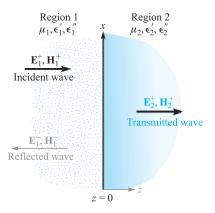
# Plane Wave Reflection and Dispersion

n Chapter 11, we learned how to mathematically represent uniform plane waves as functions of frequency, medium properties, and electric field orientation. We also learned how to calculate the wave velocity, attenuation, and power. In this chapter we consider wave reflection and transmission at planar boundaries between different media. Our study will allow any orientation between the wave and boundary and will also include the important cases of multiple boundaries. We will also study the practical case of waves that carry power over a finite band of frequencies, as would occur, for example, in a modulated carrier. We will consider such waves in dispersive media, in which some parameter that affects propagation (permittivity for example) varies with frequency. The effect of a dispersive medium on a signal is of great importance because the signal envelope will change its shape as it propagates. As a result, detection and faithful representation of the original signal at the receiving end become problematic. Consequently, dispersion and attenuation must both be evaluated when establishing maximum allowable transmission distances.

### 12.1 REFLECTION OF UNIFORM PLANE WAVES AT NORMAL INCIDENCE

We first consider the phenomenon of reflection which occurs when a uniform plane wave is incident on the boundary between regions composed of two different materials. The treatment is specialized to the case of *normal incidence*—in which the wave propagation direction is perpendicular to the boundary. In later sections, we remove this restriction. Expressions will be found for the wave that is reflected from the interface and for that which is transmitted from one region into the other. These results are directly related to impedance-matching problems in ordinary transmission lines, as we have already encountered in Chapter 10. They are also applicable to waveguides, which we will study in Chapter 13.



**Figure 12.1** A plane wave incident on a boundary establishes reflected and transmitted waves having the indicated propagation directions. All fields are parallel to the boundary, with electric fields along *x* and magnetic fields along *y*.

We again assume that we have only a single vector component of the electric field intensity. Referring to Figure 12.1, we define region 1 ( $\epsilon_1$ ,  $\mu_1$ ) as the half-space for which z < 0; region 2 ( $\epsilon_2$ ,  $\mu_2$ ) is the half-space for which z > 0. Initially we establish a wave in region 1, traveling in the +z direction, and linearly polarized along x.

$$\mathcal{E}_{x1}^{+}(z,t) = E_{x10}^{+} e^{-\alpha_1 z} \cos(\omega t - \beta_1 z)$$

In phasor form, this is

$$E_{xs1}^{+}(z) = E_{x10}^{+} e^{-jkz} \tag{1}$$

where we take  $E_{x10}^+$  as real. The subscript 1 identifies the region, and the superscript + indicates a positively traveling wave. Associated with  $E_{xs1}^+(z)$  is a magnetic field in the y direction,

$$H_{ys1}^{+}(z) = \frac{1}{\eta_1} E_{x10}^{+} e^{-jk_1 z}$$
 (2)

where  $k_1$  and  $\eta_1$  are complex unless  $\epsilon_1''$  (or  $\sigma_1$ ) is zero. This uniform plane wave in region 1 that is traveling toward the boundary surface at z=0 is called the *incident* wave. Since the direction of propagation of the incident wave is perpendicular to the boundary plane, we describe it as normal incidence.

We now recognize that energy may be transmitted across the boundary surface at z = 0 into region 2 by providing a wave moving in the +z direction in that medium. The phasor electric and magnetic fields for this wave are

$$E_{rs2}^{+}(z) = E_{r20}^{+} e^{-jk_2 z} \tag{3}$$

$$H_{ys2}^{+}(z) = \frac{1}{n_2} E_{x20}^{+} e^{-jk_2 z}$$
 (4)

This wave, which moves away from the boundary surface into region 2, is called the *transmitted* wave. Note the use of the different propagation constant  $k_2$  and intrinsic impedance  $\eta_2$ .

Now we must satisfy the boundary conditions at z=0 with these assumed fields. With **E** polarized along x, the field is tangent to the interface, and therefore the **E** fields in regions 1 and 2 must be equal at z=0. Setting z=0 in (1) and (3) would require that  $E_{x10}^+ = E_{x20}^+$ . **H**, being y-directed, is also a tangential field, and must be continuous across the boundary (no current sheets are present in real media). When we let z=0 in (2) and (4), we find that we must have  $E_{x10}^+/\eta_1 = E_{x20}^+/\eta_2$ . Since  $E_{x10}^+ = E_{x20}^+$ , then  $\eta_1 = \eta_2$ . But this is a very special condition that does not fit the facts in general, and we are therefore unable to satisfy the boundary conditions with only an incident and a transmitted wave. We require a wave traveling away from the boundary in region 1, as shown in Figure 12.1; this is the *reflected* wave,

$$E_{xs1}^{-}(z) = E_{x10}^{-} e^{jk_1 z} \tag{5}$$

$$H_{xs1}^{-}(z) = -\frac{E_{x10}^{-}}{\eta_1} e^{jk_1 z} \tag{6}$$

where  $E_{x10}^-$  may be a complex quantity. Because this field is traveling in the -z direction,  $E_{xs1}^- = -\eta_1 H_{ys1}^-$  for the Poynting vector shows that  $\mathbf{E}_1^- \times \mathbf{H}_1^-$  must be in the  $-\mathbf{a}_z$  direction.

The boundary conditions are now easily satisfied, and in the process the amplitudes of the transmitted and reflected waves may be found in terms of  $E_{x10}^+$ . The total electric field intensity is continuous at z=0,

$$E_{xs1} = E_{xs2} \qquad (z = 0)$$

or

$$E_{rs1}^+ + E_{rs1}^- = E_{rs2}^+$$
 (z = 0)

Therefore

$$E_{x10}^{+} + E_{x10}^{-} = E_{x20}^{+} \tag{7}$$

Furthermore,

$$H_{ys1} = H_{ys2} \qquad (z = 0)$$

or

$$H_{ys1}^+ + H_{ys1}^- = H_{ys2}^+ \qquad (z = 0)$$

and therefore

$$\frac{E_{x10}^{+}}{\eta_1} - \frac{E_{x10}^{-}}{\eta_1} = \frac{E_{x20}^{+}}{\eta_2}$$
 (8)

Solving (8) for  $E_{x20}^+$  and substituting into (7), we find

$$E_{x10}^{+} + E_{x10}^{-} = \frac{\eta_2}{\eta_1} E_{x10}^{+} - \frac{\eta_2}{\eta_1} E_{x10}^{-}$$

or

$$E_{x10}^{-} = E_{x10}^{+} \frac{\eta_2 - \eta_1}{\eta_2 + \eta_1}$$

The ratio of the amplitudes of the reflected and incident electric fields defines the reflection coefficient, designated by  $\Gamma$ ,

$$\Gamma = \frac{E_{x10}^{-}}{E_{x10}^{+}} = \frac{\eta_2 - \eta_1}{\eta_2 + \eta_1} = |\Gamma|e^{j\phi}$$
(9)

It is evident that as  $\eta_1$  or  $\eta_2$  may be complex,  $\Gamma$  will also be complex, and so we include a reflective phase shift,  $\phi$ . The interpretation of Eq. (9) is identical to that used with transmission lines [Eq. (73), Chapter 10].

The relative amplitude of the transmitted electric field intensity is found by combining (9) and (7) to yield the *transmission coefficient*,  $\tau$ ,

$$\tau = \frac{E_{x20}^{+}}{E_{x10}^{+}} = \frac{2\eta_2}{\eta_1 + \eta_2} = 1 + \Gamma = |\tau|e^{j\phi_i}$$
 (10)

whose form and interpretation are consistent with the usage in transmission lines [Eq. (75), Chapter 10].

Let us see how these results may be applied to several special cases. We first let region 1 be a perfect dielectric and region 2 be a perfect conductor. Then we apply Eq. (48), Chapter 11, with  $\epsilon_2'' = \sigma_2/\omega$ , obtaining

$$\eta_2 = \sqrt{\frac{j\omega\mu_2}{\sigma_2 + j\omega\epsilon_2'}} = 0$$

in which zero is obtained since  $\sigma_2 \to \infty$ . Therefore, from (10),

$$E_{x20}^{+} = 0$$

No time-varying fields can exist in the perfect conductor. An alternate way of looking at this is to note that the skin depth is zero.

Because  $\eta_2 = 0$ , Eq. (9) shows that

$$\Gamma = -1$$

and

$$E_{x10}^+ = -E_{x10}^-$$

The incident and reflected fields are of equal amplitude, and so all the incident energy is reflected by the perfect conductor. The fact that the two fields are of opposite sign indicates that at the boundary (or at the moment of reflection), the reflected field is shifted in phase by  $180^{\circ}$  relative to the incident field. The total **E** field in region 1 is

$$E_{xs1} = E_{xs1}^+ + E_{xs1}^-$$
  
=  $E_{x10}^+ e^{-j\beta_1 z} - E_{x10}^+ e^{j\beta_1 z}$ 

where we have let  $jk_1 = 0 + j\beta_1$  in the perfect dielectric. These terms may be combined and simplified,

$$E_{xs1} = (e^{-j\beta_1 z} - e^{j\beta_1 z}) E_{x10}^+$$
  
=  $-j2 \sin(\beta_1 z) E_{x10}^+$  (11)

Multiplying (11) by  $e^{j\omega t}$  and taking the real part, we obtain the real instantaneous form:

$$\mathcal{E}_{x1}(z,t) = 2E_{x10}^{+} \sin(\beta_1 z) \sin(\omega t) \tag{12}$$

We recognize this total field in region 1 as a standing wave, obtained by combining two waves of equal amplitude traveling in opposite directions. We first encountered standing waves in transmission lines, but in the form of counterpropagating voltage waves (see Example 10.1).

Again, we compare the form of (12) to that of the incident wave,

$$\mathcal{E}_{x1}(z,t) = E_{x10}^+ \cos(\omega t - \beta_1 z) \tag{13}$$

Here we see the term  $\omega t - \beta_1 z$  or  $\omega (t - z/\nu_{p1})$ , which characterizes a wave traveling in the +z direction at a velocity  $\nu_{p1} = \omega/\beta_1$ . In (12), however, the factors involving time and distance are separate trigonometric terms. Whenever  $\omega t = m\pi$ ,  $\mathcal{E}_{x1}$  is zero at all positions. On the other hand, spatial nulls in the standing wave pattern occur for all times wherever  $\beta_1 z = m\pi$ , which in turn occurs when  $m = (0, \pm 1, \pm 2, \ldots)$ . In such cases,

$$\frac{2\pi}{\lambda_1}z = m\pi$$

and the null locations occur at

$$z = m \frac{\lambda_1}{2}$$

Thus  $E_{x1} = 0$  at the boundary z = 0 and at every half-wavelength from the boundary in region 1, z < 0, as illustrated in Figure 12.2.

Because  $E_{xs1}^+=\eta_1\,H_{ys1}^+$  and  $E_{xs1}^-=-\eta_1\,H_{ys1}^-$ , the magnetic field is

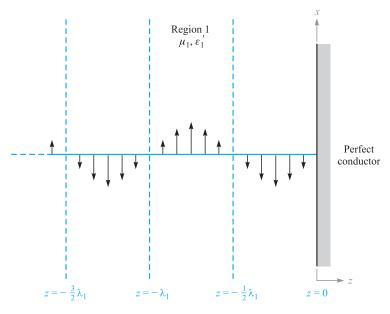
$$H_{ys1} = \frac{E_{x10}^+}{\eta_1} \left( e^{-j\beta_1 z} + e^{j\beta_1 z} \right)$$

or

$$H_{y1}(z,t) = 2 \frac{E_{x10}^{+}}{\eta_1} \cos(\beta_1 z) \cos(\omega t)$$
 (14)

This is also a standing wave, but it shows a maximum amplitude at the positions where  $E_{x1} = 0$ . It is also 90° out of time phase with  $E_{x1}$  everywhere. As a result, the average power as determined through the Poynting vector [Eq. (77), Chapter 11] is zero in the forward and backward directions.

Let us now consider perfect dielectrics in both regions 1 and 2;  $\eta_1$  and  $\eta_2$  are both real positive quantities and  $\alpha_1 = \alpha_2 = 0$ . Equation (9) enables us to calculate



**Figure 12.2** The instantaneous values of the total field  $E_{x1}$  are shown at  $t = \pi/2$ .  $E_{x1} = 0$  for all time at multiples of one half-wavelength from the conducting surface.

the reflection coefficient and find  $E_{x1}^-$  in terms of the incident field  $E_{x1}^+$ . Knowing  $E_{x1}^+$  and  $E_{x1}^-$ , we then find  $H_{y1}^+$  and  $H_{y1}^-$ . In region 2,  $E_{x2}^+$  is found from (10), and this then determines  $H_{y2}^+$ .

**EXAMPLE 12.1** 

As a numerical example we select

$$\eta_1 = 100 \Omega$$
  
 $\eta_2 = 300 \Omega$ 
  
 $E_{\text{r}10}^+ = 100 \text{ V/m}$ 

and calculate values for the incident, reflected, and transmitted waves.

