

Master of Computer Applications

Data Structures

Module 4

Advanced Trees & Hashing



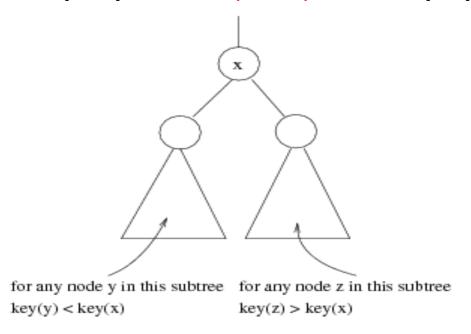
Syllabus Contents

- Binary Search Tree- Operations.
- AVL trees
- Threaded Binary Tree
- B Tree & B+ Tree,
- Heaps, Types, Operations and Applications
- Hashing, Hashing functions, Collision Strategy.



- Stores keys in the nodes in a way so that searching, insertion and deletion can be done efficiently.
- Binary search tree property

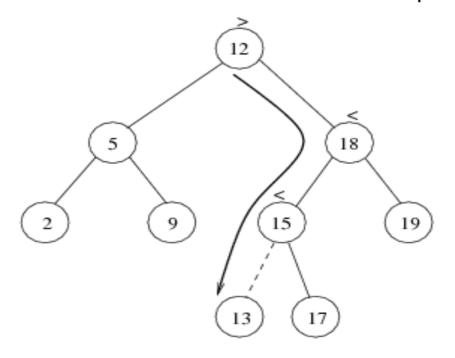
value(LST) < value (Root) < value (RS)</pre>



BST - Insert



- Find the place where the item to be attached
- Once place is found attach the new item on the traversed path



Time complexity = O(height of the tree)

Steps for Inserting in BST



Using Recursive structure: insert(Root, data)

- 1. 'Root' is NULL then create new node and return it
- 2. If Root->data < data

Root->right = insert(Root->right, data)

else

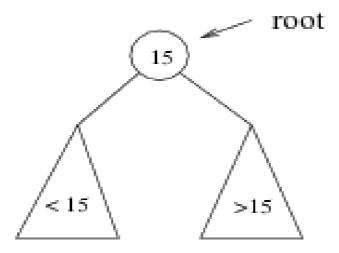
Root->left = insert(Root->left, data)

3. Return Root





- If we are searching for 15, then we are done.
- If we are searching for a key < 15, then we should search in the left subtree.
- If we are searching for a key > 15, then we should search in the right subtree.



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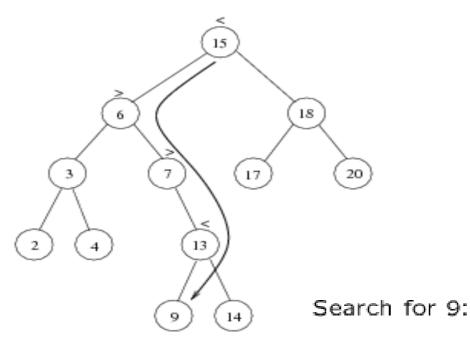
Example





Module No.4 **Advanced Trees**

Example: Search for 9 ...



- 1. compare 9:15(the root), go to left subtree;
- compare 9:6, go to right subtree;
- compare 9:7, go to right subtree;
- compare 9:13, go to left subtree;
- compare 9:9, found it!

Steps for Searching in BST



Using Loop structure:

- 1. Create a flag variable and initialize with 0
- 2. Take a temp pointer for BST and assign the 'Root' address
- 3. Loop until temp != NULL if temp->data==key flag =1 go to step 6
- 4. Else if temp->data < key

```
temp = temp->right go to step 3
```

5. Else if temp->data>key

```
temp = temp->left
go to step 3
```

6. if flag==0, Display "Element is not found" else

Display "the key is found"

7. **Stop** the execution

Steps for Searching in BST



Using Recurisve Procedure: int SearchRec(root,key)

- 1. Take a **temp pointer** for BST and **assign the 'Root'** address
- 2. If temp!=NULL

```
Compare temp->data with Key if temp->data==key then return 1
```

- 3. Else if temp->data < key then return SearchRec(temp->right, key)
- 4. Else

return SearchRec(temp->left, key)

Note:

Check the return value in calling procedure to declare the result.

- If returned value is 1 then key is found
- else the key is not found



3 cases:

Case -1: the node is a leaf

Delete it immediately

EX: Delete(3)

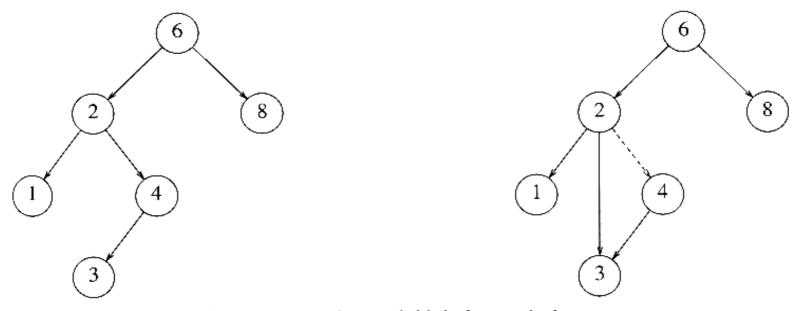


Figure 4.24 Deletion of a node (4) with one child, before and after



Case 2:

the node has one child

Adjust a pointer from the parent to bypass that node



Figure 4.24 Deletion of a node (4) with one child, before and after

Contd..



Case-3:

the node has 2 children

replace the key of that node with the <u>minimum element at the right subtree</u>

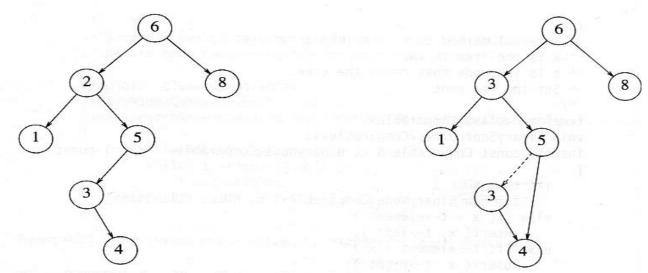
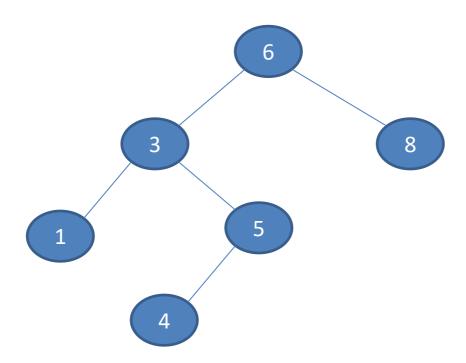


Figure 4.25 Deletion of a node (2) with two children, before and after

Contd..





