



Master's Thesis

Wait-free synchronisation for inter-process communication in real-time systems

submitted by

Devrim Baran Demir

from Hamburg

Degree program M. Sc. Informatik

Examined by Prof. Andreas Wortmann

Supervised by Marc Fischer Submitted on July 21, 2025

Declaration of Originality

Master's Thesis of Devrim Baran Demir (M. Sc. Informatik)

Address Kernerweg 22, 89520 Heidenheim an der Brenz

Student number 3310700

English title Wait-free synchronisation for inter-process communication in real-time

systems

German title Wait-free Synchronisation für Interprozesskommunikation in Echtzeitsys-

temen

I now declare,

• that I wrote this work independently,

- that no sources other than those stated are used and that all statements taken from other works—directly or figuratively—are marked as such,
- that the work submitted was not the subject of any other examination procedure, either in its entirety or in substantial parts,
- that I have not published the work in whole or in part, and
- that my work does not violate any rights of third parties and that I exempt the University against any claims of third parties.

Stuttgart, July 21, 2025

Kurzfassung

Vorhersehbare und korrekte Interprozesskommunikation (IPC) ist für Echtzeitsysteme von entscheidender Bedeutung, da Verzögerungen, Unvorhersehbarkeit oder inkonsistente Datenstände zu Instabilität und Ausfällen führen können. Traditionelle Synchronisationsmechanismen verursachen Blockierungen, die zu Deadlocks, aushungernden Prozessen oder Prioritätsinversionen führen können, welche zu unvorhersehbaren Antwortzeiten führen. Um diese Herausforderungen zu bewältigen, bietet die wait-free Synchronisation eine Alternative, die den Abschluss von Operationen, wie dem Austausch von Daten zwischen mehreren Prozessen, in einer begrenzten Anzahl von Schritten garantiert und so die Systemreaktionsfähigkeit und -vorhersehbarkeit sicherstellt.

Diese Arbeit untersucht die Nutzung von wait-free Datenstrukturen für IPC in Echtzeitsystemen mit Fokus auf deren Implementierung in Rust. Das Eigentumsmodell und die strengen Nebenläufigkeitsgarantien von Rust machen es besonders geeignet für die Entwicklung von Synchronisationsmechanismen. Diese Arbeit analysiert, implementiert und validiert bestehende wait-free Verfahren für IPC und benchmarkt ihre Leistung in Shared-Memory-Umgebungen anhand der Ausführungszeiten beim Produzieren und Konsumieren einer festgelegten Anzahl von Datenelementen, um optimale Algorithmen für Echtzeit-Anwendungen zu identifizieren.

Stichwörter: Echtzeitsysteme, wait-free Synchronisation, lock-free Synchronisation, Interprozesskommunikation, Rust

Abstract

Predictable and correct Inter-Process Communication (IPC) is essential for Real-Time System (RTS), where delays, unpredictability, or inconsistent data can lead to instability and failures. Traditional synchronisation mechanisms introduce blocking, which can result in deadlocks, process starvation, or priority inversion, leading to unpredictable response times. To overcome these challenges, wait-free synchronisation provides an alternative that guarantees operation completion, such as the completion of data exchange between multiple processes, within a bounded number of steps, thereby ensuring system responsiveness and predictability.

This thesis examines the application of wait-free data structures for IPC in RTS, with a focus on their implementation in the Rust programming language. Rust's ownership model and strict concurrency guarantees make it well-suited for developing synchronisation mechanisms. This work analyses, implements, and validates existing wait-free techniques for IPC, benchmarking their performance in shared memory environments based on execution times when producing and consuming a predetermined set of data elements to identify optimal algorithms for real-time applications.

Keywords: real-time systems, wait-free synchronisation, lock-free synchronisation, interprocess communication, Rust

Contents

Κι	Kurzfassung					
ΑI	Abstract					
1.	Introduction 1.1. Motivation	1 1 2 2				
2.	Background 2.1. Real-Time Systems 2.2. Inter-Process Communication (IPC) 2.2.1. Shared Memory 2.3. Synchronisation 2.3.1. Mutual Exclusion 2.4. Lock-Free Synchronisation 2.4.1. Michael and Scott's Lock-Free Queue 2.4.2. Atomic Primitives 2.5. Wait-Free Synchronisation 2.6. Rust Programming Language	3 3 3 4 4 5 7 7 8 11 11				
3.	Related Work					
4.	Methodology	15				
5.	Analysing existing Wait-Free Data Structures and Algorithms 5.1. Optimal Wait-Free Data Structure 5.2. Wait-Free Algorithms 5.2.1. Single Producer Single Consumer (SPSC) 5.2.2. Multi Producer Single Consumer (MPSC) 5.2.3. Single Producer Multi Consumer (SPMC) 5.2.4. Multi Producer Multi Consumer (MPMC)	17 17 18 29 35 37				
6.	Implementation 6.1. Shared Memory Management for Inter-Process Communication (IPC) 6.1.1. Shared Memory Size Calculation	60 60 61				

Contents

		6.1.3.	Memory Layout and Initialisation	62
		6.1.4.	Node Allocation from Pre-allocated Memory Pools	63
	6.2.	Cache	Line Optimisation	64
		6.2.1.	Explicit Cache Line Padding	64
		6.2.2.	Manual Padding Arrays	65
	6.3.	Atomi	c Primitives Implementation	66
		6.3.1.	Fetch and Add (FAA)	66
		6.3.2.	Compare and Swap (CAS)	67
		6.3.3.	Swap Operations	68
		6.3.4.	Load and Store with Memory Ordering	68
		6.3.5.	Memory Fences	69
		6.3.6.	Versioned Compare and Swap (CAS) (Simulating Load-Linked and Store-	
			Conditional (LL/SC))	69
		6.3.7.	Unsafe Blocks	70
	6.4.	Valida	tion	71
		6.4.1.	Basic Operations	72
		6.4.2.	Capacity and Boundary Tests	72
		6.4.3.	Memory Alignment Verification	74
		6.4.4.	Concurrent Operation Tests	77
		6.4.5.	Inter-Process Communication (IPC) Tests	79
		6.4.6.	Special Feature Validation	80
		6.4.7.	Miri Validation	81
		6.4.8.	Test Coverage	82
7.	Ben	nchma	rking and Results	83
	7.1.	Bench	ımark Structure	83
			Benchmark Parameters and Configuration	83
		7.1.2.	Benchmark Interface Implementation	84
			Process Synchronisation Infrastructure	85
		7.1.4.	Benchmark Execution Framework	86
		7.1.5.	Queue-Specific Benchmark Integration	88
		7.1.6.	Consumer Process Implementation	89
		7.1.7.	Validation	90
		7.1.8.	Benchmark Configuration	92
	7.2.	Bench	nmark Results	92
		7.2.1.	Single Producer Single Consumer (SPSC) Queue Performance	93
		7.2.2.	Multi Producer Single Consumer (MPSC) Queue Performance	93
		7.2.3.	Single Producer Multi Consumer (SPMC) Queue Performance	95
		7.2.4.	Multi Producer Multi Consumer (MPMC) Queue Performance	95
		7.2.5.	Cross-Category Performance Comparison	96

Contents

. Conclusion and Future Work				
8.1. Conclusion	103			
8.2. Future Work	104			
8.2.1. Dynamic Wait-Free Memory Allocation for Shared Memory Inter-Process	104			
Communication (IPC)	104			
8.2.2. Integration with Real-Time Operating System (RTOS)	104			
Bibliography				
List of Acronyms	111			
A. Appendix				
A.1. Additional Benchmark Visualisations	119			
A.1.1. MPSC Queue Performance Distributions	119			
A.1.2. MPMC Queue Performance Distributions	121			
A.1.3. Cross-Category Performance Distributions	123			

1. Introduction

1.1. Motivation

In modern manufacturing and automation, control systems must operate under strict timing constraints to function reliably. If a system fails to meet these constraints, unexpected delays can disrupt processes, leading to instability or even hazardous failures in safety-critical environments. For this reason, RTS and low-level programming languages, such as C or Rust, are widely used to ensure predictable execution times.

To achieve these strict timing requirements, many real-time applications involve multiple tasks that must run concurrently and share resources efficiently. Without proper synchronisation, problems such as data corruption or race conditions can occur, leading to unpredictable behaviour. Traditional synchronisation methods with locks are commonly used to manage access to shared resources by blocking processes, allowing only one process at a time to access the shared resource and exchange data properly. However, these blocking mechanisms introduce difficulties in real-time settings. Since traditional synchronisation methods require processes to wait for resource availability, they can lead to unpredictable response times through potential deadlocks, process starvation, or priority inversion. Such unpredictability is unacceptable in such systems that require strict timing guarantees. [1]–[3]

To overcome these limitations, synchronisation techniques without blocking mechanisms are required. A lock-free algorithm, for instance, functions without any locking mechanism, thus avoiding blocking. This guarantees that at least one process completes in a finite number of steps, regardless of contention (when multiple processes attempt to access the same shared resource). This property ensures that the system will still function even if one process is lagging. The only problem is that this does not prevent starvation, since there is no guarantee that every process will finish its task. [4]

While lock-free algorithms represent an improvement, wait-free algorithms guarantee that every operation completes in a finite number of steps, regardless of contention. This property ensures system responsiveness and predictability, which are essential for defining timing constraints in real-time applications. [1], [2], [4]

These synchronisation mechanisms are particularly important in the context of IPC, which is needed in RTS. IPC allows processes to exchange data efficiently, but its performance is heavily influenced by the synchronisation techniques used. Traditional IPC mechanisms, which often rely on blocking some processes. Wait-free data structures offer a promising alternative by ensuring that communication operations complete within predictable time bounds. [5]–[8]

To implement the earlier addressed synchronisation techniques properly, the choice of programming language is essential. The Rust programming language provides helpful features

1. Introduction

for implementing real-time synchronisation mechanisms. Its ownership model and strict type system prevent data races and enforce safe concurrency. Additionally, Rust offers precise control over system resources, making it a suitable choice for real-time applications that require both low latency and high reliability. [9], [10]

The concepts and methods introduced here, including RTS, IPC, synchronisation techniques and their difficulties, wait-free synchronisation, and the Rust programming language, are explored in greater depth in chapter 2.

1.2. Objective

The primary goal of this research is to identify the most effective wait-free data structures for implementing wait-free synchronisation in IPC through shared memory in RTS using Rust. To do so, this study aims to:

- Identify and analyse existing wait-free synchronisation techniques for IPC through shared memory for RTS.
- Implement, validate, and compare the performance of existing wait-free synchronisation mechanisms for IPC through a shared memory for real-time scenarios with each other.
- Choose and analyse which wait-free data structure for IPC through shared memory in a real-time setting using Rust is best suited.

1.3. Structure of the Thesis

To describe how to achieve this goal, a deeper knowledge base will be provided in chapter 2 to facilitate understanding of the concepts used in this work. Then, in chapter 3, related work leading to concepts needed for this work will be presented. After that, in chapter 4, the methodology for finding the papers, including the wait-free queues, will be explained. Next in chapter 5, it will be explained which data structure was chosen, and the wait-free queues found will be explained. Afterwards, in chapter 6, it will be explained how to implement the essential details of the queues without explaining their logic again and following that, in chapter 7 the results of the benchmarks will be presented and analysed, and finally, in chapter 8 a conclusion will be drawn and future work will be discussed.

2. Background

To establish a clear foundation for the concepts and definitions introduced throughout this thesis, a fundamental overview of the key topics relevant to this research will be provided. This includes an introduction to RTS, Inter-Process Communication (IPC), and synchronisation techniques, with a particular focus on wait-free synchronisation. Additionally, the Rust programming language will be examined, as it serves as the primary development environment for this study. Furthermore, existing synchronisation methods in RTS will be explored to contextualise the motivation and contributions of this work.

2.1. Real-Time Systems

In RTS, the correctness of the system depends not only on the logical results of computations, but also on timing constraints. These systems can be classified into Hard Real-Time System (HRTS) or Soft Real-Time System (SRTS). HRTS have strict timing constraints, and missing a constraint is considered a system failure. The system must guarantee that every timing constraint is met. A use case in industrial automation is where all machines and robotic modules must communicate with each other as quickly as possible to ensure the manufacturing line is not blocked. [11]

On the other hand, SRTS try to stick to the timing constraints as much as possible, but missing some timing constraints is not considered a system failure. In terms of infrastructure, SRTS are similar to HRTS, since it is still considered important to meet these timing constraints. An example would be a multimedia system where it is considered acceptable to drop frames occasionally to ensure the video stream is maintained. [11]

Sometimes these two systems appear in combination, where some functions have hard real-time constraints and some have soft real-time constraints. Krishna et al. provide a good example in their paper where they describe that for the Apollo 11 mission, some components for the landing processes had soft real-time behaviour, and the rest still functioned with hard real-time constraints. [11]

Since the work field of this thesis is within HRTS, the term RTS will be used synonymously with the terminology HRTS.

2.2. Inter-Process Communication (IPC)

Processes used in an RTS also need to share information with each other so that the system can function. So some kind of IPC is required. IPC enables processes to exchange information

with each other using various methods, such as shared memory regions, which are discussed in more detail later in this thesis [12]. In general, IPC is necessary in all computing systems, as processes often need to work together (e.g., a producer process passes data to a consumer process) [12]. Let's take the brake-by-wire technology as an example. Brake-by-wire is a technology for driverless cars, where some mechanical and hydraulic components from the braking system are replaced by wires to transmit braking signals, as there is no longer a driver to press the brake pedal [13]. This, of course, requires different processes to share information. In the context of this thesis, this kind of communication requires strict timing constraints as stated before, since any kind of blockage in a brake-by-wire system could lead to a catastrophe.

2.2.1. Shared Memory

To facilitate information sharing between processes, these processes must have regular access to the same data. With a shared memory segment, multiple processes can have access to the same memory location. Therefore, all processes that are part of the IPC can read and write to this common memory space, thereby avoiding unnecessary data copies. With that, processes exchange information by directly manipulating memory. This kind of IPC is particularly useful for real-time applications, which handle large volumes of data or are required to quickly transfer data between sensors and control tasks. It is also important to note that the section of code that manages these data accesses by different processes is called the critical section. The problem with this is that the system must somehow manage how the processes access the shared memory segment. This is mostly achieved by using various synchronisation techniques. Without any synchronisation mechanism, race conditions or inconsistent data can occur. [14]

2.3. Synchronisation

Synchronisation is essential for IPC in RTS, especially when processes communicate via shared memory. Communication through shared memory always has a risk of race conditions and data inconsistency if the processes are not correctly synchronised. Traditional synchronisation techniques ensure mutual exclusion (only one process at a time uses the shared resource), thus avoiding race conditions and ensuring data consistency. Race conditions occur when, for example, two processes attempt to write to the same resource. Let's consider a single counter instance with a value of 17 as a shared resource in a shared memory region. If one process, p1, and one process, p2, increment that number, the end result should be 19. But what could happen is that p1 could read the value 17 before p2 increments it, and then before p1 increments that value, p2 could also read the value 17. Now, internally, both processes increment that number to 18, and both processes would write 18 to that shared resource. To understand this example in more detail, fig. 2.1 visualises a race condition with threads.

The primary difference between processes and threads is that threads are part of a process, which can perform multiple tasks simultaneously via threads within that process. Another difference that will later be important in this thesis is that processes have their own private memory space, while threads share the memory space of the process they are part of. Thus, a

process cannot naturally access the memory of another process. The concept illustrated in fig. 2.1 can still be applied to processes. [15]

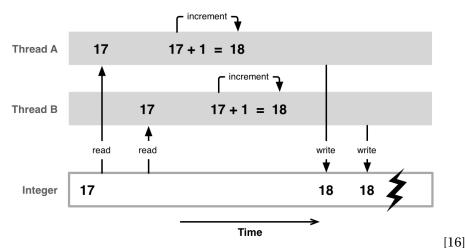


Figure 2.1.: Race condition between two threads, which write to the same shared variable.

2.3.1. Mutual Exclusion

As discussed, mutual exclusion only allows one process or thread to access the shared resource at a time. This includes that if a process p1 already accessed the shared resource x and is still working on it, a second process p2, which tries to access that shared resource x has to wait until the process p1 finishes its task, where it needs that shared resource x. To achieve this, synchronisation techniques based on locks or semaphores are typically used to block the entry of a process into a shared resource that is already accessed and in use by another process. See fig. 2.2 to gain a deeper understanding of how this works. This paper will not go into the details of how traditional synchronisation techniques, such as locks or semaphores, work, as it is only important for this work that these methods manage process access to shared resources in shared memory via some form of locks. A process acquires a lock to access a shared resource and releases it when its task is complete. Another process attempting to access the same resource while it's in use must wait until the lock is released for that resource.

This approach inherently relies on blocking processes. This may lead to several issues, including deadlocks, process starvation, or priority inversion, resulting in unpredictable response times and inability to define timing constraints for RTS [2]. The sequence in which processes might acquire the lock first to enter the critical section, when multiple processes wait for access, is mainly set by a scheduler [2]. Since wait-free methods, as explained later in section 2.5, are lock-free, a scheduler is not required, and therefore, scheduling will not be described in more detail in this work. The problems mentioned above will be discussed in the following sub-subsections:

Figure 2.2.: Mutual exclusion between three tasks(processes), which access the same critical section. Multiple processes need to stop working and wait for other processes to complete their tasks. See the waiting phase of the processes.

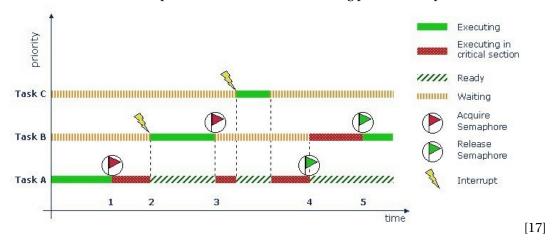
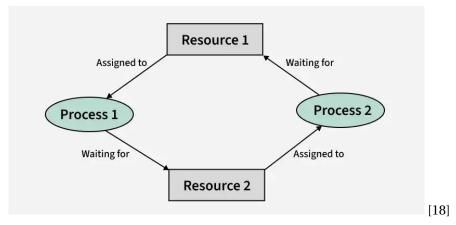


Figure 2.3.: Deadlock between two processes, which wait for each other to release the needed resources.



Process Starvation

What happens when multiple processes attempt to access a shared resource one after another, and one process repeatedly fails to acquire a lock to enter the critical section? This process would wait for an indefinite time and would never enter the shared resource, a condition known as process starvation. This usually happens when a synchronisation method allows one or more processes to make progress while ignoring a particular process or processes. This mostly occurs in environments where some form of process prioritisation exists and processes are classified into low- and high-priority processes. When there are always a lot of high-priority and some low-priority processes available, it might happen that these low-priority processes

will never be able to enter the critical section. This is a problem, as these low-priority processes may also be important for the system. [19]

Deadlock

Even worse, what if two or more processes have already accessed a resource and now each waits for the other to release the lock for the resource they acquired so that they can further work? This results in a situation seen in fig. 2.3 where these processes now indefinitely wait for each other and never terminate. Thus, the resources held by these waiting processes are never released and are therefore never available to the other process. As one can see, this brings the system into a state that would prevent any further progress and would no longer respond to any command. [20]

For instance, if a driverless car with a brake-by-wire system is in a deadlock, the vehicle may eventually be unable to brake when needed, resulting in a fatal collision.

Priority Inversion

Now, let's say no process starvations or deadlocks occur. What could also happen is that a lower-priority process already accessed a shared resource, and after that, a higher-priority process needs to access that specific resource too. If the lower-priority process is delayed, the higher-priority process is also delayed. This is known as priority inversion, where a low-priority process delays a high-priority process. cite priorityInversion [21]

2.4. Lock-Free Synchronisation

Due to the problems that traditional synchronisation techniques introduce, synchronisation techniques are required that do not block processes with any kind of locking mechanism. One way could be the implementation of lock-free synchronisation techniques. This would allow multiple processes to access the shared resource concurrently. Lock-free synchronisation ensures that at least one process will complete its task in a finite number of steps. However, some processes may be unable to proceed because lock-free synchronisation does not guarantee that all processes will complete their operations in a finite number of steps. This means that starvation or even priority inversion is still possible, as some processes, even high-priority processes, may be indefinitely delayed. There are various mechanisms to achieve this. One way to achieve lock-freedom, for example, is the lock-free technique introduced by Michael and Scott, which also forms the basis for some other wait-free algorithms.

2.4.1. Michael and Scott's Lock-Free Queue

Michael and Scott developed an algorithm, as shown in algorithm 1, using a linked list as a shared data structure with enqueue and dequeue functions to introduce lock-freedom. A linked list is a list containing nodes that contain data and a pointer called 'next', which

references the next node in the list. This list can only be traversed in a single direction. There is also a pointer called 'head', which references the beginning node of the list and a pointer called 'tail', which references the end node of the list. The core concept of the algorithm is the enqueue and dequeue functions, which begin at lines 7 and 26 in algorithm 1, used to add and remove nodes from the shared data structure. When a process tries to add a node to the list, it first creates a new node and sets its next pointer to NULL, as seen on lines 8 to 10 of the enqueue function. Beginning from line 11 to line 23, the following occurs: The process first checks if the pointer referencing the next node after the tail node is NULL, as seen on line 15. If it is NULL, it tries to link the new node to the end of the list by using a CAS seen in line 16. This operation atomically compares the current value of the tail pointer with the expected value and, if they match, updates the tail pointer to point to the new node. The tail itself would be updated in line 24. [22]

So let's say 2 processes, p1 and p2, execute up to line 16 one after the other. What could happen now is that if p1 executes line 16 before p2, p2 will fail the CAS from line 16. Now if p1 does not execute further, thus not finalising the enqueue with line 24 and p2 retries the loop until line 15, the condition in line 15 would not be TRUE anymore for p1 and p1 would execute lines 19 and 20 to help p2 to finalise its enqueue so other processes can work further with this algorithm. [22]

The dequeue function works analogously, but instead of adding a node to the end of the list, it removes a node from the front of the list. And since another process that could not finish its enqueue would cause confusion for other processes in the dequeue function, the process that could not finish its enqueue will also be helped in the dequeue function. [22]

Initialisation starts at line 1 in algorithm 1, which is used solely to create dummy nodes when there are no nodes in the list. This just simplifies the algorithm so that the head and tail pointers are not NULL. It can be observed that this approach does not need any locks explained in section 2.3. However, this approach has one major problem. If, for instance, process p1 is trying to enqueue, it can happen that the CAS loop might fail indefinitely if, for an indefinite time, other processes are always executing line 16 immediately before p1 could execute line 16. This means that in very high contention scenarios, a process may be delayed indefinitely and starve out. In an HRTS, this could lead to a violation of timing constraints, as the process would not complete its task within the defined timing window, which is unacceptable. This is why a slightly different approach is needed, one that guarantees every process will complete its operation in a finite number of steps. [22]

While Michael and Scott's algorithm relies on the CAS primitive, other atomic primitives provide alternative approaches that other algorithms shown later in this thesis use. An overview of the atomic primitives used in this thesis context is provided in the following section.

2.4.2. Atomic Primitives

Atomic primitives are hardware instructions that conduct a set of steps atomically, meaning with no interruption from other processes [23]. This will be important in the algorithms anal-

2. Background

Algorithm 1 Michael and Scott's Lock-Free Queue

```
1: function INITIALIZE(Q: pointer to queue_t)
      node = new node()
                                                                                                        ⊳ Allocate a dummy node
3:
       node.next.ptr = \texttt{NULL}
                                                                                                ▶ Make it the only node in the list
4:
      Q.Head = node
                                                                                                      ⊳ Both Head and Tail point
5:
      Q.Tail = node
                                                                                                           ⊳ to this dummy node
6: end function
7: function ENQUEUE(Q : pointer to queue_t, value : data_type)
8:
      node = new node()
                                                                                          > Allocate a new node from the free list
9:
       node.value = value
                                                                                                ▶ Copy enqueue value into node
10:
       node.next.ptr = \texttt{NULL}
                                                                                              ⊳ Set next pointer of node to NULL
11:
       loop
                                                                                             ▶ Keep trying until Enqueue is done
12:
           tail = Q.Tail
                                                                                           ▶ Read Tail (pointer + count) together
13:
           next = tail.ptr.next
                                                                                                 ⊳ Read next ptr + count together
14:
           if tail == Q.Tail then
                                                                                                      ▶ Are tail & next consistent?
15:
              if \ next.ptr == \verb"NULL" \ then
                                                                                                            ▶ Tail is the last node?
16:
                 if CAS(\& tail.ptr.next, next, \langle node, next.count + 1 \rangle) then
17:
                     break
                                                                                           ⊳ Link the new node; Enqueue is done
18:
                 end if
19:

ightharpoonup Tail not pointing to the last node
              else
20:
                                                                                 ▶ Move Tail forward (helping another enqueuer)
                 CAS(&Q.Tail, tail, (next.ptr, tail.count+1))
21:
              end if
22:
           end if
23:
       end loop
24:
       CAS(\&Q.Tail,\ tail,\ \langle node,\ tail.count+1\rangle)
                                                                               ▶ Final attempt to swing Tail to the inserted node
25: end function
26: function Dequeue(Q:pointer to queue_t, pvalue:pointer to data_type)
27:
                                                                                             ▶ Keep trying until Dequeue is done
28:
           head = Q.Head
29:
           tail = Q.Tail
30:
           next = head.ptr.next
                                                                                                               ▶ Read head->next
31:
           if head == Q.Head then
                                                                                                                ▶ Still consistent?
              \textbf{if} \ head.ptr == tail.ptr \ \textbf{then}
                                                                                                          ⊳ Empty or Tail behind?
32.
33:
                 if next.ptr == NULL then
                                                                                                                ▶ Queue is empty
34:
                     return FALSE
35:
                                                                                                    ⊳ Tail is behind, help move it
                 else
                     CAS(\&Q.Tail, tail, \langle next.ptr, tail.count + 1 \rangle)
36:
37:
                 end if
38:
              else
                                                                                                          ⊳ No need to adjust Tail
39:
                 *pvalue = next.ptr.value
                                                                                                         ▶ Read value before CAS
40:
                 if CAS(\&Q.Head, head, \langle next.ptr, head.count + 1 \rangle) then
41:
                    break
                                                                                                               ▶ Dequeue is done
42:
                 end if
43:
              end if
44:
           end if
45:
       end loop
        free(head.ptr)
                                                                                                   ⊳ Safe to free old dummy node
       return TRUE
47:
48: end function
                                                               [22]
```

ysed later in this thesis, since these primitives are used to implement wait-free synchronisation. There are different kinds of atomic primitives:

Load-Linked and Store-Conditional (LL/SC)

Abbreviation of the instructions Load-Linked (LL) and Store-Conditional (SC), which is an operation available on ARM, MIPS and Alpha architectures, is usually implied with a Validate-Link (VL) instruction.

- LL(R) returns value of register r
- "SC(R, v) changes the value in register R to v and returns true, if and only if no other process performed a successful SC since the most recent call of LL of the current process. So SC fails if the value of the register has changed since it has been read" [24]
- "VL(R) returns true if no other process performed a successful SC on register R, which allows to test a register value without changing it" [24]

[24]

Compare and Swap (CAS)

In addition to the explanation in section 2.4.1 CAS is an atomic primitive that is supported on "Intel x386, x64 and most general purpose architectures with operands that are restricted to pointer size" [24].

• "CAS(R,e,n) returns true and sets the value of R to n if the value in R is e. Otherwise, it returns false." [24]

The problem with CAS, beyond the issue explained earlier, in section 2.4.1 is that it can lead to the ABA problem, which can also occur in wait-free algorithms:

- Process 1 reads value A from a shared variable.
- Process 2 changes the value to B and then back to A.
- Process 1's CAS operation succeeds, because it compares the value A it read earlier with the current value A, even though the value was changed in between.

This is a fundamental limitation of CAS. One solution would be to replace CAS with LL/SC, but that is not possible on x86 processors. Therefore, other solutions are needed, which are discussed in chapter 6. [24]

Double-Width Compare and Swap (DWCAS)

This is a CAS on two neighbouring memory locations. [24]

Double Compare and Swap (DCAS)

Sometimes also called CAS2, is a CAS on two independent memory locations. [24]

Swap

Swap is an atomic read-modify-write operation that unconditionally exchanges a value in memory with a new value and returns the old value. Swap(R, v) atomically stores the value v in location R and returns the previous value stored in R. This operation always succeeds. [25].

Fetch and Add (FAA)

This primitive is used to increment "the value of a variable by a given offset and [return] the result. This instruction always succeeds." [24]

Fetch and Store (FAS)

This atomically stores a value in a variable and returns the previous value. This is similar to CAS, but it does not require a comparison and a retry loop. This is faster than CAS, if conditions before updating do not need to be checked. [26]

2.5. Wait-Free Synchronisation

Lock-freedom solves the problem of a system becoming stuck in a deadlock. However, this is not enough. For example, in a fully automated car, it is undesirable for any process to fail to complete its task, as this could mean that some processes responsible for braking would not finish their work in a worst-case scenario. And in such an occasion where the car would need to brake, a fatal collision would be the outcome. Consequently, a solution is necessary where every process completes its task in a finite number of steps, rather than just one process. So, something is needed that builds upon such mechanisms and extends them. This is exactly what wait-free synchronisation is. It guarantees that every process will complete its operation in a finite number of steps, regardless of contention. This means that even process starvation is, by definition, no longer possible. Additionally, priority inversion is eliminated because processes no longer have to wait for other processes to complete. This ensures system responsiveness and predictability, thereby enabling the definition of strict timing constraints required for HRTS applications. But even wait-free algorithms introduce one problem. Wait-free algorithms are, in most cases, slower than their lock-free counterparts in execution. A solution to this will be addressed in chapter 3 and analysed in more depth in chapter 5.

2.6. Rust Programming Language

The question now is which programming language suits best for this kind of algorithms. Since fast communication between processes is crucial to meet all HRTS timing constraints, the C programming language is a suitable choice. C provides low-hardware control and therefore also allows the implementation of fast, low-latency communication. What is also important

2. Background

and necessary for a RTS is that C does not have an automatic garbage collector, which gets active and stops all processes from working to clean up allocated but no longer used memory space. Because of that, all RTOS are written in C. The primary issue with C is that it lacks memory safety, as it implements memory operations that are prone to buffer overflows or control-flow attacks. In the industry, around 70% of vulnerabilities happen due to memory safety issues. If the real-time application were to run on an isolated system with no internet connection, this would not be a problem. However, in modern automation, where systems must be connected to the internet for data exchange, such systems are prone to security attacks. RTS is nowadays an integral part of various connected devices, including critical fields such as health and transportation. Consequently, the security of such devices must be ensured. With the Rust programming language, the problems of memory safety are gone. The difference to C is that it can be as fast as C, while also supporting low-level control and high-level programming features, and providing memory safety features. The memory safety aspect is achieved by an ownership concept that controls how memory is handled in programs. This is strictly checked, and therefore the executable programme has guaranteed memory safety. In the model, every value has a single owner represented by a variable. The owner is responsible for the lifetime and deallocation of that value. Rust will automatically free the memory associated with that value when the owner goes out of scope. This behaviour is automatically done by using the memory reference feature provided by Rust. Creating such references is called borrowing. This allows the usage of these values without transferring the ownership. These references have their lifetime, which can be explicitly defined by the programmer or implicitly inferred by Rust's compiler. This ensures that the references are valid and do not exist longer than needed. Hence, this can play a role in lock-freedom, which is required for wait-free synchronisation, since shared resources can be shared with this ownership concept. Additionally, Rust is a type-safe language, which can be helpful during implementation to avoid bugs and errors. As seen, Rust is a good choice for implementing wait-free synchronisation mechanisms for IPC in RTS. [9], [27].

Further mechanisms on how Rust addresses various common memory safety issues will not be discussed in detail, as that would exceed the scope of this thesis. It is essential to understand the basics of how Rust is a type-safe and memory-safe programming language to comprehend why it is used for this work.

3. Related Work

The early foundations regarding wait-freedom were laid indirectly by Leslie Lamport in 1983 [28]. While his work did not directly address or formally define wait-freedom or lock-freedom, it laid the groundwork for Maurice Herlihy to define wait-freedom [1].

In 1983, Leslie Lamport introduced a formal method for writing and proving the correctness of any concurrent module in a simple and modular way, independent of the data structure used, which he referred to as modules. These modules consist of three components:

- state functions, which are abstract variables describing the module's state.
- initial conditions, which are predicates on the state functions.
- properties, which are a mix of safety and liveness requirements.