**Solution.** The reflection coefficient is

$$\Gamma = \frac{300 - 100}{300 + 100} = 0.5$$

and thus

$$E_{x10}^{-} = 50 \text{ V/m}$$

The magnetic field intensities are

$$H_{y10}^{+} = \frac{100}{100} = 1.00 \text{ A/m}$$
  
 $H_{y10}^{-} = -\frac{50}{100} = -0.50 \text{ A/m}$ 

Using Eq. (77) from Chapter 11, we find that the magnitude of the average incident power density is

$$\langle S_{1i} \rangle = \left| \frac{1}{2} \text{Re} \{ \mathbf{E}_s \times \mathbf{H}_s^* \} \right| = \frac{1}{2} E_{x10}^+ H_{y10}^+ = 50 \text{ W/m}^2$$

The average reflected power density is

$$\langle S_{1r} \rangle = -\frac{1}{2} E_{x10}^- H_{y10}^- = 12.5 \text{ W/m}^2$$

In region 2, using (10),

$$E_{x20}^+ = \tau E_{x10}^+ = 150 \text{ V/m}$$

and

$$H_{y20}^{+} = \frac{150}{300} = 0.500 \text{ A/m}$$

Therefore, the average power density that is transmitted through the boundary into region 2 is

$$\langle S_2 \rangle = \frac{1}{2} E_{x20}^+ H_{y20}^+ = 37.5 \text{ W/m}^2$$

We may check and confirm the power conservation requirement:

$$\langle S_{1i}\rangle = \langle S_{1r}\rangle + \langle S_2\rangle$$

A general rule on the transfer of power through reflection and transmission can be formulated. We consider the same field vector and interface orientations as before, but allow for the case of complex impedances. For the incident power density, we have

$$\langle S_{1i} \rangle = \frac{1}{2} \text{Re} \left\{ E_{xs1}^+ H_{ys1}^{+*} \right\} = \frac{1}{2} \text{Re} \left\{ E_{x10}^+ \frac{1}{\eta_1^*} E_{x10}^{+*} \right\} = \frac{1}{2} \text{Re} \left\{ \frac{1}{\eta_1^*} \right\} \left| E_{x10}^+ \right|^2$$

The reflected power density is then

$$\langle S_{1r} \rangle = -\frac{1}{2} \operatorname{Re} \left\{ E_{xs1}^{-} H_{ys1}^{-*} \right\} = \frac{1}{2} \operatorname{Re} \left\{ \Gamma E_{x10}^{+} \frac{1}{\eta_{1}^{*}} \Gamma^{*} E_{x10}^{+*} \right\} = \frac{1}{2} \operatorname{Re} \left\{ \frac{1}{\eta_{1}^{*}} \right\} \left| E_{x10}^{+} \right|^{2} |\Gamma|^{2}$$

We thus find the general relation between the reflected and incident power:

$$\langle S_{1r} \rangle = |\Gamma|^2 \langle S_{1i} \rangle \tag{15}$$

In a similar way, we find the transmitted power density:

$$\langle S_2 \rangle = \frac{1}{2} \text{Re} \left\{ E_{xs_2}^+ H_{ys_2}^{+*} \right\} = \frac{1}{2} \text{Re} \left\{ \tau E_{x10}^+ \frac{1}{\eta_2^*} \tau^* E_{x10}^{+*} \right\} = \frac{1}{2} \text{Re} \left\{ \frac{1}{\eta_2^*} \right\} \left| E_{x10}^+ \right|^2 |\tau|^2$$

and so we see that the incident and transmitted power densities are related through

$$\langle S_2 \rangle = \frac{\text{Re}\{1/\eta_2^*\}}{\text{Re}\{1/\eta_1^*\}} |\tau|^2 \langle S_{1i} \rangle = \left| \frac{\eta_1}{\eta_2} \right|^2 \left( \frac{\eta_2 + \eta_2^*}{\eta_1 + \eta_1^*} \right) |\tau|^2 \langle S_{1i} \rangle$$
 (16)

Equation (16) is a relatively complicated way to calculate the transmitted power, unless the impedances are real. It is easier to take advantage of energy conservation by noting that whatever power is not reflected must be transmitted. Eq. (15) can be used to find

$$\langle S_2 \rangle = (1 - |\Gamma|^2) \langle S_{1i} \rangle \tag{17}$$

As would be expected (and which must be true), Eq. (17) can also be derived from Eq. (16).

**D12.1.** A 1-MHz uniform plane wave is normally incident onto a freshwater lake ( $\epsilon'_r = 78$ ,  $\epsilon''_r = 0$ ,  $\mu_r = 1$ ). Determine the fraction of the incident power that is (a) reflected and (b) transmitted. (c) Determine the amplitude of the electric field that is transmitted into the lake.

**Ans.** 0.63; 0.37; 0.20 V/m

### 12.2 STANDING WAVE RATIO

In cases where  $|\Gamma| < 1$ , some energy is transmitted into the second region and some is reflected. Region 1 therefore supports a field that is composed of both a traveling wave and a standing wave. We encountered this situation previously in transmission lines, in which partial reflection occurs at the load. Measurements of the voltage standing wave ratio and the locations of voltage minima or maxima enabled the determination of an unknown load impedance or established the extent to which the load impedance was matched to that of the line (Section 10.10). Similar measurements can be performed on the field amplitudes in plane wave reflection.

Using the same fields investigated in the previous section, we combine the incident and reflected electric field intensities. Medium 1 is assumed to be a perfect dielectric ( $\alpha_1 = 0$ ), but region 2 may be any material. The total electric field phasor in region 1 will be

$$E_{x1T} = E_{x1}^{+} + E_{x1}^{-} = E_{x10}^{+} e^{-j\beta_1 z} + \Gamma E_{x10}^{+} e^{j\beta_1 z}$$
(18)

where the reflection coefficient is as expressed in (9):

$$\Gamma = \frac{\eta_2 - \eta_1}{\eta_2 + \eta_1} = |\Gamma| e^{j\phi}$$

We allow for the possibility of a complex reflection coefficient by including its phase,  $\phi$ . This is necessary because although  $\eta_1$  is real and positive for a lossless medium,

 $\eta_2$  will in general be complex. Additionally, if region 2 is a perfect conductor,  $\eta_2$  is zero, and so  $\phi$  is equal to  $\pi$ ; if  $\eta_2$  is real and less than  $\eta_1$ ,  $\phi$  is also equal to  $\pi$ ; and if  $\eta_2$  is real and greater than  $\eta_1$ ,  $\phi$  is zero.

Incorporating the phase of  $\Gamma$  into (18), the total field in region 1 becomes

$$E_{x1T} = \left(e^{-j\beta_1 z} + |\Gamma|e^{j(\beta_1 z + \phi)}\right) E_{x10}^+ \tag{19}$$

The maximum and minimum field amplitudes in (19) are z-dependent and are subject to measurement. Their ratio, as found for voltage amplitudes in transmission lines (Section 10.10), is the *standing wave ratio*, denoted by s. We have a maximum when each term in the larger parentheses in (19) has the same phase angle; so, for  $E_{x10}^+$  positive and real,

$$|E_{x1T}|_{\text{max}} = (1 + |\Gamma|)E_{x10}^{+} \tag{20}$$

and this occurs where

$$-\beta_1 z = \beta_1 z + \phi + 2m\pi \qquad (m = 0, \pm 1, \pm 2, \ldots)$$
 (21)

Therefore

$$z_{\text{max}} = -\frac{1}{2\beta_1}(\phi + 2m\pi) \tag{22}$$

Note that an electric field maximum is located at the boundary plane (z=0) if  $\phi=0$ ; moreover,  $\phi=0$  when  $\Gamma$  is real and positive. This occurs for real  $\eta_1$  and  $\eta_2$  when  $\eta_2>\eta_1$ . Thus there is a field maximum at the boundary surface when the intrinsic impedance of region 2 is greater than that of region 1 and both impedances are real. With  $\phi=0$ , maxima also occur at  $z_{\max}=-m\pi/\beta_1=-m\lambda_1/2$ .

For the perfect conductor  $\phi = \pi$ , and these maxima are found at  $z_{\text{max}} = -\pi/(2\beta_1)$ ,  $-3\pi/(2\beta_1)$ , or  $z_{\text{max}} = -\lambda_1/4$ ,  $-3\lambda_1/4$ , and so forth.

The minima must occur where the phase angles of the two terms in the larger parentheses in (19) differ by 180°, thus

$$|E_{x1T}|_{\min} = (1 - |\Gamma|)E_{x10}^{+}$$
 (23)

and this occurs where

$$-\beta_1 z = \beta_1 z + \phi + \pi + 2m\pi \qquad (m = 0, \pm 1, \pm 2, \ldots)$$
 (24)

or

$$z_{\min} = -\frac{1}{2\beta_1}(\phi + (2m+1)\pi)$$
 (25)

The minima are separated by multiples of one half-wavelength (as are the maxima), and for the perfect conductor the first minimum occurs when  $-\beta_1 z = 0$ , or at the conducting surface. In general, an electric field minimum is found at z = 0 whenever  $\phi = \pi$ ; this occurs if  $\eta_2 < \eta_1$  and both are real. The results are mathematically identical to those found for the transmission line study in Section 10.10. Figure 10.6 in that chapter provides a visualization.

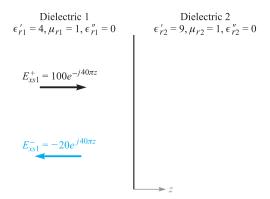
Further insights can be obtained by working with Eq. (19) and rewriting it in real instantaneous form. The steps are identical to those taken in Chapter 10, Eqs. (81) through (84). We find the total field in region 1 to be

$$\mathcal{E}_{x1T}(z,t) = \underbrace{(1-|\Gamma|)E_{x10}^{+}\cos(\omega t - \beta_{1}z)}_{\text{traveling wave}} + \underbrace{2|\Gamma|E_{x10}^{+}\cos(\beta_{1}z + \phi/2)\cos(\omega t + \phi/2)}_{\text{standing wave}}$$
(26)

The field expressed in Eq. (26) is the sum of a traveling wave of amplitude  $(1-|\Gamma|)E_{x10}^+$  and a standing wave having amplitude  $2|\Gamma|E_{x10}^+$ . The portion of the incident wave that reflects and back-propagates in region 1 interferes with an equivalent portion of the incident wave to form a standing wave. The rest of the incident wave (that does not interfere) is the traveling wave part of (26). The maximum amplitude observed in region 1 is found where the amplitudes of the two terms in (26) add directly to give  $(1+|\Gamma|)E_{x10}^+$ . The minimum amplitude is found where the standing wave achieves a null, leaving only the traveling wave amplitude of  $(1-|\Gamma|)E_{x10}^+$ . The fact that the two terms in (26) combine in this way with the proper phasing can be confirmed by substituting  $z_{\text{max}}$  and  $z_{\text{min}}$ , as given by (22) and (25).

**EXAMPLE 12.2** 

To illustrate some of these results, let us consider a 100-V/m, 3-GHz wave that is propagating in a material having  $\epsilon'_{r1} = 4$ ,  $\mu_{r1} = 1$ , and  $\epsilon''_{r} = 0$ . The wave is normally incident on another perfect dielectric in region 2, z > 0, where  $\epsilon'_{r2} = 9$  and  $\mu_{r2} = 1$  (Figure 12.3). We seek the locations of the maxima and minima of **E**.



**Figure 12.3** An incident wave,  $E_{xs1}^+ = 100e^{-j40\pi z}$  V/m, is reflected with a reflection coefficient  $\Gamma = -0.2$ . Dielectric 2 is infinitely thick.

**Solution.** We calculate  $\omega=6\pi\times10^9$  rad/s,  $\beta_1=\omega\sqrt{\mu_1\epsilon_1}=40\pi$  rad/m, and  $\beta_2=\omega\sqrt{\mu_2\epsilon_2}=60\pi$  rad/m. Although the wavelength would be 10 cm in air, we find here that  $\lambda_1=2\pi/\beta_1=5$  cm,  $\lambda_2=2\pi/\beta_2=3.33$  cm,  $\eta_1=60\pi$   $\Omega$ ,  $\eta_2=40\pi$   $\Omega$ , and  $\Gamma=(\eta_2-\eta_1)/(\eta_2+\eta_1)=-0.2$ . Because  $\Gamma$  is real and negative  $(\eta_2<\eta_1)$ , there will be a minimum of the electric field at the boundary, and it will be repeated at half-wavelength (2.5 cm) intervals in dielectric l. From (23), we see that  $|E_{x1T}|_{min}=80$  V/m.

Maxima of **E** are found at distances of 1.25, 3.75, 6.25,... cm from z = 0. These maxima all have amplitudes of 120 V/m, as predicted by (20).

There are no maxima or minima in region 2 because there is no reflected wave there.

The ratio of the maximum to minimum amplitudes is the standing wave ratio:

$$s = \frac{|E_{x1T}|_{\text{max}}}{|E_{x1T}|_{\text{min}}} = \frac{1 + |\Gamma|}{1 - |\Gamma|}$$
 (27)

Because  $|\Gamma| < 1$ , s is always positive and greater than or equal to unity. For the preceding example,

$$s = \frac{1 + |-0.2|}{1 - |-0.2|} = \frac{1.2}{0.8} = 1.5$$

If  $|\Gamma| = 1$ , the reflected and incident amplitudes are equal, all the incident energy is reflected, and s is infinite. Planes separated by multiples of  $\lambda_1/2$  can be found on which  $E_{x1}$  is zero at all times. Midway between these planes,  $E_{x1}$  has a maximum amplitude twice that of the incident wave.

If  $\eta_2 = \eta_1$ , then  $\Gamma = 0$ , no energy is reflected, and s = 1; the maximum and minimum amplitudes are equal.

If one-half the incident power is reflected,  $|\Gamma|^2 = 0.5$ ,  $|\Gamma| = 0.707$ , and s = 5.83.

**D12.2.** What value of s results when  $\Gamma = \pm 1/2$ ?

#### Ans. 3

Because the standing wave ratio is a ratio of amplitudes, the relative amplitudes, as measured by a probe, permit its use to determine *s* experimentally.

### **EXAMPLE 12.3**

A uniform plane wave in air partially reflects from the surface of a material whose properties are unknown. Measurements of the electric field in the region in front of the interface yield a 1.5-m spacing between maxima, with the first maximum occurring 0.75 m from the interface. A standing wave ratio of 5 is measured. Determine the intrinsic impedance,  $\eta_u$ , of the unknown material.

