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**CHAPTER 2**

**ANSWER-SET PROGRAMMING**

**2.1 Overview**

The system that has been designed uses an ASP-based approach to represent knowledge from natural language text. So, a basic understanding of answer-set programming is required to understand the remainder of the thesis. This chapter introduces the answer-set programming paradigm and further elaborates on some of the important definitions, concepts and patterns used in answer-set programming. At the end of this chapter, we will go over some of the systems that are developed to run ASP programs.

**2.1 What is Answer-Set Programming (ASP)**

Answer-Set Programming is a declarative problem-solving paradigm that uses both non-monotonic reasoning and logic programming. It is widely used in automatically solving problems relating to representation and reasoning tasks such as modeling reasoning agents, non-monotonic inferences, common sense reasoning, modeling preferences and priorities and many more. An answer set program is a collection of statements that describe the objects of a domain and model relations between them. The semantics of an ASP Program defines a set of possible beliefs that an agent has associated with the program. This set of beliefs is called as an answer-set. The basic constituents of an ASP program are the rules, facts and constraints that describe the problem. Such a program is then passed onto an answer-set solver, which generates answer-sets to the program, that are used to obtain solutions to the problem.

**2.2 Syntax**

In this subsection, we introduce the syntax of an ASP program.

**2.2.1 Atom**

The most basic constituent of the ASP program is an atom. An atomic statement or an atom, is an expression of the form *p (t1,…, tn)* where *p* is a predicate symbol of arity *n* and *t1…tn* are n terms belonging to the predicate *p*. Here *n >= 0* and the terms *ti* can be integers or strings of letters, numbers, or underscore that either begin with an underscore or a lower-case letter. If in an atomic statement *n = 0*, then the brackets are omitted. As an example, ‘*parent (mary, alice)*’ and ‘*alice*’ are both atoms, whereas ‘*parent (mary, girl(alice))*’ is not an atom.

**2.2.2 Literal**

A literal is an atom of the form *p (t1,…, tn)* or its negation *-p (t1,…, tn)*. Here, *-p (t1,…, tn)* is referred to as a negative literal. It means that *p (t1,…, tn)* is false. An atom is called as a ground literal if every term *ti* in the atom is ground. For example, ‘*parent (X, Y)*’ is a literal whereas ‘*parent (mary, alice)*’ is called as a ground literal.

**2.2.3 Rule or Clause**

An ASP Program consists of a collection of rules of the form

1. l0
2. li 🡨 li+1, …, lm, not lm+1,…, not ln

Here, the symbol ‘not’ is a logical connective and is called as a default negation or negation as failure. Its semantic is discussed later in the chapter. An ASP rule is divided into two parts viz. head and a body. A head is a literal on the left side of the rule and a body is a set of literals on the right side of the rule. The head or the body in a rule can be empty. A rule with an empty head is called as a constraint whereas a rule with an empty body is called as a fact.

**2.3 Semantics**

Using the earlier mentioned syntax, we create an ASP program as a collection of rules, facts, and constraints. In this section, we shall discuss about the meaning of these rules and how they are interpreted while reasoning using these rules. The following are a few semantic patterns commonly used in answer-set programs.

**2.3.1 Modelling Implication**

As we saw earlier, every rule (excluding facts) in ASP has two parts separated by the consequence operator “:-”. In such a rule the head of the rule is said to succeed only if every literal in the body of the rule succeeds. As an example, consider the rule

*p :- q, r.*

we can read this kind of rule as “if *q* and *r* succeed then *p* succeeds”. Such kind of a pattern is commonly used in ASP programs to show implications.

**2.3.2 Classical Negation**

Classical Negation is a pattern in which we use negative literals, to show the fact that the literal under consideration has been proved to be false. As an example, consider the following rule.

*-p(a) :- q(a)*

The above rule states that if *q(a)* is shown to succeed then *p(a)* is false or *-p(a)* is true. Classical negation is one of the ways to represent negations in ASP programs.

**2.3.3 Epistemic Disjunction**

We model epistemic disjunctions in ASP when we need to model the semantics for the statement, “Either *p(a)* succeeds or *q(a)* succeeds”. Epistemic disjunction is different from exclusive or, where both *p(a)* and *q(a)* might succeed at the same time. Thus, to model epistemic disjunction we can make use of even loops in the following manner.

*p(a) :- not q(a).*

*q(a) :- not p(a).*

If we solve the above ASP program using an answer-set solver we will get two answer sets {*p(a)*} and {*q(a)*}, i.e. either *p(a)* succeeds or *q(a)* succeeds.

**2.3.4 Constraints**

Constraints are applied in places where we know that certain rules are always false and should not be part of the answer-set. As an example, if we know that it is impossible for *p(a)* to succeed then we can model this constraint as follows

*:- p(a).*

The above rule states that *p(a)* is always false. Here we see that a constraint limits the sets of beliefs that an agent has but does not help to derive new information.

**2.3.5 Default Negation or Negation as Failure (NAF)**

Default Negation, also called as Negation as Failure is used to make conclusions based on the absence of information. This type of negation is used to conclude about default rules and assume defaults to be true in case of absence of enough information. As an example, consider the following example where we state that if we are not able to prove that *q(a)* succeeds then *p(a)* succeeds.

*p(a) :- not q(a).*

So, in the above rule we assumed that *p(a)* has succeeded based on the absence of information about *q(a)*. NAF is an important tool to model defaults in ASP programs. Negation as Failure assumes closed-world assumption (CWA), in which we assume, what is not currently known to be true, as false.

**2.4 Default Reasoning**

Default Reasoning or Representing Defaults is one of the advantages of using ASP. The concept of closed-world assumption discussed earlier is an example of default reasoning where we default the value of the literal to fail in the absence of the literal in the answer set. Default reasoning is very useful in modelling human reasoning as we can draw conclusions even in the absence of information by defaulting to the default rule. Default reasoning thus plays an important role in common sense reasoning and understanding. In case of ASP, a default d stated as “Normally elements of class C have property P” is represented as the following rule

*p(X) :- c(X),*

*not ab(d(X)),*

*not -p(X).*

Here, *ab(d(X))* can be read as “X is abnormal with respect to the default assumption d” and *not-p(X)* can be read as “We can’t successfully prove that *p(X)* is false” or “*p(X)* may be true”.

Default reasoning uses two kinds of exceptions viz Strong exceptions and weak exceptions. Weak exception makes the default inapplicable and stop the agent from making a default conclusion. For example, in the above-mentioned default rule we can apply a weak exception *e(X)* by adding the following rule to the program

*ab(d(X)) :- not -e(X).*

The exception states that X may not be applicable to d if *e(X)* may be true. Similarly, Strong Exceptions refute the defaults conclusion by allowing the agent to derive the opposite to be true. This can be demonstrated by adding the following rule to the program

*-p(X) :- e(X).*

The above rule states that *p(X)* is false if *e(X)* succeeds, which allows us to defeat d’s conclusion that normally class C elements have the property P.

**CHAPTER 3**

**SYSTEM ARCHITECTURE**

**3.1 Overview**

This chapter mainly focuses on the various parts of the system and how they interact with each other. It also describes the various sub-components and modules used in generating the knowledge base and goes through the various steps required to answer queries with the help of the generated ASP program.

**3.2 System Architecture**

The system is composed of two main components or sub systems viz. the Knowledge Generation System and the Query Generation System. Both these systems function independent of each other. The architecture comprises of a common resource framework that is shared by both these systems. This chapter will describe all these components in detail in the rest of the chapter.

**3.3 Components of the System**



As illustrated in the figure, the Knowledge Generation System, the Query Generation System, and the Common Resource Framework are the three components of the architecture. The Common Resource Framework consists of Natural Language Processing tools such as Stanford Core NLP Tools, WordNet API as well as modules for preprocessing incoming text. The Knowledge Generation System is mainly responsible for extracting knowledge from a natural language text. For extracting the knowledge from text, this component uses Stanford NLP tools like the POS Tagger, Stanford Dependency Parser, and the Stanford NER Tagger to gain more information about the input text. Apart from these resources it also taps into the vast information that is provided by WordNet and tries to extract information from the same. As currently there are a very few digital resources about verbs in the NLP domain, this component provides a flexible way to add custom information about verbs that would be reusable in many scenarios. Thus, the Knowledge Generation System takes in the natural language passage as input and produces rules in the form of three chunks of information, which can be aggregated together to form an ASP program representing all the extractable knowledge from the source text.

To help answer questions posed in Natural Language, the Query Generation System is used to automatically generate a set of queries that can be used to find solutions from the answer-sets generated by the ASP program. To ask queries to the ASP program we need to provide both the queries as well as the ASP program to an Answer-Set Solver like SaSP or Clasp. The Query Generation System generates multiple queries for a question and arranges them in the order of significance, keeping the more constraint queries before the less constraint ones. Hence, the kind of query that would lead to an answer is also a rough metric as to the quality of the answer. Now let’s dive deep into the various components in the architecture and talk about its sub modules and their interactions.

**3.4 Common Resources Framework**

The Common Resources Framework consists of the following modules as illustrated in the diagram.



