



ONTAP 9.7 and earlier

ONTAP 9

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ONTAP 9.7 and earlier

Set up NAS path failover with the CLI (ONTAP 9.0-9.7)

This workflow guides you through the networking configuration steps to set up NAS path failover for ONTAP 9.0 - 9.7. This workflow assumes the following:

- You want to use NAS path failover best practices that simplify network configuration.
- You want to use the CLI, not System Manager.
- You are configuring networking on a new system running ONTAP 9.0 to 9.7.

If you are running an ONTAP release later than 9.7, you should use the NAS path failover procedure for ONTAP 9.8 or later:

- [ONTAP 9.8 and later NAS path failover workflow](#)

If you want details about network components and management, you should use the network management reference material:

- [Network management overview](#)

Workflow NAS path failover

Overview

If you are already familiar with basic networking concepts, you might be able to save time setting up your network by reviewing this "hands on" workflow for NAS path failover configuration.

A NAS LIF automatically migrates to a surviving network port after a link failure on its current port. If your network is flat, you can rely on the ONTAP defaults to manage path failover. Otherwise, you should configure path failover following the steps in this workflow.



A SAN LIF does not migrate (unless you move it manually after the link failure). Instead, multipathing technology on the host diverts traffic to a different LIF. For more information, see [SAN administration](#).

Worksheet for NAS path failover configuration for ONTAP 9.0 - 9.7

You should complete all sections of the worksheet before configuring NAS path failover.

IPspace configuration

You can use an IPspace to create a distinct IP address space for each SVM in a cluster. Doing so enables clients in administratively separate network domains to access cluster data while using overlapping IP addresses from the same IP address subnet range.

Information	Required?	Your values
IPspace name <ul style="list-style-type: none">• The name of the IPspace.• The name must be unique in the cluster.	Yes	

Broadcast domain configuration


A broadcast domain groups ports that belong in the same Layer 2 network and sets the MTU for the broadcast domain ports.

Broadcast domains are assigned to an IPspace. An IPspace can contain one or more broadcast domains.



The port to which a LIF fails over must be a member of the failover group for the LIF. When you create a broadcast domain, ONTAP automatically creates a failover group with the same name. The failover group contains all the ports assigned to the broadcast domain.

Information	Required?	Your values
IPspace name <ul style="list-style-type: none">• The IPspace to which the broadcast domain is assigned.• The IPspace must exist.	Yes	
Broadcast domain name <ul style="list-style-type: none">• The name of the broadcast domain.• This name must be unique in the IPspace.	Yes	

<p>MTU</p> <ul style="list-style-type: none"> • The MTU of the broadcast domain. • Commonly set to either 1500 or 9000. • The MTU value is applied to all ports in the broadcast domain and to any ports that are later added to the broadcast domain. <div>  <p>The MTU value should match all the devices connected to that network. Note that the e0M port handling management and service processor traffic should have the MTU set to no more than 1500 bytes.</p> </div>	<p>Yes</p>	
<p>Ports</p> <ul style="list-style-type: none"> • The network ports to add to the broadcast domain. • The ports assigned to the broadcast domain can be physical ports, VLANs, or interface groups (ifgroups). • If a port is in another broadcast domain, it must be removed before it can be added to the broadcast domain. • Ports are assigned by specifying both the node name and port: for example, node1:e0d. 	<p>Yes</p>	

Subnet configuration

A subnet contains pools of IP addresses and a default gateway that can be assigned to LIFs used by SVMs residing in the IPspace.

- When creating a LIF on an SVM, you can specify the name of the subnet instead of supplying an IP address and a subnet.
- Since a subnet can be configured with a default gateway, you do not have to create the default gateway in

a separate step when creating an SVM.

- A broadcast domain can contain one or more subnets.
You can configure SVM LIFs that are on different subnets by associating more than one subnet with the IPspace's broadcast domain.
- Each subnet must contain IP addresses that do not overlap with IP addresses assigned to other subnets in the same IPspace.
- You can assign specific IP addresses to SVM data LIFs and create a default gateway for the SVM instead of using a subnet.

