

DETECTION OF OSTEOARTHRITIS AND KL GRADING FOR KNEE OSTEOARTHRITIS USING DEEP LEARNING TECHNIQUES

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ABSTRACT

Osteoarthritis (OA) is a prevalent degenerative joint disease, particularly affecting the knees[1]. Early and accurate detection of OA and its severity, often graded using the Kellgren-Lawrence (KL) scale, is crucial for timely intervention and management. This study explores the application of deep learning techniques to automatically detect OA and assign KL grades from knee X-ray images. We propose a novel deep learning architecture that effectively extracts relevant features from X-ray images and classifies them into different KL grades. Our model demonstrates promising results in terms of accuracy and sensitivity, potentially aiding radiologists in making faster and more accurate diagnoses.

Index Terms— Osteoarthritis, Kellgren-Lawrence (KL) Grade, Medical Image Analysis, X-ray Imaging, Healthcare Technology

1. INTRODUCTION

Osteoarthritis (OA) is a degenerative joint disease characterized by the gradual breakdown of cartilage, leading to pain, stiffness, and reduced joint function[1]. Knee osteoarthritis (KOA) is one of the most common forms of OA, significantly impacting the quality of life for millions of people worldwide. Early and accurate diagnosis of KOA is crucial for timely intervention and effective management.

Traditional methods for KOA diagnosis, such as clinical examination and X-ray imaging, often rely on subjective assessments and can be time-consuming. To address these limitations, there has been a growing interest in developing automated methods for KOA detection and grading using advanced imaging techniques and artificial intelligence.

In recent years, deep learning, a subset of artificial intelligence, has emerged as a powerful tool for medical image analysis. Deep learning models, particularly convolutional neural networks (CNNs), have shown remarkable success in various medical image analysis tasks, including disease classification and segmentation. By leveraging the power of deep learning, we aim to develop an automated system for accurate detection and grading of KOA from X-ray images.

This research focuses on two key aspects of KOA diagnosis:

- **General OA detection:** Developing a deep learning model to identify the presence of OA in any joint.
- **KL grade assessment of KOA:** Developing a deep learning model to accurately assign a Kellgren-Lawrence (KL) grade to knee X-ray images, indicating the severity of KOA.

By combining these two models, we aim to provide a comprehensive and accurate assessment of KOA, enabling early intervention and improved patient outcomes.

2. METHODOLOGY

Here there were 2 different types of models where prepared using the transfer learning technique, they are namely as follows:

- **KL grade of OA:** Developing a deep learning model to accurately assign a Kellgren-Lawrence (KL) grade to knee X-ray images, indicating the severity of KOA. Here the data is taken from Kaggle[2] and RoboFlow[3]. Here we developed 4 different models namely:
 - **alexnet** (took least possible time to train with least no of epoch to reach stable state)
 - **densenet201**
 - **googlenet** (the best performing model with the best train time possible)
 - **inceptionresnetv2** (It took the most amount of training time to train)

Here the model had 5 different classes to train on:

- – **0** : Normal[4]
 - **1** : Doubtful [4]
 - **2** : Minimal [4]
 - **3** : Moderate [4]
 - **4** : Severe [4]
- **Without KL Grade:** Developing a deep learning model to identify the presence of OA in any joint. From the above best performing model which was **googlenet** again did the transfer learning on 2 different classes namely; *normal, patient*

3. RESULTS

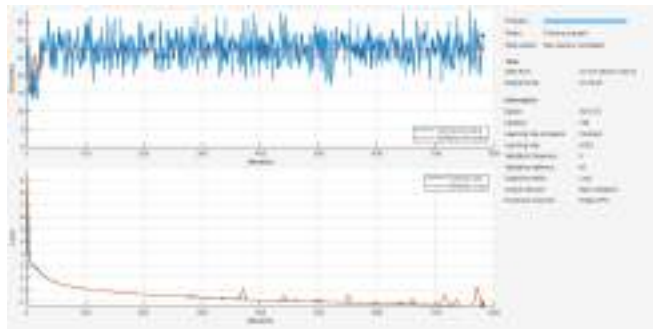
3.1. KL Grade

Here the no preprocessing of the data was done. The source[3][2] had their dataset prepared with all the ROI, thresholding and other techniques.