After Deleting node(2)

Time complexity = O(height of the tree)

AVL Tree



The Property of AVL Tree is

The Balance Factor of each node in AVL tree may differ by at most 1 or 0. That means the

acceptable values are 0, 1 or -1

The formula for **computing Balance Factor** is

Balance Factor = Height(LST) – Height(RST)

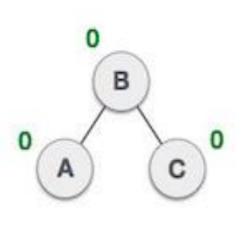
AVL-Rotations

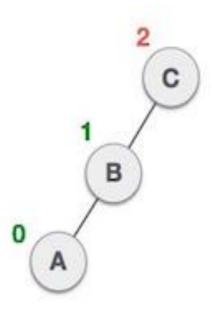


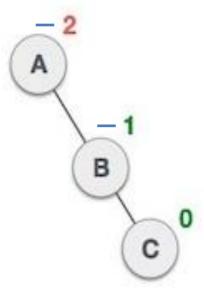
- When the tree structure changes (e.g., insertion or deletion), we need to <u>transform the</u>
 <u>tree to restore the AVL tree property</u>.
- This is done using Single rotations or Double rotations.
- The nodes are rearranged to have the leaf nodes at least in the same level











Balanced Not balanced

Not balanced

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- Inserting a new node will increase the height of the tree
- Deleting a node will decrease the height of the tree
- Thus, if the AVL tree property is violated at a node x, it means that the heights of left(x) and right(x) differ by exactly 2.
- Rotations will be applied to node 'x' to restore the AVL tree property.

Insertion



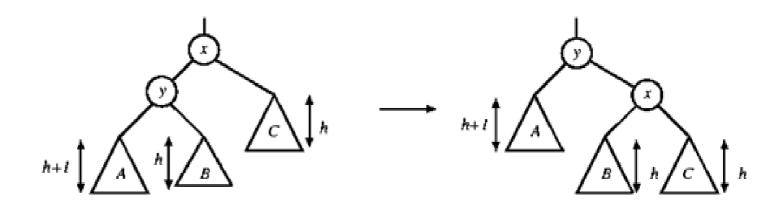
- First, insert the new key as a new leaf just as in ordinary binary search tree
- Then trace the path from the new leaf towards the root. For each node x encountered, check if heights of left(x) and right(x) differ by at most 1.
- If yes, proceed to parent(x). If not, restructure by doing either a single rotation or a double rotation
- For insertion, once we perform a rotation at a node x, we won't need to perform any
 rotation at any ancestor of x.





1. Single Rotation with Right (LL)

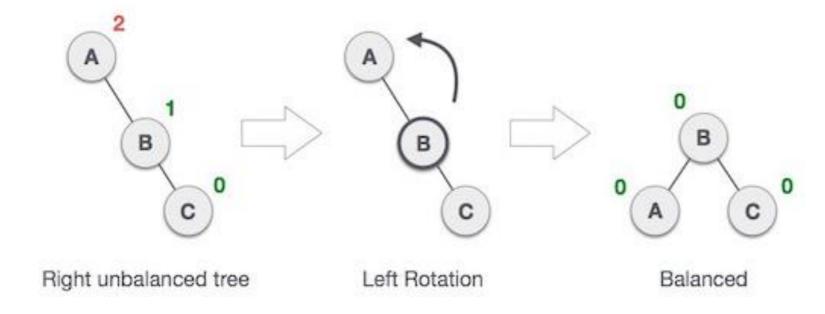
- The new key is inserted in the subtree A. i.e new node is inserted in the left side of Left Sub Tree (LL)
- The AVL-property is violated at x, because its balance factor is not accepted values.
- So, the **Right rotation** will be done on node x to balance the tree.



Single Left Rotation

Left Rotation

If a tree becomes unbalanced, when a node is inserted into the right subtree of the right subtree, then we perform a single left rotation -

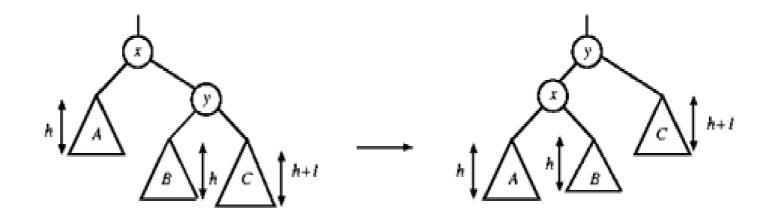






2. Single Rotation with Left (RR)

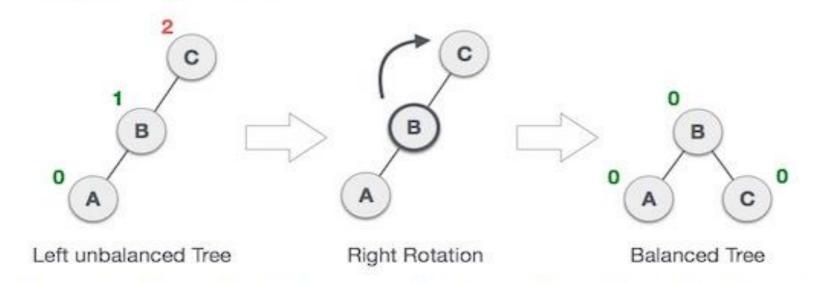
- The new key is inserted in the subtree C. i.e new node is inserted in the right side of Right
 Sub Tree (RR)
- The AVL-property is violated at x, because its balance factor is not accepted values.
- So, the Left rotation will be done on node x to balance the tree.



