

TRANSFORMING PRINTED DOCUMENTS INTO
DATABASE

A PROJECT REPORT

Submitted by

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CERTIFICATE

Date:30 April 2013

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PROJECT GUIDE:

Mr. Viral Patel

Mr. Rashmin Prajapati

ABSTRACT

Every Educational Institutes need some kind of formatted marksheet. Here in our project we make work simpler for this institutes.

Transforming printed or handwritten documents directly to database. For example we take marksheet of GTU, once it is distributed to Institutes, the Institutes need to maintain the records, so for reducing the manually work load this software is usefull.

In this software the user just need to scan the copy of marksheet and rest of the thing is done by software. The scanned file is stored as Image file so this Image

file undergoes processing and the useful data is extracted. This data is stored into database , thus reducing the manual burden . Our project works for any kind of format, so this makes our project Dynamic.

Thus our project has to undergo various Image Processing task. This makes things simpler for the Educational Institutes.

LIST OF TABLES

Table No	Table Description
----------	-------------------

Page No

Table 4.3.1

MASTER_TEMPLATE_TABLE

Table 4.3.2

CHILD_TEMPLATE_TABLE

Table 4.3.3

VALUE_TABLE

LIST OF FIGURES

Figure No	Figure Description
-----------	--------------------

Page No

4.2

USE CASE DIAGRAM

LIST OF SYMBOLS, ABBREVIATIONS AND NOMENCLATURE

Symbol

Abbreviations

Name

OCR

Optical Character Recognition

SQL

Structured Query Language

DPI

Dots per Inch

JPEG

Join Photographic Expert Group

BMP

Bitmap Image File Format

TIF

Tagged Image File Format

TABLE OF CONTENTS

Acknowledgement

i

Abstract

ii

List of Figures

iii

List of Tables

iv

List of Abbreviations

v

Table of Contents

vi

Chapter : 1

INTRODUCTION

1.1 Document Purpose

1

1.2 Project Scope

1

1.2.1 Application

1

1.2.2 Goal

1

1.3 Overview

2

1.4 Project Profile

2

Chapter : 2

PROJECT DESCRIPTION

2.1 Product Perspective

3

2.1.1 System Interface

3

2.1.2 User Interface

3

2.1.3 Hardware Interface

8

2.1.4 Software Interface

8

2.1.5 Communication Interface

8

2.1.6 Memory Constrains

8

2.1.7 Operations

8

2.1.8 Site Adaptation Requirements

8

2.2 Product Functions

8

Chapter : 3

LITERATURE SURVEY

3.1 Processing Steps

10

3.1.1 Pre-Processing

10

3.1.2 Segmentation

15

3.1.3 Character Recognition

18

3.2 Cropping an Image

31

Chapter : 4

SYSTEM SPECIFICATION

4.1	Specification	33
4.1.1	Input Specification	33
4.1.2	Output Specification	

4.1.3 Functional Specification	33
--------------------------------	----

4.2 Actor Definition

4.2.1 Junior Clerk

4.2.2 Head Clerk

4.2.3 Image Input

4.2.4 Image Processing

36

4.2.5 Save

37

4.2.6 Edit

37

4.2.7 Pre-Processing

37

4.2.8 Segmentation

37

4.2.9 Character Recognition

38

4.2.10 Table And Template Definition

38

4.3 Tables

39

Chapter : 5

RESULT

42

Chapter : 6

CONCLUSION

6.1 Conclusion

6.2 Scope Of Future Enhancement

Chapter : 7 REFERENCES

CHAPTER-1 INTRODUCTION

1.1 Document Purpose

This Software Requirements Specification provides a complete description of all the functions and specifications of the OCR for Printed and Hand written documents. This documentation presents an intense study of requirements of OCR system where we can scan hardcopy of documents in scanner and store its data in database after processing thus reducing the manual burden of entering the data in database .

1.2 Project Scope

The system transformations printed and hand written text on any kind of form/format into the database. The text could be on plain paper or else on pre-printed form. The database design including normalization is manually done. The scanned document, which will be in the image format, will be the input. This scanned image undergoes pre-processing and data will be stored in database.

1.2.1 Application

Application areas of this system are very large as in many organizations, for eg:

E-Governance

Government organizations

Administrative Offices

Off-line competitive examination management system

Many statutory forms/results prepared in various organization

1.2.2 Goal

Goal of this project is to develop a system that focuses on following major criteria:

Record keeping and archiving

Efficient and automated record storage and indexing

Increased accuracy

Reduced Time

Reduced Manual Burden

To recognize Hand written character (for Vernacular language fonts- Gujarati

Language)

Make data available for long time without any loss.

1.3

Overview

This SRS document details OCR system and the requirements segregated. It provides an introduction to this document and also provides a full description of the project along with a detailed list of requirements for the user of the OCR system. It lists all the functions performed by the system. It concerns the details of the requirement model for the OCR for hand written or printed documents.

