# **CS344: Operating systems Lab**

# **Assignment-1**

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## Part 1: Kernel threads

We are asked to implement 3 new system calls to simulate the working of threads.

- 1. thread create() function to create a kernel thread.
- 2. thread join() function to wait for the thread to finish.
- 3. thread\_exit() function that allows the thread to exit.

#### Structure of a process -

The variable *isThread* on the last line is added by us in the struct to indicate whether the current process is thread or not.

thread\_create() system call looks like this:

int thread\_create(void(\*fcn)(void\*), void \*arg, void\*stack)

Where *fcn* is the pointer to the custom function on which thread is created, *arg* is the arguments required during the function running, *stack* is manually created for thread execution.

This call creates a new kernel thread which shares the address space with the calling process.

```
93 int sys_thread_create(void){
94  void (*fcn)(void*),*arg,*stack;
95  argptr(0,(void*) &fcn, sizeof(void(*)(void *)));
96  argptr(1, (void*) &arg, sizeof(void*));
97  argptr(2, (void*) &stack, sizeof(void *));
98  return thread_create(fcn,arg,stack);
99 }
```

The code above is implemented in *sysproc.c* thread\_create() is a user call which is implemented by us in *proc.c* file which makes a system call to *sys\_thread\_create()* which takes the arguments from thread\_create() and makes a system call by calling the thread\_create() function from the kernel.

```
537
538 int thread_create(void (*fcn)(void *),void *arg,void* stack){
      if((uint)stack==0) // if no memory is allocated to the stack return -1
541
         return -1:
int i,pid; // pid is the process id

struct proc *newproc; //new process / child process

struct proc *current_proc = myproc(); //Current process in which thread is being created
      if((newproc=allocproc())==0)return -1;
newproc->pgdir = current_proc->pgdir;
newproc->sz = current_proc->sz;
newproc->parent = current_proc;
*newproc->tf = *current_proc->tf;

newproc->isThread = 1;

// allocating a new process to child if not successful return -1
// making sure both have same page directory
// making sure both have same size
// pointing parent to child
// same trap frame
// telling new process it is a thread
547
548
550
551
552
553 newproc->isThread = 1;
554
                                                            // when new process gets finished 0 will be returned
       newproc->tf->eax = 0:
555
556
557
       newproc->tf->eip = (int)fcn;
                                                            // this is the function on which thread will work
558
559 newproc->tf->esp = (int) stack + 4096;
       newproc->tf->esp -=
       *((int*)(newproc->tf->esp)) = (int) arg;
562
       newproc->tf->esp-=4:
        *((int*)(newproc->tf->esp)) = 0xffffffff;
564 for(i=0;i<NOFILE;++i)
565 {
               if(current_proc->ofile[i])
566
process to new process
569
                          newproc->ofile[i] = filedup(current_proc->ofile[i]); // copying all opened files from current
571
      newproc->cwd = idup(current_proc->cwd);
572
      safestrcpy(newproc->name,current_proc->name,sizeof(current_proc->name));
573 pid = newproc->pid;
       acquire(&ptable.lock)
575
       newproc->state = RUNNABLE;
      release(&ptable.lock);
576
       return pid;
```

In the above code, current process\_id(*pid*) is copied into a newly created thread, then page\_directory(*pgdir*) and size(*sz*) are also copied. Making customised *isThread* variable 1 to denote it a thread.

In the trapframe(*tf*) of the new process(*newproc*) we have initialised *eax*, *eip*, *esp*. Then we iterated over all the files in the current process and copied those files that were open to the new process(*newproc*).

The other new system call is:

#### int thread\_join(void)

This call waits for a child thread that shares the address space with the calling process. It returns the *pid* of the waited-for child or -1 if none.

```
101 int sys_thread_join(void){
102 return thread_join();
103 }
```

The code above is implemented in *sysproc.c* thread\_join() is a user call which is implemented by us in *proc.c* file which makes a system call to *sys\_thread\_join()*.

```
580 int thread_join(void){
            struct proc *i;
            int havekids; // havekids is the boolean value to check if the thread has any child or not
            struct proc *current_proc = myproc(); //Current process in which thread is being created
585
            acquire(&ptable.lock);
                     havekids = 0;
588
                     for(i = ptable.proc;i< &ptable.proc[NPROC];++i){
    if(i->isThread!=0 && i->parent==current_proc){ //if the process is a thread and child of
589
   the current process
591
                                       havekids = 1:
                                       if(i->state==ZOMBIE){ //if it's in zombie state then re-initialiaze to make it
   available to be used by other processes and return pid = i->pid;
593
594
                                                i->kstack = 0;
595
                                                i->pid = 0;
596
                                                i->parent = 0;
597
                                                i->name[0] = 0;
                                               i->killed = 0;
i->state = UNUSED;
599
                                                release(&ptable.lock);
600
602
                                       }
603
605
                     if(!havekids||current_proc->killed){// if it doesn't have any child or the current process itself
606
   is aborted then return
607
                              release(&ptable.lock);
608
                              return -1;
609
                     sleep(current_proc,&ptable.lock); // wait for any thread to complete its execution
            }
611
612
```