3.1.1. Alex Net

| 1 | 2 | | | | 3 | 4 |
|------------------|---------|--------|--------|--------|----------|----------|
| name | classes | | | | macroAVG | microAVG |
| "true_positive" | 263 | 0 | 0 | 0 | 52.6000 | 52.6000 |
| "false_positive" | 696 | 0 | 0 | 0 | 1.95 | 1.95 |
| "false_negative" | 0 | 253 | 153 | 148 | 118 | 156 |
| "true_negative" | 0 | 708 | 805 | 805 | 817 | 824.4000 |
| "precision" | 0.2768 | NaN | NaN | NaN | NaN | 0.2768 |
| "sensitivity" | 1 | 0 | 0 | 0 | 0.2800 | 0.2760 |
| "specificity" | 0 | 1 | 1 | 1 | 0.9800 | 0.9190 |
| "accuracy" | 0.2768 | 0.2768 | 0.2768 | 0.2768 | 0.2768 | 0.2760 |
| "F-measure" | 0.4326 | NaN | NaN | NaN | NaN | 0.2760 |

(a) Statistics of Interest of Alex Net



(b) Training Graph Alex Net

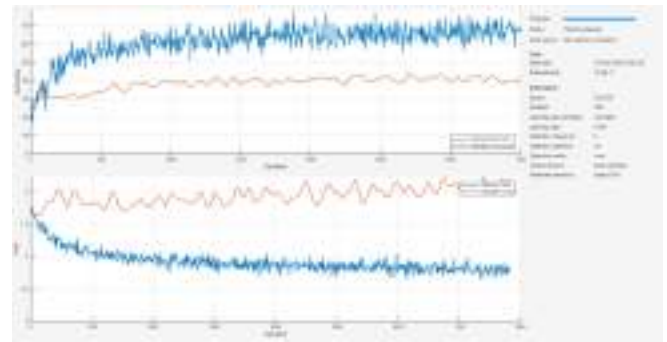
| Confusion Matrix | | | | | |
|------------------|-------------|-----------|-----------|-----------|-----------|
| Output Class | 0 | 1 | 2 | 3 | 4 |
| 0 | 953 100% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% |
| 1 | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% |
| 2 | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% |
| 3 | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% |
| 4 | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% |
| Target Class | 0 | 1 | 2 | 3 | 4 |

(c) Confusion Matrix Alex Net

3.1.2. Dense Net 201

| 1 | 2 | | | | 3 | 4 |
|------------------|---------|--------|--------|--------|----------|----------|
| name | classes | | | | macroAVG | microAVG |
| "true_positive" | 112 | 151 | 45 | 16 | 56 | 187.8000 |
| "false_positive" | 79 | 141 | 89 | 35 | 50 | 82.8000 |
| "false_negative" | 81 | 182 | 187 | 14 | 40 | 82.8000 |
| "true_negative" | 611 | 559 | 711 | 750 | 767 | 679.8000 |
| "precision" | 0.8023 | 0.5171 | 0.3487 | 0.3738 | 0.8515 | 0.5548 |
| "sensitivity" | 0.8540 | 0.3968 | 0.3087 | 0.9000 | 0.7059 | 0.5512 |
| "specificity" | 0.8025 | 0.7966 | 0.8888 | 0.8317 | 0.8680 | 0.8814 |
| "accuracy" | 0.5656 | 0.5656 | 0.5656 | 0.5656 | 0.5656 | 0.5656 |
| "F-measure" | 0.8082 | 0.5541 | 0.2194 | 0.5343 | 0.6800 | 0.5516 |

(a) Statistics of Interest of Dense Net 201



(b) Training Graph of Dense Net 201

| Confusion Matrix | | | | | |
|------------------|--------------|--------------|--------------|--------------|--------------|
| Output Class | 0 | 1 | 2 | 3 | 4 |
| 0 | 261 26.3% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% |
| 1 | 0 0.0% | 292 30.6% | 0 0.0% | 0 0.0% | 0 0.0% |
| 2 | 0 0.0% | 0 0.0% | 135 14.2% | 0 0.0% | 0 0.0% |
| 3 | 0 0.0% | 0 0.0% | 0 0.0% | 129 13.5% | 0 0.0% |
| 4 | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 146 15.3% |
| Target Class | 0 | 1 | 2 | 3 | 4 |