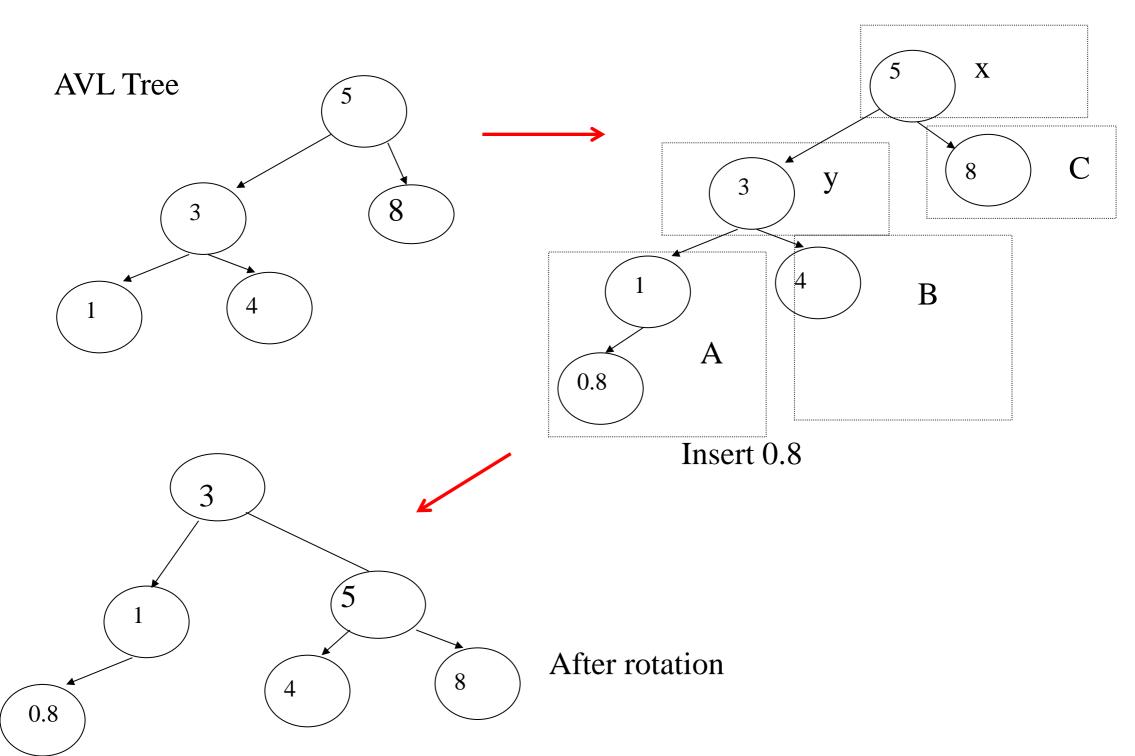
Single Right Rotation

Right Rotation

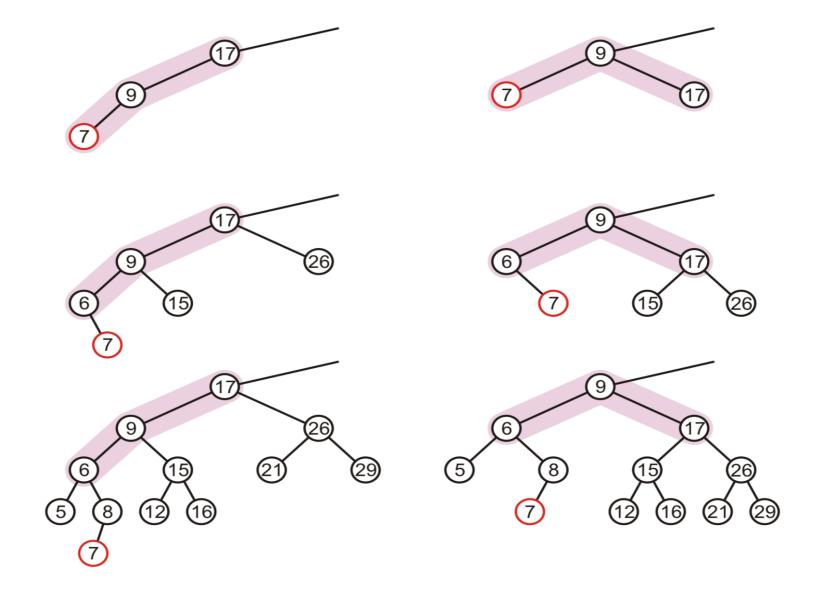
AVL tree may become unbalanced, if a node is inserted in the left subtree of the left subtree. The tree then needs a right rotation.



As depicted, the unbalanced node becomes the right child of its left child by performing a right rotation.

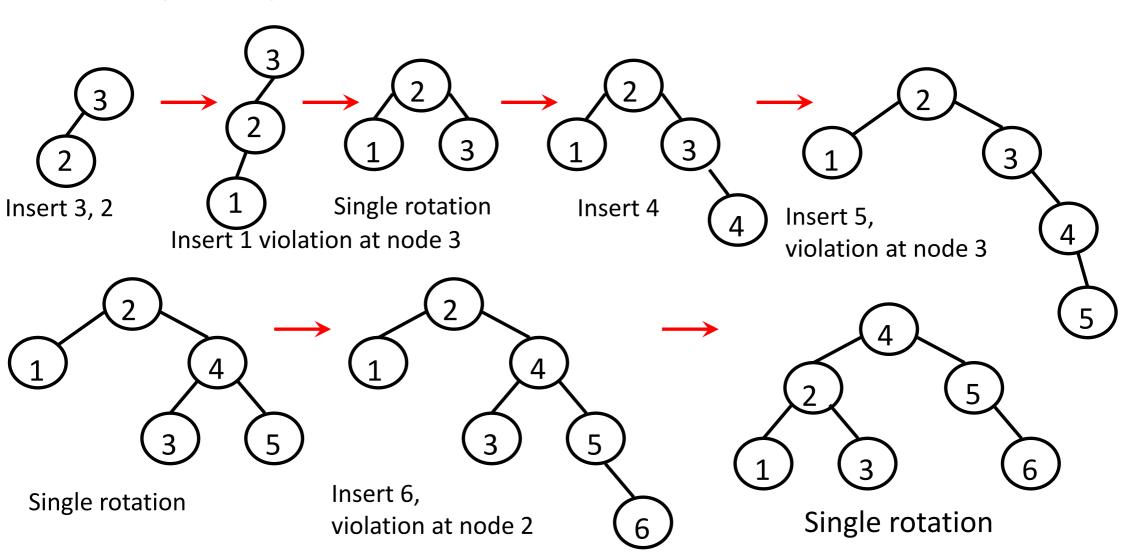


More Examples



Ex: Single Rotation

✓ Sequentially insert 3, 2, 1, 4, 5, 6 to an AVL Tree

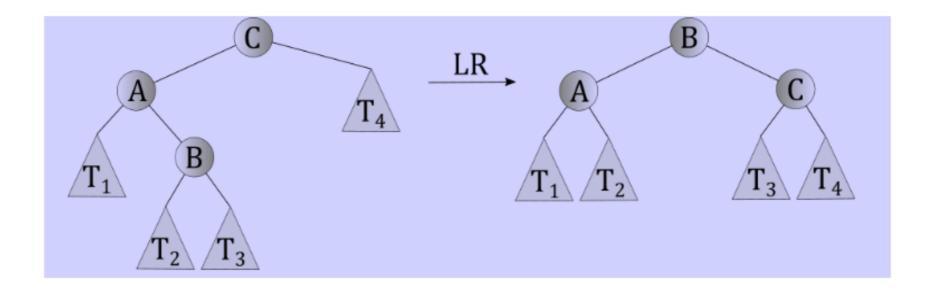


Double Rotations



1. Left- Right Rotation (LR)

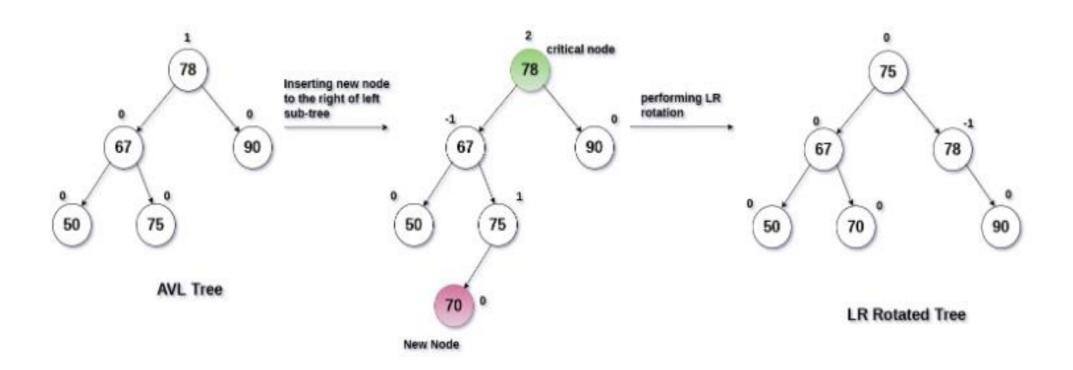
- new key is inserted in the Right side of the Left Sub Tree (LR).
- The AVL-property is violated at x.
- So, apply the left rotation on the node where balance factor is more.
- Then, apply the right rotation on the node where balance factor is more.



Double Rotations



Example: LR



Contd..