1.4 Project Profile

Project Title

Transformation of Printed and Handwritten document

into the Database

Tables

Project Type

OCR Based Application

Objective

To develop a OCR Based Application

Tools & Technology

NetBeans 7.0 (java) and Matlab(Testing Tool)

Back-End Tools

Microsoft SQL Server 2005

Education Institute

Sardar vallabhbhai Patel Institute Of Technology,

Vasad(SVIT)

Project Duration

1year(2012-2013)

Organization

Akshar Software Solutions,

Karelibaug, Vadodara -22

External Project Guide

Mr. Viral Patel

Internal Project Guide

Mr. Rashmin Prajapati

CHAPTER-2 PROJECT DESCRIPTION

Project Description

OCR is a complex technology that converts images with text into editable formats. OCR allows you to process scanned books, screenshots and photos with text and get editable documents like TXT, DOC or PDF files. This technology is widely used in many areas and the most advanced OCR systems can handle almost all types of images, even such complex as scanned magazine pages with images and columns or photos from a mobile phone.

2.1 Product Perspective

The figure depicts the overall system architecture for the OCR System.

2.1.1 System Interfaces: - The system is dependent on system interfaces such as

(a) Minimum System configuration: 3 GHz Processor, 1GB RAM, 80GB Hard Disk.

(b) Keyboard, Monitor, Mouse and Flat Bed Scanner.

2.1.2 User Interfaces :-

Our project uses a GUI, firstly we have Login Page where the user enters the User Name and Password. The User Name and Password are verified from the Database and then only the user is allowed to use the software.

Then the next is Home Page where the user is given the choice to whether to enter data into existing Template or want to make a new template.

Once the user Click on SAVE TEMPLATE the next page will ask the user to get the sample Image of the template to be made.

Once the user click on Color TO Grey Scale button image which is browsed will convert RGB to Grey.

And then after converting to grey image user clicks on BINARIZE button grey image is binarize.

User will enter Template Name and Template Id will be generated dynamically.

When user clicks on NEXT button then new page is arrived in which user select the field that is required and according to that length ,breadth,X-coordinate and Y-Coordinate dynamically.

When user clicks NEXT button ,next required field is being selected.

Then when the user picks some item from Select Template in which image of the

object having same template is taken, processing is done on that image and the data so obtained is stored in data base.

Software crop the image which is required automatically.

2.1.3 Hardware Interface:-

(a) Monitor screen- The software shall display information to the user via the monitor screen.

(b) Mouse- The software shall interact with the movement of the mouse and the mouse buttons. The mouse shall activate input and output, command buttons and select options from menus.

(c) Keyboard- The software shall interact with the keystrokes of the keyboard. The keyboard will input data into the active areas of the GUI.

(d) Flat-Bed Scanner- The software should sense the input from the

scanner and provide the user to save the scanned document in a user specified format.

2.1.4 Software Interfaces:-

(a) Data Base

(b) Operating System- Microsoft Windows XP

2.1.5 Communications Interfaces: - N.A.

2.1.6 Memory Constraints: - Minimum ram requirement 256MB.

2.1.7 Operations: - NIL

2.1.8 Site Adaptation Requirements :- NIL

2.2 Product Functions

The proposed OCR system will support the following functionalities:

1. Color to Grey Scale Conversion

We have several algorithms that convert color images to black and white:

a) Averaging

- b) Luminosity
- c) Desaturation
- d) Minimal and maximal decomposition
- e) The single color channel method
- f) Java built in method

2. Detecting skew and corrected.

3. Image Binarization.

4. Text Direction Recognition.

5. Image Cropping.

6. Page Segmentation and Layout Analysis.

7. Line and Word segmentation using script-independent and script-dependent features.

Determining the contour or boundary of the letter.

- a) 4-connectivity
- b) 8-connectivity
- c) Scan line algorithm

8. Symbol and text recognition.

a) Contour Analysis Algorithm

b) Neural Network

9. Editing documents and conversion to alternate document

CHAPTER-3 LITERATURE SURVEY

3.1 Processing Steps

Scanner

Preprocessin

g and Noise

Removal

Page Layout

Analysis

Text/Non Text

Separation And

Representation

Paragraph Line

and word level

Representation

Segmentation

Feature

Extraction

Classification

Post

Processor

Layout Restoration

Hand Presentation

Manager

Database

OCR

read

Docume

nt

3.1.1 Pre-Processing

This is the step taken before the major image processing task. The problem here is to perform some basic tasks in order to render the resulting image more suitable for the job to follow. In this case it may involve enhancing the contrast, removing noise, or identifying regions likely to contain the postcode.

3.1.1.1 Color to Grey Scale

We have several algorithms that convert color images to black and white:

A.

Averaging

B.

Luminosity

C.

Desaturation

D.

Minimal and maximal decomposition

E.

The single color channel method

F.

Java built in method

A. Averaging

You take all the color components (R, G and B) and divide them by three.

While the algorithm itself is pretty simple, it does a very good job, but it still has some downsides (it does a poor job representing how humans view luminosity).

First we create a new image with the same height, width and attributes. Then we create a lookup table. The maximum value of the sum of three pixels can be 765 (because the maximum value of each pixel can be 255). So we create a table of summed values, and at the i-th position we put our averaged value (this gets us less computational time):

So if the images is 500500, that means we do 250 000 less computations.

B. Luminosity

The second method relies on calculating the values based on luminosity. The luminosity method is a more sophisticated version of the average method. It also averages the values, but it forms a weighted average to account for human perception. Were more sensitive to green than other colors, so green is weighted most heavily. There are various formulas for calculating the new pixel values (our algorithm uses the first one, but you can also use any other formula; the last one is used by Photoshop):

So what we do, we multiply the red, green and blue pixel values with a number and sum them up.

