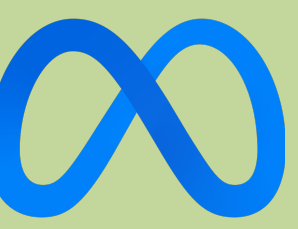




# Improving Passage Retrieval with Zero-Shot Question Generation



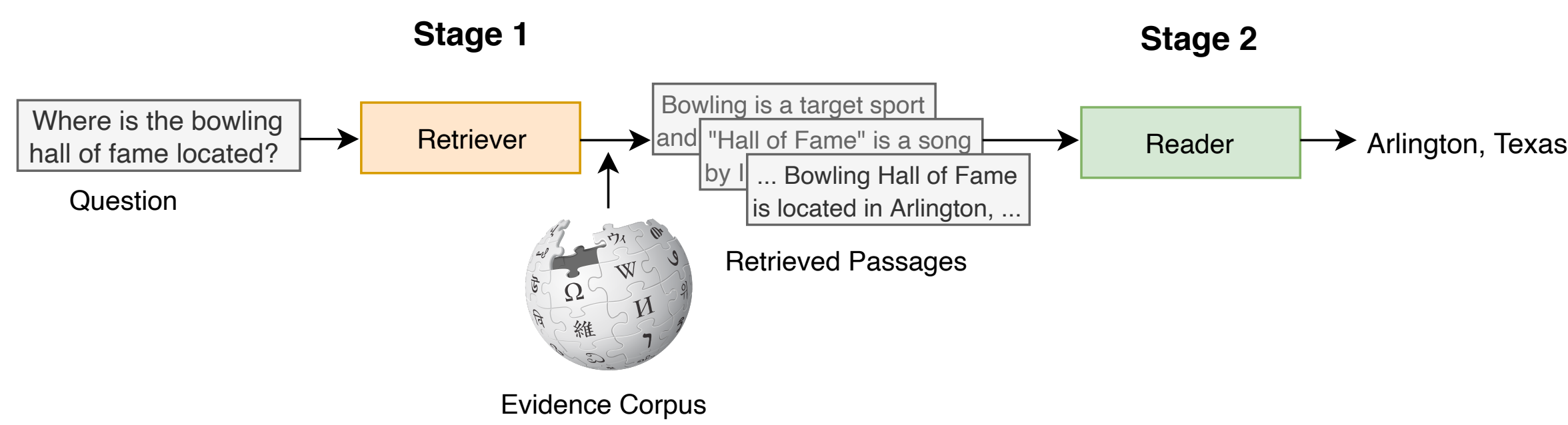
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## Introduction

### Open-Domain Retrieval Pipeline



- **Stage 1:** Given an information-seeking question, the first-stage retriever obtains a **set of relevant passages** from the evidence.
- **Stage 2:** These passages are attended to by a reader network to generate an answer for the question.

### Research Question

How to Improve the First-Stage Passage Retrieval Accuracy?

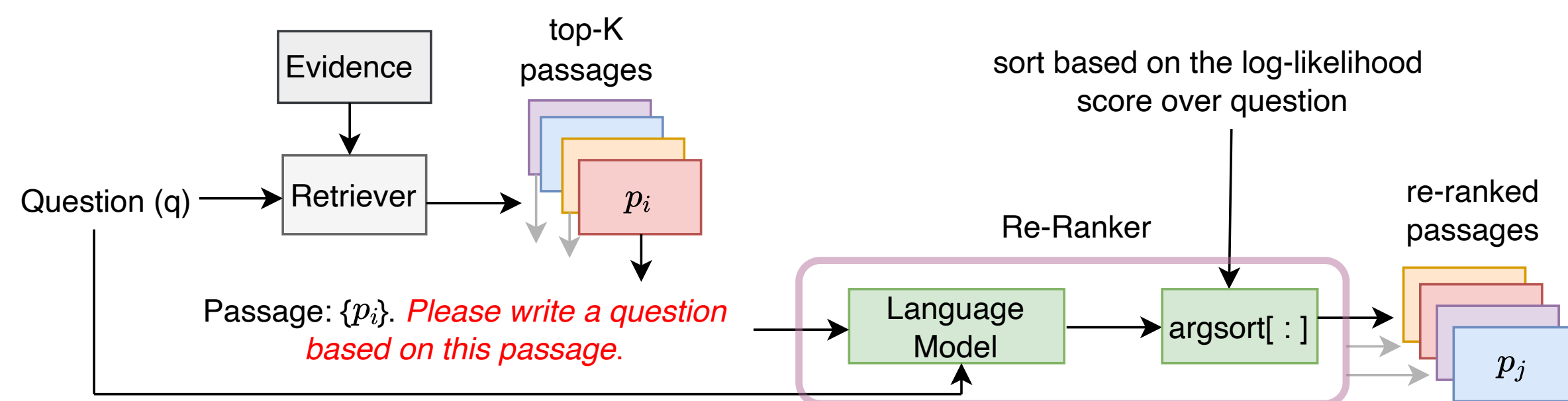
### Significance

- An improvement in retrieved passage rankings directly leads to more accurate answers.