**Solution.** The 1.5 m spacing between maxima is  $\lambda/2$ , which implies that a wavelength is 3.0 m, or f = 100 MHz. The first maximum at 0.75 m is thus at a distance of  $\lambda/4$  from the interface, which means that a field minimum occurs at the boundary. Thus  $\Gamma$  will be real and negative. We use (27) to write

$$|\Gamma| = \frac{s-1}{s+1} = \frac{5-1}{5+1} = \frac{2}{3}$$

So

$$\Gamma = -\frac{2}{3} = \frac{\eta_u - \eta_0}{\eta_u + \eta_0}$$

which we solve for  $\eta_u$  to obtain

$$\eta_u = \frac{1}{5}\eta_0 = \frac{377}{5} = 75.4\,\Omega$$

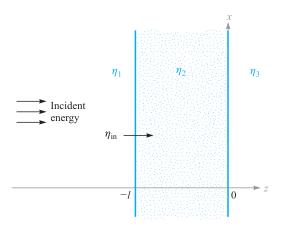
### 12.3 WAVE REFLECTION FROM MULTIPLE INTERFACES

So far we have treated the reflection of waves at the single boundary that occurs between semi-infinite media. In this section, we consider wave reflection from materials that are finite in extent, such that we must consider the effect of the front and back surfaces. Such a two-interface problem would occur, for example, for light incident on a flat piece of glass. Additional interfaces are present if the glass is coated with one or more layers of dielectric material for the purpose (as we will see) of reducing reflections. Such problems in which more than one interface is involved are frequently encountered; single-interface problems are in fact more the exception than the rule.

Consider the general situation shown in Figure 12.4, in which a uniform plane wave propagating in the forward z direction is normally incident from the left onto the interface between regions 1 and 2; these have intrinsic impedances  $\eta_1$  and  $\eta_2$ . A third region of impedance  $\eta_3$  lies beyond region 2, and so a second interface exists between regions 2 and 3. We let the second interface location occur at z=0, and so all positions to the left will be described by values of z that are negative. The width of the second region is l, so the first interface will occur at position z=-l.

When the incident wave reaches the first interface, events occur as follows: A portion of the wave reflects, while the remainder is transmitted, to propagate toward the second interface. There, a portion is transmitted into region 3, while the rest reflects and returns to the first interface; there it is again partially reflected. This reflected wave then combines with additional transmitted energy from region 1, and the process repeats. We thus have a complicated sequence of multiple reflections that occur within region 2, with partial transmission at each bounce. To analyze the situation in this way would involve keeping track of a very large number of reflections; this would be necessary when studying the *transient* phase of the process, where the incident wave first encounters the interfaces.

If the incident wave is left on for all time, however, a *steady-state* situation is eventually reached, in which (1) an overall fraction of the incident wave is reflected



**Figure 12.4** Basic two-interface problem, in which the impedances of regions 2 and 3, along with the finite thickness of region 2, are accounted for in the input impedance at the front surface,  $\eta_{\text{in}}$ .

from the two-interface configuration and back-propagates in region 1 with a definite amplitude and phase; (2) an overall fraction of the incident wave is transmitted through the two interfaces and forward-propagates in the third region; (3) a net backward wave exists in region 2, consisting of all reflected waves from the second interface; and (4) a net forward wave exists in region 2, which is the superposition of the transmitted wave through the first interface and all waves in region 2 that have reflected from the first interface and are now forward-propagating. The effect of combining many co-propagating waves in this way is to establish a single wave which has a definite amplitude and phase, determined through the sums of the amplitudes and phases of all the component waves. In steady state, we thus have a total of five waves to consider. These are the incident and net reflected waves in region 1, the net transmitted wave in region 3, and the two counterpropagating waves in region 2.

The situation is analyzed in the same manner as that used in the analysis of finite-length transmission lines (Section 10.11). Let us assume that all regions are composed of lossless media, and consider the two waves in region 2. If we take these as x-polarized, their electric fields combine to yield

$$E_{xs2} = E_{x20}^{+} e^{-j\beta_2 z} + E_{x20}^{-} e^{j\beta_2 z}$$
 (28a)

where  $\beta_2 = \omega \sqrt{\epsilon_{r2}}/c$ , and where the amplitudes,  $E_{x20}^+$  and  $E_{x20}^-$ , are complex. The y-polarized magnetic field is similarly written, using complex amplitudes:

$$H_{ys2} = H_{y20}^{+} e^{-j\beta_2 z} + H_{y20}^{-} e^{j\beta_2 z}$$
 (28b)

We now note that the forward and backward electric field amplitudes in region 2 are related through the reflection coefficient at the second interface,  $\Gamma_{23}$ , where

$$\Gamma_{23} = \frac{\eta_3 - \eta_2}{\eta_3 + \eta_2} \tag{29}$$

We thus have

$$E_{r20}^{-} = \Gamma_{23} E_{r20}^{+} \tag{30}$$

We then write the magnetic field amplitudes in terms of electric field amplitudes through

$$H_{y20}^{+} = \frac{1}{\eta_2} E_{x20}^{+} \tag{31a}$$

and

$$H_{y20}^{-} = -\frac{1}{\eta_2} E_{x20}^{-} = -\frac{1}{\eta_2} \Gamma_{23} E_{x20}^{+}$$
 (31b)

We now define the *wave impedance*,  $\eta_w$ , as the z-dependent ratio of the total electric field to the total magnetic field. In region 2, this becomes, using (28a) and (28b),

$$\eta_w(z) = \frac{E_{xs2}}{H_{ys2}} = \frac{E_{x20}^+ e^{-j\beta_2 z} + E_{x20}^- e^{j\beta_2 z}}{H_{y20}^+ e^{-j\beta_2 z} + H_{y20}^- e^{j\beta_2 z}}$$

Then, using (30), (31a), and (31b), we obtain

$$\eta_w(z) = \eta_2 \left[ \frac{e^{-j\beta_2 z} + \Gamma_{23} e^{j\beta_2 z}}{e^{-j\beta_2 z} - \Gamma_{23} e^{j\beta_2 z}} \right]$$

Now, using (29) and Euler's identity, we have

$$\eta_w(z) = \eta_2 \times \frac{(\eta_3 + \eta_2)(\cos \beta_2 z - j \sin \beta_2 z) + (\eta_3 - \eta_2)(\cos \beta_2 z + j \sin \beta_2 z)}{(\eta_3 + \eta_2)(\cos \beta_2 z - j \sin \beta_2 z) - (\eta_3 - \eta_2)(\cos \beta_2 z + j \sin \beta_2 z)}$$

This is easily simplified to yield

$$\eta_w(z) = \eta_2 \frac{\eta_3 \cos \beta_2 z - j \eta_2 \sin \beta_2 z}{\eta_2 \cos \beta_2 z - j \eta_3 \sin \beta_2 z}$$
(32)

We now use the wave impedance in region 2 to solve our reflection problem. Of interest to us is the net reflected wave amplitude at the first interface. Since tangential **E** and **H** are continuous across the boundary, we have

$$E_{xs1}^{+} + E_{xs1}^{-} = E_{xs2} (z = -l) (33a)$$

and

$$H_{ys1}^{+} + H_{ys1}^{-} = H_{ys2}$$
  $(z = -l)$  (33b)

Then, in analogy to (7) and (8), we may write

$$E_{x10}^{+} + E_{x10}^{-} = E_{xx2}(z = -l)$$
 (34a)

and

$$\frac{E_{x10}^{+}}{\eta_1} - \frac{E_{x10}^{-}}{\eta_1} = \frac{E_{xs2}(z = -l)}{\eta_w(-l)}$$
(34b)

where  $E_{x10}^+$  and  $E_{x10}^-$  are the amplitudes of the incident and reflected fields. We call  $\eta_w(-l)$  the *input impedance*,  $\eta_{in}$ , to the two-interface combination. We now solve

(34a) and (34b) together, eliminating  $E_{xs2}$ , to obtain

$$\frac{E_{x10}^{-}}{E_{x10}^{+}} = \Gamma = \frac{\eta_{\text{in}} - \eta_{1}}{\eta_{\text{in}} + \eta_{1}}$$
 (35)

To find the input impedance, we evaluate (32) at z = -l, resulting in

$$\eta_{\rm in} = \eta_2 \frac{\eta_3 \cos \beta_2 l + j \eta_2 \sin \beta_2 l}{\eta_2 \cos \beta_2 l + j \eta_3 \sin \beta_2 l}$$
(36)

Equations (35) and (36) are general results that enable us to calculate the net reflected wave amplitude and phase from two parallel interfaces between lossless media. Note the dependence on the interface spacing, l, and on the wavelength as measured in region 2, characterized by  $\beta_2$ . Of immediate importance to us is the fraction of the incident power that reflects from the dual interface and back-propagates in region 1. As we found earlier, this fraction will be  $|\Gamma|^2$ . Also of interest is the transmitted power, which propagates away from the second interface in region 3. It is simply the remaining power fraction, which is  $1 - |\Gamma|^2$ . The power in region 2 stays constant in steady state; power leaves that region to form the reflected and transmitted waves, but is immediately replenished by the incident wave. We have already encountered an analogous situation involving cascaded transmission lines, which culminated in Eq. (101) in Chapter 10.

An important result of situations involving two interfaces is that it is possible to achieve total transmission in certain cases. From (35), we see that total transmission occurs when  $\Gamma = 0$ , or when  $\eta_{in} = \eta_1$ . In this case, as in transmission lines, we say that the input impedance is *matched* to that of the incident medium. There are a few methods of accomplishing this.

As a start, suppose that  $\eta_3 = \eta_1$ , and region 2 is of such thickness that  $\beta_2 l = m\pi$ , where m is an integer. Now  $\beta_2 = 2\pi/\lambda_2$ , where  $\lambda_2$  is the wavelength as measured in region 2. Therefore

$$\frac{2\pi}{\lambda_2}l = m\pi$$

or

$$l = m\frac{\lambda_2}{2} \tag{37}$$

With  $\beta_2 l = m\pi$ , the second region thickness is an integer multiple of half-wavelengths as measured in that medium. Equation (36) now reduces to  $\eta_{\rm in} = \eta_3$ . Thus the general effect of a multiple half-wave thickness is to render the second region immaterial to

<sup>&</sup>lt;sup>1</sup> For convenience, (34a) and (34b) have been written for a specific time at which the incident wave amplitude,  $E_{x10}^+$ , occurs at z=-l. This establishes a zero-phase reference at the front interface for the incident wave, and so it is from this reference that the reflected wave phase is determined. Equivalently, we have repositioned the z=0 point at the front interface. Eq. (36) allows this because it is only a function of the interface spacing, l.

the results on reflection and transmission. Equivalently, we have a single-interface problem involving  $\eta_1$  and  $\eta_3$ . Now, with  $\eta_3 = \eta_1$ , we have a matched input impedance, and there is no net reflected wave. This method of choosing the region 2 thickness is known as *half-wave matching*. Its applications include, for example, antenna housings on airplanes known as *radomes*, which form a part of the fuselage. The antenna, inside the aircraft, can transmit and receive through this layer, which can be shaped to enable good aerodynamic characteristics. Note that the half-wave matching condition no longer applies as we deviate from the wavelength that satisfies it. When this is done, the device reflectivity increases (with increased wavelength deviation), so it ultimately acts as a bandpass filter.

Often, it is convenient to express the dielectric constant of the medium through the *refractive index* (or just index), n, defined as

$$n = \sqrt{\epsilon_r} \tag{38}$$

Characterizing materials by their refractive indices is primarily done at optical frequencies (on the order of  $10^{14}$  Hz), whereas at much lower frequencies, a dielectric constant is traditionally specified. Since  $\epsilon_r$  is complex in lossy media, the index will also be complex. Rather than complicate the situation in this way, we will restrict our use of the refractive index to cases involving lossless media, having  $\epsilon_r''=0$ , and  $\mu_r=1$ . Under lossless conditions, we may write the plane wave phase constant and the material intrinsic impedance in terms of the index through

$$\beta = k = \omega \sqrt{\mu_0 \epsilon_0} \sqrt{\epsilon_r} = \frac{n\omega}{c}$$
 (39)

and

$$\eta = \frac{1}{\sqrt{\epsilon_r}} \sqrt{\frac{\mu_0}{\epsilon_0}} = \frac{\eta_0}{n} \tag{40}$$

Finally, the phase velocity and wavelength in a material of index n are

$$v_p = \frac{c}{n} \tag{41}$$

and

$$\lambda = \frac{\nu_p}{f} = \frac{\lambda_0}{n} \tag{42}$$

where  $\lambda_0$  is the wavelength in free space. It is obviously important not to confuse the index n with the similar-appearing Greek  $\eta$  (intrinsic impedance), which has an entirely different meaning.

Another application, typically seen in optics, is the *Fabry-Perot interferometer*. This, in its simplest form, consists of a single block of glass or other transparent

material of index n, whose thickness, l, is set to transmit wavelengths which satisfy the condition  $\lambda = \lambda_0/n = 2l/m$ . Often we want to transmit only one wavelength, not several, as (37) would allow. We would therefore like to assure that adjacent wavelengths that are passed through the device are separated as far as possible, so that only one will lie within the input power spectrum. In terms of wavelength as measured in the material, this separation is in general given by

$$\lambda_{m-1} - \lambda_m = \Delta \lambda_f = \frac{2l}{m-1} - \frac{2l}{m} = \frac{2l}{m(m-1)} \doteq \frac{2l}{m^2}$$

Note that m is the number of half-wavelengths in region 2, or  $m = 2l/\lambda = 2nl/\lambda_0$ , where  $\lambda_0$  is the desired free-space wavelength for transmission. Thus

$$\Delta \lambda_f \doteq \frac{\lambda_2^2}{2l} \tag{43a}$$

In terms of wavelength measured in free space, this becomes

$$\Delta \lambda_{f0} = n \Delta \lambda_f \doteq \frac{\lambda_0^2}{2nI} \tag{43b}$$

 $\Delta\lambda_{f0}$  is known as the *free spectral range* of the Fabry-Perot interferometer in terms of free-space wavelength separation. The interferometer can be used as a narrow-band filter (transmitting a desired wavelength and a narrow spectrum around this wavelength) if the spectrum to be filtered is narrower than the free spectral range.

#### **EXAMPLE 12.4**

Suppose we wish to filter an optical spectrum of full width  $\Delta \lambda_{s0} = 50$  nm (measured in free space), whose center wavelength,  $\lambda_0$ , is in the red part of the visible spectrum at 600 nm, where one nm (nanometer) is  $10^{-9}$  m. A Fabry-Perot filter is to be used, consisting of a lossless glass plate in air, having refractive index n = 1.45. We need to find the required range of glass thicknesses such that multiple wavelength orders will not be transmitted.