Information	Required?	Your values
IPspace name <ul style="list-style-type: none">• The IPspace to which the subnet will be assigned.• The IPspace must exist.	Yes	
Subnet name <ul style="list-style-type: none">• The name of the subnet.• The name must be unique in the IPspace.	Yes	
Broadcast domain name <ul style="list-style-type: none">• The broadcast domain to which the subnet will be assigned.• The broadcast domain must reside in the specified IPspace.	Yes	
Subnet name and mask <ul style="list-style-type: none">• The subnet and mask in which the IP addresses reside.	Yes	
Gateway <ul style="list-style-type: none">• You can specify a default gateway for the subnet.• If you do not assign a gateway when you create the subnet, you can assign one to the subnet at any time.	No	

<p>IP address ranges</p> <ul style="list-style-type: none"> You can specify a range of IP addresses or specific IP addresses. For example, you can specify a range such as: 192.168.1.1– 192.168.1.100, 192.168.1.112, 192.168.1.145 If you do not specify an IP address range, the entire range of IP addresses in the specified subnet are available to assign to LIFs. 	No	
<p>Force update of LIF associations</p> <ul style="list-style-type: none"> Specifies whether to force the update of existing LIF associations. By default, subnet creation fails if any service processor interfaces or network interfaces are using the IP addresses in the ranges provided. Using this parameter associates any manually addressed interfaces with the subnet and allows the command to succeed. 	No	

SVM configuration

You use SVMs to serve data to clients and hosts.

The values you record are for creating a default data SVM. If you are creating a MetroCluster source SVM, see the [Install a fabric-attached MetroCluster](#) or the [Install a stretch MetroCluster](#).

Information	Required?	Your values
<p>SVM name</p> <ul style="list-style-type: none"> The name of the SVM. You should use a fully qualified domain name (FQDN) to ensure unique SVM names across cluster leagues. 	Yes	

Root volume name <ul style="list-style-type: none"> The name of the SVM root volume. 	Yes	
Aggregate name <ul style="list-style-type: none"> The name of the aggregate that holds the SVM root volume. This aggregate must exist. 	Yes	
Security style <ul style="list-style-type: none"> The security style for the SVM root volume. Possible values are ntfs, unix, and mixed. 	Yes	
IPspace name <ul style="list-style-type: none"> The IPspace to which the SVM is assigned. This IPspace must exist. 	No	
SVM language setting <ul style="list-style-type: none"> The default language to use for the SVM and its volumes. If you do not specify a default language, the default SVM language is set to C.UTF-8. The SVM language setting determines the character set used to display file names and data for all NAS volumes in the SVM. You can modify The language after the SVM is created. 	No	

LIF configuration

An SVM serves data to clients and hosts through one or more network logical interfaces (LIFs).

Information	Required?	Your values
SVM name <ul style="list-style-type: none"> The name of the SVM for the LIF. 	Yes	

<p>LIF name</p> <ul style="list-style-type: none"> • The name of the LIF. • You can assign multiple data LIFs per node, and you can assign LIFs to any node in the cluster, provided that the node has available data ports. • To provide redundancy, you should create at least two data LIFs for each data subnet, and the LIFs assigned to a particular subnet should be assigned home ports on different nodes. <p>Important: If you are configuring a SMB server to host Hyper-V or SQL Server over SMB for nondisruptive operation solutions, the SVM must have at least one data LIF on every node in the cluster.</p>	Yes	
<p>LIF role</p> <ul style="list-style-type: none"> • The role of the LIF. • Data LIFs are assigned the data role. 	<p>Yes</p> <p>Deprecated from ONTAP 9.6</p>	data
<p>Service policy</p> <p>Service policy for the LIF.</p> <p>The service policy defines which network services can use the LIF. Built-in services and service policies are available for managing data and management traffic on both data and system SVMs.</p>	<p>Yes</p> <p>Beginning with ONTAP 9.6</p>	

<p>Allowed protocols</p> <ul style="list-style-type: none"> • The protocols that can use the LIF. • By default, SMB, NFS, and FlexCache are allowed. The FlexCache protocol enables a volume to be used as an origin volume for a FlexCache volume on a system running Data ONTAP operating in 7-Mode. <div>  <p>The protocols that use the LIF cannot be modified after the LIF is created. You should specify all protocols when you configure the LIF.</p> </div>	No	
<p>Home node</p> <ul style="list-style-type: none"> • The node to which the LIF returns when the LIF is reverted to its home port. • You should record a home node for each data LIF. 	Yes	
<p>Home port or broadcast domain</p> <ul style="list-style-type: none"> • The port to which the logical interface returns when the LIF is reverted to its home port. • You should record a home port for each data LIF. 	Yes	
<p>Subnet name</p> <ul style="list-style-type: none"> • The subnet to assign to the SVM. • All data LIFs used to create continuously available SMB connections to application servers must be on the same subnet. 	Yes (if using a subnet)	

DNS configuration

You must configure DNS on the SVM before creating an NFS or SMB server.