Safety requirements define what must never happen (e.g., a queue must never drop an element). In contrast, liveness requirements define what must eventually happen (e.g., a non-empty queue must eventually allow dequeueing to occur). He also defines the usage of action sets and environment constraints, which separate the module action from the environments (e.g., the program in which the data structure runs). For example, a First In First Out (FIFO) queue specification would include:

- State Functions: queue contents, operation parameters, return values.
- · Initial Conditions: queue starts empty.
- Safety Condition: queue maintains FIFO ordering.
- Liveness Condition: dequeue operation will eventually return a value.

This systematic methodology to prove the correctness of concurrent data structures laid the necessary groundwork for later developments. [28]

Building on methodologies to prove concurrent data structure correctness, Herlihy and Wing provided linearizability as a correctness condition for concurrent objects, which is a guarantee that every operation performed appears to take effect instantaneously at some point between the call and return of the operation [29]. This correctness condition was then used to formalise wait-freedom in 1991 [1]. In the latter work, Herlihy proved that any sequential data structure can be transformed into a wait-free concurrent data structure [1]. A wait-free data structure must satisfy the following three constraints:

• Linearisability: operations take effect instantaneously at some point between the call and return of the operation.

- Bounded steps: operations end in a finite number of steps.
- Independence: operations finish regardless of other processes' execution (for later understanding: a process waiting for another process for a maximum number of time and then returning an error if that time is exceeded would still be considered wait-free, since it will finish regardless of the other process).

[1], [29]

Herlihy's universal construction and principles (or work that builds upon his work) appear conceptually throughout all of these wait-free algorithms [4], [25], [26], [30]–[46]. [1]

Additionally, in 1983, Lamport presented a concurrent lock-free FIFO queue implementation using a circular buffer. It works by using an array Q with two pointers, HEAD and TAIL, where elements are added at TAIL and removed at HEAD. The producer first checks if the queue is full by testing if TAIL - HEAD equals the queue capacity m, and if so, it busy-waits. When space is available, it transfers the element bit-by-bit into Q at position TAIL modulo m, then atomically increments TAIL to commit the addition. Similarly, the consumer checks if the queue is empty by testing if TAIL - HEAD equals 0, and if so, it busy-waits. When an element is available, it extracts the element bit-by-bit from Q at position HEAD modulo m using shift operations, then atomically increments HEAD to commit the removal. This means that while data is being shifted, other operations can observe partial states, but the queue still maintains its FIFO properties correctly because an element is only considered "in" the queue after TAIL is incremented and only considered "removed" after HEAD is incremented. Even though busy-waits are used, the queue will eventually fill and be dequeued, so the producer and consumer will finish in a finite number of steps, making the Lamport queue wait-free, even though that term was not defined at the time. [28]

The Lamport queue is the basis of many more wait-free queues later invented, discussed in chapter 5.

Kogan and Petrank later invented a method called fast-path slow-path, where, first, a lock-free method (the fast-path) is typically used to attempt to complete an operation, as lock-free algorithms are generally faster than wait-free algorithms. A maximum number of steps bounds these lock-free paths, and if the operation does not complete within that bound, the algorithm tries to complete the operation using a wait-free method (the slow path). Therefore, in cases where the fast path succeeds frequently without switching to the slow path, the algorithm generally completes in a shorter time than a pure wait-free algorithm. This method is used by two algorithms, with one of them having a great performance advantage, which will be demonstrated later in this thesis in chapter 5. [4]

4. Methodology

To achieve the goal defined in section 1.2, first, all wait-free data structures that can be used for IPC through shared memory in HRTS need to be identified. To identify all existing wait-free data structures, a method was employed that is more commonly used in mapping studies or literature reviews. Multiple Python scripts were implemented to do this, as seen in the accompanying GitHub repository [47]. A web scraper script was written to scrape over Google Scholar with the following queries:

- "wait-free queue"
- "wait-free" ("mpmc" OR "multi-producer multi-consumer" OR "multi-writer multi-reader" OR "many-to-many") "queue"
- "wait-free" ("mpsc" OR "multi-producer single-consumer" OR "single-writer multi-reader" OR "many-to-one") "queue"
- "wait-free" ("spsc" OR "single-producer single-consumer" OR "single-writer single-reader" OR "one-to-one") "queue"
- "wait-free" ("spmc" OR "single-producer multi-consumer" OR "multi-writer single-reader" OR "one-to-many") "queue"

In Google Scholar, a whitespace is considered as an AND. The rest is interpreted as read. With this approach, a list of 1324 papers was found. The papers were then recorded in a CSV file split into query, rank (number of paper), title, year, authors, venue, citations, abstract snippet, full_abstract and url with ";" as a delimiter. To extract all of this, the information in Google Scholar was extracted, and then, for the full abstract, the scraper went to the source URL and extracted the abstract there. If the URL was a direct PDF link, a PDF reader was used to find the abstract. If an abstract was not extractable (some source site which was not considered or other problems), "ABSTRACT_NOT_FOUND" was written instead, or if the paper was inaccessible, the whole paper was written instead into that cell. Because a lot of scientific web pages will put captchas if continuous requests are made, "undetected_chromedriver" was imported and used as the web driver. It is an enhanced version of ChromeDriver which bypasses anti-bot detections. After that, a regex analyser was implemented to analyse the abstracts of the found papers on the words "lock-free", "wait-free", and "obstruction-free" and also again without a hyphen in between these words. If these keywords were not found in the abstract, the paper was removed from the CSV. The abstracts of papers with the tag "ABSTRACT_NOT_FOUND" had to be analysed manually. This left 475 papers that contained at least one of these words

4. Methodology

in their abstract. After that, the duplicates were removed by the algorithm, resulting in 325 remaining papers. The duplicates were removed by checking the URL of the paper. Now what was left had to be manually analysed to see if the paper was relevant to the topic. Since LibreOffice and Microsoft Excel have a limit of 32,767 characters per cell, an abstract splitter was built to split the abstract into multiple cells. Analysis was conducted by reading the paper and verifying whether it presented a wait-free FIFO queue. The reason why only FIFO queues were considered will be explained in the next chapter. While doing that, a backwards and forward search was also done to find more papers. Only papers were considered if the queue described in the paper met the following criteria:

- All three of Herlihy's constraints were met, which are listed in chapter 3.
- The algorithms can be implemented on the x86 architecture using Rust, as this is the architecture and programming language used and available for this work.
- The algorithm runs in an acceptable time to even benchmark it for an IPC via a shared memory use-case.

In the end, 17 queues were identified through web scraping, and 3 queues were discovered through a backwards and forward search of the included papers. The queues were then split into 4 contention categories:

- MPMC queues with 6 queues [30], [32], [34]–[37]
- MPSC queues with 4 queues [26], [38], [40], [41]
- SPMC queues with 1 queue [25]
- SPSC queues with 11 queues [28], [41], [42], [44]–[46]

Some papers were just improvements of other papers, so only the improved version was used for the comparison. Some other papers showed multiple ways of implementing a wait-free FIFO data structure.

5. Analysing existing Wait-Free Data Structures and Algorithms

After finding and identifying existing wait-free algorithms, they need to be analysed as stated in section 1.2 to meet the primary objective of this work. That is exactly what this chapter will be about.

5.1. Optimal Wait-Free Data Structure

Before analysing the algorithms, first, it must be determined which data structure to use for the implementation of wait-free synchronisation for IPC, since only paper were cosidered describing FIFO data structures. M. Herlihy showed that every sequential data structure can be made wait-free [1]. Therefore, it is important to choose the optimal data structure for our use. Considering that the reason for this work is to optimise modern manufacturing and automation, some form of correct data flow order is also necessary for correct workflow, for instance, in a modern manufacturing line or more critically in a driverless car. Hence, FIFO queues are a natural fit. This is natural because in such queues a producer process can enqueue messages and the consumer process can dequeue messages sequentially. This models real-world data flows (sensor readings, commands, network packets), which are inherently sequential. Consequently, with such queues, the order of the data flow is preserved without the need for implementing additional functionalities. In contrast, data structures like stacks, sets, or maps do not maintain this kind of arrival order and moreover add semantics like Last In First Out (LIFO) order or key-value pairs, which are in most cases not desired or even unnecessary. This would bring in the need for additional functions to just get rid of undesired side effects. Furthermore, in a queue only two operations exist: an enqueue and a dequeue operation. All the other data structures introduce more operations and therefore more complexity and therefore more performance overhead. The fewer operations that exist, the less complex the implementation will be. Because of these advantages and also because of the fact that in most publications in the wait-free domain, queues are being used, limiting this thesis to queues is reasonable. [40]

5.2. Wait-Free Algorithms

With the appropriate data structure established, an important consideration is the selection of suitable algorithms. In chapter 4, 4 different contention categories are defined. The choice

of algorithm will be based on contention. Since all of them have different complexities in runtime, it is important to choose the right contention category for the right use case to save resources and have faster execution times to meet the timing constraints of HRTS. In modern manufacturing and automation, devices are used which can run multiple applications on a single device. This could mean that every application running on one device could be a producer and a consumer to each other (MPMC), and also maybe some single application of all applications running on one device produces data for just a single other consuming application (SPSC). And maybe some single application is a producer for multiple consuming applications (SPMC), and multiple applications are producers of a single consuming application (MPSC). Therefore, all cases can occur in just one device. This means that all the different cases of contention have to be considered. In the following, the different cases and their algorithms will be discussed. Moreover, they will be implemented and their performance tested via a benchmark (how fast an algorithm can produce and consume items concurrently). Subsequently, from each category, the best algorithm will be chosen and their performance will be compared with each other to identify if the 4 different categories are necessary. The reason for this is that, for instance, the best-performed MPMC algorithm could outperform all other algorithms even for their contention category, considering that an MPMC approach can cover all contention cases. The goal with this approach is to have as little overhead as possible, since an algorithm explicitly implemented for an MPMC use case could have extreme overhead for an SPSC case. The implementation will be discussed in chapter 6, and the results of the performance readings will be discussed in chapter 7. The following subsections will give an overview of the different contention categories and their algorithms. The subsections will also shortly describe how the enqueue and dequeue operations in these algorithms work. Given that all algorithms found are about inter-thread communication and not IPC, the following explanation of the specific algorithms will include the terminology of threads to be precise about the papers. In chapter 6, it will be elaborated on how these thread-based algorithms are adapted to IPC in Rust. Other minor Rust-specific deviations from the following algorithms (different types required by Rust's safety model, additional memory fences, etc.) can be seen in the GitHub repository accompanying this thesis [47]. These are not detailed here as such explanations would provide limited value to the topic of this work, and whilst a comprehensive analysis would be relevant, it would require more extensive exploration than is feasible within the scope of this work.

5.2.1. Single Producer Single Consumer (SPSC)

This is the simplest form of IPC. In SPSC, there is nearly no contention from other processes, because only one producer and one consumer are working. The only contention is between the consumer and the producer. This leads to the producer and consumer finishing in a bounded number of steps without special synchronisation techniques like helping or atomic primitives. The only concern is that the data the consumer reads is consistent. Different approaches were tested in different papers, which will be seen here. Since Batched Lamport Queue (BLQ), Lazy Lamport Queue (LLQ), Batched Improved FastForward Queue (BIFFQ) and

Improved FastForward Queue (IFFQ) are from the same paper, explanations and variables are shared between these algorithms to avoid redundancy:

Lamport's Circular Buffer Queue

Uses a circular array with two shared indices for synchronisation, based on the algorithm originally proposed by Leslie Lamport in 1983 [28] and shown here in algorithm 2 following Maffione et al.'s version [45]. The producer first checks if the queue is full (reached capacity N) in line 2, which requires reading the consumer's read index. If the queue is not full, it writes the input data to the slot at position write & mask in line 5, where the bitwise AND operation wraps the index around when it reaches the array end. The producer then increments write to signal that the written data is available in line 7. The consumer mirrors this behaviour by checking if the queue is empty in line 12, which requires reading the producer's write index. If the queue is not empty, the consumer reads data from the slot at position read & mask in line 16, using the same modulo arithmetic through bitwise AND, and incrementing its read index to signal that the slot is available in line 17. This wraparound behaviour creates the circular buffer structure, allowing the fixed-size array to be reused continuously. It can be observed that the consumer and producer just carry out a finite number of operations without waiting for any condition from the other process, which leads to them finishing in a finite number of steps. Unfortunately, each operation requires accessing both shared indices plus the data slot, causing up to three cache misses per item when the queue moves between nearly empty and nearly full states. According to U. Drepper [48], cache misses occur when different processes access variables that reside on the same cache line. A cache miss is when the requested data is not in the local CPU core's cache and must be fetched from another core's cache or the main memory, with the cache coherence protocol ensuring memory consistency across all cores. Drepper showed that performance can degrade by 390%, 734%, and 1,147% for 2, 3, and 4 threads, respectively. This happens because cache lines, the 64-byte blocks (on x86 architectures) that move between CPU caches, ping-pong between the producer's and consumer's cores as they take turns accessing the same memory locations.

Lazy Lamport Queue (LLQ)

Reduces the described cache misses by postponing index reads until necessary, as shown in algorithm 3. In addition to Lamport's original enqueue function, the producer maintains a local read_shadow copy and only updates it when running out of known free slots in lines 2 to 6. Similarly, the consumer uses write_shadow to avoid repeatedly checking for new items. Moreover, LLQ keeps K slots (where K is slots per cache line) permanently empty, preventing producer and consumer from touching the same cache line when the queue is full. This works well when one thread is faster than the other, reducing worst-case misses from 3 to about 2 per item. This queue only adds a bounded number of additional reads on top of the Lamport queue, still making the design wait-free. [45]

```
Algorithm 2 Lamport's Queue [45]
1: function Q_ENQUEUE(q, e)
2:
      if q.write - q.read = N then
                                                                                                              ⊳ Check if full
3:
         \boldsymbol{return} - 1
                                                                                                                 ⊳ No space
4:
      end if
5:
      q.slots[q.write \land q.mask] \leftarrow e
6:
      store_release_barrier()
7:
      q.write \leftarrow q.write + 1
8:
      return 0
9: end function
10.
11: function LQ_DEQUEUE(q)
12:
       if q.read = q.write then
                                                                                                           ▶ Check if empty
13:
          return NULL_ELEM
                                                                                                             ▶ Queue empty
14:
       end if
15:
      load_acquire_barrier()
       e \leftarrow q.slots[q.read \land q.mask]
17:
       q.read \leftarrow q.read + 1
18:
       return e
19: end function
                                                            [45]
```

Algorithm 3 LLQ Operations [45]

```
1: function LLQ_ENQUEUE(q, e)
      if q.write - q.read\_shadow = N - K then
                                                                                                          ▶ Lazy load check
3:
          q.read\_shadow \leftarrow q.read
                                                                                                          ▶ Update shadow
4:
         if q.write - q.read\_shadow = N - K then
5:
            return -1
                                                                                                                 ⊳ No space
6:
         end if
7:
      end if
8:
      q.slots[q.write \land q.mask] \leftarrow e
9:
      store_release_barrier()
      q.write \leftarrow q.write + 1
10:
       return 0
12: end function
13:
14: function LLQ_DEQUEUE(q)
15:
       if q.read = q.write\_shadow then
                                                                                                          ▶ Lazy load check
16:
          q.write\_shadow \leftarrow q.write
                                                                                                          ▶ Update shadow
17:
          if q.read = q.write\_shadow then
18:
             return NULL_ELEM
19:
          end if
20:
       end if
21:
       load_acquire_barrier()
22:
       e \leftarrow q.slots[q.read \land q.mask]
23:
       q.read \leftarrow q.read + 1
       return e
25: end function
```

Batched Lamport Queue (BLQ)

Extends LLQ with explicit batching to further reduce synchronisation costs, as detailed in algorithm 4. The producer accumulates items using private write_priv in line 11, filling slots without updating the shared write index. Only the function blq_enqueue_publish in lines 15 to 18 makes the batch visible by advancing write in line 17. The consumer works

5. Analysing existing Wait-Free Data Structures and Algorithms

symmetrically, using read_priv in line 32 for local progress before updating read in line 40. With typical batch sizes like B = 32, synchronisation overhead is amortised across operations, reducing cache misses. However, the application using this queue design must explicitly call the publish functions even with partial batches to avoid unbounded latency, because items remain invisible to the consumer until published. This design particularly benefits applications that naturally process data in batches, such as network packet processing, where batch boundaries are well-defined. The added batching logic on top of Lamport's queue only adds a bounded amount of additional writes, not changing the overall bounded step logic of Lamport's queue. [45]

Algorithm 4 BLQ Operations [45]

```
1: function BLQ_ENQUEUE_SPACE(q, needed)
      space \leftarrow N - K - (q.write\_priv - q.read\_shadow)
3:
      if space < needed then
4:
          q.read\_shadow \leftarrow q.read
                                                                                                           ▶ Update shadow
5:
         space \leftarrow N - K - (q.write\_priv - q.read\_shadow)
6:
7:
      return space
8: end function
9:
10: function BLQ_ENQUEUE_LOCAL(q, e)
11:
       q.slots[q.write\_priv \land q.mask] \leftarrow e
12:
       q.write\_priv \leftarrow q.write\_priv + 1
13: end function
14:
15: function BLQ_ENQUEUE_PUBLISH(q)
16:
      store_release_barrier()
17:
       q.write \leftarrow q.write\_priv
18: end function
20: function blq_dequeue_space(q)
21:
       available \leftarrow q.write\_shadow - q.read\_priv
22:
       if available = 0 then
23:
          q.write\_shadow \leftarrow q.write
                                                                                                           ▶ Update shadow
24:
          available \leftarrow q.write\_shadow - q.read\_priv
25:
       end if
26:
       return available
27: end function
28:
29: function blq_dequeue_local(q)
30:
      load_acquire_barrier()
31:
       e \leftarrow q.slots[q.read\_priv \land q.mask]
       q.read\_priv \leftarrow q.read\_priv + 1
33:
       return e
34: end function
35:
36: function BLQ_DEQUEUE_PUBLISH(q)
       q.read \leftarrow q.read\_priv
```

38: end function

FastForward Queue (FFQ)

Synchronisation by embedding control information directly within the data slots, eliminating separate shared indices shown in algorithm 5. Unlike Lamport's queue, which requires checking both head and tail indices, FFQ's producer simply examines if the next slot contains NULL in line 2 before writing. When the slot is empty (NULL), the producer writes the data directly and advances its private head index in lines 5 and 6. Otherwise, the queue is full and the operation returns. The consumer follows a similar pattern by reading from the current slot position in line 11 and then checking in line 12 if data is present (non-NULL). If not NULL, it retrieves the value and writes NULL to mark the slot empty in line 15. Then it advances its private tail index in the line after that. This is how the synchronisation happens and why the producer and consumer end in a finite number of steps. Neither consumer nor producer will end up in a retry loop waiting for the other. Additionally, the shared memory access was reduced from three (head, tail, buffer) to just one (buffer slot). Each thread maintains its own private index that never needs synchronisation. The producer tracks where to write next through head, whilst the consumer tracks where to read next through tail. The NULL value serves a dual purpose as both an empty indicator and the synchronisation mechanism. Whilst this approach reduces memory barriers and cache misses significantly, it still has the ping-pong effect when the queue has few elements, causing the producer and consumer to operate on the same cache line. It can be seen that FFQ's enqueue and dequeue function do complete in exactly 3 steps without any loops or retry mechanisms, leading to a wait-free trait. [46]

Algorithm 5 FFQ Operations [46] 1: **function** FFQ_ENQUEUE(q, data) if $q.buffer[q.head] \neq NULL$ then 3: return EWOULDBLOCK 4: 5: $q.buffer[q.head] \leftarrow data$ 6: $q.head \leftarrow NEXT(q.head)$ 7: return 0 8: end function q. 10: **function** FFQ_DEQUEUE(*q*) 11: $data \leftarrow q.buffer[q.tail]$ if data = NULL then 12: 13: return EWOULDBLOCK 14: end if 15: $q.buffer[q.tail] \leftarrow \texttt{NULL}$ $q.tail \leftarrow NEXT(q.tail)$ 16: return data 18: end function

Improved FastForward Queue (IFFQ)

Prevents cache conflicts of FFQ through spatial separation using a look-ahead mechanism shown in algorithm 6. The producer checks if a slot *H* positions ahead (4 cache lines ahead) is

empty before proceeding in line 4, ensuring it works far ahead of the consumer. This check happens only once every H items when write reaches limit in line 2. The consumer delays clearing slots through the function iffq_dequeue_publish seen in lines 23 to 28, maintaining separation between producer and consumer regions. With 2H permanently unused slots as a buffer zone, the producer and consumer operate on different cache lines, reducing cache misses even more. Wait-freedom is preserved because the look-ahead check adds only one bounded operation every H items on top of FFQ, and the delayed clearing in iffq_dequeue_publish executes a loop bounded by algorithm parameters, maintaining the original bounded step guarantee of FFQ.[45]

```
Algorithm 6 IFFQ Operations [45]
1: function IFFQ_ENQUEUE(q, e)
                                                                                                                        ⊳ Check limit
2:
      if q.write = q.limit then
3:
          next\_limit \leftarrow q.limit + H
4:
          if q.slots[next\_limit \land q.mask] \neq \texttt{NULL\_ELEM} then
5:
             return -1
                                                                                                                          ▶ No space
6:
          end if
7:
                                                                                                                     ⊳ Free partition
          a.limit \leftarrow next\ limit
8:
       end if
9:
       q.slots[q.write \land q.mask] \leftarrow e
10:
       q.write \leftarrow q.write + 1
11:
       return 0
12: end function
13:
14: function IFFQ_DEQUEUE_LOCAL(q)
15:
       e \leftarrow q.slots[q.read \land q.mask]
16:
       if e = \text{NULL\_ELEM} then
17:
           return NULL_ELEM
18:
       end if
19:
       q.read \leftarrow q.read + 1
20:
       return e
21: end function
22:
23: function iffq_dequeue_publish(q)
24:
       while q.clear \neq next\_clear(q.read) do
25:
           q.slots[q.clear \land q.mask] \leftarrow \texttt{NULL\_ELEM}
26:
           q.clear \leftarrow q.clear + 1
       end while
28: end function
```

Batched Improved FastForward Queue (BIFFQ)

Gets rid of IFFQ's weakness when the queue is nearly empty by adding producer-side buffering, as shown in algorithm 7. Items first accumulate in a thread-local buffer seen in line 10, then the function biffq_enqueue_publish beginning at line 15 writes them to the queue in a rapid burst in lines 15 to 18. Also, like in BLQ, the application using this queue must call this function explicitly to avoid deadlocks. This behaviour creates an intended race condition, which is beneficial if all writes complete before the consumer notices. The cache line stays with the producer to avoid ping-pong effects. The consumer side remains unchanged from IFFQ. Whilst theoretical worst-case behaviour is similar to IFFQ, practical measurements show

significant improvement when the queue operates near empty, making BIFFQ effective across all operating conditions. Like BLQ, the buffering mechanism maintains wait-freedom through bounded local operations and a publish loop limited by buffer size on top of FFQ's bounded steps, ensuring every operation completes within a fixed number of steps regardless of the consumer's behaviour. [45]

```
Algorithm 7 BIFFQ Operations [45]
1: \mathbf{function} \ \mathtt{BIFFQ\_WSPACE}(q, needed)
      space \leftarrow q.limit - q.write
3:
      if space < needed then
4:
         return space
                                                                                                              ▶ Force limit update
5:
      end if
6:
     return space
7: end function
8:
9: function BIFFQ_ENQUEUE_LOCAL(q, e)
10:
       q.buf[q.buffered] \leftarrow e
                                                                                                                  Store in buffer
       q.buffered \leftarrow q.buffered + 1
12: end function
14: function BIFFQ_ENQUEUE_PUBLISH(q)
15:
       for i \leftarrow 0 to q.buffered - 1 do
16:
          q.slots[q.write \land q.mask] \leftarrow q.buf[i]
                                                                                                                       ▶ Fast burst
17:
          q.write \leftarrow q.write + 1
18:
       end for
19:
       q.buffered \leftarrow 0
20:
                                                                                                                    ▶ Update limit
       q.limit \leftarrow q.write + H
21: end function
```

B-Queue

Enhances the performance of batching approaches through a self-adaptive backtracking mechanism that dynamically adjusts to production rates shown in algorithm 8. The producer maintains local head and batch_head pointers, probing BATCH_SIZE positions ahead when needed in line 3. The consumer's adaptive backtracking algorithm from lines 27 to 41 maintains a batch_history variable that records successful batch sizes from previous operations. When searching for data, it starts from this historical value rather than always beginning at BATCH_SIZE, significantly reducing latency when the producer operates slowly. If in lines 29 to 31 the recorded size is below BATCH_MAX, the algorithm optimistically increments batch_size by INCREMENT (typically one cache line) to probe for higher throughput when the producer accelerates. The binary search then proceeds from this adaptive starting point, halving the batch size until finding available data or reaching zero. In the dequeue function, the consumer uses this computed value to update batch_tail in line 15. This eliminates the need for manual parameter adjustment or manual calling of a publish function whilst maintaining cache line separation and preventing deadlocks. It can be observed that the producer is just executing a constant number of operations. The consumer's backtracking loop is bounded by at most log_(BATCH_SIZE) iterations since batch_size halves each

time as seen in line 40, which guarantees that this loop will end in a finite number of steps. [44]

```
Algorithm 8 B-Queue with Self-Adaptive Backtracking [44]
1: function BQUEUE_ENQUEUE(q, e)
2:
      if q.head = q.batch\_head then
                                                                                                                 ▶ No empty slots
          if q.buffer[(q.head + BATCH\_SIZE) \mod q.size] \neq NULL then
4:
                                                                                                                     ⊳ Queue full
             return -1
5:
6:
          q.batch\_head \leftarrow q.head + BATCH\_SIZE
7:
      end if
8:
       q.buffer[q.head \bmod q.size] \leftarrow e
      q.head \leftarrow q.head + 1
9:
10:
       return 0
11: end function
12:
13: function BQUEUE_DEQUEUE(q)
       if q.tail = q.batch\_tail then
                                                                                                                  ⊳ No filled slots
           batch\_tail \leftarrow \texttt{ADAPTIVE\_BACKTRACK}(q)
15:
16:
           if batch_tail = -1 then
17:
              return NULL
18:
           end if
19:
           q.batch\_tail \leftarrow batch\_tail
20:
       end if
21:
       e \leftarrow q.buffer[q.tail \bmod q.size]
22:
       q.buffer[q.tail \bmod q.size] \leftarrow \texttt{NULL}
23:
       q.tail \leftarrow q.tail + 1
24:
       return e
25: end function
26:
27: function Adaptive_backtrack(q)
28:
       batch\_size \leftarrow q.batch\_history
                                                                                                     > Start from historical value
29:
       if batch\_size < BATCH\_MAX then
30:
           batch\_size \leftarrow batch\_size + \texttt{INCREMENT}
                                                                                                                ▶ Try larger batch
31:
32:
       while batch\_size > 0 do
33:
           batch\_tail \leftarrow q.tail + batch\_size
34:
           if q.buffer[(batch\_tail-1) \mod q.size] \neq \texttt{NULL} then
              q.batch\_history \leftarrow batch\_size
35:
                                                                                                     ▶ Remember successful size
36:
              return batch_tail
37:
           end if
38:
           batch\_size \leftarrow batch\_size/2
                                                                                                                  ⊳ Binary search
39:
       end while
       return -1
41: end function
```

Dynamic Single Producer Single Consumer (dSPSC)

A dynamically space-allocating queue using a linked list with node caching to reduce memory allocation overhead, as shown in algorithm 9. Unlike bounded circular buffers like the Lamport Queue, dSPSC dynamically allocates nodes as needed, making it suitable for scenarios where the queue size cannot be predetermined. The implementation maintains a dummy head node head to ensure the producer and consumer always operate on different nodes to prevent cache line conflicts. The SPSC_Buffer (line 4, which is just a Lamport Queue) serves as

5. Analysing existing Wait-Free Data Structures and Algorithms

a node cache to recycle deallocated nodes to minimise malloc or free calls. When pushing, the producer first checks the cache for a recycled node in line 8, falling back to malloc only when the cache is empty in line 11. After setting the data and the next pointer, a memory barrier ensures correct ordering before linking the new node into the list in lines 20 to 22. The consumer checks for available data by testing if the dummy head points to a data node in line 28. Upon a successful pop, the consumer advances the head pointer so the data node becomes the new dummy and then attempts to cache the old dummy for reuse in lines 30 to 33. As one can see, the consumer and also the producer do not have any kind of loops and just carry out a small set of operations, which leads to the wait-freedom of both. The node caching is for improving performance, because reading and referencing the pointer so often causes memory accesses spread over multiple cache lines. As shown earlier, this leads to cache misses. [42]

Algorithm 9 dSPSC Operations [42] 1: **struct** Node { void* data; Node* next; } 2: Node* head; ⊳ Points to dummy node 3: Node* tail; > Points to last data node 4: SPSC_Buffer cache; ▶ Bounded cache for node recycling 6: function **ALLOCNODE** 7: Node* $n \leftarrow \text{NULL}$ 8: if cache.pop(&n) then ▶ Try cache first 9: return n10: end if 11: $n \leftarrow (Node^*)malloc(sizeof(Node))$ 12: return n13: end function 14: 15: **function** PUSH(void* data) 16: Node* $n \leftarrow allocnode()$ ⊳ Get node from cache or malloc 17: *n*->data ← data 18: n->next \leftarrow NULL 19: WMB() ▶ Write Memory Barrier 20: tail->next $\leftarrow n$ ▶ Link new node 21: $\mathsf{tail} \leftarrow n$ ▶ Update tail pointer 22: return true 23: end function 24: 25: **function** POP(void** data) 26: if head->next \neq NULL then ⊳ Check if data available 27: Node* $n \leftarrow$ head ⊳ Save current dummv 28: *data \leftarrow (head->next)->data ▶ Extract data 29: ▶ Advance to next node $head \leftarrow head \rightarrow next$ 30: if !cache.push(n) then > Try to recycle old dummy 31: free(n)▶ Free if cache full 32: end if 33: return true 34: end if 35: return false ▶ Queue empty 36: end function

Unbounded Single Producer Single Consumer (uSPSC)

An unbounded queue that links multiple already wait-free Lamport Queues to combine the cache efficiency of Lamport's circular buffer queues with unlimited capacity, as shown in algorithm 10. Unlike dSPSC, which uses scattered linked list nodes, uSPSC maintains spatial locality by keeping data in contiguous circular buffers whilst only linking the buffers themselves. The implementation uses two pointers: buf_w pointing to the producer's current write buffer and buf_r pointing to the consumer's current read buffer. When pushing, the producer checks if the current buffer is full in line 2, and if so, requests a new buffer from the pool via next_w () in line 3 before writing the data to buf_w. The consumer first checks if its current buffer is empty in line 10. If empty, it determines whether the queue is truly empty by comparing read and write buffer pointers in line 11. If they point to the same buffer, no more data exists. Otherwise, after rechecking emptiness to prevent race conditions in line 14, the consumer obtains the next buffer via next_r () and releases the empty buffer back to the pool for recycling in lines 15 to 17. This double-check prevents data loss when the producer writes to the current buffer between the initial emptiness check and the buffer comparison. By reusing entire buffers rather than individual nodes, uSPSC matches bounded SPSC queues' cache behaviour whilst providing unbounded capacity. The logic built on top of the wait-free Lamport queues as seen does still maintain wait-freedom, since the synchronisation is happening inside each individual Lamport queue, whilst neither the consumer nor producer gets stuck in a loop waiting for each other. [42]

Algorithm 10 uSPSC Operations [42]

```
1: function USPSC_PUSH(q, data)
      if q.buf_w.full() then
                                                                                                            ▶ Current buffer full
3:
          q.buf\_w \leftarrow q.pool.next\_w()
                                                                                                               ▶ Get new buffer
4:
      end if
      q.buf\_w.push(data)
5:
6:
      return time
7: end function
8:
9: function USPSC_POP(q, data)
10:
       if q.buf\_r.empty() then
          if q.buf\_r = q.buf\_w then
                                                                                                                 Same buffer?
12:
             \boldsymbol{return}\; \texttt{false}
                                                                                                           ▶ Queue truly empty
13:
          end if
14:
          if q.buf\_r.empty() then
                                                                                                    ▶ Recheck after comparison
15:
              tmp \leftarrow a.pool.next \ r()
16:
              q.pool.release(q.buf\_r)
                                                                                                               ▶ Recycle buffer
17:
              q.buf_r \leftarrow tmp
18:
           end if
19:
       end if
       return q.buf_r.pop(data)
21: end function
```

MultiPush Single Producer Single Consumer (mSPSC)