**Solution.** We require that the free spectral range be greater than the optical spectral width, or  $\Delta \lambda_{f0} > \Delta \lambda_{s}$ . Using (43*b*)

$$l < \frac{\lambda_0^2}{2n\Delta\lambda_{s0}}$$

So

$$l < \frac{600^2}{2(1.45)(50)} = 2.5 \times 10^3 \text{nm} = 2.5 \,\mu\text{m}$$

where  $1\mu m$  (micrometer) =  $10^{-6}$  m. Fabricating a glass plate of this thickness or less is somewhat ridiculous to contemplate. Instead, what is often used is an airspace of thickness on this order, between two thick plates whose surfaces on the sides opposite the airspace are antireflection coated. This is in fact a more versatile configuration because the wavelength to be transmitted (and the free spectral range) can be adjusted by varying the plate separation.

Next, we remove the restriction  $\eta_1 = \eta_3$  and look for a way to produce zero reflection. Returning to Eq. (36), suppose we set  $\beta_2 l = (2m-1)\pi/2$ , or an odd multiple of  $\pi/2$ . This means that

$$\frac{2\pi}{\lambda_2}l = (2m-1)\frac{\pi}{2} \qquad (m=1,2,3,\ldots)$$

or

$$l = (2m - 1)\frac{\lambda_2}{4} \tag{44}$$

The thickness is an odd multiple of a quarter-wavelength as measured in region 2. Under this condition, (36) reduces to

$$\eta_{\rm in} = \frac{\eta_2^2}{\eta_3} \tag{45}$$

Typically, we choose the second region impedance to allow matching between given impedances  $\eta_1$  and  $\eta_3$ . To achieve total transmission, we require that  $\eta_{in} = \eta_1$ , so that the required second region impedance becomes

$$\eta_2 = \sqrt{\eta_1 \eta_3} \tag{46}$$

With the conditions given by (44) and (46) satisfied, we have performed *quarter-wave* matching. The design of antireflective coatings for optical devices is based on this principle.

### **EXAMPLE 12.5**

We wish to coat a glass surface with an appropriate dielectric layer to provide total transmission from air to the glass at a free-space wavelength of 570 nm. The glass has refractive index  $n_3 = 1.45$ . Determine the required index for the coating and its minimum thickness.

**Solution.** The known impedances are  $\eta_1 = 377 \Omega$  and  $\eta_3 = 377/1.45 = 260 \Omega$ . Using (46) we have

$$\eta_2 = \sqrt{(377)(260)} = 313 \,\Omega$$

The index of region 2 will then be

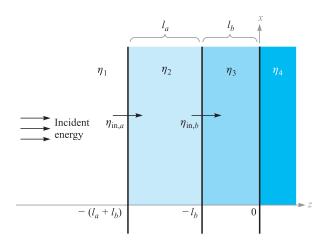
$$n_2 = \left(\frac{377}{313}\right) = 1.20$$

The wavelength in region 2 will be

$$\lambda_2 = \frac{570}{1.20} = 475 \, \text{nm}$$

The minimum thickness of the dielectric layer is then

$$l = \frac{\lambda_2}{4} = 119 \,\text{nm} = 0.119 \,\mu\text{m}$$



**Figure 12.5** A three-interface problem in which input impedance  $\eta_{\text{in},a}$  is transformed back to the front interface to form input impedance  $\eta_{\text{in},b}$ .

The procedure in this section for evaluating wave reflection has involved calculating an effective impedance at the first interface,  $\eta_{in}$ , which is expressed in terms of the impedances that lie beyond the front surface. This process of *impedance transformation* is more apparent when we consider problems involving more than two interfaces.

For example, consider the three-interface situation shown in Figure 12.5, where a wave is incident from the left in region 1. We wish to determine the fraction of the incident power that is reflected and back-propagates in region 1 and the fraction of the incident power that is transmitted into region 4. To do this, we need to find the input impedance at the front surface (the interface between regions 1 and 2). We start by transforming the impedance of region 4 to form the input impedance at the boundary between regions 2 and 3. This is shown as  $\eta_{\text{in},b}$  in Figure 12.5. Using (36), we have

$$\eta_{\text{in},b} = \eta_3 \frac{\eta_4 \cos \beta_3 l_b + j \eta_3 \sin \beta_3 l_b}{\eta_3 \cos \beta_3 l_b + j \eta_4 \sin \beta_3 l_b}$$
(47)

We have now effectively reduced the situation to a two-interface problem in which  $\eta_{\text{in},b}$  is the impedance of all that lies beyond the second interface. The input impedance at the front interface,  $\eta_{\text{in},a}$ , is now found by transforming  $\eta_{\text{in},b}$  as follows:

$$\eta_{\text{in},a} = \eta_2 \frac{\eta_{\text{in},b} \cos \beta_2 l_a + j \eta_2 \sin \beta_2 l_a}{\eta_2 \cos \beta_2 l_a + j \eta_{\text{in},b} \sin \beta_2 l_a}$$

$$\tag{48}$$

The reflected power fraction is now  $|\Gamma|^2$ , where

$$\Gamma = \frac{\eta_{\text{in},a} - \eta_1}{\eta_{\text{in},a} + \eta_1}$$

The fraction of the power transmitted into region 4 is, as before,  $1 - |\Gamma|^2$ . The method of impedance transformation can be applied in this manner to any number of interfaces. The process, although tedious, is easily handled by a computer.

The motivation for using multiple layers to reduce reflection is that the resulting structure is less sensitive to deviations from the design wavelength if the impedances (or refractive indices) are arranged to progressively increase or decrease from layer to layer. For multiple layers to antireflection coat a camera lens, for example, the layer on the lens surface would be of impedance very close to that of the glass. Subsequent layers are given progressively higher impedances. With a large number of layers fabricated in this way, the situation begins to approach (but never reaches) the ideal case, in which the top layer impedance matches that of air, while the impedances of deeper layers continuously decrease until reaching the value of the glass surface. With this continuously varying impedance, there is no surface from which to reflect, and so light of any wavelength is totally transmitted. Multilayer coatings designed in this way produce excellent broadband transmission characteristics.

**D12.3.** A uniform plane wave in air is normally incident on a dielectric slab of thickness  $\lambda_2/4$ , and intrinsic impedance  $\eta_2 = 260 \Omega$ . Determine the magnitude and phase of the reflection coefficient.

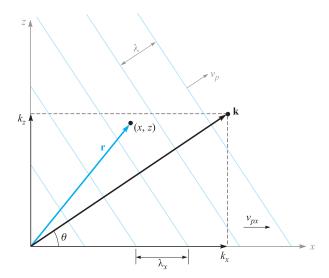
**Ans.** 0.356; 180°

### 12.4 PLANE WAVE PROPAGATION IN GENERAL DIRECTIONS

In this section, we will learn how to mathematically describe uniform plane waves that propagate in any direction. Our motivation for doing this is our need to address the problem of incident waves on boundaries that are not perpendicular to the propagation direction. Such problems of *oblique incidence* generally occur, with normal incidence being a special case. Addressing such problems requires (as always) that we establish an appropriate coordinate system. With the boundary positioned in the x, y plane, for example, the incident wave will propagate in a direction that could involve all three coordinate axes, whereas with normal incidence, we were only concerned with propagation along z. We need a mathematical formalism that will allow for the general direction case.

Let us consider a wave that propagates in a lossless medium, with propagation constant  $\beta = k = \omega \sqrt{\mu \epsilon}$ . For simplicity, we consider a two-dimensional case, where the wave travels in a direction between the x and z axes. The first step is to consider the propagation constant as a *vector*,  $\mathbf{k}$ , indicated in Figure 12.6. The direction of  $\mathbf{k}$  is the propagation direction, which is the same as the direction of the Poynting vector in our case.<sup>2</sup> The magnitude of  $\mathbf{k}$  is the phase shift per unit distance *along that direction*.

<sup>&</sup>lt;sup>2</sup> We assume here that the wave is in an isotropic medium, where the permittivity and permeability do not change with field orientation. In anisotropic media (where  $\epsilon$  and/or  $\mu$  depend on field orientation), the directions of the Poynting vector and  $\mathbf{k}$  may differ.



**Figure 12.6** Representation of a uniform plane wave with wavevector  $\mathbf{k}$  at angle  $\theta$  to the x axis. The phase at point (x, z) is given by  $\mathbf{k} \cdot \mathbf{r}$ . Planes of constant phase (shown as lines perpendicular to  $\mathbf{k}$ ) are spaced by wavelength  $\lambda$  but have wider spacing when measured along the x or z axis.

Part of the process of characterizing a wave involves specifying its phase at any spatial location. For the waves we have considered that propagate along the z axis, this was accomplished by the factor  $e^{\pm jkz}$  in the phasor form. To specify the phase in our two-dimensional problem, we make use of the vector nature of  $\mathbf{k}$  and consider the phase at a general location (x, z) described through the position vector  $\mathbf{r}$ . The phase at that location, referenced to the origin, is given by the projection of  $\mathbf{k}$  along  $\mathbf{r}$  times the magnitude of  $\mathbf{r}$ , or just  $\mathbf{k} \cdot \mathbf{r}$ . If the electric field is of magnitude  $E_0$ , we can thus write down the phasor form of the wave in Figure 12.6 as

$$\mathbf{E}_s = \mathbf{E}_0 e^{-j\mathbf{k} \cdot \mathbf{r}} \tag{49}$$

The minus sign in the exponent indicates that the phase along  $\mathbf{r}$  moves in time in the direction of increasing  $\mathbf{r}$ . Again, the wave power flow in an isotropic medium occurs in the direction along which the phase shift per unit distance is maximum—or along  $\mathbf{k}$ . The vector  $\mathbf{r}$  serves as a means to measure phase at any point using  $\mathbf{k}$ . This construction is easily extended to three dimensions by allowing  $\mathbf{k}$  and  $\mathbf{r}$  to each have three components.

In our two-dimensional case of Figure 12.6, we can express  $\mathbf{k}$  in terms of its x and z components:

$$\mathbf{k} = k_x \mathbf{a}_x + k_z \mathbf{a}_z$$

The position vector,  $\mathbf{r}$ , can be similarly expressed:

$$\mathbf{r} = x\mathbf{a}_x + z\mathbf{a}_z$$

so that

$$\mathbf{k} \cdot \mathbf{r} = k_x x + k_z z$$

Equation (49) now becomes

$$\mathbf{E}_{s} = \mathbf{E}_{0}e^{-j(k_{x}x + k_{z}z)} \tag{50}$$

Whereas Eq. (49) provided the general form of the wave, Eq. (50) is the form that is specific to the situation. Given a wave expressed by (50), the angle of propagation from the x axis is readily found through

$$\theta = \tan^{-1}\left(\frac{k_z}{k_x}\right)$$

The wavelength and phase velocity depend on the direction one is considering. In the direction of  $\mathbf{k}$ , these will be

$$\lambda = \frac{2\pi}{k} = \frac{2\pi}{\left(k_x^2 + k_z^2\right)^{1/2}}$$

and

$$v_p = \frac{\omega}{k} = \frac{\omega}{\left(k_x^2 + k_z^2\right)^{1/2}}$$

If, for example, we consider the x direction, these quantities will be

$$\lambda_x = \frac{2\pi}{k_x}$$

and

$$v_{px} = \frac{\omega}{k_x}$$

Note that both  $\lambda_x$  and  $\nu_{px}$  are greater than their counterparts along the direction of  ${\bf k}$ . This result, at first surprising, can be understood through the geometry of Figure 12.6. The diagram shows a series of phase fronts (planes of constant phase) which intersect  ${\bf k}$  at right angles. The phase shift between adjacent fronts is set at  $2\pi$  in the figure; this corresponds to a spatial separation along the  ${\bf k}$  direction of one wavelength, as shown. The phase fronts intersect the x axis, and we see that *along* x the front separation is greater than it was along  ${\bf k}$ .  $\lambda_x$  is the spacing between fronts along x and is indicated

on the figure. The phase velocity along x is the velocity of the intersection points between the phase fronts and the x axis. Again, from the geometry, we see that this velocity must be faster than the velocity along  $\mathbf{k}$  and will, of course, exceed the speed of light in the medium. This does not constitute a violation of special relativity, however, since the energy in the wave flows in the direction of  $\mathbf{k}$  and not along x or z. The wave frequency is  $f = \omega/2\pi$  and is invariant with direction. Note, for example, that in the directions we have considered,

$$f = \frac{v_p}{\lambda} = \frac{v_{px}}{\lambda_x} = \frac{\omega}{2\pi}$$

### **EXAMPLE 12.6**

Consider a 50-MHz uniform plane wave having electric field amplitude 10 V/m. The medium is lossless, having  $\epsilon_r = \epsilon_r' = 9.0$  and  $\mu_r = 1.0$ . The wave propagates in the x, y plane at a 30° angle to the x axis and is linearly polarized along z. Write down the phasor expression for the electric field.

**Solution.** The propagation constant magnitude is

$$k = \omega \sqrt{\mu \epsilon} = \frac{\omega \sqrt{\epsilon_r}}{c} = \frac{2\pi \times 50 \times 10^6 (3)}{3 \times 10^8} = 3.2 \text{ m}^{-1}$$

The vector  $\mathbf{k}$  is now

$$\mathbf{k} = 3.2(\cos 30\mathbf{a}_x + \sin 30\mathbf{a}_y) = 2.8\mathbf{a}_x + 1.6\mathbf{a}_y \text{ m}^{-1}$$

Then

$$\mathbf{r} = x \, \mathbf{a}_x + y \, \mathbf{a}_y$$

With the electric field directed along z, the phasor form becomes

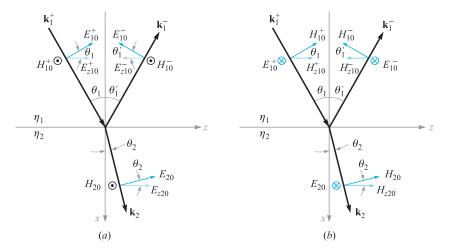
$$\mathbf{E}_s = E_0 e^{-j\mathbf{k}\cdot\mathbf{r}} \,\mathbf{a}_z = 10 e^{-j(2.8x+1.6y)} \,\mathbf{a}_z$$

**D12.4.** For Example 12.6, calculate  $\lambda_x$ ,  $\lambda_y$ ,  $\nu_{px}$ , and  $\nu_{py}$ .

**Ans.** 2.2 m; 3.9 m;  $1.1 \times 10^8$  m/s;  $2.0 \times 10^8$  m/s

### 12.5 PLANE WAVE REFLECTION AT OBLIQUE INCIDENCE ANGLES

We now consider the problem of wave reflection from plane interfaces, in which the incident wave propagates at some angle to the surface. Our objectives are (1) to determine the relation between incident, reflected, and transmitted angles, and (2) to derive reflection and transmission coefficients that are functions of the incident angle and wave polarization. We will also show that cases exist in which total reflection or total transmission may occur at the interface between two dielectrics if the angle of incidence and the polarization are appropriately chosen.



