



# USER MANUAL

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THIS MANUAL DETAILS HOW TO IMPLEMENT THE NOVEL SOLVER
PYTHON CODE FOR ANY BATTERY MODEL



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## 1) IMPLEMENTING A MODEL

To implement a model using the Python scripts, the scripts below need to be changed (<a href="https://github.com/Dharshannan/FUSE Li-S Battery Modelling/tree/main/Finalized Scripts">https://github.com/Dharshannan/FUSE Li-S Battery Modelling/tree/main/Finalized Scripts</a>):

#### a) func.py

This script contains the defined symbols and discretized equations, including some helper functions to define the function arrays and jacobian matrix.

```
b) LiS_Backtrack_Solver.py
```

This script contains the LiS\_Model calss variable and the solver function, the only change that needs to be made here is to include all the defined/changed parameters into the \_\_init function of the LiS\_Model class.

Let's take a look at how to implement a model starting with the *func.py* script:

```
## Define all parameters as symbols
F = symbols('F')
Ms = symbols('Ms')
nH = symbols('nH')
nM = symbols('nM')
nL = symbols('nL')
ns8 = symbols('ns8')
R = symbols('R')
ps = symbols('ps') # rho_s
a = symbols('a')
v = symbols('v')
EH0 = symbols('EH0')
EM0 = symbols('EM0')
EL0= symbols('EL0')
jH0 = symbols('jH0')
jM0 = symbols('jM0')
jL0 = symbols('jL0')
CT0 = symbols('CT0')
D8 = symbols('D8')
D4 = symbols('D4')
D2 = symbols('D2')
D1 = symbols('D1')
DLi = symbols('DLi')
kp = symbols('kp')
 ks = symbols('ks')
Ksp = symbols('Ksp')
T = symbols('T')
```

The first step is to define the parameter as symbols as shown above in the script. The symbols function here is an imported function from the sympy library.

The next step is to define the variables as symbols as well, followed by previous variable symbols (\* this is used for discretization of the model) as shown below:

```
# Define variable symbols
## Cathode Variables
Li cath = symbols('Li cath')
s8 cath = symbols('s8 cath')
s4 cath = symbols('s4 cath')
s2_cath = symbols('s2_cath')
s1_cath = symbols('s1_cath')
sp_cath = symbols('sp_cath')
## Seperator Variables
Li_sep = symbols('Li_sep')
s8_sep = symbols('s8_sep')
s4_sep = symbols('s4_sep')
s2_sep = symbols('s2_sep')
s1_sep = symbols('s1_sep')
## Voltage
V = symbols('V')
## Prev_vars
Li_cath_prev = symbols('Li_cath_prev')
s8_cath_prev = symbols('s8_cath_prev'

s4_cath_prev = symbols('s4_cath_prev'

s2_cath_prev = symbols('s2_cath_prev'

s1_cath_prev = symbols('s1_cath_prev'

sp_cath_prev = symbols('sp_cath_prev'
Li_sep_prev = symbols('Li_sep_prev')
s8_sep_prev = symbols('s8_sep_prev')
s4_sep_prev = symbols('s4_sep_prev')
s2_sep_prev = symbols('s2_sep_prev')
s1 sep prev = symbols('s1 sep prev')
V prev = symbols('V prev')
## h and ]
h = symbols('h')
I = symbols('I')
```

Leave the h, and I symbols as they are, which represents the step size and current respectively. As it can be seen all the variables defined should have respective previous variable definitions as well.

The next step is to include the variables, previous variables and parameters into the respective lists as shown below, CAUTION TO NOT CHANGE ANY OF THE NAMES OF THESE LISTS AND V INDEX (\*as these are referenced in the solver function in the other script).

```
# *** NOTE: DO NOT CHANGE THE LIST NAMES AND V_INDEX NAME BELOW AS THEY ARE

## REFERENCED IN THE SOLVER CLASS AND SOLVER FUNCTION SCRIPT ***

## Define lists to pass variable

var_list = [Li_cath, s8_cath, s4_cath, s2_cath, s1_cath, sp_cath, Li_sep, s8_sep, s4_sep, s2_sep, s1_sep, V]

prev_var = [Li_cath_prev, s8_cath_prev, s4_cath_prev, s2_cath_prev, s1_cath_prev, sp_cath_prev,

Li_sep_prev, s8_sep_prev, s4_sep_prev, s1_sep_prev, V_prev]

## Both these list (var_list and prev_var) must have the same order

h_I = [h, I]

param_list = [F, Ms, nH, nM, nL, ns8, R, ps, a, v, EH0, EM0, EL0, jH0, jM0, jL0,

CT0, D8, D4, D2, D1, DLi, kp, ks, Ksp, T]

sym = tuple(var_list + prev_var + h_I + param_list)

## Here we check the index of the Voltage variable

V_index = find_index_with_v([str(item) for item in var_list])
```