C. Desaturation

Desaturating an image takes advantage of the ability to treat the RGB colorspace as a 3-dimensional cube. Desaturation approximates a luminance value for each pixel by choosing a corresponding point on the neutral axis of the cube. Now the calculations are a bit hard, but I've found a simpler method that says, that a pixel can be desaturated by finding the midpoint between the maximum of RGB and the minimum of RGB 2, if you want more detailed calculations use the method in 4.

So we only take the minimum of the RGB values and the maximum of the RGB values and divide them by two. We'll create a lookup table for the division part, so that we'll have less values; theoretically the biggest minimum element we can have is 255 (if all the values are the same), so we must create a lookup table for 511 values (255 is minimum, 255 is maximum, the sum is 510, if we add 0 we have 511). We'll also need to write the min and max method, but that's simple as pie.

D. Minimal and maximal decomposition

Decomposition takes the highest or the lowest pixel of the RGB channel and sets that value. The maximal decomposition produces bright black and white images while minimal produces darker ones. The algorithm is really pretty

simple as we only call the methods for calculating the min and max values from the previous method.

Maximal decomposition:

Minimal decomposition:

E. The single color channel method

This is also one of the simplest methods to produce a black and white image, and interesting enough, our cameras use it because it uses the least resources.

For our output image we only set the values from a certain color, for instance if we choose red, the outputted values would be the red values of the pixel. So we'll create a method that accepts an extra int value (0 for R, 1 for G and 2 for B).

F. Java built in method

If you're not even remotely interested how color to grayscale conversion

works, Java has a built in function that outputs a grayscaled image:

3.1.1.2 Skew Removal

A. Algorithm for finding out skew angle:

1. Let the line AA' be a horizontal scanning line that starts from the top of the image and proceeds to the bottom of the image.
2. Store the co-ordinates of the first black pixels encountered. Ideally, this is the tip of a skewed maatraa. (There may be exceptions to this. It may be a part of an alphabet that rises above a maatraa, in which case the angle returned will be false.)
3. If the x co-ordinate of the point thus found is $> \text{width}/2$, we assume that the page is tilted towards the right, otherwise left. To check if the page is unskewed, we drop projections from the top of the page to the top most maatraa. If the height of any two projections are found to be same, the page is straight

already and no de-skewing is required.

4. If the page is tilted, we proceed to find the angle of tilt. We try to find an end of the top most (or any) maatraa. Then we find the angle of tilt of the maatraa

as shown in Fig x. We then eliminate wild values ($\text{tilt} > 10$ degrees) and keep averaging the values found.

5. We use tan inverse to find the angle of tilt and then return the value.

B. Algorithm for de-skewing the image:

1. Using the dimensions of the skewed image, and the angle of tilt, derive the dimensions of the new image using these relations:

$\text{float Point1x} = (\text{srcheight} * \text{sine});$

$\text{float Point1y} = (\text{srcheight} * \text{cosine});$

$\text{float Point2x} = (\text{srcwidth} * \text{cosine} - \text{srcheight} * \text{sine});$

$\text{float Point2y} = (\text{srcheight} * \text{cosine} + \text{srcwidth} * \text{sine});$

$\text{float Point3x} = (\text{srcwidth} * \text{cosine});$

```
float Point3y=(srcwidth*sine);

float minx=min(0,min(Point1x,min(Point2x,Point3x)));

float miny=min(0,min(Point1y,min(Point2y,Point3y)));

float maxx=max(Point1x,max(Point2x,Point3x));

float maxy=max(Point1y,max(Point2y,Point3y));

int DestWidth=(int)ceil(fabs(maxx)-minx);

int DestHeight=(int)ceil(fabs(maxy)-miny);
```

Here Point 0,1,2,3 are the 4 corners of the source image.

2. Use the following relations to create the new image:

```
int Srcx=(int)((x+minx)*cosine+(y+miny)*sine);

int Srcy=(int)((y+miny)*cosine-(x+minx)*sine);
```

Where x and y are the pixel co-ordinates of the new image, and SRCx and SRCy are the coordinates of the source image.

3.1.2 Segmentation

Algorithms used

A. 4-connectivity

B. 8-connectivity

C. Scan Line

A. 4 Connectivity

Here in 4 connectivity algorithm the four neighbours of the pixel are checked. Let us take some arbitrary pixel in beginning. Then consider its 4 neighbours. If one of the neighbouring pixel is of different color then the starting pixel then that pixel is stored into array as that pixel is a boundary pixel. As we have binarized the image the image will be in two colors. The text will be in black and background in white or vice versa.

So as soon as the color of the neighbouring pixel changes that pixel is considered as the boundary pixel. 4 Connectivity follow recursion so the same thing is applied to any of its neighbouring pixel. First it will check for the neighbouring RIGHT pixel then for LEFT, TOP and BOTTOM. Thus this is how we obtain the boundary points using this algorithm.

Disadvantage

If the image size is too big then due to recursion memory problems occur

We cannot keep any limit or any loop to HAULT the algorithm.