Reduces the ping-pong effect in Lamport's circular buffer by batching multiple elements before insertion, as shown in algorithm 11. Instead of writing elements one by one directly to the shared buffer, mSPSC accumulates items in a thread-local array batch. The producer stores incoming data in the batch array in lines 2 and 3, and when the batch reaches BATCH_SIZE in line 4, the producer calls multipush to insert all elements at once in line 5. The multipush function first calculates the final write position in line 11 and checks if sufficient space exists in line 12. As seen in lines 15 to 17, elements are written in reverse order, starting from the furthest position and working backwards. This backwards insertion creates distance between the write pointer and where the consumer is reading, ensuring they operate on different cache lines. A write memory barrier in line 18 ensures all batch writes are visible before updating the write pointer in line 19. The batch counter resets in line 20, preparing for the next batch. The flush function in lines 24 to 29 allows forcing partial batch writes when needed. Whilst adding an extra copy per element from batch to buffer, the improved cache behaviour from reduced traffic from the coherence protocol compensates for this overhead. As seen, this queue just adds extra logic on top of the Lamport queue, making it still wait-free, since BATCH_SIZE is a constant and the loops in the queue are therefore bounded. [42]

Algorithm 11 mSPSC Operations[42]

```
1: function MSPSC_PUSH(q, data)
      q.batch[q.count] \leftarrow data
3:
      q.count \leftarrow q.count + 1
      if q.count = BATCH\_SIZE then
5:
         return MULTIPUSH(q, q.batch, q.count)
6:
7:
      return time
8: end function
9:
10: function MULTIPUSH(q, batch, len)
11:
       last \leftarrow q.write + len - 1
                                                                                                      ⊳ Calculate end position
12:
       if q.slots[last \mod q.size] \neq NULL then
13:
                                                                                                           ⊳ Not enough space
         return false
14:
       end if
15:
       for i \leftarrow len - 1 downto 0 do
                                                                                                               > Reverse order
          q.slots[(q.write+i) \mod q.size] \leftarrow batch[i]
16:
17:
18.
       WMB()
                                                                                                     ➤ Ensure all writes visible
19:
       q.write \leftarrow (last + 1) \mod q.size
       q.count \leftarrow 0
20:
                                                                                                         ▶ Reset batch counter
21:
       return true
22: end function
23:
24: function FLUSH(q)
       if q.count > 0 then
25:
26:
          return MULTIPUSH(q, q.batch, q.count)
27:
       end if
28:
       return true
29: end function
```

Jayanti Petrovic Queue (JPQ) (SPSC variant)

A queue specifically for composability in larger MPSC structures, as shown in algorithm 12. Unlike traditional SPSC queues, this implementation includes readFront operations that enable observation of the queue's head element, crucial for the MPSC construction. The queue maintains a linked list where the tail always points to a dummy node. When enqueueing, the producer converts the current dummy node into a data node by writing the value in line 4. Then the producer links a new dummy node in line 5 and updates the tail pointer in line 6. This ensures the consumer never sees a partially constructed node. The Help variable in line 15 stores the dequeued value, allowing concurrent readFront_e operations to obtain valid data even after the original node is removed. The announcement mechanism prevents use-after-free errors. When the producer calls readFront_e, it writes the front node pointer to Announce in line 32, signalling the consumer not to immediately free that node. If the consumer encounters an announced node in line 17, it defers the node's deallocation to FreeLater in lines 18 to 20, ensuring the producer can safely read the node's value. The separate readFront_d operation in lines 41 to 47 is simpler since the consumer knows no concurrent dequeue can occur. This coordination enables wait-free progress whilst supporting the propagation mechanism, the process of pushing each local queue's minimum timestamp up through a binary tree to maintain a global minimum, needed for the logarithmic-time MPSC operations as seen in section 5.2.2. Wait-freedom is achieved because there are no loops in the enqueue and dequeue functions. [41]

5.2.2. Multi Producer Single Consumer (MPSC)

This is a bit more complex to implement than the SPSC case. Multiple producers can enqueue items at the same time, whilst a single consumer dequeues items. This includes implementing other strategies, such as helping and atomic primitives, to maintain wait-freedom and consistency between the producers. There are multiple approaches that are available from different papers to achieve this:

Jayanti Petrovic Queue (JPQ) (MPSC variant)

Achieves logarithmic time complexity by distributing the global queue across n local SPSC queues implemented like in section 5.2.1 and organised under a binary tree, as shown in algorithm 13. Each producer owns a dedicated local queue, eliminating producer-producer contention. When enqueueing, a producer obtains a global timestamp via LL/SC on a shared counter in lines 2 and 3, creating a unique ordering even if the SC fails, since some other producer must have incremented it. If LL/SC is not supported on the system architecture, it can be replaced with versioned CAS. The producer then inserts a timestamped pair into its local queue in line 4 and propagates this timestamp up the tree in line 5. The tree maintains the invariant that each internal node holds the minimum timestamp of its subtree. The propagate function in lines 18 to 26 walks from leaf to root, calling refresh at each node. The double refresh pattern in lines 22 to 24 ensures correctness. If the first refresh fails,

Algorithm 12 JPQ (SPSC variant) Operations [41]

```
1: function Enqueue(q, v)
      newNode \leftarrow new Node()
                                                                                                    ▶ Create new dummy node
3:
      tmp \leftarrow q.Last
                                                                                                      ⊳ Get current dummy tail
4:
      tmp.val \leftarrow v
                                                                                                > Convert dummy to data node
5:
      tmp.next \leftarrow newNode
                                                                                                            ▶ Link new dummy
6:
      q.Last \leftarrow newNode
                                                                                                          ▶ Update tail pointer
7: end function
8:
9: function DEQUEUE(q)
10:
                                                                                                               ⊳ Get head node
       tmp \leftarrow q.First
       if tmp = q.Last then
11:
                                                                                                       ▶ Only dummy remains?
12:
          return \perp
                                                                                                                ▶ Queue empty
13:
       end if
14:
       retval \leftarrow tmp.val
                                                                                                                   ⊳ Read value
15:
       q.Help \leftarrow retval
                                                                                                  ▶ Help concurrent readFront
16:
       q.First \leftarrow tmp.next
                                                                                                         ▶ Remove from queue
                                                                                               ▶ Was announced by readFront?
17:
       if tmp = q.Announce then
18:
           tmp' \leftarrow q.FreeLater
                                                                                                        ⊳ Get old deferred node
19:
          q.FreeLater \leftarrow tmp
                                                                                                          ▶ Defer current node
20:
          free(tmp')
                                                                                                       ⊳ Free old deferred node
21:
       else
22:
          free(tmp)
                                                                                                            ▶ Free immediately
23:
       end if
24:
       return retval
25: end function
26:
27: function READFRONT_E(q)
                                                                                                          ▶ Called by enqueuer
28:
       tmp \leftarrow q.First
                                                                                                           ▶ Read head pointer
29:
       if tmp = q.Last then
                                                                                                               ⊳ Queue empty?
30:
          return 1
31:
       end if
32:
                                                                                                    > Announce to prevent free
       q.Announce \leftarrow tmp
33:
       if tmp \neq q. First then
                                                                                              ► Head changed (was dequeued)?
34:
          retval \leftarrow q.Help
                                                                                                             ▶ Use helped value
35:
36:
                                                                                                                 ⊳ Read directly
          retval \leftarrow tmp.val
37:
       end if
38:
       \mathbf{return}\ retval
39: end function
40:
41: function READFRONT_D(q)

    Called by dequeuer

42:
       tmp \leftarrow q.First
43:
       if tmp = q.Last then
44:
          return \perp
45:
       end if
       return tmp.val
                                                                                                ⊳ Safe - no concurrent dequeue
47: end function
```

another process updates the node. If the second refresh also fails, that process must have read the updated children values and installed the correct minimum. The refresh function uses LL/SC in lines 28 to 33 to atomically update a node with the minimum of its children's timestamps. The consumer reads the root to find the producer with the earliest element in line 9 and then dequeues from that local queue in line 13 and propagates any changes in line 14. This design transforms the O(n) scan of all queues into $O(\log n)$ tree traversals, whilst

the space complexity remains O(n+m) where m is the number of queued items. The wait freedom is achieved by letting the producers work only in their own local queue, avoiding any contention with other producers. The dequeue is just a set of instructions without loops. [41]

```
Algorithm 13 JPQ (MPSC variant) Operations [41]
1: function ENQUEUE(q, p, v)
                                                                                                           ▶ Read timestamp
      tok \leftarrow \text{LL}(q.counter)
      SC(q.counter, tok + 1)
                                                                                                             ▶ Try increment
4:
      \mathrm{enqueue2}(q.Q[p],(v,(tok,p)))
                                                                                              \triangleright Add timestamp to local queue
      PROPAGATE(q, q.Q[p])
6: end function
8: function DEQUEUE(q, p)
9.
      [t, id] \leftarrow \text{read}(q.T.root)
                                                                                                          ⊳ Get min producer
10:
       if id = \bot then
11:
          return 🕹
12:
       end if
13:
       ret \leftarrow \text{dequeue2}(q.Q[id])
14:
       PROPAGATE(q, q.Q[id])
15:
       return ret.val
16: end function
17.
18: function PROPAGATE(q, localQueue)
19:
       currentNode \leftarrow localQueue
20:
       repeat
21:
          currentNode \leftarrow parent(currentNode)
22:
          if \neg REFRESH(q, currentNode) then
                                                                                                                    ⊳ First try
23:
              REFRESH(q, currentNode)
                                                                                                > Second ensures correctness
24:
          end if
25:
       \mathbf{until}\ current Node = q.T.root
26: end function
27:
28: function REFRESH(q, node)
29:
       LL(node)
                                                                                                            ▶ Load-link node
30.
       stamps \leftarrow \text{read timestamps from } node's children
31:
       minT \leftarrow minimum timestamp from stamps
32:
       return SC(node, minT)
                                                                                                          33: end function
```

Drescher Queue

Uses a linked list with a dummy head node to eliminate producer contention, as shown in algorithm 14. Unlike traditional MPSC queues that require retry loops, producers complete enqueue in exactly three steps. First, the producers clear the item's next pointer in line 6, then it atomically swaps the tail pointer via FAS in line 7 and further links the previous tail to the new item in line 8. The FAS operation ensures multiple producers can enqueue concurrently without interference. The consumer reads the head and its next pointer in lines 12 and 13 and afterwards advances the head in line 17 if the queue is non-empty. Subsequently, the consumer handles the special case of the dummy node in lines 18 to 24. When the dummy is dequeued, it's immediately re-enqueued in line 19 to maintain the invariant that the queue

always contains at least one element to prevent complex empty queue conditions. The wait-freedom is achieved by the single atomic FAS for producers. [26]

```
Algorithm 14 Drescher's Wait-Free MPSC Queue Operations
1: dummy.next \leftarrow 0
2: head ← &dummv
3: tail \leftarrow \&dummy
4:
5: procedure ENQUEUE(guard, item)
6.
     item.next \leftarrow 0
                                                                                                     ▶ Clear next pointer
      prev \leftarrow FAS(guard.tail, item)
                                                                                                       ▶ Atomic swap tail
8:
      prev.next \leftarrow item
                                                                                                       ▶ Link to new item
9: end procedure
10:
11: function Dequeue(guard)
12:
      item \leftarrow guard.head
       next \leftarrow guard.head.next
13:
14:
       if next = 0 then
                                                                                                         ⊳ Empty queue?
15:
          return
16:
       end if
       guard.head \leftarrow next
17.
18:
       if item = \&dummy then
                                                                                                    ▶ Dequeued dummy?
19:
          ENQUEUE(guard, item)
                                                                                                   ▶ Re-enqueue dummy
20:
          if guard.head.next = 0 then
                                                                                                            Still empty?
21:
             return \perp
22:
          end if
23:
          guard.head \leftarrow guard.head.next
24:
          return next
25:
       end if
26:
       return item
27: end function
```

Jiffy Queue

A queue that uses a linked list of fixed-size arrays (buffers), as shown in function <code>ENQUEUE</code> (data) in algorithm 15 and in the <code>DEQUEUE</code> function in algorithm 16. Unlike other linked-list queues that allocate nodes per element, Jiffy amortises allocation overhead by storing multiple elements in each buffer. Producers use FAA on a global tail counter to reserve slots in line 2 of <code>enqueue</code>, eliminating producer-producer synchronisation except during buffer allocation. Each buffer contains an array of nodes with data and a 2-bit <code>isSet</code> flag indicating the node's state: <code>empty</code> (uninitialised), <code>set</code> (data written), or <code>handled</code> (already dequeued). When the current buffer fills, producers allocate new buffers and link them via CAS in lines 7 and 8. To reduce allocation contention, the producer obtaining the second slot in each buffer proactively allocates the next buffer in lines 21 to 26, ensuring smooth transitions between buffers. The consumer maintains a local head pointer and scans for the first non-handled element in lines 3 to 9 of <code>dequeue</code>. To ensure linearisability when producers stall: if the head element is still <code>empty</code>, the consumer scans forward to find a <code>set</code> element in line 20, then rescans backwards in line 24 to ensure no earlier element became <code>set</code> during the scan. This prevents violating FIFO ordering when a slow producer completes after a faster

one. The consumer can "fold" the queue by deleting fully-handled buffers in the middle of the list during scans, to not use too much memory even with stalled producers. This achieves wait-free progress guarantees with minimal synchronisation. Producers only need one FAA per enqueue, whilst the consumer performs no atomic operations at all, skipping still unfinished enqueues. [40]

```
Algorithm 15 Jiffy MPSC Queue Enqueue Operation [40]
1: function ENQUEUE(data)
2:
      location \leftarrow FAA(tail, 1)
                                                                                                         ▶ Reserve global index
      tempTail \leftarrow tailOfQueue
4:
      while location is in unallocated buffer do
                                                                                                          ▶ Beyond last buffer?
         if tempTail.next = NULL then
6:
             newArr \leftarrow new BufferList()
7:
             if CAS(tempTail.next, NULL, newArr) then
8:
                CAS(tailOfQueue, tempTail, newArr)
9:
             else
10:
                 delete newArr
                                                                                                   \triangleright Another thread succeeded
11:
              end if
           end if
12:
13:
           tempTail \leftarrow tailOfQueue
                                                                                                          ▶ Move to new buffer
       end while
14:
       \textbf{while } location \text{ not in } tempTail \text{'s buffer } \textbf{do}
15:
                                                                                                   ▶ Location in earlier buffer?
16:
          tempTail \leftarrow tempTail.prev
                                                                                                              ▶ Walk backward
17:
       end while
       index \leftarrow location - tempTail.startIndex
                                                                                                           ⊳ Buffer-local index
18:
19:
       tempTail.buffer[index].data \leftarrow data
20:
       tempTail.buffer[index].isSet \leftarrow SET
                                                                                                               ▶ Mark as readv
21:
       if index = 1 AND tempTail is last buffer then
                                                                                                                 ⊳ Second slot?
22:
           newArr \leftarrow new BufferList()
                                                                                                         ▶ Proactive allocation
23:
           if NOT CAS(tempTail.next, NULL, newArr) then
24:
              delete newArr
25:
           end if
       end if
26.
27: end function
```

DQueue

Combines local buffering with a segmented shared queue to minimise synchronisation overhead, as shown in function <code>ENQUEUE</code> (data) in algorithm 17 and in the <code>DEQUEUE</code> function in algorithm 18. DQueue reduces contention by having producers accumulate enqueue requests in thread-local ring buffers before writing them to the shared queue in batches. Producers reserve slots using <code>FAA</code> on a global tail counter in line 7 of <code>enqueue</code>, storing both the data and the reserved cell index (<code>cid</code>) in their local buffer. Each producer maintains a buffer of <code>Request</code> structures with capacity <code>L</code>, using <code>local_head</code> and <code>local_tail</code> pointers to track buffer state. When the buffer fills, detected in line 2, the producer calls <code>dump_local_buffer</code> to flush all buffered requests. During flushing, producers write values directly to their reserved cells in line 15 without synchronisation, as each cell is exclusively owned by the reserving producer. Producers cache their current segment pointer (<code>pseg</code>) and update it when moving to newer segments in line 18. The <code>find_segment</code> function traverses the segment list and

Algorithm 16 Jiffy MPSC Queue Dequeue Operation [40]

```
1: function DEQUEUE
      n \leftarrow headOfQueue.buffer[head]
3:
      while n.isSet = HANDLED do
                                                                                                         ▶ Skip dequeued items
4:
         head \leftarrow head + 1
5:
         if end of buffer then
6:
             move to next buffer and delete current
7:
8:
         n \leftarrow headOfQueue.buffer[head]
9:
      end while
10:
       if queue is empty then
11:
          return \perp
12:
       end if
13:
       if n.isSet = SET then
                                                                                                           ▶ Ready to dequeue?
14:
          data \leftarrow n.data
15:
          n.isSet \leftarrow \text{HANDLED}
16:
          head \leftarrow head + 1
17:
          return data
       end if
18:
19:
       if n.isSet = EMPTY then
                                                                                                        ▶ Incomplete enqueue?
20:
          tempN \leftarrow Scan(find first SET element)
21:
          if no SET element found then
22:
             return \perp
23:
          end if
24:
          Rescan(n, tempN)
                                                                                                ⊳ Check for newly set elements
25:
          data \leftarrow tempN.data
26:
          tempN.isSet \leftarrow \texttt{HANDLED}
27:
          return data
28:
       end if
29: end function
30:
31: function SCAN
                                                                                                       ⊳ Find first SET element
32.
       for each element from current position do
33:
          if element.isSet = SET then
34:
             return element
35:
          end if
36:
          if entire buffer is HANDLED then
37:
              fold queue (delete buffer)
38:
          end if
39:
       end for
40:
       return NULL
41: end function
42:
43: function RESCAN(start, end)
                                                                                                > Check for ordering violations
44:
       for each element from start to end do
45:
          if element.isSet = SET then
46:
              end \leftarrow \text{element}
                                                                                                   ⊳ Found earlier SET element
47:
              restart scan from start
48:
          end if
49:
       end for
50: end function
```

allocates new segments on-demand using CAS in line 29. To maintain wait-freedom when producers stall, the consumer encountering an empty cell that should contain data, checked in line 7 of dequeue, distinguishes between an empty queue in line 8 and a pending enqueue by checking if head equals tail. For pending enqueues, help_enqueue in line 11 iterates

through all producers' local buffers from lines 19 to 31, writing any buffered values to their reserved cells in line 28. The helper skips producers that have already moved past the target segment in line 24, avoiding unnecessary work. This ensures minimal synchronisation with only one FAA per enqueue and no atomics for dequeue, and improves cache locality via batched writes that reduce false sharing and writes that directly write to known cell locations without searching. The consumer's dequeue operation has its linearisation point at line 14, where it increments the head pointer. [38]

```
Algorithm 17 DQueue MPSC Queue Enqueue Operation [38]
1: function ENQUEUE(Producer p, data)
2:
       if next(p.local\_tail) = p.local\_head then
3:
          {\tt dump\_local\_buffer}(p)
                                                                                                                     ⊳ Flush when full
4:
       end if
5:
       tail \leftarrow p.local\_tail
6:
       p.local\_buffer[tail].val \leftarrow data
       p.local\_buffer[tail].cid \leftarrow FAA(q.tail, 1)
                                                                                                                         ▶ Reserve slot
       p.local\_tail \leftarrow \mathsf{next}(p.local\_tail)
9: end function
10:
11: function DUMP_LOCAL_BUFFER(Producer p)
12:
       while p.local\_head \neq p.local\_tail do
           r \leftarrow p.local\_buffer[p.local\_head]
13:
14:
           seg \leftarrow \text{find\_segment}(p.pseg, r.cid)
           seg.cell[r.cid \bmod N] \leftarrow r.val
15:
                                                                                                                       ▶ Write in batch
16:
           p.local\_head \leftarrow \text{next}(p.local\_head)
17:
           if p.pseg \neq seg then
18:
                                                                                                             > Update segment cache
              p.pseg \leftarrow seg
19:
           end if
20:
        end while
21: end function
23: function FIND\_SEGMENT(Segment * sp, int cid)
24:
       curr \leftarrow sp
25:
        for i \leftarrow curr \rightarrow id; i < cid/N; i++ do
26:
           next \leftarrow curr \rightarrow next
27:
           if next = NULL then
28:
               new \leftarrow \mathsf{new\_segment}(i+1)
29:
               if CAS(curr \rightarrow next, NULL, new) then
30:
                  next \leftarrow new
31:
               else
                                                                                                         > Another thread succeeded
32:
                  delete new
33:
               end if
34:
           end if
35:
           curr \leftarrow next
36:
        end for
37:
        return curr
38: end function
```

5.2.3. Single Producer Multi Consumer (SPMC)

This case is trickier to implement, since now multiple reading workers have to be synchronised to read consistently without any unwanted behaviour. Multiple producers were simpler, since making producers write specific data for each is not so hard. In this case every consumer has

Algorithm 18 DQueue MPSC Queue Dequeue Operation [38]

```
1: function DEQUEUE(Consumer c)
       seg \leftarrow \text{find\_segment}(c.cseg, q.head)
3:
       if c.cseg \neq seg then
4:
                                                                                                            > Update segment cache
          c.cseg \leftarrow seg
5:
       end if
6:
       cell \leftarrow seg.cell[q.head \mod N]
       if cell = \bot then
7:
                                                                                                                         ⊳ Empty cell?
          \textbf{if } q.head = q.tail \textbf{ then}
8:
             return EMPTY
9:
10:
           else
11:
              help_enqueue()
                                                                                                            ▶ Help stalled producers
12:
           end if
13:
        end if
14:
        q.head \leftarrow q.head + 1
                                                                                                                ▶ Linearisation point
15:
        return cell
16: end function
17:
18: function HELP_ENQUEUE
19:
       for each Producer p in system do
           for each Request r in p.local_buffer do
              pos \leftarrow r.cid
21:
22:
              val \leftarrow r.val
23:
               seg \leftarrow find\_segment(p.pseg, pos)
24:
              if seg.id > pos/N then
25:
                  break
                                                                                                              > Producer moved past
26:
              end if
27:
              if seg.cell[pos \mod N] = \bot then
28:
                                                                                                                          ▶ Help write
                  seg.cell[pos \mod N] \leftarrow val
29:
               end if
30:
           end for
        end for
32: end function
```

to be synchronised so that when one item is consumed by a consumer, it cannot be consumed again from another and also the ordering has to be kept. That is most probably also the reason why only one algorithm for this contention category was found. The approach to achieve this in a wait-free manner is the following:

David Queue

Uses a two-dimensional array of Swap objects to handle the race condition where consumers overtake the producer, as shown in algorithm 19. David's queue allows the producer to detect when it has been overtaken and adapt by jumping to a fresh row. The producer maintains two persistent local variables, an enq_row (current row) and tail (next column to write) variable. During enqueue, the producer swaps the value into ITEMS [enq_row, tail] in line 10 and checks if the retrieved value is T, indicating a consumer already accessed this cell. If so, the producer jumps to the next row in lines 12 to 15, writing the value to the new row and updating the shared ROW register. This jump mechanism ensures that enqueued values are never lost. Consumers read the active row from ROW in line 22 of dequeue, then increment using Fetch and Increment (Like FAA, but incrementing) on HEAD [deq_row]

in line 23 to reserve a unique column index. They swap \top into the reserved cell in line 24, retrieving either the enqueued value or \bot (empty). The use of swap instead of plain registers is important because it allows the producer to detect consumer interference (by finding \top) and consumers to mark cells as processed. Each cell in <code>ITEMS</code> is accessed at most once by an enqueue and once by a dequeue operation. The algorithm achieves 3-bounded wait-freedom with constant-time operations. The producer completes in at most 3 steps (regular enqueue: 1 step, jump enqueue: 3 steps), whilst consumers always complete in exactly 3 steps. [25]

Algorithm 19 David's Queue Operations [25]

```
1: Shared variables:
2: HEAD: array of Fetch&Increment objects, initially 0
3: ITEMS: 2D array of Swap objects, initially \bot
4: ROW: Register, initially 0
6: Enqueuer's persistent local variables:
7: enq_row \leftarrow 0, tail \leftarrow 0
9: procedure ENQUEUE(x)
                                                                                                             > For enqueuer E only
10:
       val \leftarrow \text{Swap}(ITEMS[enq\_row, tail], x)
                                                                                                                  ▶ Try to enqueue
      if val = \top then
11:
                                                                                                          ▶ Dequeuer overtook us?
          enq\_row \leftarrow enq\_row + 1
12:
                                                                                                                 ▶ Jump to next row
13:
          tail \leftarrow 0
14:
           Swap(ITEMS[enq\_row, tail], x)
                                                                                                                 ▶ Write to new row
                                                                                                                 ▶ Publish new row
15:
           Write(ROW, enq\_row)
16:
       end if
       tail \leftarrow tail + 1
17:
       return OK
19: end procedure
20:
21: function Dequeue
                                                                                                        \triangleright For dequeuers D_1, ..., D_n
22:
       deg\ row \leftarrow Read(ROW)
                                                                                                                   ▶ Get active row
23:
       head \leftarrow \text{Fetch&Increment}(HEAD[deq\_row])
                                                                                                                 ▶ Reserve column
24:
       val \leftarrow \text{Swap}(ITEMS[deq\_row, head], \top)
                                                                                                                        ▶ Get value
25:
       if val = \bot then
                                                                                                                       ⊳ Empty cell?
26:
                                                                                                               ▶ Queue was empty
          return \varepsilon
27:
28:
           return val
                                                                                                          ▶ Return dequeued value
29:
       end if
30: end function
```

5.2.4. Multi Producer Multi Consumer (MPMC)

Finally, a look into the MPMC case can be made. Here we need to think about synchronising producer-producer contention, consumer-consumer contention and producer-consumer contention. This includes helping methods for the producer and consumer, and different atomic primitives. Multiple approaches are available to achieve this:

Kogan and Petrank's queue

Uses a priority-based helping scheme with Michael and Scott's lock-free queue from algorithm 1 as the foundation to achieve wait-freedom, as shown in algorithms 20 to 22. Threads

complete operations in bounded steps by helping at most n other slower threads. Each thread chooses a monotonically increasing phase number in line 2 of ENQUEUE in algorithm 20 and line 2 of DEQUEUE of algorithm 21, then records operation details in a shared state array in line 3. The helping mechanism in HELP from lines 15 to 26 in algorithm 22 ensures all operations with phases ≤ the current phase complete. Threads traverse the state array and invoke HELP ENQ or HELP DEQ based on pending operations in lines 19 to 23 of algorithm 22. For enqueue, threads utilise the tail update like in Michael and Scott by first appending the node via CAS in line 15 of HELP_ENQ in algorithm 20, then finally helping by updating the tail in line 36 of HELP_FINISH_ENQ. The three-step scheme ensures exactlyonce execution by first appending a node to the list in line 15, then clearing the pending flag in line 35 of HELP_FINISH_ENQ, and finally updating the tail pointer in line 36. For dequeue, threads write their ID to the degTid field of the head node in line 41 of HELP_DEQ in algorithm 21 to "lock" it logically. The consumer then updates the pending flag in line 9 of HELP_FINISH_DEQ in algorithm 22 and advances the head in line 10. Special handling for empty queues occurs in lines 20 to 25 of HELP_DEQ of algorithm 21, where threads update state with null to indicate emptiness. The phase selection using MAXPHASE in lines 41 to 50 in algorithm 20 ensures threads help all concurrent operations before returning, preventing starvation. So after a thread helps at most n other threads, the thread's own operation has been completed by a helper, or all n threads are now helping this thread, guaranteeing it completes. This achieves wait-free progress with O(n) steps per operation, where n is the number of threads, leading to an $O(n^2)$ bound. [30]

Turn Queue

Uses a novel turn-based consensus mechanism to achieve wait-freedom without requiring FAA instructions. As shown in algorithms 23 to 25, the queue maintains two arrays: enqueuers for enqueue requests and degself/deghelp for dequeue operations. Each thread has a unique index used as its thread ID (engTid or degTid). For the producers in algorithm 23, threads publish their intent by storing a node pointer in enqueuers [myIdx] in line 6 of the function ENQUEUE. The consensus mechanism uses the engTid of the tail node to determine whose turn is next. Threads scan the enqueuers array starting from position (tail->engTid + 1) % maxThreads in line 20, helping the first non-null request they find. This creates a circular turn order ensuring fairness. The algorithm guarantees that after publishing a request, at most maxThreads-1 other nodes will be enqueued first, achieving wait-free bounded progress. The enqueue operation protects the tail pointer using hazard pointers in line 12 of the ENQUEUE function, then clears any completed requests from the tail's position in lines 15 to 18. It searches for the next request to help in lines 19 to 26, attempting to append the found node via CAS in line 23. Finally, it advances the tail pointer if a node was successfully appended in line 29. The operation completes when the thread's own request has been processed (detected by checking if enqueuers [myIdx] is null in line 8). For consumers in algorithm 24, the algorithm uses a dual-array approach with degself and deqhelp to avoid excessive hazard pointer usage. Threads open a request by making

Algorithm 20 Kogan and Petrank's Queue Enqueue Operation [30]

1: **function** Enqueue(value)

```
phase \leftarrow MaxPhase + 1
                                                                                                                 ⊳ Choose phase
3:
      state[tid] \leftarrow \texttt{OpDesc}(phase, true, true, \texttt{Node}(value, tid))
      HELP(phase)
                                                                                                          ⊳ Help all ops ≤ phase
      HELP_FINISH_ENQ
                                                                                                           ⊳ Ensure tail updated
6: end function
7:
8: procedure Help_Enq(tid, phase)
      while IsStillPending(tid, phase) do
10:
          last \leftarrow tail
          next \leftarrow last.next
11:
                                                                                                                  ⊳ Validate read
12:
          if last = tail then
13:
             if next = null then
                                                                                                                  ▶ Can append?
14:
                 if IsStillPending(tid, phase) then
15:
                    if CAS(last.next, null, state[tid].node) then
16:
                        Help_Finish_Enq
17:
                        return
18:
                    end if
19:
                 end if
20:
                                                                                                        ▶ Help pending enqueue
21:
                 HELP_FINISH_ENQ
22:
              end if
          end if
23:
24:
       end while
25: end procedure
26:
27: procedure Help_Finish_Enq
28:
       last \leftarrow tail
29:
       next \leftarrow last.next
30:
      if next \neq null then
31:
          tid \leftarrow next.enqTid
                                                                                                        > Thread that owns node
32.
          desc \leftarrow state[tid]
33:
          if last = tail and state[tid].node = next then
34:
              newDesc \leftarrow \texttt{OpDesc}(state[tid].phase, false, true, next)
35:
              CAS(state[tid], desc, newDesc)
                                                                                                                ▶ Clear pending
36:
                                                                                                                    ⊳ Update tail
              CAS(tail, last, next)
37:
          end if
38:
       end if
39: end procedure
40:
41: function MaxPhase
42:
       max \leftarrow -1
43:
       for i \leftarrow 0 to NUM\_THREADS-1 do
44:
          phase \leftarrow state[i].phase
45:
          if phase > max then
46:
             max \leftarrow phase
          end if
47:
48:
       end for
49:
       return max
```

deqself[myIdx] equal to deqhelp[myIdx] in lines 4 and 5 of the DEQUEUE function. The turn order follows the deqTid of the head node. When assigning nodes, threads use CAS to set the next node's deqTid field in line 8 of SEARCHNEXT in algorithm 25. This assignment is permanent and indicates ownership. The dequeue handles empty queues through a "give-

50: end function

Algorithm 21 Kogan and Petrank's Queue Dequeue Operation [30]

```
1: function Dequeue
      phase \leftarrow MaxPhase + 1
3:
      state[tid] \leftarrow \texttt{OpDesc}(phase, true, false, null)
4:
      HELP(phase)
5:
      HELP_FINISH_DEQ
6:
      node \leftarrow state[tid].node
7:
      if node = null then
8:
         throw EmptyException
9:
      end if
10.
      return node.next.value
11: end function
12:
13: procedure HELP_DEQ(tid, phase)
       while IsStillPending(tid, phase) do
          first \leftarrow head
15:
16:
          last \leftarrow tail
17:
          next \leftarrow first.next
18:
          if first = head then
                                                                                                                 ⊳ Validate read
19:
              if first = last then
                                                                                                       > Queue might be empty
20:
                 if next = null then
                                                                                                            ➤ Confirmed empty
21:
                    desc \leftarrow state[tid]
22:
                    if last = tail and IsStillPending(tid, phase) then
23:
                       newDesc \leftarrow \texttt{OpDesc}(state[tid].phase, false, false, null)
24:
                       CAS(state[tid], desc, newDesc)
25:
                    end if
26:
                 else
                    HELP_FINISH_ENQ
27:
                                                                                                                ▶ Help enqueue
28:
                 end if
29:
              else
                                                                                                            ▶ Queue not empty
30:
                 desc \leftarrow state[tid]
31:
                 node \leftarrow desc.node
32.
                 if not IsStillPending(tid, phase) then
33:
                    break
34:
                 end if
                 if first = head and node \neq first then
35:
                     newDesc \leftarrow \texttt{OpDesc}(state[tid].phase, true, false, first)
36:
37:
                    if not CAS(state[tid], desc, newDesc) then
38:
                       continue
39:
                    end if
40:
                 end if
                 CAS(first.degTid, -1, tid)
                                                                                                                    ⊳ Lock node
41:
42:
                 HELP_FINISH_DEQ
43:
              end if
           end if
44:
45:
       end while
46: end procedure
```

up" mechanism implemented in GIVEUP (lines 28 to 44 of algorithm 25). When detecting an empty queue (head equals tail) in line 12 of DEQUEUE in algorithm 24, threads roll back their request in line 13 but must ensure no concurrent thread assigns them a node. This involves re-checking the queue state and potentially self-assigning the first node if no other requests exist (line 41 of GIVEUP). The algorithm closes requests by updating deqhelp[i] in line 18 of CASDEQANDHEAD in algorithm 25, making it differ from deqself[i]. Memory reclamation uses wait-free bounded hazard pointers integrated into the algorithm. Nodes are

Algorithm 22 Kogan and Petrank's Queue Dequeue Helping Operations [30]

```
1: procedure Help_Finish_Deq
      first \leftarrow head
3:
      next \leftarrow first.next
4:
      tid \leftarrow first.deqTid
                                                                                                       ▶ Thread that locked node
5:
      if tid \neq -1 then
6:
          desc \leftarrow state[tid]
7:
          if first = head and next \neq null then
8:
             newDesc \leftarrow \texttt{OpDesc}(state[tid].phase, false, false, state[tid].node)
9:
             CAS(state[tid], desc, newDesc)
                                                                                                                 ▶ Clear pending
10:
              CAS(head, first, next)
                                                                                                                  ▶ Advance head
11:
           end if
12:
       end if
13: end procedure
14:
15: procedure HELP(phase)
       for i \leftarrow 0 to NUM\_THREADS-1 do
17:
           desc \leftarrow state[i]
18:
           if desc.pending and desc.phase \le phase then
19:
              if desc.enqueue then
20:
                 HELP_ENQ(i, phase)
21.
              else
22:
                 HELP_DEQ(i, phase)
23:
              end if
24:
           end if
25:
       end for
26: end procedure
```

retired in line 35 of DEQUEUE in algorithm 24 only after ensuring they're no longer accessible through shared variables. The algorithm requires only one allocation per enqueued item (the node itself), achieving minimal memory overhead of $O(N_{threads})$ compared to the $O(N_{threads}^2)$ of other wait-free queues. [34]

Yang Mellor-Crummey (YMC) queue

Uses FAA and CAS combined with Kogan's and Petrank's fast-path-slow-path methodology mentioned in chapter 3 to use the advantage of lock-free algorithms if possible, whilst still maintaining wait-freedom. As shown in algorithms 26 to 29, the queue represents cells as an infinite array emulated through linked segments. Each segment contains N cells and a pointer to the next segment. The queue maintains global indices H (head) and T (tail) that are accessed using FAA, ensuring atomic increments without retry loops. For producers in algorithm 26, threads obtain a unique cell index via FAA on T in line 11. They then locate the corresponding cell using find_cell, which traverses segments and allocates new ones if needed. The fast-path attempts a simple CAS to deposit the value in line 13. If this fails, due to concurrent dequeue marking the cell unusable, the thread switches to the slow path starting at line 20. The slow-path employs a helping mechanism where threads publish enqueue requests in their handle structure in line 23. In algorithm 29, consumers help pending enqueues through $help_enq$ in line 13 when they mark cells unusable. This creates a symbiotic relationship: producers get help depositing values, whilst consumers ensure values are available to dequeue.

