^\circ$  and  $20^\circ$ . If the left-hand mass is 2.1 kg, what should the right-hand mass be so that it accelerates (a) downslope at  $0.64 \text{ m/s}^2$  and (b) upslope at  $0.76 \text{ m/s}^2$ ?

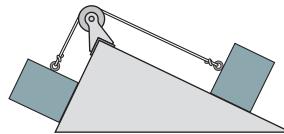


FIGURE 5.31 Exercise 5.18

- Two unfortunate climbers, roped together, are sliding freely down an icy mountainside. The upper climber (mass 75 kg) is on a slope at  $12^\circ$  to the horizontal, but the lower climber (mass 63 kg) has gone over the edge to a steeper slope at  $38^\circ$ . (a) Assuming frictionless ice and a massless rope, what's the acceleration of the pair? (b) The upper climber manages to stop the slide with an ice ax. After the climbers have come to a complete stop, what force must the ax exert against the ice?

#### Section 5.3 Circular Motion

- Suppose the Moon were held in its orbit not by gravity but by tension in a massless cable. Estimate the magnitude of the cable tension. (*Hint:* See Appendix E.)
- Show that the force needed to keep a mass  $m$  in a circular path of radius  $r$  with period  $T$  is  $4\pi^2 mr/T^2$ .
- A 940-g rock is whirled in a horizontal circle at the end of a 1.30-m-long string. (a) If the breaking strength of the string is 120 N, what's the minimum angle the string can make with the horizontal? (b) At this minimum angle, what's the rock's speed?
- You're investigating a subway accident in which a train derailed while rounding an unbanked curve of radius 150 m, and you're asked to determine whether the train exceeded the 50-km/h speed limit for this curve. You interview a passenger who had been standing and holding a strap; she noticed that an unused strap was hanging at about a  $15^\circ$  angle to the vertical just before the accident. What do you conclude?
- A tetherball on a 1.55-m rope is struck so that it goes into circular motion in a horizontal plane, with the rope making a  $12.0^\circ$  angle to the horizontal. What's the ball's speed?
- An airplane goes into a turn 3.6 km in radius. If the banking angle required is  $28^\circ$  from the horizontal, what's the plane's speed?

#### Section 5.4 Friction

- Movers slide a 73-kg file cabinet along a floor where the coefficient of kinetic friction is 0.81. What's the frictional force on the cabinet?

27. A hockey puck is given an initial speed of 14 m/s. If it comes to rest in 56 m, what's the coefficient of kinetic friction?
28. Starting from rest, a skier slides 100 m down a  $28^\circ$  slope. How much longer does the run take if the coefficient of kinetic friction is 0.17 instead of 0?
29. A curve on a flat road has curvature radius 115 m, and a caution sign urges drivers to slow to 60 km/h before negotiating the curve. Is that speed sufficiently slow if the road is covered with snow, reducing the frictional coefficient to 0.20?

### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

30. **Example 5.4:** A 63.2-kg climber finds herself dangling over the edge of a cliff (see Fig. 5.7). Fortunately, she's connected by a rope of negligible mass to a 1220-kg rock located 48.6 m from the edge of the cliff. Unfortunately, the ice is frictionless, so the climber accelerates downward. What's her acceleration, and how much time does she have before the rock goes over the edge?
31. **Example 5.4:** A 63.2-kg climber finds herself dangling over the edge of a cliff (see Fig. 5.7). Fortunately, she's connected by a rope of negligible mass to a rock located 48.6 m from the edge of the cliff, and help is on the way. Unfortunately, it will be 36.5 s before help arrives, and the ice is frictionless. How massive must the rock be if she's to be saved?
32. **Example 5.4:** In an experimental setup like that shown in Fig. 5.39 (with Problem 76), the masses are  $m_1 = 14.9$  g and  $m_2 = 326$  g and the distance between  $m_2$  and the end of the air track is 67.2 cm. Mass  $m_1$  is initially 1 m above the floor, so it won't hit the floor before  $m_2$  reaches the end of the track. If both masses are initially at rest, how long will it take for  $m_2$  to reach the end of the track?
33. **Example 5.4:** Using an experimental setup like that shown in Fig. 5.39 (Problem 76), you want to simulate the acceleration of gravity on Jupiter's moon Callisto. If  $m_2 = 326$  g, what should  $m_1$  be so that  $m_1$  accelerates downward at the same rate as if it were dropped just above the surface of Callisto? You'll need to consult Appendix E.
34. **Example 5.7:** The "Full Throttle" roller coaster in California includes a loop-the-loop whose radius is 19.5 m at the top. What's the minimum speed for a roller-coaster car at the top of the loop if it's to stay on the track?
35. **Example 5.7:** A roller-coaster car is going at 17.7 m/s as it passes through the top of the loop-the-loop section of the "Full Throttle" roller coaster, where the curvature radius is 19.5 m. What are the magnitude and direction normal force that the car's seat exerts on a 72.1-kg passenger at the top of the loop?
36. **Example 5.7:** You whirl a bucket of water around in a vertical circle of radius 1.22 m. What minimum speed at the top of the circle will keep the water in the bucket?

37. **Example 5.7:** The bucket in the preceding problem can tolerate a maximum force of 65 N from water in the bucket; beyond that, the bucket will break. You fill the bucket with 3.96 kg of water and whirl it around in a vertical circle where the speed of the bucket at the top of the circle is 6.17 m/s. Does the bucket survive?

### Problems

38. Repeat Example 5.1, this time using a horizontal/vertical coordinate system.
39. A block is launched with initial speed 2.2 m/s up a  $35^\circ$  frictionless ramp. How far up the ramp does it slide?
40. In the process of mitosis (cell division), two motor proteins pull on a spindle pole, each with a 7.3-pN force. The two force vectors make a  $65^\circ$  angle. What's the magnitude of the force the two motor proteins exert on the spindle pole?
41. A 14.6-kg monkey hangs from the middle of a massless rope, each half of which makes an  $11.0^\circ$  angle with the horizontal. What's the rope tension? Compare with the monkey's weight.
42. A camper hangs a 26-kg pack between two trees using separate ropes of different lengths, as shown in Fig. 5.32. Find the tension in each rope.

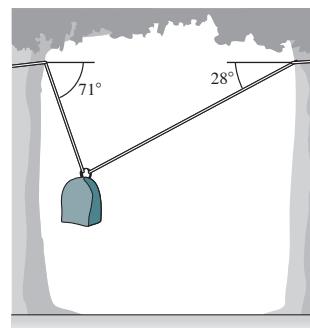


FIGURE 5.32 Problem 42

43. A mass  $m_1$  undergoes circular motion of radius  $R$  on a horizontal frictionless table, connected by a massless string through a hole in the table to a second mass  $m_2$  (Fig. 5.33). If  $m_2$  is stationary, find expressions for (a) the string tension and (b) the period of the circular motion.

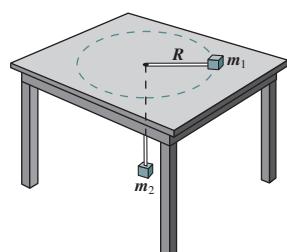


FIGURE 5.33 Problem 43

44. Patients with severe leg breaks **BIO** are often placed in *traction*, with an external force counteracting muscles that would pull too hard on the broken bones. In the arrangement shown in Fig. 5.34, the mass  $m$  is 4.8 kg, and the pulleys can be considered massless and frictionless. Find the horizontal traction force applied to the leg.

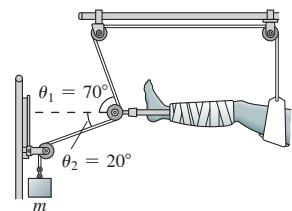


FIGURE 5.34 Problem 44

45. Riders on the "Great American Revolution" loop-the-loop roller coaster of Example 5.7 wear seatbelts as the roller coaster negotiates its 6.3-m-radius loop at 9.7 m/s. At the top of the loop, what are the magnitude and direction of the force exerted on a 60-kg rider (a) by the roller-coaster seat and (b) by the seatbelt? (c) What would happen if the rider unbuckled at this point?
46. A 45-kg skater rounds a 5.0-m-radius turn at 6.3 m/s. (a) What are the horizontal and vertical components of the force the ice exerts on her skate blades? (b) At what angle can she lean without falling over?
47. When a plane turns, it banks as shown in Fig. 5.35 to give the wings' lifting force  $\vec{F}_w$  a horizontal component that turns the plane. If a plane is flying level at 950 km/h and the banking angle  $\theta$  is not to exceed  $40^\circ$ , what's the minimum curvature radius for the turn?
48. You whirl a bucket of water in a vertical circle of radius 85 cm. What's the minimum speed that will keep the water from falling out?
49. A child sleds down an  $8.5^\circ$  slope at constant speed. What's the frictional coefficient between slope and sled?
50. The handle of a 22-kg lawnmower makes a  $35^\circ$  angle with the horizontal. If the coefficient of friction between lawnmower and ground is 0.68, what magnitude of force, applied in the direction of the handle, is required to push the mower at constant velocity? Compare with the mower's weight.
51. Repeat Example 5.4, now assuming that the coefficient of kinetic friction between rock and ice is 0.057.
52. A bat crashes into the vertical front of an accelerating subway train. If the frictional coefficient between bat and train is 0.86, what's the minimum acceleration of the train that will allow the bat to remain in place?
53. The coefficient of static friction between steel train wheels and steel rails is 0.58. The engineer of a train moving at 140 km/h spots a stalled car on the tracks 150 m ahead. If he applies the brakes so the wheels don't slip, will the train stop in time?
54. A bug crawls outward from the center of a CD spinning at 200 revolutions per minute. The coefficient of static friction between the bug's sticky feet and the disc surface is 1.2. How far does the bug get from the center before slipping?
55. A 310-g paperback book rests on a 1.2-kg textbook. A force is applied to the textbook, and the two books accelerate together from rest to 96 cm/s in 0.42 s. The textbook is then brought to a stop in 0.33 s, during which time the paperback slides off. Within what range does the coefficient of static friction between the two books lie?
56. Children sled down a 41-m-long hill inclined at  $25^\circ$ . At the bottom, the slope levels out. If the coefficient of friction is 0.12, how far do the children slide on the level ground?
57. In a typical front-wheel-drive car, 70% of the car's weight rides on the front wheels. If the coefficient of friction between tires and road is 0.61, what's the car's maximum acceleration?
58. A police officer investigating an accident estimates that a moving car hit a stationary car at 25 km/h. Before the collision, the car left 47-m-long skid marks as it braked. The officer determines that the coefficient of kinetic friction was 0.71. What was the initial speed of the moving car?
59. A slide inclined at  $35^\circ$  takes bathers into a swimming pool. With water sprayed onto the slide to make it essentially frictionless, a bather spends only one-third as much time on the slide as when it's dry. What's the coefficient of friction on the dry slide?

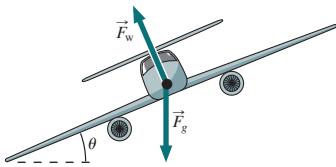


FIGURE 5.35 Problem 47

60. You try to move a heavy trunk, pushing down and forward at an angle of  $50^\circ$  below the horizontal. Show that, no matter how hard you push, it's impossible to budge the trunk if the coefficient of static friction exceeds 0.84.

61. A block is shoved up a  $22^\circ$  slope with an initial speed of 1.4 m/s. The coefficient of kinetic friction is 0.70. (a) How far up the slope will the block get? (b) Once stopped, will it slide back down?

62. A ball at the end of a string of length  $L$  is being whirled around in a horizontal circle. The string makes an angle  $\pi/6$  with the vertical. Find an expression for the ball's speed.

63. You're in traffic court, arguing against a speeding citation. You entered a 210-m-radius banked turn designed for 80 km/h, which was also the posted speed limit. The road was icy, yet you stayed in your lane, so you argue that you must have been going at the design speed. But police measurements show there was a frictional coefficient  $\mu = 0.15$  between tires and road. Is it possible you were speeding, and if so by how much?

64. A space station is in the shape of a hollow ring, 450 m in diameter (Fig. 5.36). At how many revolutions per minute should it rotate in order to simulate Earth's gravity—that is, so the normal force on an astronaut at the outer edge would equal the astronaut's weight on Earth?

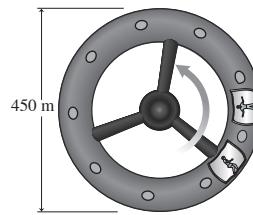


FIGURE 5.36 Problem 64

65. In a loop-the-loop roller coaster, show that a car moving too slowly would leave the track at an angle  $\phi$  given by  $\cos \phi = v^2/rg$ , where  $\phi$  is the angle made by a vertical line through the center of the circular track and a line from the center to the point where the car leaves the track.

66. Find an expression for the minimum frictional coefficient needed to keep a car with speed  $v$  on a banked turn of radius  $R$  designed for speed  $v_0$ .

67. An astronaut is training in an earthbound centrifuge that consists of a small chamber whirled horizontally at the end of a 5.1-m-long shaft. The astronaut places a notebook on the vertical wall of the chamber and it stays in place. If the coefficient of static friction is 0.62, what's the minimum rate, expressed in revolutions per minute, at which the centrifuge must be revolving?

68. Disc brakes are becoming increasingly popular on bicycles of all types, in part because the brake disc can tolerate large forces that would damage the wheel rim in a rim-brake system. In a typical disc brake, the force of the brake pads against the brake disc is 3.5 kN. (a) If the coefficient of friction is 0.51, what's the frictional force on the disc? (b) Compare with the frictional force associated with rim brakes, where the force of the brakes against the rim is 870 N and the frictional coefficient is 0.39. (This isn't the whole story, though, as you'll learn when you study torque in Chapter 10.)

69. Driving in thick fog on a horizontal road, you spot a tractor-trailer truck jackknifed across the road. To avert a collision, you could brake to a stop or swerve in a circular arc, as suggested in Fig. 5.37. Which option offers the greater margin of safety? Assume that there is the same coefficient of static friction in both cases, and that you maintain constant speed if you swerve.

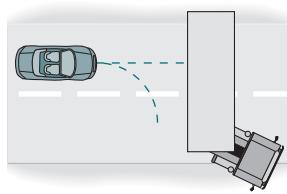


FIGURE 5.37 Problem 69

70. A block is projected up an incline at angle  $\theta$ . It returns to its initial position with half its initial speed. Show that the coefficient of kinetic friction is  $\mu_k = \frac{3}{5}\tan\theta$ .
71. A 2.1-kg mass is connected to a spring with spring constant  $k = 150 \text{ N/m}$  and unstretched length 18 cm. The two are mounted on a frictionless air table, with the free end of the spring attached to a frictionless pivot. The mass is set into circular motion at 1.4 m/s. Find the radius of its path.
72. A car moving at 77 km/h negotiates a 95-m-radius banked turn designed for 45 km/h. What's the minimum coefficient of friction needed if the car is to stay on the road?
73. Moving through a liquid, an object of mass  $m$  experiences a resistive drag force proportional to its velocity,  $F_{\text{drag}} = -bv$ , where  $b$  is a constant. (a) Find an expression for the object's speed as a function of time, when it starts from rest and falls vertically through the liquid. (b) Show that it reaches a terminal velocity  $mg/b$ .
74. A block is launched with speed  $v_0$  up a slope making an angle  $\theta$  with the horizontal; the coefficient of kinetic friction is  $\mu_k$ . (a) Find an expression for the distance  $d$  the block travels along the slope. (b) Use calculus to determine the angle that minimizes  $d$ .
75. A florist asks you to make a window display with two hanging pots as shown in Fig. 5.38. The florist is adamant that the strings be as invisible as possible, so you decide to use fishing line but want to use the thinnest line you can. Will fishing line that can withstand 100 N of tension work?

76. Figure 5.39 shows an apparatus used to verify Newton's second law. A "pulling mass"  $m_1$  hangs vertically from a string of negligible mass that passes over a pulley, also of negligible mass and with nearly frictionless bearings. The other end of the string is attached to a glider of mass  $m_2$  riding on an essentially frictionless, horizontal air track. Both  $m_1$  and  $m_2$  may be varied by placing additional masses on the pulling mass and glider. The experiment consists of starting the glider from rest and letting the pulling mass accelerate it down the track. Three photogates are used to time the glider over two distance intervals, and an experimental value for its acceleration is determined from these data, using constant-acceleration equations from Chapter 2. The table in the next column lists the measured acceleration for a number of mass combinations. (a) Determine a quantity that, when plotted on the horizontal axis of a graph, should result in a straight line of slope  $g$  when acceleration is plotted on the vertical axis. (b) Make your plot, fit a line to the plotted data, and report the experimentally determined value of  $g$ .

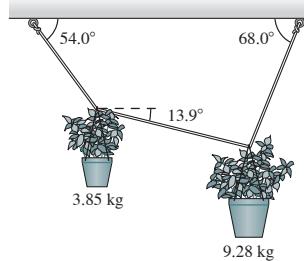


FIGURE 5.38 Problem 75

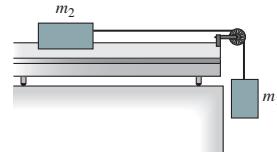


FIGURE 5.39 Problem 32, 33, and 76

$m_1$ (g)	$m_2$ (g)	$a$ ( $\text{m/s}^2$ )
10.0	170	0.521
10.0	270	0.376
20.0	370	0.274
20.0	170	1.06
20.0	270	0.652
20.0	370	0.534

### Passage Problems

A *spiral* is an ice-skating position in which the skater glides on one foot with the other foot held above hip level. It's a required element in women's singles figure-skating competition and is related to the arabesque performed in ballet. Figure 5.40 shows Canadian skater Kaetlyn Osmond executing a spiral during her medal-winning performance at the 2018 Winter Olympics in Gangneung, South Korea.

77. From the photo, you can conclude that the skater is  
 a. executing a turn to her left.  
 b. executing a turn to her right.  
 c. moving in a straight line out of the page.
78. The net force on the skater  
 a. points to her left.  
 b. points to her right.  
 c. is zero.
79. If the skater were to execute the same maneuver but at higher speed, the tilt evident in the photo would be  
 a. less.  
 b. greater.  
 c. unchanged.
80. The tilt angle  $\theta$  that the skater's body makes with the vertical is given approximately by  $\theta = \tan^{-1}(0.5)$ . From this you can conclude that the skater's centripetal acceleration has approximate magnitude  
 a. 0.  
 b.  $0.5 \text{ m/s}^2$ .  
 c.  $5 \text{ m/s}^2$ .  
 d. can't be determined without knowing the skater's speed.

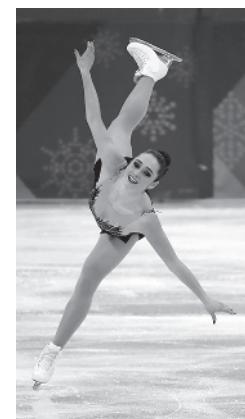


FIGURE 5.40 Passage Problems 77–80

## Answers to Chapter Questions

### Answer to Chapter Opening Question

The airplane tips, or *banks*, so there's a horizontal component of the aerodynamic force on the wings. That component provides the  $mv^2/r$  force that keeps the plane in its circular path. The vertical component of the aerodynamic force is what balances the gravitational force, keeping the plane aloft.

### Answers to GOT IT? Questions

- 5.1 (c) Equal—but only because of the  $45^\circ$  slope. At larger angles, the tension would be greater than the weight; at smaller angles, less.
- 5.2 (a) The left hand in Fig. 5.9 and the hook in this figure play exactly the same role, balancing the 1-N tension force in the rope.
- 5.3 (c)
- 5.4 (c) Greater because the chain is pulling downward, making the normal force greater than the log's weight.

# Energy, Work, and Power

## Skills & Knowledge You'll Need

- Newton's second law of motion (Section 4.2)
- The forces due to gravity and springs (Sections 4.4 and 4.6)
- The calculus operation of integration (we'll introduce this if you haven't yet seen it in your calculus course)

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 6.1** Explain the terms *energy* and *work* in the context of physics.
- LO 6.2** Find the work done by a force that doesn't vary with position.
- LO 6.3** Calculate the dot product of two vectors.
- LO 6.4** Find the work done by a force that varies with position.
- LO 6.5** Define *kinetic energy* and find its value given an object's mass and speed.
- LO 6.6** State the work–kinetic energy theorem.
- LO 6.7** Distinguish energy from power.

Figure 6.1a shows a skier starting from rest at the top of a uniform slope. What's the skier's speed at the bottom? You can solve this problem by applying Newton's second law to find the skier's constant acceleration and then the speed. But what about the skier in Fig. 6.1b? Here the slope is continuously changing and so is the acceleration. Constant-acceleration equations don't apply, so solving for the details of the skier's motion would be difficult.

There are many cases where motion involves changing forces and accelerations. In this chapter, we introduce the important physical concepts of **work** and **energy**. These powerful concepts enable us to "shortcut" the detailed application of Newton's law to analyze these more complex situations. But these new concepts have significance far beyond their practical applications in problem solving. Energy, in particular, is a fundamental aspect of the universe—a "substance" akin to, and every bit as real as, matter itself. In fact, as you'll see when you explore relativity in Chapter 33, energy and matter are really both aspects of a single "substance," linked by Einstein's equation  $E = mc^2$ .

## 6.1 Energy

### LO 6.1 Explain the terms energy and work in the context of physics.

"Energy" is a word you hear every day. You buy energy when you fill your car's gas tank. You use energy to heat your home and cook your food. You experience the awesome energy of a hurricane, a tornado, or an explosion. You sense the energy inherent in a truck barreling down the highway, or the energy generated by an airplane's engines as it surges down the runway. A power line crosses the countryside and, even though you can't see anything but the wires, you know that the line is carrying energy to a distant city. Your cell phone dies, its battery discharged, and you know that it needs to be replenished with energy. Your own body produces energy, which you sense as you climb a



Climbing a mountain, these cyclists do work against gravity. Does that work depend on the route chosen?

mountain, cycle, walk, or even think. You may have helped insulate or weatherize a home to reduce its energy loss. And the colossal rate at which humankind consumes energy is much on our minds as we become increasingly aware of the impact energy consumption has on our planet.

Actually, words like “consume,” “generate,” “produce,” and “loss,” although widely used in the context of energy, are misleading. That’s because energy is *conserved*—meaning that it can change forms but cannot be created or destroyed. Much of your study of energy will involve ways to transform energy from one form to another or transfer it from place to place—all the while conserving the total amount of energy. Conservation of energy is a profound idea in physics, one whose richness we’ll explore throughout the rest of this book.

Here in Part 1, we’ll focus on *mechanical energy*, associated with macroscopic objects such as cars, planets, baseballs, people, and springs. This chapter introduces *kinetic energy*, the energy of motion, as applied to such macroscopic objects. You’ll see how the act of doing *work* is one way to transfer energy to an object. Chapter 7 will add the idea of *potential energy* and will develop a statement of energy conservation as it applies to mechanical energy. We’ll also need to consider so-called *internal energy*, associated with random motions and configuration changes at the molecular level. In Part 3 (thermodynamics), we’ll explore internal energy and show how it’s incorporated into a broader statement of energy conservation. In Part 3, you’ll also see how *heat* describes another way of transferring energy. In Part 4, on electricity and magnetism, we’ll introduce forms of *electromagnetic energy* and associated energy-transfer processes. In Part 6, you’ll see that energy concepts survive even into the realm of quantum physics—no mean feat given that many other ideas from classical physics become meaningless in the quantum realm.

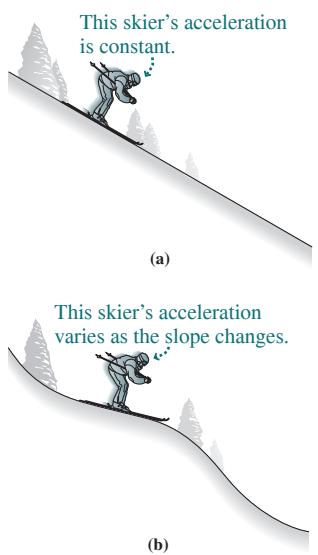


FIGURE 6.1 Two skiers.

## Energy and Systems

What’s got energy? A moving car does. So does a whole highway full of cars. A warm house has energy. So does a stretched spring. A hurricane has energy, and so does our whole planet. When we’re accounting for energy and studying energy flows and transformations, we need to have in mind a **system** whose energy we’re interested in. Typically the system contains one or more objects, and it’s defined by a closed boundary. Everything within the boundary is part of the system, whereas everything outside comprises the environment that surrounds the system. Like coordinate axes, a system is something you define for your convenience. Once you’ve defined a system, then you can talk about the system’s energy and what forms it takes; about energy transformations within the system; and about any transfers of energy into or out of the system. Figure 6.2 shows conceptually how to think about energy in the context of a system, while the Application shows how the idea behind Fig. 6.2 is applied in the important case of climate modeling.

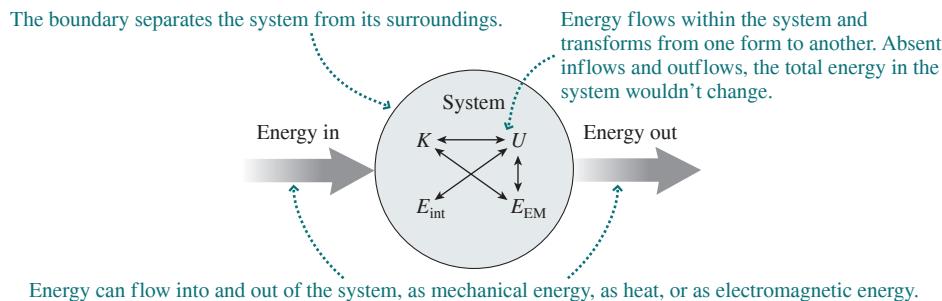


FIGURE 6.2 Diagram showing energy flows both within a system and across its boundaries, as well as transformations between different types of energy within the system. We show four common forms of energy: kinetic energy ( $K$ ) and potential energy ( $U$ ), subjects of this chapter and the next; internal or thermal energy ( $E_{\text{int}}$ ), a subject of Part 3; and electromagnetic energy, covered in Part 4.

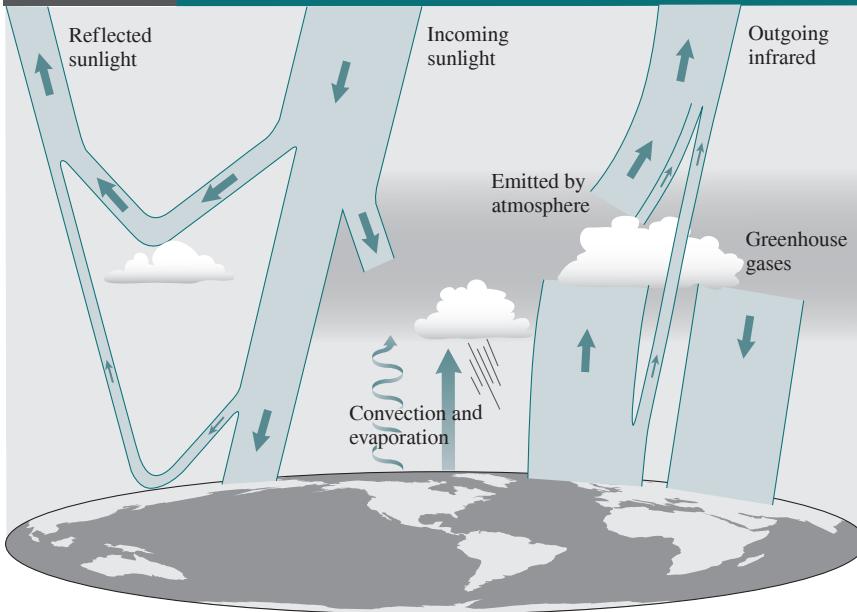
**APPLICATION****Climate Modeling**

Figure 6.2 isn't just a pedagogical aid to understanding energy and systems concepts; it's a framework for realistic models used to characterize energy-related systems ranging from biological organisms to nuclear power plants to Earth's climate. The figure here shows the energy-systems concept as climate scientists use it. The system comprises Earth and its atmosphere. Many of the arrows represent energy flows and transformations between Earth and atmosphere—that is, within the system. These involve electromagnetic energy emitted in the form of infrared radiation, as well as energy associated with warm air and water vapor rising into the atmosphere. The three arrows at the top show energy exchanges with the planet's surroundings—that is, energy crossing the system boundary. As you'll see in Chapter 16, the incoming and outgoing flows must balance for climate to remain stable.

In this chapter, we'll often choose our system to coincide with a single object, and in that case we'll use the term "object" interchangeably with "system." But in Chapter 7, we'll need to consider systems comprising at least two interacting objects, and when we get to Chapter 9, we'll be dealing with systems of many particles. In these more complex situations we'll have to decide carefully what's in our system and what's outside it, and we'll need to make more use of systems terminology.

## 6.2 Work

**LO 6.2** *Find the work done by a force that doesn't vary with position.*

**LO 6.3** *Calculate the dot product of two vectors.*

One way to transfer energy to a system is to act on the system with an external force—a force applied by an entity that isn't part of the system. In this case we say that the force does **work** on the system. Doing work is an inherently mechanical process, involving the concept of force that you're already familiar with from Newtonian mechanics.

Imagine carrying a box upstairs. You have to apply an upward force on the box as you climb the stairs. Define the box as your system, and the force you apply is an external force that does work on the system. Thus you transfer energy from your body to the box by doing mechanical work.

You've already got an intuitive sense of work and how it's quantified. Make that box heavier, or the stairs higher, and you do more work. Or try pushing a stalled car: The harder you push, or the farther you push, the more work you do. The precise definition of work reflects your intuition:

For an object moving in one dimension, the work  $W$  done on the object by constant applied force  $\vec{F}$  is

$$W = F_x \Delta x \quad (6.1)$$

where  $F_x$  is the component of the force in the direction of the object's motion and  $\Delta x$  is the object's displacement.

The force  $\vec{F}$  need not be the net force. If you're interested, for example, in how much work *you* must do to drag a heavy box across the floor, then  $\vec{F}$  is the force *you apply* and  $W$  is the work *you do*.

Equation 6.1 shows that the SI unit of work is the newton-meter ( $N \cdot m$ ). One newton-meter is given the name **joule**, in honor of the 19th-century British physicist and brewer James Joule.

Our definition of work involves an object's displacement. What that means is clear in the case of a rigid object like a block or a ball. But what about a spring, which can stretch or compress in response to an applied force? Or your own body, whose configuration alters as you lift, run, jump, dance, or swim? Or a complex system of many parts, with a force applied to just one part? In all these cases our definition of work still applies, provided we interpret "displacement" to mean the displacement of the point at which the force is applied. For a system consisting of a rigid object, that's the same as the object's displacement. For a system consisting of a flexible object or many independent particles, it's not necessarily the same as the system's overall displacement.

Figure 6.3 considers several cases of work done on rigid objects. According to Equation 6.1, the person pushing the car in Fig. 6.3a does work equal to the force he applies times the distance the car moves. But the person pulling her luggage in Fig. 6.3b does work equal to only the horizontal component of the force she applies times the distance the luggage moves. Furthermore, by our definition, the waiter of Fig. 6.3c does no work on the tray as he carries it at constant velocity. Why not? Because the force on the tray is vertical while the tray's displacement is horizontal; there's no component of force in the direction of the tray's motion.

Work can be positive or negative (Fig. 6.4). When a force acts in the same general direction as the motion, it does positive work. A force acting at  $90^\circ$  to the motion does no work. And when a force acts to oppose motion, it does negative work.

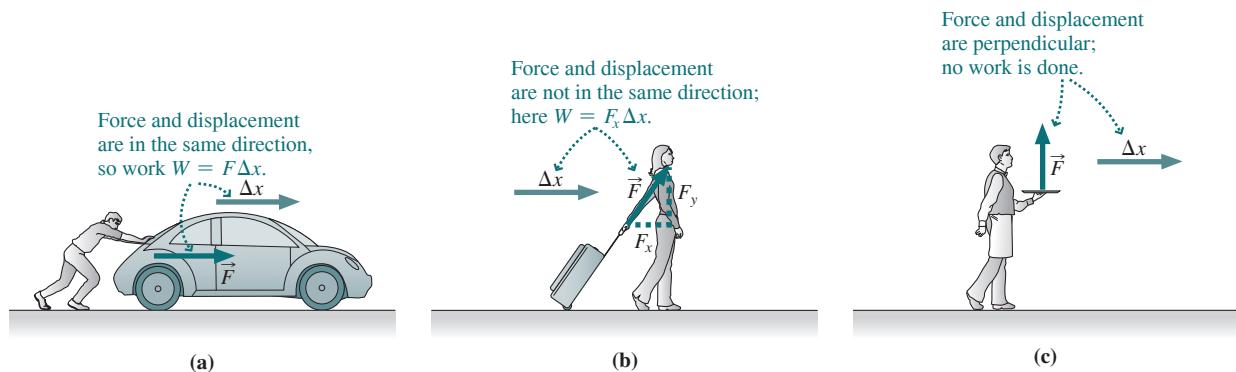


FIGURE 6.3 Work depends on the orientation of force and displacement.

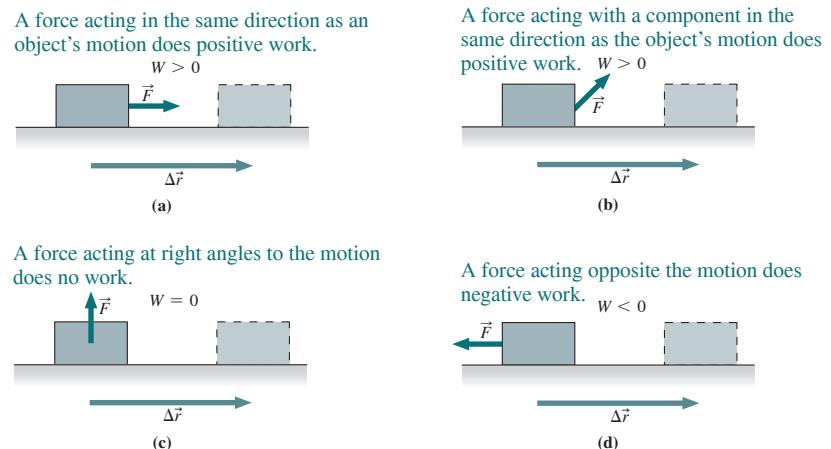


FIGURE 6.4 The sign of the work depends on the relative directions of force and motion. We use  $\Delta \vec{r}$  here to indicate that the displacement can be any vector.

**EXAMPLE 6.1****Calculating Work: Pushing a Car**

The person in Fig. 6.3a pushes with a force of 650 N, moving the car a distance of 4.3 m. How much work does he do?

**INTERPRET** This problem is about work. We identify the car as the object *on* which the work is done and the person as the agent *doing* the work.

**DEVELOP** Figure 6.3a is our drawing. Equation 6.1,  $W = F_x \Delta x$ , is the relevant equation, so our plan is to apply that equation. The

force is in the same direction as the displacement, so 650 N is the component we need.

**EVALUATE** We apply Equation 6.1 to get

$$W = F_x \Delta x = (650 \text{ N})(4.3 \text{ m}) = 2.8 \text{ kJ}$$

**ASSESS** Make sense? The units work out, with newtons times meters giving joules—here expressed in kilojoules for convenience.

**EXAMPLE 6.2****Calculating Work: Pulling Luggage**

The airline passenger in Fig. 6.3b exerts a 60-N force on her luggage, pulling at  $35^\circ$  to the horizontal. How much work does she do in pulling the luggage 45 m on a level floor?

**INTERPRET** Again, this example is about work—here done by the passenger *on* the luggage.

**DEVELOP** Equation 6.1,  $W = F_x \Delta x$ , applies here, but because the displacement is horizontal while the force isn't, we need to find the horizontal force component. We've redrawn the force vector in Fig. 6.5 to determine  $F_x$ .

**EVALUATE** Applying Equation 6.1 to the  $x$ -component from Fig. 6.5, we get

$$W = F_x \Delta x = [(60 \text{ N})(\cos 35^\circ)](45 \text{ m}) = 2.2 \text{ kJ}$$

**ASSESS** The answer of 2.2 kJ is less than the product of 60 N and 45 m, and that makes sense because only the  $x$ -component of that 60-N force contributes to the work.

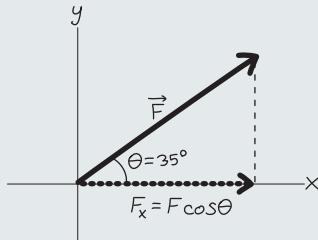


FIGURE 6.5 Our sketch for Example 6.2.

**Work and the Scalar Product**

Work is a *scalar* quantity; it's specified completely by a single number and has no direction. But Fig. 6.3 shows that work involves a relation between two *vectors*: the force  $\vec{F}$  and the displacement, designated more generally by  $\Delta\vec{r}$ . If  $\theta$  is the angle between these two vectors, then the component of the force along the direction of motion is  $F \cos \theta$ , and the work is

$$W = (F \cos \theta)(\Delta r) = F \Delta r \cos \theta \quad (6.2)$$

This equation is a generalization of our definition 6.1. If we choose the  $x$ -axis along  $\Delta\vec{r}$ , then  $\Delta r = \Delta x$  and  $F \cos \theta = F_x$ , so we recover Equation 6.1.

Equation 6.2 shows that work is the product of the magnitudes of the vectors  $\vec{F}$  and  $\Delta\vec{r}$  and the cosine of the angle between them. This combination occurs so often that it's given a special name: the **scalar product** of two vectors.

The scalar product of any two vectors  $\vec{A}$  and  $\vec{B}$  is defined as

$$\vec{A} \cdot \vec{B} = AB \cos \theta \quad (6.3)$$

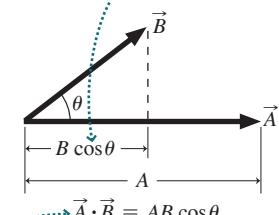
where  $A$  and  $B$  are the magnitudes of the vectors and  $\theta$  is the angle between them.

The term *scalar product* should remind you that  $\vec{A} \cdot \vec{B}$  is itself a *scalar*, even though it's formed from two vectors. A centered dot designates the scalar product; for this reason, it's also called the **dot product**. Figure 6.6 gives a geometric interpretation.

The scalar product is commutative:  $\vec{A} \cdot \vec{B} = \vec{B} \cdot \vec{A}$ , and it's also distributive:

$\vec{A} \cdot (\vec{B} + \vec{C}) = \vec{A} \cdot \vec{B} + \vec{A} \cdot \vec{C}$ . With vectors expressed in unit vector notation, Problem 52 shows how the distributive law gives a simple form for the scalar product. If  $\vec{A} = A_x \hat{i} + A_y \hat{j} + A_z \hat{k}$  and  $\vec{B} = B_x \hat{i} + B_y \hat{j} + B_z \hat{k}$ , then

The component of  $\vec{B}$  in the direction of  $\vec{A}$  is  $B \cos \theta$ .



The scalar product is the magnitude of A multiplied by the component of B in the direction of A.

FIGURE 6.6 Geometric interpretation of the scalar product.

$$\vec{A} \cdot \vec{B} = A_x B_x + A_y B_y + A_z B_z \quad (6.4)$$

Comparing Equation 6.2 with Equation 6.3 shows that the work done by a constant force  $\vec{F}$  moving an object through a straight-line displacement  $\Delta\vec{r}$  can be expressed using the dot product:

$W$  is the work done by a force that doesn't vary with position and that acts on an object as it moves in a straight line.

$\vec{F}$  is the force.  
 $\Delta\vec{r}$  is the displacement the object undergoes while the force  $\vec{F}$  acts on it.

$$W = \vec{F} \cdot \Delta\vec{r} \quad (6.5)$$

The dot product means to multiply the magnitudes of the two vectors and the sine of the angle between them:  $\vec{F} \cdot \Delta\vec{r} = F\Delta r \sin \theta$ .

As the examples below show, either Equation 6.3 or Equation 6.4 can be used in evaluating the dot product in this expression for work.

### EXAMPLE 6.3

### Work and the Scalar Product: A Tugboat

A tugboat pushes a cruise ship with force  $\vec{F} = 1.2\hat{i} + 2.3\hat{j}$  MN, moving the ship along a straight path with displacement  $\Delta\vec{r} = 380\hat{i} + 460\hat{j}$  m. Find (a) the work done by the tugboat and (b) the angle between the force and displacement.

**INTERPRET** Part (a) is about calculating work given force and displacement in unit vector notation. Part (b) is less obvious, but knowing that work involves the angle between force and displacement provides a clue, suggesting that the answer to (a) may lead us to (b).

**DEVELOP** Figure 6.7 is a sketch of the two vectors, which will serve as a check on our final answer. For (a), we want to use Equation 6.5,  $W = \vec{F} \cdot \Delta\vec{r}$ , with the scalar product in unit vector notation given by Equation 6.4. That will give us the work  $W$ . We also have the vectors  $\vec{F}$  and  $\Delta\vec{r}$ , so we can find their magnitudes. That suggests a strategy for (b): Given the work and the vector magnitudes, we can write Equation 6.3 with a single unknown, the angle  $\theta$  that we're asked to find.

**EVALUATE** For (a), we use Equations 6.5 and 6.4, respectively, to write

$$\begin{aligned} W &= \vec{F} \cdot \Delta\vec{r} = F_x \Delta x + F_y \Delta y \\ &= (1.2 \text{ MN})(380 \text{ m}) + (2.3 \text{ MN})(460 \text{ m}) = 1510 \text{ MJ} \end{aligned}$$

The first equality is from Equation 6.5; the second gives the scalar product in unit vector form from Equation 6.4.  $\Delta x$  and  $\Delta y$  are the components of the displacement  $\Delta\vec{r}$ . Now that we have the work, we can get the angle. The magnitude of a vector comes from

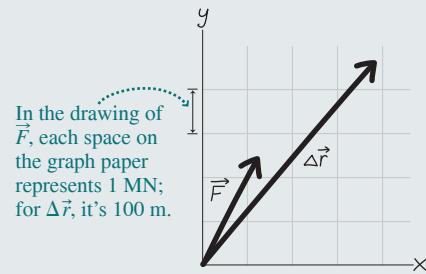


FIGURE 6.7 Our sketch of the vectors in Example 6.3.

the Pythagorean theorem, as expressed in Equation 3.1. So we have  $F = \sqrt{F_x^2 + F_y^2} = \sqrt{(1.2 \text{ MN})^2 + (2.3 \text{ MN})^2} = 2.59 \text{ MN}$ ; a similar calculation gives  $\Delta r = 597 \text{ m}$ . Now we solve Equation 6.3 for  $\theta$ :

$$\theta = \cos^{-1}\left(\frac{W}{F \Delta r}\right) = \cos^{-1}\left(\frac{1510 \text{ MJ}}{(2.59 \text{ MN})(597 \text{ m})}\right) = 12^\circ$$

**ASSESS** This small angle is consistent with our sketch in Fig. 6.7. And it makes good physical sense: A tugboat is most efficient when pushing in the direction the ship is supposed to go. Note how the units work out in that last calculation: MJ in the numerator and MN·m in the denominator. But 1 N·m is 1 J, so that's MJ in the denominator, too, giving the dimensionless cosine.

### GOT IT?

- 6.1** Two objects are each displaced the same distance, one by a force  $F$  pushing in the direction of motion and the other by a force  $2F$  pushing at  $45^\circ$  to the direction of motion. Which force does more work? (a)  $F$ ; (b)  $2F$ ; (c) they do equal work

## 6.3 Forces That Vary

### LO 6.4 Find the work done by a force that varies with position.

Often the force applied to an object varies with position. Important examples include electric and gravitational forces, which vary with the distance between interacting objects. The

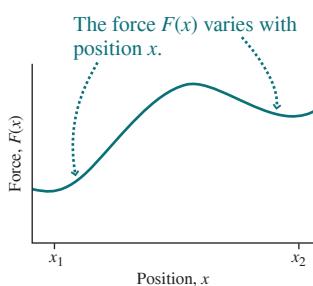


FIGURE 6.8 A varying force.

force of a spring that we encountered in Chapter 4 provides another example; as the spring stretches, the force increases.

Figure 6.8 is a plot of a force  $F$  that varies with position  $x$ . We want to find the work done as an object moves from  $x_1$  to  $x_2$ . We can't simply write  $F(x_2 - x_1)$ ; since the force varies, there's no single value for  $F$ . What we can do, though, is divide the region into rectangles of width  $\Delta x$ , as shown in Fig. 6.9a. If we make  $\Delta x$  small enough, the force will be nearly constant over the width of each rectangle (Fig. 6.9b). Then the work  $\Delta W$  done in moving the width  $\Delta x$  of one such rectangle is approximately  $F(x) \Delta x$ , where  $F(x)$  is the force at the midpoint  $x$  of that rectangle. We write  $F(x)$  to show explicitly that the force is a function of position. Note that the quantity  $F(x) \Delta x$  is the area of the rectangle expressed in the appropriate units ( $\text{N}\cdot\text{m}$ , or, equivalently,  $\text{J}$ ).

Suppose there are  $N$  rectangles. Let  $x_i$  be the midpoint of the  $i$ th rectangle. Then the total work done in moving from  $x_1$  to  $x_2$  is given approximately by the sum of the individual amounts of work  $\Delta W_i$  associated with each rectangle, or

$$W = \sum_{i=1}^N \Delta W_i = \sum_{i=1}^N F(x_i) \Delta x \quad (6.6)$$

How good is this approximation? That depends on how small we make the rectangles. Suppose we let them get arbitrarily small. Then the number of rectangles grows arbitrarily large. In the limit of infinitely many infinitesimally small rectangles, the approximation in Equation 6.6 becomes exact (Fig. 6.9c). Then we have

$$W = \lim_{\Delta x \rightarrow 0} \sum_i F(x_i) \Delta x \quad (6.7)$$

where the sum is over all the infinitesimal rectangles between  $x_1$  and  $x_2$ . The quantity on the right-hand side of Equation 6.7 is the **definite integral** of the function  $F(x)$  over the interval from  $x_1$  to  $x_2$ . We introduce special symbolism for the limiting process of Equation 6.7:

$$W = \int_{x_1}^{x_2} F(x) dx \quad \left( \begin{array}{l} \text{work done by a varying} \\ \text{force in one dimension} \end{array} \right) \quad (6.8)$$

Equation 6.8 means exactly the same thing as Equation 6.7: It tells us to divide the interval from  $x_1$  to  $x_2$  into many small rectangles of width  $\Delta x$ , to multiply the value of the function  $F(x)$  at each rectangle by the width  $\Delta x$ , and to sum those products. In the limit of infinitely many infinitesimally small rectangles, the result of this process gives us the value of the definite integral. You can think of the symbol  $\int$  in Equation 6.8 as standing for “sum” and the symbol  $dx$  as a limiting case of arbitrarily small  $\Delta x$ . The definite integral has a simple geometric interpretation: It's the area under the curve  $F(x)$  between the limits  $x_1$  and  $x_2$  (Fig. 6.9c).

Computers approximate the infinite sum implied in Equation 6.8 using a large number of very small rectangles. But calculus often provides a better way.

### Tactics 6.1 INTEGRATING

In your calculus course you've learned, or will soon learn, that integrals and derivatives are inverses. In Section 2.2, you saw that the derivative of  $x^n$  is  $nx^{n-1}$ ; therefore, the integral of  $x^n$  is  $(x^{n+1})/(n+1)$ , as you can verify by differentiating. We determine the value of a definite integral by evaluating this expression at upper and lower limits and subtracting:

$$\int_{x_1}^{x_2} x^n dx = \frac{x^{n+1}}{n+1} \Big|_{x_1}^{x_2} = \frac{x_2^{n+1}}{n+1} - \frac{x_1^{n+1}}{n+1} \quad (6.9)$$

where the middle term, with the vertical bar and the upper and lower limits, is a shorthand notation for the difference given in the rightmost term. Appendix A includes a review of integration and a table of common integrals.

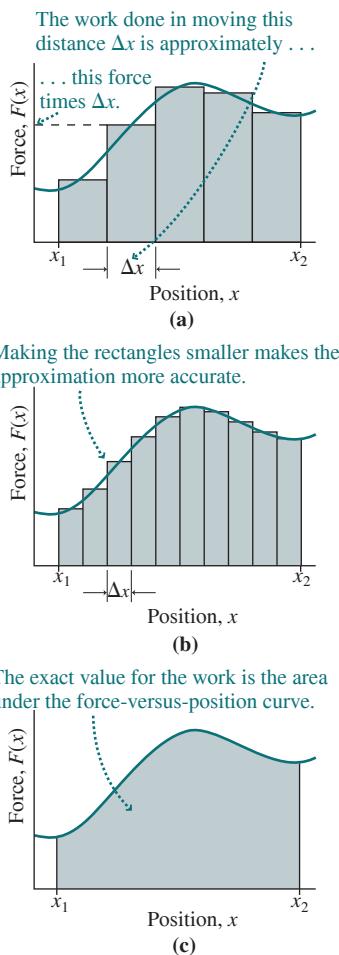


FIGURE 6.9 Work done by a varying force.

### Stretching a Spring

A spring provides an important example of a force that varies with position. We've seen that an ideal spring exerts a force proportional to its displacement from equilibrium:  $F = -kx$ , where  $k$  is the spring constant and the minus sign shows that the spring force is opposite the direction of the displacement. It's not just coiled springs that we're interested in here;

many physical systems, from molecules to skyscrapers to stars, behave as though they contain springs. The work and energy considerations we develop here apply to those systems as well.

The force exerted by a stretched spring is  $-kx$ , so the force exerted on the spring by the external stretching force is  $+kx$ . If we let  $x = 0$  be one end of the spring at equilibrium and if we hold the other end fixed and pull the spring until its free end is at a new position  $x$ , as shown in Fig. 6.10, then Equation 6.8 shows that the work done on the spring by the external force is

$$W = \int_0^x F(x) dx = \int_0^x kx dx = \frac{1}{2} kx^2 \Big|_0^x = \frac{1}{2} kx^2 - \frac{1}{2} k(0)^2 = \frac{1}{2} kx^2 \quad (6.10)$$

where we used Equation 6.9 to evaluate the integral. The more we stretch the spring, the greater the force we must apply—and that means we must do more work for a given amount of additional stretch. Figure 6.11 shows graphically why the work depends quadratically on the displacement. Although we used the word *stretch* in developing Equation 6.10, the result applies equally to compressing a spring a distance  $x$  from equilibrium. Note here that we're explicitly using the displacement of the force application point—the end of the spring—which in this case of this flexible system isn't the same as the displacement of the whole spring.

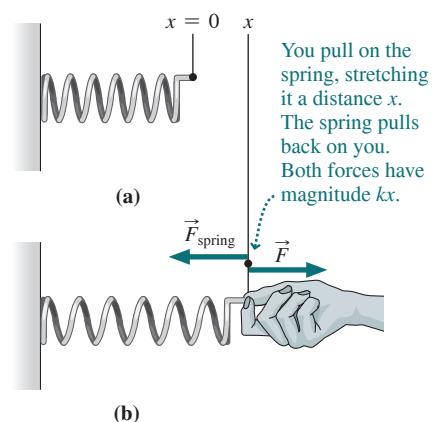


FIGURE 6.10 Stretching a spring.

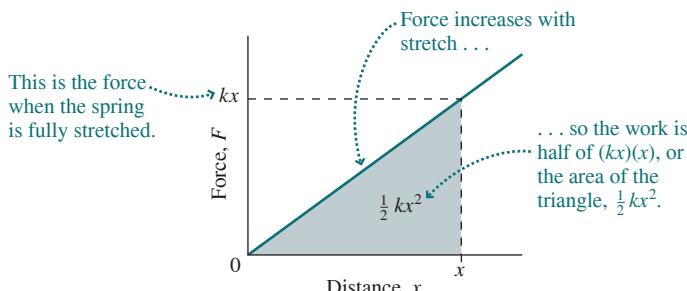


FIGURE 6.11 Work done in stretching a spring.

### EXAMPLE 6.4

### The Spring Force: Bungee Jumping Worked Example with Variation Problems

An elastic cord used in bungee jumping is normally 11 m long and has spring constant  $k = 250 \text{ N/m}$ . At the lowest point in a jump, the cord length has doubled. How much work has been done on the cord?

**INTERPRET** The bungee cord behaves like a spring—as we can tell because we're given its spring constant. So this example is about the work done in stretching a spring. We're told the 11-m-long cord length doubles in length, so it's stretched another 11 m.

**DEVELOP** Equation 6.10 gives the work done in stretching the cord a distance  $x$  from its unstretched configuration.

**EVALUATE** Applying Equation 6.10 gives

$$W = \frac{1}{2} kx^2 = (\frac{1}{2})(250 \text{ N/m})(11 \text{ m})^2 = 15 \text{ kN}\cdot\text{m} = 15 \text{ kJ}$$

**ASSESS** As you'll see shortly, that's just about equal to the work done by gravity on a 70-kg person dropping the 22-m distance from the attachment point of the cord to its full stretched extent. You'll see in the next chapter why this is no coincidence.

### CONCEPTUAL EXAMPLE 6.1

### Bungee Details

In Example 6.4, is the work done as the cord stretches its final meter greater than, less than, or equal to the work done in the first meter of stretch?

**EVALUATE** We're asked to compare the work done during the beginning and end of the bungee cord's stretch. We know that work is the area under the force-distance curve. We've sketched the force-distance curve in Fig. 6.12, highlighting the first and last meters. The figure makes it clear that the area associated with the last meter of stretch is much larger. Therefore, the work is greater.

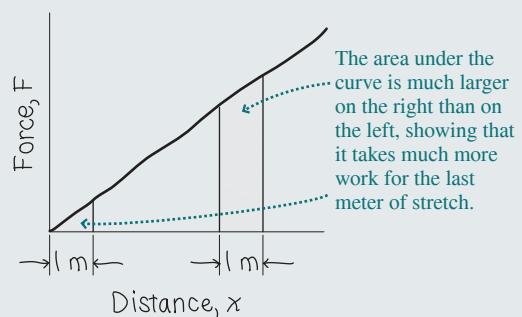


FIGURE 6.12 Conceptual Example 6.1.

(continued)

**ASSESS** Makes sense! Once the cord has stretched 10 m, it exerts a large force. That makes it much harder to stretch farther—and thus the final meter requires a lot of work. The first meter takes much less work because at first the cord exerts very little force.

**MAKING THE CONNECTION** Find the work involved in stretching during the first and last meters, and compare.

**EVALUATE** We can use Equation 6.10, but instead of the limits 0 and  $x$ , we'll use 0 and 1 m for the first meter of stretch, and 10 and 11 m for the last meter. The results are 125 J and 2.6 kJ. Stretching the final meter takes more than 20 times the work required for the first meter!

### EXAMPLE 6.5 A Varying Friction Force: Rough Sliding

Workers pushing a 180-kg trunk across a level floor encounter a 10-m-long region where the floor becomes increasingly rough. The coefficient of kinetic friction here is given by  $\mu_k = \mu_0 + ax^2$ , where  $\mu_0 = 0.17$ ,  $a = 0.0062 \text{ m}^{-2}$ , and  $x$  is the distance from the beginning of the rough region. How much work does it take to push the trunk across the region?

**INTERPRET** This example asks for the work needed to push the trunk. To move the trunk at constant speed, the workers must apply a force equal in magnitude to the frictional force. That force varies with position, so we're dealing with a varying force.

**DEVELOP** Our drawing, the force–position curve in Fig. 6.13, emphasizes that we have a varying force. Therefore, we have to integrate using

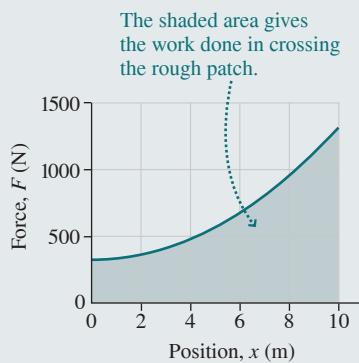


FIGURE 6.13 Force versus position for Example 6.5.

Equation 6.8,  $W = \int_{x_1}^{x_2} F(x) dx$ . And we need to know the frictional force, which is given by Equation 5.3:  $f_k = \mu_k n$ . On a level floor, the normal force is equal in magnitude to the weight,  $mg$ , so Equation 6.8

becomes  $W = \int_{x_1}^{x_2} \mu_k mg dx = \int_{x_1}^{x_2} mg(\mu_0 + ax^2) dx$ .

**EVALUATE** We evaluate the integral using Equation 6.9. Actually, we have two integrals here: one of  $dx$  alone and the other of  $x^2 dx$ . According to Equation 6.9, the former gives  $x$  and the latter  $x^3/3$ . So the result is

$$\begin{aligned} W &= \int_{x_1}^{x_2} mg(\mu_0 + ax^2) dx = mg(\mu_0 x + \frac{1}{3} ax^3) \Big|_{x_1}^{x_2} \\ &= mg \left[ (\mu_0 x_2 + \frac{1}{3} ax_2^3) - (\mu_0 x_1 + \frac{1}{3} ax_1^3) \right] \end{aligned}$$

Putting in the values given for  $\mu_0$ ,  $a$ , and  $m$ , using  $g = 9.8 \text{ m/s}^2$ , and taking  $x_1 = 0$  and  $x_2 = 10 \text{ m}$  for the endpoints of the rough interval, we get 6.6 kJ for our answer.

**ASSESS** Is this answer reasonable? Figure 6.13 shows that the maximum force is approximately 1.3 kN. If this force acted over the entire 10-m interval, the work would be about 13 kJ. But it's approximately half that because the coefficient of kinetic friction and therefore the force start out quite low. You can see that the area under the curve in Fig. 6.13 is about half the area of the full rectangle, so our answer of 6.6 kJ makes sense.



**DON'T JUST MULTIPLY!** When force depends on position, there's no single value for the force, so you can't just multiply force by distance to get work. You need either to integrate, as in

Example 6.5, or to use a result that's been derived by integration, as with the equation  $W = \frac{1}{2} kx^2$  used in Example 6.4.

### Force and Work in Two and Three Dimensions

Sometimes a force varies in both magnitude and direction or an object moves on a curved path; either way, the angle between force and motion may vary. Then we have to take the scalar products of the force  $\vec{F}$  with small displacements  $\Delta\vec{r}$ , writing  $\Delta W = \vec{F} \cdot \Delta\vec{r}$  for the work involved in one such small displacement. Adding them all gives the total work, which in the limit of very small displacements becomes a **line integral**:

$W$  is the work done by a force that may vary with position as it acts on an object moving on an arbitrary path. When the force doesn't vary and the path is straight, this reduces to Equation 6.5.

The integral sums all the work done in traversing the path, starting at position  $\vec{r}_1$  and ending at  $\vec{r}_2$ .

$$W = \int_{\vec{r}_1}^{\vec{r}_2} \vec{F} \cdot d\vec{r} \quad (6.11)$$

$\vec{F}$  is the force, whose magnitude and/or direction may vary along the path.  
 $d\vec{r}$  is an infinitesimal vector along the path.

The product  $\vec{F} \cdot d\vec{r}$  is the infinitesimal work done in traversing  $d\vec{r}$ .

where the integral is taken over a specific path between positions  $\vec{r}_1$  and  $\vec{r}_2$ . We've highlighted Equation 6.11 because it's the most general expression for work. Figure 6.14 shows

the meaning of this equation—which reduces to the much simpler Equation 6.5 when the force doesn’t vary and the path is straight. We won’t pursue line integrals further here, but they’ll prove useful in later chapters.

## Work Done against Gravity

When an object moves upward or downward on an arbitrary path, the angle between its displacement and the gravitational force varies. But here we don’t really need the line integral of Equation 6.11 because we can consider any path as consisting of small horizontal and vertical steps (Fig. 6.15). Only the vertical steps contribute to the work, which then becomes simply  $W = mgh$ , where  $h$  is the total height the object rises—a result that’s independent of the particular path taken. (As in our earlier work with gravity, this result holds only near Earth’s surface, where we can neglect the variation in gravity with height.)

### GOT IT?

- 6.2** Three forces have magnitudes in newtons that are numerically equal to these quantities: (a)  $x$ , (b)  $x^2$ , and (c)  $\sqrt{x}$ , where  $x$  is the position in meters. Each force acts on an object as it moves from  $x = 0$  to  $x = 1$  m. Notice that all three forces have the same values at the two endpoints—namely, 0 N and 1 N. Which of the forces (a), (b), or (c) does the most work? Which does the least?

## 6.4 Kinetic Energy

**LO 6.5** Define kinetic energy and find its value given an object’s mass and speed.

**LO 6.6** State the work–kinetic energy theorem.

Doing work on a system by applying a force is the mechanical way to transfer energy to the system. How does that energy manifest itself? Under some conditions it shows up as kinetic energy—energy of the system’s motion. Here we develop a relation between the *net work* done by all forces acting on a system that consists of a single rigid object and the resulting change in the object’s kinetic energy. In the process we’ll develop a simple formula for kinetic energy.

We’ll start by evaluating the net work done on the object and then apply Newton’s second law. With our single object, the net work is the work done by the sum of all forces acting on the object—that is, by the net force. So we’ll use the net force in our expression for work. We’ll consider the simple case of one-dimensional motion, with force and displacement along the same line. In that case, Equation 6.8 gives the net work:

$$W_{\text{net}} = \int F_{\text{net}} dx$$

But the net force can be written in terms of Newton’s second law:  $F_{\text{net}} = ma$ , or  $F_{\text{net}} = m dv/dt$ , so

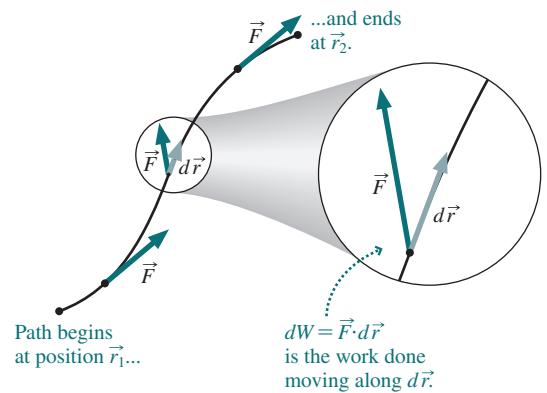
$$W_{\text{net}} = \int m \frac{dv}{dt} dx$$

The quantities  $dv$ ,  $dt$ , and  $dx$  arose as the limits of small numbers  $\Delta v$ ,  $\Delta t$ , and  $\Delta x$ . In calculus, you’ve seen that the limit of a product or quotient is the product or quotient of the individual terms involved. For these reasons, we can rearrange the symbols  $dv$ ,  $dt$ , and  $dx$  to rewrite our expression in the form

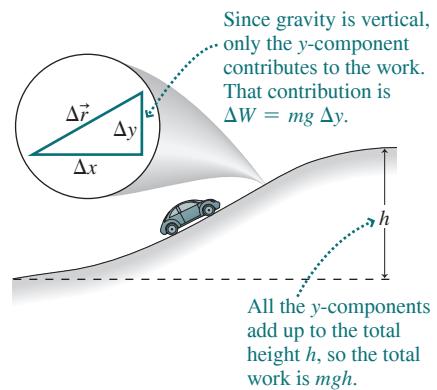
$$W_{\text{net}} = \int m dv \frac{dx}{dt}$$

But  $dx/dt = v$ , so we have

$$W_{\text{net}} = \int mv dv$$



**FIGURE 6.14** Meaning of Equation 6.11. We focus on a very small segment  $d\vec{r}$  of the path, so small that it’s essentially straight and the force doesn’t vary significantly over the small segment.



**FIGURE 6.15** A car climbs a hill with varying slope.

The integral here is like  $\int x dx$ , which we evaluate by raising the exponent and dividing by the new exponent. What about the limits? Suppose our object starts at some speed  $v_1$  and ends at  $v_2$ . Then we have

$$W_{\text{net}} = \int_{v_1}^{v_2} mv dv = \frac{1}{2} mv^2 \Big|_{v_1}^{v_2} = \frac{1}{2} mv_2^2 - \frac{1}{2} mv_1^2 \quad (6.12)$$

Equation 6.12 shows that an object has associated with it a quantity  $\frac{1}{2}mv^2$  that changes when, and only when, net work is done on the object. This quantity is the object's **kinetic energy**:

The kinetic energy  $K$  of an object of mass  $m$  moving at speed  $v$  is

$$K = \frac{1}{2}mv^2 \quad (6.13)$$

*K* is kinetic energy—the energy associated with an object's motion.  
No vectors signs; it's a scalar.

*m* is the object's mass.

*v* is the object's speed. It's squared, showing that direction of motion doesn't matter.

Like velocity, kinetic energy is a relative term; its value depends on the reference frame in which it's measured. But unlike velocity, kinetic energy is a *scalar*. And since it depends on the *square* of the velocity, kinetic energy is never negative. All moving objects possess kinetic energy.

Equation 6.12 equates the change in an object's kinetic energy with the net work done on the object, a result known as the **work–kinetic energy theorem**:

**Work–kinetic energy theorem:** The change in an object's kinetic energy is equal to the net work done on the object:

$$\Delta K = W_{\text{net}} \quad (6.14)$$

*ΔK* is the change in an object's kinetic energy.

*W<sub>net</sub>* is the work done on the object by the *net* force—the vector sum of all the forces acting on it.

The equal sign shows that kinetic energy changes only when net work is done on an object.

Equations 6.12 and 6.14 are equivalent statements of the work–kinetic energy theorem.

We've seen that work can be positive or negative; the work–kinetic energy theorem (Equation 6.14) therefore shows that changes in kinetic energy are correspondingly positive or negative. If I stop a moving object, for example, I reduce its kinetic energy from  $\frac{1}{2}mv^2$  to zero—a change  $\Delta K = -\frac{1}{2}mv^2$ . So I do negative work by applying a force directed opposite to the motion. By Newton's third law, the object exerts an equal but oppositely directed force on me, therefore doing positive work  $\frac{1}{2}mv^2$  on me. So an object of mass  $m$  moving at speed  $v$  can do work equal to its initial kinetic energy,  $\frac{1}{2}mv^2$ , if it's brought to rest.

## EXAMPLE 6.6

### Work and Kinetic Energy: Passing Zone

A 1400-kg car enters a passing zone and accelerates from 70 to 95 km/h. (a) How much work is done on the car? (b) If the car then brakes to a stop, how much work is done on it?

**INTERPRET** Here we're asked about work, but we aren't given any forces as we were in previous examples. However, we now know the work–kinetic energy theorem. Kinetic energy depends on speed, which we're given. So this is a problem involving the work–kinetic energy theorem.

**DEVELOP** The relevant equation is Equation 6.14 or its more explicit form, Equation 6.12. Since we're given speeds, it's easiest to work with Equation 6.12.

**EVALUATE** For (a), Equation 6.12 gives

$$W_{\text{net}} = \frac{1}{2}mv_2^2 - \frac{1}{2}mv_1^2 = \frac{1}{2}m(v_2^2 - v_1^2) \\ = (\frac{1}{2})(1400 \text{ kg})[(26.4 \text{ m/s})^2 - (19.4 \text{ m/s})^2] = 220 \text{ kJ}$$

where we converted the speeds to meters per second before doing the calculation. The work–kinetic energy theorem applies equally to the braking car in (b), for which  $v_1 = 26.4 \text{ m/s}$  and  $v_2 = 0$ . Here we have

$$W_{\text{net}} = \frac{1}{2}m(v_2^2 - v_1^2) = (\frac{1}{2})(1400 \text{ kg})[0^2 - (26.4 \text{ m/s})^2] \\ = -490 \text{ kJ}$$

**ASSESS** Make sense? Yes: There's a greater change in speed and thus in kinetic energy in the braking case, so the magnitude of the work involved is greater. Our second answer is negative because

stopping the car means applying a force that *opposes* its motion—and that means negative work is done on the car.

### GOT IT?

- 6.3** For each situation, tell whether the net work done on a soccer ball is (a) positive, (b) negative, or (c) 0. (1) You carry the ball out to the field, walking at constant speed. (2) You kick the stationary ball, starting it flying through the air. (3) The ball rolls along the field, gradually coming to a halt.

## Energy Units

Since work is equal to the change in kinetic energy, the units of energy are the same as those of work. In SI, the unit of energy is therefore the joule, equal to 1 newton-meter. In science, engineering, and everyday life, though, you'll encounter other energy units. Scientific units include the **erg**, used in the centimeter-gram-second system of units and equal to  $10^{-7}$  J; the **electronvolt**, used in nuclear, atomic, and molecular physics; and the **calorie**, used in thermodynamics and to describe the energies of chemical reactions. English units include the **foot-pound** and the **British thermal unit** (Btu); the latter is commonly used in engineering of heating and cooling systems. Your electric company charges you for energy use in **kilowatt-hours** (kW·h); we'll see in the next section how this unit relates to the SI joule. Appendix C contains an extensive table of energy units and conversion factors as well as the energy contents of common fuels.

## 6.5 Power

### LO 6.7 Distinguish energy from power.

Climbing a flight of stairs requires the same amount of work no matter how fast you go. But it's harder to *run* up the stairs than to walk. Harder in what sense? In the sense that you do the same work in a shorter time; the *rate* at which you do the work is greater. We define **power** as the rate of doing work:

If an amount of work  $\Delta W$  is done in time  $\Delta t$ , then the average power  $\bar{P}$  is

$$\bar{P} = \frac{\Delta W}{\Delta t} \quad (\text{average power}) \quad (6.15)$$

$\bar{P}$  is average power—the  
average rate of doing work.

$\Delta W$  is the work done...  
...during the time interval  $\Delta t$ .

Often the rate of doing work varies with time. Then we define the **instantaneous power** as the average power taken in the limit of an arbitrarily small time interval  $\Delta t$ :

$$P = \lim_{\Delta t \rightarrow 0} \frac{\Delta W}{\Delta t} = \frac{dW}{dt} \quad (\text{instantaneous power}) \quad (6.16)$$

Equations 6.15 and 6.16 both show that the units of power are joules/second. One J/s is given the name **watt** (W) in honor of James Watt, a Scottish engineer and inventor who was instrumental in developing the steam engine as a practical power source. Watt himself defined another unit, the horsepower. One horsepower (hp) is about 746 J/s or 746 W.

When power is constant, so the average power and instantaneous power are the same, Equation 6.15 shows that the amount of work  $W$  done in time  $\Delta t$  is

$$W = P \Delta t \quad (6.17)$$

**EXAMPLE 6.7****Power: Climbing Mount Washington**

A 55-kg hiker ascends New Hampshire's Mount Washington, making the vertical rise of 1300 m in 2 h. A 1500-kg car drives up the Mount Washington Auto Road, taking half an hour. Neglecting energy lost to friction, what's the average power output for each?

**INTERPRET** This example is about power, which we identify as the *rate* at which hiker and car expend energy. So we need to know the work done by each and the corresponding time.

**DEVELOP** Equation 6.15,  $\bar{P} = \Delta W/\Delta t$ , is relevant, since we want the *average* power. To use this equation we'll need to find the work done in climbing the mountain. As you learned in Section 6.2, work done against gravity is independent of the path taken and is given by  $mgh$ , where  $h$  is the total height of the climb.

**EVALUATE** We apply Equation 6.15 in the two cases:

$$\bar{P}_{\text{hiker}} = \frac{\Delta W}{\Delta t} = \frac{(55 \text{ kg})(9.8 \text{ m/s}^2)(1300 \text{ m})}{(2.0 \text{ h})(3600 \text{ s/h})} = 97 \text{ W}$$

$$\bar{P}_{\text{car}} = \frac{\Delta W}{\Delta t} = \frac{(1500 \text{ kg})(9.8 \text{ m/s}^2)(1300 \text{ m})}{(0.50 \text{ h})(3600 \text{ s/h})} = 11 \text{ kW}$$

**ASSESS** Do these values make sense? A power of 97 W is typical of the sustained long-term output of the human body, as you can confirm by considering a typical daily diet of 2000 "calories" (actually kilocalories; see Exercise 29). The car's output amounts to 14 hp, which you may find low, given that the car's engine is probably rated at several hundred horsepower. But cars are notoriously inefficient machines, with only a small fraction of the rated horsepower available to do useful work. Most of the rest is lost to friction and heating.

When power isn't constant, we can consider small amounts of work  $\Delta W$ , each taken over so small a time interval  $\Delta t$  that the power is nearly constant. Adding all these amounts of work and taking the limit as  $\Delta t$  becomes arbitrarily small, we have

$$W = \lim_{\Delta t \rightarrow 0} \sum P \Delta t = \int_{t_1}^{t_2} P dt \quad (6.18)$$

where  $t_1$  and  $t_2$  are the beginning and end of the time interval over which we calculate the work.

**EXAMPLE 6.8****Energy and Power: Yankee Stadium**

Each of the 884 floodlights at Yankee Stadium uses electrical energy at the rate of 1650 W. How much does it cost to run these lights during a 5-h night game, if electricity costs 21¢/kW·h?

**INTERPRET** We're given a single floodlight's power consumption and the cost of electricity per kilowatt-hour, a unit of energy. So this problem is about calculating energy given power and time, with a little economics thrown in.

**DEVELOP** Since the power is constant, we can calculate the energy used over time with Equation 6.17,  $W = P \Delta t$ .

**EVALUATE** At 1.65 kW each, all 884 floodlights use energy at the rate  $(884)(1.65 \text{ kW}) = 1459 \text{ kW}$ . Then the total for a 5-h game is

$$W = P \Delta t = (1459 \text{ kW})(5 \text{ h}) = 7295 \text{ kW}\cdot\text{h}$$

The cost is then  $(7295 \text{ kW}\cdot\text{h})(\$0.21/\text{kW}\cdot\text{h}) = \$1532$ .

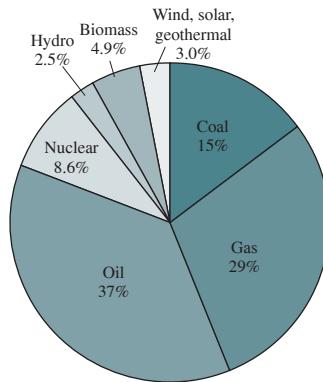
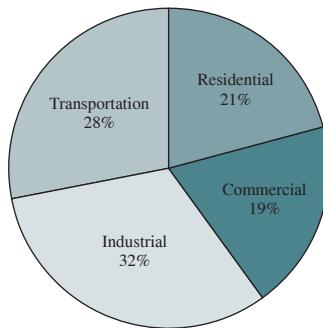
**ASSESS** Do we have the right units here? Yes: With power in kilowatts and time in hours, the energy comes out immediately in kilowatt-hours.

**APPLICATION****Energy and Society**

Humankind's rate of energy consumption is a matter of concern, especially given our dependence on fossil fuels whose carbon dioxide emissions threaten global climate change. Just how rapidly are we using energy?

Example 6.7 suggests that the average power output of the human body is approximately 100 W. Before our species harnessed fire and domesticated animals, that was all the power available to each of us. But in today's high-energy societies, we use energy at a much greater rate. For the average citizen of the United States in the early 21st century, for example, the rate of energy consumption is about 11 kW—the equivalent of more than a hundred human bodies. The rate is lower in most other industrialized countries, but it still amounts to many tens of human bodies' worth.

What do we do with all that energy? And where does it come from? The first pie chart shows that most of the United States's energy consumption goes for industry and transportation, with lesser amounts used in the residential and commercial sectors. The second chart is a stark reminder that our energy supply is neither diversified nor renewable, with some 81% coming from the fossil fuels coal, oil, and natural gas. That's going to have to change in the coming decades, as a result of both limited fossil-fuel resources and the environmental consequences of fossil-fuel combustion—especially climate change. Much of what you learn in an introductory physics course has direct relevance to the energy challenges we face today.



## Power and Velocity

We can derive an expression relating power, applied force, and velocity by noting that the work  $dW$  done by a force  $\vec{F}$  acting on an object that undergoes an infinitesimal displacement  $d\vec{r}$  follows from Equation 6.5:

$$dW = \vec{F} \cdot d\vec{r}$$

Dividing both sides by the associated time interval  $dt$  gives the power:

$$P = \frac{dW}{dt} = \vec{F} \cdot \frac{d\vec{r}}{dt}$$

But  $d\vec{r}/dt$  is the velocity  $\vec{v}$ , so

$$P = \vec{F} \cdot \vec{v}$$

### EXAMPLE 6.9

#### Power and Velocity: Bicycling

##### *Worked Example with Variation Problems*

Riding your 9.0-kg bicycle at a steady 16 km/h (4.4 m/s), you experience an 8.2-N force from air resistance. If your mass is 66 kg, what power must you supply on level ground and going up a 5° incline?

**INTERPRET** This example asks about power in two different situations: one with air resistance alone and the other when climbing. We identify the forces involved as air resistance and gravity. You need to exert forces of equal magnitude to overcome them.

**DEVELOP** Given that we have force and velocity, Equation 6.19,  $P = \vec{F} \cdot \vec{v}$ , applies. The force you apply to propel the bicycle is in the same direction as its motion, so  $\vec{F} \cdot \vec{v}$  in that equation becomes just  $Fv$ .

**EVALUATE** On level ground, we have  $P = Fv = (8.2 \text{ N})(4.4 \text{ m/s}) = 36 \text{ W}$ . Climbing the hill, you have to exert an additional force to

overcome the downslope component of gravity, which in Example 5.1 we found to be  $mg \sin \theta$ . So here we have

$$\begin{aligned} P &= Fv = (F_{\text{air}} + mg \sin \theta)v \\ &= [8.2 \text{ N} + (75 \text{ kg})(9.8 \text{ m/s}^2)(\sin 5^\circ)](4.4 \text{ m/s}) = 320 \text{ W} \end{aligned}$$

where we used your combined mass, body plus bicycle.

**ASSESS** Both numbers make sense. The values go from considerably less than to a lot more than your body's average power output of around 100 W, and as you've surely experienced, even a modest slope takes much more cycling effort than level ground. Top cyclists on mountain sections of the Tour de France can sustain power outputs of close to 500 W for extended periods.

### GOT IT?

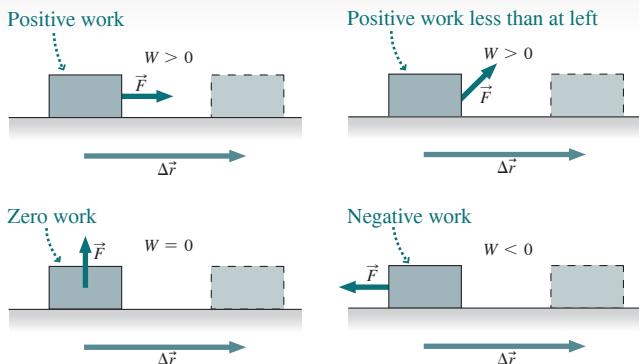
**6.4** A newspaper reports that a new power plant will produce “50 megawatts per hour.” What’s wrong with this statement?

# Chapter 6 Summary

## Big Idea

**Energy** and **work** are the big ideas here. Doing work is a mechanical means of transferring energy. A force acting on a system does work when the system (here a single object) undergoes a displacement and the force has a component in the direction of that displacement. A force at right angles to the displacement does no work, and a force with a component opposite the displacement does negative work.

**Kinetic energy** is the energy associated with an object's motion. An object's kinetic energy changes only when net work is done on the object.



## Key Concepts and Equations

Work is the product of force and displacement, but only the component of force in the direction of displacement counts toward the work. For a constant force and displacement in the  $x$ -direction,

$$W = F_x \Delta x \quad (\text{constant force only})$$

More generally, for a constant force  $\vec{F}$  and arbitrary displacement  $\vec{\Delta r}$ , the work is

$$W = \vec{F} \cdot \vec{\Delta r} = F \Delta r \cos \theta \quad (\text{constant force only})$$

Here  $F$  and  $\Delta r$  are the magnitudes of the force and displacement vectors, and  $\theta$  is the angle between them. We've written work here using the shorthand notation of the scalar product, defined for any two vectors  $\vec{A}$  and  $\vec{B}$  as the product of their magnitudes and the cosine of the angle between them:

$$\vec{A} \cdot \vec{B} = AB \cos \theta \quad (\text{scalar product})$$

**Kinetic energy** is a scalar quantity that depends on an object's mass and speed:

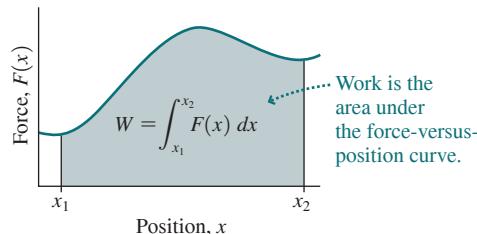
$$K = \frac{1}{2} mv^2$$

The **work–kinetic energy theorem** states that the change in an object's kinetic energy is equal to the net work done on it:

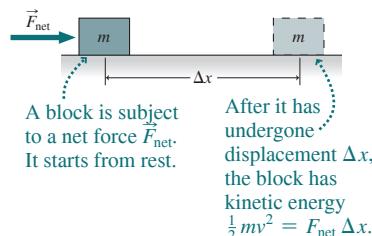
$$\Delta K = W_{\text{net}} \quad (\text{work–kinetic energy theorem})$$

The unit of energy and work is the joule (J), equal to 1 newton-meter.

When force varies with position, calculating the work involves integrating. In one dimension:



Most generally, work is the **line integral** of a varying force over an arbitrary path:  $W = \int \vec{F} \cdot d\vec{r}$

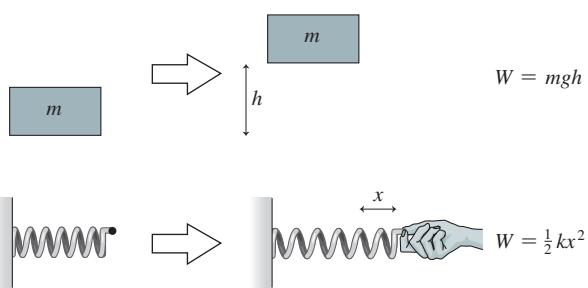


**Power** is the rate at which work is done or energy is used. The unit of power is the **watt** (W), equal to 1 joule/second.

$$P = \frac{dW}{dt} = \vec{F} \cdot \vec{v}$$

## Applications

Common applications of work done against everyday forces are the work  $mgh$  needed to raise an object of mass  $m$  a distance  $h$  against gravity, and the work  $\frac{1}{2} kx^2$  needed to stretch or compress a spring of spring constant  $k$  a distance  $x$  from its equilibrium length.



## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

### Learning Outcomes After finishing this chapter you should be able to:

- LO 6.1 Explain the terms *energy* and *work* in the context of physics.  
*For Thought and Discussion Questions* 6.2, 6.4, 6.5
- LO 6.2 Find the work done by a force that doesn't vary with position.  
*For Thought and Discussion Question* 6.3; *Exercises* 6.11, 6.12, 6.13, 6.14, 6.15, 6.16, 6.17, 6.18, 6.19; *Problems* 6.49, 6.50, 6.51, 6.58, 6.60, 6.61, 6.73, 6.75, 6.91
- LO 6.3 Calculate the dot product of two vectors.  
*For Thought and Discussion Question* 6.1; *Exercise* 6.18; *Problems* 6.52, 6.53, 6.67
- LO 6.4 Find the work done by a force that varies with position.  
*Exercises* 6.20, 6.21, 6.22, 6.23, 6.24; *Problems* 6.54, 6.55, 6.56, 6.57, 6.65, 6.66, 6.83, 6.85, 6.86, 6.87, 6.88, 6.90

- LO 6.5 Define *kinetic energy* and find its value given an object's mass and speed.  
*For Thought and Discussion Questions* 6.6, 6.8; *Exercises* 6.25, 6.26, 6.27, 6.28, 6.29, 6.30; *Problem* 6.59
- LO 6.6 State the work–kinetic energy theorem.  
*For Thought and Discussion Questions* 6.6, 6.8, 6.10
- LO 6.7 Distinguish energy from power.  
*For Thought and Discussion Questions* 6.7, 6.9; *Exercises* 6.31, 6.32, 6.33, 6.34, 6.35, 6.36, 6.37, 6.38, 6.39, 6.40; *Problems* 6.62, 6.63, 6.64, 6.68, 6.69, 6.70, 6.71, 6.72, 6.74, 6.75, 6.76, 6.77, 6.78, 6.79, 6.80, 6.81, 6.82, 6.84, 6.89

### For Thought and Discussion

1. If the scalar product of two nonzero vectors is zero, what can you conclude about their relative directions?
2. Must you do work to whirl a ball around on the end of a string? Explain.
3. You want to raise a piano a given height using a ramp. With a fixed, nonzero coefficient of friction, will you have to do more work if the ramp is steeper or more gradual? Explain.
4. Does the gravitational force of the Sun do work on a planet in a circular orbit? On a comet in an elliptical orbit? Explain.
5. A pendulum bob swings back and forth on the end of a string, describing a circular arc. Does the tension force in the string do any work?
6. Does your car's kinetic energy change if you drive at constant speed for 1 hour?
7. A watt-second is a unit of what quantity? Relate it to a more standard SI unit.
8. A truck is moving northward at 55 mi/h. Later, it's moving eastward at the same speed. Has its kinetic energy changed? Has work been done on the truck? Has a force acted on the truck? Explain.
9. A news article reports that a new solar farm will produce 143 kilowatt-hours of electricity. Criticize this statement. What did the writer probably mean?
10. Is it possible for *you* to do work on an object without changing the object's kinetic energy? Explain.

### Exercises and Problems

#### Exercises

##### Sections 6.1 and 6.2 Energy and Work

11. How much work do you do as you exert a 75-N force to push a shopping cart through a 12-m-long supermarket aisle?
12. If the coefficient of kinetic friction is 0.21, how much work do you do when you slide a 50-kg box at constant speed across a 4.8-m-wide room?
13. A crane lifts a 650-kg beam vertically upward 23 m and then swings it eastward 18 m. How much work does the crane do? Neglect friction, and assume the beam moves with constant speed.
14. The world's highest waterfall, the Cherun-Meru in Venezuela, has a total drop of 980 m. How much work does gravity do on a cubic meter of water dropping down the Cherun-Meru?

15. A meteorite plunges to Earth, embedding itself 75 cm in the ground. If it does 140 MJ of work in the process, what average force does the meteorite exert on the ground?
16. An elevator of mass  $m$  rises a vertical distance  $h$  with upward acceleration equal to one-tenth  $g$ . Find an expression for the work the elevator cable does on the elevator.
17. Show that the scalar product obeys the distributive law:  $\vec{A} \cdot (\vec{B} + \vec{C}) = \vec{A} \cdot \vec{B} + \vec{A} \cdot \vec{C}$ .
18. Find the work done by a force  $\vec{F} = 1.8\hat{i} + 2.2\hat{j}$  N as it acts on an object moving from the origin to the point  $56\hat{i} + 31\hat{j}$  m.
19. To push a stalled car, you apply a 470-N force at  $17^\circ$  to the car's motion, doing 860 J of work in the process. How far do you push the car?

##### Section 6.3 Forces That Vary

20. Find the total work done by the force shown in Fig. 6.16 as the object on which it acts moves
  - (a) from  $x = 0$  to  $x = 3$  km and
  - (b) from  $x = 3$  km to  $x = 4$  km.
21. How much work does it take to stretch a spring with  $k = 200$  N/m (a) 10 cm from equilibrium and (b) from 10 cm to 20 cm from equilibrium?
22. Uncompressed, the spring for an automobile suspension is 45 cm long. It needs to be fitted into a space 32 cm long. If the spring constant is 3.8 kN/m, how much work does a mechanic have to do to fit the spring?
23. You do 8.5 J of work to stretch a spring with  $k = 190$  N/m, starting with the spring unstretched. How far does the spring stretch?
24. Spider silk is a remarkable elastic material. A particular strand has spring constant 70 mN/m, and it stretches 9.6 cm when a fly hits it. How much work did the fly's impact do on the silk strand?

##### Section 6.4 Kinetic Energy

25. What's the kinetic energy of a  $2.4 \times 10^5$ -kg airplane cruising at 900 km/h?
26. A cyclotron accelerates protons from rest to 21 Mm/s. How much work does it do on each proton?
27. At what speed must a 950-kg subcompact car be moving to have the same kinetic energy as a  $3.2 \times 10^4$ -kg truck going 20 km/h?

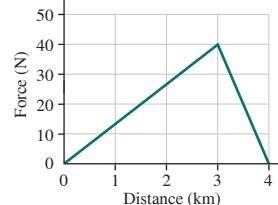


FIGURE 6.16 Exercise 20

28. A 60-kg skateboarder comes over the top of a hill at 5.0 m/s and reaches 10 m/s at the bottom. Find the total work done on the skateboarder between the top and bottom of the hill.
29. After a tornado, a 0.50-g drinking straw was found embedded 4.5 cm in a tree. Subsequent measurements showed that the tree exerted a stopping force of 70 N on the straw. What was the straw's speed?
30. From what height would you have to drop a car for its impact to be equivalent to a 20-mi/h collision?

### Section 6.5 Power

31. A typical human diet is “2000 calories” per day, where the “calorie” describing food energy is actually 1 kilocalorie. Express 2000 kcal/day in watts.
32. A horse plows a 200-m-long furrow in 5.0 min, exerting a 750-N force. Find its power output, measured in watts and in horsepower.
33. A typical car battery stores about 1 kW·h of energy. What's its power output if it drains completely in (a) 1 minute, (b) 1 hour, and (c) 1 day?
34. A sprinter completes a 100-m dash in 10.6 s, doing 22.4 kJ of work. What's her average power output?
35. How much work can a 3.5-hp lawnmower engine do in 1 h?
36. A 75-kg long-jumper takes 3.1 s to reach a prejump speed of 10 m/s. What's his power output?
37. Estimate your power output as you do deep knee bends at the rate of one per second.
38. In midday sunshine, solar energy strikes Earth at the rate of about 1 kW/m<sup>2</sup>. How long would it take a perfectly efficient solar collector of 15-m<sup>2</sup> area to collect 40 kW·h of energy? (Note: This is roughly the energy content of a gallon of gasoline.)
39. It takes about 20 kJ to melt an ice cube. A typical microwave oven produces 900 W of microwave power. How long will it take a typical microwave to melt the ice cube?
40. Which consumes more energy, a 1.2-kW hair dryer used for 10 min or a 7-W night-light left on for 24 h?

### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

41. **Example 6.4:** A cord used in bungee jumping is normally 9.58 m long and has spring constant  $k = 235 \text{ N/m}$ . At the lowest point in a jump, the cord length has doubled. How much work has been done on the cord?
42. **Example 6.4:** Unstretched, a cord used in bungee jumping is 12.2 m long. When a jumper reaches the lowest point in her jump, the cord has stretched to 26.3 m and she's done 15.4 kJ of work on the cord. What's the spring constant of the cord?
43. **Example 6.4:** A 2.35-μm strand of DNA has an effective spring constant of  $1.63 \times 10^{-7} \text{ N/m}$ . Find the work required to compress the strand so its length shrinks by 1.00%.
44. **Example 6.4:** Find the effective spring constant of a DNA molecule, given that it takes  $6.92 \times 10^{-24} \text{ J}$  of work to compress it 4.48 nm.
45. **Example 6.9:** You and a partner are pedaling a 16.0-kg tandem bicycle up a 6.22° incline at 18.5 km/h. There's a 10.8-N force from air resistance. If the combined mass of you and your partner is 132 kg, what power must the two of you supply?
46. **Example 6.9:** You and your cycling partner are capable of producing 955 W of power. What's the fastest you can pedal up a 4.40° slope if the combined mass of your tandem bicycle and both riders is 152 kg and you face a 14.5-N force from air resistance?

47. **Example 6.9:** A Boeing 787-9 jetliner has a mass of 245,000 kg including passengers. Its two engines produce a combined thrust force of 642 kN, and the aircraft cruises at 913 km/h in level flight—in which case drag from the air is the only force the plane needs to overcome. Find the engines' power output (a) while cruising and (b) when it's climbing at a 23.0° angle at 622 km/h. Assume air resistance doesn't change—although in reality it's greater at the higher speed.

48. **Example 6.9:** You're an aircraft designer charged with determining the maximum speed for a new aircraft when it's climbing at a 15.4° angle. The total mass of the plane is 138,000 kg, and its total engine power is 105 MW. While climbing it encounters a force of 193 kN from air resistance. What do you report for the maximum speed while climbing?

### Problems

49. You slide a box of books at constant speed up a 30° ramp, applying a force of 200 N directed up the slope. The coefficient of sliding friction is 0.18. (a) How much work have you done when the box has risen 1 m vertically? (b) What's the mass of the box?

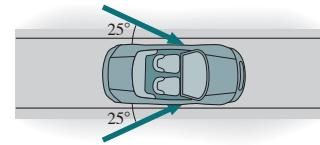


FIGURE 6.17 Problem 50

50. Two people push a stalled car at its front doors, each applying a 280-N force at 25° to the forward direction, as shown in Fig. 6.17. How much work does each person do in pushing the car 5.6 m?

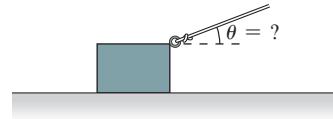


FIGURE 6.18 Problem 51

51. You pull a box 23 m horizontally, using the rope shown in Fig. 6.18. If the rope tension is 120 N, and if the rope does 2500 J of work on the box, what angle  $\theta$  does the rope make with the horizontal?

52. (a) Find the scalar products  $\hat{i} \cdot \hat{i}$ ,  $\hat{j} \cdot \hat{j}$ , and  $\hat{k} \cdot \hat{k}$ . (b) Find  $\hat{i} \cdot \hat{j}$ ,  $\hat{j} \cdot \hat{k}$ , and  $\hat{k} \cdot \hat{i}$ . (c) Use the distributive law to multiply out the scalar product of two arbitrary vectors  $\vec{A} = A_x \hat{i} + A_y \hat{j} + A_z \hat{k}$  and  $\vec{B} = B_x \hat{i} + B_y \hat{j} + B_z \hat{k}$ , and use the results of (a) and (b) to verify Equation 6.4.

53. (a) Find the scalar product of the vectors  $a\hat{i} + b\hat{j}$  and  $b\hat{i} - a\hat{j}$ , where  $a$  and  $b$  are arbitrary constants. (b) What's the angle between the two vectors?

54. A force  $\vec{F}$  acts in the  $x$ -direction, its magnitude given by  $F = ax^2$ , where  $x$  is in meters and  $a = 5.0 \text{ N/m}^2$ . Find the work done by this force as it acts on a particle moving from  $x = 0$  to  $x = 6.0 \text{ m}$ .

55. A certain amount of work is required to stretch spring A a certain distance. Twice as much work is required to stretch spring B half that distance. Compare the spring constants of the two.

56. A force with magnitude given by  $F = a\sqrt{x} - bx^2$  acts in the  $x$ -direction, where  $a = 25.2 \text{ N}\cdot\text{m}^{-1/2}$  and  $b = 3.87 \text{ N/m}^2$ . Find the work this force does on an object moving from (a)  $x = 0$  to  $x = 2.00 \text{ m}$  and (b) from  $x = 2.00 \text{ m}$  to  $x = 3.75 \text{ m}$ .

57. The force exerted by a rubber band is given approximately by

$$F = F_0 \left[ \frac{L_0 - x}{L_0} - \frac{L_0^2}{(L_0 + x)^2} \right]$$

where  $L_0$  is the unstretched length,  $x$  is the stretch, and  $F_0$  is a constant. Find an expression for the work needed to stretch the rubber band a distance  $x$ .

58. You put your little sister (mass  $m$ ) on a swing whose chains have length  $L$  and pull slowly back until the swing makes an angle  $\phi$  with the vertical. Show that the work you do is  $m g L (1 - \cos \phi)$ .
59. Two unknown elementary particles pass through a detection chamber. If they have the same kinetic energy and their mass ratio is 4:1, what's the ratio of their speeds?
60. A tractor tows a plane from its airport gate, doing 8.7 MJ of work. The link from the plane to the tractor makes a  $22^\circ$  angle with the plane's motion, and the tension in the link is 0.41 MN. How far does the tractor move the plane?
61. *E. coli* bacteria swim by means of flagella that rotate about 100 times per second. A typical *E. coli* bacterium swims at  $22\text{ }\mu\text{m/s}$ , its flagella exerting a force of 0.57 pN to overcome the resistance due to its liquid environment. (a) What's the bacterium's power output? (b) How much work would it do in traversing the 25-mm width of a microscope slide?
62. On February 15, 2013, an asteroid moving at  $19\text{ km/s}$  entered Earth's atmosphere over Chelyabinsk, Russia, and exploded at an altitude of more than 20 km. This was the largest object known to have entered the atmosphere in over a century. The asteroid's kinetic energy just before entering the atmosphere was estimated as the energy equivalent of 500 kilotons of the explosive TNT. (Kilotons [kt] and megatons [Mt] are energy units used to describe the explosive yields of nuclear weapons, and you'll find the energy equivalent of 1 Mt in Appendix C.) What was the approximate mass of the Chelyabinsk asteroid?
63. An elevator ascends from the ground floor to the 10th floor, a height of 41 m, in 35 s. If the mass of the elevator and passengers is 840 kg, what's the power necessary to lift the elevator? (Your answer is greater than the actual power needed because elevators are counterweighted, thus reducing the work the motor needs to do.)
64. You're asked to assess the reliability of a nuclear power plant, as measured by the *capacity factor*—the ratio of the energy it actually produces to what it could produce if it operated all the time. The plant is rated at 840 MW of electrical power output, and in a full year it produces  $6.8 \times 10^9\text{ kW}\cdot\text{h}$  of electrical energy. What's its capacity factor?
65. A force pointing in the  $x$ -direction is given by  $F = F_0(x/x_0)^2$ , where  $F_0$  and  $x_0$  are constants and  $x$  is position. Find an expression for the work done by this force as it acts on an object moving from  $x = 0$  to  $x = x_0$ .
66. A force pointing in the  $x$ -direction is given by  $F = ax^{3/2}$ , where  $a$  is a constant. The force does 1.86 kJ of work on an object as the object moves from  $x = 0$  to  $x = 18.5\text{ m}$ . Find the constant  $a$ .
67. Two vectors have equal magnitude, and their scalar product is half the square of their magnitude. Find the angle between them.
68. At what rate can a half-horsepower well pump deliver water to a tank 60 m above the water level in the well? Give your answer in  $\text{kg/s}$  and  $\text{gal/min}$ .
69. The United States imports about 400 million gallons of oil each day. Use the "Energy Content of Fuels" table in Appendix C to estimate the corresponding power, measured in gigawatts.
70. By measuring oxygen uptake, sports physiologists have found that long-distance runners' power output is given approximately by  $P = m(bv - c)$ , where  $m$  and  $v$  are the runner's mass and speed, and  $b$  and  $c$  are constants given by  $b = 4.27\text{ J/kg}\cdot\text{m}$  and  $c = 1.83\text{ W/kg}$ . Determine the work done by a 54-kg runner who runs a 10-km race at 5.2 m/s.
71. The motor in a 1590-kg Nissan Leaf electric car supplies energy to the wheels at the rate of 80.0 kW. (a) What's the maximum speed for the Leaf when it's ascending an  $11.8^\circ$  slope using only its electric motor? (b) What's the motor's power output in horsepower? Neglect air resistance.
72. A 1400-kg car ascends a mountain road at a steady  $60\text{ km/h}$ , against a 450-N force of air resistance. If the engine supplies energy to the drive wheels at the rate of 38 kW, what's the slope angle of the road?
73. You do 2.2 kJ of work pushing a 78-kg trunk at constant speed  $3.1\text{ m}$  along a ramp inclined upward at  $22^\circ$ . What's the frictional coefficient between trunk and ramp?
74. (a) Find the work done in lifting 1 L of blood (mass 1 kg) from the foot to the head of a 1.7-m-tall person. (b) If blood circulates through the body at the rate of  $5.0\text{ L/min}$ , estimate the heart's power output. (Your answer underestimates the power by a factor of about 5 because it neglects fluid friction and other factors.)
75. You push an 84.5-kg chest of drawers at  $0.386\text{ m/s}$ , for a distance of  $6.55\text{ m}$  across a level floor, where the coefficient of friction is 0.612. Find (a) the power needed and (b) the work you do. (c) Repeat for the case of a ramp sloping upward at  $5.75^\circ$ , with all other quantities unchanged.
76. You mix flour into bread dough, exerting a 45-N force on the spoon, which you move at  $0.29\text{ m/s}$ . (a) What power do you supply? (b) How much work do you do if you stir for 1.0 min?
77. One machine does work at a constant rate  $P_0$ . A second machine does work at a rate given by  $P(t) = 2P_0\left(1 - \frac{(t - t_0)^2}{t_0^2}\right)$ , where  $t_0$  is a constant with the units of time. Both machines start at time  $t = 0$ . Find expressions for (a) the peak power output of the second machine and (b) the earliest time at which both machines have done the same amount of work.
78. A typical bumblebee has mass  $0.25\text{ mg}$ . It beats its wings 100 times per second, and the wings undergo an average displacement of about  $1.5\text{ mm}$ . When the bee is hovering over a flower, the average force between wings and air must support the bee's weight. Estimate the average power the bee expends in hovering.
79. You're trying to decide whether to buy an energy-efficient 225-W refrigerator for \$1150 or a standard 425-W model for \$850. The standard model will run 20% of the time, but better insulation means the energy-efficient model will run 11% of the time. If electricity costs  $9.5\text{¢}/\text{kW}\cdot\text{h}$ , how long would you have to own the energy-efficient model to make up the difference in cost? Neglect interest you might earn on your money.
80. Your friend does five reps with a barbell, on each rep lifting 45 kg 0.50 m. She claims the work done is enough to "burn off" a chocolate bar with energy content 230 kcal (see Exercise 31). Is that true? If not, how many lifts would it take?
81. A machine delivers power at a decreasing rate  $P = P_0 t_0^2/(t + t_0)^2$ , where  $P_0$  and  $t_0$  are constants. The machine starts at  $t = 0$  and runs forever. Show that it nevertheless does only a finite amount of work, equal to  $P_0 t_0$ .
82. A locomotive accelerates a freight train of total mass  $M$  from rest, applying constant power  $P$ . Determine the speed and position of the train as functions of time, assuming all the power goes to increasing the train's kinetic energy.
83. A force given by  $F = b/\sqrt{x}$  acts in the  $x$ -direction, where  $b$  is a constant with the units  $\text{N}\cdot\text{m}^{1/2}$ . Show that even though the force becomes arbitrarily large as  $x$  approaches zero, the work done in moving from  $x_1$  to  $x_2$  remains finite even as  $x_1$  approaches zero. Find an expression for that work in the limit  $x_1 \rightarrow 0$ .
84. You're assisting a cardiologist in planning a stress test for a 75-kg patient. The test involves rapid walking on an inclined treadmill, and the patient is to reach a peak power output of 350 W. If the patient's maximum walking speed is  $8.0\text{ km/h}$ , what should be the treadmill's inclination angle?
85. You're an engineer for a company that makes bungee-jump cords, and you're asked to develop a formula for the work involved in

stretching cords to double their length. Your cords have force-distance relations described by  $F = -(kx + bx^2 + cx^3 + dx^4)$ , where  $k, b, c$ , and  $d$  are constants. (a) Given a cord with unstretched length  $L_0$ , what's your formula? (b) Evaluate the work done in doubling the stretch of a 10-m cord with  $k = 420 \text{ N/m}$ ,  $b = -86 \text{ N/m}^2$ ,  $c = 12 \text{ N/m}^3$ , and  $d = -0.50 \text{ N/m}^4$ .

86. You push an object of mass  $m$  slowly partway up a loop-the-loop track of radius  $R$ , starting from the bottom, and ending at a height  $h < R$  above the bottom. The coefficient of friction between the object and the track is a constant. Show that the work you do against friction is  $\mu mg\sqrt{2hR - h^2}$ .
87. A particle moves from the origin to the point  $x = 3 \text{ m}$ ,  $y = 6 \text{ m}$  along the curve  $y = ax^2 - bx$ , where  $a = 2 \text{ m}^{-1}$  and  $b = 4$ . It's subject to a force  $cxy\hat{i} + d\hat{j}$ , where  $c = 10 \text{ N/m}^2$  and  $d = 15 \text{ N}$ . Calculate the work done by the force.
88. Repeat Problem 87 for the following cases: (a) the particle moves first along the  $x$ -axis from the origin to the point  $(3 \text{ m}, 0)$  and then parallel to the  $y$ -axis until it reaches  $(3 \text{ m}, 6 \text{ m})$ ; (b) it moves first along the  $y$ -axis from the origin to the point  $(0, 6 \text{ m})$  and then parallel to the  $x$ -axis until it reaches  $(3 \text{ m}, 6 \text{ m})$ .
89. The world's fastest elevator, in Taiwan's Taipei 101 skyscraper (Fig. 6.19), ascends at the rate of 1010 m/min. Counterweights balance the weight of the elevator car, so the motor doesn't have to lift the car's weight. If the motor produces 330 kW of power, what's the maximum number of 67-kg people the elevator can accommodate? (Your answer somewhat overestimates the actual rated load of 24 people.)

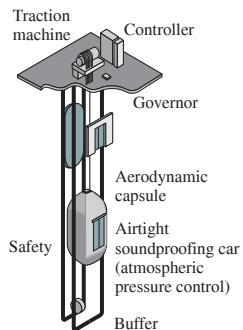


FIGURE 6.19 Problem 89

90. An experimental measurement of the force required to stretch **DATA** a slingshot is given in the table below. Plot the force-distance curve for this slingshot and use graphical integration to determine the work done in stretching the slingshot the full 40-cm distance.

Stretch (cm)	Force (N)
0	0
5.00	0.885
10.0	1.89
15.0	3.05
20.0	4.48
25.0	6.44
30.0	8.22
35.0	9.95
40.0	12.7

91. You're an expert witness in a medical malpractice lawsuit. A hospital patient's leg slipped off a stretcher, and his heel hit the floor. The defense attorney for the hospital claims the leg, with mass 8 kg, hit the floor with a force equal to the weight of the leg—about 80 N—and any damage was due to a prior injury. You argue that the leg and heel dropped freely for 0.7 m, then hit the floor and stopped in 2 cm. What do you tell the jury about the force on the heel?
- BIO**

## Passage Problems

The energy in a batted baseball comes from the power delivered while the bat is in contact with the ball. The most powerful hitters can supply some 10 horsepower during the brief contact time, propelling the ball to over 100 miles per hour. Figure 6.20 shows data taken from a particular hit, giving the power the bat delivers to the ball as a function of time.

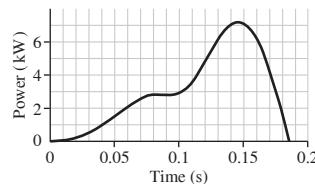


FIGURE 6.20 Passage Problems 92–95

92. Which of the following is greatest at the peak of the curve?  
 a. the ball's kinetic energy  
 b. the ball's speed  
 c. the rate at which the bat supplies energy to the ball  
 d. the total work the bat has done on the ball
93. The ball has its maximum speed at about  
 a. 85 ms.  
 b. 145 ms.  
 c. 185 ms.  
 d. whenever the force is greatest.
94. As a result of being hit, the ball's kinetic energy increases by about  
 a. 550 J.  
 b. 1.3 kJ.  
 c. 7.0 kJ.  
 d. You can't tell because you don't know its speed coming from the pitcher.
95. The force on the ball is greatest approximately  
 a. at 185 ms.  
 b. at the peak in Fig. 6.20.  
 c. before the peak in Fig. 6.20.  
 d. after the peak in Fig. 6.20 but before 185 ms.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

No. The work done against gravity in climbing a particular height is independent of the path. A rider on a bicycle with a combined mass of 80 kg does roughly 400 kJ or 100 kcal of work against gravity regardless of the path up a 500-m mountain. To climb such a mountain in 20 min, the rider's power output must exceed 300 W.

### Answers to GOT IT? Questions

- 6.1 (b)  $2F$  does  $\sqrt{2}$  more work than  $F$  does. That's because  $2F$ 's component along the direction of motion is  $2F \cos 45^\circ$ , or  $2F\sqrt{2}/2 = F\sqrt{2}$ .
- 6.2 (c)  $\sqrt{x}$  does the most work. (b)  $x^2$  does the least. You can see this by plotting these two functions from  $x = 0$  to  $x = 1$  and comparing the areas under each. The case of  $x$  is intermediate.
- 6.3 (1) (c): Kinetic energy doesn't change, so the net work done on the ball is zero. (2) (a): Kinetic energy increases, so the net work is positive. (3) (b): Kinetic energy decreases, so the net work is negative.
- 6.4 The megawatt is a unit of power; the "per time" is already built in. A correct statement would be that the power plant will produce "energy at the rate of 50 megawatts."

# Conservation of Energy

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 7.1** Distinguish conservative from nonconservative forces.
- LO 7.2** Calculate potential energy, especially with gravity and springs.
- LO 7.3** Use conservation of mechanical energy to solve problems that would be difficult using Newton's second law.
- LO 7.4** Evaluate situations where nonconservative forces result in loss of mechanical energy.
- LO 7.5** Distinguish internal energy from mechanical energy.
- LO 7.6** Work with potential-energy curves for a wide variety of systems.

## Skills & Knowledge You'll Need

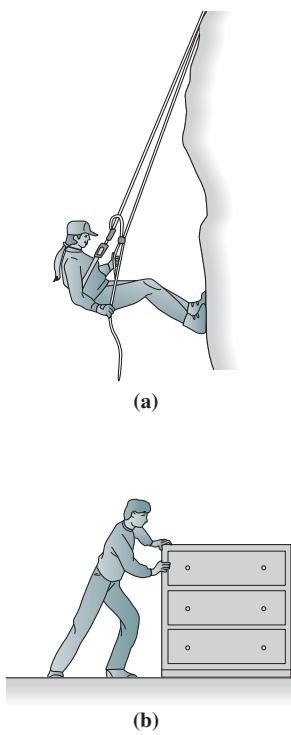
- The concept of work (Section 6.1)
- The concept of kinetic energy (Section 6.4)
- The work–kinetic energy theorem (Section 6.4)

The rock climber of Fig. 7.1a does work as she ascends the vertical cliff. So does the mover of Fig. 7.1b as he pushes a heavy chest across the floor. But there's a difference. If the rock climber lets go, down she goes, gaining kinetic energy as she falls. If the mover lets go of the chest, though, he and the chest stay right where they are.

This contrast highlights a distinction between two types of forces, called *conservative* and *nonconservative*. That distinction will help us develop one of the most important principles in physics: **conservation of energy**. The introduction to Chapter 6 briefly mentioned three forms of energy: kinetic energy, potential energy, and internal energy—although there we worked quantitatively only with kinetic energy. Here we'll develop the concept of potential energy and show how it's associated with conservative forces. Nonconservative forces, in contrast, are associated with irreversible transformations of mechanical energy into internal energy. We'll take a brief look at such transformations here and formulate a broad statement of energy conservation. In Chapters 16–19 we'll elaborate on internal energy and see how it's related to temperature, and we'll expand our statement of energy conservation to include not only work but also heat as modes of energy transfer.

How many different energy conversions take place as the Yellowstone River plunges over Yellowstone Falls?





**FIGURE 7.1** Both the rock climber and the mover do work, but only the climber can recover that work as kinetic energy.

## 7.1 Conservative and Nonconservative Forces

### LO 7.1 Distinguish conservative from nonconservative forces.

Both the climber and the mover in Fig. 7.1 are doing work against forces—gravity for the climber and friction for the mover. The difference is this: If the climber lets go, the gravitational force “gives back” the energy she supplied by doing work, which then manifests itself as the kinetic energy of her fall. But the frictional force doesn’t “give back” the energy supplied by the mover, in the sense that this energy can’t be recovered as kinetic energy.

A **conservative force** is a force like gravity or a spring that “gives back” energy that was transferred by doing work. A more precise description of what it means for a force to be conservative follows from considering the work involved as an object moves over a closed path—one that ends where it started. Suppose our rock climber ascends a cliff of height  $h$  and then descends to her starting point. As she climbs, the gravitational force is directed opposite to her motion, so gravity does negative work  $-mgh$  (recall Fig. 6.4). When she descends, the gravitational force is in the same direction as her motion, so the gravitational work is  $+mgh$ . The total work that gravity does on the climber as she traverses the closed path up and down the cliff is therefore zero.

Now consider the mover in Fig. 7.1b. Suppose he pushes the chest across a room, discovers it’s the wrong room, and pushes it back to the door. Like the climber, the mover and chest describe a closed path. But the frictional force always acts to oppose the chest’s motion. The mover needs to apply a force to oppose friction, so he ends up doing positive work as he crosses the room in both directions. Therefore, the total work he does is positive even when he moves the chest over a closed path. That’s the nature of the frictional force, and, in contrast to the conservative gravitational force the climber had to deal with, this makes friction a **nonconservative force**.

Our two examples clearly distinguish between conservative and nonconservative forces: Only for *conservative* forces is the work done in moving around a closed path equal to zero. This fact provides a precise definition of a conservative force:

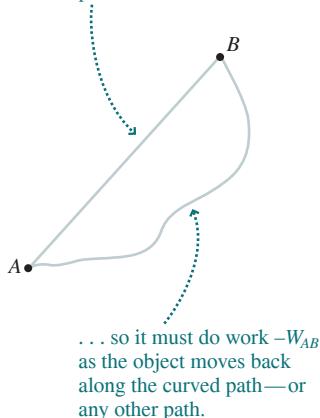
When the total work done by a force  $\vec{F}$  acting as an object moves over any closed path is zero, then the force is conservative.

This definition suggests a related property of conservative forces. Suppose a conservative force acts on an object in the region shown in Fig. 7.2. Move the object along the straight path from point  $A$  to point  $B$ , and designate the work done by the conservative force as  $W_{AB}$ . Since the work done over any closed path is zero, the work  $W_{BA}$  done in moving back from  $B$  to  $A$  must be  $-W_{AB}$ , whether we return along the straight path, the curved path, or any other path. So, going from  $A$  to  $B$  involves work  $W_{AB}$ , regardless of the path taken. In other words:

The work done by a conservative force in moving between two points is independent of the path taken.

Important examples of conservative forces include gravity and the static electric force. The force of an ideal spring—fundamentally an electric force—is also conservative. Nonconservative forces include friction, drag forces, and the electric force in the presence of time-varying magnetic effects, which we’ll encounter in Chapter 27.

The force does work  $W_{AB}$  as the object moves from  $A$  to  $B$  on this path . . .



**FIGURE 7.2** The work done by a conservative force is independent of path.

### GOT IT?

- 7.1** Suppose it takes the same amount of work to push a trunk straight across a rough floor as it does to lift a weight the same distance straight upward. If both trunk and weight are moved instead on identically shaped curved paths between the same two points as before, is the work (a) still the same for both, (b) greater for the weight, or (c) greater for the trunk?

Equation 6.11 introduced a general expression for the work done when an object moves along an arbitrary path, subject to a force that might vary with position:  $W = \int_A^B \vec{F} \cdot d\vec{r}$ ,

where  $A$  and  $B$  are the endpoints of the path. For a closed path, the two endpoints are the same, which we designate by putting a circle on the integral sign. Thus, our definition of a conservative force can be written mathematically as

$$\oint \vec{F} \cdot d\vec{r} = 0 \quad (\text{conservative force}) \quad (7.1)$$

Following Fig. 7.2, we can equally well describe a conservative force with the statement that  $\int_A^B \vec{F} \cdot d\vec{r}$  is independent of the path taken between the endpoints  $A$  and  $B$ .

## 7.2 Potential Energy

### LO 7.2 Calculate potential energy, especially with gravity and springs.

The climber in Fig. 7.1a did work ascending the cliff, and the energy transferred as she did that work was somehow stored, in that she could get it back in the form of kinetic energy. She's acutely aware of that stored energy, since it gives her the potential for a dangerous fall. *Potential* is an appropriate word here: The stored energy is **potential energy**, in the sense that it has the potential to be converted into kinetic energy.

We'll give potential energy the symbol  $U$ , and we begin by defining *changes* in potential energy. Specifically:

The change  $\Delta U_{AB}$  in potential energy associated with a conservative force is the negative of the work done by that force as it acts over any path from point  $A$  to point  $B$ :

$\Delta U_{AB}$  is the change in an object's potential energy as it moves from point  $A$  to point  $B$  under the influence of a conservative force  $\vec{F}$ .

$\vec{F}$  is the conservative force.

$d\vec{r}$  is an infinitesimal displacement.

$$\Delta U_{AB} = - \underbrace{\int_A^B \vec{F} \cdot d\vec{r}}_{(\text{potential energy})} \quad (7.2)$$

The minus sign arises because an increase in potential energy results when the object moves *against* the conservative force, in which case the force does negative work.

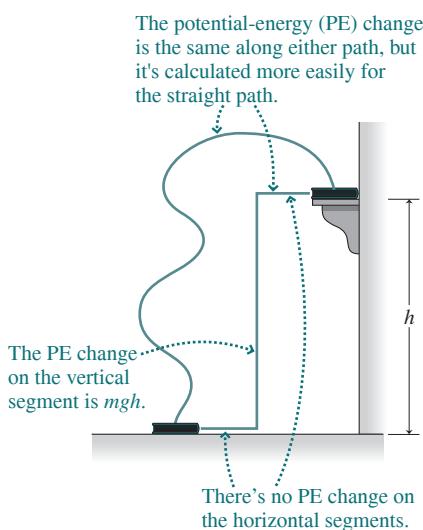
Equation 6.11 showed that this integral is the work done by the conservative force.

The annotations on Equation 7.2 explain the minus sign. But another way to think about this is to consider the work *you* would have to do in order to counter a conservative force like gravity. If  $\vec{F}$  is the conservative force (e.g., gravity, pointing down), then you'd have

to apply a force  $-\vec{F}$  (e.g., upward), and the work you do would be  $\int_A^B (-\vec{F}) \cdot d\vec{r}$  or  $-\int_A^B \vec{F} \cdot d\vec{r}$ , which is the right-hand side of Equation 7.2. Your work represents a transfer

of energy, which here ends up stored as potential energy. So another way of interpreting Equation 7.2 is to say that the change in potential energy is equal to the work an external agent would have to do in just countering a conservative force.

Changes in potential energy are all that ever matter physically; the actual value of potential energy is meaningless. Often, though, it's convenient to establish a reference point



**FIGURE 7.3** A good choice of path makes it easier to calculate the potential-energy change.

at which the potential energy is defined to be zero. When we say “the potential energy  $U$ ,” we really mean the potential-energy difference  $\Delta U$  between that reference point and whatever other point we’re considering. Our rock climber, for example, might find it convenient to take the zero of potential energy at the base of the cliff. But the choice is purely for convenience; only potential-energy *differences* really matter. We’ll often drop the subscript AB and write simply  $\Delta U$  for a potential-energy difference. Keeping the subscript is important, though, when we need to be clear about whether we’re going from  $A$  to  $B$  or from  $B$  to  $A$ .

Equation 7.2 is a completely general definition of potential energy, applicable in all circumstances. Often, though, we can consider a path where force and displacement are parallel (or antiparallel). Then Equation 7.2 simplifies to

$$\Delta U = - \int_{x_1}^{x_2} F(x) dx \quad (7.2a)$$

where  $x_1$  and  $x_2$  are the starting and ending points on the  $x$ -axis, taken to coincide with the path. When the force is constant, this equation simplifies further to

$$\Delta U = -F(x_2 - x_1) \quad (7.2b)$$



**UNDERSTAND YOUR EQUATIONS** **TIP** Equation 7.2b provides a very simple expression for potential-energy changes, but it applies *only* when the force is constant. Equation 7.2b is a special case of Equation 7.2a that follows because a constant force can be taken outside the integral.

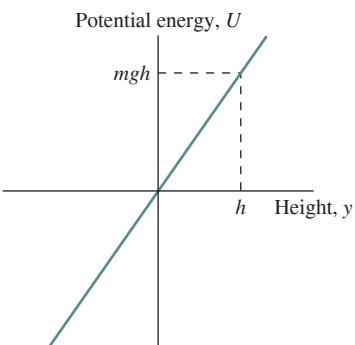
## Gravitational Potential Energy

We’re frequently moving things up and down, causing changes in potential energy. Figure 7.3 shows two possible paths for a book that’s lifted from the floor to a shelf of height  $h$ . Since the gravitational force is conservative, we can use either path to calculate the potential-energy change. It’s easiest to use the path consisting of straight segments. No work or potential-energy change occurs on the horizontal segments since the gravitational force is perpendicular to the motion. For the vertical lift, the force of gravity is constant and Equation 7.2b immediately gives  $\Delta U = mgh$ , where the minus sign in Equation 7.2b cancels with the minus sign associated with the *downward* direction of gravity. This result is quite general: When a mass  $m$  undergoes a vertical displacement  $\Delta y$  near Earth’s surface, gravitational potential energy changes by

$$\Delta U = mg \Delta y \quad (\text{gravitational potential energy}) \quad (7.3)$$

The quantity  $\Delta y$  can be positive or negative, depending on whether the object moves up or down; correspondingly, the potential energy can either increase or decrease. We emphasize that Equation 7.3 applies *near Earth’s surface*—that is, for distances small compared with Earth’s radius. That assumption allows us to treat the gravitational force as constant over the path. We’ll explore the more general case in Chapter 8.

We’ve found the *change* in potential energy associated with raising the book, but what about the potential energy itself? That depends on where we define the zero of potential energy. If we choose  $U = 0$  at the floor, then  $U = mgh$  on the shelf. But we could just as well take  $U = 0$  at the shelf; then potential energy when the book is on the floor would be  $-mgh$ . Negative potential energies arise frequently, and that’s OK because only *differences* in potential energy really matter. Figure 7.4 shows a plot of potential energy versus height with  $U = 0$  taken at the floor. The *linear* increase in potential energy with height reflects the *constant* gravitational force.



**FIGURE 7.4** Gravitational force is constant, so potential energy increases linearly with height.

## Elastic Potential Energy

When you stretch or compress a spring or other elastic object, you do work against the spring force, and that work ends up stored as **elastic potential energy**. For an ideal spring, the force is  $F = -kx$ , where  $x$  is the distance the spring is stretched from equilibrium, and

**EXAMPLE 7.1****Gravitational Potential Energy: Riding the Elevator**

A 55-kg engineer leaves her office on the 33rd floor of a skyscraper and takes an elevator up to the 59th floor. Later she descends to street level. If the engineer chooses the zero of potential energy at her office and if the distance from one floor to the next is 3.5 m, what's the potential energy when the engineer is (a) in her office, (b) on the 59th floor, and (c) at street level?

**INTERPRET** This is a problem about gravitational potential energy relative to a specified point of zero energy—namely, the engineer's office.

**DEVELOP** Equation 7.3,  $\Delta U = mg \Delta y$ , gives the change in gravitational energy associated with a change  $\Delta y$  in vertical position. We're given positions in floors, not meters, so we need to convert using the given factor 3.5 m per floor.

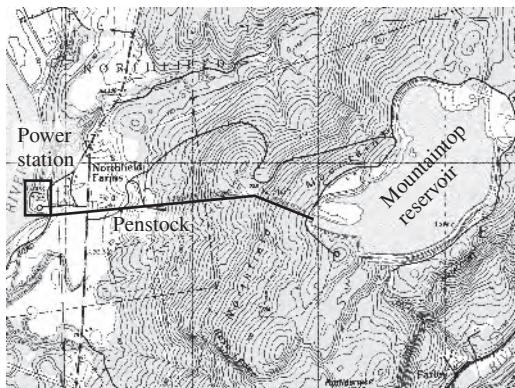
**EVALUATE** (a) When the engineer is in her office, the potential energy is zero, since she defined it that way. (b) The 59th floor is  $59 - 33 = 26$  floors higher, so the potential energy when she's there is

$$U_{59} = mg \Delta y = (55 \text{ kg})(9.8 \text{ m/s}^2)(26 \text{ floors})(3.5 \text{ m/floor}) = 49 \text{ kJ}$$

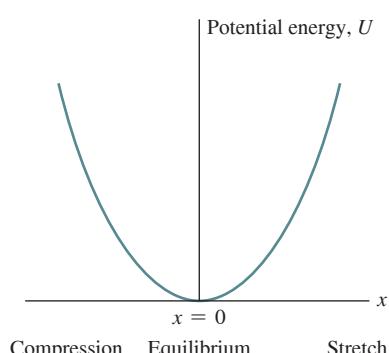
Here we can write  $U$  rather than  $\Delta U$  because we're calculating the potential-energy *change* from the place where  $U = 0$ . (c) The street level is 32 floors *below* the engineer's office, so

$$U_{\text{street}} = mg \Delta y = (55 \text{ kg})(9.8 \text{ m/s}^2)(-32 \text{ floors})(3.5 \text{ m/floor}) = -60 \text{ kJ}$$

**ASSESS** Makes sense: When the engineer goes *up*, the potential energy relative to her office is positive; when she goes *down*, it's negative. And the distance down is a bit farther, so the magnitude of the change is greater going down.

**APPLICATION****Pumped Storage**

Electricity is a wonderfully versatile form of energy, but it's not easy to store. Large electric power plants are most efficient when operated continuously, yet the demand for power fluctuates. Renewable energy sources like wind and solar vary, not necessarily with demand. Energy storage can help in both cases. Today, the only practical way to store large amounts of excess electrical energy is to convert it to gravitational potential energy. In so-called pumped-storage facilities, surplus electric power pumps water from a lower reservoir to a higher one, thereby increasing gravitational potential energy. When power demand is high, water runs back down, turning the pump motors into generators that produce electricity. The map here shows the Northfield Mountain Pumped Storage Project in Massachusetts, including the mountaintop reservoir, the location of the power station 214 m below on the Deerfield River, and the *penstock*, the pipe that conveys water in both directions between the power station and the reservoir. You can explore this facility quantitatively in Problem 35.



**FIGURE 7.5** The potential-energy curve for a spring is a parabola.

the minus sign shows that the force opposes the stretching or compression. Since the force varies with position, we use Equation 7.2a to evaluate the potential energy:

$$\Delta U = - \int_{x_1}^{x_2} F(x) dx = - \int_{x_1}^{x_2} (-kx) dx = \frac{1}{2} kx_2^2 - \frac{1}{2} kx_1^2$$

where  $x_1$  and  $x_2$  are the initial and final values of the stretch. If we take  $U = 0$  when  $x = 0$  (that is, when the spring is neither stretched nor compressed) then we can use this result to write the potential energy at an arbitrary stretch (or compression)  $x$  as

$$U = \frac{1}{2} kx^2 \quad (\text{elastic potential energy}) \quad (7.4)$$

Comparison with Equation 6.10,  $W = \frac{1}{2} kx^2$ , shows that this is equal to the work done in stretching the spring. Thus the energy transferred by doing work gets stored as potential energy. Figure 7.5 shows potential energy as a function of the stretch or compression of a spring. The *parabolic* shape of the potential-energy curve reflects the *linear* change of the spring force with stretch or compression.

**EXAMPLE 7.2** Energy Storage: Springs versus Gasoline

A car's suspension consists of springs with an overall effective spring constant of 120 kN/m. How much would you have to compress the springs to store the same amount of energy as in 1 g of gasoline?

**INTERPRET** This problem is about the energy stored in a spring, as compared with the chemical energy of gasoline.

**DEVELOP** Equation 7.4,  $U = \frac{1}{2}kx^2$ , gives a spring's stored energy when it's been compressed a distance  $x$ . Here we want that energy to equal the energy in 1 g of gasoline. We can get that value from the "Energy Content of Fuels" table in Appendix C, which lists 44 MJ/kg for gasoline.

**EVALUATE** At 44 MJ/kg, the energy in 1 g of gasoline is 44 kJ. Setting this equal to the spring energy  $\frac{1}{2}kx^2$  and solving for  $x$ , we get

$$x = \sqrt{\frac{2U}{k}} = \sqrt{\frac{(2)(44 \text{ kJ})}{120 \text{ kN/m}}} = 86 \text{ cm}$$

**ASSESS** This answer is absurd. A car's springs couldn't compress anywhere near that far before the underside of the car hit the ground. And 1 g isn't much gasoline. This example shows that springs, though useful energy-storage devices, can't possibly compete with chemical fuels.

**EXAMPLE 7.3****Elastic Potential Energy: A Climbing Rope***Worked Example with Variation Problems*

Ropes used in rock climbing are "springy" so that they cushion a fall. A particular rope exerts a force  $F = -kx + bx^2$ , where  $k = 223 \text{ N/m}$ ,  $b = 4.10 \text{ N/m}^2$ , and  $x$  is the stretch. Find the potential energy stored in this rope when it's been stretched 2.62 m, taking  $U = 0$  at  $x = 0$ .

**INTERPRET** Like Example 7.2, this one is about elastic potential energy. But this one isn't so easy because the rope isn't a simple  $F = -kx$  spring for which we already have a potential-energy formula.

**DEVELOP** Because the rope force varies with stretch, we'll have to integrate. Since force and displacement are in the same direction, we

can use Equation 7.2a,  $\Delta U = -\int_{x_1}^{x_2} F(x) dx$ . But that's not so much a formula as a strategy for deriving one.

**EVALUATE** Applying Equation 7.2 to this particular rope, we have

$$\begin{aligned} U &= -\int_{x_1}^{x_2} F(x) dx = -\int_0^x (-kx + bx^2) dx = \frac{1}{2}kx^2 - \frac{1}{3}bx^3 \Big|_0^x \\ &= \frac{1}{2}kx^2 - \frac{1}{3}bx^3 \\ &= (\frac{1}{2})(223 \text{ N/m})(2.62 \text{ m})^2 - (\frac{1}{3})(4.1 \text{ N/m}^2)(2.62 \text{ m})^3 \\ &= 741 \text{ J} \end{aligned}$$

**ASSESS** This result is about 3% less than the potential energy  $U = \frac{1}{2}kx^2$  of an ideal spring with the same spring constant. This shows the effect of the extra term  $+bx^2$ , whose positive sign reduces the restoring force and thus the work needed to stretch the spring.

**GOT IT?**

**7.2** Gravitational force actually decreases with height, but that decrease is negligible near Earth's surface. To account for the decrease, would the exact value for the potential-energy change associated with a height change  $h$  be (a) greater than, (b) less than, or (c) equal to  $mgh$ , where  $g$  is the gravitational acceleration at Earth's surface?

**Where's the Stored Energy and What's the System?**

In discussing the climber of Fig. 7.1a, the book of Fig. 7.3, and the engineer of Example 7.1, we were careful not to use phrases like "the climber's potential energy," "the potential energy of the book," or "the engineer's potential energy." After all, the climber herself hasn't changed in going from the bottom to the top of the cliff; nor is the book any different after you've returned it to the shelf. So it doesn't make a lot of sense to say that potential energy is somehow a property of these objects. Indeed, the idea of potential energy requires that two (or more) objects interact via a force. In the examples of the climber, the book, and the engineer, that force is gravity—and the pairs of interacting objects are, correspondingly, the climber and Earth, the book and Earth, and the engineer and Earth. So to characterize potential energy, we need in each case to consider a system consisting of at least two objects. In each example the *configuration* of that system changes, because the relative positions of the objects making up the system are altered. In each case, one member of the system—climber, book, or engineer—has moved relative to Earth. So

potential energy is energy associated with the *configuration of a system*. It really makes no sense to talk about the potential energy of a single, structureless object. That's in contrast with kinetic energy, which is associated with the motion of a system that might be as simple as a single object.

So where is potential energy stored? In the system of interacting objects. Potential energy is inherently a property of a system and can't be assigned to individual objects. In the case of gravity, we can go further and say that the energy is stored in the *gravitational field*—a concept that we'll introduce in the next chapter. It's the gravitational field that changes, not the individual objects, when we change the configuration of a system whose components interact via gravity.

What about a spring? We *can* talk about “the potential energy of a spring” because any flexible object, including a spring, necessarily comprises a system of interacting parts. In the case of a spring, the individual molecules in the spring ultimately interact via electric forces, and the associated *electric field* is what changes as the spring stretches or compresses. And, as we'll see quantitatively in Chapter 23, it's in the electric field that the potential energy resides. When we talk about “elastic potential energy” we're really describing potential energy stored in molecular electric fields.

## 7.3 Conservation of Mechanical Energy

**LO 7.3** Use conservation of mechanical energy to solve problems that would be difficult using Newton's second law.

The work–kinetic energy theorem, developed in Section 6.3, shows that the change  $\Delta K$  in an object's kinetic energy is equal to the net work done on it:

$$\Delta K = W_{\text{net}}$$

Here we'll consider the case where the only forces acting are conservative; then, as our interpretation of Equation 7.2 shows, the work done is the negative of the potential-energy change:  $W_{\text{net}} = -\Delta U$ . As a result, we have  $\Delta K = -\Delta U$ , or

$$\Delta K + \Delta U = 0$$

What does this equation tell us? It says that any change  $\Delta K$  in kinetic energy  $K$  must be compensated by an opposite change  $\Delta U$  in potential energy  $U$  in order that the two changes sum to zero. If kinetic energy goes up, then potential energy goes down by the same amount, and vice versa. In other words, the total **mechanical energy**, defined as the sum of kinetic and potential energy, does not change.

Remember that at this point we're considering the case where only conservative forces act. For that case, we've just shown that mechanical energy is conserved. This principle, called **conservation of mechanical energy**, is expressed mathematically in the two equivalent ways we've just discussed:

$\Delta K$  and  $\Delta U$  are changes in kinetic and potential energy, respectively. If one goes up, the other must go down so that there's no overall change in mechanical energy.

$$\Delta K + \Delta U = 0$$

and, equivalently,

$$\underbrace{K + U}_{\text{constant}} = \underbrace{K_0 + U_0}_{\text{constant}}$$

The two equations are equivalent. Equation 7.5 talks about *changes* in kinetic and potential energy, while Equation 7.6 talks about the *total* mechanical energy.

(7.5)

(conservation of  
mechanical energy)

(7.6)

$K_0$  and  $U_0$  are the kinetic and potential energy at some point. Their sum is the total mechanical energy.

$K$  and  $U$  are the kinetic and potential energy at any other point. Their sum doesn't change.

The work–kinetic energy theorem—which itself follows from Newton’s second law—is what lies behind the principle of mechanical energy conservation. Although we derived the work–kinetic energy theorem by considering a single object, the principle of mechanical energy conservation holds for any isolated system of macroscopic objects, no matter how complex, as long as its constituents interact only via conservative forces. Individual constituents of a complex system may exchange kinetic energy as, for example, they undergo collisions. Furthermore, the system’s potential energy may change as the configuration of the system changes—but add all the constituents’ kinetic energies and the potential energy contained in the entire system, and you’ll find that the sum remains unchanged.

Keep in mind that we’re considering here only isolated systems. If energy is transferred to the system from outside, by external forces doing work, then the system’s mechanical energy increases. And if the system does work on its environment, then its mechanical energy decreases. Ultimately, however, energy is always conserved, and if you make the system large enough to encompass all interacting objects, and if those objects interact only via conservative forces, then the system’s mechanical energy will be strictly conserved.

Conservation of mechanical energy is a powerful principle. Throughout physics, from the subatomic realm through practical problems in engineering and on to astrophysics, the principle of energy conservation is widely used in solving problems that would be intractable without it. Here we consider its use in macroscopic systems subject only to conservative forces; later we’ll expand the principle to more general cases.

### PROBLEM-SOLVING STRATEGY 7.1

### Conservation of Mechanical Energy

When you’re using energy conservation to solve problems, Equation 7.6 basically tells it all. Our IDEA problem-solving strategy adapts well to such problems.

**INTERPRET** First, interpret the problem to be sure that conservation of mechanical energy applies. Are all the forces conservative? If so, mechanical energy is conserved. Next, identify a point at which you know both the kinetic and the potential energy; then you know the total mechanical energy, which is what’s conserved. If the problem doesn’t do so and it’s not implicit in the equations you use, you may need to identify the zero of potential energy—although that’s your own arbitrary choice. You also need to identify the quantity the problem is asking for and the situation in which it has the value you’re after. The quantity may be the energy itself or a related quantity like height, speed, or spring compression. In some situations, you may have to deal with several types of potential energy—such as gravitational and elastic potential energy—appearing in the same problem.

**DEVELOP** Draw your object first in the situation where you know the energies and then in the situation that contains the unknown. It’s helpful to draw simple bar charts suggesting the relative sizes of the potential- and kinetic-energy terms; we’ll show you how in several examples. Then you’re ready to set up the quantitative statement of mechanical energy conservation, Equation 7.6:  $K + U = K_0 + U_0$ . Consider which of the four terms you know or can calculate from the given information. You’ll probably need secondary equations like the expressions for kinetic energy and for various forms of potential energy. Consider how the quantity you’re trying to find is related to an energy.

**EVALUATE** Write Equation 7.6 for your specific problem, including expressions for kinetic or potential energy that contain the quantity you’re after. Solving is then a matter of algebra.

**ASSESS** As usual, ask whether your answer makes physical sense. Does it have the right units? Are the numbers reasonable? Do the signs make sense? Is your answer consistent with the bar charts in your drawing?

**EXAMPLE 7.4****Energy Conservation: Tranquilizing an Elephant**

A biologist uses a spring-loaded gun to shoot tranquilizer darts into an elephant. The gun's spring has  $k = 940 \text{ N/m}$  and is compressed a distance  $x_0 = 25 \text{ cm}$  before firing a 38-g dart. Assuming the gun is pointed horizontally, at what speed does the dart leave the gun?

**INTERPRET** We're dealing with a spring, assumed ideal, so conservation of mechanical energy applies. We identify the initial state—dart at rest, spring fully compressed—as the point where we know both kinetic and potential energy. The state we're then interested in is when the dart just leaves the gun, when potential energy has been converted to kinetic energy and before gravity has changed its vertical position.

**DEVELOP** In Fig. 7.6 we've sketched the two states, giving the potential and kinetic energy for each. We've also sketched bar graphs showing the relative sizes of the energies. To use the statement of energy conservation, Equation 7.6, we also need expressions for the kinetic energy ( $\frac{1}{2}mv^2$ ) and the spring potential energy ( $\frac{1}{2}kx^2$ ; Equation 7.4). Incidentally, using Equation 7.4 implicitly sets the zero of elastic potential energy when the spring is in its equilibrium position. We might as well set the zero of gravitational energy at the height of the gun, since there's no change in the dart's vertical position between our initial and final states.

**EVALUATE** We're now ready to write Equation 7.6,  $K + U = K_0 + U_0$ . We know three of the terms in this equation: The initial kinetic energy  $K_0$  is 0, since the dart is initially at rest. The initial potential energy is that of the compressed spring,  $U_0 = \frac{1}{2}kx_0^2$ . The final potential energy is  $U = 0$  because the spring is now in its equilibrium position and we've taken the gravitational potential energy to be zero. What we don't know is the final kinetic energy, but we do know that it's given by  $K = \frac{1}{2}mv^2$ . So Equation 7.6 becomes  $\frac{1}{2}mv^2 + 0 = 0 + \frac{1}{2}kx_0^2$ , which solves to give

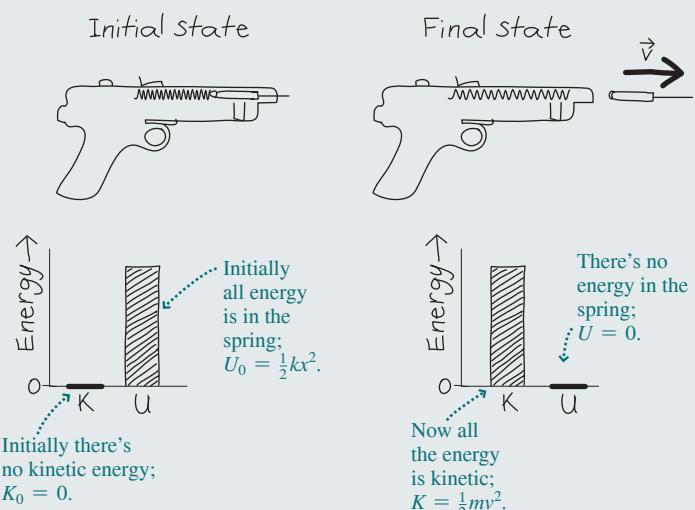


FIGURE 7.6 Our sketches for Example 7.4, showing bar charts for the initial and final states.

$$v = \sqrt{\frac{k}{m}x_0} = \left(\sqrt{\frac{940 \text{ N/m}}{0.038 \text{ kg}}}\right)(0.25 \text{ m}) = 39 \text{ m/s}$$

**ASSESS** Take a look at the answer in algebraic form; it says that a stiffer spring or a greater compression will give a higher dart speed. Increasing the dart mass, on the other hand, will decrease the speed. All this makes good physical sense. And the outcome shows quantitatively what our bar charts suggest—that the dart's energy starts out all potential and ends up all kinetic.

Example 7.4 shows the power of the conservation-of-energy principle. If you had tried to find the answer using Newton's law, you would have been stymied by the fact that the spring force and thus the acceleration of the dart vary continuously. But you don't need to worry about those details; all you want is the final speed, and energy conservation gets you there, shortcircuiting the detailed application of  $\vec{F} = m\vec{a}$ .

**EXAMPLE 7.5****Conservation of Energy: A Spring and Gravity  
Worked Example with Variation Problems**

The spring in Fig. 7.7 has  $k = 140 \text{ N/m}$ . A 50-g block is placed against the spring, which is compressed 11 cm. When the block is released, how high up the slope does it rise? Neglect friction.

**INTERPRET** This example is similar to Example 7.4, but now we have changes in both elastic and gravitational potential energy. Since friction is negligible, we can consider that only conservative forces act, in which case we can apply conservation of mechanical energy. We identify the initial state as the block at rest against the compressed spring; the final state is the block momentarily at rest

at its topmost point on the slope. We'll take the zero of gravitational potential energy at the bottom.

**DEVELOP** Figure 7.7 shows the initial and final states, along with bar charts for each. We've drawn separate bars for the spring and gravitational potential energies,  $U_s$  and  $U_g$ . Now apply Equation 7.6,  $K + U = K_0 + U_0$ .

**EVALUATE** In both states the block is at rest, so kinetic energy is zero. In the initial state we know the potential energy  $U_0$ ; It's the spring

(continued)

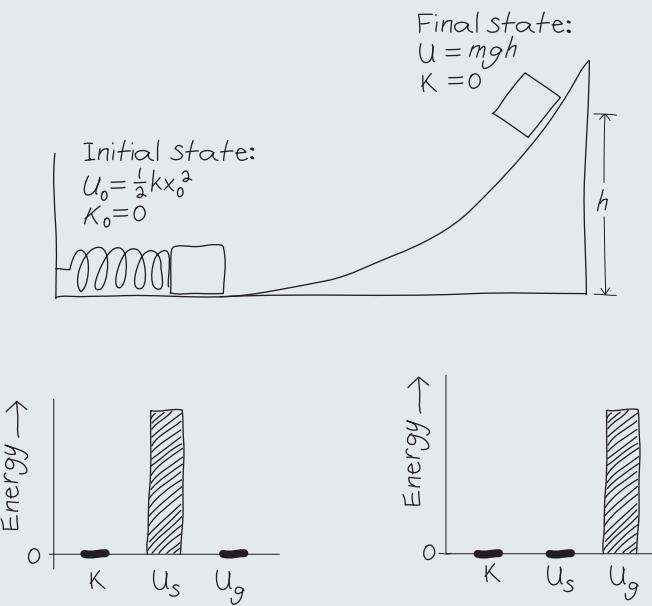


FIGURE 7.7 Our sketches for Example 7.5.

energy  $\frac{1}{2}kx_0^2$ . We don't know the final-state potential energy, but we do know that it's gravitational energy—and with the zero of potential energy at the bottom, it's  $U = mgh$ . With  $K = K_0 = 0$ ,  $U_0 = \frac{1}{2}kx_0^2$ , and  $U = mgh$ , Equation 7.6 reads  $0 + mgh = 0 + \frac{1}{2}kx_0^2$ . We then solve for the unknown  $h$  to get

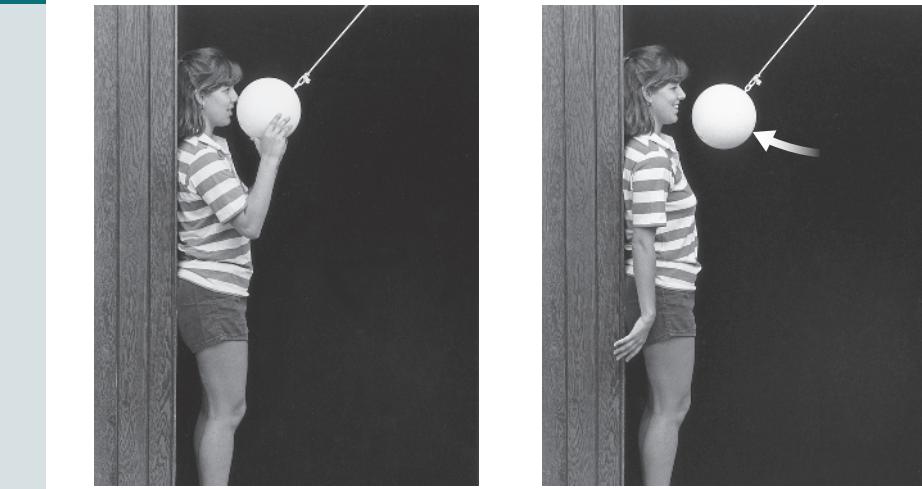
$$h = \frac{kx_0^2}{2mg} = \frac{(140 \text{ N/m})(0.11 \text{ m})^2}{(2)(0.050 \text{ kg})(9.8 \text{ m/s}^2)} = 1.7 \text{ m}$$

**ASSESS** Again, the answer in algebraic form makes sense; the stiffer the spring or the more it's compressed, the higher the block will go. But if the block is more massive or gravity is stronger, then the block won't get as far.

**SAVE STEPS** You might be tempted to solve first for the block's speed when it leaves the spring and then equate  $\frac{1}{2}mv^2$  to  $mgh$  to find the height. You could—but conservation of mechanical energy shortcuts all the details, getting you right from the initial to the final state. As long as energy is conserved, you don't need to worry about what happens in between.

### GOT IT?

**7.3** A bowling ball is tied to the end of a long rope and suspended from the ceiling. A student stands at one side of the room and holds the ball to her nose, then releases it from rest. Should she duck as it swings back? Explain.



## 7.4 Nonconservative Forces

**LO 7.4** Evaluate situations where nonconservative forces result in loss of mechanical energy.

In the examples in Section 7.3, we assumed that mechanical energy was strictly conserved. In the everyday world of friction and other nonconservative forces, however, conservation of mechanical energy is sometimes a reasonable approximation and sometimes not. When it's not, we have to consider energy transformations associated with nonconservative forces.

Friction is a nonconservative force. Recall from Chapter 5 that friction is actually a complex phenomenon, involving the making and breaking of microscopic bonds between two surfaces in contact (review Fig. 5.18). Associated with these bonds are myriad force application points, and different points may undergo different displacements depending on the strengths of the temporary bonds. For these reasons it's difficult to calculate, or even to define unambiguously, the work done by friction.

What friction and other nonconservative forces do, however, is unambiguous: They convert the kinetic energy of macroscopic objects into kinetic energy associated with the random motions of individual molecules. Although we're still talking about kinetic energy, there's a huge difference between the kinetic energy of a macroscopic object like a moving car, with all its parts participating in a common motion, versus the random motions of molecules going helter-skelter in every direction with a range of speeds. We'll explore that difference in Chapter 19, where we'll find that, among other profound implications, it places serious constraints on our ability to extract useful energy from fuels.

You'll also see, in Chapter 18, that molecular energy may include potential energy associated with stretching of spring-like molecular bonds. The combination of molecular kinetic and potential energy is called **internal energy** or **thermal energy**, and we give it the symbol  $E_{\text{int}}$ . Here "internal" implies that this energy is contained within an object and that it isn't as obvious as the kinetic energy associated with overall motion of the entire object. The alternative term "thermal" hints that internal energy is associated with temperature, heat, and related phenomena. We'll see in Chapters 16–19 that temperature is a measure of the internal energy per molecule, and that what you probably think of as "heat" is actually internal energy. In physics, "heat" has a very specific meaning: It designates another way of transferring energy to a system, in addition to the mechanical work we've considered in Chapters 6 and 7.

So friction and other nonconservative forces convert mechanical energy into internal energy. How much internal energy? Both theory and experiment give a simple answer: The amount of mechanical energy converted to internal energy is given by the product of the nonconservative force with the distance over which it acts. With friction, that means  $\Delta E_{\text{int}} = f_k d$ , where  $d$  is the distance over which the frictional force acts. (Here we write *kinetic* friction  $f_k$  explicitly because *static* friction  $f_s$  does not convert mechanical energy to internal energy because there's no relative motion involved.) Since the increase in internal energy comes at the expense of mechanical energy  $K + U$ , we can write

$$\Delta K + \Delta U = -\Delta E_{\text{int}} = -f_k d \quad (7.7)$$

Example 7.6 describes a system in which friction converts mechanical energy to internal energy.

### GOT IT?

- 7.4** For which of the following systems is (1) mechanical energy conserved and (2) total energy conserved? (a) the system is isolated, and all forces among its constituents are conservative; (b) the system is not isolated, and work is done on it by external forces; (c) the system is isolated, and some forces among its constituents are not conservative

### EXAMPLE 7.6

#### Nonconservative Forces: A Sliding Block

A block of mass  $m$  is launched from a spring of constant  $k$  that's initially compressed a distance  $x_0$ . After leaving the spring, the block slides on a horizontal surface with frictional coefficient  $\mu$ . Find an expression for the distance the block slides before coming to rest.

**INTERPRET** The presence of friction means that mechanical energy isn't conserved. But we can still identify the kinetic and

potential energy in the initial state: The kinetic energy is zero and the potential energy is that of the spring. In the final state, there's no mechanical energy at all. The nonconservative frictional force converts the block's mechanical energy into internal energy of the block and the surface it's sliding on. The block comes to rest when all its mechanical energy has been converted.

(continued)

**DEVELOP** Figure 7.8 shows the situation. With  $K_0 = 0$ , we determine the total initial energy from Equation 7.4,  $U_0 = \frac{1}{2}kx_0^2$ . As the block slides a distance  $d$ , Equation 7.7 shows that the frictional force converts mechanical energy equal to  $f_k d$  into internal energy. All the mechanical energy will be gone, therefore, when  $f_k d = \frac{1}{2}kx_0^2$ . Here the frictional force has magnitude  $f_k = \mu n = \mu mg$ , where in this case of a horizontal surface the normal force  $n$  has the same magnitude as the weight  $mg$ . So our statement that all the mechanical energy gets converted to internal energy becomes  $\frac{1}{2}kx_0^2 = \mu mgd$ .

**EVALUATE** We solve this equation for the unknown distance  $d$  to get  $d = kx_0^2/2\mu mg$ . Since we weren't given numbers, there's nothing further to evaluate.

**ASSESS** Make sense? The stiffer the spring or the more it's compressed, the farther the block goes. The greater the friction or the normal force  $mg$ , the sooner the block stops. If  $\mu = 0$ , mechanical energy is once again conserved; then our result shows that the block would slide forever.

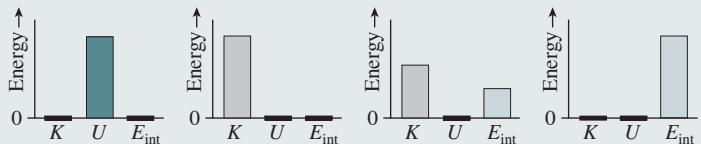
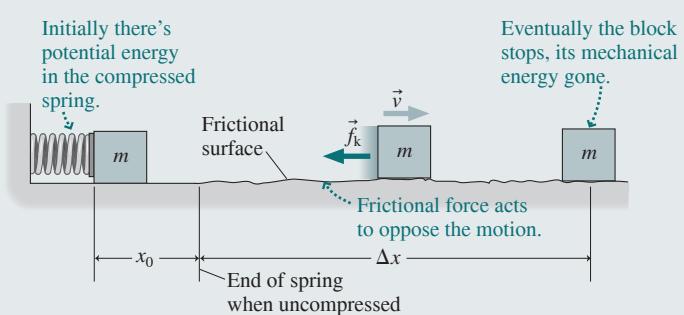


FIGURE 7.8 Intermediate bar charts show gradual conversion of mechanical energy into internal energy.

## 7.5 Conservation of Energy

### LO 7.5 Distinguish internal energy from mechanical energy.

We often speak of energy being “lost” due to friction, or to air resistance, or to electrical resistance in power transmission. But that energy isn’t really lost; instead, as we’ve just seen for friction, it’s converted to internal energy. Physically, the internal energy manifests itself by warming the system. So the energy really is still there; it’s just that we can’t get it back as the kinetic energy of macroscopic objects.

Accounting for internal energy leads to a broader statement of energy conservation. Rearranging the first equality of Equation 7.7 lets us write

$$\Delta K + \Delta U + \Delta E_{\text{int}} = 0$$

This equation shows that the sum of the kinetic, potential, and internal energy of an isolated system doesn’t change even though energy may be converted among these three different forms. You can see this conservation of energy graphically in Fig. 7.8, which plots all three forms of energy for the situation of Example 7.6.

So far we’ve considered only isolated systems, in which all forces are internal to the system. For Example 7.6 to be about an isolated system, for instance, that system had to include the spring, the block, and the surface on which the block slides. What if a system isn’t isolated? Then external forces may do work on it, increasing its energy. Or the system may do work on its environment, decreasing its energy. In that case we can generalize Equation 7.7 to read

$$\Delta K + \Delta U + \Delta E_{\text{int}} = W_{\text{ext}} \quad (7.8)$$

where  $W_{\text{ext}}$  is the work done on the system by forces acting from outside. If  $W_{\text{ext}}$  is positive, then this external work adds energy to the system; if it’s negative, then the system does work on its surroundings, and its total energy decreases. Recall that doing work is the *mechanical* means of transferring energy; in Chapters 16–18 we’ll introduce *heat* as a nonmechanical energy-transfer mechanism, and we’ll develop a statement like Equation 7.8 that includes energy transfers by both work and heat.

## Energy Conservation: The Big Picture

So far we’ve considered kinetic energy, potential energy, and internal energy, and we’ve explored energy transfer by mechanical work and by dissipative forces like friction.

We've also hinted at energy transfer by heat, to be defined in Chapter 16. But there are other forms of energy, and other energy-transfer mechanisms. In Part 3, you'll explore electromagnetism, and you'll see how energy can be stored in both electric and magnetic fields; their combination into electromagnetic waves results in energy transfer by *electromagnetic radiation*—the process that delivers life-sustaining energy from Sun to Earth and that also carries your cell phone conversations and data. Electromagnetic fields interact with matter, so energy transfers among electromagnetic, mechanical, and internal energy are important processes in the everyday physics of both natural and technological systems. But again, for any isolated system, such transfers only interchange *types* of energy and don't change the total *amount* of energy. Energy, it seems, is strictly conserved.

In Newtonian physics, conservation of energy stands alongside the equally fundamental principle of conservation of mass (the statement that the total mass of an isolated system can't change). A closer look, however, shows that neither principle stands by itself. If you measure precisely enough the mass of a system before it emits energy, and again afterward, you'll find that the mass has decreased. Einstein's equation  $E = mc^2$  describes this effect, which ultimately shows that mass and energy are interchangeable. So Einstein replaces the separate conservation laws for mass and energy with a single statement: **conservation of mass-energy**. You'll see how mass–energy interchangeability arises when we study relativity in Chapter 33. Until then, we'll be dealing in the realm of Newtonian physics, where it's an excellent approximation to assume that energy and mass are separately conserved.

### GOT IT?

- 7.5** Consider Earth and its atmosphere as a system. Which of the following processes conserves the total energy of this system? (a) a volcano erupts, spewing hot gases and particulate matter high into the atmosphere; (b) a small asteroid plunges into Earth's atmosphere, heating and exploding high over the planet; (c) over geologic time, two continents collide, and the one that is subducted under the other heats up and undergoes melting; (d) a solar flare delivers high-energy particles to Earth's upper atmosphere, lighting the atmosphere with colorful auroras; (e) a hurricane revs up its winds, extracting energy from water vapor evaporated from warm tropical seas; (f) coal burns in numerous power plants, and uranium fissions in nuclear reactors, with both processes sending electrical energy into the world's power grids and dumping warmed water into the environment

## 7.6 Potential-Energy Curves

### LO 7.6 Work with potential-energy curves for a wide variety of systems.

Figure 7.9 shows a frictionless roller-coaster track. How fast must a car be coasting at point A if it's to reach point D? Conservation of mechanical energy provides the answer. To get to D, the car must clear peak C. Clearing C requires that the total energy exceed the potential energy at C; that is,  $\frac{1}{2}mv_A^2 + mgh_A > mgh_C$ , where we've taken the zero of potential energy with the car at the bottom of the track. Solving for  $v_A$  gives  $v_A > \sqrt{2g(h_C - h_A)}$ . If  $v_A$  satisfies this inequality, the car will reach C with some kinetic energy remaining and will coast over the peak.

Figure 7.9 is a drawing of the actual roller-coaster track. But because gravitational potential energy is directly proportional to height, it's also a plot of potential energy versus position: a **potential-energy curve**. Conceptual Example 7.1 shows how we can study the car's motion by plotting total energy on the same graph as the potential-energy curve.

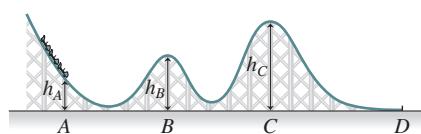


FIGURE 7.9 A roller-coaster track.

**CONCEPTUAL EXAMPLE 7.1****Potential-Energy Curves**

Figure 7.10 plots potential energy for our roller-coaster system, along with three possible values for the total mechanical energy. Since mechanical energy is conserved in the absence of nonconservative forces, the total-energy curve is a horizontal line. Use these graphs to describe the motion of a roller-coaster car, initially at point A and moving to the right.

**EVALUATE** We're assuming there are no nonconservative forces (an approximation for a real roller coaster), so mechanical energy

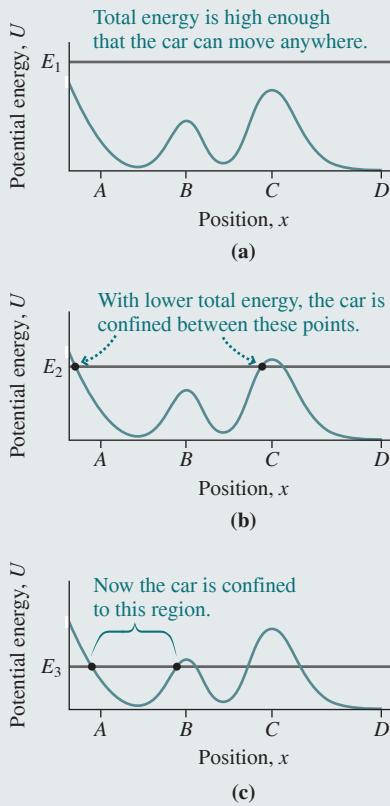


FIGURE 7.10 Potential and total energy for a roller coaster.

is conserved. In each figure, the sum of kinetic and potential energy therefore remains equal to the value set by the line indicating the total energy. When the roller-coaster car rises, potential energy increases and kinetic energy consequently decreases. But as long as potential energy remains below the total energy, the car still has kinetic energy and is still moving. Anywhere potential energy equals the total energy, the car has no kinetic energy and is momentarily at rest.

In Fig. 7.10a the car's total energy exceeds the maximum potential energy. Therefore, it can move anywhere from its initial position at A. Since it's initially moving to the right, it will clear peaks B and C and will end up at D still moving to the right—and, since D is lower than A, it will be moving faster than it was at A.

In Fig. 7.10b the highest peak in the potential-energy curve exceeds the total energy; so does the very leftmost portion of the curve. Therefore, the car will move rightward from A, clearing peak B, but will come to a stop just before peak C, a so-called **turning point** where potential energy equals the total energy. Then it will roll back down to the left, again clearing peak B and climbing to another turning point where the potential-energy curve and total-energy line again intersect. Absent friction, it will run back and forth between the two turning points.

In Fig. 7.10c the total energy is lower, and the car can't clear peak B. So now it will run back and forth between the two turning points we've marked.

**ASSESS** Make sense? Yes: The higher the total energy, the larger the extent of the car's allowed motion. That's because, for a given potential energy, the car it has more energy available in the form of kinetic energy.

**MAKING THE CONNECTION** Find a condition on the speed at A that will allow the car to move beyond peak B.

**EVALUATE** With total energy equal to  $U_B$ , the car could just barely clear peak B. The initial energy is  $\frac{1}{2}mv_A^2 + mgh_A$ , where  $v_A$  and  $h_A$  are the car's speed and height at A, and where we've taken the zero of potential energy at the bottom of the curve. Requiring that this quantity exceed  $U_B = mgh_B$  then gives  $v_A > \sqrt{2g(h_B - h_A)}$ .

Even though the car in Figs. 7.10b and c can't get to D, the total energy still exceeds the potential energy at D. But the car is blocked from reaching D by the **potential barrier** of peak C. We say that it's **trapped** in a **potential well** between its turning points.

Potential-energy curves are useful even with nongravitational forces where there's no direct correspondence with hills and valleys. The terminology used here—potential barriers, wells, and trapping—remains appropriate in such cases and indeed is widely used throughout physics.

Figure 7.11 shows the potential energy of a system comprising a pair of hydrogen atoms, as a function of their separation. This energy is associated with attractive and repulsive electrical forces involving the electrons and the nuclei of the two atoms. The potential-energy curve exhibits a potential well, showing that the atoms can form a **bound system** in which they're unable to separate fully. That bound system is a hydrogen molecule ( $H_2$ ). The minimum energy,  $-7.6 \times 10^{-19}$  J, corresponds to the molecule's equilibrium separation of 0.074 nm. It's convenient to define the zero of potential energy when the atoms are infinitely far apart; Fig. 7.11 then shows that any total energy less than zero results in a bound system. But if the total energy is greater than zero, the atoms are free to move arbitrarily far apart, so they don't form a molecule.

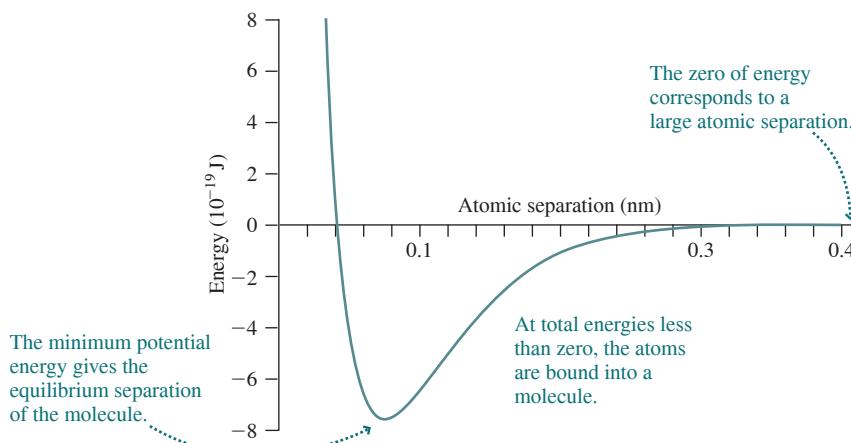


FIGURE 7.11 Potential-energy curve for two hydrogen atoms.

### EXAMPLE 7.7

### Molecular Energy: Finding Atomic Separation

Very near the bottom of the potential well in Fig. 7.11, the potential energy of the two-atom system is given approximately by  $U = U_0 + a(x - x_0)^2$ , where  $U_0 = -0.760 \text{ aJ}$ ,  $a = 286 \text{ aJ/nm}^2$ , and  $x_0 = 0.0741 \text{ nm}$  is the equilibrium separation. What range of atomic separations is allowed if the total energy is  $-0.717 \text{ aJ}$ ?

**INTERPRET** This problem sounds complicated, with strange units and talk of molecular energies. But it's about just what's shown in Figs. 7.10 and 7.11. Specifically, we're given the total energy and asked to find the turning points—the points where the line representing total energy intersects the potential-energy curve. If the units look strange, remember the SI prefixes (there's a table inside the front cover), which we use to avoid writing large powers of 10. Here  $1 \text{ aJ} = 10^{-18} \text{ J}$  and  $1 \text{ nm} = 10^{-9} \text{ m}$ .

**DEVELOP** Figure 7.12 is a plot of the potential-energy curve from the function we've been given. The straight line represents the total energy  $E$ . The turning points are the values of atomic separation where the two curves intersect. We could read them off the graph, or we can solve algebraically by setting the total energy equal to the potential energy.

**EVALUATE** With the potential energy given by  $U = U_0 + a(x - x_0)^2$  and the total energy  $E$ , the two turning points occur when  $E = U_0 + a(x - x_0)^2$ . We could solve directly for  $x$ , but then we'd have to use the quadratic formula. Solving for  $x - x_0$  is easier:

$$\begin{aligned} x - x_0 &= \pm \sqrt{\frac{E - U_0}{a}} = \pm \sqrt{\frac{-0.717 \text{ aJ} - (-0.760 \text{ aJ})}{286 \text{ aJ/nm}^2}} \\ &= \pm 0.0123 \text{ nm} \end{aligned}$$

Then the turning points are at  $x_0 \pm 0.0123 \text{ nm}$ —namely,  $0.0864 \text{ nm}$  and  $0.0618 \text{ nm}$ .

**ASSESS** Make sense? A look at Fig. 7.12 shows that we've correctly located the turning points. The fact that its potential-energy curve is parabolic (like a spring's  $U = \frac{1}{2}kx^2$ ) shows that the molecule can be modeled approximately as two atoms joined by a spring. Chemists frequently use such models and even talk of the “spring constant” of the bond joining atoms into a molecule.

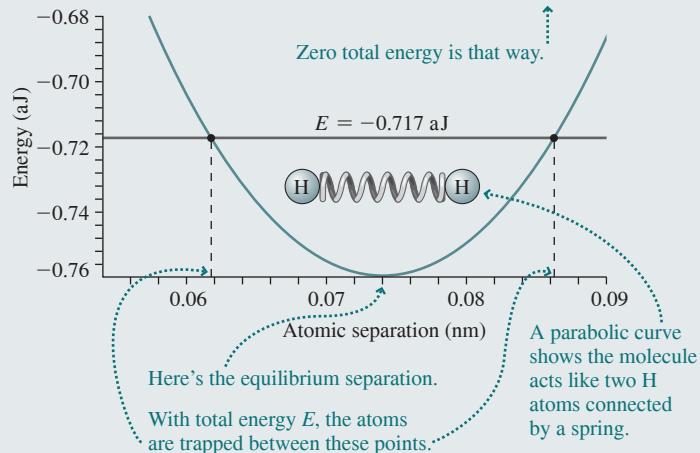
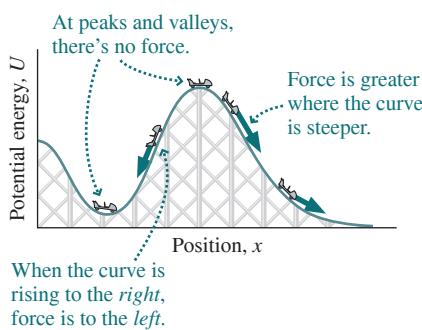


FIGURE 7.12 Analyzing the hydrogen molecule.

## Force and Potential Energy

The roller-coaster track in Fig. 7.9 traces the potential-energy curve for a car on the track. But it also shows the force acting to accelerate the car: Where the graph is steep—that is, where the potential energy is changing rapidly—the force is greatest. At the peaks and valleys, the force is zero. So it's the *slope* of the potential-energy curve that tells us about the force (Fig. 7.13).



**FIGURE 7.13** Force depends on the slope of the potential-energy curve.

Just how strong is this force? Consider a small change  $\Delta x$ , so small that the force is essentially constant over this distance. Then we can use Equation 7.2b to write  $\Delta U = -F_x \Delta x$ , or  $F_x = -\Delta U / \Delta x$ . In the limit  $\Delta x \rightarrow 0$ ,  $\Delta U / \Delta x$  becomes the derivative, and we have

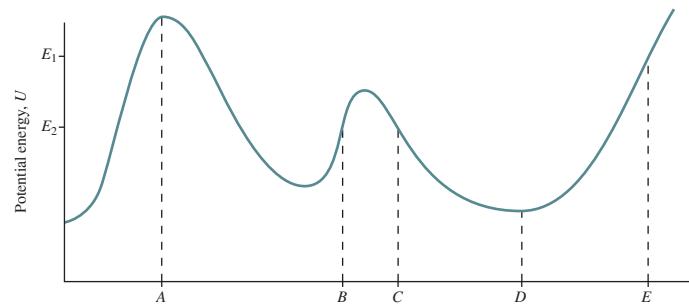
$$F_x = -\frac{dU}{dx} \quad (7.9)$$

This equation makes mathematical as well as physical sense. We've already written potential energy as the *integral* of force over distance, so it's no surprise that force is the *derivative* of potential energy. Equation 7.9 gives the force component in the  $x$ -direction only. In a three-dimensional situation, we'd have to take derivatives of potential energy with respect to  $y$  and  $z$  to find the full force vector.

Why the minus sign in Equation 7.9? You can see the answer in the molecular energy curve of Fig. 7.11, where pushing the atoms too close together—moving to the *left* of equilibrium—results in a repulsive force to the *right*, and pulling them apart—moving to the *right*—gives an attractive force to the *left*. You can see the same thing for the roller coaster in Fig. 7.13. In both cases the forces tend to drive the system back toward a minimum-energy state. We'll explore such minimum-energy equilibrium states further in Chapter 12.

### GOT IT?

- 7.6** The figure shows the potential energy associated with an electron in a microelectronic device. From among the labeled points, find (1) the point where the force on the electron is greatest, (2) the rightmost position possible if the electron has total energy  $E_1$ , (3) the leftmost position possible if the electron has total energy  $E_2$  and starts out to the right of  $D$ , (4) a point where the force on the electron is zero, and (5) a point where the force on the electron points to the left. In some cases there may be more than one answer.

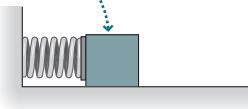


## Chapter 7 Summary

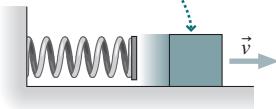
### Big Ideas

The big idea here is conservation of energy. This chapter emphasizes the special case of systems subject only to conservative forces, in which case the total mechanical energy—the sum of kinetic and potential energy—cannot change. Energy may change from kinetic to potential, and vice versa, but the total remains constant. Applying conservation of mechanical energy requires the concept of potential energy—energy stored in a system as a result of work done against conservative forces.

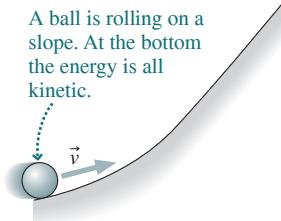
A block is against a compressed spring; the system's energy is all potential.



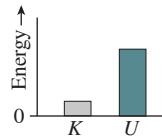
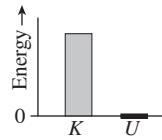
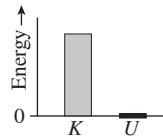
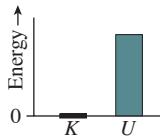
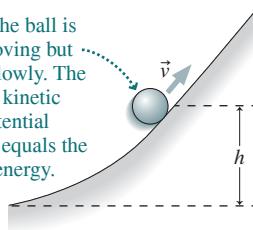
Later, the block is moving. The total energy is still the same, but now it's all kinetic.



A ball is rolling on a slope. At the bottom the energy is all kinetic.



Later, the ball is still moving but more slowly. The sum of kinetic and potential energy equals the initial energy.



If nonconservative forces act in a system, then mechanical energy isn't conserved; instead, mechanical energy gets converted to internal energy.

## Key Concepts and Equations

The important new concept here is potential energy, defined as the negative of the work done by a conservative force. Only the change  $\Delta U$  has physical significance. Expressions for potential energy include:

$$\Delta U_{AB} = - \int_A^B \vec{F} \cdot d\vec{r}$$

This one is the most general, but it's mathematically involved. The force can vary over an arbitrary path between points A and B.

$$\Delta U = - \int_{x_1}^{x_2} F(x) dx$$

$$\Delta U = -F (x_2 - x_1)$$

This is a special case, when force and displacement are in the same direction and force may vary with position.

This is the most specialized case, where the force is constant.

Given the concept of potential energy, the principle of conservation of mechanical energy follows from the work–kinetic energy theorem of Chapter 6. Here's the mathematical statement of *mechanical energy conservation*:

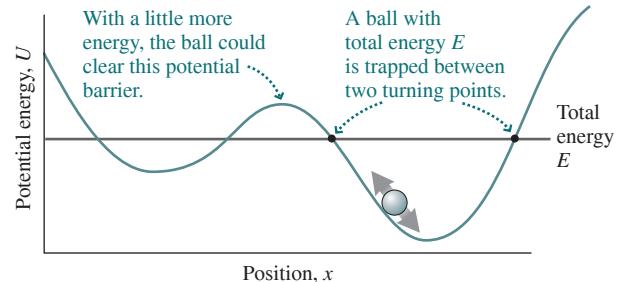
$$K + U = K_0 + U_0$$

*K* and *U* are the kinetic and potential energy at some point where we don't know one of these quantities.

The total mechanical energy is conserved, as indicated by the equal sign.

*K*<sub>0</sub> and *U*<sub>0</sub> are the kinetic and potential energy at some point where both are known. *K*<sub>0</sub> + *U*<sub>0</sub> is the *total mechanical energy*.

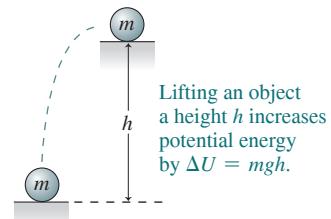
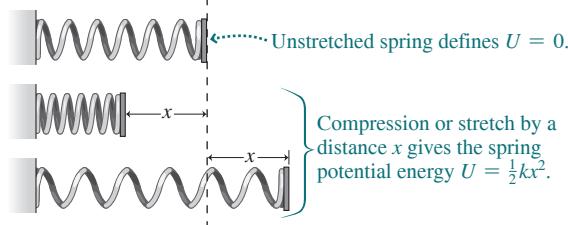
We can describe a wide range of systems—from molecules to roller coasters to planets—in terms of **potential-energy curves**. Knowing the total energy then lets us find **turning points** that determine the range of motion available to the system.



## Applications

Two important cases of potential energy are the elastic potential energy of a spring,  $U = \frac{1}{2}kx^2$ , and the gravitational potential energy change,  $\Delta U = mgh$ , associated with lifting an object of mass  $m$  through a height  $h$ .

The former is limited to ideal springs for which  $F = -kx$ , the latter to the proximity of Earth's surface, where the variation of gravity with height is negligible.



### Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!

**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems



### Learning Outcomes

After finishing this chapter, you should be able to:

LO 7.1 Distinguish conservative from nonconservative forces.

*For Thought and Discussion Questions 7.1, 7.3, 7.8; Exercises 7.9, 7.10*

LO 7.2 Calculate potential energy, especially with gravity and springs.

*For Thought and Discussion Question 7.4; Exercises 7.11, 7.12, 7.13, 7.14, 7.15, 7.16; Problems 7.35, 7.36, 7.37, 7.38, 7.39, 7.44, 7.51, 7.54, 7.58, 7.63, 7.68*

LO 7.3 Use conservation of mechanical energy to solve problems that would be difficult using Newton's second law.

*For Thought and Discussion Question 7.2; Exercises 7.17, 7.18, 7.19, 7.20, 7.21; Problems 7.40, 7.41, 7.42, 7.43, 7.45,*

7.46, 7.47, 7.48, 7.49, 7.55, 7.59, 7.62, 7.63, 7.65, 7.66, 7.67, 7.69

LO 7.4 Evaluate situations where nonconservative forces result in loss of mechanical energy.

*Exercises 7.22, 7.23; Problems 7.53, 7.56, 7.57, 7.61, 7.64*

LO 7.5 Distinguish internal energy from mechanical energy.

LO 7.6 Work with potential-energy curves for a wide variety of systems.

*For Thought and Discussion Questions 7.5, 7.6, 7.7, 7.8; Exercises 7.24, 7.25, 7.26; Problems 7.50, 7.52, 7.60*

## For Thought and Discussion

1. Figure 7.14 shows force vectors at different points in space for two forces. Which is conservative and which nonconservative? Explain.

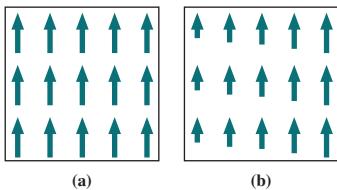


FIGURE 7.14 For Thought and Discussion 1

2. Is the conservation-of-mechanical-energy principle related to Newton's laws, or is it an entirely separate physical principle? Discuss.
3. Why can't we define a potential energy associated with friction?
4. Can potential energy be negative? Can kinetic energy? Can total mechanical energy? Explain.
5. If the potential energy is zero at a given point, must the force also be zero at that point? Give an example.
6. If the force is zero at a given point, must the potential energy also be zero at that point? Give an example.
7. If the difference in potential energy between two points is zero, does that necessarily mean that an object moving between those points experiences no force?
8. If conservation of energy is a law of nature, why do we have programs—like mileage requirements for cars or insulation standards for buildings—designed to encourage energy conservation?

## Exercises and Problems

### Exercises

#### Section 7.1 Conservative and Nonconservative Forces

9. Determine the work you would have to do to move a block of mass  $m$  from point 1 to point 2 at constant speed over the two paths shown in Fig. 7.15. The coefficient of friction has the constant value  $\mu$  over the surface. Note: The diagram lies in a horizontal plane.
10. Now take Fig. 7.15 to lie in a vertical plane, and find the work done by the gravitational force as an object moves from point 1 to point 2 over each of the paths shown.

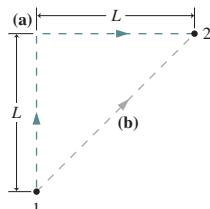


FIGURE 7.15 Exercises 9 and 10

#### Section 7.2 Potential Energy

11. Rework Example 7.1, now taking the zero of potential energy at street level.
12. Find the potential energy associated with a 70-kg hiker (a) atop New Hampshire's Mount Washington, 1900 m above sea level, and (b) in Death Valley, California, 86 m below sea level. Take the zero of potential energy at sea level.
13. You fly from Boston's Logan Airport, at sea level, to Denver, altitude 1.6 km. Taking your mass as 65 kg and the zero of potential energy at Boston, what's the gravitational potential energy when you're (a) at the plane's 11-km cruising altitude and (b) in Denver?

14. How much energy can be stored in a spring with  $k = 320 \text{ N/m}$  if the maximum allowed stretch is 18 cm?
15. How far would you have to stretch a spring with  $k = 1.4 \text{ kN/m}$  for it to store 210 J of energy?
16. A biophysicist grabs the ends of a DNA strand with optical tweezers and stretches it 26  $\mu\text{m}$ . How much energy is stored in the stretched molecule if its spring constant is  $0.046 \text{ pN}/\mu\text{m}$ ? **BIO**

#### Section 7.3 Conservation of Mechanical Energy

17. A skier starts down a frictionless  $32^\circ$  slope. After a vertical drop of 25 m, the slope temporarily levels out and then slopes down at  $20^\circ$ , dropping an additional 38 m vertically before leveling out again. Find the skier's speed on the two level stretches.
18. A 10,000-kg Navy jet lands on an aircraft carrier and snags a cable to slow it down. The cable is attached to a spring with  $k = 40 \text{ kN/m}$ . If the spring stretches 25 m to stop the plane, what was its landing speed?
19. A 120-g arrow is shot vertically from a bow whose effective spring constant is 430 N/m. If the bow is drawn 71 cm before shooting, to what height does the arrow rise?
20. In a railroad yard, a 35,000-kg boxcar moving at 7.5 m/s is stopped by a spring-loaded bumper mounted at the end of the level track. If  $k = 2.8 \text{ MN/m}$ , how far does the spring compress in stopping the boxcar?
21. You work for a toy company, and you're designing a spring-launched model rocket. The launching apparatus has room for a spring that can be compressed 14 cm, and the rocket's mass is 65 g. If the rocket is to reach an altitude of 35 m, what should you specify for the spring constant?

#### Section 7.4 Nonconservative Forces

22. A 54-kg ice skater pushes off the wall of the rink, giving herself an initial speed of 3.2 m/s. She then coasts with no further effort. If the frictional coefficient between skates and ice is 0.023, how far does she go?
23. You push a 33-kg table across a 6.2-m-wide room. In the process, 1.5 kJ of mechanical energy gets converted to internal energy of the table/floor system. What's the coefficient of kinetic friction between table and floor?

#### Section 7.6 Potential-Energy Curves

24. A particle slides along the frictionless track shown in Fig. 7.16, starting at rest from point A. Find (a) its speed at B, (b) its speed at C, and (c) the approximate location of its right-hand turning point.

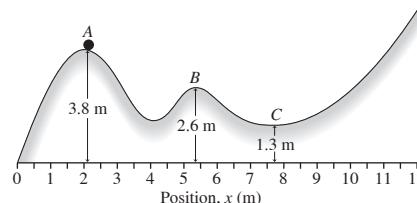


FIGURE 7.16 Exercise 24

25. A particle slides back and forth on a frictionless track whose height as a function of horizontal position  $x$  is  $y = ax^2$ , where  $a = 0.92 \text{ m}^{-1}$ . If the particle's maximum speed is 8.5 m/s, find its turning points.
26. A particle is trapped in a potential well described by  $U(x) = 16x^2 - b$ , with  $U$  in joules,  $x$  in meters, and  $b = 4.0 \text{ J}$ . Find the force on the particle when it's at (a)  $x = 2.1 \text{ m}$ , (b)  $x = 0$ , and (c)  $x = -1.4 \text{ m}$ .

### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

27. **Example 7.3:** A climbing rope is designed to exert a force given by  $F = -kx + bx^3$ , where  $k = 244 \text{ N/m}$ ,  $b = 3.24 \text{ N/m}^3$ , and  $x$  is the stretch in meters. Find the potential energy stored in the rope when it's been stretched 4.68 m. Take  $U = 0$  when the rope isn't stretched—that is, when  $x = 0$ . Is this more or less than if the rope were an ideal spring with the same spring constant  $k$ ?
28. **Example 7.3:** A climbing rope exerts a force given by  $F = -kx - cx^2$ . Find an expression for  $c$  such that when the rope is stretched a distance  $d$  its potential energy is twice what it would be if the rope were an ideal spring with  $F = -kx$ .
29. **Example 7.3:** The force on an electron in an experimental nanoscale electronic device is given by  $F = -kx + bx^3$ , where  $k = 0.113 \text{ nN/nm}$ ,  $b = 0.00185 \text{ nN/nm}^3$ , and  $x$  is measured in nanometers from the electron's equilibrium position at  $x = 0$ . Find the potential energy when the electron is 2.14 nm from its equilibrium position.
30. **Example 7.3:** The potential energy of an electron in an experimental nanoscale electronic device is given by  $U = 1.27x^2 - 0.260x^4$ , where  $U$  is in  $\text{aJ}$  ( $1 \text{ aJ} = 10^{-18} \text{ J}$ ) and  $x$  is the electron's position in nanometers. Find the  $x$ -component of the force on the electron when it's at  $x = 1.47 \text{ nm}$ .
31. **Example 7.5:** In Fig. 7.7, take the spring to have  $k = 87.5 \text{ N/m}$  and consider the track to be frictionless. A 50.2-g mass is initially pushed against the spring, compressing it 7.88 cm. When the mass is released, to what vertical height up the track does it rise?
32. **Example 7.5:** In Fig. 7.7, take the spring to have  $k = 107 \text{ N/m}$ . You're using the spring to launch a 75.0-g mass, and you want it to rise to a vertical height of 96.8 cm. How far should you compress the spring?
33. **Example 7.5:** In a railroad switchyard, a rail car of mass 28,600 kg starts from rest and rolls down an incline and onto a level stretch of track. It then hits a spring bumper at the end of the track. If the spring constant is 1.88 MN/m and if the spring compresses a maximum of 1.03 m, what's the height at which the car started? Neglect friction.
34. **Example 7.5:** In a railroad switchyard, a rail car of mass 41,700 kg starts from rest and rolls down a 2.65-m-high incline and onto a level stretch of track. It then hits a spring bumper, whose spring compresses 89.4 cm. Find the spring constant.

### Problems

35. The reservoir at Northfield Mountain Pumped Storage Project ENV is 214 m above the pump/generators and holds  $2.1 \times 10^{10} \text{ kg}$  of water (see Application on p. 117). The generators can produce electrical energy at the rate of 1.08 GW. Find (a) the gravitational potential energy stored, taking zero potential energy at the generators, and (b) the length of time the station can generate power before the reservoir is drained.
36. A carbon monoxide molecule can be modeled as a carbon atom and an oxygen atom connected by a spring. If a displacement of the carbon by 1.46 pm from its equilibrium position relative to the oxygen increases the molecule's potential energy by 0.0125 eV, what's the spring constant?

37. A more accurate expression for the force law of the rope in Example 7.3 is  $F = -kx + bx^2 - cx^3$ , where  $k$  and  $b$  have the values given in Example 7.3 and  $c = 3.1 \text{ N/m}^3$ . Find the energy stored in stretching the rope 2.62 m. By what percentage does your result differ from that of Example 7.3?
38. For small stretches, the Achilles tendon can be modeled as an ideal spring. Experiments using a particular tendon showed that it stretched 2.66 mm when a 125-kg mass was hung from it. (a) Find the spring constant of this tendon. (b) How much would it have to stretch to store 50.0 J of energy?
39. A particle moves along the  $x$ -axis under the influence of a force  $F = ax^2 + b$ , where  $a$  and  $b$  are constants. Find the potential energy as a function of position, taking  $U = 0$  at  $x = 0$ .
40. As a highway engineer, you're asked to design a runaway truck lane on a mountain road. The lane will head uphill at  $30^\circ$  and should be able to accommodate a 16,000-kg truck with failed brakes entering the lane at 110 km/h. How long should you make the lane? Neglect friction.
41. A spring of constant  $k$ , compressed a distance  $x$ , is used to launch a mass  $m$  up a frictionless slope at angle  $\theta$ . Find an expression for the maximum distance along the slope that the mass moves after leaving the spring.
42. A child is on a swing whose 3.2-m-long chains make a maximum angle of  $50^\circ$  with the vertical. What's the child's maximum speed?
43. With  $x - x_0 = h$  and  $a = g$ , Equation 2.11 gives the speed of an object thrown downward with initial speed  $v_0$  after it's dropped a distance  $h$ :  $v = \sqrt{v_0^2 + 2gh}$ . Use conservation of mechanical energy to derive the same result.
44. The *nuchal ligament* is a cord-like structure that runs along the back of the neck and supports much of the head's weight in animals like horses and cows. The ligament is extremely stiff for small stretches, but loosens as it stretches further, thus functioning as a biological shock absorber. Figure 7.17 shows the force-distance curve for a particular nuchal ligament; the curve can be modeled approximately by the expression  $F(x) = 0.43x - 0.033x^2 + 0.00086x^3$ , with  $F$  in kN and  $x$  in cm. Find the energy stored in the ligament when it's been stretched (a) 7.5 cm and (b) 15 cm.
45. A 200-g block slides back and forth on a frictionless surface between two springs, as shown in Fig. 7.18. The left-hand spring has  $k = 130 \text{ N/m}$  and its maximum compression is 16 cm. The right-hand spring has  $k = 280 \text{ N/m}$ . Find (a) the maximum compression of the right-hand spring and (b) the speed of the block as it moves between the springs.
46. Automotive standards call for bumpers that sustain essentially no damage in a 4-km/h collision with a stationary object. As an automotive engineer, you'd like to improve on that. You've developed a spring-mounted bumper with effective spring constant 1.3 MN/m. The springs can compress up to 5.0 cm before damage occurs. For a 1400-kg car, what do you claim as the maximum collision speed?

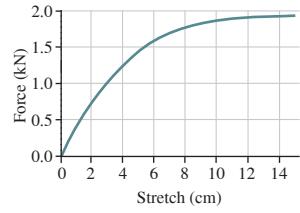


FIGURE 7.17 Problem 44

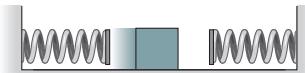


FIGURE 7.18 Problem 45

47. A block slides on the frictionless loop-the-loop track shown in Fig. 7.19. Find the minimum height  $h$  at which it can start from rest and still make it around the loop.