B. 8 Connectivity

Here in 8 connectivity algorithm the eight neighbours of the pixel are checked. Let us take some arbitrary pixel in beginning. Then consider its 8 neighbours. If one of the neighbouring pixel is of different color then the starting pixel then that pixel is stored into array as that pixel is a boundary pixel. As we have binarized the image the image will be in two colors. The text will be in black and background in white or vice versa. So as soon as the color of the neighbouring pixel changes that pixel is considered as the boundary pixel. 8 connectivity follow recursion so the same thing is applied to any of its neighbouring pixel. First it will check for the neighbouring RIGHT pixel then for LEFT, TOP, BOTTOM, TOP RIGHT, TOP LEFT, BOTTOM RIGHT and BOTTOM LEFT. Thus this is how we obtain the boundary points using this algorithm.

Disadvantage

If the image size is too big then due to recursion memory problems occur

We cannot keep any limit or any loop to HAULT the algorithm.

Too much of recursion as 8 neighbors of the pixels are to be checked

C. Scan Line

Here we have customized the Scan Line algorithm. Scan line algorithm is used to detect the edges of the polygon. Now to detect the boundary of the letter we scan all the pixels horizontally first then vertically. While scanning the pixels horizontally if the next pixel to the current pixel is of different color than current pixel then the

current pixel is the boundary pixel of the letter. As we have binarized the image the whole image will be converted to only two colors i.e black and white. So detecting the boundary by using color difference is simpler. Scanning the image horizontally will only give left and the right boundary points. So we need to scan the image vertically to get the top and the bottom boundary points. Now next we scan the image vertically. While scanning the pixels vertically if the next pixel to the current pixel is of different color than current pixel then the current pixel is the boundary pixel of the letter. So we get the top and bottom boundary pixels by scanning the image vertically. Thus by scanning the image vertically and horizontally we get all the boundary pixels of the image.

Advantages

This algorithm is simpler to implement.

Can halt the loop where ever we want.

No memory constraints.

3.1.3 Character Recognition

Algorithms used :

A. Contour Analysis

B. Neural Network

C. Correlation Formula

A. Contour Analysis

The Contour Analysis allows to describe, store, compare and find the objects presented in the form of the exterior outlines - contours.

At first, we define such an object contour. The contour is a boundary of object, a population of points (pixels), separating object from a background.

In systems of computer vision, some formats of coding of a contour are used - the code of Freeman, two-dimensional coding, polygonal coding are most known. But all these formats of coding are not used in a CA.

Instead, in a CA the contour is encoded by the sequence consisting of complex numbers. On a contour, the point which is called as starting point is fixed. Then, the contour is scanned (is admissible - clockwise), and each vector of offset is noted by a complex number $a+ib$. Where a - point offset on x axis, and b - offset on y axis. Offset is noted concerning the previous point.

Owing to the physical nature of three-dimensional objects, their contours are always closed and cannot have self-intersection. The last vector of a contour always leads to the starting point.

Each vector of a contour we will name elementary vector (EV). And sequence of complex-valued numbers - vector-contour (VC).

Vectors-contours we will designate the big Greek letters, and their elementary a vector - small Greek letters.

Thus, vector-contour of length k can be designated as:

As scalar product of contours, α and N are called such complex number:

Where k - dimensionality of a VC, n - n the elementary vector of contour, n - n

EV of contour N. (n, n) - the scalar product of complex numbers calculated as:

The scalar product of usual vectors and scalar product of complex numbers

If we multiplied an EV as simple a vector, their scalar product would look so:

Compare this formula to the formula (2) and you note that:

Outcome of scalar product of vectors is the real number. And outcome of product of complex numbers - a complex number.

The real part of scalar product of complex numbers coincides with scalar product of appropriate vectors. That is complex product includes vectorial scalar product.

Let's introduce one more concept - the normalized scalar product (NSP):

Where $\|$ and $|N|$ - the norms (length) of contours calculated as:

The NSP in space of complex numbers, also is a complex number.

Thus, unity is greatest possible value of norm of NSP (it follows from a Cauchy

BunyakovskySchwarz inequality: $|ab| \leq |a||b|$), and it is reached only if...

...where a - the arbitrary complex number.

Let's introduce the concept of intercorrelation function (ICF) of two contours:

Where $N(m)$ - a contour received from N by cycle shift by its EV on m of elements.

For an example, if $N = (n_1, n_2, n_3, n_4)$, $N(1) = (n_2, n_3, n_4, n_1)$, $N(2) = (n_3, n_4, n_1, n_2)$ and so on.

Values of this function show contours and N are how much similar if to shift starting point N on m positions.

Let's discover the magnitude having the maximum norm among values an ICF:

From determinations a NSP and an ICF, it is clear that \max is a measure of similarity of two contours, invariant to transposition, scaling, rotation and starting point shift.

Thus, the norm $|\max|$ shows a level of similarity of contours, and reaches unity for identical contours, and the argument $\arg(\max)$ gives an angle of rotation of one contour, concerning another.

Let's introduce one more concept - an autocorrelation function (ACF). The Autocorrelation function is an ICF for which $N=$. As a matter of fact is a scalar product of a contour most on itself at various shifts of starting point:

For this purpose, we take the image a size $n \times n$ pixels. Then breed its uniform grid with a step s . The total length of all grid lines is:

It turns out that passage from the plane two-dimensional image to contours does not reduce dimensionality of the task. We as before work in complexity $O(n^2)$.