Algorithm 23 Turn Queue Enqueue Operation [34]

```
1: function Enqueue(item)
2:
      if item = null then throw InvalidArgument
       end if
3:
4:
       mvIdx \leftarrow GETINDEX
5:
       myNode \leftarrow \mathbf{new} \, \text{Node}(item, myIdx)
6:
       engueuers[mvIdx] \leftarrow mvNode
7:
       for i \leftarrow 0 to maxThreads - 1 do
8:
          if enqueuers[myIdx] = null then
                                                                                                              ▶ Request completed
9:
              hp.CLEAR
10:
              return
11:
           end if
12:
           ltail \leftarrow hp.ProtectPtr(kHpTail, tail)
13:
           if ltail \neq tail then continue
14:
           end if
           if \it enqueuers[ltail.enqTid] = ltail \it then
15:
                                                                                                                ▶ Clear old request
16:
              tmp \leftarrow ltail
17:
              {\tt CAS}(enqueuers[ltail.enqTid], tmp, {\tt null})
18:
19:
           for j \leftarrow 1 to maxThreads do
                                                                                                                ▶ Find next request
20:
              nodeHelp \leftarrow enqueuers[(j+ltail.enqTid) \bmod maxThreads]
21:
              if nodeHelp \neq null then
22:
                 nullnode \leftarrow null
23:
                 CAS(ltail.next, nullnode, nodeHelp)
                                                                                                                      ▶ Try append
24:
                 break
25:
              end if
26:
           end for
27:
           lnext \leftarrow ltail.next
28:
           if lnext \neq null then
                                                                                                           > Advance tail if needed
29:
              CAS(tail, ltail, lnext)
30:
           end if
31:
                                                                                                           ▶ Cleanup if not helped
32.
       enqueuers[myIdx] \leftarrow null
       hp.CLEAR
34: end function
```

For consumers in algorithm 28, threads obtain cell indices via FAA on H in line 18. The algorithm uses help_enq to secure values, which may involve helping slow-path enqueues. If a value is found, the consumer claims it using CAS on the cell's deq field in line 23. The slow-path beginning at line 30 publishes dequeue requests that helpers can satisfy by finding unclaimed values or determining the queue is empty. The algorithm maintains linearisability through careful ordering. Enqueues linearise when T moves past their cell index, whilst dequeues linearise when H moves past theirs. The helping mechanism ensures that after at most $O(n^2)$ failed attempts, all threads become helpers for a pending operation, guaranteeing completion. The slow-path is always entered after at most a thread tried the fast-path PATIENCE times (line 2), which is a defined constant. [37]

Feldman-Dechev Queue

Uses a sequence number-based mechanism with bitmarking to achieve bounded completion. As shown in algorithms 30 to 32, the ring buffer maintains two atomic counters accessed via <code>NextTailSeq</code> in line 7 of algorithm 30 and <code>NextHeadSeq</code> in line 7 of algorithm 31. Each

Algorithm 24 Turn Queue Dequeue Operation [34]

```
1: function Dequeue
      myIdx \leftarrow GETINDEX
3:
      prReq \leftarrow deqself[myIdx]
                                                                                                    ▶ Save previous request
4:
      myReq \leftarrow deqhelp[myIdx]
5:
      deqself[myIdx] \leftarrow myReq
                                                                                                             ⊳ Open request
6:
      for i \leftarrow 0 to maxThreads - 1 do
7:
         if deqhelp[myIdx] \neq myReq then break
                                                                                                         ▶ Request satisfied
8:
         end if
9:
          lhead \leftarrow hp.ProtectPtr(kHpHead, head)
10:
          if lhead \neq head then continue
11:
          end if
12:
          if lhead = tail then
                                                                                                            ▶ Queue empty
13:
             deqself[myIdx] \leftarrow prReq
                                                                                                                 ⊳ Rollback
14:
             GIVEUP(myReq, myIdx)
                                                                                                          ⊳ Check if helped
15:
             if deqhelp[myIdx] \neq myReq then
16:
                deqself[myIdx] \leftarrow myReq
17:
                break
18:
             end if
19:
             hp.Clear
20:
             return null
21:
          end if
22:
          lnext \leftarrow hp.ProtectPtr(kHpNext, lhead.next)
23:
          if lhead \neq head then continue
24:
          end if
25:
          if SearchNext(lhead, lnext) \neq NOIDX then
26:
             CasDeqAndHead(lhead, lnext, myIdx)
27:
          end if
28:
       end for
29:
       myNode \leftarrow deqhelp[myIdx]
30:
       lhead \leftarrow hp.ProtectPtr(kHpHead, head)
31:
       if lhead = head and myNode = lhead.next then
                                                                                                      \rhd \ Help \ advance \ head
32.
          CAS(head, lhead, myNode)
33:
       end if
34:
       hp.CLEAR
35:
       hp.Retire(prReq)
                                                                                                     ▶ Retire previous node
36:
       return myNode.item
37: end function
```

position in the buffer array stores either an ElemNode containing an element and sequence ID, or an EmptyNode containing only a sequence ID. For producers in algorithm 30, threads acquire a sequence ID via FAA on the tail counter in line 7. The position is determined as seqid mod capacity in line 8. In the common case, threads replace an EmptyNode with a matching or lower sequence ID with their prepared ElemNode via CAS in line 32. The algorithm handles thread delays through backoff and retry mechanisms. If a position contains an ElemNode or has a higher sequence ID than assigned, the thread breaks from the inner loop in line 36 to acquire a new sequence ID in the outer loop and attempt insertion at a new position. Bitmarking, setting a flag on a node, is used to indicate positions needing correction by delayed threads in line 20. For consumers in algorithm 31, threads similarly acquire a sequence ID via FAA on the head counter in line 7. They prepare a EmptyNode with sequence ID incremented by the buffer capacity in line 9. The dequeue succeeds when replacing an ElemNode with a matching sequence ID in line 42 via CAS. If encountering an EmptyNode

Algorithm 25 Turn Queue Dequeue Helper Functions [34]

```
1: function SEARCHNEXT(lhead, lnext)
      turn \leftarrow lhead.deqTid
3:
      for idx \leftarrow turn + 1 to turn + maxThreads do
         idDeq \leftarrow idx \mod maxThreads
4:
5:
         if deqself[idDeq] \neq deqhelp[idDeq] then continue
6:
         end if
7:
         if lnext.deqTid = NOIDX then
8:
            {\tt CAS}(lnext.deqTid, NOIDX, idDeq)
                                                                                                        ⊳ Assign node
9:
         end if
10.
         break
11:
      end for
12:
      return lnext.deqTid
13: end function
14:
15: procedure CasDeqAndHead(lhead, lnext, myIdx)
      ldeqTid \leftarrow lnext.deqTid
17:
      if ldeqTid = mvIdx then
                                                                                                           ▶ My node
18:
          deqhelp[ldeqTid] \leftarrow lnext
                                                                                                      19:
          ldeqhelp \leftarrow hp.ProtectPtr(kHpDeq, deqhelp[ldeqTid])
20:
21:
         if ldeghelp \neq lnext and lhead = head then
22:
            CAS(deghelp[ldeqTid], ldeghelp, lnext)
                                                                                                          ⊳ Help close
23:
          end if
24:
      end if
25:
      CAS(head, lhead, lnext)
                                                                                                      ▶ Advance head
26: end procedure
27:
28: procedure GIVEUP(myReq, myIdx)
29:
       lhead ← head
30:
      if deqhelp[myIdx] \neq myReq then return
                                                                                                     > Already helped
31:
      end if
32.
      if lhead = tail then return
                                                                                                         ▶ Still empty
      end if
34:
      hp.PROTECTPTR(kHpHead, lhead)
35:
      if lhead \neq head then return
36:
37:
      lnext \leftarrow hp.ProtectPtr(kHpNext, lhead.next)
38:
      if lhead \neq head then return
39:
      end if
40:
      if SearchNext(lhead, lnext) = NOIDX then
41:
         CAS(lnext.deqTid, NOIDX, myIdx)
                                                                                                         ⊳ Self-assign
42:
43:
      CASDEQANDHEAD(lhead, lnext, myIdx)
44: end procedure
```

or lower sequence ID, threads use backoff and may bitmark ElemNodes to maintain FIFO ordering in line 32. The algorithm achieves bounded completion through a progress assurance scheme. After MAX_FAILS attempts in line 11, threads post their operation to an announcement table and execute a wait-free slow path in lines 12 to 14. The helping mechanism works as follows: TryHelpAnother in lines 33 to 45 of algorithm 32 checks one entry in the announcement table per call, cycling through all threads. When an announced operation is found, helpers attempt to complete it using the Associate functions. These functions either claim and complete the operation via CAS or clean up failed attempts by replacing nodes. This

Algorithm 26 YMC Queue Enqueue Operation [37]

```
1: function Enqueue(q, h, v)
        for p \leftarrow PATIENCE downto 0 do
                                                                                                                                     ▶ Try fast-path first
3:
            if ENQ_FAST(q, h, v, \&cell_id) then
4:
                return
5:
            end if
6:
        end for
 7:
        ENQ_SLOW(q, h, v, cell_id)
                                                                                                                               ⊳ Fall back to slow-path
8: end function
10: function ENQ\_FAST(q, h, v, cid)
11:
         i \leftarrow \text{FAA}(\&q \rightarrow T, 1)
                                                                                                                                ▶ Get unique cell index
12:
         c \leftarrow \text{FIND\_Cell}(\&h \rightarrow tail, i)
                                                                                                          ▶ Locate cell, allocate segment if needed
13:
         if CAS(c.val, \perp, v) then
                                                                                                                                  ▶ Try to deposit value
14:
             return true
15:
         end if
16:
         *cid \leftarrow i
                                                                                                                    ⊳ Return cell index for slow-path
17:
         return false
18: end function
19:
20: function ENQ\_SLOW(q, h, v, cell\_id)
21:
         r \leftarrow \&h \rightarrow enq.req
22:
         r \rightarrow val \leftarrow v
                                                                                                                                          ▶ Publish value
23:
         r \rightarrow state \leftarrow (1, cell\_id)
                                                                                                                           ⊳ Set pending=1, id=cell_id
24:
         tmp\_tail \leftarrow h \rightarrow tail
25:
         repeat
26:
             i \leftarrow \text{FAA}(\&a \rightarrow T, 1)
27:
             c \leftarrow \text{FIND\_CELL}(\&tmp\_tail, i)
28:
             if CAS(c \rightarrow enq, \perp_e, r) and c.val = \perp then
                                                                                                                                            ▶ Reserve cell
29:
                 Try_To_Claim_Req(&r \rightarrow state, id, i)
                                                                                                                           ▶ Claim request for this cell
30:
                 break
31:
             end if
32:
                                                                                                                           > Until helped by dequeuer
         until \neg r \rightarrow state.pending
                                                                                                                               ⊳ Get claimed cell index
         id \leftarrow r \rightarrow state.id
34:
         c \leftarrow \texttt{FIND\_Cell}(\&h \rightarrow \textit{tail}, \textit{id})
35:
         ENQ\_COMMIT(q, c, v, id)
                                                                                                                         > Write value to claimed cell
36: end function
```

ensures every operation completes within $O(N_{threads}^2)$ steps, as all threads will eventually help any announced operation. [32]

Verma's Queue

Uses an external helper thread that works on a dedicated core to help other processes finish their work in a finite number of steps. As shown in algorithm 33, the queue maintains a state array where each worker has a dedicated slot for operation requests. The queue uses a linked list with head and tail pointers managed exclusively by the helper thread. For producers in algorithm 33, threads create a request object containing the operation type and element in line 14, then place it in their designated position in the state array via direct assignment in line 15. They wait until the helper marks the operation as completed in line 16. The algorithm achieves simplicity by delegating all queue modifications to a single helper thread, eliminating the need for complex synchronisation. For consumers in algorithm 33, threads similarly create a

Algorithm 27 YMC Queue Enqueue Help Operation [37]

```
1: function Help_Enq(q, h, c, i)
        if \neg CAS(c \rightarrow val, \bot, \top) and c \rightarrow val \neq \top then
                                                                                                                                       ▶ Value already present
3:
            return c \rightarrow val
4:
        end if
5:
        if c \rightarrow enq = \perp_e then
                                                                                                                          > No request yet, find one to help
6:
            repeat
7:
                 p \leftarrow h \rightarrow enq.peer
8:
                 r \leftarrow \&p \rightarrow enq.req
9:
                 s \leftarrow r \rightarrow state
10.
                 if h \rightarrow enq.id = 0 or h \rightarrow enq.id = s.id then
                                                                                                                               ▶ Haven't helped this request
11:
                      break
12:
                  end if
13:
                  h \rightarrow enq.id \leftarrow 0
14:
                  h \rightarrow enq.peer \leftarrow p \rightarrow next
                                                                                                                                            ▶ Move to next peer
15:
              until true
16:
              if s.pending and s.id \le i and \neg CAS(c \rightarrow enq, \bot_e, r) then
                                                                                                                                 ▶ Try to reserve cell for peer
17:
                  h \rightarrow enq.id \leftarrow s.id
                                                                                                                               > Remember we tried to help
18:
              else
19:
                                                                                                                                      ⊳ Peer doesn't need help
                 h \rightarrow enq.peer \leftarrow p \rightarrow next
20:
              end if
21:
             if c \rightarrow enq = \perp_e then
22:
                 CAS(c \rightarrow enq, \perp_e, \top_e)
                                                                                                                      ▶ Mark no enqueue will use this cell
23:
              end if
24:
         end if
25:
         if c \rightarrow enq = \top_e then
                                                                                                                               ⊳ No enqueue will fill this cell
26:
             return (q \rightarrow T \le i ? EMPTY : T)
                                                                                                                                 ▶ Check if queue was empty
27:
         end if
28:
                                                                                                                                  ▶ Cell has enqueue request
         r \leftarrow c \rightarrow eng
29:
         s \leftarrow r \rightarrow state
30:
         v \leftarrow r \rightarrow val
31:
         if s.id > i then
                                                                                                                           > Request unsuitable for this cell
32.
             if c \rightarrow val = \top and q \rightarrow T \le i then
33:
                 return EMPTY
34:
              end if
35:
         else if Try_To_Claim_Req(&r \rightarrow state, s.id, i) or (s = (0, i) \text{ and } c \rightarrow val = \top) then
36:
                                                                                                                                     ▶ Help commit the value
              ENQ\_COMMIT(q, c, v, i)
37:
         end if
38:
         return c \rightarrow val
39: end function
```

dequeue request in line 3 and place it in their state array slot in line 4. They wait for completion in line 5, after which the dequeued element is available in the request object in line 9. The helper thread in algorithm 33 continuously traverses the state array in a round-robin fashion in lines 24 to 52. When encountering an enqueue request, it creates a new node in line 29, appends it to the tail in line 30, and updates the tail reference in line 31. For dequeue requests, the helper checks if the queue is empty in line 35 and removes the head element in lines 39 and 40. The helper then updates the request with the dequeued value in line 44. This achieves bounded completion of every process as each operation completes within O(N) steps, where N is the number of workers, since the helper visits each state array position in fixed order. [36]

Algorithm 28 YMC Queue Dequeue Operation [37]

```
1: function Dequeue(q, h)
        for p \leftarrow PATIENCE downto 0 do
                                                                                                                                    ▶ Try fast-path first
3:
            v \leftarrow \text{DEQ\_FAST}(q, h, \&cell\_id)
4:
            if v \neq T then break
5:
            end if
6:
        end for
7:
                                                                                                                                      ⊳ Fast-path failed
        if v = \top then
8:
           v \leftarrow \text{DEQ\_SLow}(q, h, cell\_id)
                                                                                                                                        ▶ Use slow-path
9:
        end if
10:
        if v \neq \text{EMPTY} then
                                                                                                                     ⊳ Got value, help peer dequeue
11:
             \text{HELP\_DEQ}(q, h, h \rightarrow deq.peer)
12:
             h \rightarrow deq.peer \leftarrow h \rightarrow deq.peer \rightarrow next
13:
         end if
14:
         return \nu
15: end function
16:
17: function DEQ_FAST(q, h, id)
                                                                                                                                ⊳ Get unique cell index
18:
        i \leftarrow \text{FAA}(\&q \rightarrow H, 1)
         c \leftarrow \texttt{FIND\_Cell}(\&h \rightarrow head, i)
19:
20:
         v \leftarrow \text{Help\_Enq}(q, h, c, i)
                                                                                                                     ▶ Try to get/help produce value
21:
         if \nu = \texttt{EMPTY} then return \texttt{EMPTY}
22:
         end if
23:
         if v \neq \top and CAS(c \rightarrow deq, \bot_d, \top_d) then
                                                                                                                                      ▶ Claim the value
24:
            return v
25:
         end if
26:
         *id \leftarrow i
                                                                                                                   ▶ Return cell index for slow-path
27:
         return \top
28: end function
29:
30: function Deq_Slow(q, h, cid)
        r \leftarrow \&h \rightarrow deq.req
31:
        r \to id \leftarrow cid
32.
                                                                                                                                        ⊳ Set request ID
                                                                                                                           ▶ Publish pending request
       r \rightarrow state \leftarrow (1, cid)
34:
       HELP_DEQ(q, h, h)
                                                                                                                       ⊳ Help complete own request
                                                                                                                      ⊳ Get index where value found
35:
        i \leftarrow r \rightarrow state.idx
36:
         c \leftarrow \text{FIND\_Cell}(\&h \rightarrow head, i)
37:
         v \leftarrow c \rightarrow val
38:
         ADVANCE_END_FOR_LINEARIZABILITY(&q \rightarrow H, i+1)
                                                                                                                                ▶ Ensure linearisability
         return (\nu = \top? EMPTY : \nu)
40: end function
```

Wait-Free Circular Queue (wCQ)

The wCQ also uses the fast-path-slow-path by Kogan and Petrank methodology, where threads first attempt lock-free operations and fall back to a slow path with helping mechanisms to achieve bounded completion of threads. As shown in algorithms 34 to 36, wCQ extends the lock-free Scalable Circular Queue (sCQ) shown in algorithms 37 and 38 with a variation of Kogan and Petrank's fast-path-slow-path methodology to guarantee wait-freedom. Like sCQ, wCQ uses a ring buffer of size 2n whilst only using n entries at any time, with Head and Tail counters initialised to 2n (as in line 32 of algorithm 36). For producers in algorithm 34, threads first help others via HELP_THREADS in line 37. The fast path from lines 38 to 44 attempts sCQ's TRY_ENQ function of algorithm 37 up to MAX_PATIENCE times. If unsuccessful, the

Algorithm 29 YMC Queue Dequeue Help Operation [37]

```
1: function HELP_DEQ(q, h, helpee)
        r \leftarrow helpee \rightarrow deq.req
3:
        s \leftarrow r \rightarrow state
4:
        id \leftarrow r \rightarrow id
5:
        if \neg s.pending or s.idx < id then return
                                                                                                                              > Request complete or invalid
        end if
6:
7:
        ha \leftarrow helpee \rightarrow head
                                                                                                                  > Segment pointer for announced cells
8:
        s \leftarrow r \rightarrow state
                                                                                                                                > Re-read after getting head
9:
        prior \leftarrow id; i \leftarrow id; cand \leftarrow 0
10.
         while true do
11:
             for hc \leftarrow ha; \neg cand and s.idx = prior; do
                                                                                                                                               > Find candidate
12:
                  c \leftarrow \text{FIND\_CELL}(\&hc, + + i)
13:
                  v \leftarrow \text{Help\_Enq}(q, hc, c, i)
14:
                 if v = \text{EMPTY} or (v \neq T \text{ and } c \rightarrow deq = \bot_d) then
                                                                                                                                            ⊳ Found candidate
15:
                      cand \leftarrow i
16:
                                                                                                                                        ▶ Check if announced
17:
                      s \leftarrow r \rightarrow state
18:
                  end if
19:
              end for
20:
             if cand then
21:
                                                                                                                                  ▶ Try announce candidate
                  CAS(\&r \rightarrow state, (1, prior), (1, cand))
22:
                 s \leftarrow r \rightarrow state
             end if
23:
24:
             if \neg s.pending or r \rightarrow id \neq id then return
                                                                                                                                         ▶ Request completed
25:
              end if
26:
                                                                                                                                         ⊳ Get announced cell
              c \leftarrow \text{FIND Cell}(\&ha, s.idx)
27:
              if c \rightarrow val = \top or CAS(c \rightarrow deq, \bot_d, r) or c \rightarrow deq = r then
28:
                  \texttt{CAS}(\&r \rightarrow state, s, (0, s.idx))
                                                                                                                                           ➤ Complete request
29:
                  return
30:
              end if
31:
              prior \leftarrow s.idx
             if s.idx \ge i then
32.
                                                                                                                                  > Announced cell is ahead
33:
                  cand \leftarrow 0; i \leftarrow s.idx
                                                                                                                                                ▶ Jump forward
34:
              end if
35:
         end while
36: end function
```

slow path begins in line 45. The thread records its request in a per-thread descriptor containing the tail value, index, and sequence numbers for integrity checks in lines 46 to 53. It then calls <code>ENQUEUE_SLOW</code> in line 54, which repeatedly attempts to insert the element using collaborative synchronisation until successful. For consumers in algorithm 34, after checking for an empty queue at lines 2 to 4 and helping others at line 5, threads attempt the fast path using <code>TRY_DEQ</code> defined in lines 6 to 13 in algorithm 38. On failure, they record their dequeue request in lines 14 to 21 and call <code>DEQUEUE_SLOW</code> in line 22, which similarly uses collaborative synchronisation to ensure the dequeue completes. Results are gathered from the slow path in lines 25 to 33. The difference to the other queues is the <code>SLOW_F&A</code> operation in algorithm 35 at lines 17 to 39, which ensures all cooperative threads (helpee and helpers) increment global counters only once per iteration. The operation works in two phases as seen in line 32 where it atomically updates the global counter with a phase2 pointer using <code>DWCAS</code> (the paper mistakenly calls this <code>DCAS</code> (CAS2)), and line 35 where it clears the <code>INC</code> flag. If the system does not support <code>DWCAS</code>, algorithm 39 shows how to substitute it with <code>LL/SC</code>, which can

Algorithm 30 Feldman-Dechev Queue's Enqueue Operation [32]

```
1: function Enqueue(val)
      TRYHELPANOTHER
3:
       fails \leftarrow 0
4:
       while true do
5:
          if IsFull then return false
6:
          end if
7:
          seqid \leftarrow NextTailSeq
                                                                                                                      ⊳ FAA on tail
8:
          pos \leftarrow seqid \mod capacity
9:
          n\_node \leftarrow \mathbf{new} \, \texttt{ElemNode}(seqid, val)
10:
           while true do
              if fails++ = MAX\_FAILS then
11:
12:
                 op \leftarrow \mathbf{new} \, \text{EnqueueOp}(val)
13:
                 MAKEANNOUNCEMENT(op)
14:
                 return op.Result
15:
              end if
16:
              node \leftarrow buffer[pos].LOAD
17:
              if node.op \neq null then
                                                                                                               > Operation record
18:
                  node.op. Associate(node, \&buffer[pos])
19:
                 continue
20:
                                                                                                                      ⊳ Bitmarked
              else if IsSkipped(node) then
21:
                                                                                                                  ⊳ Get new seqid
                 break
22:
              else if node.seqid < seqid then
23:
                 BACKOFF
24:
                 if node = buffer[pos].LOAD then
25:
                     if IsEmptyNode(node) then
26:
                        if buffer[pos].CAS(node, n\_node) then
                           return true
27:
28:
                        end if
29:
                     end if
30:
                 end if
31:
              else if node.seqid \le seqid and ISEMPTYNODE(node) then
                 \textbf{if} \ buffer [pos]. CAS (node, n\_node) \ \textbf{then}
32.
33:
                     return true
34:
                 end if
35:
                                                                                             \triangleright node.seqid > seqid or ElemNode
              else
36:
                 break
                                                                                                                  ⊳ Get new seqid
37:
              end if
38:
           end while
39:
       end while
40: end function
```

then again be substituted with versioned CAS shown in section 5.2.2. This mechanism allows ENQUEUE_SLOW and DEQUEUE_SLOW to coordinate multiple threads working on the same operation, ensuring exactly one succeeds whilst others detect the completion and terminate. Progress is guaranteed through the helping mechanism. HELP_THREADS in lines 1 to 15 of algorithm 35 checks one thread per call, cycling through all threads. When finding a pending request, it calls HELP_ENQUEUE or HELP_DEQUEUE in lines 8 and 10. After MAX_PATIENCE failed attempts, all threads eventually converge to help stuck threads, ensuring wait-freedom with $O(N_{threads}^2)$ complexity. [35]

Excluded but valuable queues

The following two queues were not included because they rely on theoretical hardware primitives that are not available in current hardware. However, they are valuable to know about, since they show how the performance of wait-free queues could be improved with special hardware.

- The queue Khanchandani and Wattenhofer [49] created uses theoretical atomic primitives called half-increment and half-max. Half-increment would be an operation on a theoretical register with two values, first half and second half, that increments the first half if \leq the second half. Half-max(x) updates the second half to the maximum of its current value and x. The reason this was even introduced was to show that, when combined with CAS, the time complexity of CAS with O(n) would be reduced to $O(\sqrt{n})$, if such hardware existed.
- Bédin et al. [50] who created a queue using a theoretical atomic primitive called memory-to-memory swap, which changes two memory locations atomically with each other (so a DCAS without the compare part), to show that it would be possible to create wait-free queues as fast as lock-free queues, if the hardware existed. Whilst this is valuable to know how special hardware could improve the performance of wait-free queues, it is not relevant for this thesis, since it is not possible to implement these algorithms on current hardware.

This algorithm was not included in the thesis, because it was running too slow to create valuable benchmark results, but it is still important to mention, since it shows an interesting improvement for the time complexity of wait-free queues:

• Naderibeni and Ruppert [51] showed that it is possible to create a wait-free queue using CAS and still achieve a polylogarithmic time complexity by integrating a binary tree structure like JPQ. Later in chapter 7 it is seen that even though polylogarithmic, a binary tree structure is not suitable for IPC over shared memory.

