

# REPORT ON THE PAPER “SAMPLING – 50 YEARS AFTER SHANON”

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**ABSTRACT.** This document contains the important notes taken from the paper [2]. The emphasis is on the *regular* sampling, where the grid is uniform. This document introduce the reader to the modern, Hilbert-space formulation, we reinterpret Shanon’s sampling procedure as an orthogonal projection onto the subspace of band-limited functions. Then the standard sampling paradigm is extended for a representation of functions in the more general class of “shift-invariant” function spaces, including splines and wavelets. Practically, this allows for simpler and possibly more realistic—interpolation models, which can be used in conjunction with a much wider class of (anti-aliasing) prefilters that are not necessarily ideal low-pass. The report summarizes and discuss the results available for the determination of the approximation error and of the sampling rate when the input of the system is essentially arbitrary; e.g., nonbandlimited.

**Keywords.** Bandlimited, Hilbert spaces, Anti-aliasing.

## 1. Introduction

In 1949, Shannon published the paper “Communication in the Presence of Noise,” which set the foundation of information theory. In order to formulate his rate/distortion theory, Shannon needed a general mechanism for converting an analog signal into a sequence of numbers. This led him to state the classical sampling theorem at the very beginning of his paper in the following terms:

**Theorem 1** (Shannon). *If a function  $f(x)$  contains no frequencies higher than  $\omega_{max}$  (in radians per second), it is completely determined by giving its ordinates at a series of points spaced  $T = \pi/\omega_{max}$  seconds apart.*

The reconstruction formula that complements the sampling theorem is

$$f(x) = \sum_{k \in \mathbb{Z}} f(kT) \text{sinc}(x/T - k) \quad (1.1)$$

in which the equidistant samples of  $f(x)$  may be interpreted as coefficients of some basis functions obtained by appropriate shifting and rescaling of the sinc-function:  $\text{sinc}(x) = \sin(\pi x)/(\pi x)$ . Formula 1.1 is exact if  $f(x)$  is bandlimited to  $\omega_{max} \leq \pi/T$ ; this upper limit is the Nyquist frequency, a term coined by Shannon. In mathematical literature 1.1 is known as cardinal series expansion attributed to Whittaker [3, 1]. Sampling theorem tells us how to convert an analog signal into a sequence of numbers, which can then be processed digitally or coded on a computer.

### Issues associated with Shanon’s result.

- It is an idealization; real world signals or images are never exactly bandlimited.
- There is no such device as an ideal (anti-aliasing or reconstruction) low-pass filter.

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- Shanon’s reconstruction formula is rarely used in practice due to the slow decay of the sinc function. Instead, much simpler techniques such as linear interpolation are used.

**What is aliasing and anti-aliasing?** **Aliasing:** When a signal is sampled, frequencies above the Nyquist frequency “fold back” into the sampled signal as lower frequencies, corrupting the data. A low-pass filter (LPF) with a cutoff is applied to eliminate these problematic frequencies. It removes the high signals above a cutoff and allows the signals lower than that cutoff to pass.

**Anti-Aliasing:** Anti-aliasing is the process of removing or attenuating high-frequency components from a signal before sampling to prevent aliasing artifacts.

#### REFERENCES

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