B. Neural Network

The operations of the network implementation in this project can be summarized by the following steps:

Training phase

- o Analyze image for characters
- o Convert symbols to pixel matrices

- o Retrieve corresponding desired output character and convert to Unicode
- o Linearize matrix and feed to network
- o Compute output
- o Compare output with desired output Unicode value and compute error
- o Adjust weights accordingly and repeat process until preset number of iterations

Testing phase

- o Analyze image for characters
- o Convert symbols to pixel matrices
- o Compute output
- o Display character representation of the Unicode output

Essential components of the implementation are:

Formation of the network and weight initialization routine

Pixel analysis of images for symbol detection

Loading routines for training input images and corresponding desired output characters in special files named character trainer sets (*.cts)

Loading and saving routines for trained network (weight values)

Character to binary Unicode and vice versa conversion routines

Error, output and weight calculation routines

1. Network Formation

The MLP Network implemented for the purpose of this project is composed of 3 layers, one input, one hidden and one output.

The input layer constitutes of 150 neurons which receive pixel binary data from a 10x15 symbol pixel matrix. The size of this matrix was decided taking into consideration the average height and width of character image that can be mapped without introducing any significant pixel noise.

The hidden layer constitutes of 250 neurons whose number is decided on the basis of optimal results on a trial and error basis.

The output layer is composed of 16 neurons corresponding to the 16-bits of Unicode encoding.

To initialize the weights a random function was used to assign an initial random number which lies between two preset integers named weight_bias. The weight bias is selected from trial and error observation to correspond to average weights for quick convergence.

2. Symbol image detection

The process of image analysis to detect character symbols by examining pixels is the core part of input set preparation in both the training and testing phase. Symbolic extents are recognized out of an input image file based on the color value of individual pixels, which for the limits of this project is assumed to be either black RGB

(255,0,0,0) or white RGB (255,255,255,255). The input images are assumed to be in bitmap form of any resolution which can be mapped to an internal bitmap object in the Microsoft Visual Studio environment. The procedure also assumes the input image is composed of only characters and any other type of bounding object like a boarder line is not taken into consideration.

The procedure for analyzing images to detect characters is listed in the following algorithms:

i. Determining character lines

Enumeration of character lines in a character image (page) is essential in delimiting the bounds within which the detection can proceed. Thus detecting the next character in an image does not necessarily involve scanning the whole image all over again.

Algorithm:

1. start at the first x and first y pixel of the image pixel(0,0), Set number of lines to 0
2. scan up to the width of the image on the same y-component of the image

- a. if a black pixel is detected register y as top of the first line
 - b. if not continue to the next pixel
 - c. if no black pixel found up to the width increment y and reset x to scan the next horizontal line
3. start at the top of the line found and first x-component pixel(0,line_top)
 4. scan up to the width of the image on the same y-component of the image
 - a. if no black pixel is detected register y-1 as bottom of the first line.

Increment number of lines

- b. if a black pixel is detected increment y and reset x to scan the next horizontal line
5. start below the bottom of the last line found and repeat steps 1-4 to detect subsequent lines
 6. If bottom of image (image height) is reached stop.

ii. Detecting Individual symbols

Detection of individual symbols involves scanning character lines for orthogonally separable images composed of black pixels.

Algorithm:

1. start at the first character line top and first x-component
2. scan up to image width on the same y-component

a. if black pixel is detected register y as top of the first line

b. if not continue to the next pixel

3. start at the top of the character found and first x-component,

pixel(0,character_top)

4. scan up to the line bottom on the same x-component

a. if black pixel found register x as the left of the symbol

b. if not continue to the next pixel

c. if no black pixels are found increment x and reset y to scan the next

vertical line

5. start at the left of the symbol found and top of the current line,

pixel(character_left, line_top)

6. scan up to the width of the image on the same x-component

a. if no black characters are found register x-1 as right of the symbol

b. if a black pixel is found increment x and reset y to scan the next vertical

line

7. start at the bottom of the current line and left of the symbol,

pixel(character_left,line_bottom)

8. scan up to the right of the character on the same y-component
 - a. if a black pixel is found register y as the bottom of the character
 - b. if no black pixels are found decrement y and reset x to scan the next vertical line

Fig 3. Line and Character boundary detection

From the procedure followed and the above figure it is obvious that the detected character bound might not be the actual bound for the character in question. This is an issue that arises with the height and bottom alignment irregularity that exists with printed alphabetic symbols. Thus a line top does not necessarily mean top of all characters and a line bottom might not mean bottom of all characters as well.

Hence a confirmation of top and bottom for the character is needed.