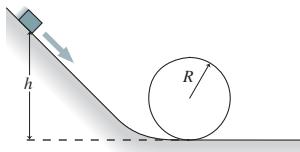


FIGURE 7.19 Problem 47

48. The maximum speed of the pendulum bob in a grandfather clock is 0.55 m/s. If the pendulum makes a maximum angle of  $8.0^\circ$  with the vertical, what's the pendulum's length?
49. A mass  $m$  is dropped from height  $h$  above the top of a spring of constant  $k$  mounted vertically on the floor. Show that the spring's maximum compression is given by  $(mg/k)(1 + \sqrt{1 + 2kh/mg})$ .
50. A particle with total energy 3.5 J is trapped in a potential well described by  $U = 7.0 - 8.0x + 1.7x^2$ , where  $U$  is in joules and  $x$  in meters. Find its turning points.
51. (a) Derive an expression for the potential energy of an object subject to a force  $F_x = ax^2 + bx^3$  where  $a = -26.5 \text{ N/m}^2$ ,  $b = 12.2 \text{ N/m}^3$ , and  $x$  is in meters. Take  $U = 0$  at  $x = 0$ . (b) Plot the potential energy as a function of position on the interval  $0 < x < 3 \text{ m}$ , and (c) determine graphically the locations of the turning points for an object whose total energy is  $-10.0 \text{ J}$ .
52. In ionic solids such as NaCl (salt), the potential energy of a pair of ions takes the form  $U = b/r^n - a/r$ , where  $r$  is the separation of the ions. For NaCl,  $a$  and  $b$  have the SI values  $4.04 \times 10^{-28}$  and  $5.52 \times 10^{-98}$ , respectively, and  $n = 8.22$ . Find the equilibrium separation in NaCl.
53. Repeat Exercise 17 for the case when the coefficient of kinetic friction on both slopes is 0.11, while the level stretches remain frictionless.
54. As an energy-efficiency consultant, you're asked to assess a pumped-storage facility. Its reservoir sits 140 m above its generating station and holds  $8.5 \times 10^9 \text{ kg}$  of water. The power plant generates 330 MW of electric power while draining the reservoir over an 8.0-h period. Its efficiency is the percentage of the stored potential energy that gets converted to electricity. What efficiency do you report?
55. A spring of constant  $k = 340 \text{ N/m}$  is used to launch a 1.5-kg block along a horizontal surface whose coefficient of sliding friction is 0.27. If the spring is compressed 18 cm, how far does the block slide?
56. A bug slides back and forth in a bowl 15 cm deep, starting from rest at the top, as shown in Fig. 7.20. The bowl is frictionless except for a 1.4-cm-wide sticky patch on its flat bottom, where the coefficient of friction is 0.89. How many times does the bug cross the sticky region?

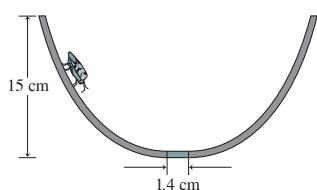


FIGURE 7.20 Problem 56

57. A 190-g block is launched by compressing a spring of constant  $k = 200 \text{ N/m}$  by 15 cm. The spring is mounted horizontally, and the surface directly under it is frictionless. But beyond the equilibrium position of the spring end, the surface has frictional coefficient  $\mu = 0.27$ . This frictional surface extends 85 cm, followed by a frictionless curved rise, as shown in Fig. 7.21. After it's launched, where does the block finally come to rest? Measure from the left end of the frictional zone.

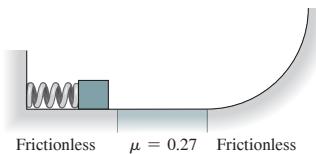


FIGURE 7.21 Problem 57

58. In 2017 Hurricane Maria dumped nearly 20" of rain on Puerto Rico. Give an order-of-magnitude estimate for the change in potential energy of all this rain as it fell from the hurricane.
59. An 840-kg roller-coaster car is launched from a giant spring with  $k = 31 \text{ kN/m}$  into a frictionless loop-the-loop track of radius 6.2 m, as shown in Fig. 7.22. What's the minimum spring compression that will ensure the car stays on the track?

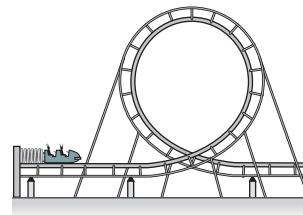


FIGURE 7.22 Problem 59

60. A particle slides back and forth on a frictionless parabolic track whose height is given by  $h = ax^2$ , where  $x$  is the horizontal coordinate. Find an expression for the position  $x$  of the turning points of an object sliding on this track, if its speed at the bottom is  $v$ .
61. A child sleds down a frictionless hill whose vertical drop is 7.2 m. At the bottom is a level but rough stretch where the coefficient of kinetic friction is 0.51. How far does she slide across the level stretch?
62. A bug lands on top of the frictionless, spherical head of a bald man. It begins to slide down his head (Fig. 7.23). Show that the bug leaves the head when it has dropped a vertical distance one-third of the head's radius.

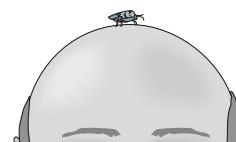


FIGURE 7.23 Problem 62

63. A particle of mass  $m$  is subject to a force  $\vec{F} = (a\sqrt{x}) \hat{i}$ , where  $a$  is a constant. The particle is initially at rest at the origin and is given a slight nudge in the positive  $x$ -direction. Find an expression for its speed as a function of position  $x$ .
64. A block of weight 4.5 N is launched up a  $30^\circ$  inclined plane 2.0 m long by a spring with  $k = 2.0 \text{ kN/m}$  and maximum compression 10 cm. The coefficient of kinetic friction is 0.50. Does

the block reach the top of the incline? If so, how much kinetic energy does it have there? If not, how close to the top, along the incline, does it get?

65. Your engineering department is asked to evaluate the performance of a new 370-hp sports car. You know that 27% of the engine's power can be converted to kinetic energy of the 1200-kg car, and that the power delivered is independent of the car's velocity. What do you report for the time it will take to accelerate from rest to 60 mi/h on a level road?
66. Your roommate is writing a science fiction novel and asks your advice about a plot point. Her characters are mining ore on the Moon and launching it toward Earth. Bins with 1500 kg of ore will be launched by a large spring, to be compressed 17 m. It takes a speed of 2.4 km/s to escape the Moon's gravity. What do you tell her is an appropriate spring constant?
67. You have a summer job at your university's zoology department, where you'll be working with an animal behavior expert. She's assigned you to study videos of different animals leaping into the air. Your task is to compare their power outputs as they jump. You'll have the mass  $m$  of each animal from data collected in the field. From the videos, you'll be able to measure both the vertical distance  $d$  over which the animal accelerates when it pushes off the ground and the maximum height  $h$  it reaches. Your task is to find an algebraic expression for power in terms of these parameters.
68. Biomechanical engineers developing artificial limbs for prosthetic and robotic applications have developed a two-spring design for their replacement Achilles tendon. The first spring has constant  $k$  and the second  $ak$ , where  $a > 1$ . When the artificial tendon is stretched from  $x = 0$  to  $x = x_1$ , only the first spring is engaged. For  $x > x_1$ , a mechanism engages the second spring, giving a configuration like that described in part (a) of Chapter 4's Problem 65. Find an expression for the energy stored in the artificial tendon when it's stretched a distance  $2x_1$ .
69. Blocks with different masses are pushed against a spring one at a time, compressing it different amounts. Each is then launched onto an essentially frictionless horizontal surface that then curves upward, still frictionless (like Fig. 7.21 but without the frictional part). The table below shows the masses, spring compressions, and maximum vertical height each block achieves. Determine a quantity that, when you plot  $h$  against it, should yield a straight line. Plot the data, determine a best-fit line, and use its slope to determine the spring constant.

Mass $m$ (g)	50.0	85.2	126	50.0	85.2
Compression $x$ (cm)	2.40	3.17	5.40	4.29	1.83
Height $h$ (cm)	10.3	11.2	19.8	35.2	3.81

### Passage Problems

*Nuclear fusion* is the process that powers the Sun. Fusion occurs when two low-mass atomic nuclei fuse together to make a larger nucleus, in the process releasing substantial energy. This is hard to achieve because atomic nuclei carry positive electric charge, and their electrical repulsion makes it difficult to get them close enough for the short-range nuclear force to bind them into a single nucleus. Figure 7.24 shows the potential-energy curve for fusion of two deuterons (heavy hydrogen nuclei). The energy is measured in million electron volts

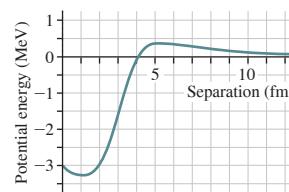


FIGURE 7.24 Potential energy for two deuterons (Passage Problems 70–73)

- (MeV), a unit commonly used in nuclear physics, and the separation is in femtometers ( $1 \text{ fm} = 10^{-15} \text{ m}$ ).
70. The force between the deuterons is zero at approximately
- 3 fm.
  - 4 fm.
  - 5 fm.
  - the force is never zero.
71. In order for initially two widely separated deuterons to get close enough to fuse, their kinetic energy must be about
- 0.1 MeV.
  - 3 MeV.
  - 3 MeV.
  - 0.3 MeV.
72. The energy available in fusion is the energy difference between that of widely separated deuterons and the bound deuterons after they've "fallen" into the deep potential well shown in the figure. That energy is about
- 0.3 MeV.
  - 1 MeV.
  - 3.3 MeV.
  - 3.6 MeV.
73. When two deuterons are 4 fm apart, the force acting on them
- is repulsive.
  - is attractive.
  - is zero.
  - can't be determined from the graph.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

Potential energy turns into kinetic energy, sound, and internal energy.

### Answers to GOT IT? Questions

- 7.1 (c) On the curved paths, the work is greater for the trunk. The gravitational force is conservative, so the work is independent of path. But the frictional force isn't conservative, and the longer path means more work needs to be done.
- 7.2 (b) The potential-energy change will be slightly less because at greater heights, the gravitational force is lower and so, therefore, is the work done in traversing a given distance.
- 7.3 No. Mechanical energy is conserved, so if the ball is released from rest, it cannot climb higher than its initial height.
- 7.4 (1) (a) only; (2) (a) and (c)
- 7.5 (a), (c), (e), (f)
- 7.6 (1) B; (2) E; (3) C; (4) A or D; (5) B or E

# Gravity

## Skills & Knowledge You'll Need

- Newton's second law applied to circular motion (Section 5.3)
- Kinetic and potential energy (Sections 6.4, 7.2)
- Conservation of mechanical energy (Section 7.3)

## Learning Outcomes

- After finishing this chapter, you should be able to*
- LO 8.1** Describe the evolution of our understanding of planetary motion.
  - LO 8.2** Use the law of universal gravitation to find the gravitational force between masses.
  - LO 8.3** Solve problems involving circular orbits.
  - LO 8.4** Solve conservation-of-energy problems involving universal gravitation.
  - LO 8.5** Calculate escape speeds.
  - LO 8.6** Distinguish closed and open orbits based on total energy.
  - LO 8.7** Explain the field concept as applied to the gravitational field.

**G**ravity is the most obvious of nature's fundamental forces. Theories of gravity have brought us new understandings of the nature and evolution of the universe. We've used our knowledge of gravity to explore the solar system and to engineer a host of space-based technologies. In nearly all applications we still use the theory of gravity that Isaac Newton developed in the 1600s. Only in the most extreme astrophysical situations or where—as with Global Positioning System satellites—we need exquisite precision do we use the successor to Newtonian gravitation, namely, Einstein's general theory of relativity.



In 2015, more than nine years after its launch, *New Horizons* became the first spacecraft to fly by Pluto. What condition on *New Horizons'* total energy determines whether it will ever return to our Solar System?

## 8.1 Toward a Law of Gravity

### LO 8.1 *Describe the evolution of our understanding of planetary motion.*

Newton's theory of gravity was the culmination of a scientific revolution that began in 1543 with Polish astronomer Nicolaus Copernicus's radical suggestion that the planets orbit not Earth but the Sun. Fifty years after Copernicus's work was published, the Danish noble Tycho Brahe began a program of accurate planetary observations. After Tycho's death in 1601, his assistant Johannes Kepler worked to make sense of the observations. Success came when Kepler took a radical step: He gave up the long-standing idea that the planets moved in perfect circles. Kepler summarized his new insights in three laws, described in Fig. 8.1. Kepler based his laws solely on observation and gave no theoretical explanation. So Kepler knew *how* the planets moved, but not *why*.

Shortly after Kepler published his first two laws, Galileo trained his first telescopes on the heavens. Among his discoveries were four moons orbiting

Jupiter, sunspots that blemished the supposedly perfect sphere of the Sun, and the phases of Venus (Fig. 8.2). His observations called into question the notion that all celestial objects were perfect and also lent credence to the Copernican view of the Sun as the center of planetary motion.

By Newton's time the intellectual climate was ripe for the culmination of the revolution that had begun with Copernicus. Legend has it that Newton was sitting under an apple tree when an apple struck him on the head, causing him to discover gravity. That story is probably a myth, but if it were true the other half would be that Newton was staring at the Moon when the apple struck. Newton's genius was to recognize that *the motion of the apple and the motion of the Moon were the same, that both were “falling” toward Earth under the influence of the same force*. Newton called this force **gravity**, from the Latin *gravitas*, “heaviness.” In one of the most sweeping syntheses in human thought, Newton inferred that everything in the universe, on Earth and in the celestial realm, obeys the same physical laws.

## 8.2 Universal Gravitation

**LO 8.2** Use the law of universal gravitation to find the gravitational force between masses.

Newton generalized his new understanding of gravity to suggest that any two particles in the universe exert attractive forces on each other, with magnitude given by

$$F = \frac{Gm_1m_2}{r^2} \quad (\text{universal gravitation}) \quad (8.1)$$

*F* is the magnitude of the gravitational force between any two masses. The force is always attractive.

*m*<sub>1</sub> and *m*<sub>2</sub> are the two masses . . .

*G* is the constant of universal gravitation, approximately  $6.67 \times 10^{-11} \text{ N}\cdot\text{m}^2/\text{kg}^2$ .

. . . and *r* is the distance between them.

Here  $m_1$  and  $m_2$  are the particle masses,  $r$  the distance between them, and  $G$  the **constant of universal gravitation**, whose value—which was determined after Newton's time—is  $6.67 \times 10^{-11} \text{ N}\cdot\text{m}^2/\text{kg}^2$ . The constant  $G$  is truly universal; observation and theory suggest that it has the same value throughout the universe.

The force of gravity acts *between* two particles; that is,  $m_1$  exerts an attractive force on  $m_2$ , and  $m_2$  exerts an equal but oppositely directed force on  $m_1$ . The two forces therefore obey Newton's third law.

Newton's law of universal gravitation applies strictly only to point particles that have no extent. But, as Newton showed using his newly developed calculus, it also holds for spherically symmetric objects of any size if the distance  $r$  is measured from their centers. It also applies approximately to arbitrarily shaped objects provided the distance between them is large compared with their sizes. For example, the gravitational force of Earth on the International Space Station is given accurately by Equation 8.1 because (1) Earth is essentially spherical and (2) the station, though irregular in shape, is vastly smaller than its distance from Earth's center.

### EXAMPLE 8.1

### The Acceleration of Gravity: On Earth and in Space

Use the law of universal gravitation to find the acceleration of gravity at Earth's surface, at the 380-km altitude of the International Space Station, and on the surface of Mars.

**INTERPRET** The problem statement tells us this is about universal gravitation, but what's that got to do with the acceleration of gravity?

The gravitational force is what causes that acceleration, so we can interpret this problem as being about the force between Earth (or Mars) and some arbitrary mass.

**DEVELOP** Since the problem involves universal gravitation, Equation 8.1 applies. But we're asked about acceleration, not force. Newton's second law,

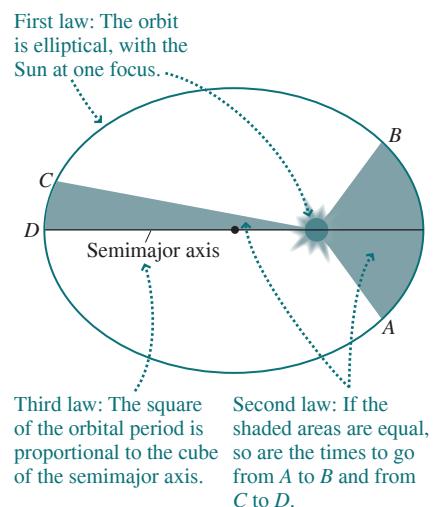


FIGURE 8.1 Kepler's laws.

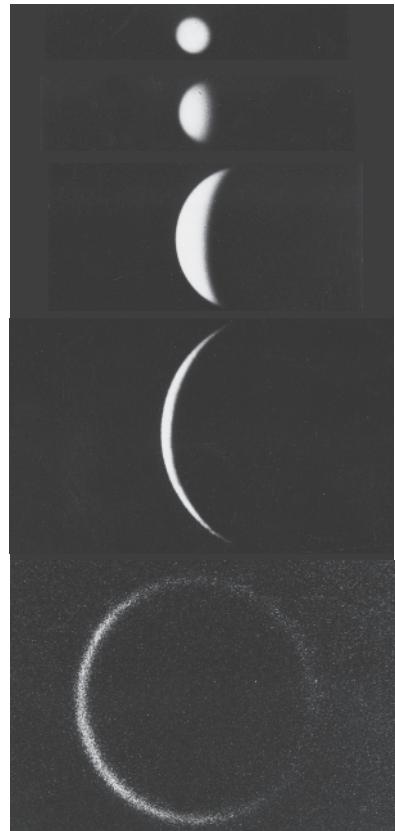


FIGURE 8.2 Phases of Venus. In an Earth-centered system, Venus would always appear the same size because of its constant distance from Earth.

(continued)

$F = ma$ , relates the two. So our plan is to use Equation 8.1,  $F = Gm_1m_2/r^2$ , to find the gravitational force on an arbitrary mass and then use Newton's second law to get the acceleration. We'll also need the masses of Earth and Mars and their radii. Astrophysical data like these are in Appendix E.

**EVALUATE** Equation 8.1 gives the force a planet of mass  $M$  exerts on an arbitrary mass  $m$  a distance  $r$  from the planet's center:  $F = GMm/r^2$ . (Here we set  $m_1$  in Equation 8.1 to the large planetary mass  $M$ , and  $m_2$  to the smaller mass  $m$ .) But Newton's second law says that this force is equal to the product of mass and acceleration for a body in free fall, so we can write  $ma = GMm/r^2$ . The mass  $m$  cancels, and we're left with the acceleration:

$$a = \frac{GM}{r^2} \quad (8.2)$$

The distance  $r$  is measured from the *center* of the object providing the gravitational force, so to find the acceleration at Earth's surface we use  $R_E$ , the radius of Earth, for  $r$ : Taking  $R_E$  and  $M_E$  from Appendix E, we have

$$\begin{aligned} a &= \frac{GM_E}{R_E^2} \\ &= \frac{(6.67 \times 10^{-11} \text{ N}\cdot\text{m}^2/\text{kg}^2)(5.97 \times 10^{24} \text{ kg})}{(6.37 \times 10^6 \text{ m})^2} = 9.81 \text{ m/s}^2 \end{aligned}$$



**G AND g** Don't confuse  $G$  and  $g$ ! Both quantities are associated with gravity, but  $G$  is a universal constant, while  $g$  describes the gravitational acceleration at a particular place—namely, Earth's surface—and its value depends on Earth's size and mass.

Our result here is the value of  $g$ —the acceleration due to gravity at Earth's surface.

At the space station's altitude, we have  $r = R_E + 380 \text{ km}$ , so

$$\begin{aligned} a &= \frac{GM_E}{r^2} \\ &= \frac{(6.67 \times 10^{-11} \text{ N}\cdot\text{m}^2/\text{kg}^2)(5.97 \times 10^{24} \text{ kg})}{(6.37 \times 10^6 \text{ m} + 380 \times 10^3 \text{ m})^2} = 8.74 \text{ m/s}^2 \end{aligned}$$

A similar calculation using Appendix E data yields  $3.73 \text{ m/s}^2$  for the acceleration of gravity at the surface of Mars.

**ASSESS** As we've seen, our result for Earth is just what we expect. The acceleration at the space station is lower but still about 90% of the surface value. This confirms Chapter 4's point that weightlessness doesn't mean the absence of gravity. Rather, as Equation 8.2 shows, an object's gravitational acceleration is independent of its mass—so all objects "fall" together. Finally, our answer for Mars is lower than for Earth, as befits its lower mass—although not as much lower as mass alone would imply. That's because Mars is also smaller, so  $r$  in the denominator of Equation 8.2 is a smaller number.

The variation of gravitational acceleration with distance from Earth's center provided Newton with a clue that the gravitational force should vary as the inverse square of the distance. Newton knew the Moon's orbital period and distance from Earth; from these he could calculate its orbital speed and thus its acceleration  $v^2/r$ . Newton found—as you can in Exercise 12—that the Moon's acceleration is about 1/3600 the gravitational acceleration  $g$  at Earth's surface. The Moon is about 60 times farther from Earth's center than is Earth's surface; since  $60^2 = 3600$ , the decrease in gravitational acceleration with distance from Earth's center is consistent with a gravitational force that varies as  $1/r^2$ .

### Tactics 8.1 UNDERSTANDING "INVERSE SQUARE"

Newton's universal gravitation is the first of several inverse-square force laws you'll encounter, and it's important to understand what this term means. In Equation 8.1 the distance  $r$  between the two masses is *squared*, and it occurs in the *denominator*; hence the force depends on the *inverse square* of the distance. Double the distance and the force drops to  $1/2^2$ , or  $1/4$  of its original value. Triple the distance and the force drops to  $1/3^2$ , or  $1/9$ . Although you can always grind through the arithmetic of Equation 8.1, you should use these simple ratio calculations whenever possible. The same considerations apply to gravitational acceleration, since it's proportional to force (Fig. 8.3).

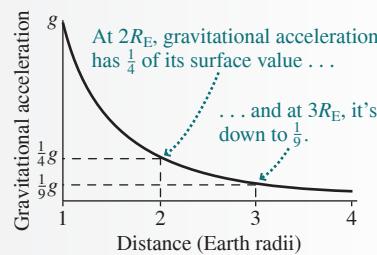


FIGURE 8.3 Meaning of the inverse-square law.



**8.1** Suppose the distance between two objects is cut in half. Is the gravitational force between them (a) quartered, (b) halved, (c) doubled, or (d) quadrupled?

## The Cavendish Experiment: Weighing the Earth

Given Earth's mass and radius and the measured value of  $g$ , we could use Equation 8.1 to determine the universal constant  $G$ . Unfortunately, the only way to determine Earth's mass accurately is to measure its gravitational effect and then use Equation 8.1. But that requires knowing  $G$ .

To determine  $G$ , we need to measure the gravitational force of a *known* mass. Given the weak gravitational force of normal-sized objects, this is a challenging task. It was accomplished in 1798 through an ingenious experiment by the British physicist Henry Cavendish. Cavendish mounted two 5-cm-diameter lead spheres on the ends of a rod suspended from a thin fiber. He then brought two 30-cm lead spheres nearby (Fig. 8.4). Their gravitational attraction caused a slight movement of the small spheres, twisting the fiber. Knowing the properties of the fiber, Cavendish could determine the force. With the known masses and their separation, he then used Equation 8.1 to calculate  $G$ . His result determined Earth's mass; indeed, his published paper was entitled "On Weighing the Earth."

Gravity is the weakest of the fundamental forces, and, as the Cavendish experiment suggests, the gravitational force between everyday objects is negligible. Yet gravity shapes the large-scale structure of matter and indeed the entire universe. Why, if it's so weak? The answer is that gravity, unlike the stronger electric force, is always attractive; there's no "negative mass." So large concentrations of matter produce substantial gravitational effects. Electric charge, in contrast, can be positive or negative, and electric effects in normal-sized objects tend to cancel. We'll explore this distinction further in Chapter 20.

## 8.3 Orbital Motion

### LO 8.3 Solve problems involving circular orbits.

**Orbital motion** occurs when gravity is the dominant force acting on a body. It's not just planets and spacecraft that are in orbit. An individual astronaut floating outside the space station is orbiting Earth. The Sun itself orbits the center of the galaxy, taking about 200 million years to complete one revolution. If we neglect air resistance, even a baseball is temporarily in orbit. Here we discuss quantitatively the special case of circular orbits; then we describe qualitatively the general case.

Newton's genius was to recognize that the Moon is held in its circular orbit by the same force that pulls an apple to the ground. From there, it was a short step for Newton to realize that human-made objects could be put into orbit. Nearly 300 years before the first artificial satellites, he imagined a projectile launched horizontally from a high mountain (Fig. 8.5). The projectile moves in a curve, as gravity pulls it from the straight-line path it would follow if no force were acting. As its initial speed is increased, the projectile travels farther before striking Earth. Finally, there comes a speed for which the projectile's path bends in a way that exactly follows Earth's curvature. It's then in **circular orbit**, continuing forever unless a nongravitational force acts.

Why doesn't an orbiting satellite fall toward Earth? It does! Under the influence of gravity, it gets ever closer to Earth than it would be on a straight-line path. It's behaving exactly as Newton's second law requires of an object under the influence of a force—by accelerating. For a *circular* orbit, that acceleration amounts to a change in the direction, but not the magnitude, of the satellite's velocity.

Remember that Newton's laws aren't so much about *motion* as they are about *changes* in motion. To ask why a satellite doesn't fall to Earth is to adopt the archaic Aristotelian view and assume that an object must move in the direction of the force acting on it. The correct Newtonian question, in contrast, is based on the idea that motion *changes* in response to a force: Why doesn't the satellite move in a straight line? And the answer is simple: because a force is acting. That force—gravity—is exactly analogous to the tension force that keeps a ball on a string whirling in its circular path.

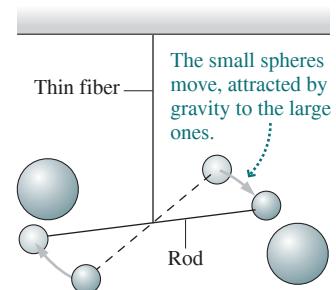


FIGURE 8.4 The Cavendish experiment to determine  $G$ .

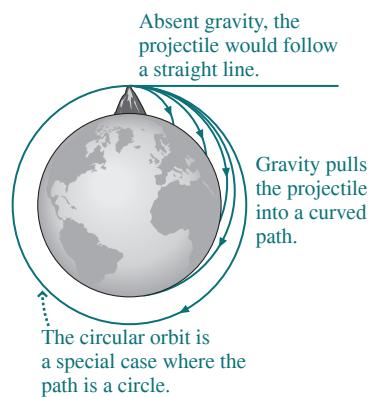


FIGURE 8.5 Newton's "thought experiment" showing that projectile and orbital motions are essentially the same.

We can analyze circular orbits quantitatively because we know that a force of magnitude  $mv^2/r$  is required to keep an object of mass  $m$  and speed  $v$  in a circular path of radius  $r$ . In the case of an orbit, that force is gravity, so we have

$$\frac{GMm}{r^2} = \frac{mv^2}{r}$$

where  $m$  is the mass of the orbiting object and  $M$  the mass of the object about which it's orbiting. We assume here that  $M \gg m$ , so the gravitating object can be considered essentially at rest—a reasonable approximation with Earth satellites or planets orbiting the much more massive Sun. Solving for the orbital speed gives

$$v = \sqrt{\frac{GM}{r}} \quad (\text{speed, circular orbit}) \quad (8.3)$$

Often we're interested in the **orbital period**, or the time to complete one orbit. In one period  $T$ , the orbiting object moves the orbital circumference  $2\pi r$ , so its speed is  $v = 2\pi r/T$ . Squaring Equation 8.3 then gives

$$\left(\frac{2\pi r}{T}\right)^2 = \frac{GM}{r}$$

or

$$T^2 = \frac{4\pi^2 r^3}{GM} \quad (\text{orbital period, circular orbit}) \quad (8.4)$$

In deriving Equation 8.4, we've proved Kepler's third law—that the square of the orbital period is proportional to the cube of the semimajor axis—for the special case of a circular orbit, whose semimajor axis is identical to its radius.

Note that orbital speed and period are independent of the orbiting object's mass  $m$ —another indication that all objects experience the same gravitational acceleration. Astronauts, for example, have the same orbital parameters as the space station. That's why astronauts seem weightless inside the station and why they don't float away if they step outside.

### EXAMPLE 8.2

### Orbital Speed and Period: The Space Station

*Worked Example with Variation Problems*

The International Space Station is in a circular orbit at altitude 380 km. What are its orbital speed and period?

**INTERPRET** This problem involves the speed and period of a circular orbit about Earth.

**DEVELOP** We can compute the orbit's radius and then use Equation 8.3,  $v = \sqrt{GM/r}$ , to find the speed and Equation 8.4,  $T^2 = 4\pi^2 r^3/GM$ , to find the period because the orbit is circular.

**EVALUATE** As always, the distance is measured from the center of the gravitating body, so  $r$  in these equations is Earth's 6.37-Mm radius plus the station's 380-km altitude. So we have

$$\begin{aligned} v &= \sqrt{\frac{GM_E}{r}} = \sqrt{\frac{(6.67 \times 10^{-11} \text{ N} \cdot \text{m}^2/\text{kg}^2)(5.97 \times 10^{24} \text{ kg})}{6.37 \times 10^6 \text{ m} + 380 \times 10^3 \text{ m}}} \\ &= 7.68 \text{ km/s} \end{aligned}$$

or about 17,000 mi/h. We can get the orbital period from the speed and radius, or directly from Equation 8.4,  $T = \sqrt{4\pi^2 r^3/GM_E}$ . Using the numbers in the calculation for  $v$  gives  $T = 5.52 \times 10^3$  s, or about 90 min.

**ASSESS** Make sense? Both answers have the correct units, and 90 min seems reasonable for the period of an orbit at a small fraction of the Moon's distance from Earth. Astronauts who want a circular orbit 380 km up have no choice but this speed and period. In fact, for any “near-Earth” orbit, with altitude much less than Earth's radius, the orbital period is about 90 min. If there were no air resistance and if you could throw a baseball fast enough, it too would go into orbit, skimming Earth's surface with a roughly 90-min period.

Example 8.2 shows that the near-Earth orbital period is about 90 min. The Moon, on the other hand, takes 27 days to complete its nearly circular orbit. So there must be a distance where the orbital period is 24 h—the same as Earth's rotation. A satellite at this distance will remain fixed with respect to Earth's surface provided its orbit is parallel to the equator. TV, weather, and communication satellites are often placed in such a **geostationary orbit**.

### EXAMPLE 8.3 Geostationary Orbit: Finding the Altitude

What altitude is required for geostationary orbit?

**INTERPRET** Here we're given an orbital period—24 h or 86,400 s—and asked to find the corresponding altitude for a circular orbit.

**DEVELOP** Equation 8.4,  $T^2 = 4\pi^2 r^3/GM$ , relates the period  $T$  and distance  $r$  from Earth's center. Our plan is to solve for  $r$  and then subtract Earth's radius to find the altitude (distance from the surface).

**EVALUATE** Solving for  $r$ , we get

$$\begin{aligned} r &= \left( \frac{GM_E T^2}{4\pi^2} \right)^{1/3} \\ &= \left[ \frac{(6.67 \times 10^{-11} \text{ N}\cdot\text{m}^2/\text{kg}^2)(5.97 \times 10^{24} \text{ kg})(8.64 \times 10^4 \text{ s})^2}{4\pi^2} \right]^{1/3} \\ &= 4.22 \times 10^7 \text{ m} \end{aligned}$$

or 42,200 km from Earth's center. Subtracting Earth's radius then gives an altitude of about 36,000 km, or 22,000 miles.

**ASSESS** Make sense? This is a lot higher than the 90-min low-Earth orbit, but a lot lower than the Moon's 384,000-km distance. Our answer defines one of the most valuable pieces of "real estate" in space—a place where satellites appear suspended over a fixed spot on Earth. Every TV dish antenna points to such a satellite, positioned 22,000 mi over the equator. A more careful calculation would use Earth's so-called sidereal rotation period, measured with respect to the distant stars rather than the Sun. Because Earth isn't a perfect sphere, geostationary satellites drift slightly, and therefore they need to fire small rockets every few weeks to stay in position.

### Elliptical Orbits

Using his laws of motion and gravity, Newton was able to prove Kepler's assertion that the planets move in elliptical paths with the Sun at one focus. Circular orbits represent the special case where the two foci of the ellipse coincide, so the distance from the gravitating center remains constant. Most planetary orbits are nearly, but not quite, circular; Earth's distance from the Sun, for example, varies by about 3% throughout the year. But the orbits of comets and other smaller bodies are often highly elliptical (Fig. 8.6). Their orbital speeds vary, as they gain speed "falling" toward the Sun, whip quickly around the Sun at the point of closest approach (**perihelion**), and then "climb" ever more slowly to their most distant point (**aphelion**) before returning.

In Chapter 3, we showed that the trajectory of a projectile is a parabola. But our derivation neglected Earth's curvature and the associated variation in  $g$  with altitude. In fact, a projectile is just like any orbiting body. If we neglect air resistance, it too describes an elliptical orbit with Earth's center at one focus. Only for trajectories whose height and range are small compared with Earth's radius are the true elliptical path and the parabola of Chapter 3 generally indistinguishable (Fig. 8.7).

Are missiles and baseballs really in orbit? Yes. But their orbits happen to intersect Earth's surface. At that point, nongravitational forces put an end to orbital motion. If Earth suddenly shrank to the size of a grapefruit (but kept the same mass), a baseball would continue happily in orbit, as the dashed continuation of the smaller orbit in Fig. 8.7 suggests. Newton's ingenious intuition was correct: Barring air resistance, there's truly no difference between the motion of everyday objects near Earth and the motion of celestial objects.

### Open Orbits

With elliptical and circular orbits, the motion repeats indefinitely because the orbit is a closed path. But closed orbits aren't the only possibility. Imagine again Newton's thought experiment—only now fire the projectile faster than necessary for a circular orbit (Fig. 8.8). The projectile goes farther from Earth than before, describing an ellipse that's closest to Earth at the launch site. Faster, and the ellipse gets more elongated. But with great enough initial speed, the projectile describes a hyperbolic trajectory that takes it ever farther from Earth. We'll see in the next section how energy determines the type of orbit.

#### GOT IT?

- 8.2** Suppose the paths in Fig. 8.8 are the paths of four projectiles. Rank each path (circular, elliptical, parabolic, and hyperbolic) according to the initial speed of the corresponding projectile. Assume all are launched from their common point at the top of the figure.

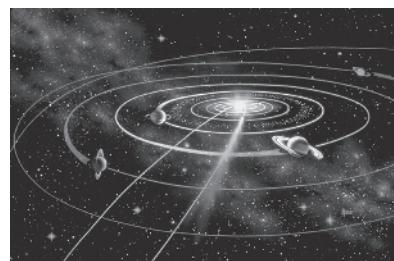
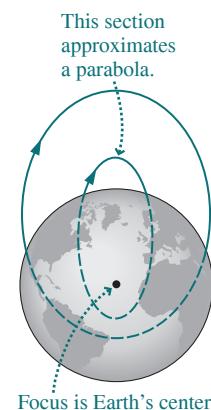


FIGURE 8.6 Orbits of most known comets, like the one shown here, are highly elliptical.



Focus is Earth's center.

FIGURE 8.7 Projectile trajectories are actually elliptical.

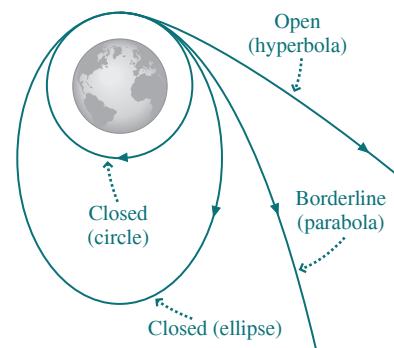


FIGURE 8.8 Closed and open orbits.

## 8.4 Gravitational Energy

**LO 8.4** Solve conservation-of-energy problems involving universal gravitation.

**LO 8.5** Calculate escape speeds.

**LO 8.6** Distinguish closed and open orbits based on total energy.

How much energy does it take to boost a satellite to geostationary altitude? Our simple answer  $mgh$  won't do here, since  $g$  varies substantially over the distance involved. So, as we found in Chapter 7, we have to integrate to determine the potential energy.

Figure 8.9 shows two points at distances  $r_1$  and  $r_2$  from the center of a gravitating mass  $M$ , in this case Earth. Equation 7.2 gives the change in potential energy associated with moving a mass  $m$  from  $r_1$  to  $r_2$ :

$$\Delta U_{12} = - \int_{r_1}^{r_2} \vec{F} \cdot d\vec{r}$$

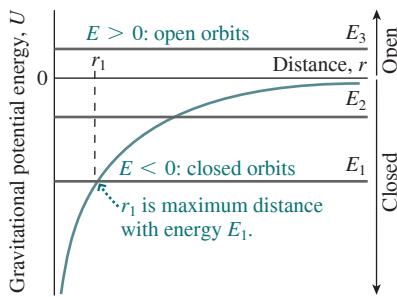
Here the force points radially inward and has magnitude  $GMm/r^2$ , while the path element  $d\vec{r}$  points radially outward. Then  $\vec{F} \cdot d\vec{r} = -(GMm/r^2) dr$ , where the minus sign comes from the factor  $\cos 180^\circ$  in the dot product of oppositely directed vectors. This minus sign cancels the minus sign in the expression given for  $\Delta U_{12}$ , so here the potential energy difference becomes

$$\Delta U_{12} = \int_{r_1}^{r_2} \frac{GMm}{r^2} dr = GMm \int_{r_1}^{r_2} r^{-2} dr = GMm \left[ \frac{r^{-1}}{-1} \right]_{r_1}^{r_2} = GMm \left( \frac{1}{r_1} - \frac{1}{r_2} \right) \quad (8.5)$$

Does this make sense? Yes: For  $r_1 < r_2$ ,  $\Delta U_{12}$  is positive, showing that potential energy increases with height—consistent with our simpler result  $\Delta U = mgh$  near Earth's surface. Although we derived Equation 8.5 for two points on a radial line, Fig. 8.10 shows that it holds for any two points at distances  $r_1$  and  $r_2$  from the gravitating center.

### The Zero of Potential Energy

Equation 8.5 has an interesting feature: The potential-energy difference remains finite even when the points are infinitely far apart, as you can see by setting either  $r_1$  or  $r_2$  to infinity. Although the gravitational force always acts, it weakens so rapidly that its effect is finite over even infinite distances. This property makes it convenient to set the zero of potential energy when the two gravitating masses  $M$  and  $m$  are infinitely far apart. Setting  $r_1 = \infty$  and dropping the subscript on  $r_2$ , we then have an expression for the gravitational potential energy of a system comprising a mass  $m$  located a distance  $r$  from the center of another mass  $M$ .



**FIGURE 8.11** A gravitational potential-energy curve. Distance is measured from the center of a gravitating object like a star or planet. Closed orbits, which occur when total energy  $E$  is less than 0, are elliptical or circular; orbits with  $E > 0$  are hyperbolas. The intermediate case  $E = 0$  gives parabolic orbits.

The potential energy is negative because we chose  $U = 0$  when  $r = \infty$ . When the two masses are closer than infinitely far apart, the system has lower—hence negative—potential energy.

Knowing the gravitational potential energy allows us to apply the powerful conservation-of-energy principle. Figure 8.11 shows the potential-energy curve given by Equation 8.6. Superposing three values of total energy  $E$  shows that orbits with  $E < 0$  have a turning point where they intersect the potential-energy curve, and are therefore closed. Orbit with  $E > 0$ , in contrast, are open because they never intersect the curve and therefore extend to infinity. That distinction—between total energy greater than or less than zero—determines the difference between the open and closed orbits in Fig. 8.8. The borderline parabola has  $E = 0$ .

**EXAMPLE 8.4****Conservation of Energy: Blast Off!**  
*Worked Example with Variation Problems*

A rocket is launched vertically upward at 3.1 km/s. How high does it go?

**INTERPRET** This sounds like a problem from Chapter 2, but here we'll see that the rocket rises high enough that we can't ignore the variation in gravity. So the acceleration isn't constant, and we can't use the constant-acceleration equations of Chapter 2. But the conservation-of-mechanical-energy principle lets us cut through those details, so we can apply the methods of Chapter 7. "How high does it go?" in the problem statement means we're dealing with the initial launch state and a final state where the rocket is momentarily at rest at the top of its trajectory.

**DEVELOP** Equation 7.6 describes conservation of mechanical energy:  $K + U = K_0 + U_0$ . Here we're given speed  $v$  at the bottom, so  $K_0 = \frac{1}{2}mv^2$ . We're going to be using Equation 8.6,  $U(r) = -GMm/r$ , for potential energy, and that's already established the zero of potential energy at infinity. So  $U_0$  isn't zero but is given by Equation 8.6 with  $r$  equal to Earth's radius. Finally, at the top,  $K = 0$  and  $U$  is also given by Equation 8.6, but now we don't know  $r$ . Our plan is to solve for that  $r$  and from it get the rocket's altitude. Figure 8.12 shows "before" and "after" diagrams with bar graphs, like those we introduced in Chapter 7.

**EVALUATE** With our values for the kinetic and potential energies, the equation  $K + U = K_0 + U_0$  becomes

$$-\frac{GM_E m}{r} = \frac{1}{2}mv_0^2 - \frac{GM_E m}{R_E}$$

where  $m$  is the rocket's mass,  $r$  is the distance from Earth's center at the peak, and Earth's radius  $R_E$  is the distance at launch. Solving for  $r$  gives

$$\begin{aligned} r &= \left( \frac{1}{R_E} - \frac{v_0^2}{2GM_E} \right)^{-1} \\ &= \left( \frac{1}{6.37 \times 10^6 \text{ m}} - \frac{(3100 \text{ m/s})^2}{2(6.67 \times 10^{-11} \text{ N} \cdot \text{m}^2/\text{kg}^2)(5.97 \times 10^{24} \text{ kg})} \right)^{-1} \\ &= 6.90 \text{ Mm} \end{aligned}$$

## Escape Speed

What goes up comes down, right? Not always! Figure 8.11 shows that when total energy is zero or greater, an object can escape infinitely far from a gravitating body, never to return. Consider an object of mass  $m$  at the surface of a gravitating body of mass  $M$  and radius  $r$ . The gravitational potential energy is given by Equation 8.6,  $U = -GMm/r$ . Toss the object upward with speed  $v$ , and there's also kinetic energy  $\frac{1}{2}mv^2$ . The total energy will be zero if

$$0 = K + U = \frac{1}{2}mv^2 - \frac{GMm}{r}$$

The speed  $v$  here that makes the total energy zero is called the **escape speed** because an object with this speed or greater has enough energy to escape forever from the gravitating body. Solving for  $v$  in the preceding equation gives the escape speed:

$v_{\text{esc}}$  is the speed an object would need to escape to infinity when it's a distance  $r$  from the center of a gravitating mass  $M$ .

$$v_{\text{esc}} = \sqrt{\frac{2GM}{r}} \quad (\text{escape speed}) \quad (8.7)$$

$M$  is the mass the object might escape from...  
...and  $r$  is the object's distance from  $M$ 's center.

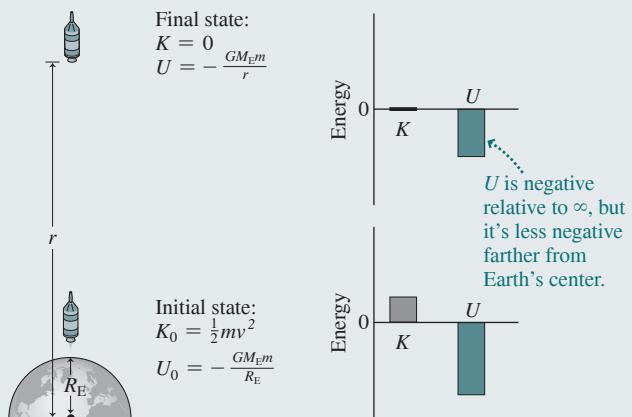


FIGURE 8.12 Diagrams for Example 8.4.

Again, this is the distance from Earth's center; subtracting Earth's radius then gives a peak altitude of 530 km.

**ASSESS** Make sense? Yes. Our answer of 530 km is significantly greater than the 490 km you'd get assuming a potential-energy change of  $\Delta U = mgh$ . That's because the decreasing gravitational force lets the rocket go higher before all its kinetic energy becomes potential energy.



**ALL CONSERVATION-OF-ENERGY PROBLEMS ARE THE SAME** This problem is essentially the same as throwing a ball straight up and solving for its maximum height using  $U = mgh$  for potential energy. The only difference is the more complicated potential-energy function  $U = -GMm/r$ , used here because the variation in gravity is significant over the rocket's trajectory. Recognize what's common to all similar problems, and you'll begin to see how physics really is based on just a few simple principles.

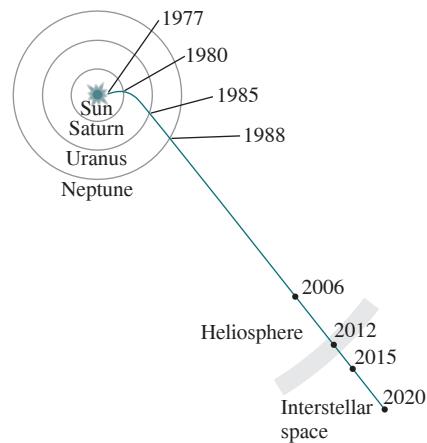


FIGURE 8.13 In 2012 Voyager 1 crossed into interstellar space. Voyager should continue sending data to Earth until about 2020.

At Earth's surface,  $v_{\text{esc}} = 11.2 \text{ km/s}$ . Earth-orbiting spacecraft have lower speeds. Moon-bound astronauts go at just under  $v_{\text{esc}}$ , so if anything goes wrong (as with *Apollo 13*), they can return to Earth. Planetary spacecraft have speeds greater than  $v_{\text{esc}}$ . The *Pioneer* and *Voyager* missions to the outer planets gained enough additional energy in their encounters with Jupiter that they now have escape speed relative to the Sun and will coast indefinitely through our galaxy. In 2012, *Voyager 1* became the first human-made object to leave the Sun's realm entirely, as it escaped the "bubble" created by the Sun's magnetic field and entered interstellar space (see Fig. 8.13 and this chapter's opening image).

**APPLICATION****Close Encounters**

In 2013, Earth experienced two unusually close asteroid encounters. Although unrelated, they occurred within only 16 hours of each other. The larger of the two asteroids, dubbed 2012 DA<sub>14</sub>, passed within 35,000 km of Earth—less than one-tenth of the Earth–Moon distance and closer than geostationary satellites. With a mass of some 40 kt (kilotonnes) and speed of 12.7 km/s relative to Earth (29.9 km/s relative to the Sun), this one could have caused major damage had it struck Earth. Since 12.7 km/s is above Earth's escape speed, 2012 DA<sub>14</sub> could not be orbiting Earth. But, as you can show in Problem 68, its total energy relative to the Sun is negative, putting it in a bound solar orbit. We can expect another close approach of 2012 DA<sub>14</sub> in the year 2123. Sixteen hours before 2012 DA<sub>14</sub>'s closest approach in 2013, a 12-kt asteroid entered Earth's atmosphere over Siberia, moving at 19.0 km/s relative to Earth and 35.5 km/s relative to the Sun. It underwent a series of explosive fragmentations at altitudes ranging from 45 to 30 km and then disintegrated into small pieces at 22 km altitude (see photo). Shock waves from these explosions caused significant damage in the Russian city of Chelyabinsk

and injured some 1600 people. The Chelyabinsk asteroid was the largest object to enter Earth's atmosphere in over 100 years. As Problems 49 and 68 show, it too was in a bound orbit about the Sun before its demise in Earth's atmosphere.

**EXAMPLE 8.5** **An Extrasolar Visitor**

In 2017 astronomers for the first time spotted an object that had entered our solar system from interstellar space. Named Oumuamua (the Hawaiian word for *scout*), the object had a speed of some 50 km/s when it was at approximately Earth's distance from the Sun. Determine the sign of Oumuamua's total energy and use the result to argue for its interstellar origin.

**INTERPRET** This is a problem about the total energy of an object subject to the Sun's gravity. Our question is whether that total energy  $E$  is greater than or less than zero. If it's greater, then the object is *not* bound to the solar system—making a strong case that it's of interstellar origin.

**DEVELOP** Total energy comprises gravitational potential energy, given by Equation 8.6, and kinetic energy, given by  $\frac{1}{2}mv^2$ . The total energy is their sum—which might be less than zero because the gravitational energy is always negative.

**EVALUATE** The sum of the potential and kinetic energies is

$$E = -\frac{GM_{\text{Sun}}m}{r} + \frac{1}{2}mv^2$$

where  $m$  is Oumuamua's mass,  $r$  its distance from the Sun, and  $v$  its speed. Because the mass  $m$  appears in both energies, we don't need to

know it to find out if the energy is positive or negative. It suffices to find the sign of  $-GM_{\text{Sun}}/r + \frac{1}{2}v^2$ :

$$\begin{aligned} -\frac{GM_{\text{Sun}}}{r} + \frac{1}{2}v^2 &= -\frac{(6.67 \times 10^{-11} \text{ N}\cdot\text{m}^2/\text{kg}^2)(1.99 \times 10^{30} \text{ kg})}{1.50 \times 10^{11} \text{ km}} \\ &\quad + \frac{1}{2}(50 \times 10^3 \text{ km/s})^2 = 3.7 \times 10^8 \text{ J/kg} \end{aligned}$$

This result is positive, showing that Oumuamua has more than enough energy to keep it from being bound by the Sun's gravity—strong evidence that it's of interstellar origin rather than a resident of our own Solar System. We found the Sun's mass and Earth's orbital radius (which we used for  $r$  in Equation 8.6) in the table inside the back cover. They're also in Appendix E.

**ASSESS** Our approach is equivalent to checking whether Oumuamua's speed at Earth's orbit is greater than or less than escape speed at that distance from the Sun. Our calculation came out in J/kg instead of J because we effectively divided out Oumuamua's unknown mass  $m$ .

**Energy in Circular Orbits**

In the special case of a circular orbit, kinetic and potential energies are related in a simple way. In Section 8.3, we found that the speed in a circular orbit is given by

$$v^2 = \frac{GM}{r}$$

where  $r$  is the distance from a gravitating center of mass  $M$ . So the kinetic energy of an object in circular orbit is

$$K = \frac{1}{2}mv^2 = \frac{GMm}{2r}$$

while potential energy is given by Equation 8.6:

$$U = -\frac{GMm}{r}$$

Comparing these two expressions shows that  $U = -2K$  for a circular orbit. The total energy is therefore

$$E = U + K = -2K + K = -K \quad (8.8a)$$

or, equivalently,

$$E = \frac{1}{2}U = -\frac{GMm}{2r} \quad (8.8b)$$

The total energy in these equations is negative, showing that circular orbits are bound orbits. We stress that these results apply only to *circular* orbits; in elliptical orbits, there's a continuous interchange between kinetic and potential energy as the orbiting object moves relative to the gravitating center.

Equation 8.8a shows that *higher* kinetic energy corresponds to *lower* total energy. This surprising result occurs because *higher* orbital speed corresponds to a *lower* orbit, with lower potential energy.

### CONCEPTUAL EXAMPLE 8.1 Space Maneuvers

Astronauts heading for the International Space Station find themselves in the right circular orbit, but well behind the station. How should they maneuver to catch up?

**EVALUATE** To catch up, the astronauts will have to go faster than the space station. That means increasing their kinetic energy—and, as we've just seen, that corresponds to *lowering* their total energy. So they'll need to drop into a lower orbit.

Figure 8.14 shows the catch-up sequence. The astronauts fire their rocket backward, decreasing their energy and dropping briefly into a lower-energy elliptical orbit. They then fire their rocket to circularize the orbit. Now they're in a lower-energy but faster orbit than the space station. When they're correctly positioned, they fire their rocket to boost themselves into a higher-energy elliptical orbit, then fire again to circularize that orbit in the vicinity of the station.

**ASSESS** Our solution sounds counterintuitive—as if a car, to speed up, had to apply its brakes. But that's what's needed here, thanks to the interplay between kinetic and potential energy in circular orbits.

**MAKING THE CONNECTION** Suppose the astronauts reach the space station's 380-km altitude, but find themselves one-fourth of an orbit behind the station. If the maneuver described above drops their spacecraft into a 320-km circular orbit, how many orbits must they make before catching up with the station? Neglect the time involved in transferring between circular orbits.

**EVALUATE** Applying Equation 8.4 gives periods  $T_1 = 92.0$  min for the space station and  $T_2 = 90.8$  min for the astronauts in their lower orbit. So with each orbit the astronauts gain 1.2 min on the station. They've got to make up one-fourth of an orbit, or 23 min. That will take  $(23 \text{ min})/(1.2 \text{ min/orbit}) = 19$  orbits, or just over a day.

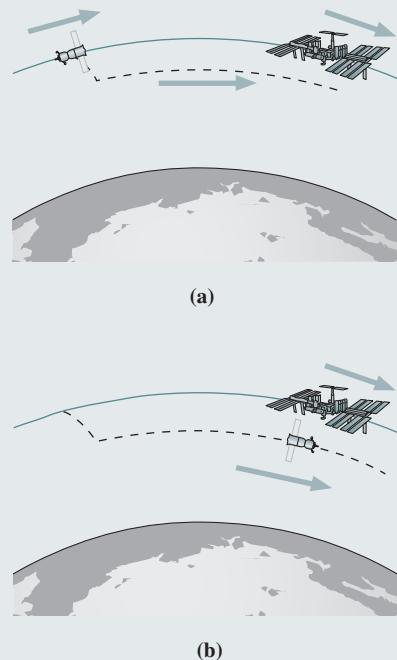


FIGURE 8.14 Playing catch-up with the space station.

## GOT IT?

- 8.3** Two identical spacecraft A and B are in circular orbits about Earth, with B at a higher altitude. Which of the following statements are true? (a) B has greater total energy; (b) B is moving faster; (c) B takes longer to complete its orbit; (d) B has greater potential energy; (e) a larger proportion of B's total energy is potential energy

## 8.5 The Gravitational Field

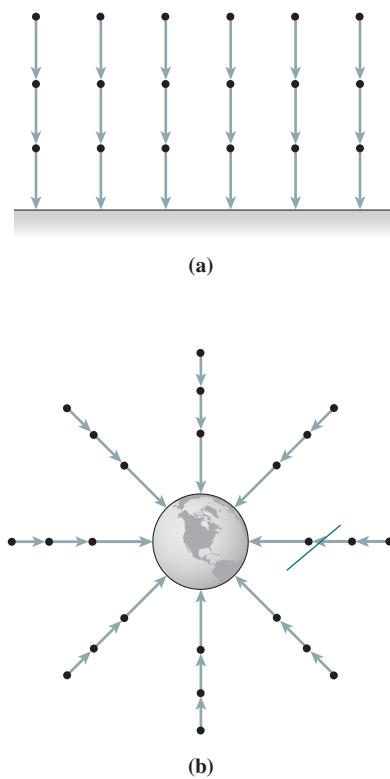
- LO 8.7** Explain the field concept as applied to the gravitational field.

Our description of gravity so far suggests that a massive body like Earth somehow “reaches out” across empty space to pull on objects like falling apples, satellites, or the Moon. This view—called **action-at-a-distance**—has bothered both physicists and philosophers for centuries. How can the Moon, for example, “know” about the presence of the distant Earth?

An alternative view holds that Earth creates a **gravitational field** and that objects respond to the field in their immediate vicinity. The field is described by vectors that give the force per unit mass that would arise at each point if a mass were placed there. Near Earth’s surface, for instance, the gravitational field vectors point vertically downward and have magnitude 9.8 N/kg. We can express this field vectorially by writing

$$\vec{g} = -\hat{j} \quad (\text{gravitational field near Earth's surface}) \quad (8.9)$$

where we’ve assumed a coordinate system with the  $y$ -axis upward. More generally, the field points toward a spherical gravitating center, and its strength decreases inversely with the square of the distance:



**FIGURE 8.15** Gravitational field vectors at points (a) near Earth’s surface and (b) on a larger scale.

$\vec{g}$  is the gravitational field at a point in the vicinity of a mass  $M$ .  $M$  is the gravitating mass.  $\hat{r}$  is a unit vector pointing radially away from  $M$ ’s center.  
Its magnitude is the field strength in N/kg.

$$\vec{g} = -\frac{GM}{r^2} \hat{r} \quad (\text{gravitational field of a spherical mass } M) \quad (8.10)$$

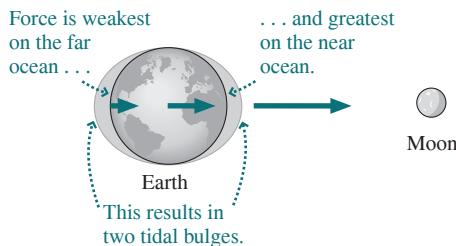
Equivalently,  $\vec{g}$  gives the magnitude and direction of the gravitational acceleration. The minus sign shows that the field points toward  $M$ .  $r$  is the distance from the center of  $M$  to the point where the field is being evaluated.

where  $\hat{r}$  is a unit vector that points radially outward. Figure 8.15 shows pictorial representations of Equations 8.9 and 8.10. You can show that the units of gravitational field (N/kg) are equivalent to those of acceleration ( $\text{m/s}^2$ ), so the field is really just a vectorial representation of  $g$ , the local acceleration of gravity.

### APPLICATION Tides

If the gravitational field were uniform, all parts of a freely falling object would experience exactly the same acceleration. But gravity does vary, and the result is a force—not from gravity itself but from *changes* in gravity with position—that tends to stretch or compress an object. Ocean tides result from this **tidal force**, as the nonuniform gravitational forces of Sun and Moon stretch the oceans and create bulges that move across Earth as the planet rotates. The figure shows that the greatest force is on the ocean nearest the Moon, causing one tidal bulge. The solid Earth experiences an intermediate force, pulling it away from the ocean on the far side. The water that’s “left behind” forms a second bulge opposite the Moon. The bulges shown are highly exaggerated. Furthermore, shoreline effects and the differing relative positions of the Moon and Sun complicate this simple picture that suggests two equal high tides and two equal low tides a day. Tidal

forces also cause internal heating of satellites like Jupiter’s moon Io and contribute to the formation of planetary rings.



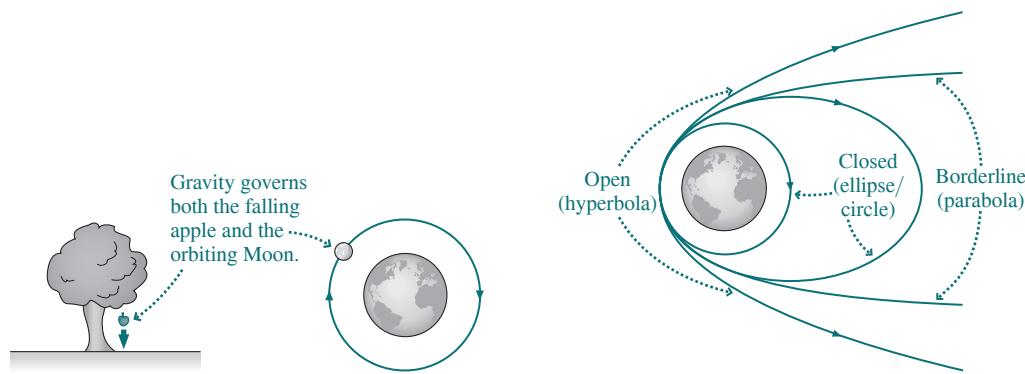
What do we gain by this field description? As long as we deal with situations where nothing changes, the action-at-a-distance and field descriptions are equivalent. But what if, for example, Earth suddenly gains mass? How does the Moon know to adjust its orbit? Under the field view, its orbit doesn't change immediately; instead, it takes a small but nonzero time for the information about the more massive Earth to propagate out to the Moon. The Moon always responds to the gravitational field *in its immediate vicinity*, and it takes a short time for the field itself to change. That description is consistent with Einstein's notion that instantaneous transmission of information is impossible; the action-at-a-distance view is not.

More generally, the field view provides a powerful way of describing interactions in physics. We'll see fields again when we study electricity and magnetism, and you'll find that fields aren't just mathematical or philosophical conveniences but are every bit as real as matter itself.

## Chapter 8 Summary

### Big Idea

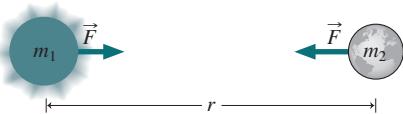
The big idea here is **universal gravitation**—an attractive force that acts between all matter with a strength that depends directly on the product of two interacting masses and inversely on the square of the distance between them. Gravitation is responsible for the familiar behavior of falling objects and also for the orbits of planets and satellites. Depending on energy, orbits may be closed (elliptical/circular) or open (hyperbolic/parabolic).



### Key Concepts and Equations

Mathematically, Newton's law of universal gravitation describes the attractive force  $F$  between two masses  $m_1$  and  $m_2$  located a distance  $r$  apart:

$$F = \frac{Gm_1m_2}{r^2} \quad (\text{universal gravitation})$$



This equation applies to point masses of negligible size and to spherically symmetric masses of any size. It's an excellent approximation for any objects whose size is much smaller than their separation. In all cases,  $r$  is measured from the centers of the gravitating objects.

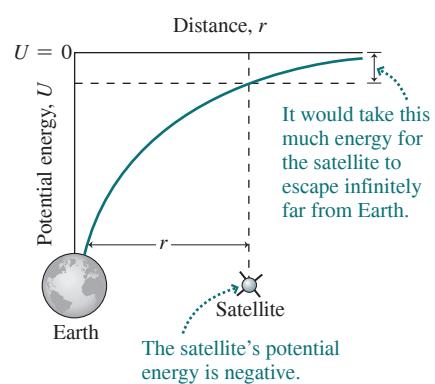
Because the strength of gravity varies with distance, potential-energy changes over large distances aren't just a product of force and distance. Integration shows that the potential-energy change  $\Delta U$  involved in moving a mass  $m$  originally a distance  $r_1$  from the center of a mass  $M$  to a distance  $r_2$  is

$$\Delta U = GMm\left(\frac{1}{r_1} - \frac{1}{r_2}\right) \quad (\text{change in potential energy})$$

With gravity, it's convenient to choose the zero of potential energy at infinity; then

$$U = -\frac{GMm}{r} \quad (\text{potential energy, } U = 0 \text{ at infinity})$$

for the potential energy of a system comprising a mass  $m$  located a distance  $r$  from the center of another mass  $M$ .



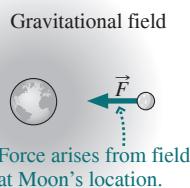
(continued)

## Applications

A total energy—kinetic plus potential—of zero marks the dividing line between closed and open orbits. An object located a distance  $r$  from a gravitating mass  $M$  must have at least the **escape speed** to achieve an open orbit and escape  $M$ 's vicinity forever:

$$v_{\text{esc}} = \sqrt{\frac{2GM}{r}}$$

The **gravitational field** concept provides a way to describe gravity that avoids the troublesome action at a distance. A gravitating mass creates a field in the space around it, and a second mass responds to the field in its immediate vicinity.



Circular orbits are readily analyzed using Newton's laws and concepts from circular motion. A circular orbit of radius  $r$  about a mass  $M$  has a period given by

$$T^2 = \frac{4\pi^2 r^3}{GM}$$

Kinetic and potential energies are related by  $U = -2K$ . Total energy is negative, as appropriate for a closed orbit, and the object actually moves faster the lower its total energy.

A special orbit is the **geostationary orbit**, parallel to Earth's equator at an altitude of about 36,000 km. Here the orbital period is 24 h, so a satellite in geostationary orbit appears from Earth's surface to be fixed in the sky. TV, communications, and weather satellites use geostationary orbits.

## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems

### Learning Outcomes

After finishing this chapter, you should be able to:

LO 8.1 Describe the evolution of our understanding of planetary motion.  
*For Thought and Discussion Question 8.1*

LO 8.2 Use the law of universal gravitation to find the gravitational force between masses.  
*For Thought and Discussion Questions 8.2, 8.3, 8.4, 8.8; Exercises 8.11, 8.12, 8.13, 8.14, 8.15, 8.16, 8.17;*

*Problems 8.38, 8.39, 8.40, 8.47, 8.66, 8.69, 8.70, 8.74, 8.75*

LO 8.3 Solve problems involving circular orbits.

*For Thought and Discussion Questions 8.5, 8.6, 8.7, 8.9; Exercises 8.12, 8.18, 8.19, 8.20, 8.21, 8.22, 8.23; Problems 8.39, 8.43, 8.44, 8.45, 8.46, 8.47, 8.48, 8.54, 8.57, 8.59, 8.64, 8.67, 8.70, 8.71, 8.73*

LO 8.4 Solve conservation-of-energy problems involving universal gravitation.

*For Thought and Discussion Question 8.10; Exercises 8.24, 8.25, 8.26, 8.27; Problems 8.41, 8.42, 8.46, 8.49, 8.50, 8.51, 8.52, 8.53, 8.55, 8.56, 8.58, 8.60, 8.62, 8.63, 8.64, 8.65, 8.68*

LO 8.5 Calculate escape speeds.

*Exercises 8.28, 8.29; Problems 8.53, 8.54, 8.58, 8.72*

LO 8.6 Distinguish closed and open orbits based on total energy.  
*Problem 8.61*

LO 8.7 Explain the field concept as applied to the gravitational field.

### For Thought and Discussion

- What do Newton's apple and the Moon have in common?
- Explain the difference between  $G$  and  $g$ .
- When you stand on Earth, the distance between you and Earth is zero. So why isn't the gravitational force infinite?
- The force of gravity on an object is proportional to the object's mass, yet all objects fall with the same gravitational acceleration. Why?
- A friend who knows nothing about physics asks what keeps an orbiting satellite from falling to Earth. Give an answer that will satisfy your friend.
- Could you put a satellite in an orbit that keeps it stationary over the south pole? Explain.
- Why are satellites generally launched eastward and from low latitudes? (*Hint:* Think about Earth's rotation.)
- Given Earth's mass, the Moon's distance and orbital period, and the value of  $G$ , could you calculate the Moon's mass? If yes, how? If no, why not?

- How should a satellite be launched so that its orbit takes it over every point on the (rotating) Earth?
- Does the gravitational force of the Sun do work on a planet in a circular orbit? In an elliptical orbit? Explain.

### Exercises and Problems

#### Exercises

##### Section 8.2 Universal Gravitation

- Space explorers land on a planet with the same mass as Earth, but find they weigh twice as much as they would on Earth. What's the planet's radius?
- Use data for the Moon's orbit from Appendix E to compute the Moon's acceleration in its circular orbit, and verify that the result is consistent with Newton's law of gravitation.
- To what fraction of its current radius would Earth have to shrink (with no change in mass) for the gravitational acceleration at its surface to triple?

14. Calculate the gravitational acceleration at the surface of (a) Mercury and (b) Saturn's moon Titan.
15. Two identical lead spheres with their centers 14 cm apart attract each other with a  $0.25\text{-}\mu\text{N}$  force. Find their mass.
16. What's the approximate value of the gravitational force between a 67-kg astronaut and a 73,000-kg spacecraft when they're 84 m apart?
17. A sensitive gravimeter is carried to the top of New York's new One World Trade Center, where its reading for the acceleration of gravity is  $1.67 \text{ mm/s}^2$  lower than at street level. Find the building's height.

### Section 8.3 Orbital Motion

18. At what altitude will a satellite complete a circular orbit of Earth in 2.0 h?
19. Find the speed of a satellite in geostationary orbit.
20. Mars's orbit has a diameter 1.52 times that of Earth's orbit. How long does it take Mars to orbit the Sun?
21. Calculate the orbital period for Jupiter's moon Io, which orbits  $4.22 \times 10^5 \text{ km}$  from the planet's center.
22. An astronaut hits a golf ball horizontally from the top of a lunar mountain so fast that it goes into circular orbit. What's its orbital period?
23. The *Mars Reconnaissance Orbiter* circles the red planet with a 112-min period. What's the spacecraft's altitude?

### Section 8.4 Gravitational Energy

24. Earth's distance from the Sun varies from 147 Gm at perihelion to 152 Gm at aphelion because its orbit isn't quite circular. Find the change in potential energy as Earth goes from perihelion to aphelion.
25. So-called suborbital missions take scientific instruments into space for brief periods without the expense of getting into orbit; their trajectories are often simple "up and down" vertical paths. How much energy does it take to launch a 230-kg instrument on a vertical trajectory that peaks at 1800 km altitude?
26. A rocket is launched vertically upward from Earth's surface at 5.1 km/s. What's its maximum altitude?
27. What vertical launch speed is necessary to get a rocket to an altitude of 1100 km?
28. The escape speed from a planet of mass  $2.9 \times 10^{24} \text{ kg}$  is 7.1 km/s. Find the planet's radius.
29. Determine escape speeds from (a) Jupiter's moon Callisto and (b) a neutron star, with the Sun's mass crammed into a sphere of radius 6.0 km. See Appendix E for relevant data.

### Example Variations

The following problems are based on two examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

30. **Example 8.2:** The *Hubble Space Telescope* orbits Earth at an altitude of 569 km. Find its (a) speed and (b) orbital period.
31. **Example 8.2:** The satellites that comprise the Global Positioning System (GPS) are in circular orbits with periods of 11.97 h. Find their (a) altitude and (b) speed.
32. **Example 8.2:** The *ExoMars Trace Gas Orbiter* is a joint project of the European and Russian space agencies, designed to help determine the origin of methane in the Martian atmosphere. *ExoMars* reached the red planet in 2016, and in 2018 its orbit

was circularized at approximately 400 km altitude. Estimate *ExoMars'* (a) speed and (b) orbital period.

33. **Example 8.2:** Find the altitude and speed of a spacecraft in stationary orbit above Mars' equator (this is analogous to a geostationary orbit at Earth and is called an *aerostationary* orbit).
34. **Example 8.4:** A rocket is launched vertically from Earth's surface at 8.31 km/s. How high does it go?
35. **Example 8.4:** A rocket is launched vertically from Earth's surface and reaches a maximum altitude of 2150 km. What was its launch speed?
36. **Example 8.4:** A coronal mass ejection (CME) is an eruption of material from the Sun's atmosphere. Electromagnetic forces accelerate a particular CME to 550 km/s at an altitude of 2.0 solar radii above the Sun's surface. After that, the CME coasts, heading directly outward from the Sun, under the influence of solar gravity alone. Find its speed when it passes Earth's orbit.
37. **Example 8.4:** In September 2017, the *Cassini* spacecraft ended its 20-year mission to Saturn with a self-destructive plunge into the planet's atmosphere (this was done in part to avoid contaminating any of Saturn's many moons). *Cassini* entered Saturn's atmosphere at 123,000 km/h. Was this greater than or less than the speed *Cassini* would have had if it had simply started from rest and dropped onto Saturn from a great distance, and by how much?

### Problems

38. The gravitational acceleration at a planet's surface is  $22.5 \text{ m/s}^2$ . Find the acceleration at an altitude equal to half the planet's radius.
39. You're the navigator on a spaceship studying an unexplored planet. Your ship has just gone into a circular orbit around the planet, and you determine that the gravitational acceleration at your orbital altitude is half what it would be at the surface. What do you report for your altitude, in terms of the planet's radius?
40. If you're standing on the ground 15 m directly below the center of a spherical water tank containing  $4 \times 10^6 \text{ kg}$  of water, by what fraction is your weight reduced due to the water's gravitational attraction?
41. On January 1, 2019, the 450-kg *New Horizons* spacecraft made the farthest-ever encounter with an object in our Solar System, flying by the Kuiper Belt object MU69 at some 51,000 km/h relative to the Sun. This encounter took place 6.5 billion km from the Sun, when *New Horizons* was already 1.6 billion km beyond its 2015 encounter with Pluto. Find *New Horizons'* total energy and use it to determine whether or not the spacecraft is bound to the Sun.
42. Equation 7.9 relates force to the derivative of potential energy. Use this fact to differentiate Equation 8.6 for gravitational potential energy, and show that you recover Newton's law of gravitation.
43. During the *Apollo* Moon landings, one astronaut remained with the command module in lunar orbit, about 130 km above the surface. For half of each orbit, this astronaut was completely cut off from the rest of humanity as the spacecraft rounded the far side of the Moon. How long did this period last?
44. A white dwarf is a collapsed star with roughly the Sun's mass compressed into the size of Earth. What would be (a) the orbital speed and (b) the orbital period for a spaceship in orbit just above the surface of a white dwarf?
45. Given that our Sun orbits the galaxy with a period of 200 My at  $2.6 \times 10^{20} \text{ m}$  from the galactic center, estimate the galaxy's mass. Assume (incorrectly) that the galaxy is essentially spherical and that most of its mass lies interior to the Sun's orbit.
46. You're standing at the highest point on the Moon, 10,786 m above the level of the Moon's mean radius. You've got a golf club and a golf ball. (a) How fast would you need to hit the ball horizontally so it goes into a circular orbit? (b) If you hit the ball vertically with the same speed, to what height above you would it rise?

47. Exact solutions for gravitational problems involving more than two bodies are notoriously difficult. One solvable problem involves a configuration of three equal-mass objects spaced in an equilateral triangle. Forces due to their mutual gravitation cause the configuration to rotate. Suppose three identical stars, each of mass  $M$ , form a triangle of side  $L$ . Find an expression for the period of their orbital motion.
48. Satellites A and B are in circular orbits, with A four times as far from Earth's center as B. How do their orbital periods compare?
49. The asteroid that exploded over Chelyabinsk, Russia, in 2013 (see Application on page 142) was moving at 35.5 km/s relative to the Sun just before it entered Earth's atmosphere. Calculations based on orbital observations show that it was moving at 11.2 km/s at aphelion (its most distant point from the Sun). Find the distance at aphelion, expressed in astronomical units (1 AU is the average distance of Earth from Sun; see Appendix E).
50. We still don't have a permanent solution for the disposal of radioactive waste. As a nuclear waste specialist with the Department of Energy, you're asked to evaluate a proposal to shoot waste canisters into the Sun. You need to report the speed at which a canister, dropped from rest in the vicinity of Earth's orbit, would hit the Sun. What's your answer?
51. In November 2013, Comet ISON reached its perihelion (closest approach to the Sun) at 1.87 Gm from the Sun's center (only 1.17 Gm from the solar surface); at that point ISON was moving at 378 km/s relative to the Sun. Do a calculation to determine whether its orbit was elliptical or hyperbolic. (Most of ISON's cometary nucleus was destroyed in its close encounter with the Sun.)
52. Neglecting air resistance, to what height would you have to fire a rocket for the constant-acceleration equations of Chapter 2 to give a height in error by 1%? Would those equations overestimate or underestimate the height?
53. Show that an object released from rest very far from Earth reaches Earth's surface at essentially escape speed.
54. By what factor must an object's speed in circular orbit be increased to reach escape speed from its orbital altitude?
55. In 2017 North Korea developed ballistic missiles capable of hitting targets anywhere in the continental United States. The missiles were actually tested on near-vertical trajectories, but using the missile's maximum height, U.S. scientists could determine the launch speed, and from that, using the physics of elliptical orbits, they could determine the maximum range. In its first test, the North Korean Hwasong-15 missile reached an altitude of 4500 km—more than 10 times the 408-km altitude of the International Space Station (ISS). Find the missile's launch speed, assuming it's achieved at essentially zero altitude (although the rocket motors actually burn out at several hundred kilometers).
56. Two meteoroids are 250,000 km from Earth's center and moving at 2.1 km/s. One is headed straight for Earth, while the other is on a path that will come within 8500 km of Earth's center (Fig. 8.16). Find the speed of (a) the first meteoroid when it strikes Earth and (b) the second meteoroid at its closest approach. (c) Will the second meteoroid ever return to Earth's vicinity?

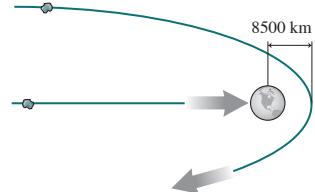


FIGURE 8.16 Problem 56

57. Neglecting Earth's rotation, show that the energy needed to launch a satellite of mass  $m$  into circular orbit at altitude  $h$  is  $\left(\frac{GM_E m}{R_E}\right)\left(\frac{R_E + 2h}{2(R_E + h)}\right)$ .

58. A projectile is launched vertically upward from a planet of mass **CH**  $M$  and radius  $R$ ; its initial speed is  $\sqrt{2}$  times the escape speed. Derive an expression for its speed as a function of the distance  $r$  from the planet's center.
59. A spacecraft is in circular orbit 5500 km above Earth's surface. **CH** How much will its altitude decrease if it moves to a new circular orbit where (a) its orbital speed is 10% higher or (b) its orbital period is 10% shorter?
60. Two meteoroids are 160,000 km from Earth's center and heading straight toward Earth, one at 10 km/s, the other at 20 km/s. At what speeds will they strike Earth?
61. The *New Horizons* mission to Pluto had the fastest launch speed of any space probe—16.26 km/s. Assuming this speed was achieved at negligible altitude compared with Earth's radius, find *New Horizons'* speed when it crossed the Moon's orbit.
62. A satellite is in an elliptical orbit at altitudes ranging from 230 to 890 km. At its highest point, it's moving at 7.23 km/s. How fast is it moving at its lowest point?
63. A missile's trajectory takes it to a maximum altitude of 1200 km. If its launch speed is 6.1 km/s, how fast is it moving at the peak of its trajectory?
64. A 720-kg spacecraft has total energy  $-0.53$  TJ and is in circular orbit around the Sun. Find (a) its orbital radius, (b) its kinetic energy, and (c) its speed.
65. Mercury's orbital speed varies from 38.8 km/s at aphelion to 59.0 km/s at perihelion. If the planet is  $6.99 \times 10^{10}$  m from the Sun's center at aphelion, how far is it at perihelion?
66. Show that the form  $\Delta U = mg \Delta r$  follows from Equation 8.5 **CH** when  $r_1 \approx r_2$ . [Hint: Write  $r_2 = r_1 + \Delta r$  and apply the binomial approximation (Appendix A).]
67. Two satellites are in geostationary orbit but in diametrically opposite positions (Fig. 8.17). In order to catch up with the other, one satellite descends into a lower circular orbit (see Conceptual Example 8.1 for a description of this maneuver). How far should it descend if it's to catch up in 10 orbits? Neglect rocket firing times and time spent moving between the two circular orbits.

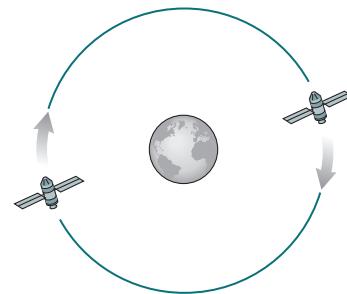


FIGURE 8.17 Problem 67

68. The two asteroids described in the Application on page 142 both set records for being the largest objects of their sizes to come as close to Earth in recent times as they did. Use appropriate data given in the Application to find the total energy for each asteroid—that is, each asteroid's kinetic energy plus potential energy in the asteroid–Sun system. What do your results show about the asteroids' orbits?
69. A spacecraft is orbiting a spherical asteroid when it deploys a **DATA** probe that falls toward the asteroid's surface. The spacecraft radios to Earth the probe's position and its acceleration; the data are shown in the table below. Determine a quantity that, when you plot  $a$  against it, should yield a straight line. Plot the data, determine a best-fit line, and use its slope to determine the asteroid's mass.

Probe position $r$ (km from asteroid's center)	80.0	55.0	40.0	35.0	30.0
Acceleration $a$ ( $\text{mm/s}^2$ )	0.172	0.353	0.704	0.858	1.18

70. We derived Equation 8.4 on the assumption that the massive gravitating center remains fixed. Now consider two objects with equal mass  $M$  orbiting each other, as shown in Fig. 8.18. Show that the orbital period is given by  $T^2 = 2\pi^2 d^3/GM$ , where  $d$  is the distance between the objects.

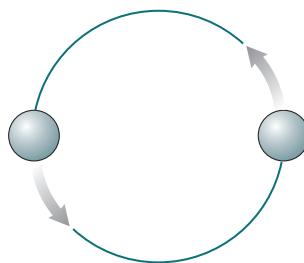


FIGURE 8.18 Problem 70

71. Tidal effects in the Earth–Moon system cause the Moon’s orbital period to increase at a current rate of about 35 ms per century. Assuming the Moon’s orbit is circular, to what rate of change in the Earth–Moon distance does this correspond? (*Hint:* Differentiate Kepler’s third law, Equation 8.4, and consult Appendix E.)
- CH 72. As a member of the 2040 Olympic committee, you’re considering a new sport: asteroid jumping. On Earth, world-class high jumpers routinely clear 2 m. Your job is to make sure athletes jumping from asteroids will return to the asteroid. Make the simplifying assumption that asteroids are spherical, with average density  $2500 \text{ kg/m}^3$ . For safety, make sure even a jumper capable of 3 m on Earth will return to the surface. What do you report for the minimum asteroid diameter?
73. The Olympic Committee is keeping you busy! You’re now asked to consider a proposal for lunar hockey. The record speed for a hockey puck is 178 km/h. Is there any danger that hockey pucks will go into lunar orbit?
- CH 74. Tidal forces are proportional to the variation in gravity with position. By differentiating Equation 8.1, estimate the ratio of the tidal forces due to the Sun and the Moon. Compare your answer with the ratio of the gravitational forces that the Sun and Moon exert on Earth. Use data from Appendix E.
75. Spacecraft that study the Sun are often placed at the so-called L1 COMP Lagrange point, located sunward of Earth on the Sun–Earth line. L1 is the point where Earth’s and Sun’s gravity together produce an orbital period of one year, so that a spacecraft at L1 stays fixed relative to Earth as both planet and spacecraft orbit the Sun. This placement ensures an uninterrupted view of the Sun, without being periodically eclipsed by Earth as would occur in Earth orbit. Find L1’s location relative to Earth. (*Hint:* This problem calls for numerical methods or solving a higher-order polynomial equation.)

### Passage Problems

The Global Positioning System (GPS) uses a “constellation” of some 30 satellites to provide accurate positioning for any point on Earth (Fig. 8.19). GPS receivers time radio signals traveling at the speed of

light from three of the satellites to find the receiver’s position. Signals from one or more additional satellites provide corrections, eliminating the need for high-accuracy clocks in individual GPS receivers. GPS satellites are in circular orbits at 20,200 km altitude.

76. What’s the approximate orbital period of GPS satellites?
- 90 min
  - 8 h
  - 12 h
  - 24 h
  - 1 week
77. What’s the approximate speed of GPS satellites?
- 9.8 m/s
  - 500 m/s
  - 1.7 km/s
  - 4 km/s
  - 12 km/s
78. What’s the approximate escape speed at GPS orbital distance?
- 4 km/s
  - 5.5 km/s
  - 6.3 km/s
  - 9.8 km/s
  - 11 km/s
79. The current generation of GPS satellites have masses of 844 kg. What’s the approximate total energy of such a satellite?
- 6 GJ
  - 3 GJ
  - 3 GJ
  - 6 GJ
  - 8 GJ

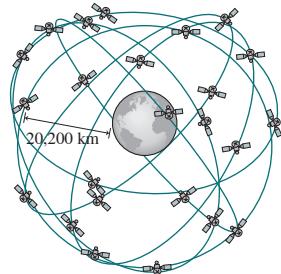


FIGURE 8.19 GPS satellites (Passage Problems 76–79)

## Answers to Chapter Questions

### Answer to Chapter Opening Question

*New Horizons’* total energy—kinetic energy plus potential energy associated with the Sun’s gravitational field—is greater than zero. Put another way, *New Horizons* has escape speed relative to the Sun.

### Answers to GOT IT? Questions

- 8.1 (d) Quadrupled. If the original distance were  $r$ , the original force would be proportional to  $1/r^2$ . At half that distance, the force is proportional to  $1/(r/2)^2 = 4/r^2$ .
- 8.2 Hyperbolic > parabolic > elliptical > circular
- 8.3 (a), (c), and (d). Since B has higher total energy, it must have lower kinetic energy and is therefore moving slower. B is farther from the gravitating body, so its potential energy is higher—still negative, but less so than A’s. For circular orbits, the ratio of potential energy to total energy is always the same—namely,  $U = 2E$ .

# Systems of Particles

## Skills & Knowledge You'll Need

- The concept of momentum (Section 4.2)
- Newton's second law expressed as  $\vec{F} = d\vec{p}/dt$  (Section 4.2)
- Newton's third law (Section 4.6)
- Your knowledge of integral calculus

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 9.1** Find the center of mass of a system of discrete particles.
- LO 9.2** Describe the motion of a system's center of mass.
- LO 9.3** Use integration to find the center of mass of a continuous object.
- LO 9.4** Determine the total momentum of a system.
- LO 9.5** Solve problems involving conservation of momentum.
- LO 9.6** Break a system's kinetic energy into center-of-mass and internal components.
- LO 9.7** Describe what constitutes a collision and distinguish elastic from inelastic collisions.
- LO 9.8** Analyze totally inelastic collisions using conservation of momentum.
- LO 9.9** Analyze elastic collisions using conservation of momentum and kinetic energy.

So far we've generally treated objects as point particles, ignoring the fact that most are composed of smaller parts. In Chapter 6's introduction of energy, however, we needed also to develop the idea of a system that might comprise more than one object, and in Chapter 7 we found that the concept of potential energy necessarily required us to consider systems of at least two interacting particles. Here we deal explicitly with systems of many particles. These include **rigid bodies**—objects such as baseballs, cars, and planets whose constituent particles are stuck together in fixed orientations—as well as systems like human bodies, exploding fireworks, or flowing rivers, whose parts move relative to one another. In subsequent chapters we'll look at specific instances of many-particle systems, including the rotational motion of rigid bodies (Chapter 10) and the behavior of fluids (Chapter 15).



Most parts of the dancer's body undergo complex motions during this jump, yet one special point follows the parabolic trajectory of a projectile. What is that point, and why is it special?

## 9.1 Center of Mass

**LO 9.1** *Find the center of mass of a system of discrete particles.*

**LO 9.3** *Use integration to find the center of mass of a continuous object.*

**LO 9.2** *Describe the motion of a system's center of mass.*

The motion of the dancer in the photo on the previous page is complex, with each part of his body moving on a different path. But the superimposed curve shows one point following the parabola we expect of a projectile (Section 3.5). This point is the **center of mass**, an average position of all the mass making up the dancer. Since the net force on the dancer as a whole is gravity, the photo, with its parabolic arc, suggests that the center of mass obeys Newton's second law,  $\vec{F}_{\text{net}} = M\vec{a}_{\text{cm}}$ , where  $M$  is the dancer's total mass and  $\vec{a}_{\text{cm}}$  is the acceleration of the center of mass. (We'll use the subscript cm for quantities associated with the center of mass.) To find the center of mass, we therefore need to locate a point whose acceleration obeys  $\vec{F}_{\text{net}} = M\vec{a}_{\text{cm}}$ , with  $\vec{F}_{\text{net}}$  the net force on the entire system.

Consider a system of many particles. To find the center of mass, we want an equation like Newton's second law that involves the total mass of the system and the net force on the entire system. If we apply Newton's second law to the  $i$ th particle in the system, we have

$$\vec{F}_i = m_i \vec{a}_i = m_i \frac{d^2 \vec{r}_i}{dt^2} = \frac{d^2 m_i \vec{r}_i}{dt^2}$$

where  $\vec{F}_i$  is the net force on the particle,  $m_i$  is its mass, and we've written the acceleration  $\vec{a}_i$  as the second derivative of the position  $\vec{r}_i$ . The total force on the system is the sum of the forces acting on all  $N$  particles. We write this sum compactly using the summation symbol  $\Sigma$ :

$$\vec{F}_{\text{total}} = \sum_{i=1}^N \vec{F}_i = \sum_{i=1}^N \frac{d^2 m_i \vec{r}_i}{dt^2}$$

where the sum runs over all particles composing the system, from  $i = 1$  to  $N$ . But the sum of derivatives is the derivative of the sum, so

$$\vec{F}_{\text{total}} = \frac{d^2 (\sum m_i \vec{r}_i)}{dt^2}$$

We can now put this equation in the form of Newton's second law. Multiplying and dividing the right-hand side by the total mass  $M = \sum m_i$ , and distributing this constant  $M$  through the differentiation, we have

$$\vec{F}_{\text{total}} = M \frac{d^2}{dt^2} \left( \frac{\sum m_i \vec{r}_i}{M} \right) \quad (9.1)$$

Equation 9.1 has a form like Newton's law applied to the total mass if we define

$\vec{r}_{\text{cm}}$  is the position of the center of mass.  
Think of this vector equation as being  
three scalar equations for coordinates

$x_{\text{cm}}$ ,  $y_{\text{cm}}$ , and  $z_{\text{cm}}$ .

$\vec{r}_{\text{cm}}$  involves a sum of the positions  $\vec{r}_i$   
weighted by the masses  $m_i$ .

$$\vec{r}_{\text{cm}} = \frac{\sum m_i \vec{r}_i}{M} \quad (\text{center of mass}) \quad (9.2)$$

$M$  is the total mass, given by  $\sum m_i$ .

Then the derivative in Equation 9.1 becomes  $d^2 \vec{r}_{\text{cm}}/dt^2$ , which we recognize as the center-of-mass acceleration,  $\vec{a}_{\text{cm}}$ . So now Equation 9.1 reads  $\vec{F}_{\text{total}} = M \vec{a}_{\text{cm}}$ . This is almost Newton's law—but not quite, because the force here is the sum of all the forces acting on all the particles of the system, and we want just the net **external force**—the net force applied from *outside* the system. We can write the force  $\vec{F}_{\text{total}}$  as

$$\vec{F}_{\text{total}} = \sum \vec{F}_{\text{ext}} + \sum \vec{F}_{\text{int}}$$

where  $\sum \vec{F}_{\text{ext}}$  is the sum of all the external forces and  $\sum \vec{F}_{\text{int}}$  the sum of the internal forces—those acting between particles within the system. According to Newton's third law, each of

the internal forces has an equal but oppositely directed force that itself acts on a particle of the system and is therefore included in the sum  $\sum \vec{F}_{\text{int}}$ . (Each external force is also part of a third-law pair, but forces paired with the external forces act *outside* the system and therefore aren't included in the sum.) Added vectorially, the internal forces therefore cancel in pairs, so  $\sum \vec{F}_{\text{int}} = \vec{0}$ , and the force  $\vec{F}_{\text{total}}$  in Equation 9.1 is just the net *external* force applied to the system. So the point  $\vec{r}_{\text{cm}}$  defined in Equation 9.2 does obey Newton's law, written in the form

$$\vec{F}_{\text{net ext}} = M \vec{a}_{\text{cm}} = M \frac{d^2 \vec{r}_{\text{cm}}}{dt^2} \quad (9.3)$$

where  $\vec{F}_{\text{net ext}}$  is the net external force applied to the system and  $M$  is the total mass.

We've defined the center of mass  $\vec{r}_{\text{cm}}$  so we can apply Newton's second law to the entire system rather than to each individual particle. As far as its overall motion is concerned, a complex system acts as though all its mass were concentrated at the center of mass.

## Finding the Center of Mass

Equation 9.2 shows that the center-of-mass position is an average of the positions of the individual particles, weighted by their masses. For a one-dimensional system, Equation 9.2 becomes  $x_{\text{cm}} = \sum m_i x_i / M$ ; in two and three dimensions, there are similar equations for the center-of-mass coordinates  $y_{\text{cm}}$  and  $z_{\text{cm}}$ . Finding the center of mass (CM) is a matter of establishing a coordinate system and then using the components of Equation 9.2.

### EXAMPLE 9.1 Center of Mass in One Dimension: Weightlifting

Find the center of mass of a barbell consisting of 50-kg and 80-kg weights at the opposite ends of a 1.5-m-long bar of negligible mass.

**INTERPRET** This is a problem about center of mass. We identify the system as consisting of two "particles"—namely, the two weights.

**DEVELOP** Figure 9.1 shows the barbell. Here, with just two particles, we have a one-dimensional situation and Equation 9.2,  $\vec{r}_{\text{cm}} = \sum m_i \vec{r}_i / M$ , becomes  $x_{\text{cm}} = (m_1 x_1 + m_2 x_2) / (m_1 + m_2)$ . Before we can apply this equation, however, we need a coordinate system. As always, any coordinate system will do—but a smart choice makes the math easier. Let's

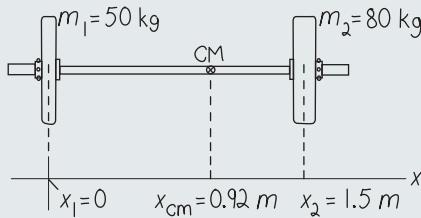


FIGURE 9.1 Our sketch of the barbell.

take  $x = 0$  at the 50-kg mass, so the term  $m_1 x_1$  becomes zero. Our plan is then to find the center-of-mass coordinate  $x_{\text{cm}}$  using our one-dimensional version of Equation 9.2.

**EVALUATE** With  $x = 0$  at the left end of the barbell, the coordinate of the 80-kg mass is  $x_2 = 1.5$  m. So our equation becomes

$$x_{\text{cm}} = \frac{m_1 x_1 + m_2 x_2}{m_1 + m_2} = \frac{m_2 x_2}{m_1 + m_2} = \frac{(80 \text{ kg})(1.5 \text{ m})}{(50 \text{ kg} + 80 \text{ kg})} = 0.92 \text{ m}$$

where the equation simplified because of our choice  $x_1 = 0$ .

**ASSESS** As Fig. 9.1 shows, this result makes sense: The center of mass is closer to the heavier weight. If the weights had been equal, the center of mass would have been right in the middle.



**CHOOSING THE ORIGIN** Choosing the origin at one of the masses here conveniently makes one of the terms in the sum  $\sum m_i x_i$  zero. But, as always, the choice of origin is purely for convenience and doesn't influence the actual physical location of the center of mass. Exercise 12 demonstrates this point, repeating Example 9.1 with a different origin.

### EXAMPLE 9.2 Center of Mass in Two Dimensions: A Space Station

Figure 9.2 shows a space station consisting of three modules arranged in an equilateral triangle, connected by struts of length  $L$  and of negligible mass. Two modules have mass  $m$ , the other  $2m$ . Find the center of mass.

**INTERPRET** We're after the center of mass of the system consisting of the three modules.

**DEVELOP** Figure 9.2 is our drawing. We'll use Equation 9.2,  $\vec{r}_{\text{cm}} = \sum m_i \vec{r}_i / M$ , to find the center-of-mass coordinates  $x_{\text{cm}}$  and  $y_{\text{cm}}$ . A sensible coordinate system has the origin at the module with mass  $2m$  and the  $y$ -axis downward, as shown in Fig. 9.2.

**EVALUATE** Labeling the modules from left to right, we see that  $x_1 = -L \sin 30^\circ = -\frac{1}{2}L$ ,  $y_1 = L \cos 30^\circ = L\sqrt{3}/2$ ;  $x_2 = y_2 = 0$ ; and  $x_3 = -x_1 = \frac{1}{2}L$ ,  $y_3 = y_1 = L\sqrt{3}/2$ . Writing explicitly the  $x$ - and  $y$ -components of Equation 9.2 for this case gives

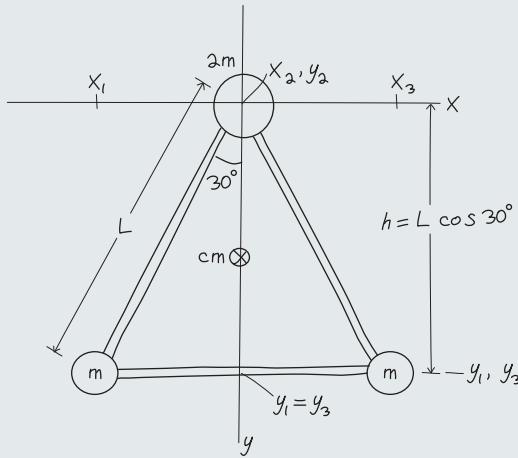


FIGURE 9.2 Our sketch of the space station.

$$x_{\text{cm}} = \frac{mx_1 + mx_3}{4m} = \frac{m(x_1 - x_3)}{4m} = 0$$

$$y_{\text{cm}} = \frac{my_1 + my_3}{4m} = \frac{2my_1}{4m} = \frac{1}{2}y_1 = \frac{\sqrt{3}}{4}L \approx 0.43L$$

Although there are three “particles” here, our choice of coordinate system left only two nonzero terms in the numerator, both associated with the same mass  $m$ . The more massive module is still in the problem, though; its mass  $2m$  contributes to make the total mass  $M$  in the denominator equal to  $4m$ .

**ASSESS** That  $x_{\text{cm}} = 0$  is apparent from symmetry (more on this in the following Tip). How about the result for  $y_{\text{cm}}$ ? We have  $2m$  at the top of the triangle, and  $m + m = 2m$  at the bottom—so shouldn’t the center of mass lie midway up the triangle? It does! Expressing the center of mass in terms of the triangle side  $L$  obscures this fact. The triangle’s height is  $h = L \cos 30^\circ = L\sqrt{3}/2$ , and our answer for  $y_{\text{cm}}$  is indeed half this value. We marked the center of mass (cm) on Fig. 9.2.



**EXPLOIT SYMMETRIES** It’s no accident that  $x_{\text{cm}}$  here lies on the vertical line that bisects the triangle; after all, the triangle is symmetric about that line, so its mass is distributed evenly on either side. Exploit symmetry whenever you can; that can save you a lot of computation throughout physics!

## Continuous Distributions of Matter

We’ve expressed the center of mass as a sum over individual particles. Ultimately, matter is composed of individual particles. But it’s often convenient to consider that it’s continuously distributed; we don’t want to deal with  $10^{23}$  atoms to find the center of mass of a macroscopic object! We can think of continuous matter as being composed of individual pieces of mass  $\Delta m_i$ , with position vectors  $\vec{r}_i$ ; we call these pieces **mass elements** (Fig. 9.3). The center of mass of the entire chunk is then given by Equation 9.2:  $\vec{r}_{\text{cm}} = (\sum \Delta m_i \vec{r}_i)/M$ , where  $M = \sum \Delta m_i$  is the total mass. In the limit as the mass elements become arbitrarily small, this expression becomes an integral:

With continuous matter  
 the masses  $m_i$ ...      ...become infinitesimal mass  
 elements  $dm$ ...  

$$\vec{r}_{\text{cm}} = \lim_{\Delta m_i \rightarrow 0} \frac{\sum \Delta m_i \vec{r}_i}{M} = \frac{\int \vec{r} dm}{M} \quad \left( \begin{array}{l} \text{center of mass,} \\ \text{continuous matter} \end{array} \right) \quad (9.4)$$
 ...and the sum...      ...becomes  
 an integral.

where the integration is over the entire object. Like the sum in Equation 9.2, the integral of the vector  $\vec{r}$  stands for three separate integrals for the components of the center-of-mass position.

### EXAMPLE 9.3 Continuous Matter: An Aircraft Wing

A supersonic aircraft wing is an isosceles triangle of length  $L$ , width  $w$ , and negligible thickness. It has mass  $M$ , distributed uniformly over the wing. Where’s its center of mass?

**INTERPRET** Here the matter is distributed continuously, so we need to integrate to find the center of mass. We identify an axis of symmetry through the wing, which we designate the  $x$ -axis. By symmetry, the

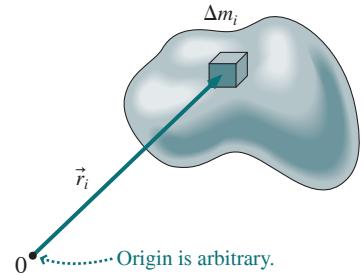


FIGURE 9.3 A chunk of continuous matter, showing one mass element  $\Delta m_i$  and its position vector  $\vec{r}_i$ .

center of mass lies along this  $x$ -axis, so  $y_{\text{cm}} = 0$  and we’ll need to calculate only  $x_{\text{cm}}$ .

**DEVELOP** Figure 9.4 shows the wing. Equation 9.4 applies, and we need only the  $x$ -component because the  $y$ -component is evident from symmetry. The  $x$ -component of Equation 9.4 is  $x_{\text{cm}} = (\int x dm)/M$ . Developing a plan for dealing with an integral

(continued)

like this requires some thought; we'll first do the work and then summarize the general steps involved.

Our goal is to find an appropriate mass element  $dm$ , and express it in terms of the infinitesimal coordinate interval  $dx$ . As shown in Fig. 9.4, here it's easiest to use a vertical strip of width  $dx$ . Each such strip has a different height  $h$ , depending on its position  $x$ . If we choose a coordinate system with origin at the wing apex, then, as you can see from the figure, the height grows linearly from 0 at  $x = 0$  to  $w$  at  $x = L$ . So  $h = (w/L)x$ . This strip is infinitesimally narrow, so its sloping edges don't matter and its area  $dA$  is that of a very thin rectangle—namely,  $dA = h dx = (w/L)x dx$ . Now comes the important step where we relate  $dm$  and  $dx$ : The strip's mass  $dm$  is the same

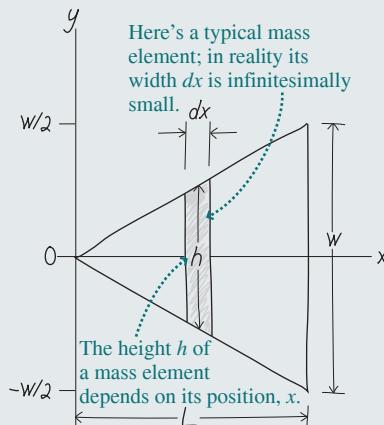


FIGURE 9.4 Our sketch of the supersonic aircraft wing.

fraction of the total wing mass  $M$  as its area  $dA$  is of the total wing area  $A = \frac{1}{2}wL$ ; that is,

$$\frac{dm}{M} = \frac{dA}{A} = \frac{(w/L)x dx}{\frac{1}{2}wL} = \frac{2x dx}{L^2}$$

so  $dm = 2Mx dx/L^2$ .

In the integral we weight each mass element  $dm$  by its distance  $x$  from the origin, and then sum—that is, integrate—over all mass elements. So, from Equation 9.4, we have

$$x_{cm} = \frac{1}{M} \int x dm = \frac{1}{M} \int_0^L x \left( \frac{2Mx}{L^2} dx \right) = \frac{2}{L^2} \int_0^L x^2 dx$$

As always, constants can come outside the integral. We set the limits 0 and  $L$  to cover all the mass elements in the wing. Now we're finally ready to find  $x_{cm}$ .

**EVALUATE** The hard part is done. All that's left is to evaluate the integral:

$$x_{cm} = \frac{2}{L^2} \int_0^L x^2 dx = \frac{2}{L^2} \frac{x^3}{3} \Big|_0^L = \frac{2L^3}{3L^2} = \frac{2}{3}L$$

**ASSESS** Make sense? Yes: Our answer puts the center of mass toward the back of the wing where, because of its increasing width, most of the mass lies. In a complicated calculation like this one, it's reassuring to see that the answer is a quantity with the units of length.

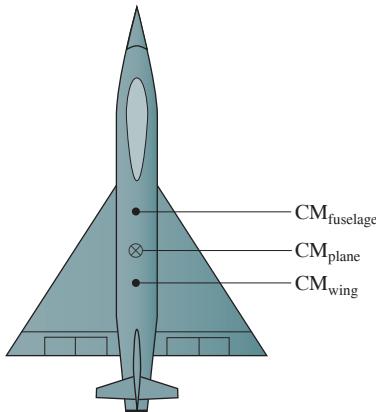


FIGURE 9.5 The center of mass of the airplane is found by treating the wing and fuselage as point particles located at their respective centers of mass.

### Tactics 9.1 SETTING UP AN INTEGRAL

An integral like  $\int x dm$  can be confusing because you see both  $x$  and  $dm$  after the integral sign and they don't seem related. But they are, and here's how to proceed:

- Find a suitable shape for your mass elements, preferably one that exploits any symmetry in the situation. One dimension of the elements should involve an infinitesimal interval in one of the coordinates  $x$ ,  $y$ , or  $z$ . In Example 9.3, the mass elements were strips, symmetric about the wing's centerline and with width  $dx$ .
- Find an expression for the infinitesimal area of your mass elements (in a one-dimensional problem it would be the length; in a three-dimensional problem, the volume). In Example 9.3, the infinitesimal area of each mass element was the strip height  $h$  multiplied by the width  $dx$ .
- Form ratios that relate the infinitesimal coordinate interval to the physical quantity in the integral—which in Example 9.3 is the mass element  $dm$ . Here we formed the ratio of the area of a mass element to the total area, and equated that to the ratio of  $dm$  to the total mass  $M$ .
- Solve your ratio statement for the infinitesimal quantity, in this case  $dm$ , that appears in your integral. Then you're ready to evaluate the integral.

Sometimes you'll be given a density—mass per volume, per area, or per length—and then in place of steps 3 and 4 you find  $dm$  by multiplying the density by the infinitesimal volume, area, or length you identified in step 2.

Although we described this procedure in the context of Example 9.3, it also applies to other integrals you'll encounter in different areas of physics.

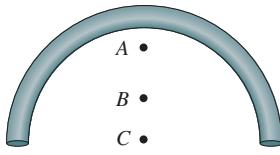


FIGURE 9.6 Got it? The center of mass lies outside the semicircular wire, but which point is it?

With more complex objects, it's convenient to find the centers of mass of subparts and then treat those as point particles to find the center of mass of the entire object (Fig. 9.5).

The center of mass need not lie within an object, as Fig. 9.6 shows. High jumpers exploit this fact as they straddle the bar with arms and legs dangling on either side (Fig. 9.7). Although the jumper's entire body clears the bar, his center of mass doesn't need to!

## GOT IT?

**9.1** A thick wire is bent into a semicircle, as shown in Fig. 9.6. Which of the points shown is the center of mass?

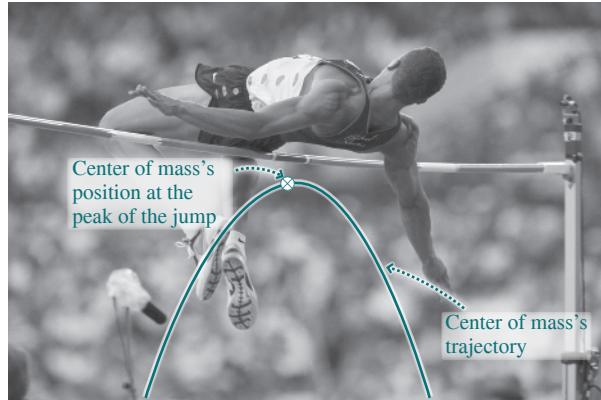


FIGURE 9.7 A high jumper clears the bar, but his center of mass doesn't!

## Motion of the Center of Mass

We defined the center of mass so its motion obeys Newton's law  $\vec{F}_{\text{net ext}} = M\vec{a}_{\text{cm}}$ , with  $\vec{F}_{\text{net ext}}$  the net external force on the system and  $M$  the total mass. When gravity is the only external force, the center of mass follows the trajectory of a point particle. But if the net external force is zero, then the center-of-mass acceleration  $\vec{a}_{\text{cm}}$  is also zero, and the center of mass moves with constant velocity. In the special case of a system at rest, the center of mass remains at rest despite any motions of its internal parts.

### EXAMPLE 9.4 Center-of-Mass Motion: Circus Train

Jumbo, a 4.8-t elephant, stands near one end of a 15-t railcar at rest on a frictionless horizontal track. (Here t is for tonne, or metric ton, equal to 1000 kg.) Jumbo walks 19 m toward the other end of the car. How far does the car move?

**INTERPRET** We're asked about the car's motion, but we can interpret this problem as being about the center of mass. We identify the system as comprising Jumbo and the car. Because there's no net external force acting on the system, its center of mass can't move.

**DEVELOP** Figure 9.8a shows the initial situation. The symmetric car has its CM at its center. Let's take a coordinate system that's fixed to the ground and that has  $x = 0$  at this *initial* location of the car's center. Equation 9.2 applies—here in the simpler one-dimensional, two-object form we used in Example 9.1:  $x_{\text{cm}} = (m_Jx_J + m_cx_c)/M$ , where we use the subscripts J and c for Jumbo and the car, respectively, and where  $M = m_J + m_c$  is the total mass. The center of mass of the system can't move, so we'll write two versions of this expression, before and after Jumbo's walk. We'll then set them equal to state mathematically that the CM doesn't move; that is,  $x_{\text{cm}\text{i}} = x_{\text{cm}\text{f}}$ , where the subscripts i and f designate quantities associated with the initial and final states, respectively.

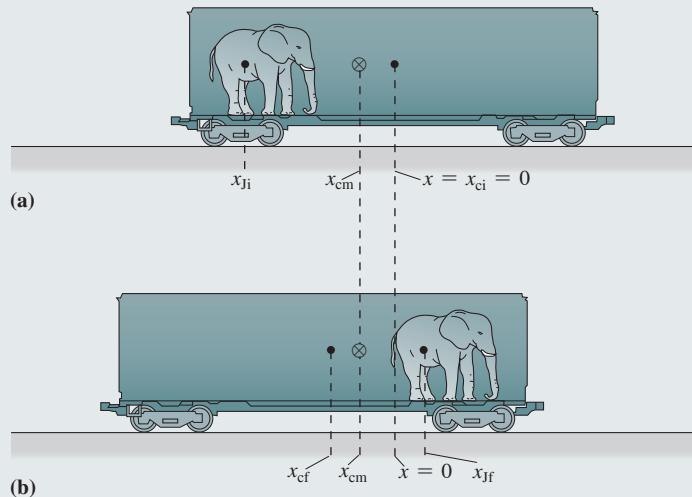


FIGURE 9.8 Jumbo walks, but the system's center of mass doesn't move.

We chose our coordinate system so that the car's initial position was  $x_{\text{ci}} = 0$ , so our expression for the initial position of the system's center of mass becomes

(continued)

$$x_{\text{cm}\ i} = m_j x_{\text{ji}} / M$$

The final center-of-mass position, after Jumbo's walk, is  $x_{\text{cm}\ f} = (m_j x_{\text{jf}} + m_c x_{\text{cf}}) / M$ . We don't know either of the final coordinates  $x_{\text{jf}}$  or  $x_{\text{cf}}$  here, but we do know that Jumbo walks 19 m *with respect to the car*. Jumbo's final position  $x_{\text{jf}}$  is therefore 19 m to the right of  $x_{\text{ji}}$ , *adjusted for the car's displacement*. Therefore Jumbo ends up at  $x_{\text{jf}} = x_{\text{ji}} + 19 \text{ m} + x_{\text{cf}}$ . You might think we need a minus sign because the car moves to the left. That's true, but the sign of  $x_{\text{cf}}$  will take care of that. Trust algebra! So our expression for the final center-of-mass position is

$$x_{\text{cm}\ f} = \frac{m_j x_{\text{jf}} + m_c x_{\text{cf}}}{M} = \frac{m_j(x_{\text{ji}} + 19 \text{ m} + x_{\text{cf}}) + m_c x_{\text{cf}}}{M}$$

**EVALUATE** Finally, we equate our expressions for the initial and final positions of the center of mass. Again, that's because there are no forces external to the elephant–car system acting in the horizontal direction, so the center-of-mass position  $x_{\text{cm}}$  can't change. Thus we have  $x_{\text{cm}\ i} = x_{\text{cm}\ f}$ , or

$$\frac{m_j x_{\text{ji}}}{M} = \frac{m_j(x_{\text{ji}} + 19 \text{ m} + x_{\text{cf}}) + m_c x_{\text{cf}}}{M}$$

The total mass  $M$  cancels, so we're left with the equation  $m_j x_{\text{ji}} = m_j(x_{\text{ji}} + 19 \text{ m} + x_{\text{cf}}) + m_c x_{\text{cf}}$ . We aren't given  $x_{\text{ji}}$ , but the term  $m_j x_{\text{ji}}$  is on both sides of this equation, so it cancels, leaving  $0 = m_j(19 \text{ m} + x_{\text{cf}}) + m_c x_{\text{cf}}$ . We solve for the unknown  $x_{\text{cf}}$  to get

$$x_{\text{cf}} = -\frac{(19 \text{ m})m_j}{(m_j + m_c)} = -\frac{(19 \text{ m})(4.8 \text{ t})}{(4.8 \text{ t} + 15 \text{ t})} = -4.6 \text{ m}$$

The minus sign here indicates a displacement to the left, as we anticipated (Fig. 9.8b). Because the masses appear only in ratios, we didn't need to convert to kilograms.

**ASSESS** The car's 4.6-m displacement is quite a bit less than Jumbo's (which is 19 m – 4.6 m, or 14.4 m relative to the ground). That makes sense because Jumbo is considerably less massive than the car.

## 9.2 Momentum

**LO 9.4** Determine the total momentum of a system.

**LO 9.5** Solve problems involving conservation of momentum.

In Chapter 4 we defined the linear momentum  $\vec{p}$  of a particle as  $\vec{p} = m\vec{v}$ , and we first wrote Newton's law in the form  $\vec{F} = d\vec{p}/dt$ . We suggested that this form would play an important role in many-particle systems. We're now ready to explore that role.

The momentum of a system of particles is the vector sum of the individual momenta:  $\vec{P} = \sum \vec{p}_i = \sum m_i \vec{v}_i$ , where  $m_i$  and  $\vec{v}_i$  are the masses and velocities of the individual particles. But we'd rather not have to keep track of all the particles in the system. Is there a simpler way to express the total momentum? There is, and it comes from writing the individual velocities as time derivatives of position:  $\vec{v} = d\vec{r}/dt$ . Then

$$\vec{P} = \sum m_i \frac{d\vec{r}_i}{dt} = \frac{d}{dt} \sum m_i \vec{r}_i$$

where the last step follows because the individual particle masses are constant and because the sum of derivatives is the derivative of the sum. In Section 9.1, we defined the center-of-mass position  $\vec{r}_{\text{cm}}$  as  $\sum m_i \vec{r}_i / M$ , where  $M$  is the total mass. So the total momentum becomes

$$\vec{P} = \frac{d}{dt} M \vec{r}_{\text{cm}}$$

or, assuming the system mass  $M$  remains constant,

$$\vec{P} = M \frac{d\vec{r}_{\text{cm}}}{dt} = M \vec{v}_{\text{cm}} \quad (9.5)$$

where  $\vec{v}_{\text{cm}} = d\vec{r}_{\text{cm}}/dt$  is the center-of-mass velocity. So a system's momentum is given by an expression similar to that of a single particle; it's the product of the system's mass and its velocity—that is, the velocity of its center of mass. If this seems obvious, watch out! We'll see soon that the same is *not* true for the system's total energy.

If we differentiate Equation 9.5 with respect to time, we have

$$\frac{d\vec{P}}{dt} = M \frac{d\vec{v}_{cm}}{dt} = M\vec{a}_{cm}$$

where  $\vec{a}_{cm}$  is the center-of-mass acceleration. But we defined the center of mass so its motion obeyed Newton's second law,  $\vec{F} = M\vec{a}_{cm}$ , with  $\vec{F}$  the net external force on the system. So we can write simply

$$\vec{F}_{\text{net ext}} = \frac{d\vec{P}}{dt}$$

Newton's second law for a system  
says that the rate of change of the  
system's momentum...

*...is equal to the net force  
applied from outside the system.*

showing that the momentum of a system of particles changes only if there's a net external force on the system. Remember the hidden role of Newton's third law in all this: Only because forces *internal* to the system cancel in pairs can we ignore them and consider just the external force.

Equation 9.6 might remind you of Equation 7.8, which said that the total energy of a system changes only if external forces—those acting from outside the system—do work on the system. Equation 9.6 is similar, except that it's talking about momentum instead of energy: It states that the total momentum of a system changes only if there's a net external force acting on the system. Just as Equation 7.8 allows transformations and transfers of energy within the system, so Equation 9.6 allows for the transfer of momentum among the system's constituent particles. It's only a system's total energy or total momentum that's constrained by the broad statements embodied in Equations 7.8 and 9.6.

## Conservation of Momentum

In the special case when the net external force is zero, Equation 9.6 gives  $d\vec{P}/dt = \vec{0}$ , so

In the absence of a net external force, the momentum  $\vec{P}$  of a system doesn't change.

The arrow is a reminder that momentum is a vector quantity.

$$\vec{P} = \xrightarrow{\text{constant}} \quad (\text{conservation of linear momentum}) \quad (9.7)$$

Equation 9.7 describes **conservation of linear momentum**, one of the most fundamental laws of physics:

**Conservation of linear momentum:** When the net external force on a system is zero, the total momentum  $\vec{P}$  of the system—the vector sum of the individual momenta  $m\vec{v}$  of its constituent particles—remains constant.

Momentum conservation holds no matter how many particles are involved and no matter how they're moving. It applies to systems ranging from atomic nuclei to pool balls, from colliding cars to galaxies. Although we derived Equation 9.7 from Newton's laws, momentum conservation is even more basic, since it applies to subatomic and nuclear systems where the laws and even the language of Newtonian physics are hopelessly inadequate. The following examples show the range and power of momentum conservation.

GOT IT?

**9.2** A 500-g fireworks rocket is moving with velocity  $\vec{v} = 60\hat{j}$  m/s at the instant it explodes. If you were to add the momentum vectors of all its fragments just after the explosion, what would be the result?

**CONCEPTUAL EXAMPLE 9.1****Conservation of Momentum: Kayaking**

Jess (mass 53 kg) and Nick (mass 72 kg) sit in a 26-kg kayak at rest on frictionless water. Jess tosses Nick a 17-kg pack, giving it horizontal speed 3.1 m/s relative to the water. What's the kayak's speed after Nick catches the pack? Why can you answer without doing any calculations?

**EVALUATE** Figure 9.9 shows the kayak before Jess tosses the pack and again after Nick catches it. The water is frictionless, so there's no net external force on the system comprising Jess, Nick, the kayak, and the pack. Since there's no net external force, the system's momentum is conserved. Everything is initially at rest, so that momentum is zero. Therefore, it's also zero after Nick catches the pack. At that point Jess, Nick, pack, and kayak are all at rest with respect to each other, so the only way the system's momentum can be zero is if they're also all at rest relative to the water. Therefore, the kayak's final speed is zero.

Initially all momenta are zero . . .



. . . and they're zero again after Nick has caught the pack.

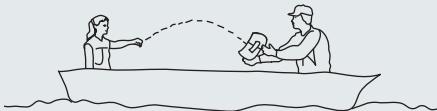


FIGURE 9.9 Our sketch for Conceptual Example 9.1.

**ASSESS** We didn't need any calculations here because the powerful conservation-of-momentum principle relates the initial and final states, without our having to know what happens in between.

**MAKING THE CONNECTION** What's the kayak's speed while the pack is in the air?

**EVALUATE** Momentum conservation still applies, and the system's total momentum is still zero. Now it consists of the pack's momentum  $m_p \vec{v}_p$  and the momentum  $(m_J + m_N + m_k) \vec{v}_k$  of Jess, Nick, and kayak, with common velocity  $\vec{v}_k$  (Fig. 9.10). Sum these momenta, set the sum to zero, and solve, using the given quantities, to get  $v_k = -0.35$  m/s. Here we've dropped vector signs; the minus sign then shows that the kayak's velocity is opposite the pack's. Since kayak and passengers are much more massive than the pack, it makes sense that their speed is lower.



FIGURE 9.10 Our sketch for Making the Connection 9.1.

**EXAMPLE 9.5****Conservation of Momentum: Radioactive Decay****Worked Example with Variation Problems**

A lithium-5 nucleus ( ${}^5\text{Li}$ ) is moving at 1.6 Mm/s when it decays into a proton ( ${}^1\text{H}$ , or  $p$ ) and an alpha particle ( ${}^4\text{He}$ , or  $\alpha$ ). [Superscripts are the total numbers of nucleons and give the approximate masses in unified atomic mass units (u).] The alpha particle is detected moving at 1.4 Mm/s, at  $33^\circ$  to the original velocity of the  ${}^5\text{Li}$  nucleus. What are the magnitude and direction of the proton's velocity?

**INTERPRET** Although the physical situation here is entirely different from the preceding example, we interpret this one, too, as being about momentum conservation. But there are two differences: First, in this case the total momentum isn't zero, and, second, this situation involves two dimensions. The fundamental principle is the same, however: In the absence of external forces, a system's total momentum can't change. Whether a pack gets tossed or a nucleus decays makes no difference.

**DEVELOP** Figure 9.11 shows what we know: the velocities for the Li and He nuclei. You can probably guess that the proton must emerge with a downward momentum component, but we'll let the math confirm that. We determine that Equation 9.7,  $\vec{P} = \text{constant}$ , applies with

the constant equal to the  ${}^5\text{Li}$  momentum. After the decay, we have two momenta to account for, so Equation 9.7 becomes

$$m_{\text{Li}} \vec{v}_{\text{Li}} = m_p \vec{v}_p + m_\alpha \vec{v}_\alpha$$

Let's choose the  $x$ -axis along the direction of  $\vec{v}_{\text{Li}}$ . Then the two components of the momentum conservation equation become

$$\begin{aligned} x\text{-component: } m_{\text{Li}} v_{\text{Li}} &= m_p v_{px} + m_\alpha v_{\alpha x} \\ y\text{-component: } 0 &= m_p v_{py} + m_\alpha v_{\alpha y} \end{aligned}$$

Our plan is to solve these equations for the unknowns  $v_{px}$  and  $v_{py}$ . From these we can get the magnitude and direction of the proton's velocity.



FIGURE 9.11 Our sketch for Example 9.5: what we're given.

**EVALUATE** From Fig. 9.11 it's evident that  $v_{\alpha x} = v_\alpha \cos \phi$  and  $v_{\alpha y} = v_\alpha \sin \phi$ . So we can solve our two equations to get

$$\begin{aligned} v_{px} &= \frac{m_{\text{Li}}v_{\text{Li}} - m_\alpha v_{\alpha x}}{m_p} = \frac{m_{\text{Li}}v_{\text{Li}} - m_\alpha v_\alpha \cos \phi}{m_p} \\ &= \frac{(5.0 \text{ u})(1.6 \text{ Mm/s}) - (4.0 \text{ u})(1.4 \text{ Mm/s})(\cos 33^\circ)}{1.0 \text{ u}} \\ &= 3.30 \text{ Mm/s} \\ v_{py} &= -\frac{m_\alpha v_{\alpha y}}{m_p} = -\frac{m_\alpha v_\alpha \sin \phi}{m_p} \\ &= \frac{(4.0 \text{ u})(1.4 \text{ Mm/s})(\sin 33^\circ)}{1.0 \text{ u}} = -3.05 \text{ Mm/s} \end{aligned}$$

We've kept three significant figures in these intermediate results so we can get an accurate two-figure result for our final answer.

Thus the proton's speed is  $v_p = \sqrt{v_{px}^2 + v_{py}^2} = 4.5 \text{ Mm/s}$ , and its direction is  $\theta = \tan^{-1}(v_{py}/v_{px}) = -43^\circ$ . Note that here, as in

Example 9.4, the masses appear only in ratios so we don't need to change units.

**ASSESS** Make sense? That negative  $\theta$  tells us the proton's velocity is downward, as we anticipated. Figure 9.12 makes our result clear. Here we multiplied the velocities by the masses to get momentum vectors. The two momenta after the decay event have equal but opposite vertical components, reflecting that the total momentum of the system never had a vertical component. And the two horizontal components sum to give the initial momentum of the lithium nucleus. Momentum is indeed conserved.

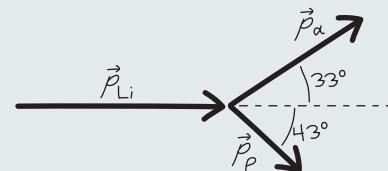


FIGURE 9.12 Our momentum diagram for Example 9.5.

A system's momentum is conserved only if no external forces act. Whether a force is internal or external depends on your choice of what constitutes the system—a choice that, as we noted in Chapter 6, is entirely up to you. In the two preceding examples, it was convenient to choose systems that weren't subject to external forces; then we could apply momentum conservation. Sometimes it's more convenient to deal with systems that do experience external forces; then, since  $d\vec{P}/dt = \vec{F}$ , the system's momentum changes at a rate equal to the external force. Example 9.6 makes this point.

### EXAMPLE 9.6 Changing Momentum: Fighting a Fire

A firefighter directs a stream of water against the window of a burning building, hoping to break the window so water can get to the fire. The hose delivers water at the rate of 45 kg/s, and the water hits the window moving horizontally at 32 m/s. After hitting the window, the water drops vertically. What horizontal force does the water exert on the window?

**INTERPRET** We're asked about the window, but we're told a lot more about the water. The water stops at the window, so clearly the window exerts a force on the water—and by Newton's third law, that force is equal in magnitude to the force we're after—namely, the force of the water on the window. So we identify the water as our system and recognize that it's subject to an external force from the window.

**DEVELOP** Newton's law in the form  $\vec{F} = d\vec{P}/dt$  applies to the water. So our plan is to find the rate at which the water's momentum changes. By Newton's second law, that's equal to the window's force on the water, and by Newton's third law, that's equal to the water's force on the window.

**EVALUATE** The water strikes the window at 32 m/s, so each kilogram of water loses 32 kg·m/s of momentum. Water strikes the window at the rate of 45 kg/s, so the rate at which it loses momentum to the window is

$$\frac{dP}{dt} = (45 \text{ kg/s})(32 \text{ m/s}) = 1400 \text{ kg}\cdot\text{m/s}^2$$

By Newton's second law, that's equal to the force on the water, and by the third law, that in turn is equal in magnitude to the force on the window. So the window experiences a 1400-N force from the water. Since the window is rigidly attached to the building and Earth, it doesn't experience significant acceleration—until it breaks and the glass fragments accelerate violently.

**ASSESS** 1400 N is about twice the weight of a typical person, and a fire hose produces quite a blast of water, so this number seems reasonable. Check the units, too: 1 kg·m/s<sup>2</sup> is equal to 1 N, so our answer does have the units of force.

### GOT IT?

- 9.3 Two skaters toss a basketball back and forth on frictionless ice. Which of the following does not change? (a) the momentum of an individual skater; (b) the momentum of the basketball; (c) the momentum of the system consisting of one skater and the basketball; (d) the momentum of the system consisting of both skaters and the basketball

**APPLICATION**    **Rockets**

Rockets provide propulsion in the vacuum of space, where there's nothing for a wheel or propeller to push against. If no external forces act, total momentum stays constant. As the rocket's exhaust carries away momentum, the result is an equal but oppositely directed momentum gain for the rocket. The rate of momentum change is the force on the rocket, which engineers call *thrust*. As with the fire hose in Example 9.6, thrust is the product of the exhaust rate  $dM/dt$  and exhaust speed  $v_{\text{ex}}$ :  $F = v_{\text{ex}} dM/dt$ . Because the rocket has to carry the mass it's going to exhaust, the most efficient rockets use high exhaust velocities and therefore need less fuel. You can explore the physics of rocket propulsion quantitatively in Problem 83.

What actually propels the rocket? It's ultimately hot gases inside the rocket engine pushing on the front of the engine chamber. The rocket doesn't "push against" anything outside itself; all the pushing is done *inside* the rocket engine, accelerating the rocket forward. That's why rockets work just fine in the vacuum of space.

The photo shows the 2011 launch of the *Juno* spacecraft, heading for its 2016 rendezvous with Jupiter.



## 9.3 Kinetic Energy of a System

### LO 9.6 Break a system's kinetic energy into center-of-mass and internal components.

We've seen how the momentum of a many-particle system is determined entirely by the motion of its center of mass; the detailed behavior of the individual particles doesn't matter. For example, a firecracker sliding on ice has the same total momentum before and after it explodes.

The same, however, is *not* true of a system's kinetic energy. Energetically, that firecracker is very different after it explodes; internal potential energy has become kinetic energy of the fragments. Nevertheless, the center-of-mass concept remains useful in categorizing the kinetic energy associated with a system of particles.

The total kinetic energy of a system is the sum of the kinetic energies of the constituent particles:  $K = \sum \frac{1}{2} m_i v_i^2$ . But the velocity  $\vec{v}_i$  of a particle can be written as the vector sum of the center-of-mass velocity  $\vec{v}_{\text{cm}}$  and a velocity  $\vec{v}_{i\text{ rel}}$  of that particle relative to the center of mass:  $\vec{v}_i = \vec{v}_{\text{cm}} + \vec{v}_{i\text{ rel}}$ . Then the total kinetic energy of the system is

$$K = \sum \frac{1}{2} m_i (\vec{v}_{\text{cm}} + \vec{v}_{i\text{ rel}}) \cdot (\vec{v}_{\text{cm}} + \vec{v}_{i\text{ rel}}) = \sum \frac{1}{2} m_i v_{\text{cm}}^2 + \sum m_i \vec{v}_{\text{cm}} \cdot \vec{v}_{i\text{ rel}} + \sum \frac{1}{2} m_i v_{i\text{ rel}}^2 \quad (9.8)$$

Let's examine the three sums making up the total kinetic energy. Since the center-of-mass speed  $v_{\text{cm}}$  is common to all particles, it can be factored out of the first sum, so  $\sum \frac{1}{2} m_i v_{\text{cm}}^2 = \frac{1}{2} v_{\text{cm}}^2 \sum m_i = \frac{1}{2} M v_{\text{cm}}^2$  where  $M$  is the total mass. This is the kinetic energy of a particle with mass  $M$  moving at speed  $v_{\text{cm}}$ , so we call it  $K_{\text{cm}}$ , the **kinetic energy of the center of mass**.

The center-of-mass velocity can also be factored out of the second term in Equation 9.8, giving  $\sum m_i \vec{v}_{\text{cm}} \cdot \vec{v}_{i\text{ rel}} = \vec{v}_{\text{cm}} \cdot \sum m_i \vec{v}_{i\text{ rel}}$ . Because the  $\vec{v}_{i\text{ rel}}$ 's are the particle velocities relative to the center of mass, the sum here is the total momentum relative to the center of mass. But that's zero, so the entire second term in Equation 9.8 is zero.

The third term in Equation 9.8,  $\sum \frac{1}{2} m_i v_{i\text{ rel}}^2$  is the sum of the individual kinetic energies measured in a frame of reference moving with the center of mass. We call this term  $K_{\text{int}}$ , the **internal kinetic energy**.

With the middle term gone, Equation 9.8 shows that the kinetic energy of a system breaks into two terms:

A system's kinetic energy  $K$  consists of two parts.

$K_{\text{int}}$  is the sum of the kinetic energies of the individual parts of the system, associated with their motion relative to the center of mass.

$$K = K_{\text{cm}} + K_{\text{int}} \quad (\text{kinetic energy of a system}) \quad (9.9)$$

$K_{\text{cm}}$  is energy associated with the motion of the center of mass.

The first term, the kinetic energy of the center of mass, depends only on the center-of-mass motion. In our firecracker example,  $K_{\text{cm}}$  doesn't change when the firecracker explodes. The second term, the internal kinetic energy, depends only on the motions of the individual particles relative to the center of mass. The explosion dramatically increases this internal kinetic energy.

**GOT IT?**

- 9.4** Which of the following systems has (1) zero internal kinetic energy and (2) zero center-of-mass kinetic energy? (a) a pair of ice skaters, arms linked, skating together in a straight line; (b) a pair of skaters who start from rest facing each other and then push off so they're moving in opposite directions; (c) a pair of skaters as in (b) but who initially are moving together along the ice before they push off

## 9.4 Collisions

**LO 9.7** *Describe what constitutes a collision and distinguish elastic from inelastic collisions.*

A **collision** is a brief, intense interaction between objects. Examples abound: automobile collisions; collisions of balls on a pool table; the collision of a tennis ball and racket, baseball and bat, or football and foot; an asteroid colliding with a planet; and collisions of high-energy particles that probe the fundamental structure of matter. Less obvious are collisions among galaxies that last a hundred million years, the interaction of a spacecraft with a planet as the craft gains energy for a voyage to the outer solar system, and the repulsive interaction of two protons that approach but never touch. All these collisions meet two criteria. First, they're brief, lasting but a short time in the overall context of the colliding objects' motions. On a pool table, the collision time is short compared with the time it takes for a ball to roll across the table. An automobile collision lasts a fraction of a second. A baseball spends far more time coming from the pitcher than it does interacting with the bat. And even  $10^8$  years is short compared with the lifetime of a galaxy. Second, collisions are intense: Forces among the interacting objects are far larger than any external forces that may be acting on the system. External forces are therefore negligible during the collision, so the total momentum of the colliding objects remains essentially unchanged.

### Impulse

The forces between colliding objects are *internal* to the system comprising those objects, so they can't alter the total momentum of the system. But they dramatically alter the motions of the colliding objects. How much depends on the magnitude of the force and how long it's applied.

If  $\vec{F}$  is the average force acting on one object during a collision that lasts for time  $\Delta t$ , then Newton's second law reads  $\vec{F} = \vec{\Delta p}/\Delta t$  or

$$\vec{\Delta p} = \vec{F}\Delta t \quad (9.10a)$$

The product of average force and time that appears in this equation is called **impulse**. It's given the symbol  $\vec{J}$ , and its units are newton-seconds.

An impulse  $\vec{J}$  produces the same momentum change regardless of whether it involves a larger force exerted over a shorter time or a smaller force exerted over a longer time. The force in a collision usually isn't constant and can fluctuate wildly. In that case, we find the impulse by integrating the force over time, so the momentum change becomes

$$\vec{\Delta p} = \vec{J} = \int \vec{F}(t) dt \quad (\text{impulse}) \quad (9.10b)$$

Although we introduced impulse in the context of collisions, it's useful in other situations involving intense forces applied over short times. For example, small rocket engines are characterized by the impulse they impart.

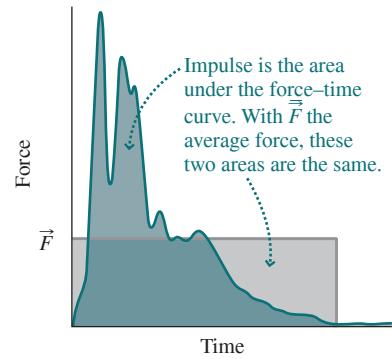
### Energy in Collisions

Kinetic energy may or may not be conserved in a collision. If it is, then the collision is **elastic**; if not, it's **inelastic**. An elastic collision requires that the forces between colliding objects be conservative; then kinetic energy is stored briefly as potential energy and released when the collision is over. Interactions at the atomic and nuclear scales are often truly elastic. In the macroscopic realm, nonconservative forces convert kinetic energy into internal energy, heating the colliding objects, or they may permanently deform the objects; either way, nonconservative forces rob the system of mechanical energy. But even many macroscopic collisions are close enough to elastic that we can neglect mechanical energy loss during the collision.

#### APPLICATION

#### Crash Tests

Automotive engineers perform crash tests to assess the safety of their vehicles. Sensors measure the rapidly varying forces as the test car collides with a fixed barrier. The graph below is a force-versus-time curve from a typical crash test; impulse is the area under the curve. In addition to force sensors on the vehicle, accelerometers in crash-test dummies determine the maximum accelerations of the heads and other body parts to assess potential injuries.



## GOT IT?

- 9.5** Which of the following qualifies as a collision? Of the collisions, which are nearly elastic and which inelastic? (a) A basketball rebounds off the backboard; (b) two magnets approach, their north poles facing; they repel and reverse direction without touching; (c) a basketball flies through the air on a parabolic trajectory; (d) a truck strikes a parked car and the two slide off together, crumpled metal hopelessly intertwined; (e) a snowball splats against a tree, leaving a lump of snow adhering to the bark.

## 9.5 Totally Inelastic Collisions

**LO 9.8** Analyze totally inelastic collisions using conservation of momentum.

In a **totally inelastic collision**, the colliding objects stick together to form a single object. Even then, kinetic energy is usually not all lost. But a totally inelastic collision entails the maximum energy loss consistent with momentum conservation. The motion after a totally inelastic collision is determined entirely by momentum conservation, and that makes totally inelastic collisions easy to analyze.

Consider masses  $m_1$  and  $m_2$  with initial velocities  $\vec{v}_1$  and  $\vec{v}_2$  that undergo a totally inelastic collision. After colliding, they stick together to form a single object of mass  $m_1 + m_2$  and final velocity  $\vec{v}_f$ . Conservation of momentum states that the initial and final momenta of this system must be the same:

$$m_1\vec{v}_1 + m_2\vec{v}_2 = \underbrace{(m_1 + m_2)\vec{v}_f}_{\text{Here's the final momentum, associated with both objects stuck together.}} \quad (\text{totally inelastic collision}) \quad (9.11)$$

In a totally inelastic collision, only momentum is conserved.  
There's only one final velocity because the objects stick together.

Here are the momenta of the colliding particles before the collision.

Given four of the five quantities  $m_1$ ,  $\vec{v}_1$ ,  $m_2$ ,  $\vec{v}_2$ , and  $\vec{v}_f$ , we can solve for the fifth.

### EXAMPLE 9.7

### An Inelastic Collision: Hockey

The hockey captain, a physics major, decides to measure the puck's speed. She loads a small Styrofoam chest with sand, giving a total mass of 6.4 kg. She places it at rest on frictionless ice. The 160-g puck strikes the chest and embeds itself in the Styrofoam. The chest moves off at 1.2 m/s. What was the puck's speed?

**INTERPRET** This is a totally inelastic collision. We identify the system as consisting of puck and chest. Initially, all the system's momentum is in the puck; after the collision, it's in the combination puck + chest. In this case of a single nonzero velocity before collision and a single velocity after, momentum conservation requires that both motions be in the same direction. Therefore, we have a one-dimensional problem.

**DEVELOP** Figure 9.13 is a sketch of the situation before and after the collision. With a totally inelastic collision, Equation 9.11—the statement of momentum conservation—tells it all. In our one-dimensional situation, this equation becomes  $m_p v_p = (m_p + m_c) v_c$ , where the subscripts p and c stand for puck and chest, respectively.

Before collision, the puck has all the momentum.



After collision, the puck + chest has the same momentum.



FIGURE 9.13 Our sketch for Example 9.7.

**EVALUATE** Here we want the initial puck velocity, so we solve for  $v_p$ :

$$v_p = \frac{(m_p + m_c)v_c}{m_p} = \frac{(0.16 \text{ kg} + 6.4 \text{ kg})(1.2 \text{ m/s})}{0.16 \text{ kg}} = 49 \text{ m/s}$$

**ASSESS** Make sense? Yes: The puck's mass is small, so it needs a much higher speed to carry the same momentum as the much more massive chest. Variations on this technique are often used to determine speeds that would be difficult to measure directly.

**EXAMPLE 9.8 Conservation of Momentum: Fusion**

In a fusion reaction, two deuterium nuclei ( ${}^2\text{H}$ ) join to form helium ( ${}^4\text{He}$ ). Initially, one of the deuterium nuclei is moving at 3.5 Mm/s, the second at 1.8 Mm/s at a  $64^\circ$  angle to the velocity of the first. Find the speed and direction of the helium nucleus.

**INTERPRET** Although the context is very different, this is another totally inelastic collision. But here both objects are initially moving, and in different directions, so we have a two-dimensional situation. We identify the system as consisting of initially the two deuterium nuclei and finally the single helium nucleus. We're asked for the final velocity of the helium, expressed as magnitude (speed) and direction.

**DEVELOP** Figure 9.14 shows the situation. Momentum is conserved, so Equation 9.11 applies; solving that equation for  $\vec{v}_f$  gives  $\vec{v}_f = (m_1 \vec{v}_1 + m_2 \vec{v}_2) / (m_1 + m_2)$ . In two dimensions, this represents two equations for the two components of  $\vec{v}_f$ . We need a coordinate system, and Fig. 9.14 shows our choice, with the  $x$ -axis along the motion

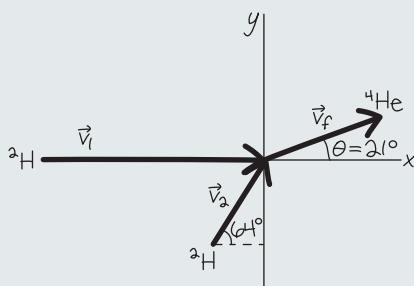


FIGURE 9.14 Our sketch of the velocity vectors for Example 9.8.

of the first deuterium nucleus. We need the components of the initial velocities in order to apply our equation for  $\vec{v}_f$ .

**EVALUATE** With  $\vec{v}_1$  in the  $x$ -direction, we have  $v_{1x} = 3.5 \text{ Mm/s}$  and  $v_{1y} = 0$ . Figure 9.14 shows that  $v_{2x} = (1.8 \text{ Mm/s})(\cos 64^\circ) = 0.789 \text{ Mm/s}$  and  $v_{2y} = (1.8 \text{ Mm/s})(\sin 64^\circ) = 1.62 \text{ Mm/s}$ . So the components of our equation become

$$\begin{aligned} v_{fx} &= \frac{m_1 v_{1x} + m_2 v_{2x}}{m_1 + m_2} \\ &= \frac{(2 \text{ u})(3.5 \text{ Mm/s}) + (2 \text{ u})(0.789 \text{ Mm/s})}{2 \text{ u} + 2 \text{ u}} = 2.14 \text{ Mm/s} \\ v_{fy} &= \frac{m_1 v_{1y} + m_2 v_{2y}}{m_1 + m_2} \\ &= \frac{0 + (2 \text{ u})(1.62 \text{ Mm/s})}{2 \text{ u} + 2 \text{ u}} = 0.809 \text{ Mm/s} \end{aligned}$$

As in Example 9.5, the superscripts are the nuclear masses in u, and because the mass units cancel, there's no need to convert to kilograms.

From these velocity components we can get the final speed and direction:  $v_f = \sqrt{v_{fx}^2 + v_{fy}^2} = 2.3 \text{ Mm/s}$  and  $\theta = \tan^{-1}(v_{fy}/v_{fx}) = 21^\circ$ . We show this final velocity on the diagram in Fig. 9.14.

**ASSESS** In this example the two incident particles have the same masses, so their velocities are proportional to their momenta. Figure 9.14 shows that the total initial momentum is largely horizontal, with a smaller vertical component, so the  $21^\circ$  angle of the final velocity makes sense. The magnitude of  $\vec{v}_f$  also makes sense: Now the total momentum is contained in a single, more massive particle, so we expect a final speed comparable to the initial speeds.

**EXAMPLE 9.9 The Ballistic Pendulum**

The ballistic pendulum measures the speeds of fast-moving objects like bullets. It consists of a wooden block of mass  $M$  suspended from vertical strings (Fig. 9.15). A bullet of mass  $m$  strikes and embeds itself in the block, and the block swings upward through a vertical distance  $h$ . Find an expression for the bullet's speed.

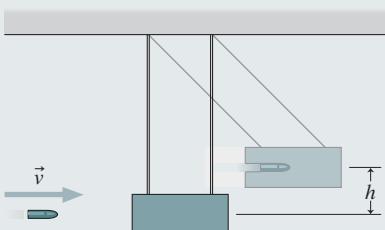


FIGURE 9.15 A ballistic pendulum (Example 9.9).

**INTERPRET** Interpreting this example is a bit more involved. We actually have two separate events: the bullet striking the block and the subsequent rise of the block. We can interpret the first event as a one-dimensional totally inelastic collision, as in Example 9.7. Momentum is conserved during this event but, because the collision is inelastic, mechanical energy is not. Then the block rises, and now

a net external force—from string tension and gravity—acts to change the momentum. But gravity is conservative, and the string tension does no work, so now mechanical energy is conserved.

**DEVELOP** Figure 9.15 is our drawing. Our plan is to separate the two parts of the problem and then to combine the results to get our final answer. First is the inelastic collision; here momentum is conserved, so Equation 9.11 applies. In one dimension, that reads  $mv = (m + M)V$ , where  $v$  is the initial bullet speed and  $V$  is the speed of the block with embedded bullet just after the collision. Solving gives  $V = mv/(m + M)$ . Now the block swings upward. Momentum isn't conserved, but mechanical energy is. Setting the zero of potential energy in the block's initial position, we have  $U_0 = 0$  and—using the situation just after the collision as the initial state— $K_0 = \frac{1}{2}(m + M)V^2$ . At the peak of its swing the block is momentarily at rest, so  $K = 0$ . But it's risen a height  $h$ , so the potential energy is  $U = (m + M)gh$ . Conservation of mechanical energy reads  $K_0 + U_0 = K + U$ —in this case,  $\frac{1}{2}(m + M)V^2 = (m + M)gh$ .

**EVALUATE** Now we've got two equations describing the two parts of the problem. Using our expression for  $V$  from momentum conservation in the energy-conservation equation, we get

(continued)

$$\frac{1}{2} \left( \frac{mv}{m+M} \right)^2 = gh$$

Solving for the bullet speed  $v$  then gives our answer:

$$v = \left( \frac{m+M}{m} \right) \sqrt{2gh}$$

**ASSESS** Make sense? Yes: The smaller the bullet mass  $m$ , the higher velocity it must have to carry a given momentum; that's reflected by the factor  $m$  alone in the denominator. The higher the rise  $h$ , the greater the bullet speed. But the speed scales not as  $h$  itself but as  $\sqrt{h}$ . That's because kinetic energy—which turned into potential energy of the rise—depends on velocity *squared*.

### GOT IT?

- 9.6** Which of the following collisions qualify as totally inelastic? (a) Two equal-mass objects approach from opposite directions at different speeds. They collide head-on and stick together; the combined object continues to move; (b) two equal-mass objects approach from opposite directions at the same speed. They collide head-on and stick together; the combined object is then at rest; (c) two equal-mass objects approach from opposite directions at the same speed. They collide head-on and rebound, but with lower speed than before.

## 9.6 Elastic Collisions

- LO 9.9** Analyze elastic collisions using conservation of momentum and kinetic energy.

We've seen that momentum is essentially conserved in any collision. In an elastic collision, kinetic energy is conserved as well. In the most general case of a two-body collision, we consider two objects of masses  $m_1$  and  $m_2$ , moving initially with velocities  $\vec{v}_{1i}$  and  $\vec{v}_{2i}$ , respectively. Their final velocities after collision are  $\vec{v}_{1f}$  and  $\vec{v}_{2f}$ . Then the conservation statements for momentum and kinetic energy become

$$m_1 \vec{v}_{1i} + m_2 \vec{v}_{2i} = m_1 \vec{v}_{1f} + m_2 \vec{v}_{2f} \quad (9.12)$$

and

$$\frac{1}{2} m_1 v_{1i}^2 + \frac{1}{2} m_2 v_{2i}^2 = \frac{1}{2} m_1 v_{1f}^2 + \frac{1}{2} m_2 v_{2f}^2 \quad (9.13)$$

Given initial velocities, we'd like to predict the outcome of a collision. In the totally inelastic two-dimensional collision, we had enough information to solve the problem. Here, in the two-dimensional elastic case, we have two components of momentum conservation (Equation 9.12) and a single scalar equation for energy conservation (Equation 9.13). But we have four unknowns—the magnitudes and directions of both final velocities. With three equations and four unknowns, we don't have enough information to solve the general two-dimensional elastic collision. Later we'll see how other information can help solve such problems. First, though, we look at the special case of one-dimensional elastic collisions.

### Elastic Collisions in One Dimension

When two objects collide head-on, the internal forces act along the same line as the incident motion, and the objects' subsequent motion must therefore be along that same line (Fig. 9.16a). Although such one-dimensional collisions are a special case, they do occur and they provide much insight into the more general case.

In the one-dimensional case, the momentum conservation (Equation 9.12) has only one nontrivial component:

$$m_1 v_{1i} + m_2 v_{2i} = m_1 v_{1f} + m_2 v_{2f} \quad (9.12a)$$

where the  $v$ 's stand for velocity components, rather than magnitudes, and can therefore be positive or negative. If we collect together the terms in Equations 9.12a and 9.13 that are associated with each mass, we have

$$m_1(v_{1i} - v_{1f}) = m_2(v_{2f} - v_{2i}) \quad (9.12b)$$

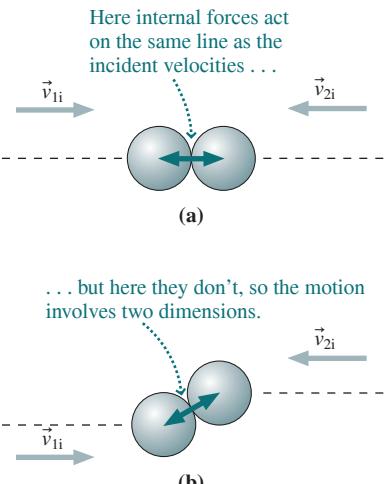


FIGURE 9.16 Only a head-on collision is one-dimensional.

and

$$m_1(v_{1i}^2 - v_{1f}^2) = m_2(v_{2f}^2 - v_{2i}^2) \quad (9.13a)$$

But  $a^2 - b^2 = (a + b)(a - b)$ , so Equation 9.13a can be written

$$m_1(v_{1i} - v_{1f})(v_{1i} + v_{1f}) = m_2(v_{2f} - v_{2i})(v_{2f} + v_{2i}) \quad (9.13b)$$

Dividing the left and right sides of Equation 9.13b by the corresponding sides of Equation 9.12b then gives

$$v_{1i} + v_{1f} = v_{2f} + v_{2i}$$

Rearranging shows that

$$v_{1i} - v_{2i} = v_{2f} - v_{1f} \quad (9.14)$$

What does this equation tell us? Both sides describe the relative velocity between the two particles; the equation therefore shows that the relative speed remains unchanged after the collision, although the direction reverses. If the two objects are approaching at a relative speed of 5 m/s, then after collision they'll separate at 5 m/s.

Continuing our search for the final velocities, we solve Equation 9.14 for  $v_{2f}$ :

$$v_{2f} = v_{1i} - v_{2i} + v_{1f}$$

and use this result in Equation 9.12a:

$$m_1 v_{1i} + m_2 v_{2i} = m_1 v_{1f} + m_2 (v_{1i} - v_{2i} + v_{1f})$$

Solving for  $v_{1f}$  then gives

$$v_{1f} = \frac{m_1 - m_2}{m_1 + m_2} v_{1i} + \frac{2m_2}{m_1 + m_2} v_{2i} \quad (9.15a)$$

Problem 75 asks you to show similarly that

$$v_{2f} = \frac{2m_1}{m_1 + m_2} v_{1i} + \frac{m_2 - m_1}{m_1 + m_2} v_{2i} \quad (9.15b)$$

Equations 9.15 are our result, expressing the final velocities in terms of the initial velocities.

To see that these results make sense, we suppose that  $v_{2i} = 0$ . (This really isn't a special case, since we can always work in a reference frame with  $m_2$  initially at rest.) We then consider the three special cases of one-dimensional elastic collisions illustrated in Fig. 9.17.

**Case 1:**  $m_1 \ll m_2$  (Fig. 9.17a) Picture a ping-pong ball colliding with a bowling ball, or any object colliding elastically with a perfectly rigid surface. If we set  $v_{2i} = 0$  in Equations 9.15, and drop  $m_1$  as being negligible compared with  $m_2$ , Equations 9.15 become simply

$$v_{1f} = -v_{1i}$$

and

$$v_{2f} = 0$$

That is, the lighter object rebounds with no change in speed, while the heavier object remains at rest. Does this make sense in light of the conservation laws that Equations 9.15 are supposed to reflect? First consider energy conservation: The kinetic energy of  $m_2$  remains zero and, because  $m_1$ 's speed doesn't change, neither does its kinetic energy  $\frac{1}{2}m_1v_1^2$ . So kinetic energy is conserved. But what about momentum? The momentum of the lighter object has changed, from  $m_1 v_{1i}$  to  $-m_1 v_{1i}$ . But momentum *is* conserved; the momentum given up by the lighter object is absorbed by the heavier object. In the limit of an arbitrarily large  $m_2$ , the heavier object can absorb huge amounts of momentum  $mv$  without acquiring significant speed. If we "back off" from the extreme case where  $m_1$  can be neglected altogether, we would find that a lighter object striking a heavier one rebounds with reduced speed and that the heavier object begins moving slowly in the opposite direction.

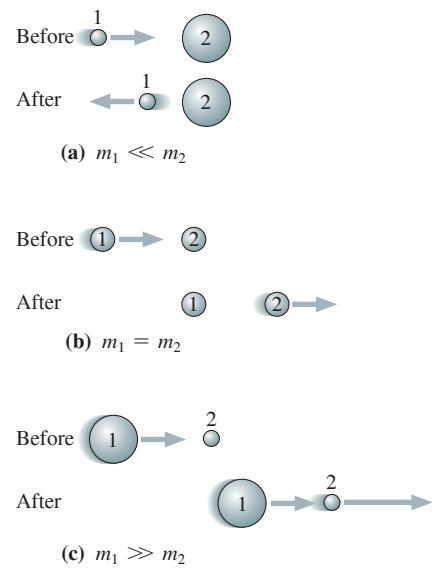


FIGURE 9.17 Special cases of elastic collisions in one dimension.

**Case 2:**  $m_1 = m_2$  (Fig. 9.17b) Again with  $v_{2i} = 0$ , Equations 9.15 now give

$$v_{1f} = 0$$

and

$$v_{2f} = v_{1i}$$

So the first object stops abruptly, transferring all its energy and momentum to the second. A head-on collision between billiard balls is an almost perfect example of this type of collision. For purposes of energy transfer, two equal-mass particles are perfectly “matched.” We’ll encounter analogous instances of energy transfer “matching” when we discuss wave motion and again in connection with electric circuits.

**Case 3:**  $m_1 \gg m_2$  (Fig. 9.17c) Now Equations 9.15 give

$$v_{1f} = v_{1i}$$

and

$$v_{2f} = 2v_{1i}$$

where we’ve neglected  $m_2$  compared with  $m_1$ . So here the more massive object barrels right on with no change in motion, while the lighter one heads off with twice the speed of the massive one. This result is entirely consistent with our earlier claim that the relative speed remains unchanged in a one-dimensional elastic collision. How are momentum and energy conserved in this case? In the extreme limit where we neglect the mass  $m_2$ , its energy and momentum are negligible. Essentially all the energy and momentum remain with the more massive object, and both these quantities are essentially unchanged in the collision. In the less extreme case where an object of finite mass strikes a less massive object initially at rest, both objects move off in the initial direction of the incident object, with the lighter one moving faster.

### EXAMPLE 9.10

### Elastic Collisions: Nuclear Engineering Worked Example with Variation Problems

Nuclear power reactors include a substance called a *moderator*, whose job is to slow the neutrons liberated in nuclear fission, making them more likely to induce additional fission and thus sustain a nuclear chain reaction. A Canadian reactor design uses so-called *heavy water* as its moderator. In heavy water, ordinary hydrogen atoms are replaced by deuterium, the rare form of hydrogen whose nucleus consists of a proton and a neutron. The mass of this *deuteron* is thus about 2 u, compared with a neutron’s 1 u. Find the fraction of a neutron’s kinetic energy that’s transferred to an initially stationary deuteron in a head-on elastic collision.

**INTERPRET** We have a head-on collision, so we’re dealing with a one-dimensional situation. The system of interest consists of the neutron and the deuteron. We’re not told much else except the masses of the two particles. That should be enough, though, because we’re not asked for the final velocities but rather for a ratio of related quantities—namely, kinetic energies.

**DEVELOP** Since we have a one-dimensional elastic collision, Equations 9.15 apply. We’re asked for the fraction of the neutron’s kinetic energy that gets transferred to the deuteron, so we need to express the deuteron’s final velocity in terms of the neutron’s initial velocity. If we take the neutron to be particle 1, then we want Equation 9.15b. With the deuteron initially at rest,  $v_{2i} = 0$  and the equation becomes  $v_{2f} = 2m_1 v_{1i} / (m_1 + m_2)$ . Our plan is to use this equation to determine the kinetic-energy ratio.

**EVALUATE** The kinetic energies of the two particles are given by  $K_1 = \frac{1}{2}m_1 v_{1i}^2$  and  $K_2 = \frac{1}{2}m_2 v_{2f}^2$ . Using our equation for  $v_{2f}$  gives

$$K_2 = \frac{1}{2}m_2 \left( \frac{2m_1 v_{1i}}{m_1 + m_2} \right)^2 = \frac{2m_2 m_1^2 v_{1i}^2}{(m_1 + m_2)^2}$$

We want to compare this with  $K_1$ :

$$\frac{K_2}{K_1} = K_2 \left( \frac{1}{K_1} \right) = \left( \frac{2m_2 m_1^2 v_{1i}^2}{(m_1 + m_2)^2} \right) \left( \frac{1}{\frac{1}{2}m_1 v_{1i}^2} \right) = \frac{4m_1 m_2}{(m_1 + m_2)^2} \quad (9.16)$$

In this case  $m_1 = 1$  u and  $m_2 = 2$  u, so we have  $K_2/K_1 = 8/9 \approx 0.89$ . Thus 89% of the incident energy is transferred in a single collision, leaving the neutron with 11% of its initial energy.

**ASSESS** Let’s take a look at Equation 9.16 in the context of our three special cases. We numbered this equation because it’s a general result for the fractional energy transfer in any one-dimensional elastic collision. In case 1,  $m_1 \ll m_2$ , so we neglect  $m_1$  compared with  $m_2$  in the denominator; then our energy ratio is approximately  $4m_1/m_2$ . This becomes zero in the extreme limit where  $m_1$ ’s mass is negligible—consistent with our case 1 where the massive object didn’t move at all. In case 2,  $m_1 = m_2$ , and Equation 9.16 becomes  $4m^2/(2m)^2 = 1$ , where  $m$  is the mass of both objects. That too agrees with our earlier analysis: The incident object stops and transfers all its energy to the struck object. Finally, in case 3,  $m_1 \gg m_2$ , so we neglect  $m_2$  in the denominator. Now the energy ratio becomes  $4m_2/m_1$ . As in case 1, this approaches zero as the mass ratio gets extremely large. So the maximum energy transfer occurs with two equal masses, and tails off toward zero if the mass ratio becomes extreme in either direction.

For the particles in this example, the mass ratio 1:2 is close enough to equality that the energy transfer is nearly 90% efficient. Problem 84 explores further this energy transfer.

**GOT IT?**

**9.7** One ball is at rest on a level floor. A second ball collides elastically with the first, and the two move off separately but in the same direction. What can you conclude about the masses of the two balls?

## Elastic Collisions in Two Dimensions

Analyzing an elastic collision in two dimensions requires the full vector statement of momentum conservation (Equation 9.12), along with the statement of energy conservation (Equation 9.13). But these equations alone don't provide enough information to solve a problem. In a collision between reasonably simple macroscopic objects, that information may be provided by the so-called **impact parameter**, a measure of how much the collision differs from being head-on (Fig. 9.18). More typically—especially with atomic and nuclear interactions—the necessary information must be supplied by measurements done after the collision. Knowing the direction of motion of one particle after collision, for example, provides enough information to analyze a collision if the masses and initial velocities are also known.

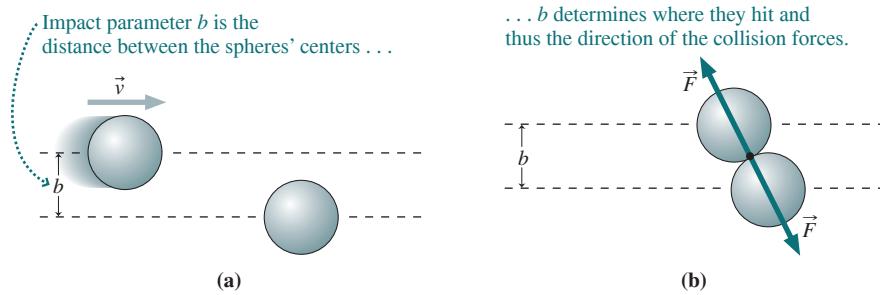


FIGURE 9.18 The impact parameter  $b$  determines the directions of the collision forces.

### EXAMPLE 9.11 A Two-Dimensional Elastic Collision: Croquet

A croquet ball strikes a stationary ball of equal mass. The collision is elastic, and the incident ball goes off at  $30^\circ$  to its original direction. In what direction does the other ball move?

**INTERPRET** We've got an elastic collision, so both momentum and kinetic energy are conserved. The system consists of the two croquet balls. We aren't given a lot of information, but since we're asked only for a direction, the magnitudes of the velocities won't matter. Thus we've got what we need to know about the initial velocities, and we've got one other piece of information, so we have enough to solve the problem.

**DEVELOP** Figure 9.19 shows the situation, in which we're after the unknown angle  $\theta$ . Since the collision is elastic, Equations 9.12 (momentum conservation) and 9.13 (energy conservation) both apply. The masses are equal, so they cancel from both equations. With  $v_{2i} = 0$ , we then have  $\vec{v}_{1i} = \vec{v}_{1f} + \vec{v}_{2f}$  for momentum conservation and  $v_{1i}^2 = v_{1f}^2 + v_{2f}^2$  for energy conservation. The rest will be algebra.

**EVALUATE** Solving for one unknown in terms of another is going to get messy here, with some velocities squared and some not. Here's a more clever approach: Rather than write the momentum equation in two components, let's take the dot product of each side with itself. That will bring in velocity-squared terms, letting us combine the momentum and energy equations. And the dot product includes an angle—which is what we're asked to find.

The dot product is distributive and commutative, so here's what we get when we dot the momentum equation with itself:

$$\vec{v}_{1i} \cdot \vec{v}_{1i} = (\vec{v}_{1f} + \vec{v}_{2f}) \cdot (\vec{v}_{1f} + \vec{v}_{2f}) = \vec{v}_{1f} \cdot \vec{v}_{1f} + \vec{v}_{2f} \cdot \vec{v}_{2f} + 2\vec{v}_{1f} \cdot \vec{v}_{2f}$$

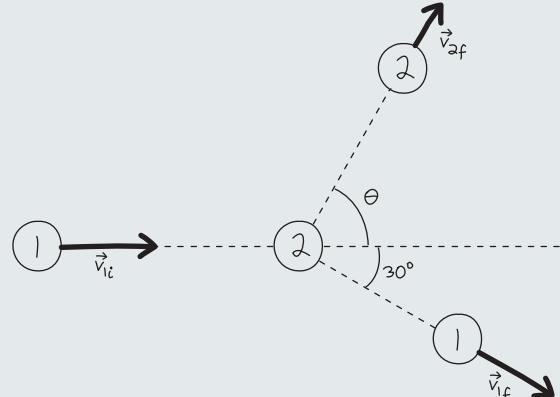


FIGURE 9.19 Our sketch of the collision between croquet balls of equal mass (Example 9.11).

Recall that the dot product of two vectors is the product of their magnitudes with the cosine of the angle between them:  $\vec{A} \cdot \vec{B} = AB \cos \theta$ . Since the angle between a vector and itself is zero, the dot product of a vector with itself is the square of its magnitude:  $\vec{A} \cdot \vec{A} = A^2 \cos(0) = A^2$ . So our equation becomes

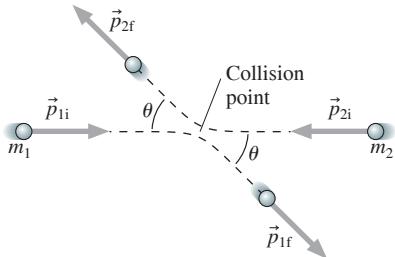
$$v_{1i}^2 = v_{1f}^2 + v_{2f}^2 + 2v_{1f}v_{2f} \cos(\theta + 30^\circ)$$

where the argument of the cosine follows because, as Fig. 9.19 shows, the angle between  $\vec{v}_{1f}$  and  $\vec{v}_{2f}$  is  $\theta + 30^\circ$ . We now subtract the energy equation from this new equation to get

(continued)

$$2v_{1f}v_{2f} \cos(\theta + 30^\circ) = 0$$

But neither of the final speeds is zero, so this equation requires that  $\cos(\theta + 30^\circ) = 0$ . Thus  $\theta + 30^\circ = 90^\circ$ , and our answer follows:  $\theta = 60^\circ$ .



**FIGURE 9.20** An elastic collision viewed in the center-of-mass frame, showing that the initial and final momentum vectors form pairs with equal magnitudes and opposite directions.

### CONCEPTUAL EXAMPLE 9.2

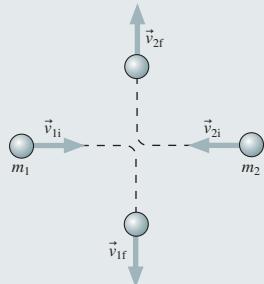
### In the Center-of-Mass Frame

Figure 9.21 shows initial and final velocities for a collision between two equal masses as observed in their center-of-mass reference frame. What would a comparable diagram look like in a reference frame where  $m_2$  is initially at rest?

**INTERPRET** Since the masses are equal, momenta and velocity vectors are proportional. Thus Fig. 9.21 does indeed show a collision in the center-of-mass reference frame. We need to transform the diagram to a frame where  $m_2$  is initially at rest.

**EVALUATE** To get from Fig. 9.21 to a reference frame where  $m_2$  is initially at rest, we need to add  $-\vec{v}_{2i}$  to  $m_2$ 's initial velocity—and therefore to all other velocities. That makes  $\vec{v}_{1i}$  twice as long and adds an equal-length but perpendicular vector to each final velocity, making them both  $\sqrt{2}$  times as long as in the center-of-mass frame and pointing at  $45^\circ$ . Figure 9.22 is our result.

**ASSESS** In the ASSESS step of Example 9.11, you learned that a two-dimensional collision between equal masses, with one initially at rest, results in the final velocities being perpendicular. Our result is consistent with that fact, and its symmetry is consistent with the symmetry shown in the center-of-mass frame.



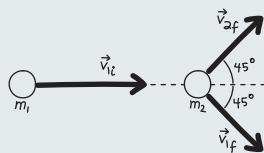
**FIGURE 9.21** A two-dimensional collision between equal masses in the center-of-mass frame.

**ASSESS** This result seems reasonable, although we don't have a lot to go on because we haven't calculated the final speeds. But it's intriguing that the two balls go off at right angles to each other. Is this a coincidence? No: It happens in any two-dimensional elastic collision between objects of equal mass when one is initially at rest. You can prove this in Problem 76.

### The Center-of-Mass Frame

Two-dimensional collisions take a particularly simple form in a frame of reference moving with the center of mass of the colliding particles, since the total momentum in such a frame must be zero. That remains true after a collision, which involves only *internal* forces that don't affect the center of mass. Therefore, both the initial and final momenta form pairs of oppositely directed vectors of equal magnitude, as shown in Fig. 9.20. In an elastic collision, energy conservation requires further that the incident and final momenta have the same values, so a single number—the angle  $\theta$  in Fig. 9.20—completely describes the collision.

It's often easier to analyze a collision by transforming to the center-of-mass frame, doing the analysis, and then transforming the resulting momentum and velocity vectors back to the original or "lab" frame. High-energy physicists routinely make such transformations as they seek to understand the fundamental forces between elementary particles. Those forces are described most simply in the center-of-mass frame of colliding particles, but in some experiments—those where lighter particles slam into massive nuclei or stationary targets—the physicists and their particle accelerators are not in the center-of-mass frame.



**FIGURE 9.22** The same collision in a frame with  $m_2$  initially at rest.

**MAKING THE CONNECTION** Consider a collision in the center-of-mass frame, as shown in Fig. 9.20, but now with equal-mass objects. If the angle  $\theta$  shown in Fig. 9.20 is  $70^\circ$ , what are the angles shown in a diagram analogous to Fig. 9.19, in a frame where one of the objects is initially at rest?

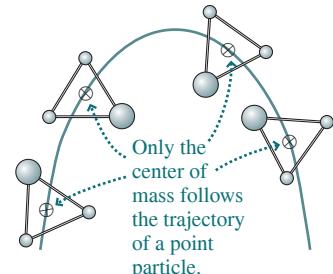
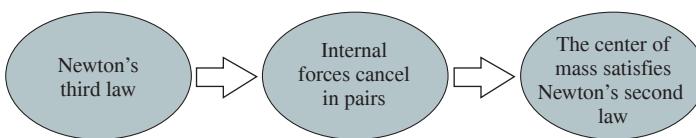
**EVALUATE** Since the objects' masses are equal, in the zero-momentum center-of-mass frame they must be approaching each other with equal speeds  $v$ . We also know that the two velocities after collision must be equal and opposite in the center-of-mass frame; again, that's because the total momentum of the two equal-mass balls is zero in the center-of-mass frame. Furthermore, to conserve kinetic energy the speeds in the center-of-mass frame must be the same as they were before the collision. So the collision looks like Fig. 9.20, and we can replace the momentum vectors with equal-magnitude velocity vectors since the objects have equal masses. To get to a reference frame where  $m_2$  is initially at rest, we need to add a rightward velocity  $\vec{v}$  to all the vectors shown in the center-of-mass frame. That will give  $m_1$  an after-collision velocity whose components are  $v_{1x} = v \cos \theta + v$  and  $v_{1y} = -v \sin \theta$ , with the minus sign designating the downward direction in Fig. 9.20. The angle of  $m_1$ 's velocity, analogous to the  $30^\circ$  angle in Fig. 9.19, is then  $\tan^{-1}[-\sin \theta / (1 + \cos \theta)]$ . Work this out for  $\theta = 70^\circ$ , and you'll get  $35^\circ$ . In fact, you could show in general that, for equal-mass objects, the angles in the center-of-mass frame and in the frame with one object initially at rest are always related by a factor of 2.

# Chapter 9 Summary

## Big Idea

The big idea of this chapter is that systems consisting of many particles exhibit simple behaviors that don't depend on the complexities of their internal structure or motions. That, in turn, allows us to understand those internal details. In particular, a system responds to external forces as though it were a point particle located at the **center of mass**. If the net external force on a system is zero, then the center of mass does not accelerate and the system's total momentum is conserved. Conservation of momentum holds to a very good approximation during the brief, intense encounters called **collisions**, allowing us to relate particles' motions before and after colliding.

Newton's second and third laws are behind these big ideas. The third law, in particular, says that forces *internal* to a system cancel in pairs, and therefore they don't contribute to the net force on the system. That's what allows us to describe a system's overall motion without having to worry about what's going on internally.



## Key Concepts and Equations

The **center of mass** position  $\vec{r}_{\text{cm}}$  is a weighted average of the positions of a system's constituent particles:

$$\vec{r}_{\text{cm}} = \frac{\sum m_i \vec{r}_i}{M} \text{ or, with continuous matter, } \vec{r}_{\text{cm}} = \frac{\int \vec{r} dm}{M}$$

Here  $M$  is the system's total mass and the sum or integral is taken over the entire system. The center of mass obeys Newton's second law:

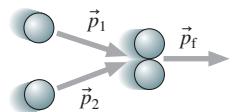
$$\vec{F}_{\text{net ext}} = M \vec{a}_{\text{cm}} = \frac{d\vec{P}}{dt}$$

where  $\vec{F}_{\text{net ext}}$  is the net external force on the system,  $\vec{a}_{\text{cm}}$  the acceleration of the center of mass, and  $\vec{P}$  the system's total momentum.

A **collision** is a brief, intense interaction between particles involving large internal forces. External forces have little effect during a collision, so to a good approximation the total momentum of the interacting particles is conserved.

In a **totally inelastic collision**, the colliding objects stick together to form a composite; in that case momentum conservation entirely determines the outcome:

$$m_1 \vec{v}_1 + m_2 \vec{v}_2 = (m_1 + m_2) \vec{v}_f \quad (\text{conservation of momentum, totally inelastic collision})$$

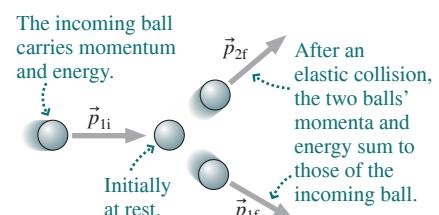


An **elastic collision** conserves kinetic energy as well as momentum, and the colliding particles separate after the collision:

$$m_1 \vec{v}_{1i} + m_2 \vec{v}_{2i} = m_1 \vec{v}_{1f} + m_2 \vec{v}_{2f} \quad (\text{conservation of momentum, elastic collision})$$

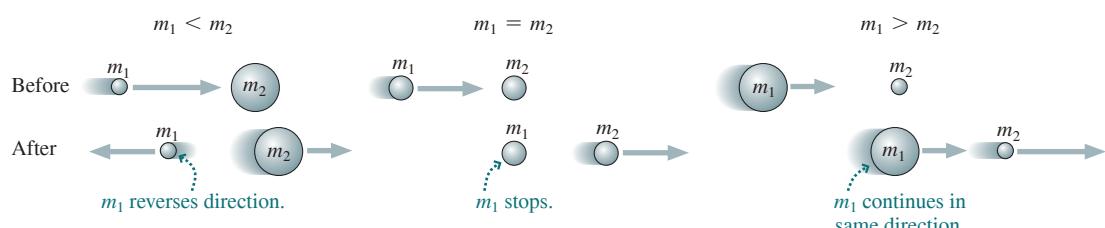
$$\frac{1}{2} m_1 v_{1i}^2 + \frac{1}{2} m_2 v_{2i}^2 = \frac{1}{2} m_1 v_{1f}^2 + \frac{1}{2} m_2 v_{2f}^2 \quad (\text{conservation of energy, elastic collision})$$

In the special case of a one-dimensional elastic collision, knowledge of the mass and initial velocities is sufficient to determine the outcome. To analyze elastic collisions in two dimensions requires an additional piece of information, such as the impact parameter or the direction of one of the particles after the collision.



## Applications

One-dimensional collisions with one object initially at rest provide insights into the nature of collisions. There are three cases, depending on the relative masses:



**Rockets** provide a technological application of momentum conservation. A rocket exhausts matter out the back at high velocity; momentum conservation then requires that the rocket gain momentum in the forward direction. Rocket propulsion requires no interaction with any external material, which is why rockets work in space.

**Mastering Physics**

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems

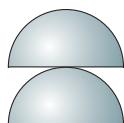
**Learning Outcomes** After finishing this chapter you should be able to:

- LO 9.1 Find the center of mass of a system of discrete particles.  
*For Thought and Discussion Questions 9.1, 9.2; Exercises 9.10, 9.11, 9.12, 9.13, 9.14; Problems 9.41, 9.90*
- LO 9.2 Describe the motion of a system's center of mass.  
*Problems 9.44, 9.59*
- LO 9.3 Use integration to find the center of mass of a continuous object.  
*Problems 9.47, 9.55, 9.86, 9.87, 9.88, 9.91*
- LO 9.4 Determine the total momentum of a system.
- LO 9.5 Solve problems involving conservation of momentum.  
*For Thought and Discussion Question 9.3; Exercises 9.16, 9.17, 9.18, 9.19; Problems 9.45, 9.48, 9.51, 9.54, 9.56, 9.57, 9.58, 9.60, 9.63, 9.65, 9.67, 9.68, 9.70, 9.83, 9.89, 9.92*
- LO 9.6 Break a system's kinetic energy into center-of-mass and internal components.  
*Exercises 9.19, 9.20; Problem 9.43*

- LO 9.7 Describe what constitutes a collision and distinguish elastic from inelastic collisions.  
*For Thought and Discussion Questions 9.4, 9.6, 9.7, 9.9; Exercises 9.21, 9.22, 9.23; Problems 9.42, 9.46, 9.81*
- LO 9.8 Analyze totally inelastic collisions using conservation of momentum.  
*Exercises 9.24, 9.25, 9.26, 9.27; Problems 9.49, 9.50, 9.52, 9.64, 9.66, 9.69, 9.71, 9.79*
- LO 9.9 Analyze elastic collisions using conservation of momentum and kinetic energy.  
*For Thought and Discussion Questions 9.5, 9.8; Exercises 9.28, 9.29, 9.30, 9.31, 9.32; Problems 9.53, 9.61, 9.62, 9.72, 9.73, 9.74, 9.75, 9.76, 9.77, 9.78, 9.80, 9.82, 9.84, 9.85, 9.93*

**For Thought and Discussion**

1. Explain why a high jumper's center of mass need not clear the bar.
2. The center of mass of a solid sphere is clearly at its center. If the sphere is cut in half and the two halves are stacked as in Fig. 9.23, is the center of mass at the point where they touch? If not, roughly where is it? Explain.
3. The momentum of a system of pool balls is the same before and after they are hit by the cue ball. Is it still the same after one of the balls strikes the edge of the table? Explain.
4. Is it possible to have an inelastic collision in which *all* the kinetic energy of the colliding objects is lost? If so, give an example. If not, why not?
5. If you want to stop the neutrons in a reactor, why not use massive nuclei like lead?
6. Why don't we need to consider external forces acting on a system as its constituent particles undergo a collision?
7. How is it possible to have a collision between objects that don't ever touch? Give an example of such a collision.
8. A pitched baseball moves no faster than the pitcher's hand. But a batted ball can move much faster than the bat. What's the difference?
9. Two identical satellites are going in opposite directions in the same circular orbit when they collide head-on. Describe their subsequent motion if the collision is (a) elastic or (b) totally inelastic.



**FIGURE 9.23** For Thought and Discussion 2

11. Two particles of equal mass  $m$  are at the vertices of the base of an equilateral triangle. The triangle's center of mass is midway between the base and the third vertex. What's the mass at the third vertex?
12. Rework Example 9.1 with the origin at the center of the barbell, showing that the physical location of the center of mass doesn't depend on your coordinate system.
13. Three equal masses lie at the corners of an equilateral triangle of side  $L$ . Find the center of mass.
14. How far from Earth's center is the center of mass of the Earth-Moon system? (*Hint:* Consult Appendix E.)

**Section 9.2 Momentum**

15. A popcorn kernel at rest in a hot pan bursts into two pieces, with masses 91 mg and 64 mg. The more massive piece moves horizontally at 47 cm/s. Describe the motion of the second piece.
16. A 60-kg skater, at rest on frictionless ice, tosses a 12-kg snowball with velocity  $\vec{v} = 53.0\hat{i} + 14.0\hat{j}$  m/s, where the  $x$ - and  $y$ -axes are in the horizontal plane. Find the skater's subsequent velocity.
17. A plutonium-239 nucleus at rest decays into a uranium-235 nucleus by emitting an alpha particle ( ${}^4\text{He}$ ) with kinetic energy 5.15 MeV. Find the speed of the uranium nucleus.
18. A toboggan of mass 8.6 kg is moving horizontally at 23 km/h. As it passes under a tree, 15 kg of snow drop onto it. Find its subsequent speed.

**Section 9.3 Kinetic Energy of a System**

19. At the peak of its trajectory, a 995-g fireworks rocket is moving horizontally at 18.6 m/s. It's a dud, and instead of exploding gloriously, it bursts into two pieces. One of them, with mass 372 g, continues in the original direction at 31.3 m/s. How much energy did the two pieces gain when the rocket burst?
20. An object with kinetic energy  $K$  explodes into two pieces, each of which moves with twice the speed of the original object. Find the ratio of the internal kinetic energy to the center-of-mass energy after the explosion.

**Exercises and Problems****Exercises****Section 9.1 Center of Mass**

10. A 28-kg child sits at one end of a 3.5-m-long seesaw. Where should her 65-kg father sit so the center of mass will be at the center of the seesaw?

## Section 9.4 Collisions

21. The graph shown with the Application: Crash Tests on page 161 shows the force exerted on a 2000-kg test car as it crashes into a stationary barrier and comes to rest. Take the horizontal axis to extend from 0 to 800 ms and the vertical axis from 0 to 100 kN. Estimate (a) the impulse imparted to the car and (b) its initial speed.
22. High-speed photos of a 220- $\mu\text{g}$  flea jumping vertically show that **BIO** the jump lasts 1.2 ms and involves an average vertical acceleration of 100g. What (a) average force and (b) impulse does the ground exert on the flea during its jump? (c) What's the change in the flea's momentum during its jump?
23. You're working in mission control for an interplanetary space probe. A trajectory correction calls for a rocket firing that imparts an impulse of 5.64 N·s. If the rocket's average thrust is 135 mN, how long should the rocket fire?

## Section 9.5 Totally Inelastic Collisions

24. In a railroad switchyard, a 56-ton freight car is sent at 7.0 mi/h toward a 31-ton car moving in the same direction at 2.6 mi/h. (a) What's the speed of the cars after they couple? (b) What fraction of the initial kinetic energy was lost in the collision?
25. In a totally inelastic collision between two equal masses, with one initially at rest, show that half the initial kinetic energy is lost.
26. A neutron (mass 1.01 u) strikes a deuteron (mass 2.01 u), and they combine to form a tritium nucleus (mass 3.02 u). If the neutron's initial velocity was  $23.5\hat{i} + 14.4\hat{j}$  Mm/s and if the tritium leaves the reaction with velocity  $15.1\hat{i} + 22.6\hat{j}$  Mm/s, what was the deuteron's velocity?
27. Two identical trucks have mass 5500 kg when empty, and the maximum permissible load for each is 8000 kg. The first truck, carrying 3800 kg, is at rest. The second truck plows into it at 65 km/h, and the pair moves away at 37 km/h. As an expert witness, you're asked to determine whether the second truck was overloaded. What do you report?

## Section 9.6 Elastic Collisions

28. An alpha particle ( ${}^4\text{He}$ ) strikes a stationary gold nucleus ( ${}^{197}\text{Au}$ ) head-on. What fraction of the alpha's kinetic energy is transferred to the gold? Assume a totally elastic collision.
29. Playing in the street, a child accidentally tosses a ball at 18 m/s toward the front of a car moving toward him at 14 m/s. What's the ball's speed after it rebounds elastically from the car?
30. A block of mass  $m$  undergoes a one-dimensional elastic collision with a block of mass  $M$  initially at rest. If both blocks have the same speed after colliding, how are their masses related?
31. A proton moving at 6.9 Mm/s collides elastically head-on with a second proton moving in the opposite direction at 11 Mm/s. Find their subsequent velocities.
32. A head-on, elastic collision between two particles with equal initial speed  $v$  leaves the more massive particle ( $m_1$ ) at rest. Find (a) the ratio of the particle masses and (b) the final speed of the less massive particle.

### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

33. **Example 9.5:** A lithium-5 nucleus ( ${}^5\text{Li}$ ) is moving at 2.25 Mm/s when it decays into a proton ( ${}^1\text{H}$ ) and an alpha particle ( ${}^4\text{He}$ ). The alpha particle is detected moving at 1.03 Mm/s at  $23.6^\circ$  to the original velocity of the  ${}^5\text{Li}$  nucleus. Find the magnitude and direction of the proton's velocity.

34. **Example 9.5:** A lithium-5 nucleus ( ${}^5\text{Li}$ ) decays into a proton ( ${}^1\text{H}$ ) and an alpha particle ( ${}^4\text{He}$ ). The alpha particle is detected moving at 2.43 Mm/s at  $31.5^\circ$  above the  $x$ -axis (i.e., with a positive  $y$ -component), while the proton is moving at 1.78 Mm/s at  $24.7^\circ$  below the  $x$ -axis. Find the original velocity of the lithium-5 nucleus, expressed in unit vector notation.

35. **Example 9.5:** A spacecraft consists of a 549-kg orbiter and a 235-kg lander. It's moving at 81.6 km/s relative to a nearby space station. Explosive bolts separate the orbiter and lander, after which the orbiter is moving at 55.2 km/s at a  $41.4^\circ$  angle to the motion of the original composite spacecraft. Find the magnitude and direction of the lander's velocity.

36. **Example 9.5:** A spacecraft consists of a 784-kg orbiter and a 392-kg lander. Explosive bolts separate the orbiter and lander, after which the orbiter's velocity is  $225\hat{i} + 107\hat{j}$  m/s and the lander's is  $-75.4\hat{i} - 214\hat{j}$  m/s. Find the velocity of the composite spacecraft before the separation.

37. **Example 9.10:** Some nuclear reactors, especially in England and Russia, use graphite (pure carbon and nearly all  ${}^{12}\text{C}$ ) for the moderator. When a neutron hits a stationary  ${}^{12}\text{C}$  nucleus in a head-on elastic collision, what percentage of its kinetic energy is transferred to the carbon?

38. **Example 9.10:** A neutron undergoes an elastic head-on collision with an initially stationary nucleus, and 48.4% of the neutron's kinetic energy is transferred to the struck nucleus. How does the mass of the nucleus compare with that of the neutron?

39. **Example 9.10:** A 685-g block is sliding on a frictionless surface when it collides elastically and head-on with a stationary block of mass 232 g. What percentage of the more massive block's kinetic energy is transferred to the lighter block?

40. **Example 9.10:** A mass  $m_1$  collides elastically and head-on with a stationary mass  $m_2$ , and three-fourths of  $m_1$ 's initial kinetic energy is transferred to  $m_2$ . How are the two masses related?

## Problems

41. Find the center of mass of a pentagon with five equal sides of length  $a$ , but with one triangle missing (Fig. 9.24). (Hint: See Example 9.3, and treat the pentagon as a group of triangles.)

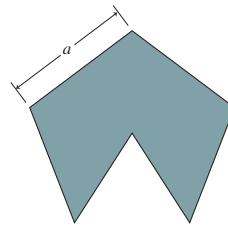


FIGURE 9.24 Problem 41

42. Wildlife biologists fire 20-g rubber bullets to stop a rhinoceros charging at 0.81 m/s. The bullets strike the rhino and drop vertically to the ground. The biologists' gun fires 15 bullets each second, at 73 m/s, and it takes 34 s to stop the rhino. (a) What impulse does each bullet deliver? (b) What's the rhino's mass? Neglect forces between rhino and ground.

43. Three 100-g objects have velocities given by  $\vec{v}_1 = 25.0\hat{i}$  m/s,  $\vec{v}_2 = -9.45\hat{i} + 11.6\hat{j}$  m/s, and  $\vec{v}_3 = -3.67\hat{i} - 11.6\hat{j}$  m/s. Find the center of mass and internal kinetic energies of this system.
44. You're with 19 other people on a boat at rest in frictionless water. The group's total mass is 1500 kg, and the boat's mass is 12,000 kg. The entire party walks the 6.5-m distance from bow to stern. How far does the boat move?
45. A hemispherical bowl is at rest on a frictionless counter. A mouse drops onto the bowl's rim from a cabinet directly overhead. The mouse climbs down inside the bowl to eat crumbs at the bottom. If the bowl moves along the counter a distance equal to one-tenth of its diameter, how does the mouse's mass compare with the bowl's mass?
46. Physicians perform *needle biopsies* to sample tissue from internal organs. A spring-loaded gun shoots a hollow needle into the tissue; extracting the needle brings out the tissue core. A particular device uses 8.3-mg needles that take 90 ms to stop in the tissue, which exerts a stopping force of 41 mN. (a) Find the impulse imparted by the tissue. (b) How far into the tissue does the needle penetrate?
- BIO**
47. Find the center of mass of the uniform, solid cone of height  $h$ , base radius  $R$ , and constant density  $\rho$  shown in Fig. 9.25. (*Hint:* Integrate over disk-shaped mass elements of thickness  $dy$ , as shown in the figure.)
48. A firecracker, initially at rest, explodes into two fragments. The first, of mass 14 g, moves in the  $+x$ -direction at 48 m/s. The second moves at 32 m/s. Find the second fragment's mass and the direction of its motion.
49. An 11,000-kg freight car rests against a spring bumper at the end of a railroad track. The spring has constant  $k = 0.32$  MN/m. The car is hit by a second car of 9400-kg mass moving at 8.5 m/s, and the two couple together. Find (a) the maximum compression of the spring and (b) the speed of the two cars when they rebound together from the spring.
50. On an icy road, a 1200-kg car moving at 50 km/h strikes a 4400-kg truck moving in the same direction at 35 km/h. The pair is soon hit from behind by a 1500-kg car speeding at 65 km/h, and all three vehicles stick together. Find the speed of the wreckage.
51. Kids are pelting a window with snowballs. On average, two snowballs of roughly 300-g mass hit the window each second, moving horizontally at some 10 m/s. The snowballs drop vertically to the ground after hitting the window. Estimate the average force exerted on the window.
52. A 1250-kg car is moving with velocity  $\vec{v}_1 = 36.2\hat{i} + 12.7\hat{j}$  m/s. It skids on a frictionless icy patch and collides with a 448-kg hay wagon with velocity  $\vec{v}_2 = 13.8\hat{i} + 10.2\hat{j}$  m/s. If the two stay together, what's their velocity?
53. Masses  $m$  and  $3m$  approach at the same speed  $v$  and undergo a head-on elastic collision. Show that mass  $3m$  stops, while mass  $m$  rebounds at speed  $2v$ .
54. A  $^{238}\text{U}$  nucleus is moving in the  $x$ -direction at  $5.0 \times 10^5$  m/s when it decays into an alpha particle ( ${}^4\text{He}$ ) and a  $^{234}\text{Th}$  nucleus. The alpha moves at  $1.4 \times 10^7$  m/s at  $22^\circ$  above the  $x$ -axis. Find the recoil velocity of the thorium.
55. Find an expression for the center of mass of a solid hemisphere, given as the distance from the center of the flat part of the hemisphere.
- CH**
56. A 42-g firecracker is at rest at the origin when it explodes into three pieces. The first, with mass 12 g, moves along the  $x$ -axis at 35 m/s. The second, with mass 21 g, moves along the  $y$ -axis at 29 m/s. Find the velocity of the third piece.
57. A 60-kg astronaut floating in space simultaneously tosses away a 14-kg oxygen tank and a 5.8-kg camera. The tank moves in the  $x$ -direction at 1.6 m/s, and the astronaut recoils at 0.85 m/s in a direction  $200^\circ$  counterclockwise from the  $x$ -axis. Find the camera's velocity.
58. Assuming equal-mass pieces in Exercise 20, find the angles of the two velocities relative to the direction of motion before the explosion.
59. A 62-kg sprinter stands on the left end of a 190-kg cart moving leftward at 7.1 m/s. She runs to the right end and continues horizontally off the cart. What should be her speed relative to the cart so that once she's off the cart, she has no horizontal velocity relative to the ground?
60. You're a production engineer in a cookie factory, where mounds of dough drop vertically onto a conveyor belt at the rate of one 12-g mound every 2 s. You're asked to design a mechanism that will keep the conveyor belt moving at a constant 50 cm/s. What average force must the mechanism exert on the belt?
61. Mass  $m$ , moving at speed  $2v$ , approaches mass  $4m$ , moving at speed  $v$ . The two collide elastically head-on. Find expressions for their subsequent speeds.
62. Verify explicitly that kinetic energy is conserved in the collision of the preceding problem.
63. While standing on frictionless ice, you (mass 65.0 kg) toss a 4.50-kg rock with initial speed 12.0 m/s. If the rock is 15.2 m from you when it lands, (a) at what angle did you toss it? (b) How fast are you moving?
64. You're an accident investigator at a scene where a drunk driver in a 1600-kg car has plowed into a 1300-kg parked car with its brake set. You measure skid marks showing that the combined wreckage moved 25 m before stopping, and you determine a frictional coefficient of 0.77. What do you report for the drunk driver's speed just before the collision?
65. A fireworks rocket is launched vertically upward at 40 m/s. At **CH** the peak of its trajectory, it explodes into two equal-mass fragments. One reaches the ground 2.87 s after the explosion. When does the second reach the ground?
66. Two objects moving in opposite directions with the same speed  $v$  undergo a totally inelastic collision, and half the initial kinetic energy is lost. Find the ratio of their masses.
67. Explosive bolts separate a 950-kg communications satellite from its 640-kg booster rocket, imparting a 350-N·s impulse. At what relative speed do satellite and booster separate?
68. You're working in quality control for a model rocket manufacturer, testing a class-D rocket whose specifications call for an impulse between 10 and 20 N·s. The rocket's burn time is  $\Delta t = 2.8$  s, and its thrust during that time is  $F(t) = at(t - \Delta t)$ , where  $a = -4.6$  N/s<sup>2</sup>. Does the rocket meet its specs?
69. You're investigating a crash in which a 1640-kg Nissan Leaf electric car and a 3220-kg Toyota Land Cruiser SUV collided at right angles in an intersection. The combined wreckage skidded 17.6 m before stopping. You measure the coefficient of friction between tires and road and find it to be 0.697. Show that at least one car must have exceeded the 70-km/h speed limit at the intersection. You'll need to consider each car separately, assuming that it was at the speed limit and finding the other car's speed, which you should report in your answer.