Algorithm 31 Feldman-Dechev Queue's Dequeue Operation [32]

```
1: function DEQUEUE(&result)
2:
      TRYHELPANOTHER
3:
      fails \leftarrow 0
4:
      while true do
5:
         if ISEMPTY then return false
6:
7:
         seqid \leftarrow NextHeadSeQ
                                                                                                                 > FAA on head
8:
          pos \leftarrow seqid \mod capacity
9:
          n\_node \leftarrow \mathbf{new} \; \texttt{EmptyNode}(seqid + capacity)
10:
          while true do
11:
              if fails++=MAX\_FAILS then
12:
                 op \leftarrow \mathbf{new} DequeueOp
13:
                 MakeAnnouncement(op)
14:
                 return op.Result(result)
15:
16:
              node \leftarrow buffer[pos].LOAD
17:
              if node.op \neq null then
18:
                 node.op. Associate(node, \&buffer[pos])
19:
                 continue
20:
              else if IsSkipped(node) and IsEmptyNode(node) then
21:
                 if buffer[pos].CAS(node, n\_node) then
22:
                    break
23:
                 end if
24:
              else if seqid > node.seqid then
                                                                                                             ▶ Delayed element
25:
                 BACKOFF
26:
                 if node = buffer[pos].Load then
                    if ISEMPTYNODE(node) then
27:
28:
                        if buffer[pos].CAS(node, n_node) then
29:
                           break
30:
                       end if
31:
                    else
32:
                                                                                                                      ⊳ Bitmark
                       SetSkipped(&buffer[pos])
33:
                    end if
34:
                 end if
              \textbf{else if } seqid < node.seqid \textbf{ then}
35:
36:
                 break
                                                                                                               ⊳ Get new seqid
37:
              else
                                                                                                         \triangleright seqid = node.seqid
38:
                 if IsElemNode(node) then
39:
                    if IsSkipped(node) then
                       n\_node \leftarrow \mathsf{SetSkipped}(n\_node)
40:
41:
42:
                    \textbf{if} \ buffer [pos]. {\sf CAS} (node, n\_node) \ \textbf{then}
43:
                       result \leftarrow node.value
                       return true
44:
45:
                    end if
46:
                 else
                                                                                            > EmptyNode with matching seqid
47:
                    BACKOFF
48:
                    if node = buffer[pos].Load then
49:
                       if buffer[pos].CAS(node, n\_node) then
50:
                           break
51:
                       end if
52:
                    end if
53:
                 end if
54:
              end if
           end while
55:
       end while
57: end function
```

Algorithm 32 Feldman-Dechev Queue's Helper Functions [32]

```
1: function ENQUEUEOP::ASSOCIATE(node, address)
      success \leftarrow helper.CAS(null, node)
3:
      if success or helper.Load = node then
4:
          node.op.Store(null)
                                                                                                         ⊳ Remove op reference
5:
      else
6:
          n\_node \leftarrow \mathbf{new} \; \texttt{EmptyNode}(node.seqid)
7:
          if not address.CAS(node, n\_node) then
8:
             node \leftarrow SetSkipped(node)
9:
             if address.Load = node then
10:
                 n\_node \leftarrow SetSkipped(n\_node)
11:
                 address.CAS(node, n\_node)
              end if
12:
13:
          end if
14:
       end if
15: end function
16:
17: function DEQUEUEOP::ASSOCIATE(node, address)
       success \leftarrow helper.CAS(null, node)
19:
       if success or helper.LOAD = node then
20:
          n\_node \leftarrow \mathbf{new} \; \texttt{EmptyNode}(node.seqid + capacity)
21:
          if not address.CAS(node, n_node) then
22:
              node \leftarrow SetSkipped(node)
23:
              \textbf{if } address. \texttt{LOAD} = node \textbf{ then}
24:
                 n\_node \leftarrow SetSkipped(n\_node)
25:
                 address. {\it CAS}(node, n\_node)
26:
              end if
27:
          end if
28:
       else
           node.op. Store(null)
29:
30:
       end if
31: end function
32:
33: function TryHelpAnother
34:
                                                                          > Check announcement table and help one operation
35:
       helpIdx \leftarrow thread-local helping index
36:
       op \leftarrow announcementTable[helpIdx]
37:
       if op \neq null and op.InProgress then
38:
          if op is EnqueueOp then
39:
              WAITFREEENQUEUE(op)
40:
          else
41:
              WAITFREEDEQUEUE(op)
42:
           end if
43:
       end if
44:
       helpIdx \leftarrow (helpIdx + 1) \mod MAX\_THREADS
45: end function
```

Algorithm 33 Verma's Queue Operations [36]

```
1: function Dequeue
       id \leftarrow \mathsf{GETTHREADID}

ightharpoonup Get worker's unique ID
       req \leftarrow CREATEREQUEST(null, DEQUEUE)
                                                                                                               ▶ Create dequeue request
4:
       stateArr[id] \leftarrow req
                                                                                                       > Place request in dedicated slot
5:
       while \neg req.isCompleted do
                                                                                                             ▶ Wait for helper to process
6:
          wait
7:
       end while
8:
       stateArr[id] \leftarrow null
                                                                                                        ▶ Clear request from state array
9:
                                                                                                            > Return dequeued element
       return req.e
10: end function
11:
12: function ENQUEUE(e)
                                                                                                                ⊳ Get worker's unique ID
13:
        id \leftarrow \text{GetThreadId}
        req \leftarrow \text{CreateRequest}(e, \text{ENQUEUE})
14:
                                                                                                > Create enqueue request with element
15:
        stateArr[id] \leftarrow req
                                                                                                       > Place request in dedicated slot
                                                                                                             ➤ Wait for helper to process
16:
        while \neg req.isCompleted do
17:
           wait
18:
        end while
19:
        stateArr[id] \leftarrow null
                                                                                                        ▶ Clear request from state array
20:
        return true
21: end function
22:
23: function Helper
        id \leftarrow 0
24:

⊳ Start at first worker slot

25:
        while true do
                                                                                                               ▶ Continuous helper loop
26:

ightharpoonup Check current slot for request
           req \leftarrow stateArr[id]
27:
           if req \neq null \land \neg req.isCompleted then
                                                                                                               > Found pending request
28:
               if req.operation = ENQUEUE then
                  n \leftarrow \mathbf{new} \operatorname{Node}(req.e)
29:
                                                                                                                       ▶ Create new node
30:
                   tail.next \leftarrow n
                                                                                                                          ⊳ Append to tail
31:
                  tail \leftarrow n
                                                                                                                  ▶ Update tail reference
32:
                  size \leftarrow size + 1
33:
                  req. is Completed \leftarrow true
                                                                                                                ▶ Mark request complete
34:
               else if req.operation = DEQUEUE then
35:
                  if head.next = null then
                                                                                                                        ▶ Queue is empty
36:
                      req.e \leftarrow null
37:
                      req. is Completed \leftarrow true
38:
                  else
39:
                      n \leftarrow head.next

ightharpoonup Get first element
40:
                      head.next \leftarrow n.next
                                                                                                                   ▶ Remove from queue
41:
                      if n.next = null then
                                                                                                                     ▶ Queue now empty
42:
                         tail \leftarrow head
                                                                                                                               ⊳ Reset tail
43:
                      end if
44:
                                                                                                                 ⊳ Store dequeued value
                      req.e \leftarrow n.e
45:
                      size \leftarrow size - 1
46:
                      req. is Completed \leftarrow true
47:
                  end if
48:
               end if
49:
           end if
50:
           id \leftarrow (id + 1) \mod workers
                                                                                                              ⊳ Round-robin to next slot
        end while
51:
52: end function
```

Algorithm 34 wCQ's Operations [35] 1: function Dequeue_wCQ if LOAD(&Threshold) < 0 then 3: return Ø ▶ Empty 4: end if 5: HELP_THREADS 6: ⊳ Fast path (SCQ) 7: $count \leftarrow MAX_PATIENCE$ 8: while $--count \neq 0$ do 9: idx10: $head \leftarrow TRY_DEQ(\&idx)$ 11: **if** head = OK **then return** idx12: end if 13: end while 14: ⊳ Slow path (wCQ) 15: $r \leftarrow \&Record[TID]$ 16: $seq \leftarrow r.seq1$ 17: $r.localHead \leftarrow head$ $r.initHead \leftarrow head$ 18: 19: $r.enqueue \leftarrow \mathbf{false}$ 20: $r.seq2 \leftarrow seq$ $r.pending \leftarrow \mathbf{true}$ 21: 22: ${\tt DEQUEUE_SLOW}(head,r)$ $r.pending \leftarrow \mathbf{false}$ 23: 24: $r.seq1 \leftarrow seq + 1$ 25: ⊳ Get slow-path results 26: $h \leftarrow \text{COUNTER}(r.localHead)$ 27: $i \leftarrow \text{CACHE_REMAP}(h \mod 2n)$ 28: $Ent \leftarrow LOAD(\&Entry[j].Value)$ 29: if Ent.Cycle = Cycle(h) and $Ent.Index \neq \bot$ then 30: CONSUME(h, j, Ent)31: $\mathbf{return}\ Ent. Index$ 32: end if 33: return Ø 34: end function 35: 36: **function** Enqueue_wCQ(*index*) 37: HELP_THREADS 38: ⊳ Fast path (SCQ) 39: $count \leftarrow MAX_PATIENCE$ 40: **while** $--count \neq 0$ **do** 41: $tail \leftarrow TRY_ENQ(index)$ 42: if tail = OK then return true 43: end if 44: end while 45: ⊳ Slow path (wCQ) 46: $r \leftarrow \&Record[TID]$ 47: $seq \leftarrow r.seq1$ $r.localTail \leftarrow tail$ 48: $r.initTail \leftarrow tail$ 49: 50: $r.index \leftarrow index$ 51: $r.enqueue \leftarrow \mathbf{true}$ 52: $r.seq2 \leftarrow seq$ 53: $r.pending \leftarrow \mathbf{true}$ $ENQUEUE_SLOW(tail, index, r)$ 55: $r.pending \leftarrow \mathbf{false}$ $r.seq1 \leftarrow seq + 1$

57: end function

Algorithm 35 wCQ's Helper Functions [35]

```
1: function HELP_THREADS
      r \leftarrow \&Record[TID]
3:
       if -r.nextCheck \neq 0 then return
4:
       end if
5:
      thr \leftarrow \&Record[r.nextTid]
6:
       if thr.pending then
7:
          if thr.enqueue then
8:
              HELP_ENQUEUE(thr)
9:
          else
10:
              {\tt HELP\_DEQUEUE}(thr)
11:
           end if
12:
        end if
        r.nextCheck \leftarrow HELP\_DELAY
13:
       r.nextTid \leftarrow (r.nextTid + 1) \mod NUM\_THRDS
14:
15: \ \textbf{end function}
16:
17: function SLOW_F&A(globalp, local, v, thld)
       phase2 \leftarrow \&Record[TID].phase2
18:
19:
        repeat
20:
           cnt \leftarrow \texttt{LOAD\_GLOBAL\_HELP\_PHASE2}(globalp, local)
21:
           if cnt = \emptyset or !CAS(local, *v, cnt | INC) then
22:
               *v \leftarrow *local
23:
               if v\&FIN then return false
               end if
24:
               if !(*v\&INC) then return true
25:
26:
               end if
27:
               cnt \leftarrow \text{COUNTER}(*v)
28:
           else
29:
               *v \leftarrow cnt|INC
30:
31:
           {\tt PREPARE\_PHASE2}(phase2, local, cnt)
        \textbf{until} \ \mathsf{CAS2}(globalp, \{cnt, \textbf{null}\}, \{cnt+1, phase2\})
33:
        if thld then F&A(thld,-1)
34:
        end if
35:
        \mathsf{CAS}(local,cnt|INC,cnt)
36:
        {\it CAS2}(globalp, \{cnt+1, phase2\}, \{cnt+1, {\bf null}\})
37:
        *v \leftarrow cnt
38:
        return true
39: end function
```

Algorithm 36 wCQ's Data Structures [35]

```
1: \textbf{struct} \ phase2rec\_t \ \{
2: seq1: int = 1
3:
     *local:int
4:
     cnt: int
5:
     seq2: int = 0
6: }
7:
8: struct entpair_t {
9: Note : int = -1
10: Value : entry_t = \{ .Cycle=0, .IsSafe=1, .Enq=1, .Index=\bot \}
11: }
12:
13: Entry[2n] : entpair_t
14:
15: struct thrdrec_t {
                                                                                              ⊳ === Private Fields ===
16:
17:
      nextCheck: int = HELP\_DELAY
18:
                                                                                                         ⊳ Thread ID
      nextTid:int
19:
                                                                                              ⊳ === Shared Fields ===
20:
     phase2: phase2rec_t
                                                                                                           ⊳ Phase 2
21:
      seq1: int = 1
22:
      enqueue: bool
      pending: bool = false
24:
      localTail, initTail: int
25:
      localHead, initHead: int
26:
      index: int
27:
     seq2: int = 0
28: }
29:
30: Record[NUM\_THRDS]: thrdrec\_t
31: Threshold: int = -1
                                                                                                       ⊳ Empty wCQ
32: Tail: int = 2n, Head: int = 2n
```

Algorithm 37 Lock-free Circular Queue (SCQ): Enqueue Operations [35] *ld*: int = -1

```
1: Threshold: int = -1
                                                                                                                                            ⊳ Empty SCQ
2: Tail: int = 2n, Head: int = 2n
3:
                                                                                                      {\color{red} \rhd \ Init\ entries:\ \{.Cycle=0,\ .IsSafe=1,\ .Index=\bot\}}
4: Entry[2n] : entry_t
5:
6: function ENQUEUE_SCQ(index)
7:
        while TRY_ENQ(index) \neq OK do
8:
                                                                                                                                               ▶ Try again
9:
        end while
10: end function
11:
12: function TRY_ENQ(index)
       T \leftarrow F&A(&Tail, 1)
14:
         j \leftarrow \mathsf{CACHE}_\mathsf{REMAP}(T \bmod 2n)
15:
         E \leftarrow \texttt{LOAD}(\&Entry[j])
16:
         \textbf{if} \ E.Cycle < \texttt{CYCLE}(T) \ \textbf{and} \ (E.IsSafe \ \textbf{or} \ \texttt{Load}(\&Head) \leq T) \ \textbf{and} \ (E.Index = \bot \ \textbf{or} \ \bot_{\mathcal{C}}) \ \textbf{then}
17:
             New \leftarrow \{ \texttt{CYCLE}(T), 1, index \}
             \textbf{if} \, ! \mathsf{CAS}(\&Entry[j], E, New) \textbf{ then}
18:
                 goto 22
19:
20:
             end if
21:
             if LOAD(&Threshold) \neq 3n-1 then
22:
                 STORE(&Threshold, 3n-1)
23:
             end if
24:
             return OK
                                                                                                                                                 25:
         end if
         \mathbf{return}\ T
                                                                                                                                               ▶ Try again
27: end function
```

Algorithm 38 Lock-free Circular Queue (SCQ): Dequeue Operations [35]

```
1: function TRY_DEQ(*index)
      H \leftarrow F&A(\&Head, 1)
3:
      j \leftarrow \text{Cache}\_\text{Remap}(H \text{ mod } 2n)
      E \leftarrow \text{LOAD}(\&Entry[j])
4:
5:
      if E.Cycle = Cycle(H) then
6:
          CONSUME(H, j, E)
7:
          *index \leftarrow E.Index
8:
          return OK

⊳ Success

9:
      end if
10:
       New \leftarrow \{E.Cycle, 0, E.Index\}
11:
       if E.Index = \bot or \bot_c then
12:
          New \leftarrow \{ Cycle(H), E.IsSafe, \bot \}
13:
14:
       if E.Cycle < Cycle(H) then
15:
           if !CAS(\&Entry[j], E, New) then
16:
              goto 33
17:
           end if
           T \leftarrow \texttt{LOAD}(\& Tail)
18:
                                                                                                                             ⊳ Exit if
19:
           if T \le H + 1 then
                                                                                                                            ⊳ empty
20:
              CATCHUP(T, H + 1)
21:
           end if
22:
           F&A(&Threshold,-1)
23:
           *index \leftarrow \emptyset
                                                                                                                            ⊳ Empty
24:
           return OK
                                                                                                                           25:
       end if
26:
       if F&A(&Threshold, -1) \le 0 then
27:
           *index \leftarrow \emptyset
                                                                                                                            ▶ Empty
28:
           return OK

⊳ Success

29:
       end if
30:
       return H
                                                                                                                          ⊳ Try again
31: end function
32:
33: function DEQUEUE_SCQ
       if LOAD(&Threshold) < 0 then
34:
           return Ø
                                                                                                                            ⊳ Empty
36:
       end if
37:
       while TRY_DEQ(&idx) \neq OK do
38:

ightharpoonup Try again
39:
       end while
40:
       return idx
41: end function
42:
43: function CONSUME(h, j, e)
       OR(\&Entry[j], \{0, 0, \bot_c\})
45: end function
```

Algorithm 39 CAS2 implementation for wCQ using LL/SC [35]

```
1: \textbf{ function } CAS2\_Value(Var: entpair\_t^*, Expect: entpair\_t, New: entpair\_t)
      Prev.Value \leftarrow \text{LL}(\&Var \rightarrow Value)
       Prev.Note \leftarrow Load(\&Var \rightarrow Note)
4:
       if Prev \neq Expect then
5:
          return false
6:
       end if
7:
      return SC(\&Var \rightarrow Value, New.Value)
8: end function
9:
10: function CAS2_Note(Var: entpair\_t^*, Expect: entpair\_t, New: entpair\_t)
11:
      Prev.Note \leftarrow LL(\&Var \rightarrow Note)
12:
        Prev.Value \leftarrow Load(\&Var \rightarrow Value)
13:
       if Prev \neq Expect then
14:
           return false
15:
        end if
16:
       return SC(\&Var \rightarrow Note, New.Note)
17: end function
```

After the wait-free algorithms were identified and analysed, the next sub-goal to find the best wait-free algorithms is to implement them like already said in section 1.2. The algorithms were implemented and published in the accompanying GitHub repository in [47], where complete implementation of the algorithms can be found. This chapter will present the implementation details of the concurrent queue algorithms seen in chapter 5 in Rust, focusing on the adaptations necessary for IPC over shared memory. While the algorithmic logic of each queue has already been discussed in chapter 5, the implementation required slight deviations to support IPC over shared memory. This includes ensuring correct cache alignment, correctly implementing various atomic primitives, and correctly implementing the logic for shared memory support using short example snippets of the actual Rust implementation from the GitHub repository or some general examples. This does not include showing again the same algorithmic logic, just in an actual programming language instead of pseudocode, since that would be redundant. This chapter will give the necessary details to understand how to implement the algorithms in Rust and how to adapt them for IPC over shared memory.

6.1. Shared Memory Management for Inter-Process Communication (IPC)

IPC through shared memory requires slightly different approaches compared to multi-threaded heap-based communication. The primary constraint is that shared memory regions may be mapped at different virtual addresses in different processes, requiring all data structures to be completely position-independent. Additionally, dynamic memory allocation is not possible within shared memory regions, necessitating buffer allocation from a pre-allocated memory pool for all dynamic data structures. Threads, on the other hand, can use the process-private heap for dynamic memory allocation, whose memory layout is shared between threads on the same process, but not between processes.

6.1.1. Shared Memory Size Calculation

Each queue provides a method to calculate the exact shared memory size required. This calculation determines how much memory to allocate from the operating system. The following examples from BQueue and wCQ demonstrate both simple and complex size calculations as needed:

Listing 6.1: Shared memory size calculation methods

```
1 // From BQueue - simple calculation
pub const fn shared_size(capacity: usize) -> usize {
      mem::size_of::<Self>()
                                                           // Queue structure
          + capacity * mem::size_of::<MaybeUninit<T>>() // Data storage
4
          + capacity * mem::size_of::<AtomicBool>()
                                                           // Validity flags
5
6 }
8 // From WCQueue - complex layout calculation
9 fn layout(num_threads: usize, num_indices: usize) -> (Layout, [usize; 4])
      let ring_size = num_indices.next_power_of_two();
10
11
      let capacity = ring_size * 2;
12
13
      let root = Layout::new::<Self>();
      let (l_aq_entries, o_aq_entries) = root
14
           .extend(Layout::array::<EntryPair>(capacity).unwrap())
15
16
          .unwrap();
17
      let (l_fq_entries, o_fq_entries) = l_aq_entries
18
          .extend(Layout::array::<EntryPair>(capacity).unwrap())
19
           .unwrap();
      let (l_records, o_records) = l_fq_entries
20
          .extend(Layout::array::<ThreadRecord>(num_threads).unwrap())
21
22
           .unwrap();
      let (l_final, o_data) = l_records
23
           .extend(Layout::array::<DataEntry<T>>(num_indices).unwrap())
24
25
           .unwrap();
26
      (l_final.pad_to_align(), [o_aq_entries, o_fq_entries, o_records,
27
      → o_data])
28 }
29
30 pub fn shared_size(num_threads: usize) -> usize {
      let (_layout, _offsets) = Self::layout(num_threads, num_indices);
32
      _layout.size() // Total bytes needed for mmap
33 }
```

The size calculation accounts for all components, including alignment padding. Some queues like wCQ use Rust's Layout API shown in lines 9 to 26 to ensure proper alignment and correctly calculated offsets. For simple queues like BQueue, manual calculation like in lines 2 to 6 suffices.

6.1.2. Shared Memory Allocation

Once the required size is calculated, the following functions in listing 6.2 used in all benchmarks demonstrate how to allocate and deallocate the required shared memory regions using mmap:

Listing 6.2: Shared memory allocation using mmap

```
unsafe fn map_shared(bytes: usize) -> *mut u8 {
let ptr = libc::mmap(
```

```
ptr::null_mut(),
          bytes,
                                                      // Size from
      → shared_size()
          libc::PROT_READ | libc::PROT_WRITE,
5
          libc::MAP_SHARED | libc::MAP_ANONYMOUS, // Shared between
6
      → processes
          -1,
7
8
           0,
9
      );
      if ptr == libc::MAP_FAILED {
10
          panic!("mmap_failed:__{}", std::io::Error::last_os_error());
11
12
      ptr.cast()
13
14 }
15
16 // Cleanup function
unsafe fn unmap_shared(ptr: *mut u8, len: usize) {
      if libc::munmap(ptr.cast(), len) == -1 {
19
          panic!("munmap_failed:_{{}}", std::io::Error::last_os_error());
20
21 }
```

The MAP_SHARED flag ensures that modifications to the memory region are visible to all processes that map it. The MAP_ANONYMOUS flag creates memory not backed by a file. The bytes parameter on line 4 comes directly from the shared_size() calculation earlier.

6.1.3. Memory Layout and Initialisation

After allocating the shared memory region, the components needed by the queue implementations need to be initialised. All queue implementations follow a consistent pattern for shared memory initialisation. The initialisation function receives the pre-allocated memory pointer and organises components within that memory region. The most complex example, the WCQueue from section 5.2.4, demonstrates how multiple components are laid out in shared memory with proper alignment:

Listing 6.3: Memory layout initialisation in WCQueue

```
let aq_entries = mem.add(current_offset) as *mut EntryPair;
12
      current_offset += capacity * mem::size_of::<EntryPair>();
13
14
      // Initialise structures in-place
      ptr::write(q_ptr, Self {
15
           aq_entries_offset: current_offset,
16
          base_ptr: mem, // Store base pointer for offset calculations
17
18
           // Store offsets instead of pointers
19
      });
20
21
      &mut *q_ptr
22 }
```

The alignment calculation in line 5 ensures that each component starts at a properly aligned address. This is crucial for atomic operations, which often require natural alignment. The queue structure stores offsets relative to the base pointer rather than absolute pointers in line 16, ensuring position independence. When accessing these components later, the offset is added to the base pointer, as demonstrated in listing 6.4.

Listing 6.4: Position-independent component access

6.1.4. Node Allocation from Pre-allocated Memory Pools

Some queues as seen in chapter 5 use dynamic memory allocation within the process-private heap. For the IPC over shared memory use case of this thesis that is not possible, because in shared memory dynamic memory allocations or deallocations with malloc or free are not possible, since that allocates from process-private heaps that are not available for other processes. Therefore, all queues that would normally allocate memory dynamically have been adapted to allocate from the initialised pre-allocated memory pool. As an example, the Jiffy Queue algorithm from section 5.2.2 shows a dynamic heap allocation method to allocate new buffers to insert them into the linked list. This was adapted to IPC over shared memory in Rust by a pool allocation system with free lists that is similarly implemented for all other queues needing dynamic memory allocation, as shown in listing 6.5.

Listing 6.5: Lock-free memory pool allocation

```
let next_free = (*(free_head as *mut AtomicPtr<Node<T>>))
               .load(Ordering::Acquire);
10
11
           if self.node_array_slice_free_list_head.compare_exchange(
12
13
               free_head,
               next_free,
14
15
               Ordering::AcqRel,
               Ordering::Acquire
16
17
           ).is_ok() {
               return free_head;
18
19
      }
20
21
      // Allocate from pre-allocated pool
22
23
      let nodes_needed = self.buffer_capacity_per_array;
24
      let start_idx = self.node_arrays_next_free_node_idx
25
           .fetch_add(nodes_needed, Ordering::AcqRel);
26
      if start_idx + nodes_needed > self.node_arrays_pool_total_nodes {
27
28
          self.node_arrays_next_free_node_idx
               .fetch_sub(nodes_needed, Ordering::Relaxed);
29
          return ptr::null_mut(); // Pool exhausted
30
      }
31
32
      self.node_arrays_pool_start.add(start_idx)
33
```

This implementation maintains a free list using CAS operations in lines 12 to 16. When the free list is empty, it falls back to allocate from the pre-allocated pool seen in lines 23 and 24. The fetch_add operation atomically increments the allocation index, ensuring process-safe allocation. If the pool is exhausted, the operation fails by returning a null pointer in line 30.

6.2. Cache Line Optimisation

Processors transfer data between cores in cache line units, explained in section 5.2.1. When multiple processes access data on the same cache line, even if different variables, the cache coherence protocol causes the cache line to bounce between cores, degrading execution times.

6.2.1. Explicit Cache Line Padding

In chapter 5, multiple queues were shown that describe separating the cache line. To show how this is done in rust the BLQ explained in section 5.2.1 will be taken to show how to explicitly separate the cache lines, as shown in listing 6.6.

Listing 6.6: Cache line separation in BlqQueue

```
const CACHE_LINE_SIZE: usize = 64;
```

```
3 #[repr(C)]
4 #[cfq_attr(any(target_arch = "x86_64", target_arch = "aarch64"),
     → repr(align(64)))]
5 pub struct SharedIndices {
     pub write: AtomicUsize, // Producer's cache line
     pub read: AtomicUsize, // Consumer's cache line
7
8 }
10 #[repr(C, align(64))]
11 struct ProducerPrivate {
     12
     write_priv: usize,
                           // Producer-only write position
13
14 }
15
16 #[repr(C, align(64))]
17 struct ConsumerPrivate {
     write_shadow: usize,
                          // Local copy to avoid false sharing
19
     read_priv: usize,
                           // Consumer-only read position
```

The # [repr(C)] attribute ensures C-compatible memory layout, while

[repr(align(64))] forces the structure to start at a cache line boundary, shown in lines 4 and 10. Although SharedIndices contains only two usize values with 16 bytes total, the alignment ensures they reside in separate cache lines. The producer updates write while the consumer updates read, preventing false sharing. The shadow copies read_shadow in line 12 and write_shadow in line 18 ensure that producer and consumer work on different cache lines, preventing the cache lines from bouncing between the producer process and consumer process.

Similarly, all queues that need this kind of cache line separation use this pattern to ensure that the producer and consumer do not share cache lines, preventing false sharing, leading to cache lines bouncing between cores.

6.2.2. Manual Padding Arrays

For structures where alignment alone is insufficient, manual padding arrays provide a solution, as demonstrated in listing 6.7. This is used in all queues needing manual padding. As an example, the implementation of the David queue explained in section 5.2.3 uses manual padding.

Listing 6.7: Manual padding for exact cache line control

```
1 #[repr(C, align(64))]
2 struct FetchIncrement {
3    value: AtomicUsize,
4    _padding: [u8; CACHE_LINE_SIZE - std::mem::size_of::<AtomicUsize>()],
5 }
6
7 #[repr(C, align(64))]
```

```
8 struct Node<T> {
9     val: Option<T>,
10     next: AtomicPtr<Node<T>>,
11     _padding: [u8; CACHE_LINE_SIZE - 24], // Fill remaining cache line
12 }
```

The padding array size is calculated to fill the remainder of the cache line seen in lines 4 and 11. For FetchIncrement, the AtomicUsize occupies 8 bytes, so 56 bytes of padding complete the 64-byte cache line. This ensures each FetchIncrement instance occupies exactly one cache line, preventing false sharing in arrays of such structures, as required by the DavidQueue implementation from section 5.2.3.

6.3. Atomic Primitives Implementation

The algorithms in chapter 5 all use different kinds of atomic primitives. To implement them, rust provides a set of atomic operations with explicit memory ordering semantics, allowing control over synchronisation order. This section shows how in general the atomic operations from chapter 5 are implemented in Rust across all queues, explained with specific examples. In rust, atomic primitives can only be called on atomic types. Hence variables that are used in atomic operations must be defined as atomic types.

6.3.1. Fetch and Add (FAA)

The rust implementations of DQueue, BQueue and wCQ explained in chapter 5 are a good example to understand how to implement FAA called fetch_add(v, ordering) in rust.

Listing 6.8: Fetch-and-add with different memory orderings

In Rust, FAA is a method call on atomic types as seen in line 4. In listing 6.8, the memory ordering parameter added as the second argument after the value to add determines the synchronisation order of FAA. Ordering::Relaxed in line 7 provides no synchronisation, suitable for private counters. Ordering::AcqRel in line 4 ensures acquire semantics for the read and release semantics for the write, establishing happens-before relationships as required by the DQueue algorithm. Ordering::SeqCst in line 10 provides the strongest guarantees, ensuring a total order across all sequentially consistent operations, necessary for the complex wCQ. One simple solution would be to always use Ordering::SeqCst for all

operations, but that would reduce the execution times of the algorithms in a significant way. Consequently, it is important to analyse the algorithms from chapter 5 to understand which memory ordering is needed for which operation.

6.3.2. Compare and Swap (CAS)

The implementation of wCQ shows how to implement CAS, called compare_exchange(old_value, new_value, ordering_on_success, ordering_on_failure) in rust, as shown in listing 6.9.

Listing 6.9: Compare-and-swap variants and usage patterns

```
1 // Strong CAS with sequential consistency (from wcqueue)
2 match entry.value.compare_exchange(
3
      packed,
4
      new_packed,
      Ordering::SeqCst, // Success ordering
5
      Ordering::SeqCst,
                          // Failure ordering
6
7 ) {
8
      Ok (_) => {
          fence(Ordering::SeqCst); // Additional synchronisation
9
10
          Ok(())
11
      }
     Err(current) => {
12
          // Retry with current value
13
14
15 }
16
17 // Weak CAS for performance (general example)
18 match entry.value.compare_exchange_weak(
19
     old_value,
20
      new_value,
21
      Ordering::AcqRel,
22
      Ordering::Acquire,
23 ) {
24
      Ok (_) => {
         // do something on success
25
26
      Err(current) => {
27
      // do something on failure
28
29
      }
```

The weak variant compare_exchange_weak beginning at line 18 may fail spuriously even when the values match, but can be more efficient on some architectures. The strong variant guarantees success when values match. The two ordering parameters in lines 5 and 6 and 21 and 22 specify synchronisation order for success and failure cases respectively at lines 8 and 12. This directly implements the CAS operations described in multiple algorithms.

6.3.3. Swap Operations

Swap unconditionally replaces a value and returns the previous value, implemented as swap (new_value, ordering), as shown in listing 6.10. As an example, the David Queue and Drescher Queue is used.

Listing 6.10: Unconditional atomic swap operations

```
// From David Queue - unconditional slot update
unsafe fn swap(&self, new_val: usize) -> usize {
    self.value.swap(new_val, Ordering::AcqRel)
}

// From Drescher Queue - atomic pointer swap
let prev_tail = self.tail.swap(new_node_ptr, Ordering::AcqRel);

// From DrescherQueue - simpler FAS primitive
let prev_tail_ptr = self.tail.swap(new_node_ptr, Ordering::AcqRel);
(*prev_tail_ptr).next.store(new_node_ptr, Ordering::Release);
```

Swap operations are useful when the previous value is needed, but the update is unconditional. The DrescherQueue uses swap to implement its simple enqueue operation (line 10), atomically updating the tail pointer and then linking the previous tail to the new node, exactly as specified in the Drescher algorithm in section 5.2.2.

6.3.4. Load and Store with Memory Ordering

Simple loads and stores also require consideration of memory ordering to ensure correct synchronisation according to the algorithms in chapter 5, as demonstrated in the general example of listing 6.11.

Listing 6.11: Memory ordering for loads and stores

The acquire-release pattern is particularly important. A release store in line 9 synchronises with an acquire load in line 2 of the same location, ensuring that all writes before the release store are visible to any thread that sees the acquire load. This pattern is used in all queues to

ensure correct ordering of the producer-consumer relationship, implementing the memory barriers described in algorithms like Lamport Queue (section 5.2.1) and others.

6.3.5. Memory Fences

To still ensure correct data ordering without any memory operation, rust provides memory fences seen in the wCQ rust implementation, as shown in listing 6.12.

Listing 6.12: Explicit memory fence usage

```
1 // From WCQueue - ensuring visibility across operations
2 fence(Ordering::SeqCst);
3 let packed = entry.value.load(Ordering::SeqCst);
4 let e = EntryPair::unpack_entry(packed);
6 if condition {
     fence(Ordering::SeqCst); // Ensure all prior operations complete
      match entry.value.compare_exchange_weak(
10
       packed,
11
        new_packed,
         Ordering::SeqCst,
         Ordering::SeqCst,
15
        Ok (_) => {
           fence(Ordering::SegCst); // Ensure visibility before
16
      → proceeding
17
        }
18
      }
19 }
```

Fences ensure ordering between operations that might not otherwise synchronise. The wCQ implementation uses sequential consistency fences (lines 2, 7, 16) to ensure correctness in its complex algorithm. These kinds of fences are used in all queue implementations since for IPC this was necessary for correctness.

6.3.6. Versioned Compare and Swap (CAS) (Simulating Load-Linked and Store-Conditional (LL/SC))

Some algorithms assume LL/SC primitives, which x86-64 does not provide. The JPQ from section 5.2.2 simulates LL/SC using versioned CAS, as shown in listing 6.13.