The order in which the variables are defined within the var\_list is important, and the prev\_var list needs to follow this order as well. The significance of the order will be further elucidated in the coming explanations. The order of the param\_list is not important and can be ordered in any way. The V\_index here is the index of the V (voltage) variable which is found within the list using a helper function called find\_index\_with\_v().

The next step involves defining preliminary functions before defining the governing ODEs, as shown below, NOTE: This model shown as the example a newer implementation which has not been published at the time this guide/user manual is written.

```
### Now we define the dependant equations before the ODES

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The mathematical identities used such as exp(), sqrt() are also imported from the sympy library, (look at the 1<sup>st</sup> line of the *func.py* script to see the imported dependencies).

Next, we will define the ODEs which follows a very specific format. Now remember previously mentioned that the order of the var\_list (list of variables) is important, this is where the significance of the order matters. The ODEs defined will need to follow the order of this list. So for this example, since the 1<sup>st</sup> element in the list is the Li\_cath (Lithium cathode) concentration, the 1<sup>st</sup> ODE defined will be the ODE describing the time evolution of the Lithium cathode species, followed by s8\_cath, s4\_cath and so on until the last variable V (voltage). Since the voltage does not have a time dependent ODE, the equation used to describe the voltage will be the algebraic constraint, i.e:

$$I = iH + iM + iL$$

The ODEs defined will be discretized using the Backwards Euler implicit method (\*most stable method although other methods can be used). The ODEs are first defined, followed by the discretization, for example for the Li cath species its is given in equation form as below.

$$\frac{\partial C_{Li_{cath}}}{\partial t} = -\frac{I}{vF} - D_{Li_{dyn}} (C_{Li_{cath}} - C_{Li_{sep}})$$

Discretizing:

$$u1 = h\left(\frac{\partial C_{Li_{cath}}}{\partial t}\right) - C_{Li_{cath}} + C_{Li_{cath,prev}}$$

The discretized equation u1, is the obtained by re-arranging the terms to the RHS. The ODEs for all the other species are discretized in a similar manner. After discretizing, each equation is passed into a helper function called var\_func\_der(), which is used to return an array containing the partial derivative of the equation with respect to all the variables following the same order as the variable list as mentioned above. This is shown in the code snippet below:

The snippet above shows the definition for the 1<sup>st</sup> four ODEs, while the rest follows the same format except for the Voltage (V) which is the final variable in the list, for which it is defined as below:

The next step is to change the final 2 lists in the *func.py* script, which are the u\_list and jacob\_list which are the function list and the jacobian respectively, as shown below:

Update the u\_list to contain all the u functions, and the jacob\_list to conatin all the u derivative functions. Just a reminder that each u\_ders variables are an array containing the partial differentials of each u function with respect to the variables defined. NOTE: AGAIN DO NOT CHANGE THE NAMES OF THESE LISTS AS THEY ARE REFERENCED IN THE OTHER SCRIPTS. The u\_func\_lambify() function is another helper function used to lambdify the functions from symbolic functions into numpy functions to speed us processing speed. The helper functions are as below:

