



Maharashtra Academy of Engineering & Educational Research's  
**MAHARASHTRA INSTITUTE OF TECHNOLOGY, PUNE**

**ASSIGNMENT / TEST BOOKLET**

Student's Name : .....

Class : .....

Division : .....

Roll No. : .....

Academic Year : 20 -20

Subject : .....

Assignment / Test No. : .....

Date : .....

**PLEDGE**

I solemnly affirm that I have written this Assignment/Test based on my own preparation. I have neither copied it from others nor given it to others for copying. I know that this is to be submitted as a part of my submission at the end of the term.

Signature of the student

Q. No.	1	2	3	4	5	6	7	8	9	10	Total	Name & sign of the faculty Member
Marks/Grade												

(Please start writing assignment/ test from here)

0/1 Knapsack Problem - BB.

Problem statement

The 0/1 knapsack problem states that -  
There are 'n' objects given & capacity of knapsack is 'm'.

Then select some objects to fill the knapsack in such a way that it should not exceed the capacity of knapsack & maximum profit can be earned.

The knapsack problem is a maximization problem.

That means we will always seek for maximum  $P_i X_i$  (where  $P_i$  represents profit of object  $X_i$ )

we can get  $\sum P_i X_i$  maximum iff  $-\sum P_i X_i$  is minimum





$$\text{minimize profit} \quad - \sum_{i=1}^n p_i x_i$$

$$\text{subject to} \quad \sum_{i=1}^n w_i x_i$$

$$\text{such that} \quad \sum_{i=1}^n w_i x_i \leq m \quad \& \quad x_i = 0 \text{ or } 1 \\ \text{where } 1 \leq i \leq n$$

→ we will discuss the BB strategy for 0/1 knapsack problem - Using fixed tuple size formulation.

→ we will design the state space tree & compute  $\hat{c}(\cdot)$  &  $u(\cdot)$   
where  $\hat{c}(x)$  - represent the approximate cost used for computing the least cost  $c(x)$ .

— clearly,  $u(x)$  denotes the upper bound. As we know, upper bound is used to kill those nodes in the state space tree, which can not lead to the answer node.

let  $x_j$  be the node at level  $j$ . then, we will draw the state space tree for fixed tuple formulation having levels  $1 \leq j \leq n+1$ .





Then, we need to compute  $\hat{c}(x) \leftarrow u(x)$  such that  $\hat{c}(x) \leq c(x) \leq u(x)$  for each node.

### LC BB sol<sup>n</sup>

→ The LC BB sol<sup>n</sup> can be obtained using fixed tuple size formulation.

→ The steps to be followed for LCBB sol<sup>n</sup> are

- ① Draw state space tree
- ② compute  $\hat{c}(\cdot)$  &  $u(\cdot)$  for each node
- ③ If  $\hat{c}(x) > \text{upper}$ , kill node  $x$
- ④ otherwise the minimum cost  $\hat{c}(x)$  becomes E-node.

Generate, children for E-node.

- ⑤ Repeat 3 & 4 steps until all the nodes get covered.
- ⑥ The minimum cost  $\hat{c}(x)$  becomes the answer node.

Trace the path in backward direction from  $x$  to root for sol<sup>n</sup> subset.



