

MUTUAL FUNDS

C

(Please read the instructions before filling up the form. All sections to be completed in english in black / blue coloured ink and in block letters.)

Do you still want to fill this form? While you can save this form, you can also cancel it. **Partner P**

Distributor Name & ARN/ RIA No. **Sub Broker Name & ARN/ RIA No.** **Sub Broker Code** **Employee Unique ID. No. (EUIN)** **Application No.**

Distributor Mobile No. **Distributor Email Id**

Applicable only for Regular Schemes. Please note the Distributor Mobile & Email Id will not be updated in the Broker Master and will be restricted to this transaction only.

EUIN: I/we hereby confirm that the EUIN box has been intentionally left blank by me/ us as this transaction is executed without any interaction or advice by the employee/ relationship manager/ sales person or the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

1. APPLICANT

Name of First/Sole Applicant (as per PAN Card) **Mr. Ms. M/s.** **Date of Birth (Mandatory)** **D D M M Y Y Y Y**

PAN / PEKRN (Mandatory) **CKYC** **No.**

This mobile number pertains to (Mandatory): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian

Name of the Second Applicant (as per PAN Card) **Mr. Ms. M/s.** **Date of Birth (Mandatory)** **D D M M Y Y Y Y**

PAN / PEKRN (Mandatory) **CKYC** **No.**

Email ID

This mobile number pertains to (Mandatory): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Dependent Siblings ☐ Guardian

Name of the Guardian (as per PAN Card) (in case First / Sole Applicant is minor) / Contact Person - Designation - POA Holder (in case of non-individual investors) **Mr. Ms. M/s.** **Date of Birth (Mandatory)** **D D M M Y Y Y Y**

CKYC Number

Relationship of Guardian in case first holder is minor (Refer Instruction No. 2(ii)) ☐ Father ☐ Mother ☐ Legal Guardian

Please provide the proof for Relationship with minor ☐ Birth Certificate ☐ Passport ☐ Other (Please Specify)

Tax Status [Please tick (✓)] (Applicable for First / Sole Applicant) (Please Refer Instruction No. 2(vii))

☐ Resident Individual ☐ NRI-NRE ☐ PIO ☐ HUF ☐ NPO ☐ Bank and FI ☐ Company ☐ Government Body

☐ Minor ☐ NRI-NRO ☐ AOP/BOI ☐ Club/Society ☐ Partnership firm ☐ FPIs ☐ Public Limited Company ☐ OCI - Non Repatriation

☐ Sole Proprietor ☐ NRI - Minor ☐ NRI - Minor (NRO) ☐ Trust ☐ Provident Fund ☐ Body Corporate ☐ Private Limited Company ☐ OCI - Repatriation

☐ Others (Please Specify)

Non-Profit Organization (Mandatory) ☐ Yes ☐ No If Yes, Please quote Registration No. of Darpan Portal

Acknowledgement Slip (To be filled in by the Investor) **Common Application Form**

Application No. **Collection Centre / ABSLAMC Stamp & Signature**

Received from Mr. / Ms. Date : / /

[Please Tick (✓)] Enclosed ☐ PAN/PEKRN Proof ☐ KYC Complied

MODE OF HOLDING [Please tick (✓)] (Please Refer Instruction No. 2(v))

☐ Joint☐ Single☐ Anyone or Survivor (Default option is Anyone or survivor)

MAILING ADDRESS OF FIRST / SOLE APPLICANT (P. O. Box Address is not sufficient. Please provide full address.)

CITY

STATE

PINCODE

OVERSEAS ADDRESS (Mandatory for NRI/FPI Applicant.)

CITY

COUNTRY

ZIP CODE

2. GO GREEN [Please tick (✓)] (Refer Instruction No. 10)

☐ SMS Transact☐ Online Access

I/ We would like to register for my/our SMS Transact and/or Online Access

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: [Please tick (✓)]

☐ Account Statement☐ Annual Report☐ Other Statutory Information

3. BANK ACCOUNT DETAILS (In case of Minor investment, bank details should be of the minor, parent or legal guardian of the minor, or joint account of the minor with parent or legal guardian) Refer Instruction No. 3(A)

Name of the Bank

Branch Address

Pin Code

City

Account No.

