

# AI for Astrophysics: Simple Neural Networks

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# The brain as model

« *There is a fantastic existence proof that learning is possible, which is the bag of water and electricity (together with a few trace chemicals) sitting between your ears [...] which is the squishy thing that your skull protects* »

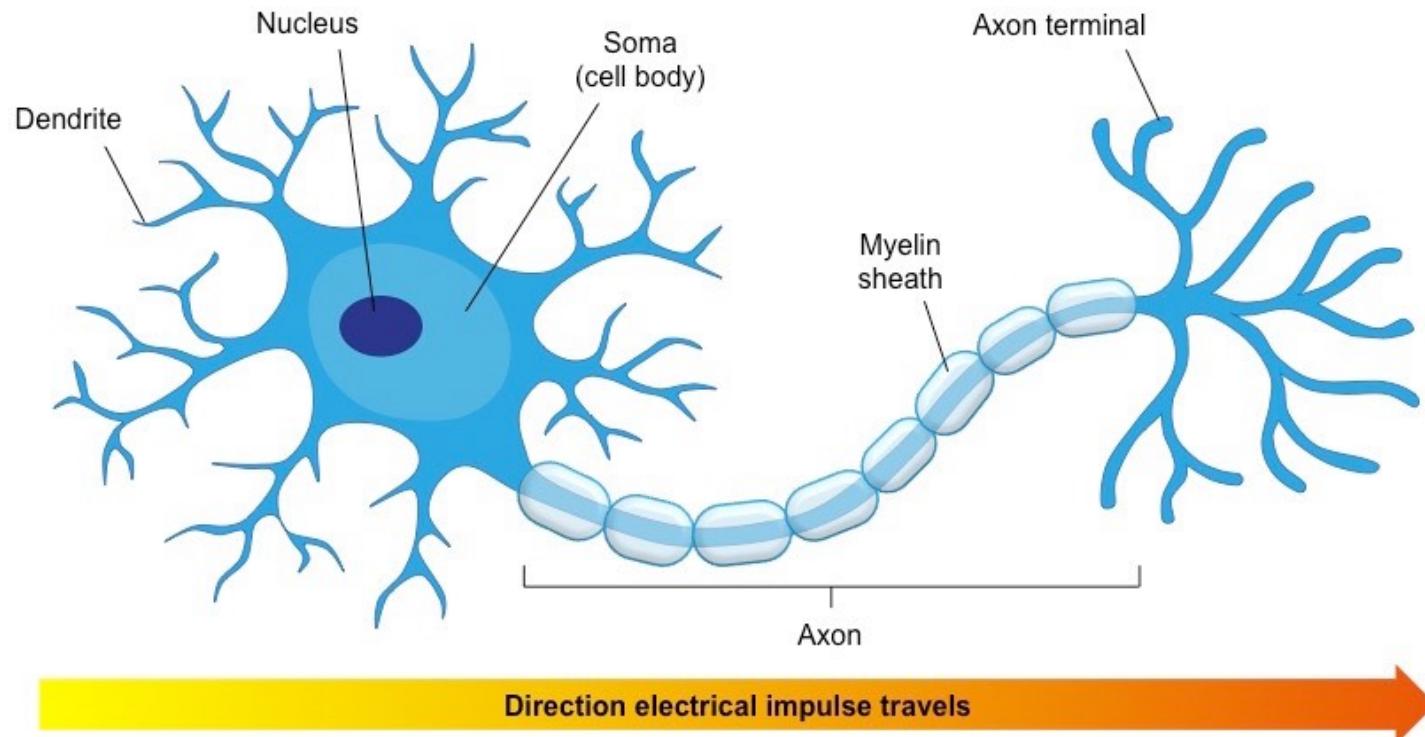
\*Stephen Marsland

## **The brain does exactly what we want for data analysis:**

- Extract complex information in a compressed form
- Deal with noisy and/or inconsistent data
- Work in highly-dimensional spaces
- Give the appropriate answer most of the time
- Provide results very quickly
- Remain robust through aging (neuron loss)

# The biological neuron

Elementary brick of a biological brain ( $10^{11}$  in the human brain).  
The idea will be to use it as a model to emulate learning capabilities.



Perform the **sum** of various electrochemical **input signals**. If this total signal is sufficient, it sends a **new signal** through its axon to **transfer information** (toward other neurons).