An optional confirmation algorithm implemented in the project is:

- A. start at the top of the current line and left of the character
- B. scan up to the right of the character
 1. if a black pixels is detected register y as the confirmed top
 2. if not continue to the next pixel

3. if no black pixels are found increment y and reset x to scan the next horizontal line

Fig 4. Confirmation of Character boundaries

3. Symbol Image Matrix Mapping

The next step is to map the symbol image into a corresponding two dimensional binary matrix. An important issue to consider here will be deciding the size of the matrix. If all the pixels of the symbol are mapped into the matrix, one would definitely be able to acquire all the distinguishing pixel features of the symbol and minimize overlap with other symbols. However this strategy would imply maintaining and processing a very large matrix (up to 1500 elements for a 100x150 pixel image). Hence a reasonable tradeoff is needed in order to minimize processing time which will not significantly affect the separability of the patterns. The project employed a sampling strategy which would map the symbol image into a 10x15 binary matrix with only 150 elements. Since the height and width of individual images vary, an adaptive sampling algorithm was implemented. The algorithm is listed below:

Algorithm:

a. For the width (initially 20 elements wide)

1. Map the first (0,y) and last (width,y) pixel components directly to the first (0,y)

and last (20,y) elements of the matrix

2. Map the middle pixel component (width/2,y) to the 10th matrix element

3. subdivide further divisions and map accordingly to the matrix

b. For the height (initially 30 elements high)

1. Map the first x,(0) and last (x,height) pixel components directly to the first

(x,0) and last (x,30) elements of the matrix

2. Map the middle pixel component (x,height/2) to the 15th matrix element

3. subdivide further divisions and map accordingly to the matrix

c. Further reduce the matrix to 10x15 by sampling by a factor of 2 on both the width

and the height

Fig. 5 Mapping symbol images onto a binary matrix

In order to be able to feed the matrix data to the network (which is of a single

dimension) the matrix must first be linearized to a single dimension. This is accomplished with a simple routine with the following algorithm:

1. start with the first matrix element (0,0)
2. increment x keeping y constant up to the matrix width
 - a. map each element to an element of a linear array (increment array index)
 - b. if matrix width is reached reset x, increment y
3. repeat up to the matrix height (x,y)=(width, height)

Hence the linear array is our input vector for the MLP Network. In a training phase all such symbols from the trainer set image file are mapped into their own linear array and as a whole constitute an input space. The trainer set would also contain a file of character strings that directly correspond to the input symbol images to serve as the desired output of the training. A sample mini trainer set is shown below:

Fig. 6 Input Image and Desired output text files for the sample Mini-Tahoma trainer set

A. Training

Once the network has been initialized and the training input space prepared the network is ready to be trained. Some issues that need to be addressed upon training the

network are:

How chaotic is the input space? A chaotic input varies randomly and in extreme range without any predictable flow among its members.

How complex are the patterns for which we train the network? Complex patterns are usually characterized by feature overlap and high data size.

What should be used for the values of:

- o Learning rate
- o Sigmoid slope
- o Weight bias

How many Iterations (Epochs) are needed to train the network for a given number of input sets?

What error threshold value must be used to compare against in order to prematurely stop iterations if the need arises?

Alphabetic optical symbols are one of the most chaotic input sets in pattern recognitions studies. This is due to the unpredictable nature of their pictorial representation seen from the sequence of their order. For instance the Latin alphabetic consecutive character A and B have little similarity in feature when represented in their pictorial symbolic form. The figure below demonstrates the point of chaotic and non-chaotic sequence with the Latin and some factious character set:

Fig. 7 Example of chaotic and non-chaotic symbol sequences

The complexity of the individual pattern data is also another issue in character recognition. Each symbol has a large number of distinct features that need to be accounted for in order to correctly recognize it. Elimination of some features might result in pattern overlap and the minimum amount of data required makes it one of the most complex classes of input space in pattern recognition.

Other than the known issues mentioned, the other numeric parameters of the network are determined in real time. They also vary greatly from one implementation to another according to the number of input symbols fed and the network topology.

For the purpose of this project the parameters use are:

Learning rate = 150

Sigmoid Slope = 0.014

Weight bias = 30 (determined by trial and error)

Number of Epochs = 300-600 (depending on the complexity of the font types)

Mean error threshold value = 0.0002 (determined by trial and error)

Algorithm:

The training routine implemented the following basic algorithm

1. Form network according to the specified topology parameters
2. Initialize weights with random values within the specified weight_bias value
3. load trainer set files (both input image and desired output text)
4. analyze input image and map all detected symbols into linear arrays

5. read desired output text from file and convert each character to a binary Unicode value to store separately
6. for each character :
 - a. calculate the output of the feed forward network
 - b. compare with the desired output corresponding to the symbol and compute error
 - c. back propagate error across each link to adjust the weights
7. move to the next character and repeat step 6 until all characters are visited
8. compute the average error of all characters
9. repeat steps 6 and 8 until the specified number of epochs
 - a. Is error threshold reached? If so abort iteration
 - b. If not continue iteration

Flowchart:

The flowchart representation of the algorithm is illustrated below

B. Testing

The testing phase of the implementation is simple and straightforward. Since the program is coded into modular parts the same routines that were used to load, analyze and compute network parameters of input vectors in the training phase can be reused in the testing phase as well.

The basic steps in testing input images for characters can be summarized as follows:

Algorithm:

load image file

analyze image for character lines

for each character line detect consecutive character symbols

o analyze and process symbol image to map into an input vector

o feed input vector to network and compute output

o convert the Unicode binary output to the corresponding character and render to

a text box

Flowchart:

C. Character Recognition Using Correlation

Correlation Coefficient Definition:-

A measure of the strength of linear association between two variables.

Correlation will always be in between -1.0 and +1.0. If the correlation is positive, we have a positive relationship. If the correlation is negative, we have a negative relationship.