## Method

### UPR: Unsupervised Passage Re-ranking

We propose an unsupervised re-ranker to improve the ranking of a first-stage retriever.



1. Input: (i) Question ( $q$ )  
(ii) Evidence passages, such as segmented Wikipedia  $\mathcal{D} = \{d_1, \dots, d_M\}$

2. Conditioned on the question, retrieve a large set of matching passages from evidence

$$\mathcal{Z} = \{z_1, \dots, z_K\}, z_i \in \mathcal{D}$$

3. Compute the log-likelihood of the question tokens conditioned on a passage with teacher-forcing using a large pre-trained language model.

$$\log p(q | z_i) = \frac{1}{|q|} \sum_t \log p(q_t | q_{<t}, z_i; \Theta), \forall z_i \in \mathcal{Z}$$

4. Sort the passage ordering based on the log-likelihood score  $p(q | z_i)$  and select the top 100 passages for final QA task.

### Experimental Settings

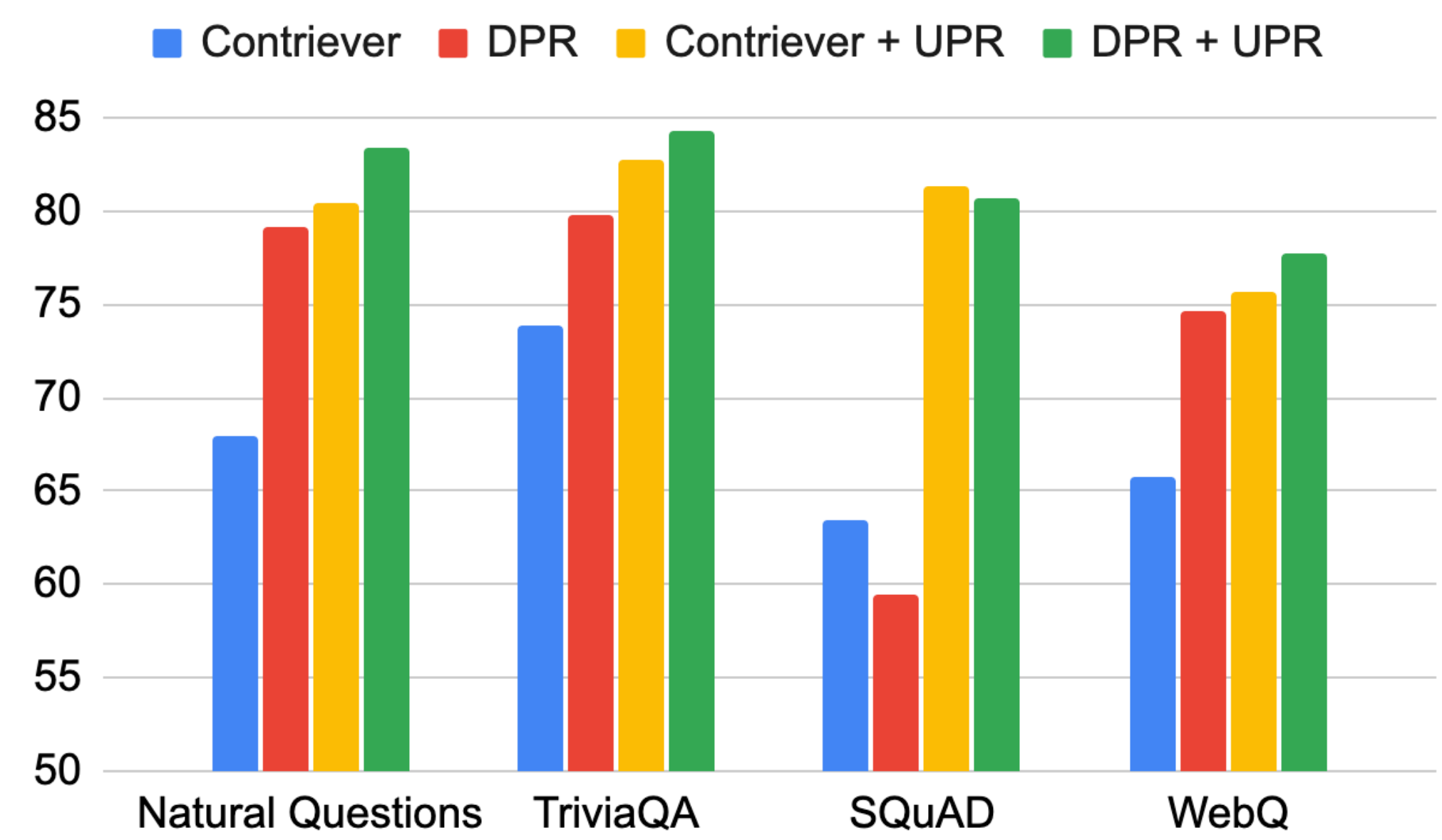
- We select the top-K = 1000 passages for re-ranking.
- We use the instruction-tuned T0 (3B) pre-trained language model in UPR.