Information	Required?	Your values
SVM name <ul style="list-style-type: none">• The name of the SVM on which you want to create an NFS or SMB server.	Yes	
DNS domain name <ul style="list-style-type: none">• A list of domain names to append to a host name when performing host- to-IP name resolution.• List the local domain first, followed by the domain names for which DNS queries are most often made.	Yes	

<p>IP addresses of the DNS servers</p> <p>* List of IP addresses for the DNS servers that will provide name resolution for the NFS or SMB server.</p> <p>* The listed DNS servers must contain the service location records (SRV) needed to locate the Active Directory LDAP servers and domain controllers for the domain that the SMB server will join. The SRV record is used to map the name of a service to the DNS computer name of a server that offers that service. SMB server creation fails if ONTAP cannot obtain the service location records through local DNS queries. The simplest way to ensure that ONTAP can locate the Active Directory SRV records is to configure Active Directory-integrated DNS servers as the SVM DNS servers. You can use non-Active Directory-integrated DNS servers provided that the DNS administrator has manually added the SRV records to the DNS zone that contains information about the Active Directory domain controllers.</p> <p>* For information about the Active Directory-integrated SRV records, see the topic How DNS Support for Active Directory Works on Microsoft TechNet.</p>	Yes	
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Dynamic DNS configuration

Before you can use dynamic DNS to automatically add DNS entries to your Active Directory- integrated DNS servers, you must configure dynamic DNS (DDNS) on the SVM.

DNS records are created for every data LIF on the SVM. By creating multiple data LIFS on the SVM, you can load-balance client connections to the assigned data IP addresses. DNS load balances connections that are made using the host name to the assigned IP addresses in a round- robin fashion.

Information	Required?	Your values
<p>SVM name</p> <ul style="list-style-type: none"> The SVM on which you want to create an NFS or SMB server. 	Yes	

<p>Whether to use DDNS</p> <ul style="list-style-type: none"> • Specifies whether to use DDNS. • The DNS servers configured on the SVM must support DDNS. By default, DDNS is disabled. 	Yes	
<p>Whether to use secure DDNS</p> <ul style="list-style-type: none"> • Secure DDNS is supported only with Active Directory-integrated DNS. • If your Active Directory-integrated DNS allows only secure DDNS updates, the value for this parameter must be true. • By default, secure DDNS is disabled. • Secure DDNS can be enabled only after a SMB server or an Active Directory account has been created for the SVM. 	No	
<p>FQDN of the DNS domain</p> <ul style="list-style-type: none"> • The FQDN of the DNS domain. • You must use the same domain name configured for DNS name services on the SVM. 	No	

Create IPspaces

You can use an IPspace to create a distinct IP address space for each SVM in a cluster. Doing so enables clients in administratively separate network domains to access cluster data while using overlapping IP addresses from the same IP address subnet range.

Before you begin

You must be a cluster administrator to perform this task.

Step

Create an IPspace.

```
network ipspace create -ipspace ipspace1
```

```
network ipspace show
```

IPspace	Vserver List	Broadcast Domains
Cluster	Cluster	Cluster
Default	Cluster1	Default
ipspace1	ipspace1	-

The IPspace is created, along with the system SVM for the IPspace. The system SVM carries management traffic.

Determining which ports can be used for a broadcast domain

Before you can configure a broadcast domain to add to the new IPspace, you must determine what ports are available for the broadcast domain.



This task is relevant for ONTAP 9.0 - 9.7, not ONTAP 9.8.

Before you begin

You must be a cluster administrator to perform this task.

About this task

- Ports can be physical ports, VLANs, or interface groups (ifgroups).
- The ports that you want to add to the new broadcast domain cannot be assigned to an existing broadcast domain.
- If the ports that you want to add to the broadcast domain are already in another broadcast domain (for example, the Default broadcast domain in the Default IPspace), you must remove the ports from that broadcast domain before assigning them to the new broadcast domain.
- Ports that have LIFs assigned to them cannot be removed from a broadcast domain.
- Because the cluster management and node management LIFs are assigned to the Default broadcast domain in the Default IPspace, the ports assigned to these LIFs cannot be removed from the Default broadcast domain.

Steps

1. Determine the current port assignments.

```
network port show
```

Node	Port	IPspace	Broadcast Domain	Link	MTU	Admin/Oper
-----	----	-----	-----	-----	----	-----
node1						
	e0a	Cluster	Cluster	up	9000	auto/1000
	e0b	Cluster	Cluster	up	9000	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	Default	up	1500	auto/1000
	e0f	Default	Default	up	1500	auto/1000
	e0g	Default	Default	up	1500	auto/1000
node2						
	e0a	Cluster	Cluster	up	9000	auto/1000
	e0b	Cluster	Cluster	up	9000	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	Default	up	1500	auto/1000
	e0f	Default	Default	up	1500	auto/1000
	e0g	Default	Default	up	1500	auto/1000

In this example, the output from the command provides the following information:

- Ports e0c, e0d, e0e, e0f, and e0g on each node are assigned to the Default broadcast domain.
- These ports are potentially available to use in the broadcast domain of the IPspace that you want to create.