**Figure 12.7** Geometries for plane wave incidence at angle  $\theta_1$  onto an interface between dielectrics having intrinsic impedances  $\eta_1$  and  $\eta_2$ . The two polarization cases are shown: (a) p-polarization (or TM), with E in the plane of incidence; (b) s-polarization (or TE), with E perpendicular to the plane of incidence.

The situation is illustrated in Figure 12.7, in which the incident wave direction and position-dependent phase are characterized by wavevector  $\mathbf{k}_1^+$ . The angle of incidence is the angle between  $\mathbf{k}_1^+$  and a line that is normal to the surface (the x axis in this case). The incidence angle is shown as  $\theta_1$ . The reflected wave, characterized by wavevector  $\mathbf{k}_1^-$ , will propagate away from the interface at angle  $\theta_1'$ . Finally, the transmitted wave, characterized by  $\mathbf{k}_2$ , will propagate into the second region at angle  $\theta_2$  as shown. One would suspect (from previous experience) that the incident and reflected angles are equal ( $\theta_1 = \theta_1'$ ), which is correct. We need to show this, however, to be complete.

The two media are lossless dielectrics, characterized by intrinsic impedances  $\eta_1$  and  $\eta_2$ . We will assume, as before, that the materials are nonmagnetic, and thus have permeability  $\mu_0$ . Consequently, the materials are adequately described by specifying their dielectric constants,  $\epsilon_{r1}$  and  $\epsilon_{r2}$ , or their refractive indices,  $n_1 = \sqrt{\epsilon_{r1}}$  and  $n_2 = \sqrt{\epsilon_{r2}}$ .

In Figure 12.7, two cases are shown that differ by the choice of electric field orientation. In Figure 12.7a, the **E** field is polarized in the plane of the page, with **H** therefore perpendicular to the page and pointing outward. In this illustration, the plane of the page is also the *plane of incidence*, which is more precisely defined as the plane spanned by the incident **k** vector and the normal to the surface. With **E** lying in the plane of incidence, the wave is said to have *parallel polarization* or to be *p-polarized* (**E** is parallel to the incidence plane). Note that although **H** is perpendicular to the incidence plane, it lies parallel (or transverse) to the interface. Consequently, another name for this type of polarization is *transverse magnetic*, or TM polarization.

Figure 12.7b shows the situation in which the field directions have been rotated by 90°. Now **H** lies in the plane of incidence, whereas **E** is perpendicular to the plane. Because **E** is used to define polarization, the configuration is called *perpendicular* 

polarization, or is said to be *s-polarized*.<sup>3</sup> **E** is also parallel to the interface, and so the case is also called *transverse electric*, or TE polarization. We will find that the reflection and transmission coefficients will differ for the two polarization types, but that reflection and transmission angles will not depend on polarization. We only need to consider s- and p-polarizations because any other field direction can be constructed as some combination of s and p waves.

Our desired knowledge of reflection and transmission coefficients, as well as how the angles relate, can be found through the field boundary conditions at the interface. Specifically, we require that the transverse components of  ${\bf E}$  and  ${\bf H}$  be continuous across the interface. These were the conditions we used to find  $\Gamma$  and  $\tau$  for normal incidence ( $\theta_1=0$ ), which is in fact a special case of our current problem. We will consider the case of p-polarization (Figure 12.7a) first. To begin, we write down the incident, reflected, and transmitted fields in phasor form, using the notation developed in Section 12.4:

$$\mathbf{E}_{s1}^{+} = \mathbf{E}_{10}^{+} e^{-j\mathbf{k}_{1}^{+} \cdot \mathbf{r}} \tag{51}$$

$$\mathbf{E}_{\mathfrak{s}1}^{-} = \mathbf{E}_{10}^{-} e^{-j\mathbf{k}_{1}^{-} \cdot \mathbf{r}} \tag{52}$$

$$\mathbf{E}_{s2} = \mathbf{E}_{20}e^{-j\mathbf{k}_2 \cdot \mathbf{r}} \tag{53}$$

where

$$\mathbf{k}_1^+ = k_1(\cos\theta_1 \, \mathbf{a}_x + \sin\theta_1 \, \mathbf{a}_z) \tag{54}$$

$$\mathbf{k}_1^- = k_1(-\cos\theta_1' \,\mathbf{a}_x + \sin\theta_1' \,\mathbf{a}_z) \tag{55}$$

$$\mathbf{k}_2 = k_2(\cos\theta_2 \,\mathbf{a}_x + \sin\theta_2 \,\mathbf{a}_z) \tag{56}$$

and where

$$\mathbf{r} = x \, \mathbf{a}_x + z \, \mathbf{a}_z \tag{57}$$

The wavevector magnitudes are  $k_1 = \omega \sqrt{\epsilon_{r1}}/c = n_1 \omega/c$  and  $k_2 = \omega \sqrt{\epsilon_{r2}}/c = n_2 \omega/c$ .

Now, to evaluate the boundary condition that requires continuous tangential electric field, we need to find the components of the electric fields (z components) that are parallel to the interface. Projecting all  $\mathbf{E}$  fields in the z direction, and using (51) through (57), we find

$$E_{zs1}^{+} = E_{z10}^{+} e^{-j\mathbf{k}_{1}^{+} \cdot \mathbf{r}} = E_{10}^{+} \cos \theta_{1} e^{-jk_{1}(x\cos \theta_{1} + z\sin \theta_{1})}$$
 (58)

$$E_{zs1}^{-} = E_{z10}^{-} e^{-j\mathbf{k}_{1}^{-} \cdot \mathbf{r}} = E_{10}^{-} \cos \theta_{1}' e^{jk_{1}(x\cos \theta_{1}' - z\sin \theta_{1}')}$$
 (59)

$$E_{zs2} = E_{z20}e^{-j\mathbf{k}_2 \cdot \mathbf{r}} = E_{20}\cos\theta_2 e^{-jk_2(x\cos\theta_2 + z\sin\theta_2)}$$
(60)

<sup>&</sup>lt;sup>3</sup> The *s* designation is an abbreviation for the German *senkrecht*, meaning *perpendicular*. The p in *p-polarized* is an abbreviation for the German word for parallel, which is *parallel*.

The boundary condition for a continuous tangential electric field now reads:

$$E_{zs1}^+ + E_{zs1}^- = E_{zs2}$$
 (at  $x = 0$ )

We now substitute Eqs. (58) through (60) into (61) and evaluate the result at x = 0 to obtain

$$E_{10}^{+}\cos\theta_{1} e^{-jk_{1}z\sin\theta_{1}} + E_{10}^{-}\cos\theta_{1}' e^{-jk_{1}z\sin\theta_{1}'} = E_{20}\cos\theta_{2} e^{-jk_{2}z\sin\theta_{2}}$$
 (61)

Note that  $E_{10}^+$ ,  $E_{10}^-$ , and  $E_{20}$  are all constants (independent of z). Further, we require that (61) hold for all values of z (everywhere on the interface). For this to occur, it must follow that all the phase terms appearing in (61) are equal. Specifically,

$$k_1 z \sin \theta_1 = k_1 z \sin \theta_1' = k_2 z \sin \theta_2$$

From this, we see immediately that  $\theta'_1 = \theta_1$ , or the angle of reflection is equal to the angle of incidence. We also find that

$$k_1 \sin \theta_1 = k_2 \sin \theta_2 \tag{62}$$

Equation (62) is known as *Snell's law of refraction*. Because, in general,  $k = n\omega/c$ , we can rewrite (62) in terms of the refractive indices:

$$n_1 \sin \theta_1 = n_2 \sin \theta_2 \tag{63}$$

Equation (63) is the form of Snell's law that is most readily used for our present case of nonmagnetic dielectrics. Equation (62) is a more general form which would apply, for example, to cases involving materials with different permeabilities as well as different permittivities. In general, we would have  $k_1 = (\omega/c)\sqrt{\mu_{r1}\epsilon_{r1}}$  and  $k_2 = (\omega/c)\sqrt{\mu_{r2}\epsilon_{r2}}$ .

Having found the relations between angles, we next turn to our second objective, which is to determine the relations between the amplitudes,  $E_{10}^+$ ,  $E_{10}^-$ , and  $E_{20}$ . To accomplish this, we need to consider the other boundary condition, requiring tangential continuity of **H** at x=0. The magnetic field vectors for the p-polarized wave are all negative y-directed. At the boundary, the field amplitudes are related through

$$H_{10}^+ + H_{10}^- = H_{20} (64)$$

Then, when we use the fact that  $\theta'_1 = \theta_1$  and invoke Snell's law, (61) becomes

$$E_{10}^{+}\cos\theta_1 + E_{10}^{-}\cos\theta_1 = E_{20}\cos\theta_2 \tag{65}$$

Using the medium intrinsic impedances, we know, for example, that  $E_{10}^+/H_{10}^+ = \eta_1$  and  $E_{20}^+/H_{20}^+ = \eta_2$ . Eq. (64) can be written as follows:

$$\frac{E_{10}^{+}\cos\theta_{1}}{\eta_{1p}} - \frac{E_{10}^{-}\cos\theta_{1}}{\eta_{1p}} = \frac{E_{20}^{+}\cos\theta_{2}}{\eta_{2p}}$$
 (66)

Note the minus sign in front of the second term in (66), which results from the fact that  $E_{10}^- \cos \theta_1$  is negative (from Figure 12.7*a*), whereas  $H_{10}^-$  is positive (again from the figure). When we write Eq. (66), *effective impedances*, valid for p-polarization,

are defined through

$$\eta_{1p} = \eta_1 \cos \theta_1 \tag{67}$$

and

$$\eta_{2p} = \eta_2 \cos \theta_2 \tag{68}$$

Using this representation, Eqs. (65) and (66) are now in a form that enables them to be solved together for the ratios  $E_{10}^-/E_{10}^+$  and  $E_{20}/E_{10}^+$ . Performing analogous procedures to those used in solving (7) and (8), we find the reflection and transmission coefficients:

$$\Gamma_p = \frac{E_{10}^-}{E_{10}^+} = \frac{\eta_{2p} - \eta_{1p}}{\eta_{2p} + \eta_{1p}}$$
(69)

$$\tau_p = \frac{E_{20}}{E_{10}^+} = \frac{2\eta_{2p}}{\eta_{2p} + \eta_{1p}} \left( \frac{\cos \theta_1}{\cos \theta_2} \right)$$
 (70)

A similar procedure can be carried out for s-polarization, referring to Figure 12.7*b*. The details are left as an exercise; the results are

$$\Gamma_s = \frac{E_{y10}^-}{E_{y10}^+} = \frac{\eta_{2s} - \eta_{1s}}{\eta_{2s} + \eta_{1s}}$$
 (71)

$$\tau_s = \frac{E_{y20}}{E_{y10}^+} = \frac{2\eta_{2s}}{\eta_{2s} + \eta_{1s}} \tag{72}$$

where the effective impedances for s-polarization are

$$\eta_{1s} = \eta_1 \sec \theta_1 \tag{73}$$

and

$$\eta_{2s} = \eta_2 \sec \theta_2 \tag{74}$$

Equations (67) through (74) are what we need to calculate wave reflection and transmission for either polarization, and at any incident angle.

### **EXAMPLE 12.7**

A uniform plane wave is incident from air onto glass at an angle from the normal of  $30^{\circ}$ . Determine the fraction of the incident power that is reflected and transmitted for (a) p-polarization and (b) s-polarization. Glass has refractive index  $n_2 = 1.45$ .

**Solution.** First, we apply Snell's law to find the transmission angle. Using  $n_1 = 1$  for air, we use (63) to find

$$\theta_2 = \sin^{-1}\left(\frac{\sin 30}{1.45}\right) = 20.2^\circ$$

Now, for p-polarization:

$$\eta_{1p} = \eta_1 \cos 30 = (377)(.866) = 326 \Omega$$

$$\eta_{2p} = \eta_2 \cos 20.2 = \frac{377}{1.45} (.938) = 244 \Omega$$

Then, using (69), we find

$$\Gamma_p = \frac{244 - 326}{244 + 326} = -0.144$$

The fraction of the incident power that is reflected is

$$\frac{P_r}{P_{inc}} = |\Gamma_p|^2 = .021$$

The transmitted fraction is then

$$\frac{P_t}{P_{inc}} = 1 - |\Gamma_p|^2 = .979$$

For s-polarization, we have

$$\eta_{1s} = \eta_1 \sec 30 = 377/.866 = 435 \Omega$$

$$\eta_{2s} = \eta_2 \sec 20.2 = \frac{377}{1.45(.938)} = 277 \,\Omega$$

Then, using (71):

$$\Gamma_s = \frac{277 - 435}{277 + 435} = -.222$$

The reflected power fraction is thus

$$|\Gamma_s|^2 = .049$$

The fraction of the incident power that is transmitted is

$$1 - |\Gamma_s|^2 = .951$$

In Example 12.7, reflection coefficient values for the two polarizations were found to be negative. The meaning of a negative reflection coefficient is that the component of the reflected electric field that is parallel to the interface will be directed opposite the incident field component when both are evaluated at the boundary.