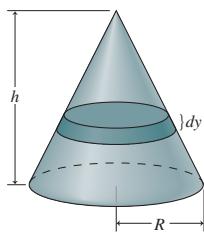


FIGURE 9.25 Problem 47

70. A 400-mg popcorn kernel is skittering across a nonstick frying pan at 8.2 cm/s when it pops and breaks into two equal-mass pieces. If one piece ends up at rest, how much energy was released in the popping?
71. Two identical objects with the same initial speed collide and stick together. If the composite object moves with half the initial speed of either object, what was the angle between the initial velocities?
72. A proton (mass 1 u) moving at 6.90 Mm/s collides elastically head-on with a second particle moving in the opposite direction at 2.80 Mm/s. After the collision, the proton is moving opposite its initial direction at 8.62 Mm/s. Find the mass and final velocity of the second particle.
73. Two objects, one initially at rest, undergo a one-dimensional elastic collision. If half the kinetic energy of the initially moving object is transferred to the other object, what is the ratio of their masses?

74. Blocks *B* and *C* have masses  $2m$  and  $m$ , respectively, and are at rest on a frictionless surface. Block *A*, also of mass  $m$ , is heading at speed  $v$  toward block *B* as shown in Fig. 9.26.

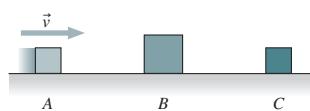


FIGURE 9.26 Problem 74

Determine the final velocity of each block after all subsequent collisions are over. Assume all collisions are elastic.

75. Derive Equation 9.15b.
76. An object collides elastically with an equal-mass object initially at rest. If the collision isn't head-on, show that the final velocity vectors are perpendicular.
77. A proton (mass 1 u) collides elastically with a stationary deuteron (mass 2 u). If the proton is deflected  $37^\circ$  from its original direction, what fraction of its kinetic energy does it transfer to the deuteron?
78. Two identical billiard balls are initially at rest when they're struck symmetrically by a third identical ball moving with velocity  $\vec{v}_0 = v_0 \hat{i}$  (Fig. 9.27). Find the velocities of all three balls after this elastic collision.
79. A 114-g Frisbee is lodged on a tree branch 7.65 m above the ground. To free it, you lob a 240-g dirt clod vertically upward. The dirt leaves your hand at a point 1.23 m above the ground, moving at 17.7 m/s. It sticks to the Frisbee. Find (a) the maximum height reached by the Frisbee–dirt combination and (b) the speed with which the combination hits the ground.

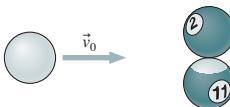


FIGURE 9.27 Problem 78

80. You set a small ball of mass  $m$  atop a large ball of mass  $M \gg m$  and drop the pair from height  $h$ . Assuming the balls are perfectly elastic, show that the smaller ball rebounds to height  $9h$ .
81. A car moving at speed  $v$  undergoes a one-dimensional collision with an identical car initially at rest. The collision is neither elastic nor fully inelastic;  $5/18$  of the initial kinetic energy is lost. Find the velocities of the two cars after the collision.
82. A 200-g block is released from rest at a height of 25 cm on a frictionless  $30^\circ$  incline. It slides down the incline and then along a frictionless surface until it collides elastically with an 800-g block at rest 1.4 m from the bottom of

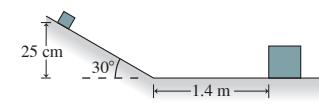


FIGURE 9.28 Problem 82

the incline (Fig. 9.28). How much later do the two blocks collide again?

83. **CH** A rocket of mass  $M$  moving at speed  $v$  ejects an infinitesimal mass  $dm$  out its exhaust nozzle at speed  $v_{\text{ex}}$ . (a) Show that conservation of momentum implies that  $M dv = v_{\text{ex}} dm$ , where  $dv$  is the change in the rocket's speed. (b) Integrate this equation from some initial speed  $v_i$  and mass  $M_i$  to a final speed  $v_f$  and mass  $M_f$  to show that the rocket's final velocity is given by the expression  $v_f = v_i + v_{\text{ex}} \ln(M_i/M_f)$ .
84. A block of mass  $m_1$  undergoes a one-dimensional elastic collision with an initially stationary block of mass  $m_2$ . Find an expression for the fraction of the initial kinetic energy transferred to the second block, and plot your result for mass ratios  $m_1/m_2$  from 0 to 20.
85. Two objects of unequal mass, one initially at rest, undergo a one-dimensional elastic collision. For a given mass ratio, show that the fraction of the initial energy transferred to the initially stationary object doesn't depend on which object it is.
86. In Figure 9.6, the uniform semicircular wire has radius  $R$ . How far above the center of the semicircle is its center of mass?
87. **CH** Find the center of mass of a uniform slice of pizza with radius  $R$  and angular width  $\theta$ .
88. In a ballistic pendulum demonstration gone bad, a 0.52-g pellet, fired horizontally with kinetic energy 3.25 J, passes straight through a 400-g Styrofoam pendulum block. If the pendulum rises a maximum height of 0.50 mm, how much kinetic energy did the pellet have after emerging from the Styrofoam?
89. An 80-kg astronaut has become detached from the safety line connecting her to the International Space Station. She's 200 m from the station, at rest relative to it, and has 4 min of air remaining. To get herself back, she tosses a 10-kg tool kit away from the station at 8.0 m/s. Will she make it back in time?
90. Astronomers detect extrasolar planets by measuring the slight movement of stars around the center of mass of the star–planet system. Considering just the Sun and Jupiter, determine the radius of the circular orbit the Sun makes about the Sun–Jupiter center of mass.
91. **CH** A thin rod extends from  $x = 0$  to  $x = L$ . It carries a nonuniform mass per unit length  $\mu = Mx^a/L^{1+a}$ , where  $M$  is a constant with units of mass, and  $a$  is a non-negative dimensionless constant. Find expressions for (a) the rod's mass and (b) the location of its center of mass. (c) Are your results what you expect when  $a = 0$ ?
92. **DATA** Model rocket motors are specified by giving the impulse they provide, in N·s, over the entire time the rocket is firing. The table below shows the results of rocket-motor tests with different motors used to launch rockets of different masses. Determine two data-based quantities that, when plotted against each other, should give a straight line and whose slope should allow you to determine  $g$ . Plot the data, establish a best-fit line, and determine  $g$ . Assume that the maximum height is much greater than the distance over which the rocket motor is firing, so you can neglect the latter. You're also neglecting air resistance—but explain how that affects your experimentally determined value for  $g$ .

Impulse, $J$ (N · s)	4.5	7.8	4.5	7.8	11
Rocket mass (g) (including motor)	180	485	234	234	485
Maximum height achieved (m)	22	13	19	51	23

93. **CH** A block of mass  $M$  is moving at speed  $v_0$  on a frictionless surface that ends in a rigid wall, heading toward a stationary block of mass  $nM$ , where  $n \geq 1$  (Fig. 9.29). Collisions between the

two blocks or the left-hand block and the wall are elastic and one-dimensional. (a) Show that the blocks will undergo only one collision with each other if  $n \leq 3$ . (b) Show that the blocks

will undergo two collisions with each other if  $n = 4$ . (c) How many collisions will the blocks undergo if  $n = 10$ , and what will be their final speeds?

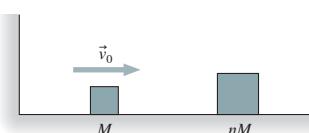


FIGURE 9.29 Problem 93

### Passage Problems

You're interested in the intersection of physics and sports, and you recognize that many sporting events involve collisions—bat and baseball, foot and football, hockey stick and puck, basketball and floor. Using strobe photography, you embark on a study of such collisions. Figure 9.30 is your strobe photo of a ball bouncing off the floor. The ball is launched from a point near the top left of the photo, and your camera then captures it undergoing three subsequent collisions with the floor.

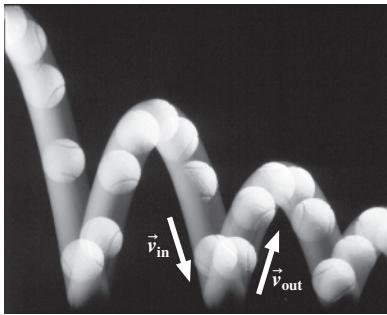


FIGURE 9.30 Passage Problems 94–97

94. The collisions between ball and floor are  
 a. totally elastic.  
 b. totally inelastic.  
 c. neither totally elastic nor totally inelastic.
95. The fraction of the ball's mechanical energy that's lost in the second collision is  
 a. about 10%.  
 b. a little less than half.

- c. a little more than half.  
 d. about 90%.

96. The component of the ball's velocity whose magnitude is most affected by the collisions is  
 a. horizontal.  
 b. vertical.  
 c. Both are affected equally.
97. Compared with the time between bounces, the duration of each collision is  
 a. a tiny fraction of the time between bounces.  
 b. a significant fraction of the time between bounces.  
 c. much longer than the time between bounces.

### Answers to Chapter Questions

#### Answer to Chapter Opening Question

The dancer's center of mass follows the simple path of a projectile because, as Newton's laws show, the dancer's mass acts like it's all concentrated at this point.

#### Answers to GOT IT? Questions

- 9.1 The center of mass is the uppermost point A. You can see this by imagining horizontal strips through the loop; the higher the strip the more mass is included, so the center of mass must lie nearer the top of the loop. The bottommost point would be the center of mass for a complete circle.
- 9.2 Momentum is conserved, so the momentum both before and after the explosion is the same:  $\vec{P} = m\vec{v} = (0.50 \text{ kg})(60\hat{j} \text{ m/s}) = 30\hat{j} \text{ kg}\cdot\text{m/s}$ .
- 9.3 Only (d). The individual skaters experience external forces from the ball, as does the ball from the skaters. A system consisting of the ball and one skater experiences external forces from the other skater. Only the system of all three has no net external force and therefore has conserved momentum.
- 9.4 (1) (a); (2) (b)
- 9.5 all but (c) are collisions; (a) and (b) are nearly elastic; (d) and (e) are inelastic
- 9.6 (a) and (b) are totally inelastic; (c) is inelastic but not totally so
- 9.7 The ball initially at rest is less massive; otherwise, the incident ball would have reversed direction (or stopped if the masses were equal).

# Rotational Motion

## Skills & Knowledge You'll Need

- Kinematics of constant acceleration in one dimension (Section 2.4)
- Newton's second law expressed as  $F = ma$  (Section 4.2)
- Your knowledge of integral calculus

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 10.1** Identify and calculate rotational analogs of position, velocity, acceleration, force, and mass.
- LO 10.2** Solve rotational analogs of one-dimensional constant-acceleration problems.
- LO 10.3** Calculate rotational inertias by summing or integrating.
- LO 10.4** Apply the rotational analog of Newton's second law.
- LO 10.5** Solve problems involving coupled linear and rotational motion.
- LO 10.6** Calculate rotational kinetic energy.
- LO 10.7** Describe quantitatively the behavior of rolling objects.

You're sitting on a rotating planet. The wheels of your car rotate. Your favorite movie comes from a rotating DVD. A circular saw rotates to rip its way through a board. A dancer pirouettes, and a satellite spins about its axis. Even molecules rotate. Rotational motion is commonplace throughout the physical universe.

In principle, we could treat rotational motion by analyzing the motion of each particle in a rotating object. But that would be a hopeless task for all but the simplest objects. Instead, we'll describe rotational motion by analogy with linear motion as governed by Newton's laws.

This chapter parallels our study of one-dimensional motion in Chapters 2 and 4. In the next chapter we introduce a full vector description to treat multidimensional rotational motion.



## 10.1 Angular Velocity and Acceleration

**LO 10.1** *Identify and calculate rotational analogs of position, velocity, acceleration, force, and mass.*

**LO 10.2** *Solve rotational analogs of one-dimensional constant-acceleration problems.*

You slip a DVD into a player, and it starts spinning. You could describe its motion by giving the speed and direction of each point on the disc. But it's much easier just to say that the disc is rotating at 800 revolutions per minute (rpm). As long as the disc is a **rigid body**—one whose parts remain in fixed positions relative to one another—then that single statement suffices to describe the motion of the entire disc.

For a given blade mass, how should you engineer a wind turbine's blades so it's easiest for the wind to get the turbine rotating?

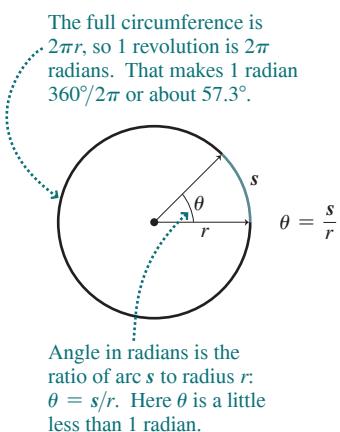


FIGURE 10.1 Radian measure of angles.

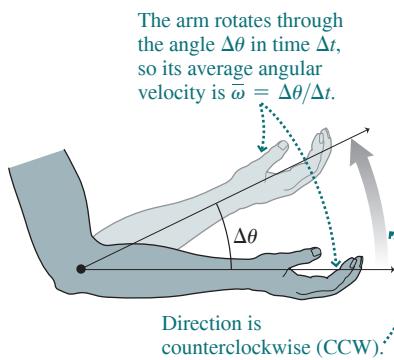


FIGURE 10.2 Average angular velocity.

## Angular Velocity

The rate at which a body rotates is its **angular velocity**—the rate at which the angular position of any point on the body changes. With our 800-rpm DVD, the unit of angle was one full revolution (360°, or  $2\pi$  radians), and the unit of time was the minute. But we could equally well express angular velocity in revolutions per second (rev/s), degrees per second (°/s), or radians per second (rad/s or simply  $s^{-1}$  since radians are dimensionless). Because of the mathematically simple nature of radian measure, we often use radians in calculations involving rotational motion (Fig. 10.1).

We use the symbol  $\omega$  (lowercase Greek omega) for angular velocity and define **average angular velocity**  $\bar{\omega}$  as

$$\bar{\omega} = \frac{\Delta\theta}{\Delta t} \quad (\text{average angular velocity}) \quad (10.1)$$

where  $\Delta\theta$  is the **angular displacement**—that is, the change in angular position—occurring in the time  $\Delta t$  (Fig. 10.2). When angular velocity is changing with time, we define **instantaneous angular velocity** as the limit over arbitrarily short time intervals:

$\omega$  is the instantaneous angular velocity.

$\omega$  comes from applying the limiting procedure to the average angular velocity  $\Delta\theta/\Delta t$ .

$$\omega = \lim_{\Delta t \rightarrow 0} \frac{\Delta\theta}{\Delta t} = \frac{d\theta}{dt} \quad (\text{instantaneous angular velocity}) \quad (10.2)$$

Thus,  $\omega$  is the derivative  $d\theta/dt$ —the rate of change of angular position with respect to time.

These definitions are analogous to those of average and instantaneous linear velocity introduced in Chapter 2. Just as we use the term *speed* for the magnitude of velocity, so we define **angular speed** as the magnitude of the angular velocity.

Velocity is a vector quantity, with magnitude and direction. Is angular velocity also a vector? Yes, but we'll wait until the next chapter for the full vector description of rotational motion. In this chapter, it's sufficient to know whether an object's rotation is clockwise (CW) or counterclockwise (CCW) about a fixed axis—as suggested by the curved arrow in Fig. 10.2. This restriction to a fixed axis is analogous to Chapter 2's restriction to one-dimensional motion.

## Angular and Linear Speed

Individual points on a rotating object undergo circular motion. Each point has an instantaneous linear velocity  $\vec{v}$  whose magnitude is the linear speed  $v$ . We now relate this linear speed  $v$  to the angular speed  $\omega$ . The definition of angular measure in radians (Fig. 10.1) is  $\theta = s/r$ . Differentiating this expression with respect to time, we have

$$\frac{d\theta}{dt} = \frac{1}{r} \frac{ds}{dt}$$

because the radius  $r$  is constant. The left-hand side of this equation is the angular velocity  $\omega$ , as defined in Equation 10.2. Because  $s$  is the arc length—the actual distance traversed by a point on the rotating object—the term  $ds/dt$  is just the linear speed  $v$ , so  $\omega = v/r$ , or

$v$  is the linear speed a distance  $r$  from the rotation axis...

...of an object rotating with angular angular velocity  $\omega$ .

$$v = \omega r \quad (10.3)$$

The equality only holds if  $\omega$  is measured in radians/second or  $s^{-1}$ .

Thus the linear speed of any point on a rotating object is proportional both to the angular speed of the object and to the distance from that point to the axis of rotation (Fig. 10.3).

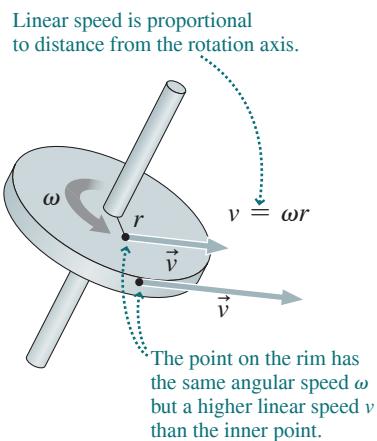


FIGURE 10.3 Linear and rotational speeds.



**RADIAN MEASURE** Equation 10.3 was derived using the definition of angle *in radians* and therefore holds for only angular speed measured in radians per unit time. If you're given other angular measures—degrees or revolutions, for example—you should convert to radians before using Equation 10.3.

**EXAMPLE 10.1** **Angular Speed: A Wind Turbine**

A wind turbine's blades are 28 m long and rotate at 21 rpm. Find the angular speed of the blades in radians per second, and determine the linear speed at the tip of a blade.

**INTERPRET** This problem is about converting between two units of angular speed, revolutions per minute and radians per second, as well as finding linear speed given angular speed and radius.

**DEVELOP** We'll first convert the units to radians per second and then calculate the linear speed using Equation 10.3,  $v = \omega r$ .

**EVALUATE** One revolution is  $2\pi$  rad, and 1 min is 60 s, so we have

$$\omega = 21 \text{ rpm} = \frac{(21 \text{ rev/min})(2\pi \text{ rad/rev})}{60 \text{ s/min}} = 2.2 \text{ rad/s}$$

The speed at the tip of a 28-m-long blade then follows from Equation 10.3:  $v = \omega r = (2.2 \text{ rad/s})(28 \text{ m}) = 62 \text{ m/s}$ .

**ASSESS** With  $\omega$  in radians per second, multiplying by length in meters gives correct velocity units of meters per second because radians are dimensionless.

## Angular Acceleration

If the angular velocity of a rotating object changes with time, then the object undergoes **angular acceleration**  $\alpha$ , defined analogously to linear acceleration:

Angular acceleration  $\alpha$  is defined  
analogously to linear acceleration  $a$ ...  
...as the rate of change, or time derivative, of angular velocity  $\omega$ .

$$\alpha = \lim_{\Delta t \rightarrow 0} \frac{\Delta\omega}{\Delta t} = \frac{d\omega}{dt} \quad (\text{angular acceleration}) \quad (10.4)$$

Taking the limit gives the instantaneous angular acceleration; if we don't take the limit, then we have an average over the time interval  $\Delta t$ . The SI units of angular acceleration are  $\text{rad/s}^2$ , although we sometimes use other units such as  $\text{rpm/s}$  or  $\text{rev/s}^2$ .

Angular acceleration has the same direction as angular velocity—CW or CCW—if the angular speed is increasing, and the opposite direction if it's decreasing. These situations are analogous to a car that's speeding up (acceleration and velocity in the same direction) or braking (acceleration opposite velocity).

When a rotating object undergoes angular acceleration, points on the object speed up or slow down. Therefore, they have **tangential acceleration**  $dv/dt$  directed parallel or antiparallel to their linear velocity (Fig. 10.4). We introduced this idea of tangential acceleration back in Chapter 3; here we can recast it in terms of the angular acceleration:

$$a_t = \frac{dv}{dt} = \frac{d(\omega r)}{dt} = r \frac{d\omega}{dt} = r\alpha \quad (\text{tangential acceleration}) \quad (10.5)$$

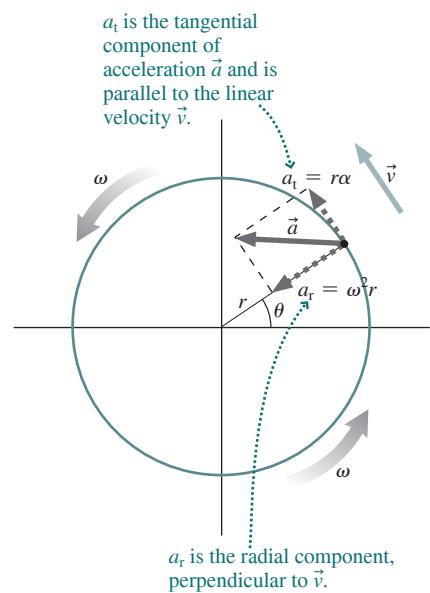
Whether or not there's angular acceleration, all the points on a rotating object also have **radial acceleration** because they're in circular motion. Radial acceleration is given, as usual, by  $a_r = v^2/r$ ; using  $v = \omega r$  from Equation 10.3, we can recast this in angular terms as  $a_r = \omega^2 r$ .

Because angular velocity and acceleration are defined analogously to linear velocity and acceleration, all the relations among linear position, velocity, and acceleration automatically apply among angular position, angular velocity, and angular acceleration. If angular acceleration is constant, then all our constant-acceleration formulas of Chapter 2 apply when we make the substitutions  $\theta$  for  $x$ ,  $\omega$  for  $v$ , and  $\alpha$  for  $a$ . Table 10.1 summarizes this direct analogy

**Table 10.1** Angular and Linear Position, Velocity, and Acceleration

Linear Quantity	Angular Quantity
Position $x$	Angular position $\theta$
Velocity $v = \frac{dx}{dt}$	Angular velocity $\omega = \frac{d\theta}{dt}$
Acceleration $a = \frac{dv}{dt} = \frac{d^2x}{dt^2}$	Angular acceleration $\alpha = \frac{d\omega}{dt} = \frac{d^2\theta}{dt^2}$

Equations for Constant Linear Acceleration	Equations for Constant Angular Acceleration
$\bar{v} = \frac{1}{2}(v_0 + v)$	$\bar{\omega} = \frac{1}{2}(\omega_0 + \omega)$
$v = v_0 + at$	$\omega = \omega_0 + at$
$x = x_0 + v_0 t + \frac{1}{2}at^2$	$\theta = \theta_0 + \omega_0 t + \frac{1}{2}\alpha t^2$
$v^2 = v_0^2 + 2a(x - x_0)$	$\omega^2 = \omega_0^2 + 2\alpha(\theta - \theta_0)$



**FIGURE 10.4** Radial and tangential acceleration.

between linear and rotational quantities. With Table 10.1, problems involving rotational motion are analogous to the one-dimensional linear problems you solved in Chapter 2.

### EXAMPLE 10.2 Linear Analogies: Spin-down

When the wind dies, the turbine of Example 10.1 spins down with constant angular acceleration of magnitude  $0.12 \text{ rad/s}^2$ . How many revolutions does the turbine make before coming to a stop?

**INTERPRET** The key to problems involving rotational motion is to identify the analogous situation for linear motion. This problem is analogous to asking how far a braking car travels before coming to a stop. We identify the number of rotations—the angular displacement—as the analog of the car’s linear displacement. The given angular acceleration is analogous to the car’s braking acceleration. The initial angular speed ( $2.2 \text{ rad/s}$ , from Example 10.1) is analogous to the car’s initial speed. And in both cases the final state we’re interested in has zero speed—whether linear or angular.

**DEVELOP** Our plan is to develop the analogy further so we can find the angular displacement. The easiest way to solve the linear problem would be to use Equation 2.11,  $v^2 = v_0^2 + 2a(x - x_0)$ , with  $v = 0$ ,  $v_0$  the initial velocity,  $a$  the car’s acceleration, and  $\Delta x = x - x_0$  the

distance we’re solving for. In Table 10.1, Equation 10.9 is the analogous equation for rotational motion:  $\omega^2 = \omega_0^2 + 2\alpha\Delta\theta$ , where we’ve written  $\theta - \theta_0 = \Delta\theta$  for the rotational displacement during the spin-down.

**EVALUATE** We solve for  $\Delta\theta$ :

$$\Delta\theta = \frac{\omega^2 - \omega_0^2}{2\alpha} = \frac{0 - (2.2 \text{ rad/s})^2}{(2)(-0.12 \text{ rad/s}^2)} = 20 \text{ rad} = 3.2 \text{ revolutions}$$

where the last conversion follows because 1 revolution is  $2\pi$  radians.

**ASSESS** The turbine blades are turning rather slowly—less than 1 revolution every second—so it’s not surprising that a small angular acceleration can bring them to a halt in a short angular “distance.” Note, too, how the units work out. Also, by taking  $\omega$  as positive, we needed to treat  $\alpha$  as negative because the angular acceleration is opposite the angular velocity when the rotation rate is slowing—just as the braking car’s linear acceleration is opposite its velocity.

### GOT IT?

**10.1** A wheel undergoes constant angular acceleration, starting from rest. Which graph describes correctly the time dependence of both the transverse and radial accelerations of a point on the wheel’s rim? Explain.

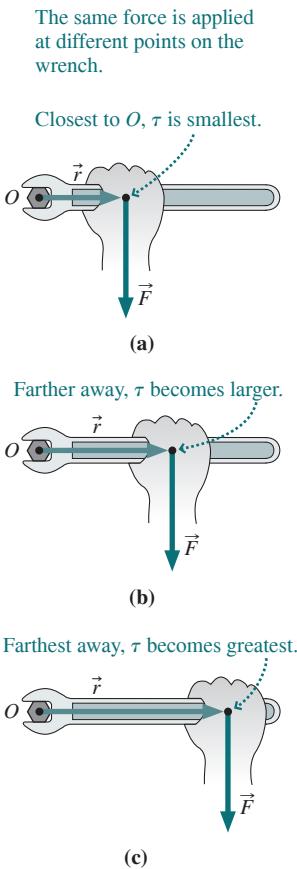
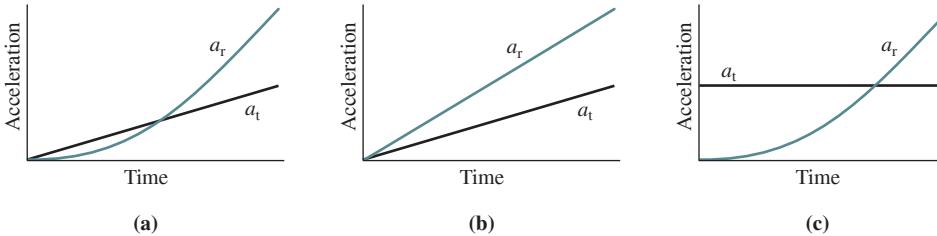


FIGURE 10.5 Torque increases with the distance  $r$  from the rotation axis  $O$  to the point where force is applied.



## 10.2 Torque

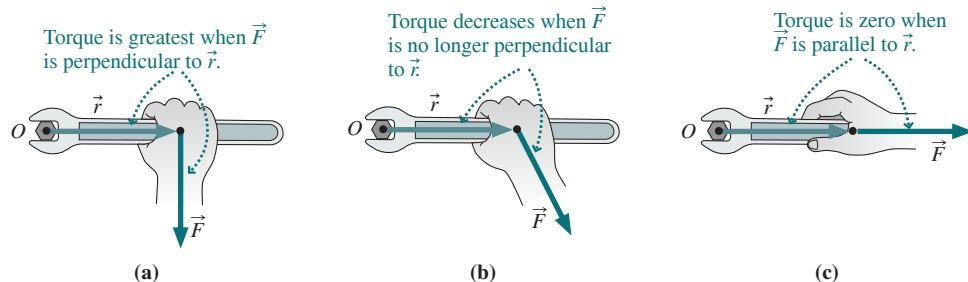
**LO 10.1** Identify and calculate rotational analogs of position, velocity, acceleration, force, and mass.

Newton’s second law,  $\vec{F} = m\vec{a}$ , proved very powerful in our study of motion. Ultimately Newton’s law governs all motion, but its application to every particle in a rotating object would be terribly cumbersome. Can we instead formulate an analogous law that deals with rotational quantities?

To develop such a law, we need rotational analogs of force, mass, and acceleration. Angular acceleration  $\alpha$  is the analog of linear acceleration; in the next two sections we develop analogs for force and mass.

How can a small child balance her father on a seesaw? By sitting far from the seesaw’s rotation axis; that way, her smaller weight at a greater distance from the pivot is as effective as her father’s greater weight closer to the pivot. In general, the effectiveness of a force in bringing about changes in rotational motion—a quantity called **torque**—depends not only on the magnitude of the force but also on how far from the rotation axis it’s applied (Fig. 10.5). The effectiveness of the force also depends on the *direction* in which it’s applied, as Fig. 10.6 suggests. Based on these considerations, we define torque as the product of the distance  $r$

The same force is applied at different angles.



**FIGURE 10.6** Torque is greatest with  $\vec{F}$  and  $\vec{r}$  at right angles, and diminishes to zero as they become colinear.

from the rotation axis and the component of force perpendicular to that axis. Torque is given the symbol  $\tau$  (Greek tau, pronounced to rhyme with “how”). Then we can write

$$\tau = rF \sin\theta \quad (10.10)$$

where  $\theta$  is the angle between the force vector and the vector  $\vec{r}$  from the rotation axis to the force application point. Figure 10.7 shows two interpretations of Equation 10.10. Figure 10.7b also defines the so-called **lever arm**.

Torque, which you can think of as a “twisting force,” plays the role of force in the rotational analog of Newton’s second law. Equation 10.10 shows that torque is measured in newton-meters. Although this is the same unit as energy, torque is a different physical quantity, so we reserve the term *joule* ( $= 1 \text{ N}\cdot\text{m}$ ) for energy.

Does torque have direction? Yes, and we’ll extend our notion of torque to provide a vector description in the next chapter. For now we’ll specify the direction as either clockwise or counterclockwise.

### EXAMPLE 10.3 Torque: Changing a Tire

You’re tightening your car’s wheel nuts after changing a flat tire. The instructions specify a tightening torque of  $95 \text{ N}\cdot\text{m}$  so the nuts won’t come loose. If your 45-cm-long wrench makes a  $67^\circ$  angle with the horizontal, with what force must you pull horizontally to produce the required torque?

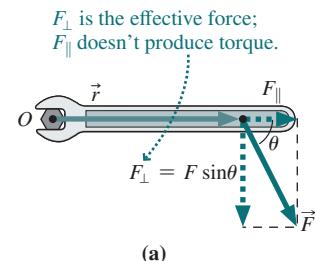
**INTERPRET** We need to find the force required to produce a specific torque, given the distance from the rotation axis and the angle the force makes with the wrench.

**DEVELOP** Figure 10.8 is our drawing, and we’ll calculate the torque using Equation 10.10,  $\tau = rF \sin\theta$ . With the force applied horizontally, comparison of Figs. 10.7a and 10.8 shows that the angle  $\theta$  in Equation 10.10 is  $180^\circ - 67^\circ = 113^\circ$ .

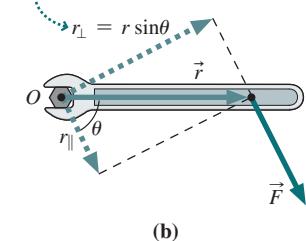
**EVALUATE** We solve Equation 10.10 for the force  $F$ :

$$F = \frac{\tau}{r \sin\theta} = \frac{95 \text{ N}\cdot\text{m}}{(0.45 \text{ m})(\sin 113^\circ)} = 230 \text{ N}$$

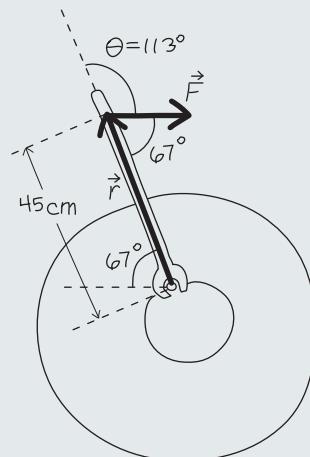
**ASSESS** Is a 230-N force reasonable? Yes: It’s roughly the force needed to lift a 23-kg ( $\sim 50\text{-lb}$ ) suitcase. Tightening torques, as in this



**r**<sub>⊥</sub> is the **lever arm**—the effective distance at which  $\vec{F}$  acts.



**FIGURE 10.7** Two ways of thinking about torque. (a)  $\tau = rF_\perp$ ; (b)  $\tau = r_\perp F$ . Both give  $\tau = rF \sin\theta$ .



**FIGURE 10.8** Our sketch of the wrench and wheel nut.

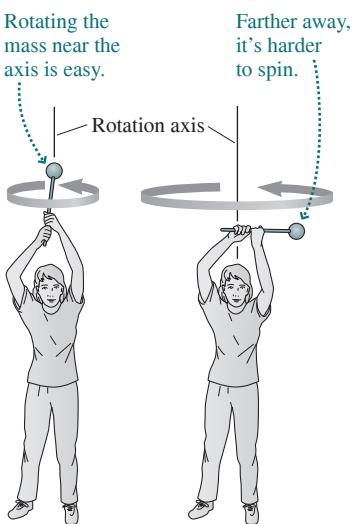
example, are often specified for nuts and bolts in critical applications. Mechanics use specially designed “torque wrenches” that provide a direct indication of the applied torque.

### GOT IT?

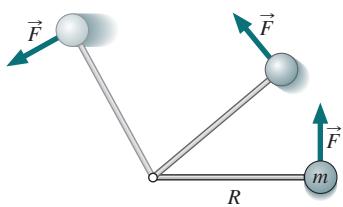
- 10.2** The forces in Figs. 10.5 and 10.6 all have the same magnitude. (1) Which of Figs. 10.5a, 10.5b, and 10.6b has the greatest torque? (2) Which of these has the least torque?



**SPECIFY THE AXIS** Torque depends on where the force is applied *relative to some rotation axis*. The same physical force results in different torques about different axes. Be sure the rotation axis is specified before you make a calculation involving torque.



**FIGURE 10.9** It's easier to set an object rotating if the mass is concentrated near the axis.



**FIGURE 10.10** A force applied perpendicular to the rod results in angular acceleration.

## 10.3 Rotational Inertia and the Analog of Newton's Law

**LO 10.3** Calculate rotational inertias by summing or integrating.

**LO 10.4** Apply the rotational analog of Newton's second law.

**LO 10.5** Solve problems involving coupled linear and rotational motion.

Torque and angular acceleration are the rotational analogs of force and linear acceleration. To develop a rotational analog of Newton's law, we still need the rotational analog of mass.

The mass  $m$  in Newton's law is a measure of a body's inertia—of its resistance to changes in motion. So we want a quantity that describes resistance to changes in rotational motion. Figure 10.9 shows that it's easier to set an object rotating when its mass is concentrated near the rotation axis. Therefore, our rotational analog of inertia must depend not only on mass itself but also on the distribution of mass relative to the rotation axis.

Suppose the object in Fig. 10.9 consists of an essentially massless rod of length  $R$  with a ball of mass  $m$  on the end. We allow the object to rotate about an axis through the free end of the rod and apply a force  $\vec{F}$  to the ball, always at right angles to the rod (Fig. 10.10). The ball undergoes a tangential acceleration given by Newton's law:  $F = ma_t$ . (There's also a tension force in the rod, but because it acts along the rod, it doesn't contribute to the torque or angular acceleration.) We can use Equation 10.5 to express the tangential acceleration in terms of the angular acceleration  $\alpha$  and the distance  $R$  from the rotation axis:  $F = ma_t = m\alpha R$ . We can also express the force  $F$  in terms of its associated torque. Since the force is perpendicular to the rod, Equation 10.10 gives  $\tau = RF$ . Using our expression for  $F$ , we have

$$\tau = (mR^2)\alpha$$

Here we have Newton's law,  $F = ma$ , written in terms of rotational quantities. The torque—analogous to force—is the product of the angular acceleration and the quantity  $mR^2$ , which must therefore be the rotational analog of mass. We call this quantity the **rotational inertia** or **moment of inertia** and give it the symbol  $I$ . Rotational inertia is measured in  $\text{kg} \cdot \text{m}^2$  and accounts for both an object's mass and the distribution of that mass. Like torque, the value of the rotational inertia depends on the location of the rotation axis. Given the rotational inertia  $I$ , our rotational analog of Newton's law becomes

Torque $\tau$ is the rotational analog of force $F$	Angular acceleration $\alpha$ is the rotational analog of linear acceleration $a$ .
$\tau = I\alpha$ (rotational analog of Newton's second law) <span style="float: right;">(10.11)</span>	
Rotational inertia $I$ is the rotational analog of mass $m$ .	

Although we derived Equation 10.11 for a single, localized mass, it applies to extended objects if we interpret  $\tau$  as the net torque on the object and  $I$  as the sum of the rotational inertias of the individual mass elements making up the object.

### Calculating the Rotational Inertia

When an object consists of a number of discrete mass points, its rotational inertia about an axis is the sum of the rotational inertias of the individual mass points:

Rotational inertia $I$ of an object...	...is the sum of the rotational inertias $m_i r_i^2$ of its constituent particles.
$I = \sum m_i r_i^2$ (rotational inertia) <span style="float: right;">(10.12)</span>	

Here  $m_i$  is the mass of the  $i$ th mass point, and  $r_i$  is its distance from the rotation axis.

**EXAMPLE 10.4** **Rotational Inertia: A Sum**

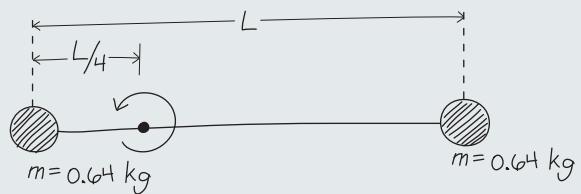
A dumbbell-shaped object consists of two equal masses  $m = 0.64 \text{ kg}$  on the ends of a massless rod of length  $L = 85 \text{ cm}$ . Calculate its rotational inertia about an axis one-fourth of the way from one end of the rod and perpendicular to it.

**INTERPRET** Here we have two discrete masses, so this problem is asking us to calculate the rotational inertia by summing over the individual masses.

**DEVELOP** Figure 10.11 is our sketch. We'll use Equation 10.12,  $I = \sum m_i r_i^2$ , to sum the two individual rotational inertias.

**EVALUATE**

$$\begin{aligned} I &= \sum m_i r_i^2 = m\left(\frac{1}{4}L\right)^2 + m\left(\frac{3}{4}L\right)^2 = \frac{5}{8}mL^2 \\ &= \frac{5}{8}(0.64 \text{ kg})(0.85 \text{ m})^2 = 0.29 \text{ kg}\cdot\text{m}^2 \end{aligned}$$



**FIGURE 10.11** Our sketch for Example 10.4, showing rotation about an axis perpendicular to the page.

**ASSESS** Make sense? Even though there are two masses, our answer is less than the rotational inertia  $mL^2$  of a single mass rotated about a rod of length  $L$ . That's because distance from the rotation axis is *squared*, so it contributes more in determining rotational inertia than does mass.

**GOT IT?**

- 10.3** Would the rotational inertia of the two-mass dumbbell in Example 10.4 (a) increase, (b) decrease, or (c) stay the same (1) if the rotation axis were at the center of the rod? (2) If it were at one end?

With continuous distributions of matter, we consider a large number of very small mass elements  $dm$  throughout the object, and sum the individual rotational inertias  $r^2 dm$  over the entire object (Fig. 10.12). In the limit of an arbitrarily large number of infinitesimally small mass elements, that sum becomes an integral:

With continuous matter the sum becomes an integral.  $I$  is the rotational inertia of an object.

$$I = \int r^2 dm \quad \left( \text{rotational inertia, continuous matter} \right) \quad (10.13)$$

$dm$  is an infinitesimal mass element... ...and  $r$  is  $dm$ 's distance from the rotation axis.

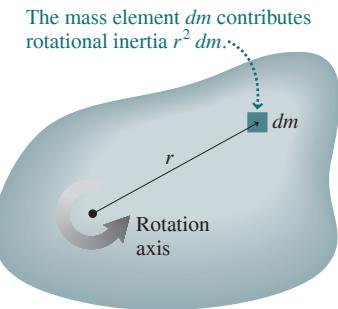
where the limits of integration cover the entire object.

**EXAMPLE 10.5** **Rotational Inertia by Integration: A Rod**  
*Worked Example with Variation Problems*

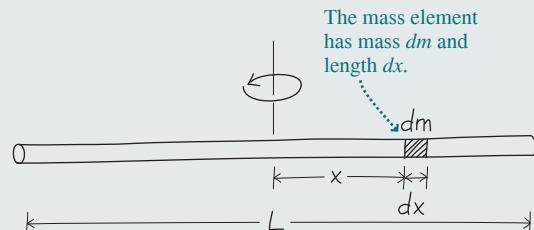
Find the rotational inertia of a uniform, narrow rod of mass  $M$  and length  $L$  about an axis through its center and perpendicular to the rod.

**INTERPRET** The rod is a continuous distribution of matter, so calculating the rotational inertia is going to involve integration. We identify the rotation axis as being in the center of the rod.

**DEVELOP** Figure 10.13 shows the rod and rotation axis; we added a coordinate system with  $x$ -axis along the rod and the origin at the rotation axis. With a continuous distribution, Equation 10.13,  $I = \int r^2 dm$ , applies. To develop a solution plan, we need to set up the integral in Equation 10.13. That equation may seem confusing because the integral contains both the geometric variable  $r$  and the mass element  $dm$ . How are they related? At this point you might want to review Tactics 9.1 (page 154); we'll follow its steps here. (1) We first find a suitable mass element; here, with a one-dimensional rod, that can be a short section of the rod. We marked a typical mass element in Fig. 10.13. (2) This step is straightforward in this



**FIGURE 10.12** Rotational inertia can be found by integrating the rotational inertias  $r^2 dm$  of the mass elements making up an object.



**FIGURE 10.13** Our sketch of the uniform rod of Example 10.5.

one-dimensional case; the length of the mass element is  $dx$ , signifying an infinitesimally short piece of the rod. (3) Now we relate  $dx$  and the mass element  $dm$ . The total mass of the rod is  $M$ , and its total length is  $L$ . With the mass distributed uniformly, that means  $dx$  is the same fraction of  $L$  that  $dm$  is of  $M$ , or  $dx/L = dm/M$ . (4) We solve for the mass element:  $dm = (M/L) dx$ .

(continued)

We're almost done. But the integral in Equation 10.13 contains  $r$ , and we've related  $dm$  and  $dx$ . No problem: On the one-dimensional rod, distances from the rotation axis are just the coordinates  $x$ . So  $r$  becomes  $x$  in our integral, and we have

$$I = \int r^2 dm = \int_{-L/2}^{L/2} x^2 \frac{M}{L} dx$$

We chose the limits to include the entire rod; with the origin at the center, it runs from  $-L/2$  to  $L/2$ .

**EVALUATE** The constants  $M$  and  $L$  come outside the integral, so we have

$$I = \int_{-L/2}^{L/2} x^2 \frac{M}{L} dx = \frac{M}{L} \int_{-L/2}^{L/2} x^2 dx = \frac{M}{L} \frac{x^3}{3} \Big|_{-L/2}^{L/2} = \frac{1}{12} ML^2 \quad (10.14)$$

**ASSESS** Make sense? In Example 10.4 we found  $I = \frac{5}{8} mL^2$  for a rod with two masses  $m$  on the ends. If you thought about GOT IT? 10.3, you probably realized that the rotational inertia would be  $\frac{1}{2} mL^2$  for rotation about the rod's center. The total mass for that one was  $M = 2m$ , so in terms of total mass the rotational inertia about the center would be  $I = \frac{1}{4} ML^2$ —a lot larger than what we've found for the continuous rod. That's because much of the continuous rod's mass is close to the rotation axis, so it contributes less to the rotational inertia.

### EXAMPLE 10.6 Rotational Inertia by Integration: A Ring

Find the rotational inertia of a thin ring of radius  $R$  and mass  $M$  about the ring's axis.

**INTERPRET** This example is similar to Example 10.5, but the geometry has changed from a rod to a ring.

**DEVELOP** Figure 10.14 shows the ring with a mass element  $dm$ . All the mass elements in the ring are the same distance  $R$  from the rotation axis, so  $r$  in Equation 10.13 is the constant  $R$ , and the equation becomes

$$I = \int R^2 dm = R^2 \int dm$$

where the integration is over the ring.

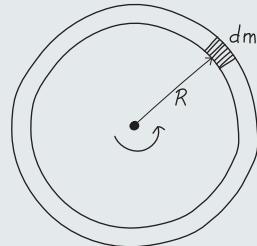


FIGURE 10.14 Our sketch of a thin ring, showing one mass element  $dm$ .

**EVALUATE** Because the sum of the mass elements over the ring is the total mass  $M$ , we find

$$I = MR^2 \quad (\text{thin ring}) \quad (10.15)$$

**ASSESS** The rotational inertia of the ring is the same as if all the mass were concentrated in one place a distance  $R$  from the rotation axis; the angular distribution of the mass about the axis doesn't matter. Notice, too, that it doesn't matter whether the ring is narrow like a loop of wire or long like a section of hollow pipe, as long as it's thin enough that all of it is essentially equidistant from the rotation axis (Fig. 10.15).

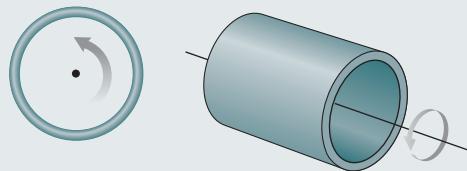


FIGURE 10.15 The rotational inertia is  $MR^2$  for any thin ring, whether it's narrow like a wire loop or long like a pipe.

### EXAMPLE 10.7 Rotational Inertia by Integration: A Disk

A disk of radius  $R$  and mass  $M$  has uniform density. Find the rotational inertia of the disk about an axis through its center and perpendicular to the disk.

**INTERPRET** Again we need to find the rotational inertia for a piece of continuous matter, this time a disk.

**DEVELOP** Because the disk is continuous, we need to integrate using Equation 10.13,  $I = \int r^2 dm$ . We'll condense the strategy we applied in Example 10.5. The result of Example 10.6 suggests dividing the disk into rings, as shown in Fig. 10.16a. Equation 10.15, with  $M \rightarrow dm$ , shows that a ring of radius  $r$  and mass  $dm$  contributes  $r^2 dm$  to the rotational inertia of the disk. Then the total inertia will be  $I = \int_0^R r^2 dm$ , where we chose the limits to pick up contributions from all the mass elements on the disk. Again we need to relate  $r$  and  $dm$ . Think of "unwinding" the ring, as shown in Fig. 10.16b; it becomes essentially a rectangle whose area  $dA$  is its circumference multiplied by its width:  $dA = 2\pi r dr$ . Next, we form ratios. The ring area  $dA$  is to

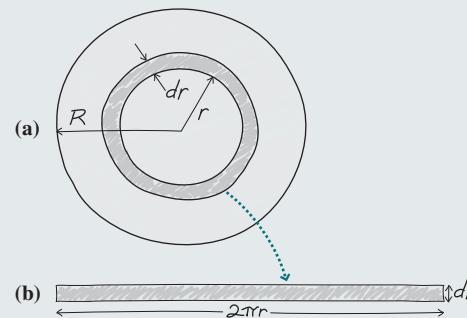


FIGURE 10.16 A disk may be divided into ring-shaped mass elements of mass  $dm$ , radius  $r$ , and width  $dr$ .

the total disk area  $\pi R^2$  as the ring mass  $dm$  is to the total mass  $M$ :  $2\pi r dr / \pi R^2 = dm/M$ . Solving for  $dm$  gives  $dm = (2Mr/R^2) dr$ .

**EVALUATE** We now evaluate the integral:

$$\begin{aligned} I &= \int_0^R r^2 dm = \int_0^R r^2 \left( \frac{2Mr}{R^2} \right) dr \\ &= \frac{2M}{R^2} \int_0^R r^3 dr = \frac{2M}{R^2} \frac{r^4}{4} \Big|_0^R = \frac{1}{2} MR^2 \quad (\text{disk}) \end{aligned} \quad (10.16)$$

**ASSESS** Again, this result makes sense. In the disk, some of the mass is closer to the rotation axis, so the rotational inertia should be less than the value  $MR^2$  for the ring.



**CONSTANTS AND VARIABLES** Note the different roles of  $R$  and  $r$  here.  $R$  represents a fixed quantity—the actual radius of the disk—and it's a constant that can go outside the integral. In contrast,  $r$  is the *integration variable*, and it changes as we range from the disk's center to its edge, adding up all the infinitesimal mass elements. Because  $r$  is a variable over the region of integration, we can't take it outside the integral.

The rotational inertias of other shapes about various axes are found by integration as in these examples. Table 10.2 lists results for some common shapes. Note that more than one rotational inertia is listed for some shapes, since the rotational inertia depends on the rotation axis.

If we know the rotational inertia  $I_{\text{cm}}$  about an axis through the center of mass of a body, a useful relation called the **parallel-axis theorem** allows us to calculate the rotational inertia  $I$  through any parallel axis. The parallel-axis theorem states that

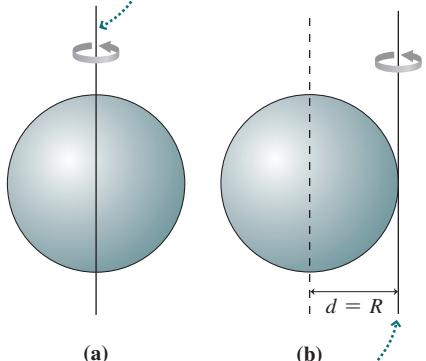
$$I = I_{\text{cm}} + Md^2 \quad (10.17)$$

where  $d$  is the distance from the center-of-mass axis to the parallel axis and  $M$  is the total mass of the object. Figure 10.17 shows the meaning of the parallel-axis theorem, which you can prove in Problem 78.

### GOT IT?

**10.4** Explain why the rotational inertia of the solid sphere in Table 10.2 is less than that of the spherical shell with the same radius and the same mass.

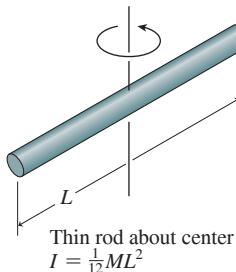
This axis is through the sphere's center, so  $I = \frac{2}{5}MR^2$ .



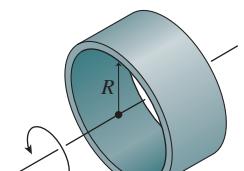
This parallel axis is ... a distance  $d = R$  away from the original axis, so  $I = \frac{2}{5}MR^2 + Md^2 = \frac{7}{5}MR^2$ .

FIGURE 10.17 Meaning of the parallel-axis theorem.

Table 10.2 Rotational Inertias

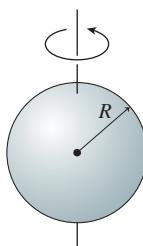


$$\text{Thin rod about center } I = \frac{1}{12}ML^2$$

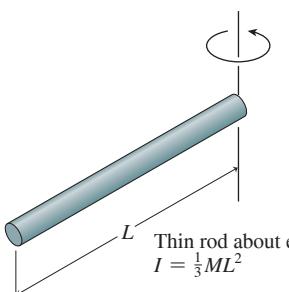
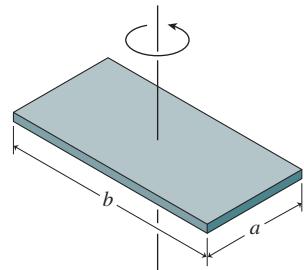


$$\text{Thin ring or hollow cylinder about its axis } I = MR^2$$

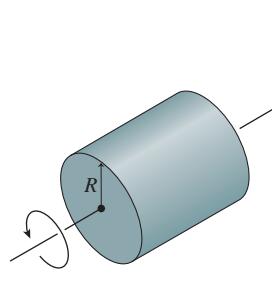
Solid sphere about diameter  $I = \frac{2}{5}MR^2$



Flat plate about perpendicular axis  $I = \frac{1}{12}M(a^2 + b^2)$

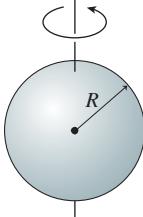


$$\text{Thin rod about end } I = \frac{1}{3}ML^2$$

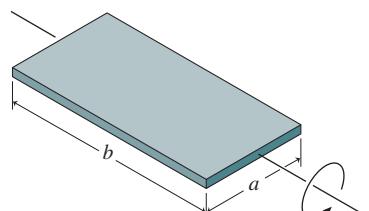


$$\text{Disk or solid cylinder about its axis } I = \frac{1}{2}MR^2$$

Hollow spherical shell about diameter  $I = \frac{2}{3}MR^2$



Flat plate about central axis  $I = \frac{1}{12}Ma^2$



## Rotational Dynamics

Knowing a body's rotational inertia, we can use the rotational analog of Newton's second law (Equation 10.11) to determine its behavior, just as we used Newton's law itself to analyze linear motion. Like the force in Newton's law, the torque in Equation 10.11 is the *net* external torque—the sum of all external torques acting on the body.

### EXAMPLE 10.8 Rotational Dynamics: De-Spinning a Satellite

A cylindrical satellite is 1.4 m in diameter, with its 940-kg mass distributed uniformly. The satellite is spinning at 10 rpm but must be stopped so that astronauts can make repairs. Two small jets, each with 20-N thrust, are mounted on opposite sides of the satellite and fire tangent to the satellite's rim. How long must the jets be fired in order to stop the satellite's rotation?

**INTERPRET** This is ultimately a problem about angular acceleration, but we're given the forces the jets exert. So it becomes a problem about calculating torque and then acceleration—that is, a problem in rotational dynamics using the rotational analog of Newton's law.

**DEVELOP** Figure 10.18 shows the situation. We're asked about the time, which we can get from the angular acceleration and initial angular speed. We can find the acceleration using the rotational analog of Newton's law, Equation 10.11, if we know both torque and rotational inertia. So here's our plan: (1) Find the satellite's rotational inertia from Table 10.2, treating it as a solid cylinder.

(2) Find the torque due to the jets using Equation 10.10,  $\tau = rF \sin \theta$ .

(3) Use the rotational analog of Newton's law—Equation 10.11,

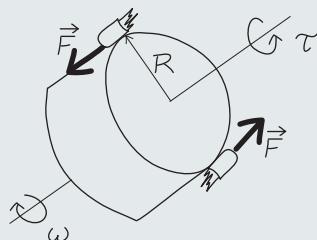


FIGURE 10.18 Torque from the jets stops the satellite's rotation.

$\tau = I\alpha$ —to find the angular acceleration. (4) Use the change in angular speed to get the time.

**EVALUATE** Following our plan, (1) the rotational inertia from Table 10.2 is  $I = \frac{1}{2}MR^2$ . (2) With the jets tangent to the satellite,  $\sin \theta$  in Equation 10.10 is 1, so each jet contributes a torque of magnitude  $RF$ , where  $R$  is the satellite radius and  $F$  the jet thrust force. With two jets, the net torque then has magnitude  $\tau = 2RF$ . (3) Equation 10.11 gives  $\alpha = \tau/I = (2RF)/(\frac{1}{2}MR^2) = 4F/MR$ . (4) We want this torque to drop the angular speed from  $\omega_0 = 10$  rpm to zero, so the magnitude of the speed change is

$$\Delta\omega = 10 \text{ rev/min} = (10 \text{ rev/min})(2\pi \text{ rad/rev})/(60 \text{ s/min}) \\ = 1.05 \text{ rad/s}$$

Since angular acceleration is  $\alpha = \Delta\omega/\Delta t$ , our final answer is

$$\Delta t = \frac{\Delta\omega}{\alpha} = \frac{MR \Delta\omega}{4F} = \frac{(940 \text{ kg})(0.70 \text{ m})(1.05 \text{ rad/s})}{(4)(20 \text{ N})} = 8.6 \text{ s}$$

**ASSESS** Make sense? Yes: The thrust  $F$  appears in the denominator, showing that a larger force and hence torque will bring the satellite more rapidly to a halt. Larger  $M$  and  $R$  contribute to a larger rotational inertia, thus lengthening the stopping time—although a larger  $R$  also means a larger torque, an effect that reduces the  $R^2$  dependence from the  $R^2$  that appears in the expression for rotational inertia.

A single problem can involve both rotational and linear motion with more than one object. The strategy for dealing with such problems is similar to the multiple-object strategy we developed in Chapter 5, where we identified the objects whose motions we were interested in, drew a free-body diagram for each, and then applied Newton's law separately to each object. We used the physical connections among the objects to relate quantities appearing in the separate Newton's law equations. Here we do the same thing, except that when an object is rotating, we use Equation 10.11, the rotational analog of Newton's law. Often the physical connection will entail relations between the force on an object in linear motion and the torque on a rotating object, as well as between the objects' linear and rotational accelerations.

### EXAMPLE 10.9 Rotational and Linear Dynamics: Into the Well

A solid cylinder of mass  $M$  and radius  $R$  is mounted on a frictionless horizontal axle over a well, as shown in Fig. 10.19. A rope of negligible mass is wrapped around the cylinder and supports a bucket of mass  $m$ . Find an expression for the bucket's acceleration as it falls down the well shaft.

**INTERPRET** If it weren't connected to the cylinder, the bucket would fall with acceleration  $g$ . But the rope exerts an upward tension force  $\vec{T}$  on the bucket, reducing its acceleration and at the same time exerting a torque on the cylinder. So we have a problem involving both

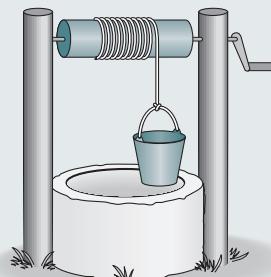


FIGURE 10.19 Example 10.9.

rotational and linear dynamics. We identify the bucket and the cylinder as the objects of interest; the bucket is in linear motion while the cylinder rotates. The connection between them is the rope.

### DEVELOP

Figure 10.20 shows free-body diagrams for the two objects; note that both involve the rope tension,  $\vec{T}$ . We chose the downward direction as positive in the bucket diagram and the clockwise direction as positive in the cylinder diagram. Now we're ready to write Newton's second law and its analog—Equation 10.11,  $\tau = I\alpha$ —for the

two objects. Our plan is to formulate both equations and solve using the connection between them—physically the rope and mathematically the magnitude of the rope tension. We have to express the torque on the cylinder in terms of the tension force, using Equation 10.10,  $\tau = rF \sin \theta$ . We also need to relate the cylinder's angular acceleration to the bucket's linear acceleration, using Equation 10.5,  $a_t = r\alpha$ .

**EVALUATE** With the downward direction positive, Newton's second law for the bucket reads  $F_{\text{net}} = mg - T = ma$ . For the cylinder

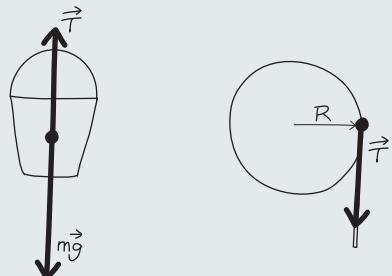


FIGURE 10.20 Our free-body diagrams for the bucket and cylinder.

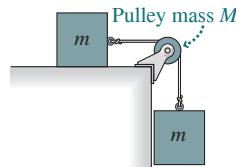
we have the rotational analog of Newton's second law:  $\tau = I\alpha$ . But here the torque is due to the rope tension, which exerts a force  $T$  at right angles to a line from the rotation axis and so produces torque  $RT$ . Then the Newton's law analog becomes  $RT = I\alpha$ . As the rope unwinds, the tangential acceleration of the cylinder's edge must be equal to the bucket's linear acceleration; thus, using Equation 10.5, we have  $\alpha = a/R$ , and the cylinder equation becomes  $RT = Ia/R$  or  $T = Ia/R^2$ . But the cylinder's rotational inertia, from Table 10.2, is  $I = \frac{1}{2}MR^2$ , so  $T = \frac{1}{2}Ma$ . Using this result in the bucket equation gives  $ma = mg - T = mg - \frac{1}{2}Ma$ ; solving for  $a$ , we then have

$$a = \frac{mg}{m + \frac{1}{2}M}$$

**ASSESS** Make sense? If  $M = 0$ , there would be no rotational inertia and we would have  $a = g$ . With no torque needed to accelerate the cylinder, there would be no rope tension and the bucket would fall freely with acceleration  $g$ . But as the cylinder's mass  $M$  increases, the bucket's deceleration drops as greater torque and thus rope tension are needed to give the cylinder its rotational acceleration. You may be surprised to see that the cylinder radius doesn't appear in our answer. That, too, makes sense: The rotational inertia scales as  $R^2$ , but both the torque and the tangential acceleration scale with  $R$ . Since the cylinder's tangential acceleration is the same as the bucket's acceleration, the increases in torque and tangential acceleration cancel the effect of a greater rotational inertia.

### GOT IT?

**10.5** The figure shows two identical masses  $m$  connected by a string that passes over a frictionless pulley whose mass  $M$  is *not* negligible. One mass rests on a frictionless table; the other hangs vertically, as shown. Is the magnitude of the tension force in the vertical section of the string (a) greater than, (b) equal to, or (c) less than that in the horizontal section? Explain.



## 10.4 Rotational Energy

### LO 10.6 Calculate rotational kinetic energy.

A rotating object has kinetic energy because all its parts are in motion. We define an object's **rotational kinetic energy** as the sum of the kinetic energies of all its individual mass elements, taken with respect to the rotation axis. Figure 10.21 shows that an individual mass element  $dm$  a distance  $r$  from the rotation axis has kinetic energy given by  $dK = \frac{1}{2}(dm)(v^2) = \frac{1}{2}(dm)(\omega r)^2$ . The rotational kinetic energy is given by summing—that is, integrating—over the entire object:

$$K_{\text{rot}} = \int dK = \int \frac{1}{2}(dm)(\omega r)^2 = \frac{1}{2}\omega^2 \int r^2 dm$$

where we've taken  $\omega^2$  outside the integral because it's the same for every mass element in the rigid, rotating object. The remaining integral is just the rotational inertia  $I$ , so we have

$$K_{\text{rot}} = \frac{1}{2}I\omega^2 \quad (\text{rotational kinetic energy}) \quad (10.18)$$

$K_{\text{rot}}$  is the rotational analog of linear kinetic energy  $K = \frac{1}{2}mv^2$ .

Rotational inertia  $I$  is the analog of mass  $m$ . Angular velocity  $\omega$  is the analog of linear velocity  $v$ .

A mass element  $dm$  has linear speed  $v = \omega r$ , giving it kinetic energy  $dK = \frac{1}{2}(dm)(\omega r)^2$ .

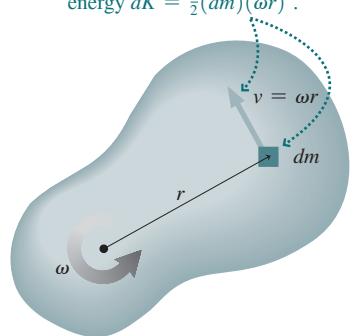


FIGURE 10.21 Kinetic energy of a mass element.

This formula makes sense in light of our analogies between linear and rotational motion: Since  $I$  and  $\omega$  are the rotational analogs of mass and speed, Equation 10.18 is the rotational equivalent of  $K = \frac{1}{2}mv^2$ .

### EXAMPLE 10.10 Rotational Energy: Flywheel Storage

A flywheel has a 135-kg solid cylindrical rotor with radius 30 cm and spins at 31,000 rpm. How much energy does it store?

**INTERPRET** We're being asked about kinetic energy stored in a rotating cylinder.

**DEVELOP** Equation 10.18,  $K_{\text{rot}} = \frac{1}{2}I\omega^2$ , gives the rotational energy. To use it, we need the rotational inertia from Table 10.2, and we need to convert the rotation rate in revolutions per minute to angular speed  $\omega$  in radians per second.

**EVALUATE** Table 10.2 gives the rotational inertia,  $I = \frac{1}{2}MR^2 = (\frac{1}{2})(135 \text{ kg})(0.30 \text{ m})^2 = 6.1 \text{ kg}\cdot\text{m}^2$ , and 31,000 rpm is equivalent to

$(31,000 \text{ rev/min})(2\pi \text{ rad/rev})/(60 \text{ s/min}) = 3246 \text{ rad/s}$ . Then Equation 10.18 gives

$$K_{\text{rot}} = \frac{1}{2}I\omega^2 = (\frac{1}{2})(6.1 \text{ kg}\cdot\text{m}^2)(3246 \text{ rad/s})^2 = 32 \text{ MJ}$$

**ASSESS** 32 MJ is roughly the energy contained in a liter of gasoline. The advantages of the flywheel over a fuel or a chemical battery are more concentrated energy storage and greater efficiency at getting energy into and out of storage; see the Application below. Can you see why the solid disk of this example isn't the most efficient flywheel design? You can explore this question further in Question 9 and Problem 77.



**WHEN TO USE RADIANS** We derived Equation 10.18,  $K = \frac{1}{2}I\omega^2$ , using Equation 10.3,  $v = \omega r$ . Since that equation works only with radian measure, the same is true of Equation 10.18.

### Energy and Work in Rotational Motion

In Section 6.3 we proved the work–kinetic energy theorem, which states that the change in an object's linear kinetic energy is equal to the net work done on the object. There the work was the product (or the integral, for a changing force) of the net force and the distance the object moves. Not surprisingly, there's an analogous relation for rotational motion: The change in an object's rotational kinetic energy is equal to the net work done on the object. Now the work is, analogously, the product (or the integral, when torque varies with angle) of the torque and the angular displacement:

$$W = \int_{\theta_i}^{\theta_f} \tau d\theta = \Delta K_{\text{rot}} = \frac{1}{2}I\omega_f^2 - \frac{1}{2}I\omega_i^2 \quad \left( \begin{array}{l} \text{work–kinetic energy theorem,} \\ \text{rotational motion} \end{array} \right) \quad (10.19)$$

Here the subscripts refer to the initial and final states.

### APPLICATION

#### Flywheel Energy Storage

Flywheels provide an attractive alternative to batteries in applications requiring short bursts of power. Examples include acceleration and hill climbing in hybrid vehicles, industrial lifting equipment and amusement park rides, power management on the electric grid, and uninterruptible power supplies. Flywheel-based hybrid vehicles would achieve high efficiency by storing mechanical energy in the flywheel during braking rather than dissipating it as heat in conventional brakes or even storing it in a chemical battery as in today's hybrids.

Equation 10.18 shows that the stored energy can be substantial, provided the flywheel has significant rotational inertia and angular speed—the latter being especially important because the energy scales as the square of the angular speed. Modern flywheels can supply tens of kilowatts of power for as long as a minute; unlike batteries, their output isn't reduced in cold weather. They achieve rotation rates of 30,000 rpm and more using advanced carbon composite materials that can withstand the forces needed to maintain the radial acceleration of magnitude  $\omega^2 r$ . Advanced flywheels spin in vacuum, using magnetic bearings to minimize friction. Some even use superconducting materials, which eliminate electrical losses that we'll examine in Chapter 26. The photo shows a high-speed flywheel for hybrid buses. It can be retrofitted into existing buses and results in some 30% increase in fuel efficiency.



**EXAMPLE 10.11 Work and Rotational Energy: Balancing a Tire**

An automobile wheel with tire has rotational inertia  $2.7 \text{ kg}\cdot\text{m}^2$ . What constant torque does a tire-balancing machine need to apply in order to spin this tire up from rest to 700 rpm in 25 revolutions?

**INTERPRET** The wheel's rotational kinetic energy changes as it spins up, so the machine must be doing work by applying a torque. Therefore, the concept behind this problem is the work–kinetic energy theorem for rotational motion.

**DEVELOP** The work–kinetic energy theorem of Equation 10.19 relates the work to the change in rotational kinetic energy:

$$W = \int_{\theta_i}^{\theta_f} \tau d\theta = \Delta K_{\text{rot}} = \frac{1}{2} I \omega_f^2 - \frac{1}{2} I \omega_i^2.$$

We're given the initial and final angular velocities, although we have to convert them to radians per second. With constant torque, the

integral in Equation 10.19 becomes the product  $\tau \Delta\theta$ , so we can solve for the torque.

**EVALUATE** The initial angular speed  $\omega_i$  is zero, and the final speed  $\omega_f = (700 \text{ rev/min})(2\pi \text{ rad/rev})/(60 \text{ s/min}) = 73.3 \text{ rad/s}$ . The angular displacement  $\Delta\theta$  is  $(25 \text{ rev})(2\pi \text{ rad/rev}) = 157 \text{ rad}$ . Then Equation 10.19 becomes  $W = \tau \Delta\theta = \frac{1}{2} I \omega_f^2$ , which gives

$$\tau = \frac{\frac{1}{2} I \omega_f^2}{\Delta\theta} = \frac{(\frac{1}{2})(2.7 \text{ kg}\cdot\text{m}^2)(73.3 \text{ rad/s})^2}{157 \text{ rad}} = 46 \text{ N}\cdot\text{m}$$

**ASSESS** If this torque results from a force applied at the rim of a typical 40-cm-radius tire, then the magnitude of the force would be just over 100 N, about the weight of a 10-kg mass and thus a reasonable value.

**GOT IT?**

- 10.6** A wheel is rotating at 100 rpm. To spin it up to 200 rpm will take (a) less; (b) more; (c) the same work as it took to get it from rest to 100 rpm.

## 10.5 Rolling Motion

### LO 10.7 Describe quantitatively the behavior of rolling objects.

A rolling object exhibits both rotational motion and translational motion—the motion of the whole object from place to place. How much kinetic energy is associated with each?

In Section 9.3, we found that the kinetic energy of a composite object comprises two terms: the kinetic energy of the center of mass and the internal kinetic energy relative to the center of mass. That is,  $K = K_{\text{cm}} + K_{\text{internal}}$ . A wheel of mass  $M$  moving with speed  $v$  has center-of-mass kinetic energy  $K_{\text{cm}} = \frac{1}{2} M v^2$ . In the center-of-mass frame, the wheel is simply rotating with angular speed  $\omega$  about the center of mass, so its internal kinetic energy is  $K_{\text{internal}} = \frac{1}{2} I_{\text{cm}} \omega^2$ , where the rotational inertia is taken about the center of mass. The total energy is the sum of  $K_{\text{cm}}$  and  $K_{\text{internal}}$ :

$$K_{\text{total}} = \frac{1}{2} M v^2 + \frac{1}{2} I_{\text{cm}} \omega^2 \quad (10.20)$$

When a wheel is *rolling*—moving without slipping against the ground—its translational speed  $v$  and angular speed  $\omega$  about its center of mass are related. Imagine a wheel that rolls half a revolution and therefore moves horizontally half its circumference (Fig. 10.22). Then the wheel's angular speed is the angular displacement  $\Delta\theta$ , here half a revolution, or  $\pi$  radians, divided by the time  $\Delta t$ :  $\omega = \pi/\Delta t$ . Its translational speed is the actual distance the wheel travels divided by the same time interval. But we've just argued that the wheel travels half a circumference, or  $\pi R$ , where  $R$  is its radius. So its translational speed is  $v = \pi R/\Delta t$ . Comparing our expressions for  $v$  and  $\omega$ , we see that

$$v = \omega R \quad (\text{rolling motion}) \quad (10.21)$$

Equation 10.21 looks deceptively like Equation 10.3. But it says more. In Equation 10.3,  $v = \omega r$ ,  $v$  is the linear speed of a point a distance  $r$  from the center of a rotating object. In Equation 10.21,  $v$  is the translational speed of the whole object and  $R$  is its radius. The two equations look similar because, as our argument leading to Equation 10.21 shows, an object that rolls without slipping moves with respect to the ground at the same rate that a point on its rim moves in the center-of-mass frame.

The wheel travels a distance equal to half its circumference.

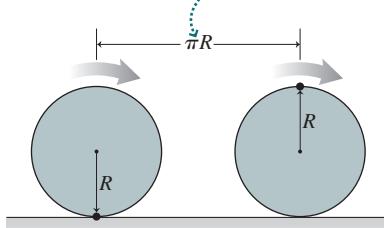


FIGURE 10.22 A rolling wheel turns through half a revolution.

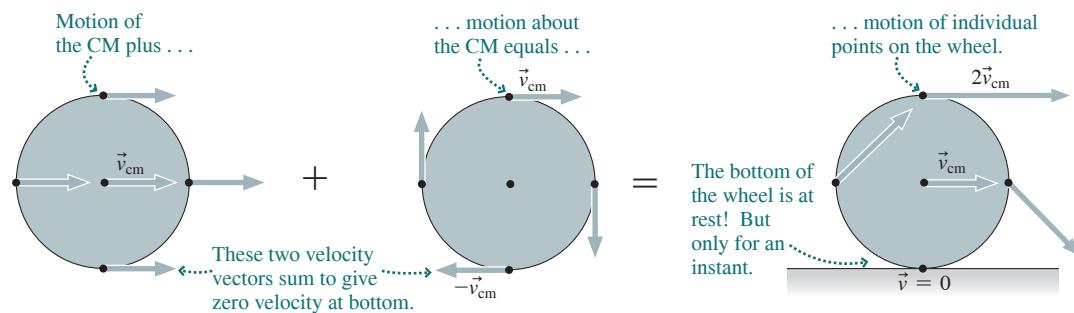


FIGURE 10.23 Motion of a rolling wheel, decomposed into translation of the entire wheel plus rotation about the center of mass.

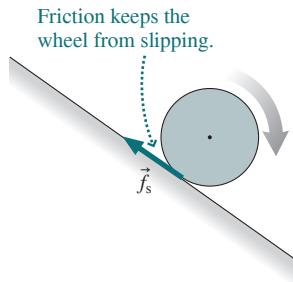


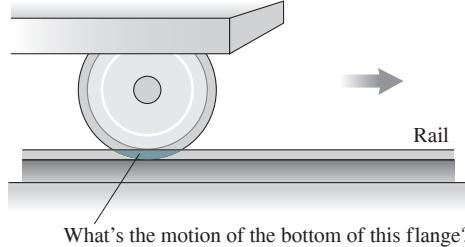
FIGURE 10.24 Rolling down a slope.

Our description of rolling motion leads to a point you may at first find absurd: In a rolling wheel, the point in contact with the ground is, instantaneously, at rest! Figure 10.23 shows how this surprising situation comes about.

Why would an object roll without slipping? The answer is friction. On an icy slope, a wheel just slides down without rolling. Normally, though, the force of static friction keeps it from sliding. Instead, it rolls (Fig. 10.24). Because the contact point is at rest, the frictional force does no work and therefore mechanical energy is conserved. This lets us use the conservation-of-energy principle to analyze rolling objects.

### GOT IT?

**10.7** The wheels of trains, subway cars, and other rail vehicles include a flange that extends beyond the part of the wheel that rolls on top of the rail, as shown. The flanges keep the train from running off the rails. Consider the bottommost point on the flange: Is it (a) moving in the direction of the train's motion; (b) instantaneously at rest; or (c) moving backward, opposite the train's motion?



### EXAMPLE 10.12

### Energy Conservation: Rolling Downhill

*Worked Example with Variation Problems*

A solid ball of mass  $M$  and radius  $R$  starts from rest and rolls down a hill. Its center of mass drops a total distance  $h$ . Find the ball's speed at the bottom of the hill.

**INTERPRET** This is similar to conservation-of-energy problems from Chapter 7, but now we identify two types of kinetic energy: translational and rotational. The ball starts on the slope with some gravitational potential energy, which ends up as kinetic energy at the bottom. The frictional force that keeps the ball from slipping does no work, so we can apply conservation of mechanical energy.

**DEVELOP** Figure 10.25 shows the situation, including bar graphs showing the distribution of energy in the ball's initial and final states. We've determined that conservation of mechanical energy holds, so  $K_0 + U_0 = K + U$ . Here  $K_0 = 0$  and, if we take the zero of potential energy at the bottom, then  $U_0 = Mgh$  and  $U = 0$ . Finally,  $K$  consists of both translational and rotational kinetic energy as expressed in Equation 10.20,  $K_{\text{total}} = \frac{1}{2}Mv^2 + \frac{1}{2}I\omega^2$ . Our plan is to use this expression in the conservation-of-energy statement and solve for  $v$ . It looks like there's an

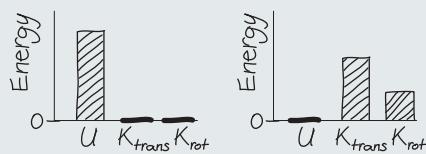
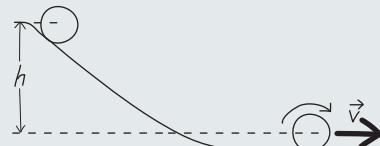


FIGURE 10.25 How fast is the ball moving at the bottom of the hill?



extra variable,  $\omega$ , that we don't know. But the ball isn't slipping, so Equation 10.21 holds and gives  $\omega = v/R$ . Then conservation of energy becomes

$$Mgh = \frac{1}{2}Mv^2 + \frac{1}{2}I\omega^2 = \frac{1}{2}Mv^2 + \frac{1}{2}\left(\frac{2}{5}MR^2\right)\left(\frac{v}{R}\right)^2 = \frac{7}{10}Mv^2$$

where we found the rotational inertia of a solid sphere,  $\frac{2}{5}MR^2$ , from Table 10.2.

**EVALUATE** Solving for  $v$  gives our answer:

$$v = \sqrt{\frac{10}{7}gh}$$

**ASSESS** This result is less than the speed  $v = \sqrt{2gh}$  for an object that slides down a frictionless incline. Make sense? Yes: Some

of the energy the rolling object gains goes into rotation, leaving less for translational motion. As often happens with gravitational problems, mass doesn't matter. Neither does radius: That factor  $\frac{7}{10}$  results from the distribution of mass that gives the sphere its particular rotational inertia and would be the same for all spheres regardless of radius or mass.

Example 10.12 shows that the final speed of an object that rolls down an incline depends on the details of its mass distribution. Therefore, objects that look superficially identical may reach the bottom of an incline at different times, if they have different mass distributions. Conceptual Example 10.1 helps you think further about this point. Another difference that can affect the speed of rolling objects is whether they roll as rigid bodies or not. When a can of liquid rolls down a ramp, for instance, the liquid need not spin as fast as the can itself (or it may not even spin at all), and therefore less energy goes into rotation—leaving more for translational motion. You can see an example by viewing the video tutorial “Canned Food Race” accessed from the QR code on page 191. After watching the video, can you see how you might distinguish a hard-boiled egg from a raw one?

### CONCEPTUAL EXAMPLE 10.1 A Rolling Race

A solid ball and a hollow ball roll without slipping down a ramp. Which reaches the bottom first?

**EVALUATE** Example 10.12 shows that when a ball rolls down a slope, some of its potential energy gets converted into rotational kinetic energy—leaving less for translational kinetic energy. As a result, it moves more slowly, and therefore takes more time, than an object that slides without rolling. Here we want to compare two rolling objects—the solid ball treated in Example 10.12 and a hollow one. With its mass concentrated at its surface, far from the rotation axis, the hollow ball has greater rotational inertia. Thus more of its energy goes into rotation, meaning its translational speed is lower, so it reaches the bottom later.

**ASSESS** Make sense? Yes: Energy is conserved for both balls, but for the hollow ball more of that energy is in rotation and less in translation. As Example 10.12 shows, neither the mass nor the radius of a ball affects its speed; all that matters is its mass distribution and hence its rotational inertia.

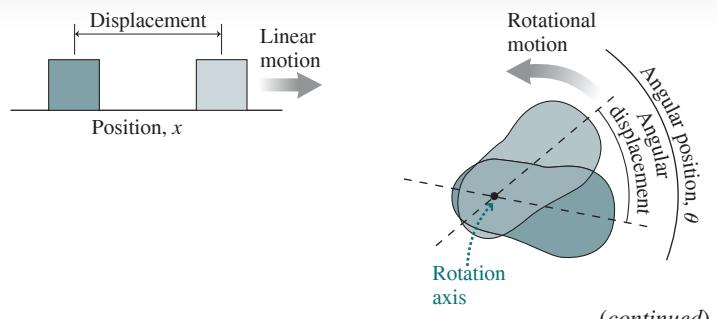
**MAKING THE CONNECTION** Compare the final speeds of the two balls in this example.

**EVALUATE** Example 10.12 gives  $\sqrt{10gh/7}$  for the speed of the solid ball after it's rolled down a vertical drop  $h$ . Substituting the hollow ball's rotational inertia,  $I = \frac{2}{3}MR^2$  from Table 10.2, in the calculation of Example 10.12 gives  $v = \sqrt{6gh/5}$ . So the solid ball is faster by a factor  $\sqrt{10/7}/\sqrt{6/5} \approx 1.1$ .

## Chapter 10 Summary

### Big Idea

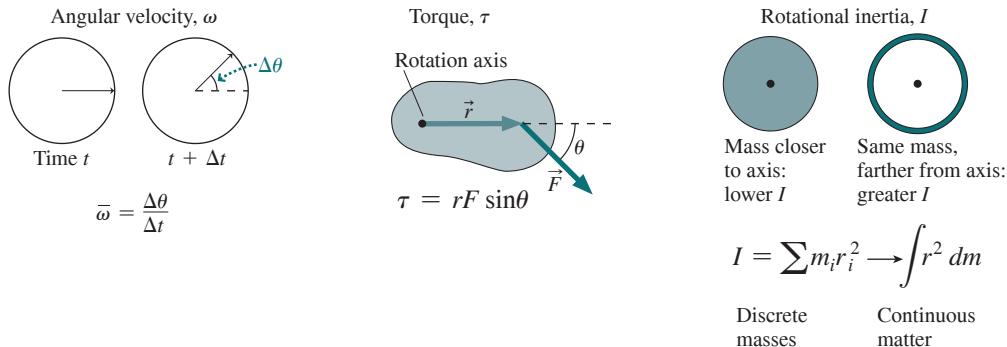
The big idea of this chapter is rotational motion, quantified as the rate of change of angular position of any point on a rotating object. All the quantities used to describe linear motion have analogs in rotational motion. The analogs of force, mass, and acceleration are, respectively, torque, rotational inertia, and angular acceleration—and together they obey the rotational analog of Newton's second law.



(continued)

## Key Concepts and Equations

The defining relations for rotational quantities are analogous to those for linear quantities, as is the statement of Newton's second law for rotational motion. Key concepts include angular velocity and acceleration, torque, and rotational inertia.



Linear Quantity or Equation	Angular Quantity or Equation	Relation between Linear and Angular Quantities
Position $x$	Angular position $\theta$	
Speed $v = dx/dt$	Angular speed $\omega = d\theta/dt$	$v = \omega r$
Acceleration $a$	Angular acceleration $\alpha$	$a_t = \alpha r$
Mass $m$	Rotational inertia $I$	$I = \int r^2 dm$
Force $F$	Torque $\tau$	$\tau = rF \sin\theta$
Kinetic energy $K_{\text{trans}} = \frac{1}{2}mv^2$	Kinetic energy $K_{\text{rot}} = \frac{1}{2}I\omega^2$	

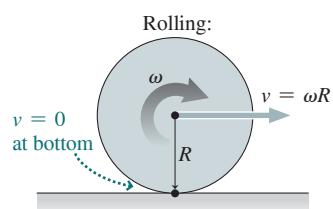
Newton's second law (constant mass or rotational inertia):  
 $F = ma$        $\tau = I\alpha$

This table summarizes the analogies between linear and rotational quantities, along with quantitative relations that link rotational and linear quantities. Many of these relations require that angles be measured in radians, and most require explicit specification of a rotation axis.

## Applications

Equations for Constant Linear Acceleration	Equations for Constant Angular Acceleration
$\bar{v} = \frac{1}{2}(v_0 + v)$	$\bar{\omega} = \frac{1}{2}(\omega_0 + \omega)$ (10.6)
$v = v_0 + at$	$\omega = \omega_0 + \alpha t$ (10.7)
$x = x_0 + v_0 t + \frac{1}{2}at^2$	$\theta = \theta_0 + \omega_0 t + \frac{1}{2}\alpha t^2$ (10.8)
$v^2 = v_0^2 + 2\alpha(x - x_0)$	$\omega^2 = \omega_0^2 + 2\alpha(\theta - \theta_0)$ (10.9)

**Constant angular acceleration:** When angular acceleration is constant, equations analogous to those of Chapter 2 apply.



**Rolling motion:** When an object of radius  $R$  rolls without slipping, the point in contact with the ground is instantaneously at rest. In this case the object's translational and rotational speeds are related by  $v = \omega R$ . The object's kinetic energy is shared among translational kinetic energy  $\frac{1}{2}Mv^2$  and rotational kinetic energy  $\frac{1}{2}I\omega^2$ , with the division between these forms dependent on the rotational inertia.

## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

### Learning Outcomes After finishing this chapter you should be able to:

- LO 10.1 Identify and calculate rotational analogs of position, velocity, acceleration, force, and mass.  
*For Thought and Discussion Questions 10.1, 10.2, 10.3, 10.4; Exercises 10.11, 10.12, 10.13, 10.18, 10.19, 10.20, 10.21, 10.22; Problems 10.53, 10.76*
- LO 10.2 Solve rotational analogs of one-dimensional constant-acceleration problems.  
*For Thought and Discussion Questions 10.7, 10.8; Exercises 10.14, 10.15, 10.16, 10.17*
- LO 10.3 Calculate rotational inertias by summing or integrating.  
*For Thought and Discussion Question 10.9; Exercises 10.23, 10.24, 10.25, 10.26, 10.27, 10.28, 10.29; Problems 10.50, 10.51, 10.52, 10.54, 10.56, 10.59, 10.65, 10.69, 10.71, 10.73, 10.74, 10.78*

- LO 10.4 Apply the rotational analog of Newton's second law.  
*Exercises 10.27, 10.28, 10.29; Problems 10.45, 10.46, 10.47, 10.48, 10.49, 10.55, 10.58, 10.59*
- LO 10.5 Solve problems involving coupled linear and rotational motion.  
*Problems 10.57, 10.60, 10.66, 10.79*
- LO 10.6 Calculate rotational kinetic energy.  
*For Thought and Discussion Questions 10.5, 10.6, 10.10; Exercises 10.30, 10.31, 10.32, 10.33; Problems 10.63, 10.64, 10.70, 10.72, 10.77*
- LO 10.7 Describe quantitatively the behavior of rolling objects.  
*For Thought and Discussion Questions 10.5, 10.6, 10.10; Exercises 10.34, 10.35, 10.36; Problems 10.61, 10.62, 10.64, 10.67, 10.68*

### For Thought and Discussion

- Do all points on a rigid, rotating object have the same angular velocity? Linear speed? Radial acceleration?
- A point on the rim of a rotating wheel has nonzero acceleration, since it's moving in a circular path. Does it necessarily follow that the wheel is undergoing angular acceleration?
- Two forces act on an object, but the net force is zero. Must the net torque be zero? If so, why? If not, give a counterexample.
- Is it possible to apply a counterclockwise torque to an object that's rotating clockwise? If so, how will the object's motion change? If not, why not?
- A solid sphere and a hollow sphere of the same mass and radius are rolling along level ground. If they have the same total kinetic energy, which is moving faster?
- A solid cylinder and a hollow cylinder of the same mass and radius are rolling along level ground at the same speed. Which has more kinetic energy?
- A circular saw takes a long time to stop rotating after the power is turned off. Without the saw blade mounted, the motor stops much more quickly. Why?
- The lower part of a horse's leg contains essentially no muscle.
- BIO** How does this help the horse to run fast? Explain in terms of rotational inertia.
- Given a fixed amount of a material, what shape should you make a flywheel so it will store the most energy at a given angular speed?
- A ball starts from rest and rolls without slipping down a slope, then starts up a frictionless slope (Fig. 10.26). Compare its maximum height on the frictionless slope with its starting height on the first slope.

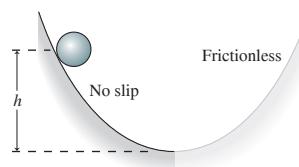


FIGURE 10.26 For Thought and Discussion 10, Problem 64

### Exercises and Problems

#### Exercises

##### Section 10.1 Angular Velocity and Acceleration

- Determine the angular speed, in rad/s, of (a) Earth about its axis; (b) the minute hand of a clock; (c) the hour hand of a clock; and (d) an eggbeater turning at 300 rpm.
- What's the linear speed of a point (a) on Earth's equator and (b) at your latitude?
- Express each of the following in radians per second: (a) 720 rpm; (b) 50°/h; (c) 1000 rev/s; (d) 1 rev/year (Earth's angular speed in its orbit).
- A 25-cm-diameter circular saw blade spins at 3500 rpm. How fast would you have to push a straight hand saw to have the teeth move through the wood at the same rate as the circular saw teeth?
- A compact disc's rotation varies from about 200 rpm to 500 rpm. If the disc plays for 74 min, what's its average angular acceleration in (a) rpm/s and (b) rad/s<sup>2</sup>?
- During startup, a power plant's turbine accelerates from rest at 0.52 rad/s<sup>2</sup>. (a) How long does it take to reach its 3600-rpm operating speed? (b) How many revolutions does it make during this time?
- A merry-go-round starts from rest and accelerates with angular acceleration 0.010 rad/s<sup>2</sup> for 14 s. (a) How many revolutions does it make during this time? (b) What's its average angular speed?

##### Section 10.2 Torque

- A 320-N frictional force acts on the rim of a 1.0-m-diameter wheel to oppose its rotational motion. Find the torque about the wheel's central axis.
- Conventional rim brakes on a bicycle apply an approximately 1-kN force at the rim of the wheel, some 60 cm in diameter. Disc brakes, which are becoming increasingly popular, apply roughly 4 kN near the outer edge of a 200-mm-diameter disc. Estimate

- the torques to determine which braking system exerts the greater torque and by approximately what factor.
20. A car tune-up manual calls for tightening the spark plugs to a torque of  $35.0 \text{ N}\cdot\text{m}$ . To achieve this torque, with what force must you pull on the end of a 24.0-cm-long wrench if you pull (a) at a right angle to the wrench shaft and (b) at  $110^\circ$  to the wrench shaft?
  21. A 55-g mouse runs out to the end of the 17-cm-long minute hand of a grandfather clock when the clock reads 10 past the hour. What torque does the mouse's weight exert about the rotation axis of the clock hand?
  22. You have your bicycle upside down for repairs. The front wheel is free to rotate and is perfectly balanced except for the 25-g valve stem. If the valve stem is 32 cm from the rotation axis and at  $24^\circ$  below the horizontal, what's the resulting torque about the wheel's axis?

### Section 10.3 Rotational Inertia and the Analog of Newton's Law

23. Four equal masses  $m$  are located at the corners of a square of side  $L$ , connected by essentially massless rods. Find the rotational inertia of this system about an axis (a) that coincides with one side and (b) that bisects two opposite sides.
24. The shaft connecting a power plant's turbine and electric generator is a solid cylinder of mass  $6.8 \text{ Mg}$  and diameter 85 cm. Find its rotational inertia.
25. The chamber of a rock-tumbling machine is a hollow cylinder with mass 120 g and radius 8.5 cm. The chamber is closed by end caps in the form of uniform circular disks, each of mass 33 g. Find (a) the rotational inertia of the chamber about its central axis and (b) the torque needed to give the chamber an angular acceleration of  $3.3 \text{ rad/s}^2$ .
26. A wheel's diameter is 92 cm, and its rotational inertia is  $7.8 \text{ kg}\cdot\text{m}^2$ . (a) What's the minimum mass it could have? (b) How could it have more mass?
27. (a) Estimate Earth's rotational inertia, assuming it to be a uniform solid sphere. (b) What torque applied to Earth would cause the length of a day to change by 1 second every century?
28. A 108-g Frisbee is 24 cm in diameter and has half its mass spread uniformly in the disk and the other half concentrated in the rim. (a) What's the Frisbee's rotational inertia? (b) With a quarter-turn flick of the wrist, a student sets the Frisbee rotating at 550 rpm. What's the magnitude of the torque, assumed constant, that the student applied?
29. At the MIT Magnet Laboratory, energy is stored in huge solid flywheels of mass  $7.7 \times 10^4 \text{ kg}$  and radius 2.4 m. The flywheels ride on shafts 41 cm in diameter. If a frictional force of  $34 \text{ kN}$  acts tangentially on the shaft, how long will it take the flywheel to come to a stop from its usual 360-rpm rotation rate?

### Section 10.4 Rotational Energy

30. A 25-cm-diameter circular saw blade has mass 0.85 kg, distributed uniformly in a disk. (a) What's its rotational kinetic energy at 3500 rpm? (b) What average power must be applied to bring the blade from rest to 3500 rpm in 3.2 s?
31. Humankind currently uses energy at the rate of about 18 TW. Suppose we found a way to extract this energy from Earth's rotation. Estimate how long it would take before the length of the day increased by 1 second.
32. A 150-g baseball is pitched at 33 m/s spinning at 42 rad/s. You can treat the baseball as a uniform solid sphere of radius 3.7 cm. What fraction of its kinetic energy is rotational?

33. (a) Find the energy stored in the flywheel of Exercise 29 when it's rotating at 360 rpm. (b) The wheel is attached to an electric generator and the rotation rate drops from 360 rpm to 300 rpm in 3.0 s. What's the average power output?

### Section 10.5 Rolling Motion

34. A solid 2.4-kg sphere is rolling at 5.0 m/s. Find (a) its translational kinetic energy and (b) its rotational kinetic energy.
35. What fraction of a solid disk's kinetic energy is rotational if it's rolling without slipping?
36. A rolling ball has total kinetic energy 100 J, 40 J of which is rotational energy. Is the ball solid or hollow?

### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

37. **Example 10.5:** (a) Find the rotational inertia of a meter stick with mass 172 g about a perpendicular axis through its center. Treat the stick as a thin rod. (b) The meter stick's width is 2.54 cm. You can treat the stick more accurately as a flat plate (see Table 10.2). If you do so, by what percentage is your answer to part (a) in error?
38. **Example 10.5:** The rotational inertia of a thin rod of mass  $M$  and length  $L$  about a perpendicular axis is  $ML^2/9$ . Where's the axis?
39. **Example 10.5:** NASA's Ames Research Center has a large centrifuge used for astronaut training. The centrifuge consists of a 3880-kg, 18.0-m-long tubular structure, which rotates about its center. Find the centrifuge's rotational inertia when two 105-kg seats are mounted at either end of the tube, 7.92 m from the rotation axis, and both are occupied by 72.6-kg astronauts. Treat the tube as a thin rod and the astronauts and seats as point masses.
40. **Example 10.5:** Repeat the preceding problem, now treating the arm more accurately as a tube with square cross section of side 2.10 m and the astronaut/chair combination as a cube with side 1.85 m with its center at 7.92 m from the rotation axis.
41. **Example 10.12:** A marble rolls down an incline, starting from rest 24.2 cm above the bottom. What's its speed when it reaches the bottom?
42. **Example 10.12:** A marble rolls down an incline, and when it's halfway down it's going at 1.12 m/s. Find (a) its starting height and (b) its speed at the bottom.
43. **Example 10.12:** A 29.5-kg wheel with radius 40.6 cm and rotational inertia  $3.58 \text{ kg}\cdot\text{m}^2$  starts from rest and rolls down a 12.6-m-high incline. (a) Find its speed at the bottom. (b) Is the wheel uniformly solid, or is its mass concentrated at the rim, or is its structure somewhere in between?
44. **Example 10.12:** A wheel with total mass 14.7 kg consists of a solid wooden disk with a thin metal rim bonded to the disk's edge. It starts from rest and rolls down an incline of height 1.00 m. At the bottom it's going at 3.38 m/s. Find the masses of the disk and the rim.

## Problems

45. A wheel turns through 2.0 revolutions while accelerating from rest at 18 rpm/s. (a) What's its final angular speed? (b) How long does it take?
46. You're an engineer designing kitchen appliances, and you're working on a two-speed food blender, with 3600 rpm and 1800 rpm settings. Specs call for the blender to make no more than 60 revolutions while it's switching from high to low speed. If it takes 1.4 s to make the transition, does it meet its specs?
47. You rev your car's engine and watch the tachometer climb steadily from 1200 rpm to 5500 rpm in 2.7 s. What are (a) the engine's angular acceleration and (b) the tangential acceleration of a point on the edge of the engine's 3.5-cm-diameter crankshaft? (c) How many revolutions does the engine make during this time?
48. A circular saw spins at 5800 rpm, and its electronic brake is supposed to stop it in less than 2 s. As a quality-control specialist, you're testing saws with a device that counts the number of blade revolutions. A particular saw turns 75 revolutions while stopping. Does it meet its specs?
49. Full-circle rotation is common in mechanical systems, but less **BIO** evident in biology. Yet many single-celled organisms are propelled by spinning, tail-like *flagella*. The flagellum of the bacterium *E. coli* spins at some 600 rad/s, propelling the bacterium at speeds around 25  $\mu\text{m}/\text{s}$ . How many revolutions does *E. coli*'s flagellum make as the bacterium crosses a microscope's field of view, which is 150- $\mu\text{m}$  wide?
50. A square frame is made from four thin rods, each of length  $L$  and mass  $m$ . Calculate its rotational inertia about the three axes shown in Fig. 10.27.

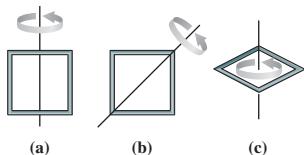


FIGURE 10.27 Problem 50

51. A thick ring has inner radius  $\frac{1}{2}R$ , outer radius  $R$ , and mass  $M$ . Find an expression for its rotational inertia. (*Hint:* Consult Example 10.7.)
52. A uniform rectangular flat plate has mass  $M$  and dimensions  $a$  by  $b$ . Use the parallel-axis theorem in conjunction with Table 10.2 to show that its rotational inertia about the side of length  $b$  is  $\frac{1}{3}Ma^2$ .
53. The cellular motor driving the flagellum in *E. coli* (see Problem 49) **BIO** exerts a typical torque of 400 pN·nm on the flagellum. If this torque results from a force applied tangentially to the outside of the 12-nm-radius flagellum, what's the magnitude of that force?
54. Verify by direct integration Table 10.2's entry for the rotational inertia of a flat plate about a central axis. (*Hint:* Divide the plate into strips parallel to the axis.)
55. You're an astronaut in the first crew of a new space station. The station is shaped like a wheel 22 m in diameter, with essentially all its  $5 \times 10^5$ -kg mass at the rim. When the crew arrives, it will be set rotating at a rate that requires an object at the rim to have radial acceleration  $g$ , thereby simulating Earth's surface gravity. This will be accomplished using two small rockets, each with 100-N thrust, mounted on the station's rim. Your

job is to determine how long to fire the rockets and the number of revolutions the station will make during the firing.

56. (a) Estimate the rotational inertia of a 60-kg ice skater by considering her body to be a cylinder and making reasonable estimates of the appropriate dimensions. Assume she's holding her arms tight to her torso, so they don't contribute significantly to her rotational inertia. (b) Then estimate the percentage increase in rotational inertia if she extends her arms fully. As you'll see in Chapter 11, the ability to change rotational inertia is what allows the skater to spin rapidly.
57. A 2.4-kg block rests on a slope and is attached by a string of negligible mass to a solid drum of mass 0.85 kg and radius 5.0 cm, as shown in Fig. 10.28. When released, the block accelerates down the slope at  $1.6 \text{ m/s}^2$ . Find the coefficient of friction between block and slope.

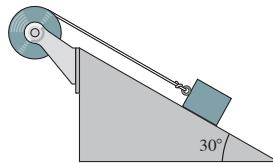


FIGURE 10.28 Problem 57

58. You've got your bicycle upside down for repairs, with its 66-cm-diameter wheel spinning freely at 230 rpm. The wheel's mass is 1.9 kg, concentrated mostly at the rim. You hold a wrench against the tire for 3.1 s, applying a 2.7-N normal force. If the coefficient of friction between wrench and tire is 0.46, what's the final angular speed of the wheel?
59. A potter's wheel is a stone disk 90 cm in diameter with mass 120 kg. If the potter's foot pushes at the outer edge of the initially stationary wheel with a 75-N force for one-eighth of a revolution, what will be the final speed?
60. A ship's anchor weighs 5.0 kN. Its cable passes over a roller of negligible mass and is wound around a hollow cylindrical drum of mass 380 kg and radius 1.1 m, mounted on a frictionless axle. The anchor is released and drops 16 m to the water. Use energy considerations to determine the drum's rotation rate when the anchor hits the water. Neglect the cable's mass.
61. Starting from rest, a hollow ball rolls down a ramp inclined at angle  $\theta$  to the horizontal. Find an expression for its speed after it's gone a distance  $d$  along the incline.
62. A hollow ball rolls along a horizontal surface at 3.7 m/s when it encounters an upward incline. If it rolls without slipping up the incline, what maximum height will it reach?
63. As an automotive engineer, you're charged with improving the fuel economy of your company's vehicles. You realize that the rotational kinetic energy of a car's wheels is a significant factor in fuel consumption, and you set out to lower it. For a typical car, the wheels' rotational energy is 40% of their translational kinetic energy. You propose a redesigned wheel with the same radius but 10% lower rotational inertia and 20% less mass. What do you report for the decrease in the wheel's total kinetic energy at a given speed?
64. A solid ball of mass  $M$  and radius  $R$  starts at rest at height  $h$  above the bottom of the path in Fig. 10.26. It rolls without slipping down the left side. The right side of the path, starting at the bottom, is frictionless. To what height does the ball rise on the right?

65. A disk of radius  $R$  has an initial mass  $M$ . Then a hole of radius  $\text{CH } R/4$  is drilled, with its edge at the disk center (Fig. 10.29). Find the new rotational inertia about the central axis. (*Hint:* Find the rotational inertia of the missing piece, and subtract it from that of the whole disk. You'll find the parallel-axis theorem helpful.)

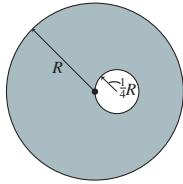


FIGURE 10.29 Problems 65 and 70

66. A 50-kg mass is tied to a massless rope wrapped around a solid cylindrical drum, mounted on a frictionless horizontal axle. When the mass is released, it falls with acceleration  $a = 3.7 \text{ m/s}^2$ . Find (a) the rope tension and (b) the drum's mass.
67. Each wheel of a 320-kg motorcycle is 52 cm in diameter and has rotational inertia  $2.1 \text{ kg}\cdot\text{m}^2$ . The cycle and its 75-kg rider are coasting at 85 km/h on a flat road when they encounter a hill. If the cycle rolls up the hill with no applied power and no significant internal friction, what vertical height will it reach?
68. A solid marble starts from rest and rolls without slipping on the loop-the-loop track in Fig. 10.30. Find the minimum starting height from which the marble will remain on the track through the loop. Assume the marble's radius is small compared with  $R$ —but that doesn't mean you can neglect it altogether!

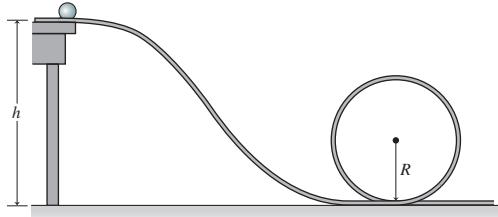


FIGURE 10.30 Problem 68

69. A disk of radius  $R$  and thickness  $w$  has a mass density that increases from the center outward, given by  $\rho = \rho_0 r/R$ , where  $r$  is the distance from the disk axis. Calculate (a) the disk's total mass  $M$  and (b) its rotational inertia about its axis in terms of  $M$  and  $R$ . Compare with the results for a solid disk of uniform density and for a ring.
70. The disk in Fig. 10.29 is rotating freely about a frictionless horizontal axle. Since the disk is unbalanced, its angular speed varies as it rotates. If the maximum angular speed is  $\omega_{\max}$ , find an expression for the minimum speed. (*Hint:* How does potential energy change as the wheel rotates?)
71. A solid disk of mass  $M$  and radius  $R$  has a thickness that's negligible compared with  $R$ . Calculate the rotational inertia of this disk about an axis coinciding with a diameter.
72. A lighter car requires less power for a given acceleration.   
 **CH** Consider a car of mass  $M$ , including its four wheels. You can approximate the wheels, with tires, as solid disks, each of mass  $m$ . (a) Derive an expression for power as the rate of change of the total kinetic energy. Your answer should contain  $M$ ,  $m$ , the car's acceleration  $a$ , and the car's speed  $v$ . Next, consider a car with

total mass 1780 kg, including four 15.8-kg wheels. Suppose you reduce this car's total mass by 10.0 kg. By what percentage does the power requirement decrease if you take this mass off (b) the nonrolling parts of the car or (c) off the wheels?

73. Calculate the rotational inertia of a solid, uniform right circular cone of mass  $M$ , height  $h$ , and base radius  $R$  about its axis.
74. A thick ring of mass  $M$  has inner radius  $R_1$  and outer radius  $R_2$ . Show that its rotational inertia is given by  $\frac{1}{2}M(R_1^2 + R_2^2)$ .
75. In the well and bucket of Example 10.9, suppose you want to turn the crank to lift the bucket with upward acceleration  $a$ . Derive an expression for the power you need to apply, as a function of  $a$  and the instantaneous speed  $v$  of the bucket.
76. The local historical society has asked your assistance in writing the interpretive material for a display featuring an old steam locomotive. You have information on the torque on a flywheel but need to know the force applied by means of an attached horizontal rod. The rod joins the wheel with a flexible connection 95 cm from the wheel's axis. The maximum torque the rod produces on the flywheel is 10.1 kN·m. What force does the rod apply?
77. You're skeptical about a new hybrid car that stores energy in a flywheel. The manufacturer claims the flywheel stores 12 MJ of energy and can supply 40 kW of power for 5 minutes. You dig deeper and find that the flywheel is a 39-cm-diameter ring with mass 48 kg that rotates at 30,000 rpm. Are the specs correct?
78. Figure 10.31 shows an object of mass  $M$  with one axis through its center of mass and a parallel axis through an arbitrary point **A**. Both axes are perpendicular to the page. The figure shows an arbitrary mass element  $dm$  and vectors connecting the center of mass, the point **A**, and  $dm$ . (a) Use the law of cosines (Appendix A) to show that  $r^2 = r_{\text{cm}}^2 + h^2 - 2 \vec{h} \cdot \vec{r}_{\text{cm}}$ . (b) Use this result in  $I = \int r^2 dm$  to calculate the object's rotational inertia about the axis through **A**. Each term in your expression for  $r^2$  leads to a separate integral. Identify one as the rotational inertia about the CM, another as the quantity  $Mh^2$ , and argue that the third is zero. Your result is a statement of the parallel-axis theorem (Equation 10.17).

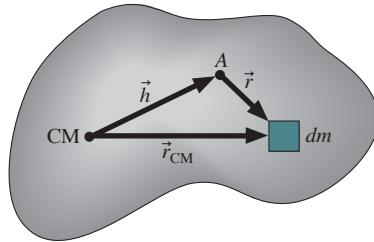


FIGURE 10.31 Problem 78

79. Figure 10.32 shows an apparatus used to measure rotational inertias of various objects, in this case spheres of varying masses **DATA**  $M$  and radii  $R$ . The spheres are made of different materials, and some are hollow while others are solid. To perform the experiment, a sphere is mounted to a vertical axle held in a frame with essentially frictionless bearings. A spool of radius  $b = 2.50 \text{ cm}$  is also mounted to the axle, and a string is wrapped around the spool. The string runs horizontally over an essentially massless, frictionless pulley and is tied to a mass  $m = 77.8 \text{ g}$ . As the mass falls, the string imparts a torque to the spool/axle/disk combination, resulting in angular acceleration. The mass of the string

is negligible, but the combination of axle and spool has non-negligible rotational inertia  $I_0$  whose value isn't known in advance. In each experimental run, the mass  $m$  is suspended a height  $h = 1.00\text{ m}$  above the floor and the rotating system is initially at rest. The mass is released, and experimenters measure the time to reach the floor. Results are given in the tables below. Determine an appropriate function of the time  $t$  which, when plotted against other quantities including  $M$  and  $R$ , should yield two straight lines—one for the hollow spheres and one for the solid ones. Plot your data, establish best-fit lines, and use the resulting slopes to verify the numerical factors  $2/5$  and  $2/3$  in the expressions for the rotational inertias of spheres given in Table 10.2. You should also find a value for the rotational inertia of the axle and drum together.

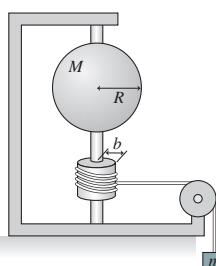


FIGURE 10.32 Problem 79

Sphere mass $M$ (g)	783	432	286	677	347
Sphere radius $R$ (cm)	6.25	3.86	9.34	9.42	9.12
Fall time $t$ (s)	2.36	1.22	2.72	3.24	2.91

Sphere mass $M$ (g)	947	189	821	544	417
Sphere radius $R$ (cm)	6.71	5.45	6.55	4.67	9.98
Fall time $t$ (s)	2.75	1.41	2.51	1.93	3.47

### Passage Problems

Centrifuges are widely used in biology and medicine to separate cells and other particles from liquid suspensions. Figure 10.33 shows top and side views of two centrifuge designs. In both designs, the round holes are for tubes holding samples to be separated; the side views show two tubes in place. The total mass and radius of the rotating structure are the same for both, the sample-hole tubes are at the same radius, and the sample tubes are identical.

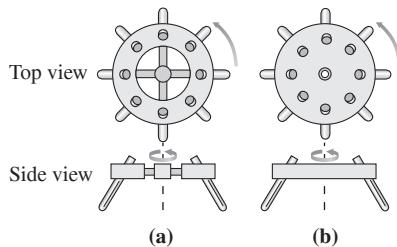


FIGURE 10.33 Two centrifuge designs, shown from the top and the side (Passage Problems 80–84).

80. Which design has greater rotational inertia?
  - a. design A
  - b. design B
  - c. Both have the same rotational inertia.
81. If both centrifuges are made thicker in the vertical direction, without changing their masses or mass distribution, their rotational inertias will
  - a. remain the same.
  - b. increase.
  - c. decrease.
82. If the sample tubes are made longer, the rotational inertia of the centrifuges with sample tubes inserted will
  - a. remain the same.
  - b. increase.
  - c. decrease.
83. While the centrifuges are spinning, the net force on samples in the tubes is
  - a. outward.
  - b. inward.
  - c. zero.
84. If a centrifuge's radius and mass are both doubled without otherwise changing the design, its rotational inertia will
  - a. double.
  - b. quadruple.
  - c. increase by a factor of 8.
  - d. increase by a factor of 16.

### Answers to Chapter Questions

#### Answer to Chapter Opening Question

The blade mass should be concentrated toward the rotation axis, thus lowering the turbine's rotational inertia—the rotational analog of mass.

#### Answers to GOT IT? Questions

- 10.1 (c) The linear speed  $v$  increases linearly with time, and the radial acceleration increases as  $v^2$ . Tangential acceleration is constant because it's proportional to angular acceleration, which we're told is constant.
- 10.2 (1) 10.5b; (2) 10.5a.
- 10.3 (1) (b) rotational inertia with axis at the center,  $(mL^2/2)$ ; (2) (a) rotational inertia with the axis at the end,  $(mL^2)$ .
- 10.4 The mass of the shell is farther from the rotation axis.
- 10.5 (a) There must be a net torque acting to increase the pulley's clockwise angular velocity. The difference in the two tension forces provides that torque.
- 10.6 (b) because the wheel's rotational kinetic energy, and hence the work required, increases as the square of its rotational speed.
- 10.7 (c)

# Rotational Vectors and Angular Momentum

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 11.1** Identify rotational quantities as vectors and determine their directions.
- LO 11.2** Calculate vector cross products.
- LO 11.3** Determine the angular momentum of a system.
- LO 11.4** Solve problems involving conservation of angular momentum.
- LO 11.5** Explain precession and determine its direction.

## Skills & Knowledge You'll Need

- Rotational analogs of one-dimensional position, velocity, and acceleration (Section 10.1)
- Rotational analogs of force, mass, and Newton's second law (Sections 10.2–10.3)
- Rotational energy (Section 10.4)

**S**ummer, fall, winter, spring: The cycle of the seasons is ultimately determined by the vector direction of Earth's angular velocity. The changing angular velocity of protons in living tissue produces MRI images that give physicians a noninvasive look inside the human body. Rising and rotating, moist, heated air forms itself into the ominous funnel of a tornado. You ride your bicycle, the rotating wheels helping stabilize what seems a precarious balance. These examples all involve rotational motion in which not only the magnitude but also the *direction* matters. They're best understood in terms of the rotational analog of Newton's law, which we introduce here in full vector form involving a rotational analog of momentum. The transition from Chapter 10 to Chapter 11 is analogous to the leap from Chapter 2's one-dimensional description of motion to the full vector description in Chapter 3. Here, as there, we'll find a new richness of phenomena involving motion.



Earth isn't quite round. How does this affect its rotation axis, and what's this got to do with ice ages? (The deviation from roundness is exaggerated in this photo.)

## 11.1 Angular Velocity and Acceleration Vectors

- LO 11.1** Identify rotational quantities as vectors and determine their directions.

So far we've ascribed direction to rotational motion using the terms *clockwise* and *countrerclockwise*. But that's not enough: To describe rotational motion fully we need to specify the direction of the rotation axis. We therefore define **angular velocity**  $\vec{\omega}$  as a vector whose magnitude is the angular speed  $\omega$  and whose direction is parallel to the rotation axis. There's an ambiguity in this definition, since there are two possible directions parallel to the axis. We resolve the ambiguity with the **right-hand rule**: If you curl the fingers of your right hand to follow the rotation, then your right thumb points in the direction of the angular velocity (Fig. 11.1). This refinement means that  $\vec{\omega}$  not only gives the angular speed and the direction of the rotation axis but also distinguishes what we would have described previously as clockwise or countrerclockwise rotation.

By analogy with the linear acceleration vector, we define angular acceleration as the rate of change of the angular velocity vector:

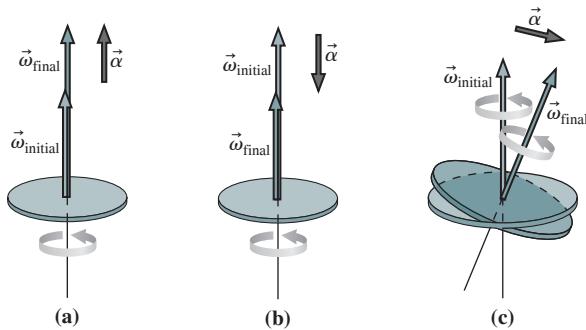
The angular acceleration vector  $\vec{\alpha}$  ...

$$\vec{\alpha} = \lim_{\Delta t \rightarrow 0} \frac{\Delta \vec{\omega}}{\Delta t} = \frac{d\vec{\omega}}{dt} \quad (\text{angular acceleration vector}) \quad (11.1)$$

... is the rate of change of the angular velocity  $\vec{\omega}$ .

where, as with Equation 10.4, we get the average angular acceleration if we don't take the limit.

Equation 11.1 says that angular acceleration points in the direction of the *change* in angular velocity. If that change is only in magnitude, then  $\vec{\omega}$  simply grows or shrinks, and  $\vec{\alpha}$  is parallel or antiparallel to the rotation axis (Fig. 11.2a, b). But a change in *direction* is also a change in angular velocity. When the angular velocity  $\vec{\omega}$  changes only in direction, then the angular acceleration vector is perpendicular to  $\vec{\omega}$  (Fig. 11.2c). More generally, both the magnitude and direction of  $\vec{\omega}$  may change; then  $\vec{\alpha}$  is neither parallel nor perpendicular to  $\vec{\omega}$ . These cases are exactly analogous to the situations we treated in Chapter 3, where acceleration parallel to velocity changes only the speed, while acceleration perpendicular to velocity changes only the direction of motion.



**FIGURE 11.2** Angular acceleration can (a) increase or (b) decrease the magnitude of the angular velocity, or (c) change its direction.

### GOT IT?

- 11.1** You're standing on the sidewalk watching a car go by on the adjacent road, moving from left to right. The direction of the angular velocities of the car's wheels is (a) toward the sidewalk; (b) in the direction of the car's forward motion; (c) toward the back of the car; (d) vertically upward; (e) away from the sidewalk; (f) different for each of the four wheels.

## 11.2 Torque and the Vector Cross Product

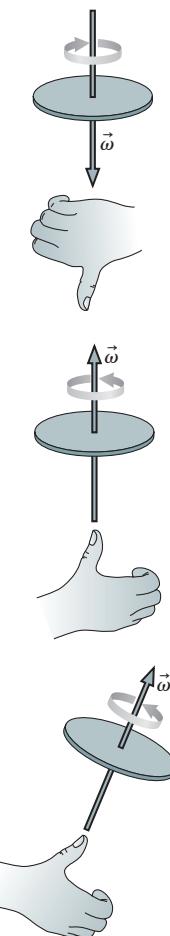
### LO 11.2 Calculate vector cross products.

Figure 11.3 shows a wheel, initially stationary, with a force applied at its rim. The torque associated with this force sets the wheel rotating in the direction shown; applying the right-hand rule, we see that angular velocity vector  $\vec{\omega}$  points upward. Since the angular speed is increasing, the angular acceleration  $\vec{\alpha}$  also points upward. So that our rotational analog of Newton's law—angular acceleration proportional to torque—will hold for directions as well as magnitudes, we'd like the torque to have an upward direction, too.

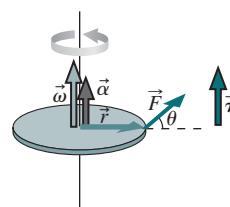
We already know the magnitude of the torque: From Equation 10.10, it's  $\tau = rF \sin \theta$ , where  $\theta$  is the angle between the vectors  $\vec{r}$  and  $\vec{F}$  in Fig. 11.3. We define the direction of the torque as being perpendicular to both  $\vec{r}$  and  $\vec{F}$ , as given by the right-hand rule shown in Fig. 11.4. You can verify that this rule gives an upward direction for the torque in Fig. 11.3.

### The Cross Product

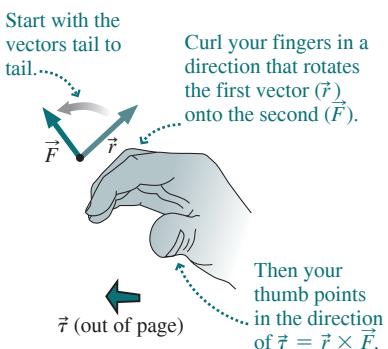
The magnitude of the torque,  $\tau = rF \sin \theta$ , is determined by the magnitudes of the vectors  $\vec{r}$  and  $\vec{F}$  and the angle between them; the direction of the torque is determined by the



**FIGURE 11.1** The right-hand rule gives the direction of the angular velocity vector.



**FIGURE 11.3** The torque vector is perpendicular to  $\vec{r}$  and  $\vec{F}$  and in the same direction as the angular acceleration. Here  $\vec{F}$  lies in the plane of the disk.



**FIGURE 11.4** The right-hand rule for the direction of torque.

vectors  $\vec{r}$  and  $\vec{F}$  through the right-hand rule. This operation—forming from two vectors  $\vec{A}$  and  $\vec{B}$  a third vector  $\vec{C}$  of magnitude  $C = AB \sin \theta$  and direction given by the right-hand rule—occurs frequently in physics and is called the **cross product**:

The cross product  $\vec{C}$  of two vectors  $\vec{A}$  and  $\vec{B}$  is written

$$\vec{C} = \vec{A} \times \vec{B}$$

and is a vector with magnitude  $AB \sin \theta$ , where  $\theta$  is the angle between  $\vec{A}$  and  $\vec{B}$ , and where the direction of  $\vec{C}$  is given by the right-hand rule of Fig. 11.4.

Torque is an instance of the cross product, and we can write the torque vector simply as

$$\vec{\tau} = \vec{r} \times \vec{F} \quad (\text{torque vector}) \quad (11.2)$$

Torque is a vector quantity...  
 ...given by the cross product of vectors  $\vec{r}$  and  $\vec{F}$ .  
 $\vec{r}$  is a vector from an arbitrary point to the point where the force is applied.  
 $\vec{F}$  is the force that produces the torque.

Both direction and magnitude are described succinctly in this equation.

### Tactics 11.1 MULTIPLYING VECTORS

The cross product  $\vec{A} \times \vec{B}$  is the second way of multiplying vectors that you've encountered. The first was the scalar product  $\vec{A} \cdot \vec{B} = AB \cos \theta$  introduced in Chapter 6 and also called the dot product. Both depend on the product of the vector magnitudes and on the angle between them. But where the dot product depends on the *cosine* of the angle and is therefore maximum when the two vectors are parallel, the cross product depends on the *sine* and is therefore maximum for perpendicular vectors. There's another crucial distinction between dot product and cross product: The dot product is a *scalar*—a single number, with no direction—while the cross product is a *vector*. That's why  $AB \cos \theta$  completely specifies the dot product, but  $AB \sin \theta$  gives only the magnitude of the cross product; it's also necessary to specify the direction via the right-hand rule.

The cross product obeys the usual distributive rule:  $\vec{A} \times (\vec{B} + \vec{C}) = \vec{A} \times \vec{B} + \vec{A} \times \vec{C}$ , but it's *not* commutative; in fact, as you can see by rotating  $\vec{F}$  onto  $\vec{r}$  instead of  $\vec{r}$  onto  $\vec{F}$  in Fig. 11.4,  $\vec{B} \times \vec{A} = -\vec{A} \times \vec{B}$ .

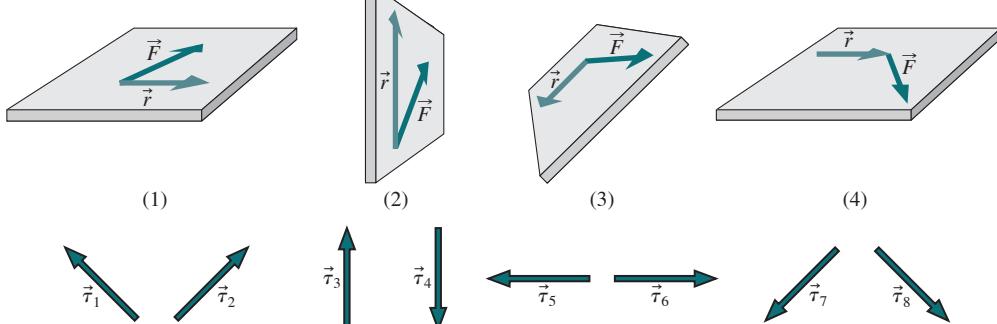
With the vectors  $\vec{A}$  and  $\vec{B}$  in component form, we developed Equation 6.4 to express the dot product in terms of components, as you can show in Problem 51:

$$\vec{A} \times \vec{B} = (A_y B_z - A_z B_y) \hat{i} + (A_z B_x - A_x B_z) \hat{j} + (A_x B_y - A_y B_x) \hat{k}$$

This expression is more complicated than Equation 6.4 for the dot product because the cross product is a vector, and also because that vector is perpendicular to both  $\vec{A}$  and  $\vec{B}$ .

### GOT IT?

**11.2** The figure shows four pairs of force and radius vectors and eight torque vectors. Which numbered torque vector goes with each pair of force–radius vectors? Consider only direction, not magnitude.



## 11.3 Angular Momentum

### LO 11.3 Determine the angular momentum of a system.

We first used Newton's law in the form  $\vec{F} = m\vec{a}$ , but later found the momentum form  $\vec{F} = d\vec{p}/dt$  especially powerful. The same is true in rotational motion: To explore fully some surprising aspects of rotational dynamics, we need to define angular momentum and develop a relation between its rate of change and the applied torque. Once we've done that, we'll be able to answer questions like why a gyroscope doesn't fall over and how spinning protons yield MRI images of your body's innards.

Like other rotational quantities, angular momentum is always specified with respect to a given point or axis. We begin with the **angular momentum**  $\vec{L}$  of a single particle:

If a particle with linear momentum  $\vec{p}$  is at position  $\vec{r}$  with respect to some point, then its angular momentum  $\vec{L}$  about that point is defined as

$$\vec{L} = \vec{r} \times \vec{p} \quad (\text{angular momentum}) \quad (11.3)$$

$\vec{L}$  is the angular momentum vector for a single particle.  
 $\vec{r}$  is the particle's position relative to an arbitrary point or axis.  
It's given by the cross product of vectors  $\vec{r}$  and  $\vec{p}$ .  
 $\vec{p}$  is the particle's linear momentum  $m\vec{v}$ .

### EXAMPLE 11.1

#### Calculating Angular Momentum: A Single Particle

##### Worked Example with Variation Problems

A particle of mass  $m$  moves counterclockwise at speed  $v$  around a circle of radius  $r$  in the  $x$ - $y$  plane. Find its angular momentum about the center of the circle, and express the answer in terms of its angular velocity.

**INTERPRET** We're given the motion of a particle—namely, uniform motion in a circle—and asked to find the corresponding angular momentum and its relation to angular velocity.

**DEVELOP** Figure 11.5 is our sketch, showing the particle in its circular path. We added an  $xyz$  coordinate system with the circular path in the  $x$ - $y$  plane. Equation 11.3,  $\vec{L} = \vec{r} \times \vec{p}$ , gives the angular momentum in terms of the position vector  $\vec{r}$  and the linear momentum  $\vec{p}$ . We know that linear momentum is the product  $m\vec{v}$ , so we have everything we need to apply Equation 11.3. We'll then express our result in terms of angular velocity using  $v = \omega r$ .

**EVALUATE** Figure 11.5 shows that the linear momentum  $m\vec{v}$  is perpendicular to  $\vec{r}$ , so  $\sin\theta = 1$  in the cross product, and the magnitude of the angular momentum becomes  $L = mrv$ . Applying the right-hand rule shows that  $\vec{L}$  points in the  $z$ -direction, so we can write  $\vec{L} = mvr\hat{k}$ . But  $v = \omega r$ , and the right-hand rule shows that  $\vec{\omega}$ , too, points in the  $z$ -direction. So we can write

$$\vec{L} = mvr\hat{k} = mr^2\omega\hat{k} = mr^2\vec{\omega}$$

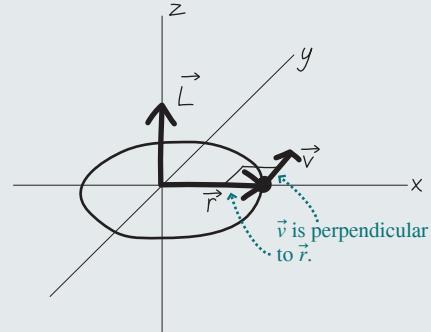


FIGURE 11.5 Finding the angular momentum  $\vec{L}$  of a particle moving in a circle.

**ASSESS** Make sense? The faster the particle is going, the more linear momentum it has. But angular momentum depends on linear momentum and distance from the rotation axis, so at a given angular speed, the angular momentum scales as the *square* of the radius.

Angular momentum is the rotational analog of linear momentum  $\vec{p} = m\vec{v}$ . Since rotational inertia  $I$  is the analog of mass  $m$ , and angular velocity  $\vec{\omega}$  is the analog of linear velocity  $\vec{v}$ , you might expect that we could write

$$\vec{L} = I\vec{\omega} \quad (11.4)$$

The rotational inertia of a single particle is  $mr^2$ , so you can see that the result of Example 11.1 can indeed be written  $\vec{L} = I\vec{\omega}$ . Equation 11.4 also holds for symmetric objects like a wheel or sphere rotating about a fixed axis. But in more complicated cases, Equation 11.4 may not hold; surprisingly,  $\vec{L}$  and  $\vec{\omega}$  can even have different directions. We'll leave such cases for more advanced courses.

We emphasize again that angular momentum isn't absolute, but—as with other rotational quantities—it depends on your choice of rotation axis. If that arbitrariness bothers you, note that there's an analogous arbitrariness to linear momentum. If an object has velocity  $\vec{v}$  with respect to you, then it's got linear momentum  $\vec{p} = m\vec{v}$ —but only as measured by you or others at rest with respect to you. Jump into another reference frame, where the object is moving with some other velocity  $\vec{v}'$ , and now its momentum has the different value  $m\vec{v}'$ —which might even be zero if you're at rest with respect to the object. No problem; you just have to know what reference frame you're working in. Analogously, with angular momentum, you have to know what rotation axis or point you're considering as you calculate  $\vec{L}$ .

## Torque and Angular Momentum

We're now ready to develop the full vector analog of Newton's law in the form  $\vec{F} = d\vec{P}/dt$ . Recall that  $\vec{F}$  here is the *net* external force on a system, and  $\vec{P}$  is the system's momentum—the vector sum of the momenta of its constituent particles. Can we write, by analogy,  $\vec{\tau} = d\vec{L}/dt$ ? To see that we can, we write the angular momentum of a system as the sum of the angular momenta of its constituent particles:

$$\vec{L} = \sum \vec{L}_i = \sum (\vec{r}_i \times \vec{p}_i)$$

where the subscript  $i$  refers to the  $i$ th particle. Differentiating gives

$$\frac{d\vec{L}}{dt} = \sum \left( \vec{r}_i \times \frac{d\vec{p}_i}{dt} + \frac{d\vec{r}_i}{dt} \times \vec{p}_i \right)$$

where we've applied the product rule for differentiation, being careful to preserve the order of the cross product since it's not commutative. But  $d\vec{r}_i/dt$  is the velocity of the  $i$ th particle, so the second term in the sum is the cross product of velocity  $\vec{v}$  and momentum  $\vec{p} = m\vec{v}$ . Since these two vectors are parallel, their cross product is zero, and we're left with only the first term in the sum:

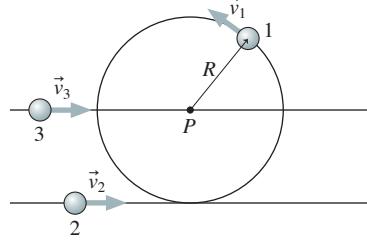
$$\frac{d\vec{L}}{dt} = \sum \left( \vec{r}_i \times \frac{d\vec{p}_i}{dt} \right) = \sum (\vec{r}_i \times \vec{F}_i)$$

where we've used Newton's law to write  $d\vec{p}_i/dt = \vec{F}_i$ . But  $\vec{r}_i \times \vec{F}_i$  is the torque  $\vec{\tau}_i$  on the  $i$ th particle, so

$$\frac{d\vec{L}}{dt} = \sum \vec{\tau}_i$$

The sum here includes both external and internal torques—the latter due to interactions among the particles of the system. Newton's third law assures us that internal forces cancel in pairs, but what about *torques*? They'll cancel, too, provided the internal forces act along lines joining pairs of particles. This condition is stronger than Newton's third law alone,

## GOT IT?



**11.3** The figure shows three particles with the same mass  $m$ , all moving with the same constant speed  $v$ . Particle (1) moves in a circle of radius  $R$  about the point  $P$ , particle (2) in a straight line whose closest approach to point  $P$  is the same as the circle's radius  $R$ , and particle (3) in a straight line that passes through  $P$ . Which of these statements correctly describes the magnitudes of the particles' angular momenta?

- (a)  $L_1 = L_2 = L_3 \neq 0$ ;      (c)  $L_1 > L_2 > L_3 = 0$ ;  
 (b)  $L_1 > 0, L_2 = L_3 = 0$ ;      (d)  $L_2 = L_1 \neq 0, L_3 = 0$

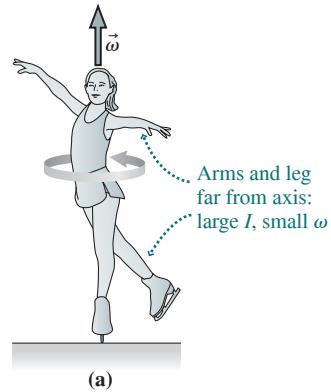
and it usually but not always holds. When it does, the sum of torques reduces to the net *external* torque, and we have

$d\vec{L}/dt$  is the rate of change of a system's angular momentum. It's analogous to the rate of change of linear momentum,  $d\vec{P}/dt$ .

$\vec{\tau}$  is the net external torque applied to the system. It's analogous to the net external force  $\vec{F}$ .

$$\frac{d\vec{L}}{dt} = \vec{\tau} \quad \left( \text{rotational analog, Newton's second law} \right) \quad (11.5)$$

where  $\vec{\tau}$  is the net external torque. Thus our analogy between linear and rotational motion holds for momentum as well as for the other quantities we've discussed.



(a)



(b)

**FIGURE 11.6** As the skater's rotational inertia decreases, her angular speed increases to conserve angular momentum.

## 11.4 Conservation of Angular Momentum

### LO 11.4 Solve problems involving conservation of angular momentum.

When there's no external torque on a system, Equation 11.5 tells us that angular momentum is constant. This statement—that the angular momentum of an isolated system cannot change—is of fundamental importance in physics, and applies to systems ranging from subatomic particles to galaxies. Because a composite system can change its configuration—and hence its rotational inertia  $I$ —conservation of angular momentum requires that angular speed increase if  $I$  decreases, and vice versa. The classic example is a figure skater who starts spinning relatively slowly with arms and leg extended and then pulls in her limbs to spin rapidly (Fig. 11.6). A more dramatic example is the collapse of a star at the end of its lifetime, explored in the next example.

### EXAMPLE 11.2

#### Conservation of Angular Momentum: Pulsars Worked Example with Variation Problems

A star rotates once every 45 days. At the end of its life, it undergoes a supernova explosion, hurling much of its mass into the interstellar medium. But the inner core of the star, whose radius is initially 20 Mm, collapses into a neutron star only 6 km in radius. As it rotates, the neutron star emits regular pulses of radio waves, making it a *pulsar*. Calculate the rotation rate, which is the same as the pulse rate that radio astronomers detect. Consider the core to be a uniform sphere, and assume that no external torques act during the collapse.

**INTERPRET** Here we're given the radius and rotation rate of the stellar core before collapse and asked for the rotation rate afterward. That

kind of “before and after” question often calls for the application of a conservation law. In this case there's no external torque, so it's angular momentum that's conserved.

**DEVELOP** The magnitude of the angular momentum is  $I\omega$ , so our plan is to write this expression before and after collapse, and then equate the two to find the new rotation rate:  $I_1\omega_1 = I_2\omega_2$ . We need to use Table 10.2's expression for the rotational inertia of a solid sphere:  $I = \frac{2}{5}MR^2$ .

**EVALUATE** Given  $I$ , our statement of angular momentum conservation becomes  $\frac{2}{5}MR_1^2\omega_1 = \frac{2}{5}MR_2^2\omega_2$ , or

(continued)

$$\omega_2 = \omega_1 \left( \frac{R_1}{R_2} \right)^2 = \left( \frac{1 \text{ rev}}{45 \text{ day}} \right) \left( \frac{2 \times 10^7 \text{ m}}{6 \times 10^3 \text{ m}} \right)^2 = 2.5 \times 10^5 \text{ rev/day}$$

**ASSESS** Our answer is huge, about 3 revolutions per second. But that makes sense. This neutron star is a fantastic thing—an object with

### CONCEPTUAL EXAMPLE 11.1 On the Playground

A merry-go-round is rotating freely when a boy runs radially inward, straight toward the merry-go-round's center, and leaps on. Later, a girl runs tangent to the merry-go-round's edge, in the same direction the edge is moving, and also leaps on. Does the merry-go-round's angular speed increase, decrease, or stay the same in each case?

**EVALUATE** Because the merry-go-round is rotating freely, the only torques are those exerted by the children as they leap on. If we consider a system consisting of the merry-go-round and both children, then those torques are internal, and the system's angular momentum is conserved. In Fig. 11.7 we've sketched the situation, before either child leaps onto the merry-go-round and after both are on board.

The boy, running radially, carries no angular momentum (his linear momentum and the radius vector are in the same direction, making  $\vec{L}$  zero), so you might think he doesn't change the merry-go-round's angular speed. Yet he does, because he adds mass and therefore rotational inertia. At the same time, he doesn't change the angular momentum, so with  $I$  increased,  $\omega$  must therefore drop.

Running in the same direction as the merry-go-round's tangential velocity, the girl adds angular momentum to the system—an addition that would tend to increase the angular speed. But she also adds mass, and thus increases the rotational inertia—which, as in the boy's case, tends to decrease angular speed. So which wins out? That depends on her speed. Without knowing that, we can't tell whether the merry-go-round speeds up or slows down.

**ASSESS** The angular momentum the girl adds is the product of her linear momentum  $mv$  and the merry-go-round's radius  $R$ , while she increases the rotational inertia by  $mR^2$ . With small  $m$  and large  $v$ , she could add a lot of angular momentum without increasing the rotational inertia significantly. That would increase the merry-go-round's rotation rate. But with a large  $m$  and small  $v$ —giving the same additional angular momentum—the increase in rotational inertia would more than offset the angular momentum added, and the merry-go-round would slow down. We can't answer the question about the merry-go-round's angular speed without knowing the numbers. “Making the Connection,” right column, solves this example for a particular set of values, and you can explore a similar situation more generally in Problem 55.

more mass than the entire Sun, crammed into a diameter of about 8 miles. It's because of that dramatic reduction in radius—and thus in rotational inertia—that the pulsar's rotation rate is so high. Note that in a case like this, where  $\omega$  appears on both sides of the equation, it isn't necessary to convert to radian measure.

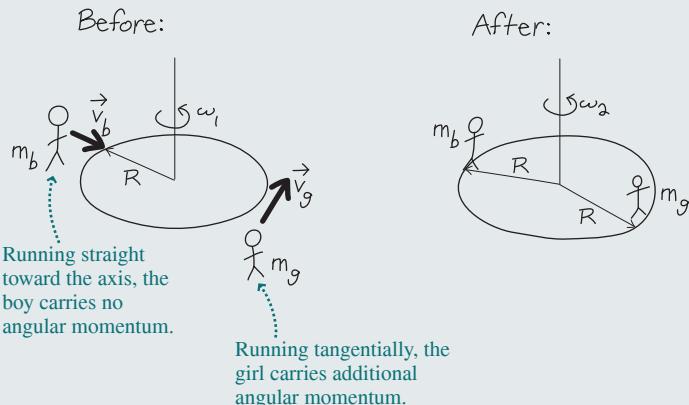


FIGURE 11.7 Our diagrams for Conceptual Example 11.1.

**MAKING THE CONNECTION** Take the merry-go-round's radius to be  $R = 1.3 \text{ m}$ , its rotational inertia  $I = 240 \text{ kg}\cdot\text{m}^2$ , and its initial angular speed  $\omega_{\text{initial}} = 11 \text{ rpm}$ . The boy's and girl's masses are, respectively, 28 kg and 32 kg, and they run, respectively, at 2.5 m/s and 3.7 m/s. Find the merry-go-round's angular speed  $\omega_{\text{final}}$  after both children are on board.

**EVALUATE** Following the conceptual example, take the system to include the merry-go-round and the two children. Before the children leap on, both the merry-go-round itself and the girl carry angular momentum; afterward, with children and merry-go-round rotating with a common angular speed, they all do. Thus conservation of angular momentum reads

$$I\omega_{\text{initial}} + m_g v_g R = I\omega_{\text{final}} + m_b R^2 \omega_{\text{final}} + m_g R^2 \omega_{\text{final}}$$

Solving with the given numbers yields  $\omega_{\text{final}} = 12 \text{ rpm}$ . That's not much change, so the girl's effect must have been a speed increase, but only a little more than enough to overcome the boy's slowing effect. Note that the boy's speed didn't matter, since it didn't contribute to angular momentum or rotational inertia. And be careful with units: You've got to express all angular momenta in the same units. That means converting angular speeds to radians per second or expressing the girl's angular momentum  $m_g v_g R$  in unusual units,  $\text{kg}\cdot\text{m}^2\cdot\text{rpm}$ .



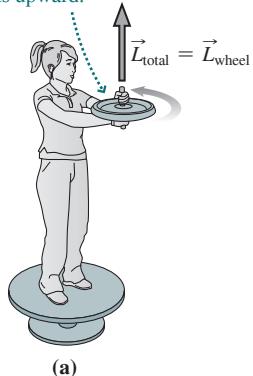
**ANGULAR MOMENTUM IN STRAIGHT-LINE MOTION** You don't have to be rotating to have angular momentum. The girl in Conceptual Example 11.1 was running in a straight line, yet she had nonzero angular momentum with respect to the merry-go-round's rotation axis. Problem 40 explores this point further.

In a popular demonstration, a student stands on a stationary turntable holding a wheel rotating about a vertical axis. The student flips the wheel upside down, and the turntable starts rotating. Figure 11.8 shows how angular momentum conservation explains this behavior. Once again, though, mechanical energy isn't conserved. In this case the student does work, exerting forces that result in torques on her body and the turntable. The end result is a greater rotational kinetic energy than was initially present.

**GOT IT?**

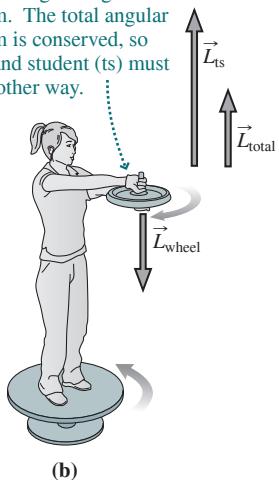
- 11.4** You step onto an initially nonrotating turntable like the one in Fig. 11.8, holding a nonrotating wheel with its axis vertical. You're careful not to exert any torques so that the turntable remains stationary as you step on. (1) If you then spin the wheel counterclockwise as viewed from above, will you and the turntable rotate (a) clockwise or (b) counterclockwise? (2) If you now turn the spinning wheel upside down, will your rotation rate (a) increase, (b) decrease, or (c) remain the same? (3) As you turn the wheel upside down, will the direction of rotation (a) remain unchanged or (b) reverse?

The student stands on a stationary turntable holding a wheel that spins counterclockwise; the wheel's angular momentum points upward.



(a)

She flips the spinning wheel, reversing its angular momentum. The total angular momentum is conserved, so turntable and student (ts) must rotate the other way.



(b)

## 11.5 Gyroscopes and Precession

### LO 11.5 Explain precession and determine its direction.

Angular momentum—a vector quantity with direction as well as magnitude—is conserved in the absence of external torques. For symmetric objects, angular momentum has the same direction as the rotation axis, so the axis can't change direction unless an external torque acts. This is the principle behind the gyroscope—a spinning object whose rotation axis remains fixed in space. The faster a gyroscope spins, the larger its angular momentum and thus the harder it is to change its orientation. Gyroscopes are widely used for navigation, where their direction-holding capability provides an alternative to the magnetic compass. More sophisticated gyroscope systems guide missiles and submarines and stabilize ships in heavy seas. Space telescopes start and stop gyroscopic wheels oriented along three perpendicular axes; to conserve angular momentum, the entire telescope reorients itself to point toward a desired astronomical object. This approach avoids rocket exhaust that would foul the telescope's superb viewing and ensures that there's no fuel to run out. Instead, solar-generated electricity operates the wheels' drive motors.

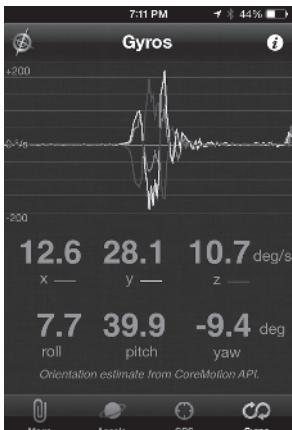
If you have a modern smartphone, it, too, contains gyroscopes. They're used to determine the phone's orientation in space; among other uses, they tell the phone how to orient its display. You can even get applications that access data from these gyroscopes directly (Fig. 11.9a). Smartphone gyroscopes are microelectromechanical systems (MEMS) devices, and they're based on vibrating rather than rotating structures (Fig. 11.9b). Similar MEMS gyroscopes are used in computer mice and video game consoles, and MEMS gyros stabilize the Segway Human Transporter.

### Precession

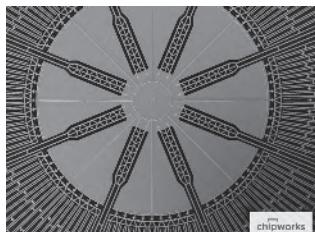
If an object does experience a net external torque, then, according to the rotational analog of Newton's law (Equation 11.5,  $d\vec{L}/dt = \vec{\tau}$ ), its angular momentum must change. For rapidly rotating objects, the result is the surprising phenomenon of **precession**—a continual change in the direction of the rotation axis, which traces out a circle. You may have seen a toy gyroscope or top precess instead of simply falling over as you might expect.

Figure 11.10 shows why precession occurs. Here a spinning gyroscope is tilted, so there's a gravitational torque acting on it. Yet it doesn't fall over. Why not? Apply the right-hand rule to the vector  $\vec{r}$  and the gravitational force vector  $\vec{F}_g$  shown in the figure, and you'll see that the torque  $\vec{\tau}$  points into the page. So, by  $\vec{\tau} = d\vec{L}/dt$ , that must also be the direction of the *change* in the angular momentum  $\vec{L}$ . And that's just what's happening: The *change*  $\Delta\vec{L}$  in the angular momentum vector is indeed into the page. So the

FIGURE 11.8 A demonstration of angular momentum conservation.

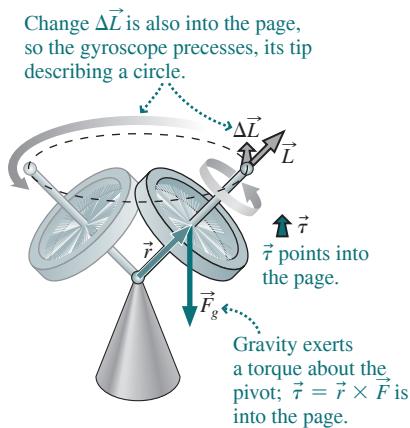


(a)

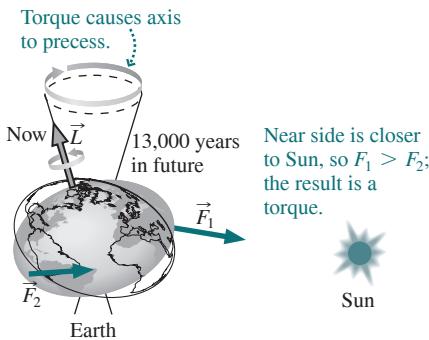


(b)

**FIGURE 11.9** (a) Smartphone displaying data from its internal gyroscopes, indicating the phone's orientation and its rate of change. Graph at top shows that the phone was recently reoriented. (b) Micro photo of a MEMS gyro like those used in smartphones. The entire structure is only about 0.5 mm across.



**FIGURE 11.10** Why doesn't the spinning gyroscope fall over?



**FIGURE 11.11** Earth's precession. The equatorial bulge is highly exaggerated.

axis of the gyroscope—which coincides with the angular momentum vector—moves into the page. Repeat this argument, and you'll see that the change  $\Delta\vec{L}$  is always perpendicular to  $\vec{L}$ ; as a result, the angular momentum vector describes a circular path, continually changing in direction but not magnitude.

So is there something special about a *rotating* gyroscope? Wouldn't a nonrotating gyroscope also obey the rotational analog of Newton's law? It would, and you can see that by applying the argument of the previous paragraph, now assuming that the gyroscope in Fig. 11.10 isn't rotating. The gravitational force and torque are still the same, with the torque into the page. The rotational analog of Newton's second law still holds, so the change  $\Delta\vec{L}$  in angular momentum is still into the page. But here's the difference: In this case the initial angular momentum is zero, so the gyroscope needs to acquire an angular momentum that points into the page. It does that by falling over, rotating about its pivot as it does so. Apply the right-hand rule to the gyroscope as it falls, and the result is an angular momentum pointing into the page. Again, the rotational analog of Newton's law is satisfied. If you're bothered that the gyroscope doesn't rotate about its shaft as before, note that there's nothing in the rotational analog of Newton's law that says how or about what

axis something has to rotate. Its falling over is a perfectly good rotational motion—although it will end when the gyroscope hits the floor and nongravitational torques begin to act.

The difference between the rotating and nonrotating gyroscope is like the difference between a satellite in circular orbit and a ball that's simply dropped from rest. Newton's law,  $\vec{F} = d\vec{p}/dt$ , governs both cases, and says that the *change* in linear momentum is in the direction of the gravitational force. The satellite already has momentum, and since it's going at the right speed for a circular orbit, this change amounts to a change in direction only. The ball has no initial momentum, so it acquires a momentum in the direction of the force—namely, downward. Substitute "rotating gyroscope" for "satellite," "nonrotating gyroscope" for "ball," "angular momentum" for "linear momentum," and "torque" for "force," and you've got the analogous explanations for the two gyroscope situations.

What determines the rate of precession? You can explore that question qualitatively in Question 10, and quantitatively in Problem 61.

Precession on the atomic scale helps explain the medical imaging technique MRI (*magnetic resonance imaging*). Protons in the body's abundant hydrogen precess because of torque resulting from a strong magnetic field. The MRI imager detects signals emitted at the precession frequency. By spatially varying the magnetic field, the device localizes the precessing protons and thus constructs high-resolution images of the body's interior.

On a much larger scale, Earth itself precesses. Because of its rotation, the planet bulges slightly at the equator. Solar gravity exerts a torque on the equatorial bulge, causing Earth's rotation axis to precess with a period of about 26,000 years (Fig. 11.11). The axis now points toward Polaris, which for that reason we call the North Star, but it won't always do so. This precession, in connection with deviations in Earth's orbit from a perfect circle, results in subtle climatic changes that are believed to be partly responsible for the onset of ice ages.

### GOT IT?

- 11.5** You push horizontally at right angles to the shaft of a spinning gyroscope, as shown in the figure. Does the shaft move (a) upward, (b) downward, (c) in the direction of your push, or (d) opposite the direction of your push?



## APPLICATION

## Bicycling

The rotational analog of Newton's second law helps explain why bicycles don't tip over. The photo shows why. If the bicycle is perfectly vertical, the gravitational force exerts no torque. But if it tips to the rider's left, as in the photo, then there's a torque  $\vec{\tau} = \vec{r} \times \vec{F}_g$  toward the rear. A stationary bicycle, with no angular momentum, would respond by tipping further left, rotating about a front-to-back axis and gaining angular momentum toward the rear. That's just as Newton requires: a change in angular momentum in the direction of the torque. But a moving bicycle already has angular momentum  $\vec{L}$  of its rotating wheels; as the photo shows, that angular momentum points generally to the rider's left. A rearward change in angular momentum then requires just a slight turn of the front wheel to the left. The rider subconsciously makes that turn, at once satisfying Newton and helping to keep the bicycle stable.

The physics of cycling is a complicated subject, and the role of angular momentum described here is only one of several effects that contribute to bicycle stability.



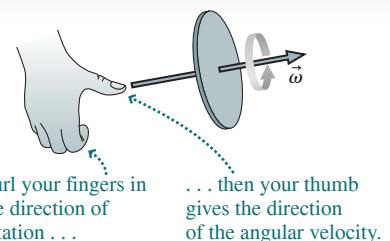
Gravitational torque is toward back of bicycle, ...into page.

Wheel turns to rider's left, changing angular momentum vector in direction of torque.

## Chapter 11 Summary

### Big Idea

The big idea of this chapter is that rotational quantities can be described as vectors, with the vector direction at right angles to the plane in which the action—motion, acceleration, or effects associated with torque—is occurring. The direction is given by the right-hand rule. A new concept, angular momentum, is the rotational analog of linear momentum. The rotational analog of Newton's law equates the net torque on a system with the rate of change of its angular momentum. In the absence of a net torque, angular momentum is conserved.



Curl your fingers in the direction of rotation . . .  
... then your thumb gives the direction of the angular velocity.

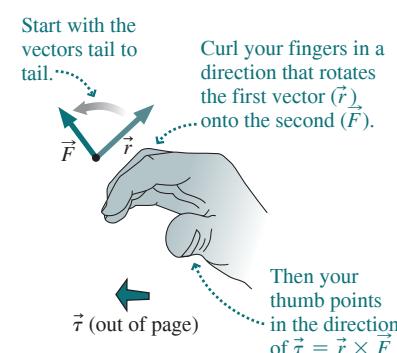
### Key Concepts and Equations

The **vector cross product** is a way of multiplying two vectors  $\vec{A}$  and  $\vec{B}$  to produce a third vector  $\vec{C}$  of magnitude  $C = AB \sin \theta$  and direction at right angles to the other two, as given by the right-hand rule. It's written as

$$\vec{C} = \vec{A} \times \vec{B}$$

**Torque** is a vector defined as the cross product of the radius vector  $\vec{r}$  from a given axis to the point where a force  $\vec{F}$  is applied:

$$\vec{\tau} = \vec{r} \times \vec{F}$$



(continued)

**Angular momentum**  $\vec{L}$  is the rotational analog of linear momentum  $\vec{p}$ . It's always defined with respect to a particular axis. For a point particle at position  $\vec{r}$  with respect to the axis, moving with linear momentum  $\vec{p} = m\vec{v}$ , the angular momentum is defined as

$$\vec{L} = \vec{r} \times \vec{p}$$

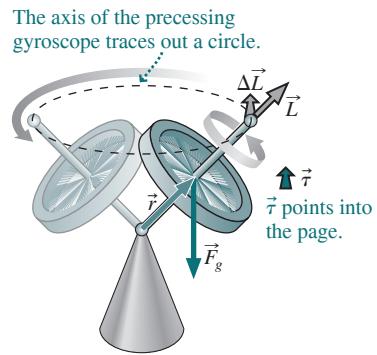
For a symmetric object with rotational inertia  $I$  rotating with angular velocity  $\vec{\omega}$ , angular momentum becomes  $\vec{L} = I\vec{\omega}$ . In terms of angular momentum, the rotational analog of Newton's law states that the rate of change of angular momentum is equal to the net external torque:

$$\frac{d\vec{L}}{dt} = \vec{\tau}_{\text{net}}$$

If the external torque on a system is zero, then its angular momentum cannot change.

## Applications

Conservation of angular momentum explains the action of gyroscopes—spinning objects whose rotation axis remains fixed in the absence of a net external torque. If an external torque is applied, the rotation axis undergoes a circular motion known as **precession**. Precession occurs in systems ranging from subatomic particles to tops and gyroscopes and on to planets.



## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 11.1 Identify rotational quantities as vectors and determine their directions.

*For Thought and Discussion Questions 11.1, 11.2, 11.3; Exercises 11.11, 11.12, 11.13, 11.14*

- LO 11.2 Calculate vector cross products.

*For Thought and Discussion Question 11.4; Exercises 11.15, 11.16, 11.17; Problems 11.35, 11.36, 11.37, 11.38, 11.42, 11.51, 11.52, 11.64*

- LO 11.3 Determine the angular momentum of a system.

*For Thought and Discussion Questions 11.7, 11.8; Exercise 11.18, 11.21; Problems 11.39, 11.40, 11.41, 11.43, 11.44, 11.45, 11.54, 11.56, 11.60, 11.63, 11.64*

- LO 11.4 Solve problems involving conservation of angular momentum.

*For Thought and Discussion Questions 11.5, 11.6; Problems 11.46, 11.47, 11.48, 11.49, 11.50, 11.53, 11.55, 11.57, 11.58, 11.62*

- LO 11.5 Explain precession and determine its direction.

*For Thought and Discussion Questions 11.9, 11.10; Problem 11.61*

## For Thought and Discussion

- Does Earth's angular velocity vector point north or south?
- Figure 11.12 shows four forces acting on a body. In what directions are the associated torques about point  $O$ ? About point  $P$ ?

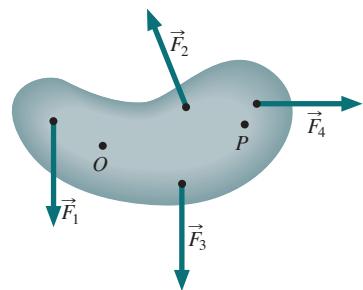


FIGURE 11.12 For Thought and Discussion 2

- You stand with your right arm extended horizontally to the right. What's the direction of the gravitational torque about your shoulder?
- What's the angle between two vectors if their dot product is equal to the magnitude of their cross product?
- Why does a tetherball move faster as it winds up its pole?
- A group of polar bears is standing around the edge of a slowly rotating ice floe. If the bears all walk to the center, what happens to the rotation rate?
- Tornadoes in the northern hemisphere rotate counterclockwise as viewed from above. A far-fetched idea suggests that driving on the right side of the road may increase the frequency of tornadoes. Does this idea have any merit? Explain in terms of the angular momentum imparted to the air as two cars pass going in opposite directions.

8. Does a particle moving at constant speed in a straight line have angular momentum about a point on the line? About a point not on the line? In either case, is its angular momentum constant?
9. Why is it easier to balance a basketball on your finger if it's spinning?
10. If you increase the rotation rate of a precessing gyroscope, will the precession rate increase or decrease?

## Exercises and Problems

### Exercises

#### Section 11.1 Angular Velocity and Acceleration Vectors

11. A car is headed north at 70 km/h. Give the magnitude and direction of the angular velocity of its 62-cm-diameter wheels.
12. If the car of Exercise 11 makes a 90° left turn lasting 25 s, determine the average angular acceleration of the wheels.
13. A wheel is spinning at 45 rpm with its axis vertical. After 15 s, it's spinning at 60 rpm with its axis horizontal. Find (a) the magnitude of its average angular acceleration and (b) the angle the average angular acceleration vector makes with the horizontal.
14. A wheel is spinning about a horizontal axis with angular speed 140 rad/s and with its angular velocity pointing east. Find the magnitude and direction of its angular velocity after an angular acceleration of 35 rad/s<sup>2</sup>, pointing 68° west of north, is applied for 5.0 s.

#### Section 11.2 Torque and the Vector Cross Product

15. A 12-N force is applied at the point  $x = 3 \text{ m}$ ,  $y = 1 \text{ m}$ . Find the torque about the origin if the force points in (a) the  $x$ -direction, (b) the  $y$ -direction, and (c) the  $z$ -direction.
16. A force  $\vec{F} = 1.3\hat{i} + 2.7\hat{j} \text{ N}$  is applied at the point  $x = 3.0 \text{ m}$ ,  $y = 0 \text{ m}$ . Find the torque about (a) the origin and (b) the point  $x = -1.3 \text{ m}$ ,  $y = 2.4 \text{ m}$ .

**BIO** When you hold your arm outstretched, it's supported primarily by the deltoid muscle. Figure 11.13 shows a case in which the deltoid exerts a 67-N force at 15° to the horizontal. If the force-application point is 18 cm horizontally from the shoulder joint, what torque does the deltoid exert about the shoulder?

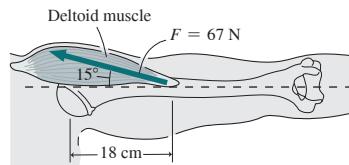


FIGURE 11.13 Exercise 17

#### Section 11.3 Angular Momentum

18. Express the units of angular momentum (a) using only the fundamental units kilogram, meter, and second; (b) in a form involving newtons; (c) in a form involving joules.
19. Use data from Appendix E to make an order-of-magnitude estimate for the angular momentum of our Solar System about the galactic center.
20. A gymnast of rotational inertia  $62 \text{ kg}\cdot\text{m}^2$  is tumbling head over heels with angular momentum  $470 \text{ kg}\cdot\text{m}^2/\text{s}$ . What's her angular speed?
21. A 640-g hoop 90 cm in diameter is rotating at 170 rpm about its central axis. What's its angular momentum?
22. A 7.4-cm-diameter baseball has mass 145 g and is spinning at 2000 rpm. Treating the baseball as a uniform solid sphere, what's its angular momentum?

#### Section 11.4 Conservation of Angular Momentum

23. A potter's wheel with rotational inertia  $6.40 \text{ kg}\cdot\text{m}^2$  is spinning freely at 19.0 rpm. The potter drops a 2.70-kg lump of clay onto

the wheel, where it sticks 46.0 cm from the rotation axis. What's the wheel's subsequent angular speed?

24. A 3.0-m-diameter merry-go-round with rotational inertia  $120 \text{ kg}\cdot\text{m}^2$  is spinning freely at 0.50 rev/s. Four 25-kg children sit suddenly on the edge of the merry-go-round. (a) Find the new angular speed, and (b) determine the total energy lost to friction between children and merry-go-round.
25. A uniform, spherical cloud of interstellar gas has mass  $2.0 \times 10^{30} \text{ kg}$ , has radius  $1.0 \times 10^{13} \text{ m}$ , and is rotating with period  $1.4 \times 10^6 \text{ years}$ . The cloud collapses to form a star  $7.0 \times 10^8 \text{ m}$  in radius. Find the star's rotation period.
26. A skater has rotational inertia  $4.2 \text{ kg}\cdot\text{m}^2$  with his fists held to his chest and  $5.7 \text{ kg}\cdot\text{m}^2$  with his arms outstretched. The skater is spinning at 3.0 rev/s while holding a 2.5-kg weight in each outstretched hand; the weights are 76 cm from his rotation axis. If he pulls his hands in to his chest, so they're essentially on his rotation axis, how fast will he be spinning?

#### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

27. **Example 11.1:** A 2150-kg SUV going at 65 km/h rounds a circular turn of radius 175 m on a horizontal road. What's the magnitude of its angular momentum?
28. **Example 11.1:** A 1150-kg car rounds a circular turn of radius 125 m, toward the left, on a horizontal road. Its angular momentum about the center of the turn has magnitude  $2.86 \times 10^6 \text{ kg}\cdot\text{m}^2/\text{s}$ . What are (a) the direction of the car's angular momentum, (b) its speed, and (c) the magnitude of its angular momentum about the center of the turn, once it's exited the turn and is on a straight stretch of the road?
29. **Example 11.1:** You attach a 58.2-g tennis ball to a string and whirl it around over your head in a horizontal circle of radius 84.3 cm, with speed 5.87 m/s. From your perspective, looking up at the ball, it's going counterclockwise. Find (a) the magnitude and (b) direction of the ball's angular momentum about the center of the circular path.
30. **Example 11.1:** A 58.2-g tennis ball is attached to a 1.00-m-long **COMP** string and whirled around in a horizontal circle with angular momentum of magnitude  $0.347 \text{ kg}\cdot\text{m}^2/\text{s}$  about the center of the circular path. Find (a) the angle the string makes with the horizontal and (b) the ball's speed. Hint: Consult Example 5.5.
31. **Example 11.2:** A star is rotating with a period of 34.4 days. At the end of its lifetime it sheds its outermost layers, leaving a core of radius  $4.96 \times 10^8 \text{ m}$ . The core then collapses into a white dwarf of radius  $4.21 \times 10^6 \text{ m}$ . Assuming that no torques have acted on the core, find its rotation period after the collapse.
32. **Example 11.2:** Astronomers observe a neutron star of radius 7.10 km and determine that it's rotating at 21.9 rpm. If the stellar core that collapsed to form the neutron star was originally rotating with a period of 49.3 days, what was its radius?
33. **Example 11.2:** The skater in Fig. 11.6a is spinning at 1.66 rev/s. With her arms outstretched and leg extended (Fig. 11.6a), her rotational inertia is  $3.56 \text{ kg}\cdot\text{m}^2$ . When she pulls in her arms and leg (Fig. 11.6b), her rotational inertia drops to  $1.21 \text{ kg}\cdot\text{m}^2$ . What's her final spin rate?

34. **Example 11.2:** A skater has rotational inertia  $5.31 \text{ kg}\cdot\text{m}^2$  with his arms outstretched and a baseball glove on each hand; the pocket of each glove is 123 cm from his rotation axis. He's spinning with angular velocity pointing upward and with magnitude 0.950 rev/s. He catches a 146-g baseball moving at 24.7 m/s perpendicular to his arms and heading straight toward the pocket of his glove. Find his subsequent spin rate if he catches the ball with (a) his left hand and (b) his right hand.

### Problems

35. You slip a wrench over a bolt. Taking the origin at the bolt, the other end of the wrench is at  $x = 18 \text{ cm}$ ,  $y = 5.5 \text{ cm}$ . You apply a force  $\vec{F} = 88\hat{i} - 23\hat{j} \text{ N}$  to the end of the wrench. What's the torque on the bolt?
36. Vector  $\vec{A}$  points  $30^\circ$  counterclockwise from the  $x$ -axis. Vector  $\vec{B}$  has twice the magnitude of  $\vec{A}$ . Their product  $\vec{A} \times \vec{B}$  has magnitude  $A^2$  and points in the negative  $z$ -direction. Find the direction of vector  $\vec{B}$ .
37. A baseball player extends his arm straight up to catch a 145-g **BIO** baseball moving horizontally at 42 m/s. It's 63 cm from the player's shoulder joint to the point the ball strikes his hand, and his arm remains stiff while it rotates about the shoulder during the catch. The player's hand recoils 5.00 cm horizontally while he stops the ball. What average torque does the player's arm exert on the ball?
38. Show that  $\vec{A} \cdot (\vec{A} \times \vec{B}) = 0$  for any vectors  $\vec{A}$  and  $\vec{B}$ .
39. A thin rod of length  $a$  and mass  $m$  is rotating about a perpendicular axis through its center. The rotation rate is such that the ends of the rod move with speed  $v$ . Find an expression for the rod's angular momentum about its rotation axis.
40. A particle of mass  $m$  moves in a straight line at constant speed  $v$ . Show that its angular momentum about a point located a perpendicular distance  $b$  from its line of motion is  $mvb$  regardless of where the particle is on the line.
41. Two identical 1800-kg cars are traveling in opposite directions at 83 km/h. Each car's center of mass is 3.2 m from the center of the highway (Fig. 11.14). What are the magnitude and direction of the angular momentum of the system consisting of the two cars, about a point on the centerline of the highway?

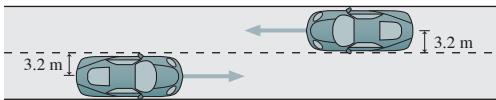


FIGURE 11.14 Problem 41

42. The dot product of two vectors is half the magnitude of their cross product. What's the angle between the two vectors?
43. Biomechanical engineers have developed micromechanical devices for measuring blood flow as an alternative to dye injection following angioplasty to remove arterial plaque. One experimental device consists of a 300- $\mu\text{m}$ -diameter, 2.0- $\mu\text{m}$ -thick silicon rotor inserted into blood vessels. Moving blood spins the rotor, whose rotation rate provides a measure of blood flow. This device exhibited an 800-rpm rotation rate in tests with water flows at several m/s. Treating the rotor as a disk, what was its angular momentum at 800 rpm? (*Hint:* You'll need to find the density of silicon.)
44. Figure 11.15 shows the dimensions of a 880-g wooden baseball bat whose rotational inertia about its center of mass is  $0.048 \text{ kg}\cdot\text{m}^2$ . If the bat is swung so its far end moves at 50 m/s,

find (a) its angular momentum about the pivot  $P$  and (b) the constant torque applied about  $P$  to achieve this angular momentum in 0.25 s. (*Hint:* Remember the parallel-axis theorem.)

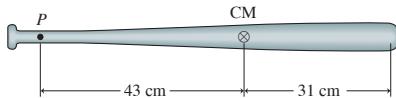


FIGURE 11.15 Problem 44

45. As an automotive engineer, you're charged with redesigning a car's wheels with the goal of decreasing each wheel's angular momentum by 30% for a given linear speed of the car. Other design considerations require that the wheel diameter go from 38 cm to 35 cm. If the old wheel had rotational inertia  $0.32 \text{ kg}\cdot\text{m}^2$ , what do you specify for the new rotational inertia?
46. A turntable of radius 25 cm and rotational inertia  $0.0154 \text{ kg}\cdot\text{m}^2$  is spinning freely at 22.0 rpm about its central axis, with a 19.5-g mouse on its outer edge. The mouse walks from the edge to the center. Find (a) the new rotation speed and (b) the work done by the mouse.
47. A 17-kg dog is standing on the edge of a stationary, frictionless **CH** turntable of rotational inertia  $95 \text{ kg}\cdot\text{m}^2$  and radius 1.81 m. The dog walks once around the turntable. What fraction of a full circle does the dog's motion make with respect to the ground?
48. A physics student is standing on an initially motionless, frictionless turntable with rotational inertia  $0.31 \text{ kg}\cdot\text{m}^2$ . She's holding a wheel with rotational inertia  $0.22 \text{ kg}\cdot\text{m}^2$  spinning at 130 rpm about a vertical axis, as in Fig. 11.8. When she turns the wheel upside down, student and turntable begin rotating at 70 rpm. (a) Find the student's mass, considering her to be a 30-cm-diameter cylinder. (b) Neglecting the distance between the axes of the turntable and wheel, determine the work she did in turning the wheel upside down.
49. You're choreographing your school's annual ice show. You call for eight 60-kg skaters to join hands and skate side by side in a line extending 12 m. The skater at one end is to stop abruptly, so the line will rotate rigidly about that skater. For safety, you don't want the fastest skater to be moving at more than 8.0 m/s, and you don't want the force on that skater's hand to exceed 300 N. What do you determine is the greatest speed the skaters can have before they execute their rotational maneuver?
50. A day on Mars lasts 1.03 Earth days, which is inconvenient because Mars time keeps slipping behind Earth time. Suppose that residents of a future Martian settlement decide to solve this problem by launching a huge projectile horizontally off the Martian equator, in such a direction as to increase the planet's rotation rate just enough to make the length of the Martian day equal to that of Earth's day. If their technology can achieve a launch speed of 2.44 Mm/s, what mass of projectile will give the desired result? You can approximate Mars as a uniform solid sphere.
51. Show that the cross product of two vectors  $\vec{A} = A_x\hat{i} + A_y\hat{j} + A_z\hat{k}$  and  $\vec{B} = B_x\hat{i} + B_y\hat{j} + B_z\hat{k}$  is given by  $\vec{A} \times \vec{B} = (A_yB_z - A_zB_y)\hat{i} + (A_zB_x - A_xB_z)\hat{j} + (A_xB_y - A_yB_x)\hat{k}$ . (*Hint:* You'll need to work out cross products of all possible pairs of the unit vectors  $\hat{i}$ ,  $\hat{j}$ , and  $\hat{k}$ —including with themselves.)
52. If you're familiar with determinants, show that the cross product can be written as a determinant:

$$\vec{A} \times \vec{B} = \begin{vmatrix} \hat{i} & \hat{j} & \hat{k} \\ A_x & A_y & A_z \\ B_x & B_y & B_z \end{vmatrix}$$

(*Hint:* See the preceding problem.)

53. Jumbo is back! Jumbo is the 4.8-Mg elephant from Example 9.4. This time he's standing at the outer edge of a 15-Mg turntable of

radius 8.5 m, rotating with angular velocity  $0.15 \text{ s}^{-1}$  on frictionless bearings. Jumbo then walks to the center of the turntable. Treating Jumbo as a point mass and the turntable as a solid disk, find (a) the angular velocity of the turntable once Jumbo reaches the center and (b) the work Jumbo does in walking to the center.

54. An anemometer for measuring wind speeds consists of four small cups, each with mass 124 g, mounted a pair of 32.6-cm-long rods with mass 75.7 g each, as shown in Fig. 11.16. Find the angular momentum of the anemometer when it's spinning at 12.4 rev/s. You can treat the cups as point masses.

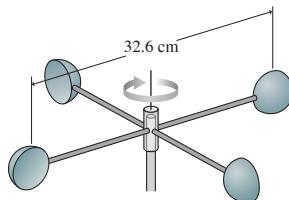


FIGURE 11.16 Problem 54

55. A turntable has rotational inertia  $I$  and is rotating with angular speed  $\omega$  about a frictionless vertical axis. A wad of clay with mass  $m$  is tossed onto the turntable and sticks a distance  $d$  from the rotation axis. The clay hits horizontally with its velocity  $\vec{v}$  at right angles to the turntable's radius, and in the same direction as the turntable's rotation (Fig. 11.17). Find expressions for  $v$  that will result in (a) the turntable's angular speed dropping to half its initial value, (b) no change in the turntable's angular speed, and (c) the angular speed doubling.

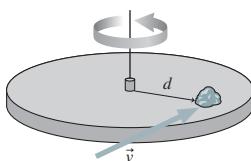


FIGURE 11.17 Problem 55

56. About 99.9% of the solar system's total mass lies in the Sun. Using data from Appendix E, estimate what fraction of the solar system's angular momentum about its center is associated with the Sun. Where is most of the rest of the angular momentum?
57. You're a civil engineer for an advanced civilization on a solid spherical planet of uniform density. Running out of room for the expanding population, the government asks you to redesign your planet to give it more surface area. You recommend reshaping the planet, without adding any material or angular momentum, into a hollow shell whose thickness is one-fifth its outer radius. How much will your design increase the surface area, and how will it change the length of the day?

58. In Fig. 11.18, the lower disk, of mass 440 g and radius 3.5 cm, is rotating at 180 rpm on a frictionless shaft of negligible radius. The upper disk, of mass 270 g and radius 2.3 cm, is initially not rotating. It drops freely down onto the lower disk, and frictional forces bring the two disks to a common rotational speed. Find (a) that common speed and (b) the fraction of the initial kinetic energy lost to friction.

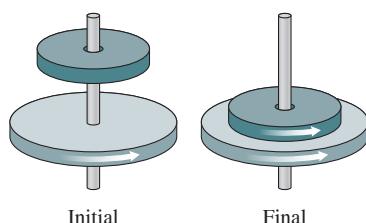


FIGURE 11.18 Problem 58

59. A solid ball of mass  $M$  and radius  $R$  is spinning with angular velocity  $\omega_0$  about a horizontal axis. It drops vertically onto a surface where the coefficient of kinetic friction with the ball is  $\mu_k$  (Fig. 11.19). Find expressions for (a) the final angular velocity once it's achieved pure rolling motion and (b) the time it takes to achieve this motion.

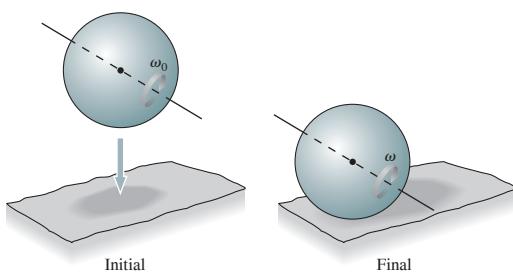


FIGURE 11.19 Problem 59

60. A time-dependent torque given by  $\tau = a + b \sin ct$  is applied to an object that's initially stationary but is free to rotate. Here  $a$ ,  $b$ , and  $c$  are constants. Find an expression for the object's angular momentum as a function of time, assuming the torque is first applied at  $t = 0$ .
61. Consider a rapidly spinning gyroscope whose axis is precessing uniformly in a horizontal circle of radius  $r$ , as shown in Fig. 11.10. Apply  $\vec{\tau} = d\vec{L}/dt$  to show that the angular speed of precession about the vertical axis through the center of the circle is  $mgr/L$ .
62. When a star like our Sun exhausts its fuel, thermonuclear reactions in its core cease, and it collapses to become a *white dwarf*. Often the star will blow off its outer layers and lose some mass before it collapses. Suppose a star with the Sun's mass and radius is rotating with period 25 days and then it collapses to a white dwarf with 60% of the Sun's mass and a rotation period of 131 s. What's the radius of the white dwarf? Compare your answer with the radii of Sun and Earth.
63. Pulsars—the rapidly rotating neutron stars described in Example DATA 11.2—have magnetic fields that interact with charged particles in the surrounding interstellar medium. The result is torque that causes the pulsar's spin rate and therefore its angular momentum to decrease very slowly. The table below gives values for the rotation period of a given pulsar as it's been observed at the same date every 5 years for two decades. The pulsar's rotational inertia is known to be  $1.12 \times 10^{38} \text{ kg} \cdot \text{m}^2$ . Make a plot of the pulsar's angular momentum over time, and use the associated best-fit line, along with the rotational analog of Newton's law, to find the torque acting on the pulsar.

Year of observation	1995	2000	2005	2010	2015
Angular momentum ( $10^{37} \text{ kg} \cdot \text{m}^2/\text{s}$ )	7.844	7.831	7.816	7.799	7.787

64. A system has total angular momentum  $\vec{L}$  about an axis  $O$ . Show that the system's angular momentum about a parallel axis  $O'$  is given by  $\vec{L}' = \vec{L} - \vec{h} \times \vec{p}$ , where  $\vec{p}$  is the system's linear momentum and  $\vec{h}$  is a vector from  $O$  to  $O'$  (see Fig. 11.20, which also shows vectors  $\vec{r}_i$  and  $\vec{r}'_i$  from each axis to the system's  $i$ th mass element  $m_i$ ).

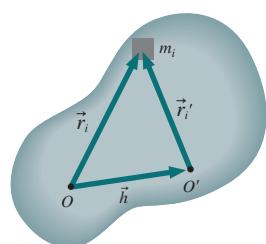


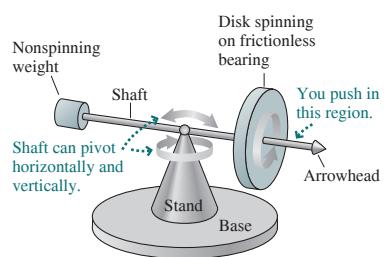
FIGURE 11.20 Problem 64

### Passage Problems

Figure 11.21 shows a demonstration gyroscope, consisting of a solid disk mounted on a shaft. The disk spins about the shaft on essentially frictionless bearings. The shaft is mounted on a stand so it's free to

pivot both horizontally and vertically. A weight at the far end of the shaft balances the disk, so in the configuration shown there's no torque on the system. An arrowhead mounted on the disk end of the shaft indicates the direction of the disk's angular velocity.

65. If you push on the shaft between the arrowhead and the disk, pushing horizontally away from you (i.e., into the page in Fig. 11.21), the arrowhead end of the shaft will move
- away from you (i.e., into the page).
  - toward you (i.e., out of the page).
  - downward.
  - upward.
66. If you push on the shaft between the arrowhead and the disk, pushing directly upward on the bottom of the shaft, the arrowhead end of the shaft will move
- away from you (i.e., into the page).
  - toward you (i.e., out of the page).
  - downward.
  - upward.
67. If an additional weight is hung on the left end of the shaft, the arrowhead will
- pivot upward until the weighted end of the shaft hits the base.
  - pivot downward until the arrowhead hits the base.
  - precess counterclockwise when viewed from above.
  - precess clockwise when viewed from above.



**FIGURE 11.21** A gyroscope (Passage Problems 65–68)

68. If the system is precessing, and only the disk's rotation rate is increased, the precession rate will
- decrease.
  - increase.
  - stay the same.
  - become zero.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

The rotation axis precesses—changes orientation—over a 26,000-year cycle. This alters the relation between sunlight intensity and seasons, triggering ice ages.

### Answers to GOT IT? Questions

- 11.1 (e)
- 11.2 (1)  $\vec{\tau}_3$ ; (2)  $\vec{\tau}_5$ ; (3)  $\vec{\tau}_1$ ; (4)  $\vec{\tau}_4$
- 11.3 (d)
- 11.4 (1) (a) to keep the total angular momentum at 0;  
(2) (c) so  $L_{\text{total}}$  remains 0; (3) (b)
- 11.5 (a)

10

Rotational Motion

11

Rotational Vectors  
and Angular Momentum

## 12

13

Oscillatory Motion

14

Wave Motion

# Static Equilibrium

## Skills & Knowledge You'll Need

- Newton's second law (Section 4.2) and its use in two dimensions (Section 5.1)
- Torque and how to calculate it (Sections 10.2, 11.2)
- Your calculus knowledge of second derivatives

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 12.1** Describe quantitatively the conditions for static equilibrium.
- LO 12.2** Locate the center of gravity of a system and calculate gravitational torques.
- LO 12.3** Solve problems involving static equilibrium.
- LO 12.4** Distinguish stable from unstable equilibria.



The Alamillo Bridge in Seville, Spain, is the work of architect Santiago Calatrava. What conditions must be met to ensure the stability of this dramatic structure?

**A**rchitect Santiago Calatrava envisioned the boldly improbable bridge shown here. But it took engineers to make sure that the bridge would be stable in the face of what looks like an obvious tendency to topple to the left. The key to the engineers' success is **static equilibrium**—the condition in which a structure or system experiences neither a net force nor a net torque. Engineers use the principles of static equilibrium to design buildings, bridges, and aircraft. Scientists apply equilibrium principles at scales from molecular to astrophysical. Here we explore the conditions for static equilibrium required by the laws of physics.

## 12.1 Conditions for Equilibrium

**LO 12.1** *Describe quantitatively the conditions for static equilibrium.*

A body is in **equilibrium** when the net external force and torque on it are both zero. In the special case when the body is also at rest, it's in **static equilibrium**. Systems in static equilibrium include not only engineered structures but also trees, molecules, and even your bones and muscles when you're at rest.

We can write the conditions for static equilibrium mathematically by setting the sums of all the external forces and torques both to zero:

The net external force on a system... ... must be  $\vec{0}$  for static equilibrium.

$$\sum \vec{F}_i = \vec{0} \quad (12.1)$$

$$\sum \vec{\tau}_i = \sum (\vec{r}_i \times \vec{F}_i) = \vec{0} \quad (12.2)$$

The net external torque must also be  $\vec{0}$ .

Here the subscripts  $i$  label the forces  $\vec{F}$  acting on an object, the positions  $\vec{r}$  of the force-application points, and the associated torques  $\vec{\tau}$ .

In Chapters 10 and 11, we noted that torque depends on the choice of a rotation axis. Actually, the issue is not so much an axis but a single point—the

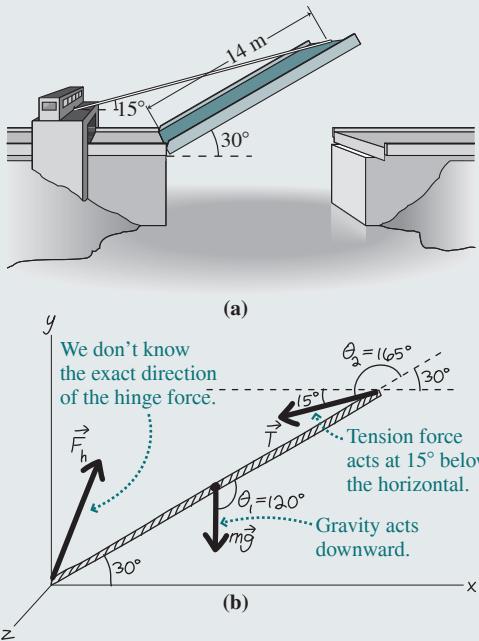
point of origin of the vectors  $\vec{r}$  that enter the expression  $\vec{\tau} = \vec{r} \times \vec{F}$ . In this chapter, where we have objects in equilibrium so they aren't rotating, we'll talk of this "pivot point" rather than a rotation axis. So the torque  $\vec{\tau} = \vec{r} \times \vec{F}$  depends on the choice of pivot point. Then there seems to be an ambiguity in Equation 12.2, since we haven't specified a pivot point.

For an object to be in static equilibrium it can't rotate about *any* point, so Equation 12.2 must hold no matter what point we choose. Must we then check every possible point? Fortunately, no. If the first equilibrium condition holds—that is, if the net force on an object is zero—and if the net torque about *some* point is zero, then the net torque about *any other* point is also zero. Problem 57 leads you through the proof of this statement.

In solving equilibrium problems, we're thus free to choose any convenient point about which to evaluate the torques. An appropriate choice is often the application point of one of the forces; then  $\vec{r} = \vec{0}$  for that force, and the associated torque  $\vec{r} \times \vec{F}$  is zero. This leaves Equation 12.2 with one term fewer than it would otherwise have.

### EXAMPLE 12.1 Choosing the Pivot: A Drawbridge

The raised span of the drawbridge shown in Fig. 12.1a has its 11,000-kg mass distributed uniformly over its 14-m length. Find the magnitude of the tension in the supporting cable.



**FIGURE 12.1** (a) A drawbridge. (b) Our sketch showing forces supporting the bridge.

**INTERPRET** Because the drawbridge is at rest, it's in static equilibrium.

**DEVELOP** Here we'll demonstrate how a sensible choice of the pivot point can make solving static-equilibrium problems easier. Figure 12.1b is a simplified diagram of the bridge, showing the three forces acting on it. These forces must satisfy both Equations 12.1 and 12.2, but we aren't asked about the hinge force  $\vec{F}_h$ , so it makes sense to choose the pivot at the hinge. We can then focus on Equation 12.2,  $\sum \vec{\tau}_i = \vec{0}$ , in which the only torques are due to gravity and tension. Gravity acts at the center of mass, half the bridge length  $L$  from the pivot (we'll prove this shortly). Therefore, it exerts a torque  $\tau_g = -(L/2)mg \sin \theta_1$ , where  $\theta_1$  is the angle between the gravitational force and a vector from the pivot. This torque is into the page, or in the negative  $z$ -direction—hence the negative sign. Similarly, the tension force, applied at the full length  $L$ , exerts a torque  $\tau_T = LT \sin \theta_2$ . Equation 12.2 then becomes

$$-\frac{L}{2}mg \sin \theta_1 + LT \sin \theta_2 = 0$$

**EVALUATE** We solve for the tension  $T$ :

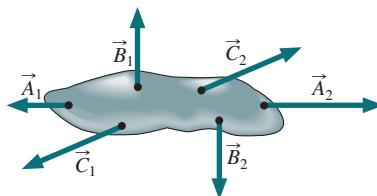
$$T = \frac{mg \sin \theta_1}{2 \sin \theta_2} = \frac{(11,000 \text{ kg})(9.8 \text{ m/s}^2)(\sin 120^\circ)}{(2)(\sin 165^\circ)} = 180 \text{ kN}$$

**ASSESS** This tension force is considerably larger than the approximately 110-kN weight of the bridge because the tension acts at a small angle to produce a torque that balances the torque due to gravity.

One point of this example is that a wise choice of the pivot point can eliminate a lot of work—in this case, allowing us to solve the problem using only Equation 12.2. If we had chosen a different pivot, then the force  $F_h$  would have appeared in the torque equation, and we would have had to eliminate it using the force equation, Equation 12.1 (see Exercise 11).

#### GOT IT?

**12.1** The figure shows three pairs of forces acting on an object. Which pair, acting as the *only* forces on the object, results in static equilibrium? Explain why the others don't.



## 12.2 Center of Gravity

**LO 12.2** Locate the center of gravity of a system and calculate gravitational torques.

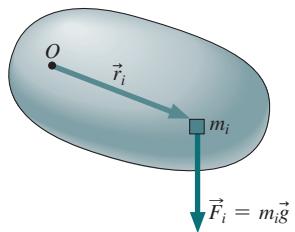
In Fig. 12.1b we drew the gravitational force acting at the center of mass of the bridge. That seems sensible, but is it correct? After all, gravity acts on all parts of an object. How do we know that the resulting torque is equivalent to the torque due to a single force acting at the center of mass? To see that it is, consider the gravitational forces on all parts of an object of mass  $M$ . The vector sum of those forces is  $M\vec{g}$ , but what about the torques? Figure 12.2 shows the ingredients we need to calculate the torque  $\vec{\tau} = \vec{r} \times \vec{F}$  associated with one mass element; summing gives the total torque:

$$\vec{\tau} = \sum \vec{r}_i \times \vec{F}_i = \sum \vec{r}_i \times m_i \vec{g} = (\sum m_i \vec{r}_i) \times \vec{g}$$

We can rewrite this equation by multiplying the right-hand side by  $M/M$ , with  $M$  the total mass:

$$\vec{\tau} = \left( \frac{\sum m_i \vec{r}_i}{M} \right) \times M\vec{g}$$

The term in parentheses is the position of the center of mass (Section 9.1), and the right-hand term is the total weight. Therefore, the net torque on the body due to gravity is that of the gravitational force  $M\vec{g}$  acting at the center of mass. In general, the point at which the gravitational force seems to act is called the **center of gravity**. We've just proven an important point: **The center of gravity coincides with the center of mass when the gravitational field is uniform.**



**FIGURE 12.2** The gravitational force  $\vec{F}_i$  on the mass element  $m_i$  produces a torque about point  $O$ .

### CONCEPTUAL EXAMPLE 12.1 Finding the Center of Gravity

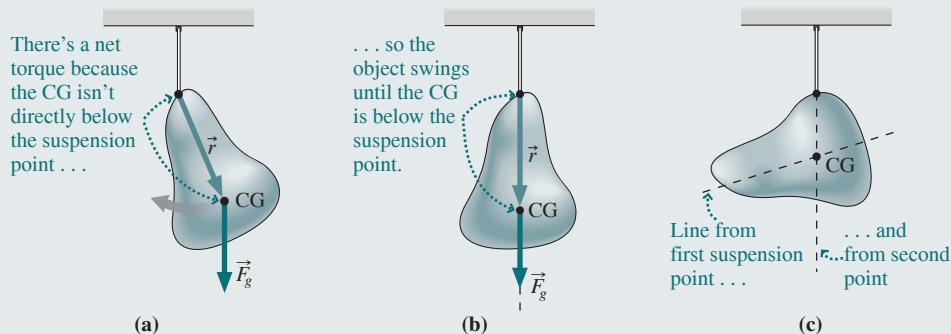
Explain how you can find an object's center of gravity by suspending it from a string.