- Determine which ports in the Default broadcast domain are assigned to LIF interfaces, and therefore cannot be moved to a new broadcast domain.

network interface show

Vserver	Logical Interface	Status Admin/Oper	Network Address/Mask	Current Node	Current Port	Is Home
-----	-----	-----	-----	-----	-----	-----
Cluster						
	node1_clus1	up/up	10.0.2.40/24	node1	e0a	true
	node1_clus2	up/up	10.0.2.41/24	node1	e0b	true
	node2_clus1	up/up	10.0.2.42/24	node2	e0a	true
	node2_clus2	up/up	10.0.2.43/24	node2	e0b	true
cluster1						
	cluster_mgmt	up/up	10.0.1.41/24	node1	e0c	true
	node1_mgmt	up/up	10.0.1.42/24	node1	e0c	true
	node2_mgmt	up/up	10.0.1.43/24	node2	e0c	true

In the following example, the output from the command provides the following information:

- The node ports are assigned to port `e0c` on each node and the cluster administrative LIF's home node is on `e0c` on `node1`.
- Ports `e0d`, `e0e`, `e0f`, and `e0g` on each node are not hosting LIFs and can be removed from the Default broadcast domain and then added to a new broadcast domain for the new IPspace.

Remove ports from a broadcast domain

If the ports that you want to add to the new broadcast domain are already in another broadcast domain, you must remove the ports from that broadcast domain before assigning them to the new broadcast domain.



This task is relevant for ONTAP 9.0 - 9.7, not ONTAP 9.8.

Before you begin

You must be a cluster administrator to perform this task.

Steps

1. Remove ports from the broadcast domain specifying the following:
 - IPspace, `Default` in the following sample.
 - Broadcast domain, `Default` in the following sample.
 - Ports, using the node and port syntax, `node1:e0d,node1:e0e,node2:e0d,node2:e0e` in the following sample.

```
network port broadcast-domain remove-ports -ipspace Default
-broadcast-domain Default -ports
node1:e0d,node1:e0e,node2:e0d,node2:e0e
```

2. Verify that the ports were removed from the broadcast domain:

```
network port show
```

Create a broadcast domain

You must create a broadcast domain for a custom IPspace. The SVMs created in the IPspace use the ports in the broadcast domain.



This task is relevant for ONTAP 9.0 - 9.7, not ONTAP 9.8.

Before you begin

You must be a cluster administrator to perform this task.

About this task

The port to which a LIF fails over must be a member of the failover group for the LIF. When you create a broadcast domain, ONTAP automatically creates a failover group with the same name. The failover group contains all the ports assigned to the broadcast domain.

Steps

1. Create a broadcast domain.

```
network port broadcast-domain create -ipspace ipspace1 -broadcast-domain  
-ipspace1 -mtu 1500 -ports node1:e0d,node1:e0e,node2:e0d,node2:e0e
```

2. Verify that the broadcast domain configuration is correct.

- a. Verify the broadcast domain is correct:

```
network port broadcast-domain show
```

- b. Verify the network port is correct:

```
network port show
```

- c. Verify the failover group names and failover targets are correct:

```
network interface failover-groups show
```

Create a subnet

You can create a subnet to allocate specific blocks of IPv4 or IPv6 addresses to be used later when you create LIFs for the SVM.

This enables you to create LIFs more easily by specifying a subnet name instead of having to specify IP address and network mask values for each LIF.

Before you begin

You must be a cluster administrator to perform this task.

Procedure

The procedure you follow depends on the interface that you use—System Manager or the CLI:

System Manager

Beginning with ONTAP 9.12.0, you can use System Manager to create a subnet.

Steps

1. Select **Network > Overview > Subnets**.
2. Click **+ Add** to create a subnet.
3. Name the subnet.
4. Specify the subnet IP address.
5. Set the subnet mask.
6. Define the range of IP addresses that comprise the subnet.
7. If useful, specify a gateway.
8. Select the broadcast domain to which the subnet belongs.
9. Save your changes.
 - a. If the IP address or range entered is already used by an interface, the following message is displayed:
An IP address in this range is already in use by a LIF. Associate the LIF with this subnet?
 - b. When you click **OK**, the existing LIF will be associated with the subnet.