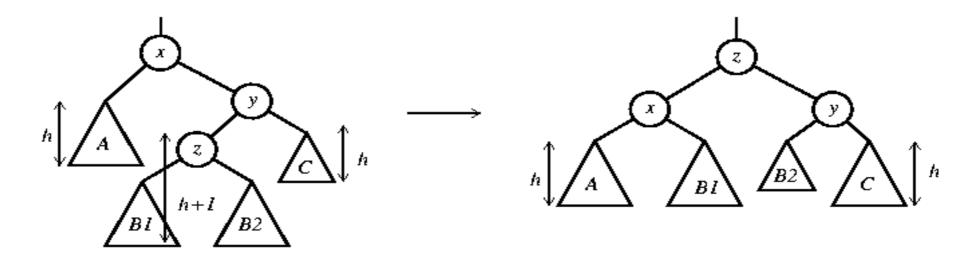


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2. Right-Left Rotation (RL)

The new key is inserted in the left side of Right Sub Tree.

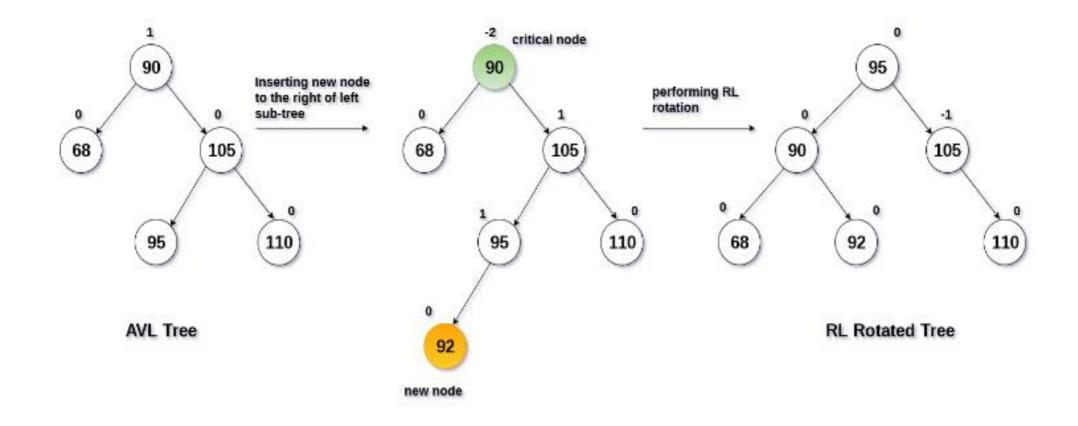
The AVL-property is violated at x.

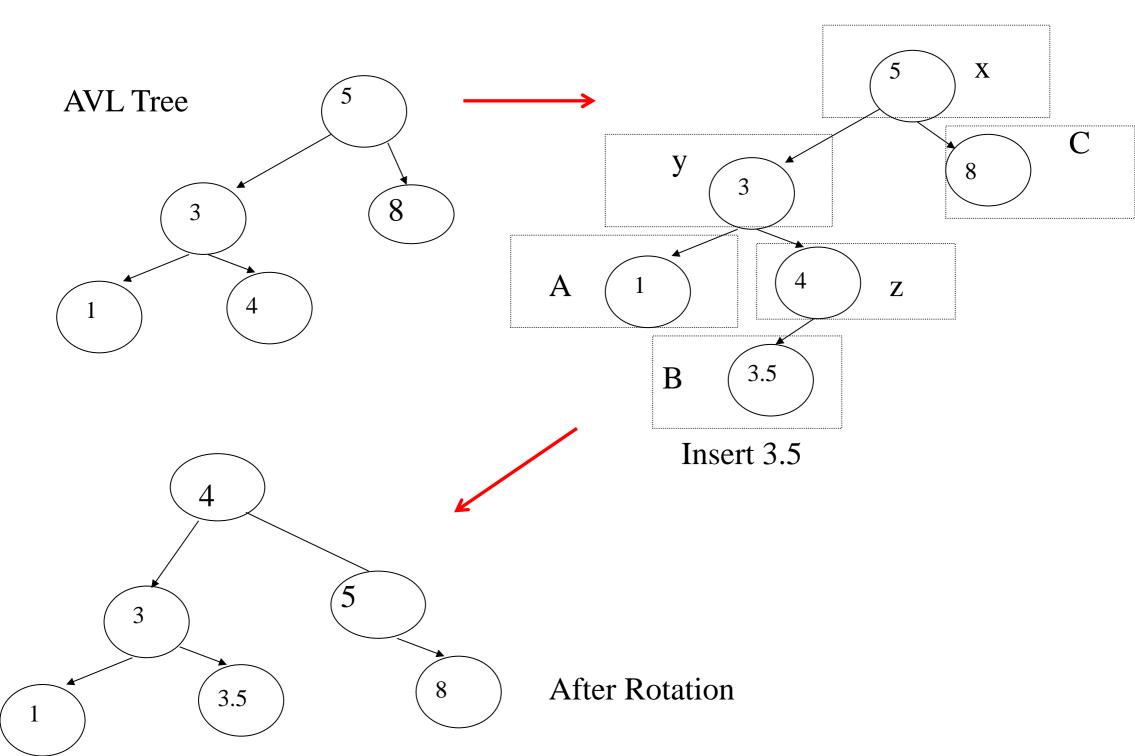


Double rotate with right child

Contd..







Threaded Binary Trees



Too many null pointers in current representation of binary trees

n: No. of nodes

Total links: 2n

No. of non-null links: n-1

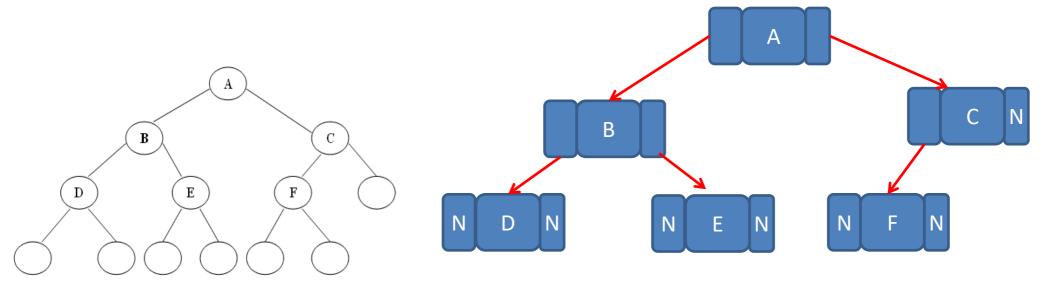
null links: 2n-(n-1)=n+1

Replace these null pointers with some useful "threads".

Threaded Binary Trees



- In a linked representation of a binary tree, the number of null links (null pointers) are actually more than non-null pointers.
- Consider the following binary tree:



A Binary tree with the null pointers

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- In the given binary tree, there are 7 null pointers & actual 5 pointers.
- Objective: To make effective use of these null pointers.
- Proposed idea to make effective use of these null pointers.
- According to this idea we are going to replace all the null pointers by the appropriate pointer values called threads. Such Trees are known as 'Threaded Binary Trees'.