**EVALUATE** Suspend an object from a string and it will quickly come to equilibrium, as shown in Figs. 12.3a and b. In equilibrium there's no torque on the object and so, as Fig. 12.3b shows, its center of gravity (CG) must be directly below the suspension point. So far all we know is that the CG lies on a vertical line extending from the suspension point. But two intersecting lines determine a point, so all we have to do is suspend the object from a *different* point. In its new equilibrium, the CG again lies on a vertical line from the suspension point. Where the two lines meet is the center of gravity (Fig. 12.3c).

**ASSESS** Here's a quick, easy, and practical way to find the center of gravity—at least for two-dimensional objects.

**MAKING THE CONNECTION** Do the experiment! Determine the center of gravity of an isosceles triangle made from material of uniform density.

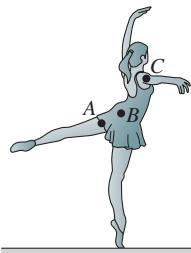
**EVALUATE** Cut a triangle of cardboard or wood and follow the procedure described here. You should get good agreement with Example 9.3: The triangle's CG (which is the same as its center of mass) lies two-thirds of the way from the apex to the base.



**FIGURE 12.3** Finding the center of gravity.

**GOT IT?**

**12.2** The dancer in the figure is balanced; that is, she's in static equilibrium. Which of the three lettered points could be her center of gravity?



## 12.3 Examples of Static Equilibrium

**LO 12.3** *Solve problems involving static equilibrium.*

It's frequently the case that all the forces acting on a system lie in a plane, so Equation 12.1—the statement that there's no net force in static equilibrium—becomes two equations for the two force components in that plane. And with all the forces in a plane, the torques are all at right angles to that plane, so Equation 12.2—the statement that there's no net torque—becomes a single equation. We'll restrict ourselves to such cases in which the conditions for static equilibrium reduce to three scalar equations. Sometimes, as in Example 12.1, the torque equation alone will give what we're looking for, but often that's not the case.

Solving static-equilibrium problems is much like solving Newton's law problems; after all, the equations for static equilibrium are Newton's law and its rotational analog, both with acceleration set to zero. Here we adapt our Newton's law strategy from Chapter 4 to problems of static equilibrium. The examples that follow illustrate the use of this strategy.

### PROBLEM-SOLVING STRATEGY 12.1    Static-Equilibrium Problems

**INTERPRET** Interpret the problem to be sure it's about static equilibrium, and identify the object that you want to keep in equilibrium. Next, identify all the forces acting on the object.

**DEVELOP** Draw a diagram showing the forces acting on your object. Since you've got torques to calculate, it's important to show *where* each force is applied. So don't represent your object as a single dot but show it semirealistically with the force-application points. This is a static-equilibrium problem, so Equations 12.1,  $\sum \vec{F}_i = \vec{0}$ , and 12.2,  $\sum \vec{\tau}_i = \vec{0}$ , apply. Develop your solution by choosing a coordinate system that will help resolve the force vectors into components *and* choose its origin at an appropriate pivot point—usually the application point of one of the forces. In some problems the unknown is itself a force; in that case, draw a force vector that you think is appropriate and let the algebra take care of the signs and angles.

**EVALUATE** At this point the physics is done, and you're ready to evaluate your answer. Begin by writing the two components of Equation 12.1 in your coordinate system. Then evaluate the torques about your chosen origin, and write Equation 12.2 as a single scalar equation showing that the torques sum to zero. Now you've got three equations, and you're ready to solve. Since there are three equations, there will be three unknowns even if you're asked for only one final answer. You can use the equations to eliminate the unknowns you don't want.

**ASSESS** Assess your solution to see whether it makes sense. Are the numbers reasonable? Do the directions of forces and torques make sense in the context of static equilibrium? What happens in special cases—for example, when a force or mass goes to zero or gets very large, or for special values of angles among the various vectors?

### EXAMPLE 12.2

#### Static Equilibrium: Ladder Safety *Worked Example with Variation Problems*

A ladder of mass  $m$  and length  $L$  is leaning against a wall, as shown in Fig. 12.4a (next page). The wall is frictionless, and the coefficient of static friction between ladder and ground is  $\mu$ . Find an expression for the minimum angle  $\phi$  at which the ladder can lean without slipping.

**INTERPRET** This problem is about static equilibrium, and the ladder is the object we want to keep in equilibrium. We identify four forces acting on the ladder: gravity, normal forces from the floor and wall, and static friction from the ground.

**DEVELOP** Figure 12.4b shows the four forces and the unknown angle  $\phi$ . We'll get the minimum angle when static friction is greatest:  $f_s = \mu n_1$ . Since we're dealing with static equilibrium, Equations 12.1 and 12.2 apply. In a horizontal/vertical coordinate system, Equation 12.1 has the two components:

$$\begin{aligned} \text{Force, } x: & \quad \mu n_1 - n_2 = 0 \\ \text{Force, } y: & \quad n_1 - mg = 0 \end{aligned}$$

Now for the torques: If we choose the bottom of the ladder as the pivot, we eliminate two forces from the torque equation. That leaves

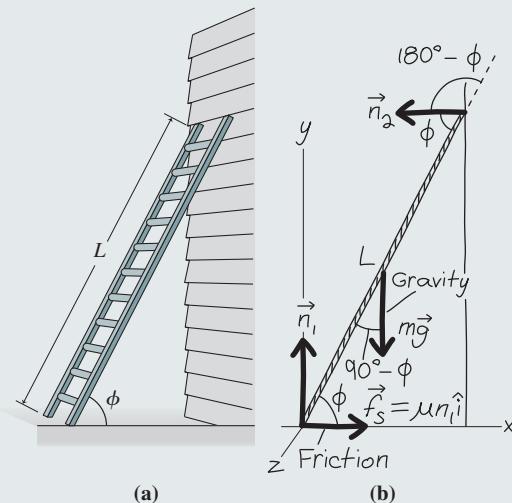


FIGURE 12.4 (a) At what angle will the ladder slip?  
(b) Our sketch.

only the gravitational torque and the torque due to the wall's normal force; both involve the unknown angle  $\phi$ . The gravitational torque is into the page, or the negative  $z$ -direction, so it's given by  $\tau_g = -(L/2)mg \sin(90^\circ - \phi) = -(L/2)mg \cos \phi$ . The torque due to the wall is out of the page:  $\tau_w = Ln_2 \sin(180^\circ - \phi) = Ln_2 \sin \phi$ . We used two trig identities here:  $\sin(90^\circ - \phi) = \cos \phi$  and  $\sin(180^\circ - \phi) = \sin \phi$ . Then Equation 12.2 becomes

$$\text{Torque: } Ln_2 \sin \phi - \frac{L}{2} mg \cos \phi = 0$$

**EVALUATE** We have three unknowns:  $n_1$ ,  $n_2$ , and  $\phi$ . The  $y$ -component of the force equation gives  $n_1 = mg$ , showing that the ground supports the ladder's weight. Using this result in the  $x$ -component of the force equation gives  $n_2 = \mu mg$ . Then the torque equation becomes  $\mu mg L \sin \phi - (L/2)mg \cos \phi = 0$ . The term  $mgL$  cancels, giving  $\mu \sin \phi - \frac{1}{2} \cos \phi = 0$ . We solve for the unknown angle  $\phi$  by forming its tangent:

$$\tan \phi = \frac{\sin \phi}{\cos \phi} = \frac{1}{2\mu}$$

**ASSESS** Make sense? The larger the frictional coefficient, the more horizontal force holding the ladder in place, and the smaller the angle at which it can safely lean. On the other hand, a very small frictional coefficient makes for a very large tangent—meaning the angle approaches  $90^\circ$ . With no friction, you could stand the ladder only if it were strictly vertical. A word of caution: We worked this example with no one on the ladder. With the extra weight of a person, especially near the top, the minimum safe angle will be a lot larger. Problem 35 explores this situation.



**WATCH THAT ANGLE!** Just because there's an angle marked in a diagram doesn't necessarily mean that's the angle whose sine you use in calculating torques. In Example 12.2, for instance, the angle  $\phi$  isn't the angle between any of the vector pairs  $\vec{n}$  and  $\vec{F}$ . As Fig. 12.4b shows, in fact, one of those angles is  $90^\circ - \phi$  and the other is  $180^\circ - \phi$ . Trig identities let us write the appropriate sine in terms of  $\phi$ . In the case of the gravitational torques, that gives  $\cos \phi$ , while for the torque associated with the wall force, it gives  $\sin \phi$ . So don't assume that just because you have an angle, it's the one whose sine goes into calculating the torque!

### EXAMPLE 12.3 Static Equilibrium: In the Body

Figure 12.5a shows a human arm holding a pumpkin, with masses and distances marked. Find the magnitudes of the biceps tension and the contact force at the elbow joint.

**INTERPRET** This problem is about static equilibrium, with the arm and pumpkin together being the object in equilibrium. We identify four forces: the weights of the arm and the pumpkin, the biceps tension, and the contact force at the elbow.

**DEVELOP** Figure 12.5b shows the four forces, including the elbow contact force  $\vec{F}_c$ , whose exact direction we don't know. We can read the horizontal and vertical components of Equation 12.1, the force balance equation, from the diagram:

$$\text{Force, } x: \quad F_{cx} - T \cos \theta = 0$$

$$\text{Force, } y: \quad T \sin \theta - F_{cy} - mg - Mg = 0$$

Choosing the elbow as the pivot eliminates the contact force from the torque equation, giving

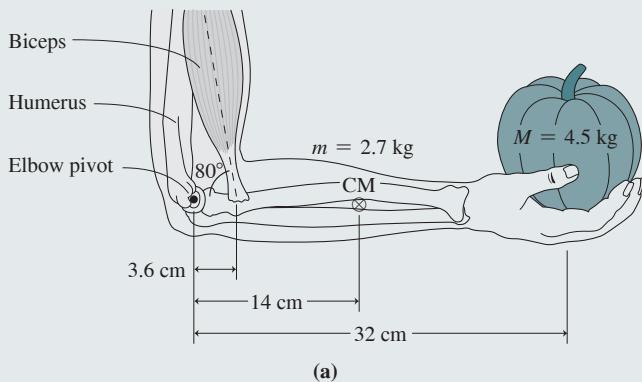
$$\text{Torque: } x_1 T \sin \theta - x_2 mg - x_3 Mg = 0$$

where the  $x$  values are the coordinates of the three force-application points.

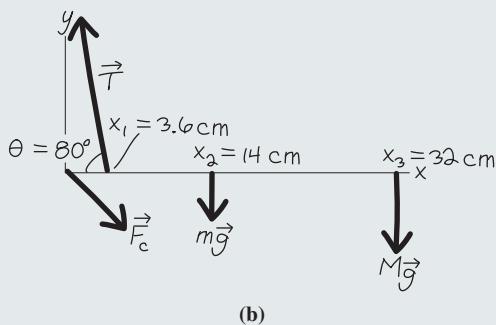
**EVALUATE** We begin by solving the torque equation for the biceps tension:

$$T = \frac{(x_2 m + x_3 M)g}{x_1 \sin \theta} = 500 \text{ N}$$

(continued)



(a)



(b)

FIGURE 12.5 (a) Holding a pumpkin. (b) Our sketch.

where we used the values in Fig. 12.5 to evaluate the numerical answer. The force equations then give the components of the elbow contact force:

$$F_{cx} = T \cos \theta = 87 \text{ N} \quad \text{and} \quad F_{cy} = T \sin \theta - (m + M)g = 420 \text{ N}$$

The magnitude of the contact force at the elbow then becomes

$$F_c = \sqrt{87^2 + 420^2} \text{ N} = 430 \text{ N.}$$

**ASSESS** These answers may seem huge—both the biceps tension and the elbow contact force are roughly 10 times the weight of the pumpkin, on the order of 100 pounds. But that's because the biceps muscle is attached so close to the elbow; given this small lever arm, it takes a large force to balance the torque from the weight of pumpkin and arm. This example shows that the human body routinely experiences forces substantially greater than the weights of objects it's lifting.

### GOT IT?

- 12.3** The figure shows a person in static equilibrium leaning against a wall. Which of the following must be true? (a) There must be a frictional force at the wall but not necessarily at the floor. (b) There must be a frictional force at the floor but not necessarily at the wall. (c) There must be frictional forces at both floor and wall.



## 12.4 Stability

### LO 12.4 Distinguish stable from unstable equilibria.

If a body is disturbed from equilibrium, it generally experiences nonzero torques or forces that cause it to accelerate. Figure 12.6 shows two very different possibilities for the subsequent motion of two cones initially in equilibrium. Tip the cone on the left slightly, and a torque develops that brings it quickly back to equilibrium. Tip the cone on the right, and over it goes. The torque arising from even a slight displacement swings the cone permanently away from its original equilibrium. The former situation is an example of **stable equilibrium**, the latter of **unstable equilibrium**. Nearly all the equilibria we encounter in nature are stable, since a body in unstable equilibrium won't remain so. The slightest disturbance will set it in motion, bringing it to a very different equilibrium state.

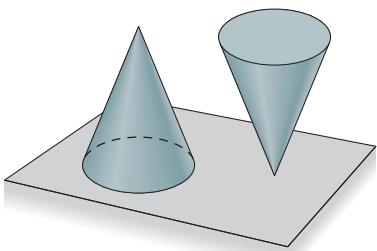


FIGURE 12.6 Stable (left) and unstable (right) equilibria.

## APPLICATION

## Vehicle Stability Control

When a car or other vehicle rounds a curve, the force of static friction between road and wheels provides the centripetal acceleration that keeps the car in its circular path. These frictional forces act at the road, and so they exert a torque that tends to rotate the vehicle about its center of gravity (see drawing). The effect is to increase the normal force on the wheels at the outside of the turn and decrease it on the wheels at the inside of the turn. In extreme cases, the inside wheels may leave the road—a condition that can rapidly worsen and lead to a rollover.

Consider the case of a vehicle whose inside wheels are just about to leave the road, so there's neither a normal force nor a frictional force on the wheels at the inside of the turn. Applying Newton's second law to the remaining forces (see the drawing) gives  $f = mv^2/r$  in the horizontal direction and  $n = mg$  in the vertical direction. Meanwhile, the torques associated with these two forces are  $fh$  and  $nt/2$ , where  $h$  is the height of the center of gravity above the road and  $t$  is the width between the wheels. The drawing shows that these torques are in opposite directions; setting the net torque to zero and substituting for the two forces then gives the **rollover condition**:

$$\frac{v^2}{rg} = \frac{t}{2h}$$

The term on the right depends only on the geometry of the vehicle (including how it's loaded with passengers and cargo), and is called the *static stability factor* (SSF). The equation shows that if  $v^2/rg$  exceeds the SSF, the vehicle's inner tires will leave the road, setting the stage for a rollover. The equation also shows that the wider the tire spacing  $t$ , the higher the SSF and the more stable the vehicle. But the higher the center of gravity, as given by  $h$ , the lower the SSF and the less stable the vehicle. That's why SUVs and vans have had high rates of rollover accidents—among the most serious of single-vehicle accidents.

Today's cars and SUVs increasingly include electronic stability control systems (ECS), which monitor speed, tilt angle, and steering wheel position and apply brakes to individual wheels so as to prevent rollover; ECS may also throttle back the engine as needed. Studies show that ECS can reduce SUV accidents by two-thirds and fatal rollovers by as much as 80%. Extensive use of ECS in recent SUVs has actually made late-model SUVs less likely to experience rollover than non-ECS cars.

Our simple analysis doesn't take into account factors like the vehicle's suspension and the deformation of its tires—both of which can exacerbate rollover danger by allowing the vehicle to tilt even before its tires leave the road.

But wait! A vehicle rounding a curve is hardly in static equilibrium; after all, it's both moving and, more importantly, accelerating. But our analysis nevertheless applies, provided we recognize that the nonzero net force means we can no longer conclude that zero torque about one point implies zero torque about all other points. In this case, though, rotation tends to begin about the center of gravity, so our analysis involving that point is what's relevant here.

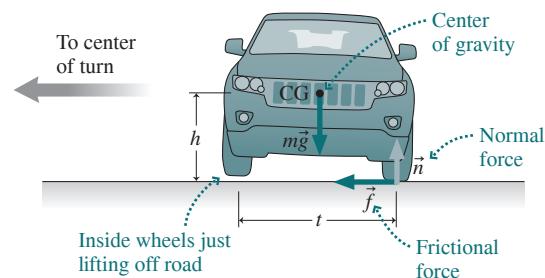


Figure 12.7 shows a ball in four different equilibrium situations. Situation (a) is stable and (b) is unstable. Situation (c) is neither stable nor unstable; it's called **neutrally stable**. But what about (d)? For small disturbances, the ball will return to its original state, so the equilibrium is stable. But for larger disturbances—large enough to push the ball over the highest points on the hill—it's unstable. Such an equilibrium is **conditionally stable** or **metastable**.

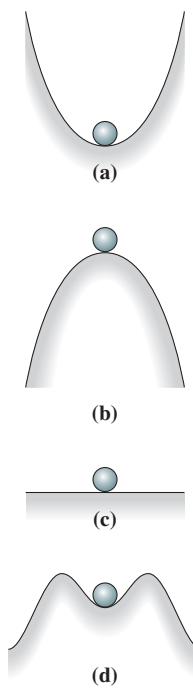
A system disturbed from stable equilibrium can take a while to return to equilibrium. In Fig. 12.7a, for example, displacing the ball results in its rolling back and forth. Eventually friction dissipates its energy, and it comes to rest at equilibrium. Back-and-forth motion is common to many systems—from nuclei and atoms to skyscrapers and bridges—that are displaced from stable equilibrium. Such motion is the topic of the next chapter.

Stability is closely associated with potential energy. Because gravitational potential energy is directly proportional to height, the shapes of the hills and valleys in Fig. 12.7 are in fact potential-energy curves. In all cases of equilibrium, the ball is at a minimum or maximum of the potential-energy curve—at a place where the force (i.e., the derivative of potential energy with respect to position) is zero. For the stable and metastable equilibria, the potential energy at equilibrium is a local minimum. A deviation from equilibrium requires that work be done against the force that tends to restore the ball to equilibrium. The unstable equilibrium, in contrast, occurs at a maximum in potential energy. Here, a deviation from equilibrium results in lower potential energy and in a force that accelerates the ball farther from equilibrium. For the neutrally stable equilibrium, there's no change in potential energy as the ball moves; consequently it experiences no force. Figure 12.8 gives another example of equilibria in the context of potential energy.

We can sum up our understanding of equilibrium and potential energy in two simple mathematical statements. First, the force must be zero; that requires a local maximum or minimum in potential energy:

$$\frac{dU}{dx} = 0 \quad (\text{equilibrium condition}) \quad (12.3)$$

where  $U$  is the potential energy of a system and  $x$  is a variable describing the system's configuration. For the simple systems we've been considering,  $x$  measures the position or



**FIGURE 12.7** (a) Stable, (b) unstable, (c) neutrally stable, and (d) metastable equilibria.

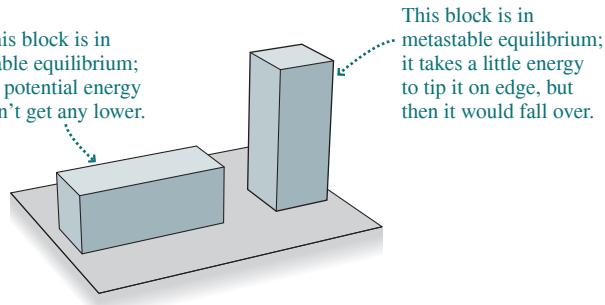


FIGURE 12.8 Identical blocks in stable and metastable equilibria.

orientation of an object, but for more complicated systems, it could be another quantity such as the system's volume or even its composition. For a stable equilibrium, we require a local minimum, so the potential-energy curve is concave upward. (See Tactics 12.1 to review the relevant calculus.) Mathematically,

$$\frac{d^2U}{dx^2} > 0 \quad (\text{stable equilibrium}) \quad (12.4)$$

This condition applies to metastable equilibria as well because they're *locally* stable. In contrast, unstable equilibrium occurs where the potential energy has a local maximum, or

$$\frac{d^2U}{dx^2} < 0 \quad (\text{unstable equilibrium}) \quad (12.5)$$

The intermediate case  $d^2U/dx^2 = 0$  corresponds to neutral stability.

### Tactics 12.1

### FINDING MAXIMA AND MINIMA

1. Begin by sketching a plot of the function, which will give a visual check for your numerical answers.
2. Next, take the function's first derivative and set it to zero. As Fig. 12.7 suggests, a hill (maximum) or valley (minimum) is level right at its top or bottom. So by setting the first derivative to zero, you're requiring that its slope be zero and therefore requiring the function to be at a maximum or minimum.
3. Find the sign of the function's second derivative at the points where you found the first derivative is zero. Your sketch should show this; where the curve is concave upward, as in Figs. 12.7a and d, the second derivative is positive and the point is a minimum. Where it's concave downward, as in Fig. 12.7b,  $d^2U/dx^2$  is negative and you've got a maximum. If it wasn't obvious how to sketch the function, you can use calculus to determine the second derivative and then find its sign at the equilibrium points.
4. Check that the values you found for maxima and minima agree with your plot of the function.

### EXAMPLE 12.4

### Stability Analysis: Semiconductor Engineering Worked Example with Variation Problems

Physicists develop a new semiconductor device in which the potential energy associated with an electron's being at position  $x$  is given by  $U(x) = ax^2 - bx^4$ , where  $x$  is in nm,  $U$  is the potential energy in aJ ( $10^{-18}$  J), and constants  $a$  and  $b$  are  $8 \text{ aJ/nm}^2$  and  $1 \text{ aJ/nm}^4$ , respectively. Find the equilibrium positions for the electron, and describe their stability.

**INTERPRET** This problem is about stability in the context of a given potential-energy function. We're interested in the electron, and we're asked to find the values of  $x$  where it's in equilibrium and then examine their stability.

**DEVELOP** The potential-energy curve gives us insight into this problem, so we've drawn it by plotting the function  $U(x)$  in Fig. 12.9. Equation 12.3,  $dU/dx = 0$ , determines the equilibria, while Equations 12.4,  $d^2U/dx^2 > 0$ , and 12.5,  $d^2U/dx^2 < 0$ , determine the stability. Our plan is first to find the equilibrium positions using Equation 12.3 and then to examine their stability.

**EVALUATE** Equation 12.3 states that equilibria occur where the potential energy has a maximum or minimum—that is, where its derivative is zero. Taking the derivative of  $U$  and setting it to zero gives

$$0 = \frac{dU}{dx} = 2ax - 4bx^3 = 2x(a - 2bx^2)$$

This equation has solutions when  $x = 0$  and when  $a = 2bx^2$  or  $x = \pm\sqrt{a/2b} = \pm 2 \text{ nm}$ . We could take second derivatives to

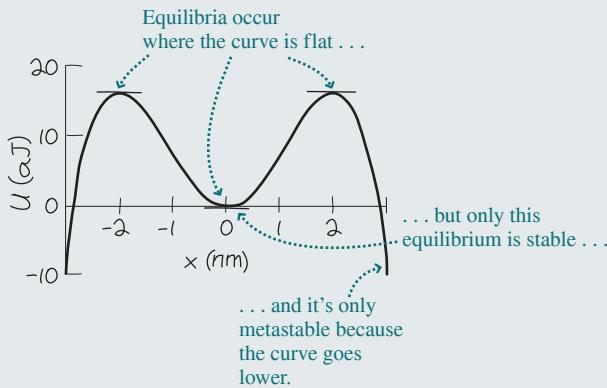


FIGURE 12.9 Our sketch of the potential-energy curve for Example 12.4.

evaluate the stability, but the situation is evident from our plot:  $x = 0$  lies at a local minimum of the potential-energy curve, so this equilibrium is metastable. The other two equilibria, at maxima of  $U$ , are unstable.

**ASSESS** Do our numerical answers make sense? Yes: The potential-energy curve has zero slope at the points  $x = -2 \text{ nm}$ ,  $x = 0$ , and  $x = 2 \text{ nm}$ , so we've found all the equilibria. Note that the equilibrium at  $x = 0$  is only metastable; given enough energy, an electron disturbed from this position could make it all the way over the peaks and never return to  $x = 0$ .

Stability considerations apply to the overall arrangements of matter. A mixture of hydrogen and oxygen, for example, is in metastable equilibrium at room temperature. Lighting a match puts some atoms over the maxima in their potential-energy curves, at which point they rearrange into a state of lower potential energy—the state we call  $\text{H}_2\text{O}$ . Similarly, a uranium nucleus is at a local minimum of its potential-energy curve, and a little excess energy can result in its splitting into two smaller nuclei whose total potential energy is much lower. That transition from a less stable to a more stable equilibrium describes the basic physics of nuclear fission.

Potential-energy curves for complex structures like molecules or skyscrapers can't be described fully with one-dimensional graphs. If potential energy varies in different ways when the structure is altered in different directions, then in order to determine stability we need to consider all possible ways potential energy might vary. For example, a snowball sitting on a mountain pass—or any other system with a saddle-shaped potential-energy curve—is stable against displacements in one direction but not another (Fig. 12.10). Stability analysis of complex physical systems, ranging from nuclei and molecules to bridges and buildings and machinery, and on to stars and galaxies, is an important part of contemporary work in engineering and science.

### GOT IT?

**12.4** Which of the labeled points in the figure are stable, metastable, unstable, or neutrally stable equilibria?

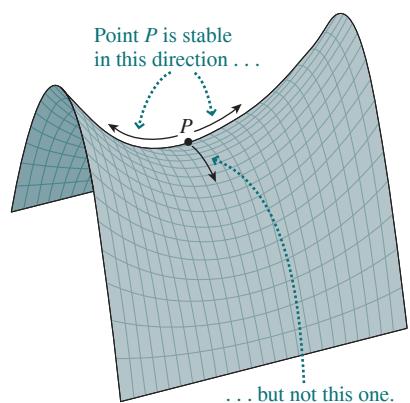
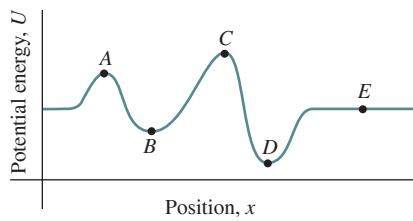


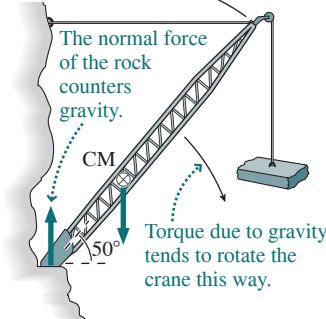
FIGURE 12.10 Equilibrium on a saddle-shaped potential-energy curve.

# Chapter 12 Summary

## Big Idea

The big idea here is **static equilibrium**—the state in which a system at rest remains at rest because there's no net force to accelerate it and no net torque to start it rotating. An equilibrium is stable if a disturbance of the system results in its returning to the original equilibrium state.

Torque due to the horizontal cable counters the gravitational torque.



## Key Concepts and Equations

Static equilibrium requires that there be no net force and no net torque on a system; mathematically:

$$\sum \vec{F}_i = \vec{0}$$

and

$$\sum \vec{\tau}_i = \sum (\vec{r}_i \times \vec{F}_i) = \vec{0}$$

where the sums include all the forces applied to the system. Solving an equilibrium problem involves identifying all the forces  $\vec{F}_i$  acting on the system, choosing an appropriate origin about which to evaluate the torques, and requiring that forces and torques sum to zero.

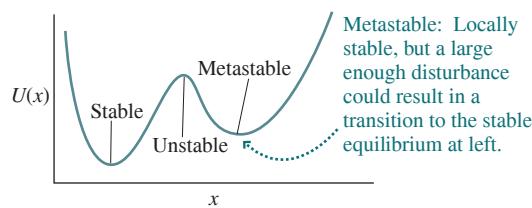
Equilibria occur where a system's potential energy  $U(x)$  has a maximum or a minimum:

$$\frac{dU}{dx} = 0 \quad (\text{equilibrium condition})$$

$$\frac{d^2U}{dx^2} > 0 \quad (\text{stable equilibrium})$$

$$\frac{d^2U}{dx^2} < 0 \quad (\text{unstable equilibrium})$$

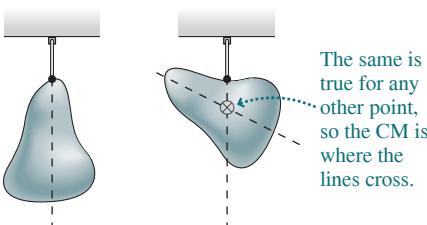
Stable equilibria occur at minima of  $U$  and unstable equilibria at maxima.



## Applications

The **center of gravity** of a system is the point where the force of gravity appears to act. When the gravitational field is uniform over the system, the center of gravity coincides with the center of mass. This provides a handy way to locate the center of mass.

Suspend the object from any point; the CM lies somewhere directly below.



The same is true for any other point, so the CM is where the lines cross.

Four different types of equilibrium are **stable**, **unstable**, **neutrally stable**, and **metastable**.

The lowest point in a valley is stable.



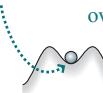
The highest point on a hill is unstable.



A level surface is neutrally stable.



This point is metastable.



Note that the hill goes lower over here.

## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

### Learning Outcomes After finishing this chapter you should be able to:

- LO 12.1 Describe quantitatively the conditions for static equilibrium.  
*For Thought and Discussion Question 12.1; Exercises 12.10, 12.11, 12.12; Problem 12.57*
- LO 12.2 Locate the center of gravity of a system and calculate gravitational torques.  
*For Thought and Discussion Questions 12.2, 12.3, 12.4, 12.9; Exercises 12.13, 12.14, 12.15; Problem 12.65*
- LO 12.3 Solve problems involving static equilibrium.  
*For Thought and Discussion Questions 12.5, 12.6, 12.7;*

*Exercises 12.16, 12.17, 12.18, 12.19; Problems 12.30, 12.31, 12.32, 12.33, 12.34, 12.35, 12.36, 12.37, 12.38, 12.39, 12.40, 12.42, 12.46, 12.47, 12.48, 12.51, 12.52, 12.53, 12.58, 12.59, 12.60, 12.61, 12.62, 12.63, 12.64, 12.66*

- LO 12.4 Distinguish stable from unstable equilibria.  
*For Thought and Discussion Question 12.8; Exercises 12.20, 12.21; Problems 12.41, 12.43, 12.44, 12.45, 12.49, 12.50, 12.54, 12.55, 12.56, 12.67*

### For Thought and Discussion

- Give an example of an object on which the net force is zero, but that isn't in static equilibrium.
- The best way to lift a heavy weight is to squat with your back vertical, rather than to lean over. Why?
- Pregnant women often assume a posture with their shoulders held far back from their normal position. Why?
- When you carry a bucket of water with one hand, you instinctively extend your opposite arm. Why?
- Is a ladder more likely to slip when you stand near the top or the bottom? Explain.
- Does choosing a pivot point in an equilibrium problem mean that something is necessarily going to rotate about that point?
- If you take the pivot point at the application point of one force in a static-equilibrium problem, that force doesn't enter the torque equation. Does that make the force irrelevant to the problem? Explain.
- A short dog and a tall person are standing on a slope. If the slope angle increases, which will fall over first? Why?
- A stiltwalker is standing motionless on one stilt. What can you say about the location of the stiltwalker's center of mass?

### Exercises and Problems

#### Exercises

##### Section 12.1 Conditions for Equilibrium

- A body is subject to three forces:  $\vec{F}_1 = 1\hat{i} + 2\hat{j}$  N, applied at the point  $x = 2$  m,  $y = 0$  m;  $\vec{F}_2 = -2\hat{i} - 5\hat{j}$  N, applied at  $x = -1$  m,  $y = 1$  m; and  $\vec{F}_3 = 1\hat{i} + 3\hat{j}$  N, applied at  $x = -2$  m,  $y = 5$  m. Show that (a) the net force and (b) the net torque about the origin are both zero.
- To demonstrate that the choice of pivot point doesn't matter, show that the torques in Exercise 10 sum to zero when evaluated about the points (3 m, 2 m) and (-7 m, 1 m).
- In Fig. 12.11 the forces shown all have the same magnitude  $F$ . For each case shown, is it possible to place a third force so as to meet both conditions for static equilibrium? If so, specify the force and a suitable application point. If not, why not?

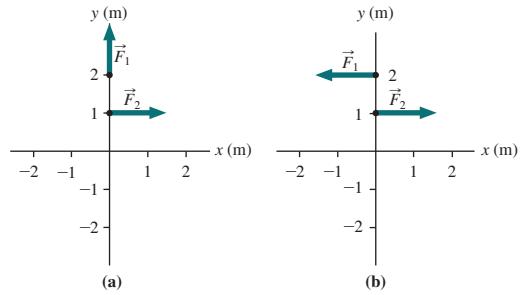


FIGURE 12.11 Exercise 12

##### Section 12.2 Center of Gravity

- Figure 12.12a shows a thin, uniform square plate of mass  $m$  and side  $L$ . The plate is in a vertical plane. Find the magnitude of the gravitational torque on the plate about each of the three points shown.
- Repeat the preceding problem for the equilateral triangle in Fig. 12.12b, which has side  $L$ .
- A 23-m-long log of irregular cross section lies horizontally, supported by a wall at one end and a cable attached 4.0 m from the other end, as shown in Fig. 12.13. The log weighs 7.5 kN, and the tension in the cable is 6.2 kN. Find the log's center of gravity.

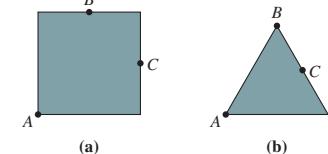


FIGURE 12.12 Exercises 13 and 14

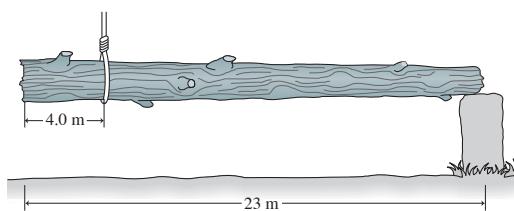


FIGURE 12.13 Exercise 15

### Section 12.3 Examples of Static Equilibrium

16. A 60-kg uniform board 2.4 m long is supported by a pivot 80 cm from the left end and by a scale at the right end (Fig. 12.14). How far from the left end should a 40-kg child sit for the scale to read zero?

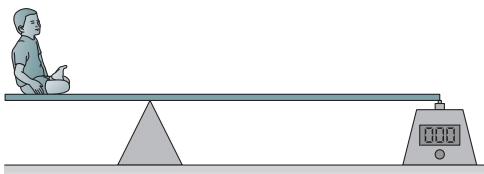


FIGURE 12.14 Exercises 16 and 17

17. Where should the child in Fig. 12.14 sit if the scale is to read (a) 100 N and (b) 300 N?
18. A 4.2-m-long beam is supported by a cable at its center. A 65-kg steelworker stands at one end of the beam. Where should a 190-kg bucket of concrete be suspended for the beam to be in static equilibrium?
19. Figure 12.15 shows how a scale with a capacity of only 250 N can be used to weigh a heavier person. The 3.4-kg board is 3.0 m long and has uniform density. It's free to pivot about the end farthest from the scale. Assume that the beam remains essentially horizontal. What's the weight of the person shown squatting 1.2 m from the pivot end if the scale reads 210 N?

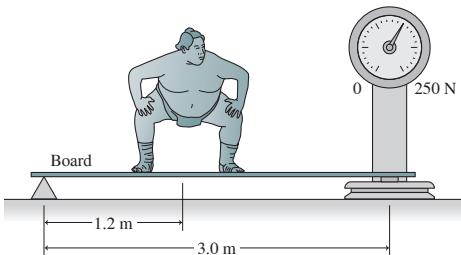


FIGURE 12.15 Exercise 19

### Section 12.4 Stability

20. A portion of a roller-coaster track is described by the equation  $h = 0.94x - 0.010x^2$ , where  $h$  and  $x$  are the height and horizontal position in meters. (a) Find a point where the roller-coaster car could be in static equilibrium on this track. (b) Is this equilibrium stable or unstable?
21. The potential energy associated with a particle at position  $x$  is given by  $U = 2x^3 - 2x^2 - 7x + 10$ , with  $x$  in meters and  $U$  in joules. Find the positions of any stable and unstable equilibria.

#### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

22. **Example 12.2:** A construction worker leans a uniform board against a frictionless wall. Its bottom end rests on a concrete driveway where the coefficient of friction between board and

driveway is 0.483. What's the minimum angle the board can make with the horizontal if it's not to slip?

23. **Example 12.2:** A 4.00-m, 6.47-kg ladder rests against a wall, inclined at  $70.0^\circ$  to the horizontal. The wall is frictionless, while the coefficient of friction between the bottom of the ladder and the ground is 0.265. How far up the ladder can a person with mass 68.8 kg climb before the ladder starts to slip?

24. **Example 12.2:** Climbers attempting to cross a stream place a 224-kg log against a vertical, frictionless ice cliff, as shown in Fig. 12.16. The center of gravity of the log is one-third of the way along its length, and the frictional coefficient between log and ground is 0.982. Find the lowest value for the angle  $\phi$  for which the log won't slip when a 77.3-kg climber is standing as shown, halfway across the log.

25. **Example 12.2:** Suppose the angle  $\phi$  in Fig. 12.16 is  $26^\circ$ . Will the climber in the preceding problem be able to get to the right-hand end of the log without it slipping? Assume the same log mass and frictional coefficient as in the preceding problem. If your answer is "no," how far along the log can the climber get? If it's "yes," how massive a climber could make it to the log's end?

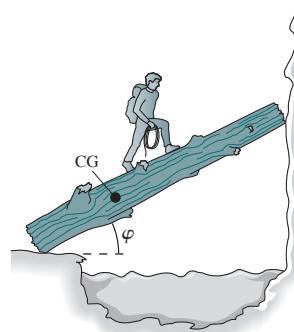


FIGURE 12.16 Problem 24 and 25

26. **Example 12.4:** Consider the potential-energy curve in Example 12.4, but now with  $a = -8 \text{ aJ/nm}^2$  and  $b$  unchanged at  $1 \text{ aJ/nm}^4$ . Determine the locations and stability of the corresponding equilibria.

27. **Example 12.4:** With the constant  $b$  in Example 12.4 unchanged at  $b = 1 \text{ aJ/nm}^4$ , (a) find a value for  $a$  that results in unstable equilibria at  $x = \pm 3 \text{ nm}$ . (b) Is there still a stable equilibrium at  $x = 0$ ?

28. **Example 12.4:** A potential-energy curve is given by  $U(x) = \text{COMP} \sin x / (x^2 + 10)$ . Use graphical or numerical techniques to find any equilibria between  $x = 0$  and  $x = 10$ , and determine their stability.

29. **Example 12.4:** A potential-energy function in two dimensions is given by  $U(x) = a(x^2 - y^2)$ , where  $x$  and  $y$  measure position in m and  $a$  is a positive constant with the units of  $\text{J/m}^2$ . (a) Show that this function has an equilibrium at  $x = 0, y = 0$ . (b) Is the equilibrium stable against small displacements in the  $x$ -direction? What about the  $y$ -direction?

#### Problems

30. You're a highway safety engineer, and you're asked to specify bolt sizes so the traffic signal in Fig. 12.17 won't fall over. The figure indicates the masses and positions of the structure's various parts. The structure is mounted with two bolts, located symmetrically about the vertical member's centerline, as shown. What tension force must the left-hand bolt be capable of withstanding?

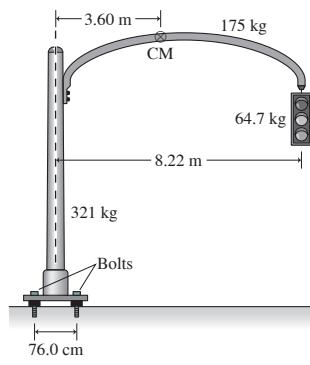


FIGURE 12.17 Problem 30

31. Figure 12.18a shows an outstretched arm with mass 4.2 kg. The arm is 56 cm long, and its center of gravity is 21 cm from the shoulder. The hand at the end of the arm holds a 6.0-kg mass. (a) Find the torque about the shoulder due to the weight of the arm and the 6.0-kg mass. (b) If the arm is held in equilibrium by the deltoid muscle, whose force on the arm acts at  $5^\circ$  below the horizontal at a point 18 cm from the shoulder joint (Fig. 12.18b), what's the force exerted by the muscle?

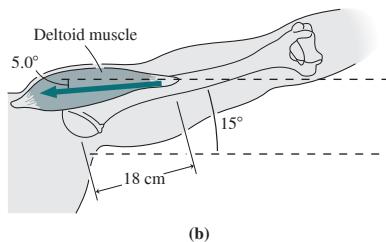
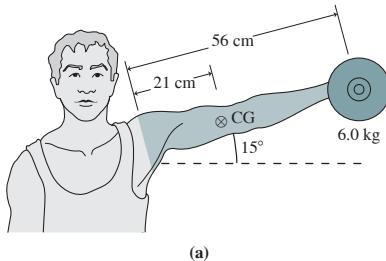


FIGURE 12.18 Problem 31

32. A uniform sphere of radius  $R$  is supported by a rope attached to a vertical wall, as shown in Fig. 12.19. The rope joins the sphere at a point where a continuation of the rope would intersect a horizontal line through the sphere's center a distance  $\frac{1}{2}R$  beyond the center, as shown. What's the smallest possible value for the coefficient of friction between wall and sphere?  
**CH** 33. You work for a garden equipment company, and you're designing a new garden cart. Specifications to be listed include the horizontal force that must be applied to push the fully loaded cart (mass 55 kg, 60-cm-diameter wheels) up an abrupt 8.0-cm step, as shown in Fig. 12.20. What do you specify for the force?

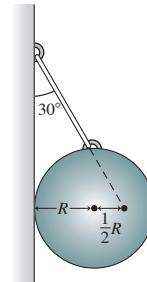
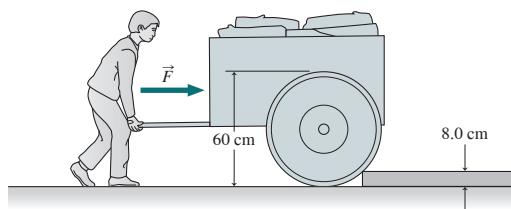
FIGURE 12.19  
Problem 32

FIGURE 12.20 Problem 33

34. Figure 12.21 shows the foot and lower leg of a person standing on the ball of one foot. Three forces act to maintain this equilibrium: the tension force  $\vec{T}$  in the Achilles tendon, the contact force  $\vec{F}_c$  at the ankle joint, and the normal force  $\vec{n}$  that supports the person's 697-N weight. The application points for these forces are shown in Fig. 12.21. The person's center of gravity is directly above the contact point with the ground, and you can treat the mass of the foot

itself as being negligible. Find the magnitudes of (a) the tension in the Achilles tendon and (b) the contact force at the ankle joint.

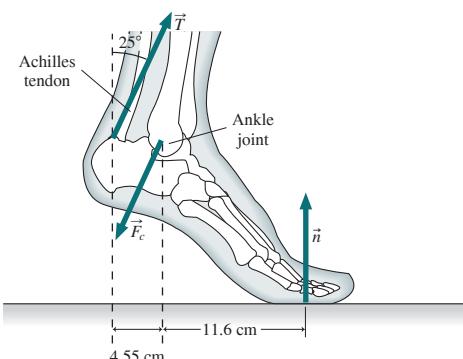


FIGURE 12.21 Problem 34

35. A uniform 5.0-kg ladder is leaning against a frictionless vertical wall, with which it makes a  $15^\circ$  angle. The coefficient of friction between ladder and ground is 0.26. Can a 65-kg person climb to the top of the ladder without it slipping? If not, how high can that person climb? If so, how massive a person would make the ladder slip?  
**CH** 36. The boom in the crane of Fig. 12.22 is free to pivot about point  $P$  and is supported by the cable attached halfway along its 18-m length. The cable passes over a pulley and is anchored at the back of the crane. The boom has mass 1700 kg distributed uniformly along its length, and the mass hanging from the boom is 2200 kg. The boom makes a  $50^\circ$  angle with the horizontal. Find the tension in the cable.

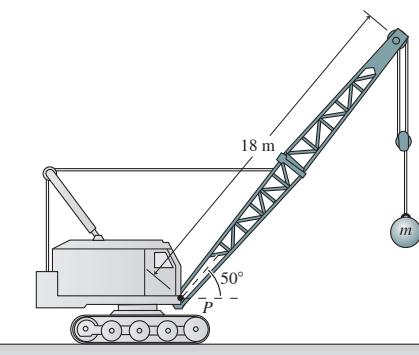


FIGURE 12.22 Problem 36

37. A uniform board of length  $L$  and weight  $W$  is suspended between two vertical walls by ropes of length  $L/2$  each. When a weight  $w$  is placed on the left end of the board, it assumes the configuration shown in Fig. 12.23. Find the weight  $w$  in terms of the board weight  $W$ .

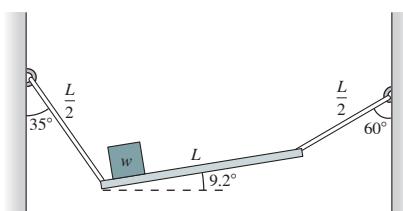


FIGURE 12.23 Problem 37

38. Figure 12.24 shows a 1250-kg car that has slipped over an embankment. People are trying to hold the car in place by pulling on a horizontal rope. The car's bottom is pivoted on the edge of the embankment, and its center of mass lies farther back, as shown. If the car makes a  $34^\circ$  angle with the horizontal, what force must the people apply to hold it in place?

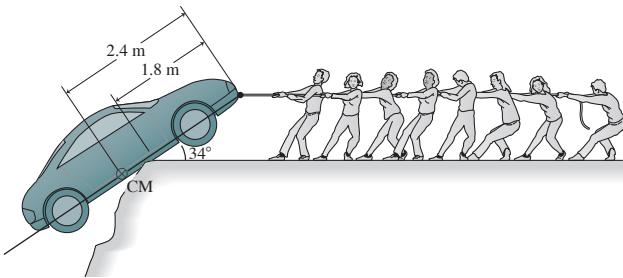


FIGURE 12.24 Problem 38

39. Repeat Example 12.2, now assuming that the coefficient of friction at the ground is  $\mu_1$  and at the wall is  $\mu_2$ . Show that the minimum angle at which the ladder won't slip is now given by  $\phi = \tan^{-1}[(1 - \mu_1\mu_2)/2\mu_1]$ . Assume that both frictional forces take their maximum possible values.

40. You are headwaiter at a new restaurant, and your boss asks you to hang a sign for her. You're to hang the sign, whose mass is 66 kg, in the configuration shown in Fig. 12.25. A uniform horizontal rod of mass 8.2 kg and length 2.3 m holds the sign. At one end the rod is attached to the wall by a pivot; at the other end it's supported by a cable that can withstand a maximum tension of 800 N. You're to determine the minimum height  $h$  above the pivot for anchoring the cable to the wall.

41. A cylindrical pipe of mass  $M$ , length  $L$ , and diameter  $D$  is standing vertically, resting on one end. In this configuration it's in a metastable equilibrium. Find an expression for the energy needed to bring the pipe to the adjacent unstable equilibrium, from which it can fall into the more stable configuration where it's lying on its side.

42. A crane in a marble quarry is mounted on the quarry's rock walls and is supporting a 2500-kg marble slab as shown in Fig. 12.26. The center of mass of the 830-kg boom is located one-third of the way from the pivot end of its 15-m length, as shown. Find the tension in the horizontal cable that supports the boom.

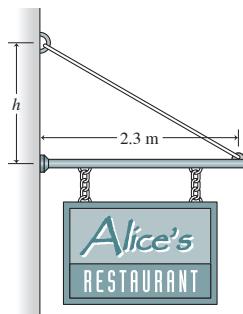


FIGURE 12.25 Problem 40

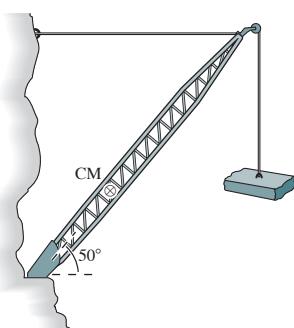


FIGURE 12.26 Problem 42

43. A rectangular block measures  $w \times w \times L$ , where  $L$  is the longer dimension. It's on a horizontal surface, resting on its long side. Use geometrical arguments to find an expression for the angle through which you would have to tilt it in order to put it in an unstable equilibrium, resting on a short edge.

44. The potential energy as a function of position for a particle is given by

$$U(x) = U_0 \left( \frac{x^3}{x_0^3} + a \frac{x^2}{x_0^2} + 4 \frac{x}{x_0} \right)$$

where  $x_0$  and  $a$  are constants. For what values of  $a$  will there be two static equilibria? Comment on the stability of these equilibria.

45. A rectangular block of mass  $m$  measures  $w \times w \times L$ , where  $L$  is the longer dimension. It's on a horizontal surface, resting on its long side, as in the left-hand block in Fig. 12.8. (a) Taking the zero of potential energy when the block is lying on its long side, find an expression for its potential energy as a function of the angle  $\theta$  that the long dimension of the block makes with the horizontal, starting with  $\theta = 0$  in the left-hand configuration of Fig. 12.8 and continuing through the upright position shown at the right ( $\theta = 90^\circ$ ). (b) Use calculus to find the angle  $\theta$  where your function has a maximum, and check that it agrees with the answer to Problem 43. (c) Use calculus to show that this is a point of unstable equilibrium.

46. A 160-kg highway sign of uniform density is 2.3 m wide and 1.4 m high. At one side it's secured to a pole with a single bolt, mounted a distance  $d$  from the top of the sign. The only other place where the sign contacts the pole is at its bottom corner. If the bolt can sustain a horizontal tension of 2.1 kN, what's the maximum permissible value for the distance  $d$ ?

47. In Example 12.2, consider the ladder to be wider and therefore heavier at the bottom, so its center of mass lies only two-fifths of the way along its length. Find a new expression for the minimum angle  $\phi$  for which the ladder won't slip.

48. If the biceps and tendon in Example 12.3 can tolerate a maximum tension of 760 N without injury, what's the maximum mass that could replace the pumpkin in that example?

49. A uniform, solid cube of mass  $m$  and side  $s$  is in stable equilibrium when sitting on a level tabletop. How much energy is required to bring it to an unstable equilibrium where it's resting on its corner?

50. An isosceles triangular block of mass  $m$  and height  $h$  is in stable equilibrium, resting on its base on a horizontal surface. How much energy does it take to bring it to unstable equilibrium, resting on its apex?

51. You're investigating ladder safety for the Consumer Product Safety Commission. Your test case is a uniform ladder of mass  $m$  leaning against a frictionless vertical wall with which it makes an angle  $\theta$ . The coefficient of static friction at the floor is  $\mu$ . Your job is to find an expression for the maximum mass of a person who can climb to the top of the ladder without its slipping. With that result, you're to show that *anyone* can climb to the top if  $\mu \geq \tan \theta$  but that *no one* can if  $\mu < \frac{1}{2} \tan \theta$ .

52. A 2.0-m-long rod has density  $\lambda$  in kilograms per meter of length described by  $\lambda = a + bx$ , where  $a = 1.0 \text{ kg/m}$ ,  $b = 1.0 \text{ kg/m}^2$ , and  $x$  is the distance from the left end of the rod. The rod rests horizontally with each end supported by a scale. What do the two scales read?

53. What horizontal force applied at its highest point is necessary to keep a wheel of mass  $M$  from rolling down a slope inclined at angle  $\theta$  to the horizontal?

54. A rectangular block twice as high as it is wide is resting on a board. The coefficient of static friction between board and incline is 0.63. If the board's inclination angle  $\theta$  (shown in Fig. 12.27) is gradually increased, will the block first tip over or first begin sliding?

55. What condition on the coefficient of friction in Problem 54 will cause the block to slide before it tips?
56. A uniform solid cone of height  $h$  and base diameter  $\frac{1}{3}h$  sits on the board of Fig. 12.27. The coefficient of static friction between the cone and incline is 0.63. As the slope of the board is increased, will the cone first tip over or first begin sliding? (Hint: Start with an integration to find the center of mass.)

57. Prove the statement in Section 12.1 that the choice of pivot point doesn't matter when applying conditions for static equilibrium. Figure 12.28 shows an object on which the net force is assumed to be zero. The net torque about the point  $O$  is also zero. Show that the net torque about any other point  $P$  is also zero. To do so, write the net torque about  $P$  as  $\vec{\tau}_P = \sum \vec{r}_{Pi} \times \vec{F}_i$ , where the vectors  $\vec{r}_P$  go from  $P$  to the force-application points, and the index  $i$  labels the different forces. In Fig. 12.28, note that  $\vec{r}_{Pi} = \vec{r}_{Oi} + \vec{R}$ , where  $\vec{R}$  is a vector from  $P$  to  $O$ . Use this result in your expression for  $\vec{\tau}_P$  and apply the distributive law to get two separate sums. Use the assumptions that  $\vec{F}_{\text{net}} = \vec{0}$  and  $\vec{\tau}_O = \vec{0}$  to argue that both terms are zero. This completes the proof.

58. Three identical books of length  $L$  are stacked over the edge of a table as shown in Fig. 12.29. The top book overhangs the middle one by  $L/2$ , so it just barely avoids falling. The middle book overhangs the bottom one by  $L/4$ . How much of the bottom book can overhang the edge of the table without the books falling?

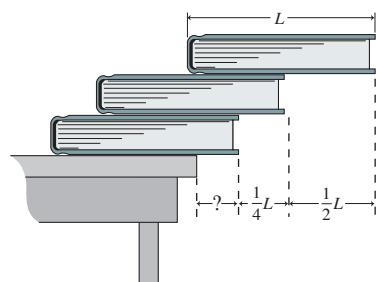


FIGURE 12.29 Problem 58

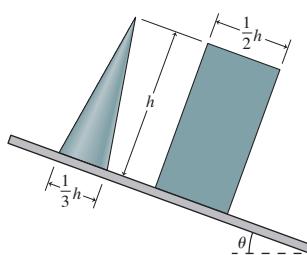


FIGURE 12.27 Problems 54, 55, and 56

59. A uniform pole of mass  $M$  is at rest on an incline of angle  $\theta$  secured by a horizontal rope as shown in Fig. 12.30. Find the minimum frictional coefficient that will keep the pole from slipping.

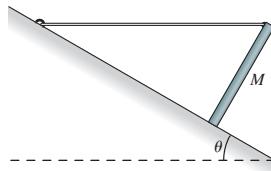


FIGURE 12.30 Problems 59 and 60

60. For what angle does the situation in Problem 59 require the greatest coefficient of friction?

61. Figure 12.31 shows a popular system for mounting bookshelves. An aluminum bracket is mounted on a vertical aluminum support by small tabs inserted into vertical slots. Contact between the bracket and support occurs only at the upper tab and at the bottom of the bracket, 4.5 cm below the upper tab. If each bracket in the shelf system supports 32 kg of books, with the center of gravity 12 cm out from the vertical support, what is the horizontal component of the force exerted on the upper bracket tab?

62. The *nuchal ligament* is a thick, cordlike structure that supports the head and neck in animals like horses. Figure 12.32 shows the nuchal ligament and its attachment points on a horse's skeleton, along with an approximation to the spine as a rigid rod. Centers of mass of head and neck are also shown. If the masses of head and neck are 29 kg and 68 kg, respectively, what's the tension in the nuchal ligament? (Note: Your answer will be an overestimate because muscles also provide support.)

63. A 4.2-kg plant hangs from the bracket shown in Fig. 12.33. The bracket's mass is 0.85 kg, and its center of mass lies 9.0 cm from the wall. A single screw holds the bracket to the wall, as shown. Find the horizontal tension in the screw. (Hint: Imagine that the bracket is slightly loose and pivoting about its bottom end. Assume the wall is frictionless.)

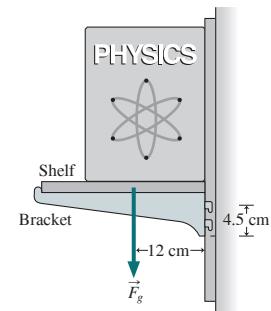
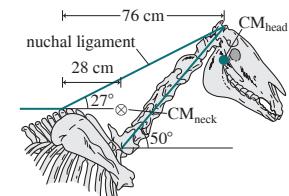


FIGURE 12.31 Problem 61



- FIGURE 12.32 Problem 62

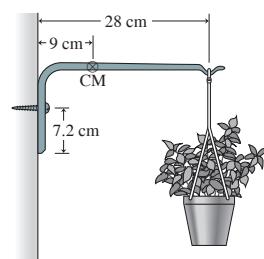


FIGURE 12.33 Problem 63

64. The wheel in Fig. 12.34 has mass  $M$  and is weighted with an additional mass  $m$  as shown. The coefficient of friction is sufficient to keep the wheel from sliding; however, it might still roll.

Show that it won't roll only if  $m > \frac{M \sin \theta}{1 - \sin \theta}$ .

- CH** 65. An interstellar spacecraft from an advanced civilization is hovering above Earth, as shown in Fig. 12.35. The ship consists of two pods of mass  $m$  separated by a rigid shaft of negligible mass and one Earth radius ( $R_E$ ) long. Find (a) the magnitude and direction of the net gravitational force on the ship and (b) the net torque about the center of mass. (c) Show that the ship's center of gravity is displaced approximately  $0.083R_E$  from its center of mass.

66. You'll need to study the Application on page 216 to do this problem. An SUV without ECS has SSF = 1.12 with its two passengers on board. (a) Can it successfully negotiate an 85-m-radius turn on a flat road, going at the speed limit of 100 km/h? (b) With its passengers, the SUV's total mass is 1940 kg, and the left-to-right spacing between its tires is 1.71 m. If a 315-kg load of cargo is secured to the roof, with its center of gravity 2.1 m above the road, what's the maximum safe speed on the same road?

- DATA** 67. Engineers designing a new semiconductor device measure the potential energy that results when they move an electron to different positions within their device. The device is one-dimensional, so the positions all lie along a line. The table below gives the resulting data. Plot these data and from your plot, determine the approximate positions of any equilibria and whether such equilibria are stable or unstable.

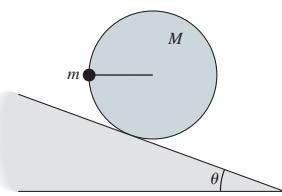


FIGURE 12.34 Problem 64

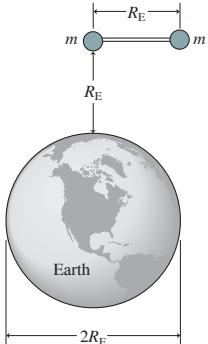


FIGURE 12.35 Problem 65

Position $x$ (nm)	0	3.26	5.85	6.41	7.12	9.37	10.5	12.2	14.0	14.5	15.3	17.2
Potential energy $U$ (aJ)	1.5	0.65	0.30	0.47	0.85	2.7	3.3	2.1	-0.47	-0.86	-0.72	3.2

## Answers to Chapter Questions

### Answer to Chapter Opening Question

Both the net force and the net torque on all parts of the bridge must be zero.

### Answers to GOT IT? Questions

- 12.1 Pair C; pair A produces nonzero net force, while pair B produces nonzero net torque  
 12.2 B; It's located directly over the point of contact with the floor, ensuring there's no gravitational torque.  
 12.3 (b) A frictional force at the floor is necessary to balance the normal force from the wall.  
 12.4 D: stable; B: metastable; A and C: unstable; E: neutrally stable

## Passage Problems

You've been hired by your state's environmental agency to monitor carbon dioxide levels just above rivers, with the goal of understanding whether river water acts as a source or sink of CO<sub>2</sub>. You've constructed the apparatus shown in Fig. 12.36, consisting of a boom mounted on a pivot, a vertical support, and a rope with pulley for raising and lowering the boom so its end can extend different distances over the river. In addition, there's a separate rope and pulley for dropping the sampling apparatus so it's just above the river.

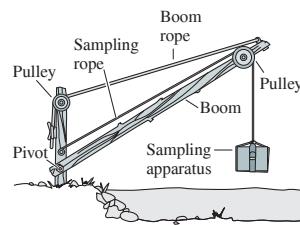


FIGURE 12.36 Passage Problems 68–71

68. When the boom rope is horizontal, it can't exert any vertical force. Therefore,
- it's impossible to hold the boom with the boom rope horizontal.
  - the boom rope tension becomes infinite.
  - the pivot supplies the necessary vertical force.
  - the boom rope exerts no torque.
69. The tension in the boom rope will be greatest when
- the boom is horizontal.
  - the boom rope is horizontal.
  - the boom is vertical.
  - in some orientation other than (a), (b), or (c).
70. If you secure the boom at a fixed angle and lower the sampling apparatus at constant speed, the boom rope tension will
- increase.
  - decrease.
  - remain the same.
  - increase only if the sampling apparatus is more massive than the boom.
71. If you pull the boom rope with constant speed, the angle the boom makes with the horizontal will
- increase at a constant rate.
  - increase at an increasing rate.
  - increase at a decreasing rate.
  - decrease.

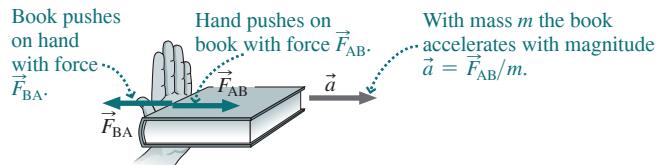
The big idea of Part One is Newton's realization that forces—pushes and pulls—don't cause motion but instead cause *changes* in motion. Newton's second law quantifies this idea. With momentum  $\vec{p} = m\vec{v}$  as Newton's measure of “quantity of motion,” the second law equates the net force on an object to the rate of change of its momentum:  $\vec{F} = d\vec{p}/dt$  or, for constant mass,  $\vec{F} = m\vec{a}$ . The second law encompasses the first law, also called the law of inertia: In the absence of a net force, an object continues in uniform motion, unchanging in speed or direction—a state that includes the special case of being at rest. Newton's third law rounds out the picture, providing a fully consistent description of motion with its statement that forces come in pairs: If object A exerts a force on B, then B exerts a force of equal magnitude but opposite direction on A.

**Newton's laws** provide a full description of motion.

Newton's first law: Force causes a change in motion.

Newton's second law:  $\vec{F} = d\vec{p}/dt$  or, for constant mass,  $\vec{F} = m\vec{a}$

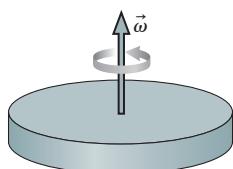
Newton's third law:  $\vec{F}_{AB} = -\vec{F}_{BA}$



**Universal gravitation** describes the attractive force between all matter in the universe.

$$F = \frac{Gm_1m_2}{r^2}$$

**Rotational motion** is described by quantities analogous to those of linear motion.



$$\begin{aligned}\vec{v} &\rightarrow \vec{\omega} \\ \vec{a} &\rightarrow \vec{\alpha} \\ \vec{p} &\rightarrow \vec{L} \\ \vec{F} &\rightarrow \vec{\tau} \\ m &\rightarrow I\end{aligned}$$

$$\vec{F} = m\vec{a} \rightarrow \vec{\tau} = I\vec{\alpha}$$

$$K = \frac{1}{2}mv^2 \rightarrow K = \frac{1}{2}I\omega^2$$

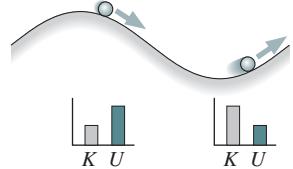
### Part One Challenge Problem

A solid ball of radius  $R$  is set spinning with angular speed  $\omega$  about a horizontal axis. The ball is then lowered vertically with negligible speed until it just touches a horizontal surface and is released (see figure). If the coefficient of kinetic friction between the ball and the surface is  $\mu$ , find (a) the linear speed of the ball once it achieves pure rolling motion, (b) the distance it travels before it achieves this motion, and (c) the fraction of the ball's initial rotational kinetic energy that's been lost to friction.

From the concept of force and Newton's laws follow the essential ideas of energy and work, including kinetic and potential energy and the conservation of mechanical energy in the absence of nonconservative forces like friction. One important force is gravity, which Newton described through his law of universal gravitation and applied to explain the motions of the planets. Application of Newton's laws to systems comprising multiple objects gives us the concept of center of mass and lets us describe the interactions of colliding objects. Finally, Newton's laws explain circular and rotational motion, the latter through the analogy between force and torque. That, in turn, gives us the tools needed to determine static equilibrium—the state in which an object at rest remains at rest, subject neither to a net force nor to a net torque.

**Energy** and **work** are related concepts; work is a mechanical means of transferring energy.

Work:  $W = \vec{F} \cdot \Delta \vec{r}$  or, for a varying force,  $W = \int \vec{F} \cdot d\vec{r}$



Work–kinetic energy theorem:  $\Delta K = W$  with kinetic energy  $K = \frac{1}{2}mv^2$

For conservative forces, energy that gets transferred by doing work is stored as potential energy  $U$ . Then  $K + U = \text{constant}$ .

**Momentum is conserved** in a system that's not subject to external forces.

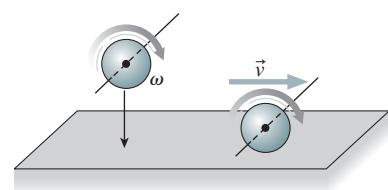
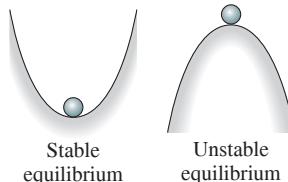
Initial state	Final state
Initial momentum = $\vec{P}_i = \sum m_i \vec{v}_i = m_1 \vec{v}_{1i}$	Final momentum = $\vec{P}_f = \sum m_f \vec{v}_f = m_1 \vec{v}_{1f} + m_2 \vec{v}_{2f} + m_3 \vec{v}_{3f}$

A system is in **static equilibrium** when the net force and the net torque on the system are both zero:

$$\vec{F}_{\text{net}} = \vec{0}$$

and

$$\vec{\tau}_{\text{net}} = \vec{0}$$



# Oscillations, Waves, and Fluids



High-speed photo shows complex fluid behavior and spreading circular waves on water.

## OVERVIEW

A tsunami crashes on shore, dissipating energy that has traveled across thousands of kilometers of open ocean. Near the epicenter of the earthquake that spawned the tsunami, a skyscraper sways in response but suffers no damage thanks to a carefully engineered system that counters quake-induced vibrations. An electric guitar sounds loud during a rock concert, the sound waves following the vibrations of the guitar strings. Inside your smartphone, a tiny quartz crystal undergoes millions of vibrations each second to help time the GPS signals that determine your location. A radar-equipped police officer waits around the next turn in

the highway ready to ticket your speeding car, while astrophysicists use the same principle to measure the expansion of the universe. A rafting party enters a narrow gorge, getting a wild ride as the river's speed increases. A plane cruises far overhead, supported by the force of air on its wings. All these examples involve the collective motion of many particles. In the next three chapters, we first explore the repetitive motion called oscillation and then show how oscillations in many-particle systems lead to wave motion. Finally, we apply the laws of motion to reveal the fascinating and sometimes surprising behavior of fluids like air and water.

# Oscillatory Motion

## Skills & Knowledge You'll Need

- Newton's second law (Section 4.2)
- Force and energy in springs (Sections 4.6 and 7.2)
- Calculus, including derivatives of trig functions

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 13.1** Characterize oscillatory motion by its amplitude, frequency, and period.
- LO 13.2** State the physical conditions that result in simple harmonic motion.
- LO 13.3** Describe simple harmonic motion quantitatively in a mass-spring system.
- LO 13.4** Describe other simple harmonic motion systems, including torsional oscillators and pendulums.
- LO 13.5** Relate simple harmonic motion to circular motion.
- LO 13.6** Outline energy exchanges in simple harmonic motion.
- LO 13.7** Explain why simple harmonic motion is ubiquitous throughout the universe.
- LO 13.8** Describe the effect of damping on simple harmonic motion.
- LO 13.9** Explain resonance in driven oscillatory systems.



A tiny quartz tuning fork sets the timekeeping of a quartz watch. It oscillates at 32,768 Hz. What does this mean, and why this number?

Displace a system from stable equilibrium, and forces or torques tend to restore that equilibrium. But, like the ball in Fig. 13.1, the system often overshoots its equilibrium and goes into **oscillatory motion** back and forth about equilibrium. In the absence of friction, this oscillation would continue forever; in reality, the system eventually settles into equilibrium.

Oscillatory motion occurs throughout the physical world. A uranium nucleus oscillates before it fissions. Water molecules oscillate to heat the food in a microwave oven. Carbon dioxide molecules in the atmosphere oscillate, absorbing energy and thus contributing to global warming. A watch—whether an old-fashioned mechanical one or a modern quartz timepiece—is a carefully engineered oscillating system. Buildings and bridges undergo oscillatory motion, sometimes with disastrous results. Even stars oscillate. And waves—from sound to ocean waves to seismic waves in the solid Earth—ultimately involve oscillatory motion.

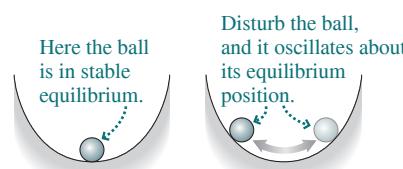
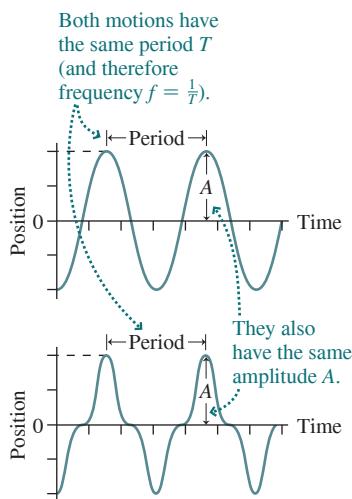


FIGURE 13.1 Disturbing a system results in oscillatory motion.



**FIGURE 13.2** Position–time graphs for two oscillatory motions with the same amplitude  $A$  and period  $T$  (and therefore frequency).

Oscillatory motion is universal because systems in stable equilibrium naturally tend to return toward equilibrium when they’re displaced. And it’s not just the qualitative phenomenon of oscillation that’s universal: Remarkably, the mathematical description of oscillatory motion is the same for systems ranging from atoms and molecules to cars and bridges and on to stars and galaxies.

## 13.1 Describing Oscillatory Motion

**LO 13.1** Characterize oscillatory motion by its amplitude, frequency, and period.

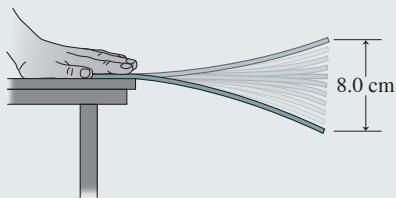
Figure 13.2 shows two quantities that characterize oscillatory motion: **Amplitude** is the maximum displacement from equilibrium, and **period** is the time it takes for the motion to repeat itself. Another way to express the time aspect is **frequency**, or number of oscillation cycles per unit time. Frequency  $f$  and period  $T$  are complementary ways of conveying the same information, and mathematically they’re inverses:

$$f = \frac{1}{T} \quad (13.1)$$

The unit of frequency is the **hertz** (Hz), named after the German Heinrich Hertz (1857–1894), who was the first to produce and detect radio waves. One hertz is equal to one oscillation cycle per second.

### EXAMPLE 13.1 Amplitude, Period, Frequency: An Oscillatory Distraction

Tired of homework, a student holds one end of a flexible plastic ruler against a desk and idly strikes the other end, setting it into oscillation (Fig. 13.3). The student notes that 28 complete cycles occur in 10 s and that the end of the ruler moves a total distance of 8.0 cm. What are the amplitude, period, and frequency of this oscillatory motion?



**FIGURE 13.3** A ruler undergoing oscillatory motion.

**INTERPRET** We’ve got a case of oscillatory motion, and we’re asked to describe it quantitatively in terms of amplitude, period, and frequency.

**DEVELOP** We can work from the definitions of these quantities: Amplitude is the maximum displacement from equilibrium, period is the time to complete a full oscillation, and frequency is the inverse of the period (Equation 13.1).

**EVALUATE** The ruler moves a total of 8.0 cm from one extreme to the other. Since the motion takes it to both sides of its equilibrium position, the amplitude is 4.0 cm. With 28 cycles in 10 s, the time per cycle, or the period, is

$$T = \frac{10 \text{ s}}{28} = 0.36 \text{ s}$$

The frequency is the inverse of the period:  $f = 1/T = 1/0.36 \text{ s} = 2.8 \text{ Hz}$ . We can also get this directly: 28 cycles/10 s = 2.8 Hz.

**ASSESS** Make sense? With a period that’s less than 1 s, the frequency must be more than 1 cycle per second or 1 Hz. Our definition of amplitude as the maximum displacement from equilibrium led to our 4.0-cm amplitude; the full 8.0 cm between extreme positions is called the **peak-to-peak amplitude**.

Amplitude and frequency don’t provide all the details of oscillatory motion, since two quite different motions can have the same frequency and amplitude (Fig. 13.2). The differences reflect the restoring forces that return systems to equilibrium. Remarkably, though, restoring forces in many physical systems have the same mathematical form—a form we encountered before, when we introduced the force of an ideal spring in Chapter 4.

### GOT IT?

- 13.1** A typical human heart rate is about 65 beats per minute. The corresponding period and frequency are (a) period just over 1 s and frequency just under 1 Hz; (b) period just under 1 s and frequency just under 1 Hz; (c) period just under 1 s and frequency just over 1 Hz; or (d) period just over 1 minute and frequency of 70 Hz.

## 13.2 Simple Harmonic Motion

**LO 13.2** State the physical conditions that result in simple harmonic motion.

**LO 13.3** Describe simple harmonic motion quantitatively in a mass–spring system.

In many systems, the restoring force that develops when the system is displaced from equilibrium increases approximately in direct proportion to the displacement—meaning that if you displace the system twice as far from equilibrium, the force tending to restore equilibrium becomes twice as great. In the rest of this chapter, we therefore consider the case of a restoring force directly proportional to displacement. This is an approximation for most real systems, but often a very good approximation, especially for small displacements from equilibrium.

The type of motion that results from a restoring force proportional to displacement is called **simple harmonic motion** (SHM). Mathematically, we describe such a force by writing

$$F = -kx \quad (\text{restoring force in SHM}) \quad (13.2)$$

The minus sign shows that  $F$  is a *restoring* force, directed opposite the displacement.

*F* is the force that tends to restore a system to equilibrium.

*k* is the spring constant (or analogous quantity for other systems).

SHM results whenever the restoring force is *directly proportional* to the displacement.

*x* is the displacement from equilibrium.

where  $F$  is the force,  $x$  is the displacement, and  $k$  is a constant of proportionality between them. The minus sign in Equation 13.2 indicates a *restoring* force: If the object is displaced in one direction, the force is in the *opposite* direction, so it tends to restore the equilibrium.

You've seen Equation 13.2 before: It's the force exerted by an ideal spring of spring constant  $k$ . So a system consisting of a mass attached to a spring undergoes simple harmonic motion (Fig. 13.4). Many other systems—including atoms and molecules—can be modeled as miniature mass–spring systems.

How does a body in simple harmonic motion actually move? We can find out by applying Newton's second law,  $F = ma$ , to the mass–spring system of Fig. 13.4. Here the force on the mass  $m$  is  $-kx$ , so Newton's law becomes  $-kx = ma$ , where we take the  $x$ -axis along the direction of motion, with  $x = 0$  at the equilibrium position. Now, the acceleration  $a$  is the second derivative of position, so we can write our Newton's law equation as

$$m \frac{d^2x}{dt^2} = -kx \quad (\text{Newton's second law for SHM}) \quad (13.3)$$

$d^2x/dt^2$  is acceleration...

The right-hand side is the net force  $F$ , given by Equation 13.2.

...so this term is  $ma$  from Newton's second law.

The solution to this equation is the position  $x$  as a function of time. What sort of function might it be? We expect periodic motion, so let's try periodic functions like sine and cosine. Suppose we pull the mass in Fig. 13.4 to the right and, at time  $t = 0$ , release it. Since it starts with a nonzero displacement, cosine is the appropriate function [recall that  $\cos(0) = 1$ , and  $\sin(0) = 0$ ]. We don't know the amplitude or frequency, so we'll try a form that has two unknown constants:

$$x(t) = A \cos \omega t \quad (13.4)$$

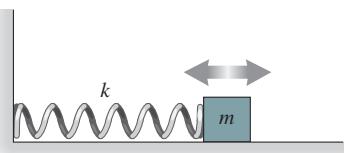
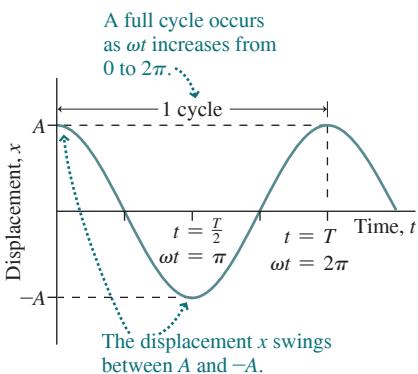


FIGURE 13.4 A mass attached to a spring undergoes simple harmonic motion.

FIGURE 13.5 The function  $A \cos \omega t$ .

**WHY RADIANS?** Here, as in Chapter 10, we use the angular quantity  $\omega$  because it provides the simplest mathematical description of the motion. In fact, the relationship between angular frequency and frequency in hertz is the same as Chapter 10's relationship between angular speed in radians per second and in revolutions per second. We'll explore this similarity further in Section 13.4.

Because the cosine function itself varies between +1 and -1,  $A$  in Equation 13.4 is the amplitude—the greatest displacement from equilibrium (Fig. 13.5). What about  $\omega$ ? The cosine function undergoes a full cycle as its argument increases by  $2\pi$  radians, or  $360^\circ$ , as shown in Fig. 13.5. In Equation 13.4, the argument of the cosine is  $\omega t$ . Since the time for a full cycle is the period  $T$ , the argument  $\omega t$  must go from 0 to  $2\pi$  as the time  $t$  goes from 0 to  $T$ . So we have  $\omega T = 2\pi$ , or

$$T = \frac{2\pi}{\omega} \quad (13.5)$$

The frequency of the motion is then

$$f = \frac{1}{T} = \frac{\omega}{2\pi} \quad (13.6)$$

Equation 13.6 shows that  $\omega$  is a measure of the frequency, although it differs from the frequency  $f$  by the factor  $2\pi$ . The quantity  $\omega$  is called the **angular frequency**, and its units are radians per second or, since radians are dimensionless, simply inverse seconds ( $s^{-1}$ ).

Writing the displacement  $x$  in the form 13.4 doesn't guarantee that we have a solution; we still need to see whether this form satisfies Equation 13.3. With  $x(t)$  given by Equation 13.4, its first derivative is

$$\frac{dx}{dt} = \frac{d}{dt}(A \cos \omega t) = -A\omega \sin \omega t$$

where we've used the chain rule for differentiation (see Appendix A). Then the second derivative is

$$\frac{d^2x}{dt^2} = \frac{d}{dt}\left(\frac{dx}{dt}\right) = \frac{d}{dt}(-A\omega \sin \omega t) = -A\omega^2 \cos \omega t$$

We can now try out our assumed solution for  $x$  (Equation 13.4) and its second derivative in Equation 13.3. Substituting  $x(t)$  and  $d^2x/dt^2$  in the appropriate places gives

$$m(-A\omega^2 \cos \omega t) \stackrel{?}{=} -k(A \cos \omega t)$$

where the ? indicates that we're still trying to find out whether this is indeed an equality. If it is, the equality must hold for all values of time  $t$ . Why? Because Newton's law holds at all times, and we derived our questionable equality from Newton's law. Fortunately, the time-dependent term  $\cos \omega t$  appears on both sides of the equation, so we can cancel it. Also, the amplitude  $A$  and the minus sign cancel from the equation, leaving only  $m\omega^2 = k$ , or

$\omega$  is the angular frequency of a mass-spring system, measured in rad/s or simply  $s^{-1}$ .       $k$  is the spring constant...      Equations 13.7b and c express this alternatively in terms of frequency  $f$  and period  $T$ .

$$\omega = \sqrt{\frac{k}{m}} \quad (\text{angular frequency, simple harmonic motion}) \quad (13.7a)$$

...and  $m$  is the mass.

Thus, Equation 13.4 is a solution of Equation 13.3, provided the angular frequency  $\omega$  is given by Equation 13.7a.

## Frequency and Period in Simple Harmonic Motion

We can recast Equation 13.7a in terms of the more familiar frequency  $f$  and period  $T$  using Equation 13.6,  $f = \omega/2\pi$ . This gives

$$f = \frac{\omega}{2\pi} = \frac{1}{2\pi} \sqrt{\frac{k}{m}} \quad \text{and} \quad T = \frac{1}{f} = 2\pi \sqrt{\frac{m}{k}} \quad (13.7b,c)$$

Do these relationships make sense? If we increase the mass  $m$ , it becomes harder to accelerate and we expect slower oscillations. This is reflected in Equations 13.7a and b, where  $m$  appears in the denominator. Increasing  $k$ , on the other hand, makes the spring stiffer and therefore results in greater force. That increases the oscillation frequency—as shown by the presence of  $k$  in the numerators of Equations 13.7a and b.

Physical systems display a wide range of  $m$  and  $k$  values and a correspondingly large range of oscillation frequencies. A molecule, with its small mass and its “springiness” provided by electric forces, may oscillate at  $10^{14}$  Hz or more. A massive skyscraper, in contrast, typically oscillates at about 0.1 Hz.

## Amplitude in Simple Harmonic Motion

The amplitude  $A$  canceled from our equations, so our analysis works for *any* value of  $A$ . This means that the oscillation frequency doesn’t depend on amplitude. Frequency that’s independent of amplitude is an essential feature of simple harmonic motion and arises because the restoring force is *directly proportional* to the displacement. When the restoring force does not have the simple form  $F = -kx$ , then frequency *does* depend on amplitude and the analysis of oscillatory motion becomes much more complicated. In many systems the relation  $F = -kx$  breaks down if the displacement  $x$  gets too big; for this reason, simple harmonic motion usually occurs only for small oscillation amplitudes.

## Phase

Equation 13.4 isn’t the only solution to Equation 13.3; you can readily show that  $x = A \sin \omega t$  works just as well. We chose the cosine because we took time  $t = 0$  at the point of maximum displacement. Had we set  $t = 0$  as the mass passed through its equilibrium point, sine would have been the appropriate function. More generally we can take the zero of time at some arbitrary point in the oscillation cycle. Then, as Fig. 13.6 shows, we can represent the motion by the form

$$x(t) = A \cos(\omega t + \phi) \quad (13.8)$$

This is the most general solution of Equation 13.3.  
It gives displacement  $x$  as a function of time.

$A$  is the amplitude—i.e., the maximum displacement.  
 $t$  is time.

$\phi$  is the phase constant, which tells where the mass is at time  $t = 0$ . If  $\phi = 0$ , the mass is at its maximum displacement when  $t = 0$ .

The cosine—a periodic function—shows that the motion is periodic.  
 $\omega$  is the angular frequency, given by Equation 13.7a.

where the **phase constant**  $\phi$  has the effect of shifting the cosine curve to the left (for  $\phi > 0$ ) or right ( $\phi < 0$ ) but doesn’t affect the frequency or amplitude.

## Velocity and Acceleration in Simple Harmonic Motion

Equation 13.4 (or, more generally, Equation 13.8) gives the position of an object in simple harmonic motion as a function of time, so its first derivative must be the object’s velocity:

$$v(t) = \frac{dx}{dt} = \frac{d}{dt}(A \cos \omega t) = -\omega A \sin \omega t \quad (13.9)$$

Because the maximum value of the sine function is 1, this expression shows that the maximum velocity is  $\omega A$ . This makes sense because a higher-frequency oscillation requires that the object traverse the distance  $A$  in a shorter time—so it must move faster. Equation 13.9 shows that the velocity  $v(t)$  is a sine function when the displacement  $x(t)$  is a cosine. Thus velocity is a maximum when displacement is zero, and vice versa; mathematically, we express this by saying that displacement and velocity differ in phase by  $\frac{\pi}{2}$  radians or  $90^\circ$ . Does this make sense? Sure, because at the extremes of its motion, the object is instantaneously at rest as it reverses direction: maximum displacement, zero speed. And when

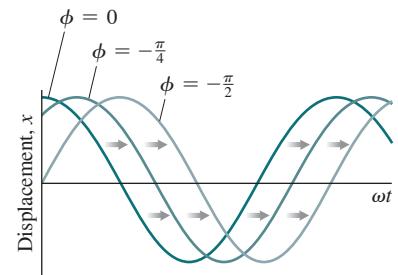


FIGURE 13.6 A negative phase constant shifts the curve to the right.

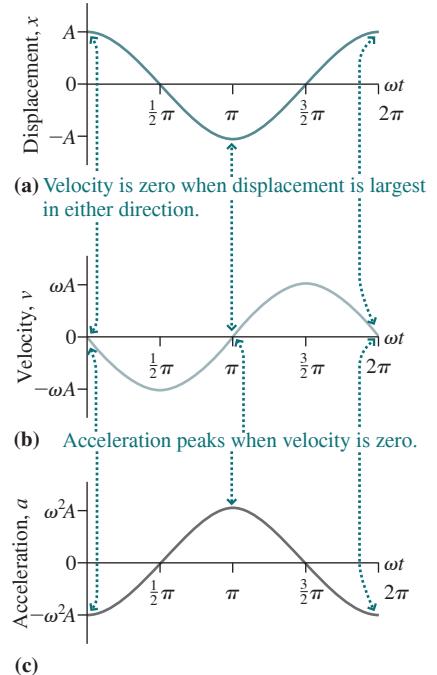


FIGURE 13.7 Displacement, velocity, and acceleration in simple harmonic motion.

it passes through its equilibrium position, the object is going fastest. Figures 13.7a and b show graphically the relationship between displacement and velocity in simple harmonic motion.

Just as velocity is the derivative of position, so acceleration is the derivative of velocity, or the second derivative of position:

$$a(t) = \frac{dv}{dt} = \frac{d}{dt}(-\omega A \sin \omega t) = -\omega^2 A \cos \omega t \quad (13.10)$$

Thus the maximum acceleration is  $\omega^2 A$ . Since acceleration is a cosine function if velocity is a sine, each reaches its maximum value when the other is zero (Figs. 13.7b, c).

### GOT IT?

**13.2** Two identical mass–spring systems are displaced different amounts from equilibrium and then released at different times. Of the amplitudes, frequencies, periods, and phase constants of the subsequent motions, which are the same for both systems, and which are different?

### EXAMPLE 13.2

### Simple Harmonic Motion: A Tuned Mass Damper

#### Worked Example with Variation Problems

The tuned mass damper in New York's Citicorp Tower (see Application on page 235) consists of a 373-Mg concrete block that completes one oscillation in 6.80 s. The oscillation amplitude in a high wind is 110 cm. Determine the spring constant and the maximum speed and acceleration of the block.

**INTERPRET** This is a problem involving simple harmonic motion, with the concrete block and spring making up the oscillating system. We're given the period, mass, and amplitude.

**DEVELOP** Equation 13.7c,  $T = 2\pi\sqrt{m/k}$ , will give the spring constant. Equations 13.9 and 13.10 show that the maximum speed and acceleration are  $v_{\max} = \omega A$  and  $a_{\max} = \omega^2 A$ , and we can get the angular frequency  $\omega$  from the period using Equation 13.5:  $\omega = 2\pi/T$ .

**EVALUATE** First we solve Equation 13.7c for the spring constant:

$$k = \frac{4\pi^2 m}{T^2} = \frac{(4\pi^2)(3.73 \times 10^5 \text{ kg})}{(6.80 \text{ s})^2} = 3.18 \times 10^5 \text{ N/m}$$

The angular frequency is  $\omega = 2\pi/T = 0.924 \text{ s}^{-1}$ . Then we have  $v_{\max} = \omega A = (0.924 \text{ s}^{-1})(1.10 \text{ m}) = 1.02 \text{ m/s}$  and  $a_{\max} = \omega^2 A = 0.939 \text{ m/s}^2$ .

**ASSESS** The large spring constant and relatively low velocity and acceleration make sense given the huge mass involved. Note that we had to convert the mass, given as 373 Mg ( $373 \times 10^6 \text{ g}$ ), to kilograms before evaluating.

## 13.3 Applications of Simple Harmonic Motion

**LO 13.4** *Describe other simple harmonic motion systems, including torsional oscillators and pendulums.*

Simple harmonic motion occurs in any system where the tendency to return to equilibrium increases in direct proportion to the displacement from equilibrium. Analysis of such systems is like that of the mass–spring system we just considered but may involve different physical quantities.

### The Vertical Mass–Spring System

A mass hanging vertically from a spring is subject to gravity as well as the spring force (Fig. 13.8). In equilibrium the spring stretches enough for its force to balance gravity:  $mg - kx_1 = 0$ , where  $x_1$  is the new equilibrium position. Stretching the spring an additional amount  $\Delta x$  increases the spring force by  $k \Delta x$ , and this increased force tends to restore the equilibrium. So once again we have a restoring force that's directly proportional to displacement. And here, with the same spring constant  $k$  and mass  $m$ , our previous analysis still applies and we get simple harmonic motion with frequency  $\omega = \sqrt{k/m}$ . Thus gravity changes only the equilibrium position and doesn't affect the frequency.

When a mass is added, its weight causes the spring to stretch this much . . . . . so the mass oscillates about the new equilibrium.

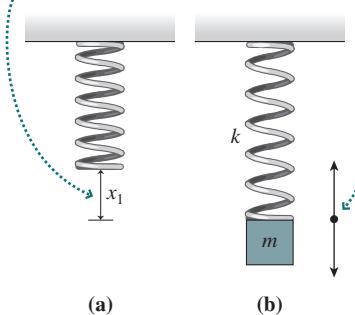


FIGURE 13.8 A vertical mass–spring system oscillates about a new equilibrium position  $x_1$ , with the same frequency  $\omega = \sqrt{k/m}$ .

**APPLICATION****Swaying Skyscrapers**

Skyscrapers are tall, thin, flexible structures. High winds and earthquakes can set them oscillating, much like the ruler of Example 13.1. Wind-driven oscillations are uncomfortable to occupants of a building's upper floors, and earthquake-induced oscillations can be downright destructive.

Modern skyscrapers use so-called tuned mass dampers (TMDs) to counteract building oscillations. These devices are large mass-spring systems or pendulums mounted high in the building. They're engineered to oscillate with the same frequency as the building (hence the term "tuned") but  $180^\circ$  out of phase, thus reducing the amplitude of the building's own oscillation. The result is increased comfort for the building's occupants and improved safety for buildings in earthquake-prone regions. TMDs also find applications in tall smokestacks, airport control towers, power-plant cooling towers, bridges, ski lifts, balconies, and even the Grand Canyon skywalk. By suppressing vibrations, tuned mass dampers enable architects and engineers to design structures that don't need as much intrinsic stiffness, so they can be lighter and less expensive. The photos show the world's largest tuned mass damper and the building that houses it, Taiwan's Taipei 101 skyscraper. The damper helps the building survive earthquakes and typhoons. Example 13.2 explores a different building's TMD.

**The Torsional Oscillator**

Figure 13.9 shows a disk suspended from a wire. Rotate the disk slightly, and a torque develops in the wire. Let go, and the disk oscillates by rotating back and forth. This is a **torsional oscillator**, and it's best described using the language of rotational motion. The **angular displacement**  $\theta$ , **restoring torque**  $\tau$ , and **torsional constant**  $\kappa$  relate the torque and displacement:  $\tau = -\kappa\theta$ , where again the minus sign indicates that the torque is opposite the displacement, tending to restore the system to equilibrium. The rotational analog of Newton's law,  $\tau = I\alpha$ , describes the system's behavior; here the rotational inertia  $I$  plays the role of mass. But the angular acceleration  $\alpha$  is the second derivative of the angular position, so Newton's law becomes

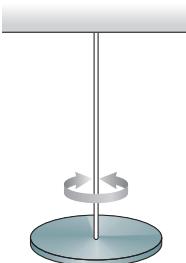
$$I \frac{d^2\theta}{dt^2} = -\kappa\theta \quad (13.11)$$

This is identical to Equation 13.3 for the linear oscillator, with  $I$  replacing  $m$ ,  $\theta$  replacing  $x$ , and  $\kappa$  replacing  $k$ . So we can immediately write  $\theta(t) = A \cos \omega t$  for the angular displacement and, in analogy with Equation 13.7a,

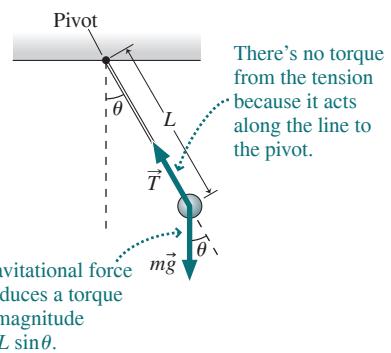
$$\omega = \sqrt{\frac{\kappa}{I}} \quad (13.12)$$

for the angular frequency. Note that the units of  $\kappa$  are N·m/rad.

Torsional oscillators constitute the timekeeping mechanism in mechanical watches, and they can provide accurate measures of rotational inertia.



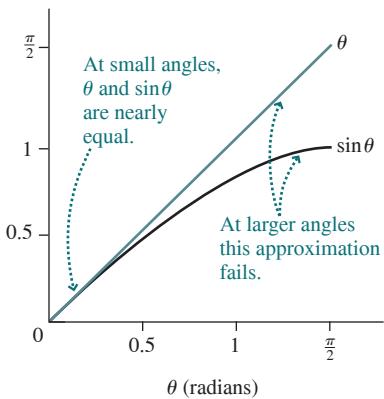
**FIGURE 13.9** A torsional oscillator.



**FIGURE 13.10** Forces on a pendulum.

**The Pendulum**

A **simple pendulum** consists of a point mass suspended from a massless string. Real systems approximate this ideal when a suspended object's size is negligible compared with the suspension length and its mass is much greater than that of the suspension. The pendulum in a grandfather clock is essentially a simple pendulum. Figure 13.10 shows a pendulum of mass  $m$  and length  $L$  displaced slightly from equilibrium. The gravitational force exerts a torque given by  $\tau = -mgL \sin\theta$ , where the minus sign indicates that the



**FIGURE 13.11** For  $\theta$  much less than 1 radian,  $\sin\theta$  and  $\theta$  are nearly equal.

torque tends to rotate the pendulum back toward equilibrium. The rotational analog of Newton's law,  $\tau = I\alpha$ , then becomes

$$I \frac{d^2\theta}{dt^2} = -mgL \sin\theta$$

where we've written the angular acceleration as the second derivative of the angular displacement. This looks like Equation 13.11 for the torsional oscillator—but not quite, since the torque involves  $\sin\theta$  rather than  $\theta$  itself. Thus the restoring torque is not *directly* proportional to the angular displacement, and the motion is therefore *not* simple harmonic.

If, however, the amplitude of the motion is small, then it *approximates* simple harmonic motion. Figure 13.11 shows that for small angles,  $\sin\theta$  and  $\theta$  are essentially equal. For a small-amplitude pendulum we can therefore replace  $\sin\theta$  with  $\theta$  to get

$$I \frac{d^2\theta}{dt^2} = -mgL\theta$$

This is essentially Equation 13.11, with  $mgL$  playing the role of  $\kappa$ . So the small-amplitude pendulum undergoes simple harmonic motion, with its angular frequency given by Equation 13.12 with  $\kappa = mgL$ :

$$\omega = \sqrt{\frac{mgL}{I}} \quad (13.13)$$

For a *simple* pendulum, the rotational inertia  $I$  is that of a point mass  $m$  a distance  $L$  from the rotation axis, or  $I = mL^2$ , as we found in Chapter 10. Then we have

$$\omega = \sqrt{\frac{mgL}{mL^2}} = \sqrt{\frac{g}{L}} \quad (\text{simple pendulum}) \quad (13.14)$$

or, from Equation 13.5,

$$T = \frac{2\pi}{\omega} = 2\pi \sqrt{\frac{L}{g}} \quad (\text{simple pendulum}) \quad (13.15)$$

These equations show that the frequency and period of a simple pendulum are independent of its mass, depending only on length and gravitational acceleration.

### EXAMPLE 13.3 A Pendulum: Rescuing Tarzan

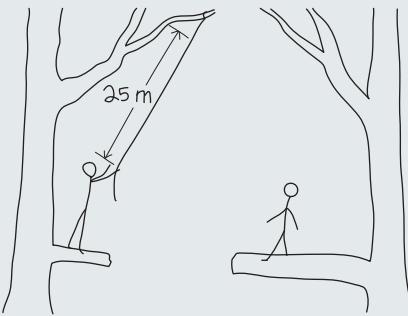
Tarzan stands on a branch as a leopard threatens. Fortunately, Jane is on a nearby branch of the same height, holding a 25-m-long vine attached directly above the point midway between her and Tarzan. She grasps the vine and steps off with negligible velocity. How soon does she reach Tarzan?

**INTERPRET** This is a problem about a pendulum, which we identify as consisting of Jane and the vine. The period of the pendulum is the time for a full swing back and forth, so the answer we're after—the time to reach Tarzan—is half the period.

**DEVELOP** We sketched the situation in Fig. 13.12. Equation 13.15,  $T = 2\pi\sqrt{L/g}$ , determines the period, so we can use this equation to find the half-period.

**EVALUATE** Equation 13.15 gives

$$\frac{1}{2}T = \left(\frac{1}{2}\right)(2\pi)\sqrt{\frac{L}{g}} = (\pi)\sqrt{\frac{25 \text{ m}}{9.8 \text{ m/s}^2}} = 5.0 \text{ s}$$



**FIGURE 13.12** Our sketch for Example 13.3. Vine length is not to scale.

**ASSESS** This seems a reasonable answer for a problem involving human-scale objects and many meters of vine. One caution: Jane's rescue will be successful only if the vine is strong enough—not only to support her weight but also to provide the acceleration that keeps her moving in a circular arc. You can explore that issue in Problem 56.

**GOT IT?**

**13.3** What happens to the period of a pendulum if (1) its mass is doubled; (2) it's moved to a planet whose gravitational acceleration is one-fourth that of Earth; and (3) its length is quadrupled?

### CONCEPTUAL EXAMPLE 13.1 The Nonlinear Pendulum

A pendulum consists of a weight on the end of a rigid rod of negligible mass, hanging vertically from a frictionless pivot at the opposite end of the rod. For small-amplitude disturbances from equilibrium, the system constitutes a simple pendulum. But for larger disturbances it becomes a *nonlinear pendulum*, so named because the restoring torque is no longer proportional to the displacement. Quantitative analysis of a nonlinear pendulum is difficult, but you can still understand it conceptually.

- As the pendulum's amplitude increases, how will its period change?
- If you start the pendulum by striking it when it's hanging vertically, will it undergo oscillatory motion no matter how hard it's hit?

#### EVALUATE

- Before we made the small-amplitude approximation, we showed that a pendulum's restoring torque is, in general, proportional to  $\sin\theta$ . But Fig. 13.11 shows that  $\sin\theta$  doesn't increase as fast as  $\theta$  itself. So for large-amplitude swings, the restoring torque is *less* than it would be in the small-amplitude approximation. This suggests the pendulum should return more slowly toward equilibrium—and thus its period should increase.
- When you strike the pendulum, you give it kinetic energy. If that energy is insufficient to invert it completely, then the pendulum will swing to one side, eventually stop, and return, undergoing back-and-forth oscillatory motion. But hit it hard enough, and it will go “over the top,” reaching its fully inverted position with kinetic energy to spare. Round and round it goes, executing motion that's periodic and circular, but not oscillatory. This circular motion isn't uniform, because it moves more slowly at the top and faster at the bottom.

**ASSESS** Make sense? Yes: Consider a pendulum with just a little less energy than it takes to go “over the top.” It will move very slowly near the top of its trajectory, so its period will be quite long. And its angular-position-versus-time curve will be flatter than the sine curve of a simple pendulum. Give it just a little more energy, and it goes into

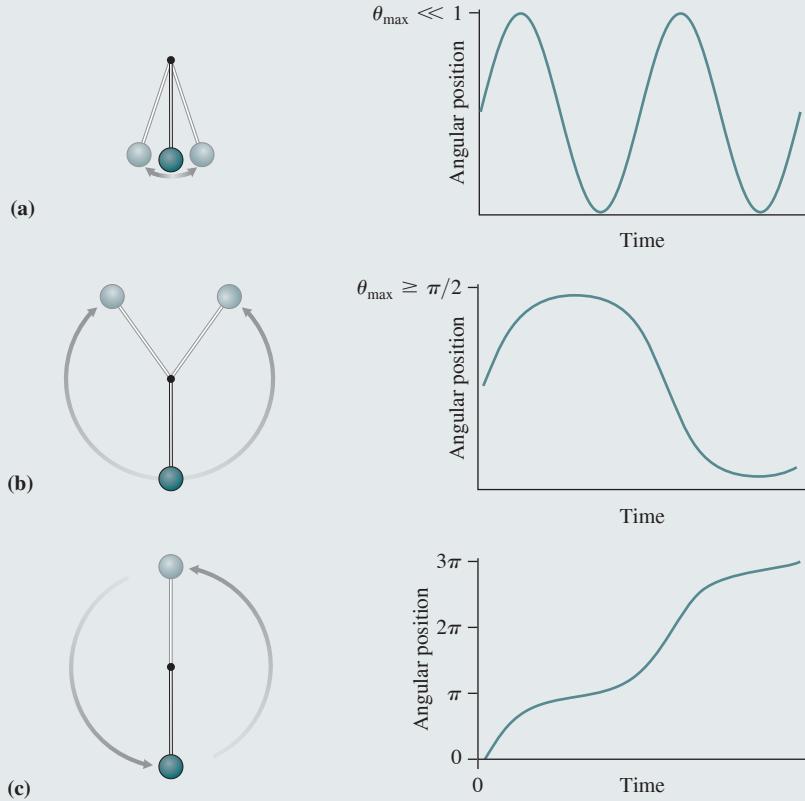


FIGURE 13.13 Conceptual Example 13.1: (a) Small-amplitude oscillations; (b) large-amplitude oscillations; (c) circular motion.

circular motion. Figure 13.13 illustrates all three situations. You can explore the nonlinear pendulum computationally in Problem 85.

**MAKING THE CONNECTION** If the pendulum has length  $L$ , what's the minimum speed that will get it “over the top,” into periodic non-uniform circular motion?

**EVALUATE** Potential energy at the top is  $U = mg(2L)$ , so kinetic energy  $K = \frac{1}{2}mv^2$  has to be at least this large. That gives  $v > 2\sqrt{gL}$ .

### The Physical Pendulum

A **physical pendulum** is an object of arbitrary shape that's free to swing (Fig. 13.14). It differs from a simple pendulum in that mass may be distributed over its entire length. Physical pendulums are everywhere: Examples include the legs of humans and other animals (see Example 13.4), a skier on a chair lift, a boxer's punching bag, a frying pan

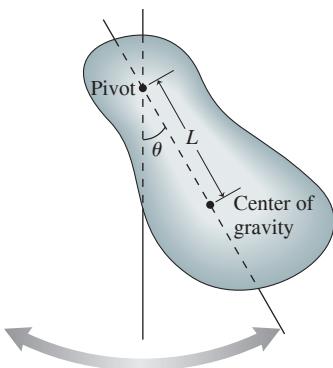


FIGURE 13.14 A physical pendulum.

hanging from a rack, and a crane lifting any object of significant extent. In our analysis of the simple pendulum, we used the fact that mass was concentrated at the bottom only in the final step, when we wrote  $mL^2$  for the rotational inertia. Our analysis before that step therefore applies to the physical pendulum as well.

In particular, a physical pendulum displaced slightly from equilibrium undergoes simple harmonic motion with frequency given by Equation 13.13. But how are we to interpret the length  $L$  in that equation? Because gravity—which provides the restoring torque for *any* pendulum—acts on an object's center of gravity,  $L$  must be the distance from the pivot to the center of gravity, as marked in Fig. 13.14.

### EXAMPLE 13.4 A Physical Pendulum: Walking

When walking, the leg not in contact with the ground swings forward, acting like a physical pendulum. Approximating the leg as a uniform rod, find the period of this pendulum motion for a leg of length 90 cm.

**INTERPRET** This problem is about a physical pendulum, here identified as a uniform rod approximating the leg.

**DEVELOP** Figure 13.15 is our drawing, showing the leg as a rod pivoting at the hip. The center of mass of a uniform rod is at its center, so the effective length  $L$  is half the leg's length, or 45 cm. Equation 13.13,  $\omega = \sqrt{mgL/I}$ , determines the angular frequency, from which we can get the period using Equation 13.5,  $T = 2\pi/\omega$ . We also need the rotational inertia; from Table 10.2, that's  $I = \frac{1}{3}M(2L)^2$ , where we use  $2L$  because Table 10.2's expression involves the *full* length of the rod.

**EVALUATE** Putting this all together, we evaluate to get the answer:

$$T = \frac{2\pi}{\omega} = 2\pi\sqrt{\frac{I}{mgL}} = 2\pi\sqrt{\frac{\frac{1}{3}m(2L)^2}{mgL}} = 2\pi\sqrt{\frac{4L}{3g}}$$

Using  $L = 0.45$  m gives  $T = 1.6$  s.

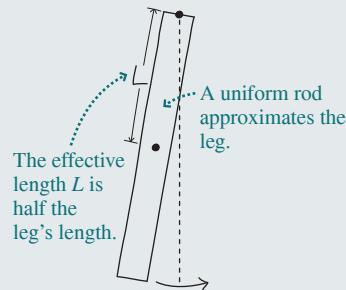


FIGURE 13.15 A human leg treated as a pendulum.

**ASSESS** The leg swings forward to complete a full stride in half a period, or 0.8 s. This seems a reasonable value for the pace in walking.

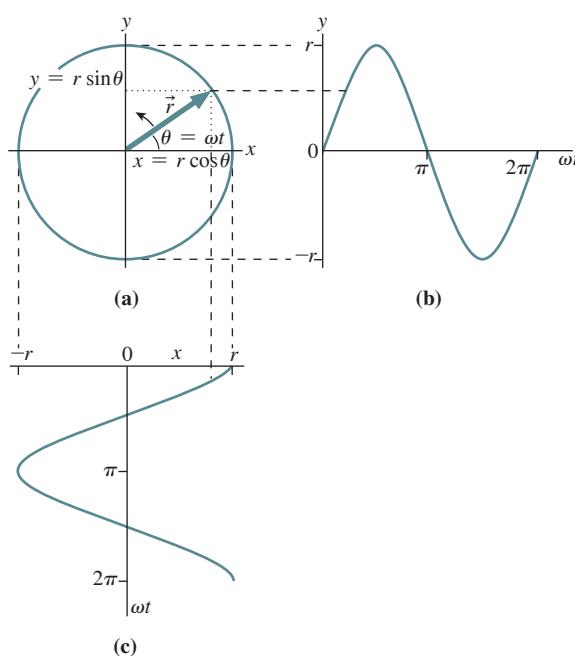
## 13.4 Circular Motion and Harmonic Motion

### LO 13.5 Relate simple harmonic motion to circular motion.

Look down on the solar system, and you see Earth in circular motion about the Sun (Fig. 13.16a). But look in from the plane of Earth's orbit, and Earth appears to be moving back and forth (Fig. 13.16b). Figure 13.17 shows that this apparent back-and-forth motion is a single component of the actual circular motion, and that this component describes a sinusoidal function of time. Specifically, the position vector  $\vec{r}$  for Earth or any other object in circular motion makes an angle that increases linearly with time:  $\theta = \omega t$ , where we measure  $\theta$  with respect to the  $x$ -axis and take  $t = 0$  when the object is on the  $x$ -axis. Then the two components  $x = r\cos\theta$  and  $y = r\sin\theta$  of the object's position become

$$x(t) = r\cos\omega t \quad \text{and} \quad y(t) = r\sin\omega t$$

These are the equations for two different simple harmonic motions, one in the  $x$ -direction and the other in the  $y$ -direction. Because one is a cosine and the other is a sine, they're out of phase by  $\frac{\pi}{2}$  or  $90^\circ$ .



**FIGURE 13.17** As the position vector  $\vec{r}$  traces out a circle, its  $x$ - and  $y$ -components are sinusoidal functions of time.

So we can think of circular motion as resulting from perpendicular simple harmonic motions, with the same amplitude and frequency but  $90^\circ$  out of phase. This should help you to understand why we use the term *angular frequency* for simple harmonic motion even though there's no angle involved. The argument  $\omega t$  in the description of simple harmonic motion is the same as the physical angle  $\theta$  in the corresponding circular motion. The time for one cycle of simple harmonic motion is the same as the time for one revolution in the circular motion, so the values of  $T$  and therefore  $\omega$  are exactly the same.

You can verify that two mutually perpendicular simple harmonic motions of the same amplitude and frequency sum vectorially to give circular motion (see Problem 53). If the amplitudes or frequencies aren't the same, then interesting complex motions occur, as shown in Fig. 13.18.

### GOT IT?

- 13.4** Figure 13.18 shows the paths traced in the horizontal plane by two pendulums swinging with different frequencies in two perpendicular directions. What's the ratio of  $x$ -direction frequency to  $y$ -direction frequency for (1) path (a) and (2) path (b)?

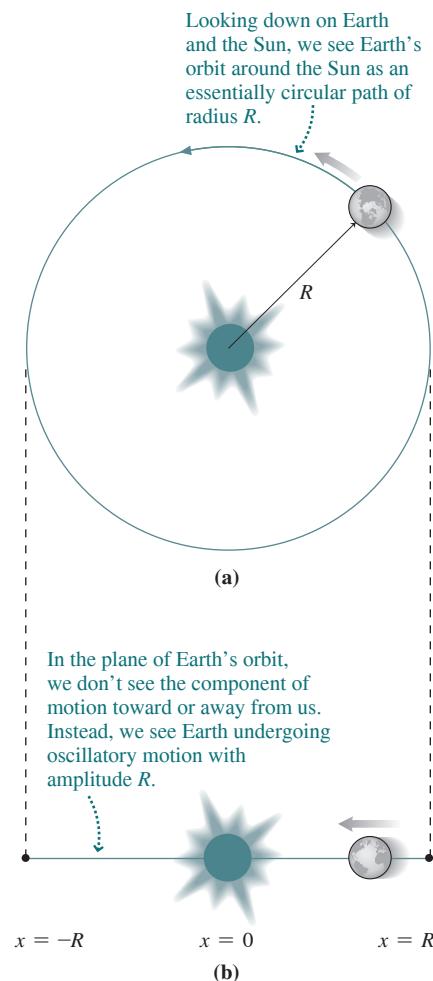
## 13.5 Energy in Simple Harmonic Motion

**LO 13.6** Outline energy exchanges in simple harmonic motion.

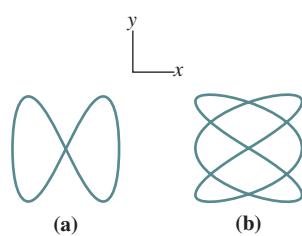
**LO 13.7** Explain why simple harmonic motion is ubiquitous throughout the universe.

Displace a mass–spring system from equilibrium, and you do work as you build up potential energy in the spring. Release the mass, and it accelerates toward equilibrium, gaining kinetic energy at the expense of potential energy. It passes through its equilibrium position with maximum kinetic energy; at that point there's no potential energy in the system. The mass then slows and potential energy builds as the mass compresses the spring. If there's no energy loss, this process continues indefinitely. In oscillatory motion, energy is continuously transferred back and forth between its kinetic and potential forms (Fig. 13.19).

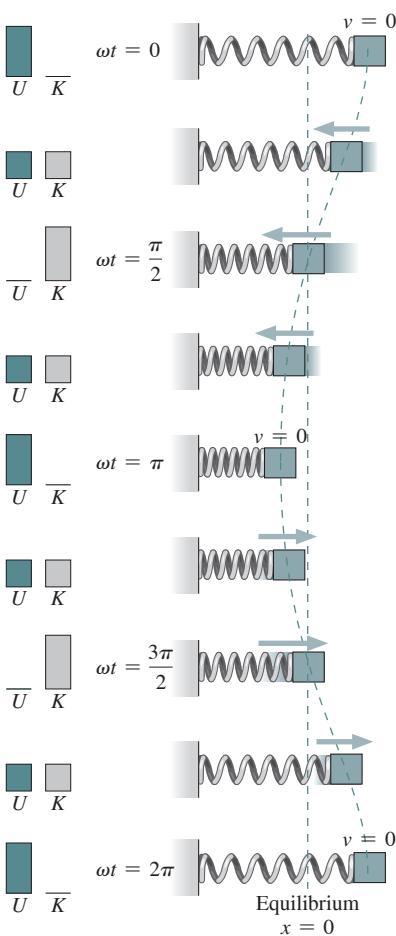
For a mass–spring system, the potential energy is given by Equation 7.4:  $U = \frac{1}{2}kx^2$ , where  $x$  is the displacement from equilibrium. Meanwhile, the kinetic energy is  $K = \frac{1}{2}mv^2$ .



**FIGURE 13.16** Two views of Earth's orbital motion.



**FIGURE 13.18** Complex paths resulting from different frequencies in different directions. Can you determine the frequency ratios?



**FIGURE 13.19** Kinetic and potential energy in simple harmonic motion. Dashed curve is the position of the mass; straight dashed line marks the equilibrium position  $x = 0$ .

We can illustrate explicitly the interchange of kinetic and potential energy in simple harmonic motion by using  $x$  from Equation 13.4 and  $v$  from Equation 13.9 in the expressions for potential and kinetic energy. Then we have

$$U = \frac{1}{2}kx^2 = \frac{1}{2}k(A \cos \omega t)^2 = \frac{1}{2}kA^2 \cos^2 \omega t$$

and

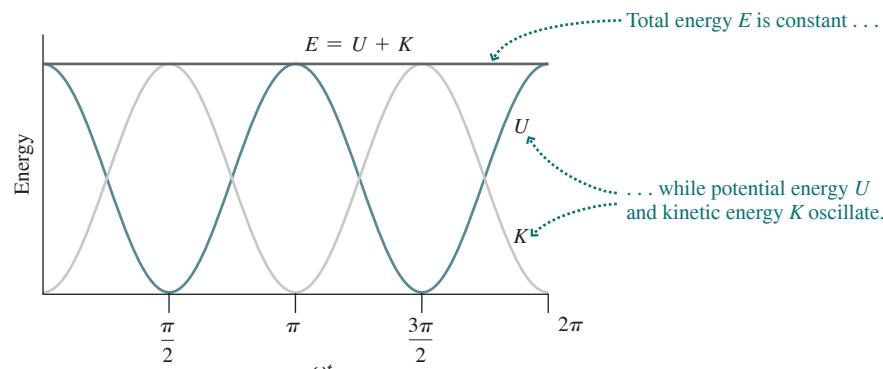
$$K = \frac{1}{2}mv^2 = \frac{1}{2}m(-\omega A \sin \omega t)^2 = \frac{1}{2}m\omega^2 A^2 \sin^2 \omega t = \frac{1}{2}kA^2 \sin^2 \omega t$$

where we used  $\omega^2 = k/m$ . Both energy expressions have the same maximum value— $\frac{1}{2}kA^2$ —equal to the initial potential energy of the stretched spring. But the potential energy is a maximum when the kinetic energy is zero, and vice versa. What about the total energy? It's

$$E = U + K = \frac{1}{2}kA^2 \cos^2 \omega t + \frac{1}{2}kA^2 \sin^2 \omega t = \frac{1}{2}kA^2$$

where we used  $\sin^2 \omega t + \cos^2 \omega t = 1$ .

Our result is a statement of the conservation of mechanical energy—the principle we introduced in Chapter 7—applied to a simple harmonic oscillator. Although the kinetic and potential energies  $K$  and  $U$  both vary with time, their sum—the total energy  $E$ —does not (Fig. 13.20).



**FIGURE 13.20** Energy of a simple harmonic oscillator.

### EXAMPLE 13.5

### Energy in Simple Harmonic Motion Worked Example with Variation Problems

A mass–spring system undergoes simple harmonic motion with angular frequency  $\omega$  and amplitude  $A$ . Find its speed at the point where the kinetic and potential energies are equal.

**INTERPRET** This example involves the concept of energy conservation in simple harmonic motion. We're asked to find a speed, which is related to kinetic energy.

**DEVELOP** When the kinetic energy equals the potential energy, each must be half the total energy. What is that total? The speed is at its maximum,  $v_{\max} = \omega A$  from Equation 13.9, when the energy is all kinetic. Thus the total energy is  $E = \frac{1}{2}mv_{\max}^2 = \frac{1}{2}m\omega^2 A^2$ . The speed

we're after occurs when the kinetic energy has half this value, or  $K = \frac{1}{2}mv^2 = \frac{1}{2}(\frac{1}{2}m\omega^2 A^2) = \frac{1}{4}m\omega^2 A^2$ .

**EVALUATE** Solving for  $v$  gives our answer:

$$v = \frac{\omega A}{\sqrt{2}}$$

**ASSESS** Make sense? Yes: The speed at this point must be less than the maximum speed, since half the energy is tied up as potential energy in the spring. And because kinetic energy depends on the square of the speed, it's lower not by a factor of 2 but of  $\sqrt{2}$ .

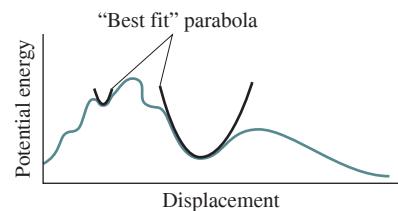
### Potential-Energy Curves and Simple Harmonic Motion

We arrived at the expression  $U = \frac{1}{2}kx^2$  for the potential energy of a spring by integrating the spring force,  $-kx$ , over distance. Since every simple harmonic oscillator has a restoring force or torque proportional to displacement, integration always results in a potential

energy proportional to the *square* of the displacement—that is, in a parabolic potential-energy curve. Conversely, any system with a parabolic potential-energy curve exhibits simple harmonic motion. The simplest mathematical approximation to a smooth curve near a minimum is a parabola, and for that reason potential-energy curves for complex systems often approximate parabolas near their stable equilibrium points (Fig. 13.21). Small disturbances from these equilibria therefore result in simple harmonic motion, and that's why simple harmonic motion is so common throughout the physical world.

**GOT IT?**

- 13.5** Two different mass–spring systems are oscillating with the same amplitude and frequency. If one has twice as much total energy as the other, how do (1) their masses and (2) their spring constants compare? (3) What about their maximum speeds?



**FIGURE 13.21** Near their minima, potential-energy curves often approximate parabolas. This results in simple harmonic motion.

## 13.6 Damped Harmonic Motion

### LO 13.8 Describe the effect of damping on simple harmonic motion.

In real oscillating systems, forces such as friction or air resistance normally dissipate the oscillation energy. This energy loss causes the oscillation amplitude to decrease, and the motion is said to be **damped**.

If dissipation is sufficiently weak that only a small fraction of the system's energy is lost in each oscillation cycle, then we expect that the system should behave essentially as in the undamped case, except for a gradual decrease in amplitude (Fig. 13.22).

In many systems the damping force is approximately proportional to the velocity and in the opposite direction:

$$F_d = -bv = -b \frac{dx}{dt}$$

where  $b$  is a constant giving the strength of the damping. We can write Newton's law as before, now including the damping force along with the restoring force. For a mass–spring system, we have

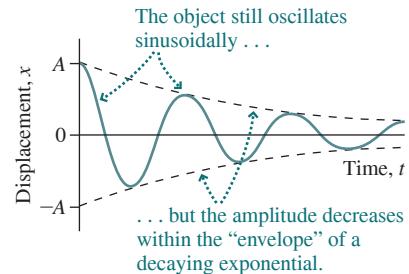
$$m \frac{d^2x}{dt^2} = -kx - b \frac{dx}{dt} \quad (13.16)$$

We won't solve this equation, but simply state its solution:

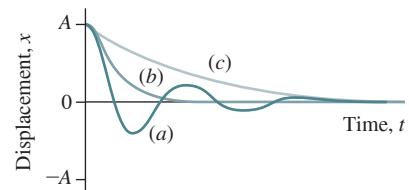
$$x(t) = Ae^{-bt/2m} \cos(\omega t + \phi) \quad (13.17)$$

This equation describes sinusoidal motion whose amplitude decreases exponentially with time. How fast depends on the damping constant  $b$  and mass  $m$ : When  $t = 2m/b$ , the amplitude has dropped to  $1/e$  of its original value. When the damping is so weak that only a small fraction of the total energy is lost in each cycle, the frequency  $\omega$  in Equation 13.17 is essentially equal to the undamped frequency  $\sqrt{k/m}$ . But with stronger damping, the damping force slows the motion, and the frequency becomes lower. As long as oscillation occurs, the motion is said to be **underdamped** (Fig. 13.23a). For sufficiently strong damping, though, the effect of the damping force is as great as that of the spring force. Under this condition, called **critical damping**, the system returns to its equilibrium state without undergoing any oscillations (Fig. 13.23b). If the damping is made still stronger, the system becomes **overdamped**. The damping force now dominates, so the system returns more slowly to equilibrium (Fig. 13.23c).

Many physical systems, from atoms to the human leg, can be modeled as damped oscillators. Engineers often design systems with specific amounts of damping. Automobile shock absorbers, for example, coordinate with the springs to give critical damping. This results in rapid return to equilibrium while absorbing the energy imparted by road bumps.



**FIGURE 13.22** Weakly damped motion.



**FIGURE 13.23** (a) Underdamped, (b) critically damped, and (c) overdamped oscillations.

**EXAMPLE 13.6 Damped Simple Harmonic Motion: Bad Shocks**

A car's suspension acts like a mass-spring system with  $m = 1200 \text{ kg}$  and  $k = 58 \text{ kN/m}$ . Its worn-out shock absorbers provide a damping constant  $b = 230 \text{ kg/s}$ . After the car hits a pothole, how many oscillations will it make before the amplitude drops to half its initial value?

**INTERPRET** We interpret this problem as being about damped simple harmonic motion, and we identify the car as the oscillating system.

**DEVELOP** Our plan is to find out how long it takes the amplitude to decrease by half and then find the number of oscillation cycles in this time. Equation 13.17,  $x(t) = Ae^{-bt/2m} \cos(\omega t + \phi)$ , describes the motion, with the factor  $e^{-bt/2m}$  giving the decrease in amplitude. At  $t = 0$  this factor is 1, so we want to know when it's equal to one-half:  $e^{-bt/2m} = \frac{1}{2}$ .

**EVALUATE** Taking the natural logarithms of both sides gives  $bt/2m = \ln 2$ , where we used the facts that  $\ln(x)$  and  $e^x$  are inverse functions and  $\ln(1/x) = -\ln(x)$ . Then

$$t = \frac{2m}{b} \ln 2 = \frac{(2)(1200 \text{ kg})}{230 \text{ kg/s}} \ln 2 = 7.23 \text{ s}$$

is the time for the amplitude to drop to half its original value. For weak damping, the period is very close to the undamped period, which is

$$T = 2\pi \sqrt{\frac{m}{k}} = 2\pi \sqrt{\frac{1200 \text{ kg}}{58 \times 10^3 \text{ N/m}}} = 0.904 \text{ s}$$

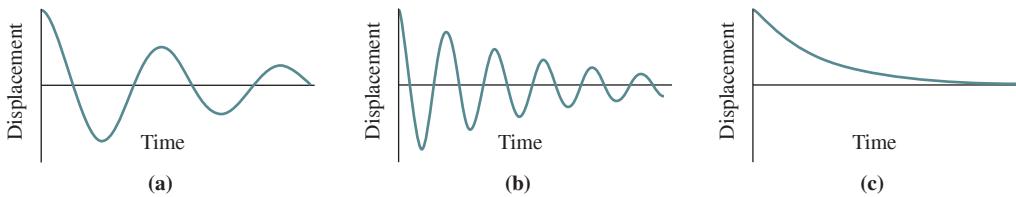
Then the number of cycles during the 7.23 s it takes the amplitude to drop in half is

$$\frac{7.23 \text{ s}}{0.904 \text{ s}} = 8$$

**ASSESS** That the number of oscillations is much greater than 1 tells us that the damping is weak, justifying our use of the undamped period. It also tells us that those are really bad shocks!

**GOT IT?**

**13.6** The figure shows displacement-versus-time graphs for three mass-spring systems, with different masses  $m$ , spring constants  $k$ , and damping constants  $b$ . The time on the horizontal axis is the same for all three. (1) For which system is damping the most significant? (2) For which system is damping the least significant?



## 13.7 Driven Oscillations and Resonance

**LO 13.9 Explain resonance in driven oscillatory systems.**

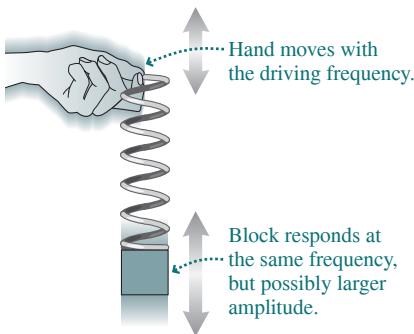
Pushing a child on a swing, you can build up a large amplitude by giving a relatively small push once each oscillation cycle. If your pushing were not in step with the swing's natural oscillatory motion, then the same force would have little effect.

When an external force acts on an oscillatory system, we say that the system is **driven**. Consider a mass-spring system, which you might drive as suggested in Fig. 13.24. Suppose the driving force is given by  $F_d \cos \omega_d t$ , where  $\omega_d$  is called the **driving frequency**. Then Newton's law is

$$m \frac{d^2x}{dt^2} = -kx - b \frac{dx}{dt} + F_d \cos \omega_d t \quad (13.18)$$

where the first term on the right-hand side is the restoring force, the second the damping force, and the third the driving force. Since the system is being driven at the frequency  $\omega_d$ , we expect it to undergo oscillatory motion at this frequency. So we guess that the solution to Equation 13.18 might have the form

$$x = A \cos(\omega_d t + \phi)$$



**FIGURE 13.24** Driving a mass-spring system results in a large amplitude if the driving frequency is near the natural frequency  $\sqrt{k/m}$ .

Substituting this expression and its derivatives into Equation 13.18 (see Problem 73) shows that the equation is satisfied if

$$A(\omega_d) = \frac{F_d}{m\sqrt{(\omega_d^2 - \omega_0^2)^2 + b^2\omega_d^2/m^2}} \quad (13.19)$$

where  $\omega_0$  is the undamped **natural frequency**  $\sqrt{k/m}$ , as distinguished from the driving frequency  $\omega_d$ .

Figure 13.25 shows **resonance curves**—plots of Equation 13.19 as a function of driving frequency—for three values of the damping constant. As long as the system is underdamped, the curve has a maximum at some nonzero frequency, and for weak damping, that maximum occurs at very nearly the natural frequency. The weaker the damping, the more sharply peaked is the resonance curve. Thus, in weakly damped systems, it's possible to build up large-amplitude oscillations with relatively small driving forces—a phenomenon known as **resonance**.

Most physical systems, from molecules to cars, and loudspeakers to buildings and bridges, exhibit one or more natural modes of oscillation. If these oscillations are weakly damped, then the buildup of large-amplitude oscillations through resonance can cause serious problems—sometimes even destroying the system (Fig. 13.26). Engineers designing complex structures spend a lot of their time exploring all possible oscillation modes and taking steps to avoid resonance. In an earthquake-prone area, for example, a building's natural frequencies would be designed to avoid the frequency of typical earthquake motions. A loudspeaker should be engineered so its natural frequency isn't in the range of sound it's intended to reproduce. Damping systems such as the shock absorbers of Example 13.6 or the tuned mass damper of Example 13.2 help limit resonant oscillations in cases where natural frequencies aren't easily altered.

Resonance is also important in microscopic systems. The resonant behavior of electrons in a special tube called a magnetron produces the microwaves that cook food in a microwave oven; the same resonant process heats ionized gases in some experiments designed to harness fusion energy. Carbon dioxide in Earth's atmosphere absorbs infrared radiation because CO<sub>2</sub> molecules—acting like miniature mass-spring systems—resonate at some of the frequencies of infrared radiation. The result is the greenhouse effect, which now threatens Earth with significant climatic change. The process called nuclear magnetic resonance (NMR) uses the resonant behavior of protons to probe the structure of matter and is the basis of magnetic resonance imaging (MRI) used in medicine. In NMR, the resonance involves the natural precession frequency of the protons due to magnetic torques; we described a classical model of this process in Chapter 11.

### GOT IT?

**13.7** The photo shows a wineglass shattering in response to sound. What's more important here, the amplitude or the frequency of the sound?

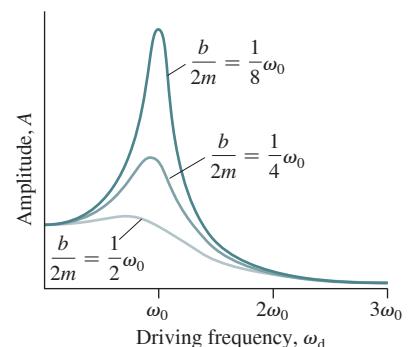


FIGURE 13.25 Resonance curves for three damping strengths;  $\omega_0$  is the undamped natural frequency  $\sqrt{k/m}$ .



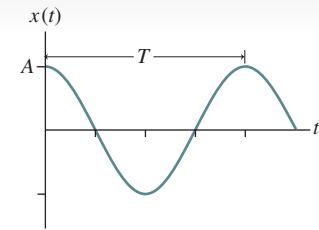
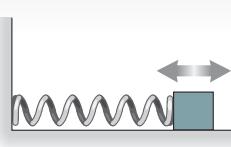
FIGURE 13.26 Oscillations of the ground during an earthquake can drive resonant oscillations in buildings whose natural frequencies include those of the earthquake oscillations. This helps explain why some buildings collapse while neighboring buildings sustain only modest damage. Photo shows the aftermath of the 1985 magnitude-8.1 earthquake in Mexico City.

# Chapter 13 Summary

## Big Idea

The big idea here is **simple harmonic motion** (SHM), oscillatory motion that is ubiquitous and that occurs whenever a disturbance from equilibrium results in a restoring force or torque that is directly proportional to the displacement. Position in SHM is a sinusoidal function of time:

$$x(t) = A \cos \omega t$$



## Key Concepts and Equations

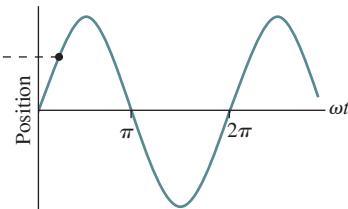
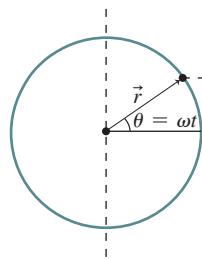
**Period**  $T$  is the time to complete one oscillation cycle; its inverse is **frequency**, or number of oscillations per unit time:

$$f = \frac{1}{T}$$

Another measure of frequency is **angular frequency**  $\omega$ , given by

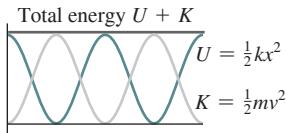
$$\omega = 2\pi f = \frac{2\pi}{T}$$

Angular frequency can be understood in terms of the close relationship between circular motion and simple harmonic motion.



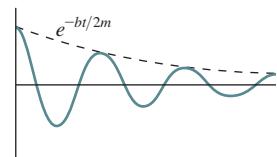
In the absence of friction and other dissipative forces, energy in SHM is conserved, although it's transformed back and forth between kinetic and potential forms:

$$E = \frac{1}{2}mv^2 + \frac{1}{2}kx^2 = \text{constant}$$



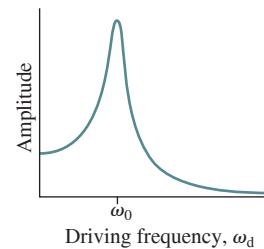
When dissipative forces act, the motion is **damped**. For small dissipative forces the oscillation amplitude decreases exponentially with time:

$$x(t) = Ae^{-bt/2m} \cos(\omega t + \phi)$$



If a system is driven at a frequency near its natural oscillation frequency  $\omega_0$ , then large-amplitude oscillations can build; this is **resonance**. The amplitude  $A$  depends on the driving force  $F_d$ , the driving frequency  $\omega_d$ , the natural frequency  $\omega_0 = \sqrt{k/m}$ , and the damping constant  $b$ :

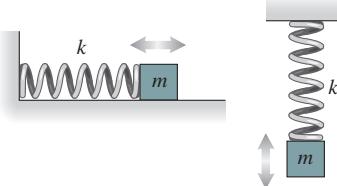
$$A(\omega_d) = \frac{F_d}{m\sqrt{(\omega_d^2 - \omega_0^2)^2 + b^2\omega_d^2/m^2}}$$



## Applications

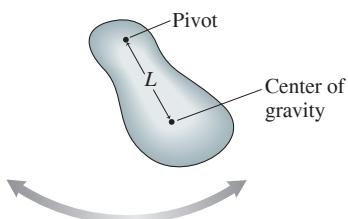
In mass-spring systems, the angular frequency is given by

$$\omega = \sqrt{\frac{k}{m}}$$



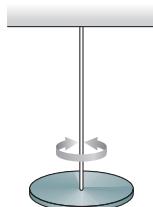
A special case is the **pendulum**, for which (with small-amplitude oscillations)

$$\omega = \sqrt{\frac{mgL}{I}}$$



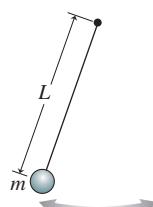
In systems involving rotational oscillations, the analogous relation involves the torsional constant and rotational inertia:

$$\omega = \sqrt{\frac{\kappa}{I}}$$



In the case of a **simple pendulum**, the angular frequency reduces to

$$\omega = \sqrt{\frac{g}{L}}$$



**Mastering Physics**

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

### Learning Outcomes After finishing this chapter you should be able to:

- LO 13.1 Characterize oscillatory motion by its amplitude, frequency, and period.

*Exercises 13.11, 13.12, 13.13, 13.14, 13.15*

- LO 13.2 State the physical conditions that result in simple harmonic motion.

*Problem 13.85*

- LO 13.3 Describe simple harmonic motion quantitatively in a mass-spring system.

*For Thought and Discussion Questions 13.1, 13.2, 13.3, 13.4, 13.5, 13.10; Exercises 13.16, 13.17, 13.18, 13.19, 13.28; Problems 13.40, 13.42, 13.43, 13.44, 13.47, 13.50, 13.54, 13.57, 13.59, 13.60, 13.74, 13.80*

- LO 13.4 Describe other simple harmonic motion systems, including torsional oscillators and pendulums.

*For Thought and Discussion Questions 13.4, 13.6, 13.10; Exercises 13.20, 13.21, 13.22, 13.23; Problems 13.41,*

*13.45, 13.46, 13.48, 13.49, 13.50, 13.51, 13.52, 13.55, 13.56, 13.58, 13.61, 13.63, 13.64, 13.67, 13.68, 13.69, 13.70, 13.75, 13.76, 13.77, 13.78, 13.81, 13.82, 13.83, 13.84*

- LO 13.5 Relate simple harmonic motion to circular motion.

*Exercises 13.24, 13.25; Problem 13.53*

- LO 13.6 Outline energy exchanges in simple harmonic motion.

*Exercises 13.26, 13.27; Problems 13.62, 13.71, 13.72*

- LO 13.7 Explain why simple harmonic motion is ubiquitous throughout the universe.

*Problems 13.60, 13.61, 13.63, 13.64, 13.69, 13.75*

- LO 13.8 Describe the effect of damping on simple harmonic motion.

*For Thought and Discussion Questions 13.7, 13.8; Exercises 13.29, 13.30; Problems 13.65, 13.66*

- LO 13.9 Explain resonance in driven oscillatory systems.

*For Thought and Discussion Question 13.9; Exercise 13.31; Problem 13.73, 13.79*

### For Thought and Discussion

- The vibration frequencies of molecules are much higher than those of macroscopic mechanical systems. Why?
- What happens to the frequency of a simple harmonic oscillator when the spring constant is doubled? When the mass is doubled?
- How does the frequency of a simple harmonic oscillator depend on its amplitude?
- How would the frequency of a horizontal mass-spring system change if it were taken to the Moon? Of a vertical mass-spring system? Of a simple pendulum?
- When in its cycle is the acceleration of an undamped simple harmonic oscillator zero? When is the velocity zero?
- One pendulum consists of a solid rod of mass  $m$  and length  $L$ , and another consists of a compact ball of the same mass  $m$  on the end of a massless string of the same length  $L$ . Which has the greater period? Why?
- Why is critical damping desirable in a car's suspension?
- Explain why the frequency of a damped system is lower than that of the equivalent undamped system.
- Opera singers have been known to break glasses with their voices. How?
- What will happen to the period of a mass-spring system if it's placed in a jetliner accelerating down a runway? What will happen to the period of a pendulum in the same situation?

- The vibration frequency of a hydrogen chloride molecule is  $8.66 \times 10^{13}$  Hz. How long does it take the molecule to complete one oscillation?
- The top of a skyscraper sways back and forth, completing 95 full oscillation cycles in 10 minutes. Find (a) the period and (b) the frequency (in Hz) of its oscillatory motion.
- A hummingbird's wings vibrate at about 45 Hz. What's the **BIO** corresponding period?

### Section 13.2 Simple Harmonic Motion

- A 200-g mass is attached to a spring of constant  $k = 5.6$  N/m and set into oscillation with amplitude  $A = 25$  cm. Determine (a) the frequency in hertz, (b) the period, (c) the maximum velocity, and (d) the maximum force in the spring.
- An automobile suspension has an effective spring constant of 26 kN/m, and the car's suspended mass is 1900 kg. In the absence of damping, with what frequency and period will the car undergo simple harmonic motion?
- A 342-g mass is attached to a spring and undergoes simple harmonic motion. Its maximum acceleration is  $18.6$  m/s $^2$ , and its maximum speed is 1.75 m/s. Determine (a) the angular frequency, (b) the amplitude, and (c) the spring constant.
- A particle undergoes simple harmonic motion with amplitude 25 cm and maximum speed 4.8 m/s. Find the (a) angular frequency, (b) period, and (c) maximum acceleration.

### Section 13.3 Applications of Simple Harmonic Motion

- How long should you make a simple pendulum so its period is (a) 200 ms, (b) 5.0 s, and (c) 2.0 min?
- At the heart of a grandfather clock is a simple pendulum 1.45 m long; the clock ticks each time the pendulum reaches its maximum displacement in either direction. What's the time interval between ticks?
- A 622-g basketball with 24.0-cm diameter is suspended by a wire and is undergoing torsional oscillations at 1.87 Hz. Find the torsional constant of the wire.

### Exercises and Problems

#### Exercises

##### Section 13.1 Describing Oscillatory Motion

- A doctor counts 68 heartbeats in 1.0 minute. What are the corresponding period and frequency?
- A violin string playing the note A oscillates at 440 Hz. What's its oscillation period?

23. A meter stick is suspended from one end and set swinging. Find the period of the resulting small-amplitude oscillations.

### Section 13.4 Circular and Harmonic Motion

24. A wheel rotates at 600 rpm. Viewed from the edge, a point on the wheel appears to undergo simple harmonic motion. What are (a) the frequency in Hz and (b) the angular frequency for this SHM?
25. The  $x$ - and  $y$ -components of an object's motion are harmonic with frequency ratio 1.75:1. How many oscillations must each component undergo before the object returns to its initial position?

### Section 13.5 Energy in Simple Harmonic Motion

26. A 450-g mass on a spring is oscillating at 1.2 Hz, with total energy 0.51 J. What's the oscillation amplitude?
27. A torsional oscillator of rotational inertia  $1.6 \text{ kg}\cdot\text{m}^2$  and torsional constant  $3.4 \text{ N}\cdot\text{m}/\text{rad}$  has total energy 4.7 J. Find its maximum angular displacement and maximum angular speed.
28. A 2000-kg car is going at 100 km/h. It's got bad shock absorbers, and it's executing vertical SHM with amplitude and frequency of approximately 20 cm and 1 Hz, respectively. Estimate the percentage of the car's kinetic energy that's in the oscillation.

### Sections 13.6 and 13.7 Damped Harmonic Motion and Resonance

29. The vibration of a piano string can be described by an equation analogous to Equation 13.17. If the quantity analogous to  $b/2m$  in that equation has the value  $2.8 \text{ s}^{-1}$ , how long will it take the amplitude to drop to half its original value?
30. A mass-spring system has  $b/m = \omega_0/5$ , where  $b$  is the damping constant and  $\omega_0$  the natural frequency. How does its amplitude at  $\omega_0$  compare with its amplitude when driven at frequencies 10% above and below  $\omega_0$ ?
31. A car's front suspension has a natural frequency of 0.45 Hz. The car's front shock absorbers are worn and no longer provide critical damping. The car is driving on a bumpy road with bumps 40 m apart. At a certain speed, the driver notices that the car begins to shake violently. What is this speed?

### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

32. **Example 13.2:** Mass-spring systems are used as tuned mass dampers to diminish the vibrations of the balconies of a performing arts center. The oscillation frequency of the TMDs is 6.85 Hz, the oscillating mass is 142 kg, and the oscillation amplitude is 4.86 cm. What are (a) the spring constant, (b) the maximum speed, and (c) the maximum acceleration of the mass?
33. **Example 13.2:** A tuned mass damper for a skyscraper consists of a mass-spring system with spring constant  $0.288 \text{ MN/m}$ . What should be its mass if it's to oscillate with a period of 5.71 s?
34. **Example 13.2:** An alternative to the mass-spring tuned mass damper of Example 13.2 is to use a large pendulum. The Taipei 101 mass damper (see Application, page 235) is such a damper. It uses a solid ball with diameter 5.49 m and mass 660,000 kg,

suspended from multiple cables. Find the period of this system. Although the real system is more complex, treat this TMD as a simple pendulum with a single 8.40-m-long cable attached to the top of the ball, and measuring the length  $L$  from the suspension point to the center of the ball (which is *not* the same as the cable length). Neglect the mass of the cable.

35. **Example 13.2:** Repeat the preceding problem, now treating the mass damper more accurately as a physical pendulum but still neglecting the cable mass. Hint: You'll find the parallel-axis theorem useful.
36. **Example 13.5:** A mass-spring system is oscillating with frequency  $f = 0.377 \text{ Hz}$  and amplitude 28.2 cm. Find its speed at the point where its kinetic and potential energies are equal.
37. **Example 13.5:** A mass-spring system with spring constant  $k = 63.7 \text{ N/m}$  is oscillating with angular frequency  $2.38 \text{ s}^{-1}$  and total energy 7.69 J. Find (a) its amplitude and (b) its maximum speed.
38. **Example 13.5:** A simple pendulum is swinging with period  $T = 2.62 \text{ s}$  and amplitude  $8.85^\circ$ . Find the following quantities at the point where the pendulum's kinetic and potential energies are equal: (a) the angle the pendulum makes with the vertical and (b) the speed of the pendulum bob.
39. **Example 13.5:** A simple pendulum of mass  $m$  is swinging with period  $T$  and amplitude  $\theta_{\max}$ . Find expressions for (a) its total energy and (b) its maximum speed.

### Problems

40. A simple model for carbon dioxide consists of three mass points (atoms) connected by two springs (electric forces), as shown in Fig. 13.27. One way this system can oscillate is if the carbon atom stays fixed and the two oxygens move symmetrically on either side of it. If the frequency of this oscillation is  $4.0 \times 10^{13} \text{ Hz}$ , what's the effective spring constant? (Note: The mass of an oxygen atom is 16 u.)
41. A pendulum consists of a 320-g solid ball 15.0 cm in diameter, suspended by an essentially massless string 80.0 cm long. Calculate the period of this pendulum, treating it first as a simple pendulum and then as a physical pendulum. What's the error in the simple-pendulum approximation? (Hint: Remember the parallel-axis theorem.)
42. The human eye and the muscles that hold it can be modeled as a mass-spring system with typical values  $m = 7.5 \text{ g}$  and  $k = 2.5 \text{ kN/m}$ . What's the resonant frequency of this system? Shaking your head at this frequency blurs vision, as the eyeball undergoes resonant oscillations.
43. A mass  $m$  slides along a frictionless horizontal surface at speed  $v_0$ . It strikes a spring of constant  $k$  attached to a rigid wall, as shown in Fig. 13.28. After an elastic encounter with the spring, the mass heads back in the direction it came from. In terms of  $k$ ,  $m$ , and  $v_0$ , determine (a) how long the mass is in contact with the spring and (b) the spring's maximum compression.
44. Show by substitution that  $x(t) = A \sin \omega t$  is a solution to Equation 13.3.
45. A physics student, bored by a lecture on simple harmonic motion, idly picks up his pencil (mass 8.65 g, length 18.8 cm) by



FIGURE 13.27 Problem 40

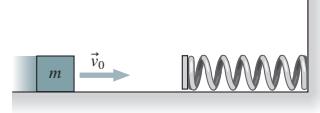


FIGURE 13.28 Problem 43

- the tip with his frictionless fingers, and allows it to swing back and forth with small amplitude. If the pencil completes 5974 full cycles during the lecture, how long does the lecture last?
46. A pendulum of length  $L$  is mounted in a rocket. Find expressions for its period if the rocket is (a) at rest on its launch pad, (b) accelerating upward with acceleration  $a = \frac{1}{2}g$ , (c) accelerating downward with  $a = \frac{1}{2}g$ , and (d) in free fall.
47. The protein dynein powers the flagella that propel some unicellular organisms. Biophysicists have found that dynein is intrinsically oscillatory, and that it exerts peak forces of about 1.0 pN when it attaches to structures called microtubules. The resulting oscillations have amplitude 15 nm. (a) If this system is modeled as a mass-spring system, what's the associated spring constant? (b) If the oscillation frequency is 70 Hz, what's the effective mass?
48. A mass is attached to a vertical spring, which then goes into oscillation. At the high point of the oscillation, the spring is in the original unstretched equilibrium position it had before the mass was attached; the low point is 5.8 cm below this. Find the oscillation period.
49. Derive the period of a simple pendulum by considering the horizontal displacement  $x$  and the force acting on the bob, rather than the angular displacement and torque.
50. A solid disk of radius  $R$  is suspended from a spring of spring constant  $k$  and torsional constant  $\kappa$ , as shown in Fig. 13.29. In terms of  $k$  and  $\kappa$ , what value of  $R$  will give the same period for the vertical and torsional oscillations of this system?
51. A thin steel beam is suspended from a crane and is undergoing torsional oscillations. Two 82.4 kg steel-workers leap onto opposite ends of the beam, as shown in Fig. 13.30. If the frequency of torsional oscillations diminishes by 21.0%, what's the beam's mass?
52. A cyclist turns her bicycle upside down to repair it. She then notices that the front wheel is executing a slow, small-amplitude, back-and-forth rotational motion with period 12 s. Treating the wheel as a thin ring of mass 600 g and radius 30 cm, whose only irregularity is the tire valve stem, determine the mass of the valve stem.
53. An object undergoes simple harmonic motion in two mutually perpendicular directions, its position given by  $\vec{r} = A \sin \omega t \hat{i} + A \cos \omega t \hat{j}$ . (a) Show that the object remains a fixed distance from the origin (i.e., that its path is circular), and find that distance. (b) Find an expression for the object's velocity. (c) Show that the speed remains constant, and find its value. (d) Find the angular speed of the object in its circular path.
54. The muscles that drive insect wings minimize the energy needed for flight by "choosing" to move at the natural oscillation frequency of the wings. Biologists study this phenomenon by clipping an insect's wings to reduce their mass. If the wing system is modeled as a simple harmonic oscillator, by what percent will the frequency change if the wing mass is decreased by 25%? Will it increase or decrease?
55. A hollow ball of diameter  $D$  is suspended from a string of negligible mass whose length is equal to the ball's diameter. The string is attached to the surface of the ball. Find an expression for the period of this physical pendulum in the small-amplitude approximation.

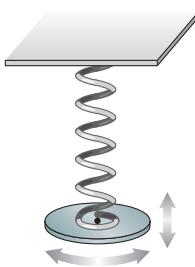


FIGURE 13.29  
Problem 50

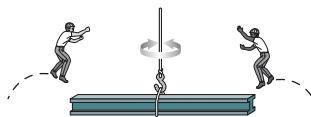


FIGURE 13.30 Problem 51

56. If Jane and Tarzan are initially 8.0 m apart in Fig. 13.12, and **CH** Jane's mass is 60 kg, what's the maximum tension in the vine, and at what point does it occur?
57. A *small mass measuring device* (SMMD) used for research on the biological effects of spaceflight consists of a small spring-mounted cage. Rats or other small subjects are introduced into the cage, which is set into oscillation. Calibration of an SMMD gives a linear function for the square of the oscillation period versus the subject's mass  $m$  in kg:  $T^2 = 4.0 \text{ s}^2 + (5.0 \text{ s}^2/\text{kg})m$ . Find (a) the spring constant and (b) the mass of the cage alone.
58. A thin, uniform hoop of mass  $M$  and radius  $R$  is suspended from a horizontal rod and set oscillating with small amplitude, as shown in Fig. 13.31. Show that the period of the oscillations is  $2\pi\sqrt{2R/g}$ . (*Hint:* You may find the parallel-axis theorem useful.)
59. A mass  $m$  is mounted between two springs with constants  $k_1$  and  $k_2$ , as shown in Fig. 13.32. Show that the angular frequency of oscillation is  $\omega = \sqrt{(k_1 + k_2)/m}$ .
60. Two mass-spring systems are oscillating with the same total energy, but system A's amplitude is twice that of system B. How do their spring constants compare?
61. Show that the potential energy of a simple pendulum is proportional to the square of the angular displacement in the small-amplitude limit.
62. The total energy of a mass-spring system is the sum of its kinetic and potential energy:  $E = \frac{1}{2}mv^2 + \frac{1}{2}kx^2$ . Assuming  $E$  remains constant, differentiate both sides of this expression with respect to time and show that Equation 13.3 results. (*Hint:* Remember that  $v = dx/dt$ .)
63. A solid cylinder of mass  $M$  and radius  $R$  is mounted on an axle through its center. The axle is attached to a horizontal spring of constant  $k$ , and the cylinder rolls back and forth without slipping (Fig. 13.33). Write the statement of energy conservation for this system, and differentiate it to obtain an equation analogous to Equation 13.3 (see Problem 62). Comparing your result with Equation 13.3, determine the angular frequency of the motion.
64. A mass  $m$  is free to slide on a frictionless track whose height  $y$  as a function of horizontal position  $x$  is  $y = ax^2$ , where  $a$  is a constant with units of inverse length. The mass is given an initial displacement from the bottom of the track and then released. Find an expression for the period of the resulting motion.
65. A 250-g mass is mounted on a spring of constant  $k = 3.3 \text{ N/m}$ . The damping constant for this system is  $b = 8.4 \times 10^{-3} \text{ kg/s}$ . How many oscillations will the system undergo before the amplitude decays to  $1/e$  of its original value?
66. A harmonic oscillator is underdamped if the damping constant  $b$  is less than  $\sqrt{2m\omega_0}$ , where  $\omega_0$  is the natural frequency of undamped motion. Show that for an underdamped oscillator, Equation 13.19 has a maximum at a driving frequency less than  $\omega_0$ .

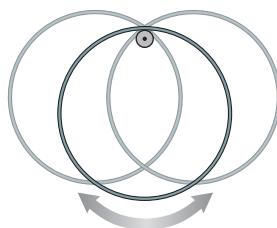


FIGURE 13.31 Problem 58

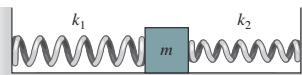


FIGURE 13.32 Problem 59

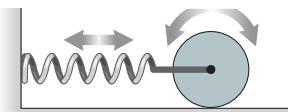


FIGURE 13.33 Problem 63

67. A massless spring with  $k = 74 \text{ N/m}$  hangs from the ceiling. A 490-g mass is hooked onto the unstretched spring and allowed to drop. Find (a) the amplitude and (b) the period of the resulting motion.
68. A meter stick is suspended from a frictionless rod through a small hole at the 25-cm mark. Find the period of small-amplitude oscillations about the stick's equilibrium position.
69. A particle of mass  $m$  has potential energy given by  $U = ax^2$ , where  $a$  is a constant and  $x$  is the particle's position. Find an expression for the frequency of simple harmonic oscillations this particle undergoes.
70. Two balls with the same unknown mass  $m$  are mounted on opposite ends of a 1.5-m-long rod of mass 850 g. The system is suspended from a wire attached to the center of the rod and set into torsional oscillations. If the wire has torsional constant  $0.63 \text{ N}\cdot\text{m}/\text{rad}$  and the period of the oscillations is 5.6 s, what's the unknown mass  $m$ ?
71. Two mass-spring systems with the same mass are undergoing oscillatory motion with the same amplitudes. System 1 has twice the frequency of system 2. How do (a) their energies and (b) their maximum accelerations compare?
72. Two mass-spring systems have the same mass and the same total energy. The amplitude of system 1 is twice that of system 2. How do (a) their frequencies and (b) their maximum accelerations compare?
73. Show by direct substitution that  $x = A\cos(\omega_0 t + \varphi)$  satisfies Equation 13.18, with  $A$  given by Equation 13.19.
74. A 500-g block on a frictionless, horizontal surface is attached to a rather limp spring with  $k = 8.7 \text{ N/m}$ . A second block rests on the first, and the whole system executes simple harmonic motion with period 1.8 s. When the amplitude of the motion is increased to 35 cm, the upper block just begins to slip. What's the coefficient of static friction between the blocks?
75. Repeat Problem 64 for a small solid ball of mass  $M$  and radius  $R$  that rolls without slipping on the parabolic track.
76. This problem explores what would happen if a hole were drilled through Earth's center and out the other side, and an object were dropped into the hole. Approximating Earth as a uniform solid sphere, the gravitational acceleration within the planet (including inside the hypothetical hole) would be  $g(r) = g_0(r/R_E)$ , where  $g_0$  is the value at Earth's surface,  $r$  is the distance from Earth's center, and  $R_E$  is Earth's radius. This gravitational acceleration is directed toward Earth's center. (a) Write an expression for the force on a mass  $m$  at any point  $r$  in the hole, apply Newton's second law, and show that you get an equation analogous to Equation 13.3. Neglect air resistance. (b) Use your analogy to find an expression for the period of the simple harmonic motion that results when the mass is dropped into the hole. (c) Use appropriate values to find a numerical value for the period, and compare with the period for circular low-Earth orbit that we found in Chapter 8.
77. A disk of radius  $R$  is suspended from a pivot somewhere between its center and edge (Fig. 13.34). For what point will the period of this physical pendulum be a minimum?
78. A *variable star* is a star whose radius and therefore brightness varies periodically. Variable stars are especially important because they help astronomers establish the cosmic distance scale. If a star's radius at equilibrium is  $R_0$ , then, under some simplifying assumptions about the behavior of the gas making up the star, the deviation  $\delta R$  from  $R_0$  obeys the equation

$$\frac{d^2(\delta R)}{dt^2} = -\frac{GM}{R_0^3} \delta R,$$

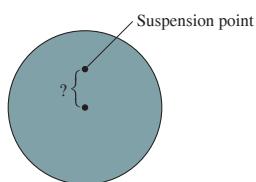


FIGURE 13.34 Problem 77

where  $M$  is the star's mass and  $G$  is the constant of universal gravitation. Use this fact to estimate the period of the star Delta Cephei, whose mass and radius are, respectively, about 5 and 50 times those of the Sun. (Your answer is off by a factor of about 3 because of the approximations made here.)

79. You're a structural engineer working on a design for a steel beam, **DATA** and you need to know its resonant frequency. The beam's mass is 3750 kg. You test the beam by clamping one end and deflecting the other so it bends, and you determine the associated potential energy. The following table gives the results:

Beam deflection $x$ (cm)	Potential energy $U$ (J)
-4.54	164
-3.49	141
-2.62	71.9
-1.22	9.15
-0.448	0.162
0	0
0.730	4.13
1.29	16.3
2.13	34.0
3.39	115
4.70	225

Find a quantity which, when  $U$  is plotted against it, should give a straight line. Make your plot, determine the best-fit line, and use its slope to determine the resonant frequency of the beam.

80. Show that  $x(t) = a \cos \omega t - b \sin \omega t$  represents simple harmonic motion, as in Equation 13.8, with  $A = \sqrt{a^2 + b^2}$  and  $\phi = \tan^{-1}(b/a)$ .

81. You're working for the summer with **BIO** an ornithologist who knows you've studied physics. She asks you for a noninvasive way to measure birds' masses. You propose using a bird feeder in the shape of a 50-cm-diameter disk of mass 340 g, suspended by a wire with torsional constant  $5.00 \text{ N}\cdot\text{m}/\text{rad}$  (Fig. 13.35). Two birds land on opposite sides and the feeder goes into torsional oscillation at 2.6 Hz. Assuming the birds have the same mass, what is it?

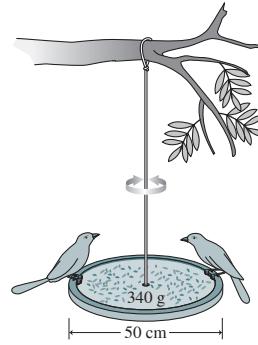


FIGURE 13.35 Problem 81

82. While waiting for your plane to take off, you suspend your keys from a thread and set the resulting pendulum oscillating. It completes exactly 90 cycles in 1 minute. You repeat the experiment as the plane accelerates down the runway, and now measure exactly 91 cycles in 1 minute. Find the plane's acceleration.

83. You're working for a playground equipment company, which wants to know the rotational inertia of its swing with a child on board; the combined mass is 32.6 kg. You observe the child twirling around in the swing, twisting the ropes as shown in Fig. 13.36. As a result,

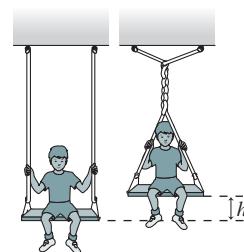


FIGURE 13.36 Problem 83

child and swing rise slightly, with the rise  $h$  in cm equal to the square of the number of full turns. When the child stops twisting, the swing begins torsional oscillations. You measure the period at 18.4 s. What do you report for the rotational inertia of the child–swing system?

84. The pendulum in an antique clock consists of a thin, uniform rod of length 30.00 cm and negligible mass, on which is mounted a solid disk of mass 692.2 g and diameter 6.350 cm, as shown in Fig. 13.37. A nut of negligible mass allows the disk to be moved up or down slightly to adjust the clock's timekeeping. By how much does the period of the pendulum change when the nut is moved upward 1.000 mm (i.e., when the small distance  $h$  shown in the figure increases by 1 mm)?

85. This problem explores the nonlinear pendulum discussed **COMP** qualitatively in Conceptual Example 13.1. You can tackle this problem if you have experience with your calculator's differential-equation solving capabilities or if you've used a software program like *Mathematica* or *Maple* that can solve differential equations numerically. On page 236 we wrote Newton's law for a pendulum as  $I d^2\theta/dt^2 = -mgL \sin\theta$ . (a) Rewrite this equation in a form suitable for a simple pendulum, but without making the approximation  $\sin\theta \approx \theta$ . Although it won't affect the form of the equation, assume that your pendulum uses a massless rigid rod rather than a string, so it can turn completely upside down without collapsing. (b) Enter your equation into your calculator or software, and produce graphical solutions to the equation for the situation where you specify the initial kinetic energy  $K_0$  when the pendulum is at its bottommost position. In particular, describe solutions for (i)  $K_0 \ll U_{\max}$ , (ii)  $K_0 \approx U_{\max}$ , and (iii)  $K_0 > U_{\max}$ . Here  $U_{\max}$  is the maximum possible potential energy for the system, which occurs when the pendulum is completely upside down;  $U_0 = 2Lmg$ , where  $L$  is the pendulum's length.

### Passage Problems

Physicians and physiologists are interested in the long-term effects of apparent weightlessness on the human body. Among these effects are redistribution of body fluids to the upper body, loss of muscle tone, and overall mass loss. One method of measuring mass in the apparent weightlessness of an orbiting spacecraft is to strap the astronaut into a chairlike device mounted on springs (Fig. 13.38). This *body mass measuring device* (BMMD) is set oscillating in simple harmonic motion, and measurement of the oscillation period, along with the known spring constant and mass of the chair itself, then yields the astronaut's mass. When a 60-kg astronaut is strapped into the 20-kg chair, the time for three oscillation periods is measured to be 6.0 s.

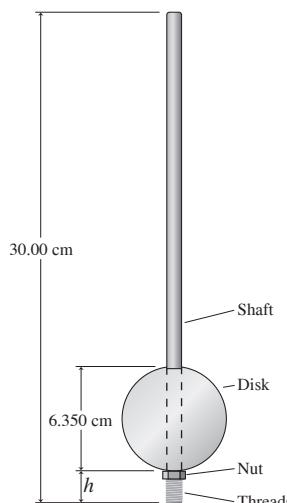


FIGURE 13.37 Problem 84



FIGURE 13.38 Astronaut Tamara Jernigan uses a body mass measuring device in the Spacelab Life Sciences Module (Passage Problems 86–89).

86. If a 90-kg astronaut is “weighed” with this BMMD, the time for three periods will be
  - a. 50% longer.
  - b. shorter by less than 50%.
  - c. longer by less than 50%.
  - d. longer by more than 50%.
87. If the same device were used on Earth, the results for a given astronaut (assuming mass hasn't yet been lost in space) would be
  - a. the same.
  - b. greater than in an orbiting spacecraft.
  - c. less than in an orbiting spacecraft.
  - d. meaningless, because the device won't work on Earth.
88. If an astronaut's mass declines linearly with time while she's in orbit, the oscillation period of the BMMD will
  - a. decrease at an ever-decreasing rate.
  - b. decrease linearly with time.
  - c. decrease at an ever-increasing rate.
  - d. increase linearly with time.
89. The spring constant for the BMMD described here is
  - a. 80 N/m.
  - b.  $80\pi$  N/m.
  - c. 2 N/m.
  - d.  $80\pi^2$  N/m.
  - e. none of the above.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

1 Hz is 1 cycle per second, so that's 32,768 oscillation cycles per second. This number is  $2^{15}$ , so it takes 15 divisions by two to reduce to the one “tick” per second that drives the watch.

### Answers to GOT IT? Questions

- 13.1 (c)
- 13.2 Frequencies and periods are the same; amplitudes and phase constants are different because of the different initial displacements and times of release, respectively.
- 13.3 (1) no change; (2) doubles; (3) doubles
- 13.4 (1) 1:2; (2) 3:2
- 13.5 The more energetic oscillator has (1) twice the mass and (2) twice the spring constant. (3) Their maximum speeds are equal.
- 13.6 (1) c; (2) b
- 13.7 The frequency, which needs to be at the glass's resonant frequency (although, even at resonance, a sound that's too weak won't break the glass).

# Wave Motion

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 14.1** Describe waves qualitatively and distinguish longitudinal from transverse waves.
- LO 14.2** Describe wave motion quantitatively using functions of space and time.
- LO 14.3** Explain how Newtonian physics describes waves on strings.
- LO 14.4** Evaluate the energy carried by waves.
- LO 14.5** Describe sound waves and quantify sound intensity in decibels.
- LO 14.6** Describe wave interference in one and two dimensions.
- LO 14.7** Describe wave reflection and standing waves.
- LO 14.8** Describe the Doppler effect and shock waves.

## Skills & Knowledge You'll Need

- Newton's second law (Section 4.2)
- Power (Section 6.5)
- Simple harmonic motion (Section 13.2)
- Trig identities involving multiple angles

Humans and other animals communicate using sound waves. Light and related waves enable us to visualize our surroundings and provide most of our information about the universe beyond Earth. Our cell phones keep us connected via radio waves. Physicians probe our bodies with ultrasound waves. Radio waves connect our wireless devices to the Internet and cook the food in our microwave ovens. Earthquakes trigger waves in the solid Earth and may generate dangerous tsunamis. Black holes collide in the distant universe, generating waves that ripple the fabric of space and time. **Wave motion** is an essential feature of our physical environment.

All these examples involve a disturbance that moves or **propagates** through space. The disturbance carries energy but not matter. Air doesn't move from your mouth to a listener's ear, but sound energy does. Water doesn't move across the open ocean, but wave energy does. **A wave is a traveling disturbance that transports energy but not matter.**



Ocean waves travel thousands of kilometers across the open sea before breaking on shore. How much water moves with the waves?

## 14.1 Waves and Their Properties

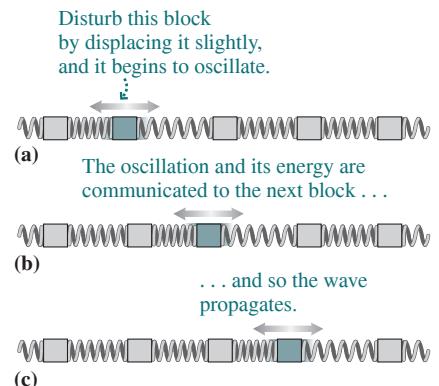
- LO 14.1** *Describe waves qualitatively and distinguish longitudinal from transverse waves.*

In this chapter we'll deal with **mechanical waves**, which are disturbances of some material **medium**, such as air, water, a violin string, or Earth's interior. Visible and infrared light waves, radio waves, ultraviolet and X rays, in contrast, are **electromagnetic waves**. They share many properties with mechanical waves, but they don't require a material medium. We'll treat electromagnetic waves in Chapters 29–32. **Gravitational waves**, first detected in 2015, are also nonmechanical waves. We'll explore them further in Chapter 33.

Mechanical waves occur when a disturbance in one part of a medium is communicated to adjacent parts. Figure 14.1 shows a multiple mass–spring system that serves as a model for many types of mechanical waves. Disturb one mass, and it goes into simple harmonic motion. But because the masses are connected, that motion is communicated to the adjacent mass. As a result, both the disturbance and its associated energy propagate along the mass–spring system, disturbing successive masses as they go.



**WAVE MOTIONS** A wave moves energy from place to place but not matter. However, that doesn't mean that the matter making up the wave medium doesn't move. It does, undergoing localized oscillatory motion as the wave passes. But once the wave is gone, the disturbed matter returns to its equilibrium state. Don't confuse this localized motion of the medium with the motion of the wave itself. Both occur, but only the latter carries energy from one place to another.



## Longitudinal and Transverse Waves

In Fig. 14.1, we disturbed the system by displacing one block so its subsequent oscillations were back and forth along the structure—in the same direction as the wave propagation. The result is a **longitudinal wave**. Sound is a longitudinal wave, as we'll see in Section 14.5. We could equally well displace a mass at right angles, as in Fig. 14.2. Then we get a **transverse wave**, whose disturbance is at right angles to the wave propagation. Some waves include both longitudinal and transverse motions, as shown for a water wave in Fig. 14.3.

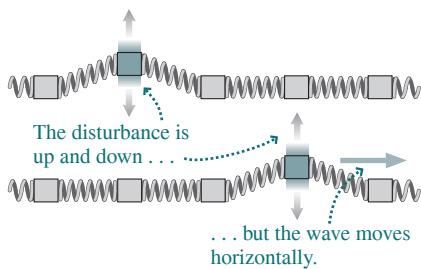


FIGURE 14.2 A transverse wave.

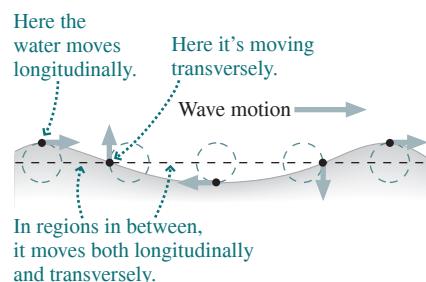


FIGURE 14.3 A water wave has both longitudinal and transverse components.

## Amplitude and Waveform

The maximum value of a wave's disturbance is the wave **amplitude**. For a water wave, amplitude is the maximum height above the undisturbed water level; for a sound wave, it's the maximum excess air pressure; for the waves of Figs. 14.1 and 14.2, it's the maximum displacement of a mass.

Wave disturbances come in many shapes, called **waveforms** (Fig. 14.4). An isolated disturbance is a **pulse**, which occurs when the medium is disturbed only briefly. A **continuous wave** results from an ongoing periodic disturbance. Intermediate between these extremes is a **wave train**, resulting from a periodic disturbance lasting a finite time.

## Wavelength, Period, and Frequency

A continuous wave repeats in both space and time. The **wavelength  $\lambda$**  is the *distance* over which the wave pattern repeats (Fig. 14.5). The wave **period  $T$**  is the *time* for one complete oscillation. The **frequency  $f$** , or number of wave cycles per unit time, is the inverse of the period.

## Wave Speed

A wave travels at a specific speed through its medium. The speed of sound in air is about 340 m/s. Small ripples on water move at about 20 cm/s, while earthquake waves travel at

The wavelength can be measured between any two repeating points on the wave.

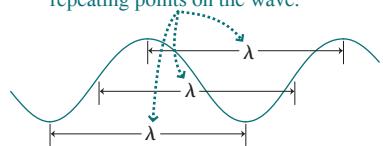
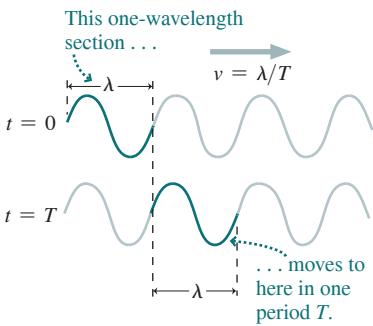


FIGURE 14.5 The wavelength  $\lambda$  is the distance over which the wave pattern repeats.



**FIGURE 14.6** One full cycle passes a given point in one wave period  $T$ ; the wave speed is therefore  $v = \lambda/T$ .

several kilometers per second. The physical properties of the medium ultimately determine the wave speed, as we'll see in Section 14.3.

Wave speed, wavelength, and period are related. In one wave period, a fixed observer sees one complete wavelength go by (Fig. 14.6). Thus, the wave moves one wavelength in one period, so its speed is

$v$  is the speed at which a wave propagates.      The wave moves one wavelength  $\lambda$  ...

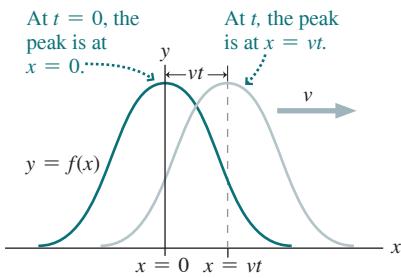
$$v = \frac{\lambda}{T} = \lambda f \quad (\text{wave speed}) \quad (14.1)$$

...in one period  $T$ , so the wave speed is  $\lambda/T$ . Frequency  $f = 1/T$ , so  $v$  is also  $\lambda f$ .

where the second equality follows because period and frequency are inverses.

### GOT IT?

**14.1** A boat bobs up and down on a water wave, moving 2 m vertically in 1 s. A wave crest moves 10 m horizontally in 2 s. Is the wave speed (a) 2 m/s or (b) 5 m/s? Explain.



**FIGURE 14.7** The wave pulse moves a distance  $vt$  in time  $t$ , but its shape stays the same.

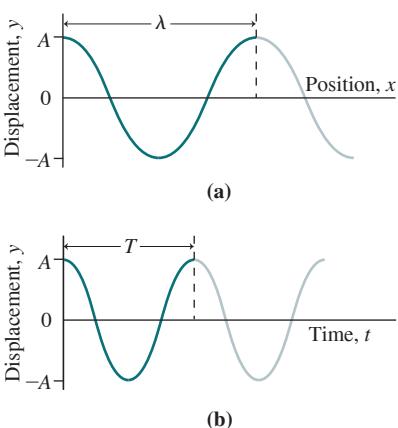
## 14.2 Wave Math

**LO 14.2** Describe wave motion quantitatively using functions of space and time.

Figure 14.7 shows “snapshots” of a wave pulse at time  $t = 0$  and at some later time  $t$ . Initially the wave disturbance  $y$  is some function of position:  $y = f(x)$ . Later the pulse has moved to the right a distance  $vt$ , but its shape, described by the function  $f$ , is the same. We can represent this displaced pulse by replacing  $x$  with  $x - vt$  as the argument of the function  $f$ . Then  $x$  has to be larger—by the amount  $vt$ —to give the same value of  $f$  as it did before. For example, this particular pulse peaks when the argument of  $f$  is zero. Initially, that occurred when  $x$  was zero. Replacing  $x$  by  $x - vt$  ensures that the argument becomes zero when  $x = vt$ , putting the peak at this new position. As time increases, so does  $vt$  and therefore the value of  $x$  corresponding to the peak. Thus  $f(x - vt)$  correctly represents the moving pulse.

Although we considered a single pulse, this argument applies to *any* function  $f(x)$ , including continuous waves: Replace the argument  $x$  with  $x - vt$ , and the function  $f(x - vt)$  describes a wave moving in the positive  $x$ -direction with speed  $v$ . You can convince yourself that a function of the form  $f(x + vt)$  describes a wave moving in the negative  $x$ -direction.

A particularly important case is a **simple harmonic wave**, for which a “snapshot” at time  $t = 0$  shows a sinusoidal function. We'll choose coordinates so that  $x = 0$  is at a maximum of the wave, making the function a cosine (Fig. 14.8a). Then  $y(x, 0) = A \cos kx$ , where  $A$  is the amplitude and  $k$  is a constant, called the **wave number**. We can find  $k$  because we know that the wave repeats in one wavelength  $\lambda$ . Since the period of the cosine function is  $2\pi$ , we therefore want  $kx$  to be  $2\pi$  when  $x$  equals  $\lambda$ . Then  $k\lambda = 2\pi$ , or



**FIGURE 14.8** A sinusoidal wave (a) as a function of position at fixed time  $t = 0$  and (b) as a function of time at fixed position  $x = 0$ .

$k$  is the wave number, the spatial analog of the angular frequency  $\omega$ .

Just as  $\omega$  is  $2\pi/T$ , so  $k$  is  $2\pi/\lambda$ ...

$$k = \frac{2\pi}{\lambda} \quad (\text{wave number}) \quad (14.2)$$

...where  $\lambda$  is the wavelength.

To describe a wave moving with speed  $v$ , we replace  $x$  in the expression  $A \cos kx$  with  $x - vt$ , giving  $y(x, t) = A \cos[k(x - vt)]$ . If we now sit at the point  $x = 0$ , we'll see an oscillation described by  $y(0, t) = A \cos(-kvt) = A \cos(kvt)$ , where the last step follows because  $\cos(-x) = \cos x$ . But we found that  $k = 2\pi/\lambda$ , and Equation 14.1 shows that  $v = \lambda/T$ , so the argument of the cosine function becomes  $kvt = (2\pi/\lambda)(\lambda/T)t = 2\pi t/T$ .

In Chapter 13, we introduced the **angular frequency**  $\omega = 2\pi/T$  in describing simple harmonic motion; here the same quantity arises in describing wave motion. And no wonder: At a fixed point in space, the wave medium undergoes simple harmonic motion with angular frequency  $\omega = 2\pi/T$  (Fig. 14.8b). Putting this all together, we can write a traveling sinusoidal wave in the form

$$y(x, t) = A \cos(kx \pm \omega t) \quad (\text{sinusoidal wave}) \quad (14.3)$$

A is the wave amplitude.  
A wave's displacement  $y$  is a function of both position  $x$  and time  $t$ .  
 $k$  is the wave number, and  $x$  is the position where we're evaluating  $y$ .  
Here we're describing a sinusoidal wave.  
 $\omega$  is the angular frequency, and  $t$  is the time when we're evaluating  $y$ .

where we've written  $\pm$  so we can describe a wave going in the positive  $x$ -direction ( $-$  sign) or the negative  $x$ -direction ( $+$  sign). The argument of the cosine is called the wave's **phase**. Note that  $k$  and  $\omega$  are related to the more familiar wavelength  $\lambda$  and period  $T$  in the same way:  $k = 2\pi/\lambda$  and  $\omega = 2\pi/T$ . Just as  $\omega$  is a measure of frequency—oscillation cycles per unit *time*, with an extra factor of  $2\pi$ —so is  $k$  a measure of **spatial frequency**—oscillation cycles per unit *distance*, again with that factor of  $2\pi$  to make the math simpler. The relations between  $k$ ,  $\lambda$  and  $\omega$ ,  $T$  allow us to rewrite the wave speed of Equation 14.1 in terms of  $k$  and  $\omega$ :

$$v = \frac{\lambda}{T} = \frac{2\pi/k}{2\pi/\omega} = \frac{\omega}{k} \quad (14.4)$$

### EXAMPLE 14.1

### Describing a Wave: Surfing

#### Worked Example with Variation Problems

A surfer paddles out beyond the breaking surf to where the waves are sinusoidal in shape, with crests 14 m apart. The surfer bobs a vertical distance 3.6 m from trough to crest, a process that takes 1.5 s. Find the wave speed, and describe the wave using Equation 14.3.

**INTERPRET** This is a problem about a simple harmonic wave—that is, a wave with sinusoidal shape.

**DEVELOP** We'll take  $x = 0$  at the location of a wave crest when  $t = 0$ , so Equation 14.3,  $y(x, t) = A \cos(kx \pm \omega t)$ , applies. Let's take the positive  $x$ -direction toward shore, so we'll use the minus sign in Equation 14.3. In Fig. 14.9a we sketched a “snapshot” of the wave, showing the spatial information we're given. Figure 14.9b shows the temporal information.

**EVALUATE** The 1.5-s trough-to-crest time in Fig. 14.9b is half the full crest-to-crest period  $T$ , so  $T = 3.0$  s. The crest-to-crest distance in Fig. 14.9a is the wavelength  $\lambda$ , so  $\lambda = 14$  m. Then Equation 14.1 gives

$$v = \frac{\lambda}{T} = \frac{14 \text{ m}}{3.0 \text{ s}} = 4.7 \text{ m/s}$$

To describe the wave with Equation 14.3 we need the amplitude  $A$ , wave number  $k$ , and angular frequency  $\omega$ . The amplitude is half the crest-to-trough displacement, or  $A = 1.8$  m, as shown in Fig. 14.9a. The wave number  $k$  and angular frequency  $\omega$  then follow from  $\lambda$  and  $T$ :  $k = 2\pi/\lambda = 0.449 \text{ m}^{-1}$  and  $\omega = 2\pi/T = 2.09 \text{ s}^{-1}$ . Then the wave description is

$$y(x, t) = 1.8 \cos(0.449x - 2.09t)$$

with  $y$  and  $x$  in meters and  $t$  in seconds.

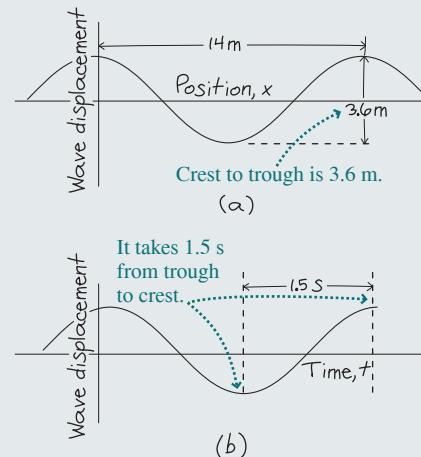
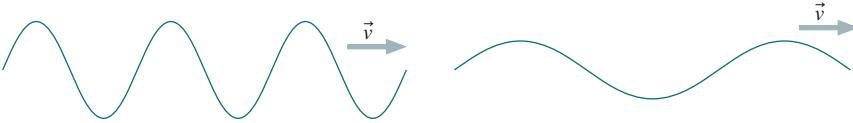


FIGURE 14.9 Our sketch of displacement versus (a) position and (b) time.

**ASSESS** As a check on our answer, let's see whether our values of  $\omega$  and  $k$  satisfy Equation 14.4:  $v = \omega/k = 2.09 \text{ s}^{-1}/0.449 \text{ m}^{-1} = 4.7 \text{ m/s}$ . Thus the pairs  $\lambda, T$  and  $\omega, k$  are equivalent ways to describe the same wave.

## GOT IT?

**14.2** The figure shows snapshots of two waves propagating with the same speed. Which has the greater (1) amplitude, (2) wavelength, (3) period, (4) wave number, and (5) frequency?



## The Wave Equation

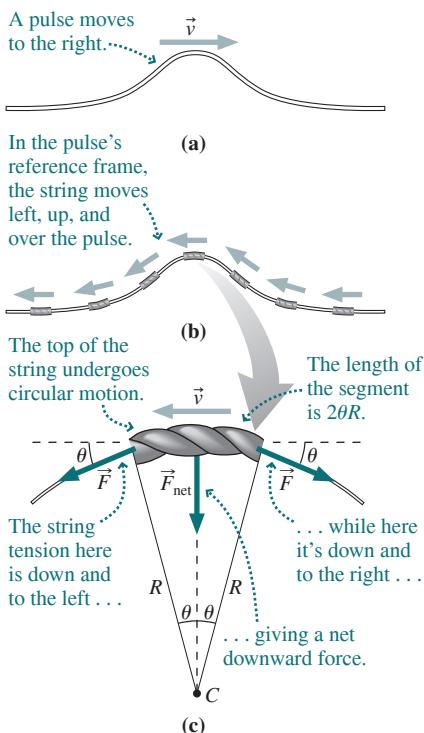
We argued our way to Equation 14.3 for a sinusoidal wave on mathematical grounds alone. Whether such waves are actually possible depends on the physical properties of the medium. Many media do, in fact, support waves as described by Equation 14.3. We'll explore one case in detail in the next section. More generally, physicists analyze the behavior of a medium in response to disturbances. Often the analysis results in a particular equation relating the space and time derivatives of the disturbed quantity:

Because the wave displacement  $y$  is a function of both  $x$  and  $t$ , we use partial derivatives, writing  $\partial$  instead of  $d$ .

Here's the second partial derivative of the wave displacement with respect to time.

$$\frac{\partial^2 y}{\partial x^2} = \frac{1}{v^2} \frac{\partial^2 y}{\partial t^2} \quad (\text{wave equation}) \quad (14.5)$$

The  $=$  sign relates the spatial and temporal second derivatives of the wave displacement  $y$ .  
 $v$  is the wave speed.



**FIGURE 14.10** A wave pulse moving on a string. In (c), each of the diagonal forces shown contributes a downward component  $F \sin \theta$ .

This is the **wave equation** for waves propagating in one dimension. Here  $y$  is the wave disturbance—the height of a water wave, the pressure in a sound wave, and so on. The quantity  $v$  is the wave speed, which usually appears as a combination of quantities related to properties of the medium, and thus allows physicists to deduce the wave speed. Because the wave disturbance is a function of the two variables  $x$  (spatial position) and  $t$  (time), the derivatives here are **partial derivatives**, designated with the symbol  $\partial$  and indicating differentiation with respect to one variable while the other is held constant. Thus the wave equation is a **partial differential equation**. Solving such equations requires more advanced math courses, but you can show directly (Problem 71) that Equation 14.3 satisfies the wave equation, with wave speed  $v = \omega/k$ . More generally, *any* function of the form  $f(x \pm vt)$  satisfies the wave equation, as you can show in Problem 72. You'll encounter the wave equation again in Chapter 29, when you study electromagnetic waves.

## 14.3 Waves on a String

**LO 14.3** Explain how Newtonian physics describes waves on strings.

Scientists and engineers generally explore wave possibilities in a medium by applying the laws of physics and deriving a wave equation similar to Equation 14.5. Such analysis reveals the wave speed and other wave properties. Here we'll take a simpler approach to one special case: transverse waves on a stretched string. Our results are directly applicable to musical instruments, climbing ropes, bridge cables, and other elongated structures.

Our string has mass per unit length  $\mu$  in kilograms per meter, and it's stretched to a tension force  $F$ . Consider a wave pulse propagating to the right, as shown in Fig. 14.10a. We'll use Newton's law to analyze the string's motion and determine the speed of the pulse. It's easiest to do this in a frame of reference moving with the pulse; in that frame, the entire string moves *leftward* with the pulse speed  $v$ . At the pulse location, however, the string's motion deviates from horizontal as it rides up and down over the pulse (Fig. 14.10b).

Whatever the pulse shape, a small section at the top forms a circular arc of some radius  $R$ , as shown in Fig. 14.10c. Then the string right at the top of the pulse undergoes circular motion with speed  $v$  and radius  $R$ ; if its mass is  $m$ , Newton's law requires that a force of magnitude  $mv^2/R$  act toward the center of curvature to keep the string on its circular path. This force is provided by the difference in the direction of the string tension between the two ends of the section; as Fig. 14.10c shows, the tension at each end contributes a downward component  $F \sin \theta$ . Then the net force on the segment has magnitude  $2F \sin \theta$  and points toward the center of curvature.

Now we make an additional assumption: that the disturbance of the string is small, in the sense that the string remains almost horizontal even at the pulse. Then the angle  $\theta$  is small, and we can apply the approximation  $\sin \theta \approx \theta$ . Therefore, the net force on the string section becomes approximately  $2F\theta$ . Furthermore, the small-disturbance approximation means that the tension doesn't vary significantly from its undisturbed value, so  $F$  in this expression is essentially the same  $F$  we're using to characterize the tension throughout the string. Finally, our curved string section forms a circular arc whose length, from Fig. 14.10c, is  $2\theta R$ . Multiplying by the mass per unit length  $\mu$  gives its mass:  $m = 2\theta R\mu$ . Now we can apply Newton's law, equating the net force  $2F\theta$  to the mass times acceleration:

$$2F\theta = \frac{mv^2}{R} = \frac{2\theta R\mu v^2}{R} = 2\theta\mu v^2$$

Solving for the wave speed  $v$  then gives

$$v = \sqrt{\frac{F}{\mu}} \quad (14.6)$$

Does this make sense? The greater the tension  $F$ , the greater the string's acceleration, and the more rapidly the wave should propagate. The string's inertia, on the other hand, limits the acceleration, and therefore a greater mass per unit length should slow the wave. Equation 14.6, with  $F$  in the numerator and  $\mu$  in the denominator, reflects both these trends.

We've made no assumptions here other than to assume that the disturbance is small. Therefore, Equation 14.6 applies to small-amplitude pulses, continuous waves, and wave trains of any shape.

### EXAMPLE 14.2 Wave Speed and Tension Force: Rock Climbing

A 43-m-long rope of mass 5.0 kg joins two climbers. One climber strikes the rope, and 1.4 s later the second climber feels the effect. What's the rope tension?

**INTERPRET** We're asked for the rope tension. Although wave speed isn't mentioned explicitly, we just learned to relate wave speed and rope tension. Striking the rope produces a wave, which the second climber feels. We're given the time it takes that wave to propagate along the rope.

**DEVELOP** Equation 14.6,  $v = \sqrt{F/\mu}$ , gives the relations among rope tension, mass per unit length, and wave speed. Our plan is to solve for the rope tension, but first we need to find  $\mu$  and  $v$  from the given information.

**EVALUATE** We're given the rope's mass  $m$  and length  $L$ , so its mass per unit length is  $\mu = m/L$ . We're given the time  $t$  for the wave to travel the rope length  $L$ , so the wave speed is  $v = L/t$ . Solving Equation 14.6 for  $F$  then gives

$$F = \mu v^2 = \left(\frac{m}{L}\right)\left(\frac{L}{t}\right)^2 = \frac{mL}{t^2} = \frac{(5.0 \text{ kg})(43 \text{ m})}{(1.4 \text{ s})^2} = 110 \text{ N}$$

**ASSESS** Is this number reasonable? A typical adult weighs around 700 N, so the rope is supporting only a small fraction of the lower climber's weight—a reasonable situation.

## 14.4 Wave Energy

### LO 14.4 Evaluate the energy carried by waves.

Waves carry energy, so a moving wave is characterized by its power—the rate at which it carries energy. We quantify that power in slightly different ways depending on the geometry of the wave.

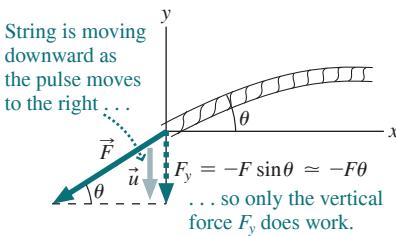


FIGURE 14.11 The vertical force component does work on the string; for small  $\theta$ ,  $\sin \theta \approx \theta$ , so  $F_y \approx F\theta$ .

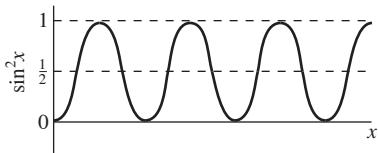


FIGURE 14.12 The function  $\sin^2 x$  swings symmetrically between 0 and 1, so its average value is  $\frac{1}{2}$ .