```
from sympy import symbols, diff, exp, sqrt, lambdify
# Helper Functions Below:
## Derivative function:
def var_func_der(var_list, u, sym):
    # Returns a list of all the derivative
    # List is lambdified w.r.t to the symbols defined (sym)
   der_list = []
   for i in range(len(var_list)):
        der = diff(u, var_list[i])
        der = lambdify(sym, der, 'numpy')
        der_list.append(der)
   return der_list
def u_func_lambdify(u_list, sym):
    for i in range(len(u_list)):
        u_list[i] = lambdify(sym, u_list[i], 'numpy')
    return u_list
## Function to return Voltage variable index:
def find_index_with_v(input_list):
    for idx, item in enumerate(input_list):
    if "V" in item:
            return idx
```

Feel free to play around with the func.py script to get a better feel of how everything works.

The last step is to include all the defined parameters into the \_\_init function of the LiS\_Model class in the LiS\_Backtrack\_Solver.py script, as below:

```
import func
5
    class LiSModel:
        def __init__(self, x, I):
             # Define constants
            self.F = 96485.3321233100184
             self.Ms = 32
             self.nH = 4
            self.nM = 2
             self.nL = 2
             self.ns8 = 8
             self.R = 8.3145
            self.ps = 2e3
            self.a = 0.96
            self.v = 1.14e-5
            self.EH0 = 2.35
             self.EM0 = 1.95
             self.EL0= 1.94
            self.jH0 = 1e-3
            self.jM0 = 1e-3
            self.jL0 = 1e-3
             self.CT0 = 165.51693435356822 # GD
             self.D8 = 0.01 * 0.75
             self.D4 = 0.000250 * 0.75
             self.D2 = 0.0000001 * 0.75
             self.D1 = 0.0000001 * 0.75
             self.DLi = 2.2625e-3 * 0.585 # GD
             self.kp = 0.45 # GD
             self.ks = 0
             self.Ksp = 1
             self.T = 292.15
             # Store variables
             self.x = x
             self.I = I
```

Ensure that the parameters here are defined with the same exact spelling (\*Case Sensitive) as they are in the *func.py* script, ignore the self.x and self.I variables.

NOW WE ARE DONE DEFINING THE NEEDED PARAMETERS, VARIABLES AND EQUATIONS AND CAN PROCEED TO CALLING THE SOLVER FUNCTION TO START SOLVING BASED ON INITIAL VALUES OF THE VARIABLES. REFER TO THE NEXT SECTION TO LEARN HOW TO CALL THE SOLVER FUNCTION, ITS ARGUMENTS AND HOW TO INTREPRET THE SOLVED ARRAY RETURNED BY THE FUNCTION.

## 2) USING THE SOLVER FUNCTION

The solver function within the *LiS\_Backtrack\_Solver.py* script is defined with a few arguments and keyword arguments (args and kwargs) that are explained further below:

```
## x_var is a list of list ex: [[s8], [s4], ...[sp]]

def LiS_Solver(x_var, # This x_var variable will be a list containing all the variable values

t_end, h0, I, break_voltage, state = None, t0 = 0, backtracked = None,

params_backtrack = {}, upd_params = {}):
```

#### a) x\_var

- This argument is the variable list, for which a list of the initial values of the variables are passed. NOTE: THE ORDER IN WHICH THE INITIAL CONDITIONS ARE PASSED NEEDS TO FOLLOW THE PREVIOUS var\_list IN THE func.py SCRIPT.

#### b) t\_end

- This is the end time for the simulation.

#### c) h0

- This is the initial step size.

d) I

- Current for which simulation is carried out.

#### e) break\_voltage

- The voltage at which the simulation is cut-off (\*normally done to avoid any singular matrix errors).

#### f) state

- This is a kwarg used to differentiate between charge and discharge, if discharge is to be simulated set state = "discharge", if charge is to be simulated set state = "charge".

#### a) t0

- Start time, defaults to 0, if not defined otherwise.

#### h) backtracked

- This is a kwarg used for backtracking, a user can ignore this.

#### i) params\_backtrack

- This is a kwarg that takes a dictionary input which specifies the parameters to backtrack and the value to backtrack to. Defaults to an empty dictionary {}.

#### j) upd\_params

- This is also a kwarg that takes a dictionary input which specifies parameters to update and the value to update to. Defaults to an empty dictionary {}.

The code snippet below shows how to define the initial values and each argument for the solver:

```
h_try = [1.25, 0.5, 0.05, 0.005] # Step sizes to try
tries = 0 # Number of tries executed
while tries < len(h_try):
   print("======
       t_end = 11000 # End time
       h0 = h_try[tries] # Initial step size
       ## Initialize the variable values and arrays
     Li_cath = 23.618929391226814
     s8_cath = 19.672721954609568
       s4_cath = 0.011563045206703666*1000
      s2_{cath} = 0.0001
       s1_cath = 4.886310174346254e-10
       sp_cath = 0.008672459420571042
       Li_sep = 41.96561647689176
       s8_sep = 19.433070078021764
      s4_sep = 18.597902007945958
       s2_{sep} = 0.0001
       s1_sep = 2.1218138582883716e-12
       V = 2.5279911819843837
       I = 2*0.211*0.2
       x_var = [Li_cath, s8_cath, s4_cath, s2_cath, s1_cath, sp_cath, Li_sep, s8_sep, s4_sep, s2_sep, s1_sep, V]
       param EL0 = 1.8
       break_voltage = param_ELO # Voltage point where simulation is stopped to prevent Singular Matrix Error
       upd_param = {}
       params_backtracked = {"EL0": 1.94*1.005}
       ## Run the solver and save results within npz file
        solved = LiS_Solver(x_var, t_end, h0, I, break_voltage, state='Discharge',
                           params_backtrack=params_backtracked, upd_params=upd_param)
        V = solved[-2]
        t = solved[-1]
```

The solved array returned by the solver follows the same order as the defined variable list, for example since the 1<sup>st</sup> variable defined is the Li\_cath concentration, the 1<sup>st</sup> index position (index 0) for the solved array (solved[0]) will be a list containing all the Li\_cath concentration value over the span of the simulation time. The solved array will contain an additional array at the end index (solved[-1]) which is the time array, containing the time values starting from 0 (unless defined otherwise) to the end time.

It would be good idea to mess around with the *Test\_Backtrack.py* script to get a better understanding of how the solver function and its arguments work.

## 3) MICROCYCLING EXAMPLE

The solver function can be used to micro-cycle between discharge and charge states, shown in the snippet below:

```
import numpy as np
from LiS Backtrack Solver import LiS Solver, LiS Solver2
import timeit
## Now we call the solver and solve ##
span = 11000
t0 = 0
t end = span # End time
h0 try = [1, 0.5, 0.05, 0.005] # Initial step size for discharge
h01 try = [1.5, 0.5, 0.05, 0.005] # Initial step size for charge
## Initialize the variable values and arrays for microcycling
## Start with Discharge 1st
Li cath = 23.618929391226814
s8 cath = 19.672721954609568
s4 cath = 0.011563045206703666*1000
s2 cath = 0.0001
s1 cath = 4.886310174346254e-10
sp cath = 0.008672459420571042
Li sep = 41.96561647689176
s8 sep = 19.433070078021764
s4 sep = 18.597902007945958
s2 sep = 0.0001
s1 sep = 2.1218138582883716e-12
V = 2.5279911819843837
I = 1.6*0.211*0.2
x var = [Li cath, s8 cath, s4 cath, s2 cath, s1 cath, sp cath, Li sep,
s8 sep, s4 sep, s2 sep, s1 sep, V]
# Voltage point where simulation is stopped to prevent Singular Matrix Error
discharge break = 1.9
charge break = 2.5
cycles = 1 ## Number of cycles to run (1 cycle is Discharge followed by
Charge)
overall array = []
for j in range(cycles):
    overall array.append([])
param EL0 = 1.94
## Define backtracking parameter values
params backtracked = {"ELO": param ELO*1.005}
## Define different parameter values discharging
discharge update = {"ELO": param ELO}
## Define different parameter values charging
charge upd = {}
```

