## Course 8: 26.11.2020

## 3.3 The matrix of a list of vectors

In the previous section, we have seen a matrix as a list of row-vectors. Now we discuss a converse, namely we define the matrix associated to a list of vectors, with respect to a basis.

**Definition 3.3.1** Let V be a vector space over K,  $B = (v_1, \ldots, v_n)$  a basis of V and  $X = (u_1, \ldots, u_m)$  a list of vectors in V. Let

$$\begin{cases} u_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n \\ u_2 = a_{21}v_1 + a_{22}v_2 + \dots + a_{2n}v_n \\ \dots \\ u_m = a_{m1}v_1 + a_{m2}v_2 + \dots + a_{mn}v_n \end{cases}$$

be the unique writings of the vectors in X as linear combinations of vectors of the basis B, for some  $a_{ij} \in K$ . The matrix of the list of vectors X in the basis B is the matrix having as its rows the coordinates of the vectors in X in the basis B, that is,

$$[X]_B = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{pmatrix}.$$

**Example 3.3.2** Consider the canonical basis  $B = (e_1, e_2, e_3, e_4)$  and the list  $X = (u_1, u_2, u_3)$  in the canonical real vector space  $\mathbb{R}^4$ , where  $u_1 = (1, 2, 3, 4)$ ,  $u_2 = (5, 6, 7, 8)$  and  $u_3 = (9, 10, 11, 12)$ . Since the coordinates of a vector in the canonical basis are just its components, we get

$$[X]_B = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 9 & 10 & 11 & 12 \end{pmatrix}.$$

Now we give a theorem, whose proof will be omitted and which allows one to determine the dimension of the subspace generated by a list of vectors.

**Theorem 3.3.3** Let V be a vector space over K,  $B = (v_1, \ldots, v_n)$  a basis of V and  $X = (u_1, \ldots, u_m)$  a list of vectors in V having the matrix A in the basis B. Then:

- (i)  $\dim \langle X \rangle = \operatorname{rank}(A)$ .
- (ii) A basis of  $\langle X \rangle$  is the list of non-zero row-vectors  $(c_1, \ldots, c_r)$  of an echelon form C equivalent to A.

**Example 3.3.4** Let us determine the dimensions of the subspaces S, T, S + T and  $S \cap T$  of the real vector space  $\mathbb{R}^4$ , where

$$S = \langle (-3, 5, -1, 1), (-1, 1, 0, 1), (1, 1, -1, -3) \rangle,$$
  
$$T = \langle (1, 0, 2, 0), (2, 1, -1, 2) \rangle.$$

One can easily show that the ranks of the matrices in the canonical basis corresponding to the vectors from S and from T respectively are both 2. Hence dim  $S = \dim T = 2$ .

Furthermore, we have  $S+T=\langle S\cup T\rangle$ . We write the matrix of  $S\cup T$  in the canonical basis and we have

$$\begin{pmatrix} -3 & 5 & -1 & 1 \\ -1 & 1 & 0 & 1 \\ 1 & 1 & -1 & -3 \\ 1 & 0 & 2 & 0 \\ 2 & 1 & -1 & 2 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & -1 & -3 \\ -1 & 1 & 0 & 1 \\ -3 & 5 & -1 & 1 \\ 1 & 0 & 2 & 0 \\ 2 & 1 & -1 & 2 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & -1 & -3 \\ 0 & 2 & -1 & -2 \\ 0 & 8 & -4 & -8 \\ 0 & -1 & 3 & 3 \\ 0 & -1 & 1 & 8 \end{pmatrix} \sim$$

$$\sim \begin{pmatrix} 1 & 1 & -1 & -3 \\ 0 & -1 & 3 & 3 \\ 0 & 2 & -1 & -2 \\ 0 & 2 & -1 & -2 \\ 0 & -1 & 1 & 8 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & -1 & -3 \\ 0 & -1 & 3 & 3 \\ 0 & 0 & 5 & 4 \\ 0 & 0 & 5 & 4 \\ 0 & 0 & -2 & 5 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & -1 & -3 \\ 0 & -1 & 3 & 3 \\ 0 & 0 & 5 & 4 \\ 0 & 0 & 0 & \frac{33}{5} \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$