Listing 6.13: Versioned CAS for LL/SC simulation

```
impl CompactMinInfo {
      fn to_u64(self) -> u64 {
10
          ((self.version as u64) << 32)
11
               | ((self.ts_val as u64) << 16)
12
               | ((self.ts_pid as u64) << 8)
13
               | (self.leaf_idx as u64)
14
15
16 }
17
unsafe fn cas_min_info(&self, old_compact: CompactMinInfo,
19
                          new_min_info: MinInfo) -> bool {
      // Increment version on every update
20
      let new_compact = CompactMinInfo::from_min_info(
21
22
          new_min_info,
23
          old_compact.version + 1
24
      );
25
      self.compact_min_info.compare_exchange(
26
27
          old_compact.to_u64(),
28
          new_compact.to_u64(),
          Ordering::AcqRel,
29
          Ordering::Acquire
30
31
      ).is ok()
32 }
```

The version counter in line 3 prevents the ABA problem where a value changes from A to B and back to A between observations. By incrementing the version on every update seen in line 23, even if the logical value returns to a previous state, the version ensures the CAS will fail, simulating LL/SC semantics as required by the JPQ algorithm. This is done in every queue rust implementation that requires LL/SC semantics.

6.3.7. Unsafe Blocks

Rust's memory safety guarantees prevent data races by ensuring that either multiple readers or a single writer can access data at any time. However, the wait-free algorithms in chapter 5 require bypassing these restrictions. Through the use of unsafe blocks, the programmer indicates to the Rust compiler that the block's memory safety is handled by the implementation itself. The try_enq_inner function of wCQ's Rust implementation in listing 6.14 demonstrates why unsafe blocks are necessary.

Listing 6.14: Wait-free synchronisation requiring unsafe

```
// Multiple threads may execute this concurrently
unsafe fn try_enq_inner(&self, wq: &InnerWCQ, entries_offset: usize,
index: usize) -> Result<(), u64> {

let tail = wq.tail.cnt.fetch_add(1, Ordering::AcqRel);

let j = Self::cache_remap(tail as usize, wq.capacity);
```

```
let entry = self.get_entry(wq, entries_offset, j);
               let packed = entry.value.load(Ordering::Acquire);
               let e = EntryPair::unpack_entry(packed);
10
11
               // Check if slot is available
12
               if e.cycle < Self::cycle(tail, wq.ring_size) &&</pre>
13
14
                   (e.is_safe || wq.head.cnt.load(Ordering::Acquire) <= tail)
      ∽ &&
                   (e.index == IDX_EMPTY || e.index == IDX_BOTTOM) {
15
16
17
                    // Attempt to claim slot with CAS
                   match entry.value.compare_exchange_weak(
18
                        packed,
19
                        new_packed,
20
                        Ordering::SeqCst,
21
22
                        Ordering::SeqCst,
23
24
                        Ok (_) => return Ok (()),
25
                        Err(_) => continue, // Retry
26
                    }
27
           }
28
29
30
       // get_entry uses raw pointer arithmetic
31
       unsafe fn get_entry(&self, _wq: &InnerWCQ, entries_offset: usize,
32
33
                           idx: usize) -> &EntryPair {
           let entries = self.base_ptr.add(entries_offset) as *const

→ EntryPair;

           &*entries.add(idx) // Dereference raw pointer
35
36
```

One reason for an unsafe block is raw pointer dereferencing seen in lines 32 to 34. The get_entry function performs pointer arithmetic on base_ptr and dereferences the result, which is needed for shared memory. The compiler cannot verify that the calculated address is valid or that no data races occur. The Rust compiler also does not allow concurrent access of multiple writer threads or processes. try_enq_inner beginning at line 2 is implemented so that multiple processes can simultaneously call it. Each process atomically increments the tail to get a unique position in line 4, then accesses potentially the same entry due to cache remapping in lines 5 and 7, and finally attempts to modify the entry in line 18. The Rust compiler cannot verify that this access is safe, so the developer of this implementation has to indicate to the compiler that this is safe by using an unsafe block.

6.4. Validation

Additionally, ensuring the correctness of the implemented algorithms is also part of the objective to ensure the correctness of the implemented algorithms; unit and miri tests were

performed. The unit tests validate the basic functionality of each queue, ensuring that operations like enqueue and dequeue work as expected. Miri tests were used to check for undefined behaviour in concurrent scenarios, ensuring that the algorithms behave correctly under extreme contention. This section will generally describe how the tests were implemented and what they validate, without going into the details of each test case.

6.4.1. Basic Operations

Every queue implementation was validated for fundamental operations including initialisation, push, pop, and state queries (is_empty, is_full). For example, the basic operation test pattern was implemented as shown in listing 6.15:

Listing 6.15: Basic operation test pattern

```
1 // Test empty queue state
2 assert!(queue.is_empty());
assert!(queue.pop().is_err());
5 // Test single element operations
6 queue.push(42).unwrap();
7 assert!(!queue.is_empty());
8 assert_eq! (queue.pop().unwrap(), 42);
9 assert!(queue.is_empty());
11 // Test multiple elements
12 for i in 0..10 {
13
      queue.push(i).unwrap();
14 }
15 for i in 0..10 {
      assert_eq! (queue.pop().unwrap(), i);
16
17 }
```

Lines 2 to 3 in listing 6.15 test the initial empty state and check that pop operations fail correctly on empty queues. The single-element test in lines 6 to 9 tests the state change from empty to non-empty and back, with line 8 testing that the pushed value is correctly retrieved. Lines 12 to 17 test that FIFO ordering is maintained for multiple elements.

6.4.2. Capacity and Boundary Tests

Capacity limits also had to be tested, so it can be ensured that the capacities work correctly. Special attention was given to queues with buffering mechanisms like BIFFQ and MultiPush queue, which required explicit flushing operations.

Listing 6.16: Capacity limit test pattern

```
1 // Test pushing up to capacity
2 let mut pushed = 0;
3 for i in 0..capacity {
4  match queue.push(i) {
```

```
Ok(\underline{\ }) => pushed += 1,
           Err(_) => break,
7
      }
8 }
g assert!(pushed > 0, "Should_push_at_least_one_item");
11 // Verify queue rejects items when full
assert!(!queue.available() || queue.push(999).is_err());
14 // Test space recovery after pop
15 if pushed > 0 {
16
      assert!(queue.pop().is_ok());
17
      assert!(queue.available());
18
      assert!(queue.push(888).is_ok());
19 }
```

In listing 6.16, lines 3 to 8 test filling the queue to capacity, counting successful pushes. Line 9 tests that at least one item could be pushed. Line 12 tests that a full queue either reports no available space or rejects push attempts. Lines 15 to 19 test that after removing an element, space becomes available for new items.

For queues with buffering mechanisms, additional testing was required:

Listing 6.17: Buffered queue capacity test

```
1 // BiffQ attempts to push beyond capacity
2 for i in 0..BIFFQ_CAPACITY + 100 {
      match queue.push(i) {
          Ok(_) => pushed_total += 1,
         Err(_) => {
5
             // Flush local buffer to main queue
              let _ = queue.flush_producer_buffer();
              if queue.push(i).is_err() {
                 break; // No space in main queue
10
              } else {
                 pushed_total += 1;
11
12
              }
          }
13
14
      // Periodic flushing every 32 items
15
      if i % 32 == 31 {
16
          let _ = queue.flush_producer_buffer();
17
18
20 // Final flush to ensure all buffered items are visible
21 let _ = queue.flush_producer_buffer();
23 // Verify capacity behaviour based on how full the queue got
24 if pushed_total >= BIFFQ_CAPACITY - 32 {
     // Nearly full: test pop/push with limited space
25
      assert!(queue.pop().is_ok());
```

```
for _ in 0..10 {
29
          let _ = queue.flush_producer_buffer();
30
          if queue.push(99999).is_ok() {
              break:
31
32
          let _ = queue.pop(); // Make more space
33
34
      }
35 } else {
      // Partially full: verify basic push/pop works
36
37
      assert!(queue.pop().is_ok());
38
      assert!(queue.push(99999).is_ok());
```

The BIFFQ test in listing 6.17 tests the buffering system. When push fails in line 5, line 7 flushes the local buffer to the main queue. If the retry in line 8 still fails, it means the main queue lacks space. Lines 16 to 18 periodically flush every 32 items (the buffer size). The test in lines 24 to 39 checks different behaviours based on how full the queue is: lines 24 to 33 test the case where the main queue might not have room for a complete buffer flush, while lines 35 to 39 test basic operations when the queue is only partially full.

6.4.3. Memory Alignment Verification

Since the implementations target shared memory with specific alignment requirements, tests as in listing 6.18 verified proper memory alignment for all queue structures:

Listing 6.18: Memory alignment verification test

```
1 // Allocate memory with specific alignment
2 let layout = Layout::from_size_align(size, alignment)
      .expect("Invalid_layout");
4 let ptr = unsafe { alloc_zeroed(layout) };
6 // Verify pointer alignment
7 assert_eq!(
     ptr as usize % alignment, 0,
      "Memory_not_aligned_to_{} bytes", alignment
9
10 );
11
12 // Initialise queue with aligned memory
13 let queue = unsafe {
14
      <$queue_type>::init_in_shared(ptr, capacity)
15 };
16
17 // For YangCrummeyQueue requiring 128-byte alignment
18 let queue_offset = sync_size;
19 let queue_offset_aligned = (queue_offset + 127) & !127;
20 let queue_ptr = unsafe { shm_ptr.add(queue_offset_aligned) };
21 assert_eq! (
      queue_ptr as usize % 128, 0,
22
"Queue_not_properly_aligned_to_128_bytes"
```

```
24 );
```

Lines 2 to 4 in listing 6.18 create memory with the required alignment and allocate it so that lines 7 to 10 can test that the allocation has the correct alignment using modulo arithmetic. For YMC, lines 18 and 19 calculate the aligned offset, where (offset + 127) & !127 rounds up to the next 128-byte boundary. Lines 21 to 24 test that the final queue pointer has the required 128-byte alignment.

Position-independent addressing was verified in listing 6.19 through shared memory tests:

Listing 6.19: Position-independent addressing test

```
1 // Map shared memory at arbitrary address
2 let shm_ptr = unsafe {
      libc::mmap(
3
         std::ptr::null_mut(), // Let OS choose address
4
5
          size,
         libc::PROT_READ | libc::PROT_WRITE,
6
         libc::MAP_SHARED | libc::MAP_ANONYMOUS,
          -1,
          0,
      ) as *mut u8
10
11 };
12
13 // Initialise queue - must work at any address
14 let queue = unsafe {
      Queue::init_in_shared(shm_ptr, capacity)
15
16 };
17
18 // Verify queue operates correctly regardless of base address
19 queue.push(42).unwrap();
20 assert_eq! (queue.pop().unwrap(), 42);
```

Line 4 in listing 6.19 passes null to let the OS choose the memory address and lines 6 and 7 specify shared anonymous memory for inter-process access. Lines 14 and 15 initialise the queue at any address. Lines 19 and 20 test that the queue works correctly regardless of its memory location.

Memory Pool Management

The pre-allocated memory pools of the queues were tested for correct allocation, recycling, and pool exhaustion handling:

Listing 6.20: Memory pool management test

```
1  // Test pool allocation and recycling
2  unsafe {
3     // Allocate from pool
4    let seg1: *mut Segment<i32> = queue.new_segment(1);
5    assert!(!seg1.is_null());
6    assert_eq!((*seg1).id, 1);
7
```

```
// Return to pool
       queue.release_segment_to_pool(seg1);
10
11
       // Test pool exhaustion
      let mut segments = vec![];
12
      for i in 2..segment_pool_capacity as u64 {
13
           let seg = queue.new_segment(i);
14
15
           if !seg.is_null() {
               segments.push(seg);
           } else {
17
               break; // Pool exhausted
18
19
20
      }
21
22
      // Return all to pool
      for seg in segments {
23
24
           queue.release_segment_to_pool(seg);
25
26 }
27
28 // Test free list reuse in Jiffy Queue
29 let free_head = self.node_array_slice_free_list_head
       .load(Ordering::Acquire);
30
31 if !free_head.is_null() {
      // Reuse from free list
32
      let next_free = (*(free_head as *mut AtomicPtr<Node<T>>))
33
           .load(Ordering::Acquire);
34
35
      if self.node_array_slice_free_list_head.compare_exchange(
37
          free_head,
38
          next_free,
39
          Ordering::AcqRel,
          Ordering::Acquire
40
      ).is_ok() {
41
          return free_head; // Successfully reused
42
43
       }
```

Lines 4 to 6 in listing 6.20 test basic allocation from the pool and test that the segment is properly initialised. Line 9 tests returning the segment to the pool. Lines 12 to 20 test pool exhaustion by allocating until new_segment returns null in line 18. Lines 23 to 25 test that segments can be returned to the pool. Lines 29 to 44 test the free list implementation in Jiffy Queue, where lines 36 to 41 test atomic removal of the free list head using compare_exchange. Since we only test that the allocations work and can be used, assertions were not used, since only correct behaviour is tested.

6.4.4. Concurrent Operation Tests

Concurrent tests validated the correctness of queue operations under contention. Different kinds of tests were implemented to stress the queues in various ways:

Stress Tests

In listing 6.21, high-contention scenarios were tested with multiple producers and consumers operating simultaneously:

Listing 6.21: High-contention stress test

```
1 // Stress test with multiple concurrent producers and consumers
2 let num_threads = 4;
3 let items_per_thread = 1000;
4 let produced = Arc::new(AtomicUsize::new(0));
5 let consumed = Arc::new(AtomicUsize::new(0));
6 let done = Arc::new(AtomicBool::new(false));
8 // Spawn producer threads
9 for tid in 0..num_threads / 2 {
10
      let p = Arc::clone(&produced);
11
      let handle = thread::spawn(move || {
           for i in 0..items_per_thread {
12
               let value = tid * items_per_thread + i;
13
               let mut retries = 0;
14
               while queue.push(value, tid).is_err() && retries < 1000 {</pre>
15
16
                   retries += 1;
17
                   thread::yield_now();
               if retries < 1000 {
19
20
                   p.fetch_add(1, Ordering::Relaxed);
21
22
      });
23
24
      handles.push(handle);
25 }
26
27 // Spawn consumer threads
28 for tid in num_threads / 2..num_threads {
      let c = Arc::clone(&consumed);
29
      let d = Arc::clone(&done);
30
31
      let handle = thread::spawn(move || {
           let mut consecutive_failures = 0;
32
           loop {
33
               if queue.pop(tid).is_ok() {
34
35
                   c.fetch_add(1, Ordering::Relaxed);
                   consecutive_failures = 0;
36
37
               } else {
                   consecutive_failures += 1;
                   if d.load(Ordering::Relaxed) &&
```

```
consecutive_failures > 100 {
41
                       break;
42
                   thread::yield_now();
43
               }
44
45
46
       });
47
       handles.push(handle);
48 }
50 // Let threads run under contention
51 thread::sleep(Duration::from_millis(100));
52 done.store(true, Ordering::Relaxed);
54 // Verify no items lost
155 let produced_count = produced.load(Ordering::Relaxed);
16 let consumed_count = consumed.load(Ordering::Relaxed);
      consumed_count >= produced_count * 9 / 10,
       "Should\_consume\_at\_least\_90\%\_of\_produced\_items"
60 );
```

Lines 4 to 6 in listing 6.21 create atomic counters to track production and consumption across threads. Lines 15 to 17 test retry behaviour with yield to handle temporary failures. Lines 19 and 20 only count successfully pushed items. Lines 33 to 45 test the consumer loop that continues until signalled to stop and experiences many failures in lines 39 to 41. Lines 51 and 52 signal consumers to terminate. Lines 55 to 60 test that at least 90% of the produced items were consumed.

In the following listing 6.22, correct FIFO ordering was tested:

Listing 6.22: FIFO ordering verification under stress

```
1 // Collect all dequeued items
2 let mut items = Vec::new();
3 while let Some(item) = queue.pop() {
      items.push(item);
4
5 }
7 // Verify correct count
8 assert_eq!(items.len(), NUM_PRODUCERS * ITEMS_PER_PRODUCER);
10 // Sort and verify no duplicates or missing items
items.sort();
12 for (i, &item) in items.iter().enumerate() {
      assert_eq!(item, i, "Missing_or_duplicate_items_detected");
13
14 }
16 // For strict FIFO queues, verify ordering per producer
17 for producer_id in 0..num_producers {
     let producer_items: Vec<_> = items.iter()
.filter(|\&\&x| \times / 1000 == producer_id)
```

```
collect();

// Items from same producer must maintain order
for window in producer_items.windows(2) {
    assert!(window[0] < window[1],
    "FIFOuorder_violateduforuproduceru{}", producer_id);
}
</pre>
```

Lines 2 to 5 in listing 6.22 collect all items from the queue. Lines 11 to 14 test for missing or duplicate items by checking sequential values after sorting. Lines 17 to 20 filter items by producer. Lines 23 to 26 test that items from the same producer maintain their order.

6.4.5. Inter-Process Communication (IPC) Tests

One important test is that the queues' behaviour in true IPC scenarios remains correct using process forking. These tests verify that under process contention, the queues still operate correctly, properly handle shared memory regions, and that atomic operations are visible between processes. The IPC tests followed a consistent pattern using fork() to create separate processes as seen in listing 6.23:

Listing 6.23: IPC test structure

```
1 match unsafe { fork() } {
      Ok(ForkResult::Child) => {
3
           // Producer process
           for i in 0..NUM_ITEMS {
4
               loop {
5
                   match queue.push(i) {
6
                       Ok (_) => break,
                        Err(_) => thread::yield_now(),
8
9
               }
11
           unsafe { libc::_exit(0) };
12
13
      Ok(ForkResult::Parent { child }) => {
14
           // Consumer process
15
           let mut received = Vec::new();
16
           while received.len() < NUM_ITEMS {</pre>
17
               match queue.pop() {
18
                   Ok(item) => received.push(item),
19
20
                    Err(_) => thread::yield_now(),
21
               }
22
           }
           // Verify all items received in order
23
24
           waitpid(child, None).expect("waitpid_failed");
25
26 }
```

Line 2 in listing 6.23 creates a child process that shares the queue's memory with the parent. Lines 5 to 10 test the producer's retry loop, yielding on failure in line 8. Line 12 uses <code>_exit(0)</code> to avoid cleanup handlers that might affect shared memory. Lines 17 to 21 test the consumer loop, collecting all items. Line 24 waits for the child process to complete before final verification.

6.4.6. Special Feature Validation

Algorithm-specific features required targeted validation:

Buffering Mechanisms

Queues with local buffering like BIFFQ and MultiPush were tested like in listing 6.24 for correct flush operations and visibility of buffered items:

Listing 6.24: Buffer mechanism test

```
1 // Test BiffQ flush operations
2 for i in 0..10 {
      queue.push(i).unwrap();
3
4 }
_{6} // Verify items not visible before flush
7 assert_eq! (queue.cons.shared_count.load(Ordering::Acquire), 0);
9 // Flush and verify visibility
10 let flushed = queue.flush_producer_buffer().unwrap();
n assert!(flushed > 0);
12
13 // Now items should be visible to consumer
14 for i in 0..10 {
15
      assert_eq! (queue.pop().unwrap(), i);
16 }
18 // Test automatic flush on buffer overflow
19 for i in 0..32 { // Local buffer size
20
      queue.push(i).unwrap();
21 }
22 // Should auto-flush when buffer full
23 assert_eq!(queue.local_count.load(Ordering::Relaxed), 0);
```

Lines 2 to 4 in listing 6.24 push items into the local buffer. Line 7 tests that items remain invisible to consumers before flushing. Lines 10 and 11 test explicit buffer flushing. Lines 14 to 16 test that items are now consumable in the correct order. Lines 19 to 23 test automatic flushing when the 32-item buffer fills.

Helper Thread Coordination

Verma's queue from section 5.2.4 with its helper thread requires special tests seen in listing 6.25 to verify that the helper thread functions correctly:

Listing 6.25: Helper thread coordination test

```
1 match unsafe { fork() } {
      Ok(ForkResult::Child) => {
3
          // Child runs helper thread
4
          queue.run_helper();
          std::process::exit(0);
5
6
      Ok(ForkResult::Parent { child }) => {
7
          thread::sleep(Duration::from_millis(20));
8
          // Test operations with helper
11
          assert!(queue.push(42, 0).is_ok());
          thread::sleep(Duration::from_millis(10));
12
13
14
          match queue.pop(0) {
15
              Ok(val) => assert_eq!(val, 42),
               Err(_) => panic!("Popushouldusucceeduwithuhelper"),
16
17
18
          // Stop helper and verify cleanup
19
20
          queue.stop_helper();
21
          waitpid(child, None).unwrap();
22
23 }
24
25 // Test without helper - queue should still be functional
assert!(queue.is_empty(), "Queue_operational_without_helper");
```

Line 4 in listing 6.25 starts the helper thread in a separate process. Line 8 allows time for helper initialisation. Lines 11 to 17 test that operations complete successfully with helper assistance. Line 12 provides time for the helper to process the request. Lines 20 and 21 test proper helper termination and cleanup. Finally, line 25 tests that the queue remains functional without a helper thread.

6.4.7. Miri Validation

Miri tests provided validation of memory safety in concurrent scenarios. In the test folder of the repository there are miri test files additionally to the unit test files. While structurally similar to the regular unit tests, Miri tests had to use significantly reduced parameters and simplified concurrency patterns to accommodate Miri's execution overhead. The advantage of Miri tests is that Miri simulates extreme contention leading to detect undefined behaviour easier. Miri tests will fail if undefined behaviour like data races happens.

6.4.8. Test Coverage

As we can see in fig. 6.1, a total function coverage of 81.12% and total line coverage of 70.03% was achieved, showing that most of the code paths were executed during testing. Branch coverage only lies at 44.78%, which is still fine in concurrent programming.

Figure 6.1.: Total Coverage of the Rust implementation

Filename	Function Coverage	Line Coverage	Region Coverage	Branch Coverage
<pre>mpmc/feldman_dechev_queue.rs</pre>	82.93% (34/41)	66.56% (404/607)	67.20% (635/945)	38.97% (53/136)
<pre>mpmc/kogan_petrank.rs</pre>	83.87% (26/31)	90.44% (350/387)	92.91% (577/621)	81.71% (67/82)
<pre>mpmc/turn_queue.rs</pre>	80.00% (16/20)	83.53% (284/340)	83.41% (513/615)	58.82% (40/68)
<pre>mpmc/verma_wf.rs</pre>	80.95% (17/21)	82.30% (200/243)	78.81% (331/420)	62.50% (20/32)
<pre>mpmc/wcq_queue.rs</pre>	65.22% (30/46)	42.57% (602/1414)	40.55% (912/2249)	21.69% (82/378)
<pre>mpmc/ymc_queue.rs</pre>	81.82% (27/33)	65.28% (361/553)	63.58% (611/961)	30.00% (36/120)
mpsc/dqueue.rs	94.74% (18/19)	73.70% (426/578)	78.44% (753/960)	52.44% (86/164)
<pre>mpsc/drescher_queue.rs</pre>	100.00% (12/12)	97.39% (112/115)	98.60% (211/214)	80.00% (8/10)
<pre>mpsc/jayanti_petrovic_queue.rs</pre>	86.49% (32/37)	84.98% (345/406)	88.91% (489/550)	57.69% (30/52)
<pre>mpsc/jiffy_queue.rs</pre>	90.91% (20/22)	63.45% (486/766)	65.48% (715/1092)	40.91% (90/220)
<pre>mpsc/sesd_jp_queue.rs</pre>	100.00% (6/6)	90.67% (68/75)	93.13% (122/131)	66.67% (8/12)
<pre>spmc/david_queue.rs</pre>	70.00% (14/20)	55.69% (137/246)	56.08% (212/378)	29.17% (7/24)
<pre>spsc/biffq.rs</pre>	88.89% (16/18)	85.89% (207/241)	84.81% (335/395)	76.32% (29/38)
spsc/blq.rs	85.71% (12/14)	78.05% (128/164)	77.63% (177/228)	62.50% (10/16)
spsc/bqueue.rs	64.29% (9/14)	87.59% (120/137)	88.70% (212/239)	91.67% (22/24)
spsc/dspsc.rs	77.78% (7/9)	92.45% (98/106)	93.78% (181/193)	50.00% (4/8)
spsc/ffq.rs	81.82% (9/11)	87.76% (86/98)	86.74% (157/181)	66.67% (8/12)
spsc/iffq.rs	88.24% (15/17)	87.43% (153/175)	86.64% (253/292)	81.82% (18/22)
<pre>spsc/lamport.rs</pre>	69.23% (9/13)	81.93% (68/83)	80.65% (125/155)	100.00% (4/4)
<pre>spsc/llq.rs</pre>	80.00% (8/10)	83.33% (95/114)	85.08% (154/181)	100.00% (8/8)
spsc/mspsc.rs	69.23% (9/13)	82.05% (96/117)	83.64% (184/220)	66.67% (8/12)
<pre>spsc/sesd_jp_spsc_wrapper.rs</pre>	87.50% (7/8)	92.71% (89/96)	91.37% (127/139)	75.00% (9/12)
spsc/uspsc.rs	80.00% (8/10)	91.16% (134/147)	93.26% (249/267)	65.00% (13/20)
Totals	81.12% (361/445)	70.05% (5049/7208)	70.83% (8235/11626)	44.78% (660/1474)

To complete the objective of this thesis, finally a setting needs to be built where these algorithms are used for IPC over shared memory. This setting was also used to benchmark the performance of these algorithms to identify the best performing wait-free algorithms that can be used. In the [47] the folder benches includes the setting and benchmark of these algorithms. As seen before, these algorithms were divided into 4 categories, MPMC, MPSC, SPMC and SPSC. Therefore, 4 different IPC over shared memory settings were built to analyse firstly if all algorithms work as intended and secondly to compare the performance of all algorithms. Even though the SPMC category only has one algorithm, the built setting was still interesting to check and validate if the algorithm works as intended. After identifying the best algorithm, 3 more settings were built. One setting was to see if the SPMC queue or the best performing queues of the other categories would be faster for the SPSC category. The same was done for the MPSC category by checking if the best MPMC queue or the best MPSC queue would perform better. Finally, for the SPMC category, the same was done to check if the best MPMC or SPMC queue would be better. The best SPSC queue could not be tested for higher producer or consumer numbers, since the missing helping structures and missing atomic primitives would lead to deadlocks or inconsistent data. The benchmarks were done on a system with an Intel i7-12700H x86 processor with 14 cores. The benchmarks were implemented with the help of the criterion crate, which is a benchmarking library for Rust. This chapter will show in general how the benchmark settings were implemented to understand the results later.

7.1. Benchmark Structure

All benchmarks follow a consistent architecture to ensure fair comparison between queue implementations. The benchmarks measure the time taken for producers and consumers to exchange a fixed number of items through each queue implementation using IPC over shared memory. This section explains the general benchmark structure using the MPMC benchmark as a representative example, as the same patterns apply to all queue categories. Not all lines will be explained, but the most important lines will be explained to understand the general structure of the benchmarks.

7.1.1. Benchmark Parameters and Configuration

Before examining the benchmark implementation details, it is important to understand the benchmark parameters that control the test scenarios. These constants define the scale and scope of the performance measurements, as shown in listing 7.1.

Listing 7.1: Benchmark configuration constants

```
const ITEMS_PER_PROCESS_TARGET: usize = 170_000;
const PROCESS_COUNTS_TO_TEST: &[(usize, usize)] = &[(1, 1), (2, 2), (4, 4), (6, 6)];
const MAX_BENCH_SPIN_RETRY_ATTEMPTS: usize = 100_000_000_000;
```

Line 1 sets the number of items each producer process will generate. The value of 170,000 items provides sufficient workload to measure performance while keeping individual benchmark runs reasonable in duration. Line 2 defines the producer and consumer configurations to test as tuples. The array $[\ (1,\ 1)\ ,\ (2,\ 2)\ ,\ (4,\ 4)\ ,\ (6,\ 6)\]$ tests symmetric configurations from a single producer and single consumer up to 6 producers and 6 consumers, allowing analysis of scalability. Line 3 sets the maximum spin attempts before considering an operation failed. This large value ensures that wait-free operations have sufficient opportunity to complete.

For other benchmark categories, these constants are adjusted appropriately. For example, the MPSC benchmark uses:

Listing 7.2: MPSC-specific configuration

```
const ITEMS_PER_PRODUCER_TARGET: usize = 500_000;
const PRODUCER_COUNTS_TO_TEST: &[usize] = &[1, 2, 4, 8, 14];
```

The MPSC configuration tests up to 14 producers with a single consumer, using more items per producer to ensure the consumer remains busy throughout the benchmark. Similarly, SPMC and SPSC benchmarks have their own tailored parameters to effectively measure their specific use cases.

7.1.2. Benchmark Interface Implementation

Each queue implementation must provide a uniform interface for benchmarking. This is achieved through a common trait that abstracts the queue-specific operations, as shown in listing 7.3. The following pop and push traits implement the dequeue and enqueue operations respectively for the queues.

Listing 7.3: Benchmark trait for MPMC queues

```
1 trait BenchMpmcQueue<T: Send + Clone>: Send + Sync + 'static {
      fn bench_push(&self, item: T, process_id: usize) -> Result<(), ()>;
      fn bench_pop(&self, process_id: usize) -> Result<T, ()>;
3
      fn bench_is_empty(&self) -> bool;
4
      fn bench_is_full(&self) -> bool;
5
6 }
8 // Example implementation for YangCrummeyQueue
9 impl<T: Send + Clone + 'static> BenchMpmcQueue<T> for YangCrummeyQueue<T>
      ← {
      fn bench_push(&self, item: T, process_id: usize) -> Result<(), ()> {
10
          self.enqueue(process_id, item)
11
```

```
14
       fn bench_pop(&self, process_id: usize) -> Result<T, ()> {
15
           self.dequeue(process_id)
16
17
       fn bench_is_empty(&self) -> bool {
18
           self.is_empty()
19
20
21
22
       fn bench_is_full(&self) -> bool {
23
           false // YangCrummeyQueue has unbounded capacity
24
25 }
```

The trait in lines 1 to 6 defines a common interface that all MPMC queues must implement. The process_id parameter in lines 2 and 3 allows queues to distinguish between different processes, which is necessary for some algorithms. Lines 9 to 24 show how the YMC queue maps its specific methods to the common interface. The bench_is_full method in line 23 returns false for queues with unbounded capacity. This mapping is done for all queues in the respective categories, so that the benchmarks can be run with all queues without changing the benchmark code to compare fairly.

7.1.3. Process Synchronisation Infrastructure

Benchmarking concurrent algorithms requires careful synchronisation to ensure all processes start simultaneously and coordinate their completion for fair comparisons. Two synchronisation structures manage this coordination, as shown in listing 7.4.

Listing 7.4: Process synchronisation structures

```
1 #[repr(C)]
2 struct MpmcStartupSync {
      producers_ready: AtomicU32,
      consumers_ready: AtomicU32,
      go_signal: AtomicBool,
6 }
8 impl MpmcStartupSync {
       fn new_in_shm(mem_ptr: *mut u8) -> &'static Self {
9
          let sync_ptr = mem_ptr as *mut Self;
10
           unsafe {
11
12
               ptr::write(
13
                   sync_ptr,
14
                   Self {
                       producers_ready: AtomicU32::new(0),
15
                       consumers_ready: AtomicU32::new(0),
16
                       go_signal: AtomicBool::new(false),
17
18
                   },
19
               );
20
               &*sync_ptr
```

```
22
23
24
      fn shared_size() -> usize {
           std::mem::size_of::<Self>()
25
26
27 }
28
29 #[repr(C)]
30 struct MpmcDoneSync {
31
      producers_done: AtomicU32,
32
      consumers_done: AtomicU32,
33
      total_consumed: AtomicUsize,
```

The MpmcStartupSync structure in lines 1 to 6 coordinates the startup phase. Producers increment producers_ready in line 3 when ready, consumers increment consumers_ready in line 4, and all processes wait for go_signal in line 5 before starting. The new_in_shm method in lines 9 to 22 initialises the structure directly in shared memory using placement new. The MpmcDoneSync structure in lines 29 to 34 tracks completion, with total_consumed in line 33 which is used to verify that no items were lost during the benchmark.

7.1.4. Benchmark Execution Framework

The core benchmark logic is implemented in a generic function that handles process creation, execution, and measurement, as demonstrated in listing 7.5.