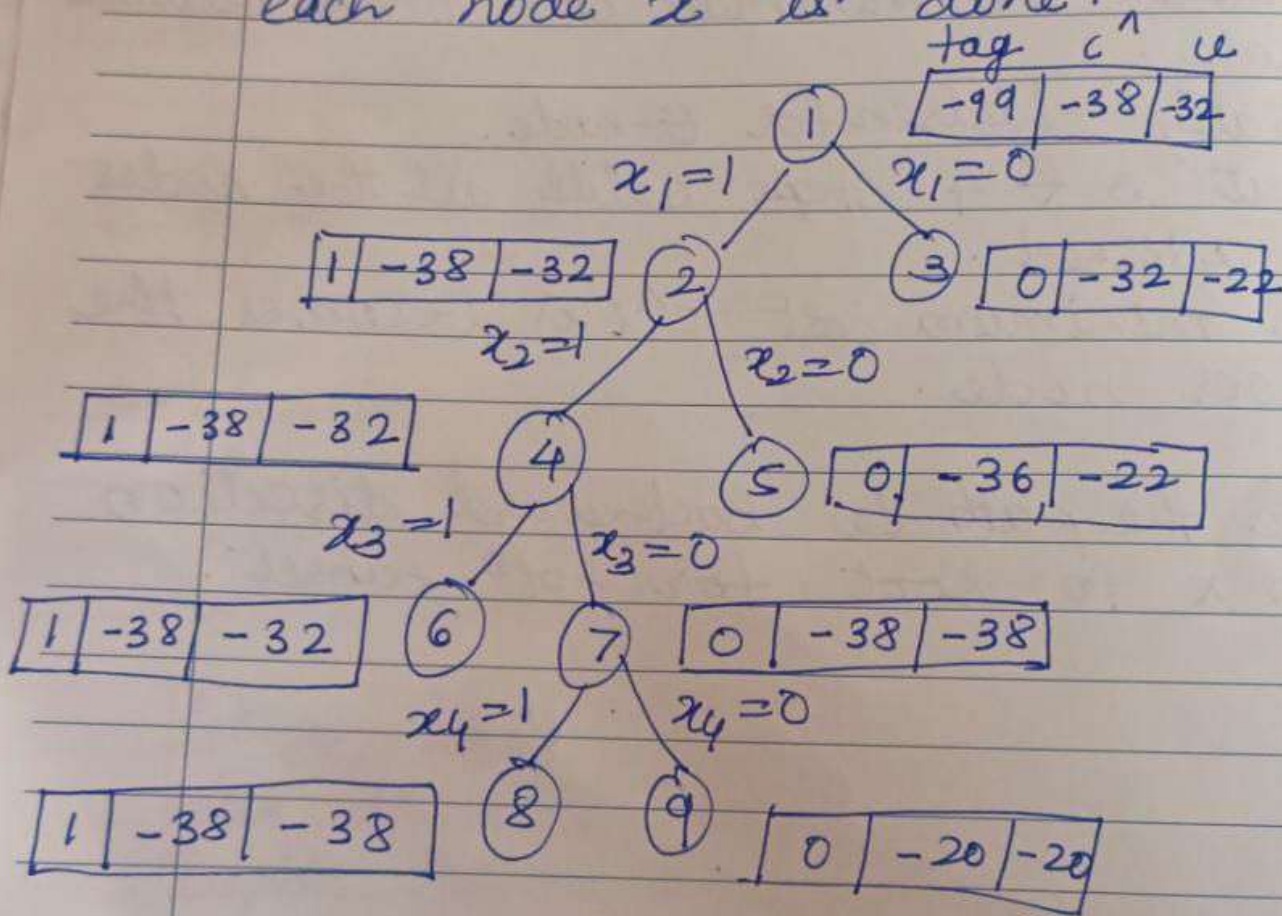


ex consider knapsack instance  $n=4$  with capacity  $m=15$  such that

object $i$	$p_i$	$w_i$
1	10	2
2	10	4
3	12	6
4	18	9

sol<sup>n</sup> let us design state space tree using fixed tuple size formulation.

The computation of  $c^*(x)$  &  $u(x)$  for each node  $x$  is done.



LCRB sol<sup>n</sup> space tree



At each node a structure is drawn in which computation of  $C^*(i)$  &  $u(i)$  is given.

The tag field is useful for tracing the path.

$$\begin{aligned} u(1) &= -\sum p_i, \quad i=1, 2, 3. \\ &= -(10+10+12) \\ &= -32. \end{aligned}$$

(if we select  $i=4$ , it exceeds the capacity)

$$\therefore C^*(1) = u(1) - \left[ \frac{m - \text{current total wt}}{\text{Actual wt of remaining obj}} \right] \times$$

[Actual Pf. of remaining object]

$$= -32 - \left[ \frac{15 - (2+4+6)}{9} \right] \times 18$$

$$= -32 - \left[ \frac{3}{9} \times 18 \right]$$

$$= -32 - [6]$$

$$C^*(1) = -38$$

→ In this way, considering each possibility of object being in knapsack or not being in knapsack.





$C^*(x) + u(x)$  is computed.  
Each time minimum  $-\sum P_i X_i$  will become  
B-node and we will get the answer  
node as node 8.

If we trace the tag field we will get  
 $\text{tag}(2) - \text{tag}(4) - \text{tag}(7) - \text{tag}(8)$  i.e. 1101

Hence,  $x_4=1, x_3=0, x_2=1$  &  $x_1=1$ .