CLI

Use the CLI to create a subnet.

Steps

1. Create a subnet.

```
network subnet create -broadcast-domain ipspace1 -ip-space ipspace1 -subnet  
-name ipspace1 -subnet 10.0.0.0/24 -gateway 10.0.0.1 -ip-ranges  
"10.0.0.128-10.0.0.130,10.0.0.132"
```

The subnet name can be either a subnet IP value such as 192.0.2.0/24 or a string such as ipspace1 like the one used in this example.

2. Verify that the subnet configuration is correct.

The output from this example shows information about the subnet named ipspace1 in the ipspace1 IPspace. The subnet belongs to the broadcast domain name ipspace1. You can assign the IP addresses in this subnet to data LIFs for SVMs created in the ipspace1 IPspace.

```
network subnet show -ip-space ipspace1
```

Create SVMs

You must create an SVM to serve data to clients.

Before you begin

- You must be a cluster administrator to perform this task.
- You must know which security style the SVM root volume will have.

If you plan to implement a Hyper-V or SQL Server over SMB solution on this SVM, you should use NTFS security style for the root volume. Volumes that contain Hyper-V files or SQL database files must be set to NTFS security at the time they are created. By setting the root volume security style to NTFS, you ensure that you do not inadvertently create UNIX or mixed security-style data volumes.

- Beginning in ONTAP 9.13.1, you can set a maximum capacity for a storage VM. You can also configure alerts when the SVM approaches a threshold capacity level. For more information, see [Manage SVM capacity](#).

System Manager

You can use System Manager to create a storage VM.

Steps

1. Select **Storage VMs**.
2. Click **+ Add** to create a storage VM.
3. Name the storage VM.
4. Select the access protocol:
 - SMB/CIFS, NFS
 - iSCSI
 - FC
 - NVMe
 - a. If you select **Enable SMB/CIFS**, complete the following configuration:

Field or check box	Description
Administrator Name	Specify the administrator user name for the SMB/CIFS storage VM.
Password	Specify the administrator password for the SMB/CIFS storage VM.
Server Name	Specify the server name for the SMB/CIFS storage VM.
Active Directory Domain	Specify the active directory domain to provide user authentication for the SMB/CIFS storage VM.
Organizational Unit	Specify the organizational unit within the Active Directory domain associated with the SMB/CIFS server. "CN=Computers" is the default value, which can be modified.
Encrypts data while accessing the shares in the storage VM	Select this check box to encrypt data using SMB 3.0 to prevent unauthorized file access on the shares in the SMB/CIFS storage VM.
Domains	Add, remove, or reorder the domains listed for the SMB/CIFS storage VM.
Name Servers	Add, remove, or reorder the name servers for the SMB/CIFS storage VM.

Default Language	Specifies the default language-encoding setting for the storage VM and its volumes. Use the CLI to change the settings for individual volumes within a storage VM.
Network Interface	<p>For each network interface you configure for the storage VM, select an existing subnet (if at least one exists) or specify Without a subnet and complete the IP Address and Subnet Mask fields. If useful, select the Use the same subnet mask and gateway for all of the following interfaces check box.</p> <p>You can allow the system to automatically select the home port, or manually select the one you want to use from the list.</p>
Manage administrator account	Select this check box if you want to manage the storage VM administrator account. When selected, specify the user name, password, confirm the password, and indicate if you want to add a network interface for storage VM management.

b. If you select **Enable NFS**, complete the following configuration:

Field or check box	Description
Allow NFS client access check box	Select this check box when all volumes created on the NFS storage VM should use the root volume path "/" to mount and traverse. Add rules to the export policy "default" to allow uninterrupted mount traversal.

Rules	<p>Click + Add to create rules.</p> <ul style="list-style-type: none"> • Client Specification: Specify the host names, IP addresses, netgroups, or domains. • Access Protocols: Select a combination of the following options: <ul style="list-style-type: none"> ◦ SMB/CIFS ◦ FlexCache ◦ NFS <ul style="list-style-type: none"> ▪ NFSv3 ▪ NFSv4 • Access Details: For each type of user, specify the level of access, either read-only, read/writer, or superuser. User types include: <ul style="list-style-type: none"> ◦ All ◦ All (as anonymous user) ◦ UNIX ◦ Kerberos 5 ◦ Kerberos 5i ◦ Kerberos 5p ◦ NTLM <p>Save the rule.</p>
Default Language	Specifies the default language-encoding setting for the storage VM and its volumes. Use the CLI to change the settings for individual volumes within a storage VM.
Network Interface	<p>For each network interface you configure for the storage VM, select an existing subnet (if at least one exists) or specify Without a subnet and complete the IP Address and Subnet Mask fields. If useful, select the Use the same subnet mask and gateway for all of the following interfaces check box.</p> <p>You can allow the system to automatically select the home port, or manually select the one you want to use from the list.</p>
Manage administrator account	Select this check box if you want to manage the storage VM administrator account. When selected, specify the user name, password, confirm the password, and indicate if you want to add a network interface for storage VM management.