# Biological Neural Networks

A connection between two neurons is called **a synapse** ( $10^{14}$  in the human brain).

A neuron is a binary compute unit that either “fire” or “not-fire” in response to a signal.

→ In this view, a brain is a **massively parallel super-computer of  $10^{11}$  processing units**.

## A simplified view of how it learns

The synapses represent the “strength” of the connection between two neurons.

**Learning** = modifying this connection (either positive or negative and changing its intensity)

→ This is called **plasticity**

The **Hebb law** defines a simplified rule for learning:

If two neurons “fire” **at the same time**, there must be some **correlation** between them, and their connection must be strengthened.

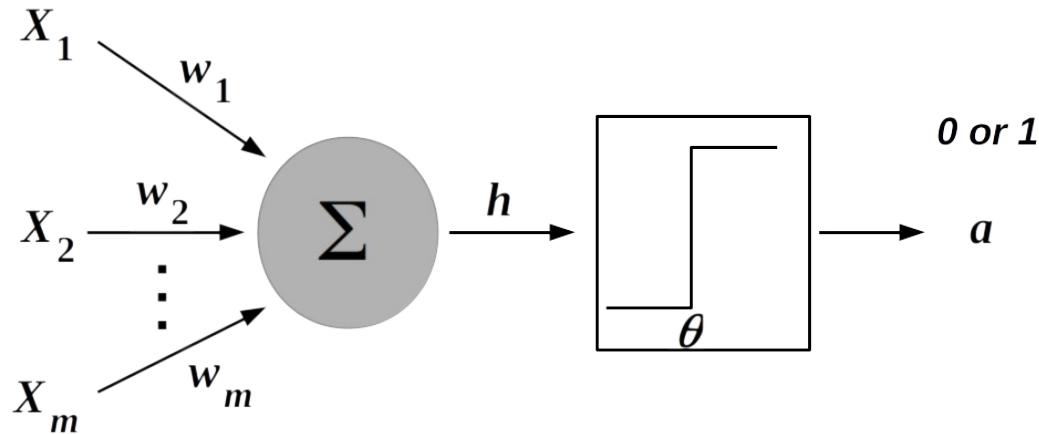
→ This is called **conditioning**

\*These rules are not enough to train a neural system but illustrate the processes that occur in the biological brain.

To create an algorithm from these biological concepts, one first need to create a **mathematical model**.

# Model of a Neuron

Mathematical model from **McCulloch and Pitts**, inspired by the biological neuron.