Then by Theorem 3.3.3,  $\dim(S+T)=4$  and a basis of S+T consists of the non-zero row-vectors from the echelon form, that is,  $((1,1,-1,-3),(0,-1,3,3),(0,0,5,4),(0,0,0,\frac{33}{5}))$ . Now by the second dimension formula, it follows that  $\dim(S\cap T)=\dim S+\dim T-\dim(S+T)=2+2-4=0$ .

Now we are going to define the matrix of a vector in a basis of a vector space. Even if one might expect to define it as a row-matrix, by considering a single vector list, it is more convenient to define it as a column-matrix for our purposes concerning linear maps in order to avoid formulas involving transposes.

**Definition 3.3.5** Let V be a vector space over K,  $v \in V$  and  $B = (v_1, ..., v_n)$  a basis of V. If  $v = k_1v_1 + \cdots + k_nv_n$   $(k_1, ..., k_n \in K)$  is the unique writing of v as a linear combination of the vectors

of the basis B, then the matrix of the vector v in the basis B is  $[v]_B = \begin{pmatrix} k_1 \\ \vdots \\ k_n \end{pmatrix}$ .

## 3.4 The matrix of a linear map

**Definition 3.4.1** Let  $f: V \to V'$  be a K-linear map,  $B = (v_1, \ldots, v_n)$  a basis of V and  $B' = (v'_1, \ldots, v'_m)$  a basis of V'. Then we can uniquely write the vectors in f(B) as linear combinations of the vectors of the basis B', say

$$\begin{cases} f(v_1) = a_{11}v'_1 + a_{21}v'_2 + \dots + a_{m1}v'_m \\ f(v_2) = a_{12}v'_1 + a_{22}v'_2 + \dots + a_{m2}v'_m \\ \dots \\ f(v_n) = a_{1n}v'_1 + a_{2n}v'_2 + \dots + a_{mn}v'_m \end{cases}$$

for some  $a_{ij} \in K$ . Then the matrix of the K-linear map f in the bases B and B' is the matrix having as its columns the coordinates of the vectors of f(B) in the basis B', that is,

$$[f]_{BB'} = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{pmatrix}.$$

If V = V' and B = B', then we simply denote  $[f]_B = [f]_{BB'}$ .

Remark 3.4.2 We have to emphasize that we put the coordinates on the columns of the matrix of a linear map and not on the rows as we did for the matrix of a list of vectors.

**Example 3.4.3** Consider the  $\mathbb{R}$ -linear map  $f: \mathbb{R}^4 \to \mathbb{R}^3$  defined by

$$f(x, y, z, t) = (x + y + z, y + z + t, z + t + x), \ \forall (x, y, z, t) \in \mathbb{R}^4.$$

Let  $E=(e_1,e_2,e_3,e_4)$  and  $E'=(e'_1,e'_2,e'_3)$  be the canonical bases in  $\mathbb{R}^4$  and  $\mathbb{R}^3$  respectively. Since

$$\begin{cases} f(e_1) = f(1,0,0,0) = (1,0,1) = e'_1 + e'_3 \\ f(e_2) = f(0,1,0,0) = (1,1,0) = e'_1 + e'_2 \\ f(e_3) = f(0,0,1,0) = (1,1,1) = e'_1 + e'_2 + e'_3 \\ f(e_4) = f(0,0,0,1) = (0,1,1) = e'_2 + e'_3 \end{cases}$$

it follows that the matrix of f in the bases E and E' is

$$[f]_{EE'} = \begin{pmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{pmatrix} .$$

**Theorem 3.4.4** Let  $f: V \to V'$  be a K-linear map,  $B = (v_1, \ldots, v_n)$  a basis of V,  $B' = (v'_1, \ldots, v'_m)$  a basis of V' and  $v \in V$ . Then

$$[f(v)]_{B'} = [f]_{BB'} \cdot [v]_B$$
.