## UPR: Strengths

- ✓ No training data is needed.
- ✓ Uses off-the-shelf pre-trained language models without finetuning.
- ✓ Can be applied to both sparse retrievers (such as BM25) and dense retrievers (such as DPR).
- ✓ Leverages rich cross-attention between question and passage tokens resulting in improved passage rankings.

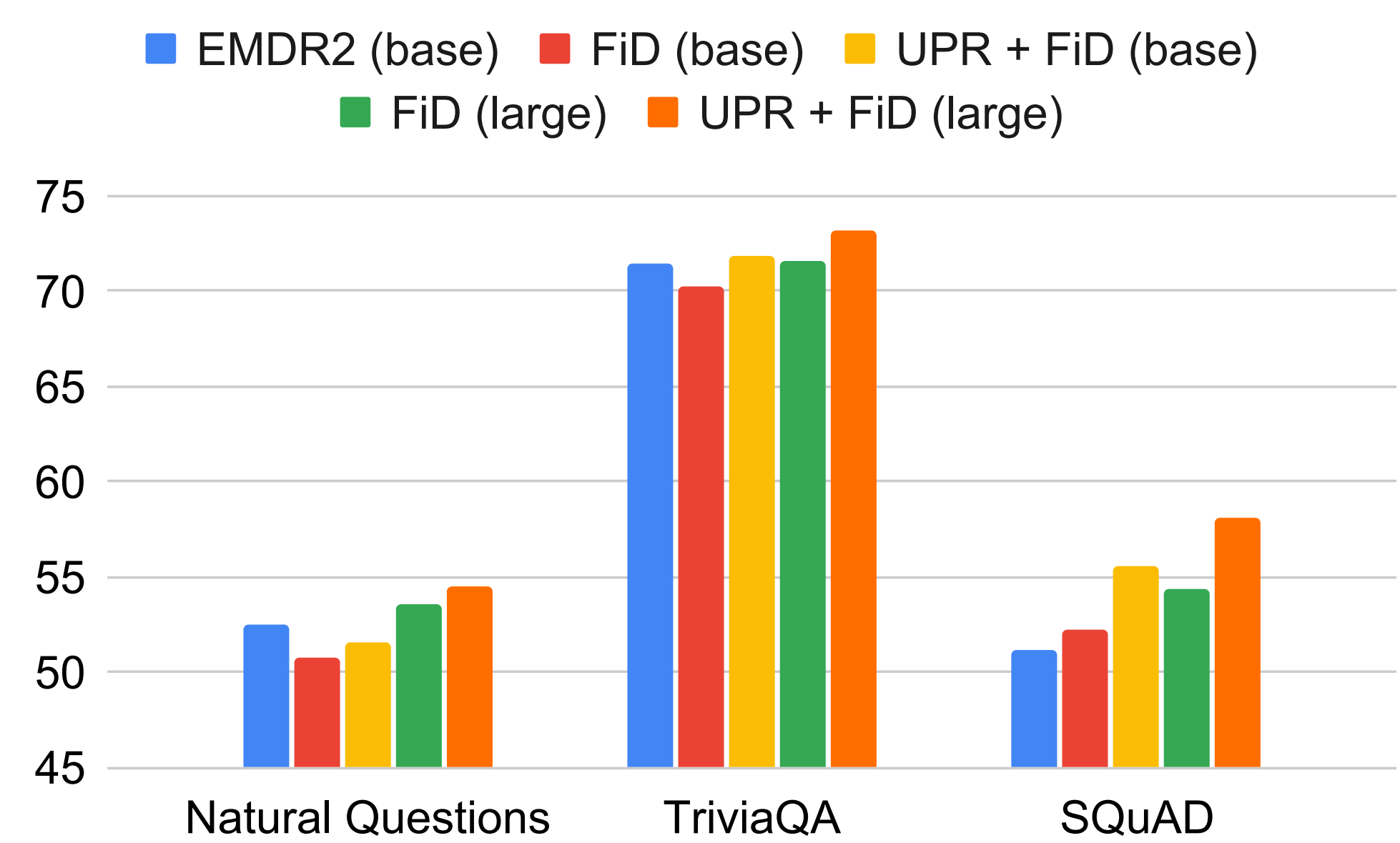