Account Type [Please tick (✓)]

☐ SAVINGS☐ CURRENT☐ NRE☐ NRO☐ FCNR☐ OTHERS

(Please Specify)

11 Digit IFSC Code

9 Digit MICR Code**

LEI NUMBER

Expiry Date:

D

D

M

M

Y

Y

Y

Y

(LEI Number is Mandatory for Non - Individuals transacting / proposing to transact for an amount of ₹ 50 crores or more) (Refer Instruction 2 (ix))

**If MICR and IFSC code for Redemption/Payout of IDCW Option is available all payouts will be automatically processed as Electronic Payout-RTGS/NEFT/Direct Credit. (Refer Instruction 8 & 12)

4. INVESTMENT & PAYMENT DETAILS [Please tick (✓)] (Refer Instruction No. 5, 9 & 14) (If this section is left blank, only folio will be created)

S. No.	Scheme Name*	Plan	Option	Amount Invested (₹)
1.	ABSL			
2.	ABSL			
3.	ABSL			
4.	ABSL			
5.	ABSL			
6.	ABSL			
7.	ABSL			
8.	ABSL			
9.	ABSL			
10.	ABSL			
11.	ABSL			
12.	ABSL			

(Type of Account : Saving / Current / NRE / NRO / FCNR / NRSR) *All purchases are subject to realization of funds ^Refer to Instruction No. 5 (vi)

PAYMENT DETAILS

Cheque Date

D

D

M

M

Y

Y

Y

Y

Cheque No.

Amount

In case of Minor, Payment should be from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian

Drawn on Bank and Branch

☐ Use existing One Time Mandate (To be filled in case of more than one OTM registration) (In case of minor, mandate should be registered in the name of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.)

Bank Name

A/c No.

Cheque should be submitted, crossed "Account Payee only" and drawn favoring "Aditya Birla Sun Life Mutual Fund".

S. No.	Scheme Name	Plan / Option	Net Amount Paid (₹)	Payment Details	
				Cheque/DD No./UTR No. (in case of NEFT/RTGS)	Bank and Branch
1.	ABSL				

OCCUPATION [Please tick (✓)]

FIRST APPLICANT	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others	(please specify)				
SECOND APPLICANT	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others	(please specify)				
THIRD APPLICANT	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others	(please specify)				

FIRST APPLICANT	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore Net worth (Mandatory for Non - Individuals) ₹ _____ as on _____ [Not older than 1 year]
SECOND APPLICANT	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore OR Net Worth _____
THIRD APPLICANT	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore OR Net Worth _____

For Individuals				For Non-Individual Investors (Companies, Trust, Partnership etc.)	
	I am Politically Exposed Person	I am Related to Politically Exposed Person	Not Applicable		
Sole/First Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: (If No, please attach mandatory UBO Declaration)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Second Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Foreign Exchange / Money Charger Services	<input type="checkbox"/> Yes <input type="checkbox"/> No
Third Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Gaming / Gambling / Lottery / Casino Services	<input type="checkbox"/> Yes <input type="checkbox"/> No
				Money Lending / Pawning	<input type="checkbox"/> Yes <input type="checkbox"/> No

NSDL:	Depository Participant Name: _____	DPID No.:	<table border="1"> <tr> <td>I</td> <td>N</td> <td></td> </tr> </table>	I	N		Beneficiary A/c No.	_____
I	N							
CDSL:	Depository Participant Name: _____		Beneficiary A/c No.	_____				

6. NOMINATION DETAILS (Mandatory) (Refer Instruction No. 7)

☐ I/We wish to nominate ☐ I/We do not wish to nominate
☐ I/We want the details of my / our nominee to be printed in the statement of holding ☐ Yes ☐ No (Default will be No if not filled)