**3.4.1 Text Preprocessing Module**

The style of writing in natural language text changes based on the domain, author, title of the text and many other factors. To automate text processing, becomes a very hard task when we must consider all these different writing styles. Thus, for this system we assume certain properties about the incoming natural language text. The text pre-processing module is the first module that the input text passes through and it makes sure that the input text conforms to these assumptions. Some of the assumptions that we make about the incoming text include concatenation of compound nouns and resolution of coreferences. National Aeronautics and Space Administration or NASA is an excellent example of a compound noun. In this example, we assume that the system detects and treats NASA to be a single concept (National\_Aeronautics\_and\_Space\_Administration) as opposed to separate words. Coreference resolution is the task of finding all expressions that refer to the same entity in a text. It plays an important role in higher level NLP tasks and so we assume that the coreferences in the incoming text have already been resolved. Many a times due to informal writing styles, humans miss certain words or assume certain words while reading and writing texts. Working with such informal style of English is hard, so we assume that the incoming text is written in formal English. As this system depends on many NLP tools for semantic resources, it is susceptible to any flaws in these tools. The preprocessing module tries to correct any mis-tagged entries in the text, due to ambiguous wording, with the help of relations given by higher level semantic tools.

**3.4.2 Stanford NLP Core Tools**

Stanford Core NLP Tools is a set of linguistic tools that help in analyzing and understanding natural language text. It consists of a lot of different sub tools that can be pipelined one after the other to analyze a piece of text. It provides solutions to NLP tasks like POS Tagging, Parsing, NER Tagging, Coreference resolution and many more that play a vital role in higher level NLP tasks like text understanding. This system uses the Stanford-Core-NLP version 3.9.1 on the Java Platform and makes extensive use of its POS Tagger, NER Tagger, Stanford Dependency Parsing, and some other tools on the framework to process incoming text.

**3.4.3 WordNet Interface**

One of the important things in text understanding is being able to extract more information about concepts in the passage. This helps the system gain a deeper understanding into a concept. WordNet is one such digital resource that helps in gaining more knowledge about a concept. WordNet is a large lexical database of English. It consists of a large number of concepts grouped into sets of words that are synonyms i.e. synsets. WordNet has thus created a huge network of concepts by linking these synsets based on lexical relations and conceptual-semantics. WordNet's structure makes it a useful tool for computational linguistics and natural language processing. Java WordNet Interface or JWI is a Java library for interfacing with Wordnet created at MIT. With the help of JWI this system interfaces with WordNet and extracts semantic relations like hypernyms, hyponyms, meronyms etc. to gain more information on the passage.

**3.5 Knowledge Generation System**

The Knowledge Generation System deals with the generation of rules from text and extracting information from other sources like WordNet. This system is made up of 3 modules which are described as follows



**3.5.1 Knowledge Extraction from Text**

This module is responsible for generating rules and facts from the passage itself. It uses the various rules and patterns mentioned in Chapter 7 to generate part of the ASP program. The ASP rules generated by this module should contain all the information present in the input passage. The input file that is supplied to this module is assumed to be preprocessed according to the earlier mentioned assumptions.

**3.5.2 WordNet Ontology Generation**

To further understand the concepts mentioned in the input passage, the ontology generation module generates rules regarding disambiguation, hypernyms, and meronyms. Word sense disambiguation forms an integral part of NLP and is also tackled by this module using default reasoning. The Hypernym relation and the Meronym relation along with other relations like Antonyms and Synonyms help capture more semantic information into the ASP program. These topics would be touched upon in detail in the forthcoming chapters.

**3.5.3 Default Knowledge Base**

As mentioned earlier, there is very little digitalized information about the semantics of verbs in the NLP domain. Hence, to gain complete understanding of verbs and their usage, it is required to create rules, describing their complete meaning, manually. The default knowledge base makes it feasible to add knowledge about verbs and nouns by hand. Care must be taken to make sure that the knowledge being added is generic in nature and is reusable for other similar scenarios. With the help of such an increasing knowledge base the system can become more efficient and accurate.

**3.6 Query Generation System**



The Query Generation System is responsible for understanding the question asked in natural language text and converting it into a set of ranked queries, that could be understood by the ASP Solver to answer the question. It is comprised of the following 2 modules.

**3.6.1 Query Understanding**

Questions asked in natural language can be classified into multiple types based on various theories. To classify a question into a specific type requires complete understanding of the question along with the type of answer expected by the question. This module is tasked at finding the various components of the question including the kind of question, based on the ‘Wh’ word and the lexical type and kind of answer expected.

**3.6.2 Query Generation**

Using the information provided by the query understanding module, the query generation module first creates the most constraint query applicable for the question under consideration. This module then starts relaxing certain constraints in the query giving rise to lower quality queries or queries with lower confidence. In the later chapters, we discuss this approach in detail.

**CHAPTER 4**

**SOFTWARE DEVELOPMENT APPROACH**

**4.1 Overview**

This chapter describes the software development approach taken to build the system. I found out that Test Driven Development is one of the best software development approaches for building a rule-based system. This chapter will elaborate on the various stages involved in test driven development and how its principles help in building a stable rule-based system.

**4.2 Test Driven Development**

Using this approach, a paragraph was divided into multiple tests having a sentence each which was used to develop code for the Knowledge Generation System. Each sentence in the paragraph contributed to my understanding of the grammar rules and patterns in the input text. Test Driven Development, abbreviated as TDD, is a software development process that relies on the repetition of a very short development cycle: first the developer writes an automated test case that defines a desired improvement or new function, then produces a minimum amount of code to pass that test and finally refactors the new code to acceptable standards.

Test Driven Development is known to encourage simple designs that inspires confidence in the code developed under the technique. It is related to the test-first programming concepts of extreme programming. Programmers also apply it to improving and debugging legacy code developed with older techniques. A graphical representation of the typical development cycle can be shown as follows.



**4.3 Steps involved in TDD**

As shown in the above development cycle, there are 5 major stages in a single development cycle of the approach. A cycle in TDD follows the below mentioned steps in sequence.

**4.3.1 Add a test**

In test driven development, each new feature begins with writing a test. This test is supposed to fail as it is written before the feature has been implemented. If the test does not fail, then either the proposed feature is already implemented, or the test is defective. To write such a test the developer must completely understand the specifications and the requirements of the new feature. A developer can accomplish this through use cases and user stories to cover the requirements and exception conditions and can write the test in whatever testing framework is appropriate to the software environment. It could also be a modification of an already existing test case. This is a differentiating feature of test driven development which makes the user focus on the requirements before writing any code.

**4.3.2 Run all tests and see if the new test fails**

This is an important step in TDD, which makes sure that the test harness is working correctly, and the new test does not accidentally pass without requiring writing new code. This step also rules out the possibility that the new test always passes thus making the new test useless. Another important factor in this step is that the test should fail for the right reasons. This makes sure that the test is testing the intended condition and only passes when those conditions are met.

**4.3.3 Write some code**

The next step in this process is to write some code to pass this newly added test. The code written in this step may not be efficient and may pass the test inelegantly. This is acceptable, here as we are going to improve and hone the design in later stages. It is important to note here that the newly added code should only be designed to pass the current test, and no further functionality should be assumed or predicted.

**4.3.4 Run the automated tests all see them succeed**

Once all the automated tests pass with the inclusion of the newly added test and its corresponding code then the developer can be confident that the code meets all the current testing requirements. This is a good point from which we can begin the final step of the development cycle.

**4.3.5 Refactor code**

The entire code can now be refactored to accommodate any new updates and changes required in the design triggered by the addition of new code. By running the entire testing suite, the developer can guarantee that the refactoring has not hampered any functionality in the code. Removing duplicate and dead code is important in software development. This step gives the developer a chance to remove duplicates and improve the code design without affecting code.

**4.3.6 Repeat**

Now, the developer can start with another test case and repeat the cycle to improve the functionality of the system. It is recommended that the size of the edit should always be small, with as few as 1 to 10 edits between each test run. If the new code does not rapidly satisfy a new test or other tests start failing unexpectedly then it is recommended to undo the previous code change as compared to excessive debugging. In such cases, continuous integration helps by providing revertible checkpoints.

**4.4 Development Principles**

There are various principles that help while using test-driven development. These principles include “Keep it simple stupid” (KISS), “You are not going to need it” (YAGNI) and many more. Some of these principles along with their advantages has been discussed below.

By focusing on only writing code for passing a single test, the designs can be cleaner than other approaches. To achieve complex design patterns, tests can be written to generate the design pattern. This helps in keeping the changes short and simple to understand, which allows the developer to focus on what is important. Writing tests first before coding up the functionality has been claimed to have many benefits. It helps the developers think about testing from the outset instead of worrying about it later. Also, writing tests first creates a deeper understanding about the concept or feature in the developers mind which in turn helps in writing better code. Failing the test case first, before implementing its required feature, ensures that the test really works and can catch bugs. Test driven development constantly repeats the steps of adding test cases that fail, passing them, and refactoring. Receiving the expected test results at each stage in the development cycle reinforces the developers mental model of the code and boosts confidence in the code. Keeping the changes to be smaller has proved to have multiple benefits including reduced debugging effort and better understanding of code. These principles if followed correctly enable developers to build large scalable systems without adding to the complexity of debugging and maintaining the system.