## Results

### Top-20 Passage Retrieval Accuracy



- UPR improves accuracy of both supervised and unsupervised retrievers by 6%-18%.
- An **unsupervised pipeline** consisting of Contriever and UPR outperforms supervised models like DPR.

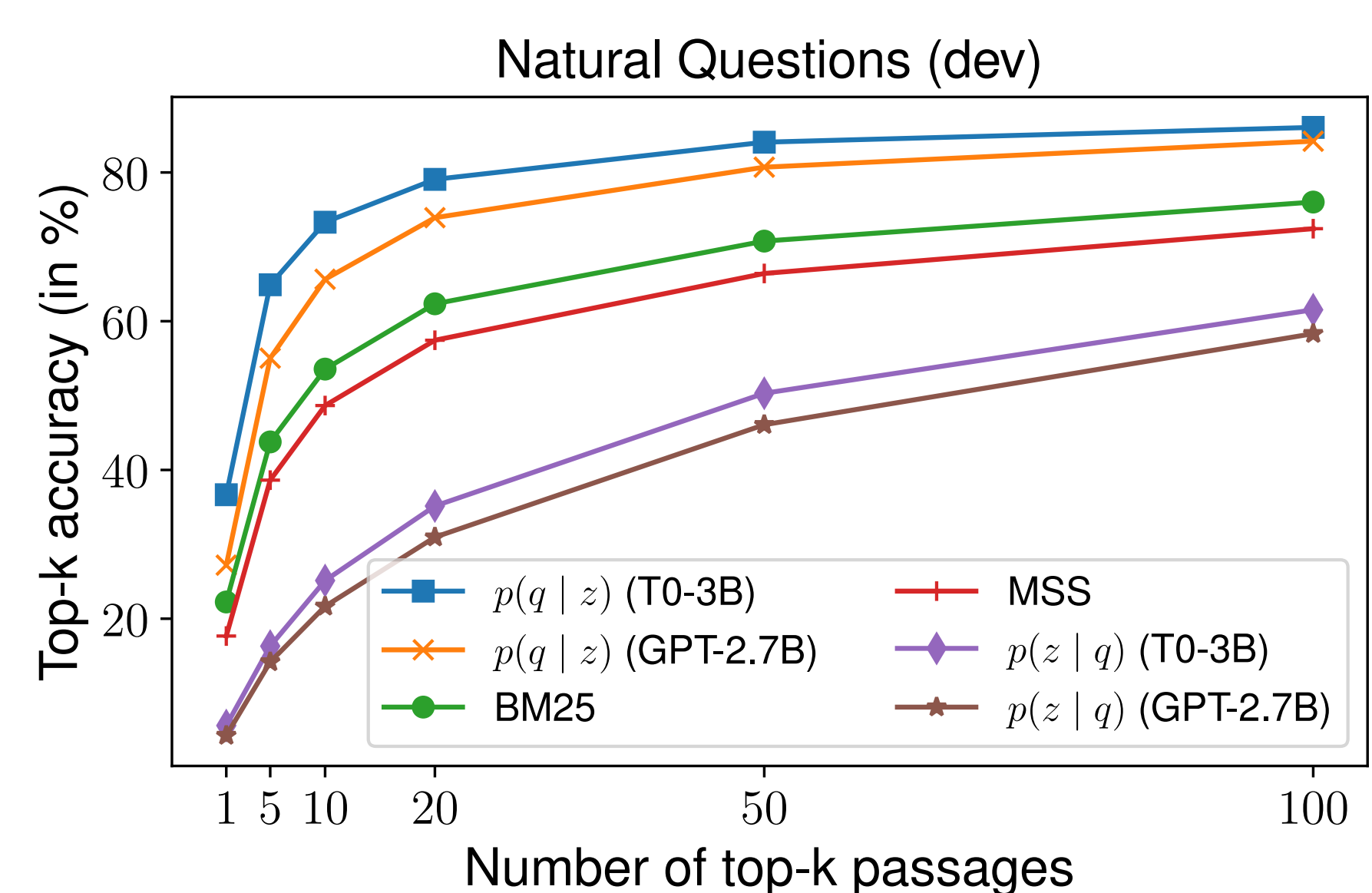
### Open-Domain Question Answering



- Performance gains up to 3 points by doing inference with re-ranked passages.
- UPR + FiD pipeline is a more scalable alternative for working with large models.