c. If you select **Enable iSCSI**, complete the following configuration:

Field or check box	Description
Network Interface	For each network interface you configure for the storage VM, select an existing subnet (if at least one exists) or specify Without a subnet and complete the IP Address and Subnet Mask fields. If useful, select the Use the same subnet mask and gateway for all of the following interfaces check box. You can allow the system to automatically select the home port, or manually select the one you want to use from the list.
Manage administrator account	Select this check box if you want to manage the storage VM administrator account. When selected, specify the user name, password, confirm the password, and indicate if you want to add a network interface for storage VM management.

d. If you select **Enable FC**, complete the following configuration:

Field or check box	Description
Configure FC Ports	Select the network interfaces on the nodes you want to include in the storage VM. Two network interfaces per node are recommended.
Manage administrator account	Select this check box if you want to manage the storage VM administrator account. When selected, specify the user name, password, confirm the password, and indicate if you want to add a network interface for storage VM management.

e. If you select **Enable NVMe/FC**, complete the following configuration:

Field or check box	Description
Configure FC Ports	Select the network interfaces on the nodes you want to include in the storage VM. Two network interfaces per node are recommended.
Manage administrator account	Select this check box if you want to manage the storage VM administrator account. When selected, specify the user name, password, confirm the password, and indicate if you want to add a network interface for storage VM management.

f. If you select **Enable NVMe/TCP**, complete the following configuration:

Field or check box	Description
Network Interface	For each network interface you configure for the storage VM, select an existing subnet (if at least one exists) or specify Without a subnet and complete the IP Address and Subnet Mask fields. If useful, select the Use the same subnet mask and gateway for all of the following interfaces check box. You can allow the system to automatically select the home port, or manually select the one you want to use from the list.
Manage administrator account	Select this check box if you want to manage the storage VM administrator account. When selected, specify the user name, password, confirm the password, and indicate if you want to add a network interface for storage VM management.

5. Save your changes.

CLI

Use the ONTAP CLI to create a subnet.

Steps

1. Determine which aggregates are candidates for containing the SVM root volume.

```
storage aggregate show -has-mroot false
```

You must choose an aggregate that has at least 1 GB of free space to contain the root volume. If you intend to configure NAS auditing on the SVM, you must have a minimum of 3 GB of extra free space on the root aggregate, with the extra space being used to create the auditing staging volume when auditing is enabled.



If NAS auditing is already enabled on an existing SVM, the aggregate's staging volume is created immediately after aggregate creation is successfully completed.

2. Record the name of the aggregate on which you want to create the SVM root volume.
3. If you plan on specifying a language when you create the SVM and do not know the value to use, identify and record the value of the language you want to specify:

```
vserver create -language ?
```

4. If you plan on specifying a Snapshot policy when you create the SVM and do not know the name of the policy, list the available policies and identify and record the name of the Snapshot policy you want to use:

```
volume snapshot policy show -vserver vserver_name
```

5. If you plan on specifying a quota policy when you create the SVM and do not know the name of the policy, list the available policies and identify and record the name of the quota policy you want to use:

```
volume quota policy show -vserver vs1
```

6. Create an SVM:

```
vserver create -vserver vs1 -aggregate aggr3 -rootvolume  
root_volume_name -rootvolume-security-style {unix|ntfs|mixed} [-ipspace  
IPspace_name] [-language <language>] [-snapshot-policy  
snapshot_policy_name] [-quota-policy quota_policy_name] [-comment comment]
```

```
vserver create -vserver vs1 -aggregate aggr3 -rootvolume vs1_root  
-rootvolume-security-style ntfs -ipspace ipspace1 -language  
en_US.UTF-8
```