Types of Threaded BT

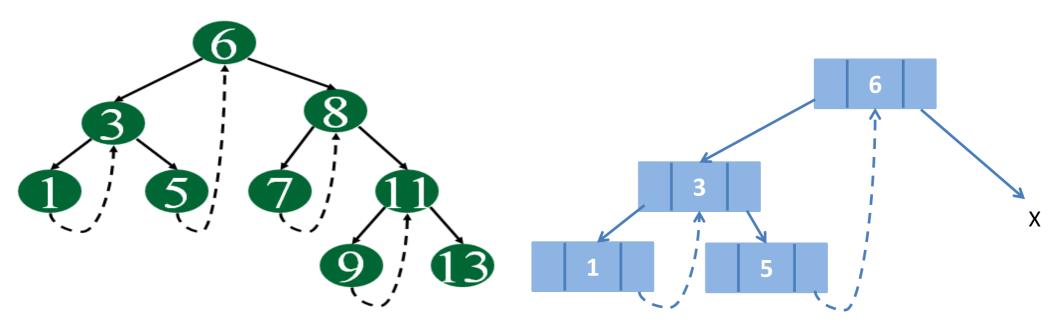
- Single Threaded Binary Tree
- Double Threaded Binary Tree

Single Threaded Binary Tree



• Single-Threaded Binary Tree

- Where a **NULL Right pointers** is **made to point** to the **inorder successor** (if successor exists)

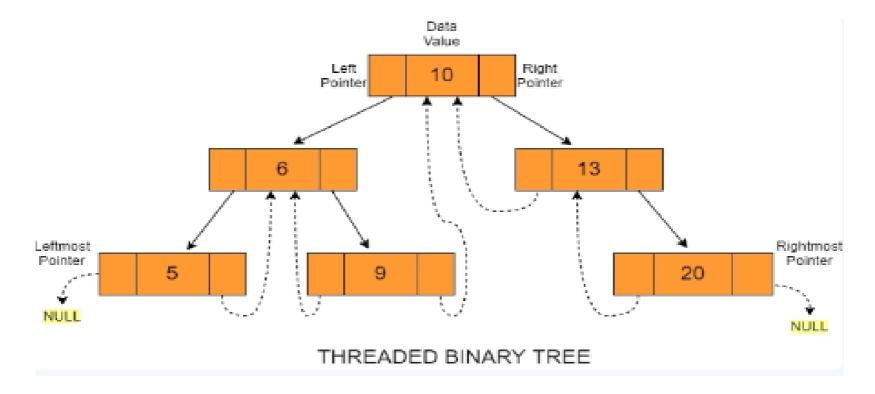


Inorder – 1, 3, 5, 6, 7, 8, 9, 11, 13

Double Threaded Binary Trees



- Left NULL pointer is made to point to inorder predecessor
- Right NULL pointer is made to point to inorder successor respectively.
- Furthermore, the **left pointer of the first node** and the **right pointer of the last node** (in the **in-order** traversal of T) will **contain the null value**.

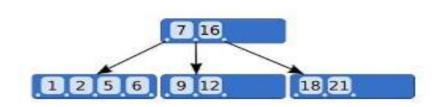


B Trees



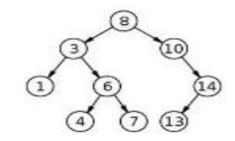
- A B tree is a sorted tree because its nodes are sorted in an inorder traversal.
- A node in a B tree can have many children.
- If each internal node in the tree has M children, the height of the tree would be $\log_M n$ instead of $\log_2 n$.
- Thus, we can speed up the search significantly.

B-tree



	Average	Worst Case
Space	O(n)	O(n)
Search	O(log n)	O(log n)
Insert	O(log n)	O(log n)
Delete	O(log n)	O(log n)

Binary Search Tree



	Average	Worst Case
Space	O(n)	O(n)
Search	O(log n)	O(n)
Insert	O(log n)	O(n)
Delete	O(log n)	O(n)

B+ Trees



- B+ Tree is an extension of B Tree which allows efficient insertion, deletion and search operations.
- In B Tree <u>Keys and records</u> both can be stored in the <u>internal as well as leaf nodes</u>.
 Whereas, in B+ tree,
 - records (data) can only be stored on the leaf nodes
 - while internal nodes can only store the key values.
- The leaf nodes of a B+ tree are <u>linked together in the form of a singly linked lists</u> to make the search queries more efficient.

B+ Trees - Properties

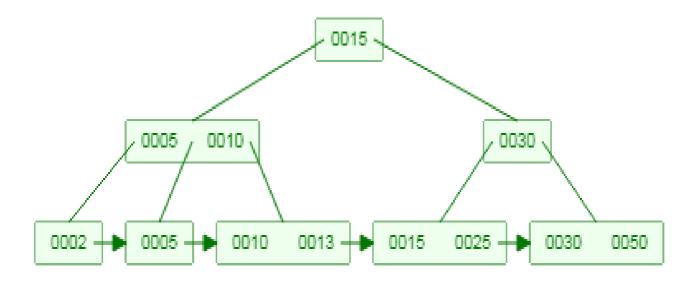


- A B⁺-tree of order M ≥ 3 is an M-ary tree with the following properties:
 - The root has between 1 and M-1 keys
 - Each internal node has at most M children
 - Each internal node, except the root, has between M/2 -1 and M-1 keys
 - The keys at each node are ordered
 - Leaves can have M-1 keys
 - The <u>data items</u> are <u>stored at the leaves</u>.
 - All leaves are at the same depth.

B⁺ tree with M=3



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Visualization: https://www.cs.usfca.edu/~galles/visualization/BPlusTree.html

B⁺ Tree



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Advantages

- Each internal node/leaf is designed to fit into one I/O block of data.
- An I/O block usually can hold quite a lot of data. Hence, an internal node can keep a lot of keys, i.e., large M.
- This implies that the tree has <u>only a few levels</u> and <u>only a few disk accesses</u> can accomplish a **search, insertion, or deletion**.
- B⁺-tree is a popular structure used in commercial databases.
- To <u>further speed up</u> the search, the <u>first one or two levels of the B+-tree are usually kept</u> <u>in main memory</u>.



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Disadvantages of B and B+ Trees:

- The disadvantage of B⁺-tree is that <u>most nodes will have less than M-1 keys</u> most of the time. This could <u>lead to severe space wastage</u>.
- B-tree refers to the variant where the actual records are kept at internal nodes as well as
 the leaves. Such a scheme is not practical.
- Keeping actual records at the internal nodes will limit the number of keys stored there,
 and thus increasing the number of tree levels.

Heaps



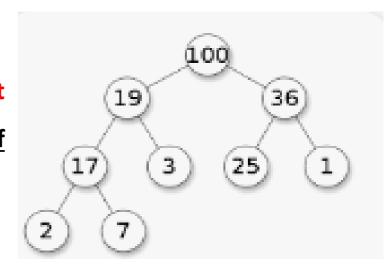
- First of all, a heap is a <u>kind of binary tree</u> that offers both insertion and deletion in
 O(log2n) time.
- Heaps are largely about priority queues.
- They are an **alternative data structure** to implement **priority queues** (we had arrays, linked lists...)
 - the advantages and disadvantages of queues implemented as arrays
 - ->Insertions / deletions? O(n) ... O(1)!
- Priority queues are critical to many real-world applications.

Introduction



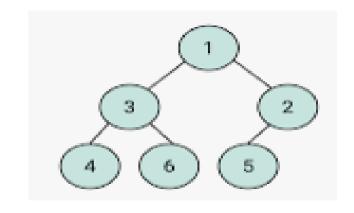
Definition (MAX HEAP):

A heap is a complete binary tree that either is empty or It's root contains a value greater than or equal to the value in each of its children, and has heaps as its subtrees.