**CHAPTER 5**

**NATURAL LANGUAGE RESOURCES**

**5.1 Overview**

This chapter gives an overview on the various natural language resources that are used to build the system. The Stanford Core NLP Toolset consists of many tools including the POS Tagger, Parser, Co-Reference Resolution, and Dependency Parser. This chapter would mainly deal with the details about the dependency parser, POS tagger, NER Tagger and the concepts relating to WordNet and its relations. We will make use of the concepts discussed in this chapter in the next chapter while discussing the different knowledge extraction techniques used.

**5.2 Resource Tools**

**5.2.1 Dependency Parser**

A dependency parser analyses the grammatical structure of a sentence and returns a set of relations between different words of the sentence. In general, one of these words is the independent word or the head word and the other is the dependent word in the relation. The dependent word modifies the independent word in the sentence using the relation. Consider the sentence “John gave Mary the book.”.



The figure shown above marks the various dependencies in the sentence. The dependencies can be given as follows

root(ROOT-0, gave-2), nsubj(gave-2, John-1), dobj(gave-2, book-5), iobj(gave-2, Mary-3), det(book-5, the-4), punct(gave-4, .-6).

We will discuss each of these dependencies and their meanings in detail later in the chapter. In the above-mentioned dependencies, the first word is the independent word, the second word is the dependent word and the predicate of the dependency describes the type of relation between the words. Consider the nominal subject dependency relation from the sentence

nsubj(gave-2, John-1)

Here, “gave” is the independent word, “John” is the dependent word and the relationship between these words is of the “nominal subject” or “nsubj”. Sometimes these relations have specifics mentioned along with them e.g the relation “nmod:poss” states that the relation is of a nominal modifier which shows a possessive relation.

**5.2.2 Part of Speech Tagger**

A Parts of Speech Tagger is responsible for assigning parts of speech to words in a sentence. The English language has eight parts of speech: noun, verb, pronoun, preposition, adverb, conjunction, particle, and article. Apart from these parts of speech categories tags are also applied to punctuations in a sentence. A tagging module uses certain predefined tag sets to tag various words. A tag set defines the various tags and their meanings that the POS Tagger outputs. The English tagger in the Stanford POS Tagger uses the Penn Treebank tag set.

|  |  |  |  |
| --- | --- | --- | --- |
| ***Tag*** | ***Description*** | ***Tag*** | ***Description*** |
| CC | Coordinating conjunction | PRP$ | Possessive pronoun |
| CD | Cardinal number | RB | Adverb |
| DT | Determiner | RBR | Adverb, comparative |
| EX | Existential *there* | RBS | Adverb, superlative |
| FW | Foreign word | RP | Particle |
| IN | Preposition or subordinating conjunction | SYM | Symbol |
| JJ | Adjective | TO | to |
| JJR | Adjective, comparative | UH | Interjection |
| JJS | Adjective, superlative | VB | Verb, base form |
| LS | List item marker | VBD | Verb, past tense |
| MD | Modal | VBG | Verb, gerund or present participle |
| NN | Noun, singular or mass | VBN | Verb, past participle |
| NNS | Noun, plural | VBP | Verb, non-3rd person singular present |
| NNP | Proper noun, singular | VBZ | Verb, 3rd person singular present |
| NNPS | Proper noun, plural | WDT | Wh-determiner |
| PDT | Predeterminer | WP | Wh-pronoun |
| POS | Possessive ending | WP$ | Possessive wh-pronoun |
| PRP | Personal pronoun | WRB | Wh-adverb |

Let us take the previously mentioned example as a sentence and tags its parts of speech. You can understand the meaning of each tag from the above given table.



In general, many of the most common words used in English belong to more than one category of part of speech. As an example, the “book” can both be a verb and a noun. Thus, the task of parts of speech tagging is also required to disambiguate between the various possible tags that can be applied to a word. Following are some of the major problems that most taggers face, which affect tasks like information extraction that use these taggers as a source of information.

1. *Confusion between NN/NNP/JJ*

Proper Nouns, Nouns and Adjectives are predominantly hard to distinguish between as all of them form parts of the Nominal Phrase and can be reordered in multiple ways in English.

1. *Confusion between RP/RB/IN*

All the above parts of speech can occur immediately after the verb. It is especially hard to distinguish between particles and prepositions as both classes share certain words.

1. *Confusion between VBD/VBN/JJ*

Boundaries of noun phrases are determined using the above parts of speech and hence differentiating between them plays a crucial role in parsing.

**5.2.3 Named Entity Recognizer**

A Named Entity Recognizer is a module used to label a sequence of words in a sentence with predefined tags of Named Entities. Named Entities are names of things, such as person, company, organization, locations, cities and many more. Named Entity Taggers can be built for custom texts and passages with a rich predefined tag set. The Stanford Named Entity Tagger is trained on various models for the English Language. The various models are given as follows:

1. 3 class: *LOCATION*, *PERSON*, *ORGANIZATION*
2. 4 class: *LOCATION*, *PERSON*, *ORGANIZATION, MISC*
3. 7 class: *LOCATION*, *PERSON*, *ORGANIZATION, MONEY, PERCENT, DATE, TIME*

Let us take the sentence “John, who works at UTD, lives in Dallas.” as an example for the NER



The Named Entity Recognizer is one of the important sources of information for the information extraction task in NLP.

**5.3 Stanford Universal Dependencies**

The Universal Dependency (UD) Relation taxonomy divides the relations into structural categories as Nominals, Clauses, Modifier Words and Function Words. Similarly, the relations can be divided into functional categories with relation to the head as Core Arguments, Non-Core Dependents, and Nominal Dependents. We will study the meaning of some important dependency relations based on the functional categorization.

**5.3.1 Core Arguments**

Core Arguments are mostly relations that talk about the various participants that are involved in the event. These relations play an important role in understanding the sentence. Some of these core argument relations are given as follows:

5.3.1.1 *nsubj: nominal subject*

A nominal subject is a nominal that acts as the subject or agent of a clause. This nominal contains the do-er of the action. The head of such a nominal could be a noun, pronoun, or other things such as adjectives. Similarly, if the verb involved in the *nsubj* relation is a copula then the governor of the relation may not be a verb but now is a noun or an adjective related with the copula. In the case of passive sentences the relation *nsubj:pass* is used to indicate the subject instead.







5.3.1.2 *dobj: direct object*

A direct object is a noun phrase or a nominal that is the accusative object of the verb. The verb, here is the head of the verb phrase that governs this relation.



5.3.1.3 *iobj: indirect object*

A nominal phrase that is neither the subject nor the direct object of the verb but is a core argument of the verb is called the indirect object of the verb. In general, if there is only one object then its considered to be a direct object, but in the case of more than one objects, one of them is direct whereas all other are indirect objects of the verb.



5.3.1.4 *csubj: clausal subject*

A clausal subject is basically a clause that acts as the subject of the sentence. In general, the governor of this relation is a verb but, in the case, where the main verb is a copular verb the governor can be a non-verb. The dependent word for a *csubj* relation is the head verb of the subject clause. The *csubj* relation is also used for the passive verb or a verb group. We make use of the specific *csubj:pass* in passive transformations.







5.3.1.5 *ccomp: clausal complement*

The dependent clause which is the core argument of the verb is called as the clausal complement. Thus, such a clause behaves like a subordinate clause to the main verb i.e. the governor of this relation.



5.3.1.6 *xcomp: open clausal complement*

A clausal complement that does not contain its own subject is called as the open clausal complement. The subject is usually determined by a higher clause in the sentence.



**5.3.2 Non-Core Dependents**

5.3.2.1 *advcl: adverbial clause*

An adverbial clause is a clause that modifies a verb. An adverbial clause can include a temporal clause, condition, consequence, effect, purpose etc. Here the dependent entity must be a clause otherwise the relation becomes *advmod*. The dependent entity represents the head verb of the clause.



5.3.2.2 *advmod: adverbial modifier*

An adverbial modifier is an adverb or an adverbial phrase that modifies a predicate. Here, the adverbial phrase should not be a clause, otherwise it is marked as *advcl*. Here in general the dependent is an adverb whereas the governor is the head verb of the predicate that the adverb is modifying.



5.3.2.3 *aux: auxiliary*

An auxiliary is a function word in a clause that expresses categories like mood, tense, aspect, voice and evidentiality, Auxiliaries are often verbs, that may or may not have non-auxiliary uses, but many languages also have non-verbal auxiliaries. In general, auxiliaries used to create passive voice are also marked with the *aux* relation.



5.3.2.4 *cop: copula*

A copula relation is used to connect a subject to a non-verbal predicate. Although, copulas are verbs in general, there exist non-verbal copulas in certain languages. The copula “be” is not considered as the head of the clause but is a non-verbal predicate. A copula relation is not applied when the non-verbal predicate is used in the form of a clause.





5.3.2.5 *mark: marker*

The marker is a word that connects the subordinate clause to another clause. For a clausal complement a marker is a word like that or whether in English. Whereas for an adverbial clause the marker is a subordinating conjunction. The mark relation has the governor as the subordinate clause head and the dependent is the marker itself.



**5.3.3 Nominal Dependents**

5.3.3.1 *nmod: nominal modifier*

The nominal modifier relations describe different attributes or properties of verbs, adverbs, nouns. The dependents in such a relation are nouns or noun phrases and the governors can be verbs, nouns or other parts of speech. Nominal modifiers can have specifics like *nmod:poss*, describing possessive relations or *nmod:tmod*, describing temporal relations.





