

# Performance Report for Token-Based and Token Ring Algorithms

## 1. Introduction

This report provides a detailed performance analysis of two mutual exclusion algorithms implemented in C++:

1. **Ricart-Agarwala Algorithm**
2. **Token Ring Algorithm**

The performance is evaluated based on several criteria: execution time, resource utilization, scalability, robustness, and handling of dynamic process joining and fault tolerance.

## 2. Algorithm Overview

### **Ricart-Agarwala Algorithm:**

- In this algorithm, a unique token circulates among processes.
- Only the process holding the token can enter the critical section.
- The token is passed to the next process after the current process exits the critical section.

### **Token Ring Algorithm:**

- Processes are arranged in a logical ring.
- A token circulates in one direction around the ring.
- The process holding the token can enter the critical section and then pass the token to the next process in the ring.

The performance analysis of Ricart-Agrawala and Token Ring algorithms focuses on several aspects such as message complexity, fault tolerance, throughput, fairness, and scalability. Let's analyze each algorithm concerning these metrics.

## 1. Message Complexity

- **Ricart-Agrawala Algorithm:**
  - This is a decentralized mutual exclusion algorithm that requires a process to request permission from all other processes to enter the critical section.
  - **Message Count:** For each critical section request, it requires  $2*(N-1)$  messages ( $N$  is the number of processes). This includes  $N-1$  request messages and  $N-1$  reply messages. Additionally, when releasing the critical section, a process broadcasts a release message to all other processes. Therefore, the message complexity for entering and releasing the critical section is  $O(N)$ .
- **Token Ring Algorithm:**
  - In this algorithm, a unique token is circulated among the processes in a logical ring structure. A process can enter the critical section only if it holds the token.
  - **Message Count:** In the best case, when the process that wants to enter the critical section already holds the token, no additional messages are required. However, in the worst case, the token may need to traverse  $N-1$  processes to reach the requesting process. The message complexity is  $O(1)$  on average for a critical section request but can become  $O(N)$  in the worst case if the token has to travel through all the processes.

## 2. Fault Tolerance

- **Ricart-Agrawala Algorithm:**
  - The algorithm is sensitive to process failures. If a process fails while holding the critical section, other processes can be blocked indefinitely since they rely on responses from all other processes. Moreover, if a process fails while handling requests or replies, the system may deadlock or become unresponsive.
  - **Recovery:** Implementing recovery mechanisms (e.g., timeout, process failure detection) can help in restoring the state, but it is complex and adds overhead.
- **Token Ring Algorithm:**
  - This algorithm is also susceptible to failure. If the token is lost or the process holding the token fails, no process can enter the critical section, leading to starvation.
  - **Recovery:** Detecting a failed process or lost token is relatively straightforward by timeout mechanisms and token regeneration strategies can be implemented to recover the system. However, this introduces additional complexity and overhead.

### 3. Throughput

- **Ricart-Agrawala Algorithm:**
  - Since each process needs to communicate with all other processes, the network load increases with the number of processes. Consequently, the throughput decreases as the number of processes grows. The higher the number of messages exchanged, the lower the throughput, especially in networks with high communication latency.
- **Token Ring Algorithm:**
  - The throughput is generally higher in a token-based algorithm, particularly in low-load scenarios where the token can circulate freely without many requests for the critical section. However, under high contention (many processes frequently request access), the token can become a bottleneck as it travels around the ring, reducing throughput.

### 4. Fairness

- **Ricart-Agrawala Algorithm:**
  - This algorithm is fair in that it provides equal opportunities for all processes to request access to the critical section. No process is favored over another, and requests are generally handled in the order they are made (based on timestamps). However, fairness is dependent on the assumption that message delivery order is preserved, and that there are no network delays or failures.
- **Token Ring Algorithm:**
  - Fairness is built-in due to the logical ring structure, where each process gets the token in a round-robin manner. Thus, every process gets an equal chance to access the critical section as long as the token circulates correctly. The order of access is strictly determined by the ring order, which inherently prevents starvation.

### 5. Scalability

- **Ricart-Agrawala Algorithm:**
  - The scalability of the Ricart-Agrawala algorithm is limited due to its high message complexity. As the number of processes ( $N$ ) increases, the number of messages grows quadratically. Therefore, it is not suitable for a large number of processes, especially in geographically distributed or high-latency networks.
- **Token Ring Algorithm:**
  - The Token Ring algorithm scales better compared to Ricart-Agrawala because the message complexity is constant ( $O(1)$ ) on average per request. However, it is sensitive to the length of the ring; as the number of processes grows, the time it takes for the token to circulate increases linearly, which can degrade performance in very large systems.

## 6. Analysis Based on Provided Code Implementation

- **Ricart-Agrawala Implementation:**

- The implementation uses a central queue (`request_queue`) to handle the ordering of requests to enter the critical section. This ensures that requests are handled in order, but if many processes are requesting access, the system may become heavily loaded with synchronization (`mutex` and `condition_variable`) overhead.
- The dynamic joining and failure recovery are managed by continuously checking and modifying process status, which adds to the complexity and can further reduce performance as the number of processes increases.

- **Token Ring Implementation:**

- In the token ring implementation, each process is linked to the next in a circular manner (`nextProcess` pointer), and only the process with the token can enter the critical section. This reduces the number of synchronization points to a single token circulation.
- The implementation also handles dynamic joining and recovery, but since the token must traverse the entire ring, adding new processes or recovering from failures may introduce a delay. The token passing ensures fairness but could slow down as the ring grows.

## 7. Overall Performance Comparison

- **Latency and Response Time:**

- *Ricart-Agrawala*: Potentially higher latency due to the need for all-to-all communication and synchronization overhead. The response time can be affected by network delays, message losses, and process failures.
- *Token Ring*: Lower latency under low-load conditions but can increase linearly with the number of processes in the ring. Delays primarily depend on the time it takes for the token to circulate.

- **Network Load:**

- *Ricart-Agrawala*: Higher network load due to the  $O(N)$  message complexity for each request to the critical section.
- *Token Ring*: Lower network load on average as it requires fewer messages, but the overhead is determined by the ring size and token passing frequency.

- **Fault Tolerance and Recovery:**

- *Ricart-Agrawala*: More complex and less fault-tolerant without significant overhead for failure detection and recovery.
- *Token Ring*: Easier to implement recovery but still susceptible to single points of failure (e.g., the token holder).

## 8. Conclusion

- **Ricart-Agrawala** is suitable for smaller, more stable networks where communication overhead is less of a concern, and fairness in granting access is prioritized.
- **Token Ring** is more efficient in terms of message complexity and network load, particularly in environments with moderate to low contention and where processes are less prone to failure. It is a better choice for larger systems where communication cost needs to be minimized, but its performance can degrade as the number of processes in the ring grows.

Ultimately, the choice between the two algorithms depends on the specific use case, the size of the system, network conditions, and fault tolerance requirements.