Definition (Min HEAP):

A heap is a complete binary tree that either is empty or It's root contains a value lesser than or equal to the value in each of its children, and has heaps as its subtrees.



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Properties of Heaps



Structure Property

A heap is a binary tree that is completely filled, with the possible exception of the bottom level,

- which is filled from left to right

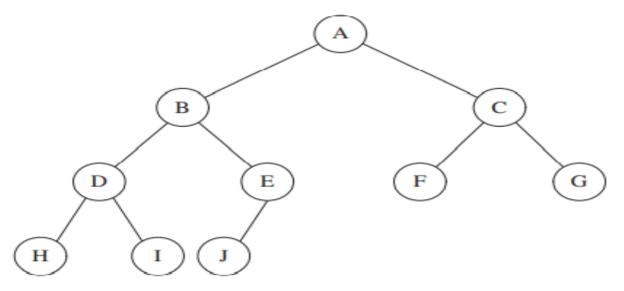


Figure 6.2 A complete binary tree

	A	В	C	D	Е	F	G	Н	I	J			
0	1	2	3	4	5	6	7	8	9	10	11	12	13

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• **Heap Order Property**

Each node in a heap satisfies the 'heap condition,' which states that every node's key is smaller than or equal to the keys of its children (min heap).

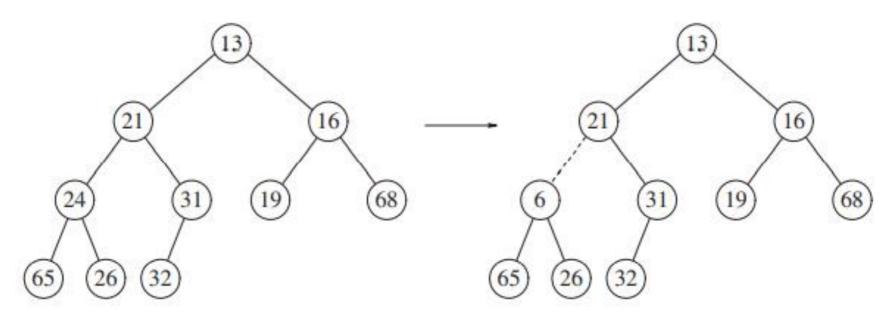
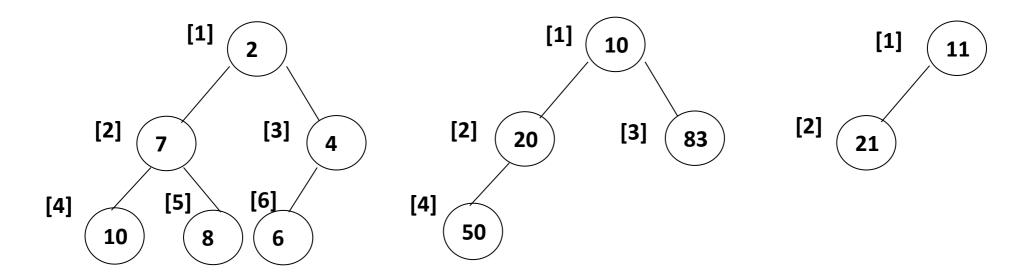


Figure 6.5 Two complete trees (only the left tree is a heap)



Min heaps



Property: The root of **min heap** contains the **smallest**.

Heaps - Insertion



- ✓ Add new item to the end
- ✓ Now fix the heap (float the new item up to the correct location)
- ✓ Move the element to the correct location (trickle up)
- Start at the <u>bottom</u> (first open position) via code: heapArray[n] = newNode; n++;

*Inserting at bottom will likely destroy the heap condition.

This will happen when the new node is not satisfying the Heap order property than its parent.

*Trickle upwards until node is satisying the heap order property

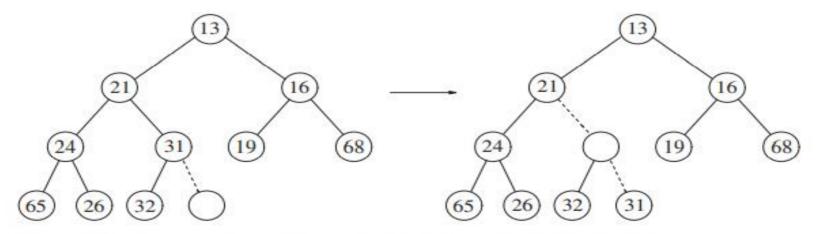


Figure 6.6 Attempt to insert 14: creating the hole, and bubbling the hole up

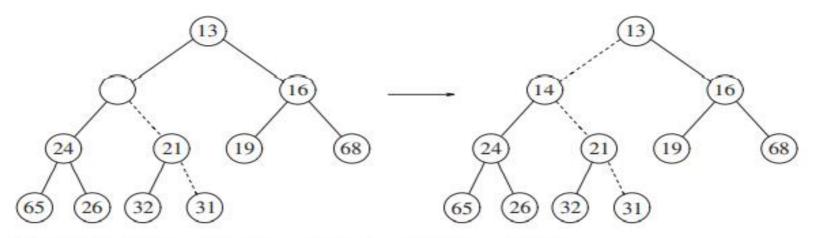


Figure 6.7 The remaining two steps to insert 14 in previous heap

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Heaps - Removal



Removal 'Min' Node

- Remove the Root Key
 - When we remove from a heap, we always remove the node with the root key.
 - Hence, removal is quite easy and has index 0 of the heap array.
 - maxNode = heapArray[0]
- Move 'last node' to root.
 - Start by moving the 'last node' into the root.
 - The 'last' node is the recently inserted in the heap.
 - This also corresponds to the last filled cell in the array (ahead).
- Trickle-down or Percolate Down:
 - Then trickle this <u>last node down</u> until heap order property gets satisfied.

Heaps - Removal



<u>deleteMin()</u>

- √ The root element is removed simply from the root
- ✓ Last element will be moved to the root
- ✓ Verify heap order property. If it is violated **percolate down** the **element to correct**