Nominee Name ⁵	PAN / DL / Aadhaar (last 4 digits) *** ⁵	Nominee DOB / Relationship with primary unitholder ⁵	Share %**	Guardian Name and Relationship (In case of Minor) ⁵	Email Id/ Mobile No ⁵	Address ⁵
Nominee 1		<div><div><div><div></div><div></div><div></div><div></div><div></div><div></div></div><div>Relationship</div></div></div>		<div>Guardian Name:</div> <div>Relationship:</div>	<div>Email:</div> <div>Mobile:</div>	
Nominee 2		<div><div><div><div></div><div></div><div></div><div></div><div></div><div></div></div><div>Relationship</div></div></div>		<div>Guardian Name:</div> <div>Relationship:</div>	<div>Email:</div> <div>Mobile:</div>	
Nominee 3		<div><div><div><div></div><div></div><div></div><div></div><div></div><div></div></div><div>Relationship</div></div></div>		<div>Guardian Name:</div> <div>Relationship:</div>	<div>Email:</div> <div>Mobile:</div>	

1. I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s).

Signature of the 1 st unitholder		Signature of the 2 nd unitholder		Signature of the 3 rd unitholder	
Name of Witness		Address		Signature of Witness	
Witness 1					
Witness 2					

7. FATCA & CRS INFORMATION [Please tick (✓)] For Individual Investors including Sole Proprietor (Non Individual Investors should mandatorily fill seperate FATCA detail form)

The below information is required for all applicant(s)/ guardian

Address Type: ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office (for address mentioned in form/existing address appearing in Folio)

Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? ☐ Yes ☐ No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Name of Applicant			
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Tax Payer Ref. ID No^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

8. DECLARATION(S) & SIGNATURE(S) (Refer Instruction No. 1)

To,

The Trustee,

Aditya Birla Sun Life Trustee Private Limited.

Date

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

For Non-Individual Investors: I/We hereby confirm that the object clause of the constitution document of the entity (viz. MOA / AOA / Trust Deed, etc.), allows us to apply for investment in this scheme of Aditya Birla Sun Life AMC Limited and the application is being made within the limits for the same. I/We are complying with all requirements / conditions of the entity while applying for the investments and I/We, including the entity, if the case may arise so, hereby agree to indemnify ABSLAMC / ABSLMF in case of any dispute regarding the eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity.

For NRIs only: I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External/Non-Resident Ordinary/FCNR account. (Refer Inst. No. 6)

I/We confirm that details provided by me/us are true and correct.**

** I have voluntarily subscribed to the on-line access for transacting through the internet facility provided by Aditya Birla Sun Life AMC Limited (Investment Manager of Aditya Birla Sun Life Mutual Fund) and confirm of having read, understood and agree to abide the terms and conditions for availing of the internet facility more particularly mentioned on the <https://mutualfund.adityabirlacapital.com/> and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

"I / We acknowledge that the RIA has entered into an agreement with the AMC / MF for accepting transaction feeds under the code. I / We hereby indemnify, defend and hold harmless the AMC / MF against any regulatory action, damage or liability that they may suffer, incur or become subject to in connection therewith or arising from sharing, disclosing and transferring of the aforesaid information."

FATCA & CRS Declaration: I/ We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer Inst. No.13)

Signature of First Applicant / Authorised Signatory	Signature of Second Applicant	Signature of Third Applicant
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VALUE ADD

I/We am/are interested in knowing my/our credit score and am/are happy to receive help in this regard.

I / We hereby provide my consent to:-

- Aditya Birla Sun Life AMC Limited and its group companies & associates to conduct check on my/our credit information with any of the credit bureau.
- Aditya Birla Sun Life AMC Limited and its group companies & associates to conduct a background check either by their employees or through any third party vendor. ☐ Yes ☐ No