We will select object  $x_4, x_2$  &  $x_1$  to  
fill up the knapsack & gain profit.

$$\begin{aligned} u(2) &= -\sum P_i, \quad i=1,2,3 \\ &= -(10+10+12) \\ u(2) &= -32 \end{aligned}$$

$$\begin{aligned} C^*(2) &= u(2) - \left[ \frac{15 - (2+4+6)}{9} \right] * 18 \\ &= -32 - \frac{3}{9} * 18 \\ &= -38. \end{aligned}$$

$$\begin{aligned} u(3) &= -\sum P_i, \quad i=2,3 \\ &= -(10+12) = -22 \end{aligned}$$

$$\begin{aligned} C^*(3) &= u(3) - \left[ \frac{15 - (4+6)}{9} \right] * (10+18) \\ &= -22 - \left[ \frac{15-10}{9} * 18 \right] \end{aligned}$$



$$= -22 - \left( \frac{5}{9} \times 18 \right)$$

$$= -22 - 10 = -32$$

$$u(4) = -\sum p_i \quad i=1, 2, 3.$$

$$= -32$$

$$c^{\wedge}(4) = -38$$

$$u(5) = -\sum p_i \quad i=1, 3.$$

$$= -\frac{5}{9} (10+12)$$

$$= \underline{-22}$$

$$c^{\wedge}(5) = u(5) - \left[ \frac{15 - (2+6)}{9} \right] \times 18$$

$$= -22 - \left( \frac{15-8}{9} \times 18 \right)$$

$$= -22 - (7 \times 2)$$

$$= -22 - 14$$

$$= \underline{-36.}$$

$$u(6) = -\sum p_i \quad , \quad i=1, 2, 3.$$

$$= -32$$

$$c^{\wedge}(6) = -38$$





$$\begin{aligned}u(7) &= -\sum p_i, \quad i=1, 2, 4 \\&= -(p_1 + p_2 + p_4) \\&= -(10 + 10 + 18) \\&= -38\end{aligned}$$

$$\begin{aligned}\hat{c}(7) &= u(7) - \left[ \frac{15 - (2+4+9)}{6} * 12 \right] \\&= -38 - \left( \frac{15-15}{6} * 12 \right) \\&= -38 - 0 \\&= -38\end{aligned}$$

$$\begin{aligned}u(8) &= -\sum p_i, \quad i=1, 2, 4 \\&= -38\end{aligned}$$

$$\hat{c}(8) = -38$$

$$\begin{aligned}u(9) &= -\sum p_i, \quad i=1, 2 \\&= -(p_1 + p_2) \\&= -(10 + 10) = -20\end{aligned}$$

$$\begin{aligned}\hat{c}(9) &= u(9) - \left[ \frac{15 - (2+4+9)}{6} * 12 \right] \\&= -20 - (0) \\&= -20\end{aligned}$$





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FIFO Branch & Bound sol<sup>n</sup>

- The space tree with variable tuple size formulation can be drawn &
- $c^*(\cdot)$  &  $u(\cdot)$  is computed.
- Initially,  $upper = u(1) = -32$ .  
Then children of node 1 are generated.
- Node 2 becomes E-node & hence children 4 & 5 are generated.
- Node 4 & 5 are added in the list of live nodes.
- Next, node 3 becomes E-node & children 6 & 7 are generated.





As  $c^*(7) > \text{upper}$  we will kill node 7.

Hence node 6 will be added in the list of live nodes.

Node 4 is E-node & children 8 & 9 are generated.

The upper is updated & it is now  $u(9) = -38$ .

nodes 8 & 9 are added in the list of live nodes.

Node 5 & 6 becomes the next E-node, but as  $c^*(5) > \text{upper}$  &

$$c^*(6) > \text{upper}$$

$\therefore$  kill nodes 5 & 6.

Now, 8 becomes next E node. & children 10 & 11 are generated.

As node 10 is infeasible,  $\therefore$  do not consider it.

$c^*(11) > \text{upper}$ , Hence kill node 11.

Node 9 becomes next E-node. &  $\text{upper} = -38$ .





children 12 & 13 are generated.

But  $C^1(13) > \text{upper}$ , so kill node 13.