## Wave Power

Waves carry energy. For a wave on a string, the vertical component of the tension force does work that transfers energy along the string. Figure 14.11 shows that the vertical force on the string at the left side of the pulse is approximately  $-F\theta$ . As we showed in Chapter 6, power—the rate of doing work—is the product of force and velocity, so the power here is  $P = -F\theta u$ , where  $u$  is the vertical velocity of the string—not the wave speed. Rather, the vertical velocity is the rate of change of the string displacement  $y$ . For a simple harmonic wave,  $y(x, t) = A \cos(kx - \omega t)$ . We can differentiate this to get

$$u = \frac{dy}{dt} = A\omega \sin(kx - \omega t)$$

where we used the chain rule, differentiating cosine to  $-\sin$  and then multiplying by the derivative,  $-\omega$ , of the cosine's argument  $kx - \omega t$ . As Fig. 14.11 shows, the tangent of the angle  $\theta$  is the slope,  $dy/dx$ , of the string. For small angles,  $\tan \theta \approx \theta$  so  $\theta \approx dy/dx = -kA \sin(kx - \omega t)$ . Putting these results for  $u$  and  $\theta$  in our expression for power gives  $P = -F\theta u = F\omega k A^2 \sin^2(kx - \omega t)$ . The sine term shows that the power fluctuates in space and time. Usually we're interested in the *average* power,  $\bar{P} = \frac{1}{2}F\omega k A^2$ , which follows because the average value of  $\sin^2$  is  $\frac{1}{2}$  (Fig. 14.12). We can give this a more physical meaning if we use Equations 14.4 and 14.6 to write  $k = \omega/v$  and  $F = \mu v^2$ , with  $v$  the wave speed. Then we have

$$\bar{P} = \frac{1}{2}\mu\omega^2 A^2 v \quad (14.7)$$

This equation gives the sensible result that wave power is directly proportional to the speed  $v$  at which energy moves along the wave.

## Wave Intensity

Total power is useful in describing waves confined to narrow structures like strings for mechanical waves or optical fibers for electromagnetic waves. But for waves in three-dimensional media, like sound in air, it makes more sense to talk about the **intensity**, or the rate at which the wave carries energy across a unit area perpendicular to the wave propagation. Intensity is thus power per unit area, measured in watts per square meter ( $\text{W/m}^2$ ).

**Wavefronts** are surfaces on which the wave phase is constant—for example, wave crests. A **plane wave** is one whose wavefronts are planes. Since the wave doesn't spread out, its intensity remains constant (Fig. 14.13a). But as waves propagate from a localized source, they spread and their intensity drops. **Spherical waves** originate from point sources, and spherical wavefronts spread in all directions. Since the area of a sphere is  $4\pi r^2$ , the intensity of a spherical wave decreases as the inverse square of the distance from its source:

$$I = \frac{P}{A} = \frac{P}{4\pi r^2} \quad (\text{spherical wave}) \quad (14.8)$$

Note that energy isn't lost here; rather, the same energy is spread over ever-larger areas as the wave propagates (Fig. 14.13b). Table 14.1 lists some typical wave intensities.

Table 14.1 Wave Intensities

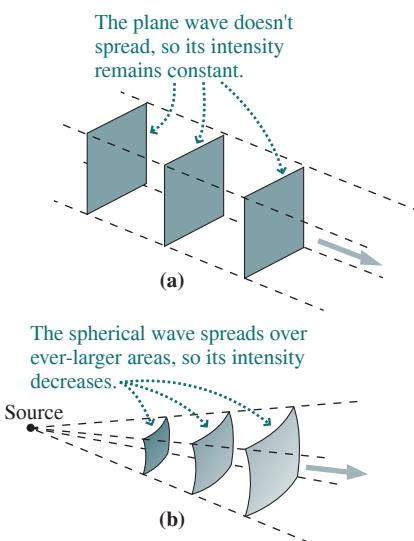


FIGURE 14.13 (a) Plane and (b) spherical waves.

Wave	Intensity, $\text{W/m}^2$
Sound, 4 m from loud rock band	1
Sound, jet aircraft at 50 m	10
Sound, whisper at 1 m	$10^{-10}$
Light, sunlight at Earth's orbit	1360
Light, sunlight at Jupiter's orbit	50
Light, 1 m from typical camera flash	4000
Light, at target of laser fusion experiment	$10^{18}$
TV signal, 5 km from 50-kW transmitter	$1.6 \times 10^{-4}$
Microwaves, inside microwave oven	6000
Earthquake wave, 5 km from Richter 7.0 quake	$4 \times 10^4$

### EXAMPLE 14.3 Evaluating Wave Intensity: A Reading Light

Your book is 1.9 m from a 9.2-W LED lamp, and the light is barely adequate for reading. How far from a 4.9-W LED would the book have to be to get the same intensity at the page?

**INTERPRET** This is a problem about wave intensity, and we identify the LEDs as sources of spherical waves.

**DEVELOP** Equation 14.8,  $I = P/(4\pi r^2)$ , gives the intensity. We want both LEDs to produce the same intensity, so we have  $I = P_{9.2}/(4\pi r_{9.2}^2) = P_{4.9}/(4\pi r_{4.9}^2)$ .

**EVALUATE** We then solve for the unknown distance  $r_{4.9}$ :

$$r_{4.9} = r_{9.2} \sqrt{\frac{P_{4.9}}{P_{9.2}}} = (1.9 \text{ m}) \sqrt{\frac{4.9 \text{ W}}{9.2 \text{ W}}} = 1.4 \text{ m}$$

**ASSESS** Make sense? Although the 4.9-W LED has only about half the power output, the decrease in distance isn't as great as you might expect because the intensity depends on the inverse *square* of the distance. By the way, those energy-efficient LEDs are approximately equivalent to 75-W and 40-W incandescent bulbs, respectively.

#### GOT IT?

- 14.3** Two identical stars are different distances from Earth, and the intensity of the light from the more distant star as received at Earth is only 1% that of the closer star. Is the more distant star (a) twice as far away, (b) 100 times as far away, (c) 10 times as far away, or (d)  $\sqrt{10}$  times as far away?

## 14.5 Sound Waves

### LO 14.5 Describe sound waves and quantify sound intensity in decibels.

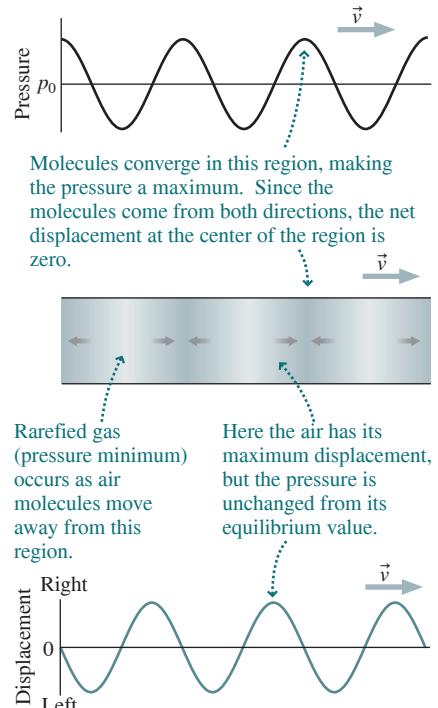
**Sound waves** are longitudinal mechanical waves that propagate through gases, liquids, and solids. Most familiar is sound in air. Here the wave disturbance comprises a small change in air pressure and density accompanied by a back-and-forth motion of the air (Fig. 14.14). The speed of sound in air and other gases depends on the background pressure  $p$  (force per unit area) and density  $\rho$  (mass per unit volume):

$$v = \sqrt{\frac{\gamma p}{\rho}} \quad (14.9)$$

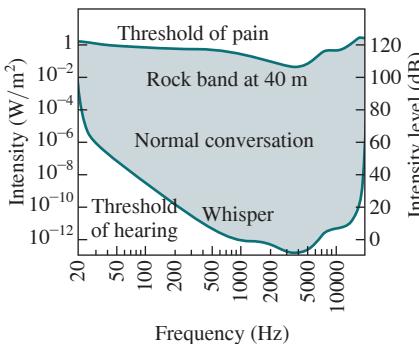
where  $\gamma$  is a constant characteristic of the gas. For air and other diatomic gases,  $\gamma$  is  $\frac{7}{5}$ ; for monatomic gases like helium, it's  $\frac{5}{3}$ . Sound propagates faster in liquids and solids because they're less compressible. For dry air at 20°C, Equation 14.9 gives 343 m/s, comparable to the approximate value 340 m/s that we gave in Section 14.1.

### Sound and the Human Ear

The human ear responds to a wide range of sound intensities and frequencies, as shown in Fig. 14.15. Audible frequencies range from around 20 Hz to 20 kHz, although the upper limit drops with age. Figure 14.15 shows that the minimum intensity for sound to be audible increases at high and low frequencies; that's the reason for the "loudness" switch on your stereo system, which boosts lows and highs to make the sound richer at low volumes. Dolphins, bats, and other creatures can hear much higher frequencies than we humans; bats locate their prey with sound waves at frequencies approaching 100 kHz. Medical ultrasound frequencies extend to tens of MHz.



**FIGURE 14.14** A sound wave consists of alternating regions of compression (higher density and pressure) and rarefaction (lower density and pressure) propagating through the air.



**FIGURE 14.15** The human ear responds to sound whose intensity and frequency lie within the shaded region.

## Decibels

Figure 14.15 shows that the human ear responds to an extremely broad range of sound intensities, covering some 12 orders of magnitude; that's why Fig. 14.15 has a logarithmic scale. We therefore quantify sound levels using a logarithmic unit called the **decibel** (dB). The **sound intensity level**  $\beta$  in decibels is defined by

$$\beta = 10 \log\left(\frac{I}{I_0}\right) \quad (14.10)$$

where  $I$  is the intensity in  $\text{W/m}^2$  and  $I_0 = 10^{-12} \text{ W/m}^2$  is a reference level chosen as the approximate threshold of hearing at 1 kHz. Since the logarithm of 10 is 1, an increase of 10 dB corresponds to a factor-of-10 increase in the intensity  $I$ . Your ears, however, don't respond linearly, and for intensity levels above about 40 dB, you perceive a 10-dB increase as making the sound roughly twice as loud.

### EXAMPLE 14.4 Decibels: Turn Down the TV!

Your sister is watching TV, the sound blasting at 75 dB. You yell to her to turn down the volume, and she lowers the intensity level to 60 dB. By what factor has the power dropped?

**INTERPRET** This problem is about the relation between power and sound intensity level as measured in decibels.

**DEVELOP** Equation 14.10,  $\beta = 10 \log(I/I_0)$ , relates the decibel level to the intensity, or power per unit area. At a fixed distance, the sound intensity is proportional to the power from the TV speaker, so in this example we can replace  $I$  by  $P$  in Equation 14.10.

**EVALUATE** Call the original 75-dB level  $\beta_1$ ; then Equation 14.10 reads  $\beta_1 = 10 \log(P_1/P_0) = 10 \log P_1 - 10 \log P_0$ , where  $P_1$  is the corresponding power and  $P_0$  is the reference-level power. At the turned-down power  $P_2$ , the equation reads  $\beta_2 = 10 \log P_2 - 10 \log P_0$ . Subtracting our two equations gives

$$\beta_2 - \beta_1 = 10 \log P_2 - 10 \log P_1 = 10 \log\left(\frac{P_2}{P_1}\right)$$

Therefore,  $\log(P_2/P_1) = (\beta_2 - \beta_1)/10 = (60 - 75)/10 = -1.5$ . The answer we want is the ratio  $P_2/P_1$ , and because logarithms and exponentials are inverses, we have  $P_2/P_1 = 10^{-1.5} = 0.032$ .

**ASSESS** Although we worked this problem using Equation 14.10, you can often do decibels in your head. Here the intensity level has dropped by 15 dB, corresponding to 1.5 orders of magnitude in actual intensity. So the intensity—and therefore the TV's power—has dropped by a factor of  $10^{-1.5}$ , or  $1/(10\sqrt{10})$ . Since  $\sqrt{10}$  is about 3, that's about 1/30. Because you perceive each 10-dB change as a factor of about 2 in loudness, the reduced volume will sound somewhere between one-fourth and one-half as loud as before.

#### GOT IT?

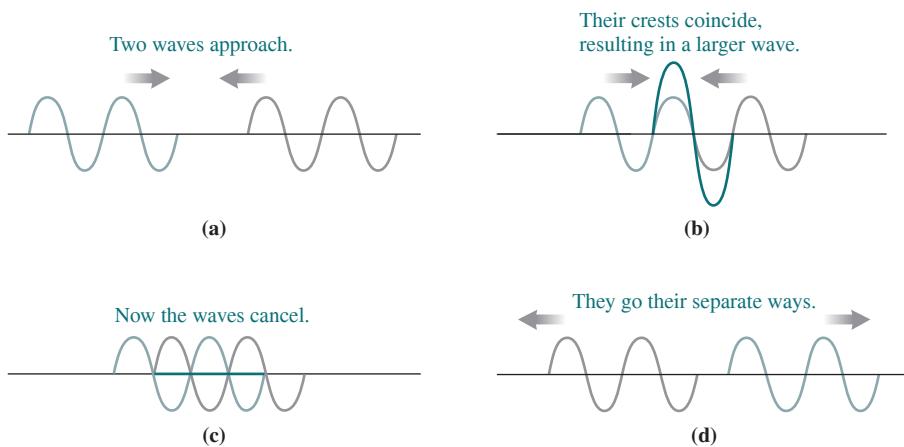
- 14.4** Your band needs a new guitar amplifier, and the available models range from 25 W to 250 W of audio power. Will the sound intensity level for the most powerful amplifier compared with the least powerful be (a) 10 times greater, (b) greater by 2.25 dB, or (c) greater by 10 dB?

## 14.6 Interference

### LO 14.6 Describe wave interference in one and two dimensions.

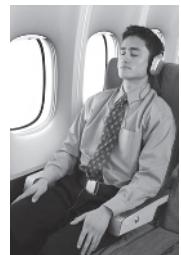
Figure 14.16 shows two wave trains approaching from opposite directions. Where they meet, experiment shows that the net displacement is the sum of the individual displacements. This is true for most waves, at least when the amplitude isn't too large. Waves whose displacements simply add are said to obey the **superposition principle**.

At the point shown in Fig. 14.16b, the wave crests coincide and so do the troughs. The resulting wave is, momentarily, twice as big. This is **constructive interference**—two waves superposing to produce a larger wave displacement. A little later, in Fig. 14.16c, the two waves cancel; this is **destructive interference**. Wave interference occurs throughout



**FIGURE 14.16** Wave superposition showing (b) constructive interference and (c) destructive interference.

physics, from mechanical waves to light and even with the quantum-mechanical waves that describe matter at the atomic scale. Here we take a quick look at wave interference; we'll consider the interference of light waves in more detail in Chapter 32.



## Fourier Analysis

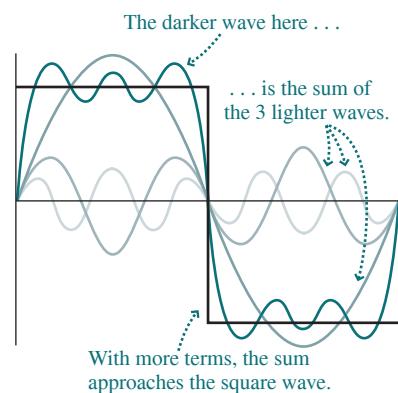
The superposition principle lets us build complex wave shapes by superposing simpler ones. The French mathematician Jean Baptiste Joseph Fourier (1768–1830) showed that any periodic wave can be written as a sum of simple harmonic waves, a process now known as **Fourier analysis**. Figure 14.17 shows a square wave—important, for example, as the “clock” signal that sets the speed of your computer—represented as a superposition of individual sine waves. Fourier analysis has applications ranging from music to structural engineering to communications because it helps us understand how a complex wave behaves if we know how its harmonic components behave. The mix of Fourier components in the waveform from a musical instrument determines the exact sound we hear and accounts for the different sounds from different instruments even when they're playing the same note (Fig. 14.18).

## Dispersion

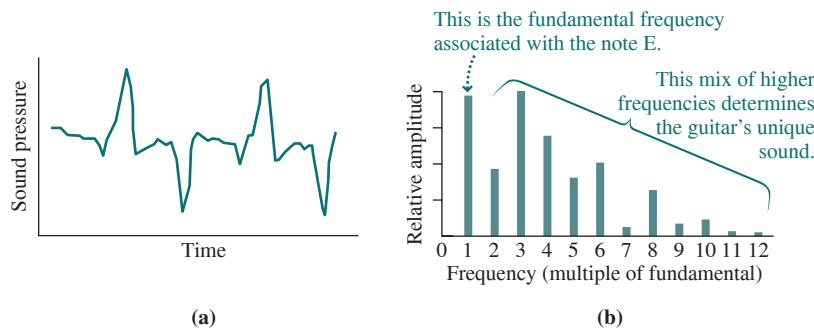
When wave speed is independent of wavelength, the simple harmonic components making up a complex waveform travel at the same speed. As a result, the waveform maintains its shape. But for some media, wave speed depends on wavelength. Then, individual harmonic waves travel at different speeds, and a complex waveform changes shape as it



Why does the airline passenger in the photo look so content? Because he's wearing noise-cancelling headphones. These devices exploit interference to actively cancel ambient noise, leaving the headphone signal loud and clear. Each headphone contains a tiny microphone sensing the ambient sound and an amplifier that also inverts the phase of the signal, so crests become troughs and vice versa. The phase-inverted signal is fed to the headphones along with the desired audio. Since the ambient noise delivered to the headphone is inverted—that is, out of phase—relative to the noise coming directly to the ear, the result is destructive interference that greatly reduces the listener's perception of the ambient noise. Peace and quiet!



**FIGURE 14.17** A square wave built up as a sum of simple harmonic waves. In this case the sum has the form  $y(t) = A\sin(\omega t) + \frac{1}{3}A\sin(3\omega t) + \frac{1}{5}A\sin(5\omega t) + \dots$ . Only the first three terms are shown.



**FIGURE 14.18** (a) An electric guitar plays the note E, producing a complex waveform. (b) Fourier analysis shows the relative strengths of the individual sine waves whose sum produces the waveform.

moves. This phenomenon is called **dispersion** and is illustrated in Fig. 14.19. Waves on the surface of deep water, for example, have speed given by

$$v = \sqrt{\frac{\lambda g}{2\pi}} \quad (14.11)$$

where  $\lambda$  is the wavelength and  $g$  the acceleration of gravity. Because  $v$  depends on  $\lambda$ , the waves are dispersive. Dispersion is also important in communications systems; for example, dispersion of the square wave pulses carrying digital data sets the maximum lengths for wires and optical fibers used in computer networks.

### CONCEPTUAL EXAMPLE 14.1

#### Storm Brewing!

It's a lovely, sunny day at the coast, but large waves, their crests far apart, are crashing on the beach. How do these waves tell of a storm at sea that may affect you later?

**EVALUATE** The phrase "crests far apart" is a clue: It says we're dealing with long-wavelength waves. Equation 14.11 shows that longer-wavelength waves on the ocean surface travel faster. Most ocean waves are generated by frictional forces between wind and water, so there must be strong winds somewhere out at sea. The longest wavelengths travel faster, so they reach shore well in advance of the storm.

**ASSESS** High-surf warnings often go up in advance of a storm, for the very reason elucidated in this example. Incidentally, wind isn't the only source of ocean waves; so are earthquakes. But the tsunamis they

produce are shallow-water waves that don't obey Equation 14.11. You can explore tsunamis further in the Passage Problems.

**MAKING THE CONNECTION** A storm develops 600 km offshore and starts moving toward you at 40 km/h. Large waves with crests 250 m apart are your first hint of the storm. How long after you observe these waves will the storm hit?

**EVALUATE** At 40 km/h, it's going to take 15 hours for the storm to reach shore. Equation 14.11 gives 71 km/h for the wave speed when  $\lambda = 250$  m. So the waves took 8.4 hours to reach shore. The storm is then 6.6 hours away.

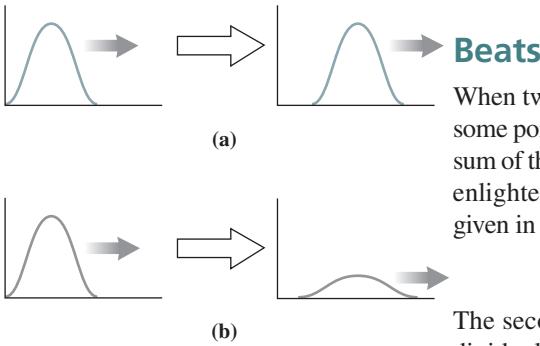


FIGURE 14.19 (a) A wave pulse in a non-dispersive medium holds its shape as it propagates. (b) In a dispersive medium, the pulse shape changes.

#### Beats

When two waves of slightly different frequencies superpose, they interfere constructively at some points and destructively at others (Fig. 14.20a). Quantitatively, the combined wave is the sum of the two individual waves:  $y(t) = A \cos \omega_1 t + A \cos \omega_2 t$ . We can express this in a more enlightening form using the identity  $\cos \alpha + \cos \beta = 2 \cos[\frac{1}{2}(\alpha - \beta)] \cos[\frac{1}{2}(\alpha + \beta)]$  given in Appendix A. Then we have

$$y(t) = 2A \cos[\frac{1}{2}(\omega_1 - \omega_2)t] \cos[\frac{1}{2}(\omega_1 + \omega_2)t]$$

The second cosine factor represents a sinusoidal oscillation at the average of the two individual frequencies. The first term oscillates at a lower frequency—half the difference of the individual frequencies. If we think of the entire term  $2A \cos[\frac{1}{2}(\omega_1 - \omega_2)t]$  as the “amplitude” of the higher-frequency oscillation, then this amplitude itself varies with time, as Fig. 14.20b shows. Note that there are *two* amplitude peaks for each cycle of the slow oscillation, so the frequency with which the amplitude varies is simply  $\omega_1 - \omega_2$ .

For sound waves, interference of two nearly equal frequencies produces intensity variations called **beats**; the closer the two frequencies, the longer the period between beats. Pilots, for example, synchronize airplane engines by reducing the beat frequency toward zero; musicians use the same trick to tune instruments. Beating of electromagnetic waves forms the basis for some very sensitive measurements.

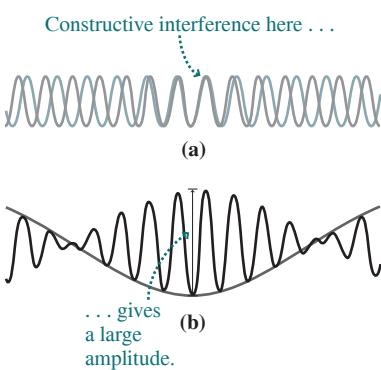


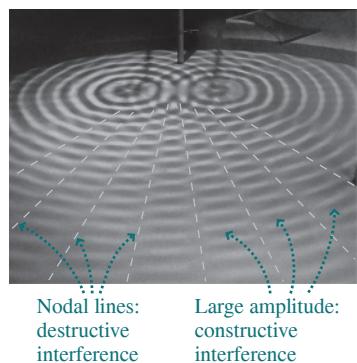
FIGURE 14.20 The origin of beats.

#### Interference in Two Dimensions

Waves propagating in two and three dimensions exhibit a rich variety of interference phenomena. Figure 14.21 shows one of the simplest and most important examples—the interference of waves from two point sources oscillating at the same frequency. Points on a perpendicular line midway between the sources are equidistant from both sources, and therefore waves arrive at this line in phase. Thus, they interfere constructively, producing a large amplitude. Some distance from the center line, the waves arrive exactly half a period

out of phase. They therefore interfere destructively, producing a **nodal line** where the wave amplitude is very small. Since waves travel half a wavelength in half a period, the nodal line occurs where the distances to the two sources differ by half a wavelength. Additional nodal lines occur where those distances differ by  $1\frac{1}{2}$  wavelengths,  $2\frac{1}{2}$  wavelengths, and so forth. In practice, two-source interference is observable only when the source separation is comparable to the wavelength. If it's much larger, then the regions of constructive and destructive interference are so close that they blur together.

Two-source interference also results when plane waves pass through two closely spaced apertures that act as sources of circular or spherical wavefronts. Such two-slit interference experiments are important in optics and modern physics and are of historical interest because they were first used to demonstrate the wave nature of light.



**FIGURE 14.21** Water waves from two sources interfere to produce regions of low and high amplitude.

### EXAMPLE 14.5 Wave Interference in Two Dimensions: Calm Water

Ocean waves pass through two small openings, 20 m apart, in a breakwater. You're in a boat 75 m from the breakwater and initially midway between the openings, but the water is pretty rough. You row 33 m parallel to the breakwater and, for the first time, find yourself in relatively calm water. What's the wavelength of the waves?

**INTERPRET** This is a problem about wave interference. The water is rough at your initial location because constructive interference produces large-amplitude waves. You find calm water at the first nodal line, where destructive interference reduces the wave amplitude.

**DEVELOP** We sketched the situation in Fig. 14.22. We've seen that the first nodal line occurs when the path lengths from two sources differ by half a wavelength. So our plan is to calculate the wavelength by applying this fact to the distances  $AP$  and  $BP$ .

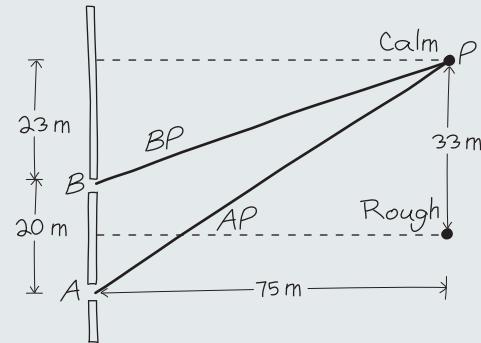
**EVALUATE** Applying the Pythagorean theorem gives

$$AP = \sqrt{(75 \text{ m})^2 + (43 \text{ m})^2} = 86.5 \text{ m}$$

$$BP = \sqrt{(75 \text{ m})^2 + (23 \text{ m})^2} = 78.4 \text{ m}$$

The wavelength is twice the difference between these lengths, so

$$\lambda = 2(AP - BP) = 2(86.5 \text{ m} - 78.4 \text{ m}) = 16 \text{ m}$$



**FIGURE 14.22** Calm water at  $P$  implies that paths  $AP$  and  $BP$  differ by half a wavelength.

**ASSESS** We expect two-source interference to be obvious when the source spacing is comparable to the wavelength. Here the 20-m spacing is indeed comparable to the 16-m wavelength, so our answer makes sense.

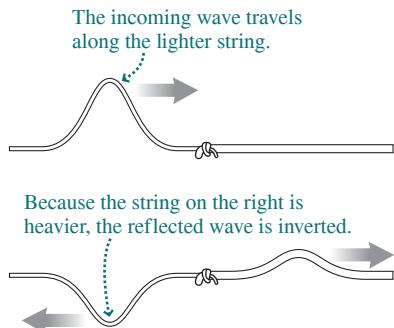
### GOT IT?

**14.5** Light shines through two small holes into a dark room, and a screen is mounted opposite the holes. The hole spacing is comparable to the wavelength of the light. Looking at the screen, will you see (a) two bright spots opposite the two holes or (b) a pattern of light and dark patches? Explain.

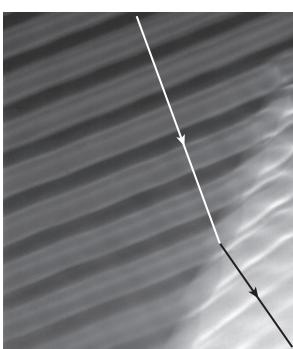
## 14.7 Reflection and Refraction

### LO 14.7 Describe wave reflection and standing waves.

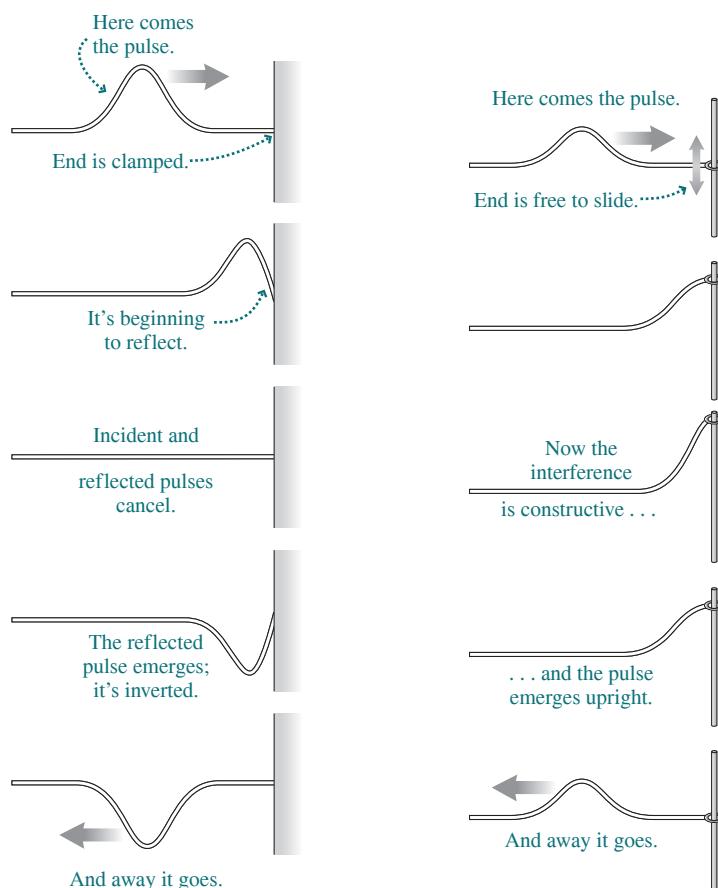
You shout in a mountain valley and hear echoes. You look in a mirror and see your reflection. A metal screen reflects microwaves to keep them in your oven. A physician's ultrasound probes your body, reflecting off internal structures. A bat uses reflected sound to home in on its prey. All these are examples of wave **reflection**.



**FIGURE 14.25** Partial reflection occurs at the junction between two strings.



**FIGURE 14.26** Waves in shallow water refract at the interface between two different water depths.



**FIGURE 14.23** Reflection of a wave pulse at the rigidly clamped end of string.

**FIGURE 14.24** Reflection of a wave pulse at a free end.

You can see that wave reflection *must* occur when a wave hits a medium in which it can't propagate; otherwise, where would the wave energy go? The figures above detail the reflection process for waves on a stretched string, in the two cases where the string end is clamped at a rigid wall (Fig. 14.23) or, in contrast, free to move up and down (Fig. 14.24). In the first case, the wave amplitude must remain zero at the end, so the incident and reflected pulses interfere destructively and the reflected wave is therefore inverted. In the second case, the displacement is a maximum at the free end, and the reflected wave is not inverted.

Between the extremes of a rigid wall and a perfectly free end lies the case of one string connected to another with different mass per unit length. In this case, some wave energy is transmitted to the second string and some is reflected back along the first (Fig. 14.25).

The phenomenon of partial reflection and transmission at a junction of strings has its analog in the behavior of all sorts of waves at interfaces between different media. For example, shallow-water waves are partially reflected if the water depth changes abruptly. Light incident on even the clearest glass undergoes partial reflection because of the difference in the light-transmitting properties of air and glass (much more on this in Chapter 30). Partial reflection of ultrasound waves at the interfaces of body tissues with different densities makes ultrasound a valuable medical diagnostic.

When waves strike an interface between two media at an oblique angle and are capable of propagating in the second medium, the phenomenon of **refraction** occurs. In refraction, the direction of wave propagation changes because of a difference in wave speed between the two media (Fig. 14.26). We'll discuss the mathematics of refraction in Chapter 30.

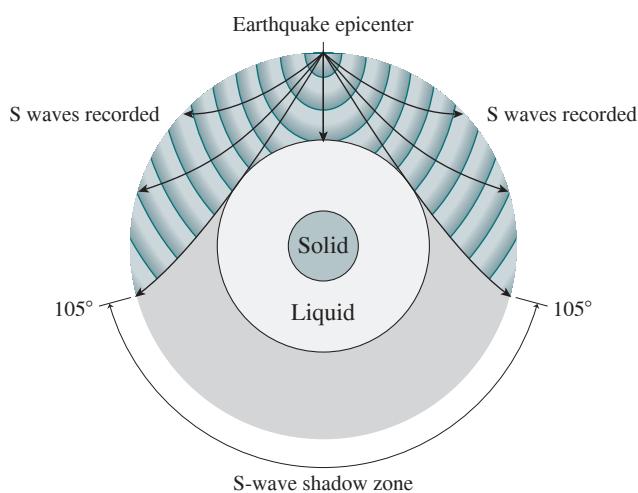
## APPLICATION

## Probing the Earth

Waves propagating and reflecting inside the Earth help geologists deduce the planet's interior structure. That's because Earth's interior supports two types of waves. Longitudinal waves, also called P waves, propagate in both solids and liquids. Transverse, or S waves, propagate only in solids. Earthquakes generate S waves that propagate throughout the solid Earth. But as the figure suggests, they can't get through the liquid outer core, so they leave a "shadow" where seismographs don't record any S-wave activity. This effect is our clearest evidence that Earth has a liquid core.

P waves, however, do propagate through the liquid core. But they undergo partial reflections farther in—evidence for an abrupt change in core density. Careful analysis shows that wave speeds in the inner core are consistent with its being solid—giving our planet the solid–liquid–solid structure suggested in the figure.

Studies of Earth's large-scale structure generally use earthquake waves, although inner-core evidence also comes from underground nuclear explosions. At a smaller scale, explosive charges or machines that "thump" the ground produce waves whose reflections from rock layers down to a few kilometers depth help reveal oil and gas deposits.



## GOT IT?

- 14.6** You're holding one end of a taut rope, and you can't see the other end. You tweak the rope to give it an upward displacement, sending a pulse down the rope. A while later, a pulse comes back toward you. Its displacement is upward, but with considerably lower amplitude than the initial displacement you provided. Assuming there's no energy loss in the rope itself, you can conclude that the far end of the rope is (a) attached to a rigid anchor point, (b) attached in such a way that it's free to slide up and down, (c) tied to another rope with less mass per unit length, or (d) tied to another rope with more mass per unit length.

## 14.8 Standing Waves

### LO 14.7 Describe wave reflection and standing waves.

Imagine a string clamped tightly at both ends. Waves propagate back and forth by reflecting at the ends. But because the ends are clamped, the wave displacement at each end must always be zero. Only certain waves can satisfy this requirement; as Fig. 14.27 suggests, they're waves for which an integer number of half-wavelengths just fits the string's length  $L$ .

The waves in Fig. 14.27 are **standing waves**, so called because they essentially stand still, confined to the length of the string. At each point the string executes simple harmonic motion perpendicular to its undisturbed state. We can describe standing waves mathematically as arising from the superposition of two waves propagating in opposite directions and reflecting at the ends of the string. If we take the  $x$ -axis to coincide with the string, then we can write the string displacements in two such waves as  $y_1(x, t) = A \cos(kx - \omega t)$  for the wave propagating in the  $+x$ -direction (recall Equation 14.3) and  $y_2(x, t) = -A \cos(kx + \omega t)$  for the wave propagating in the  $-x$ -direction. (The minus sign in  $y_2$  accounts for the phase change that occurs on reflection at a rigid boundary, as you saw in Fig. 14.23.) Their superposition is then

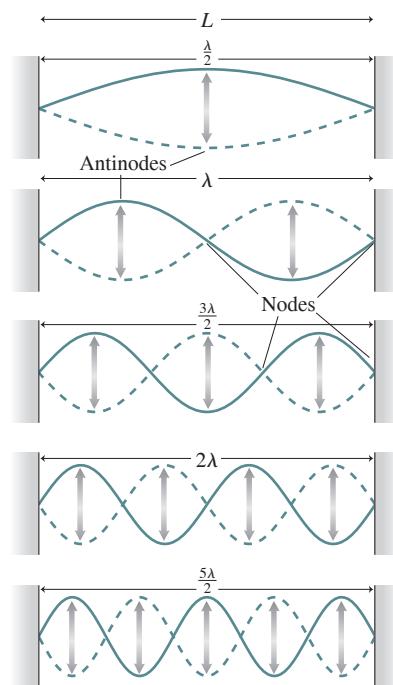
$$y(x, t) = y_1 + y_2 = A [\cos(kx - \omega t) - \cos(kx + \omega t)]$$

Appendix A lists a trig identity for the difference of two cosines:

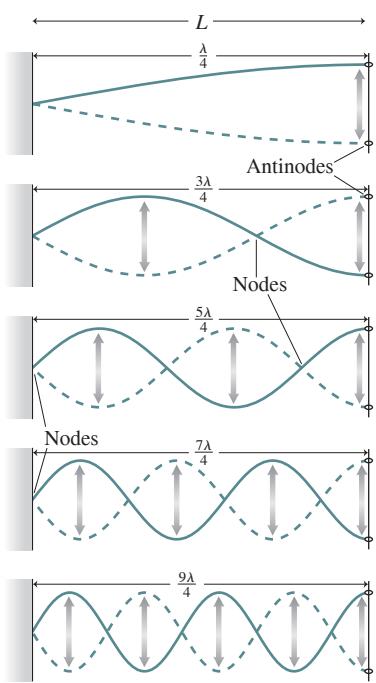
$$\cos \alpha - \cos \beta = -2 \sin\left[\frac{1}{2}(\alpha + \beta)\right] \sin\left[\frac{1}{2}(\alpha - \beta)\right]$$

Applying this identity with  $\alpha = kx - \omega t$  and  $\beta = kx + \omega t$  gives

$$y(x, t) = 2A \sin kx \sin \omega t \quad (14.12)$$



**FIGURE 14.27** Standing waves on a string clamped at both ends; shown are the fundamental and four overtones.



**FIGURE 14.28** When one end of the string is fixed and the other free, the string can accommodate only an odd number of quarter-wavelengths.

Equation 14.12 is the mathematical description of a standing wave, and it affirms our qualitative description that each point on the string simply oscillates up and down. Pick any point—that is, any fixed value of  $x$ —and Equation 14.12 does indeed describe simple harmonic motion in the  $y$ -direction, through the factor  $\sin \omega t$ . The amplitude of that motion depends on the point  $x$  you've chosen and is given by the factor that multiplies  $\sin \omega t$ —namely,  $2A \sin kx$ .

Because the string is clamped at both ends, the amplitude at the ends must be zero. Our amplitude factor  $2A \sin kx$  does give  $y = 0$  in Equation 14.12 at  $x = 0$ , but what about at  $x = L$ ? Here we'll get zero only if  $\sin kL = 0$ —and that requires  $kL$  to be a multiple of  $\pi$ . So we must have  $kL = m\pi$ , where  $m$  is any integer. But the wave number  $k$  is related to the wavelength  $\lambda$  by  $k = 2\pi/\lambda$ . Our condition  $kL = m\pi$  can then be written

$$L = \frac{m\lambda}{2}, \quad m = 1, 2, 3, \dots \quad (14.13)$$

This is just the condition we already guessed from Fig. 14.27—namely, that the string length  $L$  be an integer number of half-wavelengths.

Given a particular string length  $L$ , Equation 14.13 limits the allowed standing waves on the string to a discrete set of wavelengths. Those allowed waves are called **modes** or **harmonics**, and the integer  $m$  is the **mode number**. The  $m = 1$  mode is the **fundamental** and is the longest-wavelength standing wave that can exist on the string. The higher modes are **overtones**.

Figure 14.27 shows that there are points where the string doesn't move at all. These are called **nodes**. Points where the amplitude of the wave displacement is a maximum, in contrast, are **antinodes**.

When a string is clamped rigidly at one end but is free at the other, its clamped end is a node but its free end is an antinode. Figure 14.28 shows that the string length must then be an odd multiple of a quarter-wavelength—a result that you can also get from Equation 14.12 by requiring  $\sin kL = 1$  to give maximum amplitude at  $x = L$ .

## Standing-Wave Resonance

We've discussed standing waves in terms of constraints on the wavelength  $\lambda$  rather than on the frequency  $f$ . But because waves on a string have a fixed speed  $v$ , and because  $f\lambda = v$ , Equation 14.13's discrete set of allowed wavelengths corresponds to a set of discrete frequencies. The lowest allowed frequency, the fundamental, corresponds to the longest wavelength; the overtones have higher frequencies.

Because a stretched string can oscillate in any of its allowed frequencies, the resonant behavior that we discussed in Chapter 13 can occur close to any of those frequencies. Buildings and other structures, in analogy with our simple string, support a variety of standing-wave modes. For example, a skyscraper is like the string of Fig. 14.28, with its base clamped to Earth but its top free to swing. Engineers must be sure to identify all possible modes of structures they design in order to avoid harmful resonances. The disastrous oscillations of the Tacoma Narrows Bridge shown in Fig. 13.26 are actually torsional standing waves.

## Other Standing Waves

Standing waves are common phenomena. Water waves in confined spaces exhibit standing waves, and entire lakes can develop very slow oscillations corresponding to low-mode-number standing waves. Standing electromagnetic waves occur inside closed metal cavities; in microwave ovens the nodes of the standing-wave pattern would result in “cold” spots were not either the food or the source of microwaves kept in motion. Standing sound waves in the Sun help astrophysicists probe the solar interior. And even atomic structure can be understood in terms of standing waves associated with electrons.

## Musical Instruments

Our analysis of standing waves on strings applies directly to stringed musical instruments such as violins, guitars, and pianos. Standing-wave vibrations in the instrument strings are communicated to the air as sound waves, usually through the intermediary of a sounding box or electronic amplifiers. For instruments in the violin family, the body of the instrument itself undergoes standing-wave vibrations, excited by the vibration of the string, that establish each individual instrument's peculiar sound quality (Fig. 14.29). Similarly, the



**FIGURE 14.29** Standing waves on a violin, imaged using holographic interference of laser light waves.

stretched membranes of drums exhibit a variety of standing-wave patterns representing the allowed modes on these two-dimensional surfaces.

Wind instruments generate standing sound waves in air columns, as suggested in Fig. 14.30. These must be open at one end to allow sound to escape; in many instruments the column is effectively open at both ends. An open end has its pressure fixed at atmospheric pressure; it is therefore a pressure node and thus, from Fig. 14.14, a displacement antinode. As a result, an instrument open at one end supports odd-integer multiples of a quarter-wavelength (Fig. 14.30a), in analogy with Fig. 14.28. An instrument open at both ends, on the other hand, supports integer multiples of a half-wavelength (Fig. 14.30b).

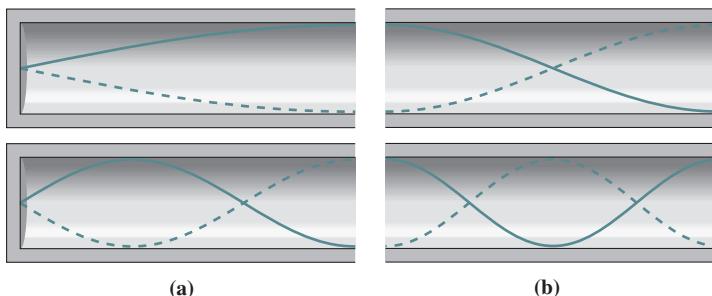


FIGURE 14.30 Standing waves in wind instruments: (a) open at one end and (b) open at both ends.

### EXAMPLE 14.6 Standing-Wave Modes: The Double Bassoon

The double bassoon is the lowest-pitched instrument in a normal orchestra. The instrument is “folded” to achieve an effective air column 5.5 m long, and it acts like a pipe open at both ends. What’s the frequency of the double bassoon’s fundamental note? Assume the sound speed is 343 m/s.

**INTERPRET** This is a problem about standing-wave modes in a hollow pipe open at both ends.

**DEVELOP** Figure 14.30b applies to a pipe that’s open at both ends. So our sketch of the fundamental mode in Fig. 14.31 looks like the upper of the two pictures in Fig. 14.30b. We can find the wavelength and then use Equation 14.1,  $v = \lambda f$ , to get the frequency.

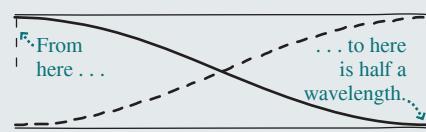


FIGURE 14.31 Sketch for Example 14.6.

**EVALUATE** The wavelength is twice the instrument’s 5.5-m length, or 11 m. Then Equation 14.1 gives

$$f = \frac{v}{\lambda} = \frac{343 \text{ m/s}}{11 \text{ m}} = 31 \text{ Hz}$$

**ASSESS** This frequency is the note  $B_0$ , which lies near the low-frequency limit of the human ear. Like most wind instruments, the bassoon has a number of holes that, when uncovered, alter the positions of the antinodes and therefore change the pitch.

### GOT IT?

- 14.7** A string 1 m long is clamped tightly at one end and is free to slide up and down at the other. Which of the following are possible wavelengths for standing waves on this string:  $\frac{4}{5} \text{ m}$ , 1 m,  $\frac{4}{3} \text{ m}$ ,  $\frac{3}{2} \text{ m}$ , 2 m, 3 m, 4 m, 5 m, 6 m, 7 m, 8 m?

## 14.9 The Doppler Effect and Shock Waves

### LO 14.8 Describe the Doppler effect and shock waves.

The speed  $v$  of a wave is its speed relative to the medium through which it propagates. A point source at rest in the medium radiates waves uniformly in all directions (Fig. 14.32). But when the source moves, wave crests bunch up in the direction toward which the source is moving, resulting in a decreased wavelength (Fig. 14.33). In the opposite direction, wave crests spread out and the wavelength increases.

The wave speed is determined by the properties of the medium, so it doesn’t change with source motion. Thus the equation  $v = \lambda f$  still holds. This means that an observer

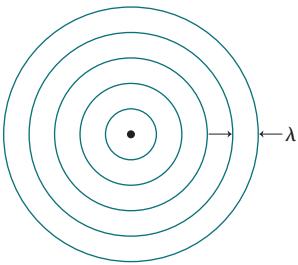


FIGURE 14.32 Circular waves from a source at rest with respect to the medium.

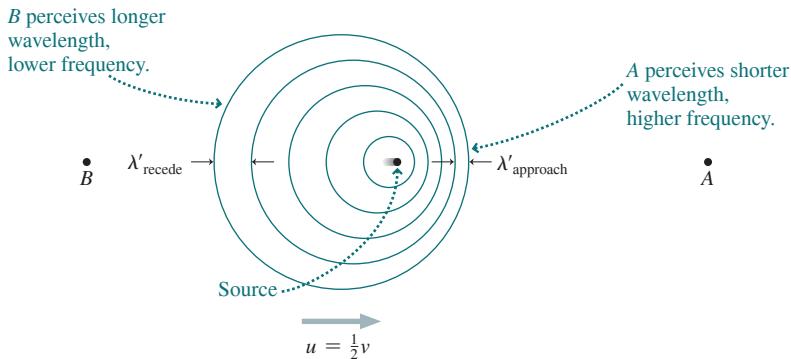


FIGURE 14.33 Origin of the Doppler effect, shown for a source moving with half the wave speed.

in front of the moving source, where  $\lambda$  is smaller, experiences a higher wave frequency as more wave crests pass per unit time. Similarly, an observer behind the source experiences a lower frequency. This change in wavelength and frequency from a moving source is the **Doppler effect** or **Doppler shift**, after the Austrian physicist Christian Johann Doppler (1803–1853).

To analyze the Doppler effect, let  $\lambda$  be the wavelength measured when the source is stationary, and  $\lambda'$  the wavelength when the source is moving at speed  $u$  through a medium where the wave speed is  $v$ . At the source, the time between wave crests is the wave period  $T$ , and a wave crest moves one wavelength  $\lambda$  in this time. But during the same time  $T$ , the moving source covers a distance  $uT$ , after which it emits the next wave crest. So the distance between wave crests, as seen by an observer in front of the moving source, is  $\lambda' = \lambda - uT$ . Writing  $T = \lambda/v$ , we get

$$\lambda' = \lambda - u \frac{\lambda}{v} = \lambda \left(1 - \frac{u}{v}\right) \quad (\text{source approaching}) \quad (14.14a)$$

The situation is similar in the direction opposite the source motion, except that now the wavelength *increases* by the amount  $\lambda u/v$ , giving

$$\lambda' = \lambda \left(1 + \frac{u}{v}\right) \quad (\text{source receding}) \quad (14.14b)$$

We can recast these expressions in terms of frequency using the relations  $\lambda = v/f$  and  $\lambda' = v/f'$ , where  $f'$  is the frequency of waves from the moving source as measured by an observer at rest in the medium. Substituting these relations in our expressions for  $\lambda'$  and then solving for  $f'$  gives

$$f' = \frac{f}{1 \pm u/v} \quad (\text{Doppler shift, moving source}) \quad (14.15)$$

*f'* is the frequency you perceive when a wave source moves toward you.  
*f* is the frequency emitted by the source.  
*u* is the source speed relative to you...  
 Use – if the source moves toward you and + if it moves away.  
 ...and *v* is the wave speed relative to the medium.

for the Doppler-shifted frequency, where the + and – signs correspond to receding and approaching sources, respectively.

You've probably experienced the Doppler effect for sound when standing near a highway. A loud truck approaches with a high-pitched sound "aaaaaaaaaa." As it passes, the pitch drops abruptly: "aaaaaaaaaeioooooooooo," and stays low as the truck recedes. Practical uses of the Doppler effect are numerous. The Doppler shift in reflected ultrasound measures blood flow and fetal heartbeat. Police radar uses the Doppler shift of high-frequency radio waves reflected from moving cars. The Doppler shift of starlight reveals stellar motions, and Doppler-shifted light from distant galaxies is evidence that our entire universe is expanding.

**EXAMPLE 14.7****Doppler Effect: The Wrong Note  
Worked Example with Variation Problems**

A car speeds down the highway with its stereo blasting. An observer with perfect pitch is standing by the roadside and, as the car approaches, notices that a musical note that should be G ( $f = 392$  Hz) sounds like A (440 Hz). How fast is the car moving?

**INTERPRET** This problem is about the Doppler effect in sound from a moving source.

**DEVELOP** Equation 14.15,  $f' = f/(1 \pm u/v)$ , relates the original and shifted frequencies to the source speed  $u$ , so our plan is to solve this equation for  $u$ . We'll use the minus sign because the source is approaching. We'll also need the sound speed  $v$ , which Example 14.6 gave as 343 m/s.

**EVALUATE** Solving Equation 14.15 for  $u$  gives

$$u = v \left( 1 - \frac{f}{f'} \right) = (343 \text{ m/s}) \left( 1 - \frac{392 \text{ Hz}}{440 \text{ Hz}} \right) = 37.4 \text{ m/s}$$

**ASSESS** Our answer—some 134 km/h or 84 mi/h—seems reasonable for a speeding car, though not a particularly safe speed! And it's a little more than 10% of the sound speed, consistent with the roughly 10% change in the sound frequency.

## Moving Observers

A Doppler shift in frequency, but not wavelength, also occurs when a moving observer approaches a stationary source—meaning a source at rest with respect to the wave medium. An observer moving toward a stationary source passes wave crests more often than would happen if the observer were at rest, and thus measures a shorter wave period and therefore a higher frequency. The result, as you can show in Problem 78, is a shifted frequency given by

$$f' = f \left( 1 \pm \frac{u}{v} \right) \quad (\text{Doppler shift, moving observer}) \quad (14.16)$$

f' is the frequency you perceive when you move toward or away from a wave source.  
 f is the frequency emitted by the source.  
 u is your speed relative to the source...  
 Use + if you move toward the source and - if you move away.  
 ... and v is the wave speed relative to the medium.

with the positive sign for an observer approaching the source and the negative sign for an observer receding. For observer velocities  $u$  small compared with the wave speed  $v$ , Equations 14.15 and 14.16 give essentially the same results.

Waves from a stationary source that reflect from a moving object undergo a Doppler shift *twice*. First, because the frequency as received at the reflecting object is shifted, according to Equation 14.16, due to the object's motion relative to the source. Then a stationary observer sees the reflected waves as coming from a moving source, so there's another shift, this time given by Equation 14.15. Police radar and other Doppler-based speed measurements make use of this double Doppler shift that occurs on reflection.



**FIGURE 14.35** (a) A shock wave trails from a supersonic aircraft. The plane is flying low over the ocean, and the humid air condenses at the shock, making it visible. (b) The wake trailing from this boat is also a shock wave that arises because the boat is moving faster than the speed of water waves.

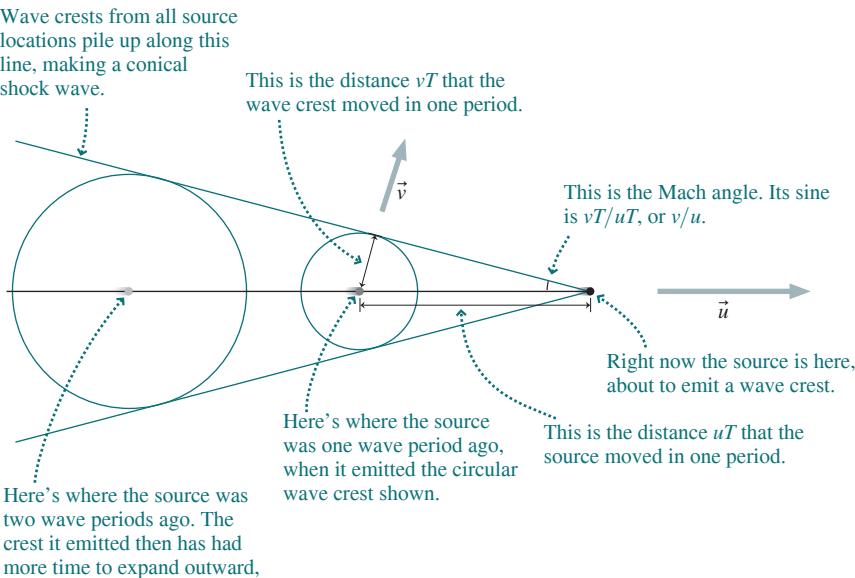
## The Doppler Effect for Light

Although light and other electromagnetic waves do not require a material medium, they, too, are subject to the Doppler shift. Both Doppler formulas we derived here apply to electromagnetic waves, but only as approximations when the relative speed between source and observer is much lower than the speed of light.

The Doppler shift for electromagnetic waves is the same whether it's the source that moves or the observer. This reflects a profound fact at the root of Einstein's relativity: that "stationary" and "moving" are meaningful only as relative terms. Electromagnetic waves, unlike mechanical waves, do not require a medium—and therefore terms such as "stationary source" and "moving observer" are meaningless. All that matters is the relative motion between source and observer. We'll explore this point further in Chapter 33.

## Shock Waves

Equation 14.14a suggests that wavelength goes to zero if a source approaches at exactly the wave speed. This happens because wave crests can't get away from the source, so they pile up just ahead of it to form a large-amplitude wave called a **shock wave** (Fig. 14.34). When the source moves faster than the wave speed, waves pile up on a cone whose half-angle is given by  $\sin \theta = v/u$ , as shown. The ratio  $u/v$  of source speed to wave speed is called the **Mach number**, and the cone angle is the **Mach angle**.



**FIGURE 14.34** Shock waves form when the source speed  $u$  exceeds the wave speed  $v$ .

Shock waves occur in a wide variety of physical situations (Fig. 14.35). Sonic booms are shock waves from supersonic aircraft. The bow wave of a boat is a shock wave on the water surface. On a much larger scale, a huge shock wave forms in space as the solar wind—a high-speed flow of particles from the Sun—encounters Earth's magnetic field.

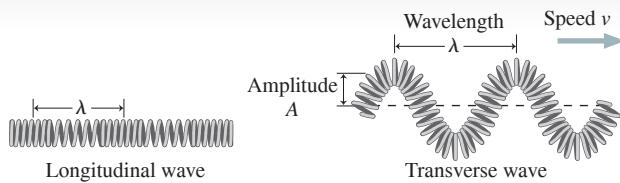
### GOT IT?

- 14.8** In Fig. 14.35, which is moving faster in relation to the wave speed in the medium through which they're traveling, the airplane or the boat?

# Chapter 14 Summary

## Big Idea

**Waves** are the big idea here. A wave is a propagating disturbance that carries energy but not matter. Waves are characterized by their amplitude, wavelength, and speed. They can be **longitudinal** or **transverse**.



## Key Concepts and Equations

Wave **period** is the time for one complete wave cycle. Period and frequency are inverses, and wavelength  $\lambda$ , period  $T$  or frequency  $f$ , and wave speed  $v$  are all related:

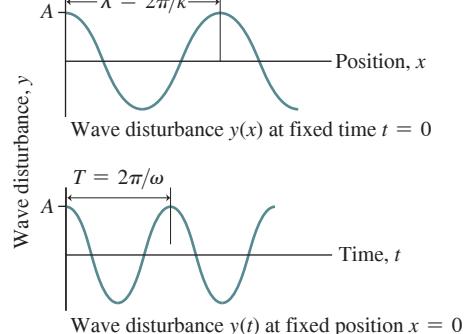
$$v = \frac{\lambda}{T} = \lambda f$$

A **simple harmonic wave** is sinusoidal in shape. The wave disturbance is a function of position and time and is most simply described in terms of its **wave number**  $k$  and **angular frequency**  $\omega$ :

$$y(x, t) = A \cos(kx - \omega t)$$

They're related to wavelength and period by

$$k = \frac{2\pi}{\lambda} \quad \text{and} \quad \omega = \frac{2\pi}{T}$$



Wave **intensity** is the power per unit area carried by the wave:  $I = P/A$ . For a spherical wave that spreads in all directions from a localized source, intensity decreases as the inverse square of the distance from the source:  $I = P/(4\pi r^2)$ .

## Applications

**Wave speed** is a characteristic of the medium.

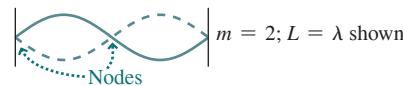
Transverse waves on strings:  $v = \sqrt{\frac{F}{\mu}}$

Longitudinal sound waves in a gas:  $v = \sqrt{\frac{\gamma P}{\rho}}$ , about 343 m/s in air under standard conditions

Surface waves in deep water:  $v = \sqrt{\frac{\lambda g}{2\pi}}$

### Standing waves on strings

Clamped at both ends, string length is an integer multiple of a half-wavelength:  $L = m\lambda/2$



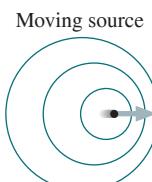
Clamped at one end, string length is an odd-integer multiple of a quarter-wavelength:



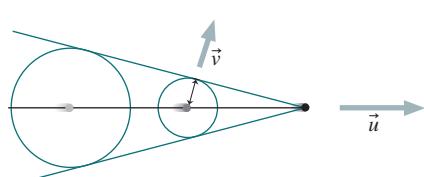
The **Doppler effect** is a frequency and/or wavelength shift due to the motion  $u$  of an observer or source relative to the medium with wave speed  $v$ .

Moving source:  $f' = \frac{f}{(1 \pm u/v)}$ , + for receding, - for approaching;  $\lambda$  also changes

Moving observer:  $f' = f(1 \pm u/v)$ , + for approaching, - for receding; no change in  $\lambda$



**Shock waves** occur when a wave source (speed  $u$ ) moves through a medium at greater than the wave speed ( $v$ ).



**Mastering Physics**

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 14.1 Describe waves qualitatively and distinguish longitudinal from transverse waves.  
*For Thought and Discussion Question 14.1*
- LO 14.2 Describe wave motion quantitatively using functions of space and time.  
*For Thought and Discussion Questions 14.2, 14.3; Exercises 14.11, 14.12, 14.13, 14.14, 14.15, 14.16, 14.17, 14.18, 14.19, 14.20; Problems 14.55, 14.56, 14.71, 14.72*
- LO 14.3 Explain how Newtonian physics describes waves on strings.  
*For Thought and Discussion Questions 14.4, 14.5; Exercises 14.21, 14.22, 14.23, 14.24; Problems 14.50, 14.57, 14.58, 14.61, 14.62, 14.68*
- LO 14.4 Quantitatively characterize the energy carried by waves.  
*For Thought and Discussion Question 14.6; Exercises 14.25, 14.26; Problems 14.51, 14.52, 14.53, 14.54, 14.56, 14.59, 14.60, 14.75*

- LO 14.5 Describe sound waves and quantify sound intensity in decibels.  
*For Thought and Discussion Questions 14.7, 14.8; Exercise 14.27, 14.28, 14.29, 14.30, 14.31; Problems 14.63, 14.64, 14.65, 14.66, 14.67, 14.82*
- LO 14.6 Describe wave interference in one and two dimensions.  
*Exercises 14.32, 14.33; Problems 14.80, 14.79*
- LO 14.7 Describe wave reflection and standing waves.  
*For Thought and Discussion Question 14.9; Exercises 14.34, 14.35, 14.36, 14.37; Problems 14.68, 14.69, 14.70, 14.74*
- LO 14.8 Describe the Doppler effect and shock waves.  
*For Thought and Discussion Question 14.10; Exercise 14.38, 14.39, 14.40, 14.41; Problems 14.73, 14.76, 14.77, 14.78, 14.79*

## For Thought and Discussion

1. What distinguishes a wave from an oscillation?
2. Red light has a longer wavelength than blue light. Compare their frequencies.
3. Consider a light wave and a sound wave with the same wavelength. Which has the higher frequency?
4. If you doubled the tension in a string, what would happen to the speed of waves on the string?
5. A heavy cable is hanging vertically, its bottom end free. How will the speed of transverse waves near the top and bottom of the cable compare? Why?
6. The intensity of light from a localized source decreases as the inverse square of the distance from the source. Does this mean that the light loses energy as it propagates?
7. Medical ultrasound uses frequencies around  $10^7$  Hz, far above the **BIO** range of the human ear. In what sense are these waves “sound”?
8. If you double the pressure of a gas while keeping its density the same, what happens to the sound speed?
9. If you place a perfectly clear piece of glass in perfectly clear water, you can still see the glass. Why?
10. Why can a boat easily produce a shock wave on the water surface, while only a very high-speed aircraft can produce a sonic boom?

## Exercises and Problems

### Exercises

#### Section 14.1 Waves and Their Properties

11. Ocean waves with 18-m wavelength travel at 5.3 m/s. What’s the time interval between wave crests passing a boat moored at a fixed location?
12. Ripples in a shallow puddle propagate at 34 cm/s. If the wave frequency is 5.2 Hz, find (a) the period and (b) the wavelength.
13. An 89.5-MHz FM radio wave propagates at the speed of light. What’s its wavelength?

14. A seismograph located 1250 km from an earthquake detects seismic waves 5.12 min after the quake occurs. The seismograph oscillates in step with the waves, at 3.21 Hz. Find the wavelength.
15. Medical ultrasound waves travel at about 1500 m/s in soft tissue. **BIO** Higher frequencies provide clearer images but don’t penetrate to deeper organs. Find the wavelengths of (a) 8.0-MHz ultrasound used in fetal imaging and (b) 3.5-MHz ultrasound used to image an adult’s kidneys.

#### Section 14.2 Wave Math

16. An ocean wave has period 4.1 s and wavelength 10.8 m. Find its (a) wave number and (b) angular frequency.
17. Find the (a) amplitude, (b) wavelength, (c) period, and (d) speed of a wave whose displacement is given by  $y = 1.3 \cos(0.69x + 31t)$ , where  $x$  and  $y$  are in centimeters and  $t$  in seconds. (e) In which direction is the wave propagating?
18. Ultrasound used in a medical imager has frequency 4.86 MHz **BIO** and wavelength 0.313 mm. Find (a) the angular frequency, (b) the wave number, and (c) the wave speed.
19. Figure 14.36 shows a simple harmonic wave at time  $t = 0$  and later at  $t = 2.6$  s. Write a mathematical description of this wave.

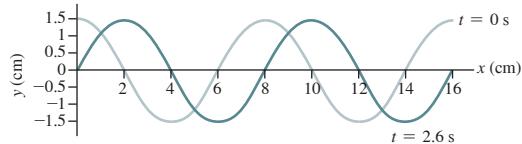


FIGURE 14.36 Exercise 23

20. Analysis of waves in shallow water (depth much less than wavelength) yields the following wave equation:

$$\frac{\partial^2 y}{\partial x^2} = \frac{1}{gh} \frac{\partial^2 y}{\partial t^2}$$

where  $h$  is the water depth and  $g$  the gravitational acceleration. Give an expression for the wave speed.

### Section 14.3 Waves on a String

21. The main cables supporting New York's George Washington Bridge have a mass per unit length of 4100 kg/m and are under 250-MN tension. At what speed would a transverse wave propagate on these cables?
22. A transverse wave 1.2 cm in amplitude propagates on a string; its frequency is 44 Hz. The string is under 21-N tension and has mass per unit length 15 g/m. Determine its speed.
23. Transverse waves propagate at 18 m/s on a string under 14-N tension. What will be the wave speed if the tension is increased to 40 N?
24. A rope is stretched between supports 18.3 m apart; its tension is 78.6 N. If one end of the rope is tweaked, the resulting disturbance reaches the other end 585 ms later. Find the rope's mass.

### Section 14.4 Wave Energy

25. A rope with 280 g of mass per meter is under 550-N tension. Find the average power carried by a wave with frequency 3.3 Hz and amplitude 6.1 cm propagating on the rope.
26. Cell phones typically transmit with a power of 0.60 W when they're in urban areas with closely spaced cell towers. In rural areas, however, the power increases to 3.0 W. By what factor does this power boost increase a phone's range—that is, the distance at which the phone's signal has a given intensity?

### Section 14.5 Sound Waves

27. Find the sound speed in air under standard conditions with pressure  $101 \text{ kN/m}^2$  and density  $1.20 \text{ kg/m}^3$ .
28. Timers in sprint races start their watches when they see smoke from the starting gun, not when they hear the sound. Why? How much error would be introduced by timing a 100-m race from the sound of the gun?
29. The specific heat ratio  $\gamma$  for nitrous oxide ( $\text{N}_2\text{O}$ ) is 1.31. Find the sound speed in  $\text{N}_2\text{O}$  at  $1.95 \times 10^4 \text{ N/m}^2$  pressure and  $0.352 \text{ kg/m}^3$  density.
30. A gas with density  $1.0 \text{ kg/m}^3$  and pressure  $81 \text{ kN/m}^2$  has sound speed 368 m/s. Are the gas molecules monatomic or diatomic?
31. Divers in an underwater habitat breathe a special mixture of oxygen and neon to prevent the possibly fatal effects of nitrogen in ordinary air. With pressure  $6.2 \times 10^5 \text{ N/m}^2$  and density  $4.5 \text{ kg/m}^3$ , the effective  $\gamma$  value for the mixture is 1.61. Find the frequency in this mixture for a 50-cm-wavelength sound wave, and compare with its frequency in air under normal conditions.

### Section 14.6 Interference

32. You're flying in a twin-engine turboprop aircraft, with its two propellers turning at 985 and 993 rpm, respectively. How often do you hear a peak in the engine sound?
33. What's the wavelength of the ocean waves in Example 14.5 if the calm water you encounter at 33 m is the *second* calm region on your voyage from the center line?

### Section 14.8 Standing Waves

34. A 2.0-m-long string is clamped at both ends. (a) Find the longest-wavelength standing wave possible on this string. (b) If the wave speed is 56 m/s, what's the lowest standing-wave frequency?
35. When a stretched string is clamped at both ends, its fundamental frequency is 140 Hz. (a) What's the next higher frequency? If the same string, with the same tension, is now clamped at one end

and free at the other, what are (b) the fundamental and (c) the next higher frequency?

36. A string is clamped at both ends and tensioned until its fundamental frequency is 85 Hz. If the string is then held rigidly at its midpoint, what's the lowest frequency at which it will vibrate?
37. A crude model of the human vocal tract treats it as a pipe closed **BIO** at one end. Find the effective length of a vocal tract whose fundamental tone is 620 Hz. Take  $V_{\text{sound}} = 354 \text{ m/s}$  at body temperature.

### Section 14.9 The Doppler Effect and Shock Waves

38. A car horn emits 380-Hz sound. If the car moves at 17 m/s with its horn blasting, what frequency will a person standing in front of the car hear?
39. A fire station's siren is blaring at 85 Hz. What's the frequency perceived by a firefighter racing toward the station at 120 km/h?
40. A fire truck's siren at rest wails at 1400 Hz; standing by the roadside as the truck approaches, you hear it at 1600 Hz. How fast is the truck going?
41. Red light emitted by hydrogen atoms at rest in the laboratory has wavelength 656 nm. Light emitted in the same process on a distant galaxy is received at Earth with wavelength 708 nm. Describe the galaxy's motion relative to Earth.

#### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

42. **Example 14.1:** A surfer paddles out beyond the breaking surf to where waves are sinusoidal in shape, with crests 59.6 m apart. The surfer bobs a vertical distance of 4.28 m, taking 3.09 s to go from trough to crest. (a) Find the wave speed and (b) describe the wave using Equation 14.3.
43. **Example 14.1:** A surfer just misses catching a big wave. If the wavelength is 78.2 m, and if she stays in the same place, how long will she have to wait for the next wave? Hint: You'll find Equation 14.11 useful.
44. **Example 14.1:** A Mars rover includes an experiment designed to explore Mars' atmosphere using sound waves. The experiment generates sound waves of a known frequency and measures their wavelength. For a frequency of 482 Hz, the measured wavelength is 50.6 cm. Find the sound speed on Mars.
45. **Example 14.1:** The speed of sound in water is 1480 m/s. (a) Find the wavelength of sound waves emitted by a blue whale vocalizing at a frequency of 14.5 Hz. (b) How does this compare with the wavelength in air of sound with the same frequency, assuming a sound speed of 343 m/s?
46. **Example 14.7:** A car speeds down the highway with its stereo blasting. An observer with perfect pitch is standing by the roadside and, as the car approaches, notices that a musical note that should be B at a frequency of 494 Hz sounds like D at 523 Hz. Find the car's speed, assuming a sound speed of 343 m/s.
47. **Example 14.7:** The speed limit on a highway is 95.0 km/h. A car whose horn emits 352-Hz sound is approaching you. What frequency will you hear if the car is going at the speed limit, assuming a sound speed of 343 m/s?

48. **Example 14.7:** The Sun undergoes oscillations with periods on the order of 5 min and amplitudes, measured as variations in the height of the solar surface, of a few m. The corresponding velocity of the solar surface is on the order of 10 cm/s, and this can be measured by carefully observing the Doppler effect on light emitted at the solar surface. One space-based instrument observes light from singly ionized nickel atoms, emitted with a wavelength of 676.8 nm. If the instrument observes this light Doppler shifted by  $3.52 \times 10^{-7}$  nm, what is the velocity at the Sun's surface?
49. **Example 14.7:** A star is orbiting the galactic center, and at a point in its orbit when it's heading in the direction toward Earth, it's moving at 64.8 km/s. An astronomer observes a spectral line emitted by hydrogen atoms in the star's atmosphere; the wavelength relative to the emitting atoms is 656.28 nm. By how much will the astronomer observe this wavelength to be shifted?

### Problems

50. A uniform cable hangs vertically under its own weight. Show that the speed of waves on the cable is given by  $v = \sqrt{yg}$ , where  $y$  is the distance from the bottom of the cable.
51. Find the maximum speed for transmission of waves on a rope with  $\mu = 68.4$  g/m if the rope's breaking tension is 415 N.
52. A loudspeaker emits energy at the rate of 50 W, spread in all directions. Find the intensity of sound 18 m from the speaker.
53. Light intensity 3.3 m from a lamp is  $0.73 \text{ W/m}^2$ . Find the lamp's power output, assuming it radiates equally in all directions.
54. An experiment based at New Mexico's Apache Point observatory uses a laser beam to measure the distance to the Moon with millimeter precision. The laser power is 120 GW, although it's pulsed on for only 90 ps. The beam emerges from the laser with a diameter of 7.0 mm. It's then beamed into a telescope aimed at the Moon. When the beam leaves the telescope, it has the telescope's full 3.5-m diameter. By the time it reaches the Moon, the beam has expanded to a diameter of 6.5 km. Find the intensity of the beam (a) as it leaves the laser, (b) as it leaves the telescope, and (c) as it reaches the Moon. Do any of these intensities exceed that of bright sunlight on Earth (about  $1000 \text{ W/m}^2$ )?
55. Two waves have the same angular frequency  $\omega$ , wave number  $k$ , and amplitude  $A$ , but they differ in phase:  $y_1 = A \cos(kx - \omega t)$  and  $y_2 = A \cos(kx - \omega t + \phi)$ . Show that their superposition is also a simple harmonic wave, and determine its amplitude as a function of the phase difference  $\phi$ .
56. A wire is under 32.8-N tension, carrying a wave described by  $y = 1.75 \sin(0.211x - 466t)$ , where  $x$  and  $y$  are in centimeters and  $t$  is in seconds. What are (a) the wave amplitude, (b) the wavelength, (c) the wave period, (d) the wave speed, and (e) the power carried by the wave?
57. A spring of mass  $m$  and spring constant  $k$  has an unstretched length  $L_0$ . Find an expression for the speed of transverse waves on this spring when it's been stretched to a length  $L$ .
58. When a 340-g spring is stretched to a total length of 40 cm, it supports transverse waves propagating at 4.5 m/s. When it's stretched to 60 cm, the waves propagate at 12 m/s. Find (a) the spring's unstretched length and (b) its spring constant.
59. At a point 15 m from a source of spherical sound waves, you measure the intensity  $750 \text{ mW/m}^2$ . How far do you need to walk, directly away from the source, until the intensity is  $270 \text{ mW/m}^2$ ?
60. Figure 14.37 shows two observers 20 m apart on a line that connects them to a spherical light source. If the observer nearer the source measures a light intensity 50% greater than the other observer, how far is the nearer observer from the source?

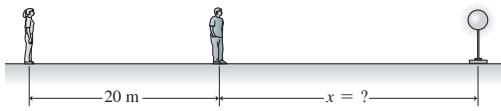


FIGURE 14.37 Problem 60

61. **CH** An ideal spring is stretched to a total length  $L_1$ . When that length is doubled, the speed of transverse waves on the spring triples. Find an expression for the unstretched length of the spring.
62. **CH** Show that the time it takes a wave to propagate up the cable in Problem 50 is  $t = 2\sqrt{L/g}$ , where  $L$  is the cable length.
63. You see an airplane 5.2 km straight overhead. Sound from the plane, however, seems to be coming from a point back along the plane's path at  $35^\circ$  to the vertical. What's the plane's speed, assuming an average sound speed of 330 ms?
64. What are the intensities in  $\text{W/m}^2$  of sound with intensity levels of (a) 65 dB and (b) -5 dB?
65. Show that a doubling of sound intensity corresponds to approximately a 3-dB increase in the decibel level.
66. Sound intensity from a localized source decreases as the inverse square of the distance, according to Equation 14.8. If the distance from the source doubles, what happens to (a) the intensity and (b) the decibel level?
67. At 2.0 m from a localized sound source you measure the intensity level as 75 dB. How far away must you be for the perceived loudness to drop in half (i.e., to an intensity level of 65 dB)?
68. The A-string (440 Hz) on a piano is 38.9 cm long and is clamped at both ends. If the string tension is 667 N, what's its mass?
69. Show that the standing-wave condition of Equation 14.13 is equivalent to the requirement that the time it takes a wave to make a round trip from one end of the medium to the other and back be an integer multiple of the wave period.
70. You're designing an organ for a new concert hall; the lowest note is to be 22 Hz. The architects have asked you to minimize the lengths of the organ pipes. How long will the longest pipe be if it's (a) closed at one end and (b) open at both ends?
71. **CH** Show by differentiation and substitution that a wave described by Equation 14.3 satisfies the wave equation (Equation 14.5), with wave speed  $v = \omega/k$ .
72. **CH** Show by differentiation and substitution that any function of the form  $y = f(x \pm vt)$  satisfies the wave equation (Equation 14.5).
73. **CH** You're a marine biologist concerned with the effect of sonic booms on plankton, and you need to estimate the altitude of a supersonic aircraft flying directly over you at 2.2 times the speed of sound. You hear its sonic boom 19 s later. Assuming a constant 340 m/s sound speed, find the plane's altitude.
74. **CH** A 2.25-m-long pipe has one end open. Among its possible standing-wave frequencies is 345 Hz; the next higher frequency is 483 Hz. Find (a) the fundamental frequency and (b) the sound speed.
75. Gravitational waves were first detected in 2015, using the LIGO detectors at Livingston, Louisiana, and Hanford, Washington. The gravitational waves, propagating as plane waves, reached the Livingston detector 7.0 ms before they reached Hanford. (a) Did the waves come from the southern or northern hemisphere of the sky? (b) Estimate the straight-line distance between Livingston and Hanford and, using the fact that gravitational waves propagate at the speed of light, find the approximate angle between the direction of the waves' propagation and the Livingston–Hanford line. Knowing this angle helped LIGO scientists to determine an approximate location of the source.

76. Obstetricians use ultrasound to monitor fetal heartbeat. If **BIO** 5.0-MHz ultrasound reflects off the moving heart wall with a 100-Hz frequency shift, what's the speed of the heart wall? (*Hint:* You have two shifts to consider.)
77. You're in court, trying to argue your way out of a speeding ticket. You were stopped going 120 km/h in a 90-km/h zone. A technical expert testifies that the 70-GHz police radar signal underwent a 15.6-kHz frequency shift when it reflected off your car. You claim that corresponds to an impossible 240 km/h, so the radar must be defective. How should the judge rule?
78. You move at speed  $u$  toward a wave source that's stationary with respect to the medium in which waves of wavelength  $\lambda$  propagate with speed  $v$ . Your speed relative to the wave crests is therefore  $v + u$ . Show that for you, the time between wave crests is  $T' = \lambda/(v + u)$ , and from this show that you perceive a frequency given by Equation 14.16, with the + sign.
79. You're a meteorologist specifying a new Doppler radar system that determines the velocity of distant raindrops by reflecting radar signals (which travel at the speed of light) off them and measuring the Doppler shift. You need a system that will measure speeds as low as 2.5 km/h. A vendor offers a 5.0-GHz radar that can detect a frequency shift of only 50 Hz. Is that sufficient?
80. Use a computer to form the sum implied in the caption of Figure **COMP** 14.17, taking  $\omega = 1 \text{ s}^{-1}$  and using (a) the three terms shown and (b) 10 terms (note that only odd harmonics appear in the sum). Plot your result over one cycle ( $t$  from 0 to  $2\pi$ ) and compare with the square wave shown in the figure.
81. Two loudspeakers are mounted 2.85 m apart, pointing in the same direction and producing identical sound waves of a fixed frequency. You're standing 10.0 m from the speakers, on the perpendicular bisector of the line between them. You move in direction parallel to the line between the speakers and find that the sound intensity diminishes, reaching a minimum when you've moved 2.44 m. If the sound speed is 343 m/s, what is the frequency of the sound waves?
82. An airport neighborhood is concerned about the basing of the **DATA** new F-35 jet fighter. They've got the following data for the sound intensity level measured at different distances from the plane as it takes off. They'd like to know the total sound power emitted by the plane. As a physics student, you're called to help. First, convert the sound intensity levels to actual intensity. Then find a quantity which, when you plot intensity against it, should give a straight line. Make your plot, determine the best-fit line, and use its slope to report the total sound power.
- | Distance (m)               | 1000 | 1200 | 1500 | 2000 | 3000 | 4000 |
|----------------------------|------|------|------|------|------|------|
| Sound intensity level (dB) | 80.7 | 79.4 | 76.9 | 74.2 | 71.6 | 68.8 |
- acceleration. Tsunamis can travel thousands of kilometers across an ocean to reach the shore with their initial energy nearly intact; when they do, they can cause massive damage and loss of life (Fig. 14.38).
83. As a tsunami approaches shore, it  
 a. speeds up.  
 b. slows down.  
 c. maintains its speed.
84. For a tsunami to behave as a shallow-water wave, its wavelength  
 a. must be comparable to or longer than the ocean depth.  
 b. must be shorter than the ocean depth.  
 c. can have any value.
85. A tsunami is traveling at 450 km/h when the ocean depth abruptly doubles. Its new speed is roughly  
 a. 225 km/h.  
 b. 320 km/h.  
 c. 640 km/h.  
 d. 900 km/h.
86. On the open ocean, a tsunami has relatively small amplitude—typically 1 m or less. As the tsunami approaches shore, its amplitude increases and its wavelength decreases. As a result,  
 a. its total energy increases.  
 b. the rate at which it carries energy shoreward increases.  
 c. the wave frequency increases.  
 d. none of these quantities changes.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

None. The waves transport energy, but not matter.

### Answers to GOT IT? Questions

- 14.1 (b) 5 m/s, because that's the speed of the wave crest  
 14.2 (1) upper wave; (2) lower; (3) lower; (4) upper; (5) upper  
 14.3 (c)  
 14.4 (c)  
 14.5 (b) because of interference analogous to Fig. 14.21  
 14.6 (c)  
 14.7  $\frac{4}{5}\text{m}$ ,  $\frac{4}{3}\text{m}$ , 4 m  
 14.8 the boat

### Passage Problems

*Tsunamis* are ocean waves generally produced when earthquakes suddenly displace the ocean floor, and with it a huge volume of water. Unlike ordinary waves on the ocean surface, a tsunami involves the entire water column, from surface to bottom. To a tsunami, the ocean is shallow—and that makes tsunamis *shallow-water waves*, whose speed is  $v = \sqrt{gd}$ , where  $d$  is the water depth and  $g$  the gravitational



**FIGURE 14.38** People flee as the devastating tsunami of December 2004 strikes Thailand (Passage Problems 83–86).

# Fluid Motion

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 15.1** Characterize fluids by density and pressure.
- LO 15.2** Describe how fluid pressure varies in hydrostatic equilibrium.
- LO 15.3** Use Archimedes' principle as it applies to floating and submerged objects.
- LO 15.4** Apply conservation of mass and energy in fluid dynamics.
- LO 15.5** Apply Bernoulli's principle to applications in fluid dynamics.
- LO 15.6** Qualitatively describe the roles of viscosity and turbulence.

## Skills & Knowledge You'll Need

- Kinetic energy (Section 6.4)
- Gravitational potential energy (Section 7.2)
- Conservation of mechanical energy (Section 7.3)

A tornado whirls across a darkened sky. A plane flies, supported by air pressure on its wings. Gas from a giant star forms a cosmic whirlpool before plunging into a black hole. Fluid in your car's brake system amplifies the force of your foot on the brake pedal. Your own body is sustained by air moving into and out of your lungs, and by the flow of blood throughout your tissues. All these examples involve fluid motion.

Fluid is matter that flows under the influence of external forces. Fluids include both liquids and gases. The intermolecular forces are weaker in fluids than in solids, and as a result the molecules move around readily. In a liquid, those forces are strong enough to keep the molecules in close contact, while in a gas they're almost negligible and the molecules are usually widely spaced. Mobility of the individual molecules means that a fluid spreads out to take the shape of its container.

## 15.1 Density and Pressure

### LO 15.1 Characterize fluids by density and pressure.

If we could observe a fluid on the molecular scale, we would find large numbers of molecules in continuous motion, colliding with each other and with the walls of their containers. This molecular behavior is governed by the laws of mechanics, and in principle we could study fluids by applying those laws to all the individual molecules. But even a drop of water contains about  $10^{21}$  molecules; to calculate the motions of all those molecules would take the fastest computers many times the age of the universe!

Because the number of molecules is so large, we approximate a fluid by treating it as continuous rather than composed of discrete particles. In this approximation, valid for fluid samples large compared with the distance between molecules, we describe the fluid by specifying macroscopic properties such as density and pressure.



Why is only the "tip of the iceberg" above water?

## Density

**Density** (symbol  $\rho$ , Greek rho) measures the mass per unit volume; its SI units are  $\text{kg/m}^3$ . Water's density is normally about  $1000 \text{ kg/m}^3$ ; air's is about a factor of 1000 smaller. Because their molecules are essentially in contact, liquids are **incompressible**, meaning that their densities remain nearly constant. Gases, in contrast, are **compressible**: With relatively large intermolecular distances, their densities can change readily.

## Pressure

**Pressure** measures the normal force per unit area exerted by a fluid (Fig. 15.1):

$$\text{Pressure is a scalar...} \quad p = \frac{F}{A} \quad (\text{pressure}) \quad \dots \text{that describes the force per unit area in a fluid.}$$

The SI pressure unit is  $\text{N/m}^2$ , given the name **pascal** (Pa) after the French mathematician, scientist, and philosopher Blaise Pascal (1623–1662). Another commonly used pressure unit is the **atmosphere** (atm), defined as Earth's normal atmospheric pressure at sea level and equal to 101.3 kPa (in English units, that's 14.7 pounds per square inch, or psi).

Pressure is a scalar quantity; at a given point in a fluid, pressure is exerted equally in all directions (Fig. 15.1), so it makes no sense to associate a direction with it. This property explains an aspect of pressure that you may find puzzling. Although the atmosphere bears down on your body with a pressure of 14.7 pounds on every square inch, you don't feel that burden. That's because the force arising from this pressure is everywhere perpendicular to your body, and your body fluids respond by compressing until they're at the same pressure. If you've had your ears "pop" in a fast elevator or airplane, or when diving underwater, you know the pain that can develop when the pressure on your body is temporarily imbalanced.

### GOT IT?

- 15.1 What quantity of water has the same mass as  $1 \text{ m}^3$  of air under normal conditions? (a)  $1 \text{ m}^3$ ; (b)  $100 \text{ cm}^3$ ; (c)  $1 \text{ L}$ ; (d)  $0.1 \text{ m}^3$

The fluid exerts pressure internally as well as on the container. The internal pressure is the same in all directions.

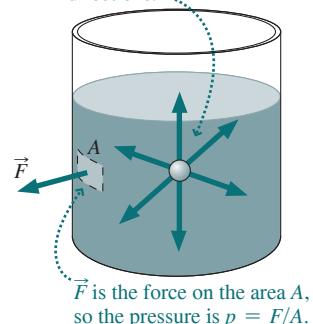
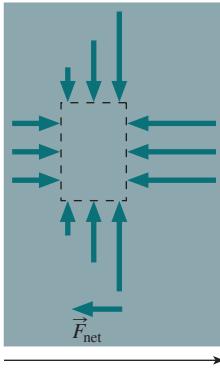
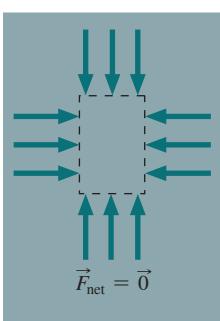


FIGURE 15.1 Pressure, the force per unit area, is exerted equally in all directions.



Increasing pressure  
(a)



Constant pressure  
(b)

## 15.2 Hydrostatic Equilibrium

### LO 15.2 Describe how fluid pressure varies in hydrostatic equilibrium.

For a fluid to remain at rest, the net force everywhere in the fluid must be zero; this condition is **hydrostatic equilibrium**. In the absence of any external forces, hydrostatic equilibrium requires that the pressure be constant throughout the fluid; otherwise, pressure differences would result in a net force, and the fluid would move in response. As Fig. 15.2 suggests, it's pressure *difference*, rather than pressure itself, that gives rise to forces within fluids.

### Hydrostatic Equilibrium with Gravity

Hydrostatic equilibrium in the presence of gravity requires a pressure force to counteract the gravitational force. Since forces arise only from pressure differences, the fluid pressure must therefore vary with depth.

Figure 15.3 shows the forces on a fluid element of area  $A$ , thickness  $dh$ , and mass  $dm$ . A gravitational force acts downward on this fluid element; for it to be in equilibrium there must therefore be an upward pressure force—and that requires a greater pressure on the bottom. Suppose the pressures at the top and bottom are  $p$  and  $p + dp$ , respectively. Since pressure is force per unit area, the net pressure force is  $dF_{\text{press}} = (p + dp)A - pA = A dp$ . The gravitational force is  $dF_g = -g dm$ , where the minus sign designates the downward direction. But the mass  $dm$  is the density times the volume, so  $dF_g = -g dm = -g\rho A dh$ . Hydrostatic equilibrium requires that these forces sum to zero:  $A dp - g\rho A dh = 0$ , or

FIGURE 15.2 If pressure varies with position, then there's a net force on a volume of fluid.

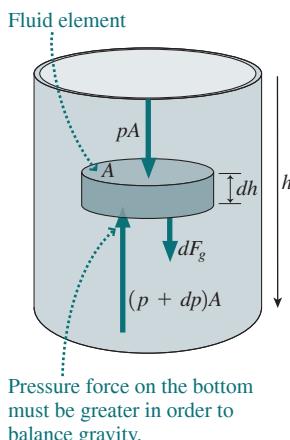


FIGURE 15.3 Forces on a fluid element in hydrostatic equilibrium.

The derivative  $dp/dh$  is the rate of change of pressure  $p$  with depth  $h$ .

In hydrostatic equilibrium,  $dp/dh$  is the product of fluid density  $\rho$  and gravitational acceleration  $g$ .

$$\frac{dp}{dh} = \rho g \quad (\text{hydrostatic equilibrium}) \quad (15.2)$$

This equation shows that  $dp/dh$ —the variation in pressure with depth  $h$ —is positive, confirming that pressure increases with depth. For a liquid, which is essentially incompressible,  $\rho$  is constant, and Equation 15.2 shows that pressure increases linearly with depth:

$$p = p_0 + \rho gh \quad (15.3)$$

where  $p_0$  is the pressure at the liquid surface.

Equation 15.2 applies to *any* fluid in a uniform gravitational field; Equation 15.3 follows from Equation 15.2 for the special case of a liquid. It's also possible to integrate Equation 15.2 to find the pressure in a gas that's subject to the gravitational force. Because the gas density isn't constant, this is a little more involved mathematically. Problem 72 explores the variation of pressure with height in Earth's atmosphere.

### EXAMPLE 15.1 Calculating Pressure: Ocean Depths

- (a) At what water depth is the pressure twice atmospheric pressure?  
 (b) What's the pressure at the bottom of the 11-km-deep Marianas Trench, the deepest point in the ocean? Take atmospheric pressure as 101 kPa and the density of seawater as  $1030 \text{ kg/m}^3$ .

**INTERPRET** This problem is about hydrostatic equilibrium, with water the fluid.

**DEVELOP** We determine that Equation 15.3,  $p = p_0 + \rho gh$ , applies, with  $p_0$  equal to the atmospheric pressure at the water surface. Then at twice atmospheric pressure,  $p = 2p_0$ , and we can solve for  $h$  to answer part (a). Because pressure increases linearly with depth, we can extrapolate our result for part (a) to find the answer to part (b).

**EVALUATE** Solving our equation for the depth  $h$  and substituting the given numbers in, we find for part (a):

$$h = \frac{p - p_0}{\rho g} = \frac{2.02 \times 10^5 \text{ Pa} - 1.01 \times 10^5 \text{ Pa}}{(1030 \text{ kg/m}^3)(9.81 \text{ m/s}^2)} = 10.0 \text{ m}$$

This result implies that the pressure increases by 100 kPa for every 10 m of depth. In the Marianas Trench,  $11 \times 10^3 \text{ m}$  deep, the pressure increase is then

$$(11 \times 10^3 \text{ m})(100 \text{ kPa}/10 \text{ m}) = 110 \text{ MPa}$$

which is our answer to (b).

**ASSESS** This is over a thousand times atmospheric pressure, or more than 8 tons per square inch! Creatures living at these depths are in pressure equilibrium with their surroundings. To bring them to the surface for study, scientists must maintain their natural pressure or they'll explode. A similar plight awaits scuba divers who hold their breath while ascending; air in the lungs expands, bursting the alveoli. Problem 64 involves film producer James Cameron's 2012 dive to the bottom of the Marianas Trench.

### Measuring Pressure

Figure 15.4 shows a **barometer**, in which air pressure acts on the open pool of mercury, pushing the liquid into the evacuated tube. Since  $p_0 = 0$  in the vacuum at the top of the tube, Equation 15.3 becomes simply  $p = \rho gh$ , showing that the height  $h$  of the mercury is directly proportional to atmospheric pressure  $p$ . Standard atmospheric pressure of 101.3 kPa supports a mercury column 760 mm or 29.92 in. high. Pressure varies slightly with meteorological conditions, and weather forecasters regularly report atmospheric pressure in millimeters or inches of mercury. Mercury's high density makes for a reasonable-sized barometer. Example 15.1 shows that a water-filled barometer would need to be 10 m long!

A **manometer** is a U-shaped tube filled with liquid and used to measure pressure differences. A pressure difference between the two ends results in a height difference  $h$  between the liquid surfaces (Fig. 15.5, next page). Equation 15.3 shows that  $h$  is directly proportional to the pressure difference.

Barometers and manometers are the classic pressure-measuring instruments, and understanding them will help you grasp the meaning of pressure. But pressure-measuring devices today are usually electronic, using the pressure force to alter electrical properties and produce an electrical signal proportional to pressure.

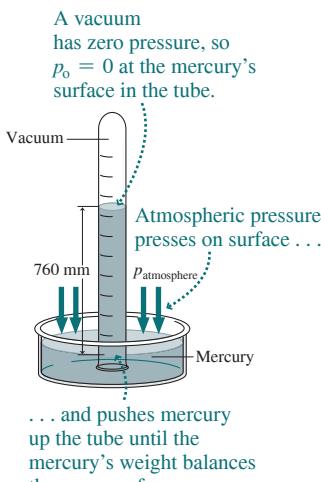


FIGURE 15.4 A mercury barometer.

The term **gauge pressure** describes the excess pressure above atmospheric. Inflation instructions for tires and sports equipment specify gauge pressure. A tire inflated to 200 kPa (about 30 psi) has an absolute pressure of about 300 kPa because of the additional 100-kPa atmospheric pressure.

## Pascal's Law

Equation 15.3 shows that an increase in surface pressure  $p_0$  results in the same pressure increase throughout the fluid. More generally, a pressure increase anywhere is felt throughout the fluid—a fact known as **Pascal's law**. Pascal applied this principle in his invention of the hydraulic press. Today hydraulic systems, based on Pascal's law, control machinery ranging from brakes in motor vehicles and some bicycles to aircraft wings, bulldozers, cranes, and robots.

### EXAMPLE 15.2 Applying Pascal's Law: A Hydraulic Lift

In the hydraulic lift of Fig. 15.6, a large piston supports a car; the total mass of car and piston is 3200 kg. What force must be applied to the smaller piston to support the car?

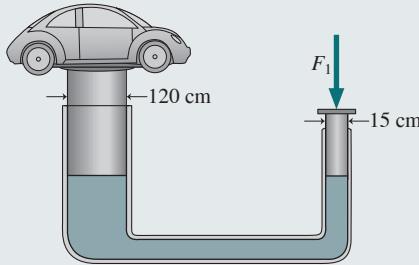


FIGURE 15.6 A hydraulic lift.

**INTERPRET** We interpret this as a problem involving Pascal's law. Whatever pressure results from the force on the smaller piston is transmitted through the fluid to the larger piston and thus supports the car.

**DEVELOP** We're given a drawing. Having determined that Pascal's law applies, and neglecting pressure variations with depth, we conclude that the pressure is the same throughout the system. Our plan, then, is to write expressions involving the pressures at both pistons and use the fact that they're equal to solve for the unknown force. We'll use the fact that the pressure on a piston is the applied force divided by the piston's area.

**EVALUATE** The small piston exerts a pressure  $p = F_1/A_1 = F_1/\pi R_1^2$ , where  $F_1$  is the unknown force. The pressure at the large piston is the same and produces a force  $F_2 = pA_2$ . This force supports the weight  $mg$  of piston and car; therefore, we have

$$mg = pA_2 = p\pi R_2^2 = \frac{F_1}{\pi R_1^2} \pi R_2^2 = F_1 \left( \frac{R_2}{R_1} \right)^2$$

Solving for  $F_1$  gives our answer:

$$F_1 = mg \left( \frac{R_1}{R_2} \right)^2 = (3200 \text{ kg})(9.8 \text{ m/s}^2) \left( \frac{15 \text{ cm}}{120 \text{ cm}} \right)^2 = 490 \text{ N}$$

We used the diameters from Fig. 15.3, rather than the radii, because their ratio is the same.

**ASSESS** How can a 490-N force—about 100 lb—support the car? Through the constant fluid pressure, this smaller force is effectively multiplied by the ratio of the piston areas. What about energy? Do we get something for nothing here? GOT IT? 15.2 explores this question.

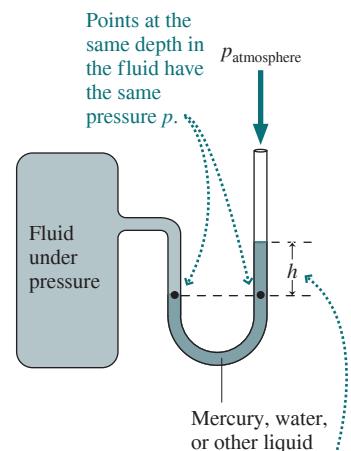
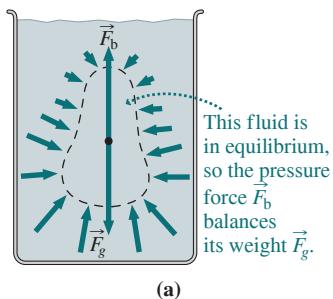
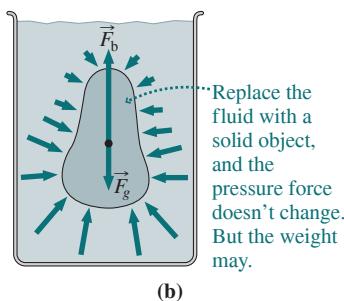


FIGURE 15.5 A manometer used to measure the pressure difference between a closed container and the atmosphere.



(a)



(b)

FIGURE 15.7 The buoyancy force  $\vec{F}_b$  arises because pressure increases with depth.

**GOT IT?**

**15.2** If you lift the car in Fig. 15.6 by pushing down on the smaller piston, is the work you do (a) more, (b) less, or (c) the same work as is done on the car? Explain.

## 15.3 Archimedes' Principle and Buoyancy

**LO 15.3** Use Archimedes' principle as it applies to floating and submerged objects.

Why do some objects float while others sink? Figure 15.7a shows the upward pressure force on an arbitrary fluid volume balancing the downward gravitational force. Now imagine replacing the fluid volume with a solid object of identical shape (Fig. 15.7b). The remaining fluid hasn't changed, so it continues to exert an upward force on the object—a force whose magnitude equals the weight of the *original fluid volume*. This force is the **buoyancy force**, and in giving its magnitude we've stated **Archimedes' principle**: The buoyancy force on an object is equal to the weight of the fluid displaced by the object.

If the submerged object weighs more than the displaced fluid, then the gravitational force exceeds the buoyancy force and the object sinks. If the object weighs less than the displaced fluid, buoyancy is greater and the object rises. Therefore, an object floats or sinks depending on whether its average density is greater than or less than that of the fluid. In between is the case of **neutral buoyancy**, when an object's average density is the same as that of the fluid. The Application on this page gives examples of neutral buoyancy.

**APPLICATION**

### Swimming Like a Fish

Fish glide through the water, maintaining depth with little effort and rising or diving at will. That's possible because they're in neutral buoyancy, with density equal to that of the surrounding water. The fish's *swim bladder*—a pair of gas-filled sacs—expands and contracts under the influence of water pressure, maintaining neutral buoyancy. Biologists believe that the lungs of terrestrial organisms may have evolved from the swim bladders of our ancestral fish. The ballast tanks of submarines serve a similar function to keep these vessels in neutral buoyancy. Analogously, the burner that's fired periodically to heat the air in a hot-air balloon serves the same function; by introducing hot, lower-density air, the balloonist can keep the craft in neutral buoyancy or induce it to rise.



### EXAMPLE 15.3 Finding the Buoyancy Force: Working Underwater

You're setting up a raft in a swimming area, and you need to move a 60-kg concrete block on the lake bottom. What's the apparent weight of the block as you lift it underwater? The density of concrete is  $2200 \text{ kg/m}^3$ .

**INTERPRET** We interpret this as a problem about buoyancy; the concrete will seem to weigh less underwater because of the upward buoyancy force. We identify the apparent weight as the force you'll need to apply to lift the block off the lake bottom.

**DEVELOP** Figure 15.8 is our sketch, showing gravity and the buoyancy force on the block; you'll need to apply a force equal but opposite to their sum. Archimedes' principle applies, giving a buoyancy force equal to the weight of water that occupies the same volume as the concrete block. So our plan is to find that force and compare it with the gravitational force on the block.

**EVALUATE** The concrete block's mass is  $m_c$ , so its weight is the gravitational force  $F_g = m_c g$ . Its volume is  $V_c = m_c / \rho_c$ , which also equals the volume of the displaced water:  $V_w = V_c = m_c / \rho_c$ . Archimedes' principle says that the weight of this displaced water is the magnitude of the

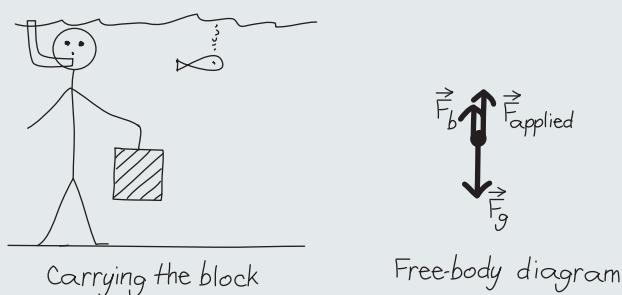


FIGURE 15.8 What's the apparent weight of the concrete block?

buoyancy force, so  $F_b = m_w g = V_w \rho_w g = m_c g (\rho_w / \rho_c)$ . Then the upward buoyancy force and the downward gravitational force sum to give a downward force of magnitude:

$$\begin{aligned} F_g - F_b &= m_c g - m_c g \left( \frac{\rho_w}{\rho_c} \right) = m_c g \left( 1 - \frac{\rho_w}{\rho_c} \right) \\ &= (60 \text{ kg})(9.8 \text{ m/s}^2) \left( 1 - \frac{1}{2.2} \right) = 320 \text{ N} \end{aligned}$$

You have to apply an upward force of equal magnitude to lift the block off the bottom.

**ASSESS** This is about 70 lb—a lot more manageable than the block's weight  $mg$  of nearly 600 N or about 130 lb in air. Knowing the apparent weight of a submerged object would let us turn this problem around to determine its density. Archimedes purportedly used his principle in this way to find the density of the king's crown, and thus show that it was not pure gold.

## Floating Objects

Archimedes' principle still holds for a floating object. But with the object in equilibrium at a liquid surface, the buoyancy force now must balance the object's weight—which will happen if the fluid displaced by the submerged part of the object weighs the same as the object. This condition determines how high in the water the object floats, as Example 15.4 illustrates.

### EXAMPLE 15.4

#### Floating Objects: The Tip of the Iceberg

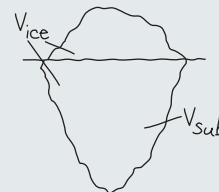
*Worked Example with Variation Problems*

The average density of a typical arctic iceberg is 0.86 that of seawater. What fraction of an iceberg's volume is submerged?

**INTERPRET** We interpret this problem also as being about buoyancy, but now we have a floating object with buoyancy balancing gravity. Only the submerged portion contributes to the buoyancy force, so the condition of force balance will enable us to find how much of the iceberg is submerged.

**DEVELOP** Figure 15.9 is our sketch, showing gravitational and buoyancy forces of equal magnitude. Archimedes' principle applies here and states that the buoyancy force is equal to the weight of water displaced by the submerged portion of the iceberg. So our plan is to find the gravitational and buoyancy forces, and then equate their magnitudes to get the submerged volume. Since we're looking for volume, we'll write any masses as products of density and volume.

**EVALUATE** The iceberg's weight is  $w_{ice} = m_{ice} g = \rho_{ice} V_{ice} g$ , where  $V_{ice}$  is the volume of the *entire* iceberg. Only the submerged portion displaces water, so the volume of displaced water is  $V_{sub}$ , and the weight of the displaced water is therefore  $w_{water} = m_{water} g = \rho_{water} V_{sub} g$ . By Archimedes' principle,  $w_{water}$  is equal in magnitude to the buoyancy



Sketch of iceberg



Free-body diagram

FIGURE 15.9 How much of the iceberg is submerged?

force, which balances gravity when the iceberg is in equilibrium. Equating the two gives  $\rho_{water} V_{sub} g = \rho_{ice} V_{ice} g$ , which we solve to get

$$\frac{V_{sub}}{V_{ice}} = \frac{\rho_{ice}}{\rho_{water}} = 0.86$$

**ASSESS** Our result means that 86% of the iceberg's volume is under water, leaving only 14% showing. Tip of the iceberg, indeed! Note that the volume ratio is just the density ratio  $\rho_{ice}/\rho_{water}$ , showing that the closer an object's density is to that of water, the lower it floats.

### CONCEPTUAL EXAMPLE 15.1 The Shrinking Arctic

Arctic sea ice is melting rapidly as a result of global warming. Does this contribute to rising sea levels?

**EVALUATE** Your first answer might be "yes," but think again! Archimedes' principle tells us that the floating ice displaces a volume of

water whose weight is equal to that of the *entire* ice—although only the submerged portion does the displacing. When the ice melts, it becomes water that, because it no longer sticks above the surface, displaces a volume equal to its entire weight. But since the weight hasn't changed, the amount of water displaced is the same. That means the water level is unchanged.

(continued)

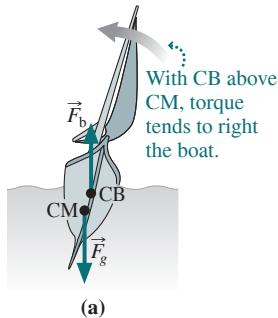
**ASSESS** Melting ice doesn't contribute to sea-level rise—as long as it's sea ice that melts. Land ice is a different story: Melting glaciers and “calving” of glaciers to form icebergs together cause about half of contemporary sea-level rise. Thermal expansion, which we'll explore in Chapter 17, causes the rest. According to the Intergovernmental Panel on Climate Change, these two processes are expected to result in sea-level rise in the range of 50 cm to more than 1 m by the year 2100.

**MAKING THE CONNECTION** The land-based Greenland ice cap occupies some 3 million km<sup>3</sup>, while some 15,000 km<sup>3</sup> of ice are afloat in the Arctic Ocean. Compare the approximate rise in the world's oceans that would result from complete melting of these two ice volumes.

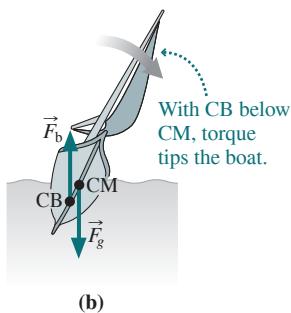
**EVALUATE** As this conceptual example shows, melting sea ice won't contribute to sea-level rise, but land-based ice will add water to the oceans. Its volume will be about 86% that of the ice (see Example 15.4), or about 2.6 million km<sup>3</sup>. With oceans covering about 71% of Earth's surface area ( $4\pi R_E^2$ , where  $R_E$  is Earth's radius), the meltwater will spread in a layer of thickness  $d$  and therefore volume  $V = (0.71)(4\pi R_E^2)d$ . Setting this quantity equal to the  $2.6 \times 10^{15}$ -m<sup>3</sup> volume of meltwater and solving for  $d$  then gives  $d = 7$  m—enough to inundate most of today's coastal cities.

### GOT IT?

- 15.3** The density of a rubber ball is three-fifths that of water. When placed in water, will the ball (a) float with less than half of it out of the water, (b) float with more than half of it out of the water, or (c) sink?

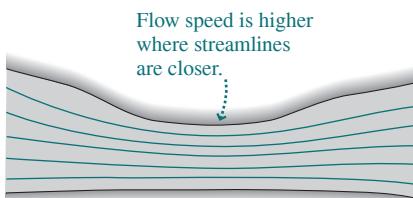


(a)



(b)

**FIGURE 15.10** A boat's stability requires the center of buoyancy (CB) to be above the center of mass (CM).



**FIGURE 15.11** Streamlines represent flow velocity in a river.

## Center of Buoyancy

The buoyancy force acts not at the center of mass of a floating object but at the center of mass of the water that would be there if the object weren't. This point is called the **center of buoyancy**, and for an object to float in stable equilibrium, the center of buoyancy must lie above the center of mass. Otherwise, a net torque results that tends to tip the object. The stability of watercraft depends critically on this condition (Fig. 15.10).

## 15.4 Fluid Dynamics

### LO 15.4 Apply conservation of mass and energy in fluid dynamics.

We now turn our attention to moving fluids, described by the flow velocity at each point in the fluid and at each instant of time. We illustrate flow velocity by drawing continuous lines called **streamlines** that are everywhere tangent to the local flow direction (Fig. 15.11). Their spacing is a measure of flow speed, with closely spaced streamlines indicating higher speed. Small particles introduced into moving fluids follow streamlines and therefore give a visual indication of the flow velocity pattern.

In **steady flow**, the pattern of fluid motion remains the same at each point, even though individual fluid elements are in continuous motion. A river in steady flow always looks the same, even though you're not seeing the same water each time you look. At a given point, the water velocity is always the same. **Unsteady flow**, in contrast, involves fluid motion that changes with time. Blood flow in your arteries is unsteady; with each contraction of the heart ventricles, the pressure rises and the flow velocity increases. We'll restrict our quantitative description of fluid motion to steady flow.

Like all other motion in classical physics, fluid motion is governed by Newton's laws. It's possible to write Newton's second law in a form that explicitly involves the fluid velocity as a function of position and time. But the resulting equation is difficult to solve in any but the simplest cases. Instead of applying Newton's law directly, we'll approach fluid dynamics using energy conservation.

## GOT IT?

- 15.4** The photo shows smoke particles tracing streamlines in a test of a car's aerodynamic properties. Is the flow speed greater (a) over the roof or (b) over the hood?



## Conservation of Mass: The Continuity Equation

In mechanics we had no trouble keeping track of the individual objects. But a fluid is continuous and deformable, so it's not easy to follow an individual fluid element as it moves. Yet fluid is conserved; as it moves, new fluid is neither created nor destroyed.

Consider a steady fluid flow represented by streamlines, as shown in Fig. 15.12a. We shaded a **flow tube**—a small tubelike region bounded on its sides by streamlines and on its ends by areas at right angles to the flow. The flow tube has a sufficiently small cross section that fluid velocity and other properties don't vary significantly over any cross section; however, fluid properties may vary along the flow tube. Although our flow tube has no physical boundaries, it nevertheless acts like a pipe because fluid flows *along*, not across, the streamlines. In steady flow, the rate at which fluid enters the tube at its left end must equal the rate at which it exits at the right.

Figure 15.12b shows a small fluid element just about to enter the flow tube, a process that will take some time  $\Delta t$ . Suppose the fluid is moving at speed  $v_1$ ; since it takes time  $\Delta t$  to cross the tube end, its length is  $v_1 \Delta t$ . With cross-sectional area  $A_1$ , length  $v_1 \Delta t$ , and density  $\rho_1$ , the mass of the entering fluid is  $m = \rho_1 A_1 v_1 \Delta t$ .

Another fluid element is shown just about to leave the tube. Suppose it has the *same* mass  $m$  as the entering fluid element. Then it must exit the tube in the *same* time  $\Delta t$  in order to keep the total mass in the tube constant. Its mass can be written as  $m = \rho_2 A_2 v_2 \Delta t$ .

Equating our two expressions for  $m$  shows that  $\rho_1 v_1 A_1 = \rho_2 v_2 A_2$ . Since the endpoints of the tube are arbitrary, we conclude that the quantity  $\rho v A$  must have the same value anywhere along the flow tube:

The mass flow rate, in kg/s, at which fluid crosses a given area  $A$  is the product of fluid density  $\rho$ , flow velocity  $v$ , and area  $A$ .

For steady flow, conservation of mass dictates that the mass flow rate can't change along a flow tube.

$$\rho v A = \text{constant along a flow tube} \quad \left( \begin{array}{l} \text{continuity equation,} \\ \text{any fluid} \end{array} \right) \quad (15.4)$$

Equation 15.4 is the **continuity equation**, which expresses the conservation of mass in steady fluid flow. The units of  $\rho v A$  here are  $(\text{kg}/\text{m}^3)(\text{m}/\text{s})(\text{m}^2)$ , or simply  $\text{kg}/\text{s}$ . This quantity is therefore the **mass flow rate** or mass of fluid per unit time passing through the flow tube. Equation 15.4 says that the mass flow rate is constant in steady flow.

For a liquid, the density  $\rho$  is constant, and the continuity equation becomes simply

$vA$  is the volume flow rate, in  $\text{m}^3/\text{s}$ .

Because a liquid's density doesn't vary, conservation of mass implies that the volume flow rate is constant for a liquid.

$$vA = \text{constant along a flow tube} \quad \left( \begin{array}{l} \text{continuity equation,} \\ \text{liquid} \end{array} \right) \quad (15.5)$$

Now the constant quantity is just  $vA$ , with units of  $(\text{m}/\text{s})(\text{m}^2)$ , or  $\text{m}^3/\text{s}$ . This is the **volume flow rate**. Equation 15.5 makes sense: Where a liquid's cross-sectional area is large, it flows slowly to transport a given volume of fluid per unit time. But in a constricted area, it must flow faster to carry the same volume. With a gas, obeying Equation 15.4 but not necessarily 15.5, the situation is slightly more ambiguous because density variations also

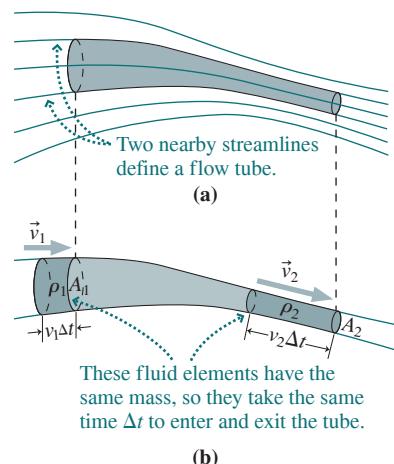


FIGURE 15.12 In steady flow, fluid enters and leaves a flow tube at the same rate.

play a role. For flow speeds below the speed of sound in a gas, it turns out that smaller area implies a higher flow speed just as for a liquid. But when the gas flow speed exceeds the sound speed, density changes become so great that flow speed actually decreases with smaller area.

### EXAMPLE 15.5 Using the Continuity Equation: Ausable Chasm

The Ausable River in upstate New York is about 40 m wide. Under typical early summer conditions, it's 2.2 m deep and flows at 4.5 m/s. Just before it reaches Lake Champlain, the river enters Ausable Chasm, a deep gorge only 3.7 m wide. If the flow rate in the gorge is 6.0 m/s, how deep is the river at this point? Assume a rectangular cross section with uniform flow speed.

**INTERPRET** The concept behind this problem is mass conservation, embodied in the continuity equation for a liquid, Equation 15.5. Since the flow is uniform over the river's cross section, we can treat the entire river as a single flow tube.

**DEVELOP** Equation 15.5 says that the product  $vA$  is constant. For the river's rectangular cross section, the area  $A$  is the product of width

$w$  and depth  $d$ . Then Equation 15.5 becomes  $v_1w_1d_1 = v_2w_2d_2$ , where the subscripts indicate values upstream and in the gorge. Our plan is to solve for the depth  $d_2$  in the gorge.

**EVALUATE** Solving gives

$$d_2 = \frac{v_1w_1d_1}{v_2w_2} = \frac{(4.5 \text{ m/s})(40 \text{ m})(2.2 \text{ m})}{(6.0 \text{ m/s})(3.7 \text{ m})} = 18 \text{ m}$$

**ASSESS** This is about 60 feet, quite a depth for a small river! But conservation of mass requires it. In the gorge, the river is much narrower but its flow speed is only a little higher, so it's got to be a lot deeper.

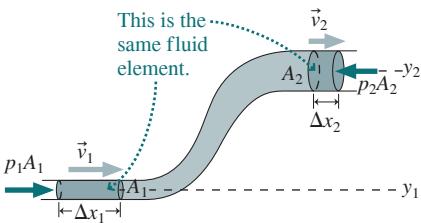


FIGURE 15.13 A flow tube showing the same fluid element entering and leaving. The work done by pressure and gravitational forces equals the change in kinetic energy of the fluid element.

### Conservation of Energy: Bernoulli's Equation

We now turn to conservation of fluid energy. Figure 15.13 shows the same fluid element as it enters and again as it leaves a flow tube. If it enters with speed  $v_1$  and leaves with speed  $v_2$ , the change in its kinetic energy is

$$\Delta K = \frac{1}{2}m(v_2^2 - v_1^2)$$

The work–kinetic energy theorem (Equation 6.14) equates this change to the net work done on the fluid element. As the element enters the tube, it's subject to a pressure force  $p_1A_1$  from the fluid to its left. This external force acts over the length  $\Delta x_1$  of the fluid element as it enters, so it does work  $W_1 = p_1A_1 \Delta x_1$ . Similarly, as it leaves the tube, the fluid element experiences a force  $p_2A_2$  from the fluid to its right. Because this force is opposite the flow direction, it does negative work  $W_2 = -p_2A_2 \Delta x_2$ . External forces from adjacent flow tubes act at right angles to the flow, so they do no work. Finally, the fluid element rises a distance  $y_2 - y_1$  as it traverses the tube; therefore, gravity does negative work  $W_g = -mg(y_2 - y_1)$ . Summing these three contributions and applying the work–kinetic energy theorem, we have  $W_1 + W_2 + W_g = \Delta K$ , or  $p_1A_1 \Delta x_1 - p_2A_2 \Delta x_2 - mg(y_2 - y_1) = \frac{1}{2}m(v_2^2 - v_1^2)$ . The quantities  $A_1 \Delta x_1$  and  $A_2 \Delta x_2$  are the volumes of the fluid element as it enters and leaves the flow, respectively. If we restrict ourselves to incompressible fluids, then those volumes are equal. Dividing through by this common volume  $V = A \Delta x$  and noting that  $m/V = \rho$ , we get  $p_1 + \frac{1}{2}\rho v_1^2 + \rho g y_1 = p_2 + \frac{1}{2}\rho v_2^2 + \rho g y_2$ , or

Pressure  $p$  is a measure of internal energy per unit volume of fluid.

Absent any mechanisms that add or remove energy, the sum of the three terms remains unchanged.

$$p + \underbrace{\frac{1}{2}\rho v^2}_{\text{This term is the kinetic energy per unit volume in the fluid flowing with speed } v...} + \underbrace{\rho gy}_{\text{... and this is the gravitational potential energy per unit volume when the fluid is at vertical position } y...} = \text{constant along a flow tube (Bernoulli's equation)} \quad (15.6)$$

This term is the kinetic energy per unit volume in the fluid flowing with speed  $v$ ...

... and this is the gravitational potential energy per unit volume when the fluid is at vertical position  $y$ .

This is **Bernoulli's equation**, after the Swiss mathematician Daniel Bernoulli (1700–1782).

What do the terms in Bernoulli's equation mean? The quantity  $\frac{1}{2}\rho v^2$  looks like kinetic energy  $\frac{1}{2}mv^2$ , except it has mass per unit volume  $\rho$  instead of mass  $m$ . It's therefore the

kinetic energy per unit volume, or kinetic-energy density. Similarly,  $\rho gy$  is the gravitational potential energy per unit volume. Pressure  $p$ , too, has the units of energy density and represents internal energy of the fluid. Bernoulli's equation therefore says that the total energy per unit volume of fluid is conserved as the fluid moves.

Bernoulli's equation in the form 15.6 applies to incompressible fluids. It neglects fluid friction, also called *viscosity*, that may dissipate fluid kinetic energy. It also neglects energy transfers associated with machinery such as turbines or pumps that may extract or add to the fluid's energy. Engineers often include those effects in Bernoulli's equation.

## 15.5 Applications of Fluid Dynamics

### LO 15.5 Apply Bernoulli's principle to applications in fluid dynamics.

The laws of mass and energy conservation that we just derived for fluids allow us to analyze a wide variety of natural and technological phenomena. We'll usually need both the continuity equation and Bernoulli's equation, considering the values of the appropriate constant quantities at two points in a fluid flow. As you study the examples and applications that follow, remember that they're ultimately based in the same Newtonian principles we've been using to describe mechanical systems.

#### PROBLEM-SOLVING STRATEGY 15.1 Fluid Dynamics

The continuity equation and Bernoulli's equation are the keys to solving problems in fluid dynamics. Here's a strategy that will help you focus these two equations on a problem.

**INTERPRET** The form of Bernoulli's equation we derived applies only to incompressible fluids. So be sure you're dealing either with a liquid or with a gas flowing at speeds well below its sound speed.

#### DEVELOP

- Identify a flow tube. This may be a physical pipe or other structure, or a mathematical tube bounded by streamlines.
- Draw a sketch of the situation, showing the flow tube.
- Determine the point where you're interested in solving for some aspect of the flow, and another point where you know the quantities that go into the continuity equation and Bernoulli's equation. Note those quantities that you know at each point. Mark the two points on your sketch.
- Write the continuity equation and Bernoulli's equation, with the known quantities forming the terms on one side and the other side containing your unknown(s).

**EVALUATE** Evaluate by solving your equations for the unknown quantity or quantities. Often this will involve solving the continuity equation first and then using the result in Bernoulli's equation.

**ASSESS** Ask whether your result makes sense. Does flow speed increase at a constriction? Does pressure go up when flow speed drops, or vice versa? Are there any limitations that apply, or insights to be gained?

#### EXAMPLE 15.6

#### Bernoulli's Equation: Draining a Tank

##### Worked Example with Variation Problems

A large, open tank is filled to height  $h$  with liquid of density  $\rho$ . Find the speed of liquid emerging from a small hole at the base of the tank.

**INTERPRET** We're dealing with a flow of water, an incompressible liquid. So we can apply our problem-solving strategy for fluid dynamics.

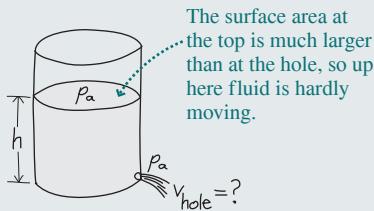
**DEVELOP** We take the tank to be a rather oddly shaped flow tube, and Fig. 15.14 is our sketch. We're interested in the water's velocity at the hole, so the hole is one of the points we'll use in the fluid equations. Since the hole is open to the atmosphere, the pressure at the hole is atmospheric pressure  $p_a$ . The top surface is also open to the

(continued)

#### APPLICATION An Airplane Speedometer

A car speedometer works by counting rotations of its wheels as they turn on the road. But airplanes can't do that; instead, they use Bernoulli's principle to measure airspeed—the plane's speed relative to the air. The device that accomplishes this is a *Pitot tube*, which samples the pressure of air moving past the plane, as well as the pressure of air that's been stopped relative to the plane. Bernoulli's equation relates the difference of the two pressures to the relative speed of the air and plane, providing the pilots with a direct indication of their airspeed. Knowing the wind speed—often substantial at aircraft altitudes—they lets the plane's computers determine the speed relative to the ground. The photo shows a pair of external Pitot tubes on an aircraft fuselage. You can explore the physics of the Pitot tube in Problem 68, where you'll also find a simplified diagram of the device.





**FIGURE 15.14** How fast does the liquid emerge from the tank?

atmosphere, so here the pressure is also  $p_a$ . Now, because the hole is very small in relation to the tank, the water level drops only slowly. Therefore, we can make the approximation  $v = 0$  at the top—and thus we know both  $p$  and  $v$  at the top. Although we didn't write a formal equation here, that approximation follows from the continuity equation because the ratio of hole to top surface area is so small. We also need the potential-energy terms in Bernoulli's equation. If we take  $y = 0$  at the hole, then those terms are zero at the hole and  $\rho gh$  at the top. Then Bernoulli's equation,  $p + \frac{1}{2}\rho v^2 + \rho gy = \text{constant}$ , becomes

$$p_a + \rho gh = p_a + \frac{1}{2}\rho v_{\text{hole}}^2$$

where the terms on the left are at the top surface and those on the right are at the hole. We've taken care of the continuity equation through our assumption of negligible flow speed at the top.

**EVALUATE** Atmospheric pressure cancels, and we solve for the unknown flow velocity at the hole:

$$v_{\text{hole}} = \sqrt{2gh}$$

**ASSESS** This is the same result we would get by dropping an object from a height  $h$ —and for the same reason: conservation of energy. Draining a gram of water from the hole is energetically equivalent to removing a gram of water from the top and dropping it. Just as the speed of a falling object is independent of its mass, so the speed of the liquid is independent of its density. As the liquid drains, however, the height decreases and so does the flow rate. That's a calculus challenge you can try in Problem 71.



**REASONABLE APPROXIMATIONS** Making reasonable approximations is often important in solving realistic problems. Look for opportunities to approximate a physical quantity, especially when other terms appear more significant. But always be sure that your approximations are reasonable.

In this example, we reasoned that the fluid's speed at the top of the tank was negligible because it's proportional to the ratio of the hole area to the top surface area, a very small value.

## Venturi Flows and the Bernoulli Effect

A constriction in a pipe carrying incompressible fluid requires that the flow speed increase in order to maintain constant mass flow. Such a constriction is a **venturi**. Because of the increased speed, Bernoulli's equation requires the pressure to be lower in the venturi. The next example shows how this effect provides a measure of fluid flow.

### EXAMPLE 15.7 Measuring Flow Speed: A Venturi Flowmeter

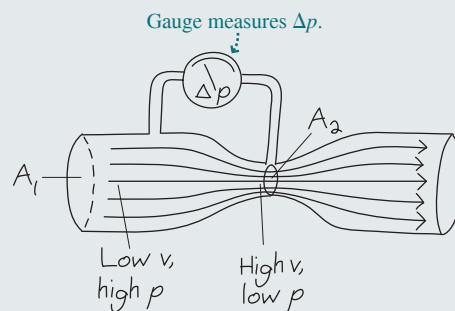
An incompressible fluid of density  $\rho$  flows through a horizontal pipe of cross-sectional area  $A_1$ . The pipe has a venturi constriction of area  $A_2$ , and a gauge measures the pressure difference  $\Delta p$  between the unstricted pipe and the venturi. Find an expression for the flow speed in the unstricted pipe.

**INTERPRET** This is a problem about incompressible fluid flow, so our strategy applies.

**DEVELOP** For a flow tube, we choose a section of pipe that includes the venturi. Figure 15.15 is a sketch showing some streamlines through this tube. We're interested in the flow velocity in the unstricted pipe, so any point outside the venturi will do. The other point should be in the venturi. The continuity equation then reads  $v_1 A_1 = v_2 A_2$ , where the subscript 1 refers to the unstricted pipe and 2 to the venturi. The pipe is horizontal, so the potential-energy term  $\rho gh$  in Bernoulli's equation is the same on both sides, and it drops out. Bernoulli's equation then reads

$$p_1 + \frac{1}{2}\rho v_1^2 = p_2 + \frac{1}{2}\rho v_2^2$$

**EVALUATE** We can eliminate the velocity  $v_2$  by solving the continuity equation:  $v_2 = (A_1/A_2)v_1 = bv_1$ , where we defined  $b$  as



**FIGURE 15.15** Our sketch of a venturi flowmeter.

the ratio of the larger to smaller area:  $b = A_1/A_2$ . Using this result in Bernoulli's equation gives  $p_1 + \frac{1}{2}\rho v_1^2 = p_2 + \frac{1}{2}\rho b^2 v_1^2$ . In terms of the pressure difference  $\Delta p = p_1 - p_2$ , this becomes  $\Delta p = \frac{1}{2}\rho b^2 v_1^2 - \frac{1}{2}\rho v_1^2 = \frac{1}{2}\rho v_1^2(b^2 - 1)$ . We then solve for  $v_1$  to get our answer:

$$v_1 = \sqrt{\frac{2 \Delta p}{\rho(b^2 - 1)}}$$

**ASSESS** Make sense? The pressure difference results from the change in speed; no flow, no pressure difference. So it's reasonable that  $v$  increases with  $\Delta p$ . But a given pressure difference  $\Delta p$  is easier to get with a larger area ratio  $b$ , so flow speed depends inversely on  $b$ .

Finally, the greater inertia of a denser fluid means a given pressure difference produces less acceleration, implying a lower initial speed; that's why  $\rho$  appears in the denominator.

The occurrence of lower pressure with higher flow speeds, and vice versa—the **Bernoulli effect**—has numerous manifestations. The dirt around a prairie dog's hole is mounded up in a way that forces wind to accelerate over the hole, resulting in lower pressure above the hole. Biologists speculate that prairie dogs have evolved this design to provide natural ventilation (Figure 15.16). The Bernoulli effect is often invoked to explain a number of striking and counterintuitive demonstrations involving fluid flow. Because Bernoulli's principle is based in the fundamental physics of energy conservation, there's often a grain of truth in these Bernoulli-based explanations. But other fluid phenomena, including interaction of fluid flows with curved surfaces, are also involved. Ultimately, all phenomena involving forces on objects in fluid flows are manifestations of Newton's third law—a point we'll make explicit in the next section.

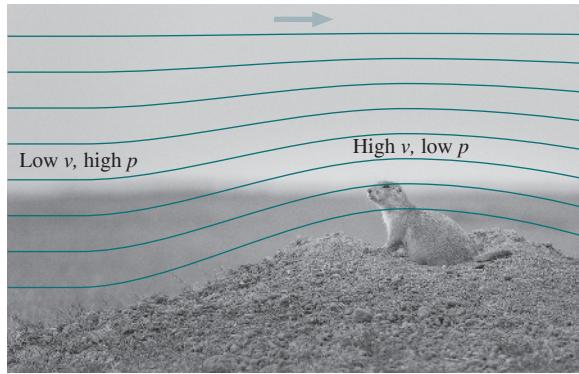
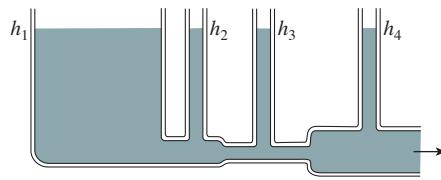


FIGURE 15.16 Streamlines show higher wind speed and therefore lower pressure over a prairie dog burrow. Might this be a way of providing natural ventilation for the burrow?

### GOT IT?

**15.5** A large tank is filled with liquid to the level  $h_1$  shown in the figure. It drains through a small pipe whose diameter varies; emerging from each section of pipe are vertical tubes open to the atmosphere. Although the picture shows the same liquid level in each pipe, they really won't be the same. Rank levels  $h_1$  through  $h_4$  in order from highest to lowest.



## Flight and Lift

Airplanes, helicopters, and birds fly using forces resulting from their dynamic interaction with the air. Hydrofoil boats, water skis, and sailboards have analogous interactions with water. Projectiles such as baseballs, though not supported by the air, have their trajectories substantially modified by aerodynamic forces.

One of the simplest examples of aerodynamic **lift** is the helicopter (Fig. 15.17). Its whirling blades are shaped and tilted so they force air downward as they move, just like a

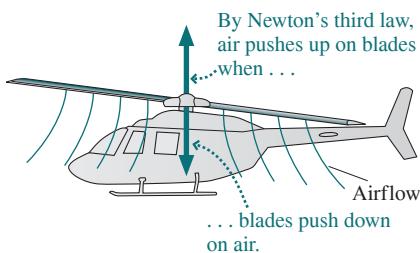


FIGURE 15.17 Newton's third law explains the helicopter's flight.

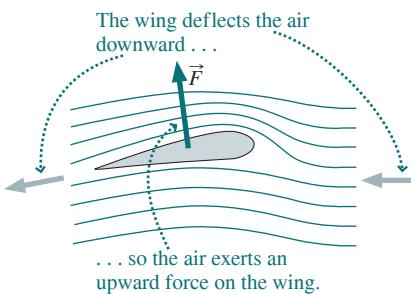


FIGURE 15.18 Flow past a wing.

giant fan. By Newton's third law, the air exerts an upward force on the blades, ultimately supporting the helicopter. An airplane wing works in the same way, except that it moves forward in a straight line instead of describing a circle. Wings are shaped to maximize the downward deflection of the air even with the wing horizontal, but in principle even a flat board would function as a wing if it were tilted to the oncoming air. Figure 15.18 shows the airflow around a wing. Note how the flow, initially horizontal, leaves the wing moving downward—a clear indication that the wing has exerted a downward force on the air. The third law requires a corresponding upward force, and that's what supports the plane.

Baseball's "curve ball" provides another example of aerodynamic lift. Figure 15.19a is a top view of the airflow around a baseball that's not spinning; the flow is symmetric and the air isn't deflected. But if the ball spins as shown in Fig. 15.19b, air is dragged around the ball and deflected. A corresponding third-law force then acts on the ball, curving its path.

Bernoulli's equation is frequently invoked to explain lift forces. It's true, as Figs. 15.18 and 15.19b suggest, that flow speeds are higher, and therefore—according to Bernoulli's equation—pressures are lower on top of a wing or on one side of a spinning ball. Forces associated with that pressure difference provide the lift, so Bernoulli can help explain what's going on. But those pressure differences are manifestations of a simpler underlying phenomenon—namely, the paired forces of Newton's third law.

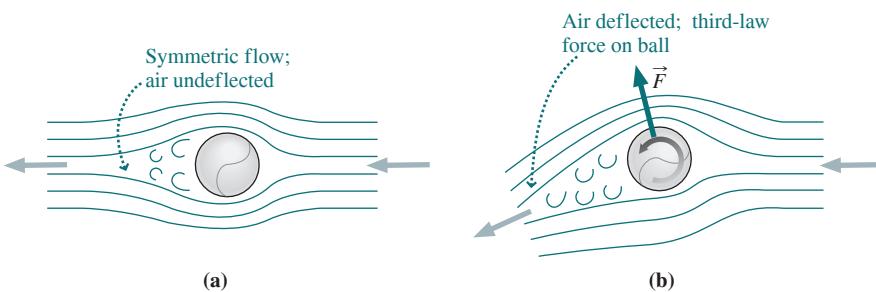


FIGURE 15.19 Top views of airflow around a baseball: (a) no spin; (b) spinning, resulting in a curve ball.

### APPLICATION

### Wind Energy

Wind turbines extract kinetic energy from moving air. In a wind with speed  $v$ , Bernoulli's equation shows that the air has kinetic-energy density  $\frac{1}{2}\rho v^2$ . A chunk of air that passes through a wind turbine in time  $\Delta t$  has length  $v \Delta t$  and volume  $vA \Delta t$ , where  $A$  is the area swept out by the blades. The kinetic energy in this volume is the energy density times the volume:  $\Delta K = (\frac{1}{2}\rho v^2)(vA \Delta t) = \frac{1}{2}\rho v^3 A \Delta t$ . Dividing by  $A \Delta t$  gives the energy per time per unit area—that is, the power per unit area available from the wind:

$$\text{wind power per unit area} = \frac{1}{2}\rho v^3$$

Unfortunately, we can't extract *all* this energy because then the air would come to a complete stop behind the turbine, halting the flow. A careful analysis shows that the maximum rate for wind-energy extraction is  $\frac{8}{27}\rho v^3$ , about 59% of the wind's energy. Given air's density of  $1.2 \text{ kg/m}^3$ , this means a 10-m/s wind amounts to some  $350 \text{ W/m}^2$ . The factor  $v^3$  shows that the available power increases rapidly at higher speeds. The best practical wind turbines can achieve about 80% of the theoretical maximum. Wind is the fastest-growing component of the world's energy supply, and in some European countries it provides as much as 20% of the electrical energy.

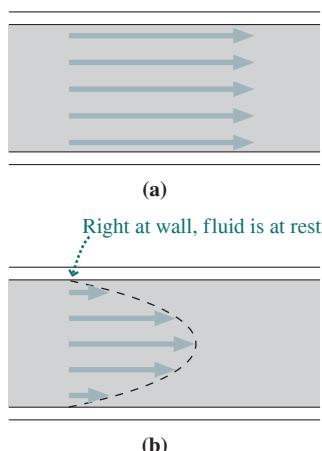


## 15.6 Viscosity and Turbulence

### LO 15.6 Qualitatively describe the roles of viscosity and turbulence.

Moving fluid interacts with the surfaces it contacts, resulting in a kind of fluid friction called **viscosity**. Viscosity also results from the transfer of momentum among adjacent layers within a fluid. Viscosity is especially important right near fluid boundaries because viscous forces bring the fluid to a complete stop at the boundary (Fig. 15.20). This boundary effect produces drag forces on objects moving through fluids—but it's the same drag at the surfaces of airplane and ship propellers that exerts a force on the fluid. Without viscosity, propellers would spin uselessly and planes and ships would go nowhere.

Viscosity depends on fluid properties and dimensions. Honey is more viscous than water, but at the tiny scales of a human capillary or a bacterium wiggling its flagella for propulsion, water too can be extremely viscous. Viscosity is also important in stabilizing flows that would otherwise become **turbulent**, or chaotically unsteady. Turbulence results from the growth of waves that gain energy at the expense of the flow, turning a smooth flow into a chaotic mess (Fig. 15.21). Turbulence is still not fully understood and presents ongoing challenges to scientists and engineers.



**FIGURE 15.20** Velocity profiles in flows that are (a) inviscid (without viscosity) and (b) viscous.



**FIGURE 15.21** Smooth flow becomes turbulent, shown here in a column of rising smoke.

## Chapter 15 Summary

### Big Idea

**Fluid** is matter that readily deforms and flows under the influence of forces. Pressure, density, and flow velocity characterize fluids. Liquids and slowly moving gases are **incompressible**, meaning their density is essentially constant. A fluid that isn't moving is in **hydrostatic equilibrium**. In the presence of gravity, equilibrium requires that fluid pressure increase with depth.

A solid maintains its shape.

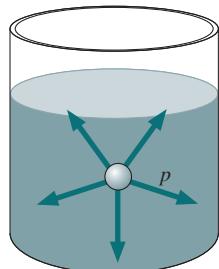
Increasing  $P$   
A liquid takes the shape of its container.

A gas fills a closed container.

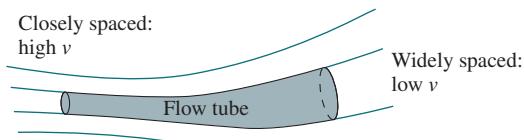
(continued)

## Key Concepts and Equations

**Pressure** is the force per unit area:  $p = F/A$ . The pressure in a fluid exerts itself equally in all directions.



Streamlines represent a moving fluid.



The **continuity equation** describes the conservation of mass along a flow tube:

$$\rho v A = \text{constant} \quad (\text{any fluid})$$

$$v A = \text{constant} \quad (\text{incompressible fluid})$$

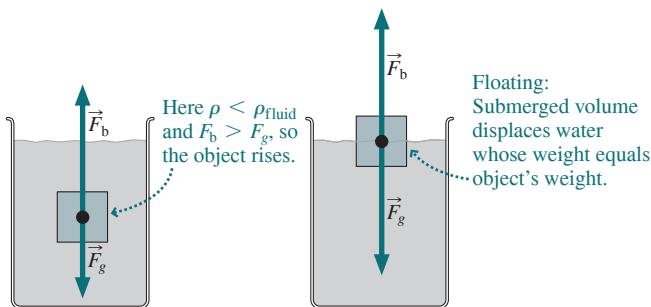
**Bernoulli's equation** describes the conservation of energy:

$$p + \frac{1}{2}\rho v^2 + \rho g y = \text{constant} \quad (\text{incompressible fluid, neglecting viscosity})$$

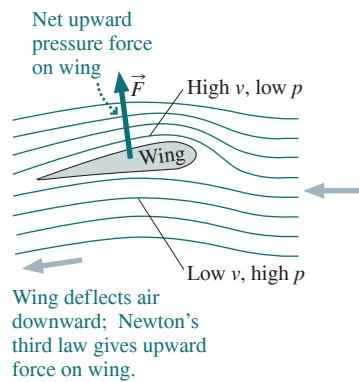
**Viscosity**, or fluid friction, is especially important when fluids interact with solid objects.

## Applications

**Archimedes' principle** states that the **buoyancy force**  $\vec{F}_b$  due to pressure on an object has the same magnitude as the weight of the displaced fluid. For an object less dense than a fluid, the buoyancy force exceeds gravity and the object floats; otherwise, it sinks or is in neutral buoyancy.



**Bernoulli's principle** helps explain lift forces, although ultimately these are based in Newton's third law.



## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems

**Learning Outcomes** After finishing this chapter you should be able to:

LO 15.1 Characterize fluids by density and pressure.

*For Thought and Discussion Questions 15.1, 15.2; Exercises 15.11, 15.12, 15.13, 15.14, 15.15, 15.16, 15.21; Problems 15.40, 15.41, 15.42, 15.67, 15.77*

LO 15.2 Describe how fluid pressure varies in hydrostatic equilibrium.

*For Thought and Discussion Questions 15.3, 15.6, 15.7; Exercises 15.17, 15.18, 15.19, 15.20, 15.22; Problems 15.43, 15.44, 15.45, 15.46, 15.47, 15.63, 15.64, 15.65, 15.72, 15.73, 15.74, 15.75, 15.76, 15.78*

LO 15.3 Use Archimedes' principle as it applies to floating and submerged objects.

*For Thought and Discussion Questions 15.4, 15.5, 15.8, 15.9; Exercises 15.23, 15.24, 15.25, 15.26; Problems 15.48, 15.49, 15.50, 15.51, 15.52, 15.53, 15.54, 15.60, 15.70, 15.79*

LO 15.4 Apply conservation of mass and energy in fluid dynamics.

*Exercises 15.27, 15.28, 15.29, 15.30, 15.31; Problem 15.66*

LO 15.5 Apply Bernoulli's principle to applications in fluid dynamics.

*For Thought and Discussion Question 15.10; Problems 15.55, 15.56, 15.57, 15.58, 15.59, 15.61, 15.62, 15.68, 15.69, 15.71*

LO 15.6 Qualitatively describe the roles of viscosity and turbulence.

## For Thought and Discussion

- Why do your ears “pop” when you drive up a mountain?
- Water pressure at the bottom of the ocean arises from the weight of the overlying water. Does this mean that the water exerts pressure only in the downward direction? Explain.
- The three containers in Fig. 15.22 are filled to the same level and are open to the atmosphere. How do the pressures at the bottoms of the three containers compare?

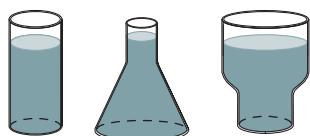


FIGURE 15.22 For Thought and Discussion 3.

- Why is it easier to float in the ocean than in fresh water?
- Figure 15.23 shows a cork suspended from the bottom of a sealed container of water. The container is on a turntable rotating about a vertical axis, as shown. Explain the position of the cork.

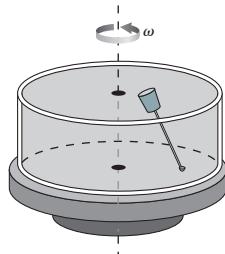


FIGURE 15.23 For Thought and Discussion 5.

- Why are dams thicker at the bottom than at the top?
- It's not possible to breathe through a snorkel from a depth greater than a meter or so. Why not?
- A helium-filled balloon stops rising long before it reaches the “top” of the atmosphere, but a cork released from the bottom of a lake rises all the way to the surface. Why the difference?
- A barge filled with steel beams overturns in a lake, spilling its cargo. Does the water level in the lake rise, fall, or remain the same?
- Why do airplanes take off into the wind?

## Exercises and Problems

### Exercises

#### Section 15.1 Density and Pressure

- The density of molasses is  $1600 \text{ kg/m}^3$ . Find the mass of the molasses in a 0.75-L jar.
- Atomic nuclei have densities around  $10^{17} \text{ kg/m}^3$ , while water’s density is  $10^3 \text{ kg/m}^3$ . Roughly what fraction of water’s volume is *not* empty space?
- Compressed air with mass 8.8 kg is stored in a  $0.050\text{-m}^3$  cylinder. (a) What’s the density of the compressed air? (b) What volume would the same gas occupy at a typical atmospheric density of  $1.2 \text{ kg/m}^3$ ?
- What’s the weight of a column of air with cross-sectional area  $1 \text{ m}^2$  extending from Earth’s surface to the top of the atmosphere?

- The *diamond anvil* is used by scientists and engineers to study matter under extreme pressures, simulating conditions such as those found at the centers of planets. A typical anvil consists of two diamonds with parallel faces measuring some  $200 \mu\text{m}$  in diameter. The sample under study is placed between the diamonds, and a force is applied to the diamonds. Estimate the pressure that results when the force on the diamonds is 6 kN.
- You unbend a paper clip made from 1.5-mm-diameter wire and push the end against the wall. What force must you apply to give a pressure of 120 atm?

#### Section 15.2 Hydrostatic Equilibrium

- What’s the density of a fluid whose pressure increases at the rate of 100 kPa for every 6.0 m of depth?
- A research submarine can withstand an external pressure of 62 MPa when its internal pressure is 101 kPa. How deep can it dive?
- Scuba equipment provides a diver with air at the same pressure as **BIO** the surrounding water. But at pressures higher than about 1 MPa, the nitrogen in air becomes dangerously narcotic. At what depth does nitrogen narcosis become a hazard?
- A vertical tube open at the top contains 5.0 cm of oil with density  $0.82 \text{ g/cm}^3$ , floating on 5.0 cm of water. Find the gauge pressure at the bottom of the tube.
- A child attempts to drink water through a 36-cm-long straw but finds that the water rises only 25 cm. By how much has the child reduced the pressure in her mouth below atmospheric pressure?
- Barometric pressure in the eye of a hurricane is 0.91 atm (27.2 in. of mercury). How does the level of the ocean surface under the eye compare with the level under a distant fair-weather region where the pressure is 1.0 atm?

#### Section 15.3 Archimedes’ Principle and Buoyancy

- On land, the most massive concrete block you can carry is 25 kg. Given concrete’s  $2200 \text{ kg/m}^3$  density, how massive a block could you carry underwater?
- A 5.4-g jewel has apparent weight 32 mN when submerged in water. Could the jewel be a diamond (density  $3.51 \text{ g/cm}^3$ )?
- Styrofoam’s density is  $160 \text{ kg/m}^3$ . What percent error is introduced by weighing a Styrofoam block in air (density  $1.2 \text{ kg/m}^3$ ), which exerts an upward buoyancy force, rather than in vacuum?
- A steel drum has volume  $0.23 \text{ m}^3$  and mass 16 kg. Will it float in water when filled with (a) water or (b) gasoline (density  $860 \text{ kg/m}^3$ )?

#### Sections 15.4 and 15.5 Fluid Dynamics and Applications

- Water flows through a 2.5-cm-diameter pipe at 1.8 m/s. If the pipe narrows to 2.0-cm diameter, what’s the flow speed in the constriction?
- Show that pressure has the units of energy density.
- A typical mass flow rate for the Mississippi River is  $1.8 \times 10^7 \text{ kg/s}$ . Find (a) the volume flow rate and (b) the flow speed in a region where the river is 2.0 km wide and averages 6.1 m deep.
- A fire hose 10 cm in diameter delivers water at 15 kg/s. The hose terminates in a 2.5-cm-diameter nozzle. What are the flow speeds (a) in the hose and (b) at the nozzle?
- A typical human aorta, the main artery from the heart, is 1.8 cm in diameter and carries blood at 35 cm/s. Find the flow speed around a clot that reduces the flow area by 80%.

#### Example Variations

*The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you’ve seen before.*

The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

32. **Example 15.4:** An iceberg derived from a Greenland glacier contains gravel entrained in the ice as it moved across the land before calving into the sea. The entrained gravel increases the iceberg's density to  $952 \text{ kg/m}^3$ . What fraction of the iceberg's volume is submerged when it's floating in ocean water with density  $1030 \text{ kg/m}^3$ ?
33. **Example 15.4:** An iceberg has mass 138,000 tonnes (1 tonne =  $1000 \text{ kg}$ ) and is composed of pure ice with density  $917 \text{ kg/m}^3$  and entrained gravel from rock with density  $2750 \text{ kg/m}^3$ . If 95.5% of the iceberg's volume is submerged, how much of its mass is ice and how much is rock? Take the density of seawater to be  $1030 \text{ kg/m}^3$ .
34. **Example 15.4:** The Michelson–Morley experiment (see Chapter 33) was a very precise optical experiment that helped pave the way for Einstein's theory of relativity. To isolate it from external vibrations, the entire experiment was mounted on a square slab of sandstone  $0.30 \text{ m}$  thick and  $1.5 \text{ m}$  on a side, with a mass of 1.7 tonnes (1700 kg). The slab, in turn, floated in a trough of liquid mercury (density  $13.69 \text{ tonnes/m}^3$ ). What percentage of the slab's volume was below the surface of the mercury?
35. **Example 15.4:** Lakes on Saturn's moon Titan are filled with liquid hydrocarbons—largely methane but also some ethane. Suppose scientists want to send a probe to explore Titan's largest lake (which is about the size of Earth's Lake Ontario). The probe is to be a cylinder  $56.3 \text{ cm}$  in diameter, with a mass of 135 kg, and it's supposed to float with the long dimension vertical, half submerged and half above the lake surface. If the liquid in the lake has density  $482 \text{ kg/m}^3$ , what should be the probe's length?
36. **Example 15.6:** A large cylindrical tank is filled with water to a depth of  $2.68 \text{ m}$ . There's a small-diameter pipe emerging from the bottom of the tank, with a valve. If you open the valve, at what speed will water flow from the tank?
37. **Example 15.6:** You'd like to determine the depth of water in a large tank, but you don't have any way to measure it directly. However, there's a small-diameter pipe emerging from the bottom of the tank, and when you open the valve on this pipe, you observe water spraying out at  $5.46 \text{ m/s}$ . What's the depth of the water in the tank?
38. **Example 15.6:** A sealed tank holds water to a depth of  $2.68 \text{ m}$ . Above the water is air, pressurized to  $186 \text{ kPa}$ . If you open a small hole in the bottom of the tank, exposing the water at the bottom to standard atmospheric pressure, at what speed will the water initially emerge?
39. **Example 15.6:** A fire extinguisher consists of a water-filled sealed canister with a hose whose diameter is much smaller than that of the canister. What should be the pressure of the water if it's to emerge initially from the hose at  $18.8 \text{ m/s}$ ? Assume normal atmospheric pressure at the hose nozzle, and neglect pressure variation with height inside the container.

### Problems

40. When a couple with total mass 120 kg lies on a water bed, pressure in the bed increases by  $4700 \text{ Pa}$ . What surface area of the two bodies is in contact with the bed?
41. A fully loaded Volvo station wagon has mass 1950 kg. If each of its four tires is inflated to a gauge pressure of  $230 \text{ kPa}$ , what's the total tire area in contact with the road?
42. You're stuck in the exit row on a long flight, and you suddenly worry that your seatmate, who's next to the window, might pull the emergency window inward while you're in flight. The window measures  $40 \text{ cm}$  by  $55 \text{ cm}$ . Cabin pressure is  $0.77 \text{ atm}$ , and atmospheric pressure at the plane's altitude is  $0.22 \text{ atm}$ . Should you worry?
43. A vertical tube  $1.0 \text{ cm}$  in diameter and open at the top contains  $5.0 \text{ g}$  of oil (density  $0.82 \text{ g/cm}^3$ ) floating on  $5.0 \text{ g}$  of water. Find the gauge pressure (a) at the oil–water interface and (b) at the bottom.
44. Dam breaks present a serious risk of widespread property damage and loss of life. You're asked to assess a  $1500\text{-m}$ -wide dam holding back a lake  $95 \text{ m}$  deep. The dam was built to withstand a force of  $100 \text{ GN}$ , which is supposed to be at least 50% over the force it actually experiences. Should the dam be reinforced? (Hint: You'll need your calculus skills.)
45. A U-shaped tube open at both ends contains water and a quantity of oil occupying a  $2.0\text{-cm}$  length of the tube, as shown in Fig. 15.24. If the oil's density is 82% of water's, what's the height difference  $h$ ?
46. You're a robotics engineer designing a hydraulic system to move a robotic arm. The hydraulic cylinder that drives the arm has diameter  $5.0 \text{ cm}$  and can exert a maximum force of  $5.6 \text{ kN}$ . Hydraulic tubing comes rated in multiples of  $1/2 \text{ MPa}$ , and for safety, you're to specify tubing capable of withstanding 50% greater pressure than it will ever experience in use. What pressure rating do you specify?
47. Hydraulic brake systems are increasingly common on bicycles, especially those using disc brakes. The Magura MT4 brake system, widely used on mountain bikes, has a small piston and cylinder of diameter  $1.04 \text{ cm}$  connected to the brake actuation lever on the handlebars, and a larger  $2.10\text{-cm}$ -diameter piston/cylinder that pushes the brake pads against the rotating brake disc. (a) What force must be applied to the smaller piston to produce a force of  $3.25 \text{ kN}$  on the larger piston? (b) If the smaller piston moves a maximum of  $8.80 \text{ mm}$ , what's the corresponding motion of the larger piston? (c) How much work is done on each piston as they undergo the motions of part (b)?
48. Archimedes purportedly used his principle to verify that the king's crown was pure gold by weighing the crown submerged in water. Suppose the crown's actual weight was  $25.0 \text{ N}$ . What would be its apparent weight if it were made of (a) pure gold and (b) 75% gold and 25% silver, by volume? The densities of gold, silver, and water are  $19.3 \text{ g/cm}^3$ ,  $10.5 \text{ g/cm}^3$ , and  $1.00 \text{ g/cm}^3$ , respectively.
49. You're testifying in a drunk-driving case for which a blood alcohol measurement is unavailable. The accused weighs 140 lb, and would be legally impaired after consuming 36 oz of beer. The accused was observed at a beach party where a keg with interior diameter  $40 \text{ cm}$  was floating in the lake to keep it cool. After the accused's drinking stint, the keg floated  $1.2 \text{ cm}$  higher than before. Beer's density is essentially that of water. Does your testimony help or hurt the accused's case?
50. A glass beaker measures  $14 \text{ cm}$  high by  $5.0 \text{ cm}$  in diameter. Empty, it floats in water with one-third of its height submerged. How many 12-g rocks can be placed in the beaker before it sinks?
51. A typical supertanker has mass  $2.0 \times 10^6 \text{ kg}$  and carries twice that much oil. If  $9.0 \text{ m}$  of the ship is submerged when it's empty, what's the minimum water depth needed for it to navigate when full? Assume the sides of the ship are vertical.
52. A balloon contains gas of density  $\rho_g$  and is to lift a mass  $M$ , including the balloon but not the gas. Show that the minimum mass of gas required is  $m_g = M\rho_g/(\rho_a - \rho_g)$ , where  $\rho_a$  is the atmospheric density.
53. (a) How much helium (density  $0.18 \text{ kg/m}^3$ ) is needed to lift a balloon carrying two people, if the total mass of people, basket, and balloon

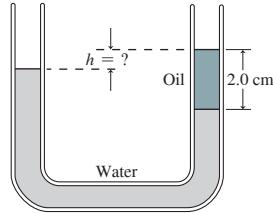


FIGURE 15.24 Problem 45

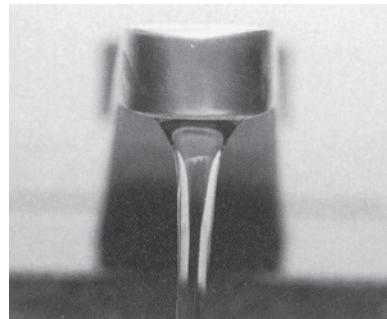
- (but not gas) is 280 kg? (b) Repeat for a hot-air balloon whose air density is 10% less than that of the surrounding atmosphere.
54. A newfangled swim float, the MaxRaft by WaterMat, measures  $1.8 \text{ m} \times 2.4 \text{ m}$  and is only 10 cm thick. Its mass is 20 kg. How many 50-kg children can the float accommodate before the water comes over its top surface? Assume the float stays perfectly level.
55. If the blood pressure in the unobstructed artery of Exercise 31 is 16 kPa gauge (about 120 mm of mercury, the unit commonly reported by doctors), what will it be at the clot? (Note: Blood's density is  $1.06 \text{ g/cm}^3$ .)
- BIO** 56. You're a consultant for maple syrup producers. They tap maple trees and collect sap with plastic tubing that connects to a common pipe delivering sap to an evaporator. There it's boiled to produce thick, tasty syrup. The system can be modeled as a pipe with one end, of cross-sectional area  $A$ , exposed to atmospheric pressure. The pipe drops through a vertical distance  $h_1$  while its area decreases to  $A/2$ , as shown in Fig. 15.25. A small vertical glass tube extends from the lower portion, as shown, and is open to atmospheric pressure. You're asked to provide a formula for the volume flow rate of the sap as a function of the height  $h_2$  of sap in the tube.
- FIGURE 15.25 Problem 56**
- 
57. The water in a garden hose is at 140-kPa gauge pressure and is moving at negligible speed. The hose terminates in a sprinkler consisting of many small holes. Find the maximum height reached by the water emerging from the holes.
58. The venturi flowmeter shown in Fig. 15.26 is used to measure the flow rate of water in a solar collector system. The flowmeter is inserted in a pipe with diameter 1.9 cm; at the venturi the diameter is 0.64 cm. The manometer tube contains oil with density 0.82 times that of water. If the difference in oil levels on the two sides of the manometer tube is 1.4 cm, what's the volume flow rate?
- FIGURE 15.26 Problem 58**
- 
59. A 1.0-cm-diameter venturi flowmeter is inserted in a 2.0-cm-diameter pipe carrying water (density  $1000 \text{ kg/m}^3$ ). Find (a) the flow speed in the pipe and (b) the volume flow rate if the pressure difference between venturi and unstricted pipe is 17 kPa.
60. A balloon's mass is 1.6 g when it's empty. It's inflated with helium (density  $0.18 \text{ kg/m}^3$ ) to form a sphere 28 cm in diameter. How many 0.63-g paper clips can you hang from the balloon before it loses buoyancy?
- BIO** 61. Blood with density  $1.06 \text{ g/cm}^3$  and 10-kPa gauge pressure flows through an artery at 30 cm/s. It encounters a plaque deposit where the pressure drops by 5%. What fraction of the artery's area is obstructed?
62. A venturi flowmeter in an oil pipeline has a radius half that of the pipe. Oil flows in the unstricted pipe at 1.9 m/s. If the pressure difference between unstricted flow and venturi is 16 kPa, what's the oil's density?
63. Homes in rural areas of the developed world generally get their water from wells. Two types of pumps are used to move water from the well to the house. For shallow wells, a pump mounted inside the home produces a partial vacuum that results in air pressure pushing water out of the well. Deeper wells, on the other hand, require submerged pumps, because there's a limit to

how high air pressure can lift the well water. Suppose a given shallow-well pump can produce a partial vacuum whose pressure is 33.3% of standard atmospheric pressure. What's the maximum well depth at which this pump will function?

64. In 2012, film producer James Cameron (*Terminator*, *Titanic*, *Avatar*) rode his submersible *Deepsea Challenger* to the bottom of the 11-km-deep Marianas Trench, the deepest spot in Earth's oceans. Cameron could barely fit into *Deepsea Challenger*'s crew compartment, a steel sphere with inside diameter 109 cm and walls 6.4 cm thick. Find the total pressure force exerted on the sphere at the bottom of the trench. (The total force is the sum of all pressure forces without regard to direction; it's not the same as the buoyancy force, which is the *net* pressure force—a vectorial sum.)
- DATA** 65. A probe descending through Mars' atmosphere records pressure as a function of altitude; the data are in the table below. Plot the natural logarithm of the pressure versus altitude and fit a line to your plotted points. Mars' atmospheric pressure is governed by the same equation that describes Earth's; see Problem 72. Use your fitted line, in connection with that equation, to determine (a) Mars' surface pressure and (b) the scale height  $h_0$ .

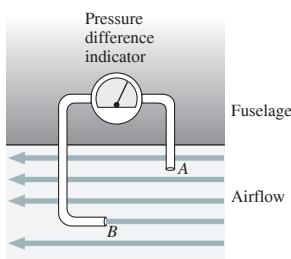
Altitude (km)	10	20	30	40	50	60
Pressure (Pa)	242	98.7	37.6	16.2	7.21	2.38

66. Water emerges from a faucet of diameter  $d_0$  in steady, near-vertical flow with speed  $v_0$ . Show that the diameter of the falling water column is given by  $d = d_0 [v_0^2 / (v_0^2 + 2gh)]^{1/4}$ , where  $h$  is the distance below the faucet (Fig. 15.27).



**FIGURE 15.27 Problem 66**

67. Assuming normal atmospheric pressure, how massive an object can a 5.0-cm-diameter suction cup support on a vertical wall, if the coefficient of friction between cup and wall is 0.72?
68. Figure 15.28 shows a simplified diagram of a Pitot tube, used for measuring aircraft speeds. The tube is mounted on the aircraft with opening  $A$  at right angles to the flow and opening  $B$  pointing into the flow. The gauge prevents airflow through the tube. Use Bernoulli's equation to show that the plane's speed relative to the air is  $v = \sqrt{2 \Delta p / \rho}$ , where  $\Delta p$  is the pressure difference between the tubes and  $\rho$  is the density of air. (Hint: The flow must be stopped at  $B$ , but continues past  $A$  with its normal speed.)
69. At a hearing on a proposed wind farm, a wind-energy advocate says an installation of 800 turbines, with blade diameter 95 m, could displace a 1-GW nuclear power plant. You're asked if that's really possible. How do you answer, given an average wind speed of 12 m/s and a turbine power output that averages 30% of the theoretical maximum?



**FIGURE 15.28 Problem 68**

70. A pencil is weighted so it floats vertically with length  $L$  submerged. It's pushed vertically downward without being totally submerged, then released. Show that it undergoes simple harmonic motion with period  $T = 2\pi\sqrt{L/g}$ .
71. A can of height  $h$  and cross-sectional area  $A_0$  is initially full of water. A small hole of area  $A_1 \ll A_0$  is cut in the bottom of the can. Find an expression for the time it takes all the water to drain from the can. (*Hint:* Call the water depth  $y$ , use the continuity equation, and integrate.)
72. In the approximation of constant atmospheric temperature, density and pressure in Earth's atmosphere are related by  $\rho = p/h_0 g$ , where  $h_0 = 8.2$  km is a constant called the *scale height* and  $g$  is the gravitational acceleration. (a) Integrate Equation 15.2 for this case to show that atmospheric pressure as a function of height  $h$  above the surface is given by  $p = p_0 e^{-h/h_0}$ , where  $p_0$  is the surface pressure. (b) At what height will the pressure have dropped to half its surface value?
73. (a) Use the result of Problem 72 to express Earth's atmospheric density as a function of height. (b) Use your result from (a) to find the height below which half of Earth's atmospheric mass lies (this will require integration).
74. A circular pan of liquid with density  $\rho$  is centered on a horizontal turntable rotating with angular speed  $\omega$ , as shown in Fig. 15.29. Atmospheric pressure is  $p_a$ . Find expressions for (a) the pressure at the bottom of the pan and (b) the height of the liquid surface, both as functions of the distance  $r$  from the axis, given that the height at the center is  $h_0$ .
75. Find the torque that the water exerts about the bottom edge of the dam in Problem 44.
76. One vertical wall of a swimming pool is a regular trapezoid, with its bottom 15 m long and its top 22 m long. The pool is 3.3 m deep, and it's full to the brim with water. Find the pressure force the water exerts on this side of the pool.
77. You're a private investigator assisting a large food manufacturer in tracking down counterfeit salad dressing. The genuine dressing is by volume one part vinegar (density  $1.0 \text{ g/cm}^3$ ) to three parts olive oil (density  $0.92 \text{ g/cm}^3$ ). The counterfeit dressing is diluted with water (density  $1.0 \text{ g/cm}^3$ ). You measure the density of a dressing sample and find it to be  $0.97 \text{ g/cm}^3$ . Has the dressing been altered?
78. A plumber comes to your ancient apartment building where you have a part-time job as caretaker. He's checking the hot-water heating system, and notes that the water pressure in the basement is 18 psi. He asks, "How high is the building?" "Three stories, each about 11 feet," you reply. "OK, about 33 feet," he says, pausing to do some calculations in his head. "The pressure is fine," he declares. On what basis did he come to that conclusion?
79. Your class in naval architecture is working on the design for a ship with a V-shaped cross section, as shown in Fig. 15.30. The ship has total length  $L$  and keel-to-deck height  $h_0$ . When empty, the distance from waterline to

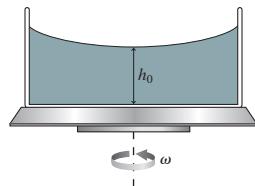


FIGURE 15.29 Problem 74

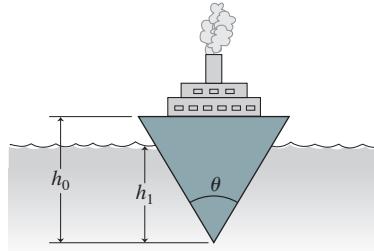


FIGURE 15.30 Problem 79

keel is  $h_1$ . You're asked for the maximum load the ship can carry below deck if water is not to come over the deck. Answer in terms of  $h_0$ ,  $h_1$ ,  $L$ ,  $\theta$ , and the water density  $\rho$ .

### Passage Problems

*Arterial stenosis* is a constriction of an artery, often due to plaque buildup on the artery's inner walls. Serious medical conditions can result, depending on the affected artery. Stenosis of the carotid arteries that supply blood to the brain is a leading cause of stroke, while stenosis of the renal arteries can lead to kidney failure. Pulmonary artery stenosis results from birth defects, and can result in insufficient oxygen supply. Because the heart has to work harder to get blood through a constricted artery, stenosis can contribute to high blood pressure.

In answering the questions below, assume steady flow (which is true in arteries only on short timescales).

80. How does the volume flow rate of blood at a stenosis compare with the rate in the surrounding artery?
  - a. lower
  - b. the same
  - c. higher
81. How does the blood flow speed at a stenosis compare with the speed in the surrounding artery?
  - a. lower
  - b. the same
  - c. higher
82. Which of the following medical problems is more likely to occur?
  - a. An artery might collapse because of lower blood pressure at the stenosis.
  - b. An artery might burst because of higher blood pressure at the stenosis.
  - c. Neither; pressure at the stenosis is the same as in the surrounding artery.
83. If the artery has circular cross section even at the stenosis, but the diameter at the stenosis is half that in the surrounding artery, the blood flow speed in the stenosis will be
  - a. one-fourth that in the surrounding artery.
  - b. one-half that in the surrounding artery.
  - c. the same as in the surrounding artery.
  - d.  $\sqrt{2}$  times that in the surrounding artery.
  - e. four times that in the surrounding artery.

### Answers to Chapter Questions

#### Answer to Chapter Opening Question

Because the density of ice is only slightly less than that of water.

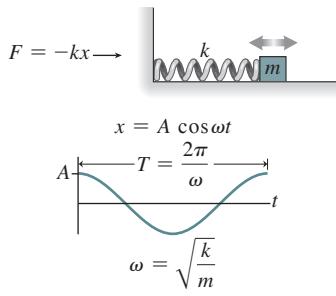
#### Answers to GOT IT? Questions

- 15.1 (c)
- 15.2 (c)  $\vec{F}$  moves the small piston a lot farther than the upward pressure force moves the large piston; the products of force and displacement are the same for both pistons, so the work done is the same.
- 15.3 (a)
- 15.4 (a) over the top where the streamlines are closer together
- 15.5  $h_1 > h_4 > h_2 > h_3$  reflecting higher pressure with lower flow speed

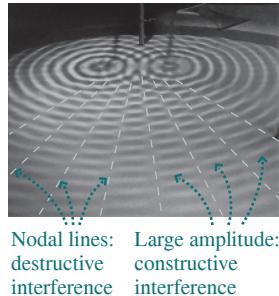
Part Two has extended Newtonian mechanics to systems that undergo oscillatory motion and wave motion or that involve the motion of

**Oscillatory motion** describes the back-and-forth motion of a system disturbed from a stable equilibrium.

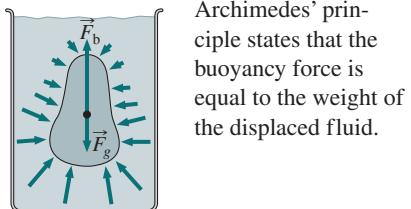
When the force or torque tending to restore equilibrium is directly proportional to the displacement, the result is simple harmonic motion.



When waves overlap, the result is **interference**, which is constructive when the waves reinforce and destructive when they tend to cancel.



Fluids in **hydrostatic equilibrium** exhibit a depth-dependent pressure that results in an upward buoyancy force  $\vec{F}_b$ .

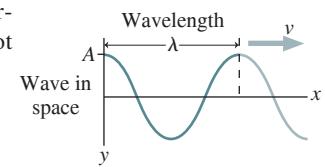


## Oscillations, Waves, and Fluids

fluids. Behind these more complex motions are the fundamental concepts of force, mass, and energy and their roles in characterizing motion.

A **wave** is a propagating disturbance that carries energy but not matter.

Simple harmonic waves are sinusoidal:



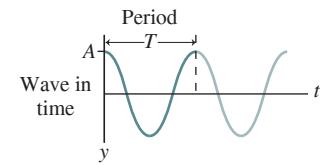
$$y(x, t) = A \cos(kx - \omega t)$$

Angular frequency:  $\omega = 2\pi f$

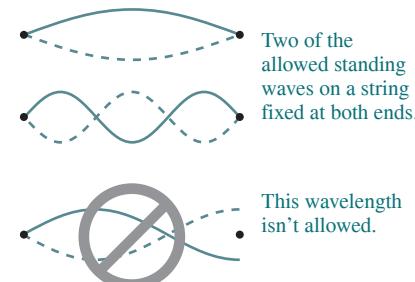
$$\text{Wave number: } k = \frac{2\pi}{\lambda}$$

$$\text{Wave period: } T = \frac{1}{f}$$

$$\text{Wave speed: } v = \frac{\omega}{k} = \frac{\lambda}{T} = f\lambda$$



**Standing waves** occur when the medium has limited extent. Only certain wavelengths and frequencies are allowed, depending on the medium's length:



Moving fluids obey conservation of mass and, in the absence of fluid friction (viscosity), they also conserve energy.

In **fluid dynamics**, the continuity equation and Bernoulli's equation express these conservation laws. Both equations hold along a flow tube:

Continuity:  $\rho v A = \text{constant}$

Bernoulli:

$$p + \frac{1}{2}\rho v^2 + \rho gy = \text{constant}$$

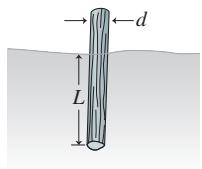
Closely spaced:  
high  $v$

Flow tube

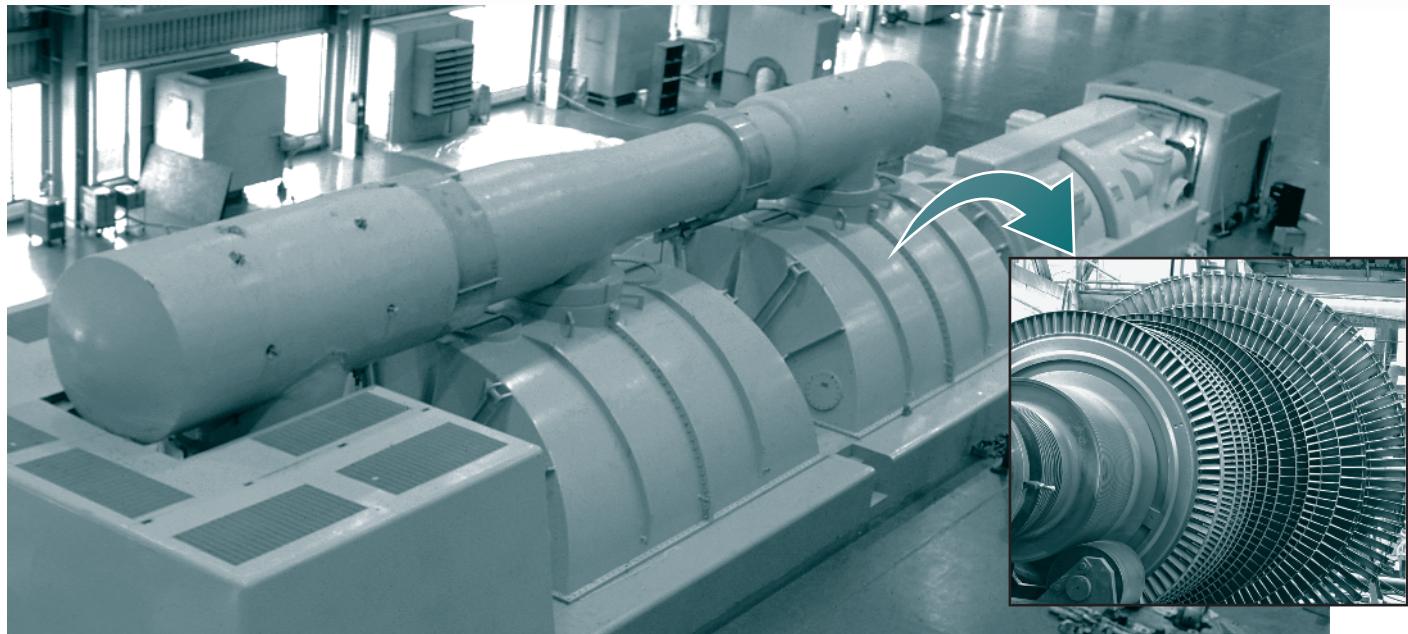
Widely spaced:  
low  $v$

### Part Two Challenge Problem

A cylindrical log of total mass  $M$  and uniform diameter  $d$  has an uneven mass distribution that causes it to float in a vertical position, as shown in the figure. (a) Find an expression for the length  $L$  of the submerged portion of the log when it's floating in equilibrium, in terms of  $M$ ,  $d$ , and the water density  $\rho$ . (b) If the log is displaced vertically from its equilibrium position and released, it will undergo simple harmonic motion. Find an expression for the period of this motion, neglecting viscosity and other frictional effects.



# Thermodynamics



This huge steam turbine converts the energy of high-pressure steam to mechanical energy and then, via the generator at the right end of the system, to electricity. The inset shows the turbine blades that spin when struck by high-pressure steam. Systems like this one produce nearly all the world's electrical energy, and their operation and efficiency are governed by the laws of thermodynamics.

## OVERVIEW

**H**umanity consumes energy at the prodigious rate of some  $2 \times 10^{13}$  watts. Nearly all that energy comes from the combustion of fossil fuels—a process governed by the laws of thermodynamics. Engines that extract mechanical energy from burning fuels propel our cars, trucks, and airplanes, and produce most of our electricity. Despite the efforts of the cleverest engineers, the laws of thermodynamics set fundamental limitations on our ability to convert thermal energy to mechanical energy. Many of the energy and environmental challenges humanity faces today are grounded in thermodynamics.

Many natural systems are also thermodynamic. Without the Sun's energy, radiated across a hundred

million miles of empty space, Earth would be a lifeless, frozen rock. Heat flowing throughout Earth, its oceans, and its atmosphere governs processes ranging from continental drift to ocean currents to weather and climate. Concern over human-induced climate change is rooted in thermodynamic properties of the atmosphere as they affect energy flows. On a grander scale, thermodynamic principles govern much of the energy that flows throughout the universe.

Thermodynamics—the study of heat and its connection to the all-important concept of energy—is the subject of the next four chapters.

# Temperature and Heat

## Skills & Knowledge You'll Need

- The concept of energy (Chapters 6 and 7)
- The distinction between energy and power (Section 6.5)

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 16.1** Define heat, temperature, and thermodynamic equilibrium, and convert between temperature scales.
- LO 16.2** Solve problems involving heat capacity and specific heat.
- LO 16.3** Describe the three main heat-transfer mechanisms.
- LO 16.4** Solve problems involving conductive heat transfer, including applications to building insulation.
- LO 16.5** Solve problems involving radiative heat transfer.
- LO 16.6** Evaluate temperatures for systems in thermal-energy balance, including applications in climate science.



How does this infrared photo reveal heat loss from the house? And how can you tell that the car was recently driven?

**Y**our own body gives you a good sense of “hot” and “cold.” Questions about heat and temperature are ultimately about energy, and these concepts are crucial to understanding the energy flows that drive natural systems like Earth’s climate and technologies such as engines, power plants, and refrigerators.

Properties like mass and kinetic energy apply equally to microscopic atoms and molecules and to cars and planets. But other properties, including temperature and pressure, apply only to macroscopic systems. It makes no sense to talk about the temperature or pressure of a single air molecule. **Thermodynamics** is the branch of physics that deals with these macroscopic properties. Ultimately, the thermodynamic behavior of matter follows from the motions of its constituent particles in response to the laws of mechanics. **Statistical mechanics** relates the macroscopic description of matter to the underlying microscopic processes. Historically, thermodynamics developed before the atomic theory of matter was fully established. The subsequent explanation of thermodynamics through statistical mechanics—the mechanics of atoms and molecules—was a triumph for physics.

## 16.1 Heat, Temperature, and Thermodynamic Equilibrium

- LO 16.1** Define heat, temperature, and thermodynamic equilibrium, and convert between temperature scales.

Take a bottle of soda from the refrigerator, and eventually it reaches room temperature. At that point the soda and the room are in **thermodynamic equilibrium**, a state in which their macroscopic properties are no longer

Systems A and C are each in thermal contact with B.

If A and C are placed in thermal contact, their macroscopic properties don't change—showing that they're already in equilibrium.

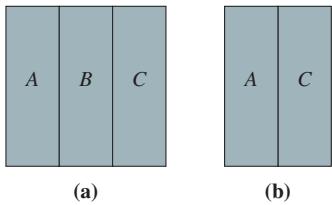


FIGURE 16.1 The zeroth law of thermodynamics.

changing. To check for thermodynamic equilibrium we can consider any macroscopic property—length, volume, pressure, electrical resistance, whatever. If any macroscopic property changes when two systems are placed together, then they weren't originally in thermodynamic equilibrium. When changes cease, the systems have reached equilibrium.

The phrase “placed together” here has a definite meaning, stated more precisely as “placed in thermal contact.” Two systems are in **thermal contact** if heating one of them results in macroscopic changes in the other. If that doesn't readily happen—for example, with a Styrofoam cup of coffee and its surroundings—then the systems are **thermally insulated**.

We can now begin to define temperature: **Two systems have the same temperature if they are in thermodynamic equilibrium**. Consider two systems A and C in thermal contact with a third system B but not with each other (Fig. 16.1a). Even though they're not in direct contact, A and C have the same temperature; that is, if you place A and C in thermal contact (Fig. 16.1b), no further changes occur. This fact—that two systems in equilibrium with a third system are therefore in equilibrium with each other—is so fundamental that it's called the **zeroth law of thermodynamics**.

A **thermometer** is a system with a conveniently observed macroscopic property that changes with temperature. It could be the length of a mercury column, gas pressure, electrical resistance, or the bending of a bimetal strip in a dial thermometer. Let the thermometer come to equilibrium with some system, and its temperature-dependent physical property provides a measure of temperature. The zeroth law assures consistency, in that two systems for which the thermometer gives the same reading must have the same temperature.

## The Kelvin Scale and Gas Thermometers

One of the most versatile thermometers is the **constant-volume gas thermometer** (Fig. 16.2), in which the pressure of a gas provides an indication of temperature. Gas thermometers function over a wide range, including very low temperatures, and before 2019 they provided the definition of the Kelvin temperature scale used in the SI system. As Fig. 16.3 shows, the zero of the Kelvin scale was defined as the temperature at which the gas pressure would become zero. Since a gas can't have negative pressure, this point is **absolute zero**—a concept whose meaning we'll explore further in Chapter 19. A second fixed temperature was provided by the so-called *triple point* of water, the unique temperature at which solid, liquid, and gaseous water can coexist in equilibrium (more on this in Chapter 17). In the pre-2019 SI definition, water's triple point was defined to be exactly 273.16 kelvins (symbol K; *not* “degrees kelvin” or °K). Other temperatures then followed by linear extrapolation, as suggested in Fig. 16.3. Although the triple-point definition of the kelvin was, in principle, a reproducible operational standard, issues with purity and the isotopic composition of water made this standard less than ideal.

In the 2019 revision of the SI unit system, the kelvin was given a new explicit-constant definition, by setting an exact value for the so-called *Boltzmann constant*. This constant establishes a direct relation between temperature and molecular energy, which we'll explore further in Chapter 17. With this new definition, the triple point of water becomes a measured quantity very close to 273.16 K but, as with all measured quantities, involving some uncertainty.

## Temperature Scales

Other temperature scales include Celsius (°C), Fahrenheit (°F), and Rankine (°R) (Fig. 16.4). One Celsius degree represents the same temperature difference as one kelvin, but the zero of the Celsius scale occurs at 273.15 K, so

$$T_C = T - 273.15 \quad (16.1)$$

where  $T$  is the temperature in kelvins. On the Celsius scale the melting point of ice at standard atmospheric pressure is exactly 0°C, while the boiling point is 100°C. The triple point of water occurs at 0.01°C, which accounts for the 273.15 difference between the kelvin and Celsius scales. Equation 16.1 shows that absolute zero occurs at  $-273.15^{\circ}\text{C}$ .

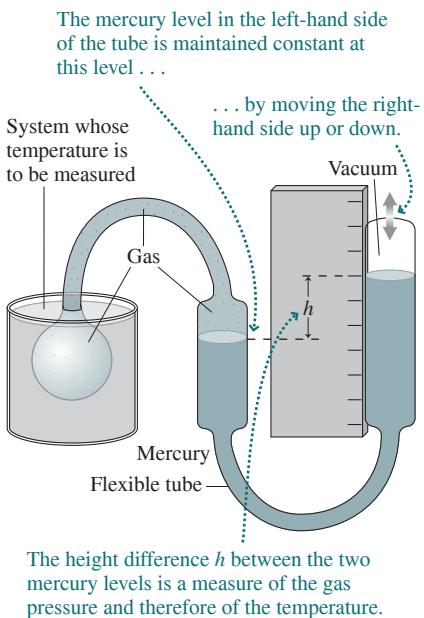


FIGURE 16.2 A constant-volume gas thermometer.

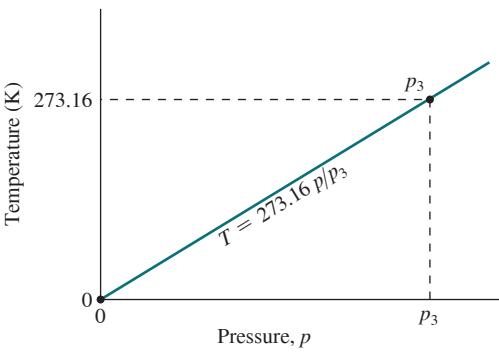


FIGURE 16.3 Two points establish a temperature scale. Before the 2019 SI revision, the kelvin scale was defined by the values of absolute zero and the unique temperature of water's triple point, whose pressure is designated  $p_3$  and whose temperature was defined as 273.16 K.

The Fahrenheit and Rankine scales, from the British unit system, are used primarily in the United States. Fahrenheit has water melting at 32°F and boiling at 212°F, so the relation between Fahrenheit and Celsius temperatures is

$$T_F = \frac{9}{5} T_C + 32 \quad (16.2)$$

A Rankine degree is the same size as a Fahrenheit degree, but the zero of the Rankine scale is at absolute zero (Fig. 16.4). Engineers in the United States often use Rankine.

## Heat and Temperature

A match will burn your finger, but it doesn't provide much heat. This example shows our intuitive sense of temperature and heat: Heat measures an *amount* of "something," whereas temperature is the *intensity* of that "something."

Scientists once considered heat to be a material fluid, called **caloric**, that flowed from hot bodies to colder ones. But in the late 1700s, the American-born scientist Benjamin Thompson observed essentially limitless amounts of heat being produced in the boring of cannon, and he concluded that heat could not be a conserved fluid. Instead, Thompson suggested, heat was associated with mechanical work done by the boring tool. In the next half-century, a series of experiments confirmed the association between heat and energy. These culminated in the work of the British physicist James Joule (1818–1889), who quantified the relation between heat and energy. In so doing, Joule brought thermal phenomena under the powerful conservation-of-energy principle. In recognition of this major synthesis in physics, the SI energy unit bears Joule's name. The 2019 redefinition of the kelvin formalized the relation between temperature and energy, since the Boltzmann constant, which now defines the kelvin, has the units of J/K.

We rarely make statements about the amount of "heat" in an object; we're more concerned that the temperature be appropriate. Rather, we think of heat as something that gets transferred from one object to another, causing a temperature change. The scientific definition reflects this sense of heat as energy in transit: **Heat is energy being transferred from one object to another because of a temperature difference alone.** Strictly speaking, **heat** refers only to energy in transit. Following heat transfer, we say that the **internal energy** or **thermal energy** of the object has increased, not that it contains more heat. This distinction reflects the fact that processes other than heating—such as transfer of mechanical or electrical energy—can also change an object's temperature. We briefly explored internal energy and its relation to mechanical energy transfers when we dealt with nonconservative forces in Chapter 7.

### GOT IT?

- 16.1** Is there (a) no temperature, (b) one temperature, or (c) more than one temperature where the Celsius and Fahrenheit scales agree?

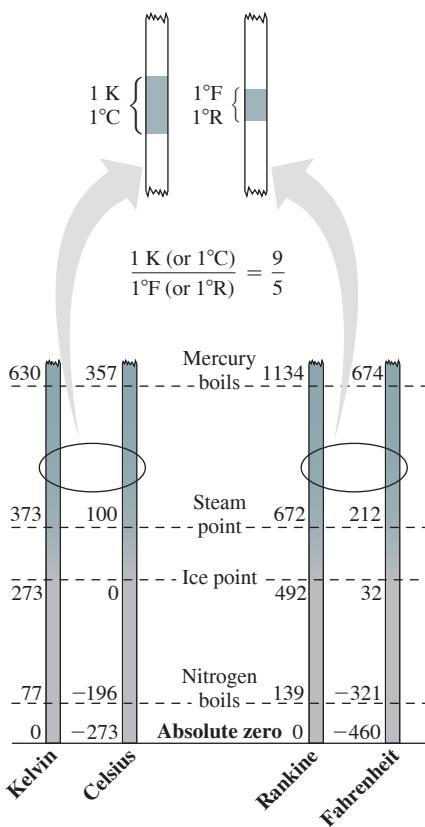


FIGURE 16.4 Relationships among four temperature scales.

## 16.2 Heat Capacity and Specific Heat

### LO 16.2 Solve problems involving heat capacity and specific heat.

Because temperature and energy are related, it's not surprising that the heat energy  $Q$  transferred to an object and the resulting temperature change  $\Delta T$  are proportional:  $Q = C \Delta T$ , where the proportionality constant  $C$  is called the **heat capacity** of the object. Since heat is a measure of energy transfer, the units of heat capacity are J/K. The heat capacity  $C$  applies to a specific object and depends on its mass and on the substance from which it's made. We characterize different substances in terms of their **specific heat**  $c$ , or heat capacity per unit mass. The heat capacity of an object is then the product of its mass and specific heat, so we can write

$$Q = mc \Delta T \quad (16.3)$$

The SI units of specific heat are J/kg·K. Table 16.1 lists specific heats of common materials.

**Table 16.1** Specific Heats of Some Common Materials\*

Substance	SI Units: J/kg·K	Specific Heat, <i>c</i> cal/g·°C, kcal/kg·°C, or Btu/lb·°F
Aluminum	900	0.215
Concrete (varies with mix)	880	0.21
Copper	386	0.0923
Iron	447	0.107
Glass	753	0.18
Mercury	140	0.033
Steel	502	0.12
Stone (granite)	840	0.20
Water:		
Liquid	4184	1.00
Ice, -10°C	2050	0.49
Wood	1400	0.33

\*Temperature range 0°C to 100°C except as noted.

Scientists first studied thermodynamic phenomena before they knew the relation between heat and energy, and they used other units for heat. The **calorie** (cal) was defined as the heat needed to raise the temperature of 1 g of water from 14.5°C to 15.5°C; consequently, the specific heat of water is 1 cal/g·°C. Several different definitions of the calorie exist today, based on different methods for establishing the heat–energy equivalence. In this book we use the so-called thermochemical calorie, defined as exactly 4.184 J. The “calorie” used in describing the energy content of foods is actually a kilocalorie. In the British system, still widely used in engineering in the United States, the unit of heat is the **British thermal unit** (Btu). One Btu is the amount of heat needed to raise the temperature of 1 lb of water from 63°F to 64°F, and is equal to 1054 J.

### EXAMPLE 16.1 Specific Heat: Waiting to Shower

Your whole family has showered before you, dropping the temperature in the water heater to 18°C. If the heater holds 150 kg of water, how much energy will it take to bring it up to 50°C? If the energy is supplied by a 5.0-kW electric heating element, how long will that take?

**INTERPRET** Here we’re interested in the energy it takes to raise the water temperature, so we interpret this problem as involving specific heat. For the second part, we’re given the heater’s power output and asked for the time, so we need to recall (Chapter 6) that power is energy per time.

**DEVELOP** Equation 16.3,  $Q = mc \Delta T$ , relates energy and temperature change via specific heat, so our plan is to calculate the required energy from this equation. We’ll then use the relation between power and energy to find the time.

**EVALUATE** Equation 16.3 gives the energy:

$$Q = mc \Delta T = (150 \text{ kg})(4184 \text{ J/kg} \cdot \text{K})(50^\circ\text{C} - 18^\circ\text{C}) = 20 \text{ MJ}$$

where we found the specific heat of water in Table 16.1. The heating element supplies energy at the rate of 5.0 kW or  $5.0 \times 10^3 \text{ J/s}$ . At that rate the time needed to supply 20 MJ is

$$\Delta t = \frac{2.0 \times 10^7 \text{ J}}{5.0 \times 10^3 \text{ J/s}} = 4000 \text{ s}$$

or a little over an hour.

**ASSESS** That’s a long time to wait, but it’s not an unreasonable answer!

**TIP** **IS THAT °C OR K?** It doesn’t matter when we’re talking about temperature differences. That’s why we could mix units, multiplying the specific heat in J/kg·K by the difference of Celsius temperatures.