The other new system call is:

#### int thread\_exit(void)

This system call allows a thread to terminate.

```
105
106 int sys_thread_exit(void){
107 return thread_exit();
108 }
```

The code above is implemented in *sysproc.c* thread\_exit() is a user call which is implemented by us in *proc.c* file which makes a system call to *sys\_thread\_exit()*.

```
616 int thread_exit(){
             struct proc *current_proc = myproc();
             struct proc *i;
618
619
             int file;
621
             if(current_proc==initproc)
622
                      panic("exit init");
624
625
626
             for(file = 0;file<NOFILE;file++){</pre>
                      if(current_proc->ofile[file]){
                               fileclose(current_proc->ofile[file]); // closing all opened files of current process current_proc->ofile[file] = 0;
628
629
631
             begin_op();
             iput(current_proc->cwd);
632
633
             end_op();
634
             current_proc->cwd = 0;
635
             acquire(&ptable.lock);
636
             wakeup1(current_proc->parent);
638
             for(i=ptable.proc;i<&ptable.proc[NPROC];++i){
   if(i->parent==current_proc){
639
640
641
                                i->parent = initproc;
642
643
                               if(i->state==ZOMBIE){
                                        wakeup1(initproc);
645
646
647
             current_proc->state = ZOMBIE;
648
             panic("exit zombie");
649
650 }
```

```
_ D X
                                        QEMU
 Machine View
Booting from Hard Disk...
cpu0: starting 0
sb: size 1000 nblocks 941 ninodes 200 nlog 30 logstart 2 inodestart 32 bmap star
t 58
init: starting sh
$ thread
Starting do_work: s:b2
Starting do_work: s:b1
Done s:2F9C
Done s:2F78
Threads finished: (4):5, (5):4, shared balance:3218
$ thread
StartStarting do_work: s:b2
ning do_work: s:b1
Done s:2F9C
Done s:2F78
Threads finished: (7):8, (8):7, shared balance:3207
$ thread
Starting do_work: s:b1
Starting do_work: s:b2
Done s:2F9C
Done s:2F78
Threads finished: (10):11, (11):10, shared balance:3200
```

The above output is wrong (because 3200+2800 is 6000 but the received outputs are different every time we run the thread.c file). The reason behind this is that the 2 threads are changing the value of the same global variable *total\_balance*. It might happen that both threads read an old value of the *total\_balance* at the same time, and then update it at almost the same time as well. As a result the deposit (the increment of the balance) from one of the *threads* is lost. This can be fixed using *synchronisation*.

## **Part 2: Synchronisation**

To fix this synchronisation error we have implemented a **spinlock** that allows us to execute the update atomically.

#### Struct of spinlock:

```
5 struct thread_spinlock{
6    volatile uint lock;
7    char *name;
8 };
```

There are 3 functions implemented in *spinlock* -

- 1. **void thread\_spin\_init(struct thread\_spinlock \*lk)** initialise the spinlock to the correct initial state.
- 2. **void thread\_spin\_lock(struct thread\_spinlock \*Ik)** a function to acquire a spinlock.
- 3. **void thread\_spin\_unlock(struct thread\_spinlock \*lk)** a function to release the spinlock.

Implementation of these 3 functions are as follows:

```
25 void thread_spin_init(struct thread_spinlock *lk){
26
      lk \rightarrow lock = 0;
      lk->name = "null";
27
28 }
30 void thread_spin_lock(struct thread_spinlock *lk){
      while(xchg(&lk->lock,1)!=0);
31
32
      __sync_synchronize();
33 }
35 void thread spin unlock(struct thread spinlock *lk){
      __sync_synchronize();
      asm volatile("movl $0, %0" : "+m" (lk->lock) : );
37
38 }
```

## Output using spinlock:

```
Machine View

SeaBIOS (version 1.15.0-1)

IPXE (https://ipxe.org) 00:03.0 CA00 PCI2.10 PnP PMM+1FF8B590+1FECB590 CA00

Booting from Hard Disk...

Cpu0: starting 0
Sb: size 1000 nblocks 941 ninodes 200 nlog 30 logstart 2 inodestart 32 bmap start, 58
init: starting sh
It starting sh
It thread
Starting do_work: s:b1
Starting do_work: s:b2
Done s:2F9C
Done s:2F9C
Done s:2F78
Threads finished: (4):5, (5):4, shared balance:6000

S-
I
```

So using spinlock we got the correct output.

