

# CLIENT & MATTER RISK ASSESSMENT

[DEMO DOCUMENT]

Form CRA-01 v2.3

## MATTER DETAILS

|  |                  |
|--|------------------|
| Reference: DEMO/2024/001                     | Type: Purchase   |
| Client: Joe Bloggs                           | Value: £875,000  |
| Property: 123 Example Street, London SW1 1AA | Date: 16/10/2024 |

## RISK FACTOR ASSESSMENT

| Risk Factor       | Details                       | Risk Level |
|-------------------|-------------------------------|------------|
| Client Type       | Individual - British National | LOW        |
| Client Location   | Dubai, UAE (8 years resident) | LOW        |
| Transaction Value | £875,000                      | MEDIUM     |
| Source of Funds   | Savings + Gift                | LOW        |
| PEP Status        | Not a PEP                     | LOW        |

## OVERALL RISK CLASSIFICATION

- LOW RISK - Simplified Due Diligence
- STANDARD RISK - Standard CDD
- HIGH RISK - Enhanced Due Diligence

## DUE DILIGENCE APPLIED:

- Standard CDD
- Enhanced Due Diligence (EDD)
- Source of Funds verified
- Source of Wealth verified

Assessed by: Sarah Example (Paralegal)

Date: 16/10/2024

Reviewed by: \_\_\_\_\_

Date: \_\_\_\_\_