This effect is also observed when the second medium is a perfect conductor. In this case, we know that the electric field inside the conductor must be zero. Consequently,  $\eta_2 = E_{20}/H_{20} = 0$ , and the reflection coefficients will be  $\Gamma_p = \Gamma_s = -1$ . Total reflection occurs, regardless of the incident angle or polarization.

## 12.6 TOTAL REFLECTION AND TOTAL TRANSMISSION OF OBLIQUELY INCIDENT WAVES

Now that we have methods available to us for solving problems involving oblique incidence reflection and transmission, we can explore the special cases of *total reflection* and *total transmission*. We look for special combinations of media, incidence angles, and polarizations that produce these properties. To begin, we identify the necessary condition for total reflection. We want total *power* reflection, so that  $|\Gamma|^2 = \Gamma\Gamma^* = 1$ , where  $\Gamma$  is either  $\Gamma_p$  or  $\Gamma_s$ . The fact that this condition involves the possibility of a complex  $\Gamma$  allows some flexibility. For the incident medium, we note that  $\eta_{1p}$  and  $\eta_{1s}$  will always be real and positive. On the other hand, when we consider the second medium,  $\eta_{2p}$  and  $\eta_{2s}$  involve factors of  $\cos\theta_2$  or  $1/\cos\theta_2$ , where

$$\cos \theta_2 = \left[1 - \sin^2 \theta_2\right]^{1/2} = \left[1 - \left(\frac{n_1}{n_2}\right)^2 \sin^2 \theta_1\right]^{1/2} \tag{75}$$

where Snell's law has been used. We observe that  $\cos \theta_2$ , and hence  $\eta_{2p}$  and  $\eta_{2s}$ , become imaginary whenever  $\sin \theta_1 > n_2/n_1$ . Let us consider parallel polarization, for example. Under conditions of imaginary  $\eta_{2p}$ , (69) becomes

$$\Gamma_p = \frac{j|\eta_{2p}| - \eta_{1p}}{j|\eta_{2p}| + \eta_{1p}} = -\frac{\eta_{1p} - j|\eta_{2p}|}{\eta_{1p} + j|\eta_{2p}|} = -\frac{Z}{Z^*}$$

where  $Z = \eta_{1p} - j |\eta_{2p}|$ . We can therefore see that  $\Gamma_p \Gamma_p^* = 1$ , meaning total power reflection, whenever  $\eta_{2p}$  is imaginary. The same will be true whenever  $\eta_{2p}$  is zero, which will occur when  $\sin \theta_1 = n_2/n_1$ . We thus have our condition for total reflection, which is

$$\sin \theta_1 \ge \frac{n_2}{n_1} \tag{76}$$

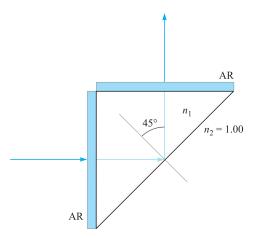
From this condition arises the *critical angle* of total reflection,  $\theta_c$ , defined through

$$\sin \theta_c = \frac{n_2}{n_1} \tag{77}$$

The total reflection condition can thus be more succinctly written as

$$\theta_1 \ge \theta_c$$
 (for total reflection) (78)

Note that for (76) and (77) to make sense, it must be true that  $n_2 < n_1$ , or the wave must be incident from a medium of higher refractive index than that of the medium beyond the boundary. For this reason, the total reflection condition is sometimes called total *internal* reflection; it is often seen (and applied) in optical devices such



**Figure 12.8** Beam-steering prism for Example 12.8.

as beam-steering prisms, where light within the glass structure totally reflects from glass-air interfaces.

**EXAMPLE 12.8** 

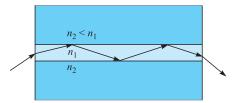
A prism is to be used to turn a beam of light by  $90^{\circ}$ , as shown in Figure 12.8. Light enters and exits the prism through two antireflective (AR-coated) surfaces. Total reflection is to occur at the back surface, where the incident angle is  $45^{\circ}$  to the normal. Determine the minimum required refractive index of the prism material if the surrounding region is air.

**Solution.** Considering the back surface, the medium beyond the interface is air, with  $n_2 = 1.00$ . Because  $\theta_1 = 45^\circ$ , (76) is used to obtain

$$n_1 \ge \frac{n_2}{\sin 45} = \sqrt{2} = 1.41$$

Because fused silica glass has refractive index  $n_g = 1.45$ , it is a suitable material for this application and is in fact widely used.

Another important application of total reflection is in *optical waveguides*. These, in their simplest form, are constructed of three layers of glass, in which the middle layer has a slightly higher refractive index than the outer two. Figure 12.9 shows the basic structure. Light, propagating from left to right, is confined to the middle layer by total reflection at the two interfaces, as shown. Optical fiber waveguides are constructed on this principle, in which a cylindrical glass core region of small radius is surrounded coaxially by a lower-index cladding glass material of larger radius. Basic waveguiding principles as applied to metallic and dielectric structures will be presented in Chapter 13.



**Figure 12.9** A dielectric slab waveguide (symmetric case), showing light confinement to the center material by total reflection.

We next consider the possibility of *total transmission*. In this case, the requirement is simply that  $\Gamma = 0$ . We investigate this possibility for the two polarizations. First, we consider s-polarization. If  $\Gamma_s = 0$ , then from (71) we require that  $\eta_{2s} = \eta_{1s}$ , or

$$\eta_2 \sec \theta_2 = \eta_1 \sec \theta_1$$

Using Snell's law to write  $\theta_2$  in terms of  $\theta_1$ , the preceding equation becomes

$$\eta_2 \left[ 1 - \left( \frac{n_1}{n_2} \right)^2 \sin^2 \theta_1 \right]^{-1/2} = \eta_1 \left[ 1 - \sin^2 \theta_1 \right]^{-1/2}$$

There is no value of  $\theta_1$  that will satisfy this, so we turn instead to p-polarization. Using (67), (68), and (69), with Snell's law, we find that the condition for  $\Gamma_p = 0$  is

$$\eta_2 \left[ 1 - \left( \frac{n_1}{n_2} \right)^2 \sin^2 \theta_1 \right]^{1/2} = \eta_1 \left[ 1 - \sin^2 \theta_1 \right]^{1/2}$$

This equation does have a solution, which is

$$\sin \theta_1 = \sin \theta_B = \frac{n_2}{\sqrt{n_1^2 + n_2^2}} \tag{79}$$

where we have used  $\eta_1 = \eta_0/n_1$  and  $\eta_2 = \eta_0/n_2$ . We call this special angle  $\theta_B$ , where total transmission occurs, the *Brewster angle* or *polarization angle*. The latter name comes from the fact that if light having both s- and p-polarization components is incident at  $\theta_1 = \theta_B$ , the p component will be totally transmitted, leaving the partially reflected light entirely s-polarized. At angles that are slightly off the Brewster angle, the reflected light is still predominantly s-polarized. Most reflected light that we see originates from horizontal surfaces (such as the surface of the ocean), and so the light has mostly horizontal polarization. Polaroid sunglasses take advantage of this fact to reduce glare, for they are made to block the transmission of horizontally polarized light while passing light that is vertically polarized.

**EXAMPLE 12.9** 

Light is incident from air to glass at Brewster's angle. Determine the incident and transmitted angles.

**Solution.** Because glass has refractive index  $n_2 = 1.45$ , the incident angle will be

$$\theta_1 = \theta_B = \sin^{-1}\left(\frac{n_2}{\sqrt{n_1^2 + n_2^2}}\right) = \sin^{-1}\left(\frac{1.45}{\sqrt{1.45^2 + 1}}\right) = 55.4^{\circ}$$

The transmitted angle is found from Snell's law, through

$$\theta_2 = \sin^{-1}\left(\frac{n_1}{n_2}\sin\theta_B\right) = \sin^{-1}\left(\frac{n_1}{\sqrt{n_1^2 + n_2^2}}\right) = 34.6^\circ$$

Note from this exercise that  $\sin \theta_2 = \cos \theta_B$ , which means that the sum of the incident and refracted angles at the Brewster condition is always 90°.

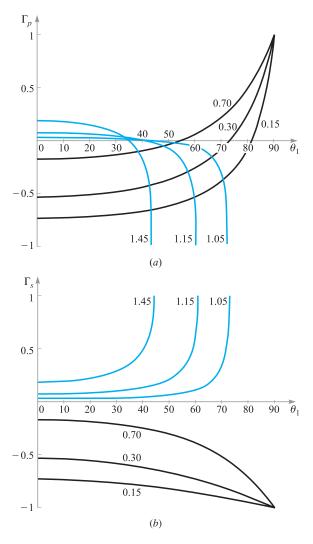
Many of the results we have seen in this section are summarized in Figure 12.10, in which  $\Gamma_p$  and  $\Gamma_s$ , from (69) and (71), are plotted as functions of the incident angle,  $\theta_1$ . Curves are shown for selected values of the refractive index ratio,  $n_1/n_2$ . For all plots in which  $n_1/n_2 > 1$ ,  $\Gamma_s$  and  $\Gamma_p$  achieve values of  $\pm 1$  at the critical angle. At larger angles, the reflection coefficients become imaginary (and are not shown) but nevertheless retain magnitudes of unity. The occurrence of the Brewster angle is evident in the curves for  $\Gamma_p$  (Figure 12.10*a*) because all curves cross the  $\theta_1$  axis. This behavior is not seen in the  $\Gamma_s$  functions because  $\Gamma_s$  is positive for all values of  $\theta_1$  when  $n_1/n_2 > 1$ .

**D12.5.** In Example 12.9, calculate the reflection coefficient for s-polarized light.

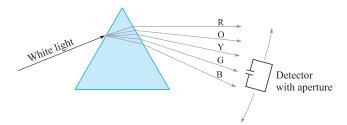
**Ans.** -0.355

### 12.7 WAVE PROPAGATION IN DISPERSIVE MEDIA

In Chapter 11, we encountered situations in which the complex permittivity of the medium depends on frequency. This is true in all materials through a number of possible mechanisms. One of these, mentioned earlier, is that oscillating bound charges in a material are in fact harmonic oscillators that have resonant frequencies associated with them (see Appendix D). When the frequency of an incoming electromagnetic wave is at or near a bound charge resonance, the wave will induce strong oscillations; these in turn have the effect of depleting energy from the wave in its original form. The wave thus experiences absorption, and it does so to a greater extent than it would at a frequency that is detuned from resonance. A related effect is that the



**Figure 12.10** (a) Plots of  $\Gamma_p$  [Eq. (69)] as functions of the incident angle,  $\theta_1$ , as shown in Figure 12.7a. Curves are shown for selected values of the refractive index ratio,  $n_1/n_2$ . Both media are lossless and have  $\mu_r = 1$ . Thus  $\eta_1 = \eta_0/n_1$  and  $\eta_2 = \eta_0/n_2$ . (b) Plots of  $\Gamma_s$  [Eq. (71)] as functions of the incident angle,  $\theta_1$ , as shown in Figure 12.7b. As in Figure 12.10a, the media are lossless, and curves are shown for selected  $n_1/n_2$ .



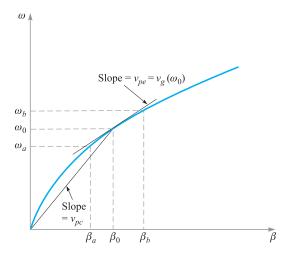
**Figure 12.11** The angular dispersion of a prism can be measured using a movable device which measures both wavelength and power. The device senses light through a small aperture, thus improving wavelength resolution.

real part of the dielectric constant will be different at frequencies near resonance than at frequencies far from resonance. In short, resonance effects give rise to values of  $\epsilon'$  and  $\epsilon''$  that will vary continuously with frequency. These in turn will produce a fairly complicated frequency dependence in the attenuation and phase constants as expressed in Eqs. (44) and (45) in Chapter 11.

This section concerns the effect of a frequency-varying dielectric constant (or refractive index) on a wave as it propagates in an otherwise lossless medium. This situation arises quite often because significant refractive index variation can occur at frequencies far away from resonance, where absorptive losses are negligible. A classic example of this is the separation of white light into its component colors by a glass prism. In this case, the frequency-dependent refractive index results in different angles of refraction for the different colors—hence the separation. The color separation effect produced by the prism is known as *angular dispersion*, or more specifically, *chromatic* angular dispersion.

The term *dispersion* implies a *separation* of distinguishable components of a wave. In the case of the prism, the components are the various colors that have been spatially separated. An important point here is that the spectral *power* has been dispersed by the prism. We can illustrate this idea by considering what it would take to measure the difference in refracted angles between, for example, blue and red light. One would need to use a power detector with a very narrow aperture, as shown in Figure 12.11. The detector would be positioned at the locations of the blue and red light from the prism, with the narrow aperture allowing essentially one color at a time (or light over a very narrow spectral range) to pass through to the detector. The detector would then measure the power in what we could call a "spectral packet," or a very narrow slice of the total power spectrum. The smaller the aperture, the narrower the spectral width of the packet, and the greater the precision in the measurement. It

<sup>&</sup>lt;sup>4</sup> To perform this experiment, one would need to measure the wavelength as well. To do this, the detector would likely be located at the output of a spectrometer or monochrometer whose input slit performs the function of the bandwidth-limiting aperture.



**Figure 12.12**  $\omega$ - $\beta$  diagram for a material in which the refractive index increases with frequency. The slope of a line tangent to the curve at  $\omega_0$  is the group velocity at that frequency. The slope of a line joining the origin to the point on the curve at  $\omega_0$  is the phase velocity at  $\omega_0$ .

is important for us to think of wave power as subdivided into spectral packets in this way because it will figure prominently in our interpretation of the main topic of this section, which is wave dispersion *in time*.

We now consider a lossless nonmagnetic medium in which the refractive index varies with frequency. The phase constant of a uniform plane wave in this medium will assume the form

$$\beta(\omega) = k = \omega \sqrt{\mu_0 \epsilon(\omega)} = n(\omega) \frac{\omega}{c}$$
(80)

If we take  $n(\omega)$  to be a monotonically increasing function of frequency (as is usually the case), a plot of  $\omega$  versus  $\beta$  would look something like the curve shown in Figure 12.12. Such a plot is known as an  $\omega$ - $\beta$  diagram for the medium. Much can be learned about how waves propagate in the material by considering the shape of the  $\omega$ - $\beta$  curve.