5.3.3.2 *appos: appositional modifier*

Appositional Modifiers are nominals that directly follow the noun that defines, modifies, or describes the noun*.* Appositional modifiers also include parenthesized example as well as abbreviations.





5.3.3.3 *nummod: numerical modifier*

Any number or a number phrase that describes more about a noun or a noun phrase in the form of a quantity is part of the numerical modifier relation. Here, indefinite quantifiers such as few, many, a lot etc. are marked as *det* and not *nummod*.



5.3.3.4 *acl: adjective clause*

Clauses that modify a nominal are marked as adjective clauses or *acl*. These are different from *advcl*, which modifies a predicate. The head of the relation is the nominal that is modified and the dependent of the relation is the head of the clause that modifies the relation.



5.3.3.5 *amod: adjective modifier*

An *amod* or adjective modifier is an adjective or an adjectival phrase that describes more about the noun or gives meaning to the noun. Here the head of the relation is the modified noun where as the dependent of the relation is the modifier.



5.3.3.6 *det: determiner*

The determiner relation holds between a nominal and its determiner. Generally, the words having the POS tag as DET belong to this relation. The head is the nominal and the dependent is the determiner in this relation.



5.3.3.7 *case: case marker*

The case relation is used to mark any element treated as a separate syntactic word like a preposition, a possessive altercation etc. Here the governor of the relation is the head of the nominal phrase where as the dependent is the syntactic word under consideration.





**5.3.4 Other Dependency Relations**

5.3.4.1 *conj: conjunct*

The conjunct relation is marked between words or elements the are connected via a coordinating conjunction like *and*, *or* etc. The conjunct relation is asymmetrical, here the head of the relation is first conjunction and the dependents are the other conjunctions that depend on the first conjunction through the *conj* relation. Such a relation is also marked if the conjunctions are omitted or replaced by commas or any other punctuation symbols.





5.3.4.2 *cc: coordinating conjunction*

The relation *cc* is marked between the conjunct and a preceding coordinating conjunction. The *cc* relation accompanies the *conj* relation mentioned before.



5.3.4.3 *compound: compound*

The compound relation is used for multiword expressions like joint ventures. These can also be applied to proper nouns like American Airlines and verbs that have particles like stand up. For particles the compound relation contains a specific and is marked as *compound:prt*.



5.3.4.4 *root: root*

The root relation marks the root of the sentence. A fake node ROOT-0 is created which governs the relation and the dependent is the head of the sentence, which in most cases is the main verb. The ROOT node starts at 0 as the rest of the sentence is indexed from 1.



**5.4 WordNet**

WordNet is one of the most commonly used resources in English. It is a lexical database consisting of sense relations between English words. WordNet consists of separate databases one each for nouns, verbs and a database for adjectives and adverbs. Databases are only created for open word classes in WordNet. WordNet contains a set of near synonyms called as synsets and marks relations between these synsets. We will discuss some of the important relations, present in WordNet, later in the chapter. In each of the databases, there consists a set of lemmas, each one annotated with a set of senses. WordNet can be accessed on the Web or downloaded and accessed locally. A typical entry for the noun “*lion*” in WordNet yields the following different senses.



Due to the presence of multiple senses for each word in WordNet, disambiguation is of the utmost importance when using WordNet as a source for any application.

**5.4.1 WordNet Relations**

WordNet contains various relations depending upon the word type. Some of the noun relations include Hypernym, Hyponym, Instance Hypernym, Instance Hyponym, Meronyms, and many more. Some of the verb relations include Hypernyms, Troponyms, Antonyms etc. We now discuss some of the above-mentioned category relations.

**5.4.1.1 Hypernyms**

One of the most commonly used WordNet relations is the Hypernym relation. It connects specific entities to their more general entities. This relation is also called as the IS\_A relation. This relation states that the category *vehicle* includes the *motor vehicle* which in turn includes the *car*. In this way all noun hierarchies eventually end in the root node, *entity*. The Hypernym relation is transitive in nature i.e. if a *car* is a *motor vehicle* and the *motor vehicle* is a *vehicle*, then we can conclude that the *car* is also a *vehicle*. WordNet can also distinguish between types of nouns versus instances of nouns. As an example, a car is a type of a vehicle, whereas Kenya is an instance of a country.

**5.4.1.2 Meronyms**

Meronyms indicate the part-whole relationship between any two concepts in WordNet. For example, A *horn*, *air bags*, and an *engine* are parts of a *car*. This relationship can be inherited from the super ordinates but not from the subordinates as some of the relations may be characteristic to certain concepts. As an example, all cars will have horns, but not all vehicles (submarines) will necessarily have a horn.

**5.4.1.3 Synonyms**

Synonyms are two different concepts having similar or nearly identical senses. Two words can also be said to be synonymous if they are substitutable for each other in the sentence. The synonym relation can be found in nouns, adjectives, adverbs as well as verb categories. For example, *car/automobile*, *eat/consume/take in*, *pretty/beautiful*, *quickly/rapidly* are all synonyms of each other.

**5.4.1.4 Antonyms**

Antonyms on the contrary to Synonyms are concepts or words with opposite meaning. Antonyms have various definitions, which make it hard to define antonyms. Antonyms are concepts that may be on the opposite end of a scale or a measurement i.e. *long/short*, *fast/slow*, or *positive/negative* which are all concepts that lie on the opposite sides of a scale. Another definition of antonyms describes some change in the direction or movement in opposite direction which can be given by *up/down, left/right*, and so on. Antonyms are somewhat like synonyms, as antonyms almost have similar meanings in all aspects except for the fact that they belong to the opposite sides on a scale. Thus, due to the cryptic definitions of antonyms it is often difficult to decide between synonyms and antonyms.

**5.4.2 WordNet Senses**

WordNet has defined 45 lexical categories for synsets during its development. Synsets were organized into these categories based on the syntactic category and logical groupings of the synsets. The syntactic categories based on which the synsets are divided are NOUN, VERB, ADJECTIVE and ADVERB. Let us consider some of the sense categories for nouns as generated by WordNet. With the help of these sense categories we will later discuss how we can generate ASP code to represent hypernym relations efficiently without blowing up the space requirements for the system. Following are the lexical categories for nouns along with their meaning.

|  |  |
| --- | --- |
| ***Name*** | ***Content*** |
| noun.Tops | unique beginner for nouns |
| noun.act | nouns denoting acts or actions |
| noun.animal | nouns denoting animals |
| noun.artifact | nouns denoting man-made objects |
| noun.attribute | nouns denoting attributes of people and objects |
| noun.body | nouns denoting body parts |
| noun.cognition | nouns denoting cognitive processes and contents |
| noun.communication | nouns denoting communicative processes and contents |
| noun.event | nouns denoting natural events |
| noun.feeling | nouns denoting feelings and emotions |
| noun.food | nouns denoting foods and drinks |
| noun.group | nouns denoting groupings of people or objects |
| noun.location | nouns denoting spatial position |
| noun.motive | nouns denoting goals |
| noun.object | nouns denoting natural objects (not man-made) |
| noun.person | nouns denoting people |
| noun.phenomenon | nouns denoting natural phenomena |
| noun.plant | nouns denoting plants |
| noun.possession | nouns denoting possession and transfer of possession |
| noun.process | nouns denoting natural processes |
| noun.quantity | nouns denoting quantities and units of measure |
| noun.relation | nouns denoting relations between people or things or ideas |
| noun.shape | nouns denoting two and three-dimensional shapes |
| noun.state | nouns denoting stable states of affairs |
| noun.substance | nouns denoting substances |
| noun.time | nouns denoting time and temporal relations |

**CHAPTER 6**

**KNOWLEDGE REPRESENTATION**

**6.1 Overview**

This chapter describes how using all the concepts mentioned in the previous chapter, the system generates facts and rules from text. This process involves creation of a semantic graph which acts like an event tree that binds various events in the text together. Using this semantic graph, we start generating fact in the form of some predefined predicates. Section 8.3 defines these predicates with examples. Apart from the knowledge that the system extracts from the text, it also makes use of WordNet and creates certain rules from it. Section 8.4 dives deep into how we can make use of the various WordNet synsets to understand text and how we can use ASPs preference patterns for sense disambiguation while using these synsets.

**6.2 Semantic and Event Graph**

One of the first steps after parsing the sentences in the text is to generate a semantic graph from the sentence. We create such a graph with the help of the Stanford Typed Dependencies representation mentioned in the earlier chapter. It gives a simple description of the grammatical relationships in a sentence that can easily be understood and effectively used to extract textual relations. Consider the following sentence as an example

*Example:* “NASA carried out the Apollo program.”

For this sentence some of the Stanford Dependency (SD) representations can be given as:

*nsubj* (carried-2, NASA-1) *root* (ROOT-0, carried-2)

*compound:prt* (carried-2, out-3) *det* (program-6, the-4)

*compound* (program-6, Apollo-5) *dobj* (carried-2, program-6)



These dependencies map straightforwardly onto a directed graph representation, in which words in the sentence are nodes in the graph and grammatical relations are edge labels. The Figure gives the graph representation for the example sentence above. The meanings of these type dependencies are explained in the previous chapter in detail. This semantic graph thus created has been used to extract the different facts from the sentence. In English, most event mentions correspond to verbs and most verbs are triggers to events. Although this is true in most cases there are other word groups that can trigger events as well. The different verbs in the sentence thus, define various events that take place in the sentence and how these events are connected to each other. In the above sentence there is only one event having the head verb “carried out”. We can demonstrate the use of the event graph by taking a more complicated example as follows.