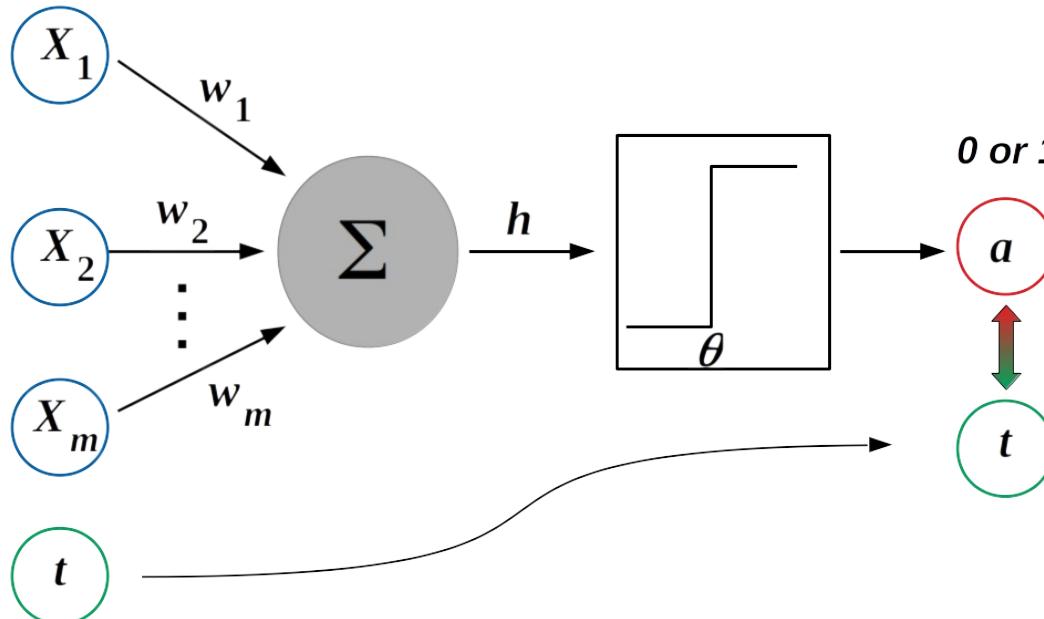


**Its main components are:**

- An **input vector  $X_i$**  that represents the dimensions of a given object
- A **set of weights  $w_i$**  that links the various input dimensions to the neuron
- A **sum function  $h$**  that defines how these weights are combined with the input dimensions
- An **activation function  $g(h)$**  that defines if the network should remain in a “0” state or should be activated to a “1” state, depending on the results of the sum.

$$h = \sum_{i=1}^m X_i w_i \quad a = g(h) = \begin{cases} 1 & \text{if } h > \theta \\ 0 & \text{if } h \leq \theta \end{cases}$$

# Training a Neuron



$$h = \sum_{i=1}^m X_i w_i \quad a = g(h) = \begin{cases} 1 & \text{if } h > \theta \\ 0 & \text{if } h \leq \theta \end{cases}$$

The learning process for this model is supervised  
→ Each **input vector** is associated to a **target value**

So what is the purpose of the neuron if the expected value is already known ?

→ **Generalization**

Find **patterns in the data distribution** in the parameter space so it can perform prediction on vectors with unseen (but close) values.

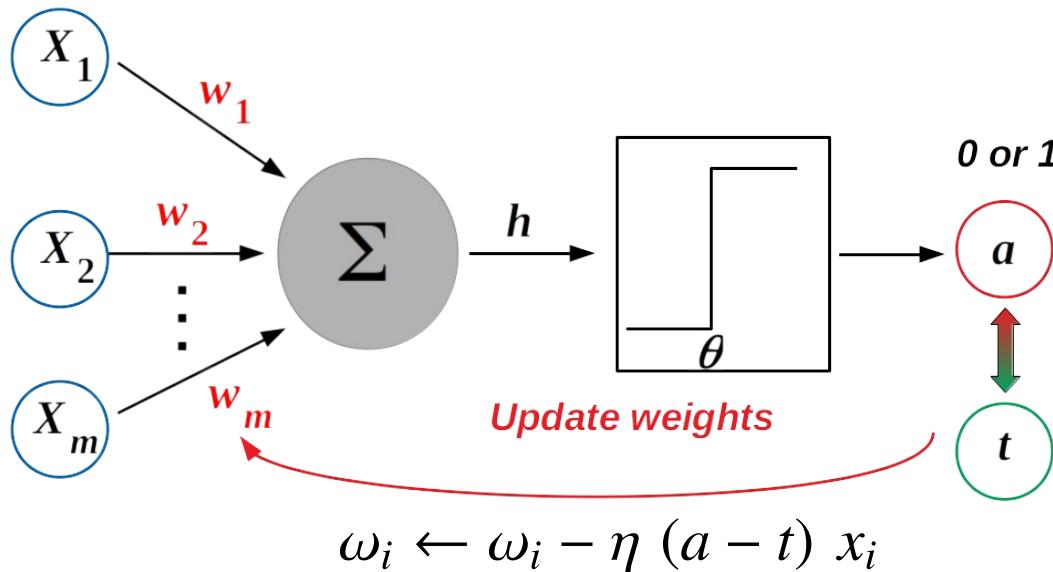
In practice, such a simple neuron **optimizes a linear separation** in the parameter space (**hyperplane**).

**How does this model learn ?**

Which parameters can or cannot be modified ?

The **input, output, and targets are fixed**, so the learning relies on modifying the **weights and the activation threshold**.

# Training a Neuron



$$h = \sum_{i=1}^m X_i w_i \quad a = g(h) = \begin{cases} 1 & \text{if } h > \theta \\ 0 & \text{if } h \leq \theta \end{cases}$$

How to proceed when the output does not correspond to the target ?

There are  $m$  weights  $w_i$  associated with the network corresponding to the input dimensions.