[Job 72] Job succeeded: Vserver creation completed

7. Verify that the SVM configuration is correct.

```
vserver show -vserver vs1
```

```
Vserver: vs1  
Vserver Type: data  
Vserver Subtype: default  
Vserver UUID: 11111111-1111-1111-1111-111111111111  
Root Volume: vs1_root  
Aggregate: aggr3  
NIS Domain: -  
Root Volume Security Style: ntfs  
LDAP Client: -  
Default Volume Language Code: en_US.UTF-8  
Snapshot Policy: default  
Comment:  
Quota Policy: default  
List of Aggregates Assigned: -  
Limit on Maximum Number of Volumes allowed: unlimited  
Vserver Admin State: running  
Vserver Operational State: running  
Vserver Operational State Stopped Reason: -  
Allowed Protocols: nfs, cifs, ndmp  
Disallowed Protocols: fcp, iscsi  
QoS Policy Group: -  
Config Lock: false  
IPspace Name: ipspace1  
Is Vserver Protected: false
```

In this example, the command creates the SVM named "vs1" in IPspace "ipspace1". The root volume is named "vs1_root" and is created on aggr3 with NTFS security style.



Beginning in ONTAP 9.13.1, you can set an adaptive QoS policy group template, applying a throughput floor and ceiling limit to volumes in the SVM. You can only apply this policy after you create the SVM. To learn more about this process, see [Set an adaptive policy group template](#).

Create LIFs

An SVM serves data to clients through one or more network logical interfaces (LIFs). You must create LIFs on the ports you want to use to access data.

Best practice

Switch ports connected to ONTAP should be configured as spanning-tree edge ports to reduce delays during LIF migration.

Before you begin

You must be a cluster administrator to perform this task.

About this task

Beginning with ONTAP 9.7, ONTAP automatically chooses the home port of a LIF, as long as at least one LIF already exists in the same subnet in that IPspace. ONTAP chooses a home-port in the same broadcast domain as other LIFs in that subnet. You can still specify a home port, but it is no longer required (unless no LIFs yet exist in that subnet in the specified IPspace).

You should not configure LIFs that carry SMB traffic to automatically revert to their home nodes. This recommendation is mandatory if the SMB server is to host a solution for nondisruptive operations with Hyper-V or SQL Server over SMB.

Steps

1. Determine which broadcast domain ports you want to use for the LIF.

```
network port broadcast-domain show -ipspace ipspace1
```

IPspace Name	Broadcast Domain name	MTU	Port List	Update Status Details
ipspace1	default	1500	node1:e0d node1:e0e node2:e0d node2:e0e	complete complete complete complete

2. Verify that the subnet you want to use for the LIFs contains sufficient unused IP addresses.

```
network subnet show -ipspace ipspace1
```

3. Create one or more LIFs on the ports you want to use to access data.

```
network interface create -vserver vs1 -lif lif1 -home-node node1 -home-port  
e0d -service-policy default-data-files -subnet-name ipspace1
```

4. Verify that the LIF interface configuration is correct.

```
network interface show -vserver vs1
```

Vserver	Logical Interface	Status Admin/Oper	Network Address/Mask	Current Node	Current Port	Is Home
vs1	lif1	up/up	10.0.0.128/24	node1	e0d	true

5. Verify that the failover group configuration is as desired.

```
network interface show -failover -vserver vs1
```

Vserver	Logical interface	Home Node:Port	Failover Policy	Failover Group
vs1	lif1	node1:e0d	system-defined	ipspace1
Failover Targets: node1:e0d, node1:e0e, node2:e0d, node2:e0e				

Configure DNS services

You must configure DNS services for the SVM before creating an NFS or SMB server. Generally, the DNS name servers are the Active Directory-integrated DNS servers for the domain that the NFS or SMB server will join.

About this task

Active Directory-integrated DNS servers contain the service location records (SRV) for the domain LDAP and domain controller servers. If the SVM cannot find the Active Directory LDAP servers and domain controllers, NFS or SMB server setup fails.

SVMs use the hosts name services ns-switch database to determine which name services to use and in which order when looking up information about hosts. The two supported name services for the hosts database are `files` and `dns`.

You must ensure that `dns` is one of the sources before you create the SMB server.



To view the statistics for DNS name services for the `mgwd` process and `SecD` process, use the Statistics UI.

Steps

1. Determine what the current configuration is for the `hosts` name services database.

In this example, the hosts name service database uses the default settings.

```
vserver services name-service ns-switch show -vserver vs1 -database hosts
```

```
Vserver: vs1
Name Service Switch Database: hosts
Name Service Source Order: files, dns
```

2. Perform the following actions, if required.

- a. Add the DNS name service to the hosts name service database in the desired order, or reorder the sources.