*Example:* “Miitomo, which Nintendo introduced globally in 2016, features the company's, Mii, avatar-system and lets the users communicate by exchanging personal information such as favorite movies.”



The above graph shows how various words are connected to each other in the sentence. This example is a complex sentence consisting of multiple clauses. The main verbs of the sentence being “feature” and “let” connected by a coordinating conjunction. In the above diagram the verbs have been marked using double-bordered ovals, the prepositions have been shown using diamonds whereas all other words like adjectives, nouns and adverbs have be shown using rectangular boxes. The verbs in the diagram represent the head of events in the sentence and are marked using event IDs. The various color regions denote the rough boundaries of these event regions. Many of the concepts in the sentence also take part in multiple events, for example the word, Mittomo. It is with the help of these event regions that we can infer missing or implied information from the sentence. We will go over the details of how the semantic graph with these event regions are used to create facts and rules in the rest of this section.

**6.3 Predicate Generation**

In this section, we would go over the various predicates that have been defined to represent information. Some of the predicates are special cases like abbreviation, start\_time etc. where as others are more generic like mod, event and so on. The more generic predicates we generate the easier it is to query about information from the ASP program. The generic predicates that have been generated try to convey information that is more explicitly present in the text. Whereas the special kinds of predicates can be used to model implied information in text. Implied reasoning forms an integral part of the human or common-sense reasoning, thus we can add more special predicates for better performance. Following are some of the important predicates that have been used by the system.

**6.3.1 Event Predicate**

The event predicate defines an event that happens in the sentence. The verb marks the head of the event predicate. Any event in general consists of certain actors and participants taking part in the event. The event predicate consists of the various actors (doers) and participants involved in the event. The signature of the event predicate can be given as follows

*event* (*event\_id*, *trigger\_verb*, *actor*, *participant*)

The signature of the event predicate consists of 4 terms. The *event\_id* is an integer that uniquely identifies that event in the sentence or paragraph. It is using this *event\_id* that we can differentiate various properties and relations in the text. The *event\_id* is tightly coupled with the events *trigger\_verb*. The *trigger\_verb* denoted in the event predicate is the lemma of the actual word used in the sentence. Using lemmas of trigger verbs help in easily querying for an event. Event predicates are created for all kinds of events i.e. both active versus passive events. Active events are those that are trigged by action verbs whereas events triggered by passive verbs like copulas or modal verbs are more passive in nature. The actors in the event predicate are the subjects found to the *trigger\_verb* in the sentence. Subjects in the sentence can be found with the help of dependencies like *nsubj and nsubj:xsubj*. Here *nsubj:xsubj* is a special controlling subject used to find relations between the main subject and the subordinate clause. Just as actors can be obtained from the subject of the sentence, the participants can be determined from the direct object dependency (*dobj*). Consider the following sentence from a ‘Super Bowl 50’ passage as an example along with the event predicates that are generated from it.

*Example:* “The American\_Football\_Conference's (AFC) champion team, Denver\_Broncos, defeated the National\_Football\_Conference's (NFC) champion team, Carolina\_Panthers, by 24\_10 to earn AFC third Super\_Bowl title”

*event (1, defeat, denver\_broncos, carolina\_panthers)*

*event (2, earn, afc, title)*

From the above predicates, we can understand that there are two main events occurring in the sentence, i.e. the first relating to a defeat and the other relating to earning of something. The system has marked the event “defeat” with ID 1, whereas the event of earning has been marked with the ID 2. This is how we can capture the information that ‘denver\_broncos defeated carolina\_panthers’ and ‘afc earned the title’. Here we can get richer information about the event if we know more about the actors and the participants in the event. Thus, duplicate event predicates are also created for each *modifier* for the actor as well as the participants involved in the event. To generate such event predicates, we can use the *amod* or *nummod* dependencies for the actors and the participants and create compound atoms from the modifiers and their governors. Such supplementary event predicates, although are duplicates but make querying easier. As an example, consider the same sentence as before and this duplicate event predicate that is generating shedding light upon the kind of title won.

*event (2, earn, afc, third\_super\_bowl\_title)*

The default value for the actor and the participant field is *null*. A *null* value indicates that either the term is absent for the event or the system was not able to determine it.

**6.3.2 Property Predicate**

The property predicate elaborates on the properties of the modified noun or verb. The modifier in this case is generally a prepositional phrase in the sentence. Here, the *modifier* is different from the modifiers that are encountered as adjectives or adverbs that are marked as *amod* and *advmod* respectively. A property predicate is coupled with an event and describes the modification only for that event. The signature for the property predicate can be given as follows

*\_property (event\_id, modified\_entity, preposition, modifier)*

This signature contains 4 terms that together define the modification. The *event\_id* of this predicate should belong to one of the events in the context. It is with the help of this term that we can constraint our query to an event and answer queries accurately with respect to the event under consideration. The *event\_id* used here is propagated to the *modified\_word* while creating the semantic graph. The *modified\_word* is the head of this predicate and can be a noun or a verb. The *preposition* is the dependent of the *case* relation with the *modifier* as the governor and the *modifier* can be found using the nominal modifier or the *nmod* relation from the dependency relations. The *preposition* in this relation helps in identifying the kind of *modifier* used in the relation. The following example gives example of both the *modified\_word* being a noun as well as a verb.

*Example:* “The game was played on February 7 2016, at Levis\_Stadium, in the San\_Francisco\_Bay\_Area, at Santa\_Clara in California”

*\_property (2, play, on, 'february\_7\_2016')*

*\_property (2, play, at, levis\_stadium)*

*\_property (2, play, in, san\_francisco\_bay\_area)*

*\_property (2, play, at, santa\_clara)*

*\_property (2, santa\_clara, in, california)*

From the above property predicates we can get more information about the *modified\_words*, play and *santa\_clara*. To give context let us also consider the event with ID 2 along with this example.

*event (2, play, null, game)*

From the above facts we understand that the game is/was/will be played ‘*on february\_7\_2016*’, ‘*at levis\_stadium*’, ‘*in san\_francisco\_bay\_area*’, and ‘*at santa\_clara*’. Here we can also conclude that santa\_clara is ‘*in california*’. Thus, with the help of the property predicates we can understand more about the event regarding its properties like time, place and many more. While generating property rules we exclude the *nmod* relations having the specifics like *poss*, and *such\_as* as these are handled as special cases.

**6.3.3 Modifier Predicate**

The modifier predicate is used to model the relationship between adjectives and the nouns they modify and between verbs and their modifying adverbs. The signature of the *mod* predicate can be given as follows.

*\_mod (modified\_word, modifier\_word)*

Here, the *modified\_word* can be a noun or a verb. In case of the noun the *modifier\_word* is an adjective given by the *amod* relation. Similarly, if the *modified\_word* is a verb then the *modifier\_word* is an adverb given by the *advmod* relation. Sometimes, nouns are also modified using the adjectives of quantity, which are marked using the numerical modifier relation or the *nummod* dependency. An example for the same can be shown as follows

*Example:* “The Amazon\_rainforest, also known in English as Amazonia or the Amazon\_Jungle, is a moist broadleafed forest that covers most of the Amazon\_basin of South\_America.”

*\_mod (forest, broadleafed)*

*\_mod (forest, moist)*

*\_mod (know, also)*

The above sentence generates the modifier predicate having both the noun as well as the verb as the *modified\_word*. Here the “*forest”* is modified by the adjectives “*broadleafed*” and “*moist*”, and the verb “*know*” is modified by the adverb “*also*”. Using this predicate, we can infer about the qualities of the nouns and the verbs in the sentence.

**6.3.4 Possessive Predicate**

The possessive predicate is used to model the genitive case in English. It is used to show possession or a possessive relation between two entities in the sentence. The possessive predicate given by *\_possess* has the following signature

*\_possess (possessor, possessed)*

Such a relation is generally present in nouns using the possessive case (‘s). The *possessor* as well as the *possessed* are nouns in the sentence. The *\_possess* relation can be extended towards appositional modifiers of the *possessed*. As defined previously appositional modifiers defines or describes a noun. An example that shows genitive case in English and the extension of the possessive case using appositional modifiers can be given as follows

*Example:* “The American\_Football\_Conference's (AFC) champion team, Denver\_Broncos, defeated the National\_Football\_Conference's (NFC) champion team, Carolina\_Panthers, by 24\_10 to earn AFC third Super\_Bowl title”

*\_possess (american\_football\_conference, team)*

*\_possess (national\_football\_conference, team)*

*\_possess (american\_football\_conference, denver\_broncos)*

*\_possess (national\_football\_conference, carolina\_panthers)*

From the above sentence we can generate the first two predicates, as they fall under the genitive case rule, using the *nmod:poss* dependency relation, but the next two facts need to be extended using the appositional modifier relation (*appos*). According to the first two facts, the “*american\_football\_conference*” and the “*national\_football\_conference*” each possess a team. The names for these team can be inferred using the *appos* relation given by the dependency relations “*appos (team, denver\_broncos)*”and“*appos (team, carolina\_panthers)*”. From the above two observations we can conclude the two facts that “the *american\_football\_conference* possesses *denver\_broncos*” and “the *national\_football\_conference* possesses *carolina\_panthers*”

**6.3.5 Instance Predicate**

Instance predicates are used to model the concept associated with being an instance of a concept. As an example, red is an instance of a color, so we need to model the fact that “red is a color” as follows *color (red)*. This fact states that red is an instance of the concept of color. Alternatively, we can also generate the predicate *\_is (red, color)*, which lets us query both the concept or the instance. This the signature of the *\_is* predicate can be given as follows

*\_is (instance, concept)*

Here the *instance* as well as the *concept* are nouns having the relationship of *instance\_of*. To model this relationship, we can use the *cop* dependency along with its related *nsubj*. As mentioned while describing the *nsubj* relation, if the verb in the sentence is a copula then the governor of the relation is the noun associated with the copula. In such a case we can make use of this relation with the copula to generate this predicate. An example of such a generation can be seen in the following sentence.