Suppose we have two waves at two frequencies,  $\omega_a$  and  $\omega_b$ , which are copropagating in the material and whose amplitudes are equal. The two frequencies are labeled on the curve in Figure 12.12, along with the frequency midway between the two,  $\omega_0$ . The corresponding phase constants,  $\beta_a$ ,  $\beta_b$ , and  $\beta_0$ , are also labeled. The electric fields of the two waves are linearly polarized in the same direction (along x, for example), while both waves propagate in the forward z direction. The waves will thus interfere with each other, producing a resultant wave whose field function can be found simply by adding the  $\mathbf{E}$  fields of the two waves. This addition is done using

the complex fields:

$$E_{c.net}(z,t) = E_0[e^{-j\beta_a z}e^{j\omega_a t} + e^{-j\beta_b z}e^{j\omega_b t}]$$

Note that we must use the full complex forms (with frequency dependence retained) as opposed to the phasor forms, since the waves are at different frequencies. Next, we factor out the term  $e^{-j\beta_0z}e^{j\omega_0t}$ :

$$E_{c,\text{net}}(z,t) = E_0 e^{-j\beta_0 z} e^{j\omega_0 t} \left[ e^{j\Delta\beta z} e^{-j\Delta\omega t} + e^{-j\Delta\beta z} e^{j\Delta\omega t} \right]$$
$$= 2E_0 e^{-j\beta_0 z} e^{j\omega_0 t} \cos(\Delta\omega t - \Delta\beta z) \tag{81}$$

where

$$\Delta\omega = \omega_0 - \omega_a = \omega_b - \omega_0$$

and

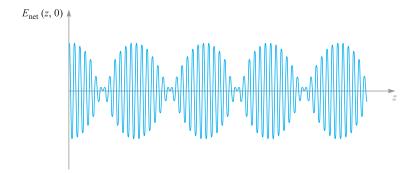
$$\Delta \beta = \beta_0 - \beta_a = \beta_b - \beta_0$$

The preceding expression for  $\Delta\beta$  is approximately true as long as  $\Delta\omega$  is small. This can be seen from Figure 12.12 by observing how the shape of the curve affects  $\Delta\beta$ , given uniform frequency spacings.

The real instantaneous form of (81) is found through

$$\mathcal{E}_{\text{net}}(z,t) = \text{Re}\{E_{c,\text{net}}\} = 2E_0 \cos(\Delta\omega t - \Delta\beta z)\cos(\omega_0 t - \beta_0 z) \tag{82}$$

If  $\Delta\omega$  is fairly small compared to  $\omega_0$ , we recognize (82) as a carrier wave at frequency  $\omega_0$  that is sinusoidally modulated at frequency  $\Delta\omega$ . The two original waves are thus "beating" together to form a slow modulation, as one would hear when the same note is played by two slightly out-of-tune musical instruments. The resultant wave is shown in Figure 12.13.



**Figure 12.13** Plot of the total electric field strength as a function of z (with t=0) of two co-propagating waves having different frequencies,  $\omega_a$  and  $\omega_b$ , as per Eq. (81). The rapid oscillations are associated with the carrier frequency,  $\omega_0 = (\omega_a + \omega_b)/2$ . The slower modulation is associated with the envelope or "beat" frequency,  $\Delta \omega = (\omega_b - \omega_a)/2$ .

Of interest to us are the phase velocities of the carrier wave and the modulation envelope. From (82), we can immediately write these down as:

$$v_{pc} = \frac{\omega_0}{\beta_0}$$
 (carrier velocity) (83)

$$v_{pe} = \frac{\Delta \omega}{\Delta \beta}$$
 (envelope velocity) (84)

Referring to the  $\omega$ - $\beta$  diagram, Figure 12.12, we recognize the carrier phase velocity as the slope of the straight line that joins the origin to the point on the curve whose coordinates are  $\omega_0$  and  $\beta_0$ . We recognize the envelope velocity as a quantity that approximates the slope of the  $\omega$ - $\beta$  curve at the location of an operation point specified by  $(\omega_0, \beta_0)$ . The envelope velocity in this case is thus somewhat less than the carrier velocity. As  $\Delta\omega$  becomes vanishingly small, the envelope velocity is exactly the slope of the curve at  $\omega_0$ . We can therefore state the following for our example:

$$\lim_{\Delta\omega\to 0} \frac{\Delta\omega}{\Delta\beta} = \left. \frac{d\omega}{d\beta} \right|_{\omega_0} = \nu_g(\omega_0) \tag{85}$$

The quantity  $d\omega/d\beta$  is called the *group velocity* function for the material,  $v_g(\omega)$ . When evaluated at a specified frequency  $\omega_0$ , it represents the velocity of a group of frequencies within a spectral packet of vanishingly small width, centered at frequency  $\omega_0$ . In stating this, we have extended our two-frequency example to include waves that have a continuous frequency spectrum. Each frequency component (or packet) is associated with a group velocity at which the energy in that packet propagates. Since the slope of the  $\omega$ - $\beta$  curve changes with frequency, group velocity will obviously be a function of frequency. The *group velocity dispersion* of the medium is, to the first order, the rate at which the slope of the  $\omega$ - $\beta$  curve changes with frequency. It is this behavior that is of critical practical importance to the propagation of modulated waves within dispersive media and to understanding the extent to which the modulation envelope may degrade with propagation distance.

### **EXAMPLE 12.10**

Consider a medium in which the refractive index varies linearly with frequency over a certain range:

$$n(\omega) = n_0 \frac{\omega}{\omega_0}$$

Determine the group velocity and the phase velocity of a wave at frequency  $\omega_0$ .

**Solution.** First, the phase constant will be

$$\beta(\omega) = n(\omega)\frac{\omega}{c} = \frac{n_0\omega^2}{\omega_0c}$$

Now

$$\frac{d\beta}{d\omega} = \frac{2n_0\omega}{\omega_0c}$$

so that

$$v_g = \frac{d\omega}{d\beta} = \frac{\omega_0 c}{2n_0 \omega}$$

The group velocity at  $\omega_0$  is

$$v_g(\omega_0) = \frac{c}{2n_0}$$

The phase velocity at  $\omega_0$  will be

$$v_p(\omega_0) = \frac{\omega}{\beta(\omega_0)} = \frac{c}{n_0}$$

### 12.8 PULSE BROADENING IN DISPERSIVE MEDIA

To see how a dispersive medium affects a modulated wave, let us consider the propagation of an electromagnetic pulse. Pulses are used in digital signals, where the presence or absence of a pulse in a given time slot corresponds to a digital "one" or "zero." The effect of the dispersive medium on a pulse is to broaden it in time. To see how this happens, we consider the pulse *spectrum*, which is found through the Fourier transform of the pulse in time domain. In particular, suppose the pulse shape in time is Gaussian, and has electric field given at position z = 0 by

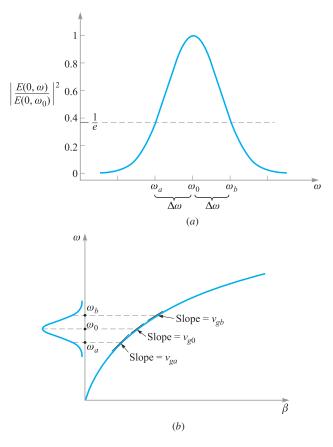
$$E(0,t) = E_0 e^{-\frac{1}{2}(t/T)^2} e^{j\omega_0 t}$$
(86)

where  $E_0$  is a constant,  $\omega_0$  is the carrier frequency, and T is the characteristic half-width of the pulse envelope; this is the time at which the pulse *intensity*, or magnitude of the Poynting vector, falls to 1/e of its maximum value (note that intensity is proportional to the square of the electric field). The frequency spectrum of the pulse is the Fourier transform of (86), which is

$$E(0,\omega) = \frac{E_0 T}{\sqrt{2\pi}} e^{-\frac{1}{2}T^2(\omega - \omega_0)^2}$$
 (87)

Note from (87) that the frequency displacement from  $\omega_0$  at which the spectral *intensity* (proportional to  $|E(0,\omega)|^2$ ) falls to 1/e of its maximum is  $\Delta\omega = \omega - \omega_0 = 1/T$ .

Figure 12.14a shows the Gaussian intensity spectrum of the pulse, centered at  $\omega_0$ , where the frequencies corresponding to the 1/e spectral intensity positions,  $\omega_a$  and  $\omega_b$ , are indicated. Figure 12.14b shows the same three frequencies marked on the  $\omega$ - $\beta$  curve for the medium. Three lines are drawn that are tangent to the curve at the three frequency locations. The slopes of the lines indicate the group velocities at  $\omega_a$ ,  $\omega_b$ , and  $\omega_0$ , indicated as  $\nu_{ga}$ ,  $\nu_{gb}$ , and  $\nu_{g0}$ . We can think of the pulse spreading in time as resulting from the differences in propagation times of the spectral energy packets that make up the pulse spectrum. Since the pulse spectral energy is highest



**Figure 12.14** (a) Normalized power spectrum of a Gaussian pulse, as determined from Eq. (86). The spectrum is centered at carrier frequency  $\omega_0$  and has 1/e half-width,  $\Delta\omega$ . Frequencies  $\omega_a$  and  $\omega_b$  correspond to the 1/e positions on the spectrum. (b) The spectrum of Figure 12.14a as shown on the  $\omega$ - $\beta$  diagram for the medium. The three frequencies specified in Figure 12.14a are associated with three different slopes on the curve, resulting in different group delays for the spectral components.

at the center frequency,  $\omega_0$ , we can use this as a reference point about which further spreading of the energy will occur. For example, let us consider the difference in arrival times (group delays) between the frequency components,  $\omega_0$  and  $\omega_b$ , after propagating through a distance z of the medium:

$$\Delta \tau = z \left( \frac{1}{\nu_{gb}} - \frac{1}{\nu_{g0}} \right) = z \left( \frac{d\beta}{d\omega} \Big|_{\omega_b} - \left. \frac{d\beta}{d\omega} \right|_{\omega_0} \right)$$
(88)

The essential point is that the medium is acting as what could be called a *temporal* prism. Instead of spreading out the spectral energy packets spatially, it is spreading

them out in time. In this process, a new temporal pulse envelope is constructed whose width is based fundamentally on the spread of propagation delays of the different spectral components. By determining the delay difference between the peak spectral component and the component at the spectral half-width, we construct an expression for the new *temporal* half-width. This assumes, of course, that the initial pulse width is negligible in comparison, but if not, we can account for that also, as will be shown later on.

To evaluate (88), we need more information about the  $\omega$ - $\beta$  curve. If we assume that the curve is smooth and has fairly uniform curvature, we can express  $\beta(\omega)$  as the first three terms of a Taylor series expansion about the carrier frequency,  $\omega_0$ :

$$\beta(\omega) \doteq \beta(\omega_0) + (\omega - \omega_0)\beta_1 + \frac{1}{2}(\omega - \omega_0)^2 \beta_2$$
(89)

where

$$\beta_0 = \beta(\omega_0)$$

$$\beta_1 = \frac{d\beta}{d\omega}\bigg|_{\omega_0} \tag{90}$$

and

$$\beta_2 = \left. \frac{d^2 \beta}{d\omega^2} \right|_{\omega_0} \tag{91}$$

Note that if the  $\omega$ - $\beta$  curve were a straight line, then the first two terms in (89) would precisely describe  $\beta(\omega)$ . It is the third term in (89), involving  $\beta_2$ , that describes the curvature and ultimately the dispersion.

Noting that  $\beta_0$ ,  $\beta_1$ , and  $\beta_2$  are constants, we take the first derivative of (89) with respect to  $\omega$  to find

$$\frac{d\beta}{d\omega} = \beta_1 + (\omega - \omega_0)\beta_2 \tag{92}$$

We now substitute (92) into (88) to obtain

$$\Delta \tau = [\beta_1 + (\omega_b - \omega_0)\beta_2] z - [\beta_1 + (\omega_0 - \omega_0)\beta_2] z = \Delta \omega \beta_2 z = \frac{\beta_2 z}{T}$$
 (93)

where  $\Delta\omega = (\omega_b - \omega_0) = 1/T$ .  $\beta_2$ , as defined in Eq. (91), is the *dispersion parameter*. Its units are in general time<sup>2</sup>/distance, that is, pulse spread in time per unit spectral bandwidth, per unit distance. In optical fibers, for example, the units most commonly used are picoseconds<sup>2</sup>/kilometer (psec<sup>2</sup>/km).  $\beta_2$  can be determined when we know how  $\beta$  varies with frequency, or it can be measured.

If the initial pulse width is very short compared to  $\Delta \tau$ , then the broadened pulse width at location z will be simply  $\Delta \tau$ . If the initial pulse width is comparable to  $\Delta \tau$ , then the pulse width at z can be found through the convolution of the initial Gaussian

pulse envelope of width T with a Gaussian envelope whose width is  $\Delta \tau$ . Thus, in general, the pulse width at location z will be

$$T' = \sqrt{T^2 + (\Delta \tau)^2} \tag{94}$$

### **EXAMPLE 12.11**

An optical fiber link is known to have dispersion  $\beta_2 = 20 \text{ ps}^2/\text{km}$ . A Gaussian light pulse at the input of the fiber is of initial width T = 10 ps. Determine the width of the pulse at the fiber output if the fiber is 15 km long.