In this example, the hosts database is configured to use DNS and local files in that order.

```
vserver services name-service ns-switch modify -vserver vs1 -database hosts
-sources dns,files
```

- b. Verify that the name services configuration is correct.

```
vserver services name-service ns-switch show -vserver vs1 -database hosts
```

3. Configure DNS services.

```
vserver services name-service dns create -vserver vs1 -domains
example.com,example2.com -name-servers 10.0.0.50,10.0.0.51
```



The `vserver services name-service dns create` command performs an automatic configuration validation and reports an error message if ONTAP is unable to contact the name server.

4. Verify that the DNS configuration is correct and that the service is enabled.

```
Vserver: vs1
Domains: example.com, example2.com Name
Servers: 10.0.0.50, 10.0.0.51
Enable/Disable DNS: enabled Timeout (secs): 2
Maximum Attempts: 1
```

5. Validate the status of the name servers.

```
vserver services name-service dns check -vserver vs1
```

Vserver	Name Server	Status	Status Details
vs1	10.0.0.50	up	Response time (msec): 2
vs1	10.0.0.51	up	Response time (msec): 2

Configure dynamic DNS on the SVM

If you want the Active Directory-integrated DNS server to dynamically register the DNS records of an NFS or SMB server in DNS, you must configure dynamic DNS (DDNS) on the SVM.

Before you begin

DNS name services must be configured on the SVM. If you are using secure DDNS, you must use Active Directory-integrated DNS name servers and you must have created either an NFS or SMB server or an Active Directory account for the SVM.

About this task

The specified fully qualified domain name (FQDN) must be unique:

- For NFS, the value specified in `-vserver-fqdn` as part of the `vserver services name-service dns dynamic-update` command becomes the registered FQDN for the LIFs.
- For SMB, the values specified as the CIFS server NetBIOS name and the CIFS server fully qualified domain name become the registered FQDN for the LIFs. This is not configurable in ONTAP. In the following scenario, the LIF FQDN is "CIFS_VS1.EXAMPLE.COM":

```
cluster1::> cifs server show -vserver vs1

                                Vserver: vs1
                                CIFS Server NetBIOS Name: CIFS_VS1
                                NetBIOS Domain/Workgroup Name: EXAMPLE
                                Fully Qualified Domain Name: EXAMPLE.COM
                                Organizational Unit: CN=Computers
Default Site Used by LIFs Without Site Membership:
                                Workgroup Name: -
                                Kerberos Realm: -
                                Authentication Style: domain
CIFS Server Administrative Status: up
CIFS Server Description:
List of NetBIOS Aliases: -
```



To avoid a configuration failure of an SVM FQDN that is not compliant to RFC rules for DDNS updates, use an FQDN name that is RFC compliant. For more information, see [RFC 1123](#).

Steps

1. Configure DDNS on the SVM:

```
vserver services name-service dns dynamic-update modify -vserver vserver_name
-is- enabled true [-use-secure {true|false} -vserver-fqdn
FQDN_used_for_DNS_updates
```

```
vserver services name-service dns dynamic-update modify -vserver vs1 -is
-enabled true - use-secure true -vserver-fqdn vs1.example.com
```

Asterisks cannot be used as part of the customized FQDN. For example, `*.netapp.com` is not valid.

2. Verify that the DDNS configuration is correct:

```
vserver services name-service dns dynamic-update show
```

Vserver	Is-Enabled	Use-Secure	Vserver FQDN	TTL
vs1	true	true	vs1.example.com	24h

Configure dynamic DNS services

If you want the Active Directory-integrated DNS server to dynamically register the DNS records of an NFS or SMB server in DNS, you must configure dynamic DNS (DDNS) on the SVM.

Before you begin

DNS name services must be configured on the SVM. If you are using secure DDNS, you must use Active Directory-integrated DNS name servers and you must have created either an NFS or SMB server or an Active Directory account for the SVM.

About this task

The specified FQDN must be unique.



To avoid a configuration failure of an SVM FQDN that is not compliant to RFC rules for DDNS updates, use an FQDN name that is RFC compliant.

Steps

1. Configure DDNS on the SVM:

```
vserver services name-service dns dynamic-update modify -vserver vserver_name  
-is-enabled true [-use-secure {true|false}] -vserver-fqdn  
FQDN_used_for_DNS_updates
```

```
vserver services name-service dns dynamic-update modify -vserver vs1 -is-  
enabled true - use-secure true -vserver-fqdn vs1.example.com
```

Asterisks cannot be used as part of the customized FQDN. For example, *.netapp.com is not valid.

2. Verify that the DDNS configuration is correct:

```
vserver services name-service dns dynamic-update show
```

Vserver	Is-Enabled	Use-Secure	Vserver FQDN	TTL
vs1	true	true	vs1.example.com	24h

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