*Example:* “Nikola\_Tesla was a serbian-american inventor, electrical engineer, mechanical engineer, physicist, and futurist”

*\_is (nikola\_tesla, inventor).*

*\_is (nikola\_tesla, serbian\_american\_inventor).*

The above example, generates the following dependencies, *nsubj (inventor, nikola\_tesla)* and *cop (inventor, was)*. From these dependencies we can generate the above given instance predicate. Similarly, in the above example we see that the verb (*is*) is associated with other concepts like *engineer*, *physicist*, and *futurist* using the conjunction. Thus, we can extend the definition of the instance predicate to also include the following other facts.

*\_is (nikola\_tesla, electrical\_engineer).*

*\_is (nikola\_tesla, engineer).*

*\_is (nikola\_tesla, futurist).*

*\_is (nikola\_tesla, mechanical\_engineer).*

*\_is (nikola\_tesla, physicist).*

Adding these facts makes the knowledge base stronger and is now able to infer many other things about the passage. Such other facts can be generated with the help of the *conj* dependency that connects concepts like *engineer, physicist*, *and futurist* to the first concept of an *inventor*. Another case, where we can generate the instance predicate is in cases where multi-word expressions like such as, or like are used to compare two concepts to be equivalent. Consider the following sentence as an example for the same.

“Miitomo, which Nintendo introduced globally in 2016, features the company's, Mii, avatar-system and lets the users communicate by exchanging personal information such as favorite movies.”,

*\_is (movie, personal\_information)*

*\_is (favorite\_movie, personal\_information)*

In the above example we use the expression “*such as*” to compare two concepts to be equivalent in the sense that one concept is a likely equivalent of the other. In such cases the generated instance predicate is shown above. Such predicates can be generated using the *nmod*, *mwe*, and the *case* dependencies.

**6.3.6 Relation Predicate**

The relation predicate is used to connect two concepts in events. This predicate is generated to model mainly two relations i.e. dependent clauses and conjunctions. The signature of the relation predicate can be given as follows.

*\_relation (independent\_entity, dependent\_clause\_id, relation\_type)*

Dependent clauses can be of two types depending upon their governors i.e. adjective clauses or adverb clauses. Adjective clauses are dependent clauses that modify a noun, whereas adverb clauses modify a verb. The *independent\_entity* defined in the signature can be either the noun that is modified or the event ID of the verb that is modified. The *dependent\_clause\_id* is always the ID of the verb that is the head of the dependent clause. The *relation\_type* define the relation between the dependent and the independent clause. This system recognizes 3 types of relations viz. *\_clause*, *\_clcomplement* and *\_conj*. All these relations are discussed below with examples.

As mentioned above the *\_clause* relation is generated for adjective and adverb clauses. Examples for both these clauses is given as follows.

*Example:* “The American\_Football\_Conference's (AFC) champion team, Denver\_Broncos, defeated the National\_Football\_Conference's (NFC) champion team, Carolina\_Panthers, by 24\_10 to earn AFC third Super\_Bowl title”

*\_relation (1, 2, \_clause)*

*event (1, defeat, denver\_broncos, carolina\_panthers)*

*event (2, earn, afc, title)*

In the above given sentence, there are two clauses, one headed by the verb “*defeat*” and the other by the verb “*earn*”. Here, the clause headed by “*defeat*” is the main clause whereas the adverb clause headed by “*earn*” is the dependent clause. Such a relation can be found out using the dependency *advmod*. Similarly, consider the adjective clause in the following sentence

“The American\_Broadcasting\_Company (ABC), stylized in the network's logo as ABC since 1957, is an American commercial broadcast television network”

*\_relation (american\_broadcasting\_company, 1, \_clause)*

*event (1, stylize, null, null)*

In this sentence, the noun “*American\_Broadcasting\_Company*” is modified by the dependent clause headed by the verb “*stylize*”. Such a predicate can be modeled using the *acl* dependency relation. One of the other relations is *\_clcomplement*. This type of relation models both the clausal complement (*comp*) as well as the open clausal complement (*xcomp*). Such a relation is used to search for the subject of the dependent clause. An example of such a relation is given as follows.

“The ideal thermodynamic cycle used to analyze the process is called the Rankine\_Cycle”

*\_relation (1, 2, \_clcomplement)*

event (1, use, null, null)

*event (2, analyze, null, process)*

Conjunctions are words that connect two or more clauses. The relation predicate is also used to model this relation between any two clauses. An example of such a predicate is given below.

“Water heats and transforms into steam within a boiler operating at a high pressure”

*\_relation (2, 3, \_conj)*

*event (2, heat, water, null)*

*event (3, transform, water, null)*

In the above example we use the *\_conj* relation type to model the conjunction relation between the verbs “heat” and “transform”. As seen above the *\_conj* relation type uses ID’s for verb conjunctions whereas actual nouns for noun conjunctions.

**6.3.7 Named Entity Predicates**

As mentioned in the Chapter 5, the system uses the Named Entity Tagger to get information about entities in the text. The Named Entity Tagger marks various classes like *LOCATION*, *PERSON*, *ORGANIZATION, MONEY, PERCENT, TIME* in the text. We make use of these tags to generate facts of the form *concept(instance)*. These facts together with the rules generated by the ontology help in reasoning about the text. We will discuss about how the predicates generated using the Named Entity Tagger look like and examples where they may be useful.

**6.3.7.1 Time Predicate**

The time predicate deals with recognizing a date, timestamp, time of the day, month, or year in the sentence. Thus, a time predicate is used to understand that the term inside the predicate represents temporal knowledge. The signature of the time predicate can be given as

*time (term)*

Most of the models in the Stanford Named Entity Tagger mark time entities using the tag ‘DATE’.



This predicate proves useful in constraining queries regarding time. Questions that look for a date, month or a year can apply this predicate as an additional constraint to improve accuracy. Some of the examples using this predicate include ‘*time (1745)*’, ‘*time ('7\_january\_1943')*’.

**6.3.7.2 Location Predicate**

The location predicate is used for identifying specific locations. These may include cities, states, regions, countries and many more. The Named Entity Tagger marks locations with the tag LOCATION.



The signature for this predicate can be given as

*location (instance)*

The *instance* represents the name of the location. Locations can be used to constraint Where queries. Furthermore, with the help of knowledge from WordNet, we could infer more about the event under consideration.

**6.3.7.3 Organization Predicate**

The organization predicate is used to recognize organizations like groups, companies, products etc. With the help of this predicate we can better answer questions regarding entities like companies, their ventures, subsidiaries etc. The Named Entity Tagger marks organizations with the tag ORG.



The signature of the Organization predicate can be given as

*organization (instance)*

**6.3.7.4 Person Predicate**

The person predicate marks all the people in the given text. It is used to identify persons in the text so that we could infer more about those people with the help of WordNet. The NER would annotate the person with the tag PERSON as shown below.



The signature of the Person predicate can be given as

*person (instance)*

Using this predicate, we can apply generalization rules about persons on the instance. For example, if we have a generic rule that “All persons can run”, then now if we can prove that ‘Nikola Tesla’ is a person then we can automatically infer that “Nikola Tesla can run”.

**6.3.8 Special Predicates**

Special predicates have been used in the system, to model concepts that are patterns and are understood by humans implicitly. As grammatical relations in the sentence do not convey the meaning of these concepts, they must be extracted out specially. Some of them include abbreviations, time spans and so on. The more of these patterns are learned by the system the better it can reason like humans. We will discuss some of them in this chapter.

**6.3.8.1 Time Span Predicates**

Time spans are sometimes expressed in text using the bracketed notion. The meaning of these time spans depends upon the noun that they follow. If the noun is a person, then it may mean that the time span indicates the birth and the death date of the person. On the contrary if the noun is an organization or a product or a project then the time span indicates the start and end date for the organization or the project. A timespan is generally of the format “(DATE - DATE)”. The first date is considered as the start date and the second is considered as the end date in the format. The signature for the start and the end date can be given as

*\_start\_date (entity, date)*

*\_end\_date (entity, date)*

Here the entity refers to the noun that precedes this timestamp form and the date would indicate either the start or the end date extracted from the format. Some examples for these are given in the following sentences.