(c) Confusion Matrix of Dense Net 201

3.1.3. Google Net

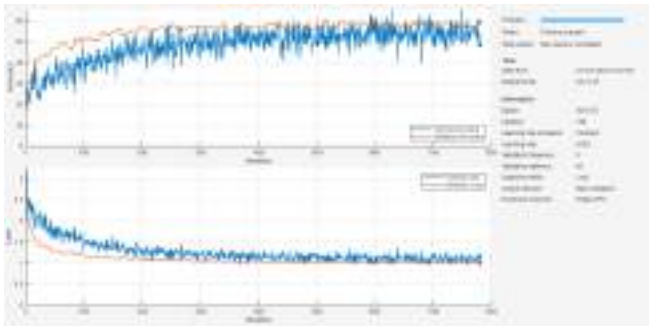
| 1 | 2 | 3 | 4 | | | | |
|------------------|--------|----------|----------|--------|--------|----------|----------|
| name | class | macroAvg | microAvg | | | | |
| "true_positive" | 180 | 181 | 51 | 71 | 85 | 107.2000 | 107.2000 |
| "false_positive" | 80 | 153 | 87 | 82 | 26 | 83.4000 | 83.4000 |
| "false_negative" | 85 | 82 | 132 | 77 | 51 | 83.4000 | 83.4000 |
| "true_negative" | 621 | 543 | 793 | 743 | 781 | 679 | 679 |
| "precision" | 0.7089 | 0.5127 | 0.3486 | 0.5338 | 0.7025 | 0.5985 | 0.5624 |
| "sensitivity" | 0.6386 | 0.6384 | 0.3333 | 0.4793 | 0.6250 | 0.5425 | 0.5624 |
| "specificity" | 0.9080 | 0.7614 | 0.8786 | 0.9230 | 0.9509 | 0.8673 | 0.8988 |
| "accuracy" | 0.5624 | 0.5624 | 0.5624 | 0.5624 | 0.5624 | 0.5624 | 0.5624 |
| "F-measure" | 0.6120 | 0.5679 | 0.5389 | 0.5651 | 0.6675 | 0.5481 | 0.5624 |

(a) Statistics of Interest of Google Net

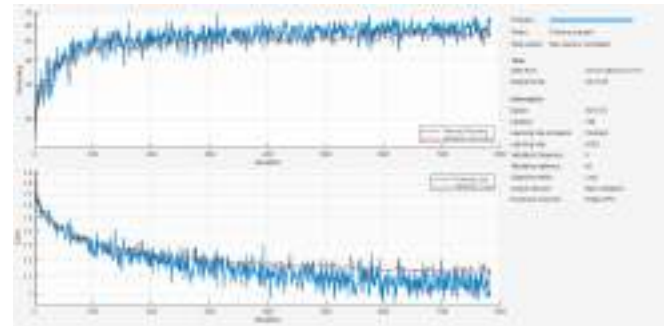
3.1.4. Inception ResNet V2

| 1 | 2 | 3 | 4 | | | | |
|------------------|--------|----------|----------|--------|--------|----------|----------|
| name | class | macroAvg | microAvg | | | | |
| "true_positive" | 174 | 147 | 54 | 35 | 92 | 189.4000 | 189.4000 |
| "false_positive" | 84 | 124 | 84 | 68 | 46 | 81.2000 | 81.2000 |
| "false_negative" | 89 | 166 | 96 | 68 | 44 | 81.2000 | 81.2000 |
| "true_negative" | 686 | 576 | 716 | 727 | 771 | 681.2000 | 681.2000 |
| "precision" | 0.6744 | 0.5404 | 0.3913 | 0.5403 | 0.6887 | 0.5931 | 0.5748 |
| "sensitivity" | 0.6636 | 0.5893 | 0.3528 | 0.5405 | 0.6765 | 0.5625 | 0.5748 |
| "specificity" | 0.8783 | 0.8229 | 0.8952 | 0.9155 | 0.9437 | 0.8911 | 0.8935 |
| "accuracy" | 0.5743 | 0.5743 | 0.5748 | 0.5748 | 0.5748 | 0.5748 | 0.5748 |
| "F-measure" | 0.6079 | 0.5911 | 0.5711 | 0.5403 | 0.6715 | 0.5834 | 0.5748 |