$\therefore$  Finally, node 12 becomes an answer node

$$\therefore \text{sol}^n = \{x_1=1, x_2=1, x_3=0, x_4=1\}$$

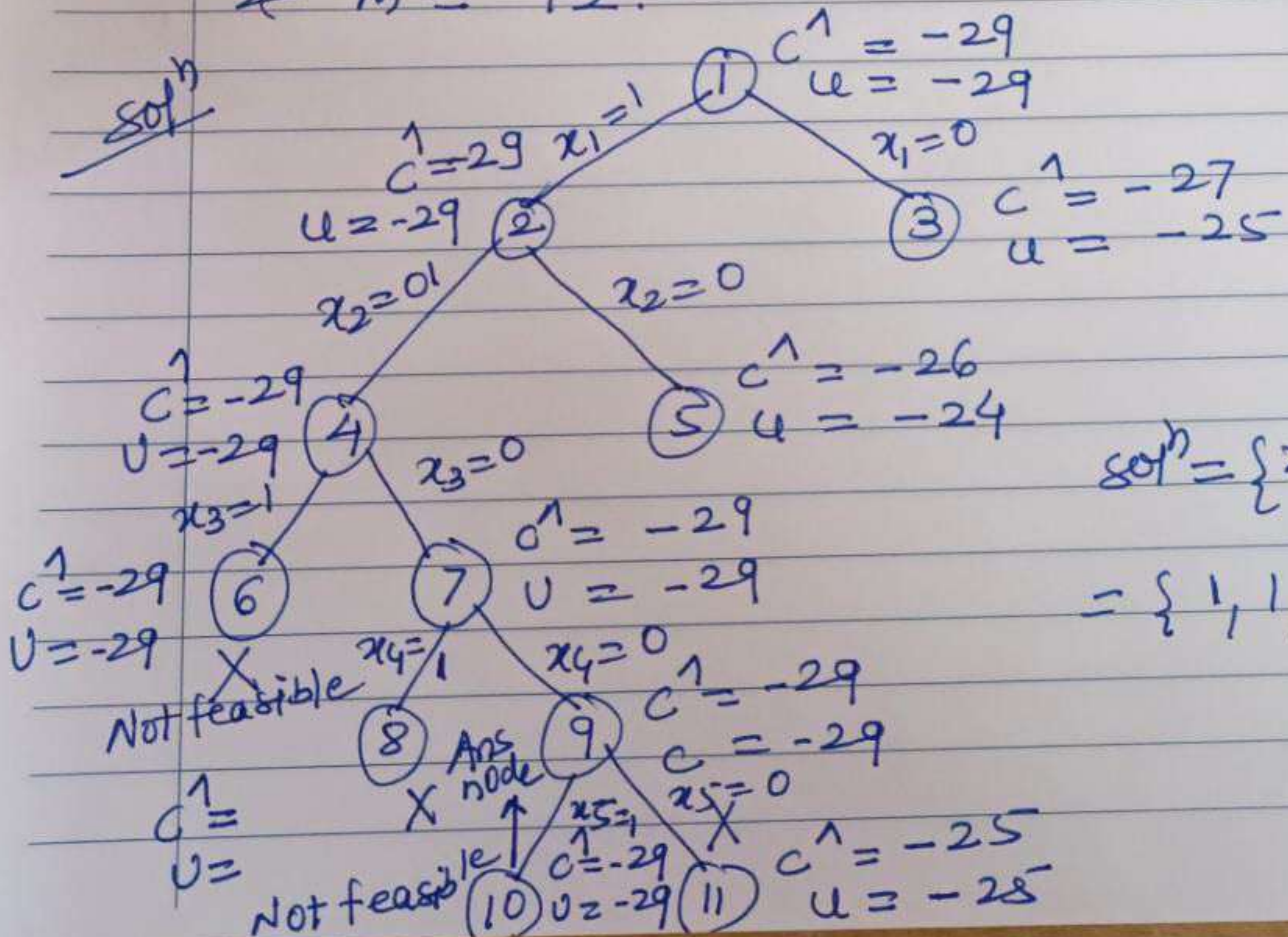
ex 2:- Draw the portion of state space tree generated by LC-KNAP for the knapsack instances -

$$n=5$$

$$(P_1, P_2, \dots, P_5) = (10, 15, 6, 8, 4)$$

$$(W_1, W_2, \dots, W_5) = (4, 6, 3, 4, 2)$$

$$\& \text{ } m = 12.$$



$$\text{sol}^n = \{x_1, x_2, x_3, x_4, x_5\}$$

$$= \{1, 1, 0, 0, 1\}$$





## FIFO Branch & Bound (x) example

space tree for variable tuple size formulation -

ex ①  $n=4$ , capacity  $m=15$

object	$i$	$P_i$	$W_i$
1		10	2
2		10	4
3		12	6
4		18	9

$$C^1 = -38$$
$$u = -32$$

$$C^1 = -32$$
$$u = -22$$

$$C^1 = -38$$
$$u = -32$$

$$C^1 = -36$$
$$u = -22$$

$$C^1 = -38$$
$$u = -32$$

$$C^1 = -32$$
$$u = -22$$

$$C^1 = -30$$
$$u = -30$$

$$C^1 = -38$$
$$u = -38$$

$$C^1 = -38$$
$$u = -32$$

$$C^1 = -32$$
$$u = -32$$

$$C^1 = -38$$
$$u = -38$$

$$C^1 = -20$$
$$u = -20$$

Not feasible

