

PEP SCREENING REPORT

Politically Exposed Person Check

Report ID: PEP-2024-DEMO-001

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[DEMO DOCUMENT]

Subject: Joe Bloggs

Occupation: Senior Portfolio Manager

Employer: Example Investment Bank, Dubai

Location: Dubai, UAE

STANDARD PEP SCREENING

| | |
|--------------------------------|----------|
| Foreign PEP | NO MATCH |
| Domestic PEP | NO MATCH |
| International Organisation PEP | NO MATCH |
| Family Member of PEP | NO MATCH |
| Close Associate of PEP | NO MATCH |

ENHANCED DUE DILIGENCE CHECKS (Best Practice)

1. Employer Background Verification

Example Investment Bank - regulated by Central Bank of UAE, DFSA. No adverse findings.

2. Role Analysis

Mid-level management. No government interface or political exposure identified.

3. Senior Management PEP Screen

Employer board/exec screened. No PEPs in senior management.

4. Adverse Media Search

RESULT: NOT A PEP

Enhanced screening completed - no PEP connections identified

Screened by: Sarah Example

Reviewed by: Jane Smith (MLRO)

Date: 15/10/2024