(a) Statistics of Interest of Inception ResNet V2



(b) Training Graph of Google Net



(b) Training Graph of Inception ResNet V2

| Confusion Matrix | | | | | | |
|------------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Output Class | 0 | 1 | 2 | 3 | 4 | |
| 0 | 237 24.9% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 100% 0.0% |
| 1 | 0 0.0% | 214 22.5% | 0 0.0% | 0 0.0% | 0 0.0% | 100% 0.0% |
| 2 | 0 0.0% | 0 0.0% | 148 15.5% | 0 0.0% | 0 0.0% | 100% 0.0% |
| 3 | 0 0.0% | 0 0.0% | 0 0.0% | 133 14.0% | 0 0.0% | 100% 0.0% |
| 4 | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 121 12.7% | 100% 0.0% |
| | 100% 0.0% | 100% 0.0% | 100% 0.0% | 100% 0.0% | 100% 0.0% | 100% 0.0% |
| | 0 | 1 | 2 | 3 | 4 | |
| Target Class | | | | | | |

(c) Confusion Matrix of Google Net

| Confusion Matrix | | | | | | |
|------------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Output Class | 0 | 1 | 2 | 3 | 4 | |
| 0 | 258 27.1% | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 100% 0.0% |
| 1 | 0 0.0% | 271 28.4% | 0 0.0% | 0 0.0% | 0 0.0% | 100% 0.0% |
| 2 | 0 0.0% | 0 0.0% | 138 14.5% | 0 0.0% | 0 0.0% | 100% 0.0% |
| 3 | 0 0.0% | 0 0.0% | 0 0.0% | 148 15.5% | 0 0.0% | 100% 0.0% |
| 4 | 0 0.0% | 0 0.0% | 0 0.0% | 0 0.0% | 138 14.5% | 100% 0.0% |
| | 100% 0.0% | 100% 0.0% | 100% 0.0% | 100% 0.0% | 100% 0.0% | 100% 0.0% |
| | 0 | 1 | 2 | 3 | 4 | |
| Target Class | | | | | | |

(c) Confusion Matrix of Inception ResNet V2

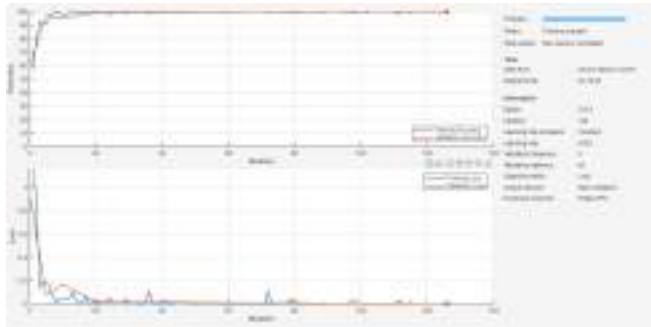
3.2. Without KL Grade

Here the no preprocessing of the data was done. It had 2 classes to recognize *normal* and *patient*. The best model from the KL Grading model was taken (googlenet3.1.3) and then transfer learning was performed on it.

3.2.1. Google Net

| 1 | 2 | | 3 | 4 |
|------------------|---------|--------|----------|----------|
| name | classes | | macroAVG | microAVG |
| "true_positive" | 262 | 1294 | 778 | 778 |
| "false_positive" | 1 | 1 | 1 | 1 |
| "false_negative" | 1 | 1 | 1 | 1 |
| "true_negative" | 1294 | 262 | 778 | 778 |
| "precision" | 0.9962 | 0.9992 | 0.9977 | 0.9987 |
| "sensitivity" | 0.9962 | 0.9992 | 0.9977 | 0.9987 |
| "specificity" | 0.9992 | 0.9962 | 0.9977 | 0.9987 |
| "accuracy" | 0.9987 | 0.9987 | 0.9987 | 0.9987 |
| "F-measure" | 0.9962 | 0.9992 | 0.9977 | 0.9987 |

(a) Statistics of Interest of Google Net (Without KL Grade)



(b) Training Graph of Google Net (Without KL Grade)

| Confusion Matrix | | |
|------------------|--------------|---------------|
| Output Class | normal | patient |
| normal | 262 16.9% | 0 0.0% |
| patient | 0 0.0% | 1294 83.1% |
| Target Class | | |
| | normal | patient |
| | 100% 0.0% | 100% 0.0% |

(c) Confusion Matrix of Google Net (Without KL Grade)

4. RESULTS

Our experiments demonstrated that the GoogleNet model achieved the highest accuracy for both knee OA grading and general OA detection tasks.

Specifically, for knee OA grading, the GoogleNet model attained an accuracy of 56.24%. In the case of general OA detection, the same GoogleNet model, fine-tuned through transfer learning, exhibited exceptional performance with an accuracy of 99.87%.

5. ACKNOWLEDGMENTS

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6. REFERENCES

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