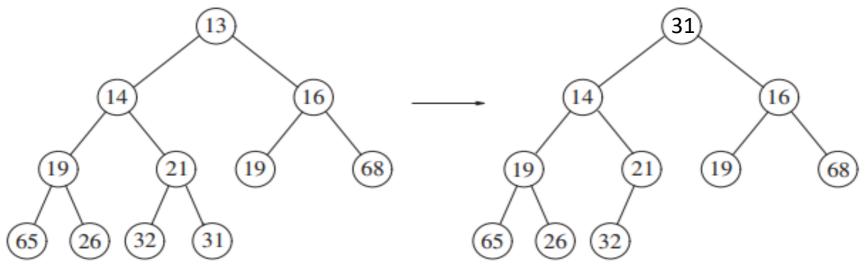


Figure 6.9 Creation of the hole at the root

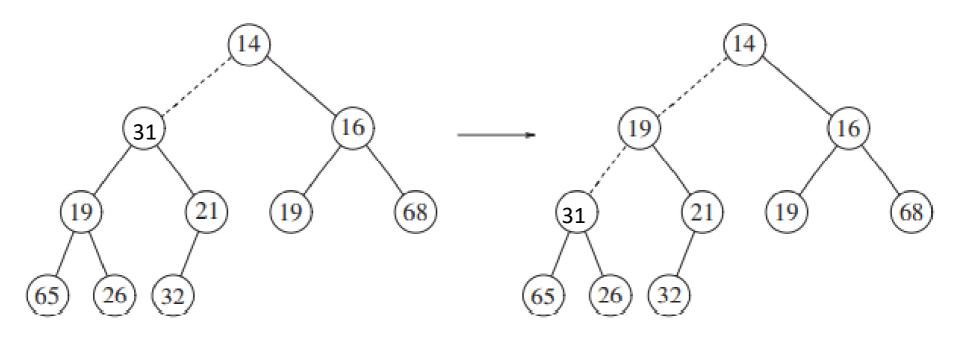


Figure 6.10 Next two steps in deleteMin

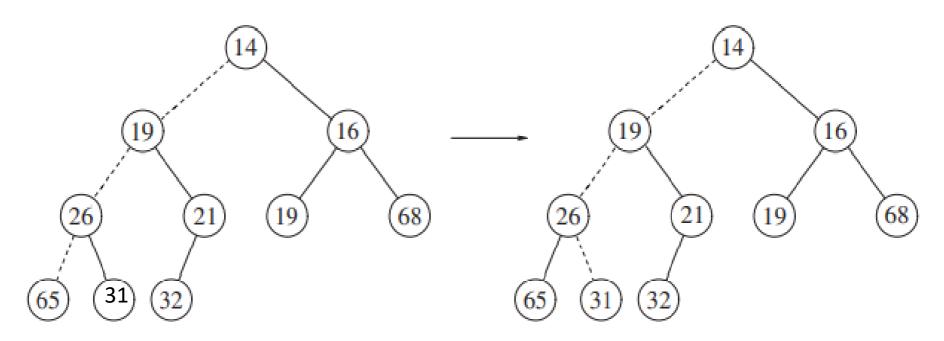


Figure 6.11 Last two steps in deleteMin



Applications of Heaps

- Used to obtain improved running times for several network optimization algorithms.
- Can be used to assist in dynamically-allocating memory partitions.
- A **heapsort** is considered to be **one of the best sorting methods** being in-place with no quadratic worst-case scenarios.
- Finding the min, max, both the min and max, median, or even the k-th largest element
 can be done in linear time using heaps and etc.

Hashing



It is the process of mapping a key value to a position in a table

- \checkmark Hashing is a technique used for performing insertions, deletions and searching in constant average time (i.e. O(1))
- ✓ Hash function is determining position of key in the array.
- ✓ Hashing is widely useful technique for implementing Dictionaries ADT.
- \checkmark Hash table ADT is an alternative solution with O(1) expected query time and O(n + N) space, where N is the size of the table.



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Hashing Functions

There are many hash functions that use numeric or alphanumeric keys.

Different hash functions:

1. Division Method - The hash function divides the value k by M and then

uses the remainder obtained.

```
h(K) = k mod M
Here,
k is the key value, and
M is the size of the hash table.
```

It is best suited that M is a prime number as that can make sure the keys are more uniformly distributed.



2. Mid Square Method

It involves two steps to compute the hash value-

- 1. Square the value of the **key k i.e. k²**
- 2. Extract the middle r digits as the hash value.

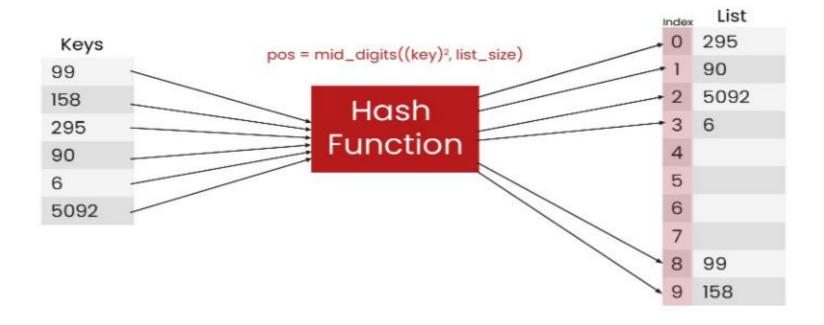
```
h(K) = h(k x k)
Here,
k is the key value.
```

The value of **r** can be decided based on the size of the table.



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Mid Square Method - Hashing



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3. Folding Method.

This method involves two steps:

- 1. Divide the key-value **k** into a number of parts i.e. **k1**, **k2**, **k3**,...,**kn**, where **each part** has the same number of digits except for the last part that can have lesser digits than the other parts.
- 2. Add the individual parts. The hash value is obtained by ignoring the last carry if any.

$$k = k1, k2, k3, k4,, kn$$

$$s = k1 + k2 + k3 + k4 + + kn$$

$$h(K)=s$$

Here,

 ${m s}$ is obtained by adding the parts of the key ${m k}$



Ex: Keys are 2103, 7148, 12345, Table size 100 (0 to 99)

Hash table index : **00 to 99** (2-digit hash table) So, divide the Key into **k numbers of two digits**

K	2103	7148	12345
$k_1 k_2 k_3$	21.03	71, 48	12, 34, 5
H(A)	H(2103)	H(7148)	H(12345)
$= k_1 + k_2 + k_3$	= 21+03 = 24	= 71+48 = 19	= 12+34+5 = 51

$$H(7148) = 71 + 48 = 119$$
, here we will eliminate the leading carry (i.e., 1). So $H(7148) = 71 + 48 = 19$

Key 2103 is placed -> cell 24, 7148 -> cell 19, 12345 -> 51



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4. Multiplication Method

Steps to follow -

- Pick up a constant value A (where 0 < A < 1)
- Multiply A with the key value
- Take the fractional part of kA
- Take the result of the previous step and multiply it by the size of the hash table, M.

Formula - h(K) = floor (M (kA mod 1))

(Where, M = size of the hash table, k = key value and A = constant value)

Ex:

Suppose k=6, A=0.3, m=32

- (1) $k \times A = 1.8$
- (2) fractional part: $1.8 \lfloor 1.8 \rfloor = 0.8$
- (3) m x $0.8 = 32 \times 0.8 = 25.6$
- (4) $\lfloor 25.6 \rfloor = 25$

h(6)=25



- Problem: COLLISION
 - two keys may hash to the same slot
 - can we ensure that any two distinct keys get different cells?
 - No, if |U|>m, where m is the size of the hash table
- Design a good hash function
 - that is fast to compute and
 - can minimize the number of collisions
- Design a method to resolve the collisions when they occur





Collision: Collision is the condition resulting when two or more keys produce the same hash location

✓ To avoid collision use Collision Resolution Techniques

There are two broad ways of collision resolution:

- 1. Separate Chaining: An array of linked list implementation.
- 2. Open Addressing: Array-based implementation.
 - (i) Linear probing (linear search)
 - (ii) Quadratic probing (nonlinear search)
 - (iii) Double hashing (uses two hash functions)

How Hashing works?