*Proof.* Let  $[f]_{BB'} = (a_{ij}) \in M_{mn}(K)$ . Let  $v = \sum_{j=1}^{n} k_j v_j$  and  $f(v) = \sum_{i=1}^{m} k_i' v_i'$  for some  $k_i, k_i' \in K$ . On the other hand, using the definition of the matrix of f in the bases B and B', we have

$$f(v) = f\left(\sum_{j=1}^{n} k_j v_j\right) = \sum_{j=1}^{n} k_j f(v_j) = \sum_{j=1}^{n} k_j \left(\sum_{i=1}^{m} a_{ij} v_i'\right) = \sum_{i=1}^{m} \left(\sum_{j=1}^{n} a_{ij} k_j\right) v_i'.$$

But the writing of f(v) as a linear combination of the vectors of the basis B' is unique, hence we must have  $k'_i = \sum_{j=1}^n a_{ij}k_j$  for every  $i \in \{1, \dots, m\}$ . Therefore,  $[f(v)]_{B'} = [f]_{BB'} \cdot [v]_B$ .

**Definition 3.4.5** Let  $f: V \to V'$  be a K-linear map. Then the rank of f is defined as

$$rank(f) = \dim(Im f).$$

Now we give a connection between the ranks of a linear map and of its matrix in a pair of bases.

**Theorem 3.4.6** Let  $f: V \to V'$  be a K-linear map. Then

$$rank(f) = rank([f]_{BB'}),$$

where B and B' are any bases of V and V' respectively.

*Proof.* Let  $B = (v_1, \ldots, v_n)$  and  $[f]_{BB'} = A$ . Using our results relating ranks and dimensions, we have

$$\operatorname{rank}(f) = \dim(\operatorname{Im} f) = \dim f(V) = \dim f(\langle v_1, \dots, v_n \rangle)$$
$$= \dim\langle f(v_1), \dots, f(v_n) \rangle = \operatorname{rank}({}^tA) = \operatorname{rank}(A) = \operatorname{rank}([f]_{BB'}).$$

Now take some other bases  $B_1 = (u_1, \ldots, u_n)$  of V and  $B'_1$  of V' and denote  $[f]_{B_1B'_1} = A_1$ . Then

$$\operatorname{rank}([f]_{B_1B_1'}) = \operatorname{rank}(A_1) = \operatorname{rank}({}^tA_1) = \operatorname{dim}\langle f(u_1), \dots, f(u_n) \rangle$$
$$= \operatorname{dim}(\operatorname{Im} f) = \operatorname{dim}\langle f(v_1), \dots, f(v_n) \rangle = \operatorname{rank}([f]_{BB'}).$$

Remark 3.4.7 Notice that the rank of a linear map does not depend on the pair of bases in which we write its matrix. Also notice that, considering matrices of a linear map in different pairs of bases, their ranks are the same. Some other connection between matrices of a linear map in different pairs of bases will be discussed in the next section.

**Example 3.4.8** Consider the  $\mathbb{R}$ -linear map  $f: \mathbb{R}^4 \to \mathbb{R}^3$  defined by

$$f(x, y, z, t) = (x + y + z, y + z + t, z + t + x), \ \forall (x, y, z, t) \in \mathbb{R}^4.$$

Let  $E=(e_1,e_2,e_3,e_4)$  and  $E'=(e'_1,e'_2,e'_3)$  be the canonical bases in  $\mathbb{R}^4$  and  $\mathbb{R}^3$  respectively. Using Example 3.4.3 it follows that

$$[f]_{EE'} = \begin{pmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & -1 & 0 & 1 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 2 \end{pmatrix}.$$

Now by Theorem 3.4.6 it follows that  $rank(f) = rank([f]_{EE'}) = 3$ .