## How to modify the weights?

- If the output state is 1 while it should be 0, the weights need to be **lowered**
- If the output state is 0 while it should be 1, the weights need to be **increased**

To quantify this modification, one needs to choose an **error function  $E$** .

$$E = 0.5 \times (a - t)^2$$

In the end, the weight update is defined as proportional to the **input value**, to the **derivative of the error function** for each dimension, and scaled by a **learning rate\*** factor.

$$\omega_i \leftarrow \omega_i + \eta (a - t) x_i$$

\*Typically between 0.1 and 0.4, details will be given later. 7 / 37

# The bias node

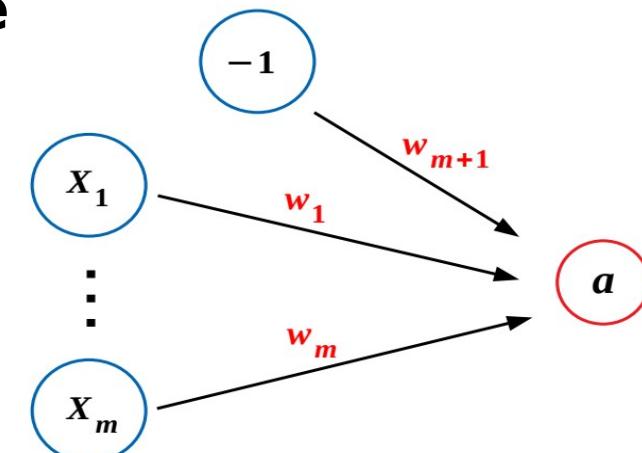
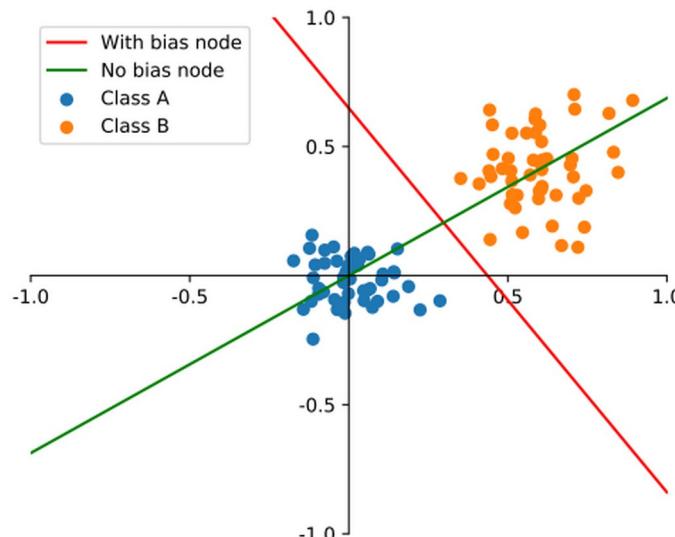
## Problem with the previous formalism

The linear separation presents a **fixed  $f(0) = 0$  point**.

The weight correction is also 0, regardless of the input value.

## Solution

Add a **bias input node** that acts as an additional input with a **constant value** (usually -1). It has its own **variable weight** so it can learn the shift of the intercept position. The size of both the input vector and the weights vector is now  $m+1$ .



To plot the found linear separation of a neuron, we need to find where in the parameter space the neuron changes state, which corresponds to:

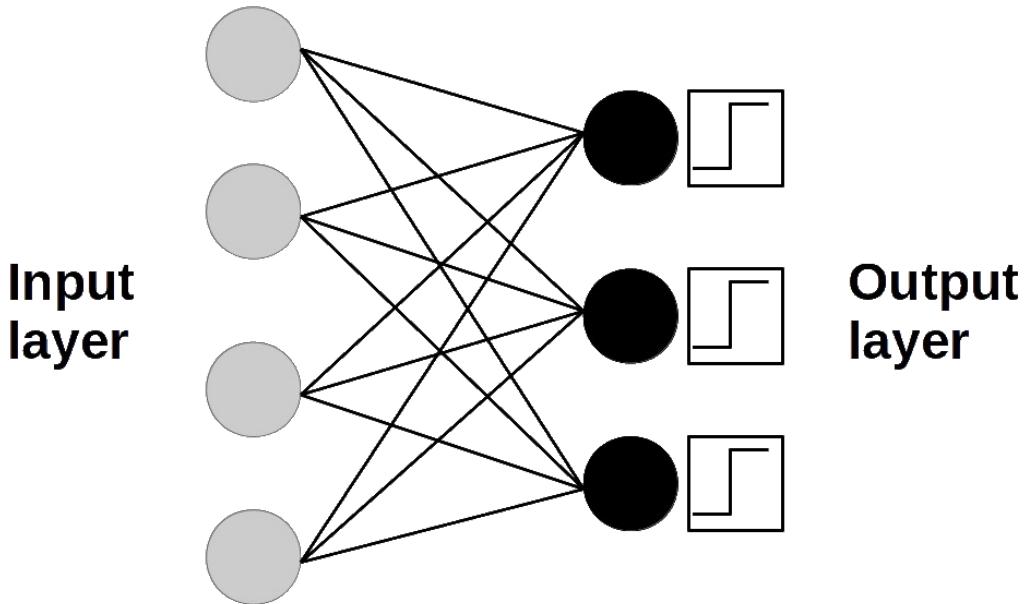
$$\sum_{i=1}^m X_i w_i = h = 0$$

For a 2D parameter space it results in:

$$X_1 = (W_b - X_0 W_0) / W_1$$

Note that the direction of activation is orthogonal to the linear separation.

# The Perceptron algorithm



A single neuron **only performs a linear separation**, which is insufficient for many applications.

The simplest way to combine neurons on a single problem is to **stack them independently**. Each neuron is connected to the input vector with **its own set of weights**.

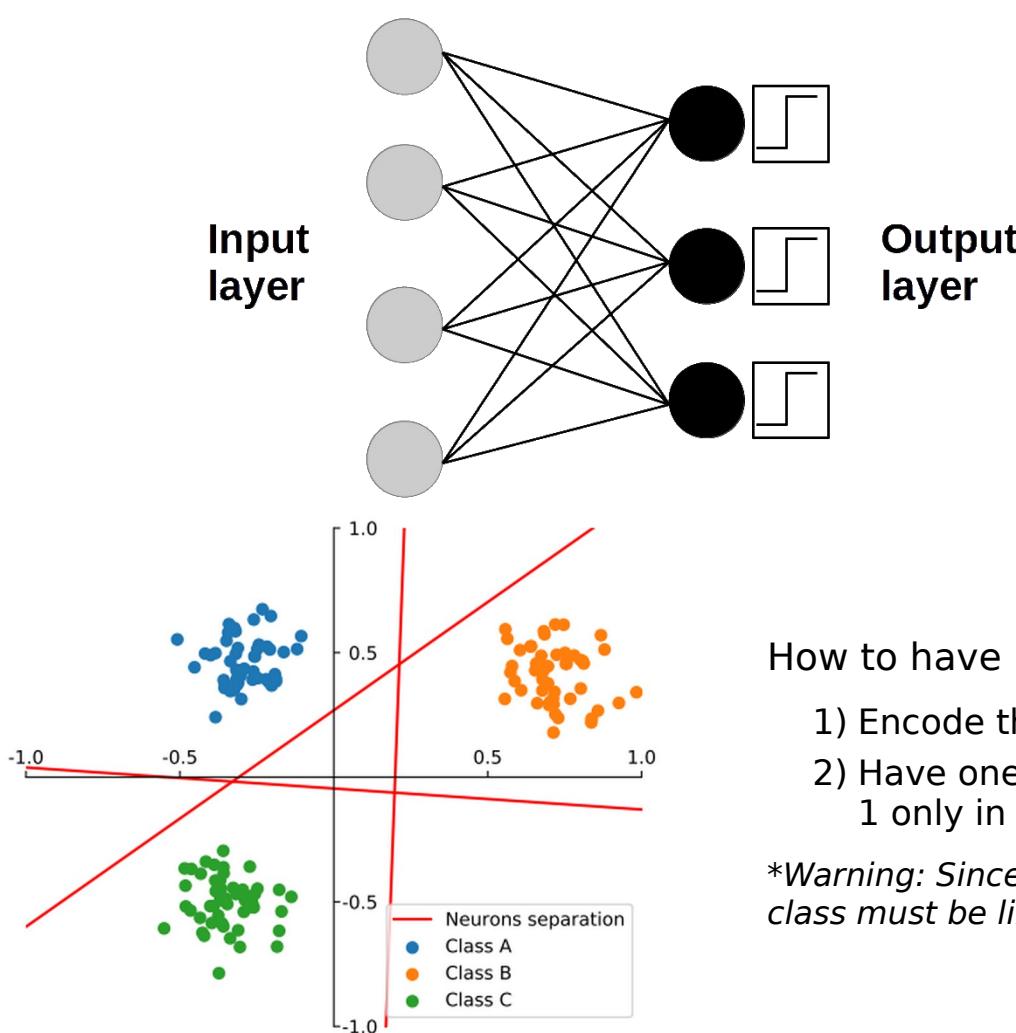
The training procedure is identical, but this time there is an index  $j$  to represent the neurons, and **the weights are now in the form of a 2D matrix**.