Ex: 23,24,25,26,27,28,29,30,31,32,33,60

Take n % 10 is a Hash Function

BUCKET: 0 -->30 -->60 Collision

BUCKET: 1 -->31

BUCKET: 2 -->32

BUCKET: 3 -->23 --> 33Collision

BUCKET: 4 -->24

BUCKET: 5 -->25

BUCKET: 6 -->26

BUCKET: 7 -->27

BUCKET: 8 -->28

BUCKET: 9 → 29

✓ To avoid Collision use Collision resolution Techniques

1. Separate Chaining



- ✓ To deal collision, set up an array of links (a table), indexed by the keys to lists of items
 with the same key
- ✓ All keys that map to the same hash value are kept in a list
- ✓ The hash table is implemented as an array of linked lists, inserting an item that hashes at index is simply insertion into the linked list at position in the table.
- ✓ Store all elements that hash to the same slot in a linked list, store a pointer to the head of the linked list in the hash table slot.

Separate Chaining



To insert a key K

- Compute h(K) to determine which list to traverse
- If T[h(K)] contains a null pointer, initialize this entry to point to a linked list that contains K alone.
- If T[h(K)] is a non-empty list, we add K at the beginning of this list or end of the list.

To delete a key K

compute h(K), then search for K within the list at T[h(K)].

Delete K if it is found.

Separate Chaining



Example: Load the keys 23, 13, 21, 14, 7, 8, and 15, in this order, in a hash table of size 7 using separate chaining with the hash function: h(key) = key % 7

$$h(23) = 23 \% 7 = 2$$

$$h(13) = 13 \% 7 = 6$$

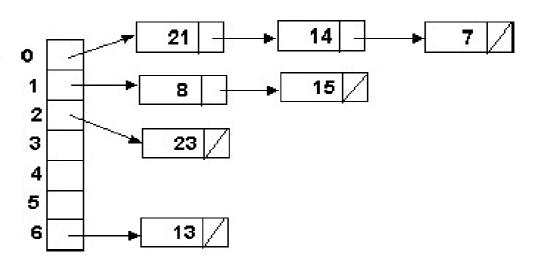
$$h(21) = 21 \% 7 = 0$$

$$h(14) = 14 \% 7 = 0$$
 collision

$$h(7) = 7 \% 7 = 0$$
 collision

$$h(8) = 8 \% 7 = 1$$

$$h(15) = 15 \% 7 = 1$$
 collision



Separate Chaining



- Assume that we will be storing n keys. Then we should make m the next larger prime number. If the hash function works well, the number of keys in each linked list will be a small constant.
- Therefore, we expect that each search, insertion, and deletion can be done in constant time.

Disadvantage:

Memory allocation in linked list manipulation will slow down the program.

Advantage: deletion is easy.

2. Open Addressing



✓ Open Addressing:

- (i) Linear probing (linear search)
- (ii) Quadratic probing (nonlinear search)
- (iii) Double hashing (uses two hash functions)

i. Linear Probing



- ✓ Linear probing is a strategy for resolving collisions, by placing the new key into the closest following empty cell.
- f(i) =i
 - cells are probed sequentially (with wraparound)
 - $-h_i(K) = (hash(K) + i) mod m$
- Insertion:
 - Let K be the new key to be inserted. We compute hash(K)
 - For **i** = 1 to m-1
 - compute L = (hash(K) + i) mod m
 - T[L] is empty, then we put K there and stop.
 - If we cannot find an empty entry to put K, it means that the table is full and we should report an error.

Linear Probing



Ex: Insert items with keys: 89, 18, 49, 58, 9 into an empty hash table. Table size is 10. Hash function is $hash(x) = x \mod 10$.

```
Hash Function:
                   89\%10=9
                                            hash (89, 10) = 9
                                            hash (18, 10) = 8
                   18\%10 = 8
                                            hash (49, 10) = 9
                   49\%10 = 9
                                            hash (58, 10) = 8
                   58\%10 = 8
                                            hash (9, 10) = 9
                   9\%10=9
                                          After insert 89 After insert 18 After insert 49 After insert 58 After insert 9
          Bucket 0
                            49
                                        0
                                                                  49
                                                                           49
                                                                                     49
          Bucket 1
                            58
                                        1
                                                                           58
                                                                                     58
          Bucket 2
                                        2
                                                                                     9
          Bucket 3
                                        3
          Bucket 4
                                        4
          Bucket 5
                                        5
          Bucket 6
                                        6
          Bucket 7
                                        7
          Bucket 8
                            18
                                        8
                                                       18
                                                                           18
                                                                                     18
                                                                  18
          Bucket 9
                            89
                                        9
                                             89
                                                       89
                                                                  89
                                                                           89
                                                                                     89
```

Fig: Linear probing hash table after each insertion

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ii. Quadratic Probing



- ✓ Quadratic Probing eliminates primary clustering problem of linear probing.
- ✓ Collision function is quadratic.

The popular choice is $f(i) = i^2$.

- ✓ If the hash function evaluates to h and a search in cell h is inconclusive, we try cells $h + 1^2$, $h + 2^2$, ... $h + i^2$.
- ✓ Remember that subsequent probe points are a **quadratic number of positions** from the original probe point.
- ✓ In case of a collision if the hash table is not full, attempt to store key in array elements (h+1²)%N, (h+2²)%N, (h+3²)%N ... until you find an empty slot.

ii. Quadratic Probing



```
hash (89, 10) = 9
hash (18, 10) = 8
hash (49, 10) = 9
hash (58, 10) = 8
hash (9, 10) = 9
```

After insert 89 After insert 18 After insert 49 After insert 58 After insert 9

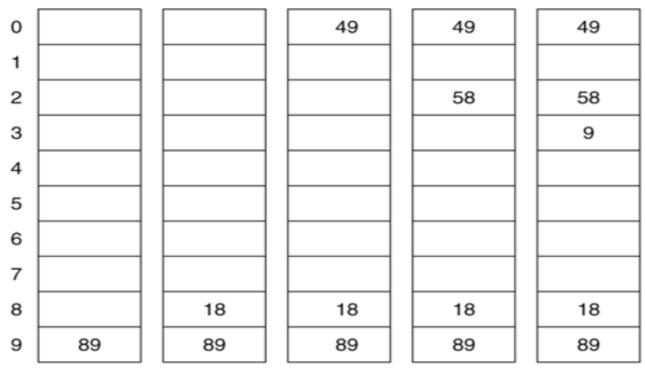


Fig: A quadratic probing hash table after each insertion

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Double Hashing



- The idea of double hashing: Make the offset to the next position probed depend on the key value, so it can be different for different keys
 - Need to introduce a second hash function H₂ (K), which is used as the offset in the probe sequence

$$h_1(x) = x \bmod m$$

$$h_2(x) = x \bmod m'$$

Double Hashing



Suppose we have a list of size 10 (m = 10). We want to put some elements in linear probing fashion. The elements are $\{96, 48, 26, 68\}$

Cell

3

Value

26

96

48

remarks

Placed using Double Hashing

26 need this, collision

$$h1(x) = x \mod 10$$

$$h2(x) = x \mod 7$$

$$h(x,i) = (h1(x) + i h2(x)) \mod 10$$

$$H(26,1) = (h1(26) + i * h2(26)) %10$$

$$= (6 + 5) \% 10 = 1$$
 it is free.

26 will be moved to slot 1

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Hashing - Applications



- ✓ Relational DB Query processing
- ✓ File Organization, Telephone Dictionaries.
- ✓ Symbol table of a compiler.
- ✓ Memory-management tables in operating systems.
- ✓ Large-scale distributed systems.
- ✓ Online spelling checkers.
- ✓ Indexes
- ✓ Search engine databases
- ✓ Game programs (transposition table)