We end this section with a key result in Linear Algebra, connecting linear maps and matrices.

**Theorem 3.4.9** Let V, V' and V'' be vector spaces over K with  $\dim V = n$ ,  $\dim V' = m$  and  $\dim V'' = p$  and let B, B' and B'' be bases of V, V' and V'' respectively. Then  $\forall f, g \in Hom_K(V, V'), \forall h \in Hom_K(V', V'')$  and  $\forall k \in K$ , we have

$$\begin{split} [f+g]_{BB'} &= [f]_{BB'} + [g]_{BB'} \,, \\ [kf]_{BB'} &= k \cdot [f]_{BB'} \,, \\ [h \circ f]_{BB''} &= [h]_{B'B''} \cdot [f]_{BB'} \,. \end{split}$$

Proof. Let  $[f]_{BB'} = (a_{ij}) \in M_{mn}(K)$ ,  $[g]_{BB'} = (b_{ij}) \in M_{mn}(K)$  and  $[h]_{B'B''} = (c_{ki}) \in M_{pm}(K)$ . Then

$$f(v_j) = \sum_{i=1}^m a_{ij}v_i', \quad g(v_j) = \sum_{i=1}^m b_{ij}v_i', \quad h(v_i') = \sum_{k=1}^p c_{ki}v_k''$$

 $\forall j \in \{1, \dots, n\} \text{ and } \forall i \in \{1, \dots, m\}.$ 

Then  $\forall k \in K$  and  $\forall j \in \{1, ..., n\}$  we have

$$(f+g)(v_j) = f(v_j) + g(v_j) = \sum_{i=1}^m a_{ij}v_i' + \sum_{i=1}^m b_{ij}v_i' = \sum_{i=1}^m (a_{ij} + b_{ij})v_i',$$
$$(kf)(v_j) = kf(v_j) = k \cdot \left(\sum_{i=1}^m a_{ij}v_i'\right) = \sum_{i=1}^m (ka_{ij})v_i',$$

hence  $[f+g]_{BB'} = [f]_{BB'} + [g]_{BB'}$  and  $[kf]_{BB'} = k \cdot [f]_{BB'}$ . Finally,  $\forall j \in \{1, ..., n\}$  we have

$$(h \circ f)(v_j) = h(f(v_j)) = h\left(\sum_{i=1}^m a_{ij}v_i'\right) = \sum_{i=1}^m a_{ij}h(v_i') = \sum_{i=1}^m a_{ij}\left(\sum_{k=1}^p c_{ki}v_k''\right) = \sum_{k=1}^p \sum_{i=1}^m (c_{ki}a_{ij})v_k'',$$

hence  $[h \circ f]_{BB''} = [h]_{B'B''} \cdot [f]_{BB'}$ .

**Theorem 3.4.10** Let V and V' be vector spaces over K with  $\dim V = n$  and  $\dim V' = m$  and let B and B' be bases of V and V' respectively. Then the map

$$\varphi: Hom_K(V, V') \to M_{mn}(K), \quad \varphi(f) = [f]_{BB'}, \ \forall f \in Hom_K(V, V')$$

is an isomorphism of vector spaces.

*Proof.* One may show that  $Hom_K(V, V')$  is a vector space over K with respect to the following addition and scalar multiplication:  $\forall f, g \in Hom_K(V, V')$  and  $\forall k \in K, f + g, k \cdot f \in Hom_K(V, V')$ , where  $\forall x \in V$ ,

$$(f+g)(x) = f(x) + g(x),$$
$$(kf)(x) = kf(x).$$

Also,  $M_{mn}(K)$  is a vector space over K. By Theorem 3.4.9 it follows that  $\varphi$  is a K-linear map.

