# Dhawal Gupta

I conduct research in reinforcement learning, with a focus on temporal abstraction and spatial abstraction.

#### Education

- 2021 Present **Ph.D. in Computer Science**, *University of Massachusetts*, *Amherst*, MA, USA. Supervisors: Bruno Castro Da Silva & Philip Thomas, Autonomous Learning Lab (ALL) GPA: 4.0/4.0
  - 2019 2021 **M.Sc. in Computing Science**, *University of Alberta*, AB, Canada. Supervisor: Martha White, RLAI, AMII GPA: 4.0/4.0
  - 2015-2019 **B.Tech in Computer Science and Engineering**, *IIT*, Patna, India. Supervisors: Sriparna Saha & Pushpak Bhattacharyya, AI-ML-NLP Lab CPI: 9.49/10, Department Rank: 3/55

#### Publications

- [C7] Gupta D.\*, Chandak, Y.\*, Jordan, S. M., Thomas, P. S., & Silva, B.C. da. Behavior Alignment via Reward Function Optimization. (Spotlight) To Appear in Neural Information Processing Systems (NeurIPS) 2023.
- [C6] Gupta D., Chow, Y., Tulepbergenov, A., Ghavamzadeh, M. & Boutilier, C. Offline Reinforcement Learning for Mixture-of-Expert Dialogue Management. To Appear in Neural Information Processing Systems (NeurIPS) 2023.
- [C5, W3] Chow, Y., Tulepbergenov, A., Nachum, O., Gupta D., Ryu, M., Ghavamzadeh, M. & Boutilier, C. A Mixture-of-Expert Approach to RL-based Dialogue Management, International Conference on Learning Representations (ICLR), 2023 & Workshop on Foundation Models for Decision Making (NeurIPS), 2022.
- [W2] Kostas, J., Jordan, S., Chandak, Y., Theocharous, G., Gupta, D., & Thomas, P. A Generalized Learning Rule for Asynchronous Coagent Networks, *Reinforcement Learning and Decision Making* (RLDM), 2022.
- [C4] Gupta, D., Mihucz, G., Schlegel, M., Kostas, J., Thomas, P., & White, M. Structural Credit Assignment in Neural Networks using Reinforcement Learning. Neural Information Processing Systems (NeurIPS), 2021.
- [J4] Saha, T.\*, **Gupta**, **D.\***, Saha, S., & Bhattacharyya, P. A Unified Dialogue Management Strategy for Multi-intent Dialogue Conversations in Multiple Languages. *ACM Transactions on Asian and Low-Resource Language Information Processing* (**TALLIP**), 2021.
- [W1] Gupta, D., Schlegel, M., Kostas, J., Mihucz, G., & White, M. Investigating Coagent Networks for Supervised Learning, BeyondBackprop Workshop, Neural Information Processing Systems(NeurIPS), 2020.
- [C3] Ghiassian, S.\*, Patterson, A.\*, Garg, S., Gupta, D., White, A., & White, M. Gradient Temporal-Difference Learning with Regularized Corrections. *International Conference on Machine Learning* (ICML) 2020.
- [J3] Saha, T.\*, **Gupta**, **D.\***, Saha, S., & Bhattacharyya, P. Towards Integrated Dialogue Policy Learning for Multiple Domains and Intents using Hierarchical Deep Reinforcement Learning. *Expert Systems with Applications*, 2020.
- [J2] Saha, T., Gupta, D., Saha, S., & Bhattacharyya, P. A hierarchical approach for efficient multi-intent dialogue policy learning. *Multimedia Tools and Applications*, 2020.
- [J1] Saha, T., Gupta, D., Saha, S., & Bhattacharyya, P. Emotion Aided Dialogue Act Classification for Task-Independent Conversations in a Multi-modal Framework. *Cognitive Computation*, 2020.
- [C2] Saha, T., Gupta, D., Saha, S., & Bhattacharyya, P. Reinforcement Learning Based Dialogue Management Strategy. In L. Cheng, A. C. S. Leung, & S. Ozawa (Eds.), Neural Information Processing (ICONIP), 2018.