Formula:-

$$\text{Correlation}(r) = \frac{NXY - (X)(Y)}{\sqrt{[NX^2 - (X)^2][NY^2 - (Y)^2]}}$$

Where

N =Number of pixel in image.

X =Pixel in First image.

Y =Pixel in Second image.

$XY = \text{Sum of product of First and Second Pixels.}$

$X = \text{Sum of First Image Pixels.}$

$Y = \text{Sum of Second Image Pixels.}$

$X^2 = \text{Sum of square of Pixel in First Image.}$

$Y^2 = \text{Sum of square of Pixels in Second Image.}$

3.2 Cropping an Image

Cropping refers to the removal of the outer parts of an image to improve framing, or change aspect ratio.

The
program
takes
an
image
and
the
cropping
parameters
as
input.

Then it determines if the cropping area lies within the image or not. In case a cropping area in portion or fully lies outside the main image co-ordinates, the program adjusts the crop area.

The following picture shows the cropping are lying within the image.

Image shows portions of cropping area lying outside the original image.

It takes an image and the cropping parameters as input.

Then it determines if the cropping area lies within the image or not. In case a cropping area in portion or fully lies outside the main image co-ordinates, it adjusts the crop area.

The parameters used in the cropping are:

Height of the cropping rectangle.

Width of the cropping rectangle.

X Co-ordinate of the start point of the cropping rectangle.

Y Co-ordinate of the start point of the cropping rectangle.

It also takes care of the negative co-ordinates supplied for the crop area rectangle.

Finally it crops the input image and saves a copy of the cropped image.

CHAPTER-4 SYSTEM SPECIFICATION

4.1 Specification

4.1.1 Input Specification

1. Scanned document image file format supported : bmp, tif/tiff, pgm and jpeg. -

Input Image : Graylevel, black 'n' white or colored.

2. Image dimensions : Upto (3500 3500) pixels.

supported. - Minimum scanning resolution : 300dpi.

3. Maximum scanning resolution : 600dpi.

4. Input image can contain text/graphics/picture. - Maximum input skew is

15degrees.

5. Input image scanned in portrait/landscape mode.

4.1.2 Output Specification

1. OCR processed output

2. Database Engine is used to store the processed output to database

3. Presentation Engine presents the data from database in appropriate format to make sure that the data has been successfully saved.

4.1.3 Functional Specifications

The proposed OCR system specifications, as per the common conclusive decision by the members of the project group are listed below :

Pre-processing:

Detecting skew and corrected: A maximum skew angle of 15 degrees is supported.

Binarization: Adaptive thresholding based techniques for good binarization results.

Text Direction Recognition : Text scanned in both portrait and landscape mode will be supported.

Image Cropping utility will be provided.

Automatic determination of scanning resolution (desirable).

Pre-processing color images (desirable).

Page Segmentation and Layout Analysis:

Classification and segmenting page into text/non-text regions of gray level images.

Segmentation of color pages (desirable).

Determining the page layout and semantic labeling (desirable).

Non Text region classification as picture and graphics.

Multi page documentation (desirable).

Line and Word segmentation in script independent fashion.

Word Segmentation:

Script-independent line and word boundary detection. - Script-dependent word boundary detection scheme.

Symbol Recognition:

Script based component identification.

Touching and broken symbol processing.

Provision for reject class for unknown symbols. - Provision for classifier combination.

Text Recognition:

Unicode generation for recognized symbols.

Use of script/language models for ambiguities or error resolution in classification (desirable).

Dictionary based error correction.

4.2 Actor Definition

The user of the system gives input document image to the OCR, using a scanner or selects an input image from the database. The OCR system processes the input image and displays the final output on the presentation engine. The system administrator has control over the Image Acquisition interface, OCR system, and the presentation engine.

Junior Clerk

Input Image

Image Processing

*

*

Save

Edit

PreProcessing

Segmentation

Character

Reconization

Template And Table

defination

Includes

Includes

Includes

Head Clerk

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System

4.2.1 Junior Clerk

Description:- He can convert the documents of his interest into electronically accessible format. Annotate the document image for future use. He can create a database of document images through scanning

He can save the image in BMP, TIFF, PGM, JPG formats. He can explore the various features of the OCR system like manual segmentation, language selection, etc.

Aliases:- System User, Customer, Client

Inherits:- None

Actor Type:- Active

4.2.2 Head Clerk

Description:- He enters the details for the new Template to be formed. He enters the fields which needs to be stored in the database.

Aliases:- System User, Customer, Client

Inherits:- None

Actor Type:- Active

4.2.3 Image Input

Description:- This use case is used to get the image from the junior clerk. The image which is scanned by the clerk and stored in the database. This image then Undergoes all Image Processing steps.

Aliases:- None

Inherits:- None

Actor Type: Passive

4.2.4 Image processing

Description:- This use case is used to Process the image the clerk has entered. This Use Case also contains many steps to be performed. The Steps are: Pre-processing

Segmentation, Character Recognition.

Aliases:- None

Inherits:- None

Actor Type:- Passive

4.2.5 Save

Description:- This use case is used to save the information so obtained from the Image Processing Step. The data obtained from Image Processing step is displayed to the user and on click of SAVE the user is able to save the obtained data to Database.