$$h_j = \sum_{i=1}^{m+1} x_i \omega_{ij} \quad a_j = g(h_j) = \begin{cases} 1 & \text{if } h_j > \theta \\ 0 & \text{if } h_j \leq \theta \end{cases}$$

$$\omega_{ij} \leftarrow \omega_{ij} - \eta (a_j - t_j) \times x_i$$

The combination of this training procedure and this neuron connection scheme is called the single layer "Perceptron" (Rosenblatt 1958).

# The Perceptron algorithm



C1	C2	C3
1	0	0
0	1	0
0	0	1

How to have multiple neurons work on the same problem ?

- 1) Encode the output vector (e.g in binary format)
- 2) Have one neuron per output class and target a format with a 1 only in the appropriate class, e.g [1,0,0]-[0,1,0]-[0,0,1]

\*Warning: Since each neuron only performs a *linear separation*, each class must be *linearly separable* from all the others.

# The Perceptron algorithm

- **Initialization**

- Set the starting weights to small random values (positive and negative).  
Can be drawn from a uniform or Gaussian distribution centered on zero.

- **Training**

- For a given number of steps T, or until the output is “correct”
  - For each input vector
    - Compute the activation of each neuron  $j$  using the activation function  $g$  :

$$a_j = g\left(\sum_{i=0}^{m+1} w_{ij}x_i\right) = \begin{cases} 1 & \text{if } \sum_{i=0}^{m+1} w_{ij}x_i > 0 \\ 0 & \text{if } \sum_{i=0}^{m+1} w_{ij}x_i \leq 0 \end{cases}$$

- Update each weight individually using :

$$\omega_{ij} \leftarrow \omega_{ij} - \eta (a_j - t_j) \times x_i$$

- **Inference**

- Compute the final activation of each neuron  $j$  for each input vector to test

# First application : logical gates

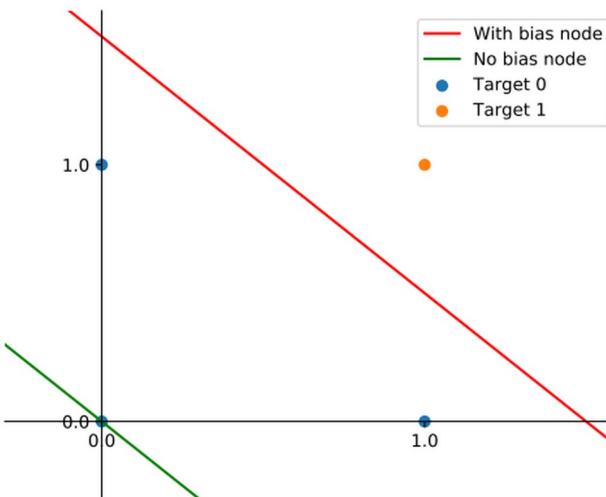
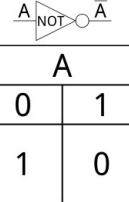
		A
		0 1
B		0 0 1
A	B	1 1

		A
		0 1
B		0 0 0
A	B	1 0 1

		A
		0 1
B		0 0 1
A	B	1 1 0

		A
		0 1
B		0 1 0
A	B	1 0 0

		A
		0 1
B		0 1 1
A	B	1 1 0



A straightforward application of this algorithm is to make it learn a logical door, here the **OR or AND gate**. For this simple application, the Perceptron has a two-dimensional input vector and 4 possibilities as input-target pairs.

The output is made of a single binary neuron to predict either the 0 or 1 state of the gate.

## As a first exercise:

- Write a simple program that declares an array with all the possible inputs and the associated targets.
- Identify all the necessary variables and initialize the weights with small values.
- Try to train this neuron over a few iterations following the Perceptron algorithm.

## Do not forget the bias node!

- Display the output at each iteration to watch the network converge toward a stable solution.

Why is the **XOR gate** problematic with this algorithm?  
How to solve the problem?