Listing 7.5: Generic benchmark execution function

```
1 fn fork_and_run_mpmc_with_helper<Q, F>(
      queue_init_fn: F,
2
3
      num_producers: usize,
      num_consumers: usize,
      items_per_process: usize,
5
      needs_helper: bool,
7 ) -> Duration
8 where
      Q: BenchMpmcQueue<usize> + 'static,
9
      F: FnOnce() -> (&'static Q, *mut u8, usize),
10
11 {
12
      let total_items = num_producers * items_per_process;
13
14
      // Initialise queue in shared memory
      let (q, q_shm_ptr, q_shm_size) = queue_init_fn();
16
17
      // Allocate synchronisation structures
18
      let startup_sync_size = MpmcStartupSync::shared_size();
19
      let startup_sync_shm_ptr = unsafe { map_shared(startup_sync_size) };
      let startup_sync = MpmcStartupSync::new_in_shm(startup_sync_shm_ptr);
20
```

```
21
       let mut producer_pids = Vec::with_capacity(num_producers);
22
       let mut consumer_pids = Vec::with_capacity(num_consumers);
23
24
       // Fork producer processes
25
       for producer_id in 0..num_producers {
26
           match unsafe { fork() } {
27
28
               Ok (ForkResult::Child) => {
29
                    // Signal ready and wait for go signal
                    startup_sync.producers_ready.fetch_add(1,
30
      → Ordering::AcqRel);
                   while !startup_sync.go_signal.load(Ordering::Acquire) {
31
                        std::hint::spin_loop();
32
33
                    }
34
                    // Produce items
35
36
                    for i in 0..items_per_process {
37
                        let item_value = producer_id * items_per_process + i;
                        while q.bench_push(item_value, producer_id).is_err() {
                            std::hint::spin_loop();
39
40
41
                    }
42
                   unsafe { libc::_exit(0) };
43
44
               Ok(ForkResult::Parent { child }) => {
45
                   producer_pids.push(child);
46
47
               Err(e) => panic!("Fork_failed:_{{}}", e),
48
49
           }
50
       }
51
       // Wait for all processes to be ready
52
       while startup_sync.producers_ready.load(Ordering::Acquire) <</pre>
53
      → num_producers as u32
           || startup_sync.consumers_ready.load(Ordering::Acquire) <</pre>
54
      → num_consumers as u32
           std::hint::spin_loop();
57
58
       // Start timing and signal processes to begin
59
       let start_time = std::time::Instant::now();
60
       startup_sync.go_signal.store(true, Ordering::Release);
61
62
       // Wait for completion
63
       for pid in producer_pids {
64
65
           waitpid(pid, None).expect("waitpid_failed");
66
67
68
      start_time.elapsed()
```

69 }

The function signature in lines 1 to 10 accepts a queue initialisation function and benchmark parameters. The <code>needs_helper</code> parameter in line 6 supports queues like Verma's that require a helper thread. Line 15 initialises the queue using the provided function, which returns the queue reference and shared memory details. Lines 26 to 50 show the producer process creation where line 30 signals readiness, lines 31 to 33 implement ensures that every process waits for the go signal, and lines 36 to 41 produce items with retry logic. Lines 53 to 57 ensure all processes are ready before starting. Line 60 captures the start time immediately before signalling processes to begin in line 61. Lines 64 to 66 wait for all producer processes to complete before calculating the elapsed time in line 68.

7.1.5. Queue-Specific Benchmark Integration

Each queue type requires a specific benchmark function that integrates with the Criterion framework, as shown in listing 7.6.

Listing 7.6: Queue-specific benchmark function

```
1 fn bench_yang_crummey(c: &mut Criterion) {
2
      let mut group = c.benchmark_group("YangCrummeyMPMC_");
3
4
      for &(num_prods, num_cons) in PROCESS_COUNTS_TO_TEST {
           let items_per_process = ITEMS_PER_PROCESS_TARGET;
5
          let total_processes = num_prods + num_cons;
6
           group.bench_function(
               format!("{}P_{{}}C", num_prods, num_cons),
10
               |b: &mut Bencher| {
11
                   b.iter_custom(|_iters| {
12
      → fork_and_run_mpmc_with_helper::<YangCrummeyQueue<usize>, _>(
13
14
                                // Calculate required shared memory size
                               let bytes =
15
      YangCrummeyQueue::<usize>::shared_size(total_processes);
                               let shm_ptr = unsafe { map_shared(bytes) };
16
17
                                // Initialise queue in shared memory
18
19
                                let q = unsafe {
20
                                    YangCrummeyQueue::init_in_shared(shm_ptr,
      → total_processes)
21
                                };
22
23
                                (q, shm_ptr, bytes)
24
                           },
25
                           num_prods,
26
                           num_cons,
27
                           items_per_process,
28
                           false, // YangCrummey doesn't need helper
```

Line 2 creates a benchmark group with a descriptive name. Line 4 iterates through different producer/consumer configurations from the constant array PROCESS_COUNTS_TO_TEST. Line 9 formats the benchmark name to indicate the configuration. Lines 11 to 30 use Criterion's iter_custom method to measure custom timing, as the benchmark itself measures process execution time. The closure in lines 13 to 23 initialises the queue where line 15 calculates the exact shared memory size needed and line 16 allocates the shared memory region. After that, lines 19 to 21 initialise the queue at the allocated address.

7.1.6. Consumer Process Implementation

The consumer processes follow a similar pattern but with additional logic to handle termination and verify correctness, as shown in listing 7.7.

Listing 7.7: Consumer process implementation

```
1 // Fork consumer processes
2 for consumer_id in 0..num_consumers {
3
      match unsafe { fork() } {
4
           Ok(ForkResult::Child) => {
5
               startup_sync.consumers_ready.fetch_add(1, Ordering::AcqRel);
6
               while !startup_sync.go_signal.load(Ordering::Acquire) {
7
                    std::hint::spin_loop();
8
9
               }
10
               let mut consumed_count = 0;
11
               let target_items = total_items / num_consumers;
12
               let extra_items = if consumer_id < (total_items %</pre>
13
      → num consumers) {
14
                   1
15
               } else {
16
                   Ω
17
               };
18
               let my_target = target_items + extra_items;
               let mut consecutive_empty_checks = 0;
20
               const MAX_CONSECUTIVE_EMPTY_CHECKS: usize = 40000;
21
22
23
               while consumed_count < my_target {</pre>
24
                   match q.bench_pop(num_producers + consumer_id) {
                       Ok(_item) => {
25
```

```
consumed_count += 1;
27
                             consecutive_empty_checks = 0;
28
                        Err(_) => {
29
                            if
30
      → done_sync.producers_done.load(Ordering::Acquire)
31
                                 == num_producers as u32
32
33
                                 consecutive_empty_checks += 1;
34
                                 if consecutive_empty_checks >
35
       → MAX_CONSECUTIVE_EMPTY_CHECKS {
                                     break; // Queue likely empty
36
37
                             }
38
39
                             // Backoff strategy
41
                             for _ in 0..100 {
42
                                 std::hint::spin_loop();
43
44
45
                    }
               }
46
47
               done_sync
48
49
                    .total_consumed
                    .fetch_add(consumed_count, Ordering::AcqRel);
50
51
               done_sync.consumers_done.fetch_add(1, Ordering::AcqRel);
52
53
               unsafe { libc::_exit(0) };
54
55
           Ok(ForkResult::Parent { child }) => {
               consumer_pids.push(child);
56
57
58
           Err(e) => panic!("Fork_failed_for_consumer:_{{}}", e),
59
       }
```

Lines 12 to 18 calculate each consumer's share of items, distributing any remainder among the first consumers. The main consumption loop in lines 23 to 46 implements a termination strategy where lines 25 to 27 reset the empty check counter on successful pop while lines 30 to 38 check if all producers have finished and implement a termination condition. Lines 48 to 51 atomically update the total consumed count for later verification. Line 53 uses <code>_exit</code> to avoid cleanup that might interfere with shared memory.

7.1.7. Validation

After all producer and consumer processes complete, the benchmark validates that no items were lost or double read during the concurrent operations. This validation is important for

ensuring the correctness of each queue implementation under IPC scenarios, as shown in listing 7.8.

Listing 7.8: Post-benchmark validation of results

```
1 // Wait for all processes to complete
2 for pid in producer_pids {
       waitpid(pid, None).expect("waitpid_for_producer_failed");
4 }
5
6 for pid in consumer_pids {
       waitpid(pid, None).expect("waitpid_for_consumer_failed");
8 }
10 let duration = start_time.elapsed();
11
12 // Validate that all items were consumed
13 let total_consumed = done_sync.total_consumed.load(Ordering::Acquire);
14
if total_consumed != total_items {
16
      eprintln!(
17
           "Warning_{\square}(MPMC):_{\square}Total_{\square}consumed_{\square}{}/{}_{\square}items._{\square}Q:_{\square}{},_{\square}Prods:_{\square}{},_{\square}
      → Cons: []",
18
           total_consumed,
19
           total_items,
           std::any::type_name::<Q>(),
20
21
          num_producers,
           num_consumers
22
23
      );
24 }
25
26 // Clean up shared memory regions
27 unsafe {
       if !q_shm_ptr.is_null() {
28
29
           unmap_shared(q_shm_ptr, q_shm_size);
30
31
       unmap_shared(startup_sync_shm_ptr, startup_sync_size);
       unmap_shared(done_sync_shm_ptr, done_sync_size);
32
33 }
34
35 duration
```

Lines 2 to 8 wait for all processes to complete before proceeding with validation. After that, line 13 atomically reads the total number of items consumed across all consumer processes. The validation check in lines 15 to 24 compares the consumed count against the expected total. If items are missing, line 17 prints a detailed warning that includes the actual versus expected counts in line 18, the queue type name in line 20, and the producer and consumer configuration in lines 21 and 22. This warning helps identify queue implementations that may lose items under high contention or have synchronisation issues, and to verify that the queue operates correctly under concurrent access, if the warning does not appear.

7.1.8. Benchmark Configuration

The benchmarks use Criterion's configuration options to ensure reliable measurements, as shown in listing 7.9.

Listing 7.9: Criterion benchmark configuration

```
1 fn custom_criterion() -> Criterion {
     Criterion::default()
3
         .warm_up_time(Duration::from_secs(1))
4
          .measurement_time(Duration::from_secs(4200))
          .sample_size(500)
5
6 }
8 criterion_group! {
    name = benches;
     config = custom_criterion();
     targets =
         bench_wcq_queue,
13
         bench_turn_queue,
14
         bench_kogan_petrank_queue,
15
         bench_yang_crummey
16 }
17
18 criterion_main!(benches);
```

Line 3 sets a 1-second warm-up period to stabilise the system state. Line 4 configures 4200 seconds of measurement time per benchmark. Line 5 sets 500 samples, as each sample involves creating multiple processes and produce and consume a set number of items. Lines 8 to 16 define the benchmark group with all queue implementations to test.

The same benchmark structure is applied to all benchmarks with appropriate modifications to the number of producers and consumers. This consistent approach ensures fair comparison across all implementations while accurately measuring their performance characteristics under IPC scenarios.

7.2. Benchmark Results

The benchmark results are presented for each queue category, followed by cross-category comparisons to determine the optimal wait-free data structure for different contention scenarios. All measurements represent the mean execution time in microseconds (μ s) for completing the configured workload across multiple samples. In every bench, 500 samples were taken to obtain sufficient results to compare the performance. The amount of data produced and consumed was always different for each category. The queues inside each category were always tested with the same amount of data to ensure fair comparison. The number of items used for each category is shown in the respective subsections.

7.2.1. Single Producer Single Consumer (SPSC) Queue Performance

The SPSC benchmarks evaluated 11 different queue implementations with 35,000,000 items to ensure sufficient workload for accurate measurement. As shown in table 7.1, the BLQ achieved the best performance with a mean execution time of 65,199.6 μ s.

Table 7.1.: SPSC Queue Performance Results (35,000,000 items)

Queue Implementation	Mean Time (μ s)	Relative Performance
BLQ	65,199.6	1.00x
IFFQ	124,149.9	1.90x
LLQ	147,800.2	2.27x
BIFFQ	159,429.6	2.45x
FFQ	203,053.4	3.11x
mSPSC	331,283.6	5.08x
uSPSC	418,840.0	6.42x
JPQ's SPSC Variant	626,541.9	9.61x
Lamport's Queue	957,312.6	14.68x
B-Queue	1,552,513.1	23.81x
dSPSC	2,413,354.7	37.02x

The results reveal that the cache-aware algorithms (BLQ, IFFQ, LLQ, BIFFQ) are all faster than the other approaches, demonstrating the importance of cache optimisation. The BLQ's superior performance can be attributed to its additional batching mechanism, which amortises synchronisation costs across multiple operations while maintaining cache locality.

Notably, the dynamic allocation-based queues (dSPSC, uSPSC) showed worse performance, with dSPSC being 37 times slower than BLQ. This overhead stems from the memory pool management required for shared memory compatibility, as discussed in chapter 6.

The violin plot in fig. 7.1 illustrates the distribution of execution times across all SPSC implementations. It can be observed that the Lamport queue has no consistent performance with greatly varying results. It often achieves great execution times, but also often bad execution times. This is probably because of bad cache design of the queue that was talked about in algorithm 2. The BLQ on the other hand shows not only the lowest median execution time but also the most consistent performance with minimal variance, which is an important trait for designing hard timing constraints for HRTS.

7.2.2. Multi Producer Single Consumer (MPSC) Queue Performance

For MPSC scenarios, four queue implementations were tested with varying producer counts from 1 to 14, each producer generating 500,000 items. table 7.2 presents the mean performance across different producer configurations, which is visualised in fig. 7.2. DQueue with a mean

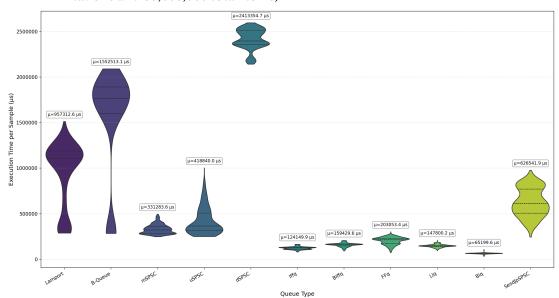


Figure 7.1.: Violin plot showing the distribution of execution times for SPSC queue implementations and 35,000,000 total items)

execution time of 13,967.2 μ s for 1 producer, 29,039.9 μ s for 2 producers, and scaling up to 323,126.2 μ s for 14 producers, outperformed all other implementations.

Queue	1P	2P	4P	8P	14P
DQueue	13,967.2	29,039.9	72,894.1	170,676.4	323,126.2
Drescher	22,932.0	89,353.0	189,703.0	434,820.2	955,047.8
Jiffy	32,280.3	62,159.1	126,199.3	276,401.5	538,978.2
JPQ's MPSC Variant	94,912.5	252,959.1	648,641.6	2,191,529.2	4,548,422.3

Table 7.2.: MPSC Queue Performance Results (500,000 items per producer)

DQueue had the best performance overall as producer count increased. The DQueue's local buffering mechanism effectively reduces contention by minimising synchronisation operations, as each producer accumulates items locally before batch-writing to the shared queue.

The JPQ, despite its theoretical $O(\log n)$ complexity, showed poor practical performance. This is due to the overhead of maintaining the binary tree structure for timestamp propagation. This highlights the gap between theoretical complexity and real-world performance in concurrent data structures.

fig. 7.3 shows the performance distribution under maximum contention (14 producers). DQueue maintains a tight distribution even under high producer contention, which is also the

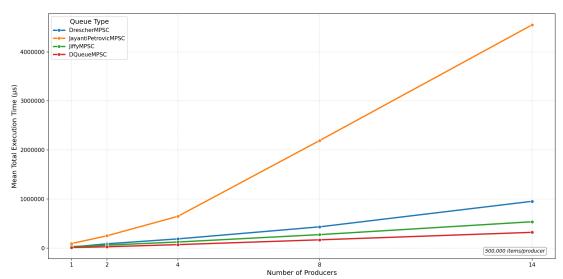


Figure 7.2.: Mean execution time of MPSC queue implementations as producer count increases

case for lesser producer counts as seen in figs. A.1 to A.4. This shows that DQueue's design is ensuring consistent enough performance to design hard timing constraints for HRTS.

7.2.3. Single Producer Multi Consumer (SPMC) Queue Performance

Only one native SPMC implementation was available. So no performance comparison was made with another SPMC queue. What was done is comparing the performance of the David queue with the best performing MPMC queue in a SPMC benchmark setting, to see if maybe the best performing MPMC queue would be even better in a SPMC setting than a native SPMC queue. This can be seen in section 7.2.5.

7.2.4. Multi Producer Multi Consumer (MPMC) Queue Performance

The MPMC category included six implementations tested with symmetric producer-consumer configurations. Each producer generated 170,000 items. table 7.3 shows the mean performance across different producer-consumer configurations, which is again visualised as seen in fig. 7.4.

The YMC queue achieved the best overall performance with 72,910.8 μ s for 1 producer and 1 consumer, 72,547.6 μ s for 2 producers and 2 consumers, and scaling to 121,477.9 μ s for 6 producers and 6 consumers. Its performance remained relatively stable across different configurations, demonstrating its efficiency in handling multiple producers and consumers.

What can be observed is that the Verma queue has better performance in the 1P/1C case with a mean performance of 45,690.9 μ s than YMC. The reason for that is most probably

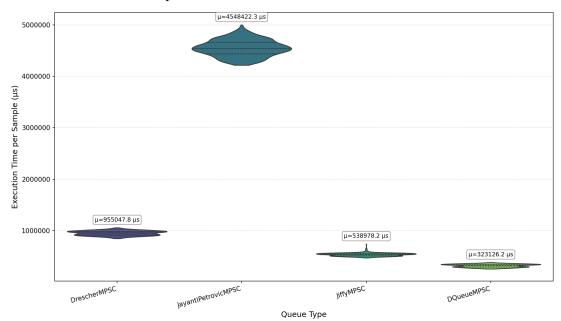


Figure 7.3.: Violin plot showing the distribution of execution times for MPSC queue implementations with 14 producers and 1 consumer and 7,000,000 total items

because even in the 1P/1C case there is still an active dedicated helper thread that is used to help the producer and consumer processes.

fig. 7.5 illustrates the performance distribution under symmetric high contention (6P/6C). The YMC queue demonstrates that its performance stays consistent with minimal variance, even in lesser producer and consumer counts as seen in figs. A.6 and A.7, indicating predictable performance beneficial to define tight timing constraints for HRTS. The only exception is the 1P/1C case, where the performance distribution is more spread out, as seen in fig. A.5, indicating that YMC is not as optimised for low contention scenarios as it is for high contention scenarios. The Verma queue has consistent performance over all process counts, but with a higher mean execution time than YMC. So for HRTS which requires predictability, Verma queue could be a better choice even though it is not the fastest queue in the MPMC category.

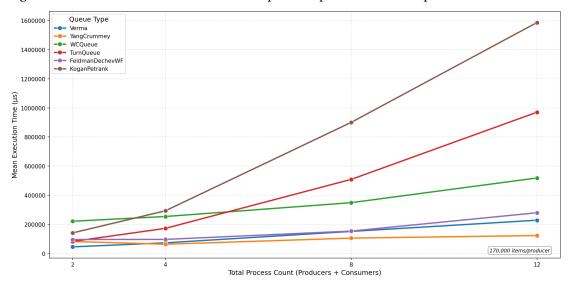
7.2.5. Cross-Category Performance Comparison

To determine whether specialised queues for each contention category are necessary, cross-category benchmarks were conducted comparing the best performers from each category against queues from other categories. The specialised queues for their respective contention scenarios were called native in each cross-category benchmark. The results are summarised in the following subsections.

Table 7.3.: MPMC Queue Performance Results (170,000 items per producer)

Queue	1P/1C	2P/2C	4P/4C	6P/6C
YMC	72,910.8	72,547.6	101,541.4	121,477.9
Verma	45,690.9	73,042.7	153,716.8	229,763.6
FeldmanDechev	90,956.0	100,893.5	150,636.2	278,037.7
TurnQueue	100,150.3	173,204.2	510,697.0	971,945.7
KoganPetrank	174,502.6	285,645.9	896,079.8	1,574,045.2
wCQ	233,996.9	248,234.3	350,401.3	518,476.6

Figure 7.4.: Mean execution time of MPMC queue implementations as process count increases



Best Queue for SPSC Scenarios

table 7.4 compares the native SPSC winner (BLQ) against the best queues from other categories operating in SPSC mode with 300,000 items.

The native SPSC queue with a mean performance of 3,625.7 μ s outperformed all others, being 2.11x faster than DQueue and nearly 10x faster than YMC. This demonstrates that specialised SPSC algorithms provide benefits when contention is limited to a single producer and consumer pair. fig. 7.6 visualises this again.

Best Queue for MPSC Scenarios

Comparing the native MPSC winner DQueue against YMC operating in an MPSC setting reveals similar patterns, as shown in table 7.5 visualised in fig. 7.7.

160000 μ=1586586.2 μs

140000 μ=970260.6 μs

100000 μ=970260.6 μs

400000 μ=122957.7 μs

μ=122957.7 μs

μ=122957.7 μs

μ=228171.8 μs

μετρεφιατικέντατα μετρεφιατικέντα μετρεφιατικέντα μετρεφιατικέντα μετρεφιατικέντα μετρεφιατικέντα μετρεφιατικέν

Figure 7.5.: Violin plot showing the distribution of execution times for MPMC queue implementations with 6 producers and 6 consumers and 1,020,000 total items

Table 7.4.: Cross-Category Performance in SPSC Configuration (300,000 items)

Queue Type

Queue (Category)	Mean Time (μ s)	Relative to BLQ
BLQ (Native SPSC)	3,625.7	1.00x
DQueue (MPSC as SPSC)	7,638.9	2.11x
David (SPMC as SPSC)	21,207.3	5.85x
YMC (MPMC as SPSC)	36,170.9	9.98x

DQueue outperformed YMC across all producer counts, with the performance gap decreasing from 6.22x at 1 producer to 2.32x at 14 producers. The native MPSC implementation maintains its advantage due to its optimised local buffering mechanism that reduces synchronisation overhead. YMC has overhead from its additional consumer synchronisation mechanisms, which are not needed in an MPSC setting.

fig. 7.8 illustrates the performance distribution comparison between DQueue (native MPSC) and YMC (operating as MPSC) under maximum producer contention (14 producers). DQueue shows slightly better consistency whilst performing faster than YMC, showing that also in MPSC settings specialised queues are better than more general MPMC queues. The same goes for lesser producer counts as seen in figs. A.8 to A.11.

175000 μ=36170.9 μs

150000
μ=3625.7 μs
μ=7638.9 μs

μ=7638.9 μs

David (spMC as spsC)

David (spMC as spsC)

Oueue Alaorithm

Figure 7.6.: Violin plot showing performance distribution of different queue categories operating in an SPSC setting with 300,000 total items

Table 7.5.: Cross-Category Performance in MPSC Configuration (100,000 items per producer)

Producers	DQueue (Native MPSC)	YMC (as MPSC)	Relative to DQueue
	Time (μ s)	Time (μ s)	
1	2,102.7	13,075.6	6.22x
2	7,081.9	19,650.4	2.77x
4	16,588.1	39,848.3	2.40x
8	36,197.5	84,092.5	2.32x
14	72,788.4	168,854.4	2.32x

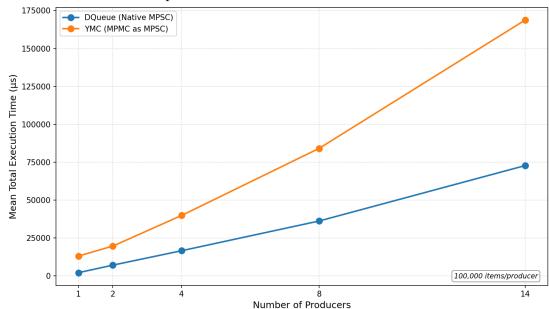
Best Queue for SPMC Scenarios

The native SPMC implementation (David) was compared against YMC in SPMC mode, as presented in table 7.6.

Similar to the MPSC results, the specialised David queue significantly outperformed YMC, maintaining a 2.5-6x performance advantage across different consumer counts. The native SPMC algorithm's two-dimensional array design with row jumping proves to be faster than the MPMC approach.

The violin plot in fig. 7.9 demonstrates the performance distribution under maximum consumer contention. The David queue shows more consistent performance with a tighter distribution whilst being faster compared to YMC operating in SPMC mode, confirming again

Figure 7.7.: Mean execution time comparison of DQueue (native MPSC) vs YMC (as MPSC) across different producer counts



specialised queues are the better choice. Also here the same can be said for lesser consumer counts as seen in figs. A.12 to A.15.

Table 7.6.: Cross-Category Performance in SPMC Configuration (100,000 items per consumer)

Consumers	David (Native SPMC)	YMC (as SPMC)	Relative to David
	Time (µs)	Time (µs)	
1	2,754.4	16,696.0	6.06x
2	8,222.4	24,220.6	2.95x
4	17,243.9	44,368.2	2.57x
8	37,756.0	96,410.1	2.55x
14	71,305.7	225,931.3	3.17x

Figure 7.8.: Violin plot showing the distribution of execution times for cross-category MPSC comparison with 14 producers and 1 consumer and 1,400,000 total items

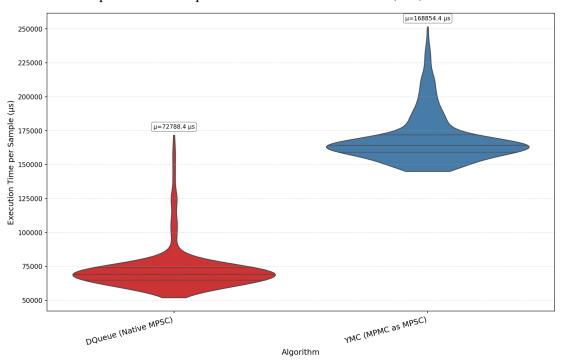


Figure 7.9.: Violin plot showing the distribution of execution times for SPMC implementations with 1 producer and 14 consumers and 1,400,000 total items

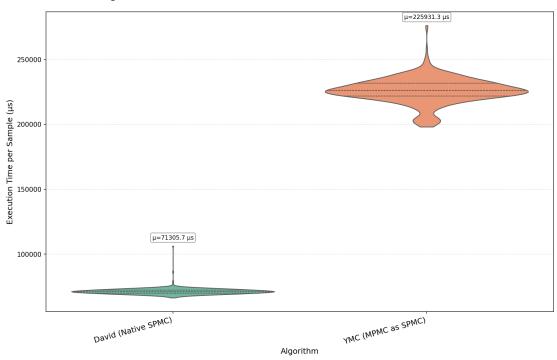
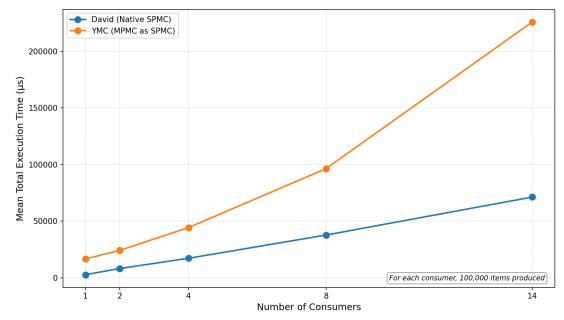


Figure 7.10.: Mean execution time comparison of David (native SPMC) vs YMC (as SPMC) across different consumer counts



8. Conclusion and Future Work

8.1. Conclusion

This thesis investigated wait-free data structures for IPC through shared memory for RTSs, with implementations in Rust. The primary goal was to identify and evaluate the best wait-free algorithms that can provide predictable timing guarantees essential for HRTS.

Through systematic analysis of 20 different wait-free queue implementations across four contention categories (SPSC, MPSC, SPMC, and MPMC), several key insights emerged. First, specialisation matters significantly in concurrent data structures. The benchmarks demonstrated that algorithms optimised for specific producer-consumer settings outperform solutions built for other contention categories by factors of 2 to 10. This performance gap justifies maintaining separate implementations for different contention scenarios rather than, for example, relying on a single MPMC queue for all use cases.

Cache optimisation and batching proved to be a critical factor for performance. The Batched Lamport Queue (BLQ) achieved the best SPSC performance at 65.2 milliseconds for 35 million items, due to its cache-aware design that minimises false sharing through cache line separation and batching through a producer that accumulates data before pushing them and making them visible for a consumer. Similarly, DQueue was the best-performing queue in the MPSC category by using batching. These results confirm that understanding and optimising cache hierarchies is more important than just proven better theoretical algorithmic time complexity, like JPQ, in practice.

The implementation challenges of adapting thread-based algorithms to IPC revealed important practical considerations. Dynamic memory allocation, which is simpler in thread-based implementations, required a significant redesign for shared memory contexts. All queues using dynamic allocation had to be modified to use pre-allocated memory pools, introducing additional complexity but ensuring position-independent operation across different process address spaces. This adaptation particularly impacted the performance of dSPSC and uSPSC queues.

The Rust programming language was well-suited for implementing these algorithms. Its ownership model and explicit memory ordering semantics allowed precise control over synchronisation while maintaining memory safety. The unsafe blocks required for shared memory operations were well-contained, and the type system helped prevent common concurrency bugs during development. The achieved test coverage of 81.12% function coverage and 70.03% line coverage demonstrates that most code paths could be reliably tested despite the complexity of concurrent operations. These tests, along with the additional correctness check in the benchmark, also provided validation that these queues work as expected.

8. Conclusion and Future Work

The implemented queues were then benchmarked to answer the objective of this thesis. The benchmarks showed that the recommended wait-free queues for real-time IPC are Batched Lamport Queue (BLQ) for SPSC, DQueue for MPSC, David Queue for SPMC, and YMC or Verma Queue for MPMC depending on the level of contention.

8.2. Future Work

While this thesis provides a comprehensive evaluation of existing wait-free algorithms for IPC, several areas require further research.

8.2.1. Dynamic Wait-Free Memory Allocation for Shared Memory Inter-Process Communication (IPC)

The most problematic area during implementation was the lack of wait-free dynamic memory allocation schemes suitable for shared memory contexts. All algorithms requiring dynamic allocation had to be modified to use pre-allocated pools, which limits flexibility and potentially wastes memory. Future research should investigate wait-free memory allocators specifically designed for shared memory IPC.

Such allocators need to address the following challenge. They must operate without a global heap, as each process has its own address space. So, such an allocator would need to share the address space of the shared memory region across all processes in a wait-free manner. Also, it needs to function so that dynamically the shared memory region can shrink and grow as needed while sharing the new memory region bounds to the processes while still maintaining wait-freedom.

A wait-free shared memory allocator would enable more flexible data structures and could allow the exact implementation of dynamic queues like dSPSC and uSPSC that currently cannot be that dynamic with a pre-allocated pool.

8.2.2. Integration with Real-Time Operating System (RTOS)

The current benchmarks run on a Linux system, which introduces timing variations from scheduling and interrupts. Future work should evaluate these algorithms on RTOS like RTEMS or QNX to observe the performance in an RTS environment. This would provide insights into how these wait-free queues perform under real-time constraints and scheduling policies.

- [1] M. Herlihy, "Wait-free synchronization", *ACM Trans. Program. Lang. Syst.*, vol. 13, no. 1, pp. 124–149, Jan. 1991. DOI: 10.1145/114005.102808. [Online]. Available: https://doi.org/10.1145/114005.102808.
- [2] B. B. Brandenburg, *Multiprocessor real-time locking protocols: A systematic review*, 2019. arXiv: 1909.09600 [cs.DC]. [Online]. Available: https://arxiv.org/abs/1909.09600.
- [3] O. Kode and T. Oyemade, *Analysis of synchronization mechanisms in operating systems*, 2024. arXiv: 2409.11271 [cs.OS]. [Online]. Available: https://arxiv.org/abs/2409.11271.
- [4] A. Kogan and E. Petrank, "A methodology for creating fast wait-free data structures", SIG-PLAN Not., vol. 47, no. 8, pp. 141–150, Feb. 2012. DOI: 10.1145/2370036.2145835. [Online]. Available: https://doi.org/10.1145/2370036.2145835.
- [5] S. Timnat and E. Petrank, "A practical wait-free simulation for lock-free data structures", *SIGPLAN Not.*, vol. 49, no. 8, pp. 357–368, Feb. 2014. DOI: 10.1145/2692916. 2555261. [Online]. Available: https://doi.org/10.1145/2692916.2555261.
- [6] M. M. Michael and M. L. Scott, "Simple, fast, and practical non-blocking and blocking concurrent queue algorithms", in *Proceedings of the Fifteenth Annual ACM Symposium on Principles of Distributed Computing*, ser. PODC '96, Philadelphia, Pennsylvania, USA: Association for Computing Machinery, 1996, pp. 267–275. DOI: 10.1145/248052.248106. [Online]. Available: https://doi.org/10.1145/248052.248106.
- [7] H. Huang, P. Pillai, and K. G. Shin, "Improving Wait-Free algorithms for interprocess communication in embedded Real-Time systems", in 2002 USENIX Annual Technical Conference (USENIX ATC 02), Monterey, CA: USENIX Association, Jun. 2002. [Online]. Available: https://www.usenix.org/conference/2002-usenix-annual-technical-conference/improving-wait-free-algorithms-interprocess.
- [8] A. Pellegrini and F. Quaglia, *On the relevance of wait-free coordination algorithms in shared-memory hpc:the global virtual time case*, 2020. arXiv: 2004.10033 [cs.DC]. [Online]. Available: https://arxiv.org/abs/2004.10033.