C: Conference paper & J: Journal paper & W: Workshop paper. \*Denotes equal contribution.

[C1] Agrawal, K., Jain, K., Gupta, D., Srivastav, R., Agnihotri, A., & Thakur, A. Bayesian Optimization Based Terrestrial Gait Tuning for a 12-DOF Alligator-Inspired Robot With Active Body Undulation. ASME-IDETC, 2018.

#### Pre-Prints

[PP2] Sun, S., Gupta, D., & Iyyer, M. Exploring the impact of low-rank adaptation on the performance, efficiency, and regularization of RLHF. ArXiv:2309.09055.

[PP1] Kostas, J. E., Jordan, S. M., Chandak, Y., Theocharous, G., Gupta, D., White, M., Silva, B. C. da, & Thomas, P. S. Coagent Networks: Generalized and Scaled. *ArXiv:2305.09838*.

#### ——— Patents

Easa, Z., Gupta, D., Mathew, J. & Mathew, A. (2017). System and method for detecting a change in occupancy status of a slot over a platform. *Pending at Indian Patent Office: Application No. 201731036379* 

# Research Experience

- Jul 2023 **Student Researcher, Google Research**, hosted by Dr. Yinlam Chow, Mountain View, CA. Developing reinforcement learning techniques to enhance decoding in large language models (LLMs).
- Sep 2021 **Research Assistant, UMass**, supervised by Dr. Bruno C.S. Silva & Dr. Philip Thomas, MA. Working on reward alignment, credit assignment, and temporal abstraction problems. Work accepted at NeurIPS 2023.
- Jun 2022 Student Researcher, Google Research, hosted by Dr. Yinlam Chow, Mountain View, USA.
  - Feb 2023 Worked on steerable language models using RL. Work published at ICLR 2023 and NeurIPs 2023.
- May 2020 Research Assistant, RLAI & AMII, supervised by Dr. Martha White, Edmonton, Canada.
- Aug 2021 Worked on off-policy learning and online learning architectures in RL. Work published at ICML 2020 and NeurIPS 2021.
- May 2018 Research Intern, IBM Research Labs, hosted by Dr. Kedar Kulkarni, Bangalore, India.
- Aug 2018 Worked on clustering time series data for premature anomaly detection.
- May 2017 Research Intern, IIIT Delhi, supervised by Dr. Sanjit Kaul & Dr. Saket Anand, Delhi, India.
  - July 2017 Used IRL to extract reward functions later applied for obstacle avoidance in self-driving cars.

## Teaching Experience

- Sept 2022 Teaching Assistant, University of Massachusetts, Amherst, USA.
  - Dec 2022 COMPSCI 687: Reinforcement Learning (Fall 22)
- Sept 2021 Teaching Assistant, University of Massachusetts, Amherst, USA.
  - Dec 2021 COMPSCI 383: Artificial Intelligence (Fall 21)
- August 2019 Teaching Assistant, University of Alberta, Edmonton, Canada.
  - May 2021 CMPUT 397: Introduction to RL (Fall 20)
    - CMPUT 175: Introduction to Python II (Fall 19 & Winter 20)

### Technical skills

- Programming Languages: Python, C/C++, Bash, Embedded C, Latex
- o Tools: JAX, PyTorch, Tensorflow, ROS, MatplotLib Numpy, Scikit-Learn, OpenCV, Gazebo.
- Platforms: MAC OS, Linux, Windows

#### Service and Extra-Curricular

- $\circ$  Reviewer: NeurIPS 2023, ICML 2023, ICLR 2021, ICML 2020, TPAMI.
- ML Faculty hiring interviews for UMass Amherst (Spring 2023).
- Helping organize a tutorial on policy optimization in reinforcement learning, NeurIPS 2020.
- Organizer, RL Social at ICML 2020 & ICLR 2020.
- Founder & Coordinator, Tinkerer's Lab, IIT Patna.
- o Technical Secretary, Gymkhana, IIT Patna.
- o Mentor, Robotics Lab, BCE Patna.