*Example:* “Project\_Mercury was followed by the two-man Project\_Gemini (1962 – 1966)”

*\_start\_date (project\_gemini, 1962)*

*\_end\_date (project\_gemini, 1966)*

*Example:* “Martin\_Luther (10 November 1483 – 18 February 1546) was a German\_professor of theology, composer, priest, former\_monk and a seminal\_figure in the Protestant\_Reformation.”

*\_start\_date(martin\_luther, '10\_november\_1483')*

*\_end\_date (martin\_luther, '18\_february\_1546')*

In the first example the timespan represents the start and the end time for project gemini and in the second example the same denotes the life span of Martin Luther.

**6.3.8.2 Date Part Predicates**

The time predicates that have been detected from the Named Entity Tagger, can be utilized to get the day, month, and year parts from the time. This information about the various parts of time is understood implicitly by humans. We can use simple information extraction techniques to find out the year, month, and day predicates from the time predicate. The signature of these predicates can be given as follows

*day (time, day)*

*month (time, month)*

*year (time, year)*

Here, the *time* refers to the entity tagged as TIME by the NER and the second term refers to the extracted day, month and year represented in the time. This is useful information for answering specific queries relating to time. Consider the time predicate and its parts mentioned below.

time ('10\_november\_1483')

day ('10\_november\_1483', 10)

month ('10\_november\_1483', november)

year ('10\_november\_1483', 1483)

From the previous section we know that the time mentioned is the birth date of Martin Luther, so using these date parts we can now query the day, month, or year that Martin Luther was born.

**6.3.8.3 Concept Predicates for Appositional Modifiers**

Appositional modifiers directly follow the noun they describe. We could use this information to model the fact that most appositional modifiers follow the *instance\_of* pattern. This is used to generate concept predicates from the appositional modifiers. Consider the following example for creating a concept predicate.

*Example:* “Jim's brother, Sam, is coming to town today.”

*brother(Sam)*

*Example:* “Jim met Sam, Jim's brother, today.”

*brother(Sam)*

In the above sentences, we have a relation of an appositional modifier between the words “*brother*” and “*Sam*”. This tells us that these words may share an *instance\_of* relation between each other, which leads us to generate the above facts from the appositional modifier. While generating the predicate it is important to look at who is acting as the governor and the dependent in the *appos* relation. Adding more concept predicates to the knowledge base opens the possibility of being able to infer better.

**6.3.8.4 Abbreviation Predicate**

Sometimes, texts contain abbreviation of words. It becomes very important for a system to understand the meaning of these abbreviations for querying. This is because the queries asked can sometimes contain short forms for organizations and projects rather than their long forms. Abbreviations can be detected by either doing pattern matching i.e. looking for the pattern “CONCEPT (ABBREVIATION)” or by using the *appos* dependency relation. The signature for the abbreviation predicate is as follows

*\_abbreviation (short\_form, long\_form)*

In the above definition, the *short\_form* refers to the abbreviation whereas the *long\_form* refers to the abbreviated concept. This can be shown using the following example

*Example:* “The Apollo program was the third United\_States human spaceflight program carried out by the National\_Aeronautics\_and\_Space\_Administration (NASA)”

\_abbreviation (nasa, national\_aeronautics\_and\_space\_administration)

Capturing the abbreviations of various concepts in the text makes the inferring system more robust.

**6.3.8.5 Number Predicate**

The number predicate is used to identify numbers. Identifying a word to be a number helps in constraining the domain for certain queries. Other than that, for questions like “how many” and “how much” it is more accurate to give a number as the answer. The signature for the number predicate can be given as

*number (value)*

Here the value is the actual number occurring in text. Finding if a word is a number can be either done using regex matching or by relying on the POS tagger and using the Cardinal Number (CD) POS Tag. The following sentence can be used as an example

*Example:* “Kenya covers 581,309 km2 and had a population of approximately 45 million people in July 2014.”

*number ('581,309').*

*number (45).*

*number (million).*

**6.4 Supplementary Knowledge Generation**

The knowledge that we extract using the above sections comes directly from the input text. Apart from this, we can make use of various knowledge sources like WordNet to gain supplementary information about the concepts in the passage. Such supplementary knowledge plays an important role in common sense reasoning. We as humans use this knowledge, often referred to as “Common World Knowledge”, subconsciously while reasoning. This system uses the Hypernym relation from WordNet to build its ontology. The following sections dive deep into how these relations are used for reasoning.

**6.4.1 Ontology Representation**

The ontology section of the generated ASP program contains rules generated automatically from the Hypernym relations and rules used for Word Sense Disambiguation. To generate these rules, we use set patterns from answer-set programming like the preference pattern and the default reasoning pattern. Once rules and facts are generated from the sentences, we extract all the nouns from the passage for further processing. These nouns are used for building the ontology for the passage. Before building the ontology all these nouns are converted into concepts. In general the concept is represented using the following signature and we will continue using this signature to represent concepts all through the rest of this chapter.

*concept (concept\_instance, instance\_sense)*

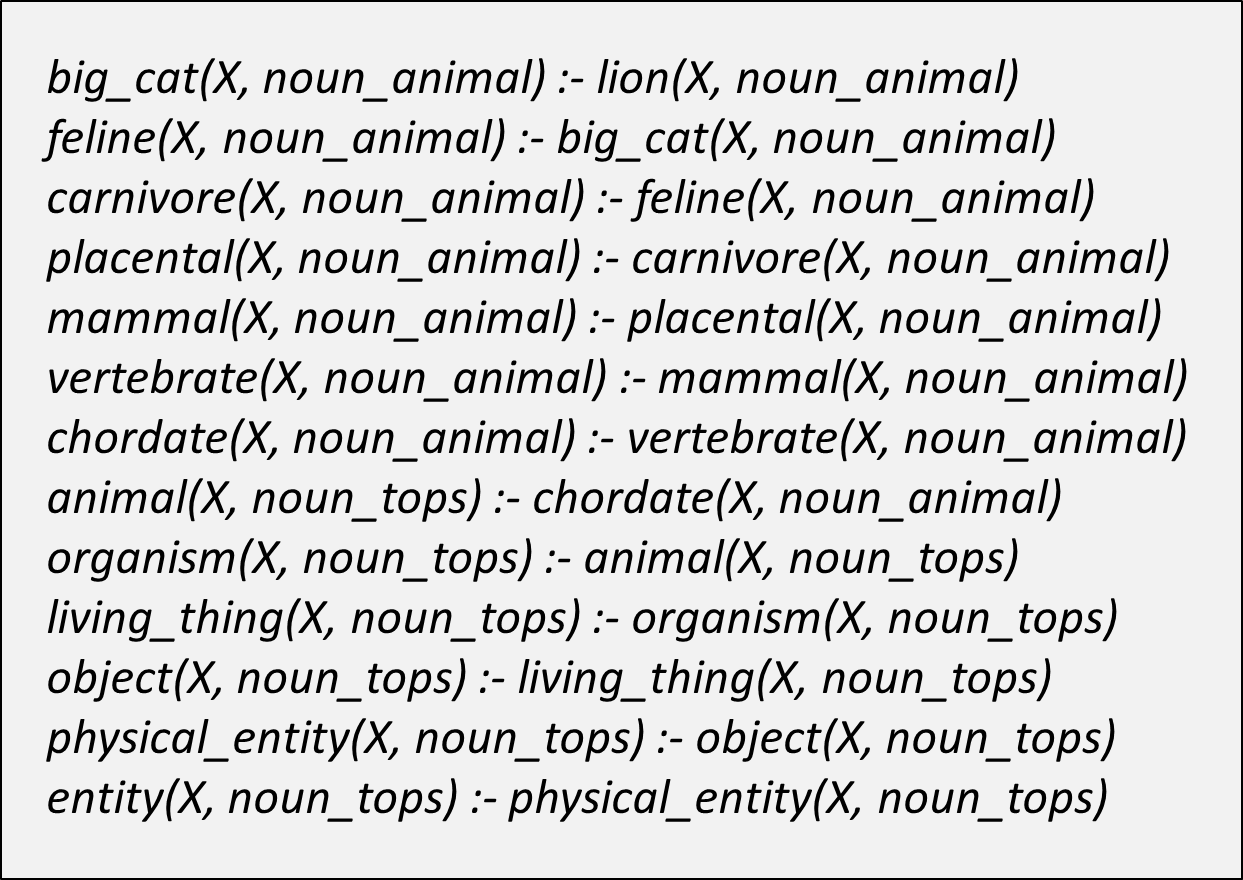
In the above signature the *concept* represents the noun under consideration, *concept\_instance* is the instance of the concept also a noun from the passage, and *instance\_sense* is one of the lexical category mentioned in Chapter 7 Section 7.4.2. An example of a concept can be given as follows

*lion (lion1, noun\_animal)*

Here, *lion1* is an instance of a lion, with the sense *noun\_animal*. The following subsections will go through the procedure of generating the ontology rules from the concepts that are retrieved from the passage.

**6.4.1.1 Hypernym Processing**

Hypernyms can be used to infer about various properties and functions about concepts. Hypernyms make use of the generalization principle to transfer properties from more general concepts to their specific concepts. To do this, we first need to identify the concepts or entities from the passage and generate a hypernym graph from those concepts. These individual graphs can then be aggregated to join common base concepts. This aggregated graph is now ready to be converted into rules that transfer properties from one concept to the other. Let us consider the concept “*lion*” to demonstrate this process. From the figure shown in Chapter 7 section 7.4, we know that there are 4 different senses of the word lion viz. animal, person, person, location. Let us explore the *noun.animal* sense of the concept *lion* and see how the hypernym rules can be generated.