For common materials around room temperature, specific heat is nearly constant over a substantial temperature range. But at very low temperatures, specific heat varies significantly with temperature. When that’s the case, we write Equation 16.3 in terms of infinitesimal heat flows  $dQ$  and corresponding temperature changes  $dT$ :  $dQ = mc(T) dT$ . We can then integrate to relate the overall heat flow and temperature change over a wide temperature range. Problems 75 and 76 explore this situation.

Specific heat also depends on whether an object's pressure or its volume changes when it's heated. For solids and liquids, which don't expand much, that distinction isn't very important. But it makes a big difference whether a gas is confined or allowed to expand when heated. Consequently, gases have two different specific heats, depending on whether volume or pressure is constant. We'll deal with that issue in Chapter 18, where we explore the thermodynamic behavior of gases.

## The Equilibrium Temperature

When objects at different temperatures are in thermal contact, heat flows from the hotter object to the cooler one until they reach thermodynamic equilibrium. If the objects are thermally insulated from their surroundings, then all the energy leaving the hotter object ends up in the cooler one. Mathematically, this statement reads

$$m_1 c_1 \Delta T_1 + m_2 c_2 \Delta T_2 = 0 \quad (16.4)$$

For the hotter object,  $\Delta T$  is negative, so the two terms in Equation 16.4 have opposite signs. One term represents the outflow of heat from the hotter object, the other inflow into the cooler one. Example 16.2 explores the application of Equation 16.4 in finding the equilibrium temperature.

**GOT IT?**

**16.2** A hot rock with mass 250 g is dropped into an equal mass of cool water. Which temperature changes more, that of (a) the rock or (b) the water? Explain.

### EXAMPLE 16.2

#### Finding the Equilibrium Temperature: Cooling Down

*Worked Example with Variation Problems*

An aluminum frying pan of mass 1.5 kg is at 180°C when it's plunged into a sink containing 8.0 kg of water at 20°C. Assuming that none of the water boils and that no heat is lost to the surroundings, find the equilibrium temperature of the water and pan.

**INTERPRET** Here we have two objects, initially at different temperatures, that come to thermal equilibrium. So this is a problem about the equilibrium temperature, with the system of interest comprising the pan and the water.

**DEVELOP** Equation 16.4,  $m_1 c_1 \Delta T_1 + m_2 c_2 \Delta T_2 = 0$ , applies. However, we're asked for the common equilibrium temperature  $T$ , so we write the temperature differences  $\Delta T$  in terms of  $T$  and the initial

temperatures  $T_p$  and  $T_w$  of pan and water. Equation 16.4 then becomes  $m_p c_p (T - T_p) + m_w c_w (T - T_w) = 0$ .

**EVALUATE** We now solve for the equilibrium temperature  $T$ :

$$T = \frac{m_p c_p T_p + m_w c_w T_w}{m_p c_p + m_w c_w}$$

Using the given values of  $m_p$ ,  $T_p$ ,  $m_w$ , and  $T_w$ , and taking  $c_p$  and  $c_w$  from Table 16.1, we get  $T = 26^\circ\text{C}$ .

**ASSESS** The water has much greater mass and higher specific heat, so it makes sense that its 6°C temperature change is a lot less than the 154°C drop in the pan's temperature.

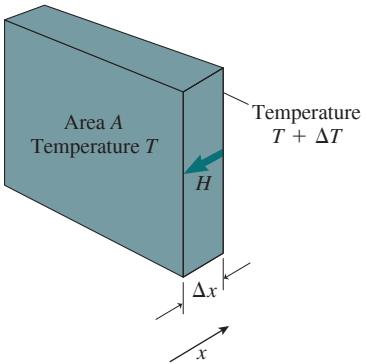
## 16.3 Heat Transfer

**LO 16.3** *Describe the three main heat-transfer mechanisms.*

**LO 16.4** *Solve problems involving conductive heat transfer, including applications to building insulation.*

**LO 16.5** *Solve problems involving radiative heat transfer.*

How is heat transferred? Engineers need to know so they can design heating and cooling systems. Scientists need to know so they can anticipate temperature changes, as in global warming. Here we'll consider three common heat-transfer mechanisms: conduction, convection, and radiation. In some situations, a single mechanism dominates; in other cases, we may need to take all three into account.



**FIGURE 16.5** Heat flows from the hotter to the cooler face of the slab.

## Conduction

**Conduction** is heat transfer through direct physical contact. It occurs as molecules in a hotter region collide with and transfer energy to those in an adjacent cooler region. **Thermal conductivity** (symbol  $k$ ; SI unit  $\text{W}/(\text{m}\cdot\text{K})$ ) characterizes this process. Common materials exhibit a broad range of thermal conductivities, from about  $400 \text{ W}/(\text{m}\cdot\text{K})$  for copper—a good conductor—to  $0.029 \text{ W}/(\text{m}\cdot\text{K})$  for Styrofoam, a good thermal insulator. Table 16.2 lists some thermal conductivities; they’re given in both SI and British units because the latter are widely used in heat-loss calculations for buildings. The  $k$  values in Table 16.2 reflect physical properties of the materials. Metals, for example, are good thermal conductors because they contain free electrons that move quickly. Insulators like fiberglass and Styrofoam owe their insulating properties to a physical structure that traps small volumes of air or other gas.

Figure 16.5 shows a slab of thickness  $\Delta x$  and area  $A$ . One side is at temperature  $T$  and the other at  $T + \Delta T$ . The temperature difference  $\Delta T$  drives a conductive heat flow through the slab. That heat flow is proportional to the temperature difference, the slab area, and the thermal conductivity  $k$ . The thicker the slab, on the other hand, the more resistance to heat flow, so the flow depends inversely on thickness. Therefore,

$$H = -kA \frac{\Delta T}{\Delta x} \quad (\text{conductive heat flow}) \quad (16.5)$$

H is heat flow by conduction through a slab of material, measured in watts.  
 k is the thermal conductivity of the material.  
 ΔT is the temperature difference between two faces of the slab.  
 The minus sign shows that the heat flows from hotter to cooler.  
 A is the slab's area...  
 ...and Δx is its thickness.

where  $H = dQ/dt$  is the rate of heat flow in watts, and where the minus sign shows that the flow is opposite the direction of increasing temperature—that is, from hotter to cooler.

Equation 16.5 is strictly correct only when the temperature varies uniformly from one surface to the other. That’s the case when two surfaces at different temperatures have the same area. With other geometries—as in the insulation surrounding a cylindrical pipe—we need to write  $\Delta T/\Delta x$  as the derivative  $dT/dx$  and integrate to find the heat flow. Problem 78 explores this situation.

**Table 16.2** Thermal Conductivities\*

Material	Thermal Conductivity, $k$	
	SI Units: $\text{W}/(\text{m}\cdot\text{K})$	British Units: $\text{Btu}\cdot\text{in}/(\text{h}\cdot\text{ft}^2\cdot^\circ\text{F})$
Air	0.026	0.18
Aluminum	237	1644
Concrete (varies with mix)	1	7
Copper	401	2780
Fiberglass	0.042	0.29
Glass	0.7–0.9	5–6
Goose down	0.043	0.30
Helium	0.14	0.97
Iron	80.4	558
Steel	46	319
Styrofoam	0.029	0.20
Water	0.61	4.2
Wood (pine)	0.11	0.78

\*Temperature range  $0^\circ\text{C}$  to  $100^\circ\text{C}$ .

**EXAMPLE 16.3** Conduction: Warming a Lake

A lake with a flat bottom and steep sides has surface area  $1.5 \text{ km}^2$  and is  $8.0 \text{ m}$  deep. On a summer day, the surface water is at  $30^\circ\text{C}$  and the bottom water at  $4.0^\circ\text{C}$ . What's the rate of heat conduction through the lake?

**INTERPRET** This is a problem about heat conduction.

**DEVELOP** Our sketch, Fig. 16.6, shows that we can treat the lake like the slab shown in Fig. 16.5, provided we neglect heat flow out the sides. Then Equation 16.5,  $H = -kA(\Delta T/\Delta x)$ , will give the heat-flow rate.

**EVALUATE** Substituting numerical values, including water's thermal conductivity from Table 16.2, we get

$$\begin{aligned} H &= -kA \frac{\Delta T}{\Delta x} \\ &= -(0.61 \text{ W/m}\cdot\text{K})(1.5 \times 10^6 \text{ m}^2) \frac{30^\circ\text{C} - 4.0^\circ\text{C}}{8.0 \text{ m}} = -3.0 \text{ MW} \end{aligned}$$

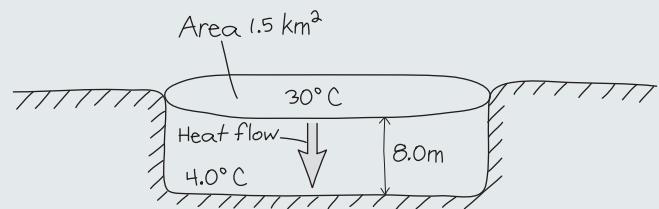


FIGURE 16.6 Our sketch for Example 16.3.

**ASSESS** This is a significant energy flow, but with direct sunlight averaging about  $1 \text{ kW}$  on every square meter, the lake's  $1.5\text{-km}^2$  surface area absorbs plenty of solar energy, and that's what maintains the temperature difference that drives the conductive heat flow. Figure 16.5 shows  $x$  increasing in the direction of increasing temperature, so the negative sign in our answer indicates that the flow is downward.

Often heat flows through several different materials. A building wall, for example, may contain wood, drywall, and fiberglass insulation. Figure 16.7 shows such a composite structure, with temperature  $T_1$  on one side and  $T_3$  on the other. The heat-flow rate  $H$  must be the same through both slabs so energy doesn't accumulate at the interface between the two. Then Equation 16.5 gives

$$H = -k_1 A \frac{T_2 - T_1}{\Delta x_1} = -k_2 A \frac{T_3 - T_2}{\Delta x_2}$$

where  $k_1$  and  $k_2$  are the thermal conductivities of the two materials, and  $T_2$  is the temperature at the interface. We can express the heat-flow rate in terms of the surface temperatures  $T_1$  and  $T_3$  alone if we define the **thermal resistance**  $R$  of each slab:

$$R = \frac{\Delta x}{kA} \quad (16.6)$$

The SI units of  $R$  are  $\text{K/W}$ . Unlike the thermal conductivity  $k$ , which is a property of a material,  $R$  is a property of a *particular piece* of material, reflecting both its conductivity and its geometry. In terms of thermal resistance, our heat-flow equation becomes

$$H = -\frac{T_2 - T_1}{R_1} = -\frac{T_3 - T_2}{R_2}$$

so  $R_1 H = T_1 - T_2$  and  $R_2 H = T_2 - T_3$ . Adding these two equations gives

$$(R_1 + R_2)H = T_1 - T_2 + T_2 - T_3 = T_1 - T_3$$

or

$$H = \frac{T_1 - T_3}{R_1 + R_2} \quad (16.7)$$

Equation 16.7 shows that the composite slab acts like a single slab whose thermal resistance is the sum of the resistances of the two slabs that compose it. We could easily extend this treatment to show that the thermal resistances of three or more slabs add when the slabs are arranged so the same heat flows through all of them.

If  $H$  weren't the same through both slabs, energy would accumulate at the interface.

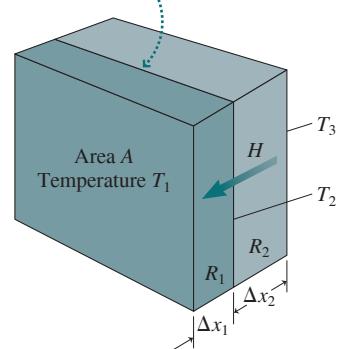
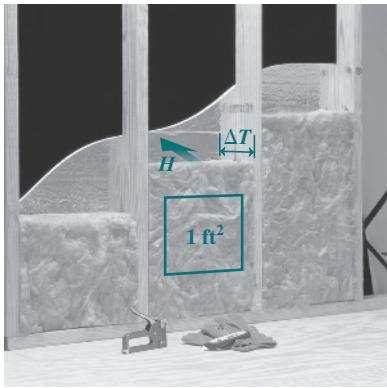


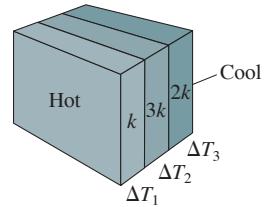
FIGURE 16.7 A composite slab.



**FIGURE 16.8** Each square foot of this  $\mathcal{R}$ -19 fiberglass insulation loses  $\frac{1}{19}$  Btu per hour for every  $^{\circ}\text{F}$  of temperature difference  $\Delta T$ .

### GOT IT?

**16.3** The figure shows three slabs with the same thickness but different thermal conductivities:  $k$ ,  $3k$ , and  $2k$ ; the left side is hotter, as shown. Rank in order, from smallest to largest, the three temperature differences  $\Delta T$ .



Insulating properties of building materials are described by the  **$\mathcal{R}$ -factor**, which is the thermal resistance for a slab of unit area:

$$\mathcal{R} = RA = \frac{\Delta x}{k} \quad (16.8)$$

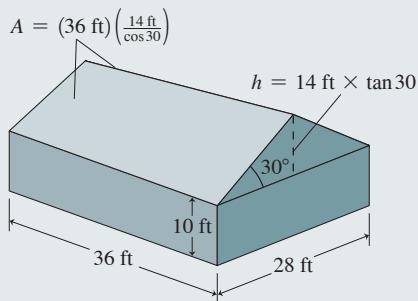
The SI units of  $\mathcal{R}$  are  $\text{m}^2 \cdot \text{K/W}$ , and that's how you'll find it listed if you buy insulation in Europe or other SI-based regions. In the United States,  $\mathcal{R}$  is in  $\text{ft}^2 \cdot ^{\circ}\text{F} \cdot \text{h/Btu}$ , although the units are almost never stated. This means that  $\mathcal{R}$ -19 fiberglass insulation loses  $\frac{1}{19}$  Btu per hour for each square foot of insulation for each degree Fahrenheit temperature across the insulation (Fig. 16.8). The inverse of the  $\mathcal{R}$ -factor is the  $U$  value, often used in characterizing heat loss through windows.

### EXAMPLE 16.4 Calculating Heat Loss: The Cost of Oil

Figure 16.9 shows a house whose walls consist of drywall ( $\mathcal{R} = 0.45$ ),  $\mathcal{R}$ -11 fiberglass insulation, plywood ( $\mathcal{R} = 0.65$ ), and cedar shingles ( $\mathcal{R} = 0.55$ ). The roof has the same construction except it uses  $\mathcal{R}$ -30 fiberglass insulation. The average outdoor temperature in winter is  $20^{\circ}\text{F}$ , and the house is maintained at  $70^{\circ}\text{F}$ . The house's oil furnace produces 100,000 Btu for every gallon of oil, and oil costs \$2.87 per gallon. How much does it cost to heat the house for a month?

**INTERPRET** Although the problem asks for the monthly cost of oil, this isn't economics! We interpret this as a problem about heat loss and identify the walls and roof as systems for which we need to know the heat flow. This is a rare case of a problem stated in English units.

**DEVELOP** We're given the drawing in Fig. 16.9. We have the  $\mathcal{R}$ -factors; in English units, their inverses give the heat-loss rate on a square-foot basis. So our plan is to find the square footage of the walls and roof separately, calculate the total heat-loss rate, and then find the amount and cost of oil to compensate for a month's heat loss.



**FIGURE 16.9** House for Example 16.4.

**EVALUATE** The  $\mathcal{R}$ -factors for the wall materials sum to give  $\mathcal{R}_{\text{wall}} = 12.65$ ; similarly,  $\mathcal{R}_{\text{roof}} = 31.65$ . The perimeter of the house measures  $2 \times 28 \text{ ft} + 2 \times 36 \text{ ft} = 128 \text{ ft}$ , so the 10-ft vertical walls have area  $1280 \text{ ft}^2$ . There are also the triangular gables. Since there are two of them, each with area  $\frac{1}{2}bh$ , they give another  $bh$  or  $(28 \text{ ft})(14 \text{ ft} \tan 30^{\circ}) = 226 \text{ ft}^2$ , so  $A_{\text{wall}} = 1506 \text{ ft}^2$ . These  $\mathcal{R}$ -12.65 walls lose  $1/12.65 \text{ Btu/h ft}^2/\text{F}$ . With  $1506 \text{ ft}^2$  and a temperature difference of  $50^{\circ}\text{F}$ , the total heat-loss rate through the walls is

$$H_{\text{wall}} = \left( \frac{1}{12.65} \text{ Btu/h ft}^2/\text{F} \right) (1506 \text{ ft}^2) (50^{\circ}\text{F}) = 5953 \text{ Btu/h}$$

The area of the pitched roof is larger than that of a flat roof by the factor  $1/\cos 30^{\circ}$ , so the heat-loss rate through the roof is

$$H_{\text{roof}} = \left( \frac{1}{31.65} \text{ Btu/h ft}^2/\text{F} \right) \frac{(36 \text{ ft})(28 \text{ ft})}{\cos 30^{\circ}} (50^{\circ}\text{F}) = 1839 \text{ Btu/h}$$

The total heat-loss rate is then  $7792 \text{ Btu/h}$ . In a month, this results in a heat loss of  $Q = (7792 \text{ Btu/h})(30 \text{ days/month})(24 \text{ h/day}) = 5.61 \text{ MBtu}$ .

Now for the oil: With  $10^5 \text{ Btu}$  (0.1 MBtu) per gallon, we'll burn 56.1 gallons per month to produce that 5.61 MBtu. At \$2.87/gal, that will cost \$161.

**ASSESS** If you've paid for heat in a northern climate, you know that this figure is, if anything, low. That's because we neglected heat losses through windows, doors, and the floor, as well as cold-air infiltration. On the other hand, we also left out any solar energy gained through the windows on sunny days. Problem 71 provides a more realistic look at this house.

## Convection

**Convection** is heat transfer by fluid motion. It occurs as heated fluid becomes less dense and therefore rises. Figure 16.10a shows two plates at different temperatures, with fluid between them. Fluid heated by the lower plate rises and transfers heat to the upper plate. The cooled fluid sinks, and the process repeats. The pattern of rising and sinking fluid often acquires a striking regularity, as shown in Fig. 16.10b.

Convection is important in many technological and natural environments. When you heat water on a stove, convection carries heat through the water. Houses usually rely on convection from heat sources near floor level to circulate warm air throughout a room. Insulating materials trap air and thereby inhibit convection that would otherwise cause excessive heat loss. Convection associated with solar heating of Earth's surface drives the vast air movements that establish our overall climate. Violent convection, as in thunderstorms, is associated with localized temperature differences. On a much longer time scale, convection in Earth's mantle drives continental drift. Convection plays a crucial role in many astrophysical processes, including the generation of magnetic fields in stars and planets.

As with conduction, the convective heat-loss rate often is approximately proportional to the temperature difference. But the calculation of convective heat loss is complicated because of the associated fluid motion. The study of convection processes is an important research area in many fields of contemporary science and engineering.

## Radiation

Turn an electric stove burner to “high” and it glows brightly; turn it to “low” and you can still sense its heat although it doesn’t glow visibly. Either way, the burner loses energy by emitting electromagnetic waves, or **radiation**. The radiated power  $P$  increases rapidly with temperature, as described by the **Stefan–Boltzmann law**:

$$P = e\sigma AT^4 \quad (\text{Stefan–Boltzmann law; radiated power}) \quad (16.9)$$

P is the power, in watts, radiated by a surface.  
 $\sigma$  is a constant with SI value  $5.67 \times 10^{-8} \text{ W/m}^2 \cdot \text{K}^4$ .  
 Emissivity  $e$  ranges between 0 and 1, and describes how effective the surface is at radiating.  
 A is the surface area...  
 ...and  $T$  is the surface temperature in kelvins.

where  $A$  is the area of the emitting surface,  $T$  the temperature in kelvins, and  $\sigma$  the **Stefan–Boltzmann constant**, approximately  $5.67 \times 10^{-8} \text{ W/m}^2 \cdot \text{K}^4$ . The quantity  $e$  is the **emissivity**, a number from 0 to 1 that measures the material’s effectiveness in emitting radiation. For radiation of a given wavelength, a material is equally good at emitting and absorbing radiation. A perfect emitter has  $e = 1$  and is also a perfect absorber. Such an object would appear black at room temperature and is therefore called a **blackbody**. A shiny object, in contrast, reflects most of the radiation that hits it and is therefore also a poor emitter. Wood stoves are often painted black to increase their emissivity; Thermos bottles, on the other hand, have a shiny coating to reduce radiation.

Because of the strong  $T^4$  temperature dependence, radiation is generally the dominant heat-loss mechanism at high temperatures but is less important at low temperatures. Radiation also dominates for objects in vacuum, since there’s no material to carry conductive or convective heat flows; that makes Equation 16.9 crucial in understanding the climates of Earth and other planets.

Objects also absorb radiant energy from their surroundings, at a rate given by Equation 16.9 using the ambient temperature  $T_a$ , so the *net* radiated power becomes  $P = e\sigma A(T^4 - T_a^4)$ . For an object that’s much hotter than its surroundings, the second term is negligible. But for an object that’s only a little warmer, like a human body, it’s significant.

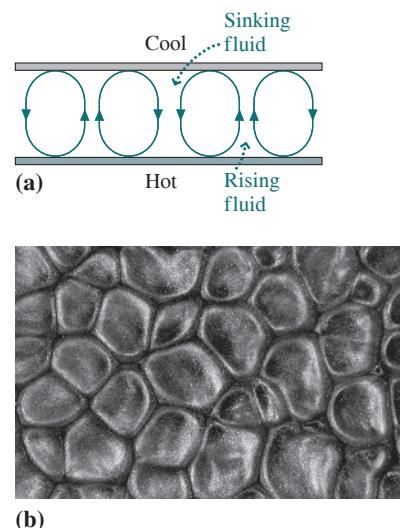


FIGURE 16.10 (a) Convection between two plates at different temperatures. (b) Top view of convection cells in a laboratory experiment. Fluid rises at the centers and sinks at the edges of the convection cells.

It's not just the amount of radiation that changes with temperature; as our stove burner example suggests, it's also the wavelength. Objects at room temperature, for example, emit mostly invisible infrared radiation, while very hot objects like the Sun emit more visible light. We'll take a quantitative look at this relation in Chapter 34.

**GOT IT?**

- 16.4** Name the dominant form of heat transfer from (1) a red-hot stove burner with nothing on it, (2) a burner in direct contact with a pan of water, and (3) the bottom to the top of the water in the pan once it's begun to boil.

### EXAMPLE 16.5 Calculating Radiation: The Sun's Temperature

The Sun radiates energy at the rate  $P = 3.83 \times 10^{26} \text{ W}$ , and its radius is  $6.96 \times 10^8 \text{ m}$ . Treating the Sun as a blackbody ( $\epsilon = 1$ ), find its surface temperature.

**INTERPRET** This is a problem about the radiation from a hot object.

**DEVELOP** The Stefan–Boltzmann law, Equation 16.9, gives the radiated power in terms of the temperature, emissivity, and surface area:  $P = \epsilon\sigma AT^4$ . Our plan is to solve this equation for  $T$ . For the Sun, radiation comes from the entire spherical surface of area  $4\pi R^2$ , as our sketch shows (Fig. 16.11).

**EVALUATE** Using the Sun's spherical surface area and solving Equation 16.9 for  $T$  gives

$$\begin{aligned} T &= \left( \frac{P}{4\pi R^2 \sigma} \right)^{1/4} \\ &= \left[ \frac{3.83 \times 10^{26} \text{ W}}{4\pi (6.96 \times 10^8 \text{ m})^2 (5.67 \times 10^{-8} \text{ W/m}^2 \cdot \text{K}^4)} \right]^{1/4} = 5770 \text{ K} \end{aligned}$$

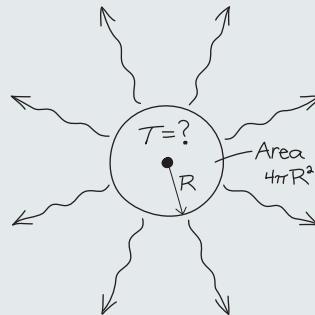


FIGURE 16.11 The Sun radiates from its spherical surface area  $4\pi R^2$ .

**ASSESS** Make sense? Yes: Our answer has the unit of temperature and agrees with observational measurements. Despite its bright glow, the Sun is essentially a blackbody, because it absorbs all radiation incident on it. But the Sun is so much hotter than its surroundings that we can neglect absorbed radiation in this calculation.

### CONCEPTUAL EXAMPLE 16.1 Energy-Saving Windows

Why do double-pane windows reduce heat loss greatly compared with single-pane windows? Why is a window's  $\mathcal{R}$ -factor higher if the spacing between panes is small? And why do the best windows have “low-E” coatings?

**EVALUATE** Table 16.2 gives glass's thermal conductivity as around  $0.8 \text{ W/m}\cdot\text{K}$ , while good insulators like air and Styrofoam have  $k \sim 0.03 \text{ W/m}\cdot\text{K}$ . That's why a layer of air between window panes greatly increases the window's  $\mathcal{R}$ -factor. But if the pane spacing is too great, convection currents develop between the sheets of glass, transferring heat from the warmer to the cooler surface; that's why narrower pane spacing is better. Finally, warm glass loses energy by radiation, and a thin coating of material with low emissivity (“low-E”) reduces radiant heat loss.

**ASSESS** High-quality windows include all three features described here, so they suppress all three kinds of heat loss we've discussed. The best windows also use an inert gas—usually argon—between panes to reduce heat loss further.

**MAKING THE CONNECTION** Compare the  $\mathcal{R}$ -factor for a single-pane window made from 3.0-mm-thick glass with that of a double-pane window made from the same glass with a 5.0-mm air gap between panes.

**EVALUATE** Compute the  $\mathcal{R}$ -factors for the glass and air space, and you'll get about  $0.004 \text{ m}^2\cdot\text{K/W}$  for the single pane and, adding two layers of glass and the air space,  $0.2 \text{ m}^2\cdot\text{K/W}$  for the double-pane window. That's a factor of 50 improvement! In English units our answers translate into  $\mathcal{R}$ -factors of 0.02 and 1.1—although again they're lower than for actual windows because they neglect “dead air” layers and the other improvements discussed above. The best commercially available windows, in fact, achieve  $\mathcal{R}$ -factors of 5 and higher, and some multilayer windows exceed  $\mathcal{R}$ -10.

## 16.4 Thermal-Energy Balance

**LO 16.6 Evaluate temperatures for systems in thermal-energy balance, including applications in climate science.**

You keep your house at a comfortable temperature in winter by balancing heat loss with energy from your heating system (Fig. 16.12). This state of **thermal-energy balance** occurs throughout science and engineering. Understanding thermal-energy balance enables engineers to specify a building's heat sources and helps scientists predict Earth's future climate.

Engineered systems actively control the thermal-energy balance to achieve a desired temperature. But even without active control, systems with a fixed rate of energy input naturally tend toward energy balance. That's because all heat-loss mechanisms give increased loss with increasing temperature. If the rate of energy input to a system is greater than the loss rate, then the system gains energy and its temperature increases—and so, therefore, does the loss rate. Eventually the two come to balance at some fixed temperature. If the loss exceeds the gain, the system cools until again it's in balance. Problems involving thermal-energy balance are similar regardless of the energy-loss mechanism or whether the application is to a technological or a natural system.

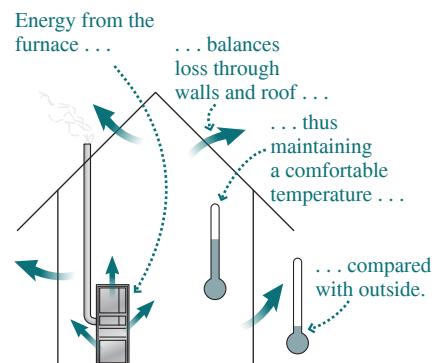


FIGURE 16.12 A house in thermal-energy balance.

### PROBLEM-SOLVING STRATEGY 16.1 Thermal-Energy Balance

**INTERPRET** Interpret the problem to be sure it deals with heat gains and losses. Identify the system of interest, the source(s) of energy input to the system, and the significant heat-loss mechanism(s).

**DEVELOP** Determine which equation(s) govern the heat loss; these will necessarily involve the system's temperature. Your plan is then to equate the rate of energy loss with the rate of energy input.

**EVALUATE** Write an equation that expresses equality of energy loss and input. Then evaluate by solving for the quantity the problem asks for—often the system's temperature.

**ASSESS** If your answer is a temperature, does it seem reasonable? Is the temperature of a heated system higher than that of its surroundings?

### EXAMPLE 16.6 Thermal-Energy Balance: Hot Water

A poorly insulated electric water heater loses heat by conduction at the rate of 120 W for each Celsius degree difference between the water and its surroundings. It's heated by a 2.5-kW electric heating element and is located in a basement kept at 15°C. What's the water temperature if the heating element operates continuously?

**INTERPRET** The concept here is energy balance, and we identify the system of interest as the water. Its energy input comes from the heating element at the rate of 2.5 kW. The heat loss is by conduction.

**DEVELOP** Figure 16.13 is a sketch suggesting energy balance in the heater. We're given the conductive heat loss of 120 W/°C, meaning that the total heat-loss rate is  $H = (120 \text{ W/}^\circ\text{C})(\Delta T)$ . We then equate the heat-loss rate to the energy-input rate:  $(120 \text{ W/}^\circ\text{C})(\Delta T) = 2.5 \text{ kW}$ .

**EVALUATE** Solving for  $\Delta T$  gives

$$\Delta T = \frac{2.5 \text{ kW}}{120 \text{ W/}^\circ\text{C}} = 21^\circ\text{C}$$

With the basement at 15°C, the water temperature is then 36°C.

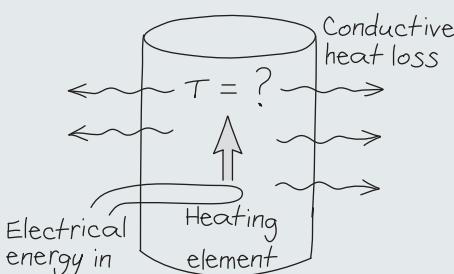


FIGURE 16.13 Balance between the heat supplied by the electric element and the conductive loss determines the water temperature.

**ASSESS** Is this answer reasonable? Not if you want a hot shower; our answer is 1°C below body temperature! But we're told the insulation is bad, so it's time for a new water heater!

### EXAMPLE 16.7

## Thermal-Energy Balance: A Solar Greenhouse

## **Worked Example with Variation Problems**

A solar greenhouse has  $300 \text{ ft}^2$  of opaque  $\mathcal{R}$ -30 walls and  $250 \text{ ft}^2$  of  $\mathcal{R}$ -1.8 double-pane glass that admits solar energy at the average rate of  $40 \text{ Btu/h ft}^2$ . Find the greenhouse temperature on a day when the outdoor temperature is  $15^\circ\text{F}$ .

**INTERPRET** Again the concept is energy balance, now with the greenhouse as the system of interest. We're given  $R$ -factors, suggesting that the energy loss is by conduction through walls and glazing. The energy input is sunlight.

**DEVELOP** As we saw in Example 16.4, the  $\mathcal{R}$ -factor determines a heat-loss rate that is related directly to area and temperature difference and inversely to the  $\mathcal{R}$ -factor. So we have

$$H_w = \frac{A_w \Delta T}{R_{\text{in}}} = \left( \frac{300}{30} \right) \Delta T = (10 \text{ Btu/h}^{\circ}\text{F}) \Delta T$$

for the heat loss through the walls and

$$H_g = \frac{A_g \Delta T}{R_g} = \left( \frac{250}{1.8} \right) \Delta T = (139 \text{ Btu/h}^{\circ}\text{F}) \Delta T$$

for the heat loss through the glass, giving a total heat loss  $H = (149 \text{ Btu/h}^{\circ}\text{F})\Delta T$ . Meanwhile, the energy input through the

entire 250 ft<sup>2</sup> of glass is  $(40 \text{ Btu/h/ft}^2)(250 \text{ ft}^2) = 1.0 \times 10^4 \text{ Btu/h}$ . Our plan is to equate energy input and loss and then solve for  $\Delta T$ .

**EVALUATE** Equating loss and gain gives

$$(149 \text{ Btu/h}^{\circ}\text{F})\Delta T = 1.0 \times 10^4 \text{ Btu/h.}$$

We then solve for  $\Delta T$ :

$$\Delta T = \frac{1.0 \times 10^4 \text{ Btu/h}}{149 \text{ Btu/h}^{\circ}\text{F}} = 67^{\circ}\text{F}$$

So when it's 15°F outside, the greenhouse is at a tropical 82°F.

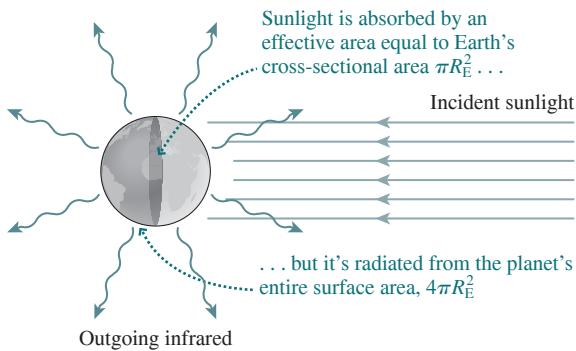
**ASSESS** This seems a reasonable greenhouse temperature. Our calculation assumes that solar input remains constant; in a real greenhouse the temperature would fluctuate as the Sun's angle changes and clouds pass over. We could minimize these fluctuations by giving the greenhouse a large heat capacity, perhaps by incorporating a massive concrete slab or concrete walls.

GOT IT?

**16.5** A house's thermostat fails, leaving the furnace running continuously. As a result, will the temperature of the house (a) increase indefinitely, (b) eventually stabilize, or (c) drop below the thermostat setting? Explain.

## APPLICATION

# The Greenhouse Effect and Global Warming



The Earth–atmosphere system absorbs energy from the Sun at an average rate of 960 W for each square meter of the planet's cross-sectional area,  $\pi R_E^2$ , where  $R_E$  is Earth's radius (see the diagram). This quantity is designated  $S$ , so we write  $S = 960 \text{ W/m}^2$ . This value accounts for night and day; for clouds; and for the reflection of sunlight from ice, snow, deserts, and other highly reflective surfaces and especially from particulate matter in the atmosphere. Therefore, the rate at which the entire Earth–atmosphere system absorbs energy is  $P_{\text{incoming}} = \pi R_E^2 S$ . This incoming energy causes Earth to warm until it loses energy at the same rate. Since it's surrounded by the vacuum of space, Earth can only lose energy by radiation. Since Earth is much cooler than the Sun, that radiation is in the form of invisible infrared. Furthermore, as the diagram shows, Earth radiates from its entire surface area,  $4\pi R_E^2$ . Earth's

emissivity for infrared radiation is essentially 1, so Earth radiates energy at a rate given by the Stefan–Boltzmann law, Equation 16.9:

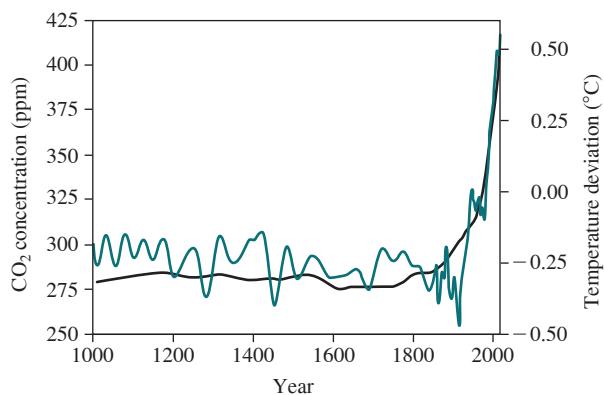
$$P_{\text{outgoing}} = \sigma 4\pi R_E^2 T^4$$

where  $T$  is Earth's average temperature. Equating this outgoing power to the rate at which solar energy arrives from the Sun gives a statement of energy balance:

$$\pi R_E^2 S = \sigma 4\pi R_E^2 T^4$$

Solving for the temperature then gives  $T = 255\text{ K} = -18^\circ\text{C}$  or  $0^\circ\text{F}$ . Is this reasonable? It's certainly in the right ballpark—not so hot as to boil the oceans or so cold as to freeze the atmosphere. But  $0^\circ\text{F}$  seems a bit cold for a global average temperature. And it is: Earth's average temperature is around  $15^\circ\text{C}$  or  $59^\circ\text{F}$ . Why the discrepancy?

The answer lies with Earth's atmosphere. The dominant atmospheric gases, nitrogen and oxygen, are largely transparent to both incoming sunlight and outgoing infrared. But others—the so-called **greenhouse gases**, especially water vapor and carbon dioxide—let sunlight pass through but impede outgoing infrared. As a result, Earth's surface temperature has to be higher to get the same total radiation to space. This is the **natural greenhouse effect**, and it explains the 33°C temperature difference between our simple calculation and Earth's actual surface temperature. Neighbor planets confirm this reasoning. Mars, with very little atmosphere, exhibits almost no greenhouse warming. Venus, whose atmosphere is 100 times denser than Earth's and largely CO<sub>2</sub>, has a “runaway” greenhouse effect that keeps its surface hotter than an oven. You can explore the climates of our neighbor planets in Problem 77.

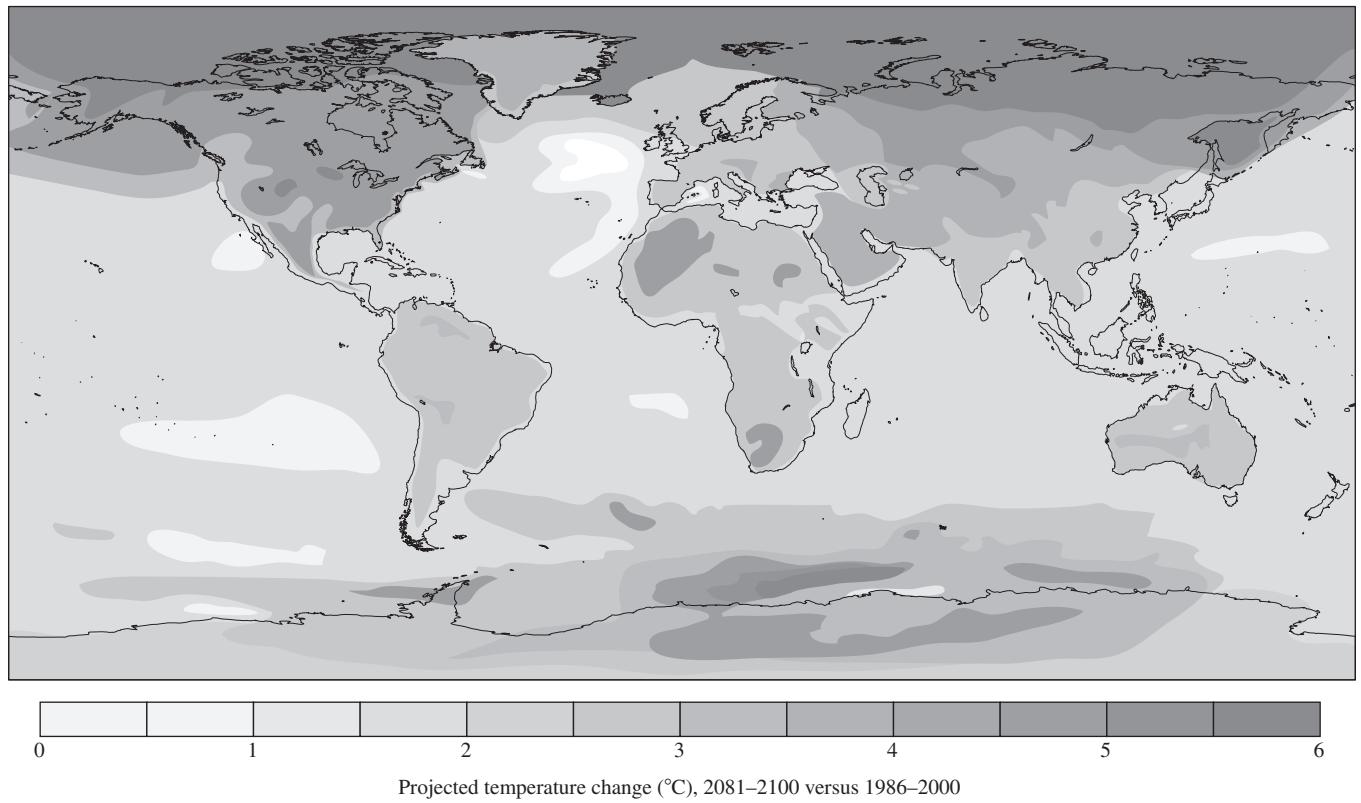


**Atmospheric CO<sub>2</sub> concentration (black) and global temperature (color) from CE 1000–2018. Temperature is given as a deviation from the average for 1961–1990. Data through 1859 are reconstructed based on tree rings and other proxies; data from 1859 on are 10-year averages from thermometer records. The industrial era began around 1750.**

As the graph shows, humans have increased atmospheric carbon dioxide more than 40% since the start of the industrial era, to a level—now over 410 parts per million—that the planet has not seen for millions of years. Combustion of fossil fuels is the dominant source of this CO<sub>2</sub>, although

processes like deforestation and cement production also contribute. Other greenhouse gases, such as methane, also contribute to humankind's enhancement of the greenhouse effect. Basic physics then dictates that Earth's surface temperature should rise. How much and how fast depend on complex interactions among atmosphere, surface, oceans, and life, and on future greenhouse-gas emissions. Nevertheless, a consensus among climate scientists suggests that Earth has warmed by some 1°C since the mid-19th century, with most of this warming attributable to human activities—especially combustion of fossil fuels and the resulting CO<sub>2</sub> emissions (see the graph). Further warming in the range of 1.5°C–5°C is projected by the year 2100, with the low end requiring substantial curtailing of greenhouse-gas emissions and the high end corresponding to “business as usual.”

Although even a 5°C increase may seem modest, the *rate* of increase in all scenarios for the 21st century is far greater than most natural climate change. Furthermore, as the map shows, warming will not be distributed evenly over the globe but will be greatest in the arctic and over most land masses. One of many serious consequences of this rapid warming is a rise in sea level, which is already occurring substantially more rapidly than its average rate over the past 2000 years. During the last so-called interglacial warm period, some 120,000 years ago, sea level was between 5 and 10 m higher than it is today—enough to swamp Earth's coastal cities. The temperature at that time was likely only a little more than 2°C above the pre-industrial temperature of the 18th and 19th centuries. Considerations such as this have led the world's governments to adopt the goal of limiting the planet's industrial-era temperature rise to no more than 2°C. Given that we're about halfway there already, achieving this goal will require drastic changes in the way we produce energy.

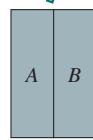


# Chapter 16 Summary

## Big Ideas

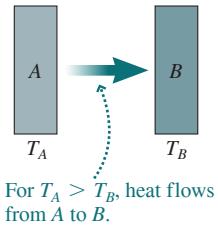
The big ideas here are **temperature** and **heat**. Temperature is a property common to systems in **thermodynamic equilibrium**. Temperature is quantified in SI units using the **Kelvin scale**, currently defined in terms of gas-based thermometers.

Systems A and B have been in thermal contact with no further macroscopic changes.



They've reached thermodynamic equilibrium and so have the same temperature.

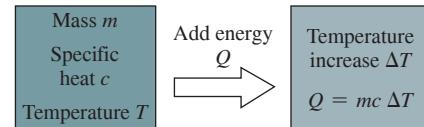
**Heat** is energy in transit as a result of a temperature difference.



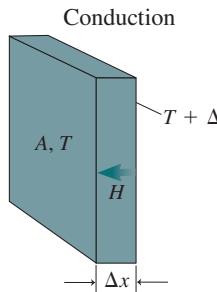
## Key Concepts and Equations

**Heat capacity** and **specific heat** quantify the energy  $Q$  required to raise an object's temperature by  $\Delta T$ :

$$Q = mc \Delta T$$

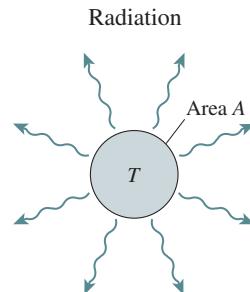
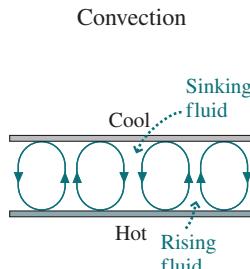


Three important heat-transfer mechanisms are:



$$H = -kA \frac{\Delta T}{\Delta x}$$

(conductive heat flow)

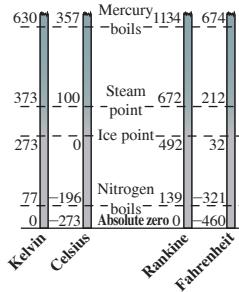


$$P = e\sigma AT^4$$

(Stefan–Boltzmann law; radiated power)

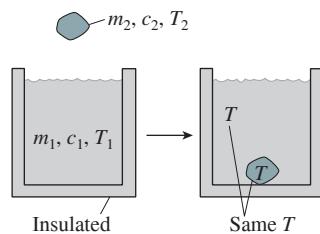
## Applications

Temperature scales include Kelvin (K), Celsius (°C), Fahrenheit (°F), and Rankine (°R).

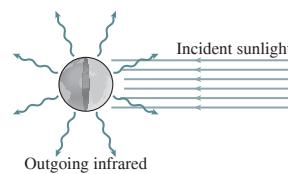
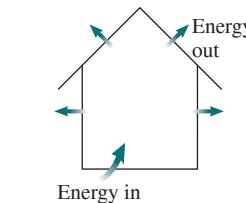


The Kelvin and Celsius scales are related by  $T_C = T - 273.15$ . The relation between Fahrenheit and Celsius scales is  $T_F = \frac{9}{5}T_C + 32$ .

**Equilibrium temperature:** Combining two systems at different temperatures results in a common equilibrium temperature given by  $m_1c_1 \Delta T_1 + m_2c_2 \Delta T_2 = 0$ .



**Energy balance:** A system experiencing both energy input and energy loss comes to energy balance at the temperature for which the energy-loss rate equals the rate of energy input.



**Mastering Physics**

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as  
Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

### Learning Outcomes After finishing this chapter you should be able to:

- LO 16.1 Define *heat*, *temperature*, and *thermodynamic equilibrium*, and convert between temperature scales.  
*For Thought and Discussion Questions 16.1, 16.2, 16.3; Exercises 16.11, 16.12, 16.13, 16.14, 16.15, 16.16, 16.17; Problems 16.43, 16.44, 16.45*

- LO 16.2 Solve problems involving heat capacity and specific heat.  
*For Thought and Discussion Questions 16.4, 16.5, 16.10; Exercises 16.18, 16.19, 16.20, 16.21, 16.22, 16.23; Problems 16.46, 16.47, 16.48, 16.49, 16.50, 16.51, 16.52, 16.53, 16.54, 16.55, 16.57, 16.58, 16.59, 16.60, 16.67, 16.68, 16.74, 16.75, 16.76*

- LO 16.3 Describe the three main heat-transfer mechanisms.  
*For Thought and Discussion Questions 16.6, 16.7*

- LO 16.4 Solve problems involving conductive heat transfer, including applications to building insulation.  
*For Thought and Discussion Questions 16.8, 16.9; Exercises 16.24, 16.25, 16.26, 16.27, 16.28, 16.29; Problems 16.61, 16.62, 16.65, 16.66, 16.71, 16.78, 16.80*
- LO 16.5 Solve problems involving radiative heat transfer.  
*Exercise 16.30; Problems 16.56, 16.65, 16.69, 16.70, 16.72, 16.73*
- LO 16.6 Evaluate temperatures for systems in thermal-energy balance, including applications in climate science.  
*Exercises 16.31, 16.32, 16.33, 16.34; Problems 16.63, 16.64, 16.77, 16.79, 16.81, 16.82*

### For Thought and Discussion

1. Does a thermometer measure its own temperature or the temperature of its surroundings? Explain.
2. Compare the relative sizes of the kelvin, the degree Celsius, the degree Fahrenheit, and the degree Rankine.
3. If you put a thermometer in direct sunlight, what do you measure: the air temperature, the temperature of the Sun, or some other temperature?
4. Why does the temperature in a stone building usually vary less than in a wooden building?
5. Why do large bodies of water exert a temperature-moderating effect on their surroundings?
6. Stainless-steel cookware often has a layer of aluminum or copper embedded in the bottom. Why?
7. What method of energy transfer dominates in baking? In broiling?
8. Glass and fiberglass are made from the same material, yet have dramatically different thermal conductivities. Why?
9. To keep your hands warm while skiing, you should wear mittens instead of gloves. Why?
10. Global warming at Earth's surface is generally producing greater temperature rises over land than over the oceans. Why might this be?

### Exercises and Problems

#### Exercises

##### Section 16.1 Heat, Temperature, and Thermodynamic Equilibrium

11. A 2017 Stanford University study suggests there's a 50% chance **ENV** that the global temperature increase by the year 2100 will lie in the range  $3.0^{\circ}\text{C}$  to  $4.2^{\circ}\text{C}$ . Translate this range into Fahrenheit.
12. A Canadian meteorologist predicts an overnight low of  $-15^{\circ}\text{C}$ . How would a U.S. meteorologist express that prediction?
13. Normal room temperature is  $68^{\circ}\text{F}$ . What's this in Celsius?
14. The outdoor temperature rises by  $10^{\circ}\text{C}$ . What's that rise in Fahrenheit?

15. At what temperature do the Fahrenheit and Celsius scales coincide?
16. The normal boiling point of nitrogen is  $77.3\text{ K}$ . Express this in Celsius and Fahrenheit.
17. A sick child's temperature reads  $39.1$  on a Celsius thermometer. What's the temperature in Fahrenheit?

##### Section 16.2 Heat Capacity and Specific Heat

18. Find the heat capacity of a 55-tonne concrete slab.
19. Find the energy needed to raise the temperature of a 2.0-kg chunk of aluminum by  $18^{\circ}\text{C}$ .
20. What's the specific heat of a material if it takes  $7.5\text{ kJ}$  to increase the temperature of a 1-kg sample by  $3.0^{\circ}\text{C}$ ?
21. The average human diet contains about  $2000\text{ kcal}$  per day. If all **BIO** this food energy is released rather than stored as fat, what's the approximate average power output of the human body?
22. Walking at  $3\text{ km/h}$  requires an energy expenditure rate of about **BIO**  $200\text{ W}$ . How far would you have to walk to "burn off" a  $420\text{-kcal}$  hamburger?
23. (a) How much heat does it take to bring a 3.4-kg iron skillet from  $20^{\circ}\text{C}$  to  $130^{\circ}\text{C}$ ? (b) If the heat is supplied by a stove burner at the rate of  $2.0\text{ kW}$ , how long will it take to heat the pan?

##### Section 16.3 Heat Transfer

24. Building heat loss in the United States is usually expressed in  $\text{Btu/h}$ . What's  $1\text{ Btu/h}$  in SI units?
25. Find the magnitude of the heat-loss rate per square meter through slabs of (a) wood and (b) Styrofoam, each  $2.0\text{ cm}$  thick, if one surface is at  $20^{\circ}\text{C}$  and the other is at  $0^{\circ}\text{C}$ .
26. You're a builder who's advising a homeowner to have her **ENV** foundation walls insulated with 2 inches of Styrofoam. To make your point, you tell her how thick the concrete walls (normally 8 inches) would have to be to have the same insulating value as 2 inches of Styrofoam. What's this thickness?
27. An  $8.0\text{ m}$  by  $12\text{ m}$  house is built on a concrete slab  $23\text{ cm}$  thick. Find the heat-loss rate through the floor if the interior is at  $20^{\circ}\text{C}$  while the ground is at  $10^{\circ}\text{C}$ .
28. Find the  $\mathcal{R}$ -factor for a wall that loses  $0.040\text{ Btu}$  each hour through each square foot for each  $^{\circ}\text{F}$  temperature difference.

29. Compute the  $\mathcal{R}$ -factors for 1-inch thicknesses of air, concrete, fiberglass, glass, Styrofoam, and wood.
30. A horseshoe has surface area  $50 \text{ cm}^2$ , and a blacksmith heats it to a red-hot  $810^\circ\text{C}$ . At what rate does it radiate energy?

### Section 16.4 Thermal-Energy Balance

31. An oven loses energy at the rate of  $14 \text{ W per } ^\circ\text{C}$  temperature difference between its interior and the  $20^\circ\text{C}$  temperature of the kitchen. What average power must be supplied to maintain the oven at  $180^\circ\text{C}$ ?
32. You're having your home's heating system replaced, and the heating contractor has specified a new system that supplies energy at the maximum rate of  $40 \text{ kW}$ . You know that your house loses energy at the rate of  $1.3 \text{ kW per } ^\circ\text{C}$  temperature difference between interior and exterior, and the minimum winter temperature in your area is  $-15^\circ\text{C}$ . You'd like to maintain  $20^\circ\text{C}$  ( $68^\circ\text{F}$ ) indoors. Should you go with the system your contractor recommends?
33. The filament of a  $100\text{-W}$  lightbulb is at  $3.0 \text{ kK}$ . What's the filament's surface area?
34. A typical human body has surface area  $1.4 \text{ m}^2$  and skin temperature  $33^\circ\text{C}$ . If the body's emissivity is about 1, what's the net radiation from the body when the ambient temperature is  $18^\circ\text{C}$ ? **BIO**

### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

35. **Example 16.2:** An iron frying pan of mass  $2.65 \text{ kg}$  is at  $144^\circ\text{C}$  when it's plunged into a sink full of  $10.9 \text{ kg}$  of water at  $21.0^\circ\text{C}$ . Assuming no heat loss, what's the equilibrium temperature of the water and pan?
36. **Example 16.2:** You've got a  $2.33\text{-kg}$  aluminum skillet on a hot stove burner, and the skillet is at a sizzling  $286^\circ\text{C}$ . You plan to plunge the skillet into  $25^\circ\text{C}$  water to cool it. What's the minimum amount of water that will keep the equilibrium temperature below  $40^\circ\text{C}$ ?
37. **Example 16.2:** During the refueling of a nuclear power plant, 248 spent fuel assemblies are moved from the reactor to a spent fuel pool. Each fuel assembly has mass  $322 \text{ kg}$  and specific heat  $284 \text{ J/kg}\cdot\text{K}$ , and they come from the reactor at an average temperature of  $658^\circ\text{C}$ . The spent fuel pool contains 1720 tonnes (1720 Mg) of water initially at  $15.0^\circ\text{C}$ . By how much does the water temperature increase once it comes to equilibrium with the fuel rods? (In this situation, though, the water temperature rises further because of energy generated by radioactive decay in the fuel rods.)
38. **Example 16.2:** A manufacturer of brass starts with  $755 \text{ kg}$  of molten copper at  $1350^\circ\text{C}$ , then adds molten zinc at  $469^\circ\text{C}$ . The specific heats of molten copper and zinc are respectively  $572 \text{ J/kg}\cdot\text{K}$  and  $497 \text{ J/kg}\cdot\text{K}$ . If the equilibrium temperature of the mix is  $1170^\circ\text{C}$ , what percent of the alloy's mass is zinc?
39. **Example 16.7:** A solar greenhouse has  $435 \text{ ft}^2$  of  $\mathcal{R}$ -45 walls and  $285 \text{ ft}^2$  of  $\mathcal{R}$ -2.1 glass that admits solar energy at the average rate of  $35.6 \text{ Btu/h/ft}^2$ . Find the greenhouse temperature on a day when the outdoor temperature is  $-10.5^\circ\text{F}$ .
40. **Example 16.7:** A solar greenhouse in Europe has  $51.5 \text{ m}^2$  of walls insulated to  $\mathcal{R} = 9.56 \text{ m}^2\cdot\text{K/W}$  and  $32.3 \text{ m}^2$  of glass with

$\mathcal{R} = 0.21 \text{ m}^2\cdot\text{K/W}$  that admits solar energy at the average rate of  $112 \text{ W/m}^2$ . What's the minimum outdoor temperature for which the greenhouse interior will stay above freezing?

41. **Example 16.7:** An asteroid in the belt between Mars and Jupiter absorbs solar energy at the average rate of  $96.2 \text{ W}$  for every square meter of its surface. If the asteroid behaves like a blackbody, what's its surface temperature?
42. **Example 16.7:** The *habitable zone* around a given star is defined as the region in which a planet's surface temperature is consistent with the existence of liquid water. The red dwarf star Trappist-1 has a luminosity (total power output) only  $0.000522$  that of the Sun. Determine the range of distances from Trappist-1 that mark its habitable zone. Assume terrestrial atmospheric pressure, under which water freezes at  $0^\circ\text{C}$  and boils at  $100^\circ\text{C}$ . Assume also that any planet in this range behaves like a blackbody and, for the reason described this chapter's Application: The Greenhouse Effect and Global Warming, that a planet absorbs solar energy over its cross-sectional area but radiates from its entire surface. *Hint:* You'll need to consult the inside back cover and maybe review Section 14.4. (There are, in fact, seven planets in Trappist-1's habitable zone.)

### Problems

43. A constant-volume gas thermometer is filled with air whose pressure is  $101 \text{ kPa}$  at the normal melting point of ice. What would its pressure be at (a) the normal boiling point of water ( $373 \text{ K}$ ), (b) the normal boiling point of oxygen ( $90.2 \text{ K}$ ), and (c) the normal boiling point of mercury ( $630 \text{ K}$ )?
44. A constant-volume gas thermometer is at  $55\text{-kPa}$  pressure at the triple point of water. By how much does its pressure change for each kelvin temperature change?
45. In Fig. 16.2's gas thermometer, the height  $h$  is  $60.0 \text{ mm}$  at the triple point of water. When the thermometer is immersed in boiling sulfur dioxide, the height drops to  $57.8 \text{ mm}$ . What is the boiling point of  $\text{SO}_2$  in kelvins and in degrees Celsius?
46. If your mass is  $60 \text{ kg}$ , what's the minimum number of Calories (kcal) you would "burn off" climbing a  $1700\text{-m}$ -high mountain? (Note: The actual metabolic energy used would be much greater.) **BIO**
47. Typical fats contain about  $9 \text{ kcal}$  per gram. If the energy in body fat **BIO** could be utilized with  $100\%$  efficiency, how much mass would a runner lose in a  $26.2\text{-mile}$  marathon while consuming  $125 \text{ kcal/mile}$ ?
48. A circular lake  $1.0 \text{ km}$  in diameter **ENV** is  $10 \text{ m}$  deep (Fig. 16.14). Solar energy is incident on the lake at an average rate of  $200 \text{ W/m}^2$ . If the lake absorbs all this energy and does not exchange heat with its surroundings, how long will it take to warm from  $10^\circ\text{C}$  to  $20^\circ\text{C}$ ?
49. How much heat is required to raise an  $800\text{-g}$  copper pan from  $15^\circ\text{C}$  to  $90^\circ\text{C}$  if (a) the pan is empty or contains (b)  $1.0 \text{ kg}$  of water and (c)  $4.0 \text{ kg}$  of mercury?
50. Initially,  $100 \text{ g}$  of water and  $100 \text{ g}$  of another substance listed in Table 16.1 are at  $20^\circ\text{C}$ . Heat is then transferred to each substance at the same rate for  $1.0 \text{ min}$ . At the end of that time, the water is at  $32^\circ\text{C}$  and the other substance at  $76^\circ\text{C}$ . (a) What's the other substance? (b) What's the heating rate?
51. You draw  $330 \text{ mL}$  of  $10^\circ\text{C}$  water from the tap and pop it into a  $900\text{-W}$  microwave oven to heat for tea. How long should you microwave the water so it just reaches the boiling point?
52. Two neighbors return from Florida to find their houses at a frigid  $35^\circ\text{F}$ . Each house has a furnace that can supply  $100,000 \text{ Btu/h}$ .

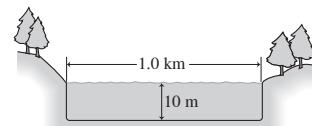
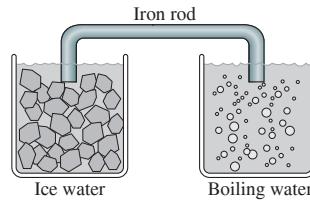


FIGURE 16.14 Problem 48

One house is made of stone and weighs 75 tons. The other is wood and weighs 15 tons. How long does it take each house to reach 65°F? Neglect heat loss, and assume the entire house mass reaches a uniform temperature.

53. You're arguing with your roommate about whether it's quicker to heat water on a stove burner or in a microwave. The burner supplies energy at the rate of 1.0 kW, the microwave at 625 W. You can heat water in the microwave in a paper cup of negligible heat capacity, but the stove requires a pan with heat capacity 1.4 kJ/K. How much water do you need before it becomes quicker to heat on the stovetop? Neglect energy loss to the surroundings.
54. In the 2011 nuclear accident at Fukushima, Japan, an **ENV** earthquake-triggered tsunami wiped out emergency generators, leaving three reactors without a source of cooling water. Although safety systems shut down the reactors during the earthquake, radioactive decay continued to generate thermal energy at the rate of some 33 MW. In a desperate attempt to cool the reactors, operators used fire engines to pump seawater into the reactors. If 650 m<sup>3</sup> of 10°C seawater were injected into a reactor, how long would it take that 33 MW of thermal power to bring the water to the boiling point?
55. A 1.2-kg iron tea kettle sits on a 2.0-kW stove burner. If it takes 5.4 min to bring the kettle and the water in it from 20°C to the boiling point, how much water is in the kettle?
56. The temperature of the eardrum provides a reliable measure of **BIO** deep body temperature and is measured quickly with ear thermometers that sense infrared radiation. A thermometer that "views" 1 mm<sup>2</sup> of the eardrum requires 100 μJ of energy for a reliable reading at normal 37°C body temperature. How long does the measurement take?
57. A 1500-kg car moving at 40 km/h is brought to a sudden stop. If all the car's energy is dissipated in heating its four 5.0-kg steel brake disks, by how much do the disk temperatures increase?
58. A washing machine's "warm" setting calls for water at 34.0°C. If the cold-water supply is at 12.4°C and the hot-water supply is at 51.7°C, what ratio of hot to cold water should the washing machine's fill valves admit to the machine?
59. A piece of copper at 300°C is dropped into 1.0 kg of water at 20°C. If the equilibrium temperature is 25°C, what's the mass of the copper?
60. While camping, you boil water to make spaghetti. Your pot contains 2.5 kg of water initially at 10°C. You stoke up the campfire, and as a result the water gains energy at an increasing rate:  $P = a + bt$ , where  $a = 1.1 \text{ kW}$ ,  $b = 2.3 \text{ W/s}$ , and  $t$  is the time in s. To the nearest minute, how long will it take to bring the water to a boil?
61. A biology lab's walk-in cooler measures 3.0 m by 2.0 m by 2.3 m and is insulated with 8.0-cm-thick Styrofoam. If the surrounding building is at 20°C, at what average rate must the cooler's refrigeration unit remove heat in order to maintain 4.0°C in the cooler?
62. One end of an iron rod 40 cm long and 3.0 cm in diameter is in ice water, the other in boiling water (Fig. 16.15). The rod is well insulated so no heat is lost out the sides. Find the heat-flow rate along the rod.
63. You arrive for a party on a night when it's 8°C outside. Your hosts meet you at the door and say the party may need to be cancelled, because the heating system has failed and they don't want to discomfort their guests. You say, "Not so fast!" A total of 36 people are expected, the average power output of a human body is 100 W, and the



**FIGURE 16.15** Problem 62

house loses energy at the rate 320 W/°C. Will the house remain comfortable?

64. An electric stove burner has surface area 325 cm<sup>2</sup> and emissivity  $\epsilon = 1$ . The burner consumes 1500 W and is at 900 K. If room temperature is 300 K, what fraction of the burner's heat loss is from radiation?
65. **CH** In a low-temperature physics experiment, a metal block is surrounded on five faces by near-perfect insulation that prevents any conductive heat loss, and it's coated on those faces with a perfect reflector that prevents radiation. The remaining face is painted black so it behaves like a blackbody of emissivity 1, and it's covered with a slab of material with thermal conductivity  $k$  and thickness  $d$  that's transparent to radiation. The other side of the slab is in contact with liquid helium at nearly 0 K. (a) Find an expression for the temperature of the metal block if it loses energy equally by radiation and conduction. You can assume that heat flows straight through the slab, perpendicular to its interface with the metal block, with no heat loss out its sides. (b) Evaluate your expression when the slab is 2.85 cm thick and is made of insulating foam with  $k = 0.0166$ .
66. You're considering purchasing a new sleeping bag whose manufacturer claims it will keep you warm to -10°F. The bag has down insulation with 4.0-cm loft (thickness). Your body produces heat at the rate of 100 W and has area 1.5 m<sup>2</sup>. Considering only conductive heat loss, will you be able to maintain normal body temperature in the bag at -10°F?
67. A blacksmith heats a 1.1-kg iron horseshoe to 550°C, then plunges it into a bucket containing 15 kg of water at 20°C. What's the equilibrium temperature?
68. What's the power output of a microwave oven that can heat 430 g of water from 20°C to the boiling point in 2.5 min? Neglect the container's heat capacity.
69. A cylindrical log 15 cm in diameter and 65 cm long is glowing red hot in a fireplace. The log's emissivity is essentially 1. If it's emitting radiation at the rate of 34 kW, what's its temperature?
70. A blue giant star whose surface temperature is 23 kK radiates energy at the rate of  $3.4 \times 10^{30} \text{ W}$ . Find the star's radius, assuming it behaves like a blackbody.
71. Rework Example 16.4, now assuming the house has 10 **ENV** single-glazed windows, each measuring 2.5 ft by 5.0 ft. Four of the windows are on the south, and each admits solar energy at the average rate of 30 Btu/h·ft<sup>2</sup>. All the windows lose heat; their  $R$ -factor is 0.90. (a) Find the total heating cost for the month. (b) How much is the solar gain worth?
72. In 2014 the European Space Agency's *Rosetta* spacecraft was 5000 km from the comet 67P/Churyumov-Gerasimenko. *Rosetta* turned its infrared sensors toward the comet and measured a flux of 96.3 W per square meter of cometary surface. Assuming the dark, dusty comet radiated like a blackbody, what was its temperature?
73. Estimate the average temperature on Pluto, treating the dwarf planet as a blackbody whose great distance from the Sun means that it receives energy from the Sun at the rate of only 0.876 W/m<sup>2</sup>.
74. **DATA** The table below shows temperature versus time for 500 g of water heated in a microwave oven. In a microwave, essentially all the microwave energy goes into the water-containing food in the oven. Plot the data, determine a best-fit line, and use the slope of your line to determine the microwave power of this particular oven. Assume that water's specific heat is independent of temperature (which is only approximately true; see Problem 75).

Time (s)	0	25	60	95	125	160	190
Temperature (°C)	12	20	39	53	64	83	93

75. Water's specific heat in the range from 0°C to 100°C varies with temperature according to the equation  $c(T) = c_0 + aT + bT^2$ , where  $c_0 = 4207.9 \text{ J/kg}\cdot\text{K}$ ,  $a = -1.292 \text{ J/kg}\cdot\text{K}^2$ , and  $b = 0.01330 \text{ J/kg}\cdot\text{K}^3$ . Use this expression to find the heat required to raise the temperature of 1.000 kg of water from 0°C to 100°C. By what percentage does this differ from the result you would get using the value of  $c$  in Table 16.1 over the entire temperature range?
76. At low temperatures the specific heats of solids are approximately proportional to the cube of the temperature:  $c(T) = a(T/T_0)^3$ . For copper,  $a = 31 \text{ J/g}\cdot\text{K}$  and  $T_0 = 343 \text{ K}$ . Find the heat required to bring 40 g of copper from 10.0 K to 25.0 K.
77. The Application on global warming (page 305) gives  $960 \text{ W/m}^2$  as the average rate at which solar energy reaches Earth. You can approximate the solar energy rate reaching other planets by scaling this quantity by the inverse square of the planet's distance from the Sun (see Appendix E)—although what you'll get is only an approximation because that  $960 \text{ W/m}^2$  includes effects of clouds and reflection that are unique to Earth and, more importantly, it neglects the greenhouse effect. Follow the procedure used in the Application to find approximations to the temperatures of Mars and Venus, and compare with their mean measured surface temperatures (you'll have to research those). Your results suggest that Mars has very little greenhouse effect, while Venus exhibits a “runaway” greenhouse effect resulting in a very high surface temperature.
78. In a cylindrical pipe where area isn't constant, Equation 16.5 takes the form  $H = -kA(dT/dr)$ , where  $r$  is the radial coordinate measured from the pipe axis. Use this equation to show that the heat-loss rate from a cylindrical pipe of radius  $R_1$  and length  $L$  is

$$H = \frac{2\pi kL(T_1 - T_2)}{\ln(R_2/R_1)}$$

where the pipe is surrounded by insulation of outer radius  $R_2$  and thermal conductivity  $k$  and where  $T_1$  and  $T_2$  are the temperatures at the pipe surface and the outer surface of the insulation, respectively. (Hint: Consider the heat flow through a thin section of pipe, with thickness  $dr$ , as shown in Fig. 16.16. Then integrate.)

79. A friend who's skeptical about climate change argues that the roughly  $0.85^\circ\text{C}$  increase in Earth's temperature during the industrial era could be caused by an increase in the Sun's power output. The Sun's average power has, in fact, increased by about 0.05% during this time. Could your friend be right?
80. Your family is winterizing its lakefront camp, and you want at least  $\mathcal{R}$ -19 insulation in the walls. You've got some European-made insulation with  $\mathcal{R}$ -factor  $3.5 \text{ m}^2\cdot\text{K/W}$ . Will it do?
81. A passive solar house has south-facing windows that, in winter, admit solar energy at an average rate of  $2.2 \text{ kW}$ . The house is well insulated, losing only  $55 \text{ W}$  for every  $^\circ\text{C}$  temperature difference between inside and outside. What's the minimum outdoor temperature for which the house can maintain  $21^\circ\text{C}$  inside?
82. A more realistic approach to the solar greenhouse of Example 16.7 considers the time dependence of the solar input. A function that approximates the solar input is  $(40 \text{ Btu/h/ft}^2) \sin^2(\pi t/24)$ , where  $t$  is the time in hours, with  $t = 0$  at midnight. Then the greenhouse is no longer in energy balance, but is described instead by the differential form of Equation 16.3 with  $Q$  the time-varying energy input. Use computer software or a calculator with differential-equation-solving capability to find the time-dependent temperature of the greenhouse,

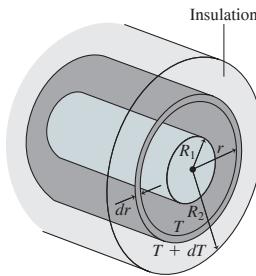


FIGURE 16.16 Problem 78

and determine the maximum and minimum temperatures. Assume the same numbers as in Example 16.7, along with a heat capacity  $C = 1500 \text{ Btu}^\circ\text{F}$  for the greenhouse. You can assume any reasonable value for the initial temperature, and after a few days your greenhouse temperature should settle into a steady oscillation independent of the initial value.

### Passage Problems

Fiberglass is a popular, economical, and fairly effective building insulation. It consists of fine glass fibers—often including recycled glass—formed loosely into rectangular slabs or rolled into blankets (Fig. 16.17). One side is often faced with heavy paper or aluminum foil. Fiberglass insulation comes in thicknesses compatible with common building materials—for example, 3.5 inch and 6 inch for wood-framed walls. Standard 6-inch fiberglass has an  $\mathcal{R}$ -factor of 19.

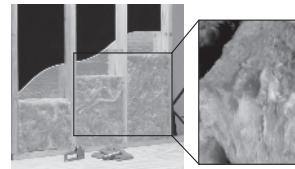


FIGURE 16.17 End view of a slab of fiberglass insulation (Passage Problems 83–86).

83. Fiberglass insulation owes its insulating quality primarily to  
 a. the low thermal conductivity of glass.  
 b. its ability to block cold air infiltration.  
 c. the low thermal conductivity of air trapped between the glass fibers.
84. One purpose of foil facing on fiberglass insulation is to reduce heat loss by  
 a. conduction.  
 b. convection.  
 c. radiation.
85. Fiberglass insulation for attics is available in 12-inch thickness. Its  $\mathcal{R}$ -factor is  
 a. 38.  
 b. 76.  
 c. 29.
86. Since fiberglass insulation is readily compressible, you could squash two slabs initially 6 inches wide into a 6-inch wall space. This would  
 a. double the overall  $\mathcal{R}$ -factor.  
 b. increase the overall  $\mathcal{R}$ -factor but not double it.  
 c. decrease the overall  $\mathcal{R}$ -factor.  
 d. not change the overall  $\mathcal{R}$ -factor.

### Answers to Chapter Questions

#### Answer to Chapter Opening Question

The photo is taken in infrared light, and the amount of infrared radiation increases rapidly with increasing temperature. The car's wheels are glowing with infrared, a result of frictional heating when the brakes were recently applied.

#### Answers to GOT IT? Questions

- 16.1 (b)  
 16.2 (a) The rock's temperature changes more because its specific heat is lower.  
 16.3  $\Delta T_2 < \Delta T_3 < \Delta T_1$ ; Since  $H$  and  $\Delta x$  are the same for each slab, the product  $k \Delta T$  must be constant, so a higher conductivity means a lower  $\Delta T$ .  
 16.4 (1) Radiation; (2) conduction; (3) convection  
 16.5 (b) Because as the temperature rises so does the heat-loss rate—eventually bringing the house into energy balance.

# The Thermal Behavior of Matter

## Skills & Knowledge You'll Need

- The concept of temperature (Section 16.1)
- Kinetic energy (Section 6.4)
- Newton's second and third laws (Chapter 4)

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 17.1** Describe gases quantitatively using the ideal-gas law.
- LO 17.2** Describe the distribution of molecular speeds and deviations from ideal-gas behavior.
- LO 17.3** Determine energies involved in phase changes.
- LO 17.4** Analyze phase diagrams.
- LO 17.5** Calculate thermal expansion and contraction of materials, including water.



What unusual property of water is evident in this photo?

Matter responds to heating in several ways. It may get hotter or may melt. It may change size, shape, or pressure. This chapter explores the thermal behavior of matter. We start with a simple gaseous state, whose behavior follows from Newtonian mechanics at the molecular level. We then move to liquids and solids, whose behavior is still grounded in the molecular properties of matter, but whose description is more empirical.

## 17.1 Gases

**LO 17.1** *Describe gases quantitatively using the ideal-gas law.*

**LO 17.2** *Describe the distribution of molecular speeds and deviations from ideal-gas behavior.*

Gases are simple because their molecules are far apart and only rarely interact. That makes gas behavior and its physical explanation particularly straightforward. Developing that explanation will clarify the relation between macroscopic properties—such as temperature and pressure—and the underlying microscopic properties of gas molecules.

## The Ideal-Gas Law

The macroscopic state of a gas in thermodynamic equilibrium is determined by its temperature, pressure, and volume. Moreover, it turns out that all gases exhibit, to a very good approximation, the same relation among these three quantities.

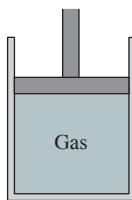


FIGURE 17.1 A piston–cylinder system.

A simple system for studying gas behavior consists of a gas-filled cylinder sealed by a movable piston (Fig. 17.1). This is not just a pedagogical abstraction: Practical devices including engines, pumps, and air compressors contain piston–cylinder systems, while lungs, balloons, gas bubbles, and many other natural systems are analogous to our piston–cylinder system.

If we maintain the system of Fig. 17.1 at constant temperature and move the piston to vary the gas volume, we find that the pressure varies inversely with the volume. If we increase the temperature while holding the volume fixed, the pressure rises in direct proportion to the temperature. If we double the amount of gas while holding temperature and volume constant, the pressure doubles. Putting all these results together, we can write

$$pV = NkT \quad (\text{ideal-gas law}) \quad (17.1)$$

The ideal-gas law relates the product of pressure  $p$ ... ...and volume  $V$ ... ...to the number  $N$  of gas molecules... ...and the gas temperature  $T$ .

*k* is Boltzmann's constant, with the approximate value  $1.38 \times 10^{-23} \text{ J/K}$ .

with  $p$ ,  $V$ , and  $T$  the pressure, volume, and temperature, respectively, and  $N$  the number of molecules in the gas. Equation 17.1 is the **ideal-gas law**. Most real gases obey this law to a very good approximation. The constant  $k$  that appears in the ideal-gas law is **Boltzmann's constant**, named for the Austrian physicist Ludwig Boltzmann (1844–1906), who was instrumental in developing the microscopic description of thermal phenomena. In this chapter we'll use the approximate value  $k = 1.38 \times 10^{-23} \text{ J/K}$ . The new SI, though, gives  $k$  an exact value, providing an explicit-constant definition of the kelvin in terms of the joule. That definition reflects a fundamental relationship between temperature and energy, which we'll develop very soon.

Because the number of molecules  $N$  in a typical gas sample is astronomically large, we often express the ideal-gas law in terms of the number of **moles** (mol) of gas molecules. One mole is an SI unit equal to Avogadro's number,  $N_A$ , of atoms or molecules.  $N_A$  is approximately  $6.022 \times 10^{23}$ , but in the new SI it's been given an exact value that provides an explicit-constant definition of the mole.

If we have  $n$  moles of a gas, then  $N = nN_A$  is the number of molecules, so the ideal-gas law becomes

$$pV = nN_AkT = nRT \quad (17.2)$$

where  $R = N_Ak = 8.314 \text{ J/K} \cdot \text{mol}$  is called the **universal gas constant**.

### EXAMPLE 17.1

#### The Ideal-Gas Law: STP

##### Worked Example with Variation Problems

What volume is occupied by 1.00 mol of an ideal gas at standard temperature and pressure (STP), where  $T = 0^\circ\text{C}$  and  $p = 101.3 \text{ kPa}$ ?

**INTERPRET** We're dealing with an ideal gas, and we're given the amount of gas, the temperature, and the pressure.

**DEVELOP** Because we're given the number of moles  $n$ , we'll use the ideal-gas law in the form of Equation 17.2,  $pV = nRT$ , to find the volume.

**EVALUATE** Solving for  $V$  gives

$$V = \frac{nRT}{p} = \frac{(1.00 \text{ mol})(8.314 \text{ J/K} \cdot \text{mol})(273.15 \text{ K})}{1.013 \times 10^5 \text{ Pa}} = 22.4 \times 10^{-3} \text{ m}^3 = 22.4 \text{ L}$$

where we expressed  $T = 0^\circ\text{C}$  as 273.15 K.

**ASSESS** This result may be familiar from earlier chemistry or physics courses: 1 mole of any ideal gas—no matter what its chemical composition—occupies 22.4 L at standard temperature and pressure.

The ideal-gas law is remarkably simple. Neither its form nor the constants  $k$  and  $R$  depend on the substance making up the gas or on the mass of the gas molecules. Yet most real gases follow the ideal-gas law very closely over a wide range of pressures. This nearly ideal behavior is what gives gas thermometers their high precision over a wide temperature range.

## Kinetic Theory of the Ideal Gas

Why do gases obey such a simple relation among temperature, pressure, and volume? Here we answer that question with an analysis based ultimately on Newtonian mechanics.

We start with some simplifying assumptions:

1. The gas consists of many identical molecules, each with mass  $m$  but negligible size and no internal structure. This assumption is approximately true for real gases when the distance between molecules is large compared with their size. This allows us to neglect intermolecular collisions, an assumption that simplifies our analysis but isn't crucial to the ideal-gas model.
2. The molecules don't exert action-at-a-distance forces on each other. Thus there's no intermolecular potential energy, and therefore the molecules have only kinetic energy. This assumption is fundamental to an ideal gas.
3. The molecules move in random directions with a distribution of speeds that's independent of direction.
4. Collisions with the container walls are elastic, conserving the molecules' energy and momentum. Here's where we tie our gas model to Newtonian mechanics.

Consider  $N$  molecules confined to a rectangular box with length  $L$  (Fig. 17.2). Each molecule that collides with a wall exerts a force. There are so many molecules that individual collisions aren't evident; instead the wall experiences an essentially constant average force. The gas pressure  $p$  is a measure of this force on a unit area. We're going to find an expression for  $p$  and use it to gain deep insights into the ideal-gas law and the meaning of temperature.

Figure 17.3 shows one molecule colliding with the right-hand wall. Since the collision is elastic, the  $y$ -component of the molecule's velocity is unchanged, while the  $x$ -component reverses sign. Thus the molecule undergoes a momentum change of magnitude  $2mv_{xi}$ , where  $i$  labels this particular molecule. After the molecule collides with the right-hand wall, nothing will change its  $x$  velocity until it hits the left-hand wall and its  $x$  velocity again reverses. So it will be back at the right-hand wall in the time  $\Delta t_i = 2L/v_{xi}$  that it takes to go back and forth along the container.

Now each time our molecule collides with the right-hand wall, it delivers momentum  $2mv_{xi}$  to the wall. Newton's second law says that force is the rate of change of momentum. So we can calculate the average force  $\bar{F}_i$  due to one molecule by dividing the momentum delivered,  $2mv_{xi}$ , by the time,  $2L/v_{xi}$ , between collisions:

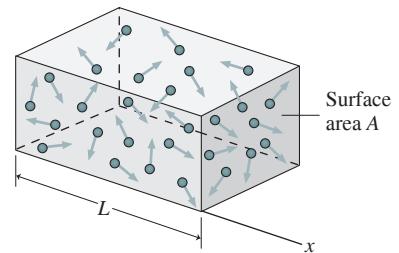
$$\bar{F}_i = \frac{2mv_{xi}}{2L/v_{xi}} = \frac{mv_{xi}^2}{L}$$

To get the total force on the wall, we sum over all  $N$  molecules with their different  $x$  velocities. Dividing by the wall area  $A$  then gives the pressure:

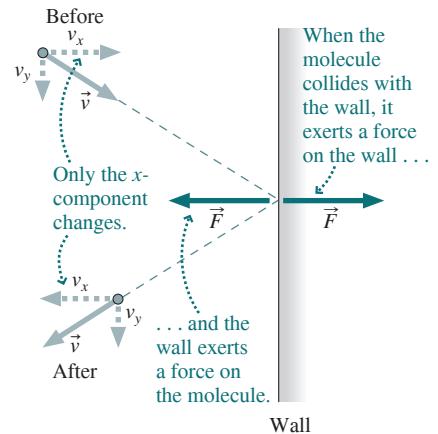
$$p = \frac{\bar{F}}{A} = \frac{\sum \bar{F}_i}{A} = \frac{\sum mv_{xi}^2/L}{A} = \frac{m \sum v_{xi}^2}{AL}$$

The last step follows because the box length  $L$  and molecular mass  $m$  are the same for all molecules, so they factor out of the sum. We can simplify by noting that the denominator  $AL$  is just the volume  $V$ . Let's also multiply by 1 in the form  $N/N$ , with  $N$  the number of molecules. Then we have

$$p = \frac{m \sum v_{xi}^2}{AL} = \frac{mN}{V} \frac{\sum v_{xi}^2}{N}$$



**FIGURE 17.2** Gas molecules confined to a rectangular box.



**FIGURE 17.3** A molecule undergoes an elastic collision, reversing its  $x$ -component and transferring momentum  $2mv_x$  to the wall.

In the final expression here, the term  $\sum v_x^2/N$  is the average of the squares of all the  $x$  velocity components of all the molecules; we designate this quantity  $\overline{v_x^2}$ . So the pressure becomes

$$p = \frac{mN}{V} \overline{v_x^2}$$

We still haven't used assumption 3—that the molecules move in random directions with speeds independent of direction. If we grab a molecule at random, that means we're just as likely to find it moving in the  $x$ -direction, the  $y$ -direction, the  $z$ -direction, or any direction in between—and its speed, on average, won't depend on its direction of motion. So the average quantities  $\overline{v_x^2}$ ,  $\overline{v_y^2}$ , and  $\overline{v_z^2}$  must be equal. Since the three directions  $x$ ,  $y$ , and  $z$  are perpendicular, the average of the molecular speeds squared is  $\overline{v^2} = \overline{v_x^2} + \overline{v_y^2} + \overline{v_z^2}$ . We've just argued that all three terms on the right are equal, so we can write  $\overline{v^2} = 3\overline{v_x^2}$ , or  $\overline{v_x^2} = \frac{1}{3}\overline{v^2}$ . Then our expression for pressure becomes

$$p = \frac{mN}{3V} \overline{v^2}$$

Multiplying through by  $V$  and by 1 in the form 2/2, we have

$$pV = \frac{2}{3}N \left( \frac{1}{2}mv^2 \right)$$

This looks a lot like the ideal-gas law (Equation 17.1), except that instead of  $kT$  we have  $\frac{2}{3} \left( \frac{1}{2}mv^2 \right)$ . Take a good look at the quantity in parentheses: You'll see that it's just the average kinetic energy of a gas molecule.

Think about what we've done here. We applied the fundamental laws of mechanics to an ideal gas and came up with an equation that looks like the experimentally verified ideal-gas law, except that it's expressed in terms of a microscopic quantity—molecular kinetic energy—rather than the macroscopic quantity temperature. Since our equation describes the behavior of an ideal gas, it *must be* the ideal-gas law. Comparing with the ideal-gas law in the form 17.1, we must therefore have

On the left is the mean molecular kinetic energy.	On the right is the temperature $T$ .
$\overbrace{\frac{1}{2}mv^2}^{\text{On the left is the mean molecular kinetic energy.}} = \overbrace{\frac{3}{2}kT}^{\text{On the right is the temperature } T.}$	(temperature and molecular energy)

(17.3)

The equality connects a microscopic quantity—molecular energy—with a macroscopic quantity—temperature.

Our derivation shows why, in terms of Newtonian mechanics, a gas obeying our four assumptions should obey the ideal-gas law. In Equation 17.3 we get an added bonus—a microscopic understanding of the meaning of temperature: **Temperature measures the average kinetic energy associated with random translational motion of the molecules.**

This fundamental connection between temperature and energy is what lies behind the upcoming redefinition of the kelvin in terms of Boltzmann's constant. In Chapter 18 you'll see how, with more complex molecules, we need to broaden energy here to include other forms of molecular energy in addition to translational kinetic energy.

## EXAMPLE 17.2 Molecular Energy and Speed: An Air Molecule

Find the average kinetic energy of a molecule in air at room temperature (20°C or 293 K), and determine the speed of a nitrogen molecule ( $N_2$ ) with this energy.

**INTERPRET** This problem asks about the linkage between thermodynamic quantities and molecular energy. We just found that linkage: The temperature of a gas is a measure of the average kinetic energy of its molecules.

**DEVELOP** Equation 17.3,  $\frac{1}{2}mv^2 = \frac{3}{2}kT$ , quantifies the relation between temperature and molecular kinetic energy. Once we find the molecular kinetic energy, we'll need the molecular mass to determine the speed. We can get that using the atomic weight of nitrogen and the fact that an  $N_2$  molecule contains two atoms.

**EVALUATE** We first evaluate the average molecular kinetic energy:

$$\bar{K} = \frac{1}{2}mv^2 = \frac{3}{2}kT = \frac{3}{2}(1.38 \times 10^{-23} \text{ J/K})(293 \text{ K}) = 6.07 \times 10^{-21} \text{ J}$$

We can solve for the corresponding speed if we know the molecular mass  $m$ . A nitrogen molecule consists of two atoms each with mass 14 u (see Appendix D), so its mass is

$$m = 2(14 \text{ u})(1.66 \times 10^{-27} \text{ kg/u}) = 4.65 \times 10^{-26} \text{ kg}$$

Since  $\bar{K} = \frac{1}{2}mv^2$ , the speed corresponding to this kinetic energy is

$$v = \sqrt{\frac{2\bar{K}}{m}} = \sqrt{\frac{2(6.07 \times 10^{-21} \text{ J})}{4.65 \times 10^{-26} \text{ kg}}} = 511 \text{ m/s}$$

**ASSESS** Make sense? Not surprisingly, the answer is the same order of magnitude as the speed of sound (~340 m/s) in air at room temperature. At the microscopic level, the speed of the individual molecules limits the rate at which information can be transmitted by disturbances—sound waves—propagating through the gas.

We call the speed calculated in Example 17.2 the **thermal speed**. In terms of temperature, Equation 17.3 shows

$$v_{th} = \sqrt{\frac{3kT}{m}} \quad (17.4)$$

### GOT IT?

**17.1** If you double the kelvin temperature of a gas, what happens to the thermal speed of the gas molecules? (a) it doubles; (b) it quadruples; (c) it increases by  $\sqrt{2}$

## The Distribution of Molecular Speeds

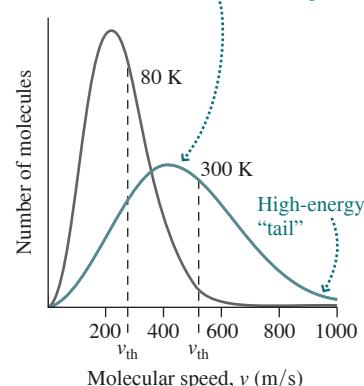
The thermal speed  $v_{th}$  is a typical molecular speed, but it doesn't tell us much about the distribution of speeds. Are molecular speeds limited to a narrow band about  $v_{th}$ ? Or are lots of molecules moving much faster or much slower?

In the 1860s, the Scottish physicist James Clerk Maxwell showed that elastic collisions among molecules result in a speed distribution that peaks near the thermal speed but may extend considerably higher. Figure 17.4 plots this **Maxwell–Boltzmann distribution** for two different temperatures. Note that increasing temperature results in a higher thermal speed, as expected, but that it also broadens the distribution so there are more molecules at lower and higher speeds. The high-speed “tail” of the distribution is especially important to chemists because high-energy molecules participate most readily in chemical reactions. The rapid extension of the high-energy tail with increasing temperature shows why reaction rates are strongly temperature sensitive, and therefore explains why foods keep much longer with even modest refrigeration. High-energy molecules are also the first to evaporate from a liquid, leaving slower, cooler molecules behind. This explains the phenomenon of evaporative cooling, which your own body uses as you sweat. Without evaporative cooling, Earth's atmosphere would be much drier and it would rain far less frequently. You can explore the Maxwell–Boltzmann distribution quantitatively in Problem 74.

## Real Gases

The ideal-gas law is a good approximation to the behavior of most real gases, but it's not perfect because our assumptions aren't entirely realistic. Two factors are especially important. First, real molecules take up space. This reduces the available volume, altering the

Molecules at a higher temperature have a broader distribution of speeds.



**FIGURE 17.4** Maxwell–Boltzmann distribution of molecular speeds for nitrogen ( $N_2$ ) at temperatures of 80 K and 300 K.

ideal-gas law. Second, electrical effects that we'll explore in Chapter 20 result in a weak attractive force between nearby molecules. As they move apart, molecules do work against this **van der Waals force**, and their kinetic energy drops. This, too, results in a deviation from ideal-gas behavior. You can learn more about these effects by working Problem 75.

## 17.2 Phase Changes

**LO 17.3** Determine energies involved in phase changes.

**LO 17.4** Analyze phase diagrams.

Step out of a steamy shower, and you'll find the mirror fogged with water condensed on the cool glass. Climb a mountain in winter, and you'll be treated to the lovely spectacle of every branch and pine needle covered with a delicate coating of frost that's formed right from the air. Burn a rewritable CD or DVD, and you've stored information with a laser that melts tiny spots on the spinning disc. These examples involve **phase changes** between gas and liquid, gas and solid, and solid and liquid.

### Heat and Phase Changes

Drop ice cubes into a drink and stir. What's the temperature of the drink? It's 0°C, and it stays at 0°C as long as any ice remains. The melting of a pure solid occurs at a fixed temperature. During the process, energy goes into breaking the molecular bonds that hold the material in its solid form. This increases the molecules' potential energy but not their kinetic energy. Since temperature is a measure of molecular kinetic energy, that means the temperature doesn't change either.

The energy per unit mass required to change phase is called a **heat of transformation** and is given the symbol  $L$ ; for the solid–liquid change it's the **heat of fusion**  $L_f$ , and for liquid–gas it's the **heat of vaporization**  $L_v$ . Less familiar is the **heat of sublimation** for the transition from solid directly to gas. These quantities have units of J/kg, so the energy required to change the phase of a mass  $m$  is

$$Q = Lm \quad (\text{heat of transformation}) \quad (17.5)$$

Q is the energy involved in  
 changing the phase of a substance.      m is the mass of the substance...  
 ...and L is the substance's heat of transformation—the  
 energy per unit mass associated with a phase change.

To reverse the change requires removing the same energy. Table 17.1 lists heats of transformation for some common materials. These quantities are typically quite large; water's heat of fusion, for example, is 334 kJ/kg or 80 cal/g—meaning it takes as much energy to melt 1 gram of ice as to heat the resulting water to 80°C.

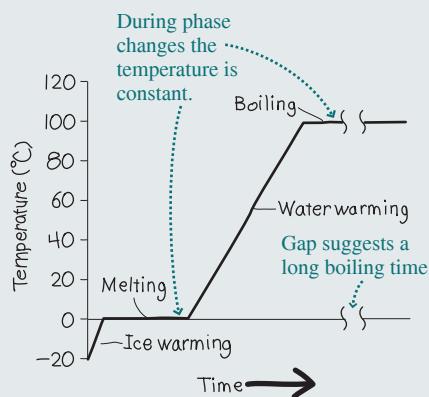
**Table 17.1** Heats of Transformation (at Atmospheric Pressure)

Substance	Melting Point (K)	$L_f$ (kJ/kg)	Boiling Point (K)	$L_v$ (kJ/kg)
Alcohol, ethyl	159	109	351	879
Copper	1357	205	2840	4726
Lead	601	24.7	2013	858
Mercury	234	11.3	630	296
Oxygen	54.8	13.8	90.2	213
Sulfur	388	53.6	718	306
Water	273.15	334	373.15	2257
Uranium dioxide	3120	259	3815	1533

### CONCEPTUAL EXAMPLE 17.1 Water Phases

You put a block of ice initially at  $-20^{\circ}\text{C}$  in a pan on a hot stove with a constant power output, and heat it until it has melted, boiled, and evaporated. Make a sketch of temperature versus time for this experiment.

**EVALUATE** As the ice starts heating, its temperature goes up, so our graph (Fig. 17.5) begins with an upward slope. At  $0^{\circ}\text{C}$  the ice starts



**FIGURE 17.5** Temperature versus time for what's initially a block of ice at  $-20^{\circ}\text{C}$ , supplied with energy at a constant rate. The process takes place at atmospheric pressure.

melting, and while that's happening its temperature doesn't change, so the graph stays horizontal for a while. When the ice is all melted, the water starts to warm. Table 16.1 shows that liquid water's specific heat is about twice that of ice; given the same power input, that means the water heats more slowly than the ice. So our graph has a lower slope as the water goes from  $0^{\circ}\text{C}$  to the boiling point at  $100^{\circ}\text{C}$ . Then the water starts turning to vapor, and stays at  $100^{\circ}\text{C}$  until it's all evaporated. Table 17.1 shows that water's heat of vaporization is much greater than its heat of fusion, so it takes much more time to boil the water away than it did to melt the ice. Our graph reflects that time difference.

**ASSESS** Makes sense: It takes a lot longer to boil a pan dry than to bring it to a boil.

**MAKING THE CONNECTION** If you start with 0.95 kg of ice at  $-20^{\circ}\text{C}$  and supply heat at the rate of 1.6 kW, how much time will it take until you're left with only water vapor?

**EVALUATE** Use Equation 16.3 for heating, with specific heats from Table 16.1. Use Equation 17.4 for phase changes, with heats of transformation from Table 17.1. The result is 2.9 MJ of heat required for the whole process; at 1.6 kW or 1.6 kJ/s, that takes 1.8 ks, or half an hour.

#### GOT IT?

**17.2** You bring a pot of water to boil and then forget about it. Ten minutes later you come back to the kitchen to find the water still boiling. Is its temperature (a) less than, (b) greater than, or (c) equal to  $100^{\circ}\text{C}$ ?

### EXAMPLE 17.3 The Heat of Fusion: Meltdown!

A nuclear power plant's reactor vessel cracks, and all the cooling water drains out. Although nuclear fission stops, radioactive decay continues to heat the reactor's  $2.5 \times 10^5 \text{ kg}$  of uranium-dioxide fuel at the rate of 120 MW. Once the melting point is reached, how much energy will it take to melt the fuel? How long will this take?

**INTERPRET** Since this problem is about melting, it must involve the heat of fusion. We identify the material as uranium dioxide ( $\text{UO}_2$ ).

**DEVELOP** Our plan is to find  $\text{UO}_2$ 's heat of fusion in Table 17.1 and then use Equation 17.5,  $Q = Lm$ , to calculate the energy required for melting. We're given the rate of energy generation by radioactive decay, and from that we'll be able to get the time.

**EVALUATE** Using  $\text{UO}_2$ 's  $L_f$  value from Table 17.1 in Equation 17.5, we have

$$Q = L_f m = (259 \text{ kJ/kg})(2.5 \times 10^5 \text{ kg}) = 65 \text{ GJ}$$

With a heating rate of 120 MW or  $0.12 \text{ GJ/s}$ , the time to melt the fuel is  $(65 \text{ GJ})/(0.12 \text{ GJ/s}) = 540 \text{ s}$ .

**ASSESS** The time to meltdown is just under 10 minutes! Failsafe emergency cooling systems are essential to prevent nuclear meltdowns.

Often we're interested in the total energy needed to bring a material to its transition point and then to make the phase transition. Then we need to combine specific-heat considerations of Chapter 16 with the heats of transformation introduced here.

### EXAMPLE 17.4 Heating and Phase Change: Enough Ice?

*Worked Example with Variation Problems*

When 200 g of ice at  $-10^{\circ}\text{C}$  are added to 1.0 kg of water at  $15^{\circ}\text{C}$ , is there enough ice to cool the water to  $0^{\circ}\text{C}$ ? If so, how much ice is left in the mixture?

**INTERPRET** This problem involves both a temperature rise and a phase change. We identify water as the substance involved.

(continued)

**DEVELOP** Equation 16.3,  $Q = mc \Delta T$ , determines the energy for the temperature rise, and Equation 17.5,  $Q = Lm$ , determines the phase-change energy. But we don't know whether all the ice melts. So our plan is to find the energy that it *would* take to heat the ice to 0°C and then melt all of it; if *more* than that much is available in cooling the water to 0°C, we'll know that we end up with all water at  $T > 0^\circ\text{C}$ . But if there isn't sufficient energy, then we'll have a mixture with both ice and water at 0°C, and we can use the energy extracted in cooling the water to find out how much ice melts.

**EVALUATE** We begin by evaluating the energy  $Q_1$  to heat the ice and then melt it all, adding the energies from Equations 16.3 and 17.5 and then getting the specific heat and heat of fusion from Tables 16.1 and 17.1, respectively:

$$\begin{aligned} Q_1 &= m_{\text{ice}} c_{\text{ice}} \Delta T_{\text{ice}} + m_{\text{ice}} L_f \\ &= (0.20 \text{ kg})(2.05 \text{ kJ/kg} \cdot \text{K})(10 \text{ K}) + (0.20 \text{ kg})(334 \text{ kJ/kg}) \\ &= 4.1 \text{ kJ} + 66.8 \text{ kJ} = 70.9 \text{ kJ} \end{aligned}$$

Cooling the water to 0°C would extract energy  $Q_2$  given by Equation 16.3:

$$Q_2 = m_{\text{water}} c_{\text{water}} \Delta T_{\text{water}} = (1.0 \text{ kg})(4.184 \text{ kJ/kg} \cdot \text{K})(15 \text{ K}) = 62.8 \text{ kJ}$$

This is far more than the 4.1 kJ needed to bring the ice to 0°C, but not quite the 70.9 kJ needed to leave it all melted. So there's enough ice to cool the water to 0°C, with some left over. How much? Our calculation of  $Q_1$  shows that 4.1 kJ go into raising the ice temperature. Of the 62.8 kJ extracted from the water, the remaining 58.7 kJ go to melting ice. From Equation 17.5, the amount of ice melted is then

$$m_{\text{melted}} = \frac{Q}{L_f} = \frac{58.7 \text{ kJ}}{334 \text{ kJ/kg}} = 0.176 \text{ kg} = 176 \text{ g}$$

So we're left with 24 g of ice in 1176 g of water, all at 0°C.

**ASSESS** Make sense? Our 62.8 kJ was nearly enough to bring all the ice to the liquid phase, so it makes sense that only a small fraction of the ice remains.

## Phase Diagrams

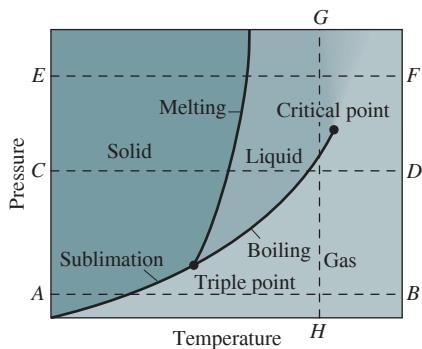


FIGURE 17.6 A phase diagram showing solid, liquid, and gas phases on a plot of pressure versus temperature.

Why can't mountaineers enjoy piping hot coffee? Because water's boiling point drops with the decreasing pressure at high altitudes. In general, the temperatures at which phase changes occur depend on pressure. A **phase diagram** shows the different phases on a plot of pressure versus temperature. Figure 17.6 is a phase diagram for a typical substance. Most phase diagrams are similar, although water's is slightly unusual for reasons we'll discuss in the next section.

The phase diagram divides pressure–temperature space into regions corresponding to solid, liquid, and gas phases. Curves separating these regions mark the phase transitions. Everyday experience suggests that heating takes a substance from solid, to liquid, to gas—as with water in Fig. 17.5. But Fig. 17.6 shows that this sequence doesn't always occur. At low pressure (line AB in Fig. 17.6) the substance goes directly from solid to gas. This is **sublimation**. We don't see this with water because normal atmospheric pressure is too high. For carbon dioxide, though, atmospheric pressure is low in the phase diagram, which is why “dry ice” turns directly into gaseous CO<sub>2</sub> without becoming liquid. At higher pressures (line CD) we get the familiar solid–liquid–gas sequence. Higher still (line EF), we're above the **critical point**, where the abrupt distinction between liquid and gas disappears. Instead, the substance starts out as a thick fluid whose properties change gradually from liquidlike to gaslike as it's heated.

We think of changing phase by applying heat, but Fig. 17.6 shows we can also change phase by changing pressure. Lowering pressure along line GH, for example, takes the substance from liquid to gas while the temperature remains constant. Since heat requires a temperature difference, there's no heat involved in this constant-temperature phase transition. You may have seen a demonstration of water boiling vigorously at room temperature in a closed container pumped down to low pressure.

Don't let Fig. 17.6 fool you into thinking that phase transitions occur instantaneously. Those heats of transformation are large, and a substance moving, say, along line CD in response to heating will linger at each phase transition until all of it has changed phase; that's what the level portions of Fig. 17.5 showed.

The dividing curves in Fig. 17.6 show where two phases can coexist simultaneously, like ice floating in water at 0°C and atmospheric pressure. It's because phase changes occur along curves that terms like “melting point” and “boiling point” are meaningless unless pressure is specified. But there's one unique **triple point** where solid, liquid, and gas all coexist in equilibrium. Here temperature and pressure have unique, unambiguous values—which is why the 273.16-K triple point of water used to be the basis for the definition of the kelvin.

## 17.3 Thermal Expansion

**LO 17.5** Calculate thermal expansion and contraction of materials, including water.

We've seen how heating causes changes in temperature and phase. But heating also results in pressure or volume changes. For a gas at constant pressure, for example, the ideal-gas law shows that volume increases in direct proportion to temperature. The volume and pressure relations for liquids and solids aren't so simple. Because their molecules are closely spaced, liquids and solids aren't very compressible, so thermal expansion is less pronounced.

We characterize the change in the volume with temperature using the **coefficient of volume expansion**  $\beta$ , defined as the fractional change in volume when a substance undergoes a small temperature change  $\Delta T$ :

$$\beta = \frac{\Delta V/V}{\Delta T} \quad (17.6)$$

This equation assumes that  $\beta$  is independent of temperature; if it varies significantly, then we would need to define  $\beta$  in terms of the derivative  $dV/dT$  (Problem 66). Our definition of  $\beta$  also assumes constant pressure; we could entirely inhibit thermal expansion with appropriate pressure increases.

Often we want to know how one linear dimension of a solid changes with temperature. This is especially true with long structures, where the absolute change is greatest along the long dimension (Fig. 17.7). We then speak of the **coefficient of linear expansion**  $\alpha$ , defined by

$$\alpha = \frac{\Delta L/L}{\Delta T} \quad (17.7)$$

The volume- and linear-expansion coefficients are related in a simple way:  $\beta = 3\alpha$ , as you can show in Problem 69. However, the linear-expansion coefficient  $\alpha$  is really meaningful only with solids, because liquids and gases deform and don't expand proportionately in all directions. Table 17.2 lists the expansion coefficients for some common substances.

**Table 17.2** Expansion Coefficients\*

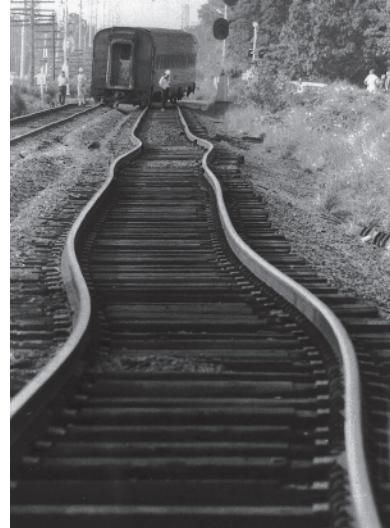
Solids	$\alpha$ ( $K^{-1}$ )	Liquids and Gases	$\beta$ ( $K^{-1}$ )
Aluminum	$24 \times 10^{-6}$	Air	$3.7 \times 10^{-3}$
Brass	$19 \times 10^{-6}$	Alcohol, ethyl	$75 \times 10^{-5}$
Copper	$17 \times 10^{-6}$	Gasoline	$95 \times 10^{-5}$
Glass (Pyrex)	$3.2 \times 10^{-6}$	Mercury	$18 \times 10^{-5}$
Ice	$51 \times 10^{-6}$	Water, 1°C	$-4.8 \times 10^{-5}$
Invar <sup>†</sup>	$0.9 \times 10^{-6}$	Water, 20°C	$20 \times 10^{-5}$
Steel	$12 \times 10^{-6}$	Water, 50°C	$50 \times 10^{-5}$

\*At approximately room temperature unless noted.

<sup>†</sup>Invar, consisting of 64% iron and 36% nickel, is an alloy designed to minimize thermal expansion.

**GOT IT?**

**17.3** The figure shows a donut-shaped object. If it's heated, will the hole get (a) larger or (b) smaller?



**FIGURE 17.7** Thermal expansion distorted these tracks, causing a derailment. Expansion of long structures like this is best described using the coefficient of linear expansion.

### EXAMPLE 17.5 Thermal Expansion: Spilled Gasoline

A steel gas can holds 20 L at 10°C. It's filled to the brim with gas at 10°C. If the temperature now increases to 25°C, by how much does the can's volume increase? How much gas spills out?

**INTERPRET** This is a problem about thermal expansion. Since it involves volume, we identify the relevant quantity as the coefficient of volume expansion  $\beta$ .

(continued)

**DEVELOP** Equation 17.6,  $\beta = (\Delta V/V)/\Delta T$ , determines the volume change. Our plan is to calculate the expanded volume of the tank and then of the gasoline. The difference will be the amount that spills out. Table 17.2 lists  $\beta$  for gasoline but  $\alpha$  for steel; therefore, we'll use the equation  $\beta = 3\alpha$  for the steel.

**EVALUATE** First we use Equation 17.6 to evaluate the volume change  $\Delta V$  of the steel can. Using  $\beta = 3\alpha$ , we have

$$\Delta V_{\text{can}} = \beta V \Delta T = (3)(12 \times 10^{-6} \text{ K}^{-1})(20 \text{ L})(15 \text{ K}) = 0.0108 \text{ L}$$

Similarly, for the gasoline,

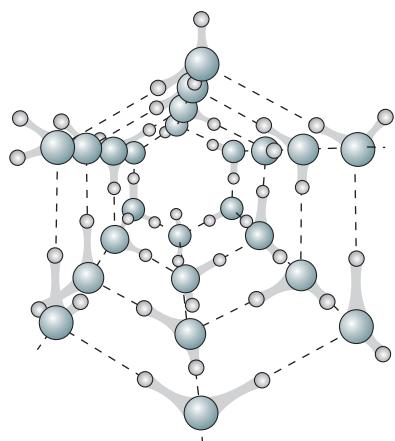
$$\Delta V_{\text{gas}} = \beta V \Delta T = (95 \times 10^{-5} \text{ K}^{-1})(20 \text{ L})(15 \text{ K}) = 0.285 \text{ L}$$

We therefore lose 0.275 L.

**ASSESS** Make sense? The thermal-expansion coefficient for gasoline is so much greater than for steel that the can's expansion is negligible and the gas has nowhere to go. By the way, that spill wastes nearly 10 MJ of energy!

Here we calculated the expansion of the tank's volume, which is mostly empty space, using the expansion coefficient for steel. Why was that right? Think about GOT IT? 17.3, and you'll understand why.

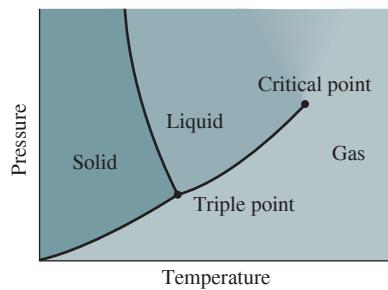
## Thermal Expansion of Water



**FIGURE 17.8** Water molecules in an ice crystal form an open structure, giving solid water a lower density than the liquid.

The entry for water at 1°C in Table 17.2 is remarkable, the negative expansion coefficient showing that water at this temperature actually *contracts* on heating. This unusual behavior occurs because ice has a relatively open crystal structure (Fig. 17.8) and therefore is less dense than liquid water. That's why ice floats. Immediately above the melting point, the intermolecular forces that bond H<sub>2</sub>O molecules in ice still exert an influence, giving cold liquid water a lower density than at slightly higher temperatures. At 4°C water reaches its maximum density, and above this temperature the effect of molecular kinetic energy in keeping molecules apart wins out over intermolecular forces. From there on, water exhibits the more normal behavior of expansion with increasing temperature.

This unusual property of water near its melting point is reflected in its phase diagram, shown in Fig. 17.9. Note that the solid–liquid boundary extends leftward from the triple point, in contrast to the more typical behavior in Fig. 17.6. That means that ice at a fixed temperature will melt if the pressure is *increased*—an unusual property known as pressure melting.



**FIGURE 17.9** Phase diagram for water. Compare the solid–liquid boundary with that of Fig. 17.6.

### APPLICATION Aquatic Life and Lake Turnover



The anomalous behavior of water has important consequences for life. If ice didn't float, then ponds, lakes, and even oceans would freeze from the bottom up,

making aquatic life impossible. What actually happens, instead, is that a thin layer of ice forms on the surface, insulating the water below and keeping it liquid; as a result, ice cover in temperate climates rarely exceeds a meter or so. Because water's density is greatest at 4°C, water at this temperature sinks to the bottom. At lake depths greater than a few meters, sunlight is inadequate to raise the temperature, which therefore remains year-round at 4°C.

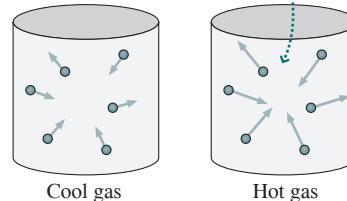
Water's unusual density behavior also causes the twice-yearly turnover of lakes in temperate climates. In the summer, a lake's surface water is warm, but deep water remains at 4°C. In the winter, water just beneath the ice is at 0°C, while the bottom water is still at 4°C. Both situations are stable, with less dense and therefore more buoyant water at the surface. But in the spring, ice melts and the surface water warms. When that water reaches 4°C, there's no density variation and the lake water mixes freely. This is the spring overturning. A similar overturning occurs in the fall, as the surface water cools through 4°C. Turnover is important to aquatic life because it brings up nutrients that would otherwise be trapped in the deep water.

# Chapter 17 Summary

## Big Idea

The big idea here is that matter responds to heating in a variety of ways in addition to changing temperature. Other responses include changes of phase and of volume and/or pressure. The ideal gas provides a particularly simple system for understanding volume and pressure changes. Analyzing ideal-gas behavior provides a link between the Newtonian mechanics of molecules and macroscopic thermodynamics, showing that temperature is a measure of the average molecular kinetic energy.

Molecules in the hotter gas have higher kinetic energy and hence speed.

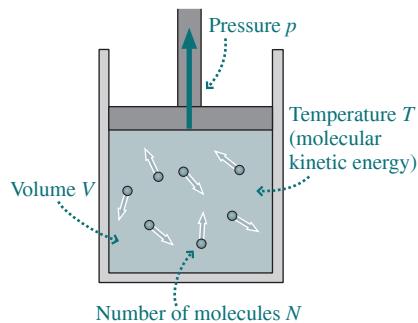


## Key Concepts and Equations

The **ideal-gas law** relates pressure, volume, temperature, and the number of molecules in a gas:

$$pV = NkT \quad (\text{ideal-gas law})$$

where **Boltzmann's constant**  $k$  is approximately  $1.381 \times 10^{-23} \text{ J/K}$ .



In terms of the number of moles  $n$ , the ideal-gas law is

$$pV = nN_A kT = nRT$$

where the **universal gas constant**  $R = N_A k = 8.314 \text{ J/K}\cdot\text{mol}$ .

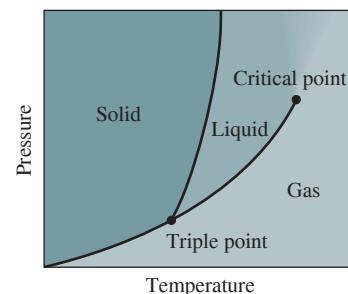
The temperature of an ideal gas is a measure of the gas molecules' average kinetic energy:

$$\frac{1}{2}mv^2 = \frac{3}{2}kT \quad (\text{temperature and molecular energy})$$

**Heats of transformation**  $L$  describe the energy per unit mass needed to effect phase changes. The total energy required to change the phase of a mass  $m$  is given by

$$Q = Lm \quad (\text{heat of transformation})$$

**Phase diagrams** plot solid, liquid, and gas phases against temperature and pressure and reveal the **triple point**, where all three phases can coexist, and the **critical point**, where the liquid–gas distinction disappears.



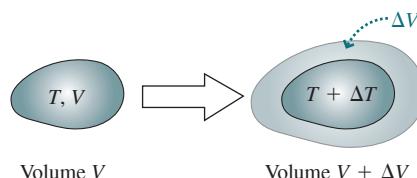
## Applications

**Thermal expansion** is characterized by the **coefficient of volume expansion** and its linear counterpart. The volume-expansion coefficient relates the fractional volume change  $\Delta V/V$  to the temperature change  $\Delta T$ :

$$\beta = \frac{\Delta V/V}{\Delta T} \quad (\text{volume-expansion coefficient})$$

while the **coefficient of linear expansion** relates the fractional length  $\Delta L/L$  change to  $\Delta T$ :

$$\alpha = \frac{\Delta L/L}{\Delta T} \quad (\text{linear-expansion coefficient})$$



**Mastering Physics**

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems   **DATA** Data problems   **ENV** Environmental problems   **CH** Challenge problems   **COMP** Computer problems

**Learning Outcomes** After finishing this chapter you should be able to:

- LO 17.1 Describe gases quantitatively using the ideal-gas law.  
*For Thought and Discussion Questions 17.1, 17.2; Exercises 17.11, 17.12, 17.13, 17.14, 17.15, 17.16; Problems 17.35, 17.26, 17.37, 17.38, 17.39, 17.70, 17.73*
- LO 17.2 Describe the distribution of molecular speeds and deviations from ideal-gas behavior.  
*For Thought and Discussion Questions 17.3, 17.4, 17.5; Exercise 17.17; Problems 17.74, 17.75*
- LO 17.3 Determine energies involved in phase changes.  
*For Thought and Discussion Questions 17.7, 17.8; Exercises 17.18, 17.19, 17.20, 17.21; Problems 17.40,*

17.41, 17.42, 17.43, 17.44, 17.45, 17.46, 17.47, 17.48, 17.49, 17.50, 17.51, 17.52, 17.53, 17.54, 17.55, 17.56, 17.62, 17.63, 17.72

- LO 17.4 Analyze phase diagrams.

*For Thought and Discussion Question 17.9*

- LO 17.5 Calculate thermal expansion and contraction of materials, including water.  
*For Thought and Discussion Questions 17.6, 17.10; Exercises 17.22, 17.23, 17.24, 17.25, 17.26; Problems 17.57, 17.58, 17.59, 17.60, 17.61, 17.64, 17.65, 17.66, 17.67, 17.68, 17.69, 17.71*

**For Thought and Discussion**

- If the volume of an ideal gas is increased, must the pressure drop proportionately? Explain.
- Why are you supposed to check tire pressure when your tires are cold?
- The average *speed* of the molecules in a gas increases with increasing temperature. What about the average *velocity*?
- Suppose you start running while holding a closed jar of air. Do you change the average speed of the air molecules? The average velocity? The temperature?
- Two different gases are at the same temperature, and both have low enough densities that they behave like ideal gases. Do their molecules have the same thermal speeds? Explain.
- What's the temperature of water just under the ice layer of a frozen lake? At the bottom of a deep lake?
- Ice and water have been together in a glass for a long time. Is the water hotter than the ice?
- Which takes more heat: melting a gram of ice already at 0°C, or bringing the melted water to the boiling point?
- The triple point of water occurs at a precise temperature, but the freezing point doesn't. Why the difference?
- A bimetallic strip consists of thin pieces of brass and steel bonded together (Fig. 17.10). What happens when the strip is heated? (*Hint:* Consult Table 17.2.)



**FIGURE 17.10** For Thought and Discussion 10

- What's the pressure of an ideal gas if 3.5 mol occupy 2.0 L at  $-150^{\circ}\text{C}$ ?
- Your professor asks you to order a tank of argon gas for a lab experiment. You obtain a “type C” gas cylinder with interior volume 6.88 L. The supplier claims it contains 45 mol of argon. You measure its pressure to be 14 MPa at room temperature ( $20^{\circ}\text{C}$ ). Did you get what you paid for?
- (a) If 2.0 mol of an ideal gas are initially at temperature 250 K and pressure 1.5 atm, what's the gas volume? (b) The pressure is now increased to 4.0 atm, and the gas volume drops to half its initial value. What's the new temperature?
- A pressure of  $10^{-10}$  Pa is readily achievable with laboratory vacuum apparatus. If the residual air in this “vacuum” is at 0°C, how many air molecules are in 1 L?
- In which gas are the molecules moving faster: hydrogen at 75 K or sulfur dioxide at 350 K?

**Section 17.2 Phase Changes**

- How much energy does it take to melt a 65-g ice cube?
- It takes 200 J to melt an 8.0-g sample of one of the substances in Table 17.1. What's the substance?
- If it takes 840 kJ to vaporize a sample of liquid oxygen, how large is the sample?
- Carbon dioxide *sublimes* (changes from solid to gas) at 195 K. The heat of sublimation is 573 kJ/kg. How much heat must be extracted from 255 g of CO<sub>2</sub> gas at 195 K in order to solidify it?

**Section 17.3 Thermal Expansion**

- A power line wire spans 250 m between two support towers. The wire is made of aluminum, and on a winter day when the temperature is  $-12^{\circ}\text{C}$  the wire's actual length is 250.42 m. By how much does its length increase on a summer day when it's  $29.5^{\circ}\text{C}$ ?
- You have exactly 1 L of ethyl alcohol at room temperature ( $20^{\circ}\text{C}$ ). You put it in a refrigerator at  $2^{\circ}\text{C}$ . What's its new volume?
- A Pyrex glass marble is 1.00000 cm in diameter at  $20^{\circ}\text{C}$ . What will be its diameter at  $85^{\circ}\text{C}$ ?
- At 0°C, the hole in a steel washer is 9.52 mm in diameter. To what temperature must it be heated in order to fit over a 9.55-mm-diameter bolt?

**Exercises and Problems****Exercises****Section 17.1 Gases**

- Mars's atmospheric pressure is about 1% that of Earth, and its average temperature is around 215 K. Find the volume of 1 mol of the Martian atmosphere.
- How many molecules are in an ideal-gas sample at 350 K that occupies 8.5 L when the pressure is 180 kPa?

26. Suppose a single piece of welded steel railroad track stretched 5000 km across the continental United States. If the track were free to expand, by how much would its length change if the entire track went from a cold winter temperature of  $-25^{\circ}\text{C}$  to a hot summer day at  $40^{\circ}\text{C}$ ?

### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

27. **Example 17.1:** Typical atmospheric pressure at the summit of Earth's highest mountain (Chomolungma, or Everest) is 33.7 kPa, and even the warmest month (July) has an average temperature of  $-19^{\circ}\text{C}$ . Find the volume occupied by 1.00 mol of air under these conditions.
28. **Example 17.1:** Mountaineers take a 10.0-mL sample of air on a mountaintop and measure its temperature and pressure to be  $-5.50^{\circ}\text{C}$  and 76.4 kPa, respectively. (a) What's the density of the air in moles per liter, and (b) how does that compare with the density at STP as determined in Example 17.1?
29. **Example 17.1:** In 2005 the *Cassini* spacecraft's *Huygens* probe became the first human artifact to land on a body in the outer solar system. *Huygens* touched down on Saturn's moon Titan, where it recorded a surface temperature of  $-180^{\circ}\text{C}$  and a surface pressure 1.47 times that of standard atmospheric pressure on Earth. Find the volume occupied by 1.00 mol of Titan's atmosphere.
30. **Example 17.1:** The solar corona is the Sun's hot, diffuse outer atmosphere. Typical coronal conditions include a temperature of 1 MK and a density of  $10^8$  particles per  $\text{cm}^3$ . Make an order-of-magnitude estimate of the ratio of the pressure in the corona to atmospheric pressure at Earth's surface.
31. **Example 17.4:** Repeat the calculation of Example 17.4 with an initial ice mass of 66 g.
32. **Example 17.4:** Find the minimum mass of ice that could be added to the water in Example 17.4 in order to end up with a final temperature of  $0^{\circ}\text{C}$ .
33. **Example 17.4:** A mountain glacier ends in a small lake that holds 185,000  $\text{m}^3$  of water, initially at  $6.40^{\circ}\text{C}$ . An iceberg with mass  $17.3 \times 10^6$  kg, initially at  $-10.0^{\circ}\text{C}$ , calves off the glacier and floats in the lake. Assuming no energy exchanges except those between the lake water and the iceberg, determine the final temperature and how much, if any, ice remains.
34. **Example 17.4:** Find the minimum mass for the iceberg in the preceding problem that will ensure an equilibrium temperature of  $0^{\circ}\text{C}$ .

### Problems

35. The solar corona is a hot (2 MK) extended atmosphere surrounding the Sun's cooler visible surface. The coronal gas pressure is about 0.03 Pa. What's the coronal density in particles per cubic meter? Compare with Earth's atmosphere.
36. A helium balloon occupies 8.0 L at  $20^{\circ}\text{C}$  and 1.0-atm pressure. The balloon rises to an altitude where the air pressure is 0.65 atm and the temperature is  $-10^{\circ}\text{C}$ . What's its volume when it reaches equilibrium at the new altitude?
37. A compressed air cylinder stands 100 cm tall and has internal diameter 20.0 cm. At room temperature, the pressure is 180 atm.

- (a) How many moles of air are in the cylinder? (b) What volume would this air occupy at 1.0 atm and room temperature?
38. You're a lawyer with an unusual case. A whipped-cream can burst at a wedding, damaging the groom's expensive tuxedo. The can warned against temperatures in excess of  $50^{\circ}\text{C}$ , and the manufacturer has evidence that it reached  $60^{\circ}\text{C}$ . You don't contest this, but you point out that the can was only half full of cream when it burst, meaning that the gas propellant had available more than twice the volume it would in a full can, and that some of the propellant had already been used. You argue that the real safety criterion is pressure, and that the can's maximum pressure wasn't exceeded. Who's right?
39. A 3000-mL flask is initially open in a room containing air at 1.00 atm and  $20^{\circ}\text{C}$ . The flask is then closed and immersed in boiling water. When the air in the flask has reached thermodynamic equilibrium, the flask is opened and air is allowed to escape. The flask is then closed and cooled back to  $20^{\circ}\text{C}$ . Find (a) the maximum pressure reached in the flask, (b) the number of moles that escape when air is released, and (c) the final pressure in the flask.
40. The recommended treatment for frostbite is rapid heating in a **BIO** water bath. Suppose a frostbitten hand with mass 120 g is immersed in water that conducts energy into the hand at the rate of 800 W. Treating the hand as essentially water, initially frozen solid, how long will it take for it to thaw and return to body temperature ( $37^{\circ}\text{C}$ )?
41. A stove burner supplies heat to a pan at the rate of 1500 W. How long will it take to boil away 1.1 kg of water, once the water reaches its boiling point?
42. If a 1-megaton nuclear bomb were exploded deep in the Greenland ice cap, how much ice would it melt? Assume the ice is initially at about its freezing point, and consult Appendix C for the appropriate energy conversion.
43. A metal-cutting torch produces 2.35 kW of thermal power. Assuming that 45.0% of the torch's power goes into melting metal, how long would it take the torch to melt a 2.00-cm-diameter hole through a 12.5-cm-thick piece of stainless steel? The steel's heat of fusion is 268 kJ/kg, and its density is  $7970 \text{ kg/m}^3$ .
44. At winter's end, Lake Superior's surface is frozen to a depth of **ENV** 1.3 m; the ice density is  $917 \text{ kg/m}^3$ . (a) How much energy does it take to melt the ice? (b) If the ice disappears in 3 weeks, what's the average power supplied to melt it?
45. A refrigerator extracts energy from its contents at the rate of 95 W. How long will it take to freeze 750 g of water already at  $0^{\circ}\text{C}$ ?
46. Climatologists have recently recognized that black carbon (soot) **ENV** from burning fossil fuels and biomass contributes significantly to arctic warming. You're asked to determine whether this effect might cause ice to melt that would normally stay frozen year-round. Consider an ice layer 2.5 m thick that normally reflects 90% of the incident solar energy and absorbs the rest. Suppose black carbon darkens the ice so it now reflects only 50% of the incident solar energy. The arctic summertime solar input averages  $300 \text{ W/m}^2$ . You can assume  $0^{\circ}\text{C}$  for the initial ice temperature, and an ice density of  $917 \text{ kg/m}^3$ . What do you conclude?
47. How much energy does it take to melt 12.5 kg of ice initially at  $-10^{\circ}\text{C}$ ?
48. Water is brought to its boiling point and then allowed to boil away completely. If the energy needed to raise the water to the boiling point is one-tenth of that needed to boil it away, what was the initial temperature?
49. Repeat Problem 54 of Chapter 16, but now find the time from the **ENV** injection of the  $10^{\circ}\text{C}$  seawater until that water boils entirely away. Assume there's no replenishment of the water. (Much of the fuel

- in reactor units 1, 2, and 3 at Fukushima melted, although water loss by leakage also contributed to the meltdowns.)
50. A bowl contains 16 kg of punch (essentially water) at a warm 25°C. What's the minimum amount of ice at 0°C needed to cool the punch to 0°C?
51. A 50-g ice cube at -10°C is placed in an equal mass of water. What must the initial water temperature be if the final mixture still contains equal amounts of ice and water?
52. Evaporation of sweat is the human body's cooling mechanism.
- BIO** At body temperature, it takes 2.4 MJ/kg to evaporate water. Marathon runners typically lose about 3 L of sweat each hour. How much energy gets lost to sweating during a 3-hour marathon?
53. What power is needed to melt 20 kg of ice in 6.0 min?
54. You put 300 g of water at 20°C into a 500-W microwave oven and accidentally set the time for 20 min instead of 2.0 min. How much water is left at the end of 20 min?
55. A *lava bomb* is a blob of molten lava ejected during a volcanic eruption. Suppose a 15.4-Mg lava bomb at its 984°C melting point lands in a residential swimming pool holding 20.4 Mg of water at 24.0°C. The lava's heat of fusion is 419 kJ/kg, and the specific heat of solid lava is 1.04 kJ/kg·K. (a) Will the pool water reach the boiling point? (b) If so, will it boil completely away? Neglect energy interchanges except those between the water and the lava.
56. Describe the composition and temperature of the equilibrium mixture after 1.0 kg of ice at -40°C is added to 1.0 kg of water at 5.0°C.
57. A glass marble 1.000 cm in diameter is to be dropped through a hole in a steel plate. At room temperature the hole diameter is 0.997 cm. By how much must the plate's temperature be raised so the marble will fit through the hole?
58. A thermometer uses ethyl alcohol, dyed red for visibility. The thermometer tube itself has an inside diameter of 0.167 mm, and at the bottom is a bulb of much greater diameter that contains most of the alcohol. What should be the volume of alcohol in the bulb in order that a temperature increase of exactly 1°C moves the alcohol level up exactly 1 mm in the tube? Neglect expansion of the glass itself.
59. A steel ball bearing is encased in a Pyrex glass cube 1.0 cm on a side. At 330 K, the ball bearing fits tightly inside the cube. At what temperature will it have a clearance of 1.0 μm all around?
60. Fuel systems of modern cars are designed so thermal expansion **ENV** of gasoline doesn't result in wasteful and polluting fuel spills. As an engineer, you're asked to specify the size of an expansion tank that will handle this overflow. You know that gasoline comes from its underground storage at 10°C, and your expansion tank must handle the expansion of a full 75-L gas tank when the gas reaches a hot summer day's temperature of 35°C. How large an expansion tank do you specify?
61. A rod of length  $L_0$  is clamped rigidly at both ends. Its temperature increases by  $\Delta T$ , and in the ensuing expansion, it cracks to form two straight pieces, as shown in Fig. 17.11. Find an expression for the distance  $d$  shown in the figure, in terms of  $L_0$ ,  $\Delta T$ , and the linear expansion coefficient  $\alpha$ .

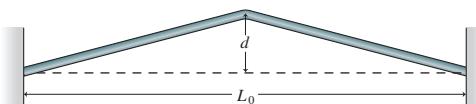


FIGURE 17.11 Problem 61

62. You're home from college on vacation, and there's a power failure. The power company says it will be 15 hours before it's repaired. Your parents send you out to buy ice to keep the 'fridge cold. You look up the thermal resistance of the refrigerator's walls; it's 0.12 K/W. If room temperature is 20°C, how much ice should you buy?
63. A solar-heated house stores energy in 5.0 tons of Glauber salt **ENV** ( $\text{Na}_2\text{SO}_4 \cdot 10\text{H}_2\text{O}$ ), which melts at 90°F. The heat of fusion of Glauber salt is 104 Btu/lb, and the specific heats of the solid and liquid are, respectively, 0.46 Btu/lb·°F and 0.68 Btu/lb·°F. After a week of sunny weather, the storage medium is all liquid at 95°F. Then comes a cloudy period during which the house loses heat at an average of 20,000 Btu/h. (a) How long is it before the temperature of the storage medium drops below 60°F? (b) How much of this time is spent at 90°F?
64. Show that the coefficient of volume expansion of an ideal gas at constant pressure is the reciprocal of its kelvin temperature.
65. Water's coefficient of volume expansion in the temperature range from 0°C to about 20°C is given approximately by  $\beta = a + bT + cT^2$ , where  $T$  is in Celsius and  $a = -6.43 \times 10^{-5} \text{ }^\circ\text{C}^{-1}$ ,  $b = 1.70 \times 10^{-5} \text{ }^\circ\text{C}^{-2}$ , and  $c = -2.02 \times 10^{-7} \text{ }^\circ\text{C}^{-3}$ . Show that water has its greatest density at approximately 4.0°C.
66. When the expansion coefficient varies with temperature, **CH** Equation 17.6 is written  $\beta = (1/V)(dV/dT)$ . If a sample of water occupies 1.00000 L at 0°C, find its volume at 12°C. (Hint: Use the information from Problem 65, and integrate the equation above.)
67. A 50-mL graduated cylinder is made from Pyrex glass and contains 25.0000 mL of water at 20°C. If the temperature of the water and the cylinder is raised by exactly 5°C, what will the cylinder measure for the new volume of water? Do not neglect thermal expansion of the cylinder itself, but do neglect variations in the expansion coefficients with temperature.
68. The timekeeping of a grandfather clock is regulated by a brass **CH** pendulum 1.35 m long. If the clock is accurate at 20°C but is in a room at 17°C, how soon will the clock be off by 1 minute? Will it be fast or slow?
69. Prove the equation  $\beta = 3\alpha$  (Section 17.3) by considering a cube of side  $s$  and therefore volume  $V = s^3$  that undergoes a small temperature change  $dT$  and corresponding length and volume changes  $ds$  and  $dV$ .
70. You're on a team planning a mission to Venus to collect atmospheric samples for analysis. The design specs call for a 1-L sample container, while the scientists want at least 1 mol of gas. Venus's atmospheric pressure is 90 times that of Earth's, and its average temperature is 730 K. Will the design work?
71. Figure 17.12 shows an apparatus used to determine the linear **CH** expansion coefficient of a metal wire. The wire is attached to **DATA** two points a distance  $d$  apart (you don't know  $d$ ). A mass hangs from the middle of the wire. The wire's total length is 100.00 cm at 0°C. The distance  $y$  from the suspension points to the top of the mass is measured, and the results are given in the table below. (a) Find an expression for  $y$  as a function of temperature, and manipulate your expression to get a linear relation between some function of  $y$  and some function of temperature  $T$ . You'll encounter the expression  $L^2$ , where  $L$  is the length of the wire, and, because the change in length is small, you can drop terms involving  $\alpha^2$  when you expand  $L^2$ . (b) Calculate the quantities in your relation from the given data, and plot. Determine a best-fit line and use it to determine the coefficient of linear expansion  $\alpha$  and the separation  $d$ . (c) Consult Table 17.2 to identify the metal

the wire is made of. Ignore any stretching of the wire due to its “springiness”; that is, consider only thermal expansion.

Temperature, $T$ (°C)	0	20	40	60	80	100	120
y (cm)	30.00	30.05	30.07	30.11	30.16	30.19	30.24

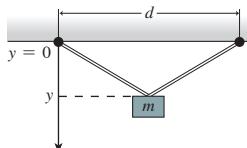


FIGURE 17.12 Problem 71

72. The Intergovernmental Panel on Climate Change estimates **ENV** that Greenland is losing ice, as a result of global warming, at approximately 250 Pg/year. (a) Find the energy needed to melt 250 Pg of ice. (b) Greenland's ice melt results most immediately from an imbalance between incoming and outgoing energy—an imbalance created largely by the absorption of infrared radiation by human-produced greenhouse gases. Use your answer to part (a) to express Greenland's energy imbalance in watts per square meter of the Greenland ice sheet's surface area. That your result is larger than the global imbalance of somewhat less than 1 W/m<sup>2</sup> shows that the impact of global warming is greater in the Arctic.
73. (a) Show that, for an ideal gas, the speed of sound given by Equation 14.9 can be written  $v_{\text{sound}} = \sqrt{\gamma kT/m}$ . (b) For diatomic gases like N<sub>2</sub> and O<sub>2</sub> that are the dominant constituents of air,  $\gamma = 7/5$ . Use your result to show that, for diatomic gases, the speed of sound is about 68% of the thermal speed given by Equation 17.4.
74. The Maxwell–Boltzmann distribution, plotted in Fig. 17.4, is **CH** given by

$$N(v) \Delta v = 4\pi N \left( \frac{m}{2\pi kT} \right)^{3/2} v^2 e^{-mv^2/2kT} \Delta v$$

where  $N(v)\Delta v$  is the number of molecules in a small speed range  $\Delta v$  around speed  $v$ ,  $N$  is the total number of molecules in the gas,  $m$  is the molecular mass,  $k$  is Boltzmann's constant, and  $T$  is the temperature. Use this equation to show that the most probable speed for a gas molecule—the speed at the peak of the curves in Fig. 17.4—is  $\sqrt{2kT/m}$ . Note that the thermal speed (Equation 17.4), which is the average molecular speed, is a factor of  $\sqrt{3/2}$  or about 20% greater than the most probable speed—a fact that reflects the long, high-energy “tail” of the Maxwell–Boltzmann distribution.

75. At high gas densities, the van der Waals equation modifies the ideal-gas law to account for nonzero molecular volume and for the van der Waals force that we discussed in Section 17.1. The van der Waals equation is

$$\left( p + \frac{n^2 a}{V^2} \right) (V - nb) = nRT$$

where  $a$  and  $b$  are constants that depend on the particular gas. For nitrogen (N<sub>2</sub>),  $a = 0.14 \text{ Pa} \cdot \text{m}^6/\text{mol}^2$  and  $b = 3.91 \times 10^{-5} \text{ m}^3/\text{mol}$ . For 1.000 mol of N<sub>2</sub> at 10.00 atm pressure, confined to a volume of 2.000 L, find the temperatures predicted (a) by the ideal-gas law and (b) by the van der Waals equation.

## Passage Problems

A *pressure cooker* is a sealed pot that cooks food much faster than most other methods because increased pressure allows water to reach higher temperatures than the normal boiling point (Fig. 17.13). Pressure cookers afford many advantages: faster cooking, lower energy consumption, and less vitamin loss. The pressure-cooker principle is also used in autoclaves for sterilizing surgical instruments in hospitals and equipment in biology labs.



FIGURE 17.13 A pressure cooker (Passage Problems 76–79)

76. In water's phase diagram (Fig. 17.9), normal boiling occurs at a point on the line between the triple point and the critical point. In a pressure cooker, boiling occurs
- at a point in the diagram directly above where it normally occurs.
  - higher up on the line between the triple and critical points.
  - at a point directly to the right of where it normally occurs.
  - beyond the critical point.
77. A typical pressure cooker operates at twice normal atmospheric pressure, raising water's boiling point to about 120°C. Compared with steam at 1 atm and the normal 100°C boiling point, the density of steam in a pressure cooker is
- double.
  - somewhat more than double.
  - somewhat less than double.
  - quadruple.
78. Because some pathogens can survive 120°C temperatures, medical autoclaves typically operate at 3 atm pressure, where water boils at 134°C. Based on this information and that given in the preceding problem, you can conclude that
- Fig. 17.9's depiction of the liquid–gas interface for water is correct in being concave upward.
  - Fig. 17.9's liquid–gas interface should actually be concave downward.
  - autoclaves operate above the critical point.
  - at its operating temperature, there can't be any liquid water in the autoclave.
79. A pressure cooker has a regulating mechanism that releases steam so as to maintain constant pressure. If that mechanism became clogged,
- the pressure would nevertheless level off once water in the cooker began to boil.
  - the pressure would continue to rise although the temperature would remain constant.
  - both temperature and pressure would continue to rise.
  - the density of the steam would decrease.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

Water's solid phase is less dense than the liquid, which causes ice to float. Our world would be a very different place if ice were denser than water.

### Answers to GOT IT? Questions

- 17.1 (a)  
17.2 (c)  
17.3 (a) The hole gets larger because all of the object's linear dimensions expand equally.

# Heat, Work, and the First Law of Thermodynamics

## Learning Outcomes

After finishing this chapter you should be able to:

- LO 18.1** Describe the first law of thermodynamics as a statement of energy conservation.
- LO 18.2** Calculate the work done by or on an ideal gas for different thermodynamic processes.
- LO 18.3** Determine values of pressure and temperature during different thermodynamic processes.
- LO 18.4** Explain the specific heats of ideal gases based on their molecular structure.

## Skills & Knowledge You'll Need

- The concept of work (Sections 6.2 and 6.3)
- The concept of pressure (Section 15.1)
- The ideal-gas law (Section 17.1)

In Chapter 7, we introduced the powerful idea that energy is conserved, and we developed the principle of energy conservation as a quantitative statement for mechanical energy in the presence of conservative forces. We also introduced nonconservative forces and briefly described their role in converting mechanical energy into the random molecular energy that we call **internal energy**. In Chapters 16 and 17 you've learned that thermal processes involve energy—a realization that sets the stage for us to extend the conservation-of-energy principle to encompass thermodynamic systems. In this chapter, we'll explore this broader principle of energy conservation and see how it describes energy interchanges in systems ranging from engines to atmospheres.



## 18.1 The First Law of Thermodynamics

- LO 18.1** *Describe the first law of thermodynamics as a statement of energy conservation.*

Figure 18.1 shows two ways to raise the temperature in a beaker of water: by heating with a flame and by stirring vigorously with a spoon. Using the flame involves heat—energy in transit because of the temperature difference between flame and water. But there's no temperature difference between spoon and water; here the energy transfer occurs because the spoon does mechanical work on the water. We already know that doing work can increase the kinetic or potential energy of a macroscopic object; here we see it, instead,

A jet engine converts the energy of burning fuel into mechanical energy. How does energy conservation apply in this process?

changing the internal energy associated with the motions of individual molecules. The point is that both processes—heating and mechanical work—result in exactly the same final state—namely, water with a higher temperature and therefore greater internal energy. It's this common result that made possible Joule's quantitative identification of heat as a form of energy (Fig. 18.2).

Keep track of all the energy entering and leaving a system—both heat and work—and you'll find that the change in the system's internal energy depends only on the net energy transferred. In one sense this is hardly surprising; it just extends the idea of energy conservation to include heat. But in another way it's remarkable; it doesn't matter at all *how* the energy gets into the system—heat, work, or some combination of the two. This statement constitutes the **first law of thermodynamics**:

**First law of thermodynamics** The change in the internal energy of a system depends only on the net heat transferred to the system and the net work done on the system, independent of the particular processes involved.

Mathematically, the first law is

$$\Delta E_{\text{int}} \text{ is the change in a system's internal energy. } Q \text{ is heat that flows into the system...} \dots \text{and } W \text{ is work done on the system.}$$

$$\Delta E_{\text{int}} = Q + W \quad (\text{first law of thermodynamics}) \quad (18.1)$$

The equality shows that energy is conserved.

where  $\Delta E_{\text{int}}$  is the change in a system's internal energy,  $Q$  the heat transferred to the system, and  $W$  the work done on the system.\* The first law says that the change in a system's internal energy doesn't depend on how the energy gets transferred, but only on the net energy. Internal energy is therefore a **thermodynamic state variable**, meaning a quantity that helps characterize the state of a thermodynamic system. Thermodynamic state variables are important because their values don't depend on how a system got into its particular state. The first law shows that this is the case for internal energy, which is why  $E_{\text{int}}$  is a state variable. Other state variables include temperature and pressure. Heat and work, in contrast, aren't state variables because they describe processes—flows of energy—and not the state of a system.

We're frequently concerned with *rates* of energy flow. Differentiating the first law with respect to time gives a statement about rates:

$$\frac{dE_{\text{int}}}{dt} = \frac{dQ}{dt} + \frac{dW}{dt} \quad (18.2)$$

where  $dE_{\text{int}}/dt$  is the rate of change of a system's internal energy,  $dQ/dt$  the rate of heat transfer to the system, and  $dW/dt$  the rate at which work is done on the system.

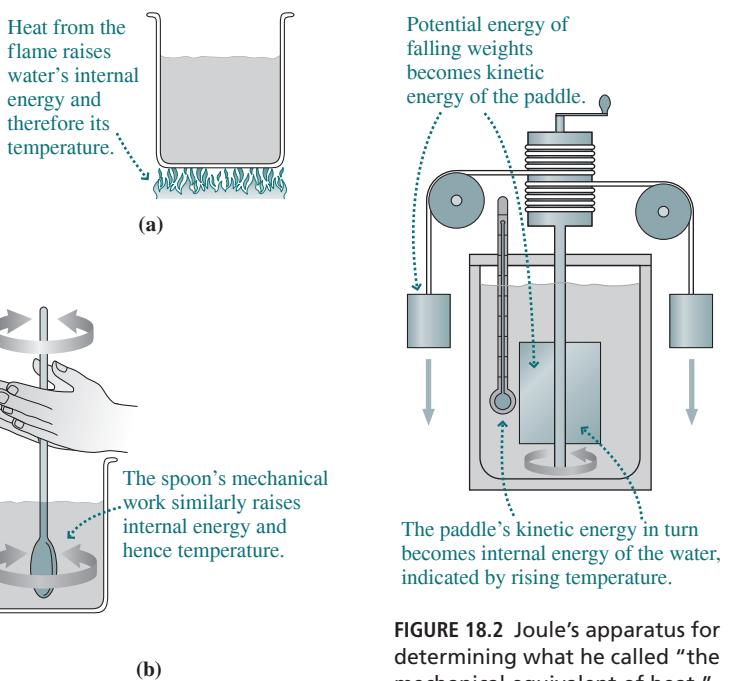


FIGURE 18.1 Two ways to raise temperature: (a) by heat transfer and (b) by mechanical work.

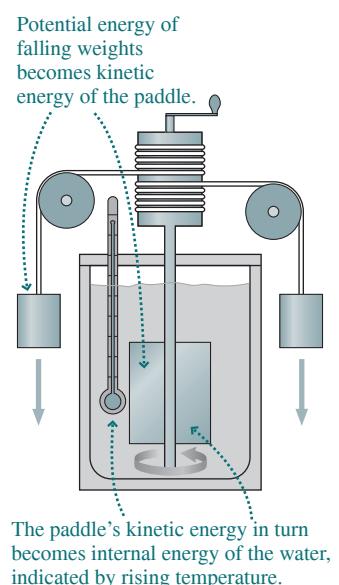


FIGURE 18.2 Joule's apparatus for determining what he called "the mechanical equivalent of heat."

\*Some older books define  $W$  as the work done *by* the system, in which case there's a minus sign in the first law. This is because the law was first introduced in connection with engines, which take in heat and put out mechanical work.

### EXAMPLE 18.1 The First Law of Thermodynamics: Thermal Pollution

The reactor in a nuclear power plant supplies energy at the rate of 3.0 GW, boiling water to produce steam that turns a turbine-generator. The spent steam is then condensed through thermal contact with water taken from a river. If the power plant produces electrical energy at the rate of 1.0 GW, at what rate is heat transferred to the river?

**INTERPRET** This problem is about heat and mechanical energy, which are related by the first law of thermodynamics. We identify the system as the entire power plant, comprising the nuclear reactor, including its fuel, and the turbine-generator. We identify  $E_{\text{int}}$  as the energy in the fuel,  $W$  as the mechanical work that ends up as electrical energy, and  $Q$  as the heat transferred to the river.

**DEVELOP** Since we're dealing here with *rates*, Equation 18.2,  $dE_{\text{int}}/dt = dQ/dt + dW/dt$ , applies. The reactor extracts energy from its fuel, so the rate  $dE_{\text{int}}/dt$  is negative. The power plant delivers electrical energy to the outside world, so it's *doing work*; since  $W$  in the first law is the work done *on* the system,  $dW/dt$  is therefore *negative*. Our plan is then to solve for  $dQ/dt$ , the rate of energy transfer to the river.

**EVALUATE** Solving, we have

$$\frac{dQ}{dt} = \frac{dE_{\text{int}}}{dt} - \frac{dW}{dt} = -3.0 \text{ GW} - (-1.0 \text{ GW}) = -2.0 \text{ GW}$$

**ASSESS** Make sense? Since positive  $Q$  represents heat transferred *to* the system, the minus sign shows that heat is transferred *from* the power plant to the river at the rate of 2 GW. The numbers here are typical for large nuclear and coal-burning power plants, and show that about two-thirds of the energy extracted from the fuel is wasted in heating the environment. We'll see in the next chapter just why this waste occurs.

**TIP** **IDENTIFY THE SYSTEM** The first law of thermodynamics deals with energy flows into and out of a system. We first introduced the system concept in the context of energy in our discussion surrounding Fig. 6.1. Here, as there, it's up to you to define the system. How you do so affects the meanings of the terms in the first law. In this example we included the nuclear reactor, with the internal energy of its fuel, as part of the system. If we had considered only the turbine-generator, then we would have had 3 GW of heat coming in from the reactor and no change in internal energy. But the result would be the same: 1 GW going out as electricity and 2 GW of heat dumped into the river.

## 18.2 Thermodynamic Processes

**LO 18.2** Calculate the work done by or on an ideal gas for different thermodynamic processes.

**LO 18.3** Determine values of pressure and temperature during different thermodynamic processes.

Although the first law applies to *any* system, it's easiest to understand when applied to an ideal gas. The ideal-gas law relates the temperature, pressure, and volume of a given gas sample:  $pV = nRT$ . The thermodynamic state is completely determined by any two of the quantities  $p$ ,  $V$ , or  $T$ . We'll find it convenient to represent different states as points on a  **$pV$  diagram**—a graph whose vertical and horizontal axes represent pressure and volume, respectively.

### Reversible and Irreversible Processes

Imagine a gas sample immersed in a large reservoir of water and allowed to come to equilibrium (Fig. 18.3). If we then raise the reservoir temperature very slowly, both water and gas temperatures will rise essentially in unison, and the gas will remain in equilibrium. Such a slow change is called a **quasi-static process**. Because a system undergoing a quasi-static process is always in thermodynamic equilibrium, its evolution from one state to another is described by a continuous sequence of points—a curve—in its  $pV$  diagram (Fig. 18.4).

We could reverse this heating process by slowly lowering the reservoir temperature; the gas would cool, reversing its path in the  $pV$  diagram. For that reason, a quasi-static process is also a **reversible process**. A process like suddenly plunging a cool gas sample into hot water is, in contrast, **irreversible**. During an irreversible process the system isn't in equilibrium, and thermodynamic variables like temperature and pressure don't have well-defined values. It therefore makes no sense to think of a path in the  $pV$  diagram. A process may be irreversible even though it returns a system to its original state. The distinction lies not in the end states but in the *process* that takes the system between states.

There are many ways to change a system's thermodynamic state. Here we consider important special cases involving an ideal gas. These illustrate the physical principles behind a myriad of technological devices and natural phenomena, from the operation of a gasoline engine to the propagation of a sound wave to the oscillations of a star.

These temperatures stay the same as the water temperature increases slowly.

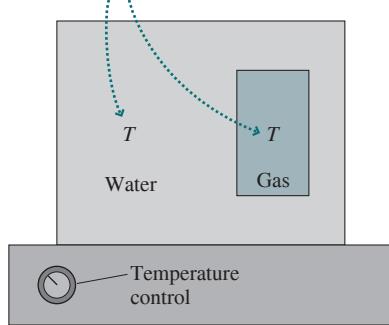


FIGURE 18.3 A quasi-static, or reversible, process keeps water and gas always in equilibrium.

The system is always in thermodynamic equilibrium, so a continuous path describes the change.

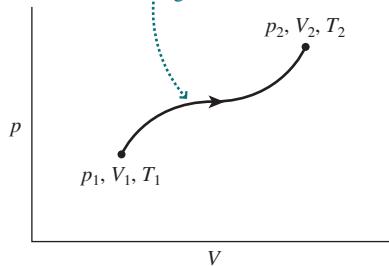


FIGURE 18.4 The  $pV$  diagram of a system undergoing quasi-static change.

Our system consists of an ideal gas confined to a cylinder sealed with a movable piston (Fig. 18.5). The piston and the cylinder walls are perfectly insulating—they block all heat transfer—and the bottom is a perfect conductor of heat. We can change the thermodynamic state of the gas mechanically by moving the piston, or thermally by transferring heat through the bottom. We'll consider only reversible processes, which we can describe by paths in the  $pV$  diagram for the gas.

## Work and Volume Changes

We begin by developing an expression for the work done on a gas that holds for all processes. If our piston–cylinder system has cross-sectional area  $A$  and gas pressure  $p$ , then  $F_{\text{gas}} = pA$  is the force the gas exerts on the piston. If the piston moves a small distance  $\Delta x$ , the gas does work  $\Delta W_{\text{gas}} = F_{\text{gas}} \Delta x = pA \Delta x = p \Delta V$ , where  $\Delta V = A \Delta x$  is the change in gas volume (Fig. 18.6a). Our expression for the first law of thermodynamics involves the work done *on* the gas; by Newton's third law, the piston exerts a force on the gas that's equal but opposite to  $F_{\text{gas}}$ , so the work done *on* the gas is  $\Delta W = -F_{\text{gas}} \Delta x = -p \Delta V$ . Pressure may vary with volume, so we find the total work done as the gas goes from volume  $V_1$  to volume  $V_2$  by replacing  $\Delta V$  with the infinitesimal quantity  $dV$  and integrating:

$$W = \int dW = - \int_{V_1}^{V_2} p dV \quad (\text{work done on gas during volume change}) \quad (18.3)$$

*Annotations for equation 18.3:*

- $W$  is the work done on a gas as its volume changes.
- $V_1$  and  $V_2$  are the initial and final volumes.
- $dV$  is an infinitesimal change in volume.
- $p$  is the gas pressure.
- The product  $p dV$  is the infinitesimal work  $dW$ .
- We need to integrate whenever  $p$  varies as the volume changes.

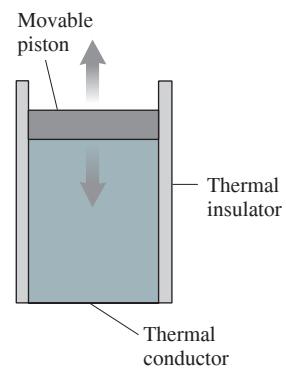


FIGURE 18.5 A gas–cylinder system with insulating walls and a conducting bottom.

Figure 18.6b shows that the work done on the gas is the negative of the area under the  $pV$  curve. That work is positive if the gas is compressed ( $V_2 < V_1$ ) and negative if it expands ( $V_2 > V_1$ ).

We'll now explore several basic thermodynamic processes, in each case holding one thermodynamic variable constant.

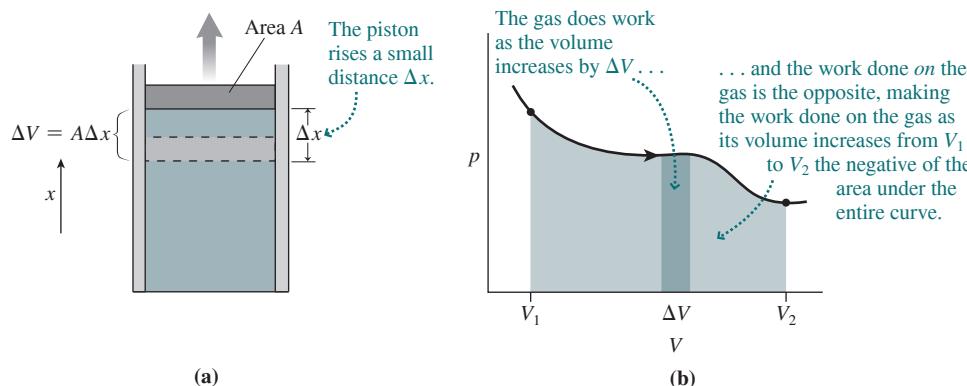


FIGURE 18.6 Work done on the gas as the piston rises (a) is the negative of the area under the  $pV$  curve (b).

**GOT IT?**

- 18.1 Two identical gas–cylinder systems are taken from the same initial state to the same final state, but by different processes. Which of the following is or are the same in both cases? (a) the work done on or by the gas; (b) the heat added or removed; or (c) the change in internal energy

## Isothermal Processes

An **isothermal process** occurs at constant temperature. Figure 18.7 shows one way to effect an isothermal process: Place a gas cylinder in thermal contact with a heat reservoir whose temperature is constant. Then move the piston to change the gas volume, slowly

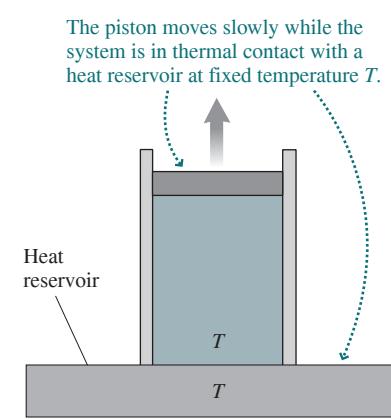
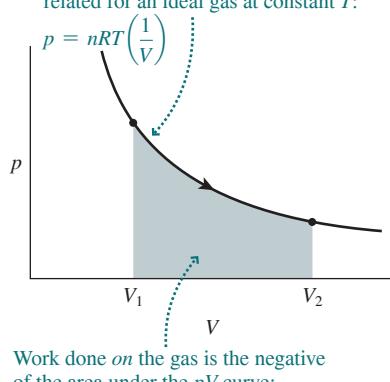


FIGURE 18.7 An isothermal process.

An isotherm is a hyperbola because pressure and volume are inversely related for an ideal gas at constant  $T$ :



$$W = - \int_{V_1}^{V_2} p dV$$

**FIGURE 18.8** A  $pV$  diagram for an isothermal process.

enough that the gas remains in equilibrium with the heat reservoir. The system moves from its initial state to its final state along a curve of constant temperature—an **isotherm**—in the  $pV$  diagram (Fig. 18.8). The work done on the gas is given by Equation 18.3 and is the negative of the area under the isotherm.

To find that work, we relate pressure and volume through the ideal-gas law:  $p = (nRT)/V$ . Then Equation 18.3 becomes

$$W = - \int_{V_1}^{V_2} \frac{nRT}{V} dV$$

For an isothermal process, the temperature  $T$  is constant, giving

$$W = -nRT \int_{V_1}^{V_2} \frac{dV}{V} = -nRT \ln V \Big|_{V_1}^{V_2} = -nRT \ln \left( \frac{V_2}{V_1} \right)$$

The internal energy of an ideal gas consists only of the kinetic energy of its molecules, which, in turn, depends only on temperature. That dependence of internal energy on temperature alone is a defining feature of the ideal gas. Thus, there's no change in the internal energy of an ideal gas during an isothermal process. The first law of thermodynamics then gives  $\Delta E_{\text{int}} = 0 = Q + W$ , so

$$Q = -W = nRT \ln \left( \frac{V_2}{V_1} \right) \quad (\text{isothermal process}) \quad (18.4)$$

Annotations for Equation 18.4:

- $Q$  is the heat supplied *to* a system during an isothermal process.
- $W$  is the work done *on* the system.
- This term is the natural logarithm of the ratio of final to initial volume.
- $Q = -W$  because there's no change in temperature or internal energy.
- $n$  is the number of moles and  $T$  is the temperature.  $R$  is the gas constant.

Does this result  $Q = -W$  make sense? Recall that  $Q$  is the heat transferred to the gas and  $W$  is the work done on it. So  $-W$  is the work done *by* the gas, and our result shows that for a gas to do work without its temperature changing, it must absorb an equal amount of heat. Similarly, if work is done on the gas, it must transfer an equal amount of heat to its surroundings if it's to maintain a constant temperature.

### EXAMPLE 18.2

#### An Isothermal Process: Bubbles!

*Worked Example with Variation Problems*

A scuba diver is 25 m down, where the pressure is 3.5 atm. The air she exhales forms bubbles 8.0 mm in radius. How much work does each bubble do as it rises to the surface, assuming the bubbles remain at the uniform 300 K temperature of the water?

**INTERPRET** The constant 300 K temperature tells us we're dealing with an isothermal process.

**DEVELOP** Equation 18.4 determines the work:  $-W = nRT \ln(V_2/V_1)$ . Here  $-W$  is just what we're after: the work done *by* the gas in the bubble. To use this equation, we need the quantity  $nRT$  and the volume ratio  $V_2/V_1$ . We know  $p$  and  $V$  (actually the radius, from which we can get  $V$ ) at the 25-m depth, so we can use the ideal-gas law  $pV = nRT$  to get  $nRT$  and also the bubble volume just before it reaches the surface. Then we'll have everything we need to apply Equation 18.4.

**EVALUATE** The ideal-gas law gives  $nRT = pV = \frac{4}{3}\pi r^3 p$ . The number of moles  $n$  doesn't change and  $R$  is a constant, so  $pV$  is itself constant in the isothermal process. That means  $p_1V_1 = p_2V_2$ , showing

that the volume expands by a factor of 3.5 as the pressure drops from 3.5 atm to 1 atm at the surface—so  $V_2/V_1 = 3.5$ . Then Equation 18.4 gives

$$-W = nRT \ln \left( \frac{V_2}{V_1} \right) = \frac{4}{3}\pi r^3 p \ln 3.5$$

Using the 8-mm bubble radius and the 3.5-atm pressure gives 0.95 J for the work. In this calculation we needed the pressure in SI units, which we got using the conversion 1 atm = 101.3 kPa. When we found the volume ratio, any units would do because  $V_2/V_1$  followed from the pressure ratio  $p_1/p_2$ .

**ASSESS** Make sense? The work  $-W$  done *by* the gas is positive because an expanding bubble pushes water outward and ultimately upward. It therefore raises the ocean's gravitational potential energy. When the bubble breaks, this excess potential energy becomes kinetic energy, appearing as small waves on the water surface. The bubble, in turn, gets its energy from heat that flows in to keep it at constant temperature. Energy is conserved!

## Constant-Volume Processes and Specific Heat

A **constant-volume process** (also called isometric, isochoric, or isovolumic) occurs in a rigid closed container whose volume can't change. We could tightly clamp the piston in Fig. 18.5 for a constant-volume process. Because the piston doesn't move, the gas does no work, and the first law becomes simply  $\Delta E_{\text{int}} = Q$ . To express this result in terms of a temperature change  $\Delta T$ , we introduce the **molar specific heat at constant volume**  $C_V$ , defined by

$$Q = nC_V \Delta T \quad (\text{constant-volume process}) \quad (18.5)$$

where  $n$  is the number of moles. This molar specific heat is like the specific heat defined in Chapter 16, except it's per mole rather than per unit mass. Using Equation 18.5 for  $Q$  in our first-law statement  $\Delta E_{\text{int}} = Q$  gives

$$\Delta E_{\text{int}} = nC_V \Delta T \quad (\text{any process}) \quad (18.6)$$

For an ideal gas, the internal energy is a function of temperature alone, so  $\Delta E_{\text{int}}/\Delta T$  has the same value no matter what process the gas undergoes. Therefore, Equation 18.6, relating the temperature change  $\Delta T$  and internal-energy change  $\Delta E_{\text{int}}$ , applies not only to a constant-volume process but to *any* ideal-gas process. Why, then, have we been so careful to label  $C_V$  the specific heat *at constant volume*? Although Equation 18.6,  $\Delta E_{\text{int}} = nC_V \Delta T$ , holds for any process, it's only when there's no work that the first law lets us write  $Q = \Delta E_{\text{int}}$ , and therefore only for a constant-volume process that Equation 18.5 holds.

## Isobaric Processes and Specific Heat

**Isobaric** means constant pressure. Processes occurring in systems exposed to the atmosphere are essentially isobaric. In a reversible isobaric process, a system moves along an isobar, or curve of constant pressure, in its  $pV$  diagram (Fig. 18.9). The work done on the gas as the volume changes from  $V_1$  to  $V_2$  is the negative of the rectangular area under the isobar, or

$$W = -p(V_2 - V_1) = -p \Delta V \quad (18.7)$$

a result we could obtain formally by integrating Equation 18.3.

Solving the first law (Equation 18.1) for  $Q$  and using our expression for work gives  $Q = \Delta E_{\text{int}} - W = \Delta E_{\text{int}} + p \Delta V$ . For an ideal gas, we've just found that the change in internal energy is  $\Delta E_{\text{int}} = nC_V \Delta T$  for *any* process. Therefore,  $Q = nC_V \Delta T + p \Delta V$  for an ideal gas undergoing an isobaric process. We define the **molar specific heat at constant pressure**  $C_p$  as the heat required to raise 1 mol of gas by 1 K at constant pressure, or  $Q = nC_p \Delta T$ . Equating our two expressions for  $Q$  gives

$$nC_p \Delta T = nC_V \Delta T + p \Delta V \quad (\text{isobaric process}) \quad (18.8)$$

This is a useful form for calculating temperature changes in an isobaric process if we know both specific heats  $C_p$  and  $C_V$ . However, we really need only one of these specific heats because a simple relation holds between the two. The ideal-gas law,  $pV = nRT$ , allows us to write  $p \Delta V = nR \Delta T$  for an isobaric process. Using this expression in Equation 18.8 gives  $nC_p \Delta T = nC_V \Delta T + nR \Delta T$ , so

$$C_p = C_V + R \quad (\text{molar specific heats}) \quad (18.9)$$

Does this make sense? Specific heat measures the heat needed to cause a given temperature change. In a constant-volume process, no work is done and all the heat goes into raising the internal energy and thus the temperature of an ideal gas. In a constant-pressure process, work *is* done and some of the added heat ends up as mechanical energy, leaving less available for raising the temperature. Therefore, a constant-pressure process requires *more* heat for a given temperature change. Thus the specific heat at constant pressure is greater than at constant volume, as reflected in Equation 18.9.

Why didn't we distinguish specific heats at constant volume and constant pressure earlier? Because we were concerned mostly with solids and liquids, whose coefficients of expansion are far lower than those of gases. As a result, much less work is done by a solid or liquid than by a gas. Since work is what gives rise to the difference between  $C_V$  and  $C_p$ , the

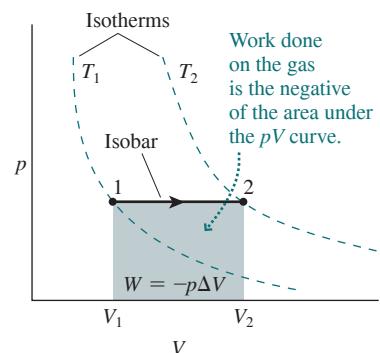


FIGURE 18.9 A  $pV$  diagram for an isobaric process; also shown are isotherms for the initial and final temperatures.

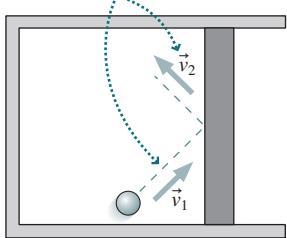
### Application

### BOILING WATER

You slip a mug of water into the microwave to boil for tea, or you put a pot of water on the stove to cook pasta. Boiling water is an example of an isobaric process, because the water is exposed to atmospheric pressure as it boils. At its normal 100°C boiling point, water's volume increases some 2000-fold as it goes from liquid to vapor. According to Equation 18.7, the work done by the gas as it expands is  $p \Delta V$ . That 2000-fold expansion means that  $\Delta V$  is very nearly the same as the final volume  $V$ , so the work done by the gas is essentially  $pV$ . Then the ideal-gas law in the form of Equation 17.2,  $pV = nRT$ , implies that the work done per mole of gas is  $RT$ . With  $T = 100^\circ\text{C}$  or 373 K, that amounts to some 3.1 kJ/mol. Converting moles to kg of  $\text{H}_2\text{O}$  gives an equivalent of 170 kJ/kg. The energy needed to do that work must be included in the heat of vaporization, which we introduced in Chapter 17. There, Table 17.1 gave 2257 kJ/kg as water's heat of vaporization at its boiling point. Our 170 kJ/kg shows that only about 8% of the energy supplied to boil water goes into expanding the vaporized water against atmospheric pressure. The rest is due largely to the breaking of the hydrogen bonds that keep  $\text{H}_2\text{O}$  molecules close together in the liquid state.

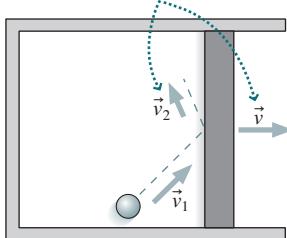


Molecules rebound with the same speed, and the gas's internal energy doesn't change.



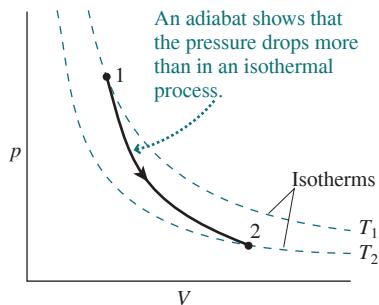
(a) Stationary piston

Rebounding molecules have lower speed as energy is transferred to the outward-moving piston. With the decrease in internal energy comes a drop in temperature.



(b) Moving piston

**FIGURE 18.10** In an adiabatic expansion, a gas does work on the piston and its internal energy decreases. Part (b) shows microscopically how this occurs.



**FIGURE 18.11** A  $pV$  curve for an adiabatic expansion (dark curve).

distinction is less significant for solids and liquids. As a practical matter, measured specific heats are usually at constant pressure.

## Adiabatic Processes

In an **adiabatic process**, no heat flows between a system and its environment. The way to achieve this is to surround the system with perfect thermal insulation. Even without insulation, processes that occur quickly are often approximately adiabatic because they're over before significant heat transfer has had time to occur. In a gasoline engine, for example, compression of the gasoline-air mixture and expansion of the combustion products are nearly adiabatic because they occur so rapidly that little heat flows through the cylinder walls.

Since the heat  $Q$  is zero in an adiabatic process, the first law becomes simply

$$\Delta E_{\text{int}} = W \quad (\text{adiabatic process}) \quad (18.10)$$

$\Delta E_{\text{int}}$  is the change in a system's internal energy.  $W$  is the work done on the system.  
Because there's no heat flow  $Q$  during an adiabatic process, the first law equates  $\Delta E_{\text{int}}$  and  $W$ .

This says that if we do work on a system and there's no heat transfer, then the system must gain an equal amount of internal energy. Conversely, if the system does work on its environment, then it loses internal energy (Fig. 18.10).

As a gas expands adiabatically, its volume increases while its internal energy and temperature decrease. The ideal-gas law,  $pV = nRT$ , then requires that the pressure decrease as well—and by more than it would in an isothermal process where  $T$  remains constant. In a  $pV$  diagram, the path of an adiabatic process—called an **adiabat**—is therefore steeper than the isotherms (Fig. 18.11).

Tactics 18.1 details the math involved in finding the adiabatic path; the result is

$$pV^\gamma = \text{constant} \quad (\text{adiabatic process}) \quad (18.11a)$$

$p$  is the gas pressure. The exponent  $\gamma$  is the ratio of the molar specific heats of the gas.  
 $V$  is the gas volume. Even though  $p$  and  $V$  change,  $pV^\gamma$  remains constant during an adiabatic process.

where  $\gamma = C_p/C_V$  is the ratio of the specific heats. Because  $C_p = C_V + R$ , the ratio  $\gamma = C_p/C_V$  is always greater than 1. As expected, an adiabatic process therefore results in a greater pressure change than would a comparable isothermal process, as reflected in the steeper adiabatic path in Fig. 18.11. Physically, the adiabatic path is steeper because the gas loses internal energy as it does work, so its temperature drops. Problem 71 shows how to rewrite Equation 18.11a in terms of temperature:

$$TV^{\gamma-1} = \text{constant} \quad (\text{adiabatic process}) \quad (18.11b)$$

It's another exercise in calculus to integrate Equation 18.3 for the work done on the gas in an adiabatic process. You can do this in Problem 69; the result is

$$W = \frac{p_2 V_2 - p_1 V_1}{\gamma - 1} \quad (18.12)$$

### Tactics 18.1 DERIVING THE ADIABATIC EQUATION

Equation 18.6 gives the infinitesimal change in internal energy for *any* process:  $dE_{\text{int}} = nC_VdT$ . The corresponding work is  $dW = p dV$  so, with  $Q = 0$  in an adiabatic process, the first law becomes  $nC_VdT = -p dV$ . We can eliminate  $dT$  by differentiating the ideal-gas law, now letting *both*  $p$  and  $V$  change:  $nR dT = d(pV) = p dV + V dp$ . Solving for  $dT$ , substituting in our first-law statement, and multiplying through by  $R$  leads to  $C_V V dp + (C_V + R)p dV = 0$ . But  $C_V + R = C_p$ ; substituting this and dividing through by  $C_V p V$  gives

$$\frac{dp}{p} + \frac{C_p}{C_V} \frac{dV}{V} = 0$$

Defining  $\gamma \equiv C_p/C_V$  and integrating gives

$$\ln p + \gamma \ln V = \ln(\text{constant})$$

where we've chosen to call the constant of integration  $\ln(\text{constant})$ . Since  $\gamma \ln V = \ln V^\gamma$ , it follows by exponentiation that

$$pV^\gamma = \text{constant}$$

### CONCEPTUAL EXAMPLE 18.1 Ideal-Gas Law versus the Adiabatic Equation

The ideal-gas law says  $pV = nRT$ , but, seemingly in contrast, Equation 18.11a says  $pV^\gamma = \text{constant}$  for an ideal gas undergoing an adiabatic process. Which is right?

**EVALUATE** The ideal-gas law is fundamental, so we know it's right. And we derived Equation 18.11a based on the behavior of an ideal gas. So *both* must be right. But how can that be, when one equation talks about  $pV$  and the other about  $pV^\gamma$ ? The answer lies in the right-hand side of the ideal-gas law:  $nRT$ . For an adiabatic process,  $T$  isn't constant and therefore  $pV$  isn't constant—but  $pV^\gamma$  is.

**ASSESS** Compare the adiabatic process with an isothermal process. In the isothermal case,  $T$  is constant and we would write  $pV = \text{constant}$ .

Both processes obey the ideal-gas law, but the relation of  $p$  and  $V$  differs, so there's no contradiction.

**MAKING THE CONNECTION** Suppose you halve the volume of an ideal gas with  $\gamma = 1.4$ . What happens to the pressure if the process is (a) isothermal and (b) adiabatic?

**EVALUATE** For the isothermal process  $pV = \text{constant}$ , so halving the volume doubles the pressure. For the adiabatic process it's  $pV^\gamma$  that's constant. Setting  $p_1 V_1^\gamma = p_2 V_2^\gamma$  with  $V_2 = V_1/2$  gives  $p_2 = 2^\gamma p_1$ . With  $\gamma = 1.4$ , that means the pressure increases by a factor of 2.64. The pressure increase is greater than in the isothermal case because the temperature goes up.

### EXAMPLE 18.3 An Adiabatic Process: Diesel Power

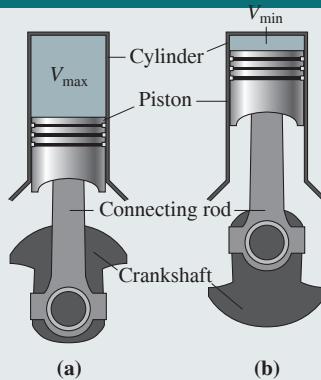
Fuel ignites in a diesel engine because of the temperature rise that results from compression as the piston moves toward the top of the cylinder; there's no spark plug as in a gasoline engine. Compression is fast enough that the process is essentially adiabatic. If the ignition temperature is 500°C, what compression ratio  $V_{\max}/V_{\min}$  is needed (Fig. 18.12)? Air's specific-heat ratio is  $\gamma = 1.4$ , and before compression the air is at 20°C.

**INTERPRET** We identify the thermodynamic process here as adiabatic compression.

**DEVELOP** The problem involves temperature and volume, so Equation 18.11b applies, giving  $T_{\min} V_{\min}^{\gamma-1} = T_{\max} V_{\max}^{\gamma-1}$ .

**EVALUATE** Solving for the compression ratio  $V_{\max}/V_{\min}$  gives

$$\frac{V_{\max}}{V_{\min}} = \left( \frac{T_{\min}}{T_{\max}} \right)^{1/(\gamma-1)} = \left( \frac{773 \text{ K}}{293 \text{ K}} \right)^{1/0.4} = 11$$



**FIGURE 18.12** One cylinder of a diesel engine, shown with the piston (a) at the bottom of its stroke and (b) at the top. The compression ratio is  $V_{\max}/V_{\min}$ .

**ASSESS** Practical diesel engines have higher ratios to ensure reliable ignition. Their high compression makes diesels heavier than their gasoline counterparts, but also more fuel efficient. You can explore the diesel engine further in Chapter 19.

## APPLICATION

## Smog Alert!



The smog that blankets urban areas is an unfortunate manifestation of our prolific fossil-fueled energy consumption. Adiabatic processes in the atmosphere determine whether or not smog lingers over a city. Consider a volume of air that's heated, perhaps because it's over hot pavement that absorbs solar energy. The air becomes less dense, and its buoyancy makes it rise. As it ascends into regions of lower pressure, it expands, doing work against the surrounding atmosphere. Air is a poor heat conductor, so the process is essentially adiabatic. Therefore, the gas cools as it does work.

Now, temperature in the atmosphere normally decreases with altitude. So here's the crucial question: Does the rising air cool faster or slower than the surrounding atmosphere? If it cools more slowly, then it continues to be warmer, and it continues to rise. Any pollution is carried high into the atmosphere where it's dispersed. But if the decrease in air temperature with altitude isn't so great, or in an **inversion** where it's actually warmer aloft, the rising air will soon reach equilibrium with its surroundings and won't rise any higher. The effect is to trap air and its entrained pollutants near the surface, as shown in this photo of Los Angeles. Smog alert!

## GOT IT?

- 18.2** Name the basic thermodynamic process involved when each of the following is done to a piston–cylinder system containing ideal gas, and tell also whether temperature, pressure, volume, and internal energy increase or decrease: (1) The piston is locked in place and a flame is applied to the bottom of the cylinder; (2) the cylinder is completely insulated and the piston is pushed downward; (3) the piston is exposed to atmospheric pressure and is free to move, while the cylinder is cooled by placing it on a block of ice.

## Cyclic Processes

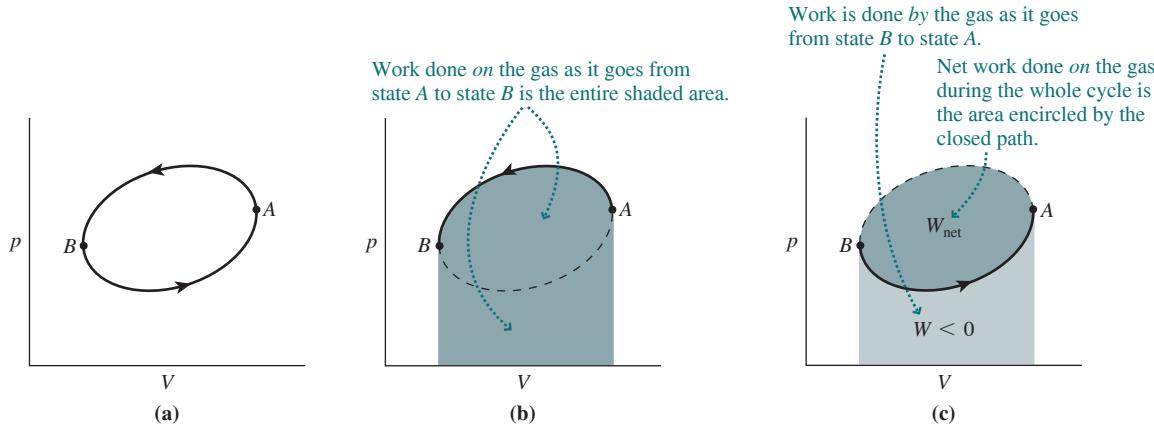
Many natural and technological systems undergo **cyclic processes**, in which the system returns periodically to the same thermodynamic state. Engineering examples include engines and refrigerators whose mechanical construction ensures cyclic behavior. Many natural oscillations, like those of a sound wave or a pulsating star, are essentially cyclic.

Cyclic processes often involve the four basic processes we've just explored, as summarized in Table 18.1. We've seen that the work done in any reversible process is just the

**Table 18.1** Ideal-Gas Processes

	ISOTHERMAL	CONSTANT-VOLUME	ISOBARIC	ADIABATIC
<i>pV</i> diagram				
Defining characteristic	$T = \text{constant}$	$V = \text{constant}$	$p = \text{constant}$	$Q = 0$
First law	$Q = -W$	$Q = \Delta E_{\text{int}}$	$Q = \Delta E_{\text{int}} - W$	$\Delta E_{\text{int}} = W$
Work done on gas	$W = -nRT \ln\left(\frac{V_2}{V_1}\right)$	$W = 0$	$W = -p(V_2 - V_1)$	$W = \frac{p_2 V_2 - p_1 V_1}{\gamma - 1}$
Other relationships	$pV = \text{constant}$	$Q = nC_V \Delta T$	$Q = nC_p \Delta T$ $C_p = C_V + R$	$pV^\gamma = \text{constant}$ $TV^{\gamma-1} = \text{constant}$

area under the  $pV$  curve. A cyclic process returns to the same point in the  $pV$  diagram, so it involves both expansion and compression (Fig. 18.13). During compression, work is done on the gas; during expansion, the gas does work on its surroundings. The net work done on the gas is the difference between the two, shown in Fig. 18.13 as the area enclosed by the cyclic path in the  $pV$  diagram.



**FIGURE 18.13** (a) A  $pV$  diagram for a cyclic process. (b), (c) Work done on the gas over one cycle is the area inside the closed path.

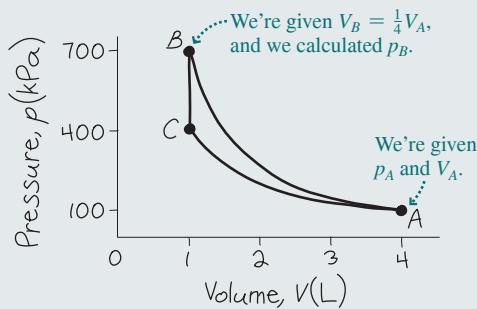
### EXAMPLE 18.4

#### A Cyclic Process: Finding the Work Worked Example with Variation Problems

An ideal gas with  $\gamma = 1.4$  occupies 4.0 L at 300 K and 100 kPa pressure. It's compressed adiabatically to one-fourth of its original volume, then cooled at constant volume back to 300 K, and finally allowed to expand isothermally to its original volume. How much work is done on the gas?

**INTERPRET** This problem involves a cyclic process, and we identify three separate thermodynamic processes that make up the cycle: adiabatic, constant-volume, and isothermal.

**DEVELOP** Here it helps to draw a  $pV$  diagram, shown in Fig. 18.14. Our plan is to use equations in Table 18.1 to determine the work for each of the basic processes and then combine them to get the net work. For the adiabatic process  $AB$ , Table 18.1 gives  $W_{AB} = (p_B V_B - p_A V_A)/(\gamma - 1)$ ; for the constant-volume process  $BC$ ,  $W_{BC} = 0$ ; and for the isothermal process  $CA$ , the work is  $W_{CA} = -nRT \ln(V_A/V_C)$ .



**FIGURE 18.14** The cyclic process  $ABCA$  of Example 18.4 includes adiabatic ( $AB$ ), constant-volume ( $BC$ ), and isothermal ( $CA$ ) sections.

**EVALUATE** For the adiabatic process  $AB$  we're given all quantities except  $p_B$ . This we get from the adiabatic equation  $pV^\gamma = \text{constant}$ , or

Work is done *by* the gas as it goes from state  $B$  to state  $A$ .

Net work done *on* the gas during the whole cycle is the area encircled by the closed path.

$$W_{\text{net}} = \int p dV$$

$W < 0$

$p_B V_B^\gamma = p_A V_A^\gamma$ . Solving gives  $p_B = p_A (V_A/V_B)^\gamma = 696.4 \text{ kPa}$ , where we used the given information  $p_A = 100 \text{ kPa}$ ,  $\gamma = 1.4$ , and a compression to one-fourth the original volume ( $V_A/V_B = 4$ ). We now have enough information to find the work done over the adiabatic path:

$$W_{AB} = \frac{p_B V_B - p_A V_A}{\gamma - 1} = 741 \text{ J}$$

where, with pressures in kPa ( $= 10^3 \text{ Pa}$ ) and volumes in L ( $= 10^{-3} \text{ m}^3$ ), the factors  $10^{\pm 3}$  cancel and there's no need to convert. The work  $W_{AB}$  is positive because work is done *on* the gas when it's compressed.

In the expression  $W_{CA} = -nRT \ln(V_A/V_C)$  for the isothermal work, we can evaluate the quantity  $nRT$  at *any* point on the isothermal curve because  $T$  is constant. The ideal-gas law says that  $nRT = pV$ , and we know both  $p$  and  $V$  at point A. So  $nRT = p_A V_A = 400 \text{ J}$ , where again we could multiply  $p_A = 100 \text{ kPa}$  by  $V_A = 4.0 \text{ L}$  to get an answer in SI units. The isothermal work is then

$$W_{CA} = -nRT \ln\left(\frac{V_A}{V_C}\right) = -(400 \text{ J})(\ln 4) = -555 \text{ J}$$

This is negative because the gas does work in expanding from C to A.

Combining our results for all three segments gives the net work:

$$W_{ABCA} = W_{AB} + W_{BC} + W_{CA} = 741 \text{ J} + 0 \text{ J} - 555 \text{ J} = 186 \text{ J}$$

**ASSESS** Make sense? The final answer is positive because we've done net work *on* the gas; that's always the case in going counter-clockwise around a cyclic path in a  $pV$  diagram. Since the system returns to its original state, its internal energy undergoes no net change. That means all the work that's done on it must be transferred to its surroundings as heat. Since no heat flows during the adiabatic process  $AB$ , and since the gas *absorbs* heat during the isothermal expansion  $CA$ , the only time it transfers heat *to* its surroundings is during the constant-volume cooling process  $BC$ .

## 18.3 Specific Heats of an Ideal Gas

**LO 18.4 Explain the specific heats of ideal gases based on their molecular structure.**

We've found that the thermodynamic behavior of an ideal gas depends on the specific heats  $C_V$  and  $C_p$ . What are the values of those quantities?

Our ideal-gas model of Chapter 17 assumed the gas molecules were structureless point particles with only translational kinetic energy. The internal energy  $E_{\text{int}}$  of the gas is the sum of all those molecular kinetic energies. But the average kinetic energy is directly proportional to the temperature:  $\frac{1}{2}mv^2 = \frac{3}{2}kT$ . If we have  $n$  moles of gas, the internal energy is then  $E_{\text{int}} = nN_A(\frac{1}{2}mv^2) = \frac{3}{2}nN_AkT$ , where  $N_A$  is Avogadro's number. But  $N_Ak = R$ , the gas constant, so  $E_{\text{int}} = \frac{3}{2}nRT$ . Solving Equation 18.6 for the molar specific heat then gives

$$C_V = \frac{1}{n} \frac{\Delta E_{\text{int}}}{\Delta T} = \frac{3}{2}R \quad (18.13)$$

For this gas of structureless particles, the adiabatic exponent  $\gamma$  is therefore

$$\gamma = \frac{C_p}{C_V} = \frac{C_V + R}{C_V} = \frac{\frac{5}{2}R}{\frac{3}{2}R} = \frac{5}{3} = 1.67$$

Some gases, notably the inert gases helium (He), neon (Ne), argon (Ar), and others in the last column of the periodic table, have adiabatic exponents and specific heats given by these equations. But others do not. At room temperature, for example, hydrogen ( $H_2$ ), oxygen ( $O_2$ ), and nitrogen ( $N_2$ ) obey adiabatic laws with  $\gamma$  very nearly  $\frac{7}{5}$  ( $= 1.4$ ) and, correspondingly, specific heat  $C_V = \frac{5}{2}R$ . On the other hand, sulfur dioxide ( $SO_2$ ) and nitrogen dioxide ( $NO_2$ ) have specific-heat ratios close to 1.3 and therefore  $C_V$  of about 3.4R.

What's going on here? A clue lies in the structure of individual gas molecules, reflected in their chemical formulas. The inert-gas molecules are **monatomic**, consisting of single atoms. To the extent that these atoms behave like structureless mass points, the only energy they can have is kinetic energy of translational motion. We can think of that kinetic energy as being a sum of *three* terms, each associated with motion in one of the three mutually perpendicular directions. We call each separate term in the energy of a system a **degree of freedom**, meaning a way that system can take on energy. So a monatomic molecule has three degrees of freedom.

In contrast, hydrogen, oxygen, and nitrogen molecules are **diatomic**, as shown in Fig. 18.15. Although a gas of such molecules should still obey the ideal-gas law  $pV = nRT$ , these molecules can have rotational as well as translational kinetic energy. Then the kinetic energy of a diatomic molecule consists of *five* terms, three for the three directions of translational motion and two for rotational motions about the two mutually perpendicular axes shown in Fig. 18.15. So a diatomic molecule has five degrees of freedom. You'll now see how this difference between *three* degrees of freedom for monatomic molecules and *five* for diatomic molecules accounts for the difference between their specific heats.

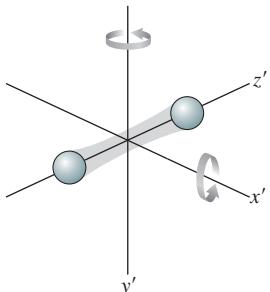


FIGURE 18.15 A diatomic molecule can have significant rotation about two perpendicular axes.

### The Equipartition Theorem

We showed in Chapter 17 that the average kinetic energy associated with a gas molecule's motion in one direction is  $\frac{1}{2}kT$ . We then argued that all three directions are equally probable, making the molecular kinetic energy, on average,  $\frac{3}{2}kT$ . The argument from one direction to three is based on the assumption that random collisions will share energy equally among the possible motions. When a molecule can rotate as well as translate, energy should be shared also among possible rotational motions. The 19th-century Scottish physicist James Clerk Maxwell first proved this fact, which is known as the **equipartition theorem**:

**EQUIPARTITION THEOREM** When a system is in thermodynamic equilibrium, the average energy per molecule is  $\frac{1}{2}kT$  for each degree of freedom.