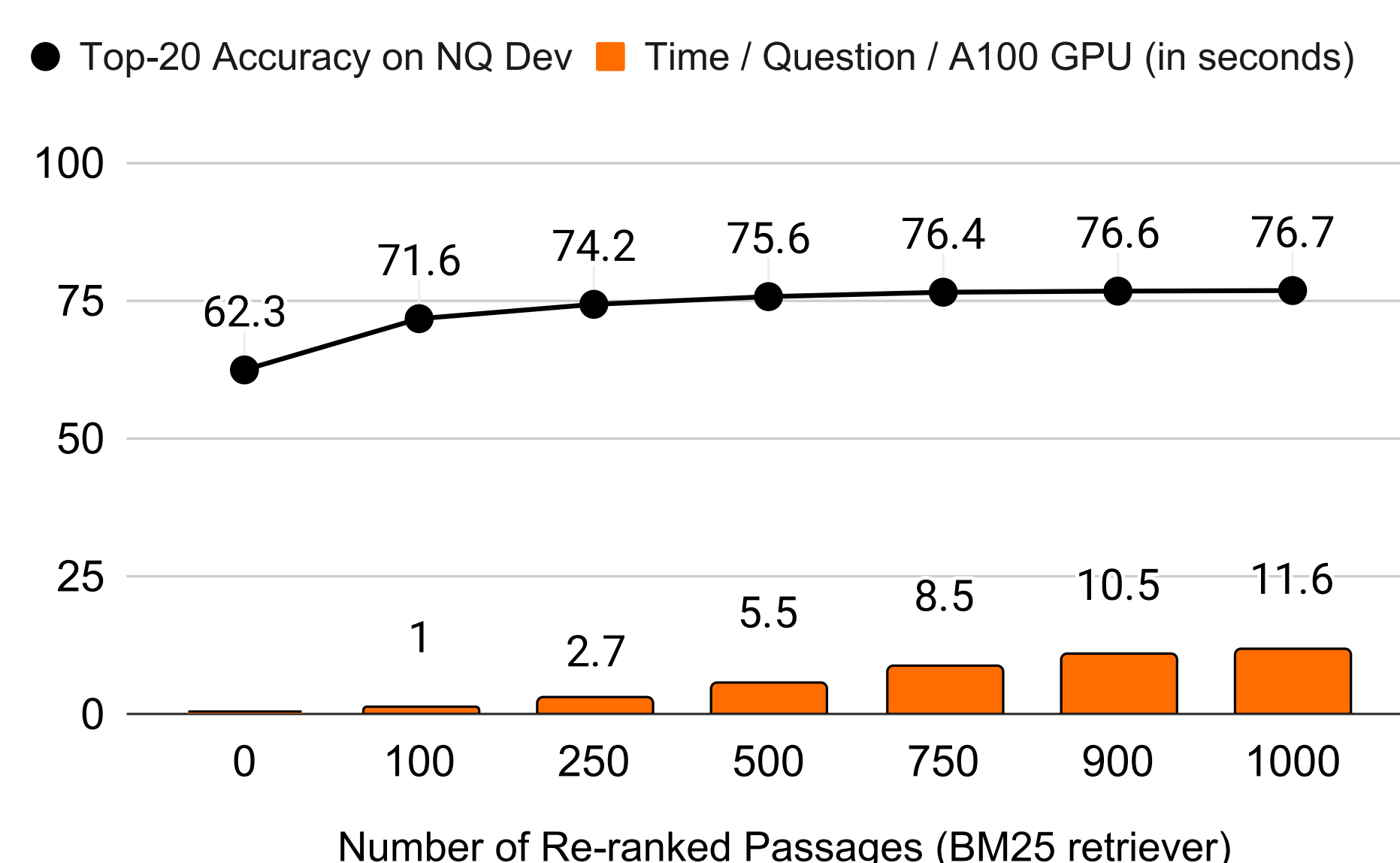
## Analysis

### Question Generation or Passage Generation



- Re-ranking based on passage generation  $p(z | q)$  is sub-optimal.

### Passage Candidate Size and Latency



- **Pros:** Retrieval accuracy improves with a larger pool of candidate passages.
- **Cons:** Latency increases linearly with the number of passages.