When the CPU count is 2, all threads of the process run in parallel on different CPUs, spinlocks are perfect, each process enters a short critical section, updates the shared balance atomically and then releases the spinlock for other threads to make progress.

```
220 ifndef CPUS

221 CPUS := 2

222 endif
```

However, when we change the number of CPU's in the Makefile from 2 to 1, then spinlock takes more time because all threads of the process start to spin endlessly, waiting for the interrupted (lock-holding) thread to be rescheduled and run again the spinlocks become inefficient.

```
220 ifndef CPUS

221 CPUS := 1

222 endif
```

To fix the above problem, we have implemented *mutex lock*.

#### Struct of mutex:

```
10 struct thread_mutex{
11    volatile uint lock;
12    char *name;
13 };
```

There are 3 functions implemented in *mutex* -

- 1. **void thread\_mutex\_init(struct thread\_mutex \*m)** initialise the mutex lock to the correct initial state.
- 2. **void thread\_mutex\_lock(struct thread\_mutex \*m)** a function to acquire a mutex lock.
- 3. **void thread\_mutex\_unlock(struct thread\_mutex \*m)** a function to release the mutex lock.

Implementation of these 3 functions are as follows:

```
40 void thread_mutex_init(struct thread_mutex *lk){
      lk -> lock = 0:
42
      lk->name = "null";
43 }
44
45 void thread_mutex_lock(struct thread_mutex *lk){
      while(xchg(&lk->lock,1)!=0){
47
          sleep(1);
48
      }
49
      __sync_synchronize();
50
51 }
52
53 void thread mutex unlock(struct thread mutex *lk){
      __sync_synchronize();
      asm volatile("movl $0, %0" : "+m" (lk->lock) : );
55
56 }
```

## Output using mutex:

```
Machine View
SeaBIOS (version 1.15.0-1)

iPXE (https://ipxe.org) 00:03.0 CA00 PCI2.10 PnP PMM+1FF8B590+1FECB590 CA00

Booting from Hard Disk...
cpu0: starting 0
sb: size 1000 nblocks 941 ninodes 200 nlog 30 logstart 2 inodestart 32 bmap start 58
init: starting sh
$ thread
Starting do_work: s:b1
Starting do_work: s:b2
Done s:2F9C
Done s:2F78
Threads finished: (4):5, (5):4, shared balance:6000
```

#### **Observations:**

We observed that when we use 1 CPU, mutex lock runs much faster than spinlock because instead of spinning, mutex lock will release the CPU to another thread.

To implement all these user defined system calls, we have made the added the following pieces of codes to the respective files -

#### Usys.s

```
32 SYSCALL(thread_create)
33 SYSCALL(thread_join)
34 SYSCALL(thread_exit)
```

#### User.h

```
27 int thread_create(void (*)(void*),void*,void*);
28 int thread_join(void);
29 int thread_exit(void);
```

## Syscall.h

```
23 #define SYS_thread_create 22
24 #define SYS_thread_exit 23
25 #define SYS_thread_join 24
```

#### Syscall.c

```
133 [SYS_thread_create] sys_thread_create,
134 [SYS_thread_join] sys_thread_join,
135 [SYS_thread_exit] sys_thread_exit,

106 extern int sys_thread_create(void);
107 extern int sys_thread_join(void);
108 extern int sys_thread_exit(void);

Defs.h

124 //thread
125 int thread_create(void (*)(void*),void*,void*);
126 int thread_join(void);
127 int thread exit(void);
```

#### Makefile

128

```
168 UPROGS=\
           _cat\
169
            _echo\
170
171
            _forktest\
            _grep\
172
            _init\
173
            _kill\
174
            _ln\
175
            _ls\
176
177
            _mkdir\
178
            _rm\
           _{\mathsf{sh}}
179
            _stressfs\
180
            _wc\
181
            _zombie\
182
            _thread\
183
184
            _Drawtest\
185
```