We've just seen that a diatomic molecule has five degrees of freedom: three translational and two rotational. The average energy of such a molecule is then  $5(\frac{1}{2}kT) = \frac{5}{2}kT$ ,

so the total internal energy in  $n$  moles of a diatomic gas is  $E_{\text{int}} = nN_A \left( \frac{5}{2}kT \right) = \frac{5}{2}nRT$ . Equation 18.6 then gives the molar specific heat at constant volume:

$$C_V = \frac{1}{n} \frac{\Delta E_{\text{int}}}{\Delta T} = \frac{5}{2}R \quad (\text{diatomic molecule})$$

Our result  $C_p = C_V + R$  still holds, since it was derived from the first law of thermodynamics without regard to molecular structure, so  $C_p = \frac{7}{2}R$  and  $\gamma = C_p/C_V = \frac{7}{5} = 1.4$ . These results describe the observed behavior of diatomic gases like hydrogen, oxygen, and nitrogen at room temperature.

A polyatomic molecule like  $\text{NO}_2$  can rotate about any of three perpendicular axes (Fig. 18.16). It then has a total of six degrees of freedom, giving  $E_{\text{int}} = 3nRT$  and corresponding specific heats  $C_V = 3R$  and  $C_p = C_V + R = 4R$ . The adiabatic exponent is then  $\gamma = \frac{4}{3} \approx 1.33$ , reasonably close to the experimental value  $\gamma = 1.29$  for  $\text{NO}_2$ .

### EXAMPLE 18.5 Specific Heat: A Gas Mixture

A gas mixture consists of 2.0 mol of oxygen ( $\text{O}_2$ ) and 1.0 mol of argon (Ar). Find the volume specific heat of the mixture.

**INTERPRET** This problem is about specific heat and molecular structure. We identify the molecules involved as diatomic  $\text{O}_2$  and monatomic Ar.

**DEVELOP** Equation 18.6,  $\Delta E_{\text{int}} = nC_V \Delta T$ , determines the volume specific heat, so we need to find how the internal energy  $E_{\text{int}}$  depends on temperature. Our plan is to use the equipartition theorem to get the energy per molecule for each gas, then find the total energy as a function of temperature, and from that the specific heat.

**EVALUATE** Being diatomic,  $\text{O}_2$  has five degrees of freedom, so the equipartition theorem gives the average energy per molecule as  $\frac{5}{2}kT$ . Then the total energy in  $n = 2$  moles of oxygen is  $E_{\text{int,O}_2} = nN_A \left( \frac{5}{2}kT \right) = \frac{5}{2}nRT = 5.0RT$ , where we used  $N_A k = R$ . Monatomic Ar has three degrees of freedom, so the internal energy in our 1 mole of argon is, similarly,  $E_{\text{int,Ar}} = \frac{3}{2}nRT = 1.5RT$ . The total internal energy is then  $E_{\text{int}} = 6.5RT$ , so Equation 18.6 gives

$$C_V = \frac{1}{n} \frac{\Delta E_{\text{int}}}{\Delta T} = \frac{6.5R}{3.0 \text{ mol}} = 2.2R$$

**ASSESS** Make sense? Our answer lies between the values  $1.5R$  and  $2.5R$  that we found for monatomic and diatomic gases, respectively. It's closer to  $2.5R$  because there's more oxygen in the mixture.

### GOT IT?

**18.3** The same amount of heat flows into equal volumes of nitrogen ( $\text{N}_2$ ) and nitrogen dioxide ( $\text{NO}_2$ ), while both are held at constant pressure. Is the resulting temperature rise (a) greater for  $\text{N}_2$ , (b) the same for both, or (c) greater for  $\text{NO}_2$ ?

## Quantum Effects

Relating molecular structure and gas behavior is a remarkable triumph for Newtonian physics. But hidden in our analysis is an assumption that Newtonian physics can't justify. Real atoms have size, so even monatomic molecules should rotate. Why not more degrees of freedom? The answer lies in quantum physics, which requires a certain minimum energy for a periodic motion such as rotation. At normal temperatures, the average thermal energy is too low to excite rotation of monatomic molecules, or of diatomic molecules about their long axis. So these molecules exhibit three and five degrees of freedom, respectively. That results in the volume specific heats  $\frac{3}{2}R$  and  $\frac{5}{2}R$  that we've seen. For diatomic molecules at higher temperatures, still another motion comes into play—the simple harmonic oscillation of the two atoms due to the springlike bond between them. That adds two more degrees of freedom, corresponding to the kinetic and potential energies of this oscillation, and the specific heat increases correspondingly. At very low temperatures, in contrast, there isn't enough thermal energy to excite any rotation in a diatomic gas, and it then exhibits the specific heat  $C_V = \frac{3}{2}R$  that we normally associate with a monatomic gas. Figure 18.17 shows these effects for diatomic hydrogen ( $\text{H}_2$ ).

Are you bothered by the strange restrictions quantum mechanics imposes on molecular rotation and vibration? You should be! Nothing in your experience suggests that a rotating object can't have any amount of energy you care to give it. But quantum mechanics deals with a realm much smaller than that of our daily experience. The quantization of energy is only one of many unusual things that occur in the quantum realm. We'll explore more quantum phenomena in Part 6.

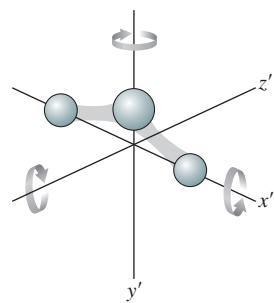


FIGURE 18.16 A triatomic molecule like  $\text{NO}_2$  has three rotational degrees of freedom.

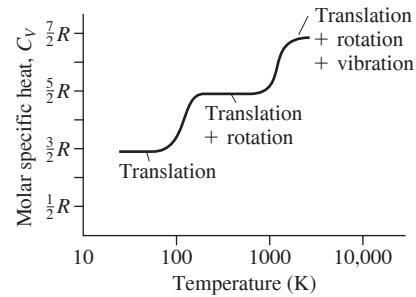


FIGURE 18.17 Molar specific heat of  $\text{H}_2$  gas at constant volume, as a function of temperature. Below 20 K hydrogen is liquid, and above 3200 K it dissociates into individual atoms.

# Chapter 18 Summary

## Big Idea

The big idea here is conservation of energy, now expanded to include heat. The expanded statement of energy conservation is the **first law of thermodynamics**, which relates the change in a system's internal energy to the heat flowing into the system and the work done on the system. The first law can be used with the ideal-gas law to give a quantitative description of basic thermodynamic processes applied to ideal gases; these are described graphically using ***pV* diagrams**. The **equipartition theorem** states that in thermodynamic equilibrium, internal energy is shared equally among the possible energy modes of a system.

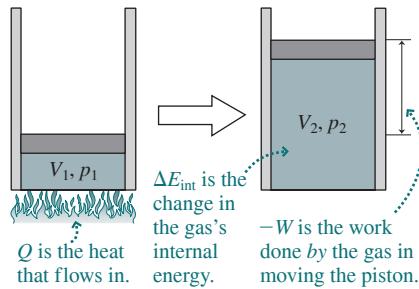
## Key Concepts and Equations

Quantitatively, the first law of thermodynamics states

$$\Delta E_{\text{int}} = Q + W$$

Meaning of terms in the first law:

- $\Delta E_{\text{int}}$  is the change in a system's internal energy.
- $Q$  is the heat transferred *to* the system.
  - Positive  $Q$  means a net heat input to the system.
  - Negative  $Q$  means heat leaves the system.
- $W$  is the work done *on* the system.
  - Positive  $W$  means work is done on the system.
  - Negative  $W$  means the system does work on its surroundings.

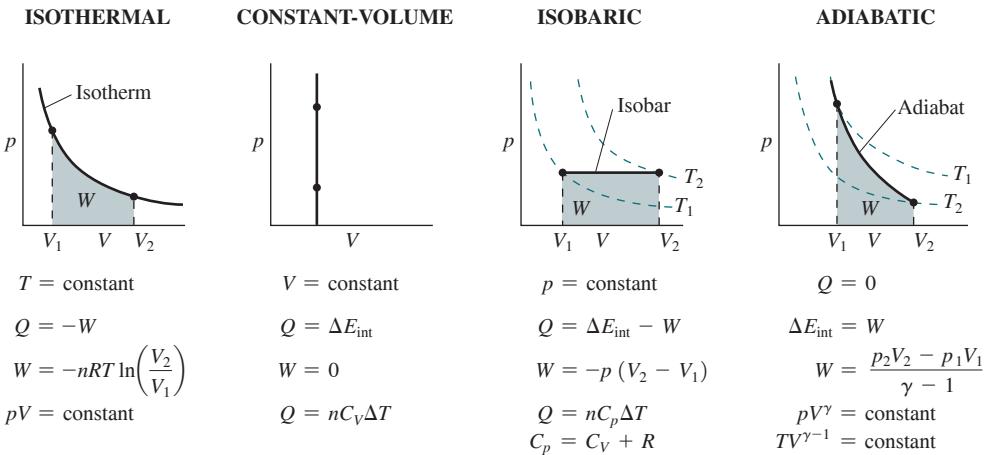


In general, the work done by a system is related to the changes in pressure and volume:

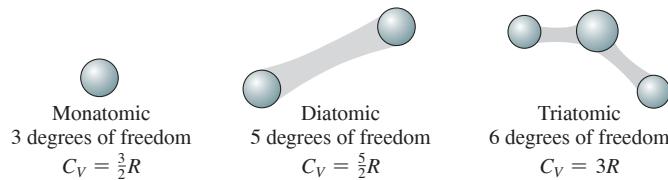
$$W = - \int_{V_1}^{V_2} p \, dV$$

## Applications

Ideal-gas processes:



The specific heats of an ideal gas follow from the **degrees of freedom** of each molecule:



## Mastering Physics

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

### Learning Outcomes

After finishing this chapter you should be able to:

- LO 18.1 Describe the first law of thermodynamics as a statement of energy conservation.  
*For Thought and Discussion Questions 18.1, 18.2; Exercises 18.11, 18.12, 18.13, 18.14, 18.15*
- LO 18.2 Calculate the work done by or on an ideal gas for different thermodynamic processes.  
*For Thought and Discussion Questions 18.3, 18.4, 18.5, 18.6; Exercises 18.16, 18.17, 18.18, 18.19, 18.20, 18.23; Problems 18.36, 18.37, 18.39, 18.40, 18.54, 18.55, 18.58, 18.59, 18.62, 18.63, 18.66, 18.68*
- LO 18.3 Determine values of pressure and temperature during different thermodynamic processes.

- For Thought and Discussion Questions 18.7, 18.8, 18.9; Exercise 18.22; Problems 18.38, 18.41, 18.42, 18.43, 18.44, 18.45, 18.46, 18.47, 18.48, 18.49, 18.50, 18.51, 18.52, 18.53, 18.54, 18.55, 18.56, 18.57, 18.60, 18.61, 18.62, 18.63, 18.67, 18.69, 18.70, 18.71, 18.72, 18.73, 18.74, 18.75, 18.76, 18.78, 18.79*
- LO 18.4 Explain the specific heats of ideal gases based on their molecular structure.  
*For Thought and Discussion Question 18.10; Exercises 18.21, 18.22, 18.23, 18.24, 18.25, 18.26, 18.27; Problems 18.64, 18.65, 18.77*

### For Thought and Discussion

1. The temperature of the water in a jar is raised by violently shaking the jar. Which of the terms  $Q$  and  $W$  in the first law of thermodynamics is involved in this case?
2. What's the difference between heat and internal energy?
3. Why can't an irreversible process be described by a path in a  $pV$  diagram?
4. Are the initial and final equilibrium states of an irreversible process describable by points in a  $pV$  diagram? Explain.
5. A quasi-static process begins and ends at the same temperature. Is the process necessarily isothermal?
6. Figure 18.18 shows two processes,  $A$  and  $B$ , that connect the same initial and final states, 1 and 2. For which process is more heat added to the system?
7. When you let air out of a tire, the air seems cool. Why? What kind of process is occurring?
8. Blow on the back of your hand with your mouth wide open. Your breath will feel hot. Now tighten your lips into a small opening and blow again. Now your breath feels cool. Why?
9. Three identical gas–cylinder systems are compressed from the same initial state to final states that have the same volume, one isothermally, one adiabatically, and one isobarically. Which system has the most work done on it? The least?
10. Why is specific heat at constant pressure greater than at constant volume?

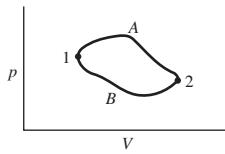


FIGURE 18.18 For Thought and Discussion 6

mechanical energy would have been required if the container had been perfectly insulated?

13. A 40-W heat source is applied to a gas sample for 25 s, during which time the gas expands and does 750 J of work on its surroundings. By how much does the internal energy of the gas change?
14. Find the rate of heat flow into a system whose internal energy is increasing at the rate of 45 W, given that the system is doing work at the rate of 165 W.
15. In a certain automobile engine, 17% of the total energy released in burning gasoline ends up as mechanical work. What's the engine's mechanical power output if its heat output is 68 kW?

### Section 18.2 Thermodynamic Processes

16. An ideal gas expands from the state  $(p_1, V_1)$  to the state  $(p_2, V_2)$ , where  $p_2 = 2p_1$  and  $V_2 = 2V_1$ . The expansion proceeds along the diagonal path  $AB$  in Fig. 18.19. Find an expression for the work done by the gas during this process.
17. Repeat Exercise 16 for a process that follows the path  $ACB$  in Fig. 18.19.
18. A balloon contains 0.30 mol of helium. It rises, while maintaining a constant 300-K temperature, to an altitude where its volume has expanded five times. Neglecting tension forces in the balloon, how much work is done by the helium during this isothermal expansion?
19. The balloon of Exercise 18 starts at 100 kPa pressure and rises to an altitude where  $p = 75$  kPa, maintaining a constant 300 K temperature. (a) By what factor does its volume increase? (b) How much work does the gas in the balloon do?
20. How much work does it take to compress 2.5 mol of an ideal gas to half its original volume while maintaining a constant 300 K temperature?
21. By what factor must the volume of a gas with  $\gamma = 1.4$  be changed in an adiabatic process if the kelvin temperature is to double?
22. Nitrogen gas ( $\gamma = 1.4$ ) at 18°C is compressed adiabatically until its volume is reduced to one-fourth of its initial value. By how much does its temperature increase?
23. A carbon-sequestration scheme calls for isothermally compressing 6.8 m<sup>3</sup> of carbon dioxide, initially at atmospheric pressure, until it occupies only 5.0% of its original volume. Find the work required.

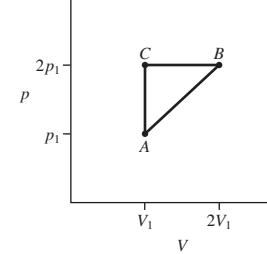


FIGURE 18.19 Exercises 16, 17 and Problem 75

### Exercises and Problems

#### Exercises

##### Section 18.1 The First Law of Thermodynamics

11. In a perfectly insulated container, 1.0 kg of water is stirred vigorously until its temperature rises by 7.0°C. How much work is done on the water?
12. In a closed but uninsulated container, 500 g of water are shaken violently until the temperature rises by 3.0°C. The mechanical work done in the process is 9.0 kJ. (a) How much heat is transferred to the surroundings during the shaking? (b) How much

### Section 18.3 Specific Heats of an Ideal Gas

24. A gas mixture contains 2.5 mol of O<sub>2</sub> and 3.0 mol of Ar. What are this mixture's molar specific heats  $C_V$  and  $C_p$  at constant volume and constant pressure?
25. A mixture of monatomic and diatomic gases has specific-heat ratio  $\gamma = 1.52$ . What fraction of its molecules are monatomic?
26. What should be the approximate specific-heat ratio of a gas consisting of 50% NO<sub>2</sub> molecules ( $\gamma = 1.29$ ), 30% O<sub>2</sub> ( $\gamma = 1.40$ ), and 20% Ar ( $\gamma = 1.67$ )?
27. By how much does the temperature of (a) an ideal monatomic gas and (b) an ideal diatomic gas (with molecular rotation but no vibration) change in an adiabatic process in which 2.5 kJ of work are done on each mole of gas?

### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

28. **Example 18.2:** A diver is working at a depth where the pressure is 2.85 times the standard atmospheric pressure at the water's surface. The air she exhales forms bubbles 17.6 mm in diameter. How much work does a bubble do as it rises to the surface, assuming it remains at a constant temperature?
29. **Example 18.2:** A gas bubble develops from decomposing ooze at the bottom of a freshwater lake, where the pressure is 417 kPa. Initially the bubble is 1.58 cm in diameter. The lake is at a uniform 3.98°C, and the bubble maintains this temperature as it rises to the surface, which is at normal atmospheric pressure. How much heat is absorbed by the bubble as it rises?
30. **Example 18.2:** A pearl diver fills his lungs with 5.25 L of air at normal atmospheric pressure and then dives to 24.6 m, where the pressure is 3.46 atm. If the air in his lungs stays at body temperature, how much work is done on the air as it compresses?
31. **Example 18.2:** A spherical balloon is placed inside a closed chamber connected to a vacuum pump. The chamber is initially at normal atmospheric pressure, but when the pump starts the pressure drops. The balloon then maintains a constant temperature but expands by a factor of 3.50, and in the process absorbs 147 J of heat from its surroundings. Find (a) the final pressure in the chamber and (b) the balloon's original diameter.
32. **Example 18.4:** An ideal gas with  $\gamma = 1.40$  occupies 8.26 L at 335 K and 89.2 kPa pressure. It's compressed adiabatically to one-third of its original volume, then cooled at constant volume back to 335 K. Finally, it's allowed to expand isothermally to its original volume. How much work is done on the gas?
33. **Example 18.4:** An ideal gas with  $\gamma = 1.40$  and temperature 288 K fills a cylinder whose volume is initially 25.0 L. The gas is then compressed adiabatically to half of its original volume, then cooled at constant volume back to 288 K. Finally, it's allowed to expand isothermally to its original volume. If the work done on the gas during this cycle is 436 J, what was the gas pressure at the start of the cycle?
34. **Example 18.4:** An ideal gas with  $\gamma = 7/5$  is initially at pressure  $p_A$  and occupies volume  $V_A$ . The gas then undergoes a cycle consisting of four steps: (1) It's heated at constant volume until its kelvin temperature doubles; (2) it's compressed adiabatically

until its volume is one-fifth of its original volume; (3) it's cooled at constant pressure until it's back to its original temperature; and (4) it expands isothermally until it reaches its initial state. (a) Find an exact expression, in terms of  $p_A$  and  $V_A$ , for the work done on the gas during this cycle. (b) Evaluate your result to give a numerical expression for the work, again in terms of  $p_A$  and  $V_A$ , and good to three significant figures.

35. **Example 18.4:** An ideal gas with  $\gamma = 1.40$  is initially at 273 K and occupies a volume of 2.00 L. The gas then undergoes a cycle consisting of four steps: (1) It's heated at constant volume to 373 K; (2) it's compressed adiabatically until its volume is one-eighth of its original volume; (3) it's cooled at constant pressure until it's back to its original temperature; and (4) it expands isothermally until it reaches its initial state. If the work done on the gas in one complete cycle is 0.910 kJ, what was the original pressure?

### Problems

36. An ideal gas expands to 10 times its original volume, maintaining a constant 440 K temperature. If the gas does 3.3 kJ of work on its surroundings, (a) how much heat does it absorb, and (b) how many moles of gas are there?
37. During vigorous bicycling, the human body typically releases **BIO** stored energy from food at the rate of 500 W and produces about 120 W of mechanical power. At what rate does the body produce heat while bicycling?
38. A 0.25-mol sample of ideal gas initially occupies 3.5 L. If it takes 61 J of work to compress the gas isothermally to 3.0 L, what's the temperature?
39. As the heart beats, blood pressure in an artery varies from a **BIO** high of 125 mm of mercury to a low of 80 mm. These values are gauge pressures—that is, excesses over atmospheric pressure. An air bubble trapped in an artery has diameter 1.52 mm when blood pressure is at its minimum. (a) What will its diameter be at maximum pressure? (b) How much work does the blood (and ultimately the heart) do in compressing this bubble, assuming the air remains at the same 37.0°C temperature as the blood?
40. It takes 1.5 kJ to compress a gas isothermally to half its original volume. How much work would it take to compress it by a factor of 22 starting from its original volume?
41. A gas undergoes an adiabatic compression during which its volume drops to half its original value. If the gas pressure increases by a factor of 2.55, what's its specific-heat ratio  $\gamma$ ?
42. A gas with  $\gamma = 1.40$  occupies 6.25 L when it's at 98.5 kPa pressure. (a) What's the pressure after the gas is compressed adiabatically to 4.18 L? (b) How much work does that compression require?
43. A gas sample undergoes the cyclic process ABCA shown in Fig. 18.20, where AB is an isotherm. The pressure at A is 60 kPa. Find (a) the pressure at B and (b) the net work done on the gas.
44. Repeat Problem 43 taking AB as an adiabat and using specific-heat ratio  $\gamma = 1.4$ .
45. A gasoline engine has compression ratio 8.5 (see Example 18.3 for the meaning of this term), and the fuel-air mixture compresses adiabatically with  $\gamma = 1.4$ . If the mixture enters the engine at 30°C, what will its temperature be at maximum compression?

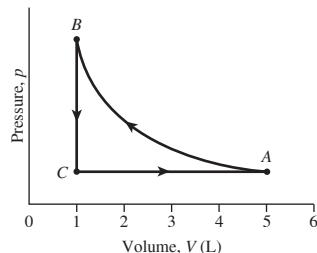


FIGURE 18.20 Problems 43 and 44

46. By what factor must the volume of a gas with  $\gamma = 1.4$  be changed in an adiabatic process if the pressure is to double?
47. Volvo's B4204 engine, used in the XC70 series cars, has a compression ratio of 10.8, and the fuel-air mixture undergoes adiabatic compression with  $\gamma = 1.40$ . The engine's turbocharger supplies air at 342 K and 1.50 times normal atmospheric pressure. If this air fills the engine's cylinders at their maximum volume, what will be (a) the temperature and (b) the pressure at the point of maximum compression?
48. A research balloon is prepared for launch by pumping into it  $1.75 \times 10^3 \text{ m}^3$  of helium gas at 12°C and 1.00 atm pressure. It rises high into the atmosphere to where the pressure is only 0.340 atm. Assuming the balloon doesn't exchange significant heat with its surroundings, find (a) its volume and (b) its temperature at the higher altitude.
49. Monatomic argon gas is initially at a chilly 28 K. By what factor would you have to increase its pressure, adiabatically, to bring it to room temperature (293 K)?
50. By what factor does the internal energy of an ideal diatomic gas change when it's compressed to half its original volume (a) isothermally, (b) isobarically, or (c) adiabatically?
51. A 3.50-mol sample of ideal gas with molar specific heat  $C_V = \frac{5}{2}R$  is initially at 255 K and 101 kPa pressure. Determine the final temperature and the work done by the gas when 1.75 kJ of heat are added to the gas (a) isothermally, (b) at constant volume, and (c) isobarically.
52. Prove that the slope of an adiabat at a given point in a  $pV$  diagram is  $\gamma$  times the slope of the isotherm passing through the same point.
53. An ideal gas with  $\gamma = 1.67$  starts at point A in Fig. 18.21, where its volume and pressure are  $1.00 \text{ m}^3$  and 250 kPa, respectively. It undergoes an adiabatic expansion that triples its volume, ending at B. It's then heated at constant volume to C and compressed isothermally back to A. Find (a) the pressure at B, (b) the pressure at C, and (c) the net work done on the gas.
54. The gas of Example 18.4 starts at state A in Fig. 18.14 and is compressed adiabatically until its volume is 2.0 L. It's then cooled at constant pressure until it reaches 300 K, then allowed to expand isothermally back to state A. Find (a) the net work done on the gas and (b) the minimum volume of the gas.
55. The gas of Example 18.4 starts at state A in Fig. 18.14 and is heated at constant volume until its pressure has doubled. It's then compressed adiabatically until its volume is one-fourth its original value, then cooled at constant volume to 300 K, and finally allowed to expand isothermally to its original state. Find the net work done on the gas.
56. Show that the relation between pressure and temperature in an adiabatic process is  $p^{1-\gamma} T^\gamma = \text{constant}$ .
57. You're the product safety officer for a company that makes cycling accessories. You're given a new design for a bicycle pump that includes a cylinder 32 cm long when the pump handle is all the way out. To keep the pump from getting too hot, you specify that the temperature rise should not exceed 75°C when the handle is pushed rapidly, with the outlet blocked, until the internal length of the cylinder is 16 cm. Assuming air initially at 18°C, does the pump meet your temperature-rise criterion?

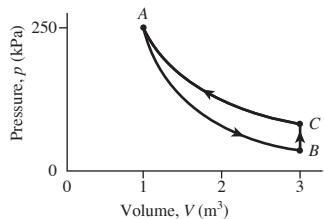


FIGURE 18.21 Problem 53

58. Figure 18.22 shows data and a fit curve from an experimental measurement of the pressure–volume curve for a human lung. Estimate the work involved in fully inflating the lung.
59. Gasoline and diesel engines often use turbochargers to compress air supplied to the engine, thus providing greater power or greater fuel efficiency. When a particular turbocharger compresses 1.00 mol of air, the air transfers 158 J of heat to its surroundings. Nevertheless, the air temperature rises by 48.6°C. Find the work the turbocharger does on this air. Treat the air as an ideal diatomic gas.
60. A gas with  $\gamma = 7/5$  is at 273 K when it's compressed isothermally to one-third of its original volume and then further compressed adiabatically to one-fifth of its original volume. Find its final temperature.
61. An ideal gas with  $\gamma = 1.3$  is initially at 273 K and 100 kPa. The gas is compressed adiabatically to 240-kPa pressure. Find its final temperature.
62. The curved path in Fig. 18.23 lies on the 350-K isotherm for an ideal gas with  $\gamma = 1.4$ . (a) Calculate the net work done on the gas as it goes around the cyclic path ABCA. (b) How much heat flows into or out of the gas on the segment AB?
63. Repeat part (a) of Problem 62 for the path ACDA in Fig. 18.23. (b) How much heat flows into or out of the gas on the segment CD?
64. A gas mixture contains monatomic argon and diatomic oxygen. An adiabatic expansion that doubles its volume results in the pressure dropping to one-third of its original value. What fraction of the molecules are argon?
65. How much of a triatomic gas with  $C_V = 3R$  would you have to add to 10 mol of monatomic gas to get a mixture whose thermodynamic behavior was like that of a diatomic gas?
66. An 8.5-kg rock at 0°C is dropped into a well-insulated vat containing a mixture of ice and water at 0°C. When equilibrium is reached, there are 6.3 g less ice. From what height was the rock dropped?
67. A piston–cylinder arrangement containing 0.30 mol of nitrogen at high pressure is in thermal equilibrium with an ice–water bath containing 200 g of ice. The pressure of the ambient air is 1.0 atm. The gas is allowed to expand isothermally until it's in pressure balance with its surroundings. After the process is complete, the bath contains 210 g of ice. What was the original gas pressure?
68. Experimental studies show that the  $pV$  curve for a frog's lung can be approximated by  $p = 10v^3 - 67v^2 + 220v$ , with  $v$  in mL and  $p$  in Pa. Find the work done when such a lung inflates from zero to 4.5 mL volume.
69. Show that the application of Equation 18.3 to an adiabatic process results in Equation 18.12.
70. Two identical gas samples are initially at the same temperature. (a) Both are compressed—one isothermally and one adiabatically—until their volume is halved. Find a symbolic expression for

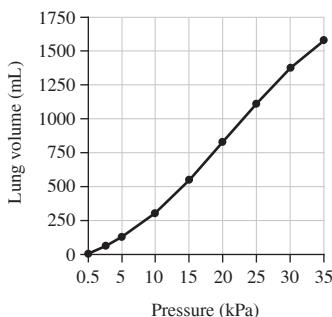


FIGURE 18.22 Problem 58

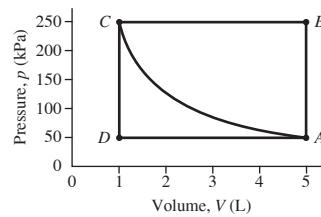


FIGURE 18.23 Problems 62 and 63

the ratio of the work done during the adiabatic compression to the work done during the isothermal compression. (b) Evaluate your expression for a diatomic gas with  $\gamma = 1.40$ .

71. Use the ideal-gas law to eliminate pressure in Equation 18.11a, and show that the result can be written as Equation 18.11b.
72. The table below shows measured values of pressure versus volume for an ideal gas undergoing a thermodynamic process. Make a log–log plot (logarithm of  $p$  versus logarithm of  $V$ ) of these data and use it to determine (a) whether the process is isothermal or adiabatic and (b) the temperature if it's isothermal or the adiabatic exponent  $\gamma$  if it's adiabatic.

Volume, $V$ (L)	1.1	1.27	1.34	1.56	1.82	2.14	2.37
Pressure, $p$ (atm)	0.998	0.823	0.746	0.602	0.493	0.372	0.344

73. Air with initial volume  $V_0 = 4.50 \text{ L}$  and initial pressure  $p_0 = 1.00 \text{ atm}$  is compressed reversibly in such a way that pressure and volume are related by the equation  $PV^2 = P_0V_0^2$  throughout the reversible process. Find the work that's been done when the gas pressure has reached 2.00 atm.
74. A real gas is more accurately described using the van der Waals equation:  $[p + a(n/V)^2](V - nb) = nRT$ , where  $a$  and  $b$  are constants. Find an expression, corresponding to Equation 18.4, for the work done by a van der Waals gas undergoing an isothermal expansion from  $V_1$  to  $V_2$ .
75. Repeat Exercise 16 for an expansion along the path  $p = p_1[1 + (V - V_1)^2/V_1^2]$ .
76. The *adiabatic lapse rate* is the rate at which air cools as it rises and expands adiabatically in the atmosphere (see Application: Smog Alert, on page 337). Express  $dT$  in terms of  $dp$  for an adiabatic process, and use the hydrostatic equation (Equation 15.2) to express  $dp$  in terms of  $dy$ . Then, calculate the lapse rate  $dT/dy$ . Take air's average molecular weight to be 29 u and  $\gamma = 1.4$ , and remember that the altitude  $y$  is the negative of the depth  $h$  in Equation 15.2.
77. A power plant extracts thermal energy from its fuel at the rate of 3810 MW and produces electrical energy at the rate of 1250 MW. There's a proposal to use the waste heat from this plant to heat nearby homes. If the average home requires 43.2 GJ of energy in a winter month, how many homes could be served if 100% of the waste heat from the power plant were available for home heating?
78. Your class on alternative habitats is designing an underwater habitat. A small diving bell will be lowered to the habitat. A hatch at the bottom of the bell is open, so water can enter to compress the air and thus keep the air pressure inside equal to the pressure of the surrounding water. The bell is lowered slowly enough that the inside air remains at the same temperature as the water. But the water temperature increases with depth in such a way that the air pressure and volume are related by  $p = p_0\sqrt{V_0/V}$ , where  $V_0 = 17 \text{ m}^3$  and  $p_0 = 1.0 \text{ atm}$  are the surface values. Suppose the diving bell's air volume cannot be less than  $8.7 \text{ m}^3$  and the pressure must not exceed 1.5 atm when submerged. Are these criteria met?
79. One scheme for reducing greenhouse-gas emissions from coal-fired power plants calls for capturing carbon dioxide and pumping it into the deep ocean, where the pressure is at least 350 atm. You're called to assess the energy cost of such a scheme for a power plant that produces electrical energy at the rate of 1.0 GW while at the same time emitting CO<sub>2</sub> at the rate of 1100 tonnes/hour. If CO<sub>2</sub> is extracted from the plant's smokestack at 320 K and 1 atm pressure and then compressed adiabatically to 350 atm, what fraction of the plant's power output would be needed for the compression?

Take  $\gamma = 1.3$  for CO<sub>2</sub>. (Your answer is a rough estimate because CO<sub>2</sub> doesn't behave like an ideal gas at very high pressures; also, it doesn't include the energy cost of separating the CO<sub>2</sub> from other stack gases or of transporting it to the compression site.)

### Passage Problems

**ENV** Warm winds called *Chinooks* (a Native American term meaning “snow eaters”) sometimes sweep across the plains just east of the Rocky Mountains. These winds carry air from high in the mountains down to the plains rapidly enough that the air has no time to exchange heat with its surroundings (Fig. 18.24). On a particular Chinook day, temperature and pressure high in the Colorado Rockies are 60 kPa and 260 K ( $-13^\circ\text{C}$ ), respectively; the plain below is at 90 kPa.

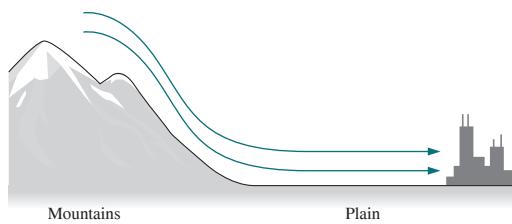


FIGURE 18.24 Chinooks (Passage Problems 80–83)

80. The process the air undergoes as it descends the mountains is
- isothermal.
  - isovolumic.
  - isobaric.
  - adiabatic.
81. As the air descends, its internal energy
- increases.
  - decreases.
  - is unchanged.
82. As the air descends, its volume
- increases by 50%.
  - increases by less than 50%.
  - decreases by 50%.
  - decreases by less than 50%.
  - is unchanged.
83. When the air reaches the plain, its temperature is approximately
- 240 K.
  - 260 K.
  - 290 K.
  - 390 K.

## Answers to Chapter Questions

### Answer to Chapter Opening Question

Energy is conserved, provided thermal energy is included. The engine produces both mechanical energy and thermal energy of its exhaust gases; together, they sum to the energy released in combustion.

### Answers to GOT IT? Questions

- 18.1 (c) Only the internal energy is the same, since it's a thermodynamic state variable unique to a point in the  $pV$  diagram.
- 18.2 (1) Constant-volume,  $T$  and  $p$  increase,  $V$  doesn't change,  $E_{\text{int}}$  increases as heat flows into the gas; (2) Adiabatic,  $T$  and  $p$  increase,  $V$  decreases,  $E_{\text{int}}$  increases as work is done on the gas; (3) Isobaric,  $T$  decreases,  $p$  doesn't change,  $V$  decreases,  $E_{\text{int}}$  decreases as heat flows out of the gas
- 18.3 (a) because the energy is spread over fewer degrees of freedom

# The Second Law of Thermodynamics

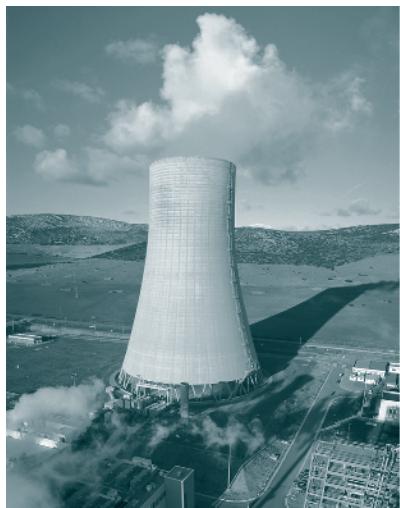
## Skills & Knowledge You'll Need

- The first law of thermodynamics (Section 18.1)
- Ideal-gas processes (Section 18.2)

## Learning Outcomes

*After finishing this chapter you should be able to:*

- LO 19.1** Distinguish reversible and irreversible thermodynamic processes.
- LO 19.2** Articulate the second law of thermodynamics as it applies to engines and refrigerators, and calculate thermodynamic efficiencies.
- LO 19.3** Describe practical implications of the second law, especially for engines, power plants, and heat pumps.
- LO 19.4** Describe entropy and its relation to energy quality.
- LO 19.5** Determine quantitatively the entropy changes in simple thermodynamic processes.
- LO 19.6** Articulate the second law of thermodynamics in terms of entropy.



Most of the energy extracted from fuel in power plants is discarded as waste heat. The large cooling tower shown here dumps this waste heat into the environment. Why is so much energy wasted?

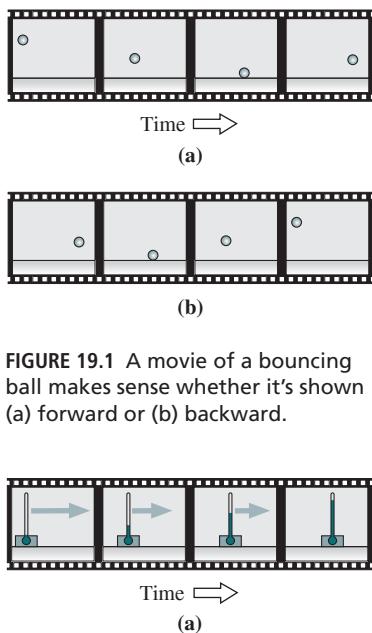
The first law of thermodynamics relates heat and other forms of energy. Much of our world depends on this relationship. Cars extract energy from the heat of burning gasoline. Most of our electricity originates in heat released by burning fuels or fissioning uranium. Our own bodies run on energy that originates as heat flowing from the Sun's core. But the first law doesn't tell the whole story. Heat and mechanical energy aren't the same, and the difference makes the conversion of heat to work a more subtle task than the first law would imply.

## 19.1 Reversibility and Irreversibility

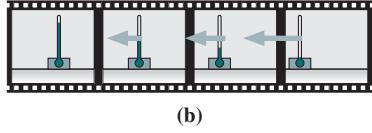
- LO 19.1** *Distinguish reversible and irreversible thermodynamic processes.*

Figure 19.1 shows a movie of a bouncing ball. Play it backward and it still makes sense. Figure 19.2 shows a block sliding along a table, slowing because of friction—and warming in the process. Play this film backward and it makes no sense. You'll never see a block at rest suddenly start to move, cooling as it goes. Yet energy would be conserved if it did, so the first law of thermodynamics would be satisfied. Beat an egg, blending yolk and white. Reverse the beater, and you'll never see them separate again. Mix hot and cold water; the hot water cools and the cold water warms. The opposite never occurs—although energy would still be conserved.

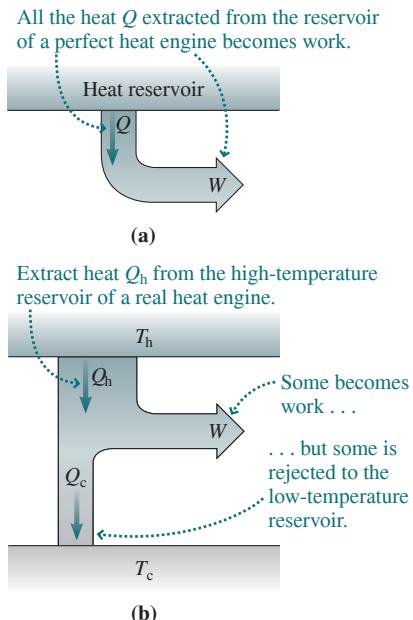
Why are these events **irreversible**? In each case we start with matter in an organized state. The molecules of the sliding block share a common motion. The yolk molecules are all in one place. The hot water has more energetic molecules. Of all possible states, these *organized* ones are rare. There are



**FIGURE 19.1** A movie of a bouncing ball makes sense whether it's shown (a) forward or (b) backward.



**FIGURE 19.2** (a) A block warming (note thermometer) as friction dissipates its kinetic energy and it slows to a stop. (b) The reverse sequence would never happen, even though it doesn't violate energy conservation.



**FIGURE 19.3** (a) Energy-flow diagram for a perfect heat engine. (b) A real engine delivers as work only a fraction of the energy extracted from the high-temperature reservoir.

many more *disorganized* states—for example, all the possible arrangements of molecules in a scrambled egg. As a system evolves, chances are it will end up less organized, simply because there are far more such states available to it. It's very unlikely to assume spontaneously a more organized state.

A key word here is “spontaneous.” We could restore organization—for example, by putting one cup of water in the refrigerator and the other in the microwave—but that requires a rather deliberate and energy-consuming process.

Irreversibility is a probabilistic notion. Events that *could* occur without violating the principles of Newtonian physics nevertheless *don't* occur because they're too improbable. As a practical consequence, harnessing the internal energy associated with random molecular motions is difficult because those motions won't spontaneously become organized. That makes much of the world's energy unavailable for doing useful work.

### GOT IT?

- 19.1** Which of these processes is irreversible? (a) stirring sugar into coffee; (b) building a house; (c) demolishing a house with a wrecking ball; (d) demolishing a house by taking it apart piece by piece; (e) harnessing the energy of falling water to drive machinery; (f) harnessing the energy of falling water to heat a house

## 19.2 The Second Law of Thermodynamics

**LO 19.2** Articulate the second law of thermodynamics as it applies to engines and refrigerators, and calculate thermodynamic efficiencies.

### Heat Engines

It's impossible to convert *all* the internal energy of a system to useful work. But **heat engines** extract *some* of that internal energy. Examples include gasoline and diesel engines, fossil-fueled and nuclear power plants, and jet aircraft engines.

Figure 19.3a is an energy-flow diagram for a “perfect” heat engine—one that extracts heat from a heat reservoir and converts it all to work. Such an engine would do exactly what we've just argued against: It would convert the random energy of thermal motion entirely to the ordered motion associated with mechanical work. In fact a perfect heat engine is impossible, for the same reason that we can't unscramble an egg or make a block accelerate spontaneously using its internal energy. This fact leads to one statement of the **second law of thermodynamics**:

**Second law of thermodynamics (Kelvin–Planck statement)** It is impossible to construct a heat engine operating in a cycle that extracts heat from a reservoir and delivers an equal amount of work.

The phrase “in a cycle” means that a practical engine goes through a repeated sequence of steps, as in the back-and-forth motions of the pistons in a gasoline engine.

A simple heat engine consists of a gas–cylinder system and a hot reservoir, the latter kept hot, perhaps, by burning a fuel. With the gas initially at high pressure, we place the cylinder in contact with the heat reservoir. The gas expands and does work  $W$  on the piston. In this isothermal process, the gas extracts heat  $Q = W$  from the reservoir. Eventually the gas reaches pressure equilibrium and stops expanding. The piston must then be returned to its original position if it's to do more work.

If we just push the piston back, we'll have to do as much work as we got during the expansion, and our engine won't produce any net work. Instead we can cool the gas to reduce its volume, through contact with a cool reservoir. But then some energy leaves the system as heat rather than work, as shown conceptually in Fig. 19.3b. Our engine extracts heat from a source and delivers mechanical work, but over a full cycle the work delivered is less than the heat extracted. The remaining energy is rejected to the lower-temperature reservoir, usually the environment. That's why much of the energy released from fuels in car engines and power plants ends up as waste heat.

The second law of thermodynamics says we can't build a perfect heat engine. But how close can we come? We define the **efficiency**  $e$  of an engine as the ratio of the work  $W$  we get from it to what we have to supply—namely, the heat  $Q_h$ :  $e = W/Q_h$ . Since the process is cyclic, there's no net change in internal energy over one cycle. The first law of thermodynamics then shows that the work  $W$  done by the engine is the difference between the heat  $Q_h$  extracted from the high-temperature reservoir and the heat  $Q_c$  rejected to the cool reservoir:

$$e = \frac{W}{Q_h} = \frac{Q_h - Q_c}{Q_h} = 1 - \frac{Q_c}{Q_h} \quad (19.1)$$

*W is the mechanical work done by the engine.*

*$Q_h$  is the heat extracted from the *hot* reservoir, ...*

*... whereas  $Q_c$  is the heat rejected to the *cool* reservoir.*

*$e$  is the efficiency of any heat engine.*

In this chapter we'll often use  $W$  for the work done by an engine; in the first law it's the work done *on* a system. That's why  $W$  here is equal to the net heat  $Q_h - Q_c$ .

Figure 19.4 shows a heat engine whose efficiency we can calculate. The engine consists of a cylinder containing an ideal gas, sealed by a movable piston. The piston is connected to a rod that turns a wheel. The engine gets its energy from a hot reservoir at temperature  $T_h$ , and it rejects heat to a cooler reservoir at temperature  $T_c$ . Figure 19.5 shows how the engine works in a cycle of four steps, starting with the piston in its leftmost position (state A in Fig. 19.5), where the gas volume is a minimum:

1. Isothermal expansion: The high-temperature reservoir is placed in thermal contact with the cylinder. The gas absorbs heat  $Q_h$  from the hot reservoir and expands isothermally along path AB. Since temperature remains constant, so does internal energy. The first law then shows that the engine does work  $W = Q$  on the piston and wheel.
2. Adiabatic expansion: At B we remove the hot reservoir, so the gas can no longer exchange heat. Thus the expansion becomes adiabatic and follows path BC. We design the engine so the gas has cooled to  $T_c$  when the piston reaches its rightmost position (state C), the point of maximum gas volume.
3. Isothermal compression: At C we bring the cool reservoir into thermal contact with the cylinder. The wheel's inertia keeps it turning, so the piston does work on the gas, compressing it isothermally from state C to D. This work ends up as heat rejected to the cool reservoir.
4. Adiabatic compression: At D we remove the cool reservoir and the compression continues adiabatically until the gas temperature is once again at  $T_h$  and the engine is back at state A.

This cyclic process of two isothermal and two adiabatic steps is the **Carnot cycle** and the engine is a **Carnot engine**, after the French engineer Sadi Carnot (1796–1832). The particular configuration of the engine isn't important, nor is the choice of an ideal gas as the engine's **working fluid**. What distinguishes the Carnot cycle from others is the sequence of thermodynamic processes and the fact that these processes are reversible. The Carnot engine is an example of a **reversible engine**—one in which thermodynamic equilibrium is maintained so that all steps could, in principle, be reversed.

What's the efficiency of a Carnot engine? To find out, we need the heats  $Q_h$  and  $Q_c$  absorbed and rejected during the isothermal parts of the cycle shown in Fig. 19.5. Equation 18.4 gives the heat  $Q_h$  absorbed during the isothermal expansion AB:

$$Q_h = nRT_h \ln\left(\frac{V_B}{V_A}\right)$$

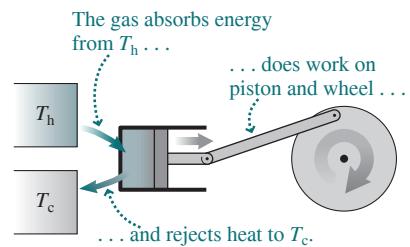


FIGURE 19.4 A simple heat engine.

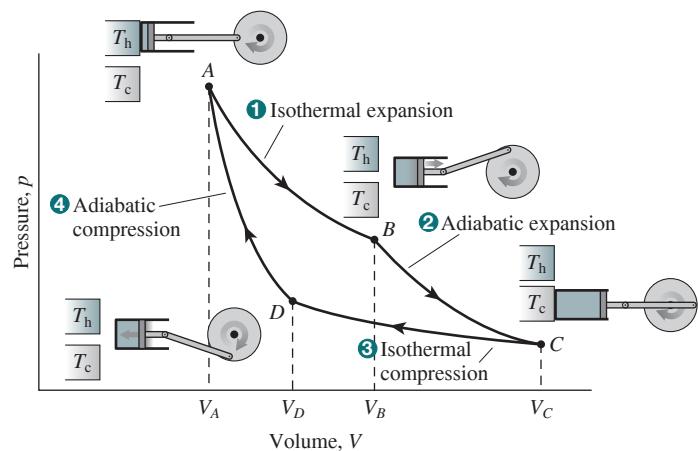
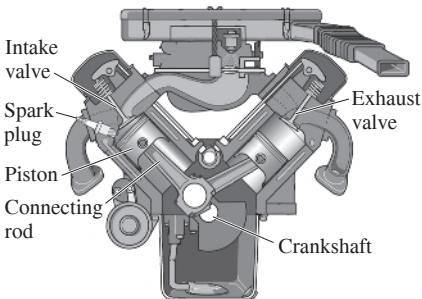


FIGURE 19.5 A  $pV$  diagram for the Carnot engine.

**APPLICATION****Internal Combustion Engines**

Internal combustion engines (ICEs) power most of the world's cars and trucks and will continue to do so for some time despite inroads by electric propulsion systems. Their name refers to the fact that combustion in an ICE takes place within the engine itself, as opposed to external combustion in systems like power plants (look ahead to Fig. 19.10), industrial boilers, and old-fashioned steam locomotives. Today's ICEs build on more than a century of engineering development, and coupled with modern electronic sensors and control systems, they represent a pinnacle of engineering design.

ICEs include the common gasoline and diesel engines. Both these engines undergo cycles involving back-and-forth motion of pistons that's converted to rotary motion that usually drives a vehicle's wheels. ICEs are heat engines, but standard gasoline and diesel engines aren't Carnot engines. Gasoline engines, for example, operate on a cycle that consists approximately of two adiabatic and two constant-volume segments. Because heat transfer doesn't occur at fixed high and low temperatures, the efficiency is less than the Carnot limit of Equation 19.1. The diesel cycle, consisting approximately of adiabatic, isobaric, and constant-volume segments, is, for the same reason, also less efficient than the Carnot limit. You learned about the adiabatic compression phase of a diesel engine in Example 18.3, and you can explore engines further in Problems 60–62. The image shows a cutaway view of a modern gasoline engine.

**EXAMPLE 19.1****Calculating Efficiency: A Carnot Engine**  
*Worked Example with Variation Problems*

A Carnot engine extracts 240 J from its hot reservoir during each cycle and rejects 100 J to the environment at 15°C. How much work does the engine do in one cycle? What's its efficiency? What's the temperature of the hot reservoir?

**INTERPRET** This problem is about a Carnot engine, which operates via the Carnot cycle.

**DEVELOP** Equation 19.3,  $e_{\text{Carnot}} = 1 - (T_c/T_h)$ , relates the two temperatures and the efficiency. Here  $Q_h = 240 \text{ J}$ ,  $Q_c = 100 \text{ J}$ , and  $T_c = 15^\circ\text{C}$  or 288 K. The first law of thermodynamics relates work and heat flows. So our plan is to use the first law to find the work, then find the efficiency, and then use Equation 19.3 to find  $T_h$ .

and the heat  $Q_c$  rejected during the isothermal compression  $CD$ :

$$Q_c = -nRT_c \ln\left(\frac{V_D}{V_C}\right) = nRT_c \ln\left(\frac{V_C}{V_D}\right)$$

We put the minus sign here because the first law takes  $Q$  to be the heat *absorbed*, while Equation 19.1 for the engine efficiency requires that  $Q_c$  be the heat *rejected*. To calculate engine efficiency according to Equation 19.1, we need the ratio  $Q_c/Q_h$ :

$$\frac{Q_c}{Q_h} = \frac{T_c \ln(V_C/V_D)}{T_h \ln(V_B/V_A)} \quad (19.2)$$

This expression can be simplified by applying Equation 18.11b to the adiabatic processes  $BC$  and  $DA$  in the Carnot cycle:  $T_h V_B^{\gamma-1} = T_c V_C^{\gamma-1}$  and  $T_h V_A^{\gamma-1} = T_c V_D^{\gamma-1}$ . Dividing the first of these two equations by the second gives

$$\left(\frac{V_B}{V_A}\right)^{\gamma-1} = \left(\frac{V_C}{V_D}\right)^{\gamma-1} \quad \text{or} \quad \frac{V_B}{V_A} = \frac{V_C}{V_D}$$

so Equation 19.2 becomes simply  $Q_c/Q_h = T_c/T_h$ . Using this result in Equation 19.1 then gives the efficiency of the Carnot engine:

$$e_{\text{Carnot}} = 1 - \frac{T_c}{T_h} \quad (\text{Carnot engine efficiency}) \quad (19.3)$$

$e_{\text{Carnot}}$  is the efficiency of an engine using the Carnot cycle.
 $T_c$  is the temperature of the cool reservoir to which the engine rejects heat.

$T_h$  is the temperature of the hot reservoir from which the engine extracts heat.
The Carnot efficiency is the maximum possible efficiency for *any* heat engine.

where the temperatures are measured on an absolute scale (Kelvin or Rankine). Equation 19.3 shows that the Carnot engine's efficiency depends only on the highest and lowest temperatures of its working fluid. In practice, the low temperature is usually that of the environment; then maximizing efficiency requires making the high temperature as high as possible. Real engines trade off efficiency with the ability of materials to withstand high temperature and pressure.

**EVALUATE** Since there's no change in internal energy over one cycle, the first law requires that the work  $W$  done *by* the engine be equal to the net heat absorbed—namely,  $240 \text{ J} - 100 \text{ J}$ . So  $W = 140 \text{ J}$ . The efficiency is the ratio of work delivered to heat extracted, so  $e = W/Q_h = 140 \text{ J}/240 \text{ J} = 58.3\%$ . Knowing the efficiency, we solve Equation 19.3 for  $T_h$ :

$$T_h = \frac{T_c}{1 - e} = \frac{288 \text{ K}}{1 - 0.583} = 691 \text{ K} = 418^\circ\text{C}$$

**ASSESS** Make sense? The engine rejects somewhat less than half the 240 J as waste heat, so we should expect efficiency somewhat over 50%. And  $T_h$  must be greater than  $T_c$ , as our calculation confirms.

## Engines, Refrigerators, and the Second Law

Why this emphasis on the Carnot engine? Because understanding this device will help answer the broader question of how much work we can hope to extract from thermal energy. That, in turn, will help you understand practical limitations on humankind's attempts to harness ever more energy and will lead to a deeper understanding of the second law of thermodynamics.

Why is Carnot's engine special? Couldn't you build a better engine with greater efficiency? The answer is no. The special role of the Carnot cycle is embodied in **Carnot's theorem**:

**Carnot's theorem** All Carnot engines operating between temperatures  $T_h$  and  $T_c$  have the same efficiency,  $e_{\text{Carnot}} = 1 - (T_c/T_h)$ , and no other engine operating between the same two temperatures can have a greater efficiency.

To prove Carnot's theorem, we introduce the **refrigerator**. A refrigerator is the opposite of an engine: It extracts heat from a cool reservoir and rejects it to a hotter one, using work in the process (Fig. 19.6). A refrigerator forces heat to flow from cold to hot, but to do so it requires work. A household refrigerator cools its contents and warms the house (you can feel the heat coming out the back), but it uses electricity. That heat doesn't flow spontaneously from cold to hot leads to another statement of the second law of thermodynamics:

**Second law of thermodynamics (Clausius statement)** It is impossible to construct a refrigerator operating in a cycle whose sole effect is to transfer heat from a cooler object to a hotter one.

The Clausius statement rules out a perfect refrigerator (Fig. 19.7).

Suppose the Clausius statement were false. Then we could build the device of Fig. 19.8a, consisting of a reversible Carnot engine and a perfect refrigerator. In each cycle the engine would extract, say, 100 J from the hot reservoir, put out 60 J of useful work, and reject 40 J to the cool reservoir. The perfect refrigerator could transfer the 40 J back to the hot reservoir. The net effect would be to extract 60 J from the hot reservoir and convert it entirely to work (Fig. 19.8b)—and we would have a perfect heat engine, in violation of the Kelvin–Planck statement of the second law. A similar argument (Problem 44) shows that if a perfect heat engine is possible, then so is a perfect refrigerator. So the Clausius and Kelvin–Planck statements of the second law are equivalent, in that if one is false, then so is the other.

Because the Carnot engine is reversible, we could run it backward and reverse its path in Fig. 19.5. The engine would extract heat from the cool reservoir, take in work, and reject heat to the hot reservoir. It would be a refrigerator. Although real refrigerators aren't designed exactly like engines, the two are, in principle, interchangeable.

We're now ready to prove Carnot's assertion that Equation 19.3 gives the maximum engine efficiency. Consider again the Carnot engine in Fig. 19.8a. It extracts 100 J of heat and delivers 60 J of work, so it's 60% efficient. Suppose we had another engine operating between the same two reservoirs, but with 70% efficiency. Since the Carnot engine is reversible, we can run it as a refrigerator. If we put the two together, we get the device of Fig. 19.9a. Its net effect is to extract 10 J from the cool reservoir and deliver 10 J of work—so it's a perfect heat engine, in violation of the second law (Fig. 19.9b). It's therefore impossible to make an engine that's more efficient than a Carnot engine, and thus Equation 19.3 gives the maximum possible efficiency for *any* heat engine operating between the same two fixed temperatures. For that reason the Carnot efficiency of Equation 19.3 is also called the **thermodynamic efficiency**.

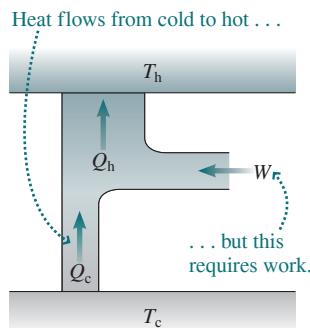


FIGURE 19.6 Energy-flow diagram for a real refrigerator.

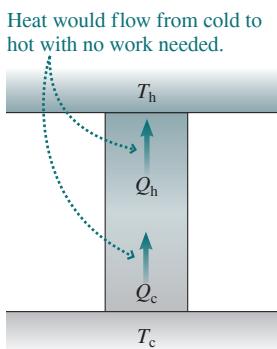


FIGURE 19.7 A perfect refrigerator is impossible.

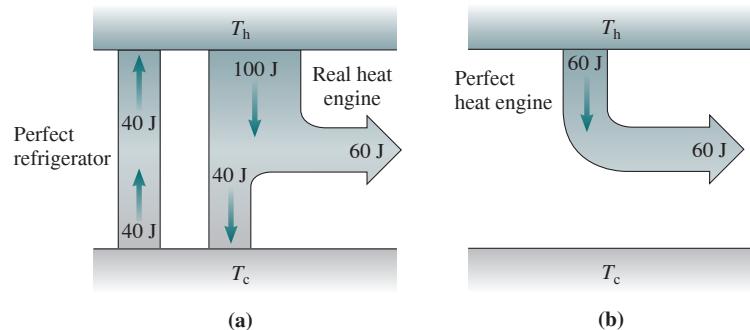
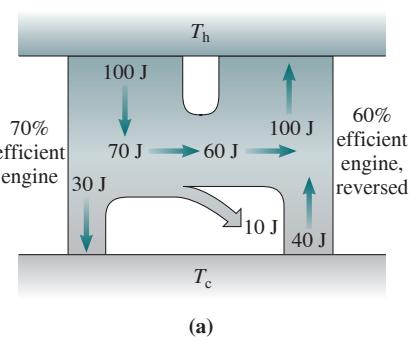
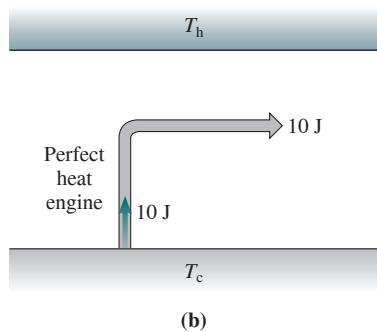


FIGURE 19.8 (a) A real heat engine combined with a perfect refrigerator is equivalent to (b) a perfect heat engine.



(a)



(b)

**FIGURE 19.9** (a) A 60% efficient reversible engine run as a refrigerator, along with a hypothetical engine with 70% efficiency. (b) The combination is equivalent to a perfect heat engine.

Irreversible engines, because they involve processes that dissipate organized motion, are necessarily *less* efficient. So are reversible engines, if their heat exchange doesn't take place solely at the highest and lowest temperatures. The ordinary gasoline engine is a case in point; even if it could be made perfectly reversible, its efficiency would be less than that of a comparable Carnot engine (see Problem 60 and the Application on page 349).

### GOT IT?

- 19.2** The low temperature for a practical heat engine is generally set by the ambient environment, at about 300 K. With that value for  $T_c$ , what will happen to the efficiency of a Carnot engine if you reengineer it so its high temperature  $T_h$  doubles? (a) efficiency will double; (b) efficiency will quadruple; (c) efficiency will increase by an amount that depends on the original value of  $T_h$ ; (d) efficiency will decrease

## 19.3 Applications of the Second Law

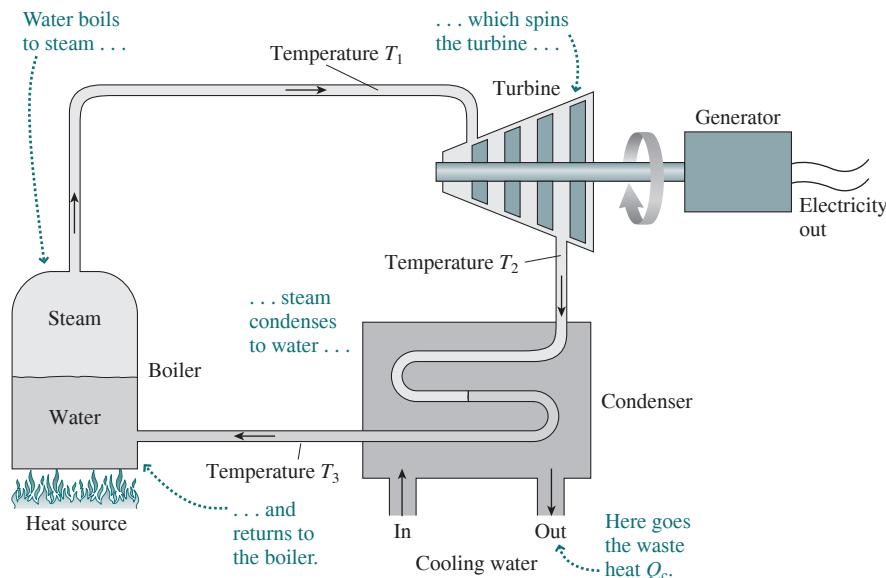
- LO 19.3** *Describe practical implications of the second law, especially for engines, power plants, and heat pumps.*

The world abounds with thermal energy, but the second law of thermodynamics limits our ability to use that energy. Any device we construct that involves the interchange of heat and work is a heat engine or refrigerator, subject to the second law.

### Limitations on Heat Engines

Most of our electricity is produced in *thermal power plants*, which are large heat engines powered by the fossil fuels coal, oil, or natural gas, or by nuclear fission. Figure 19.10 diagrams such a power plant. The working fluid is water, heated in a boiler and converted to steam at high pressure. The steam expands adiabatically to spin a fanlike turbine. The turbine turns a generator that converts mechanical work to electrical energy.

Steam leaving the turbine is still gaseous and is hotter than the water supplied to the boiler. Here's where the second law applies: Had the water returned from the turbine in its original state, we would have extracted as work all the energy acquired in the boiler, in violation of the second law. Therefore, we must run the steam through a **condenser**, where it contacts pipes carrying cool water, typically from a river, lake, or ocean. The condensed steam, now cool water, is fed back into the boiler to repeat the cycle.



**FIGURE 19.10** Schematic diagram of an electric power plant.

The maximum steam temperature in a power plant is limited by the materials used in its construction. For a conventional fossil-fuel plant, current technology permits high temperatures of around 650 K. Potential damage to nuclear fuel rods limits the temperature in a nuclear plant to around 570 K. The average temperature of the cooling water is about 40°C (310 K), so the maximum possible efficiencies for these power plants, given by Equation 19.3, are

$$e_{\text{fossil}} = 1 - \frac{310 \text{ K}}{650 \text{ K}} = 52\% \quad \text{and} \quad e_{\text{nuclear}} = 1 - \frac{310 \text{ K}}{570 \text{ K}} = 46\%$$

Temperature differences between steam and cooling water, mechanical friction, and energy needed for pumps and pollution-control devices all reduce efficiency further, to about 33% for both coal-fired and nuclear plants—which, together, provide over half the world's electricity. So roughly two-thirds of the fuel energy we use to make electricity ends up as waste heat.

A typical large power plant produces 1 GW of electric power, so another 2 GW of waste heat goes into the cooling water. The resulting temperature rise can cause serious ecological problems. The huge cooling towers you see at power plants reduce such “thermal pollution” by transferring much of the waste heat to the atmosphere (see this chapter’s opening photo). Even so, a substantial fraction of all rainwater falling on the United States eventually finds its way through the condensers of power plants (see Problem 37).

### EXAMPLE 19.2 Improving Efficiency: A Combined-Cycle Power Plant

The gas turbine in a combined-cycle power plant (see the Application on the next page) operates at 1450°C. Its waste heat at 500°C is the input for a conventional steam cycle, with its average condenser temperature at 40°C. Find the thermodynamic efficiency of the combined cycle, and compare with the efficiencies of the individual components if they were operated independently.

**INTERPRET** This problem is about the thermodynamic efficiency of a combined-cycle power plant. As described in the Application, that means a plant using a high-temperature gas turbine whose waste heat becomes the energy input to a conventional steam turbine.

**DEVELOP** Figure 19.11 is a conceptual diagram of the combined-cycle plant, based on the Application. Equation 19.3,  $e = 1 - (T_c/T_h)$ , gives the thermodynamic efficiencies of each cycle and of the combination. We identify the 1450°C = 1723 K temperature as  $T_h$  in Equation 19.3 for the gas turbine. The intermediate temperature 500°C = 773 K serves as  $T_c$  for the gas turbine but as  $T_h$  for the steam cycle. Finally, the 40°C or 313-K condenser temperature is  $T_c$  for the steam cycle.

**EVALUATE** To treat the entire plant as a single heat engine in Equation 19.3, we use the highest and lowest temperatures:

$$e_{\text{combined}} = 1 - \frac{T_c}{T_h} = 1 - \frac{313 \text{ K}}{1723 \text{ K}} = 0.82 = 82\%$$

Friction and other losses would reduce this figure substantially, but a combined-cycle plant operating at these temperatures could have a

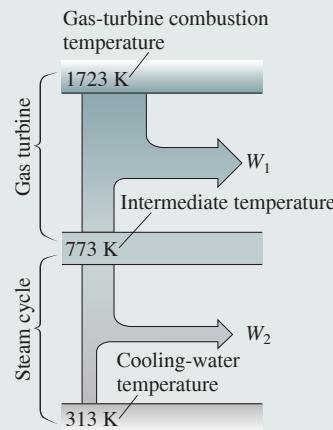


FIGURE 19.11 Conceptual diagram of a combined-cycle power plant.

practical efficiency near 60%. The efficiencies of the individual components also follow from Equation 19.3:

$$e_{\text{gas turbine}} = 1 - \frac{773 \text{ K}}{1723 \text{ K}} = 55\% \quad \text{and} \quad e_{\text{steam}} = 1 - \frac{313 \text{ K}}{773 \text{ K}} = 60\%$$

**ASSESS** Make sense? Because of its extreme temperatures, the combined cycle gives an efficiency that's better than either of its parts! You can learn more about combined-cycle power plants in the Application on the next page, and by working Problem 38.

Gasoline and diesel engines provide another pervasive example of heat engines, discussed in the Application on page 347. A typical automobile engine has a theoretical maximum efficiency of around 50%, but irreversible thermodynamic processes make the actual

## APPLICATION

## Combined-Cycle Power Plants



Improving power-plant efficiency helps reduce air pollution and greenhouse-gas emissions, not to mention the cost of electricity. Modern *combined-cycle* power plants achieve efficiencies approaching 60% by combining a conventional steam system like that of Fig. 19.10 with a *gas turbine* similar to a jet aircraft engine. Gas turbines operate at high temperatures—between 1000 K and 2000 K—but they aren’t very efficient because their exhaust temperature ( $T_c$  in Equation 19.3) is also high. In a combined-cycle plant, exhaust from a gas turbine drives a conventional steam cycle. The overall effect is the same as that of a single heat engine operating between the gas turbine’s high combustion temperature and the low temperature of the environment (see Problem 38). The second law still limits the efficiency, but the high  $T_h$  and low  $T_c$  make for greater efficiency than in a conventional plant. The photo shows a gas-fired combined-cycle plant.

efficiency much lower. Mechanical friction dissipates additional energy, with the end result that less than 20% of the fuel energy reaches the driving wheels. Problems 60 and 61 explore the gasoline engine.

We wouldn’t be so concerned with efficiency if we didn’t have to pay for fuel or worry about the environment. Engines with “free” fuel include solar–thermal power plants that concentrate sunlight to boil a fluid that drives a turbine, and ocean thermal-energy conversion (OTEC) schemes that extract useful work from the modest temperature difference between tropical surface waters and the deep ocean. Neither provides significant energy today, but that could change as the world moves away from fossil fuels.

## Refrigerators and Heat Pumps

A refrigerator works like an engine in reverse: It takes in mechanical work and transfers heat from its cooler interior to its warmer surroundings. An air conditioner is a refrigerator whose “interior” is the building being cooled. A close cousin is the **heat pump**, which transfers heat either way, cooling a building in the summer and warming it in the winter (Fig. 19.12). Most contemporary heat pumps exchange energy between a building and the outside air. However, in very cold climates it’s more efficient (but more expensive) to use groundwater, typically at about 10°C year-round. Heat pumps require electricity, but they transfer more heat energy than they consume in electricity. That makes heat pumps potentially energy-saving devices for winter heating. However, some of that gain is offset by the inefficiency of the power plant producing the electricity.

An efficient refrigerator (or any other device, for that matter) should maximize what we want from the device compared with what we have to put in. The **coefficient of performance** (COP) quantifies this ratio:

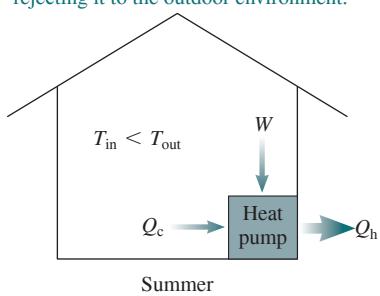
$$\text{COP} = \frac{\text{What we want}}{\text{What we put in}}$$

For a refrigerator or summertime heat pump, “what we want” is cooling, so the numerator is  $Q_c$ . For a wintertime heat pump, “what we want” is heating, so the numerator is  $Q_h$ . For either, “what we put in” is mechanical work,  $W$ , or its equivalent in electricity. Thus we have

$$\text{COP}_{\text{refrigerator}} = \frac{Q_c}{W} = \frac{Q_c}{Q_h - Q_c} \quad \text{COP}_{\text{heat pump}} = \frac{Q_h}{W} = \frac{Q_h}{Q_h - Q_c}$$

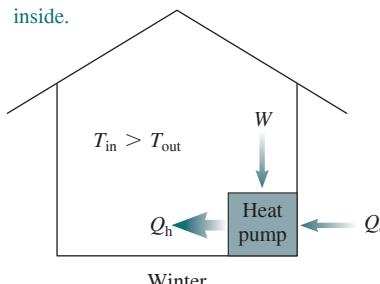
In both cases the second equality follows from the first law of thermodynamics. In deriving the maximum efficiency of a heat engine, we found that  $Q_c/Q_h = T_c/T_h$ . Therefore the maximum possible COPs are

In summer the heat pump cools the house by extracting energy and rejecting it to the outdoor environment.



Summer

In winter the pump extracts energy from outside and transfers it to the inside.



Winter

FIGURE 19.12 A heat pump.

$$\text{COP}_{\text{refrigerator}} = \frac{T_c}{T_h - T_c} \quad (19.4a)$$

What we want from a refrigerator is cooling, so its COP depends most strongly on the low temperature  $T_c$ .

$$\text{COP}_{\text{heat pump}} = \frac{T_h}{T_h - T_c} \quad (19.4b)$$

What we want from a heat pump is heat, so its COP depends most strongly on the high temperature  $T_h$ .

When the temperatures  $T_h$  and  $T_c$  are close, Equations 19.4 give high COPs—meaning the refrigerator or heat pump takes relatively little work to do its job. But as the difference increases, the COP drops and we have to supply more work. Incidentally, our COP expression works for engines as well, if we take “what we want” to be mechanical work  $W$  and “what we put in” to be the heat  $Q_h$ .

### EXAMPLE 19.3 The COP: A Home Freezer

A typical home freezer operates between a low temperature of 0°F ( $-18^\circ\text{C}$  or 255 K) and a high of 86°F ( $30^\circ\text{C}$  or 303 K). What's its maximum possible COP? With this COP, how much electrical energy would it take to freeze 500 g of water initially at 0°C?

**INTERPRET** This problem is about a refrigerator—in this case a freezer. We identify  $T_h$  and  $T_c$  with the values 303 K and 255 K, respectively.

**DEVELOP** Equation 19.4a,  $\text{COP} = T_c/(T_h - T_c)$ , will determine the COP. Then we'll use Equation 17.5,  $Q = Lm$ , to find the heat  $Q_c$  that the freezer must extract to freeze the water. From there we'll be able to use  $\text{COP} = Q_c/W$  to find the work—equivalently, the electrical energy—required.

**EVALUATE** Equation 19.4a gives

$$\text{COP} = \frac{T_c}{T_h - T_c} = \frac{255 \text{ K}}{303 \text{ K} - 255 \text{ K}} = 5.31$$

From Equation 17.5 and Table 17.1, we find the heat that needs to be removed in freezing 500 g of ice:  $Q_c = Lm = (334 \text{ kJ/kg})(0.50 \text{ kg}) = 167 \text{ kJ}$ . The COP is the ratio of the heat removed to the work or electrical energy required, so we have  $W = Q_c/\text{COP} = 167 \text{ kJ}/5.31 = 31 \text{ kJ}$ .

**ASSESS** Make sense? A COP of 5.3 means that each unit of work transfers 5.3 units of heat from inside the freezer to its surroundings—so the electrical-energy requirement is modest. A practical freezer operating between these temperatures would have a lower COP and require more electrical energy.

### GOT IT?

- 19.3** A clever engineer decides to increase the efficiency of a Carnot engine by cooling the low-temperature reservoir using a refrigerator with the maximum possible COP. Will the overall efficiency of this system (a) exceed, (b) be less than, or (c) equal that of the original engine alone?

## 19.4 Entropy and Energy Quality

**LO 19.4** *Describe entropy and its relation to energy quality.*

**LO 19.5** *Determine quantitatively the entropy changes in simple thermodynamic processes.*

**LO 19.6** *Articulate the second law of thermodynamics in terms of entropy.*

If offered a joule of energy, would you rather have it in the form of mechanical work, heat at 1000 K, or heat at 300 K? Your answer might depend on what you want to do. To lift or accelerate a mass, you'd be smart to take your energy as work. But if you want to keep warm, heat at 300 K would be perfectly acceptable.

But which should you choose if you want to keep all your options open, making the energy available for the most possible uses? The second law of thermodynamics answers clearly: You should take the work. Why? Because you could use it directly as mechanical energy, or you could, through friction or other irreversible processes, use it to raise the temperature of something.

If you chose 300 K heat for your joule of energy, then you could supply a full joule only to objects cooler than 300 K. You couldn't do mechanical work unless you ran a

heat engine. With its  $T_h$  only a little above the ambient temperature, your engine would be inefficient, and you could extract only a small fraction of a joule of mechanical energy. You'd be better off with 1000-K heat since you could transfer it to anything cooler than 1000 K, or you could run a heat engine to produce up to 0.7 joule of mechanical energy (because  $1 - T_c/T_h = 1 - 300/1000 = 0.7$ ).

### CONCEPTUAL EXAMPLE 19.1

### Energy Quality and Cogeneration

You need a new water heater, and you're trying to decide between gas and electric. The gas heater is 85% efficient, meaning 85% of the fuel energy goes into heating water. The electric heater is essentially 100% efficient. Thermodynamically, which heater makes the most sense?

**EVALUATE** Your electricity is energy of the highest quality. It probably comes from a thermal power plant, which typically discards as waste heat twice as much energy as it produces in electricity. The electric heater may be 100% efficient in your home, but when you consider the big picture, only about one-third of the fuel energy consumed at the power plant ends up heating your water. With 85% efficiency, the gas heater is the wiser choice.

**ASSESS** It makes sense to match energy sources to their end uses. Electricity is high-quality energy, so it's best for running motors, light sources, electronics, and other devices requiring high-quality

energy. Turning it into low-grade heat is a thermodynamic folly! A really smart strategy is **cogeneration**, in which the waste heat from electric power generation is used to heat buildings. In Europe, whole communities are heated that way, and institutions in the United States are increasingly turning to cogeneration to reduce energy costs and carbon emissions.

**MAKING THE CONNECTION** If the electricity comes from a more efficient gas-fired power plant with  $e = 48\%$ , compare the gas consumption of your two heater choices.

**EVALUATE** The gas heater turns 1 unit of fuel energy into 0.85 unit of thermal energy in the water. The power plant turns 1 unit of fuel energy into 0.48 unit of electrical energy, which the electric heater converts to 0.48 unit of thermal energy. The electric heater is therefore responsible for  $0.85/0.48 = 1.8$  times as much gas consumption.

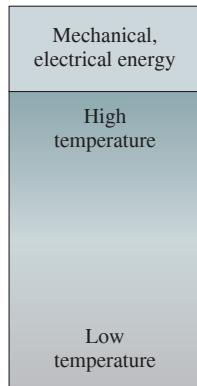


FIGURE 19.13 Energy quality measures the versatility of different energy forms.

Highest quality  
↓  
Lowest

Taking your energy in the form of work gives you the most options. Anything you can do with a joule of energy, you can do with the work. Heat is less versatile, with 300 K heat the least useful of the three. We're not talking here about the quantity of energy—we have exactly 1 joule in each case—but about **energy quality** (Fig. 19.13). We can readily convert an entire amount of energy from higher to lower quality, but the second law precludes going in the opposite direction with 100% efficiency.

### Entropy

Mix hot and cold water, and you get lukewarm water. There's no energy loss, but you *have* lost something—namely, the ability to do useful work. In the initial state, you could have run a heat engine using the temperature difference  $\Delta T$  between the hot and cold water. In the final state, there's no temperature difference, so you couldn't run a heat engine. The *quantity* of energy hasn't changed, but its *quality* has decreased. **Entropy**, symbol  $S$ , quantifies the loss of quality associated with energy transformations. In his Ninth Memoir, Clausius coined the term *entropy* for its similarity to the word *energy* and its Greek root “troph,” meaning *transformation*.

To motivate the definition of entropy, consider an ideal gas undergoing a Carnot cycle. Recall that a Carnot cycle consists of two isothermal and two adiabatic processes (Fig. 19.5). In deriving Equation 19.3 for the Carnot efficiency, we found that  $Q_c/Q_h = T_c/T_h$ , where  $Q_c$  was the heat *rejected* from the system to the low-temperature reservoir at  $T_c$ , and  $Q_h$  the heat *added* from the reservoir at  $T_h$ .

Let's focus on the ideal gas itself and define all heats as the heat *added* to the gas, so  $Q_c$  changes sign. The relationship  $Q_c/Q_h = T_c/T_h$  between heats and temperatures can now be expressed as

$$\frac{Q_c}{T_c} + \frac{Q_h}{T_h} = 0 \quad (\text{Carnot cycle})$$

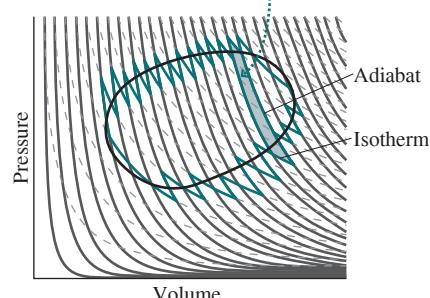


FIGURE 19.14 An arbitrary cycle approximated by isothermal (dashed curves) and adiabatic (solid curves) steps. Heat transfer occurs only during the isothermal steps.

We can generalize this result to *any* reversible cycle by approximating the cycle as a sequence of Carnot cycles, as shown in Fig. 19.14. For each segment, we have  $\sum Q/T = 0$ . As we increase the number of cycles, the volume change associated with each isothermal segment shrinks and the edges get less jagged. We can approximate the closed cycle ever

closer by using more and more Carnot cycles. In the limit, the approximation becomes exact and the sum becomes an integral:

$$\oint \frac{dQ}{T} = 0 \quad (\text{any reversible cycle}) \quad (19.5)$$

where the circle indicates integration over a *closed path*.

Equation 19.5 holds for *any* closed path in the  $pV$  diagram—that is, for any *reversible cycle*. That means we can define the *entropy change*,  $\Delta S$ , between an initial state 1 and a final state 2 as

$$\Delta S_{12} = \int_1^2 \frac{dQ}{T} \quad (\text{entropy change}) \quad (19.6)$$

$\Delta S_{12}$  is the change in a system's entropy as it goes from state 1 to state 2.  
 $dQ$  is an infinitesimal amount of heat flowing to or from the system.  
We need the integral whenever the temperature is changing.  
 $T$  is the temperature.

Note that entropy has the units J/K, the same units as Boltzmann's constant  $k_B$ .

Take a system along a path from state 1 to state 2 in its  $pV$  diagram; Equation 19.6 gives the corresponding entropy change  $\Delta S_{12}$ . Go back to state 1 by any other reversible path, and the resulting entropy change  $\Delta S_{21}$  must be  $-\Delta S_{12}$  so that there's no entropy change around the closed path (Fig. 19.15). Thus the entropy change of Equation 19.6 is independent of path; it depends only on the initial and final states. The only restriction is that we integrate over a reversible path. Like pressure and temperature, entropy is therefore a thermodynamic *state variable*—a quantity that characterizes a given state independently of how the system got into that state.

We restricted ourselves to reversible paths in Equation 19.6 since irreversible processes take a system out of thermodynamic equilibrium and therefore aren't described by paths in the  $pV$  diagram. But because entropy depends only on the initial and final states, we can calculate the entropy change in an *irreversible* process by using Equation 19.6 for a *reversible* process that goes between the same two states. Doing so for a couple of examples will help you understand the meaning of entropy.

## Irreversible Heat Transfer

Figure 19.16 shows hot and cold water being mixed to form lukewarm water. This is an irreversible process, as we described in Section 19.1. We could achieve the same result reversibly, however, by slowly cooling or heating each water sample separately until they're both at the final temperature that would result from directly mixing them. At that point the two could be mixed, and there would be no further temperature change.

To quantify the corresponding entropy change, consider two equal masses  $m$  of water, initially at temperatures  $T_c$  and  $T_h$ . Since the masses are equal, the final temperature if they were mixed would be midway between their initial temperatures:  $T_f = (T_c + T_h) / 2$ . To find the entropy change for each water sample, we first use Equation 16.3 to write  $dQ = mc dT$ , where  $c$  is the specific heat. Using this result in Equation 19.6, and assuming  $c$  doesn't change with temperature, we have

$$\Delta S_{h,c} = \int_{T_{h,c}}^{T_f} \frac{mc dT}{T} = mc \int_{T_{h,c}}^{T_f} \frac{dT}{T} = \frac{dT}{T}$$

where the subscripts h and c indicate separate calculations of the entropy change for the hot and cold water, respectively. In Problem 69 you can continue this calculation to show that the overall entropy change for this system—the sum  $\Delta S_h + \Delta S_c$ —is given by  $\Delta S = mc \ln[(T_c + T_h)^2 / 4T_c T_h]$ . You'll also show in Problem 69 that the argument of the logarithm in this expression is greater than 1, so the entropy change is positive—meaning that entropy increases. Although we did this calculation for the *reversible* process of

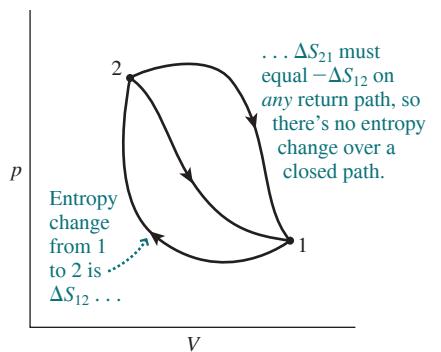


FIGURE 19.15 Entropy change is path-independent.

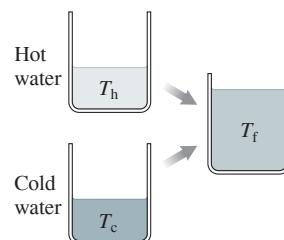


FIGURE 19.16 Hot and cold water are mixed to give lukewarm water at temperature  $T_f$ . The process is irreversible, but a reversible process resulting in the same final state would be to cool the hot water slowly to  $T_f$ , heat the cold water slowly to  $T_f$ , and then mix. The entropy change is the same for either process.

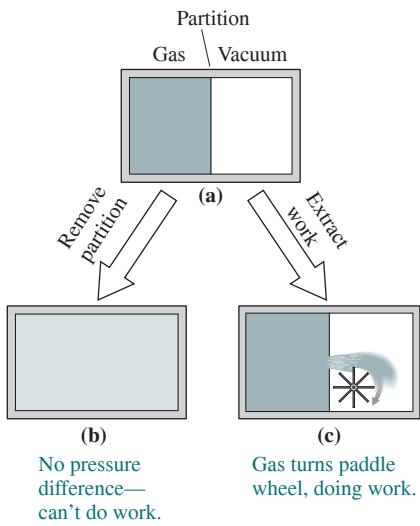


FIGURE 19.17 Two ways for a gas to expand into a vacuum.

slowly heating the cold water and cooling the hot water, the result holds, as we've argued, for the *irreversible* process of mixing hot and cold water directly. Thus, entropy increases during the irreversible mixing.

Although we used equal masses of water in this example, a similar calculation would give the same general result—an entropy increase—when different substances with different masses and different specific heats and initially different temperatures come to thermodynamic equilibrium. Problems 54 and 57 provide some examples.

### Adiabatic Free Expansion

In Fig. 19.17a, a partition confines an ideal gas to one side of a box; the other side is vacuum. Remove the partition, and the gas undergoes a **free expansion**, filling the box. Consider the box to be insulated, so there's no heat flow and the expansion is therefore adiabatic. But this expansion is *irreversible*, so it's significantly different from the adiabatic expansions we considered in Chapter 18. In our free expansion, the vacuum doesn't exert pressure to oppose the gas, so the gas does no work and therefore its internal energy doesn't change. Figure 19.17c shows how we could have used the expanding gas to turn a paddle wheel, extracting useful work. We can't do that with the uniform-pressure gas of Fig. 19.17b, so the free expansion results in the system's losing its ability to do work.

Let's determine the entropy change for this irreversible process. We do that by finding a reversible process that takes the gas between the same two states. Since the gas's internal energy doesn't change, neither does its temperature. So the corresponding reversible process is an isothermal expansion, for which Equation 18.4 gives the heat added:  $Q = nRT \ln(V_2/V_1)$ . With the temperature constant, the entropy change of Equation 19.6 becomes

$$\Delta S = \int \frac{dQ}{T} = \frac{1}{T} \int dQ = \frac{Q}{T} = nR \ln\left(\frac{V_2}{V_1}\right)$$

The final volume  $V_2$  is larger than  $V_1$ , so entropy has *increased*. Although we computed this result for the reversible process, it holds for *any* process that takes the system between the same initial and final states—including our irreversible free expansion.

### Entropy and the Availability of Work

Entropy increases during the two irreversible processes we just considered. Energy quality decreases, in that both systems lose the ability to do work. We can quantify that loss by considering what would happen if we had let the gas in Fig. 19.17 undergo a reversible isothermal expansion instead of free expansion. In that case, the gas would have done work equal to the heat gained:

$$W = Q = nRT \ln\left(\frac{V_2}{V_1}\right)$$

After the irreversible free expansion, the gas can no longer do this work, even though its energy is unchanged. Comparing  $W$  with the entropy change  $\Delta S$  we calculated above, we see that the energy that becomes unavailable to do work is  $E_{\text{unavailable}} = T \Delta S$ . This illustrates a more general relation between entropy and energy quality:

During an irreversible process where the entropy of a system increases by  $\Delta S$ , energy  $E = T_{\min} \Delta S$  becomes unavailable to do work, where  $T_{\min}$  is the lowest temperature available to the system.

This statement shows that entropy provides our measure of energy quality. Given two systems with identical energy content, the one with the lower entropy contains the higher-quality energy. An entropy increase corresponds to a degradation in energy quality, as energy becomes unavailable to do work.

**EXAMPLE 19.4****Increasing Entropy: The Loss of Energy Quality**  
*Worked Example with Variation Problems*

A 2.0-L cylinder contains 5.0 mol of compressed gas at 290 K. If the cylinder is discharged into a 150-L vacuum chamber and its temperature remains 290 K, how much energy has become unavailable to do work?

**INTERPRET** This problem asks about the loss of energy quality during an irreversible and therefore entropy-increasing process—namely, an adiabatic free expansion.

**DEVELOP** Figure 19.18 is a sketch of the situation, similar to Fig. 19.17 except that here the gas is initially confined to a small cylinder, so its volume changes more dramatically as it expands into the large, empty chamber. In analyzing the free expansion of Fig. 19.17, we found  $\Delta S = nR \ln(V_2/V_1)$ . Our statement relating entropy and energy quality says that the energy made unavailable to do work is  $T_{\min} \Delta S$ . So our plan is to calculate  $\Delta S$  and multiply by  $T_{\min}$  to find that unavailable energy.

**EVALUATE** Because the temperature doesn't change,  $T_{\min}$  is the 290-K temperature we're given, and we have

$$\begin{aligned} E_{\text{unavailable}} &= T \Delta S = nRT \ln\left(\frac{V_2}{V_1}\right) \\ &= (5.0 \text{ mol})(8.314 \text{ J/K} \cdot \text{mol})(290 \text{ K}) \ln\left(\frac{152 \text{ L}}{2.0 \text{ L}}\right) = 52 \text{ kJ} \end{aligned}$$

Here we set  $V_2 = 152 \text{ L}$  because the final volume includes both the larger chamber and the original cylinder.

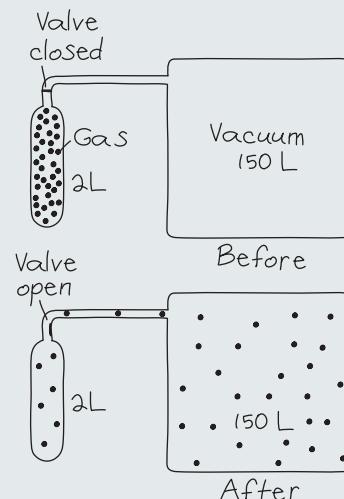


FIGURE 19.18 Our sketch for Example 19.4. Note that the final volume is 152 L.

**ASSESS** Make sense? Yes: This is the work we could have extracted from a reversible isothermal expansion. By letting the gas undergo an irreversible process, we gave up the possibility of extracting this work.

## A Statistical Interpretation of Entropy

We began this chapter arguing that systems naturally evolve from ordered to disordered states. Entropy increase measures that loss of order, which is what makes energy unavailable to do work. Here we'll explore the meaning of entropy further, based on the partitioned box we used for adiabatic free expansion.

Suppose we have a gas with just two identical molecules. The left side of Fig. 19.19 shows that, with the partition removed, there are four possible **microstates**—specific arrangements of the individual molecules in the box. But say we only care about the number of molecules in each side of the box. Then two of these arrangements are indistinguishable, because they both have one molecule in each half of the box. Those two correspond to a single **macrostate**, specified by giving the number of molecules in each half of the box, without regard to which molecules they are. This is shown on the right in Fig. 19.19.

With four available microstates, the probability of being in any one microstate is  $\frac{1}{4}$ . There's only one microstate with both molecules on the left, so the chances of being in the macrostate with two molecules on the left is also  $\frac{1}{4}$ ; the same is true for the macrostate with two molecules on the right. But two of the possible microstates have one molecule on each side, so the probability for this macrostate is  $\frac{1}{2}$ .

Now consider a gas of four molecules. Figure 19.20 shows 16 possible microstates, corresponding to five macrostates. Again, the probability of finding the system in a given macrostate depends on the associated number of microstates; Fig. 19.20 enumerates these probabilities. The figure shows that we're most likely to find the system in the macrostate with the molecules evenly divided; the states with all the molecules on one side are now quite improbable.

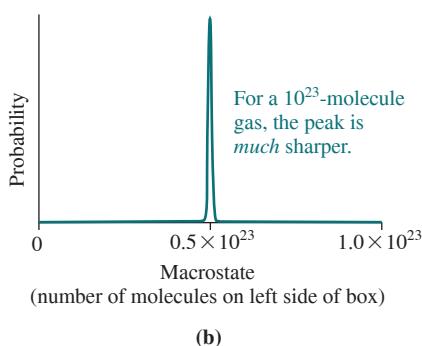
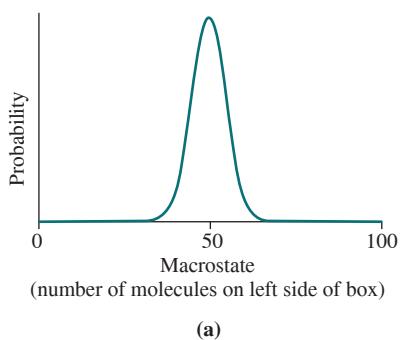
Raise the number of molecules to 100, and the number of microstates becomes huge— $2^{100}$ , or more than  $10^{30}$ . That makes the macrostates with all or nearly all the molecules on one side extremely improbable. The macrostate with half the molecules on each side remains the most likely, although states with nearly equal divisions of molecules are also quite probable. Rather than enumerate these probabilities, we graph them (Fig. 19.21a).

Microstates (ways of distributing the two atoms in the two halves of the box)	Macrostates (number of atoms in each half)
	2   0
	1   1
	0   2

FIGURE 19.19 A gas of two molecules has four possible microstates and three macrostates.

Microstates (16 total)	Macrostates	Probability of macrostate
	4   0 3   1 2   2 1   3 0   4	$\frac{1}{16} = 0.06$ $\frac{4}{16} = 0.25$ $\frac{6}{16} = 0.38$ $\frac{4}{16} = 0.25$ $\frac{1}{16} = 0.06$

FIGURE 19.20 Microstates, macrostates, and probabilities for a gas of four molecules.

FIGURE 19.21 Probability distributions for a gas of (a) 100 molecules and (b)  $10^{23}$  molecules.

Typical gas samples have roughly  $10^{23}$  molecules, and that makes macrostates with anything other than a nearly equal distribution of molecules extremely unlikely—as suggested by the spike-like probability distribution in Fig. 19.21b. You could sit in your room for many times the age of the universe, and you’d never see all the air molecules spontaneously end up on one side of the room!

## Entropy and the Second Law of Thermodynamics

The statistical improbability of more ordered states—in our example, those with significantly more molecules on one side of the box—is at the root of the second law of thermodynamics. Although we defined entropy in terms of heat flow and temperature (Equation 19.6), a more fundamental definition involves the probabilities of individual microstates. In that sense, entropy is indeed a measure of disorder.

Systems naturally evolve toward disordered or higher-entropy states simply because there are far more of these states available. So a general statement of the second law is:

**Second law of thermodynamics** The entropy of a closed system can never decrease.

At best, the entropy of a closed system remains constant—and that’s only in an ideal, reversible process. If anything irreversible occurs—friction, or any deviation from thermodynamic equilibrium—then entropy increases. As it does, energy becomes unavailable to do work, and nothing within the closed system can restore that energy to its original quality. This new statement of the second law subsumes our previous statements about the impossibility of perfect heat engines and refrigerators, for their operation would require an entropy decrease.

We *can* decrease the entropy of a system that isn’t closed—but only by supplying high-quality energy from outside. Running a refrigerator decreases the entropy of its contents, but this requires electrical energy to make heat flow from cold to hot. That high-quality electrical energy deteriorates into additional heat that’s rejected to the refrigerator’s environment. If we consider the entire system, not just the refrigerator’s contents, the overall entropy has increased.

Any system whose entropy seems to decrease—that gets more rather than less organized—can’t be closed. If we enlarge a system’s boundaries to encompass the entire universe, then we have the ultimate statement of the second law:

**Second law of thermodynamics** The entropy of the universe can never decrease.

Examples include the growth of a living thing from the random mix of molecules in its environment, the construction of a skyscraper from materials that were originally dispersed about Earth, and the appearance of ordered symbols on a printed page from a bottle of ink. All these are entropy-decreasing processes in which matter goes from near chaos

to a highly organized state—akin to separating yolk and white from a scrambled egg. But Earth isn't a closed system. It gets high-quality energy from the Sun, energy that's ultimately responsible for life. If we consider the Earth–Sun system, the entropy decrease associated with life and civilization is more than balanced by the entropy increase associated with the degradation of high-quality solar energy. We living things represent a remarkable phenomenon—the organization of matter in a universe governed by a tendency toward disorder. But we can't escape the second law of thermodynamics. Our highly organized selves and society, and the entropy decreases they represent, come into being only at the expense of greater entropy increases elsewhere.

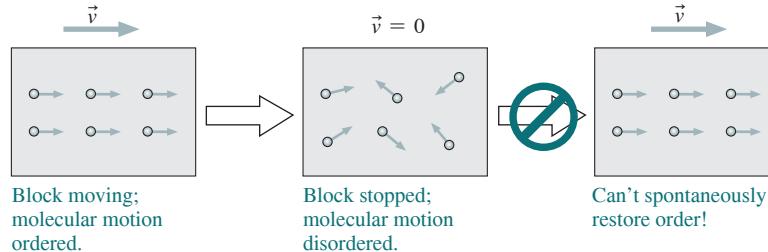
**GOT IT?**

**19.4** In each of the following processes, does the entropy of the named system alone increase, decrease, or stay the same? (1) a balloon deflates; (2) cells differentiate in a growing embryo, forming different physiological structures; (3) an animal dies, and its remains gradually decay; (4) an earthquake demolishes a building; (5) a plant utilizes sunlight, carbon dioxide, and water to manufacture sugar; (6) a power plant burns coal and produces electrical energy; (7) a car's friction-based brakes stop the car

# Chapter 19 Summary

## Big Idea

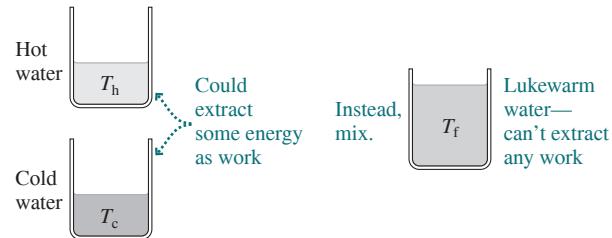
The big idea behind this chapter is the **second law of thermodynamics**—ultimately, the statement that systems tend naturally toward disorder, or states of higher **entropy**. The second law is manifest in the real world by forbidding the construction of perfect heat engines and perfect refrigerators—therefore preventing us from extracting as useful work all the energy that's contained in random thermal motions. Ultimately, the second law says that the entropy of any closed system, including the entire universe, cannot decrease.



## Key Concepts and Equations

**Entropy** is a quantitative measure of energy quality and of disorder; the higher the entropy, the lower the energy quality and the greater the disorder. The highest-quality energy is mechanical or electrical energy, followed by the internal energy of systems at high temperature, and finally low-temperature internal energy. Whenever entropy increases, energy becomes unavailable to do work.

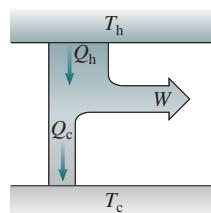
- $\Delta S = \int_1^2 \frac{dQ}{T}$  gives the entropy change as a system goes from state 1 to state 2.
- $E_{\text{unavailable}} = T_{\min} \Delta S$  is the energy that becomes unavailable as a result of entropy increase  $\Delta S$ .



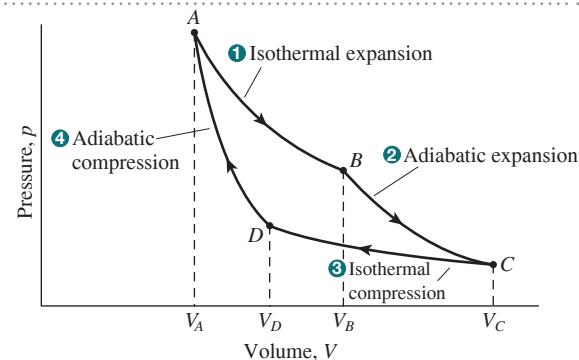
## Applications

The second law sets the maximum possible efficiency of any heat engine as that of the **Carnot engine**, an engine that combines adiabatic and isothermal processes.

$$e = \underbrace{\frac{W}{Q_h}}_{\text{This defines an engine's efficiency.}} \leq e_{\max} = \underbrace{1 - \frac{T_c}{T_h}}_{\text{This is the maximum possible efficiency.}}$$



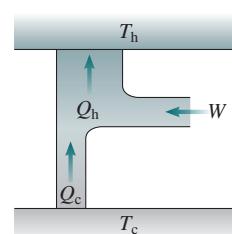
Energy-flow diagram for an engine



pV diagram for Carnot engine

Similarly, the second law limits the **coefficient of performance (COP)** of refrigerators and heat pumps:

$$\text{COP}_{\text{refrigerator}} = \frac{T_c}{T_h - T_c} \quad \text{COP}_{\text{heat pump}} = \frac{T_h}{T_h - T_c}$$



**Mastering Physics**

Go to [www.masteringphysics.com](http://www.masteringphysics.com) to access assigned homework and self-study tools such as Dynamic Study Modules, practice quizzes, video solutions to problems, and a whole lot more!



**BIO** Biology and/or medicine-related problems **DATA** Data problems **ENV** Environmental problems **CH** Challenge problems **COMP** Computer problems

## Learning Outcomes *After finishing this chapter you should be able to:*

- |  |   |
|--|---|
| LO 19.1 Distinguish reversible and irreversible thermodynamic processes.<br>LO 19.2 Articulate the second law of thermodynamics as it applies to engines and refrigerators, and calculate thermodynamic efficiencies.<br><i>For Thought and Discussion Questions 19.1, 19.2, 19.3, 19.4, 19.5, 19.6; Exercises 19.11, 19.12, 19.13, 19.14, 19.15; Problems 19.38, 19.40, 19.44, 19.46, 19.47, 19.48, 19.58, 19.66, 19.67</i><br>LO 19.3 Describe practical implications of the second law, especially for engines, power plants, and heat pumps.<br><i>For Thought and Discussion Question 19.7; Exercises 19.16, 19.17; Problems 19.32, 19.33, 19.34, 19.35, 19.36, 19.37, 19.38, 19.39, 19.41, 19.42, 19.43, 19.45, 19.46, 19.47, 19.60, 19.61, 19.62, 19.63, 19.68, 19.73</i> | LO 19.4 Describe entropy and its relation to energy quality.<br><i>For Thought and Discussion Question 19.9; Problems 19.54, 19.69</i><br>LO 19.5 Determine quantitatively the entropy changes in simple thermodynamic processes.<br><i>For Thought and Discussion Question 19.8; Exercises 19.18, 19.19, 19.20, 19.21, 19.22, 19.23; Problems 19.49, 19.50, 19.51, 19.52, 19.53, 19.55, 19.56, 19.57, 19.59, 19.64, 19.65, 19.70, 19.71, 19.72</i><br>LO 19.6 Articulate the second law of thermodynamics in terms of entropy.<br><i>For Thought and Discussion Question 19.10</i> |
|--|---|

## For Thought and Discussion

1. Could you cool the kitchen by leaving the refrigerator open? Explain.
2. Could you heat the kitchen by leaving the oven open? Explain.
3. Is there a limit to the maximum temperature that can be achieved by focusing sunlight with a lens? If so, what is it?
4. Name some irreversible processes that occur in a real engine.
5. Your power company claims that electric heat is 100% efficient. Discuss.
6. A hydroelectric power plant, using the energy of falling water, can operate with efficiency arbitrarily close to 100%. Why?
7. A heat-pump manufacturer claims the device will heat your home using only energy already available in the ground. Is this true?
8. The heat  $Q$  added during adiabatic free expansion is zero. Why can't we then argue from Equation 19.6 that the entropy change is zero?
9. Energy is conserved, so why can't we recycle it as we do materials?
10. Why doesn't the evolution of human civilization violate the second law of thermodynamics?

## Exercises and Problems

### Exercises

#### Sections 19.2 and 19.3 The Second Law of Thermodynamics and Its Applications

11. What are the efficiencies of reversible heat engines operating between (a) the normal freezing and boiling points of water, (b) the 25°C temperature at the surface of a tropical ocean and deep water at 4°C, and (c) a 1000°C flame and room temperature?
12. A cosmic heat engine might operate between the Sun's 5800 K surface and the 2.7 K temperature of intergalactic space. What would be its maximum efficiency?
13. A reversible Carnot engine operating between helium's melting point and its 4.25 K boiling point has an efficiency of 77.7%. What's the melting point?

14. A Carnot engine absorbs 900 J of heat each cycle and provides 350 J of work. (a) What's its efficiency? (b) How much heat is rejected each cycle? (c) If the engine rejects heat at 10°C, what's its maximum temperature?
15. Find the COP of a reversible refrigerator operating between 0°C and 30°C.
16. How much work does a refrigerator with COP = 4.2 require to freeze 670 g of water already at its freezing point?
17. The human body can be 25% efficient at converting chemical **BIO** energy of fuel to mechanical work. Can the body be considered a heat engine, operating on the temperature difference between body temperature and the environment?

#### Section 19.4 Entropy and Energy Quality

18. Calculate the entropy change associated with melting 1.0 kg of ice at 0°C.
19. You metabolize a 650-kcal burger, helping to maintain your 37°C **BIO** body temperature. What's the associated entropy increase?
20. You heat 250 g of water from 10°C to 95°C. By how much does the entropy of the water increase?
21. Melting a block of lead already at its melting point results in an entropy increase of 900 J/K. What's the mass of the lead? (*Hint: Consult Table 17.1.*)
22. How much energy becomes unavailable for work in an isothermal process at 440 K, if the entropy increase is 25 J/K?
23. For a gas of six molecules confined to a box, find the probability that (a) all the molecules will be found on one side of the box and (b) half the molecules will be found on each side.

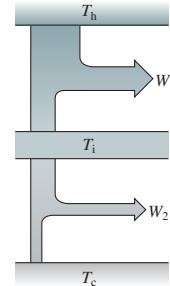
#### Example Variations

The following problems are based on two worked examples from the text. Each set of four problems is designed to help you make connections that enhance your understanding of physics and to build your confidence in solving problems that differ from ones you've seen before. The first problem in each set is essentially the example problem but with different numbers. The second problem presents the same scenario as the example but asks a different question. The third and fourth problems repeat this pattern but with entirely different scenarios.

24. **Example 19.1:** A Carnot engine extracts 2.84 kJ from its hot reservoir during each cycle and rejects 1.31 kJ to its environment at 22.5°C. (a) How much work does the engine do in one cycle? (b) What's its efficiency? (c) What's the temperature of the hot reservoir?
25. **Example 19.1:** A Carnot engine's mechanical power output is 48.4 kW, and it rejects heat to the ambient environment at the rate of 41.7 kW. If the engine's hot reservoir is at 625 K, what are (a) the rate of energy extraction from the hot reservoir, (b) the engine's efficiency, and (c) the temperature of the ambient environment?
26. **Example 19.1:** California's Ivanpah power station, which became operational in 2014, is the world's largest plant to use concentrating solar power (CSP) technology. Here, fields of Sun-tracking mirrors focus sunlight on high towers, bringing a heat-transfer fluid to a temperature that's considerably hotter than the water and steam in nuclear and coal plants. (If you've flown into or out of Los Angeles, you may have seen Ivanpah's three towers, each looking like a bright star on the desert floor.) Suppose that the total solar power Ivanpah's mirrors deliver to the towers is 610 MW, that all that power goes into heating the fluid, and that the plant rejects 233 MW of waste heat to the environment at 320 K. Find (a) the plant's electric power output, (b) its efficiency, and (c) the temperature of the fluid in the towers. Approximate the plant as a Carnot engine.
27. **Example 19.1:** Ocean thermal-energy conversion (OTEC) is an energy-producing scheme that uses the temperature difference between warm ocean surface waters in the tropics and cooler water several hundred meters down. Find the Carnot efficiency for an OTEC plant operating between 25°C surface water and 5°C deep water. Your answer may seem low, but remember that OTEC's "fuel" is free.
28. **Example 19.4:** A standard "C" cylinder for storing pressurized gasses has an internal volume of 6.88 L. Such a cylinder contains 52.8 mol of compressed nitrogen gas ( $N_2$ ) at 282 K. If the cylinder is discharged into a 445-m<sup>3</sup> vacuum chamber, how much energy becomes unavailable to do work?
29. **Example 19.4:** Toyota's Mirai fuel-cell car stores its hydrogen ( $H_2$ ) fuel in tanks that hold 5.0 kg of hydrogen at 70 MPa pressure. During a test one of these tanks leaks its hydrogen into the surrounding test chamber, whose volume is 955 m<sup>3</sup> and which is essentially at vacuum. The hydrogen stays at a constant 293-K temperature during this process. If the energy that becomes unavailable to do work is 54.6 MJ, what's the fuel tank's volume? *Note:* The unavailable energy here is *not* the energy that would have been released on reacting the hydrogen in the vehicle's fuel cell; rather, it's the much lower energy that could have been recovered by using the pressurized gas to turn a turbine.
30. **Example 19.4:** A cylinder holds  $n$  mol of compressed gas at temperature  $T$ . It's connected by a hose of negligible volume to an identical cylinder that's been pumped down to vacuum. When the valve on the full cylinder is opened, the gas expands to fill the entire system while maintaining the constant temperature  $T$ . Find an expression for the energy that becomes unavailable to do work as a result of this process.
31. **Example 19.4:** A gas cylinder with interior volume 11.5 L holds compressed air at 16.8 MPa. The cylinder is joined by a hose of negligible volume to a second cylinder that's been pumped down to vacuum. When the valve on the full cylinder is opened, the air expands to fill the entire system, all the while maintaining a constant temperature. If the energy that becomes unavailable to do work is 169 kJ, what's the volume of the second cylinder?

## Problems

32. A Carnot engine extracts 745 J from a 592-K reservoir during each cycle and rejects 458 J to a cooler reservoir. It operates at 18.6 cycles per second. Find (a) the work done during each cycle, (b) its efficiency, (c) the temperature of the cool reservoir, and (d) its mechanical power output.
33. The maximum steam temperature in a nuclear power plant is 570 K. **ENV** The plant rejects heat to a river whose temperature is 0°C in the winter and 25°C in the summer. What are the maximum possible efficiencies for the plant during these seasons?
34. You're engineering an energy-efficient house that will require an **ENV** average of 6.85 kW to heat on cold winter days. You've designed a photovoltaic system for electric power, which will supply on average 2.32 kW. You propose to heat the house with an electrically operated heat pump. What should you specify as the minimum acceptable COP for the pump if the photovoltaic system supplies its energy?
35. A power plant's electrical output is 750 MW. Cooling water at 15°C flows through the plant at  $2.8 \times 10^4$  kg/s, and its temperature rises by 8.5°C. Assuming that the plant's only energy loss is to the cooling water, which serves as its low-temperature reservoir, find (a) the rate of energy extraction from the fuel, (b) the plant's efficiency, and (c) its highest temperature.
36. A power plant extracts energy from steam at 280°C and delivers **ENV** 880 MW of electric power. It discharges waste heat to a river at 30°C. The plant's overall efficiency is 29%. (a) How does this efficiency compare with the maximum possible at these temperatures? (b) Find the rate of waste-heat discharge to the river. (c) How many houses, each requiring 23 kW of heating power, could be heated with the waste heat from this plant?
37. The electric power output of all the thermal electric power plants **ENV** in the United States is about  $2 \times 10^{11}$  W, and these plants operate at an average efficiency of around 33%. Find the rate at which all these plants use cooling water, assuming an average 5°C rise in cooling-water temperature. Compare with the  $1.8 \times 10^7$  kg/s average flow at the mouth of the Mississippi River.
38. Consider a Carnot engine operating between temperatures  $T_h$  and  $T_c$ , where  $T_i$  is intermediate between  $T_h$  and the ambient temperature  $T_c$  (Fig. 19.22). It should be possible to operate a second engine between  $T_i$  and  $T_c$ . Show that the maximum overall efficiency of such a two-stage engine is the same as that of a single engine operating between  $T_h$  and  $T_c$  (which is why combined-cycle power plants achieve high efficiencies).
39. You operate an industrial freezer that maintains its interior at -17°C and discharges heat to the surrounding environment at 36°C. It consumes electrical energy at the rate of 27.3 kW. (a) Find the freezer's COP, assuming it's reversible. (b) How much water, initially at 0°C, can the unit turn to ice, at 0°C, in one hour?
40. Use appropriate energy-flow diagrams to analyze the situation in GOT IT? 19.3; that is, show that using a refrigerator to cool the low-temperature reservoir can't increase the overall efficiency of a Carnot engine when the work input to the refrigerator is included.
41. It costs \$230 to heat a house with electricity in a winter month. **ENV** (Electric heat converts all the incoming electrical energy to heat.) What would the monthly heating bill be after converting to an electrically powered heat pump with COP = 3.4?



**FIGURE 19.22**  
Problem 38

42. A refrigerator maintains an interior temperature of  $4^{\circ}\text{C}$  while its exhaust temperature is  $30^{\circ}\text{C}$ . The refrigerator's insulation is imperfect, and heat leaks in at the rate of 340 W. Assuming the refrigerator is reversible, at what rate must it consume electrical energy to maintain a constant  $4^{\circ}\text{C}$  interior?
43. You operate a store that's heated by a gas furnace that supplies **ENV** 24.4 kWh of heat from every hundred cubic feet (CCF) of gas. The gas costs you \$1.28 per CCF. You're considering switching to a heat-pump system powered by electricity that costs 14.6¢ per kWh. Find the minimum heat-pump COP that will reduce your heating costs.
44. Use energy-flow diagrams to show that the existence of a perfect heat engine would permit the construction of a perfect refrigerator, thus violating the Clausius statement of the second law.
45. An air-source heat pump has an actual COP of 2.72 on a winter **ENV** day when the outdoor temperature is  $-7.0^{\circ}\text{C}$ . It supplies heat to a home at the rate of 18.8 kW and delivers heated air at  $48.0^{\circ}\text{C}$ . (a) Find the heat pump's electrical power consumption. (b) Compare the heat pump's daily operating cost with that of a gas furnace if electricity costs 11.4¢/kWh and gas costs \$1.28 per hundred cubic feet (CCF), with each CCF supplying 25.3 kWh of heat. (c) What percent is the actual COP of the theoretical maximum COP?
46. A reversible engine contains 0.350 mol of ideal monatomic gas, initially at 586 K and confined to a volume of 2.42 L. The gas undergoes the following cycle:
- Isothermal expansion to 4.84 L
  - Constant-volume cooling to 292 K
  - Isothermal compression to 2.42 L
  - Constant-volume heating back to 586 K
- Determine the engine's efficiency, defined as the ratio of the work done to the heat *absorbed* during the cycle.
47. (a) Determine the efficiency for the cycle shown in Fig. 19.23, using the definition given in the preceding problem. (b) Compare with the efficiency of a Carnot engine operating between the same temperature extremes. Why are the two efficiencies different?
48. A 0.20-mol sample of an ideal gas goes through the Carnot cycle of Fig. 19.24. Calculate (a) the heat  $Q_h$  absorbed, (b) the heat  $Q_c$  rejected, and (c) the work done. (d) Use these quantities to determine the efficiency. (e) Find the maximum and minimum temperatures, and show explicitly that the efficiency as defined in Equation 19.1 is equal to the Carnot efficiency of Equation 19.3.
49. A shallow pond contains 94 Mg of water. In winter, it's entirely frozen. By how much does the entropy of the pond increase when the ice, already at  $0^{\circ}\text{C}$ , melts and then heats to its summer temperature of  $15^{\circ}\text{C}$ ?
50. Estimate the rate of entropy increase associated with your body's **BIO** normal metabolism.
51. The temperature of  $n$  moles of ideal gas is changed from  $T_1$  to  $T_2$  at constant volume. Show that the corresponding entropy change is  $\Delta S = nC_V \ln(T_2/T_1)$ .
52. The temperature of  $n$  moles of ideal gas is changed from  $T_1$  to  $T_2$  with pressure held constant. Show that the corresponding entropy change is  $\Delta S = nC_p \ln(T_2/T_1)$ .
53. A 6.36-mol sample of ideal diatomic gas is at 1.00 atm pressure and 288 K. Find the entropy change as the gas is heated reversibly to 552 K (a) at constant volume, (b) at constant pressure, and (c) adiabatically.
54. A 250-g sample of water at  $80^{\circ}\text{C}$  is mixed with 250 g of water at  $10^{\circ}\text{C}$ . Find the entropy changes for (a) the hot water, (b) the cool water, and (c) the system.
55. An ideal gas undergoes a process that takes it from pressure  $p_1$  and volume  $V_1$  to  $p_2$  and  $V_2$ , such that  $p_1 V_1^\gamma = p_2 V_2^\gamma$ , where  $\gamma$  is the specific heat ratio. Find the entropy change if the process consists of constant-pressure and constant-volume segments. Why does your result make sense?
56. In an adiabatic free expansion, 6.36 mol of ideal gas at 305 K expands 15-fold in volume. How much energy becomes unavailable to do work?
57. Find the entropy change when a 2.4-kg aluminum pan at  $155^{\circ}\text{C}$  is plunged into 3.5 kg of water at  $15^{\circ}\text{C}$ .
58. An engine with mechanical power output 8.5 kW extracts heat from a source at 420 K and rejects it to a 1000-kg block of ice at its melting point. (a) What's its efficiency? (b) How long can it maintain this efficiency if the ice isn't replenished?
59. Find the change in entropy as 2.00 kg of  $\text{H}_2\text{O}$  at  $100^{\circ}\text{C}$  turns to vapor at the same temperature.
60. Gasoline engines operate approximately on the Otto cycle, consisting of two adiabatic and two constant-volume segments. Figure 19.25 shows the Otto cycle for a particular engine. (a) If the gas in the engine has specific-heat ratio  $\gamma$ , find the engine's efficiency, assuming all processes are reversible. (b) Find the maximum temperature in terms of the minimum temperature  $T_{\min}$ . (c) How does the efficiency compare with that of a Carnot engine operating between the same temperature extremes?
61. The compression ratio  $r$  of an engine is the ratio of maximum to minimum gas volume. (For the gasoline engine of the preceding problem, Fig. 19.25 shows that the compression ratio is 5.) Find a general expression for the engine efficiency of an Otto-cycle engine as a function of compression ratio.
62. Gasoline engines burning regular-grade fuel are limited to a maximum compression ratio of about 9 because higher compression causes fuel to pre-ignite before the spark plug fires, resulting in "knocking" and reduced engine performance. This is less of a problem with natural gas, for which the optimum compression ratio is 12.7. Suppose a gasoline engine with compression ratio 8.80 is modified to run solely on natural gas, including an increase in compression ratio to 12.7. Use the result of the preceding problem to find the change in the engine's efficiency, assuming that change is due entirely to the increased compression ratio. *Note:* Although the new fuel is a gas ( $\text{CH}_4$ , with  $\gamma = 1.33$ ), the air-to-fuel ratio of 17:1 for a natural-gas engine means the mixture in the cylinder still has essentially the specific heat ratio of air—namely,  $\gamma = 1.4$ .
63. The 54-MW wood-fired McNeil Generating Station in **ENV** Burlington, Vermont, produces steam at  $950^{\circ}\text{F}$  to drive its

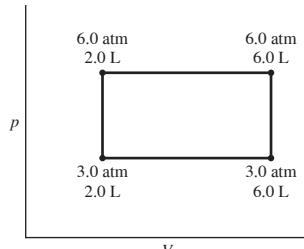


FIGURE 19.23 Problem 47

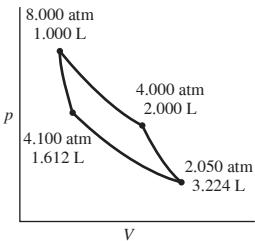


FIGURE 19.24 Problem 48

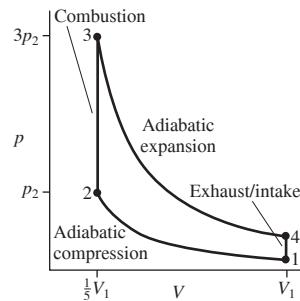


FIGURE 19.25 Problem 60

- turbines, and condensed steam returns to the boiler as 90°F water. (Note the temperatures in °F, used in U.S. engineering situations.) Find McNeil's maximum thermodynamic efficiency, and compare with its actual efficiency of 25%.
64. A 500-g copper block at 80°C is dropped into 1.0 kg of water at 10°C. Find (a) the final temperature and (b) the entropy change of the system.
65. An object's heat capacity is inversely proportional to its absolute temperature:  $C = C_0(T_0/T)$ , where  $C_0$  and  $T_0$  are constants. Find the entropy change when the object is heated from  $T_0$  to  $T_1$ .
66. A Carnot engine extracts heat from a block of mass  $m$  and specific heat  $c$  initially at temperature  $T_{h0}$  but without a heat source to maintain that temperature. The engine rejects heat to a reservoir at constant temperature  $T_c$ . The engine is operated so its mechanical power output is proportional to the temperature difference  $T_h - T_c$ :
- $$P = P_0 \frac{T_h - T_c}{T_{h0} - T_c}$$
- where  $T_h$  is the instantaneous temperature of the hot block and  $P_0$  is the initial power. (a) Find an expression for  $T_h$  as a function of time, and (b) determine how long it takes for the engine's power output to reach zero.
67. In an alternative universe, you've got the impossible: an infinite heat reservoir, containing infinite energy at temperature  $T_h$ . But you've only got a finite cool reservoir, with initial temperature  $T_{c0}$  and heat capacity  $C$ . Find an expression for the maximum work you can extract if you operate an engine between these two reservoirs.
68. You're the environmental protection officer for a 35% efficient nuclear power plant that produces 750 MW of electric power, situated on a river whose minimum flow rate is  $110\text{ m}^3/\text{s}$ . State environmental regulations limit the rise in river temperature from your plant's cooling system to 5°C. Can you achieve this standard if you use river water for all your cooling, or will you need to install cooling towers that transfer some of your waste heat to the atmosphere?
69. (a) Continue the calculation begun on page 356 in the subsection "Irreversible Heat Transfer" to derive the expression given in the text for the entropy change when equal masses  $m$  of hot and cold water, at temperatures  $T_h$  and  $T_c$ , respectively, are mixed:  $\Delta S = mc \ln[(T_c + T_h)^2/4T_c T_h]$ . (b) Show that the argument of the logarithm in this expression is greater than 1 for  $T_h \neq T_c$ , thus showing that  $\Delta S$  is positive. Hint: This is equivalent to showing that  $(T_c + T_h)^2 > 4T_c T_h$ . Expand the left side of this inequality, subtract  $4T_c T_h$  from both sides, factor the resulting left side, and you'll have your result.
70. Problem 76 of Chapter 16 provided an approximate expression for the specific heat of copper at low absolute temperatures:  $c = 31(T/343\text{ K})^3\text{ J/kg}\cdot\text{K}$ . Use this to find the entropy change when 40 g of copper are cooled from 25 K to 10 K. Why is the change negative?
71. The molar specific heat at constant pressure for a certain gas is given by  $C_p = a + bT + cT^2$ , where  $a = 33.6\text{ J/mol}\cdot\text{K}$ ,  $b = 2.93 \times 10^{-3}\text{ J/mol}\cdot\text{K}^2$ , and  $c = 2.13 \times 10^{-5}\text{ J/mol}\cdot\text{K}^3$ . Find the entropy change when 2.00 moles of this gas are heated from 20.0°C to 200°C.
72. Consider a gas containing an even number  $N$  of molecules, distributed among the two halves of a closed box. Find expressions for (a) the total number of microstates and (b) the number of microstates with half the molecules on each side of the box. (You can either work out a formula, or explore the term "combinations" in a math reference source.) (c) Use these results to find the ratio of the probability that all the molecules will be found on one side of the box to the probability that

there will be equal numbers on both sides. (d) Evaluate for  $N = 4$  and  $N = 100$ .

73. Energy-efficiency specialists measure the heat  $Q_h$  delivered by a **DATA** heat pump and the corresponding electrical energy  $W$  needed to run the pump, and they compute the pump's COP as the ratio  $Q_h/W$ . They also measure the outdoor temperature, and they know that the pump produces hot water at  $T_h = 52^\circ\text{C}$ . The table below shows their results for  $Q$  and  $T$ . (a) Determine a quantity that, when you plot the COP against it, should give a straight line. (b) Make your plot, fit a straight line, and from it determine how the heat pump's COP compares with the theoretical maximum COP.

$T_c\text{ (}^\circ\text{C)}$	-18	-10	-5	0	10
$\text{COP } Q_h/W$	2.7	3.2	3.6	3.7	4.7

### Passage Problems

Refrigerators remain among the greatest consumers of electrical energy in most homes, although mandated efficiency standards have decreased their energy consumption by some 80% in the past four decades. In the course of a day, one kitchen refrigerator removes 30 MJ of energy from its contents, in the process consuming 10 MJ of electrical energy. The electricity comes from a 40% efficient coal-fired power plant.

74. The electrical energy  
 a. is used to run the lightbulb inside the refrigerator.  
 b. wouldn't be necessary if the refrigerator had enough insulation.  
 c. retains its high-quality status after the refrigerator has used it.  
 d. ends up as waste heat rejected to the kitchen environment.
75. The refrigerator's COP is  
 a.  $\frac{1}{3}$ .  
 b. 2.  
 c. 3.  
 d. 4.
76. The fuel energy consumed at the power plant to run this refrigerator for the day is  
 a. 12 MJ.  
 b. 25 MJ.  
 c. 40 MJ.  
 d. 75 MJ.
77. The total energy rejected to the surrounding kitchen during the course of the day is  
 a. 10 MJ.  
 b. 30 MJ.  
 c. 40 MJ.  
 d. 75 MJ.

### Answers to Chapter Questions

#### Answer to Chapter Opening Question

The second law of thermodynamics prevents us from converting thermal energy to mechanical energy with 100% efficiency, and practical limits on temperature make it hard to achieve more than about 50% efficiency in conventional power plants.

#### Answers to GOT IT? Questions

- 19.1 (a), (c), and (f)  
 19.2 (c)  
 19.3 (c) see Problem 40 for a proof  
 19.4 (1) increase; (2) decrease; (3) increase; (4) increase;  
 (5) decrease; (6) increase; (7) increase

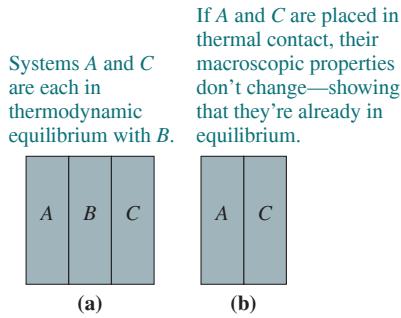
# Thermodynamics

# Summary

PART THREE

**Thermodynamics** is the study of heat, temperature, and related phenomena—and their relation to the all-important concept of energy. Thermodynamics provides a macroscopic description in terms of parameters like temperature and pressure.

**Thermodynamic equilibrium** occurs when two systems are brought into thermal contact and no further changes occur in any macroscopic properties. The **zeroth law of thermodynamics** says that two systems each in thermodynamic equilibrium with a third are also in thermodynamic equilibrium with each other. This law allows us to establish temperature scales and construct thermometers.



**Ideal gases** exhibit a simple relation among temperature, pressure, and volume:

$$pV = NkT = nRT$$

This is the **ideal-gas law**, with  $k = 1.381 \times 10^{-23} \text{ J/K}$  and  $R = 8.314 \text{ J/K}\cdot\text{mol}$ .

The **first law of thermodynamics** relates the change  $\Delta E_{\text{int}}$  in a system's internal energy to the heat  $Q$  added to the system and the work  $W$  done by the system:

$$\Delta E_{\text{int}} = Q - W$$

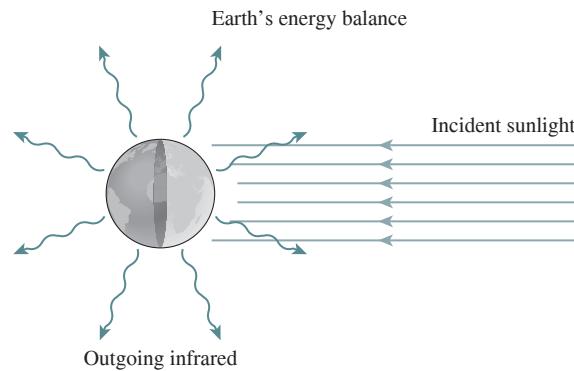
For an ideal gas, **reversible thermodynamic processes** are described by curves in the pressure–volume diagram. Common processes include **isothermal** (constant temperature), **constant volume**, **constant pressure**, and **adiabatic** (no heat flow).

## Part Three Challenge Problem

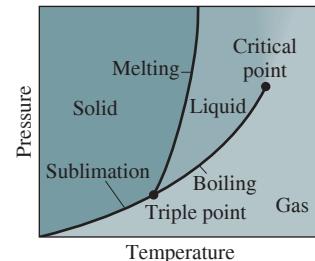
The ideal Carnot engine shown in the figure at right operates between a heat reservoir and a block of ice with mass  $M$ . An external energy source maintains the reservoir at a constant temperature  $T_h$ . At time  $t = 0$ , the ice is at its melting point  $T_0$ , but it's insulated from everything except the engine, so it's free to change state and temperature. The engine is operated in such a way that it extracts heat from the reservoir at a constant rate  $P_h$ . (a) Find an expression for the time  $t_1$  at which the ice is all melted, in terms of the quantities given and any other appropriate thermodynamic parameters. (b) Find an expression for the mechanical power output of the engine as a function of time for times  $t > t_1$ . (c) Your expression in part (b) holds up only to some maximum time  $t_2$ . Why? Find an expression for  $t_2$ .

This contrasts with **statistical mechanics**, which provides a microscopic description in terms of the properties and behavior of molecules.

**Heat** is energy that's flowing because of a temperature difference. Important heat-transfer mechanisms include **conduction**, **convection**, and **radiation**. A system is in **thermal-energy balance** at a fixed temperature when its energy input balances heat transfer to its surroundings.



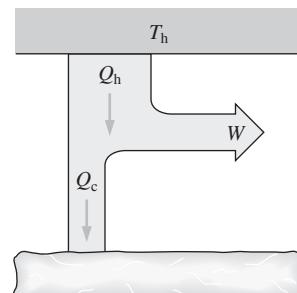
Real substances undergo **phase changes** among liquid, solid, and gaseous phases. Substantial **heats of transformation** describe the energies involved in phase changes.



**Entropy** is a measure of disorder. The **second law of thermodynamics** states that the entropy of a closed system can never decrease. Applied to the heat engines that provide most of humankind's electrical and transportation energy, the second law shows that it's impossible to extract as useful work all the random internal energy of hot objects.

Maximum efficiency (Carnot):

$$\epsilon = \frac{W}{Q_h} = 1 - \frac{Q_c}{Q_h} = 1 - \frac{T_c}{T_h}$$





# Appendix A Mathematics

## A-1 Algebra and Trigonometry

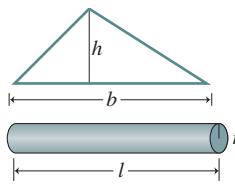
### Quadratic Formula

If  $ax^2 + bx + c = 0$ , then  $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$ .

### Circumference, Area, Volume

Where  $\pi \approx 3.14159\dots$ :

circumference of circle	$2\pi r$
area of circle	$\pi r^2$
surface area of sphere	$4\pi r^2$
volume of sphere	$\frac{4}{3}\pi r^3$
area of triangle	$\frac{1}{2}bh$
volume of cylinder	$\pi r^2 l$

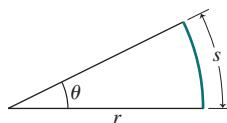


### Trigonometry

definition of angle (in radians):  $\theta = \frac{s}{r}$

$2\pi$  radians in complete circle

1 radian  $\approx 57.3^\circ$

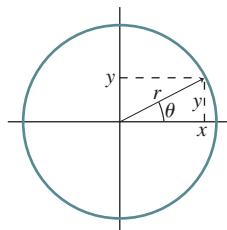


### Trigonometric Functions

$$\sin \theta = \frac{y}{r}$$

$$\cos \theta = \frac{x}{r}$$

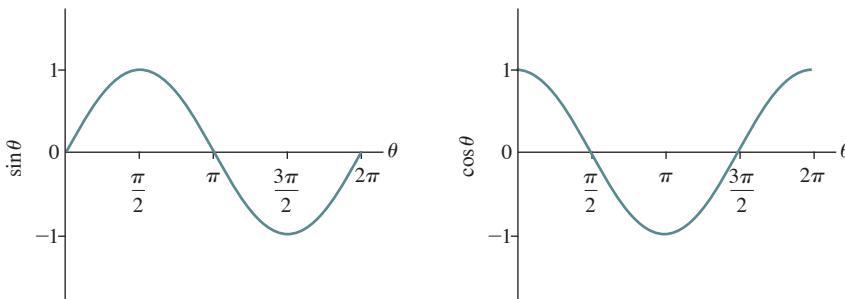
$$\tan \theta = \frac{\sin \theta}{\cos \theta} = \frac{y}{x}$$



### Values at Selected Angles

$\theta \rightarrow$	0	$\frac{\pi}{6}$ (30°)	$\frac{\pi}{4}$ (45°)	$\frac{\pi}{3}$ (60°)	$\frac{\pi}{2}$ (90°)
$\sin \theta$	0	$\frac{1}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{\sqrt{3}}{2}$	1
$\cos \theta$	1	$\frac{\sqrt{3}}{2}$	$\frac{\sqrt{2}}{2}$	$\frac{1}{2}$	0
$\tan \theta$	0	$\frac{\sqrt{3}}{3}$	1	$\sqrt{3}$	$\infty$

### Graphs of Trigonometric Functions



### Trigonometric Identities

$$\sin(-\theta) = -\sin \theta$$

$$\cos(-\theta) = \cos \theta$$

$$\sin\left(\theta \pm \frac{\pi}{2}\right) = \pm \cos \theta$$

$$\cos\left(\theta \pm \frac{\pi}{2}\right) = \mp \sin \theta$$

$$\sin^2 \theta + \cos^2 \theta = 1$$

$$\sin 2\theta = 2 \sin \theta \cos \theta$$

$$\cos 2\theta = \cos^2 \theta - \sin^2 \theta = 1 - 2 \sin^2 \theta = 2 \cos^2 \theta - 1$$

$$\sin(\alpha \pm \beta) = \sin \alpha \cos \beta \pm \cos \alpha \sin \beta$$

$$\cos(\alpha \pm \beta) = \cos \alpha \cos \beta \mp \sin \alpha \sin \beta$$

$$\sin \alpha \pm \sin \beta = 2 \sin\left[\frac{1}{2}(\alpha \pm \beta)\right] \cos\left[\frac{1}{2}(\alpha \mp \beta)\right]$$

$$\cos \alpha \pm \cos \beta = 2 \cos\left[\frac{1}{2}(\alpha \pm \beta)\right] \cos\left[\frac{1}{2}(\alpha \mp \beta)\right]$$

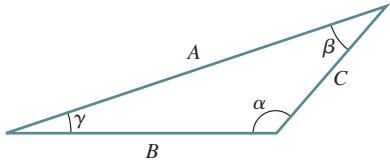
$$\cos \alpha - \cos \beta = -2 \sin\left[\frac{1}{2}(\alpha + \beta)\right] \sin\left[\frac{1}{2}(\alpha - \beta)\right]$$

## Laws of Cosines and Sines

Where  $A, B, C$  are the sides of an arbitrary triangle and  $\alpha, \beta, \gamma$  the angles opposite those sides:

*Law of cosines*

$$C^2 = A^2 + B^2 - 2AB \cos \gamma$$



*Law of sines*

$$\frac{\sin \alpha}{A} = \frac{\sin \beta}{B} = \frac{\sin \gamma}{C}$$

## Exponentials and Logarithms

$$e^{\ln x} = x, \quad \ln e^x = x \quad e = 2.71828\dots$$

$$a^x = e^{x \ln a} \quad \ln(xy) = \ln x + \ln y$$

$$a^x a^y = a^{x+y} \quad \ln\left(\frac{x}{y}\right) = \ln x - \ln y$$

$$(a^x)^y = a^{xy} \quad \ln\left(\frac{1}{x}\right) = -\ln x$$

$$\log x \equiv \log_{10} x = \ln(10) \ln x \approx 2.3 \ln x$$

## Approximations

For  $|x| \ll 1$ , the following expressions provide good approximations to common functions:

$$e^x \approx 1 + x$$

$$\sin x \approx x$$

$$\cos x \approx 1 - \frac{1}{2}x^2$$

$$\ln(1 + x) \approx x$$

$$(1 + x)^p \approx 1 + px \quad (\text{binomial approximation})$$

Expressions that don't have the forms shown may often be put in the appropriate form. For example:

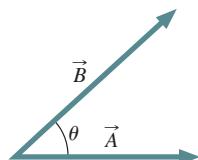
$$\frac{1}{\sqrt{a^2 + y^2}} = \frac{1}{a\sqrt{1 + \frac{y^2}{a^2}}} = \frac{1}{a} \left(1 + \frac{y^2}{a^2}\right)^{-1/2} \approx \frac{1}{a} \left(1 - \frac{y^2}{2a}\right) \quad \text{for } y^2/a^2 \ll 1, \text{ or } y^2 \ll a^2$$

## Vector Algebra

### Vector Products

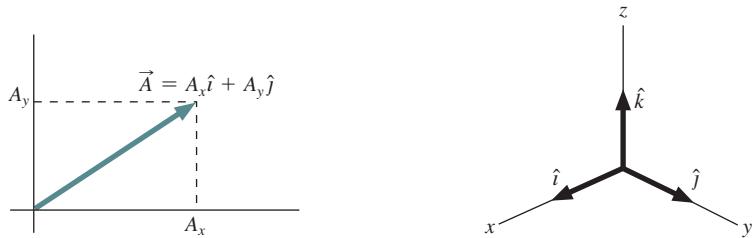
$$\vec{A} \cdot \vec{B} = AB \cos \theta$$

$$|\vec{A} \times \vec{B}| = AB \sin \theta, \text{ with direction of } \vec{A} \times \vec{B} \text{ given by the right-hand rule:}$$



### Unit Vector Notation

An arbitrary vector  $\vec{A}$  may be written in terms of its components  $A_x, A_y, A_z$  and the unit vectors  $\hat{i}, \hat{j}, \hat{k}$  that have magnitude 1 and lie along the  $x$ -,  $y$ -,  $z$ -axes:



In unit vector notation, vector products become

$$\vec{A} \cdot \vec{B} = A_x B_x + A_y B_y + A_z B_z$$

$$\vec{A} \times \vec{B} = (A_y B_z - A_z B_y) \hat{i} + (A_z B_x - A_x B_z) \hat{j} + (A_x B_y - A_y B_x) \hat{k}$$

### Vector Identities

$$\vec{A} \cdot \vec{B} = \vec{B} \cdot \vec{A}$$

$$\vec{A} \times \vec{B} = -\vec{B} \times \vec{A}$$

$$\vec{A} \cdot (\vec{B} \times \vec{C}) = \vec{B} \cdot (\vec{C} \times \vec{A}) = \vec{C} \cdot (\vec{A} \times \vec{B})$$

$$\vec{A} \times (\vec{B} \times \vec{C}) = (\vec{A} \cdot \vec{C}) \vec{B} - (\vec{A} \cdot \vec{B}) \vec{C}$$

## A-2 Calculus

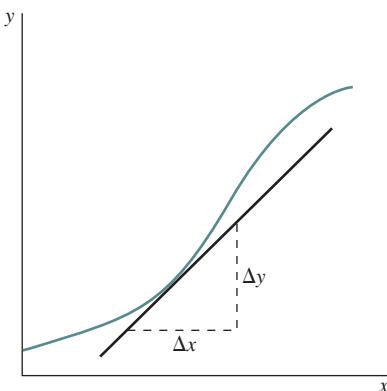
### Derivatives

#### Definition of the Derivative

If  $y$  is a function of  $x$ , then the **derivative of  $y$  with respect to  $x$**  is the ratio of the change  $\Delta y$  in  $y$  to the corresponding change  $\Delta x$  in  $x$ , in the limit of arbitrarily small  $\Delta x$ :

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{\Delta y}{\Delta x}$$

Algebraically, the derivative is the rate of change of  $y$  with respect to  $x$ ; geometrically, it is the slope of the  $y$  versus  $x$  graph—that is, of the tangent line to the graph at a given point:



## Derivatives of Common Functions

$$\frac{da}{dx} = 0 \quad (a \text{ is a constant})$$

$$\frac{d}{dx} \tan x = \frac{1}{\cos^2 x}$$

$$\frac{dx^n}{dx} = nx^{n-1} \quad (n \text{ need not be an integer})$$

$$\frac{de^x}{dx} = e^x$$

$$\frac{d}{dx} \sin x = \cos x$$

$$\frac{d}{dx} \ln x = \frac{1}{x}$$

$$\frac{d}{dx} \cos x = -\sin x$$

## Derivatives of Sums, Products, and Functions of Functions

### 1. Derivative of a constant times a function

$$\frac{d}{dx} [af(x)] = a \frac{df}{dx} \quad (a \text{ is a constant})$$

### 2. Derivative of a sum

$$\frac{d}{dx} [f(x) + g(x)] = \frac{df}{dx} + \frac{dg}{dx}$$

### 3. Derivative of a product

$$\frac{d}{dx} [f(x)g(x)] = g \frac{df}{dx} + f \frac{dg}{dx}$$

*Examples*

$$\frac{d}{dx} (x^2 \cos x) = \cos x \frac{dx^2}{dx} + x^2 \frac{d}{dx} \cos x = 2x \cos x - x^2 \sin x$$

$$\frac{d}{dx} (x \ln x) = \ln x \frac{dx}{dx} + x \frac{d}{dx} \ln x = (\ln x)(1) + x \left( \frac{1}{x} \right) = \ln x + 1$$

### 4. Derivative of a quotient

$$\frac{d}{dx} \left[ \frac{f(x)}{g(x)} \right] = \frac{1}{g^2} \left( g \frac{df}{dx} - f \frac{dg}{dx} \right)$$

*Example*

$$\frac{d}{dx} \left( \frac{\sin x}{x^2} \right) = \frac{1}{x^4} \left( x^2 \frac{d}{dx} \sin x - \sin x \frac{dx^2}{dx} \right) = \frac{\cos x}{x^2} - \frac{2 \sin x}{x^3}$$

### 5. Chain rule for derivatives

If  $f$  is a function of  $u$  and  $u$  is a function of  $x$ , then

$$\frac{df}{dx} = \frac{df}{du} \frac{du}{dx}$$

*Examples*

- a. Evaluate  $\frac{d}{dx} \sin(x^2)$ . Here  $u = x^2$  and  $f(u) = \sin u$ , so

$$\frac{d}{dx} \sin(x^2) = \frac{d}{du} \sin u \frac{du}{dx} = (\cos u) \frac{dx^2}{dx} = 2x \cos(x^2)$$

- b.  $\frac{d}{dt} \sin \omega t = \frac{d}{d\omega t} \sin \omega t \frac{d}{dt} \omega t = \omega \cos \omega t \quad (\omega \text{ is a constant})$

c. Evaluate  $\frac{d}{dx} \sin^2 5x$ . Here  $u = \sin 5x$  and  $f(u) = u^2$ , so

$$\begin{aligned}\frac{d}{dx} \sin^2 5x &= \frac{d}{du} u^2 \frac{du}{dx} = 2u \frac{du}{dx} = 2 \sin 5x \frac{d}{dx} \sin 5x \\ &= (2)(\sin 5x)(5)(\cos 5x) = 10 \sin 5x \cos 5x = 5 \sin 10x\end{aligned}$$

## Second Derivative

The second derivative of  $y$  with respect to  $x$  is defined as the derivative of the derivative:

$$\frac{d^2y}{dx^2} = \frac{d}{dx} \left( \frac{dy}{dx} \right)$$

*Example*

If  $y = ax^3$ , then  $dy/dx = 3ax^2$ , so

$$\frac{d^2y}{dx^2} = \frac{d}{dx} 3ax^2 = 6ax$$

## Partial Derivatives

When a function depends on more than one variable, then the partial derivatives of that function are the derivatives with respect to each variable, taken with all other variables held constant. If  $f$  is a function of  $x$  and  $y$ , then the partial derivatives are written

$$\frac{\partial f}{\partial x} \quad \text{and} \quad \frac{\partial f}{\partial y}$$

*Example*

If  $f(x, y) = x^3 \sin y$ , then

$$\frac{\partial f}{\partial x} = 3x^2 \sin y \quad \text{and} \quad \frac{\partial f}{\partial y} = x^3 \cos y$$

## Integrals

### Indefinite Integrals

Integration is the inverse of differentiation. The **indefinite integral**,  $\int f(x) dx$ , is defined as a function whose derivative is  $f(x)$ :

$$\frac{d}{dx} \left[ \int f(x) dx \right] = f(x)$$

If  $A(x)$  is an indefinite integral of  $f(x)$ , then because the derivative of a constant is zero, the function  $A(x) + C$  is also an indefinite integral of  $f(x)$ , where  $C$  is any constant. Inverting the derivatives of common functions listed in the preceding section gives the integrals that follow (a more extensive table appears at the end of this appendix).

$$\int a dx = ax + C$$

$$\int x^n dx = \frac{x^{n+1}}{n+1} + C, \quad n \neq -1$$

$$\int \sin x dx = -\cos x + C$$

$$\int \cos x dx = \sin x + C$$

$$\int e^x dx = e^x + C$$

$$\int x^{-1} dx = \ln x + C$$

## Definite Integrals

In physics we're most often interested in the **definite integral**, defined as the sum of a large number of very small quantities, in the limit as the number of quantities grows arbitrarily large and the size of each arbitrarily small:

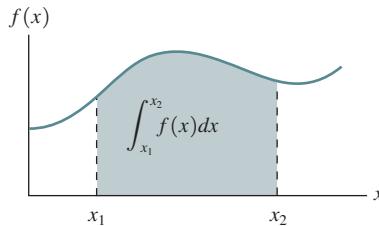
$$\int_{x_1}^{x_2} f(x) dx \equiv \lim_{\substack{\Delta x \rightarrow 0 \\ N \rightarrow \infty}} \sum_{i=1}^N f(x_i) \Delta x$$

where the terms in the sum are evaluated at values  $x_i$  between the limits of integration  $x_1$  and  $x_2$ ; in the limit  $\Delta x \rightarrow 0$ , the sum is over all values of  $x$  in the interval.

The key to evaluating the definite integral is provided by the **fundamental theorem of calculus**. The theorem states that, if  $A(x)$  is an *indefinite* integral of  $f(x)$ , then the *definite integral* is given by

$$\int_{x_1}^{x_2} f(x) dx = A(x_2) - A(x_1) \equiv A(x) \Big|_{x_1}^{x_2}$$

Geometrically, the definite integral is the area under the graph of  $f(x)$  between the limits  $x_1$  and  $x_2$ :



## Evaluating Integrals

The first step in evaluating an integral is to express all varying quantities within the integral in terms of a single variable; Tactics 9.1 in Chapter 9 outlines a general strategy for setting up an integral. Once you've set up an integral, you can evaluate it yourself or look it up in tables. Two common techniques can help you evaluate integrals or convert them to forms listed in tables:

### 1. Change of variables

An unfamiliar integral can often be put into familiar form by defining a new variable. For example, it is not obvious how to integrate the expression

$$\int \frac{x dx}{\sqrt{a^2 + x^2}}$$

where  $a$  is a constant. But let  $z = a^2 + x^2$ . Then

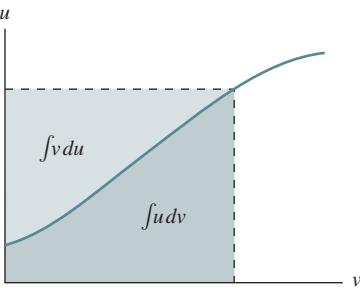
$$\frac{dz}{dx} = \frac{da^2}{dx} + \frac{dx^2}{dx} = 0 + 2x = 2x$$

so  $dz = 2x dx$ . Then the quantity  $x dx$  in our unfamiliar integral is just  $\frac{1}{2}dz$ , while the quantity  $\sqrt{a^2 + x^2}$  is just  $z^{1/2}$ . So the integral becomes

$$\int \frac{1}{2} z^{-1/2} dz = \frac{\frac{1}{2} z^{1/2}}{\frac{1}{2}} = \sqrt{z}$$

where we have used the standard form for the integral of a power of the independent variable. Substituting back  $z = a^2 + x^2$  gives

$$\int \frac{x dx}{\sqrt{a^2 + x^2}} = \sqrt{a^2 + x^2}$$



## 2. Integration by parts

The quantity  $\int u \, dv$  is the area under the curve of  $u$  as a function of  $v$  between specified limits. In the figure, that area can also be expressed as the area of the rectangle shown minus the area under the curve of  $v$  as a function of  $u$ . Mathematically, this relation among areas may be expressed as a relation among integrals:

$$\int u \, dv = uv - \int v \, du \quad (\text{integration by parts})$$

This expression may often be used to transform complicated integrals into simpler ones.

### Example

Evaluate  $\int x \cos x \, dx$ . Here let  $u = x$ , so  $du = dx$ . Then  $dv = \cos x \, dx$ , so we have  $v = \int dv = \int \cos x \, dx = \sin x$ . Integrating by parts then gives

$$\int x \cos x \, dx = (x)(\sin x) - \int \sin x \, dx = x \sin x + \cos x$$

where the  $+$  sign arises because  $\int \sin x \, dx = -\cos x$ .

## Table of Integrals

More extensive tables are available in many mathematical and scientific handbooks; see, for example, *Handbook of Chemistry and Physics* (Chemical Rubber Co.) or Dwight, *Tables of Integrals and Other Mathematical Data* (Macmillan). Some math software, including *Mathematica* and *Maple*, can also evaluate integrals symbolically. Wolfram Research provides *Mathematica*-based integration both at [integrals.wolfram.com](http://integrals.wolfram.com) and through WolframAlpha at [www.wolframalpha.com/calculators/integral-calculator](http://www.wolframalpha.com/calculators/integral-calculator).

In the expressions below,  $a$  and  $b$  are constants. An arbitrary constant of integration may be added to the right-hand side.

$$\int e^{ax} \, dx = \frac{e^{ax}}{a}$$

$$\int \frac{dx}{x^2 + a^2} = \frac{1}{a} \tan^{-1}\left(\frac{x}{a}\right)$$

$$\int \sin ax \, dx = -\frac{\cos ax}{a}$$

$$\int \frac{x \, dx}{\sqrt{a^2 - x^2}} = -\sqrt{a^2 - x^2}$$

$$\int \cos ax \, dx = \frac{\sin ax}{a}$$

$$\int \frac{x \, dx}{\sqrt{x^2 \pm a^2}} = \sqrt{x^2 \pm a^2}$$

$$\int \tan ax \, dx = -\frac{1}{a} \ln(\cos ax)$$

$$\int \frac{dx}{(x^2 \pm a^2)^{3/2}} = \frac{\pm x}{a^2 \sqrt{x^2 \pm a^2}}$$

$$\int \sin^2 ax \, dx = \frac{x}{2} - \frac{\sin 2ax}{4a}$$

$$\int x e^{ax} \, dx = \frac{e^{ax}}{a^2} (ax - 1)$$

$$\int \cos^2 ax \, dx = \frac{x}{2} + \frac{\sin 2ax}{4a}$$

$$\int x^2 e^{ax} \, dx = \frac{x^2 e^{ax}}{a} - \frac{2}{a} \left[ \frac{e^{ax}}{a^2} (ax - 1) \right]$$

$$\int x \sin ax \, dx = \frac{1}{a^2} \sin ax - \frac{1}{a} x \cos ax$$

$$\int \frac{dx}{a + bx} = \frac{1}{b} \ln(a + bx)$$

$$\int x \cos ax \, dx = \frac{1}{a^2} \cos ax + \frac{1}{a} x \sin ax$$

$$\int \frac{dx}{(a + bx)^2} = -\frac{1}{b(a + bx)}$$

$$\int \frac{dx}{\sqrt{a^2 - x^2}} = \sin^{-1}\left(\frac{x}{a}\right)$$

$$\int \ln ax \, dx = x \ln ax - x$$

$$\int \frac{dx}{\sqrt{x^2 \pm a^2}} = \ln(x + \sqrt{x^2 \pm a^2})$$

$$\int \frac{dx}{a^2 - x^2} = \frac{1}{2a} \ln \left| \frac{a+x}{a-x} \right|$$

## Appendix B The International System of Units (SI)

In 2019, the International Bureau of Weights and Measures approved the most substantial revision of the International System of Units (SI) in over a century. The revised SI defines seven base units, expressing them in terms of the values of fundamental constants that are now taken, by definition, to have exact values. Here we list the formal statements of these *explicit constant* definitions.

**time (second):** The second, symbol s, is the SI unit of time. It is defined by taking the fixed numerical value of the cesium frequency  $\Delta\nu_{\text{Cs}}$ , the unperturbed ground-state hyperfine transition frequency of the cesium-133 atom, to be 9,192,631,770 when expressed in the unit Hz, which is equal to  $\text{s}^{-1}$ .

**length (meter):** The meter, symbol m, is the SI unit of length. It is defined by taking the fixed numerical value of the speed of light in vacuum  $c$  to be 299,792,458 when expressed in the unit  $\text{m}\cdot\text{s}^{-1}$ , where the second is defined in terms of the cesium frequency  $\Delta\nu_{\text{Cs}}$ .

**mass (kilogram):** The kilogram, symbol kg, is the SI unit of mass. It is defined by taking the fixed numerical value of the Planck constant  $h$  to be  $6.626\ 070\ 15 \times 10^{-34}$  when expressed in the unit  $\text{J}\cdot\text{s}$ , which is equal to  $\text{kg}\cdot\text{m}^2\cdot\text{s}^{-1}$ , where the meter and the second are defined in terms of  $c$  and  $\Delta\nu_{\text{Cs}}$ .

**electric current (ampere):** The ampere, symbol A, is the SI unit of electric current. It is defined by taking the fixed numerical value of the elementary charge  $e$  to be  $1.602\ 176\ 634 \times 10^{-19}$  when expressed in the unit C, which is equal to  $\text{A}\cdot\text{s}$ , where the second is defined in terms of  $\Delta\nu_{\text{Cs}}$ .

**temperature (kelvin):** The kelvin, symbol K, is the SI unit of thermodynamic temperature. It is defined by taking the fixed numerical value of the Boltzmann constant  $k$  to be  $1.380\ 649 \times 10^{-23}$  when expressed in the unit  $\text{J}\cdot\text{K}^{-1}$ , which is equal to  $\text{kg}\cdot\text{m}^2\cdot\text{s}^{-2}\cdot\text{K}^{-1}$ , where the kilogram, meter, and second are defined in terms of  $h$ ,  $c$ , and  $\Delta\nu_{\text{Cs}}$ .

**amount of substance (mole):** The mole, symbol mol, is the SI unit of amount of substance. One mole contains exactly  $6.022\ 140\ 76 \times 10^{23}$  elementary entities. This number is the fixed numerical value of the Avogadro constant,  $N_A$ , when expressed in the unit  $\text{mol}^{-1}$  and is called the Avogadro number.

**luminous intensity (candela):** The candela, symbol cd, is the SI unit of luminous intensity in a given direction. It is defined by taking the fixed numerical value of the luminous efficacy of monochromatic radiation of frequency  $540 \times 10^{12}$  Hz,  $K_{\text{cd}}$ , to be 683 when expressed in the unit  $\text{lm}\cdot\text{W}^{-1}$ , which is equal to  $\text{cd}\cdot\text{sr}\cdot\text{W}^{-1}$ , or  $\text{cd}\cdot\text{sr}\cdot\text{kg}^{-1}\cdot\text{m}^{-2}\cdot\text{s}^3$ , where the kilogram, meter, and second are defined in terms of  $h$ ,  $c$ , and  $\Delta\nu_{\text{Cs}}$ .

**SI Base and Supplementary Units**

Quantity	SI Unit	
	Name	Symbol
<b>Base Unit</b>		
Length	meter	m
Mass	kilogram	kg
Time	second	s
Electric current	ampere	A
Thermodynamic temperature	kelvin	K
Amount of substance	mole	mol
Luminous intensity	candela	cd
<b>Supplementary Units</b>		
Plane angle	radian	rad
Solid angle	steradian	sr

**SI Prefixes**

Factor	Prefix	Symbol
$10^{24}$	yotta	Y
$10^{21}$	zetta	Z
$10^{18}$	exa	E
$10^{15}$	peta	P
$10^{12}$	tera	T
$10^9$	giga	G
$10^6$	mega	M
$10^3$	kilo	k
$10^2$	hecto	h
$10^1$	deka	da
$10^0$	—	—
$10^{-1}$	deci	d
$10^{-2}$	centi	c
$10^{-3}$	milli	m
$10^{-6}$	micro	$\mu$
$10^{-9}$	nano	n
$10^{-12}$	pico	p
$10^{-15}$	femto	f
$10^{-18}$	atto	a
$10^{-21}$	zepto	z
$10^{-24}$	yocto	y

**Some SI Derived Units with Special Names**

Quantity	SI Unit		Expression in Terms of Other Units	Expression in Terms of SI Base Units
	Name	Symbol		
Frequency	hertz	Hz		$s^{-1}$
Force	newton	N		$m \cdot kg \cdot s^{-2}$
Pressure, stress	pascal	Pa	$N/m^2$	$m^{-1} \cdot kg \cdot s^{-2}$
Energy, work, heat	joule	J	$N \cdot m$	$m^2 \cdot kg \cdot s^{-2}$
Power	watt	W	$J/s$	$m^2 \cdot kg \cdot s^{-3}$
Electric charge	coulomb	C		$s \cdot A$
Electric potential, potential difference, electromotive force	volt	V	$J/C$	$m^2 \cdot kg \cdot s^{-3} \cdot A^{-1}$
Capacitance	farad	F	C/V	$m^{-2} \cdot kg^{-1} \cdot s^4 \cdot A^2$
Electric resistance	ohm	$\Omega$	V/A	$m^2 \cdot kg \cdot s^{-3} \cdot A^{-2}$
Magnetic flux	weber	Wb	$T \cdot m^2, V \cdot s$	$m^2 \cdot kg \cdot s^{-2} \cdot A^{-1}$
Magnetic field	tesla	T	$Wb/m^2$	$kg \cdot s^{-2} \cdot A^{-1}$
Inductance	henry	H	$Wb/A$	$m^2 \cdot kg \cdot s^{-2} \cdot A^{-2}$
Radioactivity	becquerel	Bq	1 decay/s	$s^{-1}$
Absorbed radiation dose	gray	Gy	$J/kg, 100 rad$	$m^2 \cdot s^{-2}$
Radiation dose equivalent	sievert	Sv	$J/kg, 100 rem$	$m^2 \cdot s^{-2}$

# Appendix C Conversion Factors

The listings below give the SI equivalents of non-SI units. To convert from the units shown to SI, multiply by the factor given; to convert the other way, divide. For conversions within the SI system, see the table of SI prefixes in Appendix B, Chapter 1, or the inside front cover. Conversions that are not exact by definition are given to, at most, four significant figures.

## Length

1 inch (in) = 0.0254 m	1 angstrom ( $\text{\AA}$ ) = $10^{-10}$ m
1 foot (ft) = 0.3048 m	1 light-year (ly) = $9.46 \times 10^{15}$ m
1 yard (yd) = 0.9144 m	1 astronomical unit (AU) = $1.496 \times 10^{11}$ m
1 mile (mi) = 1609 m	1 parsec = $3.09 \times 10^{16}$ m
1 nautical mile = 1852 m	1 fermi = $10^{-15}$ m = 1 fm

## Mass

1 slug = 14.59 kg	1 unified mass unit (u) = $1.661 \times 10^{-27}$ kg
1 metric ton (tonne; t) = 1000 kg	

Force units in the English system are sometimes used (incorrectly) for mass. The units given below are actually equal to the number of kilograms multiplied by  $g$ , the acceleration of gravity.

1 pound (lb) = weight of 0.454 kg	1 ounce (oz) = weight of 0.02835 kg
1 ton = 2000 lb = weight of 908 kg	

## Time

1 minute (min) = 60 s	1 day (d) = 24 h = 86,400 s
1 hour (h) = 60 min = 3600 s	1 year (y) = $365.2422 \text{ d}^*$ = $3.156 \times 10^7$ s

## Area

1 hectare (ha) = $10^4$ m <sup>2</sup>	1 acre = 4047 m <sup>2</sup>
1 square inch (in <sup>2</sup> ) = $6.452 \times 10^{-4}$ m <sup>2</sup>	1 barn = $10^{-28}$ m <sup>2</sup>
1 square foot (ft <sup>2</sup> ) = $9.290 \times 10^{-2}$ m <sup>2</sup>	1 shed = $10^{-52}$ m <sup>2</sup>

## Volume

1 liter (L) = $1000 \text{ cm}^3$ = $10^{-3}$ m <sup>3</sup>	1 gallon (U.S.; gal) = $3.785 \times 10^{-3}$ m <sup>3</sup>
1 cubic foot (ft <sup>3</sup> ) = $2.832 \times 10^{-2}$ m <sup>3</sup>	1 gallon (British) = $4.546 \times 10^{-3}$ m <sup>3</sup>
1 cubic inch (in <sup>3</sup> ) = $1.639 \times 10^{-5}$ m <sup>3</sup>	
1 fluid ounce = $1/128$ gal = $2.957 \times 10^{-5}$ m <sup>3</sup>	
1 barrel (bbl) = 42 gal = $0.1590$ m <sup>3</sup>	

## Angle, Phase

1 degree ( $^\circ$ ) = $\pi/180$ rad = $1.745 \times 10^{-2}$ rad
1 revolution (rev) = $360^\circ$ = $2\pi$ rad
1 cycle = $360^\circ$ = $2\pi$ rad

\*The length of the year changes very slowly with changes in Earth's orbital period.

**Speed, Velocity**

$$\begin{array}{ll} 1 \text{ km/h} = (1/3.6) \text{ m/s} = 0.2778 \text{ m/s} & 1 \text{ ft/s} = 0.3048 \text{ m/s} \\ 1 \text{ mi/h (mph)} = 0.4470 \text{ m/s} & 1 \text{ ly/y} = 3.00 \times 10^8 \text{ m/s} \end{array}$$

**Angular Speed, Angular Velocity, Frequency, and Angular Frequency**

$$\begin{array}{ll} 1 \text{ rev/s} = 2\pi \text{ rad/s} = 6.283 \text{ rad/s (s}^{-1}\text{)} & 1 \text{ rev/min (rpm)} = 0.1047 \text{ rad/s (s}^{-1}\text{)} \\ 1 \text{ Hz} = 1 \text{ cycle/s} = 2\pi \text{ s}^{-1} & \end{array}$$

**Force**

$$1 \text{ dyne} = 10^{-5} \text{ N} \quad 1 \text{ pound (lb)} = 4.448 \text{ N}$$

**Pressure**

$$\begin{array}{ll} 1 \text{ dyne/cm}^2 = 10^{-1} \text{ Pa} & 1 \text{ lb/in}^2 (\text{psi}) = 6.895 \times 10^3 \text{ Pa} \\ 1 \text{ atmosphere (atm)} = 1.013 \times 10^5 \text{ Pa} & 1 \text{ in H}_2\text{O (60°F)} = 248.8 \text{ Pa} \\ 1 \text{ torr} = 1 \text{ mm Hg at } 0^\circ\text{C} = 133.3 \text{ Pa} & 1 \text{ in Hg (60°F)} = 3.377 \times 10^3 \text{ Pa} \\ 1 \text{ bar} = 10^5 \text{ Pa} = 0.987 \text{ atm} & \end{array}$$

**Energy, Work, Heat**

$$\begin{array}{ll} 1 \text{ erg} = 10^{-7} \text{ J} & 1 \text{ Btu}^* = 1.054 \times 10^3 \text{ J} \\ 1 \text{ calorie}^* (\text{cal}) = 4.184 \text{ J} & 1 \text{ kWh} = 3.6 \times 10^6 \text{ J} \\ 1 \text{ electronvolt (eV)} = 1.602 \times 10^{-19} \text{ J} & 1 \text{ megaton (explosive yield; Mt)} \\ 1 \text{ foot-pound (ft} \cdot \text{lb)} = 1.356 \text{ J} & = 4.18 \times 10^{15} \text{ J} \end{array}$$

**Power**

$$\begin{array}{ll} 1 \text{ erg/s} = 10^{-7} \text{ W} & 1 \text{ Btu/h (Btuh)} = 0.293 \text{ W} \\ 1 \text{ horsepower (hp)} = 746 \text{ W} & 1 \text{ ft} \cdot \text{lb/s} = 1.356 \text{ W} \end{array}$$

**Magnetic Field**

$$1 \text{ gauss (G)} = 10^{-4} \text{ T} \quad 1 \text{ gamma} (\gamma) = 10^{-9} \text{ T}$$

**Radiation**

$$\begin{array}{ll} 1 \text{ curie (ci)} = 3.7 \times 10^{10} \text{ Bq} & 1 \text{ rad} = 10^{-2} \text{ Gy} \\ & 1 \text{ rem} = 10^{-2} \text{ Sv} \end{array}$$

**Energy Content of Fuels**

Energy Source	Energy Content
Coal	29 MJ/kg = 7300 kWh/ton = $25 \times 10^6$ Btu/ton
Oil	43 MJ/kg = 39 kWh/gal = $1.3 \times 10^5$ Btu/gal
Gasoline	44 MJ/kg = 36 kWh/gal = $1.2 \times 10^5$ Btu/gal
Natural gas	55 MJ/kg = 30 kWh/100 ft <sup>3</sup> = 1000 Btu/ft <sup>3</sup>
Uranium (fission)	$5.8 \times 10^{11}$ J/kg = $1.6 \times 10^5$ kWh/kg
Normal abundance	$8.2 \times 10^{13}$ J/kg = $2.3 \times 10^7$ kWh/kg
Pure U-235	
Hydrogen (fusion)	$7 \times 10^{11}$ J/kg = $3.0 \times 10^4$ kWh/kg
Normal abundance	$3.3 \times 10^{14}$ J/kg = $9.2 \times 10^7$ kWh/kg
Pure deuterium	$1.2 \times 10^{10}$ J/kg = $1.3 \times 10^4$ kWh/gal
Water	= 340 gal gasoline/gal water
100% conversion, matter to energy	$9.0 \times 10^{16}$ J/kg = 931 MeV/u = $2.5 \times 10^{10}$ kWh/kg

\*Values based on the thermochemical calorie; other definitions vary slightly.

## Appendix D The Elements

The atomic weights of stable elements reflect the abundances of different isotopes; values given here apply to elements as they exist naturally on Earth. For stable elements, parentheses express uncertainties in the last decimal place given. For elements with no stable isotopes (indicated in **boldface**), at most three isotopes are given; for elements 99 and beyond, only the longest-lived isotope is given. (Exceptions are the unstable elements thorium, protactinium, and uranium, for which atomic weights reflect natural abundances of long-lived isotopes.) See also the periodic table inside the back cover.

Atomic Number	Names	Symbol	Atomic Weight
1	Hydrogen	H	1.00794 (7)
2	Helium	He	4.002 602 (2)
3	Lithium	Li	6.941 (2)
4	Beryllium	Be	9.012 182 (3)
5	Boron	B	10.811 (5)
6	Carbon	C	12.011 (1)
7	Nitrogen	N	14.00674 (7)
8	Oxygen	O	15.9994 (3)
9	Fluorine	F	18.998 403 2 (9)
10	Neon	Ne	20.1797 (6)
11	Sodium (Natrium)	Na	22.989 768 (6)
12	Magnesium	Mg	24.3050 (6)
13	Aluminum	Al	26.981 539 (5)
14	Silicon	Si	28.0855 (3)
15	Phosphorus	P	30.973 762 (4)
16	Sulfur	S	32.066 (6)
17	Chlorine	Cl	35.4527 (9)
18	Argon	Ar	39.948 (1)
19	Potassium (Kalium)	K	39.0983 (1)
20	Calcium	Ca	40.078 (4)
21	Scandium	Sc	44.955 910 (9)
22	Titanium	Ti	47.88 (3)
23	Vanadium	V	50.9415 (1)
24	Chromium	Cr	51.9961 (6)
25	Manganese	Mn	54.93805 (1)
26	Iron	Fe	55.847 (3)
27	Cobalt	Co	58.93320 (1)
28	Nickel	Ni	58.69 (1)
29	Copper	Cu	63.546 (3)
30	Zinc	Zn	65.39 (2)
31	Gallium	Ga	69.723 (1)

(continued)

Atomic Number	Names	Symbol	Atomic Weight
32	Germanium	Ge	72.61 (2)
33	Arsenic	As	74.92159 (2)
34	Selenium	Se	78.96 (3)
35	Bromine	Br	79.904 (1)
36	Krypton	Kr	83.80 (1)
37	Rubidium	Rb	85.4678 (3)
38	Strontium	Sr	87.62 (1)
39	Yttrium	Y	88.90585 (2)
40	Zirconium	Zr	91.224 (2)
41	Niobium	Nb	92.90638 (2)
42	Molybdenum	Mo	95.94 (1)
<b>43</b>	<b>Technetium</b>	<b>Tc</b>	<b>97, 98, 99</b>
44	Ruthenium	Ru	101.07 (2)
45	Rhodium	Rh	102.90550 (3)
46	Palladium	Pd	106.42 (1)
47	Silver	Ag	107.8682 (2)
48	Cadmium	Cd	112.411 (8)
49	Indium	In	114.82 (1)
50	Tin	Sn	118.710 (7)
51	Antimony (Stibium)	Sb	121.75 (3)
52	Tellurium	Te	127.60 (3)
53	Iodine	I	126.90447 (3)
54	Xenon	Xe	131.29 (2)
55	Cesium	Cs	132.90543 (5)
56	Barium	Ba	137.327 (7)
57	Lanthanum	La	138.9055 (2)
58	Cerium	Ce	140.115 (4)
59	Praseodymium	Pr	140.90765 (3)
60	Neodymium	Nd	144.24 (3)
<b>61</b>	<b>Promethium</b>	<b>Pm</b>	<b>145, 147</b>
62	Samarium	Sm	150.36 (3)
63	Europium	Eu	151.965 (9)
64	Gadolinium	Gd	157.25 (3)
65	Terbium	Tb	158.92534 (3)
66	Dysprosium	Dy	162.50 (3)
67	Holmium	Ho	164.93032 (3)
68	Erbium	Er	167.26 (3)
69	Thulium	Tm	168.93421 (3)
70	Ytterbium	Yb	173.04 (3)
71	Lutetium	Lu	174.967 (1)
72	Hafnium	Hf	178.49 (2)
73	Tantalum	Ta	180.9479 (1)
74	Tungsten (Wolfram)	W	183.85 (3)
75	Rhenium	Re	186.207 (1)
76	Osmium	Os	190.2 (1)
77	Iridium	Ir	192.22 (3)

Atomic Number	Names	Symbol	Atomic Weight
78	Platinum	Pt	195.08 (3)
79	Gold	Au	196.96654 (3)
80	Mercury	Hg	200.59 (3)
81	Thallium	Tl	204.3833 (2)
82	Lead	Pb	207.2 (1)
83	Bismuth	Bi	208.98037 (3)
84	<b>Polonium</b>	<b>Po</b>	<b>209, 210</b>
85	Astatine	At	210, 211
86	<b>Radon</b>	<b>Rn</b>	<b>211, 220, 222</b>
87	<b>Francium</b>	<b>Fr</b>	<b>223</b>
88	<b>Radium</b>	<b>Ra</b>	<b>223, 224, 226</b>
89	Actinium	Ac	227
90	Thorium	Th	232.0381 (1)
91	Protactinium	Pa	231.03588 (2)
92	Uranium	U	238.0289 (1)
93	Neptunium	Np	237, 239
94	Plutonium	Pu	239, 242, 244
95	Americium	Am	241, 243
96	Curium	Cm	245, 247, 248
97	Berkelium	Bk	247, 249
98	Californium	Cf	249, 250, 251
99	Einsteinium	Es	252
100	Fermium	Fm	257
101	Mendelevium	Md	258
102	Nobelium	No	259
103	Lawrencium	Lr	262
104	Rutherfordium	Rf	263
105	Dubnium	Db	268
106	Seaborgium	Sg	266
107	Bohrium	Bh	272
108	Hassium	Hs	277
109	Meitnerium	Mt	276
110	Darmstadtium	Ds	281
111	Roentgenium	Rg	280
112	Copernicium	Cn	285
113	Nihonium	Nh	284
114	Flerovium	Fl	289
115	Moscovium	Mc	288
116	Livermorium	Lv	292
117	Tennessine	Ts	294
118	Oganesson	Og	294

# Appendix E Astrophysical Data

## Sun, Planets, Principal Satellites

Body	Mass ( $10^{24}$ kg)	Mean Radius ( $10^6$ m except as noted)	Surface Gravity (m/s $^2$ )	Escape Speed (km/s)	Sidereal Rotation Period* (days)	Mean Distance from Central Body† (10 $6$ km)	Orbital Period	Mean Orbital Speed (km/s)
<b>Sun</b>	$1.99 \times 10^6$	696	274	618	36 at poles 27 at equator	$2.6 \times 10^{11}$	200 My	250
<b>Planets</b>								
<b>Mercury</b>	0.330	2.44	3.70	4.25	58.6	57.9	88.0 d	47.4
<b>Venus</b>	4.87	6.05	8.87	10.4	-243	108	225 d	35.0
<b>Earth</b>	5.97	6.37	9.81	11.2	0.997	149.6	365.2 d	29.8
Moon	0.0735	1.74	1.62	2.38	27.3	0.3844	27.3 d	1.02
<b>Mars</b>	0.642	3.39	3.71	5.03	1.03	228	1.88 y	24.1
Phobos	$1.07 \times 10^{-8}$	9–13 km	0.0057	0.0114	0.319	$9.4 \times 10^{-3}$	0.319 d	2.14
Deimos	$1.48 \times 10^{-9}$	5–8 km	0.003	0.00556	1.26	$23 \times 10^{-3}$	1.26 d	1.35
<b>Jupiter</b>	$1.90 \times 10^3$	69.9	24.8	60.2	0.414	778	11.9 y	13.1
Io	0.0893	1.82	1.80	2.38	1.77	0.422	1.77 d	17.3
Europa	0.480	1.56	1.32	2.03	3.55	0.671	3.55 d	13.7
Ganymede	0.148	2.63	1.43	2.74	7.15	1.07	7.15 d	10.9
Callisto	0.108	2.41	1.24	2.44	16.7	1.88	16.7 d	8.20
and at least 75 smaller satellites								
<b>Saturn</b>	568	58.2	10.4	36.1	0.444	$1.43 \times 10^3$	29.5 y	9.69
Tethys	0.0007	0.53	0.2	0.4	1.89	0.294	1.89 d	11.3
Dione	0.00015	0.56	0.3	0.6	2.74	0.377	2.74 d	10.0
Rhea	0.0025	0.77	0.3	0.5	4.52	0.527	4.52 d	8.5
Titan	0.135	2.58	1.35	2.64	15.9	1.22	15.9 d	5.6
and at least 58 smaller satellites								
<b>Uranus</b>	86.8	25.4	8.87	21.4	-0.720	$2.87 \times 10^3$	84.0 y	6.80
Ariel	0.0013	0.58	0.3	0.4	2.52	0.19	2.52 d	5.5
Umbriel	0.0013	0.59	0.3	0.4	4.14	0.27	4.14 d	4.7
Titania	0.0018	0.81	0.2	0.5	8.70	0.44	8.70 d	3.7
Oberon	0.0017	0.78	0.2	0.5	13.5	0.58	13.5 d	3.1
and at least 23 smaller satellites								
<b>Neptune</b>	102	24.6	11.2	23.5	0.673	$4.50 \times 10^3$	165 y	5.43
Triton	0.134	1.9	2.5	3.1	5.88	0.354	5.88 d	4.4
and at least 13 smaller satellites								
<b>Dwarf Planets</b>								
<b>Ceres</b>	0.000 947	0.476	0.27	0.51	0.38	414	4.60 y	17.9
<b>Pluto</b>	0.0130	1.20	0.58	1.2	-6.39	$5.91 \times 10^3$	248 y	4.67
Charon	0.00586	0.604	0.278	0.580	-6.39	0.00196	6.39 d	0.23
and 4 smaller satellites								
<b>Eris</b>	0.0166	1.16	0.827	1.38	1.1	$1.02 \times 10^4$	560 y	3.43
and 1 small satellite, Dysnomia								

\*Negative rotation period indicates retrograde motion, in opposite sense from orbital motion. Periods are sidereal, meaning the time for the body to return to the same orientation relative to the distant stars rather than the Sun.

†Central body is galactic center for Sun, Sun for planets, and planet for satellites.