- [9] B. Xu, B. Chu, H. Fan, and Y. Feng, "An analysis of the rust programming practice for memory safety assurance", in *Web Information Systems and Applications: 20th International Conference, WISA 2023, Chengdu, China, September 15–17, 2023, Proceedings,* Chengdu, China: Springer-Verlag, 2023, pp. 440–451. DOI: 10.1007/978-981-99-6222-8_37. [Online]. Available: https://doi.org/10.1007/978-981-99-6222-8_37.
- [10] A. Sharma, S. Sharma, S. Torres-Arias, and A. Machiry, *Rust for embedded systems: Current state, challenges and open problems (extended report)*, 2024. arXiv: 2311.05063 [cs.CR]. [Online]. Available: https://arxiv.org/abs/2311.05063.
- [11] K. Kavi, R. Akl, and A. Hurson, "Real-time systems: An introduction and the state-of-the-art", in Mar. 2009. DOI: 10.1002/9780470050118.ecse344.
- [12] A. Venkataraman and K. K. Jagadeesha, "Evaluation of inter-process communication mechanisms", 2015. [Online]. Available: https://api.semanticscholar.org/CorpusID: 6899525.
- [13] X. Hua, J. Zeng, H. Li, J. Huang, M. Luo, X. Feng, H. Xiong, and W. Wu, "A review of automobile brake-by-wire control technology", *Processes*, vol. 11, p. 994, Mar. 2023. DOI: 10.3390/pr11040994.
- [14] J. M. Mellor-Crummey and M. L. Scott, "Algorithms for scalable synchronization on shared-memory multiprocessors", *ACM Trans. Comput. Syst.*, vol. 9, no. 1, pp. 21–65, Feb. 1991. DOI: 10.1145/103727.103729. [Online]. Available: https://doi.org/10.1145/103727.103729.
- [15] T. E. Anderson, B. N. Bershad, E. D. Lazowska, and H. M. Levy, "Scheduler activations: Effective kernel support for the user-level management of parallelism", *SIGOPS Oper. Syst. Rev.*, vol. 25, no. 5, pp. 95–109, Sep. 1991. DOI: 10.1145/121133.121151. [Online]. Available: https://doi.org/10.1145/121133.121151.
- [16] A. Thakur, *Race condition, synchronization, atomic operations and volatile keyword.* https://opensourceforgeeks.blogspot.com/2014/01/race-condition-synchronization-atomic.html, 2014.
- [17] Managing mutual exclusion mechanism for real-time applications, https://realtimepartner.com/articles/mutual-exclusion.html, 2016.
- [18] Introduction of deadlock in operating system, https://www.geeksforgeeks.org/introduction-of-deadlock-in-operating-system/, 2025.
- [19] P. A. Buhr, "Concurrency errors", in *Understanding Control Flow: Concurrent Programming Using \muC++.* Cham: Springer International Publishing, 2016, pp. 395–423. DOI: 10.1007/978-3-319-25703-7_8. [Online]. Available: https://doi.org/10.1007/978-3-319-25703-7_8.
- [20] P. Chahar and S. Dalal, "Deadlock resolution techniques: An overview", *International Journal of Scientific and Research Publications*, vol. 3, no. 7, pp. 1–5, 2013.

- [21] Y. Wang, E. Anceaume, F. Brasileiro, F. Greve, and M. Hurfin, "Solving the group priority inversion problem in a timed asynchronous system", *IEEE Transactions on Computers*, vol. 51, no. 8, pp. 900–915, 2002. DOI: 10.1109/TC.2002.1024738.
- [22] M. Michael and M. Scott, "Simple, fast, and practical non-blocking and blocking concurrent queue algorithms", *Proceedings of the Annual ACM Symposium on Principles of Distributed Computing*, Mar. 1996. DOI: 10.1145/248052.248106.
- [23] F. Hoseini, A. Atalar, and P. Tsigas, "Modeling the performance of atomic primitives on modern architectures", in *Proceedings of the 48th International Conference on Parallel Processing*, ser. ICPP '19, Kyoto, Japan: Association for Computing Machinery, 2019. DOI: 10.1145/3337821.3337901. [Online]. Available: https://doi.org/10.1145/3337821.3337901.
- [24] T. Fuchs and H. Murakami, "Evaluation of task scheduling algorithms and wait-free data structures for embedded multi-core systems", 2014. [Online]. Available: https://api.semanticscholar.org/CorpusID:218073330.
- [25] M. David, "A single-enqueuer wait-free queue implementation", in *Distributed Computing*, R. Guerraoui, Ed., Berlin, Heidelberg: Springer Berlin Heidelberg, 2004, pp. 132–143.
- [26] G. Drescher and W. Schröder-Preikschat, "An experiment in wait-free synchronisation of priority-controlled simultaneous processes: Guarded sections", Friedrich-Alexander-Universität Erlangen-Nürnberg, Dept. of Computer Science, Tech. Rep. CS-2015-01, Jan. 2015. [Online]. Available: https://www4.cs.fau.de/Publications/2015/drescher_15_cstr.pdf.
- [27] I. Culic, A. Vochescu, and A. Radovici, "A low-latency optimization of a rust-based secure operating system for embedded devices", *Sensors*, vol. 22, no. 22, p. 8700, 2022.
- [28] L. Lamport, "Specifying concurrent program modules", *ACM Trans. Program. Lang. Syst.*, vol. 5, no. 2, pp. 190–222, Apr. 1983. DOI: 10.1145/69624.357207. [Online]. Available: https://doi.org/10.1145/69624.357207.
- [29] M. P. Herlihy and J. M. Wing, "Linearizability: A correctness condition for concurrent objects", *ACM Trans. Program. Lang. Syst.*, vol. 12, no. 3, pp. 463–492, Jul. 1990. DOI: 10.1145/78969.78972. [Online]. Available: https://doi.org/10.1145/78969.78972.
- [30] A. Kogan and E. Petrank, "Wait-free queues with multiple enqueuers and dequeuers", SIGPLAN Not., vol. 46, no. 8, pp. 223–234, Feb. 2011. DOI: 10.1145/2038037.1941585. [Online]. Available: https://doi.org/10.1145/2038037.1941585.
- [31] S. Feldman and D. Dechev, "A wait-free multi-producer multi-consumer ring buffer", *SIGAPP Appl. Comput. Rev.*, vol. 15, no. 3, pp. 59–71, Oct. 2015. DOI: 10.1145/2835260.2835264. [Online]. Available: https://doi.org/10.1145/2835260.2835264.

- [32] D. Dechev, S. Feldman, and A. Barrington, "A scalable multi-producer multi-consumer wait-free ring buffer.", Sandia National Lab. (SNL-NM), Albuquerque, NM (United States), Apr. 2015. [Online]. Available: https://www.osti.gov/biblio/1531271.
- [33] A. Barrington, S. Feldman, and D. Dechev, "A scalable multi-producer multi-consumer wait-free ring buffer", in *Proceedings of the 30th Annual ACM Symposium on Applied Computing*, ser. SAC '15, Salamanca, Spain: Association for Computing Machinery, 2015, pp. 1321–1328. DOI: 10.1145/2695664.2695924. [Online]. Available: https://doi.org/10.1145/2695664.2695924.
- P. Ramalhete and A. Correia, "Poster: A wait-free queue with wait-free memory reclamation", SIGPLAN Not., vol. 52, no. 8, pp. 453–454, Jan. 2017, Full version available at https://github.com/pramalhe/ConcurrencyFreaks/blob/master/papers/crturnqueue-2016.pdf. DOI: 10.1145/3155284.3019022. [Online]. Available: https://doi.org/10.1145/3155284.3019022.
- [35] R. Nikolaev and B. Ravindran, "Wcq: A fast wait-free queue with bounded memory usage", in *Proceedings of the 27th ACM SIGPLAN Symposium on Principles and Practice of Parallel Programming*, ser. PPoPP '22, Seoul, Republic of Korea: Association for Computing Machinery, 2022, pp. 461–462. DOI: 10.1145/3503221.3508440. [Online]. Available: https://doi.org/10.1145/3503221.3508440.
- [36] M. Verma, "Scalable and performance-critical data structures for multicores", Jun. 2013, Thesis to obtain the Master of Science Degree in Information Systems and Computer Engineering. [Online]. Available: https://web.tecnico.ulisboa.pt/~ist 14191/repository/Thesis_Mudit_Verma.pdf.
- [37] C. Yang and J. Mellor-Crummey, "A wait-free queue as fast as fetch-and-add", in *Proceedings of the 21st ACM SIGPLAN Symposium on Principles and Practice of Parallel Programming*, ser. PPoPP '16, Barcelona, Spain: Association for Computing Machinery, 2016. DOI: 10.1145/2851141.2851168. [Online]. Available: https://doi.org/10.1145/2851141.2851168.
- [38] J. Wang, Q. Jin, X. Fu, Y. Li, and P. Shi, "Accelerating wait-free algorithms: Pragmatic solutions on cache-coherent multicore architectures", *IEEE Access*, vol. 7, pp. 74653–74669, 2019. DOI: 10.1109/ACCESS.2019.2920781.
- [39] D. Adas and R. Friedman, "A fast wait-free multi-producers single-consumer queue", in *Proceedings of the 23rd International Conference on Distributed Computing and Networking*, ser. ICDCN '22, Delhi, AA, India: Association for Computing Machinery, 2022, pp. 77–86. DOI: 10.1145/3491003.3491004. [Online]. Available: https://doi.org/10.1145/3491003.3491004.
- [40] D. Adas and R. Friedman, "Jiffy: A fast, memory efficient, wait-free multi-producers single-consumer queue", *CoRR*, vol. abs/2010.14189, 2020. arXiv: 2010.14189. [Online]. Available: https://arxiv.org/abs/2010.14189.

- [41] P. Jayanti and S. Petrovic, "Logarithmic-time single deleter, multiple inserter wait-free queues and stacks", in *FSTTCS 2005: Foundations of Software Technology and Theoretical Computer Science*, S. Sarukkai and S. Sen, Eds., Berlin, Heidelberg: Springer Berlin Heidelberg, 2005, pp. 408–419.
- [42] M. Torquati, Single-producer/single-consumer queues on shared cache multi-core systems, 2010. arXiv: 1012.1824 [cs.DS]. [Online]. Available: https://arxiv.org/abs/1012.1824.
- [43] M. Aldinucci, M. Danelutto, P. Kilpatrick, M. Meneghin, and M. Torquati, "An efficient synchronisation mechanism for multi-core systems", in *Euro-Par 2012 Parallel Processing*, C. Kaklamanis, T. Papatheodorou, and P. G. Spirakis, Eds., ser. Lecture Notes in Computer Science, The provided PDF indicates this work is related to Euro-Par 2012. Exact page numbers for this specific title within the proceedings were not found in the immediate search; the closely related paper "An Efficient Unbounded Lock-Free Queue for Multi-core Systems" by the same authors appears on pages 662-673 of the same volume., vol. 7484, Berlin, Heidelberg: Springer, 2012.
- [44] J. Wang, K. Zhang, X. Tang, and B. Hua, "B-queue: Efficient and practical queuing for fast core-to-core communication", *International Journal of Parallel Programming*, vol. 41, no. 1, pp. 137–159, Feb. 2013. DOI: 10.1007/s10766-012-0213-x. [Online]. Available: https://doi.org/10.1007/s10766-012-0213-x.
- [45] V. Maffione, G. Lettieri, and L. Rizzo, "Cache-aware design of general-purpose single-producer-single-consumer queues", Software: Practice and Experience, vol. 49, no. 5, pp. 748–779, 2019. DOI: https://doi.org/10.1002/spe.2675. eprint: https://onlinelibrary.wiley.com/doi/pdf/10.1002/spe.2675. [Online]. Available: https://onlinelibrary.wiley.com/doi/abs/10.1002/spe.2675.
- [46] J. Giacomoni, T. Moseley, and M. Vachharajani, "Fastforward for efficient pipeline parallelism: A cache-optimized concurrent lock-free queue", Jan. 2008. DOI: 10.1145/1345206.1345215.
- [47] D. B. Demir, https://github.com/DevrimBaran/MA.git.
- [48] U. Drepper, "What every programmer should know about memory", *Red Hat, Inc*, vol. 11, no. 2007, p. 2007, 2007.
- [49] P. Khanchandani and R. Wattenhofer, "On the importance of synchronization primitives with low consensus numbers", in *Proceedings of the 19th International Conference on Distributed Computing and Networking*, ser. ICDCN '18, Varanasi, India: Association for Computing Machinery, 2018. DOI: 10.1145/3154273.3154306. [Online]. Available: https://doi.org/10.1145/3154273.3154306.
- [50] D. Bédin, F. Lépine, A. Mostéfaoui, D. Perez, and M. Perrin, "Wait-free algorithms: The burden of the past", Mar. 2024. DOI: 10.21203/rs.3.rs-4125819/v1.

[51] H. Naderibeni and E. Ruppert, "A wait-free queue with polylogarithmic step complexity", in *Proceedings of the 2023 ACM Symposium on Principles of Distributed Computing*, ser. PODC '23, Orlando, FL, USA: Association for Computing Machinery, 2023, pp. 124–134. DOI: 10.1145/3583668.3594565. [Online]. Available: https://doi.org/10.1145/3583668.3594565.

List of Acronyms

IPC Inter-Process Communication

HRTS Hard Real-Time System

SRTS Soft Real-Time System

RTOS Real-Time Operating System

RTS Real-Time System

CAS Compare and Swap

DWCAS Double-Width Compare and Swap

DCAS Double Compare and Swap

FIFO First In First Out

LIFO Last In First Out

MPMC Multi Producer Multi Consumer

MPSC Multi Producer Single Consumer

SPMC Single Producer Multi Consumer

SPSC Single Producer Single Consumer

FAA Fetch and Add

FAS Fetch and Store

LL/SC Load-Linked and Store-Conditional

LL Load-Linked

sc Store-Conditional

VL Validate-Link

FFQ FastForward Queue

IFFQ Improved FastForward Queue

BIFFQ Batched Improved FastForward Queue

uspsc Unbounded Single Producer Single Consumer

dSPSC Dynamic Single Producer Single Consumer

mSPSC MultiPush Single Producer Single Consumer

BLQ Batched Lamport Queue

List of Acronyms

LLQ Lazy Lamport Queue

wCQ Wait-Free Circular Queue

YMC Yang Mellor-Crummey

sCQ Scalable Circular Queue

JPQ Jayanti Petrovic Queue

List of Figures

2.1.	Race condition between two threads, which write to the same shared variable.	5
	Mutual exclusion between three tasks(processes), which access the same critical section. Multiple processes need to stop working and wait for other processes to	
	complete their tasks. See the waiting phase of the processes	6
2.3.	Deadlock between two processes, which wait for each other to release the needed	
	resources	6
6.1.	Total Coverage of the Rust implementation	82
7.1.	Violin plot showing the distribution of execution times for SPSC queue imple-	
	mentations and 35,000,000 total items)	94
7.2.	$Mean\ execution\ time\ of\ MPSC\ queue\ implementations\ as\ producer\ count\ increases$	95
7.3.	Violin plot showing the distribution of execution times for MPSC queue imple-	
	mentations with 14 producers and 1 consumer and 7,000,000 total items	96
7.4.	Mean execution time of MPMC queue implementations as process count increases	97
7.5.	Violin plot showing the distribution of execution times for MPMC queue imple-	
	mentations with 6 producers and 6 consumers and 1,020,000 total items	98
7.6.	Violin plot showing performance distribution of different queue categories op-	
	erating in an SPSC setting with 300,000 total items	99
7.7.	Mean execution time comparison of DQueue (native MPSC) vs YMC (as MPSC)	
	•	100
7.8.	Violin plot showing the distribution of execution times for cross-category MPSC	
	1	101
7.9.	Violin plot showing the distribution of execution times for SPMC implementa-	
	•	102
7.10	.Mean execution time comparison of David (native SPMC) vs YMC (as SPMC)	
	across different consumer counts	102
A.1.	MPSC queue performance distribution with 1 producer with 500,000 Total Items	119
A.2.	MPSC queue performance distribution with 2 producers with 1,000,000 Total	
	Items	120
A.3.	MPSC queue performance distribution with 4 producers with 2,000,000 Total	
		120
A.4.	MPSC queue performance distribution with 8 producers with 4,000,000 Total	
	Items	121

List of Figures

A.5. MPMC queue performance distribution with 1 producer and 1 consumer with	
170,000 Total Items	122
A.6. MPMC queue performance distribution with 2 producers and 2 consumers with	
340,000 Total Items	122
A.7. MPMC queue performance distribution with 4 producers and 4 consumers with	
680,000 Total Items	123
A.8. Cross-category MPSC performance distribution with 1 producer and 1 consumer	
with 100,000 Total Items	124
A.9. Cross-category MPSC performance distribution with 2 producers and 1 con-	
sumer with 200,000 Total Items	125
A.10.Cross-category MPSC performance distribution with 4 producers and 1 con-	
sumer with 400,000 Total Items	126
A.11.Cross-category MPSC performance distribution with 8 producers and 1 con-	
sumer with 800,000 Total Items	127
A.12.Cross-category SPMC performance distribution with 1 producer and 1 consumer	
	128
A.13.Cross-category SPMC performance distribution with 1 producer and 2 con-	
sumers with 200,000 Total Items	129
A.14.Cross-category SPMC performance distribution with 1 producer and 4 con-	
, , , , , , , , , , , , , , , , , , ,	130
A.15.Cross-category SPMC performance distribution with 1 producer and 8 con-	
sumers with 800,000 Total Items	131

List of Tables

7.1.	SPSC Queue Performance Results (35,000,000 items)	93
7.2.	MPSC Queue Performance Results (500,000 items per producer)	94
7.3.	MPMC Queue Performance Results (170,000 items per producer)	97
7.4.	Cross-Category Performance in SPSC Configuration (300,000 items)	98
7.5.	Cross-Category Performance in MPSC Configuration (100,000 items per producer)	99
7.6.	Cross-Category Performance in SPMC Configuration (100,000 items per consumer):	100

List of Algorithms

1.	Michael and Scott's Lock-Free Queue	g
2.	Lamport's Queue [45]	20
3.	LLQ Operations [45]	20
4.	BLQ Operations [45]	21
5.	FFQ Operations [46]	22
6.	IFFQ Operations [45]	23
7.	BIFFQ Operations [45]	24
8.	B-Queue with Self-Adaptive Backtracking [44]	25
9.	dSPSC Operations [42]	26
10.	uSPSC Operations[42]	27
11.	mSPSC Operations[42]	28
12.	JPQ (SPSC variant) Operations [41]	30
13.	JPQ (MPSC variant) Operations [41]	31
14.	Drescher's Wait-Free MPSC Queue Operations	32
15.	Jiffy MPSC Queue Enqueue Operation [40]	33
16.	Jiffy MPSC Queue Dequeue Operation [40]	34
17.	DQueue MPSC Queue Enqueue Operation [38]	35
18.	DQueue MPSC Queue Dequeue Operation [38]	36
19.	David's Queue Operations [25]	37
20.	Kogan and Petrank's Queue Enqueue Operation [30]	39
21.	Kogan and Petrank's Queue Dequeue Operation [30]	40
22.	Kogan and Petrank's Queue Dequeue Helping Operations [30]	41
23.	Turn Queue Enqueue Operation [34]	42
24.	Turn Queue Dequeue Operation [34]	43
25.	Turn Queue Dequeue Helper Functions [34]	44
26.	YMC Queue Enqueue Operation [37]	45
27.	YMC Queue Enqueue Help Operation [37]	46
28.	YMC Queue Dequeue Operation [37]	47
29.	YMC Queue Dequeue Help Operation [37]	48
30.	Feldman-Dechev Queue's Enqueue Operation [32]	49
31.	Feldman-Dechev Queue's Dequeue Operation [32]	51
32.	Feldman-Dechev Queue's Helper Functions [32]	52
33.	Verma's Queue Operations [36]	53
34	wCO's Operations [35]	54

List of Algorithms

35.	wCQ's Helper Functions [35]	55
36.	wCQ's Data Structures [35]	56
37.	Lock-free Circular Queue (SCQ): Enqueue Operations [35]	57
38.	Lock-free Circular Queue (SCQ): Dequeue Operations [35]	58
39.	CAS2 implementation for wCQ using LL/SC [35]	59

Listings

6.1.	Shared memory size calculation methods	60
6.2.	Shared memory allocation using mmap	61
6.3.	Memory layout initialisation in WCQueue	62
6.4.	Position-independent component access	63
6.5.	Lock-free memory pool allocation	63
6.6.	Cache line separation in BlqQueue	64
6.7.	Manual padding for exact cache line control	65
6.8.	Fetch-and-add with different memory orderings	66
6.9.	Compare-and-swap variants and usage patterns	67
6.10.	Unconditional atomic swap operations	68
6.11.	Memory ordering for loads and stores	68
6.12.	Explicit memory fence usage	69
6.13.	Versioned CAS for LL/SC simulation	69
6.14.	Wait-free synchronisation requiring unsafe	70
6.15.	Basic operation test pattern	72
6.16.	Capacity limit test pattern	72
6.17.	Buffered queue capacity test	73
6.18.	Memory alignment verification test	74
6.19.	Position-independent addressing test	75
6.20.	Memory pool management test	75
6.21.	.High-contention stress test	77
	FIFO ordering verification under stress	78
6.23.	IPC test structure	79
6.24.	Buffer mechanism test	80
6.25.	Helper thread coordination test	81
7.1.	Benchmark configuration constants	84
7.2.	MPSC-specific configuration	84
7.3.	Benchmark trait for MPMC queues	84
7.4.	Process synchronisation structures	85
7.5.	Generic benchmark execution function	86
7.6.	Queue-specific benchmark function	88
7.7.	Consumer process implementation	89
7.8.	Post-benchmark validation of results	91
79	Criterion henchmark configuration	92

A.1. Additional Benchmark Visualisations

This section presents additional violin plots from the benchmark results, illustrating performance distributions for various producer and consumer configurations. These complement the main results presented in chapter 7.

A.1.1. MPSC Queue Performance Distributions

The following figures show the performance distribution of MPSC queue implementations across different producer configurations:

Figure A.1.: MPSC queue performance distribution with 1 producer with 500,000 Total Items

 $Figure\ A.2.:\ MPSC\ queue\ performance\ distribution\ with\ 2\ producers\ with\ 1,000,000\ Total\ Items$

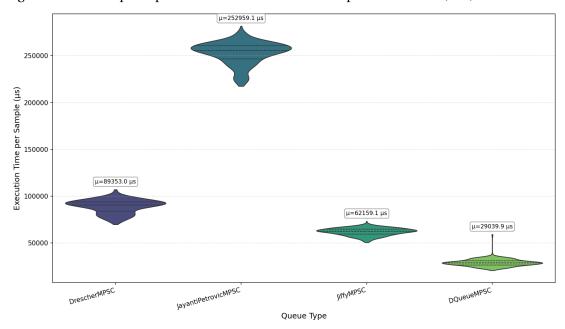
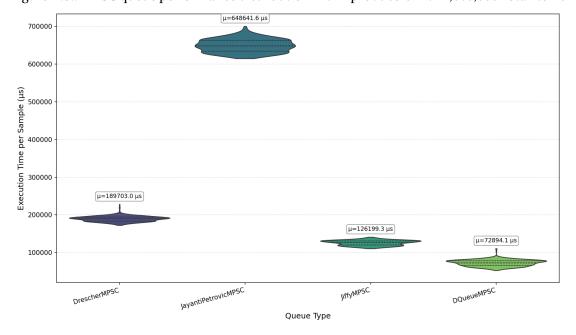


Figure A.3.: MPSC queue performance distribution with 4 producers with 2,000,000 Total Items



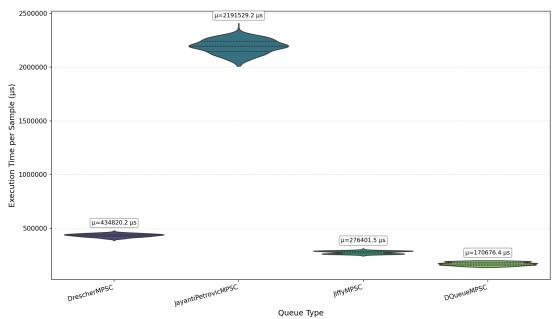


Figure A.4.: MPSC queue performance distribution with 8 producers with 4,000,000 Total Items

A.1.2. MPMC Queue Performance Distributions

The following figures show the performance distribution of MPMC queue implementations across different configurations:

Figure A.5.: MPMC queue performance distribution with 1 producer and 1 consumer with $170,\!000\,\mathrm{Total}\,\mathrm{Items}$

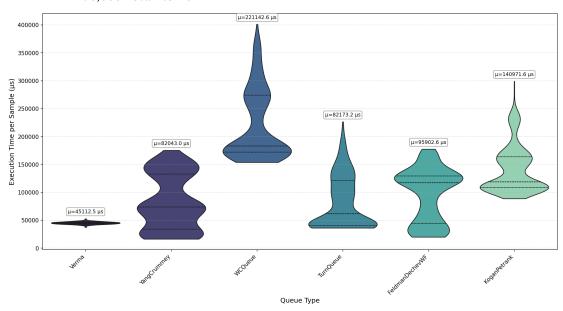
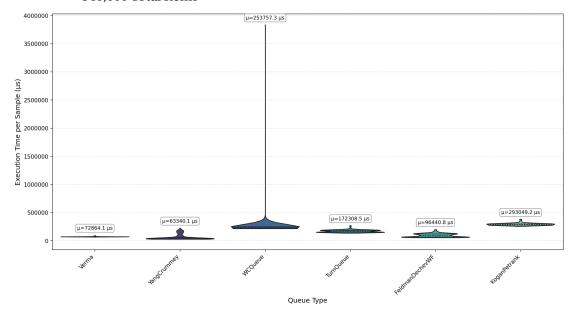


Figure A.6.: MPMC queue performance distribution with 2 producers and 2 consumers with $340,\!000$ Total Items



800000

μ=508862.6 μs

μ=151462.9 μs

μ=153585.4 μs

Queue Type

Figure A.7.: MPMC queue performance distribution with 4 producers and 4 consumers with $680,\!000\,\mathrm{Total}$ Items

A.1.3. Cross-Category Performance Distributions

The following figures show performance distributions when queues from different categories operate in various contention scenarios:

Cross-Category MPSC Performance

Figure A.8.: Cross-category MPSC performance distribution with 1 producer and 1 consumer with 100,000 Total Items

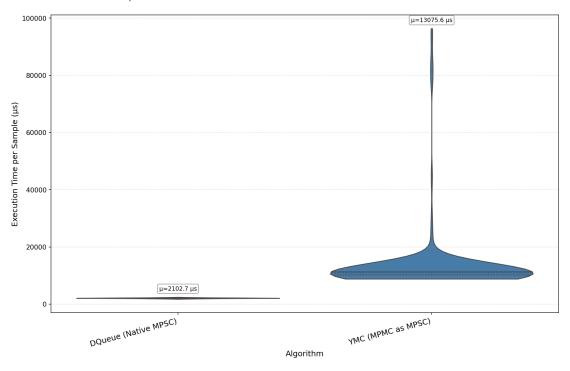


Figure A.9.: Cross-category MPSC performance distribution with 2 producers and 1 consumer with 200,000 Total Items

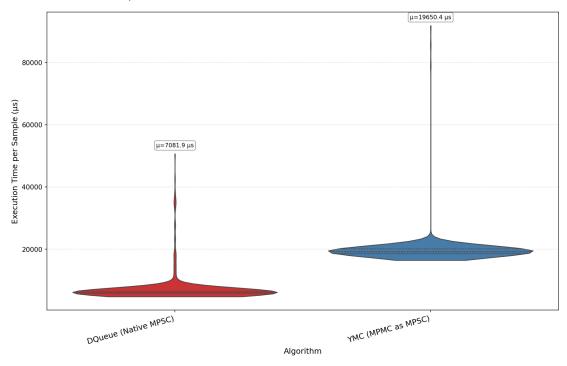


Figure A.10.: Cross-category MPSC performance distribution with 4 producers and 1 consumer with 400,000 Total Items

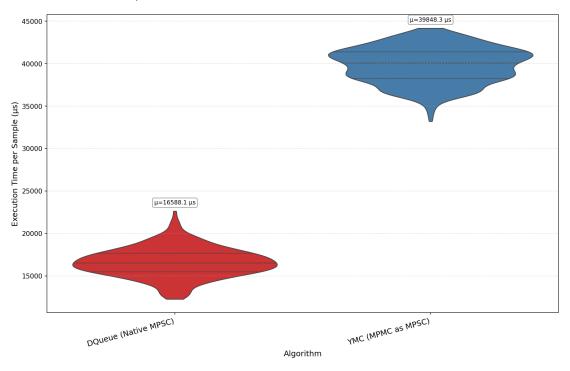
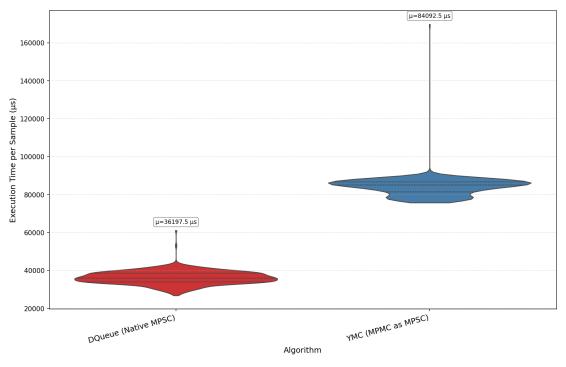


Figure A.11.: Cross-category MPSC performance distribution with 8 producers and 1 consumer with 800,000 Total Items



Cross-Category SPMC Performance

Figure A.12.: Cross-category SPMC performance distribution with 1 producer and 1 consumer with 100,000 Total Items

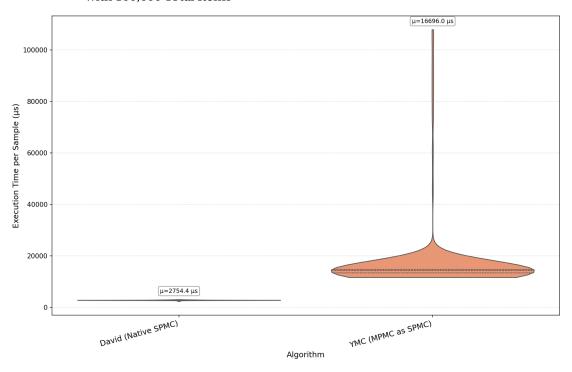


Figure A.13.: Cross-category SPMC performance distribution with 1 producer and 2 consumers with 200,000 Total Items

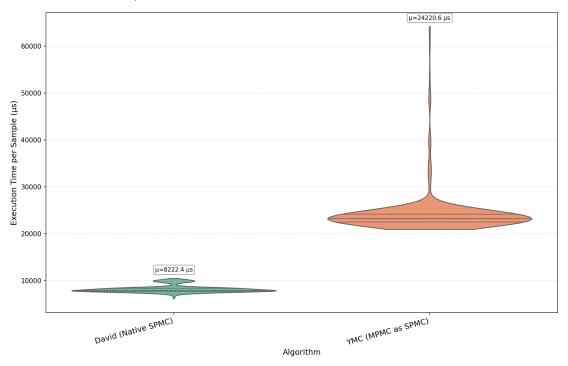


Figure A.14.: Cross-category SPMC performance distribution with 1 producer and 4 consumers with 400,000 Total Items

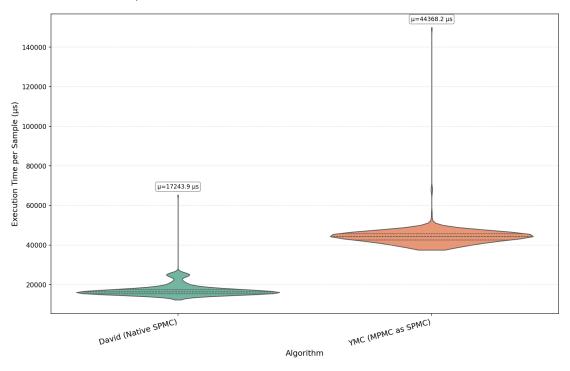


Figure A.15.: Cross-category SPMC performance distribution with 1 producer and 8 consumers with 800,000 Total Items