Finally, let us prove that  $\varphi$  is bijective. Let  $f, g \in Hom_K(V, V')$  be such that  $\varphi(f) = \varphi(g)$ . Then  $[f]_{BB'} = [g]_{BB'} = (a_{ij}) \in M_{mn}(K)$ , hence  $f(v_j) = a_{1j}v'_1 + a_{2j}v'_2 + \cdots + a_{mj}v'_m = g(v_j)$ ,  $\forall j \in \{1, \ldots, n\}$ . We have seen that two K-linear maps are equal if and only if they have the same values at all vectors of a basis. Hence f = g, which shows that  $\varphi$  is injective. Now let  $A = (a_{ij}) \in M_{mn}(K)$ , seen as a list

of column-vectors 
$$(a^1, \ldots, a^n)$$
, where  $a^j = \begin{pmatrix} a_{1j} \\ \vdots \\ a_{mj} \end{pmatrix}$ . Consider  $B = (v_1, \ldots, v_n)$  and  $B' = (v'_1, \ldots, v'_m)$ 

and define a K-linear map  $f: V \to V'$  on the basis of the domain by  $f(v_j) = a_{1j}v'_1 + \cdots + a_{mj}v'_m$ ,  $\forall j \in \{1, \ldots, n\}$ . Then  $\varphi(f) = [f]_{BB'} = (a_{ij}) = A$ . Thus,  $\varphi$  is surjective.

**Remark 3.4.11** The extremely important isomorphism given in Theorem 3.4.10 allows us to work with matrices instead of linear maps, which is much simpler from a computational point of view.

**Theorem 3.4.12** Let V be a vector space over K with  $\dim V = n$  and let B be a basis of V. Then the map

$$\varphi: End_K(V) \to M_n(K), \quad \varphi(f) = [f]_B, \ \forall f \in End_K(V)$$

is an isomorphism of vector spaces and of rings.

*Proof.* Note that  $(End_K(V), +, \circ)$  and  $(M_n(K), +, \cdot)$  are rings. The required isomorphisms follow by Theorem 3.4.10.

Corollary 3.4.13 Let V be a vector space over K and  $f \in End_K(V)$ . Then

$$f \in Aut_K(V) \iff \det[f]_B \neq 0$$
,

where B is any basis of V.

*Proof.* Let B a basis of V. By Theorem 3.4.12,  $f \in Aut_K(V) \iff f$  is invertible in the ring  $(End_K(V), +, \circ) \iff [f]_B$  is invertible in the ring  $(M_n(K), +, \cdot) \iff \det[f]_B \neq 0$ .

## Extra: Image transformations

Suppose that we have a 2D-image that we want to rotate counterclockwise with  $\theta$  degrees around the origin. By such a rotation, the point of coordinates (1,0) becomes the point of coordinates  $(\cos \theta, \sin \theta)$ , while the point of coordinates (0,1) becomes the point of coordinates  $(-\sin \theta, \cos \theta)$ .

We look for an  $\mathbb{R}$ -linear map  $f: \mathbb{R}^2 \to \mathbb{R}^2$  satisfying the following conditions:

$$f(1,0) = (\cos \theta, \sin \theta)$$
  
$$f(0,1) = (-\sin \theta, \cos \theta).$$

Recall that every linear map is determined by its values at the elements of a basis (the canonical basis in our case). Hence the matrix of the linear map f in the canonical basis E of the canonical real vector space  $\mathbb{R}^2$  is:

$$[f]_E = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}.$$

For any point  $v=(x,y)\in\mathbb{R}^2$  of a 2D-image, its corresponding point in the rotated image is computed as  $f(v)=(x',y')\in\mathbb{R}^2$ , where

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = [f(v)]_E = [f]_E \cdot [v]_E = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix}.$$

For instance, for a counterclockwise rotation of  $90^{\circ}$  around the origin one has the matrix:

$$[f]_E = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$