# Answers to Odd-Numbered Problems

## Chapter 1

11. (a)  $10^9$  W; (b)  $10^6$  kW; (c) 1 GW  
 13. 0.299792458 m or about 1 ft  
 15.  $10^8$   
 17.  $0.62$  rad =  $35^\circ$   
 19. 30 g  
 21.  $10^6$   
 23.  $8.6 \text{ m}^2/\text{L}$   
 25.  $3.6 \text{ km/h}$   
 27.  $57.3^\circ$   
 29.  $24 \text{ Zm}$   
 31.  $7.4 \times 10^6 \text{ m/s}^2$   
 33.  $4 \times 10^6$   
 35. 41 m  
 37. 20 m/s  
 39. 12 rev/day  
 41. 22 mm  
 43. 2 ms  
 45. (a) 5.18 (b) 5.20  
 47.  $3 \times 10^6$   
 49. About 0.08%  
 51.  $10^5$   
 53.  $\sim 250 \mu\text{m}$   
 55. (a) 40 nm (b)  $5 \times 10^5$  calculations per second  
 57. 0.4% for 1.27, 0.05% for 9.97  
 59. In the U.S.; cost is 50% greater in Canada.  
 61. about 2000  
 63. about  $1-2 \text{ m}^2$   
 65. (a) 1.0 m (b)  $0.001 \text{ m}^2$  (c) 0.0 m (d) 1.0  
 67. 439 W, more than the consumption rate  
 69. slope =  $4.09 \text{ g/cm}^3$   
 71. b  
 73. c

## Chapter 2

11. 10.4 m/s  
 13. With northward taken as positive,  
     (a) 24 km; (b) 9.6 km/h; (c)  $-16 \text{ km/h}$   
     (d) 0; (e) 0  
 15. 26.6 km/h  
 19. (a)  $v = b - 2ct$  (b) 8.4 s  
 21.  $0.35 \text{ m/s}^2$   
 23. falling:  $9.82 \text{ m/s}^2$ , stopping:  $84.0 \text{ m/s}^2$   
 25.  $17 \text{ m/s}^2$   
 27.  $v = dx/dt = d/dt(x_0 + v_0 t + at^2/2)$   
     =  $v_0 + at$   
 29. (a)  $a = v^2/2h$ ; (b)  $t = 2h/v$   
 31.  $27 \text{ ft/s}^2$   
 33. 15 s  
 35. 3.26 m  
 37. (a)  $v^2/2g$ ; (b)  $v/\sqrt{2}$   
 39. 11 m/s

41. 947 m  
 43. 102 m  
 45. (a)  $13.4 \text{ m/s}$ ; (b)  $1.37 \text{ s}$   
 47. (a)  $15.7 \text{ m/s}$ ; (b)  $1.60 \text{ s}$   
 49. 48 mi/h  
 51. 2.2 s  
 53. (a)  $9.82 \text{ m/s}$  (b)  $9.34 \text{ m/s}$  (c)  $9.18 \text{ m/s}$   
     (d)  $9.18 \text{ m/s}$   
 55. 14.1 m  
 57.  $4.3 \text{ m/s}^2$   
 59. 2.75 s  
 61. 55%  
 63. (a) 0.014 s (b) 51 cm  
 65. 0.89 km  
 67. (a) 25 m/s (b) 180 m  
 69.  $0.0051 \text{ m/s}^2$   
 71. 11 m/s  
 73. 270 m  
 75.  $-\frac{1}{2}\sqrt{hg}$   
 77. (a)  $7.88 \text{ m/s}$ ,  $7.67 \text{ m/s}$  (b)  $0.162 \text{ s}$   
 79.  $70 \mu\text{m/s}^2$   
 81.  $4.8 \text{ m/s}$  ( $17 \text{ km/h}$ )  
 83. (a)  $\bar{v} = (v_1 + v_2)/2$  (b)  
      $\bar{v} = (2v_1 v_2)/(v_1 + v_2)$   
     (c) in the first case  
 85. 70.7 %  
 87.  $-0.3 \text{ m/s}$   
 89.  $\frac{h}{4}\left(\frac{2h}{g\Delta t^2}\right)\left(\frac{g\Delta t^2}{2h} - 1\right)^2$   
 91.  $15 \text{s}^{-1}$   
 95. (a)  $\sqrt{2b/c}$ ; (b)  $-5b$   
 97. (a)  $v_0 > \sqrt{gh_0/2}$  (b)  $h_0 - gh_0^2/2v_0$   
 99. c  
 101. b

## Chapter 3

11. (a) 1.78 km; (b)  $28.3^\circ$  east of north  
 13. 710 km,  $21^\circ$  west of north  
 15.  $105\hat{i} + 58\hat{j}$  km  
 17.  $1.414$ ,  $\theta = 45^\circ$   
 19.  $135^\circ$  or  $315^\circ$  (equivalently,  $-45^\circ$ )  
 21.  $3ct^2\hat{j}$   
 23. (a)  $\vec{v} = -2.2 \times 10^{-6}\hat{j} \text{ m/s}$   
     (b)  $\vec{a} = -3.2 \times 10^{-10}\hat{t} \text{ m/s}^2$   
 25.  $\vec{v}_2 = 1.3\hat{i} + 2.3\hat{j} \text{ m/s}$   
 27. (a)  $26^\circ$  upstream (b) 53.9 s  
 29.  $42.8^\circ$  west of south  
 31. 49 m,  $6.4^\circ$  to your original direction  
 33. (a) 1.3 s (b) 15 m  
 35. 34 nm  
 37. 1090 m  
 39.  $2.28 \times 10^{-7} \text{ m/s}^2$   
 41.  $2.73 \text{ mm/s}^2$

43. 9.60 km  
 45.  $0.752 \text{ m/s}^2$   
 47.  $497 \text{ m/s}^2$   
 49. 90 km/h  
 51.  $229 \text{ m/s}$  ( $826 \text{ km/h}$ )  
 53.  $\vec{C} = -15\hat{i} + 9\hat{j} - 18\hat{k}$   
 55. (a)  $4c/3d$  (b)  $c/3d$   
 57. 96 m  
 59. (a)  $0.249 \text{ m/s}$  (b)  $7.00 \times 10^{-4} \text{ m/s}^2$   
     (c)  $7.21 \times 10^{-4} \text{ m/s}^2$ , about 3% difference  
 61.  $A = B$   
 63.  $0.50 \text{ m/s}^2$   
 65.  $5.7 \text{ m/s}$   
 67. (a)  $x_1 = x_2$  implies  

$$y_1 = h\left(1 - \frac{gh}{v_0^2}\right) = y_2 \quad (\text{b}) v_0 \geq \sqrt{gh}$$
 69. (a)  $3.74 \text{ m/s}$ ; (b)  $68.7^\circ$  from horizontal  
 73. semi-circle of radius  $2.5 \text{ cm}$ ;  $6.54 \text{ m/s}$ ,  $17.1 \text{ m/s}^2$   
 75. Yes  
 77.  $66^\circ$   
 79. (a)  $v\sqrt{2/\sqrt{3}} \approx 1.07v$   
     (b)  $\sqrt[4]{3}t \approx 1.3t$   
 81. 77.2 m  
 83.  $2h$   
 85. 19 m  
 87.  $dx/d\theta_0 = 2v_0^2/g \cos(2\theta_0) = 0 \Rightarrow \theta_0 = 45^\circ$   
 89.  $y = x \tan\theta_0 + \frac{b}{6(v_0 \cos\theta_0)^a}x^3$   
 93. (a)  $\sqrt{(1 + \pi^2)a}$ ; (b)  
      $3\pi/2 - \tan^{-1}(1/\pi) \cong 252^\circ$   
 95. c  
 97. c

## Chapter 4

11. 946 kN  
 13.  $1.53 \times 10^3 \text{ kg}$   
 15.  $2.0 \times 10^6 \text{ m/s}^2$   
 17. 22 cm  
 21. 210 kg  
 23. 9000 kg  
 25. 490 N  
 27. 380 N  
 29.  $M(g + v^2/2h)$   
 31. 55 kN  
 33. 130 N  
 35. 19 cm  
 37. (a) 5.94 s; (b) 137 m  
 39. (a) 59.8 ms; (b) 6.58 m  
 41.  $0.733 \text{ m/s}^2$ , downward  
 43.  $3.98 \text{ m/s}^2$   
 45.  $2.94 \text{ m/s}^2$ , downward  
 47.  $4.9 \text{ m/s}^2$

## A-18 Answers to Odd-Numbered Problems

49. 0.53 s  
 51. 6.0 N  
 53.  $1.62 \times 10^{-7} \text{ N/m}$   
 55. (a) 5.3 kN (b) 1.1 kN (c) 0.49 kN  
 (d) 0.59 kN  
 57. (a) 393 N; (b) 348 N  
 59. 0.96 m  
 61. 950 N  
 63. F-35A: yes,  $0.81 \text{ m/s}^2$ ; A-380: no  
 67.  $1.96 \text{ m/s}^2$   
 69. (a) 60.0 m/s (b) 0.672 m  
 71.  $11.8 \text{ m/s}^2$   
 73. 0.92 kg, 1.4 kg  
 75.  $\omega F_0/M$   
 77. a  
 79. b

## Chapter 5

11.  $5.40\hat{i} + 11.0\hat{j} \text{ N}$   
 13.  $22.2^\circ$   
 15. 3.0 kN  
 17. (a)  $6.3 \text{ m/s}^2$ ; (b) 0.44 s  
 19. (a)  $3.9 \text{ m/s}^2$  (b) 530 N  
 23. Train was speeding at 71 km/h  
 25. 490 km/h  
 27. 0.18  
 29. no; the minimum safe frictional coefficient is 0.25  
 31. 8430 kg  
 33. 47.2 g  
 35. 451 N, downward  
 37. no; it experiences a force of 84.7 N from the water  
 39. 0.43 m  
 41. about 2.62 times  
 43.  $T = m_2g$ ,  $\tau = 2\pi\sqrt{(m_1R)/(m_2g)}$   
 45. 310 N downward (b)  $-m_{SB}v^2/R$   
 (c) nothing  
 47. 8.5 km  
 49. 0.15  
 51.  $a = 0.19 \text{ m/s}^2$ ; t = 23 s  
 53. Yes  
 55.  $0.23 \leq \mu_s \leq 0.30$   
 57.  $4.2 \text{ m/s}^2$   
 59. 0.62  
 61. (a) 9.8 cm (b) no  
 63. 100 km/h  
 67. 17 rev/min  
 69. Brake, don't swerve  
 71. 28 cm  
 73.  $v(t) = \frac{mg}{b}(e^{-bt/m} - 1)$   
 75. Yes  
 77. a  
 79. b

## Chapter 6

11. 900 J  
 13. 150 kJ  
 15. 190 MN  
 17.  $\vec{A} \cdot (\vec{B} + \vec{C}) = AB \cos(\theta_{AB}) + AC \cos(\theta_{AC}) = \vec{A} \cdot \vec{B} + \vec{A} \cdot \vec{C}$

19. 1.9 m  
 21. (a) 1 J (b) 3 J  
 23. 30 cm  
 25. 7.5 GJ  
 27.  $\pm 120 \text{ km/h}$   
 29. 110 m/s  
 31. 97 W  
 33. (a) 60 kW (b) 1 kW (c) 41.7 W  
 35.  $9.4 \times 10^6 \text{ J}$   
 37. 0 W  
 39. 22 s  
 41. 10.8 kJ  
 43.  $4.50 \times 10^{-23} \text{ J}$   
 45. 864 W  
 47. (a) 163 MW; (b) 273 MW  
 49. (a) 400 J (b) 31 kg  
 51.  $25^\circ$   
 53. (a) 0 (b)  $90^\circ$   
 55.  $k_B = 8k_A$   
 57.  $W = F_0 \left( x - \frac{x^2}{2L_0} + \frac{L_0^2}{L_0 + x} - L_0 \right)$   
 59.  $v_2 = \pm 2v_1$   
 61. (a)  $1.3 \times 10^{-17} \text{ W}$  (b)  $1.4 \times 10^{-14} \text{ J}$   
 63. 9.6 kW  
 65.  $F_0x_0/3$   
 67.  $\pi/3$  or  $60^\circ$   
 69. about 700 GW  
 71. (a) 90.3 km/h (25.1 m/s); (b) 107 hp  
 73. 0.60  
 75. (a) 196 W; (b) 3.32 kJ; (c) 227 W, 3.85 kJ  
 77. (a)  $2P_0$ ; (b)  $\frac{1}{2}(3 - \sqrt{3})t_0$  ( $\cong 0.634 t_0$ )  
 79. 6.0 years  
 83.  $W_{x_1 \rightarrow x_2} = 2b(\sqrt{x_2} - \sqrt{x_1})$ ,  
 $W(x_1 = 0) = 2b\sqrt{x_2}$   
 85. (a)  $\frac{1}{2}kL_0^2 + \frac{1}{3}bL_0^3 + \frac{1}{4}cL_0^4 + \frac{1}{5}dL_0^5$   
 (b) 12 kJ  
 87. 135 J  
 89. 30 people  
 91. Stopping force is 35 times weight of leg  
 93. c  
 95. c

## Chapter 7

9. Path (a):  $W_a = -\mu_k mg(2L)$ ;  
 Path (b)  $W_b = -\sqrt{2}\mu_k mgL$   
 11. (a) 60 kJ; (b) 110 kJ; (c) 0  
 13. (a) 7.0 MJ; (b) 1.0 MJ  
 15. 55 cm  
 17.  $\pm 22 \text{ m/s}$ ,  $\pm 35 \text{ m/s}$   
 19. 92 m  
 21. 2.3 kN/m  
 23. 0.75  
 25.  $\pm 2.0 \text{ m}$   
 27. 2.28 kJ, less than the 2.67 kJ for the ideal spring  
 29.  $2.49 \times 10^{-19} \text{ J}$   
 31. 55.2 cm  
 33. 3.55 m  
 35. (a)  $4.4 \times 10^{13} \text{ J}$  (b) 11 h

37. 778 J, 4.90%  
 39.  $U(x) = -\frac{1}{3}ax^3 - bx$   
 41.  $r = \frac{kx^2}{2mg \sin \theta}$   
 45. (a)  $-11 \text{ cm}$  (b)  $\pm 4 \text{ m/s}$   
 47.  $h \geq 5R/2$   
 51.  $U(x) = 8.83x^3 - 3.05x^4 \text{ J}$   
 53. 20 m/s, 30 m/s  
 55. 1.4 m  
 57. 62.5 cm  
 59. 2.9 m  
 61. 14 m  
 63.  $v = 2x^{3/4} \sqrt{\frac{a}{3m}}$   
 65. 5.8 s  
 67.  $\frac{mgh}{2d} \sqrt{2g(h-d)}$   
 69. 185 N/m  
 71. d  
 73. b

## Chapter 8

11.  $R_p = R_E/\sqrt{2}$   
 13. 57.5%  
 15. 8.6 kg  
 17. 542 m  
 19. 3070 m/s  
 21. 1.77 d  
 23.  $0.28 \times 10^6 \text{ m}$   
 25. 3.17 GJ  
 27. 4.29 km/s  
 29. (a) 2.44 km/s (b)  $2.10 \times 10^8 \text{ m/s}$   
 31. (a) 20,190 km; (b) 3.872 km/s  
 33. (a) 17,100 km; (b) 1.45 km/s  
 35. 5.62 km/s  
 37. Less, by about 2 km/s  
 39.  $g(h)/g(0) = 0.414$   
 41. 36 GJ—positive, so not bound to the Sun  
 43. 60.5 min  
 45.  $2.6 \times 10^{41} \text{ kg}$   
 47.  $T^2 = \frac{4\pi^2 L^3}{3GM}$   
 49. 2.79 AU  
 51.  $E > 0$ , hyperbolic path  
 55. 7.2 km/s  
 59. (a)  $2.06 \times 10^6 \text{ m}$  (b)  $0.805 \times 10^6 \text{ m}$   
 61. 11.89 km/s  
 63. 4.17 km/s  
 65.  $4.60 \times 10^{10} \text{ m}$   
 67.  $1.42 \times 10^3 \text{ km}$   
 69.  $1.58 \times 10^{16} \text{ kg}$   
 71. 3.8 m/century  
 73. No danger, since the puck needs at least 6100 km/h to go into orbit  
 75.  $1.5 \times 10^6 \text{ km}$   
 77. d  
 79. d

## Chapter 9

11.  $2m$   
 13.  $(0, 0.289L)$

15.  $\vec{v}_2 = -67\hat{i}$  cm/s  
 17. 0.268 Mm/s  
 19. 47.9 J  
 21. (a)  $\sim 20$  kN·s; (b)  $\sim 10$  m/s  
 23. 41.8 s  
 27. The truck's load was 6800 kg, 1200 kg under the limit  
 29. 46 m/s  
 31.  $v_{1f} = -11$  Mm/s,  $v_{2f} = +6.9$  Mm/s, velocities are exchanged  
 33. 7.65 Mm/s at  $12.4^\circ$  to the alpha particle's original direction with perpendicular component opposite that of the alpha particle  
 35. 195 km/s at  $25.9^\circ$  to the original direction with perpendicular component opposite that of the orbiter  
 37. 28.4%  
 39. 75.6%  
 41. (0, 0.115a)  
 43.  $K_{cm} = 2.35$  J;  $K_{int} = 47.5$  J  
 45.  $m_b = 4m_m$   
 47. (0, 0,  $h/4$ )  
 49. (a) 0.99 m (b) 3.9 m/s  
 51. about 6 N  
 55.  $3R/8$   
 57.  $\vec{v}_3 = 4.4\hat{i} + 3.0\hat{j}$  m/s  
 59. 9.4 m/s  
 61.  $\frac{2}{5}v_i, \frac{7}{5}v_i$   
 63. (a)  $37.7^\circ$  (b)  $-65.8$  cm/s  
 65. 5.8 s  
 67. 0.92 m/s  
 69. If the Leaf was at the speed limit, then the Land Cruiser was speeding at 76.4 km/h; if the Land Cruiser was at the speed limit, then the Leaf was speeding at 92.2 km/h.  
 71.  $120^\circ$   
 73. 5.83  
 77. 18.6%  
 79. (a) 12.0 m/s (b) 15.4 m/s  
 81.  $v_1 = v/6, v_2 = 5v/6$   
 87. The center of mass lies along line through the middle of the slice, at a distance of  $\frac{4R}{3\theta} \sin(\theta/2)$  from the tip.  
 89. 3.75 min  
 91. (a)  $\frac{M}{1+a}$  (b)  $\frac{1+a}{2+a}L$   
 (c)  $M$  and  $\frac{1}{2}L$   
 93. 3 collisions, final speeds  $0.26v_0$  and  $0.31v_0$   
 95. b  
 97. a

**Chapter 10**

11. (a)  $7.27 \times 10^{-5}$  s $^{-1}$  (b)  $1.75 \times 10^{-3}$  s $^{-1}$   
 (c)  $1.45 \times 10^{-4}$  s $^{-1}$  (d)  $31.4$  s $^{-1}$   
 13. (a) 75 rad/s (b)  $2.4 \times 10^{-4}$  rad/s  
 (c)  $6 \times 10^3$  rad/s (d)  $2 \times 10^{-7}$  rad/s  
 15. (a) 0.068 rpm/s (b)  $7.1 \times 10^{-3}$  s $^{-2}$   
 17. (a) 0.16 rev (b) 0.07 rad/s

19. Disc brake torque is about 30% greater (400 vs 300 N·m).  
 21.  $7.9 \times 10^{-2}$  N·m  
 23. (a)  $2mL^2$  (b)  $ml^2$   
 25.  $1.1 \times 10^{-3}$  kg·m $^2$  (b)  $3.6 \times 10^{-3}$  N·m  
 27. (a)  $10^{38}$  kg·m $^2$ ; (b)  $3 \times 10^{19}$  N·m  
 29. 20 min  
 31.  $\sim 10^4$  years  
 33. (a)  $1.6 \times 10^8$  J (b) 16 MW  
 35. 1/3  
 37. (a)  $14.3$  g·m $^2$ ; (b) low by 0.064%  
 39. 127 Mg·m $^2$   
 41. 1.84 m/s  
 43. (a) 11.9 m/s; (b) in between  
 45. (a) 6.9 rad/s (b) 3.7 s  
 47. (a)  $170$  s $^{-2}$  (b) 2.9 m/s $^2$   
 (c) 150 revolutions  
 49. 570 rev  
 51.  $5MR^2/8$   
 53. 33 pN  
 55. (a) 7.2 h (b) 1900 rev  
 57. 0.36  
 59.  $\pm 2.1$  rad/s  
 61.  $v = \sqrt{\frac{6}{5}gd \sin \theta}$   
 63. 17%  
 65. 0.494 MR $^2$   
 67. 33 m  
 69. (a)  $M = \frac{2\pi\rho_0 w R^2}{3}$  (b)  $I = 3MR^2/5$   
 71.  $MR^2/4$   
 73.  $3MR^2/10$   
 75.  $\left(m + \frac{M}{2}\right)va + mgv$   
 77. The specs are incorrect. The storage capacity is 3 MJ below what's claimed.  
 79.  $4.91 \times 10^{-5}$  kg·m $^2$   
 81. a  
 83. b

**Chapter 11**

11.  $\vec{v} = 63$  s $^{-1}$  west  
 13. (a)  $0.524$  s $^{-2}$  (b)  $-37^\circ$   
 15. (a)  $-12\hat{k}$  N·m (b)  $36\hat{k}$  N·m  
 (c)  $12\hat{i} - 36\hat{j}$  N·m  
 17. 3.1 N·m, out of the page  
 19.  $\sim 10^{56}$  kg·m $^2$ /s  
 21. 2.3 J·s along axis  
 23. 17.4 rpm  
 25. 2.5 days  
 27.  $6.79 \times 10^6$  kg·m $^2$ /s  
 29. (a) 0.288 kg·m $^2$ /s; (b) down  
 31. 3.57 min  
 33. 4.88 rev/s  
 35.  $-9.0\hat{k}$  N·m  
 37. 1600 N·m  
 39.  $mva/6$   
 41.  $2.66 \times 10^5$  J·s, out of plane of figure  
 43.  $3.1 \times 10^{-16}$  J·s  
 45. 0.21 kg·m $^2$

47. 63%  
 49. 5.5 m/s  
 53. (a) 0.25 rad/s (b) 6.4 kJ  
 55. (a)  $d\omega \left( \frac{1}{2} - I/2md^2 \right)$  (b)  $d\omega$   
 (c)  $d\omega(2 + I/2md^2)$   
 57. Area increases by factor of 1.61; length of day increases by factor of 2.22  
 59. (a)  $2\omega_0/7$  (b)  $t = \frac{2R\omega_0}{\mu_k g}$   
 63.  $9.2 \times 10^{26}$  N·m  
 65. d  
 67. d

**Chapter 12**

13. (a)  $\tau = mgL/2$  (b)  $\tau = 0$   
 (c)  $\tau = mgL/2$   
 15. 16 m relative to the wall  
 17. (a) 0.61 m from left end  
 (b) 1.42 m from left end  
 19. 480 N  
 21.  $-0.797$  m, unstable;  $1.46$  m, stable  
 23. 3.00 m  
 25. no; the climber can only get 90% of the way across the log  
 27. (a)  $18$  aJ/nm $^2$  (b) yes  
 29. (b) stable in x, unstable in y  
 31. (a) 40 N·m (b) 1.3 kN  
 33. 500 N  
 35. 79 kg  
 37. 1.4 W  
 41.  $\frac{1}{2}Mg(\sqrt{L^2 + D^2} - L)$   
 43.  $\tan^{-1}(L/W)$   
 45. (a)  $\frac{mg}{2}[L \sin \theta - W(1 - \cos \theta)]$   
 (b)  $\tan^{-1}(L/W)$   
 (c) concave down, unstable  
 47.  $\phi = \tan^{-1}(2/5\mu)$   
 49. 0.366 mgs  
 53.  $F_{app} = Mg \tan(\theta/2)$   
 55.  $\mu_s < \tan \alpha = 1/2$   
 59.  $\mu \geq \frac{\tan \theta}{2 + \tan^2 \theta}$   
 61. 840 N  
 63. 170 N  
 65. (a)  $F = G \frac{M_E m}{R_E^2}$  (1.229), 21.3°  
 (b)  $\tau = G \frac{M_E m}{R_E} (-0.0356)$   
 67. stable equilibrium at  $\sim 6$  nm and  $\sim 14$  nm, unstable equilibrium at  $\sim 11$  nm  
 69. a  
 71. b

**Chapter 13**

11.  $T = 0.88$  s,  $f = 1.1$  Hz  
 13.  $11.5$  fs ( $1.15 \times 10^{-14}$  s)  
 15. 22 ms  
 17. 0.59 Hz; 1.7 s  
 19. (a) 19 rad/s; (b) 0.33 s; (c) 92 m/s $^2$

## A-20 Answers to Odd-Numbered Problems

21. 1.21 s  
 23. 1.6 s  
 25. 7 oscillations in  $x$  direction for  
 4 oscillations in the  $y$  direction  
 27.  $\pm 1.7$  rad,  $\pm 15$  rad/s  
 29. 0.25 s  
 31. 65 km/h  
 33. 238 Mg  
 35. 6.78 s  
 37. (a) 49.1 cm; (b) 1.17 m/s  
 39. (a)  $mg^2T^2(1 - \cos\theta_{\max})/4\pi^2$   
 (b)  $gT\sin(\theta_{\max}/2)/\pi$ ; equivalently,  
 $(gT/\pi)\sqrt{(1 - \cos\theta_{\max})/2}$   
 41. 0.147%  
 43. (a)  $t = \pi\sqrt{m/k}$  (b)  $A = v_0\sqrt{m/k}$   
 45. 50 min  
 47. (a) 67  $\mu\text{N/m}$  (b)  $3.4 \times 10^{-10}$  kg  
 51. 821 kg  
 53. (a)  $|\vec{r}| = A$   
 (b)  $\vec{v} = (\omega A \cos\omega t)\hat{i} - (\omega A \sin\omega t)\hat{j}$   
 (c)  $|\vec{v}| = \omega A$  (d)  $\omega$   
 55.  $(\pi/3)\sqrt{58D/g}$   
 57. (a) 7.9 N/m (b) 0.80 kg  
 63.  $\omega = \sqrt{2k/3M}$   
 65. 34  
 67. (a) 6.5 cm (b) 0.51 s  
 69.  $f = \frac{1}{2\pi}\sqrt{2a/m}$   
 71. (a)  $E_1 = 4E_2$  (b)  $a_{\max,1} = 4a_{\max,2}$   
 75.  $T = 2\pi\sqrt{7/(10ga)}$   
 77.  $R/\sqrt{2}$  above the center  
 79. 1.13 Hz  
 81. 65 g  
 83.  $1.39 \text{ kg} \cdot \text{m}^2$   
 85. (a)  $d^2\theta/dt^2 = -(mgL/I)\sin\theta = -(gL)\sin\theta$   
 (b) Case (i) gives simple harmonic motion; case (ii) gives oscillatory motion that is not simple harmonic; case (iii) gives nonuniform circular motion.  
 87. a  
 89. d

## Chapter 14

11. 3.4 s  
 13. 3.35 m  
 15. (a) 0.19 mm (b) 0.43 mm  
 17. (a) 1.3 cm (b) 9.1 cm (c) 0.20 s  
 (d) 45 cm/s (e)  $-x$  direction  
 19.  $y(x,t) = (1.5 \text{ cm})\cos[(0.785 \text{ cm}^{-1})x - (0.604 \text{ s}^{-1})t]$   
 21. 250 m/s  
 23. 30 m/s  
 25. 9.9 W  
 27. 343 m/s  
 29. 269 m/s  
 31. 940 Hz  
 33. 5.4 m  
 35. (a) 280 Hz (b) 70 Hz (c) 210 Hz  
 37. 14 cm  
 39. 93 Hz  
 41. Galaxy receding  
 43. 7.08 s

45. (a) 102 m; (b)  $\lambda_{\text{water}} = 4.32\lambda_{\text{air}}$   
 47. 381 Hz  
 49. shorter by 0.142 nm  
 51. 77.9 m/s  
 53.  $1.0 \times 10^2 \text{ W}$   
 57.  $v = \sqrt{\frac{kL(L - L_0)}{m}}$   
 59. 10 m  
 61.  $L_0 = 5L_1/7$   
 63. 440 mph  
 67. 6.3 m  
 73. 7.3 km  
 75. (a) southern hemisphere;  
 (b) about  $45^\circ$  (using great-circle distance  
 of  $\sim 3000$  km; waves' straight-line path  
 through Earth would be slightly shorter)  
 77. radar worked properly  
 79. Not sufficient: The minimum measurable speed is 5.4 km/h.  
 81. 256 Hz  
 83. b  
 85. c

## Chapter 15

11. 1.2 kg  
 13. (a)  $180 \text{ kg/m}^3$  (b)  $7.3 \text{ m}^3$   
 15. 200 GPa  
 17.  $1.7 \times 10^3 \text{ kg/m}^3$   
 19. 92 m  
 21. 2.4%  
 23. 46 kg  
 25. 0.75%  
 27. 2.8 m/s  
 29. (a)  $1.8 \times 10^4 \text{ m}^3/\text{s}$  (b) 1.5 m/s  
 31. 1.8 m/s  
 33.  $m_{\text{ice}} = 124,000 \text{ t}$ ;  $m_{\text{gravel}} = 14,000 \text{ t}$   
 35. 2.25 m  
 37. 1.52 m  
 39. 278 kPa (2.74 atm)  
 41.  $830 \text{ cm}^2$   
 43. (a) 620 Pa (b) 1.2 kPa  
 45. 3.6 mm  
 47. (a) 798 N; (b) 2.16 mm;  
 (c) 7.03 J for both  
 49. The accused apparently drank 51 oz.  
 51. 27 m  
 53. (a) 49 kg (b) 2500 kg  
 55. 14 kPa  
 57. 14 m  
 59. (a) 1.5 m/s (b) 0.47 L/s  
 61. 70%  
 63. 6.89 m  
 65. (a) 603 Pa (b) 11.0 km  
 67. 15 kg  
 69. Yes, the wind farm could produce 1 GW  
 of power.  
 71.  $t = \frac{A_0}{A_1}\sqrt{\frac{2h}{g}}$   
 73. (b) 5.8 km  
 75.  $2.1 \times 10^{12} \text{ N} \cdot \text{m}$   
 77. Yes  
 79.  $\rho_{\text{H}_2\text{O}}L \tan\frac{\theta}{2}(h_0^2 - h_1^2)$

81. c  
 83. e

## Chapter 16

11.  $5.4^\circ \text{ F}$  to  $7.6^\circ \text{ F}$   
 13.  $20^\circ \text{ C}$   
 15.  $-40^\circ \text{ C} = -40^\circ \text{ F}$   
 17.  $102.4^\circ \text{ F}$   
 19. 32 kJ  
 21. 100 W  
 23. (a)  $1.7 \times 10^5 \text{ J}$  (b) 84 s  
 25. (a)  $110 \text{ W/m}^2$  (b)  $29 \text{ W/m}^2$   
 27. 4 W  
 29.  $R_{\text{air}} = 0.98 \text{ m}^2 \cdot \text{K/W}$ ,  
 $R_{\text{concrete}} = 0.03 \text{ m}^2 \cdot \text{K/W}$ ,  
 $R_{\text{fiberglass}} = 0.60 \text{ m}^2 \cdot \text{K/W}$ ,  
 $R_{\text{glass}} = 0.03 \text{ m}^2 \cdot \text{K/W}$ ,  
 $R_{\text{Styrofoam}} = 0.88 \text{ m}^2 \cdot \text{K/W}$ ,  
 $R_{\text{pine}} = 0.23 \text{ m}^2 \cdot \text{K/W}$   
 31. 2.2 kW  
 33.  $2 \times 10^{-5} \text{ m}^2$   
 35.  $24.1^\circ \text{ C}$   
 37.  $2.0^\circ \text{ F}$   
 39.  $59.3^\circ \text{ F}$   
 41. 203 K  
 43. (a) 138 kPa (b) 33.4 kPa (c) 233 kPa  
 45.  $263\text{K} = -10^\circ \text{ C}$   
 47. 364 g  
 49. (a) 23.2 kJ (b) 337 kJ (c) 65.2 kJ  
 51. 138 s  
 53. 0.56 kg  
 55. 1.8 kg  
 57. 9.2 K  
 59. 0.20 kg  
 61.  $2.0 \times 10^2 \text{ W}$   
 63. The house will remain at a comfortable  
 $19^\circ \text{ C}$   
 65. (a)  $\sqrt[3]{k/\sigma\Delta x}$  (b) 217 K  
 67.  $24^\circ \text{ C}$   
 69. 1200 K  
 71. (a) \$319/\text{month} (b) \$37.58/\text{month}  
 73. 44 K  
 75. 418.76 kJ, 0.09% higher  
 77. Mars: 207 K vs.  $\sim 210$  K measured;  
 Venus: 301 K vs.  $\sim 740$  K measured  
 79. The solar increase accounts for only 4%  
 of recent warming.  
 81.  $-19^\circ \text{ C}$   
 83. c  
 85. a

## Chapter 17

11.  $1.8 \text{ m}^3$   
 13.  $1.8 \times 10^6 \text{ Pa}$   
 15. (a) 27 L (b) 330 K  
 17. hydrogen  
 19. lead  
 21. 146 kJ  
 23. 0.987 L  
 25.  $263^\circ \text{ C}$   
 27. 62.7 L  
 29. 5.2 L  
 31. all liquid water at  $8.8^\circ \text{ C}$

33.  $0^\circ\text{C}$ , with  $3.53 \times 10^6 \text{ kg}$  of ice left  
 35.  $1 \times 10^{15} \text{ m}^{-3}$ , which is over  
     10 billion times less dense than  
     Earth's atmosphere  
 37. (a) 235 mol (b)  $5.65 \text{ m}^3$   
 39. (a) 1.27 atm (b) 0.980 mol (c) 0.786 atm  
 41. 27.6 min  
 43. 79.3 s  
 45. 43.9 min  
 47. ISM  
 49. 14.8 hours  
 51.  $4.9^\circ\text{C}$   
 53. 19 kW  
 55. (a) yes (b) no  
 57. 251 K  
 59. 307 K  
 61.  $d = \frac{L_0}{2} \sqrt{2\alpha\Delta T + \alpha^2\Delta T^2}$   
 63. (a) 61 h (b) 52 h  
 65.  $3.97^\circ\text{C}$   
 67. 25.0238 mL  
 71. (a)  $y^2 = \frac{1}{4}(L_0^2 - d^2) + \frac{1}{2}L_0^2 \alpha\Delta T$   
     (b)  $\alpha = 2.35 \times 10^{-5}/\text{C}^\circ$ ,  $d = 80.00 \text{ cm}$   
     (c) aluminium  
 75. (a) 244 K (b) 247 K  
 77. c  
 79. c

## Chapter 18

11. 29.3 kJ  
 13. 250 J  
 15.  $-14 \text{ kW}$   
 17.  $2p_1V_1$   
 19. (a)  $4/3$  (b) 220 J  
 21. 0.177  
 23. 2.1 MJ  
 25. 57.7%  
 27. (a) 200 K (b) 120 K  
 29. 1.22 J  
 31. (a) 28.9 kPa; (b) 13.0 cm  
 33. 165 kPa  
 35. 136 kPa  
 37. 380 W  
 39. (a) 1.49 mm (b)  $10.7 \mu\text{J}$   
 41. 1.35  
 43. (a) 300 kPa (b) 240 J  
 45.  $440^\circ\text{C}$   
 47. (a) 886 K; (b)  $4.25 \text{ MPa} = 42.0 \text{ atm}$   
 49. 354  
 51. (a) 255 K, 1.75 kJ (b) 279 K  
     (c) 272 K, 500 J  
 53. (a) 40 kPa (b) 83 kPa (c) 80 kJ  
 55. 930 J  
 57. The temperature rises  $75^\circ\text{C}$ , missing the  
     criteria.  
 59. 1.17 kJ  
 61. 330 K  
 63. (a) 202 J (b) 500 J transferred out of the gas  
 65. 20 mol  
 67. 140 atm  
 73. 189 J  
 75.  $4p_1V_1/3$

77. 154,000  
 79. 18%  
 81. a  
 83. c

## Chapter 19

11. (a) 26.8% (b) 7.05% (c) 77.0%  
 13. 0.948 K  
 15. 9.10  
 17. No  
 19. 8.8 kJ/K  
 21. 21.9 kg  
 23. (a)  $1/64$  (b)  $5/16$   
 25. (a) 90.1 kW (b) 57.3%  
     (c) 289 K =  $16^\circ\text{C}$   
 27. 6.7%  
 29. 122 L  
 31. 16.08 L  
 33. 52.1% (winter), 47.7% (summer)  
 35. (a) 1.75 GW (b) 43.0% (c)  $232^\circ\text{C}$   
 37.  $2 \times 10^{11} \text{ kg/s}$   
 39. (a) 4.83; (b) 1.42 tonnes (1420 kg)  
 41. \$68  
 43. 2.78  
 45. (a) 6.91 kW; (b) \$22.83/day for gas,  
     \$18.91/day for electricity; (c) 46.6%  
 47. (a) 17.4% (b) 83.3%  
 49. 140 MJ/K  
 53. (a) 86.0 J/K (b) 120 J/K (c) 0  
 55. 0  
 57. 160 J/K  
 59. 12.1 kJ/K  
 61.  $1 - r^{1-\gamma}$   
 63. 61%  
 65.  $C_0(1 - T_0/T_1)$   
 67.  $W = CT_h(\ln x - 1 + 1/x)$   
 71. 36.2 J/K  
 73. 62%  
 75. c  
 77. c

## Chapter 20

11. 3 C, or about 0.05 C/kg  
 13. (a) *uud* (b) *udd*  
 15.  $1.1 \times 10^9$   
 17. 5.1 m  
 19. (a)  $\hat{j}$  (b)  $-\hat{i}$  (c)  $0.316\hat{i} + 0.949\hat{j}$   
 21.  $3.8 \times 10^9 \text{ N/C}$   
 23. (a)  $2.2 \times 10^6 \text{ N/C}$  (b) 77 N  
 25.  $-1.67 \text{ pN}$   
 27. (a) 26 MN/C, to the left;  
     (b) 5.2 MN/C, to the right;  
     (c) 58 MN/C, to the right  
 29. 1.1 kN/C  
 31.  $E = kQ/(\sqrt{8}a^2)$   
 33.  $5.1 \times 10^4 \text{ N/C}$   
 35. 980 N/C  
 37. (a) 264 nN; (b) no  
 39.  $F_{\max} = 4kqQ/3\sqrt{3}a^2$   
 41.  $-661 \text{ nC}$   
 43. (a)  $\vec{E}(x) = [4kQ/(4x^2 - L^2)]\hat{i}$   
     (b)  $\vec{E}(x \gg L) \rightarrow [kQ/x^2]\hat{i}$   
 45.  $-0.18\hat{i} + 0.64\hat{j}\text{nN}$

47.  $4q$   
 49. (a)  $20 \mu\text{C}$  (b)  $1.6 \text{ N}$   
 51.  $-4e$   
 53. (a)  $8.0\hat{j} \text{ GN/C}$  (b)  $190\hat{j} \text{ MN/C}$   
     (c)  $220\hat{j} \text{ kN/C}$   
 55. 0  
 57.  $q_1 = \pm 40 \mu\text{C}$ ,  $q_2 = \mp 6.9 \mu\text{C}$   
 59.  $q = -Q/4$   
 61.  $-14 \mu\text{C/m}$   
 63. The device doesn't work because its two  
     halves depend on charge-to-mass ratio in  
     the same way.  
 65.  $3.64 \times 10^{-26} \text{ J}$   
 67.  $0.4e, 0.03e$   
 69. (a)  $\vec{E}(x) = 2kqa^2 \frac{(3x^2 - a^2)}{x^2(x^2 - a)^2} \hat{i}$   
     (b)  $\vec{E}(x) \approx \frac{6kqa^2}{x^4} \hat{i}$   
 71. (a)  $2.5 \mu\text{C/m}$  (b)  $300 \text{ kN/C}$   
     (c)  $1.8 \text{ N/C}$   
 73. (a)  $dq = 2\pi\sigma r dr$   
     (b)  $dE_x = \frac{2\pi k\sigma xr}{(x^2 + r^2)^{3/2}} dr$   
 77.  $\vec{E} = \frac{kQ}{L} \left[ \left( \frac{1}{\sqrt{(x - \frac{L}{2})^2 + y^2}} \right. \right.$   
      $\left. \left. - \frac{1}{\sqrt{(x + \frac{L}{2})^2 + y^2}} \right) \hat{i} \right. \\ \left. + \left( \frac{x + \frac{L}{2}}{y\sqrt{(x + \frac{L}{2})^2 + y^2}} \right. \right. \\ \left. \left. - \frac{x - \frac{L}{2}}{y\sqrt{(x - \frac{L}{2})^2 + y^2}} \right) \hat{j} \right]$   
 79.  $mdv^2/qL^2$   
 81. a  
 83. a

## Chapter 21

11.  $3 \mu\text{C}$   
 13.  $Q_C = 2Q = -Q_B$   
 15.  $\pm 1.5 \text{ kN} \cdot \text{m}^2/\text{C}$   
 17.  $69 \text{ N} \cdot \text{m}^2/\text{C}$   
 19. (a)  $-q/\epsilon_0$  (b)  $-2q/\epsilon_0$  (c) 0 (d) 0  
 21.  $49 \text{ kN} \cdot \text{m}^2/\text{C}$   
 23. (a)  $1.2 \text{ MN/C}$  (b)  $2.0 \text{ MN/C}$   
     (c)  $50 \times 10^4 \text{ N/C}$   
 25. Line symmetry  
 27.  $49 \times 10^3 \text{ N/C}$   
 29. (a)  $5.1 \times 10^6 \text{ N/C}$  (b)  $34 \text{ N/C}$   
 31. (a)  $2.0 \times 10^6 \text{ N/C}$  (b)  $7.2 \times 10^3 \text{ N/C}$   
 33. (a) 0 (b)  $4.0 \times 10^{-3} \text{ C/m}^2$   
 35.  $1.8 \text{ MN/C}$   
 37. (a)  $q = -732 \text{ nC}$ ; (b)  $Q = 17.6 \mu\text{C}$   
     (c)  $E = 6.75 \text{ MN/C}$ , radially outward  
 39. (a)  $\lambda_1 = -4.88 \mu\text{C/m}$ ;  
     (b)  $\lambda_2 = 40.3 \mu\text{C/m}$ ;  
     (c)  $E = 4.25 \text{ MN/C}$ , radially outward  
 41.  $7.31 \mu\text{C}$   
 43. (a)  $281 \text{ nC}$ ; (b)  $187 \text{ kN/C}$   
 45.  $\pm E_0 a^2/2$

47.  $7.0 \text{ MN/C}$ ;  $17 \text{ MN/C}$   
 49. (a)  $2.8 \text{ cm}$  (b)  $3.5 \text{ nC}$   
 51. (a)  $176 \mu\text{C}$ ; (b)  $1.21 \text{ m}$  on each side  
 53. (a)  $3.6\hat{x} \text{ MN/C}$  (b)  $3.8\hat{x} \text{ MN/C}$   
     (c)  $7.8\hat{x} \text{ MN/C}$   
 55. (a)  $20\hat{x} \text{ kN/C}$  (b)  $1.7\hat{x} \text{ kN/C}$   
 57. (a)  $1.34 \mu\text{C}$ ; (b)  $1.26 \text{ MN/C}$   
 59. (a)  $\rho x/\epsilon_0$  (b)  $\rho d/2\epsilon_0$ ; away from the center plane of slab if  $\rho > 0$ , toward center plane if  $\rho < 0$   
 61.  $18 \text{ N/C}$   
 63. (b)  $-Q$   
 67. (a)  $Q = \pi\rho_0 a^3$  (b)  $E(r) = \rho_0 r^2/(4\epsilon_0 a)$   
 69.  $a = 5\rho_0/(3R^2)$   
 71.  $n = 3$   
 73.  $\frac{\rho_0 r^2}{3\epsilon_0 R}$   
 75.  $E_{\text{in}} = \frac{\rho_0 x^2}{2\epsilon_0 d}, E_{\text{out}} = \frac{\rho_0 d}{8\epsilon_0}$   
 77. c  
 79. d

## Chapter 22

11.  $600 \mu\text{J}$   
 13.  $3.0 \text{ kV}$   
 15.  $910 \text{ V}$   
 17. Proton, ionized He atom:  $1.6 \times 10^{-17} \text{ J}$ , proton:  $3.2 \times 10^{-17} \text{ J}$   
 19.  $-E_0 y$   
 21.  $53 \text{ nC}$   
 23. (a)  $440 \text{ kV}$ ,  $9.2 \times 10^6 \text{ m/s}$   
 27. (a)  $4 \text{ V}$   
     (b)  $E_x = 1 \text{ V/m}$ ,  $E_y = -12 \text{ V/m}$ ,  
      $E_z = 3 \text{ V/m}$   
 29.  $3 \text{ kV}$   
 31.  $7.20 \text{ kV}$   
 35.  $319 \text{ kN/C}$   
 39.  $5.6 \text{ kV/m}$   
 41.  $4.5 \text{ V}$   
 45.  $6.1 \mu\text{C}$   
 47.  $\sqrt{2k\epsilon_0 Q}/(mR)$   
 49.  $2V_{2R}$   
 51.  $-ax^2/2$   
 53.  $-52 \text{ nC/m}$   
 55.  $-a/2, a/4$   
 57. (a)  $2.6 \text{ kV}$  (b)  $1.8 \text{ kV}$  (c) 0  
 59.  $V = 2kQ/R$   
 65.  $(V/R)\hat{r}$   
 67. (a)  $43 \text{ kV}$  (b)  $1.7 \text{ MN/C}$  (c)  $540 \text{ V}$  (d) 0  
 69.  $-E_0 R/3$   
 71. (a)  $6.19 \text{ kV}$ ; (b)  $-221 \text{ nC}$   
 73.  $14 \text{ cm}$ ,  $1.7 \text{ nC}$   
 75.  $0.12 \text{ J}$   
 77.  $\omega = 232 \text{ nC/m}^2, q = 3.75 \text{ nC}$ ,  
      $r = 7.18 \text{ cm}$

79. (a)  $\pi k\sigma_0 a [\sqrt{1 + (x/a)^2} - (x/a)]$   
      $\ln(a/x + \sqrt{1 + (a/x)^2})]$   
 81.  $-\frac{k\lambda_0}{L^2} [Lx + x^2 \ln(\frac{2x-L}{2x+L})]$   
 83.  $8.0 \text{ mm}$   
 85. d  
 87. b

## Chapter 23

11.  $4.4 \text{ kJ}$   
 13.  $-48.5 \text{ eV}$   
 15. (a)  $1.4 \text{ J}$  (b)  $4.2 \text{ J}$   
 17.  $22 \text{ nF}$   
 19.  $740 \text{ pF}$   
 21.  $39 \text{ J}$   
 23. (a)  $1.20 \mu\text{F}$   
     (b)  $Q_1 = 14.4 \mu\text{C}$ ,  $Q_2 = 4.80 \mu\text{C}$ ,  
      $Q_3 = 9.60 \mu\text{C}$   
     (c)  $V_1 = 7.2 \text{ V}$ ,  $V_2 = V_3 = 4.8 \text{ V}$   
 25.  $8.2 \times 10^5 \text{ V/m}$   
 27. No

29.  $3.4 \mu\text{F}$   
 31.  $1.4 \mu\text{F}$   
 33.  $kQ^2/2R$   
 37.  $Q_y = 4Q_0/(\sqrt{2} + 1) \approx 1.66Q_0$   
 39.  $2.8 \mu\text{C}$

41. The  $1.0 \mu\text{f}$ ,  $250 \text{ V}$  capacitor can store more energy.  
 43. (a)  $4.4 \text{ kV}$  (b)  $120 \text{ kW}$   
 45.  $129 \text{ F}$

49. (a)  $4.1 \text{ nF}$  (b)  $1.3 \text{ kV}$

51.  $2.7 \text{ nm}$

53.  $24 \mu\text{J}$   
 55.  $U = kQ^2/(2R)$

57.  $6.0 \times 10^{-4} \text{ J}$

59.  $13 \text{ min}$

61.  $C = \frac{4\pi\epsilon_0 ab}{b-a}$

63.  $\frac{1}{6}$

65. (b)  $\frac{C_0 V_0^2}{2} \left( \frac{\kappa x + L - x}{L} \right)$  (c)  $\frac{C_0 V_0^2 (\kappa - 1)}{2L}$

67.  $\frac{\pi\rho^2 R^4}{8\epsilon_0}$

69. (b)  $4.3 \mu\text{F}$

71. a

73. c

## Chapter 24

11.  $9.4 \times 10^{18}$   
 13.  $1.9 \times 10^{11}$   
 15.  $3.2 \times 10^6 \text{ A/m}^2$   
 17.  $6.8 \text{ cm}$   
 19. (a)  $5.95 \times 10^7 (\Omega \cdot \text{m})^{-1}$   
     (b)  $4.55 (\Omega \cdot \text{m})^{-1}$

21.  $360 \text{ V}$

23.  $32 \text{ m}\Omega$

25.  $4R$

27. (a)  $6.0 \text{ V}$  (b)  $8.0 \text{ }\Omega$

29.  $230 \text{ V}$

31.  $300 \text{ }\Omega$

33. (a)  $0.12 \text{ mA}$  (b) no

35. (a)  $1.6 \text{ mm}$ ; (b)  $0.20 \text{ V/m}$

37. (a)  $2.24 \times 10^4 \text{ m}$ ; (b)  $19.1 \text{ pV/m}$

39. (a) aluminum; (b)  $2.00 \text{ A}$  if copper

41. (a)  $2 \times 10^3 \text{ }\Omega \cdot \text{m}$ ; (b) silicon

43. (a)  $420 \text{ A/mm}^2$  (b)  $0.24 \text{ A/mm}^2$

45. greater in Cu by factors of (a) 7.6; (b) 4

47.  $9.7 \mu\text{C}$

49. (a)  $5.8 \text{ MA/m}^2$  (b)  $97 \text{ mV/m}$

## Chapter 25

51. Ge  
 53.  $50 \text{ ft}$   
 55.  $948 \text{ n}\Omega$ ,  $8.20 \text{ m}\Omega$ ,  $15.3 \text{ m}\Omega$   
 57. (a) 81 miles (b)  $7.3 \text{ h}$  at  $3.3 \text{ kW}$ ,  $3.6 \text{ h}$  at  $6.6 \text{ kW}$ , 33 min at  $44 \text{ kW}$  (c)  $203 \text{ A}$   
 59. (a)  $2.5 \text{ TW}$ ; (b) the two are essentially equal  
 61.  $2.8 \text{ min}$   
 63.  $d_1 = \sqrt{2}d_2$   
 65.  $0.63 \text{ A}$   
 67. Aluminum, at  $\$3.19/\text{m}$ , is more economical than copper at  $\$20.51/\text{m}$ .  
 69.  $2.5 \text{ A}$   
 73.  $19^\circ$   
 75. a  
 77. c

## Chapter 25

15.  $1.4 \text{ h}$   
 17.  $43 \text{ k}\Omega$   
 19.  $10.5 \text{ V}$   
 21.  $50 \text{ }\Omega$   
 23.  $I_1 = 2\text{A}$ ,  $I_2 = 0.2\text{A}$ ,  $I_3 = 2\text{A}$   
 25. 0 A  
 27.  $-0.66 \%$   
 33.  $\epsilon R_2/(R_1 + R_2)$   
 35.  $3.2 \text{ V}$   
 37.  $40 \text{ mW}$   
 39.  $1.5 \text{ k}\Omega$   
 41.  $-2\Delta t/R \ln(1 - f)$   
 43.  $1.5 \text{ mA}$   
 45.  $30 \text{ A}$   
 47. (a)  $2.9 \text{ A}$ , (b)  $0.52 \text{ A}$   
 49.  $2.4 \text{ W}$   
 51.  $I_1 = 2.8 \text{ A}$ ,  $I_2 = 2.4 \text{ A}$ ,  $I_3 = 0.43 \text{ A}$   
 53. (a)  $48 \text{ V}$  (b)  $57 \text{ V}$  (c)  $60 \text{ V}$   
 55. (a)  $18.25 \text{ }\Omega$ ; (b)  $18.07 \text{ }\Omega$ ; (c) high by 1%  
 57.  $360 \mu\text{F}$ ;  $1200 \text{ V}$   
 59.  $3.4 \mu\text{J}$   
 61. a.  $V_C = 0, I_1 = 25 \text{ mA}, I_2 = 0$   
     b.  $V_C = 60 \text{ V}, I_1 = I_2 = 10 \text{ mA}$   
     c.  $V_C = 60 \text{ V}, I_1 = 0, I_2 = 10 \text{ mA}$   
     d.  $V_C = 0, I_1 = I_2 = 0$   
 63. (a)  $5.015 \text{ V}$  (b)  $66.53 \text{ }\Omega$   
 65.  $1.07 \text{ A}$ , left to right  
 67.  $2.15 \mu\text{F}$   
 69.  $80 \mu\text{s}$   
 71.  $8 \text{ }\Omega$ ;  $89 \text{ W}$   
 73. (a)  $R_1$  (b)  $R_1$  (c)  $R_1$   
 77. (a)  $9 \text{ V}$  (b)  $1.5 \text{ ms}$  (c)  $0.3 \mu\text{F}$   
 79.  $220 \text{ mV}$   
 81.  $\tau = \frac{R_1 R_2 C}{R_1 + R_2}$   
 83. (a)  $3\epsilon/4R$ ; (b)  $2\epsilon/3R$   
 85. a  
 87. b

## Chapter 26

11. (a)  $16 \text{ G}$  (b)  $23 \text{ G}$   
 13. (a)  $2.0 \times 10^{-14} \text{ N}$  (b)  $1.0 \times 10^{-14} \text{ N}$   
     (c) 0  
 15.  $400 \text{ km/s}$

17. 360 ns  
 19. (a) 87.6 mT (b) 1.25 keV  
 21. 0.373 N  
 23. 5.00 A  
 25. (a) 9.85 cm (b) 14.8  $\mu\text{T}$   
 27. 1.2 mT  
 29. 5 mN/m  
 31. (a)  $4.05 \times 10^{-2} \text{ A} \cdot \text{m}^2$  (b)  
 $7.78 \times 10^{-2} \text{ N} \cdot \text{m}$   
 33. 7.0 A  
 35. (a) 0.569 mT (b) 3.90 mT (c) 2.85 mT  
 37. 17 T  
 39. yes; arsenic appears at 41.1 cm  
 41.  $0.119 \text{ T} \leq B \leq 0.257 \text{ T}$   
 43. 1.4 kA  
 45. s(a) 2.39 A; (b) 522  $\mu\text{T}$ ; 442 kA/m<sup>2</sup>  
 47.  $2.3 \times 10^{27} \text{ A} \cdot \text{m}^2$   
 49. 3.8 GA  
 53. (a) 71  $\mu\text{m}$  (b) 440  $\mu\text{m}$   
 55. 0.53 A  
 57.  $8.5 \times 10^{22} \text{ cm}^{-3}$   
 59. 0.021 N, 45° above horizontal  
 61.  $(1 + \pi) \frac{\mu_0 I}{2\pi a}$ , out of page  
 63.  $\frac{\mu_0 I}{2\pi a}$ , into page  
 65. 16  $\mu\text{N}$ , toward long wire  
 67. (a) 0 (b)  $B = \mu_0 I/(2\pi r)$   
 71.  $3.67 \times 10^{-26} \text{ N}\cdot\text{m}$   
 73.  $\frac{\mu_0 J_s x}{d}$   
 75. (a)  $B \approx \frac{\mu_0 I}{2w}$  (b)  $B \approx \frac{\mu_0 I}{2\pi r}$   
 77. (a)  $\pi R^2 J_0/3$  (b)  $B = \frac{\mu_0 J_0 R^2}{6r}$   
 (c)  $B = \frac{\mu_0 J_0 r}{2} \left(1 - \frac{2r}{3R}\right)$   
 79. Since  $\tau \propto 1/N$ , more torque from a  
 1-turn loop.  
 81.  $\frac{\mu_0 I^2}{2\pi w} \ln\left(\frac{a+w}{a}\right)$   
 83.  $\mu_0 nI/\sqrt{l^2 + 4a^2}$   
 85. No; the force between each meter of the  
 two conductors is 150 N.  
 87. The hall potential is 10,000 times smaller  
 than bioelectric potentials.  
 89. d  
 91. d

**Chapter 27**

11.  $1.2 \times 10^{-4} \text{ Wb}$   
 13. 160 T/s  
 15. 6.5 mH  
 17. 42 kV  
 19. 330 mH  
 21. 3.1 kJ  
 23. 22.6  $\mu\text{J}$   
 25. 800 MJ/m<sup>3</sup>  
 27. 1.1 T/ms  
 29. 43.7 mA  
 31. (a)  $E/R$ ; (b)  $E/Bl$ ; (c) 0  
 33. 16.9 ms

35. 31.7 s  
 37. (a)  $-0.30 \text{ A}$  (b)  $-0.20 \text{ A}$   
 39. 15 mT  
 41. (a) 3 s (b) clockwise  
 43. (a) 2.0 mA (b) 4.4 mA  
 45.  $-42 \text{ mA}$ , clockwise  
 47. 130  
 49. (a) 25 mA (b) 1.3 mN (c) 2.5 mW  
 (d) 2.5 mW  
 51. 58 T/ms  
 55. 0.76 s  
 57. 20 s  
 59. (a) 5  $\Omega$  (b) 500 J  
 61. (a) 1.0 A (b) 0.43 A (c)  $-1.7 \text{ A}$   
 63. 190 m $\Omega$   
 65.  $3.4 \times 10^{21} \text{ J/m}^3$   
 67.  $\frac{\mu_0 I^2}{16\pi}$   
 69.  $3.0 \times 10^8 \text{ m/s}$  (speed of light)  
 71. (a)  $-br/(2\rho)$  (b)  $\frac{\pi b^2 h a^4}{8\rho}$   
 73. 3.69  
 75. (a)  $I(t) = V(t)/R = (E - Blv(t))/R$   
 (b)  $F(t) = I(t)lB = lB(E - Blv(t))/R$   
 (c)  $F(t) = lB(E - Blv(t))/R = m \frac{dv(t)}{dt}$   
 77. (a)  $\frac{\mu_0 I^2}{4\pi} \ln(b/a)$   
 81. c  
 83. a

**Chapter 28**

11.  $V = (325 \text{ V}) \sin[(314 \text{ s}^{-1})t]$   
 13. (a)  $V(0) \approx V_p/\sqrt{2}$ , 45°  
 (b)  $V(0) = 0$ ,  $\phi_b = 0$   
 (c)  $V(0) = V_p$ ,  $\phi_c = 90^\circ$   
 (d)  $V(0) = 0$ ,  $\phi_d = \pm\pi$   
 (e)  $V(0) = -V_p$ ,  $\phi_e = -90^\circ$   
 15.  $I_{R,\text{rms}} = 13 \text{ mA}$ ,  $I_{C,\text{rms}} = 24 \text{ mA}$ ,  
 $I_{L,\text{rms}} = 22 \text{ mA}$   
 17. (a) 250 V (b) 15 V  
 19. 16 kHz  
 21. 8.1 H  
 23. (a) 32 mH (b) 1.0 V  
 25. 3.5 k $\Omega$   
 27. 5.0 mA  
 29. 390 mA  
 31. 1  
 33. (a) 6.71  $\mu\text{F}$ ; (b) 1.51 H  
 35. (a) 1.07 MHz (this is in the AM radio  
 band); (b) 3.24  $\mu\text{H}$   
 37. (a) 9.01  $\mu\text{F}$ ; (b) 16.0  $\Omega$   
 39. (a) 23.1 mH; (b) 12.0 mA rms  
 41. (a) 150 mA (b) 330 mA  
 45. (a) 53 nF (b) 350 Hz  
 47. 0.199  $\mu\text{H}$   
 49. (a)  $\frac{\pi}{3} \sqrt{LC}$ ; (b)  $\frac{\pi}{4} \sqrt{LC}$ ; (c)  $\frac{\pi}{2} \sqrt{LC}$   
 51. 50  
 53. 6.2  $\Omega$   
 55. (a) Above resonance; (b)  $\sim 50^\circ$   
 57. (a) 0.369 (b) 6.43 W

59. (a) 5.5% (b) 9.1%  
 61. 3.7 mF  
 63. 2.7 V  
 65. 1620 Hz  
 67.  $R = 134 \Omega$ ,  $L = 67.1 \text{ mH}$ ,  $C = 0.628 \mu\text{F}$   
 71. 910 Hz, 36 V  
 73. c  
 75. b

**Chapter 29**

11. 1.3 nA  
 13.  $-\hat{k}$   
 17. 11.2 km  
 19. 2.57 s  
 21.  $5.00 \times 10^6 \text{ m}$   
 23. x-direction  
 25. 12%  
 27.  $1 \times 10^6 \text{ W/m}^2$   
 29. The radio has a minimum intensity of  
 $0.27 \text{ nW/m}^2$ , so it will work at the cabin.  
 31. 20 kW

33. (a) 1.55  $\mu\text{m}$ ; (b) 98.4 kV/m;  
 (c) parallel to the z-axis  
 35. (a) 3.38 m; (b) 8.55 V/m; (c) vertical  
 37. 1.8 W  
 39. 43 nV/m  
 41. (a)  $7.2 \times 10^{11} \text{ V/m}\cdot\text{s}$ ; (b) increasing  
 43. 10 mT  
 45. 91%  
 47. 19%  
 49. 0.00004%

51. Quasar power is greater by factor  
 of  $4 \times 10^{10}$   
 53. (a) 4.6 kW (b) 53 mV/m  
 55. (a) 136 nT; (b) 181 nT  
 57. (a)  $8.9 \times 10^6 \text{ W/m}^2$  (b)  $58 \times 10^3 \text{ V/m}$   
 59.  $6.2 \times 10^3 \text{ y}$   
 61. 2.52 kPa  
 63. 6  
 67. 2.4 GHz: 6.25 cm; 5.0 GHz: 3.00 cm  
 69. (a) 431 nW/m<sup>2</sup>  
 71. (a) 51 MV/m (b) 0.17 T (c) 96 TW  
 73. b  
 75. d

**Chapter 30**

11.  $15^\circ$   
 13.  $0.5^\circ$   
 15. Ice  
 17.  $77.7^\circ$   
 19.  $14.2^\circ$   
 21. 1.9  
 23.  $79.1^\circ$   
 25. 1.66  
 27.  $6.41^\circ$   
 29. (a)  $n = 1.83$ ;  
 (b) No;  $\theta_4 = \theta_1$  regardless of  $n$   
 31.  $d \sin \theta_1 \left(1 - \frac{\cos \theta_1}{\sqrt{n^2 - \sin^2 \theta_1}}\right)$   
 33. (a)  $36.93^\circ$ ; (b) 1.586  
 35. The red beam emerges from the prism  
 at  $81.72^\circ$  to the normal, while the blue  
 beam undergoes total internal reflection.

## A-24 Answers to Odd-Numbered Problems

37. (a)  $18^\circ$  (b) 390 nm  
 39. Ethyl alcohol.  
 41. (a)  $69.1^\circ$ ; (b) no, because the incidence angle is less than the critical angle  
 43. 5.1 m  
 45. 139 nm  
 47. Diagonal face, 23°  
 49. 1.07  
 53.  $63.8^\circ$   
 55. (a)  $9.53^\circ$ ; (b)  $2.07 \times 10^8$  m/s; (c)  $2.04 \times 10^8$  m/s  
 57. 2.7 m  
 61. (a)  $50.9^\circ$   
 65.  $\frac{d}{c} \left( \frac{2}{3}n_1 + \frac{1}{3}n_2 \right)$   
 67. c  
 69. b

### Chapter 31

11.  $35^\circ$   
 13. (a)  $-1/4$  (b) real, inverted  
 15. (a)  $3f$  (b)  $3f/2$  (c) real  
 17.  $-2$   
 19. 21 cm  
 21. 40 cm  
 23. 0.86 mm  
 25. 2.2 diopters  
 27.  $-1.3$  diopters  
 29.  $-200$   
 31. (a) 4.38 m; (b) 21.1 m; (c) behind  
 33. (a) 30.0 m; (b) reduced by factor of 0.875  
 35. 8.19 cm  
 37. 1.46 m  
 39. (a)  $-24$  cm (b) 29 mm  
     (c) virtual, upright, enlarged  
 41. 18 cm  
 43. 31 cm  
 45. 12 cm  
 47. (a)  $-7.7$  cm, inverted, real  
     (b)  $+7.7$  cm, upright, virtual  
 49. 29 cm or 41 cm  
 51. 11 cm  
 53.  $s' = 1.1$  m, inverted real image  
 55. (a)  $bD/[2b(1 - n) + n]$ ;  
     (b) it appears to be located at its actual  
         distance,  $D/2$   
 57. 2.0  
 59. 2  
 61. Choose plastic, because it meets requirements and is cheaper.  
 63. (a) Real, inverted image (b)  $-2.82$   
 65. 3.3 diopters  
 67.  $0.3^\circ$   
 69. 72 cm  
 79. (a)  $dn = -\frac{2c}{\lambda^3}d\lambda$  (b) 0.858 mm

### Chapter 32

11. 1.7 cm  
 13. 420 nm

15. 4  
 17. (a)  $4.8^\circ, 9.7^\circ$  (b)  $2.9^\circ, 6.8^\circ$   
 19. 103 nm  
 21. 594 nm, 424 nm  
 23. The top 1.5-cm of the film  
 25.  $29.3^\circ$   
 27. 1.62%  
 29. 37 cm  
 31.  $3 \times 10^{-4}$  rad  
 33.  $H\alpha(656.3$  nm) and  $H\gamma(434.0$  nm)  
 35.  $d = |\lambda_1 - \lambda_2|/\Delta\theta$   
 37. 22.4 m  
 39. 1.1 m  
 41.  $96^\circ$   
 43. 44  $\mu\text{m}$   
 45. 2  
 47. Not feasible because a 2-km-diameter telescope is needed  
 49.  $3.3 \text{ \AA}$   
 51. 5  
 53. 236  
 55. 128.8 m  
 57.  $1 + 2.93 \times 10^{-4}$   
 59. 14.2 m  
 61. 2.0  $\mu\text{m}$   
 63. 6.9 km  
 65. Rep is correct, but microscope won't resolve rhinovirus.  
 67.  $n_{\text{gas}} = 1 + \frac{m\lambda}{2L}$   
 69. (a) 0.34 fm; (b)  $6.3 \times 10^{-10}$  m  
 71. 54  
 73. c  
 75. a

### Chapter 33

11. (a) 4.50 h (b) 4.56 h (c) 4.62 h  
 13. 33 ly  
 15. 40 m  
 17. 0.14c  
 19. (a) 2.0 (b) 2.5  
 21. 0.14c  
 23. (a) 2.1 MeV (b) 1.6 MeV  
 25. (a) 42.52 years; (b) 1345 years  
 27. 2.00 half-lives in the O-15's own reference frame (which is the relevant one for their radioactive decay "clocks")  
 29. Civilization B is first by 116,000 years.  
 31. (a) Civilization B is first by 77,100 years;  
     (c) An observer moving at 0.260c on the same path as civilization C's spacecraft will judge the two launches to be simultaneous.  
 35. (a) 0.86c (b) 9.7 min  
 37.  $c/\sqrt{2}$   
 39. Twin A = 83.2 years old, twin B = 39.7 years old  
 41. 0.96c  
 45. earlier by 5.2 min  
 49. 0.94c

51. (a) 10 ly, 13 y  
     (b) 0 ly, 7.5 y  
 55. (a) 4.2 ly  
     (b)  $-2.4$  ly  
 57. (a)  $0.758c$  (b)  $1.09 \text{ GeV}/c$   
 59. 25 h  
 63. 0.866c  
 67. 0.994c  
 69. (a) 100,000 y; (b) 10 s  
 71. (a)  $2.976 \times 10^8$  m/s (b)  $9.46 \times 10^{-31}$  kg,  
     4% higher than known electron mass  
     (Answers are very sensitive to the precise values used for constants and conversion factors.)  
 73. a  
 75. a

### Chapter 34

11. 16  
 13. (a)  $11.4 \mu\text{m}$ ; (b)  $16.2 \mu\text{m}$ ; (c) both are in the far infrared, meaning far from the visible  
 15. (a) 500.0 nm (b) 708.6 nm  
 17.  $2.8 \times 10^{-19}$  J to  $5.0 \times 10^{-19}$  J  
 19. 1.44  
 21. 122 nm, 103 nm, 97.2 nm  
 23. 91.2 nm  
 25. (a)  $3.7 \times 10^{-63}$  m (b) 73 nm  
 27. The electron moves 1836 times faster than the proton.  
 29.  $6 \times 10^7$  m/s  
 31. 130 nm  
 33. 5.8 keV  
 35.  $n = 17$   
 37.  $n = 5$  (the only such value)  
 39. 35 pm  
 41. 0.62 nm  
 43. UV is smaller by a factor  $5.4 \times 10^{-2}$   
 45. (a)  $5.19 \times 10^3$  K (b) 0.748  
 47. (a)  $1.7 \times 10^{28} \text{ s}^{-1}$  (b)  $3.2 \times 10^{15} \text{ s}^{-1}$   
     (c)  $1.3 \times 10^{18} \text{ s}^{-1}$   
 49. (a)  $1.12 \times 10^{15}$  Hz (b) 2.79 eV  
 51. (a) 2.9 eV and 1.9 eV (b) Plants absorb blue and red, reflect green.  
 53. 440 nm  
 55. (a) 154 pm (b) 222 eV  
 57. (a) 313 m/s (b) 96 km/s  
 59. 0.22 meV  
 61. (a) 26.4 cm (b) 4.70  $\mu\text{eV}$   
 63. 229  
 65. 3.40 eV  
 67. 1.62 km/s  
 69. 2.5 km/s  
 71. 1 ps  
 75.  $E_0 = \frac{1}{2} m_e c^2 \left[ (\gamma - 1) + \sqrt{(\gamma - 1)(\gamma + 3)} \right]$   
 81. (a)  $6.65 \times 10^{-34}$  J  $\cdot$  s (b) 2.3 eV  
     (c) potassium  
 83. b  
 85. c

**Chapter 35**

11. (a) 0 (b)  $\pm a\sqrt{\ln 2/2}$

13. 5

15. 3.8 MeV

17. (a) 1.6 eV (b) 6.5 eV

19. Electron

21. 0.2 MeV

23. 33 eV

25.  $E \rightarrow E/4$ 

27. 930 pm

29. 0.972 nm

31. 0.950 nm

33.  $\sim 3/4$ 

35. 60% of the well

39. (a) 2.2 eV (b) 570 nm

41. 21  $\mu\text{m}$ 43. (a) 6 (b)  $\lambda_{4 \rightarrow 1} = 153 \text{ nm}$ ,  $\lambda_{4 \rightarrow 2} = 191 \text{ nm}$ ,  
 $\lambda_{4 \rightarrow 3} = 328 \text{ nm}$ ,  $\lambda_{3 \rightarrow 1} = 287 \text{ nm}$ ,  
 $\lambda_{3 \rightarrow 2} = 459 \text{ nm}$ ,  $\lambda_{2 \rightarrow 1} = 765 \text{ nm}$ ,  
(c) UV, visible, and IR

45. (a)  $\psi_{n-\text{odd}}(x) = \sqrt{\frac{2}{L}} \cos\left(\frac{n\pi x}{L}\right)$ ,  
 $\psi_{n-\text{even}}(x) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi x}{L}\right)$

(b)  $E_n = n^2 h^2 / (8mL^2)$

47. 0.759 nm

49.  $2.5 \times 10^{-17} \text{ eV}$ ; Quantization is insignificant

51. (a) 0.30 (b) 0.15

57. 4

59. (c)  $A_0 = (\alpha^2/\pi)^{1/4}$

61. 2.23 nm

63. c

65. d

**Chapter 36**

11. 3

13. 5

15. 3d

17.  $2.58 \times 10^{-34} \text{ J} \cdot \text{s}$ 

19. 3/2, 5/2

21.  $11.5 \hbar\omega$ 23.  $1s^2 2s^2 2p^6 3s^2 3p^6 4s^2 3d^1$ 

27. 0.69 meV

29.  $1.34a_0$ 31.  $5.80a_0$ 33.  $4p_{1/2}$ ; 330.39 nm,  $4p_{3/2}$ ; 330.33 nm35. (a) 91.6 cm; (b) 1.36  $\mu\text{eV}$ 37.  $n = 4$ ,  $l = 3$ 39.  $2.67 \times 10^{68}$ 41.  $90^\circ$ ,  $65.9^\circ$ ,  $114^\circ$ ,  $35.3^\circ$ ,  $145^\circ$ 43. 0,  $\pm 1$ ,  $\pm 2$ ,  $\pm 3$ 47. (a)  $16 \hbar\omega$  (b)  $4 \hbar\omega$ 49.  $1s^2 2s^2 2p^6 3s^2 3p^6 4s^1 3d^{10}$ 51.  $3.0 \times 10^{17}$ 

53. 0.1

55. 71.1  $\mu\text{eV}$ 

57. even  $N$ :  $\hbar\omega(N - 1)/2$ ; odd  $N$ :  $\hbar\omega N/2$   
59. (a) 5 (b)  $9E_1$   
61. (a)  $(2\sqrt{5} - \sqrt{6})\hbar \approx 2.02\hbar$  (b)  $5g$   
63. 0.0595  
65.  $P(r)dr = 4\pi r^2 \psi_{2s}^2 dr$ ,  $3 + \sqrt{5}$   
67. (b) 54.4 eV, 870 eV, 91.4 keV, 115 keV  
69. (b) 141 eV, 65.8 eV, 47.0 eV, 28.2 eV  
71.  $3a_0/2$   
73. a  
75. c

**Chapter 37**

11. 3.48 mm  
13.  $9.41 \times 10^{-46} \text{ kg} \cdot \text{m}^2$   
15.  $7.08 \times 10^{13} \text{ Hz}$   
17. 181 kcal/mol  
19. 549 nm  
21. 3.54  $\mu\text{m}$   
23. (a) 14; (b)  $1.51 \times 10^{-33} \text{ J} \cdot \text{s}$   
25. (a) 9; (b)  $1.00 \times 10^{-33} \text{ J} \cdot \text{s}$   
27. 10.2  
29. 8.07  
31. 1.34 meV  
33.  $I\hbar^2/I$   
35. 0.121 nm  
37. (a) 0.179 eV (b) 0.358 eV  
39. 14.95  $\mu\text{m}$   
41. (a) 15.09 meV (b) 82.22  $\mu\text{m}$   
(c) far infrared  
43. 35.8  $\mu\text{m}$   
45. 6.53 J  
49. 4.68 eV  
51.  $6.36 \times 10^4 \text{ K}$ , ~200 times room temperature  
53. 709 nm, no  
55. 1.8 kA  
57. 508 nm  
63. (a)  $(2^{9/2} \pi m^{3/2} L^3 / 3h^3) E^{3/2}$   
65. 64 kA  
67. c  
69. b

**Chapter 38**

11.  $^{211}_{86}\text{Rn}$ ,  $^{220}_{86}\text{Rn}$ , and  $^{222}_{86}\text{Rn}$   
13. (a)  $A = 35$  for both  
(b)  $Z_K = Z_{\text{Cl}} + 2$   
15. 5 fm  
17.  $^{64}_{29}\text{Cu} \rightarrow ^{64}_{30}\text{Zn} + e^- + \bar{\nu}$   
 $^{64}_{29}\text{Cu} \rightarrow ^{64}_{28}\text{Ni} + e^+ + \nu$   
 $^{64}_{29}\text{Cu} + e^- \rightarrow ^{64}_{28}\text{Ni} + \nu$   
19. (a) 6.2 hours; (b)  $^{11}_5\text{B}$   
21. 26 days  
23. 59.930 u  
25. 5.612 MeV  
27. 2  
29.  $1.0 \times 10^{20} \text{ s}^{-1}$   
31.  $2 \times 10^{20} \text{ m}^{-3}$   
33.  $10^3 \text{ s}$
35. It should be 0.7060 times that of living wood  
37. 23.8%  
39.  $9 \times 10^{-28} \text{ kg}$   
41.  $5.0064 \times 10^{-27} \text{ kg}$   
43.  $5.3 \times 10^{-12} \text{ eV}$   
45. 8.80 MeV  
47. 0.145%  
49. 9.6 d  
51. (a)  $^{228}_{90}\text{Th}$   
53.  $8.9 \times 10^3 \text{ y}$   
55. Poland: 8.04 d; Austria: 16.2 d, Germany: 10.0 d  
57. 3.11 Gy  
59. 3.31%  
61.  $3 \times 10^{-13}$   
63.  $1.2 \times 10^3 \text{ kg}$   
65. 88.9%  
67. 580 kg  
69. 0.461 s  
71. (a)  $4 \times 10^{38} \text{ s}^{-1}$  (b)  $7 \times 10^9 \text{ y}$   
73.  $8 \times 10^{17} \text{ s}$ , which is about 20 billion years longer than the Sun will shine  
75. Bohrium-262 ( $^{262}_{107}\text{Bh}$ )  
77. (a) ( $^{65}_{29}\text{Cu}$ ) (b) 4 h  
79. (a) 210 MJ (b)  $14 \text{ s}^{-1}$  (c) 450 kg  
81. Yes  
85. (b) 1.4  $\mu\text{s}$   
87. b  
89. d

**Chapter 39**

11. 0.336 fs  
13.  $\pi^+ \rightarrow \mu^+ + \nu_\mu$   
15.  $\eta \rightarrow \pi^+ + \pi^- + \pi^0$   
17. No, violates conservation of baryon number and angular momentum  
19.  $sss$   
21.  $4.54 \times 10^7 \text{ L}$   
23.  $10^{28} \text{ K}$   
25. 1.1 Gly  
27.  $+e, -1$   
29. 0,  $-2$   
31. 18 Gy  
33. (a)  $10^8$  years;  $10^{12}$  (b) years  
35. Reaction (a) is not possible because it violates conservation of baryon number and angular momentum.  
37. (a) No (b) yes  
39.  $c\bar{c}$   
41. (a)  $9.78 \times 10^5$ ;  
(b)  $v = 0.9999999999948c$   
43.  $10^{10}$   
45. (a) 256 fm (b)  $-2.81 \text{ keV}$   
47. (a)  $5.740 \times 10^3 \text{ km/s}$  (b) 261 Mly  
49.  $2.6 \times 10^{-25} \text{ s}$   
51. 5.0 km/s/Mly  
53. b  
55. c

# Credits

## Front Matter

Page i: (volume 1): Enrique Díaz/7cero/Getty Images. Page i: (volume 2): Technotr/Getty Images. Page vii: Robert J. Keren.

## Chapter 1

Page 1: David Parker/Science Source. Page 3: NASA. Page 5: Goran Bogicevic/Shutterstock. Page 6: NASA. Page 6: Andrew Syred/Science Source. Page 9: Cesc Assawin/Shutterstock. Page 11: NASA.

## Chapter 2

Page 15: Flonline digitale Bildagentur GmbH/Alamy Stock Photo. Page 15: Paul Fleet/Shutterstock. Page 16: Isitsharp/Getty Images. Page 22: Defense Advanced Research Project Agency. Page 25: Richard Megna/Fundamental Photographs. Page 27: National Institute of Standards and Technology.

## Chapter 3

Page 34: Martha Holmes/Nature Picture Library. Page 40: Richard Megna/Fundamental Photographs. Page 41: Jon Bilous/Shutterstock. Page 43: Jamie Squire/Getty Images.

## Chapter 4

Page 54: NASA. Page 59: Dudarev Mikhail/Shutterstock. Page 61: NASA/Dembinsky Photo Associates/Alamy Stock Photo. Page 61: Airbus/ZUMAPRESS/Newscom. Page 68: David Scharf/Science Source.

## Chapter 5

Page 74: Kevin Maskell/Alamy Stock Photo. Page 79: Richard Sheppard/Alamy Stock Photo. Page 93: Scott Mc Kiernan/ZUMA Wire/Alamy Live News.

## Chapter 6

Page 94: Roberto Caucino/Shutterstock. Page 112: Jeffrey Liao/Shutterstock.

## Chapter 7

Page 113: Checubus/Shutterstock. Page 117: US Geological Survey. Page 122: Erik Borg.

## Chapter 8

Page 134: NASA. Page 135: Lowell Observatory. Page 139: David Hardy/Science Source. Page 142: Itar-Tass/ABACA/Newscom.

## Chapter 9

Page 150: Peter Muller/Cultura RM/Alamy Stock Photo. Page 155: Wally McNamee/Getty Images. Page 160: NASA. Page 174: Richard Megna/Fundamental Photographs.

## Chapter 10

Page 175: IMPhoto/Shutterstock. Page 186: Bloomberg/Contributor/Getty Images.

## Chapter 11

Page 196: Goddard Space Flight Center/NASA. Page 204: Moog Industrial Group. Page 204: TechInsights Inc. Page 205: Jon Sparks/Alamy Stock Photo.

## Chapter 12

Page 211: Robert Harding/Alamy Stock Photo.

## Chapter 13

Page 228: Lotus Studio/Shutterstock. Page 229: Tommy/Fotolia. Page 229: Sheila Terry/Science Source. Page 235: Machkazu/Shutterstock. Page 235: Peter Tsai Photography/Alamy Stock Photo. Page 243: Francois Gohier/Science Source. Page 243: Martin Bough/Fundamental Photographs. Page 249: NASA.

## Chapter 14

Page 250: EpicStockMedia/Shutterstock. Page 259: G Chutka/Getty Images. Page 261: Omikron/Science Source. Page 262: Richard Megna/Fundamental Photographs. Page 264: Michael Freeman Photography. Page 268: SVSimagery/Shutterstock. Page 268: Drazen Vukeli/Getty Images. Page 273: David Rydevik.

## Chapter 15

Page 274: Jurgen Ziewe/Alamy Stock Photo. Page 278: Bojan Pavlukovic/Fotolia. Page 281: Frank Herzog/culture-images GmbH/Alamy Stock Photo. Page 283: Reuters/Pascal Rossignol. Page 285: Jillian Cooper/Getty Images. Page 286: B&M Noskowski/Getty Images. Page 287: Alekss/Fotolia. Page 293: Omikron/Science Source. Page 291: Richard Wolfson.

## Chapter 16

Page 294: Arogant/Shutterstock. Page 294: Mark Antman/The Image Works. Page 295: Ted Kinsman/Science Source. Page 302: Hal Lott/Stock Connection Blue/Alamy Stock Photo. Page 303: Scott Camazine/Alamy Stock Photo. Page 312: Hal Lott/Stock Connection Blue/Alamy Stock Photo. Page 312: Branislav/Getty Images.

**Chapter 17**

Page 313: MIMOTITO/Getty Images. Page 321: Associated Press. Page 322: Brian J. Skerry/Getty Images. Page 327: Samoshkin/Shutterstock.

**Chapter 18**

Page 328: Don Farrall/Getty Images. Page 333: Steven Coling/Shutterstock. Page 336: Walter Bibikow/AGE Fotostock.

**Chapter 19**

Page 345: James Hardy/Alamy Stock Photo. Page 348: Universal Images Group North America LLC/Alamy Stock Photo. Page 352: Peter Bowater/Science Source.

**Chapter 20**

Page 367: NASA Earth Observatory/NOAA NGDC. Page 368: Anna Om/Shutterstock. Page 369: GIPhotoStock/Science Source. Page 374: Steven Puetzer/Getty Images. Page 383: CB2/ZOB/WENN.com/Newscom. Page 383: Oleg Gavriloff/Shutterstock. Page 383: Image Courtesy of Senyuk, Bohdan.

**Chapter 21**

Page 389: Eunice Harris/Science Source. Page 408: Fox Photos/Stringer/Getty Images. Page 413: Eric Schrader.

**Chapter 22**

Page 414: Mark Graham/AP Images. Page 428: Kevin Cruff/Getty Images.

**Chapter 23**

Page 434: Wavebreak Media Ltd/123RF. Page 437: Erik Borg. Page 441: Cindy Charles/Photo Edit. Page 448: Lawrence Livermore National Laboratory/The National Ignition Facility.

**Chapter 24**

Page 449: Daniel Sambraus/Science Source. Page 460: PAMPC PA/AP Images. Page 461: Erik Borg.

**Chapter 25**

Page 467: Villorejo/Shutterstock. Page 470: Erik Borg.

**Chapter 26**

Page 488: NASA. Page 489: Cordelia Molloy/Science Source. Page 493: Ivan Massar/Massar Studios LLC. Page 493: Oscar Bjarnason/Getty Images. Page 504: Gerard Lodriguss/Science Source. Page 508: John Eisele/Colorado State University.

**Chapter 27**

Page 516: NASA. Page 535: NASA. Page 538: Richard Megna/Fundamental Photographs.

**Chapter 28**

Page 545: Li Ding/Alamy Stock Photo. Page 553: Erik Borg. Page 558: Igor Marx/123RF. Page 558: Arogant/Shutterstock. Page 558: Larry Lawhead/Getty Images. Page 558: JIsohio/Getty Images. Page 558: Eric Vega/Getty Images. Page 558: Michelangeloboy/iStock/Getty Images Plus. Page 558: TebNad/Shutterstock.

**Chapter 29**

Page 564: Arthur S. Aubry/Getty Images. Page 564: Bbossom/Getty Images. Page 564: Neustockimages/Getty Images. Page 575: George Resch/Fundamental Photographs. Page 577: Babak Tafreshi/Science Source. Page 577: NASA/Sonoma State. Page 586: NASA.

**Chapter 30**

Page 588: Vaclav Volrab/Shutterstock. Page 589: Charles Hood/Alamy Stock Photo. Page 590: D. Scott/NASA. Page 591: Paul Carstairs/Alamy Stock Photo. Page 594: Universal Images Group North America LLC/Alamy Stock Photo. Page 594: Richard Megna/Fundamental Photographs. Page 595: Spencer Grant/Science Source. Page 597: Archive Photos/Stringer/Getty Images.

**Chapter 31**

Page 603: Hunckstock Inc./Alamy Stock Photo. Page 604: Richard Megna/Fundamental Photographs. 605: Space Telescope Science Institute/NASA. Page 605: Erik Borg. Page 606: Pastorscott/Getty Images. Page 607: NASA/Science Source. Page 611: Erik Borg. Page 615: Rolf Vennenbernd/AP Images. Page 617: Courtesy TMT International Observatory. Page 623: Ajt/Shutterstock.

**Chapter 32**

Page 624: Maxar Technologies GeoEye-1. Page 626: Ben Krasnow. Page 627: Dr. Christopher Jones. Page 630: Dr. Christopher Jones. Page 633: Jay M. Pasachoff. Page 634: Richard Megna/Fundamental Photographs. Page 634: NASA. Page 635: Ames Research Center/NASA. Page 638: Richard Wolfson. Page 638: Dr. Christopher Jones. Page 639: Dr. Christopher Jones. Page 640: Ben Krasnow. Page 641: Dr. Christopher Jones. Page 641: Richard Wolfson. Page 646: Dr. Christopher Jones. Page 646: Richard Wolfson.

**Chapter 33**

Page 647: Delft University of Technology/Science Source. Page 648: Associated Press. Page 651: The Albert Einstein Archives, The Hebrew University of Jerusalem. Page 656: SLAC National Accelerator Laboratory. Page 664: WDCN/Univ. College London/Science Source. Page 668: NASA. Page 668: B. P. Abbott/American Physical Society.

## C-3 Credits

### Chapter 34

Page 674: Dr. Wolfgang Ketterle. Page 685: Andrew Syred/Science Source. Page 685: Science History Images/Alamy Stock Photo. Page 688: Kim Steele/Getty Images.

### Chapter 35

Page 694: IBM Research/Science Source. Page 695: Richard Wolfson. Page 704: J. Cousty, CEA—IRAMIS. Page 710: Dr. Stephan Diez.

### Chapter 36

Page 711: Chip Clark/Fundamental Photographs. Page 719: Wolfgang Ketterle. Page 725: Wolfgang Ketterle. Page 729: Medical Body Scans/Science Source.

### Chapter 37

Page 730: Alfred Pasieka/Science Source. Page 740: Tim Rue/Bloomberg/Getty Images. Page 742: Andrey I/Shutterstock.

### Chapter 38

Page 749: TEPCO/Newscom. Page 753: David Job/Getty Images. Page 769: LLNL/Photo Researchers, Inc./Science Source.

### Chapter 39

Page 777: CMS Collaboration/CERN/Science Source. Page 779: Brookhaven National Laboratory. Page 785: Patrice Loiez, CERN/Science Source. Page 785: Kyodo News/Newscom. Page 787: Pitchal Frederic/Contributor/Getty Images. Page 788: NASA. Page 789: ESA and the Planck Collaboration. Page 796: Richard Megna/Fundamental Photographs. Page 797: ESA and the Planck Collaboration.

# Index

## A

Aberrations  
chromatic aberrations, 597, 614  
of starlight, 650  
ABS (antilock braking system), 86  
Absolute motion, 649, 652  
Absolute temperature, 298  
Absolute zero, 297, 738, 744  
Absorption spectra, 680  
Acceleration, 21, 48  
angular acceleration, 177–178, 190  
average, 20  
average acceleration vector, 37  
centripetal, 47  
constant acceleration, 28  
force and, 57  
gravitation and, 60  
gravity, 25–26  
of gravity, 135–136  
instantaneous, 20, 37  
instantaneous acceleration vector, 37  
mass and, 57–58, 61  
near Earth's surface, 25–27, 135–136  
in one dimension, 20–24, 21, 22–25, 28  
radial, 48, 177  
simple harmonic motion and, 234–235  
in space, 135–136  
straight-line motion, 20–24, 28  
tangential, 48, 177  
in two dimensions, 37–38, 39–40  
uniform circular motion and, 46–47  
without velocity, 21  
Acceleration vectors, 37–38, 46, 47  
Acceptor levels, 739  
Acousto-optic modulators (AOMs), 632  
Actinide series, 722  
Action-at-a-distance forces, 59, 144  
Activation analysis, radioactivity and, 759  
Activity of radioisotopes, 754–755  
Addition  
scientific notation and, 5–6  
of vectors, 35, 37  
Adiabat, 335  
Adiabatic compression, heat engine, 348  
Adiabatic equation, 335–336  
Adiabatic expansion, heat engine, 348  
Adiabatic path, 335  
Adiabatic processes, 335–337, 341  
Aerodynamic lift and airflow, 286–287  
Air  
dielectric constant of, 438  
optical properties of, 591  
thermal properties of, 301  
Air resistance, acceleration of gravity and, 25  
Aircraft  
aerodynamic lift and airflow, 286–287  
motion, 18  
motion of, 24, 39  
pitot tube, 284  
Alkali metals, electronic structure of, 721  
Allowed transitions, 723  
Alpha decay, 704, 757, 772  
Alpha particle, properties of, 761  
Alpha radiation, 757  
Alternating current (AC), 545–546, 559

Alternating-current circuits (AC circuits), 545–559  
circuit elements in, 546–550  
electric power in, 556  
high-Q circuit, 554  
*LC* circuits, 550–553, 559  
*RLC* circuits, 553–556, 559  
Aluminum  
electrical properties of, 452  
thermal properties of, 299, 301, 322  
work function of, 678  
Aluminum oxide, dielectric constant of, 438  
Ammeters, 477, 482  
Amorphous solids, 735  
Ampere (A), 4, 449  
Ampère, André Marie, 449  
Ampère's law, 503–508, 509, 565–567, 587  
Biot–Savart law and, 504  
electromagnetic waves, 570–571  
in magnetic fields, 564, 566–567, 582  
Ampérien loop, 504  
Amplifier, transistor as, 454  
Amplitude  
oscillatory motion, 231, 234  
waves, 252  
Anderson, Carl, 778–779  
Angle measures, 11  
Angle of incidence, 589, 598  
Angle of reflection, 589, 598  
Angle, units of, 4, 176  
Angular acceleration, rotational motion, 177–178, 190  
Angular displacement, 176  
Angular frequency, 245, 253, 270  
Angular magnification, 616, 619  
Angular momentum, 200–201, 205  
calculation, 199  
conservation of, 201–203, 205, 780  
coupling rules, 717–718  
gyroscopes, 203–205  
of the nucleus, 751  
orbital, 714–715  
quantization of, 744  
space quantization, 715  
spin angular momentum, 716, 725  
total, 717–718  
Angular speed, 176, 190  
Angular velocity, rotational motion, 176, 190  
Annihilation, 664, 780  
Anti-electron, 758  
Antibaryons, 780  
Anticolor, 783  
Antineutrinos, 758, 780  
Antinodes, 264, 265  
Antiparticles, 706, 782  
Antiquarks, 782  
Antireflection coatings, 591  
Apparent weight, 64, 65, 67, 68, 69  
Apparent weightlessness, 61, 69  
Archimedes' principle, 279–281, 289  
Argon, electronic structure of, 721  
Argon, specific heat, 339  
Aristotle, 54  
Artificial radioactivity, 758–759  
Astigmatism, 614, 615  
of lenses, 614  
spherical aberration, 614

Astronauts  
escape speed, 141, 145  
orbital motion of, 138  
space maneuvers, 143  
weightlessness, 61, 65  
Astronomy  
gravitational-wave astronomy, 668  
multimessenger, 668  
Astrophysics  
Big Bang theory, 788, 792  
cosmic rays, 779  
double-star system, 631  
expansion of the universe, 787–788  
Hubble's law, 787  
neutron star, 718  
nucleosynthesis, 762  
in Sun's core, 769  
supernova explosions, 660  
telescopes, 607, 617–618, 619, 638–639  
white dwarf, 718  
Astrophysics, pulsars, 201–202  
Asymmetric decay, 782  
Atmosphere (atm), 276  
Atmosphere of Earth, light and, 577  
Atomic bomb, 769  
Atomic clock, 3, 27  
Atomic energy, 687  
Atomic number, 719, 749, 772  
Atomic physics, 711–725  
classical model of atom, 502  
electron spin, 706, 715–718  
exclusion principle, 718–719, 725, 783  
hydrogen atom, 711–715  
isotopes, 750, 754, 772  
magnetic moment of electrons, 716  
nuclear force, 750  
periodic table, 719–722  
spin-orbit coupling, 717–718  
Stern–Gerlach experiment, 716–717  
total angular momentum, 717–718, 725  
Atomic physics, nuclear force, 59  
Atomic spectra, 680, 689, 723–724  
hydrogen spectrum, 680–681, 689  
sodium doublet, 723  
Atoms. *See* Atomic physics;  
Nucleus (nuclei)  
Bohr model, 682, 683, 689  
ground state, 712–713  
monatomic structure, 339  
multielectron, 719–722  
Automobiles. *See* Cars  
Average acceleration, 20  
Average acceleration vector, 37  
Average angular velocity, 176  
Average motion, 16–17  
Average speed, 16–17  
Average velocity, 17, 18, 20  
Average velocity vector, 37

## B

Back emf, 529  
Ballistic pendulum, 163–164  
Balmer series, 681  
Balmer, Johann, 681  
Band gaps, 737

## I-2 Index

- Band theory, 744  
Bands, 737, 744  
Bandwidth, 595  
Bar magnet, 502  
Bardeen, John, 743  
Barometers, 277  
Baryon number, 780  
Baryons, 780, 782–783, 784, 792  
Baseball, 43, 54, 286  
Batteries, 454, 468, 470–471  
BCS theory, 742–743  
Beam splitter, 634  
Beats, 261  
Bequerel (Bq), 754, 772  
Bequerel, Henri, 754  
Benzene, optical properties, 591  
Bernoulli effect, 284–285, 289  
Bernoulli's equation, 283–284, 284–285, 286, 289  
Beryllium, electronic structure, 721  
Beta decay, 757–758, 772, 780, 782  
Beta radiation, 757  
Bicycling, 205  
Big Bang theory, 788, 792  
Binding energy, 760–762  
Binnig, Gerd, 704  
Biot–Savart law, 495–496, 509, 587  
Ampère's law and, 504  
Birds, aerodynamic lift and airflow, 286–287  
Blackbody, 304  
Blackbody radiation, 675–677, 689  
lightbulbs and, 677  
Blu-ray discs, 640, 740  
Bohr atom, 681–684, 689  
Bohr magneton, 725  
Bohr model of hydrogen atom, 682, 683, 689  
Bohr radius, 682, 689, 712  
Bohr, Aage, 754  
Bohr, Niels, 681, 688, 701, 754  
Boiling point, 297  
Boiling-water reactors (BWRs), 766  
Boltzmann's constant, 297, 324, 676  
Bonding, 725, 744  
covalent bonding, 731–732  
hydrogen bonding, 732  
ionic bonding, 725  
metallic bonding, 732, 744  
van der Waals bonding, 732  
Bone scans, 759  
Born, Max, 696  
Bose–Einstein condensate, 719, 724, 725  
Bose, Satyendra Nath, 719  
Bosons, 719, 725, 779  
Bottom quarks, 783, 784  
Bound state, 704, 707  
Bound system, 126  
Boundary conditions, 699  
Bragg condition, 632  
Brahe, Tycho, 134  
Brain cells, counting, 9  
Brass, thermal properties of, 322  
Breeder reactors, 737  
Brewster angle, 593  
Bridges, 211, 219, 244  
Btu (British thermal unit), 105, 299  
Bubble chamber, 779  
Buckminsterfullerene, 732  
Buildings, 236, 244  
cogeneration, 355  
energy-saving windows, 305  
household voltage, 546  
household wiring, 453  
insulating properties of building materials, 302–303  
solar greenhouse, 307  
water heater, 306, 355  
Bungee jumping, 101–102  
Buoyancy  
center of, 281  
fish swim bladder, 279  
of fluids, 279–280  
working underwater, 279
- C**  
Calatrava, Santiago, 211  
Calculations. *See* Problem solving  
Caloric, 298  
Calorie (cal), 105, 299  
Calorimeters, 779  
Cameras, 441, 616  
charging capacitors, 480  
infrared, 576  
Cancer  
from radiation exposure, 759  
radiotherapy for, 759  
Candela (cd), 4  
CANDU reactor, 766  
Capacitance, 436  
Capacitive reactance, 547, 559  
Capacitors, 435–437, 444  
in AC circuits, 547  
displacement current, 566  
in electric circuits, 477–481, 482  
electrolytic, 438  
energy storage in, 436–437, 444  
equivalent capacitance, 440–441  
in LC circuits, 552  
in parallel, 439, 444  
parallel-plate capacitor, 435–438, 440, 442, 444, 566–567  
practical version of, 437–439  
reactance, 547, 559  
in series, 439–441  
ultracapacitors, 441  
working voltage, 438  
Carbon dioxide, optical properties of, 591  
Carbon-14, 756  
Carbon-14 dating, 756, 757  
Carnot cycle, 348, 355  
Carnot efficiency, 340, 349  
Carnot engine, 348–349, 361  
Carnot, Sadi, 348  
Carnot's theorem, 350  
Cars  
ABS brakes in, 86  
acceleration of, 104  
banked curve, 81  
crash tests, 161  
engines, 353  
flywheel-based hybrid vehicles, 186  
friction in engine, 85  
frictional forces in stopping, 85  
hybrid cars, 501, 525  
lightning and, 408  
regenerative braking, 525  
shock absorbers, 243  
speed traps, 24  
starting, internal resistance and, 471  
Cartesian coordinate system, 35  
Cavendish experiment, 137  
Cavendish, Henry, 137  
CDs, 626, 740  
diffraction and, 640  
diffraction grating, 632  
refraction and, 592–593
- Cell membranes  
current density, 451–452  
electric circuits and, 475  
Cellphones, 580–581  
Celsius temperature scale, 297–298, 309  
Center of gravity, 213, 220  
Center of mass, 150–155, 169  
of continuous distribution, 153–155  
finding location of, 152–153  
kinetic energy of, 160  
motion of, 150, 155  
reference frame, 168  
Center-of-mass frame, 168  
Centripetal acceleration, 47  
Centripetal force, 80  
Ceramics, electrical properties of, 452  
Cesium chloride, crystal structure of, 735–736  
Cesium, work function of, 678  
Chain reaction, 764–765, 772  
Charge. *See* Electric charge  
Charge conjugation, 782  
Charge distributions, 372  
continuous, 378–380  
electric dipole, 377–378  
electric field lines of, 389  
of electric fields, 375–380  
of arbitrary charge distributions, 403–404  
with line symmetry, 401–402, 409  
with plane symmetry, 402, 409  
with spherical symmetry, 397–400, 409  
electrical potential of, 416  
Charged capacitors, 437  
Charged conductors, 405–406  
Charged disk, 404  
Charged particles  
electromagnetic force on, 490  
in magnetic field, 491–493  
trajectories in three dimensions, 492–493  
Charmed quarks, 783, 784  
Chart of the nuclides, 751  
Chelyabinsk meteor, 142  
Chemical properties, 721  
Chemical reactions, energy of, 434  
Chernobyl accident, 766  
Chlorine atom, ionization energy of, 731  
Chlorine, electronic structure of, 721  
Chromatic aberrations, 597, 614, 617  
Chromium, electronic structure of, 721  
Circular motion, 47  
constant acceleration and, 47  
forces involved in, 80  
harmonic motion and, 239–240  
Newton's second law and, 79, 227  
nonuniform, 47  
in two dimensions, 46  
uniform, 46–47, 48  
*See also* Rotational motion  
Circular orbits, 137–138, 139, 142, 145  
Circus train, center-of-mass motion, 155  
Classical physics, 674, 684, 696–697, 738  
Clausius statement, 350  
Cliff diving, 26  
Climate modeling, 96  
Climber rescue, multiple objects and, 78  
Closed circuits, induced current in, 527  
Closed orbits, 139  
Closed-shell nuclear structure, 754  
Cloud chamber, 779  
CMB. *See* Cosmic microwave background (CMB)  
Coal *versus* uranium, 764  
Coaxial cable, 409  
Cobalt-60, beta decay of, 782

- Coefficient of kinetic friction, 84, 89  
 Coefficient of linear expansion, 324  
 Coefficient of performance (COP), 353, 361  
 Coefficient of static friction, 84, 89  
 Coefficient of volume expansion, 322, 324  
 Coherence length, 625  
 Coherence, waves, 625  
 Cohesive energy, ionic, 736  
 Collective model, 754  
 Collisions, 169  
     center-of-mass frame, 168  
     defined, 161, 169  
     elastic, 161, 164–169  
     energy in, 161  
     impulse, 161  
     inelastic, 162–164  
     kinetic energy and, 164  
     momentum and, 161, 164  
     in systems of particles, 161–162  
     totally inelastic, 162–164, 169  
 Color charge (quarks), 782, 783, 785  
 Colorless particles, 783  
 Combined cycle power plant, 353  
 Comets, orbits of, 139  
 Complementarity, 688, 689  
 Compound microscope, 616, 619  
 Compressibility, of gases and liquids, 276, 289  
 Compression force, 59  
 Compton effect, 679–680, 689  
 Compton shift, 679  
 Compton wavelength, 679–680  
 Compton, Arthur Holly, 679  
 Computer disks, 502–503, 526  
 Concave lenses, 607–608, 609, 610, 613  
 Concave meniscus lenses, 613  
 Concave mirrors, 607  
 Concrete, thermal properties of, 299, 301  
 Condenser, 351  
 Conditionally stable equilibrium, 217  
 Conduction  
     in electric fields, 452–456, 738, 739  
     in ionic solution, 454, 462  
     in metals, 453–454, 462  
     in plasma, 454, 462  
     in semiconductors, 454–455, 462  
     in superconductors, 456, 462, 538  
 Conduction band, 739  
 Conduction of heat, 300–303, 309  
 Conductivity, electrical, 452, 462  
 Conductors, 382–383, 462  
     charged, 405–406  
     electric field at conductor surface, 406–407  
     Gauss's law, 405, 409  
     magnetic force between, 497–498  
     mechanism of conduction in, 452–456  
 Confinement time, 769  
 Conservation of angular momentum, 201–203, 212, 780  
 Conservation of baryon number, 780  
 Conservation of electric charge, 369, 780  
 Conservation of energy, 113–128  
     in fluid flow, 283–284  
     gravitational potential energy, 141  
     mechanical energy, 119–121, 129  
     nonconservative forces, 119, 122–123  
     rolling downhill, 188–189  
 Conservation of mass–energy  
     conservation, 125  
 Conservation of mass, in fluid flow, 282–283  
 Conservation of momentum  
     angular momentum, 201–203, 212  
     fusion, 162  
 Constant acceleration, 21–23, 28  
     angular, 190  
     gravity, 25–27  
 Constant of universal gravitation, 135  
 Constant-volume gas thermometers, 297  
 Constant-volume processes, 334, 341, 349  
 Constructive interference, 259, 624, 626, 641, 646  
 Contact forces, 59  
 Continuity equation, 282, 289  
 Continuous charge distributions, 378–380  
 Continuum state, 705  
 Control rods, 765  
 Controlled fusion, 769  
 Convection, 304, 309  
 Converging lenses, 608, 609  
 Convex lenses, 608, 610, 611, 613  
 Convex meniscus lenses, 613  
 Convex mirrors, 606, 607  
 Coolant, for nuclear power reactors, 766  
 Cooper, Leon, 743  
 Coordinate systems, 17  
     vectors and, 35  
 COP. *See* Coefficient of performance (COP)  
 Copernicus, Nicolaus, 134  
 Copper  
     electrical properties of, 452  
     electronic structure of, 721  
     work function of, 678  
 Copper, thermal properties of, 299, 301, 319, 322  
 Corner reflector, 590  
 Corona discharge, 428  
 Corrective glasses, 615  
 Correspondence principle, 688, 701–702  
 Cosmic microwave background (CMB), 788, 789, 792  
 Cosmic rays, 779  
 Cosmological constant, 791  
 Coulomb (C), 370  
 Coulomb, Charles Augustin de, 370  
 Coulomb's law, 369–373, 384, 395, 403, 587  
     Gauss's law and, 504  
 Covalent bonding, 731–732, 744  
 CP conservation, 782  
 CPT conservation, 782  
 CPT symmetry, 782  
 Crash tests, 161  
 Credit cards, 502, 526  
 Critical angle, 593–595, 598  
 Critical damping, 245, 553  
 Critical density, 791  
 Critical field, 742, 744  
 Critical ignition temperature, 769  
 Critical mass, 764–765, 772  
 Critical point, 321, 324  
 Croquet, 167–168  
 Cross product, 198, 205  
 Crossover network, 550  
 Crystal structure, 735–737  
 Crystalline solids, 735–737  
 Curie (Ci), 754  
 Curie temperature, 502  
 Curie, Irène, 759  
 Curie, Marie, 754  
 Curie, Pierre, 754  
 Curiosity rover, 54, 60  
 Current. *See* Electric current  
 Current density, 451–452, 462  
     Ohm's law, 452, 456–458, 457, 462, 473, 587  
 Current loops, 496–497, 498–499, 509  
 Curve of binding energy, 761–762, 772  
 Curved mirrors, 604–606, 619  
 Cyclic processes, thermodynamics, 337–338  
 Cyclotrons, 492–493  
     frequency, 492, 509  
 D  
 D-D reaction (deuterium–deuterium reaction), 768, 771  
 D-T reaction (deuterium–tritium reaction), 768, 771  
 Damped harmonic motion, 242, 245  
 Damping, 553  
 Dark energy, 791, 792  
 Dark matter, 791, 792  
 Daughter nucleus, 757  
 Davisson, Clinton, 685  
 de Broglie wavelength, 684, 689  
 de Broglie, Louis, 684  
 de Broglie's wave hypothesis, 685, 696, 699  
 Decay  
     asymmetric decay, 782  
     radioactive, 786  
 Decay constant, 755  
 Decay rate (radioactivity), 754–757  
 Decay series, 758–759  
 Deceleration, 21  
 Decibel (dB), 258  
 Defibrillator, 434, 441, 460  
 Definite integral, 100  
 Degenerate electron pressure, 718  
 Degenerate states, 705  
 Degree of freedom, 339, 341  
 Delayed neutrons, 765  
 Democritus, 675  
 Density of fluids, 276  
 Density of states, 738  
 Derivative, 19, 21  
 Descartes, René, 592  
 Destructive interference, 259, 624, 641, 646  
 Deuterium, 750, 768  
 Deuterium oxide, 766  
 Deuteron, 166  
 Diesel power, adiabatic process and, 336  
 Diffraction gratings, 629–632, 646  
 Diffraction limit, 638–640, 641, 646  
 Diffuse reflection, 590  
 Diodes, 559  
 Diopter, 615  
 Dipole moment, 384  
     electric, 378, 384  
     induced, 383  
     magnetic, 498, 752  
     nuclear magnetic, 752  
 Dirac equation, 706  
 Dirac, Paul, 696  
 Direct current (DC), 557–558  
 Directions, 17  
 Disk, rotational inertia by integration, 182–183  
 Disorganized states, 347  
 Dispersion in wave motion, 260–261  
 Dispersion, of light, 595–597, 598  
 Displacement, 16–17, 28, 97–98  
     angular displacement, 176  
     coordinate systems, 17  
 Displacement current, 566–567  
 Displacement vector, 35  
 Dissociation energy, 731  
 Diverging lenses, 608  
 Division, scientific notation and, 6, 7  
 DNA, bonding in, 732  
 Donor levels, 739  
 Doping, 455, 739, 744  
 Doppler effect (Doppler shift), 266–270  
     light, 268  
 Doppler effect (Doppler shift), redshift and, 787

## I-4 Index

- Doppler, Christian Johann, 267  
Dot product, 98  
*See also* Scalar product  
Double concave lenses, 613  
Double convex lenses, 613  
Double-slit interference, 626–629  
Double-star system, 631  
Doublet, 718  
Down quarks, 782, 784  
Drag forces, 88  
Drift velocity, 450, 453, 462  
Driven oscillations, 243–344  
DVDs, 1–2, 175–176, 740  
    diffraction and, 640  
    diffraction grating, 632  
Dynamics, 54, 55  
    rotational dynamics, 184  
*See also* Motion
- E**
- Earth  
    atmosphere, light, 577  
    convection and solar heat, 304  
    ether concept and motion of, 649, 669  
    global warming, 307–308  
    greenhouse effect, 307  
    Greenland ice cap, 280–281  
    interior structure of, 264  
    magnetic field of, 499  
    ocean waves, 1, 261, 262  
    precession of, 204–205  
    pressure at ocean depths, 277  
    rainbow, 596, 598  
    seasons, 196  
    smog, 337  
    tides, 144  
Eddy currents, 526–527  
Efficiency  
    of Carnot engine, 349  
    of engine, 349  
    thermodynamic, 350  
Eightfold Way, 782  
Einstein cross, 668  
Einstein, Albert, 55, 61  
    photoelectric effect, 678, 689  
    relativity and, 648, 651–652, 656, 791  
Elastic collisions, 161, 164–169  
    in one dimension, 164–167, 169  
    in two dimensions, 167–168  
Elastic potential energy, 117  
Electric charge, 368–369, 384, 780  
    charge distribution, 372  
    conservation of, 369, 780–781  
    Coulomb's law, 369–373, 384, 395, 403, 587  
    magnetism and, 489  
    moving, 587  
    point charges, 372  
    quantity of, 369  
    quantization of, 369, 674–675  
    source charge, 370  
    superposition principle, 372, 375, 384  
    test charge, 374  
    units of, 369  
Electric circuits, 467–482  
    AC circuits, 545–546  
    capacitors in, 477–481, 482  
    electromotive force (emf), 468  
    high-Q circuits, 554  
    inductors in, 531–533  
    Kirchoff's laws, 474, 482  
    LC circuits, 550–553, 559  
    multiloop circuits, 474–475  
    parallel circuits, 587  
    RC circuits, 477–481, 559  
    resistors, 468–473, 482  
    RL circuits, 531–532, 559  
    RLC circuits, 553–556, 559  
    with series and parallel components, 472–473  
    series circuits, 587  
    symbols used, 467  
Electric current, 382, 449–452, 462, 587  
    ammeters, 477  
    current density, 451–452  
    induced currents, 516–517  
    magnetic force and, 493–495  
    Ohm's law, 452, 456–458, 457, 462, 587  
    units of, 4, 449  
*See also* Conductors  
Electric dipole moment, 378  
Electric dipole potential, 429  
Electric dipoles, 377–378, 381–382, 384  
    in electric fields, 381–382, 384, 403  
    oscillating, 577  
    point charge, 403  
Electric eels, 454  
Electric field, 373–375, 384, 414, 587  
    of arbitrary charge distributions, 403–404  
    of charge distributions, 375–380  
        charged ring, 379  
        continuous, 378–380  
    conduction in, 452–456  
        in ionic solutions, 454, 462  
        in metals, 453–454, 462  
        in plasmas, 454, 462  
        in semiconductors, 454–455, 462  
        in superconductors, 456, 462, 538  
    at conductor surface, 406–407  
    conductors, 382–383  
    conservative/nonconservative, 537–538  
    corona discharge, 428  
    dielectrics, 383, 384  
    electric dipoles in, 381–382, 384  
    electric field lines, 389–390  
    energy in, 441–443  
    Gauss's law, 394–402, 409, 503, 564,  
        567, 582  
    insulators, 383  
    magnetic field and, 506–507  
    Ohm's law, 452, 456–458, 457, 462, 473, 587  
    or charge distributions, linear, 378, 384  
    of point charge, 374, 384  
    point charges in, 380–381, 384  
    solenoids, 507–508, 509  
    zero, 376  
Electric field lines, 389–391, 409  
    of charge distribution, 390  
Electric flux, 391–394, 409  
Electric force, 368, 384, 414, 587  
    gravity and, 372  
    superposition principle, 372, 375, 384  
Electric generators, 2, 525, 526, 539  
Electric motors, 2  
Electric potential, 414, 429, 711  
    calculating field from potential, 424–425  
    of charge distribution  
        charged disk, 423–424  
        charged ring, 423  
        charged sheet, 417  
        continuous, 422–424  
        curved paths, 417–418  
        dipole potential, 421–422  
        nonuniform fields, 417–418  
        point charge, 419  
        with superposition, 421–422  
charged conductors, 427–428  
electric field and, 424–426  
zero of, 419–420  
Electric potential difference, 415, 429, 587  
    calculating, 418–424  
    high-voltage power line, 421  
    units of, 418  
    using superposition, 421–422  
Electric power, 458–459, 462  
    in AC circuits, 556  
    fusion energy, 770–771  
    nuclear power, 767–768  
    nuclear reactors, 765  
    pumped storage, 117  
    *See also* Electric generators; Electric power plants  
Electric power lines, 458–459  
    magnetic force and, 493–495  
    potential relative to ground, 421  
Electric power plants  
    combined cycle power plant, 353  
    steam system, 353  
    thermodynamics of, 352–353  
    *See also* Nuclear power; Nuclear reactors  
Electric power supply direct current (DC), 557–558  
    transformers and, 459, 557–558, 559  
Electric shock, 461  
Electrical conduction. *See* Conduction  
Electrical conductivity, 452, 462  
Electrical energy. *See* Electric power  
Electrical measurements, 476–477  
Electrical meters, 476–477  
Electrical safety, 459–461, 462  
Electrolytic capacitors, 438  
Electromagnetic force, 490, 587, 792  
    electroweak unification, 785  
    quantum electrodynamical description of, 778  
Electromagnetic induction, 516–539, 587  
    defined, 518  
    eddy currents, 526–527  
    energy and, 522–527  
    Faraday's law, 518–523, 526, 530, 536, 539, 547,  
        564, 582, 587  
    induced currents, 516–517  
    induced electric fields, 536–538  
    inductance, 528–533  
    Lenz's law, 523, 539  
Electromagnetic radiation, blackbody radiation,  
    675–677, 689  
Electromagnetic spectrum, 576–577, 582  
Electromagnetic systems, 2  
Electromagnetic waves, 251, 265, 568–572, 582,  
    587, 778  
    Ampere's law, 570–571  
    Doppler effect, 270  
    electromagnetic spectrum, 576–577, 582  
    Faraday's law, 570  
    Gauss's laws, 570  
    in localized sources, 580  
    momentum, 578–581  
    photons in, 689  
    plane electromagnetic wave, 568–569  
    polarization, 575, 582  
    producing, 577–578  
    properties of, 572–575  
    radiation of, 304, 309  
    radiation pressure, 581  
    in vacuum, 568, 582  
    wave amplitude, 573  
    wave fields, 571–572  
    wave intensity, 578–580  
    wave speed, 572–573  
    *See also* Light

- Electromagnetism, 2, 59, 368–384, 587  
four laws of, 504, 564–565, 587  
Maxwell's equations, 567, 582, 587, 635, 649, 669, 695  
quantization and, 674–675, 689  
quantum-mechanical view of, 778  
relativity and, 649, 651–652, 666  
*See also* Electromagnetic waves
- Electromotive force (emf), 468, 482  
back emf, 529, 530  
induced emf, 518  
motional emf, 518
- Electron capture, 758
- Electron diffraction, 685
- Electron microscope, 685
- Electron neutrinos, 781
- Electron spin, 706, 715–718
- Electron–positron pair, annihilation of, 780
- Electronic scales, 67
- Electrons  
Bohr atom, 685, 689  
Compton effect, 679–680, 689, 695  
discovery of, 675  
exclusion principle, 718–719, 725, 783  
magnetic moment of, 716  
photoelectric effect, 678, 689, 695  
properties of, 761, 781  
relativistic electron, 639  
split, 715–716
- Electronvolt (eV), 105, 416
- Electrophoresis, 374
- Electrostatic analyzer, 381, 384
- Electrostatic energy, 434–435
- Electrostatic equilibrium, 404–405, 427–428
- Electrostatic precipitators, 428
- Electroweak forces, 59
- Electroweak unification, 785
- Elementary charge, 369
- Elementary particles. *See* Particles
- Elements  
chemical behavior and, 721  
isotopes, 750, 772  
origin of, 762  
periodic table, 719–722  
radioisotopes, 755
- Elevators, 61–62, 117
- Elliptical orbits, 139
- Emission spectra, 680
- Emissivity, 304
- Energy, 94, 108, 227  
of chemical reactions, 434  
in circular orbits, 142–143  
climate modeling, 96  
in collisions, 161  
conservation of, 113–132  
in fluid flow, 283–284  
consumption by society, 106–107  
density, 442, 444  
in electric field, 441–443  
electromagnetic induction and, 522–527  
energy–momentum relation, 665, 669  
energy–time uncertainty, 687  
fusion energy, 770–771  
kinetic energy, 108  
magnetic, 533–535  
mass and, 663–665, 669  
mass–energy equivalence, 663–665  
from nuclear fission, 764  
*versus* power, 107  
quantization and, 674–675, 689  
in simple harmonic motion, 240–242, 245  
society and, 106  
units of, 105, 298
- wind energy, 287  
work and, 443  
work–kinetic energy theorem, 104, 108, 119, 129, 283  
Yankee Stadium, 106  
*See also* Heat; Kinetic energy; Potential energy
- Energy levels in molecules, 732–735
- Energy quality, 355
- Energy storage, 434  
in capacitors, 436–437, 444
- Energy storage in flywheels, 186
- Energy-level diagram, Bohr model, 682
- Energy–momentum 4-vector, 662
- Energy–momentum relation, 665
- Energy–time uncertainty, 687
- Engines, internal combustion, 349
- English system, 5
- English units of energy, 105
- Enrichment of uranium, 764
- Entropy, 353, 355–356, 361  
availability of work, 357–358  
second law of thermodynamics and, 359–360  
statistical interpretation of, 359–360
- Equation of motion, 23–24
- Equilibrium  
conditionally stable, 217  
conditions for, 211–212  
hydrostatic, 276–279, 288, 294  
metastable, 217, 220  
neutrally stable, 217, 220  
potential energy and, 217, 220  
stable, 216–219, 220  
static equilibrium, 211–227  
thermodynamic, 296–297  
unstable, 216, 217, 220
- Equilibrium temperature, 300, 309
- Equilibrium, electrostatic, 404–405, 427–428
- Equipartition theorem, 339–340, 341
- Equipotentials, 424, 429
- Equivalent capacitance, 440–441
- Erg, 105
- Escape speed, 142, 145
- Estimation, 8
- Eta particles, 781
- Ether concept, 649, 669
- Ethyl alcohol, optical properties of, 591
- Ethyl alcohol, thermal properties of, 319
- Events in relativity, 652–654, 657
- Excimer laser, 615
- Excited states  
of energy, 682  
of hydrogen atom, 714
- Exclusion principle, 718–719, 725, 783
- Expansion, thermal, 322–323, 324
- Explicit constant, 3, 4
- External forces, 151, 157–159
- External torque, 201, 203
- Eye, 614–616
- Eyeglasses, 615
- Eyepiece, of microscope, 616
- F**
- Fahrenheit temperature scale, 297, 309
- Farad (F), 436
- Faraday, Michael, 436
- Faraday's law, 518–522, 530, 536, 539, 564, 567, 582, 587  
electromagnetic waves, 570
- Farsightedness, 615
- Fermi energy, 738, 744
- Fermi, Enrico, 763
- Fermilab, 783
- Fermions, 719, 725, 780
- Ferromagnetism, 502
- Feynman, Richard, 778
- Fiber optics, 595
- Fiberglass, thermal properties of, 301
- Field particles, 780, 784
- Field point, 376
- Field-effect transistor (FET), 455–456
- Films, thin films, 633–634
- Filtering (electrical), 558
- Fine structure, 718, 725
- Finite potential wells, 704–705, 707
- Fire safety, radioactivity and, 759
- First law of thermodynamics, 329–331, 330, 335, 341
- Fish swim bladder, buoyancy, 279
- Fissile nuclei, 764, 772
- Fission products, 762–763, 767
- Fissionable nuclei, 764
- Fitzgerald, George F., 656
- Flash camera, 441  
charge capacitors, 480
- Floating objects, Archimedes' principle, 280
- Flow tube, 282, 283
- Fluid dynamics, 282–283, 294  
aerodynamic lift and airflow, 286–287  
applications of, 284–287
- Bernoulli's equation, 283–284, 284–285, 286, 289  
conservation of energy, 283–284  
conservation of mass, 282–283  
continuity equation, 282, 289  
turbulence, 288  
*See also* Fluids
- Fluid flow  
Bernoulli's equation, 283–284, 284–285, 286, 289  
viscosity, 288, 289
- Fluid friction. *See* Viscosity
- Fluid motion, 275–288  
steady flow, 281  
unsteady flow, 281  
venturi flowmeters, 285–286  
*See also* Fluid dynamics; Fluid flow
- Fluid speed, 285–286
- Fluids, 275–288  
Archimedes' principle, 279–281, 289  
buoyancy, 279–281  
density, 276  
hydrostatic equilibrium, 276–279, 288, 289, 294  
Pascal's law, 289  
pressure, 277, 289  
viscosity, 288, 289  
*See also* Fluid dynamics
- Fluorine, electronic structure of, 721
- Flywheels, 186
- Focal length, 607, 613, 615, 619, 646
- Focal point, 604, 608, 619
- Food preservation, radioactivity and, 759
- Foot-pound, 105
- Forbidden transitions, 723
- Force(s), 54, 55, 57–58, 62, 227  
action-at-a-distance, 59, 145  
buoyancy force, 279–281  
centripetal, 79  
compression, 59  
conservative, 95, 114  
contact forces, 59  
displacement, 97–98  
drag forces, 88  
electroweak, 59  
external/internal, 151  
frictional, 56, 59, 83–87  
fundamental forces, 59, 785, 792  
grand unification theories (GUTs), 785, 792  
gravitational, 59

## I-6 Index

- Force(s) (*continued*)  
interaction forces, 55  
measurement of, 66–67  
momentum and, 56  
net force, 55, 56  
nonconservative, 114–115  
normal, 66  
potential energy and, 127–128  
strong, 59, 783, 792  
tension, 59  
  of massless rope, 80  
  of spring, 67  
unification of, 59, 785–787  
units of, 57, 60  
varying, 99–103  
varying with position, 100–102  
weak, 59  
work, 96–99  
*See also* Gravity
- Forward bias, 740
- Four-vectors, 662, 669
- Fourier analysis, 260
- Fourier, Jean Baptiste Joseph, 260
- Frames of reference, 38  
  inertial, 58–59
- Frames of reference, inertial, 652, 669
- Franklin, Benjamin, 368
- Free expansion, 357
- Free fall, 25–27, 61, 66
- Free-body diagram, 62, 69
- Frequency, 252  
  angular frequency, 245, 253, 270  
  oscillatory motion, 231, 233–234, 245  
  units of, 231
- Friction  
  kinetic, 84, 89  
  Newton's first law and, 83–87, 89  
  rough sliding, 102  
  static, 84, 89
- Frictional forces, 59, 83–84
- Frisch, Otto, 762
- Fuel cells, 454
- Fuel rods (nuclear reactor), 767
- Fukushima accident, 749, 756
- Fundamental forces, 59, 785, 792
- Fusion  
  conservation of momentum, 162  
  heat of, 319
- G**
- Galaxies, Hubble's law and, 787
- Galilean relativity, 649
- Galileo, 25, 55, 60, 134
- Gallium, electronic structure of, 721
- Gamma decay, 758, 772
- Gamma rays, 577, 758
- Gas thermometers, 297
- Gas water heater, cogeneration, 355
- Gas-cooled nuclear reactors, 766
- Gas–cylinder system, heat engine, 347
- Gases, 324  
  adiabatic free expansion, 357  
  adiabatic processes, 335–337, 341  
  constant-volume processes, 334, 341  
  cyclic processes, 337–338  
  distribution of molecular speeds, 318  
  equipartition theorem, 339–340, 341  
  ideal-gas law, 314–315, 324, 336  
  isobaric processes, 334–335, 341  
  isothermal processes, 332–333, 337, 341  
  microstates/macrostates, 358  
  phase changes, 319–321
- quantum effect, 340  
real gases, 318–319  
specific heat of, 300  
thermodynamics of, 331–338  
universal gas constant, 315, 324  
van der Waals force, 319  
*See also* Ideal gases
- Gases, plasmas, 454
- Gasoline engine, 335, 353
- Gasoline, thermal expansion of, 322–323
- Gauge bosons, 780, 792
- Gauge pressure, 278
- Gauss's law, 394–396, 409, 564  
  conductors and, 405, 409  
  Coulomb's law and, 504  
  for electric field, 394–402, 503, 564, 567, 582  
  for infinite line of charge, 401  
  with line symmetry, 401–402, 409  
  with plane symmetry, 402, 409  
  for point charge within a shell, 398–399  
  with spherical shell, 397–398  
  with spherical symmetry, 397–398, 409
- for electromagnetic waves, 570
- experimental tests of, 406
- hollow conductor, 405
- hollow pipe, 402
- for magnetism, 499–500, 503, 504, 509, 519, 564, 567, 582
- sheet of charge, 402
- Geiger, Hans, 680
- Gell-Mann, Murray, 782
- General theory of relativity, 59, 134, 652, 667–668, 669, 791
- Generation time, 765
- Generators, 2, 500, 526, 539
- Geomagnetic storm, 535
- Geometrical optics, 589, 624, 646. *See* Light
- Geostationary orbit, 138–139, 140, 146
- Gerlach, Walther, 716
- Germer, Lester, 685
- Giant Magellan Telescope, 617
- Glashow, Sheldon, 783, 785
- Glass  
  dielectric constant, 438  
  electrical properties of, 452  
  optical properties of, 591  
  thermal properties of, 299, 301, 322
- Global Positioning System. *See* GPS  
  (Global Positioning System)
- Global warming, 307–308
- Gluons, 783, 784, 785
- Glycerine, optical properties of, 591
- Goeppert Mayer, Maria, 754
- Gold, electrical properties of, 452
- Goudsmit, Samuel, 716
- GPS (Global Positioning System), 15, 22, 229, 597, 667
- Grand unification theories (GUTs), 785, 792
- Graphite moderators, 766
- Grating spectrometer, 630–631
- Gratings  
  acousto-optic modulators (AOMs), 632  
  diffraction gratings, 629–632, 646  
  reflection gratings, 630  
  resolving power of, 631–632  
  transmission gratings, 630  
  X-ray diffraction, 631–632
- Gravitation  
  center of gravity, 213, 220  
  universal, 135–137, 145, 227  
*See also* Gravity
- Gravitational field, 144–146, 145, 373
- Gravitational force, 58, 59
- Gravitational potential energy, 116, 129, 140–141, 145
- Gravitational waves, 251, 668
- Gravitational-wave astronomy, 668
- Gravitons, 780, 785
- Gravity, 60–62, 134–145, 792  
  acceleration and gravitation, 60  
  Cavendish experiment, 137  
  center of gravity, 213, 220  
  constant acceleration, 25–27  
  electric force and, 372  
  escape from, 142, 145  
  historical background, 134–135  
  hydrostatic equilibrium with, 276–279, 294  
  inertia and, 60  
  inverse square feature of, 136  
  near Earth's surface, 136  
    free fall, 25–27, 60, 61, 66  
    projectile motion, 137  
    work done against, 103, 121–122  
  orbital motion and, 135, 137–139  
  quantum physics and, 786  
  third-law pair, 67  
  universal law of, 135–137, 145  
  weight and, 60  
  work done against, 103
- Gray (Gy), 759
- Green-antired, 783
- Greenhouse effect, 244
- Greenhouse gases, 318–319
- Greenland ice cap, 280–281
- Ground fault circuit interrupter, 461
- Ground state, 712–713
- Ground state of energy, 682
- Ground-state energy, 700
- Ground-state wave function, 700
- Guth, Alan, 790
- H**
- Hadrons, 780, 782, 784, 792
- Half-life, 755, 756, 772
- Hall coefficient, 495
- Hall effect, 495
- Hall of Electricity, Boston Museum, 420
- Hall potential, 495
- Hard ferromagnetic materials, 502
- Harmonic oscillators, 702–703. *See* Oscillatory motion  
  quantum harmonic oscillator, 734  
  quantum mechanical, 702–703, 707  
  selection rule for, 734
- Harmonics, 265
- Head-on collisions, 165–166
- Headphones, noise-cancelling, 260
- Heat, 309, 335  
  defined, 298  
  phase changes and, 319–321  
  units of, 299
- Heat capacity, 298–300, 309  
  specific heat and, 298–300
- Heat conduction, 301–303, 309
- Heat engines, 347–349  
  adiabatic compression, 348  
  adiabatic expansion, 348  
  isothermal compression, 348  
  isothermal expansion, 348  
  limitations of, 351–353
- Heat loss, thermal-energy balance, 306–308, 309
- Heat of fusion, 319  
  nuclear power plant meltdown, 319
- Heat of sublimation, 319
- Heat of transformation, 319, 324, 366
- Heat of vaporization, 319
- Heat pumps, 353–354, 361

- Heat transfer, 300–305, 335  
 conduction, 301–303, 309  
 convection, 304, 309  
 first law of thermodynamics, 329–331, 330, 341  
 radiation, 304, 309  
 Heavy water, 276, 766  
 Heisenberg, Werner, 686, 688, 696  
 Helicopters  
   aerodynamic lift and airflow, 286–287  
   weight in, 68  
 Helium  
   atomic structure of, 750  
   mass defect in, 761  
   specific heat of, 339  
   thermal properties of, 301  
 Helium atom, electronic structure of, 721  
 Helium-3, 752  
 Helium-4, 752, 761  
 Henry (H), 529  
 Henry, Joseph, 516, 529  
 Hertz (Hz), 231  
 Hertz, Heinrich, 231, 576, 677  
 Higgs bosons, 784  
 High-energy particles, 779–780  
 High-Q circuit, 554  
 High-temperature superconductors, 743  
 Hiroshima bomb, 763, 769  
 Hockey, 162  
 Hodgkin, Alan L., 475  
 Holes (semiconductors), 739  
 Holograms, 724  
 Hooke's law, 67, 68  
 Horizontal range of projectile, 43–44  
 Horsepower (hp), 105  
 Hubble constant, 787  
 Hubble Deep Field, 788, 792  
 Hubble Space Telescope, 604, 605, 607–608, 618  
 Hubble, Edwin, 787  
 Hubble's law, 787  
 Human body  
   cardiac catheterization, 460  
   electric current, effects on, 460  
   electric shock, 461  
   eye, 614–616  
   radiation, effects of on, 759–760  
   resistance of skin, 460  
   sound and the ear, 258  
   static equilibrium, 215–216  
   *See also* Medical devices and procedures  
 Huxley, Andrew F., 475  
 Huygens, Christian, 636  
 Huygens' principle, 635–636, 641, 646  
 Hybrid-car motor, 501  
 Hydraulic lift, 278  
 Hydrogen  
   fusion, 768  
   isotopes, 750, 755  
   tritium, 755  
 Hydrogen atom, 711–715  
   Bohr model, 683, 684, 689  
   excited states of, 680  
   fine structure of, 718  
   ground state, 712–713  
   potential-energy curve for, 744  
 Hydrogen bomb, 769  
 Hydrogen bonding, 732, 744  
 Hydrogen spectrum, 680–681, 689  
 Hydrostatic equilibrium, 276–279, 294  
 Hyperfine splitting, 753  
 Hyperfine structure, 718
- I**
- Ice  
   boiling point of, 297  
   bonding in, 732  
   crystal structure of, 323  
   melting point of, 297  
   optical properties of, 591  
   thermal properties of, 299, 322  
   *See also* Water  
 Ice skating, 201  
 IDEA strategy, 9–10, 11  
   *See also* Problem solving  
 Ideal emf, 468  
 Ideal gases, 315–317, 324, 335  
   adiabatic free expansion, 357  
   adiabatic processes, 335–337, 341  
   constant-volume processes, 334, 341  
   cyclic processes, 337–338  
   equipartition theorem, 339–340, 341  
   internal energy of, 334  
   isobaric processes, 334, 341  
   isothermal processes, 332–333, 337, 341, 366  
   quantum effect, 340  
   specific heats of, 339–340  
 Ideal spring, 67, 69, 118, 121, 129, 231  
 Ideal-gas law, 314–315, 324, 336, 366  
 Image distance, 607, 613, 617  
 Images, 603, 619  
   with lenses, 609  
   with mirrors, 603–608  
   real images, 603, 605–607, 609, 610, 612, 615, 616, 617, 619, 646  
 Impact parameter, 167  
 Impedance, 554, 559  
 Impulse, 161  
 Incandescent lightbulbs, 677  
 Incompressibility, of liquids, 276, 294  
 Index of refraction, 591, 646  
 Induced current, 516–517, 522  
   closed and open circuits, 527  
   eddy currents, 526–527  
 Induced dipole moments, 383  
 Induced electric fields, 536–538  
 Induced emf, Faraday's law and, 518–522, 530, 536, 539, 564, 567, 582  
 Inductance, 528–533, 539  
   mutual inductance, 528  
   self-inductance, 528–530  
 Inductive reactance, 548, 559  
 Inductive time constant, 532, 539  
 Inductors, 539, 548  
   in AC circuits, 547–548, 549–550  
   in electric circuits, 531–533  
   magnetic energy in, 533–535  
   reactance, 548, 559  
 Inelastic collisions, 162–164  
 Inert gases  
   electronic structure of, 721  
   specific heat of, 339  
 Inertia, 57  
   gravitation and, 60  
   rotational, 180–185, 190, 200, 245  
 Inertial confinement, 769–770, 772  
 Inertial confinement fusion, 769–770, 772  
 Inertial guidance, 22  
 Inertial navigation systems, 22  
 Inertial reference frames, 58, 652, 669  
 Infinite square well, 698–700, 707  
 Infinitesimals, 19  
 Inflation (of universe), 790–791  
 Infrared cameras, 576
- Infrared frequency range, 577  
 Insect control, radioactivity and, 759  
 Instantaneous acceleration, 20  
 Instantaneous acceleration vector, 37  
 Instantaneous angular velocity, 176  
 Instantaneous power, 105  
 Instantaneous speed, 18  
 Instantaneous velocity, 18–20  
 Instantaneous velocity vector, 37  
 Insulators, 382–383, 452, 738, 739
- Integrals  
   definite integral, 100  
   line integral, 102–103, 108  
   setting up, 154
- Integration, rotational inertia, 181–182  
 Intensity, waves, 257–258, 270  
 Interaction force pair, 65  
 Interaction forces, 55, 69  
 Interference, 259, 261, 294, 625–626, 641  
   constructive interference, 259, 624, 627, 631–632, 646  
   destructive interference, 259, 624, 646  
   double-slit interference, 626–629  
   fringes, 626, 646  
   interferometry, 633–635  
   multiple-slit interference, 629–632, 630  
   noise-cancelling headphones, 260  
   pattern, intensity in, 628–629  
   in two dimensions, 261–262  
   waves, 260, 262  
     X-ray diffraction, 631–632  
 Interferometry, 633–635, 641  
 Internal combustion engines (ICE), 349  
 Internal energy, 298, 329, 330, 334  
 Internal kinetic energy, 160  
 Internal resistance, 470, 482  
 International Space Station, 47, 61, 135, 138, 143, 152–153  
 Invar, thermal properties of, 322  
 Invariants, relativistic, 662, 669  
 Inverse Compton effect, 680  
 Inverse square force laws, gravity as, 136  
 Inversion (of atmosphere), 337  
 Inverted image, 605, 606, 607, 610, 617  
   curved mirrors, 605  
 Iodine-131, 755  
 Ionic bonding, 731, 744  
 Ionic cohesive energy, 736  
 Ionic conduction, 454, 462  
 Ionic solutions, electrical conduction in, 454, 462  
 Ionization, 683  
 Ionization energy, 683  
 Iron  
   electrical properties of, 452  
   thermal properties of, 299, 301  
 Irreversible/reversible processes, 331, 332–333, 347, 357
- Isobaric processes, 334–335, 341  
 Isochoric processes, 334  
 Isometric processes, 334  
 Isotherm, 332–333  
 Isothermal compression, heat engine, 348  
 Isothermal expansion, heat engine, 348  
 Isothermal processes, 332–333, 337, 341  
 Isotopes, 750, 772  
   radioisotopes, 755  
   transuranic isotopes, 767
- Isovolumic processes, 334  
 ITER fusion reactor, 770
- J**
- Jensen, J. Hans, 754  
 Joliot-Curie, Frédéric, 758  
 Jordan, Pascal, 696

## I-8 Index

- Joule (J), 97, 98, 105, 108, 298  
Joule, James, 97, 298
- K**  
Kaon particles, 781  
Kayaking, 158  
Keck Telescopes, 618  
Kelvin (K), 4, 297  
Kelvin temperature scale, 297, 309  
Kelvin–Planck statement, 347  
Kepler, Johannes, 134, 139  
Kepler's third law, 138  
Kibble balance, 4  
Kilocalorie, 299  
Kilogram (kg), 3  
Kilowatt-hours (kWh), 105, 106  
Kinematics, 16, 28  
*See also* Motion  
Kinetic energy, 103–105, 108  
of center of mass, 160  
collisions and, 164–165  
of composite object, 187  
defined, 104, 108  
internal, 160  
of mass element, 185  
relativistic, 663–664  
rotational, 185  
of systems of particles, 160  
work and, 103–105  
Kinetic friction, 84, 89  
Kirchoff's laws, 474, 482  
Krypton, electronic structure of, 721
- L**  
Ladders, 214–215  
Lakes  
heat conduction in, 302  
turnover, 323  
wave motion in, 265  
Lambda particles, 781  
Lanthanide series, 722  
Lanthanum, electronic structure of, 721  
Large Electron Positron Collider, 784  
Large Hadron Collider (LHC), 787  
Laser Interferometer Gravitational Wave Observatory (LIGO), 635, 668  
Laser light, 574, 625  
Laser printer, 428  
Lasers, 719, 724  
CDs or DVDs, 1, 2, 740  
excimer laser, 615  
laser light, 574, 625  
vision correction with, 615  
LASIK, 615  
Law of conservation of mechanical energy, 129  
Law of inertia, 57  
Law of Malus, 575  
Law of universal gravitation, 145  
Laws of motion. *See* Newton's laws of motion  
Lawson criterion, 769  
LC circuits, 550–553, 559  
LCDs (liquid crystal displays), 575  
Lead, thermal properties of, 319  
LEDs (light emitting diodes), 740  
Lee, Tsung-dao, 782  
Length contraction, 656–657, 669  
Length, units, 3  
Lens equation, 610–611  
Lenses, 608, 646  
aberrations of, 614, 617  
antireflection coatings, 591  
astigmatism, 614  
chromatic aberration, 614, 617  
concave lenses, 608, 613  
contact lenses, 615  
converging lenses, 608, 609  
convex lenses, 613  
corrective glasses, 615  
diverging lenses, 608  
image formation with, 609, 619  
lens equation, 610–611  
magnifying glass, 611  
optics of, 612–613  
refraction in, 611–614  
thin lenses, 608  
Lensmaker's formula, 613, 619  
Lenz's law, 523, 539  
Lepton number, 780  
Lepton–antilepton pairs, 780  
Leptons, 780, 784–785, 792  
Lever arm, 179  
Levitation, magnetic, 538, 742  
Light  
Compton effect, 679–680, 689, 695  
diffraction, 636–638, 641, 646  
diffraction limit, 638–640, 641, 646  
dispersion of, 595–597, 598  
Doppler effect, 268  
double-slit interference, 626–629  
as electromagnetic phenomenon, 572–573  
interference, 260, 262, 625–626, 641, 646  
laser light, 574, 625  
Michelson–Morley experiment, 650–651, 652, 669  
multiple-slit interference, 629–632, 641  
photoelectric effect, 677–680, 689, 695  
photons, 677–680  
polarization of, 574–575, 582, 593  
prisms, 596  
rainbow, 596, 598  
reflection of, 590, 593, 646  
refraction of, 591–593, 646  
Snell's law, 592, 646  
speed of, 3, 676  
total internal reflection of, 593–595, 598  
visible light, 576  
wave–particle duality, 679, 688, 689, 695–696  
*See also* Electromagnetic waves  
Light-water reactors (LWRs), 766  
Lightbulbs, 677  
Lightning, 374–375, 383, 408  
Line–charge density, 378  
Line integral, 102–103, 108  
Line symmetry, charge distributions, 401–402, 409  
Linear accelerators, 786  
Linear momentum conservation of, 156–159  
Linear speed versus angular speed, 176–177  
*See also* Speed  
Linear-expansion coefficient, 322, 324  
Liquid-drop model, 754  
Liquids, optical properties of, 591  
Liquids, phase changes, 319–321  
Liter (L), 4  
Lithium atom, electronic structure of, 721  
Longitudinal waves, 252, 270  
Lorentz transformations, 659–662, 669  
Lorentz–Fitzgerald contraction, 656  
Lorentz, H. A., 656  
Loudspeaker systems, 550, 555–556  
Luggage, pulling, 98  
Luminosity, units of, 4  
Lyman series, 681
- M**  
Mach angle, 270  
Mach number, 270  
Macrostates, 358  
Madelung constant, 736  
Magic numbers, 753  
Magnetic confinement, 769  
Magnetic confinement fusion, 770, 772  
Magnetic dipole moment, 498, 752  
Magnetic dipoles, 498–501  
Magnetic domain, 502  
Magnetic energy, 533–535  
Magnetic field, 488, 587  
Ampere's law, 503–508, 564, 565–567, 570–571, 582  
Biot–Savart law, 496–497, 509, 587  
charged particles in, 491–493  
electric field and, 506–507  
Gauss's law, 499–500, 503, 504, 509, 564–565, 567, 570, 582  
induced currents, 516–517  
Maxwell's equations, 582, 587  
origin of, 495–498  
solenoids, 507–508, 509  
superposition principle, 496, 502, 503  
toroids, 508  
units of, 488  
Magnetic flux, 500–502, 519–520  
Magnetic force, 489, 509, 587  
between conductors, 497–498  
electric current and, 493–495  
Hall effect, 495  
Magnetic levitation, 538, 742  
Magnetic matter, 501–503  
Magnetic moment of electrons, 716  
Magnetic monopoles, 499  
Magnetic permeability, 503  
Magnetic recording, 526  
Magnetic resonance imaging. *See* MRI  
(magnetic resonance imaging)  
Magnetic torque, 500–501  
Magnetic-energy density, 535, 539  
Magnetism, 488, 509  
diamagnetism, 503, 509, 538, 539  
ferromagnetism, 502, 509  
Gauss's law for, 499–500, 502, 504, 509, 564–565, 567, 582  
magnetic matter, 501–503  
paramagnetism, 502, 509  
superconductivity and, 741–743  
Magnets, 502  
Magnification, 610, 616, 619  
Magnifiers, 616–617, 616–618  
Malus, law of, 575  
Manometers, 277, 278  
Marconi, Guglielmo, 576  
*Mars Climate Orbiter*, 3  
Marsden, Ernest, 680  
Mass, 4  
acceleration of, 57–58, 61  
center of mass, 150–156  
conservation of, in fluid flow, 282–283  
energy and, 663–665, 669  
mass–energy equivalence, 664–665  
*versus* weight, 60, 69  
Mass defect, 760  
Mass elements, 153  
Mass flow rate, 282  
Mass number, 750, 772  
Mass spectrometers, 491  
Mass–energy conservation, 125  
Mass–energy equivalence, 664–665  
Mass–spring system  
harmonic motion in, 235, 240–241, 243  
vertical, 235  
wave propagation in, 252  
Mass–spring system, harmonic motion in, 702

- Matter  
 annihilation, 664, 780  
 antiparticles, 706  
 Bose-Einstein condensate, 719, 724, 725  
 in electric fields, 380–383  
 electromagnetic waves in, 473–574  
 matter-wave interference, 685  
 phase changes in, 319–321  
 quantization of, 675, 689  
 relativistic particles, 665  
 thermal behavior of, 314–323  
 wave-particle duality, 679, 688, 689, 695–696  
*See also* Gases; Liquids; Particles; Solids
- Matter waves, 684–685
- Matter-wave hypothesis, 684–685
- Matter-wave interference, 685
- Maxima, 218
- Maxwell-Boltzmann distribution, 318, 339
- Maxwell, James Clerk, 318, 566, 572–573, 576
- Maxwell's equations, 567, 582, 587, 634  
 relativity and, 649, 669, 695
- Mayer, Maria Goeppert, 754
- Measurement  
 prefixes for units, 4  
 units, 2–5
- Mechanical energy  
 conservation of, 119–122, 129  
 defined, 119
- Mechanical waves, 251
- Mechanics, 1, 15, 647, 649
- Media, mechanical waves and, 251
- Medical devices and procedures  
 bone scans, 759  
 defibrillator, 434, 441, 460  
 laser vision correction, 615  
 lasers, 615, 724  
 MRI, 456, 489–490, 534, 741, 753  
 PET, 664, 756, 758  
 radioactivity used in, 759
- Meissner effect, 538, 744
- Meitner, Lise, 762
- Melting point, 298
- MEMS (microelectromechanical systems), 68
- Mendeleev, Dmitri, 719
- Mercury barometers, 277
- Mercury, electrical properties of, 452
- Mercury, thermal properties of, 299, 319
- Merry-go-rounds, 202
- Mesons, 778, 780, 782, 784, 792
- Metal detectors, 527
- Metallic bonding, 732, 744
- Metallic conductors, 738–739
- Metals, electrical conduction in, 453–454, 462
- Metals, thermal conduction in, 301
- Metastable equilibrium, 217, 220
- Metastable states, 723
- Meter (m), 3
- Metric system, 2–5
- Michelson interferometer, 634–635, 641, 650
- Michelson–Morley experiment, 650–651, 652, 669
- Michelson, Albert A., 634, 650
- Microampères, 449
- Microelectromechanical systems (MEMS), 68
- Microelectronics, 686–687
- Microgravity, 62
- Microscopes, 616–617, 619  
 electron microscope, 685  
 scanning tunneling microscope, 694, 704
- Microstates, 358
- Microwave ovens, 265, 383
- Microwaves, 576  
 cosmic microwave background, 788–789, 792
- Milliamperes (mA), 449
- Millikan, Robert A., 369, 675, 678
- Minima, 218
- Mirrors, 603–608, 646. *See* Reflection  
 aberrations of, 604, 605, 614  
 concave, 607  
 convex mirrors, 606, 607–608  
 curved mirrors, 604–606, 619  
 magnification, 606, 619  
 mirror equation, 606–608  
 parabolic mirrors, 604–605  
 plane mirrors, 603–604
- Mode number, 265
- Moderator (nuclear power reactor), 166, 766
- Modern physics, 2, 648
- Modes, 265
- Molar specific heat at constant pressure, 335
- Molar specific heat at constant volume, 334
- Mole (mol), 4
- Molecular spectra, 734–735
- Molecular speed, 317, 318
- Molecules  
 as electric dipoles, 377–378  
 energy levels in, 732–735  
 equilibrium states of, 219  
 potential-energy curve for, 127  
 resonance in, 244  
 spectra of, 734–735
- Moment of inertia, 180
- Momentum, 156–159, 227  
 in collisions, 161, 164  
 conservation of, 157–159, 227  
 defined, 56, 69, 156  
 electromagnetic waves, 581  
 energy-momentum relation, 665, 669  
 forces and, 56  
 relativistic, 663, 669  
 uncertainty principle, 686–688, 689  
 viscosity and, 288, 294  
*See also* Angular momentum
- Monatomic structure, 339
- Moon  
 circular orbit of, 138  
 gravity and, 135, 136
- Morley, Edward W., 650
- Motion  
 aircraft, 18  
 Aristotle on, 54  
 average motion, 16–18  
 of center of mass, 150, 155  
 changes in, 55  
 equations of, 23–24  
 ether concept and, 649, 669  
 kinematics and, 28  
 kinetic energy and, 108  
 mechanics and, 1  
 relative motion, 38–39  
 straight-line motion  
   acceleration, 20–25  
   velocity, 18–20  
 in three dimensions, 48  
 in two dimensions  
   circular, 46–47  
   with constant acceleration, 38, 47  
   projectile, 39–40, 48  
   relative motion, 38–39  
 uniform motion, 56  
 vectors, 34–38  
*See also* Circular motion; Fluid motion;  
 Newton's laws of motion; Oscillatory motion; Projectile motion; Rotational motion; Wave motion
- Motional emf  
 and changing fields, 518–519  
 defined, 518  
 and Lenz's law, 524
- Motors. *See* Electric motors
- Mountain climbing, 106
- MRI (magnetic resonance imaging), 204, 244, 456, 489–490, 534, 741, 753
- Multimessenger astronomy, 668
- Multimeters, 477
- Multiple-slit diffraction systems, 638
- Multiple-slit interference, 629–632, 641
- Multiplication  
 scientific notation and, 6, 7  
 of vectors, 35, 36, 198
- Multiplication factor, 765
- Multwire proportional chamber, 779
- Muon neutrinos, 781, 784
- Muons, 654, 779, 781, 784
- Music  
 CDs, 626, 632, 741  
 refraction and, 592–593  
 loudspeaker systems, 550, 555–556  
 sound waves, 258, 265–266
- Musical instruments standing waves in, 265–266
- Musical instruments standing waves in, tuning a piano, 552–553
- Mutual inductance, 528
- Myopia, 615
- N
- N-type semiconductor, 455, 739
- Nagasaki bomb, 763
- Nanotube, 647
- National Ignition Facility (NIF), 769
- Natural frequency, oscillatory motion, 244
- Natural greenhouse effect, 318–319
- Ne'eman, Yuval, 782
- Near point, 615
- Nearsightedness, 615
- Negative work, 115
- Neon  
 electronic structure of, 721  
 specific heat of, 339
- Net charge, 369
- Net force, 55, 56
- Neutral buoyancy, 279
- Neutrally stable equilibrium, 217, 220
- Neutrinos, 758, 768, 779–780, 785
- Neutron star, 718
- Neutrons, 750, 758, 792  
 beta decay of, 757–758, 780  
 high-energy fission, 769  
 properties of, 761, 781
- New Horizons spacecraft, 134
- Newton (N), 57
- Newton-meter (N m), 97, 108
- Newton, Sir Isaac, 47, 54, 55, 134, 135, 137, 139, 596
- Newton's laws of motion, 54, 89, 227  
 first law, 55–56, 57, 69, 227  
 friction and, 83–87, 89  
 uniform motion, 55–56
- rotational analogs of, 196, 197
- second law, 56–57, 69, 169, 227  
 applications, 62–65, 74–77, 89  
 circular motion, 79–83  
 drag forces, 88  
 for multiple objects, 77–78  
 for rotational motion, 178, 180, 184  
 for systems of particles, 150, 151, 156  
 weight and, 60
- third law, 65–68, 69, 169, 227, 286–287
- Newtonian mechanics, 15
- Nickel, work function of, 678
- NIF (National Ignition Facility), 769

## I-10 Index

- NIST-F1 atomic clock, 27  
Nitrogen dioxide, specific heat of, 339  
NMR (nuclear magnetic resonance), 244, 752  
Nodal line, 262  
Nodes, 265  
circuit components and, 474  
Noise-cancelling headphones, 260  
Nonconservative electric field, 537–538  
Nonconservative forces, 114–115, 119  
conservation of energy, 119, 122–123  
Nonlinear pendulum, 238  
Nonohmic materials, 452  
Nonuniform circular motion, 48  
Normal force, 66  
Normalization condition, 698, 701–702, 707, 713  
North Star, 204  
Nuclear energy, 687  
Nuclear fission, 761, 762–768, 772  
chain reaction, 764–765, 772  
energy from, 764  
fission products, 763–764, 767  
nuclear power, 766–767  
radioactive waste, 763, 767–768  
to trigger fusion reactions, 769  
uranium *versus* coal, 764  
weapons, 763, 765, 766  
Nuclear force, 59, 750, 778, 783  
Nuclear fuel, 7  
Nuclear fusion, 761, 768–771, 772  
inertial confinement fusion, 769–770, 772  
magnetic confinement fusion, 770, 772  
Nuclear magnetic dipole moment, 752  
Nuclear magnetic resonance (NMR), 244  
Nuclear magneton, 752  
Nuclear physics, 749–771  
binding energy, 760–762  
nuclear structure, 749–754  
radioactivity, 754–760  
Nuclear power, 766–767, 767–768  
Nuclear power plants  
elastic collisions in, 166  
meltdown, 320  
thermal pollution, 331  
Nuclear radius, 751  
Nuclear reactors, 765, 766  
Nuclear shell model, 754  
Nuclear spin, 751–753  
Nuclear structure, 749–754  
Nuclear symbols, 749–754  
Nuclear waste, 764, 767–768  
Nuclear weapons, 763, 765, 766, 769  
Nucleons, 750, 751  
Nucleosynthesis, 762  
Nucleus (nuclei), 749, 750  
angular momentum of, 751–752  
binding energy, 760–762  
models of nuclear structure, 753–754  
nuclear force, 59, 750  
size of, 751  
spin of, 751–753  
stability of, 750–751  
Nuclides, 751  
Numbers estimation, 9  
prefixes, 4  
scientific notation, 5–6  
significant figures, 7–8
- O**  
Object distance, 607, 611, 619  
Objective lens, 616  
Oceans  
Archimedes' principle, 280  
pressure at depths, 277  
turnover, 323  
waves, 1, 261, 262
- Ohm, 452  
Ohm, Georg, 452  
Ohm's law, 457, 459, 462, 473, 587  
macroscopic version of, 456–458, 457  
microscopic version of, 452, 457  
Ohmic materials, 452  
Ohmmeters, 477  
Oil drop experiment, 369, 675  
Omega particles, 781  
One dimension  
acceleration in, 20–24  
collisions in, 164–166, 169  
straight-line motion, 17, 20–24  
velocity, 18–20  
Onnes, H. Kamerlingh, 456  
Open circuits, 457, 527  
Open orbits, 139  
Operational definitions, 3  
Optical fibers, 595, 598  
Optical instruments, 441, 603, 614–618  
cameras, 441, 480, 576, 616  
contact lenses, 615  
corrective glasses, 615  
diffraction gratings, 629–632, 646  
electron microscopes, 685  
magnifiers, 611, 616–617  
microscopes, 616–617, 619  
telescopes, 607, 617–618, 638–639  
Optical spectra, 723  
Optics, 2, 589, 646  
chromatic aberration, 597, 614, 617  
focal length, 604, 606–619, 646  
focal point, 604, 608, 619  
geometrical, 589, 624, 646  
lens equation, 610–611  
lensmaker's formula, 613, 619  
magnification, 606, 619  
mirror equation, 606–608  
physical, 624, 646  
Snell's law, 592, 646  
*See also* Light  
Orbital angular momentum, 714–715  
Orbital magnetic quantum number, 715, 725  
Orbital motion  
circular orbits, 137–138, 139, 145  
closed/open, 139  
elliptical orbits, 139  
geostationary orbits, 138–139, 140, 146  
gravity and, 135, 137–139  
precession, 203–205, 206  
Orbital period, 138  
Orbital quantum number, 714–715, 725  
Orbitals, 719  
Orbits, uniform circular motion, 46, 47  
Order (of dispersion), 630  
Organized states, 347  
Oscillating dipole, 577–578  
Oscillatory motion, 230–245, 294  
amplitude, 231, 234  
basic characteristics of, 231  
damped harmonic motion, 242–243, 245  
driven, 243–244  
frequency, 231, 233–234, 245  
period, 231, 233–234, 245  
phase, 233–234  
resonance, 243–244, 245  
simple harmonic motion, 232–235, 245  
applications, 235–239  
circular motion, 239–240  
energy in, 240–242  
pendulum, 236–239  
potential-energy curves and, 241–242  
tuned mass damper, 235, 236  
universality of, 230, 231  
in waves, 252  
Oscillatory system, 2  
Oxygen  
isotopes of, 750  
radioisotope of, 755  
Oxygen-15, 755  
Oxygen, thermal properties of, 319  
Ozone, 577
- P**  
P-type semiconductor, 739  
Pair creation, 706  
Paper, dielectric constant of, 438  
Parabolic mirrors, 604–605  
Parallel circuits, 587  
Parallel resistors, 468, 471–472, 482  
Parallel-axis theorem, 183  
Parallel-plate capacitor, 435, 444, 566–567  
Paramagnetism, 502, 509  
Paraxial rays, 604  
Parent nucleus, 757  
Parity, 782  
Parity conservation, 782  
Parity reversal, 782  
Partial derivatives, 255, 570  
Partial differential equation, 255  
Partial reflection, 591  
Particle accelerators, 783, 786–787  
Particles  
classifying, 779–780  
conservation laws and, 780–781  
detection of subatomic particles, 779  
high-energy particles, 779–780, 792  
particle accelerators, 783, 786–787  
potential-energy curve, 698–700  
properties of, 780–781, 792  
quarks, 59, 369, 782–784, 785, 792  
spin 1/2 particles, 716, 725, 751  
standard model, 752, 777, 784–785  
symmetries, 782  
wave-particle duality, 679, 688, 689, 695–696  
*See also* Systems of particles  
Pascal (Pa), 276  
Pascal's law, 278  
hydraulic lift, 278  
Paschen series, 681  
Pauli, Wolfgang, 716  
Peak radiance, 676, 677, 689  
Peak-to-peak amplitude, 231  
Pendulum, 236–239  
ballistic pendulum, 163–164  
nonlinear, 238  
physical, 238–239  
simple, 236–238  
Perfect emitter, 304  
Perihelion, 139  
Period  
oscillatory motion, 231, 233–234, 245  
waves, 252, 253, 270  
Periodic table, 719–722  
Permeability constant, 496, 509  
PET (positron emission tomography), 664, 756, 758  
Phase  
oscillatory motion, 233–234  
wave motion, 254  
Phase changes, 319–321, 366  
critical point, 321, 324  
heat and, 319, 321

- sublimation, 319, 321  
triple point, 321, 324
- Phase constant, 234
- Phase diagrams, 321, 324  
critical point, 321, 324  
triple point, 321, 324  
of water, 321, 324
- Phasor diagrams, 549, 554
- Phasors, 549, 559
- Phosphorescent materials, 723
- Photocopier, 428
- Photoelectric effect, 677–680, 689, 695
- Photomultipliers, 785
- Photons, 677–680, 689, 719, 758, 778, 780.  
*See also* Electromagnetic waves; Light  
Compton effect, 679–680, 689, 695  
energy states of, 778  
gamma decay and, 758  
in particle physics, 783  
properties of, 785  
virtual photon, 778  
wave-particle duality, 679, 688, 689, 695–696  
waves and, 695–696
- Physical optics, 624, 646
- Physical pendulum, 238–239
- Physics  
IDEA strategy, 9–10  
realms of, 1–2, 11  
simplicity of, 9
- Piano, 552–553
- Pions, 779, 780–781
- Piston-cylinder system, 332
- Pitot tube, 284
- Planck, Max, 676
- Planck's constant, 4, 676, 689
- Planck's equation, 676
- Plane electromagnetic wave, 568–569
- Plane mirrors, 603–604
- Plane symmetry, charge distributions, 402, 409
- Plane waves, 257
- Planetary orbits, 135, 137, 139
- Plano-concave lenses, 613
- Plano-convex lenses, 613
- Plasmas, 769  
electrical conduction in, 454, 462  
quark-gluon plasma, 790
- Plexiglas, dielectric constant of, 438
- Plutonium weapons, 766
- Plutonium-239, 755, 764, 766
- PN junction, 455, 744
- Point charges, 372  
in electric field, 380–381, 384  
field of, 374, 384, 403
- Point of symmetry, 397
- Polaris, 204
- Polarization, 574–575, 582, 593
- Polarizing angle, 593, 598
- Pollution control, 428
- Polyethylene, dielectric constant of, 438
- Polystyrene  
dielectric constant of, 438  
electrical properties of, 452  
optical properties of, 591
- Population inversion, 724
- Position  
angular position, 190  
with constant acceleration, 22, 28  
constant acceleration and, 21  
as vector, 48
- Position vector, 34–35, 40
- Position-momentum uncertainty, 686–688, 689
- Position, uncertainty principle, 686–688, 689
- Positron emission tomography (PET), 664, 756, 758
- Positrons, 664, 706, 758, 768, 779
- Potassium  
electronic structure of, 721  
radioisotope of, 755  
work function of, 678
- Potassium-40, 755
- Potential barrier, 126
- Potential energy  
defined, 129  
elastic, 117–118  
equilibrium and, 217–218, 220  
force as derivative of, 128  
gravitational, 116–117, 129, 140–142, 145  
stability and, 217  
work and, 115–119  
zero of, 140–141
- Potential well, trapping in, 126
- Potential-energy curves, 117, 125–128, 129, 698–700  
for complex structures, 219  
finite potential wells, 704–705, 707  
hat-shaped, 786  
for hydrogen atom, 744  
infinite square well, 698–700, 707  
molecular, 127  
simple harmonic motion, 241–242  
symmetry breaking and, 786
- Potential-energy difference, 414
- Potential-energy function, for ionic crystals, 736
- Pound (lb), 60
- Power, 105–106  
bicycling and, 107  
defined, 105, 108  
versus energy, 107  
energy storage, 186  
mountain climbing, 106  
units of, 105, 108  
velocity and, 107  
of waves, 257  
work and, 105  
*See also* Electric power
- Power factor, 556–557, 559
- Power plants. *See* Electric power; Electric power plants;  
Nuclear power plants
- Power supply  
direct current (DC), 557–558  
transformers and, 459, 557–558, 559
- Power transmission, 459
- Powers, numbers, 5, 6
- Poynting vector, 579
- Poynting, J. H., 579
- Precession, 203–205, 206
- Prefixes, 4, 11
- Presbyopia, 615
- Pressure, 276, 294  
barometers, 277  
hydrostatic equilibrium, 276–279, 294  
manometers, 277, 278  
measuring, 277–278
- Pressure melting, 324
- Pressurized-water reactors (PWRs), 766
- Priestley, Joseph, 370
- Primary coil, transformer, 557
- Principal quantum number, 714, 725
- Principle of complementarity, 688, 689
- Prisms, 596
- Probability, 695–696, 701–702  
radial probability distribution, 713
- Probability density, 707
- Probability distribution hydrogen atom, 713
- Problem solving  
Ampère's law, 504, 505–506  
checking answer, 10  
conservation of mechanical energy, 120
- Coulomb's law, 370
- Faraday's law and induced emf, 521
- fluid dynamics, 284
- Gauss's law, 395
- with IDEA strategy, 9–10
- Lorentz transformations, 660
- motion with constant acceleration, 24
- multiloop circuits, 474–475
- Newton's second law, 63, 77–78
- projectile motion, 42
- static equilibrium, 214
- thermal-energy balance, 306
- variation problems, 26
- Projectile motion, 39–40, 48  
drag and, 88  
flight times, 45  
range of projectile, 43–44  
trajectories, 43, 45, 139  
washout flood, 42
- Projectiles, range of, 43–44
- Propagation (wave), 251
- Proper time, 653
- Proton–proton cycle, 768
- Protons, 750, 792  
electric field, 376  
grand unification theories (GUTs), 785, 792  
properties of, 761, 732, 751
- Pulsars, 201–202
- Pump (lasers), 724
- Pumped-storage facilities, 117
- Pumping, 724
- pV diagram  
cyclic process, 337, 338, 341  
isothermal processes, 333, 337
- Q**
- Quadratic potential-energy function, 702
- Quanta, 678
- Quantization, 674–675, 686, 689  
of angular momentum, 744  
Bohr atom, 680–681, 689  
of orbital angular momentum, 714–715  
space quantization, 725
- Quantized spin angular momentum, 716, 725, 752
- Quantum chromodynamics (QCD), 783
- Quantum effect, gases, 340
- Quantum electrodynamics (QED), 778
- Quantum harmonic oscillator, 734
- Quantum mechanics, 689, 744, 791  
Bose-Einstein condensate, 719, 724, 725  
Dirac equation, 706  
electromagnetism and, 778  
exclusion principle, 718–719, 725, 783  
finite potential wells, 704–705, 707  
harmonic oscillator, 702–703, 707, 734  
infinite square wells, 698–700, 707  
molecular energy levels, 732–735  
orbital angular momentum, 714–715  
orbital quantum number, 714–715  
probability, 695, 696–697, 701, 713  
radial probability distribution, 713  
relativistic, 705–706  
Schrödinger equation, 696–698, 705, 707  
space quantization, 715  
in three dimensions, 705  
tunneling, 703–704, 707, 769
- Quantum number, 700  
orbital magnetic quantum number, 715, 725  
orbital quantum number, 714–715, 725  
principal quantum number, 714, 725  
spin quantum number, 752
- Quantum physics, 2, 689, 695–696  
blackbody radiation, 675–677, 689

- Quantum physics (*continued*)  
 complementarity, 688, 689  
 gravity and, 785–786  
 hydrogen spectrum, 680–681, 689  
 matter waves, 684–685  
 photoelectric effect, 677–680, 689, 695  
 quantization, 674–675, 686, 689  
 uncertainty principle, 686–688, 689  
 wave-particle duality, 679, 688, 689, 695–696
- Quantum state, 700
- Quantum tunneling, 703–704, 707, 769
- Quark–antiquark pairs, 782–783
- Quark-gluon plasma, 790
- Quarks, 59, 369, 782–784, 785, 792
- Quartz, dielectric constant of, 438
- Quasi-static process, 331
- R**
- R-factor, 305
- Radial acceleration, 48, 177
- Radial probability distribution, 713
- Radian (rad), 4, 176, 233
- Radiance, 675, 677, 689
- Radiation (heat), 304, 309
- Radiation pressure, electromagnetic waves, 581, 582
- Radio transmitter, 577
- Radio waves, 576–577
- Radioactive decay, 754–755, 772  
 conservation of momentum in, 158–159  
 decay constant, 755  
 decay rate, 754–760  
 decay series, 758
- Radioactive isotopes, 750
- Radioactive tracers, 759
- Radioactive waste, 763, 767–768
- Radioactivity, 754–760  
 artificial, 758–759  
 biological effects of, 759–760  
 for cancer treatment, 759  
 Chernobyl disaster, 766  
 decay rate, 754–755  
 decay series, 758  
 decay types, 758, 772
- Fukushima disaster, 756, 767
- half-life, 755, 756, 772
- human body, effects on, 759–760
- radiocarbon dating, 756, 757
- types of radiation, 757
- units of, 772
- uses of, 759
- Radiocarbon dating, 756, 757
- Radioisotopes, 750, 755–756
- Radium-226, 755
- Radius of curvature, 48
- Radon-222, 755
- Rainbows, 596, 598
- Raindrops, charged, 372
- Range of projectile, 43–44
- Rankine temperature scale, 298, 309
- Rate of change of velocity, 21
- Ray diagram  
 for lens equation, 610  
 for lenses, 612  
 mirrors, 607
- Ray tracings  
 with lenses, 609  
 with mirrors, 603, 609
- Rayleigh criterion, 638, 639, 641
- Rayleigh-Jeans law, 677
- Rays, 589, 646
- RBMK reactors, 766
- RC circuits, 477–481, 550
- Reactance, 547–548, 559
- Reading light, wave intensity, 258
- Real battery, 470
- Real gases, 318–319
- Real image, 603, 609, 612, 646
- Rectangular coordinate system, 35
- Red-antiblue, 783
- Redshift, 787
- Reference frames. *See* Frames of reference
- Reflecting telescopes, 617
- Reflection, 262–263  
 diffuse reflection, 590  
 of light, 590, 592, 646  
 partial, 591  
 partial reflection, 591  
 specular reflection, 590  
 total internal reflection, 593–595, 598
- Reflection gratings, 630
- Reflectors, 617
- Refracting telescopes, 617, 619
- Refraction, 263, 646  
 at aquarium surface, 612  
 at curved surfaces, 611–612  
 index of refraction, 591, 646  
 of light, 591–593, 646  
 prisms, 596
- Refractors, 617
- Refrigerators, 350, 353–354, 359, 361
- Regenerative braking, 525
- Relative motion, 38–39
- Relative velocity, 38–39
- Relativistic factor, 663
- Relativistic Heavy Ion Collider (RHIC), 787, 790
- Relativistic invariants, 662, 669
- Relativistic momentum, 663, 669
- Relativistic particles, 666
- Relativistic quantum mechanics, 705–706
- Relativistic velocity addition, 660–662
- Relativity, 2, 648–669  
 electromagnetism and, 649, 652–653, 666  
 Galilean, 649  
 general, 652, 667–668, 791  
 invariants in, 662, 669  
 length contraction, 656–657, 669  
 Lorentz transformations, 659–662, 669  
 momentum and, 662–663
- Reprocessing, of spent reactor fuel, 764
- Resistance, electrical in *LC* circuits, 553  
 Ohm's law and, 452–453, 456, 457, 459, 462, 473, 587  
 of skin, 460  
 simultaneity, 657–658  
 special, 651–652, 669  
 time and, 652–657, 669  
 twin paradox, 655–656  
 velocity addition, 660–662  
*See also* Quantum physics
- Resistance, thermal, 302
- Resistivity, 452
- Resistors, 457, 468–473  
 in AC circuits, 546  
 parallel resistors, 468, 471–472, 482  
 series resistors, 468–469, 468–470, 482
- Resolving power of grating, 631, 641
- Resonance, 244, 245  
 standing waves, 265
- Resonance curves, 244
- Resonance, in *RLC* circuit, 553–556
- Resonant frequency, 553, 559
- Rest energy, 663
- Restoring force, simple harmonic motion, 232
- Reverse bias, 740
- Reversible engine, 348
- Reversible/irreversible processes, 331–332, 347, 357, 358
- Rho particles, 781
- Right-hand rule, rotational motion, 196
- Rigid bodies, 150
- Ring, rotational inertia by integration, 182
- RL* circuits, 531–532, 550
- RLC* circuits, 553–556, 559
- Rock climbing, 256
- Rocket propulsion, 20, 45, 65, 141, 160, 169
- Rods, rotational inertia by integration, 181–182
- Rohrer, Heinrich, 704
- Roller coaster, 81–82, 125–126, 127, 128, 129
- Rolling motion, 187–190
- Root-mean-square (rms), 545
- Roots, numbers, 6
- Rotational dynamics, 184–185
- Rotational energy, 185–187, 744
- Rotational energy levels, 733
- Rotational inertia, 180–183, 190, 200, 245
- Rotational kinetic energy, 185
- Rotational motion, 175–189, 227  
 angular acceleration of, 177–178, 197  
 angular momentum, 200–201, 206  
 angular velocity of, 176, 190, 196  
 conservation of angular momentum, 201–203, 206  
 direction of, 196  
 energy of, 185–187  
 inertia, 180–182, 190, 200, 245  
 Newton's law, analogs of, 196, 197, 205  
 Newton's second law for, 178, 180, 184, 227  
 right-hand rule, 196  
 of rolling body, 187–189, 190  
 torque, 178–179, 190, 197–198, 201, 205  
*See also* Angular momentum; Circular motion; Torque
- Rotational vectors, 196–205
- Rough sliding, friction, 102
- Rubber, electrical properties of, 452
- Rubbia, Carlo, 785
- Rutherford, Ernest, 680, 749
- Rutile, optical properties of, 591
- Rydberg atoms, 683
- Rydberg constant for hydrogen, 681
- S**
- S states, 714
- Safety  
 electrical, 459–461  
 nuclear power plants, 767–768
- Sakharov, Andrei, 782
- Salam, Abdus, 795
- Satellites  
 de-spinning, 184  
 orbital motion of, 137–139
- Scalar product, 98–99
- Scalar, vector arithmetic with, 36
- Scales, force measurement with, 67
- Scanning tunneling microscope (STM), 704
- Schrieffer, John Robert, 743
- Schrödinger equation, 696–698, 705, 707, 725  
 for crystals, 737  
 multielectron atoms, 719  
 spherical coordinates, 712
- Schrödinger, Erwin, 696
- Schwinger, Julian, 778
- Scientific notation, 5–6
- Scintillation detectors, 779
- Scuba diving, 333
- Second (sec), 3
- Second derivative, 22
- Second law of thermodynamics, 347–354, 361, 366  
 applications of, 339–342

- Clausius statement, 350  
 entropy and, 359–360  
 general statement, 359  
 heat engines, 347–349  
 Kelvin–Planck statement, 347  
 Secondary coil, transformer, 557  
 Selection rules, 723  
 Self-inductance, 528–530  
 Semiconductors, 739–740, 744  
   electric conduction in, 454, 462  
 Semiconductors, stability analysis of, 218  
 Series circuits, 587  
 Series resistors, 468–470, 482  
 Shell of multinuclear atoms, 719  
 SHM. *See* Simple harmonic motion (SHM)  
 Shock hazard, tools, 461  
 Shock waves, 270  
 Short circuit, 457, 461  
 SI (Système International) units, 3, 4, 11  
   of absorbed dose of radiation, 759  
   of activity (radioactivity), 754  
   of electric charge, 369  
   of energy, 105, 287, 298  
   prefixes, 4  
   of R, 302  
   of resistivity, 452  
   revision, 4  
   of specific heat, 299  
   of temperature, 298  
   of thermal resistance, 302  
 Sievert (Sv), 759, 772  
 Sigma particles, 781  
 Significant figures, 7–8  
 Silicon  
   crystalline structure, 454–455  
   phosphorus-doped, 455  
   work function of, 678  
 Silver  
   electrical properties of, 452  
   work function of, 678  
 Simple harmonic motion (SHM), 232–235, 245  
   applications of, 235–239  
   circular motion, 239–240  
   energy in, 240–242, 245  
   mass–spring system, 235, 240–241, 243  
   pendulum, 236–239  
   potential-energy curves and, 241–242  
   tuned mass damper, 235, 236  
 Simple harmonic wave, wave motion, 253, 270  
 Simple pendulum, 236–238  
 Simultaneity, 657  
 Single-slit diffraction, 636–637  
 Sinusoidal wave, wave motion, 253  
 Skiing, 75, 77, 84, 89, 95  
 Skyscrapers, 236  
 Slope, velocity and, 21  
 Snell, van Roijen, 592  
 Snell’s law, 592, 598  
   prisms, 596  
 Soap film, 633–634  
 Sodium atom  
   band structure of, 738  
   electronic structure of, 721  
   ionization energy of, 731  
 Sodium chloride  
   cohesive energy of crystal, 736  
   optical properties of, 591  
 Sodium, work function of, 678  
 Soft ferromagnetic materials, 502  
 Solar currents, 504  
 Solar energy, 580  
 Solar greenhouse, 318–319  
 Solenoids  
   electric field and, 507–508, 509  
   induced electric field in, 537  
   inductance, 529  
   magnetic flux, 500  
 Solids, 735–741  
   band theory, 737  
   crystal structure of, 735–737  
   phase changes, 319–321  
   semiconductors, 739–740, 744  
   superconductors, 456, 462, 538, 742–743  
 Sound  
   human ear and, 258  
   television, 259  
   units of, 259  
 Sound intensity level, 259  
 Sound waves, 1  
   music, 262  
   musical instruments, 265–266  
   wave motion, 258–259  
 Source charge, 370  
 Space quantization, 715  
 Spacecraft, 3, 54  
   escape speed of, 142, 145  
   International Space Station, 47, 61, 135, 138, 143, 152–153  
   weightlessness, 61, 65  
 Spacetime, 662, 667, 669  
 Spatial frequency, wave motion, 254  
 Special theory of relativity, 651–652, 669  
 Specific heat, 298–300, 309, 341  
   of gas mixture, 340  
   of ideal gases, 339–340  
   molar specific heat at constant pressure, 335  
   molar specific heat at constant volume, 334  
 Spectra  
   atomic, 680–684, 689, 723–724  
   molecular, 734–735  
   optical, 723–724  
 Spectral lines, 680  
 Spectrometers, 630  
 Spectroscopy, 597, 646  
 Specular reflection, 590  
 Speed  
   angular speed, 190  
   average speed, 16–17  
   in circular orbit, 142  
   instantaneous speed, 18  
   of light, 3, 649, 676  
   limits, expressing, 5  
   linear speed *versus* angular speed, 176  
   terminal speed, 88  
   uniform circular motion and, 46–47  
   units of, 5  
   as vector, 48  
   of waves, 252–253, 261, 270  
   *See also* Acceleration; Velocity  
 Speed traps, 24  
 Spherical aberration, 604–605, 614  
 Spherical coordinates, Schrödinger equation, 712  
 Spherical symmetry, charge distributions, 397–400, 409  
 Spherical waves, 257  
 Spin, 706, 715–718, 780  
 Spin angular momentum, quantized, 716, 725, 752  
 Spin quantum number, 752  
 Spin-1/2 particles, 716, 725, 752  
 Spin-orbit coupling, 717–718, 725  
 Spin-orbit effect, 718  
 Split, electrons, 715–716  
 Spontaneous emission, 724, 725  
 Spring constant, 67, 68, 69  
 Spring scale, 67  
 Springs, 69  
   bungee jumping, 101–102  
   elastic potential energy, 117–118, 121, 129  
   forces exerted by, 67  
   Hooke’s law, 67, 69  
   ideal, 67, 69, 121, 232  
   mass–spring system, 235–236, 240–241, 243  
   simple harmonic motion, 232  
   stretching of, 100–102  
   work done on, 100–102, 108  
 Square wave, 260  
 Square-well ground state, 702  
 Stable equilibrium, 216–220  
   *See also* Static equilibrium  
 Standard model (particle physics), 784–785, 792  
 Standing waves, 264–266, 270, 294  
 Stanford Linear Accelerator Center (SLAC), 657, 677, 783  
 Starlight, aberration of, 650  
 State variable, 357  
 Static equilibrium, 211–219, 227  
   center of gravity in, 213, 220  
   conditions for, 211–212  
   examples of, 214–216  
   stability of, 216–219  
   *See also* Stable equilibrium  
 Static friction, 84, 89  
 Statistical mechanics, 296, 366  
 Steady flow, fluid motion, 281  
 Steel, thermal properties of, 299, 301, 322  
 Stefan–Boltzmann constant, 304, 675  
 Stefan–Boltzmann law, 304  
 Step-down transformers, 459, 557  
 Step-up transformers, 557  
 Steradian (sr), 4  
 Stern–Gerlach experiment, 716–717  
 Stern, Otto, 716–717  
 Stimulated absorption, 724, 725  
 Stored energy. *See* Energy storage  
 Straight-line motion acceleration, 20–22  
   velocity, 18–20  
 Strange quarks, 782–783, 784  
 Strangeness, 780  
 Strassmann, Fritz, 762  
 Streamlines, 281, 289  
 String theory, 786  
 String, wave motion on, 255–256  
 Stringed instruments, standing waves in, 265–266  
 Strong force, 59, 783, 792  
   *See also* Nuclear force  
 Strontium-90, 755  
 Styrofoam, thermal properties of, 301  
 Subatomic particles. *See* Particles; Systems  
   of particles  
 Sublimation, 321  
   heat of, 319  
 Subshells, 719–720  
 Subtraction  
   scientific notation and, 5–6  
   of vectors, 36  
 Sulfur dioxide, specific heat of, 339  
 Sulfur, thermal properties of, 319  
 Sun  
   beta decay in, 758  
   magnetic field of, 499, 504, 535  
   nuclear fusion, 768–769  
   solar currents, 504  
   solar energy, 580  
   Super Kamiokande experiment, 785  
   superconductivity, 741–743, 744  
   superconductors  
    electric conduction in, 456, 462, 538

- Quantum physics (*continued*)
   
high temperature, 743
   
theories of, 742
- Sun, temperature of, 305
- Supercritical mass, 764–765
- Superfluidity, 752
- Supernova explosions, 660
- Superposition principle
   
electric charge, 372, 375, 384, 624
   
magnetic fields, 496, 502, 503, 624
   
wave motion, 259
- Surface charge density, 378
- Surfing, 254
- Symmetries, particles, 782
- Symmetry axis, 401
- Symmetry breaking, 786
- Synchrotrons, 493, 786
- Systems, 95–96
- Systems of particles, 150–168
   
center of mass, kinetic energy of, 160
   
collisions in, 161–162
   
continuous distribution of matter, 153–155, 181
   
equilibrium states of, 219
   
kinetic energy of, 160
   
momentum, 156–159
   
Newton's second law and, 151, 155
- T**
- Tangential acceleration, 48, 177
- Tantalum oxide, dielectric constant of, 438
- Taser, 460
- Tau neutrinos, 781, 784
- Tau particles, 780, 781, 784
- Teflon, dielectric constant of, 438
- Telescopes, 607, 617–618, 639
   
curved mirrors, 604
- Television sound, 259
- Temperature, 296–298, 309
   
absolute temperature, 298
   
absolute zero, 297, 738, 744
   
defined, 297
   
equilibrium temperature, 300, 309
   
transition temperature, 741
   
units of, 4, 296–298
- Temperature scales, 297–298, 309
- Tension forces, 59
   
massless rope, 87
   
spring, 67
- Terminal speed, 88
- Terminals, 468
- Tesla (T), 489
- Tesla, Nikola, 489
- Test charge, 374
- Theory of everything, 792
- Theory of relativity. *See* Relativity
- Thermal conductivity, 301, 302
- Thermal contact, 297
- Thermal expansion, 322–323, 324
- Thermal noise, 454
- Thermal pollution, 331
- Thermal resistance, 302, 303
- Thermal speed, 318
- Thermal-energy balance, 306–308, 309
- Thermally insulated, 297
- Thermochemical calorie, 299
- Thermodynamic efficiency, 350
- Thermodynamic equilibrium, 296–297, 309, 341
- Thermodynamic state variable, 330
- Thermodynamics, 2, 296, 341
   
adiabatic processes, 335–337, 341, 366
   
constant-volume processes, 334, 341, 366
   
cyclic processes, 337–338
   
entropy, 355–356, 361
   
equipartition theorem, 339–340, 341
   
first law of, 329–331, 330, 341, 366
   
isobaric processes, 334, 337, 341
   
isothermal processes, 332–333, 337, 341, 366
   
quantum effect, 340
   
reversible/irreversible processes, 331–332, 347, 357, 358, 366
   
second law of, 347–354, 359, 361, 366
   
state variable, 357
   
work and volume changes, 332
   
zeroth law of thermodynamics, 297, 366
- Thermometers, 297
- Thermonuclear weapons, 769
- Thin films, interferometry, 633–634
- Thin lenses, 608
- Third-law pair, 65, 67
- Thompson, Benjamin, 298
- Thomson, George, 685
- Thomson, J. J., 675, 685
- Three dimensions
   
charged particle trajectories in, 492–493
   
quantum mechanics in, 705
- Thunderstorms, 459
- Tidal force, 144
- Tides, 144
- Time
   
atomic clock, 4, 27
   
energy–time uncertainty, 687
   
proper time, 653
   
relativity and, 652–657, 669
   
time dilation, 652–654, 669
   
units of, 3
   
Time constant, 481
   
Time dilation, 652–654, 669
   
Time-independent Schrödinger equation, 696–697, 707
   
Tokamak, 770
   
Tomonaga, Sin-Itiro, 778
   
Tools, shock hazard, 461
   
Top quarks, 783, 784
   
Toroid, 508
   
Torque, 178–179, 190, 197–198, 201, 205
   
angular momentum and, 201
   
external, 201, 204
   
on magnetic dipole, 500–501
   
torsional oscillator, 236
   
Torsional oscillator, 236, 245
   
Total angular momentum, 717–718, 725
   
Total energy, 663
   
Total internal reflection, 593–595, 598
   
Totally inelastic collisions, 162–164, 169
   
Trajectory, of a projectile, 43, 44–45, 139
   
Transformation, heat of, 319, 324, 366
   
Transformers, 557, 559
   
power supplies and, 557
   
step-down transformers, 459, 557
   
step-up transformers, 557
   
Transistors, 455–456
   
Transition elements, 722
   
Transition temperature, 741
   
Transmission gratings, 630–631
   
Transuranic isotopes, 767
   
Transverse waves, 252, 270
   
Trapping in potential well, 126
   
Triple point, 321, 324
   
of water, 297
   
Tritium, 755
   
Tsunamis, wave motion, 6
   
Tugboat, 99
   
Tuned mass damper, 235
   
Tunneling, 703–704, 707, 769
   
Turbulence, 288
   
Tweeter, 550
   
Twin paradox, 655–656
   
Two dimensions acceleration in, 37–38
   
circular motion in, 46–47
   
collisions in, 167–168, 169
   
constant acceleration, 38, 48
   
interference in, 262
   
projectile motion in, 39–40, 48
   
relative motion, 38–39
   
vectors, 34–38
   
velocity in, 38
   
Two-source interference, 261–262
   
Type I/II superconductors, 742, 744
- U**
- U value, 303
- Uhlenbeck, George, 716
- Ultracapacitors, 436, 437, 441
- Ultraviolet catastrophe, 677
- Ultraviolet rays, 577
- Unbound states, 705, 707
- Uncertainty principle, 686–688, 689
   
energy–time uncertainty, 687
   
position–momentum uncertainty, 686–688, 689
   
quantum tunneling, 703–704, 707
- Underdamped motion, 242
- Unification, of forces, 59, 785–787
- Unified electroweak force, 785
- Unified mass units, 760
- Uniform circular motion, 46–47, 48
- Uniform motion, 56
- Unit cell, 735
- Unit vectors, 36
- Units of measurement, 2–5
- Universal gas constant, 315, 324
- Universal gravitation, 135–137, 145, 227
- Universe, 787–791, 792
   
Big Bang theory, 788, 790
   
cosmic microwave background (CMB), 788–789, 792
   
dark matter and dark energy, 790–791
   
electromagnetic spectrum, 577
   
expansion of, 787–788
   
Hubble's law, 787
   
inflationary universe, 790
- Unstable equilibrium, 216–217, 220
- Unstable isotopes, 772
- Unsteady flow, fluid motion, 281
- Up quarks, 783, 784
- Up/down quark pair, 783
- Upright image, 603, 606, 609, 612
   
plane mirrors, 604
- Uranium
   
*versus* coal, 764
   
enrichment, 764, 766
   
isotopes of, 750, 755
   
nuclear fission of, 764
- Uranium dioxide, thermal properties of, 319
- Uranium-233, 764
- Uranium-235, 755, 764
- Uranium-238, 755, 758, 764
- V**
- Vacuum
   
electromagnetic waves in, 568, 582
   
Maxwell's equations in, 568
- Valence band, 739
- van der Meer, Simon, 785
- Van der Waals bonding, 732, 744
- Van der Waals force, 319, 732
- Vaporization, heat of, 319
- Variable of integration, 183
- Vector cross product, 198, 205

- Vectors, 34–38, 48  
 acceleration vectors, 37–38  
 addition of, 33, 36  
 components of, 35–36  
 cross product, 198  
 displacement vector, 35  
 dot product *see* scalar product  
 four-dimensional, 662, 669  
 multiplication, 198  
 multiplication of, 35  
 position as, 34–35, 48  
 rotational, 196–205  
 scalar product, 98–99  
 subtraction of, 36  
 unit vectors, 36  
 velocity vectors, 37–38, 48
- Vehicle stability control, 217
- Velocity, 16  
 angular (*See also* angular velocity)  
 average velocity, 17, 18, 20  
 average velocity vector, 37  
 bicycling and, 107  
 defined, 20, 48  
 instantaneous, 18–20  
 instantaneous velocity, 17–19, 37  
 power and, 107  
 rate of change, 21  
 relative velocity, 38–39  
 simple harmonic motion and, 234–235  
 slope and, 21  
 in two dimensions, 37–38  
 uniform circular motion and, 46–47  
 as vector, 37–38, 48
- Velocity addition, 660–662
- Velocity angular, relativistic addition of, 660–662
- Velocity selectors, 491
- Venturi flow, Bernoulli effect and, 285–286, 294
- Venus, phases of, 135
- Vibrational energy, 744
- Vibrational energy levels, 734, 744
- Virtual image, 603, 605–613, 616, 617, 619, 646
- Virtual photon, 778
- Viscosity, 284, 288
- Visible light, 576–577
- Vision  
 astigmatism, 614, 615  
 contact lenses, 603, 614, 615  
 corrective glasses, 615  
 the eye, 615–616  
 laser vision correction, 615  
 lenses, 614–618, 646
- Volt (V), 416
- Voltage, 416  
 household voltage, 546  
 measuring, 476  
 Ohm's law, 452–453, 456, 457, 459, 462, 473, 587  
 working voltage of capacitor, 438–439
- Voltage divider, 470
- Voltmeters, 467, 476, 482
- Volume charge density, 378
- Volume flow rate, 282
- Volume-expansion coefficient, 322, 324
- Volume, units of, 4
- von Fraunhofer, Josef, 680
- Voyager spacecraft, 142
- W**
- W particles, 785
- Walking, pendulum and, 239
- Water  
 dielectric constant of, 438  
 optical properties of, 591
- phase diagram, 324  
 phases of, 320  
 thermal expansion of, 324  
 thermal properties of, 299, 301, 319  
 triple point of, 297  
 wave motion in, 265  
*See also* Ice
- Water heaters, 299, 306, 355
- Watt (W), 105, 108
- Watt balance, 4
- Watt, James, 105
- Wave amplitude, electromagnetic waves, 573
- Wave equation, 255
- Wave fields, 571–572
- Wave function, 695–696, 707  
 constraints on, 698
- Wave intensity, electromagnetic waves, 578–580
- Wave motion, 1, 6, 251–268  
 angular frequency, 253, 270  
 dispersion, 260–261  
 mathematical description of, 253–255  
 period, 252, 253, 270  
 phase, 254  
 propagation, 252  
 simple harmonic wave, 252, 253, 270  
 sinusoidal wave, 253  
 sound waves, 258–259  
 spatial frequency, 254  
 speed of, 253  
 on stretched string, 255–256, 263–264, 270  
 superposition principle, 259  
 tsunamis, 6  
 wave equation, 255  
 wave number, 253, 270  
 waveforms, 252
- Wave number, 253, 270
- Wave speed, 253, 260–261, 270  
 rock climbing, 256
- Wave speed, electromagnetic waves, 572–573
- Wave-particle duality, 679, 688, 689, 695–696
- Waveforms, 252
- Wavefronts, 257
- Wavelength, 252, 253
- Wavelength, electromagnetic spectrum, 576–577
- Waves, 270, 294  
 amplitude, 252  
 beats, 260–261  
 coherence, 625  
 diffraction, 636–638, 641, 646  
 diffraction limit, 638–640, 641, 646  
 Doppler effect, 266–270  
 double-slit interference, 626–629  
 frequency, 252  
 gravitational, 251, 668  
 Huygens' principle, 636–638, 641, 646  
 intensity, 257–258, 270  
 interference, 259, 261–262, 625, 626, 641, 646  
 longitudinal, 252, 270  
 matter-wave interference, 685  
 multiple-slit interference, 629–632  
 period, 252, 253, 270  
 power of, 257  
 reflection, 262–263  
 refraction, 263  
 shock waves, 270  
 simple harmonic wave, 253, 270  
 sinusoidal, 253  
 square, 260  
 standing, 264–266, 270, 294  
 transverse, 252, 270  
 types, 252  
 wave speed, 253, 260–261, 270
- wavelength, 252, 253  
*See also* Electromagnetic waves; Light;  
 Sound waves
- Weak force, 59, 792
- electroweak unification, 785
- Weight, 69  
 apparent weight, 68, 69  
 mass *versus*, 60  
 units of, 60
- Weightlessness, 61, 65
- Weightlifting, 152
- Weinberg, Steven, 785
- Wheel, rolling motion, 187–189
- White dwarf, 718
- Wide-angle mirrors, 606
- Wien's law, 675
- Wind energy, 287
- Wind instruments, standing waves in, 266
- Wind turbines, 175, 177, 178, 287
- Windsurfing, 40–41
- Wireless technologies, 2
- Wollaston, William, 680
- Wood, electrical properties of, 452
- Wood, thermal properties of, 299, 301
- Woofers, 550
- Work, 94, 96–99, 105, 108, 227  
 by conservative forces, 114–115, 119  
 displacement and, 97–98  
 energy and, 443  
 by force varying with position, 99–102  
 against gravity, 103  
 heat engine efficiency, 348  
 kinetic energy and, 103–105  
 negative work, 115  
 by nonconservative forces, 114–115, 119  
 potential energy, 115–119  
 power and, 105–106  
 pulling luggage, 98  
 pushing car, 98  
 scalar product and, 98  
 thermodynamics, 330, 332, 341  
 units of, 97, 108  
 work functions of elements, 678  
 work–kinetic energy theorem, 104, 108, 119, 129, 283  
 working voltage, 438
- Work–kinetic energy theorem, 104, 108, 119, 129, 283
- Working fluid, 348
- Wu, Chien-Shiung, 782
- X**
- X-ray diffraction, 631–632, 641
- X-rays, 577  
 potential difference in X-ray tube, 416–417
- Xerography, 428
- Y**
- Yang, Chen Ning, 782
- Yankee Stadium, 106
- Yerkes refractor, 618
- Young, Thomas, 572, 625, 626
- Yukawa, Hideki, 778
- Z**
- Z particles, 781, 785
- Zeeman effect, 718
- Zeeman splitting, 718
- Zero of electrical potential, 419–420
- Zero of potential energy, 140–141
- Zeroth law of thermodynamics, 297, 366















## GEOPHYSICAL AND ASTROPHYSICAL DATA

### EARTH

Mass	$5.97 \times 10^{24}$ kg
Mean radius	$6.37 \times 10^6$ m
Orbital period	$3.16 \times 10^7$ s (365.3 days)
Mean distance from Sun	$1.50 \times 10^{11}$ m
Mean density	$5.51 \times 10^3$ kg/m <sup>3</sup>
Surface gravity	9.81 m/s <sup>2</sup>
Escape speed	11.2 km/s
Surface temperature	288 K
Surface pressure	$1.013 \times 10^5$ Pa
Magnetic moment	$8.0 \times 10^{22}$ A·m <sup>2</sup>

### SUN

Mass	$1.99 \times 10^{30}$ kg
Mean radius	$6.96 \times 10^8$ m
Orbital period (about galactic center)	$6 \times 10^{15}$ s (200 My)
Mean distance from galactic center	$2.6 \times 10^{20}$ m
Power output (luminosity)	$3.83 \times 10^{26}$ W
Mean density	$1.41 \times 10^3$ kg/m <sup>3</sup>
Surface gravity	274 m/s <sup>2</sup>
Escape speed	618 km/s
Surface temperature	$5.8 \times 10^3$ K

### MOON

Mass	$7.35 \times 10^{22}$ kg
Mean radius	$1.74 \times 10^6$ m
Orbital period	$2.36 \times 10^6$ s (27.3 days)
Mean distance from Earth	$3.84 \times 10^8$ m
Mean density	$3.34 \times 10^3$ kg/m <sup>3</sup>
Surface gravity	1.62 m/s <sup>2</sup>
Escape speed	2.38 km/s

## PERIODIC TABLE OF THE ELEMENTS

1 <b>H</b> 1.008	2 <b>He</b> 4.003	Metals												2 <b>He</b> 4.003									
3 <b>Li</b> 6.941	4 <b>Be</b> 9.012	2 <b>He</b> 4.003	Atomic number	Symbol	Atomic mass (u)*	5 <b>B</b> 10.81	6 <b>C</b> 12.01	7 <b>N</b> 14.01	8 <b>O</b> 16.00	9 <b>F</b> 19.00	10 <b>Ne</b> 20.18	13 <b>Al</b> 26.98	14 <b>Si</b> 28.09	15 <b>P</b> 30.97	16 <b>S</b> 32.07	17 <b>Cl</b> 35.45	18 <b>Ar</b> 39.95						
11 <b>Na</b> 22.99	12 <b>Mg</b> 24.31					19 <b>K</b> 39.10	20 <b>Ca</b> 40.08	21 <b>Sc</b> 44.96	22 <b>Ti</b> 47.88	23 <b>V</b> 50.94	24 <b>Cr</b> 52.00	25 <b>Mn</b> 54.94	26 <b>Fe</b> 55.85	27 <b>Co</b> 58.93	28 <b>Ni</b> 58.69	29 <b>Cu</b> 63.55	30 <b>Zn</b> 65.39	31 <b>Ga</b> 69.72	32 <b>Ge</b> 72.61	33 <b>As</b> 74.92	34 <b>Se</b> 78.96	35 <b>Br</b> 79.90	36 <b>Kr</b> 83.80
37 <b>Rb</b> 85.47	38 <b>Sr</b> 87.62	39 <b>Y</b> 88.91	40 <b>Zr</b> 91.22	41 <b>Nb</b> 92.91	42 <b>Mo</b> 95.94	43 <b>Tc</b> (98)	44 <b>Ru</b> 101.07	45 <b>Rh</b> 102.91	46 <b>Pd</b> 106.42	47 <b>Ag</b> 107.87	48 <b>Cd</b> 112.41	49 <b>In</b> 114.82	50 <b>Sn</b> 118.71	51 <b>Sb</b> 121.75	52 <b>Te</b> 127.60	53 <b>I</b> 126.90	54 <b>Xe</b> 131.29						
55 <b>Cs</b> 132.91	56 <b>Ba</b> 137.33	57–71 Lanthanide series	72 <b>Hf</b> 178.49	73 <b>Ta</b> 180.95	74 <b>W</b> 183.85	75 <b>Re</b> 186.21	76 <b>Os</b> 190.2	77 <b>Ir</b> 192.22	78 <b>Pt</b> 195.08	79 <b>Au</b> 196.97	80 <b>Hg</b> 200.59	81 <b>Tl</b> 204.38	82 <b>Pb</b> 207.2	83 <b>Bi</b> 208.98	84 <b>Po</b> (209)	85 <b>At</b> (210)	86 <b>Rn</b> (222)						
87 <b>Fr</b> (223)	88 <b>Ra</b> (226)	89–103 Actinide series	104 <b>Rf</b> (261)	105 <b>Db</b> (268)	106 <b>Sg</b> (266)	107 <b>Bh</b> (272)	108 <b>Hs</b> (277)	109 <b>Mt</b> (276)	110 <b>Ds</b> (281)	111 <b>Rg</b> (280)	112 <b>Cn</b> (285)	113 <b>Nh</b> (284)	114 <b>Fl</b> (289)	115 <b>Mc</b> (288)	116 <b>Lv</b> (292)	117 <b>Ts</b> (294)	118 <b>Og</b> (294)						
Lanthanide series		57 <b>La</b> 138.91	58 <b>Ce</b> 140.12	59 <b>Pr</b> 140.91	60 <b>Nd</b> 144.24	61 <b>Pm</b> (145)	62 <b>Sm</b> 150.36	63 <b>Eu</b> 151.97	64 <b>Gd</b> 157.25	65 <b>Tb</b> 158.93	66 <b>Dy</b> 162.50	67 <b>Ho</b> 164.93	68 <b>Er</b> 167.26	69 <b>Tm</b> 168.93	70 <b>Yb</b> 173.04	71 <b>Lu</b> 174.97							
Actinide series		89 <b>Ac</b> (227)	90 <b>Th</b> (231)	91 <b>Pa</b> (231)	92 <b>U</b> 238.03	93 <b>Np</b> (237)	94 <b>Pu</b> (244)	95 <b>Am</b> (243)	96 <b>Cm</b> (247)	97 <b>Bk</b> (247)	98 <b>Cf</b> (251)	99 <b>Es</b> (252)	100 <b>Fm</b> (257)	101 <b>Md</b> (258)	102 <b>No</b> (259)	103 <b>Lr</b> (260)							

\*Atomic mass is average over abundances of stable isotopes. For radioactive elements other than uranium and thorium, mass is in parentheses and is that of the most stable important (in availability, etc.) isotope.

A list of the elements is given in Appendix D.

**Focus on the fundamentals and help students see connections between problem types**

Richard Wolfson's *Essential University Physics* is a concise and progressive calculus-based physics textbook that offers clear, lively writing, great problems, and relevant real-life applications in an affordable and streamlined text. The book teaches sound problem-solving strategies and emphasizes conceptual understanding, using features such as annotated figures and annotated equations and step-by-step problem-solving strategies. Recognizing that students have changed significantly over recent decades, while the fundamentals of physics change more slowly, Wolfson makes physics relevant and alive for today's students by introducing the latest physics applications in a concise and captivating style.

The **4th Edition** incorporates feedback from instructors, reviewers, and thousands of students to expand the book's problem sets and consistent problem-solving strategy. A new problem type, example variations, guides students to see patterns, make connections between problems that are seemingly different but conceptually similar, and apply their new insights when working problems on homework and exams. New digital tools and the interactive Pearson eText increase interactivity to help students develop confidence in solving problems, deepen their conceptual understanding, and strengthen their quantitative-reasoning skills.

## About the Cover

The photo on the cover shows multiple images of snowboarder on a mountain in Solvenia. The snowboarder's body undergoes complicated motions as it rotates and extends, making the detailed description of that motion a challenge. But one point on the body follows a simple parabolic curve that's easily predicted using basic physics.

- What's that point called, and what fundamental principle of physics ensures this remarkably simple behavior?



Please visit us at **[www.pearson.com](http://www.pearson.com)** for more information. To order any of our products, contact our customer service department at (800) 824-7799, or (201) 767-5021 outside of the U.S., or visit your campus bookstore.

[www.pearson.com](http://www.pearson.com)

ISBN-13: 978-0-13-498855-9  
ISBN-10: 0-13-498855-8

A standard EAN-13 barcode representing the ISBN 978-0-00-000000-8.