```
## Define solver for microcycling:
start = timeit.default timer() ## Start timer
for i in range(cycles):
    tries = 0
    while tries < len(h0 try):</pre>
        # Discharge
        try:
            h0 = h0 \text{ try[tries]}
            solved = LiS Solver(x var,
                                 t end, h0, I, discharge break,
state='Discharge', t0=t0, params backtrack = params backtracked,
upd params = discharge update)
            print(f'Cycle {i+1} Discharge Solved')
        except Exception as e:
            print(e)
            if tries >= len(h0_try) - 1:
                raise
                break
            tries += 1
    list1 = solved
    overall array[i].append(list1)
    Li cath = solved[0][-1]
    s8 cath = solved[1][-1]
    s4 cath = solved[2][-1]
    s2 cath = solved[3][-1]
    s1 cath = solved[4][-1]
    sp cath = solved[5][-1]
    Li sep = solved[6][-1]
    s8 sep = solved[7][-1]
    s4 sep = solved[8][-1]
    s2 sep = solved[9][-1]
    s1 sep = solved[10][-1]
    V = solved[11][-1]
    x_var = [Li_cath, s8_cath, s4_cath, s2_cath, s1_cath, sp_cath, Li_sep,
s8 sep, s4 sep, s2 sep, s1 sep, V]
    t0 = solved[12][-1]
    t end = t0 + span
    tries = 0
    while tries < len(h01 try):</pre>
        # Discharge
        try:
            # Charge
            h01 = h01 \text{ try[tries]}
            solved2 = LiS_Solver2(x_var,
                                 t end, h01, -I, charge break,
state='Charge',t0=t0, upd params = charge upd)
            print(f'Cycle {i+1} Charge Solved')
```

#### break

```
except Exception as e:
            print(e)
            if tries >= len(h01 try) - 1:
               raise
               break
            tries += 1
   list2 = solved2
    overall array[i].append(list2)
   Li cath = solved2[0][-1]
    s8 cath = solved2[1][-1]
    s4 cath = solved2[2][-1]
    s2 cath = solved2[3][-1]
    s1 cath = solved2[4][-1]
    sp cath = solved2[5][-1]
   Li sep = solved2[6][-1]
    s8 sep = solved2[7][-1]
   s4 sep = solved2[8][-1]
   s2\_sep = solved2[9][-1]
    s1 sep = solved2[10][-1]
   V = solved2[11][-1]
    x_var = [Li_cath, s8_cath, s4_cath, s2_cath, s1_cath, sp_cath, Li_sep,
s8 sep, s4 sep, s2 sep, s1 sep, V]
    #print(x var)
    t0 = solved2[12][-1]
    t end = t0 + span
    # Update charge break
    charge break = min(charge break, max(solved2[11]))
   print(f'No. Cycles: {i+1}/{cycles}')
overall array np = np.empty(len(overall array), dtype=object)
overall array np[:] = overall array
print("The time taken for completion :", (timeit.default timer() - start),
"s")
np.savez('variable arrays.npz', solved=overall array np, I=I)
```

This code can be accessed via the *Test\_Microcycling\_v3.py* script, the solution array is saved in an npz file and can be accessed in a different script using some helper functions to ease the data processing which are defined within the *module\_func.py* script.

The *Test\_Saved\_Microcycling.py* script shows how to access the npz file and use the helper functions from *module func.py* script, as below:

```
import numpy as np
import module_func
import matplotlib.pyplot as plt
## Load Saved Data ##
data = np.load('variable_arrays.npz', allow_pickle=True)
overall_array_np = data['solved']
labels = module_func.labels(overall_array_np) ## Create the dictionary
## Access cycle1 discharge ##
cyc = "cycle1" ## Variable to access cycle
state = "discharge" ## Variable to access state
cycle = labels[cyc][state]
cyc_t = cycle[-1]/3600 ## Time array stored in last index
cyc_V = cycle[-2] ## Voltage array stored in 2md to last index
## Call concatenate function if whole microcycling process is wanted
whole_t = module_func.concatenate(labels, -1)/3600
whole_V = module_func.concatenate(labels, -2)
whole_Li = module_func.concatenate(labels, 0)
plt.plot(whole_t, whole_V)
plt.title('Microcycling Plot of Voltage (Discharge and Charge) vs Time')
plt.xlabel('Time (hours)')
plt.ylabel('Voltage (V)')
#plt.savefig('Microcycling_Voltage.png', dpi=1200)
plt.show()
plt.plot(cyc_t, cyc_V)
plt.title(f"Plot of {cyc}, state:{state}, V vs time")
plt.xlabel('Time (hours)')
plt.ylabel('Voltage (V)')
plt.show()
```

The helper functions are as below:

```
import numpy as np
2
     # This Script Contains the lables function to create a dictionary and
     # the concatenate function to concatenate the whole cycling variable
     ## Define Dictionary function to store cylce values ##
     def labels(my_list):
         labels = {} # Empty dictionary to store labels
         # Iterate over the cycles in the list
         for i, cycle in enumerate(my_list, start=1):
             cycle_label = f"cycle{i}
             cycle_dict = {} # Dictionary to store discharge and charge labels
             # Assign discharge and charge labels to the two lists
             cycle_dict["discharge"] = cycle[0]
cycle_dict["charge"] = cycle[1]
             labels[cycle_label] = cycle_dict
         return(labels)
     ## Define concatenate function to allow to merge discharge and charge for cycle variables
     def concatenate(labels, var):
         var_list = []
         for i in range(len(labels)):
            discharge = labels[f"cycle{i+1}"]["discharge"]
charge = labels[f"cycle{i+1}"]["charge"]
             var_list.append(discharge[var])
             var_list.append(charge[var])
         return(np.concatenate(var_list))
```

### 4) GRADIENT DESCENT

The solver can also be used within a gradient descent scheme for parameter optimization based on experimental results.

The scripts containing the gradient descent functions and another test script are the Test\_General\_GD\_ADAM.py and Test\_Gradient\_Descent.py scripts respectively.

The gradient descent function takes in a few arguments as described in the code snippet below:

```
# This part is if only parameter values are to be optimized
init_vals = [("EL0", 1.9), ("EM0", 1.9308455694919777), ("kp", 137.99786642485066)]
## This (delta_params) is the step change for "+" and "-" used to calculate the derivative of cost function w.r.t parameter
delta_params = [("ELO", 0.01), ("EMO", 0.01), ("kp", 1)]
epoch = 100
beta1 = 0.9
beta2 = 0.999
## This (alpha_param) is the initial learning rate for each parameter (Dynamically Updated)
alpha_param = [("EL0", 0.001), ("EM0", 0.0005), ("kp", 100)]
## For now make sure max param and min_param have the same keys (*Now it does not essentially require the same keys)
## Parameter to backtrack and percentage value to backtrack (i.e: 0.5% increase = 1.005)
backtracked = [("ELO", 1.005)]
## Call gardient descent function and pass defined arguments
optimized = Gradient_Descent_ADAM(init_vals, delta_params, epoch, beta1, beta2,
                                   alpha_param, max_param, min_param, backtracked)
print(optimized)
```

In this example only 3 parameters i.e. ELO, EMO and kp are optimized however the gradient descent solver can as many parameters or even initial values for optimization. To see how to implement the solver further including the initial value optimization please refer to the <code>Test\_General\_GD\_ADAM.py</code> script, which contains comments on how to implement these. Feel free to play around with the code for the gradient descent.

NOTE: The gradient descent used in this code is an optimized approach using Adaptive Moment (ADAM), and to also ensure that the simulated results and the experimental results have a common time step and end time, the simulated data and experimental data are interpolated, refer to the  $ret_data()$  function in the script above for more in depth explanation of how this is done. The format for which each argument is passed into the  $Gradient_Descent_ADAM()$  function follows the same format as shown above which is a list containing a tuple with a string and a float element, ex: the initial guess values are [("ELO", 1.9), ("EMO", 1.9308455694919777), ("kp", 137.99786642485066)]. Only the arguments for epoch (\*number of iterations), beta1 and beta2 (\*ADAM hyper-parameters) are constant values as shown in the snippet above.

## 5) CLOSING REMARKS

The code for the novel solver above is developed as an alternative to the existing PyBaMM solver. Feel free to experiment with the code from the GitHub repository linked. The long-term goal of this novel solver is to become an open-source solver that can be further developed for use of not only Lithium Sulfur cell simulations but also as a more general-purpose battery simulation tool. The link to the finalized Python scripts as stated in the beginning of the document is:

https://github.com/Dharshannan/FUSE Li-S Battery Modelling/tree/main/Finalized Scripts

As a closing statement I would like to thank my supervisor Dr. Michael Cornish for guidance in developing this novel solver during my summer research, special thanks to the project director Dr. Monica Marinescu for organizing the research project and I would also like to express my gratitude to the Faraday Institute to sponsoring this summer research project.

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