From the above figure, we can understand that if we are able to prove that some concept *lion1*, which is an instance of a lion, having the sense *noun\_animal* is true i.e. the fact *lion (lion1, noun\_animal)* holds then we can infer that “*lion1 is an animal*” [*animal(lion1, noun\_tops)*] or “*lion1 is a living thing*” [*living\_thing(lion1, noun\_tops)*]. The above figure just shows rules generated for one of the senses of the concept “*lion*”. Let us now discuss in detail how these rules can be generated for all the senses of a concept in the following sub-sections.

**6.4.1.1 Generate Hypernym Concept Graph**

**6.4.1.2 Aggregation of Concepts**

**6.4.1.3 Generate Hypernym Rules**

**6.4.2 Word Sense Disambiguation**

**6.4.2.1 Representation of Word Sense Disambiguation**

**6.4.2.2 Preference Patterns for senses**

**6.4.2.3 Sources for Word Sense Disambiguation**

**CHAPTER 7**

**QUERY GENERATION**

**7.1 Overview**

One of the parts in the system architecture is query generation from a natural language question. This chapter will deal with the idea behind and the steps required to query generation. First, we will go over the various schools of thought regarding classification of questions. Out of the many ways that questions can be classified, the system classifies the questions into categories based on the question word or the ‘Wh’ word in the question. Once the question is posed to the system in natural language the system goes through some steps to convert it into a set of queries, that are ranked according to confidence. This chapter dives deep into the steps of conversion, discusses the various confidence classes and relaxation strategies to make the system more robust. Finally, the chapter will go over the various supplementary rules and predicates that are used for question answering.

**7.2 Question Classification**

In general question classification can be based on many different of schools of thought. In this section we will learn about four techniques for question classification and will describe in detail three of them. The fourth question classification technique for questions based on the question word has been used by the system for query generation and will be discussed in detail in the next section. The different techniques for question classification can be broadly classified into the following

1. Based on Bloom’s Taxonomy
2. Based on reading comprehensions
3. Based on the purpose of the question
4. Based on the question word

We will discuss the first three methods in some detail in this section.

1. Based on Blooms Taxonomy

Blooms taxonomy provides an important framework to focus on the higher order of thinking. This taxonomy is divided into 6 different levels. These levels consist of keywords that define a critical level of thinking. These 6 levels are as follows



These levels go from 1 to 6 in increasing order of difficulty. Level 1 deals with the task of remembering and tests the user’s ability to memorize, recall terms, facts, and details without necessarily understanding the concept. Level 2 is based on comprehension and deals with understanding the concepts and being able to describe them in their own words or being able to summarize these concepts. Level 3 wants the users to be able to apply or transfer the knowledge gained in their own experiences or to a different context. Level 4 deals with analyzing concepts like finding out relations between multiple concepts, being able to differentiate and distinguish between two entities. Level 5 deals with evaluation or judging that asks users to form their own opinions and make decisions. The final level i.e. Level 6 is based on creating or being able to create something completely new based on the ideas from various sources.

B. Based on reading comprehensions

According the reading comprehensions the questions are classified into 4 types. Literal questions, Cause and Effect questions, Inferential questions, and Vocabulary questions. Literal questions are fact-based question and the answers to those questions are present in the passage. Cause and Effect questions are why-based questions, that ask about the effects of an action or the cause of the action. Inferential questions require non-trivial inferential techniques to answer them. Vocabulary questions deal with grammar and require the user to understand the meaning of a word in the context to answer such questions.

C. Based on the purpose of the question

These types of questions are classified based on the purpose of the question. The various classes in this type of categorization as Factual, Convergent, Divergent, Evaluation and Combinations of all the classes. Factual questions are reasonably simple, straightforward questions usually based on obvious facts or awareness. These require the lowest form of cognition. Convergent questions can be answered with a finite level of accuracy. These may be at different levels of cognition but the answers to these questions lie in the passage in an indirect form. Convergent questions include questions on reasoning and contemplation. Divergent questions ask users to create different variations, alternate answers, and scenarios. Correctness of these kinds of questions are usually based upon context. Contrapositives and counterintuitive questions are examples of divergent questions. Evaluation questions require a highly sophisticated level of cognitive thinking and emotional intelligence. Answers to such questions are analyzed at multiple levels before reaching to a conclusion.

**7.3 Classification Based on Question Word**

Questions that are classified according to their question word are of three types: Questions starting with a Wh-word, starting with how or have, and starting with “Is”. Let us go over each of these types in detail

**7.3.1 Starting with ‘Wh-word’**

These kinds of questions contain Wh-word like what, why, which, where, when and who. Each of these question types are looking for a different type of answer

7.3.1.1 What Questions

These kinds of questions ask for the determination of cause, judgement, or properties. Questions like what caused something to happen (antecedent) or what caused something to happen (consequence) belong to the ‘what’ questions. Other than this, ‘what’ questions also ask for the kind of properties of an object or concept. What question as generally asked when the answer domain set is unknown or is infinite and hence, are harder to answer as they can result in a lot of different type of answers.

e.g. What color is the bus? What caused the accident?

7.3.1.2 Why Questions

These kinds of questions are looking for a cause-effect relationship and ask about goals or explanations from the user. These types of questions may require a higher level of cognitions and can’t be always answered using the paragraph.

e.g. Why did he leave? Why are you shivering?

7.3.1.3 Which Questions

Which questions ask for an identification of a person, place, object, or event. These kinds of questions may be answered with instances of concept that are asked along with the question. ‘Which’ questions indicate that the number of answer are finite, and the answer domain is completely known. If this is not the case, then the question changes to a ‘What’.

e.g. Which box is damaged? Which boy is the tallest?

7.3.1.4 Where Questions

Where questions are one of the easier questions to answer. These questions are mainly looking for places or locations as answers.

e.g. Where do you live? Where should we go to eat?

7.3.1.5 When Questions

When questions are asked to know about the time of an event or a process.

e.g. When is your next meeting? When did you reach here?

7.3.1.6 Who Questions

Who questions ask for an instance of a person or a group of people.

e.g. Who called you here? Who owns this company?

**7.3.2 Starting with ‘How’ or ‘Have’**

7.3.2.1 How Questions

How questions are posed to usually ask for a procedure or a quantity. How questions can be further extended to questions like “how much”, “how many”, “how far” and so on.

e.g. How much is price for cotton? How many people were injured?

7.3.2.2 Have Questions

Have questions ask for a yes or no response. These kinds of questions the modal verb as the main question word.

e.g. Have you finished the work? Have you turned off the lights?

**7.3.3 Starting with ‘Is’**

Questions that start with Is usually ask for verification or permission from someone.

e.g. Is this machine working? Is this seat taken?

**7.4 Steps in Query Generation**

**7.4.1 Understanding Question**

**7.4.2 Generating Query Predicates**

**7.4.3 Applying Base Constraints**

**7.5 Query Confidence Classes and Relaxing Constraints**

**7.6 Supplementary Predicates in Query Generation**

**\_similar, query, question**

**CHAPTER 8**

**APPLICATIONS IN QUESTION ANSWERING**

**8.1 Overview**

The system that has been designed uses an ASP-based approach to represent knowledge from natural language text. So, a basic understanding of answer-set programming is required to understand the remainder of the thesis. This chapter introduces the answer-set programming paradigm and further elaborates on some of the important definitions, concepts and patterns used in answer-set programming. At the end of this chapter, we will go over some of the systems that are developed to run ASP programs.

**8.2 SQuAD Dataset**

**8.3 Categorization of Domains**

**8.4 Results**

Results about Question Answering

% Success

**8.5 Analysis**

**--** Positives and Negatives

-- Able to answer questions from passage

-- Able to produce multiple answers

-- Not able to answer complex questions

-- Verb and Noun similarity not implemented

-- Not enough automated information about verbs

-- Implementation of temporal reasoning

**CHAPTER 9**

**CONCLUSION AND FUTURE WORK**

**9.1 Overview**

The system that has been designed uses an ASP-based approach to represent knowledge from natural language text. So, a basic understanding of answer-set programming is required to understand the remainder of the thesis. This chapter introduces the answer-set programming paradigm and further elaborates on some of the important definitions, concepts and patterns used in answer-set programming. At the end of this chapter, we will go over some of the systems that are developed to run ASP programs.

**9.2 Conclusion**

-- System can be improved by adding more knowledge

-- Can make use of more NLP tools to improve performance

-- Can give multiple answers if exists

-- Will help in utilizing the vast amount of knowledge in text

**9.3 Future Work**

-- Temporal reasoning

-- Adding Rules for more knowledge patterns

-- Adding Rules to handle more domains

-- Modelling semantic similarity for answering questions

ie synonyms, similarity score between words

-- Knowledge base can be improved by using other sources like Wikipedia

-- Facts can be marked with confidence depending on their source

-- Relaxing of Constraints have various approaches for a query like using \_ and removing predicates in various order etc…

-- Answering cross sentence questions

**CHAPTER 10**

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