**Solution.** The pulse spread will be

$$\Delta \tau = \frac{\beta_2 z}{T} = \frac{(20)(15)}{10} = 30 \text{ ps}$$

So the output pulse width is

$$T' = \sqrt{(10)^2 + (30)^2} = 32 \text{ ps}$$

An interesting by-product of pulse broadening through chromatic dispersion is that the broadened pulse is *chirped*. This means that the instantaneous frequency of the pulse varies monotonically (either increases or decreases) with time over the pulse envelope. This again is just a manifestation of the broadening mechanism, in which the spectral components at different frequencies are spread out in time as they propagate at different group velocities. We can quantify the effect by calculating the group delay,  $\tau_g$ , as a function of frequency, using (92). We obtain:

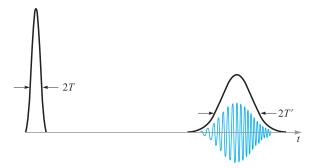
$$\tau_g = \frac{z}{\nu_g} = z \frac{d\beta}{d\omega} = (\beta_1 + (\omega - \omega_0)\beta_2) z \tag{95}$$

This equation tells us that the group delay will be a linear function of frequency and that higher frequencies will arrive at later times if  $\beta_2$  is positive. We refer to the chirp as positive if the lower frequencies lead the higher frequencies in time [requiring a positive  $\beta_2$  in (95)]; chirp is negative if the higher frequencies lead in time (negative  $\beta_2$ ). Figure 12.15 shows the broadening effect and illustrates the chirping phenomenon.

**D12.6.** For the fiber link of Example 12.11, a 20-ps pulse is input instead of the 10-ps pulse in the example. Determine the output pulsewidth.

**Ans.** 25 ps

As a final point, we note that the pulse bandwidth,  $\Delta \omega$ , was found to be 1/T. This is true as long as the Fourier transform of the pulse *envelope* is taken, as was done with (86) to obtain (87). In that case,  $E_0$  was taken to be a constant, and so the only time variation arose from the carrier wave and the Gaussian envelope. Such a



**Figure 12.15** Gaussian pulse intensities as functions of time (smooth curves) before and after propagation through a dispersive medium, as exemplified by the  $\omega$ - $\beta$  diagram of Figure 12.14b. The electric field oscillations are shown under the second trace to demonstrate the chirping effect as the pulse broadens. Note the reduced amplitude of the broadened pulse, which occurs because the pulse energy (the area under the intensity envelope) is constant.

pulse, whose frequency spectrum is obtained only from the pulse envelope, is known as transform-limited. In general, however, additional frequency bandwidth may be present since  $E_0$  may vary with time for one reason or another (such as phase noise that could be present on the carrier). In these cases, pulse broadening is found from the more general expression

$$\Delta \tau = \Delta \omega \beta_2 z \tag{96}$$

where  $\Delta\omega$  is the net spectral bandwidth arising from all sources. Clearly, transform-limited pulses are preferred in order to minimize broadening because these will have the smallest spectral width for a given pulse width.

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### **CHAPTER 12 PROBLEMS**

- **12.1** A uniform plane wave in air,  $E_{x1}^+ = E_{x10}^+ \cos(10^{10}t \beta z)$  V/m, is normally incident on a copper surface at z = 0. What percentage of the incident power density is transmitted into the copper?
- **12.2** The plane z=0 defines the boundary between two dielectrics. For z<0,  $\epsilon_{r1}=9, \epsilon_{r1}''=0$ , and  $\mu_1=\mu_0$ . For  $z>0, \epsilon_{r2}'=3, \epsilon_{r2}''=0$ , and  $\mu_2=\mu_0$ . Let  $E_{x1}^+=10\cos(\omega t-15z)$  V/m and find (a)  $\omega;$  (b)  $\langle \mathbf{S}_1^+ \rangle;$  (c)  $\langle \mathbf{S}_1^- \rangle;$  (d)  $\langle \mathbf{S}_2^+ \rangle$ .
- A uniform plane wave in region 1 is normally incident on the planar boundary separating regions 1 and 2. If  $\epsilon_1'' = \epsilon_2'' = 0$ , while  $\epsilon_{r1}' = \mu_{r1}^3$  and  $\epsilon_{r2}' = \mu_{r2}^3$ , find the ratio  $\epsilon_{r2}'/\epsilon_{r1}'$  if 20% of the energy in the incident wave is reflected at the boundary. There are two possible answers.
- A 10 MHz uniform plane wave having an initial average power density of 5 W/m<sup>2</sup> is normally incident from free space onto the surface of a lossy material in which  $\epsilon_2''/\epsilon_2' = 0.05$ ,  $\epsilon_{r2}' = 5$ , and  $\mu_2 = \mu_0$ . Calculate the distance into the lossy medium at which the transmitted wave power density is down by 10 dB from the initial 5 W/m<sup>2</sup>.
- The region z < 0 is characterized by  $\epsilon_r' = \mu_r = 1$  and  $\epsilon_r'' = 0$ . The total **E** field here is given as the sum of two uniform plane waves,  $\mathbf{E}_s = 150 \, e^{-j \, 10z} \mathbf{a}_x + (50 \, 20^\circ) \, e^{j \, 10z} \mathbf{a}_x \, \text{V/m.}$  (a) What is the operating frequency? (b) Specify the intrinsic impedance of the region z > 0 that would provide the appropriate reflected wave. (c) At what value of z,  $-10 \, \text{cm} < z < 0$ , is the total electric field intensity a maximum amplitude?
- 12.6 In the beam-steering prism of Example 12.8, suppose the antireflective coatings are removed, leaving bare glass-to-air interfaces. Calcluate the ratio of the prism output power to the input power, assuming a single transit.
- The semi-infinite regions z < 0 and z > 1 m are free space. For 0 < z < 1 m,  $\epsilon_r' = 4$ ,  $\mu_r = 1$ , and  $\epsilon_r'' = 0$ . A uniform plane wave with  $\omega = 4 \times 10^8$  rad/s is traveling in the  $\mathbf{a}_z$  direction toward the interface at z = 0. (a) Find the standing wave ratio in each of the three regions. (b) Find the location of the maximum  $|\mathbf{E}|$  for z < 0 that is nearest to z = 0.
- A wave starts at point a, propagates 1 m through a lossy dielectric rated at 0.1 dB/cm, reflects at normal incidence at a boundary at which  $\Gamma = 0.3 + j0.4$ , and then returns to point a. Calculate the ratio of the final power to the incident power after this round trip, and specify the overall loss in decibels.
- **12.9** Region 1, z < 0, and region 2, z > 0, are both perfect dielectrics ( $\mu = \mu_0$ ,  $\epsilon'' = 0$ ). A uniform plane wave traveling in the  $\mathbf{a}_z$  direction has a radian frequency of  $3 \times 10^{10}$  rad/s. Its wavelengths in the two regions are  $\lambda_1 = 5$  cm and  $\lambda_2 = 3$  cm. What percentage of the energy incident on the

- boundary is (a) reflected; (b) transmitted? (c) What is the standing wave ratio in region 1?
- **12.10** In Figure 12.1, let region 2 be free space, while  $\mu_{r1} = 1$ ,  $\epsilon''_{r1} = 0$ , and  $\epsilon'_{r1}$  is unknown. Find  $\epsilon'_{r1}$  if (a) the amplitude of  $\mathbf{E}_1^-$  is one-half that of  $\mathbf{E}_1^+$ ;  $(b) \langle \mathbf{S}_1^- \rangle$  is one-half of  $\langle \mathbf{S}_1^+ \rangle$ ;  $(c) |\mathbf{E}_1|_{\min}$  is one-half of  $|\mathbf{E}_1|_{\max}$ .
- **12.11** A 150-MHz uniform plane wave is normally incident from air onto a material whose intrinsic impedance is unknown. Measurements yield a standing wave ratio of 3 and the appearance of an electric field minimum at 0.3 wavelengths in front of the interface. Determine the impedance of the unknown material.
- **12.12** A 50-MHz uniform plane wave is normally incident from air onto the surface of a calm ocean. For seawater,  $\sigma = 4$  S/m, and  $\epsilon'_r = 78$ . (a) Determine the fractions of the incident power that are reflected and transmitted. (b) Qualitatively, how (if at all) will these answers change as the frequency is increased?
- **12.13** A right-circularly polarized plane wave is normally incident from air onto a semi-infinite slab of plexiglas ( $\epsilon_r' = 3.45$ ,  $\epsilon_r'' = 0$ ). Calculate the fractions of the incident power that are reflected and transmitted. Also, describe the polarizations of the reflected and transmitted waves.
- **12.14** A left-circularly polarized plane wave is normally incident onto the surface of a perfect conductor. (a) Construct the superposition of the incident and reflected waves in phasor form. (b) Determine the real instantaneous form of the result of part (a). (c) Describe the wave that is formed.
- 12.15 Sulfur hexafluoride (SF<sub>6</sub>) is a high-density gas that has refractive index,  $n_s = 1.8$  at a specified pressure, temperature, and wavelength. Consider the retro-reflecting prism shown in Fig. 12.16, that is immersed in SF<sub>6</sub>. Light enters through a quarter-wave antireflective coating and then totally reflects from the back surfaces of the glass. In principle, the beam should experience zero loss at the design wavelength ( $P_{\text{out}} = P_{\text{in}}$ ). (a) Determine the minimum required value of the glass refractive index,  $n_g$ , so that the interior beam will totally reflect. (b) Knowing  $n_g$ , find the required refractive index of the quarter-wave film,  $n_f$ . (c) With the SF<sub>6</sub> gas evacuated

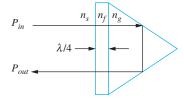
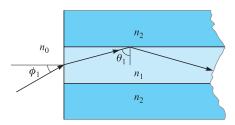


Figure 12.16 See Problem 12.15.

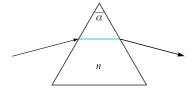
- from the chamber, and with the glass and film values as previously found, find the ratio,  $P_{\text{out}}/P_{\text{in}}$ . Assume very slight misalignment, so that the long beam path through the prism is not retraced by reflected waves.
- **12.16** In Figure 12.5, let regions 2 and 3 both be of quarter-wave thickness. Region 4 is glass, having refractive index,  $n_4 = 1.45$ ; region 1 is air. (a) Find  $\eta_{in,b}$ . (b) Find  $\eta_{in,a}$ . (c) Specify a relation between the four intrinsic impedances that will enable total transmission of waves incident from the left into region 4. (d) Specify refractive index values for regions 2 and 3 that will accomplish the condition of part (c). (e) Find the fraction of incident power transmitted if the two layers were of half-wave thickness instead of quarter wave.
- **12.17** A uniform plane wave in free space is normally incident onto a dense dielectric plate of thickness  $\lambda/4$ , having refractive index n. Find the required value of n such that exactly half the incident power is reflected (and half transmitted). Remember that n > 1.
- **12.18** A uniform plane wave is normally incident onto a slab of glass (n = 1.45) whose back surface is in contact with a perfect conductor. Determine the reflective phase shift at the front surface of the glass if the glass thickness is  $(a) \lambda/2$ ;  $(b) \lambda/4$ ;  $(c) \lambda/8$ .
- 12.19 You are given four slabs of lossless dielectric, all with the same intrinsic impedance,  $\eta$ , known to be different from that of free space. The thickness of each slab is  $\lambda/4$ , where  $\lambda$  is the wavelength as measured in the slab material. The slabs are to be positioned parallel to one another, and the combination lies in the path of a uniform plane wave, normally incident. The slabs are to be arranged such that the airspaces between them are either zero, one-quarter wavelength, or one-half wavelength in thickness. Specify an arrangement of slabs and airspaces such that (a) the wave is totally transmitted through the stack, and (b) the stack presents the highest reflectivity to the incident wave. Several answers may exist.
- **12.20** The 50-MHz plane wave of Problem 12.12 is incident onto the ocean surface at an angle to the normal of  $60^{\circ}$ . Determine the fractions of the incident power that are reflected and transmitted for (a) s-polarization, and (b) p-polarization.
- 12.21 A right-circularly polarized plane wave in air is incident at Brewster's angle onto a semi-infinite slab of plexiglas ( $\epsilon'_r = 3.45$ ,  $\epsilon''_r = 0$ ). (a) Determine the fractions of the incident power that are reflected and transmitted. (b) Describe the polarizations of the reflected and transmitted waves.
- **12.22** A dielectric waveguide is shown in Figure 12.17 with refractive indices as labeled. Incident light enters the guide at angle  $\phi$  from the front surface normal as shown. Once inside, the light totally reflects at the upper  $n_1 n_2$  interface, where  $n_1 > n_2$ . All subsequent reflections from the upper and lower boundaries will be total as well, and so the light is confined to the



**Figure 12.17** See Problems 12.22 and 12.23.

guide. Express, in terms of  $n_1$  and  $n_2$ , the maximum value of  $\phi$  such that total confinement will occur, with  $n_0 = 1$ . The quantity  $\sin \phi$  is known as the *numerical aperture* of the guide.

- **12.23** Suppose that  $\phi$  in Figure 12.17 is Brewster's angle, and that  $\theta_1$  is the critical angle. Find  $n_0$  in terms of  $n_1$  and  $n_2$ .
- **12.24** A *Brewster prism* is designed to pass p-polarized light without any reflective loss. The prism of Figure 12.18 is made of glass (n = 1.45) and is in air. Considering the light path shown, determine the vertex angle  $\alpha$ .
- 12.25 In the Brewster prism of Figure 12.18, determine for s-polarized light the fraction of the incident power that is transmitted through the prism, and from this specify the dB *insertion loss*, defined as  $10\log_{10}$  of that number.
- **12.26** Show how a single block of glass can be used to turn a p-polarized beam of light through  $180^{\circ}$ , with the light suffering (in principle) zero reflective loss. The light is incident from air, and the returning beam (also in air) may be displaced sideways from the incident beam. Specify all pertinent angles and use n = 1.45 for glass. More than one design is possible here.
- **12.27** Using Eq. (79) in Chapter 11 as a starting point, determine the ratio of the group and phase velocities of an electromagnetic wave in a good conductor. Assume conductivity does not vary with frequency.
- 12.28 Over a small wavelength range, the refractive index of a certain material varies approximately linearly with wavelength as  $n(\lambda) \doteq n_a + n_b(\lambda \lambda_a)$ , where  $n_a$ ,  $n_b$  and  $\lambda_a$  are constants, and where  $\lambda$  is the free-space wavelength. (a) Show that  $d/d\omega = -(2\pi c/\omega^2)d/d\lambda$ . (b) Using  $\beta(\lambda) = 2\pi n/\lambda$ ,



**Figure 12.18** See Problems 12.24 and 12.25.