Aliases:- None

Inherits:- None

Actor Type:- Passive

4.2.6 Edit

Description:- This use case is used to Edit the data so obtained from Image processing

step. The data is displayed to the user, in case of any changes that the user manually wants to make, he has to click on Edit to manually make the changes.

Aliases:- None

Inherits:- None

Actor Type:- Passive

4.2.7 Pre-Processing

Description:- This use case is part of the Image Processing. This is the one of the step followed during Image Processing. In pre-processing there are number of steps that are under gone they are: Converting Image to Grey Scale, Noise Removal, Skew Removal.

Aliases:- None

Inherits:- None

Actor Type:- Passive

4.2.8 Segmentation

Description:- This use case is part of the Image Processing. This is the one of the step followed during Image Processing. In Segmentation the image is cut into segments to identify different shapes and lines.

Aliases:- None

Inherits:- None

Actor Type:- Passive

4.2.9 Character Recognition

Description:- This use case is part of the Image Processing. This is the one of the step followed during Image Processing. In Character Recognition the character are recognised and are given labels so using these labels the character so obtained is stored into database.

Aliases:- None

Inherits:- None

Actor Type:- Passive

4.2.10 Table And Template Defination

Description:- This use case is used to generate new Templates for new format. The Head Clerk enters the sample image and enters the necessary fields whose values needed to be

obtained during Image Processing.

Aliases:- None

Inherits:- None

Actor Type:- Passive

4.3 Tables

TABLE 4.3.1: MASTER_TEMPLATE_TABLE

FIELD NAME
DATA TYPE
CONSTRAINTS
DESCRIPTION
Sample_ Image
Image
Not Null
Image of the printed documents showing the template design.
Template_Name
Text
Not Null
Name given to the

template.

Template_ID

VarChar

Primary Key, Auto

Unique ID given to
each template.

Printable_Length

Numeric

Not Null

Length of the image
showing
only

printable area.

Printable_Breadth

Numeric

Not Null

Breadth of the image
showing
only
printable area.

TABLE 4.3.2: CHILD_TEMPLATE_TABLE

FIELD NAME

DATA TYPE

CONSTRAINTS

DESCRIPTION

Template_ID

VarChar

Foreign Key

Field_Name

Text

Not Null

Name of the field

according to which

data

is

to

be

segregated.

Field_ID

VarChar

Primary Key, Auto

Unique ID given to
each field.

Field_Length

Numeric

Not Null

Length of the field
where that particular
data
will
be
available.

Field_Breadth

Numeric

Not Null

Breadth of the field
where that particular
data
will
be

available.

Field_Type

Text

Not Null

What type of data the
field will be having.

Number_Of_Characters Numeric

Not null

Specifies

the

Maximum Characters

appearing

in

the

field.

Left_Spacing

Numeric

Not Null

Shows how far the
field is from Left

Boundary

of

printable area.

Top_Spacing

Numeric

Not Null

Shows how far the

field is from Top

Boundary

of

printable area.

TABLE 4.3.3: VALUE_TABLE

FIELD NAME

DATA TYPE

CONSTRAINTS

DESCRIPTION

Image

Image

Not Null

Stores the image of

the

printed

documents

from

where data has to be

accessed and stored

into database.

Template_name

Text

Not Null

Gives the name of the
template to be used.

Template_ID

VarChar

Auto

Unique ID of the
template to be used.

Field_Name

Text

Not Null

Name of the field
which is used.

Field_ID

VarChar

Auto

Unique ID of the

Field to be used.

Value

VarChar

Not Null

Value regarding that

field.

CHAPTER-5 RESULT

According to our project, we have performed various steps for storing printed documents into database.

Various steps performed are shown as follow:

1. First we have converted RGB image into GreyScale ,we have used inbuilt function `colorConvertOp()`.
2. Then after we have binarize the image ,we have used threshold value concept in which we have set threshold value below threshold value image will become black i.e. 0 value and above threshold image will become white i.e. 255.

3. Then after we crop the required field from the image ,as explained in cropping part above

CHAPTER-6 CONCLUSION

6.1 Conclusion

Our Project is on Transforming printed documents to Database. So if input of scan printed document is given and that image of scan documents is converted to text form according to user requirement. Thus our project looked upon the problems faced by Educational Institutes, for storing data which is in Printed format. It helped in reducing manual burden and is also less time consuming. This software can also be used for any kind of format of printed documents as our software provides dynamic definition of templates. And according to the templates added by the admin user the data is processed by the software and gives the

appropriate answer. Thus not only Educational Institutes are benefited by this but also the government offices or any other organizations using such printed documents are benefited. We looked upon various algorithms and techniques for pre processing and character recognition from a image and implemented most optimal ones amongst them,thus resulting in more speed and accuracy. This makes our project dynamic and is feasible for any kind of organization. We have successfully completed our project.

6.2 Scope Of Future Enhancement

This project can be further extended for recognizing handwritten documents.This software can be further upgraded in which functionality can be added to train handwriting of a particular individual and then can be used to recognize documents written by that individual.Also software can be trained to recognize handwriting of multiple individuals and also different fonts.There is also scope of increasing accuracy of the recognizer so that no manual watch should be needed on the software other than inputting the data.Thus software can be automized to a higher level.

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